Application of machine learning model to predict lacunar cerebral infarction in elderly patients with femoral neck fracture before surgery

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Abstract

Background: Femoral neck fracture and lacunar cerebral infarction (LCI) are the most common diseases in the elderly. When LCI patients undergo a series of traumas such as surgery, their postoperative recovery results are often poor. Moreover, few studies have explored the relationship between LCI and femoral neck fracture in the elderly. Therefore, this study will develop a ML (machine learning)-based model to predict LCI before surgery in elderly patients with a femoral neck fracture.

Methods: Professional medical staff retrospectively collected the data of 161 patients with unilateral femoral neck fracture who underwent surgery in the Second Affiliated Hospital of Wenzhou Medical University database from January 1, 2015, to January 1, 2020. Patients were divided into two groups based on LCI (diagnosis based on cranial CT image): the LCI group and the non-LCI group. Preoperative clinical characteristics and preoperative laboratory data were collected for all patients. Features were selected by univariate and multivariate logistic regression analysis, with age, white blood cell (WBC), prealbumin, aspartate aminotransferase (AST), total protein, globulin, serum creatinine (Scr), blood urea nitrogen (Bun)/Scr, lactate dehydrogenase (LDH), serum sodium and fibrinogen as the features of the ML model. Five machine learning algorithms, Logistic regression (LR), Gradient Boosting Machine (GBM), Extreme Gradient Boosting (XGBoost), Random Forest (RF), and Decision tree (DT), were used in combination with preoperative clinical characteristics and laboratory data to establish a predictive model of LCI in patients with a femoral neck fracture. Furthermore, indices like the area under the receiver operating characteristic (AUROC), sensitivity, specificity, and accuracy were calculated to test the models’ performance.

Results: The AUROC of 5 ML models ranged from 0.76 to 0.95. It turned out that the RF model demonstrated the highest performance in predicting LCI for femoral neck fracture patients before surgery, whose AUROC was 0.95, sensitivity 1.00, specificity 0.81, and accuracy 0.90 in validation sets. Furthermore, the top 4 high-ranking variables in the RF model were prealbumin, fibrinogen, globulin and Scr, in descending order of importance.

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Introduction
With the rapid development of medical technology in today’s world, the life expectancy of modern people continues to improve, and age-related diseases have become one of the main burdens of global medical expenditure. Femoral neck fracture and lacunar cerebral infarction (LCI) are the most common diseases in the elderly [1, 2]. Considering that the use of cannulated screw for reduction of femoral neck fracture requires a long time of bed immobilization and a high possibility of femoral head necrosis, clinicians generally recommend a hip replacement for elderly patients with femoral neck fracture [1, 3]. Hip replacement is a complex and challenging operation that requires strict perioperative management of patients, especially patients with cardiovascular and cerebrovascular conditions, to prevent serious complications such as pulmonary embolism [4].

Compared with non-LCI patients, LCI patients often experience additional problems after trauma, such as surgery [5, 6]. When patients with hip fractures are complicated by cerebral infarction, recovery of limb function is poor and postoperative mortality is higher [7, 8]. In addition, when fracture patients are complicated with cerebral infarction, multidisciplinary management often accelerates postoperative recovery and improves their quality of life [9, 10]. However, for elderly patients with a femoral neck fracture, head CT is not a routine preoperative examination to detect LCI in advance due to a series of economic factors. Therefore, there is an urgent need for a predictive model to predict whether elderly patients with femoral neck fractures are associated with LCI before surgery. Therefore, timely multidisciplinary management of patients with LCI can be carried out to promote the recovery of patients.

As a new algorithm model, the machine learning (ML) algorithm has been widely used in various fields, especially in the medical field [11]. The traditional ML model, Logistic regression (LR), Gradient boosting machine (GBM), Extreme gradient boosting (XGBoost), Random forest (RF) and Decision tree (DT) showed good predictive efficacy in different diseases [12, 13]. These prediction models provide better help for clinicians in making clinical decisions.

Therefore, this study will aim to develop a ML-based model to predict LCI before surgery in elderly patients with a femoral neck fracture. Clinicians will use ML model results to manage better perioperative management of elderly patients with a femoral neck fracture.

Methods
Study design
Variables including demographic characteristics, comorbidities, and laboratory data were collected from elderly patients with femoral neck fractures who underwent head CT. According to CT results, patients were divided into the LCI group and the non-LCI group. Univariate and multivariate logistic regression analyses were performed to select the preoperative variables of the two groups. The selected variables were placed into five machine learning models to predict LCI in patients with a femoral neck fracture. The detailed process is shown in Fig. 1.

Setting
With the approval of the institutional review Committee, professional medical staff retrospectively collected and analyzed the data of patients with unilateral femoral neck fracture who underwent surgery in the database of the Second Affiliated Hospital of Wenzhou Medical University from January 1, 2015, to January 1, 2020.

Participants
The inclusion criteria were: 1) Unilateral femoral neck fracture, 2) No other fractures, 3) Age > 60 years, 4) LCI was diagnosed based on cranial CT images. The exclusion criteria were: 1) No CT scan of the head, 2) Previous history of brain disease, 3) With other fractures, 4) Pathological fracture, 5) Missing clinical characteristics or laboratory data.

Variables
The study included 161 elderly patients (age > 60 years) with unilateral femoral neck fracture. Patients were divided into two groups based on lacunar cerebral infarct (diagnosis based on cranial CT image): the LCI (lacunar cerebral infarct) group and the non-LCI group. Preoperative clinical characteristics and preoperative laboratory data were collected for all patients. These preoperative variables include age, BMI (body mass index), injury mechanism, gender, types of admission, current drinking,
current smoking, injured limb, coronary heart disease, hypertension, diabetes, fatty liver, kidney stone, gallbladder stone, pulmonary nodule, pneumonia, thrombus of lower limb, VTE (venous thrombus embolism), Barthel index [14], education level, prealbumin, total protein, albumin, globulin, A/G (albumin/globulin), AST (aspartate aminotransferase), ALT (alanine aminotransferase), ASL/ALT, ALP (alkaline phosphatase), GGT (gamma-glutamyltransferase), total bilirubin, direct bilirubin, indirect bilirubin, FBG (fasting blood glucose) cystatin-C, BUN (blood urea nitrogen), Scr (serum creatinine), BUN/Scr, CPK (creatinine phosphate kinase), homocysteine, LDH (lactate dehydrogenase), blood uric acid, serum sodium, serum kalium, serum calcium, serum chlorine, WBC (white blood cell), neutrophils, lymphocyte, monocyte, eosinophilic granulocyte, basophilic granulocyte, RBC (red blood cell), hemoglobin, hematocrit, MCV (mean corpuscular volume), MCH (mean corpuscular hemoglobin), MCHC (mean corpuscular hemoglobin concentration), platelet count, plateletcrit, PDW (platelet distribution width), MPV (mean platelet volume), PT (prothrombin time), INR (international normalized ratio), APTT (activated partial thromboplastin time), TT (thrombin time), fibrinogen and d-dimer.

Statistics
Data distribution was tested using the Shapiro-Wilk test. As appropriate, patient characteristics were described using median (interquartile range [IQR]) and mean±standard deviation, frequency, and percentage. A nonparametric test (Mann-Whitney U test or Kruskal-Wallis test) was applied for data with non-normal distribution or heterogeneity of variances. Categorical variables were expressed as percentages and analyzed using the Pearson Chi-squared test. Univariate logistic regression analysis determined the independent risk factors for lacunar cerebral infarct. For the independent risk factors of LCI, the multivariable logistic regression included risk factors significantly associated with LCI in the univariate analysis (P<0.1).

This study incorporated risk factors selected by univariate and multivariable logistics regression analysis into the machine learning model-like features. To make the ML model more accurate, we conducted zero-mean normalization of the data. Moreover, we randomly split our dataset into two groups: the training sets (70%) for ML model development and the validation sets (30%) for performance evaluation. Besides, we developed five types of ML algorithms to model our data: Logistic regression (LR), Gradient boosting machine (GBM), Extreme gradient boosting (XGBoost), Random forest (RF) and Decision tree (DT). In order to make the ML model more reliable and stable, we carried out a 10-fold cross-validation on the data set during training. Then the ML algorithms were further trained to predict the risk of LCI before surgery, and we evaluated the predictive ability of each ML classifier in validation sets where the area under the receiver operating characteristic (AUROC) value and the corresponding sensitivity, specificity, as well as overall accuracy of ML algorithms were all calculated. All statistics were calculated using SPSS software (version 26.0; SPSS Inc., Chicago, IL, USA) and Python 3.7.6 (Python Software Foundation, http://python.org).

Results
Baseline characteristics of the study population
After excluding a series of variables affecting the results of this study, such as the absence of head CT and the history of brain disease, 161 patients were included in this study, including 100 patients in the LCI group and 61 patients in the non-LCI group. There were statistically significant differences between the two groups in age, hypertension, Barthel index, VTE, prealbumin, total protein, albumin, globulin, BUN/Scr, LDH, cholinesterase, serum sodium, serum chlorine, WBC, Neutrophils, PT, TT, fibrinogen, and d-dimer (all P values <0.05). Moreover, there were no statistically significant differences
| Variables                              | Non-LCI (61)   | LCI (100)   | \(P\) value |
|---------------------------------------|----------------|-------------|-------------|
| Age (years)                           | 81(72-85)      | 84(78-88)   | 0.009       |
| BMI                                   | 21.48(19.78-24.16) | 22.15(20.72-23.88) | 0.273       |
| Gender                                |                |             | 0.974       |
| Female, n(%)                          | 16(26.2)       | 26(26.0)    |             |
| Male, n(%)                            | 45(73.8)       | 74(74.0)    |             |
| Injury mechanism                      |                |             | 0.555       |
| Traffic accident injuries, n(%)       | 6(9.8)         | 6(6.0)      |             |
| Fall injury, n(%)                     | 55(90.2)       | 94(94.0)    |             |
| Types of admission                    |                |             | 0.216       |
| Outpatient, n(%)                      | 39(63.9)       | 54(54.0)    |             |
| Emergency, n(%)                       | 22(36.1)       | 46(46.0)    |             |
| Current drinking, n(%)                | 2(3.3)         | 12(12.0)    | 0.057       |
| Current smoking, n(%)                 | 2(3.3)         | 6(6.0)      | 0.691       |
| Injured limb                          |                |             | 0.120       |
| Left, n(%)                            | 40(65.6)       | 52(53.1)    |             |
| Right, n(%)                           | 21(34.4)       | 46(46.9)    |             |
| Coronary heart disease, n(%)          | 8(13.1)        | 16(16.0)    | 0.618       |
| Hypertension, n(%)                    | 28(45.9)       | 62(62.0)    | 0.046       |
| Diabetes, n(%)                        | 16(26.2)       | 18(18.0)    | 0.215       |
| Pulmonary nodule, n(%)                | 20(32.8)       | 40(40.0)    | 0.358       |
| Fatty liver, n(%)                     | 18(29.5)       | 24(24.0)    | 0.440       |
| Kidney stone, n(%)                    | 4(6.6)         | 16(16.0)    | 0.078       |
| Gallbladder stone, n(%)               | 10(16.4)       | 18(18.0)    | 0.794       |
| Pneumonia, n(%)                       | 24(39.3)       | 52(52.0)    | 0.119       |
| Thrombus of lower limb, n(%)          | 8(13.1)        | 14(14.0)    | 0.874       |
| Barthel index                         | 55(45-60)      | 40(35-50)   | <0.001      |
| VTE                                   |                |             | 0.035       |
| Low-risk, n(%)                        | 2(3.3)         | 0(0)        |             |
| Medium risk, n(%)                     | 0(0)           | 14(14.0)    |             |
| High risk, n(%)                       | 59(96.7)       | 86(86.0)    |             |
| Education level                       |                |             | 0.499       |
| Illiteracy, n(%)                      | 41(67.2)       | 62(62.0)    |             |
| Primary, n(%)                         | 14(23.0)       | 26(26.0)    |             |
| Junior middle, n(%)                   | 4(6.6)         | 8(8.0)      |             |
| High school or above, n(%)            | 2(3.3)         | 4(4.0)      |             |
| Prealbumin (mg/L)                     | 205(181-272)   | 164(134-206) | <0.001      |
| Total protein (g/L)                   | 68.6(65.0-71.8)| 64.8(59.8-68.5)| <0.001      |
| Albumin (g/L)                         | 39.11±3.34     | 37.54±4.19  | 0.014       |
| Globulin (g/L)                        | 28.7(25.1-32.5)| 26.5(23.9-28.9)| 0.002       |
| A/G                                   | 1.33(1.22-1.61)| 1.39(1.28-1.53)| 0.361       |
| AST (U/L)                             | 24(19-26)      | 24(18-29)   | 0.324       |
| ALT (U/L)                             | 17(13-21)      | 17(11-22)   | 0.792       |
| ASL/ALT                               | 1.35±0.40      | 1.44±0.44   | 0.207       |
| ALP (U/L)                             | 82(63-101)     | 80(69-88)   | 0.520       |
| GGT (U/L)                             | 27(18-45)      | 29(13-37)   | 0.692       |
| Total bilirubin (umol/L)              | 16.3(11.7-25.0)| 16.0(10.8-21.1)| 0.599       |
| Direct Bilirubin (umol/L)             | 5.0(3.2-6.6)   | 4.8(3.1-6.5) | 0.903       |
| Indirect Bilirubin (umol/L)           | 12.7(7.4-16.2)| 12.0(7.4-14.1)| 0.297       |
| FBG (mmol/L)                          | 6.78(5.62-7.90)| 6.45(5.61-7.34)| 0.217       |
between the two groups in BMI, education level, gender, current drinking, current smoking, injury mechanism, injured limb, thrombus of the lower limb, types of admission, coronary heart disease, diabetes, pulmonary nodule, fatty liver, pneumonia, kidney stones, gallbladder stone, A/G, AST, ALT, AST/ALT, ALP, GGT, total bilirubin, direct bilirubin, indirect bilirubin, FBG, BUN, Scr, cystatin-C, CPK, homocysteine, blood uric acid, serum kalium, serum calcium, lymphocyte, monocyte, eosinophilic granulocyte, basophilic granulocyte, RBC, hemoglobin, hematocrit, MCV, MCH, MCHC, platelet count, plateletcrit, PDW, MPV, INR, and APTT (Details are shown in Table 1).

### Logistic regression analysis for independent risk factors of LCI in femoral neck fracture patients

The univariate logistics regressions analysis was applied to the baseline variables, laboratory tests, and

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**Table 1 (continued)**

| Variables      | Non-LCI (61) | LCI (100) | P value |
|----------------|--------------|-----------|---------|
| BUN (mmol/L)   | 7.2(5.7-8.9) | 6.7(5.3-9.3) | 0.505   |
| Scr (umol/L)   | 62.9(48.3-77.7) | 64.9(56.6-83.0) | 0.078   |
| BUN/Scr        | 0.11(0.09-0.14) | 0.10(0.08-0.12) | 0.008   |
| Cystatin-C (mg/L) | 1.25(1.09-1.38) | 1.26(1.06-1.44) | 0.616   |
| CPK (U/L)      | 124(85-221) | 138(90-220) | 0.845   |
| Homocysteine (umol/L) | 14.2(9.1-15.7) | 15.4(10.2-16.5) | 0.174   |
| LDH (U/L)      | 251(225-291) | 247(221-265) | 0.041   |
| Cholinesterase (U/L) | 7592(6946-9107) | 7592(5953-7642) | 0.042   |
| Blood uric acid (umol/L) | 329(304-376) | 321(254-402) | 0.541   |
| Serum sodium (mmol/L) | 138.2(136.6-139.9) | 139.3(137.8-141.4) | 0.004   |
| Serum kalium (mmol/L) | 3.87 ± 0.40 | 3.81 ± 0.46 | 0.387   |
| Serum calcium (mmol/L) | 2.20(2.14-2.27) | 2.16(2.08-2.23) | 0.068   |
| Serum chlorine (mmol/L) | 103.04 ± 3.05 | 104.49 ± 3.20 | 0.005   |
| WBC (10^9/L)    | 9.16 ± 2.95 | 8.16 ± 2.64 | 0.028   |
| Neutrophils (10^9/L) | 7.46 ± 2.67 | 6.59 ± 2.57 | 0.042   |
| Lymphocyte (10^9/L) | 1.13(0.77-1.45) | 0.97(0.67-1.41) | 0.126   |
| Monocyte (10^9/L) | 0.41(0.34-0.49) | 0.44(0.31-0.55) | 0.284   |
| Eosinophilic granulocyte (10^9/L) | 0.07(0.02-0.15) | 0.07(0.04-0.14) | 0.907   |
| Basophilic granulocyte (10^9/L) | 0.010(0-0.013) | 0.010(0-0.016) | 0.952   |
| RBC (10^12/L)   | 7.25(7.24-7.26) | 7.26(7.25-7.27) | 0.712   |
| Hemoglobin (g/L) | 126(116-132) | 123(103-131) | 0.328   |
| Hematocrit      | 0.37(0.34-0.40) | 0.37(0.34-0.40) | 0.357   |
| MCV (fl)        | 91.088±7.94  | 91.499±7.94  | 0.589   |
| MCH (pg)        | 30.52(29.7-32.0) | 30.9(29.7-32.1) | 0.590   |
| MCHC (g/L)      | 335.25±9.03  | 335.90±9.17  | 0.712   |
| Platelet count (10^9/L) | 196.21±53.83 | 197.38±57.06 | 0.898   |
| Plateletcrit    | 0.18(0.16-0.23) | 0.19(0.16-0.22) | 0.564   |
| PDW (n%)       | 15.9(12.5-16.4) | 15.8(13.1-16.2) | 0.686   |
| MPV (fl)       | 9.9(9.1-10.7) | 9.8(9.3-10.5) | 0.874   |
| PT (seconds)   | 13.8(12.9-14.8) | 13.5(13.1-14.1) | 0.020   |
| INR            | 1.12(0.98-1.18) | 1.06(1.01-1.12) | 0.056   |
| APTT (seconds) | 39.5(33.8-46.4) | 39.4(35.8-42.6) | 0.910   |
| TT (seconds)   | 16.0(15.3-16.7) | 15.5(14.7-16.7) | 0.017   |
| Fibrinogen (g/L) | 3.79(3.24-4.18) | 4.66(3.73-5.69) | <0.001  |
| D-Dimer (ug/ml) | 6.99(2.61-13.61) | 3.57(2.31-6.89) | 0.001   |

**Abbreviations:** BMI: Body mass index, VTE: Venous thrombus embolism, WBC: White blood cell, RBC: Red blood cell, MPV: Mean platelet volume, AST: Aspartate aminotransferase, ALT: Alanine aminotransferase, A/G: Albumin/globulin, CPK: Creatine phosphokinase, BUN: Blood urea nitrogen, Scr: Serum creatinine, MCHC: Mean corpuscular hemoglobin concentration, ALP: Alkaline phosphatase, GGT: Gamma-glutamyltransferase, FBG: Fasting blood glucose, LDH: Lactate dehydrogenase, MCV: Mean corpuscular volume, PDW: Platelet distribution width, MCH: Mean corpuscular hemoglobin, PT: Prothrombin time, INR: International normalized ratio, APTT: Activated partial thromboplastin time, TT: Thrombin time
comorbidities. Age, BMI, injury mechanism, gender, types of admission, current drinking, current smoking, injured limb, hypertension, diabetes, fatty liver, kidney stone, gallbladder stone, coronary heart disease, pulmonary nodule, pneumonia, thrombosis of the lower limb, Barthel index, VTE, education level, prealbumin, total protein, albumin, globulin, A/G, AST, ALT, ASL/ALT, ALP, GGT, total bilirubin, direct bilirubin, indirect bilirubin, FBG, BUN, Scr, BUN/Scr, cystatin-C, CPK, homocysteine, LDH, cholinesterase, blood uric acid, serum sodium, serum kalium, serum calcium, serum chlorine, WBC, neutrophils, PT, INR, TT, fibrinogen, and d-dimer were included in multiple logistic regression analysis (Table 2). The results showed that age, WBC, prealbumin, AST, Total protein, globulin, Scr, BUN/Scr, LDH, serum sodium and fibrinogen were independent predictors of LCI in femoral neck fracture patients (Table 3).

### Performance of machine learning algorithms

Comparisons of the prediction performance among the 5 ML models in validation sets are detailed in Table 4 and Fig. 2. It turned out that the RF model demonstrated the highest performance in predicting LCI for femoral neck fracture patients before surgery, whose AUROC was 0.95, sensitivity 1.00, specificity 0.81, and accuracy 0.90 in validation sets.

### Relative importance of variables in machine learning algorithms

The relative importance of variables in each LCI-predicting ML algorithm is shown in Fig. 3. There are general evidence trends: although the importance of variables in these ML algorithms varies slightly, factors including prealbumin, globulin, fibrinogen and Scr are more critical than other factors such as AST and WBC. The importance of high-ranking variables in the RF model is

| Table 2 | Univariate logistics regressions analysis of risk factors to femoral neck fracture patients with lacunar cerebral infarct |
|---------|-------------------------------------------------------------------------------------------------------------------|
| Variables | OR | 95%CI | P |
| Age (years) | 1.058 | 1.017-1.099 | 0.005 |
| Current drinking | 4.023 | 0.869-18.631 | 0.075 |
| Hypertension | 1.923 | 1.008-3.667 | 0.047 |
| Kidney stone | 2.714 | 0.863-5.393 | 0.088 |
| Barthel index | 0.940 | 0.913-0.975 | < 0.001 |
| Prealbumin (mg/L) | 0.982 | 0.975-0.989 | < 0.001 |
| Total protein (g/L) | 0.905 | 0.857-0.955 | < 0.001 |
| Albumin (g/L) | 0.899 | 0.824-0.981 | 0.017 |
| Globulin (g/L) | 0.909 | 0.849-0.972 | 0.006 |
| AST (U/L) | 1.032 | 0.999-1.067 | 0.056 |
| FBG (mmol/L) | 0.877 | 0.779-0.986 | 0.029 |
| Scr (umol/L) | 0.913 | 0.901-1.026 | 0.047 |
| BUN/Scr | 2.24E-10 | 1.19E-44-9.27E-14 | < 0.001 |
| LDH (U/L) | 0.984 | 0.969-1.000 | 0.008 |
| Serum sodium (umol/L) | 0.975 | 0.955-0.995 | 0.016 |
| Serine chloride (umol/L) | 0.975 | 0.955-0.995 | < 0.001 |
| Serum creatinine,Scr | 1.013 | 1.000-1.026 | 0.003 |
| Lactate dehydrogenase,Blood urea nitrogen,LDH | 1.000 | 0.999-1.000 | 0.011 |
| Total protein, albumin, globulin, A/G, AST,ALT,ASL/ALT,ALP,GGT,total bilirubin,direct bilirubin,indirect bilirubin,FBG,BUN,Scr,BUN/Scr,cystatin-C,CPK,homocysteine,LDH,cholinesterase,blood uric acid,serum sodium,serum kalium,serum calcium,serum chlorine,WBC,neutrophils,lymphocyte,monocyte,eosinophilic granulocyte,asbasophilic granulocyte,RBC,hemoglobin,hematocrit,MCV,MCH,MCHC,platelet count,plateletcrit,PDW,MPV,PT,INR,APTT,TT,fibrinogen,and d-dimer | 0.877 | 0.779-0.986 | 0.029 |
| 95%CI | 1.000 | 0.988-1.001 | 0.007 |
| 0.122 | 1.068-1.394 | 0.030 |
| 0.880 | 0.777-0.997 | 0.044 |
| 0.060 | 0.415-0.895 | 0.012 |
| 0.024 | 0.001-0.775 | 0.035 |
| 0.819 | 0.665-1.010 | 0.062 |
| 2.779 | 1.831-4.217 | < 0.001 |
| 0.910 | 0.862-0.961 | 0.017 |

Abbreviations: WBC White blood cell, AST Aspartate aminotransferase, BUN Blood urea nitrogen, Scr Serum creatinine, LDH Lactate dehydrogenase, PT Prothrombin time, INR International normalized ratio, TT Thrombin time.

| Table 3 | Multivariate logistics regressions analysis of risk factors to femoral neck fracture patients with lacunar cerebral infarct |
|---------|-------------------------------------------------------------------------------------------------------------------|
| Variables | OR | 95%CI | P |
| Age (years) | 1.338 | 1.161-1.542 | < 0.001 |
| WBC (10^9/L) | 0.425 | 0.262-0.691 | 0.001 |
| Prealbumin (mg/L) | 0.958 | 0.935-0.981 | < 0.001 |
| AST (U/L) | 1.381 | 1.132-1.684 | 0.001 |
| Total protein (g/L) | 1.814 | 1.288-2.555 | 0.001 |
| Globulin (g/L) | 0.306 | 0.176-0.533 | < 0.001 |
| Scr (umol/L) | 0.975 | 0.955-0.995 | 0.016 |
| BUN/Scr | 3.32E-29 | 1.19E-44-9.27E-14 | < 0.001 |
| LDH (U/L) | 0.984 | 0.969-1.000 | 0.004 |
| Serum sodium (umol/L) | 1.694 | 1.148-2.498 | 0.008 |
| Fibrinogen (g/L) | 42.273 | 53.07-324.514 | < 0.001 |

Abbreviations: WBC White blood cell, AST Aspartate aminotransferase, BUN Blood urea nitrogen, Scr Serum creatinine, LDH Lactate dehydrogenase, WBC, neutrophils, PT, INR, TT, fibrinogen, and d-dimer were included in multiple logistic regression analysis (Table 2). The results showed that age, WBC, prealbumin, AST, Total protein, globulin, Scr, BUN/Scr, LDH, serum sodium and fibrinogen were independent predictors of LCI in femoral neck fracture patients (Table 3).

### Table 4 | Predictive performance comparison of the five types of machine learning algorithms in the validation sets |
|---------|-----------------|-----------------|-----------------|-----------------|
| Model   | AUROC | Sensitivity | Specificity | Accuracy |
| LR      | 0.91  | 0.86  | 0.74  | 0.80  |
| XGBoost | 0.87  | 0.95  | 0.78  | 0.86  |
| DT      | 0.76  | 0.82  | 0.70  | 0.76  |
| GBM     | 0.82  | 0.82  | 0.78  | 0.80  |
| RF      | 0.95  | 1.00  | 0.78  | 0.88  |

Abbreviations: LR Logistic regression, GBM Gradient boosting machine, RF Random forest, DT Decision tree, XGBoost Extreme gradient boosting.
arranged in descending order: prealbumin, fibrinogen, globulin and Scr.

**Discussion**

Previous studies [15–17] only discussed LCI-related risk factors such as renal function. However, these studies only identified risk factors closely associated with LCI and did not integrate these risk factors into a model to predict LCI. Compared with traditional models, machine learning has strong predictive power for classification problems and has been widely used in the medical field [11]. Therefore, to our knowledge, this study is the first to use a ML algorithm to predict whether an elderly patient with a femoral neck fracture has LCI before surgery.

In this study, our team used five popular machine learning models, LR, XGBoost, DT, GBM, and RT, to...
predict lacunar cerebral infarction in elderly patients with femoral neck fracture before surgery. To our satisfaction, these 5 ML models all showed excellent predictive ability, among which RF had the most robust predictive ability with an AUROC of 0.95. Therefore, clinicians can use the RF model to predict whether elderly patients with femoral neck complicated with LCI before surgery.

These 5 ML models confirmed that prealbumin, globulin, fibrinogen and Scr were important preoperative predictors of LCI in elderly patients with a femoral neck fracture. As a good reflection of the nutritional status of the human body, prealbumin has excellent predictive value for a variety of diseases, such as stroke [18, 19]. The results of this study are similar to those of previous studies [20], suggesting that prealbumin can be an effective indicator of LCI in elderly patients with a femoral neck fracture. Similarly, as an immune indicator, globulin has good predictive value in a range of diseases such as LCI [21, 22]. Zecca B et al. [23] found that globulin levels were strongly associated with ischemic stroke, which partially supports the findings of this study that globulin can predict LCI in elderly patients with a femoral neck fracture. Fibrinogen is closely related to thrombosis, and high fibrinogen levels are often regarded as biomarkers of vascular diseases such as myocardial infarction or stroke [24]. Some studies [25–27] have shown that high levels of fibrinogen can predict the occurrence and clinical prognosis of LCI, which further supports the results of this study, suggesting that fibrinogen can effectively predict the combination of LCI in elderly patients with a femoral neck fracture. Scr is one of the most commonly used indicators of kidney function. In addition, some studies have clarified the relationship between renal function and LCI [28–30]. Akoudad S et al. [31] demonstrated that renal function was closely related to the lesions of brain microvessels, especially the albumin-to-creatinine ratio. Similarly, Scr was a helpful predictor of LCI in elderly patients with a femoral neck fracture.

Compared with previous studies, this study has the following advantages. First, few studies have explored the risk factors associated with LCI and constructed predictive models. This study is the first to use an ML model to predict LCI in elderly patients with femoral neck fractures before surgery. Secondly, 5 ML models were used in this study to predict LCI, and the prediction effect was excellent. This supports the accuracy and reliability of the results of this study to a certain extent. Finally, the RF model had the most potent predictive power among the 5 ML models, with an AUROC of 0.95. Therefore, clinicians can use the RF model to predict whether elderly patients with femoral neck fracture have LCI before surgery, providing a basis for preoperative head CT examination and better perioperative management of patients.

However, this study has the following limitations. First, head CT is not a routine examination for elderly patients with femoral neck fracture in our institution, resulting in a relatively small number of subjects in this study. Secondly, this study is a retrospective cohort study, which will lead to a certain degree of bias. The ML model in this study consists of regression methods, so the model mainly shows which variable is the most predictive. In addition, the importance value of featured variables in the RF model in this study is relatively small, which may affect the effectiveness of RF to a certain extent. Therefore, a large prospective cohort study is urgently needed in the future to verify the results of this study. Finally, the ML model in this study was established based on patients in our institution, which may cause the lack of universality of the ML model. Therefore, data from external institutions are needed to verify the ML model of this study in the future.

**Conclusions**

In this study, 5 ML models were developed and validated for patients with femoral neck fracture to predict preoperative LCI. RF model provides a good predictive value with an AUROC of 0.95. Clinicians can better conduct multidisciplinary perioperative management for patients with femoral neck fracture through this model and accelerate postoperative recovery of patients.

**Abbreviations**

BMI: body mass index; VTE: venous thrombus embolism; WBC: white blood cell; RBC: red blood cell; MPV: mean platelet volume; AST: aspartate aminotransferase; ALT: alanine aminotransferase; A/G: albumin/globulin; CPK: creatine phosphate kinase; BUN: blood urea nitrogen; Scr: serum creatinine; MCHC: mean corpusular hemoglobin concentration; ALP: alkaline phosphatase; GGT: gamma-glutamyltransferase; FBG: fasting blood glucose; LDH: lactate dehydrogenase; MCV: mean corpuscular volume; PDW: platelet distribution width; MCH: mean corpuscular hemoglobin; PT: prothrombin time; INR: international normalized ratio; APTT: activated partial thromboplastin time; TT: thrombin time; LR: Logistic regression; GBM: Gradient boosting machine; RF: Random forest; DT: Decision tree; XGBoost: Extreme gradient boosting; LCI: lacunar cerebral infarction; ML: machine learning.

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**Authors’ contributions**

C.B.H and K.T designed the study; collected, analyzed, and interpreted data. Z.Y.W interpreted data and obtained funding. L.Y designed and supervised the study and drafted the manuscript. All authors read and approved the final manuscript.

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Availability of data and materials
The datasets analyzed in the study are available from the corresponding author on reasonable request.

Declarations

Ethics approval and consent to participate
All procedures performed in studies involving human participants were in accordance with the 1964 Helsinki declaration and its later amendments or comparable ethical standards. The need of ethics approval was waived by the Medical Ethics Committee, Yuying Children’s Hospital, The Second Affiliated Hospital of Wenzhou Medical University. And the informed consent was waived by the Medical Ethics Committee, Yuying Children’s Hospital, The Second Affiliated Hospital of Wenzhou Medical University.

Consent for publication
Not applicable.

Competing interests
The authors declare that they have no competing interests.

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