Surgery or Peroral Esophageal Myotomy for Achalasia
A Systematic Review and Meta-Analysis
Luigi Marano, MD, PhD, Giovanni Pallabazzer, MD, Biagio Solito, MD, Stefano Santi, MD, Alessio Pigazzi, MD, PhD, Raffaele De Luca, MD, Francesco Giuseppe Biondo, MD, Alessandro Spaziani, MD, Maurizio Longaroni, MD, Natale Di Martino, MD, Virginia Boccardi, MD, PhD, and Alberto Patriti, MD, PhD

Abstract: To date very few studies with small sample size have compared peroral esophageal myotomy (POEM) with the current surgical standard of care, laparoscopic Heller myotomy (LHM), in terms of efficacy and safety, and no recommendations have been proposed.

To investigate the efficacy and safety of POEM compared with LHM, for the treatment of achalasia.

The databases of Pubmed, Medline, Cochrane, and Ovid were systematically searched between January 1, 2005 and January 31, 2015, with the medical subject headings (MeSH) and keywords “achalasia,” “POEM,” “per oral endoscopic myotomy,” and “peroral endoscopic myotomy,” “laparoscopic Heller myotomy” (LHM), “Heller myotomy.”

All types of study designs including adult patients with diagnosis of achalasia were selected. Studies that did not report the comparison between endoscopic and surgical treatment, experimental studies in animal models, single case reports, technical reports, reviews, abstracts, and editorial were excluded.

The total number of included patients was 486 (196 in POEM group and 290 in LHM group).

There were no differences between POEM and LHM in reduction in Eckardt score (MD = −0.659, 95% CI: −1.70 to 0.38, P = 0.217), operative time (MD = −0.354, 95% CI: −1.12 to 0.41, P = 0.36), postoperative pain scores (MD = −1.86, 95% CI: −5.17 to 1.44, P = 0.268), analgesic requirements (MD = −0.74, 95% CI: −2.65 to 1.16, P = 0.445), and complications (OR = 1.11, 95% CI: 0.5–2.44, P = 0.796). Length of hospital stay was significantly lower for POEM (MD = −0.629, 95% CI: −1.256 to −0.002, P = 0.049). There was a trend toward significant reduction in symptomatic gastroesophageal reflux rate in favor of LHM compared to POEM group (OR = 1.81, 95% CI: 1.11–2.95, P = 0.017).

All included studies were not randomized. Furthermore all selected studies did not report the results of follow-up longer than 1 year and most of them included patients who were both treatment naive and underwent previous endoscopic or surgical interventions for achalasia.

POEM represents a safe and efficacy procedure comparable to the safety profile of LHM for achalasia at a short-term follow-up. Long-term clinical trials are urgently needed.

Abbreviations: ACROBAT-NRSI = A Cochrane risk of bias assessment tool for nonrandomized studies of interventions, CI = confidence interval, EGJ = esophagogastric junction, GER = gastroesophageal reflux, GERD = Gastroesophageal Reflux Disease, GerdQ = Gastroesophageal Reflux Disease Questionnaire, LES = lower esophageal sphincter, LHM = laparoscopic Heller myotomy, MeSH = medical subject headings, OR = odds ratio, POEM = peroral esophageal myotomy, PRISMA = Preferred Reporting Items for Systematic Review and Meta-Analysis, SD = standard deviation.

INTRODUCTION

Achalasia is a disorder of esophageal motility characterized by an incomplete or absent esophagogastric junction (EGJ) relaxation associated with loss of peristalsis or uncoordinated contractions of the esophageal body in response to swallowing. The subsequent functional EGJ outflow obstruction and ineffective food bolus transit result in progressively severe dysphagia for liquids and solids, and can additionally cause nonacid regurgitation, aspiration, chest pain, weight loss, and eventually an irreversible dilation of the esophageal body.4–6 All the current available therapeutic interventions have palliative intent and seek to abolish the EGJ pressures in order to facilitate passive transit of food boluses into the stomach improving the symptoms related to esophageal stasis.4–6 Although over the years pharmacotherapy (calcium channel antagonists, nitrates) and endoscopic injection of botulinum toxin have been favored as possible treatment modalities, the current standard of care include either endoscopic pneumatic dilation or surgical myotomy (laparoscopic Heller myotomy (LHM)) across the EGJ. A recent randomized trial found these 2 treatment options to have similar efficacy in terms of
symptom relief at 2-year follow-up.\textsuperscript{5,7} Considerable evidence exists, however, that LHM provides the most durable symptoms improvement\textsuperscript{6,9} without the need for repeated and multiple interventions to maintain this effect, contrarily to what is often necessary with endoscopic pneumatic dilation.\textsuperscript{5–8}

In 2010, Inoue et al\textsuperscript{10} introduced the peroral esophageal myotomy (POEM), a novel procedure for the treatment of achalasia that combined peculiarities of both endoscopic dilation (no skin incisions, decreased pain, and less blood loss) and LHM (durable surgical myotomy and single procedure). Since then, several single and multicenter institution POEM case series have demonstrated excellent results in terms of symptomatic relief and improvement in EGJ physiology at short-term follow-up, confirming the feasibility of this technique for the treatment of achalasia.\textsuperscript{11–13} Nevertheless, very few studies with small sample size have compared POEM with the current surgical standard of care, LHM, in terms of efficacy and safety, and no recommendations have been proposed. Therefore, a systematic review and meta-analysis was conducted to investigate if there is a significant difference in efficacy and safety between POEM and LHM for the treatment of esophageal achalasia in the adult patients.

**METHODS**

**Searches**

We planned and performed this systematic review and meta-analysis in accordance with Preferred Reporting Items for Systematic Review and Meta-analysis (PRISMA) statement\textsuperscript{14} and Cochrane Handbook for Systematic Reviews of Intervention.\textsuperscript{15} We critically assessed the reporting quality of the study according to the PRISMA 2009 checklists.\textsuperscript{16} A literature search in Pubmed, Medline, Cochrane, and Ovid databases of all articles published, between January 1, 2005 and January 31, 2015 with the medical subject headings (MeSH) and keywords “achalasia,” “POEM,” “per oral endoscopic myotomy,” and “laparoscopic Heller myotomy,” “Heller myotomy” was independently carried out by two investigators. The key words were used in all possible combinations to obtain the maximal number of articles.

**Selection**

The articles were then screened for the presence of the following inclusion criteria: adult patients with diagnosis of achalasia. All types of study designs were included. Studies that did not report the comparison between endoscopic (POEM) and surgical (LHM) treatment, experimental studies in animal models, single case reports, technical reports, reviews, abstracts, and editorials were excluded. There were no language restrictions in the selection. The bibliography of all selected articles was hand searched to identify additional articles that met our inclusion criteria. In cases where multiple publications were available with increasing number of patients or longer follow-up for the same group, only data from the most recent article were used for statistical analysis.

**Data Extraction**

Two of the authors (LM and AP) independently reviewed the formal published versions of all eligible studies for content and screened them according to the specified inclusion criteria using a data extraction form based on the Cochrane Consumers and Communication Review Group’s data extraction template.\textsuperscript{15} Disagreements were resolved by discussion between the 2 review authors; if no agreement could be reached, it was planned a third author which would decide.

The following data were recorded: type of study design, mono- or multicentricity, country of origin, year of publication, number of patients, median/mean patient age, gender distribution, preoperative Eckardt score, procedural time (in minutes), intra- and postoperative complications, postoperative pain score, postoperative analgesic dose (mg of morphine equivalents), days of hospitalization, follow-up duration (in months), postoperative Eckardt score and postprocedure symptomatic gastroesophageal reflux (GER).

The ethical approval was not necessary for this type of article.

**Summary Measures**

The primary outcome measure was the mean difference in reducing Eckardt score comparing POEM to LHM. The mean difference in procedure time, length of hospital stay, postoperative pain score and analgesic requirements, as well as the odds ratio (OR) of complications and postprocedure symptomatic GER comparing POEM to LHM was investigated as a secondary analysis.

**Risk of Bias Assessment**

A Cochrane risk of bias assessment tool for nonrandomized studies of interventions (ACROBAT-NRSI) was individually applied to all selected studies. The risk of bias of each study was rated as “critical risk,” “serious risk,” “moderate risk,” “low risk,” or “no information” according to the match level between information extracted and evaluation criteria.\textsuperscript{15}

**Statistical Analysis**

Effect sizes for numerical variables were expressed as difference in means with 95% confidence interval (CI); while that of categorical data were expressed as OR with 95% CI. Whenever data in individual studies were expressed as a median and range, they were converted to estimated mean ± standard deviation (SD) before analysis using “Estimation of a sample’s mean and variance from its median and range software” (VassarStats: Website for Statistical Computation, www.vassarstats.net, Richard Lowry, Poughkeepsie, NY) on the basis of the sample’s reported median and range according to the method devised by Hozo et al.\textsuperscript{17} A pre–post design was used for evaluating the outcomes after POEM and LHM in the same groups. Due to the small numbers of studies, we tested for heterogeneity between-study with the I\textsuperscript{2} measure.\textsuperscript{15} Percentages of around 25% (I\textsuperscript{2} = 25), 50% (I\textsuperscript{2} = 50), and 75% (I\textsuperscript{2} = 75) were considered at low, moderate, and high heterogeneity, respectively. A \(\chi^2\) based Q-test was also performed to check between-study heterogeneity. When an I value higher than 0.1 indicated moderate heterogeneity between the studies the effect size for each study was calculated by the random-effect model DerSimonian–Laird approach.\textsuperscript{15} Alternatively a fixed-effect model was run. Publication bias was evaluated and quantified by the Egger test. With regard to outcomes when significant heterogeneity existed across studies, sensitivity analysis was performed by sequentially omitting each study to test the influence of each individual study on pooled data. In case of overlapped patients between the studies we tried to contact the corresponding authors to obtain the raw data. Otherwise the patients were excluded from the ultimate analysis. We did not produce the Funnel plot to test the
publication bias due to the limited number (below 10) of studies included in each analysis. All analyses were performed using Comprehensive Meta-analysis software (Version 3.3.070, November 21, 2014).

RESULTS

Study Selection and Characteristics

The initial search produced 283 studies, of which 192 were selected for eligibility assessment after exclusion of duplicates. After detailed evaluation, 11 studies fulfilled criteria for eligibility. One hundred eighty-one studies that were not related to the comparison between endoscopic (POEM) and surgical (LHM) treatment were excluded. Of the remaining 11 records, 7 fulfilled criteria for inclusion in the meta-analysis. Among the 4 excluded studies, 2 were reviews and 2 were studies performed in children with esophageal achalasia.

The included retrospective case–control studies concerned different cohorts of patients published between 2013 and 2015, except for 2 studies in which the same group may overlap.

Operative Time and Hospital Stay

No significant difference between POEM and LHM was found regarding the operative time (MD = −0.354, 95% CI: −1.12 to 0.41, P = 0.36) (Figure 2). A random-effect analysis model was run due to significant heterogeneity between studies (I² = 82.1%, P = 0.001). The same studies were pooled in the analysis as regard the length of hospital stay, resulting in a lower hospital time of POEM compared with LHM (MD = −0.629, 95% CI: −1.256 to −0.002, P = 0.049) (Figure 3). Significant heterogeneity was found among the included studies (I² = 71.8%, P = 0.014), and a random-effect analysis model was used. Sensitivity analysis was repeated sequentially omitting each study without primary outcome alteration.

Postoperative Pain Score and Analgesic Requirement

There was no statistically significant difference in the reduction of postoperative pain score (MD = −1.86, 95% CI: −5.17 to 1.44, P = 0.268) (Figure 4) and analgesic medication (mg of morphine equivalent) dose (MD = −0.74, 95% CI: −2.65 to 1.16, P = 0.445) (Figure 5) resulting from the analysis of data of 2 studies. Significant heterogeneity was found among the included studies regarding the investigated outcomes (I² = 97%, P < 0.001) and (I² = 94.3%, P < 0.001), respectively.

Complications

Four studies reported available data regarding the complication rate. Bleeding and perioperative perforation were indexed as major complications, while urinary retention, capsular capsular injury, atrial fibrillation, vagal nerve injury, and subcutaneous emphysema were listed as minor complications according to Clavien–Dindo classification of surgical complications. The results of meta-analysis of overall complications did not differ between POEM and LHM (OR = 1.11, 95% CI: 0.5–2.44, P = 0.445) (Figure 5) resulting from the analysis of data of 2 studies. Significant heterogeneity was found in the major complication rate as well as minor complication rate between endoscopic and laparoscopic technique: (OR = 1.33, 95% CI: 0.24–7.35, P = 0.74) and (OR = 1.82, 95% CI: 0.48–6.9, P = 0.375), respectively (data not shown). The test for heterogeneity was not significant (I² = 0%, P = 0.482).

Postprocedure Symptomatic Gastroesophageal Reflux

Five studies reported the incidence of postprocedure symptomatic gastroesophageal reflux, which was estimated by a Gastroesophageal Reflux Disease Questionnaire (GERDQ) score ≥727 or a DeMeester score of ≥14.7% at pH testing. In the report by Bhayani et al, the 24-hour testing of esophageal acid exposure was obtained only in 23 (76%) POEM and 31 (48%) LHM patients. The fixed effect analysis model was used because of low heterogeneity (I² = 0%, P = 0.651). There was a trend toward significant reduction in symptomatic gastroesophageal reflux rate in favor of LHM compared to POEM group (OR = 1.81, 95% CI: 1.11–2.95, P = 0.017) (Figure 7). Furthermore sensitivity analysis was rerun sequentially omitting each study without primary outcome alteration.
### TABLE 1. Characteristics of Included Studies

| Author           | Study Period   | Country of Origin | Sample Size (M/F Ratio) | Age in Years | Previous Myotomy/Interventions        | FollowUp |
|------------------|----------------|-------------------|-------------------------|--------------|--------------------------------------|----------|
| Bhayani et al    | 2007–2012      | USA               | 37 (19/18)              | 56 (16)/57    | Dilatation, botox (details NR) NR     | 12       |
| Ujiki et al      | May 2009–Feb 2013 | USA           | 18 (13/5)              | 64.1 (4,8)/60.2 (4,7) | Dilatation: 4, botox: 4, 4 | 12       |
| Hungness et al   | Aug 2010–May 2012 | USA             | 18 (13/5)              | 38 (22–69)/49 (22–79) | None None 2 | 2       |
| Teitelbaum et al | Dec 2004–May 2012 | USA             | 12 (9/3)               | 41 (12)/45    | 1 (details NR) NR 10 (details NR) 12 | 3       |
| Von Renteln et al| NR             | DE, NL, CH, CA   | 70 (40/30)             | 45/110       | NR NR 3 | 3       |
| Vigneswaran et al| Oct 2010–Jun 2013  | USA             | 5 (4/1)                | 69.6/34.5    | Dilatation: 4, botox: 2 4 | 5       |
| Teitelbaum et al | NR             | USA              | 36 (25/11)             | 50 (15)/53    | 7 (details NR) NR 8 (details NR) 12 | 12      |

Botox = Botulinum toxin injection, CA = Canada, CH = Switzerland, DE = Germany, LHM = laparoscopic Heller myotomy, NL = Netherlands, NR = not reported, POEM = peroral esophageal myotomy, USA = United States of America.

* Either mean (standard deviation) or median (range).

† Either mean or median.
FIGURE 1. Forrest plot showing comparison of the efficacy of POEM with LHM in reducing Eckardt score.

FIGURE 2. Forrest plot showing comparison of operative time required for POEM with that for LHM.

FIGURE 3. Forrest plot showing comparison of the length of hospital stay after POEM with that after LHM.

FIGURE 4. Forrest plot showing comparison of postoperative pain score after POEM with that after LHM.
DISCUSSION

In the present systematic review and meta-analysis, we demonstrate that: POEM and LHM are similar in terms of Eckardt score reduction, complications, postoperative analgesic need, operative time and length of hospital stay; POEM shows worse short-term results compared to LHM as regard the postprocedure symptomatic GER development.

Although the etiology of esophageal achalasia is scarcely understood, there is common consensus on its treatment, directed to reducing lower esophageal sphincter (LES) pressure in order to obtain symptoms relief, improve the esophageal emptying and prevent the development of megaesophagus. Among the various treatment modalities like pharmacotherapy (calcium channel antagonists, nitrates), endoscopic pneumatic dilatation and injection of botulinum toxin, the surgical esophagogastroplastic extramucosal myotomy with partial fundoplication fulfilled the best short- and long-term clinical outcomes, especially with minimally invasive approach, and now is considered the treatment of choice for achalasic patients. Furthermore, in the last few years, there has been an impetus in the direction of a more minimal approach to achalasia and in 2010 Inoue et al introduced the Per-Oral Esophageal Myotomy as a promising endoscopic minimally invasive technique with overall initial good patient satisfaction and relief of dysphagia. More recently, Inoue et al published the largest single center cohort study on 500 consecutive achalasic

![FIGURE 5](image)

**FIGURE 5.** Forrest plot showing comparison of postoperative analgesic requirement after POEM with that after LHM.

![FIGURE 6](image)

**FIGURE 6.** Forrest plot showing comparison of complications after POEM with that after LHM.

![FIGURE 7](image)

**FIGURE 7.** Forrest plot showing comparison of symptomatic gastroesophageal reflux after POEM with that after LHM.
patients treated with POEM, reporting the longest follow-up of POEM patients registered to date (3 years). Based on this large series, POEM resulted in significant improvements in Eckardt scores and LES pressures at postoperative short- as well long-term follow up with no mortality rate and rare adverse events (3.2%), highlighting the safety and effectiveness of this treatment as first- or second-line therapy for achalasia.33

Nevertheless, very few studies with small sample size have compared POEM with the current surgical standard of care, LHM, and no recommendations have been proposed.

The current meta-analysis involved 7 studies compared POEM with Heller myotomy in a nonrandomized manner with 486 included patients (196 in POEM group and 290 in LHM group) ranging from 8 to 180 patients per study. Seven studies were all judged as “moderate risk of bias” according to Cochrane risk of bias assessment tool for nonrandomized studies of interventions (ACROBAT-NRSI).

The efficacy in reduction of Eckardt score was the primary outcome measure of this study. In terms of symptomatic efficacy, the Eckardt score (maximum score, 12) is the sum of the symptom scores for dysphagia, regurgitation, chest pain (0, absent; 1, occasional; 2, daily; and 3, each meal), and weight loss (0, no weight loss; 1, <5 kg; 2, 5–10 kg; and 3, >10 kg), representing a validated and comprehensive assessment of disease severity.43 Even though the limitations of small numbers and short-term follow-up, POEM resulted in a success rate of 90%, similar to initial treatment success of LHM.8,11 Furthermore, in this present meta-analysis, we found a comparable decrease in Eckardt score between groups.

There was no significant difference between POEM and LHM as regard the time of interventions, postoperative pain symptoms were completely controlled by proton pump inhibitors were self-limiting. Majority of the symptomatic adverse events could be managed conservatively and no perioperative mortality or conversion to the other procedure was found in any study. Furthermore the frequency of perforation and bleeding was not high and the results of meta-analysis of overall complications as well as major and minor adverse events did not differ between POEM and LHM, emerging POEM as a safe procedure that was comparable to the safety profile of LHM. Of course, POEM represents a challenging procedure that should be performed only in specialized centers by experts in interventional endoscopy or surgeons with advanced skills in endoscopy, to guarantee the highest outcomes for the patients. POEM operators must be expert in esophageal pathology, particularly as regard the EGJ peculiarities, the submucosal dissection technique and the management of the most frequent complications.36 To this address a learning curve of approximately 20 cases has been proposed to achieve the learning curve plateau in POEM for an experienced endoscopist.37

Nevertheless, gastroesophageal reflux is a common dilemma after a transoral approach for myotomy since no antireflux procedure is involved differently from surgical myotomy. To this address, many authors showed very good outcomes with the application of an antireflux procedure to laparoscopic or laparotomic myotomy, reporting an incidence of pathological gastroesophageal reflux of 5.7% to 10%.38–42 Furthermore, a prospective randomized trial performed by Richards et al33 evidenced pathological GER rate of 47.6% in the group with Heller myotomy without antireflux procedure compared with a 9.1% in the group with Heller myotomy followed by anterior fundoplication, with no statistical significant differences between the 2 groups as regard postoperative dysphagia score. Indeed, last retrospective cohort study in the long-term outcomes of LHM demonstrated a pathological GER rate of 11.3% to 80%,44–46 while a more recent meta-analysis showed that an antireflux procedure following myotomy is associated with a lower pathologic acid exposure and GER, and that the dysphagia relief is not affected by the type of fundoplication.8,42,43

On the other hand, endoscopic myotomy through the luminal side accounts for an incidence between 0% and 37% of symptomatic GER after POEM during short-term follow-up.13,47–51 Interestingly, Inoue et al44 reported endoscopic findings of reflux esophagitis in 64.7% and GERD symptoms, such as heartburn or regurgitation, in 16.8% of patients at 2 months post-POEM follow-up; at 1 to 2 years after POEM, GERD symptoms were registered in 19.4% of patients and endoscopic evidence of esophagitis was noted in 59.2% of patients. Similarly, at 3 years follow-up, similar results were found, accounting the GERD symptoms for 21.3% of patients and endoscopic evidence of esophagitis for 56.3% of patients. However, in all cases reflux symptoms were completely controlled by proton pump inhibitors therapy.44 Above all, in this large single center cohort study is the first to report 3 years outcomes regarding gastroesophageal reflux, some limitations, such as inclusion of relatively small number of greater curvature POEMs as well as significant long-term missing data rate, make challenging to deduce any solid conclusions about this debated issue.

Overall, our pooled outcomes on postprocedure symptomatic gastroesophageal reflux showed a trend toward significant reduction in favor of LHM compared to POEM group (OR = 1.81, 95% CI: 1.11–2.95, P = 0.017). Nevertheless, future studies are needed to investigate the long-term effects of POEM on acid reflux.

Our study was affected by some limitations. High heterogeneity rate, no randomization of patients and significant publication bias certainly constituted the weak points of the seven included studies. Furthermore all selected studies did not report the results of follow-up longer than 1 year and most of them included patients who were both treatment naive and underwent previous endoscopic or surgical interventions for achalasia.

This meta-analysis points to the urgent need of high quality clinical trials with long-term evaluation comparing POEM with other standard procedures, including surgical myotomy, in a randomized manner.

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