The ventromedial prefrontal cortex in a model of traumatic stress: fear inhibition or contextual processing?

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The ventromedial prefrontal cortex (vmPFC) has consistently appeared altered in post-traumatic stress disorder (PTSD). Although the vmPFC is thought to support the extinction of learned fear responses, several findings support a broader role for this structure in the regulation of fear. To further characterize the relationship between vmPFC dysfunction and responses to traumatic stress, we examined the effects of pretraining vmPFC lesions on trauma reactivity and enhanced fear learning in a rodent model of PTSD. In Experiment 1, lesions did not produce differences in shock reactivity during an acute traumatic episode, nor did they alter the strength of the traumatic memory. However, when lesioned animals were subsequently given a single mild aversive stimulus in a novel context, they showed a blunting of the enhanced fear response to this context seen in traumatized animals. In order to address this counterintuitive finding, Experiment 2 assessed whether lesions also attenuated fear responses to discrete tone cues. Enhanced fear for discrete cues following trauma was preserved in lesioned animals, indicating that the deficit observed in Experiment 1 is limited to contextual stimuli. These findings further support the notion that the vmPFC contributes to the regulation of fear through its influence on context learning and contrasts the prevailing view that the vmPFC directly inhibits fear.

Of the purported biomarkers for post-traumatic stress disorder (PTSD), reductions in the size and activity of the ventromedial prefrontal cortex (vmPFC) are among the most consistent (Rauch et al. 2003; Milad et al. 2005, 2009; Richert et al. 2006; Etkin and Wager 2007; Kasai et al. 2008; Koenigs and Grafman 2009; Kühn and Gallinat 2013). Anatomically, the vmPFC is richly interconnected with other brain regions known to support the acquisition and expression of fear—including the hypothalamus, periaqueductal gray, ventral hippocampus, and basolateral amygdala (Hurley et al. 1991; Vertes 2004)—placing it in a prime position to regulate fear behaviors. Research to date has predominantly focused on the contributions of the vmPFC to the extinction of learned fear responses, as inhibition of the vmPFC is able retard tone fear extinction and activity within the human vmPFC has been linked to fear extinction (Milad et al. 2005, 2009; Quirk and Beer 2006; Sierra-Mercado et al. 2006, 2011; Laurent and Westbrook 2009; Do-Monte et al. 2015). Such findings provide a potential bridge between biomarker and disease process.

Nevertheless, several findings are at odds with the simple hypothesis that the vmPFC supports extinction learning. First, activity of the vmPFC is also altered during the acquisition and expression of fear in humans (Phelps et al. 2004; Shvil et al. 2014) and patients with PTSD display altered activity in the vmPFC during fear acquisition/expression (Britton et al. 2005; Etkin and Wager 2007). Second, inactivation of the vmPFC has been shown to augment levels of fear in response to the presentation of a tone previously paired with shock, and activation of the vmPFC is able to decrease freezing in a similar manner (Sierra-Mercado et al. 2006, 2011; Do-Monte et al. 2015). Given that these changes are present on the very first exposure following conditioning, these findings are inconsistent with an extinction interpretation. Reports have also shown that manipulations of the vmPFC are able to alter a range of unconditioned anxious behaviors (Adhikari et al. 2015). These findings more firmly suggest that the vmPFC regulates the expression of fear rather than extinction learning, per se. Alternatively, other reports suggest that vmPFC modulates fear based upon the extent to which it is controllable (Amat et al. 2005), further complicating the role of the vmPFC in the regulation of fear.

In order to better understand the contribution of the vmPFC to behavior following trauma, we assessed the impact of pretraining excitotoxic lesions on stress enhanced fear learning (SEFL), a model of PTSD that our laboratory has developed. In this model, animals that receive a severe acute stressor (the “trauma”) display an enhancement of subsequent fear learning about mild stressors, similar to that seen in PTSD (Rau et al. 2005; Rau and Fanselow 2009). This model has the benefit of capturing an array of relevant phenotypes: trauma reactivity, associative recall of the traumatic event, fear generalization, and enhancement of fear learning.

Counter to the anticipated prediction that lesions of the vmPFC would enhance stress responses in the SEFL model, in line with evidence that the vmPFC supports fear inhibition, in Experiment 1 we found that pretraining lesions of the vmPFC reduced SEFL without altering any other measured phenotype. To explore this counterintuitive result, in Experiment 2 we used a modified version of the SEFL procedure to assess whether the reduction in enhanced fear learning was specific to contextual processing.
stimuli, as prior research has implicated the vmPFC in contextual processing (Kesner et al. 1996; Ragozzino et al. 1998; Zelikowsky et al. 2013b).

Results
Analysis
All data were analyzed using SPSS (v23). When data fit assumptions of the general linear model, ANOVAs were used to assess omnibus differences followed by post hoc t-tests controlling for multiple comparisons. For cases in which low levels of freezing were anticipated in nonshocked animals and high levels of freezing were anticipated in shocked animals, data was square root transformed to correct for heterogeneity of variance (figures depict nontransformed data). If square root transformation was not sufficient to correct for heterogeneity across shock conditions, nonparametric tests (Mann Whitney U) on nontransformed data were first used to confirm differences among shocked and nonshocked animals, and the effect of lesion was subsequently assessed using traditional parametric methods for each level of the shock variable separately. Notably, ANOVA on such data rendered the same outcomes.

In Experiment 1 (n = 32), one animal in the nonlesion group was excluded for failing to freeze at all in the trauma context, indicative of an extreme outlier. Additionally, two animals were excluded from the analysis of Day 1 in Experiment 1 due to a computer error occurring when writing the data to disk at the end of the session. In Experiment 2, only the first 10 trials of Day 1 were included in statistical analysis due to a computer error that resulted in the loss of the last five trials of data for a subset of animals.

Experiment 1: impact of vmPFC lesions on enhanced contextual fear learning following trauma
An experimental timeline for Experiment 1 can be seen in Figure 1A.

Histology
Post-experiment immunohistochemical staining of the neuron-specific marker NeuN revealed a nearly complete loss of neurons in the infralimbic prefrontal cortex. Additionally, there was loss of cells, although to a lesser extent, in ventral portions of the prelimbic prefrontal cortex, and in some animals, slight loss in the medial orbital prefrontal cortex, just anterior to the infralimbic cortex. The extent of the lesion and a representative coronal section at the central focus of the lesion can be seen in Figure 1C,D. Two animals that had been infused with NMDA were excluded for not displaying evidence of a lesion.

Day 1 — Trauma
Two weeks after the induction of excitotoxic lesions of the vmPFC, animals were placed in the trauma context, Context A, and given either 0 or 15, 1 sec, 1 mA, unsignaled shocks distributed over a 90-min period. There was no effect of lesion on post-shock freezing during this session (Lesion: $F_{(1,11)} = 0.93, P = 0.36$; Lesion × Trial Interaction: $F_{(14,154)} = 1.24, P = 0.25$; Fig. 2A). Additionally, there was no overall difference in shock reactivity between lesioned and nonlesioned animals ($t_{(11)} = 0.16, P = 0.88$; Fig. 2B). Thus, lesions of the vmPFC did not appear to alter the experience of the traumatic event.

Day 2 — Trauma memory test
When animals were placed back into Context A on the day after trauma, animals that received the traumatic stressor displayed high levels of freezing while nonshocked animals did not ($U = 0, P < 0.001$; Fig. 2C). However, there was no impact of lesion on freezing during this session (effect in trauma animals: $t_{(12)} = 0.08, P = 0.94$; effect in nontrauma animals: $t_{(13)} = 0.94, P = 0.36$). These findings suggest that lesions of the vmPFC did not alter the associative recall of the traumatic event.

Figure 1. Experimental timeline and depiction of lesion size. (A,B) Experimental timeline for Experiment 1 (A) and Experiment 2 (B). Of note, 2 wk were allowed for post-operative recovery. (C) Distribution of lesion magnitude in the medial prefrontal cortex across animals in Experiment 1. Each layer of shading represents a single animal. Coronal sections are labeled with respect to their distance anterior to bregma. Distribution of lesions was nearly identical in Experiment 2. (D) Representative immunofluorescent image of neuronal loss seen at the central focus of lesion by NeuN staining.
**Day 3—Trauma generalization and mild stressor in a novel context**

All animals were placed in a novel context, Context B, and were exposed to a single loud auditory stimulus (100 msec, 115 dB, white noise) after being allowed to explore the context for 3 min. Although animals that had previously experienced the trauma generalized slightly to this context during this initial exploratory period, reflected in higher pretstartle freezing levels relative to nonshocked animals (≈12% freezing; $U = 21$, $P < 0.001$), there was no effect of lesion on fear generalization (Fig. 2D; effect in trauma animals: $t(12) = 0.66$, $P = 0.52$; nontrauma animals: $t(13) = 0.38$, $P = 0.71$).

**Day 4—SEFL context test**

Upon returning to Context B, animals that had received the trauma displayed higher levels of freezing in this startle paired-context than animals that did not receive trauma (Fig. 2E; $F(1,25) = 43.23$, $P < 0.001$), indicative of stress-enhanced fear learning (SEFL). However, lesioned animals displayed this enhancement to a lesser extent: an interaction between lesion and trauma was found ($F(1,25) = 5.47$, $P = 0.03$) and post hoc analysis revealed that lesioned animals in the trauma condition froze less than their nonlesion counterparts (Fig. 2E; $t(12) = 2.55$, $P = 0.025$). There was no impact of lesion in nontraumatized animals on freezing in this test session ($t(13) = 0.963$, $P = 0.36$).

**Experiment 2: impact of vmPFC lesions on enhanced tone fear learning following trauma**

In order to assess whether the decrement in SEFL observed in Experiment 1 might be attributed to the fact that a contextual cue was used, Experiment 2 assessed whether enhanced fear learning for a discrete tone cue was similarly reduced in IL lesioned animals following trauma. An experimental timeline for Experiment 2 can be seen in Figure 1B.

**Histology**

The extent of lesion seen in Experiment 2 was identical to that seen in Experiment 1. Six of the animals that were infused with NMDA were excluded for misplaced or insufficient lesion.
Day 3—Trauma generalization and tone—shock pairing in novel context B

In Experiment 2, animals were conditioned with a tone in a novel context in order to subsequently assess whether the reduction of fear observed in lesion animals in Experiment 1 was specific to contextual stimuli. Animals were placed into Context B and after a 3-min baseline period were presented with a single tone paired with shock. Although trauma animals froze to some extent in this novel context during the baseline period prior to the tone relative to nontrauma animals ($U = 116, P > 0.001$), lesioned animals did not differ from controls (effect in trauma animals: $t_{233} = 1.37, P = 0.183$; effect in nontrauma animals: $t_{232} = 0.25, P = 0.81$), indicating that lesioned animals did not generalize any more than control animals.

Day 4—SEFL context test

Before proceeding to assess fear of the tone, animals were returned to Context B to assess fear of this context. In general, animals that received the trauma froze more during this test than animals that had not undergone tone—shock conditioning, evidence of SEFL ($F_{(1,45)} = 7.05, P = 0.01$; Fig. 3A). However, in contrast to Experiment 1, there was no effect of lesion, nor was there a lesion × trauma interaction, on the level of freezing in this test of enhanced contextual fear (Lesion: $F_{(1,45)} = 0, P = 0.98$; Lesion × Trauma Interaction: $F_{(1,45)} = 0.47, P = 0.5$; Fig. 3A). Notably, freezing during this session in nonlesion trauma animals was significantly higher than in Experiment 1 ($t_{(19)} = 2.12, P = 0.05$), possibly contributing to this discrepancy.

Days 5–6—Habituation to novel context C

So that fear of the tone could be assessed in the absence of any baseline contextual fear, tone fear was assessed in a novel context, Context C. In order to insure that there was no generalized fear to Context C when the tone was tested, animals were first habituated to novel Context C over the course of two days. The amount of generalized fear was assessed during the first 3 min of these habituation sessions. There was no effect of lesion ($F_{(1,45)} = 0.144, P = 0.71$), lesion × trauma interaction ($F_{(1,45)} = 0.1, P = 0.76$), or lesion × day × trauma interaction ($F_{(1,45)} = 1.93, P = 0.172$), on the amount of freezing expressed.

Day 7—SEFL tone test

Prior to the tone being presented, all groups had equivalent levels of low baseline freezing ($P > 0.1$). Upon tone presentation, animals that experienced the trauma displayed significantly more fear of the tone than animals that had not, indicative of SEFL ($F_{(1,45)} = 7.05, P = 0.01$; Fig. 3B). That being said, animals with lesions did not differ from their nonlesion counterparts (Lesion: $F_{(1,45)} = 0.02, P = 0.88$; Lesion × Trauma Interaction: $F_{(1,45)} = 0.04, P = 0.85$; Fig. 3B). Thus, lesions of the vmPFC did not impact freezing to the tone in any way.

Discussion

The medial prefrontal cortex and its subregions are commonly placed within a framework of fear excitation and inhibition (Vidal-Gonzalez et al. 2006; Sierra-Mercado et al. 2011). This view has had a broad impact upon the field of psychiatry, with alterations in the function of the vmPFC being espoused to render individuals less able to inhibit fear responses generated within subcortical regions (Britton et al. 2003; Quirk and Beer 2006; Etkin and Wager 2007; Milad et al. 2009; Shivil et al. 2014; Do-Monte et al. 2015; Motzkin et al. 2015). Counter to this, the experiments above found that although vmPFC lesions had no impact on the acquisition or expression of a traumatic fear memory, and did not alter the ability of trauma to augment the subsequent acquisition of fear for a discrete tone paired with shock, it greatly diminished the ability of trauma to augment contextual fear learning. These findings point to a dramatically different, or perhaps additional, role of the vmPFC in fear regulation: contextual processing.

The idea that the vmPFC might contribute to contextual and/or spatial processing is by no means new. It has long been known that among prefrontal subregions the vmPFC is the most richly interconnected with limbic structures that support contextual and/or spatial processing (Hurley et al. 1991; Heidbreder and Groenewegen 2003; Uylings et al. 2003; Vertes 2004). Furthermore, Kesner et al. (1996) have highlighted the role of the vmPFC in working memory for spatial representations, but not stimuli in other sensory modalities (Ragazzino et al. 1998; Kesner and Ragazzino 2003). It is therefore not that surprising that these findings would extend to the realm of fear memories. Nevertheless, the contribution of the vmPFC to contextual/spatial processing has been poorly integrated with the contribution of the vmPFC to fear. This may be attributed to the lack of communication between neuroscience subfields, and furthermore, the predominant use of discrete cues (most tones) in studies of the vmPFC’s contribution to fear (Santini et al. 2001, 2004; Sierra-Mercado et al. 2006, 2011; Burgos-Robles et al. 2007; Do-Monte et al. 2015). Notably, similar to the absence of differences in freezing elicited by a shock-paired tone in vmPFC lesioned animals in Experiment 2, these studies have similarly failed to find differences in freezing to tone CSs.

It is important to highlight that the decrement in contextual fear conditioning seen in lesioned animals in Experiment 1 runs counter to other examples of contextual fear learning in these very experiments that were left intact. We speculate that these differences are a consequence of varying contextual processing demands emerging from the procedures used.
With respect to the trauma memory tests, in which lesion animals and controls did not differ, animals were given a very long period of time to process the context (90 min) and displayed such high levels of fear that a deficit would be difficult to detect. Even in the case of the hippocampus, the bastion of spatial learning, pretraining lesions only produce deficits in contextual fear learning when animals are given a relatively short time to process the context, effectively increasing contextual demands (Wiltgen et al. 2006), or if the longevity of the memory is assessed long after learning (Zelikowsky et al. 2012). Lesioned animals and controls also did not differ in Experiment 2 when we reexamined the enhancement of contextual fear learning. These differences may have emerged from varying contextual overlap as a result of the need to use three contexts in Experiment 2 as opposed to two in Experiment 1, or the use of a shock as the unconditional stimulus in Experiment 2 as opposed to an auditory startle stimulus in Experiment 1. Indeed, the impact of these procedural differences is evidenced in control animals, which displayed significantly higher levels of fear in the test of enhanced contextual fear in Experiment 2 relative to Experiment 1. Therefore, failure to find a decrement in lesioned animals in Experiment 2 may represent a ceiling effect. However, given that our laboratory has previously found contextual fear learning differences in vmPFC lesioned animals when shock was used as the unconditional stimulus (Zelikowsky et al. 2013b), and other labs have provided similar results with mPFC manipulations when using shocks (Antoniadis and McDonald 2006; Xu and Südhof 2013), it is unlikely that the differences observed result from a specific deficit in processing the startle stimulus. Simple contextual fear conditioning using shock also alters the excitability of IL neurons (Soler-Cedeño et al. 2016). Rather, the intensity of these two unconditional stimuli might support differential amounts of contextual fear learning, which in turn altered the impact of vmPFC lesions.

In any event, we do not intend to make the claim that the contributions of the vmPFC to contextual fear learning are anything but nuanced. In a previous report from our laboratory, lesions of the vmPFC only modestly reduced contextual fear, but simultaneously increased contextual fear generalization when animals were placed in a novel context. However, full disruptions of contextual fear were seen in animals that also had lesions of the hippocampus, or when the hippocampus and the vmPFC were disconnected (Zelikowsky et al. 2013b). Such results suggest that the vmPFC supports the fidelity of contextual fear memories, often in concert with other brain regions that are able to compensate for lesions in the hippocampus, whereas inhibition produces the opposite response (Soler-Cedeño et al. 2011; Adhikari et al. 2015; Do-Monte et al. 2015). Nevertheless, these findings are also consistent with several reports that inactivation of the vmPFC or its infralimbic subregion do not impact expression of fear during fear acquisition (Sierra-Mercado et al. 2006, 2011; Zelikowsky et al. 2013b). A previous study from our own laboratory similarly found that lesions of the vmPFC were without effect on anxiety behaviors in the elevated plus maze (Zelikowsky et al. 2013b). Therefore, a simple excitation/inhibition view of the vmPFC in the regulation of fear must be reconsidered. Perhaps, such impacts on fear expression are a byproduct of an organism being uncertain of the context, as context plays an important role in regulating the expression of fear behaviors, particularly following extinction (Bouton 1984).

In closing, contextual processing plays a pivotal role in allowing organisms to constrain fear to appropriate settings, including the modulation of extinction memories. Future studies targeting the precise means through which the vmPFC supports contextual processing might therefore shed light on how disruption of this brain region in PTSD patients contributes to symptomology.

Materials and Methods

Animals

A total of 87 male, Long Evans rats, weighing between 300–350 g at the time of surgery, were used for the present experiments (Experiment 1: n = 32; Experiment 2: n = 55). Rats had food and water available ad libitum, except when taken out of the vivarium for testing, and were housed on a 12-h on/off light cycle. All animals were housed in isolation for 1 wk prior to beginning behavioral testing. During this time they were handled for ~1 min per day. The Chancellor’s Animal Research Committee at UCLA approved all procedures involving animals.

Surgery

Surgical procedures followed standard aseptic technique. Following induction of anesthesia with isoflurane and administration of the anti-inflammatory carprofen (5 mg/kg, s.c.), the skull was exposed and small holes were drilled on either side. Injectors, connected to a syringe pump via polyethylene tubing, were lowered to the following millimeter coordinates relative to bregma at a 30 degree angle: AP = 2.5, ML = 0.4, DV = −5.5. A volume of 0.1 µL of NMDA (20 mg/mL, dissolved in 1× PBS) or an equivalent volume of vehicle was infused at a rate 0.1 µL/min. Injectors were left in place for 10 min prior to being removed from the brain. The scalp wound was then flushed with saline prior to being closed with wound-clips. Animals were given an additional injection of carprofen 24 h after surgery and were maintained on an antibiotic solution of trimethoprim and sulfamethoxazole for 5 d following surgery. Animals were given 2 wk to recover prior to behavioral training/testing.

Behavioral testing

Apparatus and data acquisition

All behavioral testing took place in Med Associates (Med Associates) conditioning chambers, controlled via Med Associates Video Freeze software, as described previously (Zelikowsky et al. 2013a,b). Chambers were configured to represent distinct contexts, differing in physical appearance, luminosity, odor, and background noise. Notably, although each context (A, B, and C) was the same for all animals within an experiment, across experiments their features varied. Transport boxes to the different contexts were also varied to aid in discriminability. Scrambled shocks were delivered to grid floors in the chambers via Med Associates shock scramblers (ENV 414-S) and auditory startle stimuli were delivered using Goldwood GT-100S wide dispersion piezo tweeters mounted to the wall of the chambers and connected to an amplifier. Pure tones were delivered using Med Associates cage speakers (ENV-224AM). Sessions were recorded by near infrared cameras and freezing and motion were measured using Med Associates Video Freeze software. Using this software, motion was calculated as a average number of pixels whose gray scale value changed per frame (30 frames/sec) during the specified time. Freezing was defined as motion below a threshold that conformed to visual
insight of behavior (Zelikowsky et al. 2012; 2013a,b; Poulos et al. 2014, 2015; Perusini et al. 2016).

Experiment 1

Day 4 — Trauma. On the first day of behavioral testing, animals were transported in cages from the vivarium and placed in Context A, the trauma context, for a total of 90 min. Over this time period, animals in the trauma condition received 15, 1 sec, 1 mA unsignaled footshocks on a pseudorandom schedule. Nonshocked animals remained in Context A for an equivalent amount of time. Motion was recorded during the time of shock to measure shock reactivity, and the percentage of time spent freezing was scored during a 30 sec period beginning 30 sec after the termination of each shock.

Day 2 — Trauma memory test. Animals were placed back into Context A for 8 min and freezing was assessed.

Day 3 — Trauma generalization and mild stressor in a novel context. After a 3 min baseline period in Context B, all animals experienced a 100 msec, 115 dB, 0 msec rise time, white noise, startle stimulus. They were removed from the context 30 sec later. Freezing was examined during the first 3 min, as this provides a metric of generalized fear.

Day 4 — SEFL context test. Animals were placed back into Context B for 8 min and freezing was assessed.

Experiment 2

Day 1 — Trauma. Day 1 for Experiment 2 was identical to Experiment 1, in which animals received zero or 15 shocks in Context A.

Day 2 — Trauma test. Animals were placed back into Context A for 8 min and freezing was assessed.

Day 3 — Trauma generalization and mild stressor in a novel context. After a 3 min baseline period in Context B, all animals experienced a 30 sec, 75 dB, 2800 Hz pure tone, coterminating with a 1 sec, one mA, footshock. They were removed from the Context 30 sec later. Freezing was examined during the first 3 min to assess fear generalization.

Day 4 — SEFL context test. Animals were placed back into Context B on Day 4 for 8 min and freezing was assessed. This was done in order to assess contextual fear of the tone–shock context, for which a fear memory is also formed, and for which traumatized animals show increased contextual fear (i.e., SEFL).

Days 5—6—Habituation to novel context. In order so that fear of the tone could be assessed in the absence of any baseline contextual fear, animals’ fear of the tone was assessed in a novel context, Context C. Because animals showed some generalization of fear to this context, they were first habituated to Context C during two half hour long sessions. Freezing was examined during the first 3 min of these sessions.

Day 7. SEFL tone test. After a 3 min baseline during which baseline freezing was assessed, animals were presented with the tone used during conditioning on Day 3 a total of four times, each separated by 90 sec. Freezing was averaged across the tone periods after initial inspection of the data revealed no effects of trial number.

Histology

Brains were rapidly extracted and post-fixed in 4% paraformaldehyde solution at 4°C overnight before being switched to a 30% sucrose solution and allowed to sink over the course of a few days. Brains were then frozen and sectioned at 40 microns using a cryostat. Every fifth section was collected in wells of 1x PBS for staining, starting near the rostral pole of the prefrontal cortex and proceeding until the vmPFC was no longer visible. Tissue was stained for the neuron-specific marker NeuN. The protocol for NeuN staining is as follows. Tissue was first blocked for 1 h (5% normal goat serum, 0.5% Triton X-100, in PBS) and was then incubated in primary antibody overnight at 4°C (EMD Millipore Rabbit Polyclonal Anti-NeuN, # ABN78; diluted to 1:2000 in blocking solution). The next morning, the tissue was washed using PBS before being incubated in a fluorescent secondary antibody for 2 h at room temperature (Invitrogen Goat Anti-Rabbit IgG, Alexa Fluor 594, # A-11037). After a final set of washes, tissue was mounted onto slides and coverslipped using Vectashield containing DAPI (Vector Labs). Tissue was visually examined using a Keyence BZ-X710 fluorescent microscope and spread of the lesion, indicated by total a loss of NeuN staining, was traced onto coronal sections from the rat brain atlas of Paxinos and Watson (2007) using GIMP software (http://www.gimp.org/).

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