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High-quality photonic crystals with a nearly complete band gap obtained by direct inversion of woodpile templates with titanium dioxide

Catherine Marichy1,2,*, Nicolas Muller3,*, Luis S. Froufe-Pérez1 & Frank Scheffold1

Photonic crystal materials are based on a periodic modulation of the dielectric constant on length scales comparable to the wavelength of light. These materials can exhibit photonic band gaps; frequency regions for which the propagation of electromagnetic radiation is forbidden due to the depletion of the density of states. In order to exhibit a full band gap, 3D PCs must present a threshold refractive index contrast that depends on the crystal structure. In the case of the so-called woodpile photonic crystals this threshold is comparably low, approximately 1.9 for the direct structure. Therefore direct or inverted woodpiles made of high refractive index materials like silicon, germanium or titanium dioxide are sought after. Here we show that, by combining multiphoton lithography and atomic layer deposition, we can achieve a direct inversion of polymer templates into TiO2 based photonic crystals. The obtained structures show remarkable optical properties in the near-infrared region with almost perfect specular reflectance, a transmission dip close to the detection limit and a Bragg length comparable to the lattice constant.

Even though three-dimensional Photonic Crystals (PCs), made of high index materials such as titanium dioxide1,2 and silicon1, have been fabricated for instance by successive sputtering and electron beam patterning or from opal templates4,5, the infiltration of a lithographically structured polymer template appears to be a particularly effective strategy for obtaining large three dimensional PCs7,8 and for embedding functional subunits for application in optical circuits or devices9–11. Tétreault et al. reported the first silicon replica of such a woodpile template using the silicon double inversion technique12. A SiO2 inverse woodpile PC was used as an intermediate structure that was subsequently infiltrated with silicon by employing high temperature chemical vapor deposition (CVD). Later, the same group reported on the fabrication of silicon inverse woodpile PCs7. In this case, a SiO2 shell was deposited around the polymer rods of the template to preserve the log-pile structure during the silicon deposition process. By these and related approaches, silicon hollow-rod woodpile PCs13, waveguides11, hyperunifrom14 and complete band gap PCs at telecommunication wavelengths around 1.54 μm8 have been obtained. Another well-known high refractive index material that has been widely used in the past is titania (TiO2, titanium dioxide)1,6,15. The advantages of titania, compared to silicon, are its transparency in the visible to mid-infrared region as well as the possibility to chemically wet-process. In addition, titania can be deposited at moderate temperatures around or below 100°C. This makes titania a particularly well-suited material to infiltrate three-dimensional polymeric scaffolds directly without the need for any additional infiltration steps which tend to lead to structural deterioration.

In this work we present the fabrication, structural and optical characterization of titania hollow-channel and inverse woodpile PCs. Our work reports two main achievements in the field. First, we report on the fabrication of high-index woodpile PCs in a single infiltration step process. This facilitates, as we will show, the fabrication of...
of structures and yields an outstanding structural integrity and surface quality. Second, we present a direct measurement of the Bragg length $L_B$ in our high-refractive-index PCs. The Bragg length is a key parameter for the characterization of photonic band gap materials as it sets the length scale an evanescent wave can penetrate into a PC material. As such it also sets the lower bound for the design of integrated structural features such as bends and cavities. The latter have to be separated by several Bragg lengths in order to prevent cross-talking or tunneling. Despite the importance of the Bragg length very little experimental data on high-refractive-index materials has been reported to date in the literature. A number of studies have the Bragg length for the case of low-index materials in the vicinity of the corresponding pseudo-gaps. Here we demonstrate that owing to the precision of our materials fabrication process we can reproducibly fabricate high-refractive-index photonic crystals with different layer thicknesses ranging from 12 to 32 layers (one unit cell is composed of four layers). By measuring the transmission dip minimum as a function of the thickness of the PC layer, we directly determine the Bragg length $L_B$ for one given lattice orientation.

Photonic titanium dioxide structures have already been realized in the past by single or double inversion/infiltration methods of polymer templates by sol-gel wet processing and via atomic layer deposition (ALD). ALD provides control of the film thickness at the atomic scale already at moderate temperatures. Consequently, ALD also allows the elaboration of a thin protective shell around thermally unstable polymer templates for example by depositing $\text{Al}_2\text{O}_3$ or $\text{TiO}_2$. In a subsequent step such stable hybrids can be infiltrated with silicon using thermal CVD as shown for the case of polymer woodpiles and hyperuniform network structures. While woodpile PCs made of a polymer core and of inorganic shell have also been obtained using TiO$_2$ ALD coating, a direct full inversion of polymer woodpile PCs with ALD of TiO$_2$ has not been reported so far to our knowledge. Graugnard et al. reported TiO$_2$ replica of holographically defined polymer templates by double inversion techniques using ALD. After inversion of the polymer structure into Al$_2$O$_3$ using a low temperature ALD process, a second ALD infiltration with TiO$_2$ was performed. The 3D PC consisted of 23 layers and the successful infiltration by ALD through several layers was demonstrated. Recently, direct TiO$_2$ woodpile PCs displaying a full PBG were obtained by a similar approach using an intermediate ALD ZnO step. Despite the comprehensive work that has already been performed on TiO$_2$ woodpile-based 3D PCs, very few of these studies show evidence for the theoretically predicted near-zero transmission dips as well as near 100% reflection peaks. This suggests that the material properties, such as mean refractive index and structural integrity, are not sufficiently close to the assumptions made in theoretical band structure calculations.

Results

Material fabrication and characterization. In the present work we show that direct infiltration with ALD and subsequent removal of the polymer template by calcination result in high-quality photonic materials that allow a near-quantitative comparison between calculated and experimental spectra of TiO$_2$ hollow-rod and inverse woodpile PCs. Combining multiphoton lithography, also known as direct laser writing (DLW), with atomic layer deposition, we successfully invert polymer woodpile templates into TiO$_2$. Due to the high conformity and the uniformity of the infiltration process, high-quality TiO$_2$ inverse woodpiles are obtained. The latter display remarkable optical properties in the near-infrared region ($1.4–1.7 \mu m$) with a reflectance peak close to 100% and a transmittance dip close to the detection limit.

The polymer woodpile templates, shown in Fig. 1a, are written using DLW and the novel dip-in method combined with a shaded-ring filter using the commercial ‘Photonic Professional’ platform (Nanoscribe GmbH, Germany). This particular combination of techniques permits to fabricate rods with a low aspect ratio and thus leads to structures with a unprecedented quality. Indeed, while by regular DLW stop bands with less than 60% in reflection are typically measured with unpolarized light, the initial PCs presented here exhibit a band gap of 80–85% in reflection and a dip in transmission lower than 20% (Fig. 2). The templates present on average a lateral rod spacing of $\sim$750 nm and a rod diameter of 235 nm yielding stop bands around 1.2–1.35 $\mu m$. Only small variations are observed between different fabrication runs. Massive polymer walls of 10 $\mu m$ thickness are lithographically placed around the woodpiles in order to increase their mechanical stability along the development and infiltration processes. Structures made of 24 layers are partially and completely infiltrated with TiO$_2$ by ALD at moderate deposition temperatures. The degree of infiltration is controlled by the number of applied cycles. We subsequently remove the polymer by high temperature calcination and transform the as-deposited amorphous titanium dioxide into its denser anatase phase. Incomplete infiltration with titanias thus leads to structures composed of hollow titanias rods and for longer infiltration times we obtain (almost) completely inverted titanias PCs. The infiltration, occurring in a conformal manner, unavoidable small voids are formed due to clogging that prevents the precursors to diffuse further in the final stages of the infiltration process. In Fig. 1b–d, scanning electron microscope (SEM) images recorded from cross-sections of both types of TiO$_2$ structures reveal their high-quality and the good homogeneity of the deposition from top to bottom without significant variations of the thickness. The bright contrast indicates the TiO$_2$ and the dark contrast the voids left over by the degraded polymer. One should note that the writing process is started in a virtual depth of 2 layers inside the glass substrate (shown in Fig. 1b,d) to guarantee a continuous laser writing process along the axial direction which is necessary to ensure the adhesion of the polymer template to the substrate. Woodpile PCs composed of hollow rods are obtained by partial infiltration (stopped after 1500 cycles). In Fig. 1c, a titania layer of finite thickness (indicated by black lines) is grown around the polymer rods (their initial positions are pointed out by dotted white circles). Moreover the quincunx distribution of out- and in-plane layers is clearly visible. The in-plane layers are highlighted by dotted white lines. Horizontal interspaces between two neighboring shells of an out of plane layer can be distinguished and indicate the absence of coalescence of the shells due to partial infiltration. In the case of the fully infiltrated TiO$_2$ inverse woodpile no such interspaces are noted and an over-layer is visible on top of the structure (Fig. 1d).
Atomic force microscopy (AFM) measurements (Supplementary Fig. 1) reveal the smoothness of the surface with variations of the root mean square roughness (RMS) along a rod on the order of 2–3 nm only which is a sign of the high conformity of the coating. In the AFM images of the hollow rod woodpile PCs the first layer perpendicular to the top one is visible while only the top rods are noted for the TiO$_2$ inverse structure, confirming the partial and full infiltration. Spectroscopy data in the mid-IR confirm the removal of the polymer during the high temperature annealing step, and the densification of the TiO$_2$ (Supplementary Fig. 2).

**Near infrared spectroscopy.** The optical properties of the structures are characterized by means of a Fourier transform infrared spectrometer in combination with a grazing angle objective. We measure the near-infrared reflectance and transmittance along incident angles which are contained in a cone ranging from 10° up to 30° with respect to the surface normal. The normalization of the transmittance and reflectance are performed on a bare glass substrate and a gold mirror, respectively. Figure 2 shows the optical response of polymer, TiO$_2$ hollow-rod and TiO$_2$ inverse woodpile PCs in transmittance and in reflectance. For the polymer woodpile PCs (full black lines) we observe a strong and narrow transmission dip as well as a strong reflection peak at 1.35 $\mu$m. After both partial and complete infiltration and polymer removal, a redshift as well as a broadening of the dip and peak are noticed. For the case of completely infiltrated structures, the transmittance drops below 2% and the reflectance reaches almost 100%. The inverse woodpile PCs (blue full line) show a robust stop band centered at 1.54 $\mu$m which is 18.5% wide, while the band gap at 1.5 $\mu$m of the hollow-rod woodpile is less well defined even though still relatively broad (see also Supplementary Fig. 4). As visible in Fig. 2a, the transmission characteristics of the TiO$_2$ hollow rod-structure (red full line) are not as well developed as for the inverse woodpile. For both titanium dioxide PCs, Fabry-Pérot fringes are visible, highlighting the good quality of the infiltration and the integrity of the structures during the entire fabrication process. Indeed, only high-quality PCs with homogeneous optical properties along the z-axis can exhibit such features.

**Comparison to numerical calculations.** For a comparison with numerics we calculate the total transmissi on and reflection spectra using Finite Difference Time Domain (FDTD) methods. To obtain suitable parameters for modeling the woodpile structure, photonic band structure calculations were first carried out in order to fit the $\Gamma$ – $X$ stop gap center and width (corresponding to normal incidence) to the measured spectra. As shown...
in Fig. 2, the position of the measured band gap, its depth, and the Fabry-Pérot oscillations are nearly quantitatively reproduced by the calculations. Outside the gaps we observe deviations. For small wavelengths we can attribute these deviations to diffraction and reflections at the interface. Diffraction from Bragg planes redirects incident light to well defined Bragg angles. Initially these angles are close to the backscattering direction but gradually they move towards angles as the wavelength is lowered. In the simulations we sum up all transmitted and reflected power and thus \( T + R = 1 \), while in the experiments the detection is limited to a finite range of detecting angles as explained in the methods section and therefore \( T + R < 1 \) outside the gap. For the higher wavelengths the differences between theory and experiment can be explained by interfacial reflections and scattering by residual lattice distortions.

In order to investigate the influence of the structure height on the stop band, we fabricate woodpile PCs templates of different heights with a number of layers ranging from 12 to 32. Subsequently, the templates are fully infiltrated with ALD TiO\(_2\) and calcinated. The number of ALD cycles has been reduced to 3500 in order to significantly decrease the cap-layer. The transmittance spectra of the obtained inverse woodpile PCs are presented in Fig. 2c. A minimum of 24 layers is required to reach a transmittance as low as 1–2%. A further increase of the height of the PC does not reduce the apparent depth of the gap. This observation can be explained by residual fabrication errors and distortions during development, infiltration and polymer degradation. However, the contribution of these imperfections cannot easily be quantified since the FTIR spectroscopy as well as the normalization can also lead to errors that may even become dominant for transmission coefficients on the order of one percent as shown in Fig. 2c,d.

Next we compare the experimental results to FDTD calculations at normal incidence (Fig. 3). Fitting the decay of \( T_{\text{gap}}(L) \) from FDTD calculations at a wavelength \( \lambda = 1553.9 \text{ nm} \) with an exponential we again find a Bragg length of \( \sim 4 \) layers which corresponds to the thickness of one unit cell. We define the Bragg length \( L_\beta \) as the transmittance decay length. Using this theoretically obtained Bragg length and a baseline transmittance of 1.2% an excellent fit to the experimental data is obtained. The difference in the prefactor of the exponential can be attributed to the subtle differences in the surface termination between the actual structure and the simulated one. The experimental, quantitative extraction of the Bragg length of a photonic material with a nearly complete bandgap is a remarkable result of this study. Previous measurements of the Bragg length have been reported for low-index polystyrene opal PCs and for silicon inverse woodpile PCs. In the latter case a Bragg attenuation length of \( \sim 7 \) layers was found. It is telling that this value reported previously is actually higher than the one measured in the present study despite the nominally lower refractive index of bulk TiO\(_2\). We thus believe that the small Bragg length observed in our study is yet another proof for the excellent quality of our titania PC. Finally, in Fig. 4, we show the calculated full band diagram obtained for the effective material properties extracted from the comparison of theory and experiment in transmission and reflection. In order to take the effect of voids in the structure into account a reduced effective refractive index \( n_{\text{eff}} = 2.12 \) is used for the calculations instead of \( n = 2.4 \).
The band structure displays a nearly complete band gap with a center wavelength of $1.54\,\mu m$. Only around the $U' - L - \Gamma$ directions, for a narrow solid angle, bands slightly overlap.

**Figure 4b** shows again the excellent agreement between experiment and numerical FDTD calculations for the case of normal incidence transmission.

**Discussion**

We were able to invert polymeric woodpile PCs into titania by using a single step infiltration approach. The high-quality and homogeneity of the as-infiltrated structures is confirmed by SEM and the presence of Fabry-Pérot fringes in the optical response. The PC materials fabricated exhibit very small values for transmission as well as a near 100% reflection peak at a wavelength of $1.54\,\mu m$ and a gap width of 18.5%. The results are obtained reproducibly and thus provide a robust platform for the future implementation of PC cavities and waveguiding. Thin air channels inside the inverted structure can be attributed to the conformal nature intrinsic to ALD processes and can be taken into account for FDTD modeling by using a reduced effective refractive index of TiO$_2$. The measured spectra are in good agreement with band diagram calculations and transport simulations. We can clearly distinguish between a partially and completely infiltrated case. Furthermore, the experimental method presented here is highly flexible and can be used to invert arbitrary two or three-dimensional polymeric structures into titania.
Methods

Direct-Laser-Writing (DLW). The woodpile photonic crystals were fabricated using a commercially available setup (Photonic Professional from Nanoscribe GmbH) in combination with the novel available Dip-In technique and a shaded ring filter. Structures were written on glass and CaF₂ (Crystan, UK) substrates by dipping the objective directly inside a liquid negative-tone photosensit (IP-DIP, Nanoscribe, Germany) and by fine-tuning the laser power. Two successive development baths in PGMEA (propylene glycol monomethyl ether acetate) for 10 min and a consecutive bath in isopropanol for 8 min were chosen. A gentle drying of the structures is achieved by redirecting a stream of N₂ through a bubbler containing isopropanol.

Titania Single-Inversion. Woodpile PCs were subsequently infiltrated with TiO₂ by atomic layer deposition (ALD). Depositions took place at 110 °C in a commercial ALD reactor (Savannah 100 by Cambridge Nanotech, Inc.) operating in exposure mode. A Si wafer was coated simultaneously as reference. Titanium isopropoxide (Sigma-Aldrich, 97% purity) and DI water were used as metal and oxygen source, respectively, and introduced alternately by pneumatic ALD valves. Their respective stainless steel reservoirs were kept at 80 °C and at room temperature. For the deposition, pulse times of 0.05 s and 0.02 s were used for the titanium precursor and oxygen source, respectively, under a carrier gas flow of 5 sccm. The residence time without N₂ flow and the purge time under 20 sccm of N₂ were set to 20 s and 90 s, respectively. Considering a nominal growth per cycle of 0.8 Å, the number of cycles was adjusted to yield partially and completely infiltrated woodpile PCs. A further calcination at 600 °C for 4 hours in a tubular furnace was performed to remove the polymer and to convert the as-deposited amorphous TiO₂ into the denser polycrystalline anatase phase. A heating ramp of 200 °C per hour was chosen to reduce the thermal deterioration of the structure during the calcination process. Indeed, according to the literature, the deposited films are amorphous at the chosen deposition temperature (≤150 °C)⁴¹. Furthermore, its conversion into anatase after annealing at 500 °C has been already reported⁴²,⁴³. To confirm once again the phase conversion of the TiO₂ from amorphous to anatase we carried out X-ray diffraction measurements before and after high temperature treatment shown in the supplemental information (Supplementary Fig. 3). Previous studies have reported n = 2.48 for the real part of the refractive index at x = 700 nm for a titania-film deposited under similar conditions. From this we estimate a refractive index of approximately n ∼ 2.4 in the near infrared region λ ∼ 1500 nm studied in our work. For comparison rutile TiO₂ is birefringent and we can calculate the average refractive index using a weighted sum approach⁴⁴ n = 2n₉/3 + n₉/3 = 2.54 with n₉ = 2.451 for the ordinary ray and n₉ = 2.709 for the extraordinary ray at x = 1530 nm (data tabulated in ref. 45). This refractive index value of n = 2.54 for the rutile phase is consistent with n ∼ 2.4 for the anatase phase which is known to be about 5% lower.

Material Characterization. The structure of a direct polymeric and TiO₂ inverted woodpiles was studied with scanning electron microscopy (SEM), using a Sirion FEG-XL30 S (FEI) microscope working between 5 and 10 kV. Cross-sections were realized by focused ion beam using a Dualbeam NOVA600 Nanolab FEI. The etching was realized using a Ga ion beam with an acceleration of 30 kV at a current of 3 nA for a couple of minutes followed by a cleaning at 30 kV and 1 nA beam. The surface roughness and topography of the inverted TiO₂ woodpile PCs were investigated by atomic force microscopy using a NTegra Aura (NT-MDT) in topographic mode with a SKM tip (Supplementary Fig. 1).

Optical Characterization. Measurements of the optical response of the woodpile PCs were taken using a Fourier transform IR interferometer (Bruker Vertex 70) coupled to a microscope (Bruker Hyperion 2000, liquid N₂-cooled InSb detector). The objective was a 36 × Cassegrain, numerical aperture 0.52. The transmittance of light incident at an angle between 10° and 30° to the surface normal was measured. Spectra were normalized on the same substrate as the residing structures and on a gold mirror for transmittance and reflectance measurements, respectively.

Numerical calculations of the PCs optical properties. Calculations of the transmittance and reflectance spectra were performed using the FDTD software package MEEP³⁷. Band structure calculations were carried out with the freely available MIT photonic bands (MPB) software⁴⁶. SEM micrographs, Fig. 1d, indicate that the air channels in the inverted and thermally annealed structure show an almost rectangular cross section. Thus for the calculations we assume a rectangular geometry with a height of R₉ = 415 nm and a width of R₉ = 243 nm. For the in-plane channel separation we use a = 651 nm and for the inter-plane distance h = 253 nm. As suggested previously⁸, the effect of the presence of voids in the inverted structure can be taken into account by considering an effective refractive index n_eff = n + Xn of the void, X being 0.388, while in a perfect FCC lattice h/a = 0.353.

For the ordinary ray, the deposited films are amorphous at the chosen deposition temperature (≤150 °C)⁴¹. For the structure can be taken into account by considering an effective refractive index.

n₂⁴ = 2.4 for bulk TiO₂ in the anatase phase.

The transmittance of plane waves at normal incidence (Γ − X') (see also Supplementary Fig. 4). The numerical comparison to the experimental data was performed using a cell size of edge length ~15.6 nm and assure that the energy is conserved at least within 0.3% in all cases. 

The band structure is calculated based on these assumptions is shown in Fig. 4a. In the inset of Fig. 4b, the path in reciprocal space is shown in detail together with a sketch of the first Brillouin zone of the lattice. As can be seen, the structure corresponds to a slightly stretched Face Center Cubic (FCC) lattice. Indeed, in the current case h/a = 0.388, while in a perfect FCC lattice h/a = 0.353.
To determine the transmission spectrum of the polymer woodpile templates shown in Fig. 2, we assume elliptically shaped rods. For the refractive index of the polymer we assume $n = 1.5$. (The parameters used in the numerical calculations are adapted for a best fit to the experimental data.) From this we obtain a rod height $R_h = 343$ nm, rod radius $R_w = 323$ nm, an in-plane rod separation $a = 689$ nm, and an inter-plane distance $h = 267$ nm. These lattice parameters are slightly larger, by about 6%, compared to the inverted titania structure. The exact origin of this small difference is not clear as the exact choice of the (best) fitting parameters can be influenced by a number of factors. First, the structure and the optical properties of the material are coupled via the average refractive index of the material. Second, during the inversion and calcination process small geometrical changes of the whole structure but also the rod/channel cross-section can be observed. All of this can have an influence on the optical parameters. Modeling such small imperfections is difficult and beyond the scope of this work.

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**Author Contributions**

F.S., C.M. and N.M. conceived the study. C.M. and N.M. contributed equally to the material synthesis, fabrication and optical characterization of the samples. L.S.F.P. performed the numerical simulations for band structures and light transport. All authors contributed equally to the writing of the manuscript.

**Additional Information**

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