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Analytical fuzzy approach to biological data analysis

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Abstract
The assessment of the physiological state of an individual requires an objective evaluation of biological data while taking into account both measurement noise and uncertainties arising from individual factors. We suggest to represent multi-dimensional medical data by means of an optimal fuzzy membership function. A carefully designed data model is introduced in a completely deterministic framework where uncertain variables are characterized by fuzzy membership functions. The study derives the analytical expressions of fuzzy membership functions on variables of the multivariate data model by maximizing the over-uncertainties-averaged-log-membership values of data samples around an initial guess. The analytical solution lends itself to a practical modeling algorithm facilitating the data classification. The experiments performed on the heartbeat interval data of 20 subjects verified that the proposed method is competing alternative to typically used pattern recognition and machine learning algorithms.

1. Introduction

Data mining is increasingly motivating area of research due to an abundance of data facilitated by modern era of information technology. Data mining techniques such as classification and clustering play a vital role in the development of medical decision support systems contributing to improved healthcare quality. The medical decision making problems inherently involve complexities and uncertainties and thus the researchers have advocated the integration of fuzzy methodologies in medical data interpretation. The handling of uncertainties by
capturing of knowledge using fuzzy sets and rules together with an interpretability offered by simple linguistic if-then rules are two most important features of fuzzy methodologies. The fuzzy approaches are commonly applied to medical data classification problems (Fan et al., 2011; Gadaras and Mikhailov, 2009; Nguyen et al., 2015; Papageorgiou, 2011; Seera and Lim, 2014). The mathematical analysis of biomedical signals is performed to construct models identifying the mappings between signal features and the patient’s state. The mathematical relationship between signal features and the patient’s state is affected by uncertainties arising from individual factors (e.g. related to body conditions) that can’t be mathematically taken into account. The fuzzy filters have been previously proposed to alleviate the effect of uncertainties on medical data analysis (Kumar et al., 2007, 2008, 2010) wherein robust estimation algorithms have been applied to design a fuzzy model that identifies the functional relation between physiological parameters and subjective rating scores. Also, stochastic fuzzy modeling and analysis techniques have been introduced to take simultaneously the advantages of Bayesian analysis and fuzzy theory for a mathematical handling of the uncertainties in biomedical signal analysis (Kumar et al., 2010, 2012). A recent work (Kumar et al., 2016) introduced in a rigorous manner a stochastic framework for robust fuzzy filtering and analysis of signals. Although Kumar et al. (2016) introduced modeling and analysis framework is general and rests on strong mathematical foundations, it considers only the signal and thus can’t be directly applied to nonsignal multivariate data samples. There remains the need of automated design methods to fully exploit the uncertain handling capabilities of fuzzy systems. The typically used approaches to design the fuzzy sets and systems include evolutionary algorithms (Alcala et al., 2009; Antonelli et al., 2012; Cocoeции et al., 2011; Gacto et al., 2010; Pulkinnen and Koivisto, 2010; Robles et al., 2009), data clustering (Celikyilmaz and Turkmen, 2008; Chen and Chen, 2007; Liao et al., 2003; Oh et al., 2003), adaptive filtering (Aliasghary and Arghavani, 2012; Kumar et al., 2006, 2009; Mottaghi-Kashtiban et al., 2008; Simon, 2005), and information theoretic concepts (Aliasghary and Arghavani, 2012; Au et al., 2006; Makrehchi et al., 2003). The determination of fuzzy membership functions remains a challenge as membership functions, due to the nonlinearity of the problem, can’t be optimized analytically. Thus, most design methods of fuzzy membership functions lack in mathematical theory and are based on numerical algorithms which might be slow and inexact. Recently, (Kumar et al., 2016) introduced an analytical approach for the determination of fuzzy membership functions using the variational optimization method. The proposed analytical approach of (Kumar et al., 2016) allows to mathematically incorporate the given modeling scenario in fuzzy membership functions’ design problem and thus can be potentially extended to medical data modeling scenario. The authors observe that the application of fuzzy paradigm in medicine, despite being an extensively studied area, doesn’t provide a rigorous analytically derived methodology or approach to interpret medical data while taking mathematically into account the measurement noise as well as the individuality.

Figure 1 An uncertain signal model for a scalar $y_j$.

The medical data are multi-dimensional whose good representation by means of fuzzy membership functions is the aim of the mathematical theory presented in this study. This text introduces a data model that takes into account both measurement noise and uncertainties arising from individuality related factors. A multivariate data sample, represented as $y = [y_1 \cdots y_P]^T \in \mathbb{R}^P$, is assumed to be generated by an uncertain signal model displayed in Fig. 1. It is assumed an uncertain signal model for a scalar $y_j$. Here, $y_j$ is the observed value of an unknown scalar $m_j$ being affected by measurement noise $v_j$ and uncertainty $u_j$. The uncertainty $u_j$ (equal to the dot product of $G_j \in \mathbb{R}^K$ and $x \in \mathbb{R}^K$) is being generated by a linear combination of $K$ different sources: $(x_1, \ldots, x_K)$ that the $j$th element of $y$ is generated as

$$y_j = m_j + u_j + v_j$$

where $v_j$ is the measurement noise, $u_j$ is the uncertainty affecting the model, and $m_j$ is an unobserved scalar variable. The uncertainties are assumed to be generated by linearly transforming a K-dimensional ($K \leq P$) vector $x = [x_1 \cdots x_K]^T \in \mathbb{R}^K$ as follows:

$$u_j = (G_j)^T x.$$  

Our approach is of

1. treating all the variables (appearing in the uncertain signal model of Fig.1) as uncertain being characterized by fuzzy membership functions.
2. assuming that medical data, under the given status of a patient, is generated by a finite mixture of uncertain signal models of the type that of Fig. 1.
3. determining the fuzzy membership functions on variables with the help of experimentally measured data samples in an analytical manner using variational optimization (Kumar et al., 2016).

The approach results in a tractable solution to model the multivariate data samples by means of fuzzy membership functions and thus medical decision support systems can be built up on the top of the data models.

The modeling of data using a finite mixture of signal models of the type of Fig. 1 is typically considered in a stochastic setting assuming variables as random (i.e. characterized by
probability distribution functions) and Bayesian framework is commonly used for the inference of posterior distributions. The originality of this study lies in solving the modeling problem in a completely deterministic framework where fuzzy membership functions are defined over variables to characterize uncertainties about their values. The optimal shapes of fuzzy membership functions are determined via analytically maximizing the “over uncertainties averaged log membership” values of data samples around an initial guess. The maximization problem is analytically solved using variational optimization as suggested initially in Kumar et al. (2016). The contribution of this study is to derive the analytical expressions of fuzzy membership functions on variables of the multivariate data model leading to the development of a classification algorithm. It is demonstrated through experimental data that our approach is competing alternative to typically used classification algorithms including “k-nearest neighbors”, “support vector machines”, “decision tree”, “random forest”, “AdaBoost”, “Gaussian naive Bayes”, “linear discriminant analysis”, and “quadratic discriminant analysis”. The better classification performance of our approach is attributed to the efficient modeling of the data distribution in multi-parametric space. The significance of this work is that the analytically derived expressions for fuzzy membership functions for representing uncertainties associated with medical data would facilitate a system theoretic approach to mathematically design the medical expert systems. This would provide researchers, unlike typically used ad-hoc numerical algorithms, a mathematical theory on fuzzy membership functions’ applications in medicine.

This text is organized into sections. Section 2 introduces an uncertain model of multivariate data and an analytical solution for optimizing the data model is provided in Section 3. A practical algorithm, based on the derived analytical solution, is stated in Section 4 for the modeling of multivariate data samples. Section 5 applies the proposed approach on the experimental heartbeat interval data of 20 subjects followed by concluding remarks in Section 6.

2. An uncertain model of multivariate data

By an uncertain model, it is meant that system variables are characterized by fuzzy membership functions. Despite the availability of a wide range of fuzzy membership function types, only following two types of fuzzy membership functions are chosen to model the variables for keeping the analysis in its most basic form:

**Definition 1.** (Gaussian’s membership function (Kumar et al., 2016)). The Gaussian membership function on a vector $x \in \mathbb{R}^n$, with mean equal to $m_x$ and precision equal to $A_x$, is defined as

$$\mu(x; m_x, A_x) = \exp \left( -\frac{1}{2}(x - m_x)^T A_x^{-1} (x - m_x) \right) , \quad m_x \in \mathbb{R}^n , A^{-1}_x > 0 .$$

**Definition 2.** (Gamma membership function (Kumar et al., 2016)). The Gamma membership function on a non-negative scalar $z$ can be defined as

$$\mu(z; a, b) = \left( \frac{b}{a} \right)^{a-1} \exp( a - 1)(z)^{a-1} \exp(-bz), \quad a \geq 1, b > 0 .$$

A few examples of this type of membership functions for different values of $a$ and $b$ are provided in Fig. 2. The parameter $a$ is referred to as the shape parameter and $b$ is referred to as the rate parameter (i.e. the reciprocal of the scale parameter). The peak of the membership function is given at $(a - 1)/b$. The skewness of the membership function is inversely proportional to the value of $a$. The Gamma membership function can alternatively be represented as

$$\mu(z; r, s) = (s)^{r} \exp(r(z)^s \exp(-srz)), \quad r > 0, s > 0$$

The relations between the parameters of two forms of Gamma membership functions are as follows:

$$r = a - 1, \quad s = b/(a - 1) .$$

All of the variables, appearing in Fig. 1, are assigned carefully either of Gaussian or Gamma membership function in Definition 3, 4, 5, 6, 7, and 8.

**Definition 3** (Fuzzy membership function on $V_j$). The fuzzy membership function on $V_j \in \mathbb{R}$ is defined as zero-mean Gaussian with scaled precisions as

$$\mu(l_j; \lambda_j, z_j) = \exp \left( -\frac{\lambda_j^2 z_j^2}{2} \right)$$

where $\lambda_j > 0$ is the precision scaled by $z_j > 0$. The uncertainties of $\lambda_j$ and $z_j$ are characterized by the following Gamma membership functions:

$$\mu(\lambda_j; a_{\lambda_j}, b_{\lambda_j}) = \left( \frac{b_{\lambda_j}}{a_{\lambda_j} - 1} \right)^{a_{\lambda_j} - 1} \exp(a_{\lambda_j} - 1)(\lambda_j)^{a_{\lambda_j} - 1} \exp(-b_{\lambda_j} \lambda_j), \quad a_{\lambda_j} \geq 1, b_{\lambda_j} > 0 >$$

$$\mu(z_j; r_j, s_j) = (s_j)^{r_j} \exp(r_j(z_j)^s_j \exp(-r_j s_j z_j)), \quad r_j \geq 0, s_j > 0 .$$

Here, $r_j > 0$, and $s_j > 0$ are uncertain as well as characterized by the following Gamma membership functions:
\[
\mu(r_j; a_{rj}, b_{rj}) = \left(\frac{b_{rj}}{a_{rj} - 1}\right)^{a_{rj} - 1} \exp(a_{rj} - 1)(r_j)^{a_{rj} - 1} \exp(-b_{rj} r_j), \\
a_{rj} > 1, b_{rj} > 0
\]
\[
\mu(s_j; a_{sj}, b_{sj}) = \left(\frac{b_{sj}}{a_{sj} - 1}\right)^{a_{sj} - 1} \exp(a_{sj} - 1)(s_j)^{a_{sj} - 1} \exp(-b_{sj} s_j), \\
a_{sj} > 1, b_{sj} > 0
\]

**Definition 4 (Fuzzy membership function on \(y_j\)).** The fuzzy membership function on \(y_j \in \mathbb{R}\), for a given \((m_j, G_j, \lambda_j, z_j)\), is defined as
\[
\mu(y; m_j, G_j, \lambda_j, z_j) = \exp\left( -\frac{\lambda_j z_j}{2} (y_j - m_j - (G_j)^T x)^2 \right).
\]
The membership function on \(y_j\) is derived by replacing \(j\) in (1) by \(y_j - m_j - (G_j)^T x\).

**Definition 5 (Fuzzy membership function on \(y\)).** The multivariate fuzzy membership function on \(y \in \mathbb{R}^p\), for a given \(\{m_j, G_j, \lambda_j, z_j\}_{j=1}^p\), is defined as the product of its individual elements’ membership functions as
\[
\mu(y; m_j, G_j, \lambda_j, z_j) = \prod_{j=1}^p \mu(y_j; m_j, G_j, \lambda_j, z_j) = \exp\left( -\frac{1}{2} \sum_{j=1}^p (y_j - m_j - (G_j)^T x_j)^2 \right).
\]

**Definition 6 (Fuzzy membership function on \(m\)).** The multivariate fuzzy membership function on \(m = [m_1 \cdots m_P]^T \in \mathbb{R}^p\) is defined as Gaussian as
\[
\mu(m; m_o, \Lambda_o) = \exp\left( -\frac{1}{2} (m - m_o)^T \Lambda_o (m - m_o) \right), \\
m_o \in \mathbb{R}^p, \Lambda_o > 0.
\]

**Definition 7 (Fuzzy membership function on \(x\)).** The multivariate fuzzy membership function on \(x \in \mathbb{R}^k\) is defined as zero-mean Gaussian with precision equal to unity matrix as
\[
\mu(x) = \exp\left( -\frac{1}{2} (x)^T x \right).
\]

**Definition 8 (Fuzzy membership function on \(G\)).** The multivariate fuzzy membership function on \(G = [G_1 \cdots G_K]^T \in \mathbb{R}^k\) is defined as zero-mean Gaussian as
\[
\mu(G; \{\phi_k\}_{k=1}^K) = \exp\left( -\frac{1}{2} \sum_{k=1}^K (G_k)^2 \phi_k \right)
\]
where \(\phi_k > 0\) is the precision of \(k\)th element of \(G_k\) and is uncertain characterized by the following Gamma membership function:
\[
\mu(\phi_k; a_{\phi_k}, b_{\phi_k}) = \left(\frac{b_{\phi_k}}{a_{\phi_k} - 1}\right)^{a_{\phi_k} - 1} \exp(a_{\phi_k} - 1)(\phi_k)^{a_{\phi_k} - 1} \exp(-b_{\phi_k} \phi_k), \\
a_{\phi_k} > 1, b_{\phi_k} > 0.
\]

To model the multivariate data sample distributed arbitrarily in \(P\)-dimensional data space, a mixture of finite number of uncertain signal models is considered in **Definition 9**.

**Definition 9 (Fuzzy membership of \(y\) as a finite mixture of uncertain signal models).** The fuzzy membership function on \(y = [y_1, \cdots, y_P]^T \in \mathbb{R}^P\), for a given \(\{\pi_i\}_{i=1}^C, \Omega\), is defined as a mixture of \(C\) different uncertain signal models as
\[
\mu(y; \{\pi_i\}_{i=1}^C, \Omega) = \exp\left( -\frac{\pi_1}{2} \sum_{j=1}^P \frac{1}{\pi_1} \sum_{j=1}^P (y_j - m_j - (G_j)^T x_j)^2 \right)
\]
where \(\pi_i \in [0, 1]\) is the mixing proportion of the \(i\)th uncertain signal model with \(\sum_{i=1}^C \pi_i = 1\), and \(\Omega\) is a set of parameters defined as
\[
\pi = \{\pi_1, \cdots, \pi_K\}, \{G_1, \cdots, G_K\}, \{m_1, \cdots, m_K\}, \{r_1, \cdots, r_K\}, \{\lambda_1, \cdots, \lambda_K\}, \{z_1, \cdots, z_K\}
\]
where \(\pi \in \mathbb{R}^K\) \((K \leq P)\) is uncertain characterized by the following Gaussian membership function
\[
\mu(x) = \exp\left( -\frac{1}{2} (x - x)^T x \right);
\]
\[
G_i = [G_{i1} \cdots G_{iK}]^T \in \mathbb{R}^k\]
\( s_i \) is uncertain characterized by the following Gamma membership function:

\[
\mu(s_i; a_i, b_i) = \left( \frac{b_i}{a_i - 1} \right)^{a_i-1} \exp\left( a_i - 1 \right) (s_i)^{a_i-1} \exp(-b_i s_i), \quad a_i \geq 1, \quad b_i > 0;
\]

\( \lambda_{ij}^k > 0 \) is uncertain scalar characterized by the following Gamma membership function:

\[
\mu(\lambda_{ij}^k; a_{ij}, b_{ij}) = \left( \frac{b_{ij}}{a_{ij} - 1} \right)^{a_{ij}-1} \exp\left( a_{ij} - 1 \right) (\lambda_{ij}^k)^{a_{ij}-1} \exp(-b_{ij} \lambda_{ij}^k), \quad a_{ij} \geq 1, \quad b_{ij} > 0.
\]

### 3. Analytical optimization of mixture of uncertain signal models

Given \( N \) data samples, \( \{y^\Omega\}_{\Omega=1}^N \), the aim is to define the multivariate fuzzy membership function on \( y \) in an “optimal” manner. The approach is to optimize the fuzzy membership function (defined on \( y \) by Definition 1) with respect to \( \{\pi_i\}_{i=1}^c \) while taking into account the uncertainties of the parameters represented \( \lambda_{ij}^k \) by set \( \Omega \). To take into account the uncertainties of the parameters represented by the set \( \Omega \), the “optimal” membership functions on the parameters must be first determined. For this, assume that \( q(x') \), \( q(G) \), \( q(\mathcal{O}) \), \( q(m') \), \( q(\lambda_{ij}^k) \), \( q(s_j) \), and \( q(\lambda_{ij}^k) \) are arbitrary fuzzy membership functions on \( x' \), \( G \), \( \mathcal{O} \), \( m' \), \( r_j \), \( s_j \), and \( \lambda_{ij}^k \) respectively. Define a function, \( q(\Omega) \), as follows:

\[
q(\Omega) = \left\{ \prod_{i=1}^c q(x') \right\} \left\{ \prod_{j=1}^\mathcal{O} q(G_j) \right\} \left\{ \prod_{k=1}^m q(m') \right\} \left\{ \prod_{j=1}^n q(\lambda_{ij}^k) \right\}
\]

Define a differential functional, \( \partial q(\Omega) \), as follows:

\[
\partial q(\Omega) = \left\{ \prod_{j=1}^\mathcal{O} \partial q(G_j) \right\} \left\{ \prod_{k=1}^m \partial q(m') \right\} \left\{ \prod_{j=1}^n \partial q(\lambda_{ij}^k) \right\} \partial q(s_j)
\]

Define a differential functional, \( \mu(\Omega) \), as follows:

\[
\mu(\Omega) = \left\{ \prod_{j=1}^n \mu(s_j; a_j, b_j) \right\} \left\{ \prod_{k=1}^m \mu(m; a_m, b_m) \right\} \left\{ \prod_{k=1}^\mathcal{O} \mu(G; \theta_k, a_G, b_G) \right\}
\]

The optimization process maximizes an objective functional, \( \mathcal{F} \), defined as

\[
\mathcal{F}\left( \{\pi_i^c\}_{i=1}^c \right) = q(\Omega)
\]

\[
\mathcal{F} = \frac{1}{N} \sum_{i=1}^{N} \log(\mu(y''; \{\pi_i^c\}_{i=1}^c, \Omega))
\]

\[
\mathcal{F} = \frac{1}{N} \sum_{i=1}^{N} \pi_i^c \log \left( \frac{\pi_i^c}{\pi_i^c} \right)
\]

\( \mathcal{F} \) is maximized with respect to \( q(x') \), \( q(G) \), \( q(\mathcal{O}) \), \( q(m') \), \( q(\lambda_{ij}^k) \), \( q(r_j) \), \( q(s_j) \), and \( q(\lambda_{ij}^k) \) under the following constraints:

1. **Fixed Integral Constraints on Membership Functions:**

   \( \int q(x' = k_{x'} > 0) \),

   \( \int q(G) = k_{G} > 0 \),

   \( \int q(\mathcal{O}) = k_{\mathcal{O}} > 0 \),

   \( \int q(m') = k_{m'} > 0 \),

   \( \int q(\lambda_{ij}^k) = k_{\lambda_{ij}^k} > 0 \),

   \( \int q(s_j) = k_{s_j} > 0 \),

   \( \int q(\lambda_{ij}^k) = k_{\lambda_{ij}^k} > 0 \).

2. **Unity Maximum Value Constraints on Membership Functions:**

   The values of \( k_{x'}, k_{G}, k_{\mathcal{O}}, k_{m'}, k_{r_j}, k_{s_j}, k_{\lambda_{ij}^k} \) are so chosen such that maximum value of \( q(x') \), \( q(G) \), \( q(\mathcal{O}) \), \( q(m') \), \( q(r_j) \), \( q(s_j) \), and \( q(\lambda_{ij}^k) \) is equal to one.

3. **Unity Sum Constraint on Mixing Proportions:**

   \( \sum_{i=1}^c \pi_i^c = 1, \pi_i^c \in [0, 1] \).

The first term of \( \mathcal{F} \) computes the averaged log-membership value of data samples when the average is taken over uncertain parameters \( \Omega \) being modeled by membership function \( q(\Omega) \). The second term of \( \mathcal{F} \) regularizes the maximization problem toward initial guess \( \mu(\Omega) \). The third term of \( \mathcal{F} \) regularizes the estimation of \( \pi_i^c \) toward initial guess \( \pi_i^c \).

**Result 1.** The analytical expressions for variational membership functions, that maximize \( \mathcal{F} \) under Fixed Integral and Unity Maximum Value Constraints, are

\[
q'(x') = \exp\left( -\frac{1}{2} (x' - \hat{m}_{x'})^T \hat{L}_x (x' - \hat{m}_{x'}) \right)
\]

\[
\hat{L}_x = I + \sum_{i=1}^N \sum_{j=1}^\mathcal{O} \frac{\hat{a}_{x'_j}}{\hat{a}_{y'_j}} (\hat{m}_{G_i}(\hat{m}_{G_i})^T + (\hat{\Lambda}_{G_i})^{-1})
\]

\[
\hat{m}_{x'} = (\hat{L}_x)^{-1} \left\{ \sum_{i=1}^N \sum_{j=1}^\mathcal{O} \frac{\hat{a}_{x'_j}}{\hat{a}_{y'_j}} (\hat{y}'_j - I'_{y'} \hat{m}_{G_i}) \hat{m}_{G_i} \right\}
\]

\[
q'(G) = \exp\left( -\frac{1}{2} (G - \hat{m}_{G})^T \hat{L}_G (G - \hat{m}_{G}) \right)
\]
\[
\hat{\Lambda}_{G_j} = \left[ \begin{array}{c}
\hat{a}_{\phi_1} \\
\vdots \\
\hat{a}_{\phi_K}
\end{array} \right] \frac{1}{b_{\phi_1}} \ldots \frac{1}{b_{\phi_K}} \left[ \sum_{j=1}^{N} \sum_{i=1}^{C} \frac{\hat{a}_{\lambda_i} \hat{b}_{\lambda_i}}{b_{\lambda_i}} \left( \hat{m}_{\alpha^j} (\hat{m}_{\alpha^j})^T + (\hat{\Lambda}_{\alpha^j})^{-1} \right) \right]
\]
\[
\hat{m}_{G_j} = (\hat{\Lambda}_{G_j})^{-1} \left\{ \sum_{i=1}^{P} \int_{\Omega} \hat{a}_{\lambda_i^j} \frac{\hat{b}_{\lambda_i^j}}{b_{\lambda_i^j}} \left[ \begin{array}{c}
\hat{a}_{\lambda_{y_1}} \\
\vdots \\
\hat{a}_{\lambda_{y_P}}
\end{array} \right] \int_{\Omega} \frac{\hat{b}_{\lambda_{y_1}}}{b_{\lambda_{y_1}}} \ldots \frac{1}{b_{\lambda_{y_P}}} \left[ \begin{array}{c}
\hat{b}_{\lambda_{y_1}} \\
\vdots \\
\hat{b}_{\lambda_{y_P}}
\end{array} \right] \int_{\Omega} \frac{n}{n} - \left[ (\hat{m}_{G_1})^T \hat{m}_{\alpha^j} \ldots (\hat{m}_{G_P})^T \hat{m}_{\alpha^j} \right]^T \right\}
\]

\[
q^*(\phi_i) = \left( \frac{\hat{a}_{\phi_i}}{b_{\phi_i}} \right)^{\hat{a}_{\phi_i}^j} \exp(-\hat{b}_{\phi_i} \phi_i), \\
\hat{a}_{\phi_i} = a_{\phi_i}
\]
\[
\hat{b}_{\phi_i} = b_{\phi_i} + \frac{1}{\lambda} \sum_{i=1}^{P} \left\{ (\hat{t}_i^m \hat{m}_{\alpha^j})^2 + \text{Tr}((\hat{\Lambda}_{\alpha^j})^{-1} (\hat{t}_i^m)^T \hat{t}_i^m) \right\}
\]

\[
q^*(m^j) = \exp \left( -\frac{1}{2} (m^j - \hat{m}_{\alpha^j})^T \Lambda_{\alpha^j} (m^j - \hat{m}_{\alpha^j}) \right)
\]

\[
q^*(\lambda_{y_i}^j) = \left( \frac{\hat{a}_{\lambda_{y_i}^j}}{\hat{b}_{\lambda_{y_i}^j}} \right)^{\hat{a}_{\lambda_{y_i}^j}^j} \exp(-\hat{b}_{\lambda_{y_i}^j} \lambda_{y_i}^j), \\
\hat{a}_{\lambda_{y_i}^j} = a_{\lambda_{y_i}^j}
\]
\[
\hat{b}_{\lambda_{y_i}^j} = b_{\lambda_{y_i}^j} + \frac{1}{\lambda} \sum_{i=1}^{P} \frac{\hat{a}_{\lambda_i} \hat{b}_{\lambda_i}}{b_{\lambda_i}} \left\{ (\hat{y}_i^m \hat{m}_{\alpha^j})^2 + \text{Tr}((\hat{\Lambda}_{\alpha^j})^{-1} (\hat{y}_i^m)^T \hat{y}_i^m) \right\}
\]

\[
q^*(\lambda_{y_i}^j) = \left( \frac{\hat{a}_{\lambda_{y_i}^j}}{\hat{b}_{\lambda_{y_i}^j}} \right)^{\hat{a}_{\lambda_{y_i}^j}^j} \exp(-\hat{b}_{\lambda_{y_i}^j} \lambda_{y_i}^j), \\
\hat{a}_{\lambda_{y_i}^j} = a_{\lambda_{y_i}^j}
\]
Analytical fuzzy approach to biological data analysis

Let \( q^r(r_j) = \left( \frac{\hat{b}_{s_j}}{\hat{a}_{s_j} - 1} \right)^{\hat{a}_{s_j} - 1} \exp(\hat{a}_{s_j} - 1)(r_j)^{\hat{a}_{s_j} - 1} \exp(-\hat{b}_{s_j} r_j), \) (17)
\[ \hat{a}_{s_j} = a_{s_j}, \]
\[ \hat{b}_{s_j} = b_{s_j} + \frac{\hat{a}_{s_j}}{\hat{b}_{s_j}} \sum_{i=1}^{p} \frac{\hat{a}_{s_j}}{\hat{b}_{s_j}} - CP(\psi(\hat{a}_{s_j}) - \log(\hat{b}_{s_j})) - CP \]
\[ q^s(s_j) = \left( \frac{\hat{b}_{s_j}}{\hat{a}_{s_j} - 1} \right)^{\hat{a}_{s_j} - 1} \exp(\hat{a}_{s_j} - 1)(s_j)^{\hat{a}_{s_j} - 1} \exp(-\hat{b}_{s_j} s_j), \]
\[ \hat{a}_{s_j} = a_{s_j} + CP \frac{\hat{a}_{s_j}}{\hat{b}_{s_j}} \]
\[ \hat{b}_{s_j} = b_{s_j} + \frac{\hat{a}_{s_j}}{\hat{b}_{s_j}} \sum_{i=1}^{p} \frac{\hat{a}_{s_j}}{\hat{b}_{s_j}} \]

Once the membership functions representing the uncertainties on the parameters have been optimally determined, the optimal multivariate fuzzy membership function on \( y = [y_1 \cdots y_p]^T \in \mathbb{R}^p \) is defined by averaging over the uncertainties such that
\[ \mu^*(y) = \exp < \log(\mu(y; \{\pi_i\}_{i=1}^{C}, \Omega)) >_{\psi^0(a)} \]

where
\[ \pi_i = \frac{\pi^i \exp(f_i)}{\sum_{i=1}^{C} \pi^i \exp(f_i)} \]
\[ f_i = -\frac{1}{2} \sum_{j=1}^{p} \frac{\hat{a}_{s_j}}{\hat{b}_{s_j}} \left( y_j - \hat{m}_{s_j} \right)^2 + Tr((\hat{\Lambda}_{s_j})^{-1} \hat{\Lambda}_{s_j}) + \frac{\hat{a}_{s_j}}{\hat{b}_{s_j}} \frac{\hat{a}_{s_j}}{\hat{b}_{s_j}} \]

\[ \log(\mu^*(y)) \propto -\frac{1}{2} \sum_{i=1}^{C} \sum_{j=1}^{p} \frac{\hat{a}_{s_j}}{\hat{b}_{s_j}} \left( y_j - \hat{m}_{s_j} \right)^2 + Tr((\hat{\Lambda}_{s_j})^{-1} \hat{\Lambda}_{s_j}) + \frac{\hat{a}_{s_j}}{\hat{b}_{s_j}} \frac{\hat{a}_{s_j}}{\hat{b}_{s_j}} \]

Finally, the constant of proportionality is chosen equal to one resulting in
\[ \mu^*(y) = \exp \left( -\frac{1}{2} \sum_{i=1}^{C} \sum_{j=1}^{p} \frac{\hat{a}_{s_j}}{\hat{b}_{s_j}} \left( y_j - \hat{m}_{s_j} \right)^2 + Tr((\hat{\Lambda}_{s_j})^{-1} \hat{\Lambda}_{s_j}) + \frac{\hat{a}_{s_j}}{\hat{b}_{s_j}} \frac{\hat{a}_{s_j}}{\hat{b}_{s_j}} \right) \]

4. An Algorithm for multivariate data modeling

4.1. Algorithm

The analytical solution to mixture of uncertain signal models, derived in section (3), lends itself to Algorithm 1 for the modeling of multivariate data samples by determining membership functions on all of the variables and parameters. Algorithm 1 suggests to choose initial values of parameters based on k-means clustering and eigenvalue decomposition of sample covariance matrix.

![Figure 3](image-url)  An example of the model learned from 2-dimensional data samples using Algorithm 1 (with \( \beta = 0.5 \)).
Remark 1 (Complexity and Iterations) Algorithm 1 is based on the invoking of parameters updating rules (3–20). The time complexity of the algorithm, as a result of computing the inverse of a P × P sized matrix in update rule (10), is O(P^3).

Algorithm 1 Multivariate data modeling algorithm

Require: Data samples \(\{(y^n)_{n=1}^N\}\).

1: Choose \(C_{\text{min}}\) (the minimum value of \(C\)), \(C_{\text{max}}\) (the maximum value of \(C\)), \(K_{\text{min}}\) (the minimum value of \(K\)), and \(K_{\text{max}}\) (the maximum value of \(K\)) as

\[
K_{\text{min}} = 1, \quad K_{\text{max}} = P, \quad C_{\text{min}} = 1, \quad C_{\text{max}} = \text{round}(N^\beta)
\]

where \(\beta \in [0, 0.5]\) is a constant controlling the maximum possible number of signal models in the mixture and \(\text{round}(\cdot)\) rounds the argument to nearest integer. Choose,

\[
a_\phi = \frac{N}{2}, \quad b_\phi = 1, \quad a_{\lambda y} = \frac{N}{2}, \quad b_{\lambda_y} = 1, \quad a_{r_y} = \frac{N}{2}, \quad b_{r_y} = 1, \quad a_{s_y} = b_{s_y} = 1.
\]

2: for \(C = C_{\text{min}}\) to \(C_{\text{max}}\) do

3: Use \(k\)-means clustering to partition \(\{y^n\}_{n=1}^N\) into \(C\) different clusters for choosing \(m_{\lambda_y}^i\) equal to the centroid of \(i\)-th cluster and \(\Lambda_\phi^i\) equal to the inverse covariance of the data samples belonging to \(i\)-th cluster. The scalar \(\pi_y^n\) is initialized as equal to 1, if \(y^n\) belongs to \(i\)-th cluster, equal to 0, otherwise.

4: The vector \(\hat{m}_{\lambda_{yi}}\) is initialized as equal to \(m_{\lambda_y}^i\) and matrix \(\hat{\Lambda}_{\lambda_i}\) is initialized as equal to \(\Lambda_\phi^i\).

5: for \(K = K_{\text{min}}\) to \(K_{\text{max}}\) do

6: Let \(\{\pi_{x_k}^i\}_{k=1}^K\) denote the \(K\) largest eigenvalues of sample covariance of \(\{y^n\}_{n=1}^N\) and \(\{V_k\}_{k=1}^K\) (where \(V_k = [V_{1k} \cdots V_{Pk}]^T \in \mathbb{R}^P\)) denote the corresponding eigenvectors. The vector \(\hat{m}_{G_j}\) is initialized as

\[
\hat{m}_{G_j} = [\sqrt{\pi_{Vj_1}} \cdots \sqrt{\pi_{Vj_K}}]^T.
\]

The matrix \(\hat{\Lambda}_{G_j}\) is initialized as equal to identity matrix of size \(K\).

7: The parameters \((\hat{a}_{\lambda_y}, \hat{b}_{\lambda_y}, \hat{a}_{s_y}, \hat{b}_{s_y}, \hat{a}_{\lambda_{yi}}, \hat{b}_{\lambda_{yi}}, \hat{a}_{r_y}, \hat{b}_{r_y}, \hat{a}_{s_y}, \hat{b}_{s_y})\) are all initialized as equal to 1.

8: Initialize \(\{(\hat{m}_{\lambda_{yi}}, \hat{\Lambda}_{\lambda_{yi}})\}_{i=1}^{C_{\lambda_y}}\) by using (3-4).

9: Re-initialize \(\{(\hat{m}_{G_j}, \hat{\Lambda}_{G_j})\}_{j=1}^P\) by using (5-6).

10: Re-initialize \(\{(\hat{a}_{\lambda_{yi}}, \hat{b}_{\lambda_{yi}})\}_{i=1}^{C_{\lambda_y}}\) using (7-8).

11: Re-initialize \(\{(\hat{m}_{\lambda_{yi}}, \hat{\Lambda}_{\lambda_{yi}})\}_{i=1}^C\) using (9-10).

12: Re-initialize \(\{(\hat{a}_{\lambda_{yi}}, \hat{b}_{\lambda_{yi}})\}_{i=1}^{C_{\lambda_y}}\) using (11-12).

13: Re-initialize \(\{(\hat{a}_{s_y}, \hat{b}_{s_y})\}_{j=1}^{C_{s_y}}\) using (13-14).

14: Re-initialize \(\{(\hat{a}_{\lambda_{yi}}, \hat{b}_{\lambda_{yi}})\}_{i=1}^{C_{\lambda_y}}\) using (15-16).

15: Re-initialize \(\{(\hat{a}_{r_y}, \hat{b}_{r_y})\}_{i=1}^{C_{r_y}}\) using (17-18).

16: Re-initialize \(\{(\hat{a}_{s_y}, \hat{b}_{s_y})\}_{j=1}^{C_{s_y}}\) using (19-20).

17: Update all parameters finally by running an iteration of equations (3-20).

18: Compute average fuzzy membership value of data samples as

\[
\text{avg.memb.val}(C, K) = \frac{1}{N} \sum_{n=1}^N \mu^*(y^n)
\]

where \(\mu^*(y)\) is given by (21).

19: end for

20: end for

21: The values of \(C\) and \(K\), maximizing \(\text{avg.memb.val}\), are the optimal ones. That is,

\[
(C_{\text{opt}}, K_{\text{opt}}) = \arg \max_{(C,K)} \text{avg.memb.val}(C, K).
\]

22: return \(\{(\hat{m}_{\lambda_{yi}}, \hat{\Lambda}_{\lambda_{yi}})\}_{i=1}^{C_{\lambda_y}}\) corresponding to the case of \(K = K_{\text{opt}}\).
ship value of the data samples through repeated application of update rules.

Remark 2 (Free parameter $\beta$ in Algorithm 1) Algorithm 1 has only single free parameter, $\beta \in [0, 0.5]$, to be chosen by the user. The maximum possible number of signal models in the mixture, $C_{\text{max}}$, depends on the value of $\beta$. It will be demonstrated through experiments that algorithm’s performance is not highly sensitive to the choice of $\beta$.

4.2. Data distribution modeling

The application of Algorithm 1 on given data samples $\{y_n\}_{n=1}^N$ results in the determination of $C_{\text{opt}}$ different fuzzy membership functions on unobserved variable $m$ which (membership functions) are defined as

$$
\mu_i^\prime(m; \hat{m}_m, \hat{\Lambda}_m) = \exp\left(-\frac{1}{2} (m - \hat{m}_m)^T \hat{\Lambda}_m (m - \hat{m}_m)\right),
$$

$\forall i \in \{1, \ldots, C_{\text{opt}}\}$.

Let $M$ be the set of parameters returned by Algorithm, i.e., $M = \{(\hat{m}_m, \hat{\Lambda}_m)\}_{i=1}^{C_{\text{opt}}}$. Finally, a data model, constructed from $\{y_n\}_{n=1}^N$ using Algorithm, is represented by a fuzzy membership function defined as

Figure 4 An example of the comparison between the Gaussian mixture models and Algorithm 1 (with $\beta = 0.5$).
exploited for the classification purpose. If a vector $y$ could be predicted as samples of $S$ different classes, then the class-label associated to different sets returned by Algorithm corresponding to the data is modeled by the fuzzy membership function $\mu(y; M) = \max_{l \in \{1, \ldots, S\}} \{ \exp \left( -\frac{1}{2} (y - \bar{m}_l)^T \hat{A}_l (y - \bar{m}_l) \right) \}$. (22)

### 4.3. Classification

The data modeling capability of functional $\mu(m; M)$ can be exploited for the classification purpose. If $M_1, \ldots, M_S$ are $S$ different sets returned by Algorithm corresponding to the data samples of $S$ different classes, then the class-label associated to a vector $y$ could be predicted as

$$\text{pred.label}(y) = \arg \max_{l \in \{1, \ldots, S\}} \mu(y; M_l)$$

### 4.4. Demonstrations on Toy data sets

Fig. 3 shows an example of the 2-dimensional data samples and a display of the fuzzy membership function $\mu(y; M)$ (calculated using (22)) over the data space. As depicted in Fig. 3, the distribution of the samples $\{y_i\}_{i=1}^N$ in $P$-dimensional space is modeled by the fuzzy membership function $\mu(y; M)$. Stochastic mixture models have been extensively studied in the literature and are typically used to learn data distributions. The most commonly used Gaussian mixture models (GMM) fit the given data samples by assuming that each data sample has been generated by a stochastic mixture of a finite number of Gaussian distributions. “Expectation Maximization” algorithm is typically used for the learning of the Gaussian mixture models from data samples where the number of components in the mixture can be efficiently selected using the Bayesian information criterion (BIC). There may arise the situations when GMM don’t give favorable results. Fig. 4(a) is an example of data samples where better performance of Algorithm 1 than GMM (together with BIC) is observed. A comparison between color plots of GMM based likelihood (displayed in Fig. 4(b)) and Algorithm 1 based fuzzy membership function (displayed in Fig. 4(c)) demonstrates the effectiveness of Algorithm 1 in modeling the distribution of data samples.

### 5. Heartbeat intervals classification

The section applies the proposed methodology on the experimentally recorded heartbeat intervals (referred to as the R-R intervals) of 20 different subjects while they were performing two different types of tasks in a chemical laboratory of Zhejiang University. One task involved manual pipetting of the chemical solutions while the other task involved working with the computer. The aim is to classify heartbeat intervals of a subject between the two tasks. The $P$-dimensional data samples were created from the sequence of R-R intervals as (see Table 1)

$$Y' = [RR_{i-P+1} \cdots RR_i]^T$$

where $RR_i$ is $i$th heartbeat interval. The R-R intervals corresponding to the first half of the task duration serve as the training data and that of second half as testing data. Table 2 lists the median of classification accuracy over 20 subjects, obtained on testing data by different classification methods, for different values of data dimension $P$. The better classification accuracy of the analytical fuzzy approach in Table 2 supports the arguments that proposed approach could be an effective tool for modeling and analysis of biomedical data.

### 6. Concluding remarks

The theoretical contribution of this work is to propose an analytical fuzzy approach that provides a principled basis for

| Table 1 | A comparison of different classification algorithms with the proposed method in term of classification accuracy on testing data. |
|---------|---------------------------------------------------------------------------------------------------------------|
| Method             | Dataset 1 | Dataset 2 | Dataset 3 |
| Nearest neighbors  | 100%  | 100%  | 75%  |
| Linear SVM         | 91%  | 46%  | 51%  |
| RBF SVM            | 90%  | 100%  | 59%  |
| Decision tree      | 98%  | 100%  | 80%  |
| Random forest      | 98%  | 100%  | 73%  |
| AdaBoost           | 93%  | 97%  | 80%  |
| Naive Bayes        | 92%  | 97%  | 57%  |
| LDA                | 90%  | 29%  | 52%  |
| QDA                | 90%  | 96%  | 57%  |
| Analytical fuzzy ($\beta = 0.5$) | 100%  | 100%  | 82%  |

| Table 2 | A The median accuracy (in %) of different algorithms in classifying the testing heartbeat intervals between two tasks performed by subjects. |
|---------|---------------------------------------------------------------------------------------------------------------|
| Method             | Median of % accuracy ($P = 2$) | Median of % accuracy ($P = 4$) | Median of % accuracy ($P = 6$) | Median of % accuracy ($P = 8$) |
| Nearest neighbors  | 87.11 | 90.33 | 91.08 | 92.65 |
| Linear SVM         | 87.11 | 89.24 | 90.64 | 91.58 |
| RBF SVM            | 84.07 | 86.99 | 90.11 | 89.57 |
| Decision tree      | 84.95 | 87.22 | 88.83 | 89.57 |
| Random forest      | 86.75 | 88.93 | 90.84 | 92.51 |
| AdaBoost           | 88.36 | 90.72 | 91.87 | 92.60 |
| Naive Bayes        | 87.40 | 89.27 | 91.05 | 92.18 |
| LDA                | 88.67 | 90.70 | 91.59 | 92.99 |
| QDA                | 88.04 | 88.46 | 90.08 | 90.97 |
| Analytical fuzzy ($\beta = 0$) | 88.75 | 91.16 | 92.14 | 93.14 |
determining the fuzzy membership functions to handle uncertainties in a modeling problem. The theoretical results form the basis for designing an algorithm that results in an efficient modeling of the data distribution in multi-parametric space. The analytically derived expressions for fuzzy membership functions for representing uncertainties associated with biomedical data should facilitate a system theoretic approach to mathematically design the medical expert systems.

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