An immunological autobiography: my year as a COVID-19 vaccine trial participant

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The COVID-19 pandemic caused by severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) is now officially the most devastating pandemic in US history, at least for the last century. The global response to this threat has been swift, leading to the development of multiple safe and efficacious vaccines in record-breaking time. Moderna performed its phase III COVE (COrona-Virus Efficacy) study of its vaccine, mRNA-1273 at the University of Colorado Anschutz Medical Campus. Being an immunologist whose research focuses on mouse models of vaccine-elicited T cell responses, I enrolled in the trial in order to (i) contribute to the process of vaccine approval, (ii) potentially gain much-desired immunity against COVID-19, and (iii) if so, then document my vaccine-elicited response in the process. With expressed permission from the subject in question (me), I utilized a number of assays to evaluate longitudinal biological samples (serum, peripheral blood mononuclear cells (PBMCs), and nasal swabs) acquired over 14 months following initial vaccination. What follows is (as far as I can tell) one of the more comprehensive longitudinal immunological analyses of a vaccine-elicited response derived from a single individual. The data show time-dependent features of the response to the initial two rounds of mRNA-1273 vaccination, as well as the tertiary response to a booster vaccination, that fit well with published results and provide some insights into the strength, breadth, and durability of immunity derived from this vaccination platform.

SERUM EVALUATION OF INNATE CYTOKINES REVEALS ELEVATED IL-1 PRE-BOOST AND TYPE II IFN POST BOOST

Hearing that the University of Colorado was a site for multiple COVID-19 vaccine clinical trials, I applied for enrollment in the first trial to become active on campus, the COVE phase III trial for Moderna’s experimental vaccine, mRNA-1273 (ClinicalTrials.gov Identifier NCT04470427). Upon successful enrollment, and in the event I might receive the vaccine and not the placebo, I began acquiring serum samples immediately before and at numerous time points after my two injection regimen. Data from phase I/II Pfizer and Moderna trials indicated a high incidence of short-term side effects (injection site pain, fever, headache, myalgia, etc.) post vaccination. I experienced a mild degree of pain approximately 5 h post injection at the injection site which sustained over the next 3–4 days. As this is not a side effect as commonly associated with a saline injection, this seemed early evidence that I was not in the placebo group. Evaluation of my serum cytokines found good evidence for this conclusion in the form of greatly elevated IP-10, a highly type I IFN-sensitive chemokine, at 48 h post vaccination (Fig. 1A). This is consistent with primate studies, where IP-10 (CXCL10) was the highest upregulated interferon-inducible gene following mRNA-loaded lipid nanoparticles such as mRNA-1273. Curiously, when evaluated as the fold change in cytokines from pre-vaccine levels, this was the only detectable inflammatory factor (within the limited panel of cytokines evaluated) after my initial vaccination (Fig. 1B), perhaps explaining my lack of any additional symptomology. I also took serum samples just before and after my boosting injection 28 days later. When normalized to the cytokine levels found in the pre-primary vaccination serum sample, three features of my innate signature surrounding the second injection were of interest. First, IL-1beta and IL-1ra were elevated at 28 days, just before the second injection (Fig. 1C). These results suggest the potential of ongoing inflammasome activation (and concomitant IL-1 production) after the priming dose, forming the biological basis for the fever that is more often experienced by vaccinees (though curiously, not me) after the secondary vaccination. Second, even more IP-10 was observed at 48 h post boost, potentially indicating even greater amounts of type I IFN produced after the boost than the priming injection (Fig. 1C). As IFN is an innate cytokine for which any kind of “memory” is not usually anticipated, this increase in IFN was the result of either some version of “trained immunity” or, more likely, the increased presence of inflammatory cells within the injection site (which for me was the same for both injections). Third, this elevated IP-10 could have also been influenced by an unexpected and substantial spike in IFNγ seen at 24 h post boost (Fig. 1C). Given the fact that this was unique to the secondary vaccination, it may be the result of NK cell activation mediated by Fc receptor crosslinking by anti-RBD antibody formed after the first vaccination (see below). However, a role for CD4/CD8+ T cells in this elevated IFNγ signature cannot formally be ruled out (see Fig. 5). Regardless, the detection of IFNγ tracked well with the moderate-to-significant myalgia and headache I experienced after the
VACCINE-ELICITED IMMUNITY AGAINST VOCS, ORAL/NASAL IMMUNITY, AND BACKGROUND RESPONSES TO SEASONAL STRAINS OF CORONAVIRUS

As the pandemic progressed, VOCS became the dominant circulating strains, and as such the focus of analysis for the degree of cross-reactivity from vaccine-elicted immunity. Our multiplexed assay facilitated the incorporation of these VOCS into the longitudinal analysis of the antibody response (Fig. 2A, B). Determining my VOC-specific immunity revealed reduced reactivity to the VOCS as compared to Wuhan RBD, with a similar overall pattern of immune progression; VOC-specific antibody responses showed a 4–6-fold increase after the second dose followed by a similar waning trajectory (Fig. 3A, B). As with immunity to Wuhan RBD, my Delta-specific immunity dropped below that observed after the initial vaccination somewhere ~150 days, (Fig. 3A). Given the correlations between virus neutralization and EC50 for the Wuhan strain (Fig. 2K), these data are again consistent with the increased susceptibility to a breakthrough infection observed for Delta ~6 months post vaccination, further supporting the need for booster vaccines based on time post vaccination and not by age or other risk factors.

I also evaluated my antibody responses to three seasonal strains of coronavirus and to tetanus toxoid (TT). These responses provide
insights into the relationship between SARS-CoV2-specific immunity relative to other infections or vaccinations. As it turns out, I had detectable IgG (Figs. 2B and 3B) to all three seasonal strains of coronavirus evaluated in this assay prior to my mRNA-1273 vaccination. mRNA-1273 vaccination did not demonstrably augment my overall antibody responses to the 229E and HKU1 seasonal strains (Fig. 3B, C), consistent with there being no cross-reactivity between these strains and the SARS-CoV2 spike protein. This point was further emphasized when I acquired flu-like symptoms after attending a scientific conference ~300 days after my initial vaccination. I reported my symptoms to the COVE clinical trial coordinators, was evaluated for COVID-19, and found

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to be negative. The trial provided additional PCR-based evaluation for ~20 other viral infections, and (in a fit of irony) I was found to have contracted the non-pandemic 229E seasonal strain of coronavirus in the middle of a coronavirus pandemic. My serum antibody levels specifically reflected this by increasing substantially (Fig. 3B, C). However, this did not result in any change in antibody titers (IgG or IgA) against SARS-CoV2 (Fig. 3A). I also received a Tdap booster ~100 days post mRNA-1273 vaccination. As with 229E infection, my antibody titers against TT demonstrably elevated (Fig. 3B) but my SARS-CoV2-specific immunity was again unaffected (Fig. 3A).

Curiously, the impact of mRNA-1273 vaccination on IgG specific for the OC43 seasonal coronavirus strain was quite different. OC43-specific IgG was substantially elevated immediately after my vaccination, most easily seen as an increase in the gMFI of OC43-specific IgG (Fig. 3C), though also observable in the EC50 (Fig. 3B). This suggested some degree of cross-reactivity between existing OC43 immunity and the SARS-CoV2 spike protein encoded in the mRNA-1273 vaccine. Previous reports indicated as much between the SARS-CoV2 S2 domain and seasonal coronaviruses. More remarkably, Alter et al. observed that preexisting responses to OC43 predicted earlier development of SARS-CoV2 immunity and decreased severity of COVID-19 infection. I therefore modified the multiplex assay to examine antibody reactivity to the S2 domain of the SARS-CoV2 spike protein and evaluated the initial time points (0–92 days) over which elevated OC43 antibody responses were found. Indeed, a robust correlation was found between my SARS-CoV2 S2-specific IgG and the OC43 spike IgG (Fig. 3D). In contrast, no such correlation was found between HKU1 and SARS-CoV2 S2. These data support the conclusion that the SARS-CoV2 S2 domain shares sufficient similarity with the S2 domain from OC43 such that mRNA-1273 vaccination can augment preexisting OC43 immunity.

I also examined saliva and nasal swab samples for the presence of anti-SARS-CoV2-specific IgG and IgA, as published data indicated that antibodies could indeed be found in these sites following mRNA vaccination. Given my seasonal coronavirus infection, intranasal IgG and IgA against 229E seemed an effective positive control for the identification of mucosal antibodies. My results revealed a high amount of intranasal SARS-CoV2-specific IgG and IgA (Fig. 3E). While intranasal 229E-specific IgA was elevated compared to SARS-CoV2-specific IgA, the amount of vaccine-elicited SARS-CoV2-specific IgG was considerably superior to 229E-specific IgG. It is unclear as to whether the anti-SARS-
CoV2-specific IgG and IgA found in the mucosa were derived from intra- or extra-mucosal antibody production, though spill-over from extra-mucosal sources seems most likely. Regardless, earlier notions that the mRNA vaccines failed to generate immune protection within the respiratory mucosa clearly need re-evaluation, particularly in light of the results from Seder et al. who identified respiratory-based immunity as a primary correlate of vaccine-elicited host protection in non-human primates.

Approximately 5 months into the trial, all participants were unblinded and I was confirmed as having received the vaccine and not placebo. Shortly after crossing 1 year from my initial vaccine dose, Moderna decided to incorporate a ½ dose booster immunization into their trial design. I was given my booster injection at 407 days after my initial vaccination. Within 7 days post-boost, antibodies (IgG) specific to both the original Wuhan strain as well as to the Delta Variant improved substantially, extending beyond even the peak response observed after the secondary vaccination (Fig. 4A). The tertiary response peaked between 12 and 16 days post boost, and then began a decline more gradual than that observed after the second dose, as evaluated by the gMFI at a single serum dilution (Fig. 4A, left), and after calculation of EC50 from serial dilutions at each time point (Fig. 4A, right). This difference between post-secondary and -tertiary immunizations was most easily observed by evaluating the decay of the secondary and tertiary antibody responses by curve fit. The decline of anti-RBD IgG after the secondary vaccination fit exceptionally well ($R^2 = 0.9957$) to an exponential, one phase decay rate (Fig. 4B). In contrast, anti-RBD IgG declined in a strictly linear fashion ($R^2 = 0.9994$) after the tertiary immunization (Fig. 4B). Thus, not only is the peak antibody response higher post tertiary vaccination, the waning of antibody over time operates as an arithmetic, not geometric regression. While the loss of antibody after the secondary vaccination took ~150 days to fall to that observed after the initial vaccine dose (days 21–28), the arithmetic decay of the tertiary response predicts ~250 days to reach the same post-primary vaccine peak. In addition to this increase in the durability of the vaccine response against the original Wuhan-derived RBD, tertiary vaccination had an even more substantial impact on the breadth of antibodies reactive to the Delta and Beta variant spike proteins (Fig. 4A). This was best observed by comparing the fold change in antibody between the peaks of the primary and secondary vaccinations (Fig. 4C, “secondary”) to the fold change in antibody pre- and post-tertiary vaccination (Fig. 4C, “tertiary”). Though the overall magnitude of antibody specific for the Wuhan strain was the greatest (Fig. 4A), the fold change was considerably higher for two VOCs (Fig. 4C). Similar results were obtained when analyzing antibody responses to the Gamma VOC but were not included in the figure for the sake of graph clarity. Thus, despite utilizing the same RBD sequence derived from the original Wuhan strain, tertiary immunization elicited an antibody response of greater magnitude (Fig. 4A), durability (Fig. 4B), and breadth (Fig. 4C) than the secondary vaccination. These results are highly consistent with the observation that boost vaccination generates increased protective immunity even against VOCs such as Delta and Omicron.

### T Cell Effector Function Peaks Shortly After Vaccination and Drops to a Stable Memory Pool

My PBMCs obtained at various times post vaccination were stimulated by overlapping peptides covering the RBD domain of Wuhan-Hu-1 SARS-CoV2 (GenBank sequence identifier: MT380724.1)$^{21}$ and evaluated using the activation-induced marker (AIM) assay as described by Sette, Crotty and colleagues$^{13,22}$. Antigen-responsive T cells were identified as dual CD69$^+$ CD137$^+$ (see Supplementary Fig. 1) 24 h post peptide stimulation (Fig. 5A, C). Within this subset of cells (AIM$^+$) I also evaluated the
frequency of my cells producing cytokines (Fig. 5B, D). While the total number of CD4+ T cells peaked later than the total number of Aim+ CD8 T cells (Fig. 5C), the frequency of IFNγ+ in both CD4 and CD8 T cells was highest ~4 weeks after the second vaccination. The remaining longitudinal samples indicated a declining frequency of effector (AIM+ IFNγ+) (Fig. 5D), but a relatively stable population of total (Fig. 5C), SARS-CoV2-specific T cells over the course of the year. My results are again consistent with published data showing that the second vaccination generates an elevation in effector T cells23,24 and long-lived memory T cell frequencies lasting 6–8 months post immunization16,23,24. My results also add emphasis to the importance of using T cell detection assays independent of specific effector functions (other than surface marker expression); using IFNγ production as the sole identifier of antigen specificity would not only have underestimated the frequency of my SARS-CoV2-specific T cells post vaccination, it would have also indicated a gradual decline in that frequency over time. At the time of the writing of this manuscript, post-boost (third dose) T cell analysis had yet to be performed.

Care must of course be taken in applying my results to that of the broader public. That said, longitudinal evaluation of a single response can provide insights into its broader applicability, particularly when the data found connects well with published observations, as mine does here. For example, a general correlation between the amount of IgG and virus neutralization has been noted13,25 and my results suggest that this correlation within a specific individual may well be even better than previously appreciated. Similarly, the conclusion by Galit et al., that immunity to OC43 correlated with reduced severity of COVID-1917, was arguably limited by the fact that it was derived from COVID-19 patients for whom sample acquisition could only occur post infection. My results clearly indicate a rise in antibodies (i) uniquely reactive to OC43 among the seasonal strains, (ii) dependent on mRNA-1273 vaccination, and (iii) correlating exceptionally well with antibodies against the S2 domain. The fact that this was seen after being vaccinated only against the spike protein adds further strength to the conclusion that OC43 cross-reactivity to the SARS-CoV2 S2 domain is the source of the biological phenomenon observed.

The data presented here provide an effective timeline for the durability of what one might consider an “average” vaccine response for the first round of vaccinations. My data reinforce the 5–7 month time point for the waning of humoral immunity (~5 for total IgG, ~7 for neutralization titers) after the primary rounds of vaccination. These data suggest that booster doses for all would have been more appropriately timed in the direction of the 5-month time point, a fact that the CDC eventually affirmed as well (https://www.cdc.gov/media/releases/2022/s0104-Pfizer-Booster.html). One of the most compelling and encouraging features of the data is the difference between secondary vs tertiary responses. Third-dose immunization enhances the magnitude and breadth of the antibody response that data from other groups indicates is sufficient for mediating reasonable levels of virus neutralization even against strains as diverse as Omicron19,20. In addition, the durability of the tertiary response is greatly augmented relative to that of the secondary, with a linear rather than exponential decay rate.

The history of science is full of examples in which good use has been made from the experience of, and/or data derived from, that of one or two subjects. The history of vaccinology is no different, an excellent example being the initial establishment of suitable anti-tetanus toxin titers following toxin challenge of just two vaccinated individuals (https://www.nvic.org/vaccines). The data...
presented here were acquired, and are presented, in that spirit. Beyond being an interesting way for an immunologist to keep occupied during a pandemic, the longitudinal granularity of these data may have some utility for future evaluations of vaccine-elicted responses.

MATERIALS AND METHODS

Immunizations and sample collection

I enrolled in the Moderna COVE phase III trial and received his first injection on August 25, 2020. Having consented myself to collecting my own biological samples, I began collecting serum the morning before vaccination and at numerous time points afterward as indicated in the text. Serum was isolated using BD SST Vacutainer tubes. For T cell assays, peripheral blood samples were collected in sodium heparin and processed promptly to isolate PBMCs that were frozen in liquid nitrogen until use. Nasal swabs and saliva were also taken at various times post vaccination. Ethical approval was granted retrospectively by the Colorado Multiple Institutional Review Board with reference number 22-1331.

Milliplex Luminex measurements of Ten-Plex of inflammatory cytokines

Innate cytokines were evaluated by Exsera BioLabs utilizing the Luminex MagPix technology and the Millipore’s MILLIPLEX MAP reagents kits according to the manufacturer’s instruction and run in compliance with SOP.EXS.028 Milliplex Assays for Complement and Cytokine Proteins, SOP.EXS.008 Assay Acceptance and Rejection Criteria and all other applicable SOPs.

Multiplexed microsphere immunoassay (MMI)

An MMI was developed using Biolegend caboxylated LegendPlex microbeads to simultaneously quantify IgG and IgA against the spike RBD and nucleocapsid of the Wuhan strain of SARS-CoV-2, three VOCs (beta, gamma, delta), three season coronavirus strains (OC43, 229E, HKU1), and TT as a positive control. Bovine serum albumin (BSA) conjugated beads were used as a negative control. All SARS-CoV2 and seasonal coronavirus proteins were obtained from either BEI or Sino Biological. TT was obtained from Millipore. Validation of RBD and TT protein-bead conjugation was performed by staining with an anti-RBD monoclonal antibody (human chimeric, D002, Sino Biologicals, Wayne, PA) or anti-TT monoclonal antibody (mouse antibody, Jackson Immunoresearch, West Grove, PA), respectively. Beads were mixed in equal ratios (~2000 each/bead/sample well) and incubated with serum samples into storage/running buffer (PBS containing 0.01% Tween20, 0.05% Na3, and 0.1% BSA) and rocked on a shaker plate for 60 min at RT and then washed. Bound IgG was detected by secondary anti-human IgG-biotin (1:3000 dilution) (SouthernBiotech, Birmingham, AL), followed by the addition of streptavidin (SA)-PE (1:1000 dilution) (BD Biosciences, San Jose, CA) and anti-human F(ab)2 IgA-FITC (SouthernBiotech, Birmingham, AL) gMFI of the IgG/IgA for each sample and dilution was captured with a CytoFLEX S flow cytometer (Beckman Coulter) and analyzed with FlowJo (version 10.7.1; BD Biosciences)35. Prism (version 8.4.3, GraphPad) was used to plot data.

SARS-CoV-2 Ab-mediated neutralization assay (focus reduction neutralization test, FRNT)

Serum samples were heat-inactivated and serially diluted (starting at 1:10) into microwells (96-well plate). Approximately 100 focus-forming units of SARS-CoV-2 USA-WA1/2020 were added to each well and the serum plus virus mixture was incubated for 1 h at 37 °C prior to addition to cells. After 2 h, samples were removed, cells overlaid with 0.5% methylcellulose, and incubated 30 h at 37 °C. Cells were fixed with 4% paraformaldehyde and probed with 500 ng/mL of an anti-SARS-CoV spike monoclonal Ab (CR3022). Foci were detected using horseradish peroxidase-conjugated goat anti-human IgG, visualized with TrueBlue substrate, and counted using a CTL Biotospot analyzer and Biotospot software4.
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AUTHOR CONTRIBUTIONS
R.M.K. directed the conception, design, acquisition, analysis, and interpretation of the data. R.M.K. further drafted and revised the manuscript for critical intellectual content, approved the final version, and is accountable for ensuring that questions related to the accuracy or integrity of any part of the work were appropriately investigated and resolved. Experimental support was provided by those listed in the Acknowledgements section.

COMPETING INTERESTS
The author declares no competing interests.

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