Current Clinical Trials Protocols and the Global Effort for Immunization against SARS-CoV-2

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Abstract: Coronavirus disease 2019 (COVID-19) is the biggest health challenge of the 21st century, affecting millions of people globally. The outbreak of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) has ignited an unprecedented effort from the scientific community in the development of new vaccines on different platforms due to the absence of a broad and effective treatment for COVID-19 or prevention strategy for SARS-CoV-2 dissemination. Based on 50 current studies selected from the main clinical trial databases, this systematic review summarizes the global race for vaccine development against COVID-19. For each study, the main intervention characteristics, the design used, and the local or global center partnerships created are highlighted. Most vaccine developments have taken place in Asia, using a viral vector method. Two purified inactivated SARS-CoV-2 vaccine candidates, an mRNA-based vaccine mRNA1273, and the chimpanzee adenoviral vaccine ChAdOx1 are currently in phase III clinical trials in the respective countries Brazil, the United Arab Emirates, the USA, and the United Kingdom. These vaccines are being developed based on a quickly formed network of collaboration.

Keywords: COVID-19; SARS-CoV-2; immunization; vaccine; research and development (R&D)

1. Introduction

In December 2019, in the Chinese city of Wuhan in China’s Hubei province an outbreak related to severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2)—that is, a virus genetically close to bat-CoV-RaTG13 and bat-SL-CoVZC45—emerged, leading to coronavirus disease 2019 (COVID-19). This infectious disease caused an unprecedented health emergency, which was declared a pandemic by the World Health Organization (WHO) on 11 March 2020 [1].

Subsequently, new approaches to vaccine development or the adoptive transfer of immunity were rapidly implemented in preclinical and clinical studies. These studies aimed to avoid infection and prevent the symptoms of COVID-19, a disease of heterogeneous symptoms caused by SARS-CoV-2. As of the end of June 2020, this virus had affected about 10 million people globally and killed about 560,000 individuals [2].

Currently, there is not yet a consolidated protocol or therapeutic strategy approved for COVID-19 critical patients [3]. At this moment, the best practices for the supportive management of respiratory acute hypoxemic failure must be used.
The initial treatment of COVID-19 was restricted to overall supportive care and critical care because no other appropriate therapies or vaccines existed. However, many clinical trials are investigating the effect of anti-inflammatoris, antimalarials, antivirals, plasma therapy, cardiovascular drugs, antibiotics, cell therapy, and cancer drugs among other medications for COVID-19 treatment [4,5]. Dexamethasone and anticoagulant are suggested since some severe, critical, and deceased COVID-19 patients have significant coagulation dysfunction with increased D-dimer concentration, decreased platelet counts, and the prolongation of prothrombin time [6]. Regarding the prophylactic use of Bacilo Calmette–Guérin (BCG) (Pasteur Institute at Lille, France) vaccine against SARS-CoV-2, it was observed that previous BCG (Lille, France) immunization correlates with a lower incidence and gravity of the COVID-19 disease across different countries, even when the BCG (Lille, France) immunization was performed in childhood. Antimalarials such as chloroquine and hydroxychloroquine are being extensively investigated, but no strong evidence of their benefits has been released yet. Thus, there are no specific mechanisms or robust evidence of the efficacy of these therapeutic procedures [7].

Thus, during the pandemic caused by COVID-19, several vaccine candidates with attenuated virus, encoding, or presenting SARS-CoV-2 antigens have been developed globally, reaching clinical trial phases I or II for the evaluation of their safety and immunogenicity. These vaccines include those based on inactivated virus [8–12]; on nucleic acid platforms as messenger ribonucleic acid (mRNA) [13–20], self-amplifying RNA (saRNA) [21], and DNA/plasmids [22–27]; recombinant adenovirus serotypes platforms as adenoviral vector [5][28–32], chimpanzee adenoviral vector ChAdOx1 [33,34], and combined serotypes vectors 5 and 26 [35,36]; recombinant viral protein subunits [37–44]; modified dendritic cells [45–47]; artificial antigen-presenting cells [48]; and virus-like particle (VLP) vaccine [49]. Six protocols are developing phase II and/or III clinical trials using the chimpanzee adenoviral vector ChAdOx1 [50–52], purified inactivated SARS-CoV-2 vaccine [53,54], and mRNA-1273 vaccine [55]. Several of these vaccines undergoing trials comprise new technology that has not been tested previously.

The advent of nanotechnology enables different mechanisms to target viruses—for instance, through the use of acid functionalized multi-walled carbon nanotubes comprising photo-activated molecules [56], or natural (such as chitosan) or synthetic (such as polyethyleneimine (PEI)) polymeric nanoparticles [57]. These kinds of nanomaterials are able to work as gene carriers and have the potential to deliver small interfering RNA (siRNA) in COVID-19 patients [57]. In addition, nanotechnology has enabled the development of new vaccines, including vaccines based on recombinant protein nanoparticles with or without Matrix M™ adjuvant [42], and mRNA vaccines through the encapsulation of modified nucleoside mRNA inside lipidic nanocapsules [17–20,58], which confers plasticity in terms of antigen manipulation and a potentially rapid effect. Intense efforts are underway to expand the technological knowledge against COVID-19 as part of a global scientific effort to battle this virus. However, to date no review has been conducted about this global development effort and the associated cooperation networks used to test new vaccines. This lack of information empowers the movements of anti-vaccine groups [59].

Nanotechnological mRNA-1273 arises in clinical trials just two months following SARS-CoV-2 sequence identification and is currently one of the most advanced vaccines already in phase III clinical trial protocols (CTPs) [55]. Viral vector platforms enable protein overexpression, and their long-term stability can induce robust immune responses with a single dose. At present, the chimpanzee adenoviral vector from Oxford (ChAdOx1) is another advanced vaccine in study phase (II–III) [51,52] and phase III [50]. In addition, recombinant protein subunits, as immunologic adjuvants that use a squalene (TLR4) agonist [60], previously licensed to be delivered as vaccines for other conditions, can provide apparatus for the necessarily large-scale production of the newly developed vaccines [61].

The majority of the recently developed vaccines aim to stimulate antibody synthesis with the necessary potential to neutralize the SARS-CoV-2 spike protein (S protein) to prevent the uptake of the single-strand RNA of this virus in ACE2 receptor positive cells [62]. The exceptions are the vaccines using an attenuated/inactivated whole virus, which would lead to broader immunization [10,11,63].
In addition, vaccines using antigen presenting cells and artificial antigen presenting cells (aAPC) will elicit a robust cellular immune response in addition to humoral immunity.

This review aims to highlight the global scientific effort in the fight against SARS-CoV-2 for the development of new immunization approaches through either conventional or novel vaccine strategies against SARS-CoV-2.

2. Methods

2.1. Search Strategy

This systematic review followed the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) Guideline [64]. We conducted a search for published protocols until 26 July 2020 in the subsequent clinical trial databases: ClinicalTrials.gov, the Chinese Clinical Trial Registry (ChiCTR), the European Union Clinical Trials Register, and the WHO COVID-19 global research database [65]. Next, we applied the keyword sequence (COVID-19 OR SARS-CoV-2) AND (vaccine OR immunization) in the search fields of these databases.

2.2. Inclusion and Exclusion Criteria

This review included only clinical trial protocols that addressed the development of vaccines for preventing infections caused by the SARS-CoV-2 virus.

The reasons for excluding studies were as follows: (i) clinical trial protocols for observational study; (ii) clinical trial protocols that were canceled or not approved until the date of searching in the analyzed databases; (iii) clinical trial protocols that used drugs for COVID-19 treatment not associated with a vaccine; (iv) clinical trial protocols that use existing vaccines for other diseases to prevent COVID-19—for example, BCG; and (v) clinical trials using plasma for therapeutic protocols.

2.3. Data Extraction, Data Collection, and Risk of Bias Assessment

In this systematic review, six authors (G.N.A.R., A.H.A., M.P.N., L.P.N., J.B.M., and F.A.O.) organized in pairs independently and randomly reviewed and evaluated the information recorded from the clinical trial protocols identified by the search strategy in the databases mentioned above. These same authors evaluated the protocols to decide whether the eligibility criteria were met. The discrepancies in the study selection and data extraction between the six authors were discussed with two other authors (L.C.M. and L.F.G.) and resolved.

G.N.A.R., M.P.N., F.A.O., L.P.N., A.H.A., and J.B.M. analyzed studies of active immunization for COVID-19 through vaccines. After the study selection, the authors G.N.A.R., L.C.M, and M.P.N. analyzed the description of the experimental vaccine; M.P.N., F.A.O., and J.B.M. analyzed the study design, research arms, and applied interventions; and A.H.A and L.P.N analyzed the collaborative network between companies and universities as well as the partnerships established between different centers and hospitals. The analysis of the clinical trials and the preparation of the tables were carried out by consensus. For each case of disagreement, two senior authors (L.C.M. and L.F.G.) decided on the addition or subtraction of data. The final inclusion of studies in this review was agreed with all the authors.

2.4. Data Analysis

All the results were described and presented using the percentage distribution for all the variables analyzed in the tables.
3. Results

3.1. Study Selection

After applying the search strategies in the databases, 200 clinical study protocols were identified (171 protocols in ClinicalTrials.gov, 13 in ChiCTR, 12 in the EU Clinical Trials Register, and 4 in the WHO COVID-19 global research database). The search strategy used the Preferred Items for Reporting Guideline for Systematic Reviews and Meta-Analyses (PRISMA) [64]. Based on the established inclusion and exclusion criteria, of 171 protocols identified in ClinicalTrials.gov, 135 clinical trials were excluded after screening (68 BCG vaccine protocols, 49 observational protocols, 1 suspended, and 17 that used convalescent plasma for passive immunization), leaving 36 protocols selected from these databases. Of the 13 protocols identified in ChiCTR, 5 were excluded after the analysis (3 were observational protocols, 1 was a canceled/not approved clinical trial protocol, and 1 protocol was described as an immune response induction method not specific for COVID-19/SARS-CoV-2), leaving 8 selected clinical trial protocols. Finally, of the 12 protocols registered in the EU Clinical Trials Register, 11 were excluded (7 BCG vaccine protocols, 2 using convalescent plasma, and 2 protocols that were also registered in ClinicalTrials.gov), leaving only 1 study selected from this database. In total, 50 clinical trial protocols for active immunization for COVID-19 through vaccine application [8–55,66,67] were included in the present work.

3.2. Overview of Clinical Trial Protocols for Active Immunization for Covid-19

The selected clinical trials focused on interventional approaches for active immunization through the application of the various types of vaccines currently being developed against SARS-CoV-2 (Table 1).

The distribution of these CTPs over the time by phase (Figure 1) shows that the phase I studies started in February. The number of new studies by month (Figure 1A) shows that the number of CTPs in phase I was triplicate and quadruplicate in March and April, respectively, as did the beginning of phase II and phase II-III concomitant studies. In May, the number of studies at phase I reduced to a single CTP, and the I-II phase studies declined by half compared to the previous month. In addition, a new study started phase III. In June, the total number of new CTPs was the same as presented in April, but half of the studies were phase I and the other half were phase I-II. In July, as well as in June, there were six new studies at phase I-II and a study in phase II, but the number of CTPs at phase I decreased by 33.3%. There is a clear oscillation in the number of CTPs at phase I over the months, less marked in CTP at phase I-II. At the same time, there occurred an increased number of new studies in phase III.

A cumulative analysis of the number of CTPs by phase (Figure 1B) shows a continuous increase in phase I-II CTPs, which also occurred in phase I studies with a slight oscillation between April and May. On the other hand, cumulatively, the number of phase II, II-III, and III studies has remained constant since March, with a slight increase in phase III CTPs between June and July.
| ID Number       | Phase | Vaccine Name | Properties               | Vaccine Features                                      | Coronavirus Target       | Start Date       | Completion Date  | Progress (%) | Recruitment Status    | Recruitment Country |
|----------------|-------|--------------|--------------------------|------------------------------------------------------|--------------------------|------------------|------------------|---------------|---------------------|----------------------|
| ISRCTN89951424 | III   | ChAdOx1 nCoV-19 | Non replicating viral vector | Chimpanzee r-ADV vaccine encoding S protein            | S protein of SARS-CoV-2   | 05/01/2020       | 07/31/2021       | 18.9          | Recruiting          | Brazil               |
| NCT04456595   | III   | Adsorbed inactivated SARS-CoV-2 | Inactivated virus          | Adsorbed SARS-CoV-2 (CN2 strain) vaccine inactivated by BPL | Multiple proteins of SARS-CoV-2 | 07/01/2020       | 10/01/2021       | 5.5           | Not yet recruiting   | Brazil               |
| ChiCTR2000034780 | III   | Purified inactivated SARS-CoV-2 | Inactivated virus          | SARS-CoV-2 strain inactivated inside Vero Cells       | Multiple proteins of SARS-CoV-2 | 07/16/2020       | 07/16/2021       | 2.7           | Recruiting          | United Arab Emirates |
| NCT04470427   | III   | mRNA1273     | mRNA                     | LNP-encapsulated mRNA-1273 encoding S protein        | S protein of SARS-CoV-2   | 07/27/2020       | 10/27/2022       | 0.0           | Not yet recruiting   | USA                  |
| NCT044600838   | II-III | ChAdOx1 nCoV-19 | Non replicating viral vector | Chimpanzee r-ADV vaccine encoding S protein            | S protein of SARS-CoV-2   | 05/01/2020       | 08/01/2021       | 18.8          | Not yet recruiting   | United Kingdom       |
| EudraCT2020-001228-32 ISRCTN90906759 | II-III | ChAdOx1 nCoV-19 | Non replicating viral vector | Chimpanzee r-ADV vaccine encoding S protein            | S protein of SARS-CoV-2   | 03/02/2020       | 06/30/2021       | 30.1          | Ongoing              | United Kingdom       |
| NCT04450076   | II    | mRNA1273     | mRNA                     | LNP-encapsulated mRNA-1273 encoding S protein        | S protein of SARS-CoV-2   | 05/29/2020       | 08/01/2021       | 13.5          | Recruiting          | USA                  |
| NCT04341389   | II    | Adenovirus Type 5 (Ad5-nCoV) | Non replicating viral vector | Serotype 5 r-ADV vaccine encoding S protein            | Full-length S protein of SARS-CoV-2 | 04/12/2020       | 01/31/2021       | 35.7          | Active, not recruiting | China                |
| ChiCTR2000031781 | II    | Adenovirus Type 5 (Ad5-nCoV) | Non replicating viral vector | Serotype 5 r-ADV vaccine encoding S protein            | Full-length S protein of SARS-CoV-2 | 04/12/2020       | 01/31/2021       | 35.7          | Not yet recruiting   | China                |
| NCT04460085   | II    | CHO cells    | Protein Subunit           | Recombinant protein produced with CHO cells + adjuvant (RBD-Dimer) | S protein of SARS-CoV-2   | 07/12/2020       | 09/15/2021       | 3.3           | Not yet recruiting   | NR                   |
| NCT04445389   | I-II  | GX-19        | DNA                      | DNA vaccine                                           | Unspecified protein of SARS-CoV-2 | 06/17/2020       | 06/17/2022       | 5.3           | Recruiting          | Republic of Korea    |
| NCT04444674   | I-II  | ChAdOx1 nCoV-19 | Non replicating viral vector | Chimpanzee r-ADV vaccine encoding S protein            | S protein of SARS-CoV-2   | 06/01/2020       | 12/01/2021       | 10.0          | Not yet recruiting   | South Africa         |
| ID Number          | Phase | Vaccine Name         | Properties              | Vaccine Features                                           | Coronavirus Target          | Start Date    | Completion Date | Progress (%) | Recruitment Status | Recruitment Country |
|--------------------|-------|----------------------|-------------------------|-----------------------------------------------------------|-----------------------------|--------------|----------------|--------------|-------------------|---------------------|
| NCT04437875 [36]   | I-II  | Gam-COVID-Vac Lyo    | Non replicating viral vector | Combined serotypes 5 and 26 r-ADV vectored vaccine encoding S protein | S protein of SARS-CoV-2     | 06/17/2020   | 08/15/2020   | 66.1         | Recruiting       | Russia              |
| NCT04436471 [35]   | I-II  | Gam-COVID-Vac        | Non replicating viral vector | Combined serotypes 5 and 26 r-ADV vectored vaccine encoding S protein | S protein of SARS-CoV-2     | 06/17/2020   | 08/15/2020   | 66.1         | Recruiting       | Russia              |
| NCT04412538 [12]   | I-II  | Purified inactivated SARS-CoV-2 | Inactivated virus | Purified inactivated SARS-CoV-2 | Multiple proteins of SARS-CoV-2 | 05/15/2020   | 09/01/2021   | 15.2         | Recruiting       | China               |
| NCT04398147 [30]   | I-II  | Adsorbed inactivated SARS-CoV-2 | Non replicating viral vector | Serotype 5 r-ADV vaccine encoding S protein intramuscularly | Full-length S protein of SARS-CoV-2 | 05/01/2020   | 08/01/2021   | 18.8         | Not yet recruiting | Canada              |
| NCT04366252 [46]   | I-II  | AV-COVID-19          | Dendritic cells         | Autologous DCs differentiated in vitro from monocytes incubated with IL-4 and GM-CSF loaded with antigens from SARS-CoV-2 | Unspecified proteins of SARS-CoV-2 | 07/01/2020   | 03/01/2021   | 10.3         | Not yet recruiting | USA                 |
| NCT04383574 [10]   | I-II  | Adsorbed inactivated SARS-CoV-2 | Inactivated virus | Adsorbed SARS-CoV-2 (CN2 strain) vaccine inactivated by BPL | Multiple proteins of SARS-CoV-2 | 05/20/2020   | 07/20/2020   | 100          | Completed        | China               |
| NCT04380701 [19]   | I-II  | BNT162               | mRNA                    | LNP-encapsulated nucleoside modified mRNA (BNT162) | S protein of SARS-CoV-2     | 04/23/2020   | 08/01/2020   | 94.0         | Recruiting       | Germany             |
| NCT04352608 [11]   | I-II  | Adsorbed inactivated SARS-CoV-2 | Inactivated virus | Adsorbed SARS-CoV-2 (CN2 strain) vaccine inactivated by BPL | Multiple proteins of SARS-CoV-2 | 04/16/2020   | 12/13/2020   | 41.9         | Recruiting       | China               |
| NCT04324606        | I-II  | ChAdOx1 nCoV-19      | Non replicating viral vector | Chimpanzee r-ADV vaccine encoding S protein | S protein of SARS-CoV-2     | 04/23/2020   | 05/01/2021   | 25.2         | Active, not recruiting | United Kingdom      |
| NCT04276896 [45]   | I-II  | Lentiviral Minigene vaccine (LV-SMENP) | Dendritic cells | DCs modified by lentiviral vector system (NHP/TYF) + CTLs | Multiple proteins of SARS-CoV-2 | 03/24/2020   | 12/31/2024   | 7.1          | Recruiting       | China               |
Table 1. Cont.

| ID Number                  | Phase | Vaccine Name                 | Properties                  | Vaccine Features                                      | Coronavirus Target          | Start Date  | Completion Date | Progress (%) | Recruitment Status | Recruitment Country |
|----------------------------|-------|------------------------------|-----------------------------|-------------------------------------------------------|----------------------------|-------------|------------------|--------------|---------------------|---------------------|
| ChiCTR2000032459 [9]       | I-II  | Purified inactivated SARS-CoV-2 | Inactivated virus           | SARS-CoV-2 strain inactivated inside Vero Cells        | Multiple proteins of SARS-CoV-2 | 04/28/2020  | 11/28/2021       | 15.4         | Recruiting          | China               |
| ChiCTR2000031809 [8]       | I-II  | Purified inactivated SARS-CoV-2 | Inactivated virus           | SARS-CoV-2 strain inactivated inside Vero Cells        | Multiple proteins of SARS-CoV-2 | 04/11/2020  | 11/10/2021       | 18.3         | Not yet recruiting | China               |
| ChiCTR2000030750 [47]      | I-II  | Dendritic cells vaccine      | Dendritic cells             | COVID-19 epitope gene recombinant chimeric DC vaccine  | SARS-CoV-2 epitope          | 03/01/2020  | 02/28/2021       | 40.4         | Not yet recruiting | China               |
| EudraCT 2020-001038-36 [15]| I-II  | BNT162                       | mRNA                        | LNP-encapsulated nucleoside modified mRNA (BNT162)    | S protein epitope of SARS-CoV-2 | 04/20/2020  | 07/26/2020 *     | NR           | Ongoing             | NR                  |
| NCT04447781 [24]           | I-II  | INO-4800                     | DNA                         | DNA plasmid (pG30501) vaccine with electroporation (INO-4800) | Full-length S protein of SARS-CoV-2 | 06/22/2020  | 02/22/2022       | 5.6          | Not yet recruiting | Republic of Korea |
| NCT04463472 [23]           | I-II  | AG0301-COVID19               | DNA                         | DNA plasmid vaccine                                   | Multiples antigens from SARS-CoV-2 | 06/29/2020  | 07/31/2021       | 6.8          | Recruiting          | Japan               |
| CTRI/2020/07/026352 [22]   | I-II  | ZYCOV-D                      | DNA                         | DNA plasmid vaccine                                   | S protein of SARS-CoV-2      | 07/13/2020  | 07/13/2021       | 3.6          | Recruiting          | India               |
| CTRI/2020/07/026300/ NCT04471519 [56]| I-II | Covaxin (BBV152)             | Inactivated virus           | Whole-Virion Inactivated SARS-CoV-2 Vaccine (BBV152A, BBV152B, BBV152C) | Multiples antigens from SARS-CoV-2 | 07/13/2020  | 06/30/2020       | 3.7          | Recruiting          | India               |
| NCT04470609 [67]           | I-II  | SARS-CoV-2 Vaccine           | Inactivated virus           | Purified inactivated SARS-CoV-2 vaccine               | Multiples antigens from SARS-CoV-2 | 07/10/2020  | 11/10/2021       | 3.3          | Enrolling by invitation | China               |
| NCT04473690 [40]           | I-II  | KBP-COVID-19                 | Protein Subunit             | RBD-based vaccine developed with fast-growing tobacco plant technology | RBD S protein of SARS-CoV2   | 07/25/2020  | 11/18/2021       | 0.2          | Not yet recruiting | NR                  |
| ChiCTR2000034825 [14]      | I-II  | BNT162b1                     | mRNA                        | 3 LNP-mRNAs                                           | RBD S protein of SARS-CoV2   | 07/20/2020  | 12/31/2020       | 3.7          | Recruiting          | China               |
| ID Number | Phase | Vaccine Name | Properties | Vaccine Features | Coronavirus Target | Start Date | Completion Date | Progress (%) | Recruitment Status | Recruitment Country |
|-----------|-------|--------------|------------|----------------|-------------------|------------|----------------|--------------|-------------------|---------------------|
| NCT04449276 | I     | CVnCoV       | mRNA       | mRNA vaccine   | Unspecified protein of SARS-CoV-2 | 06/17/2020 | 08/31/2020 | 52.0          | Recruiting        | Germany             |
| NCT04469508  | I     | SCB-2019     | Protein subunit | Recombinant 2019-nCoV S protein subunit-trimer vaccine + AS03 or CpG 1018 + Alum adjuvants | S protein of SARS-CoV-2 | 06/19/2020 | 03/30/2021 | 13.0          | Recruiting        | Australia           |
| NCT04334980  | I     | bacTRL-Spike | DNA        | Genetically modified probiotic bacteria containing plasmid encoding S protein | S protein of SARS-CoV-2 | 04/30/2020 | 12/31/2021 | 14.3          | Not yet recruiting | Canada              |
| NCT04313127  | I     | Adenovirus Type 5 (Ad5-nCoV) | Non replicating viral vector | r-ADV vaccine encoding S protein | Full-length S protein of SARS-CoV-2 | 03/16/2020 | 12/20/2022 | 13.1          | Active, not recruiting | China               |
| NCT04299724  | I     | aAPC         | aAPC lentiviral modified vector | aAPC modified by lentiviral vector system NHP/TYP | Multiple proteins of SARS-CoV-2 | 02/15/2020 | 12/31/2024 | 9.1           | Recruiting        | China               |
| NCT04283461  | I     | mRNA-1273    | mRNA       | LNP-encapsulated mRNA-1273 | S protein of SARS-CoV-2 | 03/16/2020 | 11/22/2021 | 21.4          | Recruiting        | USA                 |
| NCT04428073  | I     | Covax-19™    | Protein subunit | Advax™ adjuvant with a recombinant SARS-CoV-2 S protein | S protein of SARS-CoV-2 | 07/01/2020 | 12/01/2021 | 4.8           | Not yet recruiting | NR                  |
| ChiCTR2000034112 | I | NR         | mRNA       | mRNA vaccine | RBD S protein of SARS-CoV2 | 06/25/2020 | 12/31/2021 | 5.6           | Not yet recruiting | China               |
| ChiCTR2000030906 | I | Adenovirus Type 5 (Ad5-nCoV) | Non replicating viral vector | Serotype 5 r-ADV vaccine encoding S protein intramuscularly | Full-length S protein of SARS-CoV-2 | 03/16/2020 | 12/31/2020 | 45.5          | Recruiting        | China               |
| NCT04445194  | I     | CHO cells vaccine | Protein Subunit | Adjuvanted recombinant protein (RBD-Dimer) | S protein of SARS-CoV-2 | 06/22/2020 | 09/20/2021 | 7.5           | Recruiting        | China               |
| NCT04453852  | I     | Covax-19™    | Protein Subunit | Advax™ adjuvant combined with a recombinant S protein | S protein of SARS-CoV-2 | 06/30/2020 | 07/01/2021 | 7.1           | Recruiting        | Australia           |
| ID Number       | Phase | Vaccine Name         | Properties                                                      | Vaccine Features                        | Coronavirus Target | Start Date | Completion Date | Progress (%) | Recruitment Status | Recruitment Country |
|-----------------|-------|----------------------|-----------------------------------------------------------------|-----------------------------------------|--------------------|------------|-----------------|--------------|--------------------|----------------------|
| ACTRN12620000674932 [37] | I     | SARS-CoV-2 Sclamp    | Protein Subunit                                                   | Molecular clamp stabilized S protein with MF59 adjuvant | S protein of SARS-CoV-2 | 06/06/2020 | 07/26/2020 *    | NR           | Recruiting         | Australia            |
| ISRCTN17072692 [21]          | I     | LNP-nCoVsaRNA        | saRNA                                                           | S protein encoding S protein             | S protein of SARS-CoV-2 | 04/01/2020 | 07/31/2021      | 23.9         | Recruiting         | United Kingdom       |
| NCT04450004 [49]            | I     | Plant-derived VLP   | VLP                                                              | Plant-derived VLP + CpG 1018 or AS03 adjuvants | S protein of SARS-CoV-2 | 07/10/2020 | 04/30/2021      | 5.4          | Recruiting         | Canada               |
| NCT04368988 [42]            | I     | NVX-CoV2373          | Protein subunit                                                  | Recombinant SARS CoV-2 S protein NP vaccine + Matrix M adjuvant | S protein of SARS-CoV-2 | 05/25/2020 | 07/31/2021      | 14.4         | Recruiting         | Australia            |
| NCT04368728 [18]            | I     | BNT162               | mRNA                                                            | LNP-encapsulated nucleoside modified mRNA (BNT162) | S protein epitope of SARS-CoV-2 | 04/29/2020 | 01/23/2023      | 8.8          | Recruiting         | USA                  |
| NCT04336410 [27]            | I     | INO-4800             | DNA                                                             | DNA plasmid (pGZ9501) vaccine with electroporation (INO-4800) | Full-length S protein of SARS-CoV-2 | 04/03/2020 | 07/01/2021      | 25.1         | Recruiting         | USA                  |

**Abbreviations:** mRNA: messenger ribonucleic acid; Ad5-nCoV: recombinant adenovirus type 5; rADV: recombinant adenovirus-vectored; CHO: Hamster Ovary Cell; CTL: antigen-specific cytotoxic T cell; LV-SMENP: modifying Dendritic Cell with lentivirus vectors expressing COVID-19 minigene SMENP; DC: Dendritic Cell; KBP: Kentucky BioProcessing; SCB-2019: S-Trimer COVID-19 Vaccine; aAPC: artificial antigen presenting cells; NR: Not Reported; LNP: Lipid Nanoparticle; NP: nanoparticle; VLP: Virus Like Particle; INO-4800: INOVIO's DNA vaccine candidate; DNA: deoxyribonucleic acid; S protein: Spike protein; CN2: strain CN2 for purified inactivated SARS-CoV-2 virus vaccine; RBD: receptor-binding domain; Ad26: recombinant adenovirus type 26; BPL: β-propiolactone GM-CSF: granulocyte-macrophage colony-stimulating factor; NHP/TYF: self-inactivating lentiviral vector system; AS03: squalene-based immunologic adjuvant; CpG 1018: cytosine phosphoguanine 1018—the adjuvant contained in HEPLISAV-B®; Alum: potassium aluminum sulfate; MF59: immunologic adjuvant that uses squalene; saRNA: Self-amplifying ribonucleic acid; USA: United States of America. Note: # This clinical trial has a partnership with the study NCT04400838. * These clinical trials did not mention the study completion date, so we have not calculated the progression rate of these studies.
Figure 1. Over-time distribution of multiplatform vaccine clinical trials according to the study phase. (A) Clinical trial protocols beginning in each month classified by the phase of development. (B) Cumulative analysis of clinical trial phases over the months.

3.3. Properties and Features of Vaccines against COVID-19

3.3.1. Nucleic Acid Vaccines

Regarding the CTPs targeting vaccine development and application, their platforms display a variety of characteristics and properties (Figure 2; Table 1). Nucleic acid vaccines are the ones most tested in CTPs at this moment (32%). They are based on a genetically engineered plasmid containing DNA (12% of the CTPs) or RNA (as mRNA and saRNA, comprising 20% of the CTPs) (Figure 2) usually encoding a selected antigen (mainly S protein).

![Figure 2](image-url)
RNA Vaccines

The mRNA was used in 18% of the selected clinical trials in different phases (I, I-II, II), including a phase III mRNA1273 vaccine CTP [55]. The mRNA1273 [17,20,55] and BioNTech 162 (BNT162) [14,15,18,19] vaccines used a lipid nanoparticle (LNP) encapsulated nucleoside modified mRNA encoding the S protein of SARS-CoV-2.

BNT162 by the BioNTech (BNT) and Pfizer companies embrace different RNA vaccine candidates, comprising two nucleoside modified mRNA (modRNA) vaccines, an uridine containing mRNA (uRNA) vaccine, and a saRNA vaccine. Besides this, two of them codify full-length S protein, and the others codify S protein receptor binding domain (RBD) [68]. Initially, studies with BNT were developed with the four mentioned BNT162 vaccines; however, more recently a CTP with China as the recruiting country applied only the BNT162b1 vaccine [14]. Other CTPs of the same vaccine are recruiting patients in Germany [19] and the USA [18]. BNT162 is being administered in a prime/boost regimen [14,15,18,19], in which the same immunogen is applied in the prime, and the booster CTP objective is to observe the immunogenicity of the subjects against S protein and RBD through IgG titration in healthy individuals [14,15,18,19].

The CTPs using mRNA1273 by Moderna TX Inc are all being completely developed in the USA [17,20,55]. This vaccine encodes full-length S protein. At phase I, this study tested different concentrations of mRNA at 10, 25, 50, 100, and 250 µg in different interventional arms [17]. In the phase III intervention, the established dose was 100 µg via intramuscular administration in two doses 28 days apart in healthy individuals over 18 years old [55].

The phase I German CureVac’s novel coronavirus (CVnCoV) CTP [16] and another mRNA vaccine CTP recruiting in China [13] encode an unspecific protein and an RBD S protein of SARS-CoV-2, respectively, but there is no information about the delivery systems. Recently, an vaccine based on self-amplifying RNA encapsulated by lipid nanoparticle (LNP-nCoVsaRNA) phase I used saRNA encoding S protein in the United Kingdom, which will produce more antigen per transfected cell due to the replicative element [21].

DNA Vaccines

DNA vaccines [22–27] were used in 12% of CTPs with different delivery systems. Plasmids encoding S protein (pGX9501) are delivered with CELLECTRA@2000 electroporation system in a phase I trial in the USA, with a 0.5 mg/dose and 1 mg/dose [27], and in a phase I-II trial in the Republic of Korea, with a 1 mg/dose and 2 mg/dose delivered in a population ranging from 18 to 64 years old in sequential assignment [24] in two doses, as shown in Table 2.

The Canadian bacTRL-Spike-1 vaccine uses a genetically modified probiotic Bifidobacterium longum as a delivery system for a synthetic DNA plasmid encoding S protein to host immune cells in a phase I study; this is the first time that bacTRL has been delivered in humans orally with varied doses in parallel assignment containing 1, 3, or 10 billion colony-forming units [26].

The GX-19 vaccine plasmid in a phase I-II trial in the Republic of Korea by Genexine, Inc. Company (Gyeonggi, Korea) [25] is applied via intramuscular administration in a dose-escalation protocol 28 days apart. A second COVID-19 Indian vaccine ZYCOV-D by Cadila Healthcare Ltd. (also known as Zydus Cadila, Ahmedabad, India) is testing the vaccine in two groups with different age ranges, one from 12 to 55 and another from 12 to 65 years old. In both groups, the doses of 0.5 mL are delivered intramuscularly 14 days apart [22]. The CTP for the Japanese AG0301 DNA vaccine delivers the doses in the same period of time as ZYCOV-D [23], with a population ranging from 20 to 65 years old.
| ID Number              | Rehearsal Center | Estimated Enrollment | Allocation | Intervention Model | Masking | Intervention (Route) | Arm | Dose (Day) | Age Range (Years) |
|------------------------|-----------------|----------------------|------------|--------------------|---------|----------------------|-----|-------------|------------------|
| ISRCTN89951424 [50]    | Single center   | 2000                 | Randomized | Sequential Assignment | Single | ChAdOx1 nCoV-19 (i.m) or MenACWY (i.m) | 2   | ChAdOx1 nCoV-19: 5 × 10^{10} vp MenACWY: 0.5 ml | 18-55            |
| NCT0445695 [54]        | Multicenter     | 8870                 | Randomized | Parallel Assignment | Quadruple | Inactivated SARS-CoV-2 Vaccine (i.m. – deltoid muscle) × Placebo (i.m) | 4   | NR (2 times: 0.14) | >18              |
| ChiCTR200034780 [53]   | Single center   | 15,000               | Randomized | Parallel Assignment | Double | Inactivated SARS-CoV-2 Vaccine × Placebo | 3   | NR (2 times) | >18              |
| NCT04470427 [55]       | Multicenter     | 30,000               | Randomized | Parallel Assignment | Quadruple | mRNA-1273 Vaccine (i.m.) × Placebo (i.m) | 2   | 100 µg (2 times: 0.28) | >18              |
| NCT04400838 [51]       | Multicenter     | 10,260               | Randomized | Sequential Assignment | Single | ChAdOx1 nCoV-19 (i.m) × MenACWY vaccine (licensed control vaccine (i.m)) | 14  | 2.5 × 10^{10} vp; 5 × 10^{10} vp (single or 2 times: 1.28) | >5               |
| EudraCT2020-001228-32  | Multicenter     | 10,260               | Randomized | NR                 | Single | ChAdOx1 nCoV-19 (i.m) × MenACWY vaccine (licensed control vaccine (i.m)) | 5   | NR          | 5-12; 18-55; >56 |
| NCT04405076 [20]       | Multicenter     | 600                  | Randomized | Sequential Assignment | Double | Crossover: mRNA-1273 SARS-CoV-2 Vaccine × Placebo | 4   | 50 mcg; 100 mcg (0) | 18-54; >55       |
| NCT04341389 [32]       | Single center   | 508                  | Randomized | Crossover Assignment | Double | rAd5-nCoV (i.m) × Placebo (i.m) | 3   | 1 × 10^{11} vp; 5 × 10^{10} vp (0) | >18              |
| ChiCTR200031781 [29]   | Multicenter     | 500                  | Randomized | Parallel Assignment | Double | rAd5-nCoV (i.m) × Placebo (i.m) | 3   | 5 × 10^{10} vp; 1 × 10^{11} vp | >18              |
| NCT04466085 [41]       | NR              | 900                  | Randomized | Parallel Assignment | Double | Recombinant new coronavirus vaccine (CHO cells) (i.m. – deltoid muscle) × Placebo (i.m. – deltoid muscle) | 6   | 25 µg/0.5 mL; 50 µg/0.5 mL (2 and 3 times: 0.1 month) | 18-59            |
| NCT04445389 [25]       | Single center   | 190                  | Randomized | Parallel Assignment | Double | GX-19 (i.m) × Placebo (i.m) | 3   | GX-19 dose A; B (1, 29) | 18-50            |
| NCT04444674 [33]       | Multicenter     | 2000                 | Randomized | Parallel Assignment | Quadruple | ChAdOx1 nCoV-19 (i.m. – deltoid muscle × Placebo (i.m. – deltoid muscle) | 8   | 5 × 10^{10} vp (single or 2 times: 0.28) | 18-65            |
| NCT04437875 [36]       | Single center   | 38                   | Non-randomized | Parallel Assignment | None | rAd26 (i.m) × rAd5 (i.m.) × rAd26 + rAd5 (i.m.) | 3   | rAd26 (1), rAd5 (1), rAd26 (1) + rAd5 (21) | 18-60            |
| NCT04436471 [35]       | Single center   | 38                   | Non-randomized | Parallel Assignment | None | rAd26 (i.m) × rAd5 (i.m.) × rAd26 + rAd5 (i.m.) | 3   | rAd26 (1), rAd5 (1), rAd26 (1) + rAd5 (21) | 18-60            |
| ID Number              | Rehearsal Center | Estimated Enrollment | Allocation | Intervention Model | Masking | Intervention (Route) | Arm | Dose (Day) | Age Range (Years) |
|-----------------------|------------------|----------------------|------------|--------------------|---------|----------------------|-----|------------|-------------------|
| NCT04412538 [12]     | Single center    | 942                  | Randomized | Parallel Assignment | Quadruple | Inactivated SARS-CoV-2 Vaccine × Placebo | 8   | 50 U/0.5 mL; 100 U/0.5 mL; 150 U/0.5 mL (2 times: 0.14 or 0.28) | 18–59 |
| NCT04398147 [30]     | Single center    | 696                  | Randomized | Parallel Assignment | Quadruple | rAd5-nCoV (i.m.) × Placebo (i.m) | 28  | 5 × 10^{15} vp; 10 × 10^{15} vp (single or 2 times: 0.56) | 18-55,65-85; |
| NCT04386252 [46]     | Single center    | 180                  | Randomized | Parallel Assignment | Quadruple | Dendritic cells vaccine × GM-CSF (s.c) × Placebo (s.c) | 9   | DC loaded with 1x, 10x and 30x antigen + 250 and 500 mcg GM-CSF (0) | >18  |
| NCT04383574 [10]     | Single center    | 422                  | Randomized | Parallel Assignment | Double | Inactivated SARS-CoV-2 vaccine × Placebo | 4   | 300 SU/mL; 600 SU/mL; 1200 SU/mL (2 times: 0.28) | >60  |
| NCT04380701 [19]     | Single center    | 200                  | Non-randomized | Sequential Assignment | None | BNT162a1; BNT162b1; BNT162b2; BNT162c2 | 4   | Escalating dose levels (BNT162a1, BNT162b1, BNT162b2); Single dose (BNT162c2) | 18-55 |
| NCT04324606 EudraCT2020-001072-15 [34] | Multicenter | 1090                | Randomized | Parallel Assignment | Quadruple | Inactivated SARS-CoV-2 vaccine (i.m.) × Placebo (i.m.) | 6   | 600 SU/0.5 mL; 1200 SU/0.5 mL (2 times: 0.14 or 0.28) | 18-59 |
| NCT04352608 [11]     | Single center    | 200                  | Non-randomized | Sequential Assignment | Single | ChAdOx1 nCoV-19 (i.m) × MenACWY vaccine (i.m) ± Paracetamol (oral) | 9   | 5 × 10^{10} vp (Single: 0) | 18–55 |
| NCT0427696 [45]      | Multicenter      | 100                  | NR         | Single Group Assignment | None | LV-SMENP-DC vaccine (s.c) and antigen-specific CTLs (i.v) | 1   | 5 × 10^6 DC + 1 × 10^6 CTLs | 0.5–80 |
| ChiCTR2000032459 [9] | Single center    | 2128 (I: 480; II: 1648) | Randomized | Parallel Assignment | Double | Inactivated SARS-CoV-2 vaccine × Placebo | 30 (Phase I); 38 (Phase II) | Low; Medium; High | >3 |
| ChiCTR2000031809 [6] | Multicenter      | 1456 (I: 288; II: 1168) | Randomized | Parallel Assignment | Double | Inactivated SARS-CoV-2 vaccine × Placebo | 18 (Phase I); 26 (Phase II) | Low; Medium; High | >6 |
| ChiCTR2000030750 [47] | Multicenter      | 120                  | Randomized | Parallel Assignment | Double | Recombinant chimeric DC vaccine × Blank vaccine | 4   | NR | 25–65 |
| EudraCT 2020-001038-36 [15] | Multicenter | 196                  | Non-randomized | Parallel Assignment | None | BNT162a1 × BNT162b1 × BNT162c2 | 4   | Prime/Boost Regimen | 18-64 |
| NCT04447781 [24]     | Single center    | 160                  | Randomized | Sequential Assignment | Triple | INO-4800 (i.d. + EP) × Placebo (i.d. + EP) | 4   | 1 mg/dose + EP; 2 mg/dose + EP (2 times: 0.28) | 19–64 |
| NCT04463472 [23]     | Single center    | 30                   | Non-Randomized | Sequential Assignment | None | AG0301 DNA Vaccine (i.m.) | 2   | 1.0 mg; 2.0 mg (2 times: 0.14) | 20–65 |
| CTRI2020/07/026352 [22] | Single center    | 1148                 | Randomized | Sequential Assignment | Single | Novel Corona Virus-2019-nCoV vaccine (i.d.) × Placebo (i.d) | NR  | 0.1 mL (Three times: 0.28,56) | 18–55 |
| ID Number | Rehearsal Center | Estimated Enrollment | Allocation | Intervention Model | Masking | Intervention (Route) | Arm | Dose (Day) | Age Range (Years) |
|-----------|-----------------|----------------------|------------|--------------------|---------|----------------------|-----|------------|------------------|
| CTRI/2020/07/026300 NCT04471519 [66] | Multicenter | 1125 | Randomized | Parallel Assignment | Triple | BBV152A (i.m) × BBV152B (i.m) × BBV152C (i.m) × Placebo (i.m) | 3 | 0.5 mL (Two times: 0.14) | 12–55; 12–65 |
| NCT04470609 [67] | Multicenter | 471 | Randomized | Parallel Assignment | Quadruple | Inactivated SARS-CoV-2 vaccine × Placebo | 4 | 50 U/0.5 mL; 100 U/0.5 mL (2 times: 0.28) | >60 |
| NCT04473690 [40] | NR | 180 | Randomized | Parallel Assignment | Quadruple | KBB × Placebo | 3 | Low and high doses | 18–49; 50–70 |
| ChiCTR2000034825 [14] | Multicenter | 144 | Randomized | Parallel Assignment | NR | BNT162b1 mRNA vaccine × Placebo | 6 | Low and high doses (2 times: 0.21) | 18–55; >55 |
| NCT04449276 EudraCT 2020-001286-36 [16] | Single center | 168 | Randomized | Sequential Assignment | Single | CVnCoV (i.m. – deltoid muscle) × Placebo (i.m. – deltoid muscle) | 2 | 2, 4 and 8 µg (1 and 29) | 18–60 |
| NCT04405908 [43] | Single center | 150 | Randomized | Sequential Assignment | Triple | SCB-2019 vaccine (i.m); SCB-2019 + AS03 (i.m) and SCB-2019 + CpG 1018 + Alum (i.m) | 15 | 3 µg; 9 µg; 30 µg (1,22) | 18–54; 55–75 |
| NCT04334980 [26] | Multicenter | 84 | Randomized | Parallel Assignment | Triple | bacTRL-Spike (oral) × Placebo (oral) | 6 | 1 cfu; 3 cfu; 10 cfu (0) | 19–45 |
| NCT04313127 [31] | Single center | 108 | Non-randomized | Sequential Assignment | None | Ad5-nCoV (i.m) | 3 | 5 × 10^10 vp; 1 × 10^11 vp; 1.5 × 10^11 vp (Single: 0) | 18–60 |
| NCT04299724 [48] | Single center | 100 | N/A | Single Group Assignment | None | Pathogen-specific aAPC vaccine (s.c) | 1 | 5 × 10^6 cells (Three times: 0.14,28) | 0.5–80 |
| NCT04283461 [17] | Multicenter | 155 | Non-randomized | Sequential Assignment | None | LNP-encapsulated mRNA-1273 (i.m.) | 13 | 10 mcg; 25 mcg; 50 mcg; 100 mcg; 250 mcg (2 times: 1,29) | 18–55; 56–70; >70 |
| NCT04428073 [44] | Single center | 32 | Non-randomized | Sequential Assignment | None | Covax-19 vaccine | 2 | 1.0 mL of low dose; 1.0 mL of high dose | 18–60 |
| ChiCTR2000034112 [13] | Multicenter | 168 | Randomized | Parallel Assignment | None | mRNA vaccine | 3 | Low; Medium; High | 18–59; 60–80 |
| ChiCTR2000030906 [28] | Multicenter | 108 | Non-randomized | Parallel Assignment | None | rAd5-nCoV (i.m) | 3 | 5 × 10^10 vp; 1 × 10^11 vp; 1.5 × 10^11 vp | 18–60 |
| NCT04445194 [39] | Single center | 50 | Randomized | Parallel Assignment | Double | Recombinant new coronavirus vaccine (CHO cell) (i.m) × Placebo (i.m) | 3 | 25 µg/0.5 mL; 50 µg/0.5 mL | 18–59 |
| NCT04453852 [36] | Single center | 40 | Randomized | Parallel Assignment | Triple | Covax-19 vaccine × Placebo | 2 | 25 µg Spike antigen + 15 mg Advax-2 adjuvant | 18–68 |
| ID Number       | Rehearsal Center | Estimated Enrollment | Allocation     | Intervention Model       | Masking                        | Intervention (Route)                                      | Arm       | Dose (Day)                          | Age Range (Years) |
|-----------------|------------------|----------------------|----------------|--------------------------|-------------------------------|-----------------------------------------------------------|-----------|------------------------------------|------------------|
| ACTRN12620000674932 [37] | Single center    | 120                  | Randomized     | Parallel Assignment Triple | SARS-CoV-2 Scamp vaccine (i.m.) × Placebo (i.m) | 4 1 × 5 mcg/0.5 mL; 1 × 15 mcg/0.5 mL; 1 × 45 mcg/0.5 mL (2 times: 0.28) | 18–55    |                                    |                  |
| ISRCTN17072692 [21]  | Multicenter      | 320                  | Randomized     | Sequential Assignment    | NR                            | COVAC mRNA vaccine (i.m. – deltoid muscle)               | 3         | 0.1 µg; 0.3 µg; 1.0 µg             | 18–45; 18–75     |
| NCT04450004 [49]   | Multicenter      | 180                  | Randomized     | Sequential Assignment    | None                          | Coronavirus-like particle COVID-19 vaccine ± CpG 1018 or AS03 (i.m) | 9         | 3.75 µg; 7.5 µg; 15 µg + CpG 1018 or AS03 | 18–55             |
| NCT04368988 [42]   | Multicenter      | 131                  | Randomized     | Parallel Assignment Triple | NVX-CoV2373 (Matrix-M) (i.m.) × Placebo (i.m) | 5 5 µg or 25 µg with/without 50 µg Matrix-M (2 times: 0.21) | 18–59    |                                    |                  |
| NCT04368728 [18]   | Multicenter      | 7600                 | Randomized     | Parallel Assignment Triple | BNT162a1 (i.m); BNT162b1 (i.m); BNT162c2 (i.m); BNT162d1 (i.m); Placebo (i.m) | 21 0.5 mL (Single or 2 times: 0.21). Prime/boost regimen | 18–55; 65–85; 18–85 |                                    |                  |
| NCT04336410 [27]   | Multicenter      | 120                  | Non-randomized | Sequential Assignment    | None                          | INO-4800 (i.d + EP)                                      | 3         | 0.5 mg + EP; 1.0 mg + EP; 2.0 mg + EP (2 times: 0.28) | >18              |

**Abbreviations:** NR: not reported; MenACWY: meningococcal ACWY licensed control vaccine; mRNA: messenger ribonucleic acid; CHO: Hamster Ovary Cell; rAd26: recombinant adenovirus serotype 26; rAd5-nCoV: recombinant adenovirus serotype 5; GM-CSF: Granulocyte Macrophage-colony Stimulating actor; LV-SMENP-DC: lentiviral minigene vaccine modified with DCs to activate T cells; DCs: dendritic cells; CTLs: cytotoxic T lymphocytes; INO-4800: INOVIO’s DNA vaccine candidate; KBP: Kentucky BioProcessing; AS03: squalene-based immunologic adjuvant made by GlaxoSmithKline (GSK); CpG 1018: cytosine phosphoguanine 1018; Alum: potassium aluminium sulfate; eAPC: artificial antigen presenting cells; LNP: lipid nanoparticle; Matrix-M: matrix-M™ adjuvant; i.m.: intramuscular; s.c.: subcutaneous; i.v.: intravenous; i.d.: intradermal; EP: electroporation.
3.3.2. Non-Replicating Viral Vector Vaccines

The second most used platform comprises different serotypes of non-replicating recombinant adenoviral vectors (24%) that comprise the chimpanzee adenoviral vector ChAdOx1 nCoV-19 by Oxford University (Figure 2) [33,34,50–52], currently with two in phase II-III [51,52] and another in phase III [50] in the United Kingdom and Brazil, respectively. The adenovirus type 5 (Ad5-nCov) by CanSino Biologics [28–32] targeting the full-length S protein of SARS-CoV-2 displays two studies in phase II in China [29,32].

The Russian Gam-COVID-Vac by Gamaleya Research Institute, which combines Ad5 and a recombinant adenovirus type 26 (Ad26) vectored system targeting the S protein of SARS-CoV-2 [35,36], is in phase I-II. In one of these CTPs, the Gam-COVID-Vac solution is lyophilized [36]. The most advanced ChAdOx1 nCoV-19 protocol is delivered in a single dose of $5 \times 10^{10}$ viral particle [50,51], which is being tested two times on days 1 and 28 [51]. A clinical trial divided the groups accordingly to the age of the participants: children ranging from 5 to 12, adults from 18 to 55, and people older than 56 years of age [52]. In turn, the age used in two most advanced Adn5-nCoV CTPs is older than 18, with single doses of $5 \times 10^{10}$ and $1 \times 10^{11}$ in a study with crossover assignment [32] and parallel assignment [29], both with three interventional arms, as shown in Table 2.

3.3.3. Inactivated Virus Vaccines

The inactivated SARS-CoV-2 vaccine was used in 18% of the selected clinical trials (Figure 2) [8–11,53,54,66,67]. The vaccine developed by SinoVac Biotech Corporation is classified in the CTPs as purified inactivated SARS-CoV-2 and is an adsorbed vaccine. According to preclinical study information, this vaccine, named PiCoVacc, was developed by propagating the CN2 strain of SARS-COV-2 inside VeroCells and inactivated using the chemical agent β-propiolactone [69]. This Chinese vaccine is being tested in Brazil in a phase III study [54] and in a phase III CTP developed in the United Arab Emirates [53]. Another purified inactivated vaccine also propagated SARS-CoV-2 inside VeroCells [8,9,53], but there is no information about the strain or inactivating agent used in pre-clinical studies. A recent phase I-II Indian vaccine BBV152 will apply three inactivated whole-virion strains designated BBV152A, BBV152B, and BBV152C [66]. Another two studies developing purified inactivated SARS-CoV-2 vaccine provides no information about the inactivation processes [12,67]. All these vaccines target multiple proteins of SARS-CoV-2. The most advanced inactivated vaccine (phase III) is applied in two doses 14 days apart in the deltoid muscle [54] in participants of 18 years onwards, as shown in Table 2.

3.3.4. Protein Subunit Vaccines

Vaccines based on the recombinant protein subunit are being tested in 16% of the selected CTPs (Figure 2) [37–44], identified by different names according to their features. The most advanced of them (phase II) apply SARS-CoV-2 protein subunits engineered, produced, and secreted by Chinese Hamster Ovary (CHO) cells [39,41]. Phase I SCB-2019 vaccine is a recombinant SARS-CoV-2 trimeric S protein subunit vaccine, and is tested with or without lipid-based Adjuvant System 03 (AS03) that increases the reaction of the innate immune system, or with a oligonucleotide sequence containing CpG motif (CpG 1018) adjuvants plus aluminum, with a CTP being developed in Australia [43]. Another Australian phase I vaccine is NVX-CoV2373, a recombinant S protein nanoparticle vaccine tested with or without the Novavax saponin-based Matrix M™ adjuvant [42], COVAX-19™, a Vaxine proprietary Advax™ adjuvant technology combined with a recombinant S protein [38,44] and a S protein stabilized using the molecular clamp technology applied with MF59 immunologic adjuvant [37]. A CTP is being developed based on the production of the RBD of S protein through fast-growing tobacco plant technology [40]. The use of adjuvants increases the activity of T and B cells mediated by antigen-presenting cells (APCs) [70].
3.3.5. Dendritic Cells Vaccines

Another 6% of clinical trials (phase I-II) are testing vaccines based on dendritic cells (DC) (Figure 2) [45–47]. One of them, from the USA, is testing subcutaneously autologous DC loaded with 1× or 3.33% antigens from SARS-CoV-2 with or without 250 µg or 500 µg of granulocyte-macrophage colony-stimulating factor (GM-CSF), targeting non-specific proteins. This vaccine is developed with the isolation of monocytes from heparinized blood incubated with IL-4 and GM-CSF that are differentiated in vitro in DC, which in turn are incubated with SARS-CoV-2 antigens [46].

China is testing subcutaneously a recombinant chimeric DC vaccine targeting an unspecified SARS-CoV-2 epitope. This vaccine, called LV-SMENP DC, is applied subcutaneously (5 × 10⁶) with intravenous antigen-specific cytotoxic T cells (10⁸). The subjects will be analyzed once a week for four weeks. LV-SMENP DC was developed with a technology that modifies these cells through the lentiviral vector system NHP/TYF [45].

The same lentiviral vector system NHP/TYF was able to modify artificial antigen presentation cells (aAPC) that represent 2% of CTPs [48]. All these lentiviral modified cells encode multiple proteins of SARS-CoV-2, and both CTPs are being developed in China [45,48].

3.3.6. Virus Like Particles Vaccines

Besides this, a phase I study using VLP (2% of the selected CTPs) is recruiting patients in Canada (Figure 2) [49]. This vaccine is administered 21 days apart via intramuscular injection. Each dose is applied to the deltoid region of alternated arms. The doses being tested are initially 3.75 µg in a small number of the population (healthy adults 18 to 55 years of age) with dose-escalation to 7.5 µg and 15 µg unadjuvanted or adjuvanted with either CpG 1018 or AS03, with a follow-up period of six months. After this time, the immunogenicity of the subjects will be tested.

3.4. Trial Progression Rate

The vaccine development clinical trials are displayed by phase, country, and trial progression rate (TPR) according to the beginning and completion date of each study (Table 1) in Figure 3. Most of the clinical trials are in simultaneous phase I-II (46%) [8–12,14,15,19,22–25,30,33–36,40,45–47,66,67]. In China the TPR ranges from 7.1% to 100% [8–12,14,45,47,67], in Germany the TPR of one study is 94.0% [19], in Russia the TPR ranges from 66.1% [35,36], in the United Kingdom the TPR is 25.25% [34], in Canada the TPR is 18.8% [30], in the USA it is 10.3% [46], in South Africa it is 10.0% [33], in the Republic of Korea the TPR ranges from 3.7% to 5.3% [24,25], in India it ranges from 3.3% to 3.7% [22,66], and in Japan it is 3.3% [23]. Then, of the clinical trials in phase I (34%) [13,16–18,21,26–28,31,37–39,42–44,48,49], in China the TPR ranges from 4.8% to 52.0% [13,16,21,26,37,38,44], in the USA it is 45.5% [28], in Russia it is 15.6% [35], in Canada the TPR ranges from 21.4% to 25.1% [17,27], in Australia the TPR ranges from 5.4% to 14.4% [42,48,49], in Germany it is 13.1% [31], and in the United Kingdom it is 8.8% [18].

Four clinical trials (8%) are in phase II [20,29,32,41]. In China, the trial has a TPR of 35.7%; in the USA, the trial has a TPR of 13.5%; two clinical trials (4%) are in simultaneous phase II-III [51,52] in the United Kingdom, and the studies have a TPR ranging from 18.8% to 30.1%. Four clinical trials (8%) are in phase III [50,53–55]. In Brazil, the TPR ranges from 5.5% to 18.9% [50,54]; in the United Arab Emirates, the TPR is 2.7% [53]; and in the USA, the trial has not yet been initiated [55].

Among all clinical trials involving vaccine development, so far only one study (2%) has been completed [10], 56% are recruiting patients [9,11,12,14,16–23,25,27,28,35–39,42,43,45,48–50,53,63,66], 30% are not yet recruiting [8,10,13,24,26,30,33,40,41,44,46,47,51,54,55], 6% are active but not recruiting [31,32,34], 4% have not reported their recruitment status [15,52], and 2% have classified their recruitment status as enrolling by invitation [67], as shown in Table 1.
3.5. Study Design of Clinical Trial Protocols

Regarding the clinical trial protocol studies design of vaccination against COVID-19 (Figure 4 and Table 2), 48% of the protocols were multicenter (MC) research trials and 48% were single center (SC); only two CTPs did not report this information. The estimated enrollment of clinical trials in phase III (3%) ranged from 30,000 to 2000 individuals [50]; in phase II-III (6%), there were 10,260 individuals [51,52]; in phase II (9%), it ranged from 500 to 2000 individuals [20,29,32,41]; in phase II-I (47%), it ranged from 30 to 2128 individuals [8–12,14,15,19,22–25,33–36,40,45–47,66,67]; and in phase I (35%), it ranged from 32 to 7600 individuals [13,16–18,21,26–28,31,37–39,42–44,48–50]. The number of volunteers estimated in each protocol was represented by the scale bar in Figure 4. The CTP intervention design was mostly randomized (76%) and used some type of masking (69%), which varied from 12% single blinding (participant) to 22% double blinding (participant, investigator), 16% triple blinding (participant, investigator, outcomes assessor), and 18% quadruple blinding (participant, care provider, investigator, outcomes assessor). However, certain CTPs have not adopted any techniques for minimizing the bias in allocations and blinding—20% were non-randomized; 4% did not report the strategy of allocation; 28% did not use masking (none), keeping the research open label; 4% did not report the strategy of masking. The intervention model was 60% in parallel-assignment design, following by 32% with sequential assignment design, 4% with single group assignment, 2% with crossover assignment, and 2% did not report the intervention model (Figure 4). The number of intervention arms used in the clinical trial protocols were mainly under 4 (63%), but 22% used between 5 and 10 arms; 6.1% used between 10 and 20; and 8.2% used more than 20 arms of intervention, corresponding to the type of vaccine analyzed according to the different dose concentrations (low, medium, high), the number of doses (single or more than one), and the days of administration (single or double). In addition, the arms...
were distributed for different ages, including less than 18 years old (8%), more than 18 years old (12%), between 18 and 70 years old (63%), and more than 70 years old (17%).

Figure 4. Study design of vaccine clinical trials against COVID-19 distributed inside out by the different types of allocation, masking, estimated enrollment, rehearsal center, and study countries. The color scale bar represents the number of volunteers estimated in each protocol. Abbreviations: NR—not reported; UK—the United Kingdom; USA—the United States of America.

3.6. Global Research Network in Clinical Trial Protocols

The distribution of vaccine CTP networks between the sponsor and the collaborating institutions is highlighted in the Figure 5 map, focusing mainly on the CTPs collaborating with more than five centers. Among the vaccines leading multicenter CTPs, there is one in the USA controlled by ModernaTX Inc. at phase III (green circle) coordinating 87 collaborating institutions (green cylinders around the red bar of Figure 5), with an estimated enrollment of 30,000 participants, not yet recruited [55] (as detailed in Tables 1 and 2). In addition, there is another CTP in the USA at phase II (blue circle) recruiting 600 volunteers from 10 centers (blue cylinders of Figure 5) [20]. Two CTPs in Europe are controlled by Oxford University in partnership with AstraZeneca [51,52], coordinating more than 20 collaborating institutions (hospitals, labs, etc., represented by the yellow and purple cylinders in Figure 5) involving approximately 10,260 participants each, at phase II or III (green-blue circle). There is another CTP at phase I-II (red-blue circle) that is recruiting 1090 volunteers at six centers in the United Kingdom [34] (gray cylinders in Figure 5). The CTP in South America is controlled by Butantan Institute in partnership with the Sinovac Life Sciences Co. (Beijing, China) [54], and is at phase III (green circle), coordinating
12 centers in Brazil (dark green cylinders in Figure 5) and recruiting 8870 participants. In Asia, the CTP controlled by Bharat Biotech International Ltd. is at phase I-II of vaccine development, recruiting 1125 participants in 11 centers in India [66]. In Africa, the vaccine center coordinated by the University of Witwatersrand phase I-II (red-blue circle) is recruiting 2000 volunteers in six centers in South Africa [33]. All these centers leading vaccine multicenter CTPs are highlighted with enlarged figures around the map in Figure 5.

However, other centers for vaccines against COVID-19 in development in Europe, Asia, Africa, the Americas, and Oceania are highlighted in the map of Figure 5.

The global distribution of CTPs, according to the execution phase, as shown in Figure 6 and Table A1 at phase I, comprises 17 of 50 (34%) CTPs [13,16–18,21,26–28,31,37–39,42–44,48,49]. Among these 17 CTPs, 5 (27.8%) are from China [13,28,31,39,48], 4 (27.8%) are from the USA [17,27,42,44], 2 (11.1%) are from Canada [26,49], 3 (16.7%) are from Australia [37,38,43], 1 (11.1%) is from Germany [16], 1 (5.6%) is from the United Kingdom [21], and one of the CTPs is shared by Germany and the USA [18], as shown in Figure 6A. At phase I-II, there are 23 of 50 (46%) CTPs [8–12,14,15,19,22–25,30,33–36,40,45–47,66,67]. Among these 23 CTPs, most are in Asia: 9 (41.7%) are from China [8–12,14,45,47,67], 2 are from the Republic of Korea (8.3%) [24,25], 2 are from India (8.3%) [22,66], and 1 is from Japan (4.2%) [23]. Then, in Europe, two are in Eastern Europe from Russia (8.3%) [35,36], two are from Germany (8.3%) [19], and one is from the United Kingdom (4.3%) [34]. In North America, there are three CTPs from the USA (12.5%) [15,30,46]. There is also one from South Africa (4.3%) [33], as shown in Figure 6B. The CTPs in phase II display 4 of 50 (8%) [20,29,32,41], and they are from China (75%) [29,32,41] and the USA (25%) [20], as shown in Figure 6C. There are two phase II-III CTPs out of 50 (4%) [51,52], both located in the United Kingdom (Figure 6D).

However, there are already 4 of 50 (8%) CTPs at phase III [50,53–55]. Two of them are in Brazil—one is testing the vaccine developed by the University of Oxford [50] at Universidade Federal de São Paulo (UNIFESP), and other is testing the vaccine developed by Sinovac Life Science Co. at Butantan Institute [54]; one is in the United Arab Emirates, and is testing the vaccine developed by China National Biotec Group Co. at Beijing [53]; and one in the USA, testing the vaccine developed by ModernaTX, Inc. [55], as shown in Figure 6E.

Only 6 of 50 CTPs (12%) comprise a network with other institutions located in different countries. These include the United Kingdom and Brazil [50], China and the United Arab Emirates [53], Canada and China [30], the USA and the Republic of Korea [24], the USA and Australia [42], and Germany and the USA [18]. Four studies do not reveal about their partnerships [15,40,41,44]. The most CTPs (82%) have entered into partnerships with other institutions within the same country.
Figure 5. The global distribution of clinical trials by phase (circles) that are developing research on vaccines against COVID-19 (red bar) in the world and their recruitment centers (cylinder). This map displays only the centers that had more than 5 recruitment centers. In particular, the main centers of each continent are arranged around the central map. Phase I (red circle), phase I-II (red-blue circle), phase II (blue circle), phase II-III (green-blue circle), and phase III (green circle).
Figure 6. Clinical trial distribution by country/continent and phase. (A): CTPs at phase I; (B): CTPs at phase I-II; (C): CTPs at phase II; (D): CTPs at phase II-III; and (E): CTPs at phase III.

4. Discussion

Approximately four months after a pandemic was declared by the WHO and more than 640,000 deaths have occurred, a consolidated therapeutic drug strategy protocol approved for COVID-19 still does not exist [71]. At this moment, the epicenter of the outbreak is the American continent, mainly USA and Brazil, and isolation or social distancing remain the central WHO recommendations to avoid infection and increasing numbers of deaths [72].
Unprecedented global initiatives and new partnerships are being established to accelerate the research and development of tests and therapies to control the spread of this pandemic around the world. However, vaccines that mitigate the damage caused by this infectious disease can take much longer to be available with proven safety and efficacy [73]. The time frame for vaccine supply remains uncertain, but to date global labs and industries have registered 50 vaccine CTPs in leading research databases, using eight platforms based on inactivated virus [8–11,53,54,66,67]; nucleic acid such as mRNA [13–20,55], saRNA [21], and DNA/plasmid [22–27]; recombinant adenovirus serotypes platforms, such as adenoviral vector 5 [28–32], chimpanzee adenoviral vector ChAdOx1 [33,34,50–52], and combined serotypes vectors Adenovirus 5 and 26 [35,36]; recombinant viral protein subunits [37–44]; modified dendritic cells [45–47]; artificial antigen-presenting cells [48]; and VLP vaccine [49]. The advantages and limitations of these platforms will be detailed ahead.

The current landscape of COVID-19 vaccine development shows that most CTPs are being developed in Asia (Table A1). However, regarding former CTPs, only 1 of 4 (15%; [53]) phase III CTPs are located in Asia. There is one CTP recruiting in Abu Dhabi/United Arab Emirates, there is a protocol that was developed in China with an inactivated virus platform, and this protocol was also used in another phase III CTP in Brazil [54]. The virus vector platform vaccine developed in the United Kingdom (Oxford University) is being tested in the most advanced studies (phase II-III), both in the United Kingdom [51,52] and Brazil [50] (phase III). These CTPs (8% of total CTPs) have used the same chimpanzee adenoviral vectored vaccine targeting the S protein of SARS-CoV-2 [50–52], in which the recent results show safety and a strong immune response [74,75], but these CTPs differ due to the number of interventional arms testing different vaccine doses (number and concentration), the group age of volunteers, the method of dosing analysis (Abs260, Abs260 corrected for PS80 and qPCR), and other comparisons. Lastly, the mRNA vaccine from Moderna TX, Inc., (Cambridge, MA, USA) is a USA phase III CTP that is the biggest trial, with 30,000 subjects in 87 recruiting centers (Table A1), but the recruiting is just starting [55]. This vaccine platform used advanced technology to improve the drug delivery.

The gold standard for the success of a vaccine is related to its broad and sustained immunogenicity, with adequate safety and efficacy. For this achievement, the antigen delivery systems and their eligibility are important factors [62,76].

Due to the outbreak of COVID-19, it was essential to optimize and reduce the normal stages of the vaccine development process, but possible adverse effects may occur, especially for those belonging to high-risk groups, and this is necessary to take into account during the inclusion period of subjects in these trials [73]. This comprising steps phenomenon is expressed by comprising stages (I-II) [8–12,14,15,19,22–25,30,33–36,40,45–47,66,67] and (II-III) [51,52] and shortcuts in 50% of the current vaccine CTPs. However, in April, only 5 [17,27,31,45,48] of 50 CTPs were considered the most advanced candidate vaccines to initiate clinical development for (phase I) [73], and none of these CTPs displayed clustered phases in their trials.

On 03 April 2020, Cohen [77] identified eight different vaccine groups or “platforms” in preclinical and clinical development, classified as inactivated or attenuated whole viruses, genetically engineered proteins, and mRNA technology. At that time, the most advanced studies were in phase I. After four months, there are the same eight different vaccine platforms and CTPs (88.3%), which include inactivated virus [8–12], non-replicating viral vectors [28–32], nucleic acids (RNA [13,15–20] or DNA [25–27]), protein subunits [42–44], but now most of these CTPs are in phase II-III or phase III.

In this review, the non-replicating viral vector was the main technology/platform used (24%). The advantage of the vaccine based on viral vectors is the combined stimulation of the innate and adaptive immune response to the heterologous viral infection and against the antigen expressed by the vector, usually the S protein [78]. The problem related to heterologous response is the previous exposure to some adenovirus serotypes by the human population, leading to a pre-existing anti-vector response, which makes the vector a disadvantage. The use of Ad5 in immunological practice is well established and highly efficient, and exhibits simple manipulation and ease of purification. However, the specific
response of the transgene can be mitigated by pre-existing adaptive immune responses to antigenic targets in the vector itself [79]. The previous results of the CTP developed by CanSino confirmed the activation of CD4 and CD8 T cells in the vaccine recipients. However, the vaccine-induced specific antibody or T cell response were partially diminished by the presence of pre-existing anti-Ad5 immunity [80]. On the contrary, the study by Zhu [80] in phase I and the study by Folegatti [75] used an Ad5 vectored COVID-19 vaccine that was very immunogenic, able to induce humoral and cellular responses in most trial participants, and the detectable immune responses was very rapid, with the T cell responses peaking at day 14 after vaccination and the antibodies peaking at day 28.

Therefore, less prevalent adenoviral serotypes, such as Ad35 and Ad26, or primate derivatives, such as chimpanzees, are more often used in vaccine development, as they mimic a natural infection at low risk of herologous response, and stimulate a significant immune response without additional adjuvants [81]. Previous studies using a replication-deficient adenovirus from chimpanzee (ChAdOx1) led to the immunization of BALB/C mice, CD1 mice [82], and rhesus monkeys [83] against MERS-CoV. This adenovirus construct, now encoding the full-length S protein of SARS-CoV-2, was able to generate high titers of neutralizing antibodies and a robust CD8 T cell response against this viral protein [82].

DNA or RNA vaccine platforms, also known as synthetic vaccines, were identified in 32% [13–20,55] of the selected CTPs. Vaccines with antigen-encoding DNA/RNA as a platform are relatively versatile, easy to manipulate, and relatively inexpensive to synthesize compared to other types of vaccines. These vaccines have similar strategies of action—for instance, they have coding for the synthesis of target antigens that will be expressed in immune cells. However, the target of each platform is different, in addition to the advantages or risks they present [84].

mRNA based-vaccines provide benefits compared to protein subunits, inactivated or attenuated viruses, and DNA-based vaccines. These benefits were discussed by a study as follows [85]. The first issue is safety, since mRNA is a non-infectious, non-integrating platform, and shows no potential risk of infection or insertional mutagenesis. In addition, mRNA is degraded by regular cellular processes, and its half-life in vivo can be regulated through modifications and delivery methods. The second issue is its efficacy; modifications can make the mRNA more stable and highly translatable. Third, mRNA vaccines have the prospective for fast, inexpensive, and accessible manufacturing due to the high yields of in vitro transcription reactions.

However, this platform is vulnerable in a high mutagenic potential virus [86]. Regarding the SARS-CoV-2 mutagenic potential, a study by Phan [87] revealed many mutations and deletions in coding and non-coding regions, mainly associated with the S protein. Another study [88] identified 11 variations in the SARS-CoV-2 genome which were observed in over 10% of patient isolates from all over the world. Therefore, this topic is still controversial. Nonetheless, this vaccine platform, despite needing adjuvants (other technologies), is more agile and scalable than others. In the selected CTPs, there are seven types of mRNA vaccines: four named BNT162 [14,15,18,19] and three with mRNA1273 [17,20,55], the latter being at a more advanced stage of development. Both types of vaccine consist of LNP encapsulated nucleoside modified mRNA targeting the S protein of SARS-CoV-2. The mRNA-1273 developed by the National Institute of Allergy and Infectious Disease (NIAID) in the USA in collaboration with ModernaTX Inc. [17,20,55] produces the mRNA of S protein in a stable form due to advances in carriers such as LNPs, providing high-efficiency delivery.

Bacteria-derived plasmid-DNA vaccines, in addition to encoding the target antigen, can also encode co-stimulating molecules. These are directed to the nucleus, and need to cross the plasma and nuclear membranes to be activated. Furthermore, they have the possible disadvantage of persistent genomic integration in host cell DNA, leading to subsequent deleterious effects. However, the need for specific delivery systems to achieve good immunogenicity remains a concern. Different methods have been developed to enhance DNA uptake, expression, and immunogenicity (i.e., encapsulation in containing cationic lipids or cholesterol nanoparticles, and adsorption to polymeric or biodegradable nanoparticles), such as the gene gun, needle-free injection devices (jet injection) [89], and in vivo electroporation. This is the case for the INO-4800 vaccine against SARS-CoV-2, currently in clinical
trial (phase I) [27], which was administered by intradermal (ID) injection followed by electroporation (EP) using a CELLECTRA® 2000 device in healthy adult volunteers [27]. The synthesis process of this vaccine involved the alignment of four coding sequences of S protein and the addition of the N-terminal IgE leader sequence. This highly optimized DNA sequence was manufactured and cloned into pGX0001 vector. The resulting plasmids were named pGX9501 and pGX9503 by Inovio Pharmaceuticals [90]. The immunogenicity of INO-4800 was pre-clinically evaluated, and the serum reactivity revealed IgG binding against the S protein of SARS-CoV-2. The serum from INO-4800-immunized BALB/c mice neutralized two SARS-CoV-2 strains—WH-09 (Neutralizing Titer of Antibody (ND50: 97.5) and VIC01 (ND50: 128.1)). In addition, the ND50 of 573.5 was observed in immunized guinea pigs. This study also generated robust S-specific T cell responses in these models, and the detected antibodies were able to block S protein binding to the host ACE2 receptor [90].

Recently (17 June 2020), another DNA vaccine developed in South Korea by Genexine Consortium entered phase I-II clinical trials, in which a preventive GX-19 vaccine will be intramuscularly administrated in healthy volunteers from countries such as Indonesia and Thailand. The company managers expect preliminary data from the initial trial by September 2020 and hope to complete all phases of testing by the end of 2021 [25]. To date, no preclinical studies or technical specifications for the GX-19 vaccine have been released. Hence, DNA and mRNA vaccines are readily designed and can proceed into clinical trials very fast, in addition to outstanding targets for the development of vaccines against SARS-CoV-2 and other related epidemics in the future [91].

Attenuated or inactivated vaccines, known as conventional vaccines, require whole pathogen cultivation and propagation. Thus, it is necessary to obtain a high biosafety level with specialized laboratories and biotechnological tools. Moreover, there is the requirement for lineage cells accepted by regulatory authorities, such as Vero Cells, for the development of industrial-scale inactivated virus vaccines [92]. A total of 18% of the CTPs use inactivated virus vaccine platforms, including a phase III CTP recruiting in Abu Dhabi/the United Arab Emirates [53], and a phase III CTP in Brazil [54]. This approach has been used previously and led to smallpox eradication [93], and vaccines for other diseases such as polio, tetanus, diphtheria, and measles. The clinical trials developed by Sinovac Corporation [10] cultivated the CN2 strain of SARS-CoV-2 in Vero Cells and chemically inactivated them using β-propiolactone. Formaldehyde and UV light are other possible agents for virus inactivation [92]. The process of viral inactivation is delicate and cannot destroy the major virus antigenic structures, which would interfere with their immunogenicity. Depth filtration and optimized steps of chromatography allow vaccine purification [69]. Prior to CTPs, PiCoVacc initiated pre-clinical experiments and administered them with alum adjuvant in BALB/C mice and rhesus macaques, a non-human primate species. This study demonstrated rapid RBD-IgG development, accounting for half of the S protein-induced antibodies produced and possibly the dominant immunogenic part of this protein [69]. Furthermore, no antibody-dependent enhancement (ADE)-mediated vaccine-induced infection aggravation was observed for any vaccinated rhesus macaques [69]. Two other CTPs developed by Chinese Sinopharm Corporation at the Wuhan [8] and Beijing [9] Institutes of Biological Products also expanded SARS-CoV-2 in Vero Cells and are currently in trial. However, no pre-clinical studies or technical specifications of the last two vaccines have been disclosed to date.

Since protein subunit vaccines are restricted to specific epitopes of the virus, most developers have not found proteins other than the S protein used by SARS-CoV-2 to invade cells [94]. Eight clinical trial protocols are analyzing protein subunit vaccines [37–44] combined with different types of adjuvants to help individuals produce an immune response strong enough to protect them from the disease [95]. However, the produced immune responses weaken over time, which means that an individual may require additional shots for booster immunizations throughout their life [94]. The adjuvants used in the selected clinical trial protocols are licensed and approved by the Food and Drug Administration (FDA). The adjuvant CpG1018 increases the body’s immune response; the AS03 enhances the vaccine antigen-specific adaptive immune response; and potassium aluminum sulfate (Alum), one of the agents most used as an adjuvant, acts by creating a deposit at the injection site, thus allowing the slow
release of antigen and prolonging the interaction time with APCs, in addition to acting on soluble antigens by converting them into particulate forms that are readily phagocyted [95,96].

The global vaccine R&D efforts against SARS-CoV-2 are unprecedented in terms of scale and speed [73]. From examining all the CTPs operating in the United States (clinicaltrials.gov), China (chictr.org.cn), and Europe (clinicaltrialsregister.eu), it is evident that most COVID-19 vaccine CTP activity is taking place in China, with 19 CTPs (38%). However, the greatest advance has been made in the United Kingdom (Oxford University), in conjunction with several collaborating countries (South Africa and Brazil, among others) and other recruiting centers/institutions in the UK (hospitals, universities, labs, etc.). This CTP has reached phase III protocol [50], and will test 12,000 subjects. On 26 July 2020, its performance was 18.6%. Most CTPs (91.2%) have not collaborated with other external institutions due to the protocol phase; only 6 of 50 (12%) CTPs have reached phase III, for which it is necessary to expand the tests in territories with the outbreak, as seen with the Oxford University protocol, and more recently with Sinovac Life Sciences Co., a Chinese vaccine company. The distribution of collaboration networks between sponsors and the recruiting centers/institutions of the CTPs is strongly concentrated in Asia (23.2%), particularly in Wuhan city, China, where the pandemic started. In Europe and the Americas, the United Kingdom, Brazil, and the USA are the main centers, representing 82% of the global vaccine network or total subjects in vaccine tests. As we discussed earlier, these last countries are now the epicenter of the pandemic. Furthermore, the development of vaccine platforms is occurring more rapidly in wide global networks compared to scientific reports or clinical trial databases. Vaccine developers publish very few clinical trial articles, even regarding the safety or preclinical results.

The pandemic caused by COVID-19 has resulted in increased awareness of global threats to human health, particularly when caused by unknown and emerging pathogens. This pandemic has motivated science and health systems to be prepared for future outbreaks. In addition, global development has begun on vaccine platforms and improvements in public and private health systems to tackle the challenges of new outbreaks. New platforms or approaches, such as viral vectors, protein-like vaccines, and nucleic acids, meet the prerequisites for providing solutions to some of these challenges, representing highly versatile technologies that allow rapid vaccine manufacturing. Each vaccine technology has its own advantages and disadvantages related to its ability to induce certain immune responses, manufacturing capacity, and safety for human use.

5. Conclusions

The race of COVID vaccine remains uncertain, but to date global labs and industries have registered 50 vaccine CTPs in leading research databases, using eight platforms: inactivated virus; nucleic acid, such as mRNA, and DNA/plasmid; recombinant virus vectors; recombinant viral protein subunits; modified dendritic cells; artificial antigen-presenting cell; and VLP vaccine. New groups and laboratories have changed the global vaccine development landscape, especially in Asia. The most advanced Trial does not mean to be the most efficient or safe, the collaborations between countries are still of little significance.

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## Appendix A

### Table A1. Collaborative research on clinical trial protocols.

| ID Number          | Phase | Rehearsal Center | Sponsor Name (Company) | Recruiting Centers                                                                 |
|--------------------|-------|------------------|------------------------|------------------------------------------------------------------------------------|
| ISRCTN89951424 [50] | III   | Single center    | University of Oxford (AstraZeneca) | UNIFESP Sao Paulo Brazil South America                                               |
|                    |       |                  |                        | University of Brasilia Brasilia                                                    |
|                    |       |                  |                        | Federal University of Minas Gerais Belo Horizonte                                   |
|                    |       |                  |                        | Hospital das Clínicas, Federal University of Paraná Curitiba                       |
|                    |       |                  |                        | Hospital São Lucas da Pontífícia Universidade Católica do Rio Grande do Sul Porto Alegre |
|                    |       |                  |                        | Hospital das Clínicas da UNICAMP Campinas                                          |
|                    |       |                  |                        | Hospital das Clínicas, Faculty of Medicine of Ribeirão Preto, University of São Paulo |
|                    |       |                  |                        | Emílio Ribas Institute of Infectious Diseases São Paulo                              |
|                    |       |                  |                        | Clinical Research Center of the Central Institute of Hospital das Clínicas, Faculty of Medicine, University of São Paulo |
|                    |       |                  |                        | Israeli Institute of Education and Research Albert Einstein                         |
|                    |       |                  |                        | Municipal University of São Caetano do Sul São Caetano do Sul                        |
|                    |       |                  |                        | Medical School of São José do Rio Preto—FAMERP São José do Rio Preto                |
|                    |       |                  |                        | Instituto de Infectologia Evandro Chagas—Fiocruz Rio de Janeiro                     |
| NCT04456995 [54]   | III   | Multicenter      | Butantan Institute (Sinovac Life Sciences Co., Ltd.) |                                      |
|                    |       |                  | São Paulo Brazil South America                                  |
|                    |       |                  |                        | São Paulo                                                                          |
|                    |       |                  |                        | Belo Horizonte                                                                     |
|                    |       |                  |                        | Curitiba                                                                           |
|                    |       |                  |                        | Porto Alegre                                                                       |
|                    |       |                  |                        | Campinas                                                                           |
|                    |       |                  |                        | São Paulo                                                                          |
|                    |       |                  |                        | São Caetano do Sul                                                                |
|                    |       |                  |                        | São José do Rio Preto                                                              |
|                    |       |                  |                        | Rio de Janeiro                                                                     |
| ChiCTR2000034780 [53] | III  | Single center    | China National Biotec Group Co., Ltd. | Shaikh kahlifa Medical City Abu Dhabi United Arab Emirates Asia                  |
|                    |       |                  | Beijing China Asia                                               |                                                                                   |


| ID Number     | Phase | Rehearsal Center | Sponsor Name         | City     | Country | Region     | Recruiting Centers            |
|--------------|-------|------------------|----------------------|----------|---------|------------|-------------------------------|
| NCT04470427  | III   | Multicenter      | ModernaTX, Inc.      | Cambridge| USA     | North America| Ascension St. Vincent         |
|              |       |                  |                      |          |         |            | Birmingham                    |
|              |       |                  |                      |          |         |            | Hope Research Institute       |
|              |       |                  |                      |          |         |            | Chandler                      |
|              |       |                  |                      |          |         |            | Peoria                        |
|              |       |                  |                      |          |         |            | Phoenix                       |
|              |       |                  |                      |          |         |            | Quality of Life Medical and   |
|              |       |                  |                      |          |         |            | Research Center               |
|              |       |                  |                      |          |         |            | Tucson                        |
|              |       |                  |                      |          |         |            | Baptist Health Center for     |
|              |       |                  |                      |          |         |            | Clinical Research             |
|              |       |                  |                      |          |         |            | Little Rock                   |
|              |       |                  |                      |          |         |            | Advanced Clinical Research—   |
|              |       |                  |                      |          |         |            | Rancho Paseo                  |
|              |       |                  |                      |          |         |            | Banning                      |
|              |       |                  |                      |          |         |            | University of California San  |
|              |       |                  |                      |          |         |            | Diego                        |
|              |       |                  |                      |          |         |            | La Jolla                      |
|              |       |                  |                      |          |         |            | eStudySite - La Mesa          |
|              |       |                  |                      |          |         |            | La Mesa                       |
|              |       |                  |                      |          |         |            | UCLA Vine Street Clinic CRS   |
|              |       |                  |                      |          |         |            | Los Angeles                   |
|              |       |                  |                      |          |         |            | Paradigm Clinical Research    |
|              |       |                  |                      |          |         |            | Institute Inc                 |
|              |       |                  |                      |          |         |            | Redding                       |
|              |       |                  |                      |          |         |            | Benchmark Research—Sacramento  |
|              |       |                  |                      |          |         |            | Sacramento                    |
|              |       |                  |                      |          |         |            | Medical Center For Clinical   |
|              |       |                  |                      |          |         |            | Research                      |
|              |       |                  |                      |          |         |            | San Diego                     |
|              |       |                  |                      |          |         |            | University of Colorado Hospital|
|              |       |                  |                      |          |         |            | Aurora                        |
|              |       |                  |                      |          |         |            | Lynn Institute of The Rockies  |
|              |       |                  |                      |          |         |            | Colorado Springs              |
|              |       |                  |                      |          |         |            | George Washington University  |
|              |       |                  |                      |          |         |            | Washington                    |
|              |       |                  |                      |          |         |            | Accel Research Site           |
|              |       |                  |                      |          |         |            | DeLand                        |
|              |       |                  |                      |          |         |            | Research Centers of America   |
|              |       |                  |                      |          |         |            | Hollywood                     |
|              |       |                  |                      |          |         |            | Jacksonville Center for       |
|              |       |                  |                      |          |         |            | Clinical Research             |
|              |       |                  |                      |          |         |            | Jacksonville                   |
|              |       |                  |                      |          |         |            | Suncoast Research Group       |
|              |       |                  |                      |          |         |            | Miami                         |
|              |       |                  |                      |          |         |            | University of Miami           |
|              |       |                  |                      |          |         |            | Palm Beach Research Center    |
|              |       |                  |                      |          |         |            | West Palm Beach               |
|              |       |                  |                      |          |         |            | Grady Health System           |
|              |       |                  |                      |          |         |            | Atlanta                       |
|              |       |                  |                      |          |         |            | Children’s Healthcare of Atlanta|
|              |       |                  |                      |          |         |            |                           |
|              |       |                  |                      |          |         |            | Hope Clinic of The Emory      |
|              |       |                  |                      |          |         |            | Vaccine Center                |
|              |       |                  |                      |          |         |            | Decatur                       |
|              |       |                  |                      |          |         |            | Meridian Clinical Research    |
|              |       |                  |                      |          |         |            | Savannah                     |
| ID Number | Phase | Rehearsal Center | Sponsor Name | City | Country | Region |
|-----------|-------|------------------|--------------|------|---------|--------|
|           |       |                  | Clinical Research Atlanta | Stockbridge |         |        |
|           |       |                  | UIC Project WISH CRS | Chicago |         |        |
|           |       |                  | Johnson County Clin-Trials | Lenexa |         |        |
|           |       |                  | Alliance for Multispecialty Research | Newton |         |        |
|           |       |                  | Alliance for Multispecialty Research-East Wichita | Wichita |         |        |
|           |       |                  | Meridians Clinical Research | Baton Rouge |         |        |
|           |       |                  | Benchmark Research - Metairie | Metairie |         |        |
|           |       |                  | University of Maryland School of Medicine | Baltimore |         |        |
|           |       |                  | Meridians Clinical Research | Rockville |         |        |
|           |       |                  | Brigham and Women's Hospital | Boston |         |        |
|           |       |                  | Henry Ford Health System | Detroit |         |        |
|           |       |                  | MediSync Clinical Research | Petal |         |        |
|           |       |                  | Hattiesburg Clinic |         |         |        |
|           |       |                  | Saint Louis University | Saint Louis |         |        |
|           |       |                  | Sundance Clinical Research |         |         |        |
|           |       |                  | Meridians Clinical Research | Grand Island |         |        |
|           |       |                  | Meridians Clinical Research | Norfolk |         |        |
|           |       |                  | Clinical Research Center of Nevada | Omaha |         |        |
|           |       |                  | AB Clinical Trials | Las Vegas |         |        |
|           |       |                  | Hackensack University Medical Center | Hackensack |         |        |
|           |       |                  | New Jersey Medical School | Newark |         |        |
|           |       |                  | Meridians Clinical Research | Binghamton |         |        |
|           |       |                  | Weill Cornell Chelsea | New York |         |        |
|           |       |                  | Weill Cornell Medical College |         |         |        |
|           |       |                  | University of North Carolina at Chapel Hill | Chapel Hill |         |        |
|           |       |                  | Tryon Medical Partners | Charlotte |         |        |
|           |       |                  | Carolina Institute for Clinical Research | Fayetteville |         |        |
|           |       |                  | M3 Wake Research, Inc—M3 Wake | Raleigh |         |        |
Table A1. Cont.

| ID Number | Phase | Rehearsal Center | Sponsor Name | City | Country | Region |
|-----------|-------|------------------|--------------|------|---------|--------|
|           |       |                  | Trial Management Associates | Wilmington |        |        |
|           |       |                  | Wake Forest University Health Sciences | Winston-Salem |        |        |
|           |       |                  | New Horizons Clinical Research | Cincinnati |        |        |
|           |       |                  | Cincinnati CRS | Cincinnati |        |        |
|           |       |                  | Rapid Medical Research Inc | Cleveland |        |        |
|           |       |                  | Lynn Health Science Institute | Oklahoma |        |        |
|           |       |                  | Crisee | Medford |        |        |
|           |       |                  | Penn Prevention CRS | Philadelphia |        |        |
|           |       |                  | UPMC University Center | Pittsburgh |        |        |
|           |       |                  | Keystone VitaLink Research | Anderson |        |        |
|           |       |                  | Coastal Carolina Research Center | Greenville |        |        |
|           |       |                  | Meridian Clinical Research | Spartanburg |        |        |
|           |       |                  | WR-ClintSearch | Spartanburg |        |        |
|           |       |                  | Alliance for Multispecialty Research | Knoxville |        |        |
|           |       |                  | Vanderbilt University Medical Center | Nashville |        |        |
|           |       |                  | Benchmark Research | Austin |        |        |
|           |       |                  | Tekton Research | Cedar Park |        |        |
|           |       |                  | Advanced Clinical Research—Be Well MD | Dallas |        |        |
|           |       |                  | Global Medical Research—MD Wake Research | Fort Worth |        |        |
|           |       |                  | Benchmark Research | Galveston |        |        |
|           |       |                  | University of Texas Medical Branch | Houston |        |        |
|           |       |                  | Baylor College of Medicine |        |        |        |
|           |       |                  | DM Clinical Research—Texas Center For Drug Development |        |        |        |
| ID Number     | Phase | Rehearsal Center | Sponsor Name | City       | Country | Region | Recruiting Centers Name | City       | Country | Region |
|--------------|-------|------------------|--------------|------------|---------|--------|--------------------------|------------|---------|--------|
| laguna       |       | Clinical Research| Laredo       | McAllen    | San Angelo       | | Benchmark Research       | San Antonio |        |        |
|              |       | Clinical Trials of Texas, Inc | San Antonio |          |         |        | DH Clinical Research    | Tomball    |        |        |
|              |       | Foothill Family Clinic - North | Salt Lake City |          |         |        | Kaiser Permanente        | Seattle     |        |        |
|              |       | Foothill Family Clinic-South Clinic |          |          |         |        | University Hospital      | Southampton |        |        |
|              |       | University of Oxford (AstraZeneca) | Oxford | United Kingdom | Europe |        | NHS Lothian, Western General Hospital | Edinburgh | United Kingdom | Europe |
|              |       | Public Health Wales | Creative | Cambridge | United Kingdom | Europe |
|              |       | Castle Hill Hospital | University Hospital |           |         |        | NHS Lothian, Western General Hospital | Edinburgh | United Kingdom | Europe |
|              |       | NIHR Cambridge Clinical Research Facility | Cambridge | United Kingdom | Europe |
|              |       | North Bristol NHS Trust | Bristol | Bristol | United Kingdom | Europe |
|              |       | University Hospitals Bristol and Weston NHS Foundation Trust | Bristol | Bristol | United Kingdom | Europe |
|              |       | University Hospitals Birmingham NHS Foundation Trust | Birmingham | Birmingham | United Kingdom | Europe |
|              |       | St George's University Hospital NHS Foundation Trust | London | London | United Kingdom | Europe |
|              |       | University of Oxford (AstraZeneca) | Oxford | United Kingdom | Europe |
|              |       | Public Health Wales | Creative | Cardiff | United Kingdom | Europe |
|              |       | Castle Hill Hospital | University Hospital |           |         |        | NHS Lothian, Western General Hospital | Edinburgh | United Kingdom | Europe |
|              |       | NIHR Cambridge Clinical Research Facility | Cambridge | United Kingdom | Europe |
|              |       | North Bristol NHS Trust | Bristol | Bristol | United Kingdom | Europe |
|              |       | University Hospitals Bristol and Weston NHS Foundation Trust | Bristol | Bristol | United Kingdom | Europe |
|              |       | University Hospitals Birmingham NHS Foundation Trust | Birmingham | Birmingham | United Kingdom | Europe |
|              |       | St George's University Hospital NHS Foundation Trust | London | London | United Kingdom | Europe |
|              |       | University Hospital | Hospital |         |        |        | NHS Lothian, Western General Hospital | Edinburgh | United Kingdom | Europe |
|              |       | Public Health Wales | Creative | Cardiff | United Kingdom | Europe |
|              |       | Castle Hill Hospital | University Hospital |           |         |        | NHS Lothian, Western General Hospital | Edinburgh | United Kingdom | Europe |
|              |       | NIHR Cambridge Clinical Research Facility | Cambridge | United Kingdom | Europe |
|              |       | North Bristol NHS Trust | Bristol | Bristol | United Kingdom | Europe |
|              |       | University Hospitals Bristol and Weston NHS Foundation Trust | Bristol | Bristol | United Kingdom | Europe |
|              |       | University Hospitals Birmingham NHS Foundation Trust | Birmingham | Birmingham | United Kingdom | Europe |
|              |       | St George's University Hospital NHS Foundation Trust | London | London | United Kingdom | Europe |
|              |       | University Hospital | Hospital |         |        |        | NHS Lothian, Western General Hospital | Edinburgh | United Kingdom | Europe |
|              |       | Public Health Wales | Creative | Cardiff | United Kingdom | Europe |
|              |       | Castle Hill Hospital | University Hospital |           |         |        | NHS Lothian, Western General Hospital | Edinburgh | United Kingdom | Europe |
|              |       | NIHR Cambridge Clinical Research Facility | Cambridge | United Kingdom | Europe |
|              |       | North Bristol NHS Trust | Bristol | Bristol | United Kingdom | Europe |
|              |       | University Hospitals Bristol and Weston NHS Foundation Trust | Bristol | Bristol | United Kingdom | Europe |
|              |       | University Hospitals Birmingham NHS Foundation Trust | Birmingham | Birmingham | United Kingdom | Europe |
|              |       | St George's University Hospital NHS Foundation Trust | London | London | United Kingdom | Europe |
| ID Number          | Phase | Rehearsal Center | Sponsor Name                                      | City          | Country | Region |
|--------------------|-------|------------------|--------------------------------------------------|---------------|---------|--------|
| EudraCT2020-001228-32 | II-III| Multicenter      | University of Oxford (AstraZeneca)                | Oxford        | United Kingdom | Europe |
|                    |       |                  | London North West University Healthcare Trust (LNWUH), Northwick Park Hospital | London        |         |        |
|                    |       |                  | University College London Hospitals NHS Foundation Trust | London        |         |        |
|                    |       |                  | Guy’s and St Thomas’ NHS Foundation Trust, Department of Infection, St Thomas Hospital | London        |         |        |
|                    |       |                  | Imperial College Healthcare NHS Trust | London        |         |        |
|                    |       |                  | The Newcastle upon Tyne Hospitals NHS Foundation Trust, Royal Victoria Infirmary | Newcastle |         |        |
|                    |       |                  | University of Nottingham Health Service, Cripps Health Centre, University Park | Nottingham |         |        |
|                    |       |                  | CCVTM, University of Oxford, Churchill Hospital | Oxford        |         |        |
|                    |       |                  | John Radcliffe Hospital | Oxford        |         |        |
|                    |       |                  | Sheffield Teaching Hospitals, Royal Hallamshire Hospital | Sheffield     |         |        |
|                    |       |                  | Centre for Clinical Vaccinology & Tropical Medicine | Oxford        |         |        |
|                    |       |                  | University Hospital Southampton NHS Foundation Trust | Southampton    |         |        |
|                    |       |                  | NIHR Imperial Clinical Research Facility | London        |         |        |
|                    |       |                  | St Georges University Hospital NHS Foundation Trust | London        |         |        |
|                    |       |                  | University Hospitals Bristol and Weston NHS Foundation Trust | Bristol       |         |        |
|                    |       |                  | North Bristol NHS Trust | Bristol       |         |        |
|                    |       |                  | University of Nottingham Health Service | Nottingham |         |        |
|                    |       |                  | Sheffield Teaching Hospitals | Sheffield |         |        |
|                    |       |                  | University Hospitals Birmingham NHS Foundation Trust (UHB) | Birmingham    |         |        |
|                    |       |                  | Wales (Public Health Wales) | Newport |         |        |
|                    |       |                  | Greater Glasgow and Clyde NHS Board | Glasgow      |         |        |
| ID Number   | Phase | Rehearsal Center | Sponsor Name | City       | Country | Region | Recruiting Centers Name | City       | Country | Region |
|-------------|-------|------------------|--------------|------------|---------|--------|--------------------------|------------|---------|--------|
| NCT04410076 | II    | Multicenter      | ModernaTX, Inc. | Cambridge | USA     | North America | USA | North America |
|             |       |                  |              |            |         |         | Meridian Clinical Research | Savannah |         |        |
|             |       |                  |              |            |         |         | Heartland Research Associates | Newton   |         |        |
|             |       |                  |              |            |         |         | Heartland Research Associates | Kansas City |         |        |
|             |       |                  |              |            |         |         | Meridian Clinical Research | Norfolk   |         |        |
|             |       |                  |              |            |         |         | Meridian Clinical Research | Omaha     |         |        |
|             |       |                  |              |            |         |         | Trial Management Associates | Wilmington |         |        |
|             |       |                  |              |            |         |         | Meridian Clinical Research | Dakota Dunes |         |        |
|             |       |                  |              |            |         |         | Benchmark Research | Austin |         |        |
|             |       |                  |              |            |         |         | Benchmark Research | San Angelo |         |        |
|             |       |                  |              |            |         |         | Advanced Clinical Research/Velocity | West Jordan |         |        |
| NCT0431389 | II    | Single center    | Institute of Biotechnology, Academy of Military Medical Sciences, PLA of China | Shanghai | China | Asia | Hubei Provincial Center for Disease Control and Prevention | Wuhan | China | Asia |

Table A1. Cont.
### Table A1. Cont.

| ID Number | Phase | Rehearsal Center | Sponsor | Recruiting Centers | Recruiting Centers |
|-----------|-------|------------------|---------|--------------------|--------------------|
|           |       |                  |         |                    |                    |
| ChiCTR2000031781 [29] | II    | Multicenter      | Jiangsu Provincial Center for Disease Control and Prevention (CanSino Biological Inc.) | Nantong | China | Asia | Wuhan | China | Asia |
| NCT04466085 [41] | II    | NR               | Anhui Zhifei Longcom Biologic Pharmacy Co., Ltd. | Beijing | China | Asia | Severance hospital | Seoul | Republic of Korea | Asia |
| NCT04444674 [33] | I, II | Multicenter      | University of Witwatersrand | Johannesburg | South Africa | Africa | Johanneburg | Setshaba Research Centre (SRC) | Soshanguve | South Africa | Africa |
| NCT04437875 [36] | I, II | Single center    | Gamaleya Research Institute of Epidemiology and Microbiology, Health Ministry of the Russian Federation | Moscow | Russia | Europe | Sechenov First Moscow State Medical University | Moscow | Russia | Europe |
| NCT04436471 [35] | I, II | Single center    | Gamaleya Research Institute of Epidemiology and Microbiology, Health Ministry of the Russian Federation | Moscow | Russia | Europe | Main military clinical hospital named after academician N. N. Burdenko | Moscow | Russia | Europe |
| NCT04412538 [12] | I, II | Single center    | Chinese Academy of Medical Sciences | Beijing | China | Asia | West China Second University Hospital | Sichuan | China | Asia |
| ID Number         | Phase | Rehearsal Center | Sponsor Name and Location | Recruiting Centers Name and Location |
|-------------------|-------|------------------|---------------------------|---------------------------------------|
| NCT04398147 [30] | I, II | Single center    | CanSino Biologics Inc. Tianjin China Asia | Canadian Center for Vaccinology Halifax Canada North America |
| NCT04386252 [46] | I, II | Single center    | Aivita Biomedical, Inc. Irvine USA North America | Hoag Memorial Hospital Presbyterian Newport Beach USA North America |
| NCT04383574 [10] | I, II | Single center    | Sinovac Research and Development Co. Ltd. Beijing China Asia | Renqiu City Center for Disease Control and Prevention Renqiu China Asia |
| NCT04380701 [19] | I, II | Single center    | Biontech RNA Pharmaceuticals GmbH Berlin Germany Europe | Contract Research Organization Berlin Germany Europe |
| NCT04352608 [11] | I, II | Single center    | Sinovac Research and Development Co. Ltd. Beijing China Asia | Suining County Center for Disease Control and Prevention Xuzhou China Asia |
| NCT04324606 | I, II | Multicenter      | University of Oxford (AstraZeneca) Oxford United Kingdom Europe | University Hospital Southampton NHS Foundation Trust Southampton |
|                  |       |                  |                           | University Hospitals Bristol and Weston NHS Foundation Trust Bristol |
|                  |       |                  |                           | St Georges University Hospital NHS Foundation Trust London |
|                  |       |                  |                           | Imperial College Healthcare NHS Trust London |
|                  |       |                  |                           | CCVTM, University of Oxford, Churchill Hospital Oxford |
|                  |       |                  |                           | John Radcliffe Hospital Oxford |
| NCT04276896 [45] | I, II | Multicenter      | Shenzhen Geno-Immune Medical Institute Shenzhen China Asia | Shenzhen Geno-Immune Medical Institute Shenzhen China Asia |
|                  |       |                  |                           | Shenzhen Third People’s Hospital Shenzhen China Asia |
|                  |       |                  |                           | Shenzhen Second People’s Hospital Shenzhen China Asia |
| ChiCTR2000032459 | I, II | Single-center    | Henan Provincial Center for Disease Control and Prevention (Sinopharm) Zhengzhou China Asia | Liangyuan district centers for disease control and prevention Shangqiu China Asia |
| ChiCTR2000031809 | I, II | Multicenter      | Henan Provincial Center for Disease Control and Prevention (Sinopharm) Zhengzhou China Asia | Wuzhi County Center for Disease Control and Prevention Jiaozuo China Asia |

Note: EudraCT2020-001072-15 [34] is not included in the table.
| ID Number | Phase | Rehearsal Center | Sponsor | Recruiting Centers |
|-----------|-------|------------------|---------|--------------------|
|           |       | Name             | City    | Country           | Name             | City    | Country | Region |
| ChiCTR2000030750 [47] | I, II | Multicenter      | Shenzhen Third People’s Hospital | Shenzhen China Asia |
|           |       |                  |         |                   | Shenzhen People’s Hospital | Shenzhen China Asia |
| EudraCT 2020-001038-36 [15] | I, II | Multicenter      | BioNTech RNA Pharmaceuticals GmbH (Fosun Pharma/Pfizer) | Mainz Germany Europe |
|           |       |                  |         |                   | NR               | NR   | NR    | NR |
| NCT04447781 [24] | I, II | Single center    | International Vaccine Institute | Seoul Republic of Korea Asia |
|           |       |                  |         |                   | Seoul National University Hospital | Seoul Republic of Korea Asia |
| NCT04463472 [23] | I, II | Single center    | AmGen, Inc. | Osaka Japan Asia |
|           |       |                  |         |                   | Osaka City University Hospital | Osaka Japan Asia |
| CTRI/2020/07/026352 [22] | I, II | Single center    | Cadila Healthcare Ltd. | Ahmedabad India Asia |
|           |       |                  |         |                   | Zydus Research Center | Ahmedabad India Asia |
| CTRI/2020/07/026300 NCT04471319 [60] | I, II | Multicenter      | Bharat Biotech International Ltd. | Medchal India Asia |
|           |       |                  |         |                   | All India Institute of Medical Sciences | Patna New Delhi |
|           |       |                  |         |                   | Gillukar Multispeciality Hospital | Nagpur |
|           |       |                  |         |                   | Institute of Medical Sciences and SCM Hospital | Jajapur |
|           |       |                  |         |                   | Jeevan Rekha Hospital | Bogaum |
|           |       |                  |         |                   | King George Hospital | Vizianagaram |
|           |       |                  |         |                   | Nizam’s Institute of Medical Sciences | Hyderabad |
|           |       |                  |         |                   | PGIMS | Rohtak |
|           |       |                  |         |                   | Praksha Hospital | Knarapur Nagar |
|           |       |                  |         |                   | Rana Hospital and Trauma Center | Gorakhpur |
|           |       |                  |         |                   | Redkar Hospital and Research Center | North Goa |
|           |       |                  |         |                   | SRM Hospital & Research Center | Kancheepuram |
| NCT04470609 [67] | I, II | Multicenter      | Chinese Academy of Medical Sciences | Beijing China Asia |
|           |       |                  |         |                   | West China Second University Hospital | Sichuan China Asia |
|           |       |                  |         |                   | West China women’s and children’s Hospital | |

Table A1. Cont.
| ID Number         | Phase | Rehearsal Center | Sponsor Name and City, Country and Region | Recruiting Centers Name and City, Country and Region |
|-------------------|-------|------------------|------------------------------------------|------------------------------------------------------|
| NCT04473690 [40] | I, II | NR               | Kentucky BioProcessing, Inc. Owensboro USA North America | NR NR NR NR |
| ChiCTR2000034825 [14] | I, II | Multicenter | Jiangsu Provincial Center for Disease Prevention and Control Nanjing China Asia | Taizhou Center for Disease Control and Prevention Jiangsu China Asia |
| NCT044449276 2020-001286-36 [16] | I | Single center | CureVac AG Tübingen Germany Europe | University Hospital Tübingen Institut für Tropenmedizin Tübingen Germany Europe |
| NCT04405908 [43] | I | Single center | Clover Bopharmaceuticals AUS Pty Ltd. (GSK/Dynavax) Perth Australia Oceania | Linear Clinical Research Ltd. Nedlands Australia Oceania |
| NCT04334980 [26] | I | Multicenter | Symvivo Corporation British Columbia Canada North America | Vaccine Evaluation Center, BC Children’s Hospital Research Institute, University of British Columbia Vancouver Canada North America | Canadian Center for Vaccinology Dalhouse University, IWK Health Centre Halifax Canada North America |
| NCT04313127 [31] | I | Single center | CanSino Biologics Inc. Tianjin China Asia | HuBei Provincial Center for Disease Control and Prevention Wuhan China Asia |
| NCT04299724 [48] | I | Single center | Shenzhen Geno-Immune Medical Institute Shenzhen China Asia | Shenzhen Geno-Immune Medical Institute Shenzhen China Asia |
| NCT04263463 [17] | I | Multicenter | National Institute of Allergy and Infectious Diseases (NIAID) (ModernaTX, Inc.) Bethesda USA North America | Emory Vaccine Center—The Hope Clinic Decatur USA North America | National Institutes of Health - Clinical Center - Vaccine Research Center Clinical Trials Program Bethesda USA North America |
| NCT04428073 [44] | I | Single center | GeneCure Biotechnologies Nercross USA North America | NR NR NR NR |
| ID Number | Phase | Rehearsal Center | Sponsor | Recruiting Centers |
|-----------|-------|-----------------|---------|--------------------|
|           |       | Name            | City    | Country | Region | Name | City    | Country | Region |
|           |       | Shulan (Hangzhou) Hospital | Hangzhou |    | Asia | Shulan (Hangzhou) Hospital | Hangzhou |    | Asia |
| ChiCTR2000034112 | I | Multicenter | Center for Disease Control and Prevention of Guangxi Zhuang Autonomous Region | Beijing | China | Yongfu County Center for Disease Control and Prevention | NR | China | Asia |
| ChiCTR2000030906 | I | Multicenter | Jiangsu Provincial Center for Disease Control and Prevention (CanSino Biological Inc.) | Nanjing | China | Wuhan Rest Center, Chinese People’s Armed Police Force | Wuhan | China | Asia |
| NCT04445194 | I | Single center | Arhuuz Biopharma Co., Ltd. | Beijing | China | The Second Affiliated Hospital of Chongqing Medical University | Chongqing | China | Asia |
| NCT04453852 | I | Single center | Vaxine Pty Ltd/Medytox | Adelaide | Australia | PARC Clinical Research | Adelaide | Australia | Oceania |
| ACTRN12620000674932 | I | Single center | University of Queensland/CSL/Seqirus | St Lucia | Australia | Q-Pharm Pty—Clive Berghofer Research Centre (CBCRC) | Herston | Australia | Oceania |
| ISRCTN17072692 | I | Multicenter | Imperial College London | London | United Kingdom | NIHR Imperial Clinical Research Facility | London | United Kingdom | Europe |
| NCT044500034 | I | Multicenter | Medicago Inc. | Quebec | Canada | Syneos Health | Montreal | Canada | North America |
| NCT04368998 | I | Multicenter | Novavax, Inc. | Maryland | USA | Investigational Research Site 1 | Herston | Australia | Oceania |
### Table A1. Cont.

| ID Number   | Phase | Rehearsal Center | Sponsor | University of Maryland, Center for Vaccine Development and Global Health | Recruiting Centers |
|-------------|-------|------------------|---------|--------------------------------------------------------------------------|-------------------|
| NCT04368728 | I     | Multicenter      | Biontech SE | Berlin, Germany, Europe                                                  | University of Maryland, Center for Vaccine Development and Global Health |
|             |       |                  | Pfizer (Fosun Pharma) | New York, USA, North America                                            | NYU Langone Health, New York |
|             |       |                  |         | Rochester Regional Health/Rochester General Hospital                    | Rochester Regional Health/Rochester General Hospital |
|             |       |                  |         | Cincinnati Children’s Hospital Medical Center                           | Cincinnati Children’s Hospital Medical Center |
| NCT04336410 | I     | Multicenter      | Inovio Pharmaceuticals | San Diego, USA, North America                                           | Central Kentucky Research Associates, Lexington |
|             |       |                  |         | Center for Pharmaceutical Research                                    | Center for Pharmaceutical Research, Kansas City |
|             |       |                  |         | University of Pennsylvania, Philadelphia                               | University of Pennsylvania, Philadelphia |

**Abbreviation:** GSK: GlaxoSmithKline; NIAID: National Institute of Allergy and Infectious Diseases; USA: United States of America; UNIFESP: Universidade Federal de São Paulo; UNICAMP: Universidade Estadual de Campinas; NHS: National Health Service; LSTM: Liverpool School of Tropical Medicine; LNWUH: London North West University Healthcare Trust; CCVTM: Centre for Clinical Vaccinology and Tropical Medicine; NIHR—National Institute for Health Research; UHB: University Hospitals Birmingham; UCLH: University College London; HUTH: Hull University Teaching Hospitals; NR: Not reported; PHRU: Perinatal HIV Research Unit; Wits RHI: Wits Reproductive Health and HIV Institute; SRC: Setshaba Research Centre; RMPRU: Respiratory and Meningeal Pathogens Research Unit; DST: Department of Science and Technology; NRF: National Research Foundation; VPD: Vaccine Preventable Diseases; FAMCRU: Family Centre for Research with Ubuntu; UCT: UCT Lung Institute; PGIMS: Pandit Bhagwat Dayal Sharma Post Graduate Institute of Medical Sciences; CBCRC: Clive Berghofer Research Centre; NIHR: National Institute for Health Research.
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