Comparison between dynamic stabilization and instrumented fusion in the treatment of spinal stenosis with degenerative lumbar scoliosis

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SUBJECT AREAS Orthopedic Surgery

KEYWORDS Dynamic stabilization, Dynesys, Lumbar spine, Posterior instrumented stabilization, Degenerative lumbar scoliosis
Abstract

Background Posterior instrumented fusion is the most widely accepted surgical treatment for spinal stenosis with degenerative lumbar scoliosis (DLS). However, long fusion can affect daily activities due to lumbar stiffness. Several clinical studies have shown that Dynesys dynamic stabilization in addition to laminectomy could lead to significant improvements in clinical outcomes. This study aimed to compare the outcomes of Dynesys dynamic stabilization with posterior instrumented fusion for the management of spinal stenosis with DLS.

Methods Between August 2010 and December 2015, a total of 46 patients with spinal stenosis and degenerative lumbar scoliosis were enrolled in this study. 26 patients (Dynesys group) had fenestration decompression, selective intervertebral fusion and Dynesys stabilization in. 20 patients (fusion group) underwent posterior instrumented fusion. Clinical outcomes, radiographic data, and perioperative complications were compared between the two groups. The average duration of follow-up for the Dynesys group and fusion group was 38 and 33 months respectively.

Results The mean number of fixed segments were 3.3±0.8 in Dynesys group and 4.3±0.8 in fusion group. Lower average values of operative duration and blood loss were observed in the dynamic group than in the fusion group. VAS for back and leg pain improved in both groups of patients. There were significant difference in ODI and LSDI (lumbar stiffness disability index) between Dynesys group and fusion group at the last follow-up. The scoliosis Cobb's angle and lumbar lordosis significantly improved in both groups after surgery, and no significant difference were observed between the groups at the last follow-up (P<0.05). Dynesys
stabilization resulted in significantly higher preservation of motion at the implanted segments.

Conclusions This study demonstrated that both Dynesys dynamic stabilization and instrumented fusion can improve clinical outcomes of patients with degenerative lumbar scoliosis. Compared to instrumented fusion, Dynesys stabilization in have advantages on blood loss, operation time, perioperative complications. In addition, Dynesys stabilization partially preserves the ROM of the stabilized segments that may reduce the limitation on daily activities caused by lumbar stiffness. Dynesys stabilization can also correct scoliosis, prevent progression of the curve, and maintain lumbar lordosis in mild to moderate lumbar scoliosis without sagittal imbalance.

Background

Degenerative lumbar scoliosis (DLS) is defined as a spinal deformity which develops during adulthood due to asymmetric degenerative changes of the disc, vertebral body and facet joint, with a coronal Cobb measurement ≥ 10° [1]. It is a common disease in the middle-aged and elderly population [2]. In most patients with low back pain, the curve is likely to progress [3]. DLS is frequently associated with disc herniation, degenerative spondylolisthesis and stenosis [4]. The symptoms are mainly low back pain, radicular pain and neurogenic claudication. Patients with degenerative scoliosis often have some comorbidities such as hypertension, diabetes or respiratory diseases. So the treatment should focus on alleviating symptoms and preventing further progression of scoliosis, rather than deformity correction [5].

When conservative treatment fails, surgical treatment should be considered [6].
the present time, surgical treatments mainly include simple spinal decompression and lumbar fusion with instrumentation[7]. Simple spinal decompression can relieve radicular pain in the lower limbs. However, decompression alone presents a poor long-term result which is related to progression of deformity [8, 9]. Lumbar fusion with instrumentation is the most widely accepted surgical treatment. It can be divided into short fusion and long fusion. Long fusion was superior to short fusion in the correction of the Cobb angle, coronal imbalance and lateral listhesis, but it is likely to increase perioperative complications [10]. In addition, long fusion can obviously affect the activities of lumbar spine, such as bending down, squatting, wiping after the stool, and so on [11].

In recent years, a dynamic stabilization system (Dynesys system, Fig. 1) has been used for DLS. Several clinical studies have shown that Dynesys dynamic stabilization in addition to laminectomy could lead to significant improvements in clinical outcomes and maintain enough stability to prevent progression of scoliosis and instability [12–15]. However, laminectomy damaged the posterior column structures of the lumbar spine which could increase the stress on the screws. Moreover, good lumbar lordosis was correlated with clinical symptoms[16], and significant lateral olisthesis could lead to scoliosis progression[3]. But Dynesys system has limited ability to correct lumbar kyphosis[17] and lateral olisthesis. Therefore, we treated DLS with fenestration decompression, selective intervertebral fusion and Dynesys dynamic stabilization. The purpose of this study is to discuss the clinical efficacy of this method and to evaluate its effectiveness in stabilizing the lumbar spine and preventing the progression of scoliosis.

Methods
**Patient Population**

Between August 2010 and December 2015, a total of 46 patients with DLS were enrolled in this study (The inclusion and exclusion criteria are shown in Table 1). Patients were divided into 2 groups, Dynesys (n=26) and instrumented fusion (n=20). Five patients underwent additional single-segmental intervertebral fusion in the Dynesys group.

**Surgical procedure**

**Fenestration decompression, selective intervertebral fusion, dynamic stabilization with the Dynesys system.**

Patients were placed in the prone position under general anesthesia. Wiltse approach was used to expose the basilar part of the transverse process and the lateral margin of the superior articular[18]. The entry points of pedicle screws were located at the intersection of the lateral margin of the superior articular and the basilar part of the transverse process in the super - median 1/3. Pedicle screws were positioned under imaging control. The extent of fixation included decompressed segments and segments with instability, spondylolisthesis, lateral olisthesis, not to end at the apical vertebra. Interlaminar fenestration was used for decompression. When there were segments recurvatum, lateral olisthesis > 12mm, or foraminal stenosis required removal of articular processes, transforaminal lumbar interbody fusion (TLIF) was performed. Then the patients’ position was modified to obtain the appropriate lumbar lordosis. The polycarbonate urethane spacer was cut according the measured distance between the screws (distraction force 1.0N, longer on the concave side and shorter on the convex side). The spacer length was properly reduced in the fusion segment for intervertebral compression. The central cord and the spacer were then locked within the screw heads (Fig. 2). Autogenous
blood transfusion was used in the operation. Patients received a soft support lumbar corset for 3 months after surgery.

**Posterior instrumented fusion**

Patients were placed in the prone position under general anesthesia. Posterior median approach was used to expose the lamina and facet joints. Pedicle screws were positioned under imaging control. Horizontal vertebra was selected as the upper instrumented vertebra. L5 or S1 was selected as the lower instrumented vertebra. Curve correction was carried out by distraction on the concave side and compression on the convex side. When there were segments need discectomy, or lateral olisthesis > 12mm, TLIF was performed. Posterolateral fusion was performed at other segments (Fig. 3). Autogenous blood transfusion was used in the operation. The patient wore a hard brace for 3 months after surgery.

**Clinical and Radiological Evaluation**

Clinical outcomes were assessed by means of visual analog scale (VAS) for back and leg pain, Oswestry Disability Index (ODI), and lumbar stiffness disability index [19] (LSDI, Table 2). Operative time, blood loss, and complications were also documented. Posteroanterior, lateral, and dynamic radiographs with flexion and extension views were obtained preoperatively, postoperatively, and at last follow-up. Radiological evaluation index included lumbar sciotic angle, lumbar lordotic angle, and the range of motion (ROM). A “Double halo sign” (Radiolucent line around the implant ≥2mm wide) on X-rays was defined as screw loosening.

**Statistical analysis**

SPSS 16.0 software was used for statistical analysis. Chi-square test and rank sum test were used for categorical data. T test was used for comparison among groups of quantitative data, and variance analysis and q test (student-newman-keuls, SNK)
were used for intra-group comparison. If the condition of parameter test is not satisfied, the rank sum test method is adopted. When P < 0.05, the difference was considered to be statistically significant.

Results

**Perioperative data and complications**

In the Dynesys group, the mean number of fixed segments was 3.3±0.8. Mean operating time was 258±71 minutes, while intraoperative blood loss was was 771±494 ml. One testicular hydrocele case, one oral mucous ulcer case, one upper respiratory infection case, and one pulmonary infection case were resolved after medical treatment. Poor wound healing occurred in 3 cases which was cured by secondary suture. One patient developed transitory radiating pain after surgery, which was relieved by medication. One patient developed muscle weakness of lower extremity, which was recovered by neurotrophic therapy and functional exercise 1 month after surgery. The mean follow-up duration was 38 months (range, 24-76 months). Screw loosening was found on plain radiographs in one patient. There were no cases of incision infection, screw misplacement, screw breakage, or reoperation.

In the Fusion group, the mean number of fixed segments was 4.3±0.8. Mean operating time was 367±59 minutes, while intraoperative blood loss was was 1280±538 ml. One atrial fibrillation case and one Deep venous thrombosis case were resolved after medical treatment. Poor wound healing occurred in 2 cases which was cured by secondary suture. One patient developed surgical site infection, which was cured by antibiotics and debridement. Two patient developed transitory radiating pain after surgery, which was relieved by medication. One patient developed severe low back pain, which was alleviated by symptomatic treatment
with non-steroidal anti-inflammatory drugs (NAIDs). The mean follow-up duration was 33 months (range, 23-68 months). There were 3 cases of screw loosening, 1 case of proximal junctional kyphosis, and no cases of implant breakage or pseudarthrosis.

The difference in operation time and blood loss between the two groups was statistically significant (P < 0.05). The Dynesys group had shorter operation time and less blood loss.

**Clinical outcomes**

In the Dynesys group, the mean VAS scores for low back pain obtained preoperatively, 6 months after surgery and in the last follow-up visit were 5.2±1.7, 2.3±1.4, and 2.1±1.1, respectively. The corresponding mean VAS scores for leg pain were 5.8±1.5, 1.6±0.4, and 1.8±0.9. The corresponding ODI scores were 66.9±17.8, 30.7±12.3, and 21.6±11.6. In the fusion group, the mean VAS scores for low back pain obtained preoperatively, 6 months after surgery and in the last follow-up visit were 5.5±2.0, 3.2±1.2, and 2.8±1.2, respectively. The corresponding mean VAS scores for leg pain were 6.0±2.9, 1.7±0.7, and 2.0±0.8. The corresponding ODI scores were 63.7±19.1, 32.9±14.2, and 30.2±12.9. In both groups, VAS_{back}, leg and ODI scores decreased after surgery, and there was a statistically significant difference between preoperative and last follow-up scores (p < 0.05). There was no statistically significant difference in VAS_{back}, leg and ODI scores between the two groups preoperative. However, VAS scores for low back pain at 6 months after surgery and at the last follow-up, ODI scores at the last follow-up were lower in the Dynesys group than that in the fusion group, and the difference was statistically significant.

There was no statistically significant difference in LSDI between the two groups.
before surgery (21.2±10.4 versus 23.1±15.6). The LSDI was significantly less in the Dynesys group as compared to the fusion group at last follow-up (23.8±14.2 versus 40.1±16.4, $P<0.05$) (Table 3).

**Radiological outcomes**

**Scoliosis Cobb angle**

In the Dynesys group, the mean scoliosis Cobb angle was 15.1°±4.4° before surgery, 6.9°±2.7° after surgery, and 6.5°±2.4° at the last follow-up. In the fusion group, the mean scoliosis Cobb angle was 16.9°±2.6° before surgery, 6.4°±3.7° after surgery, and 5.2°±3.3° at the last follow-up. Compared with preoperative values, the scoliosis Cobb angle in both groups decreased significantly after surgery and at final follow-up ($P < 0.05$). In the Dynesys group, the difference between postoperative and last follow-up was not statistically significant ($P > 0.05$). There was no statistically significant difference between the two groups in preoperative, postoperative and at last follow-up ($P \geq 0.05$) (Table 4).

**Lumbar lordosis**

In the Dynesys group, the mean lumbar lordosis was 31.6°±12.5° before surgery and 36.4°±14.8° after surgery, the difference was statistically significant ($P < 0.05$). At the last follow-up, the figure was 34.1°±15.3°, showing no statistical difference compared with that after surgery ($P > 0.05$). In the fusion group, the mean lumbar lordosis was 29.3°±7.7° before operation, and increased to 36.5°±11.1° after operation, with statistically significant difference ($P < 0.05$). At the last follow-up, the figure was 34.8°±8.9°, showing no statistical difference compared with that after surgery ($P > 0.05$). There was no statistically significant difference between the two groups in lumbar lordosis before operation, after operation and at the last follow-up ($P > 0.05$) (Table 4).
Range of motion

ROM values of the implanted segments and L1-S1 levels were measured preoperatively and in the final follow-up. There were no significant differences in the mean ROM values for the implanted segments and the L1-S1 levels between patients in the Dynesys group and fusion group preoperatively (21.9°±12.6° versus 27.3°±14.2°, P > 0.05; 36.0°±16.3° versus 28.4°±11.6°, P > 0.05). However, there were statistical differences in the mean ROM values of the implanted segments and L1-S1 levels between the two groups (10.7±5.1 versus 0.70±0.66, P < 0.05; 29.7±8.0 versus 8.1±1.5, P < 0.05) in the final follow-up (Table 4).

Discussion

Although scoliosis correction is not the main goal of surgery, it is essential to prevent scoliosis further aggravation. Simotas et al. followed up 49 patients with lumbar spinal stenosis with conservative treatment for 3 years, and found that lumbar scoliosis was one of the reasons for poor results [20]. Instrumented fusion has an advantage in scoliosis correction and maintaining lumbar lordosis. However, there are some disadvantages, such as long operation time, excessive blood loss, and high incidence of perioperative complication [21]. In addition, most patients with lumbar degenerative scoliosis are elderly. Surgery less invasive than instrumented fusion should be considered. Therefore, fenestration decompression with selective intervertebral fusion and Dynesys fixation was used in this study. This surgical treatment reduced the operation procedures of the facet joints resection, clearance of intervertebral space and preparation of bone graft bed. Our results indicated that both operation time and blood were significantly less in the Dynesys group compared to fusion. However, the Dynesys group still had an average blood loss of
771 ml during the operation. It is possibly caused by the fenestration decompression in the Dynesys group requiring less bone dissection, which was difficult in patients with degenerative changes, such as hypertrophy of the facet joints and ligamenta flava. Blood loss, operation time, age and the number of instrumented segments are risk factors for perioperative complications [21, 22]. Our data showed the incidence of complications in the Dynesys group was lower than that in the fusion group (42.3% vs. 65%), which was most likely due to fewer segments, less blood loss and operation time compared to fusion.

In our study, VAS\textsubscript{back}, leg scores and ODI scores improved in both groups in the last follow-up compared with preoperative scores, indicating that both methods were effective for the treatment of DLS. However, VAS scores for low back pain showed better improvement in the Dynesys group than those obtained in the fusion group, which may be related to Wiltse approach with less disturbance to lumbar dorsal muscles.

In recent years, patients are increasingly concerned with the function of lumbar spine after long segments fusion[23]. Some patients complained about the inconvenience caused by lumbar stiffness in daily life, such as wearing shoes, taking a shower, wiping after stool[24], et al. Robert et al. designed the lumbar stiffness disability index (LSDI) scale to evaluate the limitation of daily activities caused by lumbar stiffness[25]. We used this scale to compare the lumbar function of patients between the two groups. The results showed that the LSDI increased significantly in the fusion group after surgery, while there was no statistical difference between preoperative and last follow-up scores in the Dynesys group. It indicated that dynamic stabilization with the Dynesys system would not impair the function of lumbar spine in treating DLS.
In the Dynesys group, scoliosis was well corrected from 15.1° preoperatively to 6.9° postoperatively, and 6.5° at last follow-up, without significant loss of correction. Although scoliosis correction was better in the fusion group than in the Dynesys group, the difference was not statistically significant.

Lateral olisthesis is an important radiographic parameter that affects the clinical symptoms of patients with DLS. Moderate to severe lateral olisthesis (equal or more than 6 mm) demonstrated more severe back pain than mild lateral olisthesis[16].

Intervertebral recurvatum is also an important factor affecting the long-term clinical efficacy. Intervertebral recurvatum or insufficient lordosis causes increased angular motion at the adjacent levels[26]. The loss of lumbar lordosis was also closely related to the clinical symptoms of low back pain[27]. Therefore, significant lateral olisthesis and intervertebral recurvatum should be corrected. However, rigid rods are replaced with elastic spacers made of polycarbonate polyurethane (PCU) and connectors made of polyester fiber (PET) in the Dynesys system, which has inadequate ability to correct lateral olisthesis and intervertebral recurvatum. In this study, transforaminal lumbar interbody fusion was performed at the segments with obvious lateral olisthesis and intervertebral recurvatum. In addition, the patients’ position was modified to obtain the appropriate lumbar lordosis before stabilization.

The results showed that lumbar lordosis were well maintained or improved after operation, and the effect was comparable to that of fusion surgery. Dynesys is unable to correct the obvious sagittal imbalance of the spine, so this study did not involve these cases.

Screw loosening in dynamic stabilization has been a concern. Patients with degenerative scoliosis are mostly elderly, often combined with osteoporosis, making this concern more prominent. In this study, patients with severe osteoporosis were
excluded. Only 1 case of screw loosening was found in the Dynesys group at the last follow-up, and no revision surgeries were required. The loosening rate (1/26) was lower than that in the fusion group (3/20). One reason may be that the fenestration decompression has less damage to the stability of the lumbar spine. Another cause may be that elastic spacers and connectors can disperse stress on the implants. Yu et al. compared radiographic outcomes of Dynesys and posterior lumbar interbody fusion (PLIF) for the treatment of multisegment degenerative disc disease with a minimum follow-up of 3 years, and confirmed no significant difference in the incidence of screw loosening between the two groups[28]. Wu et al. analyzed 658 screws in 126 patients with an average age of 60.4 years, 31 screws (4.7%) in 25 patients (19.8%) were shown to have loosened during an average follow-up period of 37.0 months. All 25 patients with screw loosening were asymptomatic, and in 6 (24%) osseous integration was demonstrated on later follow-up[29]. There were big differences in the incidence of screw loosening with the Dynesys system reported in the literature (range 0-73.5%), which might be related to the inconsistency of the diagnostic criteria[30]. In our study, the rate of screw loosening was lower compared with previous reports for several reasons. Firstly, the bone and ligament tissue of the posterior column was preserved as much as possible during decompression. Secondly, the screw placement was improved. The pedicle screws with a diameter of 6.4 mm and a length of 45–50 mm were inserted on the lateral side of the facet joints as deep as possible. Last, pedicle screws were positioned under imaging control, avoiding reinsertion that might reduce fixation strength.

Conclusions

This study demonstrated that both Dynesys dynamic stabilization and instrumented
fusion can improve clinical outcomes of patients with DLS. Compared to instrumented fusion, fenestration decompression, selective intervertebral fusion and Dynesys stabilization have advantages on blood loss, operation time, perioperative complications. In addition, Dynesys stabilization partially preserves the ROM of the stabilized segments that may reduce the limitation on daily activities caused by lumbar stiffness. Dynesys stabilization can also correct scoliosis, prevent progression of the curve, and maintain lumbar lordosis in mild to moderate lumbar scoliosis without sagittal imbalance.

List of Abbreviations

DLS degenerative lumbar scoliosis
VAS visual analogue scale
ODI Oswestry disability index
LSDI lumbar stiffness disability index
ROM range of motion
TLIF transforaminal lumbar interbody fusion
SPSS Statistic package for social science
SNK student-newman-keuls
NAIDs non-steroidal anti-inflammatory drugs
PCU polycarbonate polyurethane
PET polyester fiber
PLIF posterior lumbar interbody fusion

Declarations

Ethics approval and consent to participate
This study has been approved by the Ethical committee of the Third Affiliated Hospital of Chongqing Medical University (Gener Hospital) (SKYW20190106).

Consent for publication
Not applicable.

Availability of data and material
The datasets used and/or analysed during the current study are available from the corresponding author on reasonable request.

Competing interests
The authors declare that they have no competing interests.

Funding
Not applicable.

Authors’ contributions
LL and QZ conceived and designed the study. QZ and FL carried out the operation. LL, LF, CZ, LCL and YHC collected the data. PL and LHL analyzed the data. LL and QZ wrote the manuscript. All authors have read and approved the final manuscript.

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Tables

Table 1: Inclusion criteria and exclusion criteria

| Inclusion criteria                                                                 |
|----------------------------------------------------------------------------------|
| 1. Age ≥40 years at the time of surgery                                          |
| 2. Coronal Cobb angle more than 10° but less than 20° before surgery, had an apex between L2 and L4 |
| 3. Combined with degenerative changes such as disc herniation, spinal stenosis, spondylolisthesis, etc |
| 4. No improvement after 3-month conservative treatment                           |
| 5. Had dynamic fixation (Dynesys system) or instrumented fusion surgery          |
| 6. With complete clinical and imaging data                                        |

| Exclusion criteria                                                                 |
|----------------------------------------------------------------------------------|
| 1. Previous history of idiopathic scoliosis and scoliosis caused by tuberculosis, fracture, or other diseases. |
| 2. Previous history of lumbar surgery                                             |
| 3. Sagittal imbalance of the spine                                                |
| 4. Severe osteoporosis (T value ≤-2.5 with single or multiple fragility fractures or T value ≤-3.0) |
| 5. With cervical spondylotic myelopathy, hip disease or other diseases that affected the judgment of therapeutic effect |
Table 2: Lumbar Stiffness Disability Index (LSDI)

Choose the statement that best describes the effect of low back stiffness on your ability to

1. Bend to your feet to put on your underwear and pants while dressing independently
2. Bend through your waist to put on your socks and shoes
3. Drive a motor vehicle
4. Perform personal hygiene functions following toileting
5. Bend forward to pick up a small object off the floor
6. Get in and out of bed
7. Get in and out of a chair
8. Bathe the lower half of your body
9. Get in and out of an automobile
10. Engage in sexual intercourse

Response options and score for each item

| Score | Description          |
|-------|----------------------|
| 0     | No effect at all     |
| 1     | Minor effect         |
| 2     | Significant effect   |
| 3     | Requires assistance  |
| 4     | Cannot do at all     |

LSDI = total score/(4×number of questions answered) × 100%

Table 3 Clinical outcomes
Table 4 Radiological outcomes

|                          | Dynesys group n=26 | Fusion group n=20 | P value |
|--------------------------|--------------------|-------------------|---------|
| Scoliosis (°)            |                    |                   |         |
|                          | Pre op             | 15.1±4.4          | 16.9±2.6| 0.11    |
|                          | 6 months postoperative | 6.9±2.7          | 6.4±3.7 | 0.60    |
|                          | Last follow-up     | 6.5±2.4           | 5.2±3.3 | 0.13    |
| Lumbar lordosis (°, L1-S1) |                    |                   |         |
|                          | Pre op             | 31.6±12.5         | 29.3±7.7| 0.47    |
|                          | 6 months postoperative | 36.4±14.8        | 36.5±11.1| 0.98   |
|                          | Last follow-up     | 34.1±15.3         | 34.8±8.9| 0.86    |
| ROM (°, L1-S1)           |                    |                   |         |
|                          | Pre op             | 36.0±16.3         | 28.4±11.6| 0.08    |
|                          | Last follow-up     | 29.7±8.0          | 8.1±1.5 | 0.01    |
| ROM (°, implanted segments) |                  |                   |         |
|                          | Pre op             | 21.9±12.6         | 27.3±14.2| 0.18   |
|                          | Last follow-up     | 10.7±5.1          | 0.70±0.66| 0.01   |

Figures
The Dynesys system consists of titaniumaluminum-niobium alloy pedicle screws, [Figure 1]
A 49-year-old woman had vertebral canal stenosis at L2–5 with left lateral recess...
A 46-year-old man had vertebral canal stenosis at L1–5 and DLS (A-C). She under