Constructions of \((2, 1, 2)\) complete \(j\)-MDP convolutional codes

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Abstract

Maximum distance profile (MDP) convolutional codes have been proven to be very suitable for transmission over an erasure channel. In addition, the subclass of complete MDP convolutional codes has the ability to restart decoding after a burst of erasures. However, there is a lack of constructions of these codes over fields of small size. In this paper, we introduce the notion of complete \(j\)-MDP convolutional codes, which are a generalization of complete MDP convolutional codes, and describe their decoding properties. Using a computer search with the MAPLE software, we determine the minimal binary and non-binary field size for the existence of \((2, 1, 2)\) complete \(j\)-MDP convolutional codes and provide corresponding constructions. Moreover, we give a description of all \((2, 1, 2)\) complete MDP convolutional codes over the smallest possible fields, namely \(F_{13}\) and \(F_{16}\).

Keywords: Complete MDP convolutional codes, Erasure channel, \(j\)-MDP convolutional codes

MSC: 94B10, 94B35

I. INTRODUCTION

Convolutional codes are especially suitable for transmitting over an erasure channel, which is the most used channel in multimedia traffic. An erasure channel is a communication channel where the receiver knows if a received symbol is correct since symbols either arrive correctly or are erased. The Internet is an important example of an erasure channel. The advantage of convolutional codes is the ability of considering a part of the sequence ("window") of any size and slide this window along the transmitted sequence depending on the erasures location to optimize the number of corrected erasures.

Crucial for the erasure correcting capability of a convolutional code are its column distances, which are limited by an upper bound, proven in [11]. Convolutional codes attaining these bounds, i.e. convolutional codes whose column distances increase as rapidly as possible for as long as possible, are called maximum distance profile (MDP) convolutional codes. In [12], the authors showed that MDP convolutional codes

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have optimal recovery rate. Moreover, they introduced the subclass of reverse MDP convolutional codes, which could recover more erasure patterns since they have are also suitable for sliding backwards along the sequence. Finally, complete MDP convolutional codes, which are again a subclass of reverse MDP convolutional codes, have the additional benefit that they can correct even more erasure patterns than reverse MDP convolution codes, e.g. there is less waiting time when a large burst of erasures occurs and no correction is possible for some time (see [12]).

In this paper, we will introduce a new notion of convolutional codes, the so-called complete $j$-MDP convolutional codes, which contain the class of complete MDP convolutional codes. The column distances of these codes are maximal up to the $j$-th column distance. Moreover, they are also suitable for backward decoding and admit to restart the decoding after a burst of erasures if there is a window with sufficient small percentage of erasures after this burst. For small $j$, complete $j$-MDP convolutional codes have weaker erasure correcting capability than complete MDP convolutional code but in turn are easier to construct.

There are some general constructions for MDP (see [4], [2], [1] and [10]) and complete MDP convolutional codes (see [8]). However, all of these constructions have the disadvantage that they only work over base fields of very large size.

This provokes the question for the minimal field size such that an MDP (respectively, complete MDP) convolutional code exists. Upper bounds on the necessary field size for the existence of MDP convolutional codes could be found in [7] and [9], for the existence of complete MDP convolutional codes in [9]. Furthermore, in [9], lower bounds for the probability that a convolutional code is MDP (respectively complete MDP) are obtained.

For some special code parameters, the precise necessary field size as well as corresponding constructions are known. In [3], $(n, n - 1, 2)$ MDP convolutional codes where $n \geq 4$ is a power of a 2, are considered. In [9], the author considered $(n, 1, 1)$ (and $(n, n - 1, 1)$) MDP, reverse MDP and complete MDP convolutional codes and calculated the corresponding probabilities. In particular, [9] contains a description of all $(2, 1, 1)$ MDP, reverse MDP and complete MDP convolutional codes over the smallest possible field $F_3$. In this paper, we continue this work giving a description of all $(2, 1, 2)$ complete MDP convolutional codes with minimal field size.

The paper is structured as follows. In Section 2, we start with some preliminaries about MDP, reverse MDP and complete MDP convolutional codes. In Section 3, we introduce complete $j$-MDP convolutional codes and their decoding capability. In Section 4, we study the $(2, 1, 2)$ complete $j$-MDP convolutional codes and, for each $j \leq 4$, we give the minimal binary and non-binary field sizes for the existence of a complete $j$-MDP convolutional code together with a corresponding construction. In particular, we give a complete description of all $(2, 1, 2)$ complete MDP convolutional codes over the smallest possible fields.
namely \( \mathbb{F}_{13} \) and \( \mathbb{F}_{16} \). These results were obtained with the help of a computer search using the mathematical software Maple. In Appendix we include the computer programs we used.

### II. Preliminaries

In this section, we summarize the basic definitions and properties concerning MDP convolutional codes. One way to define a convolutional code is via polynomial generator matrices.

**Definition 1:** A convolutional code \( \mathcal{C} \) of rate \( k/n \) is a free \( \mathbb{F}[z] \)-submodule of \( \mathbb{F}[z]^n \) of rank \( k \). There exists \( G(z) \in \mathbb{F}[z]^{n \times k} \) of full column rank such that

\[
\mathcal{C} = \{ v(z) \in \mathbb{F}[z]^n \mid v(z) = G(z)m(z) \text{ for some } m(z) \in \mathbb{F}[z]^k \}.
\]

\( G(z) \) is called the **generator matrix** of the code and is unique up to right multiplication with a unimodular matrix \( U(z) \in \text{Gl}_k(\mathbb{F}[z]) \).

The **degree** \( \delta \) of \( \mathcal{C} \) is defined as the maximal degree of the \( k \times k \)-minors of \( G(z) \). Let \( \delta_1, \ldots, \delta_k \) be the column degrees of \( G(z) \). Then, \( \delta \leq \delta_1 + \cdots + \delta_k \) and if \( \delta = \delta_1 + \cdots + \delta_k \), \( G(z) \) is called a minimal generator matrix and \( \max_{i \in \{1, \ldots, n\}} \delta_i \) is the **memory** of \( \mathcal{C} \).

We refer to a convolutional code with rate \( k/n \) and degree \( \delta \) as \((n, k, \delta)\) convolutional code.

There is a generic subclass of convolutional codes that could not only be described by an image representation via generator matrices but also by a kernel representation via the so-called parity-check matrices, which will be introduced in the following. Therefore, we need the notion of right prime polynomial matrices.

**Definition 2:** Let \( \overline{\mathbb{F}} \) denote the algebraic closure of \( \mathbb{F} \). A polynomial matrix \( G(z) \in \mathbb{F}[z]^{n \times k} \) with \( k < n \) is called right prime if it has full column rank for all \( z \in \overline{\mathbb{F}} \).

**Definition 3:** A convolutional code \( \mathcal{C} \) is called **non-catastrophic** if one and therefore, each of its generator matrices is right prime.

**Definition 4:** If \( \mathcal{C} \) is non-catastrophic, there exists a so-called **parity-check matrix** \( H(z) \in \mathbb{F}[z]^{(n-k) \times n} \) of full rank, such that

\[
\mathcal{C} = \{ v(z) \in \mathbb{F}[z]^n \mid H(z)v(z) = 0 \in \mathbb{F}[z]^{n-k} \}.
\]

Throughout this paper we assume all convolutional codes to be non-catastrophic.

We will need the representation by parity-check matrices to define complete MDP convolutional codes. But first of all, we want to introduce MDP convolutional codes, for which we have to consider distances of convolutional codes. For this paper, the notion of column distances plays a crucial role because column
distances are important for the decoding over an erasure channel. In this kind of channel, each symbol either arrives correctly or does not arrive at all.

**Definition 5:** The **Hamming weight** \( wt(v) \) of \( v \in \mathbb{F}^n \) is defined as the number of its nonzero components. For \( v(z) \in \mathbb{F}[z]^n \) with \( \text{deg}(v(z)) = \gamma \), write \( v(z) = v_0 + \cdots + v_\gamma z^\gamma \) with \( v_t \in \mathbb{F}^n \) for \( t = 0, \ldots, \gamma \) and set \( v_t = 0 \in \mathbb{F}^n \) for \( t \geq \gamma + 1 \). Then, for \( j \in \mathbb{N}_0 \), the **j-th column distance** of a convolutional code \( C \) is defined as

\[
d_c^j(C) := \min_{v(z) \in C} \left\{ j \sum_{t=0}^{\gamma} wt(v_t) \mid v_0 \neq 0 \right\}.
\]

There exist upper bounds for the column distances of a convolutional code.

**Theorem 1:** [11][4] Let \( C \) be a convolutional code with rate \( k/n \) and degree \( \delta \). Then, it holds:

\[
d_c^j(C) \leq (n - k)(j + 1) + 1 \quad \text{for} \quad j \in \mathbb{N}_0
\]

We are interested in convolutional codes whose column distances increase as rapidly as possible for as long as possible.

**Definition 6:** [6] A convolutional code \( C \) of rate \( k/n \) and degree \( \delta \) has **maximum distance profile** (MDP) if

\[
d_c^j(C) = (n - k)(j + 1) + 1 \quad \text{for} \quad j = 0, \ldots, L := \left\lfloor \frac{\delta}{k} \right\rfloor + \left\lfloor \frac{\delta}{n - k} \right\rfloor
\]

According to [4], it is sufficient to have equality for \( j = L \) in Theorem 1 to get an MDP convolutional code.

In the following, we will provide criteria to check whether a convolutional code has a maximum distance profile. Therefore, we need the notion of trivially zero determinants.

**Definition 7:** Let \( A = [\mu_{ij}] \) be a square matrix of order \( m \) over \( \mathbb{F}_q^M \) and \( S_m \) the symmetric group of order \( m \). Recall that the determinant of \( A \) is given by

\[
|A| = \sum_{\sigma \in S_m} \text{sgn}(\sigma) \mu_{1\sigma(1)} \cdots \mu_{m\sigma(m)},
\]

where the sign of the permutation \( \sigma \), \( \text{sgn}(\sigma) \), is 1 (resp. -1) according as if \( \sigma \) can be written as product of an even (resp. odd) number of transpositions. A **trivial term** of the determinant is a term of (1), \( \mu_{1\sigma(1)} \cdots \mu_{m\sigma(m)} \), equal to zero. If \( A \) is a square submatrix of a matrix \( B \), with entries in \( \mathbb{F}_q^M \), and all the terms of the determinant of \( A \) are trivial we say that \( |A| \) is a **trivial minor** of \( B \).

**Theorem 2:** [4] Let \( C \) have parity-check \( H(z) = \sum_{i=0}^{n} H_i z^i \in \mathbb{F}[z]^{n-k \times n} \). The following statements are equivalent:

(i) \( d_c^j(C) = (n - k)(j + 1) + 1 \)
(ii) $\mathcal{H}_j := \begin{bmatrix} H_0 & 0 \\ \vdots & \ddots \\ H_j & \cdots & H_0 \end{bmatrix}$ with $H_i \equiv 0$ for $i > \nu$ has the property that every full size minor that is not trivially zero, i.e. is formed by columns with indices $1 \leq j_1 < \cdots < j_{(j+1)(n-k)}$ with $j_s(n-k) \leq sn$ for $s = 1, \ldots, j$, is nonzero.

From the preceding theorem, one can deduce the following decoding property.

**Theorem 3:** [12] If $d_c^j(\mathcal{C}) = (n-k)(j+1) + 1$ and in any sliding window of length $(j+1)n$ at most $(j+1)(n-k)$ erasures occur in a transmitted sequence, then complete recovery is possible by iteratively decoding the symbols from left to right.

We recall reverse MDP convolutional codes, which are advantageous for use in forward and backward decoding algorithms [12].

**Definition 8:** [5] Let $\mathcal{C}$ be an $(n, k, \delta)$ convolutional code with right prime minimal generator matrix $G(z)$, which has entries $g_{ij}(z)$ and column degrees $\delta_1, \ldots, \delta_k$. Set $g_{ij}(z) := z^{\delta_j}g_{ij}(z^{-1})$. Then, the code $\overline{\mathcal{C}}$ with generator matrix $G(z)$, which has $\overline{g_{ij}(z)}$ as entries, is also an $(n, k, \delta)$ convolutional code, which is called the reverse code to $\mathcal{C}$.

It holds: $v_0 + \cdots + v_d z^d \in \overline{\mathcal{C}} \iff v_d + \cdots + v_0 z^d \in \mathcal{C}$.

**Definition 9:** [12] Let $\mathcal{C}$ be an MDP convolutional code. If $\overline{\mathcal{C}}$ is also MDP, $\mathcal{C}$ is called reverse MDP convolutional code.

Reverse MDP convolutional codes can recover the same amount of erasures per window as MDP convolutional codes but not only from left to right but also from right to left, which allows in total to correct more erasure patterns, see [12].

**Remark 1:** [12] Let $\mathcal{C}$ be an $(n, k, \delta)$ MDP convolutional code with $(n - k) \mid \delta$. Furthermore, let $H(z) = H_0 + \cdots + H_\nu z^\nu$ be a left prime and row proper parity-check matrix of $\mathcal{C}$. Then the reverse code $\overline{\mathcal{C}}$ has parity-check matrix $\overline{H}(z) = H_\nu + \cdots + H_0 z^\nu$. Therefore, $\mathcal{C}$ is reverse MDP if and only if every full size minor of the matrix

$$\widetilde{H}_L := \begin{bmatrix} H_\nu & \cdots & H_\nu-L \\ \vdots & \ddots & \vdots \\ 0 & \cdots & H_\nu \end{bmatrix}$$

formed from the columns with indices $j_1, \ldots, j_{(L+1)(n-k)}$ with $j_s(n-k)+1 > sn$, for $s = 1, \ldots, L$ is nonzero.

Next, we introduce complete MDP convolutional codes, which are even more advantageous for decoding than reverse MDP convolutional codes as there is less waiting time when a large burst of erasures occurs and no correction is possible for some time [12].
Definition 10: [12] Let \( H(z) = H_0 + H_1 z + \cdots H_\nu z^\nu \in \mathbb{F}[z]^{(n-k)\times n} \) be a parity-check matrix of the convolutional code \( C \) of rate \( k/n \) and degree \( \delta \). Set \( L := \lfloor \frac{\delta}{n-k} \rfloor + \lfloor \frac{\delta}{k} \rfloor \). Then

\[
\tilde{\mathcal{H}} := \begin{pmatrix}
H_\nu & \cdots & H_0 & 0 \\
0 & \ddots & \ddots & \ddots \\
0 & H_\nu & \cdots & H_0
\end{pmatrix} \in \mathbb{F}^{(L+1)(n-k)\times (\nu+L+1)n}
\] (2)

is called partial parity-check matrix of the code. Moreover, \( C \) is called complete MDP convolutional code if for any of its parity-check matrices \( H(z) \), every full size minor of \( \tilde{\mathcal{H}} \) which is not trivially zero is nonzero.

Remark 2: (i) Every complete MDP convolutional code is a reverse MDP convolutional code. [12] (ii) A complete MDP convolutional code exists over a sufficiently large base field if and only if \( (n-k) \mid \delta \). [8]

As for \( \mathcal{H}_L \) - when considering MDP convolutional codes - and additionally for \( \tilde{\mathcal{H}}_L \) - when considering reverse MDP convolutional codes - one could describe the not trivially zero full size minors of the partial parity-check matrix \( \tilde{\mathcal{H}} \) by conditions on the indices of the columns one uses to form the corresponding minor.

Lemma 1: [12] A full size minor of \( \tilde{\mathcal{H}} \) formed by the columns \( j_1, \ldots, j_{(L+1)(n-k)} \) is not trivially zero if and only if

(i) \( j_{(n-k)s+1} > s n \)
(ii) \( j_{(n-k)s} \leq s n + \nu n \)

for \( s = 1, \ldots, L \).

In addition to the erasure correcting capability of reverse MDP convolutional codes, complete MDP convolutional codes admit the possibility to continue decoding after a window with too many erasures was received. This is described in the following theorem, which is an immediate consequence of the preceding lemma.

Theorem 4: If in a window of size \( (\nu + L + 1)n \) there are not more than \( (L + 1)(n-k) \) erasures, and if between position 1 and \( s n \) and between position \( (\nu + L + 1)n \) and \( (\nu + L + 1)n - s n + 1 \) for \( s = 1, \ldots, L + 1 \), there are not more than \( s(n-k) \) erasures, then full correction of all symbols in this interval is possible.

In case one receives one window that fulfills the properties of this theorem, one is able to recover all the erasures in this window, no matter what happens in the other windows. This might also help to recover the erasures that could not be recovered before.
III. COMPLETE $j$-MDP CONVOLUTIONAL CODES

As it turns out to be hard to find constructions of MDP and especially complete MDP convolutional codes over fields of small size, in this section, we introduce a new class of codes, the so-called complete $j$-MDP convolutional codes, which are easier to obtain than complete MDP convolutional codes but still have good decoding properties.

**Definition 11:** Let $(n-k) \mid \delta$ and $C$ be an $(n, k, \delta)$ convolutional code with parity-check matrix $H(z) = H_0 + \cdots + H_{\nu} z^{\nu}$ where $\nu = \frac{\delta}{n-k}$. For $j = 0, \ldots, L$, define $\mathcal{H}_j$ as the matrix consisting of the first $(j+1)(n-k)$ rows and the first $(j+1+\nu)n$ columns of the partial parity-check matrix $\mathcal{H}$ defined in (2).

We call $C$ a **complete $j$-MDP convolutional code** if all fullsize minors of $\mathcal{H}_j$ that are not trivially zero are nonzero.

Note that according to Definition 10, a complete $L$-MDP convolutional code is the same as a complete MDP convolutional code. Moreover, it follows from Theorem 2 that the column distances of a complete $j$-MDP convolutional code reach the upper bound of Theorem 1 up to the $j$-th column distance.

The following lemma is an easy consequence of Lemma 1.

**Lemma 2:** A full size minor of $\mathcal{H}_j$ formed by the columns $j_1, \ldots, j_{(j+1)(n-k)}$ is not trivially zero if and only if

(i) \( j_{(n-k)s+1} > sn \)
(ii) \( j_{(n-k)s} \leq sn + \nu n \)

for $s = 1, \ldots, j$.

Furthermore, the following lemma implies that the number of erasure patterns that could be corrected with an $(n, k, \delta)$ complete $j$-MDP convolutional code and the necessary field size for the existence of such a code increase with $j$.

**Lemma 3:** If for some $i = 0, \ldots, L$, $C$ is a complete $i$-MDP convolutional code, then $C$ is also a complete $j$-MDP convolutional code for all $j \leq i$.

**Proof:**
Without loss of generality, we could assume $i = j + 1$ (the rest follows per induction). Let $a \in \mathbb{F}$ be any not trivially zero fullsize minor of $\mathcal{H}_j$, and $A \in \mathbb{F}^{(j+1)(n-k) \times (j+1)(n-k)}$ be the matrix consisting of the corresponding columns of $\mathcal{H}_j$, i.e. $\det(A) = a$. Denote the indices of the columns of $\mathcal{H}_j$ that were used to form $A$ by $l_1, \ldots, l_{(j+1)(n-k)}$. Consider the fullsize minor of $\mathcal{H}_i$ formed by the union of columns with indices $l_1, \ldots, l_{(j+1)(n-k)}$ and of $n-k$ of the last $n$ columns of $\mathcal{H}_i$. This fullsize minor of $\mathcal{H}_i$, which we denote by $b$, is not trivially zero and as $C$ is a complete $i$-MDP convolutional code, it is nonzero. Moreover, it holds $b = a \cdot \det(D)$ where $D$ is a $(n-k) \times (n-k)$ submatrix of $H_0$. Hence $a \neq 0$ and consequently,
$C$ is a complete $j$-MDP convolutional code.

The definition of complete $j$-MDP convolutional codes as well as the preceding lemma imply that
the class of complete $j$-MDP convolutional codes contains the complete MDP convolutional codes, but it
neither contains the reverse MDP convolutional codes nor is it contained in the class of MDP convolutional
codes.

The following theorem states the decoding properties of a complete $j$-MDP convolutional code.

Theorem 5: If $C$ is a complete $j$-MDP convolutional code, then it has the following decoding properties:
(1) If in any sliding window of length $(j + 1)n$ at most $(j + 1)(n - k)$ erasures occur, complete recovery
is possible.
(2) If in a window of size $(\nu + j + 1)n$ there are not more than $(j + 1)(n - k)$ erasures, and if between
position 1 and $sn$ and between position $(\nu + j + 1)n$ and $(\nu + j + 1)n - sn + 1$, for $s = 1, \ldots, j$, there are
not more than $s(n - k)$ erasures, then full correction of all symbols in this interval is possible.

Proof:
Property (1) is a consequence of Theorem 2 and Theorem 3 and property (2) follows from Lemma 2.

In the following section, we will apply the results of this section to the $(2, 1, 2)$ complete $j$-MDP
convolutional codes that we will construct there.

IV. CONSTRUCTION OF $(2, 1, 2)$ COMPLETE $j$-MDP CONVOLUTIONAL CODES

The aim of this section is the construction of $(2, 1, 2)$ complete $j$-MDP convolutional codes over fields
with minimum possible field size. For these code parameters, we have $\nu = 2$, $L = 4$ and the following
partial parity-check matrix:

$$H := \begin{pmatrix}
H_2 & H_1 & H_0 \\
H_2 & H_1 & H_0 \\
H_2 & H_1 & H_0 \\
H_2 & H_1 & H_0 \\
H_2 & H_1 & H_0 \\
\end{pmatrix} \in \mathbb{F}_5^{5 \times 14}. \quad (3)
$$

where $H_i \in \mathbb{F}_5^{1 \times 2}$ for $i = 0, 1, 2$. In the following, for all $j \in \{0, \ldots, 4\}$, we will determine the smallest field as well as the smallest binary field over which a $(2, 1, 2)$ complete $j$-MDP convolutional codes exists
and give constructions for such codes.

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A. \((2, 1, 2)\) complete 0-MDP convolutional codes

It holds \(S_0 = [H_2 \ H_1 \ H_0]\) and obviously \(\mathcal{C}\) is complete 0-MDP if and only if all entries of the vectors \(H_i\) for \(i \in \{0, 1, 2\}\) are nonzero. Hence, such a code already exists over \(\mathbb{F}_2\), namely the convolutional code whose parity check matrix fulfills \(H_i = [1 \ 1]\) for \(i \in \{0, 1, 2\}\). According to Theorem 5 such a code has the following decoding properties: If in every window of size 2 there is not more than 1 erasure, full recovery is possible. Moreover, if this condition is violated at some point but later there is window of size 6 with at most 1 erasure, this erasure can be recovered and there is enough guard space to start again with the decoding; see [12] for more explanations and a detailed decoding algorithm. This means that full recovery is possible if every second symbol is erased but any burst of two or more erasures cannot be corrected. This should be illustrated with the following example.

Example 1: Assume that we have the following erasure pattern where \(x\) denotes an erasure and \(\sqrt{\_}\) a correctly received symbol

\[
\begin{array}{cccccccc}
\times & \sqrt & \sqrt & \times & \times & \times & \sqrt & \sqrt \\
\times & \times & \times & \sqrt & \sqrt & \sqrt & \sqrt & \times \\
\end{array}
\]

In the first three windows of size two the erasures could be recovered but not the two erasures in the fourth window. However, after these two erasures, which have to be declared as lost, there is a window of size 6 with only 1 erasure, which could be recovered. Moreover, this enables us to start the decoding again and to recover also the 2 erasures in the last two windows of size 2.

In the following sections, we will consider \((2, 1, 2)\) complete \(j\)-MDP convolutional codes since the minimum binary field size for their existence is the same, which will be shown in the following.

B. \((2, 1, 2)\) complete 1-MDP and complete 2-MDP convolutional codes

In this section, we treat both \((2, 1, 2)\) complete 1-MDP and \((2, 1, 2)\) complete 2-MDP convolutional codes since the minimum binary field size for their existence is the same, which will be shown in the following.

Theorem 6: If \(|\mathbb{F}| \leq 4\), there exists no \((2, 1, 2)\) complete 1-MDP and hence also no \((2, 1, 2)\) complete 2-MDP convolutional code over \(\mathbb{F}\).

Proof:

For the existence of a \((2, 1, 2)\) complete 1-MDP convolutional code over \(\mathbb{F}\) it is necessary to have four pairwise linearly independent vectors in \(\mathbb{F}^2\) where additionally all entries of these vectors have to be nonzero. This is not possible if \(|\mathbb{F}| \leq 4\).

\[\square\]
Hence the minimum binary field size for a $(2, 1, 2)$ complete 1-MDP convolutional code and consequently also for a $(2, 1, 2)$ complete 2-MDP convolutional code is at least 8.

Some of the results in this and the next section were obtained with the help of computer search using Maple, so we will not write a formal proof. An explanation of the algorithms used is given in section IV-D. The following is one of these results:

Theorem 7: There exist $840 \ast 7^2$ values for $[H_2 \ H_1 \ H_0] \in (\mathbb{F}_8 \ \{0\})^6$ such that $H(z) = \sum_{i=0}^{2} H_i z^i$ is the parity-check matrix of an $(2, 1, 2)$ complete 1-MDP convolutional code over $\mathbb{F}_8$. Moreover, there exist $126 \ast 7^2$ values for $[H_2 \ H_1 \ H_0] \in (\mathbb{F}_8 \ \{0\})^6$ such that $H(z) = \sum_{i=0}^{2} H_i z^i$ is the parity-check matrix of an $(2, 1, 2)$ complete 2-MDP convolutional code over $\mathbb{F}_8$. An example for such a code is given by $[H_2 \ H_1 \ H_0] = [1 \ 1 \ 1 \ \alpha \ \alpha + 1 \ \alpha + 1]$ where $\alpha$ is a primitive element of $\mathbb{F}_8$.

To determine the minimum (possibly non binary) field size for the existence of such codes, one has to check the existence over $\mathbb{F}_5$ and $\mathbb{F}_7$. Again with the help of computer search using Maple, we obtain the following two theorems.

Theorem 8: There exist $24 \ast 4^2$ values for $[H_2 \ H_1 \ H_0] \in (\mathbb{F}_5 \ \{0\})^6$ such that $H(z) = \sum_{i=0}^{2} H_i z^i$ is the parity-check matrix of an $(2, 1, 2)$ complete 1-MDP convolutional code over $\mathbb{F}_5$. An example for such a code is given by $[H_2 \ H_1 \ H_0] = [1 \ 1 \ 1 \ 2 \ 3 \ 3]$.

According to Theorem 5 an $(2, 1, 2)$ complete 1-MDP convolutional code has the following decoding properties:

(1) If in every window of size 4, there are at most 4 erasures, then complete recovery is possible (forward and backward).

(2) If in one window of size 8, there are at most 2 erasures (and they are distributed according to the conditions of Theorem 5, i.e. not too many at the edges), then full recovery in this window is possible.

Theorem 9: There exists no $(2, 1, 2)$ complete 2-MDP convolutional code over $\mathbb{F}_5$. Moreover, there exist $24 \ast 6^2$ values for $[H_2 \ H_1 \ H_0] \in (\mathbb{F}_7 \ \{0\})^6$ such that $H(z) = \sum_{i=0}^{2} H_i z^i$ is the parity-check matrix of an $(2, 1, 2)$ complete 2-MDP convolutional code over $\mathbb{F}_7$. An example for such a code is given by $[H_2 \ H_1 \ H_0] = [1 \ 1 \ 1 \ 2 \ 5 \ 5]$.

According to Theorem 5 an $(2, 1, 2)$ complete 2-MDP convolutional code has the following decoding properties:

(1) If in every window of size 6, there are at most 3 erasures, then complete recovery is possible (forward and backward).

(2) If in one window of size 10, there are at most 3 erasures (and they are distributed according to the conditions of Theorem 5, i.e. not too many at the edges), then full recovery in this window is possible.
We want to compare the performances of \((2, 1, 2)\) complete 1-MDP and \((2, 1, 2)\) complete 2-MDP convolutional codes with the performances of \((2, 1, 2)\) MDP and \((2, 1, 2)\) reverse MDP convolutional codes. The smallest field over which a \((2, 1, 2)\) MDP convolutional code could exist is \(F_7\) (see [7]). Such a code could correct forward if in each window of size 10 there are at most 5 erasures. As each reverse MDP convolutional code is an MDP convolutional code, the minimum field size for a \((2, 1, 2)\) reverse MDP convolutional code is at least 7. Such a code could correct forward and backward if in each window of size 10 there are at most 5 erasures, see [12].

It is possible to find erasures patterns that a \((2, 1, 2)\) complete 1-MDP and hence also a \((2, 1, 2)\) complete 2-MDP convolutional code can correct and a \((2, 1, 2)\) reverse MDP and hence also a \((2, 1, 2)\) MDP convolutional code not.

**Example 2:** The following erasure pattern could be completely corrected with a \((2, 1, 2)\) complete 1-MDP convolutional code but not with a \((2, 1, 2)\) reverse MDP convolutional code:

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 |
|---|---|---|---|---|---|---|---|---|----|----|----|----|
| x | x | x | √ | x | x | x | √ | x | √ | x | √ | x |

Again \(x\) denotes an erasure and √ a correctly received symbol and the second line of the table numbers the windows of size 2.

**Proof:**

As the union of the first five windows of size 2 forms a window of size 10 with 6, i.e. more than 5, erasures this pattern cannot be corrected forward with a \((2, 1, 2)\) MDP convolutional code. As also the union of the last five windows of size 2 contains more than 5 erasures, backward decoding with a \((2, 1, 2)\) reverse MDP convolutional code is also not possible.

However, correction with a \((2, 1, 2)\) complete 1-MDP convolutional code is possible with the following steps:

1) The union of the size-2-windows 5 to 8 forms a window of size 8 with 2 erasures, which can be recovered.

2) As the erasures in windows 5 and 6 are already recovered it is possible to recover the erasures in windows 3 and 4 with backward decoding (window of size 4 with 2 erasures).

3) After steps 1 and 2, the union of the size-2-windows 2 to 5 forms a window of size 8 with 1 erasure, which can be recovered.

4) Windows 1 and 2 form now a window of size 4 with 2 erasures that can be recovered with forward decoding.

5) Windows 9 and 10 form a window of size 4 with 2 erasures that can now be recovered with forward decoding.
6) Windows 11 and 12 form a window of size 4 with 2 erasures that can now be recovered with forward decoding.

7) Windows 12 and 13 form now a window of size 4 with 2 erasures that can now be recovered with backward decoding.

For further explanation about sliding window decoding with MDP, reverse MDP and complete MDP convolutional codes, see [12].

On the other hand, there are also erasure patterns that can be corrected by a $(2, 1, 2)$ MDP convolutional code but not by a $(2, 1, 2)$ complete 2-MDP convolutional code but they are harder to find.

Example 3: The following erasure pattern could be corrected with a $(2, 1, 2)$ MDP convolutional code but not with a $(2, 1, 2)$ complete 2-MDP convolutional code:

\[
\begin{array}{cccccc}
\times & \times & \checkmark & \times & \checkmark & \checkmark \\
\end{array}
\]

Proof:
As we have a window of size 10 with 5 erasures, erasure recovery is possible with a $(2, 1, 2)$ MDP convolutional code.

However, neither decoding property (1) nor decoding property (2) of a $(2, 1, 2)$ complete 2-MDP convolutional code enable any recovery.

C. $(2, 1, 2)$ complete 3-MDP and complete MDP convolutional codes

The results in this section were all obtained with the help of Maple. We found the following:

Theorem 10: For $r \leq 3$, there exists no $(2, 1, 2)$ complete 3-MDP convolutional code over $\mathbb{F}_{2^r}$.

The preceding theorem implies that the minimum binary field size for an $(2, 1, 2)$ complete MDP convolutional code is at least 16. The following theorem states that it is exactly 16 and gives a complete description of all such codes.

Theorem 11: A $(2, 1, 2)$ convolutional code over $\mathbb{F}_{2^4}$ is complete MDP if and only if

\[
H_2 = [\beta, \gamma], \quad H_1 = [\beta \alpha^{i_1}, \gamma \alpha^{i_2}], \quad H_0 = [\beta \alpha^{i_3}, \gamma \alpha^{i_4}]
\]

where $\alpha$ is a primitive element of $\mathbb{F}_{2^4}$, $\beta, \gamma \in \mathbb{F}_{2^4} \setminus \{0\}$, $i_1 \in \{0, \ldots, 14\}$,

\[
i_2 = i_1 + 3k \mod 15 \text{ for } k = 1, 2, 4, 8,
\]

\[
i_3 = i_4 = i_1 + i_2 - 4^j k \mod 15 \text{ for } j = 0, 1.
\]

Therefore, there are exactly $15^2 \times 120$ values for $[H_2 \ H_1 \ H_0] \in (\mathbb{F}_{2^4} \setminus \{0\})^6$. 

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Two possibilities are given in the following example.

Example 4: If $\beta = \gamma = 1, i_1 = 0, k = 1$ and $j = 0$ we obtain $H_2 = [1 1], H_1 = [\alpha^3], H_0 = [\alpha^2 \alpha^2]$. If $\beta = \gamma = 1, i_1 = 2, k = 8$ and $j = 1$ we obtain $H_2 = [1 1], H_1 = [\alpha^2 \alpha^3 + \alpha^2 + \alpha]$ and $H_0 = [\alpha^3 + \alpha^2 + \alpha \alpha^3 + \alpha^2 + \alpha].$

Next, we present the minimum size of a (finite) prime field $F_p$ for which we have a $(2,1,2)$ complete MDP convolutional code. We were again able to find a complete description of all such codes.

Theorem 12: There exists no $(2,1,2)$ complete MDP convolutional code over $F_q$, for $q = p^r \leq 11$ an odd prime power. Moreover, a $(2,1,2)$ convolutional code over $F_{13}$ is complete MDP if and only if

$$H_2 = \begin{bmatrix} \beta \\ \gamma \end{bmatrix}, \ H_1 = \begin{bmatrix} \beta 2^{i_2} \\ \gamma 2 a^{i_2} \end{bmatrix}, \ H_0 = \begin{bmatrix} \beta 2^{i_3} \\ \gamma 2^{i_4} \end{bmatrix}$$

where $2$ is a primitive element of $F_{13}, \beta, \gamma \in \mathbb{F}_{13} \setminus \{0\}, i_1 \in \{0, \ldots, 11\}$,

$i_2 = i_1 + 6 \mod 12,$

$i_3 = i_4 = 2i_1 + 1 + 6j \mod 12$ for $j = 0, 1$.

Therefore there are exactly $12^2 \times 24$ values for $[H_2 \ H_1 \ H_0] \in (\mathbb{F}_{13} \setminus \{0\})^6$.

D. Future Work and Description of Maple Algorithms

In this section we give a description of the Maple algorithms and present a table with all results about the minimum field size of a $(2,1,2)$ complete $j$-MDP convolutional codes, for $j = 1, 2, 3, 4$ (see fig. 1). We also explain the computational difficulties while dealing with, for example, a $(2,1,3)$ complete MDP convolutional code.

Our Maple algorithms have the following steps: For each $k \in \{2, 3, 4, 5\}$,

1) calculate all nonzero full size minors, and find out how many are different, of the $k \times 14$ submatrices of (3), where $H_2 = [1, 1], H_1 = [a, b], H_0 = [c, d]$, and $a, b, c, d$ are free variables. We may consider $H_2 = [1, 1]$ because the nonzeroness of a minor does not change if we multiply a column by a nonzero value.

2) find all irreducible factors of all full size minors of the $k \times 14$ submatrices of (3). Since we want to prove that all full size minors are nonzero, it is enough to prove that all the irreducible factors are nonzero.

3) consider a finite field $\mathbb{F}$ and test if the irreducible factors are nonzero for any $a, b, c, d \in \mathbb{F} \setminus \{0\}$. For example, for $\mathbb{F} = \mathbb{F}_{16}$ we tested $15^4$ possible combinations of $a, b, c$ and $d$.

4) to obtain complete descriptions we looked to all values of $a, b, c$ and $d$ that make all irreducible factors nonzero and figured out a formula to describe them. Then used Maple to show that the formula gives exactly all the values $a, b, c$ and $d$ obtained.
A summary of the results obtained is illustrated in Figure 1. For each $j \in \{1, 2, 3, 4\}$ and for the smallest binary and prime field, we present:

- the number of all full size minors,
- the number of different minors,
- the number of irreducible factors,
- the number of solutions and an example or the complete description.
- the percentage of solutions in relation to all the possible (nonzero) values for $a, b, c$ and $d$.

In our future work we intend to study larger complete $j$-MDP convolutional codes. Even for a $(2, 1, 3)$ complete MDP convolutional code, the computational effort is already enormous since in this case we need to find $H_2 = [a, b]$, $H_1 = [c, d]$, $H_0 = [e, f]$ (here we can take $H_3 = [1, 1]$). There are $15^6 = 11390625$ different possibilities if we consider $F_{16}$ (but we believe the smallest binary finite field is $F_{32}$). We have

---

| $j$ | Field | All | Diff. | Irred. | Solutions | Perc. |
|-----|-------|-----|-------|-------|-----------|-------|
| 1   | $\mathbb{F}_5$ | 91  | 19    | 10    | 24        | 9.375% |
|     | $\mathbb{F}_8$ | 91  | 19    | 10    | 840       | 34.985% |
| 2   | $\mathbb{F}_7$ | 364 | 59    | 21    | 24        | 1.852% |
|     | $\mathbb{F}_8$ | 364 | 59    | 19    | 126       | 5.248% |
| 3   | $\mathbb{F}_{13}$ | 1001 | 179  | 44    | 240       | 1.157% |
|     | $\mathbb{F}_{16}$ | 1001 | 179  | 42    | 600       | 1.185% |
| 4   | $\mathbb{F}_{13}$ | 2002 | 519  | 83    | 24        | 0.115% |
|     | $\mathbb{F}_{16}$ | 2002 | 519  | 79    | 120       | 0.237% |
to plug in all the irreducible factors of the full size minors. The complete descriptions we obtained in the previous section may help us to find particular solutions.

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**APPENDIX**

The next instructions produce all the irreducible factors of all full-size minors of the parity check matrix of a \((2, 1, 2)\) complete MDP convolutional code over a binary field. Afterwards all solutions over \(F_{16}\) are produced. A similar code can be obtained over other prime fields.
restart:

with(LinearAlgebra):with(combinat):

A:=Matrix([[1,1,a,b,c,d,0,0,0,0,0,0,0,0],[0,0,1,1,a,b,c,d,0,0,0,0,0,0],[0,0,0,1,1,a,b,c,d,0,0,0,0,0],[0,0,0,0,0,0,1,1,a,b,c,d,0,0,0],[0,0,0,0,0,0,0,0,1,1,a,b,c,d]]):

setminors:={}:

U:=choose(14,5):

for k to nops(U) do

B:=SubMatrix(A,[seq(i,i=1..5)],U[k]):

detB:=Determinant(B):

setminors:=setminors union {detB} mod 2:

od:

setminorsaux:=setminors minus {0,1}:

irredfact:={}:

for iminors in setminorsaux do

irred:=Factors(iminors) mod 2:

for irri to nops(irred[2]) do

irredfact:=irredfact union {irred[2][irri][1]} mod 2:

od:

od:

print(irredfact):print(nops(setminors),nops(irredfact)):

functset:=(a,b,c,d)->{a, b, c, d, a+b, a^2+c, b^2+d, a*b+c,

 a+b+d, a*d+b*c, a^4+a^2*c+c^2, b^4+b^2*d+d^2, a^2*b+a*c+b*c,

 a^3+b+a^2*c+c^2, a*b^2+a*d+b*d, a*b^3+b^2*d+d^2, a*b*c+d,

 a*b^2+a*d+b*c, a^2*b+a*d+b*c, a*b^3+b^2*c+c*d, a^2*b^2+a*b+d+c^2,

 a^2*b^2+a*b*c+d^2, a^3*b+a^2*d+c*d, a^4*b+a^3*c+a^2*b*c+b*c^2,

 a^3*b+a^2*c+d^2, a*b+b^2+c+d, a^2+a*b+c+d, a*b^2+d+c^2,

 a^2*d+a*b*c+c^2+d, a^2*b+a*c+a*d+b*c, a^2*b+a^2*c+a*c+b*d,

 a^3*a^2*b+a*d+b*c, a*b^2+d^3+b*c+a*d^2+b*d^2, a*b^3+b^2*c+b^2*d+d^2,

 a*b^3+b^2*c+b^2*d+c*d, a^2*b+d+a*b^2*c+a*d^2+b*c^2, a^2*b+d+a*b^2*c+a*d^2+b*c^2,
\begin{verbatim}
irredfactval := functset(a1,b1,c1,d1):
alias(alpha = RootOf(x^4+x+1=0,2)):
interv := [17,31]:
for elementa from interv[1] to interv[2] do
  
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  \end{verbatim}
base2a := convert(elementa, base, 2):
a1 := base2a[1]*1 + base2a[2]*alpha + base2a[3]*alpha^2 + base2a[4]*alpha^3:
for elementb from interv[1] to interv[2] do
    base2b := convert(elementb, base, 2):
    b1 := base2b[1]*1 + base2b[2]*alpha + base2b[3]*alpha^2 + base2b[4]*alpha^3:
    for elementc from interv[1] to interv[2] do
        base2c := convert(elementc, base, 2):
        c1 := base2c[1]*1 + base2c[2]*alpha + base2c[3]*alpha^2 + base2c[4]*alpha^3:
        for elementd from interv[1] to interv[2] do
            base2d := convert(elementd, base, 2):
            d1 := base2d[1]*1 + base2d[2]*alpha + base2d[3]*alpha^2 + base2d[4]*alpha^3:
            pass := true:
            irredfactf := {}:
            for fink in irredfactval do
                irredfactf := irredfactf union {evala(fink) mod 2}:
                if evala(fink) mod 2 = 0 then pass := false:
                fi:
            od:
            if pass then print(a1, b1, c1, d1):
                print(nops(irredfactf)):
                setsol := setsol minus {[a1, b1, c1, d1]}:
            fi:
        od:
    od:
od:
od:
od:
od: