Superconductivity driven by the screening of long-distance Coulomb interaction

Kosuke Odagiri

Electronics and Photonics Research Institute, National Institute of Advanced Industrial Science and Technology, Tsukuba Central 2, 1–1–1 Umezono, Tsukuba, Ibaraki 305–8568, Japan

(Dated: April 28, 2014)

The pair-fluctuation contribution reduces the electrostatic screening length in superconductivity as compared to the normal state. When a conductor possesses a static background charge distribution, superconductivity arises even in the absence of an explicit pairing interaction, such that the Coulomb repulsion is reduced and the total energy is lowered. We demonstrate that the superconducting gap increases with increased background charge at first, after which the mixing of the Higgs and plasma modes suppresses superconductivity in the pseudogap phase. This indicates that the mechanism may be relevant to the cuprates and iron pnictides. When the background charge is identified with the incoherent component of optical conductivity in the cuprates, our results reproduce the shape, size and position of the superconducting dome with zero free parameters. A superconducting critical temperature of about 1000 K is possible in ion-doped conductors.

INTRODUCTION

In this letter, we propose and explore a novel mechanism of SC (‘superconductivity’ or ‘superconducting’), where SC is driven by charge-screening.

Quite surprisingly, this mechanism seems able to explain the universal features of the unconventional superconductors such as the cuprates and the iron pnictides, quantitatively without free parameters. By ‘universal features’, we mean: 1. small amount of carrier- or charge-doping creates an SC ‘dome’; 2. the maximum $T_c$ (transition temperature) is correlated with electronic energy scales such as the characteristic temperature $T_0$ of spin fluctuation or more simply $W/(m^*/m)$ where $W$ is proportional to the bandwidth and $m^*$ is the effective mass at the Fermi level; 3. there is an ‘underdoped’ pseudogap state.

Our mechanism is based on the reduction, typically by $\sim 10\%$, of the electrostatic screening length in the SC phase. This is caused by pair fluctuation, which is calculated as loops of Higgs and Goldstone bosons, shown in Fig. 1. This effect is not surprising, because the SC condensate contributes extra degrees of freedom to charge screening compared to the normal state.

A conductor that contains an unbalanced and static background charge distribution $\rho_s$ will therefore become SC such that the net Coulomb repulsion is reduced. The charge distribution $\rho_s$ may be either ionic, or due to some charge carrier that is immobilized by, e.g., spin or orbital interaction.

Diagrammatically speaking, the energy gain due to this effect is described by Fig. 2a. This needs to be balanced by the more conventional contributions of Figs. 2b, c. There is also a self-energy contribution of Fig. 2d.

The gap $\Delta_{SC}$ increases with increased $\rho_s$ at first, after which the contribution of Fig. 2e causes Higgs–plasma mixing and suppresses SC. The latter phase corresponds to the pseudogap, where SC pairs are formed for a short time but are trapped and dissolved by the background charge.

Our calculation is based on nonperturbative (quasi-perturbative) one-loop self-consistency conditions of the long-distance Higgs and Goldstone modes, and has essentially zero free parameters.

---

FIG. 1. The diagrams for the self-energy of the photon (curly lines). Diagram (d) is zero by choice of gauge. Photonic tadpole graph, which is omitted here, is also zero by the choice of gauge.

FIG. 2. The ‘slug’ (a), the quasiparticle (b) and bosonic (c) contributions to the Higgs tadpole; new contribution to the Higgs self-energy (d); and the Higgs–photon (plasmon) mixing diagram (e). $\rho_s$ refers to the background charge.
The results of our calculation in the two-dimensional (2-d) case are consistent with the experimental findings, provided that the ‘incoherent’ component of optical conductivity acts as \( \rho_s \). The size, position and shape of the SC dome are reproduced.

For the three-dimensional (3-d) case, because of the smaller size of SC fluctuation, the SC gap will be nearly three times greater than the 2-d case and will typically be \( \mathcal{O}(0.1 \text{eV}) \). The fabrication of a bulk material with charge imbalance will be a technological challenge. For proof-of-principle studies, a more practical alternative will be to study surface conductivity under applied electric field.

DEFINITIONS AND CALCULATIONAL SETUP

The SC gap is defined by:

\[
\mathcal{L} = -\psi^\dagger \left( \xi(k) \frac{\Delta_{SC}}{2} - \xi(-k) \right) \psi. \tag{1}
\]

We adopt \( \phi_{SC} = 0 \) without loss of generality. \( \psi \) is the Nambu doublet, and is parametrized in terms of the lower and upper quasiparticle bands as:

\[
\psi = \begin{pmatrix} \psi^\dagger \psi \end{pmatrix} = \begin{pmatrix} \cos \theta_{SC} & -\sin \theta_{SC} \\ \sin \theta_{SC} & \cos \theta_{SC} \end{pmatrix} \begin{pmatrix} u \ell \end{pmatrix}. \tag{2}
\]

Equation (1) is diagonalized by mixing angle \( \theta_{SC} \) given by

\[
\tan 2\theta_{SC}(k) = \frac{\Delta_{SC}}{\xi(k)}, \quad (-\pi/4 \leq \theta_{SC} \leq +\pi/4). \tag{3}
\]

We generalize eqn. (2) by including the Higgs and Goldstone degrees of freedom. We introduce the following parametrization:

\[
\Phi_{SC} = (v + H)\sigma + G\sigma_2. \tag{4}
\]

\( v \) is the Higgs-boson vacuum expectation value. \( \sigma \) are the Pauli matrices. \( G \) corresponds to the broken part of the vectorial U(1) symmetry under

\[
\psi \rightarrow e^{i\theta_1}\sigma_3\psi, \tag{5}
\]

whereas \( H \) corresponds to the conserved axial U(1)A symmetry under

\[
\psi \rightarrow e^{i\theta_A}\psi. \tag{6}
\]

The interaction of the Higgs and Goldstone bosons with fermions is given by the following generalization of the off-diagonal part of eqn. (1):

\[
\mathcal{L}_{\Phi}\Psi = -v^{-1}\Delta_{SC}\Psi^\dagger \Phi_{SC}\Psi. \tag{7}
\]

\( H \) and \( G \) kinetic terms are parametrized by

\[
\mathcal{L}_{\text{kin}} = \frac{1}{4} \text{Tr} \left[ \left( \frac{\partial}{\partial t} + 2eA_0\sigma_3 \right) \Phi_{SC} \right]^2
\]

\[
-\frac{1}{2} \text{Tr} \left[ (\partial \Phi_{SC} + 2eA_3) \Phi_{SC} \right]^2, \tag{8}
\]

\( e \) is positive in our convention. This is similar to the standard Ginzburg–Landau formulation where the one-component order-parameter \( \Phi_{GL} \) is proportional to \( (v + H) + iG \), and has the advantage that the unphysical \( H - A_0 \) mixing term is absent.

After the symmetry breaking, the electrostatic plasma mode develops an energy gap \( \Delta_{A0} = 2e\nu \), whereas the magnetic mode acquires an energy gap \( \Delta_{A} = 2(u/e)\nu \), which corresponds to the penetration depth \( \lambda_{SC} = c/\Delta_{A} = c^2/2uev \).

The symmetry-breaking potential is assumed to be of the form

\[
V = \left( \Delta_H^2/8\nu^2 \right) \left[ G^2 + (v + H)^2 - \nu^2 \right]^2. \tag{9}
\]

\( \Delta_H \) is the excitation energy of the Higgs mode, and is related to the coherence length by

\[
\xi_{SC} = u\hbar \sqrt{2}/\Delta_H. \tag{10}
\]

Equation (8) contains the following bilinear terms:

\[
2ev \left[ A_0 \frac{\partial G}{\partial t} + u^2 \mathbf{A} \cdot \nabla G \right]. \tag{11}
\]

We cancel these up to total derivatives by adding ’t Hooft gauge-fixing terms:

\[
-\frac{1}{2\pi g} \left( \frac{\partial A_0}{\partial t} + u^2 \mathbf{A} \cdot \nabla - 2ev\xi_{G}G \right)^2. \tag{12}
\]

The subscript \( g \) refers to gauge-fixing. For the situations concerning gauge-fixing in ordinary time-dependent Ginzburg–Landau theory, see, e.g., ref. [9].

The Goldstone and Higgs propagators are now given by

\[
D_G(E, k) = (E^2 - u^2k^2 - \xi_g\Delta_{A0}^2 + ib)^{-1}, \tag{13}
\]

\[
D_H(E, k) = (E^2 - u^2k^2 - \Delta_H^2 + ib)^{-1}. \tag{14}
\]

The Goldstone-boson excitation energy \( \xi_g^{1/2} \Delta_{A0} \) vanishes when \( \xi_g \to 0 \). Since physical quantities should not depend on the gauge choice, let us choose this particular limit. This corresponds to assigning all longitudinal component of \( A_\mu \) to \( G \), where being longitudinal refers to being proportional to \( (q_0, (u/e)^2\mathbf{q} \approx (q_0, \mathbf{0}) \). In other words, \( A_0 \) is now described by \( G \) whereas \( \mathbf{A} \) is small for our purposes. This is with the exception of the case of \( A_0 \) coupling to a conserved electromagnetic current such as \( \rho_s \), in which case the \( A_0 \) mode will propagate because the longitudinal coupling to \( \rho_s \) gives zero.

The Goldstone-boson contribution will yield logarithmic divergences in the 2-d case at finite temperatures in accordance with the Coleman–Mermin–Wagner theorem. We shall only study 2-d and 3-d systems at zero temperature here.

The interaction Feynman rules are given by \( i\mathcal{L} \), and are listed in Tab. I.
The following approximation and parametrization are convenient:
\[ v_{(b)}^2 \approx \frac{1}{8} \int \frac{d^d k}{(2\pi)^d} \left[ \frac{1}{u|k|} + \frac{1}{\sqrt{u^2 k^2 + \Delta_H^2}} \right] = \frac{gG + gh}{4}. \]

(18)

The third contribution is given by
\[ v_{(c)}^2 = \frac{i}{2} \int \frac{d^d k}{(2\pi)^d} D_G(k) + D_H(k) = \frac{gG + gh}{2}. \]

Hence
\[ v^2 = v_{(a)}^2 + v_{(b)}^2 + v_{(c)}^2 \approx (gf + 3gG + 3gh)/4. \]

(20)

THE MECHANISM OF SUPERCONDUCTIVITY

In conventional superconductivity [10, 11], one representation of the gap equation is the following all-order equation:
\[ \Delta_{\text{SC}} = \psi \quad \rightarrow \quad \psi^\dagger \quad + \quad \frac{\Delta_{\text{bare}}}{\Delta_{\text{SC}}} \].

(21)

The blob contains all one-particle irreducible contributions. Since \( \Delta_H \) is generated dynamically, the all-order versions of Figs. 2a, c cancel automatically provided \( \Delta_{\text{bare}} \to 0 \). This justifies the conventional prescriptions.

Let us consider the case where there is no direct pairing interaction as such, and SC is stabilized by Fig. 2b. We then obtain \( \Delta_{\text{SC}} = \Delta_{\text{bare}} \), and \( \Delta_{\text{SC}} \) is now determined by the cancellation of Figs. 2b, c, which minimizes the ground-state energy. The contribution of Fig. 2b is zero to the leading order.

Let us carry out this programme. Figure 2a gives the energy gain due to screening:
\[ A_{(a)} = -\frac{1}{2} v^{-1} \Delta_{A_0}^2 \left( \frac{1}{\Delta_{A_0}} \right)^2 \left( \rho_s \epsilon \right)^2 = -v^{-3} \rho_s^2 \frac{gF}{8}. \]

(22)

Figure 2c gives the energy loss due to bosonic Casimir energy or, in other words, SC fluctuation:
\[ A_{(c)} = -\frac{1}{2} \int \frac{d^d k}{(2\pi)^d} v^{-1} \Delta_{H}^2 (D_G(k) + 3D_H(k)) = v^{-1} \Delta_{H}^2 (gG + 3gh)/2. \]

(23)

Therefore the equilibrium condition is
\[ \frac{\rho_s^2}{gF + 3gG + 3gh} = (gG + 3gh) \Delta_{H}^2. \]

(24)

H and \( A_0 \) states mix through the process shown in Fig. 2b. The contribution equals \( ev^{-1} \rho_s \), and so the \( H-A_0 \) mass matrix is given by
\[ \frac{1}{2} (H \ A_0) \left( \begin{array}{cc} \Delta_{H}^2 & ev^{-1} \rho_s \\ ev^{-1} \rho_s & \Delta_{A_0}^2 \end{array} \right) (H \ A_0). \]

(25)
We redefined $A_0$ as positive norm here for the sake of convenience.

After diagonalization, the rotated $\Delta_H^2$ is given by

$$\Delta_H^2 = \Delta_H^2 - v^4 \rho_s^2/4.$$  \hfill (26)

We used $\Delta^2_{\rho_s} \gg \Delta_H^2$. Hence

$$\Delta_H^2 = \frac{v^2 \rho_s^2}{4G + 12g_H}, \quad \Delta_H^2 = \frac{v^4 \rho_s^2(v^2 - g_G - 3g_H)}{4G + 12g_H}.$$  \hfill (27)

To proceed further, we need to determine the cutoff of the integrals in $g_G$, $g_H$. This can be done by evaluating $\Delta_H$ at finite momenta. In general, $\Delta_H$ is calculated using self-energy diagrams of Fig. 3 and Fig. 2d. The contributions are individually divergent, but the sum is finite (except the long-distance and imaginary divergence of Fig. 3b) so long as the tadpoles of Figs. 2a, b, c cancel.

(a) quasiparticle

(b) $G, H$

(c) $G, H$

FIG. 3. The diagrams for the self-energy of the Higgs (and Goldstone) boson

After the cancellations take place, and at zero momentum, we obtain

$$\Delta_H^2(0) = g_F \int d\xi \frac{(v^{-1} \Delta_{SC} \sin 2\theta_{SC})^2}{\sqrt{\Delta_{SC}^2 + \xi^2}} = g_F v^2 \Delta_{SC}^2.$$  \hfill (28)

One way to generalize this to finite momenta is to calculate the difference of Higgs and Goldstone self-energies

$$\Delta_H^2(q) = \Sigma_H(q) - \Sigma_G(q).$$  \hfill (29)

The dominant contribution is due to Fig. 3a. This leads to a simple generalization of eqn. (28):

$$\Delta_H^2(0, q) = g_F \int d\xi \frac{(v^{-1} \Delta_{SC} \sin 2\theta_{SC} \langle \sin 2\theta_{SC} \rangle)^2}{\sqrt{\Delta_{SC}^2 + \xi^2}}.$$  \hfill (30)

Here $\tan 2\theta_{SC} = \Delta_{SC}/\xi(k)$ whereas $\tan 2\theta_{SC} = \Delta_{SC}/\xi(k+q)$. $\langle \ldots \rangle$ denotes the average over the solid angle of $k$. For small $q$ and an isotropic density of states, we obtain

$$\Delta_H^2(0, q) \propto \int \frac{\sin^{-1} \sqrt{1 - 2z^2}}{\sqrt{1 - 2z^2}} dz,$$  \hfill (31)

where $z = v_F |q| \cos \phi / \Delta_{SC}$. $\phi$ is the azimuthal angle of $q$ with respect to $k$. We integrate over the solid angle numerically, and obtain the results shown in Fig. 4. The curves fall as $1/|q|$ at large $q$. We see that the half-width is approximately 6 for 2-d and 8 for 3-d. We shall use these numbers to estimate the cutoff.

Let us now return to eqn. (27).

We introduce a simple cutoff at $u|k| = c \Delta_H$ in $g_G$. Here $c \approx 6$ for 2-d and $\approx 8$ for 3-d. As $c$ is sufficiently large, $g_H = g_G$ to a good approximation. We obtain

$$g_G, g_H = \begin{cases} c \Delta_H/4\pi u^2 & (2-d), \\ c^2 \Delta_H^2/8\pi^2 u^3 & (3-d). \end{cases}$$  \hfill (32)

This implies

$$\Delta_H = \begin{cases} (u^2 \rho_s^2/4\pi u^2)^{1/3} & (2-d), \\ (u^4 \rho_s^2/2\pi^2 v^2)^{1/4} & (3-d). \end{cases}$$  \hfill (33)

For SC to be stable, we need $\Delta_H^2 > 0$. This implies $g_F > g_G + 9g_H$. Therefore, crudely speaking, $v^2 \approx g_F/4$ by eqn. (20), and $\Delta_H \approx 2\Delta_{SC}$ by eqn. (23). There are thus two gaps, $2\Delta_{SC} \approx \Delta_H > \Delta_H'$. It is natural to associate the former gap with the pseudogap. In this pseudogap phase, SC decays by coupling with $\rho_s$.

Let us define $x_s = \rho_s / \rho_1$, where

$$\rho_1 = \begin{cases} (2\pi/c)(uv^2)^{1/3} & (2-d), \\ (\pi/c)(2uv^2)^{1/6} & (3-d). \end{cases}$$  \hfill (34)

We then obtain

$$\Delta_H^2 = \rho_1^2 \left[ \frac{2^{3/2} \sqrt{1 - x_s^2}}{x_s \sqrt{1 - x_s^2}} \right] (2-d), \quad \Delta_H^2 = \rho_1^2 \left[ \frac{2^{3/2} \sqrt{1 - x_s^2}}{x_s \sqrt{1 - x_s^2}} \right] (3-d).$$  \hfill (35)

Adopting the approximation $v^2 \approx g_F/4$, there is thus an universal overall behaviour for each number of dimensions as shown in Fig. 4.

For the sake of estimation, let us adopt

$$(4v^2)u \approx g_F v_F \approx \begin{cases} k_F / \pi \approx 1/a & (2-d), \\ k_F^2 / \pi^2 \approx 1/a^2 & (3-d). \end{cases}$$  \hfill (36)

This yields

$$\rho_1 \approx \begin{cases} 0.065/a^2 & (2-d), \\ 0.14/a^3 & (3-d). \end{cases}$$  \hfill (37)
We used $c = 6$ for 2-d and 8 for 3-d. From Fig. 4 there is approximately 50% uncertainty in the values of $c$ and hence in these numbers.

Let us compare the results with the phenomenology in the 2-d case. For the cuprates, SC sets in at a doping of typically 0.05 to 0.07 per unit cell, and it has been found that at low doping, most of the doped carriers are ‘incoherent’ \[6\]. Supposing that these ‘incoherent’ carriers are to be identified with $\rho_s$, these numbers agree well with $0.065/a^2$ derived above. SC in the cuprates disappears when the ‘incoherent’ carriers vanish. This corresponds to $\rho_s = 0$, and is again in agreement with the theory.

As for the overall magnitude, let us define $uK_F \approx 2W_{\text{eff}}$, the effective electronic energy scale as estimated at the Fermi level. We then obtain

$$\Delta_{H'}^{\text{max}} \approx \begin{cases} 0.032W_{\text{eff}} & (2\text{-d}), \\ 0.088W_{\text{eff}} & (3\text{-d}). \end{cases} \quad (38)$$

For the cuprates, $W_{\text{eff}} \sim 0.1 \text{ eV}$, and so the gap and hence $T_c$ is $O(50 \text{ K})$, which agrees with the phenomenology. The universal correlation of $T_c$ with $W_{\text{eff}}$ is a well-known feature of a class of superconductors which includes the cuprates and iron pnictides.

**DISCUSSION**

The size of the coefficients in eqn. (38) suggests that it will be surprisingly easy to achieve room-temperature SC. For $W_{\text{eff}} = 1 \text{ eV}$ for example, we would except a maximum $T_c$ of about 1000 K in 3-d systems.

The major factor which suppresses $W_{\text{eff}}$ and hence $T_c$ at present is the large size of the effective mass $m_\Delta$. $m_\Delta$ is typically large because the physics which creates the static trapped charge carriers $\rho_s$ also slows down the dynamical charge carriers.

This situation needs not be the case in general, if our discussions have been correct. One can create $\rho_s$ more simply as impurity ions.

For proof-of-principle studies, the simplest method would be to use an external electric field and study surface SC in 3-d bulk conductors that are doped with ions. The technology that is necessary for such a study will be similar to that adopted in the context of electric-field-induced SC \[7\]. One would simply need to substitute an ion-doped conductor for SrTiO$_3$ that is studied in ref. \[7\]. The thickness of the surface layer will be governed by the screening length of the Coulomb interaction.

As for the choice of the conductor, the only theoretical requirement is that the long-distance Coulomb interaction must not be suppressed. An isolated conduction band is therefore preferable, but $d$-band metals are permissible so long as the Fermi level is at the tail of the $d$-band, e.g., Cu or Zn. As for the dopant, ions that have the same sign of charge as the dynamical carriers would be preferable so as to avoid the trapping of dynamical carriers. For Cu, since the charge carriers are electrons, the dopant should be anions such as the halogens. For Zn, the dopant should be cations such as the alkali metals. The optimal doping concentration will be approximately 8%.

**CONCLUSIONS**

The suppression of long-distance Coulomb interaction in the SC phase implies that a conductor that is doped with static background charge will become SC in order that the total energy of the system is minimized. We studied this mechanism by utilizing a modified tadpole-cancellation condition.

The SC gap increases with increasing background charge up to a certain point, after which SC is suppressed in the pseudogap phase when the mixing between the electrostatic plasmon field and the Higgs mode suppresses SC.

The results are quantitatively consistent with the phenomenology for 2-d systems. 3-d systems will exhibit higher $T_c$ and will be candidates for room-temperature superconductivity.

A further test of our mechanism will be the measurement of Higgs–plasmon mixing by optical emission, but this will be difficult because of the large size of the decay width $\Gamma_H' \sim \Delta_{H'}$.

It would be interesting but challenging to amalgamate our long-distance formulation with a short-distance theory as, for instance, our theory cannot predict the pairing symmetry as it stands. This short-distance theory will be one that is equipped with a static charge distribution $\rho_s$ and mobile charge carriers, together with some implementation of long-distance Coulomb interaction.

**Acknowledgments:** The author thanks the members of the Superconducting Electronics Group, AIST, as well as N. Yamada and K. Yamaji for their patience and support. A precursory work was carried out in 2012 at Pun-
jabi University, Patiala. We thank R. C. Verma and the supporting staff members of Punjabi University, Patiala, who made the visit possible.

[1] J. G. Bednorz and K. A. Mueller, Z. Phys. B64 (1986) 189.
[2] J. R. Schrieffer (ed.), Handbook of High-Temperature superconductivity, Springer, New York, 2007.
[3] D. C. Johnston, Adv. Phys. 59 (2010) 803.
[4] S. Nakamura, T. Moriya and K. Ueda, J. Phys. Soc. Jpn. 65 (1996) 4026.
[5] T. Yanagisawa, internal presentation, AIST, Tsukuba, April 2012; private communications.
[6] S. Uchida, T. Ido, H. Takagi, T. Arima, Y. Tokura and S. Tajima, Phys. Rev. B43 (1991) 7942.
[7] K. Ueno, S. Nakamura, H. Shimotani, A. Ohtomo, N. Kimura, T. Nojima, H. Aoki, Y. Iwasa and M. Kawasaki, Nat. Mater. 7 (2008) 855.
[8] Y. Nambu, Phys. Rev. 117 (1960) 648.
[9] Q. Du, Appl. Anal. 53 (1994) 1.
[10] L. N. Cooper, Phys. Rev. 104 (1956) 1189; J. Bardeen, L. N. Cooper and J. R. Schrieffer, Phys. Rev. 106 (1957) 162, ibid. 108 (1957) 1175.
[11] A. A. Abrikosov, L. P. Gorkov and I. E. Dzyaloshinski, Methods of Quantum Field Theory in Statistical Physics, Dover Publications, New York, 1975.
[12] V. N. Gribov, Phys. Lett. B 336 (1994) 243 [arXiv:hep-ph/9407269].