REVIEW ARTICLE

The potential of using hair cortisol to measure chronic stress in occupational healthcare; a scoping review

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Abstract

Objectives: Workplace-based selective prevention of mental health problems currently relies on subjective evaluation of stress complaints. Hair cortisol captures chronic stress responses and could be a promising biomarker for the early identification of mental health problems. The objective was to provide an overview of the state-of-the-art knowledge on the practical value of hair cortisol in the occupational setting.

Methods: We performed a scoping review of cross-sectional and longitudinal studies in PubMed, Embase, and PsycINFO up to November 2019 assessing the relations of hair cortisol with work-related stressors, perceived stress, and mental health outcomes in healthy workers.

Results: We found five longitudinal studies, of which two observed an increase in work-related stressors to be associated with higher hair cortisol, one found a relation with lower hair cortisol and one did not find a relationship. Findings of cross-sectional studies were also mixed. The one available longitudinal study regarding mental health showed that hair cortisol was not related to depressive symptoms.

Conclusions: Hair cortisol measurement within occupational health research is still in its early stage and more longitudinal studies are urgently needed to clarify its relationship with work-related stressors and perceived stress before hair cortisol can be used to identify workers at risk for mental health problems.

KEYWORDS
disease prevention, glucocorticoids, mental stress, work related
Chronic stress has adverse effects on many aspects of human development and health, among which the developing human brain, cardiovascular, and mental health. Chronic stress is also a threat to the mental health and well-being of the working population. Various mental and physical stressors can cause chronic stress in workers, these can be either work-related, related to the private or personal life of the worker, or both. Workplaces are not only a potential source of stress, they also provide a vital context in which to both protect and promote the health of workers. The workplace has been identified as a platform to reach the population with preventive programs. Workers constitute a large proportion of the population and they can be reached through the facilities already in place at the workplace, such as occupational health services and Employee Assistance Programs. Preventive strategies for chronic stress at workplaces can target workers at three levels, all workers (universal prevention), workers at high risk of developing a mental health problems (selective prevention), and workers with a current mental health problem (indicated prevention). Various interventions are available directed at either improving the psychosocial aspects of work in a universal prevention strategy, reducing the negative impact of stress as part of selective prevention, or improving work outcomes in workers with mental health problems, constituting an indicated prevention strategy.

Identification of workers who have a high risk of experiencing chronic stress with the associated (mental) health consequences, is a key part of workplace-based selective prevention. Current prevention programs rely on the subjective evaluation of work or other stressors and stress complaints by workers with most of the studies using self-report instruments. These instruments are not equipped to measure unconscious exposure to stressors or the effects of mental stress to the body. Moreover, exposure to stressors is measured at one point in time, whereas sustained exposure is likely most harmful to the (mental) health of workers. Epidemiological studies looking at the health effects of cumulative exposure to work stressors are still rare.

In search for biomarkers of stress, cortisol has been at the focus of attention of researchers, including those in the field of occupational health. In response to a mental or physical stressor, cortisol is involved in energy mobilization by stimulating the production of glucose and the mobilization and brake-down of fatty acids. Cortisol is also involved in the suppression of the immune-system via the inhibition of pro-inflammatory cytokines. This bodily response of cortisol release in response to stressors is within seconds but not as quick as the response via the autonomous nervous system. The hypothesis is that when the stressor remains or is repeatedly present and the body develops a chronic response to stressors, these responses can become harmful to long-term health. Studies in occupational health have most often used cortisol in saliva, blood, and urine to examine the link between work stressors and stress reactions. However, these studies either focused on exposure to working conditions that disrupt the biorhythm of workers, such as shift work. Or they were only able to capture short-term stress responses in reaction to work stressors, as saliva and blood samples represent cortisol concentrations from 20 minutes to several hours before the samples were taken.

Advances in the field of stress biomarkers now allow for hair cortisol concentrations (HCC) to provide an easily obtainable index of cortisol levels over a period of several months using the predictable growth rate of hair. The test-retest reliability of HCC within individuals is considered good, although the more distant parts of the hair seem less reliable. HCC can be determined using various techniques, such as immunooassay ELISA or LC-MC/MS methods with both type of analytical methods being well correlated. Although cut off values for HCC have not yet been determined, reference values in healthy people using different analysis techniques have been established. Promising results have been found, showing a relationship between exposure to stressors and an increase in hair cortisol, and also linking hair cortisol to cardiovascular disease. Early identification of increased cortisol could become an important starting point for occupational health selective prevention programs. Nevertheless, several unanswered questions currently preclude the use of hair cortisol in workplace-based prevention. Evidence for the reliability and feasibility of HCC measurements have now been established albeit not yet particularly within an occupational health setting. Evidence of associations between HCC measurements and work-related mental stress is now developing.

Scoping reviews are a way of mapping the key concepts that underpin a research area, and they can be particularly useful for bringing together literature in disciplines with emerging evidence. As the research on cortisol and the relation with biological and psychological stress is still in its early stages and comes from various research fields, the current scoping review aims to deepen the understanding of the potential of measuring HCC to identify high-risk workers for preventive interventions. In this review, the state-of-the-art knowledge on the value of HCC for occupational healthcare is explored and the types of available evidence are identified for three situations relevant in occupational healthcare:

What evidence is available on the potential use of HCC as:

1. an indicator of exposure to unfavorable working conditions, that is, what is known on the association between measurement of HCCs and work-related stressors?
2. an indicator of current stress reactions in workers, that is, what is known on the association between measurement of HCCs and perceived (work-related) stress?
3. a predictor of future common stress-related health problems, that is, what is known on the predictive value of measuring HCC for depression, anxiety, and cardiovascular disorders?

2 | METHODS

For the design of our scoping review, we followed the guidelines as suggested by Peters et al and Arksey et al and we followed the PRISMA-ScR standard for our reporting. We provide an overview of the existing literature to explore the state-of the art with regard to HCC and its potential for use in an occupational health setting. We did not register the protocol of our scoping review.

2.1 | Search strategy

Two authors (JO, MdJ) independently searched the literature in PubMed, Embase, and PsycINFO up to November 2019. Thereafter, we used a snowball for other potentially relevant studies. As the amount of literature on hair cortisol is still rather limited we decided to use a broad search strategy to answer our questions. We used the following search terms (including synonyms and closely related words) as index terms or free-text words: (a) cortisol, (b) hair, and (c) stress, depression, anxiety, well-being, burn-out, demand, cardiovascular disease, or mortality (see Appendix S1 for details).

2.2 | Inclusion criteria

Three authors (FS, GH, and KN) examined the full-text articles independently to check if the study met the inclusion criteria. Any disagreements that arose were resolved via discussion. Inclusion criteria for all three research questions were as follows: studies reporting on hair cortisol in a working population, written in English or Dutch. For research question one, the association with work-related stressor was an inclusion criterion. For research question two, an inclusion criterion was the association with stress complaints. For research question three, an inclusion criterion was that the study reported on either depressive, anxiety, or cardiovascular disorders. For the first two research questions, we included both cross-sectional and longitudinal studies, for the third research question we only included longitudinal studies. Exclusion criteria for all three research questions were a non-healthy or pregnant population (at baseline), and studies dealing with non-working populations such as students or informal caregiving.

2.3 | Charting and summarizing the results

Information of studies on the potential association between HCC and work-related stressors or perceived stress were charted according to key study characteristics, that is, study design, population, gender, ethnicity, age, sample size, hair analysis technique, and adjustment procedures. In addition, the results of the studies were synthesized according to a positive, negative or no association.

3 | RESULTS

Figure 1 presents the details of the number of studies identified at each stage of the searching process that were used to answer the questions for this scoping review. We found 22 individual studies, reported on in 25 publications, that investigated the association between hair cortisol and work-related stressors or perceived stress. There were five longitudinal cohort studies and 17 cross-sectional studies. We found only one study that investigated the association between hair cortisol and future health problems in the working population.

3.1 | Hair cortisol and work-related stressors

Hair cortisol concentration in relation to effort-reward imbalance has been studied in four longitudinal and two cross-sectional studies (Tables 1 and 2). Demand-decision latitude has been studied in five cross-sectional studies. One study among 132 UK employees in the public sector observed no association between effort-reward imbalance and HCC after 3 months follow-up. Herr et al published two longitudinal studies among men of a metal manufacturing plant and observed in one study among 40 employees with relatively low levels of effort-reward imbalance that an increase in effort-reward imbalance was associated with an increase in HCC over a 1-year follow-up period. Effort-reward imbalance was not associated with HCC after 3-year follow-up among 66 employees in the Herr et al study. In contrast, another longitudinal study, found an increase in effort-reward imbalance over 2 years to be associated with a decrease in HCC over time in a sample of 150 workers from various workplaces.

Both cross-sectional studies observed that higher HCC were significantly associated with higher effort-reward balance. HCC were not associated with factors from the demand-decision latitude model in four available cross-sectional studies, but HCC were positively associated with iso-strain (high demand, low control, and low social support) in a cross-sectional study.
Hair cortisol concentration have been studied in relation to various work stressors in one longitudinal study\(^5\) and five cross-sectional studies\(^5,53,56-59\) (Tables 1 and 2). A longitudinal study\(^5\) showed that Swiss youth residential caregivers (N = 121) who experienced verbal or verbal and physical aggression had a 1.6 times higher risk of high HCC after 13-14 months of follow-up compared to those who experienced no aggression. A Dutch study observed that compared to day workers, shift workers aged <40 years had higher HCC,\(^56\) whereas a Belgium study observed lower HCC levels in shift workers,\(^53\) although the latter study did not correct for the common use of (local) corticosteroids, known to decrease HCC. A small cross-sectional German study comparing employed and unemployed individuals found that the latter had higher HCC.\(^57\) In addition, in a German cross-sectional study, instrumental leadership (focused on results, not on relationships) was negatively associated with HCC but transformational leadership (focused on change in tandem with committed members of a group) was not.\(^58\)

Finally, high surface acting (ie, modifying affective displays by suppressing their felt emotions) was correlated with high HCC among kindergarten teachers in China.\(^59\)

**FIGURE 1** PRISMA flow diagram
Overall, evidence for an association between HCC and work-related stressors from longitudinal studies is inconsistent as three studies found a positive association and two did not (Tables 1 and 2). Cross-sectional studies also show inconsistent results for effort-reward imbalance and demand-decision latitude, whereas HCC were positively associated with shift work, aggression, type of leadership, and type of emotional labor in single studies.

### 3.2 Hair cortisol and perceived work-related stress

Two longitudinal and seven cross-sectional studies investigated the associations between HCC and burnout or perceived stress (Tables 1 and 2). Among 74 medical interns, an increase in HCC was significantly correlated with an increase in perceived stress over 1-year period. In contrast, another longitudinal study observed no significant association between HCC and perceived stress among UK employees in the public sector over a 3-month follow-up period. The cross-sectional studies also showed mixed results, with some studies finding a positive association between HCC and burn-out and HCC and perceived stress, and other studies finding no association with burn-out or perceived stress.

Two cross-sectional studies looked at the association between Need for Recovery and HCC, with one study finding no significant association and one finding a significant correlation of HCC with a favorable outcome, a lower Need for Recovery. Finally, one cross-sectional looked at the stress symptom “cognitive disorganization,” but found no significant relation with HCC.

### 3.3 Predictive value of HCC

There is a lack of longitudinal studies on the relationship between HCC and health outcomes (Tables 1 and 2). The only available longitudinal study showed an increase in HCC and depressive symptoms in medical interns, but the increase in HCC and depressive symptoms were not related to each other.

### 4 DISCUSSION

#### 4.1 Main results

With this scoping review, we sought for state-of-the-art knowledge on the practical value of HCC within the occupational health context. We found that only a limited number of longitudinal studies were conducted on the relationship between HCC and work-related stress or stressors. The findings across studies were inconsistent. Most striking was the inconsistency in findings of longitudinal studies of the work stressor Effort-Reward Imbalance and HCC; The Herr 2018 study found a statistical significant relation of higher HCC related to more imbalance, whereas the Penz 2019 study found a statistical significant relation of higher HCC and less imbalance. And the Herr 2017 and Gidlow 2017 studies both found no statistical significant relation between Effort-Reward Imbalance and HCC. The inconsistent findings may be partly attributed to the sample sizes and selection bias; both Herr studies had less than 70 participants, primarily men and all from one workplace who had participated in a stress reduction program. While the Gidlow 2017 and the Penz 2019 studies both had larger sample sizes (n = 153 and n = 150, respectively), the Penz 2019 included heterogeneous sample, but the Gidlow 2017 only included workers from two workplaces.

They further used different longitudinal designs. The Gidlow study measured Effort-Reward Imbalance at baseline and HCC 3 months later, whereas the Penz study measured both Effort-Reward Imbalance and HCC at baseline, after one and after 2 years of follow-up. In sum, the status of HCC as an indicator of unfavorable working conditions and of subsequent stress reactions has not been clarified in the currently available literature. We further only found one longitudinal study investigating the relationship between HCC and mental health. This study showed that an increase in HCC was not related to an increase in depressive symptoms. Therefore, the available evidence on HCC as a predictor of future health problems is also limited.

#### 4.2 Comparison with the literature

Occupational healthcare has been focusing more and more on preventing work-related mental stress. Not only is too much exposure to work stressors related to mental health issues, there is also a substantial amount of literature linking work stressors, such as job strain and long working hours, to an elevated risk of incident coronary heart disease and stroke. In the majority of studies perceived work stress is measured with questionnaires based on different stress models, such as job demands and control or effort-rewards imbalance. The prevention of work-related stress and its consequences could be enhanced by insights on the interplay of work stressors, psychological, and biological processes. However, our findings show that the body of evidence linking HCC to work stressors and stress responses is not sufficient to unravel the combined psychological and biological pathway of work stressors leading to health outcomes. Besides the potential association between mental stress and HCC, the recent interest of occupational healthcare research in linking HCC with other occupational risk factors, such as shift work, highlights
| Reference            | Design | Duration follow-up | Focus Work stressors | Focus Perceived stress | Focus Health outcomes | Population (workplace, country) | Gender (% women) | Age (mean nr yr) and SD | Sample size | Hair analysis technique | Age-, gender, ethnicity corrected |
|----------------------|--------|--------------------|---------------------|------------------------|----------------------|-------------------------------|----------------|--------------------------|-------------|------------------------|--------------------------------|
| Boschi et al 2017    | CS     | NA                 | The Calgary         | Symptoms of Stress     | Inventory            | US, England, Norway,         | 64%            | 41 (9)                  | 100         | 1-6 cm strands from posterior vertex. Methanol extracts using a radioimmunoassay | No |
| Dettenborn et al 2010| CS     | NA                 | Trier Inventory for | the Assessment of     | Chronic Stress       | Germany Employed versus      | Employed (57%); | Employed: 33 (9);    | 59          | 3 cm strands from posterior vertex. Immunoassay with chemiluminescence detection | No |
| Faresjö et al 2014   | CS     | NA                 | Perceived Stress    | Scale (PSS)            |                      | Sweden Nurses and librarians | 100%           | 46 (12)                 | 112         | 3 cm strands from posterior vertex. Methanol extracts using a radioimmunoassay | Yes (not ethnicity) |
| Gidlow et al 2016    | LCS    | 3 mo               | Effort-Reward       | Imbalance (ERI)        | PSS                  | UK Employees of large public | 81%            | 41 (11)                 | 132         | At least 3 cm strands from posterior vertex. Methanol extracts using ELISA | Yes (not ethnicity) |
| Hall et al 2018      | CS     | NA                 | Job Content         | Questionnaire (JCQ)   |                      | US                            | 96%            | 43                      | 106         | 3 cm strands from posterior vertex. Sensitivity enzyme immunoassay kit | No (Correlation) |
| Herr et al 2018      | LCS    | 1 yr               | ERI                 |                        |                      | Germany Metal manufacturing  | 0%             | 48 (6)                  | 40          | 3 cm strands from posterior vertex. Online solid phase extraction. Liquid chromatography-tandem mass spectrometry method | Yes (not ethnicity) |
| Herr et al 2017      | LCS    | 3 yr               | ERI                 |                        |                      | Germany Metal manufacturing  | 0%             | 41 (7)                  | 66          | 3 cm strands from posterior vertex. Online solid phase extraction. Liquid chromatography-tandem mass spectrometry method | Yes (not ethnicity) |
| Janssens et al 2017  | CS     | NA                 | JCQ + Copenhagen    | Psychosocial Questionnaire |                     | Belgium Production companies| 41%            | 43 (10)                 | 102         | 2-3 cm strand at vertex posterior. Methanol extracted samples analysed with liquid chromatography tandem mass spectrometry | No |
| Kind et al 2018      | LCS    | 11 mo              | Survey about personal boundary violations at the workplace | | | Switzerland Caregivers youth residential care | 62%            | 23-61                   | 121         | 1.5 cm strands from posterior vertex region. High-sensitivity cortisol enzyme immunoassay kit | Yes (not ethnicity) |
| Manenschijn et al 2011 | CS | NA | Shift work | | | Netherlands Textile company | 0%          | Shift workers: 41; day workers: 33 | 122         | 3 cm strand from posterior cortex. ELISA cortisol kit | Yes (not ethnicity) |
| Reference                  | Design | Duration follow-up | Focus Work stressors | Focus Perceived stress | Focus Health outcomes | Population (workplace, country) | Gender (% women) | Age (mean yr) and SD | Sample size | Hair analysis technique | Age-, gender, ethnicity corrected |
|----------------------------|--------|--------------------|----------------------|------------------------|-----------------------|-------------------------------|------------------|---------------------|-------------|-----------------------|-------------------------------|
| Mayer et al 2018^6^       | LCS    | 13–14 mo           | Work stressors       | PSS                    | Patient Health Questionnaire (PHQ-9) | US Medical Interns           | 56%             | 25-33               | 74          | 2 cm strands from posterior vertex. Immunoassay with chemiluminescent detection | No Within-person analysis   |
| Mckennan et al 2016^6^    | CS     | NA                 | Maslach Burnout Inventory (MBI) | SF Health Questionnaire | Germany Nurses geriatric care institutions | 90%                         | 42 (11)         | 246                 | 100         | 3 mm diameter strands near posterior vertex of 3 cm. Immunoassay with chemiluminescence detection | No Bivariate correlation |
| O-Brien et al 2013^6^     | CS     | NA                 | PSS                  | US                     | University staff        | 65%                          | 30 (13)         | 135                 | 314         | 100 strands (3 cm) from the posterior vertex of the head. Enzyme immunoassay | No                            |
| Penz et al 2018^6^        | CS     | NA                 | MBI                  | Germany/Sweden Workers | 84%                   | 42 (11)                     | 314              | Yes (not ethnicity) | LC-MS/MS    | 3 mm strands from posterior vertex. Liquid chromatography coupled with tandem mass spectrometry (LC-MS/MS) | No                           |
| Penz et al 2019^6^        | LCS    | 2 yr               | ERI                  | Germany Workers        | 65%                   | 42 (11)                     | 150              | Yes (not ethnicity) | LC-MS/MS    | 3 mm strands from posterior vertex. Liquid chromatography coupled with tandem mass spectrometry (LC-MS/MS) | No                           |
| Qi et al 2014^2^          | CS     | NA                 | ERI                  | China Kindergarten teachers | 100%                  | Median 27, (IQR 24-30)       | 39               | No                  | 1 mm diameter hairstrands near posterior cortex of 3 cm. Methanol extracted sample analyzed with 3200 QTRAP liquid chromatography tandem mass spectrometer | No                          |
| Qi et al 2015^5^          | CS     | NA                 | JCQ                  | China Kindergarten teachers | 100%                  | 28 (6)                      | 43               | No                  | 1 mm diameter hairstrands near posterior cortex of 3 cm. Methanol extracted sample analyzed with 3200 QTRAP liquid chromatography tandem mass spectrometer | No                          |
| Qi et al 2017^5^          | CS     | NA                 | Emotional Labor Scale Stressful Life Events Scale | China Kindergarten teachers | 100%                  | 28 (6)                      | 43               | No                  | 1 mm diameter hairstrands near posterior cortex of 3 cm. Methanol extracted sample analyzed with 3200 QTRAP liquid chromatography tandem mass spectrometer | No                          |
| Rowold et al 2017^5^      | CS     | NA                 | Transformational Leadership Inventory | Germany       | 50%                   | 33 (12)                     | 131              | Yes (not ethnicity) | 3 cm strand from posterior cortex | No                          |
| Van der Meij et al 2018^5^ | CS    | NA                 | JCQ                 | Netherlands            | 66%                   | 39 (12)                     | 172              | Yes (not ethnicity) | 3 cm strand from to posterior cortex. LC-MS/MS | No                          |

(Continues)
the need for more longitudinal studies on HCC within the occupational setting. For now, the practical value of HCC measurements in occupational health is limited. Compared to a meta-analysis of HCC associations with a wide range of outcomes conducted 3 years earlier than this review, the state of knowledge relevant to occupational health has not substantially increased. In that analysis, only ongoing stress was found to be related to HCC, but no further associations with future stress-related symptoms or mental disorders were investigated.

In other medical domains, however, the more biological pathway of stressors, such as hormonal imbalance due to Cushing Syndrome leading to abdominal obesity and many other health problems, is already an important topic for researchers. There indeed seems to be a relationship between hormonal changes or imbalance and disease, but to what extent these hormonal changes can also predict long-term disease still needs further study.

4.3 Methodological considerations

This scoping review used the methodological framework as suggested to achieve in-depth and broad results to explore the value of HCC for occupational healthcare. The mapping of the value of HCC for occupational healthcare. The mapping of Table 1 (Continued)

| Reference                                    | Design | Duration follow-up | Focus Work stressors | Focus Perceived stress | Focus Health outcomes | Population (workplace, country) | Gender (% women) | Age (mean ± SD) | Sample size | Hair analysis technique | Age, gender, ethnicity corrected |
|----------------------------------------------|--------|--------------------|----------------------|------------------------|-----------------------|--------------------------------|-----------------|-----------------|-------------|------------------------|-------------------------------|
| Van Holland et al 2012*                      | CS     | NA                 | Stress Screener      | NFR                    | Netherlands           | Meat-processing industry      | 19%             | 46 (10)        | 29          | 3 cm from to posterior cortex | No (correlation)              |
| Wang et al 2019*                             | CS     | NA                 | MBI                  | China Hospitals        | 100%                  | < 30: n = 22                 | 30-35: n = 20    | > 35 n = 26    | 68          | 3 cm from occipital position | Yes (not ethnicity)           |
| Wells et al 2014*                            | CS     | NA                 | PSS                  | Canada sample          | 72%                   | 42 (16)                      | 324             | Yes (not ethnicity) | 3 cm from to posterior cortex | Analyzed on a salivary ELISA kit manufactured by Alpco Diagnostics for quantification |

Note: Abbreviations: CS, cross-sectional; LCS, longitudinal cohort study; SD, standard deviation.

*The Qi publications from 2014, 2015, and 2017 are from the same study, as are the Herr 2017 and 2018 publications.
the data within this scoping review had the focus of assessing the value of HCC for occupational healthcare. Using this methodology was helpful to identify the available evidence of HCC from the perspective of the use of HCC in occupational health. However, the choice to conduct a scoping review entails that we did not generate a critically appraised and synthesized answer to a strictly defined research question. As we identified 25 papers, one might argue that a systematic review would have been more appropriate. However, these 25 papers covered three separate relationships of HCC, with stressors, stress responses, and health outcomes. And we identified no more than five longitudinal studies. Given the current state of the art, systematic reviews on HCC as an indicator of exposure to stressors and current stress reactions, and as a predictor of future stress-related health problems should be performed after longitudinal primary studies in large, heterogeneous sample sizes have been conducted with repeated measures of both HCC and the predictor of interest over a longer period of time.

4.4 Conclusion and implications

After having reviewed the literature relevant to the application of hair cortisol measurement in an occupational health setting, we conclude that several prerequisites to such application in practice have not yet been met. Prospective studies in working populations are needed to first show which (duration of) high HCC levels are related to mental and physical health problems. Moreover, to guide preventive efforts in the occupational health setting, the relationship between HCC levels and exposure to perceived work stressors needs to be more clear.

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DISCLOSURES

Approval of the research protocol: N/A. Informed consent: N/A. Registry and the registration no. of the study: N/A. Animal studies: N/A. Conflict of interest: None declared.

AUTHOR CONTRIBUTIONS

All authors made substantial contributions to this article. FS and KN designed the study, analyzed and interpreted the data, and wrote the manuscript. GH helped with fine-tuning the design of the study, analyzed and interpreted the data, and contributed to the writing of the manuscript. MJ and JO searched the literature and screened for relevant articles and contributed to writing of the manuscript. EvR advised on the interpretation of the validity of HCC measurements and contributed to the writing of the manuscript. Additionally, all authors approve this version to be published.

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**SUPPORTING INFORMATION**

Additional supporting information may be found online in the Supporting Information section.

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