A CO USTIC M ONITOR ING (RFM) OF TO TAL H IP A RTHROPL ASTY
R ESULTS OF A CADAVER S T UDY

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Abstract

Introduction: At present there are no reliable non-traumatic and non-invasive methods to analyse the healing process and loosening status after total hip replacement. Therefore early as well as late loosening of prosthesis and interface component problems are difficult to be found or diagnosed at any time.

Methods: In a cadaver study the potential application of Resonance Frequency Monitoring (RFM) will be evaluated as a non-invasive and non-traumatic method to monitor loosening and interface problems in hip replacement. In a 65 year old female cadaver different stability scenarios for a total hip replacement (shaft, head/modular head and cup, ESKA, Luebeck, Germany) are simulated in cemented and cementless prosthesis and then analysed with RFM. The types of stability vary from secure/press-fit to interface-shaft disruption.

Results: The RFM shows in cemented as well as cementless prostheses significant intra-individual differences in the spectral measurements with a high dynamic (20 dB difference corresponding to the factor 100 (10000%), regarding the simulated status of stability in the prosthesis system.

Conclusion: The results of the study demonstrate RFM as a highly sensitive non-invasive and non-traumatic method to support the application of RFM as a hip prosthesis monitoring procedure. The data obtained shows the possibility to use RFM for osteointegration surveillance and early detection of interface problems, but will require further evaluation in clinical and experimental studies.

Key words: Acoustics, Frequency-Resonance, Biomechanics, Bone Cements, Femur/pathology/ultra structure, Hip Prosthesis

INTRODUCTION

Worldwide more than 1.2 million patients were treated with Total Hip Arthroplasty (THA) annually [10]. In Germany it is around 180.000 per year [20]. While society is getting older, they get more active, the number of THA will rise in the following years. Aseptic loosening of the prosthesis is a major complication despite improving the operation techniques and the material in the last decades. Because of aseptic loosening, 10% of all Total Hip Replacements are revised within ten years [25]. At present time, still 10% of all revision operation after THA are unnecessary, because intraoperative the prosthesis has to be judged as stable [9, 39].

Diagnostic tools for detection of aseptic loosening are despite clinical evaluation the use of images like routine radiography, subtraction arthrography, nuclear arthrography and bone-scintigraphy [14-17, 24, 27, 29, 30, 38]. Although these examination tools are extensively described in literature, at present time there is no diagnostic method reliable enough to analyse the cement mantel interface and the bone integrity of a THA months or years after the replacement [1, 2, 4-6, 13, 21, 24, 27, 29, 34, 36].

Plain radiography and bone-scintigraphy are still the standard investigations for prosthesis loosening [35, 37]. Inter-observer variability and technique variations as well as unnecessary x-ray exposure make these methods difficult and undependable to detect accurately early and late loosening of the components [3, 18, 26, 8].

Often micro and macro movements as well as bone resumption have led to a loss of bone substance around the weight bearing area of the prosthesis [11, 19, 28, 33].

In a battery of tests the possible application of Resonance Frequency Monitoring (RFM) as a method of hip prosthesis integrity has been evaluated in a cadaver model with surrounding soft tissues.

This kind of acoustic measuring has been tried and tested to be reliable for material testing and quality control in the fields of aerospace engineering as well as in the car industry for many years. The integrity of the material union (welded, joined, adhered, etc) is tested through acoustic analysis.

METHODS

Based on previous studies using RFM the evaluation of RFM for hip prosthesis monitoring will be carried out in a cadaver model [40].

According to a pre-established protocol RFM will be used in different batteries of tests. The resonance frequencies of a human cadaver femur with all surrounding soft tissue will be analysed (Test A) as well as
the signal link and signal chain (Test D). Furthermore the resonance frequencies of different types of prosthesis will be analysed in vivo. A cementless stem with increasing stability (Test B), a cementless stem with modular head (Test C) and a cemented femoral stem with a cementless cup (Test E) will be tested. For Test B and E the two possible scenarios of secure and loose prosthesis (press-fit vs. loose, intact vs. disrupted cement mantel) are tested.

The tests were performed at a 65-year-old female cadaver not prepared for anatomic dissection in the Institute of Anatomy at the University of Schleswig Holstein, Campus Luebeck. The used implants and instruments as well as the equipment for RFM testing were supplied by ESKA Implants AG Luebeck and UVC Engineering Hamburg.

The frequencies measuring were performed with an electronic microphone, which could accurately detect very low frequencies and minor vibrations. The results of the frequency data’s were analysed with special acoustic software (Adobe Audition 12.5, Adobe Systems Incorporated, San Jose, California, USA).

In the graphic representation the x-axis shows the time (seconds), the y-axis represents the frequencies from 0 to 22000 Hz. The colours correspond to the sound intensity at a particular point of the time-frequency level. In this respect the graphic is tri-dimensional.

The results of the measurements represent intra-individual differences with high dynamic (e.g. 20 dB). These variations correspond to a significant change of the factor 100 (10000 %). Such highly dynamic differences can be easily detected. The statistic data of the results were performed by means of the correlation analysis of the frequency spectrum.

MEASURED SIGNALS – TECHNOLOGY AND SIGNIFICANCE

The recorded signal chain can be studied according to the system theory of telecommunication and communication engineering. A chain of signal transmitters and signal transducers exist. Each one is linked to the chain and can be analysed with a so called transmissions-function. The transmission-function can be described equal as frequency \( A (f) \) as well as time \( A (t) \).

Having a closer look at the description of the frequencies, the output signal (measured signal) of the complete signal chain can be formed from the product of the transmission-function of each single link through this formula.

\[
Y (f) = A (f) \cdot B (f) \cdot C (f) \ldots \ldots \cdot X (f)
\]

\( Y (f) \): Spectral function output signal
\( A (f), B (f), \ldots \): Transmission-function of the chain links
\( X (f) \): Spectral function input signal

The output signal \( Y (f) \) corresponds to the electric signal. The input signal \( X (f) \) corresponds to the spectral function of the hit.

The transmitting elements in the study are microphone, skin and soft tissue, bone, cement (when applied), gaps between bone and prosthesis or cement and prosthesis and prosthesis.

OBJECTIVE OF MEASUREMENT TECHNOLOGY

Changes in each inner transfer function (for example \( C (f) \)) have a direct proportional effect on the output signal \( Y (f) \) when the transfer links are constant (for example \( X (f), A (f), B (f) \ldots \)). Therefore general changes in \( Y (f) \) are sufficient for a comparative assessment of \( C (f) \).

If \( C (f) \) shows a distinguishable structure (for example clear resonance peaks) compared to the other signals \( X (f), A (f), B (f) \) and these functions \( X (f), A (f), B (f) \),...
B (f) show a generally flat and structure-less pattern, then the signal of Y (f) alone allows a conclusion regarding C (f) without any reference signal. In this case Y (f) would be an absolute criterion. All other transfer functions as well as the signal of the output function X (f) should be flat.

**Information on the Decibel Scale**

The decibel-scale (dB) is widely used and well known for signal measurement. The dB-scale is also used for creating spectral images. In general one decibel corresponds to a 10 fold logarithmic of the relation between two signal energies.

+3 dB a double
+6 dB a quadruple
+10 dB a tenfold (x 10)
+13 dB x 20
+16 dB x 40
+20 dB x 100  -20 dB a hundredth 1/100
+30 dB x 1,000  -30 dB a thousandth 1/1,000
+40 dB x 10,000  -40 dB 1/10,000
+50 dB x 100,000  -50 dB 1/100,000

The dynamic scale of the used measurements is approximately 48 dB, corresponding to a signal relation of 1: 60,000.

**Results**

**Test A - Direct Measurement after Opening**

The Watson–Jones surgical approach was chosen for access to the hip joint. After preparation and excision of the ventral part of the joint capsule, the cortex of the femur neck was exposed. The exposed cortex was then hit with a metal device. The monitoring of the measured impulse was performed with a microphone fixed at the lateral femoral condyle as shown in picture 3.

Picture 4 shows the measured impulses with its corresponding digital amplitude. Picture number 5 shows a spectral image with a clear maximum of 500 Hz.

The spectral analysis shows that a maximum of frequency appeared very clearly when the impulse is produced over the femur neck. Picture 6 demonstrates the peak at around 500 Hz with a dynamic of 12 dB (17 fold signal change) at each given impulse. Consequently a vibrating system is available at 500 Hz in an anatom-
cal environment, after an impulse of the femur neck is caused. The analysis of the data also shows that frequencies over 5 kHz are not relevant to the study.

**TEST B – PROSTHESIS: STEM IMPLANTED, CEMENT LESS, NOT REDUCED**

In the following battery of tests a size three hip stem from ESKA (Luebeck, Germany) was implanted following the typical steps to prepare the femoral canal. The size of reamers was increased until the femur accepted the prosthesis with size three. During implantation of the stem, stability increased until the stem reaches the point of press-fit. Picture 7 shows the measurement chain, which was used for frequency analysis at different stages of stability.

Pictures 8 to 10 show, that the spectral structures of higher frequencies (metal frequencies) are increasingly dampened. The more stable the prosthesis is the more...
dampened the signal becomes. Furthermore spectral structures of lower frequencies (bone frequencies) become clearer and steeper. The frequencies of the prosthesis itself (finger print) are not seen. With increasing stability a clear dynamic change of frequencies from 400 to 800 Hz is visible in the secure implanted (press-fit) prosthesis. This represents a change in the measurement of more than 36 dB (= 1/5,000).

**TEST C – PROSTHESIS: STEM IMPLANTED, CEMENT LESS, MODULAR HEAD, REDUCED**

In this battery of tests we used a cement less implanted stem with a modular double head. The modular double head is applied directly over the conus of the stem and afterwards reduced in the acetabulum.

The measurements show a significant change in the spring-mass relationship because of application of the heavy metal double head (Picture 11). The spectral analysis detected different frequency structures with low resonance, which can be easily reproduced. It is possible to observe spectral structure changes significantly in quantity and quality.

**TEST D – EVALUATION OF A SIGNAL CHAIN FROM LATERAL FEMORAL CONDOYLE TO ANTERIOR SUPERIOR ILIAC SPINE, WITH REDUCED DOUBLE HEAD PROSTHESIS**

The battery of tests shows, that a measurement with an induction of impulse through the lateral femoral condyle and recorded signal taken at the ipsilateral anterior-superior iliac spine is possible without problems as well as the analysis of the signals obtained (Picture 12). The measurements were performed with the prosthesis reduced.

A spectral correlation analysis of these measurements with 8 impulses shows a very good reproducibility with a correlation of > 0.95. Frequencies of the tool strike as well as of bone structures below 500 Hz are visible. A suppression of tool frequencies (Picture 13, frequencies >1000 Hz) is possible, when smaller impulses by the hammer strikes are given.

**TEST E – TESTS ON A HIP REPLACEMENT WITH A CEMENT LESS CUP AND CEMENTED STEM**

The tests were performed in three different cases: secure intact-cemented prosthesis, cement mantel disrupted and loose prosthesis.

In this battery of tests a hybrid hip replacement with a cemented stem and cement less cup was tested after application. The hybrid cement technique during hip replacement results in the best interface contact between the femur and hip stem. All measurements
were performed in a well-reduced prosthesis. Picture 14 shows frequency spectrum of RFM measurement with an optimal firmness. Afterwards the cement mantel was damaged mechanically in order to have macroscopic fissures in the cement within the femur but still maintain clinical firm (Picture 15). Picture 16 demonstrates frequency spectrum of RFM measurement in a clinical and radiographic visible loose prosthesis.

With increasing interface problems (loosening the cement integrity) the absolute resonances are reduced. The resonances become more apparent as an expression of increasingly reduced restoring force in a spring-mass system of total hip replacement. (Picture 14, Firm: 1000 Hz; picture 15 Fissured / 16 Loose <1000 Hz).

The increasing mechanical instability leads to an augmented loss of structure of the frequency spectrums and a relatively increased appearance of high frequencies with reduced effectiveness of bone dampening (>2000 Hz). The changes between the different types of prosthesis stability (firm-fissured-loose) are easy to detect with high dynamic.

**CONCLUSION**

The experiments indicate that for surveillance and identification of interface loosening, the observation of changes in bone frequencies is suitable.

Clear signs for correlation between prosthesis stability and bone spectrum were found in this cadaver study.

Metal vibration could not be found in the tests, the bone absorbs the acoustic energy of the metal directly. The vibration mechanics of the system (bone and prosthesis with or without cement) can be explained and described on the basis of the spring-mass effect. For practical application frequencies from 300 Hz to 3000 Hz are relevant.

Loose prosthesis corresponds to low restoring forces with a low frequent maximum resonance.

The tests demonstrate in a systematic and impressive way the following characteristics:

- Basic spectral patterns around 0.2 – 2 kHz in firm implanted prosthesis become clearer and steeper while higher frequencies become more dampened.
The signal and variation dynamics are intensive enough for technical purposes of automatic analysis. The RFM shows significant intra-individual spectral changes with high dynamics related to the different simulated conditions of stability states in hip prostheses (cement or cement less). The differences detect represent a dynamic of 20 dB, which is equivalent to factor 100 (~10000%).

To achieve a perfect reproducibility of the test it is important to make sure, that all the geometries of the acoustic environment are kept constant.

**DISCUSSION**

The two major complications of hip joint replacement are loosening and infection. A reliable differentiation between these pathological processes can be challenging because both are accompanied by similar clinical symptoms.

At present time still no standardized testing method is established in clinical use to detect loosening of implanted hip arthroplasties. Several study groups have done tests with ultrasound and vibration methods in in vitro settings [7, 12, 22, 23, 31, 32]. Li et al. could not clearly differentiate between firm and loose prostheses with vibration analysis [22].

In earlier in vitro investigations our group was able to show typical acoustic characteristics for different hip prosthesis models and the interferences [40].

Based on the studies we evaluated the results in a cadaver model, aged 65 years. The cadaver studies have shown that RFM has the potential to become a non-invasive and non-traumatic tool, which can be used for hip prosthesis integrity monitoring (Test B, E). Frequencies up to 20kHz are sufficient for acoustic studies involving different stability scenarios for total hip arthroplasty. The obtained dataset supports the possible use of RFM for osteo-integrity surveillance or the diagnosis of early interface problems. The correlation analysis of spectral frequencies of all tests gives a very good reproducibility of spectral information within each test. With an average of six hits per test the correlation in all the series is > 0.95.

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