A DNA vaccine delivered by dermal electroporation fully protects cynomolgus macaques against Lassa fever

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ABSTRACT
Lassa virus (LASV) is an ambisense RNA virus in the Arenaviridae family and is the etiological agent of Lassa fever, a severe hemorrhagic disease endemic to West and Central Africa.1,2 There are no US Food and Drug Administration (FDA)-licensed vaccines available to prevent Lassa fever.1,2 In our previous studies, we developed a gene-optimized DNA vaccine that encodes the glycoprotein precursor gene of LASV (Josiah strain) and demonstrated that 3 vaccinations accompanied by dermal electroporation protected guinea pigs from LASV-associated illness and death. Here, we describe an initial efficacy experiment in cynomolgus macaque nonhuman primates (NHPs) in which we followed an identical 3-dose vaccine schedule that was successful in guinea pigs, and a follow-on experiment in which we used an accelerated vaccination strategy consisting of 2 administrations, spaced 4 weeks apart. In both studies, all of the LASV DNA-vaccinated NHPs survived challenge and none of them had measurable, sustained viremia or displayed weight loss or other disease signs post-exposure. Three of 10 mock-vaccinates survived exposure to LASV, but all of them became acutely ill post-exposure and remained chronically ill to the study end point (45 d post-exposure). Two of the 3 survivors experienced sensorineural hearing loss (described elsewhere). These results clearly demonstrate that the LASV DNA vaccine combined with dermal electroporation is a highly effective candidate for eventual use in humans.

Introduction
Viruses in the Arenaviridae family are enveloped, primarily rodent-borne viruses, with some newly discovered members that have been isolated from reptiles.3–5 The rodent-borne arenaviruses include highly pathogenic New World members, such as Machupo and Junin virus, endemic to South America, and Old World members, such as Lassa virus (LASV), endemic to West Africa.1,2 LASV has been classified as a Category A biological threat agent by the US Centers for Disease Control and Prevention. The arenavirus genome consists of 2 single-stranded RNA segments that encode a total of 4 viral proteins, including the viral polymerase and zinc (Z) binding proteins on the Large (L) segment, and the nucleoprotein (NP) and glycoprotein precursor (GPC) structural proteins on the small (S) segment. The GPC gene product is post-translationally cleaved by a host protease, thus expanding the viral protein repertoire to include glycoproteins (GP) 1 and 2.1,6 The RNA genomes of arenaviruses use a unique "ambisense" protein coding strategy, meaning that they have the capability of encoding their proteins from either end of the RNA segment in a virus-complementary or virus-sense manner.1,5 While considerable sequence diversity exists within and between strains of LASV, the predicted glycosylation sites on the GPC gene and the GP1/GP2 cleavage site appears to be conserved.8

Infection with LASV can result in Lassa fever, an acute disease characterized by fever, malaise, and exudative pharyngitis with progression to mucosal bleeding, edema and coagulopathy. According to the CDC, approximately 100,000 to 300,000 cases of Lassa fever occur per year in the endemic regions of Central and West Africa, and the disease accounts for approximately 10–16% of all hospital admissions per year.9 The presumed case fatality rate is fairly low, causing approximately 5,000 deaths per year among identified cases, but a much higher case fatality rate of 55% was postulated based on serologic surveys showing that LASV-specific IgM, which has historically been associated with acute disease, can persist in LASV survivors for at least one year and; therefore may not be a reliable indicator of recent infection.10 LASV infection not only causes acute disease, but also leads to serious and long-lasting sequelae in about 30% of survivors to include unilateral or bilateral sudden-onset sensorineural hearing loss.11–16 At present, there are no vaccines or antiviral drugs approved by the FDA for treating infection with LASV. While ribavirin is
approved for use in treating hemorrhagic fever caused by arenaviruses under the compassionate use provisions for investigational new drugs, it is not widely available and must be donated by the manufacturer. The efficacy of this therapeutic against Lassa fever is also questionable and has not been shown to reduce post-recovery deafness. There have been some promising reports of small molecule inhibitors with efficacy against LASV in cell culture and in small animal models, but as yet, there are no therapeutics available for use in humans, even in a compassionate use indication. There is clearly a need to develop effective preventative and therapeutic medical countermeasures to respond to or prevent outbreaks of Lassa fever in Africa and to counter potential acts of bioterrorism.

Animal models for Lassa fever include guinea pigs and NHP. Experimental LASV (Josiah strain) infection of cynomolgus macaques typically results first in a hemorrhagic phase in which NHP succumb approximately 14 d post-exposure (range 11–18 days); and a neurologic phase in which NHP develop neurological signs including tremors, ataxia and seizures approximately 21 d post-exposure (range 19–23). In our experience, NHP that develop neurological signs seldom recover fully from disease; they either succumb as a consequence of or require euthanasia due to seizures, or continue to experience neurological signs to the study end point. Rarely, NHP will survive infection without experiencing severe signs and fully recover by the study end point.

Our laboratories are engaged in development of DNA-based strategies against viruses of biodefense interest. DNA-based vaccines have several advantages over other methods of vaccination. They are generally regarded as safe, they are relatively simple to produce, and thus can be manufactured quickly to best respond to need. In addition, DNA plasmid vaccines are relatively stable and don’t require rigorous cold-chain maintenance, making them an ideal strategy for deployment environments. DNA vaccines have also been traditionally considered to be good stimulators of cell-mediated immunity, thus are well suited for LASV studies because cellular immunity appears to be of greater importance than humoral immunity for protection against LASV. For our LASV vaccine design efforts, we chose the GPC gene of LASV as our target due to the fact that there are important conserved regions of this gene (as discussed earlier), and that post-translational cleavage of GPC into GP1 and GP2 in the host could also potentially allow for the generation of GPC, GP1 and GP2-specific immune responses from a single plasmid. Using this vaccine, we demonstrated the LASV (Josiah strain) codon-optimized GPC plasmid when delivered by intradermal (ID) electroporation (EP), completely protected guinea pigs against LASV-associated viremia, disease and death. Here, we describe the outcomes of experiments to describe the efficacy of a primate-optimized version of this vaccine in an NHP model, administered via a novel dermal electroporation device.

Results

Protective efficacy of 3-doses vs 2-doses of the LASV DNA vaccine

Cynomolgus macaques were vaccinated by ID injection of the vaccine followed by ID-EP as described previously. No inflammation at the vaccination sites or other adverse events were observed. Two separate studies were conducted to compare 3 or 2 vaccinations at 4-week intervals. Two of the 4 mock-vaccinated NHP in the 3-dose study and 5 of the 6 mock-vaccinated NHP in the 2-dose study infected with LASV succumbed during the hemorrhagic phase from 10–17 d post-exposure. The remaining mock-vaccinated NHP became critically ill, but survived the hemorrhagic phase (Fig. 1A). Two of

![Figure 1](image_url)
these survivors never fully recovered and developed chronic neurological illness characterized by reduced appetite, tremors, and ataxia. The third survivor partially recovered, retaining some neurological deficit which persisted until the study end point, 45 d post-exposure. Two of the 3 survivors developed hearing loss (Cashman et al., manuscript in review). In contrast, all LASV-GPC DNA-vaccinated NHP, regardless of dose group, showed no signs of infection after exposure and survived to the study end point (Fig. 1A). Morbidity scores, which are subjective measurements of disease severity based on observable signs, were assigned to each NHP daily, starting from the day of virus exposure. Likewise, final morbidity scores were assigned at the study end point (Fig. 1B). A score of zero indicated the macaque was well, showing no outward signs of disease; whereas, a score of 10 indicated the NHP was severely ill and met euthanasia criteria. The LASV DNA-vaccinated NHP remained at zero on the morbidity scale for the duration of the study. Mock-vaccinated NHP became severely ill, as indicated by the increasing morbidity scores starting at approximately 5 d post-exposure. The 3 mock vaccinated NHP that survived the acute phase of disease had observable disease signs, thus morbidity scores were recorded for these NHP until the study end point (Fig. 1B).

To identify virus levels in the blood post-exposure, serum viremia was measured using a standard plaque assay as described. All of the mock-vaccinated NHP had measurable serum viremias starting at day 3, and peaking between days 12 and 14, which corresponded with the development of fever (Fig. 1C, E). Serum viremias were undetectable by plaque assay in the 3 surviving mock vaccinated NHP by day 28 (Fig. 1C). In contrast, neither serum viremia nor fever was observed in any of the LASV DNA-vaccinated NHP at any timepoint (Fig. 1C). One NHP had one plaque present at the $1 \times 10^{-1}$ dilution at day 6 which falls below the limit of quantitation for the assay and is considered a false positive. Body weight was measured at each phlebotomy timepoint which revealed severe weight loss in the mock-vaccinated NHP beginning approximately 10 d post-exposure and continuing to the study end point in 2 of 3 mock-vaccinated survivors (Fig. 1D). Elevated body temperatures for the mock vaccinated NHP were also observed when compared with the LASV-GPC vaccinated NHP (Fig. 1E). Body temperature measurements were collected more frequently for the 3-dose study because scannable microchip transponders were used; whereas, only rectal temperatures were obtained during phlebotomy time points in the 2-dose study.

**LASV-specific neutralizing antibody responses pre- and post-exposure**

The neutralizing antibody response measured in NHP that received the LASV-GPC vaccine before exposure to LASV were modest, especially in those that received 2 doses of the vaccine (Fig. 2). The mock-vaccinated NHP did not develop neutralizing antibodies above background levels obtained before exposure. Following exposure to LASV, neutralizing antibody levels increased in the LASV DNA-vaccinated NHP, peaking approximately 21 d post exposure, then declining slightly at the study end point (Fig. 2). Neutralizing antibodies also were observed in the surviving mock-vaccinated NHP after exposure, but developed more slowly, becoming detectable by day 14, and continuing to rise to the study end point. No neutralizing antibodies were detected in the mock-vaccinated NHP that succumbed in the hemorrhagic phase. Since the mock-vaccinated survivors were chronically ill at the study end point, the presence of high levels of LASV-specific antibodies measured both by ELISA (data not shown) and PRNT was apparently insufficient to prevent or resolve the disease.

**Blood hematology and chemistry measurements**

Hematology and blood chemistry measurements were obtained on days 0, 3, 6, 10, 14, 21, 28, 35 and 45 post-exposure. There were clear differences observed between the LASV DNA-vaccinated and mock-vaccinated NHP with regard to cell counts post-exposure (Fig. 3A-I). Initially, white blood cells (WBC) increased in the LASV DNA-vaccinated and decreased in the mock-vaccinated NHP early post-exposure (Fig. 3A), followed by a rapid increase late in infection in the mock-vaccinated survivors compared with the LASV DNA-vaccinated NHP, which maintained stable WBC counts to the study end point. Neutrophil and eosinophil populations demonstrated similar trends, but neutrophil counts increased equally for all NHP at day 3 post-exposure, before differentiating by day 6 (Fig. 3B and E, respectively). Lymphocyte and monocyte populations increased rapidly after exposure in the LASV DNA-vaccinated NHP, stabilizing by day 21, compared with mock vaccinated NHP (Fig. 3C and D, respectively). Monocytes were unchanged in the mock vaccinated NHP early, but then increased between days 10 and 28 (Fig. 3D). In contrast, lymphocyte numbers dropped steeply immediately after exposure until day 6 (Fig. 3C). Surviving mock-vaccinated NHP returned lymphocyte numbers to baseline levels by the end of the study (Fig. 3B). Both hemoglobin (Fig. 3G) and hematocrit (Fig. 3H) increased before becoming stable in the LASV DNA-vaccinated NHP and decreased consistently in the mock-vaccinated NHP. These measurements remained low until the study end point however, the mock-vaccinated NHP had normal red blood cell
Platelets increased in the LASV DNA-vaccinated NHP but dropped precipitously in the mock-vaccinates, which likely contributed to the hemorrhagic complications experienced by those who succumbed during that period (Fig. 3I). The mock-vaccinated survivors eventually began to return platelet counts to baseline levels by day 28, and had elevated platelet counts at the study end point.

Differences in blood chemistries were observed, and are consistent with other reports for acute, hemorrhagic phase LASV disease in primates post-exposure. Most blood chemistry parameters remained stable at near baseline levels for the LASV DNA-vaccinated NHP for the duration of the study (Fig. 4A-I). In the mock-vaccinated NHP, creatinine, alanine aminotransferase (ALT) and aspartate aminotransferase (AST) became elevated during the hemorrhagic phase of disease (Fig. 4G, H and I), indicating hepatic and pancreatic involvement. However, only alkaline phosphatase (ALP) remained elevated in the surviving NHP at the end of the study, which may reflect the chronically ill state of these survivors (Fig. 4I). Albumin levels, despite total protein becoming highly elevated in mock-vaccinated NHP that survived the acute phase (Fig. 4E), dropped precipitously and did not return to baseline by the end of study (Fig. 4D), possibly inhibited by an inverse hypergammaglobulinemia in these animals.

**Pathologic findings**

All of the LASV DNA-vaccinated NHP survived to the study end point and showed no identifiable LASV-specific lesions or corresponding immunoreactivity in any of the tissues collected. The only histologic finding was mild lymphoid follicle hyperplasia, an expected nonspecific finding indicative of an increased immune response to a stimulus, presumably recent LASV infection (Fig. 5A, inset). The mock-vaccinated NHP could be categorized based on pathologic lesions into 2 groups: acute and chronic. Those that succumbed during the acute hemorrhagic phase demonstrated gross and histologic lesions consistent with previous reports of LASV infection in primates and also demonstrated the presence of LASV antigen in multiple tissues (Fig. 5B-D).

The chronically ill mock-vaccinated survivors had severe vascular lesions in multiple tissues to include the kidney, pancreas, mesentery, heart, testicles (in the male survivors), liver, gastrointestinal tract and ear consistent with a chronic-active perivasculitis to necrotizing and proliferative arteritis (data not shown). These lesions are not typically observed in NHPs that succumb during the LASV-associated hemorrhagic phase of disease. Positive LASV immunoreactivity was identified in the testicles and pancreas of one mock-vaccinated survivor (data not shown). A detailed analysis of the pathology in these surviving NHP is described elsewhere (Cashman et al., manuscript in review).
Discussion

Lassa fever causes an enormous burden on the public health infrastructure in the endemic areas of Africa. Ribavirin and immune serum transfer have been used as post-exposure treatments with mixed results in humans. Due to the large number of cases of Lassa fever that occur each year, a comprehensive preventative vaccine rather than post-exposure treatment would have a far greater impact on public health in the area. Our biodefense laboratory is engaged in research and development of DNA vaccines against agents of interest, including LASV. While other vaccine platforms, including inactivated whole virus, replication-competent candidates, a recombinant vesicular stomatitis virus-based vaccine, and a Mopelia/Lassa reassortant virus, have been or are currently being investigated, we believe that the DNA vaccination platform meets our needs. From our perspective, DNA vaccines demonstrate attributes which make them attractive for use in remote/deployable areas such as ease of delivery, and speed of production, stability, safety, and lack of cold-chain accountability. We have observed promising results pairing DNA vaccines with ID-EP delivery. Electroporation is a physical technique used to increase cell permeability in vivo and enhance the uptake of DNA vaccines. This delivery technology involves application of brief electrical pulses to the dermis, resulting in the creation of transient hydrophilic pathways within the lipid bi-layer membrane of mammalian cells. These perturbations allow the transport of DNA through cell membranes that were previously impermeable to such macromolecules. Historically, EP has been targeted at muscle tissue (IM-EP) and numerous clinical trials have successfully used this technology to enhance the delivery of DNA vaccines.

Targeting the skin for EP-enhanced vaccination is an attractive alternative to IM-EP not only because ID-EP is less invasive and has been shown to have a better acute tolerability than IM-EP, but also because skin is a highly immunologically active organ. The skin is rich in immune-active cell types that not only serve as targets for transfection with DNA plasmid vaccines, but also can be directly involved in mediating early immune system responses to DNA vaccines.

We previously demonstrated that 3 doses of the LASV DNA vaccine with ID-EP was more effective than IM-EP or gene gun protecting guinea pigs from lethal infection. Here, we demonstrated that the vaccine also protected NHPs from disease when an identical 3-dose vaccination schedule was used. In logistically challenging areas such as West Africa, as well as in biodefense scenarios, it is highly advantageous to have an effective vaccine requiring only one or 2 doses. We performed a study in which we vaccinated guinea pigs by ID-EP only once before LASV exposure, but we were only able to achieve partial

Figure 4. Changes in selected blood chemistry values after LASV exposure. A) Blood urea nitrogen; B) Creatinine; C) Total bilirubin; D) Albumin; E) Total protein; F) Gamma-glutamyltransferase; G) Alanine aminotransferase (ALT); H) Aspartate aminotransferase (AST); and Alkaline phosphatase (ALP). - indicates the Mock vaccinated group, - - - indicates the LASV-GPC (2 dose) group, and - - - indicates the LASV-GPC (3 dose) group.
protection with this abbreviated schedule (Cashman et al, unpublished data). In the study presented here, we were able to reduce the 3-dose schedule to 2-doses without loss of efficacy against virus challenge in NHP.

The immune correlates for protection against Lassa fever are not well-established either for NHPs or humans. We found that the LASV-GPC DNA vaccine elicited only modest levels of antibodies in both the 3-dose and 2-dose studies. Nevertheless, all LASV DNA-vaccinated NHP were protected from illness and death; thus, it is unlikely that the low titer neutralizing antibodies fully accounted for the protection observed. Cellular immunity rather than humoral immunity is thought to be the most important factor in protection against Lassa fever, and our results support that theory. We acknowledge that although these results are promising, they are largely observational. Future planned studies will include more detailed analysis of the host humoral and cellular immune responses to vaccination before challenge to more fully investigate immune correlates of protection by the LASV DNA vaccine. In addition, we intend to examine the vaccine’s ability to protect against other strains of LASV from geographically distinct areas to enable this platform to advance to safety and immunogenicity studies in humans.

Conclusion

The LASV DNA vaccine paired with ID-EP delivery is a promising vaccine platform that has been shown to completely protect guinea pigs and NHP against viremia, illness (acute and chronic), and death after LASV exposure. The ID-EP device used in this study has recently been developed into clinical trials evaluating several vaccine targets including HIV, influenza, ebola, and zika (NCT02431767, NCT01403155, NCT01405885, NCT02464670, and NCT02809443), demonstrating a favorable safety profile across a diverse range of target populations and clinical settings, and importantly, eliciting potent humoral and cellular immune responses in humans against the multi-valent vaccine antigens, thereby making this approach highly feasible for the development of an effective lassa vaccine for human use.

Materials and methods

Construction of codon-optimized Lassa Josiah GPC vaccine plasmid

The LASV, Josiah strain, GPC gene (Genbank Accession number AY628203.1) was optimized by GeneArt using a proprietary algorithm. In addition to codon usage optimization, negative cis-acting sites (such as splice sites, poly(A) signals, TATA boxes etc.) which may negatively influence expression were eliminated where relevant. The GC-content of the LASV GPC gene was adjusted to prolong mRNA half-life. Codon usage was adapted to the bias of *Macaca fasicularis* using a proprietary algorithm. The optimized sequence was synthesized and subcloned into the NotI/BglII site of expression vector pWRG707765 GeneArt (Germany). The plasmid was amplified by Aldevron (Fargo, ND) and provided as a 5 mg/ml solution in phosphate buffered saline, pH 7.4.
Vaccinations

Macaques were anesthetized and then received Mantoux-style shallow dermal injections of 100 μl containing 2.5 mg of DNA in solution at each of 4 different sites (both arms and both legs). Immediately after injection of the DNA solution, the injection site was electroporated using the CELLECTRA-3P device (Inovio Pharmaceuticals, Inc.). The CELLECTRA-3P ID-EP device allows for shallow intra-dermal/sub-cutaneous DNA delivery using a novel 3 electrode minimally invasive needle array.66 This device consists of 3 needle electrodes, 3 mm in length, placed in an isosceles triangle formation mounted in a non-conductive material. The electroporation conditions were 0.2A constant current, 2 × 2 pulses, 52 ms in length with 3 sec between pairs of pulses. The depth of the electrodes results in full penetration of the tissue, reaching the epidermis, dermis and subcuticular layers. For the initial study, 3 vaccinations took place at 3 week intervals, followed by exposure to LASV 4 weeks after the last vaccination. The schedule for the second study consisted of 2 vaccinations at 4 week intervals, followed by exposure to LASV 5 weeks after the last vaccination. Blood samples were collected from each NHP just before each vaccination.

Virus exposure

LASV (Josiah strain) was diluted to a concentration of 1000 pfu/ml in sterile physiological saline. Macaques were given a single IM injection of 1000 pfu LASV, were monitored daily for disease progression and were killed when moribund according to IACUC-approved euthanasia criteria. Blood samples were collected on days 0, 3, 6, 10, 14, 28 and 45 post-exposure. Blood samples were analyzed for CBC, blood chemistry and serum viremia. Research was conducted under an IACUC approved protocol in compliance with the Animal Welfare Act, PHS Policy, and other Federal statutes and regulations relating to animals and experiments involving animals. The facility where this research was conducted is accredited by the Association for Assessment and Accreditation of Laboratory Animal Care, International and adheres to principles stated in the Guide for the Care and Use of Laboratory Animals, National Research Council, 2011.67

Analysis of viremia

Serum samples collected just before exposure (day 0), and the post-exposure schedule described above were assayed for viremia via a standard plaque assay with minor modifications.68 Briefly, Vero cells, seeded in 6-well cell culture plates, were adsorbed with gentle rotation at 37°C, 5% CO2 with 10-fold serial dilutions of serum for 1 h, then an overlay of 0.8% molecular grade agarose in EBME (basal medium Eagle with Earle’s salts) with 10% fetal bovine serum and 20 μg/ml gentamicin was applied to each well and allowed to solidify. Overlaid cells were incubated at 37°C, 5% CO2 for 4 days, then stained with a neutral red overlay (Invitrogen, Carlsbad, CA). After an overnight incubation at 37°C in the staining overlay, plaques were counted and recorded.

Plaque-reduction neutralization test (PRNT)

Neutralizing capabilities of antibodies in the sera collected pre- and post-exposure were analyzed by a standard PRNT with some modifications.69 Briefly, twofold dilutions of sera (in 100 μl volumes) were incubated for 1 h at 37°C with LASV diluted to approximately 100 pfu per serum dilution. After incubation, each serum dilution/virus mixture was then added to Vero cells seeded to approximately 90% confluency in 6-well cell tissue culture plates. The remainder of the procedure was performed as described above for the standard plaque assay. Plaques were counted and compared with control wells containing cells infected with 100 pfu LASV pre-incubated with a LASV naïve primate serum. Neutralizing antibody titers yielding a 50% reduction in plaques were determined.

Blood chemistry and hematology analysis

Serum samples collected pre- and post-exposure were analyzed for glucose, blood urea nitrogen, creatinine, uric acid, calcium, albumin, total protein, alanine aminotransferase (ALT), aspartate aminotransferase (AST), alkaline phosphatase (ALP), total bilirubin, gamma glutamyl transferase, and amylase. Approximately 100 μl of serum was applied to a general Chemistry 13-panel rotor and evaluated in a Piccolo point-of-care blood chemistry analyzer (Abaxis). Values for each serum sample were recorded and compiled. For the first study, CBC analysis was performed on a Hemavet 950FS Instrument (Drew Scientific), using an approximate volume of 75 μl of EDTA-treated whole blood. The Hemavet Instrument was not available for the second study, thus an Advia 120 Hematology Instrument (Siemens) was used. Values for each blood sample were recorded and compiled. Since different instruments were used to obtain CBC data, all graphs were generated using percent change from baseline readings.

Pathologic analysis of tissues

Tissues were trimmed and processed according to standard protocols. Sections were trimmed at 5-6 μm thickness and stained with hematoxylin and eosin. Immunohistochemistry was performed on replicate tissue sections for both partial and full necropsies using an Envision kit (Dako). A monoclonal antibody specific for LASV GP1 was used at a dilution of 1:15000. After deparaffinization and peroxidase blocking an antigen retrieval step was performed using a TRIS/EDTA buffer in a steamer for 30 minutes. Tissue sections were then covered with primary antibody and incubated at room temperature for 30 minutes, rinsed and peroxidase-labeled polymer (secondary antibody) applied for 30 minutes. Slides were rinsed again and a substrate-chromogen solution (DAB, Dako) was applied for 5 minutes. The slides were rinsed in distilled water and counterstained with hematoxylin for 2 minutes, dehydrated, cleared with xylene and then coverslipped. Slides were evaluated using a Nikon Eclipse 600 light microscope.

Disclosure of potential conflicts of interest

No potential conflicts of interest were disclosed.
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