A deep recurrent neural network discovers complex biological rules to decipher RNA protein-coding potential

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ABSTRACT

The current deluge of newly identified RNA transcripts presents a singular opportunity for improved assessment of coding potential, a cornerstone of genome annotation, and for machine-driven discovery of biological knowledge. While traditional, feature-based methods for RNA classification are limited by current scientific knowledge, deep learning methods can independently discover complex biological rules in the data de novo. We trained a gated recurrent neural network (RNN) on human messenger RNA (mRNA) and long non-coding RNA (lncRNA) sequences. Our model, mRNA RNN (mRNN), surpasses state-of-the-art methods at predicting protein-coding potential despite being trained with less data and with no prior concept of what features define mRNAs. To understand what mRNN learned, we probed the network and uncovered several context-sensitive codons highly predictive of coding potential. Our results suggest that gated RNNs can learn complex and long-range patterns in full-length human transcripts, making them ideal for performing a wide range of difficult classification tasks and, most importantly, for harvesting new biological insights from the rising flood of sequencing data.

INTRODUCTION

Deep sequencing technology has yielded a torrent of new transcript annotations, creating a need for fresh approaches to unlock the full information potential of these vast datasets. Existing state-of-the-art methods for classification of long RNAs as protein-coding RNAs (mRNAs) or long noncoding RNAs (lncRNAs) rely on human-engineered features, such as the coverage and length of a predicted open reading frame (ORF). These features predispose such models to misclassification of mRNAs encoding small proteins and of lncRNAs with long, untranslated ORFs. Nucleotide hexamer frequency is another commonly used feature, but while it can capture the frequency of codon pairs, it does not benefit from the larger sequence context. These limitations and the annotation challenges ahead demand new approaches to biological sequence classification that are capable of detecting complex, variable-length patterns.

In contrast to conventional machine learning methods, ‘deep learning’—the application of multi-layered artificial neural networks to learning tasks—can discover useful features independently, avoiding biases introduced by human-engineered features (1). Deep learning methods have repeatedly outperformed state-of-the-art ‘shallow’ machine learning algorithms, such as support vector machines (SVM) and logistic regression, as approaches to biological problems in recent years. Multiple bioinformatics applications of deep convolutional neural networks (CNNs) have been published (2–4); however, while CNNs adeptly learn spatial information, recurrent neural networks (RNNs) are better suited for learning sequential patterns because of their serialized structure and ability to handle variable-length inputs (1). RNN-based approaches have had success in the fields of natural language and music (5), and information extraction from biomedical texts (6–8). Researchers have recently begun to apply RNNs to biological sequences for the identification of splice sites (9), microRNA target sites (10), DNA binding sites (11) and the prediction of methylation states (12), as well as to microRNA precursor pre-
While RNN applications to biological sequences use one-  
dimensional state layer and a hidden state layer of dimension
in Figure 1. The GRU is composed of a candidate hid-  
ner state layer, represented here as triangles. The hidden state h_{i−1} is computed from the previous position's hidden state h_{i−1}, scaled by the reset gates rep-  
resented here as circles. The gates and hidden states are real-valued vectors of the same dimension, where the dimension is determined via hyper-parameter tuning.

For training, we provided mRNN with a dataset containing  
full-length human transcript sequences labeled as mR-  
NAs or lncRNAs. All training and test sets were selected  
from GENCODE Release 25 (17). This resource for tran-  
script data was recently used to train and test an existing  
state-of-the-art lncRNA classification tool called FEELnc  
(18). The data set is challenging for protein-coding po-  
tential assessment because 10% of these transcripts lack a  
start codon and 25% lack a stop codon in the annotated CDS. However, the presence of these incomplete sequences  
in the data set allows us to train a model that is robust  
ough to classify sequences in a transcriptome newly built  
from RNA-sequencing data, which often contains incom-  
plete transcripts. We evaluated mRNN's performance using  
a test set—an unbiased random sample of human transcripts  
composed of 500 mRNAs and 500 lncRNAs selected  
from the full GENCODE annotation. We also selected a  
second test set—the 'challenge' set—of more challeng-  
ing transcripts, including 500 mRNAs with short CDSs  
(≤50 codons in GENCODE annotation) and 500 lncRNAs  
with long (untranslated) ORFs (≥50 codons). Transcripts  
for training and testing are separated by their associated  
genes, and transcripts associated with genes used in the test  
and challenge set are excluded from the training set. At last,  
we evaluated performance on the entire mouse transcrip-  
tome, composed of 77 725 transcripts more than 200 nt  
long.

From the sequences remaining after the removal of the  
test and challenge sets, we selected a validation set equal  
in size to the test set for mRNN's hyper-parameter tuning  
and model selection (Supplementary Figure S1). We evalu-  
ated several different training strategies, and all decisions  
for training and hyper-parameters were based on minimizing  
loss or maximizing accuracy of predictions made on the  
validation set (Supplementary Figures S2 and 3). We found  
that mRNN's performance was improved significantly by  
pre-training it with augmented data (19) consisting of sev-  
eral mutated copies of each sequence (aRxiv: https://arxiv.  
org/abs/1601.03651v2). mRNN showed higher validation  
accuracy when the dataset was augmented by random 1-  
nnt point insertions than by random point mutations. (Sup-  
plementary Methods and Figure S4A). Moreover, we found  
that length-filtered augmented training data (transcripts be-  
tween 200- and 1000-nt long) yielded lower validation loss  

![Figure 1. mRNN Output and Model Schematic. Coding probability and  
coding potential score is shown at nucleotide-level resolution for the tran-  
script ENST00000371732.9, which encodes caspase recruitment domain  
family member 9. Values at position i correspond to the mRNN coding  
probability or \( S_{\text{gene}}(i) \), the mRNN output for the truncated sequence from  
1 to i. Vertical dashed lines demarcate the annotated start and end of the  
CDS. A schematic of the gated RNN is shown below. Equilateral triangles  
signify reset gates, and the height of the gray fill represents the propor-

tional contribution of the previous hidden state (\( h_{i−1} \)) to the new  
candidate hidden state (\( h_i \)). The update gate is shown as two circles  
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represent matrix products. The embedding layer maps nucleotides to 128-

**MATERIALS AND METHODS**

The structure of the GRU used in mRNN is depicted  
in Figure 1. The GRU is composed of a candidate hid- 

hot encoding where each input character (A,C,G,U) is en- 
coded as a binary vector with a single non-zero entry  
(1, 0, 0, 0), (0, 1, 0, 0), (0, 0, 1, 0), (0, 0, 0, 1), we achieved  
better performance with an embedding layer. The embed-  
ing layer maps each input character (A, U, G, C or N) to  
a higher-dimensional representation. This map is linear, so  
it can be viewed as a multiplication of a \( r \times 5 \) matrix to a  
one-hot vector. The candidate hidden state \( h_i \) at position \( i \) is  
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diction using inputs of RNA sequences merged with sec-

ondary structure predictions (13). While basic RNNs are  
challenged by most biologically relevant input sequence  
lengths due to the ‘vanishing gradient problem,’ a diffi-
culty encountered during training due to the multiplica-
tion of many small terms when computing the gradient  
of an error function by the chain rule (14), several recent  
adaptations addressed this issue. Among the most popu-

lar of these modified RNNs are long-short-term-memory  
(LSTM) RNNs (15) and gated recurrent unit (GRU) RNNs  
(aRxiv: https://arxiv.org/abs/1409.1259v2), which manage  
memory to improve the learning of long-range dependen-
cies. Recent studies demonstrated superior performance  
of GRUs compared to LSTMs for bioinformatics tasks  
(10,16). We report the successful implementation of a GRU 

t network to accurately predict protein-coding potential of  
complete, variable-length transcripts. Our method, ‘mRNA  
RNN’ (mRNN), not only performs as well, if not bet-
ter than, existing state-of-the-art classifiers, but also learns  
complex biological rules in the process.
than augmented training data unrestricted by length (Supplementary Figure S4B). Therefore, rather than training on the full available training data, we trained on a set of 16 000 mRNAs and 16 000 lncRNAs selected from the sequences between 200- and 1000-nt long. The augmented data set used for pre-training was built from this same training set. In addition to ‘data augmentation,’ we implemented ‘early stopping,’ which exits training if loss on the training set decreases while validation loss does not; both of these strategies help prevent over-fitting during training. We also used ensemble testing using the uniformly weighted predictions of five models (20). We used embedding vectors to represent each nucleotide because this yielded higher validation accuracy than did one-hot encoding when using ensemble testing for the RNN library Passage (Supplementary Figure S3), and we computed the cosine distance between embedding vectors to quantify any learned similarity between nucleotides (Supplementary Figure S5). We also used dropout, which randomly sets network inputs to zero in Passage’s GRU implementation, because when combined with an embedding layer, it improved validation accuracy (Supplementary Figure S2). For detailed methods see Supplementary Methods.

For comparison, we used the same test set to assess performance of three non-comparative classifiers considered to be state-of-the-art in speed and performance: CPAT, which is a logistic regression model based on hexamer frequencies and other features computed from the transcript (21); FEELnc, which is a random forest model, also based on human-defined features of the input sequences (18); and longdist, which is an SVM model using principal component analysis (PCA) to reduce the dimensionality of the features that include di-, tri- and tetra-nucleotide patterns, and other pre-defined features computed from ORF lengths (22). No length restrictions were imposed on sequences in the training set for CPAT, FEELnc and longdist, giving these classifiers substantially more training data than mRNN.

We applied a number of approaches to evaluate what mRNN learned. In each case, we computed the change in the mRNN score due to perturbations of the mRNA sequence, and examined sequence features at locations where perturbations significantly changed the predicted coding score. First, we examined the effect of randomly shuffling different regions of mRNAs. Next, we performed a point-mutation analysis where we computed the mRNN score for all possible single-nucleotide mutations to all GENCODE mRNAs fewer than 2000 nt in length (59 133 in total), and examined the distribution of significant score changes. We also performed a pairwise mutation analysis by computing the mRNN score for all possible pairs of mutations, and identified dependent positions with combined score changes significantly higher than the sum of the score changes due to the individual mutations. We defined a measure of ‘synergy’ or dependence, \( \Delta S_{\text{syn}}(i, j) \), for a pair of mutations at positions \( i \) and \( j \) with the equation:

\[
\Delta S_{\text{syn}}(i, j) = \min_{a,b} (\Delta S(i, a, j, b) - \Delta S(i, a) - \Delta S(j, b))
\]

where \( \Delta S(i, a) \) and \( \Delta S(j, b) \) are the change in score due to mutating position \( i \) to nucleotide \( a \) and \( j \) to \( b \), respectively, and \( \Delta S(i, a, j, b) \) is the change in score from making both mutations. We defined a similar score for compensatory score changes (see Supplementary Methods). At last, we performed a coding score trajectory analysis where we defined a smoothed coding score \( S_{\text{trunc}}(i) \) for the truncated transcript from position \( i \) to \( i + w \), where \( w < 25 \) nt for each significant spike to the 50-nt region immediately before the spike, and applied a Benjamini–Hochberg correction using a false discovery rate (FDR) threshold of 0.01.

**RESULTS**

**Training and testing**

The best resulting mRNN model after training selected by accuracy on the validation set is referred to hereafter as ‘mRNN’. We also implemented an ensemble testing method called ‘mRNN ensemble,’ which uses the weighted average of the five best mRNN models. While the single best mRNN model matched or outperformed CPAT, FEELnc and longdist on the test set, the mRNN ensemble method showed significant improvements in performance over these methods in accuracy, specificity and other metrics at an FDR of 0.05 (Figure 2A). We also compared the classifiers using the challenge set of atypical transcripts (Figure 2B). Both mRNN and mRNN ensemble methods significantly outperformed CPAT, FEELnc and longdist in all metrics on this challenge set. Notably, CPAT, FEELnc and longdist showed low sensitivity for the challenge set (63, 66.2 and 67.6%, respectively), indicating a bias against classification of mRNAs with short ORFs as protein-coding, while mRNN ensemble achieved a sensitivity of 79.2%, demonstrating its superior predictive power for these atypical transcripts.

As a final test, we compared the generalizability of these methods trained with human data by evaluating their performance on the entire set of mouse GENCODE transcripts >200 nt. This dataset includes 61 834 mRNAs and 15 891 lncRNAs. The mRNN ensemble method performed best in all metrics except sensitivity, with statistically significant (P-value < 0.05) improvements in accuracy, specificity, PPV, MCC and F1-score, showing that it can be used for classification of long RNAs in a new transcriptome when trained on transcripts from a related species (Figure 2C).
Figure 2. Comparison of Classifier Performance. (A–C) Performance of four classifiers trained with human transcript sequences. Error bars are 95% confidence intervals computed from 100,000 bootstrap trials. Asterisks above mRNN or ensemble mRNN indicate the method’s improvement over CPAT, FEELnc, and longdist-SVM with an empirical P-value < 0.05 computed from the bootstrap trials. (A) Performance on human test set transcripts, consisting of 500 mRNAs and 500 lncRNAs. (B) Performance on human challenge set transcripts, including 500 mRNAs with ORFs < 50 codons and 500 lncRNAs with ORFs > 50 codons. (C) Performance on GENCODE mouse transcripts greater than or equal to 200 nt, including 61,834 mRNAs and 15,891 lncRNAs, using models trained with human data.

Our results demonstrate that mRNN performed at least as well as existing state-of-the-art machine learning applications at the task of classifying mRNAs and lncRNAs, and it achieved this without the aid of user-defined features related to known mRNA characteristics. We next turned our attention to uncover what mRNN learned during training.

Point mutation analysis

To begin deducing what mRNN learned, we conducted sequence perturbation analyses (Supplementary Methods). Score changes for sequences with shuffled coding sequence (CDS) regions compared to those with shuffled 3′ or 5′ untranslated regions (UTRs) demonstrate that mRNN primarily utilizes organized sequence information in the CDS. (Supplementary Figure S6). We next conducted a point-mutation analysis to evaluate changes in score resulting from every possible single-nucleotide substitution for all GENCODE mRNA transcripts under 2000 nt in length (Figure 3). We analyzed score changes resulting from these mutations in thousands of transcripts at positions relative to start codons and stop codons or control AUGs and UGA/UAA/UAG trinucleotides. While the colors of the cells in the heat map in Figure 3 represent the average of a set of score changes resulting from mutations to that position and base over all transcripts examined, the asterisks indicate statistical significance (FDR of 1e-4) of a t-test comparing this set to a set of score changes resulting from mutations to each instance of the same base in the same re-
To visualize mRNN’s decision-making process, we computed the coding trajectory $S_{\text{trunc}}(i)$ for all transcripts in the human test set (Figure 5A). Remarkably, we found several examples of mRNAs with long 5' UTRs that mRNN classified as coding only after observing the CDS more than 4000 nt from the transcript start (Supplementary Figure S9). Thus, mRNN remains sensitive to information toward the end of transcripts longer than sequences previously used in any bioinformatics RNN applications that we are aware of, despite being trained only on sequences shorter than 1000 nt long.

**Coding trajectory analysis**

To evaluate whether mRNN learned relationships between distinct features, we performed a pairwise-mutation analysis. To select transcripts for analysis, we first identified those having a 5' UTR of at least 100 nt, at least 100 codons in the CDS and at least 50 nt in the UTR. We then examined the shortest two transcripts with these properties for convenience of visual data representation. We define $\Delta S_{\text{syn}}(i, j)$ as the minimum of the difference between the coding score change resulting from mutations at two positions $i$ and $j$ and the sum of score changes associated with the individual mutations. Therefore, $\Delta S_{\text{syn}}(i, j)$ quantifies the ‘score change synergy’ of the pair of mutations, and is strongly negative for highly related positions. We examined both transcripts by altering every possible combination of two nucleotides within the sequence, 945 999 and 987 713 pairs in total.

Score changes resulting from pairs of mutations made to the shorter transcript, encoding parathyroid hormone 2, PTH2, (Supplementary Figure S8A), illustrate that mRNN learned rules governing stop codons. Pairs of mutations that result in an early stop codon when combined significantly reduce the coding score (Supplementary Figure S8B). When one mutation creates a stop codon, a second mutation creating a second, earlier stop codon renders the latter mutation moot; the total score change for these two mutations is smaller than the sum of individual mutation score changes (Supplementary Figure S8C).

The second shortest transcript, encoding a cancer/testis-specific antigen SPANXB1, has a coding trajectory with a strong spike shortly after the start codon (Figure 4A). We identified several pairs of synergistic mutations, including a point mutation that changed an AAC codon to AAA and another that changed an AAG codon to UAG, which, when combined, resulted in the 12th largest score change synergy, resulting in a reduction in score 6.8-times the sum of the individual score changes (Figure 4B). In other examples, we identified compensatory changes, such as a decrease in score from a nonsense mutation that was significantly diminished when another mutation changed a UGU codon to UAU (Figure 4C). In both cases described, the two mutated positions are located within the coding score spike, despite being separated by 18 and 29 bases, respectively.

The examples above show that some mutations can exacerbate or compensate for the effects of other, ORF-truncating mutations. Notably, we found prediction-flipping pairs of synergistic mutations as far apart as 200 nt in the top 10 $S_{\text{syn}}(i, j)$ values (out of close to 500 000 negative values). Taken together, these results demonstrate that mRNN learned complex and long-range sequence information dependencies, and can leverage these rules for classification.

**Pairwise analysis**

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Figure 4. Pair-wise mutation analysis. (A) The mRNN coding trajectory (as in Figure 1), for ENST00000449283.1, a transcript encoding SPANXB1. (B) Pair-wise mutation heat map of synergistic score changes for the same transcript. Values are the score change synergy for a pair of mutated bases at positions \( i \) and \( j \), where \( i < j \). Score change synergy is the minimum difference between the resulting change in score when the pair of bases is mutated and the sum of the score changes from individual mutations of each base in the pair. (C) Pair-wise mutation heat map of compensatory score changes for the same transcript. Values are the compensatory score change for a pair of mutated bases at positions \( i \) and \( j \), where \( i < j \). Compensatory score change is the maximum difference between the resulting change in score when the pair of bases is mutated and the sum of the score changes from individual mutations of each base in the pair. (B and C) Bottom-right of each heat map shows a zoomed-in view of a position pair with a highly compensatory or synergistic score change. Each line spanning three nucleotides represents a codon.
Figure 5. Model Interrogation for Feature Discovery. (A) mRNN coding score trajectories without smoothing for each transcript in the test set. Blue, protein-coding; red, non-coding. Bold lines represent average coding probability when five or more transcripts had lengths at least \( i \) nt. (B) Coding score trajectory for transcript ENST00000458629.1, which encodes C-X-C motif chemokine receptor 6. Vertical dashed lines mark CDS boundaries. (C) Histogram of significant spike locations in test set mRNAs relative to true CDS start positions. (D) Scatterplot showing codons enriched in the spike regions (±25 nt around most significant spike position) compared to 50-nt regions upstream of the spikes. The x-axis is the frequency of each codon in the full set of GENCODE annotated coding regions. The y-axis represents the frequency of the codon in the indicated region. Each pair of points represents a codon. Large, labeled points are TICs—codons statistically enriched (FDR ≤ 0.05) in spike regions compared to the regions upstream of spikes. The dashed line corresponds to global codon frequency, and the blue band is the range of standard error computed from a binomial model. (E) Receiver operator characteristic analysis for five prediction methods including our mRNN ensemble, the best single mRNN model, FEELnc, CPAT, longdist-SVM, TIC frequency and all-model ensemble (a uniformly weighted ensemble of 5 mRNN models, FEELnc and CPAT). TIC frequency is the number of occurrences of TICs within 1000 nt of, and in-frame with, an upstream AUG, but not after an in-frame UGA/UAA/UAG. AUROC values for each method are presented in the legend. (F) mRNN coding score changes resulting from in silico TIC mutations. While the majority of mutations to TICs lead to a decrease in coding score, mutations to control codons (the codons least enriched in the spike regions) result in smaller score changes on average.
To identify regions of the sequence that most strongly impact mRNN’s decision, we performed unweighted sliding-average smoothing of the coding potential trajectories, then computed the change in score $\Delta S_{\text{mRNA}}(i)$ across the sequence for a window $w$ of 50 nt (Figure 5B). Statistically significant spikes ( Supplementary Figure S10) were identified in 412 of the 500 test mRNAs, and in only 47 of the 500 IncRNAs by fitting a Gaussian to the distribution of IncRNA spike magnitudes, and computing a $P$-value for the mRNA spike values with these parameters. The distribution of the significant spike positions for mRNAs peaked within the CDS, shortly after the start codon (Figure 5C; Supplementary Figures S11 and 12A-B).

To identify the sequence elements associated with significant spikes in coding potential score, we compared the frequencies of in-frame codons in 50-nt windows centered at the spike, to the codon frequencies in the 50-nt windows preceding these spikes. We found 11 significantly enriched codons using a $t$-test and an FDR of 0.05 (Figure 5D and Supplementary Table S1); we named these translation-indicating codons (TICs). 9 of the 11 TICs were also significantly enriched in spike regions of an independent set of mRNAs with long 5’ UTRs (Supplementary Figure S12). Notably, two codons in the synergistic and compensatory pairwise mutation examples above (AAC and UAU) are TICs.

To assess the predictive power of TICs, we defined a TIC-score as the maximum number of TICs occurring within 1000 nt downstream of an in-frame AUG, and preceding the first in-frame stop codon. This TIC-score was able to accurately predict coding potential in the test set with an AUROC of 0.953, just below that of CPAT at 0.969 and above longdist (Figure 5E). The same rule distinguished mRNAs from IncRNAs in the full mouse GENCODE dataset with an AUROC of 0.939 (Supplementary Figure S13). We next computed the reduction in the spike magnitude—the change in $\Delta S_{\text{mRNA}}(i)$—resulting from the mutation of a given TIC codon in silico. Mutation of TICs resulted in spike height decreases 94.7% of the time, while mutations to the least enriched codons in the spike regions decreased spike height only 59.9% of the time (Figure 5F), demonstrating that TICs are an important part of mRNN’s classification process. We also identified a frame-biased, 12-mer motif enriched in spike regions, which possesses some predictive power (Supplementary Figure S14 and Tables S2-3). Some of the TICs are enriched in GENCODE CDSs relative to UTRs and out-of-frame triplets, demonstrating that mRNN learned the complex sequence context that gives these codons predictive power (Supplementary Figure S15).

**DISCUSSION**

In this study, we have shown that GRU networks can successfully model full-length human transcripts. Previous bioinformatics applications of RNNs restricted input sequence length to 2000 nt or fewer by one of three strategies: filtering the dataset on a length threshold (arXiv: https://arxiv.org/abs/1701.08318v1), dividing input sequences into segments of a fixed size (23,24), or truncating input sequences (25). However, one important advantage that deep RNNs have over other deep learning methods is the ability to interpret context and long-range information dependencies. In order to exploit the full power of our GRU network, we did not truncate or segment our training sequences, and we did not constrain our test set inputs by sequence length in any way. Our model showed no impairment in classifying long transcripts when evaluated on the entire mouse transcriptome, or even the longest sequences in human, which exceeded 100 000 nt.

Despite mRNN’s featureless architecture, which precluded it from integrating human knowledge of mRNA structure into its learning process, mRNN was able to learn true defining features of mRNAs, including trinucleotide patterns and depletion of in-frame stop codons after the start of an open-reading frame. In addition to surpassing state-of-the-art accuracy in assessment of transcript coding potential, we demonstrate that the GRU network can be harnessed for identifying specific biological attributes, such as the TICs, that distinguish sequence classes. Many TICs are statistically enriched in coding regions and may affect mRNA structure and translation efficiency. Interestingly, some TIC mutations are known to affect protein expression in human disease contexts; for example, in a study of a disease-causing mutant of the cystic fibrosis transmembrane conductance regulator, Bartoszewski et al. found that a single synonymous mutation of Ile507ATC (a TIC) to Ile507ATT (a non-TIC) altered the mRNA structure and reduced expression of the protein (26). Future work is needed to assess whether TICs play a more general role in mRNA structure and protein expression.

At last, we showed that the recurrent nature of mRNN enabled it to leverage long-range information dependencies for classification, as evidenced by the pairwise mutation analysis. This analysis identified many compensatory and synergistic relationships between distant codons, which may be generally important for protein function conservation (27) and adaptation (28), respectively. In agreement with a previous study of intragenic epistasis in prokaryotes, eukaryotes and viruses, we observed that the majority of compensatory mutations occurred between nearby codons (29); however, mRNN was also able to identify long-range compensatory mutations.

We anticipate that GRU-based approaches will be highly useful for future bioinformatics classification tasks, as well as for uncovering new biological insights in the vast amounts of available sequencing data.

**DATA AVAILABILITY**

Source code implementing data preprocessing, training and downstream analysis is available in the package mRNN from http://github.com/hendrixlab/mRNN.

**SUPPLEMENTARY DATA**

Supplementary Data are available at NAR Online.

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