ESD versus EMR in non-ampullary superficial duodenal tumors: a systematic review and meta-analysis

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Methods This systematic review and meta-analysis compared ESD and endoscopic mucosal resection (EMR) in sporadic non-ampullary superficial duodenal tumors (NASDTs), including local experience. We conducted a search in PubMed, Scopus and the Cochrane library up to August 2017 to identify studies that compared both techniques reporting at least one main outcome (en-bloc/complete resection, local recurrence). Pooled outcomes were calculated under fixed and random-effect models. Subgroup analyses were conducted.

Results A total of 753 patients presenting with 784 NASDTs (242 ESD, 542 EMR) in 14 studies were included. Tumor size (MD: 5.88, [CI95%: 2.15, 9.62], P = 0.002, I² = 79%) and procedure time (MD: 65.65, [CI95%: 40.39, 90.92], P < 0.00001, I² = 88%) were greater in the ESD group. En-bloc resection rate was significantly higher in Asian studies (OR: 2.16 [CI95%: 1.15, 4.08], P = 0.02, I²: 46%). ESD provided a higher complete resection rate (OR: 1.63 [CI95%: 1.06, 2.50], P = 0.03, I²: 59%), but there was no risk difference in the risk of local recurrence (RD: –0.03 [CI95%: –0.07, 0.01], P = 0.15, I²: 0%) or delayed bleeding. ESD was associated with an increased number of intraoperative perforations (RD: 0.12 [CI95%: 0.04, 0.20], P = 0.002, I²: 56%) and emergency surgery for delayed perforations. The inclusion of eligible studies was limited to retrospective series with inequalities in comparative groups.

Conclusions Duodenal ESD for NASDTs may achieve higher en-bloc and complete resections at the expense of a greater perforation rate compared to EMR. The impact on local recurrence remains uncertain.

Introduction
Endoscopic submucosal dissection (ESD) has been widely accepted and was developed treatment of esophageal, gastric, colonic and rectal lesions, allowing high en-bloc and curative resection rates with a satisfactory safety profile [1–3]. However, duodenal lesions are uncommon and there is no consensus on the role of ESD in duodenal tumors. Indeed, there are no randomized studies or meta-analyses assessing ESD vs. endoscopic mucosal resection (EMR) outcomes and the European Society of Gastrointestinal Endoscopy does not recommend ESD in the duodenum [6]. Conversely, ESM has been reported to be an effective therapeutic option in sporadic non-ampullary duodenal tumors [7], but resections in piecemeal fashion may lead to a non-negligible recurrence rate [8]. Thus, the duodenum seems to be the new barrier of ESD, as the usefulness and safety of this technique remain unclear. The aim of the current systematic review and meta-analysis was to com-
paratively assess the characteristics and outcomes of ESD and EMR procedures for non-ampullary superficial duodenal tumors (NASDTs) who underwent EMR and ESD procedures.

**Methods**

**Search strategy**

A literature search was conducted in MEDLINE (through PubMed), Scopus and the Cochrane Library up to August 6, 2017. The medical terms “((ESD OR endoscopic submucosal dissection) OR (EMR OR endoscopic mucosal resection)) AND (duodenal OR duodenum OR small bowel OR non-ampullary)” were used. Two review authors (EPCR, LQ) independently screened references and selected studies for inclusion, assessed eligibility and validity of each study and extracted data. Any disagreements were resolved by reviewing an article and settled by consensus. We also searched the references of included articles to identify other potentially relevant articles (citing reference search). A parallel manual search was also performed using Google Scholar. All human studies subjected to adult population (> 18 years old) and published in English were considered. All duplicate studies were removed.

First, titles and abstracts of papers were examined to exclude irrelevant articles. Next, the full text of all selected studies was screened according to inclusion and exclusion criteria. Efforts were made to contact the corresponding author if the study information was incomplete. The Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) Statement has been used in the preparation of this manuscript. The current review and meta-analysis was prospectively registered in PROSPERO database (CRD42017073197) and approved by the local Ethics Committee.

**Selection criteria**

Eligibility criteria for the included studies relied on previously published guidelines for systematic reviews and were based on the PICO framework: P (Population – patients with non-ampullary duodenal neoplasms), I (Intervention – endoscopic resection by EMR and ESD), C (Comparative intervention – EMR/ESD), and O (Outcomes – at least one of the following main comparative outcomes: en-bloc resection, complete resection, recurrence). Secondary outcomes were bleeding and perforation. Meeting abstracts, reviews, editorials, opinions, letters and surveys were excluded. Studies reporting only on duodenal ESD or EMR without a comparative analysis were also excluded. Studies including exclusively submucosal tumors were not considered. However, studies including both superficial and subepithelial lesions were considered.

**Data extraction and quality assessment**

Data extraction was carried out using a standardized collection sheet. Study characteristics collected included year of publication, study period, primary country of the study, study design, number of patients and lesions, sporadic or non-sporadic status, mean age, sex distribution, tumor size, location and procedure time. Risk of bias (quality) assessment was independently asses-
sed (EPCR, LQ) with Newcastle-Ottawa quality Assessment scale (NOS) according to the Cochrane Non-Randomized Studies Methods Working Group. Quality scores of studies range from zero to nine in three categories (selection, comparability, and outcome). We classified the study quality according to the study score into poor (0–3), moderate (4–6) and high (7–9). No study was excluded based on this score, but a sensitivity analysis to account for the effect of poor quality studies was planned.

### Outcomes

Main outcomes included en-bloc resection, complete resection and recurrence rates. Intraoperative or delayed (post-procedure) adverse events (AEs) (bleeding and perforation) were the secondary outcomes. Lack of data and different definitions from distinct cohorts prevented formal meta-analyses for intraoperative bleeding.

#### Table 1: Study characteristics of publications included in the systematic review and meta-analysis.

| Study                  | Cohort study design | Country   | Patients | Age          | Enrollment period | Lesions n (ESD/EMR) | Main outcome measures |
|------------------------|---------------------|-----------|----------|--------------|-------------------|----------------------|-----------------------|
| Pérez-Cuadrado-Robles (2018) [23] | Single-center, retrospective | Belgium  | 150      | 66 (31 – 83) | 2005 – 2017       | 166 (37,129)         | En-bloc and complete resection, local recurrence |
| Hoteya (2017) [10]     | Single-center, retrospective | Japan  | 129      | 61 ± 11.2 (range: 32 – 86) | 2005 – 2015       | 129 (74,55)           | En-bloc and complete resection, local recurrence |
| Teoh (2015) [22]      | Multicenter, retrospective | Hong-Kong | 12      | –           | 2010 – 2013       | 12 (6,6)              | En-bloc resection |
| Nonaka (2015) [12]    | Single-center, retrospective | Japan  | 113      | 61.7 ± 11.9 | 2000 – 2013       | 121 (8,113)           | En-bloc and complete resection, local recurrence |
| Park (2015) [11]      | Multicenter, retrospective | Korea  | 51       | 59.5 ± 12.5 | 2002 – 2013       | 51 (6,45)             | En-bloc and complete resection, local recurrence |
| Inoue (2014) [17]     | Single-center, retrospective | Japan  | 59       | 58          | 1993 – 2011       | 63 (10,53)            | En-bloc resection |
| Basford (2014) [21]   | Multicenter, retrospective | United Kingdom | 34      | 69 (48 – 87) | 2005 – 2012       | 34 (13,21)            | En-bloc resection, local recurrence |
| Matsumoto (2014) [13] | Single-center, retrospective | Japan  | 44       | 65 ± 9 (35 – 79) | 2005 – 2013       | 46 (15,31)            | En-bloc and complete resection, local recurrence |
| Yamamoto (2014) [14]  | Single-center, retrospective | Japan  | 47       | 65.8 ± 12.4 | 2006 – 2013       | 47 (30,17)            | En-bloc and complete resection, local recurrence |
| Kakushima (2014) [18] | Single-center, retrospective | Japan  | 23       | 68 (43 – 81) | 2002 – 2012       | 23 (13,10)            | En-bloc and complete resection, local recurrence |
| Seo (2014) [15]       | Single-center, retrospective | Korea  | 40       | 59.9 (39 – 83) | 2003 – 2012       | 40 (7,33)             | En-bloc and complete resection, local recurrence |
| Zhong (2012) [20]     | Single-center, retrospective | China  | 21       | 55 (29 – 72) | 2007 – 2011       | 21 (9,12)             | En-bloc and complete resection, local recurrence |
| Endo (2010) [19]      | Single-center, retrospective | Japan  | 16       | 66.5 (53 – 80) | 2005 – 2009       | 16 (5,11)             | En-bloc and complete resection, local recurrence |
| Honda (2009) [16]     | Single-center, retrospective | Japan  | 14       | 60.7 ± 12   | 2005 – 2008       | 15 (9,6)              | En-bloc resection |

ESD, endoscopic submucosal dissection; EMR, endoscopic mucosal resection

1 All studies but Teoh considered the secondary outcomes (intraoperative/delayed perforation and delayed bleeding).
Statistical analysis

All analyses were performed according to original treatment allocation (intention-to-treat analysis). To assess comparability of groups at the baseline, the mean differences (MD) and 95% CIs were estimated using the inverse variance weighting, such as age, sex, tumor’s size, and follow-up times. When means and/or standard deviations were not reported in the original paper, they were estimated from reported medians, ranges and sample size [9].

For binary outcome data, the odds ratio (OR) and 95% CIs were used. En-bloc and complete resection outcomes were calculated under a fixed-effect model described by Mantel-Haenszel. As clinical heterogeneity of study participants, follow-up and definitions of bleeding and perforation were present among the studies selected for the meta-analysis, combined risk difference (RD) for the association of EMR/ESD and secondary outcomes or local recurrence was pooled under a random-effects model. The RD was used to evaluate AEs or tumor recurrence because they may not have occurred in some groups. Heterogeneity analysis was performed using the Tau and I² index. If I²>50%, potential sources of heterogeneity were identified by sensitivity analyses conducted by omitting one study at a time and investigating the influence on the overall pooled estimate. Potential publication biases were assessed by funnel-plot visual analysis to point out whether small studies had larger effect sizes than would be expected. A two-sided P value <0.05 was considered statistically significant. Statistical analysis was performed with RevMan v.5.3 (Cochrane Library, Oxford, UK) and SPSS v.23 (IBM, SPSS, Illinois, United States).

| Study or subgroup | ESD Events | ESD Total | EMR Events | EMR Total | Weight | Odds Ratio M-H, fixed | 95% CI | Odds Ratio M-H, fixed | 95% CI |
|-------------------|------------|-----------|------------|-----------|--------|----------------------|-------|----------------------|-------|
| **1. 1. 1 Asian Studies** |            |           |            |           |        |                      |       |                      |       |
| Hoteya (2017)     | 73         | 74        | 43         | 55        | 1.9 %  | 20.37 [2.56, 162.17] |       |                      |       |
| Teoh (2015)       | 5          | 6         | 6          | 6         | 3.9 %  | 0.28 [0.01, 8.42]    |       |                      |       |
| Nonaka (2015)     | 6          | 8         | 71         | 113       | 6.6 %  | 1.77 [0.34, 9.20]    |       |                      |       |
| Park (2015)       | 4          | 6         | 35         | 45        | 7.8 %  | 0.57 [0.09, 3.59]    |       |                      |       |
| Inoue (2014)      | 10         | 10        | 48         | 53        | 2.1 %  | 2.38 [0.12, 46.46]   |       |                      |       |
| Matsumoto (2014)  | 13         | 15        | 26         | 31        | 6.4 %  | 1.25 [0.21, 7.34]    |       |                      |       |
| Yamamoto (2014)   | 30         | 30        | 14         | 17        | 0.8 %  | 14.72 [0.71, 304.27] |       |                      |       |
| Kakushima (2014)  | 13         | 13        | 10         | 10        | Not estimable |          |       |                      |       |
| Seo (2014)        | 5          | 7         | 32         | 33        | 9.1 %  | 0.08 [0.01, 1.03]    |       |                      |       |
| Zhong (2012)      | 9          | 9         | 12         | 12        | Not estimable |          |       |                      |       |
| Endo (2010)       | 5          | 5         | 10         | 11        | 1.6 %  | 1.57 [0.05, 45.37]   |       |                      |       |
| Honda (2009)      | 9          | 9         | 5          | 6         | 0.9 %  | 5.18 [0.18, 150.45]  |       |                      |       |
| **Subtotal (95% CI)** | 192       | 392       | 41.2 %     | 2.16 [1.15, 4.08] |       |                      |       |                      |       |
| **Total events**  | 182        | 312       |            |            |        |                      |       |                      |       |
| Heterogeneity: Chi² = 16.52, df = 9 (P = 0.06); I² = 46 % | | | | | | | |
| Test for overall effect: Z = 2.39 (P = 0.02) | | | | | | | |

| **1. 1. 2 Western Studies** |            |           |            |           |        |                      |       |                      |       |
| Pérez-Cuadrado-Robles (2018) | 11         | 37        | 57         | 129       | 50.5 % | 0.53 [0.24, 1.17]    |       |                      |       |
| Basford (2014)             | 8          | 13        | 10          | 21        | 8.3 %  | 1.76 [0.43, 7.19]    |       |                      |       |
| **Subtotal (95% CI)**      | 50         | 150       | 58.8 %     | 0.71 [0.36, 1.38] |       |                      |       |                      |       |
| **Total events**            | 19         | 67        |            |            |        |                      |       |                      |       |
| Heterogeneity: Chi² = 2.10, df = 1 (P = 0.15); I² = 52 % | | | | | | | |
| Test for overall effect: Z = 1.01 (P = 0.31) | | | | | | | |

| **Total (95% CI)** | 242 | 542 | 100.0 % | 1.31 [0.84, 2.03] |       |                      |       |                      |       |
| **Total events**   | 201 | 379 |            |            |        |                      |       |                      |       |
| Heterogeneity: Chi² = 21.43, df = 11 (P = 0.03); I² = 49 % | | | | | | | |
| Test for overall effect: Z = 1.20 (P = 0.23) | | | | | | | |
| Test for subgroup differences: Chi² = 5.65, df = 1 (P = 0.02), I² = 82.3 % | | | | | | | |

Fig. 2 Forest plot for the association between the endoscopic resection technique and en-bloc resection (event/total) using a fixed-effects model and subgroup analysis in non-ampullary superficial duodenal tumors. ESD, endoscopic submucosal dissection; EMR, endoscopic mucosal resection.
Results

Identification of eligible studies

The search identified a total of 602 articles and 28 full-text records were assessed for eligibility after screening and full text review. Finally, 14 studies [10–23] were included in the current meta-analysis. The flow-chart is shown in ▶ Fig. 1.

Study characteristics

Characteristics of included studies are shown in ▶ Table 1. A total of 753 patients presenting with 784 NASDTs (242 ESD, 542 EMR) were included. All studies had a retrospective design and were published between 2009 and 2017 in Eastern (n = 12) or Western countries (n = 2). Assessment of study quality based on NOS resulted in high (n = 5), moderate (n = 7) and low (n = 2) scores.

Endoscopic resection of NASDTs was indicated based on tumor characteristics and suspected histology. Endoscopic treatment was only indicated in adenomas > 10 mm or confirmed adenocarcinomas in one study [12] while it was only indicated in lesions ≤ 20 mm presenting with high-grade dysplasia or mucosal cancer in another report [14]. Thus, in some studies, endoscopic resection was exclusively performed in suspected adenoma [12, 19], high-grade dysplasia or non-invasive carcinoma based on endoscopic findings or preoperative biopsies [10, 14], excluding lesions with a final non-adenomatous histology [15–17, 20–21]. The reasons for choosing EMR or ESD were very heterogeneous among the different authors. The overall choice was based on tumor characteristics (macroscopic morphology), scope maneuverability and the feasibility of en bloc resection by EMR [10, 12, 13, 15, 19, 23]. In this regard, ESD appeared to be the chosen technique in depressed tumors [14, 17, 18], and adenomas ≥ 20 mm in diameter was the main indication for piecemeal EMR [21] or ESD approach [23]. However, several series did not provide enough information in this regard [11, 16, 20, 22].

Although all the studies were of superficial lesions, three articles also included neuroendocrine tumors [11, 13, 22]. Additionally, only five authors [11, 14, 16, 19, 23] excluded pedunculated lesions. Indeed, the EMR outcomes were mixed with those of polypectomy technique (no submucosal injection) in four studies [12, 15, 18, 20], however in two cases [15, 20] it...
was possible to separate and analyze the data consequently in the meta-analysis. The "injection of snaring" technique during EMR was carried out in all studies with or without a cap on the tip of the endoscope, but there were some reports also considering patients with "strip biopsy" technique [12,17]. In addition, a double-balloon enteroscope was used in two studies [16,19] to improve scope positioning and maneuverability. Regarding specific backgrounds, five studies only included sporadic NASDTs [11,15,19,21,23] and two studies [12,18] considering Asian authors. The pooled OR showed a higher pooled effect for ESD en-bloc resection rate (OR: 2.16 [CI 95%: 1.15, 4.08], P = 0.02) with a similar heterogeneity. The random effect model was also performed with no differences in pooled effect.

Complete resection rate

Complete resection outcome was described by 10 authors. The definition was homogeneous even if histopathological assessment was poorly described in some series. The pooled OR favored ESD (OR: 1.63 [CI 95%: 1.06, 2.50], P = 0.03) but heterogeneity among different papers was significant (Fig. 3). Interestingly, subgroup analysis showed a higher pooled effect for ESD (OR: 2.77 [CI 95%: 1.71, 4.76], P<0.001) with much lower heterogeneity when considering Asian authors. Additionally, one study reported higher complete resection rates than en-bloc resection rates [11].

Local recurrence

Follow-up was described in all but three studies [16,17,22] and the median observation period ranged from 6 to 51 months with not established minimum follow-up in most cases. However, median follow-up time was longer with ESD [10,11,13] or EMR [14] procedures with no subgroup information in the remaining studies. Additionally, three authors reported a loss to follow-up of >20% of the population. Notably, Matsumoto [13], Nonaka [12] and Park [11] described a loss to follow-up of 41%, 33% and 33%, respectively. In addition, there was one

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**Table:**

| Study or subgroup | ESD Events | ESD Total | EMR Events | EMR Total | Weight | Risk difference | Risk difference |
|------------------|------------|-----------|------------|-----------|--------|-----------------|----------------|
| Pérez-Cuadrado-Robles (2018) | 5 | 34 | 17 | 102 | 8.2% | -0.02 | [-0.16, 0.12] |
| Hoteya (2017) | 0 | 74 | 2 | 55 | 49.0% | -0.04 | [-0.09, 0.02] |
| Nonaka (2015) | 0 | 8 | 0 | 113 | 7.1% | 0.00 | [-0.15, 0.15] |
| Park (2015) | 0 | 5 | 1 | 29 | 2.9% | -0.03 | [-0.27, 0.20] |
| Basford (2014) | 5 | 13 | 5 | 20 | 15.5% | 0.13 | [-0.19, 0.46] |
| Matsumoto (2014) | 0 | 12 | 1 | 14 | 4.7% | -0.07 | [-0.26, 0.11] |
| Yamamoto (2014) | 0 | 30 | 1 | 17 | 8.8% | -0.06 | [-0.19, 0.08] |
| Kakushima (2014) | 0 | 13 | 0 | 10 | 6.5% | 0.00 | [-0.16, 0.16] |
| Seo (2014) | 0 | 7 | 0 | 33 | 5.4% | 0.00 | [-0.17, 0.17] |
| Zhong (2012) | 0 | 9 | 1 | 12 | 3.3% | -0.08 | [-0.30, 0.14] |
| Endo (2010) | 0 | 5 | 0 | 11 | 2.6% | 0.00 | [-0.25, 0.25] |
| Total (95% CI) | 210 | 416 | 100.0% | -0.03 | [-0.07, 0.01] |

Heterogeneity: Tau² = 0.00; Chi² = 2.35, df = 10 (P = 0.99); I² = 0%
Test for overall effect: Z = 1.45 (P = 0.15)

**Fig. 4** Forest plot for the risk difference (RD) in local recurrence rates (event/total) between endoscopic submucosal dissection (ESD) and endoscopic mucosal resection (EMR) using a random-effects model in non-ampullary superficial duodenal tumors.
study with follow-up still ongoing [19], but it was considered for local recurrence pooled analysis. A follow-up biopsy was performed at the discretion of the endoscopist based on endoscopic findings in all studies, but this information was rarely reported. Thus, local recurrence was reported by 11 authors in 584 patients, with no risk difference in both groups (RD: –0.03 [95% CI: –0.07, 0.01], P = 0.15) (▶ Fig. 4). Subgroup analysis was not performed as heterogeneity was 0%.

Delayed bleeding

Delayed bleeding was retained if melena or hematemesis requiring endoscopic hemostasis or further therapy was reported after the completion of the procedure [10]. Blood transfusion requirement [11,14] and a decrease in hemoglobin of 2 g [15] were also included in the definition. That outcome was not sufficiently explained in the methodology of five studies [13,14,16,18,19]. Finally, pooled risk of bleeding was similar in ESD or EMR groups (▶ Fig. 5). Endoscopic hemostatic therapy was successful in all reported cases.

Perforation

All studies considered intraoperative and delayed perforation defined by free air on radiological examinations. However, that information was not available in one case [22]. The definition was not explained in six cases [13,16,18–21] and only two authors [11,23] made the difference between major and minor perforation based on whether intra-abdominal space was directly observed. Overall, there were more perforations in the ESD group, and the low RD was similar for intra-procedural and delayed perforation when subgroup analysis was carried out, but the difference was not statistically significant for delayed perforation (▶ Fig. 6). Although endoscopic treatment was successful for closing the perforation in most patients, emergency surgery was required in 0% to 33% after ESD. There was only one study reporting emergency surgery in a patient who underwent EMR [11] and the remaining interventions were carried out following ESD procedures.

Publication bias

Considering the main outcomes, the funnel plot was slightly asymmetrical, suggesting publication bias probably related to heterogeneity within different studies (▶ Fig. 7). To account for this possibility, we repeated our models pooling data from only high to moderate quality studies and found our results to be robust. However, there were different effects considering Western and Eastern studies. The funnel plot for secondary outcomes showed that the studies were reasonably well scattered with low risk of publication bias. When a single study involved in the meta-analysis was deleted each time, the results of pooled meta-analysis for bleeding and perforation remained unchanged, indicating that the results were stable.

Discussion

To the best of our knowledge, the current meta-analysis represents the first systematic review comparatively assessing outcomes of ESD and EMR in sporadic NASDTs. The knowledge that ESD increases en-bloc and complete resection rates compared to EMR has led to major changes in clinical practice in the last decade [24]. However, there is not enough evidence supporting ESD in duodenal superficial tumors, where feasibility and safety are issues of major concern [25]. Recently, duo-
denal ESD has been reported as a safe procedure by using the pocket-creation method [26] or cooperative surgery [27, 28]. In our meta-analysis, we excluded studies assessing duodenal ESD without comparative evaluation with EMR for the same population. Our study highlights the challenges of small retrospective series with heterogeneous lesions that often lack the sample size necessary to tease out important endoscopic and clinical outcomes. All included studies in the review had a retrospective design and the proportion of ESD/EMR lesions varied widely, probably reflecting a selection bias. In this sense, there were authors that considered as indications for ESD large lesions (>10–20 mm) for which en-bloc resection by EMR was not possible, lesions suspected of noninvasive cancer [10, 13, 16, 19] and depressed tumors [10, 14, 17, 18]. Thus, the larger

| Study or subgroup | ESD Events | ESD Total | EMR Events | EMR Total | Weight | Risk difference M-H, random, 95 % CI | Risk difference M-H, random, 95 % CI |
|-------------------|------------|-----------|------------|-----------|--------|--------------------------------------|--------------------------------------|
| Pérez-Cuadrado-Robles (2018) | 5 | 37 | 3 | 129 | 5.6 % | 0.11 [-0.00, 0.23] |  |
| Hoteya (2017) | 20 | 74 | 1 | 55 | 5.9 % | 0.25 [0.14, 0.36] |  |
| Nonaka (2015) | 1 | 8 | 0 | 113 | 2.3 % | 0.13 [-0.12, 0.37] |  |
| Park (2015) | 2 | 6 | 2 | 45 | 1.1 % | 0.29 [-0.09, 0.67] |  |
| Inoue (2014) | 0 | 10 | 2 | 53 | 4.8 % | -0.04 [-0.17, 0.10] |  |
| Basford (2014) | 0 | 13 | 0 | 21 | 5.5 % | 0.00 [-0.12, 0.12] |  |
| Matsumoto (2014) | 3 | 15 | 0 | 31 | 2.9 % | 0.20 [-0.01, 0.41] |  |
| Yamamoto (2014) | 2 | 30 | 0 | 17 | 5.3 % | 0.07 [-0.06, 0.19] |  |
| Kakushima (2014) | 3 | 13 | 0 | 10 | 2.1 % | 0.23 [-0.03, 0.49] |  |
| Seo (2014) | 3 | 7 | 0 | 33 | 1.3 % | 0.43 [0.08, 0.77] |  |
| Zhong (2012) | 0 | 9 | 0 | 12 | 3.8 % | 0.00 [-0.17, 0.17] |  |
| Endo (2010) | 1 | 5 | 0 | 11 | 1.2 % | 0.20 [-0.16, 0.56] |  |
| Honda (2009) | 1 | 9 | 0 | 6 | 1.8 % | 0.11 [-0.18, 0.40] |  |

Subtotal (95 % CI) 236 536 43.7 % 0.12 [0.04, 0.20]

Total events 41 8

Heterogeneity: Tau² = 0.01; Chi² = 27.39, df = 12 (P = 0.007); I² = 56 %
Test for overall effect: Z = 3.08 (P = 0.002)

6.2.2 Delayed Perforation

| Study or subgroup | ESD Events | ESD Total | EMR Events | EMR Total | Weight | Risk difference M-H, random, 95 % CI | Risk difference M-H, random, 95 % CI |
|-------------------|------------|-----------|------------|-----------|--------|--------------------------------------|--------------------------------------|
| Pérez-Cuadrado-Robles (2018) | 1 | 37 | 0 | 129 | 7.7 % | 0.03 [-0.04, 0.09] |  |
| Hoteya (2017) | 1 | 74 | 0 | 55 | 8.5 % | 0.01 [-0.03, 0.05] |  |
| Nonaka (2015) | 1 | 8 | 0 | 113 | 2.3 % | 0.13 [-0.12, 0.37] |  |
| Park (2015) | 0 | 6 | 0 | 45 | 3.2 % | 0.00 [-0.19, 0.19] |  |
| Inoue (2014) | 2 | 10 | 2 | 53 | 2.2 % | 0.16 [-0.09, 0.42] |  |
| Basford (2014) | 0 | 13 | 0 | 21 | 5.5 % | 0.00 [-0.12, 0.12] |  |
| Matsumoto (2014) | 0 | 15 | 0 | 31 | 6.3 % | 0.00 [-0.10, 0.10] |  |
| Yamamoto (2014) | 1 | 30 | 0 | 17 | 5.9 % | 0.03 [-0.07, 0.14] |  |
| Kakushima (2014) | 1 | 13 | 0 | 10 | 3.0 % | 0.08 [-0.13, 0.28] |  |
| Seo (2014) | 0 | 7 | 0 | 33 | 3.7 % | 0.00 [-0.17, 0.17] |  |
| Zhong (2012) | 0 | 9 | 0 | 12 | 3.8 % | 0.00 [-0.17, 0.17] |  |
| Endo (2010) | 0 | 5 | 0 | 11 | 2.3 % | 0.00 [-0.25, 0.25] |  |
| Honda (2009) | 1 | 9 | 0 | 6 | 1.8 % | 0.11 [-0.18, 0.40] |  |

Subtotal (95 % CI) 236 536 56.3 % 0.02 [-0.01, 0.05]

Total events 8 2

Heterogeneity: Tau² = 0.00; Chi² = 4.48, df = 12 (P = 0.97); I² = 0 %
Test for overall effect: Z = 1.40 (P = 0.16)

Total (95 % CI) 472 1072 100.0 % 0.07 [0.02, 0.11]
Total events 49 10

Heterogeneity: Tau² = 0.01; Chi² = 55.67, df = 25 (P = 0.0004); I² = 55 %
Test for overall effect: Z = 2.99 (P = 0.003)
Test for subgroup differences: Chi² = 5.85, df = 1 (P = 0.02); I² = 82.9 %

▶ Fig. 6 Intraoperative and delayed perforation rates (event/total) for endoscopic submucosal dissection (ESD) versus endoscopic mucosal resection (EMR) for non-ampullary superficial duodenal tumors.
tumor size in the ESD group may have underestimated the ESD main outcomes and influenced the comparative analysis with EMR. Additionally, an elective hybrid-ESD approach was carried out in small lesions to increase the probability of en-bloc resection with a snare [16], or challenging large tumors [21, 23]. Finally, most authors agreed that the choice of the technique was made at the discretion of the endoscopist or during a consensus committee [12].

En-bloc resection by EMR of lesions greater than 20 mm [12] or located near the pyloric ring may be difficult. The pooled meta-analysis favored ESD compared to EMR in Asian setting (OR: 2.16 [95 CI: 1.15, 4.08], P=0.02). Similarly, pooled results of the included studies suggest that complete resection may be higher in ESD approach. In subgroup analysis, OR slightly increased and low heterogeneity resulted. Although en-bloc and complete resection were significantly higher in ESD procedures when considering Asian studies, the results should be interpreted with caution, as the magnitudes of the effects were quite modest. Navaneethan [29] reported a pooled recurrence rate of 15% after initial EMR with no increased risk based on whether polypectomy was en-bloc or piecemeal. Our systematic review and meta-analysis provides no support for the hypothesis that ESD is associated with a lower recurrence rate, but this could be because of insufficient power and follow-up duration [30]. Interestingly, most local recurrences were managed successfully by further endoscopic resection. Perforations may be associated with hybrid ESD/piecemeal approach, tumor size [10], or the duodenal ESD technique itself [31]. Notably, intraoperative perforation was associated with ESD in our analysis. Emergency surgery was more frequently required after delayed perforation as previously described [25]. Sensitivity analysis for secondary outcomes did not change any meta-analysis result/efficacy substantially.

Strengths and limitations

Strengths of our review include a systematic and rigorous approach to identification of retrospective studies investigating the role of ESD and EMR in duodenum, as well as the comprehensive nature of our literature search in Western and Asian settings. The main limitation of the meta-analysis relies on the retrospective design and inequalities of comparative groups of included studies, presenting without cofactor adjustment. Long inclusion periods from tertiary centers with different learning curves, different patient populations and heterogeneous clinical settings may have also influenced the results.

Conclusions

In summary, ESD may achieve higher rates of en-bloc and complete resection compared to duodenal EMR but the impact on local recurrence is uncertain. Remarkably, the intraoperative perforation rate may be higher following ESD and leads to emergency surgery in delayed perforations. However, the validity of these meta-analyses is debatable and further prospective or controlled trials that include lesions of comparative characteristics for both techniques are still needed to elucidate the role of ESD in the duodenum.

Competing interests

None
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