An insight into biomimetic 4D printing

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4D printed objects are indexed under additive manufacturing (AM) objects. The 4D printed materials are stimulus-responsive and have shape-changing features. However, the manufacturing of such objects is still a challenging task. For this, the designing space has to be explored in the initial stages, which is lagging so far. This paper encompasses two recent approaches to explore the conceptual design of 4D printed objects in detail: (a) an application-based modeling and simulation approach for phytomimetic structures and (b) a voxel-based modeling and simulation approach. The voxel-based modeling and simulation approach has the enhanced features for the rapid testing (prior to moving into design procedures) of the given distribution of such 4D printed smart materials (SMs) while checking for behaviors, particularly when these intelligent materials are exposed to a stimulus. The voxel-based modeling and simulation approach is further modified using bi-exponential expressions to encode the time-dependent behavior of the bio-inspired 4D printed materials. The shape-changing materials are inspired from biological objects, such as flowers, which are temperature-sensitive or touch-sensitive, and can be 4D printed in such a way that they are encrypted with a decentralized, anisotropic enlargement feature under a restrained alignment of cellulose fibers as in the case of composite hydrogels. Such plant-inspired architectures can change shapes when immersed in water. This paper also outlines a review of the 4D printing of (a) smart photocurable and biocompatible scaffolds with renewable plant oils, which can be a better alternative to traditional polyethylene glycol diacrylate (PEGDA) to support human bone marrow mesenchymal stem cells (hMSCs), and (b) a biomimetic dual shape-changing tube having applications in biomedical engineering as a bioimplant. The future applications would be based on these smart and intelligent materials; thus, it is important to modify the existing voxel-based modeling and simulation approach and discuss efficient printing methods to fabricate such bio-inspired materials.

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1 Introduction

The concept of 4D printed (4DP) smart materials was coined by Tibbits in the year 2013 in his speech at the MIT (USA) conference. Such stimuli-responsive materials have one extra dimension (fourth dimension) as compared to 3D printed materials. These intelligent materials were modeled using a bi-exponential mathematical model to predict their fourth dimension. Several methods have been introduced for the printing of such smart materials such as laser-assisted bioprinting, fused deposition modeling, and other methods common to the 3D printing of CAD models. The materials for such bio-inspired structures are selected on the basis of their responses to environmental and temporal stimuli. In the case of thermo-responsive smart materials, the shape memory effect (SME) is the key mechanism to drive deformation. Nowadays, researchers are freely using such shape memory alloys for the ease in the printing of such smart bio-inspired materials. The shape memory polymers (SMPs) are programmed above their glass transition temperature ($T_g$) and further cooled to set their temporary shape, which is free of any external loading. The 4D printed (4DP) smart material recovers its permanent shape once the temperature is raised above its $T_g$.

The bio-inspired 4DP materials such as hydrogels (which can expand to twice their original volume) are capable of changing their shapes when immersed in water. The hydrogel can be immersed to absorb water until it is saturated. The swelling of the hydrogel is controlled by varying the temperature of the aqueous environment. The smart 4DP shape-folding structures can change shape under heat and light. When light was incident over certain parts of a polymer gel block, the part swelled due to the infiltration of chromophores (photo-responsive) at the illuminated site. By the virtue of anisotropy, the 4DP materials bend when exposed to UV rays. The electro-responsive 4DP materials also attracted attention after the introduction of the silicon elastomer and ethanol-based artificial muscle. In particular, when heat is generated after the passage of an electric current, ethanol evaporates and the volume of the 4DP materials increases thereafter due to the increase in the volume of ethanol. An electric current is also used to absorb or desorb water to control the volume of the matrix of the 4DP materials. The 4DP materials are also found to respond to magnetic fields. One example is the magnetic nanoparticle-incorporated microgripper, which was printed using hydrogels.

The 4DP materials have been used as smart materials in drug delivery using 4DP containers, stent fabrication, splint fabrication and others. The 4DP containers (printed using photolithography) are used to release pharmaceutical drugs at targeted locations when triggered by environmental stimuli. The stents are programmed in such a way that they can return to their initial shape after implantation. The 4DP biomedical devices, such as splints, can be accommodated for growth over time.

There is a need for heat-sensitive 4DP smart materials in the human body that can change their shape with time. The biological activities in human bodies are based on response-guided transmissions involving enzymes, glucose, proteins, etc. such as the regulation of blood glucose (sugar level) through insulation (which is secreted by islet cells). Nowadays, the trending research topics are the development of 4D printed (1) glucose-responsive materials having potential applications in observing the glucose level and delivering insulin and (2) enzyme-responsive materials having potential applications in drug delivery and tissue regeneration. Through this review article, five case studies have been discussed in the next few sections on the recent advances in the modeling and simulation as well as the printing of biomimetic 4D materials.

2 Case studies

2.1 Mechanics of 4D printed phytomimetic materials using the application-based modeling framework for conceptual design

The discrete geometry of bilayer materials was modeled into the continuous plates having nonuniform thicknesses using the
application-based numerical approach for the smart 4D printed phytomimetic structures. The final shapes were observed after subjecting the materials to specified swell anisotropies. The predictions of the model were in good agreement with experimental data sets.

The framework for the conceptual design was based on the elasticity of the swelling active and passive bilayers. The energy function for any active or passive monolayer was described using eqn (1).

\[
E_{ML} = \frac{1}{2} \int \left[ \frac{h}{4} \left\| a_i - a_c \right\|^2 + \frac{h^3}{12} \left\| (b_i - b_c) \right\|^2 \right] \sqrt{\det a_i} \, dx \, dy
\] (1)

The curvilinear coordinates, \((x,y) \in U_i\), was used to represent the mid-surface of the material in eqn (1). The thickness, \(h\), was expressed in relation to the rest metric, \((a_i)\), and the geometry of the mid-surface, \(a_c = (d \tilde{m})^T \tilde{m} \), as \(\|a_i - a_c\| \leq h\). In eqn (1), the geometry of the mid-surface was expressed in the second fundamental form using \(b_i = -(d \tilde{m})^T \tilde{m} = -(d \tilde{m})^T \tilde{m}\), and the rest second fundamental metric \((b_c)\).

The above formulation was further expressed as per the classical laminated plate theory (CLPT) for active and passive bilayers, as shown in eqn (2).

\[
a_i = \frac{1}{2} (a_1 + a_2); \quad b_i = \frac{3}{4h} (a_1 - a_2)
\] (2)

In eqn (2), the growth patterns of the active (top) and passive (bottom) layers were expressed using symbols \(a_1\) and \(a_2\), respectively. The common mid-surface was assumed for both layers. The elastic energy of the bilayer material was calculated by substituting the values of \(a_i\) and \(b_i\) in eqn (1).

The framework thus could be used to analyze the equilibrium shapes within the given boundary conditions, material parameters, and associated first and second general forms of the growth or swell tensors.

The mid-surface of the plate was meshed using triangular \((7)\) elements. The overall deformation energy of the plate was described using a modified form of eqn (1), as shown in eqn (3).

\[
E_{ML} = \frac{1}{2} \sum_{i=1}^{N_t} \left[ \frac{h^T}{4} \left\| (a_i)^T (a_i) - I \right\|^2 + \frac{h^3}{12} \left\| (b_i) - (b_i) \right\|^2 \right] \sqrt{\det (a_i)^T} \frac{\sqrt{\det (a_i)}^T}{2}
\] (3)

The design of the print path was further converted to a gradually changing thickness discipline and a swell metric tensor. The procedure to convert the print path was described using eqn (4) and (5) for the uniform density and growth field.

\[
\rho(x) = \sum_{i=1}^{N_t} \int_0^t \zeta(x-p_i(t), p_i(t); \sigma) \left| p_i'(t) \right| dt
\] (4)

In eqn (4), \(N_t\), \(p_i(t)\), \(\zeta(x,y;\sigma)\), and \(\sigma\) were used to express the uniform splines, parametric equation of the 4th filament, the leveling kernel, and the scalar parameter to control thickness, respectively. The parametric equation, \(p_i(t) \in \mathbb{R}^2\), was operated by varying \(t\) in the range of 0 and 1. The tangent vector, \(p_i'(t)\), was used to express the direction of growth, as shown in eqn (5).

In eqn (5), normalized \(p_c\) was used to obtain the unit vector. The growth pattern was analyzed while fixing the rest metric at all points of the layer as per the direction of growth (usually referred to as eigenvectors) and factors of growth (usually referred to as eigenvectors), as shown in the set of eqn (6).

\[
a_{i1}(x) = R^T_l(x)A^T_lR_l(x) \\
a_{i2}(x) = R^T_l(x)A^T_lR_l(x) \text{ in general } l \in [1,2]
\] (6)

where, \(A_l = I + \mu_l \begin{pmatrix} s_p & \theta & 0 \\ \theta & s_i & 0 \\ 0 & 0 & s_i \end{pmatrix}\), and \(R_l(x) = (p_{c1}(x) \hat{p}_{c1}(x))\).

In eqn (6), \(l\) and \(\mu_l\) were used to represent the active (top as 2) and passive (bottom as 1) layers, and the corresponding scaling factors, respectively, whereas \(s_p\) and \(s_i\) were used to represent the factors of growth in the parallel and transverse directions to the filament, respectively. The simulation results were validated with experimental data sets for all artificial and natural shapes, and thus, this framework could be used to predict the shape-changing events of the 4D printed smart bilayer materials.

2.2 Modeling and simulation of the 4D printed smart materials using the voxel-based modeling framework for conceptual design

The 4D printed (4DP) smart materials having shape-changing capabilities were modeled successfully using the voxel-based modeling and simulation method. In such modeling framework, it is necessary to model the real nature of matter along with the actual modeled distribution (MD). The most important thing that must be taken into consideration is modeling for the sensitivity towards stimuli, such as light and heat, in terms of triggering their shape-changing features as it happens in the case of flowers. The continuum mechanics in such cases were started with the rough design space solution, progressed through the discretization of the model, and then ended with the computation of the voxelized object deformation. The mass-spring modeling was considered fundamental to the concept used behind the definition of the design space and discretization. The voxels were connected from their centers in 3D beams. These beams were connected from their centers and thus, in a frame, they held the matter together. In this way, the entire deformation was taken care of in the geometry. The linear voxel was modeled as a uniform isotropic element. The Young’s modulus \((E)\) and shear modulus \((G)\) were thus required to specify the mechanical behavior, along with the addition of the stimulus-responsive behavior. To accomplish the task of mapping the beam material with voxels, the properties of the beam materials were inherited from the pairs of voxels to which they were connected. As a matter of fact, two voxels were made of dissimilar materials, and the material properties were described using eqn (7).
\[ E_z = \frac{2E_1E_2}{E_1 + E_2}, \quad G_z = \frac{2G_1G_2}{G_1 + G_2} \]  

(7)

The 3D Euler–Bernoulli beam concepts were used for the computations of deformations to incorporate the properties (such as improved axial, bending, and twisting resistances) in the beams, as shown in eqn (8).\(^{50}\)

\[ I_{yz} = I_{zz} = I = \frac{bh^3}{12} = \frac{l^4}{12}, \quad J_{xx} = J = \frac{bh(b^2 + h^2)}{12} = \frac{l^4}{6} \]  

(8)

In eqn (8), the properties of the cross-section of the beam were assumed along the X-axis, and \( l^4 \) was used to represent the size of the voxel. The torsional rigidity was characterized using the moment of inertia of the beam, as shown in the above-mentioned equation. The cross-sectional area, \( A = l^2 \), has to be substituted in the above eqn (8).

The direct stiffness method \((K_{d})^*\) was used to calculate the degrees of freedom (DOFs); in this case, each node had six DOFs in the global coordinate system, as shown in eqn (9).\(^{60}\) and the deformed shape.

\[ U_i = [x_i \; y_i \; z_i \; \theta_{x,i} \; \theta_{y,i} \; \theta_{z,i}], \quad KU = F \]  

(9)

\[
\begin{bmatrix}
  u_x \\
  u_y \\
  u_z \\
  \theta_x \\
  \theta_y \\
  \theta_z
\end{bmatrix}
= 
\begin{bmatrix}
  N_1 & 0 & 0 & 0 & 0 & 0 & N_2 & 0 & 0 & 0 & 0 \\
  0 & N_1 & 0 & 0 & 0 & -N_4 & N_5 & 0 & 0 & 0 & -N_6 \\
  0 & 0 & N_1 & 0 & N_4 & 0 & 0 & 0 & N_5 & 0 & N_6 \\
  0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
  0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
  0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0
\end{bmatrix}
\begin{bmatrix}
  X \\
  Y \\
  Z
\end{bmatrix}
\]

(10)

In eqn (10), the function \( M'(x \; y \; z) \) was used to represent the point in the deformed state, and \( u(X) \) was used to describe the translational DOFs along the i-axis at the location of \( X \). In the above equation, \( \Lambda(X) \) was used as the function of \( \theta_{x}(X), \theta_{y}(X), \theta_{z}(X) \), where \( \theta_{x}(X) \) was used as a general representation of the rotational DOF about the i-axis at the location of \( X \). The general form of \( \Lambda(X) \) is shown using eqn (11).\(^{60}\)

\[ \Lambda(X) = R_x(\theta_{x}(X))R_y(\theta_{y}(X))R_z(\theta_{z}(X)) \]  

(11)

In eqn (11), \( R_i \) was used for the rotation about the i-axis. The values of the DOFs were known at nodes 1 and 2, as discussed in eqn (10). Thus, the shape functions were used to find their values at any location of \( X \), as discussed using the set of eqn (12).\(^{60}\)

In the set of eqn (12), \( U = [u_{x1} \; u_{y1} \; u_{z1} \; \theta_{x1} \; \theta_{y1} \; \theta_{z1} \; u_{x2} \; u_{y2} \; u_{z2} \; \theta_{x2} \; \theta_{y2} \; \theta_{z2}]^T \), and the values of the shape functions are listed in the set of eqn (13).\(^{60}\)

\[ \begin{align*}
  \theta_x &= -\frac{du_x}{dX} \\
  \theta_y &= -\frac{du_y}{dX} \\
  \theta_z &= -\frac{du_z}{dX}
\end{align*} \]  

(12)

The entire system of equations was formulated under the framework shown in eqn (9). The global stiffness matrix is shown using the \( U \) matrix \((U = [U_1 \ldots U_n]^T)\), where \( n \) is the number of voxels), and \( F \) was used to represent \( 6n \) vector having values of boundary conditions for force and moments, along with rotations and displacements.

\[ \begin{align*}
  N_1(X) &= -\frac{1}{L}(X - X_2), \quad N_2(X) = \frac{1}{L}(X - X_1), \quad N_3(X) = 1 - \frac{3X^2}{L^2} + \frac{2X^3}{L^3} \\
  N_4(X) &= X \left(1 + \frac{3X^2}{L^2} \right), \quad N_5(X) = \frac{X^2}{L^2} \left(3 - \frac{2X}{L}\right), \quad N_6(X) = \frac{X^3}{L^3} \left(1 - \frac{X}{L}\right)
\end{align*} \]  

(13)

The beam was mapped along the X-axis (Fig. 1) and its centre line was extended from node 1 to node 2, as shown in eqn (10).\(^{75}\)

The above eqn (10)–(13) were expressed in the general coordinate system (GCS) and during implementation, they were allowed to map the nodes in the global coordinate system (GCS). The voxels had eight vertices at their corners. In order to capture the deformed shape of the voxels, the authors\(^{60}\) suggested increasing the number of vertices after re-meshing their surfaces. The vertices of the voxels were stored and extracted thereafter. The authors\(^{60}\) further specified the influence zone for each beam to find its deformation. For this, they have calculated...
the weights for the beams, \( w_i = \frac{1}{N} \), where \( N \) was used for the number of beams. As per the weighted deformation map, each vertex was shifted to study the deformation and thereafter, each voxel was updated for its new position. The validation of the modeling scheme (with finite element method (FEM) based simulations) was done using two different cases, such as (a) using a cantilever beam (50 mm × 10 mm × 10 mm) having material properties of structural steel (e.g., \( E = 20 \) GPa, \( G = 7.69 \) GPa being loaded with an 800 N force at its free end), and (b) using a squared plate (30 mm × 30 mm × 2 mm) whose sides were fixed and having material properties of homogeneous MD (e.g., \( E = 1000 \) GPa, \( G = 385 \) MPa being loaded with 16.5 MPa pressure at its center).

The maximum displacements of the above two cases were obtained in the range of the results of the finite element analysis (FEA) shown in Table 1. The shape memory,\(^{76-79}\) piezoelectric, and thermolectric or photovoltaic effects were incorporated into a smart, bio-inspired, hydrogel-based 4D material (having shape-changing features) for its improved stimuli response.\(^{80-83}\) The smart, bio-inspired, 4D materials are discussed in two categories: (a) non-programmable one in which the shape-changing event is predefined (in such cases, the isotropic expansion and contraction are specified during fabrication) and limited to dimension change, and (b) programmable one in which the shape-changing event is the function of the pathway (in such cases, the isotropic expansion and contraction are not specified during fabrication), or they can be programmed to change their shapes. Such thermomechanically programmed, bio-inspired 4D material was modeled using the property of shape memory material.\(^{84,85}\)

Sossou et al. (2019) have proposed stiffness equations for such non-programmed smart material by using the effects of the initial force, as shown in eqn (14).\(^{60}\)

\[
\overline{K}u = \bar{f}_M + \bar{f}_S, \quad \Delta L = L \times g(\alpha, S), \quad \text{and} \quad \epsilon_s = \frac{\Delta L}{L} = g(\alpha, S)
\]  
(14)

In eqn (14), the symbol ‘S’ was used to represent the stimulus. In the unloading condition, the length of the bar member (considered for the study) was set free to increase its length from \( L \) to \( L + \Delta L \). The \( \Delta L \) was expressed in terms of the stimulus and material properties. The symbol ‘\( \alpha \)’ was used to represent the vector connecting the properties of the piezoelectric, electrostrictive, magnetostrictive, photostrictive, and hydrogel materials. The strains corresponding to the stimulus was expressed using eqn (14).

The bar was subjected to an axial force, \( F \), which in turn was responsible for the stress, \( \sigma = \frac{F}{A} \) (where, \( A \) was used to represent the cross-sectional area of the bar). Thereafter, the strain, \( \epsilon_M = \frac{\sigma}{E} \), was induced. The overall internal force, \( F \), was expressed using eqn (15).\(^{60}\)

\[
\frac{E A}{L} (\bar{\pi}_{ij} - \bar{\pi}_{ij}) = \sigma A + EA \bar{g}(\alpha, S) = F [f_{xi} f_{yi} f_{xj} f_{yj}]
\]  
(15)

In eqn (15), ‘\( \sigma A \)’ and ‘\( EA \bar{g}(\alpha,S) \)’ were used to represent the mechanical force and stimulus-induced force, respectively.

The stiffness equation of the member was shown using eqn (16).\(^{60}\)

\[
\frac{E A}{L} \begin{bmatrix} 1 & 0 & -1 & 0 \\ 0 & 0 & 0 & 0 \\ -1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} \bar{\pi}_{xi} \\ \bar{\pi}_{yi} \\ \bar{\pi}_{xj} \\ \bar{\pi}_{yj} \end{bmatrix} = \bar{f}_M + \bar{f}_S
\]  
(16)

The stimulus-induced force was expressed using eqn (17).\(^{60}\)

\[
\bar{f}_S = EA \bar{g}(\alpha, S) \begin{bmatrix} -1 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \end{bmatrix}^T
\]  
(17)

The piezoelectric effect was modeled using the set of eqn (18).\(^{60}\)

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**Table 1. Values of maximum displacement (mm) (reprinted with permission from ref. 60. Copyright 2019 Elsevier)**

| Different cases | Voxe-based modeling and simulation approach | FEA |
|----------------|------------------------------------------|-----|
| Cantilever beam | 1.86                                     | 2.03|
| Square plate    | 3.7                                      | 3.6 |
The function $P$ was positively used to describe the electric field direction (having directions, $i \in [1, 3]$), the strain (in the direction $j \in [1, 6]$), and the piezoelectric strain coefficients, respectively. The symbols $d_{ij}$ (along the electric field’s polling direction) and $d_{ij}^p$ (perpendicular to the electric field) were the most used piezoelectric coefficients, and these were thus characterized by the electric field direction ($x, y$ or $z$). The function, $g(a, S)$, was used to express the electric field direction of the material. Sossou et al. (2019) achieved maximum strain in this situation.

The effect of electrostrictive material was introduced with the incorporation of dielectric materials. The second order mathematical expression was positively used to describe the final deformation (proportional to the square of the electric field). The static dielectric constant, $\varepsilon$, of the material (as the polarization vector, $P = [P_1; P_2; P_3]$) was the function of the electric field in such a way that $P_1 = \varepsilon E_1$, where $P_1$ is the only polarization direction of the electric field and thus, $P_2$ and $P_3$ were substituted with zeros in the relationship of induced deformations and polarization) was expressed using a set of the set of eqn (19).

$$
\begin{align*}
\varepsilon_0 &= Q_{11} e^{E_1^2} = M_{11} E_1^2 \\
\varepsilon_2 &= Q_{12} e^{E_1^2} = M_{12} E_1^2 \\
\varepsilon_3 &= Q_{13} e^{E_1^2} = M_{13} E_1^2
\end{align*}
$$

In eqn (21), the symbols $T$ and $k'$ were used to represent the intensity of light and material intensity, respectively.

The hydrogels were used as a sponge to repel or absorb water under wet conditions. The volumetric swelling ratio (VSR) was calculated using the eqn (22).

$$
v_r = \frac{V_0}{V_s} g(a, S) = [v_r(T)]^2 - 1
$$

In the model discussed above, stimuli such as the electric field, light, heat, magnetic field and voltage were sensed by voxels and beams. The voxel-based modeling and simulation method was validated with few existing cases of heat-sensitive hydrogels such as the 4D printed smart hydrogel valve and actuators (theoretical) of particular material distributions (MDs). The results simulated were in good agreement with experimental, as well as finite element analysis (FEA) results, as shown in Fig. 2. The shrinking of the hydrogel material was observed with its bending response (Fig. 2). The active and passive hydrogels were shown using red and blue colors, respectively, as shown in Fig. 2.

2.3 Modifications in the voxel-based modeling framework, using exponential expressions to encode the time-dependent behavior

The shape-changing features in the 4DP materials are encoded with different forms of anisotropy (for relative expansion between active and passive substances) induced after fabricating with various heterogeneous materials. The properties of

![Fig. 2](image-url)
the piezoelectric, electrostrictive, magnetostrictive, photostrictive, and hydrogel materials are programmed in such thermomechanically trained bio-inspired materials, so that they can recover their permanent shapes. The shape-changing properties of the bio-inspired 4DP materials are governed through the physics of thermal expansion and contraction, and organic advancement.

The thermal expansion and contraction of 4DP materials (having the properties of piezoelectric, electrostrictive, magnetostrictive, and photostrictive materials) can be expressed through the strain eqn (23).\(^2\)

\[
\varepsilon_{\text{thermal}} = \alpha \Delta T \tag{23}
\]

In eqn (23), the symbols ‘\(\alpha\)’ and ‘\(\Delta T\)’ were used to represent the coefficient of thermal expansion and the change in temperature, respectively. The 4DP materials were modeled using the initial force function, \(f_s\)\(^3\), which was in terms of the stimulus, \(S\). Further, the change in the length of bar, \(\Delta L\), was expressed using eqn (14). The function \(g(a,S)\) (used in eqn (18)-(20)) could be modified for the thermal stimulus that evokes the responsive 4DP materials. A temperature, \(T_2\), should be added as the thermal stimulus in the 4DP material with an initial temperature \(T_1\) to encode its behavior with time, \(t\)\(^2\), as shown in eqn (24).

\[
\varepsilon_{\text{thermal expansion/contraction or mass diffusion or organic growth}}(t) = C \left[1 - \exp \left(\frac{-t}{\tau}\right)\right] \tag{24}
\]

In eqn (24), the symbols ‘\(C\)’ and ‘\(\tau\)’ are constants. The time constant, \(\tau\), depends on the mechanical properties (such as thermal capacity and density), attributes of the solid (such as volume), and thermal resistivity. The time-based eqn (24) is a modified form of the temperature-based eqn (23), which can take care of stimuli, such as piezoelectric, electrostrictive, magnetostrictive, and photostrictive mechanisms. The materials that are responsive to electrothermal stimuli will expand after the passage of current due to the ohmic heating, and thus contract upon cooling. However, in the few cases where the materials contract upon heating, the coefficient of thermal expansion, \(\alpha\), is negative and thus eqn (24) would remain unchanged.

The property of hydrogels, such as the ability to absorb or desorb water, has been characterized using VSR in eqn (22). The function of \(g(a,S)\) can be modified for the 4DP material (where the mass changes due to the sorption of water) in terms of time, \(t\)\(^4\), as shown in eqn (24). The isotropic material will have \(\varepsilon_x = \varepsilon_y = \varepsilon_z = \varepsilon = \frac{1}{3} \frac{\Delta V}{V}\), and due to the linear relationship between the mass and volume, eqn (24) fits for the mass diffusion.\(^4\) The time-based eqn (24) will fit for the stimuli, which are responsible for the transportation of matter to cause the expansion in the 4DP structures.

Our study also suggests the importance of incorporating the effect of organic growth over time in the bio-inspired 4DP material to deal with cells, scaffolds, and tissues in the field of bioscience or bioengineering. The organic growth should be modeled as an increase in length or weight, as shown in eqn (24). The constants, \(C\) and \(\tau\), are the ratio of the change in length to the initial length, and the time-constant (a function of the metabolism of a living organism), respectively. The shape-changing behavior of the 4DP materials (having active and passive layers) is time-dependent and can be expressed in terms of curvature, as expressed using eqn (25).\(^2\)

\[
\kappa(t) = \frac{1}{\rho(t)} = K_I \left(1 - \frac{\varepsilon}{\varepsilon_0}\right) + K_{II} \left(1 - \frac{\varepsilon}{\varepsilon_0}\right)^2 \tag{25}
\]

In eqn (25), \(t\), \(\kappa(t)\), and \(\rho\) are used to express the time, curvature (due to relative expansion), and radius of the curvature, respectively. \(K_I\) and \(K_{II}\) are constants that depend on Young’s moduli of passive and active layers, as well as the moment of inertia, and the stress at the interface. The time constant, \(\tau_0\), depends on the viscosity (at the interface of layers) and Young’s moduli. Eqn (25) is used when the 4DP material has changed its shape, i.e., when the stimulus is “active (stimulus on)”. If the stimulus is “not active (stimulus off)”, then the 4DP material has to restore its initial shape, as shown in eqn (26).\(^2\)

\[
\left(1 - \frac{t}{\tau_0}\right)^2 = K_{Ie} \frac{\varepsilon}{\varepsilon_0} + K_{IIe} \frac{\varepsilon^2}{\varepsilon_0^2} \tag{26}
\]

The graph representing eqn (25) and (26) is shown in Fig. 3. The time-dependent bi-exponential expressions were validated with experimental data available in the literature, and found fit to predict the nonlinear behavior of the 4D printed materials.

2.4 4D printing of the composite hydrogel

Fabrication of the plant-like materials, such as flowers, that could change shapes when being immersed in water or while responding to light or touch, was done using the 4D printing
swelling strains were plotted for the nozzle diameter of 510 μm and longitudinal swelling behavior was dependent on the nozzle diameter during the 4D printing of the hydrogel composites. (d) Data on the swelling was shown using eqn (27).

\[
m = a_{\text{bottom}}/a_{\text{top}}
\]

The total thickness of the bilayer was shown in eqn (28).

\[
h = a_{\text{top}} + a_{\text{bottom}}
\]

The Gaussian (K) and mean (H) curvatures were represented using the set of eqn (29).

\[
K = -c_4 \frac{(\alpha_l - \alpha_1)}{h^2} \frac{\sin^2(\theta)}{c_5 + c_6 \cos(2\theta) + m^2 \cos(4\theta)}
\]

\[
H = c_1 \frac{\alpha_l - \alpha_1}{h} \frac{\sin^2(\theta)}{c_2 - c_3 \cos(2\theta) + m^2 \cos(4\theta)}
\]

In the above eqn (29), the symbol ‘c’ was used for the elastic constants, the limit \(\theta \to 0^\circ\) was used for the Timoshenko equation, and the condition \(\theta = 90^\circ\) was used to achieve the saddle-shaped structure.
The efficiency of the 4D printing method was based on the ability to deterministically specify the elastic and swelling anisotropies using the local control of the orientation of the cellulose fibrils of the hydrogel composite (as shown in Fig. 4(a)). In Fig. 4(a), the fibrils were shown to undergo shear-induced alignment due to the flow of ink through the deposition nozzle. Thus, the filaments (having anisotropic stiffness) were printed. Further, the swelling behavior in the longitudinal and transverse directions was studied in Fig. 4(a). The considerable shear-induced alignment was observed in the 4D printed samples. The directionality peak of the Fourier analysis has established the relative alignment in the printed specimens (as shown in Fig. 4(b)).

The renewable and economical polymers of plant oils could serve as better alternatives to the limited and depleting conventional petroleum-based biopolymers, due to their improved biocompatible features apart from their wide availability in nature. The ink for the printing of the biocompatible scaffolds was prepared by mixing 100 g of soybean oil epoxidized acrylate with 10 mL acetone before adding 1.26 g bis(2,4,6-trimethylbenzoyl)-phenylphosphate oxide into the mixture.

2.5 4D printing of the smart biomimetic scaffolds to support the growth of human mesenchymal stem cells (hMSCs), and applications of the biomimetic dual shape-changing tube in human engineering as a bioimplant (such as the vascular graft)

The renewable and economical polymers of plant oils could serve as better alternatives to the limited and depleting conventional petroleum-based biopolymers, due to their improved biocompatible features apart from their wide availability in nature. The ink for the printing of the biocompatible scaffolds was prepared by mixing 100 g of soybean oil epoxidized acrylate with 10 mL acetone before adding 1.26 g bis(2,4,6-trimethylbenzoyl)-phenylphosphate oxide into the mixture. The solution was then kept overnight into the properly washed and dry-cleaned chamber to separate acetone.

The stereolithography method is found to be the most efficient method to print biomaterials (such as 4D scaffolds) using plant oils (the renewable stocks as feeds for biopolymers) through computer-aided interfaces. The shape-changing 4D materials are printed after reinforcing the shape memory polymer fibers in the elastomer matrix.
feature of such bio-inspired materials is a function of time, as discussed above, and thus has potential application as biocompatible scaffolds. The liquid form of the soybean oil epoxidized acrylate renewable resin was used to print biocompatible scaffolds using the multi-dimensional stereolithography method.

The soybean oil epoxidized acrylate resin was solidified using multi-dimensional laser printing for the smart biocompatible scaffolds (as shown in Fig. 5(a) and (b)) to support the growth of human bone marrow mesenchymal stem cells (hMSCs). The scaffolds were printed using a laser frequency of 12 000 Hz at a speed of 10 mm s$^{-1}$. It was observed that, with the increase in the infill density from 20 to 50%, the pores in the printed scaffolds were reduced significantly (Fig. 5(b)). The Fourier transform infrared spectroscopy spectrometer was used to approve the polymerization of the soybean oil epoxidized acrylate renewable resin. With the increase in the signals from 1620 to 1640 cm$^{-1}$, the double bonds between the carbon atoms were stretched in the acrylic acid. These signals disappeared to confirm the polymerization of the bonds. Also, there was a shift in the signals (as shown in Fig. 5(b)) for the wavelength, changing from 1186 to 1177 cm$^{-1}$, which further confirmed the presence of C–O–C in the ester group. The shape memory effects of the scaffolds were plotted in relation with time and temperature (as shown in Fig. 5(c)). The entire cycle of the shape-changing events was captured (I–VI). Initially, the sample scaffold had a U-shape at 37 °C, and it was preserved in this stage at the same temperature for the next 10 min (II–III). Then, the temperature was reduced to −18 °C and the scaffold was preserved in the same stage for the next 10 min (III–IV). Finally, the external support was removed to set the scaffold to retain its initial shape (IV–V). The scaffolds printed at laser frequencies of 8000 Hz and 20 000 Hz have the shape-changing capabilities (shape fixity) of 99% and 92%, respectively. The temporary

Fig. 6  Schematic representation of the concepts of the 4D printed materials (reprinted with permission from ref. 116. Copyright 2016 Elsevier Limited). (a) The stimulus-responsive 4D printed smart material. (b) The time-dependent 4D printed assembled tissue design.
shape of the biomedical scaffolds was achieved at $-18 \, ^\circ C$. However, the permanent shape was restored at 37 $^\circ C$ (as shown in Fig. 5(d)).

The cytotoxicity test of biocompatible scaffolds was performed (to prove its cytocompatibility), and found to have significant adhesion and proliferation of hMSCs. The renewable liquid resin has a higher adhesion and proliferation of hMSCs compared to the established polyethylene glycol diacrylate (PEGDA). The scaffold (with 70% infill density), printed using a laser frequency of 12 000 Hz at a speed of 10 mm s$^{-1}$, was observed to have a considerably higher attachment potential to hMSCs than PEGDA. The test was further extended with the scaffold having 20% infill density. It concluded with the growth tendency of hMSCs along the creased pattern on the facet of the scaffold’s plane, contrary to the PEGDA that was lacking the ability to hold bio active features, as only a few types of cells functionally stick and develop on its uppermost layer. It was found that the wettability of any set surface by the soy scaffold (water contact angle in case of the soy resins) was almost the same as that of the outstanding cytocompatible polycaprolactone (PCL). Besides this, the enhanced biocompatibility of the soybean oil epoxidized acrylate renewable resin was also due to the presence of the cyto-friendly hydroxyl and ester chemical groups.

The essential parameters, such as the shape fixity ($S_f$) and shape recovery ($S_r$), for the 4D printed scaffolds have been calculated using the set of eqn (30).

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Fig. 7 The biomimetic 4D printed dual-gel tube (reprinted with permission from ref. 117. Copyright 2019 American Chemical Society). (a) The uniaxial expansion of a dual shape-changing tube. (b) The bodily structure of a coral polyp. (c) The schematic representation of a dual-gel tube. (d) The 4D printed and photocured tube with the fingers. (e) The gripping of (and thereafter lifting up) the cube at 25 $^\circ C$ due to the uniaxial expansion of the tube when suspended in water. (f) On heating at 50 $^\circ C$, the fingers were opened up to release the cube.
Table 2 Applications of the 4D printed materials in the field of medical sciences

| Applications in medical sciences | Details                                                                 | Materials and their printing methods                                                                 | References |
|----------------------------------|--------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|------------|
| 4D printed stents                | In the 4D printed stents, length is a function of time. These smart materials can change their lengths with time, and thus are having applications in plastering broken parts of patients | The biomedical devices based on methacrylated polycaprolactone (PCL) shape memory polymers having a molecular weight of 16,000 g mol⁻¹ for endoluminal procedures, printed using the UV-LED stereolithography printer | 118        |
| 4D printed organs                | Potential application in fulfilling the demands of organ shortages; the patient’s cells were used while printing his/her organs | The fully functional human organs could be printed using cell-containing inks. The biocompatible inks were loaded into the syringes of the cartridges in the polyjet printer and the stereolithographic printer | 119, 120   |
| 4D printed smart materials       | Potential application in customizable medical implants                   | The active hinges based on SMPs, printed using the multimaterials ink jet printer                     | 121        |
| 4D printed implants (make breathing easier) | These smart materials are capable of changing their shapes over time, and thus make breathing easier for the babies (who are growing with time) | The splints and scaffolds based on polycaprolactone (safe, as likely to go into the body as time passes) and shape memory polyurethane, respectively, were printed using the conventional 3D printers. The scaffolds’ 3D printer was featured with the time-supervised shape morph of the thermosensitive SMPs | 123, 124   |
| 4D printed implants (tissue engineering applications) | Potential application in tissue engineering as shape-changing implants | The fanciful ball having an airy structure (1 mm in diameter), based on a plain hydrogen and alkaline phosphatase, and printed using the digital light processing technique. The cartilage is based on collagen (containing bone morphogenic proteins), and printed using the in-house extrusion-based multi-head printer | 125, 126   |
| 4D printed hearts, kidneys, and levers | Potential application in printing flexible (that can grow with time) human body parts such as heart, kidneys, and lever (with genetic match) using smart materials | The heart based on translucent material, printed using the hybrid printer (having combined features of computed tomography and 3D echocardiography). The scaffolds such as cells, collagens, etc., could be used to print the skin constructs by dynamic optical projection stereolithography technique | 127, 128   |
| 4D printed skin graft            | Potential application in printing the same color skin graft as that of the patient for treatment after burn injuries | The semi-interpenetrating polymer network elastomer (having shape memory and self-healing potential) based on urethane diacrylate and linear semicrystalline PCL, printed using the UV-assisted direct-ink-write printer | 129        |
| 4D printed smart medical equipments | Potential application in producing functional medical equipment, as per the requirement of surgeries | The SMPs based on acrylates such as polyurethane, epoxy and isobornyl, along with the radical photoinitiator (such as PI 184), printed using the SL200 stereolithography printer | 130–132    |
| 4D printed CT scanners           | Potential application in surgical planning and improving clinical outcomes for patients | The photopolymer based on plastic, printed using the hybrid printer (having the combined features of computed tomography and 3D echocardiography) | 133–136    |
accomplished at 25 °C after the successive uniaxial expansion of the shape-changing tube, further curving its fingers to grab the cube (Fig. 7(e)) without harming its surface, and finally releasing the cube while opening up its fingers (Fig. 7(f)) when heated to 50 °C.

The 4D printed dual-gel tube was fabricated using a thermally (a) responsive (active) gel, such as poly N-isopropylacrylamide (pNIPAM), and a (b) non-responsive (passive) gel, such as polyacrylamide (pAAM). The physical behavior of the bio-inspired tube was achieved after the controlled swelling of the gels, and was further validated well using the results of the finite element analyses. The active ink was prepared by dissolving LAPONITE® (6.77% by weight) into the deionized (DI) water (84.6% by weight). The dye of a 0.12 mg mL$^{-1}$ solution of methacryloxyethyl thiocarbamoyl rhodamine B (10 vol%) was added to the LAPONITE® solution to visualize changes in the biomimetic substances. The monomer of N-isopropylacrylamide (8.46% by weight) was added to the LAPONITE® solution, along with Irgacure 2959 (0.17% by weight), to catalyze the event in the presence of light to improve the solubility. The passive ink was prepared by dissolving LAPONITE® (6.64% by weight) into the deionized (DI) water (82.97% by weight). The dye of a 0.3 mg mL$^{-1}$ solution of fluorescein o-methacrylate (0.0025% by weight) was added to the LAPONITE® solution, along with 2 mg mL$^{-1}$ of NaOH (0.017% by weight) to visualize changes in the biomimetic substances. The monomer of acrylamide (7.88% by weight), and N$\text{N}'$-methylenbisacrylamide (1.66% by weight) as a crosslinker were added to the LAPONITE® solution, along with Irgacure 2959 (0.83% by weight) to catalyze the event in the presence of light to improve the solubility.

The inks were loaded into the syringes of the cartridges in the Direct Ink Writing Printer, and further kept at room temperature overnight. As per the STL files, the tubes were fabricated and photocured after UV exposure. The stress-strain curves were plotted for the active and passive hydrogel panels to evaluate their Young’s moduli. The elongations in the active and passive segments were measured through FEA simulations, and found to be in agreement with the experimental data sets.

3 Future applications

The study of biomimetic 4D printing opens a new avenue for creating objects that are responsive to environmental stimuli, such as humidity, touch, or even light, through their shape-morphing properties. These materials have high-end applications in tissue engineering, biomedical equipment, and beyond (as shown in Table 2).

The application-based modeling and simulation approach can be used as a systematic optimization tool through the implementation of closed-loop iterative routines for the numerical study of inverse-design complications of the stimuli-responsive and shape-changing 4D materials. The voxel-based modeling and simulation approach have a few limitations such as those in its current settings, where the object was supposed to have the same size as that of the voxels. Thus, the scope always lies for the voxelized adaption (having a variable voxel size) of the modeling approach, as per the requirement of reducing the computational efforts. While modeling, it is also required to include the effects of collision and gravity, as well as friction. Researchers should also think about the modeling of such smart materials with shape memory alloys to bring the stimuli-responsive properties (such as sensitivity towards heat and light) efficiently and effectively.

The research areas in the 4D printing can be categorized in various groups, as shown in Fig. 8. Researchers need to explore ways to print such smart 4D materials based on their responses to stimuli, mechanisms of deformation, and mathematical modeling.

![Image](image_url)
4 Conclusions

The application-based modeling and simulation approach (based on thin plates and shells having discrete shapes) for phytomimetic (nonlinear elastic) bilayer materials could be used to investigate the residual stresses and strains through the study of the equilibrium sequences of the 4D printed smart materials that will come in the range of their original and finally restored shapes (Section 2.1). The voxel-based modeling and simulation method is reviewed to motivate researchers while making a choice for additive manufacturing (which has the capability to deal with material complexity). In the voxel-based modeling framework, the basic computations are supposed to be done while underlying the frame, which was formed by the beams connecting the voxel centers (Section 2.2). The degrees of freedom of nodes (in the simple model of 4D smart material having internal forces responsible for changing its length) were calculated to map the deformation of each beam, while the voxels were morphed using computer graphics. The model was assigned with the properties of piezoelectric, electrostrictive, magnetostriuctive, photostrictive, and hydrogel materials to serve the purpose of an intelligent smart material. Sossou et al. (2019) have validated the FEA simulation results with existing experimental data sets. The bi-exponential expressions can be incorporated in the voxel-based modeling and simulation approach to encode the shape changing features of the bio-inspired 4DP material, as the shape-changing behavior of such material is a function of time (Section 2.3).

The 4D printing manufacturing techniques discussed in the fourth case study (Section 2.4) were space and time-dependent. The hydrogel composite ink has a potential to be extended to an advanced level, i.e., liquid-crystal elastomers to anisotropic fillers, such as metallic nanorods to produce biomimetic 4D materials with tunable functionality when combined with flow-induced anisotropy. The smart and novel biocompatible scaffolds (Section 2.5) can be printed after solidification of the soybean acetylated acrylate resin using the multi-dimensional laser printing technique. This renewable 4D scaffold material would be a better option to the customary polyethylene glycol diacrylate (PEGDA) to support human bone marrow mesenchymal stem cells (hMSCs), as it has a higher adhesion and proliferation of hMSCs. The biomimetic 4D printed dual-gel tube (Section 2.5) was found to be capable of changing its shape to pick and drop the cube when operated at different temperatures. Thus, it could serve the purpose of vascular implants (suitable to the growth of tissues) and perform well in soft-robotic endoscopic applications.

Author(s) contributions statements

Nand Jee Kanu, Research Scholar, S. V. National Institute of Technology, Surat, India (An Institute of National Importance), is pursuing a Ph.D. in Mechanical Engineering and has reviewed and compiled the work. Eva Gupta, Research Scholar, Amity University, Uttar Pradesh, India (An Institute of National Importance), is pursuing a Ph.D. in Electrical Engineering and has reviewed papers on the topic above. Umesh Kumar Vates, Associate Professor, Amity University, Uttar Pradesh, India, has completed his PhD in Mechanical engineering from IIT (ISM) Dhanbad, India (An Institute of National Importance). His role is as expert in the work, while monitoring and motivating the abovementioned Ph.D. students. Gyanendra Kumar Singh, Faculty, Federal Technical and Vocational Education and Training Institute, Addis Ababa, Ethiopia, has completed his Ph.D. in Mechanical engineering from M. N. National Institute of Technology, Allahabad, India (An Institute of National Importance). His role is as expert in the work, while monitoring and motivating the abovementioned Ph.D. students.

Conflicts of interest

The author(s) declare no competing interests.

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