Species Choice for Comparative Genomics: Being Greedy Works

Fabio Pardi*, Nick Goldman
EMBL-European Bioinformatics Institute, Wellcome Trust Genome Campus, Hinxton, United Kingdom

Several projects investigating genetic function and evolution through sequencing and comparison of multiple genomes are now underway. These projects consume many resources, and appropriate planning should be devoted to choosing which species to sequence, potentially involving cooperation among different sequencing centres. A widely discussed criterion for species choice is the maximisation of evolutionary divergence. Our mathematical formalization of this problem surprisingly shows that the best long-term cooperative strategy coincides with the seemingly short-term “greedy” strategy of always choosing the next best single species. Other criteria influencing species choice, such as medical relevance or sequencing costs, can also be accommodated in our approach, suggesting our results’ broad relevance in scientific policy decisions.

Introduction

Comparing biological sequences has enormous potential for increasing our knowledge about their function, structure, and evolution, an idea that has been applied virtually everywhere in computational biology. Comparative studies are now performed on a genomic scale, requiring the sequencing of entire genomes [1,2] or significant parts of them [3]. Choosing the right species for sequencing is therefore crucial. This involves two distinct decisions: first a range of species over which comparisons will be made is identified, and then a number of them are selected for actual sequencing. The first decision specifies what is the phylogenetic scope [4] or linear scope [5] and is made largely on the basis of the biology the species are required to share. Different research communities are focusing on different scopes—for example, yeasts [6], nematodes [7], fruit flies [8], mammals [9], and primates [10]—corresponding to the investigation of functional elements of different biological importance.

In this article, we deal with the second decision: selecting the genomes to sequence from the chosen scope. Although this decision is determined by a variety of factors [11], chief among them is the objective of maximising the evolutionary divergence among the chosen species: the more diverse the genomes being compared, the more we can observe the differences between them [3]. Choosing the right species for sequencing is important. This involves two distinct decisions: first a range of species over which comparisons will be made is identified, and then a number of them are selected for actual sequencing. The first decision specifies what is the phylogenetic scope [4] or linear scope [5] and is made largely on the basis of the biology the species are required to share. Different research communities are focusing on different scopes—for example, yeasts [6], nematodes [7], fruit flies [8], mammals [9], and primates [10]—corresponding to the investigation of functional elements of different biological importance.

In this article, we deal with the second decision: selecting the genomes to sequence from the chosen scope. Although this decision is determined by a variety of factors [11], chief among them is the objective of maximising the evolutionary divergence among the chosen species: the more diverse the genomes being compared, the more we can observe the differences between them [3]. Choosing the right species for sequencing is important. This involves two distinct decisions: first a range of species over which comparisons will be made is identified, and then a number of them are selected for actual sequencing. The first decision specifies what is the phylogenetic scope [4] or linear scope [5] and is made largely on the basis of the biology the species are required to share. Different research communities are focusing on different scopes—for example, yeasts [6], nematodes [7], fruit flies [8], mammals [9], and primates [10]—corresponding to the investigation of functional elements of different biological importance.

Results/Discussion

Imagine adopting the following “greedy” algorithm for the divergence maximisation problem: repeatedly select one species that adds the most divergence to the previously
Species Choice for Comparative Genomics

Synopsis

What would happen if sequencing centres around the world were to choose genomes without consulting each other and without devising long-term strategies? When several parties are involved in decisions with interacting consequences, experience teaches that cooperation and planning are usually necessary to guarantee the best result. Similarly, in computer science, “greedy” algorithms—which construct solutions by iteratively taking the best immediate choice—are rarely the best option to solve a problem. The authors show, however, that in the context of choosing species for comparative genomics, cooperation and planning can be kept to a minimum without affecting the quality of the global result: a greedy algorithm applied to the problem of maximising the evolutionary divergence among species chosen from a known phylogeny is proven to guarantee optimal solutions.

chosen ones, until $k$ species have been added. A greedy strategy might be suspected of “short-sightedness,” i.e., leading to suboptimal solutions. We can imagine realising that a better solution could have been devised if we had considered the problem of choosing all $k$ species at once. Perhaps surprisingly, this cannot happen. Whatever alternative strategy we devise, no better solution than that provided by the greedy algorithm is obtained. This proposition is exemplified in Figure 1A and formally proven in Materials and Methods. Note that even when the set of species previously sequenced was not optimal, the greedy algorithm guarantees the best possible subsequent extension.

Greedy algorithms are well known in computer science and often fail to guarantee optimal solutions [14]. Our result is not only of algorithmic interest, but has consequences for real-life strategies for genome sequencing. Figure 1B shows an imaginary scenario (perhaps not too far from reality) in which the genomes of a number of placental mammals have already been sequenced, and others are candidates for future sequencing. Imagine that a number of groups each have the resources to sequence one more mammal. How should they behave in order to ensure that a maximally divergent set of species is obtained? Is some sort of cooperation necessary?

Clearly, openness regarding each group’s decision is necessary, since if one decides to sequence, say, the cat, the others must avoid sequencing this or any other closely related feline. Similarly, within the framework of maximising divergence, the real-life choice to sequence the rat [2] just after the mouse [1] was far from optimal. But apart from communicating their intentions, is real cooperation among the groups necessary? Applying the result described above, it is apparent that the answer is no. If every group selfishly (“greedily”) decides to sequence the genome that at the moment of choice is the most “appealing”—i.e., adds the most divergence to the set of species already sequenced or previously chosen by the other groups—then the best possible outcome is guaranteed. Another practical consequence of the optimality of the greedy algorithm is that no planning is needed, either. Specifically, no consideration of next (or any future) year’s resources is necessary when determining priorities for this year’s expenditure.

The greedy algorithm also guarantees an optimal solution even when other criteria for evaluating species’ importance—not only divergence—are taken into account: for example, proximity to a particularly interesting species

Figure 1. Phylogenetic Scopes and Divergence of Sets of Species

(A) Phylogenetic scope comprising hypothetical species A, B, C, D, and E. Numbers are branch lengths indicating evolutionary distances (not necessarily reflecting temporal distances). The subtree connecting species B, C, and E is shown in red and has divergence $1 + 3 + 1 + 5 + 2 + 4 = 16$. Applying the greedy algorithm always produces maximally divergent extensions of the original set. For example, the subsets constructed starting with B—BE (divergence 11), BCE (16), BCDE (19)—have maximum divergence among those obtainable by adding one, two, and three additional species, respectively. The series AE (12), ACE (17), ACDE (20) is optimal among all possible subsets of two, three, and four species.

(B) Phylogenetic scope comprising placental mammals that have been or are being sequenced (in red) and candidates for future sequencing (derived from [17]). If five groups choose the next five targets for sequencing using the greedy strategy described in the text, the following species (in blue) will be selected (in order): (1) tenrec, (2) hedgehog, (3) rock hyrax, (4) tree shrew, (5) dog-faced fruit bat (a megabat). Within the phylogenetic scope shown, this is guaranteed to be the choice of five species that maximises the total resulting divergence. These species have recently been announced amongst targets for future sequencing [9].

DOI: 10.1371/journal.pgen.0010071.g001
(such as human), genome size, knowledge of the species’ biology, or amenability to laboratory research [11] (see Materials and Methods for further discussion). Because of this flexibility, the optimality of the greedy strategy also applies in choosing species for purposes outside comparative genomics: clearly, for genome sequencing tout-court (even when comparison is not the first use of the genome sequence) and, interestingly, for biodiversity conservation [15,16], where divergence maximisation is also considered an important objective.

If genome (or conservation) scientists follow a seemingly short-term strategy—involving neither planning nor cooperation in the choice of future genomes for sequencing (or species for conservation)—then, provided they are open about their choices, they are guaranteed the best long-term strategy.

Materials and Methods

Correctness of the greedy algorithm. A result related to ours has been independently obtained by Steel [16], whose study concentrated on its relevance in biodiversity conservation. Steel proves that the application of the greedy algorithm on a maximally divergent set of species always results in other, larger, maximally divergent sets of species. Here, we additionally prove that applying the greedy algorithm to an initial set that is not maximally divergent results in optimal extensions of the initial set.

The idea of the proof is the following. We first prove (Theorem 1; see below) that applying a greedy choice to a maximally divergent set of species necessarily results in an optimally extended set. Since the first step of the greedy algorithm necessarily results in an optimally extended set, subsequent steps will construct only other optimally extended sets (Corollary 1; see below). The greedy algorithm can therefore be used to construct optimal extensions of any desired size.

Notation. $T_X$ is a tree connecting the species in set $S$ (coinciding with its leaves). Branches in $T_X$ are assumed to have non-negative lengths. Letters $T_X$, $X$, and $Y$ will always denote subsets of $S$; $h$ is a non-negative integer.

Definitions. The tree spanning $X$, denoted by $T_X$, is the smallest subtree of $T$ connecting all the species in $X$. A path is a sequence of adjacent branches in $T_X$. The terminal path of $T_X$ leading to $x$ (in $X$), is the path from $T_X$, to $x$. The divergence of $X$, denoted by $\delta(X)$, is the sum of all the branch lengths in $T_X$. $X$ is a $k$-extension of $Y$ if $Y$ can be obtained by adding to $X$ species not in $X$. $X$ is a maximally divergent $k$-extension (MDM) of $I$ if (a) $X$ is a $k$-extension of $I$, and (b) for every $k$-extension $Y$ of $I$, $\delta(Y) \leq \delta(X)$. We call a 1-MDE of $X$ a greedy extension of $X$ and denote it by $X^*$. Note that $X^*$ need not be unique, but any $X^*$ will satisfy the theorem below. We will also say that $X^*$ is obtained from $X$ through a greedy step.

We now prove that the application of a greedy step to a maximally divergent extension ($X^*$) of an initial set (it necessarily results in another maximally divergent extension ($X^*$)). Informally, we show that however any extension ($Y$) with the same size as $X^*$ is constructed, a set that is at least as divergent as $Y$ can be obtained from $X$ by adding one species in $Y$ to $X$. Therefore the greedy step, which can add any species to $X$—not only those in $Y$—will necessarily lead to a total divergence in $X^*$ that is at least as great as that in $Y$. $X^*$ therefore has maximum divergence among all its equally sized extensions of the initial set.

Theorem 1. Consider sets I and X, where X is a $k$-MDE of I, and $2 \leq |X| < |Y|$. Then X* is a $(k-1)$-MDE of I.

Proof. Let Y be any $(k-1)$-extension of I. By the lemma below, there exists at least one terminal path of $T_Y$, leading to a leaf $x$ not in X (and therefore not in I), which is completely contained in the path from $T_X$ to $x$ (see Figure 2). Then

$$\delta(Y - \{x\}) < \delta(X),$$

as X is a $k$-MDE of I, and

$$\delta(Y) \leq \delta(X \cup \{x\}),$$

by summing the terms above. But

$$\delta(X \cup \{x\}) \leq \delta(X^*),$$

the definition of $X^*$. Therefore,

$$\delta(Y) \leq \delta(X^*).$$

Since the last inequality holds for any $(k-1)$-extension Y of I, $X^*$ is a $(k-1)$-MDE of I.

Observation. Theorem 1 claims that the greedy extension of any $k$-MDE is a $(k-1)$-MDE, assuming that the $k$-MDE has at least two species. This assumption ensures that either I is nonempty or $k \neq 1$. In fact, if we have both I empty and $k = 1$, the theorem is not true: in this case, any 1-extension $X$ of the empty set has $\delta(X) = 0$ and is maximal. However, not every $X^*$ will be maximal.

Corollary 1. Let I be non-empty. The iterated application of any number $k$ of greedy steps to I (i.e., the greedy algorithm) results in a $k$-MDE of I.

Proof. By induction: one greedy step results in the 1-MDE of I; if $h \geq 1$ greedy steps construct a h-MDE of I, then by Theorem 1 one more step will construct an $(h+1)$-MDE of I.

Corollary 2. Let X be a maximally divergent set of h species (with $h \geq 2$). Applying the greedy algorithm to X for k steps results in a maximally divergent set of $h + k$ species.

Proof. Apply Theorem 1 with I empty, and observe that $k$-MDEs of the empty set are maximally divergent sets of $k$ species. It should be noted that Corollary 2 has been proven directly by Steel [16].

Lemma. Suppose $2 \leq |X| < |Y|$. Then there exists a leaf x in Y – X such that the path from $T_X$ to x completely contains the terminal path of $T_Y$ leading to x.

Proof. Suppose the contrary. Then, for all $x$ in Y – X, either (A) $T_X$ is contained in a subtree of $T_Y$ that departs from the terminal path of $T_Y$ leading to x, or (B) $T_X$ overlaps with the terminal path of $T_Y$ leading to x (see Figure 3).

Both (A) and (B) imply the presence of one or more leaves of X in one of the subtrees of $T_Y$ that depart from the terminal path of $T_Y$ leading to x. Clearly, none of these leaves can be in Y. There is at least one of these leaves (an element of $Y - X$) for each terminal path of $T_Y$ leading to a species x not in $T_X$. Since $|Y| > 2$, all of these terminal paths are distinct; therefore, there are exactly $|X - Y|$ of them and at least one leaf in $Y - X$ for each of them, i.e., we have $|X - Y| \geq |Y - X|$. But this is equivalent to $|X| \geq |Y|$, which contradicts the lemma’s assumptions.

Example. For the particular case $|X| = 2$ and $|Y| = 3$, it is easy to see that the lemma holds by looking at all six possible topologically distinct cases, depicted in Figure 4.
What kind of criteria may one take into account? The mouse-rat example already suggests one of these: deep knowledge of an organism's biology should be an advantage, as should its suitability for experimental (genetic) studies. Furthermore, we might have an intrinsic interest in a particular organism in the phylogenetic scope, and therefore we will tend to select species that are closely related to it, as these will probably share many of the genetic features we are interested in. The typical example of this is the human, but in almost every phylogenetic scope a "pivotal" species can be identified, usually a traditional model organism. The pivotal species need not be extant: one could be interested in an extinct organism, for example in reconstructing ancestral sequences or genome structure [18]. Scientific reasons need not be the only ones playing a role; as in every human activity, economic interests have a crucial impact, and we expect many plant and animal genomes to be selected for sequencing on the basis of potential applications in biotechnology. Finally, one should not underestimate the importance of sequencing costs, which clearly favour species with small genome sizes.

Once these criteria are somehow quantified—which is easy at least for sequencing costs or evolutionary proximity to a pivotal species—and some idea of their relative importance defined, then we can calculate for each species a "preference score" proportional to the weighted average of that species' scores under the various criteria. We then extend each species' terminal branch by its preference score. In practice, it may not be possible to quantify these criteria or relative weights in a generally accepted manner. Nevertheless, we can imagine that some tree modified in this way could account for the evaluation of what is "appealing" in reality being influenced by more than simply evolutionary divergence. Then greedy behaviour of sequencing groups—always choosing the currently most "appealing" species—coincides with the greedy algorithm applied to this tree, and our result provides reassurance that such behaviour will lead to an optimal solution with respect to real-life evaluations.

Note that here we assumed that it is possible to formalise the sequencing "value" of a set of species in the way described above, i.e., as the divergence of a suitably constructed tree. This is not true for all conceivable criteria for evaluating species sets, but is true at least for those that can be represented as per-lineage additive measures of value. We believe that most real-life criteria for choice [11] fall into this category.

Acknowledgments

We thank Mike Steel for helpful discussion at the Mathematics of Evolution and Phylogeny Conference (2005) in Paris and for pointing out the possibility of applying the greedy algorithm to more general criteria of species importance. FP is a member of St. Catharine's College, University of Cambridge. This work was supported by the European Molecular Biology Laboratory and by a Wellcome Trust fellowship to NG.

Competing interests. The authors have declared that no competing interests exist.

Author contributions. FP and NG conceived the study and wrote the paper. FP derived the proof of correctness of the greedy algorithm.

An initial strategy for the systematic identification of functional elements in the human genome by low-redundancy comparative sequencing. Proc Natl Acad Sci U S A 102: 4795–4800.

References

1. International Mouse Genome Sequencing Consortium (2002) Initial sequencing and comparative analysis of the mouse genome. Nature 420: 520–562.

2. Rat Genome Sequencing Consortium (2004) Genome sequence of the brown Norway rat reveals insights into mammalian evolution. Nature 428: 493–521.

3. Thomas JM, Touchman JW, Blakesley RW, Bouffard GG, Beckstrom-Sternberg SM, et al. (2003) Comparative analyses of multi-species sequences from targeted genomic regions. Nature 424: 788–793.

4. Cooper GM, Brudno M, Green ED, Batzoglou S, Sidow A (2003) Quantitative estimates of sequence divergence for comparative analyses of mammalian genomes. Genome Res 13: 813–820.

5. McAllister JD, Jordan MJ, Pachter L (2003) Subtree power analysis and species selection for comparative genomics. Proc Natl Acad Sci U S A 102: 7990–7995.

6. Kellis M, Patterson N, Endrizzi M, Birren B, Lander ES (2003) Sequencing and comparison of yeast species to identify genes and regulatory elements. Nature 423: 241–245.

7. Stein LD, Bao ZR, Blasiar D, Buhtenthal T, Brent MR, et al. (2005) The genome sequence of Caenorhabditis briggsae: A platform for comparative genomics. PLoS Biol 3: e5.

8. Richards S, Liu Y, Bettencourt BR, Hradecky P, Letovsky S, et al. (2005) Comparative genome sequencing of Drosophila pseudoobscura: Chromosomal, gene, and cis-element evolution. Genome Res 15: 1–18.

9. Margulies EH, Vinson JP, Miller W, Jaffe DB, Lindblad-Toh K, et al. (2005) Science 306: 610–614.

10. Boffelli D, McAllister J, Orcharden D, Lewis KD, Orcharden E, et al. (2003) Phylogenetic shadowing of primate sequences to find functional regions of the human genome. Science 299: 1391–1394.

11. O'Brien SJ, Eizirik E, Murphy WJ (2001) Genomics—On choosing mammalian genomes for sequencing. Science 292: 2264–2266.

12. Eddy SR (2005) A model of the statistical power of comparative genome sequence analysis. PLoS Biol 3: e10.

13. Bejerano G, Siperel A, Kent WJ, Haussler D (2005) Computational screening of conserved genomic DNA in search of functional noncoding elements. Nature Methods 2: 535–545.

14. Cormen TH, Leiserson CE, Rivest RL, Stein C (2001) Introduction to algorithms. Chapter 16. 2nd Edition. Cambridge (Massachusetts): MIT Press. pp. 370–404.

15. Nee S, May RM (1997) Extinction and the loss of evolutionary history. Science 278: 692–694.

16. Steel M (2005) Phylogenetic diversity and the greedy algorithm. Syst Biol 54: 527–529.

17. Madson O, Scally M, Douady CJ, Kao DJ, DeBry RW, et al. (2001) Parallel adaptive radiations in two major clades of placental mammals. Nature 409: 610–614.

18. Blanchette M, Green ED, Miller W, Haussler D (2004) Reconstructing large regions of an ancestral mammalian genome in silico. Genome Res 14: 2342–2423.