Throat colonization and antibiotic susceptibility of group A β-hemolytic streptococci among rheumatic heart disease patients attending a cardiac referral hospital in Tanzania, A descriptive cross-sectional study

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Abstract
Background: Secondary prophylaxis against repeated attacks of acute rheumatic fever is an important intervention in patients with rheumatic heart disease (RHD) and it aims to prevent throat infection by group A beta-hemolytic streptococcus (GAS), however its implementation faces many challenges. This study aimed to assess throat colonization, antibiotic susceptibility and factors associated with GAS colonization among patients with RHD attending care at Jakaya Kikwete Cardiac Institute in Dar-es-Salaam, Tanzania.

Methods: A descriptive cross sectional study of RHD patients attending the Jakaya Kikwete Cardiac Institute was conducted from March to May 2018, where we consecutively enrolled all patients known to have RHD and coming for their regular clinic follow-up. A structured questionnaire was used to obtain patients’ socio-demographic information, factors associated with GAS colonization as well as status of secondary prophylaxis use and adherence. Throat swabs were taken and cultured to determine the presence of GAS, and isolates of GAS were tested for antibiotic susceptibility using Kirby-Bauer disk diffusion method according to the Clinical and Laboratory Standards Institute (CLSI) version 2015. Antibiotics of interest were chosen according to the Tanzanian Treatment Guidelines.

Results: In total 194 patients with RHD were enrolled, their mean age was 28.4 ±16.5 years and 58.2% were females. Only 58 (29.9%) patients were on regular prophylaxis, 39 (20.1%) had stopped taking prophylaxis, while 97 (50.0%) had never been on prophylaxis. Throat cultures were positive for GAS in 25 (12.9%) patients. Patients who stopped prophylaxis were 3.26 times more likely to be colonized by GAS when compared to patients on regular prophylaxis. Majority (96%) of GAS isolates were susceptible to Penicillin, Ceftriaxone and Ciprofloxacin, while the highest resistance (20%) was observed with Vancomycin. No GAS resistance was observed against Penicillin.

Conclusion: The prevalence of GAS throat colonization is high among this population and is associated with stopping prophylaxis. The proportion of patients on regular secondary prophylaxis is unacceptably low and interventions should target both patients’ and physicians’ barriers to effective secondary prophylaxis.

Background
Rheumatic Heart Disease (RHD), a complication of Acute Rheumatic Fever (ARF) caused by Group A β-
hemolytic Streptococci (GAS) is a major cause of cardiovascular morbidity and mortality in young people in developing countries [1]. Worldwide estimates suggest that at least the disease affects 15.6 million and causes more than 250,000 deaths each year [2]. The World Health Organization (WHO) recommends that all patients with confirmed RHD receive secondary prophylaxis against repeated attacks of ARF in order to prevent further valvular damage [3]. The purpose is to prevent colonization or infection of the upper respiratory tract with GAS and the development of recurrent attacks of ARF [3]. Implementation of effective secondary prophylaxis has however proven to be a challenging task due to a number of reasons including limited awareness, inadequate health literacy, missed opportunities for treatment, poor access to health care as well as inadequate health seeking behavior among patients and their parents/guardians [4]. There is also limited awareness among medical personnel on the initiation and continuity of prophylaxis [5]. This causes low compliance and adherence, resulting in inadequate prophylaxis and failure to eradicate GAS from the throat [6, 7]. The recommended antibiotic for secondary prophylaxis is intramuscular Benzathine Penicillin G (BPG) every three to four weeks [3]. BPG is an effective agent in secondary prevention due to its long half-life which provides prolonged bactericidal protection from GAS infection [8]. For patients allergic to penicillin, the WHO recommends the use of oral sulfadiazine or sulfisoxazole, while erythromycin is recommended for patients allergic to both penicillin and sulfa-containing drugs [3]. With effective secondary prophylaxis, recurrence and the progression of rheumatic fever to RHD has been shown to be significantly reduced [9, 10].

In Tanzania, as with other sub Saharan African countries, RHD is still a major cause of morbidity and mortality especially among children and young adults [11, 12]. Generally, mortality from RHD has been reported to be high and is attributed to disease progression as well as complications arising from RHD [13]. However, contrary to WHO recommendations, initiation and adherence to secondary prophylaxis has been reported to be low especially from sub Saharan African countries [4, 13-15], hence calling for measures to step up efforts to improve adherence to secondary prophylaxis [16]. In Tanzania, information on level of adherence to secondary prophylaxis as well as its outcome, i.e. the rate of GAS throat colonization among RHD patients is lacking. Furthermore, the antibiotic susceptibility of GAS isolated from RHD patients in our local setting is not known. We therefore aimed to assess throat colonization, antibiotic susceptibility and factors associated with GAS colonization among RHD patients attending the Jakaya Kikwete Cardiac Institute, which is the highest referral hospital for cardiac diseases in Tanzania.

Methods

Study area and period

The study was conducted at the out-patients’ clinics of the Jakaya Kikwete cardiac referral hospital,
located in Dar es Salaam city in Tanzania. The hospital serves as the highest referral hospital for cardiac patients, including patients for open heart surgery, and it is also a teaching hospital for the Muhimbili University of Health and Allied Sciences. Data collection was done between March and May 2018.

Study design and sampling technique

A descriptive cross-sectional study design was used to conduct the study. The study included all patients known to have RHD who were coming for their regular clinic follow-up. Patients were consecutively enrolled in the study as they attended the clinics until the sample size was reached. Using previous prevalence [7], a sample size of 194 RHD patient was enough to determine the prevalence of throat colonization at 95% confidence and at an error margin of 5%.

Clinical Data collection

A structured questionnaire administered by a trained nurse was used to collect information on patients’ sociodemographic characteristics including age, gender and marital status, level of education, income, insurance status and number of people sharing the house. Questions on awareness of patients’ disease status, awareness of the need to take regular injections/medicine for prevention of ARF, as well as questions to assess patients’ understanding of the importance of secondary prophylaxis were asked. For patients less than 18 years of age, socio-economic information and knowledge of guardians/parents was collected. Clinical data including type and number of valves affected, duration from RHD diagnosis as well as history of previous valvular surgery was obtained from patients’ hospital files.

Information about current or previous prophylaxis use was obtained from patients and/or their guardians and complemented by documentation from patients’ clinical files. Patients were categorized as being “regular on prophylaxis” if they continuously received their monthly BPG injections from the time they were prescribed up until the time of recruitment. Patients who started on monthly injections and stopped taking the injections for more than 2 months consecutively (at the time of data collection) were termed as “stopped prophylaxis” and those who had never been on any monthly injections were termed as “never started”.

Isolation, characterization and drug sensitivity testing for GAS

A trained research assistant collected throat swabs from all patients. Using a sterile swab, the posterior nasopharynx and the tonsillar arches were swabbed with special attention not to touch the cheeks, tongue, lips or other areas of the mouth. Each collected swab was immediately immersed into a test tube containing amies transport medium (Oxoid, England) [17]. The samples were transported within 2 hours to the Muhimbili National Hospital Central Pathology Laboratory for further processing.
At the Laboratory, a dedicated laboratory research assistant processed all throat swab samples. Throat swabs were inoculated onto 5% sheep's blood agar plates and the plates were incubated for 24-48 hours at 37°C in aerobic environment. GAS isolates were identified based on the standard micro-biological techniques which include β-hemolytic activity on sheep's blood agar, small colony characteristics, Gram positive cocci, catalase production negative, and 0.04-U bacitracin disc susceptibility [17]. Antimicrobial susceptibility testing was done by using the Kirby Bauer disc diffusion method according to criteria set by Clinical Laboratory and Standard Institute (CLSI) version 2015 [18]. Muller Hinton agar supplemented with 5% sheep blood was used [18]. Bacterial suspensions at a concentration of $10^5$ CFU/mL was inoculated on sheep blood Mueller- Hinton agar plates and incubated in aerobic environment for 24 hours at 37°C. The antimicrobial discs of interest were chosen according to the prescribing patterns in local settings. The following discs with respective concentration were used: penicillin G (10 units), oxacillin (30μg,), ceftriaxone (30μg), vancomycin (30μg), erythromycin (15μg), tetracycline (30μg), ciprofloxacin (30μg), chloramphenicol (30μg), clindamycin (2μg), and trimethoprim- sulfamethoxazole (25μg). Zone of inhibition diameters were interpreted as sensitive, intermediate and resistant according to the principles established by CLSI [18].

Data entry, quality assurance and analysis

Data was entered and analyzed using Statistical Package for Social Sciences (SPSS) computer software version 22 software (USA). For univariate analysis of quantitative variables such as age measures of central tendency including mean, mode and median and measure of dispersion such as range, variance and standard deviation were used. For categorical data such as sex, level of education and employment status proportions were used. Non-parametric Chi-square test was used to test statistical significance for frequency distribution of categorical data such as level of education versus outcome of interest like throat culture positivity. Student’s t test was used to compare continuous variables among patients with and without positive throat swabs for GAS. Multiple logistic regression analysis was employed to determine the independent predictors of throat culture positivity. The results were of statistical significance when P-value was <0.05.

Results

Socio-demographic characteristics of the study participants

The mean ±SD age of study participants was 28.4 ±16.5 years; it ranged from 5 - 75 years with median age of 24 years. More than half (53.6%) of the participants were aged below 25 years, and females made 58.2% of the study population. 59.3% of the participants had only attained primary education as their highest level of education, about a third were living in a family with ≥7 people, 58.8% had no health insurance cover and majority of the patients were unemployed (75.7%). Table 1
summarizes the socio-demographic characteristics of the study participants.

Table 1. Socio-demographic characteristics of the study participants (N = 194)

| Characteristic                | Frequency (n) | Percentage (%) |
|------------------------------|---------------|----------------|
| Age groups (years)           |               |                |
| ≤ 25                         | 104           | 53.6           |
| 26 - 45                      | 55            | 28.4           |
| >45                          | 35            | 18.0           |
| Sex                          |               |                |
| Males                        | 81            | 41.8           |
| Females                      | 113           | 58.2           |
| Marital status               |               |                |
| Single                       | 65            | 33.5           |
| Married                      | 111           | 57.2           |
| Divorced                     | 7             | 3.6            |
| Widowed                      | 11            | 5.7            |
| Level of Education           |               |                |
| No formal education          | 10            | 5.2            |
| Primary                      | 115           | 59.3           |
| Secondary                    | 48            | 24.7           |
| University                   | 21            | 10.8           |
| Family size (number)         |               |                |
| ≤6 people                    | 127           | 65.5           |
| ≥7 people                    | 67            | 34.5           |
| Mode of Payment              |               |                |
| Insurance                    | 80            | 41.2           |
| Out of pocket                | 114           | 58.8           |
| Average Family Income (TZS)  |               |                |
| <70,000                      | 113           | 58.2           |
| 70,000 – 310,000             | 52            | 26.8           |
| >310,000                     | 29            | 15.0           |
| Employment Status            |               |                |
| Employed                     | 30            | 15.5           |
| Unemployed                   | 147           | 75.7           |
| Retired                      | 7             | 3.6            |
| Student                      | 10            | 5.2            |

TZS = Tanzanian Shillings

Clinical and other characteristics of the study participants

Most of the study participants (84.5%) were aware of their medical condition and they knew what they were suffering from. Slightly more than half (51%) of study participants knew about the need to take regular injections/medicine as prophylaxis, but only 17.5% knew the importance of the prophylaxis. Fifty-four (42.5%) participants were diagnosed within 1 year, while 32 (25.2%) were diagnosed between 1 year and 3 years and the rest of the study participants 41 (32.3%) had been diagnosed more than 3 years prior to the study. Furthermore, 98 (50.5%) participants had single valve diseases while the remaining 96 (59.5%) had multiple valvular lesions. Surgical intervention was done in 37 (19.1%) patients.

ARF prophylaxis status
Among 194 patients interviewed, 58 (29.9%) were on regular prophylaxis at the time of data collection, 39 (20.1%) had stopped prophylaxis, and 97 (50.0%) had never been on prophylaxis since diagnosis. Of the 58 patients that were on regular prophylaxis, 33 (56.9%) were on 4-weekly regime and the remaining 25 (43.1%) were on 3-weekly regime.

**Throat colonization and antimicrobial susceptibility of GAS isolated from study participants**

In the total study population, throat culture results of 25 patients were positive for GAS, giving GAS throat colonization rate of 12.9%. GAS isolated from the 25 patients were mostly susceptible to Benzathine Penicillin G (24/25, i.e. 96% susceptible), Ceftriaxone (24/25, i.e. 96% susceptible), and Clindamycin (24/25, i.e. 96% susceptible). GAS isolates were found to show highest resistance towards Vancomycin (5/25, i.e. 20% resistance) and Chloramphenicol (2/25, i.e. 8% resistance). There were also high intermediate susceptibilities towards most commonly used antimicrobial agents including Oxacillin (20%), Erythromycin (28%) and Co-trimoxazole (32%). Figure 1 shows the susceptibility patterns of GAS towards the 8 antibiotics that were tested. The negative numbers shown in figure 1 represent the number of isolates that were resistant to the respective antibiotics.

Factors associated with GAS throat colonization among study participants

Patients with positive throat culture did not differ from those with negative culture with regards to age distribution, gender, level of education, number of people in the household as well as family income, all $p > 0.05$ (Table 2). They also did not differ in terms of knowledge and understanding of importance of ARF prophylaxis, $p > 0.05$ for both (Table 2). Although not statistically significant, patients with positive culture had higher proportions of un-insured (68% versus 57.4%), unemployed (38% versus 22.5%), those unaware of their medical condition (24% versus 14.2%) as well as patients with multiple valve disease (60% versus 47.9%), (Table 2).

With regards to prophylaxis status, patients who had stopped prophylaxis had significantly higher proportion with positive cultures (26%) when compared to those that were on regular (10.3%) and those that had never started (9.3%), $p = 0.029$ (Table 2).

Table 2. Socio-demographic, clinical and other characteristics in relation to GAS throat colonization
| Characteristic                                | Culture Negative (n = 169) | Culture Positive (n = 25) |
|----------------------------------------------|---------------------------|--------------------------|
| Age <25 (years)                              | 89 (52.7)                 | 13 (52)                  |
| Female gender                                | 97 (57.4)                 | 16 (64)                  |
| Primary or less level of education           | 110 (65.1)                | 15 (60)                  |
| ≥7 people in the household                   | 59 (34.9)                 | 8 (32)                   |
| Not insured                                  | 97 (57.4)                 | 17 (68)                  |
| Family income TZS <70,000                    | 97 (57.4)                 | 16 (64)                  |
| Unemployed                                   | 38 (22.5)                 | 8 (38)                   |
| Didn’t know about RHD suffering              | 24 (14.2)                 | 6 (24)                   |
| Didn’t know about ARF prophylaxis            | 84 (49.7)                 | 11 (44)                  |
| Didn’t know the importance of prophylaxis    | 139 (82.2)                | 21 (84)                  |
| Had surgical intervention                    | 30 (17.7)                 | 6 (24)                   |
| Had multiple valve disease                   | 81 (47.9)                 | 15 (60)                  |
| Prophylaxis status                           |                           |                          |
| On regular prophylaxis                       | 52 (89.7)                 | 6 (10.3)                 |
| Stopped prophylaxis                          | 29 (74.3)                 | 10 (26)                  |
| Never been on prophylaxis                    | 88 (90.7)                 | 9 (9.3)                  |

TZS = Tanzanian Shillings; RHD = Rheumatic Heart Disease; ARF = Acute Rheumatic Fever.

Prophylaxis status and other factors that were weakly associated with culture positivity were entered into a logistic regression model to determine the factors that are independently associated with GAS culture positive results. Having stopped prophylaxis was the only factor that was independently associated with positive culture results in multivariate logistic regression analysis, OR (95% CI) = 3.26 (1.04 - 10.24), p = 0.043 (Table 3). Specifically, compared to patients on regular ARF prophylaxis, patients who stopped prophylaxis were 3.26 times more likely to have positive throat culture results independent of gender, disease awareness, insurance status, number of diseased valves or previous valvular surgery, (Table 3).

Table 3: Multivariate logistic regression analysis of factors associated with GAS colonization among RHD patients

| Variable                                | Univariate analysis | Multivariate analysis |
|-----------------------------------------|---------------------|-----------------------|
| Female sex                              | 1.32 (0.55 - 3.16)  | 1.31 (0.53 - 3.31)    |
| Un-insured                              | 1.58 (0.65 - 3.86)  | 1.62 (0.61 - 4.03)    |
| Living >7 people in the household        | 0.88 (0.36 - 2.15)  | 0.73 (0.28 - 1.93)    |
| Unaware of disease condition            | 1.91 (0.69 - 5.26)  | 2.42 (0.77 - 7.59)    |
| Prophylaxis status                      |                     |                       |
| Regular on prophylaxis                  | Reference           | Reference             |
| Stopped prophylaxis                     | 2.99 (0.99 - 9.06)  | 3.26 (1.04 - 10.24)   |
| Never started                           | 0.89 (0.30 - 2.63)  | 0.98 (0.32 - 3.02)    |
| Multivalve disease                      | 1.63 (0.69 - 3.83)  | 1.87 (0.74 - 4.67)    |
| History of previous surgery             | 1.41 (0.52 - 3.81)  | 1.87 (0.62 - 5.59)    |
Discussion

GAS throat colonization is a well-known risk factor for development of sore throat and subsequent ARF in the general population [2], but more importantly among people with previous history of ARF and in those with RHD [3]. This is more serious for those who are particularly at a greater risk due to a number of factors including their genetic susceptibility which renders them more sensitive to infection with a rheumatogenic strain of GAS [19]. Only few studies from sub-Saharan Africa have reported the prevalence of GAS colonization in the general population [17, 20], in patients with pharyngotonsillitis [21, 22], and among RHD patients [7]. The present study therefore adds to the current knowledge on RHD in the region by demonstrating that among RHD patients attending care at a tertiary health facility in Tanzania, throat GAS colonization is present in 12.9% and is independently associated with stopping ARF prophylaxis among these patients.

The 12.9% prevalence of throat GAS colonization found in this study is much higher than that found among 233 children with chronic RHD attending care at a cardiac clinic in Addis Ababa, Ethiopia [7]. Of note, the prevalence of GAS positivity in that study was 6.9% and the difference between the two studies can mainly be attributed to the fact that all patients in the Ethiopian study were on ARF prophylaxis as compared to the present study where prophylaxis was taken regularly by 29.9% of the total studied population. The deleterious effects of GAS colonization among patients with RHD have been well documented [23]. The finding of our study is therefore clinically very relevant, meaning that around 13% of RHD patients in our setting are at increased risk of worsening of their disease and therefore progression towards heart failure and other complications brought about by RHD [24].

The finding that stopping ARF prophylaxis is independently associated with positive GAS throat culture results is in agreement with previous reports in literature [7, 21, 23, 25]. In the study by Zegeye, et al from Ethiopia [7], children who missed at least one prophylaxis within the last 6 months had a higher culture positivity rate than those who did not miss any scheduled prophylaxis. In the present study, having stopped prophylaxis increased the likelihood of GAS colonization up to 3 times.

The explanation for increased GAS colonization among patients who stop prophylaxis is to a larger extent clear, since interrupting the dose or stopping the prophylaxis means the patient will not have the required level of the antibiotic in blood that is necessary to prevent GAS throat colonization [20]. The finding that less than a third (29.9%) of patients with RHD in our setting was on regular prophylaxis is alarming. Furthermore, the fact that half of the study participants (50%) had never been on any ARF prophylaxis raises even more concerns. Although reasons for not being on regular prophylaxis were not systematically studied in the present study, it is unlikely that the patients that
were never on prophylaxis had clinically relevant reasons not to be on prophylaxis against ARF. Ideally, any patient with confirmed RHD needs to be on prophylaxis at least for some period of time as per guidelines [26]. This is also true for those who stopped taking their prophylaxis. The reasons for stopping prophylaxis is most likely multifactorial and further research focusing on Physicians-related factors, patients-related factors as well as factors related to our health care delivery system need to be studied. All these factors have been found to influence prescribing practice of prophylaxis and adherence among RHD patients elsewhere [6, 27-29].

Using in vitro susceptibility assay, GAS isolated from RHD patients in this study were almost 100% susceptible to penicillin G. This finding is similar to many previous studies in documented literature, and it is at least encouraging to know that despite being in the market for more than 8 decades, penicillin is still performing well in terms of GAS susceptibility [23-25]. This may be due to penicillin being limited to use only in few number of diseases including pharyngitis, syphilis, ARF prophylaxis etc. There has been however reports of GAS resistance to penicillin [26, 30], and more care should be taken to avoid risk factors that may lead to increased chances to develop resistance to penicillin in our setting. Factors like unreliable and interrupted doses as well as poor quality of penicillin have been reported to increase GAS resistance to the drug in vitro as well as in vivo studies [31]. The pattern of reduced GAS susceptibility (intermediate results) and resistance towards Vancomycin, Chloramphenicol, Erythromycin and Co-trimoxazole observed in the current study is similar to other studies, most likely caused by factors such as increased frequency and irrational use of these antibiotics [32].

We found in this study that knowledge on the need, as well as the importance of being on prophylaxis to be low among patients with RHD. This has negative implications as far as the management of RHD is concerned, considering the chronic nature of the disease and the need for patients to take regular medications and to follow regular visits to the health facilities. The low knowledge could have been one of the contributing factors to stopping the prophylaxis (although this was not actively assessed in this population), as well as could have affected the adherence status in this population. In a brief communication by Bergmark, et al reporting the burden of disease and barriers to the diagnosis and treatment of GAS pharyngitis in Dar es Salaam, the clinicians that were interviewed stated that, identifying and treating Streptococcal pharyngitis was not their priority [5]. This further explains the multifactorial nature of the factors related to overall poor management of RHD patients in our local setting. This calls for more efforts to increase awareness of RHD management and the importance of clinicians to follow guidelines.

The baseline socio-demographic characteristics seen in this study population is similar to that found in many other previous studies mostly consisting of young, predominantly female patients with high unemployment rates [13, 33, 34]. Of note, the mean age of the present study population was 28.4
years, and women comprised 58.2% of the study population. Furthermore, the proportion of patients with primary or less education was 64.5%, and over three quarters of the patients were unemployed. This picture represents the well-known population at risk for GAS pharyngitis, ARF and RHD [13, 33, 34]. Contrary to previous findings [7], none of the socio-economic factors studied in this population was associated with throat GAS colonization. The differences in the findings between the present and previous studies could be due to differences in methods used to assess risks but also it is possible that the present study was not adequately powered to detect these associations and only trends were seen towards more patients with poor socio-economic indices to be aggregated in the group of patients with positive throat culture results (Table 2).

Conclusions
The prevalence of GAS throat colonization is high among this population and is associated with stopping prophylaxis. The proportion of patients on regular secondary prophylaxis is unacceptably low and interventions should target both patients’ and physicians’ barriers to effective secondary prophylaxis.

Limitations
Since data collection was done from a single referral center, the findings from this study cannot be generalized to other centers, especially in remote areas of Tanzania where access to health care is limited. It is therefore possible that an even worse picture of secondary prophylaxis would be found in these remote areas.

Abbreviations
ARF: Acute Rheumatic Fever; BPG: Benzathine Penicillin G; CLSI: Clinical Laboratory and Standard Institute; GAS: Group A beta-hemolytic Streptococcus; RHD: Rheumatic Heart Disease; WHO: World Health Organization

Declarations
Ethics approval and consent to participate
Ethical approval to conduct the study was obtained from the Directorate of Research and Publications of Muhimbili University of Health and Allied Sciences. Permission to conduct this study was further sought and granted from the Director of Jakaya Kikwete Cardiac Institute through its research committee. The study was done in accordance with the Helsinki declaration on human subjects. All patients had to sign an informed consent form before data was collected. For patients below 18 years old assent was obtained from the patients while informed consent was obtained from the parents or guardian. Confidentiality was ensured and participants were free to choose to be included in the study, refusal of which did not mean patient will be penalized.

Consent for publication
Not applicable
Availability of data and materials
The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

Competing interests
The authors declare that they have no competing interests

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Authors’ contribution
SW, PC, AK conceived and designed the study. SW, DK, MJ conducted data collection. SW, PC, AK participated in data analysis. All authors participated in developing the manuscript and approved the final version.

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Figures
Figure 1

Antimicrobial susceptibility of GAS isolated from RHD patients