Toward complete leading-order predictions for neutrinoless double $\beta$ decay

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The hadronic part of the light-Majorana-neutrino-exchange amplitude has the structure

$$\mathcal{A}_\nu \propto \int \frac{d^4k}{(2\pi)^4} \frac{g_{\mu \nu}}{k^2 + i\epsilon} \int d^4x e^{ik\cdot x} \langle pp|T\{j^{\mu}_{\nu}(x)j^{\nu\mu}_c(0)\}|nn\rangle$$

(1)

and is ultimately determined by the two-nucleon element of the time-ordered product $T\{j^{\mu}_{\nu}(x)j^{\nu\mu}_c(0)\}$ of two weak currents. Similar matrix elements arise in electromagnetic contributions to hadron masses or scattering processes, replacing the weak current by the electromagnetic current $j^{\mu}_{\nu}(x)$. In the case of hadron masses, the Cottingham formula [54, 55] relates the electromagnetic splitting to the contraction of the forward Compton scattering amplitude with a massless propagator, see Fig. 1.

Introduction.—Neutrinoless double $\beta$ decay is by far the most sensitive laboratory probe of lepton number violation (LNV). Its observation would prove that neutrinos are Majorana fermions, constrain neutrino mass parameters, and provide experimental validation for leptogenesis scenarios [1–4]. If $0\nu\beta\beta$ decay is caused by the exchange of light Majorana neutrinos, as we consider here, the amplitude is proportional to the “effective” neutrino mass $m_{\beta\beta} = \sum_i U_{ei}^2 m_i$, where the sum runs over light neutrino masses $m_i$ and $U_{ei}$ are elements of the neutrino-mixing matrix. $0\nu\beta\beta$ is a complicated process involving particle, nuclear, and atomic physics and the interpretation of experimental limits [5–10], and even more so of potential future discoveries, is hampered by substantial uncertainties in the calculation of hadronic and nuclear matrix elements [11–19].

Chiral effective field theory (EFT) [20–25] plays a key role in addressing these uncertainties. Nuclear structure, ab-initio calculations based on chiral-EFT interactions [26–28] have recently become available for some phenomenologically relevant nuclei [29–31] and the issue of $g_A$ quenching in single $\beta$ decays has been resolved as a combination of two-nucleon weak currents and strong correlations in the nucleus [32–34]. In addition, the few-nucleon amplitudes used as input in nuclear structure calculations have been scrutinized in chiral EFT for various sources of LNV [35–44]. In the context of light-Majorana-neutrino exchange, using naive dimensional counting, the leading contribution in the chiral-EFT expansion arises from a neutrino-exchange diagram, in which the LNV arises from insertion of the $\Delta L = 2$ effective neutrino mass $m_{\beta\beta}$ (see diagram (A) in Fig. 2). In analogy to the nucleon–nucleon (NN) potential itself [23–25] and external currents [45], this conclusion no longer holds when demanding manifest renormalizability of the amplitude, which requires the promotion of an $nn \rightarrow ppe^-e^-$ contact operator to leading order (LO) [40, 43] (see diagram (D) in Fig. 2), encoding the exchange of neutrinos with energy/momentum greater than the nuclear scale. The size of this contact operator is currently unknown, leading to an additional source of uncertainty in the interpretation of $0\nu\beta\beta$ decays besides the nuclear-structure ones. In this Letter we present a first estimate of the complete $nn \rightarrow ppe^-e^-$ amplitude including this contact-term contribution. For related progress toward a calculation of this amplitude based on lattice gauge theory, we refer to the recent literature [46–52] (see Ref. [53] for a large-$N_c$ analysis).
and Eq. (1). In this case, at least the elastic contribution to the mass (for which the hadronic intermediate state is the same as the external ones) follows unambiguously from the contraction of the scattering amplitude. Since this is precisely the same structure as required for the light-Majorana-neutrino-exchange contribution to the $0\nu\beta\beta$ decay $nn \rightarrow pp e^- e^-$, the novel idea of this Letter is to constrain the corresponding amplitude by generalizing the Cottingham approach to the two-nucleon system, and then determine the contact-term contribution by matching to chiral EFT.

In the application of the Cottingham approach to the pion and nucleon mass difference, the by far dominant contribution arises from elastic intermediate states: the pion-pole contribution gives more than 80% of the pion mass difference [56–60], and the nucleon pole provides the bulk of the electromagnetic part of the proton–neutron mass difference $m_{p-n}^{el} = 0.75(2)$ MeV. In this case, there is a tension between the estimate of the inelastic contributions in lattice QCD, $m_{p-n}^{inel} = 0.28(11)$ MeV [61–63], and from nucleon structure functions, $m_{p-n}^{inel} = −0.17(16)$ MeV [64–67], but in either case the elastic estimate is accurate at the 30% level.

The main complication in the generalization to $0\nu\beta\beta$ decay is due to the two-particle nature of initial and final states and the ensuing proliferation of kinematic variables and scalar functions in a Lorentz decomposition of the amplitude. Accordingly, we do not attempt a strict derivation of the elastic contribution via a dispersion relation, but include the most important intermediate states in close analogy to the results for the pion and nucleon Cottingham formula, as described in more detail below. To assess the validity and accuracy of the approach, we also consider the two-nucleon matrix element with two electromagnetic currents, which controls charge independence breaking (CIB) in the NN scattering lengths.

Matching procedure.—The integration over the neutrino momentum $k$ in Eq. (1) can be split into several regions. Given the nonrelativistic nature of the process, we always perform the $k^0$ integral via the residue theorem, and analyze the relevant momentum regions in terms of the space-like modulus $|k|$. We introduce a low-energy region $|k| < \Lambda_\chi$, a hard region $|k| > \Lambda$, and an intermediate region $\Lambda_\chi < |k| < \Lambda$, where $\Lambda$ denotes the scale at which an operator product expansion (OPE) becomes applicable and $\Lambda_\chi$ the breakdown scale of chiral EFT. The basic idea in generalizing the Cottingham approach to the NN system then amounts to interpolating between the low-energy EFT and the high-energy OPE constraints, by using information on the momentum dependence of the pion and nucleon form factors as well as the NN scattering amplitude. This strategy captures the analog of the dominant elastic contributions to the Cottingham formula for the pion and nucleon mass differences, but does introduce some model dependence in the intermediate region. To estimate the uncertainty it is thus critical to be able to validate the approach with data, as we will demonstrate below in terms of CIB in the NN scattering lengths. In practice, we express our result for the full amplitude as

$$A_{\nu}^{full} = \int_0^\infty d|k| \ a^{full}(|k|) = A^< + A^>,$$

where $A^<$ subsumes the low- and intermediate-momentum regions, $A^>$ denotes the short-distance contribution, and the two are separated by the scale $\Lambda$ where the OPE behavior is expected to set in. In the final step, we match the EFT description to the full amplitude

$$A_{\nu}^{EFT} = A^< + A^>,$$

which determines the contact-term contribution in the NIS scheme employed in the EFT calculation.

Pion mass difference.—To illustrate this matching procedure, we first reformulate the Cottingham formula for the pion mass difference in terms of our matching variable $|k|$ instead of the Wick-rotated four-momentum $k_E$ typically considered in the literature [56–60]. In this case, the matching proceeds in terms of the low-energy constant $Z$, which determines, at LO, the pion mass difference

$$Z = \frac{M_\pi^2 - M_\rho^2}{2e^2 F_\pi^2} = 0.81.$$

The elastic contribution to the Cottingham formula gives

$$Z^{el} = \frac{3i}{2F_\pi^2} \int \frac{d^4k}{(2\pi)^4} \frac{[F_\pi^V(k_E^2)]^2}{k^2 + i\epsilon} = \frac{3}{32\pi^2 F_\pi^2} \int_0^\infty dk_E^2 \frac{[F_\pi^V(-k_E^2)]^2}{k_E^2} = \frac{3M_\pi^2}{32\pi^2 F_\pi^2}.$$
where, for the pion vector form factor, the simple approximation $F_\pi^V(k^2) = M_V^2 / (M_V^2 - k^2)$ with $M_V = M_\rho$ has been inserted. In our matching procedure, the low-energy contribution is instead identified by introducing a cutoff in $|k|$, which leads to

$$Z^< = \frac{3}{16\pi^2 F_\pi^2} \int_0^\Lambda d|k| |k| (\omega_V - |k|)^2 (2\omega_V + |k|) \frac{1}{\omega_V},$$

(6)

with $\omega_V = \sqrt{M_V^2 + |k|^2}$. For $\Lambda \to \infty$ this expression agrees with Eq. (5). For our application, the $\rho$-pole approximation for $F_\pi^V$ is sufficient, but could be extended by introducing a dispersive representation [68], whose Cauchy kernel would be treated in analogy to the vector-meson propagator above, via the residues in the $k^\Lambda$ integration. Second, we find

$$Z^> = \frac{3\alpha_s(\mu) g_{LR}^V(\mu)}{16\pi} \int_\Lambda^\infty d|k| \left| \frac{1}{|k|^3} \right|,$$

(7)

with coefficient $g_{LR}^V = (4\pi F_\pi^2) g_{LR}^V$. $g_{LR}^V = 8.2$ at $\overline{\text{MS}}$ scale $\mu = 2 \text{ GeV}$ [69] (see Refs. [70, 71] for the OPE contribution in the nucleon case). At scale $\Lambda = 2 \text{ GeV}$ we find for the sum $Z = Z^< + Z^> = 0.60 + 0.03 = 0.63$, which for $\Lambda \to \infty$ approaches $Z = 0.67$. The deficit to Eq. (4) is understood in terms of inelastic contributions from axial-vector intermediate states [56–60], which provides another estimate of the error incurred by only considering elastic contributions.

**Contact term in 0νββ decay.**—The $nn \to ppe^- e^-$ amplitude in chiral EFT takes the form

$$A_\nu^{\text{EFT}} = A_A + A_B + A_C + A_D,$$

(8)

where the four terms correspond to the topologies in Fig. 2, and renormalization of the divergence in $A_C$ requires the LO contact term $A_D$. For the matching, only these latter two topologies become relevant. In particular, only the ultraviolet singular part of the $C$ topology—i.e., the one involving noninteracting two-nucleon propagators—enters the matching condition, which can be expressed in terms of dimensionless amplitudes as

$$\tilde{A}_C^{<, \text{sing}} + \tilde{A}_C^> = \tilde{A}_C^{\text{sing}}(\mu_\lambda) + 2 \tilde{C}_1(\mu_\lambda).$$

(9)

The left-hand side refers to the full amplitude, separated into momentum regions in analogy to $Z^\Sigma$ above, while the right-hand side gives the amplitude in chiral EFT including the contact term $\tilde{C}_1$ at $\overline{\text{MS}}$ scale $\mu_\lambda$ (we use here the notation of Ref. [43]). The explicit expressions are

$$\tilde{A}_C^{<, \text{sing}} = \int_0^\Lambda d|k| a_<(|k|), \quad \tilde{A}_C^> = \int_\Lambda^\infty d|k| a_>(|k|),$$

$$\tilde{A}_C^{\text{sing}}(\mu_\lambda) = -\frac{1 + 2g_A^2}{2} + \int_0^{\mu_\lambda} d|k| \ a_\chi(|k|),$$

(10)

with integrands

$$a_<(|k|) = -\frac{r(|k|)}{|k|} \theta(|k| - 2|p|)$$

$$\times \left[ [g_V(k^2)]^2 + 2[g_A(k^2)]^2 + \frac{k^2[g_M(k^2)]^2}{2m_N^3} \right]$$

$$a_>(|k|) = \frac{3\alpha_s(\mu)}{\pi} \tilde{g}_{NN}^V(\mu) \frac{F_\pi^2}{|k|^3},$$

$$a_\chi(|k|) = -(1 + 2g_A^2) \frac{1}{|k|} \theta(|k| - 2|p|),$$

(11)

where $g_{V,A,M}(k^2)$ refers to the appropriate nucleon form factors in analogy to $F_\pi^V(k^2)$ above, $\tilde{g}_{NN}^V(\mu)$ is the two-nucleon matrix element of the local operator controlling the short-distance behavior of $T \{ j_{\nu}^V(x) j_{\nu}^V(0) \}$, and $p$ denotes the momentum of the incoming $nn$ pair. In addition, compared to the pion mass example, there is a new source of momentum dependence originating from the NN scattering amplitude itself, parameterized here in terms of $r(|k|)$. At LO in chiral EFT $r^{\text{LO}}(|k|) = 1$, with corrections that, in pionless EFT, can be identified with the effective range $r_0$, $r^{\text{LO}}(|k|) = 1 - r_0|k|/\pi$. In practice, we have evaluated $r(|k|)$ using next-to-leading-order chiral EFT as well as the NN potentials from Refs. [72–74], see Ref. [75] for more details. For the nucleon form factors simple dipole parameterizations are sufficient, with the main uncertainty arising from the axial-vector scale, which we take as $\Lambda_A = 1.0(2) \text{ GeV}$.
to match the uncertainty for the axial radius quoted in Ref. [75] for the explicit expressions. Numerically, we obtain
\[
\tilde{C}_1 + \tilde{C}_2 (\mu_\chi = M_\pi) = 2.9(1.1)_{\text{inel}}(0.3)_{\text{r}}(0.3)_{\text{par}} = 2.9(1.2).
\] (13)

The assigned inelastic error corresponds to a relative error of about 50% in the singular NN electromagnetic amplitude at \(|p| \sim 25\) MeV, larger than the 30% in the weak amplitude. In addition to the new class of pion-exchange diagrams, this is motivated by the observation that now the parametric error becomes more sizable, almost exclusively due to the NN short-distance coupling \(g^{NN}_{LR}\) varied within \([-10, 10]\) and the scale \(\Lambda\) between 2 and 4 GeV. Indeed, if \(\Lambda\) were decreased to values as low as 1 GeV and thus into the energy region where the applicability of the OPE becomes questionable and inelastic effects important, a variation around 1.0 would be obtained. Numerically, the \(\pi\pi\) contribution dominates, yielding \((\tilde{C}_1 + \tilde{C}_2)(\mu_\chi = M_\pi)|_{\pi\pi} = 2.4\), while the effect from the most uncertain region, \((\tilde{C}_1 + \tilde{C}_2)(\mu_\chi = M_\pi)|_{|k| \in [0.4, 1.5]}\) GeV = 0.55, falls safely within our uncertainty estimate.

The result (13) already compares quite well to the phenomenological determination \((\tilde{C}_1 + \tilde{C}_2)(\mu_\chi = M_\pi) = 5.0\) from Ref. [43]. However, since the contact term is scale and scheme dependent, it is more appropriate to compare directly observables calculated based on Eq. (13). To this end, we first note that within LO chiral EFT the scattering lengths \(a_{nn}, a_{np}\), and \(a_{pp}^C\) (the latter defined in the modified effective range expansion to account for Coulomb effects [80–82]) can be mapped onto contact terms for each channel
\[
\tilde{C}_{np} = \tilde{C} + \frac{e^2}{3} (\tilde{C}_1 + \tilde{C}_2),
\]
\[
\tilde{C}_{nn/\pi p} = \tilde{C} - \frac{e^2}{6} (\tilde{C}_1 + \tilde{C}_2) \pm \frac{1}{2} \tilde{C}_{\text{CSB}},
\] (14)
where \(\tilde{C}\) denotes the isospin-symmetric combination, \(\tilde{C}_1 + \tilde{C}_2\) the CIB contribution, and \(\tilde{C}_{\text{CSB}}\) a charge-symmetry-breaking term [83]. To test our prediction for \(\tilde{C}_1 + \tilde{C}_2\), we can thus use two observables to determine \(\tilde{C}\) and \(\tilde{C}_{\text{CSB}}\).
and then predict the third based on Eq. (13). We choose
\[
ac_{\text{IB}} = \frac{a_{nn} + a_{pp}}{2} - a_{np} = 10.4(2) \text{ fm},
\]
which would isolate the CIB contribution if NN scattering were perturbative and Coulomb interactions absent, and we have used the empirical values \(a_{pp} = -7.817(4) \text{ fm} \) [84, 85], \(a_{np} = -23.74(2) \text{ fm} \) [86, 87], \(a_{nn} = -18.94(4) \text{ fm} \) [88]. From Eq. (13) we find \(ac_{\text{IB}} = 15.5^{+4.5}_{-4.0} \text{ fm} \), in good agreement with Eq. (15), given that additional uncertainties from higher chiral orders could be attached. We thus conclude that the comparison to CIB validates our approach at the level of (30–50)\%, and that our uncertainty estimates are realistic.

**Outlook.** Armed with our determination of \(\tilde{C}_1\) in the \(\mathcal{MS}\) scheme, it becomes possible to unambiguously determine the \(nn \to ppe^{-e}^{-}\) amplitude \(A_\nu\) at low energies to LO in chiral EFT. While dimensional regularization with minimal subtraction is a convenient scheme for our matching strategy, it is rarely used in nuclear calculations. Since amplitudes are observables and thus for our matching strategy, it is rarely used in nuclear calculations. Since amplitudes are observables and thus

\[
\begin{align*}
A_\nu([p], [p'])e^{-i(\delta_1 s_0(p) + \delta_1 s_0(p'))} = -0.0195(5) \text{ MeV}^{-2},
\end{align*}
\]
where \(|p| = 25 \text{ MeV} \) \(|p'| = 30 \text{ MeV} \) is the neutron (proton) momentum in the center-of-mass frame.

Recent years have seen great progress in \textit{ab-initio} calculations of \(0\nu \beta \beta\) decay rates of light nuclei [29–31, 43, 91], ranging from \(^4\text{He}\) to the experimentally relevant \(^{48}\text{Ca}\) and \(^{76}\text{Ge}\), in each case starting from microscopic chiral nuclear forces. However, these decay rates only include the long-distance neutrino-exchange contributions and omit the \(\tilde{C}_1\) term. Once \(\tilde{C}_1\) is obtained by fitting to Eq. (16), this omission can now be remedied and, for the first time, complete LO calculations can be performed of nuclear \(0\nu \beta \beta\) decay rates. For even heavier nuclei such as \(^{136}\text{Xe}\), which are still beyond the reach of \textit{ab-initio} techniques, the impact of the contact term should be studied indirectly, e.g., by comparing results for nuclei accessible to both \textit{ab-initio} methods and the respective nuclear model (see Ref. [92] for the same strategy in the context of the axial-vector current).

For \(A_\nu\) at the kinematic point chosen in Eq. (16) and in the \(\mathcal{MS}\) scheme at \(\mu_\chi = 4 M_Z\), we find that the contact-term contribution adds destructively to the neutrino exchange at the 15\% level, but we stress that this is a scale- and scheme-dependent statement, with similar scales in cutoff schemes indicating different, in some cases even constructive, effects [75]. Moreover, as discussed in Refs. [40, 43], while a contact term of natural size affects \(\Delta I = 0\) transitions such as \(nn \to ppe^{-}e^{-}\) at the (10–20)\% level, its effect is amplified to the level of (50–70)\% in \(\Delta I = 2\) nuclear transitions due to a node in the matrix element density. Based on our result for \(A_\nu\), its effect can now be addressed in calculations of realistic \(0\nu \beta \beta\) nuclear transitions, greatly reducing a crucial uncertainty in the interpretation of future searches for \(0\nu \beta \beta\) decay [93–99].

We thank Jon Engel, Evgeny Epelbaum, Michael Grässer, and Bira van Kolck for discussions. The work of VC and EM is supported by the US Department of Energy through the Los Alamos National Laboratory. Los Alamos National Laboratory is operated by Triad National Security, LLC, for the National Nuclear Security Administration of U.S. Department of Energy (Contract No. 89233218CNA000001). WD is supported by U.S. Department of Energy Office of Science, under contract DE-SC0009919. MH is supported by an Eccellenza Grant (Project No. PCEFP2_181117) of the Swiss National Science Foundation. JdV is supported by the RHIC Physics Fellow Program of the RIKEN BNL Research Center.

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1. The amplitude \(A_\nu\) is related to the \(S\)-matrix element for the process \(n(p) n(-p) \to p(p') p(-p') e^+(p_e) e^-(p_{e'})\) by \(S_{e} = i(2\pi)^4 \delta^{(4)}(p_f - p_l) (4m_\nu^2 V_{u\nu}^2 m_{\beta\beta} u_l(p_l) u_l^\dagger(-p_e)) A_\nu\). Various choices of \(|p|\) and \(|p'|\) are possible, see Ref. [75] for more details.
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