On the determinization of event-clock input-driven pushdown automata

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Abstract

Input-driven pushdown automata (also known as visibly pushdown automata and as nested word automata) are a subclass of deterministic pushdown automata and a superclass of the parenthesis languages. Nguyen and Ogawa (“Event-clock visibly pushdown automata”, SOFSEM 2009) defined a timed extension of these automata under the event-clock model, and showed that this model can be determinized using the method of region construction. This paper defines a further extension of this model with the event clock on the call-return operations, and proposes a new, direct determinization procedure for these automata: an $n$-state nondeterministic automaton with $k$ different clock constraints is transformed to a deterministic automaton with $2^{n^2} + k$ states, $2^{n^2} + k$ stack symbols and the same clock constraints as in the original automaton. The construction is shown to be asymptotically optimal with respect to both the number of states and the number of stack symbols.

Keywords: Timed systems, input-driven pushdown automata, visibly pushdown automata, determinization, state complexity.

1 Introduction

Timed automata (TA), introduced by Alur and Dill [2], are finite automata operating in real time. These automata enjoy decidable emptiness problem (equivalently, the state reachability problem) and is implemented as UPPAAL [1] for safety checking. The decidability of emptiness holds under various extensions with the pushdown stack, such as Dense-Timed Pushdown Automata (DTPDA) of Abdulla et al. [1] with ages (representing local clocks), which are further analyzed by Clemente and Lasota [10].

Although the emptiness problem for timed automata is decidable, timed automata are not closed under complementation, and their nondeterministic case cannot generally be determinized. Their inclusion problem is decidable only in the case of a single clock [19], and for two or more clocks it becomes undecidable [2].

As an alternative timed device, the class of event-clock automata (ECA) was introduced by Alur et al. [3] and further studied by Geeraets et al. [11]: this class allows determinization and complementation, and hence it enjoys decidable inclusion problem. An ECA is defined with a “prophecy clock” and a “history clock” bound to each input symbol. The history clock $\overleftarrow{x_a}$ associated to an input symbol $a$ is always reset when $a$ is read, and the prophecy clock $\overrightarrow{x_a}$ predicts the next occurrence of $a$.

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1http://www.uppaal.org/
In general, when a pushdown stack is introduced, this often destroys the decidability of the inclusion problem, since asynchronous behavior of two pushdown stacks disrupts a direct product of two devices. Even starting from finite automata, adding the pushdown stack makes the inclusion undecidable.

To remedy this, a constraint on the synchronous behavior of pushdown stacks is imposed upon the model. The resulting input-driven pushdown automata (IDPDA), also known as visibly pushdown automata (VPDA) and as nested word automata (NWA), are defined over an alphabet split into three parts: left brackets $\Sigma_{+1}$, on which the automaton must push one stack symbol, right brackets $\Sigma_{-1}$, on which the automaton must pop one stack symbol, and neutral symbols $\Sigma_0$, on which the automaton ignores the stack. Unlike the standard pushdown automata, IDPDA are closed under all Boolean operations, and they can be determinized. There is a Myhill–Nerode-like characterization for these automata [4]. Major contributions of Alur and Madhusudan [5,6] include a lower bound on the number of states needed to determinize these automata, which started a line of research on the succinctness of description for this model [16], and a Büchi-like extension for $\omega$-words [12,18].

Combining the ideas of input-driven pushdown and event-clock automata, event-clock visibly pushdown automata were proposed by Nguyen and Ogawa [14], followed and extended by Bhave et al. [7] and Bozzelli et al. [8]. This paper revisits this model, with the aim to investigate the determinization and the emptiness problem, which leads the decidability of the inclusion problem. We further extend the model by introducing special event clocks recording the duration of the call/return relation. The resulting model is called event-clock input-driven pushdown automata (ECIDPDA). We observe that the Boolean operations and the determinization work in the presence of event clocks on the call/return relation. The determinization is direct, in the sense that it does not rely on the classical discretization or “untime translation” method, which allows the bisimulation of timed transitions to be maintained, and is not based on the region construction, which handles the extension by the age of a stack symbol in Bhave et al. [7].

As per the proposed construction, presented in Section 4, any given $n$-state nondeterministic automaton with $k$ different clock constraints and with any number of stack symbols is transformed to a deterministic automaton with $2^{n^2}$ states, $2^{n^2+k}$ stack symbols and the same clock constraints as in the original automaton. Furthermore, in Section 5, this construction is shown to be asymptotically optimal both with respect to the number of states and with respect to the number of stack symbols.

2 Definitions

Event-clock automata operate on timed strings over an alphabet $\Sigma$, that is, sequences of the form $w = (a_1, t_1) \ldots (a_n, t_n)$, where $a_1 \ldots a_n \in \Sigma^*$ is a string, and $t_1 < \ldots < t_n$ are real numbers indicating the time of the symbols’ appearance.

For input-driven pushdown automata, the alphabet $\Sigma$ is split into three disjoint classes: $\Sigma = \Sigma_{+1} \cup \Sigma_{-1} \cup \Sigma_0$, where symbols in $\Sigma_{+1}$ are called left brackets, symbols in $\Sigma_{-1}$ are right brackets, and $\Sigma_0$ contains neutral symbols. An input-driven automaton always pushes one stack symbol upon reading a left bracket, pops one stack symbol upon reading a right bracket, and does not access the stack on neutral symbols. Typically, a string over such an alphabet is assumed to be well-nested with respect to its left and right brackets, but the most general definition of input-driven automata also allows ill-nested inputs.

The proposed event-clock input-driven pushdown automata (ECIDPDA) operate on timed strings over an alphabet $\Sigma = \Sigma_{+1} \cup \Sigma_{-1} \cup \Sigma_0$. These automata operate like input-driven automata, and additionally can evaluate certain constraints upon reading each input symbol. These constraints refer to the following clocks:
• A symbol history clock $\tilde{x}_a$, with $a \in \Sigma$, provides the time elapsed since the symbol $a$ was last encountered;

• A symbol prediction clock $\overleftarrow{x}_a$, with $a \in \Sigma$, foretells the time remaining until the symbol $a$ will be encountered next time;

• A stack history clock $\tilde{x}_{\text{push}}$, defined on a right bracket, evaluates to the time elapsed since the matching left bracket;

• A stack prediction clock $\overleftarrow{x}_{\text{pop}}$, defined on a left bracket, foretells the time remaining until the matching right bracket.

These values are formally defined as follows.

**Definition 1.** Let $\Sigma = \Sigma_{+1} \cup \Sigma_{-1} \cup \Sigma_0$ be an alphabet. The set of clocks over $\Sigma$ is $\mathcal{C}(\Sigma) = \{ \tilde{x}_a | a \in \Sigma \} \cup \{ \overleftarrow{x}_a | a \in \Sigma \} \cup \{ \tilde{x}_{\text{push}}, \overleftarrow{x}_{\text{pop}} \}$. Then, the value of a clock $C \in \mathcal{C}(\Sigma)$ on a timed string $w = (a_1, t_1) \ldots (a_n, t_n)$ at position $i \in \{1, \ldots, n\}$ is defined as follows.

- The value of a symbol history clock $\tilde{x}_a$ on $w$ at $i$ is $t_i - t_j$, where $j \in \{1, \ldots, i - 1\}$ is the greatest number with $a_j = a$. If no such $j$ exists, the value of $\tilde{x}_a$ is undefined.

- The value of a symbol prediction clock $\overleftarrow{x}_a$ on $w$ at $i$ is $t_j - t_i$, where $j \in \{i + 1, \ldots, n\}$ is the least number with $a_j = a$. If no such $j$ exists, the value of $\overleftarrow{x}_a$ is undefined.

- The value of a stack history clock $\tilde{x}_{\text{push}}$ on $w$ at $i$ is defined only if $a_i$ is a right bracket $a_i \in \Sigma_{-1}$, and this bracket has a matching left bracket $a_j \in \Sigma_{+1}$ at a position $j < i$. In this case, the value of $\tilde{x}_{\text{push}}$ on $w$ at position $i$ is $t_i - t_j$; otherwise, it is undefined.

- The value of a stack prediction clock $\overleftarrow{x}_{\text{pop}}$ on $w$ at $i$ is defined only if $a_i$ is a left bracket $a_i \in \Sigma_{+1}$, and this bracket has a matching left bracket $a_j \in \Sigma_{-1}$ at a position $j > i$. In this case, the value of $\overleftarrow{x}_{\text{pop}}$ on $w$ at position $i$ is $t_j - t_i$; otherwise, it is undefined.

The original model by Nguyen and Ogawa [14] used only symbol history clocks $\tilde{x}_a$ and symbol prediction clocks $\overleftarrow{x}_a$. Stack history clocks $\tilde{x}_{\text{push}}$, were first introduced by Bhave et al. [7], who called them the age of stack symbols. As compared to the definition of Bhave et al. [7], another clock type, the stack prediction clock $\overleftarrow{x}_{\text{pop}}$, has been added to the model: it is symmetric to the stack history clock $\tilde{x}_{\text{push}}$.

A clock constraint is a logical formula that restricts the values of clocks at the current position: clocks values can be compared to constants, and any Boolean combinations of such conditions can be expressed.

**Definition 2.** Let $\Sigma = \Sigma_{+1} \cup \Sigma_{-1} \cup \Sigma_0$ be an alphabet. The set of clock constraints over $\Sigma$, denoted by $\Phi(\Sigma)$, consists of the following formulae.

- For every clock $C \in \mathcal{C}(\Sigma)$ and for every non-negative constant $\tau \in \mathbb{R}$, the following are atomic clock constraints: $C \leq \tau$; $C \geq \tau$.

- If $\varphi$ and $\psi$ are clock constraints, then so are $(\varphi \lor \psi)$ and $(\varphi \land \psi)$.

- If $\varphi$ is a clock constraint, then so is $\neg \varphi$.

Let $w = (a_1, t_1) \ldots (a_n, t_n)$ be a timed string, let $i \in \{1, \ldots, n\}$ be a position therein. Each clock constraint can be either true or false on $w$ at position $i$, which is defined inductively on its structure.

- A clock constraint $C \leq \tau$ is true if the value of $C$ on $w$ at position $i$ is defined and is at most $\tau$. 

A clock constraint $C \geq \tau$ is true if the value of $C$ on $w$ at position $i$ is defined and is at least $\tau$.

- $(\varphi \lor \psi)$ is true on $w$ at $i$, if so is $\varphi$ or $\psi$;
- $(\varphi \land \psi)$ is true on $w$ at $i$, if so are both $\varphi$ and $\psi$;
- $\neg \varphi$ is true on $w$ at $i$, if $\varphi$ is not.

The following abbreviations are used: $C = \tau$ stands for $(C \leq \tau \land C \geq \tau)$; $C < \tau$ stands for $(C \leq \tau \land \neg (C \geq \tau))$; $C > \tau$ stands for $(C \geq \tau \land \neg (C \leq \tau))$.

**Example 1.** Let $\Sigma = \Sigma_{+1} \cup \Sigma_{-1} \cup \Sigma_0$, with $\Sigma_{+1} = \{<\}$, $\Sigma_{-1} = \{>\}$ and $\Sigma_0 = \{c,d\}$, be an alphabet. Let $w = (0.1,c)(0.2,<)(0.4,<)(0.5,c)(0.7,>)(0.8,>)(1,d)$ be a well-nested timed string over this alphabet, illustrated in Figure 1.

Then, the values of the clocks at position 6 (the last right bracket) are as follows: $x_{\text{push}} = 0.8 - 0.2 = 0.6$, $x_c = 0.8 - 0.4 = 0.4$, $x_d = 0.8 - 0.5 = 0.3$, $x_a = 0.8 - 0.7 = 0.1$, $x_d$ undefined, $x_c$ undefined, $x_a$ undefined, $x_d = 1 - 0.8 = 0.2$, $x_{\text{pop}}$ undefined. Accordingly, the clock constraint $x_{\text{push}} > 0.1 \land x_c \geq 0$ is true, whereas $x_c > 0.1 \land x_d < 0.2$ is false.

An event-clock automaton is equipped with a finite set of such clock constraints, and, at each step of its computation, it knows the truth value of each of them, and can use this information to determine its transition. The following definition is based on Nguyen and Ogawa [14] and on Bhave et al. [7].

**Definition 3.** A nondeterministic event-clock input-driven pushdown automaton (ECIDPDA) is an octuple $M = (\Sigma_{+1}, \Sigma_0, \Sigma_{-1}, Q, Q_0, \Gamma, (\delta_a)_{a \in \Sigma}, F)$, in which:

- $\Sigma = \Sigma_{+1} \cup \Sigma_{-1} \cup \Sigma_0$ is an input alphabet split into three disjoint classes;
- $Q$ is a finite set of states;
- $\Gamma$ is the pushdown alphabet;
- $Q_0 \subseteq Q$ is the set of initial states;
- for each neutral symbol $c \in \Sigma_0$, the state change is described by a partial function $\delta_c: Q \times \Phi(\Sigma) \to 2^Q$;
- the transition function by each left bracket symbol $< \in \Sigma_{+1}$ is $\delta_<: Q \times \Phi(\Sigma) \to 2^{Q \times \Gamma}$, which, for a given current state and the truth value of clock constraints, provides zero or more transitions of the form (next state, symbol to be pushed);
The domain of the transition function by each symbol must be finite. 

\( q_0 \) is said to be deterministic, if the following conditions hold.

1. There is a unique initial state: \( q_0 \in Q_0 \) with the empty stack, \( \alpha_0 = \varepsilon \).

2. For each \( i \in \{1, \ldots, n\} \), with \( a_i = c \in \Sigma_0 \), there exists a clock constraint \( \varphi_i \) that is true on \( w \) at position \( i \), with \( q_i \in \delta_c(q_{i-1}, \varphi_i) \) and \( \alpha_i = \alpha_{i-1} \).

3. For each \( i \in \{1, \ldots, n\} \), with \( a_i = < \in \Sigma_{+1} \), there exists a clock constraint \( \varphi_i \) that is true on \( w \) at position \( i \), with \( (q_i, s) \in \delta_< (q_{i-1}, \varphi_i) \) and \( \alpha_i = s \alpha_{i-1} \) for some \( s \in \Gamma \).

4. For each \( i \in \{1, \ldots, n\} \), with \( a_i = > \in \Sigma_{-1} \), if \( \alpha_{i-1} = s \beta \) for some \( s \in \Gamma \) and \( \beta \in \Gamma^* \), then there exists a clock constraint \( \varphi_i \) that is true on \( w \) at position \( i \), with \( q_i \in \delta_>(q_{i-1}, \varphi_i) \) and \( \alpha_i = \beta \).

5. For each \( i \in \{1, \ldots, n\} \), with \( a_i = > \in \Sigma_{-1} \), if \( \alpha_{i-1} = \varepsilon \), then there exists a clock constraint \( \varphi_i \) that is true on \( w \) at position \( i \), with \( q_i \in \delta_> (q_{i-1}, \varphi_i) \) and \( \alpha_i = \varepsilon \).

6. The computation ends in an accepting state \( q_n \in F \) with any stack contents.

The language recognized by \( A \), denoted by \( L(A) \), is the set of all timed strings, on which \( A \) has at least one accepting computation.

**Definition 4.** A nondeterministic event-clock input-driven automaton \( A = (\Sigma, Q, Q_0, \delta, F) \) is said to be deterministic, if the following conditions hold.

1. There is a unique initial state: \( |Q_0| = 1 \).

2. Every transition function \( \delta_a \), with \( a \in \Sigma_0 \cup \Sigma_{+1} \), satisfies \( |\delta_a(q, \varphi)| \leq 1 \) for all \( q \in Q \) and \( \varphi \in \Phi(\Sigma) \), and whenever \( \delta_a(q, \varphi) \) and \( \delta_a(q, \varphi') \), with \( \varphi \neq \varphi' \), are both non-empty, the clock constraints \( \varphi \) and \( \varphi' \) cannot both be true at the same position of the same string.

3. Similarly, every transition function \( \delta_> \), with \( > \in \Sigma_{-1} \), satisfies \( |\delta_>(q, s, \varphi)| \leq 1 \) for all \( q \in Q \), \( s \in \Gamma \cup \{\bot\} \) and \( \varphi \in \Phi(\Sigma) \), and whenever \( \delta_>(q, s, \varphi) \) and \( \delta(q, s, \varphi') \), with \( \varphi \neq \varphi' \), are both non-empty, the clock constraints \( \varphi \) and \( \varphi' \) cannot both be true at the same position of the same string.

The first result of this paper is that nondeterministic event-clock input-driven pushdown automata can be determinized. Determinization results for every similar models were earlier given by Nguyen and Ogawa [14] and by Bhave et al. [7]. However, their constructions relied on the method of region construction, in which the space of clock values is discretized. On the other hand, the construction in the present paper has the benefit of being direct, in the sense that the transition function for a deterministic automaton directly simulates the transitions of a nondeterministic automaton. Later it will be proved that this easier construction is also optimal with respect to the number of states and stack symbols. The proposed construction is not much more difficult than the construction for standard input-driven automata, without time. The latter construction is used as a model, and is recalled in the next section.
3 Determination of standard IDPDA without clocks

The proposed new determination of nondeterministic event-clock input-driven pushdown automaton extends the well-known determination for standard input-driven pushdown automata, discovered by von Braunmühl and Verbeek [9] and later by Alur and Madhusudan [5,6].

To begin with the definition, nondeterministic input-driven automata (NIDPDA), operating on standard strings \(w = a_1 \ldots a_n\), are defined exactly like that ECIDPDA, with all mentions of clock constraints removed: a transition function by a neutral symbol is \(\delta_c : Q \rightarrow 2^Q\), it is \(\delta_c : Q \rightarrow 2^Q\) for a left bracket and \(\delta_c : Q \rightarrow 2^Q\) for a right bracket. An NIDPDA is deterministic (DIDPDA) if each transition function gives a singleton set for any arguments.

**Theorem 1** (von Braunmühl and Verbeek [9]). An NIDPDA \(A = (\Sigma, Q, \Gamma, Q_0, \bot, [\delta_\alpha]_{\alpha \in \Sigma}, F)\) over an alphabet \(\Sigma = \Sigma_{+1} \cup \Sigma_{-1} \cup \Sigma_0\) can be simulated by a DIDPDA \(B = (\Sigma, Q', \Gamma', Q'_0, \bot, [\delta_\alpha']_{\alpha \in \Sigma}, F')\), with the set of states \(Q' = 2^Q \times Q\), and with the stack alphabet \(\Gamma' = \Sigma_{+1} \times 2^Q \times Q\).

**Proof.** Every state \(P \subseteq Q \times Q\) of \(B\) contains pairs of states of \(A\), each corresponding to the following situation: whenever \((p, q) \in P\), both \(p\) and \(q\) are states in one of the computations of \(A\), where \(q\) is the state at the current position, whereas \(p\) was the state just before starting to read the longest well-nested substring ending at the current position.

The initial state of \(B\), defined as \(q'_0 = \{(q, q) \mid q \in Q_0\}\), represents the behaviour of \(A\) on the empty string, which begins its computation in an initial state, and remains in the same state.

The set of accepting states reflects all computations of \(A\) ending in an accepting state.

\[F' = \{ P \subseteq Q \times Q \mid \text{there is a pair } (p, q) \in P \text{ with } q \in F\}\]

The transition functions \(\delta'_a\), with \(a \in \Sigma\), are defined as follows.

- For a neutral symbol \(c \in \Sigma_0\) and a state \(P \in Q_B\), the transition \(\delta'_c(P) = \{(p, q') \mid \exists (p, q) \in P: q' \in \delta_c(q)\}\) directly simulates one step of \(A\) in all currently traced computations.

- On a left bracket \(< \in \Sigma_{+1}\), the transition in a state \(P \in Q_B\) is \(\delta'_< (P) = (P', (<, P))\), where \(P' = \{(q', q') \mid (\exists (p, q) \in P)(\exists \gamma \in \Gamma) : (q', \gamma) \in \delta_<(q)\}\).

Thus, \(B\) pushes the current context of the simulation onto the stack, along with the current left bracket, and starts the simulation afresh at the next level of brackets, where it will trace the computations from all states \(q'\) reachable by \(A\) at this point.

- For a right bracket \(> \in \Sigma_{-1}\) and a state \(P' \subseteq Q_B\), the automaton pops a stack symbol \((<, P) \in \Gamma_B\) containing a matching left bracket and the context of the previous simulation. Then, each computation in \(P\) is continued by simulating the transition by the left bracket, the behaviour inside the brackets stored in \(P'\), and the transition by the right bracket.

\[\delta'_>(P', (<, P)) = \{(p, q''') \mid (\exists (p, q) \in P)(\exists (p', q') \in P')(\exists s \in \Gamma) : (p', s) \in \delta_< (q), q'' \in \delta_>(q', s)\} \]

- For an unmatched right bracket \(> \in \Sigma_{-1}\), the transition in a state \(P \in Q_B\) advances all currently simulated computations of \(A\) in the same way as for a neutral symbol: \(\tau_>(P, \bot) = \{(p, q') \mid \exists (p, q) \in P : q' \in \delta_>(q', \bot)\}\).

The correctness of the construction can be proved by induction on the bracket structure of an input string. \(\square\)

This construction is asymptotically optimal: as proved by Alur and Madhusudan [5,6], \(2^{\Theta(n^2)}\) states are necessary in the worst case. Okhotin, Piao and Salomaa [15 Thm. 3.2] refined this estimation to show that in the worst case a deterministic automaton also requires \(2^{\Theta(n^2)}\) stack symbols.
4 Direct determinization of event-clock IDPDA

The determinization construction in Theorem 1 shall now be extended to handle event-clock input-driven automata.

The original untimed construction in Theorem 1 is based upon considering the original automaton's behaviour on a left bracket and on a matching right bracket at the same time, while reading the right bracket. In this way, the stack symbol pushed while reading the left bracket is matched to the symbol popped while reading the right bracket, and all possible computations of this kind can be considered at once. However, in the event-clock case, the nondeterministic decisions made on a left bracket are based upon the clock values at that time, and if the simulation of these decisions were deferred until reading the matching right bracket, then those clock values would no longer be available. Since event-clock automata cannot manipulate clock values explicitly, they, in particular, cannot push the clock values onto the stack for later use. However, what can be done is to test all elementary clock constraints while reading the left bracket, store their truth values in the stack, and later, upon reading the right bracket, use this information to simulate the behaviour of the original automaton on the left bracket. This idea is implemented in the following construction, which uses the same set of states as in Theorem 1 but more complicated stack symbols.

**Theorem 2.** Let $\mathcal{A} = (\Sigma_{+1}, \Sigma_0, \Sigma_{-1}, Q, Q_0, \Gamma, (\delta_a)_{a \in \Sigma}, F)$ be a nondeterministic event-clock input-driven automaton. Let $\Psi$ be the set of atomic constraints used in its transitions. Then there exists a deterministic event-clock input-driven automaton with the set of states $Q' = 2^Q \times Q_0$, and with the pushdown alphabet $\Gamma' = 2^Q \times \Sigma_{+1} \times 2^{\Psi}$, which recognizes the same set of timed strings as $\mathcal{A}$.

**Proof.** States of the deterministic automaton $\mathcal{B}$ are again pairs $(p, q) \in P$, which means, as in Theorem 1 that there is a computation of the original automaton $\mathcal{A}$ on the longest well-nested suffix of the input, which begins in the state $p$ and ends in the state $q$.

The initial state of $\mathcal{B}$ is $q'_0 = \{(q_0, q_0) \mid q \in Q_0\}$.

For a neutral symbol $c \in \Sigma_0$ and a state $P \in Q'$, the transition $\delta'_c(P)$ advances all current computations traced in $P$ by the next symbol $c$. Each computation continues by its own transition, which requires a certain clock constraint to be true. Whether each clock constraint $\varphi \in \Phi(\Sigma)$ is true or false, can be deduced from the truth assignment to the atomic constraints. In other words, for every set of atomic constraints $S \subseteq \Psi$ assumed to be true, $\varphi$ is either true or false under the assignment $S$. Also let $\xi_S = \bigwedge_{C \in S} C \land \bigwedge_{C \notin S} \neg C$ be a clock constraint asserting that among all atomic constraints, exactly those belonging to $S$ are true. Then, for every set $S$, the new automaton has the following transition.

$$\delta_c(P, \xi_S) = \{(p, q') \mid \exists (p, q) \in P, \exists \varphi : q' \in \delta_c(q, \varphi), \varphi \text{ is true under } S\}$$

On a left bracket $< \in \Sigma_{+1}$, the transition of $\mathcal{B}$ in a state $P \in Q'$ pushes the current context of the simulation onto the stack, and starts the simulation afresh at the next level of brackets, where it will trace the computations beginning in different states $r \in Q$. A computation in a state $r$ is started only if any computations of $\mathcal{A}$ actually reach that state. In addition, $\mathcal{B}$ pushes the current left bracket $<$, as well as the truth value of all atomic constraints at the present moment, $S \subseteq \Psi$. This is done in the following transitions, defined for every set of atomic constraints $S \subseteq \Psi$.

$$\delta'_<(P, \xi_S) = \{(r, r) \mid \exists (p, q) \in P, \exists \varphi \in \Phi(\Sigma) : \varphi \text{ is true under } S, r \in \delta_c(q)\}, (P, <, S)$$

All these data are only stored in the stack; at present, the transitions of $\mathcal{A}$ on this left bracket $<$ are considered only to the extent of determining all reachable states $r$. If a matching right
A formal correctness claim for this construction reads as follows.

**Claim 1.** Let $uvw$ be a timed string, where $v$ is the longest well-nested suffix of $uv$, and let $P \subseteq Q \times Q$ be the state reached by $B$ on $uvw$ after reading $uv$. Then a pair $(p, p')$ is in $P$ if and only if there is a computation of $A$ on $uvw$ that passes through the state $p$ right after reading $u$, and later, after reading the following $v$, enters the state $p'$.

The claim can be proved by induction on the bracket structure of an input string. □

It is interesting to note that the above determinization construction does not rely on the exact form of clock constraints: the resulting deterministic automaton uses any kind of constraints.
used by the original nondeterministic automaton, and only communicates the results through the stack in the form of Boolean values. The same construction would apply verbatim for any kind of constraints expressed in the model; these could be any constraints mapping any pair of a timed string \((a_1, t_1) \ldots (a_\ell, t_\ell)\) and a position \(i \in \{1, \ldots, \ell\}\) to true or false.

5 A lower bound on the determination complexity

The timed determinization construction in Theorem 2 produces \(2^{n^2}\) states and \(2^{n^2+k}\) stack symbols, where \(n\) is the number of states in the nondeterministic automaton and \(k\) is the number of atomic clock constraints. It shall now be proved that this construction is asymptotically optimal. The following theorem, proved in the rest of this section, is a timed extension of a result by Okhotin, Piao and Salomaa \cite[Thm. 3.2]{15}.

Theorem 3. For every \(n\) and for every \(k\), there is an \(O(n)\)-state nondeterministic ECIDPDA over an alphabet of size \(k + O(1)\), with \(nk\) stack symbols and \(k\) atomic constraints referring only to symbol history clocks, such that every deterministic ECIDPDA recognizing the same timed language must have at least \(2^{n^2}\) states and at least \(2^{n^2-O(n)+k}\) stack symbols.

The automaton is defined over the following alphabet: \(\Sigma_{+1} = \{<\}, \Sigma_{-1} = \{>\}, \Sigma_0 = \{a, b, c, \#\} \cup \{e_i \mid 1 \leq i \leq k\}\).

The problem solved by the automaton requires some notation to express. For a set of pairs \(R = \{ (i_1, j_1), \ldots, (i_\ell, j_\ell) \} \subseteq \{0, \ldots, n-1\}^2\), let \(u_R = \{a, b, \#\}\) be the string that lists all pairs in \(R\) in the lexicographical order, under the following encoding.

\[
u_R = \#a^{i_1}b^{j_1} \#a^{i_2}b^{j_2} \ldots \#a^{i_\ell}b^{j_\ell}\]

For every set of symbols \(X = \{e_{i_1}, \ldots, e_{i_\ell}\} \subseteq \{e_1, \ldots, e_k\}\), let \(v_X = e_1 \ldots e_k e_{i_1} \ldots e_{i_\ell}\) be the string that first lists all the symbols in \(\{e_1, \ldots, e_k\}\), and then only the symbols in \(X\).

Now, let \(m \geq 1\) be the number of levels in the string to be constructed, let \(s_1, \ldots, s_m, s_{m+1} \in \{0, \ldots, n-1\}^2\) be numbers, let \(R_1, \ldots, R_m \subseteq \{0, \ldots, n-1\}^2\) be relations, and let \(X_1, Y_1, \ldots, X_m, Y_m \subseteq \{e_1, \ldots, e_\ell\}\) be \(2m\) sets of symbols. This information is encoded in the following string.

\[
w = v_{X_1} < u_{R_1} v_{X_2} < u_{R_2} \ldots < u_{R_m} v_{X_m} < u_{R_m} e_{s_{m+1}} v_{Y_m} > e_{s_m} \ldots > e_{s_2} v_{Y_1} > e_{s_1}\]

The string is extended to a timed string by supplying time values with the following property: in each string \(v_{X_i}\), its first \(k\) symbols occur more than 1 time unit earlier than the subsequent left bracket (<), whereas its remaining symbols representing the elements of \(X_i\) occur less than 1 time unit earlier than the left bracket; similarly, in each string \(v_{Y_i}\), its first \(k\) symbols occur more than 1 time unit earlier than the next right bracket (>), while its remaining symbols occur less than 1 time unit earlier than the bracket. This allows an event-clock automaton to see the set \(X_i\) using clock constraints while reading the left bracket (<), and to see \(Y_i\) while at the right bracket (>).

A timed string is said to be well-formed if it is defined as above, for some \(m, s_i, R_i, X_i\) and \(Y_i\). A well-formed string is said to be valid, if the following conditions hold.

- First, \((s_i, s_{i+1}) \in R_i\) for each \(i\), that is, every two subsequent numbers given in the suffix \(w_2\) must be listed as \(\#a^i b^j\) in the encoding \(u_{R_i}\) at the corresponding level of brackets.

\footnote{Some further technical extensions to the encoding are necessary to make sure that the automaton cannot see anything else using any clock constraints. It is sufficient to list all symbols at predefined moments of time before and after every substring, so that no checks based on clock constraints could reveal anything on the contents of these substrings. To keep the notation simple, these details are omitted in the present version of this paper.}
Secondly, $X_i \cap Y_i \neq \emptyset$ for each $i$, that is, there exists a symbol $e \in \{e_1, \ldots, e_k\}$ that occurs less than 1 time unit before the left bracket ($<$), and later occurs again less than 1 time unit before the right bracket ($>$).

**Lemma 1.** For every $n$ and $k$, there exists a nondeterministic ECIDPDA using $O(n)$ states, $nk$ stack symbols and $k$ clock constraints, which accepts every valid well-formed string and does not accept any invalid well-formed string.

**Proof.** This automaton operates as follows. First, it skips the symbols of $v_{X_1}$. At the first transition upon the left bracket ($<$), it nondeterministically guesses the number $s_1 \in \{0, \ldots, n-1\}$ and a symbol $e_1$ in $X_1$ (checked by a clock constraint $\exists_{e_1} < 1$); then it pushes the pair $(s_1, e_1)$ onto the stack and enters a state in which it remembers the number $s_1$. Inside the brackets, the automaton skips some prefix of $u_{R_1}$ until eventually, at some separator #, it nondeterministically decides to find $s_1$ here. If this separator is followed by a substring other than $a^{s_1}$, the automaton rejects; otherwise, it forgets the number $s_1$ and reads a number $s_2$ from the following substring $b^{s_2}$. Then it skips the rest of $u_{R_1}$ and the whole string $v_{X_2}$ while remembering the number $s_2$. Upon seeing the next left bracket ($<$), the automaton nondeterministically guesses a symbol $e_2$ in $X_2$ (and verifies it by a clock constraints) and pushes the pair $(s_2, e_2)$ onto the stack, entering the next level of brackets in a state in which it remembers $s_2$.

The process continues, until the automaton eventually finishes reading the first half of the input ($w_1$). At this time, it has pairs $(s_1, e_1), \ldots, (s_m, e_m)$ in the stack and a number $s_{m+1}$ in the current state, which satisfy two conditions: first, $(s_i, s_{i+1}) \in R_i$ for all $i$, and secondly, $e_i \in X_i$ for each $i$. In the rest of the computation, while reading $w_2$, the automaton shall verify that the strings $c^{s_{m+1}}, c^{s_m}, \ldots, c^{s_1}$ encode exactly these numbers, and that each sets $Y_i$ encoded in a string $v_Y$ contains the corresponding symbol $e_i$.

As the automaton starts reading the string $c^{s_{m+1}}$ in the state $s_{m+1}$, it checks this single number. Then it skips the substring $v_{Y_m}$. Upon reading the right bracket ($>$), the automaton pops the pair $(s_m, e_m)$ and uses clock constraints to verify that $e_m$ is in $Y_m$. The number $s_m$ is read into the current state, and the automaton proceeds to check the rest of the numbers and symbols in the same way.

If any checks fail, the automaton rejects immediately. If all checks are passed, the automaton finishes reading the string and accepts.

**Lemma 2.** For every $n$ and $k$, every deterministic ECIDPDA that accepts every valid well-formed string and does not accept any invalid well-formed string must have at least $2^{n^2}$ states.

**Proof.** This is a standard argument, which does not use clocks at all. It is sufficient to use one-level well-formed strings, defined for two numbers $s, t \in \{0, \ldots, n-1\}^2$, one relation $R \subseteq \{0, \ldots, n-1\}^2$ and two sets of symbols $X, Y, \subseteq \{e_1, \ldots, e_\ell\}$.

$$w = v_X < u_R c^t v_Y > c^s$$
At the moment of reading the left bracket (\(<\)), a deterministic automaton knows only the set \( X \) and nothing else. The symbol pushed at this moment does not depend on the relation \( R \). Then, after finishing reading \( w_1 \) the automaton has to remember the entire set \( R \) in its internal state, so that it could later check that the pair \((s, t)\) is in \( R \).

Suppose that the automaton has fewer than \( 2n^2 \) states. Then, there exist two distinct relations, \( R \) and \( R' \), with \((s, t) \in R \setminus R' \), for which the automaton, in its computations on the valid string \( w = v_{[e_1]} < u_R c^t v_{[e_1]} > c^t \) and on the invalid string \( w' = v_{[e_1]} < u_R c^t v_{[e_1]} > c^t \), enters the same state before reading \( c_t \). Then the automaton either accepts both \( w \) and \( w' \) or rejects both strings, which is a contradiction.

**Lemma 3.** For every \( n \) and \( k \), every deterministic ECIDPDA that accepts every valid well-formed string and does not accept any invalid well-formed string must have at least \( 2n^2 - O(n) + k \) stack symbols.

**Proof.** The proof is modelled on the proof by Okhotin, Piao and Salomaa [15, Lemma 3.4], with the clock constraints added.

The argument uses binary relations that are both left-total and right-total: that is, relations \( R \subseteq \{0, \ldots, n - 1\}^2 \) in which, for every \( x \in \{0, \ldots, n - 1\} \), there is an element \( y \) with \((x, y) \in R \), and, symmetrically, for every \( y \), there is an element \( x \) with \((x, y) \in R \). There are at least \( 2n^2 - 2n \cdot 2^{n(n - 1)} \) such relations, hence their number is estimated as \( 2n^2 - O(n) \).

Fix the number of levels \( m \geq 1 \), and let \( R_1, \ldots, R_m \subseteq \{0, \ldots, n - 1\}^2 \) be left- and right-total relations, and let \( X_1, \ldots, X_m \subseteq \{e_1, \ldots, e_k\} \) be non-empty sets of symbols. These parameters define the first part \( w_1 \) of a well-formed string. It is claimed that, after reading \( w_1 \), a deterministic automaton somehow has to store all relations \( R_1, \ldots, R_m \) and all sets \( X_1, \ldots, X_m \) in the available memory: that is, in \( m \) stack symbols and in one internal state.

Suppose that, for some \( R_1, \ldots, R_m, R'_1, \ldots, R'_m \subseteq \{0, \ldots, n - 1\}^2 \) and \( X_1, \ldots, X_m, X'_1, \ldots, X'_m \subseteq \{e_1, \ldots, e_k\} \), with \((R_1, \ldots, R_m, X_1, \ldots, X_m) \neq (R'_1, \ldots, R'_m, X'_1, \ldots, X'_m) \), the automaton, after reading the corresponding first parts \( w_1 \) and \( w'_1 \), comes to the same state with the same stack contents:

\[
w_1 = v_{X_1} < u_{R_1} v_{X_2} < u_{R_2} \ldots v_{X_m} < u_{R_m} \quad w'_1 = v_{X'_1} < u_{R'_1} v_{X'_2} < u_{R'_2} \ldots v_{X'_m} < u_{R'_m}.
\]

First, as in the argument by Okhotin, Piao and Salomaa [15, Lemma 3.4], assume that these parameters differ in an \( i \)-th relation, with \((s, t) \in R_i \setminus R'_i \). Let \( s_1 = s \). Since all relations \( R_{i-1}, \ldots, R_1 \) are right-total, there exists a sequence of numbers \( s_{i-1}, \ldots, s_1 \), with \((s_j, s_{j+1}) \in R_j \) for all \( j \in \{1, \ldots, i - 1\} \). Similarly, let \( s_{i+1} = t \). Since the relations \( R_{i+1}, \ldots, R_m \) are left-total, there is a sequence \( s_{i+2}, \ldots, s_{m+1} \), with \((s_j, s_{j+1}) \in R_j \) for all \( j \in \{i + 1, \ldots, m\} \). Construct the following continuation for \( w_1 \) and \( w'_1 \):

\[
w_2 = c^{s_{m+1}} v_{X_m} > c^{s_m} \ldots v_{X_2} > c^{s_2} v_{X_1} > c^{s_1}
\]

The concatenation \( w_1 w_2 \) is then well-formed and valid, whereas the concatenation \( w'_1 w_2 \) is well-formed and invalid, because \((s_1, s_{i+1}) \notin R'_i \). Since the automaton either accepts both or rejects both, a contradiction is obtained.

Now assume that the prefixes \( w_1 \) and \( w'_1 \) use the same relations \( R_1, \ldots, R_m \) and differ in an \( i \)-th set, with \( e \in X_i \setminus X'_i \). Since all relations are left-total, there exists a sequence of numbers \( s_1, \ldots, s_m, s_{m+1} \), with \((s_j, s_{j+1}) \in R_j = R'_j \) for all \( j \in \{1, \ldots, m\} \). This time, the continuation includes the sequence of numbers and takes all sets \( X_j \) from \( w_1 \), except for \( X_i \), which is replaced by \( \{e\} \):

\[
w_2 = c^{s_{m+1}} v_{X_m} > c^{s_m} \ldots v_{X_{i+1}} > c^{s_{i+1}} v_{\{e\}} > c^{s_1} v_{X_{i-1}} > c^{s_{i-1}} \ldots v_{X_1} > c^{s_1}
\]

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Then, both concatenations \( w_1w_2 \) and \( w'_1w_2 \) are well-formed. However, the concatenation \( w_1w_2 \) is valid, whereas \( w'_1w_2 \) is invalid, because \( X_1' \cap \{e\} = \emptyset \). But the automaton again either accepts both concatenations or rejects both of them, which is a contradiction.

This shows that, for each \( m \geq 1 \), the automaton must be able to reach at least \((2n^2 - 2n \cdot 2^{n(n-1)}m)(2^k - 1)^m\) distinct configurations after reading different strings of the given form. Let \( Q \) be the automaton’s set of states and let \( \Gamma \) be its stack alphabet. Then the following inequality must hold for every \( m \).

\[
|\Gamma|^m \cdot |Q| \geq (2n^2 - 2n \cdot 2^{n(n-1)}m)(2^k - 1)^m
\]

Taking the \( m \)-th root of both sides yields the next inequality.

\[
|\Gamma| \cdot \sqrt[m]{|Q|} \geq (2n^2 - 2n \cdot 2^{n(n-1)})(2^k - 1)
\]

Since \( \sqrt[m]{|Q|} < 2 \) for \( m \) large enough, this proves the desired lower bound on the number of stack symbols.

\[
|\Gamma| \geq 2n^2 - O(n) + k
\]

\[\square\]

The proof of Theorem 5 follows from Lemmata 1–3.

6 Improved determinization

Another determinization construction given below additionally eliminates all references to the stack prediction clock \( \langle x_{\text{pop}} \rangle \). If the input string is well-nested, such constraints could be handled within the construction in Theorem 2 whenever the nondeterministic automaton reads a left bracket \( (\langle) \) while checking such constraints, the simulating deterministic automaton shall defer the verification of these constraints until the matching right bracket \( (\rangle) \). However, if a left bracket \( (\langle) \) turns out to be unmatched, then the constraint verification cannot be thus deferred, and the construction has to be augmented with extra states to handle this possibility.

**Theorem 4.** Let \( \mathcal{A} = (\Sigma_{+1}, \Sigma_0, \Sigma_{-1}, Q, Q_0, \Gamma, (\delta_a)_{a \in \Sigma}, F) \) be a nondeterministic event-clock input-driven automaton, let \( \Psi_0 \) be the set of all atomic set prediction constraints used in its transitions, and let \( \Psi \) be the set of all other atomic constraints used in its transitions. Then there exists a deterministic event-clock input-driven automaton with the set of states \( Q' = 2^Q \times 2^Q \times \Sigma_{+1} \times 2^\Psi \), which never uses the stack prediction clock \( \langle x_{\text{pop}} \rangle \), and recognizes the same language.

**Proof.** This time, the states of \( \mathcal{B} \) are pairs \((P, R)\), with \( P \subseteq Q \times Q \) and \( R \subseteq Q \). The set \( P \) is constructed in generally the same way as in Theorem 2 with a few changes needed to eliminate all references to the stack prediction clock \( \langle x_{\text{pop}} \rangle \). The set \( R \) contains all states reached by any computations of \( \mathcal{A} \) at this point, under the assumption that none of the stack symbols currently in the stack shall ever be popped, that is, all the corresponding left brackets are unmatched. If the end of the string is reached, this confirms the assumption, and acceptance can be determined based on \( R \). On the other hand, if the top stack symbol is ever popped, then all the data collected in \( R \) are invalid and shall be discarded.

The initial state is \( q_0' = \{(q_0, q_0) \mid q \in Q_0\}, \{q_0\}\).

On a neutral symbol \( c \in \Sigma_0\), the transition \( \delta'_c(P, R) \), for \( P \subseteq Q \times Q \) and \( R \subseteq Q \), advances all the computations in \( P \) and in \( R \) by \( c \). No stack prediction constraints are involved. For
every set of atomic constraints $S \subseteq \Psi$ assumed to be true, the new automaton has the following transition.

$$
\delta_c(P, R, \xi_S) = \left( \{ (p, q') \mid \exists (p, q) \in P, \exists \varphi : q' \in \delta_c(q, \varphi), \varphi \text{ is true under } S \} , \\
\{ r' \mid \exists r \in R, \exists \varphi : r' \in \delta(r, \varphi), \varphi \text{ is true under } S \} \right)
$$

On a left bracket $< \in \Sigma_{+1}$, the transition $\delta'_c(P, R)$, for $P \subseteq Q \times Q$ and $R \subseteq Q$, pushes the current symbol of the input onto the stack. At the next level of brackets, it starts a new simulation in the first component of the state, whereas in the second component, the computations in $R$ are continued under the assumption that the left bracket ($<$) being read is unmatched. The following transition is defined for every set of atomic constraints $S \subseteq \Psi$ assumed to be true, with all atomic set prediction constraints assumed to be false.

$$
\delta'_c((P, R), \xi_S) = \left( \{ (p', p') \mid p' \in Q \} , \\
\{ r' \mid \exists r \in R, \exists \varphi : r' \in \Phi(\Sigma) : \varphi \text{ is true under } S, r' \in \delta_c(r) \} , \\
(P, R, <, S) \right)
$$

On a matched right bracket $> \in \Sigma_{-1}$, assume that $B$ is in a state $(P', R')$ and pops a stack symbol $(P, R, <, S) \in \Gamma'$. For each transition, let $S' \subseteq \Psi$ be the set of all atomic clock constraints assumed to be true at the present moment. Under this assumption, the set $S \subseteq \Psi_0$ of stack prediction constraints that were valid at the matching left bracket ($<$) can be determined from the symmetric stack history constraints in $S'$ by setting $\tilde{S} = \{ \bar{x}_{\text{pop}} \circ \text{op} \tau \mid (\bar{x}_{\text{push}} \circ \text{op} \tau) \in S', \text{op} \in \{\leq, \geq\} \}$. In all other respects, the set of pairs in the new state is determined by the same rules as in Theorem $2$.

Turning to the second component in the new state, the set $R'$ is discarded, because it is valid only under the assumption that no stack symbols shall be popped, whereas the present transition is an evidence to the contrary. Therefore, $B$ takes the earlier set $R$ and continues all the computations traced therein.

$$
\delta'_r((P', R'), (P, R, <, S), \xi_{S'}) = \left( \{ (p, q'') \mid (\exists (p, q) \in P)(\exists (p', q') \in P')(\exists s \in \Gamma)(\exists \varphi, \varphi' \in \Phi(\Sigma)) : \\
\varphi \text{ is true under } S \cup \tilde{S}, (p', s) \in \delta_c(q, \varphi), \\
\varphi' \text{ is true under } S', q'' \in \delta_S(q, s, \varphi') \} , \\
\{ r'' \mid (\exists r \in R)(\exists (r', r'') \in P')(\exists s \in \Gamma)(\exists \varphi, \varphi' \in \Phi(\Sigma)) : \\
\varphi \text{ is true under } S, (r', s) \in \delta\tau(r, \varphi), \\
\varphi' \text{ is true under } S', r'' \in \delta_S(r'', s, \varphi') \} \right)
$$

On an unmatched right bracket $> \in \Sigma_{-1}$ if $B$ is in a state $(P, R)$, then it discards $P$ and starts new computations on the new bottom level of brackets, whereas the computations represented by $R$ are continued into that level.

$$
\delta_r((P, R), \perp, \xi_S) = \left( \{ (p', p') \mid p' \in Q \} , \\
\{ r' \mid \exists r \in R, \exists \varphi : r' \in \delta\tau(r, \perp, \varphi), \varphi \text{ is true under } S \} \right)
$$

A state $(P, R)$ is set to be accepting if $R$ contains at least one accepting state of $A$.

$$
F' = \{ (P, R) \mid R \cap F \neq \emptyset \}
$$

Indeed, if $B$ finishes reading the input string in a state $(P, R)$, then all stack symbols currently in the stack shall never be popped, and therefore $R$ is the set of all states, in which $A$ may finish reading this string.
Claim 2. On a timed string uvw, where \( v \) is the longest well-nested suffix of uv, after reading uv, the automaton \( B \) reaches a state \( (P, R) \), with the following values of \( P \subseteq Q \times Q \) and \( R \subseteq Q \).

The set \( P \) contains a pair \( (p, p') \) if and only if there is a computation of \( A \) on uvw that passes through the state \( p \) right after reading \( u \), and later, after reading the following \( v \), enters the state \( p' \). Under the assumption that all left brackets unmatched in \( u \) are unmatched in uvv, the set \( R \) contains a state \( r \) if and only if there is a computation of \( A \) on uvw that reaches the state \( r \) after reading uv. If the assumption on the unmatched brackets does not hold, then the value of \( R \) is undefined.

This claim is proved by the same kind of induction as in the proof of Theorem 2.

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