The transition to multicellularity is perhaps the best-studied of the “major evolutionary transitions”. It has occurred independently multiple times within the eukaryotes alone, and multicellular organisms comprise virtually the entirety of Earth’s macrobiota. However, the theoretical framework used to study the major evolutionary transitions does not neatly accommodate the evolution of complex multicellularity as a process distinct from the evolution of multicellularity more generally. Here, I attempt to fill this explanatory gap. I will first give an overview of research on the major evolutionary transitions, focusing on multicellularity, and demonstrate that the theoretical framework so far utilised does not provide us with sufficient conceptual tools to explain crucial phenomena that call for explanation, such as the evolution of organs and organ systems. I will then discuss our current understanding of early metazoan evolution as paradigmatically exemplifying the evolution of complex organisation in a multicellular system, specifically regarding three core processes enabling it, namely modularisation, subfunctionalisation, and integration, allowing the provision of a general account of the evolution of complex from simple multicellularity that is potentially applicable to other such cases such as the evolution of land plants. This paves the way for a revised account of major evolutionary transitions which incorporates the evolution of complex organismal traits following the evolution of minimal autonomous reproducers while marking a shift of emphasis from reproducers to organisms.

Keywords Multicellularity · Complexity · Evolutionary transitions in individuality · Major evolutionary transitions · Biological Structuralism · Animal evolution
Introduction

The Evolutionary Transitions in Individuality or ETIs (Buss 1987; Michod 2000), and the closely-associated Major Transitions in Evolution or MTEs (Szathmáry and Smith 1995), are unique or rare events in the history of Life on Earth where novel units of biological organisation have evolved through the aggregation and integration of existing units (ETIs) or new mechanisms have evolved for the transfer of information across generations (MTEs). The ETI events include the evolution of the first prokaryotic cell, the first eukaryotic cell, multicellularity, and eusociality (Bourke 2011), whereas the MTEs include a larger set of events (e.g. also evolution of chromosomes and sex). ETIs, which are more hotly debated and the focus of this paper, have been characterised as occurring in three stages: formation of social groups through aggregation or non-dissociation after reproduction; maintenance through evolution of mechanisms ensuring internal cohesion; and transformation into complex, integrated individuals. Existing research has primarily focused on the first two stages of ETIs (Bourke 2011; Birch 2017), leaving an explanatory gap on the third stage: how exactly is it that biological entities evolve from relatively loose aggregates to full-fledged organisms?

This paper seeks to address this explanatory gap by drawing on early stages in the evolution of animals, especially key steps in the emergence of eumetazoans, to offer an abstract, structurally-oriented theory of organisational evolution. Early eumetazoan evolution is particularly interesting from this perspective precisely because it exemplifies the transformative stage of an ETI: non-eumetazoan animals, namely sponges and likely placozoans, lack many of the core features of eumetazoan complex organisation such as organs or organ systems, as well as the developmental mechanisms enabling them; furthermore, this episode in the evolution of animals is increasingly better-understood in light of modern palaeontological, developmental, and genomic evidence (Giribet and Edgecombe 2019).

The outline of the paper is as follows. I will begin with briefly expounding the notion of an ETI in the context of the Multilevel Selection framework and offer more detail on the three stages mentioned above along with existing examples from the literature, followed by emphasising the meaning and significance of the explanatory gap surrounding the third stage. I will then draw on a theory of organisational (alternatively “hierarchical”) complexity (McShea 2001, 2002) and its instantiation in early animal evolution in an attempt to fill the aforementioned explanatory gap, arguing that the transformative stage has, at least in the case of animal evolution, occurred via three interrelated processes: modularisation, subfunctionalisation, and integration. These evolutionary processes inject a dose of structuralist thinking into the otherwise heavily functionalist literature on evolutionary transitions (Griesemer 2006). I will then pinpoint the importance of another equally important aspect of the transformative stage, namely the evolution of novel developmental mechanisms underlying organisational complexity. I will then briefly discuss how all this can help us better understand the evolution of organismality and agency in animals and poten-
tually elsewhere, all the while not appealing to “progressionist”\textsuperscript{1} notions. I will conclude with a summary and prospects of this theory.

### Evolutionary transitions in individuality

The modern notion of an evolutionary transition in individuality consisting of the emergence of a novel unit of organisation (such as a multicellular organism) from pre-existing lower level units (such as unicellular organisms) was first expressed in the 80s in *The Evolution of Individuality* (Buss 1987). This contribution, alongside the later *The Major Transitions in Evolution* (Maynard Smith and Szathmary 1995), bore the virtue of bringing together and attempting to explain such seemingly disparate issues as the evolution of cooperation and germ/soma segregation in light of a more overarching framework—multilevel selection (Okasha 2006).

The problem of cooperation/altruism in particular had been a matter of particularly fierce debate in the latter part of the 20th century, evident in the pervasiveness of such scientific/philosophical works as *The Selfish Gene* (Dawkins 2016) or *Unto Others* (Wilson and Sober 1998) within academia as well as the public sphere. At the core of the debate was the question of whether or not natural selection acting at the level of higher-level units (i.e. groups or superorganisms) was needed—if at all possible—to explain the evolution of adaptations that benefitted the higher-level unit, rather than the individual organisms or their genes. The salience of the question lies in the seemingly counter-intuitive observation that quite often individual organisms forego their own evolutionary interests in service to other individuals, often closely related to them or at least belonging to the same species: why should natural selection favour such altruistic behaviour? The two books cited above exemplify two diametrically opposed approaches to this problem, though both shift natural selection’s attention away from individual organisms: the former, gene-centric approach towards somewhat cryptic genes, and the latter towards loose associations or groups.

The advent of the multilevel selection framework effectively dissipated the opposition by recognising selection at multiple levels—including the genetic, organismal, and group levels—to be instrumental in bringing about adaptive change; this, in part, was facilitated by the recognition that selection at higher levels of organisation must have occurred at some point during transitions in individuality, such as the evolution of complex multicellular organisms, since it is known for a fact that both multicellular organisms and their unicellular progenitors undergo natural selection (Okasha 2006, 2022; Godfrey-Smith 2009; Birch 2017). However, the legacy of the preceding debate has since remained: the main body of the multilevel selection literature has largely focused on the evolution of basic social traits, such as physical coherence of lower-level units, internal policing, reproductive and non-reproductive division of labour at the lower level, life-cycle bottlenecks, or apoptosis (Clarke 2010; Bourke 2011). These can be collectively characterised as pertaining to the early stages of an evolutionary transition in individuality: in the case of the evolution of multicellular-

\textsuperscript{1} Broadly understood as notions under which the major transitions marks some sort of inevitable progress in evolution; see (Okasha 2022).
ity, for example, these are associated with the evolution of simple multicellularity—a particularly frequent transition that has occurred multiple times in evolutionary history (Grosberg and Strathmann 2007; Rokas 2008; Calcott and Sterelny 2011). Very little has been said in the context of ETIs about further stages leading to the evolution of complex higher-level units (e.g. complex multicellular organisms).

This explanatory gap—as I will be calling it—has nevertheless been recognised by some authors, most notably by Bourke in *Principles of Social Evolution* (Bourke 2011; Birch 2017), where a distinction is drawn between three stages of ETIs: social group formation, maintenance, and transformation. The first stage can be achieved through the aggregation of existing units, as is observed, for instance, in the formation of the cellular slime mould *Dictyostelium* (Buss 1987; Bonner 2009) or the evolution of the eukaryotic cell (Maynard Smith and Szathmary 1995); or, alternatively, through lack of or incomplete dissociation of existing units during reproduction, as observed in the evolution of multicellular bacteria, fungi, plants, or animals—normally through changes in mechanisms of cell division and co-option of membrane proteins—as well as the evolution of eusocial hymenopteran colonies. The second stage is achieved through the evolution of mechanisms ensuring internal cohesion, protecting against “subversion from within” (Okasha 2006; Clarke 2010). Classic examples include the evolution of life-cycle bottlenecks, reproductive division of labour, and internal policing mechanisms—all serving to reduce genetic variation at the lower level of organisation (e.g. cells, individuals in a colony) and thereby maintain the stability of the higher-level unit.

While the third stage has—to my knowledge, at least—not been explored explicitly in such terms, an independent line of research provides inspiration for what it might roughly look like: this is what McShea calls “hierarchy theory” and is primarily concerned with the evolution of hierarchical organisation and complexity (McShea 1996, 2001, 2002, 2016a, b, 2017; McShea and Changizi 2003). In the following section, I will provide a brief description of some of the core ideas in this line of research, followed by applying a modified version of the theory to early (as well as later) eumetazoan evolution to show how it can fill the aforementioned explanatory gap on the third stage of social evolution.

Before moving on, it is worth noting that a potential reason why the main body of the evolutionary transitions literature has not focused on this third stage is that this literature has been historically “functionalist” in outlook, *sensu* Griesemer (Griesemer 2006)—in short, mainly concerned with abstract theories rooted in population genetics that attempt to explain evolutionary transitions with references to adaptive effects of novel genetic mutations, without strong emphasis on structural features of organisms that could fill the gap between genetic variation and complex adaptations such as body parts, or the developmental processes that underpin these features. This functionalist outlook arguably lends itself far more naturally to earlier stages of transitions, where the relevant players form a simple hierarchy of genes and lower-level and upper-level units. What follows from here takes a complementary outlook: an

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2 Though see (Budd 2006) for a similar discussion, which is aimed primarily at explaining the origins of morphological characters, as well as (Bock and Von Wahlert 1965) for a somewhat similar discussion centred on the relationship between form and function.
emphasis on structural features occupying several intermediate levels of organisation, e.g. tissues, organs, and organ systems, and their underlying developmental processes. In doing so, it enriches the literature on evolutionary transitions by filling the aforementioned explanatory gap, provides a general theory of the evolution of novel characters, and shows the value of structuralist and processual views in evolutionary biology.

**Structural and processual complexity**

**What kind of complexity?**

There are perhaps as many notions of complexity as there are problems that are not easy to solve, each notion serving to capture why the problem is as it is. Accordingly, any notion of complexity ought to be defined in order to serve a particular purpose. There are two broad notions of complexity relevant to the present discussion: structural and processual—corresponding closely to McShea’s object and process complexity (McShea 1996). Structural complexity can in turn be categorised into vertical and horizontal complexity (hierarchical and non-hierarchical in McShea’s terms). Vertical structural complexity is taken to refer to the number of discernible levels of organisation within a system, whereas horizontal structural complexity is taken to refer to the number of types of parts at each level of organisation below that of the whole system. The present discussion is concerned primarily with vertical structural complexity and vertical processual complexity, though both kinds of horizontal complexity also feature in the arguments presented. Specifically, the aim of this section is to (1) demonstrate that vertical complexity has in fact increased during the early stages of eumetazoan evolution, as well as in some cases in later eumetazoan evolution; and also to (2) show that there is a general pattern to be found in the evolution of vertical complexity resulting from three interrelated processes mentioned above: modularisation, subfunctionalisation, and integration.

**Early eumetazoan evolution: a case study**

Eumetazoa (Greek neologism: *true animals*—(Bütschli, 1910)), comprising the vast majority of living animals, were named as such to highlight their more pronounced degree of organisation compared to the remaining metazoans (= animals)—the Parazoa (Greek neologism: *near-animals*—(Sollas 1884)), including the simpler Porifera or sponges. Both Metazoa and Eumetazoa are now widely accepted to form monophyletic taxa (= clades), meaning that their respective members are more closely related to each other than they are to members of any other group, and therefore share each other’s evolutionary history and homologous traits. Thus, the distinction

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3 This, of course is an idealisation: while there are discernibly different cell *types* in a multicellular organism, for example, there are also intermediate types. It is nevertheless a useful idealisation precisely because the types are sufficiently discernible as well as explanatory. Where this is not so, degree of differentiation among parts serves as an equally useful and sufficiently similar concept.
drawn between eumetazoans and non-eumetazoans enjoys a firm phylogenetic basis: the core set of traits distinguishing eumetazoans and non-eumetazoans, their unique body plan foremost, was indeed present in their last common ancestor, and absent in the last common ancestor of all animals (including sponges). In other words, the complexity found in Eumetazoa is an evolutionary novelty unique to this clade.

The significance of this fact in the present context is that it allows us to make a case study out of the transition from sponge-grade complexity to eumetazoan-grade complexity: from simple to complex multicellularity. As we shall see, at the core of this transition lies the evolution of the eumetazoan body plan, which is distinct from the sponge body plan in that it is structurally highly integrated and fixed at an early stage in development and is underpinned by a specific and highly conserved developmental mechanism, which has subsequently been utilised to give rise to organs—another feature not found in non-eumetazoan animals. These evolutionary innovations are tightly linked with the aforementioned increase in vertical structural complexity as they make up the emergence of an integrated higher-level unit from a relatively loose association of lower-level units and the emergence of two of the most prominent intermediate units of organisation—namely organs and organ systems.

It should be noted, however, that there are other senses of complexity that have arguably decreased in the transition to eumetazoan complexity: since the emergence of a new level of organisation is tightly linked to integration (as will be discussed at length below), notions of complexity that take systems with lower degrees of integration and a stronger reliance on self-organisation (e.g. ant colonies) to be more complex will likely count the evolution of eumetazoans as an example of a decrease in complexity. This is not what is meant here, as I have tried to clarify so far.

Three processes and structural complexity

In this section, I argue that transformation of stable social groups into full-fledged organisms occurs principally via three processes. These are modularisation, integration, and subfunctionalisation⁴ (Fig. 1), successively giving rise to higher vertical complexity—i.e. emergence of new, intermediate levels of organisation and consolidation of the higher level—accompanied by increases in horizontal complexity pertaining to intermediate levels: organs and organ systems in the case of multicellularity; and decreases in horizontal complexity at the lower level: loss of parts within cells in the case of multicellularity (Beklemishev 1969; McShea 2002)⁵. Before proceeding, it must be pointed out that while the processes are to some degree interdependent and tend to come in particular orders (e.g. modularisation tends to precede subfunctionalisation), it will become quickly evident that, overall, they occur fairly independently of each other and often simultaneously.

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⁴ For a somewhat similar discussion see (Thornhill and Ussery 2000); furthermore, it is worth noting that Williston’s law (Gregory 1935), though this is more directly about the number of parts/modules.

⁵ Briefly put, evidence suggests that during the transition to multicellularity, the number of parts within cells actually decreases on average due to specialisation. For an extreme exemplification, compare any self-sufficient ciliate with the haemoglobin-packed mammalian erythrocytes.
Some terminological clarification is also necessary. “Specialisation” and “differentiation” are closely related terms and are used somewhat interchangeably in the literature, but here the latter is taken to refer specifically to cells becoming more specialised, and the former is taken to be the more general term, such that it can include subfunctionalisation at intermediate levels of organisation between cells and the organism. In other words, specialisation is a general process including differentiation at the cell level, and subfunctionalisation at intermediate levels.

I will now draw on early animal evolution to provide examples of these processes; note, though, that the starting point here is what I call the “colonial” stage found at the end of the maintenance stage described above: a stable social group with mechanisms of internal cohesion as well as some degree of reproductive and non-reproductive division of labour—as observed in sponges in the case of animal evolution.

Modularisation

The first key step in the evolution of organism-level complexity is modularisation: the structural subdivision of the social group/colonial-grade organism into similar parts or modules, amounting to the evolution of a level of organisation. In the case of early animal evolution, this can be observed in the modular organisation of sycoid and especially leuconoid sponges, as well as that of the Ediacaran *Dickinsonia* and its

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6 Coloniality is sometimes used differently in the literature; e.g. (Blackstone and Jasker 2003).
close relatives (e.g. Yorgia, Andiva)—though it is at present difficult to tell if these are homologous or the result of convergent evolution, given uncertainty around the nature of their last common ancestor (Nejad Kourki 2021). Modularisation has also occurred later on in animal evolution, most notably in the evolution of segmentation in bilaterians, either independently multiple times or a single time at their base followed by multiple losses (Balavoine and Adoutte 2003; Couso 2009; Chipman 2010; Arendt 2018). Segmentation is found most prominently in the arthropods (e.g. crustaceans, insects, myriapods, chelicerates, and the extinct trilobites); annelids (polychaetes such as Platynereis, oligochaetes such as the Common Earthworm, and leeches), and chordates (e.g. vertebrates, Branchiostoma)—where individual segments are the modules of the body plan.

The transition to modular organisation could be due to various selective pressures, for example more efficient water transport in sponges, or simply a result of developmental constraints such as growth by a periodic mechanism as observed in the aforementioned extinct Ediacarans (Dunn, Liu et al. 2018, Ivantsov, Zakrevskaya et al. 2020). Either way, it results in the formation of semi-independent units of organisation intermediate between the higher and lower levels of organisation, which can then undergo structural and functional specialisation—i.e. division of labour—at an intermediate level of organisation. In other words, it paves the way for subfunctionalisation.

Before moving on to discussing subfunctionalisation, it is important to point out that modular organisation in the sense defined here could in principle be confused with colonial organisation in the case of some multicellular animals, notably corals and ectoprocts (=bryozoans). In both cases, the lower-level units are individual “polyps” equivalent to other individual organisms across eumetazoans, and the higher-level units are colonies of polyps; thus, these are examples of another ETI beyond that of the transition to multicellularity, rather than further steps in the same transition. Indeed, both ectoproct and siphonophore (close relatives of corals including the infamous Portuguese Man‘o’War [Physalia]) colonies have been termed “superorganisms”, after usage of the same term in the case of eusocial insect colonies (Bourke 2011; Nielsen 2012). The source of potential confusion is the occasional use in the general literature of the term “module” to refer to lower-level units in these cases.

Subfunctionalisation

Subfunctionalisation consists of the acquisition of novel structural and functional features in parts of an established or emerging systems. Thus, it can be characterised in the present context as an increase in horizontal complexity pertaining to intermediate-level units. It is clearly akin to differentiation; however, differentiation is taken here to pertain to lower-level units—i.e. cells in the transition to multicellularity and increasing numbers of their types (Arendt 2008, Arendt, Musser et al. 2016). It is worth emphasising that this distinction between differentiation and subfunctionalisa-

7 Though the inclusion of these fossils in the animal kingdom has long been a matter of contention, there is mounting support for it (Bobrovskiy, Hope et al. 2018, Dunn, Liu et al. 2018).

8 Whether the term “superorganism” is a useful one at all is a matter of contention; see (Okasha 2022).
tion is drawn in order to explain the intuitive difference between specialisation of parts in a colonial-grade (e.g. sponge, ant colony) vs. an organism-grade system. Furthermore, while modularisation can pave the way for subfunctionalisation, there is no implication that subfunctionalisation requires modularisation to precede it. I will now turn once again to examples from animal evolution to substantiate these claims.9

Segments and appendages

As briefly mentioned above, the evolution of modules of different kinds, including segments and the more broadly-defined metameres (Couso 2009) is often followed by the subfunctionalisation of individual modules, amounting to division of labour at the intermediate level of organisation. The evolution of arthropods and vertebrates exemplify this process: within the arthropods, most body segments in both the extinct trilobites and the living myriapods (=centipedes and millipedes) are more or less undifferentiated, with the notable exception of segments bearing eyes, antennae, mouthparts, or the heart. Crustaceans, on the other hand, have several different kinds of segment, each with their own specialised appendages (as well as some with specialised internal organs); these include (in malacostracan crustaceans) thoracic segments bearing antennae, eyes, mouthparts, maxillipeds, chelipeds, and walking legs, and abdominal segments bearing swimming legs and the tail (uropods and telson). Insects and arachnids follow a broadly similar pattern, and so do vertebrates: the simplest chordates (the phylum containing vertebrates) with the most conserved body plan (e.g. Branchiostoma) parallel the simpler arthropods in that most (though, again, not all) of their segments are also more or less undifferentiated—notable exceptions being segments bearing gill slits. Later-diverging clades, such as cartilaginous or bony fishes, have more differentiated segments with more diverse functions and bearing more diverse parts: fins, opercula of gills, gill arches, and jaws are well-known examples (Nielsen 2012). Furthermore, tetrapod segments have ribs and vertebrae of varying morphology—the ribless abdominal segments of mammals and the prominent sternal keels of birds are notable examples; and the same holds for their serially homologous yet distinct appendages—the forelimbs and the hindlimbs—capable of taking up a diverse array of functions including walking, running, swimming, flying, and object manipulation.

Digestive organs and hearts

The evolution of complex digestive systems with multiple organs in various taxa exemplifies subfunctionalisation not necessarily as tightly linked to modularisation as the specialisation of segments and appendages. The degree of subfunctionalisation in the digestive systems of eumetazoan taxa is highly variable (Annunziata, Andrikou et al. 2019), ranging from a simple cavity with little to no spatial separation of digestive and absorptive functions (e.g. cnidarians, xenacoelomorphs), to the highly sub-

9 Any reader familiar with genetics will recognise that subfunctionalisation in this sense and its importance has also long been recognised in the case of genes, with the only difference being that it is normally preceded by duplication rather than modularisation.
functionalised digestive tracts of vertebrates and insects consisting of various organs (e.g. stomach, intestine, crop, etc.), efficient enough to break down food into macromolecules and eliminate the need for phagocytosis (Steinmetz 2019), as well as similarly subfunctionalised (yet highly diverse) ones such as those of many molluscs (Lobo-da-Cunha 2019). While a comprehensive description and analysis of the evolution of digestive system subfunctionalisation is far beyond the scope of this paper, it is at least clear that the maximum level of subfunctionalisation has increased over the course of animal evolution, likely in order to cope with the advent of predation and phytophagy from the early Cambrian onwards (Conway Morris 2003, Sperling, Frieder et al. 2013, Budd and Jensen 2017).

Another similar example involves the evolution of hearts via the functional specialisation of part(s) of the circulatory system for pumping blood or haemolymph (Sohal, Nghiem et al. 2001, Xavier-Neto, Davidson et al. 2010, Jensen, Wang et al. 2013, Göpel and Wirkner 2018). As with the evolution of organs as part of the digestive system, the emergence of hearts does not strictly require previous modularisation, though in some cases (e.g. annelids, vertebrates) previous modularisation does play a role.

Integration

Integration is here quite broadly construed as an evolutionary process whereby previously novel intermediate-level parts are formed out of the association of lower-level or lower-intermediate-level parts and is a core component in increasing vertical structural complexity. It is closely related to the concept of individuation, sometimes contrasted with modularisation as the latter involves the formation of several similar units out of a single whole that may be well-integrated or relatively loosely-integrated (Winther 2001; Schlosser and Wagner 2004; Wagner 2014, DiFrisco, Love et al. 2020). However, integration is distinct from individuation in that it is more broadly encompassing and includes such phenomena as the evolution of organ systems and the entire body in addition to the evolution of organs or body regions happening through individuation. Integration can be relatively localised, resulting in individuated structures such as organs or body regions; or it could be more globalised (i.e. affect the entire body) and result in the formation of an integrated body plan or entire organ systems. Thus, though not all of the following examples neatly fall into the local/global categorisation, some do exemplify one end of the spectrum rather than the other. Some are also tightly linked to modularisation and subfunctionalisation: modularisation often precedes integration, and subfunctionalisation and integration co-occur in some cases (e.g. evolution of the heart). Finally, it is important to note that integration is normally built “on top of” differentiation—that it comprises, in other words, of specialisation at a level higher than that of individual cells—and thereby the following examples mostly consist of differentiated cells of various types being integrated together in organs and organ systems.
Body plans and body regions

The defining feature of eumetazoans is their possession of an integrated body plan that comes in an astounding variety of shapes and sizes, ranging from the sac-like, radial or quasi-radial body plan of ctenophores and cnidarians to the worm-like, bilateral body plan of most bilaterians, highly derived bilateral body plans of arthropods, molluscs, and vertebrates, and even the divergent body plan of adult echinoderms and tunicates (Nielsen 2012). Though the exact evolutionary relationship between the cnidarian, ctenophore, and bilaterian body plans remains a matter of debate (Genikhovich and Technau 2017, Nielsen, Brunet et al. 2018, Lebedeva, Aman et al. 2021), it is generally accepted that they are on the whole homologous—i.e. the integrated eumetazoan body plan underpinned by Wnt and BMP signalling and spatially specified by homeobox gene expression was present, in some form, in the last common eumetazoan ancestor, and absent in the sponges (though homology with the placozoan body plan seems plausible (Dubuc, Ryan et al. 2019)). The eumetazoan body plan is also distinct from sponge morphology in that it contains (with some exceptions such as tapeworms) a digestive system—either a cavity as in cnidarians or a tract as in most bilaterians—which represents specialisation and later integration of cells performing digestive and absorptive functions into an organ system and associated organs (the latter through subfunctionalisation; see above).

Overall, the evolution of the eumetazoan body plan through distinct developmental genetic mechanisms marks a shift away from the colonial/modular sponge morphology where cells are sufficiently independent to reaggregate after complete dissociation, giving sponges spectacular regenerative abilities (Eerkes-Medrano, Feehan et al. 2015), and towards greater interdependence between cells, decreased regenerative abilities in larger organism and smaller dependence of body morphology on environmental factors. This has in turn laid the groundwork for the evolution of integrated tissues, organs, and organ systems.

A similar evolutionary process is the evolution of body regions, often on a pre-existing modular body plan. Some examples include tagmatisation in arthropods (Zrzavý and Štys 1997; Balavoine and Adoutte 2003; Couso 2009), the tripartite coelom of chordates and hemichordates (Giribet and Edgecombe 2020), and the emergence of the head in disparate bilaterians (Vinther, Parry et al. 2017, Nanglu and Caron 2018, Aldea, Subirana et al. 2019, Chipman and Edgecombe 2019). The evolution of body regions, as paradigmatically exemplified by tagmatisation in insects giving rise to the head, thorax, and abdomen marks the integration of modules into units with overlapping functions and facilitates the subfunctionalisation of the modules; e.g. specialisation of the head for sensation and ingestion, the thorax for locomotion, and the abdomen for food absorption, reproduction, waste excretion, etc. It also marks the evolution of intermediate-level units of organisation.

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10 Modularity is best ascribed to sponges with leuconoid and (especially) syconoid organisation, rather than the much simpler asconoids.
Circulatory systems

In smaller animals, or more generally animals with a very high surface area to volume ratio (e.g. sponges, tapeworms), individual cells are capable of exchanging nutrients and gases with their environment and sending signals (e.g. hormones) to one another via simple diffusion or cell-level processes such as facilitated diffusion or active transport. Nevertheless, increasing size results in decreasing surface area to volume ratio, rendering such processes insufficient for the transfer of nutrients and gases as well as intercellular signals between cells and the environment and between themselves difficult to achieve, in turn necessitating the evolution of systems of internal transport or circulatory systems (Ruppert and Carle 1983). Circulatory systems play a crucial enabling role in the integration of animal bodies by allowing the transfer of nutrients, gases, and signals over long distances through a single, interconnected system. As mentioned before, their emergence is often followed by specialised contractile parts later evolving into hearts, thereby also paving the way for subfunctionalisation.

Metanephridia and kidneys

Besides exchanging nutrients and gases, animals also need to excrete their metabolic waste into the environment. Once again, animals with the highest surface area to volume ratio achieve this via diffusion alone. Most animals use specialised cells to achieve this, either in very small proto-organs called protonephridia (e.g. as found in turbellarian flatworms), or in larger, more integrated collections of cells formed into organs called metanephridia or paradigmatically in kidneys (Bartolomaeus and Ax 1992). The evolution of metanephridia and kidneys from oligocytic protonephridia exemplifies organ-level integration and the emergence of specialised intermediate-level parts in animals.

Ganglia, sensory organs, brains, and the CNS

The earliest-diverging animals, namely sponges and placozoans (though the latter may be secondarily-simplified eumetazoans; see (Laumer, Gruber-Vodicka et al. 2018)), lack neurons or sensory organs (Nickel 2010), with intercellular signalling and signal reception from the environment occurring through most cells; though placozoans likely have some specialised sensory cells (Varoqueaux, Williams et al. 2018). Virtually all eumetazoans have neurons and sensory cells, and many possess sensory organs of some complexity (examples abound, but a few well-known ones are statocysts, rhopalia, numerous types of eyes, mechanosensory organs such as ears, tympana, and lateral line organs, chemosensory tentacles, antennae, and noses) and varying levels of nervous system centralisation such as nerve nets, nerve rings, single or multiple nerve cords, modularised nerve cords with partially-integrated ganglia, or highly-integrated collections of ganglia—i.e. brains—are found across eumetazoans (Arendt, Tosches et al. 2016, Martín-Durán, Pang et al. 2018). The evolution of sensory organs and nervous systems (as well as the closely-associated endocrine systems) via integration of neurons and sensory cells has likely played a major role in the evolution of large, complex animals by allowing communication between
cells far away from each other and thereby affecting one another physiologically and developmentally through intracellular signalling pathways, in contrast to the predominant autocrine and paracrine signalling observed in sponges and placozoans.

**Muscles and limbs**

Muscle cells are another character present in eumetazoans but absent in non-eumetazoans. Though cellular contraction does occur in sponges (Nickel 2004; Elliott and Leys 2007, Nickel, Scheer et al. 2011, Bond 2013) and placozoans (Armon, Bull et al. 2018), it is achieved without using specialised cells for doing so; in other words, there is no cell-level differentiation of contractile function. Among eumetazoans, ctenophores and cnidarians possess smooth and occasionally striated, more specialised, muscle cells as part of the epithelium (epitheliomuscular cells) but lack integrated muscle tissues (Steinmetz, Kraus et al. 2012). On the other hand, many bilaterians possess sheets of mesodermally-derived smooth and striated muscle tissues arranged in sheets in diverse orientations, underlying either locomotion or movement of food within the digestive cavity or tract (Mackie and Singla 1987; Hochberg and Litvaitis 2000, Gschwentner, Mueller et al. 2003, Hochberg, O’Brien et al. 2010, Meyer-Wachsmuth, Raikova et al. 2013), representing a level of integration of muscles above the cellular level. Furthermore, some bilaterians, notably numerous arthropods, molluscs, and vertebrates, have evolved specialised organs—i.e. limbs—through the integration of muscle and skeletal tissues that aid in the locomotion of the whole body as well as the manipulation of objects. Organised, integrated muscle tissues and especially limbs exemplify the evolution of intermediate-level structures bearing organism-level adaptative functions.¹¹

**Germ line**

Within the ETI framework outlined above, a distinction is often made between reproductive and non-reproductive division of labour (Godfrey-Smith 2009; Bourke 2011). This is primarily because reproductive division of labour—instantiated in the evolution of multicellularity as the emergence of germ lines (i.e. specialised gamete-producing cell lineages) and in the evolution of eusociality as the emergence of worker and queen castes—enables the transfer of fitness from the lower to the higher level (Buss 1987; Michod and Nedelcu 2003; Michod 2005)¹², marking the emergence of adaptiveness at the higher level. There are varying levels of germ-line specification and sequestration in the animal kingdom: sponge germ cells are not sequestered and are instead differentiated primarily from the pluripotent archaeocytes (Funayama 2008), whereas the spatially disparate cnidarian germ cells are derived from more differentiated stem cells (Nieuwkoop and Sutasyrua 1981). Germ lines are

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¹¹ Notably, Arnellos and Keijzer (Arnellos and Keijzer 2019) present a broadly similar discussion on the evolution of complexity in animals centred around the evolution of muscular locomotory systems.

¹² Or, in other terms, the transition from multilevel-selection type 1 to multilevel-selection type 2. In the former, the fitness of the group is simply the aggregate of the fitnesses of lower-level units, whereas in the latter the higher-level unit (or group) reproduces independently of the lower-level units and therefore its fitness is not simply the aggregate of the fitness of its component units (Okasha 2006).
ancestrally present in bilaterians, though there have been multiple losses (Extavour 2007); furthermore, they are often organised in specialised organ-level structures, namely testes and ovaries (Leonard and Córdoba-Aguilar 2010). The evolution of testes and ovaries in animals marks further integration of germ cells from their non-sequestered state in cnidarians into integrated germ lines supported by auxiliary tissues in individuated organs at intermediate levels of organisation.

**Limits and merits of the three processes theory**

Though there are many traits whose evolution fits fairly neatly into the three-process paradigm described here, there are other traits of organisms that do not. For example, less-differentiated cells (e.g. fibroblasts, stem cells, etc.) are conspicuously present and widely scattered in the bodies of animals, and their evolution cannot be straightforwardly explained in terms of any of the present three processes. Another example concerns skeletons: while the evolution of internal or external skeletons does bear the mark of integration, it is more the integration of the extracellular matrix and only partly that of the cells producing it. In a similar vein, lungs evolve as an enlargement of a part of the embryonic digestive tract (Swarr and Morrisey 2015), and their evolution is therefore ascribable to subfunctionalisation only by a stretch. In other cases, entire sets of related modules undergo through major changes in functions and are therefore not straightforwardly cases of subfunctionalisation, such as in the case of the evolution of fish fins to tetrapod limbs. The takeaway message is that the three-process paradigm proposed here is an explanatory model with inevitable idealisations and therefore exceptions. Though it is not an attempt at providing a full account of the evolution of metazoan traits, it does serve two related purposes, as mentioned earlier. Firstly, it provides a general theory of character evolution that, on the one hand, unifies the evolution of a large set of otherwise apparently contingent characters, and on the other hand avoids simple recourse to genetic mutations as the prime cause of the emergence of characters. Secondly, it incorporates well-known cases of the evolution of metazoan characters into the ETI framework by showing how the evolution of these complex, adaptive, organism-level traits characterises the emergence of vertical structural complexity in animal evolution.

**From individuals to organisms: a shift of emphasis**

One potential issue arising from the discussion so far is that the three processes presented here often give rise to traits that do not squarely fit within the context of ETIs. Take, for example, the evolution of wings from forelimbs previously used for walking via a gradual process primarily involving subfunctionalisation. Unlike, say, the evolution of policing mechanisms, this particular evolutionary process seems explanatorily unrelated to the evolution of individuality at a higher level, as it is not involved in the *transfer of fitness* from the lower to the higher level. Somewhat similarly, the evolution of circulatory systems in relatively large organisms can simply be explained by reference to evolutionary pressures relating to size itself, rather than being directly related to the evolution of higher-level individuals, despite playing a role in increasing interdependence between parts of the higher-level unit.
One response to this problem is to admit that while the processes picked out here underpin the evolution of some traits that are clearly implicated in ETIs to varying extents (e.g. germ lines and centralised nervous systems), they also inevitably underpin the evolution of other traits that do not—at least in no straightforward way. While this response evades the full force of the criticism, it also loses much of the steam of the view expounded in this paper. In other words, this response effectively relegates our three processes to a partial theory of character evolution, and renders them only tangentially relevant to ETIs.

Another superficially similar yet substantially stronger response is as follows: while one must admit that many traits underpinned by our three processes do not fit neatly within the ETI framework, this ought to be deemed a limitation of this framework. As discussed above, this framework has left us with an explanatory gap with respect to dealing with the transformation of social groups/evolution of complex organisation. I have tried to argue that one viable way of filling this explanatory gap is to identify evolutionary processes that give rise to organismal complexity. By doing so, I have effectively extended the framework beyond its original formulation and into incorporating explanations of the evolution of organismal traits more generally. In other words, recognising the importance of the evolution of complex organisation marks a shift of emphasis from evolutionary individuals to organisms in the context of ETIs, which makes for a more comprehensive framework than the current one. In short, the response is that we ought not to restrict ourselves to the evolution of what is strictly implicated in ETIs in a strict sense, and instead incorporate them into a broader framework seeking to explain the evolution of organisms.

Nevertheless, it still seems that the evolution of some traits underpinned by the three processes—paradigmatically wings—remains somewhat irrelevant: while the evolution of circulatory system results in a more integrated organism with higher interdependence between parts, the evolution of wings does not obviously achieve anything of the sort. However, with increasing subfunctionalisation the organism ends up relying more heavily on the subfunctionalised parts: while a crab missing a pair or two of limbs might still be able to walk fairly well, an insect missing its wings will not be able to fly. In other words, even the evolution of wings might contribute to interdependence of parts via making them indispensable. Nevertheless, it at least intuitively seems that traits like wings are somewhat more distantly related to the evolution of complex organisation, and very indirectly related to ETIs. Neither of these are problematic: not all traits have to be strongly implicated in the framework being developed here. It is sufficient that (1) our three processes unify (by abstraction) the evolution of a broad class of organismal traits, (2) most of these traits are implicated to some extent in the evolution of complex organisation, and (3) ideally, some of them are more directly related to ETIs more strictly construed.

Another valid and complementary way to approach this issue is to emphasise the relative importance of integration (especially global integration) among the three processes in the consolidation of ETIs, because of its role in increasing interdependence of parts. Increasing interdependence (or loss of independence) is a feature

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13 The relationship between evolutionary individuals and organisms is a complex and lively one; see for example (Pradeu 2011, 2016).
of ETIs that is present both in the evolution of mechanisms of group maintenance as discussed above, as well as in the evolution of structural organism-level traits brought about by the process of integration; thus, increasing interdependence serves as a conceptual bridge between the standard talk on evolutionary transitions mostly focusing on formation and maintenance of groups, and the later stage of group transformation emphasised in this paper. Highlighting this conceptual bridge serves to show that despite the inherent differences in research objectives between standard ETI research and the present work, they are nevertheless bound together through their shared emphasis on interdependence as a key feature of ETIs (Fig. 2).

**Processual complexity**

The evolution of metazoan structural complexity reflects a similarly hierarchical or quasi-hierarchical trend in increasing *processual* complexity; i.e. the evolution of more complex developmental processes underlying the structural traits. This includes increasing numbers of genes and gene families\(^\text{14}\) (Rokas 2008; Paps and Holland 2018, Paps and Holland 2018)—though decreases have been comparably pervasive and crucial (Guijarro-Clarke, Holland et al. 2020)—gene-regulatory networks or GRNs (Davidson and Erwin 2006; Davidson 2010), and key morphogenetic developmental mechanisms such as epithelial-mesenchymal transition or EMT

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\(^{14}\) While genes and gene families are not processes *per se*, the larger their numbers the larger the expected number of interactions between them: the former are thus used as a proxy for the latter.

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**Fig. 2** Diagram representing the relationships between stages of ETIs, increases in interdependence of parts at the lower level, emergence and consolidation of the higher level, and evolution of intermediate-level parts. Though the emergence and initial consolidation of the higher level has been the main concern of the ETI literature, and the evolution of intermediate levels the main concern of this paper, they are nevertheless related through their combined role in increasing interdependence of parts over the course of a transition.
The evolution of complex multicellularity in animals (Pérez-Pomares and Muñoz-Chápuli 2002). In the present framework, the number of genes and intracellular pathways and networks (standing for genetic interactions and closely associated with number of cells) represents horizontal processual complexity at the cell level, whereas the hierarchically or quasi-hierarchically organised intercellular processes, either GRNs or physical morphogenetic interactions (DiFrisco 2021), represent intermediate levels of processual organisation in animals and thereby both horizontal complexity at the intermediate levels and vertical complexity of the organism. Crucially, increasing processual and structural complexity are causally linked: novel developmental processes are required for the evolution of novel structural traits at different levels of organisation including tissues, organs, and organ systems of various kinds as presented above. This is concordant with the recently proposed concept of character identity mechanisms (ChIMs), which are meant to explain the evolutionary stability of the identity of such characters despite variation in their respective states (Wagner 2014, DiFrisco, Love et al. 2020). Selective pressures for the evolution of structures with novel functional capacities likely plays a role in stabilising the processes underpinning the structures. Furthermore, the three-process paradigm presented here can also be applied to the evolution of processual complexity. While a detailed discussion is far beyond the scope of this paper, one particular mechanism shows the utility of this approach and the quasi-independence of the evolution of processual and structural complexity: 3D spatial specification using various signalling gradients, notably Wnt and BMP.

Spatial specification: a ubiquitous process

The Wnt and BMP (part of the TGF-β superfamily) signalling pathways are among the most ubiquitously utilised mechanisms in animal development (Nusse, Brown et al. 1991, Huelsken and Behrens 2002, Kestler and Kühl 2008, Weiss and Attisano 2013), often interacting with each other as well as a host of other well-studied pathways—e.g. Notch/Delta (Kopan 2012), FGF (Goetz and Mohammadi 2013), and SHH (Choudhry, Rikani et al. 2014). They are involved in the spatial specification of multiple tissues, organs, and organ systems across metazoans including lungs (Bellusci, Henderson et al. 1996, Pepicelli, Lewis et al. 1998, Horowitz and Simons 2008), tear glands (Dean, Miller et al. 2005), the liver (Suksaweang, Lin et al. 2004), hair (Plikus, Mayer et al. 2008), skeleton (Kanzler, Foreman et al. 2000, Wan and Cao 2005, Li and Cao 2006), digits (Raspopovic, Marcon et al. 2014), limbs (Kawakami, Ishikawa et al. 1996, Shubin, Tabin et al. 1997, Zou, Choe et al. 1997, Nulsen and Nagy 1999, Church and Francis-West 2004, Geetha-Loganathan, Nimmagadda et al. 2008, Tarazona, Lopez et al. 2019), and the extracellular matrix (Schultz, Bennett et al. 2014); virtually in all cases, the aforementioned pathways and networks are involved in spatial specification in close relation to tissue growth.

Interestingly, Wnt and BMP pathways are also together utilised in the specification of eumetazoan body plans (Niehrs 2010, Genikhovich, Fried et al. 2015, Genikhovich and Technau 2017, Wijesena, Simmons et al. 2017, DuBuc, Stephenson et al. 2018, Nielsen, Brunet et al. 2018, Lebedeva, Aman et al. 2021), and independently of each
other (BMP in placozoans and Wnt in sponges) in specifying the placozoan body plan (DuBuc, Ryan et al. 2019), sponge embryogenesis (Adamska, Degnan et al. 2007, Reid, Matveev et al. 2018) and formation of the aquiferous system and oscula (Lapébie, Gazave et al. 2009, Windsor and Leys 2010, Kozin, Borisenko et al. 2019). Thus, given the role of BMP and Wnt pathways in specifying the ancestral eumetazoan body plan and the absence of any organs in the last common eumetazoan ancestor, it is highly plausible that body plan specification was the primary function of the integrated Wnt/BMP network, and that it was later co-opted and subsequently modified many times in the evolution of the traits mentioned above. This hypothesised evolutionary process fits fairly neatly with the three-process paradigm: integration of formerly more independent BMP and Wnt pathways results in a novel network with a novel function—probably originally specifying the eumetazoan body plan—followed by repeated co-option resulting in a spatiotemporally modular deployment of the network in animal development alongside the subfunctionalisation of each specific variant of the network, with various degrees of integration with other signalling pathways and a host of transcription factors, especially the homeobox family (Arendt 2018, DuBuc, Stephenson et al. 2018, He, Del Viso et al. 2018).

Interestingly, a recent paper (DiFrisco and Wagner 2022) presents a similar view of body plans in eumetazoans, whereby certain conserved developmental mechanisms (body plan identity mechanisms or BpIMs) similar in causal profile to character identity mechanisms are taken to underpin at least the vertebrate and the insect body plans. Interestingly, the authors take body plans to be a contingent feature of some and not necessarily all eumetazoan taxa, rather than taking the eumetazoan body plan as a single character identity. Nor do they infer the plausible evolutionary relationship between BpIMs as ChIMs, as I have done here. Given the high degree of convergence between the two views, a unified theory integrating both seems like a highly desirable research aim to be pursued in the future.

Finally, it must once again be noted that this view elucidates only one aspect of a highly multifaceted and complex series of evolutionary events and is by no means intended as a comprehensive explanation thereof; nevertheless, it does demonstrate the potential explanatory value of the three processes in elucidating the evolution of complexity in animals.

**Complexity and agency**

A particularly interesting and hotly debated feature of ETIs, explicitly or implicitly, is their relationship with teleological notions such as intentionality, agency, goal-directedness, and autonomy (Rosslenbroich 2014; McShea 2016a, b; Okasha 2018). It is generally acknowledged that organisms behave as agents, via the selective reinforcement of instinctive behaviours or evolution of deliberative decision-making mechanisms, both through the evolution of complex specialised information-processing systems, with the paradigmatic example being the animal nervous system.

As discussed above, the evolution of the nervous and endocrine systems in animals in multiple steps, including the evolution of neurons, nerve nets, ganglia, endocrine glands, and the CNS has enabled efficient—and rapid, in the case of the former—communication between cells often far apart from one another. Furthermore, from
the present perspective, the evolution of highly centralised nervous systems marks a transition from collective agency (Misselhorn 2015) where, roughly speaking, agents form groups and make collective decisions, as observed in ants or sponge cells—to what I will here call integrated agency where individual agents make decisions autonomously. The evolution of integrated from collective agency in turn reflects growing interdependence and shared fate between lower-level units, concomitant with the evolution of specialisation at the lower and intermediate levels of organisation and the consolidation of mechanisms directly responsible for maintaining internal cohesion (e.g. policing). Thus, a close investigation of the relationship between structural complexity and type of agency beyond the speculation presented in this section promises to enhance the explanatory scope and power of this framework.

Summary and prospects

To summarise, the explanatory gap pertaining to the transformation of stable social groups into full-fledged individual organisms has been addressed here with the evolution of intermediate levels of organisation—i.e. tissues, organs, and organ systems—via the three processes of modularisation, subfunctionalisation, and integration, giving rise to complex and adaptive organism-level traits without appeal to any “progressionist” notions. I have used the evolution of complexity in eumetazoans as a paradigmatic case of the emergence of complex from simple multicellularity. Here, the evolution of the eumetazoan body plan in particular plays a crucial role by providing a template for integration that has likely been utilised innumerable times in the evolution of the nigh-unfathomable diversity of metazoan organisation. It should be borne in mind that the early steps in eumetazoan body plan evolution are still hotly-debated; a particularly salient point of debate for the present discussion is whether eumetazoans evolved from highly modularised organisms fossilised as the Ediacaran Biota such as Dickinsonia and retained their modularity one way or another (Gold, Runnegar et al. 2015, Dunn, Liu et al. 2018) or that the last common ancestor of eumetazoans was in fact not particularly modular, in accordance with more traditional hypotheses (Nielsen 2012). Either way, the importance of integration and modularisation in shaping eumetazoan complexity remains untouched; though, how exactly and in what order the aforementioned processes operated remains an open question.

It is also worth re-emphasising that this model does not aim to capture all aspects of the evolution of complex metazoan characters and is only intended as a means of incorporating the evolution of these characters into the ETI framework. Furthermore, this incorporation and consequent extension of the ETI framework is potentially applicable to cases other than animal evolution: the most obvious such cases are the evolution of complex multicellularity in fungi, red and brown algae, and plants; members of all groups possess specialised tissues and organs and some degree of modular organisation, subfunctionalisation, and integration. Their evolution is therefore prima facie analysable under the present framework. Flowering plants are a prime candidate for this kind of analysis: they are highly modular, consisting of multiple hierarchically-organised levels and modularised, subfunctionalised parts including various cell types, tissue types, and a vast array of types of leaves that sometimes
display local integration in the form of flowers, which are underpinned by conserved developmental mechanisms (Bowman, Smyth et al. 2012). The inclusion of plants, as well as other complex multicellular organisms, could also serve to highlight the unique global integration of the eumetazoan body plan.

The same holds for the evolution of eusociality, particularly in cases where complex traits are present: Physalia (Portuguese Man’o’War) with its specialised “tentacles” bearing different types of zooids with specialised functions (dactylozooids, gastrozooids, and gonozooids) is a prime example (Webb, Wallwork et al. 1975). The evolution of the eukaryotic cell is another fairly obvious candidate, where there are clear intermediate levels of organisation between genes and the whole cell—though some processes at work in that transition do not fit too well with the present framework, most notably endosymbiosis (Margulis and Chapman 1998); this is probably in line with Queller’s distinction between fraternal and egalitarian transitions (Queller 1997) and the framework presented here being primarily focused on the former and endosymbiosis being a feature of the latter. Less directly, the framework is potentially applicable to sociocultural evolution in humans, where analogous levels of organisation such as tribes, organisations, and states have arisen in response to larger size of societies and ensuing group-level challenges (Hodgson and Knudsen 2010; Waring and Wood 2021), though a comprehensive discussion must be left for future work. Overall, this framework has the potential to play an enhancing part in a generalised theory of evolutionary transitions, if such a theory is ever to come by (Okasha 2022). Finally, it also demonstrates the value of going beyond the analysis of organismal traits as functions of genes and their evolution in terms of genetic evolution, and instead recognising the importance of structural, processual, and agential perspectives (Griesemer 2006) in studying the evolution of Life on Earth.

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15 Assuming genes are the appropriate lower-level structural units, rather than chromosomes. If organelles were the appropriate lower-level units, the applicability of this framework would be undermined.
The evolution of complex multicellularity in animals

Consent for publication N/A.

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