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Holistic assessment of driver assistance systems: how can systems be assessed with respect to how they impact glance behaviour and collision avoidance?

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Abstract: This study demonstrates the need for a holistic safety-impact assessment of an advanced driver assistance system (ADAS) and its effect on eye-glance behaviour. It implements a substantial incremental development of the what-if (counterfactual) simulation methodology, applied to rear-end crashes from the SHRP2 naturalistic driving data. This assessment combines (i) the impact of the change in drivers’ off-road glance behaviour due to the presence of the ADAS, and (ii) the safety impact of the ADAS alone. The results illustrate how the safety benefit of forward collision warning and autonomous emergency braking, in combination with adaptive cruise control (ACC) and driver assist (DA) systems, may almost completely dominate the safety impact of the longer off-road glances that activated ACC and DA systems may induce. Further, this effect is shown to be robust to induced system failures. The accuracy of these results is tempered by outlined limitations, which future estimations will benefit from addressing. On the whole, this study is a further step towards a successively more accurate holistic risk assessment which includes driver behavioural responses such as off-road glances together with the safety effects provided by the ADAS.

1 Introduction

1.1 Background

There are several ways to avoid or mitigate injuries, and even reduce the number of crashes on our roads. Advanced driver assistance systems (ADASs) [1] in vehicles typically aim to increase safety by avoiding crashes or mitigating their consequences by, for example, lowering the impact speeds. Actually, increased safety can be achieved by risk-, threat- and injury management. In risk management, ADASs support the driver to avoid threats, while in threat management, the critical situation has arisen and there is an ongoing threat that the ADAS supports the driver to avoid crashing or mitigate its consequences (e.g. lower the impact speed). Some ADASs are primarily for comfort or convenience, to reduce strain from driving. Such systems include adaptive cruise control (ACC, [2]), which keeps the headway to a lead-vehicle (LV) to a set value by controlling the vehicle throttle and brake; and lane keep assist (LKA; [3]), which supports lane keeping through steering control. Today there are also a variety of ADASs that combine ACC and lane-centring LKA [3], typically supporting the driver during highway driving. Hereafter this combination will be called driver assist (DA). Note that DAs and other convenience systems are also, implicitly or explicitly, risk management systems— as they are expected to increase safety—by maintaining better safety margins [2, 4]. A risk management system (e.g. DA) provides potential safety benefits from headway, speed and lane maintenance. When a traffic situation evolves into a critical situation despite this support, the threat management intervenes. Examples of threat management systems are forward collision warning (FCW; [5, 6]) and automatic emergency braking (AEB; [5–7]) systems. FCW warns the driver of an impending threat ahead in order to redirect the driver’s gaze to the forward scene (the threat). FCW systems only warn (inform) the driver, and do not intervene by physically controlling the vehicle. AEB, on the other hand, intervenes automatically, braking the vehicle hard to avoid crashing with an object in front, or reducing the impact speed. The benefit of FCW and AEB has been shown in several studies. Cicchino [6] estimated that police reported crashes are reduced by 27% with FCW and an additional 23% with AEB. Using a different study approach, Fieldes et al. [8] showed a benefit of AEB of 38% crash reduction. Note, however, that these are estimates of benefit with systems that are early-on-market. More modern systems should have much higher effectiveness as technology is developing [9].

In manual-driving crashes (driving without any risk management systems that control the vehicle during normal driving), drivers looking (glancing) away from the forward roadway have, in a large number of studies, been shown to be a key crash causation mechanism – especially for rear-end crashes [10–12]. In these manual driving scenarios, the goal of FCW systems is to redirect the driver's gaze to the forward roadway [13, 14]. However, ACC (and the ACC component of DA) can also influence driver glance behaviour. Morando et al. [13] demonstrate that drivers typically shift their eyes back onto the forward roadway even before the FCW warning. This is an indication that the kinematic cue (deceleration) provided by the ACC prompts the driver to look forward—probably to check what is causing the unusual braking. In the present study, both the FCW warning and the kinematic cue from ACC/DA are modelled to redirect gaze towards the forward roadway [13].

Drivers glancing off-road are a key crash causation mechanism. As a result, there are government guidelines [15, 16] and other policy documents [17] that provide metrics for what is considered safe. For example, the national highway traffic safety administrations (NHTSA) distraction guidelines proscribes that glance durations while performing secondary activities are kept within 12 s total off-road glance time with glances primarily below 2 s individual glance duration. These guidelines can be traced back to early work in the glance-behaviour research domain by Rockwell [18], who presented a distribution of off-road glance durations associated with manual FM-radio tuning. The Rockwell distribution has been used extensively as a reference for glance behaviour that is considered safe enough (see review in [16, 19]). Therefore it is also included as a reference in the current study. In summary, all the available guidelines for ‘safe task engagement’ in vehicles are based on glance behaviours under manual driving.
design and evaluation, evaluations of the impact of ADAS on driver pre-crash behaviour is quickly developing. Analogous to development of human body models (HBMs; [36]) – computer modelling of the (physical) human for use in crash simulations – so too can we expect the development of driver models in what-if crash-avoidance simulations.

A recent position paper [37] on human factors for automated vehicles from the EU project Coordination of Automated Road Transport Deployment for Europe (CARTRE) identifies simulations as an ideal for the assessment of vehicle automation. Also, Bellet et al. [38] contributes to the virtual assessment of vehicle automation by the outlining a high-level framework that combine models of driver's cognition with ADAS and automated vehicles for holistic assessment.

In sum, as computer simulations incrementally improve, their validity, improvement and validation in aggregate to be benchmarked with results from experiments, naturalistic data, and crash databases. The use of simulation is particularly essential when there are few, or even no, other available methods to assess an effect; this, we argue, is the case for the combined effect of driver glance behaviour and the presence of an ADAS.

1.2 Aim
This study has two aims: (i) to demonstrate the need for a holistic safety-impact assessment of ADAS and (ii) to propose a method for carrying out this assessment. ‘Holistic safety impact’ here means the combination of (i) the impact of the change in drivers’ off-road glance behaviour due to the presence of the ADAS on, with (ii) the safety impact of the ADAS alone (e.g. by automation).

To accomplish these aims, this study demonstrates the estimated combined impact on crash risk of a set of ADAS (DA, ACC, FCW, and AEB), with the corresponding off-road glance-distribution changes due to the presence of those systems.

2 Method and materials
This study used the same dataset and fundamental simulation method as in [26]. The following sections summarise the approach, providing the most important details; for further information, such as signal processing, crash selection, data reduction, and detailed FCW and AEB algorithm implementations, see [26]. After a description of the data used and a brief description of the ADAS algorithms used are provided, the what-if simulation approach is explained and the performed simulations and subsequent analysis are presented.

2.1 Data
The data used come from the second strategic highway research program (SHRP2), the world’s largest in-vehicle naturalistic driving study to date [39]. In SHRP2 3247 primary participants across six sites in the US drove instrumented vehicles a total of almost 80 million kilometers over two years, as part of their everyday lives. In addition to everyday uneventful driving, almost 7000 near-crashes and more than 1000 crashes (severity level 1–3, see [39]) were captured in the recordings. In this study, a subset of the rear-end crashes from SHRP2 was used, consisting of 34 crashes in which the SHRP2-instrumented car struck a lead vehicle (see [26] for details). These crashes were a subset of the 46 crashes used in [11]. The twelve excluded crashes had either missing or corrupt speed or acceleration data, or the relative acceleration between the two vehicles was unrealistic (see [24]). Note that due to missing or poor-quality radar data for several events in the original SHRP2 dataset [11], manual annotations (performed as part of [11]) was used to provide data about the relative kinematics between the two crashing vehicles in the seconds leading up to the crash. Approximately 12 seconds of time-series data was available prior to the crash point for this study. Ten Hz time-series data included the following vehicle (FV) speed and acceleration, the LV speed and acceleration (relative to the FV), and the distance between the two vehicles. Based on these data, the time that the vertical angle formed by the left and right edges of the LV from the
perspective of the FV driver; [40]), and theta-dot (looming: the derivative of theta; [40]), were derived. These data for each of the 34 SHRP2 crashes were used to represent original crash kinematics in the what-if simulations performed in the study. In the what-if simulations, two additional variables were used: distribution of the maximum driver deceleration and eyes-off-road glance distributions (EOFF). The distribution of the maximum driver decelerations across crashes was the same in this study as in [26] (Appendix A, Figure A3). The EOFF distributions were obtained from an on-road experiment (20 drivers drove under nine conditions; further details to follow) and the aforementioned Rockwell radio-tuning task (as a task used as a reference for 'acceptable risk'; [19]). Also, for comparison, Fig. 1 shows the cumulative off-road glance distributions of these ten off-road glance distributions (all drivers in the study pooled). The off-road glances of manual driving are below the Rockwell (cumulative) reference distribution (across tasks), while the off-road glances for all tasks in the ACC and DA driving condition in part have longer off-road glances than FM radio tuning, which in turn has shorter off-road glances than FM radio tuning, and in which ADAS modality to use, the participants themselves chose when to step to the next instructions on the iPad: that is, initiation and performance of the secondary task were self-paced. After all tasks had been completed for an ADAS modality, the participant self-rated driving performance on a 1–7 scale on the iPad, and answered the three SAM-scale ratings [41] (part of the original study, for which the data collection was designed – these were not used in the current study).

The radio task involved changing from one radio station to another with a manual tuning slide bar. USB song selection involved finding and selecting a song from a stored list. A forty-second long data segment, starting 30 seconds after the completion of the last task in each ADAS modality, was used as a baseline for that modality.

### 2.3 What-if simulation design

#### 2.3.1 What-if simulation overview

What-if simulations can be performed in a variety of ways. This study used the same approach as in [26], consisting of six steps (the steps are described in more detail later in this section). First, the crashes to be used as original crashes are identified. These should have kinematic time-series data (speed and acceleration) of both the FV and the LV, as well as the range between the two, up until the crash point. Second, for each original crash, any evasive manoeuvre made by the FV is removed, and the LV kinematics are extrapolated beyond the crash point (see Fig. 2). These crashes, now called seed crashes, correspond to what the original crash would have been if the FV driver had not performed any avoidance manoeuvre. Third, in this study 200 samples are drawn from the EOFF glance-duration distribution for the glance behaviour being studied (e.g. the behaviour associated with DA FM radio tuning; Fig. 1). Fourth, a model is used to simulate counterfactual (‘what if the driver glanced away here’) off-road glances; it positions the sampled (what-if) off-road glance in the time-series according to a glance placement model. The output from this step is a time-series of an event as if it was collected in an experiment, with the kinematics of the FV and the LV, and the (last) glance behaviour of the driver of the FV. In an experiment, the drivers’ off-road glance timing and duration can be captured with an eye-tracker system, while here the combination of the kinematics and the off-road glances is based on the stochastic selection of off-road glance durations, and an off-road glance behaviour associated with DA FM radio tuning; Fig. 1).
road glance placement model (wherin the time-series the off-road glance should be placed, see 2.3.3 and [24, 26] for further description). Fifth, what-if simulations are performed for each combination of seed crash and off-road glance sample, using a model of the driver's response process to a critical event. The response-process model for the driver includes the timing of braking initiation, the jerk, and the maximum deceleration. Each what-if simulation will either be a crash or a near-crash (Fig. 3).

Sixth, and finally, the proportion of crashes out of the total number of simulations is calculated: this is the crash risk metric specific to the off-road glance distribution being studied. Using this approach, different off-road glance distributions can be compared by comparing crash risk estimates (proportions of crashes in the simulations) between the distributions.

The addition of ADAS systems in the simulation process model means that the combined effect of the glance and the ADAS can be evaluated. In this combined model, the FCW, ACC, and DA systems redirect the driver's off-road glance (the glance sample placed in the time-series) in different ways, according to their respective glance-redirect model. Then the driver-response process model is activated, so braking occurs when the model indicates the driver would have braked. For AEB, when the AEB-algorithm trigger threshold has been reached, the deceleration associated with the AEB is applied to the FV. It is thus possible to compare the various combinations (of off-road glance behaviours and ADAS) with respect to estimated crash risk. The following sections describe this study's what-if simulation components in more detail.

### 2.3.2 Creating seed crashes:
The 34 original crashes were identified and converted into seed crashes by removing the evasive manoeuvre for the FV. The speed of the LV was extrapolated beyond the crash point (Fig. 2), so that simulated driver and ADAS brake responses would not be influenced by the driver's response in the original crash. Seed crashes have the same initial kinematics as the original crashes without the original FV responses to the critical event (see [26], for details).

### 2.3.3 Applying off-road glances to the seed crashes:
For quality assurance, all glance time-series produced by the eye-tracker were manually inspected for quality and when the eye-tracking quality was not sufficient, the gaps were manually annotated using the following general rules: (i) driver gaze was annotated from the start of the task to the end of the task, (ii) transitions were coded as part of the previous glance, (iii) blinks were not taken into account in the annotations (if a test participant moved their gaze off path during a blink, the glance was annotated as off-road from the point in time when the test participant's eyes were closed), and (iv) whether a test participant was looking on or off-path was subjectively determined through video data of the drivers’ eyes.

After quality assurance, samples from the off-road glance distributions were applied to the seed crashes (Fig. 3). Two hundred EOFF glance durations were sampled, using a Monte Carlo sampling approach, from the distribution being evaluated (each of ten different glance behaviours used in this study, respectively; see Fig. 1). Each sampled off-road glance was applied to every one of the 34 seed crashes, creating a total of 6800 simulations. ‘Applying’ a glance means placing it in the time-series, simulating an off-road glance by the driver during that time — instead of replicating what the driver actually did in the original crash event.

For the simulation to be valid, it is necessary to place the off-road glance at a realistic time point in the time-series data. From the few models which do this (e.g. [24, 25]), we chose the same glance placement model as in [26], model F. This glance placement model is based on studies addressing the question: ‘After how much looming (what value for thetadot) do drivers start reacting to critical situations?’ The model assumes that there is an equal probability of initiating/not initiating a task when thetadot is lower than 0.01 rad/s; however, when thetadot is greater than 0.01 rad/s, drivers would not initiate any task (or perform any off-road glance). Thus, according to this model, only glances that overlap thetadot equal to 0.01 rad/s matter, and placement of the glance in the time series is then conditioned by this overlap.

Glances overlapping thetadot = 0.01 rad/s were simulated with the process described here. First, a sample (TEOFF; one of the 200) was drawn from the eyes-off-road glance distribution being studied. Second, a random value from the range [0, TEOFF] was drawn. This duration was then placed in the kinematic time-series, starting at the point in time where thetadot reached 0.01 rad/s. This simulates equal probability of a glance overlapping thetadot 0.01 rad/s during TEOFF (note that there are statistical techniques that facilitate the overlapping approach analytically (see [24] for one such implementation), but here the pragmatic empirical sampling approach was used for clarity).

### 2.3.4 Driver response model:
Like the glance-placement model, the driver-response model was the same one used in [26], model F. A brief overview of this response model follows.

As described previously, in this study we assume that there is an equal probability of glancing away before thetadot = 0.01 rad/s — the threshold based on [42], but when this threshold is reached the driver never looks away and reacts as fast as possible. The response timing is thus kinematically dependent. Further, the time to respond to the visual stimuli of the LV looming was set to 0.5 s, also based on [42]. The driver response model must, for completeness, consider two cases. In the first, the driver is looking at the road when thetadot reaches 0.01 rad/s, and the model assumes that the driver starts braking 0.5 s after the threshold is reached. However, since this implementation ignores on-road glances, this case is not relevant. In the second case, which is the one considered for this study, when there is an EOFF overlapping thetadot = 0.01 rad/s, it is assumed that the driver starts braking 0.5 s after having looked back on the road.

The driver response model handled FCW warnings in the following way: If drivers were gazing off-road at the time of the warning, they were assumed to look back on the road instantaneously — after which the response model described above took over (’if thetadot > 0.01 rad/s, start braking 0.5 s after’). If the drivers’ eyes were on the road at the time of the warning, the original response model was used (not relevant for the current implementation).

In this study it is assumed that the driver's only avoidance manoeuvre is braking (not steering). The deceleration profile is based on the kinematically dependent jerk (deceleration derivative) found in [42], and a sample of from the maximum deceleration distribution [26]. A sample each of the 200 simulations of eyes-off-road glances (see above).
interface, rather than the off-road glance behaviours alone. To real off-road glance distributions (rows) and ADAS simulations that are current (instantaneous) FV and LV speeds and accelerations, FV before it crashes into the LV is calculated for each time point (experiment).

FCW and 7 m/s for the AEB and FCW models are the threshold value (2.3 m/s) for the AEB algorithm described in [7]. The only two differences between the AEB and FCW algorithms are the threshold value (2.3 m/s for FCW and 7 m/s for AEB), and the fact that the AEB model simulated a braking intervention and the FCW model only simulated a warning to the driver.

2.3.6 Forward collision warning: The FCW algorithm, too, is the same as in [26], which in turn is based on the threat-assessment AEB algorithm described in [7]. The only two differences between the AEB and FCW models are the threshold value (2.3 m/s² for FCW and 7 m/s² for AEB), and the fact that the AEB model simulated a braking intervention and the FCW model only simulated a warning to the driver.

2.3.7 Adaptive cruise control and DA: Neither ACC nor DA were modelled (implemented) to control the vehicle at any time (neither braking nor steering), but both were modelled to redirect glances to the forward roadway. That is, based on [13], when ACC or DA was active, it was assumed that the driver did not look off-road after TTC⁻¹ 0.21 s⁻¹ (i.e. if the driver had the eyes off the road when TTC⁻¹ reached 0.21 s⁻¹, glances were assumed to be on-road after that). Morando et al. [13] demonstrate that the kinematic cues of ACC typically redirect driver glances back to the roadway no later than (approximately) TTC⁻¹ = 0.21 s⁻¹.

2.4 Performed what-if simulations

In this study, two sets of what-if simulations were performed. The aim of the first set of simulations was to demonstrate the need to consider off-road glance behaviours and ADAS systems in combination when assessing a specific task or driver-vehicle interface, rather than the off-road glance behaviours alone. To create the simulations, combinations of the four different ADAS modalities and the ten different driver off-road glance distributions were what-if simulated (40 conditions; Fig. 4). The four modalities were manual driving (no system), FCW and AEB in manual driving, adaptive cruise control with FCW and AEB, and DA with FCW and AEB, respectively (columns in Fig. 4). The ten driver glance behaviours comprised baseline and secondary tasks (rows in Table 1) for each of the ADAS modalities (including the ADAS modality manual driving (no system) which was not included in the on-road experiment). As noted, the baseline for each modality was the 40 s of no-task driving after the end of the last task for that modality in the experiment. The two tasks studied here were (modern, touch-screen based) FM-tuning, and USB song selection (additional tasks were analysed in the study, but results from those are not reported here).

Out of these 40 what-if simulations, only three (marked in dark grey as Factual in Fig. 4) were estimating the actual risk associated with the specific driving conditions the drivers were exposed to. That is, these three simulations used the glance behaviours that were recorded in the on-road experiment in each of the ADAS modalities. In addition, 37 simulations were performed (Fig. 4) where there was no corresponding glance/ADAS-modality combination in the on-road experiment. Such simulations are hereafter called non-existent. For example, the top row in Fig. 4 describes the simulations combining glance behaviours from the Rockwell [18] task with the four ADAS modalities. These simulations evaluate the risks of driving in the four ADAS modalities, had the driver's off-road glances been exactly like those in the Rockwell [18] study. Similarly, column one in Fig. 4 describes simulations in which the driver performed off-road glances as in each of the ten tasks/baselines without an ADAS. In this study we argue that this column's (manual driving without any ADAS) simulations are particularly unrealistic, especially the estimated risks associated with the glance behaviours that are directly influenced by the presence of active support systems (ACC and DA, the bottom six rows in the no system column in Fig. 4).

The results from the simulations in the twelve cells of FM tuning in combination with the four ADAS modalities (Fig. 4) are presented as box plots, together with their respective means. The estimation of risk for the Rockwell glance behaviour without any ADAS (leftmost top cell in Fig. 4) is also included in all boxplots, as a reference.

The results from the first set of simulations were analysed from several different perspectives—primarily based on the box-plots of the what-if estimated risks across drivers for different combinations of the 40 cells, but student t-tests were also used. A conservative alpha of 0.001 was used in the tests to avoid issues with multiple testing.

The aim of the second set of simulations was to demonstrate the impact of different proportions of complete ADAS system failures on the crash risks, addressing the question ‘How risky is it for the system to fail in X% of the critical situations assessed through what-if simulations?’ where X is 0 to 100% in steps of 10%. To accomplish this, the simulations in the rightmost bottom cell in Fig. 4 is used as a basis. Those simulations ignore the fact that those glance behaviours are directly related in response to systems that also may provide a safety benefit and therefore should be considered in a holistic manner.

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Note that this paper aims to present and discuss a method concept, rather than make absolute comparisons of risk.

3 Results

Fig. 5 shows the simulation of (modern) FM tuning in all ADAS modalities in Fig. 4. The manual (no system) modality (column) in Fig. 5 corresponds to the traditional assessment of off-road glance duration changes. It is of particular importance to compare the results of these non-existent combinations with the (no system) estimates with the fact that simulations of ACC(+FCW+AEB) and
DA(FCW + AEB), respectively. The factual risk estimations show a large reduction compared to the risk estimation for the non-existent manual (no system) condition for each row – corresponding to the ADAS safety efficiency in the simulation. Actually, as soon as FCW + AEB are introduced, crash risks are drastically reduced (compare the leftmost column of the right panel with the three rightmost columns; r(36)=11, p<1·10⁻⁹, when comparing simulations with manual (no system) and simulations that include FCW + AEB).

However, there is no significant crash risk reduction between FCW + AEB what-if simulations (second column with boxplots in Fig. 5), and the risks associated with ACC and DA with FCW + AEB (third and fourth column of box plots in Fig. 5), respectively. Similarly, there is no significant crash risk increase with an increase in the level of automation, and all simulations with at least FCW + AEB are far below the Rockwell crash risk.

Fig. 6 demonstrates how complete (and silent) failures of ADAS can affect the mean crash risk. These failures are on-top of the system limitations for the specific implementation. The figure shows the what-if simulated risks of FM radio tuning while the vehicle is operating in the DA automation mode, with different proportions of complete FCW + AEB failures. The specific implementation of the (ideal) FCW + AEB used in this study reduced the mean crash risk from 100% system failure (no system) to zero percent failures (working optimally as implemented) by more than a factor of 10 (>90% reduction). Fig. 6 shows an almost linear reduction in the effectiveness of FCW + AEB between zero and 100% failures. Similarly, there is an almost linear relationship between the quartiles (and even the outliers) from no failures to complete failure. Although with 100% failures there is a slightly higher risk than with Rockwell, when there is a 90% failure rate the crash risk is already lower than that of Rockwell. At 60% failures, all drivers except the outlier still have a crash risk below the average Rockwell risk.

Between-driver variability varies across tasks and ADAS modality. For simulations with no system (Fig. 7), the difference in the standard deviation of crash risk is similar within tasks between baseline and ACC, while the standard deviation of crash risks of DA is higher than for both baseline and ACC, for each task. For DA(FCW + AEB) simulations (Fig. 7), this effect of driver variability is basically eradicated.

Further, song selection in DA driving has a wider interquartile range and higher-risk outliers than manual song selection. The means of the tasks with larger variability are naturally higher, as
risks are constrained in the downward direction (risk cannot be lower than zero) but not upwards (higher risk) in any practical sense. The mean crash risks for the modern FM tuning task and the Rockwell tuning task are similar, although the modern FM tuning task may be slightly less risky even with 100% failure rate (recall that this is a condition that could not reasonably even occur as glance changes associated with DA would not occur if the system is not active).

4 Discussion

This study develops a method for holistic risk assessment focusing on the combination of glance behaviour and ADAS safety effects. It uses what-if computer simulations to (first paper aim) demonstrate the need for the combined risk assessment of (i) the effect of off-road glances, and (ii) the effect of ADAS systems (FCW, AEB, ACC, DA) being present and active when the glance data was collected. That is, we argue the proposed method and its future developments are a more accurate way to balance the effects of glance behaviour and ADAS in a risk assessment (second paper aim). It follows that making conclusions about risks related to glance behaviour changes independently of consideration to larger potential benefits of ADAS would be much less accurate (as indicated by our results here e.g. Figs. 6–8). What-if simulations can assess such holistic safety effects and there are other ongoing efforts in to pursue assessing the combination of driver cognitive influences (e.g. precautionary behaviours, including glance behaviours and decisions like speed reduction decisions) and ADAS [38].

4.1 Holistic risk assessment

Longer off-path glances can be understood and modelled, within the cognitive neuroscientific predictive processing framework, as an understandable consequence of a perceived reduction in need to update that the predictive (generative) model is proceeding according to the plan (see [43, 44]).

The cumulative off-road glance distributions across tasks and ADAS modalities in Fig. 1 can be compared with the risk estimates from what-if simulations in the top panel of Fig. 7. The second boxplot from the left in the top panel (manual no system row) shows that the FM radio tuning results are comparable to the Rockwell reference task but includes a variance (a similar variance would have been present in the Rockwell task if they would have published variance). In strong contrast to the crash risk for FM, song and baseline (bottom panel, Fig. 7) are significantly reduced to a level much below the manual baseline risk level (top panel, Fig. 7), across all ADAS modalities ($p \leq 0.01$, for all four tests).

The results of the simulations performed in this study strongly support the argument that potential risks related to glance behaviour changes need to be assessed together with the larger safety benefits from ADASs (FCW, AEB, ACC, and DA). If a holistic safety assessment is not considered, it may lead to a missed opportunity to realise the safety benefits of the ADAS. This may, in turn, potentially lead to the discouragement of the implementation of safety technology if glance behaviour changes are considered in isolation.

In contrast to the method we propose, current glance behaviour assessment methods related to in-vehicle interface interactions do not consider ADAS effects. For example, the NHTSA distraction guideline [16] and others [19, 45] are typically based on two main types of studies: (i) controlled experiments in driving simulators, on test-tracks, or on-road (see [16] for details), and (ii) studies of glance behaviour and their relation to the risk of being in a crash or near-crash [10, 46]. What is common among the studies on which current guidelines are based (as far as we have been able to establish) is that they have not addressed the benefits of either risk- or threat-management ADAS. For example, when the Rockwell [18] radio task is used as a basis for a distraction guideline, it ignores the presence of any ADAS in the vehicle—even if the ADAS being assessed both induces longer glances and improves safety (e.g. redirects glances).

As FCW and AEB are practically always present when ACC or a DA system is active, comparisons with and without ACC/DA should naturally be made with FCW and AEB present; the net risk change of adding ACC or DA to the FCW and AEB should be evaluated. In our results, the positive safety impact of FCW/AEB is much larger than the effect of ACC/DA. Further, effects of longer glances associated with ACC or DA are overwhelmed by the positive effects of the threat management systems FCW and AEB.

One argument for the current-practice ‘no system’ assessment, which is sometimes raised when the necessity of a holistic approach is presented, is that there may be situations where ADAS fail. However, in this study we demonstrate (Fig. 6) that what-if simulations can be used to investigate at what proportion of ADAS failures in critical events the risks start to approach the risk of some
refereence task/threshold. It is here important to note that it is highly unlikely that ADAS failures (for example, ACC or AD) would only occur in critical situations (if the systems fail in critical situations, it is likely that the system is unreliable also in everyday (normal) driving). If it is unreliable, it is unlikely that the driver would continue to feel safe enough to look away longer; he or she would likely turn the system(s) off and be considerably more attentive. To account for these and preceding modeling assumptions, the framework [43]. The likely result would in any case be that the drivers change back to manual-driving-like glance behaviours. Consequently, if a risk management driver support system (e.g. ACC or DA) has any risk-reduction properties, it is unlikely that ADAS failures would increase the risk associated with ADAS induced glances, since drivers would change back to manual-driving like glance behaviours.

4.2 Limitations

This study represents an incremental development of the methodology towards a successively more accurate holistic risk assessment which includes driver behavioural responses such as glances and the safety effects of the ADAS. Previous papers [24, 26] have taken the first steps. Important limitations likely affect the accuracy, validity, and generalisability of the risk estimations to absolute crash-risk. Successive improvements will be achieved by addressing limitations, as outlined below.

Firstly, the small sample (34 crashes) is not likely to be representative of (and thus not be generalisable to) the population level (here, rear-end crashes in the US). Interpretations of the actual absolute estimated risks through the what-if simulations should be treated with care, if made at all. Future incremental steps should include a more representative sample.

Secondly, the FCW and AEB used in the simulations are ideal systems, overperforming compared to the benefits of those systems in the field according to post-hoc studies. Reasons for the over performance include that no sensor degradations are included in the FCW and AEB models, and that the algorithms assume pure rear-end situations (with 100% overlap between the following and the leader vehicle). The latter reason is particularly important, as FCW and AEB algorithms typically generate warnings or interventions later when this overlap is smaller, as the driver may avoid the situation by small manual steering interventions. This delay avoids nuisance warnings and interventions. The combined model of FCW and AEB that was used likely overestimates risks by a factor of two, approximately (compare with [24], as well as [6, 8, 29]).

However, even if the FCW and AEB benefits are only half (in line with [6, 8]) of the benefits estimated in this study’s what-if simulations, the conclusions of this paper stand. Consider, e.g. Fig. 6, reducing the benefit to half allows ∼30% complete system failures (on top of the reductions due to sensors etc., present in real traffic today), for 75% of the drivers to be safer than they would be having performed Rockwell radio tuning glances during manual driving. Future studies should incorporate sensor limitations and scenario constraints into their ADAS models—preferably models from ADAS production.

Thirdly, the ACC and DA simulations are conservative; they are likely to produce more crashes than would occur in real life in two ways. First, the time headway (THW) was not modified (increased) from the original SHRP2 crash in any of the crashes with ACC or DA active. Having a very short THW with ACC or DA active is unrealistic, as an active ACC or DA would have increased the THW, reducing the risk of a crash. Future studies may choose to investigate the THW increases resulting from active ACC or DA systems. Including these increases in this model would likely have strengthened the main conclusions of the paper – the current implementation of ACC and DA provides conservative risk reductions. Second, there is no active control of the vehicle in the model. In many cases, an active ACC or DA control would have initiated a speed reduction before the FCW or AEB was activated. Future studies should also investigate the effect of active control on simulations.

The fourth limitation is that context is not considered in either the collected data or the simulations. For the experiment, the presence of other road users (including lead vehicles) was not controlled for. That is, it may be that a driver had no other vehicles around when initiating a specific task, while the same driver when performing another task (or a different driver performing the same task) had other traffic around. This is likely to affect glance behaviours [47]. For the what-if simulations, there is a fundamental assumption that all of the tasks have an equal (and constant) probability of being initiated at all times except after looming (when \( \text{thetadot} \) exceeds 0.01 rad/s), when it is assumed that no off-road glances occur. Both of these context assumptions will affect the absolute estimates of risk, but they should have little effect on the main conclusions of the paper: that there is a need for the combined assessment of behaviour and ADASs. Future studies should consider context, accounting for the fact that there are different task initiation constraints for different tasks.

A fifth limitation is that the assumption that all drivers will react directly after a threat is perceived (here operationalised as a brake reaction 0.5 s after thetadot reaches 0.01 rad/s). This assumption represents a simplistic driver response mode. One option for addressing this limitation is to simulate this condition as well, possibly by running the 6800 simulations of critical events with (for example) FCW + AEB + DA, but disable the driver brake response completely for a subset of the simulations. That is, disable the driver’s response to brake systems by 0–100% in steps of 10% (including disabling responses due to FCW, ACC, and DA (thus, only AEB being active). This would provide a conservative estimate of risk reductions of DA.

A sixth limitation is that the risk assessments here only include the rear-end crash scenario. Further studies should expand the application of the method to a variety of scenarios. To enable this, more research is needed to modelling driver response processes and glance behaviours in those other scenarios.

A seventh limitation is that the original crashes used in the simulations cannot, by definition, include crashes that are unique to the ADAS modality being studied. For example, DA systems might create some new crash type by their very existence—that was previously not there. For the rear-end scenario, it is hard to imagine such a crash type. However, if it exists, it cannot be analysed with our method.

An eighth limitation is that this study does not account for changes in driver behaviour when ADASs are used, other than the off-road glance behaviour. If, for example, an ADAS would prompt drivers to drive faster or keep a shorter headway (e.g. [48] shows reduced headway when driving with anti-lock brakes; ABS), the potential negative impact on the safety of such an adaptation is not covered in the current method. However, modifications to the seed kinematics could incorporate such aspects in the methodology. There are many other human behaviour changes (e.g. cognitive undivided and overreliance) that may affect driving performance when ADASs are introduced. The impacts of such ADAS-induced driver behaviour changes are also currently not addressed in the method presented here. The research topic of overreliance on ADAS is currently large and ongoing regarding how best to capture the effects [49].

A ninth limitation is that the crash risks across tasks were compared without including the total task time in the calculations. That is, the exposure component of the risk increase (over baseline driving) for the total task duration was not taken into consideration when comparing tasks (see [25, 26] for details). It is possible to include total task-time-related exposure, but it was not included in this study as it would have complicated the presentation of the main conclusions, and would, in any case, have little effect on the conclusions of the study.

5 Conclusions

Corresponding to the two aims, this study (i) demonstrated the need for a holistic safety-impact assessment of the ADAS and (ii) implemented a substantial incremental development of the what-if (counterfactual) simulation methodology for carrying out such assessment. The results of the holistic safety impact of the combination of (i) the impact of the changes in drivers’ off-road glance behaviour due to the presence of the ADAS on, with (ii) the
The safety impact of the ADAS alone illustrates how the safety benefits of the ADAS may suppress the safety impact of changes in off-road glare that active assistance systems may produce, well below what is considered safe glance behaviour (e.g. Rockwell; [18]). That is, in the application of the method to a small dataset (for demonstration purposes), the changes to the glance distributions due to the presence of ADAS were very small in comparison with the overriding importance of the ADAS. As outlined in the limitations, the precision of these results should be seen as a first step approximation that will benefit from addressing the limitations. The results from the second set of simulations, which simulated the effect of varying degrees of ADAS failures, should be reasonably robust in its trends.

This study also demonstrates concerns with assessing glance behaviours in isolation, without considering the (potential) positive safety benefit of ADAS. The study has further demonstrated that the use of what-if (counterfactual) simulations can be one tool that can assess such combined effects – in a literature review, no other study has done this prospectively and was found.

As a whole, this study is a further step towards a successively more accurate holistic risk assessment which includes driver behavioural responses such as glances and the safety effects of the ADAS. The use of what-if simulations has shown promise in previous scientific publications (e.g. f1, 4, 26, 27, 29–31, 50); this study provides further evidence of the relevance of the crash risk metric – demonstrating the usefulness of the methods in evaluating the combined risk of behaviour and system – a holistic risk assessment. As the method (and driver response models) evolves for more complex scenarios, it can be adapted to assess more complex systems (e.g. urban with cooperative systems) in the more complex scenarios.

With further evidence of real-life safety, for example, comparing simulation results with retrospective safety impact analyses of on-market systems, what-if simulations will be calibrated to real-life safety outcomes and become an effective risk tool for prospective assessment of behaviours and safety systems.

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