Successful Treatment of Advanced Thymic Carcinoma with Carboplatin plus nab-Paclitaxel and Maintenance Monotherapy with nab-Paclitaxel: Two Case Reports

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Keywords
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Abstract
A standard chemotherapy regimen for advanced thymic carcinoma has not yet been established. We treated 2 cases of thymic carcinoma with carboplatin plus nanoparticle albumin-bound \textit{(nab)}-paclitaxel, and \textit{nab}-paclitaxel maintenance therapy. The first case was a 68-year-old female, admitted for dyspnea and left shoulder pain. Chest computed tomography (CT) showed a huge mass in the anterior mediastinum, pleural and pericardial effusions, and multiple lung metastases. Specimens obtained from the anterior mediastinal mass by CT-guided needle biopsy revealed squamous cell carcinoma of the thymus, which was in stage IVB. The patient was administered carboplatin plus \textit{nab}-paclitaxel as first-line treatment. After 3 cycles of chemotherapy, a partial response was observed with marked shrinkage of the tumor. Following 6 cycles of chemotherapy, \textit{nab}-paclitaxel maintenance therapy was initiated. Disease progression was seen 9.1 months after initiation of treatment. The patient experienced no serious adverse events. The second case was a 70-year-old male who had productive cough, dyspnea, and right-sided chest pain. Chest CT revealed a huge mass in the anterior mediastinum, pericardial effusion, and multiple lymphadenopathies. Specimens obtained from station 11s by endobronchial ultrasound-guided transbronchial needle aspiration revealed undifferentiated thymic carcinoma, which was in stage IVB. Six cycles of carboplatin plus \textit{nab}-paclitaxel were administered, followed by 5 cycles of \textit{nab}-paclitaxel for maintenance. A partial response was seen, which was sustained for more than 13 months. The patient experienced no serious adverse events. These cases show that chemotherapy with carboplatin plus \textit{nab}-paclitaxel and \textit{nab}-paclitaxel as maintenance therapy can be a useful regimen for advanced thymic carcinoma.
Introduction

Thymic carcinoma is a rare malignant tumor that arises from the thymic epithelium in the anterior mediastinum, and has been reported to account for 12–14% of all thymic epithelial tumors, with a poor outcome due to its aggressive nature [1, 2]. Systemic chemotherapy is often administered in advanced cases. A standard chemotherapy regimen for thymic carcinoma has not yet been established due to its rarity, although a combination chemotherapy with carboplatin plus solvent-based paclitaxel has been reported to be effective for advanced thymic carcinoma [3–7]. However, little is known about the efficacy and safety of carboplatin plus nanoparticle albumin-bound (nab)-paclitaxel for advanced thymic carcinoma.

We report 2 cases of advanced thymic carcinoma successfully treated with carboplatin plus nab-paclitaxel and maintenance therapy with nab-paclitaxel.

Case Presentation

Case 1

A 68-year-old female with no history of smoking was admitted to our hospital in January 2019 for dyspnea, left shoulder pain, and a widened mediastinum visible on chest X-ray. Chest computed tomography (CT) showed a huge mass in the anterior mediastinum, right hilar lymphadenopathy, massive left-sided thoracic effusion, pericardial effusion, and multiple lung nodules (Fig. 1a). Positron emission tomography revealed marked 18F-fluorodeoxyglucose accumulation in the anterior mediastinal mass, right hilum, left pleura, pericardium, bilateral lung nodules, and sternum. The specimens obtained from the anterior mediastinal mass by percutaneous CT-guided needle biopsy revealed squamous cell carcinoma of the thymus.

Based on these findings, the patient was diagnosed with stage IVB (cT3N3M1b) thymic carcinoma according to the Masaoka classification [8]. The level of serum CYFRA 21-1 was slightly elevated (4.6 ng/mL; normal range ≤3.5). After drainage of the malignant effusion in the left pleura and pleurodesis, the patient had an Eastern Cooperative Oncology Group performance status (ECOG PS) of 2. The patient received chemotherapy with carboplatin plus nab-paclitaxel as the first-line treatment, based on a previous study on non-small cell lung cancer (NSCLC) [9]. Carboplatin was administered on day 1 at a dose of targeted area under

![Fig. 1. Chest computed tomography scans at the time of admission showed a huge mass in the anterior mediastinum and left pleural effusion (a). A partial response was observed, with marked shrinkage of the anterior mediastinal tumor and disappearance of effusions after 3 cycles of combination chemotherapy (b).](image-url)
the concentration-time curve of 6 and nab-paclitaxel at a dose of 100 mg/m² on days 1, 8, and 15; this combination was repeated every 4 weeks. After 3 cycles of combination chemotherapy, a partial response was observed with marked shrinkage of the anterior mediastinal tumor (c) and lymphadenopathy (c, d).

At this point, the ECOG PS of the patient had improved to 0. The serum level of CYFRA 21-1 had returned to normal limits. Following 6 cycles of combination chemotherapy, maintenance therapy with nab-paclitaxel at a dose of 100 mg/m² on days 1, 8, and 15 every 4 weeks was initiated, in accordance with the schedule of combination chemotherapy. Due to grade 3 neutropenia during the 4th, 5th, and 6th cycle of combination treatment, a total of 4 doses of nab-paclitaxel on day 8 or 15 were omitted. Neither a dose delay nor a reduction was required. During maintenance therapy, no modifications including dose interruption, dose delay, and dose reduction were required. The patient experienced no serious adverse events. Disease progression was observed 9.1 months after initiation of the first-line treatment. The patient is still alive and receiving S-1 as the third-line therapy, after failure of pemetrexed as second-line treatment.

**Case 2**

A 70-year-old male who smoked 64.5 packs per year was referred to our hospital with the chief complaints of productive cough, dyspnea, and right-sided chest pain in June 2019.
Chest X-ray and CT revealed a huge mass in the anterior mediastinum, pericardial effusion, and lymphadenopathy of the right supraclavicular, right hilar, and bilateral mediastinal nodes (Fig. 2a, b). Positron emission tomography revealed marked accumulation of 18F-fluorodeoxyglucose in all these lesions. The tumor specimens obtained from mediastinal lymph node station 11s by endobronchial ultrasound-guided transbronchial needle aspiration revealed undifferentiated carcinoma of the thymus.

Based on these findings, the patient was diagnosed with stage IVB (cT4N2M1b) thymic carcinoma according to the Masaoka classification. The levels of serum squamous cell carcinoma antigen and neuron-specific enolase were elevated at 10.5 ng/mL (normal ≤1.5) and 71.4 ng/mL (normal ≤16.3), respectively. At the time of diagnosis, the patient had an ECOG PS of 2. The patient received combination chemotherapy comprising carboplatin plus nab-paclitaxel as first-line treatment. Carboplatin was administered on day 1 at a dose of targeted area under the concentration-time curve of 6 and nab-paclitaxel at a dose of 100 mg/m² on days 1 and 8, and this combination was repeated every 4 weeks. After 4 cycles of combination chemotherapy, a partial response was observed with marked shrinkage of the anterior mediastinal tumor and lymphadenopathy of the right hilar and bilateral mediastinal nodes (Fig. 2c, d).

At this point, the ECOG PS of the patient had improved to 0. The serum levels of squamous cell carcinoma antigen and neuron-specific enolase had returned to normal limits. Due to grade 3 neutropenia or grade 3 thrombocytopenia during the 6 cycles of combination chemotherapy, a total of 7 doses of nab-paclitaxel on day 8 or 15 were omitted. In addition, 1- to 2-week dose delays per cycle were required during the combination chemotherapy. A dose reduction was not required. Therefore, after a total of 6 cycles of combination chemotherapy, maintenance therapy with nab-paclitaxel at a dose of 100 mg/m² on days 1 and 8 every 4 weeks was initiated in accordance with the schedule of prior combination therapy. During maintenance therapy, a week’s delay per cycle was required. The patient experienced no serious adverse events. The patient has been receiving the first-line treatment for more than 13 months with no evidence of progression.

**Discussion**

The present case study suggests that combination chemotherapy comprising carboplatin plus nab-paclitaxel and maintenance therapy with nab-paclitaxel is a promising treatment for advanced thymic carcinoma. In addition, both our patients had an ECOG PS of 2 at the initiation of combination chemotherapy; therefore, this combination therapy and maintenance therapy with nab-paclitaxel is feasible for patients with a poor PS and advanced thymic carcinoma. Furthermore, this is the first report to demonstrate that combination chemotherapy comprising carboplatin plus nab-paclitaxel and maintenance therapy with nab-paclitaxel is effective for undifferentiated thymic carcinoma, a rare type of thymic carcinoma, although previous reports had showed the efficacy of this treatment in other subtypes of thymic carcinoma [10–13]. Several retrospective [3, 4] and prospective [5–7] studies have reported that carboplatin plus solvent-based paclitaxel is an effective treatment for thymic cancer. Median progression-free survival (PFS) was 5.0–8.6 months with the regimen comprising carboplatin plus solvent-based paclitaxel [3–7]. However, this combination can cause severe peripheral neuropathy, which might require dose reductions and/or discontinuation of treatment. Recently, it has been reported that nab-paclitaxel combined with carboplatin showed higher efficacy and less toxicity such as neuropathy, neutropenia, myalgia, and arthralgia in the treatment of NSCLC compared to carboplatin plus solvent-based paclitaxel [9]. In addition, maintenance monotherapy with nab-paclitaxel following combination
| Study [Ref.] | Age, years | Sex | Histology | Stage | Line of treatment | Chemotherapy regimen | Dose | Dose modification | Number of chemotherapy cycles | Response | PFS, months | OS, months | AE grade ≥3 |
|-------------|------------|-----|-----------|-------|-------------------|----------------------|------|------------------|----------------------------|----------|-------------|------------|------------|
| Makimoto et al. [10] | 40 | Male | Sq | Post-operative recurrence | 5th | Carboplatin plus nab-PTX | NA | Dose interruption of nab-PTX on day 15 | 4 | Tumor shrinkage and disappearance of effusions | NA | NA | G4 neutropenia |
| Igawa et al. [11] | 59 | Male | LCNEC | Post-operative recurrence | 3rd | Carboplatin plus nab-PTX | Carboplatin, AUC = 6 nab-PTX, 100 mg/m² on days 1, 8, 15, q4w | Dose interruption of nab-PTX on day 15 of second and fourth cycle | 4 | PR | NA | NA | G4 neutropenia |
| Shima et al. [12] | 22 | Male | LELC | IVA | 2nd | Carboplatin plus nab-PTX | Carboplatin, AUC = 6 nab-PTX, 100 mg/m² on days 1, 8, 15, q3w | None | 3 | PR | NA | NA | G3 neutropenia |
| Funaishi et al. [13] | 78 | Male | Sq | IV | 1st | Carboplatin plus nab-PTX and maintenance with nab-PTX | Carboplatin, AUC = 5 nab-PTX, 70 mg/m² on days 1, 8, 15, 16, q4w; maintenance nab-PTX, 70 mg/m² on days 1, 8, q3w | 3 doses of nab-PTX on day 15 or 8 were omitted during combination therapy | 4 in combination 8 in maintenance | Marked reduction in tumor diameter | 10.3 | ≥22 | G3/4 neutropenia |

PFS, progression-free survival; OS, overall survival; AE, adverse events; Sq, squamous cell carcinoma; nab-PTX, nab-paclitaxel; NA, not available; G, grade; LCNEC, large-cell neuroendocrine carcinoma; AUC, area under the concentration-time curve; PR, partial response; LELC, lymphoepithelioma-like carcinoma.
Chemotherapy with carboplatin plus nab-paclitaxel is reported to be a useful treatment option for patients with advanced NSCLC [14]. Based on these findings, our patients received the same regimen. The PFS among our patients was longer than that among patients treated with carboplatin plus solvent-based paclitaxel. Table 1 summarizes the results of previously published reports evaluating the efficacy and safety of this combination chemotherapy for advanced thymic carcinoma. Of these reports, only one report described the period of PFS and overall survival. Therefore, little is known about the exact efficacy of carboplatin plus nab-paclitaxel for advanced thymic carcinoma.

With respect to adverse events, in these 2 cases, several doses of nab-paclitaxel were omitted due to hematological toxicities. Nevertheless, this chemotherapy regimen was effective. One of the reasons for the good results is that the dose of nab-paclitaxel in this regimen is easy to adjust according to the adverse events observed. Therefore, we believe that combination chemotherapy with carboplatin plus nab-paclitaxel and continuation maintenance with nab-paclitaxel is the best alternative for patients with advanced thymic carcinoma and a poor PS.

Conclusions

Combination chemotherapy with carboplatin plus nab-paclitaxel and continuation maintenance with nab-paclitaxel can be a useful regimen for advanced thymic carcinoma. A future prospective study is necessary to evaluate the efficacy and safety of this regimen for advanced thymic carcinoma.

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Statement of Ethics

The case study was approved by the ethics committees of the National Hospital Organization Kanazawa Medical Center (#R02-034). Written informed consent was obtained from the patients for publication of this case report and any accompanying images. The case study was conducted ethically in accordance with the World Medical Association Declaration of Helsinki.

Conflict of Interest Statement

The authors have no conflicts of interest to declare.

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Author Contributions

Each author contributed to the study as follows: conception and design of the work – T.A., T.K.; data acquisition – T.A., T.K.; drafting the work or revising it critically – T.A., T.K., H.M., T.S., H.K., and K.K.; T.K. is the guarantor of the paper, taking responsibility for the integrity of the work as a whole, from inception to publication.

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