Using Structured Content Plans for Fine-grained Syntactic Control in Pretrained Language Model Generation

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Abstract

Large pretrained language models offer powerful generation capabilities, but cannot be reliably controlled at a sub-sentential level. We propose to make such fine-grained control possible in pretrained LMs by generating text directly from a semantic representation, Abstract Meaning Representation (AMR), which is augmented at the node level with syntactic control tags. We experiment with English-language generation of three modes of syntax relevant to the framing of a sentence - verb voice, verb tense, and realization of human entities - and demonstrate that they can be reliably controlled, even in settings that diverge drastically from the training distribution. These syntactic aspects contribute to how information is framed in text, something that is important for applications such as summarization which aim to highlight salient information.

1 Introduction

Language models pretrained on enormous corpora have become a staple in natural language processing because of their power and adaptability (Devlin et al., 2019; Lewis et al., 2020; Raffel et al., 2020). These models exhibit strong performance across a range of applications, but are not inherently controllable beyond the choice of input. To enforce specific constraints on their output, we must introduce an additional mechanism for control, whether by inserting control codes during pretraining (Keskar et al., 2019) or by adding components to the model (Dathathri et al., 2020). These prior methods allow specification of high-level attributes (e.g., topic or sentiment) but leave the specifics of sub-sentential realization to the model.

In contrast, we present a method for controlling generation of specific verbs and entities within a sentence. We investigate the setting in which a pretrained language model is used not as an end-to-end solution, but rather to directly generate text from a predefined content plan. Such a content plan may be created at an intermediate stage in a given task (e.g., as the product of content selection over a document graph in AMR-based summarization) but is not inherently task-specific.

Specifically, we focus on the controllability of BART when it is fine-tuned to generate text from an intermediate Abstract Meaning Representation (AMR) (Banarescu et al., 2013), a form of graphical semantic representation. We choose AMR because it is relatively widely used, including as an intermediate representation in summarization (Liu et al., 2015; Hardy and Vlachos, 2018) and machine translation (Song et al., 2019), and the problem of AMR-to-text generation is well-studied (Konstas et al., 2017; Wang et al., 2020; Bai et al., 2020; Zhang et al., 2020). We focus on BART because of its competitive performance in summarization, a task where we feel our work is particularly applicable, as document-level content graphs have been shown to be useful intermediate representations in long-context summarization settings (Wu et al., 2021).

Our setting makes use of the powerful generation abilities of a pretrained language model while also exposing a direct graphical representation of the content, which allows us to target control tags to specific nodes in order to impose fine-grained control (i.e., constrain the text-level realization of specific verbs or entities). This is not possible in an end-to-end approach to some tasks, such as in summarization: although high-level attributes can be controlled during generation, there is no pre-existing syntactic or content plan, and so verbs and entities in the summary cannot be directly controlled as they have not been determined yet. In cases where we desire such control - e.g., in query-focused summarization, or when highlighting important entities (Nenkova et al., 2005) - it is thus useful to have a representation that specifies syntactic aspects at the level of individual verbs or entities.
In our experiments, we augment the AMR input to our generator with tags which we automatically infer for three modes of fine-grained syntax, which we choose for their relevance to summarization: verb voice (active or passive), verb tense, and syntactic realization of human entities (i.e., names and pronouns). Controlling voice and entities contributes to the model’s ability to use syntax to highlight a specific topic or focus, following centering theory (Grosz et al., 1995), which is important in cases where the focus of the summary may differ from the focus of sentences in the document. As plain AMR does not contain information about tense, which is important for maintaining faithful summaries, we also consider controlling verb tense to avoid generating hallucinations about when an event took place.

We find that finetuning BART to generate from AMR augmented with these syntax tags makes it largely controllable across all three types of syntax. Importantly, this holds true even when the finetuned models are evaluated on a test set designed to have a radically different class distribution than the training set, without any tradeoff as to the fluency of the generated output. We further find that training the same model with control on multiple syntactic modes improves performance on voice controllability, though not on tense. Our experiments show that our tagged models are far better at controlling voice, tense and entity realization than a model without tags.

In summary, our contributions are:

- A method of automatically extracting labels for each of three modes of fine-grained syntactic information for relevant AMR nodes (verb voice, verb tense, and entity realization), allowing us to create high-quality fine-tuning data at scale;
- Experiments demonstrating controllability in pretrained BART models finetuned for generation from tag-augmented AMR in both in-distribution and off-distribution settings;
- Ablation analyses and experiments on interactions between modes of syntax demonstrating which modes work well together.

Our code will be made available at https://github.com/feitzin/amr-controlled-generation.

## 2 Related Work

### Controllable generation

Most prior work on controllable generation focuses on global attributes that apply to the entire output (Hu et al., 2017; Shen et al., 2017; Chawla and Yang, 2020), rather than fine-grained control of the realization of individual units of content, as we do. This includes work on controllable generation with pretrained language models (Keskar et al., 2019; Dathathri et al., 2020).

Work on controllable summary generation also shares this focus on global attributes. Fan et al. (2018) trains a convolutional model to generate summaries controlled by attribute markers for length, entities to focus upon, domain, and subset of the text. He et al. (2020) fine-tunes a BART model to generate output summaries that are controlled using keywords or prompts, allowing the model to focus on specific entities or desired information. This approach addresses a similar problem to our work, but focuses on global rather than fine-grained control, does not necessarily frame a summary around selected relevant content, and is applicable to classic single-document summarization, whereas our approach is generalizable to multi-document and long-document settings due to its use of a content selection model.

### Pipelines in surface realization

Elder et al. (2019) demonstrate that a symbolic intermediate representation (based on a dependency graph) used as input to a neural generator can yield improvements on the surface realization task. Castro Ferreira et al. (2019) find that a pipeline of discrete modules can yield improvements over end-to-end neural models for data-to-text generation. However, Farahnak et al. (2020) find that a pretrained language model (BART) can outperform previous state-of-the-art modular pipelines on surface realization. We aim to take the best of both by using BART as our generator, but leaving the choice of content selector free.

### AMR-to-text generation

There is an active body of work on AMR-to-text generation (Konstas et al., 2017; Wang et al., 2020; Bai et al., 2020; Zhang et al., 2020), but most of this work uses architectures specialized for the AMR-to-text setting. In contrast, our work focuses on adapting this setting to controllable generation with pretrained language models. To our knowledge, the most closely related work to ours is that of Ribeiro et al. (2020), who investigate the use of pretrained language mod-
els for multiple graph-to-text generation settings, and whose finetuning setup we follow closely.

3 Data and Methodology

The AMR Bank (Knight et al., 2020) is the largest gold standard corpus for AMR, but it contains only around 60,000 annotated sentences in total, which is a relatively small amount of data for finetuning a large model such as BART. Thus, for finetuning, we instead use a much larger text corpus which we parse automatically using the published code for the AMR parser of Cai and Lam (2020). As the application we are interested in is summarization, we use the multidocument summarization corpus of Gholipour Ghalandari et al. (2020), which consists of 10,200 clusters containing on average 235 documents each. We refer to this as the reservoir corpus. Although we do not use the AMR Bank for finetuning, we do use its proxy subset, which contains news documents and summaries, as a second evaluation set.

Due to constraints on time and processing power, we do not use the entire reservoir corpus for fine-tuning, but rather finetune our models primarily on the reservoir validation set, which contains 580,787 sentences in total. We split the reservoir corpus’ validation set into a training set of 500,000 sentences and validation set of 80,000 sentences, which we use for finetuning. For evaluation, we sample 10,000 sentences from the reservoir training set to use for model analysis, and sample 10,000 sentences from the reservoir test set for final performance numbers. We reserve the remainder of the reservoir training set for experiments that require filtering out a portion of the data. We give an overview of the reservoir corpus’ split sizes and partitioning in Table 2.

3.1 AMR Linearization

We use finetuned BART models for AMR-to-text generation, but BART takes sequences as input rather than arbitrary directed graphs. Thus, following the methodology of Ribeiro et al. (2020), we linearize AMR graphs into a modified version of PENMAN format (Kasper, 1989) that omits identifying handles for each node: for each AMR graph, we perform a depth-first traversal of the graph, adding node and edge labels to the linearized sequence in order, as well as parentheses indicating depth levels (see Table 1 for an example).

3.2 Syntax labeling

In overview, our labeling procedure involves three steps: (1) extract syntactic labels from the raw text; (2) use the extracted labels to augment the linearized AMR for input to our generation models; (3) extract syntactic labels a second time from the models’ output to evaluate against the original tags. We use spaCy (Honnibal et al., 2020) for dependency parsing and part-of-speech tagging to extract syntactic labels. When augmenting linearized AMR, we insert syntactic tags as a modifier directly following the relevant node (see Table 1).

We provide class distributions for each mode of syntax in Appendix A. We note that the voice and entity classes are highly imbalanced, while tense is relatively more evenly distributed across classes.

Voice. To extract passive/active labels from a sentence, we examine the automatically extracted dependency parse for the sentence and individually label each verb as active or passive according to its edge labels. We verify the reliability of this method in §6.4.

Given these labels, we identify corresponding nodes in the AMR graph by performing exact string matching between the lemmas of each labeled verb and the concept labels of all AMR nodes representing a verb. Verb nodes that are not matched to any labeled verb lemma are not assigned a label. In linearization, the label appears as an additional modifier after the concept string of the verb: either :active or :passive.

Tense. We use the fine-grained part-of-speech tag under the Penn Treebank labeling scheme (Marcus et al., 1993) as the tense tag for each verb. We obtain these tags directly from the part-of-speech tagger.

Entity realization. We filter the data in our entity realization experiments to consider only sentences that fulfill two requirements. First, there must be at most one person node in the AMR. Second, the sentence must contain at most one of the pronouns {she, he, they} or their associated forms (i.e., a sentence containing “he” and “himself” would be acceptable; a sentence containing “her” and “their” would be discarded). This eliminates the possibility of introducing additional error using automatic coreference.

We assume that if one of these pronouns occurs in such a sentence, it is associated with the single person node in the AMR, and give that node the appropriate tag among
They had received a call to conduct a background check about 6:15 p.m.

Table 1: An example sentence and linearized AMR with voice tags inserted. The verbs tagged for voice in the second example are “receive” (active) and “conduct” (active). Tags are bolded for readability.

| Split | Sentences | Usage                  |
|-------|-----------|------------------------|
| Train | 4.38M     | Held in reserve. (10k split for analysis.) |
| Val   | 581k      | Finetuning data (500k train, 80k validation). |
| Test  | 543k      | 10k sampled from test set. |

Table 2: Partitioning of the larger reservoir corpus.

:pronoun-{he/she/they}. If the person node is named in the AMR, we give it the tag :named. Otherwise, we assume that the person in question is described in some other way, such as by profession (e.g. “scientist”) or by an action they perform (e.g. “visitor”) and give it the :desc tag. We remove the :desc class from our experiments because it encompasses both generic and specific references, while we are interested only in specific references (for details, see Appendix B).

In our entity realization experiments, we thus filter out sentences with :desc tags or multiple person nodes from the reservoir training set until we have an equivalent amount of data to that used in the other experiments, giving us alternate training and validation sets of equal size. To obtain our entity test set, we filter out sentences with :desc tags and sentences with no person nodes, until we have 10,000 sentences.

4 Experiments

In our experiments, we compare three types of models in total: first, a baseline “untagged” BART model finetuned on pure AMR-to-text generation with no control tags; second, BART models finetuned to produce text from linearized AMR with syntax tags for each mode of syntax individually; and finally, a set of models finetuned with control tags for multiple modes of syntax. For both voice and tense, we report results both on our own test set (10k sentences) as well as the test set of the proxy subset of the AMR Bank (approximately 800 sentences) for comparison on gold AMR parses. For entity, we report results only on our own test set, as after filtering the proxy test set to remove sentences with :desc tags and sentences with greater or fewer than one person node, only 16 sentences remained.

4.1 Hyperparameter settings

We use Fairseq (Ott et al., 2019) for finetuning. Based on preliminary experimental results, we evaluate all models after four epochs. We train all models using the Adam (Kingma and Ba, 2014) optimizer with a learning rate of $3 \times 10^{-5}$ and polynomial learning rate decay. (For full hyperparameter settings, see Appendix C.)

4.2 Syntactic control

To investigate the effect of finetuning with our syntax tags, we automatically extract syntactic labels from each verb in the output from each model using our automatic labeling procedure. For each mode of syntax, we measure performance on generation with the corresponding labels as a classification task using macro F1. As person nodes may have both a :named tag and a pronoun tag attached, we measure entity realization performance on two separate tasks: whether our model generated a name
or not, and whether our model used the correct pronoun (if it used a pronoun at all). For tense and pronouns, we additionally provide breakdowns of F1 per class on our test set.

As we only measure F1 over the set of labeled nodes from the original sentence that are reproduced in the generated text (see §3.2), we additionally recorded the percentage of such nodes, i.e. the node retention, which indicates the proportion of labels that are kept for evaluation, rather than dropped because the corresponding nodes are not realized as the same lemma in the generated output. Across all models for all settings, node retention is upward of .8 on our test set.

Evaluating directly on the test set measures how well our finetuned models can reproduce the desired syntax in a setting where syntactic labels follow a similar distribution to the training set, as they are both drawn from the same base corpus. In order to isolate the effect that using control tags gives us, we also report performance in an off-distribution setting. For each mode of syntax, we create a flipped evaluation set by perturbing the control tags inserted into the evaluation inputs, which directs BART to generate less distributionally plausible voices: for voice, we swap active and passive tags; for tense, we swap between past and present, and for entities, we use a two-stage strategy for randomizing name and pronoun tags. For full details, see Appendix D.

4.3 Sentence quality

To measure fluency, we compute BLEU score (Papineni et al., 2002) of the generated text against the original sentence. While smatch (Cai and Knight, 2013) could be used to compare automatic AMR parses of the generated text against the input AMR, that would also inherently evaluate the AMR parser used, which is not our focus.

5 Results

5.1 Voice

We report performance of each model on the active/passive reproduction task in Table 3. The ‘flipped’ statistics (the second column in each pair) are on the flipped evaluation set, i.e. with all voice tags flipped, which forces the model to generate against the regular voice distribution.

The model finetuned with control tags performs noticeably better than the untagged model on the regular evaluation set, but its controllability truly shows through on the flipped set, where it outperforms the uncontrolled model by an order of magnitude. Though there is a sharp drop in performance from the original setting, it still manages to reproduce a nontrivial proportion of verbs in the specified voice even when the tags are flipped, indicating that it is indeed able to some extent to disregard whatever signal may be present in the raw AMR.

We note that, although we naïvely flip all tags in the flipped setting, some verbs cannot appear in the passive (e.g., intransitive verbs such as “sleep”), which lowers the maximum possible score.1

Interestingly, even the untagged model achieves impressive performance on the evaluation set, suggesting that the model does receive some signal as to verb voice. We hypothesize that it is picking up on the highly skewed verb distribution (see Appendix A), as the active voice is about an order of magnitude more prevalent in the data than the passive. However, its performance on the flipped evaluation set is trivially far worse, as the untagged model does not see the control tags at test time and produces exactly the same output either way.

We note that results on the proxy set are slightly lower in the original setting but slightly higher in the flipped setting compared to our original test set.

5.2 Tense

We report performance on tense in Table 4. We have a much more dramatic improvement for tense than for voice when comparing the model fine-tuned with tags to the model fine-tuned without; the untagged model does poorly even on the in-distribution evaluation set, whereas the tagged model does quite well on both. This may indicate that the distinctions between the multiple types of verb tense are more difficult for the model to

1See Appendix E for more detail.
Table 4: Performance of finetuned BART models for verb tense. F1 is reported on both our test set and the proxy test set; individual class F1 scores are on our test set.

| Model          | Flipping | Macro F1 | Proxy F1 | VB  | VBD | VBG | VBN | VBP | VBZ |
|----------------|----------|----------|----------|-----|-----|-----|-----|-----|-----|
| Untagged       | None     | 0.691    | 0.448    | 0.830| 0.747| 0.687| 0.757| 0.562| 0.564|
| Tense          | None     | 0.979    | 0.961    | 0.990| 0.981| 0.994| 0.979| 0.949| 0.982|
| v + t          | None     | 0.978    | 0.953    | 0.988| 0.976| 0.992| 0.974| 0.953| 0.986|
| v + t + e      | None     | 0.971    | 0.941    | 0.986| 0.968| 0.991| 0.967| 0.933| 0.983|
| Untagged       | Flipped  | 0.185    | 0.196    | 0.826| 0.114| 0.035| 0.075| 0.006| 0.055|
| Tense          | Flipped  | 0.784    | 0.806    | 0.986| 0.909| 0.871| 0.830| 0.143| 0.964|
| v + t          | Flipped  | 0.786    | 0.899    | 0.983| 0.902| 0.859| 0.800| 0.207| 0.966|
| v + t + e      | Flipped  | 0.760    | 0.736    | 0.981| 0.891| 0.818| 0.736| 0.173| 0.959|

Table 5: Performance of finetuned BART models for entity realization. F1 is reported for the binary named - not named task as well as for the pronoun generation task. Numbers here are only on our test set, as there were only 16 sentences remaining in the proxy set after filtering.

| Model          | Flipping | Name F1 | Pronoun F1 | she | he  | they |
|----------------|----------|---------|------------|-----|-----|------|
| Untagged       | None     | 0.399   | 0.720      | 0.473| 0.782| 0.906|
| Entity         | None     | 0.407   | 0.996      | 0.993| 0.998| 0.998|
| v + e          | None     | 0.395   | 0.995      | 0.992| 0.997| 0.996|
| v + t + e      | None     | 0.403   | 0.999      | 1.000| 0.998| 0.998|
| Untagged       | Flipped  | 0.401   | 0.204      | 0.083| 0.283| 0.245|
| Entity         | Flipped  | 0.399   | 0.980      | 0.986| 0.985| 0.970|
| v + e          | Flipped  | 0.426   | 0.976      | 0.978| 0.988| 0.961|
| v + t + e      | Flipped  | 0.433   | 0.967      | 0.974| 0.975| 0.953|

5.3 Entity realization

We report performance on entity realization, our final syntactic task, in Table 5. Interestingly, it seems that names are quite difficult to learn - our scores simply measure whether the model generated a name or not, regardless of whether it was the correct name, and even in that case, F1 is quite low.

A second observation is that flipping does not seem to have a large effect on pronouns, which suggests that the model has learned to generalize across different types of pronouns quite well - there is not a noticeable difference between performance across pronoun classes, even though there is a moderate imbalance in the distribution.

In the case of names, scores for some models actually go up slightly in the flipped setting. This may indicate that the models have a tendency to guess something closer to the randomized distribution of name labels in the flipped evaluation sets.

5.4 Sentence quality

We report BLEU scores in the original (not-flipped) setting in Table 6. Adding tags in fine-tuning slightly improves BLEU, suggesting that the additional signal is helpful. At minimum, this indicates that we can finetune BART to use syntax control tags without having to worry about interfering with the content of its generated output.

Table 6: BLEU scores for generated outputs from base tagged and untagged models against original sentences.

| Model          | Syntax mode | BLEU |
|----------------|-------------|------|
| Untagged Voice | 0.679       |
| Voice          | 0.688       |
| Untagged Tense | 0.679       |
| Tense          | 0.703       |
| Untagged Entity| 0.670       |
| Entity         | 0.707       |
Generator | Example sentence
--- | ---
Input | ( lead :passive :ARG0 ( this ) :ARG0 ( chief :ARG0-of ( act :active ) ) :ARG1 ( organization :name ( Pentagon ) ) :frequency 3 :time ( history ) )
Untagged Voice | This is the third time in history that an acting chief has led the Pentagon.
Tagged Voice | This is the third time in history that the Pentagon has been led by an acting chief.
Input | ( see :passive :ARG0 ( shrine ) :ARG1 ( person :ARG0-of ( visit ) :source ( religion :mod ( all ) ) ) :duration ( multiple :op1 ( temporal-quantity :quant 1 :unit ( century ) ) ) )
Untagged Voice | For centuries, the shrine has seen visitors from all religions.
Tagged Voice | The shrine has been seen by visitors from all religions for centuries.
Input | ( change :VBG :ARG1 ( intensity :mod ( forecast ) ) :mod ( also ) )
Untagged Tense | There will also be changes in forecast intensity.
Tagged Tense | The forecast intensity is also changing.
Input | ( announce :ARG1 ( include :ARG1 ( person :named name ( Jim ) :wiki - ) :ARG2 ( honoree ) ) :time ( previous ) )
Untagged Entity | It was previously announced that Jim O’Brien would be included as an honoree.
Tagged Entity | It had previously been announced that Jim Hightower would be among the honorees.

Table 7: Example inputs and outputs from tagged and untagged models, with correct syntactic realizations in **bold** and incorrect underlined. Control tags in the input are *italicized*; these tags are not present in the version of the input passed to the untagged model.

### 6 Analysis

#### 6.1 Syntax interactions

In order to investigate the interaction between the different modes of syntax, we additionally train a set of models that incorporate multiple types of tags. These are reported in the results tables as the “voice+tense”, “voice+entity”, and “voice+tense+entity” models.

Interestingly, adding tense seems to improve performance on voice, whereas the converse does not hold, while entity realization seems to be an orthogonal task: the “voice+tense” model achieves better performance on voice but not on tense in the more difficult flipped setting, whereas combining entity realization with other types of syntax leads to a drop in performance.

#### 6.2 Qualitative analysis

We provide some examples of generated output in Table 7. A number of further examples are available in Appendix F. The first example is a case from the original evaluation set where the tagged voice model correctly generates the main verb (“lead”) in the passive, whereas the untagged model incorrectly guesses it to be active. In such cases where a verb is generated in an unusual voice, the untagged model seems to make its guess based on the verb’s more common voice, whereas the tagged model is able to adjust its output based on the control tag. The third example illustrates a comparable situation with tense generation.

The second example, from the flipped evaluation set, illustrates a different phenomenon we observed in some cases. The untagged model generates the voice that was correct in the original setting (but is incorrect here). The tagged model correctly generates the main verb (“seen”) in the passive tense, but it makes a semantic error in doing so, changing the shrine to the object rather than the subject of the seeing - in a sense seeming to overcorrect for the change in voice.

Finally, we observed across models a tendency to hallucinate occasionally, particularly on numbers and on person and country names; while the tagged entity model usually correctly uses the name of a person when given in the input, even this model still sometimes hallucinates information that isn’t there, such as the surname in the fourth example.

#### 6.3 Structural ablation

We have now seen that we can successfully use tagged AMR as input to give us fine-grained controllability. However, it remains unclear exactly how much information from the tags the model is using, or how much it is able to infer on its own. In order to investigate precisely which parts of the AMR are necessary, we train a series of ablation models on the voice control task by gradually removing components of the input AMR.
### Components removed | F1 | Flipped F1
---|---|---
None (untagged) | 0.838 | 0.047
None (voice tags) | 0.953 | 0.431
Edges | 0.964 | 0.438
Edges + structure | 0.964 | 0.462
Edges + structure + tags | 0.796 | 0.052

Table 8: Performance of full and ablated BART models on the analysis set for verb voice.

### Ablation | BLEU
---|---
None (untagged) | 0.717
None (voice tags) | 0.731
Edges | 0.713
Edges + structure | 0.666
Edges + structure + tags | 0.642

Table 9: BLEU scores for base and ablated models on the analysis set for voice.

We train three ablated AMR-to-text models: a model where we remove relation tags (i.e., edge labels); a model where we remove relations and graph structure (i.e., parentheses); and a model where we remove relations, structure, and the syntax control tags themselves.

We present results on ablated models alongside the original tagged model in Table 8, and include the ablated models’ content metrics in Table 9. Surprisingly, our first two ablations (removing edge labels and parentheses) both yield slight improvements in voice controllability. However, this comes at the expense of BLEU score, which drops 2 points when edges are removed and 7 points when both edges and structure are removed. This suggests that removing edge and structural information somehow makes it easier for the models to focus on the correspondence between tags and syntax in the training data, but at the cost of information about content.

#### 6.4 Voice tagging accuracy

As our voice labels are derived from automatic dependency parses, we check that our tagging method is giving us reasonable labels by evaluating it separately. We compare the voice tags from our tagging method against the gold voice labels from two Universal Dependencies treebanks in English (GUM and LinEs) and present the results in Table 10. Both treebanks present a similar active/passive skew to our data. On both treebanks, performance on the majority active class is very high, whereas performance on passive verbs differs between the two: on GUM, our tagging method still picks up passive verbs quite well, whereas on LinEs, recall on the passive class is much lower. Given the GUM results, in our experiments, we assume that our tagging method is reliable enough to use as gold standard, but in future research, further work on picking up the missing passive instances from LinEs-like sentences may thus prove valuable.

| Treebank | True voice | Prec. | Recall | F1 |
|---|---|---|---|---|
| GUM Passive | 0.982 | 0.885 | 0.931 |
| GUM Active | 0.993 | 0.936 | 0.964 |
| LinEs Passive | 0.937 | 0.468 | 0.625 |
| LinEs Active | 0.941 | 0.918 | 0.930 |

Table 10: Our automatic voice tagging on the development sets of Universal Dependencies treebanks. Precision, recall and F1 are evaluated against gold labels.

### 7 Conclusion and future directions

In this paper, we investigate the controllability of three modes of syntax - verb voice, verb tense, and syntactic entity realization - when using BART to generate text from AMR input augmented with automatically extracted syntactic labels. We find that all three modes of syntax are more reliably reproduced when these augmentations are added, yielding more accurate and more faithful outputs. Further, even when we artificially engineer the distribution of tags to be as far from training as possible, the models with tags still far outperform the model without, without sacrificing fluency.

Ultimately, our labeling strategy allows us to automatically create data at good enough quality and scale that we can successfully finetune a pre-trained LM generator to reliably follow a content plan augmented with fine-grained syntactic tags. This setting is particularly useful in tasks such as summarization, where there is no one-to-one mapping between input and output content, and thus no appropriate place to insert fine-grained tags in the original input.

There are many avenues of possible future study with our method of controllable generation; one natural future direction would be an expansion of the setting from individual sentence AMRs to a collection of AMRs forming a contiguous passage or document, as is the ultimate goal of this work.
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A Data Imbalance

We provide the breakdown of label distributions within each class in Table 11.

| Mode | Classes          |
|------|------------------|
| Voice| Active (0.926)   |
|      | Passive (0.074)  |
|      | VB (0.216)       |
|      | VBD (0.259)      |
|      | VBG (0.165)      |
|      | VBN (0.230)      |
|      | VBP (0.053)      |
|      | VBZ (0.078)      |
| Tense| desc (0.770)    |
|      | named (0.109)    |
|      | pronoun-she (0.010) |
|      | pronoun-he (0.041) |
|      | pronoun-they (0.070) |

Table 11: Class distributions for each syntax mode.

B The description class

As mentioned in §3.2, the description class contains not only cases where the entity described is a specific reference (i.e., a particular identifiable person), but also generic references (i.e., terms describing classes of people, such as “visitors to the location”). Our focus in this paper is on realization of specific entities and not generics; we thus omit sentences containing the :desc class from our experiments. This has the incidental benefit of leaving us with much more balanced data, as descriptions originally made up the majority class (approximately 80% of all person instances).

C Finetuning details

In our experiments, we use Fairseq to finetune from a pretrained bart-large model for four epochs using Adam; we use a learning rate of 3e-05, dropout of 0.1, and polynomial learning rate decay with 500 warmup updates and 2,000,000 total updates.

D Label flipping

To create our flipped data, we use the following strategies to perturb labels:

- **Voice.** Swap active and passive tags.
- **Tense.** Flip between past and present, i.e., VBG and VBN are swapped, and VBD is swapped with VBP and VBZ. (VB is left unchanged.)
- **Entities.** If the node has only a pronoun tag, we replace it with a random pronoun tag that differs from the original, and with 0.5 probability we add a dummy name node (drawn from a list of the top 100 most common unisex names in the United States2) and a :named tag. If the node originally had a :named tag, with 0.5 probability we remove it and add a random pronoun tag that differs from the one it had, if any.

E Feasibility of voice flipping

We performed a hand analysis of 50 sentences to estimate the proportion of sentences in which it was possible to flip the voice of the main verb. For sentences where it was possible to flip the voice, we also judged whether that would result in an undesirably awkward sentence (e.g., “they clinked glasses” versus “glasses were clinked by them”) and whether it would have required significant modification of sentence structure (e.g., reordering clauses).

We found that in 12 sentences the main verb could not be flipped. Of those sentences where the main verb could be flipped, in 6 it would have resulted in an awkward sentence and in 10 it would have required some modification of sentence structure to preserve the meaning of the sentence.

This suggests that the effective ceiling on possible performance on voice controllability in the flipped setting is somewhere between approximately 64% and 76% (depending on whether awkward sentences are considered infeasible), and achieving performance higher than approximately 44% may be particularly difficult, as perfectly flipping the voice would require learning to modify the structure of the entire sentence.

F Further examples

We provide further examples of generated output in Table 12.

One observation is that correct syntactic realization does not necessarily entail correct semantics or freedom from hallucination, as evidenced in the third example, where both models hallucinate an update frequency of every 15 minutes rather than every 10 minutes. Hallucinations about person and

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2https://github.com/fivethirtyeight/data/tree/master/unisex-names
country names are particularly common, as demonstrated in the fourth example, where both models hallucinate different country names for the country entities in the input, whereas the names are not explicitly provided.

Sometimes it seems that the tagged models provide improvements over the untagged model that we do not directly measure in our syntactic evaluation; the final two examples illustrate cases where the entity model correctly names an entity that the untagged model gave an incorrect name derived from its wiki tag (rather than its name tag), although both would have been considered correct in our evaluation as a name was produced for both.
| Generator | Example sentence |
|-----------|------------------|
| Input     | ( contrast :ARG1 ( welcome :*passive* :ARG0 ( person :ARG0-of ( criticize ) :quant ( many ) ) :ARG1 ( move ) ) :ARG2 ( say :*active* :ARG0 ( some ) :ARG1 ( and :op1 ( enough :ARG0 move ) :op2 ( come :*active* :ARG1 move :time ( late :degree ( too ) ) ) ) ) ) ) |
| Untagged  | Many welcomed the move, but some said it wasn’t enough and came too late. |
| Voice     | The move was welcomed by many critics, but some said it wasn’t enough and came too late. |
| Input     | ( make :*VBN* :ARG0 ( country :name ( France ) :wiki "France" ) :ARG1 ( point :topic ( reduce :*VBG* :ARG0 country :ARG1 ( impact :ARG0 ( environment ) :ARG0 ( meal :ARG1-of ( serve :*VBN* :time ( summit ) ) ) ) ) ) ) :mod ( also ) |
| Untagged  | France will also make a point of reducing the environmental impact of the meals served during the summit. |
| Tense     | France has also made a point of reducing the environmental impact of the meals served at the summit. |
| Input     | ( update :*VBZ* :ARG1 ( map :mod ( interactive ) ) :frequency ( rate-entity-91 :ARG3 ( temporal-quantity :quant 10 :unit ( minute ) ) ) ) |
| Untagged  | The interactive map will be updated every 15 minutes. |
| Tense     | The interactive map updates every 15 minutes. |
| Input     | ( and :op1 ( effect :ARG1 ( watch :ARG1 ( hurricane ) :ARG1 ( island ) ) ) :op2 ( post :*VBN* :ARG1 ( warn :ARG1 ( storm ) ) :ARG2 ( and :op1 ( country ) :op3 ( country ) ) ) ) |
| Untagged  | A hurricane watch is in effect for the islands, and storm warnings have been posted for Curaçao, Aruba, Bonaire and Curacao del Sur. |
| Tense     | A hurricane watch is in effect for the islands, and storm warnings have been posted for Guam, Malawi and the island of Curaçao. |
| Input     | ( sit-down-02 :ARG1 ( gauntlet :part-of ( negotiate :ARG2 ( and :op1 ( crisis :mod ( international ) ) ) ) :op2 ( war :topic ( trade ) ) ) ) :ARG2 ( person :named :name ( Putin ) :wiki "Vladimir_Putin" ) ) |
| Untagged  | the gauntlet of negotiations over international crises and trade wars will be sat down with Vladimir Putin. |
| Entity    | the gauntlet of negotiations on international crises and trade wars is a sit-down with Putin. |
| Input     | ( say :ARG0 ( person :named :name ( Trump ) :wiki "Donald_Trump" ) :ARG1 ( pressure :ARG0 ( time ) :degree ( absolute ) ) ) |
| Untagged  | “Time is absolutely under pressure,” said Donald_Trump Jr. |
| Entity    | “Time is absolutely under pressure,” Trump said. |

Table 12: Example inputs and outputs from tagged and untagged models, with correct syntactic realizations in bold and incorrect underlined. Control tags in the input are italicized; these tags are not present in the version of the input passed to the untagged model.