Observation of a charged (D\bar{D}*)± mass peak in e+e− → πD\bar{D}* at \sqrt{s} = 4.26 GeV

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We report on a study of the process $e^+ e^- \to \pi^\pm (D^* D)^\mp$ at $\sqrt{s} = 4.26$ GeV using a 525 pb$^{-1}$ data sample collected with the BESIII detector at the BEPCII storage ring. A distinct charged structure is observed in the $(D^* D)^\mp$ invariant mass distribution. When fitted to a mass-dependent-width Breit-Wigner line shape, the pole mass and width are determined to be $M_{\text{pole}} = (3883.9 \pm 1.5 \pm 4.2)$ MeV/$c^2$ and $\Gamma_{\text{pole}} = (24.8 \pm 3.3 \pm 11.0)$ MeV. The mass and width of the structure, which we refer to as $Z_{c}(3885)$, are 2$\sigma$ and 1$\sigma$, respectively, below those of the $Z_{c}(3900) \to \pi^\pm J/\psi$ peak observed by BESIII and Belle in $\pi^+ \pi^- J/\psi$ final states produced at the same center-of-mass energy. The angular distribution of the $\pi Z_{c}(3885)$ system favors a $J^P = 1^+$ quantum number assignment for the structure and disfavors $1^-$ or $0^-$. The Born cross section times the $D^* D^*$ branching fraction of the $Z_{c}(3885)$ is measured to be $\sigma(e^+ e^- \to \pi^\pm Z_{c}(3885)^\mp \to (D^* D)^\mp) = (83.5 \pm 6.6 \pm 22.0)$ pb. Assuming the $Z_{c}(3885) \to D^* D^*$ signal reported here and the $Z_{c}(3900) \to \pi J/\psi$ signal are from the same source, the partial width ratio $\Gamma(Z_{c}(3885) \to D^* D^*) / \Gamma(Z_{c}(3900) \to \pi J/\psi) = 6.2 \pm 1.1 \pm 2.7$ is determined.

PACS numbers: 14.40.Rt, 13.25.Gv, 14.40.Pq

The $Y(4260)$ resonance was first seen by BaBar as a peak in the $e^+ e^- \to \pi^+ \pi^- J/\psi$ cross section as a function of $e^+ e^-$ center-of-mass (CM) energy [1]. It was subsequently confirmed by CLEO [2] and Belle [3]. Its production via the $e^+ e^-$ annihilation process requires the quantum numbers of the $Y(4260)$ to be $J^{PC} = 1^{--}$. A peculiar feature is the absence of any apparent corresponding structure in the cross sections for $e^+ e^- \to D^{(*)} \bar{D}^{(*)}(\pi)$ in the $\sqrt{s} = 4260$ MeV energy region [4]. This implies a lower-limit partial width of $\Gamma(Y(4260) \to \pi^+ \pi^- J/\psi) > 1$ MeV [5] that is one order-of-magnitude larger than measured values for conventional charmonium meson transitions [6], and indicates that the $Y(4260)$ is probably not a conventional quarkonium state.
A similar pattern is seen in the $b$-quark sector, where anomalously large cross sections for $e^+e^- \to \pi^+\pi^- \Upsilon(nS)$ ($n = 1, 2, 3$) at energies around $\sqrt{s} = 10.86$ GeV reported by Belle [7] were subsequently found to be associated with the production of charged bottomonium-like resonances, the $Z_b(10610)^+$ and $Z_b(10650)^+$, both with strong decays to $\pi^+ \Upsilon(nS)$ and $\pi^+ h_0(mP)$ ($m = 1, 2$) [8]. The $Z_b(10610)^+$ mass is just above the $m_B + m_B^*$ threshold and it decays copiously to $B^*B^*$, while the $Z_b(10650)^+$ mass is just above the $2m_B^*$ threshold and it decays copiously to $B^*B^*$ [9]. Their proximity to the $BB^*$ and $B^*B^*$ thresholds as well as their decay patterns suggest that these states may be molecule-like meson-meson virtual states [10]; a subject of considerable interest [11].

Recently BESIII reported the observation of a prominent resonance-like charged structure in the $\pi J/\psi$ invariant mass distribution for $e^+e^- \to \pi^+\pi^- J/\psi$ events collected at $\sqrt{s} = 4.26$ GeV, dubbed the $Z_c(3900)$. A fit to a Breit-Wigner (BW) resonance lineshape yields $M = (3899.0 \pm 3.6 \pm 4.9)$ MeV$/c^2$ and $\Gamma = (46 \pm 10 \pm 20)$ MeV [12]. (Here, and elsewhere in this report, the first errors are statistical and the second systematic.) This observation was subsequently confirmed by Belle [13]. The $Z_c(3900)$ mass is $\sim 20$ MeV$/c^2$ above the $DD^*$ mass threshold, which is suggestive of a virtual $DD^*$ molecule-like structure [14, 15]; i.e., a charmed-sector analog of the $Z_b(1610)$. (BESIII also reported resonance-like structures in charged $D^*D^*$ and $\pi K$ systems at $M \approx 4025$ MeV, which may be a charmed-sector analog of the $Z_b(10650)$ [16].) Another possibility is a diquark-diantiquark state [17]. It is important to measure the rate for $Z_c(3900)$ decays to $DD^*$ and compare it to that of the $\pi J/\psi$.

Here we report the observation of a peak in the $(DD^*)^-$ invariant-mass distribution in $e^+e^- \to \pi^+ \pi^-(DD^*)^-$ annihilation events at $\sqrt{s} = 4.26$ GeV with a 525 pb$^{-1}$ data sample detected by the BESIII detector at the BEPCII electron-positron collider. In the following, this structure is referred to as the $Z_c(3885)$. The $\pi^+ (DD^*)^-$ final states are selected by means of a partial reconstruction technique in which only the bachelor $\pi^+$ and one final-state $D$ meson are detected, and the presence of the $D^*$ is inferred from energy-momentum conservation. (In this report, the inclusion of charge conjugate states is always implied.) We perform parallel analyses of both isospin channels ($\pi^+ D^0 D^{*-}$ and $\pi^- D^+ D^{*0}$) as a consistency check. The $D$ mesons are reconstructed in the $D^0 \to K^- \pi^+$ and $D^+ \to K^- \pi^+ \pi^+$ decay channels.

The BESIII detector is a large-solid-angle magnetic spectrometer consisting of a 50-layer Helium-gas-based main cylindrical drift chamber (MDC), a barrel-like arrangement of time-of-flight scintillation counters (TOF), and an electromagnetic calorimeter comprised of CsI(Tl) crystals located inside a superconducting solenoid coil that provides a 1 T magnetic field. An iron flux-return located outside of the coil is instrumented with resistive plate chambers to identify muons. The charged particle momentum resolution for 1 GeV$/c$ charged tracks is 0.5% and the energy resolution for 1 GeV photons is 2.5%. Measurements of $dE/dx$ in the MDC and flight times in the TOF are combined to determine pion, kaon and proton identification (ID) probabilities. The hypothesis with the highest ID probability is assigned to each particle. The detector is described in detail in Ref. [18].

To study the detector response and identify potential backgrounds, we use samples of Monte Carlo (MC) simulated events that are produced by the EVTGEN generator [19] in conjunction with KKMC [20], which generates ISR photons, and simulated using a GEANT4-based [21] software package [22]. In addition to signal channels and various potential background processes, we simulated generic events using Born cross sections for charmonium processes that have been measured, Lundcharm to generate production of other, non-measured charmonium states [23] and PYTHIA for unmeasured hadronic final states [24].

For the $\pi^+ D^0$-tag analysis, we select events with three or more well reconstructed charged tracks in the polar angle region $|\cos \theta| < 0.93$, with points of closest approach to the $e^+e^-$ interaction point that are less than 10 cm in the beam direction and 1 cm in the plane perpendicular to the beam direction. At least one of the tracks is required to be negatively charged and identified as a kaon. In addition, we require at least two positively charged tracks that are identified as $\pi^+$ mesons. We designate $K^-\pi^+$ combinations with invariant mass within 15 MeV$/c^2$ of $m_{D\pi}$ as $D^0$ candidates. For events with two or more $K^-\pi^+$ combinations, we retain the one with invariant mass closest to $m_{D\pi}$. For the $\pi^- D^+\pi^+$-tag analysis, the selection is the same except for the requirement of an additional $\pi^-$ track that is identified as the bachelor pion and the mass requirement $|M(K^-\pi^+\pi^-) - m_{D^+}| < 15$ MeV$/c^2$ to select the $D^*$ candidates.

The left panel of Fig. 1 shows the distribution of masses recoiling against the detected $\pi^+ D^0$ system [25], where a prominent peak at $m_{D^*}$ is evident. The solid-line histogram shows the same distribution for MC-simulated $e^+e^- \to \pi^+ D^0 D^{*-}$, $D^0 \to K^-\pi^+$ three-body phase-space events. Because of the limited phase space, some events from the isospin partner decay $\pi^+ Z_c(3885)^-$, $Z_c(3885)^- \to D^- D^{*0}$, where the detected $D^0$ is a decay product of the $D^{*0}$, also peak near $m_{D^*}$. As shown by the dashed histogram that is for MC-simulated $e^+e^- \to \pi^+ Z_c(3885)^-$, $Z_c(3885)^- \to D^- D^{*0}$, $D^{*0} \to \gamma$ or $\pi^0 D^{*0}$ decays. Here the mass and width of the $Z_c(3885)$ are set to our final measured values. Since the $DD^*$ invariant mass distribution is equivalent to the bachelor pion recoil mass spectrum, the shape of the $Z_c(3885) \to D D^*$ signal peak is not sensitive to the parentage of the $D$ meson that is used for the event tagging. The right panel of Fig. 1 shows the corresponding plots for the $\pi^- D^+$ tagged events, where the solid histogram shows the contribu-
We apply a two-constraint kinematic fit to the selected events, where we constrain the invariant mass of the $D^0$ ($D^+$) candidate tracks to be equal to $m_{D^0}$ ($m_{D^+}$) and the mass recoiling from the $\pi^+D^0$ ($\pi^+D^+$) to be equal to $m_{D^0}$ ($m_{D^+}$). If there is more than one bachelor pion candidate in an event, we retain the one with the smallest $\chi^2$ from the kinematic fit. Events with $\chi^2 < 30$ are selected for further analysis. For the $\pi^+D^0$-tag analysis, we require $M(\pi^+D^0) > 2.02 \text{ GeV}$ to reject the events of the type $e^+e^- \to D^0D^+\pi^-$. $\pi^+D^+ \to \pi^+D^0$. The left (right) panel of Fig. 2 shows the distribution of $D^0D^+$ ($D^0D^{*}$) invariant masses recoiling from the bachelor pion for the $\pi^+D^0$ ($\pi^+D^+$) tagged events. The two distributions are similar and both have a distinct peak near the $m_{D^0} + m_{D^+}$ mass threshold. For cross-feed events, the reconstructed $D$ meson is not in fact recoiling from a $D^*$ and the efficiency for satisfying these selection requirements decreases with increasing $DD^*$ mass. Studies with phase-space MC event samples show that this acceptance variation is not sufficient to produce a peaking structure.

To characterize the observed enhancement and determine the signal yield, we fit the histograms in the left and right panels of Fig. 2 using a mass-dependent-width Breit-Wigner (BW) lineshape to model the signal and smooth threshold functions to represent the non-peaking background. For the signal, we use $dN/dm_{D^0}\bar{D}^0 \propto (k^*)^{2L+1}|BW_{Z_c}(m_{D^0}\bar{D}^0)|^2$, where $k^*$ is the $Z_c$ momentum in the $e^+e^-$ rest frame, $\ell$ is the $\pi-Z_c$ relative orbital angular momentum and $BW_{Z_c}(m_{D^0}\bar{D}^0) \propto \sqrt{m_{D^0}\bar{D}^0(m_{D^0}\bar{D}^0) - m_{Z_c}^2}$. Here $\Gamma_{Z_c} = \Gamma_0(q'^2/q_0)^{2L+1}(m_{Z_c}/m_{D^0}\bar{D}^0)$, where $q'^2(m_{D^0}\bar{D}^0)$ is the $D$ momentum in the $Z_c(3885)$ rest frame, $q_0 = q'(m_{Z_c})$ and $L$ is the $D-\bar{D}^*$ orbital angular momentum. In the default fits, we set $\ell = 0$, $L = 0$ and leave $m_{Z_c}$ and $\Gamma_0$ as free parameters. We multiply the BW by a polynomial determined from a fit to the MC-determined mass-dependent efficiency to form the signal probability density function (PDF). Mass resolution effects are less than 1 MeV/c$^2$ and, thus, ignored. For the non-peaking background for the $M(DD^*)$ distribution, we use: $f_{\text{bkg}}(m_{D^0}\bar{D}^0) \propto (m_{D^0}\bar{D}^0 - M_{\text{min}})(M_{\text{max}} - m_{D^0}\bar{D}^0)$, where $M_{\text{min}}$ and $M_{\text{max}}$ are the minimum and maximum kinematically allowed masses, respectively. The exponents $c$ and $d$ are free parameters determined from the fits to the data.

The results of the fits are shown as solid curves in Fig. 2. The dashed curves show the fitted non-resonant background. The fitted BW masses and widths from the $\pi^+D^0$ ($\pi^+D^+$) tagged sample are $3889.2 \pm 1.8 \text{ MeV/c}^2$ and $28.1 \pm 4.1 \text{ MeV}$ ($3891.8 \pm 1.8 \text{ MeV/c}^2$ and $27.8 \pm 3.9 \text{ MeV}$), where the errors are statistical only. Since the mass and width of a mass-dependent-width BW are model dependent and may differ from the actual resonance properties [27], we solve for $P = M_{\text{pole}} - \Gamma_{\text{pole}}/2$, the position in the complex $(M, \Gamma)$ plane where the BW denominator is zero, and use $M_{\text{pole}}$ and $\Gamma_{\text{pole}}$ to characterize the mass and width of the $Z_c(3885)$ peak. Table I lists the pole masses and widths for the $\pi^+D^0$ and $\pi^+D^+$ tagged samples.

![FIG. 1. The $\pi D$ recoil mass distribution for the $\pi^+D^0$ (left) and $\pi^+D^-$ tagged (right) events. Points with errors are data, the hatched histogram shows the events from the $D$ mass sidebands. The solid and dashed histograms are described in the text.](image1)

![FIG. 2. The $M(D^0\bar{D}^*)$ (left) and $M(D^+\bar{D}^0)$ (right) distributions for selected events. The curves are described in the text.](image2)

| Tag | $M_{\text{pole}}$(MeV/c$^2$) | $\Gamma_{\text{pole}}$(MeV) | $Z_c$ signal (evts) | $\chi^2$/ndf |
|-----|----------------|----------------|-----------------|-------------|
| $\pi^+D^0$ | 3882.3 ± 1.5 | 24.6 ± 3.3 | 502 ± 41 | 54/54 |
| $\pi^+D^+$ | 3885.5 ± 1.5 | 24.9 ± 3.2 | 710 ± 54 | 60/54 |

Monte Carlo studies of possible sources of peaking backgrounds in the $DD^*$ mass distribution show that processes of the type $e^+e^- \to DDX$, $DX \to D^*\pi$, would produce a near-threshold reflection peak in the $DD^*$ mass distribution, where $DX$ denotes a $D^*\pi$ resonance with mass near the upper kinematic boundary. This boundary, $\sqrt{s} - m_D$, is 30 MeV/c$^2$ below the mass of the lightest established $D^*\pi$ resonance, the $D_1(2420)$,
with \( M_{D_1} = 2421.3 \pm 0.6 \text{ MeV}/c^2 \) and \( \Gamma_{D_1} = 27.1 \pm 2.7 \text{ MeV} \) [6], which suggests that contributions from \( D D_1(2420) \) final states, either from \( Y(4260) \rightarrow D D_1 \) decays or non-resonant \( e^+ e^- \rightarrow D D_1 \) production, are beyond the kinematic reach at \( \sqrt{s} = 4260 \text{ MeV} \) and, therefore, are small. However, some models for the \( Y(4260) \) attribute it to a bound \( D D_1 \) molecular state [14], where sub-threshold \( D_1 \rightarrow D^* \pi \) decays might be important and, possibly, produce a reflection peak in the \( D D^* \) mass distribution that mimics a \( Z_c(3885) \) signal.

To study this possibility, we separated the events into two samples according to \(|\cos \theta_D| > 0.5\) and \(|\cos \theta_D| < 0.5\), where \( \theta_D \) is the angle between the bachelor pion and the \( D \) meson directions in the \( Z_c(3885) \) rest frame. The \( D D_1 \) MC events predominantly have \(|\cos \theta_D| > 0.5\) while, in contrast, \( e^+ e^- \rightarrow \pi Z_c \) signal-MC sample has similar numbers of events with \(|\cos \theta_D| > 0.5\) and \(|\cos \theta_D| < 0.5\). We define an asymmetry parameter \( A = (n_{>0.5} \rightarrow n_{<0.5})/(n_{>0.5} + n_{<0.5}) \), where \( n_{>0.5} \) and \( n_{<0.5} \) is the fitted number of \( Z_c(3885) \) signal events for \(|\cos \theta_D| > 0.5\) (< 0.5). For the data, \( A_{\text{data}} = 0.12 \pm 0.06 \), close to the MC value for \( e^+ e^- \rightarrow \pi Z_c(3885) \):

\[
A_{\text{MC}} = 0.02 \pm 0.02, \\
\text{and far from the MC result for the } e^+ e^- \rightarrow D D_1 \text{ hypothesis: } A_{\text{MC}} = 0.43 \pm 0.04.
\]

We conclude that the \( D D_1 \) contribution to our observed \( Z_c(3885) \rightarrow D D^* \) signal is small.

The \( J^P \) quantum numbers of the \( Z_c(3885) \) are \( 1^+ \), the relative \( \pi Z_c \) orbital in the decay \( Y(4260) \rightarrow \pi Z_c \) can be \( S- \) or \( D- \) waves. Since the decay is nearly threshold, the \( D \)-wave contribution should be small, in which case the \( dN/d|\cos \theta_\pi| \) distribution would be flat, where \( \theta_\pi \) is the bachelor pion’s polar angle relative to the beam direction in the CM. If \( J^P = 0^- \), the decay can only proceed via a \( P \)-wave and is polarized with \( J_\pi = \pm 1 \); in this case \( dN/d|\cos \theta_\pi| \propto \sin^2 \theta_\pi \). Similarly, \( J^P = 1^- \) also implies a \( P \)-wave with an expected distribution that goes as \( 1 + \cos^2 \theta \). Parity conservation excludes \( J^P = 0^+ \).

We sliced the data into four \(|\cos \theta_\pi| \) bins and repeated the fits described above for each bin. The \(|\cos \theta_\pi|\)-dependence of the efficiency is determined from signal MC event samples. Figure 3 shows the efficiency-corrected fractional signal yield \( vs. \) \(|\cos \theta_\pi| \). The solid (dashed) curve shows the result of a fit to a flat \((\sin^2 \theta_\pi)\) distribution. The data agree well with the flat expectation for \( J^P = 1^+ \), with \( \chi^2/\text{ndf} = 0.44/3 \) and disagree with those for \( J^P = 0^- \), for which \( \chi^2/\text{ndf} = 32/3 \), and \( 1^- \), where \( \chi^2/\text{ndf} = 16/3 \).

We use the fitted numbers of signal events for the \( \pi^+ D^0 \)-tagged sample, \( N_{\pi^+}(Z_c^- \rightarrow (D D^*)^-) \), and for the \( \pi^- D^+ \)-tagged sample, \( N_{\pi^-}(Z_c^+ \rightarrow (D D^*)^+) \) to make two independent measurements of the product of the cross section and branching fraction \( \sigma(e^+ e^- \rightarrow \pi Z_c) \times B(Z_c \rightarrow D D^*) \). We assume isospin symmetry and, for the \( \pi^+ D^0 \)-tagged channel, use the relation

\[
\sigma(e^+ e^- \rightarrow \pi Z_c(3885)^-) \times B(Z_c^- \rightarrow (D D^*)^-) = n_{\pi^-}(Z_c^- \rightarrow (D D^*)^-) / \mathcal{L}(1+\delta) B_{D^0 \rightarrow K^+ \pi^0}(\epsilon_1 + \epsilon_2)/2, \\
\text{where } \mathcal{L} = 525 \pm 5 \text{ pb}^{-1} \text{ is the integrated luminosity,} \\
(1+\delta) = 0.87 \pm 0.04 \text{ is the radiative correction factor [28],} \\
\epsilon_1 = 0.46 \text{ is the efficiency for } \pi^+ Z_c^+, \text{ } Z_c^- \rightarrow D^0 D^- \text{ MC events and} \\
\epsilon_2 = 0.21 \text{ is the efficiency for } \pi^+ Z_c^+, \text{ } Z_c^- \rightarrow D^+ D^0, \text{ } D^0 \rightarrow \gamma/\pi^0 D^0 \text{ MC events. The result is } \\
\sigma(e^+ e^- \rightarrow \pi^- Z_c(3885)^+) \times B(Z_c^+ \rightarrow (D D^*)^+) = 84.6 \pm 6.9 \text{ pb, where the error is statistical only.}
\]

For the \( \pi^- D^+ \)-tagged channel, we use

\[
\sigma(e^+ e^- \rightarrow \pi^- Z_c(3885)^+) \times B(Z_c^+ \rightarrow (D D^*)^+) = n_{\pi^+}(Z_c^+ \rightarrow (D D^*)^+) / \mathcal{L}(1+\delta) B_{D^+ \rightarrow K^- \pi^+ \pi^0}(\epsilon_1 + \epsilon_2 B_{D^+ \rightarrow \pi^0 D^+})/2, \\
\text{where } \epsilon_1 = 0.34 \text{ is the efficiency for } \pi^- Z_c^+, \text{ } Z_c^+ \rightarrow D^+ D^0 \text{ MC events and} \\
\epsilon_2 = 0.24 \text{ is the efficiency for } \pi^- Z_c^+, \text{ } Z_c^+ \rightarrow D^0 D^+, \text{ } D^+ \rightarrow \pi^0 D^+ \text{ MC events. The result is } \\
\sigma(e^+ e^- \rightarrow \pi^- Z_c(3885)^+) \times B(Z_c^+ \rightarrow (D D^*)^+) = 82.3 \pm 6.3 \text{ pb (statistical error only) and in good agreement with that for the } \pi^+ D^0 \text{-tagged sample, which justifies our assumption of isospin invariance.}
\]

Systematic errors include uncertainties from tracking, particle ID, \( D \) mass and decay branching fraction, kinematic fit, signal and background shapes, MC efficiency, \( Y(4260) \) lineshape, the radiative correction factor and the luminosity. The uncertainties from tracking and particle ID are both 1% per track. The uncertainties from \( D \) selection and the kinematic fit are determined from a \( e^+ e^- \rightarrow D^+ D^* \) control sample that has the same final state as the \( \pi^+ D^0 D^+ \) signal events. The variation of the efficiency over the \( Z_c(3885) \) mass uncertainty range is included as a systematic error. The systematic errors for the luminosity and \( Y(4260) \) resonance parameters are taken from Ref. [12]. For the signal shape error we use the difference between the the pole mass & width and signal yield from the fits that use a mass-dependent width (default) and the mass, width and yield from fits with
TABLE II. Contributions to systematic errors on the pole mass, pole width and signal yield. When two values are listed, the first is for $\pi^+ D^0$ tags and the second for $\pi^- D^+$ tags.

| Source            | $M_{pole}$(MeV/c$^2$) $\Gamma_{pole}$(MeV) $\sigma \times B$ (%) |
|-------------------|---------------------------------------------------------------|
| Tracking & PID    | ±4/6                                                          |
| $D$ mass req.     | ±1                                                           |
| $D^0/D^+$ BFs.    | ±1                                                           |
| Kinematic fit     | ±4                                                           |
| Signal BW shape   | ±1/2                                                          |
| Bkgd shape        | ±1/2                                                          |
| MC efficiency     | ±5/3                                                          |
| $Y$(4260) lineshape | ±0.6                                                        |
| Luminosity        | ±1                                                           |
| Rad. corr.        | ±5                                                           |
| **Sum in quadrature** | ±4.1/4.3  ±10.8/11.1 ±26.4/26.3 |
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