Integrated optical auto-correlator based on third-harmonic generation in a silicon photonic crystal waveguide

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The ability to use coherent light for material science and applications is linked to our ability to measure short optical pulses. While free-space optical methods are well established, achieving this on a chip would offer the greatest benefit in footprint, performance and cost, and allow the integration with complementary signal-processing devices. A key goal is to achieve operation at sub-watt peak power levels and on sub-picosecond timescales. Previous integrated demonstrations require either a temporally synchronized reference pulse, an off-chip spectrometer or long tunable delay lines. Here we report a device capable of achieving single-shot time-domain measurements of near-infrared picosecond pulses based on an ultra-compact integrated CMOS-compatible device, which could operate without any external instrumentation. It relies on optical third-harmonic generation in a slow-light silicon waveguide. Our method can also serve as an in situ diagnostic tool to map, at visible wavelengths, the propagation dynamics of near-infrared pulses in photonic crystals.
In the last decade, silicon photonics has enabled the development of a wide range of integrated all-optical signal-processing devices on CMOS-compatible chips that have achieved an impressive array of new capabilities, from switching and demultiplexing at unprecedented speeds\(^2\), to parametric gain\(^3\), Raman lasing\(^4\), optical logic\(^5\), regeneration\(^6\), terahertz bandwidth radio frequency (RF) spectrometers\(^7\), temporal optical cloaking\(^8\) and many others. However, an integrated chip-based pulse measurement device that is capable of single-shot measurements, without the need for a reference pulse, averaging or some external instrumentation that is difficult to integrate, such as an optical spectrum analyser or a long tunable delay line, is still lacking. While several groups have replicated all-optical techniques on a chip\(^9–12\) that are well established in free space or fibre optics, such as auto-correlation, frequency-resolved optical gating (FROG) or temporal sampling, with obvious benefits in footprint, energy consumption and performance, all of the demonstrations fall short in one of the aforementioned points. A fully integrated pulse measurement technology is nonetheless highly attractive, enabling the complete integration of pulse generation, optical processing and measurement schemes on a single chip. This would provide an extremely flexible integrated processing platform, in particular, in conjunction with integrated pulse shaping\(^13\) or arbitrary waveform generation\(^14\).

The silicon-on-insulator technology offers many attractive features for nonlinear optics\(^1\). Its high refractive index allows tight confinement of light within nanowires that, combined with the high silicon Kerr nonlinearity \((n_2)\), has yielded extremely high nonlinear parameters \((\gamma)\) of \(\sim 300 \text{ W}^{-1} \text{ m}^{-1}\), that is, four–five orders of magnitude larger than in silica fibres \((\gamma = c n_2/\epsilon_0 A_{\text{eff}})\), where \(A_{\text{eff}}\) is the effective area of the waveguide, \(c\) is the speed of light and \(\epsilon_0\) is the optical signal frequency\(^9\)). Furthermore, silicon-on-insulator has proven to be a powerful platform for enhancing nonlinear optical effects over short propagation distances (well below 1 mm) by increasing the optical beam intensity via so-called ‘slow light’ in planar photonic crystal (PhC) waveguides\(^15,16\). In contrast to resonant cavities that only accommodate very limited optical bandwidth, advanced slow-light PhC waveguide designs have been able to offer both wide bandwidth and relatively low dispersion, that is, ‘flatband’ operation\(^17\) with relatively low propagation losses\(^18\). This is critical for processing short optical signals since slow light is otherwise associated with the dispersive band edge of PhC waveguides, which tends to strongly broaden and distort short optical pulses\(^19\). By enhancing the effective Kerr nonlinearity in this manner, slow-light planar PhC waveguides have yielded much more compact devices\(^20–24\) that are compatible with high-speed signals\(^24,25\). In particular, slow-light-enhanced optical third-harmonic generation (THG) was reported in silicon PhC waveguides\(^22\) and subsequently exploited to monitor the quality of 640 Gb s\(^{-1}\) optical signals\(^24\).

Here, we report a novel application of THG in slow-light silicon PhC waveguides to achieve single-shot temporal measurements via the auto-correlation of picosecond optical pulses. The visible-light signal emitted off the chip effectively maps the temporal characteristics of the near-infrared pulse into spatial dimensions, which can easily be acquired with an out-of-plane camera or detector array. A number of integrated all-optical techniques have already been reported\(^9–12,26,27\) to achieve time-resolved measurements of ultra-high-speed optical signals as an alternative to expensive, bulk-optics-based, commercial optical auto-correlators. These include time-lens temporal imaging on a silicon chip\(^26\), and for phase-sensitive pulse measurements, waveguide-based FROG\(^10,27\) and a novel variation of spectral phase interferometry for direct electric-field reconstruction (SPIDER)\(^11\). In contrast to these techniques, our demonstration neither relies on off-chip optical spectrum analysers\(^9,11,26\), nor on a complicated numerical extraction algorithm\(^11\). Further, no reference pulse or long tunable delay lines\(^10,12\) are required, and unlike sampling approaches\(^10\), there is no need to average over many pulses. The simple and extremely compact (96 μm long) device reported here opens the door to the realization of the first fully integrated all-optical device capable of single-shot high-speed optical signal diagnostics in the near-infrared telecommunications window. The technique also produces the equivalent of time-of-flight measurements of picosecond pulses as they propagate through slow-light photonic crystal waveguides. This capability provides a powerful in situ diagnostic tool that allows us to obtain new insights into the propagation dynamics of a slow-light pulse and to directly extract its group velocity.

**Results**

**Device principle.** The principle of operation for the auto-correlator and the experimental set-up for characterizing the device performance are shown in Fig. 1. When near-infrared pulses (~1,550 nm) propagate along the waveguide independently from either side, they produce THG, that is, visible light at the third-harmonic (TH) wavelength (~530 nm), due to the ultra-fast

![Figure 1](https://example.com/figure1.png)

**Figure 1 | Principle of the auto-correlation device and set-up used to demonstrate its operation.** (a) Set-up used to probe the photonic crystal waveguide in a counter-propagating configuration. (b) Schematic of the THG-based auto-correlation technique where two counter-propagating pulse replicas at the fundamental frequency interact to generate a stationary spatial profile at the third-harmonic frequency (visible wavelength) directly related to the pulse auto-correlation trace.
third-order optical nonlinearity in the silicon PhC waveguide. The efficiency of this process is significantly enhanced by the large (typically ~30) group index in these slow-light waveguides. The spatial profile of THG-induced visible light along the waveguide is relatively uniform for each pulse separately, but when the counter-propagating pulse replicas are made to overlap within the PhC waveguide, an additional THG component—referred to as cross-THG—produces a spatially varying intensity profile along the slow-light PhC waveguide. This stationary pattern of visible light is proportional to the varying intensity profile along the slow-light PhC waveguide. That is, it effectively maps the temporal auto-correlation of the pulse into space in a way similar to the free-space optics scheme demonstrated in a SHG-based bulk crystal with kilowatt peak power pulses. As represented in Fig. 1b, imaging this spatial intensity profile then yields the temporal auto-correlation of the pulse, potentially for a single pulse, or so-called 'single-shot' operation—a key advantage over other approaches that are sampling based, such as FROG, and rely on averaging over thousands or even millions of pulses.

Figure 1a shows the experimental configuration for characterizing the device. Optical pulses ~2.5 ps wide from a tunable mode-locked fibre laser are split into two and launched into opposite ends of the PhC waveguide (see Methods). The relative delay between the two counter-propagating pulses can be adjusted to control whether or not the pulses overlap in time while propagating in the PhC waveguide. A high numerical aperture (NA) (0.65) objective and a CCD visible wavelength camera are used to collect and image the TH signal emitted along the PhC waveguide from the top of the chip. The spatial profile of the TH signal, imaged onto the CCD detector, thus directly converts the temporal profile of the pulse auto-correlation into a spatial intensity profile. Note that while the two arms of the set-up include numerous off-chip components to control polarization, circulators and attenuators to balance the optical power of the two beams launched from either side, as well as a tunable delay line in one arm to match the optical path lengths, most if not all of these components are employed just to provide a clear proof of principle of the device operation. They could all readily be avoided with the simple use of an integrated 3 dB splitter on-chip as was successfully demonstrated for SWIFT-integrated spectrometers (stationary-wave integrated Fourier-transform spectrometry).

Device architecture. Figure 2 shows a schematic of the slow-light PhC waveguide along with an s.e.m. picture of the device and the group index versus wavelength measured by interferometric techniques. The device consists of a 96-μm-long silicon PhC waveguide in a 220-nm-thick membrane suspended in air (see Methods). It is connected on both sides to silicon ridge waveguides terminated by inverse tapers and embedded in polymer waveguides to provide a total insertion loss of ~9.5 dB ( fibre-to-fibre). The PhC waveguide is created from a triangular lattice of air holes ( period ~404 nm, radius ~116 nm), with one row omitted along the ΓK direction and the first surrounding rows laterally shifted to engineer the waveguide dispersion. Unlike the highly dispersive slow-light mode associated with the band edge of typical PhC waveguides, here the fundamental mode is engineered to display both low group velocity and low dispersion. As displayed in Fig. 2b, the resulting group velocity in this device is ~c/30 within 10% over a bandwidth exceeding 15 nm, that is, readily compatible with the use of high-speed Tb s~1 signals.

Pulse auto-correlation measurements. Figure 3 shows a series of images recorded on the CCD camera when pulses at 1,548 nm are launched into the waveguide from one side only (Fig. 3b,c) and from both sides with the delay line adjusted so that the two pulses overlap either off-chip (Fig. 3d) or in the middle of the PhC waveguide (Fig. 3e). For cases where the pulses do not overlap on
the chip, the TH green light signal is relatively uniform with a low power level, which effectively provides a relatively weak and uniform auto-correlation background. By contrast, when the delay is adjusted such that the pulses overlap inside the PhC waveguide, the average power of TH light is much larger and the associated spatial intensity profile exhibits a stationary Gaussian-like shape centred around the middle of the PhC waveguide. This pattern results from cross-THG produced from the interaction between the left and right near-infrared pulses in the PhC waveguide. From the spatial profile of the TH power extracted from the CCD image, we retrieve the temporal pulse intensity profile, taking into account the $c/3$ group velocity at which the 1,548 nm wavelength pulses propagate in the PhC waveguide (see Methods). This intensity profile is compared in Fig. 3f with that measured at the output of the laser using a commercial second-harmonic-generation-based auto-correlator. In this time-resolved plot, the physical boundaries of the slow-light PhC waveguide coincide with the points around $\pm 6$ ps, outside of which no TH light is recorded, only noise. The full-width at half maximum (FWHM) inferred from the THG profile is $\sim 2.4$ ps, agreeing relatively well with 2.6 ps obtained via the commercial auto-correlator. The deviation at both edges of the profile is due to the residual TH average signal generated when the two pulses are launched into the waveguide separately from either side. Although it is lower than for SHG-based auto-correlation$^{31}$, this background does reduce the signal-to-noise ratio. In our case though, it can be completely suppressed by using appropriate spatial filtering.

Figure 4 illustrates the possibility to implement such a spatial filtering scheme by imaging the TH output in the Fourier plane$^{32,33}$. Additional cross-terms in $k$-space (extreme side lines on Fig. 4b) are observed when the optical pulses overlap in the PhC waveguide. These are due to the interaction of two left (respectively right) fundamental photons with one right (respectively left) photon to generate one TH photon, instead of classical THG where all fundamental photons come from the same side. The momentum conservation of the photons involved in the nonlinear process are represented in Fig. 4a,b for THG and cross-THG, explaining the different lines observed in Fig. 4 (at $\pm (3k_o - 2\pi/a)$ for THG and $\pm k_o$ for cross-THG). Note that unlike free-space schemes that typically require accurate angle tuning, this quasi-phase matching for cross-THG is naturally ensured by the planar PhC waveguide geometry, independently of the frequency of the fundamental beam$^{32}$. In principle, spatially filtering out the $k$-components closer to the optical axis would eliminate THG induced by the separate pulses and yield a background-free auto-correlation trace. As expected, the cross-THG signal observed in the Fourier plane in Fig. 4b is more intense than that of the THG. Indeed, the overall intensity of the combined left and right pulses is larger than their separate intensities, while the THG process scales with the cube of the intensity at the fundamental frequency. Note, however, that for our specific waveguide geometry, the fundamental mode wavevector ($k_o \approx 0.3$ [2$\pi$/a]) positions the cross-THG lines ($k_{3\omega} = \pm k_o$) off-centre of the objective NA (represented by the

![Figure 3](image-url)  
**Figure 3 | On-chip THG-based pulse auto-correlation measurements.** Top visible CCD images of the PhC waveguide (displayed on (a) under illumination) showing the TH light generated on exciting the waveguide at 1,548 nm from the left only (b), the right only (c) and from both sides with the off-chip delay line set to 0 ps (d) and 137 ps (e). The dotted white line highlights the boundary of the slow-light PhC waveguide where the green light is emitted and the scale bar at the bottom right represents 10 $\mu$m. (f) Pulse intensity profile recovered from the THG profile (red) on the CCD image (e) and measured with a commercial auto-correlator (black).

![Figure 4](image-url)  
**Figure 4 | Fourier optics analysis of the auto-correlation trace at the third-harmonic frequency.** Fourier-space images of the signal generated at the third-harmonic visible wavelength, when the counter-propagating pulses overlap off-chip (a) or into the photonic crystal waveguide (b). In the latter case, two additional lines appear in the $k$-space, associated with cross-THG between two (respectively one) left and one (respectively two) right photons. The conservation of photon momentum in the direction of the waveguide (quasi-phase matching along $k_o$) for the THG and cross-THG processes are represented below the images, explaining the different lines observed in both cases.
dashed white circle in Fig. 4). This decreases the collection of the relevant cross-THG relative to the separate THG process, effectively increasing the THG background superimposed on the auto-correlation trace observed in the real TH images.

In addition to providing the nonlinear enhancement sustaining the THG process, slow-light propagation spatially compresses the pulse\textsuperscript{34}, providing the basis for more compact devices. Using the device in regions having different group velocities also enables the measurement of a wide range of pulse durations within a very short waveguide by effectively adjusting the sensitivity and temporal resolution of the device. We investigate the effect of group velocity on the device performance, by studying the behaviour of the device in a regime where the group velocity of the fundamental mode varies almost linearly, by a factor of four, from \(c/8\) to \(c/30\) between 1,540 and 1,550 nm (see Fig. 2b).

Figure 5a shows the measured TH spatial profiles obtained when launching pulses with similar durations tuned to slightly different wavelengths. We fit the TH patterns with Gaussian curves (see Fig. 5b) and plot the associated FWHM in Fig. 5c, which follows the expected linear trend with the inverse of the group index\textsuperscript{34}. We thus directly observe the spatial pulse compression effect for decreasing group velocities. Selecting the waveguide group velocity can therefore be used to tune the auto-correlator performance, through adjustment of the device calibration, temporal resolution and sensitivity (see Table 1). For instance, with the present \(\sim 100\)-\(\mu\)m-long slow-light waveguide, pulses with a maximum duration of 17 ps can be readily measured with a resolution greater than \(\sim 69\) fs, while a resolution better than 20 fs is available at a lower \((c/8)\) group index. On the short-range side, pulses as short as 550 fs can be measured, limited here by the dispersion in the flat-band slow-light window of the waveguide under test.

**Group velocity measurements.** A novel and significant feature of our approach is that, conversely, it can serve as a diagnostic tool to directly measure and study the near-infrared pulse propagation and group velocity in the slow-light regime of a PhC waveguide—that is, in a regime where measurements become difficult. This can be achieved by sweeping the off-chip delay line and tracking the peak of the TH profile along the waveguide. Figure 6a shows the equivalent of time-of-flight measurements, with a series of snapshots of the spatial (thus temporal) profile of the pulse as it ‘propagates’ across the chip. The series of images is obtained by varying the relative temporal delay between the two incident pulses in the right and left arm, within 10 ps, which effectively changes the location at which the two pulses meet within the PhC waveguide. From this, we can extract the group index for different wavelengths, in a way that is much more direct and easier than traditional interferometric measurements, which typically require high stability and data post treatment. Figure 6b shows the spatial shift of the TH profile versus temporal delay at two different wavelengths (1,545 and 1,552 nm), corresponding to two different group velocities. Since the TH peak location corresponds to the

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**Figure 5** | Impact of the light pulse group velocity on the auto-correlation device performance. (a) Top visible images of the waveguide obtained when launching pulses with similar durations at different wavelengths, corresponding to different group velocities; the scale bar at the bottom right represents 10\(\mu\)m. (b) Normalized TH signals across the photonic crystal waveguide length (shifted vertically for clarity). (c) Displays the full-width at half maximum of the TH intensity profile, as fitted by a Gaussian (red lines on (b)) versus the inverse of the group index at the different wavelengths probed. The data shown as red stars follow a linear trend (dotted line).

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**Table 1** | Performance of the slow-light waveguide-based auto-correlator device.

| Group velocity \(c/n_g\) | \(c/8\) | \(c/23\) | \(c/30\) |
|-------------------------|-------|-------|-------|
| Temporal resolution: \(\Delta T = \Delta Z \times n_g/c \times \sqrt{3}\) (related to a 400 nm diffraction limit) | 19 fs | 53 fs | 69 fs |
| Maximum pulse length or time window to be measured with a 100-\(\mu\)m-long device | 4.6 ps | 13 ps | 17 ps |
| Maximum pulse length or time window to be measured with a 2-mm-long device | 92 ps | 260 ps | 340 ps |

Impact of group velocity on the temporal resolution and the time window to be mapped within a 100-\(\mu\)m- or 2-mm-long PhC waveguide.
obtained by interferometric means30, showing good agreement.

Figure 6c presents the mapped group index measured at six

wavelengths on Figs 5a,b and 6a, while the grey areas

indicate the bandwidth associated with the 2.5 ps pulses.

The apparent drawback of this device may be its bandwidth or wavelength range (15 nm versus an octave for a commercial instrument). However, the extreme compactness of the slow-light waveguide—more than two orders of magnitude shorter than a typical centimetre scale commercial auto-correlator—allows, in principle, the integration (with no moving parts) of parallel devices at staggered wavelengths to cover any wavelength range of interest. The C-band, for example, could be entirely covered by splitting the signal across only two–three spectrally detuned slow-light PhC waveguides, with the CCD camera monitoring the auto-correlation signals produced by the adjacent waveguides simultaneously. The operation wavelength of the flat-band slow-light window can be indeed finely adjusted during the lithography process17. Further, integrating a wavelength-demultiplexing device or dispersive element such as an AWG (readily available with silicon photonics technology) with an array of PhC waveguides on a chip would allow the application of this device to WDM signals of up to 50 or more channels.

In summary, we have demonstrated a THG-based optical auto-correlator that employs an integrated and ultra-compact slow-light silicon PhC waveguide of less than 100 μm in length. Picosecond pulses near 1,550 nm are imaged directly along the PhC waveguide, providing reliable and potentially single-shot measurements of the pulse. We also demonstrate that this technique can be used as a simple and effective in situ diagnostic tool to study the propagation dynamics of near-infrared pulses into slow-light photonic crystal waveguides. This demonstration opens the door to realizing fully integrated devices capable of measuring ultra-fast near-infrared pulses on a silicon chip.

Methods

Device structure and fabrication. The device was fabricated from a SOITEC silicon-on-insulator wafer by electron-beam lithography (hybrid ZEISS GEMINI 1330/RAITH ELPHY) and reactive-ion etching using a CHF3/SF6 gas mixture.
silica layer under the PhC slab was selectively under-etched using a HF solution to create a W1 linear waveguide is created by omitting a single row of holes and hole radius 116 nm (0.287 μm) in the c-axis of air-h band slow-light window). The shortest pulse duration available to measurement is primarily governed by the dispersion of the PhC waveguide, that is, here 550 fs, rather than the time resolution provided by our device.

For the equivalent of ‘time-of-flight measurements’ in Fig. 6, the tunable delay line is scanned across ~ 10 ps, so as to change the crossing point location within the waveguide, where the right and left pulses do meet. Tracking the TH profile centre location versus the delay gives a linear curve. The slope is equal to half of the group velocity, since the crossing point moves twice as slow as the pulses. This allows us to extract the average group velocity at which the pulse propagates without any assumption or fit parameter, and with a resolution limited by diffraction.

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Author contributions
C.M. designed and performed initial experiments, analysed data and wrote the paper. D.J.M. devised the PhC-based THG auto-correlator concept and co-wrote the paper. C.G., M.C. and J.S. performed experiments and analysed data. J.L. designed and manufactured the slow-light photonic crystal waveguide with L.O’F. under T.F.K.’s supervision. C.X., A.C. and B.J.E. gave technical support. All co-authors discussed the results and implications and commented on the manuscript at all stages.

Additional information
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