An Approximation Algorithm for Optimal Clique Cover Delivery in Coded Caching

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Abstract—Coded caching can significantly reduce the communication bandwidth requirement for satisfying users’ demands by utilizing the multicasting gain among multiple users. Most existing works assume that the users follow the prescriptions for content placement made by the system. However, users may prefer to decide what files to cache. To address this issue, we consider a network consisting of a file server connected through a shared link to $K$ users, each equipped with a cache which has been already filled arbitrarily. Given an arbitrary content placement, the goal is to find a delivery strategy for the server that minimizes the load of the shared link. In this paper, we focus on a specific class of coded multicasting delivery schemes known as the “clique cover delivery scheme”. We first formulate the optimal clique cover delivery problem as a combinatorial optimization problem. Using a connection with the weighted set cover problem, we propose an approximation algorithm and show that it provides an approximation ratio of $(1 + \log K)$, while the approximation ratio for the existing coded delivery schemes is linear in $K$. Numerical simulations show that our proposed algorithm provides a considerable bandwidth reduction over the existing coded delivery schemes for almost all content placement schemes.

Index Terms—Caching, Coded Multicast, Clique Cover Delivery, Weighted Set Cover, Approximation Algorithm.

I. INTRODUCTION

A common way to reduce the burden of traffic in a network system is to take advantage of memories distributed across the network to duplicate parts of the content. This duplication of content is called content placement or caching. A caching system operates in two phases: the placement phase and the delivery phase. In the placement phase which is performed during off-peak hours when network resources are abundant, users fill the local caches with contents anticipating their future demands. Afterwards, the network is used for an arbitrarily long time, referred to as the delivery phase. This phase can contain a number of rounds where in each round, users reveal their requests for content and the server must coordinate transmissions such that these requests are satisfied.

Recently, a new class of caching schemes in which the placement and delivery phases are jointly designed (a.k.a “coded caching”) has drawn remarkable attention [2], [3]. It has been shown that coded caching can significantly reduce the communication bandwidth requirement for satisfying the users’ requests. Since then, design and analysis of caching techniques for various kinds of networks have been researched extensively [4]–[15]. Most existing works assume that the users follow the prescriptions made by the system for the content placement. However, it is difficult to enforce all users to follow the particular prescriptions because each user may have its own caching policy or may decide to discard some part of their caches due to lack of space. When the users arbitrarily fill the content of their caches, the existing coded delivery schemes [2]–[6], [15], [16] could result in very inefficient performance1 (see Example 1 in Section II and also Theorem 3 for the performance of these schemes under arbitrary caching of users).

In this paper, we focus on the delivery phase and study a caching system where the content placement phase has been already carried out by the users arbitrarily and has been reported to the server. More specifically, we consider a network consisting of a file server connected through a shared link to $K$ users, each equipped with a cache which has been already filled. In the delivery phase, the users request a set of files. In order to take advantage of multicasting opportunities among the users, each requested file can be divided into a set of subfiles where each subfile is available only in the cache of a group of users [2]–[4]. The goal is to design a delivery strategy for the server that minimizes the load of the shared link without imposing any restriction on the number of users, size of the files, cache size of the users, and how the placement phase has been carried out.

This problem is equivalent to a conventional “index coding” problem. In our problem, the total number of subfiles that the server needs to send can be exponential in the number $K$ of users and further, the size of subfiles can be distinct in general. Therefore, existing algorithms [17]–[19] for the conventional index coding problem and the recent algorithms for the index coding problems with interlinked cycles [20] and symmetric neighboring interference [21] are not appropriate for our setting.

Since an index coding problem is computationally hard to solve even only approximately [22], in this paper we focus on a specific class of coded multicasting delivery schemes for the server known as the “clique cover delivery scheme”. Interestingly, many of the previous works on caching have relied on this class of delivery schemes (for example, see

1Note that although [5] studies the coded caching problem for the case that the file sizes can be different, the proposed delivery algorithm of this paper is exactly the same as the delivery algorithm of [2]–[4].
A. Our Contribution

In this paper, we first formulate the optimal clique cover delivery problem as a combinatorial optimization problem and show that it can be represented as an Integer Linear Program (ILP). However, the number of variables of this ILP is equal to the number of all cliques of the “side-information graph” [6], [17]–[19], [23] (see Remark 1 for the detailed description of this graph) generated from the set of all subfiles that the server needs to send. Since the number of all cliques is generally double exponential in the number $K$ of users, directly solving the ILP is computationally intractable even for a small number of users.

To overcome the double exponential complexity, we focus on approximation algorithms. We show that the optimal clique cover delivery problem is equivalent to the weighted set cover problem. Using this connection, we first propose an approximation algorithm which is based on an approximation algorithm for the weighted set cover problem. This algorithm has a good approximation ratio, but its complexity is still double exponential in the number $K$ of users as it requires finding all possible cliques of the side-information graph and also searching over all of these cliques to find a set of cliques that the server should send.

Then, we identify features of the optimal clique cover delivery problem to reduce redundancy in this algorithm and propose Size-Aware Coded Multicast (SACM) algorithm. The significance of SACM algorithm is that it sidesteps the difficulty of finding all possible cliques of the side-information graph and further, it finds the set of cliques that the server should send without the need for searching over all possible cliques. The SACM algorithm has a complexity similar to the coded delivery schemes of [2]–[6], [16] which is generally exponential in the number $K$ of users. We further show that the exponential complexity in the number $K$ of users is inevitable for any algorithm with good approximation ratio for the optimal clique cover delivery problem. In terms of performance, we show that SACM algorithm provides a $1 + \log K$-approximation which is significantly better than the linear (in $K$) approximation ratio of the existing coded delivery schemes of [2]–[6], [15], [16]. Furthermore, numerical simulations show that our proposed algorithm provides a considerable bandwidth reduction over the existing coded delivery schemes of [2]–[6], [15], [16] for almost all content placement schemes.

B. Notation

For each file $W$, we denote the number of bits or size of $W$ by $|W|$. For two files $W_1$ and $W_2$, bit-wise XOR of $W_1$ and $W_2$ is denoted by $W_1 \oplus W_2$ where the files $W_1$ and $W_2$ are assumed to be zero padded to match the longest file. Sets are denoted by the calligraphic font and sets of sets are denoted by script font. The cardinalities of set $\mathcal{A}$ and set of sets $\mathcal{A}'$ are denoted by $|\mathcal{A}|$ and $|\mathcal{A}'|$, respectively. We use $\mathbf{vec}(\mathcal{A})$ to denote a column vector with the elements of set $\mathcal{A}$. For a number $N$, we use $[N]$ to denote the set $\{1, 2, \ldots, N\}$.

C. Organization

The rest of this paper is organized as follows. Section II describes the system model and formally formulates the optimal clique cover delivery problem. In Section III, we propose an approximation algorithm for solving the optimal clique cover delivery problem. Section IV analyzes the complexity of our proposed algorithm. In Section V, we numerically compare our proposed algorithm with the existing coded delivery algorithms. Section VI concludes the paper. The proofs of all the technical results of the paper appear in the Appendices.

II. SYSTEM MODEL AND PROBLEM FORMULATION

A. System Model

We consider a system with one server connected through a shared, error-free link to $K$ users as shown in Fig. 1. The server has access to a database of $N$ popular files $W_1, W_2, \ldots, W_N$ where the size of file $W_n$ in bits is denoted by $|W_n|$. We use the notations $|K| := \{1, 2, \ldots, K\}$ and $|N| := \{1, 2, \ldots, N\}$. Each user $k$ has a separate cache memory $Z_k$. We assume that users have filled the content of their caches using the database in the Placement phase.

In the Delivery phase, each user $k$ requests a file index $d_k \in [N]$. We assume that users request different files\(^3\). Without loss of generality, suppose user $k$ requests file $k$ in the delivery phase, that is, $d_k = k$.

Upon receiving the requests $d = (d_1, \ldots, d_K) = (1, \ldots, K)$ of the users, the server responds by transmitting a message $U = (U_1, U_2, \ldots)$ that consists of a sequence of packets over the shared link. Each user $k$ then aims to reconstruct its requested file $W_k$ using this message and its cache contents.

\(^2\)An algorithm is an $\alpha$-approximation to a problem if for any instance of this problem the solution returned by this algorithm is within a factor $\alpha$ of the optimal solution [28].

\(^3\)Note that the probability of each user requesting a distinct file goes to one as $N \to \infty$ for a fixed number $K$ of users. Hence, this assumption holds with high probability because it is likely that $N \gg K$ in practice. Further, note that the proposed algorithm of this paper can still be applied to the case where there is repetition in the users' requests by pretending that they are different.
B. Coded Multicasting Delivery Strategy

We focus on the delivery phase in this paper. We assume that the server knows the requests $d = (1, \ldots, K)$, the database of files $W_1, W_2, \ldots, W_N$, and the contents $Z_1, Z_2, \ldots, Z_K$ of the cache of users from the placement phase. In order to take advantage of multicasting opportunities among the users and as proposed in the coded caching literature (e.g., see [2]–[4]), given the cache content of all the users, the bits of the files can be grouped into subfiles $W_{n, A}$, where $W_{n, A}$ is the set of bits of file $W_n$ which is available only in the cache of users belonging to the set $A$. For example, if $K = 3$, then for each $n \in [N]$, file $W_n$ can be split into $W_{n, \emptyset}, W_{n, \{1\}}, W_{n, \{2\}}, W_{n, \{1, 2\}}, W_{n, \{1, 3\}}, W_{n, \{2, 3\}}, W_{n, \{1, 2, 3\}}$.

For each user $k \in [K]$, the server needs to send all non-empty subfiles of file $W_k$ which is not available in the cache of user $k$. Let

$$W_k = \{W_{k, A} : A \subseteq [K] \setminus \{k\}, \mathbb{B}(W_{k, A}) \neq 0\}. \tag{1}$$

Then, $W_k$ is the set of all subfiles that needs to be sent by the server to user $k$. For example, when $K = 3$, we have $W_1 = \{W_{1, \emptyset}, W_{1, \{2\}}, W_{1, \{3\}}, W_{1, \{2, 3\}}\}$ if all these subfiles have non-zero sizes. We denote the set of all subfiles that needs to be sent by the server as $W = \cup_{k \in [K]} W_k$ and we define $\tau_K = |W|$.

In this paper, we focus on a specific class of coded multicasting delivery schemes known as the clique cover scheme [6]. Clique cover delivery schemes have the following property.

**Property 1.** When a subset $P$ of $W$ is XORed, for every subfile $W_k$ of $P$, at least one user requesting it can recover this subfile by using its cache contents and only XOR transmission $U = \oplus_{W \in P} W$.

For example, if $A \oplus B \oplus C$ was sent, there is a user wanting $A$ that could recover it by using $B$ and $C$ stored in its cache and similar conditions hold for one user wanting $B$ and one user wanting $C$. We call any $P \subseteq W$ that satisfies Property 1 a feasible packet. We interchangeably use the term feasible packet to refer to both $P$ and $U = \oplus_{W \in P} W$ satisfying Property 1. The size or the number of bits of a packet $U = \oplus_{W \in P} W$ (or packet $P$) is denoted by $\mathbb{B}(U)$ (respectively by $\mathbb{B}(P)$) and is given by

$$\mathbb{B}(U) = \mathbb{B}(P) = \max_{W \in P} \mathbb{B}(W). \tag{2}$$

**Remark 1.** The reason that a delivery scheme with Property 1 is called a clique cover scheme is as follows: Consider a graph $G_c$ where the set of vertices is $W$ and the weight of vertex $W_{k, A}$ in $W$ is $\mathbb{B}(W_{k, A})$. In this graph, there is an edge between $W_{k, A}$ and $W_{l, B}$ if and only if subfiles $W_{k, A}$ and $W_{l, B}$ are stored in the cache of users $k$ and $l$, respectively. Then, for this graph, known as “side-information graph” in the literature [6], [17]–[19], [23], each clique is a feasible packet and vice-versa. Hereafter, we use the terms feasible packet and clique interchangeably.

Note that Property 1 has two straightforward implications:

1) a clique (or feasible packet) $P$ cannot include more than one subfile requested by each user $k \in [K]$ and hence, it can be written as a set $\{W_{k, A}, k \in [M]\}$ for some $M \subseteq [K]$;

2) for each user $k \in [M]$ to be able to recover $W_{k, A}$, it should have $W_{k, A}$ for all $k^* \in M \setminus \{k\}$ stored in its cache, that is, for all $k^* \in M \setminus \{k\}$, $A_{k^*}$ should include $k$ (or equivalently, $k \in \cap_{k^* \in M(k^*)} A_{k^*}$). Such a clique $P$ can be equivalently described as $P_{\cap_{k^* \in M(k^*)} A_{k^*}}$. For any clique $P$ can be equivalently described as $P_{\cap_{k^* \in M(k^*)} A_{k^*}}$. For any clique $P$ can be equivalently described as $P_{\cap_{k^* \in M(k^*)} A_{k^*}}$.

C. Problem Formulation

The aim of this paper is to find a clique cover delivery scheme that minimizes the total number of bits that the server needs to send to the users such that each user is able to reconstruct the file it has requested. This requires each subfile belonging to the set $W$ to be sent at least once. Let $\mathcal{P}$ denote the set of all feasible packets that can be generated from the set $W$ of all subfiles (equivalently, $\mathcal{P}$ is the set of all cliques of the side-information graph $G_c$) and let $\lambda_K = |\mathcal{P}|$. For any $P \in \mathcal{P}$, let $\alpha_P \in (0, 1)$ be a variable indicating whether $P$ is selected for transmission. We call $\alpha = \text{vec}(\alpha_P : \mathcal{P} \in \mathcal{P})$ a clique cover delivery scheme. The problem of designing the optimal clique cover scheme is formally defined below.

**Problem 1 (Optimal Clique Cover Delivery Problem).** For a system of $K$ users, given the set $W$ of all subfiles that needs to be sent by the server, find a clique cover delivery scheme $\alpha$ that solves

$$\min_{\mathcal{P} \in \mathcal{P}} \sum_{P \in \mathcal{P}} \alpha_P \mathbb{B}(\mathcal{P})$$

s.t. $\cup_{P \in \mathcal{P}, \alpha_P = 1} P = W$,

where $\mathcal{P}$ is the set of all cliques that can be generated from $W$.

**Remark 2.** Note that although in Problem 1, we want to find a clique cover with the minimum sum of sizes of cliques, this problem is different from “Minimum Weighted Clique Covering Problem” defined in the literature [29].
The optimal clique cover delivery problem can be represented as an Integer Linear Program (ILP). To this end, recall that \( \tau_K = |W| \) and \( \lambda_K = |\mathcal{P}| \). We define \( L \) to be a \([0, 1]\)-valued matrix with \( \tau_K \) rows and \( \lambda_K \) columns where each row corresponds to a subfile that needs to be sent to a user and each column corresponds to one clique. The entry of \( L \) corresponding to subfile \( W \) and clique \( \mathcal{P} \) is 1 if \( W \) can be decoded from \( \mathcal{P} \); otherwise, it is 0. Using the matrix \( L \), the condition that each subfile belonging to the set \( W \) should be sent at least once can be written as \( L\alpha \geq 1 \) where \( 1 \) is an all-one vector of size \( \tau_K \). Then, the ILP can be described as follows.

**Problem 2.** For a system of \( K \) users, given the set \( W \) of all subfiles and the set of \( \mathcal{P} \) all cliques that can be generated from \( W \), find a clique cover delivery scheme \( \alpha \) that solves
\[
\min \sum_{\mathcal{P} \in \mathcal{P}} \alpha_{\mathcal{P}} \Xi(\mathcal{P}) \\
\text{s.t.} \quad L\alpha \geq 1.
\]

We end this section by providing a motivating example that shows the importance of solving the optimal clique cover delivery problem (Problem 1). This simple example indicates the significant bandwidth reduction that can be achieved by solving Problem 1 compared to the conventional uncoded delivery, Greedy Coded Multicast (GCM) scheme [2]–[5], GCC scheme [6], GCLC and HgLC schemes [15], and Graph Coloring-based Coded Multicast (GCCM) scheme [16]. Note that in the conventional uncoded delivery, the server sends each subfile of set \( W \) separately.

**Example 1.** Let \( K = 3 \) and \( W = \{W_{1,0}, W_{1,1}, W_{1,3}, W_{2,0}, W_{2,1}, W_{2,3}, W_{3,0}, W_{3,1}, W_{3,2}, W_{3,1,2}\} \) where the size of subfiles \( W_{1,2,3} \) and \( W_{2,1} \) is 300 bits and the rest of subfiles in \( W \) have the size of 10 bits.

In this case, the uncoded delivery scheme sends 10 subfiles of size 10 bits and 2 subfiles of size 300 bits, resulting in the total number of bits of 10 \( \times \) 10 + 2 \( \times \) 300 = 700. The GCM, GCC, GCLC, and HgLC schemes choose\(^6\) cliques \( \mathcal{P}_{(2,3),(1,3),(1,1)}, \mathcal{P}_{(2,1)}, \mathcal{P}_{(3,0)}, \mathcal{P}_{(3,1)}, \mathcal{P}_{(3,2)}, \mathcal{P}_{(3,1,2)}, \mathcal{P}_{(3,1,3)}, \mathcal{P}_{(3,0,2)}, \mathcal{P}_{(3,0,1)} \) with sizes equal to 300, 300, 10, 10, 10, 10, 10; respectively. Therefore, the total number of bits sent by the GCM, GCC, GCLC, and HgLC schemes is 2 \( \times \) 300 + 5 \( \times \) 10 = 650.

The GCCM scheme\(^7\) chooses cliques \( \mathcal{P}_{(3,0),(2,2)}, \mathcal{P}_{(3,0),(1,1)}, \mathcal{P}_{(3,0),(1,2)}, \mathcal{P}_{(3,0,2)}, \mathcal{P}_{(3,0,1)}, \mathcal{P}_{(3,0,1)}, \mathcal{P}_{(3,0,2)}, \mathcal{P}_{(3,0,1)} \) with sizes equal to 10, 10, 300, 300, 10, 10, 10; respectively. Therefore, the total number of bits sent by the GCCM scheme is 2 \( \times \) 300 + 6 \( \times \) 10 = 660. If we solve\(^8\) Problem 2, the cliques \( \mathcal{P}_{(2,1,3)}, \mathcal{P}_{(3,0,1)}, \mathcal{P}_{(3,0,2)}, \mathcal{P}_{(3,0,1)}, \mathcal{P}_{(3,0,2)}, \mathcal{P}_{(3,0,1)}, \mathcal{P}_{(3,0,2)}, \mathcal{P}_{(3,0,1)} \) are chosen with sizes equal to 300, 10, 10, 10, 10, 10, 10, 10; respectively. Therefore, the total number of bits sent by the optimal solution is 300 + 7 \( \times \) 10 = 370.

This indicates that the optimal clique cover delivery strategy reduces the number of bits required to be sent by 47% compared to the uncoded delivery while the GCM (and similarly GCC, GCLC, and HgLC) and GCCM schemes can decrease this number only by 7.1% and 5.7%, respectively.

As can be seen from this simple example, the uncoded delivery is inefficient because it does not take advantage of sending multiple subfiles together as a packet. Furthermore, the GCM (and similarly GCC, GCLC, and HgLC) and GCCM schemes are not able to choose these packets efficiently enough because they do not take the size of subfiles into consideration.

### III. An Approximation Algorithm for Optimal Clique Cover Delivery Problem

In Section II, we formulated the optimal clique cover delivery problem as a combinatorial optimization problem and we further showed that it can be represented as the ILP of Problem 2. However, this ILP suffers from two significant challenges.

(P1) In order to solve the ILP, one should first find the set \( \mathcal{P} \) of all feasible packets that can be generated from the set \( W \) of subfiles. However, finding \( \mathcal{P} \) is the same as finding all possible cliques of the side-information graph (a problem that is known as “Clique Problem”) which is NP-hard [31].

(P2) The number \( \tau_K \) of subfiles that needs to be sent by the server can be as large as \( O(2^K) \) and the number \( \lambda_K \) of all cliques can be as large as \( O(2^{\tau_K}) \). Hence, the number of variables in the ILP can be \( O(2^{2^K}) \), that is, double exponential in \( K \).

Due to the above points, directly solving this ILP is computationally intractable as its complexity is double exponential in the number \( K \) of users. In this section, we propose an approximation algorithm for Problem 1. This algorithm provides the approximation ratio of \( (1 + \log K) \) and it has a complexity similar to the coded delivery schemes of [2]–[6], [16]. To this end, we show that the optimal clique cover delivery problem (Problem 1) is equivalent to a weighted set cover problem [28]. This equivalence can be easily observed by considering \( W \) as a set of elements that needs to be covered in the weighted set cover problem, \( \mathcal{P} \) as a set of subsets of the elements’ set in the weighted set cover problem, and for each \( \mathcal{P} \in \mathcal{P} \), \( \Xi(\mathcal{P}) \) as the weight of subset \( \mathcal{P} \) of elements in the weighted set cover problem. Now using this equivalence of problems, we propose Algorithm 1 for solving Problem 1. This algorithm is based on an approximation algorithm for the weighted set cover problem [32] which has been modified according to the following property of the set \( \mathcal{P} \) of all feasible packets in Problem 1: If \( \mathcal{P}_1 \) and \( \mathcal{P}_2 \) are feasible packets, then \( \mathcal{P}_1 \setminus \mathcal{P}_2 \) is either an empty packet or a feasible packet. The correctness of this property results from the fact that all feasible packets are cliques of the side-information graph and hence, subtracting the vertices of one clique from another one results in either another clique or an empty set.

Before presenting the results about the approximation ratio that Algorithm 1 provides for Problem 1, we first describe this algorithm in simple words: Let \( E \) be any subset of the set...
Let $\mathcal{F}$ be the set of all cliques that can be generated from $\mathcal{E}$. Then, in each iteration of Algorithm 1, the PACKET-BASED-OPIMIZER (PBO) function determines a clique that maximizes $|P|$ which is a metric that measures the number of subfiles included in clique $P$ per unit bit. Note that this metric captures the fact that we would like to send as many subfiles as possible with the minimum number of bits. Let $\mathcal{P}^*$ denote this clique. Then, we add this clique to set $\mathcal{C}$ of all cliques that the server needs to send. Since it is redundant to send a subfile more than once, we remove the subfiles available in the clique $\mathcal{P}^*$ from the set $\mathcal{E}$. At the end of the algorithm, we update the set $\mathcal{F}$ by eliminating all cliques $\mathcal{S}$ that intersect with $\mathcal{P}^*$.

**Algorithm 1**

**Input:** Set $W$ of subfiles and set $\mathcal{F}$ of all cliques.

1. $\mathcal{C} = \emptyset$
2. $\mathcal{E} = W$
3. $\mathcal{F} = \mathcal{F}$
4. while $\mathcal{E} \neq \emptyset$
   5. $\mathcal{P}^* = \text{PBO}(\mathcal{F})$
   6. $\mathcal{C} = \mathcal{C} \cup \{\mathcal{P}^*\}$
   7. $\mathcal{E} = \mathcal{E} \setminus \mathcal{P}^*$
   8. for $\mathcal{S} \in \mathcal{F}$
      9. if $\mathcal{S} \cap \mathcal{P}^* \neq \emptyset$
          10. $\mathcal{F} = \mathcal{F} \setminus \{\mathcal{S}\}$

**Output:** Set of cliques $\mathcal{C}$.

**function PBO(\mathcal{F})**

return $\arg\max_{P \in \mathcal{F}} \frac{|P|}{\mathbb{E}(P)}$

**Lemma 1.** If the set $\mathcal{P}$ of all cliques is known, Algorithm 1 achieves a $1 + \log K$-approximation to Problem 1.

**Proof:** See Appendix A.

Note that although Lemma 1 suggests an algorithm with a good approximation ratio for Problem 1, it still suffers from issue (P1). Furthermore, in each iteration of Algorithm 1, in order to find the output of function PBO, one should go over the set $\mathcal{F}$ of cliques and find the clique maximizing $\frac{|P|}{\mathbb{E}(P)}$. The number of cliques in set $\mathcal{F}$ can be generally $O(2^{|K|})$, that is, double exponential in the number $K$ of users. To sidestep these difficulties, in the next section, we propose a new algorithm that enjoys the good approximation ratio of Algorithm 1, but it does not work with the set $\mathcal{F}$ of cliques.

### A. Size-Aware Coded Multicast

In this section, we first propose SUBFILE-BASED-OPIMIZER (SBO), an alternative way of calculating function PBO which only needs the set $W$ of subfiles. The following theorem states this result.

**Theorem 1.** For any subset $\mathcal{E} \subseteq W$ of subfiles, let $\mathcal{F}$ denote the set of all cliques that can be generated from $\mathcal{E}$. Then, the size of the cliques obtained by functions PBO and SBO is equal, that is, $\mathbb{E}(\text{PBO}(\mathcal{F})) = \mathbb{E}(\text{SBO}(\mathcal{E}))$.

**function SBO(\mathcal{E})**

Let $M = \{k : k \in [K], \exists W_{k, \mathcal{A}} \in \mathcal{E} \}$ for some $\mathcal{A} \subseteq [K] \setminus \{k\}$

Let $\mathcal{F} = \{T : T \subseteq M, |T| > 0\}$

for $T \in \mathcal{F}$
   5. $L_{j,T} = \{W_{j,\mathcal{A}} : W_{j,\mathcal{A}} \in \mathcal{E}, T \setminus \{j\} \subseteq \mathcal{A}\}$
   6. Calculate $V_{j,T} = \arg\min_{W \in L_{j,T}} \mathbb{E}(W)$
   7. Let $R_T = \{W_{k,T} : k \in T\}$
   8. return $\arg\max_{R_T : T \in \mathcal{F}} \mathbb{E}(R_T)$

**Fig. 2:** Side-information graph $G_c$ of Example 2.

**Example 2.** Consider Example 1 with $K = 3$ and $W = \{W_{1,0}, W_{1,2}, W_{1,3}, W_{2,0}, W_{2,1}, W_{2,3}, W_{3,1}, W_{3,2}, W_{3,3}\}$, where the size of subfile $W_{1,2}$ and $W_{2,1}$ is 300 bits and the rest of subfiles in $W$ have the size of 10 bits. The side-information graph $G_c$ of this example is shown in Fig. 2. Now, let $\mathcal{E} = W$ and $\mathcal{F}$ be the set of all feasible packets that can be generated from $W$ which is the set of all cliques of graph $G_c$ in Fig. 2.

- How to calculate $\text{PBO}(\mathcal{F})$? To this end, one should go over the set $\mathcal{F}$ of cliques of graph $G_c$ and calculates $\frac{|P|}{\mathbb{E}(P)}$ for each clique $P \in \mathcal{F}$ to find the clique maximizing this ratio. The set $\mathcal{F}$ is given as follows,

  $\mathcal{F} = \{P_{1,1}, P_{1,2}, P_{1,3}, P_{2,1}, P_{2,2}, P_{2,3}, P_{3,1}, P_{3,2}, P_{3,3}\}$

- Why $\mathbb{E}(\text{PBO}(\mathcal{F})) = \mathbb{E}(\text{SBO}(\mathcal{E}))$? First note that the set $\mathcal{F}$ of all cliques of graph $G_c$ can be decomposed into following 7 disjoint sets $\mathcal{D}_T$ for $T \in \mathcal{F} := \{\{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}, \{1, 2, 3\}\}$, that is $\mathcal{F} = \bigcup_{T \in \mathcal{F}} \mathcal{D}_T$, where $\mathcal{D}_T$ is the set of all cliques $P$ including exactly one subfile for each user in set $T$ and no subfile for users not in set $T$ (See Appendix B for a proof of disjointness of sets $\mathcal{D}_T$’s).

\[\begin{align*}
\mathcal{D}_{\{1\}} &= \{P_{1,1}, P_{1,2}, P_{1,3}\} \\
\mathcal{D}_{\{2\}} &= \{P_{2,1}, P_{2,2}, P_{2,3}\} \\
\mathcal{D}_{\{3\}} &= \{P_{3,1}, P_{3,2}, P_{3,3}\} \\
\mathcal{D}_{\{1, 2\}} &= \{P_{1,2}, P_{1,3}, P_{2,1}\} \\
\mathcal{D}_{\{1, 3\}} &= \{P_{1,1}, P_{1,3}, P_{3,1}\} \\
\mathcal{D}_{\{2, 3\}} &= \{P_{2,2}, P_{2,3}, P_{3,2}\} \\
\mathcal{D}_{\{1, 2, 3\}} &= \{P_{1,1}, P_{1,2}, P_{1,3}, P_{2,1}, P_{2,2}, P_{2,3}, P_{3,1}, P_{3,2}, P_{3,3}\}
\end{align*}\]

\[\begin{align*}
\text{in case that there are more than one subfile } W_i \in \mathcal{L}_{j,T} \text{ minimizing } \mathbb{E}(W_i), \text{ we can pick one arbitrarily. Further, define } L_{j,T} = \{W_i\} \text{ with } \mathbb{E}(W_i) = \infty \text{ whenever } L_{j,T} = \emptyset.
\end{align*}\]

\[\begin{align*}
\text{in case that there are more than one packet } R_T \text{ maximizing } \frac{|P|}{\mathbb{E}(P)}, \text{ we can pick one arbitrarily.}
\end{align*}\]
Now, the sets \( \mathcal{D}_T \)'s have this property that for any \( P \in \mathcal{D}_T \), we have \( |P| = |T| \). Remember that in the function PBO, we want to find a feasible packet \( P \in \mathcal{F} \) that maximizes \( \frac{|P|}{|T|} \). Using the decomposition of space \( \mathcal{F} \) described above, instead of searching over space \( \mathcal{F} \), we can search over the 7 subsets \( \mathcal{D}_T \) separately. In other words,

\[
\mathbb{E}(\text{PBO}(\mathcal{F})) = \max_{P \in \mathcal{D}_T} \frac{|P|}{\mathbb{E}(P)} = \max_{T \in \mathcal{D}_T} \frac{|P|}{\mathbb{E}(P)} \tag{5}
\]

Since \( |P| = |T| \) for all \( P \in \mathcal{D}_T \), maximizing \( \frac{|P|}{|T|} \) over \( P \in \mathcal{D}_T \) is equivalent to minimizing \( \mathbb{E}(P) \) over \( P \in \mathcal{D}_T \).

Note that according to Theorem 1, the function PBO in Algorithm 1 can be replaced by the function SBO without altering the optimality bound for the algorithm. By doing so, we can also eliminate the steps of updating set \( \mathcal{F} \) (lines 8-10 of Algorithm 1) since we do not need \( \mathcal{F} \) as input to the function SBO. The resulting algorithm is described in Algorithm 2. The optimality bound of Algorithm 2 is stated in the following theorem.

**Theorem 2.** Algorithm 2 achieves a \((1 + \log K)\)-approximation to Problem 1.

**Proof:** See Appendix C.

Now, (6) provides another way of calculating \( \mathbb{E}(\text{PBO}(\mathcal{F})) \) that does not involve the set \( \mathcal{F} \) of cliques; instead all it needs is the collection of sets \( \mathcal{R}_T \) for \( T \in \mathcal{F} \). In order to find \( \mathcal{R}_T \) for any \( T \in \mathcal{F} \), all we need is to find the sets \( \mathcal{L}_{j,T} \) for \( j \in T \), which can be done only by using the set \( E \) (See definition of \( \mathcal{L}_{j,T} \) in the function SBO(E)). Since \( \min_{T \in \mathcal{F}} \frac{|T|}{\mathbb{E}(T)} \) is the size of the output clique of the function SBO(E), from (6) we have, \( \mathbb{E}(\text{PBO}(\mathcal{F})) = \mathbb{E}(\text{SBO}(E)) \).

- How to calculate SBO(E)? To this end, we first find sets \( \mathcal{M} \) and \( \mathcal{F} \) as described in the function SBO(E). Note that \( \mathcal{M} \) is the set of users’ indices for which there exists a subfile in \( E \) and \( \mathcal{F} \) is the set of all non-empty subsets of \( M \). Then, for each \( T \in \mathcal{F} \), we find sets \( \mathcal{L}_{j,T} \) for all \( j \in T \). Sets \( \mathcal{L}_{j,T} \) are denoted by blue rectangles. For each set \( \mathcal{L}_{j,T} \), the corresponding subfile \( V_{j,T} \) is denoted in dark color. Sets \( \mathcal{R}_T \) are denoted by red ellipses.

Fig. 3: (3a)-(3g): Graphical representation of sets \( \mathcal{L}_{j,T} \) for the first iteration of Algorithm 2 applied to Example 1. Sets \( \mathcal{L}_{j,T} \) are denoted by blue rectangles. For each set \( \mathcal{L}_{j,T} \), the corresponding subfile \( V_{j,T} \) is denoted in dark color. Sets \( \mathcal{R}_T \) are denoted by red ellipses.

Note that according to Theorem 1, the function PBO in Algorithm 1 can be replaced by the function SBO without altering the optimality bound for the algorithm. By doing so, we can also eliminate the steps of updating set \( \mathcal{F} \) (lines 8-10 of Algorithm 1) since we do not need \( \mathcal{F} \) as input to the function SBO. The resulting algorithm is described in Algorithm 2. The optimality bound of Algorithm 2 is stated in the following theorem.

**Theorem 2.** Algorithm 2 achieves a \((1 + \log K)\)-approximation to Problem 1.

**Proof:** See Appendix C.
Algorithm 2 SACM (Size-Aware Coded Multicast)

Input: Set of subfiles $\mathcal{W}$.
1: $\mathcal{C} = \emptyset$;
2: $\mathcal{E} = \mathcal{W}$;
3: while $\mathcal{E} \neq \emptyset$ do
  4: $\mathcal{P}^* = \text{SBO}(\mathcal{E})$;
  5: $\mathcal{C} = \mathcal{C} \cup \{\mathcal{P}^*\}$;
  6: $\mathcal{E} = \mathcal{E} \setminus \mathcal{P}^*$;
Output: Set of cliques $\mathcal{C}$.

$\mathcal{P}_{\mathcal{K},\{1,2\}}$ and $\mathcal{P}_{\mathcal{K},\{2,3\},\{1,2,3\}}$ are chosen with the total size of $7 \times 10 + 300 = 370$. Fig. 3 illustrates a graphical representation of sets $\mathcal{L}_{i,T}$, subfiles $V_{i,T}$, and sets $\mathcal{R}_T$ for the first iteration of Algorithm 2 applied to Example 1. Compared to the total number of bits for the optimal solution to Example 1, we can see that for this example, the total number of bits for our algorithm is equal to that of the optimal solution.

B. Comparison to State-of-the-Art

We theoretically compare the worst-case approximation ratio between our proposed algorithm with the uncoded delivery, the GCM scheme [2]–[5], GCC scheme [6], GCLC and HgLC schemes [15], and the GCCM scheme [16]11. While Theorem 2 states that the approximation ratio for our proposed algorithm is $(1 + \log K)$, the following result shows that the ratio for the other mentioned schemes is linear in $K$.

Theorem 3. For a system with $K$ users, there are instances on which the approximation ratio of
1) the uncoded delivery is only $K$,
2) the GCM (greedy coded multicast) scheme (and similarly GCC, GCLC, and HgLC schemes) is only $\lceil \frac{K-1}{2} \rceil$.
3) the GCCM (graph coloring-based coded multicast) scheme is only $K - 1$.

Proof: See Appendix D.

According to Theorems 2 and 3, our proposed algorithm (Algorithm 2) provides a significantly better approximation ratio compared to the above schemes.

IV. Complexity Analysis

In this section, we first analyze the complexity of SACM algorithm we proposed in Section III. It can be seen that SACM needs $O(\tau_K K^2 K)$ operations where $\tau_K = |\mathcal{W}|$ is the number of non-empty subfiles and it can change12 from $K$ to $K^2 K^{-1}$. The GCM scheme [2]–[5] needs $O(K^2 K)$ operations while the GCC, GCLC, and HgLC schemes as well as the GCCM scheme [16] require $O(\tau_K^2)$. Note that in most existing placement schemes, e.g., [3], [4], [6], almost all subfiles are non-empty, that is, the number of subfiles is exponential in $K$ (more precisely, $\tau_K \approx K^2 K^{-1}$). This means that under these placement schemes, SACM, GCM, GCC, GCLC, HgLC, and GCCM all have the same complexity which is exponential

with respect to the number $K$ of users. If the number of subfiles is not exponential in $K$ [2], then GCC, GCLC, HgLC, and GCCM schemes achieve lower complexity compared to SACM and GCM schemes.

Next, we show that the exponential complexity (in the number $K$ of users) is inevitable for any algorithm with good approximation ratio for Problem 1. This result which is presented in the following theorem is based on the facts that minimum clique cover problem is a special case of Problem 1 and it is NP-hard to find a polynomial-time approximation for the minimum clique cover problem [33].

Theorem 4. Unless $P = \text{NP}$, there is no polynomial-time (in the number $K$ of users) algorithm for Problem 1 with the approximation ratio of $K^{1-\epsilon}$ for any $\epsilon > 0$.

Proof: See Appendix E.

V. Numerical Experiments

In this section, we compare our proposed algorithm, that is, Size-Aware Coded Multicast (SACM) with the GCM (greedy coded multicast) scheme [2]–[5], GCC scheme [6], GCLC and HgLC schemes [15], the GCCM scheme [16], and the uncoded delivery. For a system with $K$ users, let $\tau_K$ denote the number of subfiles with non-zero size required to be sent by the server, that is, $\tau_K = |\mathcal{W}|$.

We assume that the size of each subfile in the set $\mathcal{W}$ can be between 1 to 1000 bits. In order to make sure that our comparison does not depend on a particular content placement, we take 100 samples uniformly among all placement possibilities. We consider a system with the number of users $K = 3, 6, 8, 10$. For each system, the number $\tau_K$ of non-zero subfiles can change from $K$ to $K^2 K^{-1}$. For $K = 3$, since the number $\tau_3$ of subfile is at most 12, it is possible to find all feasible packets and solve the ILP of Problem 2. This is the reason the optimal solution of Problem 1 is only calculated for $K = 3$. Fig. 4a-4b shows the average number of bits requires to be sent by the server versus the number of subfiles with non-zero size for $K = 3, 6$. As can be seen from Fig. 4a, when $K = 3$, all coded delivery schemes (that is, SACM, GCM, GCC, GCLC, HgLC, and GCCM schemes) perform almost the same as the optimal clique cover delivery scheme as their confidence intervals overlap. However, as can be observed from Fig. 4b, when $K = 6$, our proposed algorithm (SACM) outperforms GCM, GCC, GCLC, HgLC, and GCCM. Further, it can be observed that as the number of subfiles with non-zero size increases, the ratio of the number of bits required to be sent by GCCM scheme to that of our proposed algorithm (SACM) increases while the ratio of GCCM, GCLC, and HgLC schemes to our algorithm (SACM) increases at first and then decreases.

In order to study the effect of number $K$ of users, we have plotted the average number of bits requires to be sent by the server versus the number of subfiles with non-zero size for $K = 8, 10$. As can be seen from Fig. 4a-4d, our proposed algorithm (SACM) significantly outperforms GCM, GCC, GCLC, HgLC, and GCCM schemes when there are more users. Our simulation results indicate that for a system of 10 users, our proposed algorithm (SACM) can reduce
Comparison of our proposed algorithm (SACM), GCM, GCC, GCLC, HgLC, GCCM, and the optimal solution of Problem 1 for a system of $K = 3$ users.

Comparison of our proposed algorithm (SACM), GCC, GCLC, HgLC, GCCM, and GCM for a system of $K = 6$ users.

Comparison of our proposed algorithm (SACM), GCC, GCLC, HgLC, GCCM for a system of $K = 8$ users.

Comparison of our proposed algorithm (SACM), GCC, GCLC, HgLC, and GCCM for a system of $K = 10$ users.

Fig. 4

Table I indicates the bandwidth reduction for our proposed algorithm compared to the uncoded delivery. As can be seen from the table, for a system of 10 users, our proposed algorithm can reduce the number of bits required to be sent up to 72%.

VI. CONCLUSION

In this work, given an arbitrary content placement, we formulated the optimal clique cover delivery problem and showed that it can be represented as an Integer Linear Program (ILP). We then proposed an approximation algorithm for the optimal clique cover delivery problem by investigating the connection between our problem and the weighted set cover problem. We showed that for a system with $K$ users, our proposed algorithm provides $(1 + \log K)$-approximation for the optimal clique cover delivery problem, while the approximation ratio for the existing coded delivery schemes is linear in $K$. Further, through simulations, we showed that our proposed algorithm can remarkably decrease the bandwidth required for satisfying the demands of the users compared to the existing coded delivery schemes for almost all content placement schemes.

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Then, Algorithm 3 provides a $(1 + \log d)$-approximation to the minimum weighted set cover problem where $W$ is the set of elements that needs to be covered, $\mathcal{P}$ is the set of subsets of $W$, and for each $\mathcal{P} \in \mathcal{P}$, $\mathbb{E}(\mathcal{P})$ is the weight of subset of $\mathcal{P}$ of elements \cite{26}.

Let $\mathcal{T}_1 = \{1, 2, \ldots, \lambda_K\}$, $\mathcal{P}(s)$ be the selected packet at the $s$-th iteration, and $\mathcal{P}_k(s)$ be packet $\mathcal{P}_k$ at the beginning of $s$-th iteration of Algorithm 3. Then, for $\text{iter} = 2, \ldots, \infty$,

$$\mathcal{T}_1^{(\text{iter})} = \{k : k \in \mathcal{T}_1^{(1)}, \mathcal{P}_k^{(1)} \cap \mathcal{P}(s) = \emptyset \text{ for all } 1 \leq s \leq \text{iter} - 1\}.$$ (7)

$$\mathcal{T}_2^{(\text{iter})} = \mathcal{T}_1^{(1)} \setminus \mathcal{T}_1^{(\text{iter})}.$$ (8)

Note that $\mathcal{T}_1^{(\text{iter})}$ denotes the set of indices of packets that do not intersect with any selected packet before iteration $\text{iter}$, and $\mathcal{T}_2^{(\text{iter})}$ is its complement. Further, define

$$\mathcal{A}_k^{(\text{iter})} = \{\mathcal{P}_k^{(\text{iter})} : k \in \mathcal{T}_1^{(\text{iter})}\}.$$ (9)

Now, we state some preliminary results and then, use them to prove Lemma 1.

**Claim 1.** For any $k \in \mathcal{T}_1^{(1)} = \{1, 2, \ldots, \lambda_K\}$ and for any iteration $\text{iter}$, $\mathcal{P}_k^{(\text{iter})}$ is either an empty packet or a feasible packet.

**Proof:** To show this, note that $\mathcal{P}_k^{(\text{iter})}$ is a feasible packet (it belongs to $\mathcal{P}_k$). Now assume that $\mathcal{P}_k^{(\text{iter}-1)}$ is either an empty packet or a feasible packet. Note that $\mathcal{P}(s)$ is a feasible packet for any iteration $s$ because it is equal to $\mathcal{P}_j^{(1)}$ for some $j \in \mathcal{T}_1^{(1)}$ and we know that all $\mathcal{P}_j^{(1)}$ are feasible. If $\mathcal{P}_k^{(\text{iter}-1)}$ is an empty packet, it is clear that $\mathcal{P}_k^{(\text{iter})}$ is also an empty packet. Further, if $\mathcal{P}_k^{(\text{iter}-1)}$ is a feasible packet, since $\mathcal{P}_k^{(\text{iter}-1)}$ is also a feasible packet, $\mathcal{P}_k^{(\text{iter})} = \mathcal{P}_k^{(\text{iter}-1)} \setminus \mathcal{P}_k^{(\text{iter}-1)}$ is clearly either an empty packet or a feasible packet.

**Algorithm 3** Weighted-Set-Cover-Solver \cite{26}

**Input:** Set of subsets $\mathcal{W}$ of $\mathbb{W}$ and set of all feasible subsets $\mathcal{P}$ labeled as $\{\mathcal{P}_1^{(1)}, \mathcal{P}_2^{(1)}, \ldots, \mathcal{P}_K^{(1)}\}$ where $\lambda_K = |\mathcal{P}|$.

1. $\mathcal{C} = \emptyset$
2. $\mathcal{E} = \mathcal{W}$
3. $\text{iter} = 1$
4. while $\mathcal{E} \neq \emptyset$
5. $j = \text{arg max}_{k=1,\ldots,\lambda_K} \frac{|\mathcal{P}_k^{(\text{iter})}|}{\mathbb{E}(\mathcal{P}_k^{(1)})}$
6. $\mathcal{P}^{(\text{iter})} = \mathcal{P}_j$
7. $\mathcal{C} = \mathcal{C} \cup \{\mathcal{P}^{(\text{iter})}\}$
8. $\mathcal{E} = \mathcal{E} \setminus \mathcal{P}^{(\text{iter})}$
9. for $k = 1, \ldots, \lambda_K$ do
10. $\mathcal{P}_k^{(\text{iter}+1)} = \mathcal{P}_k^{(\text{iter})} \setminus \mathcal{P}^{(\text{iter})}$
11. $\text{iter} = \text{iter} + 1$

**Output:** Set of packets $\mathcal{C}$.

The proof is based on the following result for the minimum weighted set cover problem.

**Lemma 2.** Let $d$ be the size of the largest set $\mathcal{P} \in \mathcal{P}$. Then, Algorithm 3 provides a $(1 + \log d)$-approximation to the minimum weighted set cover problem.

**Proof:** Note that this algorithm is exactly the one proposed in \cite{26} which has been intentionally described as in Algorithm 3 for the sake of comparison with Algorithm 1.
Claim 2. For any \( k \in T_1^{(iter)} \), \( P_k^{(iter)} \) does not intersect with any selected packet before \( iter \)-th iteration, that is, \( P_k^{(iter)} \cap P^{(s)} = \emptyset \), \( \forall \ 1 \leq s \leq iter - 1 \).

Proof: This proof results from the update equation for \( P_k^{(iter)} \) given in Algorithm 3.

Claim 3. For any \( k \in T_1^{(iter)} \), \( P_k^{(1)} = P_k^{(2)} = \ldots = P_k^{(iter)} \), that is, packet \( P_k^{(1)} \) does not change over the first \( iter - 1 \) iterations.

Proof: This proof results from the definition of set \( T_1^{(iter)} \) in (7). Since \( k \in T_1^{(iter)} \), \( P_k^{(1)} \) does not intersect with any \( P^{(s)} \) for \( s \leq iter - 1 \). Then, from the update equation for \( P_k^{(iter)} \) given in Algorithm 3, the correctness of Claim 3 can be concluded.

Claim 4. Let \( P_1 \) and \( P_2 \) be feasible packets and let \( P_3 = P_1 \setminus P_2 \). Then, \( E(P_3) \leq E(P_1) \).

Proof: The proof results from the definition \( E(.) \) in (2) and the fact that \( P_3 \subseteq P_1 \).

Now, we show that \( P_3^{(iter)} \) selected at the \( iter \)-th iteration of Algorithm 3 is the same as \( P \) chosen at the \( iter \)-th iteration of Algorithm 1. To this end, we show that

\[
\max_{k \in T_1^{(iter)}} \frac{|P_k^{(iter)}|}{E(P_k^{(iter)})} = \max_{k \in T_1^{(iter)}} \frac{|P_k^{(iter)}|}{E(P_k^{(1)})},
\]

(10)

that is, in order to find maximizer index \( k \in T_1^{(iter)} \), we only need to focus on \( k \)’s belonging to set \( T_1^{(iter)} \). To show this, choose any \( k \in T_1^{(iter)} \). Then, from Claim 1, \( P_k^{(iter)} \) is either an empty packet or a feasible packet.

- If \( P_k^{(iter)} \) is an empty packet, we have \( |P_k^{(iter)}| = 0 \) and hence index \( k \) is never chosen as long as there exists some packet \( P_k^{(iter)} \) with \( |P_k^{(iter)}| > 0 \). Therefore, we can ignore \( k \) in the optimization of (10). Further, if \( |P_k^{(iter)}| = 0 \) for all \( k' \in T_1^{(iter)} \), it can be easily observed that \( E = 0 \) at the beginning \( iter \)-th iteration, and hence, we do not need to solve (10).

- If \( P_k^{(iter)} \) is a feasible packet, then there should be some \( l_k \in T_1^{(iter)} \) such that \( P_k^{(1)} = P_k^{(iter)} \) because \( T_1^{(iter)} \) contains the indices of all feasible packets. Then from Claim 2, \( P_k^{(1)} \) does not intersect with any selected packet before \( iter \)-th iteration and hence, by definition of \( T_1^{(iter)} \) in (7), we have \( l_k \in T_1^{(iter)} \). Then, from Claim 3, we have

\[
P_k^{(1)} = P_k^{(2)} = \ldots = P_k^{(iter)}.
\]

(11)

Furthermore, from Claim 4, we have \( E(P_k^{(iter)}) \leq E(P_k^{(1)}) \) and since \( P_k^{(1)} = P_k^{(iter)} \), we have \( E(P_k^{(1)}) \leq E(P_k^{(iter)}) \). This means that

\[
\frac{|P_k^{(1)}|}{E(P_k^{(1)})} \geq \frac{|P_k^{(iter)}|}{E(P_k^{(iter)})} \Rightarrow \frac{|P_k^{(iter)}|}{E(P_k^{(iter)})} \geq \frac{|P_k^{(1)}|}{E(P_k^{(1)})},
\]

(12)

where (12) is correct because of (11). Therefore, we can ignore \( k \) in the optimization of (10) in favor of \( l_k \).

Consequently, in order to find the \( k \) maximizing \( \frac{|P_k^{(iter)}|}{E(P_k^{(iter)})} \), we only need to focus on set \( T_1^{(iter)} \) and hence, (10) is correct. Further, note that from (11) and (10), we have

\[
\max_{k \in T_1^{(iter)}} \frac{|P_k^{(iter)}|}{E(P_k^{(iter)})} = \max_{k \in T_1^{(iter)}} \frac{|P_k^{(iter)}|}{E(P_k^{(1)})} = \max_{k \in T_1^{(iter)}} \frac{|P_k^{(1)}|}{E(P_k^{(1)})} = \max_{P \in T_1^{(iter)}} \frac{|P|}{E(P)},
\]

(13)

where the last equality follows from the definition of \( T_1^{(iter)} \) in (9). Now, from the definition of function \( \text{PBO} \) and lines 5 and 6 of Algorithm 3, (13) means that \( |P^{(iter)}| = \text{PBO}(T_1^{(iter)}) \).

Note that \( T_1^{(iter)} \) is exactly the set \( T \) at the \( iter \)-th iteration of Algorithm 1. Therefore, \( P^{(iter)} \) and \( P \) are the same at the \( iter \)-th iteration of Algorithm 3 and Algorithm 1. Hence, these two algorithms are equivalent. Since Algorithm 3 provides a \((1 + \log d)\)-approximation to minimum weighted set cover problem, and further this problem and Problems 1 are equivalent, Algorithm 1 provides a \((1 + \log d)\)-approximation to Problems 1. Furthermore, note that in Problem 1, we have \( |P| \leq K \) for all \( P \in \mathcal{P} \). Therefore, Algorithm 3 provides a \((1 + \log K)\)-approximation to Problem 1.

Appendix B

Proof of Theorem 1

Let \( \mathcal{E} \) be any subset of \( \mathcal{W} \) and let \( \mathcal{T} \) denote the set of all possible feasible packets that can be generated from \( \mathcal{E} \). We define \( \mathcal{M} \subseteq [K] \) as the indices of users for which there exists a subfile in \( \mathcal{E} \) that needs to be sent. More specifically,

\[
\mathcal{M} = \{ k : k \in [K], \exists W_{k, \mathcal{A}} \in \mathcal{E} \text{ for some } \mathcal{A} \subseteq [K] \setminus \{k\} \}.
\]

(14)

Let \( \mathcal{T} \) be set of all non-empty subsets of \( \mathcal{M} \), that is, \( \mathcal{T} = \{ \mathcal{T} \subseteq \mathcal{M} : |\mathcal{T}| \neq 0 \} \). Then, we define for \( \mathcal{T} \in \mathcal{T} \),

\[
\mathcal{D}_\mathcal{T} = \{ P_{\mathcal{A} : k} : P_{\mathcal{A} : k} \in \mathcal{E}, \forall j \in \mathcal{T}, \mathcal{A}_j \neq \emptyset, \forall j \notin \mathcal{T}, \mathcal{A}_j = \emptyset \}.
\]

(15)

Claim 5. Let \( \mathcal{E} \) be any subset of \( \mathcal{W} \) and let \( \mathcal{T} \) denote the set of all possible feasible packets that can be generated from \( \mathcal{E} \). Define \( \mathcal{M} \) using (14) and \( \mathcal{T} = \{ \mathcal{T} \subseteq \mathcal{M} : |\mathcal{T}| \neq 0 \} \). Furthermore, for any \( \mathcal{T} \in \mathcal{T} \), define \( \mathcal{D}_\mathcal{T} \) using (15). Then the sets \( \mathcal{D}_\mathcal{T}, \mathcal{T} \in \mathcal{T} \), are disjoint and \( \cup_{\mathcal{T} \in \mathcal{T}} \mathcal{D}_\mathcal{T} = \mathcal{T} \).

Proof: The proof is easily concluded from the definition of set \( \mathcal{D}_\mathcal{T} \) in (15).

For any set \( \mathcal{T} \in \mathcal{T} \) and any \( j \in \mathcal{T} \), we define

\[
\mathcal{L}_{j, \mathcal{T}} = \{ W_{j, \mathcal{A}} : W_{j, \mathcal{A}} \in \mathcal{E}, \mathcal{T} \setminus \{j\} \subseteq \mathcal{A} \}.
\]

(16)

Further, we define \( \mathcal{L}_{j, \mathcal{T}} = \{ W_j \} \) with \( E(W_j) = \infty \) whenever \( \mathcal{L}_{j, \mathcal{T}} \) is empty from definition above. Note that \( \mathcal{L}_{j, \mathcal{T}} \) includes subfiles in \( \mathcal{E} \) needed to be sent to user \( j \) which are available in the cache of all users of set \( \mathcal{T} \setminus \{j\} \). If \( \mathcal{T} \setminus \{j\} = \emptyset \), then \( \mathcal{L}_{j, \mathcal{T}} \) includes subfiles in \( \mathcal{E} \) requested by user \( j \).

Claim 6. Given \( \mathcal{T} \in \mathcal{T} \), let \( \mathcal{D}_\mathcal{T} \) be defined as in (15) and \( \mathcal{L}_{j, \mathcal{T}} \) for all \( j \in \mathcal{T} \) be as defined in (16).
1) If $P_{A_i,k}$ is a feasible packet in $\mathcal{D}_T$, then for each $j \in T$, there is exactly one subfile $W_j,A_i \in P_{A_i,k}$ that $W_j,A_i \in L_j,T$.

2) If $P_{A_i,k}$ is a collection of subfiles $W_j,A_i$, one for each $j \in T$ where subfile $W_j,A_i \in L_j,T$, then $P_{A_i,k}$ is a feasible packet in $\mathcal{D}_T$.

**Proof:** We first prove part 1. According to (15), for any packet $P_{A_i,k} \in \mathcal{D}_T$, we have $A_i \neq N$ for all $j \in T$ and $A_i = N$ for all $j \in T$. For each $j \in T$, since $A_i \neq N$, there should be a subfile $W_j,A_i \in P_{A_i,k}$. Since $P_{A_i,k} \in \mathcal{D}_T$, we have $W_j,A_i \in E$. Furthermore, since $P_{A_i,k}$ is a feasible packet, we should have $T \setminus \{j\} \subseteq A_i$. For all $j \in T$. Hence, $W_j,A_i \in L_j,T$ for all $j \in T$.

To prove part 2, let $P_{A_i,k} = \{W_j,A_i : j \in T\}$. Since for each $j \in T$, $W_j,A_i \in L_j,T$, by definition of $L_j,T$ in (16), we know that $T \setminus \{j\} \subseteq A_i$. This is true for all $j \in T$, hence $P_{A_i,k} = \{W_j,A_i : j \in T\}$ satisfies the definition of feasible packets. Therefore, $P_{A_i,k}$ is a feasible packet and since $A_i \neq N$ for all $j \in T$ and $A_i = N$ for all $j \notin T$, $P_{A_i,k} \in \mathcal{D}_T$.

Claim 6 suggests that finding packet $P_{A_i,k} \in \mathcal{D}_T$ with the minimum size is equivalent to finding a collection of subfiles $V_j,T$, $j \in T$ where $V_j,T$ has the minimum size among the subfiles of set $L_j,T$. The following Lemma states this result.

**Claim 7.** Let $E$ be any subset of $W$ and let $T \subseteq T$. Define $\mathcal{D}_T$ from (15) and for all $j \in T$, define $L_j,T$ from (16). Then, if $V_j,T = \text{arg min}_{W \subseteq L_j,T} \mathbb{E}(W)$ and $R_T = \{V_j,T : j \in T\}$, we have $\min_{P \in \mathcal{D}_T} \mathbb{E}(P) = \mathbb{E}(R_T)$ where we define $L_j,T$ for any feasible packet $P \in \mathcal{D}_T$, which immediately implies that $\min_{P \in \mathcal{D}_T} \mathbb{E}(P) = \max_{P \in \mathcal{D}_T} \mathbb{E}(P)$.

**Proof:** From part 1 of Claim 6, we know that each feasible packet $P \in \mathcal{D}_T$ includes exactly one subfile $W_j,A_i \in L_j,T$ for each $j \in T$. Consider a feasible packet $P \in \mathcal{D}_T$, then we can write $\mathbb{E}(P)$ as $\mathbb{E}(P) = \max_{j \in T} \mathbb{E}(W_j,A_i)$. Since $V_j,T$ is the subfile with the smallest size in $L_j,T$, we have $\mathbb{E}(W_j,A_i) \geq \mathbb{E}(V_j,T)$ for each $j \in T$. Consequently, $\mathbb{E}(P) \geq \max_{j \in T} \mathbb{E}(V_j,T)$ for any feasible packet $P \in \mathcal{D}_T$ which immediately implies that $\min_{P \in \mathcal{D}_T} \mathbb{E}(P) = \max_{P \in \mathcal{D}_T} \mathbb{E}(P)$. Now, from part 2 of Claim 6, we know that $R_T = \{V_j,T : j \in T\}$ is indeed a feasible packet. This means that $\mathbb{E}(R_T) \geq \min_{P \in \mathcal{D}_T} \mathbb{E}(P)$. Furthermore, $\max_{j \in T} \mathbb{E}(V_j,T) = \mathbb{E}(R_T)$ from the definition of the size of a feasible packet. Then, we have $\mathbb{E}(R_T) = \min_{P \in \mathcal{D}_T} \mathbb{E}(P) = \max_{P \in \mathcal{D}_T} \mathbb{E}(P_j,T)$ for all $j \in T$. Hence, the above inequalities must hold with equality, and the proof of the claim is complete.

Now we use the above results to prove Theorem 1 as follows,

$$\text{PBO} = \max_{P_{A_i,k} \in \mathcal{P}_{A_i,k}} \frac{|P_{A_i,k}|}{\mathbb{E}(P_{A_i,k})} = \max_{T \subseteq \mathcal{D}_T} \frac{|T|}{\max_{P \in \mathcal{D}_T} \mathbb{E}(P)} = \max_{T \subseteq \mathcal{D}_T} \frac{|T|}{\mathbb{E}(R_T)} = \text{SBO}(E),$$

where the first equality is true by definition of function PBO, the second equality is true because of Claim 5, the third equality is true because for all $P_{A_i,k} \in \mathcal{D}_T$, $|P_{A_i,k}| = |T|$, the fifth equality is true by Claim 7, and the last equality is true by definition of function SBO.

**Appendix C**

**Proof of Theorem 2**

First note that lines 8-10 of Algorithm 1 guarantee that $\mathcal{J}$ at end of each while loop is the set of all possible feasible packets that can be generated from $E$ of line 7. Therefore from Theorem 1, the size of the packet obtained from function PBO is the same as the size of the packet obtained from function SBO. Hence, we can replace function PBO with function SBO and this not change the optimality order of Algorithm 1. In other words, Algorithm 2 provides a $(1 + \log K)$-approximation to Problem 1.

**Appendix D**

**Proof of Theorem 3**

We first prove part 1 of Theorem 3 by providing an instance of Problem 1 on which the approximation ratio of the greedy coded multicast (GCM) scheme is only $\frac{1}{2}$.

We use $[k]$ denote the set $\{1, \ldots, k\}$. Consider an instance of Problem 1 with $K$ users where $W = \{W_i[k]\}$ and $\mathbb{E}(W) = B$ bits for all $w \in W$. Fig. 6 indicates the graph for this instance of Problem 1. For this instance, the total number of bits sent by the conventional uncoded delivery is $KB$ while the optimal solution to Problem 1 sends packet $\mathbb{P} = \{W_{k,[k]} : k \in [K]\}$ with the total number of bits equal to $B$. Therefore, the ratio between the number of bits sent by the conventional uncoded delivery to the number of bits sent by the optimal solution to Problem 1 is $K$.

Next, we prove part 2 of Theorem 3 by providing an instance of Problem 1 on which the approximation ratio of the greedy coded multicast (GCM) scheme is only $\frac{1}{2}$.

We use $[k]$ denote the set $\{1, \ldots, k\}$. Consider an instance of Problem 1 with $K$ users where $W = \{W_i[k]\}$ and $\mathbb{E}(W) = B$ bits for all $w \in W$. Fig. 5 indicates the graph for this instance of Problem 1. According to GCM scheme [2]-[5], the packets $P_1 = \{W_{1},[k]\} \cup W_2$, $P_2 = \{W_{2},[k-1]\}$, $P_3 = \{W_{3},[k-2]\}$, ..., and $P_T = \{W_{T},[k-t-1]\}$ are sent with the total number of bits equal to $B!$. However, since $W$ is feasible (see Fig. 5), the optimal solution to Problem 1 sends packets $P_1 = W_1$ and $P_2 = W_2$ with the total number of bits of $B + \epsilon$. Therefore, the ratio between the number of bits sent by the GCM scheme to the number of bits sent by the optimal solution to Problem 1 is $\frac{B}{B + \epsilon} \geq 1 - \frac{1}{K}$. Furthermore, under our assumption that users request different files, one can easily observe that GCC, GCLC, and HgLC schemes all will simplify to the GCC algorithm. Therefore, for the example we provided
above, the ratio between the number of bits sent by these schemes to the number of bits sent by the optimal solution to Problem 1 is also $\frac{W}{\varepsilon} \geq K - 1 = \left\lceil \frac{K}{\varepsilon} \right\rceil$.

Finally, we prove part 3 of Theorem 3 by providing an instance of Problem 1 on which the approximation ratio of the graph coloring-based coded multicast (GCM) scheme is only $K - 1$. Consider an instance of Problem 1 with $K$ users and $\mathcal{W} = \mathcal{W}' \cup \mathcal{W}''$ where $\mathcal{W}' = \{W_k \cdot [k] : k \in [K]\}$, $\mathcal{W}'' = \{W_1(2), W_2(3), \ldots, W_{K-1}(1), W_{K}(1)\}$, $\mathbb{B}(W) = B$ bits for all $W \in \mathcal{W}'$ and $\mathbb{B}(W) = \varepsilon$ bits for all $W \in \mathcal{W}''$. Consider any graph $G$ for which the minimum clique cover problem (Problem 3) for this graph. Let's label vertices of this graph with $V_1, \ldots, V_K$. For each $k \in [K]$, define $\mathcal{A}_k = \{m : m \in [K] \setminus \{k\}, (V_k, V_m) \in E\}$. That is, $\mathcal{A}_k$ includes the indices of all vertices connected to vertex $V_k$. The sets $\mathcal{A}_k$ for all $k \in [K]$ can be computed in polynomial-time in $K$. Now, consider graph $G_c$ with the same vertices and edges where we have relabeled each vertex $V_k$ by $W_k \cdot [k]$ and all vertices have the weight of 1. Now, any clique of graph $G_c$ is a feasible packet in Problem 1. This means that, graph $G_c$ becomes an instance of Problem 1 where $\mathcal{W} = \{W_k \cdot [k] : k \in [K]\}$, and since $\mathbb{B}(W_k \cdot [k]) = 1$ for all $k \in [K]$, all feasible packets of $\mathcal{P}$ have the size of 1. Therefore, solving the minimum clique cover problem for graph $G$ is the same as solving Problem 1 for the graph $G_c$. In other words, any instance of the minimum clique cover problem can be written as a special case of Problem 1.

Now, by contradiction, assume there is a polynomial-time (in the number $K$ of users) algorithm for Problem 1 with the approximation ratio of $K^{1-\varepsilon}$ for some $\varepsilon > 0$. Since we have shown that the minimum clique cover problem is a special case of Problem 1, it means that this algorithm can approximate the minimum clique cover problem with the ratio of $K^{1-\varepsilon}$ for some $\varepsilon > 0$. However, this is a contradiction because we know that unless $P = NP$, there is no polynomial-time algorithm for the minimum clique cover problem on a $K$-vertex graph with the approximation ratio of $K^{1-\varepsilon}$ for any $\varepsilon > 0$ [33].