Emergence of pion parton distributions

Z.-F. Cui, M. Ding, J. M. Morgado, K. Raya, D. Binosi, L. Chang, F. De Soto, C. D. Roberts, J. Rodríguez-Quintero, and S. M. Schmidt

1 School of Physics, Nanjing University, Nanjing, Jiangsu 210093, China
2 Institute for Nonperturbative Physics, Nanjing University, Nanjing, Jiangsu 210093, China
3 Helmholtz-Zentrum Dresden-Rossendorf, Bautzner Landstraße 400, D-01328 Dresden, Germany
4 Department of Integrated Sciences and Center for Advanced Studies in Physics, Mathematics and Computation, University of Huelva, E-21071 Huelva, Spain.
5 Departamento de Física Teórica y del Cosmos, Universidad de Granada, E-18071, Granada, Spain
6 Instituto de Ciencias Nucleares, Universidad Nacional Autónoma de México, Apartado Postal 70-543, CDMX 04510, México
7 European Centre for Theoretical Studies in Nuclear Physics and Related Areas, Villa Tambosi, Strada delle Tabarelle 286, I-38123 Villazzano (TN), Italy
8 School of Physics, Nankai University, Tianjin 300071, China
9 Dpto. Sistemas Físicos, Químicos y Naturales, Univ. Pablo de Olavide, E-41013 Sevilla, Spain
10 RWTH Aachen University, III. Physikalisches Institut B, Aachen D-52074, Germany

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Supposing only that there is an effective charge which defines an evolution scheme for parton distribution functions (DFs) that is all-orders exact, strict lower and upper bounds on all Mellin moments of the valence-quark DFs of pion-like systems are derived. Exploiting contemporary results from numerical simulations of lattice-regularised quantum chromodynamics (QCD) that are consistent with these bounds, parameter-free predictions for pion valence, glue, and sea DFs are obtained. The form of the valence-quark DF at large values of the light-front momentum fraction is consistent with predictions derived using the QCD-prescribed behaviour of the pion wave function.

I. ISSUES AND MOTIVATIONS

Within the Standard Model of particle physics, hadrons emerged roughly 10 μs after the Big Bang [1]. At this time, the colour-carrying gluon and quark (parton) degrees-of-freedom, in terms of which the Lagrangian of quantum chromodynamics (QCD) is expressed, were sublimed into colour-singlet bound states with nuclear-size masses and femtometre-scale radii. Pions (π⁺, π⁰) are the lightest hadrons; and without them, elementary phenomena, e.g., what imprints, if any, does this NG boson character leave on pion structure, and it distinguishes their structure and interactions from those of the nucleons they bind? In QCD, pions are bound-states seeded by a valence-quark and valence-antiquark, Fig. 1. Yet, their properties cannot be determined by solving a typical two-body problem in quantum mechanics. Owing to strong self-interactions amongst gluons – QCD’s gauge bosons, the Lagrangian gluon and quark partons are transmogrified into complex quasiparticles. Each parton species evolves to acquire a distinct dynamically generated running mass [6–8], both of which are large at infrared momenta and typified by a renormalisation group invariant mass m₀ ≈ m_N/2; and the interactions between these quasiparticles are described by a momentum dependent coupling [9, 10], α̃(k²), which runs to saturate at infrared momenta: α̃(k² ≲ m₀²) ≈ π. These features are primary signals of the dynamical breaking of scale invariance in QCD [11], i.e., the phenomenon of emergent hadron mass (EHM). They produce a pion whose structure, when unfolded in terms of parton degrees-of-freedom, has the

FIG. 1. In terms of QCD’s Lagrangian degrees-of-freedom, the π⁺ contains one valence u-quark, one valence d-quark, and, owing to the strong-interaction, infinitely many gluons and sea quarks, indicated here as “springs” and closed loops, respectively. (π⁻ is d̅u and π⁰ is u̅u − d̅d.)
complicated character illustrated in Fig. 1, and may lead to gluon and quark confinement [12, 13].

Continuum Schwinger function methods (CSMs) [14–17] are well suited to tackling the pion. Successes have been achieved by solving the coupled quark gap and meson Bethe-Salpeter equations to obtain the pion’s Bethe-Salpeter wave function, \(\chi_{\pi}(k, k - P)\), where \(P\) is the pion’s total momentum and \(k\) is the momentum of the valence-quark, and exploiting that to predict pion observables [8, 18]. Of great importance to an explanation of pion properties, is the expression of an intimate link between the dressed-quark mass function and \(\chi_{\pi}[19–24]\). This link means that, although it can be studied using a large array of reactions [17, 25–27], the sharpest probes of EHM are found in pion properties; raising pion structure studies to the highest level of importance [28–34].

Much is promised by data relating to pion parton distribution functions (DFs), viz., the probability densities describing the light-front momentum fractions carried by each parton species within the pion [35]. For instance, \(u^\pi(x; \zeta)\) is the density for finding a valence quark with momentum fraction \(x\) when the pion is resolved at scale \(\zeta\). On \(\zeta \lesssim 2m_N\), this valence-quark is not equivalent to a valence-quark-parton; rather, it is connected to that parton as an object dressed by interactions in the manner described by the quark gap equation [36]. Undressing reveals the complexities in Fig. 1, leading to growth of the glue and sea-quark DFs, \(g^\pi(x), S^\pi(x)\). However, more than forty years after the first experiment to collect data suitable for extracting pion DFs [37–40], the behaviour of all these functions remains uncertain and controversial [41, 42]; some analyses potentially challenge QCD as the theory of strong interactions. New experiments [28–34] will hopefully serve to dispel the confusion.

II. SYMMETRY AND PION WAVE FUNCTIONS

Notwithstanding the intricacies of Fig. 1, simplicity emerges when one adapts CSMs to the pion problem. Then, at infrared scales, the \(\pi^+\), for instance, appears as a two-body bound-state of a dressed-valence-quark, \(u\), and a dressed-valence-antiquark \(\bar{d}\), with the complexity hidden from view because the infinitely many gluon and quark partons have been absorbed into making the dressed quasiparticles. In this case, exploiting the \(G\)-parity symmetry limit, which is an accurate reflection of Nature,

\[
\chi_{\pi}(k, k - P) = \chi_{\pi}(-k + P, -k).
\]

Unlike wave functions in quantum mechanics, \(\chi_{\pi}\) does not have a probability interpretation; hence, cannot directly yield \(u^\pi(x; \zeta)\). That door is opened by projection to obtain the associated light-front wave function (LFWF) [43, 44], \(\psi_{\pi}(x, |\vec{k}_\perp|^2; \zeta)\), which is a probability amplitude. Here, using linearly independent four-vectors \(n, \bar{n}\), with \(n^2 = 0 = \bar{n}^2, n \cdot \bar{n} = -1\): \(x = n \cdot k/n \cdot P\), i.e., the light-front fraction of the pion’s total momentum carried by the valence-quark; and \(\vec{k}\), is that part of the valence-quark’s momentum which lies in the light-front transverse plane.

Using the LFWF,

\[
u^\pi(x; \zeta) \equiv H^\pi_u(x, t = 0; \zeta),
\]

where \(H^\pi_u\) is the valence \(u\)-quark forward generalised parton distribution [45], and:

\[
H^\pi_u(x, 0; \zeta) = \int \frac{d^2k_\perp}{16\pi^3} |\psi_{\pi}^u(x, k_\perp^2; \zeta)|^2.
\]

The LFWF defined by projection of \(\chi_{\pi}(k, k - P)\) is associated with a scale, \(\zeta = \zeta_H\), at which the dressed-quark and -antiquark carry all pion properties and Eq. (1) entails \(\psi_{\pi}^u(x, |\vec{k}_\perp|^2; \zeta_H) = \psi_{\pi}^u(1 - x, |\vec{k}_\perp|^2; \zeta_H)\). Hence,

\[
u^\pi(x; \zeta_H) = \nu^\pi(1 - x; \zeta_H),
\]

\[
\langle 2x \rangle_{u,e} := \int_0^1 dx \, 2x \nu^\pi(x; \zeta_H)) = 1,
\]

confirming that dressed valence degrees-of-freedom carry all the pion’s light-front momentum at this scale. Momentum conservation demands that the glue and sea momentum fractions vanish at \(\zeta_H\); and since DFs are non-negative on \(x \in [0, 1]\), then \(\eta^\pi(x; \zeta_H) \equiv \eta^\pi(x; \zeta_H)\).

As the resolving scale is increased to \(\zeta > \zeta_H\), the dressed-quark and -antiquark begin to shed their clothing, gluon emission and subsequent splitting commence [46], and QCD evolution (DGLAP) [47–50] proceeds to generate nonzero glue and sea distributions from the nonperturbative information contained in \(u^\pi(x; \zeta_H)\). Thus, the complex structure in Fig. 1 emerges.

A prediction for the value of \(\zeta_H\) follows from the properties of QCD’s renormalisation group invariant effective charge [10, 41, 51–54], \(\delta(k^2)\). Its scale is set by \(m_0\), the gluon mass [8, 10]. Notwithstanding that, the value of \(\zeta_H\) is immaterial herein, so long as Eq. (4) is understood.

Introducing the distribution \(P(t) = u^\pi([1 + t]/2; \zeta_H)\), the Mellin moments of the pion valence-quark DF are:

\[
\langle x^n \rangle_{u,e} = \frac{1}{2^n} \sum_{i=0}^{[n/2]} \binom{n}{2i} \langle t^{2i} \rangle_P,
\]

\[
\langle t^i \rangle_P = \int_0^1 dt \, t^i \, P(t).
\]

Since the hadron scale DF of a ground-state pseudoscalar meson is necessarily unimodal [8, Sec. 3], two limiting cases are apparent: (i) \(P(t) = \delta(t)\), corresponding to a pion constituted from two infinitely-massive valence constituents; and (ii) its antithesis, \(P(t) = \theta(1 + t)\theta(1 - t)\), which is obtained for a massless pion using a symmetry-preserving treatment of a vector × vector contact interaction [55]. They lead to the following bounds:

\[
\frac{1}{2^n} \leq \langle x^n \rangle_{u,e} \leq \frac{1}{1 + n}.
\]
TABLE I. Lattice-QCD results for Mellin moments of the pion valence-quark DF at \(\zeta = \zeta_2 = 2\) GeV [65] and \(\zeta_5 = 5.2\) GeV [66, 67]

| n   | [65]        | [66]        | [67]        |
|-----|-------------|-------------|-------------|
| 1   | 0.254(03)   | 0.18(3)     | 0.23(3)(7)  |
| 2   | 0.094(12)   | 0.064(10)   | 0.087(05)(08) |
| 3   | 0.057(04)   | 0.030(05)   | 0.041(05)(09) |
| 4   |             | 0.023(05)(06) |            |
| 5   |             | 0.014(04)(05) |            |
| 6   |             | 0.009(03)(03) |            |

III. PRINCIPLE AND PRACTICE OF ALL-ORDERS EVOLUTION

We proceed by exploring the consequences of the following hypothesis [42]:

P1 – *There exists at least one effective charge, \(\alpha_{\perp}(k^2)\), such that, when used to integrate the one-loop DGLAP equations, an evolution scheme for parton DFs is defined that is all-orders exact.*

Charges of this type are discussed in Refs. [56–58]. They need not be process-independent (P1); hence, not unique. Nevertheless, a suitable P1 charge is not excluded, e.g., that discussed in Refs. [10, 54] has proved efficacious. In being defined via an observable – in this case, pion structure functions, each such \(\alpha_{\perp}(k^2)\) is [59]: consistent with the renormalisation group; renormalisation scheme independent; everywhere analytic and finite; and supplies an infrared completion of any standard running coupling.

Regarding this hypothesis, it is worth observing here that CSM results for pion \(\zeta = \zeta_H\) valence DFs, obtained from symmetry-preserving analyses and used as initial values for evolution according to P1, yield predictions for all pion \(\zeta > \zeta_H\) DFs (valence, sea, glue) that are consistent with QCD expectations, including those on their small- and large-x behaviour [42, 60, 61]. Owing to a deficit of pion data [8, Table 9.5], more cannot yet be said. On the other hand, given the large amount of relevant proton data, one might think it possible to test a variant of P1 using phenomenological proton DF fits [62, 63]. Unfortunately, however, except that such fits are inconsistent with a range of QCD constraints; so, they cannot serve as a reliable foundation for testing the validity of evolution schemes related to P1. In large part, this explains conclusions drawn elsewhere [64]. Future such studies should be built upon improved DF fits and use an effective charge that furnishes an infrared completion of QCD.

P1 entails [68, Sec. VII]

\[
\langle x^n \rangle_{\zeta_H} = \langle x^n \rangle_{\zeta_0} \left( \frac{\gamma_{\zeta}}{\gamma_0} \right)^n / \gamma_0,
\]

where \(\gamma_0 = 0\) and, for \(n_f = 4\) quark flavours, \(\gamma_{1,2}^{1,2} = 32/9, 50/9\). The higher-\(n\) results are discussed elsewhere [68, Eq. (56a)]. Thus, given the pion valence-quark DF at one scale, \(\zeta_H\), then its pointwise behaviour at any other scale, \(\zeta\), is fully determined by the value of its first moment at \(\zeta\). No other knowledge is required; especially, one need know nothing about the actual form of \(\alpha_{\perp}(k^2)\). Similar statements are true for \(g^\gamma(x;\zeta), S^\pi(x;\zeta)\). As noted above, the hadron scale is uniquely defined by \(\langle 2x \rangle_{\zeta_0}^\gamma = 1.\) Inserting Eq. (8) into Eq. (7), one finds:

\[
\frac{1}{2^n} \leq \langle x^n \rangle_{\zeta_H} \left( \frac{\langle 2x \rangle_{\zeta_H}^\gamma}{\gamma_0} \right)^n / \gamma_0 \leq \frac{1}{1 + n}.
\]

Together, Eqs. (4), (8) entail this recursion [42]:

\[
\langle x^{2n+1} \rangle_{\zeta_H} = \left( \frac{\langle 2x \rangle_{\zeta_H}^\gamma}{\gamma_0} \right)^{2n+1} / \gamma_0 \times \sum_{j=0,1,\ldots}^{2n} (-)^j \left( \frac{2(n+1)}{j} \right) \langle x^j \rangle_{\zeta_0} \left( \frac{\langle 2x \rangle_{\zeta_0}^\gamma}{\gamma_0} \right)^{-j} / \gamma_0.
\]

IV. PION VALENCE-QUARK DF FROM LATTICE-QCD MOMENTS

Recent years have seen the refinement of lattice-QCD predictions for low-order Mellin moments of the pion valence-quark DF. Some contemporary results are listed in Table I and plotted in Fig. 2. They satisfy the bounds in Eq. (9). Importantly, a calculation which yields points that lie systematically outside the inclusion area does not describe a physically realisable pion-like bound-state; or, stated otherwise, contains systematic uncertainties that preclude its connection with a physical pion-like system.

The moments in Table I–Column 3 [67] satisfy Eq. (10); hence, are associated with a symmetric pion valence-quark DF at \(\zeta_H\). Here one sees that the moments in Refs. [65, 66] are compatible with those in Ref. [67]; so may also be associated with a symmetric DF at \(\zeta_H\). Moreover, the consistency between the results in Table I means that one can combine the moments and seek an optimal description of the entire collection.

We therefore consider the symmetric distribution

\[
u^\pi(x;\zeta_H) = n_0 \ln(1 + x^2(1 - x)^2/\rho^2),
\]

\(n_0\) ensures unit normalisation, which is simple yet flexible enough to express the dilution that EHM is known to introduce [10, 41, 51–54]. Denoting the moments of this distribution by \(M_\pi^\nu(\rho)\), we minimise the following uncertainty-weighted \(\chi^2\)-function:

\[
\chi^2(\rho) = \sum_{s=[65–67]} \sum_{n=2}^{6} \frac{\left( M_\pi^\nu(\rho) - M_\pi^\nu(\zeta)/(2M_\pi^\nu) \right) \gamma_0 / \gamma_0}{(\sigma_n^\nu)^2},
\]

where \(\chi^2(\rho)\) is minimized with respect to \(\rho\).
where $a_n^s = 1$ in all cases with an entry in Table I and is otherwise zero; and $M_n^s(\zeta)$, $\sigma_n^s$ are the related nonzero entries, viz. moment and uncertainty. This yields $\rho_0 = 0.048$ and $\chi^2(\rho_0) / \text{degree-of-freedom} = 0.27$. The associated trajectory of moments is drawn in Fig. 2 (gold curve). It is practically indistinguishable from that calculated using the CSM DF prediction [51–54]. (For subsequent use, we rescale the uncertainties in Eq. (12) such that $\chi_0^2 := \chi^2(\rho_0) = d - 2$, where $d = 8$ is the number of degrees-of-freedom.)

Based on this result, we generate an ensemble of curves that express the uncertainty in the lattice moments as follows. (i) From a distribution centred on $\rho_0$, choose a new value of $\rho$. (ii) Evaluate $\chi^2(\rho)$ in Eq. (12). The new value of $\rho$ is accepted with probability

$$P = \frac{P(\chi^2; d)}{P(\chi_0^2; d)} = \left( \frac{1/2}{\Gamma(d/2)} \right)^{d/2} y^{d/2-1} e^{-y/2}.$$

(iii) Repeat (i) and (ii) until one has a $K \geq 200$-member ensemble of DFs. This yields the DFs drawn in Fig. 3A.

Exploiting P1, every curve in Fig. 3A can be evolved to $\zeta_\delta = 5.2 \text{ GeV}$ once $(2x)^{\zeta_\delta}$ is known. Using an uncertainty weighted average of the results in Refs. [54, 65–67], which yields $(2x)^{\zeta_\delta} = 0.435(12)$, and no additional information, one obtains the orange curves in Fig. 3B. The central curve and associated $1\sigma$-band are reproduced by

$$u^\pi(x; \zeta_\delta) = n_0^{\zeta_\delta} x^\alpha (1 - x)^\beta (1 + \gamma x^2),$$

$$\alpha = -0.168(79), \beta = 2.49(40), \gamma = 1.51(74),$$

ensuring unit normalisation.

V. PION VALENCE-QUARK DF AT LARGE-X

The results in Fig. 3 bear directly upon a longstanding controversy. Namely [42], analyses of the pion valence-quark DF, which incorporate the behaviour of the pion wave function prescribed by QCD, predict

$$u^\pi(x; \zeta) \mathcal{\approx} (1 - x)^\beta = 2 + \gamma(\zeta),$$

where $\gamma(\zeta) \geq 0$ grows logarithmically with $\zeta$, expressing the physics of gluon radiation from the struck quark. As noted above, $\gamma(\zeta_\delta) = 0$. Nevertheless, more than forty years after the first experiment [37] to deliver data relating to $u^\pi(x \simeq 1)$, the empirical status remains confused because, amongst the methods used to fit extant data, e.g., Refs. [69–72], some return a $u^\pi$ form that violates Eq. (15). Such disagreement requires that one of the following conclusions be faced: the chosen analysis scheme is incomplete; not all data included are a valid expression of qualities intrinsic to the pion; or QCD, as currently

FIG. 2. Mellin moments from Table I, referred to $\zeta_\delta$ via Eq. (8): blue up-triangles [65]; green diamonds [66]; and black down-triangles [67]. Results consistent with the bounds in Eq. (9) fall within the open band. The excluded regions are lightly shaded in red. Gold curve: trajectory of moments that minimises Eq. (12). Long-dashed dark-blue curve: moments of CSM distribution [54]. Dotted magenta curve: moments of the scale-free distribution: $q^\pi(x) = 30x^2(1 - x)^2$.

FIG. 3. Upper panel—A. Randomly distributed ensemble of lattice-QCD-based [65–67] pion valence-quark DFs (orange curves) constructed using the procedure described in connection with Eq. (13). Lower panel—B. $\zeta_\delta \rightarrow \zeta_\delta$ evolution of each curve in Panel A. Black circles, data recorded in Ref. [40, E615]; and teal boxes, reevaluation of that data as presented in Ref. [69]. Both panels. Dashed magenta curve: central $\rho = \rho_0$ result in Eq. (11). Solid blue curve: CSM prediction from Refs. [41, 53, 54]. Dotted black curve: scale-free distribution. (All at scale appropriate to panel.)
understood, is not the theory of strong interactions.

Fitting the results in Fig. 3B on $x \in (0.9, 1)$, one finds the effective value of the large-$x$ exponent: $\beta = 2.45(38)$. Hence, the lattice simulations [65–67] yield a valence-quark DF that is consistent with Eq. (15). However, the leading-order perturbative QCD analysis of data reported in Ref. [40, E615], which disagrees overall with the ensemble of lattice based curves, produces $\beta \approx 1.3$, contradicting Eq. (15). This remains true at next-to-leading-order [70–72]. On the other hand, inclusion of soft-gluon resummation in the hard-scattering kernel produces [69] the teal squares in Fig. 3B, which agree with the lattice-QCD ensemble and express $\beta = 2.57(6)$, consistent with Eq. (15). The lattice-QCD ensemble also agrees with the CSM prediction [41, 53, 54], for which $\beta = 2.81(8)$. Recent explorations of uncertainties associated with soft-gluon resummation are briefly discussed in Appendix A.

Given $P_1$, then the results obtained above also enable prediction of the pion glue and sea DFs [68, Sec. VII]. Using the central curve in Fig. 3A, obtained with $\rho = \rho_0 = 0.048$ in Eq. (11), one arrives at the DFs in Fig. 4. Within uncertainties, the lattice-QCD based results calculated herein agree with the CSM predictions [41, 53, 54]. Notably [41], the CSM result for the glue DF agrees with an independent lattice determination [73]; consequently, so does the result calculated herein.

VI. PERSPECTIVES

More than seventy years after discovery of the pion, Nature’s most fundamental Nambu-Goldstone boson, too little is yet known about its internal structure. This must change if the origin of nuclear-size mass-scales – the emergence of hadron mass – is to be understood within the Standard Model. The proposition considered herein, viz. that there is an effective charge which defines an evolution scheme for parton distribution functions (DFs) that is all-orders exact, has many consequences. Amongst them, the unique definition of the hadron scale, the bounds on all Mellin moments of the valence-quark DF in pion-like systems, and the recursion relation for odd-moments, can be used to good effect, enabling, e.g., parameter-free predictions for all pion DFs that can both benchmark existing data fitting methods and be validated using data from forthcoming experiments. Studies are underway that test the proposition in the nucleon sector [74, 75].

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Appendix A: Soft Gluons

Uncertainties attendant upon inclusion of soft-gluon resummation in analyses of E615 data are discussed elsewhere [42, 72]. Three different methods are compared...
the fact that all data fits in Ref. [72] store 15% less of the pion’s longitudinal light-front momentum with the valence degrees-of-freedom than modern calculations predict. Regarding the large-$x$ exponent, the MF approach to soft-gluon resummation (blue up-triangles) yields $\beta_{MF} = 2.24(7)$, agreeing with the lattice result and consistent with Eq. (15). However, the value inferred using the dM scheme, $\beta_{dM} = 1.54(5)$, is inconsistent with both the lattice result and Eq. (15).

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