Analysis of small-scale Magnetic Flux Ropes Covering the Whole Ulysses Mission

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Abstract

Small-scale magnetic flux ropes in the solar wind have been studied for decades via both simulation and observation. Statistical analysis utilizing various in situ spacecraft measurements is the main observational approach, which helps investigate the generation and evolution of these small-scale structures. In this study, we extend the automated detection of small-scale flux ropes based on the Grad–Shafranov reconstruction to the complete data set of in situ measurements of the \textit{Ulysses} spacecraft. We first discuss the temporal variation of the bulk properties of 22,719 flux ropes found through our approach, namely, the average magnetic field and plasma parameters, etc., as functions of the heliographic latitudes and heliocentric radial distances. We then categorize all identified events into three groups based on event distributions in different latitudes separated by 30°, at different radial distances, and under different solar activities. With the detailed statistical analysis, we conclude the following: (1) the properties of flux ropes, such as the duration, scale size, etc., follow power-law distributions, but with different slope indices, especially for distributions at different radial distances. (2) They are also affected by the solar wind speed, which has different distributions under different solar activities, manifested as a latitudinal effect. (3) The main difference in flux rope properties between the low and high latitudes is attributed to possible Alfvénic structures or waves and to flux ropes with relatively high Alfvénicity. (4) Flux ropes with longer durations and larger scale sizes occur more often at larger radial distances. (5) With a stricter Walén slope threshold, more events are excluded at higher latitudes, which further reduces the latitudinal effects on flux rope properties. The entire database is published online at \url{http://www.fluxrope.info}.

Key words: magnetohydrodynamics (MHD) – methods: data analysis – solar wind – turbulence

1. Introduction

A small-scale magnetic flux rope (hereafter SFR), introduced by Moldwin et al. (1995, 2000), is defined by a magnetic configuration with helical and winding magnetic field lines, the same as a large-scale flux rope but with a much smaller scale size and duration range. Observed from in situ spacecraft measurements, e.g., at 1 au, this type of structure always has the following characteristics: (1) the magnetic field components from time-series data have twisting and continuous rotation. (2) The structure is in quasi-static equilibrium and convected with the solar wind.

The origin of SFRs is still controversial. Some authors suggest that this small-scale structure has features in common with its large-scale counterpart, the magnetic cloud. Based on the investigation of counterstreaming suprathermal electron signatures and distributions of SFR characteristics using \textit{Wind} spacecraft measurements, these small-scale structures are believed to have come from solar eruptions and are manifestations of small coronal mass ejections (Feng et al. 2007, 2008). However, there is some doubt regarding their solar origins as their occurrence rate has a weak solar cycle dependency. Instead, magnetic reconnection across the heliospheric current sheet (HCS) is considered to be a possible source (Cartwright & Moldwin 2010). Tian et al. (2010) later pointed out that both the Sun and processes in interplanetary space can generate SFRs. Using \textit{STEREO} observations, Yu et al. (2016) discussed the characteristics of small solar transients (STs), structures including SFRs, and suggested that STs have opposite solar cycle dependencies. Furthermore, two sources, the solar corona and the interplanetary medium, are verified to be factors affecting the occurrence of STs.

On the basis of available data from multiple spacecraft measurements, such as \textit{ACE}, \textit{Wind}, \textit{Helios}, and \textit{Ulysses}, from the past few years, the statistical investigation of small-scale flux ropes becomes essential for the determination of their origin and obtaining a better understanding of their evolution. Among many analysis techniques, the Grad–Shafranov (GS) reconstruction stands out as the most efficient one that can recover two-dimensional (2D) structures from one-dimensional (1D) in situ spacecraft measurements. This method was first introduced by Sonnerup & Guo (1996) and Hau & Sonnerup (1999), and then used by Hu & Sonnerup (2001, 2002) to reconstruct magnetic flux ropes in the solar wind. Recently, a series of publications on SFRs appeared in which the GS reconstruction technique was used to analyze SFRs at 1 au. Zheng & Hu (2018) identified 74,241 SFRs via \textit{Wind} spacecraft measurements by designing and carrying out automated detection based on the GS reconstruction. With this event database, they showed that these structures have a visible solar cycle dependency and that the probability density function of the axial current density distribution has non-Gaussian features, in correspondence with simulation results. The detailed algorithm and statistical analysis are described further in Hu et al. (2018). In this report, they showed that many properties including both duration and scale sizes of SFRs obey power laws, and that many SFRs are often accompanied by HCS crossing within a day. Furthermore, the cycle-to-cycle variation of major SFR statistics, which yields little differences, was also examined.

Recently, this automated detection was applied to the \textit{ACE} spacecraft with the full SFR lists and selected plots supplied by the website of the small-scale magnetic flux rope database (\url{http://www.fluxrope.info}). Because the two present databases are limited to spacecraft missions around 1 au and near the
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| Duration (minutes) | $R_{ad}$ | $R_{de}$ | Walén Test Slope |
|--------------------|---------|---------|-----------------|
| 45 to ~2255        | ≤0.12   | ≤0.14   | ≤0.5            |

The Ulysses spacecraft is the best choice for this task as it provided in situ measurements covering a wide range of heliographic latitudes and radial distances in the solar wind. The spacecraft was launched in 1990 October with the primary mission to probe the solar poles and almost all latitudes around the Sun. In each orbit, it reached the farthest distance (5.41 au) and the north as well as the south poles (around $+80^\circ{2}$ to $-80^\circ{2}$ latitude) With multiple instruments on board, it detected the solar wind plasma, interplanetary magnetic field, solar and galactic cosmic rays, etc. In particular, the Vector Helium Magnetometer (VHM) instrument (Balogh et al. 1992) provided the magnetic field data, including three components in the RTN (radial, tangential, and normal) coordinate system and field magnitude. The Solar Wind Observations Over the Poles of the Sun (SWOOPS) instrument (Bame et al. 1992) detected the plasma parameters, such as the ion density, the solar wind flow velocity, which is also in the RTN coordinates, and the proton temperature ($T_{\text{large}}$ and $T_{\text{small}}$). The detailed distribution of the solar wind speed over the first two complete Ulysses orbits was presented in McComas et al. (2003). They showed that the first orbit began when the sunspot number was in the declining phase while the second orbit coincided with the solar maximum period. These observations, presented in two polar plots, depicted the classical picture that during the solar minimum period, the high-speed wind occurs mainly at higher latitudes, well separated from the low-speed wind at lower latitudes, while during solar maximum, high-speed and low-speed wind streams are intermixed. The Ulysses spacecraft was finally switched off in the middle of 2009 after accomplishing its 18.5 yr long mission and three complete orbits (McComas et al. 2013).

In a previous study (Chen et al. 2018), we reported the SFR detection results from Ulysses for four specific years (1994, 1996, 2004 and 2005) during the solar minimum periods. These four years are categorized into two groups, i.e., one with high-speed wind or high latitudes and the other with low-speed wind or low latitudes. Despite the limited number of recorded events, most of the properties of SFRs still exhibit power-law distributions and non-Gaussian features, which are consistent with analysis results at 1 au. This set of SFRs was also used to assist other relevant studies. For example, unusual energetic particle flux enhancements in 2004 February were discussed in Zhao et al. (2018, 2019) in association with the emerging SFRs identified in our event set.

In this study, we further extend the previous event set by applying our automated detection technique to Ulysses spacecraft measurements covering the full 18.5 yr mission. The observational analysis of the identified small-scale flux ropes will be presented in the following order. An introduction to GS reconstruction and new criteria for the automated detection are described in Section 2. An overview of the principal characteristics of SFRs, such as the magnitude of the magnetic field, solar wind speed, and plasma parameters together with their yearly variations, is presented in Section 3. Due to the unique orbits of Ulysses, we separate the SFR dependence on latitude as well as radial distance and discuss the properties of SFRs under different circumstances in Sections 4 and 5. Section 6 demonstrates the features of these structures for different solar activity levels. The conclusions from our analysis and the applications of our existing and future databases are discussed in the last section.

2. Grad–Shafranov Reconstruction and the Automated Detection Algorithm

The new database of small-scale magnetic flux ropes for Ulysses in this study is obtained via the automated detection algorithm, which was introduced in Zheng & Hu (2018), based on the GS reconstruction technique. The detailed study based on Wind spacecraft data and a flowchart of the algorithm are presented in Hu et al. (2018) to illustrate the procedures for computer implementation (see also http://www.fluxrope.info).

The standard GS equation is given by

$$\frac{\partial^2 A}{\partial x^2} + \frac{\partial^2 A}{\partial y^2} = -\mu_0 \frac{dP(A)}{dA} = -\mu_0 \beta_x(A),$$

where the transverse pressure $P_t$ is defined as $P_t = p + (B_y^2/2\mu_0)$, the sum of the plasma pressure $p$ and the axial magnetic field pressure, both of which are single-variable functions of the magnetic flux function $A(x, y)$. The transverse magnetic field components are given by $B_x = \partial A/\partial y$ and $B_y = -\partial A/\partial x$. Therefore, the cross section of each flux rope in the $(x, y)$ plane, described by $A$, the axial field $B_x$, and the axial current density $j_z$, can be determined by the solution to the GS equation. As a unique feature, the GS reconstruction recovers the 2D cross section from the 1D in situ spacecraft measurements. When discussing the in situ detection of a flux rope, it is critical to realize that a spacecraft will generally collect data across an equivalent set of helical magnetic field lines when it crosses from one edge to the center of each flux rope, then from the center to the other edge (in reverse order) along the $x$-axis, i.e., $y = 0$. The point where the sign of the field component, $B_y$, begins to switch is marked as the turning point. At the turning point, the magnetic flux function $A$ reaches its maximum or minimum along $y = 0$. Thus, the spacecraft path crossing a flux rope can be split into two branches at the turning point. One branch will fold back onto the other in terms of their corresponding $A$ values, the range of which in absolute magnitude usually lies between $\sim 0$ and the absolute extremum, corresponding to the boundary and the center of the flux rope, respectively. In view of any quantity being a single-variable function of $A$, these two branches, for example, as represented by the $P_t$ versus $A$ curves, will overlap, and it is regarded as the double-folding pattern.

The automated detection algorithm is built with this particular one-to-one correspondence and double-folding behavior. The new set of search criteria is applied to the Ulysses data set (Table 1). First, we set up the duration range of search windows. In the previous study, Zheng & Hu (2018) used 9 to $\sim 361$ minutes for the Wind spacecraft database of SFRs. Here, we modify it to 45 to $\sim 2255$ minutes for Ulysses. The main reason for setting longer duration limits is that the plasma parameters required to calculate the frame velocity have 4–8 minute cadence, and we extend the upper limit to $\sim 37$ hr in...
order to accommodate relatively large-scale flux ropes or magnetic clouds. Starting at the first minute of each year, multiple sliding search windows, with sizes ranging from (45, 80), (70, 105), ..., and (2145, 2255) minutes, are adopted. These duration ranges enable our results to cover all SFRs of variable sizes as applied to the entire Ulysses data set. Among these duration ranges, the second number is the maximum length of the interval or data array for the calculation of parameters, e.g., \( P_r \), whereas the first number is the lower limit of the length for finding the double-folding behavior of \( P_t \) versus \( A \). Additionally, there is a 10 minute overlap between adjacent search windows in order to preserve as many candidate events as possible and to ensure a smooth transition from one search window to the next (Hu et al. 2018).

Next, a quasi-stationary frame of reference is necessary for all subsequent calculations because the GS equation is derived assuming 2D magnetohydrostatic equilibrium. Thus, all Ulysses data including the magnetic field components and solar wind velocity are transformed to the new frame, i.e., the de Hoffmann–Teller (HT) frame, generally. This new frame is obtained by the determination of the HT frame velocity, \( V_{\text{HT}} \), which can be computed with the solar wind and the magnetic field data (Hu & Sonnerup 2002). Sometimes, the average solar wind velocity is utilized as \( V_{\text{HT}} \) to ensure the efficiency of the calculation. Then, we follow the basic steps of the GS reconstruction by Hu & Sonnerup (2002) and perform minimum-variance analysis on the measured magnetic field (MVAB) to find the trial frame for the GS reconstruction, in which the validity of the underlying 2D geometry can be checked, by varying the trial \( z \)-axis and calculating the corresponding metric (residue; defined below) assessing that \( P_t \) is satisfactorily a single-valued function of \( A \). In such a frame, including the one with the optimal \( z \)-axis with the minimum residue, the \( x \)-axis is projected along the spacecraft path, and the \( y \)-axis is determined following the right-handed orthogonal coordinate system.

In this frame, the calculation of the magnetic flux function \( A(x, 0) \) and the transverse pressure \( P_t \) is carried out along the spacecraft path, \( y = 0 \). With the initial data \( B_t(x, 0) \) from the spacecraft measurements, the quantity \( A(x, 0) \) is obtained by

\[
A(x, 0) = \int_0^x \frac{\partial A}{\partial \xi} d\xi = \int_0^x -B_t(\xi, 0) d\xi,
\]

with \( d\xi = -V_{\text{HT}} \cdot \hat{d} t \), where \( \hat{d} t \) is the time increment. The calculation of \( P_t \) will be executed if there is only one turning point of \( A \) within this time interval. As noted above, the transverse pressure \( P_t \) consists of the thermal pressure \( p = N_e k T_p \), the product of the proton number density \( N_p \), the Boltzmann constant \( k \), and the proton temperature \( T_p \) (the electron temperature \( T_e \) is unavailable from Ulysses, so \( T_p = T_{\text{small}} \) is adopted as the proton temperature), and the axial magnetic pressure \( B_z^2/2 \mu_0 \), which is also known from measurements.

As emphasized earlier, the double-folding behavior exists in \( P_t \) versus \( A \) curves for a flux rope solution, which is a predominant criterion for this study. The evaluation of this behavior is initiated by finding the turning point of \( A \), where the sign change of the field component \( B \) and the corresponding split of the \( P_t \) versus \( A \) curve into two overlapping branches occur. Once this turning point is verified, together with all the calculations of \( P_t \) in these search windows, two residues, the difference residue and the fitting residue, are obtained (Hu & Sonnerup 2002; Hu et al. 2004, 2018) as follows:

\[
R_{\text{dif}} = \frac{1}{2} \left[ 1 - \frac{1}{N} \sum_{i=1}^{N} (P_i)_N \right] - (P_i)_N^{2nd}^{2} / \max(P_i) - \min(P_i) \tag{3}
\]

and

\[
R_{\text{fit}} = \left[ \frac{1}{L} \sum_{i=1}^{L} (P_i(x_i, 0)) \right]^2 / \max(P_i) - \min(P_i) \tag{4}
\]

These two residues indicate the quality of the double-folding pattern of the \( P_t \) versus \( A \) curves. As shown in the above equations, with all \( P_t \) values of each branch (denoted by “1st” and “2nd”) within the flux rope interval, the average difference between these two branches is calculated and normalized by the difference between the minimum and the maximum \( P_t \). Both \( L \) and \( N \) represent the total length of each data array involved. \( R_{\text{dif}} \) is evaluated between two branches, while the other residue \( R_{\text{fit}} \) is evaluated with respect to the fitting function \( P_t(A) \); the additional fractional factor \( 1/2 \) for \( R_{\text{dif}} \) is added to approximately account for the discrepancy in their average magnitudes. In this study, the thresholds are set to 0.12 and 0.14, respectively, based on our experience.

Furthermore, an extra criterion is crucial to ensure the validity of the quasi-static equilibrium underlying the GS equation. The Walén relation in the HT frame, introduced by Paschmann & Daly (1998), is used to evaluate the ratio of the remaining flow velocity to the local Alfvén velocity. In other words, a small Walén slope threshold will exclude Alfvénic structures and waves which do not fall into the categorization as SFRs, governed by the GS equation. Last but not the least, the clean-up process is implemented. In this final step, candidate events with overlapping time intervals will be filtered by a combined approach of sorting the turning points and the minimized residues to ensure a list of identified events with distinct intervals (see Hu et al. 2018 for details).

3. Overview of Small-scale Flux Rope Detection Results at Ulysses

The automated detection is completed, following the approach of Hu et al. (2018) as described in the previous section, covering the whole Ulysses mission from 1991 to the middle of 2009. Over these 18.5 yr, the total number of small-scale flux ropes detected is 22,719. As a consistency check, we cross-check our event lists with those given in Cartwright & Moldwin (2010) for Ulysses. There were a total of 19 SFR intervals identified by Cartwright and Moldwin in Ulysses in 1991–2005. For most of these (17 out of 19), we have corresponding SFR intervals in our database, overlapping with their intervals. We provide an overview of our detection results in the following three aspects: the change of the SFRs’ monthly averaged bulk properties, the SFRs monthly counts, and the detailed SFR categories, in order to facilitate the subsequent statistical analysis.
3.1. Temporal Change of Small-scale Flux Rope Bulk Properties

The temporal change of the principal characteristics of SFRs is presented in Figure 1. The magnetic field magnitude of SFRs, plotted in the first panel, shows that the variation is associated with both radial distance and latitude. The field magnitude peaks when Ulysses went to the smallest radial distance or can be ascribed to decreasing latitudes. However, this tendency, if any, caused by the latter factor does not show up when the latitude changes gradually, e.g., for years 1997–2000 when the spacecraft roamed to far radial distances recording an extended period of weak magnetic field (less than 1 nT on average). Hence, the variation of the field magnitude of SFRs is related mostly to the change in radial distances.

The plasma parameters, including solar wind speed, proton temperature, number density, and plasma $\beta$, are plotted in the second, third, and fourth panels, respectively. Most high-speed solar winds occur at relatively high latitudes during the solar minimum periods. Notice that there are two troughs surrounded by plateaus. They represent low-latitude regions in which Ulysses spent two to three months each passing through when the rapid pole-to-pole transition happened, although such a pattern was greatly disrupted during the maximum years around 2001. During solar maximum, fast solar wind appears to occur more irregularly at all latitudes, as reflected in our detection results with flux ropes of variable solar wind speed not clearly separated in latitudes, in contrast to those during solar minimum.

The proton number density increases substantially during those fast latitude scans (sandwiched between each pair of

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**Figure 1.** Monthly averages of SFR characteristics from 1991 to the middle of 2009, spanning the whole Ulysses mission. From the top to the bottom panels: (1) the average magnetic field magnitude and average Alfvén speed. (2) The average solar wind speed. (3) The average proton temperature $T_p$ and number density $N_p$. (4) The plasma proton $\beta$, i.e., the ratio of thermal pressure to magnetic pressure. (5) The Alfvén Mach number, defined as the ratio of the remaining flow speed to the Alfvén speed. The left $y$-axis takes the average calculation before determining the Mach number while the right $y$-axis is its average value. (6) Walén slope. (7) Hourly merged orbit data in terms of the heliocentric distance and Heliographic Inertial (HGI) latitude for the whole Ulysses mission. All average values are calculated within each flux rope interval and averaged over one month. The solid curves correspond to quantities with labels and scales given by the left axis, while the dotted curves have labels and scales given by the right axis. The gray shaded areas denote the Ulysses orbital periods with HGI latitude beyond ±30°.

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closely spaced shaded areas in Figure 1) where the radial distances are about 1 ~ 2 au. This is an indication that the solar wind plasmas are denser close to the Sun. In addition, the density does not always follow the change of solar wind speed, especially during the period of solar maximum. The average proton temperature, on the contrary, does not have such corresponding changes with radial distances but seems to correlate better with solar wind speed. In particular, the temperature peaks with solar wind speed enhancement during solar maximum (the second half of year 2001). As a combined effect, the large field magnitudes, sudden changes of proton number density, and low proton temperature at these small radial distances produce localized troughs in the plasma β, but the average Alfvén speed still peaks broadly at times of maximum (B), whereas the plasma β dips accordingly.

Both the Alfvén Mach numbers (fifth panel) and Walén slope values (sixth panel) represent the ratio between the remaining flow speed to the local Alfvén speed. Despite these two parameters fluctuating within a narrow range most of the time, they increase in magnitude when Ulysses approached the latitudinal extrema in both northern and southern hemispheres. This trend is especially well preserved during the solar minimum years, but much diminished during the solar maximum. Those latitudes are possible places where the Alfvénic structures or waves are prevailing, resulting in relatively large Walén slopes, for instance. The existence of these structures will be further explored in the next subsection.

3.2. Temporal Change of the Small-scale Flux Rope Occurrence Rate

The connection between solar activity and properties of SFRs is what we are most curious about. Similar to the ACE or Wind spacecraft, the Ulysses mission covers the entirety of solar cycle 23 and the declining phase of cycle 22 as well. Figure 2 shows the monthly occurrence rate of flux ropes filtered by different Walén slope thresholds (0.5 and 0.1, respectively) and the change of the monthly sunspot number for almost 1.5 solar cycles. With 0.5 as the threshold, there are three broad peaks in monthly counts located at the corresponding solar minimum, maximum, and minimum, respectively. During the solar maximum around year 2001, we notice that the monthly counts follow the number of sunspots well. The average monthly counts are approximately the same at different latitudes, for approximately the same radial distances within a narrow range. This consistency is probably due to the non-differentiating distribution of solar wind in latitude at solar maxima. During the solar minima, the peaks in blue generally correspond to high latitudes, where high-speed wind dominates. For the low latitudes (0° to ~30°), more flux ropes are detected at smaller radial distances.

Considering the possible Alfvénic structures and waves at high latitudes as suggested in Figure 1, we set the following stricter criterion, i.e., 0.1 as the Walén slope threshold. Marubashi et al. (2010) suggested that torsional Alfvén waves are actually pseudo-flux ropes which may be identified mistakenly as flux ropes (see also Higginson & Lynch 2018). In the same year, Gosling et al. (2010) described a rare case of torsional Alfvén waves embedded within SFRs. Therefore, this stricter limit not only excludes real Alfvénic structures or waves, but also flux ropes with relatively high Alfvénicity. The total number of flux ropes under this new threshold is 17,660—a 22.2% reduction. In this percentage, 19.4% is from relatively high latitudes (greater than or equal to 30°) while only 2.8% is from latitudes less than 30°. Such contribution to this reduction is also anticipated by Figure 1, which shows that most of the solar minimum valley values are at high latitudes. With this new set of detection results, three peaks in Figure 2 (top panel) no longer exist. Instead, although it fluctuates, the occurrence rate does not have prominent peaks, but appears flat with rather sudden drops at high latitudes especially during solar minima. Note that near 0° latitude, the monthly counts are nearly unchanged from the result with 0.5 as the Walén slope threshold. The occurrence rate drops abruptly in year 2008 under both thresholds. This is because of large data gaps in magnetic field and plasma parameters while our detection is based on the availability of 1 minute resolution data.

In summary, we can expect to obtain a similar solar cycle dependency of SFRs for the Ulysses occurrence rate as revealed by 1 au detection results, but apparently, the monthly counts of SFR are modulated by the varying radial distances and latitudes of the unique Ulysses orbits which may conceal or overrun the effects of solar activity. The detailed comparison of results under different solar activity levels will be discussed in Section 6.
### Table 2
Category of Small-scale Magnetic Flux Ropes

| Category               | Time Periods (YYYY mm dd) | Latitudes (deg) | Radial Distances (au) | Event Counts |
|------------------------|---------------------------|-----------------|-----------------------|--------------|
| I. Latitude            |                           |                 |                       |              |
| 1991 Jan 1 to ∼1993 May 2 |                           |                 |                       |              |
| 1995 Apr 23 to ∼1995 Apr 12 |                           |                 |                       |              |
| 1996 Aug 2 to ∼1999 Jul 14 |                           | <30             | 1.34 ∼ 5.41           | 9595 (8950a) |
| 2001 Apr 6 to ∼2001 Jun 23 |                           |                 |                       |              |
| 2002 Oct 12 to ∼2005 Sep 14 |                           |                 |                       |              |
| 2007 Jun 29 to ∼2007 Sep 21 |                           |                 |                       |              |
| 1995 May 2 to ∼1995 Jan 23 |                           | ≥30             | 1.34 ∼ 5.41           | 13,124 (8711a) |
| 1999 Jul 14 to ∼2001 Apr 6 |                           |                 |                       |              |
| 2001 Jun 23 to ∼2002 Oct 12 |                           |                 |                       |              |
| 2005 Sep 14 to ∼2007 Jun 29 |                           |                 |                       |              |
| 2009 Jan 22 to ∼2009 Jun 30 |                           |                 |                       |              |
| II. Radial Distances   |                           |                 |                       |              |
| 1998 Feb 5 to ∼1999 Jul 14 |                           | ∼ 0             | ∼ 1 au                | 17,620b      |
| 2002 Oct 12 to ∼2005 Sep 14 |                           |                 |                       |              |
| 1998 Feb 5 to ∼1999 Jul 14 |                           | <30             | >3.5 au               | 10,124       |
| 2002 Oct 12 to ∼2005 Sep 14 |                           |                 |                       |              |
| III. Solar Activity    |                           |                 |                       |              |
| Maximum                | 2000 Nov 22 to ∼2001 Oct 13 | −80.2 ∼ 80.2   | 1.34 ∼ 2.31           | 1238         |
| Minimum                | 1994 Sep 10 to ∼1995 Aug 2 | −80.2 ∼ 80.2   | 1.34 ∼ 2.32           | 2912         |
|                        | 2007 Feb 4 to ∼2008 Jan 17 | −79.7 ∼ 79.7   | 1.39 ∼ 2.41           |              |

Notes.

a Events detected under Walén slope threshold 0.1.
b Events detected from ACE in situ measurements.

3.3. Categorization of the Small-scale Flux Rope Database

With 22,719 SFR events in the database, a thorough and careful categorization becomes essential to delineate various effects. Table 2 presents the detailed categorization that forms the basis for the subsequent analysis. Considering that one unique aspect of the Ulysses orbit is the high latitude it reached (up to ±80°2) and the role the latitude plays in the main parameters of flux ropes, we first categorize all flux ropes into two groups based on the latitudes with a separation point at 30°. As listed in Table 2 (and also denoted by gray areas in Figure 1), the time periods of these groups are comparable in length. Basically, the time periods when Ulysses was at high latitudes, as denoted by gray areas in Figure 1, always correspond to either solar maximum or minimum. As for low latitudes, except for the several months of travel from the south to the north pole, most times are transition periods between these two types of extrema. The range of radial distances of the two groups is from 1.34 to 5.41 au, which is also the distance range of the Ulysses spacecraft. The total number of flux ropes detected at higher latitudes (greater than or equal to 30°) under Walén slope threshold 0.5 is 13,124, and it is 9595 at latitudes less than 30°, over both the north and south hemispheres.

The second category is based on different radial distances, i.e., ∼1 au and 3.5+ au near the ecliptic. The database at ∼1 au is obtained using ACE spacecraft measurements in order to achieve better statistics and to enable the examination of the radial dependence of the flux rope properties. As for distances greater than 3.5 au, two specific time periods of the Ulysses mission are selected. Both of them satisfy the requirements of low latitude and far distances. The corresponding time periods at ACE are also selected to facilitate a one-to-one comparison under an otherwise similar set of conditions. The numbers of SFRs in these two groups at different radial distances are 17,620 and 10,124, respectively. Note that this comparison is implemented using different but consistent search algorithm scenarios for the two groups, which will be discussed in detail in Section 5.

SFRs in the third category are all detected during three fast latitude scans of Ulysses, which are enclosed by blue and dark orange dashed lines in Figure 2. During these periods, the ranges of latitudes and radial distances are nearly identical. Such consistency enables us to investigate the features of SFRs at solar maximum and minimum qualitatively. Because the Ulysses mission encompasses one solar maximum period only but two solar minimum periods, the total number of events for the former is 1238, close to half of 2912, the sum of the SFR counts during the two solar minimum periods. In what follows, we will present detailed statistical studies on each category of events, as listed in Table 2.

4. Latitudinal Effects on Small-scale Flux Ropes

As indicated in Figure 1, the distribution of solar wind speed has a clear latitudinal dependence, especially during solar minima. Correspondingly, the plasma parameters, such as the proton temperature and proton number density, etc., vary accordingly. We first examine the latitudinal effects based on the Category I classification. Figure 3 presents the distributions of solar wind speed for the high- and low-latitude groups (blue and dark orange dots, respectively) and the entire event set (golden dots). Although the maximum values of the two groups are close (882 and 850 km s⁻¹), it is clear that high-speed wind occurs at higher latitudes more often, which leads to the larger mean (661 km s⁻¹) and median (732 km s⁻¹) for the group of
events in higher latitudes. On the other hand, the group in lower latitudes tends to have relatively lower solar wind speed.

Recall that in the summary plot of the temporal variations, Figure 1, the extreme values of almost all parameters are within the periods when Ulysses passed through the ecliptic plane at the closest radial distances. In reality, each is a short period (2 to ~3 months) for Ulysses traveling from \( \leq -30^\circ \) to \( \geq 30^\circ \) latitude at a radial distance of \( \sim 1.3 \) au. The total number of flux ropes during these time periods is 952, less than 10% of the event count in the low-latitude group. Consequently, these records will not affect the overarching distributions too much, except for the scatter mostly seen toward the tail (maximum values) of each distribution.

Figure 4 shows the distributions of plasma and magnetic field parameters for each flux rope interval. Figure 4(a) indicates that the magnetic field magnitude sometimes increases with latitudinal decrease, but such possible latitudinal effect on magnetic field is not significant compared with the effect corresponding to the changing radial distances (see Section 5). The extreme values in the corresponding distribution are related to the existence of the smallest and largest radial distances in that group. Although the minima of both groups are equal, the maximum magnitude of the low-latitude group (22.5 nT) is at least twice that of the high-latitude group (9.4 nT). Figures 4(b)–(c) also verify that this explanation can be applied to the distributions of the proton temperature \( T_p \) and proton number density \( N_p \). Both the maximum and minimum values are included in the group of low latitudes. However, the maxima of two groups are actually close, which means that radial distance may not have that much influence as it does on magnetic field. Furthermore, the difference between two distributions of \( T_p \) (blue and dark orange dots) is quite significant, which is owing to the fact that the flux ropes at higher latitudes are inclined to have a high proton temperature due to relatively high speed. Figure 4(d) is the distribution of plasma \( \beta \). By definition, plasma \( \beta \) combines all three aforementioned parameters. It shows that there are more high \( \beta \) values appearing at higher latitudes, most likely caused by the enhanced \( T_p \) shown in Figure 4(b).

The distributions related to flux rope duration are presented in Figure 5. The duration is the time span between the start and end times of each flux rope interval. Figure 5(a) indicates that two groups of flux ropes have similar power-law-like distributions in duration, but the statistical quantities are slightly different, in terms of the mean (137 and 168 minutes, respectively) and the median (85 and 101 minutes, respectively). The scale size is calculated along the projection of the spacecraft path onto the flux rope cross section which lies on the plane perpendicular to the flux rope axis. Again, the distributions of two groups as presented in Figure 5(b) are close, with nearly identical mean values, 0.027 and 0.026 au, respectively. Both parameters exhibit power-law distributions for the two groups, but they seem to possess different power-law slopes (indices).

Figure 5(c) is obtained from the waiting time analysis, which can be utilized to determine whether discrete events occur independently (Pearce et al. 1993). In our study, the waiting time is defined by the elapsed time between the starting times of two adjacent flux rope intervals. Both groups obey the exponential function fittings as shown, but with slightly different fitting exponents. Figure 5(d) is the distribution of wall-to-wall time (Zheng & Hu 2018). By “wall” we mean the current sheet with zero thickness that exists at the boundary of each flux rope. Therefore, the wall-to-wall time is equivalent to the separation between (the waiting times of) these current sheets. The power-law fittings are applied to both groups and plotted as solid lines in the corresponding colors for the portions with the most significant number of counts corresponding to a range of waiting times between a few tens to a few hundreds of minutes. We omit the portions beyond certain break points (at \( \sim 300 \) minutes) for both groups, beyond which the counts are relatively low and the distributions seem to steepen, not to clutter the plot.

In addition, there is one more set of parameters of flux rope characteristics, i.e., the \( z \)-axis orientation. Figure 6 presents the distributions of their angular directions in \((\theta, \phi)\) angles. Figure 6(a) is the distribution of \( \theta \), the angle between the flux rope \( z \)-axis (given in the RTN coordinate system) and the local \( N \) direction. Flux ropes at both high and low latitudes have peaks near \( 85^\circ \), which is evidence that most of SFRs tend to lie on the local \( RT \) plane (near ecliptic for low latitudes). The azimuthal angle, \( \phi \), measures the angle between the projection of the \( z \)-axis onto the \( RT \) plane and the \( R \) direction. Figure 6(b) suggests that flux ropes at low latitudes have two peaks of \( \phi \) (~90° and ~290°), whereas the peaks for those at higher latitudes are less pronounced. Although two groups have dissimilar distributions, most of the flux ropes are still aligned with the Parker spiral for the low-latitude group. Notice that the angle of the Parker spiral is no longer a simple value such as the 1 au result near the ecliptic Reported in Hu et al. (2018); it is a function of radial distance, solar wind speed, and heliographic latitude instead when considering the unique orbit of the Ulysses spacecraft, especially with the rapid latitudinal variation (Balogh et al. 2001).

As mentioned in Section 3, due to the existing Alfvénic structures or waves, we can lower the Walén slope threshold from 0.5 to 0.1, in order to exclude more strictly these possible Alfvén-wave-like structures. After applying this Walén slope threshold, 0.1, the low-latitude group now has 8950 events, whereas the high-latitude group has 8711 events. Again, these numbers suggest that flux ropes with high Walén slope
numbers occur more often at high latitudes, and the latitudinal effect on SFRs has been removed to a great extent as the differences between different latitudes become minimal as presented in Figure 7, especially for the distributions of waiting times. However, the distributions for the other properties remain similar to the results shown in Figure 5 in that both groups still exhibit a power-law distribution with noticeably different power-law slopes. This indicates that for more strictly identified “pristine” flux ropes, the statistical distributions of the main properties as represented by Figures 5 and 7 do not change significantly, although the number of events is reduced significantly, especially for the high-latitude group. This leads to the need to examine its dependence on radial distances, the effect of which may still be embedded in the present Category I.

5. Effects of Radial Distances on Small-scale Flux Ropes

In addition to latitudinal effects, the radial distance is also a vital factor that could also affect the properties of flux ropes, such as the magnetic field, plasma, and other derived parameters. In order to evaluate how important the radial dependence is, events around 1 au in the database of flux ropes are employed to facilitate a direct comparison with the corresponding Ulysses events at radial distances greater than 3.5 au. Before we move on to detailed comparisons, it is necessary to discuss the additional approaches we take to address the issues caused by different data qualities and their consequences on the construction of two event subsets belonging to Category II.

5.1. Comparison of Two Scenarios of Detection Results

Zheng & Hu (2018) published the database and analysis of SFRs using Wind spacecraft measurements with 1 minute cadence. Due to very different resolutions of the magnetic field and plasma parameters, the detection in the Ulysses data sets cannot be repeated with the uniform 1 minute resolution data through simple data interpolation. In order to have a comparison at different radial distances under the same conditions, here we adopt an alternative approach for calculating the transverse pressure $P_t$ which bridges the gap between the two databases. As introduced in Section 2, the algorithm for calculating $P_t$ includes the thermal pressure $N_p k T_p$ and the axial magnetic pressure $B_z^2/2\mu_0$. Alternatively, considering that the 1 minute cadence magnetic field data are available from Ulysses while the
Figure 5. Distributions of small-scale flux rope properties for Category I. (a) Duration with 30 minutes as bin size. (b) Scale size with 0.0025 au as bin size. (c) The waiting time with two exponential fitting curves as denoted by the solid curves, 10 minutes as bin size. (d) The wall-to-wall time distributions with power-law fitting curves for the portion of each group as denoted; the same bin size as (c). The format is the same as Figure 3.

Figure 6. Distribution of the axis orientation of flux ropes at different latitudes. (a) The axis polar angle $\theta$ with 10° as bin size. (b) The azimuthal angle $\phi$ with 20° bin size. The dark blue bars are for high-latitudes flux ropes while the light blue ones represent those at lower latitudes.
plasma parameters and solar wind speed are 4–8 minute averages, we ignore the thermal pressure in calculating the transverse pressure, which requires the use of magnetic field data only.

With the same set of criteria shown in Table 1 (note that the minimum duration allowed is 45 minutes), Figure 8 presents the comparison of detection results based on these two scenarios, one with thermal pressure and one without in the calculations of $P_t$. With the thermal pressure included, we detected 4090 SFRs, whereas the number without thermal pressure is 3798. As seen from Figure 8, the difference in the statistical distributions between these two scenarios is negligible, especially by excluding the low-count portions toward the tails. Because the main reason for us to adopt the new scenario is to compare this database with 1 au detection results, the time periods in Category II (Table 2) are selected so that a one-to-one correspondence can be established between the two sets of results obtained near the ecliptic but at different radial distances.

5.2. Database of Small-scale Flux Ropes around 1 au

Following the previous study by Zheng & Hu (2018), we extend the automated detection to ACE spacecraft measurements covering the time period from 1998 February to the end of 2017. The searching criteria are not exactly the same as those for the Ulysses database. Instead of starting from (45, 80) minutes, the search window range begins at (9, 16), (14, 21), and runs up to an upper limit of 2165 minutes because 1 minute cadence data are available. The thresholds of two residues are the same as those for the Ulysses detection, i.e., 0.12 and 0.14, respectively (see Table 1). The Walén slope threshold is set to 0.3. A lower limit on the magnetic field magnitude (greater than 5 nT) is applied so as to exclude small fluctuations.

To verify the characteristics of SFRs at 1 au, we briefly compare and discuss the ACE and Wind measurements. All event lists are posted online at http://www.fluxrope.info. Following the same criteria, we first expand the Wind database by setting the duration range as 9 to $\sim$2165 minutes (the original is only up to 361 minutes). The comparison of SFRs between the two spacecraft is from 1998 February to the end of 2016. Alternatively, as discussed in the previous subsection regarding two scenarios of the detection algorithm, here we also choose the one without thermal pressure to compare the databases of ACE and Wind because there is merely a slight difference between the two scenarios at $\sim$1 au. During the 18 yr time period, we have 66,424 SFRs via Wind and 47,249 via ACE detection. The predominant difference between the two databases is ascribed to the large data gaps of $N_p$ from ACE. Although the scenario without thermal pressure avoids
involving $N_p$ in the calculation of $P_t$, the proton number density is still needed to calculate the Alfvén velocity. When the Alfvén velocity is missing, by default none of the flux rope candidates is able to pass the Walén slope test. Therefore, we perform an interpolation for small data gaps and substitute a nominal value of 5 cm$^{-3}$ for $N_p$ when an entire segment of data is missing. With this manual correction, the total count of ACE events becomes 70,072, which is close to the Wind result under the same conditions shown in Figure 9. The event occurrence rate follows one and the other closely. The main noticeable difference is around 2004, when the Wind spacecraft crossed Earth’s magnetotail, and in 2014 November, when the entire month-long data are missing from Wind. As expected, the basic properties of these two databases, such as the duration, scale

Figure 8. Distributions of small-scale flux ropes via Ulysses measurements under two algorithm scenarios (with and without thermal pressure). (a) Duration with 25 minutes as bin size. (b) Scale size with 0.0025 au as bin size. (c) The average plasma $\beta$ with 0.1 as bin size. (d) The wall-to-wall time with 10 minutes as bin size. The blue dots represent searching results with thermal pressure while the dark orange dots represent results without it.

Figure 9. Comparison of monthly occurrence rates of small-scale flux ropes detected via Wind and ACE spacecraft measurements.
size, and wall-to-wall time distributions, etc., are nearly identical (not shown).

5.3. Comparison of Databases between ACE and Ulysses

As discussed above, the basic properties of SFRs are identical at around 1 au between the ACE and Wind databases. For this reason, we then choose one database, i.e., from ACE, to represent the detection result near the 1 au heliocentric distance and compare this with the detection result at far distances from the Ulysses database. Again, considering that there is little impact from thermal pressure and more importantly, in order to extend the search window to the lower limit of 9 minutes for both spacecraft (thus only magnetic field data from Ulysses can be used), both databases in this subsection are obtained via calculations without thermal pressure included. In order to compare these two databases in a stricter way, we select the time ranges to be from early 1998 February to mid 1999 July and from mid 2002 October to mid 2005 September for both databases as listed in Table 2. The same intervals at both spacecraft are chosen because then these events can be considered to be radially aligned and the possible radial evolution may be examined. Now, the comparison is between the flux ropes at 1 au and those at radial distances greater than 3.5 au near the ecliptic only. We keep the original ACE duration range because diffusive effects of flux ropes from 1 au to deep space probably exist. The duration range is completely identical for the two databases, i.e., with the lower and upper limits of 9 and 2255 minutes, respectively.

Figure 10 is the set of distributions with selected fitting curves for ACE and Ulysses searching results. Figure 10(a) shows the distributions of the duration. As suggested in Zheng & Hu (2018) and Hu et al. (2018), events of small duration contribute the most to the occurrence rate of flux ropes. Both distributions exhibit power-law behavior, albeit with different power-law indices. In fact, although the maximum of the ACE flux rope duration is nearly the same as that of Ulysses, the mean value is still less than the corresponding Ulysses result (40 and 126 minutes, respectively). Also, flux ropes at far distances yield larger scale sizes as indicated in Figure 10(b). The mean value is 0.019 au at larger distances whereas the mean of the 1 au result is 0.05 au, i.e., 0.05 au. Moreover, Figure 10(c) shows that flux ropes at far distances tend to have longer average waiting times than those at 1 au. On one hand,
the exponential fitting curves are suitable for shorter waiting times. On the other hand, the power-law fitting curves perform better for longer waiting time distributions. Figure 10(d) presents the distributions of wall-to-wall time. The power-law fitting curves are shown for the smaller value portions of both distributions. The blue line has a break point near 80 minutes, while the red line seems to have a break point beyond 100 minutes.

Figure 11 demonstrates the rest of the flux rope properties. Because all results are within 30° latitude for Ulysses, the radial distance becomes the primary factor for generating any differences. The magnetic field at 1 au is almost 10 times larger than that at distances greater than 3.5 au. Figures 11(b) and (c) show that the distributions of proton temperature and number density also differ significantly due to the separation in radial distances. Combining all three parameters, the plasma $\beta$ (Figure 11(d)) at different radial distances exhibits distributions close to each other.

6. Features under Different Solar Activity Levels

As discussed in Sections 3 and 5, the database of SFRs at 1 au on the basis of Wind and ACE spacecraft measurements reveals that the occurrence of flux ropes has a solar cycle dependency and varies with the level of solar activity with a short time lag (Hu et al. 2018; Zheng & Hu 2018). However, this cycle dependency for the Ulysses monthly occurrence count seems to be modulated by the strong effects from the changing radial distance and latitudes. Such variations in occurrence rate are further diminished when a stricter Walén slope threshold is applied to exclude possible Alfvénic structures or waves.

In order to further investigate whether different solar activity levels would have effects on features of small-scale flux ropes, we isolate three periods as marked in Figure 2, during which Ulysses traveled from one pole to the other over the largest latitudinal span. Fortunately, all of these time periods correspond with either solar maximum or minimum periods as indicated by the sunspot numbers. Not only are the latitudes similar (the first two are from $-80^\circ$ to $80^\circ$, and the last one is from $-79^\circ$ to $79^\circ$), but the radial distances are also within the same range, 1.34 to $\sim$2.41 au. As there is almost no difference between the two minima, we combine these two periods and classify all three fast latitudinal scans into two groups: the solar minimum period, which contains 2912 flux ropes.

![Figure 11. Distributions of small-scale flux rope properties at different radial distances. (a) Average of the magnetic field magnitude with 0.1 nT as bin size. (b) The proton temperature $T_p$, 0.005 $\times$ $10^8$ K as bin size. (c) The proton number density, 0.1 cm$^{-3}$ as bin size. (d) The average proton $\beta$, 0.1 as bin size. The format is the same as Figure 10.](image-url)
robes, and solar maximum period, which has 1238 flux ropes, as indicated in Table 2.

Figure 12 is the distribution of the solar wind speed for these two groups. The average solar wind speed of flux ropes occurring during the first and the third fast latitude scans is denoted by blue dots, and the rest, occurring during the second scan, is marked by dark orange dots. This type of distribution is typical or corresponds to the well-known fact that solar wind is dispersed at all latitudes during the solar maximum. As implied by the mean and mode values, high-speed wind is dominant again during the solar minimum.

The basic properties of flux ropes categorized by different solar activity levels are investigated, and Figure 13 shows selected plots of the distributions. We caution, however, that because of the much reduced number of events in this category, we mostly limit our interpretations to the portions with the most abundant number of events and refrain from any functional fittings. Figures 13(a), (b), and (d) show that there is little difference between the two groups in the distributions of duration, scale size, and wall-to-wall time, especially for the small parameter values. Figure 13(c) shows that the magnetic field is a little stronger during the solar maximum with the same range of radial distances and latitudes.

7. Conclusions and Discussion

We present the new database of 22,719 SFRs detected from in situ Ulysses measurements covering the entire mission. The approach is the newly developed automated detection algorithm based on the GS reconstruction, which scans the entire time-series data through a sliding-window process, yielding a list of identified flux ropes with variable duration and a number of associated properties. These properties include the duration, the cross-section scale size, various average magnetic and plasma parameters for each flux rope interval, and additional derived parameters such as the waiting time and wall-to-wall time for the entire event list (database). We plan to make the event lists available on our designated database website, http://www.fluxrope.info. The characteristics of the SFRs are summarized and discussed in the following aspects: (1) how they depend on orbit latitude, which uses 30° as the boundary separating the low- and high-latitude bands, (2) dependence on radial distance including 1 au detection results via ACE in situ measurements, and (3) variation under different solar activity levels during the Ulysses fast latitudinal scans. All of the properties are analyzed and interpreted in a statistical manner based on the above categorization (see also Table 2).

The main results are listed as follows.

1. The magnetic field and plasma parameters at different latitudes generally follow the distribution of solar wind speed. SFRs detected at high latitudes, where the high-speed wind dominates, tend to have higher temperature $T_p$ and lower number density $N_p$, and vice versa. Moreover, due to the prevailing high-speed wind at those latitudinal regions, SFRs tend to have shorter duration, resulting in similar scale sizes when compared with regions around the ecliptic. The waiting time distribution exhibits exponential function behavior while the wall-to-wall time distribution has power-law function behavior with a break point around 300 minutes. Most properties exhibit power-law distributions, but with different power-law indices especially for the SFRs at different radial distances.

2. Alfvenic structures or waves, and possible flux ropes with high Alfvenicity occur more often at high latitudes during solar minima. By applying a stricter Walen slope threshold, the latitudinal effects on SFRs are reduced, but the radial distance effects remain. The distribution of duration, scale size and wall-to-wall time remain power-law functions but with different power-law indices for the high-latitude and low-latitude groups.

3. Most of SFRs tend to lie on the local RT plane and along the Parker spiral direction (i.e., to which the flux rope axis is parallel) near the ecliptic, which is consistent with the 1 au detection result.

4. For events within low latitudinal ranges, the distributions of solar wind speed at different radial distances are almost identical. The SFR magnetic field, however, and the plasma temperature and density vary substantially with increasing radial distances. Those flux ropes at far distances (greater than 3.5 au) are inclined to have larger scale sizes, longer durations, and waiting times, possibly due to expansion or neighboring flux rope merging.

5. The 18.5 yr lifetime of the Ulysses mission covered 1.5 solar cycles consisting of two solar minima and one solar maximum. Unlike the detection result at 1 au, the solar cycle dependency of SFRs from the Ulysses measurements is modulated by its dependence on radial distances and heliolatitudes. When a stricter Walen slope threshold ($\leq 0.1$) is applied, the variations in the event occurrence rate are further suppressed. In other words, the solar cycle dependency is diminished. Again, the main impact of the exclusion of possible Alfvenic structures or waves is at high latitudes, where the number of SFRs has the most significant reduction due to a more strict Walen slope threshold.

6. During three fast latitudinal scans, the flux ropes detected during the solar maximum have slightly stronger...
magnetic fields. The other properties do not appear to differ significantly between the maximum and the minimum periods. Each period lasted for about a year only.

One of the main applications of our database is to make a connection to particle acceleration, which has been elucidated in both theory and simulation. Previous theoretical studies suggested that energetic ions can be accelerated when contracting or merging flux ropes exist (Zank et al. 2014, 2015; le Roux et al. 2015a, 2015b). Recently, the theory was extended in le Roux et al. (2018). A set of equations for energetic particles is presented, which provides a self-consistent description of the energy exchange between suprathermal particles and SFRs for different SFR acceleration processes. Moreover, Zhao et al. (2018, 2019) combined the theoretical prediction of particle acceleration with our detection result of SFRs from Ulysses observations to show the unusual energetic particle flux enhancement as a result of SFR dynamics, for one particular time period of a few weeks. A number of SFRs was identified downstream of an interplanetary shock and in the neighboring corotation interaction region (CIR), together with the compressional waves associated with the CIR, as well as the HCS nearby. This complex multistream system provides a favored environment for the generation of SFRs. Thus, we expect to examine more observational cases that have particle acceleration signatures associated with SFRs and provide additional observational evidence for existing theories and simulation results in a future study.

Furthermore, with the launch of the Parker Solar Probe which was designed to probe much closer to the Sun, we expect to have an extra detection point supplying our existing database with unprecedented data products to further investigate the origin and evolution of SFRs throughout the inner heliosphere. In particular, the effect due to varying radial distances and the possible radial evolution of these structures have yet to be further elucidated by examining additional in situ spacecraft data sets.

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