The effects of posterior cruciate ligament deficiency on posterolateral corner structures under gait- and squat-loading conditions

A COMPUTATIONAL KNEE MODEL

Objectives
The aim of the current study was to analyse the effects of posterior cruciate ligament (PCL) deficiency on forces of the posterolateral corner structure and on tibiofemoral (TF) and patellofemoral (PF) contact force under dynamic-loading conditions.

Methods
A subject-specific knee model was validated using a passive flexion experiment, electromyography data, muscle activation, and previous experimental studies. The simulation was performed on the musculoskeletal models with and without PCL deficiency using a novel force-dependent kinematics method under gait- and squat-loading conditions, followed by probabilistic analysis for material uncertain to be considered.

Results
Comparison of predicted passive flexion, posterior drawer kinematics and muscle activation with experimental measurements showed good agreement. Forces of the posterolateral corner structure, and TF and PF contact forces increased with PCL deficiency under gait- and squat-loading conditions. The rate of increase in PF contact force was the greatest during the squat-loading condition. The TF contact forces increased on both medial and lateral compartments during gait-loading conditions. However, during the squat-loading condition, the medial TF contact force tended to increase, while the lateral TF contact forces decreased. The posterolateral corner structure, which showed the greatest increase in force with deficiency of PCL under both gait- and squat-loading conditions, was the popliteus tendon (PT).

Conclusion
PCL deficiency is a factor affecting the variability of force on the PT in dynamic-loading conditions, and it could lead to degeneration of the PF joint.

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Keywords: Knee biomechanics, Knee injury, Posterior cruciate ligament

Article focus
- This study considered the predicted kinematics and muscle activation of the knee in a dynamic situation and assessed the biomechanical effect of posterior cruciate ligament (PCL) deficiency on posterolateral corner structures and joint contact forces of the knee.

Key messages
- The popliteal tendon was the most important posterolateral structure in regards to the condition of PCL deficiency.
- Degeneration of the patellofemoral joint could be caused by high flexion dynamic activity.

Strengths and limitations
- Strength: this study validated a novel biomechanical methodology applied to the condition of the dynamic knee, particularly the posterolateral corner, which has rarely been studied.
operative interventions.7–9 Medial-sided injuries, in particular, can be conservatively healed using non-operative treatment methods. In contrast, lateral-sided and injuries to the posterolateral corner structure require, and have been more successfully treated with, surgical repair and/or reconstruction.10–12

Posterolateral corner structure, the injury of which often accompanies that of PCL, contains the lateral collateral ligament (LCL), popliteus tendon (PT), and popliteofibular ligament (PFL). LCL and PFL are the primary static stabilizers of the tibiofemoral (TF) and patellofemoral (PF) joints.13,14 In a previous study that included 38 patients with a mean follow-up of 13.4 years post-PCL injury, eight patients underwent surgery for a meniscal tear, and radiographic examinations revealed that the rate of articular cartilage degeneration increased.15

In order to understand better the mechanism underlying PCL deficiency, several biomechanical research studies have evaluated the force exerted on the posterolateral corner structures and contact forces on the TF and PF joints. In previous studies, the force exerted on the PCL with selective cutting of the posterolateral corner structure was evaluated.16–19 Most of these studies were experimental and used cadavers in quasi-static conditions, or in vivo studies using dual fluoroscopy.16–23 To the best of our knowledge, there have been no studies that have investigated the biomechanical effects of PCL deficiency on contact forces in the TF and PF joints, and on forces exerted on posterolateral corner structures under dynamic-loading conditions.

The method for in vitro dynamic studies involve laboratory experiments using cadavers in a physiological gait simulator, along with finite element analysis (FEA) and multibody dynamic (MBD) simulation.24–26 Moreover, the majority of FEA studies do not include a physiological model associated with anatomical information of bone and muscles.27 Recently, dynamic computational analysis tools, including musculoskeletal (MSK) MBD structures, have been introduced. These technologies allow dynamic simulations and three-dimensional MSK modeling beyond statistical analyses. MSK models provide a practical alternative to dynamic simulation with elastic ligament bundles that represent the overall kinematic behavior of the joint.28,29

The aim of the present study was to develop a validated subject-specific MSK lower extremity model that allows for 12-degree-of-freedom (DOF) motion at both the TF and PF joints. First, to validate the subject-specific MSK model, values were evaluated and compared with passive flexion results transformed electromyography (EMG) measurements for the subjects’ knee joints, including an assessment of the propagation of uncertain ligament properties using probabilistic simulation, under gait- and squat-loading conditions. In addition, the posterior drawer test result in intact and PCL deficiency conditions were compared with previous experiments. Second, the contact forces exerted on the TF and PF joints were evaluated to investigate cartilage degeneration and posterolateral corner structure forces in order to establish the biomechanical effects of PCL deficiency in gait- and squat-loading conditions, including an assessment of the propagation of uncertain ligament properties using probabilistic simulation.

We hypothesized that validated dynamic mechanics improves MSK simulation. We also hypothesized that the PT is the most important component of the posterolateral corner structure in the PCL deficiency condition as a dynamic stabilizer under dynamic loading conditions.

**Patients and Methods**

**Passive flexion and EMG experiments.** This study was approved by the hospital’s Institutional Review Board. Subject-specific data were used for MSK model development with validations using CT data in passive flexion and motion-capture with EMG sensors.

The subject of this study was a 36-year-old man (178 cm, 75 kg). Radiological findings showed that his knee was normal, without any history of MSK system diseases, or related diseases. The knee was examined with a 0.6 mm slice thickness using a 64-channel CT scanner (SOMATOM Sensation 64, Siemens Healthcare, Erlangen, Germany) with respect to six flexion angles (15°, 30°, 45°, 60°, 75°, 90°) of the knee joint. CT images had been taken for six months to protect the subject from radiation based on the radiation dose reduction protocol that minimized hazardous exposure. In each scan, CTDivol was 11.48 mGy which is the same radiation dose in routine protocol.30–32 The tube parameters were 120 kVp and 135 mA, and the acquisition matrix was 512 × 512; the field of view was 200 mm.
Knee joint kinematics were measured based on a previous methodology and included the joint coordinate system during passive flexion testing. Passive flexion data were used in validation of the MSK model. The subject performed four trials of gait- and squat-loading activities, and ground reaction forces were measured using a force plate. The subjects’ ground reaction forces were measured using two force plates (800 mm × 600 mm, AMTI, Niles, Illinois). A motion-capture system (Vicon MX, Oxford Metrics, United Kingdom) consisting of 11 infrared video cameras collected marker trajectories at a sampling rate of 250 Hz. An eight-channel surface EMG system (Bagnoli System, Delsys Inc., Boston, Massachusetts) was used to record EMG signals. Eight EMG signals, marker trajectories, and analogue force plate data associated with gait- and squat-loading conditions were used in this study. The measured muscles included the gluteus maximus, rectus femoris, vastus lateralis, biceps femoris, semimembranosus, gastrocnemius medialis, tibialis anterior, and soleus medialis. Raw data from the EMG signals were transformed into muscle activation data using root mean square analysis. EMG-to-activation model was used to represent the underlying muscle activation dynamics. The specification of EMG-to-activation transformation has been reported in a previous study.

Subject-specific MSK model. A three-dimensional MSK model of the subject-specific knee joint was developed using the AnyBody Modeling System (version 6.0.6; AnyBody Technology, Aalborg, Denmark). To simplify the development process of an entirely subject-specific model, the lower extremity MSK model was extracted from the AnyBody Managed Model Repository (version 1.6.4) and modified for this study.

The lower extremity MSK model was developed from the Twente Lower Extremity Model anthropometric database. The MSK model was actuated by approximately 160 muscle units. This model has been validated by previous studies to predict muscle and joint reaction forces during locomotion. The three-dimensional reconstruction and development procedures for a subject-specific model have been reported by previous studies. The ligament insertion points were referenced to the anatomy from the subject’s MRI.

Based on the subject’s three-dimensional femoral, tibial, fibular, and patellar models, the scales of bone in AnyBody were adjusted using the non-linear radial basis functions with a law of scaling. The remaining parts were adjusted with the scale using an optimisation scheme that minimises the difference between model markers and identified marker positions. In this study, the knee joint was considered to have 12-DoF movement (TF: 6-DoF, PF:6-DoF). Hip and ankle joints were considered to provide 2- and 3-DoF movement, respectively.

The ligament attachment sites were obtained from the subject’s MRI image. The attachment points in the AnyBody model were modified using the subject-specific attachment sites. As shown in Figure 1, 21 ligament bundles were modelled. The abbreviation of ligament bundles are shown in Table I. These were modeled in AnyBody using non-linear spring elements with the piecewise force–displacement relationship based on their functional bundles at the actual ligament anatomy.
The stiffness-force relationship of the ligaments in this model is defined so as to produce a nonlinear elastic characteristic with a slack region.\(^{42}\)

\[
f(\varepsilon) = \begin{cases} 
\frac{k\varepsilon^2}{4\varepsilon_1}, & 0 \leq \varepsilon \leq \varepsilon_1 \\
k(\varepsilon - \varepsilon_1), & \varepsilon > 2\varepsilon_1 \\
0, & \varepsilon < 0 
\end{cases}
\]

Regarding the formulae, the character \(f(\varepsilon)\) is the current force, \(k\) is the stiffness, \(\varepsilon\) is the strain, and \(\varepsilon_1\) is assumed to be constant at 0.03. The ligament bundle slack length, \(l_0\), can be calculated from the reference bundle length, \(l_r\), and the reference strain, \(\varepsilon_r\), in the upright reference position.

Most of the stiffness and reference strain values were obtained from the literature, but some of them have been modified.\(^{42-44}\) The menisci were modelled by linear springs to simulate their equivalent resistance.\(^{45}\) The wrapping surface using a cylinder and an ellipsoid was applied in order to prevent ligament penetration on the bone. Furthermore,\(^{1-3}\) wrapping surfaces were applied to each ligament to wrap the bony structure around the tibia, femur, and patella.

Figure 2 shows three different contact surfaces defined in the TF and PF joints. Three deformable contact models were defined between the femoral and tibial components and between the femoral component and patellar button. These contact forces are proportional to the penetration volume and the so-called ‘Pressure Module’.\(^{44}\) The contact Pressure Module in Newtons per meter cube is the key parameter in the default force-dependent kinematics computational framework of AnyBody.\(^{56}\) Because the contact model implemented in AnyBody very closely followed the elastic foundation theory, the equations were derived by Fregly et al.\(^{35,46}\) For the pressure module calculation, the equation derived by Fregly et al\(^{46}\) was used.

**Popliteus muscle modification.** The PT in posterolateral corner structures from AnyBody was modified to minimise the effects of inaccuracy (Fig. 2) in order to represent a more realistic anatomy.\(^{47,48}\) The default popliteus muscle, composed of two bundles, was modified to represent a third bundle. The origin was modified to be located on each different anatomic position as identified via MRI. The PFL was modified so that it was connected with the popliteus muscle.

**Inverse dynamic simulation and loading conditions.** Before performing inverse dynamic analyses, the kinematics of each trial were calculated on the basis of motion-capture data; kinematic optimisation was used for this purpose. Experimental ground reaction forces and marker trajectories were imported into the AnyBody MSK modelling system in order to calculate muscle forces based on the muscle recruitment criterion (cubic polynomial used in this study) for inverse dynamic analysis. The objective of optimisation was to minimise the difference between the AnyBody model marker trajectories and the motion-capture marker trajectories. After kinematic optimisation was completed, muscle force was evaluated through inverse dynamic analysis. Muscle activation and ligament force were calculated using inverse dynamic analysis, and muscle activations were compared with EMG signals.

To evaluate the effect of uncertainty in ligament stiffness and reference strains on knee kinematics under passive flexion, Monte Carlo (MC) simulations (1000 trials) were performed. The Isight (Simulia, Providence, Rhode Island) Simcode was used with AnyBody to run the MC simulations. A passive flexion test condition, from full extension to 90° of flexion, was applied. The standard deviation of the linear stiffness was 30% of the ligament stiffness and 0.02 of the reference strain.\(^{49}\) Gait and squat simulations were performed with a subject-specific MSK model, which was validated using a passive flexion test.

| Abbreviation | Name                        | Abbreviation | Name                        |
|--------------|-----------------------------|--------------|-----------------------------|
| aACL         | anterior cruciate ligament  | aPCL         | posterior cruciate ligament  |
| pACL         | anterior cruciate ligament  | pPCL         | posterior cruciate ligament  |
| aCM          | deep medial collateral ligament | mCAP            | posterior capsule            |
| pCM          | deep medial collateral ligament | ICAP            | posterior capsule            |
| sMPFL        | medial patellofemoral ligament | lLPFL        | lateral patellofemoral ligament |
| mMPFL        | medial patellofemoral ligament | mLFLP         | lateral patellofemoral ligament |
| iMPFL        | medial patellofemoral ligament | lLPFL         | lateral patellofemoral ligament |
| aMCL         | medial collateral ligament  | LCL          | lateral collateral ligament  |
| cMCL         | medial collateral ligament  | ALS          | anterolateral structures    |
| pMCL         | medial collateral ligament  | PFL          | popliteofibular ligament    |
| OPL          | oblique popliteal ligament  |              |                              |

Table I. Abbreviations for the names of ligament bundle
performed on the subject, and used to predict muscle activation (Fig. 3). The posterior tibial translations in the posterior drawer test under intact and PCL deficiency conditions with 134 N at 30° and 90° of flexion were compared with previous experimental studies. In order to understand the influence of PCL deficiency, the increase in ligament forces on the PFL, LCL, and PT were evaluated. In addition, the contact forces on the TF and the PF were evaluated. MC simulations were performed in order to consider uncertainty caused by differences of individual’s material properties of ligaments. The result of PCL deficiency was represented by the predicted mean value.

Results
Comparison of passive flexion, posterior drawer and EMG experimental results with a computational model. Measurements of TF kinematics using flexion CT for passive flexion–extension movements showed consistency with model predictions, generally lying within the uncertainty range in simulation (Fig. 4). TF translations and internal tibial rotation are the most sensitive factors that influence a ligament’s properties. The greatest muscle activities evaluated from the computational model showed consistency with transformed EMG measurements under gait- and squat-loading conditions (Fig. 5). However, there was a different trend due to an increase in the prediction error for the tibial anterior muscle.

In the posterior drawer test at 134 N, the intact posterior tibial translations were 5.2 mm and 4.0 mm at 30° and 90° of knee flexion, respectively, while those in the PCL deficiency condition were 10.3 mm and 12.3 mm at 30° and 90° of knee flexion, respectively (Fig. 6). For both the intact and PCL-deficient knee joint, the variations in translation were within the ranges as demonstrated in previous experimental studies.

Posterolateral corner ligament force and knee joint contact force under gait- and squat-loading conditions. The graphs in Figure 7 show the probability analysis and forces on PFL, LCL, and PT with PCL deficiency in the gait- and squat-loading condition. The mean ligament forces on the PFL, LCL, and PT increased by 5%, 19%, and 21%, respectively, with PCL deficiency in the gait-loading condition (Fig. 7a). The mean ligament force on the PFL was not significantly influenced by PCL deficiency in the gait-loading condition.

The graphs in Figure 8 show the probability analysis and contact forces on the TF and PF joints with PCL deficiency in the gait- and squat-loading condition. The mean TF contact force was 1.7 times body weight or 1360 N, and the mean PF contact force was 1.3 times body weight, or 1060 N, with an intact model in the gait-loading condition (Fig. 8a). The contact forces on lateral and medial TF joints were 0.86 and 1.7 times body weight, respectively, with an intact model in the gait-loading condition. A similar trend was observed in the model with PCL deficiency. The mean contact forces on the PF and TF increased by 21% and 10%, respectively, with PCL deficiency in the gait-loading condition.

The mean ligament forces on PFL, LCL, and PT increased by 33.3%, 10.7%, and 71.5%, respectively, with PCL deficiency in the squat-loading condition (Fig. 7b). Unlike the gait-loading condition, more force was exerted on PFL, and, remarkably, it increased on PT.
The mean contact forces on TF and PF were 1.95 and 3.78 times body weight, or 1490 N and 2890 N, respectively, with intact model in the squat-loading condition (Fig. 8b). Similar to the gait-loading condition, the contact force on the medial side was greater than that on the lateral side in the squat-loading condition. However, with PCL deficiency, the mean contact force increased by 25% on the medial side, whereas it decreased by 11.4% on the lateral side during the squat-loading condition. In addition, the mean contact force on the PF joint increased by 72.8%, with PCL deficiency in squatting.
**Discussion**

The important finding in this study was that the PT is a significant component as a dynamic stabiliser in posterolateral corner structures with PCL deficiency under gait and squat dynamic loading conditions. The dynamics model was developed in this study to evaluate the ligament forces, contact forces on the TF and PF joints, and muscle activation in the knee joint during gait-loading conditions using an mSk model implanted both with and without deficiency of PCL.

Until recently, when the importance of the association between PCL rupture and damage to posterolateral corner structures was recognised, this condition had often been underdiagnosed. There has been a wide variety of treatments for early PCL reconstruction accompanied with a primary repair of the damaged posterolateral corner structure.\(^5\) However, patients often developed chronic injuries due to a delay in diagnosis or presentation, and the optimal treatment method is yet to be established. Posterolateral corner...
structures of the knee comprise many structures that contribute to its static and dynamic stability. The LCL and PFL, both concerned with static components, and the PT, which is concerned with dynamic components, have been described as the major structures of posterolateral corner structures of the knee. Furthermore, the most serious problem in PCL rupture is caused by the posterior displacement of the tibia that is associated with the degeneration of both the medial TF and PF joint compartments. However, in most previous studies, the methodology has been focused on the use of cadavers and medical imaging technology. 16-22

The PCL is known as the fundamental stabiliser of the knee joint, yet its role in stabilisation is not completely understood. 56 Thus, we introduced and validated an 12-DOF (TF: 6-DOF, PF: 6-DOF) mSk knee model applicable for simulating the force-dependent kinematic method under gait- and squat-loading conditions to evaluate the effects of PCL deficiency. 1-3

In previous studies, ligaments were considered to be knee joint stabilisers, and four major ligaments, namely ACL, PCL, MCL, and LCL, were conventionally included in the models. 45,57 Most knee joint models have solely included LCL as it is considered to be the primary stabiliser among posterolateral corner structures. However, some sequential sectioning tests have suggested that the PFL and PT also significantly contribute to knee joint stability. 16-19

Recently, a computational knee joint model including the PFL has been introduced. 43,49 However, the PFL is anatomically attached to the PT, not to the fibula, as in a previous study. 49 In addition, the MSK model allows us to represent more realistic daily activity with muscle force interactions which are not under limited flexion, whereas current cadaver or FE studies have not considered robust muscle activation. 16-19,24,26-28 It is a well-acknowledged method in orthopedic biomechanics to evaluate the representative result by using a well-validated computational model. 26-28,43,45

The PFL did not exert the force, but the LCL and the PT did, with PCL deficiency in the gait-loading condition. The role of the PFL was transformed to PT during gait cycles, and it showed a similar result as a previous study which reported that the LCL is mostly influenced in mid-angle flexion. 16 LaPrade et al 59 reported that there was a significant increase in graft force with a partially-deficient posterolateral corner structure. The graft force became significantly higher with LCL transection during varus loading at both 0° and 30° of knee flexion compared with the identical condition with intact posterolateral corner structures. In addition, coupled loading of varus and internal rotation moments at 0° and 30° of knee flexion increased graft force beyond the condition with varus force alone. However, unlike LCL, the role of the PFL became important in the squat-loading condition. In addition, the ligament force on the LCL was sensitive to the low flexion range. The PT was the most influential component of posterolateral structure in both gait- and squat-loading conditions considering its characteristics as a dynamic stabiliser. In addition, the importance of the PT in posterolateral corner structure was validated in a previous study involving cadaveric experiments. 19

PCl deficiency causes the TF and PF joint degeneration which could aggravate progressive OA. 13,14 It is difficult to establish the mechanism of PF and TF contact force
after PCL rupture due to the complicated interaction of muscle loading patterns, ligament and capsule deformation, and contact stress distribution on the articular cartilage in weight-bearing knee in vivo. Based on previous in vivo measurements at the hip and knee joints, our results provide evidence that the loading conditions...
reach up to three times body weight across the hip and TF and PF joints in daily activities.\textsuperscript{60,61}

The contact forces on the TF and PF joints in our model showed good agreement with the results of previous studies.\textsuperscript{43,62,63} The contact forces on the medial side were greater than those on the lateral side in both gait- and squat-loading conditions. Moreover, the ratio of body weight in contact forces in both conditions was

| Flexion angle (°) | Contact force (N) | Gait cycle |
|-------------------|-------------------|------------|
| 0                 | 0                 | 0          |
| 10                | 0                 | 0          |
| 20                | 0                 | 0          |
| 30                | 0                 | 0          |
| 40                | 0                 | 0          |
| 50                | 0                 | 0          |
| 60                | 0                 | 0          |
| 70                | 0                 | 0          |
| 80                | 0                 | 0          |
| 90                | 0                 | 0          |
| 100               | 0                 | 0          |
| 110               | 0                 | 0          |
| 120               | 0                 | 0          |

| Squat cycle |
|-------------|
| 0           |
| 20          |
| 40          |
| 60          |
| 80          |
| 100         |
| 120         |

Graphs showing the contact force exerted on the tibiofemoral and patellofemoral joint in (a) gait and (b) squat condition in Monte Carlo simulation (5% and 95%). pcl, posterior cruciate ligament.
consistent with that in previous studies. In our model, the contact force on the PF joint became greater than that on the TF joint in high flexion, which showed a similar trend to that observed by Trepczynski et al. The PF joint plays a fundamental role in the knee joint function, particularly in activities associated with high flexion.

To the best of our knowledge, there have been no previous studies that have investigated the contact force on the TF and PF joints in both gait and squat-loading conditions using a normal knee. There were relatively smaller increases in contact forces on the TF and PF joints in the gait-loading condition. However, in the squat-loading condition, the contact forces on the TF and PF joints increased by 25% and 72.8%, respectively.

An interesting finding was that the contact force on the TF joint increased on the medial side, but decreased on the lateral side. In other words, PCL deficiency may accelerate the degeneration of the TF and PF joints on the medial side due to the increase in contact forces. The contact force on the PF joint particularly increased in high flexion. In our study, there were no differences in either TF or PF joint biomechanics of intact and PCL-deficient knees at 0° and 60° of knee flexion in the gait-loading condition. Therefore, rehabilitation exercises could be safely performed in this flexion range. However, successive deep-squat exercises should be avoided in subjects with PCL deficiency, as not to alter normal PF cartilage loading excessively. Our data suggest that in vivo knee loading can be clearly understood by evaluation of forces on the TF and PF joints.

This study had some limitations. First, only one computational model was developed using data from one subject, although MC simulation was performed to minimize uncertainty in material properties of the ligaments. In addition, the range of values from the subject-specific MSK model was confirmed using data from previous experimental studies; however, the number of subjects could be expanded in future research. Second, ligaments were modified into only two or three bundles. Third, to improve wrapping around the bony structures, wrap objects were included. However, these surfaces were modified to represent simple geometric shapes. Finally, the ground reaction forces were measured directly from feet during gait and squat simulations. Future improvements could be achieved by applying a ground contact model that allows reaction force simulation incorporated with foot-floor interactions.

In conclusion, PCL deficiency primarily influenced the PT among other posterolateral corner structures. The contact forces on the medial side were always greater than those on the lateral side in both gait- and squat-loading conditions. PCL deficiency affects relatively less TF and PF joint contact forces during the gait cycles. However, the contact forces on the TF and PF joints increased, particularly for the PF joint, with high flexion in the squat-loading condition. In future studies, a larger study sample is required to confirm the present findings.

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