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Pertinent spatio-temporal scale of observation to understand suspended sediment yield control factors in the Andean region: the case of the Santa River (Peru)

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Abstract. Hydro-sedimentology development is a great challenge in Peru due to limited data as well as sparse and confidential information. This study aimed to quantify and to understand the suspended sediment yield from the western Andes Mountains and to identify the main erosion-control factors and their relevance. The Tablachaca River (3132 km²) and the Santa River (6815 km²), located in two adjacent Andes catchments, showed similar statistical daily rainfall and discharge variability but large differences in specific suspended-sediment yield (SSY). In order to investigate the main erosion factors, daily water discharge and suspended sediment concentration (SSC) datasets of the Santa and Tablachaca rivers were analysed.

Mining activity in specific lithologies was identified as the major factor that controls the high SSY of the Tablachaca (2204 t km² yr⁻¹), which is four times greater than the Santa’s SSY. These results show that the analysis of control factors of regional SSY at the Andes scale should be done carefully. Indeed, spatial data at kilometrical scale and also daily water discharge and SSC time series are needed to define the main erosion factors along the entire Andean range.

1 Introduction

Understanding erosion-control factors is a great challenge that would improve the modelling of climate-change impacts on mountain range dynamics or human impacts on the environment. Natural systems with large gradients of sediment yield production are of great interest for testing their sensitivity to erosion-control factors. Mountain ranges are good candidates because at a global scale they are the places with the largest erosion rates, climate gradients, slope gradients and seismicity processes. Among mountain ranges worldwide, the Andes range is particularly interesting because it crosses all terrestrial climates from north to south and has sharp east–west climatic gradients, passing from a tropical climate to the world’s driest desert in its central region. Montgomery et al. (2001) show that, at the Andes scale, topographic characteristics match the mean annual precipitation and the theoretical erosion index intensity (product of the local slope with the upstream rainfall amount). These authors suggest that this correlation indicates that climate is a first-order factor for the topographic evolution of the Andes.

Another approach is to analyse modern suspended-sediment yield (SSY) databases. Due to the limited amount of publicly available SSY data from Andean catchments, only a few studies have reviewed the relation between...
erosion factors and SSY there (e.g. Aalto et al., 2006; Armijos et al., 2013; Laraque et al., 2009; Molina et al., 2007, 2008; Pépin et al., 2010; Restrepo et al., 2006a; Guyot, 1993). In the northern Andes, mean annual runoff explained most SSY variability in the Magdalena River catchment and sub-catchments (Restrepo et al., 2006b). However, this study only explored hydrologic, morphometric and climatic factors and cannot explore vegetation, soil properties and the effect of land use because of a lack of information about these parameters. Conversely, Aalto et al. (2006) observed no relation between runoff and SSY in a database of 47 Bolivian catchments. On the other hand, lithology and slopes had the highest correlations with SSY in the Bolivian Andes. This study, however, mainly focused on geomorphic, hydrologic and lithologic parameters without any information about vegetation or land-use parameters for connected sub-catchments. Pépin et al. (2010) performed a complete study of SSY in 66 Chilean catchments of similar size along the Andes, from extreme northern Chile to southern Patagonia, covering a wide range of climate, slopes and vegetation. This study showed that SSY had a linear relation with slope and runoff above and below threshold values related to vegetation cover, respectively. Lithology and seismicity have also been explored but have not given reliable results due to the non-exhaustiveness of these data in Chile. On the eastern side of the northern and central Andes, SSY had the highest positive correlation with rainfall variability and negative correlation with mean annual rainfall (Pépin et al., 2013). At the hillslope scale (< 1 km²), Molina et al. (2007, 2008) showed that SSY is well correlated with cover, soil types and road networks, based on a database of 37 small sub-catchments of the Paute River (Ecuador).

Despite the particular climatic configuration of the Andes, these studies cannot give a clear view of the relative dominance of erosion-control factors. Actually, a non-negligible area of the western Andes is not documented due to the scarcity of available and reliable data on SSY there. Among other factors, the rugged Andean piedmont topography and extreme east–west climatic gradients with large rainfall-event variability are some characteristics of this region often proposed as main erosion-control factors. Therefore, collecting SSY data from the west-central Andes is of primary interest to deepen understanding of erosion factors in the Andes.

In this study, a new daily SSY dataset from the central Andes in Peru was analysed during collaboration between the CHAVIMOCHIC (http://www.chavimochic.gob.pe/) and HYBAM (http://www.ore-hybam.org/) projects. Reliable suspended sediment concentration (SSC) data have been collected since 1999 by the Peruvian irrigation CHAVIMOCHIC project. It monitors sediment in the lower section of the Santa River catchment at three stream discharge and sediment load gauging stations. The Santa River catchment, located in the west-central Andes, has strong altitudinal gradients, with high topographic contrast with elevations ranging from sea level to the highest point in the central Andes (Nevado Huascaran; 6768 m a.s.l.). The seasonal and low vegetation cover, poorly consolidated lithology, and weather all change significantly over relatively short distances, and the east–west rainfall gradient ranges from 151 to 1115 mm yr⁻¹ (1998–2010). In addition, there is intense human activity such as small- and large-scale mining of coal, metal and aggregates distributed from the coast to the highlands (Morera, 2010).

In analysing this exceptional SSC dataset, we identify two adjacent catchments that have significant differences in their SSY despite having similar climatic and hydrologic contexts. This is a good example for improving understanding about which factors control the magnitude and frequency of SSY from the west-central Andes to the Pacific coast, with a special focus on (i) spatial differences in sediment production at the basin scale (few thousand km²), (ii) non-climatic erosion factors such as mine activity in specific lithology, and (iii) the relevant resolution of maps necessary to define erosion factors in the central Andes. In addition, the SSY of the Santa River is compared to SSY observed in the Andes range from 35° S to 10° N.

2 Study area, dataset and methods

2.1 Geographic description and geomorphological characteristics of the Santa and Tablachaca sub-catchments

The Santa River is one of the largest rivers that empty into the Pacific Ocean in Peru, with a total length of 316 km and a drainage area of 12 000 km². It is situated in northwestern Peru, between 7.9 and 10.1° S and between 78.6 and 77.2° E. This study focuses on two stations (sub-catchments) of the Santa River that are geographically close to each other (Fig. 1): the Santa station (507 m a.s.l.), covering the middle and upper Santa catchment (6815 km²), and the Tablachaca station (524 m a.s.l.), which monitors the whole Tablachaca sub-catchment (3132 km²). Both stations are controlled downstream at the Condorcerro station (479 m a.s.l.), which monitors 9969 km² from 479 to 6768 m a.s.l.

The Santa sub-catchment drains from southeast to northwest and is defined by the Cordillera Blanca on the east and the Cordillera Negra, with lower topography, on the west (Fig. 1). The Cordillera Blanca is located in the western branch of the Andes in Peru and is the highest and most extensive expanse of tropical glaciers in the world (Zapata et al., 2008). It represents approximately 35% (600 km²) of the total area of Peruvian glaciers and ~10% of the total catchment; also, it contains the Huascaran peak, which at 6768 m a.s.l. is the second highest point in the central Andes (Georges, 2004). It is the only example of an active, large-magnitude extension with a pronounced footwall.
Fig. 1. Shaded-relief and elevation map of the Santa and Tablachaca study catchments in the west-central Andes (SRTM, 2002). Circles indicate locations of the monitoring stations.

Fig. 2. Lithology map of the Santa and Tablachaca study catchments according to six formations (details in Table 1). Cones size according to suspended sediment concentration amount (SSC, g L\(^{-1}\)) sampled during the wet season (February–March 2009; see Table 2 for details).

2.2 Lithological parameters

Regional geology includes sedimentary and igneous rocks from the Triassic to the Quaternary period (Table 1), as shown in the detailed lithological map at 1 : 100 000 scale (Fig. 2). Nine different lithology types (or codes) were distinguished. Lithological information and formation ages were collected from geological studies carried out by the National Development Institute (INADE), Giovanni et al. (2010), Carrascal-Miranda and Suárez-Ruiz (2004), Klimeš et al. (2009), Wilson et al. (1967) and Schwartz (1988).

Lithology of the Santa sub-catchment is composed mainly of (i) dacite with quartz and biotite in a feldspar matrix (code 4) and heterogeneous and unconsolidated rocks in the centre of the Cordillera Blanca range (code 6a); (ii) Tertiary rocks producing colluvium, covered in some areas by glacial till and rock-debris avalanche deposits further transformed by water erosion in the upper Santa River Valley (code 6b); (iii) lutites and sandstone with quartzite (code 1b) and granite, granodiorite, diorite and tonalite (code 5) overlain by clastic sediments deposited during the glacial retreat from the Cordillera Blanca; and (iv) lutites and sandstone with quartzite (code 1b) and volcanic rocks such as rhyolite (code 3) are widely exposed along the Cordillera Negra.

Lithology of the Tablachaca sub-catchment is composed mainly of (i) volcanic pyroclastic rocks (code 3) in the northwest and southwest; (ii) granite, granodiorite, diorite and tonalite (code 5) and lutites and sandstone with quartzite (codes 1a and 1b) in the northeast; (iii) sandstone–quartzite–siltstone with coal in the lower northeast (code 2b); and (iv) quartzite with lutites and coal distributed between the...
Table 1. Lithological typology in the study catchments.

| Code | Lithology                        | Formation    | Period   | Description                                                                 |
|------|----------------------------------|--------------|----------|-----------------------------------------------------------------------------|
| 1a   | Lutites and sandstone with quartzite | Chicama       | Jurassic | Most consist of dark grey laminated shale and fine grey and clear sandstone with quartzite. |
| 1b   | Lutites and sandstone with quartzite | Goyllarisquizga | Cretaceous | Silt 80–95 %, sand (feldspar and quartz) 0–20 %, bitumen and coal 0–5 %         |
| 2a   | Sandstone, quartzite with lutites and coal | Chimu     | Cretaceous | Quartz > 90 %, feldspar ±5 %, silica colloid ±5 %                         |
| 2b   | Sandstone–quartzite–siltstone with coal | Santa-Carhuaz | Cretaceous | Silt 80–95 %, sand (feldspar and quartz) 0–20 %, bitumen and coal 0–5 %         |
| 3    | Volcanic pyroclastic rocks: dacite, rhyolite | Calipuy   | Cretaceous | Plagioclase 70–80 %, hornblende 20–25 %, magnetite                         |
| 4    | Dacite with quartz and biotite in a feldspar matrix | Yungay | Triassic | Dacitic tuffs with abundant quartz and biotite crystals in a matrix of feldspar, containing angular rock fragments around them |
| 5    | Granite, granodiorite, diorite and tonalite | Granodiorite-Tonalite | Triassic | Plagioclase 42 %, orthoclase 12 %, biotite 9 %, hornblende 4 %, quartz 20 % |
| 6a   | Heterogeneous and unconsolidated rocks | Aluvial   | Quaternary | Semi-consolidated sand, clay, and gravel conglomerates, generally horizontal |
| 6b   | Tills and fluvo-glacial formations | Fluvio-Glacial | Quaternary | Morainic accumulations are composed and filled with sand, clay and gravel. Rock fragments are heterometric, little selected and angular and sub-rounded. |

mountain range and the main river (code 2a). The two series composed of coal basins (codes 2a and 2b) are related to different orogenic events that strongly affected this region. The most important distributions (code 2a), however, came from Mesozoic-Chimu coal (Petersen, 2010). Note that the Fig. 2 also contains field monitoring results, which will be discussed in the Sects. 3.3 and 3.5.

2.3 Land-use parameters and mining activity

Land-use data were processed from high-resolution (30 × 30 m) Landsat-7 ETM images downloaded from the US Geological Survey’s Earth Explorer (http://earthexplorer.usgs.gov/). We downloaded three image mosaics from June to July 2006 (a period of fewer clouds and relative stability in vegetation cover) encompassing the entire study area and then analysed them by classifying spectral bands 2, 3 and 4 (Adams et al., 1995).

We first evaluated the main land-cover areas using GPS points that were well distributed throughout the catchment, such as forests, crops, slide areas, rocks, mines, towns, glaciers, water bodies and areas of dark soil due to exposed coal. We then performed supervised classification of land cover with ERDAS software according to Göttlicher et al. (2009), based on GPS points already taken in the catchment and the use of Google Earth to view points that could not be physically reached (e.g. glaciers). The mosaic images were georeferenced and normalised, and land cover was classified into six dominant types: rock, glacier, woodland, urban, mine and bare soil (e.g. Tao et al., 2012; Ward et al., 2009).

Significant human effort went into mining and mineral production in ancient Peru; this human activity dominated the landscape of the inter-Andean valleys on a temporal scale ranging from years to centuries (Harden, 2006; Petersen, 2010; Tarras-Wahlberg and Lane, 2003). Ever since the Spanish conquered the Incas, the Andes zone has been known for its deposits of gold, silver, coal and other valuable metals (United Nations, 1990). Coal was used in pre-Inca times for metallurgy, and its first large-scale industrial application occurred around 1816 for steam generation at copper mines (Agramonte and Diaz, 1983). An example of the vast reserve of minerals within the Santa sub-catchment is the largest known copper–zinc skarn ore deposit, “Antamina” (e.g. Fig. 3), and it incorporates a mineral reserve of 561 Mt (Love et al., 2004). Overall, the Santa River basin has major environmental problems, most of which are due to abandoned-mine tailings and related problems such as mine closure, poorly maintained tailings ponds, competition for scarce water supplies and smelter pollution (McMahon et al., 1999). As a consequence, water quality in the upper Santa sub-catchment is threatened by past and current mining and increasing near-stream disposal of domestic, industrial and mining waste as well as livestock grazing (Young and Lipton, 2006; BCRP, 2009).

There is little information on spatiotemporal changes in the current large-scale and artisanal mining activities in the study area because mining regulations are not well
enforced and the mines are typically located in remote areas (Tarras-Wahlberg and Lane, 2003) (Fig. 3). Thus, no relevant data are available for the volume of ore extracted from the mines or the volume of mineral waste and tailings.

### 2.4 Slope and geomorphological characteristics

Several datasets with geo-information are currently available, making it possible to derive catchment parameters from Shuttle Radar Topography Mission (SRTM) 3-arcsecond...
Fig. 3. The boom in large-scale mining in the upper basin (upper left) and an artisanal miner panning for gold during the dry season in the Tablachaca River (lower left). Distribution of mining concessions in the Santa River Basin (centre). The strong contrast between the higher SSC load carried by the Tablachaca River (left) and the lower SSC load in the Conchucos River (right) during the rainy season (upper right) and a landslide from the Chimu Formation creating a natural dam in the river bed many years ago (lower right).

(∼ 90 m × 90 m) digital elevation model (DEM) distributed by the USGS National Map (http://seamless.usgs.gov/). The accuracy of the general dataset was calculated as 6.2 m of absolute height error by Rodríguez et al. (2006); however, Racoviteanu et al. (2007) estimated differences of 25 m at higher elevations and steeper slopes (glaciers). From this DEM we extracted the local slope in each pixel at a resolution of 8100 m^2.

The basic configuration of the geomorphology, such as the mean slope, surface area, river networks, and height differences within each catchment, were calculated using GIS tools.

Next, spatial variability in local slope of the two sub-catchments was analysed. The middle-altitude portion of the Santa sub-catchment is a deep, steep-walled canyon about 15 km long, at the bottom of which is a narrow vertical-walled slot up to several hundred metres deep called the “Cañon del Pato” (Ericksen et al., 1970). Higher elevations, starting from the central part of river valleys and extending upstream, have platforms that become planed surfaces near river headwaters that form flat areas (68 % of the whole area); in most cases, low slopes (0–17°) are most common. At the Cordillera Blanca, upper slopes are oversteepened, ranging from 45 to 90°, and locally unstable and sensitive to movements triggered by earthquakes (Klimeš et al., 2009). In contrast, the Cordillera Negra has lower slopes than the Cordillera Blanca and a relatively broad, gently undulating crest; valleys on the flanks are V-shaped and deeply incised at the bottom, indicating that they were cut by streams rather than glaciers. Finally, volcanic rocks on steep slopes of the Cordillera Negra are locally deeply weathered or strongly fractured and consequently are subject to sliding during the rainy seasons or in response to seismic movements (Ericksen et al., 1970). The slope morphology of the Tablachaca sub-catchment is generally very steep (40°), while 30 % is gently sloping in the northwest and southwest and 20 % is very gentle. The strong altitudinal gradient of the Tablachaca sub-catchment creates highly vulnerable slopes drained by deeper and smaller rivers than the Santa sub-catchment.

2.5 Climate and rainfall

Annual mean precipitation has high variability, ranging from 151 to 1115 mm from west to east in the study area (above Condorcerro station). It has two distinct climates, with a high-contrast gradient from the sea to the Andean Cordillera (e.g. Smith, 1979).

The first climate zone, the arid coast, is located from the lowlands to the foot of the Andes. This desert area is created by a cold southerly wind coming from the Pacific Ocean that forces subsidence to maintain a thermal balance, which triggers drying within this region and maintains an inversion layer at about 1000 m a.s.l. Moreover, mean annual precipitation < 10 mm is common along the coast and over the pre-Andean Central Depression (at about 1000 m a.s.l.). Finally, most of the precipitation that does fall is drizzle from the coastal stratus and unusual rainfall episodes associated with the passage of a cold front (Garreaud and Fuenzalida, 2007; Garreaud and Rutllant, 1996; Vargas et al., 2006). In the second climate zone, precipitation is dominated by southward expansion of upper-tropospheric easterlies during the austral summer, associated with intensification of the South American summer monsoon (Garreaud, 2009). Nonetheless, precipitation decreases when the northern tropical Atlantic Ocean is warmer than usual (Lavado et al., 2012).
This second zone includes the semi-arid mountain range in the middle and upper basin, where variations in daily precipitation are more frequent and stronger during the afternoon and evening during the rainy season (Garreaud, 1999). On average, 90% of annual precipitation falls from October to April, with a peak in February and March (Fig. 4); consequently, streamflow dramatically increases 10- to 30-fold during the wet season. The rest of the year (May–September) is rather dry, with less than 50–100 mm of precipitation (Vuille et al., 2008). Surface runoff in the upper sub-catchment of the Santa River originates from rainfall and glacier snowmelt on the Cordillera Blanca (Mark and Seltzer, 2003). During the dry season, groundwater accounts for 18–74% of the water entering some catchments, with the rest coming from glacier meltwater (Baraer et al., 2009; Condom et al., 2012).

In mountain catchments, especially in developing countries, significant spatial and temporal gaps in ground-based climate records exist (Scheel et al., 2011). We obtained precipitation estimates from the TRMM Multi-satellite Precipitation Analysis (TMPA) level-3 product 3B43-7V (1998–2012, Fig. 4), from the Goddard Earth Sciences Data and Information Services Center product (http://mirador.gsfc.nasa.gov). TMPA is a combined from several (10) data providers (Huffman and Bolvin, 2013). This dataset is a calibration-based sequential scheme for combining precipitation estimated from multiple satellites, as well as gauge analyses where feasible, to create a new monthly precipitation product on a 2.5° × 2.5° grid (Huffman et al., 2007). The TMPA products were processed at catchment scale (Fig. 4) to estimate mean monthly precipitation on the two catchments and capture its spatial variability.

Two in situ rainfall stations with daily rainfall data, Yungay (2537 m a.s.l.; −9.14992° S, −77.75103° W) for the Santa sub-catchment, and Cabana (3300 m a.s.l.; −8.3531° S, −78.00201° W) for the Tablachaca sub-catchment, were used (Fig. 1). These precipitation data were recorded by the Peruvian Institute of Meteorology and Hydrology (SENAHMI). Spatial variability in monthly precipitation was evaluated with the TRMM dataset, considering two data subsets per sub-catchment: pixels representing areas > 3800 m a.s.l. vs. those of areas < 3800 m.

For 2002–2012, mean annual precipitation was similar for each station (~ 850 mm yr⁻¹; Fig. 4), and each dataset correctly showed an October to April wet season and a May to September dry season. Comparison of monthly precipitation from each data subset showed no major differences (< 20 mm month⁻¹). Cabana and Yungay stations were directly compared with the TRMM catchment precipitation datasets, suggesting that Cabana and Yungay data are representative of mean precipitation in Tablachaca and Santa sub-catchments, respectively. Consequently, the two time series were processed to calculate a probability density function (PDF, Fig. 6), according to Andronova and Schlesinger (2001) (see Sect. 3.2 for the analysis).

3 Results

3.1 New dataset: outflow and sediment yield

Accurate estimates of SSY depend on effective monitoring strategies (Duvert et al., 2011). This study uses an extensive database as one input to increase understanding of relations between SSY and environmental variables at the
catchment scale in the Andes. Since 1999, the Peruvian irrigation CHAVIMOCHE project has been performing sediment flux monitoring. Daily water discharge ($Q$) readings are taken by water-level recorder equipment, and are based on the relation between the gauging and level readings; a water discharge curve is generated. Samples of SSC are taken twice a day (06:00 and 18:00) at the Condorcerro, Santa and Tablachaca stations. As a result, an exceptional unpublished database is available with two SSC samples per day (Fig. 5) and instantaneous water-level recorder readings of $Q$.

Sample SSC measurements available at the gauging stations were evaluated considering an average resolution of one sample per day for each station. A lack of SSC data for a particular day did not necessarily constitute a “true” data gap. One can expect the use of a sediment rating curve (SSC vs. $Q$) to give plausible results in such cases, unless SSC is entirely unrelated to $Q$. Only if the flow record was also missing were data considered missing. After that, the percentage of available SSC data was calculated for each month. The entire observed dataset at Condorcerro station contained 2.5% SSC data gaps, 12.4% corresponding to the rainy season. The entire Tablachaca station dataset contained 26% SSC data gaps, 15.4% corresponding to the dry season. SSY data gaps in the Condorcerro station dataset were filled using the sediment rating curve equation (Fig. 7) ($n = 11,467; R > 0.9; p < 0.0001$). Gaps in the Tablachaca and Santa SSY datasets were filled in two steps: (a) a balance in SSY between Condorcerro, Tablachaca and Santa stations (considering Condorcerro as a junction), as long as there was only one gap for the same interval of time in any station, and (b) averaging the SSY from the day before and the day after the day of the data gap. In the end, both Tablachaca and Santa stations had 19% data gaps in the treated dataset.

The annual hydrological cycle exhibits high seasonal contrast and permanent streamflow during the dry season in both sub-catchments due to glacier melt and groundwater contribution (Fig. 5). Daily $Q$ at the Tablachaca station had higher variability than that at the Santa station because of the former’s smaller reception area and greater longitudinal river slope.

### 3.2 Rainfall, $Q$, and SSC Variability

Mean daily $Q$ (m$^3$s$^{-1}$) from the Santa, Tablachaca and Condorcerro stations was estimated from instantaneous $Q$. Tablachaca and Santa stations had mean daily $Q$ of 28 and 105.4 m$^3$s$^{-1}$, with standard deviations (SD) of 28.7 and 91.8 m$^3$s$^{-1}$, respectively. These estimations make the Tablachaca River the major water discharge contributor along the Santa River. In terms of daily specific water discharge, Tablachaca and Santa stations showed an average of 0.009 m$^3$ km$^{-2}$ s$^{-1}$ and 0.016 m$^3$ km$^{-2}$ s$^{-1}$, with SD of 0.008 and 0.012, respectively.

Frequency distribution analyses are useful to describe the distribution of $Q$ (Turcotte and Greene, 1993), floods (Malamuda and Turcotte, 2006), hazardous events (Korup and Clague, 2009) and sediment fluxes (Hovius et al., 2000; Lague et al., 2005) in natural systems. Frequency distribution analysis provides information on whether the probability of one event follows a specific trend, which expresses how natural systems control variables such as rainfall intensity, river $Q$ or SSC. Frequency distributions are more interesting, as they follow an analytic probability density function model because the probabilities of the occurrence and weight of a specific magnitude event can be derived mathematically. Therefore, frequency distributions are powerful criteria for comparing hydrological responses. In this study, we focus on the daily rainfall, $Q$ and SSC of the Tablachaca and

![Fig. 5. Historic (9 yr) observed river discharge (top), precipitation and suspended sediment concentration (SSC). (a) Tablachaca station mean annual: SSC 3.43 g L$^{-1}$, discharge 28 m$^3$s$^{-1}$ and precipitation 808.2 mm; (b) Santa station mean annual: SSC 0.64 g L$^{-1}$, 105.4 m$^3$s$^{-1}$ and precipitation 810.4 mm. Days of the field data collection are in red; see Table 2 for sample details.](Image)
Santa sub-catchments without carrying out detailed analyses. Comparison of magnitude-frequency distributions indicates whether or not the variables (rainfall, \( Q \) and SSC) of both basins follow the same statistical trends. Note that a proper PDF comparison requires normalisation by the mean of the variable in each sample (Fig. 6).

PDFs of daily rainfalls and discharges have similar trends for both the Santa and Tablachaca sub-catchments (Fig. 6a and b) despite having different annual total water yield (486 and 282 mm, respectively). This indicates that both catchments have a similar hydrological response. They differ only by the amplitude of the \( Q_s \), which are relative to the drainage area and rainfall rate of each sub-catchment. However, the sub-catchment SSC PDFs differ significantly at concentrations larger than their respective means. SSC PDFs for the Santa and Tablachaca sub-catchments follow a monotonic decreasing power law and non-monotonic trends, respectively, which indicates different erosion and sediment transport responses to identical hydrological inputs (Fig. 6c). The rating curve from 2002 to 2010 for the Santa and Tablachaca stations have a significant \( R > 0.9 \) \((p < 0.0001)\) (Fig. 7). The rating curves between specific \( Q \) and SSC \((\text{SSC} = aQ^b)\) highlight this difference between sub-catchments (Fig. 7). The power-law exponents of the rating curves are similar \((i.e. b = 1.8 \pm 0.1)\) considering uncertainties between each one. This means that the response to hydrological inputs is identical in both catchments and does not vary much during the hydrological cycle. The coefficient \( a \) marks the main difference in erosive output and suggests that the Santa and Tablachaca sub-catchments have different sediment availability. For an equivalent specific \( Q \) the SSC of the Tablachaca River is on average nine times larger than that of the Santa River. Note that the Tablachaca’s rating curve shows a rather stable and high SSC value \((\sim 350 \text{ mg L}^{-1})\) for specific discharges below a threshold value of \(3 \times 10^{-3} \text{ m}^3 \text{ km}^{-2} \text{ s}^{-1}\). During the low-water season, when most of the sub-catchment experiences dry climate conditions, the SSC of the Tablachaca River varies from 150 to 3000 \(\text{mg L}^{-1}\), and in the field it is possible to see different water colours at stream confluences (Fig. 3). This indicates a large source of sediment in the channel that does not depend on \( Q \). Also note that for large \( Qs \) in the Tablachaca River during the rainy season, SSC fluctuates more around the rating curve, indicating that hydrological control of sediment production (in Tablachaca River) fluctuates more than in the Santa sub-catchment.

### 3.3 Field monitoring

During the rainy season (February and March 2009), two field campaigns were performed on the Santa and Tablachaca sub-catchments to collect water samples on several reaches of both river networks (Fig. 5). To track SSC sources with a high spatial resolution, all reaches of the Santa and Tablachaca rivers were sampled (Fig. 2 and Table 2). Monitoring of discharge on 53 sites revealed three spatial characteristics: glacier, middle and lower catchments. The glacier catchment refers to sampling sites whose associated streamflow comes most from glaciers, while middle and lower catchments are associated with steep slopes. Samples (650 mL) were manually collected at the edge of channel cross-sections, upstream and downstream of river confluences because of the rugged topography. This method is useful because of the turbulent flow at each sampling station. All samples were filtered using suction or gravity pumps through individually pre-weighed Whitman papers, and the quantity of sediment retained was determined gravimetrically.

### 3.4 Slope, lithology and land-use analysis

The percentage of catchment area within each slope degree was calculated using GIS (also Fig. 8). Slopes range from 0 to 60°, and both catchments have the same frequency distribution of slope. The study area shows a wide range of slopes distributed from the hillfronts to piedmont and arid surfaces.
and all this over different lithologies. The Tablachaca sub-catchment has a larger surface, with slopes between 7° and 25°, than the Santa sub-catchment (Fig. 8). However, the Santa catchment has more surfaces with slopes < 7° and > 25°. These differences in slope distribution are not large enough to explain the observed difference in erosion rates.

Particular differences between arable land and other land uses will also affect soil erosion and hence SSY (Montgomery, 2007; Vanacker et al., 2005). The total areas of the Tablachaca and Santa sub-catchments were composed of, respectively, 4% and 8% dispersed open-pit mining activity, 1% and 7% glacier remains, 39% and 32% woodland areas, 48% and 46% scraggly and seasonal vegetation cover (bare soil), and 9% and 7% urban cover. Thus, the dominant land uses in the Tablachaca and Santa sub-catchments are bare soil and woodland (Fig. 9). This analysis, based on six types of land cover, showed no major difference in the spatial distribution of land uses between the Santa and Tablachaca sub-catchments.

The Tablachaca and Santa sub-catchments showed differences, however, in the spatial distribution of lithologies (Table 1, Fig. 2). Surface areas of Chimu (code 2a), Calipuy (code 3) and Chicama (code 1a) formations were 5, 2.1 and 1.5 times higher in the Tablachaca sub-catchment than in the Santa sub-catchment, respectively. Conversely, areas of granodiorite (code 5) and fluvi-glacial formations (6b) were 5 and 14 times higher in the Santa sub-catchment than in the Tablachaca sub-catchment, respectively. Besides the fluvi-glacial formation, one of the least cohesive lithologies in both sub-catchments, it is difficult to properly quantify the relative cohesiveness of each formation. Therefore, the relative surface area of each lithology cannot be specifically balanced with a simple coefficient of cohesiveness specifically for the sub-catchments where mining activities are well developed.

Slope distribution of the two main land-use types (i.e. woodland and bare soil) differs only for bare soils in the Santa sub-catchment, which have a higher percentage of the steepest slopes (Fig. 9). In contrast, lithology formations in the Tablachaca sub-catchment do not have steeper slopes than those in the Santa sub-catchment (Fig. 10). Assuming that erosion rates increase with steeper slopes, weighting land-use surface areas with slope distribution does not explain the difference in erosion rates between the two sub-catchments. Slope distribution as a function of lithology shows that the Tablachaca sub-catchment has a much larger area of Mesozoic-Chimu coal (Code 2a; 342 km²) than the Santa sub-catchment (71 km²). Its heavy mining is in accordance with the much higher SSY observed in the former.

### 3.5 Suspended sediment concentration monitoring

During the field campaign (February and March 2009) in the Santa sub-catchment, two main regions of differing SSC were distinguished. At higher elevations of the sub-catchment, SSC in water discharges, which comes from a glaciated area, ranged from 7.2 to 120 mg L⁻¹, while at lower elevations higher concentrations were observed (123–2682 mg L⁻¹; Table 2 and Fig. 2). For the Tablachaca sub-catchment, SSC was low at higher elevations (points 45, 46, 48 in Fig. 2); however, in the area of the Chimu Formation (code 2a), SSC remained high, ranging from 4970 to 24 472 mg L⁻¹ (point 50 in Fig. 2). In contrast, SSC remained low in the lower catchment, ranging from 429 to 850 mg L⁻¹ (points 48 and 49 in Fig. 2), and most values came from a mixed lithology (Fig. 2; codes 1a, 1b, 3 and 5).

Overall mean values for the Tablachaca and Santa sub-catchments during field monitoring were 10 858 and 444 mg L⁻¹, respectively (standard deviation = 9435 and 402 mg L⁻¹, respectively). These monitoring results demonstrate a markedly high spatial contrast in SSC in the Tablachaca catchment and also between the Tablachaca and Santa catchments.
4 Discussion

4.1 Suspended load/bedload partitioning

In this study, all measurements and analysis focused on suspended load because of the lack of any data about bedload. Our results for SSY are therefore underestimations of the total sediment yield. Following the review of Turcowski et al. (2010), there is no relevant empirical law to define this partitioning from watershed characteristics. Currently, the percentage of bedload in total sediment yield varies from 0 to 100% according to the river context. For Andean catchments similar to the Santa and Tablachaca catchments, there are no published data about bedload yield. More to the north of Peru, in the Catamayo-Chira catchment, total sediment yield has been quantified with bathymetric monitoring of the Poechos reservoir (Tote et al., 2011). Unfortunately, these data cannot be compared to ours because the climate of the Catamayo-Chira catchment is impacted more by El Niño and La Niña events than that of the Santa and Tablachaca catchments, and because differences in the SSY vs. Q rating curves between these catchments reveal different sediment production and transport processes.

Currently, there is bedload transport in the Santa and Tablachaca rivers, based on the metric size of bed sediments at many places in their river networks. Bedload estimation is therefore an important step in quantifying the total balance of the sediment yield. In our study, all measures, results and discussions focus on the portion that is suspended sediment to highlight interesting significant erosion rates and spatial contrasts.

4.2 SSC control factors

One of the main results of this study is the large difference in SSY between Tablachaca and Santa sub-catchments. The SSY of the Tablachaca sub-catchment is four times larger than that of the Santa sub-catchment despite the former having relatively higher mean annual rainfall, water discharge and slopes. Better understanding of this difference in erosion rates required a fine analysis of plausible erosion factors. Using a variety of datasets and methods, we analysed correlations between criteria, empirical relations and spatial distribution of rainfall rates, daily water discharges, SSC, slope frequencies, lithology, and land uses. Combining these results led to the following interpretation.

The rating curves for the Tablachaca and Santa rivers are well defined, and the sensitivity of SSC to Q, represented by the exponent b of the rating curve, is the same for both catchments (Fig. 7). Water discharge variability undoubtedly controls sediment yield above a threshold value, with the same dynamics in both sub-catchments, and explains why sediment-transport processes look similar at the Santa and Tablachaca sub-catchment scale. But the sediment available for transport through the river network, represented by the coefficient a, is at least four times larger in the Tablachaca sub-catchment. Note that below the threshold
of water discharge, there is evidence of a sediment source that induces high SSC in the Tablachaca River during the dry season regardless of variability in water discharge. This may be induced by artisanal gold exploration in the Tablachaca River bed, which occurs during the dry season. Since these mining activities stop during the rainy season due to limited access to the river bed, they cannot explain the gap in sediment availability over the range of specific discharge values.

Spatial distribution of SSC over the Santa and Tablachaca drainage areas during the field campaign period shows how SSC is locally controlled. These catchments do not show similar SSC spatial variability. Along the Santa network, SSC varies between 7 and 2682 mg L\(^{-1}\), with a mean and standard deviation of 444 and 402, respectively. Along the Tablachaca network, there are larger variations in SSC between adjacent sub-catchments of similar size (~100 km\(^2\)) within a range of 43–24,472 mg L\(^{-1}\), with a mean and standard deviation of 10,858 and 9,435, respectively. Considering the relatively homogeneous spatial distribution of rainfall during the field campaign, such spatial distribution of SSC does not correspond to natural spatial variability of sediment production but is rather correlated with the location of intensive mining activities and lithologic domains in upstream portions of the Tablachaca sub-catchment. No specific hydrologic conditions during the field campaign can explain the high SSC values observed on a few upstream segments of the Tablachaca network. SSC decreases downstream due to less concentrated inputs in downstream reaches but remains relatively high until the confluence with the Santa River, with a value of 13.3 mg L\(^{-1}\). This SSC dataset highlights the coupled impact of mining and lithology on SSC and explains the high values found for the Tablachaca River.

The mineral composition of the highest suspended sediment concentration was measured in the X-ray laboratories of the Geological, Mining and Metallurgical Institute (INGEMMET). Results show that it is composed of orthoquartzites, siltstones, sandstones, shales and coal and matches mainly the Chimu Formation (code 2a) (Carrascal-Miranda and Suárez-Ruiz, 2004). Chimu lithology, covering 11% and 1% of the Tablachaca and Santa sub-catchment areas, respectively, represents the main lithologic difference between these sub-catchments. Furthermore, the highest incidence of mining is observed on Chimu (code 2a) lithology in the Tablachaca sub-catchment.

Because the rainfall, hydrology, land-use and slope data cannot explain the differences in erodibility, and because SSC spatial distribution is well correlated with lithology and mining activities, the former emerge as the main control factors to explain this difference. The unusually high SSC observed at the outlet of the Tablachaca River for a wide range of specific water discharge values shows that large amount of sediment is available on the Tablachaca’s hillslopes and river bed. Indeed, soft material coming from landslides, continuous delivery of fresh mine tailings and exploitation of river bed material can be seen at many places on both channel networks.
for the Santa sub-catchment (779 exclude bedload fluxes, which still need to be estimated.

...and Q in many of these Andean catchments are statistically significant despite data dispersion.

...evidences of runoff controlling SSY since there is no clear relationship between them, and the highest SSY are found in the most arid regions. There is no doubt, however, that runoff is one of the main factors controlling SSY. This is because water discharge is intrinsically related to river water velocity (i.e. the main factor causing mechanical shear stress and sediment transport) and because rating curves between SSC and Q in many of these Andean catchments are statistically significant despite data dispersion.

This study shows that analysis of the dependency of SSY on runoff at a global scale should be carefully performed to filter other significant control factors. Mean annual runoff values are not relevant for establishing an empirical relationship between runoff and SSY at a regional scale. Both daily

### Table 3. Overview of the average to the highest amount of sediment production coming from the Andes mountains; each monitoring location is shown in Fig. 1. Santa (32), Tablachaca (33) and Condorcerro (34) rivers are the dark shaded points.

| Code | Catchment Area (km²) | Annual mean discharge (m³ s⁻¹) | Sediment Yield (t km⁻² yr⁻¹) | Ocean | Period | Country | Source |
|------|----------------------|-------------------------------|----------------------------|-------|--------|---------|--------|
| 1    | Magdalena-Calamar    | 257.44                        | 7200                        | 560   | Atlantic | 1975–2005 | Colombia | Pépin (2007) |
| 2    | Pilcomayo-Villamontes| 87.350                        | 292                         | 210   | Atlantic | 1977–2005 | Bolivia  | Pépin (2007) |
| 3    | Coca                 | 5330                          | 480                         | 919   | Atlantic | 2001–2005 | Ecuador  | Laraque et al. (2009) |
| 4    | Napo                 | 12.400                        | 1200                        | 516   | Atlantic | 2001–2005 | Ecuador  | Laraque et al. (2009) |
| 5    | Napo                 | 10.520                        | 1486                        | 1577  | Atlantic | 2001–2005 | Ecuador  | Laraque et al. (2009) |
| 6    | Huallaga-Chazuta     | 68.720                        | 94                          | 1037  | Atlantic | 2004–2010 | Peru     | Armijos et al. (2013) |
| 7    | Marañon-Borja        | 114.280                       | 4890                        | 1295  | Atlantic | 2004–2010 | Ecus-Peru| Armijos et al. (2013) |
| 8    | Ucayali-Atalaya      | 190.810                       | 6540                        | 1955  | Atlantic | 2009–2010 | Peru     | Armijos et al. (2013) |
| 9    | Béni-Rurrenabaque    | 68.900                        | 1960                        | 2293  | Atlantic | 2003–2010 | Bolivia  | Pépin et al. (2013) |
| 10   | Grande-Abapo         | 62.000                        | 230                         | 2581  | Atlantic | 2003–2007 | Bolivia  | Pépin et al. (2013) |
| 11   | Negro                | 4604                          | 136                         | 1730  | Caribbean| 2004–2010 | Colombia | Restrepo et al. (2006a) |
| 12   | Carare               | 4943                          | 90                          | 2200  | Caribbean| 1985–1998 | Colombia | Restrepo et al. (2006a) |
| 13   | Saldaña              | 7009                          | 320                         | 1271  | Caribbean| 1974–1999 | Colombia | Restrepo et al. (2006a) |
| 14   | Lebrija              | 3500                          | 90                          | 1258  | Caribbean| 1979–1998 | Colombia | Restrepo et al. (2006a) |
| 15   | La Miel              | 2121                          | 243                         | 1253  | Caribbean| 1975–1999 | Colombia | Restrepo et al. (2006a) |
| 16   | Coelio               | 1580                          | 40                          | 1035  | Caribbean| 1983–1999 | Colombia | Restrepo et al. (2006a) |
| 17   | Cauca                | 59.615                        | 2373                        | 823   | Caribbean| 1978–1999 | Colombia | Restrepo et al. (2006a) |
| 18   | Paez                 | 4078                          | 185                         | 782   | Caribbean| 1972–2000 | Colombia | Restrepo et al. (2006a) |
| 19   | Cabrera              | 2446                          | 71                          | 755   | Caribbean| 1982–1998 | Colombia | Restrepo et al. (2006a) |
| 20   | Cocorna              | 799                           | 56                          | 745   | Caribbean| 1978–1999 | Colombia | Restrepo et al. (2006a) |
| 21   | Samana               | 1490                          | 181                         | 625   | Caribbean| 1983–1999 | Colombia | Restrepo et al. (2006a) |
| 22   | Yaguara              | 1386                          | 15                          | 593   | Caribbean| 1983–1999 | Colombia | Restrepo et al. (2006a) |
| 23   | Nus                  | 320                           | 17                          | 582   | Caribbean| 1983–1995 | Colombia | Restrepo et al. (2006a) |
| 24   | Ceibas               | 220                           | 5                           | 581   | Caribbean| 1983–1999 | Colombia | Restrepo et al. (2006a) |
| 25   | Maipo                | 370                           | 16                          | 1782  | Pacific  | 1985–2006 | Chile    | Pépin et al. (2010) |
| 26   | Aconcagua            | 135                           | 48                          | 1356  | Pacific  | 1966–1989 | Chile    | Pépin et al. (2010) |
| 27   | Tado                 | 1600                          | 261                         | 1570  | Pacific  | 1986–1994 | Colombia | Restrepo et al. (2004) |
| 28   | Pte Guascas          | 8900                          | 225                         | 1714  | Pacific  | 1972–1993 | Colombia | Restrepo et al. (2004) |
| 29   | San Juan             | 14.000                        | 2600                        | 1150  | Pacific  | 1970–1996 | Colombia | Restrepo et al. (2006b) |
| 30   | Patia                | 14.000                        | 317                         | 972   | Pacific  | 1972–1993 | Colombia | Restrepo et al. (2006b) |
| 31   | Chira                | 20.000                        | 159                         | 1000  | Pacific  | –        | Peru     | Restrepo et al. (2006b) |
| 32   | Santa                | 6815                          | 105                         | 779   | Pacific  | 2002–2010 | Peru     | this study |
| 33   | Tablachaca           | 3132                          | 28                          | 2204  | Pacific  | 2002–2010 | Peru     | this study |
| 34   | Condorcerro          | 10.000                        | 133                         | 1517  | Pacific  | 2000–2010 | Peru     | this study |
| 35   | Puyango              | 2725                          | 97                          | 697   | Pacific  | 1989–2000 | Ecuador  | Tarras-Wahlberg et al. (2003) |

### 4.3 Specific suspended sediment yield vs. runoff in the Andes

Although the Tablachaca and Santa sub-catchments are geographically close to each other, their SSY standard deviations showed two different SSY ratios. The estimated mean annual SSY for the Tablachaca sub-catchment is 2204 ± 337 t km⁻² yr⁻¹, which is three times greater than that for the Santa sub-catchment (779 ± 162 t km⁻² yr⁻¹) (Table 3) despite the fact that the streamflow of the Santa River is four times greater than that of the Tablachaca sub-catchment. It should be noted that the study period did not include mega El Niño events, during which the highest SSY and discharges are expected.

To summarise, the SSY at the outlet of the Tablachaca sub-catchment represents one of the highest erosion rates in the entire Andes, even though the values measured in this study exclude bedload fluxes, which still need to be estimated.

Strong, unexplained spatial differences in SSY could lead to misinterpreting analysis of erosion-control factors at a global scale. We illustrate this point by compiling SSY vs. runoff data from the central and northern Andes from previous studies (Figs. 11 and 12). From this dataset there is no evidence of runoff controlling SSY since there is no clear relationship between them, and the highest SSY are found in the most arid regions. There is no doubt, however, that runoff is one of the main factors controlling SSY. This is because water discharge is intrinsically related to river water velocity (i.e. the main factor causing mechanical shear stress and sediment transport) and because rating curves between SSC and Q in many of these Andean catchments are statistically significant despite data dispersion.

This study shows that analysis of the dependency of SSY on runoff at a global scale should be carefully performed to filter other significant control factors. Mean annual runoff values are not relevant for establishing an empirical relationship between runoff and SSY at a regional scale. Both daily
water discharge distribution and physical processes related to rating curve parameters control annual SSY. The intercept and potential thresholds of the rating curve represent the dependency of SSY on factors, which is not relative to runoff and could be specific to each catchment. Any empirical analysis of dependency of SSY on factors should clearly consider the distinction between those parameters. Therefore, using annual mean values for runoff and SSY mixes dependency on different factors and can lead to erroneous interpretations.

Furthermore, this study emphasises how SSY at the 10 000 km$^2$ scale can be controlled by local processes such as mines on a small percentage of the drainage area with specific lithology domains. If locally efficient erosive processes are suspected, such as in the western Andes, where mines are densely aggregated, analysis of erosion-control factors must be done with high temporal and spatial resolution data to understand SSY datasets better.

5 Conclusions

In Peru, hydro-sedimentology information is limited and scarce. This study provides an important contribution to quantify SSY in two adjacent central Andean subcatchments, the Santa and Tablachaca, which drain a large part of the Cordillera Blanca. Despite their hydro-climatic and geomorphic similarities, the Santa and Tablachaca sub-catchments have mean annual SSY equal to 779 and 2204 t km$^{-2}$ yr$^{-1}$, respectively. Indeed, the latter is one of the larger annual SSY in the entire western Andes. Mining activities within a specific lithology on the Tablachaca sub-catchment can explain the differences in SSY.

These results show that analysis of control factors of regional SSY at the Andes scale should be done carefully. Analysis based on mean annual values of relevant variables can lead to misinterpretations about erosion-control factors. Logically, the pertinent scale for building databases is related to the main spatial and temporal heterogeneities of erosion-process rates. In the west-central Andes, where mining in specific lithologies is highly heterogeneous, spatial data at the km scale and daily water discharge and SSC data are necessary to define the main erosion factors throughout the range.

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