Germline mutations in the spindle assembly checkpoint genes \textit{BUB1} and \textit{BUB3} are infrequent in familial colorectal cancer and polyposis

**Abstract**

Germline mutations in \textit{BUB1} and \textit{BUB3} have been reported to increase the risk of developing colorectal cancer (CRC) at young age, in presence of variegated aneuploidy and reminiscent dysmorphic traits of mosaic variegated aneuploidy syndrome. We performed a mutational analysis of \textit{BUB1} and \textit{BUB3} in 456 uncharacterized mismatch repair-proficient hereditary non-polyposis CRC families and 88 polyposis cases. Four novel or rare germline variants, one splice-site and three missense, were identified in four families. Neither variegated aneuploidy nor dysmorphic traits were observed in carriers. Evident functional effects in the heterozygous form were observed for c.1965-1G>A, but not for c.2296G>A (p.E766K), in spite of the positive co-segregation in the family. \textit{BUB1} c.2473C>T (p.P825S) and \textit{BUB3} c.77C>T (p.T26I) remained as variants of uncertain significance. As of today, the rarity of functionally relevant mutations identified in familial and/or early onset series does not support the inclusion of \textit{BUB1} and \textit{BUB3} testing in routine genetic diagnostics of familial CRC.

**Keywords:** Colorectal cancer predisposition, Hereditary colorectal cancer, High-penetrance genes, Variegated aneuploidy, Mechanism

**Letter to the editor**

Much of the heritability associated with colorectal cancer (CRC) cannot be explained by the currently known genetic risk factors for CRC. Genome-wide genetic and genomic screenings have tried to identify novel high penetrance genes for CRC. Despite the identification of novel candidate genes for CRC predisposition, overall, they account for a very low number of familial cases, and for many of the suggested genes, identification of additional mutated families is essential to conclusively define their actual implication in CRC predisposition [1].

Based on genome-wide copy number profiling and exome sequencing in early-onset and familial CRC, De Voer et al. identified germline mutations in \textit{BUB1} and \textit{BUB3}, components of the spindle assembly checkpoint (SAC) and thus controllers of correct chromosome segregation, as cause for CRC predisposition [2, 3]. Six germline mutations affecting \textit{BUB1} and \textit{BUB3} were identified in 6 independent families. Recently, Broderick et al. assessed the presence of germline mutations in proposed genes for CRC predisposition, including \textit{BUB1} and \textit{BUB3}, finding no increased frequency of mutations in cases compared to controls in either gene [4]. In view
of the controversial results, the purpose of the present study is to evaluate the impact, supported by functional studies, of \textit{BUB1} and \textit{BUB3} germline variants in the predisposition to CRC and/or polyposis.

Using a strategy that combines pooled DNA amplification and massively parallel sequencing, we sequenced \textit{BUB1} and \textit{BUB3} in 456 familial colorectal cancer cases (60 Amsterdam-positive families), and in 88 polyposis cases, without identified mutations in known high-penetration genes. Considering novel and rare (population minor allele frequency (MAF) < 1%) non-synonymous genetic changes, a total of 4 variants, \textit{BUB1} c.1965-1G>A, \textit{BUB1} c.2296G>A (p.E766K), \textit{BUB1} c.2473C>T (p.P825S) and \textit{BUB3} c.77C>T (p.T26I), were detected in 4 families (Table 1, Fig. 1). Only \textit{BUB1} c.2473C>T was reported in public databases. Loss of heterozygosity (LOH) causing the elimination of the wild-type allele or promoter methylation was not detected in any of the tumors studied (Table 1, Additional file 1: Table S1), as previously observed [2].

\textit{BUB1} c.1965-1G>A, identified in a male patient diagnosed with CRC and 25 adenomatous polyps at age 40 with no relevant family history of cancer (Fig. 1), causes an out-of-frame deletion of 11 bases, produced by the disruption of the canonical acceptor site of exon 18 and usage of the next AG as novel splicing acceptor (r.1965_1975del; p.S655Rfs*32) (Additional file 1: Figure S1). The EBV-transformed lymphoblastoid cell line obtained from this carrier showed reduced \textit{BUB1} mRNA expression ($p = 0.0020$) and increased chromosome segregation errors ($p = 0.0050$) compared to control

\begin{table}[h]
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\begin{tabular}{|l|l|l|l|}
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\textbf{Variant} & \textbf{Protein domain} & \textbf{Population MAF} & \textbf{Protein function prediction} & \textbf{Protein stability prediction} & \textbf{Splice site predictions} & \textbf{Evolutionary conservation} & \textbf{Variegated aneuploidy} & \textbf{Somatic LOH / promoter methylation} & \textbf{Functional studies in LCL} & \textbf{Variant classification} \\
\hline
\textit{BUB1} c.1965-1G>A (r.1965_1975del; p.S655Rfs*32) & & & & & & & & & & \\
\textit{BUB1} c.2296G>A (p.E766K) & & & & & & & & & & \\
\textit{BUB1} c.2473C>T (p.P825S) & (Kinase domain) & rs748392521; n.r. / n.r. & Polyphen 2: PsD (0.934) / N (0.349) & CUPSAT: destabilizing (−0.69) & RNA study: no change & & & & & Likely benign / Uncertain significance \\
\textit{BUB3} c.77C>T (p.T26I) & (WD40 repeat 1) & & Polyphen 2: PrD (1 / 0.997) & CUPSAT: destabilizing (−1.16) & RNA study: no change & & & & & Likely benign / Uncertain significance \\
\hline
\end{tabular}
\caption{Germline genetic variants in \textit{BUB1} and \textit{BUB3} identified in 544 CRC and/or polyposis families}

\textbf{a.} RefSeq GRCh37: BUB1 NM_004336, BUB3 NM_004725 \\
\textbf{b.} Polyphen 2: HumDiv / HumVar scores. \\
\textbf{c.} PhyloP score (values between -14 and +6): Sites predicted to be conserved are assigned positive scores. PhastCons score (values between 0 and 1): the closer the value is to 1, the more probable the nucleotide is conserved. \\
\textbf{d.} According to the standard guidelines for the interpretation of sequence variants (recommendations of the American College of Medical Genetics and Genomics and the Association for Molecular Pathology) [7]. \\
\textbf{e.} Interpret with caution. BUB1 and BUB3 have not yet been defined as genes with clinical value in hereditary cancer. \\
\textbf{Abbreviations:} 1000G, 1000 Genomes; bp, base pairs; ca., cancer; D, damaging or deleterious; ESP, NHLBI GO Exome Sequencing Project; ExAC, Exome Aggregation Consortium; GnomAD, Genome Aggregation Database (http://gnomad.broadinstitute.org); LCL, EBV-transformed lymphoblastoid cell line; N, neutral; n.a., not available; n.r., not reported; PPH2, polyphen 2; PrD, probably damaging; PsD, possibly damaging
\end{table}
lymphoblastoid cell lines (Fig. 2a-b). The c.1965-1G>A cells showed reduced BUB1 levels at the kinetochores, where the SAC is active, compared to controls (30% reduction; \( p < 0.0001 \)) (Fig. 2c-d).

**BUB1** c.2296G>A (p.E766K), located in a \( \beta \)-strand outside the protein kinase domain, was identified in three CRC-affected members of an Amsterdam-positive CRC family (Fig. 1). Computational analyses predicted a destabilizing effect on the protein (Table 1). However, the EBV-transformed lymphoblastoid cell line obtained from the proband did not show reduced BUB1 expression (Fig. 2a) or reduced BUB1 levels at the kinetochores (Fig. 2c-d) when compared to controls. Assessment of chromosome segregation errors could not be performed.

**BUB1** c.2473C>T (p.P825S) (rs748392521, MAF ExAC: 0.004%), which affects a highly conserved amino acid (considering 13 species) and is located in the catalytic kinase domain of the protein, was identified in an individual diagnosed with CRC at age 44, with no immediate relatives affected with cancer but with a cousin diagnosed with CRC at age 44 whose mutation status could not be assessed (Fig. 1). The variant was predicted to affect the function and structure of the protein (Table 1, Fig. 2e and f). Sample unavailability prevented us from carrying out the specific functional studies in the patient-derived lymphoblastoid cell line.

Finally, **BUB3** c.77C>T (p.T26I) was identified in patient diagnosed with prostate cancer at age 70 and two synchronous CRCs and 22 adenomatous polyps at age 73, belonging to a family fulfilling the Amsterdam I criteria. The variant, located in the WD40 repeat 1 of a seven-bladed beta-propeller fold, was predicted to be functionally deleterious and destabilizing (Table 1). The location of the mutated residue together with the previously reported **BUB3** CRC-predisposing mutations is shown in Fig. 2e-f. Co-segregation results in other cancer-affected family members did not support a causal role of the variant in the aggregation of cancer in the

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**Fig. 1** Pedigrees of the families with **BUB1** and **BUB3** mutations. Filled symbol, cancer. Ages at information gathering or at death (†), when available, are indicated on the top-right corner, and ages at cancer diagnosis, between brackets after tumor type. Abbreviations: AP, adenomatous polyp; HP, hyperplastic polyp; JP, juvenile polyp; ca, cancer; CRC, colorectal cancer; PTC, papillary thyroid cancer; N.C., normal colonoscopy; MUT, mutation carrier; WT (wildtype); non-carrier of the mutation identified in the family.
Fig. 2 (See legend on next page.)
family (Fig. 1). The in vitro functional studies could not be performed due to the unsuccessful transformation of the patient’s lymphocytes.

Cytogenetic analysis of non-transformed lymphocytes obtained from BUB1 c.1965-1G>A, BUB1 c.2296G>A (p.E766K), BUB3 c.777C>T (p.T26I) mutation carriers and from unrelated controls, did not show differences in the number of metaphases with chromosome number alterations. Notably, complex chromosomal translocations were identified in one of 41 metaphases of the BUB1 c.1965-1G>A carrier, while no chromosomal translocations were detected in any of the 91 control metaphases analyzed (Additional file 1: Table S2).

No reminiscent traits of the mosaic variegated aneuploidy syndrome or variegated aneuploidy in lymphocytes were found in any of the studied carriers, not even in the carrier of BUB1 c.1965-1G>A (p.S655Rfs*32). However, the EBV-transformed lymphocytes from the latter revealed chromosome segregation errors, which may lead to aneuploidy in the next cell cycle. It is unclear what the cell fate of the missegregated cells is, as the subsequent chromosomal instability might be detrimental. De Voer et al. identified mosaic aneuploidy in all three BUB1/BUB3 mutation carriers they studied, while only two of the three carriers showed dysmorphic features [2]. On the other hand, no aneuploidies were observed in a 54 year-old Dupuytren patient without family history of CRC and a 1.7 Mb deletion of chromosome 2q13, which includes BUB1 [5]. These findings suggest that BUB1/B3 monoallelic mutations may or not cause mosaic aneuploidy and/or phenotypic affection.

The role of BUB1 and BUB3 in familiar cancer has been topic of debate in the last years. Recently, Broderick et al. scrutinized the exomes of 863 familial/early-onset CRC cases and 1604 cancer-free controls in order to validate the proposed hereditary CRC genes, including BUB1 and BUB3 [4]. Neither the herein identified variants nor the ones identified by De Voer et al. [2] were detected in the exome study. While only one novel/rare missense variant predicted to be deleterious (none stop-gain, frameshift or splice-site variants) was identified in BUB1 in the 863 cases (0.11%), and none in BUB3, several (4 in BUB1 (0.25%) and 2 in BUB3 (0.12%)) novel/rare disruptive variants were identified in controls. Of note, the frequency of disruptive (stop-gain, frameshift and splice acceptor/donor) mutations in controls identified by Broderick et al. is remarkably higher than the frequency of disruptive mutations annotated in large population-based browsers (BUB1: ExAC, 0.065% (40 carriers in 60,703 individuals); GnomAD, 0.063% (88/138,044). BUB3: ExAC, 0.006% (4/59,569); GnomAD, 0.008% (11/123,084)) [4]. Taking into account the two largest series analyzed ([4] and present study), 0.14% (2/1392; 1/863 and 1/529, respectively) of familial and/or early-onset CRC cases would be explained by functionally relevant germline mutations in BUB1 (0% in BUB3), compared to a population frequency of 0.063–0.065%. Nevertheless, despite the demonstrated functional effect of several BUB1/BUB3 variants, their causal implication in colorectal carcinogenesis, either alone or in combination with other mutations/variants in other genes, is yet to be proven.

To date, 11 CRC families and 1 pancreatic ductal adenocarcinoma family with different novel/rare germline mutations in BUB1 or BUB3 have been reported (Additional file 1: Table S3; Fig. 2e-f) [2, 4, 6]. Of the 12 variants, 7 were proven deleterious based on functional experimental evidence and/or on their splice-site or frameshift nature, one did not show any effect, and the remaining 4 missense variants were not subjected to functional studies. Six of the 8 mutation carriers (7 families) in whom functionally relevant mutations have been identified were diagnosed with CRC at young ages (range: 29–40 years), one of them together with the presence of 25 adenomas. The increased risk to other tumor types, such as lung cancer, remains uncertain until additional functionally relevant mutation carriers with the disease are identified.

Based on the evidence gathered to date, we conclude that the paucity of functionally-relevant cancer-predisposing mutations in BUB1 and BUB3 do not support the need for germline genetic testing of these genes in familial CRC for diagnostic purposes.
Additional file

Additional file 1: Methods and Supplementary information (DOC 291 kb)

Abbreviations

a.a.: amino acid; CRC: colorectal cancer; EBV: Epstein Barr virus; ExAC: Exome Aggregation Consortium (http://exac.broadinstitute.org/); GnomAD: Genome Aggregation Database (http://gnomad.broadinstitute.org/); LOH: loss of heterozygosity; MAF: minor allele frequency; SAC: spindle assembly checkpoint; SEM: standard error mean.

Funding

This work was supported by the Spanish Ministry of Economy and Competitiveness, co-funded by FEDER funds – a way to build Europe – (grants SAF2016-80888-R to LValle, SAF2015-68016-R to GC and MP, and SAF2013-45836-R to XSP); Carlos III National Health Institute (PI16/00563 to CL and CIBERONC); the Government of Catalonia [Pla estratègic de recerca i innovació en salut (PERS) and 2014SGR338]; the Scientific Foundation Asociación Española Contra el Cáncer, and EU COST Action BM1206. PM holds a Juan de la Cierva postdoctoral fellowship from the Spanish Ministry of Economy and Competitiveness, and RMdV, a Dutch Cancer Society (KWF) Fellowship (KUN14-6666).

Availability of data and materials

Not applicable

Authors’ contributions

LValle supervised the study. LValle, PM, RMdV, XSP and GC contributed to the study concept and design. PM, RMdV, RO-S, SR-P, TP, FS, GA, RV-M, AB, LVreede, ME, AV and LValle performed experiments and/or acquired data. MP, MN, SI, SG, JB, CL, MU and GC contributed to the acquisition of samples and clinical data. PM, RMdV, RO-S, SR-P, TP, RV-M, AB, LVreede, AV, GJPLK, XSP, GC and LValle contributed to the analysis of interpretation of data. LValle, RMdV and PM drafted the manuscript. All authors critically reviewed the manuscript for important intellectual content and approved its final version.

Ethics approval and consent to participate

Informed consent was obtained from all subjects and the study received the Ethics approval and consent to participate script for important intellectual content and approved its final version.

RdV and PM drafted the manuscript. All authors critically reviewed the manuscript for important intellectual content and approved its final version.

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