Quantization and renormalization of non-differentiable potentials

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Non-differentiable potentials appear in various areas of physics, such as the V-shape (linear) potential $|\phi|$. Our main goal here is to investigate whether the non-differentiable nature of these potentials plays any role in their quantization and renormalization.

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I. INTRODUCTION

Non-differentiable potentials appear in various areas of physics. As a motivation for the study of their quantization and their properties in the context of renormalization group, we would like to mention that in the framework of models of large extra dimensions, in particular in the so called brane world scenario (BWS), elementary particles except for the graviton are localized on (3+1)-dimensional branes. Although experimental tests from the Large Hadron Collider severely constrain theories of large extra dimensions, the BWS served as one of the simplest extensions of the Standard Model. The brane fluctuations of the BWS in a 5th dimensional bulk lead to a low energy effective four-dimensional theory, where branons (representing quanta of the brane fluctuations) are described by a scalar field living on a flat brane (for references on branon studies see e.g., [1][2][3]). Assuming the brane centered on a Randall-Sundrum warp factor, the effective branon theory in (3+1) dimensions involves then the absolute value of the branon field, leading to a non-differentiable potential and wave function renormalization,

$$S_{\text{Branon}} = \int d^4x \left( \frac{e^{-2a|\phi|}}{2} \partial^\mu \phi \partial_\mu \phi - f^4 e^{-4a|\phi|} \right), \quad (1)$$

where the Liouville-type terms in the potential and in the wave function renormalization depend on the absolute value of the scalar field, i.e., it is non-differentiable see Appendix A.

Another major example for non-analytic, i.e., non-differentiable potential is coming from particle physics when the standard model quartic and quadratic Higgs potential is replaced by a periodic one, so, the Higgs part is given by the following Euclidean action, II.

$$S_{\text{Higgs}} = \int d^4x \left( \frac{1}{2} \partial_\mu \phi \partial^\mu \phi + u \cos(\beta \sqrt{\phi} \cdot \phi) \right) \quad (2)$$

where $\phi(x)$ is an N-component scalar field, see Appendix B.

Exact solutions of the one-dimensional Schrödinger equation with non-differentiable linear $u|\phi|$, and exponential $-g^2 \exp(-|x|)$, $g^2 \exp(2|x|)$ potentials have already been discussed in [1] and in [2, 3], respectively. These exponential potentials are simplified versions of the more general branon action discussed above. The exact solutions of the non-relativistic, non-differentiable potentials suggest that their non-differentiable nature makes no difficulties if one considers their quantised theory compared to the classical description. However, it is an open question whether one might expect a similar result for higher dimensions ($d > 1$), if one considers the quantum theory of the non-analytic potentials and whether their non-differentiable nature could create any difficulties in their renormalization. In addition, it is known that for $N = 1$ the non-differentiable periodic model has a topological phase transition, see Appendix C, thus it is interesting to study the phase structure of for $N > 1$.

Thus, our goal in this work is to study whether the non-analytic behavior of the potential [1] violates its quantisation and renormalization and in addition, we investigate whether the periodic model II has a topological phase transition for $N > 1$.

II. NON-ANALYTIC POTENTIALS IN CLASSICAL MECHANICS

Let us first discuss the physics of non-differential potentials in the framework of classical (non-relativistic and
not quantised) mechanics. We consider two different non-differential potentials in $d = 1$ dimensions,
\begin{align*}
V(\phi) &= u|\phi|, \quad \text{Eq. (3)} \\
V(\phi) &= u \exp(a|\phi|). \quad \text{Eq. (4)}
\end{align*}

In particular we are interested in the solution of the equation of motion for the potentials Eq. (3) and (4). In order to investigate the impact of the non-differential nature of the potential on the classical solution one can use its regularised form. This can be achieved by introducing a regularised form of the potentials, such as
\begin{align*}
V_{\text{reg}}(\phi) &= u\sqrt{r^2 + \phi^2}, \quad \text{Eq. (5)} \\
V_{\text{reg}}(\phi) &= u \exp(a\sqrt{r^2 + \phi^2}). \quad \text{Eq. (6)}
\end{align*}

which are differentiable at $\phi = 0$ and in the limit $r \to 0$ recover the original potentials Eq. (3) and (4). If one considers the classical motion of a ball with a finite radius $R$ in the regularised (1-dimensional) potential Eq. (5) with $u = 1$ one finds two different cases with two different type of motions. For large values of $r$, when $r/R > 0$, the ball can smoothly roll or slide over to the other side of the potential, since the largest radius of curvature is $r$ at $\phi = 0$. In this case the ball oscillates on both sides of the potential hill, see the top panel of Fig. 2. When $r/R < 0$ the ball cannot smoothly roll or slide over to the other side, and a different motion occurs. For example considering the potential as a hard surface in a constant gravitational field, the ball might bounce up, see the bottom panel of Fig. 2. Thus, the non-differentiable nature of the potential does matter for extended objects and in this case the limit $r \to 0$ is non-analytic. However, if one considers the force derived from the regularised potential acting on a point-like particle, the limit $r \to 0$ is analytic and one finds oscillating motion on both sides even for $r = 0$.

One can think of many ways to regularize a non-analytic potential other than the examples presented in Eq. (5) and (6). One of the possibilities is to simply replace the original non-analytic potential in an interval $(-r, r)$ around the point of non-analycity with a differentiable function $f(x)$, while outside this interval the potential remains unchanged. Here we give two examples of this kind of regularization in the case of a one dimensional $u|x|$ type potential.

Similar to the initial potential we require $f(x)$ to be an even function $f(-x) = f(x)$. For the regularized potential to be continuous and differentiable the relations $f(r) = V(r)$, $f'(r) = V'(r)$ must hold as well. The simplest function which can fulfill all the given requirements is a quadratic polynomial, thus the regularized potential takes the form
\begin{equation}
V(x) = \begin{cases} 
    u|x|, & |x| \geq r \\
    \frac{u}{2}\pi^2 x^2 + \frac{u}{4}r^2, & |x| < r.
\end{cases}
\end{equation}

This model essentially interpolates between the non-analytic linear potential and the potential of a harmonic oscillator.

With this regularization method it is also possible to require the continuity of higher order derivatives using higher order polynomials. In the case of the linear type potential the higher order derivatives are continuous (zero) if the replacement function is a quartic polynomial
\begin{equation}
V(x) = \begin{cases} 
    u|x|, & |x| \geq r \\
    -\frac{u}{8\pi^2}x^4 + \frac{3u}{4\pi^2}x^2 + \frac{3ur}{8}, & |x| < r.
\end{cases}
\end{equation}

In the next section we study these potentials and observe how quantum mechanics modifies the classical results.

### III. Non-Analytic Potentials in Quantum Mechanics

As a next step one should consider the quantisation of the 1-dimensional problem. Let us note, that in $d = 1$ dimensions the quantum field theory for a single scalar field reduces to a simple 1-dimensional quantum mechanical problem, since the field variable can be replaced by the position of a particle $\phi \to x$. It can be shown that due to the fact that the potential is non-differentiable only at a single point (at $x = 0$), its quantum theory does not suffer from the non-analytic behaviour of the potential. Thus, the non-differentiable nature of the potential does not matter in the quantum theory if it is non-differentiable only at a single point.

In this section the goal is to discuss the ground state energy of the quantum mechanical problem, and similar
to the classical case, see whether the limit \( r \to 0 \) is non-analytic.

For this reason let us examine the eigenvalues and eigenvectors of the one-dimensional Hamiltonian defined as

\[
H = -\frac{d^2}{dx^2} + V(x)
\]

(9)

omitting the prefactors of the derivative for simplicity \((\hbar^2/(2m) = 1)\). For both potentials \([3, 4]\) there are analytic solutions. To deal with the absolute value the parameter space is restricted to \( x \geq 0 \). The potentials have \( Z_2 \) symmetry therefore the solutions must have even or odd parity with either \( \psi(x = 0) = 0 \) or \( \psi'(x = 0) = 0 \).

Using these conditions one can extend the solutions for the negative region.

The eigenvector for the linear potential can be described by the Airy function \( \psi \propto Ai(u^{1/3}(x - E/u)) \) \([1]\). The energy spectrum is determined by the zeros of the Airy function and the zeros of its first derivative, thus the ground state energy is \( E_0 \approx 1.019 u^{2/3} \). The eigenvector for the exponential potential \([5]\) can be constructed with Bessel functions \([3]\), and the energy state is, again, given by the zeros of the Bessel function and the zeros of its derivative. Therefore the ground state for \( a = 2 \) (and for \( u = 1 \)) is approximately \( E_0 \approx 3.676 \).

Now the question is whether the regularized potentials \([3, 6, 7, 8]\) recover the above analytic solutions in the \( r \to 0 \) limit. Fig. 2 shows the numerical solution for the regularized potentials \([6, 7]\). As \( r \) increases the ground state energy as a function of \( r \) tends to a linear or exponential function respectively, which follows from examining the minimum value of the potentials. It is also clear that in the \( r \to 0 \) the ground state energy is recovered continuously. Similarly, Fig. 3 shows the numerical solution for the regularized potentials \([7, 8]\). As expected for the linear potential regularized with a quadratic function \([7]\), the ground state energy of the model interpolates between the ground state energy of the non-regularized linear potential and the potential of a harmonic oscillator. As Fig. 3 shows, in the \( r \to 0 \) limit, the result obtained from the analytic solution for the linear potential is recovered, while for \( r \gg 1 \) the result is a close approximation of a harmonic oscillator, since the \( |x| \geq r \) region can be neglected. Fig. 3 also shows that the requirement of the continuity of higher order derivatives, which is achieved by the regularization of the linear potential with a quartic function \([8]\), only slightly modifies the results. Importantly, in all cases the known solution in the \( r \to 0 \) limit is continuously recovered, thus the limit is indeed analytic.

Let us also examine the dynamics of this quantum mechanical problem, solving the Schrödinger equation

\[
i\partial_t \psi(x, t) = H\psi(x, t),
\]

(10)

where the Hamiltonian is given by \([9]\), and \( \hbar = 1 \). The question is what happens to a Gaussian wave packet in a non-differentiable, V shaped potential \([3]\). The initial condition for the wave packet is given as

\[
\psi(x, 0) = \frac{1}{(2\pi\sigma_0^2)^{1/4}} \exp\left[-\frac{(x - x_0)^2}{4\sigma_0^2}\right].
\]

(11)

In order to solve \([10]\), one can expand the initial Gaussian wave packet \([11]\) in terms of the eigenfunctions \( \phi_n \) of the Hamiltonian by calculating the overlaps as

\[
\psi(x, 0) = \sum_n c_n \phi_n(x), \quad c_n = \int dx \psi^*(x, 0)\phi_n(x).
\]

(12)

As discussed above, in the case of a linear potential the eigenfunctions are Airy functions, thus for the potential \([3]\) we have

\[
\phi_n = A_n \text{sign}(x)^{n+1} Ai\left[u^{1/3}(|x| + E_n/u)\right],
\]

(13)

where \( A_n \) is the normalization factor and \( E_n \) is the energy spectrum, which as stated before, can determined by the zeros of the Airy function and its derivative. Then, applying the time evolution operator \( e^{-itH} \) straightforward, since one has to simply multiply each eigenfunction with the corresponding factor \( e^{-iE_n t} \). This yields the desired solution of the wavefunction at time \( t \),

\[
\psi(x, t) = \sum_n e^{-iE_n t} c_n \phi_n(x).
\]

(14)
FIG. 3: The dependence of the ground state energy $E_0$ on the regularization parameter $r$ calculated for the quantum mechanical system with linear potential regularized using a quadratic polynomial with $u = 1$ (top) and a quartic polynomial with $u = 1$ (bottom). The dotted lines indicate the results obtained from the analytic solutions for the original ($r \rightarrow 0$) potential.

FIG. 4: Expectation value of $x$ and $x^2$ of a wave packet as a function of time moving in a V shaped potential with $u = 1$. The initial wave packet is Gaussian with $x_0 = 5$ and $\sigma_0 = 1$.

IV. FUNCTIONAL RG EQUATION FOR SINGLE SCALAR MODELS

A. Differentiability and the Wilsonian effective potential

We show here that, even for a non-differentiable microscopic potential, the Wilsonian effective potential $V_{eff}$ should be differentiable. The latter can be defined by integrating over all Fourier components of the field, except for the constant mode, which is fixed to some value $\phi_0$

$$e^{iV_{eff}(\phi_0)} = \int D[\phi] e^{iS[\phi]} \delta\left(\int d^d x (\phi - \phi_0)\right), \quad (15)$$

where $V$ is the spacetime volume, which needs to be fixed in order to regularise the path integral. Note the use of the Minkowski metric, which is essential for the following argument to be valid.

We write the Dirac distribution as the Fourier transform of an exponential, such that

$$e^{iV_{eff}(\phi_0)} = \int D[\phi] e^{iS[\phi]} \int dj \ e^{i\bar{j}\phi_0} Z[j], \quad (16)$$

where $Z[j]$ is the partition function for the constant source $j$. The right-hand side integral is differentiable in $\phi_0$, hence we expect the Wilsonian effective potential $V_{eff}$ to be differentiable. In the following subsections we study a specific example which illustrates this feature.

To see how this wave packet evolves, the expectation value of $x$ and $x^2$ is plotted as a function of time in Fig. 4. The consistency of the solutions has been checked by also solving the Schrödinger equation fully numerically, obtaining identical results. As Fig. 4 shows, the wave packet is oscillating on both the positive and negative region of the potential. The wavefunction remains smooth and well defined during its evolution, but behaves quite different than in a harmonic $x^2$ potential.

We conclude that in quantum mechanics the ground state energy and the dynamics of a wave packet can be determined for the studied non-differentiable potentials.

Therefore, one might expect a similar result for higher dimensions ($d > 1$), i.e., if one considers the quantum theory of the non-analytic potentials, their non-differentiable nature does not create any difficulties. In other words, the renormalization group transformations can be performed by for example the grid-method and the single point where the potential is non-differentiable can be handled. Our goal in the next sections is to perform the RG study.
For this we will use the functional renormalization group (FRG), which is defined with the Euclidean metric, and we focus more specifically on the Wetterich equation \[14\] \[18\]. For a single scalar field, this equation reads

\[
k \partial_k \Gamma_k[\phi] = \frac{1}{2} \text{Tr} \left[ k \partial_k R_k \right],
\]

where \( \Gamma_k[\phi] \) is the average effective action at the momentum scale \( k \), and \( R_k \) is an infrared regulator which freezes the infrared (IR) modes with momentum smaller than the scale \( k \).

In order to solve the previous equation we use the local potential approximation (LPA), where the ansatz for the average effective action takes the form

\[
\Gamma_k[\phi] = \int d^d x \left[ \frac{1}{2} \partial_\mu \phi \partial^\mu \phi + V_k(\phi) \right],
\]

and corresponds to the leading order expression for the action in the gradient expansion. The Wetterich equation \[17\] reduces then to a differential equation for the scale dependent potential \( V_k(\phi) \)

\[
k \partial_k V_k(\phi) = \frac{1}{2} \int_0^\infty \frac{d^d p}{(2\pi)^d} \frac{k \partial_k R_k}{R_k + p^2 + V''_k},
\]

where \( V''_k = \partial^2_\phi V_k \). An important comment is the following: the latter equation depends only on the second field derivative of the running potential, which allows to consider the singularity \( |\phi| \). Indeed,

\[
\frac{\partial}{\partial \phi} \left( V_k(|\phi|) \right) = \text{sign}(\phi) V'_k(|\phi|)
\]

\[
\frac{\partial^2}{\partial \phi^2} \left( V_k(|\phi|) \right) = V''_k(|\phi|),
\]

and the equation \[19\] is not sensitive to the absolute value of the field. An alternative argument is based on the regularisation introduced earlier

\[
V_{\text{reg},k}(\phi) \equiv V_k(\sqrt{r^2 + \phi^2}),
\]

from which one can note that

\[
\lim_{r \to 0} V'_{\text{reg},k}(\phi) = V''_k(|\phi|).
\]

Let us demonstrate this general feature on a specific example. We consider the second derivative of the regularised and original dimensionful exponential potentials

\[
V_{\text{EXP}}(\phi) = -u_k \exp(-a|\phi|)
\]

\[
V_{\text{EXP,reg}}(\phi) = -u_k \exp(-a \sqrt{r^2 + \phi^2})
\]

where the scale dependence is encoded in the amplitude \( u_k \). Important to note that the dimensionful parameter \( a \) is scale-independent in LPA since in this case the wavefunction renormalization \( z \) is kept constant and by an appropriate rescaling of the field \( \phi' \rightarrow a \phi \) these two couplings can be related to each other \( z = \frac{1}{a} \). The second derivative of the regularised potential can be taken in the limit \( r \to 0 \)

\[
V''_{\text{EXP,reg}}(\phi) = -u_k \frac{a^2 \phi^2 \sqrt{r^2 + \phi^2} - r^2}{(r^2 + \phi^2)^{3/2}} \exp(-a \sqrt{r^2 + \phi^2})
\]

\[
\lim_{r \to 0} V''_{\text{EXP,reg}}(\phi) = a^2 \exp(-a|\phi|),
\]

which is equivalent to the second derivative of the original non-analytic potential. This indicates that the functional RG equation \[25\] is not sensible for the non-analytic nature of the potential.

Before studying more general non-differentiable potentials and the exact RG flow let us first discuss the linearised RG equations and the existence of topological phases.

**B. Linearised RG and topological phases**

One can write eq.\[19\] as

\[
k \partial_k V_k(\phi) = -\alpha_d k^d \int_0^\infty dy \frac{r' y^{d+1}}{[1 + r] y + V''_k},
\]

with \( \alpha_d = \Omega_d/(2(2\pi)^d) \) where \( \Omega_d = 2\pi^{d/2}/\Gamma(d/2) \) and \( r(y) = R/p^2 \) is the dimensionless regulator with \( y = p^2/k^2 \) while \( r' = dr/dy \).

One can also introduce the dimensionless quantities, \( \tilde{\phi} = k^{-\frac{d-2}{2}} \phi \), \( V_k(\phi) = k^d V_k(\tilde{\phi}) \) where the corresponding dimensionless FRG equation reads as

\[
\left( d - \frac{d-2}{2} \tilde{\phi} \partial_{\tilde{\phi}} + k \partial_k \right) V_k(\tilde{\phi}) = \left( d - \frac{d-2}{2} \tilde{\phi} \partial_{\tilde{\phi}} + k \partial_k \right) V_k(\tilde{\phi}) = -\alpha_d \int_0^\infty dy \frac{r' y^{d+1}}{[1 + r] y + V''_k}
\]

which is valid for the scale-dependent dimensionless potential with arbitrary regulator functions. One can apply further approximations, e.g. the linearisation of the FRG equation around the Gaussian fixed point where one finds

\[
\left( d - \frac{d-2}{2} \tilde{\phi} \partial_{\tilde{\phi}} + k \partial_k \right) V_k(\tilde{\phi}) \approx -\alpha_d C \tilde{V}_k''(\tilde{\phi})
\]

where the constant \( C \) is usually regulator-dependent (except for \( d = 2 \) where \( C = 1 \) for any choice of the regulator function). One can use for example the Litim regulator \[19\] given by

\[
R_k(p^2) = (k^2 - p^2) \Theta(k^2 - p^2)
\]

where one finds \( C = d/2 \) or the sharp-cutoff which gives \( C = 1 \) in arbitrary dimension. In this section we use the sharp-cutoff regulator function.
Let us first apply the linearised FRG equation \((27)\) for the sine-Gordon model \((22)\) in \(d = 2\) dimensions which has the following potential,

\[
\tilde{V}_{\text{SG}}(\phi) = \hat{u}_k \cos(\beta \phi)
\]

(29)

where the dimensionless Fourier amplitude carries the scale-dependence since in LPA the frequency \(\beta\) does not depend on the running momentum cutoff \(k\). The reason is that in LPA the wavefunction renormalization \((z)\) is kept constant (it is not a running coupling) and this results in a constant frequency since \(1/\beta^2 = z\) which can be shown by rescaling the field variable. Let us note, the field carries no dimensions in \(d\) since in LPA the frequency \(1/k\) is constant (it is not a running coupling) and this results in a constant frequency since \(1/\beta^2 \equiv \beta\) constant.

It is clear that the linearised FRG equation \((27)\) preserves the functional form of the bare potential (no higher harmonics are generated) and one finds

\[
(2 + k \partial_k)\hat{u}_k \cos(\beta \phi) = \frac{1}{4\pi}\beta^2 \hat{u}_k \cos(\beta \phi)
\]

(30)

and the RG flow equation for the Fourier amplitude reads

\[
k \partial_k \hat{u}_k = \hat{u}_k \left( -2 + \frac{1}{4\pi}\beta^2 \right)
\]

(31)

exhibiting the solution

\[
\hat{u}_k = \hat{u}_\Lambda \left( \frac{k}{\Lambda} \right)^{-2 + \frac{2}{\beta^2}} \rightarrow \beta_c^2 = 8\pi
\]

(32)

where \(\hat{u}_\Lambda\) is the initial (bare) value of the Fourier amplitude at the high energy ultra-violet (UV) cutoff \(\Lambda\).

Eq. (32) determines the critical frequency \(\beta_c^2 = 8\pi\) where the model undergoes a topological phase transition. The coupling \(\hat{u}_k\) is irrelevant (decreasing) for \(\beta^2 > \beta_c^2\) and relevant (increasing) for \(\beta^2 < \beta_c^2\).

Now we turn to the non-differential potential \((1)\), more precisely the one defined in \((1)\)

\[
\tilde{V}_{\text{EXP}}(\phi) = -\hat{u}_k \exp(-\hat{a}_k |\phi|).
\]

(33)

If one first considers \((33)\) in \(d = 2\) dimensions, similarly to the SG model, the dimensionless amplitude \(\hat{u}_k\) carries the scale-dependence and the parameter \(a_k = a\) does not depend on the running momentum cutoff \(k\) in LPA. In this case the linearised FRG equation gives,

\[
(2 + k \partial_k)\hat{u}_k \exp(-a|\phi|) = -\frac{1}{4\pi}a^2 \hat{u}_k \exp(-a|\phi|)
\]

(34)

and the RG flow equations for \(\phi > 0\) and for \(\phi < 0\) are identical and reads

\[
k \partial_k \hat{u}_k = \hat{u}_k \left( -2 + \frac{1}{4\pi}a^2 \right)
\]

(35)

which clearly shows the absence of any topological phase transitions (please observe the sign change compared to the SG model). It is useful to compare our results with those obtained for the Liouville model which depends on the field and not its absolute value which are discussed in \((13)\). One expects the same findings. Indeed, the linearised RG flow equation \((34)\) is identical to Eq. (28) of \((13)\) (for \(Q^2 = 1\)) and the solution \((35)\) is the same as Eq. (29) of \((13)\) (for \(Q^2 = 1\)). Let us note, that the RG flow of the Liouville model (with or without the absolute value of the field) is identical to that of the so called sinh-Gordon model, see \((14)\).

Let us now consider the \(d \neq 2\) case where the linearised RG equation results in

\[
\left( d - \frac{d-2}{2} \phi \partial_\phi + k \partial_k \right) \hat{u}_k \exp(-\hat{a}_k |\phi|)
\]

\[
= -\alpha_d a^2 \hat{u}_k \exp(-\hat{a}_k |\phi|)
\]

(36)

where a scale-dependent (dimensionless) frequency \(\hat{a}_k\) should be introduced in order to keep the argument of the exponential term dimensionless. Thus, one expects a trivial scaling for the frequency, i.e., \(\hat{a}_k \sim k^{(d-2)/2}\). In order to show this, one has to rewrite Eq. \((36)\) for \(\phi > 0\) and for \(\phi < 0\) and simultaneously one should separate the FRG equations into RG flow equations for \(|\phi| \exp(-\hat{a}_k |\phi|)\) and for \(\exp(-\hat{a}_k |\phi|)\),

\[
(d + k \partial_k) \hat{u}_k = -\alpha_d a^2 \hat{u}_k
\]

\[
\pm \left( d - \frac{2}{2} + k \partial_k \right) \hat{a}_k = 0
\]

(37)

where the sign \(\pm\) of the left hand side of the last equation depends on whether we consider the case \(\phi > 0\) or \(\phi < 0\), nevertheless, this difference does not matter because the right hand side of this equation is zero. Thus, the RG flow equations reads as

\[
k \partial_k \hat{u}_k = \hat{u}_k \left( -d - \alpha_d a^2 \right),
\]

\[
k \partial_k \hat{a}_k = \frac{d-2}{2} \hat{a}_k \rightarrow \hat{a}_k^2 = \hat{a}_\Lambda^2 \left( \frac{k}{\Lambda} \right)^{d-2}
\]

(38)

where the second RG flow equation gives back exactly the trivial scaling for the dimensionless frequency. We conclude that the RG study of the non-differentiable Liouville potential is identical to the that of the differentiable one, at least in the linearised regime. It is useful to rewrite \((38)\) for dimensionfull couplings, \(u_k = k^d \hat{u}_k\), \(\alpha = k^{2-d} \hat{a}_k\),

\[
k \partial_k u_k = -k^{d-2} u_k \alpha_d a^2.
\]

(39)

Next, we focus on the solution of the linearised RG equation for a simple example of \(V \propto |\phi|\) in order to confirm, as could be expected, that quantum fluctuations smoothen the bare potential and leads to a differentiable Wilsonian effective potential.

C. Smoothening through quantum fluctuations

We give here a simple illustration of how quantum fluctuations smoothen an non-differentiable microscopic potential. We start with the microscopic piecewise linear...
In this case the solution of the latter equation reads 

$$V_k(\phi) = c_k + \mu^{d/2+1}|\phi| + u_k \exp(-|\phi|/\mu^{d/2-1}),$$  \hspace{1cm} (41)

where $u_k$ is to be determined and $c_k$ corresponds to a redefinition of the origin of energies, for each value of $k$.

For the sake of simplicity we consider the cut-off function $[28]$, in which case eq. (19) leads to

$$k \partial_k V_k = \frac{2\alpha_d}{d} \frac{k^{d+2}}{k^2 + V_k'^2}.$$  \hspace{1cm} (42)

Plugging the ansatz [41] in the latter evolution equation and ignoring field-independent terms gives

$$k u_k e^{-|\phi|/\mu^{d/2-1}} = \frac{2\alpha_d}{d} k^d \left( -\frac{u_k}{\mu^{d-2}} e^{-|\phi|/\mu^{d/2-1}} + \ldots \right),$$

where dots denote higher orders in the exponential. Dropping the higher order terms one finds,

$$k u_k' = -\frac{2\alpha_d}{d} \left( \frac{k}{\mu} \right)^{d-2} u_k.$$  \hspace{1cm} (43)

**Case $d > 2$**

In this case the solution of the latter equation reads

$$u_k = A \exp\left( -\frac{2\alpha_d(k/\mu)^{d-2}}{d(d-2)} \right),$$  \hspace{1cm} (44)

and the constant $A$ can be determined by imposing the IR potential $V_0(\phi)$ to be differentiable and minimum at $\phi = 0$:

$$V_0'(0) = \pm \left( \mu^{d/2+1} - \frac{A}{\mu^{d/2-1}} \right) = 0,$$  \hspace{1cm} (45)

where the sign $\pm$ depends on which side of 0 the derivative is taken from. Hence the running average effective potential is

$$V_k(\phi) = c_k + \mu^{d/2+1}|\phi| + \mu^d \exp\left( -\frac{2\alpha_d(k/\mu)^{d-2}}{d(d-2)} - \frac{|\phi|}{\mu^{d/2-1}} \right),$$  \hspace{1cm} (46)

and indeed corresponds to what is expected - see Fig. [5] for the case $d = 4$:

- the ultraviolet limit $k \to \infty$ reproduces the bare potential;

- the IR limit $k \to 0$ leads to a differentiable effective potential.

We note that Wilsonian renormalisation flows depend on the blocking procedure, but the IR effective potential obtained in the limit $k \to 0$ does not. As an illustration we consider here the alternative Wegner-Houghton exact Wilsonian renormalisation equation [20], which corresponds to the use of a sharp cut-off function, and which can be used in the local potential approximation. This equation is, up to field-independent terms,

$$k \partial_k U_k(\phi) = -\alpha_d k^d \ln \left( \frac{k^2 + U_k'(\phi)}{\mu^2} \right),$$  \hspace{1cm} (47)

and the ansatz [41], followed by the steps described above, leads to

$$U_k(\phi) = \tilde{c}_k + \mu^{d/2+1}|\phi| + \mu^d \exp\left( -\frac{\alpha_d(k/\mu)^{d-2}}{d-2} - \frac{|\phi|}{\mu^{d/2-1}} \right),$$  \hspace{1cm} (48)

where $\tilde{c}_k$ corresponds to a redefinition of the origin of the energies. One notes the factor $\alpha_d$ instead of $2\alpha_d/d$ in the running potential [46], which is due to a different blocking procedure, but the IR effective potential is identical to the one obtained with the Wetterich equation and reads (for $c_0 = \tilde{c}_0 = 0$)

$$U_0(\phi) = V_0(\phi) = \mu^{d/2+1}|\phi| + \mu^d \exp\left( -\frac{|\phi|}{\mu^{d/2-1}} \right).$$  \hspace{1cm} (49)

**Case $d = 2$**

In this case the differential equation [43] leads to

$$u_k = u_A \left( \frac{\Lambda}{k} \right)^{1/4 \pi},$$  \hspace{1cm} (50)

FIG. 5: Quantum corrections turn the singularity into a smooth IR potential ($d = 4$): the V-shaped bare potential corresponds to $k = \infty$ and the smooth Wilsonian effective potential to $k = 0$. The intermediate running potentials correspond to finite values of $k$. Note that the latter potentials are not differentiable, and only the deep IR effective potential is differentiable.
where one recovers the anomalous dimension $1/4\pi$ appearing in Eq. (35) for $a = 1$.

V. FUNCTIONAL RG EQUATION FOR $O(N)$ SYMMETRIC SCALAR MODELS

In general the action of a scalar field theory which is symmetric under the $O(N)$ transformations where the wave-function renormalization is set to one, takes the form

$$S_{ON}[\phi] = \int \frac{1}{2} (\partial_{\mu} \phi)^2 + V_{ON}(\phi)$$  \hspace{1cm} (51)

where $\phi$ is a vector with $N$ components, and the potential depends on the magnitude of $\phi$ due to the $O(N)$ symmetry. A new field variable $\rho = (1/2)\phi^2$ can be introduced, and the FRG equation in LPA reads as

$$k\partial_k V_k = \int_p \frac{1}{2} \left( \rho \cdot R_k + V_k' + 2\rho V_k'' + \frac{N-1}{1 + V_k' + 2\rho V_k''} \right)$$  \hspace{1cm} (52)

where the prime means taking the derivative with respect to $\rho$. Using the Litim regulator [19] the momentum integral can be evaluated analytically and the following FRG equation can be derived for the dimensionless potential $\tilde{V}_k \equiv k^{-d}V_k$

$$k\partial_k \tilde{V}_k = (d-2)\rho \tilde{V}_k' - d\tilde{V}_k + \frac{(N-1)A_d}{1 + V_k'} + \frac{A_d}{1 + V_k' + 2\rho V_k''},$$

$$A_d = \frac{1}{2d+1} \frac{1}{\pi^{d/2}} \frac{1}{\Gamma(d/2)} \frac{1}{d},$$

where $V_k' = \partial_{\rho} \tilde{V}_k$ and $\Gamma(x)$ is the gamma function.

Let us now consider the $O(N)$ extension of the potential [3],

$$\tilde{V}_k(\rho) = \tilde{u}_k \sqrt{\rho}$$  \hspace{1cm} (54)

where, in contrast to the $N = 1$ case, $\rho = \phi \cdot \phi/2$ is now the modulus of $N$ fields. Let us see whether the FRG approach can be applied and whether the non-differentiability at $\rho = 0$ presents a problem. Substituting this potential into Eq. (54) and neglecting the field independent terms yields

$$k\partial_k \tilde{u}_k \sqrt{\rho} = -(d/2 + 1)\tilde{u}_k \sqrt{\rho} + \frac{(N-1)A_d}{1 + \tilde{u}_k/(2\sqrt{\rho})}. \hspace{1cm} (55)$$

Since almost every term depends on the field $\sqrt{\rho}$ it is natural to expand this expression in the Taylor series of $\sqrt{\rho}$. This however, in case of $N > 1$, generates higher powers of $\sqrt{\rho}$ which should be included in our ansatz [23]. Considering only the first order term, the renormalization scaling of the only coupling $\tilde{u}_k$ yields as

$$k\partial_k \tilde{u}_k = -(d/2 + 1)\tilde{u}_k + 2(N-1)A_d/\tilde{u}_k.$$  \hspace{1cm} (56)

For the dimensionful coupling the expression is simpler,

$$k\partial_k u = k^{d+2}2(N-1)A_d/u_k,$$  \hspace{1cm} (57)

with the solution,

$$u_k = \sqrt{u_\Lambda^2 + \frac{4(N-1)A_d}{d+2} \left( k^{d+2} - A_d \right)},$$  \hspace{1cm} (58)

where the initial condition $u_k = u_\Lambda$ is given. It is clear, that when $k$ decreases $u_k$ decreases as well, however the running reaches a point at $u_k = 0$ when it becomes unstable. This can be avoided using $u_k = u_{IR}$ as a boundary condition. In this case, the coupling has the following scaling

$$u_k = \sqrt{u_{IR}^2 + \frac{4(N-1)A_d}{d+2} k^{d+2}},$$  \hspace{1cm} (59)

that can result a vanishing potential at $k = 0$ if $u_{IR} = 0$ is chosen.

Thus, in the $O(N)$ extension of the V shaped potential, in contrast to the $N = 1$ case, the coupling $u_k$ is running, but in the lowest order approximation, the initial condition can be chosen such that the potential and its non-analyticity vanish. As noted before, the full analysis of this model would require higher order terms of $\sqrt{\rho}$, which are automatically generated by the RG running. However, the conclusion can be drawn, namely that the renormalization of (54) can be performed using the FRG approach without the need to resort to the regularizations presented in the potential (5).

Let us now consider the $O(N)$ extension of the SG model in $d = 2$ dimensions where topological phase transition occurs for $N = 1$.

The question is, again, whether one can apply the above FRG equation for the potential

$$\tilde{V}_k(\rho) = \tilde{u}_k \cos(\beta \sqrt{2}\sqrt{\rho})$$  \hspace{1cm} (60)

which is $O(N)$ symmetric but also non-differentiable at $\rho = 0$. In two dimensions the FRG equation for $O(N)$ models reduces to

$$k\partial_k \tilde{V}_k = -2\tilde{V}_k + \frac{1}{4\pi} (N-1) \tilde{V}_k' + \frac{1}{4\pi} \left( 1 + \tilde{V}_k' + 2\rho \tilde{V}_k'' \right),$$

and can be further simplified by linearising it around the Gaussian fixed point,

$$k\partial_k \tilde{V}_k \approx -2\tilde{V}_k + \frac{N-1}{4\pi} \tilde{V}_k' - \frac{1}{4\pi} (\tilde{V}_k' + 2\rho \tilde{V}_k'').$$

According to our previous arguments namely, if the non-differentiability appears at a single point (single value for the field) the application of the usual FRG method is straightforward. Thus one finds,

$$k\partial_k \tilde{u}_k \cos(\beta \sqrt{2}\sqrt{\rho}) \approx -2\tilde{u}_k \cos(\beta \sqrt{2}\sqrt{\rho}) + \frac{N-1}{4\pi} \tilde{u}_k \beta \sin(\beta \sqrt{2}\sqrt{\rho}) + \frac{1}{4\pi} \tilde{u}_k \beta^2 \cos(\beta \sqrt{2}\sqrt{\rho}),$$

(63)
and substituting back $\rho = \phi^2/2$ the FRG equation reads

$$
k \partial_k \tilde{u}_k \cos(\beta \sqrt{\phi^2}) \approx -2 \tilde{u}_k \cos(\beta \sqrt{\phi^2}) + N - 1 \frac{1}{4\pi} \tilde{u}_k \beta \sin(\beta \sqrt{\phi^2}) + \frac{1}{4\pi} \tilde{u}_k \beta^2 \cos(\beta \sqrt{\phi^2}),
$$

which contains periodic and non-periodic terms. For $N = 1$, the non-periodic term vanishes and the flow equation for the dimensionless Fourier amplitude is

$$
k \partial_k \tilde{u}_k \approx -2 \tilde{u}_k + \frac{1}{4\pi} \tilde{u}_k \beta^2,
$$

which gives the well-known critical frequency $\beta_c^2 = 8\pi$ of the single component SG model. This signals the presence of a topological type (infinite order) phase transition. For $N > 1$, let us do the Fourier series of the non-periodic term keeping only a single mode. One obtains

$$
k \partial_k \tilde{u}_k \cos(\beta \sqrt{\phi^2}) \approx -2 \tilde{u}_k \cos(\beta \sqrt{\phi^2}) + N - 1 \frac{1}{4\pi} \tilde{u}_k \beta^2 S(2\pi) - \cos(\beta \sqrt{\phi^2}) + \frac{1}{4\pi} \tilde{u}_k \beta^2 \cos(\beta \sqrt{\phi^2}),
$$

where $S(i(x)$ is the sine integral. This gives the critical frequency

$$
\beta_c^2(N) = \frac{8\pi}{1 + (N-1)S(2\pi)/\pi}
$$

which is a decreasing function of $N$ and runs to zero in the large $N$ limit. However, using the notation of [12], rescaling the field and the frequency as $\tilde{\rho} = (\phi \cdot \phi)/N$ and $\beta = \beta\sqrt{N}$, one obtains a finite value in the large $N$ limit,

$$
\beta_c^2(N) = N\beta_c^2(N) \xrightarrow{N \to \infty} \frac{8\pi^2}{S(2\pi)} \approx 17.72\pi.
$$

The value determined in [12] is $24\pi$.

To have a better accuracy, the treatment of the non-periodic term would require a more careful analysis. Non-periodicity appears due to the fact that the Hessian in the FRG equation contains derivatives with respect to the Cartesian components of the field where an expansion around the field vector $\phi = (\sqrt{\rho}, 0, \cdots, 0)$ was made to derive Eq. (53). This is a sensible assumption for critical points where one expects an $O(N)$ symmetry breaking, as the expectation value of $\phi$ is directed only along a single direction, i.e. the first component. This assumption leads to the anisotropy between massive and Goldstone modes, which, in turns, causes the periodicity breaking. A possible solution is to perform the FRG analysis of the model in a spherical coordinate system, which we think is a deserving subject of further study.

VI. SUMMARY

In this work we studied various types of non-analytic (i.e., non-differentiable) potentials. In particular we considered whether the non-analytic nature of these potentials plays any role in their quantisation and renormalisation. We studied a Liouville-type interaction, $\exp(-a|\phi|)$ which depends on the absolute value of the scalar field and a periodic self-interaction, $\cos(\beta \sqrt{\phi \cdot \phi})$ where $\phi$ is an $N$-component scalar field. For $N = 1$, the latter reduces to the sine-Gordon theory which undergoes a topological phase transition in $d = 2$ dimensions at $\beta_c^2 = 8\pi$. We investigated whether its $N$-component extension shows any similarities with the $N = 1$ model.

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Appendix A: Effective Branon Action

To deal with a specific example of non-differentiable bare potential, we show here the construction of an effective description of brane fluctuations, described in the low energy limit by “branons”, which are particles living in 3+1 dimensions, and representing quanta of the brane fluctuations in the 5th dimension.

Let us start with a general setup where a single brane model in large extra dimensions is considered. The four-dimensional space-time is embedded in the $D = 4 + N$ dimensional bulk space. In what follows, the brane coordinates are denoted with the indices $\mu, \nu$ and the bulk coordinates with $M, N$. In this general framework the coordinates parametrizing the points of a bulk are denoted by $X^M = (x^\mu, y^m)$ and the position of the brane in the bulk is given by $X^M = (x^\mu, Y^m(x))$, so thus $y^m = Y^m(x)$. Let us now switch back to the simplest case, i.e., for $N = 1$ and consider a 5-dimensional Universe with generic coordinates $X^M = (x^\mu, y)$, where $x$ are the coordinates on the brane, which is defined by the equation $y = Y(x)$.

Motivated by scenarios involving confinement on the brane, we consider the following block-diagonal bulk metric

$$
g_{MN} = \begin{pmatrix}
\epsilon^{2\sigma(y)} \eta_{\mu\nu} & 0 \\
0 & -1
\end{pmatrix},
$$

with the Randall-Sundrum warp factor, defined by

$$
\sigma(y) = -a|y|,
$$

where $a$ is a constant. The induced metric $h_{\mu\nu}$ on the brane is

$$
h_{\mu\nu}(x) = \partial_\mu X^M \partial_\nu X^N g_{MN}(X) = e^{2\sigma(Y)} \eta_{\mu\nu} - \partial_\mu Y \partial_\nu Y,
$$
and, if \( f^4 \) is the brane tension, the brane action is then
\[
S_{brane} = -f^4 \int d^4x \sqrt{-h} = -f^4 \int d^4x \ e^{4\sigma(Y)} \tag{A3}
\]
where dots represent higher orders in derivatives of \( Y \), which will be disregarded in the framework of the gradient expansion.

The dynamical variable is the canonically normalized branon field \( \phi = f^2 Y \), with mass dimension 1, and the classical brane ground state is \( Y = 0 \). The resulting effective action for branons is then
\[
S_{branon} = \int d^4x \left( \frac{2\sigma(\phi)}{2} \partial\mu\phi\partial\mu\phi - f^4 e^{4\sigma(\phi)} \right), \tag{A4}
\]
and contains derivative and polynomial interactions. For the details of the derivation see [8].

**Appendix B: Periodic Higgs Potential**

In the standard model (SM) of particle physics the underlying symmetry of the electroweak sector is \( SU(2)_L \times U(1)_Y \) and the Higgs Lagrangian reads as
\[
\mathcal{L} = (D_\mu \phi)^* (D^\mu \phi) - V(\phi) - \frac{1}{4} \text{Tr} (F_{\mu\nu} F^{\mu\nu}), \nonumber
\]
\[
D_\mu = \partial_\mu + ig \mathbf{T} \cdot \mathbf{W}_\mu + ig y_1 B_\mu \nonumber
\]
\[
V = \mu^2 \phi^* \phi + \lambda (\phi^* \phi)^2, \nonumber
\]
where the SM Higgs field is an \( SU(2) \) complex scalar doublet with four real components
\[
\phi = \frac{1}{\sqrt{2}} \left( \begin{array}{c} \phi_1 + i \phi_2 \\ \phi_3 + i \phi_4 \end{array} \right). \nonumber
\]

It is interesting to investigate the possibility of a false vacuum at large Higgs field values which can be realised by adding new interaction terms to the Lagrangian, such as \( \lambda_2 (\phi^* \phi)^2 \). In the renormalization group (RG) point of view the phase structure has not been modified significantly if the Higgs potential remains polynomial. Instead, a periodic self-interaction, \( f^4 \phi \),
\[
V = u[\cos(\beta \sqrt{\phi^* \phi}) - 1] = -\frac{u\beta^2}{2} |\phi^* \phi| + \frac{u\beta^4}{4} (\phi^* \phi)^2 + \ldots
\]
can influence more drastically the phase structure and the RG running of the couplings due to the periodicity. The first two terms of the Taylor expansion reproduce the SM Higgs potential but periodicity should be protected by the RG approach, so, no Taylor expansion can be applied.

Thus, it is worthwhile to study the phase structure of a periodic potential defined by the following Euclidean action
\[
S[\phi] = \int d^4x \left( \frac{1}{2} \partial_\mu \phi \partial_\mu \phi + u \cos(\beta \sqrt{\phi^* \phi}) \right) \tag{B1}
\]
where summations over repeated indexes is intended. The index \( i \) runs over the \( N \) component of the field vector \( \phi(x) \), while \( \mu \) is the spatial coordinate index. It is worth noting that the whole action is \( O(N) \) invariant since the potential part depend only on the \( O(N) \) invariant composition of the fields \( \phi \cdot \phi = \phi_i(x)\phi_i(x) \).

**Appendix C: The \( O(N) \) sine-Gordon model**

Let us recall periodic model, \([1] \) which has the following action,
\[
S_{Higgs} = \int d^4x \left( \frac{1}{2} \partial_\mu \phi \partial_\mu \phi + u \cos(\beta \sqrt{\phi^* \phi}) \right) \tag{C1}
\]
Its phase structure has already been investigated for \( d = 2 \) dimensions. For \( N = 1 \), the scalar model \([1] \) reduces to the 2-dimensional sine-Gordon (SG) theory
\[
S[\phi] = \int d^2x \left( \frac{1}{2} \partial_\mu \phi \partial_\mu \phi + u \cos(\beta \phi) \right) \tag{C2}
\]
where we used the fact that cosine is an even function, so, its argument \( \sqrt{\phi^2} = |\phi| \) can be replaced by \( \phi \) dropping its absolute value. In this case the non-analytic nature plays no role and the 2-dimensional SG model undergoes a topological phase transition and its two phases are separated by the critical frequency
\[
\beta^2_{N=1} = 8\pi. \tag{C3}
\]
The \( O(N) \) extension of the 2-dimensional SG scalar theory has been investigated in \([12] \) with the following explicit form,
\[
S[\phi] = \int d^2x \left( \frac{1}{2} \partial_\mu \phi \partial_\mu \phi + N \frac{\alpha_0}{\beta^2} \cos(\hat{\beta} \sqrt{\hat{\rho}}) \right) \tag{C4}
\]
where \( \hat{\rho} = (\phi \cdot \phi)/N \) and \( \hat{\beta} = \beta \sqrt{N} \). It was argued that similarly to the \( N = 1 \) case, the general N-vector model has two phases and the critical frequency in the large \( N \) limit \( (N \to \infty) \) reads as
\[
\hat{\beta}^2_{N \to \infty} = 24\pi. \tag{C5}
\]
However, the complete study of the phase structure of the general model, which is the \( O(N) \) extension of the usual SG scalar theory is still missing.

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