Output Feedback Stabilization of Switched Linear Systems with Limited Information

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Abstract

We propose an encoding and control strategy for the stabilization of switched systems with limited information, supposing the controller is given for each mode. Only the quantized output and the active mode of the plant at each sampling time are transmitted to the controller. Due to switching, the active mode of the plant may be different from that of the controller in the closed-loop system. Hence if switching occurs, the quantizer must recalculate a bounded set containing the estimation error for quantization at the next sampling time. We establish the global asymptotic stability under a slow-switching assumption on dwell time and average dwell time. To this end, we construct multiple discrete-time Lyapunov functions with respect to the estimated state and the size of the bounded set.

1 Introduction

Digital devices such as samplers, quantizers, and communication channels play an indispensable role in low-cost, intelligent control systems. This has motivated researchers to study control problems with limited information due to sampling and quantization, as surveyed in [1–3]. On the other hand, many systems encountered in practice have switching among several modes of operation. The stabilization problem of switched systems has also been studied extensively; see the book [4], the survey [5, 6], and many references therein.

Both sampling/quantization and switching are discrete-time dynamics and often appear in control systems simultaneously. The authors of [7–10] have studied quantized control for Markov jump discrete-time systems. In [11], the stabilization of Markov jump systems with uniformly sampled mode information is investigated. However, for switched systems with deterministic switching signals, most works deal with sampling/quantization and switching separately. Based on the result in [12], our previous work [13] has developed an output encoding strategy for switched system under an average dwell-time condition [14] but have not considered sampling.

The following difficulty arises from partial knowledge of the switching signal due to sampling: Switching can lead to the mismatch of the active modes between the plant and the controller. Accordingly, we need to prepare for another encoding strategy in case switching occurs. For the quantization at the next sampling time, an encoding strategy after a switch happens must include the estimation of intersample information, e.g., the state behavior in the sampling interval, from the transmitted data.

For switched systems with sampling and quantization, state feedback stabilization has been studied under a slow-switching assumption in [15, 16]. By contrast, we assume that the information on the quantized output and the active mode of the plant is transmitted to the controller at each sampling time. The objective of this paper is to develop an encoding and control strategy achieving global

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asymptotic stabilization for given controllers. The detection of switching within each sampling interval requires a dwell-time assumption. On the other hand, we also use an average dwell-time assumption for the convergence of the state to the origin.

Our proposed method can be seen as the extension of [15] from state feedback to output feedback and also that of [17] from non-switched systems to switched systems. A data-rate bound derived from our result is that from [17] maximized over all the subsystems.

We organize this paper as follows. In Section II, first we show the switched linear system and the information structure we consider. After placing some basic assumptions, we state the main result. Section III is devoted to the so-called “zooming-out” stage, whose objective is to measure the output adequately. In Section IV, we provide the encoding and control strategy that makes the state converges adequately. In Section V, we briefly comment on the Lyapunov stability. Finally we conclude this paper in Section VI.

**Notation:** Let $Z_+$ be the set of non-negative integers. For $t \in \mathbb{R}$, $[t]$ is the largest integer not greater than $t$.

Let $\lambda_{\min}(P)$ and $\lambda_{\max}(P)$ denote the smallest and the largest eigenvalue of $P \in \mathbb{R}^{n \times n}$. Let $M^T$ denote the transpose of $M \in \mathbb{R}^{m \times n}$.

The Euclidean norm of $v \in \mathbb{R}^n$ is denoted by $|v| = (v^Tv)^{1/2}$. The Euclidean induced norm of $M \in \mathbb{R}^{m \times n}$ is defined by $\|M\| = \sup\{|Mv| : v \in \mathbb{R}^n, |v| = 1\}$. For $v = [v_1 \ldots v_n]^T \in \mathbb{R}^n$, its maximum norm is $|v|_\infty = \max\{|v_1|, \ldots, |v_n|\}$, and the corresponding induced norm of $M \in \mathbb{R}^{m \times n}$ is given by $\|M\|_\infty = \sup\{|Mv|_\infty : v \in \mathbb{R}^n, |v|_\infty = 1\}$.

## 2 Output Stabilization of Switched Systems with Limited Information

### 2.1 Switched Systems and Information Structure

Consider the switched linear system

$$\dot{x} = A_\sigma x + B_\sigma u, \quad y = C_\sigma x,$$

where $x(t) \in \mathbb{R}^n$ is the state, $u(t) \in \mathbb{R}^m$ is the control input, and $y(t) \in \mathbb{R}^p$ is the output. For a finite index set $\mathcal{P}$, the function $\sigma : [0, \infty) \rightarrow \mathcal{P}$ is right-continuous and piecewise constant. We call $\sigma$ **switching signal** and the discontinuities of $\sigma$ **switching times**. Let $N_\sigma(t, s)$ stand for their number in the interval $(s, t]$.

To generate the control input $u$, we can use the following information on the output $y$ and the switching signal $\sigma$:

**Sampling:** Let $\tau_s > 0$ be the sampling period. The output $y$ and the switching signal $\sigma$ are measured only at sampling times $k\tau_s$ ($k \in Z_+$).

**Quantization:** Pick an odd positive number $N$. The measured output $y(k\tau_s)$ is encoded by an integer in $\{1, 2, \ldots, N^p\}$. This encoded output and the sampled switching signal $\sigma(k\tau_s)$ are transmitted to the controller.

For the Lyapunov stability in Section V, we take $N$ to be odd. Fig. 1 shows the closed loop we consider.

### 2.2 Main Result

Our first assumption is the stabilizability and observability of each subsystem.

**Assumption 2.1.** For every $p \in \mathcal{P}$, $(A_p, B_p)$ is stabilizable and $(C_p, A_p)$ is observable. We choose $K_p \in \mathbb{R}^{m \times n}$ so that $A_p + B_pK_p$ is Hurwitz. The sampling time $\tau_s$ is not pathological.
Figure 1: Sampled-data switched system with quantized output feedback

The non-pathological sampling time implies that $(C_p, e^{A_p \tau_s})$ is observable in the discrete-time sense. Next we assume that the switching signal $\sigma$ has the following slow-switching properties:

Assumption 2.2. Dwell time: Every interval between two switches is not smaller than the sampling period $\tau_s$. That is, $N_\sigma(t, s) \leq 1$ if $t - s \leq \tau_s$.

Average dwell time [14]: There exist $\tau_0 > 0$ and $N_0 \in \mathbb{N}$ such that

$$N_\sigma(t, s) \leq N_0 + \frac{t - s}{\tau_0}$$

for all $t > s \geq 0$.

Switching signals in Assumption 2.2 are called hybrid dwell-time signals [15, 18]. The assumption on dwell time is necessary for the detection of a switch between sampling times, while that on average dwell time is used in the proof that the state converges to the origin.

Furthermore, we extend the quantization assumption for systems with a single mode in [17] to switched systems.

Assumption 2.3. Let $\eta_p$ be the smallest natural number such that $W_p$ defined by

$$W_p = \begin{bmatrix} C_p \\ C_p e^{A_p \tau_s} \\ \vdots \\ C_p e^{A_p (\eta_p - 1) \tau_s} \end{bmatrix}$$

has full column rank. Let $W_p^\dagger$ be a left inverse of $W_p$. Then

$$\|e^{A_p \eta_p \tau_s} W_p^\dagger\|_\infty \cdot \max_{0 \leq k \leq \eta_p - 1} \left\|C_p e^{A_p k \tau_s}\right\|_\infty < N$$

for all $p \in \mathcal{P}$.

Assumption 2.3 gives a lower bound on the available data rate implicitly, and (2.4) is the data-rate bound from [17] maximized over the individual modes. This assumption is used for finer quantization when a switch does not occur. Note that as $\tau_s$ becomes small, $e^{A_p \eta_p \tau_s}$ and $C_p e^{A_p k \tau_s}$ converges to $I$ and $C_p$, but that $W_p$ does not have full column rank in general if $\tau_s = 0$. Therefore the left side of (2.4) may not decrease as $\tau_s$ tends to zero.

If $C_p = I$, then $W_p = I$ and $\eta_p = 1$. Hence (2.4) is equivalent to the data rate assumption in the state feedback case [15].

The main result shows that global asymptotic stabilization is possible if the average dwell time is sufficiently large.
Theorem 2.4. Consider the switched system (2.1), and let Assumptions 2.1, 2.2, and 2.3 hold. If the average dwell time $\tau_a$ in (2.2) is larger than a certain value, then there exists an output encoding that achieves the following stability for every $x(0) \in \mathbb{R}^n$ and every $\sigma(0) \in P$:

Convergence to the origin:
\[
\lim_{t \to \infty} x(t) = 0. \tag{2.5}
\]

Lyapunov stability: To every $\varepsilon > 0$, there corresponds $\delta > 0$ such that if $|x(0)| < \delta$, then $|x(t)| < \varepsilon$ for $t \geq 0$.

A constructive proof of Theorem 2.4 is given in the next sections. We obtain a sufficient condition (4.27) on $\tau_a$ in the proof. As in [13,15,17], we show the convergence to the origin by dividing the proof into the “zooming-out” stage and the “zooming-in” stage.

3 Zooming-out Stage

The objective of the “zooming-out” stage is to generate an upper bound on the estimation error of the state. We have to obtain such a bound by using the quantized output and the switching signal at each sampling time.

Define $\eta$ by
\[
\eta = \max_{p \in P} \eta_p. \tag{3.1}
\]

At this stage, we set the control input $u = 0$. Assume that the average dwell time $\tau_a$ satisfies
\[
\tau_a > \eta \tau_s. \tag{3.2}
\]

Pick $\mu_0 > 0$ and $\chi > 0$, and define
\[
\mu_n = e^{(1+\chi)\max_{p \in P} \|A_p\| \infty n \tau_s} \mu_0 \tag{3.3}
\]
for $n \in \mathbb{Z}_+$. We construct the encoding function $Q_n$ by
\[
Q_n(y) = \begin{cases} 0 & \text{if } y(n \tau_s) \in \{y \in \mathbb{R}^p : |y|\infty \leq \mu_n\} \\ 1 & \text{otherwise.} \end{cases}
\]

The following theorem is used for the reconstruction of the state:

Theorem 3.1. If the average dwell time $\tau_a$ in (2.2) satisfies (3.2), then there exists an integer $n_0 \geq 0$ such that
\[
Q_n(y) = 0 \tag{3.4}
\]
\[
\sigma(n \tau_s) = \sigma(n_0 \tau_s) =: p \tag{3.5}
\]
for $n = n_0, n_0 + 1, \ldots, n_0 + \eta_p - 1$. Such $n_0$ satisfies $n_0 \leq n_1$, where $n_1$ depends on $N_0$ and $\tau_a$ in (2.2) but not on $\sigma$ itself.

To prove Theorem 3.1 we use the following property of average dwell time:

Lemma 3.2 ([13]). Fix an initial time $t_0 \geq 0$. Suppose that $\sigma$ satisfies (2.2). Let $\tau_0 \in (0, \tau_a)$, and choose an integer $m$ such that
\[
m > \frac{\tau_a}{\tau_a - \tau_0} \left( N_0 - \frac{\tau_0}{\tau_a} \right). \tag{3.6}
\]

There exists $T \in [t_0, t_0 + (m - 1)\tau_0]$ such that $N_\sigma(T + \tau_0, T) = 0$. 

Proof of Theorem 3.1. The growth rate of $\mu_n$ in (3.3) is larger than that of $|y|_\infty$ for arbitrary switching. Hence there is an integer $\bar{n}_0$ such that $|y|_\infty \leq \mu_n$ for $n \geq \bar{n}_0$, which leads to (3.4).

Let $m$ be an integer satisfying (3.6) with $\eta \tau_s$ in place of $\tau_0$. Since $\tau_a > \eta \tau_s$, Lemma 3.2 shows that $N_\sigma(T + \eta \tau_s, T) = 0$ for some $T \in [\bar{n}_0 \tau_s, (\bar{n}_0 + (m - 1)\eta) \tau_s]$. The interval $(T, T + \eta \tau_s)$ contains $\eta$ sampling times. Thus we have an integer $n_0 \in [\bar{n}_0, \bar{n}_0 + (m - 1)\eta]$ satisfying (3.4) and (3.5) for $n = n_0, n_0 + 1, \ldots, n_0 + \eta - 1$, and $n_0 \leq n_1 := \bar{n}_0 + (m - 1)\eta$.

In conjunction with the dwell-time assumption, (3.5) shows that the active mode of the plant does not change in $[n_0 \tau_s, (n_0 + \eta - 1) \tau_s]$. We can therefore reconstruct $x(n_0 \tau_s)$ by using $W_p$ in (2.3) and the output at $t = n_0 \tau_s, \ldots, (n_0 + \eta - 1) \tau_s$:

$$x(n_0 \tau_s) = W_p^\dagger \begin{bmatrix} y(n_0 \tau_s) \\ \vdots \\ y((n_0 + \eta - 1) \tau_s) \end{bmatrix}. \quad (3.7)$$

The rest of the procedure is the same as in the non-switched case [17]. Combining (3.4) and (3.7), we obtain

$$|x(n_0 \tau_s)|_\infty \leq \|W_p^\dagger\| \cdot \mu_{n_0 + \eta - 1} =: E_{n_0}. \quad (3.8)$$

It follows that

$$|x((n_0 + \eta) \tau_s)|_\infty \leq e^{\max_{p \in P} \|A_p\|_\infty \tau_s} \left\|e^{A_p((\eta - 1) \tau_s)}\right\|_\infty E_{n_0} =: E_{n_0 + \eta}. \quad (3.9)$$

Define the estimated state $\xi$ at $t = (n_0 + \eta) \tau_s$ by

$$\xi((n_0 + \eta) \tau_s) = 0. \quad (3.10)$$

Then the error $e = x - \xi$ satisfies $|e((n_0 + \eta) \tau_s)|_\infty \leq E_{n_0 + \eta}$. This completes the “zooming-out” stage.

4 Zooming-in Stage

Here we construct an encoding and control strategy for the convergence of the state to the origin. Since the size $E_k$ of the quantization region increases after a switch occurs, the term “zooming-in” may be misleading. However, in order to contrast the “zooming-out” phase in the previous section, we call the stage in this section the “zooming-in” stage as in [12][19].

Let $t_0 = k_0 \tau_s \geq 0$ be the initial time of the zooming-in stage or the time at which the upper bound $E_k$ of the estimation error is updated. Assume that $\sigma(k_0 \tau_s) = p$ and $|e(k_0 \tau_s)|_\infty \leq E_{k_0}$. Let $\xi$ and $e$ be the estimated state and the estimation error $x - \xi$, respectively.

4.1 Basic encoding and control method

If no switch happens, then we can use the encoding and control method for systems with a single mode in [17]. However, after a switch occurs, a modified upper bound on the estimation error is needed for the next quantized measurement. We shall obtain the upper bound in Section IV. C. 1). In this subsection, assuming that the state estimate $\xi(k_0)$ and the error bound $E_{k_0}$ are derived, we briefly state the encoding and control method because it will be needed in the sequel.

Let $\sigma(k_0 \tau_s) = p$. If no switch occurs in $(t_{k_0}, t_{k_0 + \eta_p} \tau_s]$, we set $k = \eta_p$, and otherwise we define $k$ by the minimum integer in $[1, \eta_p]$ such that $\sigma((k_0 + k - 1) \tau_s) \neq \sigma((k_0 + k) \tau_s)$. We generate the state estimate $\xi$ and output $\hat{y}$ by

$$\dot{\xi} = (A_p + B_p K_p)\xi, \quad \hat{y} = C_p \xi \quad (4.1)$$

for $t \in [k_0 \tau_s, (k_0 + k) \tau_s)$, and set the control input

$$u = K_p \xi. \quad (4.2)$$
Since $\dot{x} = A_p x + B_p K_p \xi$, it follows that $e = x - \xi$ satisfies
\begin{equation}
\dot{e} = A_p e.
\end{equation}

If $l = 0, \ldots, k - 1$, then
\begin{equation*}
|y((k_0 + l)\tau_s) - \dot{y}((k_0 + l)\tau_s)|_{\infty} \leq \left\| C_p e^{A_p l\tau_s} \right\|_{\infty} E_{k_0}.
\end{equation*}

For $l = 0, \ldots, k - 1$, divide the hypercube
\begin{equation}
\{ y \in \mathbb{R}^p : |y - \dot{y}((k_0 + l)\tau_s)|_{\infty} \leq \left\| C_p e^{A_p l\tau_s} \right\|_{\infty} E_{k_0} \}
\end{equation}
into $N^p$ equal boxes and assign a number in $\{1, \ldots, N^p\}$ to each divided box by a certain one-to-one mapping. The encoder sends to the decoder the number $Q_{k_0+l}$ of the divided box containing $y((k_0+l)\tau_s)$, and then the decoder generates $q_{k_0+l}$ equal to the center of the box with number $Q_{k_0+l}$. If $y((k_0+l)\tau_s)$ lies on the boundary on several boxes, then we can choose any one of them.

### 4.2 Non-switched case

The calculation of an upper bound $E_k$ on the estimation error is dependent of whether a switch occurs in an interval with length $\eta_p \tau_s$. Let us first study the case without switching in the interval $(k_0 \tau_s, (k_0 + \eta_p) \tau_s)$, i.e., the case $\sigma(k_0 \tau_s) = \cdots = \sigma((k_0 + \eta_p) \tau_s) =: p$.

#### 4.2.1 Calculation of an error bound

An upper bound $E_{k_0+\eta_p}$ on $|e((k_0 + \eta_p)\tau_s)|_{\infty}$ can be obtained in the same way as in [17]. We therefore omit the details of the calculation here.

Define
\begin{equation}
\theta_p = \frac{\left\| C_p e^{A_p \eta_p \tau_s} W_1 \right\|_{\infty} \cdot \max_{0 \leq k \leq \eta_p-1} \left\| C_p e^{A_p k\tau_s} \right\|_{\infty}}{N}.
\end{equation}

From the result in [17], if we appropriately determine $\xi$ at $t = (k_0 + \eta_p)\tau_s$ from the transmitted data $q_{k_0}, \ldots, q_{k_0+\eta_p-1}$, then we obtain $|e(t_0 + \eta_p \tau_s)|_{\infty} \leq E_{k_0+\eta_p}$, where $E_{k_0+\eta_p}$ is defined by
\begin{equation}
E_{k_0+\eta_p} = \theta_p E_{k_0}.
\end{equation}

Note that $\theta_p < 1$ for every $p \in \mathcal{P}$ by (2.4).

#### 4.2.2 Decrease rate of multiple Lyapunov functions

Here we construct a discrete-time Lyapunov function $V_p$ of mode $p$ with respect to $x(k\tau_s)$ and $E_k$. The calculation below is similar to that in the state feedback case [15], but we sketch it for completeness.

For simplicity of notation, we write $V_p(k)$ instead of $V_p(x(k\tau_s), E_k)$. We obtain an upper bound of $V_p(k_0+\eta_p)$ using $V_p(k_0)$.

First we obtain $x((k_0 + \eta_p)\tau_s)$ from $x(k_0\tau_s)$ and $e(k_0\tau_s)$. Since
\begin{equation}
\dot{x} = (A_p + B_p K_p) x - B_p K_p e
\end{equation}
for $t \in [k_0 \tau_s, (k_0 + \eta_p)\tau_s)$, it follows from (4.3) that
\begin{equation}
x((k_0 + \eta_p)\tau_s) = \tilde{A}_p x(k_0\tau_s) + \tilde{B}_p e(k_0\tau_s),
\end{equation}
where $\tilde{A}_p$ and $\tilde{B}_p$ are defined by
\[
\tilde{A}_p = e^{(A_p + B_p K_p) \eta p \tau_s} \quad \tilde{B}_p = \int_0^{\eta p \tau_s} e^{(A_p + B_p K_p)(\eta p \tau_s - t)} B_p K_p e^{A_p t} dt.
\]

Recall that $\tilde{A}_p$ is Hurwitz by Assumption $2.3$. To every positive definite matrix $Q_p$, there corresponds a positive definite matrix $P_p$ such that
\[
\tilde{A}_p^T P_p \tilde{A}_p - P_p = -Q_p. \tag{4.9}
\]

Fix $\rho_p > 0$ for each $p \in \mathcal{P}$. Similarly to [15], define the Lyapunov function $V_p$ by
\[
V_p(k) = V_p(x(k \tau_s), E_k) = x(k \tau_s)^T P_p x(k \tau_s) + \rho_p E_k^2. \tag{4.10}
\]

Pick $\kappa_p > 1$. A simple computation gives
\[
x((k_0 + \eta p) \tau_s)^T P_p x((k_0 + \eta p) \tau_s) - x(k_0 \tau_s)^T P_p x(k_0 \tau_s) \leq -\alpha_p |x(k_0 \tau_s)|^2 + \beta_p E_{k_0}^2, \tag{4.11}
\]
where $\alpha_p$ and $\beta_p$ are defined by
\[
\alpha_p = \frac{1}{\kappa_p} \lambda_{\min}(Q_p) \quad \beta_p = n \left( \frac{\kappa_p}{\kappa_p - 1} \frac{\|\tilde{A}_p^T P_p \tilde{B}_p\|^2}{\lambda_{\min}(Q_p)} + \frac{\|\tilde{B}_p^T P_p \tilde{B}_p\|}{\lambda_{\min}(Q_p)} \right).
\]

Combining (4.6) with (4.11), as in [15] Lemma 1], we obtain
\[
V_p(k_0 + \eta p) \leq \left( 1 - \frac{\alpha_p}{\lambda_{\max}(P_p)} \right) x(k_0 \tau_s)^T P_p x(k_0 \tau_s) + \left( \frac{\beta_p}{\rho_p} + \theta_p^2 \right) \rho_p E_{k_0}^2, \tag{4.12}
\]

Since $\theta_p < 1$, we can choose $\rho_p$ so that
\[
\rho_p > \frac{\beta_p}{1 - \theta_p^2}. \tag{4.13}
\]

Then defining
\[
\nu_p := \max \left\{ 1 - \frac{\alpha_p}{\lambda_{\max}(P_p)}, \frac{\beta_p}{\rho_p} + \theta_p^2 \right\} < 1, \tag{4.14}
\]
we finally obtain
\[
V_p(k_0 + \eta p) \leq \nu_p V_p(k_0). \tag{4.15}
\]

Note that $\nu_p$ depends on $\kappa_p > 1$ and $\rho_p$ with (4.13). We can use these parameters to make the encoding and control strategy less conservative, i.e., to allow smaller average dwell time.

### 4.3 Switched case

Next we study the case in which a switch occurs in the interval $(k_0 \tau_s, (k_0 + \eta p) \tau_s]$. Suppose that $k \in \mathbb{N}$, with $k \leq \eta p$, for which the first switching time $T$ after $k_0 \tau_s$ satisfies $T \in ((k_0 + k - 1) \tau_s, (k_0 + k) \tau_s]$. That is, $\sigma((k_0 + k - 1) \tau_s) = \cdots = \sigma((k_0 + k - 1) \tau_s) =: p$ and $\sigma((k_0 + k) \tau_s) \neq \sigma((k_0 + k - 1) \tau_s) =: q$. In this case, the estimated state $\hat{\xi}$ and the controller input $u$ in the interval $[k_0 \tau_s, (k_0 + k) \tau_s]$ are given by (4.1) and (4.2), respectively. Note that the switching information is not transmitted instantly. However, the controller can detect the switch at the next sampling time. This is because the dwell-time condition in Assumption $2.2$ implies that at most one switch occurs between sampling time.
4.3.1 Calculation of an error bound

Our first objective here is to obtain an upper bound $E_{k_0+k}$ of $|e((k_0 + k)\tau_s)|_\infty$ from the information $\bar{\xi}(k_0\tau_s)$ and $E_{k_0}$ available to the quantizer.

**Lemma 4.1.** Define $H_{p,q}$, $\bar{\delta}_{p,q}(k)$, and $\bar{\gamma}'_{p,q}(k)$ by

$$H_{p,q} = (A_q - A_p) + (B_q - B_p)K_p$$

$$\bar{\delta}_{p,q}(k) = \max_{0 \leq \tau \leq \tau_s} \left\| \int_\tau^{\tau_s} e^{A_q(\tau_t-t)} H_{p,q} e^{(A_p+B_pK_p)(t+(k-1)\tau_s)} dt \right\|_\infty$$

$$\bar{\gamma}'_{p,q}(k) = \max_{0 \leq \tau \leq \tau_s} \left\| e^{A_q(\tau_t-t)} e^{A_p((k-1)\tau_s+\tau)} \right\|_\infty.$$

Then we obtain the following upper bound of $|e(t_0 + k\tau_s)|_\infty$:

$$|e((k_0 + k)\tau_s)|_\infty \leq \bar{\delta}_{p,q}(k)|\bar{\xi}(k_0\tau_s)|_\infty + \bar{\gamma}'_{p,q}(k)E_{k_0} =: E_{k_0+k}.$$  \hspace{1cm} (4.16)

**Proof.** Since $e$ is determined by (4.3) before the switching time $T$, it follows that

$$e(T) = e^{A_p(T-k_0\tau_s)} e(k_0\tau_s).$$

Let us consider the error behavior for $t > T$. The mode of the plant changes from $p$ to $q$ after $T$, while that of the controller is still $p$. We therefore have

$$\dot{x} = A_qx + B_qK_p\bar{\xi},$$

and it follows that $e$ satisfies

$$\dot{e} = A_qe + H_{p,q}\bar{\xi}$$

for $t > T$, where $H_{p,q}$ is defined by (4.15).

As regards $\bar{\xi}$, (4.14) gives

$$\bar{\xi}(t) = e^{(A_q+B_qK_p)(t-k_0\tau_s)} \bar{\xi}(k_0\tau_s)$$

for $t \in [k_0\tau_s,(k_0 + k)\tau_s]$. Substituting this into (4.18), we obtain

$$e((k_0 + k)\tau_s) = e^{A_q(\tau_t-t)} e^{A_p((k-1)\tau_s+\tau)} e(k_0\tau_s) + \int_\tau^{\tau_s} e^{A_q(\tau_t-t)} H_{p,q} e^{A_p((k-1)\tau_s+\tau)} dt \cdot \bar{\xi}(k_0\tau_s),$$

where $\tau = T - (k_0 + k - 1)\tau_s$ and $0 < \tau \leq \tau_s$. Thus we obtain (4.16). \hfill \Box

**Remark 4.2.** (1) For simplicity, we use (4.19) for the estimated state at $t = (k_0 + k)\tau_s$. However, this estimate makes the corresponding bound $E_{k_0+k}$ be larger if the switch occurs just after the sampling time. To avoid this conservatism, two auxiliary time variables are used in [15] Section 4.2.

(2) The propose method discards the quantized measurements $q_{k_0}, \ldots, q_{k-1}$. If we use these data, then a better $E_k$ can be obtained, but the stability analysis becomes more involved. Therefore we do not proceed along this line in the present paper.

4.3.2 Increase rate of multiple Lyapunov functions

Let us next find an upper bound of $V_q(k_0 + k)$ described by $V_p(k_0)$. To this end, we need upper bounds on $|x((k_0 + k)\tau_s)|$ and $E_{k_0+k}$ by using $|x(k_0\tau_s)|$ and $E_{k_0}$.

**Lemma 4.3.** Define $\bar{\delta}_{p,q}$ and $\bar{\gamma}'_{p,q}$ as in Lemma 4.1 and also $\bar{\gamma}_{p,q}(k) = \bar{\delta}_{p,q}(k) + \bar{\gamma}'_{p,q}(k)$. Then we have

$$E_{k_0+k} \leq \bar{\delta}_{p,q}(k)|x(k_0\tau_s)| + \bar{\gamma}_{p,q}(k)E_{k_0}.$$  \hspace{1cm} (4.20)
Proof. This follows from the definition \([4.16]\) of \(E_{k_0+k}\) and
\[
|\xi(k_0\tau_s)|_\infty \leq |x(k_0\tau_s)|_\infty + |e(k_0\tau_s)|_\infty \leq |x(k_0\tau_s)| + E_{k_0}.
\]

\[\square\]

Remark 4.4. Note that \(E_{k_0+k}\) must be determined from the available data \(\xi(k_0\tau_s)\) and \(E_{k_0}\). In contrast, since the variables of the Lyapunov function \(V_q\) are \(x(k_0\tau_s)\) and \(E_{k_0}\), we need an upper bound on \(E_{k_0+k}\) described by \(x(k_0\tau_s)\) and \(E_{k_0}\). If we use \(\xi\) as a variable of the Lyapunov functions as in \([15]\), then the conservatism in Lemma \([4.3]\) can be avoided. Instead of that, however, \([4.11]\) becomes conservative.

Now we obtain an upper bound of \(|x((k_0+k)\tau_s)|\).

Lemma 4.5. Define \(\tilde{\alpha}_{p,q}(k)\) and \(\tilde{\beta}_{p,q}(k)\) by
\[
\tilde{\alpha}_{p,q}(k) = \max_{0 \leq \tau \leq \tau_s} \left\| e^{A_p(t-s)} e^{(A_p+B_p K_p)\tau} + \int_0^{\tau_s} e^{A_p(t-s)} B_{q,p} e^{(A_p+B_p K_p)(t+(k-1)\tau_s)} dt \right\|.
\]
\[
\tilde{\beta}_{p,q}(k) = \sqrt{n} \max_{0 \leq \tau \leq \tau_s} \left\| e^{A_p(t-s)} \int_0^{\tau} e^{A_p(t-s)} B_{q,p} e^{A_p t} dt \right. \left. - \int_\tau^{\tau_s} e^{A_p(t-s)} B_{q,p} e^{(A_p+B_p K_p)(t+(k-1)\tau_s)} dt \right\|.
\]

Then we derive
\[
|x((k_0+k)\tau_s)| \leq \tilde{\alpha}_{p,q}(k)|x(k_0\tau_s)| + \tilde{\beta}_{p,q}(k)E_{k_0}.
\] (4.21)

Proof. Recall that \(x\) and \(e\) satisfy \((4.7)\) and \((4.3)\) before the switching time \(T\). Defining \(\tau(k) = T - k_0\tau_s\), we have
\[
x(T) = e^{(A_p+B_p K_p)\tau k} x(k_0\tau_s) + \int_0^{\tau(k)} e^{(A_p+B_p K_p)(t+(k-1)\tau_s)} B_{q,p} e^{A_p t} dt \cdot e(k_0\tau_s).
\] (4.22)

On the other hand, since \(x\) satisfies \((4.17)\) after the switching time \(T\), it follows from \((4.19)\) that
\[
x((k_0+k)\tau_s) = e^{A_p(t-s)} x(T) + \int_\tau^{\tau_s} e^{A_p(t-s)} B_{q,p} e^{(A_p+B_p K_p)(t+(k-1)\tau_s)} dt \cdot (x(k_0\tau_s) - e(k_0\tau_s)),
\] (4.23)

where \(\tau = T - (k_0+k-1)\tau_s\) and \(0 < \tau \leq \tau_s\). Substituting \((4.22)\) into \((4.23)\), we derive the desired result \((4.21)\).

\[\square\]

Similarly to \([15]\) Lemma \([2]\), \((4.20)\) and \((4.21)\) show that
\[
V_q(k_0+k) \leq \frac{2(\lambda_{max}(P_q) \tilde{\alpha}_{p,q}^2 + \rho_q \tilde{\beta}_{p,q}^2)}{\lambda_{min}(P_p)} x(k_0\tau_s)^T P_q x(k_0\tau_s) + \frac{2(\lambda_{max}(P_q) \tilde{\beta}_{p,q}^2 + \rho_q \tilde{\gamma}_{p,q}^2)}{\rho_p} E_{k_0}^2.
\] (4.24)

Thus if the switching time \(T \in ((k_0+k-1)\tau_s,(k_0+k)\tau_s]\), then the bound \((4.24)\) gives
\[
V_q(k_0+k) \leq \tilde{\nu}_{p,q}(k) V_p(k_0),
\] (4.25)

where \(\tilde{\nu}_{p,q}(k)\) is defined by
\[
\tilde{\nu}_{p,q}(k) = \max \left\{ \frac{2(\lambda_{max}(P_q) \tilde{\alpha}_{p,q}^2 + \rho_q \tilde{\beta}_{p,q}^2)}{\lambda_{min}(P_p)}, \frac{2(\lambda_{max}(P_q) \tilde{\beta}_{p,q}^2 + \rho_q \tilde{\gamma}_{p,q}^2)}{\rho_p} \right\}.
\]
4.4 Convergence to the origin

Finally, we combine the average dwell-time property with the bounds \((4.14)\) and \((4.25)\) on the Lyapunov functions.

**Lemma 4.6.** Define \(\nu\) and \(\bar{\nu}\) by

\[
\nu = \max_{p \in P} \nu_p, \quad \bar{\nu} = \max_{p \neq q} \max_{1 \leq k \leq \eta_p} \bar{\nu}_{p,q}(k).
\]

If the average dwell time \(\tau_a\) satisfies

\[
\tau_a > \left(1 + \frac{\log \bar{\nu}}{\log(1/\nu)}\right) \eta \tau_s,
\]

then the state converges to the origin, that is, \((2.5)\) holds.

**Proof.** If we have no switches, then convergence to the origin directly follows from stabilizability of each mode. Hence we assume that switches occur. Fix an integer \(M > k_0\). Let the switching times in the interval \((k_0 \tau_s, M \tau_s]\) be \(T_1, \ldots, T_r\). Suppose that \(T_i \in ((k_i - 1) \tau_s, k_i \tau_s]\). By Assumption 2.2, \(k_i - 1 \leq k_i - 1\) for \(i = 1, \ldots, r\).

Define \(\psi_i\) and \(\ell_i\) by

\[
\psi_i = \left\lfloor \frac{k_i - k_i - 1}{\eta \sigma(k_i - 1 \tau_s)} \right\rfloor, \quad \ell_i = k_i - 1 + \psi_i \eta \sigma(k_i - 1 \tau_s)
\]

for \(i = 1, \ldots, r\). Then \(\sigma(k_i \tau_s) \neq \sigma(\ell_i \tau_s)\) and \(\sigma(\ell_i \tau_s) = \sigma(k_{i-1} \tau_s)\). Moreover, since

\[
\frac{k - n + 1}{n} \leq \left\lfloor \frac{k}{n} \right\rfloor \leq \frac{k}{n}
\]

for \(k, n \in \mathbb{N}\), it follows that \(1 \leq k_i - 1 \leq \eta \sigma(k_{i-1} \tau_s)\). This means that we have \(\psi_i\) intervals with length \(\eta \sigma(k_{i-1} \tau_s)\) in which no switch occurs and that the switched case in Section IV. C starts at \(t = \ell_i \tau_s\). We therefore obtain

\[
V_{\sigma(k_i \tau_s)}(k_i) \leq \bar{\nu} V_{\sigma(\ell_i \tau_s)}(\ell_i) \leq \bar{\nu} \nu^{\psi_i} V_{\sigma(k_{i-1} \tau_s)}(k_{i-1})
\]

for \(i = 1, \ldots, r\).

Now we investigate the Lyapunov functions after the last switching time \(T_r\). As before, define

\[
\psi_{r+1} = \left\lfloor \frac{M - k_r}{\eta \sigma(k_r \tau_s)} \right\rfloor, \quad \ell_{r+1} = k_r + \psi_{r+1} \eta \sigma(k_r \tau_s).
\]

A discussion similar to the above shows that

\[
V_{\sigma(M \tau_s)}(M) \leq \bar{\nu} \nu^{\psi_{r+1}} V_{\sigma(k_r \tau_s)}(k_r) \text{ for some } \bar{\nu} > 0.
\]

Let us combine the Lyapunov functions before and after the last switching time \(T_r\). Define \(\psi\) by

\[
\psi = \sum_{i=1}^{r+1} \psi_i.
\]

Then \((4.29)\) and \((4.30)\) shows that

\[
V_{\sigma(M \tau_s)}(M) \leq \bar{\nu} \nu^{\psi} V_{\sigma(k_0 \tau_s)}(k_0).
\]

We see from \((4.28)\) that \(\psi\) in \((4.31)\) satisfies

\[
\psi \geq \frac{M - k_0 + 1}{\eta} - (r + 1),
\]

\[
(4.33)
\]
where \( \eta \) is defined by (3.1). Substituting (4.33) into (4.32), we obtain
\[
V_{\sigma(M\tau_s)}(M) \leq \hat{\nu}^{1/\eta-1} \cdot \hat{\nu}^{r(M-k_0)/\eta-r} V_{\sigma(k_0\tau_s)}(k_0).
\] (4.34)

Suppose that \( r = N_\sigma(M\tau_s, k_0\tau_s) \) satisfies the average dwell-time condition (2.2). Then
\[
\hat{\nu}^{r(M-k_0)/\eta-r} \leq \hat{\nu}^{N_0\nu-N_0} \cdot \left( \hat{\nu}^{\tau_s/\nu-1/\eta-1} \right)^{M-k_0}.
\]

Thus if \( \tau_a \) satisfies \( \hat{\nu}^{\tau_s/\nu-1/\eta-1} < 1 \), i.e., (4.27) holds, then we have \( \lim_{M \to \infty} V_{\sigma(M\tau_s)}(M) = 0 \).

From the convergence of \( V_\sigma \), we easily obtain the desired result (2.5). The definition (4.10) of \( V_{\sigma(M\tau_s)} \) shows that \( |x(M\tau_s)| \) and \( |e(M\tau_s)|_\infty \) are bounded by the constant multiplication of \( \sqrt{V_{\sigma(M\tau_s)}(M)} \), and so is \( |\xi(M\tau_s)| \). Hence
\[
\lim_{M \to \infty} x(M\tau_s) = \lim_{M \to \infty} \xi(M\tau_s) = 0.
\]

Since the behavior of \( x \) between sampling times is given by (4.7) and (4.17), it follows that
\[
|x(M\tau_s + \tau)| \leq L_1|x(M\tau_s)| + L_2|\xi(M\tau_s)| \quad (0 < \tau < \tau_s)
\]
for some \( L_1, L_2 \geq 0 \). Thus the state converges to the origin not only at sampling times but also in sampling intervals.

**Remark 4.7.** (1) To avoid a trivial result, we assume that \( \hat{\nu} \geq 1 \). Then (4.27) implies (3.2), which is the assumption on \( \tau_a \) at the “zooming-out” stage.

(2) From (4.27), we see the relationship between switching and data rate. If we increase \( N \) in (2.4), then \( \gamma_p \) defined by (4.5) decreases and hence so do \( \nu_p \) in (4.13) and \( \nu \) in (4.26). This leads to a decrease in \( \tau_a \).

(3) Piecewise linear Lyapunov functions are also applicable if an induced norm of \( e^{(A_p+B_pK_p)\eta\tau_s} \) is less than one for every \( p \in \mathcal{P} \). For example, \( \|e^{(A_p+B_pK_p)\eta\tau_s}\|_\infty < 1 \) allows us to construct \( V_p = |x|_\infty + \rho_p E \) and \( V_p = |\xi|_\infty + \rho_p E \). The advantage is that the computation of their upper bounds are simpler than in the quadratic case. Such Lyapunov functions may provide less conservative results.

## 5 Lyapunov Stability

The point here is to find an upper bound on the finish time of the “zooming-out” stage and that on the time after which the state with non-zero control input remains in \( \varepsilon \)-neighborhood of the origin at the “zooming-in” stage. The former follows from Lemma 3.2 and the latter proceeds along the same lines as in Sec. 5.5 of [15].

Let us first investigate the final time of the “zooming-out” stage.

Assume that the average dwell time condition (4.27) holds, and let \( m \) be an integer satisfying (3.6) with \( \eta \tau_s \) in place of \( \tau_0 \). Lemma 3.2 with \( t_0 = 0 \) shows that for such \( m \), there exists an integer \( n_0 \in [0, (m-1)\eta] \) such that
\[
\sigma(t) = \sigma(n_0\tau_s) =: p
\]
for \( t \in [n_0\tau_s, (n_0 + \eta - 1)\tau_s] \). Moreover, if \( \delta \) satisfies
\[
C_{\max} e^{\max_{p \in \mathcal{P}} \|A_p\|_\infty (m\eta-1)\tau_s} \delta < \mu_0,
\]
where we define \( C_{\max} = \max_{p \in \mathcal{P}} \|C_p\|_\infty \), then \( |y(n\tau_s)|_\infty \leq \mu_0 \leq \mu_n \), and hence \( Q_n(y) = 0 \) for \( n = 0, 1, \ldots, (m-1)\eta \). For \( E_{n_0} \) defined by (3.8), (3.7) shows that
\[
|x(n_0\tau_s)|_\infty \leq E_{n_0} \leq \max_{p \in \mathcal{P}} \|W_p^+\|_\infty \cdot \mu_{(m-1)\eta} =: E_0.
\]
In conjunction with (4.27), this shows that, for every
the Lyapunov function in (4.10) satisfies
of the origin at the “zooming-in” stage.

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Notice that (5.1) implies that
M
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This leads to
|x((n_0 + \eta_\delta)\tau_s)|_\infty \leq e^{\max_{p \in \mathcal{P}} \|A_p\|_\infty \tau_s} \left\| e^{A_p(\eta_\delta-1)\tau_s} \right\|_\infty E_{n_0} (=: E_{n_0+\eta_\delta})
\leq e^{\max_{p \in \mathcal{P}} \|A_p\|_\infty \tau_s} \cdot \max_{p \in \mathcal{P}} \left\| e^{A_p(\eta_\delta-1)\tau_s} \right\|_\infty \cdot \bar{E}_0 (=: \bar{E}).

for all \( p \in \mathcal{P} \) and \( n_0 \in [0, (m-1)\eta] \). This bound \( \bar{E} \) is independent on the switching signal and satisfies \( E_{n_0+\eta_\delta} \leq \bar{E} \).

Next we study the time after which the state with non-zero control input remains in \( \varepsilon \)-neighbourhood of the origin at the “zooming-in” stage.

The discussion above shows that the initial time \( t_0 := k_0\tau_s := (n_0 + \eta_\delta)\tau_s \) of the “zooming-in” stage satisfies \( k_0 \leq m \) and that \( |x(k_0\tau_s)|_\infty \leq E_{k_0} \leq \bar{E} \). If we define \( \Lambda_{\max} \) by

\[
\Lambda_{\max} = \max_{p \in \mathcal{P}}(n\lambda_{\max}(P_p) + \rho_p),
\]

the Lyapunov function in (4.10) satisfies \( V_{\sigma(k_0)\tau_s} \leq \Lambda_{\max} \bar{E}^2 \). Thus it follows from (4.32) that, for \( M \geq k_0 \)

\[
V_{\sigma(M\tau_s)}(M) \leq \hat{\nu} \nu^\psi(M\tau_s, k_0\tau_s) \nu \Lambda_{\max} \bar{E}^2. \tag{5.1}
\]

In conjunction with (4.27), this shows that, for every \( \varepsilon > 0 \), there exists \( M_0 \geq 0 \) such that \( V_{\sigma(M\tau_s)}(M) < \varepsilon \) for \( M \geq M_0 \). Hence for every \( \varepsilon > 0 \), there exists \( T_0 \geq 0 \) such that

\[
|x(t)|_\infty < \varepsilon \quad (t \geq T_0). \tag{5.2}
\]

Notice that (5.1) implies that \( M_0 \) depends only on \( \varepsilon \), and so does \( T_0 \).

If we obtain

\[
|x(t)|_\infty < \varepsilon \quad (t \leq T_0), \tag{5.3}
\]

then combining (5.2) and (5.3) completes the proof for Lyapunov stability.

We see from (3.9), (4.4), and (4.6) that if \( \delta \) satisfies

\[
C_{\text{max}} e^{\max_{p \in \mathcal{P}} \|A_p\|_\infty T_0 \delta} \leq \frac{1}{\min_{p \in \mathcal{P}}} \min_{0 \leq \delta} \min_{p \in \mathcal{P}} \|C_p e^{A_p k\tau_s} \|_\infty \cdot (\min_{p \in \mathcal{P}})^{\theta_p} |T_0|^{\min_{p \in \mathcal{P}} \eta_p} \cdot \min_{p \in \mathcal{P}} \left\| e^{A_p(\eta_\delta-1)\tau_s} \right\|_\infty \cdot \|W_p\|_\infty \mu_0,
\]

then \( \hat{y}(k\tau_s) \) is zero for \( k\tau_s \leq T_0 \). This means that \( \xi(t) = u(t) = 0 \) for \( t \leq T_0 \). Therefore if \( \delta \) additionally satisfies

\[
e^{\max_{p \in \mathcal{P}} \|A_p\|_\infty T_0 \delta} < \varepsilon,
\]

then we have (5.3).

6 Numerical Example

Consider the continuous-time switched system (2.1) with the following two modes:

\[
A_1 = \begin{bmatrix} 0 & -1 \\ -1 & -2 \end{bmatrix}, \quad B_1 = \begin{bmatrix} 1 \\ -1 \end{bmatrix}, \quad C_1 = [1 \ 1]
\]

\[
A_2 = \begin{bmatrix} 1 & 2 \\ -2 & -1 \end{bmatrix}, \quad B_2 = \begin{bmatrix} -2 \\ 1 \end{bmatrix}, \quad C_2 = [1 \ -1].
\]

The feedback gains of each mode are \( K_1 = [-1 \ 2] \) and \( K_2 = [1 \ -1] \). Note that \( A_1 + B_1 K_2 \) and \( A_2 + B_2 K_1 \) have an unstable poles 2.2361 and 4, respectively. The sampling period \( \tau_s \) and the partition number \( N \) of the quantizer are \( \tau_s = 0.5 \) and \( N = 11 \).
We took $Q_1$ and $Q_2$ in (4.9) and the parameters of $\nu_1$ and $\nu_2$ in (4.13) as follows: $Q_1 = Q_2 = I$, $\kappa_1 = 1.124$, $\kappa_2 = 1.09$, $\rho_1 = 47$, and $\rho_2 = 80$. These were chosen by trial and error. We see from (4.27) that if $\tau_0 > 5.55$, our encoding and control strategy achieves the global asymptotic stabilization.

A time response in the interval $[0, 20]$ was calculated for $x(0) = [-3 \ 3]^T$, $\mu_0 = 0.1$, and $\chi = 1$. The switching signal was chosen so that the dwell time $\tau_d = 2.6$ holds with $N_0 = 1$ and the average dwell time $\tau_a = 5.8$. Fig. 2 shows the Euclidean norm of the state $x$ and the estimated state $\xi$. In this simulation, the “zooming-out” stage finished at $t = 1$ but we observe that the system was not controlled until $t = 2$. The reason is that the estimated state is zero at the end of “zooming-out” stage; see (3.10). This leads to no control input in the initial period of “zooming-in” stage as in the “zooming-out” stage. If the state of the plant is accessible, i.e., $C_1 = C_2 = I$, then we see from [15, Assumption 3] that if the number of symbols in the quantizer is not smaller than $3^2 + 1 = 10$, then the encoding and control strategy in [15] stabilizes the plant. On the other hand, the counterpart in the output feedback case from (2.4) is $5 + 1 = 6$. Hence in this example, if we consider only stabilization of systems with sufficiently large average-dwell time property, then the use of the output with lower dimension than the dimension of the state has the advantage in terms of data rate.

7 Concluding Remarks

We have studied the problem of stabilizing a switched linear system with limited information: the quantized output and active mode at each sampling time. We have supposed that the controller is given and have examined the intersample behavior of the estimation error for the encoding strategy after the detection of switching. Using multiple discrete-time Lyapunov functions, we have achieved global asymptotic stabilization under the hybrid dwell-time assumption. The data-rate bound used here is the maximum among the bounds of the individual subsystems that are from the earlier work.

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