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**SARS-CoV-2 antibodies in the Southern Region of New Zealand, 2020**

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**INTRODUCTION**

The novel virus Severe Acute Respiratory Syndrome Coronavirus 2 (SARS-CoV-2) and the disease it causes, COVID-19, were first detected in Wuhan, China in December 2019.1 The World Health Organization (WHO) declared COVID-19 a public health emergency of international concern on 30 January 2020, and a pandemic on 11 March 2020.2 As of 8 February 2021, there have been over 106 million confirmed COVID-19 cases worldwide, with over 2.3 million deaths.3 During New Zealand’s (NZ) first outbreak of COVID-19 (28 February to 22 May 2020) a total of 1154 polymerase chain reaction (PCR)-confirmed and 350 ‘probable’ (symptomatic household contacts of PCR-positive cases who had negative PCR testing) cases were identified with 22 COVID-19 related deaths.4

NZ initially responded to the pandemic in early February 2020 by stopping foreign nationals from affected countries entering NZ and enforcing self-isolation for NZ citizens and permanent residents travelling from these countries.5 On 21 March 2020, a four-tier alert system was introduced; the country started in Level 2, and quickly moved to the highest alert Level 4 restrictions on 26 March.6 Alert Level 4 is a national lockdown with a strict stay at home order for all but essential workers.6 NZ remained at Alert Level 4 for approximately 5 weeks before a stepped de-escalation to Alert Level 1 on 8 June 2020.7

This serological study is focused on the Southern District Health Board (SDHB) region in NZ which had the largest number of cases per capita during the first outbreak of COVID-19 (216 total cases; ~66/100,000 population), significantly higher than the national average (~30/100,000).8 This region also includes the tourism hub of Queenstown, where community transmission took place. PCR testing for SARS-CoV-2 was initially restricted during the first outbreak in NZ due to a narrow case definition and limited access to diagnostic reagents.

Reverse transcription-PCR (RT-PCR, henceforth referred to as PCR) from a nasopharyngeal and/or oropharyngeal swab...
or lower respiratory tract sample is the gold standard method for detecting acute SARS-CoV-2 infection whereas serological tests can provide information on past infection, including where patients have been symptomatic for some time and are PCR negative. SARS-CoV-2 has four structural proteins: Spike (S), Membrane (M), Envelope (E), and Nucleocapsid (N), with the majority of the serological assays developed to detect antibodies against the S and/or N protein. Differing degrees of protein sequence conservation between the N and S proteins [including S1 and receptor binding domains (RBD)], and proteins from other coronavirus species, together with differences in the magnitude and kinetics of the antibody response to these antigens may impact assay performance. Several serological assays are now commercially available, including for use on high-throughput, random access analysers such as the Abbott Architect.

The aims of this study were threefold. Firstly, to investigate the sensitivity and specificity of the Abbott Architect SARS-CoV-2 IgG assay based on the N-protein, together with a series of plate-based assays that utilise the S protein and/or S protein domains, including a surrogate viral neutralisation assay. Secondly, to determine the frequency of SARS-CoV-2 IgG antibodies among higher risk individuals in the Southern Region of NZ to determine whether cases were missed during the outbreak due to limited PCR testing and/or asymptomatic infection. The third aim was to use serological testing to assess the likelihood of infection among those diagnosed as ‘probable’ cases.

**MATERIALS AND METHODS**

**Study protocol**

This study was performed at Southern Community Laboratories, Dunedin, NZ, in conjunction with the Southern District Health Board (SDHB), WellSouth (the local primary healthcare organisation), University of Otago, University of Auckland, and the Institute of Environmental Science and Research (ESR). Ethical approval for this project was obtained from the NZ Health and Disability Ethics (HDEC) Committee (20/NTB/101).

**Patient cohorts**

In total 1214 individuals gave informed consent and participated in the study, with bloods collected between 4 June and 4 August 2020, 4–10 weeks after active transmission in the community in the Southern Region ceased. Of these, 78 were PCR-confirmed cases, nine were probable cases, and 1127 individuals were in the higher risk group.

**Case definitions**

Confirmed and probable cases were classified according to the NZ Ministry of Health (MoH) guidelines. Confirmed cases were positive by PCR; probable cases were PCR negative, a household contact of a confirmed case, and had a clinically compatible illness with other causes excluded. COVID-19 consistent symptoms were defined by the MoH as any acute respiratory infection with at least one of the following symptoms (with or without fever): new or worsening cough, sore throat, shortness of breath, coryza, anosmia. The higher risk group was considered to be of higher risk than the general population of having undiagnosed COVID-19 infection, either by contact with or lower respiratory tract sample is the gold standard method for detecting acute SARS-CoV-2 infection whereas serological tests can provide information on past infection, including where patients have been symptomatic for some time and are PCR negative. SARS-CoV-2 has four structural proteins: Spike (S), Membrane (M), Envelope (E), and Nucleocapsid (N), with the majority of the serological assays developed to detect antibodies against the S and/or N protein. Differing degrees of protein sequence conservation between the N and S proteins [including S1 and receptor binding domains (RBD)], and proteins from other coronavirus species, together with differences in the magnitude and kinetics of the antibody response to these antigens may impact assay performance. Several serological assays are now commercially available, including for use on high-throughput, random access analysers such as the Abbott Architect.

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**PCRs**

Participants who had PCR testing were tested using one of four assays that were in use during the first outbreak, all performed at SCL Dunedin: (1) an in-house real time RT-PCR assay targeting the E-gene based on the Drosten assay and implemented on the open access channel of the Hologic Panther Fusion (Hologic, USA); (2) a multiplex tandem real-time RT-PCR SARS-CoV-2, Influenza, and RSV (8-well) assay (AusDiagnostics, Australia); (3) TaqPath COVID-19 Combo assay (ThermoFisher Scientific, USA), a multiplex real-time RT-PCR; and (4) the Aptima SARS-CoV-2 Assay (Hologic), a transcription mediated amplification assay.

**Serological assays**

A summary of the assays utilised is shown in Table 2. The primary assay was the Abbott Architect SARS-CoV-2 IgG chemiluminescent microparticle immunoassay (CMIA; Abbott, USA), which uses recombinant N protein coated microparticles. Samples were analysed on the Abbott Architect i2000SR Immunoassay Analyzer following manufacturer’s instructions. Test performance for the Abbott assay was assessed using the manufacturer’s cut-off for positivity (≥1.4 S/C).

The in house two-step ELISA was adapted from published protocols as described. In step one, serum diluted 1:100 was screened against RBD, with IgG binding detected with a peroxidase-labelled anti-human IgG secondary. Samples with an optical density (OD, 450 nm) above the cut-off (>0.2) were titrated in a 3-fold dilution series against the S protein in step two and considered positive if they had an OD>0.2 in at least two consecutive wells in the confirmatory S protein ELISA; the result was reported as the highest titre above the cut-off (>0.2).

The Wantai SARS-CoV-2 ELISA (Beijing Wantai Biological Pharmacy Enterprise, China) measures total antibody (IgA, IgG and IgM) against the RBD of the S protein. The Euromimm SARS-CoV-2 IgG ELISA (Euroimmun Medizinische Labordiagnostika, Germany) measures IgG antibodies against the spike S1 subunit. The Wantai and the Euromimm ELISAs were performed according to the manufacturer’s instructions.

The cPass surrogate viral neutralisation test (sVNT) (GenScript, New Jersey, USA) measures the presence of neutralising antibodies that are capable of blocking the interaction between RBD and HACE2 and was performed according to the manufacturer’s instructions. Samples with a percentage inhibition ≥20% were defined as having neutralising antibodies.

To assess cross-reactivity of the antenatal sera (n=300) with other human coronaviruses (HCoVs), ELISA were performed using S1 antigens from the HKU1, NL63, and SARS-CoV-2 (Sino Biological, China) at a 1:300 sera dilution as described.

**Testing protocol**

The 300 specificity samples and the serum from PCR-confirmed and probable cases were tested on all five assays. All sera from the highest risk group (n=1127) were tested on the Abbott assay. Samples from the higher risk group that classified as positive (≥1.4 S/C), or as negative but ≥0.5 S/C [i.e., 0.5–1.39 S/C, defined as the ‘grey-zone’ on the basis of a receiver operating characteristic (ROC) curve] were tested on all assays (n=25).
C could achieve much greater sensitivity (93.6%) without a
and prompted a ROC analysis that showed a cut-off of 0.55 S/
assays.

positive on two of the other assays, one was positive on one
positive on three or more of the other assays, four were
(Supplementary Table 2, Appendix A). The raw values for
PCR-con
Wantai assay (Fig. 1, Table 3). Eighteen of the 78 (23.1%)
the Abbott assay, to 94.9% (95% CI 87.4
–
99.3% [95% con-

Statistical analysis
Statistical analysis, including ROC curve, was performed using Prism 8
(GraphPad, USA) or R (version 3.6.3) within R Studio (version 1.2.5033); a p
value of ≤0.05 was considered statistically significant. To assess sensitivity,
serological assays were compared against PCR diagnosed COVID-19.
Equivocal results were considered negative for the statistical analyses. For
the higher risk group, true sero-positivity was defined as positivity in two or
more of the five assays. False positivity was defined as positivity in only one
of the five assays.

RESULTS
Participant characteristics
As shown in Table 1, the median age of the mostly female
(75%) participants was 46 years (range 4–90 years). Of the
1127 higher risk participants, 37% had had a PCR test (all
negative), 62% self-identified as frontline healthcare workers
in the SDHB region, and 41% retrospectively reported one or
more symptoms consistent with COVID-19 in the two weeks
leading up to and during the February–May 2020 COVID-19
outbreak. For the PCR-confirmed and probable cases, the
median time of symptom onset to serology specimen
collection was 14 weeks (range 11–17 weeks).

Assay performance
The overall performance of the assays is summarised in
Table 3. Specificity was high across all assays ranging from
99.3% [95% confidence interval (CI) 97.6–99.9%] to 100%
(95% CI 98.8–100.0%) (Supplementary Tables 1–4,
Appendix A). The antenatal sera used to determine specificity
showed broad reactivity with S1 protein antigens from HCoV
(HKU1 and NL63), but not SARS-CoV-2 (Supplementary
Fig. 1, Appendix A).

Sensitivity ranged from 76.9% (95% CI 66.0–85.7%) for
the Abbott assay, to 94.9% (95% CI 87.4–98.6%) for the
Wantaia assay (Fig. 1, Table 3). Eighteen of the 78 (23.1%)
PCR-confirmed cases tested negative on the Abbott
(Supplementary Table 2, Appendix A). The raw values for
these ranged from 0.14–1.39 S/C. Eleven of these were
positive on three or more of the other assays, four were
positive on two of the other assays, one was positive on one
of the other assays, and two were negative on all the other
assays.

The sensitivity of the Abbott assay was unexpectedly low
and prompted a ROC analysis that showed a cut-off of 0.55 S/
C could achieve much greater sensitivity (93.6%) without a
significant loss in specificity (98.7%) (Supplementary Fig. 2,
Appendix A). Therefore, a grey-zone approach was utilised
for analysis of the higher risk group to rule out potential false
negatives. Any samples that fell between 0.5–1.39 S/C were
measured on the other four assays.

Neutralising anti-SARS-CoV-2 antibodies
The sVNT assay was used to assess the presence of
neutralising antibodies (NAbs). For the PCR-confirmed
group, 88.5% (69/78) had detectable NAbs (Supplementary
Table 2, Appendix A), illustrating the majority of in-
group, 88.5% (69/78) had detectable NAbs (Supplementary
(with travel history and symptoms) and considered sero-
tive. One individual was positive on all four other assays
negative on all four other assays and classi-
ed as seronega-
tive on all four other assays. There were 14 Abbott results that
were therefore considered false positives as they were nega-
indicating true sero-positivity. Three Abbott positive results
were also positive on one or more of the other four assays,
indicating true sero-positivity. Three Abbott positive results
were therefore considered false positives as they were nega-
tive on all four other assays. There were 14 Abbott results that
fell in the grey-zone (0.5–1.39 S/C). Thirteen (93%) were
negative on all four other assays and classified as seronega-
tive. One individual was positive on all four other assays
(with travel history and symptoms) and considered sero-
positive (Supplementary Fig. 3, Appendix A).

Thus, in total we detected nine additional possible COVID-
19 infections; one was a PCR-confirmed case diagnosed
outside of the Southern Region; six had consistent travel
history (Western Europe/UK) and symptoms; and two were
close contacts of PCR-confirmed cases reporting consistent
symptoms.

Antibody detection among higher risk individuals
Eleven individuals of the higher risk group (0.98%) had
positive results on the Abbott assay (Fig. 1). Eight of these
were also positive on one or more of the other four assays,
indicating true sero-positivity. Three Abbott positive results
were therefore considered false positives as they were nega-
tive on all four other assays.

Estimation of actual prevalence in the higher risk group
We detected 9/1127 (0.8%) sero-positive individuals in the
higher risk group but to estimate the true number of cases
of infection that may have been missed, we conducted the
following statistical analyses to assess the reliability of this
estimate. The Rogan–Gladen estimator allowed us to es-
timate the prevalence in the higher-risk group, taking into
account the uncertainties in the sensitivity and specificity of
the test. Using the Abbott assay with a threshold of 1.4 S/C,
the estimated prevalence in the higher-risk group is 0.8%
We applied the secondary tests to the 11 samples we carried out a Bayesian statistical analysis for prevalence of 0.5 S/C, the estimated prevalence is 2.0% (95% CI 0.4–1.5%). Using the Abbott assay with a threshold of 0.5 S/C, the estimated prevalence is 2.0% (95% CI 0.8–3.2%). To incorporate the effect of secondary orthogonal testing (testing with a second assay, using a different target), we carried out a Bayesian statistical analysis for prevalence estimation.17 Applying the secondary tests to the 11 samples that tested positive on the Abbott assay with a threshold of 1.4 S/C, the estimated prevalence in the higher-risk group is 0.9% (95% credible interval 0.4–1.7%). Applying the secondary tests to the 25 samples that tested positive on the Abbott assay with a threshold of 0.5 S/C, the estimated actual prevalence is 0.8% (95% credible interval 0.4–1.5%).

### Antibody detection among probable cases

Of the nine probable cases, one was positive on four of the five assays while another was positive on three of the five assays, suggesting likely infection (Fig. 1; Supplementary Table 4, Appendix A). The remaining seven were negative by all five assays, and the Abbott assay raw values of these ranged from 0.01–0.04 S/C, suggesting that these were unlikely to have had COVID-19 infection.

### DISCUSSION

Serological testing can be useful as an epidemiological tool to estimate the overall prevalence of infection in the community, a public health tool during an outbreak to identify recent infections and inform contact tracing procedures, and to assist in clinical diagnosis.18 It is also possible, once the correlates of protection against reinfection are defined, that serological testing based on the S protein could be used to confirm evidence of past infection or vaccination.

Using a cohort of PCR-confirmed cases to assess sensitivity, we found suboptimal performance of the Abbott assay at 11–17 weeks post-infection with a sensitivity of 76.9%, somewhat lower than the previously published data19–22 and manufacturer’s claim (100% after 14 days). Several factors likely contributed to this. Firstly, most of the cases in the SDHB region were not hospitalised, and there is some evidence that antibody levels correlate with disease severity.23 Secondly, a median of 14 weeks (range 11–17 weeks) had lapsed between symptom onset and serum collection, and N protein antibodies have been reported to decline relatively quickly post-infection.24–27 In contrast, the sensitivity of the plate-based assays based on the S protein antigens was higher (89.7–94.9%), in keeping with the notion that antibodies against the S protein persist for a longer duration than those to the N protein.28 Beyond antibody kinetics, it is also possible that the Abbott chemiluminescent immunoassay technology contributed to the reduced sensitivity observed, as studies comparing the Elecsys Anti-SARS-CoV-2 assay (Roche Diagnostics, Germany) with two commercially available S protein antibody assays, demonstrated N protein antibodies persisting as long as S protein antibodies out to 83 days post-symptom onset.29

Two of the PCR-confirmed cases were negative on all five serological assays. A small proportion of PCR-positive patients will be persistently negative by serology.30 In our hands, the Abbott assay specificity was 99.7% (95% CI 98.2–99.99%), comparable to the manufacturer’s claim (99.6%). However, given the very low prevalence of COVID-19 infection in NZ, the positive predictive value will be relatively low. Thus, we suggest an orthogonal testing algorithm as a supplemental assay before reporting results as true positives.

S protein is the main target for SARS-CoV-2 NAbs, which are antibodies that typically block entry of the virus into cells.24 In this study NAbs were measured using a sVNT15 with 88.5% of the PCR-confirmed cases having detectable NAbs 11–17 weeks post-infection, with lower NAbs among those with mild symptoms. A decline in NAb levels has been noted in some recent reports,23 but further studies are needed to fully understand these immunokinetics and the implications this may have for protection against reinfection and vaccines based on the S protein and RBD.

This study of over 1000 individuals who self-identified as being higher risk than the overall population for COVID-19 in our region, identified a further nine infections. Of these,
all had epidemiological risks including travel to Europe during their outbreak, and/or being a close contact of a known case. Only two of these individuals had PCR testing performed; the remaining seven did not as they were symptomatic overseas or did not meet the original case definition. Undiagnosed infection was not detected among front line healthcare workers, tourism workers, and casual contacts of known cases. It is possible that cases of infection may have been missed as the Abbott assay, which was used as our initial screening assay, demonstrated sub-optimal sensitivity. However, the grey-zone approach utilised, based on a ROC analysis, improved the sensitivity of the assay to 94.9%. Given the imperfect sensitivity, and unknown prevalence among the tested population in our region, it is difficult to estimate the true number of cases of infection that may have been missed. By applying Rogan–Gladen and Bayesian estimations for actual prevalence in the higher risk group we were able to obtain more precise estimates of actual prevalence and found that the estimates do not appear to depend strongly on the threshold used in primary test, as evidenced by the concordance of the obtained estimates.

The epidemiology of COVID-19 in NZ in early 2020 is relatively unique: NZ is an island nation with low population density by world standards; the pandemic was well signalled overseas allowing border measures to be put in place; the pandemic coincided with the Southern Hemisphere autumn (fall); our hospitals were not overwhelmed with cases; and our setting has a well-coordinated network of microbiology laboratories and Public Health units. Therefore, it is plausible that the majority of cases were identified by targeting PCR

### Table 3  Sensitivity and specificity of the investigated SARS-CoV-2 assays

| Assay                                      | SARS-CoV-2 antigen | Sensitivity (%)   | Specificity (%)   |
|--------------------------------------------|--------------------|------------------|------------------|
| Abbott Architect SARS-CoV-2 IgG (using manufacturer cut-off of ≥1.40) | N protein         | 76.9 (60/78)     | 99.7 (299/300)   |
|                                            |                    | (95% CI 66.0–85.7) | (95% CI 98.2–99.99) |
| Abbott Architect SARS-CoV-2 IgG (using revised cut-off of ≥0.50) | N protein         | 94.9 (74/78)     | 98.3 (295/300)   |
|                                            |                    | (95% CI 87.4–98.6) | (95% CI 96.2–99.5) |
| In house SARS-CoV-2 two-stage IgG ELISA    | RBD/S protein      | 91.0 (71/78)     | 100 (300/300)    |
|                                            |                    | (95% CI 82.4–96.3) | (95% CI 98.8–100.0) |
| Wantai SARS-CoV-2 total antibody ELISA     | RBD/S protein      | 94.9 (74/78)     | 99.3% (298/300)  |
|                                            |                    | (95% CI 87.4–98.6) | (95% CI 97.6–99.9) |
| Euroimmun Anti-SARS-CoV-2 ELISA (IgG)      | S protein          | 89.7 (70/78)     | 100 (300/300)    |
|                                            |                    | (95% CI 80.8–95.5) | (95% CI 98.8–100.0) |
| cPass sVNT                                  | Neutalising antibodies | 88.5% (69/78)  | 100% (300/300)   |
|                                            |                    | (95% CI 79.2–94.6) | (95% CI 98.8–100.0) |

CI, confidence interval; N, Nucleocapsid; RBD, receptor binding domain; S, Spike; sVNT: surrogate virus neutralisation test.

*a Equivocal results considered negative.

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Fig. 1  Antibody levels for the examined assays for the samples tested on all five assays [all PCR-confirmed cases, all probable cases, and higher risk samples in the ‘grey-zone’ (0.5–1.39 S/C) or positive (≥1.4 S/C) results on the Abbott assay] (n=112). Dashed horizontal lines show assay specific cut-off.
testing on the basis of symptoms and epidemiological risks, and were comprehensively isolated by Public Health intervention, limiting community spread.

An unexpected finding was that seven of the nine individuals diagnosed with ‘probable’ infection, and included in NZ’s official tally, were sero-negative despite being tested on all five assays. While acknowledging the delay (approximately 3 months) in serum collection and the possible impact on sensitivity, it is likely that at least some of these individuals did not have infection. This highlights the role of serology in the diagnostic algorithm where PCR is negative despite symptoms and epidemiological risks, and further testing of NZ’s remaining 341 probable cases may be warranted.

We note the high female predominance in this study (75%). We hypothesise this is due to the high proportion of frontline healthcare workers (62%), which is a female dominated occupation, and it is possible females are more willing to participate in such studies.

Our study has some limitations. Firstly, the antenatal sera used to determine specificity is not representative of the general population. Secondly, the delay in specimen collection after the outbreak likely had an impact on the Abbott assay sensitivity. We cannot be certain that undiagnosed cases were not missed using the Abbott assay as our screening test. However, every effort was made to mitigate against this by lowering the cut-off for the initial Abbott screening assay. Lastly, it is important to note that this is not a sero-prevalence study. Participants who self-identified as higher risk were actively recruited, therefore the sero-positivity rate calculated in this group in the SDHB region cannot be extrapolated to the general population.

CONCLUSION

In conclusion, our study shows that the COVID-19 outbreak in the SDHB region in early 2020, despite the testing restrictions early on in the outbreak, was largely confined to the PCR-confirmed cases and those identified as at higher risk due to recent travel and/or close contact with a known case. We found little evidence of undetected infection among the individuals in the SDHB region who were considered to be at higher risk than the average resident, due to contact with a PCR-confirmed case, or because of workplace duties, or because they were Queenstown residents. The N protein-based Abbott assay demonstrated the lowest sensitivity of the assays investigated, likely impacted by the delay in serum collection, which appears to affect N protein antibodies over S protein antibodies. Whilst this may lead to missed cases, the utility of a high throughput system for large scale testing does, to a degree, offset this significant limitation, especially when combined with secondary S protein assays of higher sensitivity. When designing a SARS-CoV-2 serological assay algorithm, the purpose of testing is a major consideration, with different assay combinations suitable for high-throughput sero-prevalence purposes versus individual level clinical diagnostics.

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APPENDIX A. SUPPLEMENTARY DATA

Supplementary data to this article can be found online at https://doi.org/10.1016/j.pathol.2021.04.001.

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