The Influence of Hydrothermal Duration on Structures and Optical Properties of ZnO Nanoparticles

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Abstract ZnO nanoparticles were synthesized by hydrothermal method using ethylene glycol as a stabilizer and as well as a template. This study was aimed to examine the influence of hydrothermal duration (3, 6, and 12 hours) on the structures and optical properties of ZnO nanoparticles. X-ray diffraction results show that all peaks appear in the diffraction pattern indicate the hexagonal wurtzite structure of ZnO. The lattice parameters found just slightly varied with addition of hydrothermal duration. It is found that the particles size decreases whereas the average crystals size increase with hydrothermal duration. The average crystal size found increase with addition of hydrothermal duration. On the other hand, the particle grains were spread evenly distributed with decreasing size with hydrothermal duration increase, as indicated in the SEM images. Optical properties were investigated based on the optical transmission of the ZnO films. It is known that the films strongly absorb the visible region, whereas the absorption edge in the UV region. Bandgap energy of the films found increase with hydrothermal duration, that were 3.18 eV, 3.21 eV and 3.24 eV for 3 hours, 6 hours and 12 hours, respectively.

Keywords: ZnO nanoparticles, hydrothermal process, duration effect, structures, optical properties

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1. Introduction

ZnO is well known as n-type semiconductor materials which have band gap energy about 3.37 eV at room temperature and 3.43 eV at low temperatures [1]. ZnO has a hexagonal (wurtzite) crystal structure with lattice parameter a = 3.249 Å and c = 5.206 Å [2]. Many applications have been known for ZnO semiconductor such as sol-gel [3,4,5], gas sensors [6], UV light sensors [7], photocatalysts [8], thin film transistors (TFTs) [9], transparent conducting layers [10], light emitting devices [11], and as blocking layer in flexible organic solar cell [12].

Several methods have been established to grow the ZnO crystal such as sol-gel [13,14], hydrothermal [15,16], spray pyrolysis [17], sonochemistry [18], electrochemical growth [19], electrophoretic [20], and chemical bath deposition (CBD) [21,22]. Hydrothermally processing is one of the more effective and efficient method because the temperature and pressure can be controlled which greatly effects the results. Several researches have studied any factors in the hydrothermal synthesis of ZnO nanoparticles including variation of temperature and pressure in the reactor [14,15]. But, there were no more researches that have study the duration effect in the hydrothermal processing of ZnO nanoparticles.

In this research we studied the influence of duration of hydrothermal processing on the properties of ZnO nanoparticles. This study will investigate the structure, morphology and optical properties of the ZnO nanoparticle in relation with duration of hydrothermally process.

2. Experimental

Any chemicals used to synthesize the ZnO nanoparticles were analytical grade. Firstly, 8.75 grams of zinc acetate dehydrate was dissolved into 28 ml of ethanol and 12 ml ethylene glycol. Then the solutions added to an autoclave of hydrothermal reactor. Temperature of the reactor fixed at 150°C, while stirred at 400 rpm for different duration that are 3 hours, 6 hours and 12 hours. The sediment derived from hydrothermal process was washed with ethanol three times and dried on the hotplate at 100°C until it dry and then calcination at 300°C for one hour. Afterward, the samples were characterized using x-ray diffraction (XRD) to determine the structure of crystal and scanning electron microscope (SEM) to determine the morphology of the samples.

To study the optical properties of ZnO nanoparticles, the ZnO powder samples were formed into film form onto the glass substrate. ZnO films were coated on the ITO glass by casting method. Before that, ZnO powder was dispersed into ethylene glycol at 5 wt% concentration and ethanol [23]. The films were coated on the glass substrate with 1.5 cm x 1 cm area. Optical properties of ZnO film were measured using UV-Vis spectrophotometer (Ocean Optics), from which the bandgap energy will be determined.
3. Results and Discussion

3.1. XRD Analysis

Figure 1 shows the diffraction pattern of ZnO nanoparticles derived by hydrothermal process with different duration. Any samples have been thermally treated with a same temperature (300°C). There are no more significantly difference of the pattern resulted by any samples with variation of hydrothermal duration. Based on the diffraction patterns it can conclude that the ZnO crystal well growth perfectly. All of the ZnO peaks appears in the pattern indicate the ZnO crystal have growth well with a hexagonal (wurtzite) structure, as three higher peaks at about 2θ = 36° (101), and 32° (100), 34°(002), respectively [24].

Using Cohen method, it can be calculated the lattice parameters of hexagonal ZnO crystal [23]. The lattice parameters (a and c) were found just slightly different for the samples with different hydrothermal duration. For 3 hours of hydrothermal duration found the parameter a = 3.25Å and c = 5.21Å. For 6 hours duration have the parameter a = 3.26Å and c = 5.22Å, whereas for 12 hours duration have the parameter a = 3.26Å and c = 5.22Å. The parameters slightly increase with hydrothermal duration increase. These calculation values are agreement with the previous research, such as the results obtained by Hamedani, et.al [25].

The average crystal size (ACS) of ZnO was calculated using a well-known Scherrer’s formula

\[ \sigma = \frac{k\lambda}{\beta\cos\theta} \]

\( \sigma \) is the average of crystal size, \( k \) is a constant (0.9), \( \lambda \) is the wavelength of X-ray source (1.54059 Å), \( \beta \) is the full-width half maximum (FWHM), and \( \theta \) is the angle of diffraction. The calculation results found the average crystal size of ZnO nanoparticle increases with hydrothermal duration increase (Figure 3). For 3 hours hydrothermal duration, it found the crystal size of 44.32 nm, and increase to 50.65 nm for 6 hours and 54.37 nm for 12 hours of hydrothermal duration. There was no more previous information about reduction of crystal size with hydrothermal duration increase.

3.2. Morphology

The surface morphology of ZnO was observed by scanning electron microscopy (SEM). Figure 2 (a, b and c) shows the SEM images of three samples prepared with three different hydrothermal duration, that are 3, 6, and 12 hours, respectively. The images present the clearly surface morphology of the samples. Grains boundary of the particles clearly seems on the morphology images, so that the particles size can be measured using CorelDRAW software.

In contrast to the crystal size, the grain size of particle was found decreased with time duration increase of hydrothermal process, as shown in the curves at Figure 3. It found the particle size for 3 hours of hydrothermal duration was 249 nm, increased to 147 nm and 107 nm for 6 hours and 12 hours of duration, respectively. This result
is consistent to facts that reduction of particles size in line with the lattice parameter increase [26].

![Figure 3](image3.png)

**Figure 3.** Effect of hydrothermal duration to particle and crystal size of ZnO nanoparticles

Regarding the facts, it found that addition of hydrothermal duration caused the particle size of ZnO nanoparticle decrease, otherwise the crystal size increase. In the other research, it found that the particle size will decrease with temperature and concentration increase [25].

### 3.3. Optical Properties

The optical properties of ZnO semiconductor was investigated based on observation of optical transmissions through the semiconductor films by using UV-Vis spectrophotometer. **Figure 4** shows the optical transmission of ZnO films with variation of hydrothermal duration. The transmittance spectra show that the ZnO films strongly absorb the UV region of electromagnetic spectrum, with the absorption edge at around the 400 nm. It found that the spectra were shifted to a longer wavelength with hydrothermal duration increase that means their optical properties have change. The maximum of absorption also shifts to longer wavelength, that about 306 nm, 467 nm and 264 nm for 3 hours, 6 hours and 12 hours duration of hydrothermal reaction.

![Figure 4](image4.png)

**Figure 4.** Optical transmission of ZnO films with different hydrothermal duration

The shift of the absorption edge to higher wavelength was caused by the reduction of the transition distance between energy levels usually called band gap energy. The results confirm that band gap energy will decrease as with increasingly particle size caused by the quantum size effect [26].

In order to determine the band gap energy of the films, the transmittance or absorbance spectra of the films were recorded. The absorption coefficient (\(\alpha\)) was calculated from the transmittance or absorbance spectra using the formula

\[
\alpha(\nu) = 2.303 \left( \frac{A}{d} \right)
\]

(2)

where \(\alpha\) is the absorbance coefficient, \(d\) is the film thickness and \(A\) is the optical absorbance. The thickness of the films was determined based on cross section image of the films by SEM.

The optical absorption edge was analyzed by the following equation to determine the band gap energy of the films [24],

\[
\alpha hv = A(\nu - E_g)^m
\]

(3)

where \(A\) is a constant, \(m\) value was characterized by kind of transition, that values are 1/2 for direct and 2 for indirect transition, respectively. It known that the ZnO is a direct transition semiconductor, so the \(m\) value is 1/2. Based on the above equation, it can be plotted a curve between \(\alpha hv\) as vertical axis with photon energy (\(h\nu\)) as horizontal axis, usually called Tauc plot from which the band gap energy (\(E_g\)) can be determined.

**Figure 5** shows a Tauc plot for the three different ZnO nanoparticle films with variation of hydrothermal duration. The plots show the clearly transition region around 3.2 eV, that consistent with band gap energy of ZnO semiconductor. Regarding to the plot, it exactly found the band gap energy of ZnO films increase with addition of hydrothermal duration, i.e. 3.18 eV, 3.21 eV and 3.24 eV for 3 hours, 6 hours and 12 hours of hydrothermal duration. In this case, the ZnO nanoparticle films is a directly transition semiconductor, as has been expressed by previous researchers [17,22].

![Figure 5](image5.png)

**Figure 5.** Touch plot (\(\alpha hv\))^2 versus the energy of photons (\(h\nu\))

![Figure 6](image6.png)

**Figure 6.** A shift Band gap energy versus particles and crystals size
According to the previous explanation that band gap energy shift was caused by difference of particles size. In the theory, decreasing particles size will increase the band gap energy of semiconducting materials. A change of band gap energy to crystals size and particles size can be seen in Figure 6. Based on this result, it found that duration of hydrothermal reaction caused the particles size decrease causing the band gap energy increase.

4. Conclusions

ZnO nanoparticles have been synthesized by hydrothermal method with variation of processing duration. The particle size of ZnO nanoparticles decrease, otherwise the crystal size increase with hydrothermal duration. On the other hand, optical band gap energy increase with hydrothermal duration caused by particles size decrease of ZnO nanoparticles as consequence of the quantum size effect.

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