Routine Shunting is Safe and Reliable for Cerebral Perfusion during Carotid Endarterectomy in Symptomatic Carotid Stenosis

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Background: The purpose of this report is to describe the perioperative outcomes of standard carotid endarterectomy (CEA) with general anesthesia, routine shunting, and tissue patching in symptomatic carotid stenoses.

Materials and Methods: Between October 2007 and July 2011, 22 patients with symptomatic carotid stenosis (male/female, 19/3; mean age, 67.2±9.4 years) underwent a combined total of 23 CEAs using a standardized technique. The strict surgical protocol included general anesthesia and standard carotid bifurcation endarterectomy with routine shunting. The 8-French Pruitt-Inahara shunt was used in all the patients.

Results: During the ischemic time, the shunts were inserted within 2.5 minutes, and 5 patients (22.7%) revealed ischemic cerebral signals (flat wave) in electroencephalographic monitoring but recovered soon after insertion of the shunt. The mean shunting time for CEA was 59.1±10.3 minutes. There was no perioperative mortality or even minor stroke. All patients woke up in the operating room or the operative care room before being moved to the ward. One patient had difficulty swallowing due to hypoglossal nerve palsy, but had completely recovered by 1 month postsurgery.

Conclusion: Routine shunting is suggested to be a safe and reliable method of brain perfusion and protection during CEA in symptomatic carotid stenoses.

Key words: 1. Carotid arteries 2. Endarterectomy 3. Surgical procedures, operative 4. Shunts

INTRODUCTION

Carotid endarterectomy (CEA) is better than medical therapy in treating symptomatic carotid stenosis of more than 50% and preventing major strokes [1,2], but the surgical team needs to evaluate the occurrence of perioperative neurologic complications including major and minor strokes, especially in symptomatic carotid stenosis.

Carotid artery surgery raises some problems such as patient selection, determining the safest and most reliable technique (standard versus eversion CEA, direct suture versus patch angioplasty), the use of general or locoregional anesthesia, intra-procedural cerebral protection, and whether or not to use a shunt and, if so, the kind of shunt to use.

Recently, with the development of cerebral protection devices for carotid artery stenting [3], the number of surgical...
cases has been decreasing and most of them include the symptomatic cases that are unsuitable for stenting. The main issue that surgeons face in surgical therapy of carotid artery stenosis is determining the safety of the surgical methods for treating symptomatic carotid stenosis.

The aim of this report is to describe the perioperative and early outcomes of standard CEA performed under general anesthesia with routine shunting and patch angioplasty to show that routine shunting is a safe and reliable method of cerebral perfusion and protection during CEA, especially in most patients in whom a stenting procedure would be inappropriate.

### MATERIALS AND METHODS

Between October 2007 and July 2011, 22 patients with symptomatic carotid stenosis (male/female, 19/3; mean age, 67.2±9.4 years) underwent a combined total of 23 CEAs using a standardized technique. Eleven patients (50%) had a significant coronary artery disease; four of them (18.2%) underwent preoperative coronary artery stenting, and the remaining seven patients (31.8%) did not undergo revascularization.

The indication for surgery was one of the three following criteria: a high-grade (range, 70 to 99%) carotid stenosis as revealed by a duplex scan evaluated by a neurologist, more than 50% of carotid stenosis associated with a large aneurysm, or vulnerable ulceration in the symptomatic patients. All patients underwent a magnetic resonance imaging (MRI) and magnetic resonance angiography (MRA) to evaluate the intracerebral lesions and intra- and extra-cerebral vascular lesions. Four-vessel angiography or 3-dimensional (3D) computed tomography (CT) carotid angiography was then used to accurately evaluate the extent of the carotid stenosis. Twenty patients (90.9%) had received combination therapy of anti-platelet agents (aspirin 80 mg/day and clopidogrel 75 mg/day) before surgery. Electroencephalography (EEG) was performed to continuously monitor intraoperative cerebral perfusion during CEA with shunting. The patients’ characteristics are shown in Table 1.

We recorded the total shunting time taken for CEA. On the

### Table 1. Characteristics of the patients undergoing carotid endarterectomy

| Characteristics                        | Total (23 CEAs in 22 symptomatic patients) |
|----------------------------------------|--------------------------------------------|
| Male/female                            | 19/3 (86.4/13.6)                           |
| Mean age (yr)                          | 67.2±9.4 (range, 44 to 83)                 |
| Hypertension                           | 18 (81.8)                                  |
| Diabetes                               | 7 (31.8)                                   |
| History of smoking                     | 11 (50)                                    |
| Dyslipidemia                           | 11 (50)                                    |
| Coronary heart disease (>70%)          | 11 (50)                                    |
| Preoperative coronary stenting         | 4 (18.2)                                   |
| Symptomatic                            | 22 (100)                                   |
| Stroke                                 | 13 (59.1)                                  |
| TIA                                    | 5 (22.7)                                   |
| Amaurosis fugax                        | 2 (9.1)                                    |
| Drop attack                            | 2 (9.1)                                    |
| Controlateral stenosis (>50%)          | 11 (50)                                    |
| Controlateral total occlusion          | 2 (9.1)                                    |
| High-grade stenosis (70 to 90%)        | 21 (95.5)                                  |
| Infarction in MRI                      | 15 (68.2)                                  |
| Acute                                  | 10 (45.5)                                  |
| Chronic                                | 5 (22.7)                                   |

Values are presented as mean±standard deviation or number (%).

CEA=carotid endarterectomy; TIA=transient ischemic attack; MRI=magnetic resonance imaging.

![Fig. 1. (A) Preoperative four-vessel angiogram and (B) postoperative computed tomography carotid angiogram. The carotid stenosis (white arrow) was repaired with endarterectomy and patch angioplasty (white arrowhead).](image)
Routine Shunting during CEA

third to fifth postoperative day, 3D CT carotid angiography was performed to evaluate the patch-augmented arterial lumen in the carotid artery (Fig. 1). In the perioperative data, continuous variables were expressed as mean±standard deviation (SD).

Operative management

All of the operations were performed by following a strict protocol involving general anesthesia, routine shunting (Pruitt-Inahara carotid shunt with T-port; LeMaitre Vascular Inc., Burlington, MA, USA) (Fig. 2A) and an autologous greater saphenous vein patch or a bovine pericardial strip (Vascu-Guard peripheral vascular patch; Synovis Life Technologies Inc., St. Paul, MN, USA) (Fig. 2B).

The carotid artery stenosis was approached through a longitudinal incision parallel to the medial border of the sternocleidomastoid muscle, with intravenous heparin (5,000 units) being administered before clamping. The common carotid artery (CCA) and the internal carotid artery (ICA) were fully dissected with minimal touching of the stenotic lesion site, which was predicted in the preoperative angiographic films (Fig. 1A). Two vascular loops were doubly passed around the CCA and ICA, respectively. The carotid body was gently injected with 1% xilocaine. At that time, the hypoglossal nerve was identified and exposed in the operating field in order to avoid touching the nerve during surgery. The CCA and ICA were temporarily clamped, avoiding the intraluminal atherosclerotic lesion. The ICA was incised in the distal intact arterial wall, and the distal end of the shunt (closed with a small Kelly clamp) was inserted into the distal ICA and fixed with a 0.5 mL saline balloon and double ligation of the vessel loop. The shunt was filled with blood that was retrograde flown from the ICA and clamped. The proximal end of the shunt was inserted into the CCA and fixed with a 1.5 mL balloon and double ligation of the vessel loop. Two clamps on the shunt were released in turn to evacuate air through the central arm of the shunt, and then free flow was established from the CCA to the ICA by releasing the clamps. A longitudinal incision was made from the distal shunt site to the proximal shunt site; the intraluminal atheroma was exposed.

After the endarterectomy, the arteriotomy was closed using an autologous saphenous vein patch or a bovine pericardial strip of 9.0 mm width (Vascu-Guard patch) and 6/0 polypropylene sutures (Fig. 2B). The shunt was removed before the completion of the suture. The artery was then briefly clamped proximally and distally to the patch angioplasty portion, and the suture was finally completed. In cases with a bleeding tendency, a small Penrose strip drain was placed through a separate small incision opening and removed on postoperative day two.
RESULTS

Sixteen patients (72.7%) were unsuitable for carotid artery stenting (CAS) (Table 2). Two patients had previous carotid stenting in the contralateral carotid lesion, but the endarterectomy side was inappropriate for carotid stenting due to the marked angle at the bifurcation of the CCA and eccentric stenosis due to calcification. One patient with two carotid stenoses underwent CEAs in two week intervals.

The 8-French Pruitt-Inahara shunt was the only shunt used and was easily inserted in all the cases. Its insertion could be performed within 2.5 minutes. During the short clamping time for insertion of the shunt, five patients (22.7%) revealed a sudden onset of flat cerebral signals on the EEG, but the ischemic signals disappeared soon after the shunting was performed.

The mean shunting time for endarterectomy and patch angioplasty was 59.1±10.3 minutes (range, 45 to 71 minutes). The mean intraluminal pressure in the distal ICA after clamping was 42.8±14.5 mmHg (range, 27 to 70 mmHg), which was measured in 11 patients who did not show ischemic cerebral signals on electroencephalography during insertion of the shunt.

All patients except one with a false aneurysm had patch angioplasty at both bifurcation and internal carotid artery sites (Table 3).

There was no postoperative mortality or even minor stroke. Postoperatively, one patient had difficulty swallowing due to a left hypoglossal nerve palsy, which was possibly complicated by marked traction. The complication was treated 30 days after Levin tube feeding (Table 4). One other patient had a temporary minor nerve palsy of the hypoglossal nerve, but recovered before discharge.

All patients were extubated in the operating room or in the postoperative recovery room before being moved to the ward.

DISCUSSION

Shunting during CEA remains controversial. The elective application of temporary shunting was advocated by Thompson et al. [4] in the early 1960s. The practice of intraoperative cerebral monitoring varies among surgeons and institutions depending on the surgeons’ preferences, technical resources, and the fact that no single method is superior in all aspects [5]. Some surgeons have used it routinely, such as Thompson [6], some have used it selectively based on the assessment of the cerebral collateral circulation, and a few have stated that they have rarely or never used it [7,8]. Shunting is performed only to prevent ischemic stroke, and it may be inherently risky for embolic stroke if performed poorly. Intraoperative cerebral ischemia is a relatively uncommon cause of intraoperative stroke [8]. This would support the argument of the routine non-shunters, who argue that because cerebral ischemia is a rare cause of stroke and shunting may do more harm than good, then even selective shunting is never justified. However, there is no denying that when cerebral ischemia does occur, it can lead to perioperative stroke [9,10]. Intraoperative EEG is a reliable method for evaluating routine shunting in CEA. Some authors have reported good postoperative results with selective use of shunting for CEA [11,12]. If the shunt is prepared only when a cerebral ischemic signal is identified on EEG, the cerebral ischemic time will be prolonged. However, routine stenting can prevent prolonged cerebral ischemic time because it is an ordinary and comfortable procedure for surgeons who always use the meth-

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Table 3. Surgical findings and procedures

| Characteristics               | Number (%) |
|------------------------------|------------|
| Major stenotic site          |            |
| In internal carotid artery    | 10 (43.5)  |
| In bifurcation site           | 13 (56.5)  |
| Ulceration                   | 19 (82.6)  |
| Calcification                 | 5 (21.7)   |
| Patch angioplasty             | 22 (95.7)  |
| Autologous vein patch         | 6 (26.1)   |
| Bovine pericardium            | 16 (69.6)  |
| Autologous vein graft (interposition) | 1 (4.3) |

Table 4. Postoperative mortality and morbidity

| Operative results            | Number (%) |
|------------------------------|------------|
| Hospital mortality           | 0          |
| Major or minor stroke        | 0          |
| Swallowing difficulty for 1 month (major hypoglossal nerve palsy) | 1 (4.3) |
| Re-exploration for wound hematoma | 1 (4.3) |
od for CEA. Routine shunting needs to be comfortable in cases with a long operation time, especially as in our cases with a mean shunting time of 59.1 minutes. We worried about our relatively long operation time, but we were comfortable using the shunt for preventing cerebral ischemia. The benefit is real in the case that showed an ischemic cerebral signal late on EEG during CEA [13]. Our cases had longer operating (shunting) time than other cases that have been reported [14], but even minor stroke did not occur, although all the patients were symptomatic and many had severe lesions that were inappropriate for stenting. Recently, the number of surgical methods for carotid artery stenosis has decreased with the development of stenting devices. The infrequent operations and the increase of complex cases were causes of the extended operation time. The other causes that extended the operation time were long arteriotomy for seeking intact arterial lumen in which to insert the shunt and augmentation using a long pericardial patch.

The etiology of intraoperative stroke may be ischemic or embolic. Most perioperative strokes were due to technical errors made during CEA; the strokes were related to difficulties in the shunt placement. This was more common in the patients with contralateral carotid artery occlusion or preoperative stroke. Some authors have demonstrated worse results when shunting was used in selective patients with encephalic ischemic signs during various cerebral protection systems [15,16]. All of these authors have suggested that neurological complications are directly attributable to intraluminal shunting. The complications are related to the atherosclerotic lesion in the insertion site of the shunt because emboli debridement passes through the shunt from the atherosclerotic lesion. This complication can be reduced if the proximal and distal ends of the intraluminal atherosclerotic lesion are accurately defined in the angiographic films. In order to reduce that complication, four-vessel angiography or 3D CT angiography and a duplex scan is required for diagnosis. We performed the angiography to define the intraluminal extent of the atherosclerotic lesion in addition to the duplex scan and MRI/MRA in all cases. That allows surgeons the ability to predict convenient sites for inserting the shunt before a long arteriotomy for CEA is performed.

There are some reasons for believing that the shunt complications that have been reported in the literature may have been due to the placement difficulties that resulted from a surgeon who performed a hurried and imprecise surgery and also did not routinely perform the procedure. This could be particularly likely when a patient who is awake under locoregional anesthesia manifests dramatic ischemic symptoms such as coma or convulsions [14]. In this subgroup of patients, the reduced ischemic time of carotid shunting may lead to better results for postoperative neurologic complications.

Hypoglossal nerve dysfunction, manifested by ipsilateral tongue weakness and deviation to the affected side with protrusion and difficulty masticating, is the most frequent cranial deficit that has been documented in most reports [8,17,18]. We think that the only method for avoiding stretch trauma from excessive traction is to identify and expose the nerve in the operating field and not to touch it during operation. Identification of the nerve can be safely done after the carotid arteries have been dissected rather than during the division of the muscles. We have used the identification and protection technique ever since we experienced a nerve injury in a case.

It has recently been reported that CAS with the use of an emboli-protection device is not inferior to CEA (cumulative stroke and death 1%−2.6% in the endarterectomy arm) [19-21]. The CAS procedure is currently a popular technique for carotid stenosis and is more commonly performed by interventional cardiologists or radiologists in some centers, including ours. Two-thirds of our cases had relative and absolute contraindications to CAS such as inaccessibility, friable thrombi, combined aneurysm, severe contralateral stenosis, long lesion, or calcification. Two other cases underwent a previous contralateral CAS with unsatisfactory outcomes and as a result needed to undergo a surgical operation for ipsilateral carotid lesion. While endarterectomies are infrequently performed due to the popularity of CAS, routine stenting and intraoperative EEG monitoring needs to be a safe and reliable method to prevent perioperative complications, especially in the patients who have relative and absolute contraindications to CAS.

We are aware that shunting is not always necessary. However, when routinely performed, the insertion maneuvers become simple and safe, and the intraoperative cerebral monitoring helps surgeons to be more comfortable during CEA, thus minimizing complications.
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