Efficacy and safety of abatacept for patients with Sjögren’s syndrome associated with rheumatoid arthritis: Rheumatoid Arthritis with Ocrenica Trial toward Sjögren’s syndrome Endocrinopathy (ROSE) trial—an open-label, one-year, prospective study—Interim analysis of 32 patients for 24 weeks

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Abstract

Objective. To assess the efficacy and safety of abatacept for secondary Sjögren’s syndrome (SS) associated with rheumatoid arthritis (RA).

Methods. The primary endpoint of this 1-year, open-labeled, prospective, observational multicenter study of RA-associated secondary SS was the rate of SDAI remission at 52 weeks after initiation of abatacept therapy. The secondary endpoints included that of Saxson’s test and Schirmer’s test. Adverse events during the study period were also analyzed.

Results. Thirty-two patients (all females) were enrolled in this study. Interim analysis at 24 weeks included assessment of efficacy (n = 31) and safety (n = 32). The mean SDAI decreased from 19.8 ± 11.0 (±SD) at baseline to 9.9 ± 9.9 at 24 weeks (P < 0.05). Patients with clinical remission, as assessed by SDAI, increased from 0 patient (0 week) to 8 patients (25.8%) at 24 weeks. Saliva volume (assessed by Saxson’s test) increased slightly from 2232 ± 1908 (0 week) to 2424 ± 2004 (24 weeks) mg/2 min (n = 29). In 11 patients with Greenspan grading 1/2 of labial salivary glands biopsy, saliva volume increased from 2945 ± 2090 (0 week) to 3419 ± 2121 (24 weeks) mg/2 min (P < 0.05). Schirmer’s test for tear volume showed increase from 3.6 ± 4.6 (0 week) to 5.5 ± 7.1 (24 weeks) mm/5 min (n = 25; P < 0.05). Five adverse events occurred in five of 32 patients (15.6%), and three of these events were infections.

Conclusion. Abatacept seems to be effective for both RA and RA-related secondary SS.

Keywords

Sjögren’s syndrome, Rheumatoid arthritis, Abatacept

Introduction

Sjögren’s syndrome (SS) is an autoimmune disease that affects exocrine glands including salivary and lacrimal glands. It is characterized pathologically by lymphocytic infiltration into the exocrine glands, and clinically by dry mouth and dry eyes. SS is subcategorized into primary SS, which is not associated with any other well-defined connective tissue disease (CTD), and secondary SS, which is associated with other well-defined CTD [1]. Primary SS is further subdivided into the glandular form, with involvement of the exocrine glands only, and the extra-glandular form, with involvement of organs other than exocrine glands. With regard to secondary SS, it was reported that rheumatoid arthritis (RA) was diagnosed in 38.7%, and systemic lupus erythematosus in 22.2% of secondary SS patients by all Japan survey on the epidemiology of SS [2].

In the selection of treatment for SS, it is important to distinguish the type of SS (i.e., primary or secondary) as well as the subtype (i.e., primary SS glandular or extra-glandular form). For secondary SS, the associated CTDs or organ involvements should be the main target of treatment. For primary SS, moderate to high doses of corticosteroids or immunosuppressants are used mainly against severe organ involvement, such as active interstitial lung disease, interstitial nephritis, and neuropathy. For primary SS without severe organ involvement, palliative therapies are used for dry mouth, dry eye, and arthralgia [3]. Although TNF blockers (infliximab and etanercept) have been used for dryness, which is main symptom of SS, they do not result in sufficient improvement [3]. Currently, rituximab, a chimeric anti-CD20 monoclonal antibody, seems to be the most effective for dryness of SS among various biologics [3–5]. However, a recent retrospective report from
Autoimmune and Rituximab registry showed that rituximab was used mainly for systemic organ involvement in primary SS, but rarely for glandular involvements in primary SS patients [6]. Collectively, the use of biologics for SS is limited at the present time; the efficacy of TNF blockers remains questionable, and rituximab is used mainly for systemic organ involvement and rarely for glandular involvement.

Abatacept (CTLA4-Ig) is a unique biologic agent that binds to CD80 and CD86 on antigen-presenting cells (APCs), blocking the binding of these molecules with CD28 on T Cells. Consequently, abatacept inhibits co-stimulation required for complete T cell activation. Inhibition of co-stimulatory molecules by abatacept is a new therapeutic strategy in autoimmune diseases, such as RA [7]. Previous clinical studies have confirmed the effectiveness of abatacept on undifferentiated arthritis, very early RA [8], methotrexate (MTX)-naïve early RA [9], MTX inadequate response established RA [10], and TNF blocker inadequate response established RA [11].

Because CD4+ T cells play crucial roles in the pathogenesis of SS [12,13] as well as in RA, abatacept could be useful for SS. However, only few studies have tested the usefulness of abatacept in primary SS [14,15] and SS mouse model [16]. Although these studies established the value of abatacept in sialadenitis of SS [14] and SS mouse model [16], the results need to be confirmed in a larger number of patients, for longer period, and in various SS models. Moreover, no study has reported the efficacy of abatacept on secondary SS associated with other CTDs.

We designed an open-labeled, one-year, prospective, observational, and multicenter study (Rheumatoid Arthritis with Onercia Trial toward Sjögren’s syndrome Endocrinopathy [ROSE] trial) to establish the efficacy of abatacept in RA and RA-related secondary SS and its safety in patients with RA-associated secondary SS.

Patients and methods

Patients

Patients aged more than 20 years diagnosed with RA according to the American College of Rheumatology (ACR) 1987 [17] or ACR/European League Against Rheumatism (EULAR) 2010 criteria [18], and SS according to the Japanese Ministry of Health criteria for the diagnosis of SS 1999 [19], who presented with dryness were eligible for this study. The Japanese Ministry of Health criteria for the diagnosis of SS 1999 include four clinicopathological findings: lymphocytic infiltration of the salivary or lacrimal glands, dysfunction of salivary secretion, keratoconjunctivitis sicca, and presence of anti-SS-A or SS-B antibodies. The diagnosis of SS was based on the presence of two or more of the above four items [19]. The patients were followed up at three hospitals in Japan (University of Tsukuba hospital, University of Occupational and Environmental Health hospital, and Nagasaki University hospital). The target number of enrolled patients was 30. Approval for this study was obtained from the local ethics committees of each study site and a signed informed consent was obtained from each subject (approval number of University of Tsukuba: H23–29, certification date of approval: 28th July, 2011). This study was registered in University hospital Medical Information Network (UMIN)–Clinical Trials Registry (CTR; UMIN-ID: UMIN000005724).

Patients with contraindication for abatacept, aged more than 75 or less than 20 years, leukopenia (leukocyte count, ≤ 3000/mm²), severe liver or kidney diseases, severe hematological disorders, negative for both anti-SS-A and SS-B antibody and positive for anti-centromere antibody were excluded from this study. Patients who were pregnant, nursing, wanted to get pregnant, and were treated with palliative therapies for dryness including cevimeline, anetholtritone, and pilocarpine within the last 4 weeks were also excluded. We also excluded patients who were considered not suitable for this study by the attending physician.

Medications

The dosing regimen approved for the treatment of RA was used in this study. The weight-adapted dose of abatacept (500 mg for patients weighing less than 60 kg, and 750 mg for those weighing ≥ 60 kg) was administered intravenously at weeks 0, 2, 4, and every 4 weeks, for 1 year. Other disease-modifying anti-rheumatic drugs (DMARDs), corticosteroids, and non-steroidal anti-inflammatory drugs were also used during the 1-year observational period based on the clinical judgment of the attending physician.

Analysis of effects of abatacept

The study design was an open-labeled, 1-year, prospective, and observational study. For RA, the number of tender and swollen joints, physicians’ global visual analog scale (VAS), patients’ global VAS, Simplified Disease Activity Index (SDAI), disease activity score (DAS) 28-ESR and CRP, serum CRP, ESR, and rheumatoid factor (RF) were assessed at weeks 0 (baseline), 4, 12, 24, and 52. For SS, patients’ VAS (dry mouth, dry eye, and parotid pain), physicians’ VAS (dry mouth, keratoconjunctivitis sicca, and general condition), saliva volume by Saxon’s test, tear volume by Schirmer’s test, anti-SS-A/SS-B antibody, and serum IgG level were examined at weeks 0, 12, and 24. The primary endpoint was the percentage of patients who achieved clinical remission by SDAI at 52 weeks. Secondary endpoints included CRP, ESR, RF, Saxon’s test, Schirmer’s test, anti-SS-A/SS-B antibody, IgG, patients’ VAS, and physicians’ VAS.

Analysis for safety of abatacept

Adverse events (AEs) during observational periods were analyzed at each visit. The type of AE, onset, concomitant drugs used, treatment for AEs, cessation of use of abatacept, and outcome were recorded.

Statistical analysis

Data are expressed as mean ± SD. Differences between baseline and after treatment with abatacept were examined for statistical significance using the Wilcoxon signed-rank test. Differences between groups were examined using Mann–Whitney U test. Correlation between improvement of secretary function and SDAI was assessed by Spearman’s rank correlation. A P value less than 0.05 denoted the presence of a statistically significant difference. The deficit of data was compensated by the last observation carried forward (LOCF) method.

Results

Clinicopathological features of enrolled patients at baseline

Thirty-two patients (all females) were enrolled in this study. This interim analysis for 24 weeks included assessment of the effect of abatacept in 31 patients and safety in 32 patients. One patient was excluded from such assessment due to missing data at baseline. The baseline clinicopathological features of the 32 patients are summarized in Table 1. The mean age was 55.3 ± 14.4 years, and RA disease duration was 129.7 ± 140.5 months. More than half of the patients were assessed as Stage I/Stage II, and Class 1 and Class 2. The RA disease activity assessed by DAS28 and SDAI was moderate. Saxo’n’s test was 2232 ± 4.6 mm/5 min, Greenspan grading of labial salivary gland (LSG) biopsy was 1/2 in 12 patients and 3/4 in 17 patients. Corticosteroids were used in 15 of 32 (46.9%) patients, and the mean dose of prednisolone was 5.2 ± 2.7 mg/day. MTX was administered in 75.0% of patients (24/32 patients), at a mean dose of 9.4 ± 4.0 mg/week. Furthermore,
21.9% of patients (7/32 patients) were treated previously with biologics other than abatacept, while 25 patients were biologics-naïve. Collectively, the enrolled patients had secondary SS with moderate dryness, in addition to moderately active RA.

Effectiveness of abatacept on RA

Analysis of data of 31 patients showed that SDAI decreased significantly after treatment with abatacept from 19.8 ± 11.0 (0 week, baseline) to 9.9 ± 9.9 (24 weeks) (P < 0.05). Significant reduction of SDAI relative to baseline (P < 0.05) was noted at 4 weeks and maintained to 24 weeks (Figure 1A). Comparison of bio-switch patients with bio-naïve patients showed significant falls in SDAI after initiation of abatacept in both groups (P < 0.05; Figure 1B). Although the disease activity by SDAI was higher in bio-switch patients than in bio-naïve patients, there was no significant difference between two groups at each time point (Figure 1B).

We also examined the effect of concomitant use of MTX. SDAI decreased significantly in patients treated with the combination of abatacept and MTX (P < 0.05; Figure 1C). In comparison, only a moderate decrease was noted in SDAI of patients who were not treated with MTX (Figure 1C). Patients with clinical remission, as assessed by SDAI, increased from 0 patient at 0 week to 8 patients (25.8%) at 24 weeks (Figure 2). In contrast, the number of patients with moderate or high disease activity, as assessed by SDAI, decreased from 21 patients (67.7%) at 0 week to 9 patients (29.0%) at 24 weeks (Figure 2). These findings confirmed the effectiveness of abatacept against RA and RA-related SS.

Effectiveness of abatacept for SS

Abatacept significantly decreased patients’ VAS for dry mouth and dry eye from 53.3 ± 25.4 and 39.9 ± 28.6 mm at 0 week to 41.0 ± 30.8 and 30.8 ± 24.4 mm at 24 weeks (P < 0.05), respectively (Figure 3A). However, abatacept did not change patients’ VAS for parotid pain (Figure 3A). Abatacept significantly decreased physicians’ VAS for keratoconjunctivitis sicca (KCS) and general condition from 44.7 ± 28.0 to 35.9 ± 19.3 mm at 0 week to 32.5 ± 23.8 and 25.8 ± 21.6 mm at 24 weeks (P < 0.05), respectively (Figure 3B).

Saliva volume by Saxon’s test increased slightly from 2232 ± 1908 mg/2 min at 0 week to 2424 ± 2004 mg/2 min at 24 weeks in 29 patients (Figure 4A). Interestingly, in 11 patients with Greenspan grading 1/2 of LSG biopsy, saliva volume significantly increased from 2945 ± 2090 mg/2 min at 0 week to 3419 ± 2121 mg/2 min at 24 weeks (P < 0.05; Figure 4B). Tear volume by Schirmer’s test significantly increased from 3.6 ± 4.6 mm/5 min at 0 week to 5.5 ± 7.1 mm/5 min at 24 weeks in 25 patients (P < 0.05; Figure 4C).

Abatacept significantly decreased serum IgG and RF levels from 1782 ± 551 mg/dl and 204 ± 286 IU/ml at 0 week to 1555 ± 379 mg/dl and 188 ± 285 IU/ml at 24 weeks, respectively (P < 0.05; Figure 5A and B). On the other hand, abatacept had no effect on anti-SS-A antibody titer (Figure 5C). These findings suggest the effectiveness of abatacept for RA-related SS, especially SS-dryness, secretory dysfunction, and production of antibodies.

Correlation between improvement of SS and RA

We analyzed the correlation between the increase in saliva volume by Saxon’s test and tear volume by Schirmer’s test, and the fall in SDAI. There was no significant correlation between the increase in saliva volume and reduction of SDAI (Spearman’s rank correlation coefficient = 0.221; Figure 6A), as well as between the increase in tear volume and reduction of SDAI (Spearman’s rank correlation coefficient = 0.333; Figure 6B). However, saliva volume and SDAI decreased from 21 patients (67.7%) at 0 week to 9 patients (29.0%) at 24 weeks (Figure 2). These findings confirmed the effectiveness of abatacept against RA and RA-related SS.

Table 1. Clinicopathological features of enrolled patients at baseline (N = 32).

| Clinicopathological features | Mean ± SD |
|-----------------------------|-----------|
| Age (years)                 | 55.3 ± 14.4 |
| Gender                      | Male 0/Female 32 cases |
| Disease duration of RA (months) | 129.7 ± 140.5 |
| Stage of RA                 | Stage I: 6/Stage II: 16/Stage III: 4/Stage IV: 6 cases |
| Functional class of RA      | Class I: 10/Class II: 19/Class III: 3/Class IV: 4 cases |
| DAS28-ESR/DAS28-CRP         | 4.6 ± 1.2/3.8 ± 1.1 (31 cases) |
| SDAI/CDAI                   | 19.8 ± 11.0/18.7 ± 11.0 (31 cases) |
| IgG (mg/dl)                 | 1782 ± 551 (31 cases) |
| Autoantibodies (positivity) | RF/Anti-CCP antibodies 90.6% (29/32 cases)/78.6% (22/28 cases) |
| Anti-SS-A/SS-B antibodies   | 80.0% (24/30 cases)/10.7% (3/28 cases) |
| Organ involvements (prevalence) | Intestinal lung disease (ILD) 12.5% (4/32 cases) |
|                           | Others 12.5% (4/32 cases, Kidney 2, AR 1, PBC 1 case) |
|                           | Saxon’s test (mg/2 min) 2232 ± 1908 (29 cases) |
|                           | Schirmer’s test (mm/5 min) 3.6 ± 4.6 (25 cases) |
|                           | Greenspan grading in LSG 1: 8/2: 4/3: 9/4: 8 (29 cases) |
|                           | Corticosteroid (prevalence) 46.9% (15/32 cases) |
|                           | Mean dose (15 cases; mg/day) PSL 5.2 ± 2.7 |
|                           | MTX (prevalence) 75.0% (24/32 cases) |
|                           | Mean dose (24 cases; mg/week) 9.4 ± 4.0 |
|                           | DMARDs other than MTX (prevalence) 34.4% (11/32 cases) |
|                           | Previous Biologics (prevalence) 21.9% (Bio-switch, 7; Bio-naïve, 25 cases) |
|                           | IFX 5 cases |
|                           | ADA 2 cases |
|                           | TCZ 1 case |
|                           | GLM 0 case |
|                           | CER 0 case (including overlap) |

RA = rheumatoid arthritis, DAS28 = disease activity score, SDAI = Simplified Disease Activity Index, CDI = Clinical Disease Activity Index, AR = aortic regurgitation, PBC = primary biliary cirrhosis, LSG = ladal salivary gland, MTX = methotrexate, DMARDs = disease-modifying anti-rheumatic drugs, IFX = infliximab, ETN = etanercept, ADA = adalimumab, TCZ = tocilizumab, GLM = golimumab, CER = certolizumab
infection, infected corneal ulceration, and bronchitis). Importantly, all 5 patients were on corticosteroids treatment. Two of the five patients were admitted to the hospital for treatment of the above AEs. Although abatacept was withheld in three patients, it was resumed after recovery of AEs (Table 2).

Discussion

SS is an autoimmune disease and a variety of immunosuppressant therapies are used for sialadenitis and dacryoadenitis, which are the main organ involved in SS. However, clinical evidence suggests failure of treatment with corticosteroids, MTX, cyclosporine A, and azathioprine to improve objective sicca findings [3]. With regard to biologic agents, TNF blockers (infliximab and etanercept) do not produce sufficient alleviation of dryness symptoms [3]. At present, rituximab seems to be the most promising biologics in ameliorating glandular involvements of SS as well as systemic involvement [3–5]. Importantly, a recent retrospective report from Autoimmune and Rituximab registry has shown that rituximab was administered mainly for systemic organ involvement of primary SS and rarely for glandular involvements [6]. Thus, there are currently no effective disease-modifying drugs for glandular involvement of SS, and standard therapeutic approaches for dryness currently include palliative treatment using secretagogues and topical medications [3].

Histopathological and immunological studies have demonstrated the important roles of CD4-positive T cells in the pathogenesis and development of SS [12,13]. Recently, various helper T cell subsets, such as Th1, Th2, Th17, and Th9, and related cytokines have been reported to be involved in the development of SS [12,13].
M3 muscarinic acetylcholine receptor (M3R), which is expressed in exocrine glands (e.g., salivary glands and lacrimal glands) and plays an important role in exocrine secretion, is considered a candidate receptor for auto-antigen recognized by T cells in patients with SS [13]. Previous studies indicated the presence of M3R-reactive T cells that produce IFN-γ in peripheral blood of 40% of patients with SS [13]. Moreover, it has been reported that salivary gland epithelial cells could act as APCs through the expression of both MHC class II molecules and co-stimulatory molecules CD80/CD86 [12]. These findings encouraged us to postulate the potential of abatacept in the treatment of glandular involvement of SS. To our knowledge, two open-label pilot studies have so far examined the effectiveness of abatacept against primary SS [14,15]. Adler et al. showed that abatacept significantly reduced salivary gland inflammation and increased saliva production when adjusted for disease duration [14]. On the other hand, Meiners et al. reported that while abatacept improved disease activity, laboratory tests, and fatigue in patients with early and active primary SS, it had a minor beneficial effect on preservation of salivary and lacrimal gland functions [15]. In the present study, we demonstrated that treatment with abatacept resulted in amelioration of both RA and RA-related SS, including dryness, secretory dysfunction, and production of antibodies. The findings are important not only clinically but also pathophysiologically.

With regard to the clinical aspects of treatment, our results have raised five important contentions. First, secondary SS associ-
we confirmed in the present study the effectiveness of abatacept the lesser clinical response to TNF inhibitors [22]. In this respect, with RA without SS [21]. Moreover, in patients with RA, the presence of anti-SS-A antibody and secondary SS might be related to the lesser clinical response to TNF inhibitors [22]. In this respect, we confirmed in the present study the effectiveness of abatacept against RA-related SS and SS-free RA, suggesting that abatacept is a potentially useful therapeutic option for RA-related secondary SS. Third, we demonstrated that abatacept improved secretory dysfunctions of SS in a larger number of patients (29 patient for saliva volume and 25 patients for tear volume) compared with previous studies [14,15]. Therefore, abatacept can probably be regarded a new disease modifying drug for glandular involvements of secondary and primary SS based on more strict evidence from the present study. Fourth, we showed that volume of saliva significantly increased only in patients with Greenspan grading 1/2 of LSG biopsy. This finding indicates that early intervention against glandular involvements is important for recovery of secretory function in SS patients, adding support to the previous report by Adler et al. [14]. Fifth, no unexpected serious AEs of abatacept were encountered in the present study, and abatacept seems to be tolerable in patients with RA-related secondary SS.

Three important findings related to the pathophysiological features of SS were identified in the present study. First, our study confirmed the crucial roles of T cells in the pathogenesis of SS. This is based on the fact that abatacept, a T-cell-targeting therapy, improved various SS involvements, such as dryness, secretory dysfunction, and production of antibodies. Second, our results provided support for the roles of co-stimulatory molecules in the development of SS. As mentioned above, salivary gland epithelial cells in SS can act as APCs through the expression of MHC class II and co-stimulatory molecules CD80/CD86 [12] as well as macrophages. Importantly, B cells might play an important role by not only producing autoantibodies but also acting as APCs through the expression of MHC class II and various co-stimulatory molecules, such as CD80/86, B7RP1, CD27, CD137L, OX40L, and CD40 in some autoimmune conditions [23,24]. Therefore, abatacept might suppress co-stimulatory molecules CD80/86 on multiple cells including epithelial cells and B cells in addition to macrophages, resulting in T cell inhibition through multiple targets for SS. Third; we clarified the effectiveness of abatacept in both RA and RA-related secondary SS. Although there was no significant correlation between the improvement in secretory function and SDAI, both secretory function and SDAI improved simultaneously in about 50% of patients. These findings suggest that T cells play a common pathogenic role in development of SS and RA.

In conclusion, the present study suggested the potential therapeutic usefulness of abatacept for both RA and RA-related secondary SS. Particularly, we demonstrated that abatacept improved secretory dysfunction of SS in more patients than those in previous studies. Importantly, early intervention against glandular involvement might be necessary for proper recovery of secretory function in SS patients. Abatacept seems to be a promising new therapeutic agent for SS-glandular involvement, as well as for RA complicated with SS. The results need to be confirmed in a large population sample and in randomized controlled trials that include and control placebo groups.

Authors’ contributions
All authors contributed to the study design, data collection and participated in the writing of the manuscript and all agree to accept equal responsibility for the accuracy of the contents of this paper.

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Conflict of interest
This study was supported in part by Bristol-Myers Squibb (BMS); H.T. received lecture fees and/or honoraria from BMS; Y.T. received consulting fees, speaking fees, and/or honoraria from Abbvie, Chugai, Astellas, Takeda, San- ten, Mitsubishi-Tanabe, Pfizer, Janssen, Eisai, Daiichi-Sankyo, UCB, GlaxoSmithKline, BMS, and received research grants from Mitsubishi-Tanabe, Chugai, MSD, Astellas, Novartis. I.M., Y.H., H.N., A.K., and T.S. received research grants, lecture fees and/or honoraria from BMS.

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Table 2. Adverse events in 32 cases during 24 weeks.

| Adverse event | Onset (5 events, 5 cases, 15.6%) | PSL (mg/d) | MTX (mg/w) | Admission | Treatment for AE | Administration of ABT | Outcome |
|---------------|---------------------------------|-----------|-----------|-----------|----------------|-----------------------|---------|
| Urinary tract infection | 7 | 5 | None | Yes | Antibiotics div | Cessation | Recovery |
| Infectious corneal ulcer | 24 | 2.5 | None | No | Antibiotics eye drop | Continue | Recovery |
| Compression fracture of lumbar spine | 12 | 5 | None | Yes | Operation | Cessation | Recovery |
| Bronchitis | 4 | 3.75 | 8 | No | Antibiotics p.o | Continue | Unknown |
| Vomiting and diarrhea | 8 | 5 | None | No | Antiemetics and anti diarrheal | Cessation | Recovery |

AE, adverse event; PSL, prednisolone; MTX, methotrexate; ABT, abatacept; div, drip infusion into vein; p.o, per oral.
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