Potential Novel Biomarkers in Chronic Graft-Versus-Host Disease

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Prognostic, diagnostic or predictive biomarkers are urgently needed for assessment of chronic graft-versus-host disease (cGvHD), a major risk for patients undergoing allogeneic hematopoietic stem cell transplantation. The main goal of this review generated within the COST Action EUROGRAFT “Integrated European Network on Chronic Graft Versus Host Disease” was to identify potential novel biomarkers for cGvHD besides the widely accepted molecular and cellular biomarkers. Thus, the focus was on cellular biomarkers, alloantibodies, glycomics, endothelial derived particles, extracellular vesicles, microbiome, epigenetic and neurologic changes in cGvHD patients. Both host-reactive antibodies in general, and particularly alloantibodies have been associated with cGvHD and require further consideration. Glycans attached to IgG modulate its activity and represent a promising predictive and/or stratification biomarker for cGVHD. Furthermore, epigenetic changes such as microRNAs and DNA methylation represent potential biomarkers for monitoring cGvHD patients and novel targets for developing new treatment approaches. Finally, the microbiome likely affects the pathophysiology of cGvHD; bacterial strains as well as microbial metabolites could display potential biomarkers for dysbiosis and risk for the development of cGvHD. In summary, although there are no validated biomarkers currently available for clinical use to better inform on the diagnosis, prognosis or prediction of outcome for cGvHD, many novel sources of potential markers have shown promise and warrant further investigation using well characterized, multi-center patient cohorts.

Keywords: chronic graft-versus-host disease (cGvHD), alloantibodies, glycomics, endothelial derived particles, extracellular vesicles, epigenetic changes, microbiome, cellular biomarkers
INTRODUCTION

Chronic graft-versus-host disease (cGvHD) is a major risk for patients undergoing allogeneic hematopoietic stem cell transplantation (alloHSCT). It is a multi-organ autoimmune disorder and is the major cause of non-relapse morbidity and mortality after alloHSCT, occurring in about 50% of patients, or 13,000–15,000 patients per year worldwide (1). GvHD develops when mature immunocompetent donor T cells present in the graft recognize alloantigens expressed by the recipient (2). Several factors influence the course of immunoreconstitution which either can lead to: 1) normal immune restoration of protective immunity with host tolerance, 2) functional tolerance with graft-versus-tumor effects, or 3) immune dysregulation and alloreactivity that consequently causes aGvHD and/or later chronic GvHD (Figure 1). Therefore, there is a urgent medical need for immune dysregulation leading to manifestation of GvHD (1).

The diagnosis of cGvHD, according to the National Institutes of Health (NIH) consensus criteria, can be made if there is presence of a diagnostic feature, or if there is at least one distinctive manifestation in addition to radiologic, histologic or laboratory evidence of GvHD from any site (3). Transplant recipients with cGvHD have a reduced quality of life and increased risks of long-term morbidity and mortality, in comparison with transplant recipients who do not develop cGvHD. Chronic GvHD can involve not only the epithelial target tissues affected in classic acute GvHD (aGvHD); gastrointestinal tract, liver, skin, and lungs, but also any other organ system, including oral, esophageal, musculoskeletal, joint, fascial, ocular, genital, peripheral nervous and lymphohematopoietic systems. Organ involvement is more heterogeneous and disease manifestations are more variable in cGvHD compared to aGvHD.

Although some novel therapeutic approaches have shown a good efficacy in cGvHD therapy, it is unlikely that they will completely overcome drug resistance, so combined therapies could be promising in the next generation of trials. The value of potential cGvHD biomarkers is in their usefulness for prognosis, predicting therapeutic responses, and for identifying new therapeutic targets (1). Identification of cGvHD biomarkers requires specific consideration of the sensitivity and specificity in subgroups with different clinical characteristics. Any biomarker should be carefully evaluated during the verification phase. In summary, a joint effort is required to verify the results of numerous trials before any of the potential candidate biomarkers can progress to validation and clinical application (4).

Biomarkers for cGvHD may be classified as prognostic, diagnostic or predictive. Each has their own importance and clinical relevance, while also presenting challenges to researchers related to their identification and validation. A prognostic biomarker provides information about the patients’ overall outcome, regardless of therapy, and thus may propose the further course of disease or onset of subsequent GvHD. In order for prognostic markers to be clinically relevant, high negative prediction with regard to the distinction between moderate/severe versus mild or no GvHD is of great importance. Despite this challenge, prognostic cGvHD biomarkers may be considered as the area of greatest medical need, particularly as a guide to taper immunosuppression. Diagnostic biomarkers can be used to confirm the presence of cGvHD and/or to differentiate from active aGvHD or other conditions. These biomarkers may accelerate diagnosis in the clinic, potentially by adding to or replacing histopathological evaluation.
approaches. This may be of particular advantage in clinical trials and pediatric patients. Predictive biomarkers are necessary to predict response or lack of response to treatment, including mortality. However, all biomarkers are associated with several limitations and caveats. To date, no cGVHD biomarkers have been reliably replicated in independent studies and as a consequence, there are no approved clinical grade tests. This may be fuell by insufficient characterization of cGVHD clinical subtypes, as well as ambiguity in relation to aGVHD overlap and biological definitions of such overlap. The small sample size and heterogeneity of assays in clinical trials as well as heterogeneous time points analyzed has further resulted in inconsistent capture or reporting of biomarkers and associated cofactors.

In this review paper, we performed a literature review using the search terms “chronic graft-versus-host disease,” “cellular biomarkers,” “alloantibodies,” “glycomics,” “endothelial derived particles,” “extracellular vesicles,” “microRNA,” “DNA methylation,” and “microbiome.” We excluded the genetic variabilities associated with cGVHD, which are extensively reviewed in another review paper (5) of the collection of publications generated by the COST Action EUROGRAFT “Integrated European Network on Chronic Graft Versus Host Disease” (CA17138). Thus, in this paper we focused on potential future novel, still unexplored biomarkers, which could help to better understand and manage cGVHD patients such as cellular biomarkers, alloantibodies, endothelial derived particles and microbiome as well as the epigenetic changes in cGVHD patients.

**CELLULAR BIOMARKERS FOR CGVHD**

Phenotypic patterns of cGVHD (Figure 1) may be classified into inflammatory and sclerotic presentations with immune dysfunction as hallmark of cGVHD (6). Preclinical studies and translational research on human biospecimens have implicated certain biological pathways in the pathophysiology of cGVHD, leading to exploration of immune cell-derived diagnostic, prognostic and predictive biomarkers in both hypothesis-driven and discovery-based testing (6, 7).

Donor B cells contribute substantially to the development of cGVHD and both B cell activating factor (BAFF) and B cell receptor (BCR) signaling play critical roles in this process. BAFF promotes survival and differentiation of allo- and autoreactive B cells. Sarantopoulos and colleagues observed an altered B cell homeostasis and excess of BAFF in patients developing cGVHD (8). Furthermore, an increased BCR responsiveness could be abrogated by Syk inhibition was reported in B cells of patients with cGVHD (9, 10). Of note, inhibition of Syk by fostamatinib decreased cGVHD pathology in a murine bronchiolitis obliterans syndrome (BOS) model and induced apoptosis in B cells of patients with cGVHD (9, 10). Of note, inhibition of Syk by fostamatinib decreased cGVHD pathology in a murine bronchiolitis obliterans syndrome (BOS) model and induced apoptosis in B cells of patients with cGVHD, demonstrating that B cell activation is of importance for development of cGVHD (11). The CD19^+CD21^− subpopulation of B cells has been reported as potential prognostic and diagnostic cellular biomarker of cGVHD correlating with disease severity and organ manifestations (12–14). Assessment of B cell subpopulations allowed a distinction of different impairments of humoral immunity seen as either immunodeficiency or autoimmunity (13). In a prospective study including 136 patients (46 BOS, 41 no cGVHD, 49 cutaneous cGVHD) to define novel biomarkers for early diagnosis of NIH-defined BOS, diagnosed a median of 11 months after HSCT patients with newly diagnosed BOS had significantly higher percentages of CD19^+CD21^low B cells, BAFF levels, and BAFF/CD19^+^ ratios compared with patients without cGVHD. This supports CD19^+CD21^low B cells as a potential novel biomarker for HSCT patients at risk for developing BOS (14).

In serial analyses starting on day +100 after HSCT and including 163 patients with cGVHD and 64 never experiencing cGVHD and sampled as time-matched controls, Greinix and colleagues reported that elevated frequencies of CD19^+CD21^− B cells significantly correlated with first diagnosis of cGVHD (15). Furthermore, elevation of CD19^+CD21^− B cells on day +100 after HSCT was associated with later development of cGVHD and could serve as a prognostic biomarker for clinically significant cGVHD and for quiescent and progressive onset type of cGVHD, respectively. Circulating toll-like receptor 9 expressing B cells have also been associated with the development of cGVHD in patients after transplant (16).

Regulatory B (Breg) cells are immunosuppressive cells that support immunological tolerance. Different B cell subpopulations are reportedly enriched in Breg cells including CD24^hi^CD27^−^, CD24^hi^CD38^hi^ transitional B cells, and CD20^+^CD27^+^CD43^−^ CD70^+^ B cells and different inflammatory environments could induce distinct Breg cell populations (17). Khoder and colleagues observed an enrichment of Breg cells in patients with cGVHD within both CD19^+^IgM^+^CD27^−^ memory and CD19^+^CD24^hi^CD38^hi^ transitional B cell subsets (18). Furthermore, Breg cells from patients with cGVHD were less frequent and less likely to produce interleukin-10. De Masson and colleagues reported a decreased Breg cell frequency in patients with active cGVHD and a correlation of Breg cells with severity of cGVHD (19).

Regulatory T (Treg) cells that are essential for establishment and maintenance of tolerance after HSCT reportedly were significantly reduced in patients with cGVHD (20–22). Detrimental factors for Treg cells in cGVHD have been recognized in diminished thymic production, reduced proliferative capacity of Treg cells and increased susceptibility to apoptosis of memory Treg cells (21, 22). Donor T effector cells have been shown to mediate alloreactivity toward recipient tissue in both human and mice (6) and are important for cGVHD development. Studies have demonstrated a role for Th17 cells in murine models of autoimmunity, scleroderma, and multorgan system disease with BOS (23, 24). Dander and colleagues observed an increase of Th17 cells in the blood of patients with active cGVHD (25). In the skin of patients with lichenoid cGVHD, a Th1/Th17 signature has been reported (26), whereas in the oral mucosa of patients with cGVHD increased T-bet+ cytotoxic effector cells and type I interferon-mediated processes have been observed (27). Forcade and colleagues reported an increased frequency of CD4^+^CD14^+^CCR5^+^ T cells, a Th17
prone subpopulation of CD4+ T cells, in patients with active cGvHD (28). In a murine cGvHD model with BOS, donor T cells from CD146-deficient mice caused significantly less pulmonary cGvHD with lower pulmonary macrophage infiltration and T cell CCR5, IL-17, and interferon-γ coexpression. Lower circulating T follicular helper (TFH) cells have also been observed in patients with active cGvHD compared to patients without cGvHD (29). Furthermore, the phenotype of CD4+TFH cells (CD4+CD45RA CXCR5+) was skewed toward a highly activated profile with predominance of Th2/Th17 subsets, while activated CD4+TFH in cGvHD patients had an increased functional ability to promote B cell immunoglobulin secretion and maturation.

Lack of CXCR3+ CD56bright natural killer (NK) cells correlated with diagnosis of cGvHD (30). Immune profiling using peripheral blood samples of 302 children including late-aGvHD, cGvHD and no cGvHD revealed increased cytolytic NK cells, increased activated T cells, naive helper T and cytotoxic T cells, loss of CD56bright regulatory NK cells, and increased ST2 and soluble CD13 (31). CD3+CD56+NKT cells reportedly were also elevated in patients on day +100 after HSCT who later developed cGvHD (15).

In summary, very few cellular biomarkers have been identified from clinical studies, including discovery and independent verification as requested by the NIH consensus development group (4, 7). It will be challenging to verify and qualify the candidate biomarkers identified in previous studies and close cooperation of clinical and laboratory-based research groups will be necessary to pursue such studies successfully.

ALLOANTIBODIES AND GVHD

Donor-specific antibodies (DSA) have been strongly linked with chronic humoral rejection in solid organ transplantation and the robust association between complement activating DSA and graft failure has been previously demonstrated (32). DSA mediated pathways of allograft damage, that are independent of complement activation, have also been revealed (33–35). However, as many of the studies investigating alloantibodies, and particularly DSA, have been carried out in renal transplantation, much of our current understanding of their role stems from these studies. The effect of DSA has not been evaluated to the same degree following HSCT, compared to in organ transplantation. This was initially because of the high degree of HLA matching between donor and recipient in HSCT.

Similarly to the situation in organ transplantation, anti-HLA antibodies may arise as a result of blood transfusions or pregnancies and in haploidentical HSCT, the prevalence of DSA was reported to be considerably higher in female recipients (36). Furthermore, DSA are an obstacle to engraftment, although this is not necessarily the case for anti-HLA antibodies overall (37). In addition, Ciurea et al. (38) reported that compared to male recipients, multiparous females had a higher prevalence of anti-HLA antibodies. Moreover, an increased risk of cGvHD after HLA-identical sibling HSCT was found after transplantation with female donors who had experienced one or more pregnancies (39). This observation was most probably due to previous female donor allosensitization.

The so-called natural anti-HLA antibodies can occur in low titers even in healthy people (e.g. in non-transfused males) or in cord blood (40, 41). Due to alloimmunization or earlier transfusions, their presence is more often found in patients with hematopoietic diseases. Anti-HLA antibodies occur in 12% to 42% of potential recipients and they are more often determined in women compared to men (30–42% vs 12%). Their levels are higher in women after one pregnancy, increases after repeated pregnancies, and mother’s antibodies are directed against HLA antigens in offspring (42, 43). In the case of alloHSCT, recipient B lymphocytes will stop producing anti-HLA against donor antigens only if complete chimerism is reached (i.e. the recipient’s hematopoietic system is fully restored with donor cells).

In a mouse model representing characteristic features of cGvHD such as multi-organ involvement, among the indications of cGvHD, fibrosis was observed in some organs and was associated with alloantibody deposition as well as CD4+ T and B cell infiltration. Because the prevention of germinal center formation suppressed GvHD and lung manifestations of disease, the authors concluded that cGvHD is at least partially due to alloantibody secretion (44).

Initial studies examined HLA-specific antibodies in pre-transplantation sera from patients undergoing bone marrow and cord blood HSCT. Relationships between the presence of anti-HLA antibodies and HSCT outcome were observed for patients transplanted with an unrelated donor (42, 45) and their results showed not only associations with DSA levels, but also with the presence of anti-HLA-DPB1 antibodies. Indeed, Spellman et al. (45) observed DSA in pretransplant sera of 24% of 37 patients, whereby DSA were mainly directed against HLA class I and HLA-DP antigens.

These results are not surprising, as the HLA-DP molecules are expressed on hematopoietic cells and, moreover, about 80% of donor-recipient pairs of unrelated HSCT are mismatched for at least 1 HLA-DPB1 antigen/allele. The latter is due to recombination hotspots that are located between HLA-DQB1 and HLA-DPB1 genes, and therefore matching patients and unrelated donors with common HLA-A, -C, -B, -DRB1, -DQB1 haplotypes (10/10 match) does not necessarily implicate matching for HLA-DPB1 and HLA-DPA1 alleles (reviewed in (46). Indeed, in unrelated donor-recipient settings, the presence of anti-HLA-DPB1 antibodies was clinically relevant with respect to development of transplant-related complications, including cGvHD. The outcome of having HLA antibodies in voluntary unrelated donors was analyzed by Delbos et al. (47). They observed a significantly higher cumulative incidence of cGvHD in recipients transplanted with anti-HLA class II immunized donors, directed mainly against HLA-DPB1 alleles. Moreover, they found that donor-derived anti-HLA antibodies could be detected up to 6 months after transplantation. Pan et al. (48) analyzed the presence of anti-
HLA antibodies and monitored their dynamic changes after transplantation from unrelated donors. The presence of anti-HLA antibodies was found to be associated with increased risk of cGVHD, as well as higher aGVHD grades, and increased treatment-related mortality, while overall and disease-free survival were reduced. These data suggest that donor immunization against foreign HLA antigens may constitute a predictive marker of cGVHD occurrence after transplantation from HLA-mismatched unrelated donors.

Takanashi et al. (49) tested for DSA in pre-transplant sera from a series of 386 cord blood transplants and reported that DSA were associated with delayed neutrophil recovery post-transplantation. In a more recent study of a cohort of patients (n = 89) who had haploidentical donors with post-transplantation cyclophosphamide, 32 patients had anti-HLA antibodies, 12 with DSA and 8 of the 12 with DSA had complement activating antibodies (50).

Donor-Specific Antibodies directed against HLA class I and class II antigens have the ability to activate a cell death pathway and signaling within target cells may enhance their potential role as biomarkers (albeit imperfect biomarkers). Refinement not only of the detection of DSA, but also of their characterization including their complement activating ability and/or ability to activate signaling within target cells may enhance their potential role as biomarkers in the HSCT setting. In addition, anti-HLA II antibodies have the ability to activate a cell death pathway and this should also be taken into consideration (52–54). A recent report extends this observation to DSA from patient allosera, revealing death of endothelial cells after DSA binding (55).

Previous studies of data from HSCT are contradictory with studies from renal transplantation, which has provided the most information to date about the prevalence and clinical implications of DSA. This is partly due to the lack of donor-matching for what have been described as low-expression loci; HLA-DP and -DQ. It is interesting to note that although HLA-DQ can be considered as a low-expression locus on the allograft endothelium in solid organ transplantation, it is the most frequently detected de novo DSA post-transplantation (reviewed in (56) and potential mechanisms of HLA-DQ alloantibody mediated damage to the allograft endothelium have been revealed (57). Moreover, the importance of pre-transplantation DSA anti-DQ has been recently reported to be independently associated with graft failure, and detection of DSA specific for HLA-DQ is a major predictor for graft failure (58). Analysis of DSA in HSCT is additionally complicated by the different sources of stem cells used in previous studies of HSCT, as well as different extents of HLA-loci matching.

There are studies showing the impact of genotyping and matching for non-classical HLA (including HLA-E, HLA-G or MICA/B loci) on HSCT outcome, including manifestation of cGVHD (reviewed in (46, 59, 60), and the role of anti-MICA antibodies in organ transplant recipients. Therefore, non-classical HLA molecules should also be considered as potential targets of alloantibodies in HSCT recipients. It has also been suggested that antibodies directed against minor histocompatibility antigens (mHA) may play a role in the development of cGVHD and other transplant-related complications. This effect was observed after transplantation from a haploidentical mother to her son, where anti-parental-mHA alloantibodies were found to persist for a long time post-transplantation (61).

Recent reports suggest that it may be insufficient to take into account only DSA directed against histocompatibility antigens, but that alloantibodies should be further characterized e.g. complement-binding DSA (C1q) may affect transplant outcome, as shown for haploidentical HSCT (38). In addition, in the setting of renal transplantation, recent data has revealed the importance of DSA directed against non-HLA antigens (62, 63). The contribution of DSA directed against non-histocompatibility antigens remains to be explored following HSCT.

In summary, at this stage, it is clear that a high level of DSA is a risk factor for HSCT transplantation and further characterization and identification of DSA would be helpful. While in the HLA-DP mismatched setting HLA directed alloantibodies may play a role in cGVHD, and this also applies to other HLA-mismatches, much of the antibody mediated damage in cGVHD derives from host reactive antibodies that are partly auto-reactive.

**GLYCOMICS IN CGVHD**

Glycomics is an emerging field, focused on defining and understanding the structures and functions of complex carbohydrates; glycans. Their structural complexity and template-free synthesis involves carefully regulated, dynamic interactions between hundreds of genes, making them the most diverse macromolecules common to all life forms (64, 65). Complex and diverse glycans are ubiquitous to every cell in nature and they play a central role in many key physiological and pathological processes (66). Glycosylation analysis can be performed on the whole serum N-glycan profiles as well as on specific glycoproteins, such as immunoglobulin (Ig) G, the most abundant class of antibodies in human plasma. Despite significant heritability of the steady-state composition, IgG glycome composition can change rapidly in the state of pro-inflammatory or anti-inflammatory response (Figure 2).

The functional significance of glycans and identification of their biomarker potential in many different human diseases has been facilitated by recent advances in glycomics technologies (69). However, in the area of alloHSCT and its major late complication, cGVHD, glycosylation signatures and mechanisms have been largely unexplored (67).

Aberrant glycosylation has previously been associated with severe immune dysfunction and various autoimmune disorders resembling cGVHD, such as Sjögren’s syndrome, systemic lupus erythematosus, inflammatory bowel disease, rheumatoid...
arththritis (RA), immune thrombocytopenia, but also with neurological manifestations that can be seen in cGvHD, such as myasthenia gravis, myositis and immune-mediated neuropathies (67, 70). Indeed, distortion of B cell homeostasis, production of autoantibodies and changes in glycosylation of the major effector molecule, IgG, are common to a range of autoimmune diseases (71). The first report on lower IgG galactosylation in patients suffering from RA was published over thirty years ago, and it has since been confirmed in a wide variety of chronic inflammatory and autoimmune diseases, and shown to occur at the level of both total and antigen-specific IgGs. Not only was this change in IgG glycosylation shown to associate with different pathologies, but also with disease progression, activity and severity of symptoms (72). Moreover, in more recent studies on patients suffering from RA, changes in IgG galactosylation levels were described during the remission phase, but also predicted response to therapy and even preceded development of the disease by several years (73–75). Glycans attached to IgG are an integral part of the molecule, influencing its conformation and structural stability as well as its effector and antigen-binding functions (76). It is now well understood that the presence or absence of a specific monosaccharides from IgG glycans can mediate pro- and anti-inflammatory responses, suggesting an active role for differentially glycosylated IgGs in disease development and progression (77) (Figure 2). In addition, the finding that glycans affect the inflammatory activity of IgG indicates a promising potential for IgG glycosylation analysis as an additional screening tool to identify individuals with predisposition towards disease development. Furthermore, this involvement in disease pathology could be exploited therapeutically by administration of enzymes, which could modulate IgG glycosylation in vivo and hence, modify its activity (78).

Similarly to autoimmune diseases, a higher incidence of circulating autoantibodies has been described in patients with advanced GvHD. However, no confirmation of their pathogenicity, nor association with disease activity or severity has been shown (13). Despite this, extensive examples of the importance of glycosylation and its biomarker potential in other autoimmune settings suggests the considerable potential for glycosylation research in the field of cGvHD, leading to discovery of much needed predictive and/or stratification biomarkers. So far no such evidence has been published, however, a recent study showed altered IgG glycosylation profiles in cGvHD patients compared to healthy controls, and indicated its association with different clinical manifestation of cGvHD, revealing the stratification potential of IgG glycans (79).

While the significance of glycosylation and its manipulation in GvHD processes are slowly being recognized, it is likely that further efforts in this direction could improve monitoring and outcome for patients.

MICRORNA AND CGVHD

MicroRNAs (miRNAs, miRs) are a large family of small (20–22 nucleotides long) non-coding RNAs, which contribute to the control of post-transcriptional gene expression by degrading or
suppressing their target mRNA. By regulating target gene signaling pathways, miRNAs influence tumor growth, invasion, metastasis, and angiogenesis. MiRNAs present some characteristics that contribute to their stable expression, such as resistance to RNase, boiling, changes in pH, extended storage, and freeze-thaw cycles (80). Thanks to these aspects, miRNAs can be easily measured using common laboratory techniques. MiRNAs have been shown to present with an altered blood profile in various autoimmune and oncological disorders (81), and thus, they represent a valid option as potential disease biomarkers, including cGVHD.

One of the first reports to implicate miRNAs in cGVHD progression is represented by the study of miR-21 in a cGVHD model of systemic lupus erythematosus (82). Mice deficient in miR-21 presented with a reduction in splenomegaly and a decreased in autoantibodies titers. These effects seemed to be mediated by a skewed Th17 response.

Following this report, interest gathered in other miRNAs in specific GvHD settings. MiR-155 has been found to be upregulated in aGVHD patients, and its expression has been linked not only with the occurrence, but also with the severity of aGVHD (83). Thus, miR-155 is currently under evaluation in a clinical trial assessing its association with the frequency and severity of aGVHD (NCT01521039). In addition, blocking miR-155 expression after allo-HSCT has been demonstrated to decrease aGVHD severity and enhance survival in mice (84), thus suggesting its potential use as a novel target therapy in GvHD. After validating its role in aGVHD, miR-155 has been explored in cGVHD settings. A study by Xie et al. (83) showed that miR-155 levels correlate with Th17 and Th9 cell numbers in GvHD patients, promoting Th17 and Th9 cell differentiation in GvHD progression. MiR-155 serum levels are significantly upregulated in cGVHD patients compared to those who did not develop the disease. However, miRNA-155 expression is also significantly elevated in aGVHD patients, and therefore its expression levels do not permit differentiation between the acute and the chronic form of GvHD, excluding its potential function as a cGVHD-specific biomarker.

Recently, miR-17-92 has also been implicated in the pathogenesis of cGVHD. A study by Wu et al. (85) demonstrated that miR-17-92 is crucial for the development of scleroderma and BOS in cGVHD patients in vivo. Mechanisms responsible for this role are varied, including the induction of differentiation of pathogenic Th1 and Th17 cells involved in cGVHD progression. Moreover, miR-17-92 promotes autoantibody production and IgG deposition in the skin of cGVHD patients. Similarly to miR-155, blocking miR-17-19 has been demonstrated to reduce clinical manifestations of cGVHD. These data confirm that a number of miRNAs play a crucial role in cGVHD pathogenesis, suggesting a valid reason to investigate their potential as cGVHD biomarkers. However, no microRNA signature has as yet been identified with a specific blood expression profile in cGVHD patients, and at the moment they cannot be used for monitoring patients or for predicting the risk of developing the disease.

Despite the limited evidence in cGVHD, several miRNAs have been described to identify aGVHD. These include miR-153-3p and miR-29a that have been shown to enhance the risk of aGVHD (86, 87), while miR-146a (88), miR-100 (89), miR-181a (90), and miR-441 (89) are reported to protect patients from the occurrence of GvHD.

Data implicating miRNAs as aGVHD biomarkers supports their study in a cGVHD settings, to identify which miRNAs may be specific for cGVHD and whether they can be effectively used to differentiate between the acute and chronic forms of the disease.

### EXTRACELLULAR VESICLE BIOMARKERS FOR CGVHD

Extracellular vesicles (EVs) are a heterogeneous group of membrane-encapsulated vesicles (40–150 nm in diameter) that derive from the endosomal or plasma membrane (Table 1). They are released by most, if not all cell types into the extracellular space and can be sub-classified based on their originating cell and their biogenesis. EVs contain a subset of proteins, lipids and nucleic acids, including microRNA, that are derived from the parent cell (92). It has been shown that EVs have important roles in intercellular communication, both locally and systemically, by transferring their contents between cells. EVs are involved in numerous physiological processes, and vesicles from both non-immune and immune cells have important roles in immune regulation (93).

The role of EVs in the pathophysiology of immunological disorders is an exciting field for research, as they offer importance as prospective therapeutic targets, informative biological agents and predictive disease biomarkers. Lia et al. (94, 95) investigated a cohort of 41 patients undergoing HSCT for multiple myeloma, in order to explore EV surface marker characterization and any potential correlation with GvHD (aGVHD). A total of 13 EV markers that indicated potential as GvHD biomarkers were assessed by flow cytometry (CD44, CD138, CD146, KRT18, CD120-α, CD8, CD30, CD106, CD25, CD31, CD144, CD86, and CD140-α). Results showed that CD146, CD31 and CD140-α were significantly associated with the onset of aGVHD, and expression of CD146 was associated with an increased aGVHD risk (95). In addition, CD31 fluorescence, CD140-α percentage and CD140-α EV concentration were associated with a decreased risk of aGVHD (95). Finally, CD146 was significantly increased, while CD31 and CD140-α were decreased before the onset of aGVHD (95). While these findings highlight the potential for circulating EVs as biomarkers for GvHD, further investigation is required to investigate the source of EVs, validate the surface biomarker expression and characterise the molecular composition of EVs in order to shed light on their pathological role in aGVHD development. Furthermore, no association was found with cGVHD (94), indicating a distinct role for these EV surface markers in the acute sub-type, which requires further distinction. The role of EV surface markers as biomarkers for the chronic form of GvHD therefore shows potential, but is yet to be defined.

Recently, miRNAs have been identified to be protected from RNase-mediated degradation in biofluids by encapsulation into EVs (96, 97). Several authors have reported the association of
miRNAs with aGvHD in both bio-fluids and within EVs (98–100), thus highlighting the potential for miRNAs as indicative biomarkers in the GVHD setting. However, there are few studies that have focused on chronic forms of the disease or sought to distinguish acute from chronic GVHD.

Crossland et al. (99) identified lower expression of miR-423, miR-199, and miR-93* in the serum EV fraction of patients who subsequently developed aGVHD compared to those who remained GVHD-free. Patients who did not develop GVHD had significantly higher levels of microRNAs within the EV fraction compared to whole serum, and the authors hypothesized that the microRNAs were being specifically transported in the circulation via EVs to distal target organs, where they display protective functions (99). However, the authors focused on the acute form of GVHD in their studies and did not extend this work to cGVHD, which would be of particular interest to investigate.

Yoshizawa et al. (100) studied the role of EV miRNA signatures in late onset aGvHD (LA GVHD), with the aim of identifying possible molecular biomarkers. Late onset GVHD is the occurrence of de novo aGVHD beyond day-100 post-HSCT, and may comprise 20% to 40% of cGVHD cases according to the 2005 NIH definition (101). Profiling the miRNA cargo of EVs isolated from the plasma of 10 HSCT patients (5 who developed LA GvHD, 5 with no GVHD) and 8 randomly selected healthy controls revealed a signature of 55 microRNAs that were significantly differentially expressed between patients who developed LA GVHD compared to no GVHD, all of which were upregulated in the LA GVHD group (100). The authors selected 10 miRNAs (miR-423-5p, miR-19a, miR-142-3p, miR-128, miR-193b, miR-30c, miR-193a, miR-191, miR-125b, and miR-574-3p) with the highest fold change for validation, of which miR-423-5p, miR-19a, and miR-128 had been previously identified as potential aGVHD biomarkers (99, 102, 103). Expression of miR-423-5p, miR-128, and miR-125b was significantly higher in patients with LA GVHD compared to healthy controls, while miR-423-5p was downregulated in the non-GvHD group compared to controls (100). Assessment of sequential miRNA expression patterns in two HSCT patients revealed that miR-128 was significantly upregulated prior to the onset of LA GVHD, while miR-125 levels were unable to predict LA GVHD, suggesting miR-128 may act as a predictive marker prior to the onset of LA GVHD symptoms (100).

Finally, the most recent, preliminary study of Bogunia-Kubik and colleagues examining the miRNA profile in EVs of cGVHD patients showed differential expression of three miRNAs, miR-630, miR-374b-5p, and miR-29c-3p, in the plasma of patients with cGVHD symptoms compared to samples taken 3 months after transplantation from patients lacking cGVHD (104). Further investigations on larger and well defined patient populations are needed to establish feasible biomarkers extracted from EVs, particularly miRNAs.

ENDOTHELIAL-DERIVED MICROPARTICLES AND GVHD

While the cytokine storm and T cell activation are the main initiating factors in aGVHD, endothelial damage has also been shown to play an early role, due to endothelial tissue being a primary target of pre-conditioning damage. Cell migration into effector organs requires passage of the endothelial barrier (105). In aGVHD changes in circulating endothelial cell levels are indicated to act as a biomarker for monitoring endothelial damage in patients undergoing HSCT, and may support GVHD diagnosis (106, 107). As a result of the initial pre-conditioning injury, endothelial cell derived microparticles (EMP) can be released into the circulation, reflecting the extent and possible mechanism of action of endothelial damage independent of T cells. During aGVHD, EMPs can also be released, indicating immune mediated endothelial damage. EMP levels have formed the basis for several studies interested in identifying aGVHD biomarkers and pathological contributors (108–110).

In 2011, Rank et al. investigated plasma levels of erythrocyte-derived microparticles (EryMP) as a potential biomarker to differentiate aGVHD from infection or sepsis (108). They found that plasma levels of EryMP were not affected by total body irradiation, high dose chemotherapy or T cell depletion, nor were they altered in patients who developed infections or sepsis. However, there was a 1.7-fold increase in EryMP levels in patients who developed aGVHD following HSCT compared to pre-HSCT, and also compared to patients who developed infections or sepsis alone. Moreover, the levels of EryMP were associated with the severity of aGVHD (108).
Aberrant DNA methylation is a key pathological mechanism in myelodysplastic syndromes (MDS) and acute myeloid leukemia (AML). This provides rationale for the clinical development of epigenetic regulators, hypomethylating agents (HMAs), for example azacitidine (AZAC) and decitabine (DEC), for the treatment of these diseases (Figure 3). Studies have shown that AZAC after alloHSCT increases regulatory T cell (Treg) numbers while inducing a cytotoxic CD8+ T cell response, suggesting a potential mechanism for augmenting the graft versus leukemia (GvL) effect without increasing GvHD. In patients at a high risk of relapse following alloHSCT, pre-emptive AZAC may help prevent or delay relapse. For patients who have relapsed following alloHSCT, AZAC may be a salvage therapy option, either as monotherapy or in combination with donor lymphocyte infusions (DLI) (113).

It is known that human leukocyte antigen-G (HLA-G) expression is strongly regulated by DNA methylation. In the study of Stamou et al. the authors investigated whether HMAs, such as AZAC and DEC, may be used to induce HLA-G expression on conventional T cells and convert them to Tregs. HMAs treatment induced de novo expression of HLA-G on T cells through hypomethylation of the HLA-G proximal promoter. The HMA-induced CD4+HLA-Gpos T cells are Foxp3 negative and have potent in vitro suppression function, which is dependent to a large extent, but not exclusively, on the HLA-G molecule. Converted HLA-Gpos suppressors retain their suppressor function in the presence of tumor necrosis factor (TNF) and preserve hypomethylated the HLA-G promoter for at least 2 days after AZAC exposure. DEC-treated T cells suppressed ex vivo the proliferation of T cells isolated from patients suffering from GvHD (114). HMAs have an advantage over conventional cytotoxic chemotherapeutic agents in terms of regimen-related toxicity in high-risk patients. With regard to transplantation, recent studies have suggested that HMAs may potentiate GvL effects. Therefore, a pilot study of AZAC treatment after discontinuation of immunosuppressants was performed in MDS patients who relapsed after transplantation. There was no association between the treatment response and emergence of cGvHD. Because all patients discontinued immunosuppressants, it was impossible to determine whether AZAC potentiated the GvL effects. Taking into consideration the limitation of the small number of cases reported in the pilot study, the results suggest that treatment of patients with MDS, after relapse post-transplantation, with HMAs is feasible and the additional GvL effects might significantly affect patient outcome (115).

In the study of Czibere et al. it is clear that treatment with the DNA methylation inhibitor, 5-azacytidine (5-AZAC), has an influence on the donor immune system. Moreover, previous reports showed induction of killer Ig-like receptors in natural killer (NK) cells following treatment with DNA demethylating agents. It is well established that NK cells are involved in the specific recognition of leukemic target cells and can enhance the GvL effect. Thus, they hypothesized that an interplay between 5-AZAC and DLI, in which treatment with 5-AZAC first, leads to a reduction of the leukemic burden by an anti-proliferative effect on the leukemic target cells and then modulates the donor T and NK cell compartment. This might be able to consolidate 5-AZAC-induced complete resolution of GvHD manifestations in all organs (116).
The epigenotype of myeloid leukemic blasts, as for other neoplasias, is marked by large sets of genes being heavily repressed through DNA methylation and inactivating histone modifications. These include tumor suppressor genes, antiapoptotic and cell-cycle regulatory genes. The pharmaceutical industry is developing treatment approaches in leukemia and MDS using hypomethylating agents, which revert aberrant DNA hypermethylation, and histone deacetylase inhibitors that antagonize promoter silencing. Although hypomethylating agents have shown very interesting activity in large phase II and III clinical trials of MDS, different combinations of these drugs with other therapeutics in leukemia and MDS are under consideration. The optimal dose of HMA has not yet been established, because hematopoiesis may be much more sensitive to the myelotoxicity mediated sometimes by even low doses of the drugs. Because of the previous studies with promising activity of a 3-day schedule of DEC, and the effectiveness of a 3-day schedule of low-dose 5-AZAC in reinducing fetal hemoglobin, herein they have embarked on a sequential treatment of AML/high risk MDS patients with 5-AZAC given for 3 days followed by DLI. This treatment showed promising feasibility, with a very low GVHD rate, and clinical benefit in 66% of the patients, including attainment of continued CR in four patients in whom previous DLI alone had not been sufficient for disease control. The triggering of GVHD by the sequence of 5-AZAC and DLI treatment is a serious theoretical concern, as the DNA demethylation agents could hypothetically upregulate antigens also on normal, bystander tissue such as skin, gut and liver. Thus, the low rate of de novo GVHD in this patient series was very encouraging, arguing against an off-target effect of this HMA on normal cells, at least at the dose and schedule given. In conclusion, these results are supportive of a model in which the effect of active immunotherapy can be boosted by an epigenetically active drug (117).

In summary, analyses of DNA methylomes, and in combination with microRNAs and RNA transcriptomes with high-throughput methods could give insight into the epigenetic background of cGvHD symptoms and greatly assist in developing and evaluating new approaches to its treatment.

**MICROBIOME AND CGVHD**

Whereas the impact of microbiota on aGVHD after alloHSCT has been intensively investigated in the literature, the knowledge of microbes relating to the pathophysiology of cGVHD is highly restricted. However, loss of intestinal microbiome diversity and a shift towards an enteropathogenic flora has been observed during alloHSCT, mainly due to the use of systemic antibiotics for prophylaxis and treatment of neutropenic infections, conditioning toxicity and an altered food intake. In particular,
a reduction of commensal bacteria like Clostridiales bacterium and their protective metabolites e.g. indoles and short chain fatty acids have been reported to enhance the risk for a disturbed immune tolerance, development of GvHD and high transplant-related mortality after HSCT (118–120). Moreover, to which extent microbial changes also contribute to the development of cGvHD is poorly evaluated; only a few groups have investigated microbial changes in cGvHD patients so far.

Shimizu et al. (121) explored the status of microbes in the ocular surface in patients with ocular cGvHD and discovered alterations in the composition of ocular surface microbes. Approximately fifty percent of alloHSCT recipients developed dry eye syndrome, the main phenotype of ocular cGvHD within 2 years after alloHSCT. Against expectations, these patients showed a more complex diversity of ocular surface microbes compared with nonGvHD patients and healthy controls. The majority of detected species were Staphylococcus epidermidis, Corynebacterium species, and Propionibacterium acnes. In fact, these strains display commensal bacteria of the ocular surface, but their detection ratio was significantly higher in GvHD patients and associated with an increased risk for GvHD development compared to patients without GvHD and healthy controls. Pathogenic bacteria such as α-hemolytic Streptococcus, Haemophilus influenza, and Enterobacter cloacae were also detected more frequently in patients suffering from ocular cGvHD. These results suggest that alternations of microbes may be involved in the pathogenic process of ocular cGvHD (121). A potential explanation for the broader bacterial diversity in ocular cGvHD may be the loss of protective factors, including secretory immunoglobulin A (SigA) and defensins, as well as alteration of the quantity of tear fluid.

There is clear evidence that the microbiome has potent immunoregulatory functions (122). The association between changes of microbiota composition and the development of immune-mediated disorders has been extensively investigated and it becomes increasingly evident that microbial dysbiosis acts as an important contributor to the pathophysiology of various immune diseases, such as inflammatory bowel disease, Sjögren’s syndrome, autoimmune hepatitis, rheumatoid arthritis, systemic sclerosis, etc. (123, 124). De Paiva et al. (122) assessed the effects of intestinal dysbiosis in a murine model and characterized the conjunctival, tongue and fecal microbiome profiles of patients with SS. They observed a clear inverse correlation between severity of ocular and systemic sclerosis and intestinal microbial diversity, assuming that it is driven by low relative abundance of commensal bacteria like Clostridium and high relative abundance of potentially pathogenic genera e.g. Enterobacter, Escherichia, Shigella and Pseudomonas (122). Increased intestinal permeability, derangement of the microbiome and bacterial translocation have also been described in experimental and human AIH, correlating with the severity of the disease (125). Emerging studies have demonstrated that the role of the gut and lung microbiota and the resulting immune engagement cannot be ignored when studying the pathophysiology of chronic lung diseases (126). Several studies also suggest a link between microbiota composition of the lower respiratory tract and also the gut and respiratory health in patients suffering from cystic fibrosis and BOS, the primary limiting factor for long-term survival after lung transplantation. Lung transplant patients have a unique lung microbiome that is different compared to the pretransplant microbiome (127). Re-establishment of pretransplant lung microbial populations in the alloSCT seems to have a protective effect against BOS, whereas de novo acquisition of microbial populations may increase the risk (128). BOS is also a serious pulmonary complication leading to late morbidity and decreased survival after alloSCT.

Hildebrandt et al. (129) demonstrated an important role for recipient NOD2/CARD15 gene variants as an independent risk factor for BOS development. NOD2/CARD15 displays an intracellular receptor for bacterial cell wall products expressed in epithelial cells of the intestinal tract, in bronchial epithelium, in macrophages, monocytes, and antigen-presenting cells. NOD2/CARD15 mutations may lead to an altered microbial composition resulting in an increased susceptibility to subclinical pulmonary infections, dysregulated and prolonged inflammation, bronchial epithelial injury and finally airway obstruction (129). These findings could be recently confirmed by Touihri et al. (130) in a group of Tunisian ASCT recipients.

A variety of further studies demonstrate associations between microbiome dysbiosis and immune diseases. In addition, the impact of the virome on the pathophysiology of immune-mediated diseases and cGvHD should not be underestimated. For instance, cytomegalovirus seropositivity of the recipient and the donor prior to alloHSCT was observed as a risk factor for the development of cGvHD (131). New data exist showing that phages have anti-inflammatory and immunomodulatory activities, an observation that may be translated into a novel treatment of GvHD and may stimulate new directions of research (132).

In summary, dysbiosis of gut, oral, and skin microbiome has been linked to auto-inflammation and tissue damage although precise mechanistic links that remain largely unknown (124). The pathophysiology of cGvHD and many autoimmune disorders is very similar, suggesting the assumption that microbiota may also play a contributory role in the development of cGvHD. In the acute form of GvHD specific bacterial strains and also microbial metabolites are considered as potential biomarkers for intestinal dysbiosis. However, the current literature lacks detailed high-level studies evaluating the role of microbiota in the chronic form of GvHD and microbial biomarkers so far. Further clinical and experimental studies are required to illuminate the impact of microbiota in the pathophysiology of cGvHD.

**CONCLUSION**

A multidisciplinary approach is needed to better understand the chronic form of GvHD. Thus in this review, we attempted to provide a comprehensive description of potential novel diagnostic, prognostic or predictive biomarkers for cGvHD (Table 2). An evolving signature of potential biomarkers should be considered for future evaluation in well-defined clinical trials. For example, while it is clear that a high level of donor-specific-antibodies is a risk factor for HSCT transplantation, the degree to which further characterization or further identification
of DSA would be helpful remains to be determined. MicroRNAs and extracellular vesicle-derived RNAs are an exciting novel field for cGVHD biomarker discovery, and have recently gained increasing interest in relation to HSCT outcome and aGVHD complications. Their further exploration holds great potential, due to their many advantages as clinically translatable biomarkers. In addition, DNA methylation and microRNAs, together with RNA transcriptome analysis could give better insight into the epigenetic background of cGVHD as well as patients’ follow-up. As potential novel biomarkers, epigenetic tools could considerably assist in the development of new treatment approaches, particularly introducing epigenetic therapy combined with immunotherapy. Similarly, IgG glycan profiling offers a novel tool for cGVHD staging and possibly prediction, but needs further investigation. Finally, while the microbiome likely affects the pathophysiology of cGVHD, although probably important, has to be explored.

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AUTHOR CONTRIBUTIONS

MG designed and coordinated the preparation of this manuscript. HG wrote the section on cellular biomarkers for cGVHD. NM and KBK wrote the section on alloantibodies and GvHD of the manuscript. FP and RC wrote the section on microRNA and cGVHD of the manuscript. RC, FP, and KBK wrote the sections on extracellular vesicle and cGVHD, as well as the endothelial-derived microparticles and cGVHD of the manuscript. NG wrote the section on DNA methylation and cGVHD of the manuscript. MB and DP wrote the section on glycomics in cGVHD of the manuscript. AD and MI contributed to the final version of the manuscript. All authors contributed to the article and approved the submitted version.

TABLE 2 | Highlights.

There are potential novel and still unexplored biomarkers associated with cGVHD. Biomarkers of either diagnostic, prognostic or predictive value are essential for assessment and monitoring of cGVHD. Candidate cellular biomarkers have been identified for cGVHD but need further validation. Both host-reactive antibodies in general, and particularly alloantibodies have been associated with cGVHD. Glycans that are directly involved in the pathophysiology of every major disease have a great research potential in clarifying events after alloHSCT and GvHD. Epigenetic changes such as microRNAs and DNA methylation represent potential biomarkers for monitoring cGVHD patients and for developing new treatment approaches. Extracellular vesicles, essential in the regulation of numerous physiological processes in both non-immune and immune cells, represent an excellent source of biological material for biomarker investigation. Endothelial derived microparticles reflect the extent of endothelial damage occurring during aGVHD and have merit for exploration in a cGVHD setting. The microbiome likely affects the pathophysiology of cGVHD.
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