Enhanced Efficacy of Peroxyacetic Acid Against *Listeria monocytogenes* on Fresh Apples at Elevated Temperature

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Peroxyacetic acid (PAA) is the most commonly used antimicrobial in spray bar antimicrobial treatment during fresh apple packing and processing. However, there are limited data regarding its practical efficacy against *Listeria monocytogenes* on fresh apples. This study evaluated the antimicrobial activity of PAA against *L. monocytogenes* on fresh apples applicable to current industry practice, and further examined practical parameters impacting its efficacy to maximize the biocidal effects. Apples were inoculated with a three-strain *L. monocytogenes* cocktail at ~6.0 Log₁₀ CFU/apple and then subjected to comparative antimicrobial treatments after 48 h post-inoculation. An 80 ppm PAA treatment, at 30-s and 2-min exposure, reduced *L. monocytogenes* on fresh apples by ~1.3 or 1.7 Log₁₀ CFU/apple, respectively. The anti-*Listeria* efficacy of PAA was not affected by the water hardness and pH of PAA solution, while it improved dramatically when applied at elevated temperature. A 2-min exposure of 80 ppm PAA at 43 and 46 °C resulted in a 2.3 and 2.6 Log₁₀ CFU/apple reduction, respectively. A 30-s contact time of 80 ppm PAA at 43–46°C reduced *L. monocytogenes* on apples by 2.2–2.4 Log₁₀ CFU/apple. Similarly, PAA intervention at elevated temperatures significantly strengthened its effectiveness against naturally occurring apple microbiota. PAA treatment at 43–46°C can provide a vital method to improve antimicrobial efficacy against both *L. monocytogenes* and indigenous microbiota on fresh apples. Our data provide valuable information and reference points for the apple industry to further validate or verify process controls.

**Keywords:** apples, *Listeria monocytogenes*, peroxyacetic acid, antimicrobial, temperature

**INTRODUCTION**

Apples are the second most commonly consumed fruit in the United States (US) and produced on more than 325,000 acres, yielding 33 billion apples annually (USAA, 2018). The average apple consumption in the United States is 22 kg per person annually and the major commercial varieties are Granny Smith, Fuji, Gala, and Red Delicious (USAA, 2017). The recent outbreak of *Listeria monocytogenes* linked to caramel apples (Angelo et al., 2017)
and multiple *L. monocytogenes* recalls associated with apple products (FDA, 2015, 2016, 2017b) have brought critical concerns to the apple industry and the general public regarding control of this pathogen on fresh apple fruit surfaces during production, storage, and packing. *L. monocytogenes* is an important foodborne pathogen that causes ~1,600 hospitalization and ~260 deaths in the US annually (FDA, 2012). It can survive on fresh apple surfaces for an extended period during cold storage (Sheng et al., 2017). If contaminated apples are used in the confectionary industry, *L. monocytogenes* proliferates in the microenvironment created between the apple surface and caramel coating layer (Glass et al., 2015).

During postharvest processing and handling, antimicrobial interventions have long been employed to reduce foodborne pathogens on apples and prevent or minimize cross-contamination during wash-processing. Chlorine is the most widely used antimicrobial in the fresh produce industry, but has limited efficacy against *L. monocytogenes* at the commonly used dose range of 50–200 ppm at 1–2 min exposure (Abadias et al., 2008). Chlorine wash at 100 ppm for ~1 min only provided ~1 log reduction of *L. monocytogenes* on apples (Beuchat et al., 1998; Rodgers et al., 2004). In addition, chlorine reacts with organic matter to form carcinogenic trihalomethanes (Brown et al., 2011) and chlorates (Gil et al., 2016), giving rise to health concerns. Therefore, the fresh produce industry is actively looking for alternative chemicals and/or intervention methods with a higher antimicrobial efficacy and a lower reactivity with organic matter.

Peroxyacetic acid (PAA) is generated as an equilibrium mixture between acetic acid and hydrogen peroxide in aqueous solution, and has a strong oxidation potential of 1.81 electronic volts (Dell’Erba et al., 2007; Carrasco and Urrestarazu, 2010; Hua et al., 2011). It is approved to be used at 80 ppm as a wash water processing aid on fresh produce without further rinse requirement (FDA, 2017a). PAA has a relatively low reactivity with organic matter, compared with chlorine (Banach et al., 2015), and the formed by-products have little or no toxicity (Monarca et al., 2002a,b). In addition, PAA decomposes to harmless acetic acid and oxygen (Gehr et al., 2003). PAA has been used in the fresh produce industry to control microbial contamination in iceberg lettuce, mung bean sprouts, cantaloupe, and others (Gonzalez et al., 2004; Dell’Erba et al., 2007; Carrasco and Urrestarazu, 2010; Hua et al., 2011). PAA is currently the most commonly used antimicrobial in spray bar rinse-treatment during fresh apple packing and processing according to our survey of apple packers in Washington. In spite of its popularity, however, the sparse information available indicates that PAA has a limited efficacy against *L. monocytogenes* on fresh apples. PAA at 80 ppm, applied for 80-s contact time, resulted in ~1.0 Log reduction of *L. monocytogenes* on Golden Delicious apples (Rodgers et al., 2004). A 1-min treatment of apple plugs with 80 ppm PAA resulted in ~0.8 Log$_{10}$ CFU/plug reduction of *L. monocytogenes* (Abadias et al., 2011).

The objectives of this study were to evaluate the antimicrobial efficacy of PAA against *L. monocytogenes* and resident microorganisms on fresh apples, and further optimize parameters that are applicable to the fresh apple industry to maximize its antimicrobial efficacy.

## MATERIALS AND METHODS

### Bacteria Strains

*L. monocytogenes* strains [NRRL B-57618 (1/2a), NRRL-33466 (1/2b) and NRRL B-33053 (4b)] were obtained from USDA-ARS culture collection [National Center for Agricultural Utilization Research (NRRL), Peoria, IL, US]. All strains were maintained at ~80°C in Trypticase Soy Broth [Becton, Dickinson and Company (BD), Sparks, MD, US] supplemented with 0.6% yeast extract (Fisher Scientific, Fair Lawn, NJ, US; TSBYE) and 20% (v/v) glycerol.

### Preparation of Inoculum

Each *L. monocytogenes* strain was twice activated in TSBYE at 37°C for 24 h individually, then centrifuged at 8,000 × g for 5 min at 4°C. The resulting bacterial pellets were washed once and then resuspended in phosphate-buffered saline (PBS, pH 7.4) to achieve the target population. To prepare a 3-strain *L. monocytogenes* inoculum cocktail, each strain suspension at ~5 × 10$^8$ CFU/ml was mixed at 1:1:1 ratio to ~ 6.0 Log$_{10}$ CFU/ml in PBS for apple inoculation.

### Apple Inoculation

Unwaxed mature Granny Smith apples (medium size, ~220 g/apple) without cuts, bruising, or scars were selected and rinsed with cold tap water and dried overnight to balance apple temperature to room temperature (22 ± 1°C, RT). Apples were then inoculated with *L. monocytogenes* by submerging into the inoculum solution prepared above and gently agitating for 8 min to let bacteria evenly distribute on each apple as described previously (Sheng et al., 2017). Inoculated apples were stored at RT under environmental relative humidity for 24 or 48 h before being subjected to the PAA treatments. Meanwhile, apples were sampled right after inoculation, 24 and 48 h post-inoculation to confirm the established *L. monocytogenes* population.

### Antimicrobial Immersion Procedure

Bioside HS (EnviroTech, Modesto, CA, US) containing 15% of PAA was used to prepare solutions of 40, 60, and 80 ppm of PAA. All PAA solutions were prepared with tap water, unless otherwise specified. The concentration of PAA was verified once and then resuspended in phosphate-buffered saline (PBS, pH 7.4) to achieve the target population. To prepare a 3-strain *L. monocytogenes* inoculum cocktail, each strain suspension at ~5 × 10$^8$ CFU/ml was mixed at 1:1:1 ratio to ~ 6.0 Log$_{10}$ CFU/ml in PBS for apple inoculation.

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To evaluate the influence of pH on the efficacy of PAA, the pH of PAA solution was adjusted with 6.0 M HCl to
achieve a pH of 2.5 and 3.8, and PAA dissolved in tap water had a pH of 6.3. Chlorine solution with 100 ppm free available chlorine (FAC) was used as a reference control and prepared from Accu-Tab (Pace International, Wapato, WA, US) (Beuchat and Ryu, 1997). The pH of a chlorine solution was adjusted to 6.8 with 6 M HCl before being used in apple treatment. Water wash of apples was used as negative control to show the bacterial reduction due to factors other than antimicrobial activities. The pH and the oxidation reduction potential (ORP) of solutions were measured with an Orion Versa Star Pro advanced electrochemistry meter (Thermo Scientific, Waltham, WA, US) with an 8302Bnumd Ross Ultra pH/ATC Triode and ORP Triode. FAC was confirmed with a Taylor K-2006 complete test kit (Taylor Technologies, Sparks, MD, US).

**Water Hardness Determination and Adjustment**

Water hardness was measured by a hardness test kit (Hach, Loveland, CO, US). Three levels of water hardness (20, 140, and 460 ppm) were selected in this study to determine the influence of water hardness on PAA antimicrobial efficacy. Deionized water and tap water were used as water with 20 and 140 ppm hardness, respectively. Water with a hardness of 460 ppm was achieved by adding calcium chloride (Sigma, St Louis, MO, US) to tap water.

**Antimicrobial Efficacy of Peroxyacetic Acid at Elevated Temperature**

To evaluate antimicrobial efficacies of PAA at elevated temperature, PAA solution was made with water preheated to the target temperatures (~22–49°C) and used immediately after preparation. The temperature of PAA solutions was maintained for each setting throughout the experiment. The concentration and the temperature of PAA were verified using a PAA titration kit (Aquaphoenix Scientific, Hanover, PA, US) and a thermometer (Fisher Scientific, Hampton, NH, US), respectively, before and after treatment. The temperature of the apple surface was measured with a digital thermometer with a probe (Fisher Scientific).

**Microbial Analysis of Apples**

Immediately after antimicrobial treatment, each apple was individually placed into a sterile stomacher bag with 10-ml sterile PBS and hand-rubbed for 1.5 min to detach microbiota from apple surfaces. The detached microbial suspension was 10-fold serially diluted with sterile PBS, and 0.1 or 1 ml (333 μl/plate, 3 plates) from appropriate dilutions was plated on TSAYE plates overlaid with Modified Oxford agar (MOX, BD), and incubated at 35 ± 2°C for 48 h. Non-inoculated apples were processed the same way as inoculated apples and plated onto TSAYE for total plate count (TPC, BD) and Potato Dextrose Agar (PDA, BD) for yeasts and molds count (Y/M), respectively. TSAYE and PDA plates were incubated at 35 ± 2°C for 48 h and at RT for 5 days, respectively. The detection limit of all microorganisms was 10 CFU/apple.

**Statistical Analysis**

Data were analyzed with one-way Analysis of Variance (ANOVA) using IBM SPSS 19.0 (Chicago, IL, US). Mean difference was discerned by Least Significant Difference (LSD) multiple comparison. p < 0.05 was considered statistically significant. Each experiment was repeated three times independently. For a selected independent test, there are 10 apples per treatment, where each apple is an experimental unit. Data were reported as mean ± SEM (standard error mean), n = 3.

**RESULTS**

**Influence of Concentration on the Antimicrobial Efficacy of Peroxyacetic Acid**

Apples were inoculated with ~6.4 Log_{10} CFU/apple and then subjected to antimicrobial treatments after 24 and 48 h inoculation, respectively. At 24 h post-inoculation, 100 ppm chlorine at pH 6.8 caused 0.91 Log_{10} CFU/apple reduction and tap water wash led to 0.15 log reduction. PAA at 40 ppm reduced *L. monocytogenes* on fresh apples by 1.37 Log_{10} CFU/apple at 2-min exposure, which was more effective than that of 100 ppm chlorine (Figures 1A,B). Increasing PAA concentration significantly increased its bactericidal effects. PAA at 80 ppm and 2-min contact time reduced *L. monocytogenes* on apples by 2.17 Log_{10} CFU/apple (Figure 1B). Extending the postinoculation time from 24 to 48 h significantly reduced 80 ppm PAA efficacy with a log reduction of 1.71 Log_{10} CFU/apple at a 2-min treatment, though it had a minor influence on PAA efficacy at 40 and 60 ppm (Figure 1B). During the postharvest processing, foodborne pathogens can contaminate apples at any stage; thus, a bacterial attachment time of 48 h was used in the following study to mimic the harshest condition. PAA at 80 ppm was selected to mimic the current industry practice and to assess the maximal expected reduction.

**Impacts of Water Hardness and pH on Antimicrobial Efficacy of Peroxyacetic Acid**

The hardness of wash water varies in the apple industry in Washington and ranges from 0 to 450 ppm (per our survey data). Thus, impacts of water hardness on PAA efficacy were further analyzed. PAA solutions made with water of different hardness had a similar efficacy against *L. monocytogenes* on fresh apples, ranging from 1.8 to 2.0 Log_{10} CFU/apple reduction (Figures 2A,B). Next, we examined the impact of pH on PAA antimicrobial efficacy and found that PAA exerted a similar bactericidal effect at pH 2.5–6.3, which reduced *L. monocytogenes* on apples by 1.7–1.8 Log_{10} CFU/apple (Figures 2C,D). In the subsequent studies, all PAA solutions were made with tap water with ~140 ppm hardness and a pH of 6.3.
Improved Efficacy of Peroxyacetic Acid Against *L. monocytogenes* on Fresh Apples at Elevated Temperatures

In some commercial apple packing operations, apples are subjected to a hot-water (up to 38°C) rinse before sanitizer intervention as a necessary treatment to facilitate application of waxes or fruit lusters. Studies also showed that a 40-min exposure to 50°C water had no negative effect on apple quality (Hansen et al., 2006). This prompted us to assess the antimicrobial efficacy of PAA against *L. monocytogenes* on apples at elevated temperatures. Increasing PAA solution temperature from RT to 41°C had no significant influence on antimicrobial efficacy of PAA (Figures 3A,B). However, when the temperature was further increased to 43°C, the reduction of *L. monocytogenes* was significantly improved (Figure 3B). PAA at 43 and 46°C reduced *L. monocytogenes* on apples by 2.37 ± 0.06 and 2.63 ± 0.04 Log_{10} CFU/apple, respectively (Figure 3B). However, increasing PAA solution temperature to 49°C failed to further enhance its effectiveness (Figure 3B). Reducing contact time from 2 min to 30 s decreased its bactericidal effects (Figures 3C,D). The concentration of PAA at all the tested temperatures remained stable during the wash treatment, while pH and ORP of PAA solutions gradually decreased with increased temperature (Table 1). The surface temperatures of apples post 2-min PAA treatment at 43 and 46°C were 37.4 ± 0.3 and 38.4 ± 0.4°C, respectively (Table 2).

**Efficacy of Peroxyacetic Acid Against Background Microbiota at Elevated Temperatures**

We further evaluated the effectiveness of PAA in reducing apple resident microbiota. PAA at 46°C significantly improved its antimicrobial activity compared with that at RT, and reduced TPC by 1.20 ± 0.02 and 1.54 ± 0.05 Log_{10} CFU/apple at contact times of 30 s and 2 min, respectively (Figures 4A,B). Similarly, PAA at 46°C enhanced its
effectiveness in reducing Y/M on apples and caused ~2.0 Log$_{10}$ CFU/apple reduction at contact time of 2 min, which was almost double the log reduction when PAA was applied at RT (Figures 4C,D).

**DISCUSSION**

In the fresh produce industry, especially, the fresh apple packing industry, PAA has become the preferred antimicrobial for...
microbial interventions. PAA is currently allowed under the National Organic Program (NOP) for organic food handling (USDA, 2016). The advantages of using PAA over commonly used chlorine are the unnecessity to adjust pH, low reactivity with organic matter, and safety of its reaction and residual breakdown products (Kitis, 2004; Buchholz and Matthews, 2010).

**Antimicrobial Efficacy of Peroxyacetic Acid at Current Commercial Treatment Conditions**

The efficacy of PAA against *L. monocytogenes* on apples is concentration dependent. Similarly, PAA at 25, 51, and 70 ppm for 3 min exposure resulted in 1.0, 1.4, and 1.8 Log\(_{10}\) CFU/g reduction of *L. monocytogenes* on bean sprouts, respectively (Neo et al., 2013). A PAA wash for 5 min at 80 and 250 ppm delivered a 0.4 and 1.3 Log\(_{10}\) CFU/g reduction of *L. monocytogenes* on iceberg lettuce, respectively (Baert et al., 2009). Under ambient temperature, 2-min wash with 80 ppm PAA delivered ~1.7 Log\(_{10}\) CFU/apple reduction of *L. monocytogenes* on apples, which was a little more effective than 80 ppm PAA against *L. monocytogenes* on Golden Delicious apples, where a log reduction time is about 80 s (Rodgers et al., 2004). *E. coli* O157:H7 on apples is less responsive to PAA, where 80 ppm PAA only reduced it by ~1.0 Log\(_{10}\) CFU/apple at 5-min contact time (Wisniewsky et al., 2000; Alcala et al., 2011). The difference in susceptibility could be due to difference in bacterial strains, surface attributes of apple varieties, as well as source of PAA solution.

The effectiveness of PAA against *L. monocytogenes* on apple surfaces was not measurably impacted by the hardness of water or pH condition. This is consistent with a previous publication that states that the stability of PAA solution was not affected by hardness of water (Artes et al., 2007). A 200 ppm PAA solution at both pH 2.8 and 4.3 reduced *Salmonella* Heidelberg on poultry product by ~1.0 Log\(_{10}\) CFU/ml at a 15-s contact time (Donabed, 2015). This might be due to the active compound of PAA solution, undissociated acid form of PAA, that was
stable at pH equal to or less than its pKa of 8.2 (Yuan et al., 1997; Wagner et al., 2002), thus exhibiting a similar antimicrobial efficacy at the tested pH range. Antimicrobial action of PAA is possibly attributed to its action on the lipoproteins in the cell membrane, which results in disruption of the lipoprotein cytoplasmic membrane or cell walls due to oxidative stress, and subsequently denaturation of intracellular enzymes and other important macromolecules (Leaper, 1984; Maris, 1995).

Antimicrobial efficacy of 80 ppm PAA at an ambient temperature against L. monocytogenes on apples increased with increased contact time. There was ~0.42 more log reduction at a 2-min contact time compared to that of 30-s contact time. Similarly, PAA at 80 ppm for 5 min reduced native microorganisms on iceberg lettuce by ~2.4 Log$_{10}$ CFU/g, which was ~0.9 Log$_{10}$ CFU/g more reduction than that of a 2-min contact time (Vandekinderen et al., 2009). However, 70 ppm PAA at either 1.5- or 3-min contact time reduced L. monocytogenes on mung bean sprouts by ~1.8 Log$_{10}$ CFU/g (Neo et al., 2013). 1- and 2-min PAA treatments at 75 ppm showed a comparable efficacy (~2 Log$_{10}$ CFU/produce reduction) against Salmonella on bell peppers and cucumbers (Yuk et al., 2006).

Enhanced Antimicrobial Efficacy of Peroxyacetic Acid at Elevated Temperature

The biocidal effects of PAA significantly increased when the PAA solutions were applied at 43–46°C compared with that at an ambient temperature. A similar phenomenon was observed on beef carcasses. Even at 1000 ppm, PAA showed a minimal efficacy against E. coli O157:H7 on beef carcasses when applied at RT; while it resulted in ~0.9 Log$_{10}$ CFU/cm$^2$ at when applied at 45°C (King et al., 2005). The elevated temperature might increase transportation of PAA across bacteria membranes, impairing intracellular osmotic balance, and subsequently facilitate cell death (Laroche et al., 2001; McCutcheon and Elimelech, 2006). Additionally, increasing wash solution temperature reduced the surface tension between hydrophobic apple surfaces and hydrophilic PAA solution, thus exposing the entrapped L. monocytogenes cells to PAA (Vandekinderen et al., 2009). PAA delivered stronger antimicrobial efficacy at non-dissociated form (Luukkonen et al., 2014), and PAA at 43–46°C was likely maintained the non-dissociated form and enhanced its antimicrobial efficacy against L. monocytogenes. Though the decomposition rate of PAA was negatively affected by increased temperature at long-time exposure (Kunigk et al., 2001), it had no influence on PAA concentration within minutes of exposure. The concentration of PAA maintained stable after 2-min intervention at respective temperatures.

Apple resident microbiota including TPC and Y/M are reported to affect apple fruit quality and shelf life during storage (Doores, 1983; Palou et al., 2009). At an ambient temperature, PAA at 80 ppm and 2-min contact time showed a limited antimicrobial efficacy (~1.0 log reduction) against TPC or Y/M. Similarly, 80 ppm PAA at 5 min reduced Y/M by 1.0–1.5 Log$_{10}$ CFU/apple on apples when it was applied at an ambient temperature (Rodgers et al., 2004; Kreske et al., 2006). Similar to L. monocytogenes, elevation of PAA solution temperature significantly improved its biocidal effects against apple resident microbiota with more significant effect on Y/M lethality. Data indicate that PAA intervention at 43–46°C has a potential to increase apple shelf life in addition to improved microbial safety.

Elevated temperature slightly increased the surface temperature of apples to 35–38°C depending on treatment temperature and contact time. A previous study showed that 46°C treatment of apples for 12 h increased the firmness of fruits and reduced the development of superficial scald following subsequent 3 months under refrigerated storage at 0°C (Klein and Lurie, 1992). However, extended exposure time to 24 h resulted in fruit damage after storage (Klein and Lurie, 1992). Thus, temperate can have a negative effect for long-term exposure at evaluated temperature; however, PAA intervention at elevated temperatures used in this study was conducted in a short contact time, thus it has a minimal impact on apple fruit quality.

CONCLUSION

PAA at 80 ppm and 2-min contact time reduced L. monocytogenes on fresh apples by ~1.7 Log$_{10}$ CFU/apple when applied at an ambient temperature, which was not affected by the hardness or pH of PAA solution. PAA intervention at 43–46°C significantly enhanced its bactericidal effects, and reduced L. monocytogenes on fresh apples by 2.3–2.6 Log$_{10}$ CFU/apple, and TPC and Y/M by ~1.5 and ~2.1 Log$_{10}$ CFU/apple, respectively. These data provide valuable technical information and practical intervention methods for the apple packing and processing industry to support compliance with Food Safety Modernization Preventive Controls requirements. The study also provides important reference points for controlling other important foodborne pathogens such as E. coli O157:H7 and Salmonella on fresh apples, as well as other fresh produce with similar surface traits and postharvest handling systems.

AUTHOR CONTRIBUTIONS

XS, LS and HG performed the experiment. XS wrote the manuscript. IH, LS and TS revised the manuscript. IH provided the survey information. M-JZ guided the experimental design and revised the manuscript.

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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