Kondo effect in XXZ spin chains

A. Furusaki
Yukawa Institute for Theoretical Physics, Kyoto University, Kyoto 606-8502, Japan

T. Hikihara
Department of Physics, Kobe University, Rokkodai, Kobe 657, Japan

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The Kondo effect in a one-dimensional spin-$1/2$ XXZ model in the gapless XY regime ($-1 < \Delta \leq 1$) is studied both analytically and numerically. In our model an impurity spin ($S = 1/2$) is coupled to a single spin in the XXZ spin chain. Perturbative renormalization-group (RG) analysis is performed for various limiting cases to deduce low-energy fixed points. It is shown that in the ground state the impurity spin is screened by a singlet in the host XXZ chain. In the antiferromagnetic side ($0 < \Delta \leq 1$) the host chain is cut into two semi-infinite chains by the singlet. In the ferromagnetic side ($-1 < \Delta < 0$), on the other hand, the host XXZ chain remains as a single chain through "healing" of a weakened bond in the low-energy (long-distance) limit. The density matrix renormalization group method is used to study the size scaling of finite-size energy gaps and the power-law decay of correlation functions in the ground state. The numerical results are in good agreement with the predictions of the RG analysis. Low-temperature behaviors of specific heat and susceptibility are also discussed.

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I. INTRODUCTION

There has been recent resurgence of interest in the Kondo effect in one-dimensional (1D) strongly-correlated systems. In 1D interacting systems belonging to the universality class of the Tomonaga-Luttinger (TL) liquids, a static impurity potential has a drastic effect and is renormalized to infinity or zero, depending on whether the interaction is repulsive or attractive. This anomalous response to a static impurity of TL liquids has attracted a lot of attention and led to further studies on effects of a dynamic impurity (typically a magnetic impurity) in a TL liquid. A generalized Hubbard model with an impurity spin ($S = 1/2$) and its variants have been studied by many authors. It was found that the Kondo temperature, which is a typical energy scale for host electrons to screen an impurity spin, has a power-law dependence on the Kondo exchange coupling. Properties of low-energy fixed points have been discussed using perturbative renormalization group analysis and the boundary conformal field theory approach. A recent Monte Carlo study on the susceptibility of an impurity spin is consistent with anomalous power-law temperature dependence conjectured earlier. In addition to the models with a simple Kondo coupling, there are some exactly solvable models in which an impurity spin is coupled to the spin density of electrons via special forms of the Kondo exchange coupling. The results obtained for these models using the Bethe-ansatz technique, however, do not completely agree with the previous studies and this remains as a question to be resolved.

In this paper we consider a simplified model which we believe shares common features with the above-mentioned Kondo effect in 1D interacting electronic models like the Hubbard model. We here focus on the spin sector and discard the charge degree of freedom. This may correspond to the half-filled case in the original electronic models. The Hamiltonian of the system we discuss in this paper has the form $H = H_0 + H_K$, where $H_0$ describes the host $S = 1/2$ XXZ spin chain,

$$H_0 = J \sum_i \left( S_i^x S_{i+1}^x + S_i^y S_{i+1}^y + \Delta S_i^z S_{i+1}^z \right),$$

(1)

and $H_K$ the Kondo coupling,

$$H_K = J_K \left( S_0^x S_{\text{imp}}^x + S_0^y S_{\text{imp}}^y + \Delta S_0^z S_{\text{imp}}^z \right).$$

(2)

The size of the impurity spin is also assumed to be $1/2$. An important point of our model is absence of SU(2) spin rotation symmetry. We assume $|\Delta| < 1$ to ensure that the host XXZ spin chain has gapless excitations. For simplicity we have used the same parameter $\Delta$ in $H_0$ and $H_K$. We note that $\Delta$ in $H_0$ is an important parameter controlling power-law behavior of various correlations while $\Delta$ in $H_K$ does not play any significant role in the following discussions. The Kondo coupling $J_K$ can be either antiferromagnetic or ferromagnetic, but we will concentrate on the antiferromagnetic case $(J_K > 0)$ in this paper.

Egger and Affleck studied, among various kinds of disorder, the model at the isotropic point ($\Delta = 1$). They concluded that the impurity spin $S_{\text{imp}}$ forms a singlet with $S_0$, and that the Heisenberg chain is decoupled into two semi-infinite chains in the low-energy limit. A leading irrelevant operator at the fixed point was identified and shown to have scaling dimension 2. It corresponds to exchange coupling between boundary spins of the two
decoupled chains. In this paper we extend their analysis to the XXZ case \((|\Delta| < 1)\). We first bosonize the Hamiltonian and study its renormalization group (RG) flows in the weak-coupling limit and in the strong-coupling limit. We will argue that the system is renormalized to stable low-energy fixed points where the impurity spin \((S = \frac{1}{2})\) is screened exactly. At the fixed points the boundary condition for the host XXZ spin chain depends on the parameter \(\Delta\) of the host chain: For \(0 < \Delta \leq 1\) the spin chain is cut into two semi-infinite chains with open boundary condition at \(i = \pm 1\). On the other hand, for \(-1 < \Delta < 0\) the host spin chain is not affected much by the singlet and stays as a single chain. Leading irrelevant operators at these fixed points have noninteger scaling dimensions, yielding noninteger power-law temperature dependence of impurity contribution to specific heat and susceptibility. As evidences for this picture we will show finite-size scaling of energy gap and spin-spin correlation functions in the ground state, both of which are obtained by using the density matrix renormalization group (DMRG) method. The numerical results are consistent with the picture drawn from the perturbative RG analysis. We note that our results are very different from a recent paper by Liu, who studied the same model as ours using mysterious transformations and calculated various quantities near a strong-coupling fixed point. For example, he obtained superlinear temperature dependence \((T^\alpha: \alpha > 1)\) for the impurity contribution to the specific heat and vanishing susceptibility at zero temperature, both of which cannot be correct from general grounds.

The plan of this paper is as follows. In section II we discuss RG flows of our model using the standard abelian bosonization method. Impurity contributions to specific heat and susceptibility are also discussed. We show results of numerical DMRG calculations in section III and compare them with conclusions of the perturbative RG in section II. For simplicity we set \(J = 1\) throughout this paper.

II. PERTURBATIVE RENORMALIZATION GROUP ANALYSIS

A. Weak-coupling limit

We follow Ref. [12] and bosonize the Hamiltonian \(H\). Since the bosonization of the XXZ chain is a standard procedure, we do not repeat the derivation of a bosonized Hamiltonian here. After performing the Jordan-Wigner transformation and taking continuum limit, we find that \(H_0\) reduces to a free-boson model,

\[
H_0^{(b)} = \frac{v}{2} \int dx \left[ \left( \frac{d\phi}{dx} \right)^2 + \Pi^2 \right],
\]

where \(\Pi(x)\) is a conjugate operator to the bosonic field \(\phi(x)\): \([\phi(x), \Pi(y)] = i\delta(x - y)\). The spin wave velocity \(v\) is known to be \(v = (\pi/2\theta)\sin \theta\), where \(\theta = \cos^{-1} \Delta\). The spins in the chain can be represented in terms of bosonic fields \(\phi(x)\) and \(\bar{\phi}(x)\) \((\Pi = d\bar{\phi}/dx)\) [13].

\[
S_j^z = \frac{1}{2\pi R} \frac{d\phi}{dx} + c_1 (-1)^j \sin \frac{\phi}{R},
\]

\[
S_j^+ = e^{i2\pi R\phi} \left[ c_2 \cos \frac{\phi}{R} + c_3 (-1)^j \right],
\]

where \(S_j^z = \bar{S}_j^z + iS_j^y\). Here \(\alpha = j\) and the \(c_j\)'s are numerical constants. The lattice spacing is assumed to be unity. The parameter \(R\) in Eqs. (3a) and (3b) is related to \(\Delta\) in the original Hamiltonian \([11]\) as

\[
R = \left[ \frac{1}{2\pi} \left( 1 - \frac{1}{\pi \cos^{-1} \Delta} \right) \right]^{1/2}.
\]

With the Gaussian form of \(H_0^{(b)}\), we can immediately find the scaling dimensions of operators \(e^{i\alpha \phi(x)}\) and \(e^{i\alpha \bar{\phi}(x)}\), both of which are \(\alpha^2/4\pi\).

Thus the dimensions of the staggered components of \(S_i^z\) and \(S_j^\pm\) are \((4\pi R^2)^{-1}\) and \(\pi R^2\), respectively.

From Eqs. (3a) and (3b) the Kondo interaction term \(H_K\) becomes

\[
H_K^{(b)} = S_{imp}^+ e^{-i2\pi R\phi(0)} \left( \lambda_{F\perp} \cos \frac{\phi(0)}{R} + \lambda_{B\perp} \right) + \text{h.c.}
\]

\[
+ S_{imp}^z \left( \lambda_{F\parallel} \frac{d\phi(0)}{dx} + \lambda_{B\parallel} \sin \frac{\phi(0)}{R} \right),
\]

where the couplings \(\lambda_i\)'s are proportional to \(J_K\). Since the impurity spin is coupled to a single spin \(S_0\) in our model, we have backward Kondo scattering terms proportional to \(\lambda_{B\parallel}\) and \(\lambda_{B\perp}\). These terms do not appear in some models where \(S_{imp}\) is coupled symmetrically to two neighboring spins, say \(S_0\) and \(S_1\) [13]. These backscattering terms is an important ingredient of our model. The backward spinflip scattering term \((\propto \lambda_{B\perp})\) has scaling dimension \(\pi R^2\) and is always a relevant operator. This should be contrasted with the conventional Kondo problem in 3D, where the Kondo interaction is a marginal operator of the form \(d\phi/dx\). Therefore we conclude that the weak-coupling point \((J_K = 0)\) is unstable for \(-1 < \Delta \leq 1\) independent of the sign of \(J_K\), and the system always flows to a strong-coupling regime. This situation is quite similar to the Kondo effect in a TL liquid. To lowest order the scaling equation of the most divergent coupling \(\lambda_{B\perp}\) is given by

\[
\frac{d\lambda_{B\perp}}{d\log L} = (1 - \pi R^2) \lambda_{B\perp},
\]

where \(L\) is system size. We thus expect that the energy scale \(T_K\) at which the crossover from weak coupling to strong coupling occurs should be

\[
T_K \propto |\lambda_{B\perp}|^{1/(1 - \pi R^2)} \propto |J_K|^{1/(1 - \pi R^2)}
\]

for \(|J_K| \ll J = 1\). We identify this energy scale with the Kondo temperature.
B. Strong-coupling limit for $0 < \Delta \leq 1$

Let us consider the strong-coupling limit where $J_K \gg 1$. In this limit we first diagonalize $H_K$ and treat the coupling between $S_0$ and its neighbors ($S_{\pm 1}$) as weak perturbations. The ground state of $H_K$ is a spin singlet ($S_0 + S_{\text{imp}} = 0$). In the limit $J_K \rightarrow \infty$ the system consists of the singlet and two decoupled semi-infinite chains (SICs). With very large but finite $J_K$, we derive effective interactions acting on the subspace of the singlet plus the SICs using $1/J_k$ expansion. Second order perturbation yields

$$H_2 = -\frac{1}{2J_K(1+\Delta)} (S^+_1 S^-_1 + S^-_1 S^+_1) - \frac{\Delta^2}{2J_K} S^z_1 S^z_{-1} + \text{const.} \quad (9)$$

Higher order calculations also give the same form of interactions (and irrelevant operators). We now need to know the bosonization of these operators $S_{\pm 1}$ at the boundaries of the SICs. This was discussed in detail by Eggert and Affleck and we can simply borrow their results. The open-boundary condition implies that the phase field $\phi(x)$ is fixed to be some constant at $x = 0$. To be specific, let us impose $\phi(0) = 0$. The left-going field $\phi_L(x) = \sqrt{\pi} [\phi(x) + \hat{\phi}(x)]$ and the right-going field $\phi_R(x) = \sqrt{\pi} [\phi(x) - \hat{\phi}(x)]$ are no longer independent. From these chiral fields we introduce two left-going fields:

$$\phi_{>}(x) = \Theta(x) \phi_L(x) - \Theta(-x) \phi_R(-x), \quad (10a)$$
$$\phi_{<}(x) = \Theta(-x) \phi_L(x) - \Theta(x) \phi_R(-x), \quad (10b)$$

where $\Theta(x)$ is a Heaviside step function. The field $\phi_{>}(x)$ defined on $(-\infty, \infty)$ describes bosonic excitations in the SIC of the positive $x$ region ($S_i: i > 0$), and the other field $\phi_{<}(x)$, also defined on $(-\infty, \infty)$, describes excitations in the negative $x$ region. Their commutation relations are $[\phi_{>}(x), \phi_{>}(y)] = [\phi_{<}(x), \phi_{<}(y)] = -i\pi \text{sgn}(x-y)$ and $[\phi_{>}(x), \phi_{<}(y)] = 0$. Their dynamics is governed by the Hamiltonian

$$H_{\text{SIC}} = \frac{v}{4\pi} \int dx \left[ \left( \frac{d\phi_{>}}{dx} \right)^2 + \left( \frac{d\phi_{<}}{dx} \right)^2 \right]. \quad (11)$$

With these fields the boundary spins can be written as

$$S^+_1 \propto \exp \left[ \pm i 2\sqrt{\pi} R \phi_{>}(0) \right], \quad S^-_1 \propto \frac{d\phi_{>}}{dx}(0), \quad (12a)$$
$$S^\pm_1 \propto \exp \left[ \pm i 2\sqrt{\pi} R \phi_{<}(0) \right], \quad S^z_1 \propto \frac{d\phi_{<}}{dx}(0). \quad (12b)$$

The scaling dimension of $S^z_1$ is 1 and that of $S^\pm_1$ is $2\pi R^2$. In general, the vertex operators $e^{i\phi}$ and $e^{i\phi}$ have dimension $a^2/2$ at boundaries. We thus find that, among possible interactions generated by the $1/J_k$ expansions, $S^+_1 S^-_1 + S^-_1 S^+_1$ is most dangerous and has dimension $4\pi R^2$. This operator is irrelevant when $0 < \Delta \leq 1$. Therefore we may conclude that, when the anisotropy parameter $\Delta$ of the host XXZ spin chain is $0 < \Delta \leq 1$, the infrared stable fixed point corresponds to the limit $J_K \rightarrow \infty$, where the system is decoupled into a singlet and two semi-infinite XXZ spin chains; see Fig. 1. The singlet acts like an infinitely high potential barrier for excitations in the spin chain and effectively cuts it into two SICs. If the host spin chain is of finite length containing $L$ spins and if we apply the periodic boundary condition, then its low-energy fixed point is an open spin chain consisting of $L - 1$ spins, in addition to a decoupled spin singlet formed from the impurity spin and a spin originally in the host spin chain. This strong-coupling fixed point is very similar to the one found for the Kondo effect in electronic TL liquids.

![FIG. 1. Schematic picture of renormalization to the strong-coupling fixed point where the XXZ chain is cut by the singlet.](image-url)
in the free energy is given by

$$\delta F = - \int_0^{\beta/2} dt (\hat{O}_1(t) \hat{O}_1(0))$$

$$\propto - \int_{\tau_c}^{\beta/2} dt \left( \frac{\pi T \tau_c}{\sin \pi T \tau_c} \right)^{2d}, \quad (13)$$

where $d = 4\pi R^2$, $\beta$ is inverse of the temperature $T$, $\hat{O}_1(\tau) \equiv e^{\tau H_{\text{HIC}}} \hat{O}_1 e^{-\tau H_{\text{HIC}}}$, and $\tau_c$ is a cutoff to regularize the integral. Note that there is no first-order contribution of $\hat{O}_1$ to $\delta F$. The low-temperature expansion of the integral in Eq. (13) for general $d$ reads

$$\int_{\tau_c}^{\beta/2} \left( \frac{\pi T \tau_c}{\sin \pi T \tau_c} \right)^{2d} dt = -\frac{\tau_c}{2d-1}$$

$$+ \begin{cases} -\frac{\tau_c}{2d-1} (\pi T \tau_c)^2, & d = 1, \\
\frac{\tau_c}{2d-1} (\pi T \tau_c)^{2d-1} B(\frac{1}{2}, \frac{3}{2} - d), & 1 < d < \frac{3}{2}, \\
\frac{\tau_c}{2d-1} (\pi T \tau_c)^2 \log(1/\pi T \tau_c), & d = \frac{3}{2}, \\
\frac{\tau_c}{2d-1} (\pi T \tau_c)^2, & \frac{3}{2} < d < \frac{5}{2}, \end{cases} \quad (14)$$

where $B(a, b)$ is the beta function. Note that any irrelevant operator with dimension $d > 3/2$ generates a positive $T^2$ term. From these equations we get

$$\delta C \propto \begin{cases} (d-1)^2 T^{2d-2}/(3-2d), & 1 < d < \frac{3}{2}, \\
T \log(1/\pi T \tau_c), & d = \frac{3}{2}, \\
T/(2d-3), & \frac{3}{2} < d < \frac{5}{2}, \end{cases}$$

in the low-temperature limit. Since $d = 4\pi R^2$, the boundary case $d = \frac{3}{2}$ corresponds to $\Delta = 1/\sqrt{2}$. When $0 < \Delta < 1/\sqrt{2}$, $\delta C$ is proportional to $T^{8\pi R^2 - 2}$ with the exponent changing from 0 to 1 as $\Delta$ varying from 0 to $1/\sqrt{2}$. This anomalous power-law behavior is reminiscent of the Kondo effect in TL liquids. The log correction appears at $\Delta = 1/\sqrt{2}$ when the dimension of the leading irrelevant operator becomes $3/2$. This is mathematically the same as in the two-channel Kondo problem. When $1/\sqrt{2} < \Delta \leq 1$, the leading term of $\delta C$ is proportional to $T$.

We next consider $\delta \chi$. Here we need to distinguish two kinds of spin susceptibilities: one responding to a magnetic field applied in the $z$ direction and the other responding to the one in the $xy$ plane. We shall call them $\delta \chi_x$ and $\delta \chi_y$, respectively. Suppose we apply a magnetic field locally only to $S_{\text{imp}}$ such that the perturbation,

$$H_h = h_z S_{\text{imp}}^z + h_x S_{\text{imp}}^x,$$  

is added to the Hamiltonian. Using the $1/J_K$ expansion again, we can generate effective interactions induced by $H_h$ in the Hilbert space of the singlet plus the SICs (Fig. 4). From the symmetry we expect to have the following operators in addition to other less relevant ones: $\hat{O}_{h_1} = h_z (S_1^z + S_2^z)$, $\hat{O}_{h_2} = h_x (S_1^x S_{\text{imp}}^z + S_2^x S_{\text{imp}}^z)$, and $\hat{O}_{h_3} = h_x (S_1^x + S_2^x)$. In terms of the bosonic fields they may be written as $\hat{O}_{h_1} \propto h_z [\partial_x \phi^y_0(0) + \partial_x \phi^y_{-1}(0)]$, $\hat{O}_{h_2} \propto h_x [\cos(2\sqrt{\pi} R \phi^y_0(0) - \phi^y_{-1}(0))]$, and $\hat{O}_{h_3} \propto h_x \{\cos(2\sqrt{\pi} R \phi^y_0(0)) + \cos(2\sqrt{\pi} R \phi^y_{-1}(0))\}$, whose scaling dimensions are 1, $4\pi R^2$, and $2\pi R^2$. We can now estimate $\delta F$ induced by these operators using Eq. (14) and obtain $\delta \chi_\alpha = -\partial^2 \delta F / \partial h^2 |_{h=0}$. One point to be mentioned is that products of $\hat{O}_1$ and $\hat{O}_h$ can contribute a term $h^2 T^{8\pi R^2 - 2}$ to $\delta F$, leading to a term proportional to $T^{8\pi R^2 - 1}$ in $\delta \chi_z$. From these considerations, we conclude that in the low-temperature limit $\delta \chi$ has the following form:

$$\delta \chi_z(T) - \delta \chi_z(0) \propto \begin{cases} \frac{4\pi R^2 - 1}{8\pi R^2 - 3} T^{8\pi R^2 - 2}, & 0 < \Delta < 1/\sqrt{2}, \\
T^2 \log(1/T), & \Delta = 1/\sqrt{2}, \\
T^2, & 1/\sqrt{2} < \Delta \leq 1, \end{cases}$$

$$\delta \chi_{\perp}(T) - \delta \chi_{\perp}(0) \propto T^{4\pi R^2 - 1}, \quad 0 < \Delta \leq 1. \quad (17a, b)$$

We note that there is always a contribution proportional to $T^2$ coming from irrelevant operators. When $0 < \Delta \ll 1$, the term $T^{8\pi R^2 - 1}$ might be difficult to observe, because of its small coefficient ($\propto 4\pi R^2 - 1$), compared with the $T^2$ term. We also note that in general the zero-temperature limit of the susceptibility $\delta \chi(0)$ is of order $1/T_K$.

### C. Strong-coupling limit for $-1 < \Delta < 0$

When the parameter $\Delta$ in the host spin chain is in the range $-1 < \Delta < 0$, the dimension of the operator $S_1^+ S_{-1}^- + S_1^- S_{-1}^+$ is smaller than 1 and is relevant. This means that the open-boundary fixed point discussed in the previous subsection cannot be a low-energy fixed point when $-1 < \Delta < 0$. Both limits $J_K \to 0$ and $J_K \to \infty$ in the original Hamiltonian $H_0 + H_K$ are unstable. We thus need to find a nontrivial fixed point.

Let us for the moment forget the singlet of $S_{\text{imp}}$ and $S_0$, and concentrate on the rest of the spins. That is, we consider the two semi-infinite spin chains weakly coupled by a ferromagnetic exchange interaction $H_2$:

$$H_\lambda = \sum_{i=1}^{\infty} \left( S_i^x S_{i+1}^x + S_i^y S_{i+1}^y + \Delta S_i^z S_{i+1}^z \right)$$

$$+ \sum_{i=1}^{\infty} \left( S_i^x S_{i-1}^x + S_i^y S_{i-1}^y + \Delta S_i^z S_{i-1}^z \right)$$

$$- \lambda (S_i^z S_{i-1}^z + S_i^z S_{i+1}^z), \quad (18)$$

where $0 < \lambda \ll 1$. We have dropped the irrelevant $S_1^z S_{-1}^z$ term. Now we rotate $S_i$ ($i > 0$) around the $z$ axis by $\pi$, which changes the sign of $\lambda$ ($-\lambda \to \lambda$) in $H_\lambda$. We then apply RG transformation. Since $S_1^z S_{-1}^z + S_1^z S_{-1}^z$ is relevant, the coupling $\lambda$ grows as the energy scale decreases. The $S_1^z S_{-1}^z$ term is also generated in the course of the RG transformation. Thus, the two chains get coupled stronger at lower energy scale. We next consider the opposite limit where the two chains are well connected but
one bond is slightly disturbed. This is described by the Hamiltonian,
\[
H_\varepsilon = \sum_{i=1}^{\infty} \left( S_i^x S_{i+1}^x + S_i^y S_{i+1}^y + \Delta S_i^z S_{i+1}^z \right) \\
+ \sum_{i=1}^{\infty} \left( S_{i-1}^x S_{i-1}^x + S_{i-1}^y S_{i-1}^y + \Delta S_{i-1}^z S_{i-1}^z \right) \\
+ (1 - \varepsilon_\perp) \left( S_i^x S_{i-1}^x + S_i^y S_{i-1}^y \right) + (1 - \varepsilon_\parallel) \Delta S_i^z S_{i-1}^z,
\]
where \(0 < \varepsilon_\perp, \varepsilon_\parallel \ll 1\). Bosonizing this Hamiltonian as in Sec. IIA, we find that the perturbations (\(\propto \varepsilon\)) give the spin-Peierls operator \(\sin[\phi(0)/R]\) of dimension \((4\pi R^2)^{-1}\) and dimension 2 operators like \((\partial \phi/\partial x)^x\). Since they are irrelevant \((\varepsilon_\perp, \varepsilon_\parallel \to 0\) in the low-energy limit), we recover a pure XXZ spin chain. It is tempting to assume that the RG trajectories starting from the unstable point describing two weakly coupled chains \(\text{Eq. (18)}\) continuously flow to the stable fixed point of the pure XXZ chain. Although we cannot prove it, we believe this is what actually happens. We note that this phenomenon is closely related to the well-known result that a backward-scattering potential is renormalized to zero for fermions interacting with mutual attractive interactions. It is also similar to the “healing” of weak bonds which Eggert and Affleck found for the isotropic Heisenberg chain with two symmetrically perturbed bonds. Coming back to the Hamiltonian \(H_\lambda\), we conclude that its low-energy fixed point is a pure XXZ spin chain with the spins \(S_i\) \((i > 0)\) rotated around the \(x\) axis by \(\pi\).

We now return to our Kondo problem. What we have found so far is that (i) the Kondo coupling is a relevant operator at the weak-coupling point and leads to a singlet formation and that (ii) weakly coupled spin chains are renormalized to a strongly coupled single chain. Combining these two observations together, we propose the model schematically shown in Fig. 2 as a candidate for the low-energy fixed point. The model consists of the singlet of \(S_{\text{imp}}\) and \(S_0\) on top of the pure XXZ chain where spins are rotated as discussed in the last paragraph. An important point is that low-energy excitations are spin density fluctuations of long wave length in the chain and that for these low-energy excitations the singlet has essentially no effect. In other words, the singlet is “transparent” for them. At short-length scale there is a coupling between \(S_0\) and its neighbors \((S_1 + S_{-1})\).

Assuming that our Kondo model is indeed renormalized to the strong-coupling fixed point of Fig. 2, we can obtain leading temperature dependences of \(\delta C\) and \(\delta \chi\) as in the last subsection. Since we know that a leading irrelevant operator at the fixed point is among the operators \(\sin[\phi(0)/R]\), \([\partial \phi(0)/\partial x]^2\), and \([\partial \phi(0)/\partial t]^2\), we find that the low-temperature behavior of \(\delta C\) is given by Eq. (15) with \(d = (4\pi R^2)^{-1}\). We thus get
\[
\delta C \propto \begin{cases} 
T, & -1 < \Delta < -1/2, \\
T \log(1/T), & \Delta = -1/2, \\
T^{1/(2\pi R^2)^{-2}}, & -1/2 < \Delta < 0.
\end{cases}
\]

When a weak magnetic field is applied to \(S_{\text{imp}}\), we obtain the operators \(\tilde{O}_{h1} = h_z(S_i^+ + S_{i-1}^-)\), \(\tilde{O}_{h2} = h_z^2(S_i^+ S_{i-1}^- + S_{i-1}^+ S_i^-)\), and \(\tilde{O}_{h3} = h_z(S_i^+ S_{i-1}^-)\) after integrating out the singlet. Since these operators are not boundary operators at the fixed point of our interest, the scaling dimensions of \(\tilde{O}_{h2}\) and \(\tilde{O}_{h3}\) are different from the
open-boundary case. Here we use the bosonization formulas \( \frac{H}{2} \) and \( \frac{H}{2} \) and find that the dimensions of \( \tilde{O}_{b_{2}} \) and \( \tilde{O}_{h_{2}} \) are \((4\pi R^2)^{-1}\) and \(\pi R^2\), respectively. We then obtain the following low-temperature behavior:

\[
\begin{align*}
\delta \chi_z(T) - \delta \chi_z(0) &\propto \frac{1+4\pi R^2}{6\pi R^2 - 1} T^{(1/2) \pi R^2 - 1}, & \frac{1}{2} < \Delta < 0, \\
&\propto \frac{T^2}{1 - 6\pi R^2}, & -1 < \Delta < -\frac{1}{2}, \\
\nonumber
\delta \chi_\perp &\propto T^{2\pi R^2 - 1}.
\end{align*}
\]

(21a)

(21b)

(21c)

D. Strong-coupling limit of the XY case (\( \Delta = 0 \))

We briefly comment on the low-energy fixed point for the XY case. Since this is exactly on the border of the two cases discussed in Secs. IIB and IIC, we naturally expect that a picture for the fixed point of the \( \Delta = 0 \) case should be something in between Figs. 1 and 2. That is, the singlet of \( S_{\text{imp}} \) and \( S_0 \) does not completely cut the host XXZ spin chain into two pieces. The weakened connection between \( S_1 \) and \( S_{-1} \) is not healed as in the negative \( \Delta \) case. This is because at the open-boundary fixed point \( (J_K = \infty) \) the operator \( S_1^z S_{-1}^z \) is a marginal operator. We expect that the impurity contribution to the specific heat and the susceptibilities have the following low-temperature behavior:

\[
\begin{align*}
\delta C &\propto T, \\
\delta \chi_z(T) - \delta \chi_z(0) &\propto T^2, \\
\delta \chi_\perp &\propto \log(1/T).
\end{align*}
\]

(22a)

(22b)

(22c)

III. RESULTS OF DMRG CALCULATIONS

A. Numerical methods

In this section we present our numerical results. The Hamiltonian we studied is \( H_0 + H_K \), Eqs. 1 and 3. The site index \( i \) in Eq. 3 runs from \(-l\) to \(l-1\), and the total number of spins in the host XXZ chain is \( L = 2l + 1 \). We impose the open boundary condition at the left and right ends of the host XXZ chain. Using the DMRG method proposed by White,[10] we have calculated lowest energy gap and spin correlation functions in the ground state. In order to accelerate the numerical calculation, we have employed the improved algorithm proposed by White.[11] We have also used the finite system method to achieve high accuracy. Up to 100 states were kept for each block and the truncation error is typically \( 10^{-8} \). This error is directly related to the accuracy of energy.

B. Numerical results for \( \Delta = 0.5 \)

As a typical case of \( 0 < \Delta < 1 \) we have chosen \( \Delta = 0.5 \). In this case \( R = 1/\sqrt{3\pi} \) and \( v = 3\sqrt{3}/4 \). With this choice we have computed lowest energy gap \( E_g \) for chains of \( L = 1 \) (mod 4). Numerical results of the finite-size gap is shown in Fig. 4. The energy gap is difference between the lowest energy in the sector \( S_{\text{tot}}^z = 0 \) and that in the sector \( S_{\text{tot}}^z = 1 \). According to the RG analysis in Sec. IIB, the ground state of a sufficiently long chain is described as two decoupled chains, each having \((L - 1)/2\) spins, plus a rigid spin singlet of \( S_0 \) and \( S_{\text{imp}} \) in between them. Note that \((L - 1)/2 = l\) is an even integer.

![Energy gap](image)

**FIG. 4.** Energy gap \( E_g \) as a function of system size \( L \) for \( \Delta = 0.5 \). The data points are the gap computed for \( L = 17, 25, 33, 49, 65, 94, 129, 157, \) and 201 \([L = 1 \) (mod 4)]. The dashed line represents the infinite-L limit, \( E_g \) for \( \Delta = 0.5 \).

To interpret finite-size scaling of the data, let us bosonize the two open XXZ chains of length \( l \), following Refs. [12] and [13]. The mode expansions of the phase fields are given by

\[
\begin{align*}
\phi_\mu(x,t) &= \pi R + \tilde{Q}_\mu \frac{x}{l} \\
&\quad + \sum_{n>0} \frac{\sin k_n x}{\sqrt{\pi n}} \left( a_{n\mu} e^{-ik_n vt} + a_{n\mu}^\dagger e^{ik_n vt} \right), \\
\tilde{\phi}_\mu(x,t) &= \tilde{\phi}_0 \mu + \tilde{Q}_\mu \frac{vt}{l} \\
&\quad + i \sum_{n>0} \frac{\cos k_n x}{\sqrt{\pi n}} \left( a_{n\mu} e^{-ik_n vt} - a_{n\mu}^\dagger e^{ik_n vt} \right),
\end{align*}
\]

where \( k_n = \pi n/l \) and the operators obey the commutation relations \([\tilde{\phi}_\mu, \tilde{Q}_\nu] = i\delta_{\mu,\nu} \) and \([a_{n\mu}, a_{n\nu}^\dagger] = \delta_{n,0} \delta_{\mu,\nu} \) \((\mu, \nu = l \text{ or } r)\). The suffix \( l \) and \( r \) stand for the left \((S_l; i < 0)\) and right \((S_r; i > 0)\) spin chain, respectively. The fields \( \phi_l \) and \( \phi_r \) \((\phi_l \) and \( \phi_r \) correspond to \( \phi \) and \( \tilde{\phi} \) in Eq. 3). Note that \( \phi_l \) and \( \phi_r \) are
different from $\phi_<$ and $\phi_>$. Substituting Eqs. (23) and (24) into Eq. (3) yields the Hamiltonian of the $\mu$ chain

$$H_\mu = \frac{\pi v}{l} \left( \frac{\tilde{Q}_\mu^2}{2\pi} + \sum_{n>0} n a_{n\mu}^\dagger a_{n\mu} - \frac{1}{24} \right).$$

(25)

Its energy eigenvalue and eigen functions are

$$E_\mu = \frac{\pi v}{l} \left[ 2\pi R^2 (S_\mu^z)^2 + \sum_{n>0} nm_{n\mu} - \frac{1}{24} \right],$$

(26)

$$\langle S_\mu^z, \{m_{n\mu}\} \rangle = \exp \left\{ i2\pi RS_\mu^z \phi_{0\mu} \right\} \prod_{n>0} \frac{\langle 0 | a_{n\mu}^\dagger \rangle}{m_{n\mu}},$$

(27)

where $|0\rangle$ is a vacuum ($a_{n\mu}|0\rangle = 0$). The constant $S_\mu^z$ is nothing but a quantum number of total $S_z$ of each chain. Since $l$ is an even integer, $S_\mu^z$ can take integer values only. Therefore, in the limit $J_K \to \infty$, the ground state of the total system is the state with $S_\mu^z = m_{n\mu} = 0$ for $\mu = l$ and $r$. The first excited states are fourfold degenerate and correspond to $(S_\mu^z, S_-^z) = (\pm 1, 0), (0, \pm 1)$ and $m_{n\mu} = 0$. The energy gap in this limit is then given by

$$E_g = \frac{\pi v}{l} 2\pi R^2,$$

(28)

which equals $\sqrt{3}\pi/2l$ at $\Delta = 1/2$. This gap value is shown as a dashed line in Fig. 4. It is clear that all the curves in Fig. 4 are gradually approaching the dashed line as $L$ increases. How the curves finally approach it in the $L \to \infty$ limit is determined by the leading irrelevant operator $\tilde{O}_1$, whose explicit form we may take

$$\tilde{O}_1 \propto \cos \left\{ i2\pi R \left[ \tilde{\phi}_-(0, 0) - \tilde{\phi}_+(0, 0) \right] \right\}.$$

(29)

The correction to Eq. (28) due to the operator $\tilde{O}_1$ can be obtained from lowest-order perturbation expansion. Since the degenerate first excited states $|S_-^z = 1, S_-^z = 0)$ and $|S_-^z = 0, S_-^z = 1)$ have a nonzero matrix element,

$$\langle S_-^z = 1, S_-^z = 0 | \tilde{O}_1 | S_-^z = 0, S_-^z = 1 \rangle$$

$$\propto 0 \exp \left\{ -2\pi R \sum_{n=1}^l \frac{1}{\sqrt{\pi n}} \left( a_{nr} - a_{nr}^\dagger - a_{nl} + a_{nl}^\dagger \right) \right\} |0\rangle \propto L^{-4\pi R^2},$$

(30)

the degeneracy of these two states is lifted by an amount which scales as $L^{-4\pi R^2}$. The same is true for the other two degenerate states $|S_-^z = -1, S_-^z = 0\rangle$ and $|S_-^z = 0, S_-^z = -1\rangle$. On the other hand, the ground state energy does not change in first-order perturbation. Hence we may expect that the leading correction to the energy gap should be proportional to $L^{-4\pi R^2}$, which goes to zero faster than the finite-size gap ($\propto L^{-1}$). This $L$ dependence is indeed observed in our numerical data shown in Fig. 4. The data shows very clear power-law behavior with the exponent $4/3 = 4\pi R^2$, in perfect agreement with the theory. This can be regarded as a numerical proof of the presence of the leading irrelevant operator with the scaling dimension $4\pi R^2$ at the strong-coupling fixed point we discussed in Sec. II B. We note that the energy gap $E_g^{(0)}$ used in Fig. 4 is the one at $J_K = \infty$, or equivalently, the finite-size gap of an XXZ spin chain containing $l$ spins under the open boundary condition. The reason why we have used $E_g^{(0)}$ rather than Eq. (25) is to reduce the effect of a bulk irrelevant operator, $\cos(2\phi/R)$, of dimension $1/\pi R^2 = 3$.

![Fig. 5. Size dependence of the correction to the energy gap $E_g^{(0)}$, which is the gap calculated in the limit $J_K = \infty$. The dashed line represents the theoretically predicted $L^{-4/3}$ dependence.](image)

Using the DMRG method, we have also calculated an equal-time two-point spin correlation function, $\langle S_-^z S_+^z \rangle$, in the ground state for $L = 201$ ($S_0^z = 0$). According to our picture of the strong-coupling fixed point, the host XXZ spin chain is effectively cut by a singlet in the low-energy limit (Fig. 4). We naturally expect that correlations across the singlet should be much weaker than correlations within one of the decoupled chains. Our numerical results shown in Figs. 6 and 7 support this idea: A correlation function across the singlet show power-law dependence on $l$ with an exponent larger than that for a pure XXZ chain ($J_K = \infty, 2\pi R^2$).

The exponents for $\langle S_-^z S_+^z \rangle$ and $\langle S_-^{\text{imp}} S_+^{\text{imp}} \rangle$ can be obtained from the following argument. First we consider $\langle S_-^z S_-^z \rangle$, which is equivalent to $\langle S_-^z S_+^z \rangle$. Since it vanishes when the XXZ chain is completely decoupled, the nonzero contribution is due to the leading irrelevant operator $S_1^+ S_-^- + S_-^- S_1^+$. To first order in $\tilde{O}_1$ the correlator is

$$\langle S_-^z S_1^+ \rangle \propto \int dt \langle S_-^- (t) S_-^- (0) \rangle_1 \langle S_1^+ (t) S_1^+ (0) \rangle_r,$$

(31)
where the averages $\langle \ldots \rangle_l$ and $\langle \ldots \rangle_r$ are evaluated for the ground state of each decoupled chain. Since the scaling dimension of the boundary operators $S^\pm_{\mp 1}$ is $2 \pi R^2$ and that of $S^\pm_{\pm i}$ is $\pi R^2$, we expect the correlator to scale as

$$\langle S^+_i S^-_i \rangle \propto i^{-6\pi R^2+1},$$

(32)

from which we get $\langle S^z_{-i} S^z_i \rangle \propto 1/i$ for $\Delta = 1/2$. The results in Fig. 6 are consistent with this perturbative calculation.

![FIG. 6. Correlation between $S^z_{-i}$ and $S^z_i$ calculated for $\Delta = 0.5$ and $L = 201$. The dashed line corresponds to the $1/i$ decay obtained from the perturbative calculation.](image)

The correlation between $S^z_{\text{imp}}$ and $S^z_i$ can be calculated using the $1/J_K$ expansion, which can be justified in the low-energy limit. At $J_K = \infty$ the ground state of the whole system is a direct product of $|S\rangle$, which is the singlet wave function of $S_{\text{imp}}$ and $S_0$, and the ground states of the left and right decoupled spin chains, which we denote $|l\rangle$ and $|r\rangle$. We calculate correlation function $\langle S^+_{\text{imp}} S^-_i \rangle$ to lowest order in the coupling between $S_0$ and its neighboring spin, $S^-_i S^+_i$:

$$\langle S^+_{\text{imp}} S^-_i \rangle \sim \frac{1}{J_K} \langle S|S^+_{\text{imp}}|T\rangle \langle T|S^-_i\rangle \langle r|S^+_i S^-_i |r\rangle$$

$$\propto (-1)^i i^{-3\pi R^2},$$

(33)

where $|T\rangle$ is a triplet state of $S_{\text{imp}}$ and $S_0$ having excitation energy of order $J_K$. The exponent $3\pi R^2(= 1)$ is a sum of the dimensions of $S^+_i$ and $S^-_i$. The data for $J_K = 5$ in Fig. 7 is in excellent agreement with the above calculation, although the data for $J_K = 1$ is curving, which we think is due to a crossover to the true scaling regime.

C. Numerical results for $\Delta = -0.5$

Here we present the numerical results for negative $\Delta$. Using the DMRG method, we have calculated finite-size gap and spin correlation functions for $\Delta = -0.5$, where $R = 1/\sqrt{6\pi}$ and $v = 3\sqrt{3}/8$.

![FIG. 7. Correlation between $S^z_{\text{imp}}$ and $S^z_i$ calculated for $\Delta = 0.5$ and $L = 201$. The dashed line represents the expected $i^{-1}$ behavior.](image)

![FIG. 8. Energy gap $E_g$ as a function of system size $L$ for $\Delta = -0.5$. The data are taken for $L = 17, 25, 33, 49, 65, 97, 129, 201, \text{ and } 301$. The dashed line represents the infinite-$L$ limit, $E_g L = \sqrt{3\pi}/8$.](image)

Figure 8 shows the finite-size energy gap as a function of the system size for $L = 1 \pmod{4}$. As in the last section, the gap is defined as difference between the lowest energy in the sector $S^z_{\text{tot}} = 0$ and that in the sector $S^z_{\text{tot}} = 1$. We find that the normalized gap $E_g L$ increases for small $J_K$, while it decreases for large $J_K$. This is consistent with our picture of the renormalization flows (Fig. 3). For small $J_K$ the excitation gap is due to fluctuations of $S_{\text{imp}}$ weakly coupled to the host spin chain.
This coupling is renormalized and becomes stronger as we saw in Sec. IIA. For large $J_K$, on the other hand, the host XXZ chain is almost cut by a singlet, and the finite-size gap roughly corresponds to the singlet-to-triplet excitation energy in half chains. As $L$ increases, or equivalently, as the energy scale decreases, the renormalized coupling between the almost decoupled chains becomes larger (“healing”), leading to the decrease of the normalized finite-size gap. It is clear that all the curves in Fig. 8 approach the dashed line $E_g L = \sqrt{3\pi}/8 = 0.680 \cdots$, which is the value one expects for a single XXZ chain of length $L$. Unlike in the case of $\Delta = 0.5$, however, we have not been able to obtain information on the scaling dimension of a leading irrelevant operator from the numerical data. A log-log plot of $|E_g - E_g^{(0)}|$ versus $L$ did not give straight lines corresponding to power-law scaling. This would mean that the systems we have studied ($L \sim 200$) are not large enough.

FIG. 9. Correlation function $\langle S_{-i}^z S_i^z \rangle$ for $\Delta = -0.5$ and $L = 201$. The dashed line corresponds to the $i^{-1/3}$ decay.

Next we show the results of correlation functions which we computed for the ground state of $L = 201$ system ($S_{tot}^z = 0$) Figure 10 and 11 show correlation functions of $S_{-i}$ and $S_i$. The correlator $\langle S_{-i}^z S_i^z \rangle$ is positive and decays like $i^{-1/3}$, while $\langle S_{-i}^z S_i^z \rangle$ is negative and decays much faster like $i^{-2}$. These features are exactly what we expect from our picture of the low-energy fixed point (Figs. 2 and 3). Since the spin chain is well connected, the correlation functions $\langle S_{-i}^z S_i^z \rangle$ should behave as in a pure XXZ chain without an impurity spin. That is, exponents of power-law decays should be the same as those in the pure chain, although amplitudes of the correlators will depend on $J_K$. From Eqs. (4a) and (4b) we see that at long distance $S_i^z \sim d\phi/dx$ and $S_i^+ \sim (-1)^{J_K} e^{2\pi R_0}$, whose scaling dimensions are 1 and $\pi R_0^2 = 1/6$. Hence $\langle S_{-i}^z S_i^z \rangle$ should decay as $i^{-2}$ and $\langle S_{-i}^z S_i^z \rangle \propto i^{-1/3}$, in agreement with the numerical result [4].

We next discuss correlations between $S_{imp}^z$ and $S_i$. Since there is always a short-distance correlation between $S_{imp}^z$ and $S_{1} + S_{-1}$, we expect $\langle S_{imp}^z S_{0}^z \rangle \propto \langle (S_{1}^z + S_{-1}^z)S_{0}^z \rangle$ with a smaller constant of proportion for larger $J_K$. Noting that $S_{i}^z + S_{i-1}^z$ corresponds to the staggered component in a pure XXZ chain without the spin rotation of $S_i (i > 0)$, we conclude that $\langle S_{imp}^z S_{i}^z \rangle \propto i^{-1/3}$ and $\langle S_{imp}^z S_{i}^z \rangle \propto i^{-2}$ for large $i$. Our numerical results shown in Figs. 11 and 12 show exactly the feature discussed above. Hence we conclude that the numerical results support our picture of the low-energy fixed point.

FIG. 10. Correlation function $\langle S_{-i}^z S_i^z \rangle$ for $\Delta = -0.5$ and $L = 201$. The dashed line corresponds to the $i^{-2}$ behavior.

FIG. 11. Correlation between $S_{imp}^z$ and $S_i^z$ for $\Delta = -0.5$ and $L = 201$. The dashed line corresponds to the $i^{-1/3}$ behavior.
spinless fermion system, mutual interactions. Employing the known result for the relevant perturbation, depending on the sign of the mu-
potential for the fermions, which can be a relevant or ir-
gion. The singlet may then be viewed as an impurity
interactions in the antiferromagnetic (ferromagnetic re-
tion. The fermions have mutual repulsive (attractive)
fermionic system using the Jordan-Wigner transforma-
tion functions. The numerical results are consistent with
low-energy properties of the spin chain.

In the antiferromagnetic side (0 < \Delta \leq 1) the host XXZ chain is cut by the singlet into two separate chains. On the other hand, in the ferromagnetic side (-1 < \Delta < 0) the singlet does not harm the host spin chain in the low-energy limit. This may be understood qualitatively by mapping the problem to a spinless fermionic system using the Jordan-Wigner transformation. The fermions have mutual repulsive (attractive) interactions in the antiferromagnetic (ferromagnetic) region. The singlet may then be viewed as an impurity potential for the fermions, which can be a relevant or irrelevant perturbation, depending on the sign of the mutual interactions. Employing the known result for the spinless fermion system, we can argue that the host spin chain is cut into two pieces in the antiferromagnetic case whereas in the other case the singlet does not affect the low-energy properties of the spin chain.

We have used the powerful DMRG method to numerically compute finite-size energy gaps and correlation functions. The numerical results are consistent with the RG analysis. For \Delta = 0.5, the normalized gap approaches the value for a chain of half length, and the correlation function across \( S_0 \) decays much faster than in a pure spin chain (\( J_K = 0 \)). These results are explained successfully based on the RG analysis of the strong-coupling fixed point (Fig. 1). For \( \Delta = -0.5 \) we have found that the normalized gap approaches the value for a spin chain without the Kondo impurity. The correlation functions also show the same power-law behavior as in the pure spin chain. These results are consistent with our picture of the fixed point where the host spin chain remains as a single chain through healing of a coupling weakened by the singlet formation (Figs. 2 and 3).

**IV. CONCLUSIONS**

In this paper we have studied the Kondo effect due to an extra spin coupled to a gapless XXZ spin chain. In our model the backward spinflip scattering is always a relevant perturbation. At low energy the impurity spin is screened by a spin in the host chain, and the characteristic energy scale, the Kondo temperature \( T_K \), has a power-law dependence on the Kondo coupling. From the perturbative RG analysis for various limits, we have deduced properties of strong-coupling, low-energy fixed points. In the antiferromagnetic side (0 < \Delta \leq 1) the host XXZ chain is cut by the singlet into two separate chains. On the other hand, in the ferromagnetic side (-1 < \Delta < 0) the singlet does not harm the host spin chain in the low-energy limit. This may be understood qualitatively by mapping the problem to a spinless fermionic system using the Jordan-Wigner transformation. The fermions have mutual repulsive (attractive) interactions in the antiferromagnetic (ferromagnetic) region. The singlet may then be viewed as an impurity potential for the fermions, which can be a relevant or irrelevant perturbation, depending on the sign of the mutual interactions. Employing the known result for the spinless fermion system, we can argue that the host spin chain is cut into two pieces in the antiferromagnetic case whereas in the other case the singlet does not affect the low-energy properties of the spin chain.

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