Monte Carlo Solution of High Electric Field Hole Transport Processes in Avalanche Amorphous Selenium

Atreyo Mukherjee, Dragica Vasileska, John Akis, and Amir H. Goldan*

ABSTRACT: Amorphous selenium lacks the structural long-range order present in crystalline solids. However, the stark similarity in the short-range order that exists across its allotropic forms, augmented with a shift to non-activated extended-state transport at high electric fields beyond the onset of impact ionization, allowed us to perform this theoretical study, which describes the high-field extended-state hole transport processes in amorphous selenium by modeling the band-transport lattice theory of its crystalline counterpart trigonal selenium. An in-house bulk Monte Carlo algorithm is employed to solve the semiclassical Boltzmann transport equation, providing microscopic insight to carrier trajectories and relaxation dynamics of these non-equilibrium “hot” holes in extended states. The extended-state hole–phonon interaction and the lack of long-range order in the amorphous phase is modeled as individual scattering processes, namely acoustic, polar and non-polar optical phonons, disorder and dipole scattering, and impact ionization gain, which is modeled using a power law Keldysh fit. We have used a non-parabolic approximation to the density functional theory calculated valence band density of states. To validate our transport model, we calculate and compare our time of flight mobility, impact ionization gain, ensemble energy and velocity, and high field hole energy distributions with experimental findings. We reached the conclusion that hot holes drift around in the direction perpendicular to the applied electric field and are subject to frequent acceleration/deceleration caused by the presence of high phonon, disorder, and impurity scattering. This leads to a certain determinism in the otherwise stochastic impact ionization phenomenon, as usually seen in elemental crystalline solids.

1. INTRODUCTION

Since the discovery of photoconductivity in amorphous selenium (a-Se) films in the 18th century, devices based on a-Se have garnered many applications such as digital radiography, solar cells, threshold and memory switching, and Xerography. This unique disordered material is a large-area, room-temperature, direct band gap semiconductor that has shown ultralow dark current density (∼pA/cm²), and has a wide detectable wavelength range (∼90% in the blue wavelength), which, in combination with scintillators, covers a significant part of the electromagnetic spectrum that includes visible, ultraviolet (UV), and X-ray applications. Two essential features of the avalanche phenomenon are that first, in a-Se, only holes get hot and undergo impact ionization (vacuum tube a-Se devices have achieved gains as high as ∼1000), and, second, the avalanche process is deterministic and non-Markovian, thus leading to a very low excess noise factor. Figure 1 compares the impact ionization coefficients for electrons βₑ and holes βₕ in different materials. A k-value (k = βₑ/βₕ) of ∼1 as seen in Ga₀.₅₂In₀.₄₈ and GaAs means that hole/electron ionization feedback will be unavoidable during electron/hole avalanche, which can have a deleterious impact on the excess noise. Although c-Si shows a higher asymmetry between βₑ and βₕ, it is not enough to guarantee electron-only impact ionization, and excess noise still
dominates at higher electric fields. Some wide band gap materials like 4H-SiC\textsuperscript{18} and GaN\textsuperscript{19} exhibit a low $k$ value; nevertheless, their wide band gap leads to a very high breakdown voltage and their signal response is confined to the UV region of the electromagnetic spectrum. In comparison, $a$-Se with its hole-only impact ionization ($k = \beta_a/\beta_e \approx 0$ for electric fields $E < 1.05$ MV/cm\textsuperscript{20}) exhibits noiseless avalanche multiplication gain even at high electric fields.\textsuperscript{2,5,13,14} Thus, understanding the dynamics of high field hot carrier transport in $a$-Se is of paramount importance. Recently, crystalline compound semiconductors InAs\textsuperscript{21} and HgCdTe\textsuperscript{22} have shown substantial promise and evidence of a DFT.\textsuperscript{27} The parameters calculated using DFT are coupled to the crystalline counterpart of selenium that was modeled using the dynamical matrix, and phonon dispersion in the crystalline states (VB-DOS), Hamiltonians, Hamiltonian derivatives, electronic structure, band energies, valence band density of extended states.

Onset of impact ionization avalanche once activated trap states saturated with a value of $\sim 1 \text{ s}^{-1}$\textsuperscript{26} beyond the onset of impact ionization avalanche once activated trapped limited transport shifts to band-like transport via delocalized extended states.

The multiscale simulation approach we have adopted in this work uses density functional theory (DFT) to calculate the electronic structure, band energies, valence band density of states (VB-DOS), Hamiltonians, Hamiltonian derivatives, dynamical matrix, and phonon dispersion in the crystalline counterpart of $a$-Se, that is, $t$-Se (as seen from molecular dynamics simulations; refer to the Supporting Information, Supplementary S1 for further details).

In the past, we considered an MC-BTE solution using a parabolic band approximation to the VB-DOS, to model acoustic and non-polar optical phonon-limited hole transport in $t$-Se.\textsuperscript{27–29} In contrary to previous considerations,\textsuperscript{30} we showed how holes in selenium can undergo both elastic and inelastic collisions and yet get hot, thus gaining energy at a higher rate from the electric field than they lose to the lattice in the form of phonon scattering.\textsuperscript{27} While our model was good enough to study low electric field drift mobilities, which compared well to experimental results, in this work, we had to extend the model to examine high-field transport in $a$-Se by accounting for the lack of long-range order that exists in the amorphous phase.

A feature common to all amorphous chalcogenide solids is the presence of bonding defects that occurs due to atoms being over- or under-coordinated. The most studied defect in $a$-Se is the so-called valence alternation pair (VAP) formed via an exothermic reaction with a negative effective correlation energy.\textsuperscript{31,32} VAP defects are represented by two selenium atoms in close proximity, found as a combination of a positively charged three-fold coordinated atom, Se\textsuperscript{3+}, and a negatively charged one-fold coordinated atom, Se\textsuperscript{-}.\textsuperscript{32} Experimental observations of thermally activated hole and electron drift mobility indicate the presence of traps in the mobility gap of $a$-Se, whose exact nature, though inconclusive, has been widely attributed to VAP defects (a large concentration of $10^{18}$–$10^{20}$ cm$^{-3}$) in the atomic structure of $a$-Se.\textsuperscript{34} In the regime of extended-state transport at high electric fields in $a$-Se, these VAP defects will not act as trap states but instead associate themselves into pairs, thus acting as scattering centers for holes causing hole–dipole scattering. Such an approach limits the validation of our simulation results with experiments to high electric fields only, when the transport has shifted from activated localized hopping to non-activated extended-state band-like transport. The thermal agitation of the $a$-Se lattice causes oscillations of the VAP type dipoles, resulting in a second source of perturbation, modeled using polar optical phonon scattering. Lucovsky \textit{et al.} performed infrared reflectivity measurements,\textsuperscript{35,36} and arrived at the conclusion that the chalcogenide family, including the homopolar selenium and tellurium, has fairly strong optical phonon coupling.\textsuperscript{37} After adding polar optical phonon scattering, disorder was introduced as an additional scattering process whose primary effect is to produce elastic and isotropic hole-lattice scattering. The strength of this scattering mechanism is inversely proportional to the magnitude of the short-range order parameter.\textsuperscript{38} Additionally, a non-parabolic band approximation to the VB-DOS is used in the MC-BTE simulation to better stabilize the hole energy distributions and eliminate the artificial polar runaway effect. Finally, we model the hole impact ionization avalanche in $a$-Se as a separate inelastic but isotropic scattering process.

2. COMPUTATIONAL METHODS

Experimental measurements of neutron inelastic scattering, X-ray ultraviolet, and inverse photo-emission in $a$-Se and $t$-Se have shown an almost identical phonon and electronic density of states.\textsuperscript{39–41} Moreover in $a$-Se, the extended-state wave-function involved in the impact ionization process has a comparable dispersion and phase-coherence to that of $t$-Se and thus allows this quantum mechanical MC-BTE high-field modeling using the wave-vector $k$ ($k$ is not considered a good quantum number in disordered materials due to the lack of periodic potentials).\textsuperscript{27} To improve accuracy of the MC-BTE model, an analytical approach, using the $k\mathbf{p}$ method, is implemented to obtain the non-parabolic equation: \textsuperscript{42} $E(1 + \alpha E) = \hbar^2 k^2 / 2m_e$, where $m_e$ is the conductivity mass and $\alpha$ is the non-parabolicity factor from the $k\mathbf{p}$ method, which depends on the material as $\alpha = 1/E_\mathrm{g}^2 (1$
Table 1. Hole-Phonon Coupling Parameters Used in our Calculation of Scattering Rates in a-Se

| mechanism      | type          | parameter                        | value                  | exp conditions/method     |
|----------------|---------------|----------------------------------|------------------------|----------------------------|
| acoustic phonons | elastic/isotropic | acoustic deformation potential $\Xi_{\text{ac}}$ (eV) | 6 ($\text{t-Se}_\perp$) | computational DFT\(^{27}\) |
|                |               | sound velocity $v_s$ (m s\(^{-1}\)) | 2150 ($\text{t-Se}_\perp$) | comp. DFT slope of acoustic modes of vibration\(^{27}\) |
|                |               | DOS mass $m_d$ | 1.4$m_o$ ($\text{t-Se}_\perp$) | estimated from thermoelectric power with an isotropic single valence band maximum\(^{40}\) |
|                |               | density (kg/m\(^3\)) | 4819 ($\text{t-Se}_\perp$) | $T = 298$ K\(^{47}\) calc. From X-ray data |
| non-polar optical phonons | inelastic/isotropic | optical phonon energy $\hbar\omega_o$ (meV) | 28.9 ($\text{t-Se}_\perp$) | computational DFT\(^{27}\) |
|                |               | optical deformation potential $D_o$ (eV/Å) | 3 ($\text{t-Se}_\perp$) | computational DFT\(^{27}\) |
| polar optical phonons | inelastic/ anisotropic | low $\epsilon$ frequency dielectric constant | 7.35 ($\text{t-Se}_\perp$) | oscillator fit-IR data\(^{46}\) |
|                |               | high $\epsilon$ frequency dielectric constant | 6.66 ($\text{t-Se}_\perp$) | oscillator fit-IR data\(^{46}\) |
| disorder      | elastic/isotropic | non-parabolic factor $\alpha$ (eV\(^{-1}\)) | 0.15 | analytical approach to the k-p method\(^{42}\) |
|                |               | short-range order | $\sim$$10$ Å (a-Se) | molecular dynamics sim.;\(^{49}\) constant matrix element\(^{39}\) |
| VAP dipole   | elastic/ anisotropic | density of scattering dipole pairs $N_f$ (cm\(^{-3}\)) | $8 \times 10^{16}$ (a-Se) | density of VAP defects\(^{34}\) |
|                |               | dipole radius $a_o$ (Å) | 17.32 (a-Se) | order of nearest neighbor distance\(^{50}\) |
|                |               | dielectric constant $\varepsilon$ | 7 | average of low $\epsilon$ and high $\epsilon$ in $\text{t-Se}_\perp$ |
|                |               | Debye length $\lambda_v$ (Å) | 6.6 | calculated analytically |

$- m_e/m_o)^3$, where $E_g$ is the band gap for a-Se (2.1 eV) and $m_o$ is the hole rest mass. The value of $m_o$ has been reported in $\text{t-Se}_\perp$ to be 0.29$m_o$ in the direction parallel to the c-axis ($m_\parallel$) and 0.75$m_o$ in the direction perpendicular to the c-axis ($m_\perp$).\(^{43}\) Given that we are ultimately interested to study the extended-state hole transport in the amorphous phase with topological disorder, we treat all solids as an isotropic continuum without any directional dependence. Acoustoelectric current saturation observations of hole drift mobility\(^{44}\) backed up by our previous MC-BTE simulations in $\text{t-Se}_\perp$ show that the mobility ratio between the $\perp$ and $\parallel$ directions to the c-axis in $\text{t-Se}_\perp$ is $\mu_\perp/\mu_\parallel$ $\approx$ 4. The higher scattering rates and lower mobility of holes\(^{45}\) (closer to that of a-Se: $\mu$ $\approx$ 1.5 cm\(^2\) V\(^{-1}\) s\(^{-1}\)) along the $\perp$ direction to the c-axis in $\text{t-Se}_\perp$ lead us to the assumption that transport and hole-phonon coupling parameters in the $\perp$ direction to the c-axis in $\text{t-Se}_\perp$ should be used in the scattering rate calculations for a-Se (Table 1).\(^{34}\)

The effective conductivity mass in the amorphous phase was calculated using the Herring–Vogt transformation, which resulted in an $\alpha$ of $\sim$0.15.\(^{46}\) In this work, the density of states mass $m_d$ (1.4$m_o$)\(^{46}\) is used for the calculations of the scattering rates, and $m_o$ ($m_\perp = 0.75m_o$) for the equation of motion and the $E$–$k$ dispersion relation.\(^{34}\) Figure 2a compares the DFT-calculated VB-DOS with its non-parabolic band approximation. The use of $\alpha$ $\approx$ 0.15 that arises from the simplistic assumption of a single isotropic band prevents the hole energy and drift velocity distribution from running away to higher values at electric fields substantially lower than those measured experimentally.

In the regime of extended-state transport at high electric fields in $\text{a-Se}_\perp$, we assume that VAP defects act as a single source of perturbation causing hole–dipole and polar optical phonon scattering. For the first time, we have formulated the hole–dipole scattering interaction for non-parabolic bands (Supporting Information, Supplemental S3 and Supplemental S4). To account for the lack of long-range order, we assume that the disorder existing in the amorphous phase causes a hole–lattice elastic/isotropic interaction, which is only governed by the VB-DOS and a constant matrix element (Supporting Information, Supplemental S3)\(^{38}\).

Figure 2b shows the scattering rates obtained from the non-parabolic band approximation discussed above. Collisional broadening effects come into play at scattering rates of $\sim$10\(^{15}\) s\(^{-1}\), when the average time between two successive collisions become too short.\(^{51}\) However, these higher order effects are not incorporated at present and will be a topic of future investigation. Figure 2c shows the anisotropic nature of polar optical phonon scattering and hole–dipole scattering, thus favoring forward angle scattering, which further increases with an increase in hole energy. It is worthwhile to notice that at small energies/low electric fields, the VAP dipoles increases the lateral spread of holes.

This idea is illustrated in Figure 2d where a comparison between low and high electric field trajectories shows that while the actual hole path lengths are substantially increased, the VAP defects lead to more lateral spread at lower electric fields when the hole energies are comparable to the thermal energy at room temperature ($\sim$38 meV). The longer effective path length of holes leads to an enhanced probability of energy loss via polar and non-polar phonons, which helps in stabilizing the ensemble energy distributions. Furthermore, the probability of a sharp rise in carrier energies decreases and a "delay
time” arises before a hole–hole impact ionization event occurs, which is expected to reduce (and potentially eliminate) the excess noise in a-Se.

The only hole–hole interaction that has been accounted for in this work is impact ionization avalanche, which has been modeled using a single term power law fit, shown in Figure 2e (Supporting Information, Supplementary S3). We have neglected Coulomb scattering, which occurs due to charged impurities and defects. Although the VB-DOS for t-Se indicates the existence of a second band at energies greater than 10 eV, as investigated by the empirical pseudo-potential method (EPM),52 when the VB-DOS increases abruptly, high energy processes such as intervalley scattering and interband impact ionization have been neglected. While such a process could significantly alter the high-energy tail of the hot hole distribution, in this work, we shall restrict our attention to the gross features of high electric field transport in a-Se when carrier transport shifts from hopping conduction via localized states to band-like transport via extended states.27

3. RESULTS AND DISCUSSION

The bulk MC-BTE is used to follow the real-time scattering processes and calculate average transport characteristics on a picosecond time scale. Figure 3a shows the time-averaged ensemble drift-velocity calculated as a function of electric field. The saturated drift velocity is an important limiting parameter for the semiconductor industry. Experimental studies of the hot hole drift velocity in a 0.4 μm-thick a-Se HARP (high-gain avalanche rushing amorphous photoconductor) target at electric field strengths of 1000 kV/cm was measured to be $1.87 \times 10^4$ m/s.55 The drift velocity calculated using the non-parabolic model shows a gentle peak of $1.83 \times 10^4$ m/s at 1000 kV/cm and saturates thereafter. This velocity saturation effect could not be observed with a parabolic bulk MC-BTE model where the drift velocity increases monotonically as a function of electric field. Figure 3b shows the average hole energy in a-Se as a function of electric field. The hot carrier energy in the 0.4 μm-thick a-Se HARP increases linearly as the electric field increases (as shown by solid marks in Figure 3b). The increase in the simulated hot hole energy in the non-parabolic MC-BTE simulation compares well to these experimentally measured values.53 The ensemble energy of holes calculated under the parabolic approximation rapidly spreads to higher energies at electric fields much lower than the threshold for impact ionization (1000 kV/cm), a result due to polar runaway.56 The parabolic band approximation to the
DFT-calculated valence-band DOS overestimates the steady-state drift velocity and energy values at fields beyond 600 kV/cm. 

Figure 3c compares TOF-measured saturated mobility in a-Se where transport has shifted from activated (trap-limited) transport at lower fields to extended-state (band-like) transport. The activated experimentally measured mobility for a-Se increases with increase in electric field and finally saturates at very high electric fields, around 900 kV/cm. The saturated extended-state experimental TOF mobility has a value of 1.5 cm² V⁻¹ s⁻¹ and matches the non-activated MC-BTE calculated mobility. The MC-BTE TOF mobility is calculated as $\mu = L/(E \times t_{tr})$, where $L$ is the the length of the device, $E$ is the applied electric field and $t_{tr}$ is the transit time of holes to cross the length of the device. The TOF mobility was calculated across device lengths of 0.5−35 μm. Although the length dependence of the TOF mobility was minute, thicker selenium simulations, in general, showed smaller mobilities as compared to thinner samples. However, there were outliers, which lead us to believe that deviation in mobility as a function of device length originates from the statistical nature of the Monte Carlo simulations, denoted by the error bars in Figure 3c.

Our MC-BTE calculated impact ionization gain in Figure 3d compares well to experimental results for 0.5−35 μm-thick a-Se samples across a wide range of electric fields. The MC-BTE simulation results, as shown in Figure 3d, correctly predict the decreasing onset of the avalanche electric field with increasing device thickness. Figure 3e compares MC-BTE-calculated hole impact ionization coefficients with experimentally measured values from Tsuji et al. (e) Theoretically modeled hole impact ionization coefficient compared with measured values from Tsuji et al. (Supplementary S6) (f) A simulation of hole energy distribution in a 1 μm-thick a-Se film calculated using the non-parabolic MC-BTE model at different electric fields.
from thermal energy (38 meV), on average, gain significant energy in the first 10 nm. At high electric fields, corresponding to high scattering rates, a short "heat-up" distance (10–20 nm) is sufficient to achieve a steady-state distribution. At lower electric fields, this heat-up distance increases to 50–100 nm. In Figure 3f the carrier energies were frozen after they crossed a distance of 1 μm. At high electric fields (1200 kV/cm), the energy tail (hole energies of 2.1 eV and beyond; the impact ionization band) existing in lower field distributions undergoes the impact ionization process. At 1200 kV/cm, the total number of carriers at the end of the simulation was 2979 and 6061 (avalanche gains of 2.98 and 3.03) for 1000 and 2000 initial carriers, respectively. The MC-BTE gain is calculated by dividing the final number of carriers collected at the end of travel by the initial number of carriers.

Experimental results have previously shown that the excess noise in a-Se ≈ 1 because of the non-Markov branching of hot holes. This might be a result of the high scattering rates existing in the disordered phase of selenium, which leads to a non-zero "dead space" distance, defined as the minimum distance of travel in the direction of electric field before a carrier can attain the ionization threshold energy required for avalanche. This, in turn, can average out the noise arising due to the stochastic avalanche process and increase determinism, thus leading to a very low excess noise factor in a-Se.

4. CONCLUSIONS
A modeling scheme was presented and discussed, which is used to study charge carrier transport in disordered structures, which lack the long-range order present in their crystalline counterparts. In other words, we have studied the transport of holes in a-Se by using an MC-BTE technique in which the carrier free-flights are interrupted by scattering from acoustic, polar and non-polar optical phonons, disorder, and dipole scattering. Material parameters for selenium’s crystalline allotropic form t-Se and s-Se were calculated using DFT, and the approximation of a single isotropic non-parabolic band with non-parabolicity factor α = 0.15 was used in the MC-BTE. The saturated drift velocities and ensemble hole energies obtained with our model matched closely with experimentally measured values in a 0.4 μm-thick a-Se HARP target. Calculations of our MC-BTE TOF mobility matched exactly to experimentally measured non-activated extended state mobility. Moreover, the calculated impact ionization gain matched closely to experimentally measured values in 0.5 to 35 μm a-Se HARP samples. Our MC-BTE model correctly predicted the decreasing onset of the avalanche electric field with increasing device thickness, a characteristic of the avalanche phenomenon in a-Se. We speculate that the deterministic nature of impact ionization avalanche in a-Se occurs due to the existence of a non-zero dead space and the non-ballistic nature of hole transport in a-Se, fostered by frequent scattering at high electric fields. Consequently, there is an increased spread of holes in the direction lateral to the applied electric field, seasoned by frequent acceleration and deceleration caused by the cumulative affect of the electric field and lattice/impurity/disorder scattering, which results in averaging of the distance traveled by the hot holes over a finite delay time before an impact ionization event occurs. Moving ahead, this multiscale approach of combining molecular dynamics, DFT, and MC-BTE will be used in calculating the excess noise and spatial resolution in a-Se-based devices.

ASSOCIATED CONTENT
Supporting Information
The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acsomega.0c04922.

Further details regarding the multiscale simulation pipeline, Monte Carlo solution to Boltzmann transport equation, scattering rate derivations, final state after scattering, transient characteristics, impact ionization coefficient calculation, and hole energy distribution calculation (PDF)

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Notes
The authors declare no competing financial interest.

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