Investigating Local Climate Zones for Outdoor Thermal Comfort Assessment in an Indian City

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Abstract

The rapid growth of development and urbanization in the Indian subcontinents has made the urban areas vulnerable to outdoor thermal discomfort (OTC) which is in turn affecting the quality of living in these areas. This study aims at evaluating spatiotemporal dynamics of outdoor thermal comfort in Nagpur city (India) during winter, summer and heat wave period using Local Climate Zone (LCZ) classification. It uses Thom’s Discomfort Index (DI) and Relative Strain Index (RSI) to analyze outdoor thermal comfort. It also examines the significance of major LCZs in addressing thermal anomaly and OTC at different time periods using One-way ANOVA technique. It identifies critical LCZ having a maximum exposure of discomfort and concludes with the applicability of LCZ for addressing discomfort.

Keywords: Heat Island; Heatwave; Local Climate Zone; Relative Strain Index; Discomfort Index

Introduction

Rapid development and urbanization have changed various physical factors such as built to open space ratio, use of impervious construction materials, affecting the microclimate in urban areas (Rose et al., 2011). Various climatic, geographic, built and anthropogenic factors further contribute to the development of positive temperature anomaly in urban areas (Oke, 1982). Exposure to extreme heat presents a health hazard (Arsenović et al., 2019) to all but particularly to outdoor workers in low and middle-income tropical countries as they are involved in heavy physical work with minimal access to cooling systems while working (Hanna et al., 2011). Study of thermal comfort becomes necessary for such regions. However, due to diverse landscape, socio-economic conditions and climate variations pose a difficulty in assessing thermal comfort for an entire city. Comfort studies focusing on a specific urban fabric in such regions may not be replicable over other regions of the same city. Furthermore, culminating outdoor comfort assessment research into a citywide planning proposal presents practical difficulties. Use of appropriate scale emerges as an important factor when existing studies demand comparative evaluation before transforming into policies or guidelines for city-level planning (Bajšanski et al. 2015, Milošević et al. 2017). A small scale study presents advantages in terms of resource management as an experimental setup and data collection becomes convenient. As the scale gets bigger, it becomes difficult to gather and assess information on all the parameters affecting comfort. This leads to the need for standardization for outdoor thermal comfort studies (Johansson et al., 2014). Merely accounting climatic variables through a top to bottom approach at a national or regional level diminishes local mitigation opportunities.

Local Climatic Zones (LCZs) are climate based classification of the city fabric (Bechtel et al., 2015; Stewart & Oke, 2012). It is defined as “regions of uniform surface cover, structure, material, and human activity that span from hundreds of meters to several kilometers in horizontal scale” (Stewart & Oke, 2012). Various re-
searchers are further exploring the applicability of LCZ concept for understanding the bio-meteorological aspects of urban planning and design. Wu et al. (2016) associated vegetation with outdoor thermal comfort in a residential area of Beijing (China) using LCZ as a medium of spatial evaluation. Unger et al (2018) comparative study of LCZs using physiologically equivalent temperature (PET) comfort index and justified the urban area’s subdivision using LCZ classification for comfort studies. Verdonck et al (2018) identified potential hotspots using LCZs and analyzed heat stress over three cities in Belgium. Villadiego and Velay-Dabat (2014) studied ASHRAE mean thermal sensation votes and LCZ for in Barranquilla for understanding thermal sensation in tropics. Geletič et al (2018) studied outdoor thermal conditions amongst various LCZs using HUMIDEX index. Müller et al. (2013) compared LCZs within inner city regions of Oberhausen (Germany) using PET. Milošević et al. (2016) accessed outdoor human thermal comfort in local climate zones of Novi Sad (Serbia) during heat wave period. These studies support the significance of LCZ in evaluating outdoor thermal comfort. However, major studies on LCZ and thermal comfort are carried in planned cities of developed countries. Cities of developing countries, especially those located in the tropics, have a heterogeneous built form (Kotharkar & Bagade, 2018).

This study explores LCZ, a proven classification system for understanding and evaluating the thermal environment of urban and rural studies, as a potential guideline for assessing outdoor thermal comfort in tropics. The paper aims at studying outdoor thermal comfort along with temperature anomaly among built LCZs in a tropical city (Nagpur) during winter, summer and heat wave period at different time period of interest.

**Study area, data and methods**

The study is conducted in four phases as illustrated in Figure 1. The first phase includes the selection of a case study. It examines the city’s local climate zones and their spatial arrangement. It identifies the major built LCZs, having higher coverage area, present in the city for comfort assessment. The second stage includes the station survey and collection of meteorological data from major LCZs. Third stage deals with the selection of thermal comfort index through literature review, selection of time period for investigation based on human activity pattern and, evaluation of thermal anomaly and comfort parameters. Final stage examines the significance of thermal anomaly and outdoor thermal comfort index in different LCZs. It concludes with the identification of comfort critical LCZs in the city.

Study area Nagpur city is located between 78°30’ to 79°30’ E longitudes and 20°30’ to 21°45’N latitudes in the state of Maharashtra, India (CRISIL Risk and Infrastructure Solutions Limited, Ministry of Urban development 2015) (see Figure 2). With a geographical area of 225.08 km², the city has an average population of 2.5 million. In peripheral areas, density is as low as 20–32 person per hectare (pph) whereas inner and core area’s density is as high as 750–1000 pph (Kotharkar, Bahadure, and Sarda 2014). It features a tropical wet
and dry climate. Annual temperature varies from a minimum of 4°C in winter (December to February) to a maximum of 48°C in summer season (March to May) (CRISIL Risk and Infrastructure Solutions Limited, Ministry of Urban development 2015). Summers also experience heat wave when the temperature rises drastically over the entire city. In 2016, Nagpur observed frequent heatwaves during the period of 18 to 27 May. As per National Disaster Management Authority (NDMA), Government of India, a heat wave is a period when temperatures are abnormally high than normal maximum temperatures, occurring during the summer months (“National Heat Wave”, 2018). As per the Indian Meteorological Department (IMD), heat wave condition is to be declared once the temperature crosses 45°C. In hills and plains, heat waves are not considered unless the temperature reaches at least 30°C and 40°C respectively (National Disaster Management Authority, 2016).

**LCZ setting**

Nagpur’s city form is heterogeneous and includes varying roughness feature, built material and land-use pattern. Kotharkar & Bagade (2018) prepared LCZ classification map of Nagpur through filtering data from various sources and applying manual method of overlay and deduction technique at a resolution of 500 meters (refer Figure 2g). Nagpur city has all the standard LCZs except LCZ 1, 2 and LCZ 10. LCZ subclasses are found to be inherent features of the city. Out of the twenty-three LCZs spread within the city; natural type of LCZs comprises 33.85% while built type covers 66.15% of the total municipal area. Nagpur’s city limit is surrounded by sparse type of development which extends into LCZ F and other natural LCZs. Major LCZs constituting 80% of the city’s built landcover include LCZ 3, LCZ 3p, LCZ 6, LCZ 6p, LCZ 6a and LCZ 9. These LCZs were selected for the study (Table 1).

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**Figure 2.** Map of Nagpur city showing a. Location of City; b. Placing of Station Point in LCZ 6; Canopy level photographs of c. LCZ 3, d. LCZ 9, e. LCZ 3, f. LCZ 6a and, g. Local climate zone classification (modified from Kotharkar & Bagade, 2017) with location of monitoring stations
Measuring thermal comfort

Various scales and indices have been developed for thermal comfort and heat stress which gives numerical relations or graphs for evaluating thermal comfort (Abdel-Ghany et al., 2013). Literature-based on cities, their climate type, indices used and comfort reporting was studied for selecting appropriate thermal comfort index. Each index has their own strength in terms of comprehensiveness, usability, validity and completeness (Freitas & Grigorieva, 2017). PET was observed as a widely used outdoor thermal comfort index in tropics. Other indices such as Thom’s Discomfort Index (DI) (Nieuwolt, 1977) and Relative Strain Index (RSI) are commonly used in urban climate studies (Kyle, 1992; Unger, 1999). DI is used to describe thermal sensations experienced by people as a result of modifications in the climatic conditions of the urban areas (Polydoros and Cartalis 2014; Stathopoulou et al. 2005). It is a more suitable index for the tropics (Emmanuel, 2005). RSI allows the effect of clothing and net radiation to be considered along with the effects of temperature and humidity. The RSI for a healthy 25 year-old male, unacclimatized to heat and in a business clothing; with an internal heat production of 100 W/m² and in the absence of direct solar radiation and a wind speed of 1 m/s is given RSI formula (Unger, 1999).

Both indices, RSI and DI, use temperature and relative humidity (RH) meteorological parameters only to describe the thermal comfort. Unlike PET and UTCI, other factors such as variation in solar radiation, wind velocity, and clothing condition are not taken into account in these indices. At city level, local comfort assessment requires a large number of monitoring stations which account these parameters. Many studies often focus over temperature and RH data and extrapolate other parameters using Weather Research and Forecasting model (WRF) (Bajšanski et al., 2015) or obstacle-resolving numerical models (Fischereit & Schlünzen, 2018). In a heterogeneous built city like Nagpur, extrapolation of indices may lead to misinterpretation of results.

The present study uses DI and RSI to study outdoor thermal comfort in LCZs. These indices were selected on the basis of cost-effectiveness in the experimental setup, ease to access of data, and spatial coverage. Temperature anomaly (TA), an indicator to UHI, presents a better visualization of LCZ’s hourly thermal progressions. It is used to understand the thermal variations in LCZs throughout the day (Lemonsu et al., 2015).

HOBO U-23 Pro V2 temperature and RH (RH) sensor was placed inside a radiation shield and positioned in the representative LCZ at a height of 1.5 m - 2 m from the ground. The temperature sensor has an accuracy of ±0.21°C from 0° to 50°C and resolution of 0.02°C at 25°C whereas humidity sensor has an accuracy of ±2.5% from 10% to 90% RH (typical) to a maximum of ±3.5%. Temperature and RH data were collected at an interval of five minutes during winter (28 January to 6 February 2016), summer (5 to 14 May 2016) and heat wave period (18 to 27 May 2016).

TA, DI, and RSI were calculated based on standard equations (refer Table 2 & Table 3). One way ANOVA test (Welch’s method) was used to identify whether LCZs are significantly different in mean thermal anomaly and mean outdoor thermal comfort indices at different periods in a day. These periods were selected on the basis of inhabitant’s outdoor activity pattern—working hours (Morning 9 am to evening 6 pm), the transition period from office, market and home (evening 6 pm to 10 pm) and nocturnal hours (10 pm to 6 am).

| LCZ Details | LCZ properties | Coverage |
|-------------|----------------|----------|
| LCZ 3       | Compact low-rise | 0.75-2 | 40-80 | 20-50 | <30 | <25 | 3-10 | 6 | 30.81 |
| LCZ 6       | Open low-rise    | 0.3-0.75 | 20-40 | 20-40 | 30-70 | 30-60 | 3-10 | 5-6 | 8.62 |
| LCZ 9       | Sparsely built   | 0.1-0.25 | 10-20 | <20 | 60-80 | <20 | 3-10 | 5-6 | 24.94 |
| LCZ 3f      | Compact low-rise nesting soil | 0.75-1.5 | 30-60 | 20-40 | <40 | <40 | 3-10 | 5-6 | 2.64 |
| LCZ 6g      | Open low-rise nesting open midrise | 0.3-0.75 | 30-50 | 10-40 | 20-60 | 20-50 | 3-25 | 5-6 | 9.16 |
| LCZ 6g      | Open low-rise nesting scattered trees | 0.3-0.75 | 20-40 | 10-30 | 40-70 | 40-70 | 3-10 | 5-6 | 6.64 |
| LCZ 3g      | Compact low-rise nesting compact mid-rise | 0.75-2 | 40-70 | 30-50 | <30 | <20 | 3-18 | 6-7 | 4.69 |

AR is aspect ratio calculated as building height to adjacent road width ratio.
BSF is building surface ratio calculated as the ratio of impervious to pervious surface percentage.

Table 1. LCZ description, properties used in mapping and coverage in Nagpur city
Results

Air temperature and RH trends
LCZs’ temperature and RH parameters were plotted for different seasons and their maxima-minima trend was observed (Figure 3 and Figure 4). In winter, the temperature of LCZs within the city ranges from 8.52 °C to 33.18 °C. In comparison to Compact types, LCZs with open and sparse type were found cooler. LCZ 6B (scattered trees nested in open low-rise) records the lowest temperature of 8.52 °C on 27th Dec at 6:50 am. LCZ 6 ranks second in lowest minimum temperature of 10.69 °C AT 7:10 am on the same day. A lower rate of cooling is observed in LCZ 3. As a result, its minimum temperature (13.21-15.39 °C) is comparatively higher than the other regions. LCZ 3, recorded the lowest maximum temperature range of 33.18 °C was recorded by LCZ 3, followed by LCZ 9 DBA and LCZ 3F of DDN station. LCZ 3 and its subclasses show inconsistency in ranking for the highest range of maximum temperature.

RH during winter ranges from 15.9% - 97.8%. Maximum RH was found in LCZ 6B. LCZ 3 and its subclasses show a lower range of maximum RH. LCZ 3 and 3F also recorded minimum RH during winter. The difference in maximum RH between the LCZs was found to be 22.1%.

In summer, the temperature varies within the range of 19.50 - 47.06 °C. LCZ 6B recorded the lowest temperature in summer whereas LCZ 9 recorded the highest temperature. Maximum and minima temperature ranges vary for LCZ 3 and its subclasses. The maximum temperature reached by an LCZ ranged between 40.83 - 47.06 °C whereas the minimum temperature reached was found between 19.50 - 25.7 °C. RH

range lies between 5.4% - 64.3% in summer. Maximum RH was found in open type of LCZs (LCZ 6B followed by LCZ 6).

**Thermal anomaly**

LCZ had a uniform and distinct thermal anomaly pattern (Figure 5) during the nocturnal as well as early morning period (6 am to 9 am). After 3 hours of sunrise, the thermal anomaly of all major local climate zones starts to converge towards the mean. LCZ’s thermal anomaly tends to shift their polarity and move towards the extreme ends, which is different for each LCZ, during the working period. This is more evident during the winter season wherein thermal anomaly ranges from -3.27 to 2.33°C. Compact and sparse type LCZs remains on the positive axis of the thermal anomaly, higher than the group mean temperature, for a longer duration as compared to open type LCZs.

Summer thermal anomaly plot ranges between -3.46 to 3.66°C and shows almost symmetric convergence and divergence trend along with the group average. LCZ 3 records minimum thermal anomaly during working hours while LCZ 9 records minimum thermal anomaly during nocturnal hours. LCZ 3 and its subtypes show a distinct thermal behavior during the working hours. LCZ 3 F is present on the positive extreme, while LCZ 3 lies close to city average and LCZ 3 2 remains on the negative extreme of the thermal anomaly.

During the heatwave period, thermal anomaly ranges from -2.66 to 4.54. Except for LCZ 9 and LCZ 32, all other LCZ lie within the range of -1 to +1 thermal anomaly for most of the time. Maximum thermal anomaly is recorded by LCZ 9 during this period. LCZ 6 ranks second in the highest thermal anomaly. This is due to a smaller range of high temperature exhibited by all LCZs (Figure 3). There is no clear ther-
mal anomaly trend during heatwaves for the major part of the day. Only during the nocturnal hours, LCZ shows distinct thermal anomaly pattern.

**Comfort**

In the winter season, only during the working hours, all LCZs lie in the second zone of discomfort wherein 50% of the population is affected. RSI shows that all LCZs were comfortable most of the time, except during working hours between 12:30 - 17:20 time. During this period, LCZ showed comfort wherein less than 75% of the population were unstressed. The maximum exposure of this discomfort was found in LCZ 6 and LCZ 6B. LCZ 3 and LCZ 3 were uncomfortable for the short interval of 60 minutes and 100 minutes respectively.

As per DI, almost everyone experiences discomfort during the summer. Discomfort is more profound during the working hours. During nocturnal hours and early morning period, only LCZ 6B lies in a no discomfort zone for five-hour duration whereas remaining LCZs lie in the second zone of discomfort during the same hours. Peak discomfort was noticed in LCZ 9 as everyone feels heat stress during 14:10-17:00 interval of working hours (see Figure 5). Comparatively, LCZ 3F experiences short exposure (12:30-16:30 hours) of the zone with ‘more than 50% population feeling discomfort’. RSI exhibit a similar trend of discomfort during the summer. LCZ 9 shows the poorest comfort levels as it crosses the zone IV where 75% population feels distressed during 14:10-17:10 working hours. LCZ 3B has a maximum exposure of discomfort zone III (an upper limit of comfort) whereas LCZ 3 remains in the same zone for a shorter time duration. This also indicates that LCZ subclasses play an important role in determining comfort levels. RSI of LCZ 6B during the nocturnal and early morning period (3:00 am – 7:40 am) that lies in the highest comfort level. LCZ 6, LCZ 9 and LCZ D also attain the highest comfort during the early morning periods for a few hours.

The discomfort index during heat wave period (as shown in Figure 5) shows a longer duration of discomfort during working hours and early transitional period (morning 10:30 to 18:30). During this period DI lies within Zone IV of comfort condition representing the complete population feeling heat stress. LCZ 9 is a major concern in terms of comfort as it touches the zone of emergency at 15:40 hours. As per RSI study, except LCZ 3, all the LCZs remain highly uncomfortable i.e. more than 75% population feels distressed. The conditions during the heat wave can be fatal since no comfort is observed throughout the day in any of the LCZs. LCZ 9 is the most severe case of discomfort as it enters into the 100% distressed zone for a few moments.

Irrespective of the time periods selected, the thermal anomaly was significantly different for each LCZ.

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**Figure 5.** LCZ trend for 10 days of hourly mean TA (a), DI (b), and RSI (c) observed during different season starting from 6:00 hrs and intervals at 9:00 hrs, 18:00 hrs and 22:00 hrs (IST) indicating early morning, working hours, transitional hours and nocturnal time period respectively.
Table 5. ANOVA using Welch’s method for mean discomfort index, relative strain index and thermal anomaly

| Month       | Period          | Null Hypothesis (H0): All LCZs have Similar Mean Group |
|-------------|-----------------|-------------------------------------------------------|
|             | DI              | RSI                      | TA                     |
| Winter      | Complete Day    | *                        | *                      | *                      |
|             | Working Hours   | All same                 | All same               | *                      |
|             | Transitional Hours | *                        | *                      | *                      |
|             | Nocturnal Hours | *                        | *                      | *                      |
| Summer      | Complete Day    | All LCZs are same except LCZ 6 & 9 | *                      | *                      |
|             | Working Hours   | *                        | All LCZs are same except LCZ 6a and 6b                  | *                      |
|             | Transitional Hours | All LCZs are same except LCZ 6B and 3               | *                      | *                      |
|             | Nocturnal Hours | *                        | *                      | *                      |
| Heat-wave   | Complete Day    | All same                 | All LCZs are same except 6a and 9                       | *                      |
|             | Working Hours   | *                        | *                      | *                      |
|             | Transitional Hours | All LCZs are same except LCZ 6B and 3               | *                      | *                      |
|             | Nocturnal Hours | *                        | *                      | *                      |

* Group mean of the index is significantly different for each LCZ at the selected time period (p value<0.05)

(see Table 5). Both the comfort indices establish that evening (transition period) and nocturnal hours are significantly different for all the LCZs during winter, summer, and heatwaves. However, similar outdoor thermal comfort was found during working hours in winter season wherein LCZs were found to have an overlapping mean of thermal comfort indices. During an average heat wave day, RSI shows the significant comfort difference between LCZs (p=0.03) whereas TDI result state the opposite (p=0.142). This suggests LCZs’ response to TDI is more sensitive as compared to RSI.

Discussion

The variation in outdoor thermal comfort amongst different LCZs indicates the importance of spatial land-cover features such as vegetation cover (Takács et al. 2016), urban canopy, surface cover, and anthropogenic factors. Built density or compactness affect the shading conditions and amount of solar radiation received in an LCZ. It was observed that area with a higher aspect ratio, such as LCZ 3 in this study, observe building effect resulting in a lower range of discomfort during afternoon working hours. Similarly, LCZ 9 with the lowest range of aspect ratio show comparative highest outdoor thermal discomfort. This corroborates with the findings of comfort studies conducted in other studies using LCZ. Müller et al (2014) observed compared LCZ’s outdoor thermal comfort in Oberhausen (Germany) using PET index and found similar observation. Balogun et al (2010) conclude LCZ 9 is more uncomfortable during the day than LCZ 3 using Thermohygrometric Index (THI or DI). Vegetation and the urban setting were also observed as a factor affecting comfort. LCZs with high pervious surface cover and a minimum ratio of vegetation, such as LCZ 9, shows higher exposure to discomfort during the day and an opposite trend of comfort during the night. For LCZ 6b, greater vegetation cover and location at inner city regions contribute to the negative thermal anomaly and lower discomfort ranges for a major period of time.

The result from ANOVA test also indicates the importance of time period segregation for assessing comfort. During working hours of summer and heatwave, LCZs show distinct comfort pattern differentiation. The significant difference (p<0.05) in mean thermal anomaly and mean thermal discomfort during these hours substantiates the recent findings on heatwave risk’s non-uniformity in complex urban areas (Geletič et al., 2018; Savić, et al., 2018; Lehnert et al. 2018). Temporal assessment of comfort assists in the identification of critical time period having maximum exposure to discomfort. Each LCZ observed varying diurnal range and leading to distinct thermal anomaly profile. During nocturnal hours, depending on the compactness, surface and material properties, each LCZ was observed to have a different rate of cooling. As a result, variation in thermal anomaly begins to rise away from the group mean of LCZ temperature, indicating higher UHI effect. Few hours after sunrise, the urban areas start to gain more solar radiation and the thermal anomaly begins to converges closer to the mean. This supports the preference of selecting nocturnal hours to explore LCZ’s maximum UHI magnitude. While winters lie in comfort zones...
for a major time period, extreme discomfort is observed throughout the summer and heatwave period irrespective of the hours of the day. Working hours were observed as a critical period, specifically during the afternoon, as LCZs are exposed to severe discomfort in summer and heatwave. Working hours reach an alarming state during heatwaves as all LCZs approach toward the zones of medical emergency or heat stroke (DI>32 and RSI= 0.5). Transitional period ranks second in terms of the critical period of comfort. This period witnessed several changes in meteorological and anthropogenic variation. Post sunset, while some areas begin to radiate heat, the thermal capacity of building surfaces in different LCZ affect the cooling rate. Traffic conditions escalate during the same hour. Except for LCZ 6_b, comfort ranges of all LCZs lie very close to each other during this period.

Conclusion

This study is oriented for urban planners and policymakers to incorporate an understanding of urban form and comfort in spatial planning. Local climate zone classification provides a scientific framework to understand the synergy between the built environment and urban climate. This study contributes to the understanding of local climate zones and its potential to evaluate outdoor thermal comfort. Through spatial and temporal investigation of comfort in different LCZs, the study guides through the ‘when’ and ‘where’ part of mitigation. The study helps in identifying outdoor comfort critical local climate zones and time period of maximum exposure. LCZ classification could thus be incorporated in the preparation of heat action plan (HAP) as an effective strategy for management and adaptation. Use of two simple outdoor thermal comfort indices and corroboration of results with other studies with different indices show that the methodology adopted in this study can be replicated using different cities and different indices. However, limitation of this study lies in considering very few meteorological variables and not considering essential comfort parameters such as solar radiation, wind speed, and clothing conditions. This study could be strengthened further with the incorporation of these variables.

The study guides in comfort assessment using minimum resources without any extrapolation of comfort parameters. It presents a strong groundwork for evaluating comfort and LCZ in the tropics. Advance research alternatives with various statistical and simulation tools could be adopted for further evaluating the identified critical regions. Urban development policies leading to the development for critical LCZs could be scrutinized and revised for optimizing outdoor thermal comfort conditions. The future scope of work includes the assessment of LCZ composition for prioritizing comfort affecting parameters.

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