Resonant and Scattering States in the $\alpha + \alpha$ System from the Non-Localized Cluster Model

Dong Bai$^{1,2,*}$ and Zhongzhou Ren$^{1,3,**}$

$^1$School of Physics Science and Engineering, Tongji University, Shanghai 200092, China
$^2$School of Physics, Nanjing University, Nanjing 210093, China
$^3$Key Laboratory of Advanced Micro-Structure Materials, Ministry of Education, Shanghai 200092, China

Abstract

The non-localized cluster model provides a new perspective on nuclear cluster effects and has been applied successfully to study cluster structures in various bound states and quasi-bound states (i.e., long-lived resonant states). In this work, we extend the application scope of the non-localized cluster model further to resonant and scattering states. Following the $R$-matrix theory, the configuration space is divided into the interior and exterior regions by a large channel radius such that the nuclear forces and the antisymmetrization effects become negligible between clusters in the exterior region. In the interior region, the picture of non-localized clustering is realized mathematically by adopting the Brink-Tohsaki-Horiuchi-Schuck-Röpke (Brink-THSR) wave functions as the bases to construct the interior wave functions. The Bloch-Schrödinger equation is used to match the interior wave functions continuously with the asymptotic boundary conditions of the resonant and scattering states at the channel radius, which leads eventually to solutions of the problem. As a first test of the formalism, the low-lying resonant states of $^8\text{Be}$ and the phase shifts of the $\alpha + \alpha$ elastic scattering are studied. The numerical results agree well with the experimental data, which shows the validity of the theoretical framework.

* dbai@tongji.edu.cn
** Corresponding author: zren@tongji.edu.cn
I. INTRODUCTION

Cluster structures are important for nuclear many-body problems and have been studied intensively by both experimentalists and theorists. The non-localized cluster model is a new microscopic framework in nuclear cluster physics based on the picture of non-localized clustering \[1\textsuperscript{-}5\]. It originates from the studies of $\alpha$-condensates by Tohsaki, Horiuchi, Schuck, and Röpke (THSR) in 2001 \[6\], and gets crystallized in the microscopic studies of $^{20}$Ne in 2012-2013 \[7\textsuperscript{-}9\]. In the traditional picture of localized clustering, the clusters are thought to be localized at fixed positions. Contrarily, in the picture of the non-localized clustering, the clusters could move freely in some nuclear containers. The non-localized cluster model has been applied to study nuclear structures of bound states and quasi-bound states (i.e., long-lived resonant states) in various light nuclei and hypernuclei, including $^{6}$He \[10\], $^{8}$Be \[11\textsuperscript{,} 12\], $^{9}$Be \[13\], $^{10}$Be \[14\], $^{11}$Be \[15\], $^{9}$B \[16\], $^{10}$B \[17\], $^{12}$C \[17\textsuperscript{,} 17\], $^{16}$O \[6\textsuperscript{,} 12\textsuperscript{,} 18\textsuperscript{,} 21\textsuperscript{,} 23\], $^{20}$Ne \[7\textsuperscript{,} 8\textsuperscript{,} 24\], $^{9}$ΛBe \[25\], and $^{13}$ΛC \[26\]. The theoretical results agree well with the experimental data and the microscopic calculations based on the resonating group method (RGM) and the generator coordinate method (GCM), revealing the robustness of the new picture.

In this work, we generalize the non-localized cluster model from bound and quasi-bound states to resonant and scattering states. Following the $R$-matrix theory \[27\textsuperscript{-}34\], the configuration space is divided into the interior and exterior regions. The channel radius separating these two regions has to be chosen properly such that in the exterior region the nuclear forces and the antisymmetrization effects become negligible between different clusters and only the long-range Coulomb force survives. The Bloch-Schrödinger equation is adopted to match the interior wave functions continuously at the channel radius with the asymptotic boundary conditions of resonant and scattering states, which eventually leads to solutions of the problem.

In the interior region, the Brink-THSR wave functions \[8\textsuperscript{,} 9\], which combine features of the Brink wave functions \[35\] and the THSR wave functions \[6\], are adopted as bases to construct the interior wave functions. The Brink wave functions are the canonical mathematical realizations of the localized clustering and assume the clusters to be localized at fixed generator coordinates. The THSR wave functions are, on the other hand, the canonical mathematical realizations of the non-localized clustering. For each THSR wave function,
nuclear containers are introduced at the origin as extra ingredients to constrain the motion of clusters. Unlike the Brink wave functions, the clusters are assumed to be delocalized from any fixed positions and could move freely inside the nuclear containers. The Brink-THSR wave functions lie somewhere between the Brink and THSR wave functions. Compared with the THSR wave functions, the Brink-THSR wave functions have nuclear containers at different generator coordinates. The clusters then move non-locally inside these nuclear containers, which again contradicts the localized motion of the clusters in the Brink wave function. Therefore, the Brink-THSR wave functions could be regarded as another mathematical realizations of the non-localized clustering. Due to their rich hybrid structures, the Brink-THSR wave functions are shown previously to be crucial in describing the negative-parity states of $^{20}$Ne in the non-localized cluster model, which cannot be handled properly by starting from the THSR wave function directly \[8, 9\]. In other words, the Brink-THSR wave functions play the role of the “midwife” in establishing the new picture of non-localized clustering. Given these achievements, it is important to pursue further applications of the Brink-THSR wave functions.

In the exterior region, the short-range nuclear forces between the clusters become negligible. So does the antisymmetrization effect between different clusters. These simplifications help determine the functional forms of the exterior wave functions. As to be shown later on, for the resonant states the relative components of the exterior wave functions are given by the outgoing Coulomb-Hankel functions, while for the scattering states the relative components of the exterior wave functions are given by combinations of the incoming and outgoing Coulomb-Hankel functions, with the relative coefficients given by the $S$-matrix elements.

As a proof of concept, in this work we use the above theoretical formalism to study the resonant and scattering states in the $\alpha + \alpha$ system. The $\alpha + \alpha$ system has rich physical properties and is crucial for understanding many important nuclear reactions in astrophysics. Both the low-lying resonances of $^8$Be and the phase shifts of the $\alpha + \alpha$ elastic scattering have been measured \[36–43\], making it an ideal playground to develop and validate our method. Various aspects of the $\alpha + \alpha$ system have been studied theoretically by many authors using the RGM \[44, 45\], the GCM \[46–49\], the quantum Monte Carlo method \[50–52\], the THSR wave function \[11, 12\], the cluster effective field theory \[53, 54\], the complex-scaled cluster model \[55–58\], the lattice effective field theory \[59\], the configuration interaction technique \[60, 61\], the $\delta$-shell potential method \[62\], etc. Also, the experience on studying the $\alpha + \alpha$
system would help extend our method further to the α + α + α system, which could contain more exotic structures such as gaslike α-condensates [6], linear-chain structures [18], etc.

The rest parts of this article are organized as follows: In Section II, we present the theoretical framework of our study, introducing briefly the non-localized cluster model in Subsection II A and the Bloch-Schrödinger equation in Subsection II B. The interaction model and the relevant matrix elements are given in Subsection II C. In Section III, we present the numerical results on the low-lying resonances of $^8$Be and the phase shifts of the $\alpha + \alpha$ elastic scattering given by the non-localized cluster model and compare them with the experimental data. Section IV ends this article with additional remarks and conclusions.

II. FORMALISM

A. Brink-THSR Wave Function

We first present the theoretical formalism of the non-localized cluster model. It is adopted to describe the interior region of the $\alpha + \alpha$ system, where the antisymmetrization effect and nuclear interactions cannot be ignored safely and have to be handled exactly. The Brink-THSR wave functions are taken as the bases to construct the interior wave functions, with
the expressions in the intrinsic frame given as follows:

\[
\Psi(\beta, T) = \mathcal{N} \int d^3 R \exp \left( -\frac{R^2}{2\beta^2} \right) \Phi_B(R + T),
\]

\[
\Phi_B(R + T) = \frac{1}{\sqrt{2}} \sqrt{\frac{1}{8!}} \det \{ \varphi_0(r_1 - R/2 - T/2)\chi_{\sigma_1\tau_1} \cdots \varphi_0(r_4 - R/2 - T/2)\chi_{\sigma_4\tau_4} \}
\times \varphi_0(r_5 + R/2 + T/2)\chi_{\sigma_5\tau_5} \cdots \varphi_0(r_8 + R/2 + T/2)\chi_{\sigma_8\tau_8} \},
\]

\[
\varphi_0(r \pm R/2 \pm T/2) = (\pi b^2)^{-3/4} \exp \left[ -\frac{(r \pm R/2 \pm T/2)^2}{2b^2} \right].
\]

Here, \( \varphi_0(r) \) and \( \chi_{\sigma\tau} \) are the spatial and spin-isospin wave functions of a single nucleon. \( \Phi_B(R + T) \) is the Brink wave function with \( R + T \) being the generator coordinate and can be interpreted intuitively as two \( \alpha \) clusters at the fixed positions \(-(R + T)/2\) and \((R + T)/2\). The factor \( 1/\sqrt{2} \) in Eq. (2) accounts for the indistinguishability of the two \( \alpha \) clusters. In the Brink-THSR wave function, the weight function for the generator coordinate \( R \) is taken to be the Gaussian function with the width parameter given by \( \beta \). For the real \( \beta \), the Brink-THSR wave function could be interpreted intuitively as two \( \alpha \) clusters moving non-locally inside two nuclear containers located at the fixed positions \(-T/2\) and \(+T/2\), with the container sizes determined by \( \beta \). A pictorial illustration of both the Brink wave function \( \Phi_B(T) \) and the Brink-THSR wave function \( \Psi(\beta, T) \) could be found in Fig. 1. The overall normalization constant in Eq. (1) is chosen to be \( \mathcal{N} = 1/(2\pi\beta^2)^{3/2} \). Thanks to the analytic solvability of the Gaussian integration, Eq. (1) could be further simplified:

\[
\Psi(\beta, T) = \Psi_{CM}(X_{CM}) \times \hat{\Psi}(\beta, T),
\]

\[
\Psi_{CM}(X_{CM}) = \left( \frac{8}{\pi b^2} \right)^{3/4} \exp \left( -\frac{4X_{CM}^2}{b^2} \right),
\]

\[
\hat{\Psi}(\beta, T) = \frac{1}{\sqrt{140}} \phi_{12} \left[ \Gamma(\rho, \beta, T)\hat{\phi}(\alpha_1)\hat{\phi}(\alpha_2) \right],
\]

\[
\Gamma(\rho, \beta, T) = \left( \frac{2}{\pi} \right)^{3/4} \left( \frac{b^{3/2}}{b^2 + 2\beta^2} \right)^{3/2} \exp \left[ -\frac{(\rho - T)^2}{2b^2} \right],
\]

where \( \rho = X_1 - X_2 \) is the relative coordinate and \( X_{CM} = \frac{1}{2}(X_1 + X_2) \) is the center-of-mass (CM) coordinate of the \( \alpha + \alpha \) system, with \( X_i = \frac{1}{4} \sum_{j=4i-3}^{4i} r_j \) \((i = 1, 2)\) being the CM coordinate of the \( i \)th \( \alpha \) cluster, and \( \hat{\phi}(\alpha_i) \) is the antisymmetrized and normalized internal
wave function of the $i$th $\alpha$ cluster and is connected to the Brink wave function by

$$\frac{1}{\sqrt{4!}} \det \left\{ \varphi_{0s}(r_{4i-3} + (-1)^i(R/2 + T/2))\chi_{\sigma_{4i-3}\tau_{4i-3}} \cdots \varphi_{0s}(r_{4i} + (-1)^i(R/2 + T/2))\chi_{\sigma_{4i}\tau_{4i}} \right\} = \left( \frac{4}{\pi b^2} \right)^{3/4} \exp \left\{ -\frac{2}{b^2} \left[ X_i + (-1)^i(R/2 + T/2) \right]^2 \right\} \hat{\phi}(\alpha_i).$$

The intercluster antisymmetrization operator $A_{12}$ in Eq. (6) is defined as

$$A_{12} = 1 - \sum_{j \in \alpha_2} P_{ij} + \cdots,$$  

where $P_{ij}$ exchanges the $i$th nucleon in $\alpha_1$ with the $j$th nucleon in $\alpha_2$, etc. The Brink-THSR wave function has the merit to have the CM motion be easily separated out and captured by the normalized wave function $\Psi_{CM}(X_{CM})$ in Eqs. (4) and (5).

To describe physical states with the definite angular momentum and parity, we consider further the partial-wave expansion of the Brink-THSR wave function

$$\Psi(\beta, T) = \Psi_{CM}(X_{CM}) \times 4\pi \sum_{LM} \hat{\Psi}_L(\beta, T)Y_{LM}(\Omega_\rho)Y^*_{LM}(\Omega_T),$$

$$\hat{\Psi}_L(\beta, T) = \frac{1}{\sqrt{140}} A_{12} \Gamma_L(\rho, \beta, T) \hat{\phi}(\alpha_1) \hat{\phi}(\alpha_2),$$

$$\Gamma_L(\rho, \beta, T) = \left( \frac{2}{\pi} \right)^{3/4} \frac{b^{3/2}}{(b^2 + 2\beta^2)^{3/2}} \exp \left( -\frac{\rho^2 + T^2}{b^2 + 2\beta^2} \right) i_L \left( \frac{2\rho T}{b^2 + 2\beta^2} \right).$$

Here, $i_L(x) = \sqrt{\frac{x}{2\pi}} I_{L+1/2}(x)$, with $I_{L+1/2}(x)$ being the modified Bessel function of the first kind. Then, the radial component of the interior wave function $\hat{\Psi}^{\text{int}}_L(E)$ at the reaction energy $E$ (in the CM frame) could be given by

$$\hat{\Psi}^{\text{int}}_L(E) = \int dT f_L(T, E) \hat{\Psi}_L(\beta, T) = \sum_n \tilde{f}_L(T_n, E) \hat{\Psi}_L(\beta, T_n),$$

with $f_L(T, E)$ being the weight function and $\{ \tilde{f}_L(T_n, E) \}$ being the corresponding discretized representation.

### B. Bloch-Schrödinger Equation

Following the $R$-matrix theory, the channel radius $a$ separates the interior and exterior regions and is chosen to be so large that the short-range nuclear interaction and the antisymmetrization could be safely neglected between the two $\alpha$ clusters in the exterior region.
Therefore, in the exterior region the Hamiltonian becomes

\[ H_L \to H_L^{\text{ext}} \equiv H_{\alpha_1} + H_{\alpha_2} + T_{\rho} + \frac{Z^2_{\alpha}e^2}{\rho}, \]  
(14)

\[ T_{\rho} = \frac{\hbar^2}{2\mu} \left( -\frac{1}{\rho^2} \frac{\partial}{\partial \rho} \left( \rho^2 \frac{\partial}{\partial \rho} \right) + \frac{L(L+1)}{\rho^2} \right). \]  
(15)

with \( H_{\alpha_1} \) and \( H_{\alpha_2} \) being the intrinsic Hamiltonian of the two \( \alpha \) clusters. The radial component of the exterior wave function takes the following form for the resonant and scattering states, respectively

\[ \hat{\Psi}_L^{\text{ext}}(E) = \frac{1}{\sqrt{35}} g_L^{\text{ext}}(\rho) \hat{\phi}(\alpha_1) \hat{\phi}(\alpha_2), \]  
(16)

\[ g_L^{\text{ext}}(\rho) = \begin{cases} \mathcal{H}_L^{(+)}(\eta, k\rho) / \rho, & \text{for resonant states} \\ \left[ \mathcal{H}_L^{(-)}(\eta, k\rho) - S_L(E) \mathcal{H}_L^{(+)}(\eta, k\rho) \right] / \rho, & \text{for scattering states} \end{cases} \]  
(17)

where \( \mathcal{H}_L^{(\pm)}(\eta, k\rho) \) are the incoming/outgoing Coulomb-Hankel functions, with \( k = \sqrt{2\mu E} / \hbar \) being the wave number, \( \eta = \frac{Z^2_{\alpha}e^2}{\hbar} \sqrt{\frac{\mu}{2E}} \) being the Coulomb-Sommerfeld parameter, and \( \mu \) being the two-body reduced mass. \( S_L(E) \) is the so-called \( S \)-matrix element and is related to the phase shift \( \delta_L(E) \) by \( S_L(E) = \exp(2i\delta_L(E)) \). The exterior wave function for the resonant state in Eq. (17) needs some remarks. In this work, we follow Siegert and define the resonant states in the framework of non-Hermitian quantum mechanics as eigenstates with purely outgoing asymptotes [63]. The eigenvalues of the resonant states are given by complex numbers \( E = \mathcal{E} - i\Gamma/2 \), with \( \mathcal{E} \) being the energy and \( \Gamma \) being the decay width.

Given the interior and exterior wave functions in Eqs. (13) and (16), the coefficients \( \{ \tilde{f}_L(T_n, E) \} \) can be determined by solving the Bloch-Schrödinger equation [64]

\[ (H_L + \mathcal{L}(B) - E)\Psi_L^{\text{int}} = \mathcal{L}(B)\Psi_L^{\text{ext}}. \]  
(18)

The Bloch operator \( \mathcal{L}(B) \) gives an elegant implementation of the continuity condition at the channel radius and is given explicitly by

\[ \mathcal{L}(B) = 35 \frac{\hbar^2}{2\mu a} \delta(\rho - a) \left( \frac{d}{d\rho}(\rho - B) \right). \]  
(19)

Here, the parameter \( B \) could take arbitrary values. The prefactor \( 35 = \frac{8!}{2\times4!4!} \) is the number of equivalent definitions of the relative coordinate \( \rho \). Substituting Eq. (13) into Eq. (18),
we have

$$\sum_{n'} [C(B, E)]_{nn'} \tilde{f}_L(T_{n'}, E) = \langle \tilde{\Psi}_L(\beta, T_n) | \mathcal{L}(B) | \tilde{\Psi}_L^{\text{ext}}(E) \rangle, \quad (20)$$

$$[C(B, E)]_{nn'} = \left( \tilde{\Psi}_L(\beta, T_n) | H_L + \mathcal{L}(B) - E | \tilde{\Psi}_L(\beta, T_{n'}) \right), \quad (21)$$

The round brackets "( )" in Eq. (21) refer to the interior matrix element, which is evaluated within the interior region only. For the resonant states, we take

$$B = B_* \equiv ka \frac{\mathcal{H}_L^{(+)\prime}(\eta, ka)}{\mathcal{H}_L^{(+)\prime}(\eta, ka)}, \quad (22)$$

such that the right-hand side of Eq. (20) vanishes. Here, $\mathcal{H}_L^{(+)\prime}(\eta, ka)$ is the derivative of $\mathcal{H}_L^{(+)\prime}(\eta, ka)$ with respect to $ka$. The energy spectrum of the resonant states could then be obtained by solving the following generalized eigenvalue problem

$$\sum_{n'} \left( \tilde{\Psi}_L(\beta, T_n) | H_L + \mathcal{L}(B_*) | \tilde{\Psi}_L(\beta, T_{n'}) \right) \tilde{f}_L(T_{n'}, E) = E \sum_{n'} \left( \tilde{\Psi}_L(\beta, T_n) | \tilde{\Psi}_L(\beta, T_{n'}) \right) \tilde{f}_L(T_{n'}, E). \quad (23)$$

Noticeably, the parameter $B_*$ depends implicitly on the energy $E$ through the definition of the wave number $k$. Therefore, Eq. (23) has to be solved in a self-consistent manner, i.e., one starts with some well-guessed values of $E$ and iterates until the numerical results converge. For the scattering states, we take $B = 0$ for simplicity. With the matrix elements $\left\{ [C(0, E)]_{nn'} \right\}$, the $R$- and $S$-matrix elements are given by

$$\mathcal{R}_L = \frac{\hbar^2 a}{2\mu} \sum_{nn'} \Gamma_L(a, \beta, T_n) [C(0, E)]_{nn'}^{-1} \Gamma_L(a, \beta, T_{n'}), \quad (24)$$

$$\mathcal{S}_L = \frac{\mathcal{H}_L^{(-)}(\eta, ka) - ka \mathcal{H}_L^{(-)\prime}(\eta, ka) \mathcal{R}_L}{\mathcal{H}_L^{(+)\prime}(\eta, ka) - ka \mathcal{H}_L^{(+)\prime}(\eta, ka) \mathcal{R}_L}. \quad (25)$$

The phase shifts could be obtained from its definition. With the $S$-matrix element given in Eq. (25), the interior wave function $\tilde{\Psi}_L^{\text{int}}$ could be obtained by solving the linear equations given by Eq. (20).
C. Interaction Model and Interior Matrix Elements

The microscopic Hamiltonian for the $\alpha + \alpha$ system is given by

$$H = T - T_{CM} + V_N + V_C,$$

(26)

$$T - T_{CM} = -\sum_{i=1}^{8} \frac{\hbar^2}{2m} \left( \frac{\partial}{\partial r_i} \right)^2 + \frac{\hbar^2}{16m} \left( \frac{\partial}{\partial X_{CM}} \right)^2,$$

(27)

$$V_N = \frac{1}{2} \sum_{i \neq j}^{N_g} \sum_{k=1}^{N_s} V_k \exp\left(-\left(r_{ij}/a_k\right)^2\right)(w_k - m_k P^s_{ij} P^r_{ij} + b_k P^s_{ij} - h_k P^r_{ij}),$$

(28)

$$V_C = \frac{1}{2} \sum_{i \neq j}^{8} e^2 \left( \frac{1}{2} + t_{iz} \right) \left( \frac{1}{2} + t_{jz} \right),$$

(29)

where $N_g$ is the number of the Gaussian form factors used in the effective nucleon-nucleon central interaction, $P^s_{ij}$ and $P^r_{ij}$ are the spin and isospin exchange operators, and the isospin $z$-component equals $t_z = +1/2$ for the proton and $t_z = -1/2$ for the neutron.

The interior matrix elements could be calculated by subtracting the exterior contributions from the whole-space matrix elements. Explicitly, we have

$$\left( \hat{\Psi}_L(\beta, T_n) | \hat{\Psi}_L(\beta, T_{n'}) \right) = \langle \hat{\Psi}_L(\beta, T_n) | \hat{\Psi}_L(\beta, T_{n'}) \rangle - \int_0^{\infty} d\rho \rho^2 \Gamma_L(\rho, \beta, T_n) \Gamma_L(\rho, \beta, T_{n'}),$$

(30)

$$\left( \hat{\Psi}_L(\beta, T_n) | H_L | \hat{\Psi}_L(\beta, T_{n'}) \right) = \langle \hat{\Psi}_L(\beta, T_n) | H_L | \hat{\Psi}_L(\beta, T_{n'}) \rangle - \int_0^{\infty} d\rho \rho^2 \Gamma_L(\rho, \beta, T_n) H^L_{ext} \Gamma_L(\rho, \beta, T_{n'}),$$

(31)

$$\left( \hat{\Psi}_L(\beta, T_n) | L(B) | \hat{\Psi}_L(\beta, T_{n'}) \right) = \frac{\hbar^2 a}{2\mu} \Gamma_L(a, \beta, T_n) \left[ \Gamma_L(a, \beta, T_{n'}) + a \frac{d}{da} \Gamma_L(a, \beta, T_{n'}) \right]$$

$$- \frac{\hbar^2 a}{2\mu} B \Gamma_L(a, \beta, T_n) \Gamma_L(a, \beta, T_{n'}),$$

(32)

$$\left( \hat{\Psi}_L(\beta, T_n) | L(B) | L^L_{ext}(E) \right) = \frac{\hbar^2 a}{2\mu} \Gamma_L(a, \beta, T_n) \left[ g^L_{ext}(a) + a \frac{d}{da} g^L_{ext}(a) \right]$$

$$- \frac{\hbar^2 a}{2\mu} B \Gamma_L(a, \beta, T_n) g^L_{ext}(a).$$

(33)

The whole-space matrix elements in Eqs. (30) and (31) could be evaluated by using

$$\langle \hat{\Psi}_L(\beta, T) | \hat{\Psi}_L(\beta, T') \rangle = \frac{1}{8\pi} \int_0^{\pi} \langle \Psi(\beta, T) | \Psi(\beta, T') \rangle P_L(\cos \theta) \sin \theta \, d\theta,$$

(34)

$$\langle \hat{\Psi}_L(\beta, T) | H_L | \hat{\Psi}_L(\beta, T') \rangle = \frac{1}{8\pi} \int_0^{\pi} \langle \Psi(\beta, T) | H | \Psi(\beta, T') \rangle P_L(\cos \theta) \sin \theta \, d\theta.$$

(35)

Here, we take the generator coordinate $T$ to be along the $z$ axis and $T'$ to be in the $xz$ plane, with $\theta$ being the relative angle.
FIG. 2: The energy curves for the $0^+$, $2^+$, and $4^+$ states of $^8$Be given by a single Brink-THSR wave function, with the parameter $\beta$ being 0 fm, 1 fm, 2 fm, and 3 fm. For $\beta = 0$ fm, the Brink-THSR wave function is reduced to the Brink wave function.

III. RESULTS

In this section, we present the numerical results of our work. For the physical constants, we take the reduced Planck constant times the speed of light $\hbar c = 197.327$ MeV·fm, the average nucleon mass $m_N = 938.918$ MeV, and the fine structure constant $\alpha = 1/137.036$. For the effective nucleon-nucleon interaction, we take the Minnesota force [45], with the admixture parameter $u$ taken to be $u = 0.94687$ [65]. The energy of the free $\alpha$ particle is found to be $E_\alpha = -24.2834$ MeV, with the oscillator parameter being $b = 1.36$ fm. The $2\alpha$ threshold energy is then given by $E_{th} = 2E_\alpha = -48.5668$ MeV.

A. Brink Wave Function versus Brink-THSR wave function

First, we study the low-lying states of the $\alpha + \alpha$ system with a single Brink wave function and a single Brink-THSR wave function in the bound-state approximation. The total energy
FIG. 3: The energy surfaces for the $0^+$, $2^+$, and $4^+$ states of $^8$Be given by a single Brink-THSR wave function. The contour labels are the corresponding energies for the $\alpha + \alpha$ system in the unit of MeV. In Fig. 3a, the black cross denotes the local minimum of the energy surface at $(\beta, T) = (3 \text{ fm}, 0 \text{ fm})$.

is given by

$$E_L(\beta, T) = \frac{\langle \hat{\Psi}_L(\beta, T)|H_L|\hat{\Psi}_L(\beta, T) \rangle}{\langle \hat{\Psi}_L(\beta, T)|\hat{\Psi}_L(\beta, T) \rangle}. \quad (36)$$
Experimentally, $^8\text{Be}$ is found to have three low-lying resonant states, i.e., the $0^+$ state as the ground state with a resonant energy $E_{0^+}^{\text{exp}} = 0.0918$ MeV above the $2\alpha$ disintegration threshold and a tiny decay width $\Gamma_{0^+}^{\text{exp}} = 5.57$ eV, the $2^+$ state as the first excitation state with a resonant energy $E_{2^+}^{\text{exp}} = 3.12$ MeV and a large decay width $\Gamma_{2^+}^{\text{exp}} = 1.513$ MeV, and the $4^+$ state as the second excitation state with a resonant energy $E_{4^+}^{\text{exp}} = 11.44$ MeV and a large decay width $\Gamma_{4^+}^{\text{exp}} \approx 3.5$ MeV [41] (see also Table IV). The numerical results could be found in Fig. 2 and 3. In Fig. 2, the energy curves of the $0^+$ (red solid line), $2^+$ (blue dashed line), and $4^+$ (green dotted line) states of the $\alpha + \alpha$ system are plotted, with the parameter $\beta$ being chosen representatively to be $\beta = 0$ fm, 1 fm, 2 fm, 3 fm. For $\beta = 0$ fm, the Brink-THSR wave function is reduced to the Brink wave function, with the two $\alpha$ clusters being fixed at two endpoints of a “dumbbell”. The local minima could be found for all the $0^+$, $2^+$, and $4^+$ states, with their energies (corresponding $T$ values) given by $-45.6397$ MeV (3.30 fm), $-42.1630$ MeV (3.15 fm), and $-33.2870$ MeV (2.51 fm), respectively. At the first sight, the results given by a single Brink wave function look good. The obtained excitation energies for the $2^+$ and $4^+$ states are $E_{2^+} = 3.4767$ MeV and $E_{4^+} = 12.3527$ MeV, lying close to the experimental values. However, a careful examination of these results reveals the following shortcomings. The $2^+$ and $4^+$ states are found to sit in the local minima protected by the Coulomb barriers. Usually, this leads to the expectation that the $2^+$ and $4^+$ states are long-lived resonant states with small decay widths. This conflicts with the experimental data, which show that these decay widths are actually quite large. Also, the ground state is found to have a resonant energy of 2.9271 MeV above the $2\alpha$ disintegration threshold, which is significantly larger than the experimental value of 0.0918 MeV. These shortcomings provide us with important motivations to use the single Brink-THSR wave function with the nonzero $\beta$ parameter to improve the results. For $\beta = 1$ fm, the local minimum of the $4^+$ state disappears. The local minima (the corresponding $T$ values) for the $0^+$ and $2^+$ states persist and are found to be $-47.2982$ MeV (3.30 fm) and $-43.8908$ MeV (3.15 fm). For $\beta = 2$ fm, the local minima of both the $2^+$ and $4^+$ states disappear, and the local minimum (the corresponding $T$ value) of the $0^+$ state is found to be $-48.0482$ MeV (2.81 fm). For $\beta = 3$ fm, the local minimum (the corresponding $T$ value) of the $0^+$ state is found to be $-48.1635$ MeV (0 fm). One can see that, as the parameter $\beta$ grows from zero, the local minimum of the $0^+$ state persists and is protected by the Coulomb barrier all along. On the contrary, the local minima of the $2^+$ and $4^+$ states disappear successively.
These facts suggest that, the 0\(^+\) state is truly a long-lived resonant state, while the 2\(^+\) and 4\(^+\) states are not. These are consistent with the experimental data. Also, the energy of the 0\(^+\) state decreases continuously as \( \beta \) increases from 0 to 3 fm. The resonant energy at \( \beta = 3 \) fm is found to be \( E_{0^+} = 0.4033 \) MeV, closer to the experimental value. In Fig. 3, the energy surfaces of the 0\(^+\), 2\(^+\), and 4\(^+\) states of the \( \alpha + \alpha \) system are plotted, giving us another opportunity to better understand the situation. For the 0\(^+\) state, a local minimum is found at \((\beta, T) = (3 \text{ fm}, 0 \text{ fm})\), with the corresponding energy being \(-48.1635 \) MeV, and the Brink-THSR wave function is reduced to the THSR wave function. The 2\(^+\) and 4\(^+\) states display different features, and no local minima are found on the \( T-\beta \) plane. This is consistent with the fact that these two states have large decay widths and the bound-state approximation works less well. The absence of local minima for the 2\(^+\) and 4\(^+\) states is also found by Ref. [11], where the deformed THSR wave function is used in the calculations. Therefore, the local minima given by the single Brink wave function are actually unstable in the \( \beta \) direction, and the \( \alpha + \alpha \) system could reduce its energy further by allowing the \( \alpha \) clusters to move freely around the endpoints. For the 0\(^+\) state, the two nuclear containers at the endpoints coalesce to form a big nuclear container. For the 2\(^+\) and 4\(^+\) states, the nuclear containers grow up endlessly with no obstructions from the Coulomb barriers and the \( \alpha \) clusters move apart to the infinity in the end. Last but not least, we would like to mention that, under the antisymmetrization, the functional spaces of the THSR wave function \( \hat{\Psi}_L(\beta, 0 \text{ fm}) \) and the Brink-THSR wave function \( \hat{\Psi}_L(\beta, T \to 0 \text{ fm}) \) are different. The former describes only the spherical 0\(^+\) states, while the latter describes not only the spherical 0\(^+\) state but also the non-spherical 2\(^+\) and 4\(^+\) states with non-zero spins. Indeed, in the limit of \( T \to 0 \) fm, although \( \langle \hat{\Psi}_L(\beta, T)|H_L|\hat{\Psi}_L(\beta, T) \rangle \) and \( \langle \hat{\Psi}_L(\beta, T)|\hat{\Psi}_L(\beta, T) \rangle \) become zero for \( L \geq 2 \), their quotient \( E_L(\beta, T) = \langle \hat{\Psi}_L(\beta, T)|H_L|\hat{\Psi}_L(\beta, T) \rangle / \langle \hat{\Psi}_L(\beta, T)|\hat{\Psi}_L(\beta, T) \rangle \) is finite and corresponds to the physical observable.

In this subsection, we compare the energy spectrum of the \( \alpha + \alpha \) system given by a single Brink wave function with that given by a single Brink-THSR wave function in the bound-state approximation. It is found that, the Brink-THSR wave function generally gives better theoretical results both qualitatively and quantitatively, identifying correctly the non-quasi-stability of the 2\(^+\) and 4\(^+\) states and the quasi-stability of the 0\(^+\) ground state. Very recently, Refs. [66, 68] suggest the existence of many exotic quasi-stable \( \alpha \)-cluster structures, such as the fullerene-shaped \( \alpha \)-cluster structure and the long \( \alpha \)-chains. In these studies,
a single Brink wave function is adopted to model the system. It would be interesting to redo the analysis with the Brink-THSR wave function, which frees the $\alpha$ clusters from their fixed positions and brings another opportunity to better understand these exotic $\alpha$-cluster structures.

**B. Phase Shifts of the $\alpha + \alpha$ Elastic Scattering**

Before carrying out the calculations, we should choose the values of all the auxiliary parameters, including the channel radius $a$ that separates the interior and exterior regions, the discretized generator coordinates $\{T_n\}$ that locate the two nuclear containers at different positions, and the parameter $\beta$ that determines the size of the nuclear containers. Practically, different values of the auxiliary parameters give slightly different numerical results due to the limited model space and the finite working precision. The channel radius $a$ should be chosen large enough but cannot be overlarge. The nuclear interactions and the antisymmetrization
effect between two $\alpha$ clusters should be safely neglected in the exterior region, while the exponentially decaying Brink-THSR bases do not become too small at the channel radius to be superposed within the finite copies to match the oscillating exterior wave functions. In this subsection, we choose the channel radius $a \sim 7.0$ fm. The generator coordinates $\{T_n\}$ in Eq. (13) should also be chosen with care. If they are numerically close to each other, the adjacent Hamiltonian and overlap matrix elements could be numerically close, which may cause problems in solving the generalized eigenvalue problem. Also, $\{T_n\}$ cannot be chosen to be overlarge, since this will result in almost vanishing matrix elements that may cause troubles for the generalized eigenvalue solver as well. As a benchmark, we take $\{T_n\}$ from 0.8 fm to 8 fm in step of 0.8 fm. The parameter $\beta$ takes three different values $\beta = 0$ fm, 0.5 fm, and 1 fm.

The numerical results for the phase shifts could be found in Fig. 4 with the channel radius $a = 7.0$ fm. The theoretical results given by these three $\beta$ values turn out to be numerically close to each other, and cannot be distinguished clearly in Fig. 4. The experimental data are taken from Ref. [39] and plotted as data points. It is straightforward to see that the theoretical results agree well with the experimental data. In Table I some representative values of the phase shifts given by $\beta = 0$ fm, 0.5 fm, and 1 fm are shown explicitly. For $\beta = 0$ fm, the Brink-THSR wave function is reduced to the Brink wave function, and our method corresponds to the GCM + the $R$-matrix theory (a.k.a. the microscopic $R$-matrix theory in Refs. [31, 33, 47, 48]) and thus is mathematically equivalent to the RGM. From Table I one can see that, the results given by $\beta = 0.5$ fm and 1 fm are numerically consistent with those given by $\beta = 0$ fm (i.e., GCM/RGM). To check the consistency of our formalism, we also study the channel-radius dependence of the phase shifts. Some representative results are given in Table II with the parameters $\beta = 1$ fm and $\{T_n\} = \{0.8$ fm, 1.6 fm, $\cdots$, 7.2 fm, 8.0 fm\}. In the case of $(a, l) = (8.0$ fm, 4), we adopt $\{T_n\} = \{0.8$ fm, 1.6 fm, $\cdots$, 7.2 fm, 8.0 fm, 8.8 fm\} to make the results convergent. For different channel radii at $a = 7.0$ fm, 8.0 fm, 9.0 fm, the partial-wave phase shifts at the same reaction energies agree with each other numerically within few percents. This is good enough for our current purposes.

Given the numerical results on the phase shifts, one could determine the resonant energies and decay widths of the $2^+$ and $4^+$ states in the framework of the hermitian quantum
TABLE I: The $\beta$ dependence of the phase shifts with different reaction energies and partial waves. The phase shifts are given in the unit of degree. In this table, we take $a = 7.0$ fm and $\{T_n\} = \{0.8 \text{ fm}, 1.6 \text{ fm}, \cdots, 7.2 \text{ fm}, 8.0 \text{ fm}\}$. The case of $\beta = 0$ fm corresponds to the GCM and thus is equivalent to the RGM.

| $E$ (MeV) | $\beta = 0$ fm (GCM/RGM) | $\beta = 0.5$ fm | $\beta = 1$ fm |
|-----------|-----------------|----------------|---------------|
| 1         | 146.0603        | 146.1205       | 146.1788      |
| 5         | 48.3671         | 48.3464        | 48.3171       |
| 10        | -4.8431         | -4.5708        | -4.3510       |
| 15        | -36.8503        | -36.7729       | -36.7085      |

| $E$ (MeV) | $\beta = 0$ fm (GCM/RGM) | $\beta = 0.5$ fm | $\beta = 1$ fm |
|-----------|-----------------|----------------|---------------|
| 1         | 0.6000          | 0.6187         | 0.6379        |
| 5         | 112.8403        | 112.9675       | 113.1474      |
| 10        | 95.9473         | 96.0567        | 96.1221       |
| 15        | 78.9347         | 79.1715        | 79.3553       |

| $E$ (MeV) | $\beta = 0$ fm (GCM/RGM) | $\beta = 0.5$ fm | $\beta = 1$ fm |
|-----------|-----------------|----------------|---------------|
| 1         | 0.0005          | 0.0007         | 0.0015        |
| 5         | 1.3944          | 1.5326         | 1.9335        |
| 10        | 27.6251         | 27.6609        | 27.7600       |
| 15        | 119.7914        | 119.8328       | 119.4784      |

mechanics. The energy dependence of the phase shift is given by

$$\frac{d\delta}{dE} \approx \frac{2\Gamma}{4(E - \mathcal{E}_{\text{res}})^2 + \Gamma^2},$$

in the Breit-Wigner approximation (see, e.g., Refs. [63, 69]) and is plotted in Fig. 5 for the $D$ and $G$ waves. Different from Fig. 4, the phase shifts here are in the unit of radian rather than degree. The resonant energy $\mathcal{E}_{\text{res}}$ is given by the local maximum of $d\delta/dE$, while the
TABLE II: The channel-radius dependence of the phase shifts with different reaction energies and partial waves. The phase shifts are given in the unit of degree. In this table, we take $\beta = 1$ fm and $\{T_n\} = \{0.8 \text{ fm, } 1.6 \text{ fm, } \cdots, 7.2 \text{ fm, } 8.0 \text{ fm}\}$, except the case of $(a, l) = (8.0 \text{ fm, } 4)$, where we adopt $\{T_n\} = \{0.8 \text{ fm, } 1.6 \text{ fm, } \cdots, 7.2 \text{ fm, } 8.0 \text{ fm, } 8.8 \text{ fm}\}$ to converge the results.

| $E$ (MeV) | $a = 7.0$ fm | $a = 8.0$ fm | $a = 9.0$ fm |
|----------|--------------|--------------|--------------|
| 1        | 146.1788     | 146.2826     | 146.1025     |
| 5        | 48.3171      | 48.3982      | 48.3248      |
| 10       | -4.3510      | -4.0317      | -4.0909      |
| 15       | -36.7085     | -36.5629     | -36.7323     |

| $E$ (MeV) | $a = 7.0$ fm | $a = 8.0$ fm | $a = 9.0$ fm |
|----------|--------------|--------------|--------------|
| 1        | 0.6379       | 0.6893       | 0.5671       |
| 5        | 113.1474     | 113.1185     | 113.0804     |
| 10       | 96.1221      | 96.7556      | 96.5777      |
| 15       | 79.3553      | 79.2905      | 79.0443      |

| $E$ (MeV) | $a = 7.0$ fm | $a = 8.0$ fm | $a = 9.0$ fm |
|----------|--------------|--------------|--------------|
| 1        | 0.0015       | 0.0022       | 0.0013       |
| 5        | 1.9335       | 1.9498       | 1.9254       |
| 10       | 27.7600      | 27.9296      | 27.8978      |
| 15       | 119.4784     | 119.6904     | 120.0626     |

decay width is given by

$$
\Gamma \approx 2 \left| \frac{d\delta}{dE}\right \bigg|_{E=E_{\text{res}}}. \quad (38)
$$

The numerical results are listed in Table [IV]. Unlike the $2^+$ and $4^+$ states, it is not easy to extract accurate information on the $0^+$ state due to its small resonant energy and tiny decay width.
C. Low-Lying Resonant States of $^8$Be

Subsection III A shows that, the realistic asymptotic forms of the resonant states play a significant role in studying the $2^+$ and $4^+$ states, which have large decay widths and cannot be treated consistently in the bound-state approximation. In Subsection III B, the resonant energies and decay widths are obtained from the phase-shift data for the $2^+$ and $4^+$ states. In this subsection, we use the formalism developed in Section II to calculate the energy spectrum of $^8$Be self-consistently from Eq. (23), without referring to the $\alpha + \alpha$ elastic scattering process. Some representative iteration processes could be found in Table III where we take $\beta = 1$ fm, $a = 7.0$ fm. The generator coordinates are given by $\{T_n\} = \{0.1$ fm, $1.5$ fm, $\cdots$, $7.1$ fm, $8.5$ fm $\}$ for the $0^+$ state, $\{T_n\} = \{0.1$ fm, $1.667$ fm, $\cdots$, $6.333$ fm, $7.5$ fm $\}$ for the $2^+$ state, and $\{T_n\} = \{0.1$ fm, $2.2$ fm, $\cdots$, $6.4$ fm, $8.5$ fm $\}$ for the $4^+$ state. Typically, after iterations for $12 \sim 20$ times, the complex energies get convergent to required precisions. We also try other parameter sets for the auxiliary parameters $(a, \beta, \{T_n\})$. The complex energies are numerically close to each other but the idealized exact agreement cannot be achieved due to the limited model space and finite working precision. In the bound-state calculations, the parameter set giving the lowest energy would be favored by the variational principle. For the resonant-state
calculations, no such selection rules are available. There are indeed the complex analog of
the variational principle in literature, but it is a stationary principle and cannot be used to
put any upper or lower bound on the resonant energy and the decay width [63]. Therefore,
instead of sticking to a particular parameter set, we have done the calculations using many
of them. The resonant energies and decay widths are all plotted in Fig. 6. The spread of
the numerical results provides a preliminary estimation of the numerical uncertainties of
our calculations. The final results for the resonant energies and decay widths are listed in
Table IV along with their numerical uncertainties. Good agreement is achieved between the
theoretical results and the experimental data. Moreover, we calculate the resonant energy
of the 0$^+$ state by using the standard GCM in the bound-state approximation. Thanks
to its narrow decay width, the bound-state approximation should be applicable. With
$\{T_n\} = \{0.1 \text{ fm}, 0.845 \text{ fm}, \cdots, 14.255 \text{ fm}, 15 \text{ fm}\}$, the resonant energy is $E_{0^+} = 0.1034 \text{ MeV}$,
quite close to the value given in Table IV. This could be viewed as another check of the
correctness of our calculations. In Subsection III A the single THSR wave function with
$\beta = 3 \text{ fm}$ is favored energetically by minimizing the total energy in Eq. (36). Refs. [11, 12]
show that, in the bound-state approximation the GCM wave function could be well ap-
proximated by the single THSR wave function. Given the closeness of the resonant energy
from our method and the GCM and the narrowness of the decay width of the 0$^+$ state, it
is reasonable to believe that the real part of the interior wave function from our method
shares the same characteristics. We do an explicit calculation by taking $\beta = 0 \text{ fm}$ for
simplicity, where our method is reduced to the GCM + the $R$-matrix theory. We take $a = 7$
fm and $\{T_n\} = \{0.1 \text{ fm}, 0.9778 \text{ fm}, \cdots, 7.1222 \text{ fm}, 8 \text{ fm}\}$. The resonant energy is given by
$E_{0^+} = 0.0963 \text{ MeV}$, while the decay width is given by $\Gamma_{0^+} = 9.6 \text{ eV}$. It is found that the
squared overlap between the interior wave function and the single THSR wave function with
$\beta = 3 \text{ fm}$ is about 0.99, which means that the interior wave function of the 0$^+$ resonant
state is indeed well described by a single THSR wave function, even after taking the realistic
boundary condition into consideration.

IV. CONCLUSIONS

Recent years witness the proposal and the development of the non-localized cluster model.
It provides a new understanding of the nuclear cluster effects based on the picture of non-
TABLE III: Iteration solutions of the Bloch-Schrödinger equation for the low-lying resonant states of $^{8}$Be. The complex energies are given in the unit of MeV. In this table, we take $\beta = 1$ fm, $a = 7.0$ fm. The generator coordinates are given by

$\{T_n\} = \{0.1 \text{ fm}, 1.5 \text{ fm}, \cdots, 7.1 \text{ fm}, 8.5 \text{ fm}\}$ for the $0^+$ state,

$\{T_n\} = \{0.1 \text{ fm}, 1.667 \text{ fm}, \cdots, 6.333 \text{ fm}, 7.5 \text{ fm}\}$ for the $2^+$ state, and

$\{T_n\} = \{0.1 \text{ fm}, 2.2 \text{ fm}, \cdots, 6.4 \text{ fm}, 8.5 \text{ fm}\}$ for the $4^+$ state.

| Iterations | $L = 0$ | $L = 2$ | $L = 4$ |
|------------|---------|---------|---------|
| 1          | 0.1     | 3       | 20$-2.5i$ |
| 2          | 0.09622$-8.1197 \times 10^{-6}i$ | 2.9692$-0.5601i$ | 11.6042$-1.1359i$ |
| 3          | 0.09700$-4.1253 \times 10^{-6}i$ | 2.8748$-0.6641i$ | 11.3162$-2.2401i$ |
| ...        | ...     | ...     | ...     |
| 12         | 0.09687$-5.0984 \times 10^{-6}i$ | 2.8190$-0.6636i$ | 11.8490$-2.2588i$ |
| 13         | 0.09687$-5.0984 \times 10^{-6}i$ | 2.8190$-0.6636i$ | 11.8460$-2.2579i$ |
| 14         | ...     | ...     | 11.8459$-2.2599i$ |
| ...        | ...     | ...     | ...     |
| 20         | ...     | ...     | 11.8466$-2.2594i$ |
| 21         | ...     | ...     | 11.8466$-2.2594i$ |

TABLE IV: Resonant energies and decay widths for the low-lying resonances of $^{8}$Be. The experimental data are taken from Ref. [41]. The theoretical values I are given by the phase-shift calculations. The theoretical values II are given by the self-consistent Bloch-Schrödinger equations.

| $L$ | $\mathcal{E}$ (MeV) | $\Gamma$ (MeV) | $\mathcal{E}$ (MeV) | $\Gamma$ (MeV) | $\mathcal{E}$ (MeV) | $\Gamma$ (MeV) |
|-----|----------------------|----------------|----------------------|----------------|----------------------|----------------|
| 0   | 0.0918               | $5.57 \times 10^{-6}$ | $-$                  | $-$            | 0.0965$\pm0.0005$  | $(9.8 \pm 0.4) \times 10^{-6}$ |
| 2   | 3.12                 | 1.513          | 2.82                 | 1.46           | 2.83$\pm0.01$       | 1.33$\pm0.01$ |
| 4   | 11.44                | 3.5            | 11.73                | 4.44           | 11.8$\pm0.3$        | 4.4$\pm0.3$ |

20
FIG. 6: Resonant energies and decay widths for the low-lying resonances of $^8\text{Be}$ from different sets of auxiliary parameters. The data points are the theoretical results. The pink rectangles are the minimal axis-aligned rectangles containing all the data points.

Localized clustering and has been applied to study structural properties of cluster states in various light nuclear systems. In this work, the non-localized cluster model is generalized from bound and quasi-bound states to resonant and scattering states, with the $\alpha + \alpha$ system taken as an example to test the formalism. Following the $R$-matrix theory, the full configuration space is divided into the interior and exterior regions by a channel radius, which has to be chosen properly to make the nuclear interactions and the antisymmetrization effects vanish between different clusters in the exterior region. In the interior region, the Brink-THSR wave functions, the hybrid trial wave functions that combine features of both the Brink and THSR wave functions, are adopted to realize mathematically the picture of non-localized clustering. They are superposed to give the full interior wave functions. The Bloch-Schrödinger equation is adopted to match the interior wave functions with the exterior ones given by either the purely outgoing Coulomb-Hankel functions for the resonant states or some combinations of the incoming and outgoing Coulomb-Hankel functions for
the scattering states. The single Brink-THSR wave function is adopted to study the low-lying states of $^8$Be. Compared with the single Brink wave function, the Brink-THSR wave function correctly identifies the non-quasi-stability of the $2^+$ and $4^+$ states and gives the better result on the resonant energy for the $0^+$ state. The phase shifts of the $\alpha + \alpha$ elastic scattering and the properties of the low-lying resonances of $^8$Be are studied by solving the Bloch-Schrödinger equations with different exterior wave functions. The phase shifts are found to agree well with the experimental data. The Bloch-Schrödinger equations for the resonant states are solved self-consistently, and the theoretical values are consistent with those given by the phase-shift calculations, as well as the experimental data.

The study here could be generalized in several directions. First, it is physically important to continue improving the microscopic studies on the $\alpha + \alpha$ elastic scattering. Although the phase shifts given by the present work look good, the description of the $2\alpha$ disintegration threshold needs to be improved. It is shown in Refs. [70, 71] that, the exact binding energy of $^4$He given by the Minnesota force should be around 30 MeV. Therefore, the exact $2\alpha$ disintegration threshold should be around $-60$ MeV, which is much smaller than the value of $-48.5668$ MeV given by the cluster model. One possible way to improve this situation could be combining our theoretical framework with the antisymmetrized molecular dynamics (AMD) [72, 73] + real-time evolution method (REM) [74, 75]. The work in this direction is currently under preparation and may be discussed in future publications. It is also interesting to extend the analysis here to heavier nuclei such as $^{12}$C, $^{16}$O, and $^{20}$Ne. It is particularly interesting to study the resonant and reaction properties of the Hoyle and high-lying Hoyle-like states [1–6, 76–80] with explicit treatments of the asymptotic boundary conditions. Recently, Refs. [81–84] suggest that $\alpha$-cluster structures could be important in understanding some fusion reactions of light nuclei. A combination of our formalism with an imaginary optical potential may also allow microscopic studies of these processes [85]. Extending the analysis to the up-right corner of the nuclide chart could be another working direction, where the medium-mass and heavy nuclei such as $^{104}$Te and $^{212}$Po are known to have rich cluster structures [86–90]. Recently, inspired by the non-localized cluster model, the quartetting wave function approach and the quartet model [91, 97] are proposed to study $\alpha$ clustering in heavy nuclei such as $^{212}$Po. It is tempted to study the nuclear reactions of medium-mass and heavy nuclei in a similar approach [98–100].
ACKNOWLEDGMENTS

D. B. would like to thank Mengjiao Lyu for helpful communications on effective nucleon-nucleon interactions, Emiko Hiyama for useful comments during his stay at Department of Physics, Kyushu University, and Bo Zhou for introducing REM to him. D. B. would also like to thank the anonymous referee for his/her kind guidance. This work is supported by the National Natural Science Foundation of China (Grant No. 11905103, 11535004, 11947211, 11975167, 11761161001, 11375086, 11565010, and 11881240623), by the National Key R&D Program of China (Contract No. 2018YFA0404403, 2016YFE0129300), by the Science and Technology Development Fund of Macau under Grant No. 008/2017/AFJ, and by China Postdoctoral Science Foundation (Grant No. 2019M660095).

[1] Y. Funaki, H. Horiuchi and A. Tohsaki, Prog. Part. Nucl. Phys. 82, 78 (2015).
[2] P. Schuck, Y. Funaki, H. Horiuchi, G. Röpke, A. Tohsaki and T. Yamada, Phys. Scripta 91, 123001 (2016) [arXiv:1702.02191 [nucl-th]].
[3] A. Tohsaki, H. Horiuchi, P. Schuck and G. Röpke, Rev. Mod. Phys. 89, 011002 (2017) [arXiv:1702.04591 [nucl-th]].
[4] M. Freer, H. Horiuchi, Y. Kanada-En’yo, D. Lee and U. G. Meißner, Rev. Mod. Phys. 90, 035004 (2018) [arXiv:1705.06192 [nucl-th]].
[5] B. Zhou, Y. Funaki, H. Horiuchi and A. Tohsaki, Front. Phys. (Beijing) 15, 14401 (2020) [arXiv:1905.00788 [nucl-th]].
[6] A. Tohsaki, H. Horiuchi, P. Schuck and G. Röpke, Phys. Rev. Lett. 87, 192501 (2001) [nucl-th/0110014].
[7] B. Zhou et al., Phys. Rev. C 86, 014301 (2012).
[8] B. Zhou et al., Phys. Rev. Lett. 110, 262501 (2013) [arXiv:1304.1244 [nucl-th]].
[9] B. Zhou et al., Phys. Rev. C 89, 034319 (2014) [arXiv:1312.1047 [nucl-th]].
[10] M. Lyu, K. Yoshida, Y. Kanada-En’yo and K. Ogata, Phys. Rev. C 97, 044612 (2018) [arXiv:1712.09753 [nucl-th]].
[11] Y. Funaki, H. Horiuchi, A. Tohsaki, P. Schuck and G. Röpke, Prog. Theor. Phys. 108, 297 (2002) [nucl-th/0207022].
[12] Y. Funaki, H. Horiuchi, W. von Oertzen, G. Röpke, P. Schuck, A. Tohsaki and T. Yamada, Phys. Rev. C 80, 064326 (2009) [arXiv:0912.2934 [nucl-th]].

[13] M. Lyu et al., Phys. Rev. C 91, 043611 (2015) [arXiv:1408.5293 [nucl-th]].

[14] M. Lyu et al., Phys. Rev. C 93, 054308 (2016) [arXiv:1512.07727 [nucl-th]].

[15] M. Lyu et al., [arXiv:1706.06538 [nucl-th]].

[16] Q. Zhao et al., Phys. Rev. C 97, 054323 (2018) [arXiv:1801.05964 [nucl-th]].

[17] Q. Zhao et al., Phys. Rev. C 100, 014306 (2019) [arXiv:1810.04427 [nucl-th]].

[18] T. Suhara, Y. Funaki, B. Zhou, H. Horiuchi and A. Tohsaki, Phys. Rev. Lett. 112, 062501 (2014) [arXiv:1310.7684 [nucl-th]].

[19] B. Zhou, Y. Funaki, A. Tohsaki, H. Horiuchi and Z. Ren, PTEP 2014, 101D01 (2014) [arXiv:1408.2920 [nucl-th]].

[20] B. Zhou, A. Tohsaki, H. Horiuchi and Z. Ren, Phys. Rev. C 94, 044319 (2016) [arXiv:1607.04468 [nucl-th]].

[21] N. Itagaki, H. Matsuno and A. Tohsaki, Phys. Rev. C 98, 044306 (2018) [arXiv:1806.03817 [nucl-th]].

[22] B. Zhou et al., Phys. Rev. C 99, 051303 (2019) [arXiv:1904.07751 [nucl-th]].

[23] Y. Funaki, Phys. Rev. C 97, 021304 (2018) [arXiv:1711.06884 [nucl-th]].

[24] B. Zhou, PTEP 2018, 041D01 (2018) [arXiv:1711.07146 [nucl-th]].

[25] Y. Funaki, T. Yamada, E. Hiyama, B. Zhou and K. Ikeda, PTEP 2014, 113D01 (2014) [arXiv:1405.6067 [nucl-th]].

[26] Y. Funaki, M. Isaka, E. Hiyama, T. Yamada and K. Ikeda, Phys. Lett. B 773, 336 (2017) [arXiv:1705.07394 [nucl-th]].

[27] E. P. Wigner, Phys. Rev. 70, 15 (1946).

[28] E. P. Wigner, Phys. Rev. 70, 606 (1946).

[29] E. P. Wigner and L. Eisenbud, Phys. Rev. 72, 29 (1947).

[30] A. M. Lane and R. G. Thomas, Rev. Mod. Phys. 30, 257 (1958).

[31] D. Baye, P.-H. Heenen and M. Libert-Heinemann, Nucl. Phys. A 291, 230 (1977).

[32] P. Descouvemont and D. Baye, Rept. Prog. Phys. 73, 036301 (2010) [arXiv:1001.0678 [nucl-th]].

[33] P. Descouvemont and M. Dufour, Microscopic Cluster Models. In: C. Beck (eds) “Clusters in Nuclei, Vol. 2,” Lecture Notes in Physics, Vol. 848, Springer, Berlin, Heidelberg (2012).
[34] P. Descouvemont, Comput. Phys. Commun. 200, 199 (2016) [arXiv:1510.03540 [nucl-th]].

[35] D. Brink, Proc. Int. School “Enrico Fermi” 36, Varenna 1965, Academic Press, New York (1966).

[36] N. P. Heydenburg and G. M. Temmer, Phys. Rev. 104, 123 (1956).

[37] R. Nilson, W. K. Jentschke, G. R. Briggs, R. O. Kerman and J. N. Snyder, Phys. Rev. 109, 850 (1958).

[38] T. A. Tombrello and L. S. Senhouse, Phys. Rev. 129, 2252 (1963).

[39] S. A. Afzal, A. A. Z. Ahmad and S. Ali, Rev. Mod. Phys. 41, 247 (1969).

[40] V. M. Datar, S. Kumar, D. R. Chakrabarty, V. Nanal, E. T. Mirgule, A. Mitra and H. H. Oza, Phys. Rev. Lett. 94, 122502 (2005), Erratum: [Phys. Rev. Lett. 94, 139902 (2005)] [nucl-ex/0409025].

[41] D. R. Tilley, J. H. Kelley, J. L. Godwin, D. J. Millener, J. E. Purcell, C. G. Sheu and H. R. Weller, Nucl. Phys. A 745, 155 (2004).

[42] M. N. A. Abdullah et al., Nucl. Phys. A 775, 1 (2006).

[43] V. M. Datar et al., Phys. Rev. Lett. 111, 062502 (2013) [arXiv:1305.1094 [nucl-ex]].

[44] S. Okai and S. C. Park, Phys. Rev. 145, 787 (1966).

[45] D. R. Thompson, M. Lemere and Y. C. Tang, Nucl. Phys. A 286, 53 (1977).

[46] H. Horiuchi, Prog. Theor. Phys. 43, 375 (1970).

[47] D. Baye and P.-H. Heenen, Nucl. Phys. A 233, 304 (1974).

[48] D. Baye and M. Kruglanski, Phys. Rev. C 45, 1321 (1992).

[49] J. Dohet-Eraly and D. Baye, Phys. Rev. C 84, 014604 (2011).

[50] R. B. Wiringa, S. C. Pieper, J. Carlson and V. R. Pandharipande, Phys. Rev. C 62, 014001 (2000) [nucl-th/0002022].

[51] R. B. Wiringa, S. Pastore, S. C. Pieper and G. A. Miller, Phys. Rev. C 88, 044333 (2013) [arXiv:1308.5670 [nucl-th]].

[52] S. Pastore, R. B. Wiringa, S. C. Pieper and R. Schiavilla, Phys. Rev. C 90, 024321 (2014) [arXiv:1406.2343 [nucl-th]].

[53] R. Higa, H.-W. Hammer and U. van Kolck, Nucl. Phys. A 809, 171 (2008) [arXiv:0802.3426 [nucl-th]].

[54] P. Andreatta, C. A. Manzata, C. Ji, W. Leidemann and G. Orlandini, Springer Proc. Phys. 238, 191 (2020).
[55] A. T. Kruppa, R. G. Lovas and B. Gyarmati, Phys. Rev. C 37, 383 (1988).
[56] E. Garrido, A. S. Jensen and D. V. Fedorov, Phys. Rev. C 86, 064608 (2012) arXiv:1212.1562 [nucl-th].
[57] E. Garrido, A. S. Jensen and D. V. Fedorov, Phys. Rev. C 88, 024001 (2013) arXiv:1307.6467 [nucl-th].
[58] E. Garrido, A. S. Jensen and D. V. Fedorov, Few Body Syst. 55, 101 (2014) arXiv:1312.5872 [nucl-th].
[59] S. Elhatisari, D. Lee, G. Rupak, E. Epelbaum, H. Krebs, T. A. Lähde, T. Luu and U. G. Meißner, Nature 528, 111 (2015) arXiv:1506.03513 [nucl-th].
[60] K. Kravvaris and A. Volya, Phys. Rev. Lett. 119, 062501 (2017).
[61] K. Kravvaris and A. Volya, Phys. Rev. C 100, 034321 (2019).
[62] B. K. Luna and T. Papenbrock, Phys. Rev. C 100, 054307 (2019) arXiv:1907.11345 [nucl-th].
[63] N. Moiseyev, “Non-Hermitian Quantum Mechanics,” Cambridge University Press, Cambridge (2011).
[64] C. Bloch, Nucl. Phys. 4, 503 (1957).
[65] M. Theeten, H. Matsumura, M. Orabi, D. Baye, P. Descouvemont, Y. Fujiwara and Y. Suzuki, Phys. Rev. C 76, 054003 (2007).
[66] A. Tohsaki and N. Itagaki, Phys. Rev. C 97, 011301 (2018) arXiv:1708.08193 [nucl-th].
[67] A. Tohsaki and N. Itagaki, Phys. Rev. C 98, 014302 (2018) arXiv:1804.06551 [nucl-th].
[68] A. Tohsaki and N. Itagaki, PTEP 2019, 061D01 (2019) arXiv:1809.00460 [nucl-th].
[69] I. J. Thompson and F. M. Nunes, “Nuclear Reactions for Astrophysics - Principles, Calculation and Applications of Low-Energy Reactions,” Cambridge University Press, Cambridge (2009).
[70] K. Varga and Y. Suzuki, Phys. Rev. C 52, 2885 (1995) nucl-th/9508023.
[71] N. Barnea, W. Leidemann and G. Orlandini, Phys. Rev. C 61, 054001 (2000) nucl-th/9910062.
[72] Y. Kanada-En’yo and H. Horiuchi, Prog. Theor. Phys. Suppl. 142, 205 (2001) nucl-th/0107044.
[73] Y. Kanada-En’yo, M. Kimura and A. Ono, PTEP 2012, 01A202 (2012) arXiv:1202.1864 [nucl-th].
[74] R. Imai and M. Kimura, J. Phys. Conf. Ser. **863**, 012013 (2017).
[75] R. Imai, T. Tada and M. Kimura, Phys. Rev. C **99**, 064327 (2019) [arXiv:1802.03523 [nucl-th]].
[76] T. Yamada and P. Schuck, Phys. Rev. C **69**, 024309 (2004) [nucl-th/0310077].
[77] D. Bai and Z. Ren, Phys. Rev. C **97**, 054301 (2018) [arXiv:1804.05992 [nucl-th]].
[78] M. Barbui et al., Phys. Rev. C **98**, 044601 (2018) [arXiv:1806.08424 [nucl-ex]].
[79] J. Bishop et al., Phys. Rev. C **100**, 034320 (2019) [arXiv:1907.05471 [nucl-ex]].
[80] D. Bai and Z. Ren, Nucl. Phys. Rev. **35**, 475 (2018).
[81] J. Vadas et al., Phys. Rev. C **92**, 064610 (2015).
[82] B. Schuetrumpf and W. Nazarewicz, Phys. Rev. C **96**, 064608 (2017) [arXiv:1710.00579 [nucl-th]].
[83] B. Wang, Z. Ren and D. Bai, Phys. Lett. B **793**, 110 (2019).
[84] B. Wang, Z. Ren and D. Bai, Phys. Rev. C **101**, 024606 (2020).
[85] P. Descouvemont, Nucl. Phys. A **504**, 193 (1989).
[86] K. Auranen et al., Phys. Rev. Lett. **121**, 182501 (2018).
[87] D. Bai and Z. Ren, Eur. Phys. J. A **54**, 220 (2018) [arXiv:1811.10145 [nucl-th]].
[88] M. A. Souza, H. Miyake, T. Borello-Lewin, C. A. da Rocha and C. Frajuca, Phys. Lett. B **793**, 8 (2019) [arXiv:1904.06657 [nucl-th]].
[89] Y. Xiao et al., Phys. Rev. C **100**, 034315 (2019).
[90] D. Bai and Z. Ren, Chin. Phys. C **42**, 124102 (2018) [arXiv:1808.10234 [nucl-th]].
[91] G. Röpke et al., Phys. Rev. C **90**, 034304 (2014) [arXiv:1407.0510 [nucl-th]].
[92] C. Xu et al., Phys. Rev. C **93**, 011306 (2016) [arXiv:1511.07584 [nucl-th]].
[93] C. Xu et al., Phys. Rev. C **95**, 061306 (2017) [arXiv:1705.00391 [nucl-th]].
[94] G. Röpke et al., J. Low. Temp. Phys. **189**, 383 (2017) [arXiv:1707.04517 [nucl-th]].
[95] D. Bai and Z. Ren, Phys. Lett. B **786**, 5 (2018) [arXiv:1809.04952 [nucl-th]].
[96] D. Bai, Z. Ren and G. Röpke, Phys. Rev. C **99**, 034305 (2019) [arXiv:1902.09156 [nucl-th]].
[97] S. Yang et al., [arXiv:1912.01151] [nucl-th].
[98] K. Cheng and C. Xu, Phys. Rev. C **99**, 014607 (2019).
[99] T. Liang, J. Liu, Z. Ren, C. Xu and S. Wang, Phys. Rev. C **98**, 044310 (2018).
[100] J. Liu, R. Xu, J. Zhang, C. Xu and Z. Ren, J. Phys. G **46**, 055105 (2019).