Total Magnetic Resonance Imaging of Cerebral Small Vessel Disease Burden Predicts Dysphagia in Patients with a Single Recent Small Subcortical Infarct

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Abstract

Background

The study was performed to identify the association between total magnetic resonance imaging burden of small vessel disease and occurrence of post-stroke dysphagia in patients with a single recent small subcortical infarct.

Methods

All patients with a magnetic resonance imaging-confirmed single recent small subcortical infarct underwent the water-swallowing test and volume-viscosity swallow test within the first 24 hours following admission to assess swallowing. Demographic and clinical data were extracted from our stroke database. Based on brain magnetic resonance imaging, we independently rated the presence of cerebral microbleeds, lacunes, white matter hyperintensities and enlarged perivascular spaces. The presence of each small vessel disease feature was summed in the total small vessel disease burden, ranging from 0–4.

Results

In total, 308 patients with a single recent small subcortical infarct were enrolled. Overall, 54 (17.5%) were diagnosed with post-stroke dysphagia. The risk factors related to post-stroke dysphagia included the following: older age, National Institute of Health Stroke Scale, higher C-reactive protein levels and higher fibrinogen levels. Based on multiple logistic regression, two variables with the most significant associations, namely, National Institute of Health Stroke Scale and total small vessel disease burden, were combined with age, gender, history of hypertension, C-reactive protein level and fibrinogen level.

Conclusions

Dysphagia in patients with a single recent small subcortical infarct resulted from severe small vascular disease, which was associated with systemic inflammation. This information might provide a new anti-inflammatory treatment for post-stroke dysphagia in the future.

1. Introduction

Post-stroke dysphagia (PSD) was a common disabling symptom associated with pneumonia, malnutrition and poor clinical outcomes[1]. PSD rates were particularly high among acute ischemic strokes (AIS) patients with older age, severe stroke and larger infarcts[2]. Brainstem strokes represented another risk factor for dysphagia and resulted in the greatest swallowing compromise[3].
However, PSD also occurred in up to one-fifth of patients with a single recent small subcortical infarct (RSSI)[4]. RSSI was previously defined as lacunar infarct and was noted 25% of all AIS patients[5]. RSSI was thought to result from the occlusion of a small, single perforating artery supplying subcortical areas, including the basal ganglia, thalamus, centrum semiovale and pons[6]. The pathogenesis of PSD following a single RSSI was unclear. A prior retrospective study reported that PSD in supratentorial RSSI may result from bilateral pyramidal tract damage caused by pre-existing contralateral lesions (including lacunes or confluent white matter hyperintensities (WMH))[1]. Another study found that PSD in patients with a single RSSI was expected, especially among those with severe stroke, pontine infarcts and severe WMH[4].

Multiple subcortical regions were also associated with abnormal swallowing, which may provide an interconnection between cortical swallowing centres and the central pattern generator[7]. These anatomic structures and pathways may not only be disrupted by RSSI but also by coexisting damage of small vessel disease (SVD)[8]. The term cerebral SVD described a range of neuroimaging features, which had long been implicated with cognitive impairment, stroke and gait disorder[5]. Magnetic resonance imaging (MRI) markers of SVD included cerebral microbleeds (CMBs), lacunes, WMH, enlarged perivascular spaces (ePVS) and brain atrophy[9]. The exact mechanism of SVD was not well known and thought to result from damage to the perforating cerebral arterioles, capillaries, and venules, which ultimately caused brain damage, including the cerebral white matter and deep grey matter[5]. Importantly, inflammation was increasingly implicated as a prominent component and a candidate factor of SVD[10]. Markers of inflammation were classified as systemic inflammation such as C-reactive protein, interleukin-6, fibrinogen and vascular inflammation/endothelial dysfunction (e.g., homocysteine, von Willebrand factor, and Lp-PLA2)[11]. Existing studies indicated that systemic and vascular inflammation/endothelial dysfunction were differentially associated with different forms of SVD. Specifically, vascular inflammation was related to hypertensive arteriopathy-type SVD, whereas systemic inflammation was related to cerebral amyloid angiopathy (CAA)-type SVD. The most widely investigated markers of inflammation including C-reactive protein (CRP) and homocysteine. CRP was a sensitive but nonspecific marker of systemic inflammation. Homocysteine was thought to cause damage to the endothelium[12] and subsequently result in blood-brain barrier dysfunctions[13]. Associations between vascular clinical factors and SVD remained controversial. Hypertension, smoking, diabetes and sex were all proposed to be associated with SVD previously[14]. However, the conclusion was not only inconsistent in different studies[15] but also for different individual features of SVD[16, 17].

The total SVD burden combining 4 individual but closely correlated MRI features of SVD in one measure, including CMBs, lacunes, WMH and ePVS[5, 18], provided a complete estimate of the full impact of SVD in a simple way. The total SVD burden provided a more complete and pragmatic overall view of the impact of SVD on the neurological diseases that was better than considering only 1 or 2 individual features separately[19, 20].

No studies had specifically investigated dysphagia in patients with a single RSSI in the context of total SVD burden. We therefore sought to assess the frequency of PSD in patients with a MRI-confirmed single
RSSI and to identify the association between the total SVD burden and PSD in these patients. Several clinical data were identified associated with the occurrence of PSD in patients with a single RSSI, including older age, higher stroke severity, higher CRP and fibrinogen levels. In addition, we identified that dysphagia in patients with a single recent small subcortical infarct resulted from severe small vascular disease, which was associated with systemic inflammation. This information might provide a new anti-inflammatory treatment for post-stroke dysphagia in the future.

2. Methods

2.1 Participants

We identified all AIS patients in the medical system of our stroke database admitted to the stroke unit at First Affiliated Hospital of Soochow University between October 2017 and January 2019. Selection criteria were as follows: (1) diagnosis of AIS confirmed by diffusion-weighted MRI (DWI); (2) diagnosis for a single RSSI according to the STandards for ReportIng Vascular changes on Neuroimaging consensus (STRIVE) criteria\[21\] by two neuroimaging experts blinded to clinical data. Patients with the following were excluded: (1) multiple RSSIs or additional acute infarcts in other locations; (2) pre-existing dysphagia or concomitant diseases likely to cause dysphagia, including dementia; (3) concomitant brain hemorrhage; (4) brain tumors; (5) severe hepatic and renal dysfunction or end-stage severe disease. In a sample size calculation N = 221, patients would yield a power of 80% to detect a statistically significant difference (alpha = 0.05, two-sided). All patients were divided into two groups according to the following swallowing assessment: (1) patients with PSD; (2) patients with no PSD.

2.2 Swallowing assessment

The assessment of swallowing function was examined according to the water-swallowing test (WST) and volume-viscosity swallow test (V-VST), which were performed by a trained neurologist blinded to the clinical data. WST was performed using 30 ml of water while sitting at a 90° angle[22]. V-VST was assessed within the first 24 hours following admission before oral feeding and with gradually increased volumes from 5, 10 to 20 ml and with different viscosities (thin liquid, nectar-like and spoon thick) in combination with a pulse-oximeter to evaluate both the efficacy and safety of swallowing function. Signs of impaired efficacy of swallowing include efficiency of labial seal, oral residue, fractional swallow and pharyngeal residue. Sign of impaired safety of swallowing include changes in voice quality, coughing and decrease in oxygen saturation (SpO$_2$) ≥ 3% for > 1 minute compared to baseline[23]. Patients who presented any sign of impaired efficacy and/or safety when swallowing were considered positive for PSD.

2.3 Clinical data

We extracted all the following variables: age, sex, systolic blood pressure, diastolic blood pressure, medical history (including hypertension, diabetes mellitus, atrial fibrillation, smoking and previous stroke) and clinical data on admission (including relevant laboratory indicators, stroke severity measured by NIHSS, thrombolytic treatment). The diagnosis of post-stroke pneumonia was identified by our treating
team and defined based on ≥ 3 of the following 6 features: (1) fever (> 38°C); (2) productive cough; (3) abnormal respiratory examination; (4) abnormal chest radiograph; (5) white blood cell count > 12000/ml; (6) isolation of a relevant pathogen and use of antibiotics.

### 2.4 MRI acquisition

All patients were scanned in a 3T MR scanner (MAGNETOM Skyra; Siemens Healthineers, Erlangen, Germany). The standard brain array coil was used for signal reception. The images obtained included transverse T1-weighted turbo spin-echo (TSE) images (repetition time (msec)/echo time (msec), 700/14; section thickness, 3 mm; intersection gap, 0.5 mm; field of view, 25 cm; matrix, 384×336) and transverse, coronal and sagittal T2-weighted TSE images (repetition time (msec)/effective echo time (msec) 6,000/124; section thickness, 3 mm; intersection gap, 0.3 mm; field of view, 25 cm; matrix, 384×336). DWI was obtained to calculate an apparent diffusion coefficient using a 2D echo planar imaging sequence with multiple b-value acquisitions (0, 100, 800, 1000 and 1500 s/mm²), with the diffusion-sensitizing gradients applied along the X, Y and Z axes. MRI brain scans were obtained within 3 days after symptom onset for each participant admitted to the hospital. Brain lesions were localized according to hemisphere (left, right).

### 2.5 MRI analysis

All images were assessed by two neuroradiologist blinded to clinical information. In case of disagreement, a consensus meeting was held. A single RSSI was identified based on STRIVE criteria[21] and located to four brain lesions as follows: basal ganglia, thalamus, centrum semiovale and pons[24] (Fig. 2). Briefly, CMBs were defined on SWI as small (< 5 mm), homogeneous, rounded lesions of low signal intensity[21, 25]. Lacunes were identified as asymptomatic rounded or ovoid hyperintense lesions in subcortical areas between 3 and 20 mm in diameter, of CSF signal intensity on T2 and Flair, with a hyperintense rim on FLAIR and no increased signal on DWI[26]. Deep and periventricular WMH were graded according to the Fazekas score from 0 to 3[27]. EPVS was defined as small (< 3 mm) punctate (if perpendicular to the plane of scan) and linear (if longitudinal) lesions in both BG and centrum semiovale regions with signal intensity equal to cerebrospinal fluid on T1, T2 and flair sequence spaces without a hyperintense rim on FLAIR images[21].

### 2.6 Total MRI-confirmed SVD burden

We constructed the total MRI-confirmed SVD burden on an ordinal scale ranging from 0 to 4 by counting 4 MRI features of SVD (CMBs, Lacunes, WMH and ePVS)[16]. The presence of each of the following items was awarded one point (Fig. 3): presence of lacunes and CMBs were defined as ≥ 1 asymptomatic lacune or ≥ 1 CMBs (1 point if present); moderate to extensive (≥ 11) PVS (1 point if present); presence of WMH was defined as either deep WMH (Fazekas score 2 or 3) or periventricular WMH (Fazekas score 3) (1 point if present)[28].

### 2.7 Statistical analysis
Values were presented as the mean ± standard deviation (SD) and analysed using SPSS 20.0 software (SPSS, Inc., Chicago, IL, USA). Parametric data were analysed by Student’s t-test and one-way ANOVA. Categorical data were conducted by Chi-squared test or Mann-Whitney test. Binary univariate and multivariate logistic regression analysis with simultaneous inclusion was applied to identify predictors of dysphagia and compare clinical outcomes in participants with and without dysphagia. Pearson correlations were conducted to analyse the relationships between markers of inflammation and the total SVD burden.

3. Results

3.1 Patient characteristics

A total of 308 patients fulfilled the inclusion criteria and were included in the study (Fig. 1). 54 (17.53%) of them were with PSD. Demographic and clinical data of the study population and differences between dysphagia and nondysphagia patients with a single RSSI were displayed in Table 1. The groups significantly differed in age: patients with dysphagia were older than the nondysphagia patients (67.54 ± 11.74 vs 61.00 ± 13.06 years, p = 1.00×10⁻³). Patients in the nondysphagia group exhibited higher triglyceride (1.67 ± 1.00 vs 1.33 ± 0.51 mmol/l, p < 1.00×10⁻³) and higher uric acid (312.56 ± 91.35 vs 282.17 ± 80.91 µmol/l, p = 0.03) levels compared with the dysphagia group. PSD patients exhibited higher score in NIHSS (7.78 ± 6.23 vs 3.23 ± 2.92, p < 1.00×10⁻³). A significant difference was found between the groups that dysphagic patients exhibiting more post-stroke pneumonia (44.40% vs 14.96%, p < 1.00×10⁻³). A significantly strong association was noted between both higher CRP (62.96% vs 27.95%, p < 1.00×10⁻³) and fibrinogen levels (3.18 ± 0.96 vs 2.61 ± 0.93, p < 1.00×10⁻³).
## Table 1
Demographic and Clinical Data of Single-RSSI Patients with Dysphagia and Controls

| Demographic and Clinical Data | Dysphagia (n = 54) | Controls (n = 254) | t/Z/\(\chi^2\) | p |
|-------------------------------|---------------------|--------------------|----------------|---|
| Age (years)                  | 67.54 ± 11.74, 42.00–91.00 | 61.00 ± 13.06, 22.00–89.00 | t = -3.40 | 1.00×10\(-3\) |
| Gender male/female            | 37/17               | 176/78             | \(\chi^2 = 0.01\) | 0.91 |
| Systolic Blood Pressure (mmHg)| 152.57 ± 24.87, 105.00–220.00 | 147.44 ± 21.40, 100.00–230.00 | t = -1.55 | 0.12 |
| Diastolic Blood Pressure (mmHg) | 82.54 ± 12.11, 53.00–111.00 | 81.94 ± 12.89, 50.00–131.00 | t = -0.31 | 0.76 |
| History of Hypertension yes/no | 40/14               | 192/62             | \(\chi^2 = 0.06\) | 0.81 |
| History of Diabetes yes/no    | 17/37               | 75/179             | \(\chi^2 = 0.08\) | 0.78 |
| Smoking yes/no                | 12/42               | 68/186             | \(\chi^2 = 0.48\) | 0.49 |
| History of AF† yes/no         | 4/50                | 7/247              | Z = -1.67      | 0.11 |
| Previous Stroke yes/no        | 12/42               | 34/220             | \(\chi^2 = 2.74\) | 0.10 |
| Triglyceride (mmol/L)         | 1.33 ± 0.51, 0.49–2.55 | 1.67 ± 1.00, 0.38–9.11 | t = 3.68   | < 1.00×10\(-3\)* |
| Total Cholesterol (mmol/L)    | 4.30 ± 1.03, 1.51–6.33 | 4.36 ± 1.05, 1.94–9.90 | t = 0.38 | 0.70 |

* Continuous data are shown as mean ± SD, minimum and maximum values in patients with dysphagia and controls with statistical significance based on two sample T test. Categorical data differences in patients and controls are represented with statistical significance based on chi-squared test (\(\chi^2\) & p) or Fisher exact test (Z & p). *: p < 0.001.

† AF refers to atrial fibrillation, LDLC refers to low density lipoprotein cholesterol, Higher CRP refers to C-reactive protein ≥ 3mg/l.

‡ 44 patients with dysphagia and 215 controls took part in Homocysteine tests, 37 patients with dysphagia and 196 controls took part in Hemoglobin A1c tests, while 17 patients with dysphagia and 68 controls attended Lp-PLA2 tests.
| Demographic and Clinical Data | Dysphagia (n = 54) | Controls (n = 254) | t/Z/χ² | P |
|------------------------------|------------------|------------------|--------|---|
| LDLC (mmol/L)               | 2.62 ± 0.94, 0.63–5.01 | 2.65 ± 0.91, 0.65–8.11 | t = 0.25 | 0.80 |
| Creatinine (µmol/L)         | 67.78 ± 13.99, 47.30–102.00 | 70.24 ± 21.53, 33.20–225.30 | t = 0.80 | 0.42 |
| Uric Acid (µmol/L)          | 282.17 ± 80.91, 138.40–472.40 | 312.56 ± 91.35, 92.50–648.00 | t = 2.24 | 0.03 |
| Fasting Blood Glucose (µmol/L) | 6.03 ± 1.84, 3.92–12.76 | 5.98 ± 2.07, 3.34–19.60 | t = 3.78 | 0.88 |
| Homocysteine‡ (µmol/L)      | 14.13 ± 9.12, 6.20–55.60 | 12.84 ± 8.67, 3.40–74.40 | t = -0.89 | 0.38 |
| Hemoglobin A1c‡ (%)         | 7.08 ± 1.79, 5.20–12.10 | 6.72 ± 1.67, 4.90–15.10 | t = -1.20 | 0.23 |
| NIH Stroke Scale            | 7.78 ± 6.23, 0.00–36.00 | 3.23 ± 2.92, 0.00–15.00 | t = -8.18 | < 1.00×10⁻³* |
| Higher CRP†                 | 34/20             | 71/183           | χ² = 24.29 | < 1.00×10⁻³* |
| Fibrinogen (g/l)            | 3.18 ± 0.96, 0.75–6.18 | 2.61 ± 0.93, 0.75–6.77 | t = -4.06 | < 1.00×10⁻³* |
| Lp-PlA2‡ (ug/l)             | 139.29 ± 56.82, 63.81–311.64 | 157.36 ± 140.79, 56.04–800.00 | t = 0.52 | 0.61 |
| Thrombolytic yes/no         | 12/42             | 53/201           | χ² = 0.05 | 0.82 |

* Continuous data are shown as mean ± SD, minimum and maximum values in patients with dysphagia and controls with statistical significance based on two sample T test. Categorical data differences in patients and controls are represented with statistical significance based on chi-squared test (χ² & p) or Fisher exact test (Z & p). *: p < 0.001.

† AF refers to atrial fibrillation, LDLC refers to low density lipoprotein cholesterol, Higher CRP refers to C-reactive protein ≥ 3mg/l.

‡ 44 patients with dysphagia and 215 controls took part in Homocysteine tests, 37 patients with dysphagia and 196 controls took part in Hemoglobin A1c tests, while 17 patients with dysphagia and 68 controls attended Lp-PlA2 tests.
### Demographic and Clinical Data

| Clinical Data                  | Dysphagia (n = 54) | Controls (n = 254) | t/Z/χ² | p       |
|-------------------------------|--------------------|--------------------|--------|---------|
| Post-stroke pneumonia yes/no  | 24/30              | 38/216             | χ² = 24.08 | < 1.00×10⁻³* |

* Continuous data are shown as mean ± SD, minimum and maximum values in patients with dysphagia and controls with statistical significance based on two sample T test. Categorical data differences in patients and controls are represented with statistical significance based on chi-squared test (χ² & p) or Fisher exact test (Z & p). *: p < 0.001.

† AF refers to atrial fibrillation, LDLC refers to low density lipoprotein cholesterol, Higher CRP refers to C-reactive protein ≥ 3mg/l.

‡ 44 patients with dysphagia and 215 controls took part in Homocysteine tests, 37 patients with dysphagia and 196 controls took part in Hemoglobin A1c tests, while 17 patients with dysphagia and 68 controls attended Lp-PIA2 tests.

### 3.2 Associations between Radiological Factors and PSD

The majority of single RSSIs were located in the basal ganglia (n = 142, 46.10%) followed by pons (n = 70, 22.73%), centrum semiovale (n = 60, 19.48%), and thalamus (n = 36, 11.69%). Neuroimaging examples of prespecified single RSSI locations were provided in Fig. 2. Given that single RSSI locations exhibited significant differences between PSD and non-PSD patients (p = 0.04), we further investigated the associations of single RSSI locations and dysphagia as shown in Table 2. Patients with RSSI located in the pons suffered from dysphagia more often (OR = 2.95, p = 0.50×10⁻³), whereas no significant differences were noted between patients with RSSI located in the basal ganglia, thalamus and centrum semiovale (OR = 0.91, p = 0.75; OR = 0.00, p = 1.00; OR = 0.47, p = 0.23, respectively). No associations were noted between a single RSSI side and dysphagia (left, 57.41% vs 53.15%; p = 0.57). All four concomitant MRI findings (including lacunes, perivascular spaces, WMH Fazekas 2–3 and microbleeds) were significantly different between single RSSI patients with and without dysphagia in Table 2.
Table 2
Radiological Data of Single-RSSI Patients with Dysphagia and Controls

| Variable                              | Dysphagia (n = 54) | Controls (n = 254) | χ²/z   | p     |
|---------------------------------------|--------------------|-------------------|--------|-------|
| Single-RSSI Location, n(%)           |                    |                   |        |       |
| Basal Ganglia                         | 23(42.59)          | 119(46.85)        | χ² = 0.10 | 0.75  |
| Thalamus                              | 1(1.85)            | 35(13.78)         | z = 0   | 1.00  |
| Centrum Semiovale                     | 8(14.82)           | 52(20.47)         | χ² = 1.47 | 0.23  |
| Pons                                  | 22(40.74)          | 48(18.90)         | χ² = 12.10 | 5.00×10⁻³ |
| Lesioned Hemi Left, n (%)             | 31(57.41)          | 135(53.15)        | χ² = 0.32 | 0.57  |
| Concomitant MRI Findings, n (%)       |                    |                   |        |       |
| Lacunes                               | 44(81.48)          | 130(51.18)        | χ² = 16.64 | <1.00×10⁻³* |
| Perivascular Spaces                   | 36(66.67)          | 77(30.31)         | χ² = 25.34 | <1.00×10⁻³* |
| WMH Fazekas 2–3†                      | 26(48.15)          | 65(25.59)         | χ² = 10.89 | 1.00×10⁻³  |
| Microbleeds                           | 16(29.63)          | 17(6.69)          | χ² = 24.49 | <1.00×10⁻³* |

* Categorical data differences in patients and controls are represented with statistical significance based on chi-squared test (χ² & p) or Fisher exact test (Z & p). *: p < 0.001.
† WMH refers to white matter hyperintensities.

Patients located in pons suffered from dysphagia more often (OR = 2.95, p = 5.00×10⁻³), there were no significant difference between patients located in the basal ganglia, thalamus and centrum semiovale (OR = 0.91, p = 0.75; OR = 0.00, p = 1.00; OR = 0.47, p = 0.23, separately). There were no associations between a single RSSI side and dysphagia (left, 57.41% vs 53.15%, p = 0.57). All four concomitant MRI findings (including Lacunes, Perivascular spaces, WMH Fazekas 2–3 and Microbleeds) were significantly different between single RSSI patients with and without dysphagia.

### 3.3 Associations Between total SVD burden and PSD

Among the single RSSI patients who scored 1, most (62.61%) had lacunes followed by ePVS (26.09%), CMBs (6.09%) and WMH (5.21%) (Table 3). Among patients who scored 2, all possible combinations were present, with combination of WMH + lacunes (34.25%) and ePVS + lacunes (34.25%) were predominant. Among patients who scored 3, all possible combinations were present, with PVS + WMH + lacunes (67.57%) was most common. Dysphagic patients had higher ratings of total SVD burden compared with nondysphagic patients (Table 3; p < 1.00×10⁻³). In multivariate logistic regression models for patients with dysphagia, total SVD burden was identified as an independent risk factor for PSD (OR = 2.27, [1.56, 3.31], p = 1.75×10⁻⁵; Table 4).
Table 3
Total SVD Score Values for Single-RSSI Patients with Dysphagia and Controls

| Total SVD Score | All Patients (n = 308) | Dysphagia (n = 54) | Controls (n = 254) |
|----------------|------------------------|-------------------|-------------------|
| 0              | 73(23.70)              | 6(11.11)          | 67(26.38)         |
| 1              | 115(37.34)             | 7(12.96)          | 108(42.52)        |
| 2              | 73(23.70)              | 16(29.63)         | 57(22.44)         |
| 3              | 37(12.01)              | 17(31.48)         | 20(7.87)          |
| 4              | 10(3.25)               | 8(14.82)          | 2(0.79)           |

* SVD refers to small vessel disease. On brain magnetic resonance imaging, we independently rated the presence of cerebral microbleeds, lacunes, white matter hyperintensities and enlarged perivascular spaces. The presence of each SVD feature was summed in the total SVD score ranging from 0–4. Data presented as number (%). Mann-Whitney test Dysphagia vs Controls in Single-RSSI Patients, Z= -6.29, p < 1.00×10⁻³.

Table 4
Multivariable Logistic Regression Model for Predicting Patients with Dysphagia

| Variables            | Odds Ratio | 95% CI      | t   | P value |
|----------------------|------------|-------------|-----|---------|
| Age                  | 1.02       | 0.99,1.05   | 1.16| 0.25    |
| Gender               | 1.59       | 0.70,3.63   | 1.11| 0.26    |
| History of Hypertension | 0.41   | 0.18,0.95   | -2.08| 0.04    |
| NIH Stroke Scale     | 1.25       | 1.13,1.37   | 4.46| 8.12×10⁻⁶** |
| Higher CRP†          | 1.66       | 0.77,3.59   | 1.29| 0.20    |
| Fibrinogen (g/l)     | 1.19       | 0.83,1.71   | 0.95| 0.34    |
| SVD burden†          | 2.27       | 1.56,3.31   | 4.30| 1.75×10⁻⁵** |

† Higher CRP refers to C-reactive protein ≥ 3mg/l; SVD refers to small vessel disease.

3.4 Correlations between markers of inflammation and total SVD burden

Table 5 presented the correlations between markers of inflammation and total SVD burden in single RSSI patients. The CRP and fibrinogen level were positively correlated with total SVD burden score (r = 0.19, p = 1.00×10⁻³; r = 0.21, p = 3.00×10⁻⁴, respectively, Fig. 4). No associations between homocysteine or Lp-PLA2 levels and total SVD burden score were observed (r = 0.08, p = 0.22; r = 0.11, p = 0.30, respectively).
4. Discussion

This study showed two major findings in AIS patients with a single RSSI: (1) Clinical risk factors of PSD with a single RSSI were identified: older age, higher stroke severity (NIHSS), elevated CRP and fibrinogen levels. (2) Dysphagia in single RSSI patients resulted from severe small vascular disease, which was associated with systemic inflammation.

PSD was common in hospitalized patients and associated with increased mortality and comorbidities, including post-stroke pneumonia, malnutrition, dehydration and mortality[29]. Among AIS patients, 50–80% had trouble with swallowing, especially during the first week after their stroke[30]. The importance for screening of PSD had been emphasized at both international symposiums and in clinical audit reports. In the American Heart Association/American Stroke Association 2019 guidelines for the Early Management of AIS, dysphagia screening was effective in identifying patients at increased risk for aspiration, and these guidelines had strength/class of recommendation I (strong benefit to risk ratio) but C-LD level of evidence (limited data)[31]. The prevalence of PSD (17.53%) among AIS patients with RSSI in our study was consistent with other investigators given that 20% suffered from PSD. We also confirmed previous findings demonstrating that patients with PSD carried a high risk of post-stroke pneumonia (44.44%).

Prior studies using different methods demonstrated that bilateral activation of the sensorimotor cortex[32] and a bilateral redistribution of swallowing networks after stroke[33]. In fact, many structures associated with swallowing were located in subcortical regions, such as corticonuclear tracts, periventricular connections of cortical regions and extrapyramidal pathways[8]. Damage to subcortical

Table 5
Correlations between markers of inflammation and total SVD burden in single-RSSI patients

| Variables                  | SVD burden score |
|---------------------------|------------------|
|                           | r    | P value     |
| CRP†                      | 0.19 | 1.00×10^{−3} |
| Fibrinogen (g/l)          | 0.21 | 3.00×10^{−4} |
| Homocysteine (umol/l) ‡   | 0.08 | 0.22        |
| Lp-PlA2 (ug/l) ‡          | 0.11 | 0.30        |

† CRP refers to C-reactive protein.

‡ 44 patients with dysphagia and 215 controls took part in Homocysteine tests, while 17 patients with dysphagia and 68 controls attended Lp-PlA2 tests.

* The CRP and fibrinogen level were positively correlated with total SVD burden score (r = 0.19, p = 1.00×10^{−3}; r = 0.20, p = 3.00×10^{−4}, separately). No associations between homocysteine or Lp-PlA2 level and total SVD burden score were observed (r = 0.08, p = 0.22; r = 0.11, p = 0.30, separately).
lesions on one hemisphere might be completely compensated by the contralateral side. However, studies identified that almost one-quarter of patients with RSSI had dysphagia. We hypothesized that PSD may not only be disrupted by a single RSSI but also by concomitant cerebrovascular lesions. Widely distributed morphological changes from SVD may have a strong effect[34]. Studies investigating RSSI patients in the context of SVD were largely unknown[35].

SVD was a common condition that affected small cerebral arterioles, capillaries, and venules. This condition had long been implicated with clinical manifestations ranging from clinically silent to focal neurological dysfunction, such as stroke, and even to global neurological symptoms and dementia[36]. Features of SVD on MRI included RSSI, WMH, lacunes, ePVS, CMBs and atrophy[37]. Terminology for these lesions have highly varied between studies[38, 39]. Neuroimaging consensus standards for classification of SVD were first proposed by the US National Institute of Neurological Disorders and Stroke and the Canadian Stroke Network[40]. The STandards for ReportIng Vascular changes on nEuroimaging (STRIVE) consensus defined clear, rigorous, evidence-based, and easy-to-apply terminology for SVD, which provided a consistent approach to neuroimaging[41].

Our study identified demographic and clinical factors that were associated with PSD to identify those RSSI patients at an early stage with a greater risk of PSD. Previous research[30, 42, 43] had proposed various risk factors of PSD. Age, stroke severity and larger infarctions were consistently considered to be independent predictors for PSD[31, 44]. In addition to the brain stem, both cortical and subcortical regions played an important role in swallowing[3]. To date, there was no clear conclusion about the relationship between brain lesions locations and the occurrence of PSD. However, almost none of these studies particularly focused on dysphagia in patients with RSSI.

Dysphagia in subcortical stroke may be caused by damage to swallowing pathways, including corticonuclear tracts, extrapyramidal pathways and periventricular connections of cortical regions. Prior studies investigating larger subcortical strokes reported an impact of acute lesion locations on the occurrence and severity of PSD but rarely explored combined effects of acute and pre-existing cerebrovascular lesions on dysphagia[45]. A retrospective study revealed that PSD was closely linked to bilateral pyramidal tract damage by both acute RSSI and pre-existing contralateral cerebrovascular lesions (lacunes and severe WMH)[1]. Therefore, widely distributed morphological changes caused by SVD may additionally contribute to PSD especially for RSSI patients.

Little information was known about the pathogenesis of SVD and how this process resulted in neurological disease. However, the process had been attributed to proximal perforating arteriolar atheroma, lipohyalinosis, or fibrinoid necrosis[46], which were thought largely to result as a consequence of hypertension or vasospasm or recently to result from inflammation. Proximal perforating arteriolar atheroma was associated with a larger infarct of the basal ganglia and more likely to be progressive stroke[47]. Lipohyalinosis was thought to be accompanied with additional features of SVD, such as WMH and lacunes[48]. Only a few acute lacunar infarcts, especially basal ganglia lesions, were caused by emboli.
At present, there were no consistent conclusions about the relationship between systemic inflammation and SVD. Nevertheless, longitudinal investigations demonstrated that systemic inflammation, especially if the inflammation was sustained in the long term, promoted and predicted SVD progression[11]. The Atherosclerosis Risk in Communities study identified that a sustainable elevated level of CRP during midlife highly increased the risk of SVD after 20 years[49]. The existing literature revealed strong associations between SVD and markers of vascular inflammation rather than systemic inflammation in AIS patients, suggesting that the vascular inflammation/endothelial dysfunction and alterations to blood-brain barrier may be the driving force behind SVD[50]. A small number of patients with Lp-PIA2 data may account for the failure to demonstrate the association with dysphagia.

An important difference between our study and those of others was that we focused on RSSI patients and explored relationship between pre-existing SVD and PSD. In addition, the total MRI burden score of SVD we used provided a more complete overall view of the pre-existing SVD than the individual features separately. Several limitations needed to be further addressed. First, both WST and V-VST were assessed using bedside screening tests. Although V-VST had been shown to be a well-validated clinical instrument with high sensitivity and specificity, instrumental testing, such as videofluoroscopic or flexible endoscopic evaluation of swallowing, might have aided in detection with higher precision and yielded higher rates of PSD. Second, further analysis of the various factors influencing the associations between inflammation and SVD (e.g., gender, ethnicity, APOE genotype, duration of inflammation) should be further assessed.

5. Conclusions

In conclusion, this study showed that dysphagia occurred in approximately 20% of AIS patients with a single RSSI. Possible clinical risk factors of PSD were identified: older age, higher stroke severity (NIHSS), elevated levels of CRP and fibrinogen. Dysphagia in patients with a single RSSI resulted from severe small vascular disease, which was associated with systemic inflammation. This information might provide a new anti-inflammatory treatment for post-stroke dysphagia in the future.

Abbreviations

PSD, post-stroke dysphagia; AIS, acute ischemic stroke; NIHSS, National Institutes of Health Stroke Scale; WST, water-swallowing test; V-VST, volume-viscosity swallow test; MRI, magnetic resonance imaging; SVD, small vessel disease; RSSI, recent small subcortical infarct; CRP, C-reactive protein.

Declarations

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Authors’ contributions
L.L. Zhang and X. Tang conceived the research and wrote the main manuscript text. Y.D. Li and D.X Ding participated in the recruitment of the sample population. J.H Zhu, Y. Zhou and S.S Diao acquired the data, analyzed the results. Y. Kong and X.Y. Cai helped in interpreted the results and revised the article. Y. Yao and Q. Fang guided the process, interpreted the results and revised the article. All authors read and approved the manuscript. The author(s) read and approved the final manuscript.

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**Availability of data and materials**

The data that supported the findings of this study were available from the corresponding author upon reasonable request.

**Ethics approval and consent to participate**

The First Affiliated Hospital of Soochow University ethics committee approved the present study, with all relevant guidelines and regulations being observed. All patients and/or their legal guardians provided informed consent.

**Consent for publication**

Not applicable.

**Competing interests**

The authors declared that they had no conflict of interest.

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