Effect of Crystallographic Orientation and Grain Boundaries on Martensitic Transformation and Superelastic Response of Oligocrystalline Fe–Mn–Al–Ni Shape Memory Alloys

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Abstract In situ tensile tests employing digital image correlation were conducted to study the martensitic transformation of oligocrystalline Fe–Mn–Al–Ni shape memory alloys in depth. The influence of different grain orientations, i.e., near-(001) and near-(101), as well as the influence of different grain boundary misorientations are in focus of the present work. The results reveal that the reversibility of the martensite strongly depends on the type of martensitic evolving, i.e., twinned or detwinned. Furthermore, it is shown that grain boundaries lead to stress concentrations and, thus, to formation of unfavored martensite variants. Moreover, some martensite plates seem to penetrate the grain boundaries resulting in a high degree of irreversibility in this area. However, after a stable microstructural configuration is established in direct vicinity of the grain boundary, the transformation begins inside the neighboring grains eventually leading to a sequential transformation of all grains involved.

Keywords FeMnAlNi • Shape memory alloys • Oligocrystalline structure • Martensitic phase transformation • Crystallographic orientation • Digital image correlation • Grain boundaries

Introduction

Shape memory alloys (SMAs) are able to show large recoverable strains due to a fully reversible thermo-elastic phase transformation [1]. Enabled by extensive research activities in the last decades, Ni–Ti became the most commonly used SMA in various industrial applications. It is characterized by superior shape memory and superelastic properties combined with good functional fatigue resistance and good biocompatibility [2]. However, most of the applications so far focus on small-sized components in biomedical, aerospace, automotive and the robotics domain. This is due to the fact that alloying elements are cost intensive and machinability is very challenging [2, 3]. In order to overcome these limitations, low-cost iron-based SMAs with superior machinability gained increased attention in recent years [4–8]. One of the most promising alloy systems is Fe–Mn–Al–Ni–X (X = Ti, Cr) [9–12]. For this alloy system superelastic strains up to 5% in polycrystals [11] and up to 10% in single crystals [11, 13] have been reported. Additionally, Fe–Mn–Al–Ni is characterized by a low temperature dependence of the critical stress for martensitic transformation (0.53 MPa K-1) [11] making it attractive for large scale damping applications in numerous fields.

Essential for the fully reversible phase transformation in this system is the formation of nano-sized, ordered and coherent β precipitates (B2—bcc/NiAl) in the disordered α matrix (A2—bcc) leading to a change from non-thermo-elastic to thermo-elastic behavior [11, 14]. Omori et al. [14] reported that the β precipitates are elastically distorted during the martensitic transformation retaining their coherency even in the martensitic γ phase (A1—fcc). In contrast to the precipitate-free and non-thermo-elastic Fe–Mn–Al alloy [15], nano-twins were found in the...
mission electron microscopy (TEM) analysis focusing on a failure at 9% total strain. Based on complementary trans-

sitic transformation starting at 4% total strain in a

ternal single crystalline sample (aged at 200

°C, 3 h), showed a perfect reversibility under tension up to

whereas a

oriented single crystalline sample (aged at 200

°C for 3 h [16]). However, in light of its susceptibility to room-tem-

temperature aging [17] precipitate sizes of about 13 nm were

stated to be more appropriate to ensure good superelastic

properties and microstructure stability at RT for this SMA [18].

In addition to precipitates, the crystallographic grain

orientation has a significant influence on the superelastic

properties. The relation between the bcc \( \alpha \) matrix

(austenite) and the fcc \( \gamma' \) martensite can be described based

on the Kurdjumov–Sachs relationship [19]. If stress is

applied, martensite variants characterized by high resolved shear stresses in the particular grain orientation are favored

compared to the variants with low resolved shear stresses. By applying the energy minimization theory [20] (for
twinned martensite) and the lattice deformation theory [21] (for detwinned martensite) taking into account the experi-

mentally determined lattice parameters of the \( \alpha \) phase

(0.2903 nm) and \( \gamma' \) phase (0.3672 nm) [11], theoretical

transformation strains were calculated in several studies

[11, 22–26]. In tension, a high anisotropy of the calculated transformation strains between 10.5% (\( <001> \) orientation)

and 3% (\( <111> \) orientation) for twinned martensite [22] and

between 26.5% (\( <001> \) orientation) and 3.3% (\( <111> \) ori-

tentation) for detwinned martensite [11] was revealed [22].

However, despite of the high transformation strains, Tseng et al. [22] reported on limited reversibility of the martens-

itic transformation starting at 4% total strain in a \( <001> \)-

oriented single crystalline sample (aged at 200 °C, 3 h), whereas a \( <123> \)-oriented single crystal (aged at 200 °C, 3 h) showed a perfect reversibility under tension up to

failure at 9% total strain. Based on complementary trans-

mission electron microscopy (TEM) analysis focusing on a

\( <001> \)-oriented single crystal aged at 200 °C for 15 min, which was initially strained up to 8%, and on a non-aged

\( <123> \)-oriented single crystal, which was initially loaded up to

4% strain, further insights into underlying elementary

mechanisms were provided. It was concluded that only a single (detwinned) martensite variant, which is not able to

accommodate the strain without plastic deformation, transforms in \( <001> \) orientation, whereas evolution of

twinned martensite leads to a sufficient level of strain accommodation in \( <123> \) orientation and, thus, to superior

superelastic properties. However, up to now the basic

mechanism leading to a reversibility loss after 4% applied

strain is not clearly understood. In order to close this gap,
in situ experiments were carried out in present work (considering the calculated theoretical strains provided in

literature in parallel) to shed light on the local transforma-

tion behavior during superelastic loading in different

orientations.

Moreover, up to now, the majority of studies focused on

single crystalline [12, 13, 16, 19, 22–26, 27] and

oligocrystalline states [11, 28–30], since the superelastic

performance of Fe–Mn–Al–Ni SMAs is significantly

influenced by the relative grain size with respect to the
cross section of the samples [31]. In particular, in poly-

crystalline state the large transformation anisotropy of the

alloy leads to pronounced incompatibilities at the grain

boundaries. Especially in the vicinity of triple junctions,

this incompatibilities lead to stress concentrations, which

are thought to be responsible for a detrimental superelastic

behavior [32] and for the initiation of intergranular fracture

[29]. Similar observations have also been made in Cu-
based SMAs [33–38] and Co-based SMAs [39, 40].

Moreover, it was shown that the superelastic performance

significantly can be improved in an oligocrystalline state,
i.e., in case the mean grain size exceeds the cross section of the

samples [11, 31, 41]. In Fe–Mn–Al–Ni such coarse

grain structures can be obtained by abnormal grain growth

(AGG) stimulated by a cyclic heat treatment between the

\( \alpha \) single-phase region and the \( \alpha + \gamma \) two-phase region

[11, 42]. Despite the possibility to produce large single

crystals by AGG [10, 42], oligocrystalline structures seem
to be more appropriate in the light of industrial applica-

tions. This is due to lower restrictions regarding the com-

ponent size and the more robust producibility. However, up
to now the interaction between local martensitic transforma-

tion and grain boundaries is not well understood in

oligocrystalline Fe–Mn–Al–Ni [32].

In the present study, high resolution in situ tensile tests

supported by digital image correlation (DIC) were con-
ducted to investigate the influence of individual grain ori-

entations and of grain boundaries on the martensitic

transformation in oligocrystalline structures. For this pur-

pose, oligocrystalline samples characterized by different

orientations and different misorientation angles between

the grains involved in the transformation were studied. The

correlation between the theoretical transformation strains

and the experimentally determined local strains is exploited
to provide for novel insights into the evolution of martensite during loading and further shed light on the

reverse transformation during unloading.
Material and Methods

Fe–Mn–Al–Ni ingots with a nominal chemical composition of Fe–34%Mn–15%Al–7.5%Ni (at.%.) were produced by vacuum induction melting. Dog-bone-shaped tensile samples with a gauge length of 12 mm and a cross section of 1.6 mm × 1.5 mm were extracted by electro-discharge machining (EDM). The samples were then ground to a grit size of 5 μm and sealed into quartz tubes under argon atmosphere. A cyclic heat treatment between the α single-phase region (1200 °C) and the (α + γ) two-phase region (900 °C) was carried out to promote AGG. Three heat treatment cycles were conducted, each with a dwell time of 30 min at 1200 °C and 15 min at 900 °C. The heating and cooling ramps were 10 K/min. After the final heat treatment cycle the samples were hold for 60 min at 1200 °C and then quenched into 80 °C warm water to suppress cracking and martensite formation during quenching [29, 43]. Finally, the samples were aged at 200 °C for 3 h to introduce well-dispersed nano-sized β precipitates. For the following microstructural analysis and tests the samples were again ground to 5 μm and then vibration-polished using a colloidal SiO₂ suspension with 0.02 μm particle size. For microstructural characterization, a scanning electron microscope (SEM) operating at 20 kV equipped with an electron-backscatter diffraction (EBSD) system was used.

The experimental setup for the in situ tensile tests comprised a miniature load frame (Kammrath & Weiss, Germany) and a confocal laser scanning microscope (CLSM) type LEXT OLS3100 (Olympus, Japan). The tensile tests were performed with a constant crosshead displacement rate of 1.2 μm s⁻¹ under displacement control. Strain values for the stress–strain diagrams were calculated from displacement data. The use of the CLSM allowed for taking high resolution images (with high depth of focus) of the sample surface during the tensile test. During CLSM image acquisition, the tensile test was stopped at defined positions and the displacement was kept constant. For the CLSM overview images of the sample surfaces in present work, several images were merged using Photoshop CS6 (Adobe, USA). The high resolution of the detail images and the natural grayscale contrast of the polished surfaces enabled the subsequent calculation of local strains using digital image correlation (DIC). For this purpose, images of the samples in the initial state were correlated with images in the deformed states using the software VIC-2D (Correlated Solutions Inc., USA). For each analysis parameters for DIC, such as subset and step size, have been individually chosen to allow for robust correlation. By direct comparison of the experimentally determined strains in load direction (LD) with the theoretical transformation strains of the respective grain orientation [22] according to the energy minimization theory [20] and the lattice deformation theory [21], respectively, conclusions could be drawn assessing the martensite structure being locally present, i.e., twinned or detwinned.

Results and Discussion

To study the influence of different grain orientations, in situ tensile tests on oligocrystalline samples were conducted (Fig. 1). In the first sample the transformation takes place in a near-(011)-oriented grain (Fig. 1a), whereas in the second sample the martensite initially transforms in the right, i.e., the near-(001)-oriented grain (Fig. 1c). In the following, the samples are referred to as the “near-(101) sample” and the “near-(001) sample”, respectively. The corresponding stress–strain curves reveal the superelastic behavior of both samples (Fig. 1b and Fig. 1d). Due to different resolved shear stress factors (0.36 for the (101) orientation and 0.44 for the (001) orientation [44]) the critical stress for martensitic transformation σᵣᵣ is higher in the near-(101) sample (Fig. 1b) as compared to the critical stress of the near-(001) sample (Fig. 1d). It is obvious, that the near-(101) sample shows excellent reversibility after loading up to 7% strain. Interestingly, the near-(001) sample reveals partial reversibility of more than 4%, which was not the case in incremental strain tests on (001)-oriented single crystals conducted by Tseng et al. [22].

CLSM overview images of the near-(101) sample in the loaded condition at 7% strain and after unloading are shown in Fig. 2a and b, respectively. In good agreement with the stress–strain curves, the apparent topography,
which can be linked to the martensitic transformation (Fig. 2a), vanishes after unloading (Fig. 2b). Evaluating the image in the loaded state, it becomes obvious that only martensite plates of one orientation evolved within the grain eventually leading to a uniform transformation front. In order to investigate in how far these martensite plates consist of twinned or detwinned martensite, DIC was applied within the highlighted area marked with A in Fig. 2a. Figure 2c shows the local strain distribution in LD. It is obvious that the strain is mainly accommodated by the martensite, whereas the austenite is only elastically deformed. The maximum strain determined by DIC in the martensite is 9.2%. For assessment of the prevailing martensite (i.e., twinned or detwinned martensite), the maximum strain in martensite is compared with the calculated theoretical transformation strains of this orientation. Theoretical values are about 9% for a twinned structure and about 13% for a detwinned structure [22].

Figure 3a shows the CLSM overview image of the near-\(\{101\}\) sample shown in Fig. 1a and b. CLSM overview image of the sample in the loaded (a) and unloaded (b) condition, and the local strain distribution in LD of the area marked with A determined via DIC (c)
loaded up to 3.5% in tension [19], a progressive activation of new areas of the sample during cyclic loading was observed. Areas with newly transformed martensite were characterized by good reversibility upon subsequent unloading, however, hampered reactivation of martensite after further cycling [19, 30]. In the light of the results presented here, it can be assumed that the stress induced martensite is initially twinned, however, later on detwins with further loading eventually leading to necking and high degrees of irreversibility. Based on the elementary mechanisms resolved here, it seems to be feasible to increase the reversibility of specific orientations tending to detwin. In such cases, the energetic barrier for detwinning has to be increased in comparison to the energetic barrier for the transformation of new areas.

To investigate the transformation behavior at grain boundaries of oligocrystalline structures, in situ tensile tests were performed on two Fe–Mn–Al–Ni samples characterized by different misorientations between the involved grains. Figure 4 shows the EBSD orientation map (a), the corresponding (001) pole figure of the grains involved in the transformation (b), as well as the stress–strain curve up to a total strain of 18% (c) of the near-(001) sample already considered before. The grain boundary between the two near-(001) oriented grains is characterized by a misorientation angle of 23°.

After reloading a stress plateau at the same level as before can be seen. Only minor reversibility of the transformation can be made out after unloading at 18% strain. Figure 5a shows a CLSM overview image of the area highlighted in Fig. 4a in the loaded condition at 18% strain. Within the area of the grain boundary marked by the dotted blue line it can be seen that martensite plates cross the grain boundary with almost no change in orientation. This is different to observations made by Ueland and Schuh [34, 35] in a Cu-based SMA. They showed that grain boundaries have an influence on the orientation of activated martensite plates, however, no martensite crossing the grain boundaries has been revealed. In the present case, both grains (austenite) have a similar orientation and, thus, a similar orientation of the martensite is favorable in both grains.

A kind of locally stable condition is established after the martensite plates crossed the grain boundary (i.e., no further changes are seen in this area) and the transformation proceeds inside the grain as it is highlighted by the martensite plate marked with a black arrow in the interior of the left grain. After unloading (Fig. 5b), the area marked with the black arrow reveals a fully reversible transformation, whereas in the area of the grain boundary, a major amount of martensite remains in both, the firstly and the subsequently transformed grain. By direct comparison of the in situ observations with the stress–strain curve shown in Fig. 4c it becomes obvious that the sudden transformation of the martensite plate within the secondly activated grain can be correlated to the stress drop just before the end of the loading plateau. Furthermore, the reverse transformation during unloading leads to a small plateau at about 240 MPa.

In Fig. 6a, the grain boundary area, marked in Fig. 5a, is shown in detail. Most of the martensite plates cross the grain boundary without a change of orientation. However, additional martensite plates with deviating orientations (red arrows in Fig. 6a) indicate that locally differing stress
states prevail in the vicinity of the grain boundary, eventually leading to the formation of unfavored martensite in terms of reversibility.

To verify whether the irreversibility in the grain boundary region is due to detwinning of the martensite or due to stress concentrations associated with the grain boundary, local strain distributions in LD in the loaded and unloaded state of the area highlighted with a red box in Fig. 6a are shown in Fig. 6b and c, respectively. In the loaded condition, the experimentally determined maximum strains on both sides of the grain boundary are between 10 and 12%. These values are within the range of the theoretical transformation strains for twinned martensite in the orientations involved. Moreover, an additional martensite plate with a local strain of only about 6% can be seen on the right side in the primarily transformed grain. Presuming a robust correlation within this very narrow area based on the DIC parameters chosen, it is concluded that additional martensite plates were formed due to stress concentrations in the vicinity of the grain boundary. Obviously, these variants do not have a favored orientation with respect to strain accommodation in LD. Evaluation of the unloaded state reveals that the martensite plates are not reversible at this spot. Based on these results, it is likely that despite of the low misorientation between the grains, high stress concentrations are present during loading, which lead to high dislocation densities and to the formation of unfavorable martensite eventually resulting in a high degree of irreversibility in the vicinity of the grain boundary. In a former study it was shown that even subgrains with a misorientation of about 3° can be detrimental for the superelastic performance in Fe–Mn–Al–Ni–Ti [10]. Compared to a sample without subgrains, a higher critical stress for martensitic transformation, a larger stress hysteresis and eventually a lower recoverability were observed. It was shown that the martensite crosses the subgrain structures, however, in some subgrains additional martensite variants occurred. Detailed investigations of the influence of subgrains on the martensitic transformation have not yet been carried out and will be considered in more depth in future studies.

Figure 7 shows an EBSD orientation map (a), the corresponding (001) pole figure highlighting the orientation of the transforming grains is shown in (b) besides the stress–strain curve up to 18% applied strain in (c).
previous sample, this sample is characterized by a superior reversibility.

Figure 8a reveals that the left grain transforms first. After initial severe transformation within the grain boundary area a locally stable condition is reached, i.e., no further changes are seen here. Afterwards, a new martensite plate appears within the right grain upon further loading (black arrow). Despite the high misorientation between the grains, again martensite plates can be identified, which seem to cross the grain boundary partially without a change of orientation. Still, after unloading both grains are characterized by a high reversibility of transformation (Fig. 8b). This is in good agreement with the stress–strain hysteresis shown in Fig. 7c.

A CLSM image detailing the grain boundary area in the loaded state is shown in Fig. 9a. It can be seen that stress concentrations at the upper part of the grain boundary are accommodated by martensite plates with different orientations. This is likely caused by the grain boundary morphology leading to stress states known from grain boundary

![Fig. 6 CLSM detail image and evaluation of the local strain distribution at the grain boundary. Detail image (a) of the area marked in Fig. 5a with a white box. Local strain distribution in LD at 18% applied strain (b) and after unloading (c) of the area marked in (a) with a red box. Red arrows in (a) highlight additional martensite plates being characterized by deviating orientations (Color figure online)](image)

![Fig. 7 Superelastic behavior of the Fe–Mn–Al–Ni tensile sample with a misorientation angle of 60° between the transforming grains. EBSD orientation mapping plotted for LD (a). The area considered for in situ characterization is marked with a white box. The corresponding (001) pole figure highlighting the involved grains is shown in (b) besides the stress–strain curve up to 5.5% applied strain in (c)](image)
triple points [35, 39]. In contrast, the martensite plates in the grain center run across the grain boundary and expand up to 400 μm into the right grain. However, the magnified view in Fig. 9b reveals that the interaction at the grain boundary is accompanied by strong distortions and the formation of additional martensite plates with different orientations. Due to the high misorientation between the grains it had to be expected that unfavorable martensite will occur to accommodate the internal stresses. Figure 9c shows the local strain distribution after unloading in this region. It can be seen that the transformation in the left grain is completely reversible, whereas high residual strains remain in the martensitically transformed region in the right grain. Thus, the grain boundaries represent potential starting points for functional and structural fatigue in oligocrystalline structures. Advantageously, after reaching a locally stable condition, a sequential transformation within the grains sets in preventing further detrimental deformation within the grain boundary area.

Conclusions

In the present study, high resolution in situ tensile tests using CLSM supported by DIC were conducted to investigate the influence of grain orientations and grain boundaries with different misorientation angles on the martensitic transformation in oligocrystalline Fe–Mn–Al–Ni. By direct comparison of the experimentally determined local strains with theoretical transformation strains available in literature, it was possible to determine the martensite structure locally being present, i.e., twinned or detwinned. Eventually, this allowed to correlate the martensite structure and reversibility of transformation within grains of different orientation and in direct vicinity of grain boundaries. Based on the results reported in present work, the following conclusions can be drawn:

- Superelastic loading in near-⟨001⟩ orientation is accompanied by local detwinning of martensite within previous transformed areas within a single grain. During unloading the initially twinned martensite shows good reversibility, whereas the detwinned areas show almost no reversibility. In contrast, the near-⟨101⟩ orientation shows hardly any detwinning and, thus, a superior superelastic behavior. In consequence, hampering detwinning of previously twinned martensite seems to be the key to improve the reversibility of specific orientations such as ⟨001⟩, which are currently prone to detwinning.
- Independent of the misorientation between the grains in oligocrystalline Fe–Mn–Al–Ni, stress concentrations locally occur at the grain boundaries leading to the formation of unfavorable martensite in direct vicinity of the grain boundaries. In particular, if martensite plates cross the grain boundary, stress concentrations lead to the formation of irreversible martensite of unfavorable orientation elsewhere.
orientation. However, when a locally stable condition is established at the grain boundary, further transformation takes place preferentially within the newly activated grain preventing further detrimental deformation within the grain boundary area.

- Assessing the overall performance of Fe-Mn-Al-Ni SMA, the grain orientation-dependent reversibility predominates the local irreversibility at the grain boundary.

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