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Proton-skins in momentum space and neutron-skins in coordinate space in heavy nuclei

Bao-Jun Cai,* 1  Bao-An Li†,1 and Lie-Wen Chen‡,3

1Department of Physics and Astronomy, Texas A&M University-Commerce, Commerce, TX 75429-3011, USA
2Department of Physics and Astronomy and Shanghai Key Laboratory for Particle Physics and Cosmology, Shanghai Jiao Tong University, Shanghai 200240, China
3Center of Theoretical Nuclear Physics, National Laboratory of Heavy Ion Accelerator, Lanzhou 730000, China

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Neutron-skins in coordinate space and proton-skins in momentum space are predicted to coexist in heavy nuclei and their correlation is governed by Liouville’s theorem and Heisenberg’s uncertainty principle. An analysis of their correlation within a further extended Thomas-Fermi approximation incorporating effects of nucleon short-range correlations reveals generally that protons move faster than neutrons in neutron-skins of heavy nuclei.

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1. Introduction: Understanding the neutron-proton (isospin) asymmetry dependence of nuclear equation of state (EOS) and the underlying isovector strong interactions is a longstanding and common goal of contemporary nuclear physics and astrophysics. Various radioactive beam facilities being built around the world, electron, hadron, light- and heavy-ion beams available from low to high energies, advanced x-ray satellites and gravitational wave detectors in operation together provide multiple tools for realizing ultimately the stated goal. In fact, many observables and phenomena in both terrestrial nuclear experiments and astrophysical observations have been used to probe the poorly known nature of neutron-rich nucleonic matter especially the symmetry energy term of its EOS [1]. In particular, recognizing that neutron-skins of heavy nuclei provide a great testing ground of isovector interactions, much efforts have been devoted to measuring the sizes of neutron-skins using many methods ranging from photopion production, pionic and antipionic atoms, hadron-nucleus scatterings to parity-violating electron scatterings, see, e.g., refs. [2–4] for recent reviews. While the community has yet to reach a consensus on the precise values of neutron-skins of heavy nuclei, the studies have been extremely fruitful. On the other hand, it is well known both theoretically [5–10] and experimentally [11–13] that short-range nucleon-nucleon correlations (SRC) due to the tensor components and/or the repulsive core of nuclear forces lead to the formation of a high-momentum tail (HMT) in the single-nucleon momentum distribution, see, e.g., refs. [14, 15] for recent reviews. Analyses of a few recent experimental results [13, 19, 20] and microscopic many-body calculations, see, e.g., refs. [21–25], we parameterize the single-nucleon momentum distribution \( n_k^{\phi} \) in isospin asymmetric and cold nucleonic matter [13, 26–28] with

\[
n_k^{\phi}(\rho, \delta) = \begin{cases} 
\Delta_J, & 0 < |k| < k_F^\phi, \\
C_J \left( k_F^\phi / |k| \right)^4, & k_F^\phi < |k| < \phi_J k_F^\phi 
\end{cases}
\] (1)

where \( k_F^\phi \) is the Fermi momentum of the nucleon \( J \). The \( \Delta_J \) measures the depletion of the Fermi sea with respect to the step function for a free Fermi gas (FFG). The three parameters \( \Delta_J, C_J \) and \( \phi_J \) depend linearly on the isospin asymmetry \( \delta \equiv (\rho_n - \rho_p)/\rho \) in a general form of \( Y_J = Y_0 (1 + Y_1 \tau_3^J \delta) \) where \( \tau_3^+ = +1 \) and \( \tau_3^- = -1 \) [21–25]. We notice that this parameterization reduces exactly to the one used in ref. [19] in the limiting case of symmetric nuclear matter (SNM). The amplitude \( C_J \) and the high-momentum cutoff coefficient \( \phi_J \) determine the fraction of nucleons in the HMT via \( x_H^{\text{HMT}} = 3C_J (1 - \phi_J^3) \). The latter varies approximately linearly with \( \delta \) consistent with earlier predictions [21–24]. The normalization condition \[2/(2\pi^2)] \int_0^\infty n_k^{\phi}(\rho, \delta) |dk| = (k_F^\phi)^3/3\pi^2 requires that only two of the three parameters are independent. We emphasize that our parameterization is also constrained by the EOS of pure neutron matter (PNM) from microscopic many-body theories [29–34]. In particular, the contact \( C_{\text{PNM}}^0 
\]
for PNM is obtained by applying Tan’s adiabatic sweep theorem [35] to the EOS of PNM, see ref. [26] for more details.

FIG. 1: (Color Online). Reduced nucleon momentum distribution (normalized to 1 at zero momentum) of neutron-rich nuclear matter with an isospin asymmetry of $\delta = 0.21$ (left) and 0.50 (middle) reachable respectively in the core and surface of $^{208}$Pb, and the fraction of neutrons in the high momentum tail as a function of $\delta$ using the HMT-exp and HMT-SCGF parameter set (right), respectively.

Systematic analyses of many experiments, see e.g., refs. [13, 19, 20], indicate that the percentage of nucleons in the HMT is about 25% in SNM. While various many-body theories have consistently predicted the SRC effects on the HMT qualitatively consistent with the experimental findings, the predicted size of the HMT still depends on the model and interaction used. For example, the Self-Consistent Green’s Function (SCGF) theory using the Av18 interaction predicts a 11-13% HMT for SNM at saturation density $\rho_0$ [22, 23]. While the latest Bruckner-Hartree-Fock calculations predict a HMT ranging from about 10% using the N3LO450 to over 20% using the Av18, Paris or Nij93 interactions [25], the latest VMC calculations for $^{12}$C give a 21% HMT [18]. Little information about the isospin dependence of the HMT has been extracted from experiments so far. While based on the observation that the SRC strength of a neutron-proton pair is about 18-20 times that of two protons, the HMT in PNM was estimated to be about 1-2% [19]. However, some recent calculations indicate a significantly higher HMT in PNM, e.g., the SCGF theory predicted a 4-5% HMT in PNM [22, 23].

Recognizing the aforementioned discrepancies and still model dependent predictions about the size of the HMT, we carried out extensive calculations by varying the size of HMT in both SNM and PNM. We present here results using two sets of model parameters leading to $x_{HMT}$ values resembling those from the experimental analysis [13] and the SCGF predictions [22, 23], respectively. More quantitatively, with the HMT-exp parameter set of $x_{HMT}^{SNM} = 28\%$, $x_{HMT}^{PNM} = 15\%$, we have $C_0 = 0.161$, $C_1 = -0.25$, $\phi_0 = 2.38$ and $\phi_1 = -0.56$. While with the HMT-SCGF parameter set of $x_{HMT}^{SNM} = 12\%$ and $x_{HMT}^{PNM} = 4\%$ we have $\phi_0 = 1.49$, $\phi_1 = -0.25$, $C_0 = 0.121$ and $C_1 = -0.01$. The resulting neutron fractions in the HMT as a function of $\delta$ at $\rho_0$ are shown in the right window of Fig. 1 for both parameter sets. The reduced nucleon momentum distributions (normalized to 1 at zero momentum) with $\delta = 0.21$ and 0.5 for both cases are shown on the left. While the isospin asymmetry $\delta = 0.21$ can be easily realized in the core, $\delta = 0.5$ can be reached in the surface area of $^{208}$Pb. Clearly, relative to the center in $k$-space, nucleonic matter has a distinct proton-skin and its thickness grows with the isospin asymmetry at a rate depending on the sizes of the HMT parameters used. The $1/k^4$ behavior of the HMT and its cut-off parameter $\phi_0$ were first introduced by Hen et al in [20] for SNM based on the VMC predictions for the deuteron momentum distribution and the finding from many calculations that the HMT of other nuclei is approximately proportional to that of deuteron. The fundamental origin for these observations is the dominating tensor force in the spin-triplet (S=1) and isoscalar singlet (T=0) neutron-proton interaction channel. Our extension of the high-momentum cut-off parameter $\phi_J$ to include an isospin-dependent term $\phi_J \gamma_3 \delta$ for asymmetric nuclear matter is numerically consistent with existing experimental constraints on the HMT and necessary to extrapolate meaningfully from SNM to PNM where it was predicted to have an approximately 1-5% HMT due to the repulsive core instead of the tensor force. Numerically, the magnitude of the $\phi_J \gamma_3 \delta$ is only about 5% and 12% of the leading constant term in $\phi_J$ for $^{208}$Pb with the HMT-SCGF and HMT-exp parameter sets, respectively. First of all, the currently estimated uncertainty range of $\phi_0$ [19, 20] can tolerate such a weakly isospin-dependent term. Secondly, the weak isospin-dependence of the cut-off parameter is consistent with model predictions for single-nucleon momentum distributions in asymmetric matter [23, 24]. Moreover, as emphasized very recently in ref. [36], the dominance of the $(S=1,T=0)$ neutron-proton pairs over other nucleon pairs in the HMT can now be more accurately quantified in advanced and realistic calculations. In particular, it was pointed out that “states different from the deuteron one, namely the states (01) and (11), do contribute to the high momentum part of the momentum distributions, demonstrating, in the case of the state (11), that a considerable number of two-nucleon states with odd value of the relative orbital momentum is present in the realistic ground-state wave function of nuclei”. Experimentally, it was shown that there is an approximately 5-15% constant contribution to the HMT from pp (nn) pairs probably due to the isoscalar repulsive core of nuclear interactions [11]. Thus, if one considers contributions of all nucleon pairs, one expects that the parameters characterizing the HMT to be isospin dependent. In this work, we have assumed that the $1/k^4$ behavior of the HMT also works in isospin asymmetric nuclear matter. The small HMT in PNM is then accounted for consistently within our framework by using the weakly isospin dependent cut-off parameter $\phi_J$.

3. Further Extended Thomas-Fermi (ETF) Approximation Incorporating SRC Effects: In the original ETF framework which is a semi-classical approximation to the Hartree-Fock theory, the nucleon kinetic energy density profile in finite nuclei

$$\varepsilon_j^{km}(r) = \frac{1}{2 M} \left[ \alpha_j^{\infty} \cdot \rho_j^{5/3}(r) + \frac{\eta_j}{36} \left( \nabla \rho_j(r) \right)^2 \rho_j(r) + \frac{1}{3} \Delta \rho_j(r) \right]$$

(2)
was obtained by truncating the Wigner-Kirkwood expansion of the Block density matrix at the order of $h^2$ [37–40]. The first term originally with $\alpha_\rho^2 = (3/5)(3\pi^2)^{2/3}$ is the bulk part as if nucleons are in infinite nuclear matter and have a step function for their momentum distributions. The second term originally proposed by Weizsäcker [37, 41] is very sensitive to surface properties of finite nuclei. Its strength factor $\eta_J$ has been under debate [37] and was found to affect significantly the halo and/or skin nature of the surfaces of heavy nuclei [42]. The last term involving a Laplacian operator is normally very small as the nuclear surfaces are generally very smooth. We emphasize that the above relationship is general regardless how the density profile is obtained. In the following, we refer our calculations considering the SRC effects as the ETF$^*$ to distinguish it from the original ETF.

First, we discuss how the HMT affects the bulk part of the kinetic energy. With the SRC-modified single-nucleon momentum distribution function of Eq. (1), the kinetic energy density in infinite matter is given by

$$\frac{2}{(2\pi)^3} \int_0^{\Phi_J} \frac{k^2}{2M} \rho_J dk = \frac{1}{2M} \frac{3}{5} \frac{(3\pi^2)}{2} \frac{5}{3} \Phi_J$$

where $\Phi_J = 1 - C_J(5\phi_J + 3(\phi_J - 8) > 1$ is determined by properties of the HMT. Thus, the original $\alpha_\rho^2$ in Eq. (2) is enhanced by the SRC factor $\Phi_J$ to $\alpha_\rho^2 = (3/5)(3\pi^2)^{2/3} \Phi_J$. In neutron-rich systems, since relatively more protons are depleted from the Fermi sea to form a proton-skin in the HMT, the bulk part of the kinetic energy density is enhanced more for protons than neutrons. For the HMT-exp parameter set, we find $\Phi_p = 2.09$ and $\Phi_n = 1.60$ for isospin asymmetric matter with $\delta = 0.21$. While for the HMT-SCGF, we have $\Phi_p = 1.21$ and $\Phi_n = 1.14$.

Similar to the measure of the neutron-skin in $r$-space, $\Delta r_{np} = \langle r_n^2 \rangle^{1/2} - \langle r_p^2 \rangle^{1/2}$ with $\langle r_n^2 \rangle^{1/2}$ the RMS radius of neutrons/protons, one may quantify the proton-skin in $k$-space for finite nuclei using the difference between the average kinetic energies of protons and neutrons, i.e., $\Delta E_{kn}^{kn} = \langle E_p^{kn} \rangle - \langle E_n^{kn} \rangle$, with

$$\langle E^{kn} \rangle = \int_0^\infty k^{kn}(r) dr / \int_0^\infty \rho_J(r) dr \equiv \langle k^2 \rangle / 2M$$

where $M$ is the average mass of nucleons and $\langle k^2 \rangle$ is the RMS radius squared in $k$-space for the nucleon $J$. To evaluate surface properties, one has to specify the nucleon’s density profiles $\rho_J(r)$. We adopt here the 2-parameters Fermi (2pF) distribution widely used in the literature, i.e., $\rho_J(r) = \rho_0 [1 + \exp((r - c_J)/a_J)]^{-1}$, where $c_J$ and $a_J$ are the half-density radius and diffuseness parameter, respectively. While our formalism and conclusions are general, in the following we use $^{208}$Pb as an example for numerical calculations. While the $\phi_p$ and $\phi_J$ of $^{208}$Pb are constrained by experiments to $\phi_p \approx 0.447 fm$ and $\phi_J \approx 6.680 fm$ [43], the corresponding values for neutrons are still poorly known. We explore the correlation between the two kinds of skins in the range of $0.01 \leq \Delta r_{np} \leq 0.43 fm$ by taking $\phi_n = 0.55 \pm 0.05 fm$ and $\phi_n = 6.8 \pm 0.2 fm$ [2], while the fiducial value of $\Delta r_{np} \approx 0.159 fm$ is used for some illustrations.

Next we explain how the surface strength factor $\eta_J$ is constrained using as much as possible experimental information. Since the proton density profile is experimentally known, the $\eta_p$ is uniquely determined for a given $\langle E_p^{kn} \rangle$. For neutrons, however, there are three unknowns $\alpha_p$, $c_p$, and $\eta_n$ as the neutron density profile is not precisely known. Thus, given an average kinetic energy $\langle E_n^{kn} \rangle$ of neutrons, for any specific value of neutron-skin $\Delta r_{np}$ only a correlation among the $\alpha_n$, $c_n$, and $\eta_n$ is constrained. For the HMT-exp parameter set, we use $\langle E_p^{kn} \rangle \approx 41.9 MeV$ and $\langle E_n^{kn} \rangle \approx 34.0 MeV$ extracted by Hen et al. for $^{208}$Pb in ref. [13] using the neutron-proton dominance model with its parameters constrained by their experimental data. With about a factor of two smaller (larger) fractions of HMT nucleons in SNM (PNM) in the HMT-SCGF parameter set, the nucleon average kinetic energies are expected to be smaller at moderate $\delta$ values. While the SCGF theory has predicted the average nucleon kinetic energies for infinite matter, to our best knowledge, no prediction for $^{208}$Pb is currently available. To compare calculations using different HMT parameters, we set $\langle E_p^{kn} \rangle = \langle E_n^{kn} \rangle$ in the HMT-SCGF calculations and vary their values within a band of 2 MeV around 34.0 MeV. The latter is the value of $\langle E_n^{kn} \rangle$ used in the HMT-exp calculations. As an example, using $\Delta r_{np} \approx 0.159 fm$, for the HMT-exp parameter set we found $\eta_p \approx 26.7$ and $\eta_n = 7.2 \sim 9.2$, respectively. While for the HMT-SCGF, we have $\eta_p \approx 45.9$ and $\eta_n = 41.5 \sim 46.5$, respectively. It is interesting to note that the HMT-SCGF case requires a much large surface contribution to reproduce the same nucleon kinetic energies used in the HMT-exp calculations. This is understandable as the bulk part of the kinetic energy in the HMT-SCGF calculations is not enhanced by the HMT as much as in the HMT-exp calculations.

4. Coexistence of Neutron-Skins in $r$-Space and Proton-Skins in $k$-Space in Heavy Nuclei: A key quantity for our discussions here is nucleons’ average local momentum $k_J^{kn}(r)$

FIG. 2: (Color Online). Input local density (upper) and calculated momentum profiles (lower 3 windows) in $^{208}$Pb.
defined through the local kinetic energy per nucleon
\[
\langle E_{\text{kin}}^\text{loc}(r) \rangle = \delta_{\text{kin}}^f(r)/\rho_f(r) = (k_{f,\text{loc}}^2(r))^2/2M.
\] (5)

In Fig. 2, we examine the correlation between the neutron-skin in r-space and proton-skin in k-space in heavy nuclei by comparing nucleons’ average local density and momentum as a function of radius r. First of all, as a reference, nucleon local momenta in the original ETF calculations without considering the SRC effects are shown in the bottom window. The width of the band reflects the uncertainties of the input quantities. In the interior, neutrons have higher local momenta due to their higher uncertainties than protons. In the surface area, very interestingly, because protons have larger values of the Weizsäcker surface term \((\nabla \rho_f/\rho_f)^2\) they have higher local momenta than neutrons, indicating the coexistence of a proton-skin in k-space and a neutron-skin in r-space. Analytically, we have approximately in the outer surface area \(k_{\text{pin}}^2(r) \approx 1/(72M)(\nabla \rho_{\text{pin}}/\rho_{\text{pin}})^2 \approx 1/(72M a_{\text{pin}}^2)\), leading to \(k_{\text{pin}}^2(r) > k_{\text{pin}}^2(r)\) since the protons’ surface diffuseness \(a_p\) is normally much less than the \(a_n\) for neutrons in heavy nuclei. Turning on the SRC effects with either the HMT-exp or HMT-SCGF parameters, most interestingly, protons have much larger local momenta than neutrons in the surface area. Moreover, because of the stronger surface contributions in calculations with the HMT-SCGF parameter set, the local momenta of both neutrons and protons in the surface area are higher than those in the HMT-exp calculations. We also found that calculations with the HMT-SCGF parameters by varying the \(\langle E_{\text{kin}}^\text{kin} \rangle = 34\,\text{MeV}\) within a 2\,\text{MeV} range, or the size of neutron-skin around \(\Delta r_{\text{np}} = 0.159\,\text{fm}\) within a large range of about 0.15 fm do not change the qualitative features of our results. As we shall discuss next, the observed coexistence of proton-skins in k-space and neutron-skins in r-space in heavy nuclei is a requirement of quantum mechanics.

![FIG. 3: (Color Online). \(H_J\) obtained from the ETF\(^+\) calculations using the HMT-exp parameter set and the SHF prediction.](image)

5. Inverse Correlation between the RMS Radii in r- and k-Spaces: Defining \(H_J = \langle r_J^2 \rangle / \langle k_J^2 \rangle\), we first present general arguments then numerical results illustrating that \(H_J\) is a constant in a given model. First of all, Heisenberg’s uncertainty principle sets a limit on the \(\delta r_J \delta k_J \gtrsim 1\) with \(\delta r_J\) and \(\delta k_J\) the standard deviations in the r- and k-space, respectively. Moreover, Liouville’s theorem requires that the phase space density \(f_J(r, k)\) is a constant of motion. Thus, one expects that \(2 \times 4\pi (r_J)^3/3 \times 4\pi (k_J)^3/2\pi^3 = N_J\) with \(N_n = N\) and \(N_p = Z\), where \(\langle r_J \rangle\) and \(\langle k_J \rangle\) are the effective radii of the r- and k-space, respectively. Consequently, \(\langle r_J \rangle \langle k_J \rangle = (9\pi N_J^2/4)^{1/3}\) is a constant only depending on the number \(N_J\). Putting these constraints together, we then expect that \(\langle r_J^2 \rangle \langle k_J^2 \rangle = (\langle r_J^2 \rangle)^2[1 + (\delta r_J/\langle r_J \rangle)^2 + (\delta k_J/\langle k_J \rangle)^2] \approx \text{const} \quad \text{if} \quad \delta r_J \gtrsim \langle r_J \rangle \quad \text{and} \quad \delta k_J \lesssim \langle k_J \rangle\). Indeed, the latter conditions are well satisfied in heavy nuclei. For instance, applying the \(g_\eta(\rho, \delta)\) of Eq. 1 for nucleons in SNM with the HMT-exp parameters, \((\delta k/\langle k \rangle)^2 \approx 0.03\), and using the 2pf distribution for neutrons in \(^{208}\text{Pb}\) with \(\Delta r_{np} = 0.159\,\text{fm}, (\delta r_{np}/\langle r \rangle)^2 \approx 0.09\), which are both negligibly small. In the extreme case of a uniform phase space density, i.e., \(f_J(r, k) = \Theta(R_J - |r|)\Theta(K_J - |k|)\), where \(R_J\) and \(K_J\) are the hard-sphere radii in r- and k-space, respectively, and \(\Theta\) is the step function, \(\langle r_J^2 \rangle \langle k_J^2 \rangle = (4/25\pi)(9\pi/4)^{1/3}N_J^{2/3} = H_0^0\).

We now turn to numerically testing the constancy of \(H_J\). As an example, we use the HMT-exp parameter set and randomly select with equal weights the following quantities within their respective uncertainty ranges around their central values, \(\Delta r_{np} \approx 0.159 \pm 0.041\,\text{fm}, \langle E_{\text{kin}}^\text{kin} \rangle \approx 41.9 \pm 2.3\,\text{MeV}\) and \(\langle E_{\text{kin}}^\text{kin} \rangle \approx 34.0 \pm 1.5\,\text{MeV}\) (corresponding to \(\Delta E_{\text{kin}}^\text{kin} \approx 7.9 \pm 2.7\,\text{MeV}\)). The results are shown in the left window of Fig. 3. The lower two chains of red and blue diamonds denoted by “\(H_n (\text{only } a_n = 1) = 1\)” and “\(H_p (\text{only } a_p = 1) = 1\)”, respectively, are results of the original ETF model using neither the surface terms nor the SRC effects, i.e, \(\eta_{\text{pin}} = 0\) and no Laplacian term. The upper two chains are from the full ETF\(^+\) model calculations with \(\eta_{\text{pin}} = 8.2\). For a comparison, shown in the right window are results of the Skyrme-Hartree-Fock (SHF) calculations by varying the slope parameter \(L \equiv L(\rho_0)\) of the symmetry energy at \(\rho_0\) from 0 to 120\,\text{MeV}\) using the MSL0 parameter set [45]. Since the SHF does not contain SRC effects, its predictions are closer to the original ETF calculations [46]. Interestingly, as expected based on basic principles of quantum mechanics, in all models considered the \(H_n\) and \(H_p\) are essentially all constants. The most important consequence is that as nucleons’ RMS radius in k-space is increased by the HMT, their RMS radius in r-space has to decrease correspondingly. This leads to the coexistence of and a positive correlation between the neutron-skin in r-space and proton-skin in k-space of heavy nuclei.

6. Combined Proton-Skin and Neutron-Skin Constraints on Nuclear Models: Shown in Fig. 4 are correlations between the sizes of neutron-skin \(\Delta r_{np}\) in r-space and proton-skin \(\Delta E_{np}^\text{kin}\) in k-space for \(^{208}\text{Pb}\) within the ETF\(^+\) approach incorporating the SRC effects (HMT) using the HMT-exp parameter set or without considering them (FFG). Also shown are predictions by the SHF approach. Several important observations can be made. Firstly, as one expects, predictions of the SHF model and the ETF\(^+\) (FFG) without considering the SRC effects do not satisfy simultaneously the combined constraints on the sizes of both the neutron-skin and proton-skin. Secondly, the sizes of neutron-skin \(\Delta r_{np}\) and proton-skin \(\Delta E_{np}^\text{kin}\) are strongly correlated approximately linearly within their existing constraints. Thus, measuring more accurately the size
of either the neutron-skin in r-space or proton-skin in k-space will help improve our knowledge about the same physics underling both quantities.

7. Conclusion: In conclusion, protons move faster than neutrons in neutron-skins of heavy nuclei. The neutron-skins in r-space and proton-skins in k-space coexist and they are intrinsically correlated as required by Liouville’s theorem and Heisenberg’s uncertainty principle. A precise measurement of either one of them will help constrain the other one and improve our knowledge about nuclear surfaces in the complete phase space.

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