Research Article

An Integrative Approach to Understand the Climatic-Hydrological Process: A Case Study of Yarkand River, Northwest China

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Received 5 February 2013; Accepted 8 April 2013

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Taking the Yarkand River as an example, this paper conducted an integrative approach combining the Durbin-Watson statistic test (DWST), multiple linear regression (MLR), wavelet analysis (WA), coefficient of determination (CD), and Akaike information criterion (AIC) to analyze the climatic-hydrological process of inland river, Northwest China from a multitime scale perspective. The main findings are as follows. (1) The hydrologic and climatic variables, that is, annual runoff (AR), annual average temperature (AAT) and annual precipitation (AP), are stochastic and, no significant autocorrelation. (2) The variation patterns of runoff, temperature, and precipitation were scale dependent in time. AR, AAT, and AP basically present linear trend at 16-year and 32-year scales, but they show nonlinear fluctuations at 2-year and 4-year scales. (3) The relationship between AR with AAT and AP was simulated by the multiple linear regression equation (MLRE) based on wavelet analysis at each timescale. But the simulated effect at a larger time scale is better than that at a smaller time scale.

1. Introduction

The hydrological response to climate change is an important science issue. To well understand this issue, the coupled system of climatic-hydrological process should be thoroughly studied at different spatial and temporal scales.

Theoretically, a process can be evaluated to determine if they comprise an ordered, deterministic system, an unordered, random system, or a chaotic, dynamic system, and whether change patterns of periodicity or quasi-periodicity exist. However, it is difficult to achieve a thorough understanding of the mechanism of climatic-hydrological processes [1]. To date, these questions have not received satisfactory answers [2].

Case studies in different countries and regions have suggested that the climatic-hydrological process is a complex system [3–6]. Therefore, more studies are required to explore the mechanism of climatic-hydrological process from different perspectives and using different methods. As a result, the climatic-hydrological process has been explored using various analytical methods, including the fractal theory [7–9], self-organized criticality [10], wavelets analysis [11–13], and artificial neural networks [14, 15]. Although there were several effective methods available to reveal the variations in climatic-hydrological process [16–19], it has proven difficult to achieve a thorough understanding of the mechanism of climatic-hydrological process in inland river [2].

In the last 20 years, studies have been conducted to evaluate climate change and hydrological and ecological processes in the arid and semi-arid regions in northwestern China [18–25]. Some studies have indicated that there was a visible transition in the hydroclimatic processes in the past half-century [24, 26–28]. This transition was characterized by a continual increase in temperature and precipitation, added river runoff volumes, increased lake water surface elevation and area, and elevated groundwater level. This transition may present a series of questions if these changes represent a localized transition to a warm and wet climate type in response to global warming, or merely reflect a centennial periodicity in hydrological dynamics. To date, these questions
have not received satisfactory answers; therefore, more studies are required to explore the nonlinear characteristics of hydroclimatic process from different perspectives and using different methods [2, 15, 29].

Though some studies have shown that the inland river in northwest China (NW China), such as the Yarkand River, is mainly recharged by snowmelt, the main climatic factors affecting the streamflow are temperature and precipitation [20, 30, 31]. But due to the complexity of hydroclimatic system, it is difficult to understand the mechanism of climatic-hydrological process thoroughly [2]. For the above reasons, this paper did not involve the complex physical mechanisms but conducted an integrative approach combining statistics and wavelet analysis to understand the variation of annual runoff and its response to climatic factors at different time scales.

2. Materials and Methods

2.1. Study Area and Data. The Yarkand River is a typical representative of inland rivers, which is located in the Tarim River Basin of Xinjiang Uygur Autonomous Region, northwestern China (Figure 1), with a length of 1097 km. The Yarkand River (35°40′ ~ 40°31′N, 74°28′ ~ 80°54′E) has a total basin area of 9.89 × 10^4 km², including 6.08 × 10^4 km² as the mountain area, which accounts for 61.5%, and 3.81 × 10^4 km² as the plain area, which takes up 38.5% [31]. The main stream of Yarkand River originates from Karakoram Pass in the north slope of Karakoram Mountain, which is full of towering peaks and glaciers, as well as the extremely rare precipitation in plain. Due to the special geographical conditions, the accumulation of ice and snow in high mountain is the only supply source for runoff. Therefore, the Yarkand River is a typical ice–snow supply river, in which the multiyear average runoff in Kaqun hydrometric station consists of 64.0% from mean volume of glacial ablation, 13.4% from rain and snow supply, and 22.6% from groundwater supply, respectively [32, 33].

For the Yarkand River is an inland river, no water recharges in the plain area, and its stream flow mainly comes from mountainous area, that is, the Pamir Mountains. In other words, the streamflow of the Yarkand River is mainly fed by glacier and snowmelt in the Pamir Mountains. Therefore, the climatic factors, especially temperature and precipitation, directly affect the annual changes in the runoff. So we use the runoff as well as temperature and precipitation data to analyze the climatic-hydrological process in Yarkand River. The runoff data were from the Kaqun hydrometric station, and temperature and precipitation data were from Tash Kurgan meteorological station. The two stations are located in the source areas of the river; the amount of water used by humans is minimal compared to the total discharge. Therefore, the observed hydrological and meteorological records reflect the natural conditions.

Long-term climate changes can alter the runoff production pattern, the timing of hydrological events, and the frequency and severity of floods, particularly in arid or semiarid regions. Therefore, a small change in precipitation and temperature may result in marked changes in runoff. To investigate the runoff and its related climatic effect, this study used the time series data of annual runoff (AR), annual average temperature (AAT), and annual precipitation (AP) from 1957 to 2008.

2.2. Methods. In order to study the variations of streamflow with regional climate change at different time scales, this paper conducted a comprehensive method including the Durbin-Watson statistic test (DWST), multiple linear regression (MLR), wavelet analysis (WA), coefficient of determination (CD), and Akaike information criterion (AIC). Firstly, the DWST was used to explore the stochastic characteristic of hydrologic and climatic variables. Secondly, the WA was used to reveal the variation patterns of annual runoff (AR) and its related climatic factors at different time scales. Thirdly, the relationship between AR with AAT and AP was simulated by MLR based on WA at different time scales. Finally, the estimated effect of multiple linear regression equation (MLRE) at each time scale was tested by CD and AIC.

2.2.1. Durbin-Watson Statistic Test. The Durbin-Watson statistic is a test statistic used to detect the presence of autocorrelation (a relationship between values separated from each other by a given time lag) in the residuals (prediction errors) from a regression analysis [34, 35].

For a variable y, the Durbin-Watson statistic is

$$DW = \frac{\sum_{i=2}^{n} (e_i - e_{i-1})^2}{\sum_{i=1}^{n} e_i^2},$$

where $e_i = y_i - \bar{y}_i$ and $y_i$ and $\bar{y}_i$ are, respectively, the observed and predicted values of the response variable for individual i; n is the number of observations.

To test for positive autocorrelation at significance α, the test statistic DW is compared to lower and upper critical values ($d_L$ and $d_U$): if $DW < d_L$, there is statistical evidence that the error terms are positively autocorrelated; if $DW > d_U$, there is no statistical evidence that the error terms are positively autocorrelated; if $d_L < DW < d_U$, the test is inconclusive.

To test for negative autocorrelation at significance α, the test statistic $4 - DW$ is compared to lower and upper critical values ($d_L$ and $d_U$): if $(4 - DW) < d_L$, there is statistical evidence that the error terms are negatively autocorrelated; if $(4 - DW) > d_U$, there is no statistical evidence that the error terms are negatively autocorrelated; if $d_L < (4 - DW) < d_U$, the test is inconclusive.

Using the Durbin-Watson statistic, we checked the autocorrelation of hydrological and climatic variables, such as temperature, precipitation, and runoff.

2.2.2. Wavelet Analysis. Wavelet transformation has been shown to be a powerful technique for characterization of the frequency, intensity, time position, and duration of variations in climate and hydrological time series [11, 12, 16, 36]. Wavelet analysis can also reveal the localized time and frequency information without requiring the time series to
be stationary, as required by the Fourier transform and other spectral methods [37].

A continuous wavelet function $\Psi(\eta)$ that depends on a nondimensional time parameter $\eta$ can be written as [36]

$$\Psi(\eta) = \Psi(a, b) = |a|^{-1/2} \Psi\left(\frac{t - b}{a}\right),$$

(2)

where $t$ denotes time, $a$ is the scale parameter, and $b$ is the translation parameter. $\Psi(\eta)$ must have a zero mean and be localized in both time and Fourier space [38]. The continuous wavelet transform (CWT) of a discrete signal, $x(t)$, such as the time series of runoff, temperature, or precipitation, is expressed by the convolution of $x(t)$ with a scaled and translated $\Psi(\eta)$,

$$W_x(a, b) = |a|^{-1/2} \int_{-\infty}^{\infty} x(t) \Psi^*\left(\frac{t - b}{a}\right) dt,$$

(3)

where $*$ indicates the complex conjugate, and $W_x(a, b)$ denotes the wavelet coefficient. Thus, the concept of frequency is replaced by that of scale, which can characterize the variation in the signal, $x(t)$, at a given time scale.

Selecting a proper wavelet function is a prerequisite for time series analysis [39, 40]. The actual criteria for wavelet selection include self-similarity, compactness, and smoothness [41]. Because the symlets are nearly symmetrical, orthogonal, and biorthogonal wavelets proposed by Daubechies as modifications to the db family [41], this study chose the symlets 8 to analyze the variation patterns of runoff and its related climatic factors in the computing environment of MATLAB.

For a time series, $x(t)$, it can be analyzed at multiple scales through wavelet decomposition on the basis of the discrete wavelet transform (DWT). The DWT is defined taking discrete values of $a$ and $b$. The full DWT for signal, $x(t)$, can be represented as [42]

$$x(t) = \sum_{k} \mu_{j,k} \Psi_{j,k} (t) + \sum_{j=1}^{j_0} \sum_{k} \omega_{j,k} \Psi_{j,k} (t),$$

(4)

where $\phi_{j,k} (t)$ and $\psi_{j,k} (t)$ are the flexing and parallel shift of the basic scaling function, $\phi(t)$, and the mother wavelet function, $\psi(t)$, and $\mu_{j,k}$, $j < j_0$, and $\omega_{j,k}$ are the scaling coefficients and the wavelet coefficients, respectively. Generally, scales and positions are based on powers of 2, which is the dyadic DWT.
Once a mother wavelet is selected, the wavelet transform can be used to decompose a signal according to scale, allowing separation of the fine-scale behavior (detail) from the large-scale behavior (approximation) of the signal [43]. The relationship between scale and signal behavior is designated as follows: low scale corresponds to compressed wavelet as well as rapidly changing details, namely, high frequency; whereas high scale corresponds to stretched wavelet and slowly changing coarse features, namely, low frequency. Signal decomposition is typically conducted in an iterative fashion using a series of scales such as $a = 2, 4, 8, \ldots, 2^L$, with successive approximations being split in turn so that one signal is broken down into many lower resolution components.

The wavelet decomposition and reconstruction were used to approximate the variation patterns of AR and its related factors over the entire study period at the selected different time scales.

### 2.2.3. Multiple Linear Regression

For understanding the relationship between annual runoff with its related climatic factors at different time scales, we employed multiple linear regression (MLR) based on wavelet analysis. This method fits multiple linear regression equation (MLRE) between AR with AAT and AP by using multiple linear regression (MLR) based on the results of wavelet approximation [44].

The multiple linear regression model is

$$y = a_0 + a_1 x_1 + a_2 x_2 + \cdots + a_k x_k,$$

where $y$ is dependent variable, $x_i$ is the independent variables; $a_i$ is the regression coefficient, which is generally calculated by method of least squares [45]. In this study, the dependent variable is the annual runoff (AR) and the independent variables are related climatic factors, such as the annual average temperature (AAT) and annual precipitation (AP).

### 2.2.4. Coefficient of Determination and Akaike Information Criterion

In order to identify the uncertainty of the estimated model for a given time scale, the coefficient of determination, also known as the goodness of fit, was calculated as follows:

$$R^2 = 1 - \frac{\text{RSS}}{\text{TSS}} = 1 - \frac{\sum_{i=1}^{n} (y_i - \hat{y}_i)^2}{\sum_{i=1}^{n} (y_i - \bar{y})^2},$$

where $R^2$ is the coefficient of determination; $\hat{y}_i$ and $y_i$ are the simulated value and actual data of runoff, respectively; $\bar{y}$ is the mean of $y_i$ ($i = 1, 2, \ldots, n$); $\text{RSS} = \sum_{i=1}^{n} (y_i - \hat{y}_i)^2$ is the residual sum of squares; $\text{TSS} = \sum_{i=1}^{n} (y_i - \bar{y})^2$ is the total sum of squares.

The coefficient of determination is a measure of how well the simulated results represent the actual data. A bigger $R^2$ indicates a higher certainty and lower uncertainty of the estimates [45].

To compare the relative goodness between the ANN and multiple linear regression (MLR) fit for a given timescale, we also used the measure of Akaike information criterion (AIC) [46]. The formula of AIC is as follows:

$$\text{AIC} = 2k + n \ln \left( \frac{\text{RSS}}{n} \right),$$

where $k$ is the number of parameters estimated in the model; $n$ is the number of samples; RSS is the same as in formula (6). A smaller AIC indicates a better model.

For small sample sizes (i.e., $n/K \leq 40$), the second-order Akaike information criterion (AIC$_C$) should be used instead:

$$\text{AIC}_C = \text{AIC} + \frac{2k(k + 1)}{n - k - 1},$$

where $n$ is the sample size. As the sample size increases, the last term of the AIC$_C$ approaches zero, and the AIC$_C$ tends to yield the same conclusions as the AIC [47].

### 3. Results

#### 3.1. Check for Variable’s Autocorrelation

The premise of statistics indicates that models imply an assumption; that is, variables are stochastic and no significant autocorrelation is present. Is it really? This can be demonstrated by statistical check for variable’s autocorrelation (Table 1).

For (1*), (2*), and (3*) in Table 1, their degree of freedom is, respectively, $k$ equals 2 and $N$ (i.e., $n-k-1$) equals 51. Upper and lower critical values of the Durbin-Watson Statistic (DW) are $d_L$ equals 1.509 and $d_U$ equals 1.58 when significance level ($\alpha$) equals 0.01. Because the values of DW are between $d_L$ and $4-d_U$, it is obvious that annual runoff (AR), annual average temperature (ATT), and annual precipitation (AP) indicate no autocorrelation.

For (4*) in Table 1, its degree of freedom is, respectively, $k$ equals 4 and $N$ equals 51. Upper and lower critical values of DW are $d_L$ equals 1.25350 and $d_U$ equals 1.49384 when significance level ($\alpha$) equals 0.01. For (5*), its degree of freedom is, respectively, $k$ equals 6 and $N$ equals 51. Upper and lower critical values of DW are $d_L$ equals 1.17372 and $d_U$ equals 1.58811 when significance level ($\alpha$) equals 0.01. Thereby they indicate no autocorrelation either.

In fact, it can be determined that variables and model reveal non-autocorrelation because the value of DW is close to 2 for each regression equation shown in Table 1. Therefore, the assumption of our model is logical.

#### 3.2. Variation Patterns of Climatic-Hydrological Process at Different Time Scales

Our previous study indicated that [44] the annual average temperature and annual precipitation are the most important factors that related with the annual runoff. The result was also supported by the other studies for the headwaters of the Tarim River Basin [20, 30–33].

The raw data of AR, AAT, and AP showed fluctuation. It is difficult to identify any patterns simply based on the raw data. In order to show the scale-dependent with time for the climatic-hydrological process of the Yarkand River, the wavelet analysis was used. The nonlinear variation for the annual runoff process and the related climate factors were...
Table 1: Statistical check for variable’s autocorrelation.

| Dependent variable | Independent variable | Function | $R^2$ | $F$ | DW |
|-------------------|----------------------|----------|-------|-----|-----|
| $AR_t$            | $AR_{t-1}$           | $AR_t = 76.852 - 0.156AR_{t-1}$ | (1)* 0.024 | 1.125 | 1.981 |
| $AAT_t$           | $AAT_{t-1}$          | $AAT_t = 2.526 - 0.290AAT_{t-1}$ | (2)* 0.093 | 5.014 | 1.835 |
| $AP_t$            | $AP_{t-1}$           | $AP_t = 81.338 - 0.118AP_{t-1}$ | (3)* 0.013 | 0.659 | 1.851 |
| $AR_t$            | $AAT_t, AAT_{t-1}, AP_t, AR_{t-1}$ | $AR_t = 66.677 + 3.191AAT_t - 0.025AAT_{t-1} - 0.145AR_{t-1}$ | (4)* 0.091 | 1.572 | 2.023 |
| $AR_t$            | $AAT_t, AP_t, AAT_{t-1}, AP_{t-1}$ | $AR_t = 62.516 + 2.051AAT_t - 0.048AP_t - 0.186AR_{t-1} + 2.702AAT_{t-1} + 0.042AP_{t-1}$ | (5)* 0.123 | 1.266 | 1.931 |

Notes. AR: annual runoff, AAT: annual average temperature, and AP: annual precipitation; the subscripts, $t$ and $t-1$, represent time.

Figure 2: Variation patterns at different time scales of (a) annual runoff, (b) annual average temperature, and (c) annual precipitation.

3.3. Relationship between Streamflow and Climate Factors.

Based on the raw data of AAT, AP, and AR, multiple linear regression equation (MLRE) was developed as follows:

$$AR = 3.5AAT - 0.037AP + 56.75, \quad R^2 = 0.1983, \quad F = 2.517, \quad \alpha = 0.1.$$  \hspace{1cm} (9)

Equation (9) reveals a positive correlation between the annual runoff and the annual average temperature. These results are readily supported by the fact that the majority of streamflow comes from glacial melt and snowmelt, which have been occurring at increased rates as the temperature increases. These results have been confirmed by other studies [48]. However, (9) also indicates the existence of a weak, negative correlation between the annual runoff and the annual precipitation, which does not seem reasonable. Indeed, this finding conflicts with the results of other studies, which have suggested that both the temperature and precipitation series in the Tarim Basin have been increasing in a pattern similar to that of annual runoff over the past 50 years [20, 30].

analyzed at multiple-year scales through wavelet decomposition on the basis of the discrete wavelet transform (DWT).

The wavelet decomposition for the time series of annual runoff at five time scales resulted in five variation patterns (Figure 2(a)). The S1 curve retains a large amount of residual from the raw data, and drastic fluctuations exist in the period from 1957 to 2008. These characteristics indicate that although the runoff varied greatly throughout the study period, there was a hidden increasing trend. The S2 curve still retains a considerable amount of residual, as indicated by the presence of 4 peaks and 4 valleys. However, the S2 curve is much smoother than the S1 curve, which allows the hidden increasing trend to be more apparent. The S3 curve retains much less residual, as indicated by the presence of 2 peaks and 2 valleys. Compared to S2, the increase in runoff over time is more apparent in S3. Finally, the S5 curve presents an ascending tendency, whereas the increasing trend is obvious in the S4 curve.

Accordingly, Figures 2(b) and 2(c) provide us with a method for comparing the variation patterns of annual average temperature and annual precipitation at different time scales. The wavelet decomposition for the time series at five time scales resulted in five variation patterns, respectively. These five time scales are also designated as S1 to S5. The curves present an ascending tendency despite drastic fluctuations in S1 and S2. Then, the curves are getting much smoother and the increasing trend becomes even more obvious as the scale level increases.

The upper analysis showed that the nonlinear variations of runoff, temperature, and precipitation of the Yarkand River basin were dependent on time scales. The annual runoff, annual average temperature and annual precipitation at five time scales resulted in five patterns of nonlinear variations, respectively.
Additionally, the coefficient of determination of (9) is as low as 0.093. Furthermore, the average absolute error and average relative error for predicted results is as high as $9.014 \times 10^8$ m$^3$ and 14.11%, respectively. All this means that the regression (9) is not authentic. What is the reason for this? It is possible that this inconsistency is caused by randomicity in the raw time-series data, which should be filtered out via wavelet decomposition based on the discrete wavelet transform [17, 18].

To understand the response of the runoff to regional climatic change at different time scales, based on the results of wavelet decomposition (Figure 2), multiple linear regression equation (MLRE) at each time scale was fitted for describing the relationship among annual runoff, annual average temperature, and annual precipitation (Table 2).

### 3.4. Comparison of the Estimated Results at Different Time Scales

Though all MLREs at each time scale in Table 2 got across the statistical test at the significant level of 0.01 or 0.001, the predicted error of MLRE at the chosen time scales is different. Figure 3 shows the comparison for the simulated value by MLREs and original data of AR at different time scales. The predicted error of MLRE at the time scale of S1 and S2 (i.e., 2-year and 4-year scales) is large, that at the time scale of S3 (i.e., 8-year scale) is also fairish, and the predicted error at the time scale of S4 and S5 (i.e., 4-year and 5-year scales) appears. These results show that MLRE only can well fit the relationship between runoff and climate factors at large time scale such as at 16-year and 32-year scales.

By comparing the $R^2$ and AIC value in Table 3, we can know the estimated effect (good or bad) of models at different time scales. Table 3 tells us that the $R^2$ for MLRE at the time scale of S1 and S2 (i.e., 2-year and 4-year scales) is lower (only 0.361 and 0.416, resp.) and that at the time scale of S3 (i.e., 8-year scales) is higher, reaching 0.894. Only the MLRE at the time scale of S4 and S5 (i.e., 16-year and 32-year scales) has the high coefficient of determination, which is as high as 0.975 and 0.996, respectively.

The lower AIC value indicates better model, which tells us that the MLRE at time scale of S5 is the best, that at time scale of S4 is better, that at time scale of S3 is moderate, that at time scale of S2 is the penult, and that at time scale of S1 is the worst.

Overall, the relationship between AR with AAT and AP was simulated by the multiple linear regression (MLR) based on wavelet analysis at different time scales, but the simulated effect at a larger time scale is better than that at a smaller time scale.

### 4. Discussion and Conclusions

Many studies indicated that the climatic-hydrological process is a complex system with nonlinearity, but it is difficult to understand the mechanism of climatic-hydrological process thoroughly [2]. Our results showed the following fact: the simulated effect at large time scale is better than that at small time scale, and the estimated precision at large time scale is higher than that at small time scale. What are the causes for this? It is difficult to thoroughly answer the question because of the nonlinear complicated climatic-hydrological process, which is essentially difficult to precisely predict [15].

Our study revealed that the climatic-hydrological process at large time scale (e.g., 16-year or 32-year scales) basically presented a linear process, but that at smaller time scale (e.g., 2-year or 4-year scales) is essentially nonlinear process with complicated causations. Because the time series of runoff are essentially monotonic trends related to long-term climatic changes at large time scale (e.g., 16-year and 32-year scales), the estimated precision is much higher. Otherwise,
due to the difficulty to accurately predict nonlinear climatic-hydrological process at small time scales (e.g., 2-year or 4-year scale), the estimated precision and simulated effect are not satisfactory.

Nevertheless, the comprehensive method conducted by this paper provides a method to understand the climatic-hydrological process in the Yarkand River from the perspective of multiscale, which may be used to explore the climatic-hydrological process in other inland rivers of northwest China.

The main conclusions of this work can be summarized as follows.

(1) The hydrologic and climatic variables, that is, annual runoff (AR), annual average temperature (AAT), and annual precipitation (AP) are stochastic and show no significant autocorrelation.

(2) The variation pattern of runoff, temperature, and precipitation was scale dependent with time. The annual runoff (AR), annual average temperature (AAT), and annual precipitation (AP) basically present linear trends at 16-year and 32-year scales, but they show nonlinear fluctuations at 2-year, 4-year, and 8-year scales.

(3) The relationship between AR with AAT and AP was simulated by the multiple linear regression equation (MLRE) based on wavelet analysis at each time scale. The results showed that the AR is basically monotonic trend related to long-term climatic changes at a larger time scale (e.g., 16-year or 32-year scales), and the estimated precision is much higher. But due to an essentially nonlinear climatic-hydrological process at a smaller time scale (e.g., 2-year or 4-year scales), the estimated precision is lower than that at a larger time scale.

**Acknowledgments**

This work was supported by the Director Fund of the Key Lab of GIScience of the Education Ministry PRC. The authors are grateful to the editor and referees whose comments helped us in improving the article's quality.

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