Comorbidities in idiopathic pulmonary fibrosis: an underestimated issue

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ABSTRACT  Idiopathic pulmonary fibrosis (IPF) is a progressive and fibrosing lung disease with a poor prognosis. Between 60% and 70% of IPF patients die of IPF; the remaining causes of death may be due to comorbidities occurring in this ageing population. Interest in the role played by comorbidities in IPF has increased in the past few years. The optimal clinical management of IPF is multifaceted and not only involves antifibrotic treatment, but also vaccinations, oxygen supplementation, evaluation of nutritional status as well as psychological support and patient education. Symptom management, pulmonary rehabilitation, palliative care and treatment of comorbidities represent further areas of clinical intervention. This review analyses the major comorbidities observed in IPF, focusing on those that have the greatest impact on mortality and quality of life (QoL). The identification and treatment of comorbidities may help to improve patients’ health-related QoL (i.e. sleep apnoea and depression), while some comorbidities (i.e. lung cancer, cardiovascular diseases and pulmonary hypertension) influence survival. It has been outlined that gathering comorbidities data improves the prediction of survival beyond the clinical and physiological parameters of IPF.

Introduction  Idiopathic pulmonary fibrosis (IPF) is a progressive and fibrosing lung disease with an average survival of 3–5 years from the time of diagnosis [1]. Although it is not a neoplastic condition, the average survival can be similar or even worse than in many tumours [2, 3]. Between 60% and 70% of IPF patients die from causes directly related to IPF [4, 5]; the remaining causes of death may be due to comorbidities occurring particularly in this older population. The great research effort made in the past 20 years has led to the approval of two molecules for the treatment of IPF (pirfenidone and nintedanib), a previously “orphan”...
Some comorbidities may be involved in the development of IPF: diabetes may influence the progression or other common pathogenetic pathways between IPF and cancer may exist and have been described [3].

The objective of this review is to analyse the major comorbidities observed during IPF, focusing on those that have the greatest impact on mortality and QoL of patients, with many of these representing the "IPF comorbidome" [23].

Comorbidities and IPF

Different numbers and types of comorbidities may be observed in patients with IPF. In a retrospective monocentric study on 272 patients with IPF, 12% of the sample had no comorbidities, 58% had one to three comorbidities and 30% had four to seven comorbidities [23]. The median survival according to the frequency of comorbidities ranged from 66 months for patients not having comorbidities to 48 months for those who had one to three comorbidities and to 35 months for those who had four to seven comorbidities [23]. In this study, cardiovascular disease and lung cancer were found to be the comorbidities that had the greatest impact on mortality [23]. Another recent monocentric study conducted in Denmark on 121 patients identified cardiovascular disease, depression, hypertension and diabetes mellitus as the most common comorbidities in patients with IPF [21]. Similar data also emerged from the German INSIGHTS-IPF registry that since 2012 prospectively collected data on more than 500 patients from 19 centres [22]. Highly conflicting data emerged with respect to the prevalence of lung cancer in patients with IPF, ranging from 1% as reported in the INSIGHTS-IPF registry [22] to 15% according to KREUTER et al. [23] and to 6% as reported in the Danish trial [21]. In another study, 20.4% of 103 IPF patients developed lung cancer during an observation period of up to 10 years, with an increasing cumulative incidence as the follow-up progressed [31]. The differences could have been due to the fact that patients with IPF and lung cancer are treated mainly by oncologists and not by pulmonologists, and these patients never enter a registry database. Differences in lifestyle and diet in different countries, and differences in smoking histories, sample size, length of observation period and how patients are studied, may also arise. GOR and reflux symptoms are other comorbidities characterised by an extremely variable prevalence, ranging from 0% to 94% depending on the study and type of assessment [20, 21, 32, 33].

Some comorbidities observed during IPF share the same risk factors, e.g. lung cancer, chronic obstructive pulmonary disease (COPD) and cardiovascular diseases related to cigarette smoking. Another association might be the process of ageing, which is closely connected to telomeres. Mutations in TERT (telomerase reverse transcriptase) or TERC (telomerase RNA component) and telomere shortening are involved in the pathogenesis of IPF [3, 34], but also of cancer [35] as well as of emphysema [36]. This gave rise to the hypothesis that IPF and some of its comorbidities are a "combined" disease/syndrome of ageing [23, 37]. Other common pathogenetic pathways between IPF and cancer may exist and have been described [3]. Some comorbidities may be involved in the development of IPF: diabetes may influence the progression or...
the beginning of IPF through hyperglycaemia-associated pulmonary inflammation [38, 39]; GOR could lead to chronic inflammation through repetitive microaspiration events and may finally lead to fibrotic evolution [40].

**Emphysema and COPD**

The vast majority of patients with IPF are smokers or ex-smokers. It is therefore not surprising that patients with IPF may also have symptoms of emphysema and/or COPD. These aspects are more obvious from the radiological perspective than from the functional point of view. About 30% of IPF patients have concurrent pulmonary emphysema, including 8–27% of patients with 10% emphysematosous changes throughout the lungs, predominantly in the upper lobes [41–43]. However, in several studies the detection of emphysema/COPD varied from 6% to 67% [20]. When emphysema is a relevant component of the condition, it is referred to as “combined pulmonary fibrosis emphysema” (CPFE) seen as a distinct phenotype of IPF [44]. Patients with CPFE are generally middle-aged males, strong smokers with high-resolution computed tomography (HRCT) showing typical or atypical fibrosis at the base of the lung and emphysema in the upper lobes [44]. Respiratory function tests indicate that lung volumes are preserved (due to the opposite effect that fibrosis and emphysema have on lung volumes), while at the same time an extremely severe and disproportionate reduction of the diffusing capacity of the lung for carbon monoxide ($D_L^{CO}$) can also be observed [44, 45]. These patients are severely hypoxaemic and usually experience major desaturations during the 6-min walk test. CPFE is also associated with the frequent occurrence of pulmonary hypertension and lung cancer as well as with a worse prognosis compared with pure IPF [44, 45]. Changes in forced vital capacity (FVC) over time in patients with CPFE are less reliable in assessing disease progression; the variation in forced expiratory volume in 1 s is a more accurate and reliable indicator in these patients [46–49]. It is still uncertain whether pulmonary fibrosis and emphysema are two different diseases simply associated with cigarette smoking or if instead they represent a distinctive phenotype of a subset of patients [50]. The patients in whom the amount of emphysema outperforms that of fibrosis are generally not included in clinical trials because of their different functional performance compared with patients with IPF alone. A post hoc analysis of the data from the INPULSIS trial reported that the effect of nintedanib was the same in patients with and without emphysema [51]. To date, it is unclear whether nintedanib and pirfenidone also work in the same way in patients with CPFE. Bronchodilator therapy and pulmonary rehabilitation may be empirically prescribed and may play a role [52].

**Pulmonary hypertension**

Pulmonary hypertension is currently defined as mean pulmonary arterial pressure (mPAP) $\geq$20 mmHg at right heart catheterisation (RHC) [53–55]; it is a common complication of IPF, especially during its progression and in the advanced stages of the disease. The true prevalence of pulmonary hypertension in IPF is difficult to ascertain, as estimates are very different and depend on case-finding methodology and the IPF population studied. Insurance claims data report a very low prevalence of pulmonary hypertension (3%) in IPF patients [28]. A prevalence of 29–46% has been reported in studies in which RHC has been carried out (RHC being the gold standard for the diagnosis of pulmonary hypertension) [53–64]. A prevalence as high as 84% was reported in patients with advanced disease investigated through transthoracic echocardiography (a commonly used procedure to screen for pulmonary hypertension [56, 65]), although it is likely that the prevalence is overestimated due to the high variability of this method. Approximately 30–50% of patients with advanced IPF do develop pulmonary hypertension [20]. The prevalence of pulmonary hypertension at diagnosis depends on the severity of IPF and possibly also on the presence of other comorbidities, e.g. sleep apnoea, thromboembolic/cardiac diseases and COPD. The presence of pulmonary hypertension should always be suspected in patients with severe hypoxia or subjects who become desaturated during the 6-min walk test in a way that is disproportionate to their functional status and also when $D_L^{CO}$ values are disproportionately low. From the clinical perspective, signs of right heart failure may become evident. Chest CT may show enlargement of the pulmonary arterial diameters, although, especially in IPF patients, imaging studies may overestimate the presence of pulmonary hypertension (probably due to traction phenomena exerted on the vasculature by fibrotic tissue). In a number of studies, pulmonary hypertension detected through echocardiographic scans or by RHC with different cut-off points was reported to be associated with an increased risk of death [44, 56, 66, 67]. A three-fold increase in mortality was proven in patients with pulmonary hypertension, especially when systolic PAP exceeds 50 mmHg at echocardiography [56]. The presence of pulmonary hypertension impacts not only on survival, but also on exercise capacity and QoL. There is currently no indication to treat pulmonary hypertension in patients with IPF. Studies conducted in recent years with different drugs commonly used in primary pulmonary hypertension (bosentan, ambrisentan, macitentan and riociguat) have all given negative results in terms of slowing the progression of the disease in patients with IPF without pulmonary hypertension [68–70] and in modifying the haemodynamic setting in patients with
concomitant pulmonary hypertension [71–73]. Therefore, patients with pulmonary hypertension in IPF are a group of subjects with high and unmet medical needs [74]. A study on sildenafil in patients with advanced disease (defined as $D_{LCO} \leq 35\%$ predicted), although it did not reach the primary end-point, did report encouraging results in the secondary end-points: improvement of dyspnoea, QoL, $D_{LCO}$, and oxygen saturation [75]. In a subsequent pre-specified analysis, it has been demonstrated that sildenafil works well and preserves exercise capacity only in IPF patients who have right-sided ventricular dysfunction [76]. On the basis of this observation, further studies were conducted using a combination of sildenafil and pirfenidone or nintedanib in patients with advanced IPF and pulmonary hypertension. The association of sildenafil and nintedanib did not provide a significant benefit on QoL compared with nintedanib alone (a change in the St George’s Respiratory Questionnaire was the primary end-point and dyspnoea was a secondary end-point) [77]. The study on the combination of sildenafil and pirfenidone in patients with advanced IPF is still ongoing. Patients with mPAP $\geq 20$ mmHg on RHC or an echocardiogram peak tricuspid valve regurgitation velocity $\geq 2.9$ m·s$^{-1}$ are considered to be affected by intermediate/high likelihood of pulmonary hypertension and will be therefore considered eligible for this study (ClinicalTrials.gov identifier NCT02951429). A $D_{LCO} \leq 40\%$ predicted was selected as part of the inclusion criteria to increase the likelihood of the study to include patients with pulmonary vasculopathy and a risk of pulmonary hypertension. We are now waiting for the results of this trial [78].

Lung cancer
We have already mentioned the variable incidence and prevalence of lung cancer in patients with IPF that were reported in several papers (figure 1) [20]. Previous studies have revealed a high incidence of lung cancer in IPF [31, 79–81] and a likely increase in the annual risk of lung cancer that appears to rise over the years following diagnosis [31]. Cell senescence, genetic and epigenetic alterations, abnormal expression of microRNAs, delayed apoptosis, and activation of specific transduction pathways have been observed in both IPF and cancer pathogenesis [3, 82]. An even higher incidence has been reported in CPFE [83, 84]. Tomassetti et al. [85] found that lung cancer in IPF patients has a significant negative impact on survival. They demonstrated that the difference in mortality seen in their study was not due to the progression in IPF, but mostly caused by the worsening of lung cancer and treatment-related complications [85]. The surgical management of early lung cancer can be curative, but it is encumbered by increased mortality and by acute exacerbation episodes (reported in 7–32% of patients) [86–90], which can also occur following chemo- and radiation therapy [31, 84, 91, 92]. Antiproliferative effects and antitumor activity of pirfenidone and nintedanib may have a synergistic effect with current chemotherapeutic regimens, but further studies are needed [3, 93, 94]. A recent study suggests that pirfenidone therapy reduces the incidence of lung cancer [95]. Although there are no reference guidelines, annual lung cancer screening with low-dose CT in high-risk patients with IPF, especially those with CPFE and/or a heavy smoking history, could be considered [65, 96]. Clinicians should also consider CT examination in patients who show clinical deterioration despite stable pulmonary function or who develop new atypical symptoms in IPF.

Sleep apnoea
Recently, several studies have focused on the relationship between sleep apnoea and IPF, frequently reporting moderate-to-severe obstructive sleep apnoea (OSA) in IPF patients (defined by an apnoea–hypopnoea index $> 15$ events·h$^{-1}$) [97–103]. The relationship between IPF and OSA is very complex. From a pathophysiological viewpoint, it is known that a reduction in lung volume leads to instability of the

![FIGURE 1 Left lung cancer in a patient with idiopathic pulmonary fibrosis.](https://doi.org/10.1183/16000617.0044-2019)
upper airways and therefore the restrictive condition that is typical of IPF can in itself support the
development of OSA [104, 105]. However, OSA favours episodes of GOR, which can in turn cause
inflammation and encourage the development of pulmonary fibrosis [106], and episodes of intermittent
nocturnal hypoxaemia, which are associated with an increase in oxidative stress; both of these mechanisms
can foster the emergence of pulmonary fibrosis [107]. In a recent prospective French study,
moderate-to-severe OSA was frequently detected at the time of IPF diagnosis (62% of cases), while
cardiovascular comorbidities, particularly ischaemic heart disease (IHD), were more frequently found in IPF
patients with severe OSA than in IPF patients with no or mild-to-moderate OSA. The presence of
moderate-to-severe coronary artery calcifications on HRCT was strongly associated with severe OSA [108].
Previous studies reported a higher prevalence of IHD in IPF patients than in matched COPD or emphysema
patients, independently of common coronary artery risk factors [109–113]. The presence of significant
coronary artery disease at the time of IPF diagnosis does influence both prognosis and outcome [112].
Polysomnography is currently used in a very limited number of IPF patients (~3% of subjects in a
retrospective study) [97]. Untreated OSA may result in nocturnal oxygen desaturation episodes, which are
associated with worsening of survival [114]. Nocturnal hypoxaemia is closely related to increments in right
ventricular systolic pressure values associated with increased pulmonary hypertension [114, 115]. To detect
occult OSA, nocturnal polysomnography should be performed at the time of IPF diagnosis, especially
when moderate-to-severe coronary artery calcifications are seen on HRCT images [108]. The use of
continuous positive airway pressure results in significant improvements in activities of daily living, quality
of sleep, QoL and survival [100]. This observation provides the first evidence that the treatment of some
comorbidities could affect the outcome and prognosis in IPF patients. However, the impact of OSA on
survival is still not really clear and needs to be explored further in prospective studies.

Cardiovascular conditions and pulmonary embolism

A number of cardiovascular comorbidities have been reported in several studies: systemic arterial
hypertension, arrhythmias/atrial fibrillation, heart failure, IHD, cerebral ischaemic events and strokes. The
reported prevalence varies according to the type of study and the population investigated. The frequency
of cardiovascular comorbidities is, however, higher than in the general population and even than in
patients with COPD [110, 112]. IHD was the most frequently reported cardiovascular comorbidity,
characterised by extremely variable values ranging from 3.2% in a study based on administrative data
(from medical claims in the USA) to 68% found in patients undergoing cardiac catheterisation carried out
for their inclusion in the waiting list for lung transplantation (considering both significant and
nonsignificant coronary heart disease) [20]. In a group of 73 patients with IPF, 18% of them had an
indication of coronary revascularisation or a significant coronary disease defined as a stenosis >50% of a
great coronary vessel as detected by cardiac angiography [112]. The recognition of coronary calcifications at
chest CT may represent a valuable noninvasive screening method for the identification of coronary heart
disease [116]. The recent study by Kreuter et al. [23] reports the presence of cardiovascular comorbidities in
78% of patients. IHD is associated with a worse prognosis in patients with IPF [112, 117, 118]; in a
Finnish study it was found to be the second most common cause of death in patients with IPF [119].

In patients with IPF, reduced mobility and the presence of a pro-coagulant state may also promote
episodes of deep vein thrombosis and pulmonary embolism (figure 2) [110, 120, 121].

In an American retrospective study, the incidence of pulmonary embolism reported in IPF patients was
almost three times higher than in the subjects without IPF [28]. Therefore, pulmonary embolism should
be excluded in patients with progression of symptoms and stable pulmonary function. Kubo et al. [122]
published an open-label randomised trial of prednisolone plus anticoagulant therapy versus prednisolone
alone in IPF patients and observed a significant reduction in the secondary end-point of IPF acute
exacerbation-related mortality in patients treated with anticoagulants. Treating a hypercoagulability state in
IPF may improve survival, as indicated by this encouraging study [122]. However, a placebo-controlled
clinical trial on warfarin failed to meet its end-point, and demonstrated lack of benefit and increased
mortality in the treated arm [123]. The results of this trial suggest that anticoagulant therapy administered
specifically for treatment of IPF may be associated with a negative outcome [123]. More recent studies
suggest that anticoagulant therapy used for non-IPF indications may have negative effects in IPF patients
[124, 125].

GOR disease

Both symptomatic and asymptomatic GOR are commonly observed in patients with IPF; studies published
a few years ago suggested that microaspiration events could be the cause of repetitive lung damage, thereby
possibly playing a role in the pathogenesis of the disease [106]. Pepsin, a gastric aspiration marker, was
retrieved from the bronchoalveolar lavage fluid of patients with stable IPF [126]. The simultaneous
presence of IPF and GOR was identified in 60% of cases, but studies also including oesophageal pH-metry
reported a prevalence >80% [20, 127, 128]. The possible pathogenetic role of microaspiration events is, however, questioned by the fact that while GOR is a frequently occurring clinical condition, IPF is relatively rare [126, 127, 129, 130]. More recent studies have shown that patients with GOR have a better survival than subjects who do not have reflux [23, 131, 132]. This particular association may be due to an earlier IPF diagnosis in patients symptomatic for GOR [23, 132], to the identification of a particular endotype of IPF patients with a better prognosis or to a protective effect of antireflux therapy [23, 131–133]. The role of antireflux and anti-acid therapy is still controversial: use of anti-acid medication has been associated with a slower decline in FVC and improved survival [23, 131], as well as fewer exacerbations in patients with IPF [32]. Another study demonstrated that the use of anti-acid medications is not associated with a more favourable course of the disease [134, 135]. The use of anti-GOR agents can worsen the prognosis and increase respiratory infections in patients with advanced IPF (particularly with FVC <70% predicted) [23, 136]. Microaspiration events have been associated with episodes of acute exacerbation of IPF and may represent a trigger for these acute deterioration events [126]. Anti-acid drugs are not antireflux treatments and only act against the acidic components of reflux. Moreover, a cough trial with proton pump inhibitors showed that proton pump inhibitors increase the nonacidic components of reflux [137]. A recently published pilot trial on omeprazole in IPF demonstrated that omeprazole is well tolerated; a small excess of lower respiratory tract infection was evidenced together with a minor reduction in FVC associated with use of omeprazole [138]. In order to give a definitive answer on the effects of GOR therapy in patients with IPF, further prospective, randomised studies are needed to shed light on this specific aspect. Although antireflux surgery has controversial effects and should not be performed routinely, it may nevertheless be considered in selected IPF cases. This specific treatment has been recently investigated in a prospective phase II study demonstrating that laparoscopic antireflux surgery is a safe and well-tolerated procedure in patients with IPF and abnormal acid GOR [139].

Anxiety and depression

Although patients with IPF often show symptoms of anxiety and depression, these clinical signs have not been duly appreciated. The prevalence of anxiety and depression ranges from 30% to 50% and 20% to 30%, respectively [20]. Anxiety and depression are not directly related to the functional status of patients, but the presence and worsening of dyspnoea as well as the progression of the disease do constitute aggravating factors. These two comorbidities have a significant impact on patients' QoL. The importance of depression and anxiety in COPD, as well as in other chronic respiratory diseases, is well known. Depression and anxiety are commonly observed in patients with COPD [140–142], and have an adverse influence on the course of the condition: these symptoms cause an increase in dyspnoea, and in physical disability and mortality, as well as a decrease in exercise tolerance [143]. In a recent study, IPF patients with depression were found to have a reduced QoL compared with those without depression [144]. The management of depression did not therefore influence mortality, but may be of primary importance in improving QoL in patients with IPF [144]. Recent studies have demonstrated that pulmonary rehabilitation programmes including educational approaches, disease management strategies and physical activities do significantly improve exercise capacity, dyspnoea and QoL in patients with IPF [144–146].
Subjects with IPF could benefit from cognitive behavioural therapy and counselling by qualified healthcare specialists. Further research is required to determine whether the psychological approach and pharmacological treatment for depression and anxiety can improve health outcomes (QoL, mortality and hospitalisation) in patients with IPF [144]. According to the recent published TORVAN study, depression is a comorbidity with real impact in the context of IPF [132].

Comorbidities and survival

Comorbidities are very common in IPF and a number of them have been found to be associated with survival in IPF (i.e. lung cancer, pulmonary hypertension and cardiovascular diseases) [57, 80, 84, 109, 112, 147]. However, researchers have not yet estimated the potential of these comorbidities for improving the prediction of survival in IPF beyond the demographic characteristics and the measures of disease severity (i.e. pulmonary function). Recently published research has developed and validated the first-ever clinical prediction model and an index point score (called the TORVAN model and index, similar to the GAP (Gender–Age–Physiology) model and index) for all-cause mortality in IPF which includes the comorbidities that are considered as variables [132]. TORRISI et al. [132] outline that the inclusion of comorbidities increased the possibility of predicting the survival potential beyond the basic physiological and functional data. Relatively few comorbidities influenced the prediction of survival, while sex became a less important prognostic indicator when viewed within the context of comorbidities [132]. The inclusion of comorbidities improved the prediction of survival, with a similar predictive performance in two independent patient cohorts. Risk stratification by this index may improve both the clinical real-life approach and the design of future clinical studies.

Conclusions

Comorbidities are commonly found in elderly patients with IPF and many of them can influence survival, such as lung cancer, pulmonary hypertension and cardiovascular diseases. The true role of comorbidities in the prognosis and survival in IPF is unknown. Data exploring their impact are limited and sometimes conflicting, probably due to the small number of patients involved and to the different definitions used to describe the individual comorbidities. The prevalence and severity of comorbidities are likely to further increase as a consequence of the improved survival in IPF patients due to the introduction of new antifibrotic treatments in clinical practice.

It is of paramount importance that clinicians understand the magnitude and significance of comorbidities in IPF. There is increasing evidence that the early diagnosis and treatment of comorbidities is an important tool together with the treatment of IPF itself. Treatment of comorbidities may improve QoL in IPF patients. Some possible strategies for the management of comorbidities in IPF include changes in lifestyle, treatment of symptomatic GOR and sleep apnoea, identification of cardiovascular risk, quitting smoking, and prescription of long-term oxygen therapy when indicated. Pulmonary rehabilitation can also have a positive impact on depression, anxiety, cardiovascular diseases and QoL. [148, 149]. Palliative care in patients with advanced disease or poor symptom control is another important issue to consider [150].

We are increasingly moving towards the concept of personalised medicine in IPF patients. Timely detection, comprehensive evaluation of the patient as a whole and effective treatment of comorbidities in IPF should be areas of future research that could indeed improve the global management and QoL of IPF patients.

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