Cosmic acceleration in the nonlocal approach to the cosmological constant problem

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Abstract We have recently constructed a manifestly local formulation of a nonlocal approach to the cosmological constant problem which can treat with quantum effects from both matter and gravitational fields. In this formulation, it has been explicitly shown that the effective cosmological constant is radiatively stable even in the presence of the gravitational loop effects. Since we are naturally led to add the $R^2$ term and the corresponding topological action to an original action, we make use of this formulation to account for the late-time acceleration of expansion of the universe in case of the open universes with infinite space-time volume. We will see that when the “scalaron”, which exists in the $R^2$ gravity as an extra scalar field, has a tiny mass of the order of magnitude $O(1\text{meV})$, we can explain the current value of the cosmological constant in a consistent manner.

1 Introduction

The recent development of observational cosmology has made it possible to tell that our universe might have undergone two phases of cosmic acceleration [1–4]. The first stage of the acceleration is usually called inflation and is believed to have happened prior to the radiation-dominated era [5–8]. The inflation is needed not only to resolve the flatness and horizon problems but also to account for the almost flat spectrum of temperature anisotropies observed in the cosmic microwave background. An attractive feature of the inflation is that it provides a mechanism to generate the small scale fluctuations which are required to seed galaxy formation. These fluctuations are nothing but quantum fluctuations due to the zero-point energy of a generic quantum field, which get pushed into the classical regime by the large expansion of the universe. This accelerated expansion must end to connect to the radiation-dominated universe via a period of reheating, so the pure cosmological constant should not be responsible for inflation.

The fact that we live in a large and old universe with a large amount of entropy can also be regarded as a primary consequence of inflation in the early universe. The standard paradigm of inflation is that the accelerated expansion of the universe is realized when a scalar field, which is usually dubbed as the inflaton, slowly rolls over its potential down to a global minimum, which is identified with the true vacuum, in a time scale longer than the Hubble time. However, the origin of inflaton and its potential is quite vague, which is one important issue to be clarified in future.

Actually, the slow-roll inflation is not the only inflation scenario studied so far, and there are a few alternative theories. Among them, what we call, the Starobinsky model of inflation does not require introduction of the inflaton by hand and the scalar field degree of freedom, which is especially called “scalaron”, emerges from the higher-derivative curvature term $R^2$ with a gravitational origin [9]. Unlike the inflationary models such as “old inflation”, the Starobinsky model is not plagued by the graceful exit problem since the accelerated expansion of the universe is triggered by the $R^2$ term whereas the followed radiation-dominated epoch with a transient matter-dominated phase is caused by the Einstein-Hilbert term.

On the other hand, in order to explain the second stage of the acceleration, it might be possible to make use of the cosmological constant since the acceleration in “recent” years does not have to end and could last forever. However, at this point we encounter one of the most serious contradictions in modern physics, the cosmological constant problem, which implies the enormous mismatch between the observed value of cosmological constant and estimate of the contributions of elementary particles to the vacuum energy density [10,11].

For later convenience, within the context of quantum field theory (QFT), let us explain the cosmological constant problem by using a real scalar field of mass $m$ with $\lambda \phi^4$ inter-
action, which is minimally coupled to the classical gravity [12]
\[ S = \int d^4x \sqrt{-g} \left[ \frac{M_p^2}{2} R - \Lambda_b - \frac{1}{2} g^\mu\nu \partial_\mu \phi \partial_\nu \phi - \frac{m^2}{2} \phi^2 - \frac{\lambda}{4!} \phi^4 \right], \tag{1} \]

where \( M_p \) is the reduced Planck mass defined as \( M_p = \sqrt{8\pi G} \) (\( G \) is the Newton constant), and \( \Lambda_b \) is the bare cosmological constant which is in principle divergent. Using the dimensional regularization, the 1-loop effective potential can be calculated to be
\[
V_{\phi,1\text{-loop}} = \frac{1}{2} \int \frac{d^4k}{(2\pi)^4} \log(k^2 + m^2) = -\frac{m^4}{(8\pi)^2} \left[ \frac{2}{\epsilon} + \log \left( \frac{\mu^2}{m^2} \right) + \text{finite} \right], \tag{2}\]

where \( \mu \) is the renormalization mass scale. In order to cancel the divergence associated with a simple pole \( \frac{1}{\epsilon} \), we are required to choose the bare cosmological constant at the 1-loop level
\[
\Lambda_{\phi,1\text{-loop}} = -\frac{m^4}{(8\pi)^2} \left[ \frac{2}{\epsilon} + \log \left( \frac{\mu^2}{M^2} \right) \right], \tag{3}\]

where \( M \) is an arbitrary subtraction mass scale where the measurement is carried out. (In cosmology, the physical meaning of \( M \) is not so clear as in particle physics since \( M \) may be identified with the Hubble parameter or any other cosmological energy scale related to the evolution of the universe [14].) Then, by summing up the two contributions, the 1-loop renormalized cosmological constant is of form
\[
\Lambda_{\phi,1\text{-loop}} = V_{\phi,1\text{-loop}} + \Lambda_{\phi,1\text{-loop}} = \frac{m^4}{(8\pi)^2} \left[ \log \left( \frac{m^2}{M^2} \right) - \text{finite} \right]. \tag{4}\]

The cosmological observation requires us to take \( \Lambda_{\phi,1\text{-loop}} \sim (1meV)^4 \). If the particle mass \( m \) is chosen to the electro-weak scale, we have \( V_{\phi,1\text{-loop}} \sim (1TeV)^4 \sim 10^{60} (1meV)^4 \). Thus, the measurement suggests that the finite contribution to the 1-loop renormalized cosmological constant is cancelled to an accuracy of one part in \( 10^{60} \) between \( V_{\phi,1\text{-loop}} \) and \( \Lambda_{\phi,1\text{-loop}} \). This big fine tuning is sometimes called the cosmological constant problem. Following the lore of QFT, at this stage of the argument, we have no issue with this fine tuning since we have just replaced the renormalized quantity depending on the arbitrary scale \( M \) with the measured value.

However, the issue arises when we further consider higher loops. For instance, at the 2-loop level, \( V_{\phi,2\text{-loop}} \) is proportional to \( \lambda m^4 \). (In general, at the n-loop level, \( V_{\phi,n\text{-loop}} \propto \lambda^{n-1} m^4 \)). Then, the consistency between the measurement and the perturbation theory requires us to set up an equality
\[
(1meV)^4 = \Lambda_{\phi,1\text{-loop}} + V_{\phi,1\text{-loop}} + V_{\phi,2\text{-loop}} + V_{\phi,3\text{-loop}} + \ldots. \tag{5}\]

The problem is that each \( V_{\phi,i\text{-loop}} (i = 1, 2, \ldots) \) has almost the same and huge size compared to the observed value \( (1meV)^4 \). Thus, even if we fined tune the cosmological constant at the 1-loop level, the fine tuning would be spoilt at the 2-loop level so that we must retune the finite contribution in the bare cosmological constant term to the same degree of accuracy. In other words, at each successive order in perturbation theory, we are required to fine tune to extreme accuracy! This problem is called “radiative instability”, i.e., the need to repeatedly fine tune whenever the higher loop corrections are included, which is the essence of the cosmological constant problem.

In order to solve the problem of the radiative instability of the cosmological constant, some nonlocal formulations have been advocated [15–27], but many of them have been restricted to the semiclassical approach where only radiative corrections from matter fields are taken account of whereas the gravity is regarded as a classical field merely serving for detecting the vacuum energy. Recently, an interesting approach, which attempts to deal with the graviton loop effects by using the topological Gauss-Bonnet term, has been proposed [22].

More recently, we have proposed an alternative formulation for treating with the graviton loops where the higher derivative term \( R^2 \) plays an important role [12]. In the article [12], we have also pointed out a connection between the formulation and the \( R^2 \) inflation by Starobinsky [9]. Thus far, there are a few articles dealing with inflation in the closed universes with finite space-time volume by a similar formalism [18–20,28], but these papers are written in the framework of the semiclassical approach, so there is indeed radiative instability in the gravity sector. In this article, we would like to apply our formulation [12], which is completely free from the issue of radiative instability, to the late-time acceleration of expansion of the universe in the open universes with infinite space-time volume. The reasons why we consider the open universes are two-fold. Current cosmological data show as a whole good agreement with a spatially flat universe with
non-vanishing cosmological constant and cold dark matter, which suggests that our universe might be open. Moreover, the scenario of eternal inflation leads to space-times with infinite volume [29].

2 Gravitational field equation with a high-pass filter

It is an interesting direction of research to explore a possibility of constructing an effective theory with nonlocal properties in order to address the cosmological constant problem. The fundamental physical idea that we wish to implement in the present study is to render the effective Newton constant depend on the frequency and wave length such that it works as a filter shutting off oscillating modes with high energy. Since we want to keep the fundamental physical principles such as locality and unitarity, we will attempt to simply modify general relativity at the level of not the action but the equations of motion. Because of it, we can present a solution to the cosmological constant problem without losing all the successes of general relativity. As seen later in the present article, this idea is only effective for making the vacuum energy density stemming from matter fields be radiatively stable, so we need an additional mechanism for shutting off the high energy modes of gravitational field. We will present such an additional mechanism by incorporating the \( R^2 \) term and the corresponding topological term in the next section.

In Ref. [17], such a filter mechanism has been already proposed so we will make use of this mechanism, but the obtained equation in the present article is different from that in Ref. [17] since we proceed along a slightly different path of arguments. The filter mechanism advocated in [17] is to start with the following modified Einstein equation:

\[
M_{\text{Pl}}^2 \left[ 1 + \mathcal{F}(L^2 \Box) \right] G_{\mu\nu} = T_{\mu\nu}, \tag{6}
\]

where \( G_{\mu\nu} = R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R \) is the well-known Einstein tensor, \( T_{\mu\nu} \) is the energy-momentum tensor, and the filter function \( \mathcal{F}(L^2 \Box) \) is assumed to take the form: \( \mathcal{F}(x) \to 0 \) for \( x \gg 1 \) whereas \( \mathcal{F}(x) \to 1 \) for \( x \ll 1 \). Here \( L \) is the length scale where gravity is modified, and \( \Box \equiv g^{\mu\nu} \nabla_\mu \nabla_\nu \) is a covariant d’Alembertian operator. It is a natural interpretation that we regard the above Einstein equation (6) as the Einstein equation with the effective Newton constant \( \tilde{G}_{\text{eff}} \) or the effective reduced Planck mass \( \tilde{M}_{\text{eff}} \) defined as

\[
\tilde{M}_{\text{eff}}^2 \equiv \frac{1}{8\pi \tilde{G}_{\text{eff}}} = M_{\text{Pl}}^2 \left[ 1 + \mathcal{F}(L^2 \Box) \right]. \tag{7}
\]

As considered in Ref. [17], let us take the infinite length limit \( L \to \infty \) to pick up the “zero mode” of \( G_{\mu\nu} \) such that \( G_{\mu\nu} \approx g_{\mu\nu} \). In this limit we obtain

\[
\mathcal{F}(L^2 \Box) G_{\mu\nu} = \mathcal{F}(0) g_{\mu\nu} \equiv c g_{\mu\nu}, \tag{8}
\]

where we have defined the constant \( c \) by \( c = \mathcal{F}(0) \). Then, substituting Eq. (8) into Eq. (6) leads to the equation

\[
M_{\text{Pl}}^2 G_{\mu\nu} + c g_{\mu\nu} = T_{\mu\nu}. \tag{9}
\]

Taking the trace of this equation, we can describe the constant \( c \) as

\[
c = \frac{4}{3}(M_{\text{Pl}}^2 R + T). \tag{10}
\]

In general, the right-hand side (RHS) is not a constant, so to make the RHS be a constant as well, we will take the space-time average of the both sides:

\[
c = \frac{1}{4}(M_{\text{Pl}}^2 \bar{R} + \bar{T}). \tag{11}
\]

Here, for a generic space-time dependent quantity \( Q(x) \), the operation of taking the space-time average is defined as

\[
\bar{Q}(x) = \frac{\int d^4 x \sqrt{-g} Q(x)}{\int d^4 x \sqrt{-g}}. \tag{12}
\]

where the denominator \( V \equiv \int d^4 x \sqrt{-g} \) denotes the space-time volume. Finally, inserting Eq. (11) to Eq. (9), we arrive at the desired equation of motion for the gravitational field:

\[
M_{\text{Pl}}^2 G_{\mu\nu} + \frac{1}{4} M_{\text{Pl}}^2 \bar{R} g_{\mu\nu} = T_{\mu\nu} - \frac{1}{4} T g_{\mu\nu}. \tag{13}
\]

It is easy to show that this new type of Einstein equation has a close relationship with unimodular gravity [30]. To do so, let us first take the trace of Eq. (13):

\[
M_{\text{Pl}}^2 \bar{R} + \bar{T} = M_{\text{Pl}}^2 R + T. \tag{14}
\]

Substituting Eq. (14) into Eq. (13) gives rise to the equation of motion in unimodular gravity

\[
R_{\mu\nu} - \frac{1}{4} g_{\mu\nu} R = \frac{1}{M_{\text{Pl}}^2} \left( T_{\mu\nu} - \frac{1}{4} g_{\mu\nu} T \right). \tag{15}
\]

Actually, operating the covariant derivative \( \nabla^\mu \) on Eq. (15) and using the Bianchi identity \( \nabla^\mu G_{\mu\nu} = 0 \) and the conservation law \( \nabla^\mu T_{\mu\nu} = 0 \), we have the equation

\[
\nabla_{\mu} (M_{\text{Pl}}^2 R + T) = 0, \tag{16}
\]

from which we can conclude that \( M_{\text{Pl}}^2 R + T \) is a mere constant. If we define this integration constant as

\[
M_{\text{Pl}}^2 R + T = 4\Lambda, \tag{17}
\]

and insert this equation to Eq. (15), we can obtain the standard Einstein equation with a cosmological constant \( \Lambda \)

\[
M_{\text{Pl}}^2 G_{\mu\nu} + \Lambda g_{\mu\nu} = T_{\mu\nu}. \tag{18}
\]

3 Precisely speaking, the conventional Einstein equation with a cosmological constant \( \Lambda_0 \) is described as \( G_{\mu\nu} + \Lambda_0 g_{\mu\nu} = \frac{1}{M_{\text{Pl}}^2} T_{\mu\nu} \). In this article, we have defined the cosmological constant as \( \Lambda = M_{\text{Pl}}^2 \Lambda_0 \), which is nothing but the vacuum energy density usually written as \( \rho \).
In the above “derivation” of the equation of motion (13), we have considered the large length limit $L \to \infty$, by which the filter excises only the infinite wave length and period fluctuations from dynamics [17]. This prescription of taking the limit $L \to \infty$ corresponds to taking the Fourier transform at vanishing frequency and momenta, which is in essence equivalent to taking the space-time average of dynamical observables. Comparing Eq. (9) with Eq. (18), we find that the constant $c$ corresponds to the cosmological constant $\Lambda$. Since the cosmological constant is a global variable, which is a parameter of a system of codimension zero, and its measurement requires separating it from all the other long wave length modes in the universe, it takes a detector of the size of the universe to measure the value of the cosmological constant [18–20]. In this sense, taking the space-time average of the constant $c$ in Eq. (11) makes sense as well.

The equation of motion obtained in Eq. (13) has a remarkable property in that it sequesters the vacuum energy from matter fields at both classical and quantum levels. To show this fact explicitly, let us write the energy-momentum tensor

$$T_{\mu\nu} = -V_{vac} g_{\mu\nu} + \tau_{\mu\nu},$$

(19)

where $V_{vac}$ denotes the vacuum energy density involving a classical cosmological constant and quantum fluctuations of the matter fields while $\tau_{\mu\nu}$ is the local excitation involving radiation and non-relativistic matters etc. Because of the structure of the RHS of Eq. (13), the vacuum energy density $V_{vac}$ completely decouples from the modified Einstein equation Eq. (13) since we have

$$M^4_{Pl} G_{\mu\nu} + \frac{1}{4} M^2_{Pl} \frac{\ddot{R}}{R} g_{\mu\nu} \equiv \tau_{\mu\nu} - \frac{1}{4} \tau g_{\mu\nu}.$$

(20)

As a result, we have a residual effective cosmological constant given by

$$\Lambda_{eff} \equiv \frac{1}{4} M^2_{Pl} \frac{\ddot{R}}{R} + \frac{1}{4} \tau.$$

(21)

Note that this quantity is stable under radiative corrections and would in general take a small value in a large and old universe.

To close this section, we should comment on the operation of taking the space-time average in more detail. Obviously, the definition of this operation is very subtle in case of the open universes with infinite space-time volume, $V \equiv \int d^4x \sqrt{-g} = \infty$. Since the residual cosmological constant includes $\ddot{R}$ and $\tau$ in the definition (21), we will focus on the two quantities in what follows. First of all, let us notice that in any space-time with infinite volume, when $\int d^4x \sqrt{-g} \ddot{R}$ is finite, $\ddot{R}$ takes the vanishing value. This situation includes the physically interesting space-times where the universe is described in terms of a radiation or matter-dominated Friedmann-Robertson-Walker (FRW) universe. As a different example, let us consider the universe which begins with the big bang and then is approaching the Sitter or Minkowski space-time asymptotically. Then, both the numerator and denominator in $\ddot{R}$ are completely dominated by the infinite contributions from the asymptotic space-time region in the future, so $\ddot{R}$ is plausibly supposed to be equal to its asymptotic value $\ddot{R} = \ddot{R}_\infty$ [17].

Next, as for $\tau$, in the process of expansion of the universe, it is natural to assume that the matter density is gradually diluted in such a way that in the open universes $\tau$ associated with the local excitations would be asymptotically vanishing, $\tau = 0$. Consequently, in these space-times the residual cosmological constant would take the value

$$\Lambda_{eff} \equiv \frac{1}{4} M^2_{Pl} \ddot{R}_\infty.$$

(22)

Thus, in space-times with $\ddot{R} = 0$ or $\ddot{R}_\infty \sim 0$ like an asymptotically Minkowskian space-time, the late-time acceleration cannot be explained in the theory at hand. Moreover, it is highly improbable that Eq. (22) happens to provide precisely the current value $\sim (1 \meV)^4$ under a situation without physically plausible reasons. It therefore seems that we need to find a more sophisticated mechanism into consideration in order to account for the late-time acceleration of the universe when the the formula (22) does not match the current value of the cosmological constant or takes the vanishing result. We wish to present such a new mechanism in Sect. 4 on the basis of the formulation explained in the next section. Before doing it, let us briefly review our formulation of the nonlocal approach to the cosmological constant [12].

### 3 Review of the $R^2$ model

In this section, we will review a manifestly local and generally coordinate invariant formulation [23–26] for a nonlocal approach to the cosmological constant problem, in particular, our most recent work [12].

A manifestly local and generally coordinate invariant action for our nonlocal approach consists of two parts

$$S = S_{GR} + S_{Top},$$

(23)

where the gravitational action $S_{GR}$ with a bare cosmological constant $\Lambda$ as well as a generic matter Lagrangian density $\mathcal{L}_m = \mathcal{L}_m(g_{\mu\nu}, \Psi)$ ($\Psi$ is a generic matter field), and the topological action $S_{Top}$ are respectively defined as

$$S_{GR} = \int d^4x \sqrt{-g} \left[ \eta(x)(R - 2\Lambda) + \mathcal{L}_m \right]$$

and

$$S_{Top} = \int d^4x \sqrt{-g} \left[ \mathcal{L}_{Top} \right].$$


\[4\] The physical meaning of $\ddot{R}$ has been already mentioned in Ref. [17].

\[5\] See the related papers [31–33].
\[-\frac{1}{2} \cdot \frac{1}{4!} F_{\mu \nu \rho}^2 + \frac{1}{6} \nabla_\mu (F_{\mu \nu \rho} A_{\nu \rho}) \right], \tag{24} \]

and
\[ S_{\text{top}} = \int d^4x \frac{1}{4!} \varepsilon^{\mu \nu \rho \sigma} M_\text{Pl}^2 \left( \frac{\eta(x)}{M_\text{Pl}^2} \right) H_{\mu \nu \rho \sigma}, \tag{25} \]

where \( \eta(x) \) is a scalar field of dimension of mass squared and \( f(x) \) is a function which cannot be a linear function. Let us note that the scalar field \( \eta(x) \) has no local degrees of freedom except the zero mode because of the gauge symmetry of the 4-form strength \([34]\). Moreover, \( F_{\mu \nu \rho} \) and \( H_{\mu \nu \rho \sigma} \) are respectively the field strengths for two 3-form gauge fields \( A_{\mu \nu \rho} \) and \( B_{\mu \nu \rho} \)
\[ F_{\mu \nu \rho} = 4 \delta_{[\mu} A_{\nu \rho]}, \quad H_{\mu \nu \rho \sigma} = 4 \delta_{[\mu} B_{\nu \rho \sigma]}, \tag{26} \]

where the square brackets denote antisymmetrization of enclosed indices. Finally, \( \varepsilon^{\mu \nu \rho \sigma} \) and \( \varepsilon_{\mu \nu \rho \sigma} \) are the Levi-Civita tensor density defined as
\[ \varepsilon^{0123} = +1, \quad \varepsilon_{0123} = -1, \tag{27} \]

and they are related to the totally antisymmetric tensors \( \varepsilon_{\mu \nu \rho \sigma} \) via relations
\[ \varepsilon_{\mu \nu \rho \sigma} = \frac{1}{\sqrt{-g}} \varepsilon^{\mu \nu \rho \sigma}, \quad \varepsilon_{\mu \nu \rho \sigma} = \sqrt{-g} \varepsilon^{\mu \nu \rho \sigma}. \tag{28} \]

Now let us derive all the equations of motion from the action (23). First of all, the variation with respect to the 3-form \( B_{\mu \nu \rho} \) gives rise to the equation for a scalar field \( \eta(x) \):
\[ \varepsilon^{\mu \nu \rho} f' \partial_\sigma \eta(x) = 0, \tag{29} \]

where \( f'(x) \equiv \frac{df(x)}{dx} \). From this equation, we have a classical solution for \( \eta(x) \):
\[ \eta(x) = \eta, \tag{30} \]

where \( \eta \) is a certain constant. Next, taking the variation of the scalar field \( \eta(x) \) leads to the equation:
\[ \sqrt{-g} (R - 2\Lambda) + \frac{1}{4!} \varepsilon^{\mu \nu \rho \sigma} f' H_{\mu \nu \rho \sigma} = 0. \tag{31} \]

Since we can always set \( H_{\mu \nu \rho \sigma} \) to be
\[ H_{\mu \nu \rho \sigma} = c(x) \varepsilon_{\mu \nu \rho \sigma} = c(x) \sqrt{-g} \varepsilon_{\mu \nu \rho \sigma}, \tag{32} \]

with \( c(x) \) being some scalar function, Eq. (31) can be rewritten as
\[ R - 2\Lambda - f' c(x) = 0. \tag{33} \]

In order to take account of the cosmological constant problem, let us take the space-time average of this equation, which provides a constraint equation:
\[ \overline{R} = 2\Lambda + f' \left( \frac{\eta}{M_{\text{Pl}}^2} \right) c(x), \tag{34} \]

where we have used Eq. (30).

The equation of motion for the 3-form \( A_{\mu \nu \rho} \) gives the Maxwell-like equation:
\[ \nabla^\mu F_{\mu \nu \rho} = 0. \tag{35} \]

As in \( H_{\mu \nu \rho \sigma} \), if we set
\[ F_{\mu \nu \rho} = \eta(x) \varepsilon_{\mu \nu \rho}, \quad F_{\nu \alpha \beta \gamma} = \eta(x) \sqrt{-g} \varepsilon_{\mu \nu \rho \sigma}, \tag{36} \]

with \( \eta(x) \) being a scalar function, Eq. (35) requires \( \eta(x) \) to be a mere constant
\[ \theta(x) = \theta, \tag{37} \]

where \( \theta \) is a constant.

Finally, the variation with respect to the metric tensor yields the gravitational field equation, i.e., the Einstein equation:
\[ \eta \left( G_{\mu \nu} + \Lambda g_{\mu \nu} \right) - \frac{1}{2} T_{\mu \nu} + \frac{1}{4} \varepsilon^{\alpha \beta \gamma \delta} g_{\mu \nu} F_{\alpha \beta \gamma \delta} \]
\[ - \frac{1}{12} F_{\mu \alpha \beta \gamma} F_{\nu \alpha \beta \gamma} = 0. \tag{38} \]

where the energy-momentum tensor is defined by \( T_{\mu \nu} = -\frac{2}{\sqrt{-g}} \frac{\delta(\sqrt{-g} L_m)}{\delta g_{\mu \nu}} \). In deriving Eq. (38), we have again used Eq. (30). Furthermore, using Eqs. (36) and (37), this equation can be simplified to be the form
\[ \eta \left( G_{\mu \nu} + \Lambda g_{\mu \nu} \right) - \frac{1}{2} T_{\mu \nu} + \frac{1}{4} \theta^2 g_{\mu \nu} = 0. \tag{39} \]

Taking the trace of Eq. (39) and then the space-time average, one obtains a constraint
\[ \theta^2 = \frac{1}{2} T + \eta(\overline{R} - 4\Lambda). \tag{40} \]

Substituting this constraint into the Einstein equation (39), we find that
\[ M_{\text{Pl}}^2 G_{\mu \nu} + \frac{1}{4} M_{\text{Pl}}^2 \overline{R} g_{\mu \nu} = T_{\mu \nu} - \frac{1}{4} T g_{\mu \nu}, \tag{41} \]

where we have chosen \( \eta = \frac{M_{\text{Pl}}^2}{2} \). Note that this gravitational equation precisely coincides with the equation of motion (13), which was obtained from the filter mechanism. In other words, the action (23) provides us with a concrete realization of the gravitational model with a high-pass filter obtained in a rather phenomenological manner in Sect. 2.

As done in Sect. 2, if we separate the energy-momentum tensor \( T_{\mu \nu} \) into two parts as in Eq. (19), we have the equation of motion for the gravitational field, Eq. (20), with the residual effective cosmological constant (21). The first term in the RHS of Eq. (21) is radiatively stable since \( \overline{R} \) is so. Actually, as seen in Eq. (34), \( \Lambda \) is a mere number and \( c(x) \) is proportional to the flux of the 4-form which is the infrared (IR) quantity, thereby implying that \( \overline{R} \) is a radiatively stable quantity. The second term \( \frac{1}{4} T \) in the RHS of Eq. (21)
is obviously radiatively stable. Thus, our cosmological constant $\Lambda_{\text{eff}}$ is a radiatively stable quantity so it can be fixed by the measurement in a consistent manner.

The only disadvantage of this nonlocal approach to the cosmological constant problem is that we confine ourselves to the semiclassical approach where the matter loop effects are included in the energy-momentum tensor while the quantum gravity effects are completely ignored. In this context, note that gravity is a classical field merely serving the purpose of detecting the vacuum energy.

Next, let us therefore turn our attention to quantum gravity effects [12]. From the 1-loop calculation, the dimensional analysis and general covariance, it is easy to estimate the loop effects from both matter and the gravitational fields. For instance, the renormalization of the Newton constant and the cosmological constant amounts to adding the following constraint equation: $\Delta \Lambda / \Lambda \approx \frac{1}{4} \hat{M}_4^2 \hat{f} (\omega) \hat{H}_{\mu \nu \rho \sigma}$.

Then, the space-time average of this equation leads to a new constraint equation:

$$\hat{R}^2 = \mu^2 \hat{f} (\omega) \hat{\omega} (\omega).$$

Note that $\hat{R}^2$ is radiatively stable since both $\hat{f} (\omega)$ and $\hat{\omega} (\omega)$ are radiatively stable.

With the help of Eqs. (30), (36), (37) and (46), the variation with respect to the metric tensor yields the Einstein equation:

$$\eta (G_{\mu \nu} + \Lambda g_{\mu \nu}) + \omega (1) H_{\mu \nu} + \alpha (\eta) G_{\mu \nu} - \frac{1}{2} \beta (\eta) g_{\mu \nu}$$

$$= - \frac{1}{2} \mu^2 + \frac{1}{4} \beta^2 g_{\mu \nu} = 0,$$

which gives us a classical solution for $\omega (x)$:

$$\omega (x) = \omega,$$

where $\omega$ is a certain constant. Next, taking the variation of the scalar field $\omega (x)$ yields the field equation:

$$\sqrt{-g} R^2 + \frac{1}{4!} \hat{\hat{g}}_{\mu \nu \rho \sigma} M_4^2 \hat{f} (\omega) \hat{H}_{\mu \nu \rho \sigma} = 0.$$

Setting $\hat{H}_{\mu \nu \rho \sigma}$ again to be $\hat{H}_{\mu \nu \rho \sigma} = \hat{c} (x) \hat{c}_{\mu \nu \rho \sigma} = \hat{c} (x) \sqrt{-g} \hat{g}_{\mu \nu \rho \sigma}$, with $\hat{c} (x)$ being some scalar function, Eq. (47) can be cast to $R^2 - M_4^2 \hat{f} (\omega) \hat{c} (x) = 0$.

Then, the space-time average of this equation leads to a new constraint equation:

$$\hat{R}^2 = \mu^2 \hat{f} (\omega) \hat{\omega} (\omega).$$

Note that $\hat{R}^2$ is radiatively stable since both $\hat{f} (\omega)$ and $\hat{\omega} (\omega)$ are radiatively stable.

Following the same line of the argument as before, it is easy to arrive at the final form of the Einstein equation:

$$M_4^2 G_{\mu \nu} + 2 \omega (1) H_{\mu \nu} + \frac{1}{4} \beta M_4^2 \hat{R} g_{\mu \nu} = \tau_{\mu \nu} - \frac{1}{4} \tau g_{\mu \nu},$$

where $M_4^2 \equiv 2 (\eta + \alpha (\eta)) = M_4^2 + 2 \alpha (\eta)$. This equation shows that the effective cosmological constant is again of the form

$$\Lambda_{\text{eff}} = \frac{1}{4} M_4^2 \hat{R} + \frac{1}{4} \tau.$$

Since the second term in the RHS is obviously radiatively stable, let us focus on the first term:

$$\Delta \Lambda \equiv \frac{1}{4} M_4^2 \hat{R}. $$

Then, the Einstein equation (53) reads

$$M_4^2 G_{\mu \nu} + 2 \omega (1) H_{\mu \nu} + \Delta \Lambda g_{\mu \nu} = \tau_{\mu \nu} - \frac{1}{4} \tau g_{\mu \nu}. $$

\[ \]
Taking the trace of this equation, one obtains
\[
M_{\text{eff}}^2 \left( 1 - \frac{12\omega}{M_{\text{eff}}^2} \Box \right) R = 4 \left[ \Delta \Lambda - \frac{1}{4} (\tau - \bar{\tau}) \right], \tag{57}
\]
from which one can express the scalar curvature as
\[
R = \frac{4}{M_{\text{eff}}^2} \left[ \Delta \Lambda - \frac{\tau - \bar{\tau}}{4} + \frac{1}{4} \left( 1 - \frac{M_{\text{eff}}^2}{12\omega} \right) \tau \right]. \tag{58}
\]
Note that this expression reduces to Eq. (55) up to a surface term when one takes the space-time average, which guarantees the correctness of our derivation.

Taking the square of Eq. (58) and then the space-time average makes it possible to describe \((\Delta \Lambda)^2\) in terms of \(R^2\) and \(\tau\)
\[
(\Delta \Lambda)^2 = \frac{M_{\text{eff}}^4}{16} R^2 - \frac{1}{16} \left( \tau^2 - \bar{\tau}^2 \right) - \frac{1}{16} \left( 1 - \frac{M_{\text{eff}}^2}{12\omega} \right) \tau^2 + \frac{1}{8} \left( \tau - \bar{\tau} \right). \tag{59}
\]
This expression clearly shows that \(\Delta \Lambda\) is radiatively stable since \(M_{\text{eff}}^2\) turns out to be radiatively stable, \(R^2\) is also radiatively stable as shown in Eq. (50), and the remaining terms involving \(\tau\) are radiatively stable as well as long as \(\Box\) is not equal to \(\frac{M_{\text{eff}}^2}{12\omega}\). The radiative stability of \(\Delta \Lambda\) ensures that the effective cosmological constant \(\Lambda_{\text{eff}}\) in Eq. (54) is also radiatively stable even when the gravitational radiative corrections are taken into account.

Before closing this section, we would like to comment on two remarks. One of them is that in the high energy limit, \((\Delta \Lambda)^2\) reduces to
\[
(\Delta \Lambda)^2 \rightarrow \frac{M_{\text{eff}}^4}{16} R^2 + \frac{1}{16} \tau^2, \tag{60}
\]
which is manifestly radiatively stable. The other remark is that we see that using Eqs. (55) and (59), \(\bar{R}\) is also radiatively stable whose situation should be contrasted with the case without the \(R^2\) term, for which \(\bar{R}\) is not so.

4 Cosmic acceleration

One might be concerned that the higher-derivative term \(\omega R^2\), which was introduced in the action (44), would generate new radiative corrections that also depend on \(\omega\), thereby inducing new radiative corrections to the vacuum energy density and consequently breaking its radiative stability. We will first show that this is not indeed the case explicitly when the mass of the scalaron is around \(1 \text{ meV}\). Nevertheless, it turns out that the existence of the scalaron enables the cosmological constant to have the currently observed value in the open universes.

For this purpose, it is convenient to move from the Jordan frame to the Einstein one since the higher-derivative \(R^2\) gravity is conformally equivalent to Einstein gravity with an extra scalar field called “scalaron” in the Einstein frame. Of course, one should not confuse the conformal metric with the original physical metric since they generally describe manifolds with different geometries and the final results should be interpreted in terms of the original metric. However, as seen shortly, it turns out that our conformal factor connecting with the two metrics depends on the scalar curvature which does not change significantly during the late-time acceleration, so we have same results in the both frames.

With the help of Eqs. (30), (36), (37), and (46), the total action (43) reads
\[
S = \int d^4 x \sqrt{-g} \left[ \eta (R - 2\Lambda) + \mathcal{L}_m - \frac{1}{2} \theta^2 \right.
+ \alpha(\eta) R + \beta(\eta) + \omega R^2 \right]
+ \int d^4 x \sqrt{-\bar{g}} \left[ \frac{M_{\text{eff}}^2}{2} \bar{R} - \hat{\Lambda} + \omega R^2 + \mathcal{L}_m \right], \tag{61}
\]
where we have defined
\[
\hat{\Lambda} = 2\eta \Lambda + \frac{1}{2} \theta^2 - \beta(\eta). \tag{62}
\]
Now, using the conformal transformation [35]
\[
\bar{g}_{\mu\nu} = \left( 1 + \frac{4\omega}{M_{\text{eff}}^2} R \right) g_{\mu\nu}, \tag{63}
\]
we find that the action (61) takes the form in the Einstein frame
\[
S = \int d^4 x \sqrt{-\bar{g}} \left[ \frac{M_{\text{eff}}^2}{2} \bar{R} - \frac{1}{2} \bar{g}^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi) \right.
+ e^{-2\sqrt{\frac{3}{2} \bar{g}^{\mu\nu} \phi}} \mathcal{L}_m(e^{-\sqrt{\frac{3}{2} \bar{g}^{\mu\nu} \phi}} \bar{g}_{\mu\nu}, \Psi), \tag{64}
\]
where we have defined a scalar field \(\phi\) and the potential \(V(\phi)\) as
\[
\phi = \sqrt{\frac{3}{2}} M_{\text{eff}} \log \left( 1 + \frac{4\omega}{M_{\text{eff}}^2} R \right),
V(\phi) = \frac{M_{\text{eff}}^4}{16\omega} \left( 1 - e^{-2\sqrt{\frac{3}{2} \bar{g}^{\mu\nu} \phi}} \right)^2 + \hat{\Lambda} e^{-2\sqrt{3} \phi \bar{g}^{\mu\nu}}. \tag{65}
\]
Since we take account of the late-time acceleration, it is sufficient to confine ourselves to the low curvature regime \(\phi \ll M_{\text{eff}}\). \(\Box\)
In this regime, the scalaron $\phi$ decouples in the matter Lagrangian:

$$e^{-\sqrt{\frac{2}{3}} \phi M_{\text{eff}}} \mathcal{L}_m(e^{-\sqrt{\frac{2}{3}} \phi M_{\text{eff}}} g_{\mu\nu}, \Psi) \sim \mathcal{L}_m(g_{\mu\nu}, \Psi).$$

(67)

Furthermore, the potential $V(\phi)$ can be expanded in the Taylor series as

$$V(\phi) = \hat{\Lambda} - 2\sqrt{\frac{2}{3}} \frac{\hat{\Lambda}}{M_{\text{eff}}} \phi + \left(\frac{4}{3} \frac{\hat{\Lambda}}{M_{\text{eff}}^2} + \frac{M_{\text{eff}}^2}{24\omega}\right) \phi^2$$

$$- \sqrt{\frac{2}{3}} \left(\frac{8}{9} \frac{\hat{\Lambda}}{M_{\text{eff}}^2} + \frac{M_{\text{eff}}}{24\omega}\right) \phi^3 + O(\phi^4).$$

(68)

It has been recently shown in Ref. [14] that the compatibility between the acceleration of the expansion rate of the universe, local tests of gravity and the quantum stability of the theory converges to select the relation

$$\omega \sim \frac{M_{\text{Pl}}^2}{\sqrt{\Lambda}} \sim \frac{M_{\text{eff}}^2}{\sqrt{\Lambda}} \sim 10^{64}.$$

(69)

With this relation, the potential $V(\phi)$ can be approximated by

$$V(\phi) \sim \hat{\Lambda} - 2\sqrt{\frac{2}{3}} \frac{\hat{\Lambda}}{M_{\text{eff}}} \phi + \frac{M_{\text{eff}}^2}{24\omega} \phi^2$$

$$- \sqrt{\frac{2}{3}} \frac{M_{\text{eff}}}{24\omega} \phi^3 + O(\phi^4).$$

(70)

Using this approximated potential, we can obtain the minimum $\phi_*$ for the scalaron and its effective mass $m_*^6$

$$\phi_* \sim 24 \sqrt{\frac{2}{3}} \frac{\hat{\Lambda}}{M_{\text{eff}}} \omega, \quad m_*^2 \sim \frac{M_{\text{eff}}^2}{12\omega}. $$

(71)

Note that using Eqs. (69) and (71), we find that the effective scalaron mass is given by

$$m_* \sim 1\,\text{meV}.$$  

(72)

Then, using the formula (4), the 1-loop renormalized cosmological constant up to a finite part is easily calculated to be

$$\Lambda_{\text{ren}}^{\phi,\text{1-loop}} = \frac{m_*^4}{(8\pi)^2} \log \left(\frac{m_*^2}{M^2}\right) \sim \frac{M_{\text{eff}}^4}{(96\pi)^2\omega^2} \log \left(\frac{M_{\text{eff}}^2}{12\omega M^2}\right).$$

(73)

which implies that

$$\Lambda_{\text{ren}}^{\phi,\text{1-loop}} \sim (1\,\text{meV})^4.$$  

(74)

We have therefore obtained the current value of the cosmological constant. The important point is that owing to the form of the potential (70), the higher-order contributions to the cosmological constant are strictly suppressed by more $\frac{1}{\omega}$ factors, so these contributions are very tiny compared to the 1-loop result, thereby implying that the cosmological constant in the theory at hand is radiatively stable even if the $R^2$ term and its radiative corrections are incorporated.

5 Discussions

In our previous work [12], we have constructed a nonlocal approach to the cosmological constant problem which includes both gravity and matter loop effects. In this approach, we are naturally led to incorporate the higher-derivative $R^2$ term in the action in order to guarantee the radiative stability of the cosmological constant under the gravitational loop effects. The presence of such a higher-derivative term generally generates additional radiative corrections which depend on the coefficient, that is, the coupling constant in front of the higher-derivative term. It was suggested in the previous paper [12] that such a renormalizable term would keep the radiative stability when the mass of the scalaron is very tiny. In the present paper, we have explicitly shown this fact by moving from the Jordan frame to the Einstein one, calculate the 1-loop renormalized cosmological constant, and then examine higher-loop corrections via the analysis of interaction terms in the low curvature regime.

In cosmology, it is known that the $R^2$ gravity [9] and its generalization, $f(R)$ gravity [35], play a role in various cosmological phenomena such as inflation, dark energy and cosmological perturbations etc. In this article, we have therefore investigated a possibility of applying our nonlocal approach to the cosmological constant problem having the $R^2$ term [12] for the late-time acceleration of the expansion of the universe. So far, the similar formulations have been already applied to inflation in the early universe [18–20,28], but these formulations ignore quantum effects coming from the graviton loops so that they are not free from the radiative instability issue. On the other hand, since our formulation includes both gravity and matter loop effects, the cosmological constant is completely stable under radiative corrections.

In the nonlocal approach to the cosmological constant problem [12,23,24] or the model of vacuum energy sequestering [18–22,25], the residual effective cosmological constant is automatically very small in a large and old universe. The main advantage of this formalism is that all the vacuum energy corrections except a finite and tiny residual cosmological constant are completely removed from the gravitational equation. In particular, in the open universes with infinite space-time volume, it seems to be natural to conjecture that the residual cosmological constant is exactly zero or very tiny compared to the present value of the cosmological constant. In other words, the present mechanism seems to sequester...
away the vacuum energy almost completely in case of the open universes.

Then, it is a natural question to ask ourselves if the nonlocal approach to the cosmological constant problem [12] is compatible with inflation at an early epoch or the late-time acceleration of the universe. In this article, we have answered this question partially that our formalism can be applied to the late-time acceleration where the key observation is that the present mechanism cannot sequester away the vacuum energy associated with the scalaron existing in the $R^2$ gravity as an extra scalar field. When the mass of the scalaron is about $1\text{meV}$, which is strongly supported by the recent analysis in [14], our formalism not only accounts for the current cosmological constant given by $(1\text{meV})^4$ but also assures the radiative stability of the vacuum energy density.

It is worthwhile to mention the relation between the present work and the recent work [14]. In Ref. [14], it is explicitly assumed that all matter contributions to the vacuum energy vanish or contribute in a negligible way to the vacuum energy at the energy scale $\mu$ associated with our universe. Thus, the following tuning to the vacuum energy is assumed:

$$2\Lambda_0 M_{pl}^2 + \rho_{\text{trans}} + \sum_j (-1)^{2j}(2j+1)\theta(m_j - \mu_0) \frac{m_j^4}{(8\pi)^2} \log \frac{m_j^2}{\mu^2} = 0,$$

where the first term is the bare cosmological constant, $\rho_{\text{trans}}$ denotes the energy density corresponding to all the phase transitions such as the electro-weak phase transition, $m_j$ is the mass of a particle of spin $j$, and the mass scale $\mu_0$ is for instance chosen to be the electron mass, which is the minimum mass of observed particles thus far, apart from the neutrinos which might be of the right order of magnitude if the neutrino masses are in the order $1\text{meV}$. The appealing point of our nonlocal approach to the cosmological constant [12] is that this Eq. (75) is derived in a natural manner. Furthermore, radiative corrections from gravitational field are also sequestered away.

At this stage, let us refer to recent related works. Recently, there has been a revival of higher derivative gravity theories and nonlocal approaches to cosmology. For instance, in the papers [37–39], analytic infinite derivative gravity theories are proposed to resolve unsolved problems such as black hole and big bang singularities at a classical level as well as quantum level. Moreover, more recently, a detailed discussion of conceptual aspects related to nonlocal gravity and its cosmological consequences has been presented in the paper [41]. It is true that our present work is closely related to these works in that we rely on nonlocal operation and make use of the higher derivative terms, but a big difference is that in our work taking the space-time average of operators plays a critical role to understand the cosmological constant problem.

Finally, let us comment on a future work. The important problem is to explain the inflation at the early epoch within the present framework. In the inflationary scenario, it is postulated that the universe is dominated by a transient large vacuum energy. However, our nonlocal approach usually removes the large vacuum energy and leaves only small one. At present, there seems to be a difficulty to reconcile the both results without losing the radiative stability. In any case, we wish to return to this problem in future.

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