Effectiveness of an mRNA vaccine booster dose against SARS-CoV-2 infection and severe COVID-19 in persons aged ≥60 years and other high-risk groups during predominant circulation of the delta variant in Italy, 19 July to 12 December 2021

Massimo Fabiani, Maria Puopolo, Antonietta Filia, Chiara Sacco, Alberto Mateo-Urdiales, Stefania Spila Alegiani, Martina Del Manso, Fortunato D’Ancona, Fenicia Vescio, Marco Bressi, Daniele Petrone, Matteo Spuri, Maria Cristina Roti, Marco Massari, Roberto Da Cas, Cristina Morciano, Paola Stefanelli, Antonino Bella, Marco Talloni, Valeria Proietti, Andrea Siddi, Serena Battilomo, Anna Teresa Palamara, Patrizia Popoli, Silvio Brusaferro, Giovanni Rezza, Flavia Riccardo, Francesca Menotti Ippolito and Patrizio Pezzotti

Department of Infectious Diseases, Istituto Superiore di Sanità, Rome, Italy; Department of Neuroscience, Istituto Superiore di Sanità, Rome, Italy; National Centre for Drug Research and Evaluation, Istituto Superiore di Sanità, Rome, Italy; Department of Informatics, Istituto Superiore di Sanità, Rome, Italy; Directorate of Digitalisation, Health Information System and Statistics, Italian Ministry of Health, Rome, Italy; General Directorate of Health Prevention, Italian Ministry of Health, Rome, Italy; Office of the President, Istituto Superiore di Sanità, Rome, Italy

ABSTRACT

Background: Consolidated information on the effectiveness of COVID-19 booster vaccination in Europe are scarce.

Research design and methods: We assessed the effectiveness of a booster dose of an mRNA vaccine against any SARS-CoV-2 infection (symptomatic or asymptomatic) and severe COVID-19 (hospitalization or death) after over two months from administration among priority target groups (n = 18,524,568) during predominant circulation of the Delta variant in Italy (July–December 2021).

Results: Vaccine effectiveness (VE) against SARS-CoV-2 infection and, to a lesser extent, against severe COVID-19, among people ≥60 years and other high-risk groups (i.e. healthcare workers, residents in long-term-care facilities, and persons with comorbidities or immunocompromised), peaked in the time-interval 3–13 weeks (VE against infection = 67.2%, 95% confidence interval (CI): 62.5–71.3; VE against severe disease = 89.5%, 95% CI: 86.1–92.0) and then declined, waning 26 weeks after full primary vaccination (VE against infection = 12.2%, 95% CI: 4.7–26.4; VE against severe disease = 65.3%, 95% CI: 50.3–75.8). After 3–10 weeks from the administration of a booster dose, VE against infection and severe disease increased to 76.1% (95% CI: 70.4–80.7) and 93.0% (95% CI: 90.2–95.0), respectively.

Conclusions: These results support the ongoing vaccination campaign in Italy, where the administration of a booster dose four months after completion of primary vaccination is recommended.

1. Introduction

In Italy, the COVID-19 vaccination campaign started on 27 December 2020 with priority given to health-care workers (HCW), subjects at increased risk of severe disease (i.e. elderly or other high-risk populations), and essential non-healthcare workers (e.g. school personnel) [1]. The campaign was subsequently extended to the wider eligible population according to an age-based priority system. The primary vaccination series was completed using one, or a combination of two (heterologous vaccination), of the four authorized vaccines in Italy as of December 2021 (i.e. BNT162b2, Pfizer-BioNTech, Mainz, Germany/New York, United States (US); mRNA-1273, Moderna, Cambridge, United States (US); ChAdOx1-S, Oxford-AstraZeneca, Cambridge, United Kingdom (UK); and Ad26.COV2-S, Janssen-Cilag International NV, Beerse, Belgium).

On 27 September 2021, irrespective of the type and numbers of COVID-19 vaccine doses received for primary vaccination, the Italian Ministry of Health recommended a booster dose of an mRNA vaccine at least six months after the completion of the primary vaccination series to persons aged 60 years or above and other high-risk priority groups [1]. The recommendation was subsequently extended on 1 December to all persons aged 18–59 years and on 24 December 2021 to those aged 16–17 years. Finally, on 5 January 2022, it was extended to persons aged 12–15 years. On 24 November 2021, the recommended time-interval for administration of a booster dose was reduced from six to five months after completion of the primary series or diagnosis of infection, whichever came later. The interval was further reduced to four months on 10 January 2022.
In general, although some preprints and peer-reviewed articles have been made available, there is a lack of consolidated information on the effectiveness of COVID-19 booster vaccination in Europe [2–5]. This study aims to assess the effectiveness of a booster dose of an mRNA COVID-19 vaccine after over two months from administration among priority target groups at a time in which the Delta variant (B.1.617.2) was dominant in Italy (Delta phase: 19 July to 12 December 2021 [6]).

2. Methods

2.1. Data sources and selection of the study population

We linked data on vaccinated persons from the Italian National Vaccination Registry (held by the Ministry of Health) with data on notified laboratory-confirmed cases of SARS-CoV-2 infections from the National COVID-19 Integrated Surveillance System (coordinated by the Italian National Institute of Health), by using the individual tax code as key variable [7,8]. The National Vaccination registry includes information on demographic, professional, and clinical characteristics, including those giving priority access to vaccination, for all people who received at least one dose of a COVID-19 vaccine in medically attended facilities. The registry is expected to report dates and vaccine brand for all vaccine administrations, given it was not possible to privately purchase and self-administer COVID-19 vaccines. The National COVID-19 Surveillance System collects data on all notified laboratory-confirmed cases of SARS-CoV-2 infection, including date of testing positive and clinical outcomes (e.g., hospitalization and death). The system reports data for all cases who were laboratory-confirmed in medically attended facilities (pharmacies and private/public health centers) and does not include data of people who self-tested positive through at-home testing.

Data were extracted from both sources on 12 January 2022. We selected all 19,946,920 records of people belonging to the first group targeted for a COVID-19 vaccine booster dose (i.e., people aged ≥60 years and other high-risk priority groups: HCWs, residents in long-term-care facilities (LTCF), and persons with comorbidities or immunocompromised) who had received the first dose of a COVID-19 vaccine before 29 November 2021, thus allowing for at least 14 days of follow-up prior to the end of the Delta phase (on 12 December 2021) to ascertain a possible diagnosis of SARS-CoV-2 infection (Figure 1). For the analysis of severe COVID-19 outcomes (i.e., SARS-CoV-2 infection with subsequent hospitalization or death within 28 days) we considered only persons vaccinated with a first dose before 15 November 2021. In addition to at least 14 days of follow-up to ascertain a possible diagnosis of SARS-CoV-2 infection, this allowed four weeks of observation time post infection to detect the development of severe disease or death, accounting for 17 days of possible notification delays (Figure 1).

Persons who died before 19 July 2021 (starting date of the Delta phase) and those with missing demographic information (n = 21,136; 0.11%) were excluded (Figure 2). We also excluded cases of SARS-CoV-2 infection who tested positive before 19 July 2021 or prior to receiving their first vaccination dose (n = 1,220,583; 6.1%), and those with missing or inconsistent dates of occurrence of clinical outcomes (n = 880; 0.004%). Finally, we excluded vaccinated persons with inconsistent information about their vaccination schedule (n = 179,753; 0.90%), thus leaving a total of 18,524,568 vaccinated persons available for the analysis.

This study, based on routinely collected data, was not submitted for approval to an ethical committee because the dissemination of COVID-19 surveillance data was authorized by the Italian Presidency of the Council of Ministers on 27 February 2020 (Ordinance no. 640).

2.2. Statistical analysis

We analyzed all notified cases of SARS-CoV-2 infection (symptomatic or asymptomatic) who were laboratory-confirmed through a PCR test (97.6%) or, since 15 January 2021, through an antigenic test (2.4%), as per the current European Center for Disease Prevention and Control (ECDC) laboratory criteria...
for case definition [9]. Of these, cases who were hospitalized or died in the four weeks following infection with SARS-CoV-2 due to COVID-19 related causes were classified as severe [10].

We split individual data into weekly time intervals after each dose administration and selected all records in the timeframe between 19 July and 12 December 2021, during which the Delta variant was dominant in Italy [6]. We then used multilevel negative-binomial regression models with robust variance estimator to estimate the incidence rate ratios (IRR) of SARS-CoV-2 infection and of severe COVID-19 at different time-intervals since vaccination using the time-interval 4–10 days after the first dose as reference (assuming it as a proxy of exposure for the unvaccinated population [11]). We considered the time-intervals right-truncated at 13 weeks (approximately 3 months), 18 weeks (approximately 4 months), and 26 weeks (approximately 6 months) after completion of primary vaccination series to reflect the cutoff times more frequently recommended for the administration of a booster dose of vaccine worldwide. Time of follow-up ended on the date of SARS-CoV-2 infection for persons who experienced the study events, while it ended on the estimated date of death (see Supplementary document 1 for details about the estimation method) or was censored on 12 December 2021 and on 28 November 2021 for those who, at those dates, were alive and without a diagnosis of SARS-CoV-2 infection and severe COVID-19, respectively. Time of exposure, measured in days, was included as offset in the models.

The models were adjusted to account for possible confounding due to sex, age group (16–24 years, five-year age-groups from 25–29 to 80–84 years, and ≥85 years), country of birth (Italian-born and foreign-born), vaccine received for the first dose, priority group (HCW, LTCF residents, persons with comorbidities, immunocompromised persons, other priority groups, and none), and regional weekly incidence in the general population. Geographical region of vaccination was included into the
3. Results

3.1. Demographic and clinical characteristics of the study population

The demographic and clinical characteristics of vaccinated persons included in the study are presented in Table 1.

We did not observe substantial differences in the distribution of first-dose vaccine brands by sex, country of birth, and geographical macroarea. In line with initial national vaccination policies, we observed a relatively higher utilization of the ChAdOx1-S Oxford-AstraZeneca vaccine in persons aged 60–79 years (n = 3,976,587; 36.0%), in persons who did not present any priority risk conditions (n = 3,618,792; 31.3%), and in those who were essential non-HCW workers (e.g., school personnel), persons living with individuals at increased risk of COVID-19, or with unspecified priority risk conditions (n = 350,452; 46.3%). As of 28 November 2021, 41,315 (0.22%) of the vaccinated persons included in the study had received a first vaccine dose within the previous 14 days, 265,563 (1.43%) were partially vaccinated (i.e., had received only a first vaccine dose by >14 days or a second dose by ≤14 days, possible only for vaccines with a two-dose primary schedule), 15,446,172 (83.4%) had completed the primary vaccination series (i.e., received a single-dose schedule or a second dose over 14 days before or a booster dose by ≤14 days), and 2,771,518 (15.0%) had completed primary vaccination and received a booster dose over 14 days before (93.6% with BNT162b2 Pfizer-BioNTech and 6.4% with mRNA-1273 Moderna).

3.2. Vaccine effectiveness against SARS-CoV-2 infection and severe COVID-19 over time since vaccination

We observed an initial peak in VE against SARS-CoV-2 infection during the first three months (weeks 3–13) after completion of the primary vaccination series (VE = 67%, 95% confidence interval (CI): 63 to 71), followed by a progressive decline to 51% (95% CI: 44 to 58) during the fourth month (weeks 14–18), and to 12% (95% CI: 5 to 26) more than six months later (>26 weeks), when the protection induced by vaccine was estimated to be no longer significant (p = 0.147) (Table 2). Two weeks after booster-dose administration (weeks 3–10), VE against SARS-CoV-2 infection significantly increased to 76% (95% CI: 70 to 81) (p < 0.001). A similar trend in VE was observed in persons ≥80 years of age

Table 1. Demographic and clinical characteristics of persons included in the study by type of vaccine received as first dose, Italy, 19 July to 28 November 2021 (n = 18,524,568).

| Vaccine/Group | n (%) | n (%) | n (%) | n (%) | n (%) | Total (n = 31,762,584) |
|---------------|-------|-------|-------|-------|-------|-----------------------|
| BNT162b2 | 12,264,712 (66.2) | 1,814,836 (9.8) | 4,185,148 (22.6) | 259,872 (1.4) | 18,524,568 (100.0) |
| mRNA-1273 | 6,824,715 (57.5) | 6,587,777 (9.5) | 1,958,399 (23.6) | 130,068 (1.6) | 8,294,301 (100.0) |
| ChAdOx1-S | 5,416,557 (65.3) | 789,277 (9.5) | 1,958,399 (23.6) | 130,068 (1.6) | 8,294,301 (100.0) |
| Ad26.COV2-S | 3,448,146 (65.3) | 522,833 (12.9) | 72,771 (1.8) | 8,707 (0.2) | 4,051,620 (100.0) |

HCW: healthcare workers; LTCF, long term care facility.

*aBNT162b2, BioNTech-Pfizer, Mainz, Germany/New York, United States (US).
*bmRNA-1273, Moderna, Cambridge, United States (US).
*cChAdOx1-S, Oxford-AstraZeneca, Cambridge, United Kingdom (UK).
*dAd26.COV2-S, Janssen-Cilag International NV, Beerse, Belgium.

€Eurostat nomenclature of Italian territorial units for statistics (NUTS-1).

Conditions defining comorbidities giving priority access to vaccination and immunocompromise are listed in the supplement document 2.

Including essential non-HCWs (e.g., school personnel) (n = 394,140; 21.9%), persons living with individuals at increased risk of severe COVID-19 (n = 154,308; 8.0%), and persons with risk exposure not specified (n = 207,864; 27.5%).
Table 2. Vaccine effectiveness against SARS-CoV-2 infection and severe COVID-10 over time since vaccination by age group and priority risk category, Italy, 19 July to 12 December 2021 (n = 18,524,568).

| Age Group | Any SARS-CoV-2 infection | Severe COVID-19 |
|-----------|---------------------------|-----------------|
|           | No. Cases | Incidence per 100,000 PD | Adjusted VE(%) (95% CI) | No. Cases | Incidence per 100,000 PD | Adjusted VE(%) (95% CI) |
|           |           |                          |                           |           |                          |                           |
| Total     |           |                          |                           |           |                          |                           |
| 4–10 days since 1st dose (reference) | 608 | 11.2 | ref. | 115 | 2.2 | ref. |
| >2 wks. after 1st dose to ≤2 wks. after 2nd dose | 7,451 | 6.7 | 29.3 (16.3 to 40.2) | 767 | 0.7 | 59.5 (49.4 to 67.6) |
| 3–13 wks. after completion of primary series | 24,098 | 3.3 | 67.2 (62.5 to 71.3) | 1,406 | 0.2 | 89.5 (86.1 to 92.0) |
| 14–18 wks. after completion of primary series | 25,561 | 4.9 | 51.4 (43.6 to 58.1) | 2,041 | 0.4 | 82.7 (76.5 to 87.3) |
| 19–26 wks. after completion of primary series | 63,901 | 8.6 | 29.4 (15.5 to 40.9) | 4,366 | 0.7 | 75.9 (66.3 to 82.7) |
| >26 wks. after completion of primary series to ≤2 wks. after booster dose | 56,691 | 12.5 | 12.2 (−4.7 to 26.4) | 3,912 | 1.1 | 65.3 (50.3 to 75.8) |
| 3–10(8) wks. after booster dose | 4,319 | 4.3 | 76.1 (70.4 to 80.7) | 171 | 0.4 | 93.0 (90.2 to 95.0) |
| 60–79 years |           |                          |                           |           |                          |                           |
| 4–10 days since 1st dose (reference) | 446 | 10.7 | ref. | 89 | 2.2 | ref. |
| >2 wks. after 1st dose to ≤2 wks. after 2nd dose | 5,253 | 6.1 | 29.3 (11.1 to 43.8) | 463 | 0.6 | 65.3 (55.8 to 72.7) |
| 3–13 wks. after completion of primary series | 17,118 | 3.0 | 68.1 (61.8 to 73.4) | 948 | 0.2 | 91.5 (88.6 to 93.7) |
| 14–18 wks. after completion of primary series | 17,494 | 5.1 | 49.1 (38.2 to 58.0) | 1,099 | 0.3 | 85.0 (78.9 to 89.3) |
| 19–26 wks. after completion of primary series | 40,901 | 10.1 | 21.8 (2.2 to 37.4) | 1,952 | 0.6 | 77.5 (66.9 to 84.8) |
| >26 wks. after completion of primary series to ≤2 wks. after booster dose | 19,766 | 14.1 | 4.1 (−21.9 to 24.6) | 916 | 1.0 | 65.7 (49.0 to 76.9) |
| 3–10(8) wks. after booster dose | 1,219 | 4.3 | 75.7 (67.7 to 81.7) | 60 | 0.5 | 86.3 (79.0 to 91.1) |
| ≥ 80 years |           |                          |                           |           |                          |                           |
| 4–10 days since 1st dose (reference) | 49 | 9.4 | ref. | 21 | 4.3 | ref. |
| >2 wks. after 1st dose to ≤2 wks. after 2nd dose | 792 | 7.1 | 30.1 (11.3 to 45.0) | 258 | 2.5 | 43.9 (20.8 to 60.2) |
| 3–13 wks. after completion of primary series | 1,504 | 3.3 | 61.0 (50.4 to 69.3) | 340 | 0.8 | 80.7 (72.5 to 86.5) |
| 14–18 wks. after completion of primary series | 3,302 | 3.5 | 54.2 (43.4 to 63.0) | 804 | 0.9 | 77.4 (69.3 to 83.3) |
| 19–26 wks. after completion of primary series | 8,872 | 4.3 | 43.0 (29.0 to 54.2) | 2,163 | 1.1 | 70.4 (58.8 to 78.7) |
| >26 wks. after completion of primary series to ≤2 wks. after booster dose | 15,650 | 9.0 | 18.5 (−5.0 to 36.8) | 2,698 | 1.9 | 58.7 (39.4 to 71.9) |
| 3–10(8) wks. after booster dose | 1,697 | 3.2 | 78.6 (71.3 to 84.8) | 96 | 0.4 | 94.2 (91.6 to 96.0) |
| Healthcare workers |           |                          |                           |           |                          |                           |
| 4–10 days since 1st dose (reference) | 18 | 19.5 | ref. | 0 | 0.0 | ref. |
| >2 wks. after 1st dose to ≤2 wks. after 2nd dose | 304 | 13.0 | 31.8 (−3.8 to 55.2) | 8 | 0.4 | NC |
| 3–13 wks. after completion of primary series | 891 | 6.6 | 64.9 (42.6 to 78.6) | 12 | 0.1 | NC |
| 14–18 wks. after completion of primary series | 922 | 7.1 | 59.3 (28.1 to 76.9) | 15 | 0.1 | NC |
| 19–26 wks. after completion of primary series | 4,800 | 9.9 | 42.4 (2.0 to 66.2) | 84 | 0.2 | NC |
| >26 wks. after completion of primary series to ≤2 wks. after booster dose | 18,175 | 13.2 | 28.9 (−22.8 to 58.8) | 302 | 0.2 | NC |
| 3–10(8) wks. after booster dose | 1,279 | 6.7 | 78.9 (62.4 to 88.2) | 5 | 0.1 | NC |
| High-risk personsf |           |                          |                           |           |                          |                           |
| 4–10 days since 1st dose (reference) | 141 | 14.5 | ref. | 19 | 2.0 | ref. |
| >2 wks. after 1st dose to ≤2 wks. after 2nd dose | 1,953 | 9.0 | 35.0 (21.6 to 46.0) | 215 | 1.0 | 56.5 (44.3 to 66.0) |
| 3–13 wks. after completion of primary series | 7,398 | 4.1 | 68.7 (62.7 to 73.8) | 403 | 0.2 | 88.9 (84.6 to 92.0) |
| 14–18 wks. after completion of primary series | 7,439 | 5.2 | 57.1 (46.7 to 65.5) | 600 | 0.4 | 81.4 (72.4 to 87.5) |
| 19–26 wks. after completion of primary series | 17,299 | 8.6 | 37.5 (19.7 to 51.3) | 1,135 | 0.6 | 74.8 (64.8 to 81.9) |
| >26 wks. after completion of primary series to ≤2 wks. after booster dose | 14,291 | 15.9 | 15.3 (−6.3 to 32.5) | 1,095 | 1.9 | 59.6 (38.5 to 73.4) |
| 3–10(8) wks. after booster dose | 1,230 | 5.8 | 73.9 (65.9 to 80.0) | 74 | 0.7 | 86.1 (77.6 to 91.3) |

CI, confidence interval; NC, not calculable; PD, person days; wks., weeks.

aIncluding symptomatic and asymptomatic cases of SARS-CoV-2 infection.
bCOVID-19 cases who were hospitalized or died within 28 days from infection.
cIncluding only persons who received vaccines with a two-doses primary schedule.
dVaccine effectiveness adjusted by sex, age group, country of birth, priority risk category, vaccine brand, and regional weekly incidence in the general population.

Region of vaccination was included into the models as a random effect.

The observation period was up to 8 wks. after booster dose administration for the analysis of severe COVID-19.

f.e. LTCF residents, and person with comorbidities, and immunocompromised persons.
months later (>26 weeks), increasing to 93% (95% CI: 90 to 95) two weeks after the booster-dose administration (weeks 3–8) (Table 2). This pattern was similar in persons aged ≥60 years and other high-risk groups, among whom VE against severe COVID-19 two weeks after booster-dose administration (3–8 weeks) was estimated to be in the range 86–94%.

4. Discussion
The Interim public health considerations for the provision of additional COVID-19 vaccine doses by the ECDC advocate for close monitoring of VE data and for more solid data to inform future policies on booster doses [12]. Current booster-dose uptake across the EU/EEA is extremely heterogeneous with coverage below 30% in several EU/EEA countries [13].

We found that, among people ≥60 years and high-risk groups, VE against SARS-CoV-2 infection and, to a lesser extent, against severe COVID-19 peaked in the time-interval 3–13 weeks and then declined, waning 26 weeks after full primary vaccination regardless of vaccine regimen. Two weeks after the administration of a booster dose, VE increased reaching levels similar to, and sometimes higher than, those estimated in the first three month following completion of primary vaccination.

Several studies have evaluated the effectiveness of a booster dose of COVID-19 vaccine against the Delta variant of SARS-CoV-2 [2–5,14–20], few of them conducted in European countries [2–5]. Although these studies were often based on different designs, study populations, outcome definitions, and reference groups, their results appear generally in line with our findings, showing waning of VE over time since completion of primary vaccination followed by an increase more than 7–14 days after the administration of a booster dose to levels that approximate the highest levels of effectiveness previously observed.

Our study has some limitations. We were unable to control for individual behavioral factors that may have modified the risk of infection. Specifically, persons who received a primary vaccination series of COVID-19 vaccine and those who additionally received a booster dose may have felt more protected compared to those vaccinated with only one dose, possibly resulting in an increased risk exposure and an underestimation of VE.

Second, we adopted a methodology using the time-interval 4–10 days after the first-dose administration as a proxy for the period spent without vaccine-induced protection rather than unvaccinated persons as reference to estimate VE. However, had we done the latter, we would likely have introduced a bias toward an overestimation of VE in the Italian context because since summer of 2021, access to social and working activities for unvaccinated persons is granted only to those testing negative for SARS-CoV-2 infection in the previous 48 hours. Due to this legislation, we observe a higher frequency of testing among unvaccinated people compared with those that are vaccinated, likely resulting in a higher probability of case detection in the former group. This methodological approach was already applied in other published studies on effectiveness of COVID-19 vaccines [21–24].

Finally, it is possible that highly susceptible persons were infected earlier than less susceptible ones and hence were excluded from the risk group used to estimate incidence after a booster dose, leading to overestimation of VE.

5. Conclusions
Our study confirms the effectiveness of an mRNA COVID-19 booster dose in preventing SARS-CoV2 infection and severe COVID-19 and support the ongoing booster vaccination campaign in Italy, where the administration of a booster dose four months after completion of primary vaccination series is currently recommended. Further analyses evaluating VE after a booster dose and its possible waning over time during the recent epidemic phase with predominance of the Omicron variant are needed and should be conducted as soon as sufficient follow-up data will be available.

Declaration of interest
The authors have no relevant affiliations or financial involvement with any organization or entity with a financial interest in or financial conflict with the subject matter or materials discussed in the manuscript. This includes employment, consultancies, honoraria, stock ownership or options, expert testimony, grants or patents received or pending, or royalties.

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Ethics statement
This study, based on routinely collected data, was not submitted for approval to an ethical committee because the dissemination of COVID-19 surveillance data was authorized by the Italian Presidency of the Council of Ministers on 27 February 2020 (Ordinance no. 640). Because of the retrospective design and the large size of the population under study, in accordance with the Authorization n. 9 released by the Italian data protection authority on the 15th of December 2016, the individual informed consent was not requested for the conduction of this study.

Data sharing
Because of data sharing legal restrictions, the dataset including individual records cannot be made publicly available. However, aggregated data will be shared on reasonable request to the corresponding author (MF).

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Author contributions
MF, MP, CM, SSA, AF, FDA, FR, AMU, FML, and PPe designed the study. MS, MDM, MT, CS, MM, RDC, Sba, FY, MB, DP, and AB retrieved and prepared the data. MF, MP, MS, SSA, CS, VP, MM, and PPe carried out the analysis. MF, MP, CM, SSA, AF, FDA, FR, AMU, AS, PS, ATP, PPa, SBr, GR, FML, MCR and PPe wrote the manuscript. All authors critically revised and approved the final version of the manuscript. All authors agree to be accountable for all aspects of the work.

ORCID
Massimo Fabiani @ orcid.org/0000-0002-5893-7117
Cristina Morciano @ orcid.org/0000-0001-5300-9605

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