Perpetual Free-choice Petri Nets are Lucent
Proof of a Theorem of van der Aalst Using CP-exhaustions

Joachim Wehler*
Department of Mathematics
Ludwig-Maximilians-Universität München (LMU Munich)
München, Germany
Joachim.Wehler@gmx.net

Abstract. Van der Aalst’s theorem is an important result for the analysis and synthesis of process models. The paper proves the theorem by exhausting perpetual free-choice Petri nets by CP-subnets. The resulting T-systems are investigated by elementary methods.

Keywords: Free-choice system, CP-subnet, perpetuality, lucency.

1. Introduction

In the course of his work on the analysis and synthesis of process models van der Aalst introduced the concept of a lucent process model [1], [3]. The global state of a lucent process model is known when all actions that are possible in a given state are known. When expressed in the language of Petri nets lucency means: If two reachable markings of the Petri net enable the same transitions (actions) then the markings are equal, i.e. each state of the system is already determined by the set of its enabled transitions.

How to decide by inspection of a Petri net whether it is lucent? Van der Aalst considers the class of live and bounded Petri nets. He provides interesting examples from this class which are not lucent,

*Address for correspondence: Department of Mathematics, Ludwig-Maximilians-Universität München, Theresienstrasse 39, D-80333 München, Germany.

Received September 2020; revised September 2021.
even though they are safe. He names perpetual Petri nets the class of live and bounded Petri nets with a "regeneration point", i.e. with a home marking which marks only the places of a distinguished cluster. [1, Theor. 3] states:

**Theorem** [Van der Aalst’s theorem on lucency] Each perpetual free-choice system is lucent.

For the importance of lucency in the context of process discovery see [3]. The proof of the theorem in [1] has a gap as van der Aalst remarks in [2]. To close the gap van der Aalst uploaded a revised version of a previous paper, see [4, Theor. 3].

The purpose of the present paper is to give a proof of van der Aalst’s theorem which uses some fundamental results from the theory of free-choice systems. Notably we focus on the existence of \( CP \)-subnets of well-formed free-choice nets. Hence our proof uses different ideas than those in [1, 4]: We exhaust a well-formed free-choice net by a family of \( CP \)-subnets. In the end the problem reduces to a statement about lucency of certain perpetual \( T \)-systems. Here the claim can be proved by elementary methods. For a scheme of the proof in the present paper see Figure [3] in Section 4.

2. Basic concepts and results

To fix the notation and for the convenience of the reader we recall some basic concepts and results. As common in mathematics we denote set inclusion by “\( \subset \)”. The sign covers both cases, proper inclusion and equality; we do not use the sign “\( \subseteq \)”. The symbol “\( \subset \)” also denotes the inclusion of subnets. Furthermore, we mostly follow the standard textbook about free-choice systems [5].

**Remark 2.1. (Concepts, notations, basic results)**

A net

\[ N = (P, T, F) \]

is a bipartite, directed graph with nodes the set \( P \) of places and the set \( T \) of transitions, and the set of edges

\[ F \subset ((P \times T) \cup (T \times P)) . \]

All nets are finite. We use also the notation \( N_P := P \), \( N_T := T \). We represent edges by arrows, pointing from the first to the second component of the pair.

1. **Structure**: Two nets \( N_j = (P_j, T_j, F_j) \), \( j = 1, 2 \), are disjoint if

\[ P_1 \cap P_2 = T_1 \cap T_2 = \emptyset . \]

The **disjoint union** of a family of pairwise disjoint nets is the union of these nets. A net \( N' = (P', T', F') \) is a subnet \( N' \subset N \) if

\[ P' \subset P, T' \subset T, F' \subset F \]

---

1 Added in proof: See also “van der Aalst, Wil M.P.: Free-Choice Nets With Home Clusters Are Lucent. *Fundamenta Informaticae*, 2021 (in print).”
The subnet \( N' \subset N \) is a full subnet if

\[
F' = F \cap ((P' \times T') \cup (T' \times P'))
\]

If not explicitly stated otherwise the term subnet in the present paper means a full subnet. But we will also consider subnets which are not full subnets. Each pair of subsets \( P' \subset P, T' \subset T \) generates a full subnet

\[
\text{span}_N < P', T' > \subset N
\]

with node set \( P' \cup T' \). For a full subnet \( N' = (P', T', F') \subset N \) the complement

\[
\overline{N'} := N \setminus N' \subset N
\]

is the full subnet of \( N \) spanned by the nodes from \( (P \cup T) \setminus (P' \cup T') \). A subnet \( N' \subset N \) is transition-bordered if its places \( p \in (N')_p \) satisfy \( \bullet p \cup p \bullet \subset N' \).

A path of \( N \) is a non-empty sequence of nodes of \( N \)

\[
\delta = (x_1, \ldots, x_n) \text{ with } (x_i, x_{i+1}) \in F, \ i = 1, ..., n-1.
\]

We use the notation \( \delta \subset N \). The path \( \delta \) is elementary if \( x_i \neq x_j \) for \( 1 \leq i \neq j \leq n \). It is a circuit if \( (x_n, x_1) \in F \). The concatenation of two adjacent paths \( \delta_1 = (x_1, \ldots, x_n) \) and \( \delta_2 = (x_n, \ldots, x_{n+k}) \) is

\[
\delta_1 \cdot \delta_2 := (x_1, \ldots, x_n, x_{n+1}, \ldots, x_{n+k})
\]

If \( x_1 = x_{n+k} \) then \( \delta_1 \cdot \delta_2 \) induces the circuit \( (x_1, \ldots, x_{n+k-1}) \).

The net \( N \) is weakly connected or just connected when each two nodes \( x, y \in N \) satisfy

\[
(x, y) \in (F \cup F^{-1})^* \quad \text{(symmetric, reflexive and transitive closure)}
\]

The net is strongly connected when each two nodes \( x, y \in N \) can be joined by a path \( (x, \ldots, y) \) leading from \( x \) to \( y \), i.e. \( (x, y) \in F^* \). If not stated otherwise nets are supposed to be connected. For a node \( x \) the sets of nodes

\[
\bullet x := \{ y \in N : (y, x) \in F \} \quad \text{and} \quad x^\bullet := \{ y \in N : (x, y) \in F \}
\]

denote respectively the pre-set and the post-set of \( x \). The concept generalizes to the pre-set and post-set of sets of nodes. The net \( N \) is a \( T \)-net if all places \( p \in P \) satisfy \( \text{card} \ p^\bullet = \text{card} \ \bullet p = 1 \).

The net is a \( P \)-net if all transitions \( t \in T \) satisfy \( \text{card} \ t^\bullet = \text{card} \ \bullet t = 1 \). The net \( N \) is a free-choice net if for each pair \( (p, t) \in P \times T \)

\[
(p, t) \in F \implies \bullet t \cdot p^\bullet \subset F
\]

The cluster of a node \( x \) is the smallest subnet \( cl \subset N \) which contains \( x \) and for each place \( p \in cl \) also its post-set \( p^\bullet \) and for each transition \( t \in cl \) also its pre-set \( \bullet t \). For a free-choice net \( N \) and a cluster \( cl \subset N \) holds: Each pair \( (p, t) \in cl_p \times cl_T \) satisfies \( (x, y) \in F \).

A \( P \)-component of \( N \) is a non-empty, strongly connected \( P \)-subnet \( C \subset N \) such that for each place \( p \in C \) holds \( \bullet p \cup p^\bullet \subset C \).
2. **Structure and dynamics**: A marking of $N$ is a map

$$\mu : N_P \rightarrow \mathbb{N}$$

The *token count* at $\mu$ of a subset $X$ of nodes of $N$ is the number

$$\|\mu\|_X := \sum_{p \in X \cap N_P} \mu(p)$$

adding up all tokens marking places of $X$. A **Petri net** or **marked net** is a pair $(N, \mu)$ with $\mu$ a marking of $N$. A **$T$-system** respectively a **free-choice system** is a Petri net $(N, \mu)$ with $N$ a $T$-net respectively a free-choice net.

A transition $t \in T$ is *enabled* at the marking $\mu$ if all its pre-places are marked, i.e. if for all $p \in \text{\texttt{\textbullet}} t$ holds $\mu(p) \geq 1$. A transition $t$, which is enabled at $\mu$, may fire. *Firing* $t$ consumes one token from each pre-place of $t$ and creates one token at each post-place of $t$. The notation

$$\mu \xrightarrow{t} \mu_{post}$$

means: $t$ is enabled at $\mu$, and firing $t$ at $\mu$ creates the marking $\mu_{post}$ defined for each $p \in N_P$ as

$$\mu_{post}(p) := \begin{cases} 
\mu(p) & \text{if } p \in \text{\texttt{\textbullet}} t \cap \text{\texttt{\textbullet}} t \text{ or } p \notin \text{\texttt{\textbullet}} t \cup \text{\texttt{\textbullet}} t \\
\mu(p) - 1 & \text{if } p \in \text{\texttt{\textbullet}} t \setminus \text{\texttt{\textbullet}} t \\
\mu(p) + 1 & \text{if } p \in \text{\texttt{\textbullet}} t \setminus \text{\texttt{\textbullet}} t 
\end{cases}$$

The set of all transitions of $N$ enabled at the marking $\mu$ is denoted $en(N, \mu)$.

An **occurrence sequence** $\sigma = (t_1, \ldots, t_n)$ is a sequence of transitions. It is *enabled* at a marking $\mu$ if

$$\mu \xrightarrow{t_1} \mu_1 \xrightarrow{t_2} \ldots \mu_{n-1} \xrightarrow{t_n} \mu_n$$

The shorthand

$$\mu \xrightarrow{\sigma} \mu_n$$

expresses the successive enabledness and firing of the component transitions of $\sigma$.

A marking $\mu_{post}$ of a net $N$ is *reachable from a marking* $\mu_{pre}$ if there exists an occurrence sequence

$$\mu_{pre} \xrightarrow{\sigma} \mu_{post}$$

A marking $\mu$ is reachable in a Petri net $(N, \mu_0)$ if $\mu$ is reachable from $\mu_0$. A marking which is reachable from each reachable marking is a **home marking**. A Petri net $(N, \mu_0)$ is **live** if for each transition $t$ and from each reachable marking a marking is reachable which enables $t$. A Petri net $(N, \mu_0)$ is **bounded** if there exists a constant $K$ with $\mu(p) \leq K$ for each reachable marking $\mu$ and for all places $p \in N_P$. The Petri net is **safe** if the bound $K = 1$ is possible. A net $N$ is **well-formed** if there exists a marking $\mu_0$ of $N$ such that the Petri net $(N, \mu_0)$ is live.
and bounded. Two reachable markings $\mu_j$, $j = 1, 2$, of a live and bounded Petri net with $\mu_1 \geq \mu_2$ are equal. We will often use the latter result without further mentioning.

Each well-formed free-choice net is covered by $P$-components. The token count of a $P$-component is the same for all reachable markings of $(N, \mu_0)$. Each strongly connected $P$-subnet of a well-formed free-choice net, in particular each elementary circuit, is contained in a $P$-component, \cite{[6] Chap. 5}.

3. Greedy cluster: For a cluster $c l \subset N$ we denote by $\mu_{cl}$ the marking of $N$

$$\mu_{cl} : N_P \rightarrow \mathbb{N}, \mu_{cl}(p) := \begin{cases} 1 & p \in cl_P \\ 0 & \text{otherwise} \end{cases}$$

Hence $\mu_{cl}$ is the characteristic function of the set of places of $cl$. If a Petri net $(N, \mu_0)$ has a reachable marking with $\mu = \mu_{cl}$ for a given cluster $c l \subset N$, then $c l$ shows a kind of “greediness” - in particular if $\mu$ is a home marking. Greediness will be a fundamental property in this paper.

We emphasize the following properties of $T$-systems:

**Remark 2.2. ($T$-nets and $T$-systems)**

- The $P$-components of a $T$-net are its elementary circuits.

- In a $T$-system an enabled transition can lose its enabledness only by firing itself. During the firing of an occurrence sequence the token count of a path $(p_{in}, ..., p_{out})$ joining two places can change only by creating tokens at $p_{in}$ or consuming tokens at $p_{out}$. The token count of a circuit is the same for each reachable marking.

3. **CP-subnets and CP-exhaustion**

CP-subnets have been introduced by Desel and Esparza. Since then, CP-subnets are a standard tool for the investigation of free-choice systems, \cite{[5] Def. 7.7, Theor. 7.13}.

**Definition 3.1. (CP-subnet)**

Consider a net $N$.

1. A non-empty, weakly connected transition-bordered $T$-subnet

$$\hat{N} \subset N$$

is a CP-subnet of $N$ if the complement

$$\overline{N} := N \setminus \hat{N}$$

contains some transition and is strongly connected.

2. A way-in transition $t_{in}$ of a CP-subnet $\hat{N} \subset N$ is a transition $t \in \hat{N}_T$ with $t \cap \overline{N}_P \neq \emptyset$, a way-out transition $t_{out}$ is a transition $t \in \hat{N}_T$ with $t^* \cap \overline{N}_P \neq \emptyset$. 
3. A CP-subnet $\hat{N} \subset N$ is adapted to a cluster $cl \subset N$ if $cl \not\subset \hat{N}$.

We recall some well-known properties of CP-subnets.

**Remark 3.2. (Existence, structure and dynamics of CP-subnets)**

Consider a well-formed free-choice net $N$.

1. **Existence:** If $N$ is not a $T$-net then $N$ has a CP-subnet $\hat{N} \subset N$, [5] Prop. 7.11]. One may even assume that a given transition $t \in N$ is not contained in $\hat{N}$, [7] Lem. 1.2]. The latter result is crucial to obtain in Theorem 3.5 a CP-exhaustion of $N$ which is adapted to a given regeneration cluster of $N$.

2. **Structure:** A CP-subnet $\hat{N} \subset N$ has the following structural properties:
   - The net $\hat{N}$ has a unique way-in transition $t_{in} \in \hat{N}_T$, [5] Prop. 7.10]. The net $\hat{N}$ has at least one way-out transition $t_{out}$ because $\hat{N}$ is strongly connected.
   - Each place $p \in \hat{N}$ has a path $(t_{in}, \ldots, p) \subset \hat{N}$ leading from $t_{in}$ to $p$, cf. [5] Prop. 7.10 proof.
   - The complement $\overline{N} := N \setminus \hat{N}$ is a well-formed free-choice net too, [5] Cor. 7.9.
   - Each cluster $cl \subset N$ satisfies
     \[ cl \not\subset \hat{N} \iff (cl \cap \overline{N})_T \neq \emptyset \iff cl_p \subset \overline{N}_p \]
     The proof uses that $\overline{N}$ is strongly connected and that $\hat{N} \subset N$ is transition-bordered.

3. **Dynamics:** Consider a CP-subnet $\hat{N} \subset N$, and a live and bounded marking $\mu_0$ of $N$ and a reachable marking $\mu$ of $(N, \mu_0)$.
   - There exists a shutdown sequence for $\hat{N}$, i.e. a finite occurrence sequence of $(N, \mu)$
     \[ \mu \xrightarrow{\sigma_{sd}} \mu_{sd} \]
     with transitions $t \in \sigma_{sd}$ only from $\hat{N}_T \setminus \{t_{in}\}$, such that $\mu_{sd}$ enables no transition of $\hat{N}$ different from $t_{in}$, [5] Prop. 7.8].
   - The free-choice system
     \[ (\overline{N}, \mu_{sd}) \text{ with } \overline{\mu}_{sd} := \mu_{sd}|\overline{N} \]
     is live and bounded, [5] Prop. 7.8]. If $(N, \mu_0)$ is safe then $(\overline{N}, \overline{\mu}_{sd})$ is safe too.
   - An occurrence sequence of transitions from $\overline{N}$ is enabled at a marking $\mu$ of $N$ iff it is enabled as an occurrence sequence of the restriction $(\overline{N}, \mu|\overline{N})$.

Proposition 3.3 shows: A CP-subnet in the complement of a CP-subnet is a CP-subnet in the original net too. The result prepares the induction step in the proof of Theorem 3.5.
**Proposition 3.3. (Iteration of CP-subnets)**

Consider a well-formed free-choice net $N$ and a $CP$-subnet $\hat{N}_0 \subset N$ with complement

$$\overline{N}_0 := N \setminus \hat{N}_0.$$  

Each $CP$-subnet $\hat{N}_1 \subset \overline{N}_0$ of the complement $\overline{N}_0$ is also a $CP$-subnet $\hat{N}_1 \subset N$ of $N$.

**Proof:**

One has to show that the complement $N \setminus \hat{N}_1$ contains some transition and is strongly connected.

i) *The complement contains some transition:* Because $\hat{N}_1 \subset \overline{N}_0$ is a $CP$-subnet, the complement $\overline{N}_0 \setminus \hat{N}_1$ contains some transition by definition. The inclusion

$$(\overline{N}_0 \setminus \hat{N}_1) \subset (N \setminus \hat{N}_1)$$

implies that the complement $N \setminus \hat{N}_1$ contains a transition too.

ii) *The complement is strongly connected:* The proof relies on Remark 3.2, part 2). Set

$$\overline{N}_1 := \overline{N}_0 \setminus \hat{N}_1.$$  

By construction $\overline{N}_1$ is strongly connected and

$$N \setminus \hat{N}_1 = span_N < \overline{N}_1, \hat{N}_0 >$$

The claim that two nodes

$$x_1, x_2 \in N \setminus \hat{N}_1$$

can be joined in both directions by a path in $span_N < \overline{N}_1, \hat{N}_0 >$ reduces to the following two cases:

- $x_1 \in \overline{N}_1$ and $x_2 \in \hat{N}_0$: First, there exists a place

$$p_{in} \in \cdot t_{in} \subset \overline{N}_1$$

with $t_{in} \in \hat{N}_0$ the way-in transition of $\hat{N}_0$. Because $p_{in} \in \overline{N}_1$ there exists a path

$$\gamma_1 := (x_1, ..., p_{in}) \subset \overline{N}_1$$

Secondly, choose

$$\gamma_2 := (p_{in}, t_{in}) \subset span_N < \overline{N}_1, \hat{N}_0 >$$

the joining edge. Eventually, there exists a path

$$\gamma_3 := (t_{in}, ..., x_2) \subset \hat{N}_0.$$  

The concatenation satisfies

$$\gamma := \gamma_1 \ast \gamma_2 \ast \gamma_3 = (x_1, ..., x_2) \subset span_N < \overline{N}_1, \hat{N}_0 >$$
For the opposite direction: First, there exists a path

\[ \delta_1 := (x_2, \ldots, t_{\text{out}}) \subset \hat{N}_0 \]

with \( t_{\text{out}} \in \hat{N}_0 \) a suitable way-out transition of \( \hat{N}_0 \). Secondly, choose a place

\[ p_{\text{out}} \in (t_{\text{out}})^* \subset N_1 \]

and set

\[ \delta_2 := (t_{\text{out}}, p_{\text{out}}) \subset \text{span}_N < N_1, \hat{N}_0 > \]

the joining edge. Eventually, there exists a path

\[ \delta_3 := (p_{\text{out}}, \ldots, x_1) \subset \overline{N}_1 \]

The concatenation satisfies

\[ \delta := \delta_1 * \delta_2 * \delta_3 = (x_2, \ldots, x_1) \subset \text{span}_N < N_1, \hat{N}_0 > \]

- Both \( x_1, x_2 \in \hat{N}_0 \): The case follows from the first case after introducing an intermediate place \( x_3 \in \overline{N}_1 \).

\[ \square \]

The main means for our proof of van der Aalst’s theorem is the new concept of a \( CP \)-exhaustion. Theorem 3.5 shows that any well-formed free-choice net has a \( CP \)-exhaustion adapted to a given cluster.

**Definition 3.4. (Adapted \( CP \)-exhaustion)**

A \( CP \)-exhaustion of a net \( N \) is a family

\[ (\hat{N}_i)_{i \in I}, I = \{0, \ldots, n\} \subset \mathbb{N}, \]

of pairwise disjoint \( CP \)-subnets \( \hat{N}_i \subset N \) such that

\[ \overline{N} := N \setminus \bigcup_{i \in I} \hat{N}_i \]

is a strongly connected \( T \)-net. The \( CP \)-exhaustion defines the disjoint union

\[ N_{\text{exh}} := \overline{N} \cup \bigcup_{i \in I} \hat{N}_i \]

The \( CP \)-exhaustion is adapted to a given cluster \( cl \subset N \) if \( \hat{N}_i \subset N \) is \( cl \)-adapted for all \( i \in I \).

The net \( N_{\text{exh}} \) has the same nodes as \( N \) and

\[ \text{span}_N < N_{\text{exh}} > = N \]

For a non-empty index set \( I \) the net \( N_{\text{exh}} \) is not connected and \( N_{\text{exh}} \subset N \) is not a full subnet.
In general one does not obtain a $CP$-exhaustion by just taking a maximal family of pairwise disjoint $CP$-subnets of $N$: Their complement $\overline{N}$ is not necessarily connected. Therefore we construct a $CP$-exhaustion of a well-formed free-choice net iteratively: The next $CP$-subnet is a $CP$-subnet of the complement of the previous $CP$-subnet. This is a stronger property than just being a $CP$-subnet of $N$. Proposition 3.3 ensures that all obtained $CP$-subnets are also $CP$-subnets of $N$.

**Theorem 3.5. (Existence of an adapted $CP$-exhaustion)**

Consider a well-formed free-choice net $N$ and a cluster $cl \subset N$. Then $N$ has a $cl$-adapted $CP$-exhaustion.

**Proof:**

i) *Algorithm constructing the exhaustion*: The following algorithm constructs the index set $I \subset \mathbb{N}$ and the family $\widehat{N}_i \subset N$ of the $CP$-exhaustion by induction on $j \geq 0$:

Initialize the net $\overline{N}_{-1} := N$ and the cluster $cl_{-1} := cl \subset \overline{N}_{-1}$.

Step $\mathcal{A}(j)$ constructs a triple $(\widehat{N}_j, N_j, cl_j)$ with the following properties

- The first component $\widehat{N}_j$ is a $cl_{j-1}$-adapted $CP$-subnet $\widehat{N}_j \subset \overline{N}_{j-1}$.
- Second component: The complement $N_j := \overline{N}_{j-1} \setminus \widehat{N}_j$ is well-formed.
- Third component: The cluster $cl_j := cl_{j-1} \cap N_j$ is not empty.

Induction start $\mathcal{A}(0)$: If $N$ is a $T$-net then set

$$I := \emptyset, \overline{N} := N$$

and terminate. Otherwise Remark 3.2 provides a $cl$-adapted $CP$-subnet $\widehat{N}_0 \subset N$

Define

$$N_0 := N \setminus \widehat{N}_0 \text{ and } cl_0 := cl \cap \overline{N}_0 \neq \emptyset$$

Remark 3.2 part 2) shows that $N_0$ is well-formed.

Induction step $j \mapsto j + 1$: By induction assumption $\mathcal{A}(j)$ the free-choice net $\overline{N}_j$ is well-formed. If $\overline{N}_j$ is a $T$-net, then set

$$I := \{0, \ldots, j - 1\} \text{ and } \overline{N} := \overline{N}_j$$

and terminate. Otherwise Remark 3.2 provides a $cl_j$-adapted $CP$-subnet $\widehat{N}_{j+1} \subset \overline{N}_j$

Define

$$\overline{N}_{j+1} := \overline{N}_j \setminus \widehat{N}_{j+1} \text{ and } cl_{j+1} := cl_j \cap \overline{N}_{j+1} \neq \emptyset$$
ii) **Correctness:** The algorithm terminates because \( N \) is finite. If \( N \) is not a \( T \)-net then the iterative application of Proposition 3.3 implies that for each \( j \in I \) the \( CP \)-subnet

\[ \hat{N}_j \subset N_{j-1} \]

is also a \( CP \)-subnet \( \hat{N}_j \subset N \). Also \( cl \notin \hat{N}_j \) because by construction

\[ cl \cap N_j = cl_{j-1} \cap N_j \neq \emptyset \]

\[ \square \]

**Example 3.6. (Adapted \( CP \)-exhaustion)**

The example applies the \( CP \)-algorithm from Theorem 3.5 to the free-choice net \( N \) underlying the Petri net \( (N, \mu_0) \) from Figure 1. The net is taken from [1]. It is well-formed because \( \mu_0 \) is live and safe. We construct by iteration a \( CP \)-exhaustion of \( N \) adapted to the cluster

\[ cl := \text{span}_N < \text{start}, t_0 > . \]

![Figure 1. Free-choice system \((N, \mu_0)\) from [1] Fig. 5] (dashing at \( t^* \) here not significant)

1. **Constructing an adapted \( CP \)-exhaustion:**
   - First, choose the \( CP \)-subnet of \( N \)

   \[ \hat{N}_0 := \text{span}_N < p_4, t_1, t_4 > \subset N \]

   The complement

   \[ \overline{N}_0 := N \setminus \hat{N}_0 \]

   is well-formed.
• Secondly, choose the $CP$-subnet of the complement $\hat{N}_0$

$$\hat{N}_1 := \text{span}_{\hat{N}_0} < p_5, t_2, t_5 > \subset \hat{N}_0$$

• The final complement is the strongly connected $T$-net

$$\overline{N} := \hat{N}_0 \setminus \hat{N}_1$$

Figure 2 shows the subnets $\hat{N}_0$, $\hat{N}_1$, $\overline{N} \subset N$. The family $(\hat{N}_0, \hat{N}_1)$ is a $cl$-adapted $CP$-exhaustion of $N$, and

$$N_{\text{exh}} := \overline{N} \cup \hat{N}_0 \cup \hat{N}_1$$

satisfies

$$\text{span}_N < N_{\text{exh}} > = N.$$  

Note: The $CP$-exhaustion is also adapted to the cluster

$$cl_1 = \text{span}_N < p_1, p_2, t_1, t_2, t_8 > .$$

2. Greediness of the clusters: Both clusters $cl$ and $cl_1$ of $N$ are greedy in the Petri net $(N, \mu_0)$. They provide examples of regeneration clusters, a fundamental concept which will be introduced in Definition 4.1.

Figure 2. $CP$-exhaustion of the net $N$ from Figure 1. Top: $\hat{N}_0, \hat{N}_1$; bottom: $\overline{N}$
Definition 3.7. (Way-in places and critical transitions)
Consider a CP-exhaustion \((\hat{N}_i)_{i \in I}\) of a net \(N\). Set
\[
\hat{N} := \bigcup_{i \in I} \hat{N}_i \text{ and } \overline{N} := N \setminus \hat{N}
\]
The elements from
\[
\overline{N}_{P,in} := \overline{N}_P \cap (\hat{N}_T)^* \subset \overline{N}_P
\]
are the way-in places of \(\overline{N} \subset N\). Their post-transitions, the elements from
\[
\overline{N}_{T,in} := (\overline{N}_{P,in})^* \subset \overline{N}_T
\]
are the critical transitions of \(\overline{N}\). Here the post-place operator in \((\hat{N}_T)^*\) applies with respect to the edges of \(N\), while the post-transition operator in \((\overline{N}_{P,in})^*\) applies with respect to the edges of \(\overline{N}\).

For an application of the concepts of Definition 3.7 see Figure 7 with two way-in places \(p_j\) and two critical transitions \(t_j, j = 1, 2\).

4. Enabling equivalence and marking equality in free-choice systems

Van der Aalst introduces the two fundamental concepts from Definition 4.1.

Definition 4.1. (Lucency and perpetual Petri net)
1. A Petri net \((N, \mu_0)\) is lucent if for any pair \((\mu_1, \mu_2)\) of reachable markings
\[
en(N, \mu_1) = en(N, \mu_2) \implies \mu_1 = \mu_2.
\]

2. A Petri net \((N, \mu_0)\) is perpetual if it is live and bounded and there exists a cluster \(cl \subset N\) such that \(\mu_{cl}\) is a home marking of \((N, \mu_0)\). The cluster \(cl\) is named a regeneration cluster of \((N, \mu_0)\), and \(\mu_{cl}\) is a regeneration marking of \((N, \mu_0)\).

In [1] the cluster \(cl\) is named a home cluster and paraphrased as a “regeneration point”. Different than [1] we prefer the name regeneration cluster. The term home cluster could suggest erroneously that any home marking relates to a home cluster. The property to be a regeneration cluster depends on the Petri net \((N, \mu_0)\), not alone on the subnet \(cl \subset N\).

We will often rely on the fundamental property of reachable markings \(\mu\) in a perpetual free-choice system \((N, \mu_0)\) with regeneration cluster \(cl\):

- Each \(P\)-component \(C \subset N\) contains exactly one place of \(cl\) and has token count \(\|\mu\|_C = 1\).
- The Petri net \((N, \mu_0)\) is safe.
- If \(N\) is a \(T\)-net then each elementary circuit \(\gamma \subset N\) contains the unique transition \(t_{cl} \in cl_T\) and has token count \(\|\mu\|_\gamma = 1\).
Proof: Each $P$-component $C \subset N$ has a positive token count at $\mu_{cl}$. Hence $C$ contains exactly one place of $cl$ and satisfies $\|\mu_{cl}\|_C = 1$. The token count of $C$ is the same for all reachable markings. Because the well-formed free-choice net $N$ is covered by $P$-components each perpetual free-choice system $(N, \mu_0)$ is safe. In the particular case of a $T$-net the $P$-components are exactly the elementary circuits of $N$.

We consider the whole subject of lucency as a question about two equivalence relations on the set of reachable markings of a given Petri net $(N, \mu_0)$: In addition to the equality of reachable markings one considers the relation of enabling equivalence.

**Definition 4.2. (Enabling equivalence)**
A pair of reachable markings $(\mu_1, \mu_2)$ of a Petri net $(N, \mu_0)$ is enabling equivalent if

$$en(N, \mu_1) = en(N, \mu_2)$$

Then the Petri net problem under consideration reads: When does enabling equivalence imply marking equality?

Our proof of van der Aalst’s theorem, see Theorem 8.1, starts with a pair $(\mu_1, \mu_2)$ of enabling equivalent markings of $N$. The well-formed free-choice net $N$ has a $cl$-adapted $CP$-decomposition with a final strongly connected $T$-net $\overline{N}$. The proof relies on firing a global shutdown sequence $\sigma$, the concatenation of shutdown sequences for all $CP$-subnets. The firing squeezes out all tokens from the $CP$-subnets and creates a pair $(\overline{\mu}_{1, sd}, \overline{\mu}_{2, sd})$ of markings of $\overline{N}$. These markings are still enabling equivalent with respect to the resulting marking of $\overline{N}$. Hence the original claim reduces to the analogous claim for a perpetual marking of $\overline{N}$. Here the marking equality follows by elementary methods for $T$-systems. During the proof we have to keep an eye on how the $CP$-algorithm from Theorem 3.5 propagates in each step the following properties

well-formedness, perpetuality, enabling equivalence, and marking equality.

The logical dependencies between the intermediate results is clarified by the diagram from Figure 3:

![Diagram](image)

**Figure 3.** Overview of the proof of Theorem 8.1

- Theorem 3.5 splits the net of a perpetual free-choice system into an adapted $CP$-exhaustion with a final strongly connected $T$-net.
• Propositions [5.1] and [5.2] study for T-systems the interplay of their deterministic occurrence semantics with a regeneration cluster. Proposition [5.1] collects relevant properties of perpetual T-systems. Proposition [5.2] concludes that perpetual T-systems are lucent.

• The analogue for adapted CP-nets in perpetual free-choice systems is proved in Proposition [6.1] and [6.2].

• Proposition [7.1] and [7.2] ensure: Each step of the CP-exhaustion algorithm from Theorem [3.5] propagates perpetuality and enabling equivalence to the next level.

• Theorem [8.1] restates and proves van der Aalst’s theorem.

The intermediate results will be proved in Sections [5], [6] and [7]. Section [8] brings together all results to show van der Aalst’s theorem.

5. Enabling equivalence and marking equality in perpetual T-systems

The present section proves van der Aalst’s theorem in the particular case of a perpetual T-system, see Theorem [5.2]. The proof for T-systems is much easier than the proof for free-choice systems in general. In the presence of a regeneration cluster marking equivalence provides certain distinguished paths of the underlying T-net. Due to Proposition [5.1] part 2 ii) these paths are safe in the perpetual T-system.

**Proposition 5.1. (Token count of paths in T-systems)**

Let \((TN, \mu)\) be a T-system. For each transition \(t \in TN_T\) and pre-place \(q \in \cdot t\) with \(\mu(q) = 0\) denote by

\[
en(TN, \mu)_q := \{ (\tau, \delta) : \tau \in en(TN, \mu), \delta = (\tau, ..., q, t) \subset TN\text{ elementary} \}
\]

the set of all enabled transitions \(\tau\) together with their elementary paths to \(t\), which pass \(q\).

1. **General T-system**: Consider an arbitrary transition \(t \in TN_T\) which is enabled at \(\mu\). For each pre-place \(q \in \cdot t\) with \(\mu(q) = 0\) exists a pair

\[(\tau, \delta) \in en(TN, \mu)_q \text{ with } \|\mu\|_\delta = 0.\]

2. **Perpetual T-system**: Assume that \((TN, \mu)\) is even perpetual with regeneration cluster \(cl\) and denote by \(t_{cl}\) the unique transition of \(cl\).

i) For each transition \(t \in TN_T\) with a pre-place \(p \in \cdot t\) with \(\mu(p) = 1\) exists for each pre-place \(q \in \cdot t\) with \(\mu(q) = 0\) a pair

\[(\tau, \delta) \in en(TN, \mu)_q \text{ with } \|\mu\|_\delta = 0 \text{ and } t_{cl} \notin \delta_{seg}\]

for the segment \(\delta_{seg} := (\tau, ..., q)\) of \(\delta\).

ii) Each elementary path \(\delta \subset TN\) with \(t_{cl} \notin \delta\) has token count \(\|\mu\|_\delta \leq 1\).
Proof:

1. Because $\langle TN, \mu \rangle$ is a T-system, Remark 2.2 implies $\em(TN, \mu)_q \neq \emptyset$. The following algorithm returns a solution $(\tau, \delta)$:

   Initialization: Define the pair $(q, \delta) := (q, \delta_t)$ with $\delta_t$ the constant path.

   Iteration step $(q', \delta_{tail})$:

   - Save $\delta_{old} = \delta_{tail}$.
   - Because $\|\mu\|_{\delta_{tail}} = 0$ also the transition $t' \in TN$ with $\{t'\} = (q')^*$ is enabled at a reachable marking of $(N, \mu)$. Due to $\mu(q') = 0$ there exists a pair
     
     $$
     (\tau', \delta') \in \em(TN, \mu)_{q'}
     $$

     - If $\|\mu\|_{\delta'} = 0$ then return $(\tau, \delta) := (\tau', \delta' \ast \delta_{old})$.
     - Otherwise choose the uniquely determined transition $t_{split} \in \delta'$ such that the tail of $\delta'$
       
       $$
       \delta_{tail} := (t_{split}, \ldots, q', t')
       $$

       satisfies $\|\mu\|_{\delta_{tail}} = 0$, and set

       $$
       \delta_{new} = \delta_{tail} \ast \delta_{old}.
       $$

   If $t_{split} \in \em(TN, \mu)$ then return $(\tau, \delta) := (t_{split}, \delta_{new})$.

   Otherwise choose a pre-place $q_{pre} \in \cdot t_{split}$ with $\mu(q_{pre}) = 0$ and reiterate with

   $$(q', \delta_{tail}) := (q_{pre}, \delta_{new})$$

   The iteration terminates after finitely many steps: The length of the token-free tail increases during each step. But the length is bounded because the iteration does not construct a token-free circuit. Figure 4 illustrates the first iteration step.

2. i) Assume $\mu(p) = 1$. Part 1) provides a pair

   $$(\tau, \delta) \in \em(TN, \mu)_q \text{ with } \|\mu\|_{\delta_q} = 0$$

   The firing of a minimal occurrence sequence $\mu \xrightarrow{\sigma} \mu_{post}$ with $t \in \em(TN, \mu_{post})$ forwards all tokens on the pre-places of $\tau$ along $\delta$ to $q$. Minimality of $\sigma$ ensures that the token at $p$ is frozen during the firing of $\sigma$. Then the greediness of $cl$ implies $t_{ci} \notin \sigma$ and a posteriori $t_{ci} \notin \delta_{seg}$.

   ii) The proof is indirect. W.l.o.g.

   $$\delta = (p_1, \ldots, p_2) \subset TN, \ p_1 \neq p_2,$$

   is elementary with $\mu(p_1) = \mu(p_2) = 1$. For $j = 1, 2$ there exist two elementary circuits

   $$\gamma_j \subset TN \text{ with } p_j \in \gamma_j.$$
Due to the fundamental property of the perpetual $T$-system $(TN, \mu)$ both circuits have token count
\[ \|\mu_{cl}\| \gamma = 1 \text{ with } t_{cl} \in \gamma_1 \cap \gamma_2 \]
Decompose each $\gamma_j$ as the concatenation
\[ \gamma_j = \gamma_{j1} \ast \gamma_{j2} \]
with the segments
\[ \gamma_{j1} = (t_{cl}, \ldots, p_j) \text{ and } \gamma_{j2} := (p_j, \ldots, t_{cl}) \]
Claim: The concatenation
\[ \gamma_{11} \ast \delta \ast \gamma_{22} \]
induces a circuit $\gamma$ which is elementary. Otherwise there exist a node

$$x_1 \in \gamma_1 \cap \delta \lor x_2 \in \delta \cap \gamma_2 \lor x_3 \in \gamma_1 \cap \gamma_2$$

In case of a node

$$x_1 \in \gamma_1 \cap \delta$$

the concatenation of the segments

$$(p_1, \ldots, x_1)$$ of $\delta$ and $$(x_1, \ldots, p_1)$$ of $\gamma_1$$

induces a circuit which avoids $t_{cl}$. Analogously, in case of a node

$$x_2 \in \delta \cap \gamma_2$$

the concatenation of the segments

$$(p_2, \ldots, x_2)$$ of $\gamma_2$ and $$(x_2, \ldots, p_2)$$ of $\delta$$

induces a circuit which avoids $t_{cl}$, see Figure 5. In both cases the resulting circuit avoids $t_{cl}$ and has positive token count. Eventually for a node

$$x_3 \in \gamma_1 \cap \gamma_2$$

the concatenation of the segments

$$(t_{cl}, \ldots, x_3)$$ of $\gamma_1$ and $$(x_3, \ldots, t_{cl})$$ of $\gamma_2$$

induces a circuit which contains $t_{cl}$ and is token-free, because both segments are token-free. In each of the three cases the fundamental property of the perpetual $T$-system $(TN, \mu)$ provides a contradiction, which proves the intermediate claim.
As a consequence the circuit $\gamma$ is elementary with token count

$$\|\mu\|_\gamma \geq \|\mu\|_\delta = 2,$$

contradicting the fundamental property of perpetual $T$-systems

$$\|\mu\|_\gamma = \|\mu_{\text{cl}}\|_\gamma = 1.$$  \hfill $\square$

The indirect argumentation employed in the proofs of Proposition 5.2, 6.2 and 7.2 relies on the same type of contradiction: Construct a reachable marking $\mu'$ and an elementary path $\delta'$ with token count $\|\mu'\|_{\delta'} \geq 2$. Then apply Proposition 5.1, part 2) respectively Proposition 6.1, part 2) to conclude $\|\mu'\|_{\delta'} \leq 1$.

**Proposition 5.2. (Perpetual $T$-systems are lucent)**

Consider a perpetual $T$-system $(TN, \mu_0)$ with regeneration cluster $cl$. For each pair $(\mu_1, \mu_2)$ of reachable markings of $(TN, \mu_0)$ enabling equivalence implies marking equality, i.e.

$$en(TN, \mu_1) = en(TN, \mu_2) \implies \mu_1 = \mu_2$$

During the indirect proof of Proposition 5.2 a possible difference between the pair of markings is pinned down to different values at the pre-places of a distinguished transition $t$. The transition is not enabled at neither of the two markings. Due to the liveness of $(TN, \mu_0)$ the missing tokens can be forwarded to the pre-places of $t$ along two token-free paths. One concludes that one of the two paths avoids $t_{\text{cl}}$ and can be marked with at least 2 tokens. The result contradicts Proposition 5.1, part 2 ii).

**Proof:**

The proof is indirect. The assumption $\mu_1 \neq \mu_2$ implies the existence of a place $p \in TN_T$, marked at $\mu_1$ but unmarked at $\mu_2$. The transition $t \in TN_T$ with $p^* = \{t\}$ satisfies

$$t \notin en(TN, \mu_2).$$

Hence by enabling equivalence

$$t \notin en(TN, \mu_1).$$

As a consequence $t$ has a second pre-place $q \in \cdot t$ which is unmarked at $\mu_1$, see Figure 6 left and right. W.l.o.g.

$$\cdot t = \{p, q\}$$

and

$$(\mu_1(p), \mu_1(q)) = (1, 0) \text{ and } (\mu_2(p), \mu_2(q)) = (0, \ast).$$
Here the value $\mu_2(q) \in \{0, 1\}$ is not yet known. With the notations from Proposition 5.1:

- **Triple** $(\mu_1, \tau_q, \delta_q)$: Proposition 5.1, part 1) provides a pair
  $$(\tau_q, \delta_q) \in \text{en}(TN, \mu_1)_q \text{ with } \|\mu_1\|_{\delta_q} = 0$$
  see Figure 6, left-hand side.

- **Pair** $(\mu_2, \tau_q)$: By enabling equivalence
  $$\tau_q \in \text{en}(TN, \mu_1) \implies \tau_q \in \text{en}(TN, \mu_2)$$

- **Triple** $(\mu_2, \tau_p, \delta_p)$: Because $\mu_2(p) = 0$ Proposition 5.1, part 1) provides a second pair
  $$(\tau_p, \delta_p) \in \text{en}(TN, \mu_2)_p \text{ with } \|\mu_2\|_{\delta_p} = 0$$
  see Figure 6, middle.

- **Triple** $(\mu_1, \tau_p, \delta_p)$: By enabling equivalence
  $$\tau_p \in \text{en}(TN, \mu_2) \implies \tau_p \in \text{en}(TN, \mu_1)$$
  In particular $\tau_p \neq t$. Firing $\mu_1 \xrightarrow{\tau_p} \mu'$ implies for the segment $\delta' := (\tau_p, \ldots, p)$ of $\delta_p$
  $$\|\mu'||_{\delta'} \geq 2$$
  because $\mu_1(p) = 1$. Figure 6, right-hand side shows the marking $\mu_1$. But the frozen token due to $\mu_1(p) = 1$ and the greediness of $cl$ ensure $t_{cl} \notin \delta'$. Hence Proposition 5.1, part 2 ii) implies
  $$\|\mu'||_{\delta'} \leq 1,$$
  a contradiction.
6. Enabling equivalence and marking equality in $CP$-subnets of perpetual free-choice systems

In a perpetual free-choice system $(N, \mu_0)$ the adapted $CP$-subnets $\hat{N} \subset N$ and their induced markings have specific properties which are not shared by $CP$-subnets in general live and safe well-formed free-choice systems. These properties derive from the interplay of the regeneration marking and the shutdown sequences of $\hat{N}$.

**Proposition 6.1. (Token count in adapted $CP$-nets of perpetual free-choice systems)**

Consider a perpetual free-choice system $(N, \mu_0)$ with a regeneration cluster $cl \subset N$. Let $\hat{N} \subset N$ be a $cl$-adapted $CP$-subnet.

1. The $CP$-subnet $\hat{N}$ has no circuits. In particular, each path in $\hat{N}$ is elementary.

In addition, let $\mu$ be an arbitrary reachable marking of $(N, \mu_0)$ and set $\hat{\mu} := \mu|\hat{N}$.

2. Each path $\delta \subset \hat{N}$ has token count $\|\mu\|_\delta \leq 1$.

3. Firing a shutdown sequence of $\hat{N}$

   $\mu \xrightarrow{\sigma_{sd}} \mu_{sd}$

   removes all tokens from $\hat{N}$, i.e.

   $\mu_{sd}|\hat{N} = 0$

4. Each transition $t \in \hat{N}$ with a path $(p, ..., t) \subset \hat{N}$, $p \in \hat{N}_p$ and $\hat{\mu}(p) = 1$, can be enabled by firing an occurrence sequence of $(\hat{N}, \hat{\mu})$

   $\hat{\mu} \xrightarrow{\sigma} \hat{\mu}_{post}$ with $t_{in} \notin \sigma$.

**Proof:**

1. For an indirect proof assume the existence of a circuit $\gamma \subset \hat{N}$. Because $\hat{N} \subset N$ is a $T$-net and is $cl$-adapted, for each reachable marking $\mu$ of $(N, \mu_0)$:

   $\|\mu\|_\gamma = \|\mu_{cl}\|_\gamma = 0$

   Hence each transition from $\gamma$ is dead in $(N, \mu_0)$, a contradiction to the liveness of $(N, \mu_0)$. The second claim follows because a non-elementary path has a node of self-intersection, and the latter produces a circuit.

2. The path $\delta \subset \hat{N}$ extends by concatenation to a path

   $\hat{\delta} = (t_{in}, ..., t_{out})$

   leading in $\hat{N}$ from the way-in transition $t_{in}$ to a way-out transition $t_{out}$. Due to part 1) the path $\hat{\delta}$ is elementary. The complement $N \setminus \hat{N}$ is strongly connected. By concatenating $\hat{\delta}$ with an elementary path in the complement leading from $t_{out}$ to $t_{in}$ extends $\hat{\delta}$ - and a posteriori also $\delta$ - to
an elementary circuit $\delta_N \subset N$. The latter is contained in a $P$-component $C \subset N$, [6] Analogue of Cor. 5.6]. Due to the fundamental property of perpetual free-choice systems

$$\|\mu\|_C = 1,$$

which implies $\|\mu\|_\delta \leq 1$.

3. Due to Remark [3.2] part 3) the free-choice system

$$(\widehat{N}, \widehat{\mu})$$

with $\widehat{N} := N \setminus \hat{N}$ and $\widehat{\mu} := \mu_{sd}|\widehat{N}$

is live. There exists a reachable marking $\mu_{post}$ of $(\widehat{N}, \widehat{\mu})$ which marks all places of $\overline{cl} := \overline{N} \cap cl$. Because $\overline{N} \subset N$ is place-bordered the extended marking $\mu_{post}$ of $N$ defined as

$$\mu_{post}|\overline{N} := \mu_{post}$$

and $\mu_{post}|\hat{N} := \mu_{sd}|\hat{N}$

is reachable in $(N, \mu_0)$. Because $cl \subset N$ and $\overline{cl} \subset \overline{N}$ have the same places

$$\mu_{post} \geq \mu_{\overline{cl}} \implies \mu_{post} \geq \mu_{cl} \implies \mu_{post} = \mu_{cl}$$

which implies

$$\mu_{sd}|\hat{N} = \mu_{post}|\hat{N} = 0.$$

4. The claim follows from the previous part because $\hat{N}$ is a $T$-net and $t_{in} \notin \sigma_{sd}$. □

**Proposition 6.2. (Enabling equivalence and marking equality in adapted $CP$-subnets)**

Let $(N, \mu_0)$ be a perpetual free-choice system with regeneration cluster $cl \subset N$ and let

$$\hat{N} \subset N$$

be a $cl$-adapted $CP$-subnet. Consider a pair $(\mu_1, \mu_2)$ of reachable markings of $(N, \mu_0)$ and assume that the restrictions

$$\hat{\mu}_j := \mu_j|\hat{N}, \ j = 1,2,$$

are enabling equivalent, i.e.

$$en(\hat{N}, \hat{\mu}_1) = en(\hat{N}, \hat{\mu}_2).$$

Then:

1. **Marking equality on $\hat{N}$**: $\hat{\mu}_1 = \hat{\mu}_2$.

2. **Common shutdown sequence**: Each shutdown sequence of $\hat{N}$ at $\mu_1$

$$\mu_1 \xrightarrow{\sigma} \mu_{1, sd}$$

is also also a shutdown sequence of $\hat{N}$ at $\mu_2$

$$\mu_2 \xrightarrow{\sigma} \mu_{2, sd}$$
The idea of the proof of the first statement is the same as for the proof of Proposition 5.2. The role of the distinguished transition $t_{cl}$ is now taken by the way-in transition $t_{in} \in \hat{N}$. The argumentation is slightly different: The regeneration cluster $cl$ does not belong to $\hat{N}$, and $t_{in}$ has to be exempted from the transitions under consideration. For the convenience of the reader we therefore give a complete proof. The second result is a simple consequence of the first: A shutdown sequence fires only transitions from $\hat{N}_T \setminus \{t_{in}\}$.

**Proof:**

Alike to the notation used in the proof of Proposition 5.1 and 5.2 we introduce for a given pair $(q, t) \in \hat{N}_P \times \hat{N}_T$ with $q^* = \{t\}$ the notation

$$en_{sd}(\hat{N}, \hat{\mu})_{q} := \{ (\tau, \delta) : \tau \neq t_{in}, \tau \in en(\hat{N}, \hat{\mu}), \delta = (\tau, ..., q, t) \subset \hat{N} \}.$$ 

It denotes the set of pairs $(\tau, \delta)$ with $\tau \neq t_{in}$ enabled at $\hat{\mu}$ and starting the path $\delta \subset \hat{N}$ to $t$ via $q$.

1. For an indirect proof of the first part of the Proposition assume

$$\hat{\mu}_1 \neq \hat{\mu}_2$$

There exists a place $p \in \hat{N}_P$, marked at $\hat{\mu}_1$ but unmarked at $\hat{\mu}_2$. Consider the well-determined transition $t \in \hat{N}_T$ with $p^* = \{t\}$, in particular $t \in \hat{N} \setminus \{t_{in}\}$. The transition $t$ is not enabled at $\hat{\mu}_2$. By enabling equivalence

$$t \notin en(\hat{N}, \hat{\mu}_2) \implies t \notin en(\hat{N}, \hat{\mu}_1).$$

As a consequence $t$ has a second pre-place $q \in \star t$ which is unmarked at $\hat{\mu}_1$. W.l.o.g.

$$\star t = \{p, q\}$$

and

$$(\mu_1(p), \mu_1(q)) = (1, 0) \text{ and } (\mu_2(p), \mu_2(q)) = (0, \ast).$$

Here the value $\mu_2(q) \in \{0, 1\}$ is not yet known. The indirect proof continues along the following steps:

- **Triple** $(\hat{\mu}_1, \tau_1, \delta_q)$: Because

$$\hat{\mu}_1(p) = 1 \text{ and } \hat{\mu}_1(q) = 0$$

the transition $t$ can be enabled without firing $t_{in}$ by a reachable marking of $(\hat{N}, \hat{\mu}_1)$ due to Proposition 6.1 part 4). Because $\hat{N}$ is a $T$-net Proposition 5.1 part 1) provides a pair

$$(\tau_q, \delta_q) \in en_{sd}(\hat{N}, \hat{\mu}_1)_{q} \text{ with } \|\hat{\mu}_1\|_{\delta_q} = 0$$

see Figure 6 left-hand side.
• **Pair** \((\tilde{\mu}_2, \tau_p)\): By enabling equivalence
\[
\tau_q \in en(\hat{N}, \tilde{\mu}_1) \implies \tau_q \in en(\hat{N}, \tilde{\mu}_2)
\]

• **Triple** \((\tilde{\mu}_2, \tau_p, \delta_p)\): The transition \(\tau_q \in en(\hat{N}, \tilde{\mu}_2)\) has a pre-place marked at \(\tilde{\mu}_2\). Proposition 6.1 part 4), applied to the path \(\delta_q\), shows that \(t\) is enabled at a reachable marking of \((N, \tilde{\mu}_2)\). Because \(\tilde{\mu}_2(p) = 0\) Proposition 5.1 part 1) provides a pair \((\tau_q, \delta_p) \in en_{sd}(\hat{N}, \tilde{\mu}_2)\) with \(\|\tilde{\mu}_2\|_{\delta_p} = 0\), see Figure 6 middle.

• **Triple** \((\tilde{\mu}_1, \tau_p, \delta_p)\): By enabling equivalence
\[
\tau_p \in en(\hat{N}, \tilde{\mu}_2) \implies \tau_p \in en(\hat{N}, \tilde{\mu}_1)
\]

Figure 6 right-hand side shows \(\tilde{\mu}_1\). After firing \(\tilde{\mu}_1 \xrightarrow{\tau_p} \mu'\) the segment
\[
\delta' := (\tau_p, \ldots, p) \text{ of } \delta_p \subset \hat{N}
\]
has token count
\[
\|\mu'\|_{\delta'} \geq 2,
\]
but due to Proposition 6.1 part 2)
\[
\|\mu'\|_{\delta'} \leq 1.
\]

The contradiction refutes the assumption of the indirect proof, hence
\[
\tilde{\mu}_1 = \tilde{\mu}_2
\]

2. Due to part 1)
\[
\mu_1|\hat{N} = \mu_2|\hat{N}.
\]
Remark 3.2 implies: Each marking \(\mu_j, j = 1, 2\), enables a shutdown sequence of \(\hat{N}\). Because a shutdown sequence has only transitions from \(\hat{N}_T \setminus \{t_{in}\}\), each shutdown sequence enabled at \(\mu_1\) is also a shutdown sequence enabled at \(\mu_2\), and vice versa.

\[\square\]

7. **Propagating perpetuality and enabling equivalence along CP-exhaustions**

**Proposition 7.1. (Propagating perpetuality to the complement of an adapted CP-subnet)**
Consider a perpetual free-choice system \((N, \mu_0)\) with regeneration cluster \(cl \subset N\), and a \(cl\)-adapted CP-subnet
\[
\hat{N} \subset N \text{ with complement } \mathcal{N} := N \setminus \hat{N}.
\]
Then for each reachable marking \(\mu\) of \((N, \mu_0)\) and for each shutdown sequence \(\sigma\)
\[
\mu \xrightarrow{\sigma} \mu_{sd}
\]
for \( \hat{N} \subset N \): The free-choice system

\[(\hat{N}, \mu), \mu := \mu_{sd}\mid \hat{N},\]

is perpetual with regeneration cluster \( \bar{cl} := N \cap cl \).

Idea of the proof: Both clusters \( cl \subset N \) and \( \bar{cl} \subset \hat{N} \) have the same places. And each enabled occurrence sequence of \( (N, \mu) \) lifts to an enabled occurrence sequence of \( (N, \mu_{sd}) \).

**Proof:**
Remark [3.2 part 3) implies that \( (N, \mu) \) is a live and safe free-choice system. We show that \( \mu_{cl}\mid \hat{N} \) is a regeneration marking of \( (\hat{N}, \mu) \):

For each reachable marking \( \nu \) of \( (N, \mu) \) exists a reachable marking \( \nu_{post} \) of \( (N, \nu) \) which enables at least one transition and a posteriori - due to the free-choice property of \( \hat{N} \) - all transitions of \( \bar{cl} \). Hence \( \nu_{post} \) marks all places of \( \bar{cl} \). Because \( \hat{N} \subset N \) is place-bordered, \( \nu_{post} \) extends to a reachable marking \( \nu_{post} \) of \( (N, \mu_{0}) \) with

\[\nu_{post}\mid \hat{N} = \nu_{post} \] and \( \nu_{post}\mid \hat{N} = \mu_{sd}\mid \hat{N} \)

Proposition [6.1] implies

\[\nu_{post}\mid \hat{N} = \mu_{sd}\mid \hat{N} = 0\]

The clusters

\( cl \subset N \) and \( \bar{cl} \subset \hat{N} \)

have the same places. Hence

\[\nu_{post} \geq \mu_{cl} \implies \nu_{post} = \mu_{cl}\]

As a consequence

\[\nu_{post} = \mu_{cl}\mid \hat{N} = \mu_{cl}\mid \bar{cl}\]

is a regeneration marking of \( (\hat{N}, \mu) \).

** Proposition 7.2. (Propagating enabling equivalence to the T-net of an adapted CP-exhaustion) **

Let \( (\hat{N}, \mu_{0}) \) be a perpetual free-choice system with regeneration cluster \( cl \subset N \). Consider a \( cl \)-adapted CP-exhaustion \( (\hat{N}_{i})_{i \in I} \) of \( N \) with the final strongly connected T-net

\[\hat{N} := N \setminus \bigcup_{i \in I} \hat{N}_{i}.\]

Let \( (\mu_{1}, \mu_{2}) \) be a pair of reachable markings of \( (N, \mu_{0}) \) with

\[en(N, \mu_{1}) = en(N, \mu_{2}).\]

1. For each \( i \in I \) the CP-subnet \( \hat{N}_{i} \subset \hat{N} \) has a common shutdown sequence \( \sigma_{i} \) for both markings \( \mu_{1} \) and \( \mu_{2} \). Both markings enable the concatenation

\[\sigma := \sigma_{1} \cdots \sigma_{n},\]

named a **global shutdown sequence**.
2. For \(j = 1, 2\) denote by \(\mu_{j,sd}\) the marking of \(N\) obtained by firing \(\sigma\) at \(\mu_j\), i.e.

\[
\mu_j \xrightarrow{\sigma} \mu_{j,sd}
\]

Then the restrictions to \(\overline{N}\)

\[
\overline{\mu}_{j,sd} := \mu_{j,sd}|\overline{N}
\]

satisfy

\[
\overline{\mu}_{1,sd} - \overline{\mu}_{2,sd} = (\mu_1 - \mu_2)|\overline{N}.
\]

3. The pair of markings

\[
(\overline{\mu}_{1,sd}, \overline{\mu}_{2,sd})
\]

is reachable in the perpetual \(T\)-system

\[
(\overline{N}, \mu_{cl})\text{ with } \overline{cl} := N \cap cl
\]

and satisfies

\[
en(\overline{N}, \overline{\mu}_{1,sd}) = en(\overline{N}, \overline{\mu}_{2,sd}).
\]

The idea of the proof is to compare the enabledness of each transition \(t \in N\) before and after firing \(\sigma\). The proof shows: A transition \(t\) is enabled before firing \(\sigma\) at both markings \((\mu_1, \mu_2)\) or at none of them if and only after firing \(\sigma\) the transition is enabled at both markings \((\mu_{1,sd}, \mu_{2,sd})\) or at none of them. Besides Proposition 5.1 and 6.2, the main ingredient is the fact that a live and bounded \(T\)-system is cyclic.

**Proof:**

We set

\[
\overline{\mu}_j := \mu_j|\overline{N}, \ j = 1, 2.
\]

Because \(\overline{N} \subset N\) is place-bordered: For each transition \(t \in \overline{N}\) and for \(j = 1, 2\) holds

\[
t \in en(N, \mu_j) \iff t \in en(\overline{N}, \overline{\mu}_j)
\]

1. For each \(i \in I\) Proposition 6.2 provides a common shutdown sequence \(\sigma_i\) of \(\hat{N}_i\) with respect to the markings \(\mu_1\) and \(\mu_2\). For each pair \(i \neq k \in I\) the pre-sets of the non way-in transitions of \(\hat{N}_i\) and \(\hat{N}_k\) are disjoint, which proves part 1).

2. If \(p \in \overline{N} \subset N\) is not a way-in place of \(\overline{N}\) then for \(j = 1, 2\)

\[
\mu_k(p) = \mu_{k,sd}(p)
\]

And for a way-in place \(p \in N_{p,in}\) the change \(\mu_{k,sd}(p) - \mu_k(p)\) of both markings depends only on the transitions of \(\sigma\). Hence the change is the same whether firing \(\sigma\) at \(\mu_1\) or at \(\mu_2\).

3. For \(j = 1, 2\) Proposition 7.1 implies that \((\overline{N}, \overline{\mu}_{j,sd})\) is perpetual with regeneration marking \(\mu_{cl}\). Live \(T\)-systems are cyclic, hence \(\overline{\mu}_{j,sd}\) is reachable in \((\overline{N}, \mu_{cl})\). Claim:

\[
en(\overline{N}, \overline{\mu}_{1,sd}) = en(\overline{N}, \overline{\mu}_{2,sd})
\]
The proof distinguishes between non-critical transitions and critical transitions.

i) Non-critical transitions: If \( t \in \overline{N}_{T,\text{crit}} \) then

\[ \bullet t \cap \overline{N}_{P,\text{in}} = \emptyset. \]

Hence firing \( \sigma \) does not change the marking on \( \bullet t \). As a consequence

\[ [en(N, \mu_1) = en(N, \mu_2)] \implies [t \in en(N, \overline{\mu}_{1,\text{sd}}) \iff t \in en(N, \overline{\mu}_{2,\text{sd}})] \]

ii) Critical transitions: The proof of the claim is indirect. Assume the existence of a critical transition \( t \in \overline{N}_{T,\text{crit}} \) which violates enabling equivalence, w.l.o.g.

\[ t \in en(N, \overline{\mu}_{1,\text{sd}}) \setminus en(N, \overline{\mu}_{2,\text{sd}}) \]

Then \( t \) is enabled at neither marking \( \mu_1 \) and \( \mu_2 \), and firing \( \sigma \) at \( \mu_1 \) enables \( t \), but firing \( \sigma \) at \( \mu_2 \) does not. Hence \( t \) has at least two pre-places, w.l.o.g. \( t \) has exactly two pre-places

\[ \bullet t = \{p, q\} \text{ with } p \in \overline{N}_{P,\text{in}} \]

satisfying

\[ (\mu_1(p), \mu_1(q)) = (0, 1) \text{ and } (\mu_2(p), \mu_2(q)) = (0, 0) \]

\[ (\overline{\mu}_{1,\text{sd}}(p), \overline{\mu}_{1,\text{sd}}(q)) = (1, 1) \text{ and } (\overline{\mu}_{2,\text{sd}}(p), \overline{\mu}_{2,\text{sd}}(q)) = (1, 0). \]

- Proposition [5.1] part 2 i), applied to the perpetual \( T \)-system \((\overline{N}, \mu_{T})\) and its reachable marking \( \overline{\mu}_{2,\text{sd}} \), provides a pair

\[ (\tau, \delta) \in en(\overline{N}, \overline{\mu}_{2,\text{sd}}) \text{, } \tau \neq t, \text{ with } \|\overline{\mu}_{2,\text{sd}}\|\delta = 0 \text{ and } t_{\text{crit}} \notin \delta' \]

for the segment

\[ \delta' := (\tau, \ldots, q) \text{ of } \delta. \]

In particular \( \tau \in en(\overline{N}, \overline{\mu}_{2,\text{sd}}) \).

- The transition \( \tau \) satisfies

\[ \tau \notin en(\overline{N}, \overline{\mu}_{1,\text{sd}}) : \]

Otherwise, after firing \( \overline{\mu}_{1,\text{sd}} \xrightarrow{\tau} \mu' \) the path \( \delta' \) has token count

\[ \|\mu'\|_{\delta'} \geq 2 \]

because \( \overline{\mu}_{1,\text{sd}}(q) = 1 \). Then Proposition [5.1] part 2 ii) implies the contradiction

\[ \|\mu'\|_{\delta'} \leq 1 \]
• By the previous step and by the assumed enabling equivalence
  \[ \tau \notin en(N,\mu_{1,\text{sd}}) \implies \tau \notin en(N,\mu_1) \implies \tau \notin en(N,\mu_2) \]
  As a consequence
  \[ \tau \in en(N,\mu_{2,\text{sd}}) \setminus en(N,\mu_2) , \]
  and the transition \( \tau \) becomes enabled at a reachable marking of \((N,\mu_2)\) not until firing a way-out transition \( t_{\text{out}} \in \hat{N} \). Hence \( \tau \in N_{T,\text{crit}} \) is a further critical transition with
  \[ \tau \in en(N,\mu_{2,\text{sd}}) \setminus en(N,\mu_{1,\text{sd}}) . \]
  
  • The previous result implies that at least one pre-place \( \tau \) is marked at \( \mu_1,\text{sd} \) due to the firing of \( t_{\text{out}} \). As a consequence
  \[ t_{\text{cl}} \neq t : \]
  Otherwise the enabling \( t \in en(N,\mu_{1,\text{sd}}) \), the greediness of \( \overline{\text{t}} \), and the fact, that places of a \( T \)-net do not branch, imply \( t = \tau \), which has been excluded above.

We now iterate the whole argument above: It derives from the critical transition
  \[ t_1 := t \in N_{T,\text{crit}} \cap \left( en(N,\mu_{1,\text{sd}}) \setminus en(N,\mu_{2,\text{sd}}) \right) \]
  a second critical transition
  \[ t_2 := \tau \in N_{T,\text{crit}} \cap \left( en(N,\mu_{2,\text{sd}}) \setminus en(N,\mu_{1,\text{sd}}) \right) \]
  and a path
  \[ \delta_1 := \delta = (t_2,\ldots,q_1,t_1) \subset N \text{ with } \|\mu_{1,\text{sd}}\|_{\delta_1} = 1, \|\mu_{2,\text{sd}}\|_{\delta_1} = 0 \text{ and } t_{\text{cl}} \notin \delta_1 \]
  see Figure[7]

After finitely many steps we obtain a family of critical transitions
  \[ t_k \in N_{T,\text{crit}}, k = 1,\ldots,m, \]
  and elementary paths
  \[ \delta_k = (t_{k+1},\ldots,q_k,t_k) \subset N, k = 1,\ldots,m-1, t_{\text{cl}} \notin \delta_k, \]
satisfying
  \[ \|\mu_{1,\text{sd}}\|_{\delta_k} = \begin{cases} 1 & \text{if } k \text{ odd} \\ 0 & \text{if } k \text{ even} \end{cases} , \|\mu_{2,\text{sd}}\|_{\delta_k} = \begin{cases} 1 & \text{if } k \text{ even} \\ 0 & \text{if } k \text{ odd} \end{cases} \]

Because \( \overline{N} \) has only finitely many critical transitions, a subset of these paths concatenates and induces a circuit
  \[ \gamma \subset N \text{ with } t_{\text{cl}} \notin \gamma. \]

The circuit \( \gamma \) satisfies
  \[ \|\mu_{\text{cl}}\|_{\gamma} = \|\mu_{1,\text{sd}}\|_{\gamma} = \|\mu_{2,\text{sd}}\|_{\gamma} \geq 1. \]

Hence \( \gamma \) has at least one elementary subcircuit which is marked at \( \mu_{\text{cl}} \). The result contradicts the fundamental property of perpetual \( T \)-systems because the subcircuit does not contain \( t_{\text{cl}} \).
The contradiction refutes the assumption that the critical transition $t = t_1$ violates enabling equivalence. Hence all critical transitions $t \in N_{T, crit}$ satisfy

$$t \in en(N, \mu_{1, sd}) \iff t \in en(N, \mu_{2, sd})$$

iii) Enabling equivalence: The two previous parts show

$$en(N, \mu_{1, sd}) = en(N, \mu_{2, sd}),$$

which finishes the proof of the Proposition. \qed

---

8. Statement and proof of van der Aalst’s theorem

**Theorem 8.1.** (Van der Aalst’s theorem on lucency from [1])

Each perpetual free-choice system is lucent.

**Proof:**
Consider a perpetual free-choice system $(N, \mu_0)$ with a regeneration cluster $cl \subset N$ and its regeneration marking $\mu_{cl}$.

1. **CP-exhaustion:** Theorem 3.3 provides a $cl$-adapted $CP$-exhaustion of $N$

$$\left\{\hat{N}_i\right\}_{i \in I}, \quad I = \{0, ..., n\} \subset \mathbb{N},$$
with CP-subnets $\hat{N}_i \subset N$, complements

$$\mathcal{N}_i := \mathcal{N}_{i-1} \setminus \hat{N}_i, \mathcal{N}_{-1} := \mathcal{N},$$

and the final strongly connected $T$-net

$$\mathcal{N} := N \setminus \bigcup_{i \in \mathcal{I}} \hat{N}_i$$

The disjoint union of full subnets of $N$

$$\mathcal{N}_{\text{exh}} := \mathcal{N} \cup \hat{N}_0 \cup ... \cup \hat{N}_n$$

is a subnet of $N$ with the same nodes as $N$. The regeneration cluster $cl$ intersects each complement $\mathcal{N}_i$, $i \in I$, and $N$ in a non-empty cluster. Set

$$\overline{cl} := \overline{N} \cap cl \subset \overline{N}.$$

To continue the proof assume a pair of reachable markings $(\mu_1, \mu_2)$ of $(N, \mu_0)$ with

$$en(N, \mu_1) = en(N, \mu_2).$$

2. **Marking equality in the CP-subnets:** Proposition 6.2 implies for each $i \in I$

$$en(\mu_1 - \mu_2, N_i) = 0$$

3. **Marking equality in the final $T$-net:** Proposition 7.2 considers simultaneously the collection of all CP-subnets $\hat{N}_i$, $i \in I$. The proposition provides a global shutdown sequence $\sigma$ enabled at both markings $\mu_j$, $j = 1, 2$,

$$\mu_j \xrightarrow{\sigma} \mu_{j, sd}$$

such that the resulting markings of $\overline{N}$

$$\overline{\mu}_{j, sd} := \mu_{j, sd}|\overline{N}$$

- are reachable in the $T$-system $(\overline{N}, \mu_{\overline{cl}})$,
- satisfy

$$\overline{\mu}_{1, sd} - \overline{\mu}_{2, sd} = (\mu_1 - \mu_2)|\overline{N}$$

- and are enabling equivalent

$$en(\overline{N}, \overline{\mu}_{1, sd}) = en(\overline{N}, \overline{\mu}_{2, sd}).$$

Proposition 7.1 implies that $(\overline{N}, \mu_{\overline{cl}})$ is perpetual. Proposition 5.2 concludes

$$\overline{\mu}_{1, sd} - \overline{\mu}_{2, sd} = 0.$$

As a consequence

$$(\mu_1 - \mu_2)|\overline{N} = 0$$

Combining part 2) and 3) of the proof shows

$$\mu_1 = \mu_2$$

and finishes the proof. \qed
9. Concluding remarks

CP-subnets of a free-choice net have been introduced by Desel and Esparza. In [7] we used CP-nets to show the theorem of Gaujal, Haar and Mairesse about the existence of unique blocking markings in live and bounded free-choice systems.

The present proof of Theorem 8.1 does not presuppose the blocking theorem but it makes a similar use of CP-nets. As van der Aalst remarks, for a perpetual free-choice system both his theorem and the uniqueness part of the blocking theorem give the same result when applied to those reachable markings, which enable the transitions of one single common cluster but no other transitions.

Our proof uses in an essential way the existence of adapted CP-exhaustions for well-formed free-choice nets. Figure 3 visualizes the logical structure of the proof. The figure indicates the results referring to the building blocks of the CP-exhaustion and their relations. If the underlying net lacks well-formedness the proof does not apply. Therefore it would be interesting to isolate those consequences of regeneration clusters in T-systems which underly the constructions from Section 5 and notably Figure 6.

- Marking an unmarked pre-place of a transition by forwarding tokens along an elementary, initially token-free path, which starts at an enabled transition.

- Markings which are enabling equivalent but distinct create a distinguished elementary path: The path has token count at least two and avoids the regeneration cluster.

- The greediness of the regeneration cluster ensures the safeness of each elementary path which avoids the regeneration cluster.

How do these properties generalize in a direct manner from perpetual T-systems to perpetual free-choice systems - without using the CP-exhaustion? How far can one relax the assumptions of van der Aalst’s theorem and still prove lucency?

In [4, Theor. 3, FN 2] van der Aalst mentions that he currently investigates his theorem in this direction.

References

[1] van der Aalst, Wil M.P. Markings in Perpetual Free-Choice Nets Are Fully Characterized by Their Enabled Transitions. V. Khomenko and O. Roux, eds., Applications and Theory of free-choice systems 2018, Lecture Notes in Computer Science, 2018. 10877:315–336. doi:10.1007/978-3-319-91268-4_16.

[2] van der Aalst, Wil M.P. Erratum. http://www.padsweb.rwth-aachen.de/wvdaalst/publications/p966-erratum.pdf Call 28.8.2020.

[3] van der Aalst, Wil M.P. Lucent Process Models and Translucent Event Logs. Fundamenta Informaticae, 2019. 169(1-2):151–177. doi:10.3233/FI-2019-1842.

[4] van der Aalst, Wil M.P. Markings in Perpetual Free-Choice Nets Are Fully Characterized by Their Enabled Transitions, 9 Sep 2020. arXiv:1801.04315v3 [cs.LO].
[5] Desel, Jörg; Esparza, Javier. Free-choice Petri Nets. *Cambridge University Press*, 1995. ISBN:9780511526558. doi:10.1017/CBO9780511526558.

[6] Thiagarajan, Pazhamaneri S.; Voss, Klaus. A Fresh Look at Free Choice Nets. *Information and Control*, 1984. 62(2):85-113. doi:10.1016/S0019-9958(84)80052-2.

[7] Wehler, Joachim. Simplified Proof of the Blocking Theorem for Free-Choice systems. *Journal of Computer and System Sciences*, 2010. 76(7):532-537. doi:10.1016/j.jcss.2009.10.001.