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A 3D Non-Stationary Micropolar Fluids Equations with Navier Slip Boundary Conditions

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Abstract: Micropolar fluids are fluids with microstructure and belong to a class of fluids with asymmetric stress tensor that called Polar fluids, and include, as a special case, the well-established Navier–Stokes model. In this work we study a 3D micropolar fluids model with Navier boundary conditions without friction for the velocity field and homogeneous Dirichlet boundary conditions for the angular velocity. Using the Galerkin method, we prove the existence of weak solutions and establish a Prodi–Serrin regularity type result which allow us to obtain global-in-time strong solutions at finite time.

Keywords: micropolar fluid equations; weak solutions; strong solutions

MSC: 35Q35; 76D03

1. Introduction

The Navier–Stokes system is a widely accepted model for describing the motion of viscous and incompressible fluids in the presence of convection. However, the Navier–Stokes theory is unable of describing the motion of certain fluids consisting of randomly oriented (or spherical) particles suspended in a viscous medium, where the deformation of fluid particles is ignored. A subclass of these fluids is the micropolar fluids or also called asymmetric fluids, which exhibit micro-rotational effects and micro-rotational inertia [1]. Animal blood, liquid crystals, and certain polymeric fluids are a few examples of fluids which may be represented by the mathematical model of micropolar fluids, so that it is interesting to study the behavior of such fluids. The mathematical model that describes the movement of these fluids has been introduced by Eringen in 1966 [2]. In this work we study a 3D non-stationary micropolar fluids system associated with Navier boundary conditions without friction for the velocity field and homogeneous Dirichlet boundary conditions for the microrotational velocity. Specifically, we consider Ω ⊂ R3 the flow domain, which is assumed to be bounded of class C2,1 with boundary Γ := ∂Ω and (0, T) a time interval, with 0 < T < ∞. Then, we analyze the following coupled non-linear system of partial differential equations, which expresses the balance of momentum, angular momentum, and mass, in the space-time region Q := Ω × (0, T):

\[
\begin{aligned}
\frac{\partial}{\partial t} \mathbf{u} + (\mathbf{u} \cdot \nabla) \mathbf{u} - (\nu + \nu_r) \Delta \mathbf{u} + \nabla p &= 2\nu_r \text{curl} \mathbf{w} + \mathbf{f}, \\
\frac{\partial}{\partial t} \mathbf{w} + (\mathbf{u} \cdot \nabla) \mathbf{w} - (c_d + c_d) \Delta \mathbf{w} - (c_0 + c_d - c_d) \nabla \text{div} \mathbf{w} + 4\nu \mathbf{w} &= 2\nu_r \text{curl} \mathbf{u} + \mathbf{g}, \\
\text{div} \mathbf{u} &= 0.
\end{aligned}
\] (1)

Here, the unknowns are \( \mathbf{u} := \mathbf{u}(x, t) \in \mathbb{R}^3 \), \( \mathbf{w} := \mathbf{w}(x, t) \in \mathbb{R}^3 \) and \( p := p(x, t) \in \mathbb{R} \), and denote, respectively, the linear velocity field, the velocity of rotation of the particles and the pressure of the fluid at the point \( (x, t) \in Q \). The functions \( \mathbf{f} \) and \( \mathbf{g} \) are given and
represent external sources of linear and angular momentum of particles, respectively. The positive real constants \( v_r, v_r, c_d, c_d, c_d \) characterize isotropic properties of the fluid. More specifically, the constant \( v_r \) is the usual Newtonian viscosity; the constant \( v_r \) is called the viscosity of microrotation and \( c_d, c_d, c_d \) are new viscosities related to the asymmetry of the stress tensor and satisfy \( c_0 + c_d > c_d \). For simplicity we denote \( v_1 := v + v_r \), \( v_2 := c_d + c_d \), and \( v_3 := c_0 + c_d - c_d \). Without loss of generality we can assume that the density of the fluid is equal to one. The symbols \( \Delta, \nabla \), \( \text{div} \) and \( \text{curl} \) denote the Laplacian, gradient, divergence and rotational operators, respectively; the terms \( \partial_i u \) and \( \partial_i \omega \) stand for the time derivatives of \( u \) and \( \omega \), respectively. The \( i \)-th component of \( (u \cdot \nabla)u \) and \( (u \cdot \nabla)\omega \) are given, respectively, by

\[
|(u \cdot \nabla)u|_j = \sum_{j=1}^{3} u_j \frac{\partial u_i}{\partial x_j} \quad \text{and} \quad |(\omega \cdot \nabla)u|_j = \sum_{j=1}^{3} \omega_j \frac{\partial u_i}{\partial x_j}.
\]

We associate to system (1) the following initial conditions

\[
u(x, 0) = u_0(x), \quad \omega(x, 0) = \omega_0(x) \quad \text{in} \quad \Omega,
\]

and mixed boundary conditions

\[
[D(u)n]_{\text{tang}} = 0, \quad u \cdot n = 0 \quad \text{and} \quad \omega = 0 \quad \text{on} \quad \Sigma := \Gamma \times (0, T). \quad (3)
\]

Here \( n \) denotes the outward unit normal vector to \( \Gamma \). The term \( [D(u)n]_{\text{tang}} \) is the tangential component of the vector \( D(u)n \); that is, \( [D(u)n]_{\text{tang}} := D(u)n - [D(u)n] \cdot n \) and \( D(u) := \frac{1}{2}(\nabla u + \nabla^T u) \) is twice standard symmetric part of the rate of deformation tensor. The requirements \( [D(u)n]_{\text{tang}} = 0, \quad u \cdot n = 0 \) on \( \Gamma \) are called the Navier boundary condition without friction (or also called the perfect slip boundary condition [3]) and arises in the context of free-boundary problems. The Navier boundary condition was proposed by Navier in [4] and justified as a homogenization of the non-slip condition on a rough boundary (cf. [5]). Moreover, when the boundary \( \Gamma \) is flat, the fluid tends to slip over \( \Gamma \) without friction and there are no boundary layers [6,7]. Hence, from the physical point-of-view, the Navier boundary condition makes more sense than the Dirichlet boundary conditions. Moreover, the mathematical analysis is more complicated, since to define a correct variational formulation of the system (1)–(3) the classical Green identities are not applicable in this case. The above, to obtain a suitable weak formulation of the problem (1)–(3), leads us to study other results of integration by parts in the spatial variable (see Lemma 1, below). Additionally, in order to correctly control the \( H_\alpha \)-norm of the Galerkin approximations in terms of the \( L^2 \)-norm of the deformation tensor; that is, the norm \( \|D(u^w)\|^2 \), we must employ Korn inequality (see [8], p. 52), which is not usual. There exist some phenomena modeling of which might require the introduction of Navier boundary conditions; for instance, flow through a drain or canal with its bottom covered by sherbet of mud and pebbles, and flow of melted iron coming out from a smelting furnace, avalanche of water and rocks and blood flow in a vein of an arterial sclerosis patient (see, for instance, Fujita [9,10]).

From the mathematical point-of-view, the initial-value problem (1)–(2) with Dirichlet boundary conditions has been studied by several authors, and important results on existence of weak solutions and local strong solutions, large time asymptotic behavior, and general qualitative analysis, have been obtained (see, for instance, the textbook [11]). Moreover, in [12] the authors analyze the case with variable density and prove the existence of local-in-time strong solutions, using a spectral semi-Galerkin method. In addition, they prove the uniqueness of the strong solutions and some global existence results. In [13], the global existence and uniqueness of solutions through a Lagrangian approach under suitable conditions are investigated on the initial data. The same authors in [14] establish the existence of local-in-time semi-strong solutions and global-in-time strong solutions in general 3D domains. Ferreira and Villamizar-Roa [15] study the 3D generalized micropolar
system in a space of tempered distributions and, using the Duhamel principle, prove the global existence, uniqueness, and asymptotic stability of the underlying mild solutions. Other study on the asymptotic analysis of the solutions can be see in [16]. In this work the authors obtain their results using the semigroups approach in $L^p$-spaces.

The purpose of this paper is to prove the existence of weak solutions of systems (1)–(3) and establish a regularity result of the Prodi-Serrin type (cf. [17,18]) that allow us obtain global-in-time strong solutions of problems (1)–(3). The literature concerning to regularity results for weak solutions of problems (1) and (2) associated with Dirichlet boundary conditions is scarce. Indeed, we can mention the works [19–24]. In [19], assuming the external forces $f$ and $g$ in $L^q(L^1(\Omega))$, for $q > 3$, and that the pressure $p$ belongs to $L^r(L^\infty(\Omega))$, the authors improved the regularity for the weak solutions. In [23] the authors present a weak-$L^p$ Prodi–Serrin type regularity criterion, assuming that the velocity field $u \in L^r(L^r(\Omega))$, where $(s, r)$ is a Prodi–Serrin pair and $L^r(\Omega)$ denotes the weak-$L^r$ space; that is, the space of measurable functions $f$, such that $\|f\|_{L^r(\Omega)} = \sup \{ \alpha \cdot d_f(a)^{1/r} : \alpha > 0 \}$ is finite, with $d_f = m(\{ x \in \Omega : |f(x)| > a \})$. In the recent analysis developed by Ragusa and Wu [24], the authors establish a regularity criterion in terms of the one partial derivative of the velocity; that is, they assume that $\partial_3 u \in L^{\frac{2}{r}}(B_{\infty,r}^{-\tau})$, with $0 < r < 1$, and $B_{\infty,r}^{-\tau}$ is a Besov space with negative order of regularity $-\tau$, and prove that the weak solution $(\mathbf{u}, w)$ is also strong (see [22], for positive indices). In this work, we establish a regularity criterion imposing only the condition that $\mathbf{u} \in L^s(L^r(\Omega))$, where $(s, r)$ is a Prodi–Serrin pair $(r \in (3, \infty), s \in (2, \infty)$ with $\frac{2}{s} + \frac{3}{r} \leq 1$, see Theorem 2).

We recall that when $w = 0$, systems (1)–(3) is reduced to the Navier–Stokes equations with Navier boundary conditions, for which there is a good amount of studies. In [25] the authors study the inhomogeneous (variable density) 3D Navier–Stokes system and prove the existence of weak solutions using the Galerkin method. Additionally, they analyze the inviscid limits of solutions to strong solutions of the corresponding inhomogeneous Euler system, as the viscosity $\nu$ goes to 0, under suitable regularity assumptions on external force $f$ and the initial velocity $u_0$ and that the initial density is separated from zero; that is, $\rho_0 \geq \rho_* > 0$. Mulone and Salemi [26] prove the existence of generalized solutions in an either bounded or exterior domain. Moreover, they prove the existence of periodic solutions under assumptions that the flow domain is bounded and the external forces are periodic in time. These results are extended by the same authors in [27], considering the case of non-homogeneous Navier boundary conditions for a bounded domain. Other results related with exterior domains can be consulted in [28]; moreover, the authors obtain $L^p-L^q$ estimates for the Stokes system and this result leads to global-in-time existence for the Navier–Stokes systems with small initial data in $L^1(\Omega)$, where $n$ is the spatial dimension. The stationary Navier–Stokes system with Navier boundary condition has been analyzed in [29–31]. Solonnikov and Šcadilov [30], assuming that the density of the fluid is constant, prove the existence of weak solutions and in [29] the authors generalize these results using $L^p$-theory. In [31], the case of variable density in 2D domains and, using the stream-Frolov approach for the density, the existence of weak solutions is studied and is proven. The steady-state problem related to systems (1)–(3) has been studied in [32,33]. In [32], the 3D case with constant density is analyzed and proves the existence and uniqueness of weak solutions using the Galerkin method. In [33], the authors study the 2D case with variable density and, using the stream-Frolov approach for the density, prove the existence of weak solutions. Studies related with low-concentrated aqueous polymer systems subject to the Navier slip boundary conditions have been developed by Baranovskii [34]. In this work the authors proved the existence of global-in-time weak solutions and, assuming additional regularity for the weak solutions, established some uniqueness results.

The outline of this paper is as follows: In Section 2, we fix the notation, introduce the functional spaces to be used throughout this work and give the concept of weak solutions of systems (1)–(3). In Section 3 we prove the existence of weak solutions applying the Galerkin method. Finally, in Section 4 we present a regularity result, of Prodi–Serrin type, under which a weak solution of (1)–(3) is also a strong solution and unique (see Theorem 2).
2. Preliminaries

In this section, some notations will be introduced. The Lebesgue space \( L^p(\Omega) \), \( 1 \leq p \leq \infty \), with norm denoted by \( \| \cdot \|_p \) will be used. In particular, the \( L^2 \)-norm and its inner product will be denoted by \( \| \cdot \| \) and \( \langle \cdot, \cdot \rangle \), respectively. The usual Sobolev spaces \( W^{m,p}(\Omega) = \{ u \in L^p(\Omega) : \| \partial^\alpha u \|_p < \infty, \forall |\alpha| \leq m \} \), with norm denoted by \( \| \cdot \|_{W^{m,p}} \) is considered. When \( p = 2 \), it is established \( H^m(\Omega) := W^{m,2}(\Omega) \) denoting the respective norm by \( \| \cdot \|_{H^m} \). The function spaces of vector-valued spaces will be denoted by bold capital letters. In this section, some notations will be introduced. The Lebesgue space \( L^2(\Omega) \), \( \| \cdot \|_2 \) will be denoted by \( \| \cdot \| \). Additionally, we introduce the trilinear forms \( b_1 : H^1(\Omega) \times H^1(\Omega) \times H^1_0(\Omega) \rightarrow \mathbb{R} \) and \( b_2 : H^1(\Omega) \times H^1(\Omega) \rightarrow \mathbb{R} \) by

\[
\begin{align*}
  b_1(u_1, v_1, w_1) &= (u_1 \cdot \nabla)v_1, w_1, \\
  b_2(u_2, v_2, w_2) &= ((u_2 \cdot \nabla)v_2, w_2),
\end{align*}
\]

which satisfy the following properties (see, for instance, [36]):

\[
\begin{align*}
  b_1(u_1, v_1, w_1) &= -b_1(u_1, w_1, v_1), \quad \forall u_1, v_1, w_1 \in H^1, \\
  b_1(u_1, v_1, v_1) &= 0, \quad \forall u_1, v_1 \in H^1, \\
  b_2(u_2, v_2, w_2) &= -b_2(u_2, w_2, v_2), \quad \forall u_2 \in H^1, v_2 \in H^1(\Omega), w_2 \in H^1_0(\Omega), \\
  b_2(u_2, v_2, v_2) &= 0, \quad \forall u_2 \in H^1, v_2 \in H^1_0(\Omega).
\end{align*}
\]
These trilinear forms induce the bilinear operators $B_1 : H_\sigma \times H_\sigma \rightarrow H_\sigma^*$ and $B_2 : H_\sigma \times H^1(\Omega) \rightarrow H^{-1}(\Omega)$ defined by

$$\begin{align*}
\langle B_1(u_1, v_1), w_1 \rangle_{H_\sigma^*} &= b_1(u_1, v_1, w_1) \quad \forall w_1 \in H_\sigma, \\
\langle B_2(u_2, v_2), w_2 \rangle_{H^{-1}} &= b_2(u_2, v_2, w_2) \quad \forall w_2 \in H_\sigma^*. 
\end{align*}$$

(9)

**Remark 1.** From the classical interpolation inequality in 3D domains $\|u\|_{L^4} \leq C\|u\|^{1/4}\|u\|^{3/4}$ for all $u \in H^1(\Omega)$, Poincaré inequality, and properties (5) and (7) we can deduce that

$$B_1(u, u) \in L^{4/3}(H_\sigma^*) \quad \text{and} \quad B_2(u, v) \in L^{4/3}(H^{-1}(\Omega)).$$

Moreover, since $w = 0$ on $\Gamma$ it holds $P\text{curl} w = \text{curl} w$. In fact, let $w = \tilde{w} + \nabla q$ with $\tilde{w} \in H$ and $q \in H^1(\Omega)$ the Helmholtz decomposition of $\text{curl} w$ in $L^2(\Omega)$ (see [35]). Then,

$$\|\nabla q\|^2 + (\tilde{w}, \nabla q) = \int_\Gamma (w \times n) \cdot \nabla q = 0.$$

Thus, $\nabla q = 0$ and, consequently, $\tilde{w} = P\text{curl} w = \text{curl} w$.

Then, applying the Leray projector to (11) and considering the above notations, we can rewrite systems (1)–(3) in the following equivalent system

$$\begin{align*}
\partial_t u + \nabla \times (u \times \sigma) + PB_1(u, u) &= 2\nu \nabla \times w + Pf \quad \text{in} \; Q, \\
\partial_t w + \Delta w + B_2(u, w) + 4\nu \nabla q &= 2\nu \nabla \times u + g \quad \text{in} \; Q, \\
\text{Dirichlet conditions} & \quad \text{on} \; \Sigma.
\end{align*}$$

(11)

**Lemma 1** ([30]). Let $(u, v) \in H^2(\Omega) \times H^1(\Omega)$ with divergence free and tangent to the boundary. Then,

$$- \int_\Omega \Delta u \cdot v = 2 \int_\Omega D(u) : D(v) - 2 \int_\Gamma [D(u)n]_{\text{tang}} \cdot v = 2(D(u), D(v)) - 2 \int_\Gamma [D(u)n]_{\text{tang}} \cdot v.$$

Now, we establish the concept of weak solutions of systems (1)–(3) (equivalently problem (11)).

**Definition 1.** Let $(f, g) \in L^2(Q) \times L^2(Q)$ and the initial data $(u_0, w_0) \in H \times L^2(\Omega)$. We say that the pair $(u, w)$ is a weak solution of (11) in $(0, T)$, if

$$\begin{align*}
u \in W_u & := \{ u \in L^\infty(\Omega) \cap L^2(\sigma) : \partial_t u + \nabla \times (u \times \sigma) + PB_1(u, u) \in L^{4/3}(H_\sigma^*) \}, \\
w \in W_w & := \{ w \in L^\infty(\Omega) \cap L^2(\sigma) : \partial_t w + \Delta w + B_2(u, w) + 4\nu \nabla q \in L^{4/3}(H^{-1}(\Omega)) \},
\end{align*}$$

(12)

(13)

and satisfies the following variational formulation

$$\begin{align*}
\langle \partial_t u, v \rangle_{H_\sigma^*} + \nu \langle \Delta u, v \rangle_{H_\sigma^*} + \langle PB_1(u, u), v \rangle_{H_\sigma^*} &= 2\nu \langle \nabla \times w, v \rangle + \langle Pf, v \rangle, \\
\langle \partial_t w, z \rangle_{H^{-1}} + \langle \Delta w, z \rangle_{H^{-1}} + \langle B_2(u, w), z \rangle_{H^{-1}} + 4\nu \langle \nabla q, z \rangle &= 2\nu \langle \nabla \times u, z \rangle + \langle g, z \rangle, \\
u \langle u(0), \sigma \rangle \quad &\text{in} \; H, \\
w \langle w(0), \sigma \rangle \quad &\text{in} \; L^2(\Omega),
\end{align*}$$

(14)

for all $(v, z) \in H_\sigma \times H_\sigma^*$ and almost every $t \in (0, T)$. 
3. Existence of Weak Solutions of Problems (1)–(3)

This section is dedicated to prove the existence of weak solutions of problems (1)–(3). The proof is carried out using the Galerkin method. Specifically, we will prove the following result.

**Theorem 1.** *(Existence)* Let \((\mathbf{f}, \mathbf{g}) \in L^2(Q) \times L^2(Q)\) and \((\mathbf{u}_0, \mathbf{w}_0) \in \mathbf{H} \times L^2(\Omega)\). There exists at least one weak solution of system (11) in the sense of Definition 1.

**Proof.** To prove the existence of a solution of problem (11) we will use the Galerkin method.

**Step 1:** Construction of the approximate solutions.

Let \(\{\{v_i, z_j\}\}_{i=1}^{\infty}\) be a sequence of orthonormal functions, such that its linear hull is dense in the product space \(\mathbf{H}_r \times H^1_0(\Omega)\). Now, for each \(m \in \mathbb{N}\), we consider the spaces \(\mathbf{H}_r^m\) and \(H^m\), which are spanned by \(\{v_1, \ldots, v_m\}\) and \(\{z_1, \ldots, z_m\}\), respectively. Then, we define the approximate solution \((\mathbf{u}^m, \mathbf{w}^m) \in \mathbf{H}_r^m \times H^m\) of problem (11) as follows:

\[
\mathbf{u}^m(x, t) := \sum_{i=1}^{m} \lambda_{im}(t) \mathbf{v}_i(x), \quad \mathbf{w}^m(x, t) := \sum_{i=1}^{m} \eta_{im}(t) \mathbf{z}_i(x),
\]

satisfying

\[
\begin{align*}
\langle \partial_t \mathbf{u}^m, \mathbf{v} \rangle_{\mathbf{H}_r^m} + 2v_1 \langle D(\mathbf{u}^m), D(\mathbf{v}) \rangle + \langle PB_1(\mathbf{u}^m, \mathbf{u}^m), \mathbf{v} \rangle_{\mathbf{H}_r^m} & = 2v_r \langle \text{curl} \, \mathbf{w}^m, \mathbf{v} \rangle + \langle P_m \mathbf{f}, \mathbf{v} \rangle \quad \forall \mathbf{v} \in \mathbf{H}_r, \quad (17) \\
\langle \partial_t \mathbf{w}^m, \mathbf{z} \rangle_{H^m} + \langle (L^{1/2} \mathbf{w}^m, L^{1/2} \mathbf{z}) + \langle B_2(\mathbf{u}^m, \mathbf{w}^m), \mathbf{z} \rangle_{H^m} + 4v_r \langle \mathbf{w}^m, \mathbf{z} \rangle & = 2v_r \langle \text{curl} \, \mathbf{u}^m, \mathbf{z} \rangle + \langle \mathbf{g}, \mathbf{z} \rangle \quad \forall \mathbf{z} \in H^1_0(\Omega), \quad (18) \\
\mathbf{u}^m(x, 0) & = P_m \mathbf{u}_0^m, \quad \mathbf{w}^m(x, 0) = \tilde{P}_m \mathbf{w}_0^m, \quad (19)
\end{align*}
\]

where \(P_m\) and \(\tilde{P}_m\) denote, respectively, the orthogonal projection from \(\mathbf{H}\) onto \(\mathbf{H}_r^m\) and from \(L^2(\Omega)\) onto \(H^m\).

We observe that the systems (17)–(19) can be regarded as Cauchy problem for a first-order ordinary differential system, where the unknowns are the functions \(\lambda_{im}(\cdot)\) and \(\eta_{im}(\cdot)\). Therefore, the classical existence and uniqueness theory for ordinary differential systems can be applied; thus, we deduce that, for each \(m \in \mathbb{N}\), there exists a unique pair \((\mathbf{u}^m, \mathbf{w}^m)\) solutions of (17)–(19) on a time interval \([0, T_m]\). If \(T_m < T\), then \(\|u^m, w^m\|_{H^m \times H^1_0}^2\) must be tend to \(\infty\), as \(t\) goes to \(T_m\); then, the uniform estimates show that this does not happen, and, thus, \(T_m = T\) (for more details, see ([35], Chapter 3)).

**Step 2:** A priori estimates.
The aim in this step is to obtain uniform estimates of \((u^m, w^m)\) and \((\partial_t u^m, \partial_t w^m)\). Indeed, making \(v = u^m\) in (17) and \(z = w^m\) in (18), then adding the respective equations, and taking into account properties (6) and (8), we can obtain

\[
\frac{1}{2} \frac{d}{dt} (\|u^m\|^2 + \|w^m\|^2) + 2\nu_1 \|D(u^m)\|^2 + \nu_2 \|w^m\|_{H^1_0}^2 + 4\nu_1 \|w^m\|^2 \\
\leq 2\nu_1 (|\text{curl} w^m, u^m|) + 2\nu_2 (|\text{curl} u^m, w^m|) + (|P_m f, u^m|) + |(g, w^m)|.
\] (20)

Now, we will bound the right-hand side of (20). In fact, applying the Hölder, Poincaré, and Young inequalities we obtain

\[
\|P_m f, u^m\| \leq C\|f\|\|u^m\| \leq \frac{C}{\nu_1}\|f\|^2 + \nu_1 \|u^m\|^2,
\] (21)

\[
\|g, w^m\| \leq \|g\|\|w^m\| \leq \frac{C}{\nu_2}\|g\|^2 + 4\nu_1 \|w^m\|^2.
\] (22)

Furthermore, since \((\text{curl} w^m, u^m) = (\text{curl} u^m, w^m)\) and \(\|\text{curl} z\| \leq \sqrt{2}\|\nabla z\|\), for each vector field \(z \in H^1(\Omega)\); then from the Hölder and Young inequalities we have

\[
2\nu_1 (|\text{curl} w^m, u^m|) \leq 2\nu_1 \|\text{curl} w^m\| \|u^m\| \leq 2\sqrt{2} \nu_1 \|w^m\|_{H^1_0} \|u^m\|
\]
\[
\leq \frac{\nu_1^2}{4} \|w^m\|_{H^1_0}^2 + \frac{\nu_2^2 C}{\nu_1} \|u^m\|^2,
\] (23)

\[
2\nu_2 (|\text{curl} u^m, w^m|) = 2\nu_2 (|\text{curl} w^m, u^m|) \leq 2\sqrt{2} \nu_2 \|w^m\|_{H^1_0} \|u^m\|
\]
\[
\leq \frac{\nu_2^2}{4} \|w^m\|_{H^1_0}^2 + \frac{\nu_1^2 C}{\nu_2} \|u^m\|^2.
\] (24)

Therefore, replacing (21)–(24) in (20) and adding \(2\nu_1 \|u^m\|^2\) to both sides in the resulting inequality, we can obtain

\[
\frac{d}{dt} (\|u^m\|^2 + \|w^m\|^2) + 4\nu_1 (\|D(u^m)\|^2 + \|u^m\|^2) + \nu_2 \|w^m\|_{H^1_0}^2
\]
\[
\leq \left( 6\nu_1 + \frac{4\nu_1^2 C}{\nu_2} \right) \|u^m\|^2 + C(\|f\|^2 + \|g\|^2)
\]
\[
\leq \left( 6\nu_1 + \frac{4\nu_1^2 C}{\nu_2} \right) (\|u^m\|^2 + \|w^m\|^2) + C(\|f\|^2 + \|g\|^2).
\] (25)

From the Korn inequality (see, for instance, ([8], p. 52)) we have that there exists a positive constant \(C_K\), such that \(C_K \|u^m\|_{H^1_0}^2 \leq (\|D(u^m)\|^2 + \|u^m\|^2)\). Thus, from (25) we obtain

\[
\frac{d}{dt} (\|u^m\|^2 + \|w^m\|^2) + 4\nu_1 C_K \|u^m\|_{H^1_0}^2 + \nu_2 \|w^m\|_{H^1_0}^2
\]
\[
\leq \left( 6\nu_1 + \frac{4\nu_1^2 C}{\nu_2} \right) (\|u^m\|^2 + \|w^m\|^2) + C(\|f\|^2 + \|g\|^2).
\] (26)

Moreover, recalling that \(P_m : H \to H^m\) and \(\tilde{P}_m : L^2(\Omega) \to H^m\) are the orthogonal projections (see (19)) we have

\[
\|u^m(0)\|^2 = \|P_m u^m\|^2 \leq \|u_0\|^2,
\]

\[
\|w^m(0)\|^2 = \|\tilde{P}_m w^m\|^2 \leq \|w_0\|^2.
\]

Then, from (26) and Gronwall lemma deduce the following estimate
\[ \|u^m\|_{L^2(H)}^2 + \|w^m\|_{L^2(L^2)}^2 \leq C \exp\left(6\kappa T + \frac{4t_2^2}{t_2}\right) \left(\|u^m(0)\|^2 + \|w^m(0)\|^2 + \|f\|_{L^2(Q)}^2 + \|\gamma\|_{L^2(Q)}^2 \right) \]
\[ \leq C \exp\left(6\kappa T + \frac{4t_2^2}{t_2}\right) \left(\|w_0\|^2 + \|\omega_0\|^2 + \|f\|_{L^2(Q)}^2 + \|\gamma\|_{L^2(Q)}^2 \right). \] (27)

Hence, integrating over \([0, T]\) in (26) and using (27) we conclude that there exists a positive constant \(C_1\), independent of \(m\), such that
\[ \|u^m\|_{L^\infty(H) \cap L^2(H)} + \|w^m\|_{L^\infty(L^2) \cap L^2(H)} \leq C_1. \]

Consequently,
\[ \{u^m\}_{m \geq 1} \text{ is bounded in } L^\infty(H) \cap L^2(H), \]
\[ \{w^m\}_{m \geq 1} \text{ is bounded in } L^\infty(L^2(\Omega)) \cap L^2(H_0^1(\Omega)). \] (28)

Now, in order to obtain uniform estimates for \(\partial_t u^m\) and \(\partial_t w^m\), we observe that from (14)_1 and (14)_2, (17) and (18) we have
\[ \langle \partial_t u^m, \nu \rangle_{H^1} = \langle 2\nu \text{curl } w^m + P_m f - \nu A u^m - PB_1(u^m, u^m), \nu \rangle_{H^1}, \]
\[ \langle \partial_t w^m, z \rangle_{H^{-1}} = \langle 2\nu \text{curl } u^m + g - L w^m - B_2(u^m, w^m) - 4\nu \text{curl } w^m, z \rangle_{H^{-1}}, \]
which jointly to (10) and (28) implies that
\[ \|\partial_t u^m\|_{L^{4/3}(H^1)} = \|2\nu \text{curl } w^m + P_m f - \nu A u^m - B_1(u^m, u^m)\|_{L^{4/3}(H^1)} \]
\[ \leq 2\nu \|\text{curl } w^m\|_{L^{4/3}(H^1)} + \|P_m f\|_{L^{4/3}(H^1)} + \nu \|\text{curl } u^m\|_{L^{4/3}(H^1)} \]
\[ + \nu \|\text{curl } u^m\|_{L^{4/3}(H^1)} \leq C_2, \] (29)
\[ \|\partial_t w^m\|_{L^{4/3}(H^{-1})} = \|2\nu \text{curl } u^m + g - L w^m - B_2(u^m, w^m) - 4\nu \text{curl } w^m\|_{L^{4/3}(H^{-1})} \]
\[ \leq 2\nu \|\text{curl } u^m\|_{L^{4/3}(H^{-1})} + \|g\|_{L^{4/3}(H^{-1})} + \|L w\|_{L^{4/3}(H^{-1})} \]
\[ + \nu \|\text{curl } w^m\|_{L^{4/3}(H^{-1})} + 4\nu \|\text{curl } w^m\|_{L^{4/3}(H^{-1})} \leq C_3. \] (30)

Thus, from (29) and (30) we conclude that
\[ \{\langle \partial_t u^m, \partial_t w^m \rangle\}_{m \geq 1} \text{ is bounded in } L^{4/3}(H^1) \times L^{4/3}(H^{-1}(\Omega)). \] (31)

Step 3: Passage to the limit.

From (28) and (31) we deduce that there exists a limit element \((u, w) \in W_u \times W_w\), such that for some subsequence of \(\{(u^m, w^m)\}_{m \geq 1}\), still denoted by \(\{(u^m, w^m)\}_{m \geq 1}\), the following convergences hold, as \(m\) goes to \(\infty\):
\[ \begin{align*}
\{u^m\} &\to u \text{ weakly in } L^2(H), \\
\{w^m\} &\to w \text{ weakly in } L^2(H_0^1(\Omega)) \text{ and weakly* in } L^\infty(L^2(\Omega)), \\
\{\partial_t u^m, \partial_t w^m\} &\to (\partial_t u, \partial_t w) \text{ weakly* in } L^{4/3}(H^1) \times L^{4/3}(H^{-1}(\Omega)).
\end{align*} \] (32)

Moreover, from (32), the Aubin–Lions lemma (see ([37], Theorem 5.1, p. 58)) and a Simon compactness result in Bochner spaces (see ([38], Corollary 4)), we have
\[ \begin{align*}
\{u^m\} &\to u \text{ strongly in } L^2([0, T]; H^1_0), \\
\{w^m\} &\to w \text{ strongly in } L^2([0, T]; H^{-1}(\Omega)), \\
\end{align*} \] (33)
which imply that \((u^m(0), w^m(0))\) converges to \((u(0), w(0))\) in \(H^1_\nu \times H^{-1}(\Omega)\), and considering that \((u^m(0), w^m(0)) = (P_m u^m_0, P_m w^m_0)\), for each \(m\), and that \((P_m u^m_0, P_m w^m_0) \rightarrow (u_0, w_0)\) strongly in \(H \times L^2(\Omega)\); from the uniqueness of the limit we deduce the identification
\[(u(0), w(0)) = (u_0, w_0)\]
in the space \(H \times L^2(\Omega)\), which are the initial conditions given in (14)\(_3\) and (14)\(_4\).

Therefore, the convergences (32) and (33), and a standard procedure allows us pass to the limit in (17)–(19), as \(m\) goes to \(\infty\); and thus, we conclude that \((u, w)\) is a weak solution of system (11). \(\Box\)

4. Strong Solutions

In this section, we present a Prodi–Serrin type regularity result that allow us obtain global-in-time strong solutions of system (11).

Firstly, we will establish the concept of strong solution of problem (11).

**Definition 2.** (Strong solutions) Let \((f, g) \in L^2(Q) \times L^2(Q)\) and \((u_0, w_0) \in H_\nu \times H_0^1(\Omega)\). We say that the pair \((u, w)\) is a strong solution of system (11) in the time interval \((0, T)\), if

\[
\begin{align*}
\partial_t u + v_1 A u + PB_1(u, u) &= 2\nu_r \text{curl} \, w + Pf, \\
\partial_t w + L w + B_2(u, w) + 4\nu_r w &= 2\nu_r \text{curl} \, u + g,
\end{align*}
\]

satisfies pointwisely a.e. \((x, t) \in Q\) the problem

jointly to initial and boundary conditions (11)\(_2\) and (11)\(_4\), respectively.

Thus, we have the following result.

**Theorem 2.** Let \((u, w) \in W_u \times W_w\) be a weak solution of (11). If, in addition \((u_0, w_0) \in H_\nu \times H_0^1(\Omega)\) and

\[
u \in L^{\frac{2}{r}}(L'(\Omega)),
\]

with \(r \in (3, \infty)\). Then, \((u, w)\) is the unique strong solution of system (11) in sense of Definition 2.

**Proof.** We separate te proof in two steps.

Regularity: We fix \(w \in W_w\); then, first we improve the regularity for \(u\) and after for \(w\). In fact, we perform formally the estimates that strong solution \((u, w)\) must satisfy. An exhaustive proof would be performed using Galerkin approximation for each pair of functions \((u, w)\).

Testing (36)\(_1\) by \(A u\) and applying Lemma 1 we have

\[
\frac{1}{2} \frac{d}{dt} |D(u)|^2 + v_1 \| A u \|^2 \leq |\langle PB_1(u, u), A u \rangle_{H_\nu'}| + 2\nu_r |\langle \text{curl} \, w, A u \rangle| + |\langle Pf, A u \rangle|.
\]

Now we will bound the right-hand side of (38). In fact, from the Hölder inequality we obtain

\[
|\langle PB_1(u, u), A u \rangle_{H_\nu'}| \leq C \|u\|_{L^2} \|\nabla u\|_{L^2} \|A u\|,
\]

with \(\frac{1}{2} + \frac{1}{s} = \frac{1}{2}\). Additionally, we observe that from the Gagliardo–Nirenberg interpolation inequality (see, for instance, [39]) and taking into account the equivalent norms \(\|u\|_{H^\nu} \equiv \|A u\|\) (cf. [26]), we can deduce

\[
\|\nabla u\|_L^2 \leq C \|\nabla u\|^{\frac{2}{2}} \|A u\|^{\frac{2s}{2s-1}},
\]
which, jointly to (39) and Young inequality, imply

\[
|\langle PB_1(u, u), Au \rangle_{H^s_0} | \leq C \|u\|_{L^2} \| \nabla u \| \frac{6^s}{6^s - 2} \| Au \|^{\frac{6^s - 6}{6^s - 2}} \\
\leq C \|u\|_{L^2} \| \nabla u \|^2 + \frac{v_1}{6} \| Au \|^2.
\]

Moreover, since \( \frac{1}{2} + \frac{1}{3} = \frac{5}{6} \), we have \( s = \frac{2r}{r-s} \); thus, \( \frac{4s}{6-s} = \frac{2r}{r-s} \). Consequently, we obtain the following estimate

\[
|\langle PB_1(u, u), Au \rangle_{H^s_0} | \leq C \|u\|_{L^2} \| \nabla u \|^2 + \frac{v_1}{6} \| Au \|^2.
\]

On the other hand, using again the Hölder and Young inequalities we have

\[
2v_r |\langle \text{curl } w, Au \rangle | \leq 2v_r \| \text{curl } w \| \| Au \| \leq C \| \text{curl } w \|^2 + \frac{v_1}{6} \| Au \|^2
\]

\[
\leq C \| w \|^2_{H^3_0} + \frac{v_1}{6} \| Au \|^2,
\]

\[
|\langle Pf, Au \rangle | \leq C \| f \| \| Au \| \leq C \| f \|^2 + \frac{v_1}{6} \| Au \|^2.
\]

Then, replacing (40)–(42) in (38) we obtain

\[
\frac{d}{dt} \|D(u)\|^2 + v_1 \| Au \|^2 \leq C \|u\|_{L^2} \| \nabla u \|^2 + C(\| w \|^2_{H^2} + \| f \|^2)
\]

\[
\leq C \|u\|_{L^2} \| D(u) \|^2 + C(\| w \|^2_{H^2} + \| f \|^2).
\]

Therefore, from (43), Gronwall lemma, the equivalence \( \|u\|_{H^2} \equiv \|Au\| \) and taking into account the hypothesis (37), we deduce that

\[
\begin{align*}
\text{u} \in L^\infty(H^s_0) & \cap L^2(H^2(\Omega)) \rightarrow L^{10}(Q). \\
\end{align*}
\]

Furthermore, from (44) and interpolating we have \( \nabla u \in L^\infty(L^2(\Omega)) \cap L^2(H^1(\Omega)) \rightarrow L^{10/3}(Q) \), which jointly to (44) imply

\[
B_1(u, u) \in L^{5/2}(Q).
\]

Hence, using that \( Au \in L^2(H) \), from (45) and (36)\_1 we conclude that \( \partial_\tau u \in L^2(H) \). Thus, \( u \in S_u \).

Now, we will improve the regularity for \( w \). Testing (36)\_2 by \( -\Delta w \) we have

\[
\frac{1}{2} \frac{d}{dt} \| w \|^2_{H^3} + (Lw, -\Delta w) + 4v_r \| w \|^2_{H^3} \leq |\langle B_2(u, w), \Delta w \rangle_{H^{-1}}| + 2v_r |\langle \text{curl } u, \Delta w \rangle| + |\langle g, \Delta w \rangle|.
\]

From the Hölder and Young inequalities we have

\[
2v_r |\langle \text{curl } u, \Delta w \rangle | \leq 2v_r \| \text{curl } u \| \| \Delta w \| \leq 2\sqrt{2}v_r \| \nabla u \| \| \Delta w \|
\]

\[
\leq C \| D(u) \|^2 + \frac{v_2}{6} \| \Delta w \|,
\]

\[
|\langle g, \Delta w \rangle | \leq \| g \| \| \Delta w \| \leq C \| g \|^2 + \frac{v_2}{6} \| \Delta w \|.
\]

Additionally, arguing as in (40) we can obtain

\[
|\langle B_2(u, w), \Delta w \rangle_{H^{-1}}| \leq C \|u\|_{L^2} \|w\|^2_{H^3} + \frac{v_2}{6} \| \Delta w \|^2.
\]
On the other hand, taking into account that the operator $Lw = -\nu_2 \Delta w - \nu_3 \nabla \text{div} w$ is strongly elliptic, there exists a positive constant $\tilde{C} := \tilde{C}(\nu_2, \nu_3, \Gamma)$, such that (see [23])

$$ (Lw, -\Delta w) \geq \nu_2 \|\Delta w\|^2 - \tilde{C}\|w\|^2_{H^1_0}. $$

(50)

Thus, using the estimates (47)-(50) in (46) we have

$$ \frac{1}{2} \frac{d}{dt} \|w\|^2_{L^2} + \frac{\nu_2}{2} \|\Delta w\|^2 + 4\nu_r \|w\|^2_{H^1_0} \leq C \|D(u)\|^2 + C\|g\|^2 + \tilde{C}\|w\|^2_{H^1_0} + C\|u\|^{2+\frac{2r}{L^2}}\|w\|^2_{H^1_0}; $$

hence,

$$ \frac{d}{dt} \|w\|^2_{H^1_0} + C\|w\|^2_{H^1_0} \leq C \|D(u)\|^2 + C\left(\|u\|^{2+\frac{2r}{L^2}} + 1\right)\|w\|^2_{H^1_0} + C\|g\|^2. $$

(51)

Therefore, from (51), Gronwall lemma and hypothesis (37) we deduce that

$$ w \in L^{\infty}(H^1_0(\Omega)) \cap L^2(H^2(\Omega)) \hookrightarrow L^{10}(Q); $$

and, since $\nabla w \in L^{\infty}(L^2(\Omega)) \cap L^2(H^1(\Omega)) \hookrightarrow L^{10/3}(Q)$ we have $B_2(u, w) \in L^{5/2}(Q)$. Then, using that $\Delta w \in L^2(\Omega)$, from (36) we deduce that $\partial_t w \in L^2(\Omega)$. Thus, we conclude that $w \in S_w$.

Uniqueness: We will apply a classical comparison argument. Indeed, let $(u^1, w^1), (u^2, w^2) \in S_u \times S_w$ two possible solutions of problem (36). Then, subtracting equations in (36) for $(u^1, w^1)$ and $(u^2, w^2)$, and making $u := u^1 - u^2$ and $w := w^1 - w^2$ we can obtain the following system

$$ \begin{cases} 
\partial_t u + \nu_1 Au + P(B_1(u, u), B_1(u, u)) = 2\nu_r \text{curl} w \text{ in } Q, \\
\partial_t w + Lw + B_2(u, w) + B_2(u, w) = 2\nu_r \text{curl} u \text{ in } Q, \\
u(x, 0) = 0, w(x, 0) = 0 \text{ in } \Omega, \\
[D(u)]_{\text{lang}} = 0, u \cdot n = 0, w = 0 \text{ on } \Sigma.
\end{cases} $$

(52)

Testing (52) by $u$ and (52) by $w$, and using (6) and (8) we have

$$ \frac{1}{2} \frac{d}{dt} (\|u\|^2 + \|w\|^2) + 2\nu_1 \|D(u)\|^2 + \nu_2 \|w\|^2_{H^1_0} \leq |(PB_1(u, u^2), u)| + |(B_2(u, w^2), w)| + 2\nu_r |\text{curl} w, u| + 2\nu_r |\text{curl} w, w|. $$

(53)

From the Hölder, Young, and Poincaré inequalities, arguing as in (40) and taking into account the 3D interpolation estimate $\|u\|_{L^4} \leq C\|u\|^{1/4}\|D(u)\|^3/4$ we obtain

$$ \|PB_1(u, u^2), u\| \leq \|PB_1(u, u), u^2\| \leq C\|u\|_{L^4} \|\nabla u\| \|u^2\|_{L^4} \leq \frac{\nu_1}{2} \|D(u)\|^2 + C\|u\|^2 \|u^2\|^2_{H^1_0}, $$

(54)

$$ |B_2(u, w^2), w| \leq \|B_2(u, w^2), w^2\| \leq \|u\|_{L^4} \|w\|_{H^1_0} \|w^2\|_{H^1_0} \|w^2\|^2 \leq \frac{\nu_1}{2} \|D(u)\|^2 + \frac{\nu_2}{6} \|w\|^2_{H^1_0} + C\|u\|^2 \|w^2\|^2_{H^1_0}, $$

(55)

$$ 2\nu_r |\text{curl} w, u| \leq 2\nu_r \|\text{curl} w\| \|u\| \leq 2\sqrt{2}\nu_r \|w\|_{H^1_0} \|u\| \leq \frac{\nu_2}{3} \|w\|^2_{H^1_0} + C\|u\|^2, $$

(56)

$$ 2\nu_r |\text{curl} w, w| = 2\nu_r |\text{curl} w, u| \leq \frac{\nu_2}{6} \|w\|^2_{H^1_0} + C\|u\|^2. $$

(57)
Replacing (54)–(57) into (53), adding $2\nu_1\|u\|^2$ to both sides in the resulting inequality and applying the Korn inequality, we can obtain the following estimate

$$\frac{d}{dt}(\|u\|^2 + \|w\|^2) + 3\nu_1 C_k\|u\|^2_{H_3} + \nu_2 \|w\|^2_{H_0} \leq C \left(\|u^2\|_{L^{2r}} + \|w^2\|_{H^{r}_3}\right)\|u\|^2.$$  (58)

Therefore, considering hypothesis (37) we have that $u^2 \in L^{\frac{2r}{r-3}}(L^r(\Omega))$ and that $w^2 \in L^\infty(H^1_0(\Omega))$, from Gronwall lemma, (58) and using that $(u_0, w_0) = (0, 0)$, we deduce that $u = w = 0$, and the uniqueness follows.  

**Remark 3.** *In Theorem 2, we observe that for $r \in (3,\infty)$ the exponent $\frac{2r}{r-3} \in (2,\infty)$. Thus, making $s := \frac{2r}{r-3}$ we can reformulated Theorem 2 under assumption $u \in L^s(L^r(\Omega))$, with

$$\frac{2}{s} + \frac{3}{r} \leq 1, \quad r \in (3,\infty), \quad s \in (2,\infty).$$  (59)

A pair $(r, s)$ satisfying (59) is called a Prodi–Serrin pair [17,18].*

5. Conclusions

In this paper we have analyzed a 3D non-stationary micropolar fluids equations considering Navier boundary conditions without friction (perfect slip boundary conditions) for the velocity field and homogeneous Dirichlet boundary conditions for the angular velocity. The main results obtained are: existence of global-in-time weak solutions at finite time and a Prodi–Serrin type regularity criterion, imposed only for the velocity field, which allow us obtain global-in-time strong solutions and, as consequence, their uniqueness. The existence of weak solutions is obtained by applying the Galerkin method and energy estimates and the improvement of their regularity is obtained through energy estimates and interpolation inequalities in Sobolev spaces (Gagliardo–Nirenberg, Korn, among others).

This work was inspired by the study developed by professors Loayza and Rojas-Medar [23], in which the authors obtain a weak-$L^p$ Prodi–Serrin type regularity criterion for the micropolar fluids system with homogeneous Dirichlet boundary conditions for the velocity field and angular velocity, assuming that both external forces $f$ and $g$ belong to weak-$L^q$ spaces. Here we have improved the regularity of the weak solutions without the need to change the classical spaces for $f$ and $g$; that is, we have kept $(f, g) \in L^2(Q) \times L^2(Q)$. The key to this has been to carefully employ the Gagliardo–Nirenberg interpolation inequality (see estimates (39) and (40)). Finally, for future research, we will consider the following topics:

- The analysis of the Boussinesq system with Navier boundary conditions;
- The study of optimal control problems related to systems (1)–(3) and find the existence of global optimal solutions and derive the respective optimality system;
- The numerical analysis of systems (1)–(3).

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