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Mechanochemical conversion kinetics of red to black phosphorus and scaling parameters for high volume synthesis

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Abstract: Using high-energy planetary ball milling (HEPBM), details such as the conversion rate as a function of impact energy (i.e., ball density and size) and the cumulative energy input per gram of product formed are required. Several reports on BP synthesis have explored the processing effects on the phase transformation. Using a closed-form analytical model of the kinematic motion inside the mill along with estimates for the phase fraction evolution, an empirical relationship is established showing that higher impact energies lead directly to higher conversion rates while the total energy dose is shown to be an independent constant. Furthermore, the low cost nano-crystalline BP upon exfoliation is shown to be suitable for infrared optoelectronic applications, thereby paving the way for commercial scale-up opportunities.

INTRODUCTION

The surge of research into two-dimensional materials after the discovery of graphene in 2004 has led to investigating the monolayer limit of layered materials that include transition metal dichalcogenides (TMD), hexagonal boron nitride (hBN), MXenes, layered elemental metals (Te, Sn, Ge, As, Se), black phosphorus (BP), and beyond. Orthorhombic BP, the high pressure and temperature phase of elemental phosphorus, consists of a puckered hexagonal sheet of covalently bonded atoms. In bulk form, the individual sheets are bound together by van der Waal forces; however, new properties emerge due to quantum size effects when exfoliated into the few-layer regime. A property of note is BP’s direct bandgap, which changes from 0.3 eV in the bulk to 1.7 eV in the monolayer limit. Additionally, BP shows promise in optoelectronic device applications. While CVT is the established commercial production process, it suffers from high cost and low volume. In the CVT method, media collisions rapidly compress amorphous red phosphorus (RP) into crystalline, orthorhombic BP flakes, resulting in a conversion yield of ~90% for ~5 g of bulk BP powder. Milling conversion kinetics, monitored via in situ x-ray diffraction, manifest a sigmoidal behavior best described by the Avrami rate model with each impact of sufficient energy (>25 mJ) producing BP nuclei; the process appears to be limited by grain growth. Using a kinematic model for ball trajectories and impact energies, the optimum milling condition is determined to be an impact energy near 25 mJ and a milling dose near 100 kJ/gram. Photoexcitation of exfoliated BP flakes reveals emission in the near-infrared, indicating the formation of few-layer BP, a promising advance for optoelectronic device applications.

RESULTS

Black phosphorus characterization

Visually, the powder changes color from a burnt umber red to a dull black with various shades of red/brown/black with increasing pressure. Additionally, no expensive and toxic mineralizers (i.e., tin, iodine) are required. In order to facilitate the scale-up of BP synthesis via HEPBM, details such as the conversion rate as a function of impact energy (i.e., ball density and size) and the cumulative energy input per gram of product formed are required. Several reports on BP synthesis have explored the processing effects on the phase transformation. Using a closed-form analytical model of the kinematic motion inside the mill along with estimates for the phase fraction evolution, an empirical relationship is established showing that higher impact energies lead directly to higher conversion rates while the total energy dose is shown to be an independent constant. Furthermore, the low cost nano-crystalline BP upon exfoliation is shown to be suitable for infrared optoelectronic applications, thereby paving the way for commercial scale-up opportunities.

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milling intensity evaluated in this study. The as-received RP exhibits three broad peaks, with the first defined diffraction peak at \( \approx 17^\circ \). The powder diffraction file (PDF 03-065-2491) from the Inorganic Crystal Structures Database (ICSD) for BP is also shown, with relative peak intensities and positions indicated with the vertical line markers. A gradual shift to higher angles of the first sharp diffraction peak of RP occurs. By contrast, the broader high angle peaks generally decrease in intensity and width as the crystalline BP peaks emerge. XRD scans versus milling rpm using an internal silicon standard and the associated calibration curve are included in the Supplementary Figs 1–5.

The scanning electron microscopy (SEM) images of Fig. 2a show that the RP starting powder exhibits an irregular faceted morphology with particle sizes from \( \sim 0.1 \) to \( 100 \mu m \). The converted BP exhibits similar faceted morphology but has a smaller particle size distribution below \( 10 \mu m \). The powders appear to consist of soft aggregates of BP crystals embedded into amorphous particles which break down into flakes consisting of crystalline domains surrounded by amorphous matrix under mild ultrasonication. To further verify the crystalline BP domains within the amorphous flakes, transmission electron microscopy (TEM) images and selected area electron diffraction (SAED)
patterns are taken and presented in Fig. 2b, revealing the presence of crystalline BP domains embedded within the amorphous flakes and agglomerated powders. The volumetric particle size distributions obtained from solution-based laser diffraction particle size analysis (PSA) shown in Fig. 3a shows non-uniform size distribution for RP. This is an expected result considering the large variation in particle sizes observed in the SEM images (see Fig. 2) and the high sensitivity of volumetric PSA for detection of few but large particles. By contrast, the milling comminution process results in a nearly single mode distribution of the converted BP material, while exfoliation breaks up soft BP aggregates and produces flakes consisting of embedded crystal domains within an amorphous matrix.

To complement the XRD and TEM results on the converted material and verify the crystallinity of the exfoliated BP, Raman spectra are collected on the as-received RP, synthesized BP powder, and exfoliated BP flakes as shown in Fig. 3b. The expected BP vibrational modes $A_1$, $B_2g$, and $A_2g$, respectively, are clearly resolved, while the peak at 520 cm$^{-1}$ is from the silicon substrate. The slightly raised background between 350 to 475 cm$^{-1}$ is likely attributed to residual RP$^{11}$.

As aforementioned, the direct bandgap of BP that spans 0.3–1.7 eV makes BP suitable for near-infrared wavelength applications. Few-layer (ca. 5 layers) BP exhibits optically pumped emission$^{25,16}$ near $\approx$1575 nm, whereas tri- and bi-layer BP emit at shorter wavelengths. The photoluminescence (PL) spectra for ball mill converted BP after exfoliation is shown in Fig. 3c. The broad emission from 950 to 1600 nm indicates the presence of crystalline BP domains embedded within the amorphous nature of RP, as well as the crystalline nature of both converted and exfoliated BP. Raman spectra confirming the amorphous nature of RP, as well as the crystalline nature of both converted and exfoliated BP.

Conversion kinetics

Detailed individual reaction steps during mechanical milling processes are challenging to elucidate, although recent progress with synchrotron X-ray and Raman spectroscopy is now capable of providing in situ monitoring of reaction steps$^{19}$. Nevertheless, empirical models based on ex situ measurements can provide general insight into the overall kinetic behavior, such as determining if the process accelerates to completion, undergoes deceleration, or progresses at a constant rate$^{19,21}$. For evaluating the kinetics of a process, typically through a series of experiments, the energy input (such as a constant temperature or constant heating rate) is varied systematically and the evolution of the phase fraction, $\alpha$, as a function of time is used to extract the rate constant, $k$.$^{22}$.

In mechanochemistry, the two kinetic models often used for solid-state phase transformations are the classical Johnson–Mehl–Avrami–Kolmogorov (JMAK)$^{23–25}$ and a deterministic collision driven model derived by Delogu and Cocchi$^{26,27}$. Both models have been used to obtain the rate constants for single-phase systems undergoing transformations or amorphization and have been applied to multiphase systems undergoing mechanical alloying$^{23–25}$. After obtaining the apparent rate constants as a function of milling parameters, the relationship between the milling impact energy, $E_b$, and the rate constants can be determined. If a single impact is sufficient to drive the conversion to completion, then a linear dependence of the rate constants and the impact energies is expected$^{28}$.

Simulations and in situ monitoring$^{27,29–36}$ of media interactions in high-energy ball mills have shown agreement with the underlying assumptions and estimated impact energies obtained through kinematic models of high-energy planetary ball mills. While existing models differ in determining the media velocity at impact$^{37}$. All models assume the kinetic energy imparted to the media through collisions with the vial walls is transferred into the powder during impact, at least to a first order approximation (some losses due to friction, heat generation, and inelasticity are expected). Nonetheless, the impact energy, $E_b$, is simply related to the media mass, $m_b$, and the impact velocity, $v_{in}$, as:

$$E_b = \frac{1}{2} m_b v_{in}^2 \quad (1)$$

By extension, the cumulative dose, $E_{dose}$, is a function of the number of balls, $N_b$, the collision frequency, $f_b$, the milling time, $t$, and powder mass, $m_p$, as:

$$E_{dose} = \frac{E_b \cdot f_b \cdot N_b \cdot t}{m_p} \quad (2)$$

Using the conversion data obtained from XRD, the method described by Galwey et al.$^{19}$ was employed to generate a master plot to determine which subset of solid-state reaction models are applicable, as shown in Supplementary Fig. 6. The JMAK model closely matches the dataset, while the DC model follows the data above 30% conversion. As seen in Fig. 4a for 300 rpm, the rate of conversion slows down, and this transition is visually distinct for the 300 rpm run versus the higher intensities of 400–600 rpm. Similarly, for 200 rpm, the conversion occurs slowly with an induction time of nearly 100 h before BP is observed. Transforming the data using the JMAK model, Fig. 4b, or the DC model, Fig. 4c, shows two or three linear segments. In practice, line segments that show different slopes are treated individually with linear regression lines, as it has been shown that these distinct transitions are often due to changes in growth dimensionality$^{38}$.
As a reminder, the DC model is, mathematically, a restricted form of the JMAK model that fixes the exponent $n = 1$, resulting in an apparent S-shape about the linear regression line in the DC linearization plot. Functionally, this restriction prevents making inferences about the nucleation and growth behavior afforded by the JMAK model, but as shown in Fig. 4d the extracted rate constants are still comparable. In all cases, both the JMAK and DC models appear to be valid, and the linear fits model the data up to 90% conversion well with values for the coefficient of determination (a goodness of fit statistic relating the percentage of the predicted response variation that is modeled by the regression line), $R^2$, above >95%. Deviations from the model are likely due to the initial estimation of the phase fraction below 10 wt% and above 70 wt%. In these regions, there appears to be a consistent decrease in conversion rate for all milling intensities, implying a mechanistic change that requires higher mechanical impact energy to progress the conversion. Supplementary Figure 7 (plotted on a log scale) includes the 200 rpm curve; the 200 rpm dataset is not shown in Fig. 4c, as the induction time obscures the higher rpm behaviors. Figure 4d shows the linear dependence of the apparent rate constants as a function of the calculated impact energy. The calculated impact energies and milling intensities were determined from the peak at 17° 2θ, which indicates some amorphous material is still present. Supplementary Figures 8 and 9 show TEM images of the single mode particle size distribution which better reveals the nano-crystallinity while the broad base process such as exfoliation. The broad BP XRD peaks in Fig. 1b indicate a high degree of nano-crystallinity while the broad base of the peak at 17° 2θ indicates some amorphous material is still present. Supplementary Figures 8 and 9 show TEM images of the matrix within a single exfoliated platelet near 75 wt%. This plateau indicates a potentially limiting step which cannot be overcome by the impact energy at 300 rpm. Third and finally, at even lower energy (i.e., 200 rpm), nucleation of BP is evident, but only after a long induction time of 200+ hours.

**DISCUSSION**

The reduction in particle size of RP as it converts to BP due to comminution is typical of ball milling and is desirable as it increases the surface area available for reactions and promotes a single mode particle size distribution which benefits down-stream processes such as exfoliation. The broad BP XRD peaks in Fig. 1b indicate a high degree of nano-crystallinity while the broad base of the peak at 17° 2θ indicates some amorphous material is still present. Supplementary Figures 8 and 9 show TEM images of randomly orientated lattice planes surrounded by an amorphous matrix within a single exfoliated flake, indicative of the nature of point impacts that have occurred and that presumably each impact will produce a local formation of BP within the bulk RP.
The utility of Fig. 4d is that extrapolation of an expected rate required to form stable nuclei but with no practical grain growth. As such, this minimum value most likely represents the energy but these values do not re...

Milling at 400 rpm or higher results in an equivalent milling dose.

Fig. 5 Extent of conversion for RP to BP as a function of specific milling dose. Milling at 400 rpm or higher results in an equivalent energy dose to obtain the same extent of conversion. Milling at <400 rpm (i.e., 300 and 200 rpm) results in incomplete conversion due to an apparent energy threshold. Phase fraction data normalized by milling dose estimates. Error bars represent one standard deviation.

While some fraction of BP is present in the XRD patterns at 200 and 300 rpm, such conversion rates are economically impractical due to the power consumption; namely, mill motors constitute the highest recurring electrical costs. The 200-rpm milling intensity shows only modes that correspond to BP, which indicates no significant RP is present. Together with the TEM data, the lack of features corresponding to RP indicates that amorphous BP is likely present. High pressure conversion of RP thin films into BP has also reported the formation of amorphous BP. High-energy ball milling has been used to produce amorphous metals and even cycle between crystalline and amorphous phases of alloys by controlling the milling intensity and duration. In light of this, the coexistence of both crystalline and amorphous, highly disordered, BP within ball mill synthesized BP flakes is unsurprising and has been observed through nuclear magnetic resonance spectroscopy.

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hydrostatic pressure without external heating indicates the possibility of bulk nucleation of a two-dimensional highly disordered intermediate. Nonetheless, the absence of heat input or strain will produce sluggish nucleation, as evidenced by the in situ time-resolved Raman spectra of Xiang et al. Their high-resolution TEM data on quenched samples held under static loading for \( \approx 36 \) h revealed numerous nano-crystalline domains of orthorhombic BP surrounded by amorphous phosphorus, similar to the TEM results here obtained on mechanochemically synthesized BP. Supplementary Figure 10 shows the in situ temperature measurements obtained during mechanochemical conversion; while the global temperature does not exceed 40 °C, it is possible that the local impact energy could heat the powder substantially. Still, the temperature rise is also highly dependent upon the material undergoing the treatment (i.e., if the process results in an exothermic heat release such as in the milling of thermit, metal oxide formation, or sulfide reactions). We exclude the possibility of high localized temperatures at the point of impacts, as we do not observe substantial grain sizes. Furthermore, recent work comparing torsional or rotating diamond anvil methods to mechanochemistry shows striking similarities in that the generated plastic strain can reduce the pressure/temperature requirements for phase transformations in Si, Ge, boron nitride, and zirconium with similar nanostructures.

In practice, knowing the rate constant and specific milling dose enables determination of the mill design (diameter, motor horsepower, media size, and quantity) in order to achieve a given production rate. The ascertained specific milling dose of \( \approx 100 \) kJ/g and corresponding minimum impact energy near 25 mL/impact provide meaningful parameters for scale-up either by number of milling stations (i.e., 100 g capable planetary ball mills) or by switching to larger tumbler mills (i.e., 1 kg capacity) and running for the appropriate milling time derived by considering the rate constant, impact energy, and desired amount of product; the difference between the planetary mill versus the tumbler mill being the rate of energy deposition versus the synthesis time.

In conclusion, we have ascertained the rate dependency on impact energy and the scaling parameter (milling dose near 100 kJ/g) required to convert low cost RP into BP by the highly scalable high-energy ball milling method. While the mechanical impacts readily form BP nuclei, the overall process is grain growth limited; further efforts should seek to enhance the grain growth. The exfoliated BP flakes containing embedded crystalline domains within an amorphous matrix produces PL spectra with emission in the near-infrared range, thereby revealing the potential use of this synthesis route provided improved exfoliation methods are developed to isolate layer-dependent BP nanocrystals. Producing commodity-grade BP is an enabling step to employ the nanomaterial in commercial electronic and optoelectronic devices.

**METHODS**

*In the milling experiment*, elemental red phosphorus (1–5 g; Alfa Aesar, 97% purity, – 60 mesh) and stainless steel media (10 mm diameter, 100 g) were weighed and loaded into 250 mL stainless steel vessels inside an argon atmosphere glovebox \( (P_{\text{Ar}} < 0.1 \text{ ppm}) \) and sealed with internal gas pressures between 90 and 95 kPa at room temperature. The ball to powder mass ratio was 20:1. All milling experiments were conducted with the PM100 planetary ball mill (Retsch, Germany) with pauses in the milling cycles (30 min on, 30 min off) to prevent overheating of the unit and to switch the direction of flywheel rotation. Milling conditions (rpm and duration) were varied between 200 and 600 rpm and 0.15 to 25 h, depending upon the intent of the studies. For the kinetic study, each run was completed three times except the 200 rpm run which was allowed to run once for 220 h. The three runs at 300, 400, 500, and 600 rpm were passed periodically to obtain samples for phase fraction analysis. For each sampling interval, the sealed vessel was first transferred into an argon glovebox. An appropriate amount of powder was extracted from the free powder at the bottom of the milling vessel and sealed inside glass vials; no powder was added back into the milling vessel. The vessel was resealed and transferred out of the glovebox to resume the milling run. An initial milling run at 600 rpm for 2 h with 1 gram of red phosphorus was used to condition the media and vessel surfaces before each milling study. The milling vessel was wiped clean between each study but was not cleaned with isopropyl alcohol, thereby preserving the conditioned state of the vessel.

To obtain few-layer BP suspensions, the as-converted BP powders (0.25 g) are sono-converted in isopropanol (30 mL) using a low-energy mixer mill (MTI, SFM-2) with (1 mm) diameter steel ball media sealed inside a 50 mL plastic container for 24 h at a rotational speed of 150 rpm. The suspension is separated from the media using a sieves (300 mesh) and stored in glass vials. The suspension is drop cast onto silicon substrates for Raman and PL measurements.

**Powder XRD** data was obtained in the Bragg-Brentano geometry (CuK\(_\alpha\), Rigaku Miniflex 600, Japan) on 0.165 g of powder. The internal standard method using NIST Silicon 64OD powder (ICSD PDF 00-027-1402) was used to generate a calibration curve for estimates of the phase fraction obtained.

**Powder Raman spectroscopy** (Raman) data was obtained (Horiba LabRam HR Evolution, Japan) on densely packed samples (0.01 grams) prepared inside an argon glovebox that were sealed inside a custom-built enclosure with a sapphire window to prevent oxidation and ignition during measurements (both red and black phosphorus in finely divided powder form can be readily ignited in air even when using neutral density filters and low laser power settings). A 50-mW 532-nm laser source (Nd:YAG) was used to generate spectra collected with a Si CCD. Spectra obtained at \( \times 20 \) objective with double acquisitions for 60 s at ten random locations throughout the bulk surface using the 1800 lines/mm grating.

**PL measurements** were obtained using a Princeton Asto spectrometer with a NIRvana InGaAs thermoelectrically cooled array and an excitation wavelength of 532 nm at 10 mW. Data were collected for 5 s using a 100X near-infrared objective.

**Solution-based laser diffraction** PSA was performed on powders ultrasonically dispersed in isopropanol for 1 min and cycled through the Horiba LA-950 particle size analyzer and solution flow cell; volumetric distributions were obtained using dual 405 and 650 nm laser and light diodes. The complex indices of refractions for RP and BP were taken from literature.

**SEM** was performed on powders pressed onto carbon tape and imaged at low beam currents and accelerating voltages using a FEI Teneo FESEM. Exfoliated BP powders were drop cast onto cut SiO\(_2\) wafers and imaged under similar conditions using secondary and backscattered electron imaging modes.

**TEM** was performed using a JEOL-JEM-2100, Japan, with Orius SC1000 CCD camera; exfoliated samples were drop cast onto lacy carbon grids and transferred into the vacuum chamber within 5 min. A low accelerating voltage of 100 keV was used with minimal dwell times roughly 30 s for imaging to prevent the amorphization of the flakes.

**DATA AVAILABILITY**

The data that support the findings of this study are available from the corresponding author upon reasonable request.

**CODE AVAILABILITY**

All relevant code is available from the authors on request.

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AUTHOR CONTRIBUTIONS
S.V.P. conceptualized and executed the milling studies, performed and analyzed the characterization data. C.H. performed PL measurements. F.M. and J.D.W. discussed the data and provided useful suggestions. S.V.P. wrote the manuscript with comments and edits from all co-authors. D.E. and B.J.J. supervised and directed the project.

COMPETING INTERESTS
The authors declare no competing interests.

ADDITIONAL INFORMATION
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