A Delta-Opioid Receptor Gene Polymorphism Moderates the Therapeutic Response to Extended-Release Buprenorphine in Opioid Use Disorder

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Abstract

Background: Buprenorphine treatment is not equally effective in all patients with opioid use disorder (OUD). Two retrospective studies showed that, among African Americans (AAs), rs678849, a polymorphism in the delta-opioid receptor gene, moderated the therapeutic effect of sublingual buprenorphine.

Methods: We examined rs678849 as a moderator of the response to an extended-release subcutaneous buprenorphine formulation (BUP-XR) in a 24-week OUD treatment study of 127 AAs and 327 European Americans (EAs). Participants were randomly assigned to receive: (1) BUP-XR as 2 monthly injections of 300 mg followed by either 300 mg monthly or 100 mg monthly for 4 months, or (2) monthly volume-matched placebo injections. Generalized estimating equations logistic regression analyses tested, per population group, the main and interaction effects of treatment (BUP-XR vs placebo) and genotype group (rs678849*CC vs CT/TT) on weekly urine drug screens (UDS).

Results: Among AAs, the placebo group had higher rates of opioid-positive UDS than the BUP-XR group (log odds ratio = 1.67, 95% CI = 0.36, 2.98), but no genotype by treatment effect ($P = .80$). Among EAs, the placebo group also showed higher rates of opioid-positive UDS than the BUP-XR group (log odds ratio = 1.97, 95% CI = 1.14, 2.79) but a significant genotype by treatment interaction ($\chi^2_{(1)} = 4.33, P = .04$).

Conclusion: We found a moderating effect of rs678849 on the response to buprenorphine treatment of OUD in EAs, but not AAs. These findings require replication in well-powered, prospective studies of both AA and EA OUD patients treated with BUP-XR and stratified on rs678849 genotype.

Key Words: Buprenorphine, delta-opioid receptor, rs678849, opioid use disorder, pharmacogenetic.
Introduction

Opioid use and opioid-related overdose are epidemic in the United States, affecting all major segments of the population (Hedegaard et al., 2017; Rudd et al., 2016). Opioid analgesic prescriptions in the country quadrupled over the 2 decades beginning in 1999 (Paulozzi et al., 2011). Despite subsequent decreases in prescribing, in 2018, 3.7% of US adults reported misuse of a prescription pain reliever in the past year (Substance Abuse and Mental Health Services Administration, 2019). Increased demand for prescription opioids and their decreasing availability due to administrative and legal efforts to combat the epidemic have contributed to a transition from prescribed opioids to illicit ones, including heroin and illicitly manufactured fentanyl, a high-potency opioid (Compton et al., 2016). In 2018, nearly 2 million US adults (0.8% of the population aged 18 and older) were reported to have an opioid use disorder (OUD) involving prescription opioids, and another half million adults (0.2%) had an OUD involving heroin (Substance Abuse and Mental Health Services Administration, 2019).

In 2002, buprenorphine, a mu-opioid receptor partial agonist and kappa-opioid receptor antagonist, was approved in the United States for treating OUD. Buprenorphine treatment aims to alleviate the signs and symptoms of opioid withdrawal, reduce craving, and block the subjective effects of abused opioids. Because buprenorphine is not equally effective in all patients with OUD, identifying those who are most likely to respond well to the medication prior to its initiation is an important clinical challenge. Differentiating patients unlikely to respond well to buprenorphine treatment could potentially prevent a delay in their being treated with methadone or long-acting naltrexone, 2 other medications approved for treating OUD. In 2 retrospective analyses of sublingual buprenorphine (BUP-SL), an intronic single nucleotide polymorphism (SNP), rs678849 in OPRD1, which encodes the delta-opioid receptor, moderated the response to buprenorphine treatment of OUD in African Americans (AAs) (Crist et al., 2013, 2019). In the first study, a 24-week comparison of buprenorphine vs methadone in 77 AAs, of the 41 buprenorphine-treated patients, those with the rs678849 CT or TT genotype (n = 17 with either CT or TT) had significantly fewer opioid-positive urine drug screens (UDS) (30.7% ± 32.3) than those with the CC genotype (n = 24; 60.7% ± 37.2; P < .004). The study showed no moderating effect of the SNP in the 566 European-American (EA) participants (Crist et al., 2013). In an independent sample of 55 AA buprenorphine-treated patients (Crist et al., 2019), the risk ratio for opioid-positive UDS associated with the CC genotype was 1.69, thereby replicating the initial finding that Crist et al. (2013) obtained in AAs, but not EAs. Combining data across the 2 studies, buprenorphine-treated AA patients with the CC genotype had opioid-positive UDS 56.3% of the time, compared with 30.7% for patients in the combined CT and TT genotype groups (P < .0001).

To extend these findings by reducing the potentially confounding effects of nonadherence to BUP-SL (Tkacz et al., 2012), we examined the moderating effect of rs678849 on the response to treatment using an extended-release, monthly subcutaneous buprenorphine formulation (BUP-XR, Haight et al., 2019) in AA and EA participants with OUD. The current study also included a placebo group to control for nonpharmacological effects, whereas the prior published studies did not.

Methods

Study Design

The study was a double-blind, placebo-controlled trial conducted at 36 US treatment centers, as described in Haight et al. (2019) and in the study protocol included in the FDA Briefing Document (FDA Briefing Document RBP-6000, 2017). Eligible participants were those 18–65 years of age who met DSM-5 (American Psychiatric Association 2013) criteria for moderate or severe OUD for the 3 months prior to providing written informed consent and were seeking treatment. Potential participants were excluded if they received medications for the treatment of OUD within 90 days of enrollment, required chronic opioid treatment for a current diagnosis other than OUD, or had a current substance use disorder involving substances other than opioids, cocaine, cannabis, alcohol, or tobacco. Participants with moderate or severe alcohol use disorder were excluded, as were those with moderate or severe cocaine or cannabis use disorders if their screening UDS was positive for cocaine or cannabinoids, respectively.

A centralized institutional review board reviewed and approved the protocol in accordance with principles and requirements of the International Council for Harmonisation Good Clinical Practice guidelines. Written informed consent was obtained from participants before any study-related procedure was initiated.

Procedures

Following induction onto BUP-SL for 3 days, participants completed a 4- to 11-day period of dose adjustment with 8–24 mg daily of BUP-SL. After receiving at least 7 days of BUP-SL, participants with no significant opioid craving or withdrawal were randomly assigned (4:4:1:1) to receive BUP-XR 300 mg/300 mg (6 monthly injections of 300 mg), BUP-XR 300 mg/100 mg (2 monthly injections of 300 mg plus 4 monthly injections of 100 mg), or volume-matched placebos.

After randomization, participants attended weekly visits for 24 weeks at which a UDS, self-reported illicit drug use via the timeline follow-back method, measures of opioid withdrawal and craving, and safety assessments were obtained. The UDS tested for stimulants, barbiturates, benzodiazepines,
cannabinoids, cocaine metabolite, methadone, opioids, oxycodone, and phencyclidine. Confirmatory testing for opioids used gas chromatography combined with mass spectrometry for codeine, hydrocodone, hydromorphone, methadone, morphine, oxycodone, and oxymorphone.

Analyses

We compared BUP-XR with placebo separately by population group given the large difference in rs678849 allelic frequencies between AAs and EAs. Of the 504 randomized participants, there were 127 AAs and 327 EAs with genotype data. The outcome variable was a binary indicator of the presence/absence of an opioid-positive UDS. The time frame for the group comparisons was visits 1 through 24, inclusive.

We used generalized estimating equations logistic regression models with an independence working correlation and robust standard errors (Garrett et al., 2011). The main explanatory variables for the analyses were binary indicators of treatment group and of genotype. For the treatment group variable, we combined the BUP-XR groups (who all received 300 mg monthly for the first 2 months and then either 300 mg or 100 mg monthly for 4 months) to form a combined active BUP-XR treatment group (tx = 1), which we compared with a placebo group that combined the 2 volume-matched groups (tx = 0). Thus, the ratio of BUP-XR to placebo treatment was 4:1. For genotype, we combined the TT and CT groups (cc = 0) and compared them with the CC group (cc = 1).

The models also included time trends, modeling weekly visit as a continuous variable, and interaction terms comprised of treatment group, genotype group, and time. Because the 3-way interaction was not significant in either of the populations, we removed it from the model before testing the 2-way interactions. Similarly, because the genotype by time interaction was not significant in either population, it too was removed. The results below are for models with main effects for treatment, genotype and time, with treatment by genotype and treatment by time interactions. For each of the 2 population groups, we used least squares means estimates from the models to estimate opioid-positive UDS rates within treatment by genotype groups and of treatment effects within genotype groups.

We used Cox proportional hazards regression models to compare rates of dropout for treatment and genotype groups within each population group. In secondary analyses, we assessed the effects of missing data on the models by repeating the analyses equating a missed visit to an opioid-positive UDS. We also performed pattern-mixture analyses using a binary indicator for treatment completer as our pattern variable.

Results

Participants

The demographic and clinical characteristics of the sample by population and genotype groups are shown in Tables 1 and 2. The AA sample was predominantly (78.7%) male, with a mean (SD) age of 47.5 years (10.9) and a mean (SD) body mass index of 25.8 kg-m⁻² (4.1). About one-third (36.0%) of the AA participants used opioids intravenously at baseline. The genotype by treatment groups were well balanced at baseline, with only 1 comparison (of 36 made at baseline) attaining significance at uncorrected levels. The EA sample was also largely (62.7%) male, with a mean (SD) age of 37.0 years (10.0) and a mean body mass index of 25.6 kg-m⁻² (4.4). Nearly one-half (47.4%) of EA participants used opioids intravenously at baseline. Comparisons by treatment group, genotype group, and their interaction showed no significant subgroup differences.

Among AAs, the rs678849 genotype frequencies were CC: N = 83 (65.4%), CT: N = 37 (29.1%), and TT: N = 7 (5.5%), consistent with Hardy-Weinberg equilibrium expectations [χ²_P = .43]. Among EAs, the rs678849 genotype frequencies were CC: N = 94 (25.7%), CT: N = 164 (50.2%), and TT: N = 79 (24.2%), also consistent with Hardy-Weinberg equilibrium expectations [χ²_P = .67].

Treatment Outcomes and Moderating Effects

African Americans (n = 127)—The interaction effects were not significant for genotype by treatment by time (P = .43) and genotype by time (P = .16). There was a significant treatment by time interaction [χ²(1) = 7.37, P = .0035], with the log odds ratio (LOR) of an opioid-positive UDS for placebo relative to BUP-XR increasing by 0.11 (95% CI = 0.02, 0.21) weekly (see Figure 1).

The genotype by treatment interaction in AAs was not significant [χ²(1) = .007, P = .99]. Among participants with the CC genotype, the estimated log odds of an opioid-positive UDS in the placebo group was 2.30 (SE = 0.92) compared with 0.48 (SE = 0.20) in the BUP-XR group, with a LOR for placebo relative to BUP-XR of 1.83 (95% CI = –0.02, 3.67, P = .05). Among CT/TT participants, the estimated log

| Table 1. Baseline Demographic and Clinical Characteristics of African-American Participants (N = 127) |
|------------------------------------------------------------------------------------------------|
| **BUP-XR (n = 108)** | **Placebo (n = 19)** |
| **Genotype** | **Genotype** | **Significance level** |
| N (%) | CC | CT/TT | CC | CT/TT |
| **Mean (SD), age, y** | 47.5 (9.6) | 47.5 (11.7) | 46.6 (11.3) | 49.0 (13.9) | .74 | .64 | .67 |
| Sex, % male | 54 (71.1%) | 32 (84.2%) | 9 (69.2%) | 5 (83.3%) | .96 | .52 | .80 |
| **Mean (SD), BMI, kg/m²** | 25.4 (3.9) | 26.5 (4.5) | 25.5 (4.5) | 27.3 (2.5) | .65 | .37 | .74 |
| **IV opioid use, %** | 24 (34.3%) | 15 (39.5%) | 5 (38.5%) | 2 (33.3%) | .78 | .83 | .69 |
| **Mean (SD), COWS score** | 2.7 (2.9) | 2.4 (3.0) | 2.8 (2.8) | 2.2 (1.5) | .87 | .68 | .88 |
| **Mean (SD), SOWS score** | 4.5 (6.6) | 4.2 (7.2) | 7.8 (8.7) | 2.7 (3.0) | .62 | .13 | .20 |

Abbreviations: BMI, body mass index; COWS, Clinical Opiate Withdrawal Scale (Wesson and Ling, 2003); SOWS, Subjective Opiate Withdrawal Scale (Handelsman et al., 1987).

a% whose most common route of opioid use at baseline was i.v.
odds of an opioid-positive UDS in the placebo group was 1.67 (SE = 0.79) compared with 0.15 (SE = 0.27) in the BUP-XR group, with an LOR for placebo relative to BUP-XR of 1.52 (95% CI = –0.12, 3.16, P = .07). Because there were few AA participants with the TT genotype (N = 7), we did not calculate the LOR for that subgroup. Thus, among AAs, the placebo group showed higher rates of opioid-positive UDS than the BUP-XR group, yielding a significant main effect of treatment \( \chi^2_{(1)} = 6.27, P = .01, \text{LOR} = 1.67, 95\% \text{ CI} = 0.36, 2.98 \). Although rates of opioid-positive UDS in the CT/TT genotype were lower than those in the CC genotype for both the placebo and BUP-XR groups, the main effect of genotype was not significant \( \chi^2_{(1)} = 0.59, P = .44, \text{LOR} = -0.48, 95\% \text{ CI} = -1.63, 0.67 \).

**Dropout**

There were no significant effects of genotype by treatment \( \chi^2_{(1)} = 1.09, P = .30 \), genotype \( \chi^2_{(1)} = 0.01, P = .92 \), hazard ratio (HR) of CC relative to CT/TT = 1.04, or treatment \( \chi^2_{(1)} = 1.96, P = .16 \), HR of placebo relative to BUP-XR = 1.83 on time to dropout.

**Table 2.** Baseline Demographic and Clinical Characteristics of European-American Participants (N = 327)

|                  | BUP-XR (n = 253) | Placebo (n = 74) | Significance level |
|------------------|------------------|------------------|-------------------|
| Genotype         |                  |                  |                   |
| N (%)            |                  |                  |                   |
| CC               | 64 (25.3)        | 20 (27.0)        | .86               |
| CT/TT            | 189 (74.7)       | 54 (73.0)        | .77               |
| N (%)            |                  |                  |                   |
| Mean (SD) age, y | 37.1 (10.4)      | 37.85 (10.4)     | .86               |
| Sex, % male      | 39 (60.9%)       | 15 (75.0%)       | .42               |
| Mean (SD) BMI    | 26.3 (4.6)       | 24.05 (4.1)      | .93               |
| IV opioid use*   | 32 (50%)         | 14 (70.0%)       | .60               |
| Mean (SD) COWS   | 2.1 (2.0)        | 3.3 (2.8)        | .95               |
| Mean (SD) SOWS   | 4.1 (4.6)        | 5.5 (5.0)        | .78               |

Abbreviations: BMI, body mass index; COWS, Clinical Opiate Withdrawal Scale (Wesson and Ling, 2003); SOWS, Subjective Opiate Withdrawal Scale (Handelsman et al., 1987).

*% whose most common route of opioid use at baseline was i.v.

**Figure 1.** Proportion of opioid-positive urine drug screens by treatment and genotype groups in African Americans.
European Americans (n = 327)

| Genotype  | Medication | Log odds of + UDS (SE) | LOR (95%CI) | Log odds of + UDS (SE) | LOR (95%CI) |
|-----------|------------|------------------------|-------------|------------------------|-------------|
| CC        | Placebo    | 2.30 (0.93)            | 1.83 (-0.02, 3.67) | 1.04 (0.57)            | 1.08 (-0.11, 2.27) |
| CC        | BUP-XR     | 0.48 (0.20)            | 0.32 (0.04, 0.60)  | -0.04 (0.21)           | 2.25 (0.42)  |
| CT/TT     | Placebo    | 1.67 (0.79)            | 1.52 (-0.12, 3.16) | 2.25 (0.42)            | 2.86 (2.00, 3.71) |
| CT/TT     | BUP-XR     | 0.15 (0.27)            | -0.61 (0.12)      |                        |             |

Abbreviations: BUP-XR, buprenorphine formulation; 95%CI = 95% confidence interval; LOR, log odds ratio; UDS, urine drug screen.

**Discussion**

In this study, as expected given the report by Haight et al. (2019), BUP-XR–treated participants in both population groups had fewer opioid-positive UDS than those treated with placebo irrespective of rs678849 genotype. Analysis of the moderating effects of rs678849 showed that, in AAs, BUP-XR had a modestly larger beneficial effect in the CC genotype group, as evidenced by an LOR for placebo relative to BUP-XR in the CC genotype group that was 0.31 greater than that in the CT/TT genotype group (indicating an OR that was 1.36 times greater). In contrast, among EAs, the LOR for placebo relative to BUP-XR in the CC group was 1.78 smaller than in the CT/TT group, indicating a substantially smaller treatment effect in the CC genotype group than the CT/TT genotype group (the OR was 0.17 of that in the CT/TT group). We lacked the statistical power to test the ratio of ORs, but the difference in effects related to genotype group justified further evaluation.

In AAs, the odds in the CC genotype group did not differ significantly from those in the CT/TT group (P = .80), that is, there was no effect of genotype group on the response to placebo compared with BUP-XR. In EAs, the LORs differed significantly (P<.0001) by genotype group (~1.78 vs 0.17 for CC vs CT/TT), reflecting genetic moderation of the treatment effect. The missing data analyses showed genotype by medication effects with the same direction and magnitude as those in the primary analyses. Thus, although we did not replicate the findings of Crist et al. (2013, 2019) of a moderating effect of rs678849 on the response
to buprenorphine in AAs, we found such an effect in EAs, which Crist et al. (2013) did not.

A number of factors may explain our lack of replication of the findings of Crist et al. (2013, 2019). Whereas we examined the pharmacogenetic effects of rs678849 in a randomized, placebo-controlled, blinded trial of a long-acting BUP-XR, the Crist et al. studies (2013, 2019) assessed only a sublingual formulation and did not include a placebo condition. The use of a long-acting formulation could reduce potential confounding from missed doses of the medication. The presence of a placebo group, in addition to providing evidence of the efficacy of BUP-XR, also reduces potential confounding, such as that attributable to pleiotropic genetic effects and population stratification (Ross et al., 2012). Further, the AA subsample in the BUP-XR clinical trial (Haight et al., 2019) is substantially smaller than the EA subsample, limiting the statistical power for replication. Additionally, there could be differences in the clinical effects of BUP-SL and BUP-XR. Although the active medication is the same in both formulations, daily treatments like BUP-SL and methadone frequently suffer from poor adherence (Roux et al., 2014), resulting in a greater risk of illicit opioid use (Blum et al., 2018). Extended-release injectable formulations such as BUP-XR reduce the frequency of administration from daily to monthly and ensure drug delivery once administered. The formulations also differ pharmacokinetically, resulting in different buprenorphine plasma concentrations and consistency of exposure during the day. While BUP-SL may not sustain buprenorphine plasma concentrations at therapeutic levels with daily dosing (Greenwald et al., 2007), monthly injections of the BUP-XR formulation provide sustained buprenorphine plasma concentrations over the entire dosing interval at levels sufficient to block drug-liking of abused opioids while controlling withdrawal symptoms and craving (Haight, et al., 2019). Accounting for differences in pharmacokinetics through pharmacokinetic/pharmacodynamic modeling could be considered for future analyses.

The mechanism by which rs678849 could regulate the response to BUP-XR is not clear. Intronic variants may tag 1 or more other functional variants, although this seems unlikely to explain the rs678849 effect given the haplotype structure of OPRD1 in the EA population (Crist et al., 2013). Intronic variants like rs678849 can also affect alternative splicing or alter gene expression by disrupting cis regulatory elements (Cooper 2010). Such an effect has been observed for rs3778150, an intronic variant in OPRM1 that is both an expression quantitative trait locus for the gene and associated with OUD (Hancock et al., 2015). The delta-opioid receptor is also believed to form heterodimers with mu- and kappa-opioid receptors (Valentino and Volkow, 2018). The signaling of these heterodimers may differ from that of the component receptors (Valentino and Volkow, 2018), providing another potential mechanism by which variation in the delta-opioid receptor could directly affect buprenorphine’s efficacy. Finally, although buprenorphine is thought to act at mu- and kappa-opioid receptors, there is evidence that it also has a strong affinity for the delta-opioid receptor (Negus et al., 2002). Further, norbuprenorphine, a major metabolite of buprenorphine, may be a delta-opioid receptor agonist (Huang et al., 2001).

This study has limitations that should be considered. In addition to the modest size of the study groups, particularly when differentiated by race, the study was not designed to evaluate

![Figure 2. Proportion of opioid-positive urine drug screens by treatment and genotype groups in European Americans.](image-url)
pharmacogenetic effects. To prevent potential confounding of the genetic and treatment effects requires that the randomization be stratified based on genotype so that all participants would have undergone genotyping during screening. Second, although treatment response may be partially genetically determined (Motsinger-Reif et al., 2013), it is a complex trait and is influenced by multiple genetic variants of small effect (Manolio et al., 2009), which are likely to differ by population group.

Despite the lack of a moderating effect in AAs, rs678849 moderated the efficacy of BUP-XR in EAs, with the CC genotype group showing a poorer treatment response than the CT/TT group. Although this finding requires independent replication, EAs are the largest population group affected by OUD and opioid overdose (Rudd et al., 2016), so the findings have potentially important implications for the treatment of OUD. Future studies of SNPs such as rs678849 or others that could be identified in genome-wide association studies will require large, treatment samples comprising different population groups. A promising alternative to the use of SNPs is the use of polygenic risk scores, which capture the genetic contributions of a potentially large number of variants, to identify a priori individuals with OUD who are most likely to respond to buprenorphine treatment.

Supplementary Materials

Supplementary data are available at International Journal of Neuropsychopharmacology (IJNPPY) online.

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Statement of Interest

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