Respiratory support in acute heart failure with preserved vs reduced ejection fraction

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Abstract

Background: There is little evidence addressing the use and differential impact of respiratory support in acute heart failure (AHF) patients with preserved (HFPEF) vs reduced (HFREF) ejection fraction. Therefore, our objective was to determine the usage and clinical outcomes of critical care respiratory support in AHF across the two populations.

Hypothesis: Respiratory support would be associated with adverse outcome in both HFPEF and HFREF.

Methods: We identified HFPEF, HFREF, invasive mechanical ventilation (IMV), and noninvasive ventilation (NIV) using International Classification of Disease-Ninth Edition codes in the National Inpatient Sample between January 1, 2008 and December 31, 2014. We determined rates of IMV and NIV use. We identified predictors of need for IMV and NIV and the association between ventilation strategies and in-hospital mortality in HFPEF vs HFREF.

Results: 1.3 million AHF-HFPEF and 1.7 million AHF-HFREF hospitalizations were included; 5.98% of AHF HFPEF hospitalizations included NIV and 0.57% included IMV. Among HFREF hospitalizations, fewer (4.1%) included NIV and more (0.93%) included IMV. In HFPEF hospitalization, NIV use was associated with 2.24-fold increased risk for death compared to no respiratory support in an adjusted model (HR 2.24 95% CI 2.05-2.44) and IMV use was associated with 2.85-fold increased risk for death (HR 2.85 95% CI 2.30-3.53). This increased risk of in-hospital mortality was similar among HFREF patients.

Conclusions: Use of respiratory support is increasing among patients with both HFPEF and HFREF and associated with substantially increased mortality in both heart failure subtypes.

Keywords

acute coronary care, cardiac function, heart failure
1 | INTRODUCTION

Acute heart failure (AHF) hospitalization is responsible for millions of hospital visits per year and substantial morbidity, mortality, and reduced quality of life. The heart failure epidemic involves both heart failure with preserved and reduced ejection fraction, and approximately half of patients with heart failure have preserved ejection fraction. Heart failure with preserved ejection fraction (HFPEF) and heart failure with reduced ejection fraction (HFREF) have important differences in pathophysiology, cause of death, and comorbidities. Specifically, patients with HFPEF frequently suffer comorbid pulmonary disease, skeletal muscle abnormalities, obstructive sleep apnea, and obesity, which contribute to a syndrome distinct from that of HFREF.

AHF patients often require respiratory support secondary to pulmonary edema, reduced cardiac output, respiratory muscle fatigue, and pleural effusion and the burden of respiratory failure in AHF is increasing. Respiratory support can be provided with either noninvasive ventilation (NIV) with facemask or invasive mechanical ventilation (IMV) through an endotracheal tube. Need for respiratory support in AHF is increasing and associated with substantially worsened outcomes including mortality. Yet, there is little evidence addressing the differential impact of respiratory failure or mode of respiratory support in AHF patients with HFPEF vs HFREF. Given the pathophysiologic differences between the two syndromes, the incidence, causes, and prognostic significance of respiratory failure in the two populations may differ. Moreover, there is little evidence addressing critical care needs in the HFPEF population, which is a requisite for planning randomized trials of support in this important population. Understanding differences in respiratory support in the two populations would inform intervention, risk stratification, and planning of future trials.

To address these gaps in knowledge, we conducted a nationwide retrospective cohort study to characterize the use of IMV and NIV in AHF subpopulations of HFPEF vs HFREF as well as to identify predictors of requirement for respiratory support and associated hazard for mortality in the two populations. We hypothesized that the epidemiology of usage of IMV and NIV would be different between the two diseases and the predictors of need for IMV and NIV would differ between HFPEF and HFREF patients. Finally, we hypothesized that need for respiratory support would be associated with adverse outcome in both HFPEF and HFREF.

2 | METHODS

2.1 | Study population

Our study population included AHF hospitalizations from the National Inpatient Sample (NIS) between January 1, 2008 and December 31, 2014. The NIS is a large all-payer inpatient care database representative of US hospitals. The Institutional Review Board of Johns Hopkins University and the Healthcare Cost and Utilization Project approved the project.

All diagnoses and procedures in the NIS are identified by International Classification of Disease-Ninth Edition· Clinical Modification (ICD-9-CM) codes. The first diagnosis listed is the primary reason for hospital admission. We included all hospitalizations with a principal diagnosis of AHF with HFPEF or HFREF based on ICD-9 codes 428.31 or 428.33 for HFPEF and 428.21 or 428.23 for HFREF which have been validated for identification of heart failure admissions. ICD-9 CM codes used for variable definition are shown in supplemental Table S1. We adhered to the NIS methods advocated by Khera et al.

2.2 | Exposures and outcomes

Our exposure of interest was receipt of IMV or NIV compared to no support in patients with HFPEF and HFREF. IMV use was identified using ICD-9-CM code 96.7x for mechanical ventilation or 96.0 for endotracheal intubation which are specific for mechanical ventilation. NIV was identified with code 93.90. We considered only IMV and NIV within the first 24 hours because our clinical interest was respiratory failure related to initial AHF presentation rather than other factors such as surgery, pneumonia, or other hospital acquired condition. We used standard ICD-9 codes and NIS-provided Elixhauser comorbidities to define other clinical covariates of priori clinical interest. A list of all ICD-9 codes is displayed in Supplemental Table S1.

We first considered the outcome of use of IMV or NIV vs neither modality in both the HFPEF and HFREF populations. Thus, our primary outcome for an initial set of analyses was IMV or NIV use stratified by HFPEF vs HFREF. Then, to determine the association of respiratory support with outcome in each of HFPEF and HFREF, we divided each of the HFPEF and HFREF populations into three groups: AHF using IMV, AHF using NIV, and AHF using no ventilation. To assess the association of IMV and NIV use with outcome in the HFPEF and HFREF populations, we used a primary outcome of inhospital mortality within 30 days.

2.3 | Statistical analysis

Clinical characteristics and outcomes were compared between groups with the Pearson χ² test for categorical variables and one-way analysis of variance for continuous variables. Annual rates of IMV and NIV use within HFPEF and HFREF were calculated per 1000 AHF patients during the study period. Multivariable regression models adjusting for age and sex were constructed according to mode of ventilation in the HFPEF and HFREF populations to identify changes in mortality over time, adjusting for clustering of patients within hospitals. To determine the predictors of need for IMV and NIV in each heart failure population, we performed survey-weighted logistic regression models with IMV and NIV as dependent variables and adjusted for factors of a priori interest based on our conceptual model. To determine the
association between ventilation strategies and in-hospital mortality in HFPEF and HFREF, we performed univariable and adjusted Cox proportional hazards models censoring at hospital discharge or 30 days of hospital stay, whichever came first. Survival curves were generated with the Kaplan-Meier method and compared using the log-rank test. Analysis was performed using Stata/MP version 13.0 (StataCorp Inc., College Station, Texas). A two-tailed $p$ value less than .05 was considered statistically significant.

3 | RESULTS

3.1 | Use of respiratory support in HFPEF and HFREF

1,331,236 AHF-HFPEF and 1,707,762 AHF-HFREF hospitalizations were included. 5.98% of AHF-HFPEF hospitalizations included NIV and 0.57% included IMV. Among HFREF hospitalizations, 4.1% included NIV and 0.93% included IMV. Rates of IMV and NIV use increased over the study period in both populations (Figure 1).

3.2 | Clinical characteristics and outcomes of respiratory support in HFPEF and HFREF

Baseline characteristics of the study cohort are displayed in Table 1. HFPEF patients were older than HFREF patients, a higher percentage of HFPEF patients were female, and a higher percentage of HFPEF patients were of white race.

Within the HFPEF group, patients treated with any respiratory support were younger, more likely to have chronic lung disease, chronic kidney disease, and to be obese. Within the HFREF group, those treated with invasive ventilation were younger. Those treated with NIV had higher prevalence of chronic lung disease and chronic kidney disease, diabetes, obesity, and smoking status. The NIV-treated AHF patients were more likely to have chronic lung disease, obesity, and smoking status in both HFREF and HFPEF groups.

Crude outcomes are shown in Table 2. Baseline rates of cardiogenic shock and in-hospital arrest were lower in the HFPEF group compared to the HFREF group; however, requirement for respiratory support in both groups was associated with higher rates of cardiogenic shock and in-hospital arrest. Both HFREF and HFPEF patients manifested high mortality if respiratory support was required. In-hospital mortality for HFPEF patients treated with NIV was 4.3% and HFPEF treated with IMV 19.1%. In-hospital mortality for HFREF patients treated with NIV was 4.7% and HFPEF treated with IMV 22.7%. Mortality has not changed over time for IMV or NIV treated patients in either group (Figure 2).

3.3 | Factors associated with respiratory support in HFPEF and HFREF

Factors associated with IMV and NIV use in HFPEF and HFREF are displayed in the supplemental table. For HFPEF patients in adjusted models, increasing age was associated with lower odds of IMV and NIV use, and comorbidities of chronic lung disease, diabetes, obesity, and chronic renal failure associated with higher odds of IMV and NIV use. Cardiogenic shock was associated with substantially higher odds of IMV use (OR 26.82, 95% CI 19.98-36.01) and moderately increased odds of NIV use (OR 2.39 95% CI 1.85-3.08). Among HFPEF patients, in-hospital arrest was associated with very high odds of IMV (OR 139.99, 95% CI 108.9-180.02) while in-hospital arrest was not associated with NIV use.

For HFREF patients, increasing age was associated with lower odds of treatment with IMV but higher odds of treatment with NIV, female sex was associated with higher odds of support with both modalities. For HFREF patients, Hispanic and Asian-Pacific Islander race were associated with higher odds of respiratory support as was chronic lung disease. Obesity was associated with higher odds of NIV but not IMV. Similar to HFPEF, cardiogenic shock was associated with substantially higher odds of IMV use and moderately increased odds of NIV use while in-hospital arrest was associated with very high odds of IMV and not associated with NIV use.
### TABLE 1  Baseline characteristics of patients undergoing AHF hospitalization treated with respiratory support

#### (a) Baseline characteristics of patients with AHF due to HFPEF, NIS 2002-2013

| Characteristics                          | All (n = 1 331 236) | AHF without IMV or NIV (n = 1 244 078) | AHF with NIV (n = 79 571) | AHF with IMV (n = 7586) | P value |
|------------------------------------------|---------------------|----------------------------------------|--------------------------|------------------------|---------|
| Age, mean (95% CI)                       | 75.9 (75.8-76.1)    | 76.1 (76.0-76.3)                       | 73.7 (73.4-74.0)         | 70.6 (69.9-71.2)       | <.001   |
| Female sex, No. (%)                      | 853 975 (64.1)      | 799 153 (64.2)                         | 50 358 (63.3)            | 4464 (58.8)            | .02     |
| Race, No. (%)                            |                     |                                        |                          |                        |         |
| White                                    | 1 000 896 (75.1)    | 939 961 (75.6)                         | 56 408 (70.9)            | 4527 (59.7)            |         |
| Black                                    | 216 556 (16.3)      | 199 588 (16.0)                         | 14 949 (18.8)            | 2020 (26.6)            | <.001   |
| Hispanic                                 | 86 222 (6.5)        | 79 308 (6.4)                           | 6136 (7.7)               | 778 (10.3)             | <.001   |
| Asian/Pacific Islander                   | 21 664 (1.6)        | 19 691 (1.6)                           | 1732 (2.2)               | 242 (3.2)              | <.001   |
| Native American                          | 5898 (0.4)          | 5531 (0.4)                             | 347 (0.4)                | 20.1 (0.3)             | .8      |
| Comorbidities, No. (%)                   |                     |                                        |                          |                        | .6      |
| Chronic pulmonary disease                | 552 952 (41.5)      | 504 796 (40.6)                         | 44 785 (56.3)            | 3371 (44.4)            | <.001   |
| Chronic renal failure                    | 578 850 (43.5)      | 538 419 (43.3)                         | 36 927 (46.4)            | 3505 (46.2)            | <.001   |
| Coronary artery disease                  | 584 865 (43.9)      | 547 626 (44.0)                         | 34 020 (42.8)            | 3219 (42.4)            | <.001   |
| Diabetes mellitus                        | 619 221 (46.5)      | 572 669 (46.0)                         | 42 575 (53.5)            | 3947 (50.2)            | <.001   |
| Dyslipidemia                             | 601 653 (45.2)      | 562 381 (45.2)                         | 36 304 (45.6)            | 2968 (39.1)            | .4      |
| Hypertension                             | 1 054 714 (79.2)    | 986 558 (79.3)                         | 62 613 (83.7)            | 5543 (73.0)            | <.001   |
| Obesity                                  | 297 741 (22.4)      | 266 893 (21.5)                         | 28 491 (35.8)            | 2358 (31)              | <.001   |
| Previous myocardial infarction           | 129 024 (9.7)       | 119 915 (9.6)                          | 8384 (10.5)              | 725 (9.6)              | <.001   |
| Previous CABG                            | 169 429 (12.7)      | 161 148 (13.0)                         | 7592 (9.5)               | 690 (9.1)              | <.001   |
| Smoking                                  | 310 247 (23.3)      | 285 442 (22.9)                         | 23 168 (29.1)            | 1636 (21.6)            | <.001   |
| Charlson comorbidity index, No. (%)      |                     |                                        |                          |                        | <.001   |
| 1                                        | 200 267 (15.0)      | 191 484 (15.4)                         | 7580 (9.5)               | 1203 (15.9)            | .5      |
| 2                                        | 292 947 (22.0)      | 273 519 (22.0)                         | 17 739 (22.3)            | 1688 (22.3)            |         |
| ≥3                                       | 838 022 (63.0)      | 779 075 (62.6)                         | 54 252 (68.2)            | 4695 (61.9)            |         |

#### (b) Baseline characteristics of patients with AHF due to HFREF, NIS 2002-2013

| Characteristics                          | All (n = 1 707 762) | AHF without IMV or NIV (n = 1 621 523) | AHF with NIV (n = 70 287) | AHF with IMV (n = 15 952) | P value |
|------------------------------------------|---------------------|----------------------------------------|--------------------------|--------------------------|---------|
| Age, mean (SD)                           | 71.0 (70.8-71.2)    | 71.0 (70.8-71.2)                       | 71.5 (71.3-71.8)         | 67.1 (66.6-67.6)        | <.001   |
| Female sex, No. (%)                      | 677 557 (39.7)      | 640 827 (39.5)                         | 30 041 (42.7)            | 6689 (41.9)             | <.001   |
| Race, No. (%)                            |                     |                                        |                          |                        | .06     |
| White                                    | 1 159 065 (67.9)    | 1 101 913 (68.0)                       | 47 111 (67.0)            | 10 041 (62.9)           |         |
| Black                                    | 387 837 (22.7)      | 368 561 (22.7)                         | 15 399 (21.9)            | 3877 (24.3)             | .5      |
| Hispanic                                 | 124 417 (7.3)       | 116 910 (7.2)                          | 5956 (8.5)               | 1551 (9.7)              | <.001   |
| Asian/Pacific Islander                   | 26 761 (1.6)        | 24 832 (1.5)                           | 1537 (2.2)               | 392 (2.5)               | <.001   |
| Native American                          | 9682 (0.6)          | 9307 (0.6)                             | 284 (0.4)                | 91 (0.6)               | .05     |
| Comorbidities, No. (%)                   |                     |                                        |                          |                        | .8      |
| Chronic pulmonary disease                | 592 495 (34.7)      | 551 959 (34.0)                         | 34 861 (49.6)            | 5675 (35.6)             | <.001   |
| Chronic renal failure                    | 694 192 (40.6)      | 657 323 (40.5)                         | 30 837 (43.9)            | 6032 (37.8)             | <.001   |
| Coronary artery disease                  | 1 024 960 (60.0)    | 972 493 (60.0)                         | 43 321 (61.6)            | 9147 (57.3)             | <.001   |
| Diabetes mellitus                        | 747 512 (43.8)      | 706 355 (43.6)                         | 34 504 (49.1)            | 6653 (41.7)             | <.001   |
| Dyslipidemia                             | 769 923 (45.1)      | 730 801 (45.1)                         | 33 173 (47.2)            | 5949 (37.3)             | <.001   |
| Hypertension                             | 1 248 282 (73.1)    | 1 184 318 (73.0)                       | 53 505 (76.1)            | 10 459 (65.6)           | <.001   |

(Continues)
Overall, chronic lung and kidney disease, diabetes, and obesity were associated with NIV use in both HFPEF and HFREF populations while cardiogenic shock and in hospital arrest as well as chronic lung disease were associated with IMV in both populations.

### 3.4 Mortality associated with respiratory support in HFPEF and HFREF

In HFPEF patients, NIV use was associated with 2.03-fold increased risk for in hospital death compared to no respiratory support (HR 2.03, 95% CI 1.87-2.21) and this increased risk persisted (HR 2.24, 95% CI 2.05-2.44) in a model adjusted for age, sex, race, comorbidity index, cardiogenic shock and in hospital arrest, chronic lung and kidney disease, diabetes and hospital size. In HFPEF patients, IMV use was associated with 4.06-fold increased risk for in hospital death compared to no respiratory support (HR 4.06, 95% CI 3.53-4.68) and this increased risk persisted (HR 2.85, 95% CI 2.30-3.53) in a model adjusted for age, sex, race, comorbidity index, cardiogenic shock and in hospital arrest, chronic lung and kidney disease, diabetes and hospital size. Kaplan-Meier survival curves for NIV and IMV use compared to neither modality in both HFREF and HFPEF patients are shown in Figure 3.

This increased risk of in-hospital mortality was similar among HFREF patients. In HFREF patients, NIV use was associated with 2.34-fold increased risk for in hospital death compared to no respiratory support (HR 2.34, 95% CI 2.15-2.55) and this increased risk persisted.

### TABLE 1 (Continued)

(b) Baseline characteristics of patients with AHF due to HFREF, NIS 2002-2013

| Characteristics                  | All (n = 1 707 762) | AHF without IMV or NIV (n = 1 621 523) | AHF with NIV (n = 70 287) | AHF with IMV (n = 15 952) | P value | NIV vs neither | IMV vs neither |
|----------------------------------|---------------------|----------------------------------------|--------------------------|--------------------------|---------|----------------|----------------|
| Obesity                          | 246 743 (14.4)      | 228 164 (14.1)                         | 16 282 (23.2)            | 2296 (14.4)              | <.001   | .6             | .2             |
| Previous myocardial infarction   | 292 838 (17.1)      | 276 014 (17.0)                         | 14 261 (20.3)            | 2563 (16.1)              | <.001   | .2             | <.001          |
| Previous CABG                    | 326 866 (19.1)      | 313 491 (19.3)                         | 11 325 (16.1)            | 2049 (12.8)              | <.001   | .2             | <.001          |
| Smoking                          | 482 745 (28.3)      | 454 608 (28.0)                         | 23 497 (33.4)            | 4640 (29.1)              | <.001   | .2             | <.001          |
| Charlson comorbidity index, No. (%)<.001 | 721 801 (17.0)      | 279 026 (17.2)                         | 7674 (10.9)              | 3101 (19.4)              | <.001   | .2             | <.001          |

Abbreviations: AHF, acute heart failure; HFPEF, heart failure with preserved ejection fraction; HFREF, heart failure with reduced ejection fraction; IMV, invasive mechanical ventilation; NIV, noninvasive ventilation.

### TABLE 2 Outcomes of AHF patients with preserved and reduced EF treated with respiratory support

(a) Select outcomes of patients with AHF due to HFPEF, NIS 2002-2013

| Characteristics                  | All (n = 1 331 236) | AHF without IMV or NIV (n = 1 244 078) | AHF with NIV (n = 79 571) | AHF with IMV (n = 7586) | P value | NIV vs neither | IMV vs neither |
|----------------------------------|---------------------|----------------------------------------|--------------------------|--------------------------|---------|----------------|----------------|
| Cardiogenic shock, No. (%)       | 3119 (0.2)          | 2228 (0.2)                             | 369 (0.5)                | 521 (6.9)                | <.001   | <.001          | <.001          |
| In-hospital arrest, No. (%)      | 1855 (0.1)          | 889 (0.07)                             | 131 (0.2)                | 834 (11)                 | <.001   | <.001          | <.001          |
| In-hospital mortality, No. (%)   | 24 786 (1.9)        | 19 885 (1.6)                           | 3455 (4.3)               | 1446 (19.1)              | <.001   | <.001          | <.001          |
| Hospital length of stay, mean (SD)5.1 (5.0-5.1) | 5.0 (4.9-5.0)       | 6.1 (6.0-6.2)                          | 10.0 (9.5-10.6)          | <.001 | <.001          | <.001          |

(b) Select outcomes of patients with AHF due to HFREF, NIS 2002-2013

| Characteristics                  | All (n = 1 707 762) | AHF without IMV or NIV (n = 1 621 523) | AHF with NIV (n = 70 287) | AHF with IMV (n = 15 952) | P value | NIV vs neither | IMV vs neither |
|----------------------------------|---------------------|----------------------------------------|--------------------------|--------------------------|---------|----------------|----------------|
| Cardiogenic shock, No. (%)       | 24 112 (1.4)        | 19 056 (1.2)                           | 1483 (2.1)               | 3572 (22.4)              | <.001   | <.001          | <.001          |
| In-hospital arrest, No. (%)      | 4674 (0.3)          | 2219 (0.1)                             | 180 (0.3)                | 2275 (14)                | <.001   | <.001          | <.001          |
| In-hospital mortality, No. (%)   | 35 070 (2.1)        | 28 123 (1.7)                           | 3322 (4.7)               | 3625 (22.7)              | <.001   | <.001          | <.001          |
| Hospital length of stay, mean (SD)5.1 (5.1-5.2) | 5.1 (5.0-5.1)       | 5.7 (5.6-5.8)                          | 9.0 (8.7-9.3)            | <.001 | <.001          | <.001          |

Abbreviations: AHF, acute heart failure; HFPEF, heart failure with preserved ejection fraction; HFREF, heart failure with reduced ejection fraction; IMV, invasive mechanical ventilation; NIV, noninvasive ventilation.
In our analysis of respiratory support in AHF stratified by HFPEF vs HFREF, we report several major findings. First, respiratory support with IMV and NIV is increasing in use in AHF hospitalizations with both HFPEF and HFREF, and HFPEF patients have higher rates of NIV use and lower rates of invasive ventilation use compared to HFREF. Patients with AHF who require support are at high risk for inhospital mortality irrespective of underlying EF and mortality is not declining over time. Finally, chronic lung disease and other patient comorbidities are associated with need for respiratory support in both populations as are cardiac comorbidities of cardiac arrest and cardiogenic shock. Our findings provide insights into important critical care complications in the HFPEF population which is important yet understudied to date.

4 | DISCUSSION

FIGURE 2  Age and sex adjusted mortality rates over time for heart failure with preserved ejection fraction (HFPEF) and heart failure with reduced ejection fraction (HFREF) ejection fraction patients treated with invasive and noninvasive ventilation. No statistically significant changes over time are observed (P values for trend: HFREF-IMV P = 0.18, HFREF-NIV P = 0.48, HFPEF-IMV P = 0.18, HFPEF-NIV P = 0.47)

Our data support that respiratory support in AHF admissions is common irrespective of LVEF, and HFPEF patients are more likely to require NIV while HFREF patients slightly more likely to require IMV. Given the fact that over one million patients are hospitalized with heart failure each year nationally and as many as half of these heart failure patients have preserved LVEF, our data confirm that many thousands of HFREF and HFPEF patients alike are exposed to positive pressure ventilation via NIV and IMV. Thus, both heart failure clinicians and acute care clinicians need to be aware of the rising use of respiratory support in their HFPEF and HFREF patients. Large registries of heart failure hospitalizations do not stratify respiratory support by LVEF; our data confirm that many thousands of HFREF and HFPEF patients alike are exposed to positive pressure ventilation via NIV and IMV. Thus, both heart failure clinicians and acute care clinicians need to be aware of the rising use of respiratory support in their HFPEF and HFREF patients.

FIGURE 3  Kaplan-Meier survival curves for acute heart failure patients with reduced and preserved ejection fraction treated with noninvasive ventilation, invasive ventilation, or neither modality

4.1 | Epidemiology of respiratory support in HFPEF vs HFREF

Our data support that respiratory support in AHF admissions is common irrespective of LVEF, and HFPEF patients are more likely to require NIV while HFREF patients slightly more likely to require IMV. Given the fact that over one million patients are hospitalized with heart failure each year nationally and as many as half of these heart failure patients have preserved LVEF, our data confirm that many thousands of HFREF and HFPEF patients alike are exposed to positive pressure ventilation via NIV and IMV. Thus, both heart failure clinicians and acute care clinicians need to be aware of the rising use of respiratory support in their HFPEF and HFREF patients. Large registries of heart failure hospitalizations do not stratify respiratory support by LVEF; therefore our results contribute epidemiologic data as to the scope of respiratory failure in HFPEF.

The greater requirement for NIV in HFPEF may reflect the burden of obesity and pulmonary vascular disease, skeletal muscle...
dysfunction, pulmonary diseases including COPD. For example, up to one-third of HFPEF patients had COPD and half were obese in one study. Implications of this increasing burden of respiratory support in both HFPEF and HFREF include that (a) hospitals, heart failure programs, and clinicians providing care for these patients should maintain expertise in management of both forms of positive pressure ventilation, (b) thoughtful consideration of the appropriate ICU care and multidisciplinary care for patients with combined heart failure and respiratory failure will continue to be important, possibly by involving a cardiac intensivist or critical care specialist, and (c) the optimal means of respiratory support in cardiac patients is an unmet need in critical care research and our findings provide generalizable incidence rates for NIV and IMV use in both HFPEF and HFREF which will facilitate planning of intervention trials and sample size calculations.

4.2 Outcomes of respiratory support in HFPEF and HFREF

Requirement for NIV and IMV in AHF connotes poor prognosis, with high risk of in-hospital death. While respiratory support per se does not cause adverse outcome, a conceptual model would be that heart failure patients who require respiratory support are at high risk for poor outcome due to the severity of underlying condition, due to comorbidities, and due to any risk of harm inherent in critical care support. Herein, we report that the adverse prognosis of heart failure complicated by respiratory failure is similar in both HFPEF and HFREF. While the overall death rate is slightly lower for HFPEF compared to HFREF, our data suggest that all heart failure patients irrespective of LVEF are at high risk for in hospital mortality if the hospitalization includes respiratory support. Therefore, if AHF patients require respiratory support, clinicians should not be reassured by a normal LVEF. It has been described that the profiles of congestion secondary to AHF are similar between HFPEF and HFREF patients supporting a common mechanism of respiratory failure leading to high patient risk. On the other hand, HFPEF patients have high rates of death due to noncardiovascular causes which supports a distinct but equivalently high-risk mechanism of death. The NIS does not allow for ascertainment of cause-specific mortality in our study. Although overall in-hospital mortality in AHF hospitalization is declining, our results suggest that mortality has not declined among both HFPEF and HFREF patients who require respiratory support. Thus, these populations represent important groups to target future research, specialty expertise, and programmatic resources.

4.3 Factors associated with respiratory support in HFPEF and HFREF

Pulmonary disease was mildly associated with IMV use in both populations, however by far the strongest predictor of need for IMV was cardiac complications of cardiac arrest and cardiogenic shock, irrespective of LVEF. We also identify shared risk factors for NIV use across HFPEF and HFREF, including chronic lung and kidney disease, diabetes, and obesity. These shared risk factors for respiratory failure may point to a common mechanism of respiratory failure among AHF patients, irrespective of LVEF. Novel treatments to improve outcome in patients with HFPEF and respiratory failure are needed, and targeting comorbidities such as lung disease may be a promising treatment strategy.

4.4 Limitations

The main limitations of our study are related to the NIS as an administrative database. Thus, our study is unable to assess patient-level factors such as specific LVEF or other echo parameters, labs assessed and drugs delivered during the hospital stay and disease-specific cause of death. The NIS also does not provide an opportunity to evaluate postdischarge events or the specific mode of in-hospital death. Classification of heart failure relies on accurate coding; however, the ICD-9 diagnosis and procedure codes we use are consistent with prior literature and are well validated. Finally, as an observational risk factor analysis, our study can suggest associations but not demonstrate causality and as such, future studies in these patient populations are needed. Specifically, while our findings describe the association of respiratory support with outcome in HFPEF and HFREF, that is not to imply that patients who require respiratory support should not receive it. Rather, our findings should serve as an impetus to study the optimal mode of respiratory support and also provide general prognostic information for clinicians.

5 CONCLUSION

We demonstrate that the use of respiratory support with IMV and NIV is increasing in AHF hospitalizations with both HFPEF and HFREF. Requirement for respiratory support is associated with substantially higher risk for mortality irrespective of underlying HFPEF or HFREF, and mortality has not declined over time in either population. Studies assessing the mechanism of respiratory failure in HFPEF and HFREF and novel treatment strategies are needed to address these enlarging and high-risk patient population.

Conflict of interests

Dr Thomas S. Metkus reports personal fees from BestDoctors/TelaDoc Inc., personal fees from Oakstone/EBIX, personal fees from McGraw-Hill publishing, outside the submitted work; Dr David A. Morrow reports grants and personal fees from Abbott Laboratories, grants from Amgen, grants and personal fees from AstraZeneca, grants from Daiichi Sankyo, grants from Eisai, grants and personal fees from GlaxoSmithKline, grants and personal fees from Merck, grants from Novartis, grants and personal fees from Roche Diagnostics,
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**SUPPORTING INFORMATION**
Additional supporting information may be found online in the Supporting Information section at the end of this article.

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