Leptin and Adiponectin in the HIV Associated Metabolic Syndrome: Physiologic and Therapeutic Implications

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Abstract: Leptin and adiponectin represent two newly discovered adipose tissue derived hormones with important roles in energy homeostasis and insulin resistance. Their interrelations with the manifestations of the HIV associated metabolic syndrome and specific somatomorphic changes i.e. fat redistribution is reviewed. A synopsis of published studies is presented and the potential role of leptin and adiponectin is discussed. We have described an association of the HIV metabolic syndrome with a state of reduced insulin sensitivity due to adiponectin deficiency. The metabolic syndrome is also accompanied by leptin deficiency in lipoatrophic subjects and possibly by a leptin resistance state in lipohypertrophic patients. Adiponectin and / or leptin therapy in a manner similar to other leptin deficiency states may assist in the future management of such patients.

Key words: HIV, adipokine, adipocytokines, adiponectin, metabolic syndrome, insulin resistance

INTRODUCTION

Clinicians face the anxiety of HIV-1 patients with metabolic and morphological changes seen in association with their antiretroviral therapeutic regimens regarding potential long-term effects directly related to their therapy[1]. Recent studies have confirmed the association between the HIV combination of antiretroviral regimens, the metabolic syndrome and an increased risk for cardiovascular events[2,3]. Great uncertainty continues to exist regarding the definition as well as the exact pathophysiology of the HIV associated metabolic and morphologic changes. New theories suggesting potential mechanisms are published[4] but there is still difficulty in understanding the full spectrum of the clinical entity[1,3]. Many of the endpoints examined during studies of the syndrome are shared by the general population and thus are subject to multiple confounding from genetic, environmental (including toxicity from the antiretrovirals) and other factors not specific to the HIV disease.

Adipose tissue derived hormones (adipokines) may play an important role in the pathogenesis of the HIV associated metabolic syndrome and they have been the focus of extensive research over the last few years. Two adipokines leptin and adiponectin have been the focus of the majority of the research efforts. In the current report we review available literature on leptin and adiponectin and their association with the development of phenotypic and/or metabolic changes in HIV infected subjects treated with HAART.

The HIV associated metabolic syndrome: Diabetes, glucose intolerance and insulin resistance were the first described manifestations[1,4]. They were soon followed by reports of dyslipidemia[7,11] and body shape changes[8,12-15]. The term “lipodystrophy” that was then used is probably not inclusive of the entire spectrum of manifestations. Hyperlactatemia and loss of bone mineral density were recognized subsequently, but there is uncertainty as to whether they are part of the same process. There is still no clear understanding of the pathogenesis of all observed changes and a lack of a uniform definition for the HIV associated metabolic syndrome exists. Researchers initially believed that this “new” HIV metabolic syndrome shares basic pathophysiologic principles with metabolic changes seen in healthy populations as they get older[19]. Attempts to use a common definition for “lipodystrophy” have been made[16-20] but are not yet widely accepted.

Adipokines and their physiologic role: Adipose tissue with both its adipocyte and non adipocyte fractions represents a major endocrine organ secreting hormones and other proteins including inflammatory mediators such as plasminogen activator inhibitor type 1, TNF-α, IL-6 and hormones involved in insulin sensitivity like leptin and adiponectin[21-27]. All adipose tissue products seem to contribute significantly in various metabolic processes both at the local and the systemic level[21]. Additionally adipocytes express numerous receptors enabling them to respond to distant signals from other hormonal systems[21]. These include insulin and androgen receptors. A recent review describes at least
Leptin and its physiologic role: Leptin is an adipocyte derived hormone involved in energy homeostasis and insulin resistance via central effects on the hypothalamus and peripheral effects on fatty acid oxidation[22,31,32]. In humans, hypothalamic pathways mediate the leptin effects in energy homeostasis and leptin seems to primarily serve as a signal of sufficient energy for the human body[22]. If an individual starts to receive less nutrition and loses weight, leptin levels will decrease and concomitantly through a mechanism of physiologic adaptation appetite will be increased and energy expenditure will decline[22,33-35]. In mice experiments leptin deficiency has been associated with hyperinsulinemia and insulin resistance that is corrected by exogenous administration of leptin before the development of persistent obesity[36,37]. However only a few humans are similar to these ob/ob mice; high instead of low leptin levels most often accompany obesity representing likely a state of leptin resistance[22,33,38]. Studies in HIV-1 negative individuals have shown an association between higher fasting leptin levels and obesity, total and central fat accumulation as well as insulin resistance[39,40]. Leptin levels directly correlate to adipose tissue mass (subcutaneous rather than visceral adipose tissue) as well as nutritional status in humans[41-43]. It has been recently shown by researchers in our group that increasing fat mass is associated with higher baseline leptin levels and endogenous production rates[44]. In the same work leptin clearance was decreasing with increased adiposity leading to higher leptin levels in obese subjects and a state of leptin resistance[44]. Leptin is an adipocyte tissue product and thus low fat stores could result in hypo leptinemia in any patient with lipodystrophy. A generalized loss of subcutaneous and visceral fat, insulin resistance and hyperlipidemia is characteristic of the rare congenital and acquired lipoatrophy's seen in humans[45-47]. Leptin replacement in subjects with such syndromes has improved glucoregulatory control and lipid levels in a recently published interventional human study[48].

Leptin besides its effects on energy homeostasis has regulatory effects on other traditional endocrine systems such as the hypothalamic-pituitary-gonadal axis and in part, the hypothalamic-pituitary-thyroid axis especially in starvation-states[49,50]. In leptin deficiency states there is suppression of the thyroid and the gonadal axis which is reversed with leptin supplementation[49-52]. In addition leptin may have a regulatory role for the hypothalamic-pituitary-adrenal axis (HPA). In leptin deficiency states there is an activation of the HPA axis with increased levels of corticotrophin-releasing hormone (CRH) and hypercortisolæmia an effect shown in mice experiments and in vitro experiments involving human adrenocortical cells[21].

Leptin and the HIV associated metabolic syndrome: Fasting leptin levels have been shown to correlate well with total body fat concentrations in HIV-infected patients[53-55]. An association between limb fat loss and low leptin levels as well as other metabolic abnormalities in HIV patients has been published[56]; however other studies failed to show such associations likely due to lack of stratification of patients by type of lipodystrophic body changes[57,58]. After careful categorization of HIV-1 infected patients attending our ID clinic in 4 separate body habitus categories (lipohypertrophy, lipoatrophy, mixed, normal) we hypothesized that we will observe leptin deficiency resulting from decreased synthesis and/or release of leptin from adipocytes in patients exhibiting either peripheral or generalized lipoatrophy[59]. We found a significant association between leptin levels and insulin resistance in patients with the various morphological phenotypes[59]. Mean leptin levels were lowest in patients exhibiting lipodystrophic body shape changes when compared to those of patients with lipohypertrophy who had the highest leptin levels[59]. Leptin levels in between the two extremes were observed in subjects with no body shape changes[59]. Hypeleptinemia was independently associated with insulin resistance in patients with lipoatrophy an effect not confounded by central or peripheral fat mass indicating a possible role for leptin in the development of metabolic changes in lipoatrophic patients[59]. This finding generated the hypothesis that leptin administration in leptin deficient HIV positive subjects with lipoatrophy would result in correction of the metabolic disturbance i.e. insulin resistance, as a proof of concept for its role in causing the syndrome[59]. Another interesting finding of our study was the hyperleptinemia noted in HIV patients with hypertrophy[59]. This could be attributed to excess synthesis and release of leptin by the adipocytes or excess circulating levels of free unbound leptin due to resistance at the leptin receptor level[59].

Recently a significant association between leptin secretion and subcutaneous fat has been reported in studies of leptin pulse dynamics in relation to the morphologic changes in HIV patients[60]. The researchers reported a decrease in leptin levels following subcutaneous fat decrements after controlling for visceral fat quantity[60]. There was no specific relationship discovered with visceral fat however this study did not study purely lipoatrophic patients[60]. Of note in the study by our group lipoatrophic subjects had the highest percentage of visceral fat[59]. Future research
Adiponectin and its physiological role: Adiponectin is a newly appreciated hormone discovered 10 years ago and exclusively produced from mature adipocytes. Adiponectin has a collagen like and a globular like domain and has tertiary structural similarities with an important inflammatory cytokine, tumor necrosis factor alpha (TNFa). Adiponectin has been found to be inversely associated with components of the metabolic syndrome such as obesity, insulin resistance and type II diabetes, as well as rare forms of congenital and acquired lipodystrophies in non-HIV infected patients. Adiponectin effects not only depend on the circulating concentrations of the hormone but also on the expression of recently identified specific receptors at the muscle (adipoR1) and/or the liver (adipoR2). Adiponectin has a beneficial effect on insulin resistance which can be explained by two main mechanisms. First, adiponectin mediates an overall decrease of triglycerides in muscle and liver by up-regulating the expression of molecules involved in fatty acid oxidation and muscle energy expenditure. This has been demonstrated in experiments involving obese mice. Second, adiponectin inhibits gluconeogenesis at the liver. Decreased levels of both leptin and adiponectin have been seen in animal models of lipoatrophy where transgenic overexpression or administration of one of the two partially corrected insulin resistance while administration of both hormones resulted in complete reversal of the insulin resistance state. Recent studies have shown that adiponectin expression is higher in subcutaneous than visceral fat in humans.

Adiponectin and the HIV associated metabolic syndrome: In further studies of HIV patients with “lipodystrophy” followed at our institution we found that adiponectin levels were significantly lower in the patients exhibiting fat redistribution changes. This association was independent of age, leptin levels, HIV medication and severity of disease. Adiponectin levels were significantly associated with both abdominal visceral fat and extremity fat. Since adiponectin expression is higher in subcutaneous than visceral fat in humans, visceral fat accumulation and subcutaneous fat loss may lead to decreased adiponectin production both in lipoatrophy and lipohypertrophy subjects. This adiponectin deficiency becomes more prominent with peripheral fat loss and thus loss of another adiponectin supply. Thus, fat redistribution may actually be responsible for the decreased adiponectin levels in HIV patients with mixed fat redistribution phenotypes. Further confirming this hypothesis we found an inverse relation between adiponectin levels and abdominal visceral fat mass, serum triglycerides and insulin resistance. These findings are consistent with the role of visceral adiposity in the development of insulin resistance and lipid abnormalities associated with the metabolic syndrome. Subsequent studies confirmed our results showing an association between adiponectin levels and insulin sensitivity in HIV positive subjects. Reduced circulating adiponectin levels were reported in patients with chronic HIV infection and fat redistribution compared with age- and body mass index-matched HIV-infected patients without fat redistribution. Adiponectin concentrations correlated with body composition, insulin response to glucose challenge and lipid levels. Thus adiponectin may be a better marker than leptin for insulin resistance seen in HIV subjects. Taking these findings to the molecular level researchers have recently shown decreased adiponectin specific mRNA levels in fat biopsies from such lipodystrophic patients.

All the above results support the hypothesis that correction of adiponectin levels may ameliorate the metabolic changes observed in HIV patients. Uncertainty exists with regards to medication effects on adiponectin levels and is compounded by their effects on adipogenesis and/or fat loss. Our study showed that certain HIV medications (NRTIs) may mediate part of hypoadiponectinemia (eg through peripheral lipoatrophy). A recent sudy reported an association of peripheral lipatrophy and low levels of adiponectin in patients currently or previously treated with stavudine. Among PIs ritonavir has been shown to adversely affect adiponectin as well as lipid levels in animal experiments. The administration of adiponectin improved these changes. It is likely that decreased adiponectin levels are mediated by PI effects on adipogenesis. Indeed in vitro experiments have shown that protease inhibitors inhibit adipogenesis in a dose response manner in murine 3T3-L1 preadipocytes. However the effects of individual protease inhibitors on adipogenesis and adiponectin levels may vary from PI to PI similarly to their effects on insulin resistance (see above) and may vary from animal to human experiments. In a recent effort adiponikine levels were measured in HIV-negative men treated with either indinavir or lopinavir/ritonavir. Serum adiponectin levels increased with both agents despite their differential effects on insulin sensitivity and in contrast with the above mentioned in vitro experiments that have showed decreased adiponectin expression in 3T3 adipocytes acutely treated with...
several PIs and NRTIs\(^\text{[93]}\). Of note adiponectin levels increased after a few weeks of therapy suggesting a compensatory response from the adipose tissue through a negative feedback mechanism at least at the initial stages of the metabolic dysregulation. Studies examining these effects at the human fat cell level have looked at regional adipose cells obtained from HIV negative individuals treated with PIs and nucleoside analogues. In these experiments both PIs and NRTIs downregulated adiponectin expression from subcutaneous adipose tissue cells\(^\text{[95]}\). Thus it seems that during early phases of antiretroviral therapy adiponectin expression is likely downregulated by direct medication effects. Later on changes of adipose tissue may lead to hypoadiponectinemia at later stages of the metabolic syndrome. Interestingly in some studies there is an inverse relationship between adiponectin and TNF-\(\alpha\)^\(\text{[95,96]}\); raising the hypothesis that increased levels of TNF-\(\alpha\)-another adipocytokine itself- may contribute to the pathogenesis of lipodystrophy\(^\text{[95,96]}\). Ritonavir use in human adipocyte cell lines was able both to up-regulate TNF-\(\alpha\), IL6 and leptin expression and down-regulate PPAR-\(\gamma\) and adiponectin expression\(^\text{[96]}\) in one of these studies. It is well known that in HIV negative subjects TNF-\(\alpha\) suppresses the expression of transcriptional factors involved in adipogenesis and lipogenesis including a downregulation of adiponectin and IL-6\(^\text{[21,97]}\). Increased TNF-\(\alpha\) from abdominal subcutaneous adipose tissue as well as elevated circulating levels of IL-6, soluble TNF receptors I and II and insulin have been observed in HIV patients with fat redistribution\(^\text{[98-101]}\). Moreover decreased adiponectin and leptin expression resulting form increased apoptosis together with decreased adipocyte differentiation has been found in experiments looking at adipose tissue from HIV subjects with peripheral lipoatrophy when compared with samples from HIV negative controls\(^\text{[102]}\) further confirming previous similar observations\(^\text{[103]}\). These leptin and adiponectin changes likely mediated the insulin resistance found in these subjects\(^\text{[102]}\). The observed adipocyte changes were partly explained by increased levels of TNF-\(\alpha\) and interleukin-6 (IL-6)\(^\text{[102]}\). Obviously it would be interesting to see whether such associations are observed in HIV patients examined both at early and later stages of antiretroviral therapy\(^\text{[94]}\).

Thus one can formulate the hypothesis that HIV positive patients suffering from the HIV associated metabolic syndrome suffer from a pre-inflammatory state similar to the one seen in the metabolic syndrome affecting a large fraction of the general population in developed countries\(^\text{[104,105]}\). These inflammatory changes affect adiponectin and/or leptin production. Recent work performed in our lab has confirmed an association of adiponectin and inflammatory markers such as CRP likely mediated through an underlying association with obesity in diabetic subjects\(^\text{[106]}\). The role of inflammatory cytokines in the pathogenesis of HIV lipodystrophy is of great interest but is outside the scope of the current review.

Effects on sterol-regulatory-element-binding-protein-1c (SREBP-1c) a transcription factor involved in the activation of genes responsible for long chain fatty acid synthesis and adipocyte differentiation\(^\text{[107-109]}\) seem to be important in the pathogenesis of the HIV metabolic syndrome especially dyslipidemia and body shape changes. Experiments with transgenic mice over-expressing SREBP-1c exhibit a metabolic syndrome similar to the one seen in HAART treated patients with lipoatrophy, insulin resistance and hypertriglyceridemia\(^\text{[110]}\). Increased SREBP-1c concentration in peripheral adipose tissue has been recently associated with decreased expression of PPAR-\(\gamma\) and decreased adipocyte differentiation in HIV patients who developed lipoatrophy after receiving HAART-therapy\(^\text{[111]}\). Decreased levels of leptin were noted in these patients together with increased TNF-\(\alpha\) suggesting impaired adipocyte differentiation and thus decreased adiponectin levels\(^\text{[111]}\) although adiponectin levels were not specifically measured.

Decreased adipocyte differentiation or loss, leading to a decrease in adiponectin, concomitantly with increased secretion of other inflammatory cytokines (e.g. TNF-\(\alpha\)) may in part explain the insulin resistance in HIV positive subjects. Some of these effects maybe the result of synergistic actions by different key etiopathogenetic factors. For example, protease inhibitors may synergistically act with TNF-\(\alpha\) in producing antidiapogenic effects\(^\text{[112]}\).

These complex interactions may actually be the result of a fine balance between effects of several specific antiretrovirals, age, as well as the effect of the HIV illness itself, other concomitant illnesses and/or genetic predisposition\(^\text{[113]}\). Furthermore, they may change and evolve depending on other factors such as age and environmental stimuli e.g. diet and exercise.

**Therapeutic implications of the adipokine role:**

Several strategies have been incorporated in clinical practice in an attempt to handle the several manifestations of the HIV metabolic syndrome\(^\text{[1,114-118]}\). We will briefly discuss thiazolidinediones effects and their association with adipocytokine changes as well as therapy for lipodystrophy with leptin.

**Thiazolidinediones and their effects on the HIV associated metabolic syndrome:** Thiazolidinedione are PPAR-\(\gamma\) agonists that initiate a cascade of events leading to transcription or inhibition of genes involved in the regulation of adipocyte differentiation, lipid metabolism and insulin action\(^\text{[119-121]}\). It is well known from in vitro experiments that PPAR-\(\gamma\) agonists increase adiponectin expression in the adipose tissue and treatment with thiazolidinediones in vivo markedly increases circulating adiponectin levels\(^\text{[122,123]}\).
One of the first theories to be presented to explain the lipodystrophy syndrome was that antiretroviral medications directly decrease adipocyte differentiation by inhibiting the activation of nuclear receptors involved in adipocyte differentiation and insulin induced metabolic changes. This hypothesis has been questioned in subsequent studies. If part of the theory is correct, we would expect to see some beneficial effects of PPAR-γ therapy in HIV associated metabolic changes. Moreover the physiologic associations observed in the studies discussed previously would rationalize thiazolidinedione treatment for some of these changes.

Indeed, rosiglitazone has been shown to improve insulin resistance in patients with HIV associated metabolic syndrome, however at the expense of increased triglyceride and cholesterol concentrations. Regarding fat redistribution the initially encouraging results reporting a beneficial effect of rosiglitazone in body fat gain were not seen during two other studies including a recent larger placebo controlled study evaluating rosiglitazone in lipodystrophic HIV patients. This has led to the speculation that rosiglitazone may exert its insulin sensitizing effects without increasing the subcutaneous adipose tissue mass. Of note, a significant increase in baseline PPAR-γ expression in adipose tissue is noted with rosiglitazone in HIV positive subjects. Thiazolidinediones may act through other mechanisms as well. A recent study examined gene expression in subcutaneous tissue from subjects with lipodystrophy and showed significantly higher levels of 11-beta hydroxysteroid dehydrogenase type-1 in lipodystrophic HIV subjects compared to non lipodystrophy cases. In the liver and adipose tissue, 11 beta hydroxysteroid dehydrogenase type 1 catalyzes a cortisol forming reaction and transgenic mice overexpressing this enzyme develop a full blown metabolic syndrome with obesity and increased amounts of visceral fat. Thiazolidinediones downregulate 11-beta hydroxysteroid dehydrogenase type 1 enzyme in adipose tissue and their effect could be partially explained by this mechanism. This effect is probably not mediated by the action of protease inhibitors since previous experiments using adipose stromal cells have shown a dose dependent inhibition of this enzyme by saquinavir, indinavir and nelfinavir.

Pioglitazone is another thiazolidinedione which has a more favorable effect on lipid profile compared to rosiglitazone. In a recently performed open label uncontrolled study its use in HIV patients was associated with adverse effects on insulin resistance and an increase in fat mass with no adverse lipid effects. Our group looked at the effects of pioglitazone and/or fenofibrate (a PPAR-α agonist) in a group of HIV infected patients suffering from insulin resistance or diabetes and/or hyperlipidemia in a randomized controlled study. Pioglitazone administration over 12 months improved insulin resistance and blood pressure and affected the lipid profile favorably by increasing HDL levels in these patients. With regards to fat redistribution effects we observed a trend towards an increase of total body and abdominal fat over the 12 month pioglitazone use by our HIV subjects. A significant increase in adiponectin levels over the study period which was not found in the placebo group might have mediated the beneficial effect in insulin resistance in our patients. These effects could be attributed to increased adiponectin gene expression which has been reported in association with rosiglitazone therapy recently. Adiponectin and PPAR-γ expression in adipose tissue has been reported to be significantly lower in HIV-infected patients with HAART-induced fat redistribution. Other mechanisms could be potentially involved, such as a decrease in free fatty acid levels, however pioglitazone did not significantly affect their concentration in our study. Pioglitazone based on its beneficial lipid effects seems a better thiazolidinedione for the treatment of diabetes in HIV-infected subjects. Another thiazolidinedione which exhibited similar effects, troglitazone, had to be withdrawn from the market due to unacceptable liver toxicity.

In conclusion, pioglitazone in preliminary studies appears to have a beneficial effect on insulin resistance mediated by adiponectin levels and favorably affects the lipid profile in the HIV associated metabolic syndrome. Larger studies are necessary to elucidate the role of PPARγ agonists alone or in combination with other agents i.e. PPARα agonists or recombinant leptin in treating HIV related fat redistribution and especially lipodystrophy and/or insulin resistance.

In using thiazolidinediones one should keep in mind potential antiretroviral effects of this class of medications which are probably mediated by downregulation of TNF-α and IL-6 which are known to promote HIV transcription. More importantly during thiazolidinedione therapy careful monitoring for important associated side effects like liver toxicity is essential.

Leptin therapy and the HIV associated metabolic syndrome: Recently, leptin administration was used to treat congenital and acquired (non-HIV) lipodystrophy. It took four months to achieve physiological leptin concentrations in these patients. Leptin administration resulted in improvement of hyperglycemia, hyperinsulinemia, hypertriglyceridemia, as well as a significant decrease in fatty infiltration of the liver. In light of the previous discussion and the observed leptin deficiency in patients with HIV/HAART-associated lipodystrophy, leptin administration alone or in combination with thiazolidinediones or switch strategies could in theory reverse many of the metabolic abnormalities observed in this syndrome. Studies are...
currently under way and preliminary results are encouraging for HIV patients with lipodystrophy and low leptin levels\(^\text{[145]}\). Leptin replacement therapy induced improvements in insulin resistance after 2 months of therapy in HIV (+) lipoatrophic leptin deficient subjects\(^\text{[145]}\).

**CONCLUSION**

Multiple mechanistic pathways may overlap in producing several manifestations of the HIV associated metabolic syndrome. Further research may be able to elucidate the three-fold association between acute antiretroviral treatment effects and adiponectin and/or leptin levels as well as insulin resistance. These changes should be studied both at early and late treatment stages, in various regional adipose tissue compartments and in the circulation. The predictive value of such changes for the subsequent development of fat redistribution or other metabolic changes should be estimated and the effect of medications that normalize leptin and adiponectin levels should be studied. This will further enhance our understanding of the complex associations and interrelations between a chronic infection, toxic drugs, genetic predisposition and environmental factors participating in generating the HIV metabolic syndrome.

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