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Transcription factor NFE2L2/NRF2 is a regulator of macroautophagy genes

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ABSTRACT

Autophagy is a highly coordinated process that is controlled at several levels including transcriptional regulation. Here, we identify the transcription factor NFE2L2/NRF2 (nuclear factor, erythroid 2 like 2) as a regulator of autophagy gene expression and its relevance in a mouse model of Alzheimer disease (AD) that reproduces impaired APP (amyloid β precursor protein) and human (Hs)MAPT/TAU processing, clearance and aggregation. We screened the chromatin immunoprecipitation database ENCODE for 2 proteins, MAHK and BACH1, that bind the NFE2L2-regulated enhancer antioxidant response element (ARE). Using a script generated from the JASPAR’s consensus ARE sequence, we identified 27 putative AREs in 16 autophagy-related genes. Twelve of these sequences were validated as NFE2L2 regulated AREs in 9 autophagy genes by additional ChIP assays and quantitative RT-PCR on human and mouse cells after NFE2L2 activation with sulforaphane. Mouse embryo fibroblasts of nfe2l2-knockout mice exhibited reduced expression of autophagy genes, which was rescued by an NFE2L2 expressing lentivirus, and impaired autophagy flux when exposed to hydrogen peroxide. NFE2L2-deficient mice co-expressing HsAPPβ/APP and HsMAPT/TAU exhibited more intracellular aggregates of these proteins and reduced neuronal levels of SQSTM1/p62, CALCCOC2/NQBP1, ULK1, ATG5 and GABARAP1. Also, colocalization of HsAPPβ/APP and HsMAPT/TAU with the NFE2L2-regulated autophagy marker SQSTM1/p62 was reduced in the absence of NFE2L2. In AD patients, neurons expressing high levels of APP or MAPT also expressed HsAPPβ/APP and nuclear NFE2L2, suggesting their attempt to degrade intraneuronal aggregates through autophagy. This study shows that NFE2L2 modulates autophagy gene expression and suggests a new strategy to combat proteinopathies.

Introduction

The homeostatic control of protein synthesis, folding, trafficking and degradation, i.e. proteostasis, is critical for biological processes, and its alterations lead to pathological outcome, including neurodegenerative diseases.1 The 2 main mechanisms that control protein degradation are the 20S and 26S proteasome and autophagy in its 3 primary modalities, microautophagy, chaperone-mediated autophagy and macroautophagy.2 These processes ensure timely degradation of misfolded, oxidized or altered proteins that otherwise develop proteinopathy. In particular, macroautophagy, usually termed autophagy, participates in the degradation of long-lived proteins that, if improperly cleared, aggregate and cause cellular damage.

The autophagy process requires the coordinated participation of a set of structural proteins that participate in the formation of autophagosomes and autolysosomes, as well as cargo-selective proteins that recognize specific cargos and direct them to degradation.3 Most studies on the regulation of macroautophagy have focused on protein-protein interactions based on MTOR-dependent mechanisms. For instance, upon serum-deprivation or inhibition by rapamycin, low MTOR activity allows ULK1 activation by disruption of the interaction with AMP kinase and results in increased autophagy.4 Because these regulatory mechanisms are cell signaling dependent, they probably represent a quick adaptation to cellular needs of a specific moment. Other reports indicate positive5-8 and negative9,10 transcriptional regulation of autophagy, which might represent a long-term mechanism of adaptation. Two documented transcription factors that fulfill this role are the helix-loop-helix transcription factor TFEB and the forkhead transcription factor FOXO.11,12 Given the complexity...
of the macroautophagy system and the need for a coordinated interplay between autophagy-regulated and -regulating proteins, it is hypothesized that the expression of autophagy genes is connected through additional common regulatory transcription factor(s).

Considering that autophagy is a proteostatic and defensive mechanism, we sought to determine if this process is regulated by NFE2L2/NRF2 (nuclear factor, erythroid 2 like 2). The transcription factor NFE2L2 is considered a master regulator of cellular homeostasis, as it controls the expression of numerous cytoprotective genes. These genes share a common cis-acting element in their promoters, named the antioxidant response element (ARE), and the list of cytoprotective genes that contain this enhancer is continuously growing to include those involved in biotransformation, antioxidant defense, and metabolic reprogramming. Regarding autophagy, the cargo recognition protein SQSTM1/p62 contains the motif KIR that interacts with KEAP1 leading to its transport into the phagophore (the autophagosome precursor) and relieving NFE2L2 from inhibition. In connection with this finding, SQSTM1 contains one ARE and is therefore upregulated by NFE2L2, creating a feed-forward cycle. The cargo recognition protein CALCOCO2/NDP52 also appears to be regulated by NFE2L2, leading to the clearance of toxic proteins such as phosphorylated HsMAPT (p-MAPT) in a mouse model of AD, while 3 different ARE sequences were identified in its promoter region.

Impaired proteostasis is a crucial hallmark of neurodegenerative diseases. Protein pathology in AD is related to the altered processing of APP, which leads to intracellular accumulation and extracellular deposition of amyloid-β (Aβ) peptides, as well as aggregation of p-MAPT into intracellular neurofibrillary tangles. Both APP and HsMAPT are degraded, at least in part, through autophagy and several reports suggest that this process is altered in AD. For instance, dystrophic neurites of AD patients contain more autophagosomes, increased levels of MTOR signaling components and lysosomal hydrolases.

In this study, we sought to determine if genes that participate in autophagy are regulated by NFE2L2. Our results support our hypothesis that NFE2L2 participates in the regulation of autophagy gene expression and suggest a new therapeutic strategy for proteinopathies based on the activation of this transcription factor.

**Results**

**Identification of putative AREs in autophagy genes**

To define comprehensively the role of NFE2L2 in the transcriptional regulation of autophagy, we searched the Encyclopedia of DNA Elements at UCSC (ENCODE) of the human genome (Feb. 2009) for autophagy genes with putative AREs. This database contains the experimental data from chromatin immunoprecipitation (ChIP) studies of several transcription factors. Although NFE2L2 is not included, we analyzed 2 other ARE binding factors, MAFK and BACH1, for which information is available. We found evidence of MAFK or BACH1 binding in many genes involved directly or indirectly in autophagy. Then, we developed a Python-based bioinformatics analysis script, to compare the consensus human ARE from the JASPAR database with putative AREs in the promoter regions of these genes (for details see Material and methods and Tables S1 and S2). We detected 25 putative new AREs (relative score over 80%) in these 16 autophagy genes, besides the internal controls that our algorithm retrieved as the bona fide ARE-genes in NQO1 and HMOX1 (Table 1). Some of these genes, such as SQSTM1 or CALCOCO2 were reported to be regulated by NFE2L2, and for SQSTM1 we identified the previously described ARE plus 3 new sequences. The other putative ARE-genes were identified here for the first time. Moreover, several putative AREs are conserved in mice (Tables S1–S3).

**Validation of putative AREs by ChIP and qRT-PCR**

The newly identified ARE sequences were subsequently validated by ChIP assays for NFE2L2. Because of the lack of adequate antibodies to immunoprecipitate endogenous NFE2L2 efficiently, we used HEK293T cells transfected with an expression vector for V5-tagged NFE2L2. Moreover, this construct lacked the KEAP1 regulatory domain (ETGE), facilitating NFE2L2 stabilization, its translocation to the nucleus and binding to target genes. ChIPs were performed with anti-V5 antibody, and with anti-IgG as negative control. Immunoprecipitated DNA was analyzed by quantitative real time PCR (qRT-PCR) with specific primers surrounding the putative AREs (Table S4).

### Table 1. Putative Antioxidant Response Elements (AREs) in the promoter regions of autophagy genes with a relative score higher than 80%

| GENE | Localization in the human genome | Max score | Relative score | ARE putative binding sequence |
|------|---------------------------------|-----------|----------------|-------------------------------|
| SQSTM1 | chr5:179247562-179247551 | 19.11 | 1.0 | ATGACTCAGCA (1) |
| | chr5:179246594-179246583 | 18.97 | 0.997 | TGACGGATCAAG (2) |
| | chr5:179247479-179247490 | 11.44 | 0.816 | GCGACTCAGCA (3) |
| | chr5:179246161-179246160 | 13.39 | 0.863 | ATGCCGGATCAAG (4) |
| CALCOCO2 | chr7:46003835-4600374 | 12.65 | 0.845 | GTGACTCAGCA |
| | chr7:46006430-4600441 | 12.34 | 0.838 | ATGCCGGATCAAG |
| ULK1 | chr1:132381043-132381032 | 12.62 | 0.844 | ATGACTCAGCA |
| ULK2 | chr1:17973789-17973800 | 15.74 | 0.919 | TGACAGAGCA |
| ATG2B | chr14:96849918-96849929 | 11.38 | 0.815 | GTGACTCAGCA |
| ATG4C | chr1:63212369-63212380 | 13.03 | 0.854 | ATGCCGGATCAAG |
| ATG4D | chr19:10652256-10652315 | 14.96 | 0.9 | ATGCCGGATCAAG |
| | chr19:10655902-10655891 | 10.94 | 0.804 | ATGCCGGATCAAG |
| ATG5 | chr6:106873861-106873872 | 11.01 | 0.806 | ATGCCGGATCAAG |
| ATG7 | chr3:11347170-11347159 | 15.94 | 0.924 | ATGCCGGATCAAG |
| | chr3:11320731-11320720 | 13.65 | 0.869 | ATGCCGGATCAAG |
| | chr3:11347216-11347227 | 11.08 | 0.807 | ATGCCGGATCAAG |
| | chr3:11320599-11320610 | 11.07 | 0.807 | ATGCCGGATCAAG |
| | chr3:110634974-10364963 | 14.58 | 0.891 | ATGCCGGATCAAG |
| | chr3:11066072-10366083 | 18.97 | 0.997 | ATGCCGGATCAAG |
| | chr7:150723213-150723224 | 14.24 | 0.883 | ATGCCGGATCAAG |
| | chr5:81278192-81278181 | 16.65 | 0.941 | ATGCCGGATCAAG |
| | chr2:223413469-2234134589 | 16.53 | 0.938 | ATGCCGGATCAAG |
| | chr2:223413458-2234134589 | 13.56 | 0.867 | ATGCCGGATCAAG |
| | chr7:5239766-5239755 | 16.65 | 0.941 | ATGCCGGATCAAG |
| | chr13:113951364-113951353 | 12.06 | 0.831 | ATGCCGGATCAAG |
| | chr11:46576251-46576240 | 11.51 | 0.818 | ATGCCGGATCAAG |
| | chr11:46572300-46572311 | 10.84 | 0.802 | ATGCCGGATCAAG |
| | chr16:69796919-69796908 | 18.97 | 0.997 | ATGCCGGATCAAG |
| | chr22:335768021-335768010 | 18.97 | 0.997 | ATGCCGGATCAAG |
| | chr22:335768127-335768116 | 18.97 | 0.997 | ATGCCGGATCAAG |
| | chr22:335747111-335747100 | 13.24 | 0.859 | ATGCCGGATCAAG |

Asterisks denote AREs that have been described previously.
Among the 27 putative AREs analyzed in autophagy genes we found enrichment of 11 ARE regions in V5-immunoprecipitated chromatin, indicating that NFE2L2 binds these promoter regions (Fig. 1A-B). Our study also detected enrichment of 2 positive controls from the bona fide NFE2L2 targets NQO1 and HMOX1. No enrichment was detected with specific primers for ACTB, for an upstream region of NQO1 that does not contain AREs, or for ATG3, all of which had low scores for putative AREs in the bioinformatics analysis. Negative control assays were further performed without antibodies or on nontransfected cells (data not shown). Next, we sought to determine the existence of other potential AREs in the SQSTM1 promoter aside from the one already described. For this purpose we used specific primers surrounding the other potential AREs (Table S4 and Table 1). We observed that NFE2L2 bound to all these sequences (Fig. 1B), demonstrating that they constitute additional AREs in this gene.

We went on to further confirm our observations in HEK293T cells, treated with the NFE2L2 activator sulforaphane (SFN) (15 μM, 12 h), which has been used previously to induce autophagy. Transcript levels of the selected autophagy genes were analyzed by qRT-PCR, demonstrating increased expression of SQSTM1, CALCOCO2, ULK1, ATG2B, ATG4D, ATG5, and GABARAPL1 upon SFN treatment (Fig. 1C). HMOX1 was analyzed and confirmed as a positive control. We next extended our observations to murine cells by analyzing hippocampus-derived HT22 cells treated with SFN (15 μM, 12 h). SFN augmented the expression of all the murine counterparts of the genes identified in the human cells (Fig. 1D). The combined results indicated that the regulation of expression of autophagy genes is conserved in both species.

We next analyzed the expression of these genes in mouse embryonic fibroblasts (MEFs) from wild-type (Nfe2l2-WT) or Nfe2l2-knockout (Nfe2l2-KO) mice by qRT-PCR (Fig. 2A). Impaired expression of Sqstm1, Calcoco2, Ulk1, Atg2b, Atg4d, Atg5, Atg7 and Gabarapl1 was observed in Nfe2l2-KO MEFs. Moreover, reduced expression of Sqstm1, Calcoco2 and Atg7 products was also confirmed at the protein level with available antibodies (Fig. 2B-C). To further define the role of NFE2L2 in the regulation of these autophagy genes we performed chemical and genetic manipulations of this transcription factor. In response to SFN nfe2l2-KO MEFs exhibited a reduced induction of these genes compared to nfe2l2-WT MEFs (Fig. 2D). In addition, rescue experiments in nfe2l2-KO MEFs employing NFE2L2-D_EGTE-V5 augmented normal basal levels of expression of autophagy

Figure 1. NFE2L2 modulates autophagy gene expression. (A) HEK293T cells were transfected with an expression vector for NFE2L2^{ΔEGTE-V5}. ChIP analysis was performed with anti-IgG or anti-V5 antibodies and the potential AREs with the highest score were analyzed by qRT-PCR. (B) The same ChIP analysis of putative AREs in the promoter of SQSTM1. The figures show representative data normalized as the fold of enrichment with the anti-V5 antibody vs. the IgG antibody. In (A), ACTB and an upstream region of NQO1 that does not contain any ARE (NQO1^{C3}) were analyzed as negative controls. Previously described AREs in HMOX1, NQO1, SQSTM1 and CALCOCO2 were analyzed as positive controls. These experiments were repeated 3 times with similar results. In (B), numbers in brackets indicate the AREs from Table 1 specifically amplified. (C and D) HEK293T and HT22 cells were submitted to sulforaphane (SFN, 15 μM) for 12 h. mRNA levels of the indicated genes were determined by qRT-PCR and normalized by Actb levels. Data are mean ± SEM (n = 3). Statistical analysis was performed with the Student t test. *, p < 0.05; **, p < 0.01; and ***, p < 0.001 vs. untreated conditions.
genes when compared with *nfe2l2*-KO MEFs infected with a control GFP-expressing lentivirus. Indeed, *Sqstm1, Calcoco2, Ulk1, Atg5, Atg7* and *Gabarapl1* exhibited a robust response (Fig. 2E).

In order to determine the impact of NFE2L2-deficiency in the autophagy flux, we analyzed the conversion of LC3B-I to LC3B-II as an indicator of autophagosome formation. The putative AREs in the LC3 coding gene could not be validated by ChIP and we did not detect significant changes in LC3 mRNA levels in NFE2L2-deficient primary cortical neurons (data not shown), suggesting that these changes are not connected with NFE2L2 regulation of this gene. Following serum-deprivation or rapamycin, we detected similar increases in the levels of LC3B-II between *Nfe2l2*-WT and *nfe2l2*-KO MEFs, indicating that NFE2L2 has little or no effect in the regulation of autophagy by these stimuli (Fig. S1). Interestingly, *H2O2* treatment during 4 h increased LC3B-II levels in both cell types, but to a lesser extent in *nfe2l2*-KO MEFs (Fig. 2F and 2G). We concluded that NFE2L2 may regulate autophagy under oxidative stress conditions.

**NFE2L2 deficiency impairs autophagy in a mouse model of Alzheimer disease**

To determine the relevance of NFE2L2 in the modulation of autophagy, we used a mouse model of proteinopathy that reproduces the 2 main hallmarks of AD, namely amyloidopathy and tauopathy. These animals express mutant HsAPPV717I and...
HsMAPT<sub>P301L</sub> under the control of the Thy1 promoter<sup>32</sup> and develop intracellular HsAPP and HsMAPT aggregates after 12 mo of age. In addition, we generated HsAPP- and HsMAPT-expressing mice in wild-type (AT-Nfe2l2-WT) and nfe2l2-knockout (AT-nfe2l2-KO) genetic backgrounds.

First of all and to specifically determine the impact of NFE2L2 deficiency in neurons, we analyzed autophagy gene expression in hippocampal/cortical primary cultures by qRT-PCR (Fig. 3A). As expected, nfe2l2-KO neurons exhibited impaired expression of Sqstm1, Calcoco2, Ulk1, Atg2b, Atg5, Atg7 and Gabarapl1. Autophagy gene expression was then analyzed in hippocampus and brainstem (Table S5A-C) of Nfe2l2-WT, nfe2l2-KO, AT-Nfe2l2-WT and AT-nfe2l2-KO mice. In agreement with previous studies,<sup>33,34</sup> 11-13-mo-old nfe2l2-KO mice had minimal changes in expression levels of Nfe2l2-dependent genes compared to Nfe2l2-WT mice, Aox1 (aldehyde oxidase 1) being the most significant. Comparison of AT-Nfe2l2-WT vs. AT-nfe2l2-KO hippocampus also showed a small yet significant change in the expression of Aox1, Sqtstm1, Atg2b, and Gabarapl1.

Due to the small changes found in whole brain tissue, we reasoned that the effect of NFE2L2 on autophagy might be more evident by looking at the neurons that express mutant HsAPP and HsMAPT proteins. As shown in Fig. 3B-F and Fig. S3, the

![Figure 3. NFE2L2 deficiency impairs autophagy in neurons. (A) Expression levels of the indicated genes from Nfe2l2-WT and nfe2l2-KO primary hippocampal/cortical neurons were determined by qRT-PCR and normalized to Actb levels. Data are mean ± SEM (n = 3). Statistical analysis was performed with the Student t test, *p < 0.05 vs. Nfe2l2-WT neurons. (B-G) Confocal analysis of double immunofluorescence with anti-APP/Aβ (4G8, red) and anti-SQSTM1, CALCOCO2, ULK1, ATG5, GABARAPL1 or LC3B (green) antibodies in brain of AT-Nfe2l2-WT and AT-nfe2l2-KO. Fluorescence intensity was analyzed in the cytosol of 100 randomly chosen APP-positive neurons of each genotype. Quantification was derived from 3 independent experiments with 2 fields per experiment. Fluorescence intensity was quantified in 1.5-μm-thick stacks using ImageJ software. Data are mean ± SEM. Statistical analysis was performed with a Student t test. *, p < 0.05; **, p < 0.01; and ***, p < 0.001 vs. AT-Nfe2l2-WT mice.]
protein levels of SQSTM1, CALCOCO2, ULK1, ATG5 and GABARAPL1 were reduced in neurons of the AT-nfe2l2-KO mice expressing HsAPP, compared to those of AT-Nfe2l2-WT mice. Similar results were obtained in HsMAPT-expressing neurons (Figs. S3 and S4). LC3B was analyzed as an estimate of overall autophagy. We found that LC3B protein levels were also reduced in neurons of AT-nfe2l2-KO vs. AT-Nfe2l2-WT mice expressing HsAPP (Figs. 3G and S3) or HsMAPT (Figs. S3 and S4). Together, these results point to the relevance of NFE2L2 in regulation of autophagy under proteotoxic stress.

The total levels of APP protein were similar in hippocampal lysates of 12-mo-old AT-Nfe2l2-WT and AT-nfe2l2-KO mice (data not shown). Measurement of soluble and insoluble fractions of Aβ40 and Aβ42 peptides by ELISA revealed similar levels of soluble peptides but reduced insoluble Aβ40 (Fig. 4A) and Aβ42 (Fig. 4B) in the AT-nfe2l2-KO mouse. Immunohistochemical staining of hippocampal sections for APP/Aβ (4G8 antibody) revealed more intracellular vesicles in neurons of AT-nfe2l2-KO mice (Fig. 4D and 4E). This was most evident in the subiculum, which is the first brain region to develop amyloidopathy. Taken together, these results indicated that APP proteostasis was impaired in NFE2L2-deficient mice.

Regarding tauopathy, we observed no major differences of total HsMAPT (H17 antibody) or p-MAPT (AT8 antibody) in hippocampal sections of both Nfe2l2 genotypes (Fig. 5A). However, a modest increase in the levels of both murine endogenous MmMAPT and transgenic HsMAPT (TAU46 antibody) and p-HsMAPT (PHF-1 antibody) proteins was observed in the sarkosyl-insoluble fractions from AT-nfe2l2-KO hippocampal homogenates (Fig. 5B-E). These results reflected the fact that both transgenic and endogenous MAPT tended to accumulate in the insoluble fraction in the absence of NFE2L2.

We went on to analyze the localization of HsAPP and HsMAPT proteins in connection to the autophagy marker

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Figure 4. NFE2L2 deficiency leads to intracellular APP/Aβ accumulation. (A-B) Determination of Aβ levels by ELISA in hippocampal brain homogenates of 12–14 mo-old AT-Nfe2l2-WT and AT-nfe2l2-KO mice. Aβ40 and Aβ42 levels were measured in the soluble (FS) and insoluble (FI) fractions (formic acid extracted). Soluble and insoluble Aβ40 (A) and Aβ42 (B) levels were normalized by total protein amount. (C) Ratio IF:SF of Aβ40 and Aβ42 peptides. Data are mean ± SEM (n = 10). Statistical analysis was performed with a Student’s t test. *p < 0.05; and **p < 0.01 comparing AT-Nfe2l2-WT and AT-nfe2l2-KO mice. (D) Immunohistological analysis of APP/Aβ expression (4G8 antibody) in hippocampus of 13-mo-old AT-Nfe2l2-WT and AT-nfe2l2-KO mice. (E) Estimation of the number of APP/Aβ-positive granules in CA1 and subiculum from 12–14-mo-old AT-Nfe2l2-WT and AT-nfe2l2-KO mice. Data are mean ± SEM (n = 8). Statistical analysis was performed with the Student’s t test. *p < 0.05; and **p < 0.01 comparing AT-Nfe2l2-WT vs. AT-nfe2l2-KO groups.
SQSTM1 in AT-Nfe2l2-WT and AT-nfe2l2-KO mice by double immunofluorescence staining. We observed that in AT-Nfe2l2-WT mice a large number of APP vesicles were also decorated with the NFE2L2-regulated autophagy gene product SQSTM1. In contrast, AT-nfe2l2-KO mice showed less colocalization with SQSTM1, while APP-positive vesicles were larger, and appeared swollen, compared to AT-Nfe2l2-WT mice (Fig. 6A-B). HsMAPT showed a more diffuse pattern

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but once again, AT-nfe2l2-KO mice exhibited less colocalization between HsMAPT and SQSTM1 (Fig. 6C-D).

**Evidence of altered NFE2L2 activity and autophagy in AD patients**

We compared the levels of NFE2L2 and several autophagy proteins in lysates from brain hippocampus of asymptomatic and AD donors (Fig. 7A-B). The levels of NFE2L2 and one of its downstream targets, HMOX1, were increased in AD patients vs. controls. More importantly, the levels of the autophagy proteins SQSTM1, CALCOCO2 and ATG7 were also increased. We analyzed more specifically the NFE2L2-SQSTM1 pathway in neurons by immunohistochemistry. It was technically not possible to perform double labeling because of the different retrieval requirements for each of the antigens NFE2L2, SQSTM1 and APP, which we circumvented by analyzing 3 adjacent sections (each 4-μm thick) containing the same cells. We observed intracellular APP/Aβ in similar vesicles as observed above in AT-Nfe2l2-WT and AT-nfe2l2-KO mice (Fig. 7C). Interestingly, the neurons in human brain with highest abundance of vesicular APP were localized in the entorhinal cortex, and also expressed high levels of NFE2L2 and SQSTM1 (Fig. 7C). Similarly, some scattered neurons were found in the cortex that presented HsMAPT-positive neurofibrillary tangles, stained with anti-HsMAPT (HT7). Such neurons that intensely stained for HsMAPT were also expressing high levels of nuclear NFE2L2 and SQSTM1 (Fig. 7C). These results confirmed in human brain that NFE2L2 was upregulated in neurons suffering from proteotoxic APP/Aβ or HsMAPT insults.

**Discussion**

A functional connection between NFE2L2 transcriptional signature and autophagy can be predicted by the fact that both processes participate in oxidant defense by preventing thiol modification or clearing oxidized proteins, respectively. In search for a mechanistic connection between both processes, we report that NFE2L2 activates the expression of some genes involved in autophagy initiation (ULK1), cargo recognition (SQSTM1 and CALCOCO2), autophagosome formation.
(ATG4D, ATG7 and GABARAPL1), elongation (ATG2B and ATG5), and autolysosome clearance (ATG4D). Therefore, our study adds NFE2L2 as a transcription factor that regulates macroautophagy, next to the TFEB and FOXO family of transcription factors. The existence of several controls for autophagy gene expression probably assures proteostasis under different circumstances. Thus, TFEB and FOXO3/FOXO3A participate in multiple scenarios including rapamycin-sensitive cell signaling and nutritional stress. Nonetheless, NFE2L2 may be more relevant in tissues that support highly oxidative metabolism. By this mechanism, oxidative stress-induced NFE2L2 may function under nutrient-rich conditions to upregulate transcription of genes encoding proteins required for autophagy, similar to what has been found for the transcription factors TFEB and FOXO3 under starvation conditions. As the brain is not allowed to starve and functions largely under nutrient-rich conditions when glucose is available, this may be a relevant mechanism to activate autophagy in neurons.

Very few studies have performed direct ChIP sequencing for NFE2L2, because of the low or even misleading specificity of available antibodies. Therefore, we undertook a different approach based on the analysis of other proteins that bind ARE, MAFK and BACH1, for which more reliable ChIP sequencing data are available in the ENCODE database. MAFK is a leucine-zipper transcription factor that makes heterodimers with NFE2L2. BACH1 is a repressor of several AREs, also containing a leucine-zipper domain and forming heterodimers with MAF proteins. Our bioinformatics analysis retrieved 25 new putative AREs located in 16 autophagy genes, many of which were conserved in mice. We validated 11 AREs in 8 autophagy genes as targets of NFE2L2 by additional ChIP analysis in HEK293T cells and by qRT-PCR in human and mouse cells treated with the NFE2L2 activator SFN, which disrupts the normal KEAP1-NFE2L2 interaction by making an adduct with KEAP1 at cysteine residues. In other studies the effect of SFN was attributed to modulation of signaling events of the MTOR pathway through RPS6KB1/pS6K1, activation of AMP kinase, or the MAPK/ERK pathways rather than to the re-introduction of NFE2L2 itself. However, we show that the induction of autophagy genes by SFN is impaired in nfe2l2-KO MEFs, therefore indicating that NFE2L2 is at least partially involved. Moreover, the expression of autophagy genes in these NFE2L2-deficient cells was increased by the re-introduction of NFE2L2 expression with the help of a lentiviral vector.

Reduced clearance of aggregation-prone proteins is a hallmark of many diseases, including AD, and in many a deficit in autophagy was reported. In agreement, impaired autophagy of the AT-nfe2l2-KO mice correlated with altered APP processing, stalled in multiple swollen vacuoles with reduced levels of SQSTM1. Moreover, it was surprising to find lower total levels of Aβ in AT-nfe2l2-KO compared to AT-Nfe2l2-WT. Similar results have been reported for ATG7-deficient mice, which show impaired secretion, reduced total Aβ levels and fewer amyloid plaques. Several studies have found that autophagosomes are a place for generation of Aβ and BACE1/β secretase and gamma secretase appear to be localized at least in part in autophagosomes. Thus, a reduction of autophagosomes might lead to lower Aβ load. In support of this hypothesis, we found reduced levels of autophagy markers (SQSTM1, CALCOCO2, ULK1, AGT5, GABARAPL1 and LC3B) in the AT-nfe2l2-KO mice (Fig. 3).

Our results are also consistent with a recent study in which the amyloidogenic mouse model APP-PSEN1 lacking NFE2L2 exhibits enhanced accumulation of APP in multivesicular bodies, endosomes, and lysosomes and shows an altered production of amyloid proteins due to impaired autophagic flux. In these mice, NFE2L2-deficiency leads to increased amyloid plaque accumulation, whereas our AT-nfe2l2-KO mice exhibited a tendency to develop fewer amyloid plaques compared to AT-Nfe2l2-WT counterparts despite presenting with a worse cognitive performance in the Morris water maze and reduced long-term potentiation (A.LR and A.C., to be reported elsewhere). These findings may be reconciled considering that the APP-PSEN1 mice exhibit a very strong amyloidogenic processing of APP (PSEN1-driven APP processing), while our model exhibits mild amyloidogenic processing (APP-only), suggesting a reduced availability of extracellular Aβ peptides for plaque formation. In this regard, our results are consistent with those reported in the “APP-only” transgenic mice with ATG7-deficiency. In this case, impaired autophagy influences unconventional secretion of Aβ to the extracellular space and thereby affects Aβ plaque formation. All in all, available data comply with the hypothesis that autophagic elimination of APP is crucial to prevent amyloidopathy and suggest a role of NFE2L2 in APP clearance.

Although HsMAPT can be degraded through several proteolytic pathways, it is increasingly recognized that impaired autophagy also plays a central role in tauopathy. Thus, MTOR signaling regulates HsMAPT phosphorylation and autophagic degradation, whereas inhibition of MTOR with rapamycin has the opposite effect. Our results are fully consistent with at least partial NFE2L2-dependent autophagic degradation of HsMAPT, given its colocalization with SQSTM1 in autophagic vacuoles. Our observations also fit with a previous report indicating that HsMAPT is a cargo of CALCOCO2 to be delivered to the lysosome in autophagosomes and that deficiency in this protein aggravates tauopathy in mice.

It is not fully clear yet if the NFE2L2 signature is altered in the degenerative or even in the aging brain. In agreement with a previous report, we found minimal changes of ARE-gene expression between 11-13-mo-old Nfe2l2-WT and nfe2l2-KO mice. Also, as reported for the APP-PSEN1 mice, our results show modest or no changes in autophagy genes in the hippocampus and brainstem of AT-nfe2l2-KO vs. AT-Nfe2l2-WT mice when the whole brain parenchyma is analyzed. Therefore, the strong evidence for a role of NFE2L2 in autophagy genes gathered in cell culture was not reflected in vivo when we analyzed the whole brain parenchyma. However, in double-immunofluorescence assays, we did find differences in the levels of autophagy proteins when we compared HsAPP-HsMAPT-expressing neurons from AT-Nfe2l2-WT vs. AT-nfe2l2-KO brains. Therefore, the effect of NFE2L2 was detected specifically in the neurons that are under proteotoxic attack, and probably for this reason could find a role that was not be detected in the whole brain since most cells are not expressing HsAPP or HsMAPT.

Regarding AD patients, while there is overwhelming evidence of impaired autophagy, the role of NFE2L2 is
controversial. A highly cited study showed that NFE2L2 is predominantly localized in the cytoplasm of AD hippocampal neurons, suggesting reduced NFE2L2 transcriptional activity in the brain. However, other studies have reported increased ARE-regulated proteins in AD brains, such as HMOX1, NQO1, or SQSTM1. Our results, both with protein lysates of hippocampus and with immunohistochemistry are in line with these latter reports, because we found increased nuclear NFE2L2 expression in proteinopathic neurons. One possible explanation for this discrepancy is that NFE2L2 levels might change during disease progression and in different brain regions. It is interesting to add that the rodent *Octodon degus* naturally develops with aging proteinopathic hallmarks of AD that correlate with increased *Nfe2l2* mRNA levels, further suggesting upregulation of NFE2L2 to combat proteinopathy.

Our work supports the observation that phosphorylated SQSTM1 is increased in AD samples. This modified form of SQSTM1 has high binding affinity to KEAP1 and drives this NFE2L2 repressor to autophagosomes. It is then plausible to think that SQSTM1-mediated removal of KEAP1 would result in NFE2L2 accumulation, which in turn would also induce expression of SQSTM1 as well as other autophagy genes providing a feed-forward loop.

A corollary of our study is that NFE2L2 might be a therapeutic target for proteinopathies such as AD. On the one hand, we found that NFE2L2-deficiency impairs autophagy in AT- nfe2l2-KO mice (Figs. 3, S3 and S4). We interpret these data as a need of NFE2L2 for optimal autophagy response to proteinopathy. On the other hand, in AD patients, NFE2L2 and at least HMOX1, SQSTM1, CALCOCO2 and ATG7 are upregulated in the hippocampus as determined by immunoblot (Fig. 7A-B), and NFE2L2 and SQSTM1 proteins are increased in HsAPP- and HsMAPT-injured neurons as determined by immunohistochemistry (Fig. 7C). Thus, it follows that the diseased brain is upregulating NFE2L2 in an attempt to maintain proteostasis. In fact, the still living proteinopathic neurons that we can see by immunohistochemistry in the postmortem samples exhibit increased levels of NFE2L2 and SQSTM1, suggesting that they may better suited to sustain proteotoxicity. This is just a correlation since we cannot compare with the neurons that have already disappeared, but altogether, our rationale suggests that NFE2L2 activates an autophagy defensive program to maintain proteostasis.

However, in order to consider NFE2L2 as a therapeutic target to combat proteinopathies, the key question is whether it will be useful to increase NFE2L2 levels beyond the physiological response. In other words, is the physiological NFE2L2 response to proteinopathy at saturating levels and would supraphysiological upregulation of NFE2L2 have beneficial or deleterious effects? A partial answer to these questions may be provided by the analysis of epidemiological data, which indicate that the NFE2L2 gene is highly polymorphic and some single nucleotide polymorphisms found in its promoter regulatory region may provide a range of "physiological" variability in gene expression at the population level. For instance, Von Otter et al found that one haplotype allele of the NFE2L2 promoter was associated with 2 y earlier age at AD onset. These results are also consistent in other neurodegenerative proteinopathies. Thus, Von Otter et al performed a meta-analysis of NFE2L2 haplotypes and found association of the haplotype of the fully functional promoter with decreased risk and delayed onset of Parkinson disease. Observations along the same lines have been made in amyotrophic lateral sclerosis. Given the population variability in NFE2L2 expression it is conceivable that a small pharmacological upregulation of NFE2L2, comparable to the maximal physiological response found at the population level, will indeed reinforce proteostasis. In this regard, several preclinical studies have so far attempted to upregulate NFE2L2 in an effort to mitigate neurodegenerative disease-associated phenotypes. For instance, the life expectancy of transgenic mice expressing human mutant SNCA is largely increased when crossed with mice overexpressing NFE2L2 in astrocytes and the NFE2L2 activator dimethyl fumarate, already in clinical use for multiple sclerosis, reduces SNCA toxicity. Other NFE2L2 activators are being studied for alleviation of proteinopathies such as spinal and bulbar muscular atrophy. Future work will be needed to determine if pharmacological induction of NFE2L2 may be a valid strategy to facilitate degradation of toxic proteins in the brain.

### Material and methods

#### Bioinformatics analysis

Putative AREs in 26 autophagy-related gene promoters were identified in The Encyclopedia of DNA Elements at UCSC (ENCODE) for the human genome (Feb. 2009) taking as reference the available information from chromatin immunoprecipitation (ChIP) of ARE binding factors MAfk and Bach1. The putative MAfk and Bach1 binding regions were localized in 200- to 400-base pair-long DNase-sensitive and H3K27Ac-rich regions, i.e., most likely regulatory promoter regions. In addition, a frequency matrix of the consensus ARE sequence based on the JASPAR database was converted to a position-specific scoring matrix (PSSM) (Table S1) by turning the frequencies into scores through the log2-oddfrequency ratio (odd ratio: observed frequency/expected frequency). One unit was added to each frequency to avoid log(0). Then a script was generated with the Python 3.4 program (Table S2) to scan the promoter sequences with candidate AREs retrieved from ENCODE with the PSSM. The max score was calculated by adding the independent scores for each of the 11 base pairs of the consensus ARE sequence with the PSSM. The relative score (scorerelative) was calculated from this max score (score of the sequence) as:

\[
\text{score}_{\text{relative}} = \frac{\text{score} - \text{score}_{\text{min}}}{\text{score}_{\text{max}} - \text{score}_{\text{min}}}
\]

The min possible score (scorerelative) is calculated as the lowest possible number obtained for a sequence from the PSSM and the max possible score (scorerelative) is the highest possible score that can be obtained. We considered putative ARE sequences those with a scorerelative over 80%, which is a commonly used threshold for the computational framework for transcription factor binding site/TFBs analyses using PSSM. The conservation of the NFE2L2 putative binding sequences in mice was analyzed by comparing the mouse genome through 2 different methods from the UCSC Genome Browser: BLAT search genome useful for high conservation and Comparative Genomics searching conservation in the mouse genome using Multiz Align (multiz 100way) (Tables S1, S2 and S3).
Cell culture and reagents

Mouse embryonic fibroblasts (MEFs), mouse hippocampus-derived HT22 cells and human embryonic kidney HEK293T cells were grown in Dulbecco’s modified Eagle’s medium (Sigma-Aldrich, D5648) supplemented with 10% fetal bovine serum (HyClone, CH30160.03) and 80 μg/ml gentamicin (Laboratorios Normon, 763011.1). Hippocampal/cortical neurons were obtained from E17-E18 mouse embryos. Briefly, embryonic hippocampi were incubated in 0.25% trypsin (Gibco Life Technologies, 12604–013) plus 1 mg/ml DNAse-I (Roche, 10104159001) at 37°C for 20 min. After mechanical dissociation and centrifugation (900 × g, 5 min) cells were plated in minimum essential medium (Gibco Life Technologies, 17504–044) supplemented with 20% glucose (Sigma-Aldrich, 16301), 5% horse serum (Gibco Life Technologies, 26050–088), 5% fetal bovine serum and 80 μg/ml gentamicin, and incubated for 24 h. After plating, the medium was changed to phenol red-free neurobasal medium (Gibco Life Technologies, 10888–022) supplemented with B27 (Gibco Life Technologies, 17504–044) and the neurons were maintained under these conditions for 10 days in vitro. R,S-sulforaphane (SFN; LKT Laboratories, Inc., S8044).

Production of lentiviral stocks and infection of nfe2l2-KO MEFs

Recombinant lentiviral stocks were produced in HEK 293T/17 cells by cotransfecting 10 μg of transfer vector (GFP or NFE2L2<sup>ΔETGE</sup>, V5), 6 μg of envelope plasmid pMD2.G (Addgene, 12259; deposited by Dr. Didier Trono) and 6 μg of packaging plasmid pSPAX2 (Addgene, 12260; deposited by Dr. Didier Trono), using Lipofectamine 2000 Reagent (Invitrogen Life Technologies, 116668–019). After 12 h at 37°C the medium was replaced with fresh Dulbecco’s modified Eagle’s medium containing 10% fetal bovine serum and virus particles were harvested at 24 h and 48 h post-transfection. The nfe2l2-KO MEFs were incubated with the lentivirus for 24 h, and mRNA was extracted 3 and 10 d after lentiviral transduction.

Chromatin immunoprecipitation (ChIP) assay

HEK293T cells were grown on 10-cm plates until they reached 85% confluence and transfected with an NFE2L2 expression plasmid that lacks the high-affinity binding site for KEAP1 and contains a V5 tag (NFE2L2<sup>ΔETGE</sup>, V5).<sup>27</sup> Briefly, cells were crosslinked with 1% formaldehyde (Fluka, 47630) and the reaction was stopped with 125 mM glycine (Bio-Rad, 161–0718). Cells were then washed twice with cold phosphate-buffer (10 mM PO<sub>4</sub>, pH 7.4, 137 mM NaCl, 2.7 mM KCl), lysed and sonicated in order to obtain adequate fragment sizes of DNA. Supernatant was diluted 10-fold with ChIP dilution buffer (0.01% sodium dodecyl sulfate [Sigma-Aldrich, 71725], 1.1% Triton X-100 [Sigma-Aldrich, T8787], 1.2 mM ethylene-diaminetetraacetic acid, 16.7 mM Tris-HCl, pH 8.1, 167 mM NaCl, 1 mM phenylmethylsulfonyl fluoride [Sigma-Aldrich, P7626], 1 μg/ml leupeptin [Sigma-Aldrich, L8511]) and precleared with protein G Sepharose (GE Healthcare, 17–0618–01). ChIP was carried out with anti-V5 antibody (Life Technologies, 37–7500) or anti-IgG (Abcam, ab18413). DNA was eluted and purified, analyzing the presence of previously identified putative AREs by quantitative real-time polymerase chain reaction (qRT-PCR) with specific primers (Table S4). Samples from at least 3 independent immunoprecipitations were analyzed.

Transgenic mice

Animals were housed at room temperature under a 12-h light-dark cycle. Food and water were provided ad libitum and mice were cared for according to a protocol approved by the Ethical Committee for Research of the Universidad Autónoma de Madrid following institutional, Spanish and European guidelines (Boletín Oficial del Estado de 18 March 1988; and 86/609/ EEC, 2003/65/EC European Council Directives). HsAPP<sup>V717I</sup> mice expressing in heterozygosity the HsAPP<sup>695</sup> isoform with the V717I mutation under the control of the Thy1 promoter, were backcrossed with C57/BL6-J-Nfe2l2<sup>++/–</sup> or C57/BL6-J-nfe2l2<sup>–/–</sup> mice for over 5 generations. Similarly, HsMAPT<sup>9301L</sup> mice, expressing in homozygosis the longest isoform of HsMAPT with the P301L mutation (Tau.4R/2N-P301L) under control of the mouse Thy1 gene promoter, were crossed with C57/BL6-J-Nfe2l2<sup>++/–</sup> or C57/BL6-J-nfe2l2<sup>–/–</sup> for over 5 generations. HsAPP-HsMAPT-Nfe2l2<sup>++/–</sup> (AT-Nfe2l2-WT) and HsAPP-HsMAPT-nfe2l2<sup>–/–</sup> (AT-nfe2l2-KO) mice were obtained by crossing the proper founder mice from above. Genotypic characterization of the AT-Nfe2l2-WT transgenic mice was described previously.<sup>32,72,73</sup> Animals were anesthetized with 8 mg/kg ketamine and 1.2 mg/kg xylazine and perfused with phosphate-buffered saline. The brains were sectioned along the sagittal axis and the right hemispheres were post-fixed in 4% paraformaldehyde during 16 h and cryoprotected by soaking in 30% sucrose solution in phosphate buffer until they sank. The left hemispheres were rapidly dissected and frozen for biochemical analysis.

Human patients

All procedures were approved by the ethics committee of the Tissue Bank of Fundación CIEN (Madrid, Spain). Frozen post-mortem brain tissues were obtained from 5 control subjects (age range 59–78 y) and 5 patients with Alzheimer disease (age range 73–94 y) within a 5-h post-mortem interval, according to the standardized procedures of the Tissue Bank of Fundación CIEN. Information on patient samples, including APOE genotype, is provided in Table S6. The brain samples used in this study belong to patients with a clinical history that indicates lack of familial cases of AD. The control subjects had no background of neuropsychiatric disease and a full neuropathological examination excluded relevant brain pathology. Alzheimer disease diagnosis was confirmed by HT100 staining of frozen tissue sections from the same cases used in the immunofluorescence studies.

Immunohistochemistry of human tissue

Four-μm-thick paraffin-fixed consecutive brain sections were immunostained as described previously.<sup>74</sup> The primary
antibodies and optimal dilutions are summarized in Table S7. For NFE2L2 immunostaining, brain sections were stained with Histostain-bulk-SP IHC kit broad spectrum (Thermo Fisher Scientific, PA13831; 1:200). A negative control omitting primary anti-NFE2L2 antibody yielded no signal (data not shown).

HsMAPT tissue extraction

Mouse brain tissues were homogenized in 0.2 ml of ice-cold buffer (0.1 M 3-[N-morpholino]propanesulfonic acid, pH 7.0, 1 mM ethylenediaminetetraacetic acid, 0.5 mM MgSO4, 1 M sucrose [Panreac AppliChem, A22111] containing 1 mM NaF, 2 mM NaH2PO4, 1 mM phenylmethylsulfonyl fluoride and 10 μg/ml each of aprotinin (Sigma-Aldrich, A1153) and 1 μg/ml leupeptin (Sigma-Aldrich, L8511). The homogenates were cleared by centrifugation at 50,000 x g for 20 min at 4°C, and the supernatants were collected as soluble fractions. To prepare the sarkosyl-insoluble fraction, the pellets were resuspended in lysis buffer (0.1 M 3-[N-morpholino]propanesulfonic acid, pH 7.0, 10% sucrose, 2 mM ethylene glycol tetraacetic acid [Sigma-Aldrich, E3889], 0.5 mM MgSO4, 500 mM NaCl, 1 mM MgCl2, 10 mM NaH2PO4, 20 mM NaF) containing 1% N-lauroylsarcosine (sarkosyl; Sigma-Aldrich, L9150) with protease inhibitors (Sigma-Aldrich, L8511, A1153 and P7626), vortexed for 1 min at room temperature, incubated at 4°C for 16 h, and then centrifuged at 200,000 x g for 30 min at room temperature. The supernatant fractions were collected as sarkosyl-soluble fractions, and the pellets, sarkosyl-insoluble fractions, were resuspended in sodium dodecyl sulfate protein loading buffer and incubated at 95°C for 5 min.

Immunoblotting

Immunoblots were performed as described previously. The primary antibodies used are presented in Table S7. Membranes were analyzed using the appropriate peroxidase-conjugated secondary antibodies (anti-mouse and anti-rabbit from GE Healthcare UK Limited, NA931V and NA934V, and anti-goat from Santa Cruz Biotech, sc-2020). Proteins were detected by enhanced chemiluminescence (GE Healthcare, RPN2232).

Immunohistochemistry and immunofluorescence of mouse tissues

Sagittal series of 30-μm-thick sections were obtained in a freezing microtome and stained as indicated previously. The primary antibodies used are described in Table S7. Antigen retrieval for APP and Aβ detection was performed by incubating the sections for 5 min in formic acid.

Analysis of mRNA levels

Total RNA extraction, reverse transcription and quantitative PCR were done as detailed elsewhere. Primer sequences are shown in Tables S8 and S9. To ensure that equal amounts of cDNA were added to the PCR, the housekeeping gene ACTB was amplified. Data analysis was based on the ΔΔCT method with normalization of the raw data to housekeeping genes. All PCRs were performed in triplicate.

Aβ determination by ELISA

Aβ measurements were performed using 2 ELISA kits, one for each fragment (Aβ1–40 and Aβ1–42) (Novex, Thermo Fisher Scientific, KHB3481 and KHB3441, respectively) following the manufacturer’s instructions. The samples were sonicated (5 sec) in 10 vol of protein lysis buffer (20 mM 4-[2-hydroxyethyl]-1-piperazineethanesulfonic acid) pH 7.9, 100 mM NaCl, 1 mM ethylene glycol tetraacetic acid, 1 mM ethylenediaminetetraacetic acid, 1% Triton X-100, 5 mM dithiothreitol, 2.5 mM sodium pyrophosphate, 1 mM sodium orthovanadate, and complete protease inhibitor cocktail). The lysate was centrifuged (18,000 x g, for 10 min at 4°C). The supernatant fraction was considered soluble and the pellet fractions were further extracted in formic acid by sonication and centrifuged. Results were expressed as pg/mg of protein measured by the BCA method (Thermo Fisher Scientific, 23225) using BSA as standard.

Image analysis and statistics

Different band intensities corresponding to immunoblot detection of protein samples were quantified using MCID software (MCID, Cambridge, UK). Fluorescence intensity and M1 and M2 colocalization coefficients were measured by Mander’s analysis using the ImageJ JACoP plug-in. Student’s t test was used to assess differences between groups. P <0.05 was considered significant. Unless otherwise indicated, all experiments were performed at least 3 times and all data presented in the graphs are the mean of at least 3 independent samples. Results are expressed as mean ± SEM.

Abbreviations

| Acronym | Description |
|---------|-------------|
| ACTB | Actin β |
| AD | Alzheimer disease |
| Aox1 | Aldehyde oxidase 1 |
| APP | Amyloid β precursor protein |
| ARE | Antioxidant response element |
| ATG5 | Autophagy-related 5 |
| AT- nfe2l2-KO | Transgenic mice expressing HsAPPV717F and HsMAPTP301L in an nfe2l2-knockout genetic background |
| AT-Nfe2l2-WT | Transgenic mice expressing HsAPPV717F and HsMAPTP301L in a wild-type genetic background |
| Aβ | Amyloid-β peptide |
| BACH1 | BTB domain and CNC homolog 1 |
| CALCOCO2/NDP52 | Calcium binding and coiled-coil domain 2 |
| ChIP | Chromatin immunoprecipitation |
| ENCODE | Encyclopedia of DNA elements at the University of California, Santa Cruz |
| FI | Formic acid extracted insoluble fraction |
| FOXO | Forkhead box O |

This text contains references to scientific literature, experimental methods, and abbreviations relevant to the research described in the document.
Disclosure of potential conflicts of interest

No potential conflicts of interest were disclosed.

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