Prognostic value of intra-tumoral CD8\(^+\)/FoxP3\(^+\) lymphocyte ratio in patients with resected colorectal cancer liver metastasis

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Background and Objectives: Patients with isolated colorectal-cancer-liver-metastases (CRCLM) frequently undergo metastatectomy. Tumor-infiltrating lymphocytes (TILs) have prognostic potential in the setting of primary colorectal cancer, however, their role in CRCLM is less studied. We aimed to study the spatial distribution and prognostic role of tumor-infiltrating CD8\(^+\) cytotoxic T-cells and FoxP3\(^+\) regulatory T-cells at the metastatic site of CRCLM patients.

Methods: TILs were isolated from fresh metastatic tissues of 47 patients with CRCLM. Archived paraffin-embedded tissue, from the same patients, was retrieved. CD8\(^+\) and FoxP3\(^+\) cells, both in the intra-tumoral and the peri-tumoral compartments, were measured by immunohistochemistry on full tissue sections. Proportions of cytotoxic T-cells (CD8\(^+\)) and regulatory T-cells (CD4\(^+\)CD25\(^+\)FoxP3\(^+\)), within CD45\(^+\)TILs, were measured by flow-cytometry.

Results: By immunohistochemistry, individual densities of intra-tumoral or peri-tumoral CD8\(^+\) and FoxP3\(^+\) cells were not prognostic of survival. However, the intra-tumoral, but not the peri-tumoral, CD8\(^+\)/FoxP3\(^+\) ratio was an independent predictor of survival (HR 0.43, 95%CI 0.19-0.95, \(P = 0.032\)). By flow cytometry, the intra-tumoral CD8\(^+\)/regulatory T-cell ratio was also an independent predictor of survival (HR 0.45, 95%CI 0.20-0.99, \(P = 0.044\)).

Abbreviations: CRCLM, colorectal cancer liver metastasis; CRS, clinical risk score; TIL, tumor infiltrating lymphocytes; TMA, Tissue microarray; Tregs, T-regulatory cells.

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INTRODUCTION

Colorectal cancer is a leading cause of cancer death. It is now standard for limited colorectal-cancer-liver metastases (CRCLM) to be considered for resection. While clinical characteristics, such as the clinical-risk score (CRS), or the resection margin status, can prognosticate patients, the prognosis is far from ideal.

The immune system plays an important role in cancer surveillance and elimination. Tumor infiltrating lymphocytes (TILs) have emerged as potential biomarkers in various cancers. In primary colorectal cancer TILs represent a robust prognostic biomarker that is superior to clinicopathologic characteristics with higher number of TILs associated with improved survival. Naturally, the effect of TILs on prognosis has subsequently been studied in the setting of resected CRCLM. Kito et al showed that the presence of CD8+ TILs in CRCLM was associated with longer tumor doubling times, while Wagner et al showed that TILs isolated from freshly resected CRCLM tumors, and analyzed by flow cytometry, were equally abundant, although more activated, than lymphocytes from the surrounding normal liver tissue. Moreover, at least four studies have directly related the numbers of these TIL cell populations is.

However, TILs are heterogeneously defined in the literature and different T-cell subsets have opposite effects. While cytotoxic CD8+ T-lymphocytes have antitumor properties, CD4+CD25+FoxP3+ T-cells, representing T-regulatory cells (Tregs), have immunosuppressive and tumor-supporting properties. Another source of heterogeneity is the histologic distribution of TILs at the tumor site. The majority of TILs are concentrated in the peri-tumoral area and only a minority penetrates to the tumor tissue itself. It is unclear what the relative significance of these TIL cell populations is.

The aim of this study was to examine the composition and spatial distribution of TILs in CRCLM in relation to prognosis. We focused on the role of CD8+ T-cells and Tregs. We determined the spatial distribution of TILs by using immunohistochemistry on full tissue slides and quantified lymphocytic populations both in the peri-tumoral and intra-tumoral areas separately. We hypothesized that TIL infiltration in the intra-tumoral area will be more prognostic than the peri-tumoral area and that the ratio of immune effector (CD8+) to immune suppressive (Tregs) T-cells will be more prognostic than the density of each individual cell type alone. In addition, we used flow-cytometry on TILs freshly isolated from tumor tissues and compared the results obtained.

MATERIALS AND METHODS

Patient cohort and tissue samples

A total of 47 patients, who underwent resection for CRCLM between September 2009 and November 2011, were enrolled. Fresh liver tumor tissue was used to isolate TILs. Formalin fixed paraffin-embedded tissue, from these same patients, was retrieved from the archive. Baseline characteristics and follow-up information were retrospectively collected from the electronic record. The Clinical Risk Score was calculated according to Fong et al. Patients were included only when no chemotherapy was given for at least 3 months prior to the metastatectomy. The study protocol conforms to the ethical guidelines of the 1975 Declaration of Helsinki and was approved by the Medical Ethical Committee of Erasmus MC.

Immunohistochemistry

Four micrometre thick sections were cut and mounted on Superfrost PlusTM slides. For the stainings a Ventana Benchmark Ultra automated staining system (Ventana Medical System, Tuscon, AZ) was used, in a clinical laboratory setting. For CD8 the sectioned specimens were processed for 16 min antigen retrieval using Cell Conditioning Solution (CC1 Ventana Ref.: 950-124). After 32 min incubation with the primary antibody (clone SP-57, Ventana Ref.: 950-124, ready to use) at 36°C, followed by amplification with Optiview amplification kit (Ventana ref.:760-099), detection and visualization was performed with OptiView Universal DAB detection kit (Ventana Ref.: 760-700). The sections were counterstained with hematoxylin II (Ventana Ref.: 790-2208). For Fox-P3 the same protocol was applied with the exception the sectioned specimens were processed for 64 min antigen retrieval, the primary antibody (clone 236A/E7, e-bioscience) dilution was 1:100, and amplification was performed with the Ultraview amplification kit (Ventana ref.:760-080). Representative stainings are seen in Figure 1.
2.3 Evaluation of immunohistochemistry staining

Stained slides were scanned using NanoZoomer 2.0HT (Hamamatsu Photonic, Shizuoka, Japan) at 40×. Automated digital image analysis was performed using the Visiopharm Integrator System (version 4.2.2.0, Visiopharm, Hoersholm, Denmark). For the intra-tumoral areas several (4-6) randomly chosen large circular areas were analyzed (Figure 2). Necrotic areas where viable cells were virtually absent were excluded. In many cases the total evaluated intra-tumoral area represented the majority of the tumor section. For the peri-tumoral areas, four representative circular areas with a diameter of 0.54 mm (area = 1 high-power field), at the interphase between tumor and surrounding tissue, were analyzed (Figure 2). The definition of the peri-tumoral area is similar to Halama et al.16 CD8+ and FoxP3+ cell densities were measured as cells/mm². All cases were visually reviewed to ensure accuracy.

2.4 TIL isolation and flow-cytometry

Single cell TILs were isolated via tissue digestion as previously described.20,21 Mononuclear cells were analyzed using the following anti-human antibodies: PerCP-labeled anti-CD8 (SK-1) and APC-H7-labeled anti-CD4 (SK3) from BD Biosciences; APC-labeled anti-FoxP3 (PCH101), PeCy7-labeled anti-CD3 (UCHT1), eFluor® 450-labeled anti-CD45 (HI30), and PE-labeled anti-CD25 (BC96) from e-bioscience. The gating strategy has been previously described.20 Numbers of CD8+ TILs were defined as the proportion of CD8+ cells within live CD45+ cells, while numbers of T-regulatory cells were defined as the proportion of CD4+ CD25+ FoxP3+ cells within live CD45+ cells. The gating strategy can be seen in Supplementary Figure S1. More detailed information on TIL isolation and flow-cytometry analysis can be found in the supplementary information.

2.5 Statistical analysis

All analyses were performed in duplicate. Cancer-specific survival and recurrence-free survival were calculated from the date of metastatectomy to the date of event (death from cancer or recurrence of cancer, respectively). Survival curves were estimated by the Kaplan-Meier method. Differences between survival curves were evaluated by the log-rank test. In case of no event patients were censored at the date of last follow-up. The median density or median proportion values of TIL populations were used to discriminate high versus low groups in both immunohistochemistry and flow-cytometry. For multivariate analysis, the Cox proportional Hazard regression analysis was used with both the ENTER method and by backwards variable selection. Patients with missing values for the co-variates of interest were excluded from the statistical analysis. The associations between clinicopathologic parameters and TIL subpopulations were examined using the χ² tests or the T-test as appropriate. Sensitivity analysis was performed by excluding the three patients who underwent a second metastatectomy as well.
as excluding the two patients who had a CEA>200 ng/mL. The statistical analysis was performed using the SPSS© 21 software.

3 | RESULTS

3.1 | Patients and clinicopathologic characteristics

Median time to recurrence was 13.1 months and median survival was 50.9 months. Cancer recurrence occurred in 37/47 patients while 28/47 patients died from colorectal cancer. Baseline characteristics are seen in Table 1. In univariate analysis only a positive lymph-node status and a high pre-operative CEA value were associated with cancer survival (Table 2A), while only the pre-operative CEA value was associated with cancer recurrence (data not shown).

3.2 | CD8⁺ and FoxP3⁺ immunohistochemical distribution

The majority of TILs were located in the peri-tumoral areas (Figure 1 and Figure 2). The median intra-tumoral CD8⁺ and FoxP3⁺ TIL densities were 58.6/mm² (range 8.8-795) and 26.4/mm² (range 0.44-255), respectively. The median peri-tumoral CD8⁺ and FoxP3⁺ TIL densities were 883/mm² (range 409-1731) and 127/mm² (range 8.4-525), respectively.

3.3 | Prognostic value of intra-tumoral and peri-tumoral TILs by immunohistochemistry

Median values were used for cutoffs. Table 2B shows the univariate analysis for the TIL populations in the intra-tumoral and peri-tumoral areas, while Figure 3 shows the respective Kaplan-Meier curves. Neither intra-tumoral nor peri-tumoral CD8⁺ or FoxP3⁺ TIL densities did, individually, predict colorectal-cancer survival. An a priori hypothesis was that the ratio of CD8⁺ to FoxP3⁺ TILs would be a better prognosticator than the individual TIL sub-populations. With a median cutoff of 2.42, a high intra-tumoral CD8⁺/FoxP3⁺ TIL ratio was predictive of improved colorectal cancer survival (HR 0.43, 95%CI 0.19-0.95, P = 0.032). On the other hand, the peri-tumoral CD8⁺/FoxP3⁺ TIL ratio was not predictive of colorectal cancer survival. None of the TIL parameters were predictive of colorectal cancer recurrence.

3.4 | Prognostic value of TILs by flow-cytometry

Flow-cytometry on freshly isolated TILs, representing the intra-tumoral compartment, was used to validate the immunohistochemical findings. Median values were used for cutoffs. While CD8⁺ TILs were not predictive of colorectal cancer survival, high percentages of Tregs within CD45⁺ leukocytes were associated with worse colorectal cancer survival (HR 2.61, 95%CI 1.17-5.83, P = 0.016). In addition, a high CD8⁺/Treg TIL ratio (median cutoff of 7) was associated with improved colorectal-cancer survival (HR 0.45, 95% CI 0.20-0.99, P = 0.044). Figure 3 shows the representative Kaplan-Meier curves. TIL measurement by flow-cytometry was not predictive of recurrence.
Multivariate analysis included all known clinicopathologic characteristics and TIL ratios predictive of survival. Both the intra-tumoral CD8+/FoxP3+ TIL ratio obtained by immunohistochemistry (Table 3) and the CD8+/Treg TIL ratio obtained by flow-cytometry (Table 4) were independent predictors of colorectal cancer survival. From the clinicopathologic characteristics only lymph node status of the primary tumor and pre-operative CEA values were independent predictors of survival (Tables 3 and 4).

### 3.6 Sensitivity analysis

Excluding the three patients who underwent a second curative intent metastatectomy, as well as excluding the two patients with a pre-operative CEA value at or above 200 ng/mL, led to no significant differences in the multivariate analysis. The intra-tumoral CD8+/Treg TIL ratio remained an independent predictor of colorectal cancer survival. We also repeated the multivariate analysis using backward variable selection. We found that the intra-tumoral CD8+/FoxP3+ TIL ratio, obtained by immunohistochemistry, remained an independent predictor colorectal cancer survival (HR 0.43, 95%CI 0.19-0.95, P = 0.038).

### 4 DISCUSSION

We show that the intra-tumoral ratio, but not the peri-tumoral ratio, of effector T-cells (CD8+ cells) to immune inhibitory T-cells (FoxP3+ T-cells), measured by immunohistochemistry, is a predictor of cancer survival in the setting of resected CRCLM. In contrast, intra-tumoral CD8+ T-cells or FoxP3+ T-cells, individually, could not predict survival. In addition, we show that measuring the same ratio of effector T-cells (CD8+ cells) to immune inhibitory T-cells (Tregs) by flow-cytometry, using freshly isolated TILs, can also predict cancer survival following CRCLM resection.

This observation is consistent with the hypothesis that the quality matters more than the quantity of the immune infiltrate. Specifically, ratios of effector to regulatory immune cells may provide a more comprehensive view of what occurs in the tumor microenvironment. In fact, a large meta-analysis of TIL phenotyping, including 33 studies and nearly 10,000 patients, showed that lymphocyte ratios and specifically the CD8/FoxP3 ratio, have more prognostic potential than individual lymphocytic subtype densities. In addition, while a lymphocytic infiltrate may be attracted to the margin of the tumor it does not mean that it will lead to tumor disruption. CRCLM may prevent the migration of TILs into the tumor itself, which may be due to chemokine to chemokine receptor binding and signaling pathways.
mismatch, aberrant microvasculature, cytokine production, a mechanical barrier, or immunosuppression itself.\(^{24}\)

Four prior studies have attempted to examine the prognostic role of TILs in CRCLM. Halama et al\(^ {16}\) examined TIL infiltration on full slides in a 500 μm area from the tumor edge. The area was termed the "invasive margin", which may be similar to what we defined as peritumoral area in our study. In 33 resected patients (68 additional patients had unresectable disease) Halama et al\(^ {16}\) found that high "TIL density" was associated with improved survival. Differences with our study are that Halama et al\(^ {16}\) studied only the area of the peritumoral infiltrate (the invasive margin) and "TIL density" was defined as a combination of CD3, CD8, and granzyme B staining.

A study by Katz et al\(^ {15}\) used three 0.6 mm TMA cores per patient, taken from 188 patients with CRCLM. They showed that a high CD8/FoxP3 ratio (or a low FoxP3/CD8 ratio as defined in their study) predicts improved survival following CRCLM resection, a finding that is in agreement with our immunohistochemical findings. The fact that they used only three small TMA cores means they examined a much smaller area of tumor than in our study but reached a similar conclusion nonetheless. The TMA cores from the Katz et al\(^ {15}\) study likely correspond to the intra-tumoral areas in our study, which may explaining the similarity in our results. Katz et al\(^ {15}\) however, did not examine the peri-tumoral area, given the general difficulty of TMA cores to reliably capture the histologic complexity of that narrow area.

A study by Lee et al\(^ {17}\) examined TMAs consisting of 5 mm cores taken from 79 patients with synchronous only CRCLM. TILs in the liver metastasis were compared to TILs in the corresponding primary tumors. While in univariate analysis a higher FoxP3\(^ +\) density at the metastatic site corresponded to better outcome, in multivariate analysis only CD45RO\(^ +\) cell density at the primary site was prognostic of survival. Our study did not examine the relative role of TILs in the...
corresponding primary sites thus is difficult to compare our findings to
the study by Lee et al. More importantly however, it is unclear where
the TMA cores were taken from and no CD8/FoxP3 ratio was
reported.

Finally, a more recent study by Nakagawa et al. examined
full slides from 162 patients with CRCLM. Both intra-tumoral and
peri-tumoral areas were examined according to the authors. High
peri-tumoral FoxP3 density was found to be associated with
improved survival, while peri-tumoral CD8 and intra-tumoral CD8
and FoxP3 densities did not predict survival individually. Ratios of
CD8 to FoxP3 densities were not examined. In addition, no
definition of what constituted peri-tumoral or intra-tumoral areas
was given.

It is clear from the above studies that significant heterogeneity
in study design and terminology exists. In fact, differences in
methodology, rather than differences in biology, have been
hypothesized before to account in differences amongst study
observations. In the case of CRCLM, only two prior studies have used full slides, allowing for comparison of intra-tumoral and
peri-tumoral TIL populations and none of these studies commented
on the possible significance of the CD8/FoxP3 ratio, which we show
to be the strongest prognostic marker. On the other hand, the study
by Katz et al. which found the CD8/FoxP3 ratio to be prognostic of
survival, concordant to our immunohistochemical findings, did not
examine the peri-tumoral infiltrate. While we validate the findings
of Katz et al. we show, in addition, that it is indeed the intra-
tumoral infiltrate that matters the most.

A novelty of our study is the validation of our immunohisto-
chemical observations by flow-cytometry on prospectively collected
TILs. There are however several differences in determining TIL
densities by immunohistochemistry versus flow-cytometry. First,
immunohistochemistry measures absolute numbers of cells over a
specific area of tissue, while flow-cytometry measures proportions
of cells within the total isolated cells. Second, flow-cytometry can
define cell subpopulations to a more detailed extent. While the use
of FoxP3 to represent Tregs in immunohistochemistry is logical and

| TABLE 3 | Multivariate Cox proportional Hazard regression analysis of intratumoral CD8+/FoxP3+ TIL ratio obtained by immunohistochemistry adjusted for clinicopathologic characteristics |
|---------|-------------------------------------------------|
| Variables | HR  | 95%CI | P-value |
| Age (per decade increase) | 1.33 | 0.81-2.18 | 0.264 |
| Gender | 0.81 | 0.28-2.40 | 0.708 |
| Primary cancer lymph node status | 4.80 | 1.48-15.6 | 0.009 |
| Time to CRCLM <12 months | 0.58 | 0.21-1.58 | 0.285 |
| Largest tumor size >5 cm | 0.40 | 0.97-1.64 | 0.201 |
| >1 metastatic lesion | 1.15 | 0.39-3.36 | 0.798 |
| CEA >200 ng/mL | 10.1 | 0.99-120 | 0.051 |
| Margin status (R0 vs R1) | 0.82 | 0.20-3.41 | 0.786 |
| Intra-tumoral CD8+/FoxP3+ TIL ratio | 0.27 | 0.09-0.81 | 0.020 |

For the TIL ratios median values were used as cutoffs. Bold values signify statistically significant parameters.

| TABLE 4 | Multivariate Cox proportional Hazard regression analysis of CD8+/Treg TIL ratio obtained by flow cytometry adjusted for clinicopathologic characteristics |
|---------|-------------------------------------------------|
| Variables | HR  | 95%CI lower limit/upper limit | P-value |
| Age (per decade increase) | 1.64 | 0.96-2.83 | 0.073 |
| Gender | 0.44 | 0.14-1.42 | 0.169 |
| Primary cancer lymph node status | 8.09 | 2.01-32.6 | 0.003 |
| Time to CRCLM <12 months | 0.77 | 0.28-2.13 | 0.615 |
| Largest tumor size >5 cm | 0.41 | 0.11-1.44 | 0.162 |
| >1 metastatic lesion | 0.58 | 0.19-1.83 | 0.353 |
| CEA >200 ng/mL | 45.1 | 3.88-525 | 0.002 |
| Margin status (R0 vs R1) | 1.14 | 0.34-5.96 | 0.637 |
| CD8+/Treg TIL ratio | 0.28 | 0.10-0.84 | 0.022 |

For the TIL ratios median values were used as cutoffs. Bold values signify statistically significant parameters.
justified,25 this strategy has its limitations. For example, FoxP3 can in humans, in contrast to mice, also be expressed by activated helper T-cells.26,27 In addition, activation-induced FoxP3 in human T-effector cells does not suppress proliferation or cytokine production.27 Finally, since flow cytometry uses macroscopically resected material, it is virtually impossible to exclude the possibility that some of the peri-tumoral region is present with the tumor sample in some of the cases. On the other hand the distinction of intra-tumoral and peri-tumoral compartments can be accurately made with immunohistochemistry.

No association of immune infiltrate with cancer recurrence was found. However, overall survival likely reflects the biologic behavior of colorectal cancer much better than recurrence. While recurrence is undoubtedly a surrogate of cancer survival, many patients with recurrence still enjoy long term survival, or cure, due to additional interventions. A recurrence may not represent aggressive biologic behavior, but rather metastatic lesions too small to be clinically detected at the time of initial metastatectomy.

While our study has several strengths it also has limitations. The main limitation relates to the sample size of our study, stemming from the need for both prospectively collected flow-cytometric data and retrospectively collected immunohistochemistry data, in the same patients. However, despite our sample size, which is comparable to other similar studies using full slides, and we were able to statistically confirm our a priori generated hypothesis.

5 | CONCLUSIONS

The ratio of cytotoxic (CD8+) to regulatory (FoxP3+) T-cells, in the intra-tumoral compartment, but not in the peri-tumoral compartment, can predict survival after resection of CRCLM.

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