An Indo-Pacific Humpback Dolphin Genome Reveals Insights into Chromosome Evolution and the Demography of a Vulnerable Species

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HIGHLIGHTS
- Deducing chromosome evolution from ancestral Cetruminanta and ancestral Odontoceti
- Reconstructing the demographic history of Sousa chinensis
- Implying high risk of extinction and strong conservation requirement for S. chinensis

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An Indo-Pacific Humpback Dolphin Genome Reveals Insights into Chromosome Evolution and the Demography of a Vulnerable Species

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SUMMARY

The Indo-Pacific humpback dolphin (Sousa chinensis) is a small inshore species of odontocete cetacean listed as Vulnerable on the IUCN Red List. Here, we report on the evolution of S. chinensis chromosomes from its cetruminant ancestor and elucidate the evolutionary history and population genetics of two neighboring S. chinensis populations. We found that breakpoints in ancestral chromosomes leading to S. chinensis could have affected the function of genes related to kidney filtration, body development, and immunity. Resequencing of individuals from two neighboring populations in the northwestern South China Sea, Leizhou Bay and Sanniang Bay, revealed genetic differentiation, low diversity, and small contemporary effective population sizes. Demographic analyses showed a marked decrease in the population size of the two investigated populations over the last ~4,000 years, possibly related to climatic oscillations. This study implies a high risk of extinction and strong conservation requirement for the Indo-Pacific humpback dolphin.

INTRODUCTION

A fascinating example of evolution is the return of terrestrial mammals to an aquatic environment. This occurred at least three separate times independently and is manifested by functional adaptations in 129 extant species in three major marine mammal lineages: Cetacea (whales, dolphins, and porpoises), Pinnipedia (walruses, sea lions, and seals), and Sirenia (manatees and dugongs) (Committee on Taxonomy, 2020). Recent genome-scale analyses of marine mammals have provided unprecedented insights into their evolution, adaptations, and demographic histories, highlighting the urgent need for conservation and management of many species (Arnason et al., 2018; Autenrieth et al., 2018; Brunche-Olsen et al., 2018; Foote et al., 2015; Jones et al., 2017; Keane et al., 2015; Moskalev et al., 2017; Warren et al., 2017; Yim et al., 2014; Zhou et al., 2018a; Zhou et al., 2015; Zhou et al., 2018b; Zhou et al., 2013). Despite these advances, the genome of most marine mammals has yet to be sequenced, and cetacean genome assemblies published to date are often highly fragmented (e.g., see Zhou et al., 2018a), leaving research questions related to genomic structural evolution unanswered. A chromosome-level genome is fundamental for many downstream studies, including the analysis on whole-genome duplications (WGDs) and chromosome rearrangement events (Eichler and Sankoff, 2003). WGDs have been reported to strongly impact genome evolution and species formation in vertebrates. For example, all ray-finned fishes (Actinopterygii), accounting for 99% of teleosts, are shaped by a third WGD events (Van de Peer et al., 2009). Chromosome rearrangement usually accompanies the gain/loss of entire genes or regulatory regions, and is believed to play important roles in the evolution of lineage-specific traits and even speciation (Kirkpatrick, 2010). Thus, chromosome-level reference genomes are key for evolutionary analyses and conservation efforts of cetaceans (Moura et al., 2014; Viricel et al., 2014).

The Indo-Pacific humpback dolphin (Sousa chinensis; known as the Chinese white dolphin in China) is a small, toothed whale (Odontoceti) that occupies shallow tropical to temperate coastal habitats, from the...
Bay of Bengal to central China and throughout Southeast Asia (Jefferson and Rosenbaum, 2014; Jefferson and Smith, 2016). In recent decades, S. chinensis populations have been reported to face threats including by-catch in nets and overfishing (Dans et al., 2003), water pollution (Liu et al., 2018a), heavy marine traffic (Ng and Leung, 2003), and coastal development (Jefferson et al., 2009). Consequently, S. chinensis populations are declining (Huang et al., 2012), and the species is considered Vulnerable to extinction by the International Union for Conservation of Nature (Jefferson and Smith, 2016; Li, 2020). S. chinensis has also been listed as a Grade 1 National Key Protected Animal since 1988 in China, a distinction shared with the Yangtze River dolphin (baiji; Lipotes vexillifer), which was declared functionally extinct in 2007 (Turvey et al., 2007). The management and conservation of this Vulnerable species could be improved by a better understanding of its evolutionary history, inshore adaption, and population dynamics. Here, we traced both genomic reshuffling events and obtained population-level genetic data to illustrate how S. chinensis evolved in shallow tropical to temperate coastal habitats and predict its likely future population dynamics.

RESULTS

We generated ~317 Gb (129x) of S. chinensis genome data, using 10X Genomics technology (Pleasanton, CA, USA) on the BGISEQ-500 sequencing platform (Table S1) and assembled a 2.46-Gb draft genome sequence with a contig N50 of 114 kb and a scaffold N50 of 27.7 Mb, respectively (Table S2). To assign the draft genome assembly onto chromosomes, we produced ~73-Gb Hi-C data (Figures S1–S4) and anchored ~90.7% of genome scaffolds into 22 chromosomes (Figure S5 and Table S3). Overall, contingent and scaffold metrics of this genome is higher than recently reported S. chinensis assemblies that were not resolved at the chromosome level (Jia et al., 2019; Ming et al., 2019) (Table S4). We annotated 20,767 protein-coding genes (Figure S6). Assessment with BUSCO (mammalia_odb9 gene set) revealed a high proportion of complete gene models in the genome assembly (~91.9%) and a reliable protein-coding gene set (~97.3%) (Table S5). We identified 17,286 gene families in S. chinensis by clustering genes with eight other mammals (human, sheep, cattle, finless porpoise, horse, minke whale, bottlenose dolphin, and sperm whale). Fossil-calibrated phylogenetic analysis, based on 1,915 single-copy gene families, revealed that S. chinensis and the bottlenose dolphin diverged ~4.8 million years ago (Figure S7).

As S. chinensis represents the first chromosome-level assembly of a 22-chromosome cetacean, we reconstructed the ancestral chromosomes of toothed whales as well as the ancestor of cetaceans and ruminants (Cetuminantia). The evolution of chromosomes is an important driver of speciation and diversification (White, 1969). It is well established that genomic structural rearrangements (often manifested as chromosome copy number variation stemming from fusions, fissions, and translocations) offer valuable insights into the diversification across macroevolutionary scales (Kim et al., 2017; O’Connor et al., 2018a, 2018b; Zhang et al., 2014). These rearrangements can result in the generation of novel genes or transcripts, as well as allowing the co-regulation of previously distantly located genes (Mertens et al., 2015). Cetacea and even-toed ungulates (Artiodactyla) evolved from a common terrestrial ancestor (Cetartiodactyla) over 55 million years ago (Berta et al., 2015); however, across extant species, there are substantial differences in the range of chromosome numbers between Cetacea (n = 21–22) and terrestrial Artiodactyla (n = 3–37) (Árnason, 1974; Graphodatsky et al., 2011; Kim et al., 2017; Rubes et al., 2012; Wurster and Behnischke, 1970). Previous studies made efforts to characterize the ancestral karyotype of Cetartiodactyla by relying on molecular cytogenetics (Rubes et al., 2012) and cross-species chromosome painting (Balmus et al., 2007; Kulemzina et al., 2009). A recent study, employing cross-species BAC mapping to the cattle X chromosome, revealed synteny blocks and rearrangements within Cetartiodactyla and a preliminary reconstruction of the ancestral X chromosome (Proskuryakova et al., 2017). However, the evolutionary history of ancient and recent chromosome rearrangements leading to extant cetaceans remains largely unexplored by using chromosome-level genome assemblies (Kim et al., 2017). Therefore, we performed synteny analysis using the genome of S. chinensis (n = 22), sperm whale (Physeter macrocephalus, n = 21), and cattle (Bos taurus, n = 30) (Figure 1A and Tables S6 and S7). This revealed evidence of extensive chromosomal rearrangements among them (Figures S8–S11).

Taking advantage of multiple high-quality chromosome-level assemblies for Cetartiodactyla (represented by sheep, cattle, sperm whale, and S. chinensis), as well as their sister group Perissodactyla (represented by the horse), we reconstructed the ancestral karyotypes of Cetuminantia, as well as the ancestors of Bovidae and Odontoceti. We identified 342 shared homologous synteny blocks (HSBs) (Murphy et al., 2005), covering 90.5%, 85.2%, 78.1%, 78.9%, and 83.8% of S. chinensis, sperm whale, sheep, cattle, and horse genomes, respectively (Figure 1B). Based on these HSBs, we reconstructed the ancestral chromosomes for...
Cetuminantia (28), Bovidae (30), and Odontoceti (22) (Tables S8–S10). This analysis confirmed that the number of ancestral chromosome numbers of Odontoceti is the same as that of most extant cetacean families (22 chromosome pairs) and different from that of superfamily Physeteroidea (ÅRnason, 1974) and family Ziphiiidae (Kurilova et al., 2017) (21 chromosome pairs). Thus, we propose a model of chromosome evolution in cetaceans in which a chromosome fusion event likely occurred in the physeterids (i.e., sperm whales).

We detected 14 reshuffling events (including six fusions) in the lineage leading from the ancestral Cetuminantia to the Odontoceti ancestor, 32 in the S. chinensis lineage, and 42 in the sperm whale lineage. We identified 42 chromosome reshuffling events (including two fissions) in the common ancestor of Bovidae as well as five and eight chromosome reshuffling events in the cattle and sheep lineages, respectively (Tables S11, S12, S13, S14, S15, and S16). Thus, we confirmed a completely opposite karyotype evolution pattern between the lineage leading to the Odontoceti ancestor (reduced chromosome number) and the Bovidae ancestor (increased chromosome number).

Chromosome rearrangements can serve a functional purpose (Mérot et al., 2020). We identified seven fusions, 34 inversions, and 40 breakpoints during the evolution in the ancestral odontocete lineage (Figure 2). Such rearrangements in marine mammals could affect the function (e.g., regulation) of genes, thereby mediating adaptations to an aquatic environment. To explore this phenomenon, we compared ancestral Cetuminantia to ancestral Odontoceti and ancestral Odontoceti to S. chinensis. When comparing Odontoceti and Cetuminantia, we found ten genes located in 4-kb flanking regions of the 40 breakpoints (Table S17). For example, adenylate cyclase type 1 (ADCY1; Sochi02981), which plays a role in kidney filtration (Xiao et al., 2011), is associated with distinct kidney structures of freshwater and marine finless porpoises (Zhou et al., 2018). Coding variants of the sodium chloride symporter gene SLC12A3 (Sochi08789) are associated with reduced blood pressure in humans (Nandakumar et al., 2018). Glypican-3 precursor (GPC3; Sochi10758) plays a role in vertebrate limb patterning and skeletal development by controlling the cellular response to bone morphogenic protein 4 (BMP4) and may be related to the lack of hind limbs in cetaceans (Paine-Saunders et al., 2000; Saad et al., 2017).

Compared with the odontocete ancestor, we detected 105 inversions in S. chinensis (Figure 2). Six genes were located in 4-kb flanking regions of 138 breakpoints resulting from these HSBs (Table S18). One of these genes, SERPINB8 (Sochi06838), is involved in maintaining the mechanical stability of skin (Pigors et al., 2016). Loss of SERPINB8 in humans results in peeling skin syndrome, where the outermost layer of the epidermis peels off upon exposure to water or skin occlusion (Pigors et al., 2016). Some S. chinensis individuals in Chinese waters have pink skin, likely attributed to the dilation of subcutaneous blood vessels to get rid of excess body heat (Di Meglio et al., 2011). We speculate that SERPINB8 might mediate this
Figure 2. Chromosome Evolution of Cetruminantia
Chromosomes from the same ancestral chromosome are in the same color. Blue lines denote translocations, red lines fusions, and green lines fissions.
adaptation, rendering *S. chinensis* more susceptible to physical damage, stressors, and pathogens in their present-day environments. *S. chinensis* is vulnerable to environmental pollutants and pathogens (Parsons, 1998; Parsons et al., 2001), threats likely to affect its immune system. Another gene in the breakpoint region is *CDA* (*Sochi00142*), a gene encoding a modulator of innate immunity (Furusho et al., 2018; Liu et al., 2018b).

Ecologically, *S. chinensis* is distributed in several large estuarine areas within Chinese waters: the west coast waters of Taiwan, Xiamen waters, the Pearl River estuary, the southwest waters of Hainan Island, Leizhou Bay (hereafter LZB), and Sanniang Bay (hereafter SNB) (Chen et al., 2009, 2018a; Hemami et al., 2018; Huang et al., 2012; Jefferson and Smith, 2016; Li et al., 2016; Wang et al., 2012; Xu et al., 2015). To gain insights into the demographic history and population genetic status of *S. chinensis*, we sequenced 39 individuals (at an average sequencing depth of ~30x) from two neighboring regions in Chinese waters (LZB and SNB) (Table S19). We reconstructed the demographic history of *S. chinensis* in various ways. First, the pairwise sequentially Markovian coalescent (PSMC) model analysis (*Ne* estimates reliable at 20 ka to 3 Ma, Li and Durbin, 2011) revealed the onset of a severe *S. chinensis* population decline during the Mid-Pleistocene Transition 1 mya (Figure 3A). Next, we estimated that the populations split at ~40 ka (38.1k ~ 42.2k, 95% confidence interval [CI]), a time with relatively large *S. chinensis* effective population sizes (LZB 2,939; SNB 15,233) (Figure 3B). This timing coincides with an alternating warm and
wet period during the last glacial age, making the LZB and SNB available for colonization and gradual emergence of the two separate populations on either side of the Leizhou Peninsula (Zhong et al., 2010). After the population split, symmetric gene flow between the two populations was detected, suggesting there was still a relatively large genetic pool or mating opportunities between the two populations. This was followed by a marked bottleneck 3,837 (3,645–4,039, 95% CI) years ago, with effective population sizes ($N_e$) decreasing rapidly to 611 and 487 in LZB and SNB, respectively (Figure 3B, Tables S20 and S21). The bottleneck may be associated with the rapid temperature and sea level decline ~4,000 ago (Li, 2018; Li et al., 2017).

A decreased population size was supported by an approximate Bayesian computation method, PopSizeABC (Boitard et al., 2016), employed to better characterize the contemporary S. chinensis effective population size ($N_e$) dynamics over the last 100 generations. We found that both the LZB and the SNB populations declined over the last 2,500 years, with a contemporary $N_e$ of 12 (11–15, 90% CI) in LZB and 8 in SNB (6–11, 90% CI), respectively (Figure 3C). The extraordinary low population estimate of these populations highlights the urgent need for efficient conservation and management efforts.

In addition, the nucleotide diversity ($\pi$) of S. chinensis ($\pi$: 0.00015 in LZB and 0.00016 in SNB; Figure S12) is much lower than the killer whale estimation ($\pi$ = 0.0029) (Foote et al., 2016). We also found a relatively low mitochondrial DNA polymorphism (LZB: 0.0034 and SNB: 0.0038), with only 52 SNPs. The average heterozygosity of S. chinensis is 1.79 \times 10^{-4}, similar to the recently extinct baiji (1.21 \times 10^{-4}), but notably lower than the common bottlenose dolphins (14.2 \times 10^{-4}) and Yangtze finless porpoises (8.60 \times 10^{-5}) (Figure 3D and Table S22) (Yim et al., 2014; Yuan et al., 2018; Zhou et al., 2013, 2018a). As inbreeding is considered a potential cause of low diversity, we calculated the inbreeding coefficient ($F_{IS}$) within the two S. chinensis populations (Wright, 1922), but found no evidence of inbreeding (Tables S23 and S24).

Early barriers to gene flow (introgression) such as geographic isolation are common drivers of speciation (Poelstra et al., 2014; Via, 2009). SNB and LZB clearly split into two populations isolated by the Leizhou Peninsula (Figures 4A–4D and S13). Furthermore, admixture analysis indicates that LZB subgroups exist (Figure 4B). This observation may reflect the pigmentation differences (Chen et al., 2018b) of individuals in this population (Figure S14); however, further studies are warranted. We found no evidence of gene flow between the LZB and SNB populations from $F_{ST}$ statistics (Reich et al., 2009) (Table S25). We next assessed the genetic differentiation between populations, and the overall fixation index ($F_{ST}$) was estimated to be ~0.138, indicating moderate differentiation. To reliably investigate positive selection in the LZB and SNB populations separately under the background of moderate differentiation, we integrated five different methods, including $F_{ST}$, Tajima’s D, XP-CLR, XP-EHH, and the $\mu$-statistic (Alachiotis and Pavlos, 2018; Chen et al., 2010; Danecek et al., 2011; Sabeti et al., 2007) (Figures S15 and S16). Finally, we identified seven putative regions with eight genes (CAMKK2, P2RX4, P2RX7, IFT81, ATP2A, OLA1, and KANSL2) in the LZB population (Table S26). Among those genes, CAMKK2 mediates pleiotropic responses to physiologic and pathophysiological processes (Marcelo et al., 2016; Racioppi and Means, 2012), P2RX4 and P2RX7 play critical roles in immunity and inflammation (Burnstock, 2016; Raouf et al., 2007), ATP2A is involved in the role of maintaining cytoplasmic calcium levels (Pegoraro et al., 2011), and OLA1 is involved in oxidative stress (Zhang et al., 2009). Correspondingly, in the SNB population, we identified four regions with five genes (two copies of TBC1D10A, CNTRL, C5, and THBS1) (Table S26). Among these genes, C5 and THBS1 are involved in the regulation of the innate immune response during infection (Noris and Remuzzi, 2013; Zhao et al., 2015). These results suggest that, although geographical isolation led to the differentiation between the two populations, natural selection also played a role in the adaptation to their respective habitats.

Our analyses suggest that the LZB and SNB populations experienced a severe genetic bottleneck and that there has been no migration after the populations split. Although genetic drift caused by a bottleneck is likely to be the dominant evolutionary mechanism driving population divergence between LZB and SNB populations, natural selection also played a role. Our results also suggest that the LZB and SNB populations are differentiating, which may be reflected in morphological differentiation. Indeed, evidence of recent morphological differentiation has been identified between other S. chinensis populations (Wang et al., 2015).

**DISCUSSION**

The high-quality genome assembly of the Indo-Pacific humpback dolphin reported in this study enables a unique view of cetacean chromosome evolution. Although there have been numerous studies on cetacean genome evolution, including gene family expansions/contractions, genes under selection, and their possible roles in adaptation, our work is the first to consider evolution at the chromosome level using
genomic data. We have established chromosome evolution from the common ancestor of Cetruminantia (28 chromosomes) to the ancestral Odontoceti (22 chromosomes), to *S. chinensis* (22 chromosomes). The ever-increasing number of chromosome-level genomes promises an increasingly more precise picture of the genomes of extant species and their ancestors. Finally, we reveal that *S. chinensis* population sizes in southern China are steadily decreasing, coupled with evidence of divergence of populations in close geographic proximity. The estimated worldwide population size of *S. chinensis* is less than 13,000 individuals (Huang et al., 2012; Jefferson et al., 2017), nearly half of which are in Chinese waters. This study emphasizes the urgency for effective conservation of the Indo-Pacific humpback dolphin, a Vulnerable species.

**Limitations of the Study**

We reported a high-quality assembly of the Indo-Pacific humpback dolphin. We deduced the chromosome reshuffle events from its cetruminant ancestor and identified 16 genes locating in breakpoint regions during the chromosome evolution, potentially related to an aquatic environment adaptation. More evidence is needed to firmly establish a functional role for these genes, however. Furthermore, samples from wider geographical locations are needed to fully capture the population history of the Indo-Pacific humpback dolphin.

**Resource Availability**

**Lead Contact**

Further information and requests for resources and reagents should be directed to and will be fulfilled by the Lead Contact, Songhai Li (lish@idsse.ac.cn).

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**Figure 4. Population Characteristics of Indo-Pacific Humpback Dolphins**

(A) Locations of Leizhou Bay (LZB) and Sanniang Bay (SNB) populations in the northwestern South China Sea.

(B) Population structure of 39 Indo-Pacific humpback dolphins, varying the number of admixture components (K) from 2 to 4. The sample location for each individual is indicated with a population-specific prefix (LZB or SNB).

(C) Principal-component analysis (PCA) of LZB and SNB Indo-Pacific humpback dolphins. In particular, the first eigenvector separated the two populations.

(D) Phylogenetic tree of Indo-Pacific humpback dolphins from the LZB and SNB populations.
Materials Availability
This study did not generate new unique reagents.

Data and Code Availability
The accession number for the Indo-Pacific humpback dolphin chromosomal genome assembly reported in this paper is CNGBdb: CNP0000397.

METHODS
All methods can be found in the accompanying Transparent Methods supplemental file.

SUPPLEMENTAL INFORMATION
Supplemental Information can be found online at https://doi.org/10.1016/j.isci.2020.101640.

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AUTHOR CONTRIBUTIONS
S.L. conceived and designed this study. P.Z., Y. Zhao, and C.L. performed sample preparation and sequencing. P.Z., M. Lin, K.L., M. Liu, and L.D. collected the samples for assembly and re-sequencing. R.Z. and X.L. performed genome assembly. Y. Zhang and Y. Zhao performed genome annotation. Y. Zhao, G.F., and I.S. performed analysis of evolution. C.L., Y. Zhao, R.Z., and H.Z. performed analysis of population. Y. Zhao, P. Z., G.F., X.L., and S.L. wrote the manuscript. All other authors reviewed and revised the manuscript.

DECLARATION OF INTERESTS
The authors declare no competing interests.

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Supplemental Information

An Indo-Pacific Humpback Dolphin Genome Reveals Insights into Chromosome Evolution and the Demography of a Vulnerable Species

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Figure S1. Related to Figure 1. Statistics of Hi-C reads alignments of *S. chinensis* genome. Read1 and read2 mapping rate are 97.1% and 96.9%, respectively.
Figure S2. Related to Figure 1. Statistics of read1 and read2 merged result of Hi-C alignment. The ratio of reported unique pairs, total ratio of the multiple and singleton pairs, ratio of unmapped pairs is 71.02%, 27.98%, 1.00%.
Figure S3. Related to Figure 1. The distribution of Hi-C reads aligned to the restriction fragments. Most of Hi-C reads insert size are distributed between 50-600 bp.
Figure S4. Related to Figure 1. The ratio of duplicated pairs of Hi-C reads. There are only 10% duplicates of in all pairs reads, 90% are valid interactions. 41% are trans contacts, 38% are cis short-range contacts and 11% are cis long-range contacts.
Figure S5. Related to Figure 1. Hi-C contact heatmap of 22 chromosomes of the *S. chinensis*.
Figure S6. Related to Figure 1. Comparison of the number of orthologous genes in the S. chinensis and the other related species.

Figure S7. Related to Figure 1. Phylogenetic tree and divergence time of S. chinensis and related species.
Figure S8. Related to Figure 1. The synteny between *S. chinensis* and sperm whale chromosomes. The left green block presents the 22 *S. chinensis* chromosomes and right various colors block presents 21 sperm whale chromosomes.
Figure S9. Related to Figure 1. 22 *S. chinensis* chromosomes in synteny with sperm whale chromosomes. The upper red lines represent *S. chinensis* chromosomes, while the lower lines in various colors represent sperm whale chromosomes.
Figure S10. Related to Figure 1. The synteny between *S. chinensis* and cattle chromosomes. The left green blocks represent the 22 *S. chinensis* chromosomes and right various color blocks represent 30 cattle chromosomes.
Figure S11. Related to Figure 1. 22 S. chinensis chromosomes in synteny with cattle chromosome. The upper red lines S. chinensis chromosomes, while the lower lines in various colors represent cattle chromosomes.
Figure S12. Related to Figure 3. Nucleotide diversity frequency distribution of *S. chinensis* populations from Leizhou Bay and Sanning Bay. Brown bars represent data from Leizhou Bay while blue bars present data from Sanning Bay.

Figure S13. Related to Figure 4. Cross-validation (CV) error for varying values of K in the ADMIXTURE analysis. Minimum of estimated CV error on K=2 suggests the most suitable number of ancestral populations.
Figure S14. Related to Figure 4. Pigmentation pattern difference of Indo-Pacific humpback dolphins in Leizhou Bay and Sanniang Bay. a) Pattern 1: white/pink spots are firstly found on the skin and then enlarged gradually when youth grows old. b) Pattern 2: skin color gradually turns light and finally becomes pink/white when youth grows old.
Figure S15. Related to Figure 4. Detection of positive selection of LZB population at genome-wide level. The red triangles indicate the identified selection regions.

Figure S16. Related to Figure 4. Detection of positive selection of SNB population at genome-wide level. The green triangles indicate the identified selection regions.
Table S1. Related to Figure 1. Summary of the sequencing data obtained for WGS libraries, 10X Genomics Chromium™ library, Hi-C libraries and transcriptome libraries.

| Pair-end Libraries | Insert Size | Average Reads Length(bp) | Total Data(Gb) | Sequence Depth(X) |
|--------------------|-------------|--------------------------|----------------|------------------|
| WGS                | 200-400bp   | 100                      | 71.592         | 29               |
| 10X Genomics Chromium™ | 680bp     | 150                      | 317.499        | 129              |
| Hi-C               | 200-400bp   | 50                       | 73.267         | 29               |
| Transcriptome      | 250         | 100                      | 8.5            | -                |

Table S2. Related to Figure 1. Statistics of assembly after gap closing.

| Statistical level     | Scaffold       | Contig          |
|-----------------------|----------------|-----------------|
| Total number          | 76,346         | 113,866         |
| Total length (bp)     | 2,458,790,580  | 2,350,835,813   |
| Gap number (bp)       | 107,954,767    | 0               |
| Average length (bp)   | 32,205.89      | 20,645.63       |
| N50 Length (bp)       | 27,695,174     | 114,025         |
| N90 Length (bp)       | 619,950        | 19,971          |
| Maximum length (bp)   | 106,452,494    | 1,269,737       |
| Minimum length (bp)   | 100            | 48              |
| GC content (%)        | 41.33          | 41.33           |
Table S3. Related to Figure 1. Length of the 22 chromosomes of *S. chinensis* genome.

| Chromosome ID | Length (bp)     |
|---------------|----------------|
| chr1          | 179,798,283    |
| chr2          | 172,887,203    |
| chr3          | 165,834,538    |
| chr4          | 143,218,580    |
| chr5          | 136,344,689    |
| chr6          | 112,186,916    |
| chr7          | 111,358,879    |
| chr8          | 106,383,039    |
| chr9          | 104,828,680    |
| chr10         | 101,190,361    |
| chr11         | 100,036,560    |
| chr12         | 89,822,583     |
| chr13         | 88,266,666     |
| chr14         | 87,587,368     |
| chr15         | 82,807,222     |
| chr16         | 79,253,017     |
| chr17         | 77,431,126     |
| chr18         | 77,392,672     |
| chr19         | 56,525,992     |
| chr20         | 56,438,207     |
| chr21         | 35,025,918     |
| chrX          | 64,669,492     |
Table S4. Related to Figure 1. Comparison of our genome and the previously published *S. chinensis* genomes

| Items               | Ming et al., 2019 | Jia et al., 2019 | The present study |
|---------------------|-------------------|------------------|------------------|
| Genome size         | 2.34 Gb           | 2.6 Gb           | 2.46 Gb          |
| Scaffold N50        | 9 Mb              | 19.2 Mb          | 27.7 Mb          |
| Contig N50          | 67 Kb             | 84.3 Kb          | 114 Kb           |
| Genome BUSCO (mammalian) | 94.3 %     | 94.5 %           | 91.9 %           |
| Gene BUSCO (mammalian) | 95.1%       | 86.1%            | 97.3%            |
| Genome coverage     | 92.31%            | 88.39%           | Reference genome |

Table S5. Related to Figure 1. BUSCO evaluation of the *S. chinensis* genome and genes.

|                        | Genome                  | Gene                  |
|------------------------|-------------------------|-----------------------|
|                        | Number | Percentage (%) | Number | Percentage (%) |
| Complete BUSCOs        | 3,772   | 91.9        | 3,991   | 97.3          |
| Complete and single-copy BUSCOs | 3,695   | 90.0        | 3,890   | 94.8          |
| Complete and duplicated BUSCOs | 77     | 1.9         | 101     | 2.5           |
| Fragmented BUSCOs      | 154     | 3.8         | 65      | 1.6           |
| Missing BUSCOs         | 178     | 4.3         | 48      | 1.1           |
| Total BUSCO genes      | 4,104   | 100         | 4,104   | 100           |
Table S6. Related to Figure 1. Summary of matchup between *S. chinensis* and sperm whale (*Physeter macrocephalus*) chromosomes.

| Chromosome | The optimal blast results in *P. macrocephalus* | Length (bp) | Coverage (%) | The second optimal blast results in *P. macrocephalus* | Length (bp) | Coverage (%) |
|------------|-----------------------------------------------|-------------|--------------|-----------------------------------------------------|-------------|--------------|
| chr1       | chr4                                          | 110,177,592 | 74.1         | chr5                                                | 22,771,017  | 15.3         |
| chr10      | chr8                                          | 76,216,064  | 96.0         | chr14                                               | 1,553,268   | 2.0          |
| chr11      | chr9                                          | 61,594,447  | 94.9         | chr21                                               | 503,872     | 0.8          |
| chr12      | chr14                                         | 66,116,983  | 91.4         | chr3                                                | 4,299,851   | 5.9          |
| chr13      | chr17                                         | 74,772,291  | 91.1         | chr18                                               | 4,886,887   | 6.0          |
| chr14      | chr12                                         | 65,512,022  | 97.6         | chr21                                               | 383,790     | 0.6          |
| chr15      | chr6                                          | 45,436,031  | 96.9         | chr21                                               | 382,887     | 0.8          |
| chr16      | chr11                                         | 57,721,150  | 98.5         | chr21                                               | 178,682     | 0.3          |
| chr17      | chr15                                         | 65,536,405  | 94.5         | chr10                                               | 1,528,016   | 2.2          |
| chr18      | chr13                                         | 65,869,194  | 97.0         | chr21                                               | 376,454     | 0.6          |
| chr19      | chr10                                         | 40,375,616  | 94.1         | chr6                                                | 1,234,108   | 2.9          |
| chr2       | chr18                                         | 122,968,524 | 91.2         | chr11                                               | 2,840,736   | 2.1          |
| chr20      | chr11                                         | 36,524,106  | 96.4         | chr12                                               | 348,299     | 0.9          |
| chr21      | chr6                                          | 17,062,791  | 92.7         | chr21                                               | 249,361     | 1.4          |
| chrX       | chrX                                          | 12,948,533  | 55.1         | chr21                                               | 7,954,072   | 33.9         |
| chr3       | chr2                                          | 124,123,628 | 76.1         | chr3                                                | 14,995,279  | 9.2          |
| chr4       | chr1                                          | 88,408,478  | 65.7         | chr2                                                | 15,552,910  | 11.5         |
| chr5       | chr20                                         | 104,644,775 | 74.7         | chr21                                               | 12,821,859  | 9.2          |
| chr6       | chr16                                         | 86,528,512  | 91.1         | chr15                                               | 4,141,016   | 4.4          |
| chr7       | chr3                                          | 92,287,627  | 94.8         | chrX                                                | 1,036,599   | 1.1          |
| chr8       | chr7                                          | 85,967,770  | 93.1         | chr8                                                | 2,197,731   | 2.4          |
| chr9       | chr19                                         | 89,504,942  | 96.4         | chr21                                               | 701,122     | 0.8          |
Table S7. Related to Figure 1. Summary of collinearity between *S. chinensis* and cattle (*Bos taurus*) chromosomes.

| Chromosome 1 | The optimal blast results in *B. taurus* | The second optimal blast results in *B. taurus* |
|--------------|-------------------------------------------|-----------------------------------------------|
| chr1         | chr3                                      | chr16                                         |
| chr10        | chr23                                     | chr22                                         |
| chr11        | chr5                                      | chrX                                          |
| chr12        | chr11                                     | chr8                                          |
| chr13        | chr9                                      | chrX                                          |
| chr14        | chr24                                     | chr17                                         |
| chr15        | chr28                                     | chr26                                         |
| chr16        | chr25                                     | chr13                                         |
| chr17        | chr12                                     | chrX                                          |
| chr18        | chr14                                     | chrX                                          |
| chr19        | chr18                                     | chrX                                          |
| chr2         | chr10                                     | chrX                                          |
| chr20        | chr19                                     | chr7                                          |
| chr21        | chr27                                     | chr21                                         |
| chrX         | chrX                                      | chr7                                          |
| chr3         | chr20                                     | chr7                                          |
| chr4         | chr1                                      | chr27                                         |
| chr5         | chr6                                      | chr17                                         |
| chr6         | chr8                                      | chr11                                         |
| chr7         | chr2                                      | chr3                                          |
| chr8         | chr15                                     | chr29                                         |
| chr9         | chr4                                      | chr22                                         |

| Length (bp) | Coverage (%) | Length (bp) | Coverage (%) |
|-------------|--------------|-------------|--------------|
| 65,056,851  | 57.2         | 36,911,969  | 32.4         |
| 30,017,618  | 50.2         | 28,320,227  | 47.4         |
| 50,405,868  | 97.0         | 220,862     | 0.4          |
| 47,904,601  | 96.3         | 519,458     | 1.0          |
| 59,648,962  | 97.8         | 131,470     | 0.2          |
| 34,479,960  | 63.8         | 18,354,426  | 34.0         |
| 19,803,289  | 52.3         | 16,906,071  | 44.6         |
| 25,326,201  | 51.6         | 22,621,722  | 46.1         |
| 44,737,217  | 97.4         | 161,480     | 0.4          |
| 48,305,537  | 97.3         | 198,031     | 0.4          |
| 25,879,342  | 95.7         | 137,576     | 0.5          |
| 49,020,914  | 47.0         | 35,638,731  | 34.2         |
| 24,191,537  | 97.0         | 67,827      | 0.3          |
| 22,540,472  | 94.6         | 483,791     | 2.0          |
| 14,226,322  | 87.2         | 152,519     | 0.9          |
| 38,203,316  | 46.3         | 37,825,273  | 45.8         |
| 56,810,981  | 90.6         | 3,831,858   | 6.1          |
| 49,710,506  | 76.6         | 13,480,201  | 20.8         |
| 50,084,201  | 83.9         | 7,709,543   | 12.9         |
| 67,826,831  | 91.3         | 4,602,624   | 6.2          |
| 32,350,228  | 56.2         | 23,605,291  | 41.0         |
| 58,840,842  | 96.8         | 547,719     | 0.9          |
Table S8. Related to Figure 1. Inferred length of ancestral chromosomes of Cetruminantia.

| Chromosome | Length (bp)          |
|------------|----------------------|
| chr1       | 154,474,779          |
| chr2       | 61,905,470           |
| chr3       | 98,056,067           |
| chr4       | 29,858,164           |
| chr5       | 155,821,731          |
| chr6       | 7,924,970            |
| chr7       | 220,874,184          |
| chr8       | 25,091,812           |
| chr9       | 26,407,148           |
| chr10      | 56,103,623           |
| chr11      | 115,978,101          |
| chr12      | 31,739,070           |
| chr13      | 172,675,727          |
| chr14      | 57,310,100           |
| chr15      | 68,579,386           |
| chr16      | 92,089,847           |
| chr17      | 86,440,286           |
| chr18      | 48,023,814           |
| chr19      | 24,720,582           |
| chr20      | 37,358,162           |
| chr21      | 72,722,789           |
| chr22      | 17,467,707           |
| chr23      | 52,362,696           |
| chr24      | 42,316,857           |
| chr25      | 66,412,430           |
| chr26      | 83,725,353           |
| chr27      | 60,005,105           |
| chr28      | 51,879,593           |
Table S9. Related to Figure 1. Inferred length of ancestral chromosomes of Bovidae.

| Chromosome | Length (bp) |
|------------|-------------|
| chr1       | 65,197,042  |
| chr2       | 57,517,106  |
| chr3       | 92,279,129  |
| chr4       | 70,751,086  |
| chr5       | 25,091,812  |
| chr6       | 52,576,165  |
| chr7       | 56,103,623  |
| chr8       | 121,108,196 |
| chr9       | 120,273,145 |
| chr10      | 87,754,165  |
| chr11      | 72,761,895  |
| chr12      | 49,206,777  |
| chr13      | 57,310,100  |
| chr14      | 28,354,261  |
| chr15      | 92,089,847  |
| chr16      | 86,440,286  |
| chr17      | 72,744,396  |
| chr18      | 43,875,462  |
| chr19      | 71,404,992  |
| chr20      | 47,203,079  |
| chr21      | 131,008,674 |
| chr22      | 40,225,125  |
| chr23      | 59,255,844  |
| chr24      | 41,246,636  |
| chr25      | 42,316,857  |
| chr26      | 66,412,430  |
| chr27      | 83,725,353  |
| chr28      | 60,005,105  |
| chr29      | 51,879,593  |
| chr30      | 72,207,372  |
Table S10. Related to Figure 1. Inferred length of ancestral chromosomes of Odontoceti.

| Chromosome | Length (bp)  |
|------------|-------------|
| chr1       | 154,474,779 |
| chr2       | 98,056,067  |
| chr3       | 185,679,895 |
| chr4       | 7,924,970   |
| chr5       | 220,874,184 |
| chr6       | 66,338,448  |
| chr7       | 56,103,623  |
| chr8       | 126,790,445 |
| chr9       | 57,310,100  |
| chr10      | 68,579,386  |
| chr11      | 92,089,847  |
| chr12      | 49,206,777  |
| chr13      | 86,440,286  |
| chr14      | 37,358,162  |
| chr15      | 72,722,789  |
| chr16      | 151,814,743 |
| chr17      | 90,340,671  |
| chr18      | 66,412,430  |
| chr19      | 83,725,353  |
| chr20      | 86,412,253  |
| chr21      | 97,764,875  |
| chr22      | 61,905,470  |

Table S17. Related to Figure 2. The function of genes located in 4 kb regions spanning 40 evolution breakpoints in ancestral Odontoceti compared to ancestral chromosomes of Cetruminantia.

| Gene       | Symbol | Identity | Descriptions                                                                 |
|------------|--------|----------|------------------------------------------------------------------------------|
| Sochi10755 | GPC3   | 84 %     | Glypican-3 precursor; Cell surface proteoglycan that bears heparan sulfate. Plays a role in limb patterning and skeletal development by controlling the cellular response to BMP4. Modulates the effects of growth factors BMP2, BMP7 and FGF7 on renal branching morphogenesis. |
| Sochi01840 | TMEM9  | 84 % | Required for coronary vascular development. Transmembrane protein 9 precursor |
| Sochi03223 | CLDN12 | 97 % | Claudin-12; Plays a major role in tight junction-specific obliteration of the intercellular space, through calcium-independent cell-adhesion activity |
| Sochi02981 | ADCY1  | 96 % | Adenylate cyclase type 1; Catalyzes the formation of the signaling molecule cAMP in response to G-protein signaling. Mediates responses to increased cellular Ca(2+)/calmodulin levels. May be involved in regulatory processes in the central nervous system. May play a role in memory and learning. Plays a role in the regulation of the circadian rhythm of daytime contrast sensitivity probably by modulating the rhythmic synthesis of cyclic AMP in the retina. |
| Sochi04817 | DLGAP1 | 49 % | Disks large-associated protein 1, Part of the postsynaptic scaffold in neuronal cells |
| Sochi08789 | SLC12A3 | 95 % | Solute carrier family 12 member 3. Target of thiazide diuretics used in the treatment of high blood pressure. |
Prostaglandin D2 receptor; Receptor for prostaglandin D2 (PGD2). The activity of this receptor is mainly mediated by G(s) proteins that stimulate adenylate cyclase, resulting in an elevation of intracellular cAMP.

Serine/threonine-protein kinase VRK1

The protein encoded by this gene is a cytokine receptor that specifically mediates the biological effects of interleukin 9 (IL9). Genetic studies suggested an association of this gene with the development of asthma.

Reticulon 4 Receptor, this receptor mediates axonal growth inhibition and may play a role in regulating axonal regeneration and plasticity in the adult central nervous system

Table S18. Related to Figure 2. The function of genes located in 4 kb regions spanning 138 evolution breakpoints in *S. chinensis* compared to ancestral chromosomes of Odontoceti.

| Gene   | Symbol | Identity | Descriptions                                                                 |
|--------|--------|----------|------------------------------------------------------------------------------|
| Sochi00142 | CDA    | 100%     | Forms a homotetramer that catalyzes the irreversible hydrolytic deamination of cytidine and deoxycytidine to uridine and deoxyuridine, respectively. |
| Sochi06838 | SERPINB8 | 87%      | Serpin B8                                                                    |
| Gene      | Description                                                                                                     |
|-----------|----------------------------------------------------------------------------------------------------------------|
| VRK1      | Serine/threonine-protein kinase VRK1                                                                             |
| IMPDH2    | Inosine-5'-monophosphate dehydrogenase 2; plays an important role in the regulation of cell growth. Could also have a single-stranded nucleic acid-binding activity and could play a role in RNA and/or DNA metabolism. It may also have a role in the development of malignancy and the growth progression of some tumors. |
| NAT6      | NAT6 is a putative tumour suppressor, and plays a critical role in the maturation of actins by carrying out the acetylation of their N-terminal acidic residue |
| HYAL2     | Hyaluronidase-2; Hydrolyzes high molecular weight hyaluronic acid to produce an intermediate-sized product which is further hydrolyzed by sperm hyaluronidase to give small oligosaccharides. Displays very low levels of activity. Associates with and negatively regulates MST1R |
### Table S20. Related to Figure 3. The best parameter to fit the model ‘sym_mig_size’ of Dadi.

| Parameter | Maximum likelihood | 95% CI         |
|-----------|--------------------|----------------|
| θ         | 4091.59            | 3966.65-4216.53|
| nu1a      | 1.3061             | 2761.95-3118.13|
| nu2a      | 6.7683             | 10162.28-20306.52 |
| T1        | 0.356              | 38058.90-42170.53 |
| nu1b      | 0.2718             | 581.16-643.94 |
| nu2b      | 0.2168             | 463.56-513.64 |
| T2        | 0.0341             | 3645.15-4038.95 |
| m         | 16.6216            | 3.51E-3-3.89E-3 |

### Table S22. Related to Figure 3. Heterozygosity of *S. chinensis* and related species.

| Species                  | heterozygosity | μ         | Samples | g(years) |
|--------------------------|----------------|-----------|---------|----------|
| Human^10,12               | 0.00069        | 2.35×10⁻⁸ | --      | 25       |
| Sperm whale^13            | 0.0011         | --        | 4       | --       |
| Blue whale^14             | ~0.002         | 1.39×10⁻⁸ | --      | 30.8     |
| Fin whale^15              | 0.00151        | 2.77×10⁻⁸ | --      | 25.9     |
| Minke whale^15            | 0.00061        | 2.75×10⁻⁸ | 4       | 30.8     |
| Bottlenose dolphin^15     | 0.00142        | 2.54×10⁻⁸ | --      | 21.0     |
| Killer whale              | 0.00074        | 1.1×10⁻⁸  | 1       | 27       |
| Finless porpoise^15       | 0.00086        | 1.1×10⁻⁸  | 48      | 16.5     |
| Baiji^16                  | 0.000121       | 1.5×10⁻⁸  | 3       | 12       |
| *S. chinensis* (the present study) | 0.000179     | 5.81×10⁻⁹ | 39      | 12       |

### Table S23. Related to Figure 3. Inbreeding coefficients (Fb) of *S. chinensis* in Sanniang Bay.

| Individual | O(HOM) | E(HOM) | N(NM)       | F       |
|------------|--------|--------|-------------|---------|
| SNB-1B     | 818826 | 8.87E+05 | 1292005    | -0.1683 |
| SNB-2B     | 781121 | 8.87E+05 | 1292005    | -0.2614 |
| SNB-3B     | 811280 | 8.87E+05 | 1292005    | -0.1869 |
| SNB-4B     | 811515 | 8.87E+05 | 1292005    | -0.1863 |
| SNB-5B     | 830267 | 8.87E+05 | 1292005    | -0.14   |
| SNB-6A     | 792275 | 8.87E+05 | 1292005    | -0.2338 |
| SNB-saA    | 713894 | 8.87E+05 | 1292005    | -0.4274 |
| SNB-sjA    | 780633 | 8.87E+05 | 1292005    | -0.2626 |
| SNB-ucA    | 735015 | 8.87E+05 | 1292005    | -0.3752 |
Table S24. Related to Figure 3. Inbreeding coefficients (F<sub>H</sub>) of <i>S. chinensis</i> in Leizhou Bay.

| Individual | O(HOM) | E(HOM) | N(NM) | F       |
|------------|--------|--------|-------|---------|
| LZB-01B    | 692943 | 7.34E+05 | 1133826 | -0.1032 |
| LZB-02B    | 697368 | 7.34E+05 | 1134028 | -0.09245|
| LZB-03B    | 637037 | 7.35E+05 | 1134321 | -0.2438 |
| LZB-04B    | 672191 | 7.34E+05 | 1133995 | -0.1553 |
| LZB-05B    | 666780 | 7.35E+05 | 1134601 | -0.1698 |
| LZB-06B    | 634238 | 7.34E+05 | 1133462 | -0.2495 |
| LZB-08B    | 647218 | 7.34E+05 | 1134079 | -0.218  |
| LZB-09B    | 645475 | 7.34E+05 | 1134213 | -0.2225 |
| LZB-10B    | 630991 | 7.34E+05 | 1133972 | -0.2584 |
| LZB-11B    | 666563 | 7.34E+05 | 1133423 | -0.1685 |
| LZB-12B    | 660018 | 7.34E+05 | 1133661 | -0.1852 |
| LZB-13B    | 665687 | 7.34E+05 | 1133085 | -0.1702 |
| LZB-14B    | 657890 | 7.34E+05 | 1133210 | -0.1898 |
| LZB-15B    | 637694 | 7.34E+05 | 1133300 | -0.2405 |
| LZB-16B    | 693313 | 7.35E+05 | 1134274 | -0.103  |
| LZB-17B    | 643846 | 7.35E+05 | 1134486 | -0.227  |
| LZB-18B    | 623691 | 7.35E+05 | 1134643 | -0.2776 |
| LZB-19B    | 669735 | 7.34E+05 | 1133754 | -0.1612 |
| LZB-20B    | 645513 | 7.34E+05 | 1134172 | -0.2224 |
| LZB-21B    | 650587 | 7.34E+05 | 1134182 | -0.2097 |
| LZB-22B    | 65485  | 7.34E+05 | 1134000 | -0.1972 |
| LZB-23B    | 641979 | 7.34E+05 | 1133908 | -0.2308 |
| LZB-24B    | 630906 | 7.34E+05 | 1133922 | -0.2584 |
| LZB-25B    | 666698 | 7.34E+05 | 1133603 | -0.1684 |
| LZB-26B    | 678044 | 7.34E+05 | 1133030 | -0.1391 |
| LZB-27B    | 627112 | 7.34E+05 | 1134202 | -0.2684 |
| LZB-28B    | 684419 | 7.34E+05 | 1133826 | -0.1245 |
| LZB-29B    | 632192 | 7.34E+05 | 1133258 | -0.2542 |
| LZB-30A    | 640436 | 7.32E+05 | 1131198 | -0.2304 |
| LZB-33A    | 626180 | 7.34E+05 | 1134100 | -0.2706 |

Table S25. Related to Figure 4. f3 statistic of Indo-Pacific populations between Leizhou Bay and Sanniang Bay.

| Source1 | Source2 | Target | f3     | std.err | Z       | SNPs   |
|---------|---------|--------|--------|---------|---------|--------|
| SNB     | REF     | LZB    | 0.107176 | 0.005478 | 19.565 | 2550599 |
| LZB     | REF     | SNB    | 0.062828 | 0.005043 | 12.459 | 2550599 |
| LZB     | SNB     | REF    | 0.614164 | 0.017815 | 34.475 | 2550599 |
Table S26. Related to Figure 4. Putative regions of positive selection in the Leizhou Bay and Sanniang Bay populations.

| Population | Region       | Window _chr | Window_start (bp) | Window_end (bp) | $F_{ST}$ | Tajima's $D$ | XP-C LR | XP-E HH | $\mu$-statistic | Gene                  | Gene function annotated by KEGG ortholog database |
|------------|--------------|-------------|------------------|-----------------|---------|-------------|---------|---------|-----------------|----------------------|--------------------------------------------------|
| LZB        | chr12:17400001-17450000 | chr12 | 17,400,001        | 17,450,000      | 0.39    | -0.50       | NA      | 1.80(*) | 34.00(*)        | NA                   | NA                                               |
|            | chr14:8150001-8450000    | chr14 | 8,150,001         | 8,200,000       | 0.17    | -0.36       | 1.87    | 1.73(*) | 32.01(*)        | Sochi06 245          | K07359|1|0.0|1050|lve:103090099|calcium/calmodulin-dependent protein kinase kinase 2 [EC:2.7.11.17], CAMKK2 |
|            |               |             |                  |                 |         |             |         |         |                 | Sochi06 246          | K05218|1|0.0|743|bacu:103001338|P2X purinoceptor 4, P2RX4 |
|            |               |             |                  |                 |         |             |         |         |                 | Sochi06 247          | NA                                               |
|            | chr14         | chr14       | 8,250,001         | 8,300,000       | 0.24    | -0.45       | NA      | 1.36(*) | 29.10(*)        | Sochi06 248          | K05220|1|0.0|1149|lve:103091614|P2X purinoceptor 7, P2RX7 |
|            |ordea4000001-4050 | chr18     | 69,200,000        | 69,250,000      | 0.30    | -0.47       | NA      | 1.62(*) | 31.02(*)        | Sochi06 249          | K19677|1|0.0|1356|lve:103068493|intraflagellar transport protein 81 (IFT81) |
|            |               | chr21       | 4,000,001         | 4,050,000       | 0.23    | -0.66       | NA      | 1.40    | 28.30(*)        | NA                   | NA                                               |
| chr4:44150001-44300000 | chr4:44200001-44250000 | chr4:44250001-44300000 | chr7:58200001-58250000 | chrUN:204100001-204150000 | chr14:22800001-22850000 | chr3:162750001-162800000 | chr6:12400001-124500000 | chr8:66150001-662000000 |
|------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|
| chr4 44,150,000        | chr4 44,200,000        | chr4 44,250,000        | chr7 58,200,000        | chrUN 204,100,001      | chr14 22,800,000       | chr3 162,750,000       | chr6 12,400,000        | chr8 66,150,000        |
| 1                     | 1                     | 1                     | 1                     | 01                    | 1                     | 01                     | 1                     | 1                     |
| 44,200,000            | 44,250,000            | 58,250,000            | 204,150,000           | 22,850,000            | 162,800,000           | 12,450,000            | 66,200,000            | 66,150,000            |
| 00                    | 00                    | 00                    | 00                    | 00                    | 00                    | 00                    | 00                    | 00                    |
| 0.36                  | 0.39                  | 0.66                  | 0.64                  | 0.39                  | 0.23                  | 0.49                  | 0.25                  |                      |
| -1.36                 | -1.09                 | -1.56                 | -0.09                 | -1.37                 | -1.49                 | -1.25                 | -1.85                 |                      |
| NA                    | NA                    | 29.43                 | 48.03( *)             | NA                    | NA                    | 27.58                 | NA                    | 19.28                 |
| 1.42 (*)              | 1.44 (*)              | 1.55 (*)              | 1.64 (*)              | 1.05 (*)              | 0.99 (*)              | 0.89 (*)              | 0.77 (*)              |                      |
| 41.75 (*)             | 77.22 (*)             | 0.39                  | 0.01                  | 27.58 (*)             | 20.62 (*)             | 170.00 (*)            | 19.28 (*)             |                      |
| NA                    | NA                    | Sochi17 249           | Sochi10 448           | Sochi06 427           | Sochi06 428           | Sochi14 780           | Sochi14 048           |                      |
|                       |                       | K19788|1|0.0|837|pps:100990203|obg-like ATPase 1, OLA1| K18401|1|6e-27|126|acs:100558460|KAT8 regulatory NSL complex subunit 2, KANSL2| K19944|1|0.0|462|lgfr:102042531|TBC1 domain family member 10, TBC1D10A| K03994|1|0.0|3304|lve:103085597|compleme nt component 5, C5| K16857|1|e-29|132|aq:100632678|thrombospondin 1, THBS1 |
Note: an asterisk in parentheses indicates a significance level of 0.01
Transparent Methods

1 Samples and Genome sequencing

High-quality DNA was extracted from a blood sample of an adult male Indo-Pacific humpback dolphin (*S. chinensis*) in captivity, which was originally from Thai waters. We constructed a paired-end library (with insert-size of 200-400 bp), a 10X Genomics Chromium library, a Hi-C library, and a transcriptome library. All DNA libraries were sequenced on the BGISEQ-500 sequencing platform. Re-sequencing of 39 *S. chinensis* individuals (30 from LZB and 9 from SNB) was also performed on the BGISEQ-500 sequencing platform (100 bp paired-end reads, ~30× coverage).

1.1 DNA, RNA extraction, and library construction

DNA was extracted from blood of an adult male *S. chinensis* using the cetyl trimethylammonium bromide (CTAB) method. For the short insert size WGS libraries, DNA was sheared into fragments between 50 bp and 800 bp in size using a Covaris E220 ultrasonicator (Covaris, Brighton, UK) according to the manufacturer’s instructions. Fragments between 200 to 400 bp were selected using AMPure XP beads (Agencourt, Beverly, USA) and then repaired using T4 DNA polymerase (ENZYMATICS, Beverly, USA) 30 min. at 20 °C to obtain blunt ends which were then 3’-adenylated to create sticky ends. These DNA fragments were ligated at both ends to T-tailed adapters and amplified for eight cycles using KAPA HiFi HotStart ReadyMix (Kapa Biosystems, Wilmington, USA). The temperature profile was 3 min. at 95 °C followed by 8 cycles of 20 sec. at 98 °C, 15 sec. at 60 °C, 30 sec. at 72 °C, and more 10 min. at 72 °C for further elongation. These amplification products were subjected to a single-strand circularization process using T4 DNA Ligase, (ENZYMATICS, Beverly, USA) 60 min. at 20 °C to generate a single-stranded circular DNA library.

RNA was extracted using TRIzol Reagent (manufacturer). Blood cells (5×10^6 to 1×10^7) were collected by centrifugation and lysed in 1 ml of TRIzol Reagent by repetitive pipetting. After incubation of the homogenized samples for 5 min. at room temperature to permit the complete dissociation of nucleoprotein complexes, 0.2 ml of
chloroform was added per 1 ml of TRIzol Reagent. The samples were mixed intensely and then centrifuged at 12,000 × g for 15 min. at 4 °C. Centrifugation separated the biphasic mixtures into the lower red, phenol-chloroform phase and the upper colorless, aqueous phase. The RNA was precipitated from the aqueous phase by mixing with 0.5 ml of isopropanol. The samples were incubated at room temperature for 10 min. and centrifuged at 12,000 × g for 10 min. at 4 °C. The supernate was removed and the RNA pellet was washed twice with 75% ethanol. The pellet was air dried and dissolved in diethyl pyrocarbonate (DEPC)-treated water. mRNA was then fragmented and reverse-transcribed into cDNA using Hiscript II Reverse Transcriptase (Vazyme Biotech, Nanjing City, P.R. China) 10 min. at 25 °C, 40 min. at 42 °C, 15 min. at 70 °C and hold at 4 °C. A library of single-stranded circular molecules was created following the same strategy as above for the single-stranded circular DNA libraries. All these single-stranded circular DNA were then subjected to DNA loading and sequencing according to the sequencer manufacturer’s instructions (Slater and Birney, 2005).

1.2 10X Genomics Chromium Genome library preparation, sequencing and analysis

High molecular weight (HMW) DNA was isolated from the blood sample using a RecoverEase DNA Isolation Kit (Agilent, PN 720203, San Clara, USA) with some modifications, in which a plastic pestle (Corning Axygen, PES-15-B-SI, Corning, USA) and 1.5ml microcentrifuge tube (VIOX scientific, V1501-C, London, UK) were applied during the first step of tissue homogenization, replacing the glass tissue grinders. The molecular weight of isolated DNA was then assayed by pulsed field electrophoresis.

For preparation of the Chromium library, the isolated HMW DNA was quantitated and 1 ng was denatured according to the manufacturer’s recommendations (Chromium Genome Chip Kit v1, PN-120229, 10X Genomics, Pleasanton, USA). The denatured DNA was spiked into the reaction master mix, and mixed with gel beads and emulsification oil to generate droplets within a Chromium Genome chip. Then we finished the rest steps of Chromium library preparation following the
manufacturer’s protocols, with one modified PCR primer to introduce a 5’ phosphorylation site on one amplified strand. After PCR, the standard circularization step for BGISEQ-500 sequencer was carried out and DNA nanoballs (DNB) were prepared as previously described (Drmanac et al., 2010) followed by sequencing at the length of 150 bp pair-end. The raw fastq file was converted into a fastq format that could then be recognized by 10X Genomics Supernova (v2.0.0)³.

1.3 Hi-C library preparation and sequencing

The blood sample was fixed in 1% formaldehyde and the reaction was stopped with glycine. Then the restriction enzyme (Mbo I) (NEB, Ipswich, USA) was added to digest the DNA, followed by repairing the 5’ overhang (10 mM dCTP, 10 mM dGTP, 10 mM dTTP, (INVITROGEN, Waltham, USA) 5U/μl DNA Polymerase I, Large (Klenow) Fragment (NEB, Ipswich, USA)) using a biotinylated residue (0.4 mM biotin-14-dATP(INVITROGEN, Waltham, USA)), and the resulting blunt-end fragments were ligated in situ (10X NEB T4 DNA ligase buffer (NEB, Ipswich, USA), 10% Triton X-100 (SIGMA, St. Louis, USA), 10 mg/ml BSA (NEB, Ipswich, USA), T4 DNA ligase (NEB, Ipswich, USA)). Finally, the isolated DNA was reverse-crosslinked (Add 10 mg/ml proteinase K (NEB, Ipswich, USA) and 1% SDS (AMBION, Waltham, USA) to the tube and incubated at 56 °C overnight). And then we purified it by splitting the reverse-crosslinked DNA into three tube and added 1.5× volume of AMpure XP (AGENCOURT, Brea, USA) mixture to each tube, vortexed and spun down briefly, incubated for 10 min. at room temperature. We placed them on the MPS (INVITROGEN, Waltham, USA) for 5 min. at room temperature, discarded supernatant, wash the beads twice with 1 ml of freshly made 70% ethanol (SINOPHARM, Shanghai, China), air-dried the beads completely and re-suspended in 30 μl ddH2O). The Hi-C library was created by shearing 20 μg of DNA and capturing the biotin-containing fragments on streptavidin-coated beads using Dynabeads MyOne Streptavidin T1 (INVITROGEN, Waltham, USA). DNA fragment end repair (10X NEB T4 DNA ligase buffer with 10 mM ATP (NEB, Ipswich, USA), 25 mM dNTP mix (ENZYMATICS, Beverly, USA), 10 U/μl NEB T4 PNK (NEB, Ipswich, USA), 3 U/μl NEB T4 DNA polymerase I (NEB, Ipswich, USA), 5 U/μl NEB DNA
polymerase I, Large (Klenow) Fragment (NEB, Ipswich, USA), adenylation (10X NEBuffer 2 (NEB, Ipswich, USA), 10 mM dATP (Invitrogen, Waltham, USA), 5 U/μl NEB Klenow exo minus (NEB, Ipswich, USA)), and adaptor ligation were performed using 10X T4 PNK Reaction Buffer(NEB, Ipswich, USA), 100mM ATP (FERMENTAS, USA), 600 U/ul T4 DNA Ligase (NEB, Ipswich, USA), 50% PEG8000 (RIGAKU, Tokyo, Japan), 50 uM Ad153 barcode oligo_2B mix (BGI, Shenzhen, China), and followed by PCR (95 °C 3 min.; [98 °C 20 sec., 60 °C 15 sec., 72 °C 15 sec.] (8 cycles); 72 °C 10 min.). After PCR, the standard circularization step required for BGISEQ-500 was carried out and DNB were prepared as previously described (Drmanac et al., 2010).

The library was then sequenced on the BGISEQ-500 sequencer. The raw fastq files were converted into the fastq format that could be recognized by HiC-Pro pipeline for subsequent quality control of sequence data.

2 Genome assembly and annotation

2.1 Genome assembly

Supernova (v2.0.0) (Weisenfeld et al., 2017) was used to de novo assemble the *S. chinensis* with reads from the 10X Genomics Chromium library. Paired-end sequencing data were applied to fill gaps using KGF (v1.19) and GapCloser (Luo et al., 2012) (v1.12) with default parameters. After filtering Hi-C library data by HiC-Pro (Servant et al., 2015) (v2.9.0), we used 3D-DNA pipeline (Dudchenko et al., 2017) to anchor the gap-filled genome assembly into chromosomes. Mitochondria of *S. chinensis* individuals were assembled by NOVOPlasty (v2.7.1) (Dierckxsens et al., 2017)

2.2 Gene annotation

Repeat elements were annotated based on de novo and homolog method. De novo repeats were annotated by RepeatModeler (v1.0.8) and long terminal repeats were annotated by LTR-FINDER (Xu and Wang, 2007) (v1.0.6). DNA and protein transposable elements (TEs) were annotated by RepeatMasker (Price et al., 2005) (v4.0.5) and RepeatProteinMasker (v4.0.5) to search Repbase (Bao et al., 2015) database, respectively. Tandem repeats were annotated by Tandem Repeat Finder
To perform the gene prediction, we generated ~8.5 Gb RNA-seq data from blood of one SNB *S. chinensis* (SNB-06). We used Trinity (Grabherr et al., 2011; Haas et al., 2013) (v2.5.1) to assemble the transcriptome and TGI Clustering Tool (Pertea et al., 2003) (TGICL; v2.1) to generate 16 Mb unique (non-redundant) cDNA sequences. Also, we predicted genes of *S. chinensis* by Augustus (Stanke et al., 2006) (v3.3) for *de novo* annotation, and downloaded proteins (i.e. homologous proteins) of nine reference species from NCBI including common bottlenose dolphin (*Tursiops truncatus*), minke whale (*Balaenoptera acutorostrata*), Beluga (*Delphinapterus leucas*), finless porpoise (*Neophocaena asiaeorientalis*), baiji (*Lipotes vexillifer*), killer whale (*Orcinus orca*), goat (*Capra aegagrus hircus*), cattle (*Bos taurus*) and human (*Homo sapiens*) to perform homologous annotation by GeneWise (v2.4.1) (Birney et al., 2004). cDNA sequences, *de novo* and homologous evidences were combined by GLEAN to get final gene set (Elsik et al., 2007). The final *S. chinensis* gene set was functionally annotated by mapping against Kyoto Encyclopedia of Genes and Genome (Kanehisa and Goto, 2000) (KEGG), Swiss-Prot (Bairoch and Apweiler, 2000), TrEMBL (Bairoch and Apweiler, 2000), COG (Tatusov et al., 1997), and NR (Pruitt et al., 2005) databases using BLASTp (*E*-value threshold was $1 \times 10^{-5}$). Gene domains and motifs were identified using InterProScan (Finn et al., 2017; Zdobnov and Apweiler, 2001) against PRINTS (Attwood et al., 2002), ProDom (Bru et al., 2005), Pfam (Finn et al., 2008), SMART (Ponting et al., 1999), PANTHER (Mi et al., 2005), and PROSITE (Hulo et al., 2006). GO (The Gene Ontology et al., 2000) terms were obtained from the InterPro entries in the study. We identified 17,286 gene families in the Indo-Pacific humpback dolphin genome through BLAST searching against eight other species genomes (*H. sapiens*, *E. cabllus*, *T. truncatus*, *N. asiaeorientalis*, *P. macrocephlus*, *B. acutorostrata*, *B. taurus*, and *O. aries*), with the human genome and *E. cabllus* genome as outgroups. We then identified 1,915 single copy gene families to build species phylogenetic trees using maximum likelihood method. Divergence time were estimated by MCMCTREE (v4.4), and calibration divergence times were used between *O. aries* and *B. taurus* (18.3 ~28.5 Mya), *O.*
aries and H. sapiens (953 ~ 113 Mya), and E. caballus and H. sapiens (95.3 ~ 113 Mya).

3 Genome analyses

3.1 Evolutionary analysis

Gene families was identified in S. chinensis genome through BLAST search against six other genomes including bottlenose dolphin (Tursiops truncatus), sperm whale (Physeter macrocephalus), common minke whale (Balaenoptera acutorostrata), Finless porpoise (Neophocaena asiaeorientalis), sheep (Ovis aries) and cattle (Bos taurus), as well as human (Homo sapiens) and horse (Equus caballus) genome as outgroups. We then identified 1,915 single copy gene families with TreeFam to build species phylogenetic trees (Li et al., 2006). Divergence time were estimated by MCMCTREE (v4.4), and calibration divergence times were used between O. aries and B. taurus (18.3 ~28.5 Mya), O. aries and H. sapiens (953 ~ 113 Mya), E. caballus and H. sapiens (Benton and Donoghue, 2007) (95.3 ~ 113 Mya).

3.2 Synteny analysis

All S. chinensis chromosomes were aligned to cattle and sperm whale chromosomes using LASTZ (Harris, 2007a) (version 1.04) with parameters ‘T=2 C=2 H=2000 Y=3400 L=6000 K=2200’, and output with maf format. After filtering the aligned blocks shorter than 2 kb in length, we plotted the synteny relationships using Circos (version 0.69) (Krzywinski et al., 2009).

3.3. Reconstruction of ancestral chromosome

In order to reconstruct the ancestral chromosome of extant cetaceans and their terrestrial sister taxa, chromosome-level genomes of cattle (B. taurus; assembly bosTau8), sheep (O. aries; assembly oviAri3), and horse (E. caballus; assembly equCab2) were downloaded from UCSC. Whereas a chromosome-level sperm whale (P. macrocephalus) genome was obtained from CNGBdb (https://db.cngb.org/search/assembly/CNA0002349) (Fan et al., 2019). The cattle genome was served as the reference genome, onto which all other genomes were aligned using LASTZ (Harris, 2007b) (v1.04) with parameters ‘T=2 C=2 H=2000 Y=3400 L=6000 K=2200’. We defined sequence fragments of at least 300 kb length
found in all investigated species as a homologous syntenic block (HSB) according to the LASTZ results. In total, 342 homologous syntenic blocks (HSBs) were identified at the 300-kb resolution, with the horse genome serving as outgroup. These HSBs were used to construct the ancestral chromosomes for Cetruminantia, Bovidae, and Odontoceti by the Multiple Genomes Rearrangements and Ancestors tool (MGRA2) (Alekseyev and Pevzner, 2009; Avdeyev et al., 2016) with default parameter settings. MGRA2 outputs served as inputs in MGR and Genome Rearrangements In Man and Mouse (MGR/GRIMM) (Bourque and Pevzner, 2002) to find the most parsimonious scenarios of reshuffling events. We defined reshuffling events as chromosome evolutionary changes of reversal, translocation, fusion, and fission events; and each reshuffling event can include more than one HSB movement. Evolutionary breakpoint regions (EBRs) were intervals demarked by two adjacent HSB boundaries on the same chromosome. The analysis results of Cetruminantia chromosome evolutionary changes with simplified changing steps were shown in Fig.2.

To identify positive selection genes, the non-synonymous to synonymous substitutions (dN/dS) ratios for foreground branch (S. chinensis) and background branch (cattle, sheep and pig) were estimated by the free ratio model of Codeml in PAML (v4.8) (Yang, 2007). The branch-site likelihood ratio test was performed with the null model. Genes with P value less than 0.05 were treated as candidates of positive selection. The faster evolving genes (P<0.05, ω>1) were confirmed with the branch model in PAML with the null model and the alternative model.

4 Population resequencing

4.1 Variant calling and population structure

Genome variant calling was conducted using Illumina DRAGEN pipeline based on the high-quality reads. In order to reduce the errors on variant calling, we used VCFtools (Danecek et al., 2011) (v0.1.14) to filter out low-quality (allele frequency lower than 0.01, quality value lower than 50, and more than 30% missing genotypes) SNPs. Mitochondrial variants were called using the GATK (v3.6). Principal component analysis (PCA) was conducted using PLINK (Chang et al., 2015) (v1.90).
Population structure was inferred by ADMIXTURE (v1.3.0) with 1000 cross-validation replicates.

To identify the potential positive selection region, the fixation index \((F_{ST})\) between the LZB and SNW populations was calculated using VCFtools (v0.1.14) (Danecek et al., 2011) with a non-overlapping sliding window of 50 kb length with parameter "--fst-window-size 50000 --fst-window-step 50000". Tajima’s \(D\) of LZB and SNB was calculated using VCFtools (v0.1.14) (Danecek et al., 2011) with a non-overlapping sliding window of 50 kb length with the parameter "--TajimaD 50000", separately. XP-CLR score of LZB and SNB was calculated using xpcler (v1.1.2) (Chen et al., 2010; Sabeti et al., 2007) by assuming uniform recombination of 1 cM per 1 Mbp with default parameters, separately. XP-EHH score of LZB and SNB was calculated using xpehh (Sabeti et al., 2007) with default parameters. \(\mu\)-statistic of LZB and SNB was calculated using RAiSD (v2.5) (Alachiotis and Pavlidis, 2018) with the parameter "-R -f -D -P -A 0.99". Because scores of XP-CLR, XP-EHH, and \(\mu\)-statistic were obtained from SNP sites, we re-calculated the average scores by a non-overlapping sliding window of 50 kb length using our costumed Perl script. Finally, the windows with a significant level of 1% at least two supports from XP-CLR, XP-EHH or \(\mu\)-statistic, and meanwhile with \(F_{ST} > 0.15\) and negative Tajima’s \(D\), were identified as positive selection regions, and the genes on those regions were extracted as potential positive selection genes.

SNPs were filtered – removing individuals with more than 10% missing genotypes, a high rate of missing genotype calls (10%), and minor allele frequency (MAF) value above 0.05 – and analysed using PLINK (v1.90) to generate the inbreeding coefficient \((F_h)\) of each population (Keller et al., 2011). To build an individual-level tree, we used the Indo-Pacific bottlenose dolphin \((Tursiops aduncus)\) genome as an outgroup and excluded SNP sites with missing data. The remaining 6,995,513 SNPs were used to calculate uncorrected distances with an UPGMA tree search and 100 bootstrap replicates in PAUP (v4a164) (https://paup.phylosolutions.com).
4.2 Demographic history reconstruction and gene flow

The effective population size was estimated by heterozygous sites’ changes across the genome in PSMC model. The $f_3$ test was calculated using ADMIXTURE. The $\mu$ (neutral mutation rate per generation) was calculated using the distmat software (http://emboss.sourceforge.net/apps/release/6.6/emboss/apps/distmat.html). The divergence time of the two populations and migration rates were firstly inferred by DaDi (v1.7.0) through 32 models to evaluate which model was the best (Supplementary Table 22), and then “sym_mig_size” model was confirmed and used to calculate our results. The effective population sizes ($N_e$) of contemporary and their change over the past 100 generations were estimated by PopSizeABC with parameters: nb_rep (number of simulated datasets) is 1000; L (size of each segment, in bp) is 2,000,000; mac (minor allele count threshold for AFS and IBS statistics computation) is 0; nb_seg (number of independent segments in each dataset) is 100; mac_ld (minor allele count threshold for LD statistics computation) is 6; nb_times (number of time window) is 5; $T_{\text{max}}$ (the oldest time window will start at $T_{\text{max}}$) is 100; all others as default.

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