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Pasta Structures of Quark-Hadron Phase Transition in Protoneutron Stars

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Abstract. We study the quark-hadron mixed phase in protoneutron stars with the finite-size effects. In the calculations of pasta structures appeared in the mixed phase, the Gibbs conditions require the pressure balance and chemical equilibrium between two phases besides the thermal equilibrium. We find that the region of the mixed phase is limited due to thermal instability. Moreover, we study the effects of neutrinos to the pasta structures. As a result, we find that the existence of neutrinos makes the pasta structures unstable, too. These characteristic features of the quark-hadron mixed phase should be important for the middle stage of the evolutions of protoneutron stars.

1. Introduction

There are many uncertainties left for the quark-hadron phase transition, e.g. the equation of state (EOS) of quark matter or deconfinement mechanism. Assuming the quark deconfinement transition to be of the first order, since there are two conserved quantities as baryon number and electric charge, the phase equilibrium in the mixed phase must be carefully treated by applying the Gibbs conditions [1], instead of the Maxwell construction. A simple treatment of the mixed phase may be the bulk Gibbs calculation, where phase equilibrium of two bulk matter is considered without electromagnetic interaction and surface tension. Generally the properties of the mixed phase should strongly depend on electromagnetic interaction and surface tension, and these effects, sometimes called \textit{the finite-size effects}, lead to the non-uniform \textit{Pasta} structures. The EOS of the mixed phase becomes similar to the one by the bulk Gibbs calculation for weak surface tension, and to the one given by the Maxwell construction for strong surface tension [2, 3, 4, 5]. The charge screening is also important for their mechanical instability. In this paper, we study the quark-hadron mixed phase with the finite-size effects at finite temperature by extending the previous works [4, 5, 6]. We also present some results for the neutrino-trapping case and discuss its effect.

2. Equation of state for each phase

The theoretical framework for the hadronic phase of matter is the nonrelativistic Brueckner-Hartree-Fock approach including hyperons such as $\Sigma^+$ and $\Lambda$ [7]. There is a controversy about
the $\Sigma^- - N$ interaction. The recent experimental result about hypernuclei has suggested that it is repulsive \cite{8,9}, while we use here a weak but attractive interaction; $\Sigma^-$ then appears before $\Lambda$ in uniform and neutral matter. It would be interesting to see how our results change by using different $\Sigma^- - N$ interaction, and we will discuss it in the future work. Moreover, we adopt the frozen-correlation approximation at finite temperature \cite{6,10}. This approximation is feasible for temperature $T < 50$ MeV.

For the quark phase, we adopt the MIT bag model or the density dependent bag model consisting of $u, d, s$ quarks \cite{11}. Probably, these models are too simple to describe quark matter in a realistic way. We will adopt more sophisticated models \cite{12} in the future. We assume massless $u$ and $d$ quarks, and $s$ quarks with the current mass of $m_s = 150$ MeV. We set the bag constant $B$ to be $100$ MeV fm$^{-3}$ in the MIT bag model. In the density dependent bag model, we set the vacuum energy $B(n_B)$ as

$$B(n_B) = B_\infty + (B_0 - B_\infty) \exp[-\beta n_B]$$

with $B_\infty = 50$ MeV fm$^{-3}$, $B_0 = 400$ MeV fm$^{-3}$, and $\beta = 0.17$. Here, we set the saturation density as $n_0 = 0.17$ fm$^{-3}$. For a more extensive discussion of this topic, the reader is referred to Nicotra et al. \cite{11} and references cited therein.

For the mixed phase, we assume non-uniform matter with various geometrical structures ("pastas"); droplet, rod, slab, tube, and bubble. Introducing the Wigner-Seitz cell to treat such non-uniform structure, we use the local density approximation for particles. Between the hadron and quark phases we put a sharp boundary with a constant surface tension parameter. We impose the Gibbs conditions, i.e. chemical equilibrium among particles in two phases consistent with the Coulomb potential, and a pressure balance consistent with the surface tension. Here, we write down the conditions of the chemical equilibrium in the mixed phase;

$$\mu_u + \mu_e - \mu_{\nu_e} = \mu_d = \mu_s,$$
$$\mu_p + \mu_e - \mu_{\nu_e} = \mu_n = \mu_\Lambda = \mu_u + 2\mu_d,$$
$$\mu_{\Sigma^-} + \mu_p = 2\mu_n. \tag{1}$$

Note that we do not take into account the anti-particles in this paper.

Since the role of the surface tension to the mixed phase has been already studied in the previous papers \cite{4,5}, we use one constant value of the surface tension parameter $\sigma_S = 40$ MeV fm$^{-2}$ in this paper.

Finally, we compare the Helmholtz free energy among different kinds of pasta structures and choose which structure is most favored. The Helmholtz free energy for each cell is then given as

$$F = \int_{V_H} d^3r \mathcal{F}_H[n_i] + \int_{V_Q} d^3r \mathcal{F}_Q[n_q] + F_e + F_{\nu_e} + E_C + E_S \tag{2}$$

with $i = n, p, \Lambda, \sigma, q = u, d, s$, $\mathcal{F}_H (\mathcal{F}_Q)$ is the Helmholtz free energy density for hadron (quark) matter, and $E_S = \sigma S$ the surface energy with $S$ being the quark-hadron interface area. $F_e$ and $F_{\nu_e}$ are the free energies of the electrons and neutrinos. For simplicity, muons are not included in this paper.

3. Results

In protoneutron stars, we must consider mainly two effects, namely temperature and neutrino fractions. The range of temperature is roughly $0 - 40$ MeV, and the range of neutrino fraction is $0 - 0.2$ \cite{13}. In previous paper, we have studied the effects of temperature on the quark-hadron mixed phase \cite{6}. In this study, we check the effects of neutrino fractions and summarize the finite size effects of the quark-hadron mixed phase in the protoneutron stars.
3.1. Effects of temperature

In previous study, we have shown that finite temperature makes the mixed phase unstable [6]. Figure 1 is one example to show the mechanical instability of the pasta structures as temperature is increased. Here, we assume that the vacuum energy is constant, \( B = 100 \text{ MeV fm}^{-3} \), applying the MIT bag model. The left panel of Fig. 1 shows the free energies per baryon of the droplet structure for several values of temperature. The quark volume fraction in each cell \((R/R_W)^3\) is fixed to exclude the trivial \( R \) dependence. Here we use the optimal value of \((R/R_W)^3\) at \( T = 0 \text{ MeV} \) in every curve. We normalize them by subtracting the free-energy at infinite radius, \( \Delta F = F(R) - F(R \to \infty) \), to show the \( R \) dependence clearly. The structure of the mixed phase is mechanically stable below \( T \sim 60 \text{ MeV} \), but the optimal value of the radius \( R \) is shifted to the larger value as \( T \) increases. This behavior shows a signal of the mechanical instability and it comes from the charge screening effect and the thermal effect. To elucidate this point more clearly, we show each contribution to \( F/A \) in the right panel of Fig. 1; i.e., the Coulomb energy per baryon \( E_c/A \), the surface energy per baryon \( E_s/A \), and the correlation energy per baryon \( E_{corr}/A \). The baryon density and the temperature are set as \( n_B = 2 n_0 \) and \( T = 50 \text{ MeV} \). The correlation energy is produced by the difference of the bulk energy due to the rearrangement of charged particles. The entropy term in the bulk energy becomes dominant over the Coulomb energy in this case to exhibit the instability. The details about the effects of temperature, including EOS, are fully discussed in our previous paper [6].

![Figure 1](image1.png)

**Figure 1.** The left panel shows that the droplet radius \( R \) dependence of the free-energy per baryon for \( n_B = 2 n_0 \) and different temperatures. Here, we do not take into account neutrinos to see the effects of temperature. The quark volume fraction \((R/R_W)^3\) is fixed to be the optimal value at \( T = 0 \text{ MeV} \) for each curve. The free energy is normalized by the value at \( R \to \infty \). The filled circles on each curve shows the energy minimum. The results are for \( B = 100 \text{ MeV fm}^{-3} \), \( \sigma = 40 \text{ MeV fm}^{-2} \). The right panel shows each contribution to the \( R \) dependence of the free energy, the Coulomb energy, the surface energy, or the correlation energy per baryon \((E_c/A, E_s/A, E_{corr}/A)\) at \( T = 0 \text{ MeV} \) (thin lines) and \( T = 50 \text{ MeV} \) (thick lines).

3.2. Effects of neutrinos

The left panel of Fig. 2 shows the free energies per baryon of the droplet structure at several values of neutrino fractions. Here we use the optimal value of \((R/R_W)^3\) at \( Y_{\nu_e} = 0 \) in every curve. In these figures, we adopt the density dependent bag model shown as Eq. (1). We normalize them by subtracting the free-energy at infinite radius, as same as Fig. 1. The structure of the mixed phase is mechanically stable below \( Y_{\nu_e} \sim 0.10 \), but the optimal value of the radius \( R \) is shifted to the larger value as \( Y_{\nu_e} \) increases.

![Figure 2](image2.png)
To elucidate this point more clearly, we check each contribution to $F/A$ in the right panel of Fig. 2 again. The baryon density and the temperature are set to be $n_B = 2.5 n_0$ and $T = 10$ MeV. In this, we compare the case for $Y_{\nu e} = 0.01$ (thin lines) with the one for $Y_{\nu e} = 0.15$ (thick lines). We can see the main contributions responsible to the change of free energy are the correlation energy and the Coulomb energy, though the thermal effect appears only in the correlation energy in Fig. 1.

Why does the Coulomb energy become important for the neutrino trapped case? With the presence of neutrinos, the electron fractions becomes rich because of the chemical equilibrium Eq. (2). Accordingly the fraction of particles with positive charge is enhanced through the charge neutrality condition. Thus, the net charge density is reduced over the whole region of the cell. Consequently the Coulomb energy is largely reduced with neutrinos. The change of the correlation energy follows that of the Coulomb energy since the rearrangement effect is also reduced. Both the Coulomb and correlation energies give rise to the instability in this case.

![Figure 2](image-url)  
*Figure 2.* Same as Fig. 1, but for different neutrino fractions $Y_{\nu e}$ at constant temperature, $T = 10$ MeV. In this case, we set baryon density as $n_B = 2.5 n_0$, and the quark volume fraction $(R/R_W)^3$ is fixed to be the optimal value at $Y_{\nu e} = 0.01$ for each curve. The thick lines of the right panel are the case of $Y_{\nu e} = 0.15$, the thin lines $Y_{\nu e} = 0.01$.

Fig. 3 shows the change of the Coulomb energy by trapped neutrinos more clearly. The figure shows the density profiles within the 1D cell (slab) for $n_B = 2.5 n_0$ at $Y_{\nu e} = 0.01$ (left panel) and $Y_{\nu e} = 0.15$ (right panel). Each temperature is set to be $T = 10$ MeV. The volume ratio $(R/R_W)^3$ of the quark phase is fixed to be the optimal value at $Y_{\nu e} = 0.01$. Clearly, the magnitude of the Coulomb energy is decreased at high neutrino fraction. At high neutrino fraction, since the enhancement of electrons increases the particle fractions with positive charge, the negative charge of the quark slab is suppressed. As the result, the magnitude of the Coulomb energy by the quark slab is decreased. Of course, the particle fractions with positive charge increase in the hadron slab, too. But, they do not change so much because of their heavy masses.

4. Summary and Discussion

We have studied the quark-hadron phase transition and the properties of the mixed phase in protoneutron stars. We have taken into account the finite-size effects by imposing the Gibbs conditions on the phase equilibrium, and calculating the density profiles in a self-consistent manner.

We find that the quark-hadron mixed phase become unstable at high temperature and/or high lepton fraction. The instability by finite temperature mainly comes from the correlation energy, while the effects of neutrinos on free energy appear both in the correlation energy and the Coulomb energy.
Hence, we suggest that the EOS during the quark-hadron phase transition is close to that with the Maxwell condition at the very first stage of protoneutron stars, because the temperature is high, $T \sim 40$ MeV, and the neutrino fractions are also high, $Y_{\nu_e} \sim 0.2$. However, in the middle stage of evolution of protoneutron stars, the pasta structure will appear, where initial cooling and deleptonization proceed. Hence, the simple Maxwell condition might be enough to take into account the quark-hadron phase transition in the simulations of supernovae or black hole formations, although such treatment is inappropriate in the simulations of protoneutron star evolutions. We can see the similar discussions in the recent papers by Schaffner’s Group, though they have not included the finite-size effects [14, 15].

Finally, we note again that the EOS has many uncertainties, especially for quark matter. We simply adopted the MIT bag model and the density-dependent bag model in this paper, while other quark models, including chiral restoration or color superconductivities, may change our results [12]. These are open questions for astrophysics and nuclear physics.

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