An Investigation of Open Clusters Berkeley 68 and Stock 20 Using CCD UBV and Gaia DR3 Data

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Abstract
We performed detailed photometric and astrometric analyses of the open star clusters Berkeley 68 and Stock 20. This was based on ground-based CCD UBV photometric data complemented by space-based Gaia Data Release 3 (DR3) photometry and astrometry. A total of 198 and 51 stars were identified as likely cluster members for Berkeley 68 and Stock 20, respectively. Two-color diagrams were used to derive the reddening and photometric metallicity for each cluster. The reddening for Berkeley 68 and Stock 20 is \( E(B-V) = 0.520 \pm 0.032 \) mag and \( 0.400 \pm 0.048 \) mag, respectively. Photometric metallicity \([\text{Fe}/\text{H}]\) is \(-0.13 \pm 0.08\) dex for Berkeley 68 and \(-0.01 \pm 0.06\) dex for Stock 20. Keeping as constant reddening and metallicity, we determined the distance moduli and ages of the clusters through fitting isochrones to the UBV and Gaia-based color–magnitude diagrams. Photometric distances are \( d = 3003 \pm 165 \) pc for Berkeley 68 and \( 2911 \pm 216 \) pc for Stock 20. The cluster ages are \( 2.4 \pm 0.2 \) Gyr and \( 50 \pm 10 \) Myr for Berkeley 68 and Stock 68 and Stock 20, respectively. Present-day mass function slopes were found to be \( \Gamma = 1.38 \pm 0.71 \) and \( \Gamma = 1.53 \pm 0.39 \) for Berkeley 68 and Stock 20, respectively. These values are compatible with the value of Salyuter. The relaxation times were estimated as \( 32.55 \) and \( 23.17 \) Myr for Berkeley 68 and Stock 20, respectively. These times are less than the estimated cluster ages, indicating that both clusters are dynamically relaxed. Orbit integration was carried out only for Berkeley 68 since radial velocity data were not available for Stock 20. Analysis indicated that Berkeley 68 was born outside the solar circle and belongs to the thin-disk component of the Milky Way.

Unified Astronomy Thesaurus concepts: Open star clusters (1160)

Supporting material: machine-readable table

1. Introduction

Compared to globular clusters, open clusters (OCs) are relatively more young and more metal-rich stellar systems that are located toward the Galactic plane. OCs are groupings of stars with similar physical properties. The number of member stars in OCs ranges from a dozen to thousands. These stars form at the same time through the collapse of a molecular cloud (McKee & Ostriker 2007). Initially these stars are gravitationally bound into the cluster and hence share common positional and kinematic characteristics. The ages, distances, and chemical compositions of cluster member stars are similar; however, the stellar masses are different (Maurya & Joshi 2020). The study of OC stars through astrometric and photometric observations allows determination of astrometric and astrophysical parameters unavailable to the study of single stars (Dias et al. 2021), partially explaining the interest in these systems. Such analysis helps in understanding the formation, evolution, and dynamics of stars (Lada & Lada 2003; Portegies et al. 2010). Moreover, utilizing astrophysical parameters (such as distance, metallicity, and age) together with kinematic properties makes OCs good tracers for investigating formation and evolution of the Galactic disk (Cantat-Gaudin et al. 2020; He et al. 2021; Hou 2021).

Identification of cluster member stars is negatively affected by the presence of field stars. Precise determination of cluster parameters requires the accurate separation of cluster members from such field stars. Gaia data releases (Gaia Collaboration et al. 2016, 2018, 2021, 2022) have made available astrometric data of unprecedented accuracy,1 allowing such separations to be made more accurately (Cantat-Gaudin et al. 2018; Monteiro & Dias 2019). Many recent studies have made use of Gaia data in membership analyses of stars in the direction of OCs and to investigate cluster properties (Bish et al. 2019, 2020; Castro-Ginard et al. 2018, 2019; Cantat-Gaudin et al. 2018, 2019, 2021, 2022; Liu & Pang 2019). The third Gaia data release (DR3) was made public on 2022 June 13. It contains data on more than 1.5 billion sources and provides both Gaia early data release 3 (EDR3) and full Gaia DR3 data that span a period of 34 months (between 2014 July 25 and 2017 May 28). The database provides combined radial velocities for about 33.8 million objects down to \( G < 14 \) mag, which is 2 mag fainter than the second Gaia data release (DR2; Gaia Collaboration et al. 2018). The median formal precisions of the radial velocities are \( 1.3 \) km s\(^{-1}\) at \( G = 12 \) and \( 6.4 \) km s\(^{-1}\) at \( G = 14 \) mag (Katz et al. 2022).

In this paper we investigate two OCs, Berkeley 68 and Stock 20, which are located in the second Galactic quadrant. Since the locations of both clusters are very close to the Galactic plane, they are strongly affected by field stars. Statistical removal of such field contamination is an important part of the project. This study is a continuation of an ongoing research project. This study is a continuation of an ongoing research project. This study is a continuation of an ongoing research project. This study is a continuation of an ongoing research project.

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1 The uncertainties in Gaia DR3 are 0.01–0.02 mas for \( G \leq 15 \) mag and reach about 1 mas at \( G = 21 \) mag. The uncertainties of trigonometric parallax (\( \pi \)) are 0.02–0.07 mas for \( G \leq 17 \) mag, are 0.5 mas for \( G = 20 \), and reach 1.3 mas for \( G = 21 \) mag. For sources with \( G \leq 17 \) mag the proper-motion uncertainties are 0.02–0.07 mas yr\(^{-1}\), reaching 0.5 mas yr\(^{-1}\) at \( G = 20 \) and 1.4 mas yr\(^{-1}\) at \( G = 21 \) mag. For sources within \( G \leq 17 \) mag the \( G\)-band photometric uncertainties are 0.3–1 mmag, increasing to 6 mmag at \( G = 20 \) mag.
project investigating previously poorly analyzed OCs in our Galaxy (Yontan et al. 2015, 2019, 2021, 2022; Ak et al. 2016; Banks et al. 2020; Akbulut et al. 2021; Koč et al. 2022). It aims to determine the main parameters of Berkeley 68 and Stock 20 using CCD \textit{UBV} data, together with the most recent database of the Gaia mission.

Berkeley 68 (α = \(0^h 44^m 13^s\), δ = \(+42^\circ 08' 02''\), \(l = 162^\circ 04, b = -2^\circ 40\)) was classified by Ruprecht (1966) as Trumpler type \textit{IVp} with a low central stellar concentration. Analyzing Two Micron All Sky Survey (2MASS) and PPMXL data, Kharchenko et al. (2012) obtained color excises, age, and proper-motion components for the cluster of \(E(U - V) = 0.67\) mag, \(log t = 9.11\) yr, and \((\mu_b \cos \delta, \mu_d) = (-5.860, 0.300)\) mas yr\(^{-1}\), respectively. Cantat-Gaudin et al. (2018) listed member stars for \(1229\) OCs and derived their physical parameters considering Gaia DR2 photometric and astrometric data. For Berkeley 68 they identified \(247\) members with a membership probability greater than \(0.5\). The cluster distance was estimated as \(d = 3223^{+1531}_{-1786}\) pc and the proper-motion components as \((\mu_b \cos \delta, \mu_d) = (2.310 \pm 0.012, -1.312 \pm 0.008)\) mas yr\(^{-1}\).

Liu & Pang (2019) employed Gaia DR2 data within an automatic isochrone fitting scheme, estimating the cluster distance, age, and proper motion as \(d = 3559 \pm 608\) pc, \(t = 1.45 \pm 0.09\) Gyr, and \((\mu_b \cos \delta, \mu_d) = (2.321 \pm 0.227, -1.322 \pm 0.203)\) mas yr\(^{-1}\), respectively. The first detailed CCD \textit{UBVRcIc} photometric study was performed by Maurya & Joshi (2020). They combined these observations with the 2MASS \textit{JHK}_s near-IR data (Cutri et al. 2003) and Gaia DR2 astrometry (Gaia Collaboration et al. 2018). Maurya & Joshi (2020) estimated the core radius of Berkeley 68 to be \(r_c = 0.6^{+0.3}_{-0.3}\) through fitting the radial density profile (RDP) of King (1962). They also identified \(264\) member stars based on Gaia DR2 proper motions. Maurya & Joshi (2020) fitted the zero-age main-sequence (ZAMS) isochrone from Schmidt-Kaler (1982) to the \((U - B) \times (B - V)\) two-color diagram (TCD), deriving values for the \(E(U - B)\) and \(E(B - V)\) color excises. The reddening vector was estimated as \(E(U - B)/E(B - V) = 0.60 \pm 0.03\) and the color excess as \(E(B - V) = 0.52 \pm 0.04\) mag. The cluster age and distance moduli were estimated via joint consideration of the \((B - V) \times V\) and \((V - J) \times V\) color–magnitude diagrams (CMDs). Fitting the theoretical isochrones of solar metallicity \(Z = 0.0152\) presented by Marigo et al. (2017) to the CMDs led to the age being calculated as \(log t = 9.25 \pm 0.05\) yr and the dereddened distance modulus being calculated as \(\mu_V = 13.7 \pm 0.2\) mag. This corresponds to the isochrone distance of the cluster being \(d = 2554 \pm 387\) pc. Cantat-Gaudin et al. (2020) found the age, isochrone distance, and interstellar reddening of Berkeley 68 to be \(t = 2.1\) Gyr, \(d = 3383\) pc, and \(A_V = 1.38\) mag (which corresponds to a color excess of \(E(B - V) = 0.445\) mag), respectively.

Stock 20 (α = \(0^h 25^m 16^s\), δ = \(+62^\circ 37' 26''\), \(l = 119^\circ 93, b = -00^\circ 10\)) was classified by Ruprecht (1966) as a Trumpler type \textit{IIp} with medium richness. Kharchenko et al. (2012) presented a catalog of astrophysical data for \(642\) galactic OCs, including Stock 20. They considered 2MASS and PPMXL data, applying homogeneous methods and algorithms to obtain color excess, distance, age, and mean proper-motion components of Stock 20 of \(E(B - V) = 0.2\) mag, \(d = 1100\) pc, \(log t = 8.337\) yr, and \((\mu_b \cos \delta, \mu_d) = (-2.56, -3.24)\) mas yr\(^{-1}\), respectively. Buckner & Froebrich (2013) employed a decontamination procedure to \textit{JHK}_s photometry of 378 known OCs (including Stock 20) so as to estimate the density of stars across the cluster bodies, together with a galactic model to determine distances without the use of isochrone fitting. Using this method, Buckner & Froebrich (2013) found the distance of Stock 20 to be \(d = 2600\) pc. Cantat-Gaudin et al. (2018) identified \(50\) stars in the direction of Stock 20 as cluster members, assuming a membership probability estimated as being greater than \(0.5\), and subsequently calculated the cluster distance as \(d = 2692^{+571}_{-571}\) pc, together with the proper-motion components as \((\mu_b \cos \delta, \mu_d) = (-3.237 \pm 0.010, -1.124 \pm 0.011)\) mas yr\(^{-1}\). Liu & Pang (2019) estimated the distance, age, and proper motion of the cluster as \(d = 2890 \pm 309\) pc, \(t = 8 \pm 1\) Myr, and \((\mu_b \cos \delta, \mu_d) = (-3.164 \pm 0.258, -0.950 \pm 0.308)\) mas yr\(^{-1}\), respectively. Cantat-Gaudin et al. (2020) found the age, isochrone distance, and interstellar reddening of Stock 20 to be \(t = 19\) Myr, \(d = 2598\) pc, and \(A_V = 1.09\) mag (which corresponds to a color excess of \(E(B - V) = 0.352\) mag), respectively. In addition, the fundamental parameters given in the literature are listed in Table 1 for both clusters.

In the current study we determined the membership probability of cluster stars, mean proper motions, and distances of the OCs Berkeley 68 and Stock 20 using ground-based \textit{UBV} photometric observations combined with high-precision astrometry and photometry taken from the Gaia DR3 database. We present fundamental parameters, luminosity functions (LFs) and mass functions (MFs), the dynamical state of mass segregation, and kinematic and galactic orbital parameters of the two clusters.

### 2. Observations

CCD \textit{UBV} observations of stars located through the cluster regions of Berkeley 68 and Stock 20 were made on 2018 November 6 and 2016 October 8, respectively. We used the T100 100 cm \textit{f/10} Ritchey-Chrétien telescope at the TÜBİTAK National Observatory (TUG)\(^2\) in Turkey. Images were taken with a back-illuminated 4k × 4k pixel CCD. The image scale is \(0.31\) pixel\(^{-1}\), with a total field of view of \(21''5 \times 21''5\). The CCD gain was \(0.55\) e\(^{-}\)/ADU, while readout noise was \(4.19\) e\(^{-}\) (100 KHz). Identification charts are shown in Figure 1, and a log of observations is listed in Table 2. The shorter exposures were to obtain unsaturated images of the brighter stars, while the longer exposures were aimed at collecting good photometry of the fainter stars. Standard stars of Landolt (2009) were observed on the same nights as the two clusters and used for photometric calibration.

A total of \(16\) Landolt regions were observed over an air-mass range between 1.23 and 1.90, providing a total of \(111\) standard stars (see Table 3). Standard bias subtraction and flat-fielding procedures inside IRAF\(^3\) were applied to all the raw science images. The instrumental magnitudes of the Landolt (2009) stars were calculated using IRAF’s aperture photometry packages. Applying multiple linear regression fits to these magnitudes led to estimates of the photometric extinction and transformation coefficients for the two observing nights. Derived values were listed in Table 4. For the cluster images, we performed astrometric corrections via PyRAF\(^4\) and astrometry.net.\(^5\) Then, we measured the instrumental

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2. www.tug.tubitak.gov.tr
3. IRAF is distributed by the National Optical Astronomy Observatories.
4. PyRAF is a product of the Space Telescope Science Institute, which is operated by AURA for NASA.
5. http://astrometry.net
Figure 1. Identification chart of stars located in regions of (a) Berkeley 68 and (b) Stock 20. The field of view of the charts is $21^\circ.5 \times 21^\circ.5$. North and east correspond to the up and left directions, respectively.

| $E(B-V)$ (mag) | $\mu$ (mag) | $d$ (pc) | [Fe/H] (dex) | $t$ (Myr) | $\langle \mu_x \cos \delta \rangle$ (mas yr$^{-1}$) | $\langle \mu_\alpha \rangle$ (mas yr$^{-1}$) | $V_R$ (km s$^{-1}$) | Ref. |
|----------------|-------------|---------|-------------|---------|---------------------|---------------------|---------------|-----|
| 0.67           | 11.492      | 1800    |             | 1300    | $-5.860 \pm 0.300$   |         |             |     |
| ...            | ...         | ...     |             | ...     | $+2.310 \pm 0.012$   | $-1.312 \pm 0.008$ | ...           | (1) |
| ...            | 3223$^{+1331}_{-786}$ | ...     |             | ...     | $+2.310 \pm 0.012$   | $-1.312 \pm 0.008$ | $-20.31 \pm 1.86$ | (2) |
| ...            | 3223        | ...     |             | ...     | $+2.310 \pm 0.012$   | $-1.312 \pm 0.008$ | ...           |     |
| ...            | 3559$^\pm 608$ | 1450$^\pm 90$ | 2100        | $+2.310 \pm 0.012$ | $-1.312 \pm 0.008$ | ...           |     |
| 0.445          | 12.65       | 3383    | $-0.183 \pm 0.168$ | 2100    | $+2.310 \pm 0.166$   | $-1.312 \pm 0.135$ | $-29.09 \pm 9.30$ | (6) |
| ...            | ...         | 3223    | 2554$\pm 387$ | 1800$\pm 200$ | $+2.29 \pm 0.37$    | $-1.29 \pm 0.24$   | ...           | (7) |
| 0.52$\pm 0.04$ | 13.7$\pm 0.2$ | 2554$\pm 387$ | ...         | 1800$\pm 200$ | $+2.310 \pm 0.012$   | $-1.312 \pm 0.008$ | $-63.64 \pm 16.23$ | (8) |
| ...            | 3261        | 2140    | $+2.310 \pm 0.012$ | $-1.312 \pm 0.008$ | ...           |     |
| ...            | 3356$\pm 225$ | 1300    | $+2.318 \pm 0.056$ | $-1.353 \pm 0.048$ | $-18.61 \pm 0.13$  |     |
| ...            | 12.65       | 3425$\pm 540$ | 2100        | $+2.242 \pm 0.079$ | $-1.393 \pm 0.053$ | ...           | (10) |
| 0.67$\pm 0.06$ | 14.00$\pm 0.12$ | 3027$\pm 118$ | $-0.286 \pm 0.155$ | 1900$\pm 370$ | $+2.357 \pm 0.194$   | $-1.290 \pm 0.133$ | $-21.54 \pm 0.99$ | (11) |
| 0.520$\pm 0.032$ | 14.00$\pm 0.12$ | 3003$\pm 165$ | $-0.13 \pm 0.08$ | 2400$\pm 200$ | $+2.237 \pm 0.007$   | $-1.401 \pm 0.005$ | $-20.31 \pm 1.86$ | (12) |

Table 1

Fundamental Parameters for Berkeley 68 and Stock 20 Derived in This Study and Compiled from the Literature: Color Excesses ($E(B-V)$), Distance Moduli ($\mu$), Distances ($d$), Iron Abundances ([Fe/H]), Age ($t$), Proper-motion Components ($\langle \mu_x \cos \delta \rangle$, $\langle \mu_\alpha \rangle$), and Radial Velocity ($V_R$).

Berkeley 68

| $E(B-V)$ (mag) | $\mu$ (mag) | $d$ (pc) | [Fe/H] (dex) | $t$ (Myr) | $\langle \mu_x \cos \delta \rangle$ (mas yr$^{-1}$) | $\langle \mu_\alpha \rangle$ (mas yr$^{-1}$) | $V_R$ (km s$^{-1}$) | Ref. |
|----------------|-------------|---------|-------------|---------|---------------------|---------------------|---------------|-----|
| 0.20           | 10.271      | 1100    |             | 220     | $-2.560 \pm 3.240$  |         |             |     |
| ...            | ...         | ...     |             | ...     | $-3.237 \pm 0.010$  | $-1.124 \pm 0.011$ | ...           | (1) |
| ...            | 2692$^{+900}_{-571}$ | ...     |             | ...     | $-3.164 \pm 0.258$  | $-0.950 \pm 0.308$ | ...           | (2) |
| 0.352          | 12.07       | 2598    |             | 8$\pm 1$ | $-3.237 \pm 0.010$  | $-1.124 \pm 0.011$ | ...           |     |
| ...            | ...         | ...     |             | 19      | $-3.237 \pm 0.010$  | $-1.124 \pm 0.011$ | ...           |     |
| 0.48$\pm 0.01$ | 12.07       | 2786$\pm 109$ | 19$\pm 1$ | $-3.209 \pm 0.042$ | $-1.217 \pm 0.066$ | ...           |     |
| 0.400$\pm 0.048$ | 13.56$\pm 0.16$ | 2911$\pm 216$ | $-0.01 \pm 0.06$ | 50$\pm 10$ | $-3.215 \pm 0.004$   | $-1.172 \pm 0.004$ | ...           |     |

Stock 20

| $E(B-V)$ (mag) | $\mu$ (mag) | $d$ (pc) | [Fe/H] (dex) | $t$ (Myr) | $\langle \mu_x \cos \delta \rangle$ (mas yr$^{-1}$) | $\langle \mu_\alpha \rangle$ (mas yr$^{-1}$) | $V_R$ (km s$^{-1}$) | Ref. |
|----------------|-------------|---------|-------------|---------|---------------------|---------------------|---------------|-----|
| 0.20           | 10.271      | 1100    |             | 220     | $-2.560 \pm 3.240$  |         |             |     |
| ...            | ...         | ...     |             | ...     | $-3.237 \pm 0.010$  | $-1.124 \pm 0.011$ | ...           | (2) |
| ...            | ...         | ...     |             | ...     | $-3.164 \pm 0.258$  | $-0.950 \pm 0.308$ | ...           |     |
| 0.352          | 12.07       | 2674$\pm 157$ | 19$\pm 1$ | $-3.237 \pm 0.010$ | $-1.124 \pm 0.011$ | ...           |     |
| ...            | ...         | ...     |             | 19      | $-3.237 \pm 0.010$  | $-1.124 \pm 0.011$ | ...           |     |
| 0.48$\pm 0.01$ | 12.07       | 2786$\pm 109$ | 19$\pm 1$ | $-3.209 \pm 0.042$ | $-1.217 \pm 0.066$ | ...           |     |
| 0.400$\pm 0.048$ | 13.56$\pm 0.16$ | 2911$\pm 216$ | $-0.01 \pm 0.06$ | 50$\pm 10$ | $-3.215 \pm 0.004$   | $-1.172 \pm 0.004$ | ...           |     |

References. (1) Kharchenko et al. 2012; (2) Cantat-Gaudin et al. 2018; (3) Soubiran et al. 2018; (4) Liu & Pang 2019; (5) Cantat-Gaudin et al. 2020; (6) Zhong et al. 2020; (7) Maurya & Joshi 2020; (8) Tarricq et al. 2021; (9) Hao et al. 2021; (10) Poggio et al. 2021; (11) Dias et al. 2021; (12) this study.
magnitudes of the objects detected in cluster areas using Source Extractor (SExtractor) and PSF Extractor (PSFEx) routines (Bertin & Arnouts 1996). Aperture corrections were applied to these magnitudes. After this step, we transformed instrumental magnitudes to standard $UBV$ magnitudes considering transformation equations of Janes & Hoq (2011):

\begin{equation}
V = v - \alpha_{bv}(B - V) - k_vX_v - C_{bv}
\end{equation}

\begin{equation}
B - V = \frac{(b - v) - (kb_v - k_v)X_v - (C_b - C_{bv})}{\alpha_{bv} + k'_UX_u - \alpha_{bv} + k'_UX_u}
\end{equation}

\begin{equation}
U - B = \frac{(u - b) - (1 - \alpha_b - k_bX_b)(B - V)}{\alpha_{ub} + k'_UX_u}
\end{equation}

In Equations (1)–(3) $U$, $B$, and $V$ are the magnitudes in the standard photometric system. Parameters $u$, $b$, and $v$ indicate the instrumental magnitudes. $X$ is the air mass. Parameters $k$ and $k'$ represent primary and secondary extinction coefficients, respectively. Parameters $\alpha$ and $C$ are transformation coefficients to the standard system.

### 3. Data Analysis

#### 3.1. Photometric Data

We constructed $UBV$ photometric, Gaia DR3 photometric, and astrometric catalogs for the detected stars in the direction of Berkeley 68 (3548 stars) and Stock 20 (2866 stars). Both catalogs are available electronically\(^6\) and contain the following information for the detected stars: IDs, positions ($\alpha$, $\delta$), $UBV$-based $V$ apparent magnitudes and color indices ($U-B$, $B-V$), Gaia DR3-based apparent $G$ magnitudes and color index ($G_{BP} - G_{RP}$), proper-motion components ($\mu_\alpha \cos \delta$, $\mu_\delta$) along with trigonometric parallaxes ($\pi$), and membership probabilities ($P$) as calculated in this study (Table 5). Inaccuracies for the $V$, $U-B$, $B-V$, $G$, and $G_{BP} - G_{RP}$ magnitudes and color indices were adopted as internal errors. The mean internal photometric errors per $V$ magnitude bin in the Johnson and Gaia DR3 filters are listed in Table 6. This table shows that the $UBV$ errors are smaller than 0.2 mag for Berkeley 68, while the errors reach up to 0.4 mag in Stock 20 for stars fainter than $V = 23$ mag. The Gaia DR3 photometric mean errors are smaller than 0.2 mag for stars down to $V = 23$ mag for both clusters.

Increasingly faint stars are not always able to be reliably detected in CCD frames. This is described as decreasing completeness of the stellar counts as magnitude increases. The photometric completeness limit is necessary for further analyses investigating a cluster’s LF, MF, stellar density distribution, etc. To investigate photometric completeness for both clusters, we constructed $V$ and $G$ magnitude histograms, which we then compared with those based on the Gaia DR3 data for the same regions on the sky as the CCD images. In preparing the Gaia DR3 data, we considered central equatorial coordinates presented by Cantat-Gaudin et al. (2020) and limited stellar magnitudes to the range $8 \, \text{mag} < G < 23 \, \text{mag}$. Distributions of all the stellar counts are shown in Figure 2: the black solid lines indicate the observational stellar distributions by magnitude bin in $V$ and $G$, while the blue solid lines (see in Figures 2(b) and (d)) show the counts based on Gaia DR3. Figures 2(b) and (d) demonstrate that the number of stars detected in the study is well aligned with the stellar counts from Gaia DR3 data up to fainter magnitudes adopted as being the completeness limits (the red vertical arrows in Figures 2(b) and (d)). These limits are $V = 20$ mag for Berkeley 68 and $V = 19$ mag for Stock 20, which corresponds to $G = 20$ and 19 mag, respectively. From Figures 2(b) and (d), one can see that for magnitudes fainter than the adopted completeness limits, stellar counts decrease owing to the increased crowding of low-mass stars. Different telescope–detector combinations and telescope properties of the ground- and space-based observations affect the detected stars particularly at fainter magnitudes. This could explain the greater number of detected stars fainter than $G > 19$ mag in the Gaia space-based observations.

#### 3.2. The Radial Density Profile

Varying stellar distributions at different magnitudes can affect the spatial analysis of a cluster. We adopted central coordinates as given by Cantat-Gaudin et al. (2020) for both

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\(^6\)The complete tables can be obtained from VizieR electronically.
clusters. To construct an RDP, we considered the stars brighter than the photometric completeness limits ($V = 20$ mag for Berkeley 68 and $V = 19$ for Stock 20) and estimated the stellar density in a series of concentric rings centered on the cluster centers within equal incremental steps of $1\arcmin$. To calculate stellar densities ($\rho$), we divided star counts in each ring by that ring’s area. The stellar density uncertainties were derived considering Poisson statistics ($N_1$, where $N$ represents the number of stars used in the density estimation). We applied the empirical RDP of King (1962), $\rho(r) = f_{bg} + \left(\frac{f_0}{1 + (r/r_c)^2}\right)$. Variables $r$, $f_0$, $r_c$, and $f_{bg}$ are the radius from the cluster center, central density, core radius, and background density, respectively. The fitting procedure utilized $\chi^2$ minimization. Figure 3 shows the stellar density distribution by radius from the cluster center based on the best-fit model to the RDP. The values of the central stellar density and core radius of the clusters, together with the background stellar density, were found to be $f_0 = 8.204 \pm 2.008$ stars arcmin$^{-2}$, $r_c = 2.663 \pm 0.616$, and $f_{bg} = 9.139 \pm 0.402$ stars arcmin$^{-2}$ for Berkeley 68 and $f_0 = 27.197 \pm 7.164$ stars arcmin$^{-2}$, $r_c = 0.543 \pm 0.225$, and $f_{bg} = 13.742 \pm 0.222$ stars arcmin$^{-2}$ for Stock 20. It can be seen in Figure 3 that the background density (shown by the horizontal gray band) crosses the RDP model at the limiting radius for both clusters. These limiting radii are adopted as $r_{lim} = 8\arcmin$ (6.99 $\pm$ 0.38 pc) for Berkeley 68 and $r_{lim} = 7.5\arcmin$ (6.35 $\pm$ 0.47 pc) for Stock 20. For subsequent analyses, we considered only the stars inside these limiting radii.

### 3.3. CMDs and Cluster Membership

It is important to remove the field star contamination so that subsequent analysis is on likely cluster member stars only. Due

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**Table 4**

| Filter/Color Index | Obs. Date | $k$          | $k'$         | $\alpha$     | $C$          |
|-------------------|-----------|--------------|--------------|--------------|--------------|
| $U$               | 08.10.2016| 0.308 $\pm$ 0.061 | +0.091 $\pm$ 0.110 | ...           | ...           |
| $B$               |           | 0.151 $\pm$ 0.041 | -0.003 $\pm$ 0.048 | 0.900 $\pm$ 0.073 | 1.444 $\pm$ 0.063 |
| $V$               |           | 0.104 $\pm$ 0.018 | ...           | ...           | ...           |
| $U - B$           |           | ...           | ...           | 0.754 $\pm$ 0.158 | 3.807 $\pm$ 0.089 |
| $B - V$           |           | ...           | ...           | 0.068 $\pm$ 0.009 | 1.391 $\pm$ 0.027 |
| $U$               | 06.11.2018| 0.521 $\pm$ 0.062 | -0.122 $\pm$ 0.069 | ...           | ...           |
| $B$               |           | 0.227 $\pm$ 0.046 | -0.021 $\pm$ 0.052 | 0.932 $\pm$ 0.077 | 1.512 $\pm$ 0.068 |
| $V$               |           | 0.124 $\pm$ 0.018 | ...           | ...           | ...           |
| $U - B$           |           | ...           | ...           | 0.987 $\pm$ 0.102 | 3.718 $\pm$ 0.093 |
| $B - V$           |           | ...           | ...           | 0.073 $\pm$ 0.007 | 1.570 $\pm$ 0.028 |

**Note.** Dates are day-month-year. Here $k$ and $k'$ are the primary and secondary extinction coefficients, while $\alpha$ and $C$ are the transformation coefficients.
Table 5
Catalogs for Berkeley 68 and Stock 20

| ID     | R.A.   | Decl.  | V     | U - B  | B - V  | G     | G_BP - G_RP | μ_α cos δ | μ_δ  | w    | P  |
|--------|--------|--------|-------|--------|--------|-------|-------------|------------|------|------|----|
|        | (hh:mm:ss.s) | (dd:mm:ss.s) | (mag) | (mag)  | (mag)  | (mag) | (mag)       | (mas yr⁻¹) | (mas yr⁻¹) | (mas) |    |
| Berkeley 68 |         |        |       |        |        |       |             |            |      |      |    |
| 0001   | 04:43:31.37 | +42:10:45.82 | 19.531 (0.022) | ... | 1.013 (0.031) | 19.078 (0.004) | 1.370 (0.067) | 0.895 (0.451) | −0.600 (0.294) | 0.561 (0.343) | 0.00 |
| 0002   | 04:43:31.48 | +42:07:57.25 | 20.536 (0.054) | ... | 1.526 (0.097) | 19.381 (0.004) | 2.048 (0.088) | −1.624 (0.617) | −5.824 (0.391) | 1.114 (0.472) | 0.00 |
| 0003   | 04:43:31.50 | +42:00:22.73 | 19.561 (0.024) | ... | 1.072 (0.035) | 18.880 (0.004) | 1.642 (0.047) | −0.140 (0.328) | 1.851 (0.227) | 0.162 (0.255) | 0.00 |
| 0004   | 04:43:31.50 | +42:12:49.47 | 18.189 (0.008) | 0.572 (0.041) | 1.108 (0.012) | 17.887 (0.003) | 1.465 (0.025) | 0.880 (0.163) | 0.414 (0.124) | 0.523 (0.118) | 0.04 |
| ...    | ...     | ...     | ...   | ...    | ...    | ...   | ...         | ...        | ...      | ...   | ... |
| 3545   | 04:45:23.12 | +42:08:49.52 | 19.181 (0.016) | ... | 1.181 (0.024) | 18.558 (0.003) | 1.658 (0.042) | 1.117 (0.208) | −1.758 (0.173) | 0.257 (0.177) | 0.00 |
| 3546   | 04:45:23.17 | +42:04:39.92 | 19.362 (0.018) | ... | 1.442 (0.030) | 18.718 (0.003) | 1.732 (0.048) | 0.734 (0.313) | −3.046 (0.222) | 0.720 (0.222) | 0.00 |
| 3547   | 04:45:23.27 | +42:03:11.99 | 20.438 (0.046) | ... | 1.267 (0.071) | 19.767 (0.005) | 1.543 (0.080) | 1.602 (0.553) | −1.178 (0.451) | 0.337 (0.476) | 0.00 |
| 3548   | 04:45:23.32 | +42:11:02.03 | 19.068 (0.014) | 0.591 (0.081) | 1.092 (0.021) | 18.651 (0.003) | 1.475 (0.040) | 0.701 (0.212) | −1.410 (0.184) | 0.537 (0.185) | 0.00 |
| Stock 20 |         |        |       |        |        |       |             |            |      |      |    |
| 0001   | 00:23:44.36 | +62:39:25.57 | 18.724 (0.015) | 0.666 (0.069) | 1.185 (0.025) | 18.086 (0.003) | 1.768 (0.019) | −1.646 (0.090) | −0.562 (0.099) | 0.237 (0.099) | 0.01 |
| 0002   | 00:23:44.65 | +62:42:56.70 | 17.956 (0.008) | 0.796 (0.041) | 1.212 (0.014) | 17.213 (0.003) | 1.956 (0.017) | −1.380 (0.060) | −0.673 (0.068) | 0.233 (0.061) | 0.00 |
| 0003   | 00:23:44.71 | +62:44:58.57 | 15.917 (0.002) | 0.396 (0.006) | 0.914 (0.003) | 16.340 (0.003) | 1.258 (0.035) | −5.383 (0.080) | −3.671 (0.080) | 0.603 (0.080) | 0.00 |
| 0004   | 00:23:45.14 | +62:28:14.77 | 22.509 (0.436) | ... | 0.122 (0.505) | 20.623 (0.008) | 2.485 (0.124) | 3.072 (0.882) | −2.728 (0.802) | 0.108 (0.789) | 0.00 |
| ...    | ...     | ...     | ...   | ...    | ...    | ...   | ...         | ...        | ...      | ...   | ... |
| 2863   | 00:26:43.22 | +62:44:26.22 | 20.068 (0.047) | ... | 0.601 (0.061) | 18.890 (0.003) | 1.750 (0.068) | −1.349 (0.151) | −0.258 (0.178) | −0.064 (0.172) | 0.00 |
| 2864   | 00:26:44.34 | +62:44:48.44 | 18.878 (0.017) | 0.596 (0.073) | 1.158 (0.028) | 18.281 (0.003) | 1.593 (0.033) | −2.915 (0.101) | 0.304 (0.112) | 0.223 (0.112) | 0.00 |
| 2865   | 00:26:44.57 | +62:38:22.89 | 19.851 (0.038) | ... | 1.335 (0.068) | 19.154 (0.004) | 1.728 (0.053) | −2.490 (0.173) | −1.053 (0.211) | 0.087 (0.191) | 0.01 |
| 2866   | 00:26:43.67 | +62:46:03.67 | 20.590 (0.088) | ... | 1.710 (0.180) | 19.774 (0.004) | 2.155 (0.098) | 0.796 (0.296) | 0.725 (0.308) | −0.105 (0.308) | 0.00 |

Note. The complete table can be found electronically.
(This table is available in its entirety in machine-readable form.)
to their formation from the same source, OC stars share common vectoral movements in the sky. For this reason, study of stellar proper-motion components is an important tool to assess cluster membership for these stars (Yadav et al. 2013; Bisht et al. 2020). In order to specify membership probabilities of stars, various researchers have set up mathematical models and statistical methods that depend on analyses of stellar proper-motion components (Vasilevskis et al. 1958; Stetson 1990; Zhao & He 1990; Balaguер-Nunez et al. 1998).

In the study, we applied the Unsupervised Photometric Membership Assignment in Stellar Clusters (UPMASK; Krone-Martins & Moitinho 2014) method and used Gaia DR3 astrometric data to calculate membership probabilities ($P$) of stars in the regions of Berkeley 68 and Stock 20. This method was successfully applied in various earlier studies to identify OC members (Cantat-Gaudin et al. 2018, 2020; Castro-Ginard et al. 2018, 2019, 2020; Banks et al. 2020; Akbulut et al. 2021; Wang et al. 2022). UPMASK is based on the $k$-means clustering method (where $k$ is the number of data clusters), which considers an open stellar cluster as a group of stars that share a similar space in proper-motion components and parallaxes. The $k$-means algorithm allows detection of spatially concentrated groups, and hence membership groupings of stars are identified. Best results are typically reached when the $k$-means value is within the range 6–25 inclusive. In order to identify likely members of Berkeley and Stock 20, we utilized UPMASK taking into account five-dimensional astrometric data ($\alpha$, $\delta$, $\mu_\alpha\cos\delta$, $\mu_\delta$, $\varpi$) and associated uncertainties for each detected star. We ran 100 iterations for both of the clusters to evaluate membership probabilities. We obtained 298 likely member stars for Berkeley 68 and 107 for Stock 20 when we applied the membership probability $P \geq 0.5$ and the completeness $V$ magnitude limit derived above for both clusters (see Section 3).

The CMDs of stellar clusters are effective tools for estimating fundamental parameters such as age, distance, reddening, etc. They are also helpful for the separation of cluster members from field stars, along with exploring binary-star contamination in cluster main sequences. Therefore, to eliminate possible “contamination” of the observed main sequence by binary stars in Berkeley 68 and Stock 20, we constructed $V \times (B - V)$ CMDs from the stars located inside the limiting radii ($r_{lim}$). Marking most probable cluster members ($P \geq 0.5$) on the diagrams, we fitted a ZAMS curve based on Sung et al. (2013) to the CMDs by eye. Reference was made to probable members that are located at the fainter end of the observed cluster main sequences. To account for main-sequence binary-star contamination, we shifted the ZAMS by 0.75 mag toward brighter magnitudes to ensure that we selected the most probable main-sequence, turnoff, and giant members of each cluster. Hence, considering the completeness-derived $V$ magnitude limits, cluster $r_{lim}$ radii,
and ZAMS fitting, we derived 198 and 51 “physical” members with probability $P \geq 0.5$ for Berkeley 68 and Stock 20, respectively. Only these stars were analyzed in the subsequent estimation of astrophysical parameters by the current paper. Using the UPMASK method, Cantat-Gaudin et al. (2020) obtained 200 and 38 member stars with the cluster membership probability greater than $P \geq 0.7$ and $G$ magnitudes brighter than $G \leq 18$ mag for Berkeley 68 and Stock 20, respectively.

Figure 4. CMDs of (a, b) Berkeley 68 and (c, d) Stock 20 based on (a, c) $UBV$ and (b, d) Gaia DR3 photometry. The blue dashed lines show the ZAMS (Sung et al. 2013) including the binary-star contamination. The membership probabilities of stars are shown with different colors; these member stars are located within $r_{99} = 8'$ and $r_{99} = 7.5'$ of the cluster centers calculated for Berkeley 68 and Stock 20, respectively. The stars with the low membership probabilities are indicated with gray circles.
two clusters (values calculated in the current study are compatible with the
both considering cluster
In the current study we limited the number of member stars
Distribution for the membership probabilities of the stars in the
Berkeley 68 and
Figures 4
m
G
RP
CMDs.
The estimated color excess for Berkeley 68 is in
good agreement with the value E(B − V) = 0.52 ± 0.04 mag
by Maurya & Joshi 2015, 2018
4. Astrophysical Parameters of the Clusters
This section summarizes the procedures for the astrophysical analyses of Berkeley 68 and Stock 20. We determined the reddening and photometric metallicities separately using the TCDs. Keeping these two parameters constant, we obtained the distance moduli and age of the clusters on CMDs simultaneously (for detailed descriptions on the methodology see Yontan et al. 2015, 2019, 2021, 2022; Ak et al. 2016; Bilir et al. 2006, 2010, 2016; Bostanci et al. 2015, 2018).
4.1. Reddening
The separate estimation of the reddening in the direction of the cluster is important to obtain the precise age and distance of the studied cluster. In this study we used (U − B) × (B − V) TCDs to determine color excesses across each cluster. To do this, we selected the most probable main-sequence stars (P ≥ 0.5) within the magnitude ranges 17 mag ≤ V ≤ 19 mag for Berkeley 68 and 12.75 mag ≤ V ≤ 19 mag for Stock 20. We constructed (U − B) × (B − V) diagrams of the most probable member stars and fitted the intrinsic solar-metallicity ZAMS of Sung et al. (2013) to these. For E(B − V) and E(U − B), the ZAMS was shifted along different values of the reddening vector α = E(U − B)/E(B − V) utilizing χ² minimization analyses. In the case of Berkeley 68, the best solution of minimum χ² corresponds to the reddening vector being α = 0.53 and the color excess E(B − V) = 0.520 ± 0.032 mag. For Stock 20 the best values were α = 0.60 for the reddening vector and E(B − V) = 0.400 ± 0.048 mag for the color excess. In Figure 8, we present the TCDs with the best results. The derived errors for the color excesses are the ±1σ deviations. The estimated color excess for Berkeley 68 is in

The general sense of agreement between the two studies. In the current study we limited the number of member stars both considering cluster rlim radius and also restricting the MS to stars with a cluster membership probability P ≥ 0.5. These differences are the likely cause for the different stellar counts between the two studies. Figures 4(a) and (c) (for Berkeley 68 and Stock 20, respectively) are the V × (B − V) diagrams that show the distribution of field stars and the most probable members for each cluster along with the fitted ZAMS. Figures 4(b) and (d) (for Berkeley 68 and Stock 20, respectively) show the location of the most probable cluster members on G × (GBP − GRP) CMDs.

The membership probabilities versus the number of stars detected through the two cluster regions are plotted and presented in Figure 5. To picture the positions of most probable member stars in each cluster, we plotted vector-point diagrams (VPDs), shown as Figure 6. It can be seen in the figure that Berkeley 68 (Figure 6(a)) is more distinctly separated from field stars than Stock 20 is (Figure 6(b)). In Figure 6, the blue dashed lines indicate the mean proper-motion values calculated from the most probable cluster members. These values were estimated as (µα cos δ, µδ) = (2.237 ± 0.007, −1.401 ± 0.005) mas yr⁻¹ for Berkeley 68 and (µα cos δ, µδ) = (−3.215 ± 0.004, −1.172 ± 0.004) mas yr⁻¹ for Stock 20. Mean proper-motion component values calculated in the current study are compatible with the results of all studies performed with Gaia observations for the two clusters (see Table 1). To calculate mean trigonometric parallaxesϖ of each cluster, we constructed the parallax histogram considering most probable cluster members and applied the Gaussian fit to the distribution (Figure 7). During the calculation of ϖ, we considered the stars with a relative parallax error of less than 0.2 to minimize uncertainties. We obtained a mean ϖ of 0.31 ± 0.03 mas and 0.36 ± 0.03 mas for Berkeley 68 and Stock 20, respectively. We also applied the linear equation of d(pc) = 1000/ϖ (mas) to the mean trigonometric parallaxes and obtained parallax distances of dω = 3226 ± 312 pc and dω = 2778 ± 232 pc for Berkeley 68 and Stock 20, respectively. To compare results, we calculated trigonometric parallaxes without limiting the relative parallax error values and obtained a mean ϖ of 0.30 ± 0.09 mas and 0.36 ± 0.03 mas for Berkeley 68 and Stock 20, respectively. It can be observed from the results that the uncertainty of ϖ for Berkeley 68 is getting larger when we consider all relative parallax errors, whereas the results do not change for Stock 20. In Figure 7 red histograms and red dashed lines show the distribution of the most probable stars that were selected without limiting the relative parallax error and Gaussian fit, respectively, whereas the black solid lines present the histograms of stars with relative parallax error of less than 0.2. Gaussian fits are shown with black dashed lines.

Figure 5. Distribution for the membership probabilities of the stars in the (a) Berkeley 68 and (b) Stock 20 regions. The white shading presents the stars that are detected in the cluster areas, while the green shading denotes the stars that lie within the main-sequence band and cluster rlim radii.
4.2. Photometric Metallicity

Applying the method of Karaali et al. (2011) to the TCDs of Berkeley 68 and Stock 20, the photometric metallicity [Fe/H] was determined using the mean UV excess (δ0,6) of the most probable (P ≥ 0.5) F- and G-type main-sequence stars within the color index of 0.3 mag ≤ (B − V)0 ≤ 0.6 mag (Eker et al. 2018, 2020). We calculated dereddened (B − V)0 and (U − B)0 colors of most probable main-sequence stars and limited their (B − V)0 color to the range 0.3 mag ≤ (B − V)0 ≤ 0.6 mag to select F- and G-type main-sequence stars for the analyses. This resulted in the selection of 17 stars for Berkeley 68 and 6 stars for Stock 20. We plotted the (U − B)0 × (B − V)0 TCDs for the selected stars and the Hyades main sequence to compare (U − B)0 colors of the data sets with the same dereddened (B − V)0 color and calculate the differences between the (U − B)0 color indices. These differences are defined as UV excess (δ), which is given by the equation δ = (U − B)0,H − (U − B)0,S, where H and S subscripts represent the Hyades and cluster stars, respectively.

To employ the method detailed in Karaali et al. (2011), we normalized the derived UV excess of the selected F- and G-type stars to the UV excess at (B − V)0 = 0.6 mag (i.e., δ0,6) and calculated the mean δ0,6 via fitting Gaussians to the constructed δ0,6 histograms (Karaali et al. 2003a, 2003b). The peak of the Gaussian gives the mean δ0,6 value, which was determined as δ0,6 = 0.055 ± 0.016 mag for Berkeley 68 and δ0,6 = 0.029 ± 0.015 mag for Stock 20. Here the uncertainty of the mean δ0,6 is the ±1σ standard deviation of the Gaussian fit. The photometric metallicities of studied clusters were determined by evaluating the mean δ0,6 value in the equation given by Karaali et al. (2011):

\[
\frac{\text{[Fe/H]}}{10} = -14.316(1.919)\delta^2_{0,6} - 3.557(0.285)\delta_{0,6} + 0.105(0.039).
\]

\[ (U − B)0 × (B − V)0 \] TCDs and histograms of δ0,6 for the selected stars in two clusters are given in Figure 9. The photometric metallicities estimated from the most likely cluster members are [Fe/H] = −0.13 ± 0.08 for Berkeley 68 and [Fe/H] = −0.01 ± 0.06 dex for Stock 20.

We transformed the photometric metallicity [Fe/H] to the mass fraction z for the selection of isochrones and hence age determination. For transformation between the two parameters we used the analytic equations provided by Bovy,7 which are developed for PARSEC isochrones (Bressan et al. 2012). The relevant equations are

\[
z_x = 10^{\frac{[\text{Fe/H}] + \log(\frac{z_0}{0.248 − 2.78 \times z_0})}{-0.13}}
\]

and

\[
z = \frac{(z_x - 0.2485 \times z_x)}{(2.78 \times z_x + 1)}
\]

Here \(z_x\) and \(z_0\) are intermediate values where solar metallicity \(z_0\) was adopted as 0.0152 (Bressan et al. 2012). We calculated \(z = 0.012\) for Berkeley 68 and \(z = 0.015\) for Stock 20.

4.3. Distance Moduli and Age Estimation

CMDs were constructed using values for the most probable member stars. The morphology of these CMDs allows identification of the main-sequence, turnoff point, and giant members. It leads to a model-based mass, age, and distance for each star (Bisht et al. 2019). In this study we estimated the distance moduli and age simultaneously by fitting PARSEC isochrones of Bressan et al. (2012) to the UBV and Gaia-based CMDs of the two clusters.

[7] https://github.com/jobovy/isodist/blob/master/isodist/Isochrone.py
To determine the distance moduli and age of the clusters, we constructed $V \times (U - B)$, $V \times (B - V)$, and $G \times (G_{\text{BP}} - G_{\text{RP}})$ diagrams and visually fitted the isochrones (Bressan et al. 2012) considering the most probable main-sequence, turnoff, and giant members ($P \geq 0.5$). PARSEC isochrones were selected according to the mass fraction $z$ obtained above (Section 4.2). The $UBV$ data isochrone fitting procedure made reference to $E(B-V)$ values calculated by this study. For Gaia DR3 data we

Figure 7. Gaia DR3-based trigonometric parallax histograms for (a) Berkeley 68 and (b) Stock 20. The red histograms are based on stars selected without limiting the relative parallax error and applied Gaussian fit, whereas the distribution of stars within the 0.2 relative parallax error and applied Gaussian fit are shown in black.

Figure 8. TCDs of the most probable member main-sequence stars in the regions of clusters (a) Berkeley 68 and (b) Stock 20. Red dashed and green solid curves represent the reddened ZAMS given by Sung et al. (2013) and $\pm 1\sigma$ standard deviations, respectively. In the inner panels $\chi^2$ vs. reddening vector values are shown. Dashed blue lines represent the best reddening vector corresponding to $\chi^2_{\text{min}}$. 
used the expression $E(G_{BP} - G_{RP}) = 1.41 \times E(B - V)$ presented in the study of Sun et al. (2021). Error estimations for distance moduli and distances considered a relation given by Carraro et al. (2017). Uncertainties in age were determined using low and high age isochrones whose values fitted the observed scatter about the MS. Figure 10 presents the $V \times (U - B)$, $V \times (B - V)$, and $G \times (G_{BP} - G_{RP})$ CMDs with best-fitting isochrones. The derived distance moduli and ages for the two clusters are given as follows:

1. **Berkeley 68**: Isochrones of different ages ($\log(\text{age}) = 9.34, 9.38$ and $9.41$ with $z = 0.012$) were superimposed onto the $V \times (U - B)$, $V \times (B - V)$, and $G \times (G_{BP} - G_{RP})$ diagrams. Considering only the most probable main-sequence stars, we derived the distance modulus as $\mu_V = 14.00 \pm 0.12$ mag. This leads to an isochrone distance of $d_{\text{iso}} = 3003 \pm 165$ pc. If we apply overweights to the turnoff point and giant member stars of the cluster, the age is estimated as $t = 2.4 \pm 0.2$ Gyr. The derived isochrone distance is compatible with the values given by Cantat-Gaudin et al. (2018), Tarricq et al. (2021), and Dias et al. (2021) within the errors (see Table 1). The isochrone distance of Berkeley 68 is compatible with the Gaia DR3 trigonometric parallax distance value $d_\varpi = 3226 \pm 312$ pc estimated in this study. In addition, considering the estimated age and its error in the study, it can be concluded that the value is compatible with the results given in the studies of Cantat-Gaudin et al. (2018) and Tarricq et al. (2021; see Table 1 for detailed comparison).

2. **Stock 20**: The same methodology was applied to this cluster, using isochrones for $\log(\text{age}) = 7.60, 7.70$, and $7.78$ with $z = 0.015$. The distance modulus is $\mu_V = 13.56 \pm 0.16$ mag, corresponding to an isochrone-based distance of $d_{\text{iso}} = 2911 \pm 216$ pc. Cluster age is $t = 50 \pm 10$ Myr. Because of its young age, we took into account the main-sequence members during distance moduli and age estimation. The derived distance is compatible with the value $d = 2600$ pc given by Buckner & Froebrich (2013), as well as with the results found by most researchers whose results are listed in Table 1. The estimated age of the cluster is lower than the values of
Figure 10. UBV and Gaia-based CMDs for (a–c) Berkeley 68 and (d–f) Stock 20. Membership probabilities of most probable cluster stars are represented with different color scales that are shown on the color bars to the right, whereas field stars are shown with gray circles. The best-fitting PARSEC isochrones and their errors are presented as the blue lines with the surrounding purple shaded areas. Superimposed isochrone ages match to 2.4 Gyr for Berkeley 68 and 50 Myr for Stock 20.
$t = 220$ Myr of Kharchenko et al. (2012) and Hao et al. (2021), whereas it is higher than the value $t = 8 \pm 1$ Myr of Liu & Pang (2019; see Table 1 for detailed comparison). The isochrone distance is in good agreement with the value $d_{\text{iso}} = 2778 \pm 232$ pc obtained by the current study from Gaia DR3 trigonometric parallaxes.

The distances determined from the isochrone fitting for the clusters are similar to those derived from the Gaia DR3 trigonometric parallaxes.

5. Kinematics and Galactic Orbits Parameters

To obtain the galactic orbital parameters for the two clusters, we used the Python-based galactic dynamics library (GALPY; Bovy 2015), in which the potential functions are defined. Orbital integrations utilized MWpotential2014 (Bovy 2015), which assumes an axisymmetric potential for the Milky Way. The MWpotential2014 models a three-component model of the Galaxy consisting of bulge, disk, and halo potentials. The bulge component is described as a spherical power-law density profile represented in Bovy (2015). The galactic disk potential is defined by Miyamoto-Nagai expressions (Miyamoto & Nagai 1975). Considering the spherically symmetric spatial distribution of dark matter in the halo, the halo potential is defined by the Navarro–Frank–White profile (Navarro et al. 1996). For orbital analyses we took into account the parameters that are listed for the three components in Bovy (2015, see Table 1 of that paper). The Sun’s galactocentric distance and orbital velocity were taken as $R_{GC} = 8$ kpc and $V_{rot} = 220$ km s$^{-1}$, respectively (Bovy 2015; Bovy & Tremaine 2012). The Sun’s distance from the Galactic plane was assumed to be $27 \pm 4$ pc (Chen et al. 2000).

For successful integration of the orbit, one of the necessary parameters to know is the radial velocity of the clusters. We adopted the radial velocity value for Berkeley 68 as calculated by Soubiran et al. (2018), but we did not have a corresponding value for Stock 20. Therefore, we estimated the velocity components and galactic orbital parameters for only Berkeley 68. To do this, we used the MWpotential2014 code with the following inputs: the central equatorial coordinates ($\alpha = 0^h 43^m 13^s$, $\delta = +42^\circ 08' 02''$) adopted from Cantat-Gaudin et al. (2020), the mean proper-motion components ($\mu_\alpha \cos \delta$, $\mu_\delta$ = 2.237 $\pm$ 0.007, $-1.401 \pm 0.005$ mas yr$^{-1}$) obtained in Section 3.3, isochrone distance ($d_{\text{iso}} = 3003 \pm 165$ pc) from Section 4.3, and the radial velocity ($V_r = -20.31 \pm 1.86$ km s$^{-1}$) given by Soubiran et al. (2018). See also Table 7.

We integrated the orbit forward and backward in time with 1 Myr steps up to the age 2.5 Gyr in order to estimate its possible current location and birthplace. We did not integrate the orbit more than the cluster age given that inaccuracies of the used potentials depend on time and so influence the reliability of the kinematic and dynamic analyses results. Additional errors of the input parameters (proper-motion components, distance, and radial velocity) will affect the orbit determination, so the results are approximate (Sariya et al. 2021; Tarricq et al. 2021).

Orbital integration resulted in the following estimates for Berkeley 68: apogalactic ($R_a = 10901 \pm 149$ pc) and perigalactic ($R_p = 8893 \pm 34$ pc) distances, eccentricity ($e = 0.101 \pm 0.005$), maximum vertical distance from the Galactic plane ($Z_{\text{max}} = 470 \pm 33$ pc), space velocity components ($U, V, W = +7.79 \pm 2.41$ km s$^{-1}$, $-40.27 \pm 1.31$ km s$^{-1}$, 11.92 $\pm$ 0.65 km s$^{-1}$), and orbital period ($P_{\text{orb}} = 284 \pm 3$ Myr). Soubiran et al. (2018) used Gaia DR2 (Gaia Collaboration et al. 2018) astrometric data, finding the space velocity components of Berkeley 68 to be $(U, V, W) = (6.97 \pm 1.78$ km s$^{-1}$, $-42.45 \pm 0.92$ km s$^{-1}$, 14.46 $\pm$ 0.32 km s$^{-1}$), which is in good agreement with the values calculated in this study. We applied a local standard of rest (LSR) correction to the $(U, V, W)$ space velocity components considering the values (8.83 $\pm$ 0.24, 14.19 $\pm$ 0.34, 6.57 $\pm$ 0.21) km s$^{-1}$ of Coşkunoğlu et al. (2011). The LSR-corrected space velocity components are $(U, V, W)_{\text{LSR}} = (16.62 \pm 2.42, -26.08 \pm 1.35, 18.49 \pm 0.69)$ km s$^{-1}$. Using these LSR-corrected space velocities, we calculated the total space velocity $S_{\text{SR}} = 36.03 \pm 2.85$ km s$^{-1}$. This result is in agreement with the velocity of young thin-disk stars (Leggett 1992).

According to the results, Berkeley 68 intersects the Galactic plane around eight times during its orbital period, rising up to maximum $Z_{\text{max}} = 470 \pm 33$ pc above the Galactic plane.
indicates that Berkeley 68 belongs to the thin-disk component of the Galaxy. The orbit of the cluster is slightly eccentric with the value of $e = 0.101 \pm 0.005$. The birth radius of Berkeley 68 is calculated as $10.16^{+0.71}_{-0.65}$ kpc (see Figure 11(b)). This radius is outside the solar circle, which shows that Berkeley 68 was born in a metal-poor region. Investigating $R_p$ and $R_a$ distances, we can conclude that Berkeley 68 completely orbits outside the solar circle (see Figure 11(a)).

Berkeley 68’s orbits on the $Z \times R_{GC}$ and $R_{GC} \times t$ planes are represented in Figure 11. The motion of Berkeley 68 is shown as a function of distance from the Galactic center and the Galactic plane as the “side view” of Figure 11(a). The red arrow shows the direction of motion for Berkeley 68. Yellow filled circles are the present-day location of the cluster (Figures 11(a) and (b)), whereas the yellow filled triangle represents the birth position of the cluster (Figures 11(b)). Figure 11(b) represents the distance of the cluster on the Galactic plane with time. The pink and green dotted lines show the cluster movement in time when the upper and lower errors in proper motion, radial velocity, and distance are considered. The pink and green filled triangles represent the position of the cluster’s birthplace. As can be seen from Figure 11(b), different input parameters can cause the estimated birth locations of clusters to change. In the $R_{GC} \times t$ plane of figure Berkeley 68 has an uncertainty of about 1.5 kpc for its possible birthplace.

We interpreted that the relative errors in $R_p$ and $R_a$ do not exceed 2%, whereas the relative error for $Z_{max}$ parameter is about 7%, which lends us confidence that the orbital solutions are reasonable.

6. Dynamical Study of the Clusters

6.1. Luminosity Functions

The LFs and MFs of OCs are related via well-known mass–luminosity relationships. The LF for an OC is described as the
distribution of the relative number of main-sequence members in different absolute magnitude ranges. To measure the LFs of the studied clusters, we considered main-sequence stars with membership probability $P > 0$ located inside the previously obtained limiting radii of the clusters. For Berkeley 68, apparent magnitudes of selected main-sequence stars inside the $r_{lim} = 8'$ radius lie within the range 16.75 mag $\leq V \leq 20$ mag, and for Stock 20 apparent magnitudes of selected stars located inside the $r_{lim} = 7.5'$ radius are within the range 13 mag $\leq V \leq 17$ mag. We converted apparent $V$ magnitudes of the selected stars to their absolute $M_V$ magnitudes using the distance modulus expression $M_V = V - 5 \log d + 3.1 \times E(B - V)$. $V$, $d$, and $E(B - V)$ are apparent magnitude, isochrone distance, and color excess, respectively, as previously estimated for each cluster; see also Table 7. Via these calculations, we achieved the 2 mag $\leq M_V < 6$ mag and $-1$ mag $\leq M_V < 4$ mag absolute magnitude ranges of the selected stars for Berkeley 68 and Stock 20, respectively. The resulting LF histograms were constructed with a bin width of 1 mag and are presented in Figure 12 for each cluster. It can be seen from the figure that the LFs rise up to around $M_V = 3.5$ mag for Berkeley 68 (Figure 12(a)) and $M_V = 2.5$ mag for Stock 20 (Figure 12(b)).

6.2. Mass Functions

The initial mass function (IMF) is an empirical function that defines the initial distribution of masses per unit volume for a population of stars at the time of their formation. Investigating the IMF is useful to understand the ensuing evolution of the cluster. However, a direct determination of the IMF is difficult owing to the evolution of cluster stars that takes place at different rates for different stellar masses (Joshi 2022). From the present distribution of stellar masses we can measure the present-day mass function (PDMF) for the clusters. The PDMF is associated with the LF according to a mass–luminosity relation and is expressed by the following equation:

$$\log(dN/dM) = -(1 + \Gamma) \times \log M + \text{constant.} \quad (7)$$  

Here $dN$ is the number of stars per unit mass $dM$, the central mass is designated as $M$, and $\Gamma$ is the slope of the PDMF.

To obtain PDMFs, we considered the PARSEC isochrones according to the ages and metallicity fractions ($Z$) obtained above for the clusters. Using these isochrones, we transformed the LF into the PDMF, through application of the theoretical models of Bressan et al. (2012), which produced a high-degree polynomial equation between the $V$-band absolute magnitudes and masses. Using this absolute magnitude–mass relation, we converted the observational absolute magnitudes $M_V$ to masses. The number of stars with membership probability $P > 0$ used in transformation processes is 273 for Berkeley 68 and 75 for Stock 20. The mass ranges of these stars were determined within $1 \leq M/M_\odot \leq 1.4$ for Berkeley 68 and $1.3 \leq M/M_\odot \leq 4.1$ for Stock 20. Using Equation (7), we computed the PDMF slope values as $\Gamma = 1.38 \pm 0.71$ for Berkeley 68 and

![Figure 12. The LFs based on selected stars for (a) Berkeley 68 and (b) Stock 20.](image-url)
\[ \Gamma = 1.53 \pm 0.39 \] for Stock 20. PDMFs for both clusters are shown in Figure 13.

### 6.3. The Dynamical State of Mass Segregation

Mass segregation could occur at cluster formation. Hence, investigation of mass segregation in young clusters could give insights into the process of cluster formation (Sagar et al. 1988; Fischer et al. 1998; Raboud & Mermilliod 1998; Alcock & Parker 2019). Interactions between cluster stars can cause energy transfer from high-mass stars to low-mass stars. This leads to more massive stars gradually moving toward the cluster center, while lower-mass stars move toward the cluster halo, i.e., mass segregation (see, e.g., Hillenbrand & Hartmann 1998; Baumgardt & Makino 2003; Dib & Henning 2019; Bisht et al. 2020; Pavlík & Vesperini 2022). This energy exchange enables kinetic energy equipartition, leading to the velocity distribution of cluster stars conforming to a Maxwellian distribution. The timescale at which this duration occurs is defined as relaxation time \( T_E \). It is related to dynamical evolution of the cluster. Relaxation time is defined by the following expression given by Spitzer & Hart (1971):

\[
T_E = \frac{8.9 \times 10^5 N^{1/2} R_h^{3/2}}{(m)^{1/2} \log(0.4N)}
\]  

where \( N \) is the number of considered stars, \( R_h \) is the half-mass radius (in parsecs) of the cluster, and \( (m) \) is the average mass of the stars.

The stars used in this study’s calculations of relaxation times were selected by limiting their mean proper-motion and trigonometric parallax values as per Section 3.3. Stars were selected by defining a 1 mas yr\(^{-1}\) circular radius centered around the mean cluster proper-motion values, together with considering the upper and lower errors of trigonometric parallax for each cluster. With these limitations, 137 stars in Berkeley 68 and 54 stars in Stock 20 were within the probabilities \( P > 0 \) in the mass range \( 0.08 < M/M_\odot \leq 1.56 \) and \( 0.75 < M/M_\odot \leq 7 \), respectively. The total mass of Berkeley 68 and Stock 20 was estimated to be \( M = 180.73 \, M_\odot \) and \( M = 118.42 \, M_\odot \), respectively. This means that the average stellar mass is \( (m) = 1.32 \, M_\odot \) for Berkeley 68 and \( (m) = 2.19 \, M_\odot \) for Stock 20. Half-mass radii are \( R_h = 3.39 \) pc for Berkeley 68 and \( R_h = 3.66 \) pc for Stock 20. The dynamical relaxation time was estimated as \( T_E = 32.55 \) Myr for Berkeley 68 and \( T_E = 23.17 \) Myr for Stock 20. We concluded that both clusters are dynamically relaxed owing to the derived \( T_E \) ages being younger than the present ages of two clusters as estimated in the current study (see Table 7).

To understand the impact of the mass segregation effect in the two clusters, we divided the masses of selected stars into...
three ranges containing an equal number of stars. These ranges are $0.08 < M/M_\odot \leq 1.23$ (high mass), $1.23 < M/M_\odot \leq 1.45$ (intermediate mass), and $1.45 < M/M_\odot \leq 1.56$ (low mass) for Berkeley 68 and $2.10 < M/M_\odot \leq 7$, $1.49 < M/M_\odot \leq 2.10$, and $0.75 < M/M_\odot \leq 1.49$ for Stock 20. The normalized cumulative radial distributions of stars in these different mass ranges are shown in Figure 14. Figure 14(a) exhibits that the radial stellar distributions are similar for all three mass groups for Berkeley 68. Hence, we suggest that the mass segregation is not occurring in Berkeley 68 (Figure 14(a)), while Stock 20 shows a mass segregation effect due to the massive stars being more centrally concentrated than the intermediate- and low-mass stars (Figure 14(b)). We utilized the Kolmogorov–Smirnov (K-S) test, finding the confidence level for a mass segregation effect to be 62% for Berkeley 68 and 97% for Stock 20.

7. Summary and Conclusion

We carried out a detailed study of the two OCs Berkeley 68 and Stock 20. Analyses were based on CCD $UBV$ and Gaia DR3 photometric data, together with Gaia DR3 astrometry. Determination of fundamental and kinematic parameters was made using only stars with a cluster membership probability $P \geq 0.5$. The main outcomes of the study are as follows:

1. RDP fitting to the stellar density distribution determined the cluster limiting radii as $r_{\text{lim}} = 8\,\text{arcmin}$ ($6.99 \pm 0.38$ pc) for Berkeley 68 and $r_{\text{lim}} = 7\,\text{arcmin}$ ($6.35 \pm 0.47$ pc) for Stock 20. These radii are where the stellar densities match those of the surrounding fields about each cluster. Only stars inside these limiting radii were considered to be potential members of the clusters and subsequently used to derive fundamental cluster parameters.

2. The most probable members of each cluster were selected as follows. First, calculation of the membership probabilities was made considering Gaia DR3 astrometric data and their errors ($\mu_\alpha \cos \delta$, $\mu_\delta$, $\varpi$). Account was taken of the contamination of the main sequence by binary stars. This was by fitting the ZAMS to $V \times (B - V)$ CMDs with an additional shift of 0.75 mag in the $V$ band. We identified 198 stars for Berkeley 68 and 51 stars for Stock 20 as probable members (with the cluster membership probability $P \geq 0.5$).

3. Mean proper-motion components were derived as $(\mu_\alpha \cos \delta, \mu_\delta) = (2.237 \pm 0.007, -1.401 \pm 0.005)$ mas yr$^{-1}$ for Berkeley 68 and as $(\mu_\alpha \cos \delta, \mu_\delta) = (-3.215 \pm 0.004, -1.172 \pm 0.004)$ mas yr$^{-1}$ for Stock 20. The mean trigonometric parallaxes were estimated as $\varpi = 0.31 \pm 0.03$ mas for Berkeley 68 and $\varpi = 0.36 \pm 0.03$ mas for Stock 20. These correspond to distances of $d_\text{tot} = 3226 \pm 312$ pc and $d_\text{tot} = 2778 \pm 232$ pc, respectively.

4. Reddening and photometric metallicities were estimated separately for each cluster from $UBV$ data based TCDs (using most probable main-sequence stars). The reddening and photometric metallicity for Berkeley 68 are $E$
\( (B - V) = 0.520 \pm 0.032 \text{ mag} \) and \( [\text{Fe/H}] = -0.13 \pm 0.08 \text{ dex} \), respectively. The corresponding values for Stock 20 are \( E(B - V) = 0.400 \pm 0.048 \text{ mag} \) and \( [\text{Fe/H}] = -0.01 \pm 0.06 \text{ dex} \). To derive the distance moduli and ages, we transformed \([\text{Fe/H}]\) to mass fraction \( z \). These are \( z = 0.012 \) for Berkeley 68 and \( z = 0.015 \) for Stock 20. This is the first time that metallicity has been calculated for either cluster.

5. Distance moduli and ages were derived by fitting PARSEC isochrones to the clusters’ \( UBV \) and Gaia EDR3-based CMDs. The isochrones were selected for metallicities calculated in the immediately previous step. During this step, we kept reddening and metallicity as constants. The distance modulus of Berkeley 68 was found to be \( \mu_V = 14.00 \pm 0.12 \text{ mag} \). The cluster’s distance and age were found to be \( d_{\text{iso}} = 3003 \pm 165 \text{ pc} \) and \( t = 2400 \pm 200 \text{ Myr} \), respectively. For Stock 20 the corresponding values are \( \mu_V = 13.56 \pm 0.16 \text{ mag} \), \( d_{\text{iso}} = 2911 \pm 216 \text{ pc} \), and \( t = 50 \pm 10 \text{ Myr} \). It can be concluded that for both clusters the isochrone fitting distances are compatible with the distances calculated via trigonometric parallaxes \( (d_p) \) from Gaia DR3.

6. A radial velocity measurement was available from the literature for Berkeley 68 but not for Stock 20. We therefore evaluated galactic orbit parameters and space velocities only for this cluster. We found that Berkeley 68 belongs to the thin-disk component, orbiting outside the solar circle.

7. We concluded from the orbital integration of Berkeley 68 (the previous step) that the cluster was born outside the solar circle at the birth radius \( 10.16 \pm 0.65 \text{ kpc} \) from the Galactic center and therefore came from a comparatively metal-poor region of the Milky Way.

8. The PDMF slopes of Berkeley 68 and Stock 20 were estimated as \( \Gamma = 1.38 \pm 0.71 \) and \( \Gamma = 1.53 \pm 0.39 \), respectively. Both of these values are in good agreement with the value of 1.35 given by Salpeter (1955).

9. Statistical evidence of mass segregation was noticed for Stock 20, with the K-S test indicating a 97% confidence level.

10. Calculation of the relaxation times for each cluster indicates that both clusters are dynamically relaxed: their estimated ages are larger than the relaxation times.

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Software: IRAF (Tody 1986, 1993), PyRAF (Science Software Branch at STScI 2012), SExtractor (Bertin & Arnouts 1996), Astrometry.net (Lang et al. 2010), GALPY (Bovy 2015), MWPotential2014 (Bovy 2015).

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\(^9\) https://www.cosmos.esa.int/gaia

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