Onset and stepwise extensions of recombination suppression are common in mating-type chromosomes of Microbotryum anther-smut fungi

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Abstract

Sex chromosomes and mating-type chromosomes can display large genomic regions without recombination. Recombination suppression often extended stepwise with time away from the sex- or mating-type-determining genes, generating evolutionary strata of differentiation between alternative sex or mating-type chromosomes. In anther-smut fungi of the Microbotryum genus, recombination suppression evolved repeatedly, linking the two mating-type loci and extended multiple times in regions distal to the mating-type genes. Here, we obtained high-quality genome assemblies of alternative mating types for four Microbotryum fungi. We found an additional event of independent chromosomal rearrangements bringing the two mating-type loci on the same chromosome followed by recombination suppression linking them. We also found, in a new clade analysed here, that recombination suppression between the two mating-type loci occurred in several steps, with first an ancestral recombination suppression between one of the mating-type locus and its centromere; later, completion of recombination suppression up to the second mating-type locus occurred independently in three species. The estimated dates of recombination suppression between the mating-type loci ranged from 0.15 to 3.58 million years ago. In total, this makes at least nine independent events of linkage between the mating-type loci across the Microbotryum genus. Several mating-type locus linkage events occurred through the same types of chromosomal rearrangements, where similar chromosome fissions at centromeres represent convergence in the genomic changes leading to the phenotypic convergence. These findings further highlight Microbotryum fungi as excellent models to study the evolution of recombination suppression.

KEYWORDS
basidiomycetes, convergence, evolutionary strata, fungi, mating-type chromosomes, mating-type loci, recombination suppression, sex chromosomes
Sex chromosomes in plants and animals can exhibit large genomic regions without recombination (Bachrorg et al., 2014; Bergero & Charlesworth, 2009). Recombination suppression often extended away from sex-determining genes in a stepwise manner, generating discrete strata of genetic differentiation between alleles in the alternative sex chromosomes. Such evolutionary strata have long been thought to result from sexually antagonistic selection, i.e., the existence of genes with alleles beneficial only in one sex but harmful in the other; selection would favor complete linkage of such sexually antagonistic genes to the sex-determining genes (Charlesworth, 2017). However, little evidence could be found in support of this hypothesis (Ironside, 2010) and recent studies have demonstrated the existence of recombination suppression across large regions and of multiple evolutionary strata on fungal mating-type chromosomes despite the lack of such antagonistic selection (Bazzicalupo et al., 2019; Foulongne-Oriol et al., 2021; Hartmann et al., 2021a; Menkis et al., 2008). There is indeed no male or female function in these fungi and not even other contrasted traits between mating types (Bazzicalupo et al., 2019; Branco et al., 2017). Fungal mating-type chromosomes thus represent valuable emerging models for understanding how and why recombination suppression evolves (Hartmann et al., 2021a).

Anther-smut fungi in the Microbotryum violaceum species complex (Basidiomycota) are particularly good models to address these questions. These plant-castrating fungi have an obligate sexual cycle of meiosis and mating prior to infecting each new host, and they have megabase-long non-recombinating regions on their mating-type chromosomes (Badouin et al., 2015; Branco et al., 2017, 2018; Carpentier et al., 2019; Hartmann et al., 2019; Hood et al., 2004). Recombination suppression evolved independently at different times across the Microbotryum phylogeny, providing independent cases of evolution following recombination suppression with various ages (0.20–2.30 MY; Branco et al., 2017, 2018). Furthermore, in multiple Microbotryum species, recombination suppression has extended outward from the mating-type genes in several successive steps (Branco et al., 2017, 2018).

Most basidiomycete fungi carry two mating-type loci on different chromosomes and mating can only be successful between gametes harbouring different alleles at both loci. The PR (pheromone receptor) mating-type locus includes pheromone and pheromone receptor genes controlling gamete fusion. The HD (homeodomain) mating-type locus has two homeodomain genes controlling post-mating growth. The different genes within each locus are linked since the emergence of the basidiomycete clade (Coelho et al., 2017; Sun et al., 2019). In Microbotryum anther-smut fungi, the PR and HD loci were ancestrally located on different chromosomes (Branco et al., 2017). Recombination suppression extended in the local region around each of the two mating-type loci early in the diversification of Microbotryum fungi, generating independent evolutionary strata around the PR locus (purple stratum) and HD locus (blue stratum), and later another stratum (orange) distal to the purple stratum (Branco et al., 2017). Subsequently, at least eight independent events of recombination suppression involving different chromosomal rearrangements linked the HD and PR loci (Branco et al., 2018; Carpentier et al., 2021).

The linkage of PR to HD mating-type determining genes is beneficial under the highly selfing system of Microbotryum fungi. Mating in Microbotryum fungi involves intra-tetrad mating (i.e., among products of the very same meiosis) and inter-tetrad mating (i.e., among gametes produced by different meiosis events of a single diploid individual). Under HD-PR linkage, only two mating types are produced by a diploid individual, leading to a 1/2 compatibility among gametes under inter-tetrad selfing and 2/3 compatibility under intra-tetrad selfing (Figure S1). The linkage of the HD and PR mating-type loci thus doubles the odds of gamete compatibility under inter-tetrad selfing compared to a system with unlinked loci. Indeed, with unlinked HD and PR loci, a diploid individual produces four mating types, i.e. with 1/4 compatibility among gametes (Figure S1). In two Microbotryum fungi, the PR and HD loci became linked, not to each other, but to their respective centromeres; while this is not beneficial under inter-tetrad selfing (1/4 compatibility), it yields the same 2/3 compatibility odds under intra-tetrad selfing as PR-HD linkage (Figure S1).

In previous studies, we used the term “black strata” in referring all genomic regions linking the PR to HD mating-type loci to each other or to respective centromeres, although it should be reminded that they correspond to independent evolutionary events, trapping different sets of genes, with subsets overlapping among some of the black strata. HD-PR linkage was further followed, in several species, by stepwise extension of recombination suppression beyond the mating-type loci. The evolutionary causes for such extensions are still unknown (Hartmann et al., 2021a); a recent theoretical model suggests that recombination suppression beyond mating-type loci may evolve due to the benefit of sheltering deleterious mutations (Jay et al., 2022). The young evolutionary strata generated by these recombination suppression extensions in Microbotryum fungi were called by different colours in previous studies, e.g., white, light blue, pink, green and red (Branco et al., 2018). The proximal causes for extensions of recombination suppression are also unclear, as several young evolutionary strata were still collinear, without any inversions (Branco et al., 2018). The alternate alleles at genes in the non-recombining regions of mating-type chromosomes independently accumulate substitutions with time; their divergence is thus a proxy of time since the cessation of recombination. Trans-specific polymorphism (i.e., the clustering of alleles per mating type across species) can be used to study the age of recombination suppression linking genes to mating-type loci. Indeed, as soon as a gene is fully linked to a mating-type locus, its alternative alleles will remain associated to alternative mating types, even across speciation events; in a genealogy, its alleles will therefore be grouped according to mating type rather than according to species. The node at which the alleles associated with the alternative mating types diverge thus indicates the time of recombination cessation (Branco et al., 2017, 2018; Hartmann et al., 2021a).

In sex-determining homogametic/heterogametic systems, one chromosome still recombines, thus used as a proxy for the
ancestral gene order (Lahn & Page, 1999). In contrast, because mating types are determined at the haploid stage in fungi, both mating-type chromosomes are always heterozygous and both undergo frequent rearrangements in non-recombining regions, which renders the inference of historical steps of recombination suppression challenging. Previous studies have used as proxy of the ancestral state the chromosomal arrangement and gene order of an outgroup, *M. intermedium*. This *Microbotryum* fungus carries its PR and HD loci on different chromosomes and has its gene order highly syntenic with two other distantly related species, *M. lagerheimii* and *M. saponariae*, also carrying their PR and HD loci on different chromosomes (Branco et al., 2017; Carpentier et al., 2019). However, the genome of a single mating type of *M. intermedium* was available so far. Alternative mating types are called $a_1$ and $a_2$ in *Microbotryum* fungi.

In this study, we therefore sequenced the genome of the lacking mating type of *M. intermedium*, as well as the haploid genomes of the two mating types of four additional species. We chose species displaying 2/3 compatibility between gametes within tetrads, suggesting either the linkage of the PR to the HD mating-type loci or the linkage of each mating-type locus to their respective centromeres (M.E. Hood, unpublished data). Among these four species, *M. v. viscidula*, *M. v. gracilicaulis* and *M. v. parryi* belong to clades with *Microbotryum* fungi carrying linked HD and PR mating-type loci. In contrast, *M. v. lateriflora* belongs to the clade with the two species with HD and PR loci on distinct chromosomes, i.e., *M. lagerheimii* and *M. saponariae*. We analysed the organisation of the mating-type chromosomes in the new genomes sequenced in order to address the following questions: 1) Does *M. v. lateriflora* also carry its PR and HD mating-type loci on distinct chromosomes, with or without recombination suppression with the respective centromeres? Or does it correspond to yet another independent event of HD-PR linkage? 2) Do the three other species with new genome sequenced display linked HD and PR loci? Do the phylogenetic placements and trans-specific polymorphism indicate additional HD-PR linkage independent events from the previously documented ones? 3) In case of independent events of HD-PR linkage, did they occur through the same chromosomal rearrangements or yet new types of rearrangements? 4) Are there footprints of evolutionary strata in the new genomes analysed, i.e., stepwise extension of recombination suppression?

## 2 | MATERIAL AND METHODS

### 2.1 | DNA extraction and sequencing

DNA extraction and sequencing based on PacBio (Pacific Bioscience) long-read sequencing was performed as described previously (Branco et al., 2017, 2018). Samples were collected before the publication of laws regarding the Nagoya protocol, if any, in the countries of collection. *Microbotryum violaceum* is a species complex, with most species being specialized on a single host species, especially when parasitizing *Silene* plant species (Hartmann et al., 2019; Le Gac et al., 2007a, 2007b). For the species of the complex that have not been formally named yet, they are named after the species name of the host plant, as commonly practiced for host races or *formae speciales* in phytopathology. For the present study, $a_1$ and $a_2$ haploid cells were isolated from a single tetrad from the following species: *M. violaceum lateriflora* parasitizing *Moehringia lateriflora* (strain 1509, Boothbay Harbor, Maine, USA, Coord.: 43°51’34.8”N 69°37’45.6”W, collected in 2017), *M. violaceum gracilicaulis* parasitizing *Silene gracilicaulis* (strain 1299, Wutoudi, Lijiang, China, Coord.: 27°03’01.9”N 100°11’36.5”E, collected in 2014), *M. violaceum parryi* parasitizing *S. parryi* (strain 1510, Waterton lakes, Canada, Coord.: 49°14’58.5”N 113°50’24”W, collected in 2017), and *M. violaceum viscidula* parasitizing *S. viscidula* (strain 1506, Ninglang, Lijiang, China, Coord.: 27°12’18.7” 100°47’35.7”, collected in 2015). Cultivation of $a_1$ and $a_2$ haploid cells was performed as in Le Gac al., 2007b. We sequenced the haploid genome of *M. intermedium* corresponding to the $a_2$ mating type of the very same strain for which the haploid genome of the $a_1$ mating type had already been sequenced (strain 1389-BM-12-12, collected on *Salvia pratensis*, Italy, Coord. GPS: 44°20’00.7”N 7°08’10.9”E; Branco et al., 2017).

### 2.2 | Genome assemblies and gene prediction

Raw reads were processed using tools from the smrtanalysis suite 2.3.0 (https://github.com/PacificBiosciences/GenomicConsensus) as the previously published genomes (Badouin et al., 2015; Branco et al., 2017, 2018). We converted the .bax files from the same sequencing run into one fastq file using psl2fasta. We generated the assembly using canu v.1.8 (Koren et al., 2017) with the parameters "genomeSize=30m" and "-pacio-raw". We used pblast (version 0.3.0) with the blastr algorithm (Chaisson & Tesler, 2012) to realign the raw reads onto the assembly (indexed with samtools faidx; Li et al., 2009) and then used the output bam file into quiver (Chin et al., 2013) to polish the assembly basecalling. Default parameters were used when not detailed in the text. See Table S1 for assembly statistics.

As for the previously published *Microbotryum* high-quality genome assemblies (Badouin et al., 2015; Branco et al., 2017, 2018; Carpentier et al., 2019), the protein-coding gene models were predicted with EuGene 4.2a (Foissac et al., 2008), trained for *Microbotryum*. Similarities to the fungal subset of the uniprot database (Consortium TU, 2011) plus the *M. lychnidis-dioicae* Lamole proteome (Badouin et al., 2015) were integrated into EuGene for the prediction of gene models.

### 2.3 | Transposable element detection and annotation

De novo detection of transposable elements (TEs) was done using LTRharvest (Ellinghaus et al., 2008) from GenomeTools 1.5.10, performing long-terminal repeat (LTR) retrotransposons detection
and RepeatModeler 1.0.11 (Smit & Hubley, 2008) combining results from three other programs, RECON (Bao & Eddy, 2002), RepeatScout (Price et al., 2005) and Tandem Repeats Finder (Benson, 1999). The TE detection was enriched by BLASTn 2.6.0+ (Altschul et al., 1990) using the genomes as a database and the previously detected TE models as queries. To fulfill the repetitivity criterion, a TE sequence detected by RepeatModeler or LTRHarvest and their BLAST hits were retained only if the query matched three or more sequences in the same species with an identity ≥0.8, a sequence length >100bp and a coverage (defined as the query alignment length with removed gaps divided by the query length) ≥0.8. When these criteria were met, the other query matches were retained for the following parameters: identity ≥0.8, sequence length >100bp, e-value ≤5.3e-33 and coverage ≥0.8. TE annotation (Wicker et al., 2007) was performed using the fungal Repbase database 23.05 (Bao et al., 2015) based on sequence similarity. First, a BLASTn search and a BLASTx search were performed using the fungal Repbase DNA and protein sequence databases, respectively, and TE sequences as queries. For each of these similarity-based searches, the minimum e-value score was set at 1e-10 and minimum identity at 0.8. Then, protein domain detection was performed using pfam_scan.pl (ftp://ftp.ebi.ac.uk/pub/databases/Pfam/Tools/) on TE sequences and compared to protein domain detection in the fungal Repbase database. Matches with e-values lower or equal to 1e-5 were kept. The annotation found by RepeatModeler was also considered. When no annotation was found, the TE sequence was annotated as “unclassified”; such annotations were discarded when overlapping with predicted genes. Annotation was performed using a python script. TE copies were removed from the predicted genes in further analyses. The pipeline and python scripts used are available at https://gitlab.com/marine.c.duhamel/microtep.

2.4 Comparative genomics

Orthologous groups were obtained by Markov clustering (van Dongen, 2000) of high-scoring pairs parsed with orthoAgouge (Ekseth et al., 2014) based on all-vs-all BLASTP 2.6.0 (Altschul et al., 1990) on protein sequences. The coding sequences (CDS) of 3,645 single-copy genes present in all species analysed were aligned independently using MUSCLE (Edgar, 2004) as implemented in TranslatorX v1.1 (Abascal et al., 2010). We used IQ-TREE 2.0.4 (Minh et al., 2020) to build the maximum likelihood species tree with the TIM3+I model of substitution chosen according to the Akaike information criterion (AIC) by Model Finder implemented in IQ-TREE (Kalyaanamoorthy et al., 2017). We used Rhodotorula babjavae as an outgroup. We assessed the robustness of the nodes with 1000 ultrafast bootstraps (Hoang et al., 2018; Minh et al., 2013) and SH-like approximate likelihood ratio tests (SH-ALRT) (Guindon et al., 2010) from the concatenated alignment. Pheromone-receptor (PR), pheromone and homeodomain (HD) genes were identified by BLASTN 2.6.0 (Altschul et al., 1990) similarity search using the pheromone receptor, pheromone and homeodomain gene sequences from M. lycnchidis-dioicae (Devier et al., 2009; Petit et al., 2012). We identified the contigs belonging to the mating-type chromosomes for each species by i) identifying the contigs carrying PR, pheromone and HD genes, ii) identifying the contigs carrying single-copy orthologous genes from the PR and HD chromosomes of M. intermedium, iii) identifying the contigs in the a1 (respectively a2) genome carrying single-copy orthologous genes homologous to genes on the identified mating-type contigs of the a2 (respectively a1) genome, iv) plotting all these contigs using circos (http://circos.ca) comparing a1 and a2 genome to check which contigs really belong to the mating-type chromosomes (the contigs fully collinear between mating types and not assembled at an edge of the mating-type chromosome were considered to belong to autosomal chromosomes, see Branco et al., 2017). We oriented contigs in the direction involving the fewest inversions and keeping the recombining regions of the mating-type chromosomes, called pseudo-autosomal regions (PARs), at the edges and non-inverted between mating-type chromosomes within a diploid genome. The contigs were considered joined when not broken at the same point in the alternative mating-type genomes within diploid individuals. Mating-type fission and fusion scenarios were inferred by linking orthologous genes on a circos plot between the mating-type contigs from one haploid genome with linked mating-type loci and the mating-type chromosomes of M. intermedium or M. lagerheimii, used as a proxy for the ancestral state as done previously (Branco et al., 2017, 2018).

Synonymous divergence (dS) was calculated from the alignment of a1 and a2 allele sequences using MUSCLE (Edgar, 2004) implemented in TranslatorX v1.1 (Abascal et al., 2010). Synonymous divergence (dS) and its standard error were calculated using the yn00 v4.9f program of the PAML package (Yang, 2007) and plotted using the ggplot2 library of R (Wickham, 2009). Non-recombining regions were identified as non-collinear regions with non-null synonymous divergence using the a1-vs-a2 circos and dS plots. Pseudo-autosomal regions were identified as the remaining regions, being collinear and with null synonymous divergence, as expected in these highly selfing fungi.

Centromeres (Table S2) were identified by blasting (BLASTN 2.6.0 Altshul et al., 1990) the centromeric repeats previously described (Badouin et al., 2015). Centromeres were defined as stretches of centromeric repeats (Table S3), two consecutive repeats being distant by maximum 50kb; only the largest block was kept when several stretches of centromeric repeats were found on the same contig. Only contigs larger than 20kb were considered. The delimitation of putative centromeres was recursively extended by 1kb windows as long as gene density was lower than 0.25 in the focal window. Results were congruent with previously identified centromeres (Branco et al., 2018). Telomeres (Table S4) were delimited in the 100bp region at the end of contigs carrying at least five times the telomere-specific TTAGGG motif (CCCTAA on reverse complementary strand) as done previously (Badouin et al., 2015).
2.5 | Trans-specific polymorphism and datation

We performed codon-based alignment with macse v2.0 (Ranwez et al., 2018) of one-to-one orthologs of genes ancestrally located between the PR locus and its centromere (23 genes), between this centromere and the short arm telomere (11 genes), between the HD locus and its centromere (8 genes) or in the light blue M. caroliniana stratum (14 genes). Gene trees were obtained with IQ-TREE 2.0.4 (Minh et al., 2020) with automatic selection of the substitution model (Kalyaanamoorthy et al., 2017) and branch-support estimated with 1000 ultrafast bootstraps (Hoang et al., 2018; Minh et al., 2013). Tree topology congruence was assessed with the approximate unbiased (AU) test (Shimodaira, 2002) with 10,000 RELL replicates (Kishino et al., 1990) implemented in IQ-TREE 2.0.4 (\(\omega_b\) 10000 and \(\omega_u\) options). For the genes ancestrally located between the PR locus and its centromere, between the centromere of the ancestral PR chromosome and its short arm telomere and between the HD locus and its centromere we tested whether each gene alignment was significantly conflicting with each of the different topologies obtained among the 41 other gene trees, using the \(-z\) option and the substitution model fixed to the one found during the focal gene tree reconstruction. We also compared the topology of the species tree and the tree obtained by concatenating the conserved genes in the region proximal to the PR locus corresponding to light blue and red strata. Trees with pAU values greater than 0.05 were considered non-discordant. The codon-based multiple alignments were concatenated separately for these regions, producing alignments of 19,944 (PR locus to centromere), 3,955 (centromere ancestral PR to short-arm telomere), 7,705 (HD locus to centromere) and 9,952 (proximal region of the PR locus on the long arm side, corresponding to the light blue and red strata) codons. Partitioned alignments (one partition per codon position) were imported to BEAUti v2.5.0 (Bouckaert et al., 2014) to produce BEAST2 xml input files with the following priors: unlinked gamma site model with 3 categories and HKY substitution model; strict clock and gamma clock rate with alpha 0.001 and beta 1,000; calibrated Yule tree model with gamma birth alpha 0.001 and beta 1,000; exponential gamma shape per partition; log-normal HKY’s kappa. A calibration point at each of the two divergence nodes between M. lynchidis-dioicae and M. silenes-dioicae, one node separating the a1-associated alleles and the other node the a2-associated alleles, both set as normally distributed with mean 0.42 MY and sigma 0.04. BEAST2 v2.4.6 (Bouckaert et al., 2014); runs were performed for 20,000,000 iterations and written every 1,000 iterations. Date estimates were obtained with the 15,000 trees with best posterior probabilities.

3 | RESULTS

We obtained high-quality assemblies (Table S1) for a genome of the previously unavailable haploid mating type (a1) of M. intermedium, as well as the haploid genomes of both mating types of four additional species compared to earlier studies: M. violaceum lateriflora parasitizing Moehringia lateriflora, M. violaceum gracilicaulis parasitizing Silene gracilicaulis, M. violaceum parryi parasitizing S. parryi, and M. violaceum viscidula parasitizing S. viscidula. The species tree obtained based on 3,645 single-copy genes was robust and congruent with previous studies; the new species were distributed across the phylogeny (Figure 1).

3.1 | Ancestral state in Microbotryum intermedium

The assembly of the a2 M. intermedium genome recovered full HD and PR mating-type chromosomes, with telomeric repeats at the ends of the contigs and well-defined centromeres, thus confirming that the PR and HD loci are unlinked in this species. We found, as in previous studies on other Microbotryum species (Branco et al., 2017, 2018), that autosomes were completely collinear between the two haploid genomes of the sequenced M. intermedium diploid strain (Figure S2A), and autosomal genes had zero dS levels between the allele copies (Figure S3A); dS levels and collinearity are therefore good indicators of the occurrence of recombination and these selfing species are highly homozygous. By comparing the alternative mating-type chromosomes of M. intermedium, we detected few rearrangements (Figure 2A) and the dS plots mostly displayed zero values, as observed in autosomes (Figure S2A and Figures S3A). These observations confirm that there is likely no recombination suppression along most of the M. intermedium mating-type chromosomes. High dS values and rearrangements were only observed in proximity to the PR locus, indicating that this region does not recombine. This region corresponds to the stratum called “purple” that was previously identified in the other Microbotryum species (Figure 3A). This purple evolutionary stratum thus likely evolved before the divergence of M. intermedium from the other Microbotryum species (Figure 1).

We found a small inversion near the centromere in the PR mating-type chromosome that distinguished M. intermedium from another species also with PR and HD loci retained on separate mating-type chromosomes, M. lagerheimii (Figure 2B). Because the M. lagerheimii arrangement was found in all the Microbotryum species analysed except M. intermedium and M. scabiosae (Figure 2B and Figure S4O), we inferred that M. intermedium harboured the ancestral gene order and that the inversion around the PR centromere occurred early in the Microbotryum clade, after the divergence of the rest of the Microbotryum clade from the M. scabiosae lineage (Figure 1). We therefore consider the M. lagerheimii gene order as ancestral for inferring chromosomal rearrangement scenarios in the lineages that have derived subsequent to this inversion near the PR chromosome centromere (Figure 1).

3.2 | Discovery of additional independent events of mating-type loci linkage

The evolutionary history of mating-type chromosomes was inferred in four Microbotryum species studied for the first time here (Figure 1,
M. v. gracilicaulis, M. v. viscidula, M. v. lateriflora and M. v. parryi) by comparing their genomes to the M. lagerheimii genome. We obtained high-quality assemblies of two haploid genomes per species, of alternative mating types (a₁ and a₂) and produced by meiosis of a single diploid individual. The mating-type chromosomes were assembled into few contigs, not interrupted at the same places in the two mating-type chromosomes in each species (Figure 2 and Figure S5), which allowed complete joining of contigs. The PR and the HD loci were found on a single contig in at least one haploid genome of each species, indicating that these species all have their mating-type loci linked together on a single chromosome (Figure 2C and 2E; Figures S5A and Figures S5C). The pseudo-autosomal regions were defined

**FIGURE 1** Multiple independent events of recombination suppression in mating-type chromosomes across the Microbotryum phylogeny. Phylogeny of 17 Microbotryum species rooted by a red yeast outgroup (Rhodothorula babjavae), based on single-copy orthologous gene genealogies (left panel), with pictures of diseased plants. White circles at nodes indicate full support by bootstrap and Shimodaira–Hasegawa-approximate likelihood ratio tests (SH-aLRT). The genomes of the species labelled with * were generated for this study. Photos of the diseased flowers by M. E. Hood, except M. v. viscidula and M. v. gracilicaulis (by H. Tang) and M. v. parryi (by acorn13 @iNaturalist, cropped). CC BY 4.0. The red arrows represent the independent events of pheromone-receptor (PR) and homeodomain (HD) mating-type locus linkage. The blue and purple arrows represent the independent events of HD and PR mating-type locus linkage to their respective centromeres, respectively. The purple-framed blue arrow represents the event of HD mating-type locus linkage to the ancestral PR centromere and the blue-framed purple arrow represents the events of PR mating-type locus linkage to the ancestral HD centromere. The chromosomal fission and fusion scenarios having led to HD-PR linkage are indicated right to the species tree (labelled with numbers, in grey squares corresponding to independent events). In all species both a₁ and a₂ mating-type chromosomes corresponded to the very same rearrangements of ancestral mating-type chromosomes, as figured here, and have been later rearranged following recombination suppression. The scenario labelled “C” corresponds to recombination suppression events of mating-type loci to their respective centromeres and is depicted on the right panel. The colour bars correspond to the presence of the different evolutionary strata in each species, each column corresponding to a stratum colour. On the depicted chromosomes are represented in purple the ancestral or fused part of the PR chromosome, in blue the ancestral or fused part of the HD chromosome, in green the regions of the ancestral PR and HD chromosomes that became autosomal following HD-PR linkage. The inversion having occurred near the centromere of the PR chromosome is represented in light purple. The centromeres are represented in yellow. Non-recombining regions (NRRs) are cross-hatched. Chromosome fissions are indicated by red waves.
as fully collinear regions lacking synonymous divergence between alleles (i.e. with the same patterns as in autosomes; Figure 2; Figures 2 and 3).

We reconstructed the evolutionary history of their mating-type chromosomes by comparing their genome structures to those of M. lagerheimii, taken as a proxy for their genomic ancestral state before chromosomal fusion events. The mating-type chromosome fissions (always found at centromeres) were determined by assessing, in synteny plots (e.g. Figure 2 and Figure S5), what arms of the ancestral mating type chromosomes became autosomes, i.e. were completely collinear between mating-types and assembled separately from the derived mating-type chromosomes in both haploid genomes. The orientation of ancestral mating-type chromosome fusion was assessed by determining on synteny plots (e.g. Figure 2 and Figure S5) what edge of ancestral mating-type chromosomes remained recombining, i.e. became pseudo autosomal regions, the other edge of the ancestral chromosome or the centromere thus corresponding to the fusion point. For orienting the few contigs within the non-recombining regions that could not be assembled with the PAR contigs, we applied a majority rule minimising inversion numbers with the alternative mating type; their orientations do not impact the scenario reconstruction. In several species, the two mating-type chromosomes appeared heteromorphic, i.e. of different sizes and with multiple inversions distinguishing them (see mating-type chromosome size indicated on the X axis of Figure 3 and rearrangements on Figure 2). These differences correspond to rearrangements having occurred following recombination suppression. Indeed, in all species both $a_1$ and $a_2$ mating-type chromosomes were homologous, corresponding to the same rearrangements of ancestral mating-type chromosomes.

In M. gracilicaulis parasitizing Silene gracilicaulis (Figure 2D) and M. v. viscidula parasitizing Silene viscidula (Figure S4B), mating-type locus linkage was achieved through the fusion of the entire PR chromosome and the short arm of the HD chromosome (scenario 1, Figure 1). This represents the same chromosomal rearrangement as in M. lychnitis-dioicae, M. silenes-dioicae, M. coronariae, M. violaceum s.s. and M. v. melanantha. The placement of these species as a clade in the phylogeny suggests that this fission/fusion event can represent an ancestral rearrangement to this clade (Figure 1). Under the alternative hypothesis, the same rearrangement would have occurred several times independently.

In order to test the independence of the mating-type locus linkage events in this clade, we analysed trans-specific polymorphism by reconstructing the evolutionary history of $a_1$ and $a_2$-associated alleles for the genes located between the two mating-type loci at the time of the linkage event. We built the genealogies of 23, 11 and 8 genes ancestrally located between the PR locus and its centromere, in the PR-chromosome short arm, and between the HD locus and its centromere, respectively. Among these 42 genes, the 31 (23+8) ancestrally in the PR-to-centromere and HD-to-centromere regions were initially located between the two mating-type loci for all types of rearrangements documented so far, except in M. silenes-acaulis. In this later species, the PR chromosome was fused in the reverse orientation so that the genes ancestrally located between the PR locus and its centromere are still recombining (scenario 2, Figure 1). The genealogies recovered in the genes ancestrally located between the PR locus and its centromere and between the HD locus and its centromere displayed trans-specific polymorphism between M. lychnitis-dioicae and M. silenes-dioicae on the one hand and M. violaceum s. str. and M. coronariae on the other hand. This indicates that recombination cessation occurred independently in these two clades (Figure 1), either following independent similar chromosomal rearrangements or a single basal chromosomal rearrangement with initially incomplete recombination suppression. We also found trans-specific polymorphism, shared between M. v. caroliniana and M. v. parryi, in the HD-to-centromere and PR-to-centromere regions, suggesting: 1) an ancestral chromosomal rearrangement and gradual completion of recombination suppression, or 2) recombination suppression between each mating-type locus and its respective centromere, as in M. lagerheimii and M. saponariae, and then, indepedntly in the two species, the same type of chromosomal rearrangement linking the HD and PR loci one two each other. The two steps would be beneficial, as the linkage between each mating-type locus and its respective centromere is essential under intra-tetrad selfing, and the HD-PR linkage would then be further beneficial under inter-tetrad selfing (Carpentier et al., 2019). Both alternatives are consistent with much older date estimates for recombination suppression in both regions (2.23 or 2.79 MYA) than the inferred speciation date (1.42 MYA, Figure 4 and Table S5).

The genealogies displayed by the genes ancestrally located in the three regions analysed, i.e. PR-to-centromere, PR short arm and HD-to-centromere, were incongruent for some nodes (Figure 4), in particular for the placement of alternate mating types in the M. v. gracilicaulis - M. v. viscidula - M. v. melanantha clade. We indeed detected trans-specific polymorphisms for these three species (i.e., clustering of allele according to mating type rather than according to species) in the gene genealogies of the HD-to-centromere but not the PR-to-centromere ancestral regions. The genes ancestrally located in the PR-chromosome short arm displayed trans-specific polymorphism between M. v. viscidula and M. v. melanantha, but not shared with M. v. gracilicaulis, while there was no trans-specific polymorphism at all in this clade in the PR-to-centromere ancestral region. This suggests that full HD-PR linkage in this clade was achieved in several steps, some of which occurred independently in the different species (Figure 4 and Figure S5). The chromosomal rearrangement and the suppression of recombination in the HD-to-centromere region have most likely occurred at the base of this three-species clade. Indeed, the ancestral recombination suppression in the HD-to-centromere region would not be beneficial unless the chromosomal rearrangement had already occurred, linking the HD and PR loci one to each other. The recombination suppression has then extended after the divergence of M. v. gracilicaulis, and then again further after the speciation between M. v. viscidula and M. v. melanantha (Figure 4A, 4B and 4C). This stepwise completion of recombination suppression led to the formation of three distinct
evolutionary strata in the M. v. viscidula-M. v. melanantha clade, that we called light grey, dark grey and black strata (Figure 4E), and which can be also seen in the d3 plots (Figure 3B and 3C).

The estimated dates of recombination suppression (Table S5) indicated that the light grey stratum (HD-to-centromere) occurred in the M. v. viscidula-M. v. melanantha clade 1.5 MYA (in the same event as in the M. v. gracilicaulis lineage). The dark grey stratum (PR-chromosome short arm) occurred 0.88 MYA and the black strata (PR-to-centromere) between 0.15 MYA in M. v. melanantha and 0.6 MYA in M. v. viscidula. In the M. v. gracilicaulis lineage, the black stratum (PR-chromosome short arm plus PR-to-centromere) evolved 0.8 to 0.9 MYA (Figure 3D and Figure S6).

In M. v. lateriflora parasitizing Moehringia lateriflora (Figure 2F) and M. v. parryi parazitizing Silene parryi (Figure S4D), the mating-type chromosomes were formed by a fusion between the long arm of the PR chromosome and the short arm of the HD chromosome, following the same scenario as M. v. caroliniana and M. tatarinowii (scenario 4, Figure 1). The placement of M. v. parryi as a sister species of M. v. caroliniana in the phylogeny suggests that the mating-type locus linkage event could have occurred before the divergence of these two species. This was confirmed by the trans-specific polymorphism found between these two species in their black stratum (Figure 4). In contrast, the strongly supported placement of M. v. lateriflora within the clade formed by M. lagerheimii and M. saponariae (Figure 1), both with mating-type loci linked to their respective centromere, indicates that the mating-type chromosome rearrangements in M. v. lateriflora occurred independently from that in M. v. caroliniana, M. v. parryi and M. v. tatarinowii. The exact same breakpoints were involved in the convergent rearrangements, exactly at the centromere when we consider that the ancestral state of the PR chromosome corresponds to the gene order of M. lagerheimii. These similar and independent events thus represent evolutionary convergence.

We found a large range for the estimated dates of recombination suppression events between mating-type loci, from 0.15 MYA in M. silenes-acaulis to 3.58 MYA M. scabiosa (Figure 4, Table S5). In M. scabiosa, the much older dates of recombination suppression in the HD-to-centromere region than in the PR-to-centromere region suggest that, in this lineage too, the recombination suppression between the two mating-type loci has likely occurred in two distinct evolutionary strata. Such stepwise extension of recombination suppression is also supported by the d3 plot previously published (Branco et al., 2018). Actually, the estimated dates of recombination suppression were more ancient for the HD-to-centromere region than in the long arm of the PR chromosome in most species (Table S5); this suggests that recombination was not completely suppressed all along the region between the two mating-type loci immediately after the chromosomal rearrangements in the various species, but instead extended progressively from the mating-type loci until joining to complete recombination cessation.

3.3 | Evolutionary strata beyond mating-type loci

In order to investigate the existence of young evolutionary strata, we inspected the d4 values between the alleles in a1 and a2 genomes along the mating-type chromosomes according to the ancestral gene order inferred from M. lagerheimii. In all the four species with new genome sequences, d2 was high between alleles at the genes located ancestrally between the mating-type loci, in agreement with the complete recombination suppression linking mating-type loci (Figure 3B, C, D, E and F). As in previous studies (Branco et al., 2017, 2018), we called the regions without recombination linking the HD and PR loci in each species the black strata; note however that they do not all have the same origin or gene content (Figure 1). In M. v. lateriflora, the moderate level of rearrangements (Figure 2C), together with the low level of differentiation between the genes of the black strata (Figure 3E), confirm that the mating-type locus linkage event occurred very recently (date estimate 0.19 to 0.41 MYA, Table S5). More extensive rearrangements between a1 and a2 mating type chromosomes in M. v. gracilicaulis, M. v. viscidula and M. v. parryi (Figure 2C; Figures S2A and Figures S2C), as well as higher d4 values in their black strata (Figure 3B, C and E), confirm the older linkage of the mating-type loci in these species (Table S5).
**FIGURE 3** Evolutionary strata in the mating-type chromosomes of Microbotryum fungi. Per-gene synonymous divergence between $a_1$ and $a_2$ alleles on the mating-type chromosomes of A) *M. intermedium*, B) *M. viscidula*, C) *M. melanantha*, D) *M. gracilicaulis*, E) *M. lateriflora* and F) *M. parryi*, plotted along the ancestral gene order, inferred from *M. lagerheimii* with unlinked mating-type loci. The number of genes and average of the $a_1$ and $a_2$ contig lengths are indicated on the X axis. Synonymous divergence is used as a proxy for time since recombination suppression. Ancient purple and blue evolutionary strata were formed around each of the mating-type genes (pheromone-receptor, PR, in dark purple, and homeodomain, HD, in dark blue, genes controlling mating compatibility) and is ancestral in the Microbotryum clade (present in all species, including *M. intermedium* in A). In *M. viscidula* (B) and *M. melanantha* (C) recombination suppression first linked the HD locus to its centromere, then extended to the PR centromere and eventually to the PR locus, generating the light grey, dark grey and the black strata. In *M. gracilicaulis* (D), recombination suppression linked the HD locus to its centromere and then to the PR locus, forming the light grey and the black strata. In *M. lateriflora* (E) and *M. parryi* (F), recombination suppression has linked the HD and PR mating-type loci together, generating black strata. Further extension of recombination suppression beyond the mating-type loci generated the younger orange evolutionary stratum in *M. viscidula* (B), *M. melanantha* (C) and *M. gracilicaulis* (D). The recombining regions of the mating-type chromosomes with null synonymous divergence, i.e. PARs, are represented in grey. Red ticks below the X axis correspond to genes used to infer the linkage dates.

**FIGURE 4** Onset of suppression of recombination date estimates and reconstructed scenario of stepwise recombination suppression between the HD and PR mating-type loci in the *Microbotryum violaceum melanantha* clade. (a-d) Timetree of conserved genes in the regions boxed on the right-side ancestral chromosome sketches. Topologies are all significantly different (AU test $p$-value >0.05). Trans-specific polymorphisms are indicated by red branches. Date estimates are shown in colored boxes near the split between $a_1$ and $a_2$ alleles, confidence intervals (CI) depicted as rectangles at corresponding nodes. Numbers in italics below branching points in (d) correspond to speciation dates. Topology in (d) is identical to the species phylogeny and estimated speciation dates overlap. Insets detail the scenarios in which the conserved genes are in non-recombining regions (species names in bold), the number of genes concatenated and the alignment length in codons. See Figure 1 for diagrams of the reconstructed scenarios. Colored symbols correspond to $a_1$ (circles) and $a_2$ alleles (squares) following the species colour scheme in Figure 1. (e) Reconstructed stepwise recombination suppression having generated the light grey, dark grey and black evolutionary strata in the *Microbotryum violaceum melanantha* clade. Arrows correspond to the suppression of recombination steps. See Figure S5 for details on the steps. Box: Key to symbols in the figure.
We found, in all the species studied here, the footprints of the same ancient shared evolutionary strata as in other Microbotryum species, with high \( d_S \) levels in the blue and purple regions in proximity to the HD and PR loci, respectively (Figure 3). These purple and blue regions thus likely represent stepwise extensions of recombination suppression beyond mating-type loci at the basis of the Microbotryum clade (Figure 1). These different evolutionary strata were not initially delimited only based on their \( d_S \) levels, but by i) the set of species displaying non-zero \( d_S \) values in these genomic regions and ii) the level of trans-specific polymorphism, two strong indicators of the origin of the strata in the phylogeny. Further extension of the recombination suppression beyond the PR locus and its ancient purple stratum was detected in \( M. \) gracilicaulis and \( M. \) v. viscidula (Figure 3B and 3C), corresponding to the previously identified orange stratum in the \( M. \) lycnidiis-dioicae clade as well as in \( M. \) silenes-acaulis and \( M. \) v. paradoxa (Figure 1). In agreement with the previous inference that the orange stratum evolved after the divergence of the \( M. \) lagerheimii - \( M. \) saponariae clade (Hartmann et al., 2021a), we did not find traces of the orange stratum in \( M. \) v. lateriflora or \( M. \) v. parryi (Figure 3E and 3F). However, the orange stratum seemed to be present in \( M. \) v. tatarinowii (Figure 1; Carpentier et al., 2021), which suggests an independent evolution in this later lineage. We did not find on \( M. \) v. parryi footprints of the light blue stratum previously identified in \( M. \) v. caroliniana (Branco et al., 2018), neither from the \( d_S \) plot nor in the trans-specific polymorphism analyses. This finding supports the inference that the light blue stratum evolved very recently in \( M. \) v. caroliniana, later than the black stratum that is shared with \( M. \) v. parryi (trans-specific black stratum date 2.23–2.79 MYA, \( M. \) v. caroliniana light blue stratum date 0.26 MYA, speciation date 1.42 MYA, Figure 4 and Table S5).

4 | DISCUSSION

In the present study, we obtained high-quality assemblies of alternative mating types for four additional Microbotryum anther-smut fungi, in addition to the 13 already available. We found additional events of independent chromosomal rearrangements bringing the two mating-type loci onto the same chromosome followed by recombination suppression linking the two mating-type loci to each other. In total, this makes at least nine independent and convergent events of mating-type locus linkage across the Microbotryum genus. The dates of recombination suppression between the two mating-type loci ranged from 0.15 to 3.58 million years ago. In addition, we found further strong support for independent events of recombination suppression between mating-type loci and centromeres in two Microbotryum species, through the phylogenetic placement of an additional species whose genomes we sequenced here. With these four events of recombination suppression (two species times two centromere-mating-type locus linkage events), this makes a total of 13 events of recombination suppression involving mating-type loci across the phylogeny of Microbotryum anther-smut fungi, and even more if we count the independent completion events of recombination cessation in the \( M. \) v. melanantha clade. As the Microbotryum genus likely contains more than a hundred species (Hood et al., 2010; Lutz et al., 2008), these results suggest that there remains a rich resource of genomic diversity in the evolution of suppressed recombination in linkage to reproductive compatibility loci. Given the high number of convergent events having linked the two mating-type loci on the same chromosome, one may wonder why it did not occur earlier, at the basis of the Microbotryum clade. A speculative answer is that similar chromosomal rearrangements need to occur on the two mating-type chromosomes to avoid unbalanced meiosis (Fraser et al., 2004), which may not occur that easily, even if the number of convergent events across the phylogeny show that it can happen repeatedly.

Additional independent events of mating-type locus linkage also occurred in other basidiomycete genera, e.g., Ustilago and Cryptococcus (Bakkeren & Kronstad, 1994; Hartmann et al., 2021a; Sun et al., 2019). Such striking and repeated convergence shows that strong selection can lead to similar evolution repeatedly. The linkage of HD and PR loci has likely been selected for increasing gamete compatibility odds under selfing (Branco et al., 2017; Hood, 2002; Hood et al., 2004). With a single locus and two alleles, gametes are indeed compatible with 50% of other gametes, while the odd is only 25% with two loci and two alleles each, as fungi can only mate when alleles are different at both loci. Linkage between each of the two mating-type loci and their respective centromere gives the same odds of gamete compatibility as mating-type loci linkage, but only within meiotic tetrads, not among tetrads of a given diploid individual where the odds fall to 25%. This may be why only two Microbotryum species evolved PR- and HD-centromere linkage without chromosome fusion (Carpentier et al., 2019) whereas HD-PR mating-type loci linkage evolved a dozen times. Such two-fold increase in the odds of compatibility may be important to maximize the chances of plant infection, as a higher number of dikaryons on a given plant increases disease probability (Kaltz et al., 1999; Roche et al., 1995), and it may give a time advantage under competition situations. A single genotype typically indeed has a resident advantage at parasitizing a plant even when several genotypes are deposited on the plant (Day, 1980; Gibson et al., 2012; Giraud et al., 2005; Hood, 2003; López-Villavicencio et al., 2007). The repeated convergent evolution of mating-type locus linkage shows that natural selection is very powerful in the face of contingency (i.e. the stochasticity associated with initial conditions and mutations occurring randomly) and can make evolution repeatable.

We did not find new types of chromosomal rearrangements having led to HD and PR on a single chromosome, and instead found repeated independent evolution of the same four types of chromosome fusion/fission events, with breakpoints at centromeres. This suggests that the convergence events of HD-PR linkage have occurred by convergence of a limited number of chromosomal rearrangement types and that rearrangements at centromeres are more likely than at other places in chromosomes. Such rearrangements at centromeres have also been reported in the other basidiomycetes having undergone HD-PR locus linkage (Sun et al., 2017).
In the *M. gracilicaulis*- *M. v. viscidula*- *M. v. melanantha* clade, we found that recombination suppression in the HD-to-centromere region was ancestral to the clade while the recombination suppression in the PR short arm was shared only by *M. v. viscidula* and *M. v. melanantha*, and the recombination suppression in the PR-to-centromere region occurred independently in each species, completing the PR-HD linkage. Recombination suppression between the HD locus and its centromere without linkage to PR would bring no advantage in terms of odds of gamete compatibility; the chromosomal rearrangement that has brought the HD and PR loci on the same chromosome is therefore likely ancestral to the clade, which is supported by the trans-specific polymorphism found at some genes in the short arm of the PR chromosome. There would have been initially only partial linkage between HD and PR if recombination was allowed in the PR-to-centromere region, but the increased rates of gamete compatibility would still have been beneficial compared to full independence of mating-type loci. Later, completion of recombination cessation would also have been a beneficial step, further increasing rates of gamete compatibility. We also found evidence for gradual completion of recombination cessation in the *M. v. caroliniana* - *M. v. parryi* clade.

The mating-type chromosomes with more ancient recombination suppression, such as in *M. v. gracilicaulis*, were more rearranged between alternative chromosomes than the mating-type chromosomes with more recent recombination suppression, such as in *M. v. lateriflora*. Oldest Microbotryum mating-type chromosome are heteromorphic, i.e. with different sizes between mating types, which had been initially observed on karyotypes as the first case of heteromorphic fungal mating-type chromosomes (Hood, 2002; Hood et al., 2004). The extensive rearrangements observed are a consequence of suppressed recombination. An initial inversion could also be the proximal cause of recombination cessation, but several young evolutionary strata in Microbotryum fungi were still fully collinear (Branco et al., 2018; Carpentier et al., 2019), as in other fungi with non-recombining mating-type chromosomes (Hartmann et al., 2021b; Y. Sun et al., 2017a). Other possible proximal mechanisms suppressing recombination involve methylation marks or localized recombination modifiers acting in cis or in trans (Ellermeier et al., 2010; Hartmann et al., 2021; Okita et al., 2019).

Stepwise extension of recombination suppression leading to large non-recombining regions has been identified on many plant and animal sex chromosomes (Bergero & Charlesworth, 2009; Furman et al., 2020; Nicolas et al., 2004) but its evolutionary and proximal causes remain debated (Irons, 2010; Ponnikas et al., 2018). Our findings further support the anther-smut fungi as excellent models to study the evolution of recombination suppression and its consequences, providing many independent cases of recombination cessation across a wide range of ages, but relatively young, and with multiple evolutionary strata.

**ACKNOWLEDGEMENTS**

This work was supported by the National Institute of Health (NIH) grant R15GM119092 to M. E. H., the Louis D. Foundation award and the EvolSexChrom ERC advanced grant #832352 to T. G., the Deutsche Forschungsgemeinschaft (DFG) grant BE 2201/23-1 to D. B., and an IDEX Paris-Saclay Bochum University PhD grant to M.D. We thank Kurt Hasselman, John Bain and Hui Tang who collected the strains (with an avoided encounter with a grizzly bear for John Bain). We thank Cécile Fairhead for help with DNA extraction. PacBio sequencing was conducted at the IGM Genomics Center, University of California, San Diego, La Jolla, California.

**CONFLICTS OF INTEREST**

The authors have no conflict of interest to declare.

**AUTHOR CONTRIBUTIONS**

T.G., R.C.R.d.I.V. and M.E.H designed and supervised the study. T.G., M.E.H, D.B. and M.D. contributed to get funding. F.C., R.C.R.d.I.V. and M.E.H. obtained the genome assemblies. M.D., F.C., R.C.R.d.I.V. performed the genomic analyses. T.G., R.C.R.d.I.V. and M.D. wrote the original draft. All authors contributed to the manuscript.

**PEER REVIEW**

The peer review history for this article is available at https://publons.com/publon/10.1111/jeb.13991.

**DATA AVAILABILITY STATEMENT**

Sequencing data and genome assemblies were deposited at GenBank under the BioProject PRJNA771266. The TE detection pipeline is available at https://gitlab.com/marine.c.duhamel/microtep.

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**How to cite this article:** Duhamel, M., Carpentier, F., Begerow, D., Hood, M. E., Rodriguez de la Vega, R. C., & Giraud, T. (2022). Onset and stepwise extensions of recombination suppression are common in mating-type chromosomes of *Microbotryum* anther-smut fungi. *Journal of Evolutionary Biology*, 35, 1619–1634. https://doi.org/10.1111/jeb.13991