Generating moment equations in the Doi model of liquid–crystalline polymers

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We present a self–consistent method for deriving moment equations for kinetic models of polymer dynamics. The Doi model of liquid–crystalline polymers with the Onsager excluded–volume potential is considered as an example. To lowest order, this method amounts to a simple effective potential different from the Maier–Saupe form. Analytical results are presented which indicate that this effective potential provides a better approximation to the Onsager potential than the Maier–Saupe potential. Corrections to the effective potential are obtained.

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I. INTRODUCTION

Kinetic theory is a powerful analytical tool for describing the dynamics of dilute and semi–dilute solutions of polymers in terms of a diffusion equation for the particle distribution function or, equivalently, by the full system of moment equations. In general, the moment system has to be truncated at some level. The problem of the “closure approximation” is very well–known in the literature, and an enormous amount of suggestions have been analyzed in the case where each moment couples only to a few higher–order moments. However, for some kinetic equations, the time evolution of each moment couples to an infinite set of higher moments, so that further analytical work is often precluded since closure approximations are less studied for this case. In this paper we present a simple method that allows to derive moment equations with a finite coupling valid for a wide class of kinetic equations.

In order to be specific, we consider a particularly important example: the Doi theory of liquid–crystalline polymers (LCP), subject to the Onsager excluded–volume potential. As it is well–known, in this model each moment equation depends on an infinite set of higher–order moments. In the original work, this problem was treated in two steps: First, the Onsager potential was replaced by a different, phenomenological potential of the Maier–Saupe type, which gives rise to a coupling to the next higher moment only. In the second step, the “decoupling” approximation was used to solve the resulting closure problem for the second moment. Subsequent extensive studies were focused on improvements of the second step. At the same time, we are not aware of improvements on the first step and closure approximations are limited to the Maier–Saupe potential up to now. However, it would be desirable to deal with the true Onsager potential, not only because it becomes exact in the limit of low concentrations of perfectly rigid rod–like molecules, but also because it contains no phenomenological parameters and therefore gives more quantitative predictions. In addition, the Onsager potential is preferred in the study of the influence of flow on the isotropic–nematic transition, since it gives a clear–cut prediction of the range of coexistence of the equilibrium isotropic and nematic phase, both in stationary and non–stationary flows. The method, which we propose in this work, leads to an approximation of the Onsager potential, which, to the lowest order, is at the same time as simple as the Maier–Saupe potential but also closer to the true Onsager potential. Moreover, corrections to this approximation can be obtained in a systematic manner.

II. THE DOI MODEL

Let \( \psi(\mathbf{u}, t) \) be the probability distribution function for a rigid rod–like polymer molecule to be oriented parallel to the unit vector \( \mathbf{u} \). The time evolution of \( \psi \) in the presence of flow and the Onsager excluded–volume potential was given by Doi and may be written as:

\[
\partial_t \psi = -\mathbf{R} \cdot [\mathbf{u} \times (\kappa \cdot \mathbf{u} \psi)] + \mathbf{R} \cdot \mathbf{D}_r \psi \mathbf{R} \left( \frac{\delta A}{\delta \psi(\mathbf{u})} \right).
\] (1)

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Here $\mathcal{R} = u \times \partial / \partial u$ is the rotational operator, $\partial / \partial u$ the gradient on the unit sphere, $\kappa$ the gradient of the velocity, $\hat{D}_r$ the rotational diffusivity, $\delta / \delta \psi$ the functional derivative and $A = A_0 + A_1$ is the free energy functional per molecule divided by $k_BT$,

$$A_0 = \ln \nu - 1 + \langle \ln \psi(u) \rangle$$  \hspace{1cm} (2a)

$$A_1 = \frac{U}{2} \langle \sqrt{1 - (u \cdot w)^2} \rangle.$$  \hspace{1cm} (2b)

$U = 2bL^2\nu$ is the reduced excluded–volume, $2b$ and $L$ are the diameter and the length of the rod–like polymeric molecules, respectively, and $\nu$ is the number of molecules per unit volume. Here and below we use the following notations for averages: $\langle f(u) \rangle = \int f(u) \psi(u) du$, and $\langle f(u, w) \rangle = \int f(u, w) \psi(u) \psi(w) du dw$. $A_0$ describes the loss of entropy with molecular alignment, while $A_1$ expresses the Onsager free energy of steric interaction in the second virial approximation [1]. Following Doi and Edwards [10], the rotational diffusivity is approximated by

$$\hat{D}_r \approx \bar{D}_r = D_r \left[ \frac{4}{\pi} \langle \sqrt{1 - (u \cdot w)^2} \rangle \right]^{-2},$$  \hspace{1cm} (3)

where $D_r$ is the rotational diffusion coefficient for a rod in an isotropic, semi–dilute solution of like rods, is related to the rotational diffusion constant for a dilute solution, $D_{r0}$, by $D_r = cD_{r0}(\nu L)^{-2}$ with an empirical coefficient $c$. Nonlinearity of Eq. (1) in $\psi$ brings about by the potential (2b) reflects the mean–field nature of the Onsager theory of the excluded–volume effect. The self–consistent potential, identified by Doi [10], is related to the free energy of interaction, $V(u) = k_BT\alpha_1/\delta \psi(u)$. Various phases of the LCP are conveniently described by the order parameter $S = (uu - (1/3)1)$, where $1$ is the unit tensor. It is reasonable therefore to look for approximate formulations of the dynamics in terms of the order parameter alone. However, as mentioned above, the time evolution equation for $S$ couples to an infinite number of moments of $\psi$. In the derivation given by Doi, this difficulty was circumvented by replacing the Onsager potential (2b) by a different, phenomenological expression of the Maier–Saupe type [1]:

$$A^{\text{MS}}_1 = a_0 - \frac{a_1}{2}US : S,$$  \hspace{1cm} (4)

where $a_0$ and $a_1$ are parameters independent of $\psi$. A further separate treatment of the diffusivity (3) is also necessary. A compact presentation of the entire development is given by Doi and Edwards [10]. The Doi model with the Maier–Saupe potential (4) constitutes the basic kinetic model of LCP used by many authors for analytical studies to derive equations for the order parameter. As is well–known, the kinetic equation (1) with the potential (4) does not give a closed equation for the order parameter but contains also the higher–order moment $\langle uuuuu \rangle$, and therefore constitutes a further problem of closure. The original Doi approach was based on the decoupling approximation for the fourth–order moments of $\psi$ in terms of $S$. Improvements on the decoupling approximation are currently under active research [3].

**III. GENERATING MOMENT EQUATIONS**

In this communication, we demonstrate that a different self–consistent treatment of the kinetic equation (1) is possible. Modifications concern only the relaxational part of the Eq. (1), specifically, the excluded–volume potential [24] and the diffusivity [3], and therefore we consider the case $\kappa = 0$ in the sequel to simplify notations. Specifically, we employ the cumulant expansion of the potential (2b) and the diffusivity (3). The leading term of this expansion results in an effective potential that differs from the Maier–Saupe potential (4), and which contains a non-polynomial dependence on the order parameter $S$.

In the second virial approximation, the free energy of interaction, $A_1$ can be written as $A_1 = (\nu/2)\langle \beta(u, w) \rangle$. If only excluded–volume interactions are considered, the second virial coefficient $\beta$ corresponding to the Onsager expression (2b) is of the form $\beta(u, w) = \beta((u \cdot w)^2)$, with $\beta(x) = 2bL^2\sqrt{1-x}$. Specifically, expanding $\beta(x)$ in a Taylor series and interchanging summation and averaging in this expansion, we get $\langle \beta(x) \rangle = \sum_{n=0}^{\infty} a_n \langle x^n \rangle$, where $a_n$ are numerical coefficients. Each average $\langle x^n \rangle$ can be represented in terms of cumulants $\langle x^k \rangle_c$ of order $k \leq n$. Resummation of the series leads to

$$\langle \beta(x) \rangle = \beta(\langle x \rangle) + \sum_{m=1}^{\infty} \frac{1}{m!} \left( \frac{\langle x^2 \rangle_c}{2} \right)^m \beta(2m)(\langle x \rangle) + \ldots,$$  \hspace{1cm} (5)

where $\beta(x)$ is the rotational operator, $\partial / \partial u$ the gradient on the unit sphere, $\kappa$ the gradient of the velocity, $\hat{D}_r$ the rotational diffusivity, $\delta / \delta \psi$ the functional derivative and $A = A_0 + A_1$ is the free energy functional per molecule divided by $k_BT$. Here and below we use the following notations for averages: $\langle f(u) \rangle = \int f(u) \psi(u) du$, and $\langle f(u, w) \rangle = \int f(u, w) \psi(u) \psi(w) du dw$. $A_0$ describes the loss of entropy with molecular alignment, while $A_1$ expresses the Onsager free energy of steric interaction in the second virial approximation [1]. Following Doi and Edwards [10], the rotational diffusivity is approximated by

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where \( \beta^{(2m)} \) is the 2\( m \)-th derivative of \( \beta \) and ellipses denote terms including third or higher–order cumulants as factors. Therefore, the functional \( A_1 \) \[^{[21]}\] can be split as \( A_1 = A_1^{(1)} + B \), where \( A_1^{(1)} \) is the free energy, corresponding to the total neglect of second and higher–order cumulants in each term of the expansion,

\[
A_1^{(1)} = \frac{U}{2} \sqrt{1 - \langle uu \rangle : \langle uu \rangle}.
\]  

(6)

In terms of the order parameter \( S \), \( A_1^{(1)} \) may be rewritten as \( A_1^{(1)} = (U/\sqrt{6})\sqrt{1 - (3/2)S : S} \). By the mean value theorem, it is easy to see that \( A_1^{(1)} \) gives an upper bound to \( A_1 \), \( A_1 \leq A_1^{(1)} \), for the present case of excluded–volume interactions. The functional \( B \) contains the higher–order cumulants. While all powers of the second cumulants are displayed in Eq. \([6]\), in general it is not a priori clear whether it is more important to keep powers of the second cumulant or higher cumulants. However, we generally expect the linear term in the second order cumulant to be most important. The corresponding term \( m = 1 \) in Eq. \([6]\), \( A_1^{(2)} = (1/2)\langle x^2 \rangle _c \beta'\langle \langle x \rangle \rangle \), gives the first non–vanishing contribution to \( B \),

\[
A_1^{(2)} = -\frac{U}{16} \langle [(u \cdot w)^2 - \langle uu \rangle : \langle uu \rangle] \rangle (1 - \langle uu \rangle : \langle uu \rangle)^{-3/2}.
\]  

(7)

Keeping only the first \( N \) cumulants in the expansion \([6]\), the functional \([21]\) is approximated by non–linear functions of the first \( 2N \) moments of \( \psi \). Inserting this approximation in the time evolution equation \([1]\) amounts to an approximation of the self–consistent Onsager potential \( V \) in terms of a polynomial of order \( 2N \) in \( u \) but with non–linear coefficients. In this approximation, the time evolution of the \( 2n \)--th moment contains only the first \( (2n + 2N) \)--th moments. With this, moment equations can be generated, that approach the original equations in a systematic way, thereby containing only a finite number of moments at each stage.

**IV. TESTING THE APPROXIMATION**

Clearly, the above procedure is most valuable if the first terms, \( A_1^{(1)} \), etc., already provide a good approximation to the full expression \( A_1 \). While the general validity of \( A_1^{(1)} \) as a good approximation to \( A_1 \) is a rather delicate problem, it should be mentioned that it is so at least in two limiting cases. Namely, for the isotropic state, the value of \( A_1^{(1)} \) differs from \( A_1 \) for less than 5\%, while in the fully ordered state the approximation \([6]\) becomes exact. Moreover, on the submanifold of distribution functions of the form

\[
\psi_\alpha(u) = \frac{\alpha}{4\pi \sinh \alpha} \cosh(\alpha u \cdot n),
\]  

(8)

where \( n \) is an arbitrary unit vector, and \( 0 \leq \alpha \leq \infty \), the functional \( A_1^{(1)} \) turns out to approximate \( A_1 \) very well for all values of the parameter \( \alpha \) between the isotropic state, \( \alpha = 0 \), and the fully ordered state, \( \alpha = \infty \). To show this, we plot in Fig. 1 the functions \( A_1(\alpha) \), \( A_1^{(1)}(\alpha) \) and \( A_1^{(1)}(\alpha) + A_1^{(2)}(\alpha) \), that result upon inserting the ansatz \([5]\) into \([21]\), \([6]\), \([4]\), respectively. Note, that \( A_1(\alpha) \), \( A_1^{(1)}(\alpha) \) and \( A_1^{(2)}(\alpha) \) can be calculated analytically. For convenience, we plot the functions against the scalar order parameter, defined as \( S = \sqrt{\langle 3/2 \rangle S : S} \). Including \( A_1^{(2)} \) does not only reduce the error of the approximate value of \( A_1 \) in the isotropic state to 1.5\%, but improves the accuracy of the approximation over the whole range of \( S \). For comparison, we included in Fig. 1 also the free energy \( A_{MS} \), corresponding to the Maier–Saupe expression \([6]\), thereby choosing the undetermined constant so that the limit of the fully ordered state is matched correctly. Note, however, that in any case \( A_{MS} \) decays asymptotically like \( 1/\alpha \), for \( \alpha \gg 1 \), whereas \( A_1 \) and \( A_1^{(1)} \) behave like \( 1/\sqrt{\alpha} \) in this regime. We included in Fig. 1 also the derivative of the above functions, since they are related to the self–consistent potential \( V \). Fig. 1 shows that also the derivative of \( A_1^{(1)} \) provides a good approximation to the derivative of \( A_1 \), with correct limiting behavior near the isotropic and fully ordered state. Note that including the first correction \( A_1^{(2)} \) yields excellent agreement to the true Onsager prediction. The Maier–Saupe potential captures the main features but, besides an undetermined constant, shows the wrong behavior near the fully ordered state. The ansatz \([5]\) originally proposed by Onsager \([6]\), is known to approximate the equilibrium distribution very well. Therefore we conclude that \( A_1^{(1)} \) represents a good approximation to \( A_1 \), at least on a representative subset of distribution functions.
V. THERMODYNAMIC CONSISTENCY

It is worth mentioning that the presentation given so far can easily be cast into the recently developed GENERIC formalism of nonequilibrium thermodynamics \[1,2\]. In the absence of potential forces, the example of rigid dumbbells, which are equivalent to the model of rigid rods, is formulated within the GENERIC formalism in Ref. \[3\]. The mean field potentials considered above can be included in a straightforward manner, if we recognize that \(A_1 = -S_1\), where \(S_1\) is the entropic contribution per molecule to the free energy of interaction divided by \(k_B\). Formulating the original model as well as the approximations within the GENERIC formalism guarantees that our treatment is in accordance with the principles of nonequilibrium thermodynamics. This becomes especially important if the present model is considered in nonisothermal situations. For example, the structure of the GENERIC formalism requires the polymeric contribution to the elastic stress to be

\[\sigma_{\alpha\beta}^p = 3\nu k_B T S_{\alpha\beta} - \nu k_B T \langle u \times \mathbf{R} \frac{\delta S_1}{\delta \psi} \rangle_{\alpha\beta}.\]  

Eq. (9) agrees with the result of Doi \[\ref{3}\], obtained upon varying the free energy functional.

VI. THE LOWEST ORDER APPROXIMATION

In the sequel, we will adopt the lowest order approximation \(A = A^{(1)} = A_0 + A_1^{(1)}\), where \(A_1^{(1)}\) is given by Eq. (2), and \(A_0\) is given by Eq. (2a). This amounts to neglect of all higher order correlations in Eq. (1), or, equivalently, setting \(B = 0\). Substituting \(A_1^{(1)}\) instead of \(A\) into Eq. (1), we derive

\[\partial_t \psi = \mathcal{R} : \dot{\mathbf{D}}_t \left[ \mathcal{R} \psi - \psi \mathcal{R} \left( \frac{U_{uu} \langle uu \rangle}{2\sqrt{1 - \langle uu \rangle : \langle uu \rangle}} \right) \right].\]  

(10)

It is now possible to identify the self-consistent potential as

\[V^{(1)}(u) = \left( \frac{U_{kk} T}{2} \right) \frac{1 - uu : \langle uu \rangle}{\sqrt{1 - \langle uu \rangle : \langle uu \rangle}},\]  

which can be compared to the expression obtained from inserting the Maier-Saupe free energy (9) into Eq. (1)

\[V_{MS}(u) = a_2 - a_1 U k_B T uu : \langle uu \rangle,\]  

(12)

where \(a_2\) is an arbitrary constant. The normalized equilibrium solutions to the Eq. (12) are \(\psi_{eq}^{(1)} = Z^{-1} \exp[-V^{(1)}/k_B T]\).

The rotational diffusivity (3) is related to the free energy of interaction, since \(\mathbf{D}_t = D_t \left[ \frac{4}{3} A_1/(U/2) \right]^{-2}\). Substituting \(A_1 = A_1^{(1)}\) gives

\[\mathbf{D}_t^{(1)} = (3\pi^2/32)D_t[1 - (3/2)S : S]^{-1}.\]  

(13)

The diffusion coefficient \(\mathbf{D}_t^{(1)}\) (13) is positive in the entire physically meaningful range of the order parameter \(S\). Expression (13) should be compared with the Doi phenomenological result:

\[\overline{D}_t^{(1)} = D_t[1 - (3/2)S : S]^{-2}.\]  

(14)

While we have not found an argument which of the two powers, \(-1\) or \(-2\), is more consistent, it should be stressed that our derivation of the diffusion coefficient does not need any further assumptions or adjustable parameters, while the derivation of Eq. (14) \[1,10\] requires the matching of \(\mathbf{D}_t\), resp. \(A_{MS}\) in both, the isotropic and the fully ordered state. Due to its relation to \(A_1^{(1)}\), the diffusion coefficient \(\mathbf{D}_t^{(1)}\) (13) has a correct limit in the fully ordered state ((\(D_t/\mathbf{D}_t^{(1)} = 0\) as soon as \(S : S = 2/3\) in the ordered state), while the opposite limit of the isotropic state ((\(\mathbf{D}_t = D_t\)) is matched within 8%. Again, the first correction (13) reduces the error in this limit to less than 3%.

If we adopt (13) and approximate \(\mathbf{D}_t\) by \(\mathbf{D}_t^{(1)}\) (13), the time evolution of the order parameter \(S\) can be derived from Eq. (10) by the so-called Prager procedure.
\[
\partial_t S = -6D_r^{(1)}S + \frac{U'}{\sqrt{1-(3/2)S^2}}(S \cdot \langle uu \rangle - S : \langle uuuu \rangle),
\]

with \( U' = U/\sqrt{6} \). This expression differs from the result of Doi \([1]\) not only in the diffusion coefficient and in the reduced excluded–volume \( U \) due to the undetermined constant in the Maier–Saupe potential \([12]\), but contains a non–polynomial dependence on the order parameter \( S \), which becomes important in the nematic state.

VII. CONCLUSION

We have presented a systematic procedure that allows to derive approximate moment equations for the Doi model of LCP, which contain only a finite number of higher order moments. The first approximation for the Onsager excluded–volume interaction results in an effective potential \([1]\) proportional to \( uu \), but different from the Maier–Saupe form \([12]\) and without free parameters. We find indications, that \([1]\) approximates the true Onsager potential better than the Maier–Saupe potential. For higher accuracy, the first correction seems to be the most important contribution. All these approximations are in accordance with nonequilibrium thermodynamics.

Note, that we have not addressed the problem of solving the resulting kinetic equations or “closing” the moment equations. This work is currently under preparation. Nevertheless, for comparing Eq. (15) to the corresponding equation for the scalar parameter \( S \), and \( U \) of the Doi theory do not depend on \( \nu \). For large values of \( \nu/\nu_2 \), the solution \([12]\) approaches the value \( S_{eq} = 1 \) and asymptotically behaves like the solution of the Doi theory \( S_{eq} \sim 1 - \nu_2/\nu \), for large \( \nu \). Note, that the decoupling approximation corrects the asymptotic behavior of the Maier–Saupe potential near the fully ordered state.
As is well-known, the detailed form of the interaction potential can have significant effect on the behavior of the order parameter in the nematic phase [14]. Specifically, the amount of order at the transition is known to be much smaller in the Maier–Saupe theory than in the Onsager model. For comparison, we included in Fig. 2 the values of the order parameter obtained from minimizing the true Onsager free energy numerically [15], where \( \nu_2 \) now corresponds to the true nematic transition. Although the analysis of the phase transitions via the dynamical approach is affected by the use of the decoupling approximation, the prediction of the self–consistent approach is much closer to the true Onsager values than is the Maier–Saupe potential.

Finally, it should be mentioned that approximations to the Onsager potential like Eqs. (6) and (7) can also be used in the case of potential flows, following the approach of Thirumalai [7] without additional assumptions.

To summarize, we have developed a direct approach to the Doi model with the Onsager potential. We have demonstrated that the resulting kinetic equation has much in common with the Doi model with the phenomenological Maier–Saupe potential. Corrections to the approximation developed here can be found in a systematic way from Eqs. (6) to (7) by taking into account higher order correlations. The approach to derive self–consistent moment equations is applicable to other kinetic equations which can be cast into the form (6).

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FIGURE CAPTIONS

**Fig. 1:** Free energy of excluded–volume interaction for distribution functions plotted against the scalar order parameter $S(\alpha)$. From top to bottom: approximation $A_1^{(1)}$, with first correction $A_1^{(1)} + A_1^{(2)}$, true Onsager expression $A_1^{(2b)}$ and the Maier–Saupe free energy $A_{MS}^{(4)}$, when the limits $S = 0$ and $S = 1$ are matched. In the inset, the derivative of the above functions is shown as a function of $S(\alpha)$. The order of the curves from top to bottom is the same.

**Fig. 2:** The equilibrium order parameter $S_{eq}$ as a function of $\nu/\nu_2$. The figure shows the behavior due to the Maier–Saupe potential $A_{MS}^{(4)}$, lower curve, and the solution of $A_1^{(1)}$, upper curve, in the decoupling approximation. Full circles indicate the order parameter for the true Onsager potential in the static case (from [15]).
