Ethnic Differences in the Relationship Between Insulin Sensitivity and Insulin Response

A systematic review and meta-analysis

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OBJECTIVE—Human blood glucose levels have likely evolved toward their current point of stability over hundreds of thousands of years. The robust population stability of this trait is called canalization. It has been represented by a hyperbolic function of two variables: insulin sensitivity and insulin response. Environmental changes due to global migration may have pushed some human subpopulations to different points of stability. We hypothesized that there may be ethnic differences in the optimal states in the relationship between insulin sensitivity and insulin response.

RESEARCH DESIGN AND METHODS—We identified studies that measured the insulin sensitivity index (S) and acute insulin response to glucose (AIRg) in three major ethnic groups: Africans, Caucasians, and East Asians. We identified 74 study cohorts comprising 3,813 individuals (19 African cohorts, 31 Caucasian, and 24 East Asian). We calculated the hyperbolic relationship using the mean values of S and AIRg in the healthy cohorts with normal glucose tolerance.

RESULTS—We found that Caucasian subpopulations were located around the middle point of the hyperbola, while African and East Asian subpopulations are located around unstable extreme points, where a small change in one variable is associated with a large nonlinear change in the other variable.

CONCLUSIONS—Our findings suggest that the genetic background of Africans and East Asians makes them more and differentially susceptible to diabetes than Caucasians. This ethnic stratification could be implicated in the different natural courses of diabetes onset.

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Canalization is the way in which organisms develop phenotypic robustness as a response to genetic or environmental perturbations. This process ensures the stability of critical biological processes like blood glucose regulation. Canalization of this trait can be represented by a hyperbolic function of two underlying variables: insulin sensitivity and insulin response, as primarily described by Kahn et al. (1,2).

Global migration in the early history of Homo sapiens placed people in new environments, resulting in novel diets, food scarcity, different climates, and exposure to novel pathogens. These changes may have shifted population averages of factors that influence insulin sensitivity and secretion. They include body size, body composition, energy expenditure, storage, and heat production. As these factors changed, they may have disclosed cryptic genetic variation or adopted novel mutations, leading to disruption of the unique point of stable equilibrium of ancestral populations. As this process continued over hundreds of millennia, specific genetic and environmental perturbations may have pushed some subpopulations to different points of stability (1,3–5).

We hypothesized that there may be ethnic differences in the optimal states in the relationship between insulin sensitivity and insulin response and that these differences may depend on a population’s genetic or evolutionary history. To assess this hypothesis, we performed a systematic review and a meta-analysis of studies of the insulin sensitivity index (S) and the acute insulin response to glucose (AIRg). Our analysis was done in cohorts in any of the three major ethnic groups: Africans, Caucasians, and East Asians. We found significant differences between the groups.

RESEARCH DESIGN AND METHODS—The Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) was used as a guideline for our meta-analytic study (6).

Literature search
We searched PubMed in October 2011 for articles measuring S and AIRg with frequently sampled intravenous glucose tolerance tests (FSIGT) in Africans, Caucasians, or East Asians (Supplementary Data). Our keywords included (“acute insulin response” AND (“insulin sensitivity index” OR “Caucasian” OR “African” OR “Chinese” OR “Japanese” OR “Korean”)) OR (“FSIGT” AND (“Caucasian” OR “African” OR “Chinese” OR “Japanese” OR “Korean”)). In addition, we reviewed the reference lists of the articles we found to find more studies. The language of the studies was restricted to English.
Inclusion and exclusion criteria
A study article that met the following criteria was included: 1) It determined individual $S_i$ and $AIR_x$ by FSIGT with minimal model (MINMOD) analysis [7,8]. 2) It specified the measurement units of $S_i$ and $AIR_x$. 3) It investigated a cohort composed of a single ethnicity. If there were multiple publications for the same study, we used the article with the most detailed information. We then classified the study cohorts into three groups: normal glucose tolerance (NGT), impaired glucose regulation (IGR), or type 2 diabetes (T2D). We used diagnoses made by the original authors; these diagnoses were based on recommendations of the World Health Organization, the American Diabetes Association, or the Japan Diabetes Society at the time of the study (9–11).

The NGT cohorts were further limited as follows. A cohort was included if it was composed of apparently healthy subjects (no evidence of systemic diseases influencing glycemic control). A cohort was excluded if it was composed of 1) (mainly) obese subjects (mean of BMI >30 kg/m2), 2) subjects with a history of gestational diabetes mellitus, or 3) subjects with a family history of T2D.

Data extraction
Two investigators (K.K. and D.T.) independently reviewed the abstracts and the full text of all articles retrieved from PubMed. They made decisions about including or excluding them. Discrepancies in eligibility were discussed until agreement was achieved. Data were extracted independently by K.K. and D.T. Relevant data included the key author’s name; year of publication; ethnicity of the population studied; number of participants; participants’ mean age, BMI, and fasting glucose; and participants’ mean ± SD $S_i$ and $AIR_x$. We converted different $S_i$ units (e.g., $10^{-9}$·min⁻¹/μU/mL) to the units used in Kahn’s original study ($10^{-5}$·min⁻¹/μmol/L) (2). We also recalculated the values of $AIR_x$ as the mean increment above basal level of insulin concentrations during the first peak and converted all units to those in Kahn’s original study (pmol/L) (2). Differences in abstracted data were resolved by discussion and consensus with the other authors.

Data correction
FSIGT with MINMOD analysis was conducted to evaluate $S_i$ using one of the following three methods: no modification (regular), additional insulin administration at 20 min (insulin modified), or tolbutamide administration at 20 min (tolbutamide boosted). Two studies (12,13) have reported that $S_i$ measured by the insulin-modified protocol was systematically lower (16–29%) than that measured using tolbutamide, while another reported no significant difference between $S_i$ (insulin) and $S_i$ (regular) (14). Most of the studies in our meta-analysis used the insulin-modified or regular protocol (Supplementary Table 1). The tolbutamide-boosted protocol was used in eight study cohorts for all of the participants (2 African and 6 Caucasian) and in four cohorts for the participants (1 African and 3 Caucasian) in the NGT category. It was used in two cohorts for all of the participants (2 Caucasian) in the IGR and for none in the T2D. To correct the effects of the tolbutamide studies, we converted their values of $S_i$ (tolbutamide) to the approximate estimates of $S_i$ (insulin) using the average rate of decline (25.9%) calculated from the data in the two references noted above (12,13).

Quality assessment for individual studies and overall quality of evidence
To evaluate quality and the risk of bias in each included study, we designed a scoring system for test results (Supplementary Data). Our system is a modification of the Newcastle–Ottawa Quality Assessment Scale, which was originally developed to assess the quality of nonrandomized studies (15). Our scale (the Modified Newcastle–Ottawa Quality Assessment Scale [MNOS]) awards a maximum of 9 points to each included study: up to 4 points for an adequate selection of a study population, up to 2 points for comparability of cohorts in the study [i.e., absence of obvious confounding factor(s)], and up to 3 points for the adequate measurement and presentation of study results. We defined studies as high quality if they scored the maximum 9 points on our scale; studies of medium quality scored 7–8 points, and studies that scored ≤6 points were defined as low quality.

We rated the overall quality of our evidence for each ethnic group by considering the quality (as measured by MNOS), the imprecision of results, or the possibility of publication bias according to recommendations by the Grading of Recommendations Assessment, Development, and Evaluation (GRADE) Working Group (16).

Statistical analysis
The relationship between mean values of $S_i$ and $AIR_x$ across three ethnic groups was assessed using power (log-log) regression analysis. Additionally, we calculated combined mean values ($\overline{X}_g$) and variances ($U_g$) of $S_i$ and $AIR_x$ for all cohorts in each ethnic group (NGT, IGR, or T2D) as follows:

$$\overline{X}_g = \frac{\sum_{i=1}^{k} n_i \overline{X}_i}{n_i}$$

$$U_g = \left\{ \frac{\sum_{i=1}^{k} (n_i - 1) U_i}{(n_i - 1)} + \sum_{i=1}^{k} n_i (\overline{X}_i - \overline{X}_g)^2 \right\} / (n_i - 1)$$

In this calculation, $k$ was the number of cohorts in each ethnic group, $n_i$ was the sample size of cohort $i$ in each ethnic group, $n_i$ was the total number of samples in each ethnic group, $X_i$ was the mean value of cohort $i$ in each ethnic group, and $U_i$ was the variance of cohort $i$ in each ethnic group. We made comparisons between multiple-group means with a Bonferroni corrected $t$ test for pairwise group comparisons. A two-tailed $P$ value <0.017 (0.05/3) was considered to indicate statistical significance (3 comparisons). All analyzed data are represented as means ± SE unless otherwise noted.

RESULTS

Search results
Fig. 1 is a flowchart showing how we identified study cohorts. Our PubMed search yielded 174 journal articles. After review of the full text and reference lists of each article, 48 studies including 94 cohorts met our selection criteria and were subjected to further screening. These cohorts were classified as NGT, IGR, and T2D, and the NGT group was further restricted according to our predetermined criteria. Eventually, we identified 74 ethnically homogeneous cohorts in which $S_i$ and $AIR_x$ were measured. There were 48 NGT (13 African, 23 Caucasian, and 12 East Asian), 15 IGR (3 African, 5 Caucasian, and 7 East Asian), and 11 T2D (3 African, 3 Caucasian, and 5 East Asian). They included 3,813 individuals (Supplementary Table 1).

Risk of bias assessment
We used our MNOS scale to assess the quality of the individual studies for each ethnic group classified by degree of glucose tolerance. In NGT, 39 studies were
Figure 1—Flow diagram of literature search and cohort identification.
deemed to be high quality (11 African, 19 Caucasian, and 9 East Asian), 8 were medium quality (2 African, 3 Caucasian, and 3 East Asian), and 1 was low quality (1 Caucasian; MNOS score: 5 points). Eleven IGR studies were of high quality (3 African, 3 Caucasian, and 5 East Asian), four were medium quality (2 Caucasian and 2 East Asian), and none were low quality. In T2D, eight studies were high quality (3 African, 1 Caucasian, and 4 East Asian), two were medium quality (1 Caucasian and 1 East Asian), and one was low quality (1 Caucasian; MNOS score: 6 points) (Supplementary Table 1). The studies defined as medium all lost points in the selection or comparability categories. The low-quality studies lost two points in a selection category and additional point(s) for other potential biases in either a comparability or an outcome category.

**Ethnic differences in the relationship between S_I and AIR_g in NGT cohorts**

We used the method of Kahn et al. (2) to calculate the hyperbolic relationship between insulin sensitivity and insulin response. We used mean values of S_I and AIR_g in the NGT (healthy) cohorts and then stratified distributions by ethnicity (Fig. 2). Caucasian subpopulations clustered around the middle of the hyperbola, while African and East Asian subpopulations were located in unstable extreme points. In these areas, a small change in one variable is associated with a large nonlinear change in the other variable.

We then compared the mean values of these parameters between the two different ethnic groups using a two-tailed Welch t test. We found that Africans had significantly lower insulin sensitivity (S_I) and higher insulin response (AIR_g) than the other two groups. Insulin sensitivity (S_I) in East Asians was higher than the other two groups, while insulin response (AIR_g) was much lower than that of Africans and lower than Caucasians [S_I (×10^{-5} min^{-1}/pmol/L), African 4.4 ± 0.14, Caucasian 7.1 ± 0.11, East Asian 11.9 ± 0.52, African vs. Caucasian P = 5.3 × 10^{-5}, African vs. East Asian P = 1.9 × 10^{-4}, and Caucasian vs. East Asian P = 0.002; AIR_g (pmol/L), African 997 ± 36.2, Caucasian 396 ± 7.5, East Asian 265 ± 13.7, African vs. Caucasian P = 6.4 × 10^{-4}, African vs. East Asian P = 1.4 × 10^{-4}, and Caucasian vs. East Asian P = 0.028] (Table 1).

**Figure 2**—Ethnic differences in the relationship between insulin sensitivity and insulin response in NGT cohorts. Scatter plots of S_I vs. AIR_g measured in NGT (healthy) African, Caucasian, and East Asian cohorts. Each circle represents one study cohort. Circle area is proportional to cohort sample size. The solid line is the curve calculated in our meta-analysis [ln(AIR_g) = −0.915 × ln(S_I) − 2.82]. The dashed line is the curve of Kahn et al. (2) describing healthy individuals who were primarily Caucasian [ln(AIR_g) = −1.0 × ln(S_I) − 3.80].

**Ethnic differences in the relationship between S_I and AIR_g across glucose tolerance subgroups**

Our findings raised the question of whether there was ethnic variation in the relationship between insulin sensitivity and insulin response across three glucose tolerance subgroups. To address this question, we plotted the mean and 95% CI values of S_I against AIR_g in subjects with NGT, IGR, and T2D from each ethnic group. We found ethnic variation in the distribution of S_I and AIR_g across the three glucose tolerance subgroups (Table 1 and Fig. 3). These results suggest that in Africans, insulin response may be strikingly reduced in the course from NGT to T2D via IGR. In the same groups of Caucasians, decreased insulin sensitivity could be more predominant than decreased insulin response. In East Asians, insulin sensitivity may be strikingly reduced, while insulin response was not changed in the course from NGT to IGR. However, insulin response could be rapidly reduced from IGR to T2D.

**Publication bias**

We assessed the possibility of publication bias in our included studies for each ethnic group in NGT using the method of Macaskill, Walter, and Irwig (17). This method uses a funnel-plot regression of AIR_g or S_I on the sample size, weighted by the inverse variance. We found no evidence of publication bias (AIR_g, African P = 0.18, Caucasian P = 0.78, and East Asian P = 0.24; S_I, African P = 0.14, Caucasian P = 0.25, and East Asian P = 0.42 [Supplementary Fig. 1]). We did not examine possible publication bias of the results on IGR and T2D group, as guidelines do not recommend testing for funnel-plot asymmetry in analyses of <10 studies (18).

**Overall quality of evidence**

We assessed the overall quality of the evidence for each ethnic group classified by degree of glucose tolerance (NGT, IGR, or T2D), with reference to the GRADE system (16). In NGT, we considered our results for all of the three ethnic groups to be of high quality, as most studies in this category had received maximum MNOS points. In IGR, the results of Caucasians and East Asians were deemed to be of high quality, while results for Africans were of moderate quality. In T2D, we judged evidence for East Asians to be of high quality. It was of moderate quality for Africans and low quality for Caucasians. We
### Table 1—Characteristics of NGT, IGR, or T2D cohort subjects

| Ethnicity | NGT | IGR | T2D |
|-----------|-----|-----|-----|
| Africans  |     |     |     |
| East Asians|     |     |     |
| Caucasians|     |     |     |
| Africans  | 100 | 100 | 100 |
| East Asians| 100 | 100 | 100 |
| Caucasians| 100 | 100 | 100 |

Conclusions

We have confirmed that there is a hyperbolic relationship between insulin sensitivity and insulin resistance. The stabilization points of insulin sensitivity and insulin resistance differ between African and East Asian NCT groups. We discovered that African and East Asian NCT groups had similar body mass indices (BMI) and insulin secretion levels. Among healthy subjects, African and East Asian NCT groups had similar BMI and insulin secretion. The expected positive association between insulin sensitivity and insulin resistance was lower in Africans than in Caucasians. This finding contradicts the expectation that insulin resistance in African-Americans would be greater than in Caucasians. It is possible that African-Americans have less insulin resistance than other ethnic groups. However, it is also possible that African-Americans have greater insulin secretion and lesser insulin resistance. In conclusion, we found that the relationship between insulin sensitivity and insulin resistance is different between African-Americans and East Asians.

**Figure 1**

The AIRg response in healthy East Asians is as well as that of insulin secretion in healthy East Asians. It is possible that lower AIRg in healthy East Asians is due to lower insulin sensitivity. The AIRg response in healthy East Asians is as well as that of insulin secretion in healthy East Asians. It is possible that lower AIRg in healthy East Asians is due to lower insulin sensitivity. The AIRg response in healthy East Asians is as well as that of insulin secretion in healthy East Asians. It is possible that lower AIRg in healthy East Asians is due to lower insulin sensitivity. The AIRg response in healthy East Asians is as well as that of insulin secretion in healthy East Asians. It is possible that lower AIRg in healthy East Asians is due to lower insulin sensitivity. The AIRg response in healthy East Asians is as well as that of insulin secretion in healthy East Asians. It is possible that lower AIRg in healthy East Asians is due to lower insulin sensitivity. The AIRg response in healthy East Asians is as well as that of insulin secretion in healthy East Asians. It is possible that lower AIRg in healthy East Asians is due to lower insulin sensitivity.

**Figure 2**

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in Africans, even a small increase in insulin resistance in modern life could cause a rapid increase in the amount of insulin secretion required to maintain NGT. We assume that this unstable feedback loop may be implicated in this group's vulnerability of canalization of blood glucose levels. In fact, African Americans have a twofold higher risk of developing T2D compared with Caucasians (38).

It is thought that East Asians have a limited innate capacity of insulin secretion (39). This capacity may be decreased by aging or β-cell exhaustion due to continuous insulin resistance in many modern humans. We can therefore speculate that in East Asians, even a small decrease in insulin secretory function may lead to a rapid decrease in the threshold level of insulin resistance above which T2D occurs. This possible instability and vulnerability of canalization due to lower β-cell function may contribute to the increased prevalence of diabetes in East Asia in recent decades.

This systematic review and meta-analysis has strength and some limitations. An important strength of this work is that it is the first study to explicitly examine the relationship between insulin sensitivity and insulin response in three major ethnic groups, across different states of glucose tolerance, and on the basis of a comprehensive literature search. The major limitation in our meta-analysis is that we included only a few study cohorts (<100 subjects) in some groups in the IGR and T2D categories (IGR Africans, T2D Africans, and T2D Caucasians), even if most of these studies included were rated as high quality in our MNOS scoring system.

We acknowledge that the results concerning these groups may be slightly changed by further experiments. Another limitation was that the definition of glucose tolerance was not precisely the same in all studies, primarily because the original authors used different criteria (World Health Organization, American Diabetes Association, or Japan Diabetes Society recommendations) (9–11). Additionally, we cannot exclude the possibility that some NGT cohorts may have included participants with either a family history of T2D or a history of gestational diabetes mellitus when the original authors did not indicate the exclusion criteria for those participants. These may have reduced the comparability of each group in our meta-analysis.

Further, there may be some points that could affect estimation of S_I values in Africans. For example, our criteria excluding obese cohorts from the NGT category may have inadvertently excluded insulin-sensitive individuals. There is evidence that overweight or obese insulin-sensitive subjects are predisposed to further weight gain (40,41). We may have missed individuals with relatively high S_I and high AIRg (predisposed to weight gain), especially in the African obese cohorts. Another potential issue is an artifact of the MINMOD analysis. This model was originally developed in dogs and was not intended to be used outside of a narrow range of insulin and glucose values (7). This range may be exceeded by the high AIRg of NGT Africans. Several researchers have published studies concerning artifacts of MINMOD or the possibility of underestimation of S_I in the higher peak of insulin concentrations (42–44). We assume that the S_I values may have been underestimated in the individuals with high AIRg in NGT Africans. These issues may have slightly shifted the combined data of S_I in NGT Africans in our meta-analysis.

Also, we acknowledge that most African subjects in our meta-analysis were unlikely to be of pure African ancestry, given that most of them were African Americans and may have some European ancestors. However, given the relative stability of Caucasian subjects here, this fact may mean that people of pure African descent could be even further up the hyperbolic curve than is indicated here.

Other factors that may have affected our results are related to methods used to evaluate S_I. There were three methods: no modification (regular), insulin modified, or tolbutamide boosted. Two studies have reported that S_I (insulin) was systematically and significantly lower than S_I (tolbutamide) (12,13), while another reported that S_I (insulin) was similar to S_I (regular) (14). Of the 74 study cohorts in our meta-analysis, 10 used a tolbutamide protocol for all participants in the cohorts and 4 for the part of participants. We adjusted errors that can occur owing to different measurement methods in the 12 studies according to previously reported data (12,13). However, we could not correct the S_I values in the remaining two cohorts because the ratio of participant-administered tolbutamide was not specified. This would have changed the results of the individual studies and, hence, slightly affected the results of combined data.

Finally, we acknowledge that our literature search may have missed studies involving FSGT, AIRg, S_I, and our three ethnic groups. PubMed searches only titles and abstracts and not the full text of articles. If the titles or abstracts of relevant studies did not include our keywords.
interpretation; provided critical review of the draft; and approved the final version. D.T. performed the literature search, independent review of articles, and data extraction and analysis; participated in data interpretation; provided critical review of the draft; and approved the final version. S.Y., K.T., and C.J.P. participated in data interpretation, provided critical review of the manuscript, and approved the final version. A.J.B. designed the research, participated in data interpretation, provided critical review of the manuscript, and approved the final version. K.K. designed the research; performed the literature search, independent review of articles, and data extraction and analysis; wrote the manuscript; participated in data interpretation; provided critical review of the draft; and approved the final version. K.T. and C.J.P. designed the research; performed the literature search, independent review of articles, and data extraction and analysis; wrote the manuscript; participated in data interpretation; provided critical review of the draft; and approved the final version.

In spite of these limitations, our results have significant implications for public health and clinical care. We have demonstrated that there is a hyperbolic relationship between insulin sensitivity and insulin response in healthy NGT cohorts across three ethnic groups (African, Caucasian, and East Asian). We also found an ethnically inherent difference in the optimal points in the canalization of normal blood glucose levels. In addition, we also found ethnic differences in the distribution of insulin sensitivity and insulin response in the course from NGT to T2D (decanalization). Our findings imply these scenarios: Africans have evolved to develop very robust β-cell function to maintain NGT despite having relatively higher insulin resistance. East Asians have evolved to be very insulin sensitive and thus require less robust β-cells. However, there are some Africans who cannot increase insulin secretion further, and they are prone to developing IGR and T2D with further decrease in insulin sensitivity. There are also some East Asians who, because they have especially vulnerable β-cells, despite relatively good insulin sensitivity, are unable to increase insulin secretion further if there is even a slight decrease in insulin sensitivity. These people thus develop IGR and T2D. In both scenarios, environmental factors that contribute to decreased insulin sensitivity would play roles in decanalization. Our findings suggest that it is necessary to consider a patient’s ethnic background when addressing prevention, surveillance, and treatment of IGR and T2D.

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K.K. designed the research; performed the literature search, independent review of articles, and data extraction and analysis; wrote the manuscript; participated in data interpretation; provided critical review of the draft; and approved the final version. K.T. and C.J.P. designed the research; performed the literature search, independent review of articles, and data extraction and analysis; wrote the manuscript; participated in data interpretation; provided critical review of the draft; and approved the final version. A.J.B. designed the research, participated in data interpretation, provided critical review of the manuscript, and approved the final version. K.K. designed the research; performed the literature search, independent review of articles, and data extraction and analysis; wrote the manuscript; participated in data interpretation; provided critical review of the draft; and approved the final version.

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Ethnic differences in insulin sensitivity and response

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