First detection of the Crab Nebula at TeV energies with a Cherenkov telescope in a dual-mirror Schwarzschild-Couder configuration: the ASTRI-Horn telescope

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ABSTRACT

We report on the first detection of very high-energy (VHE) gamma-ray emission from the Crab Nebula by a Cherenkov telescope in dual-mirror Schwarzschild-Couder (SC) configuration. This result has been achieved by means of the 4-m ASTRI-Horn telescope, operated on Mt. Etna, Italy, and developed in the context of the Cherenkov Telescope Array Observatory preparatory phase. The dual-mirror SC design is aplanatic and characterized by a small plate scale, which allows us to implement large cameras with a large field of view, with small-size pixel sensors and a high level of compactness. The curved focal plane of the ASTRI camera is covered by silicon photo-multipliers (SiPMs), managed by an unconventional front-end electronic system that is based on a customized peak-sensing detector mode. The system includes internal and external calibration systems, hardware and software for control and acquisition, and the complete data archiving and processing chain. These observations of the Crab Nebula were carried out in December 2018 during the telescope verification phase for a total observation time (after data selection) of 24.4 h, equally divided between on- and off-axis source exposure. The camera system was still under commission and its functionality was not yet completely exploited. Furthermore, due to recent eruptions of the Etna Volcano, the mirror reflection efficiency was reduced. Nevertheless, the observations led to the detection of the source with a statistical significance of 5.4 σ above an energy threshold of ~3 TeV. This result provides an important step toward the use of dual-mirror systems in Cherenkov gamma-ray astronomy. A pathfinder mini-array based on nine ASTRI-like telescopes with a large field-of-view is in the course of implementation.

Key words. gamma rays: general — telescopes — technique: miscellaneous — methods: data analysis — supernovae: individual (Crab Nebula)

1. Introduction

Gamma-ray observation using the imaging atmospheric Cherenkov technique (IACT) (see e.g., [de Naurois & Mazin 2015] for a review) has experienced rapid growth in the past years. Exactly 30 years ago (1989), the very first detection of the Crab Nebula at TeV energies was achieved by the Whipple telescope (Weekes et al. 1989). Since then, several experiments using IACT have been carried out, truly opening up the TeV astronomy era (Hinton & Hofmann 2009) and leading to the detection of about 200 gamma-ray sources (The TeV Catalogue 2018). In particular, the latest generation of IACT observing facilities (i.e., H.E.S.S., MAGIC, and VERITAS) have allowed for unprecedented insights into the non-thermal very high-energy (VHE) universe (Aharonian et al. 2013; Acharya et al. 2019). For the optical design, past and current generations of IACT experiments have adopted either the Davies-Cotton (Davies & Cotton 1957) or parabolic configurations (Bastieri et al. 2005), using a single-mirror and a camera located at the focus of the telescope. Single-mirror systems in IACT astronomy are limited in terms of their field of view (since these systems are not aplanatic and greatly suffer from off-axis aberrations) and make use of cameras that are in general very large and bulky due to intrinsic large plate-scale. Innovative optical designs such as the dual-mirror Schwarzschild-Couder (SC) configuration, initially proposed for ground-based gamma-ray astronomy by Vassiliev et al. (2007), can represent a step forward for Cherenkov telescopes. This is mainly because they allow us to implement a larger field of view (up to 10° in diameter) in a much compact instrument since the plate-scale is much smaller.
smaller. As a consequence, the adoption of small pixel size (6-7 mm linear dimension) silicon photo-multipliers (SiPMs) is possible as an alternative to traditional photo-multiplier tubes (PMTs).

Within the framework of the Cherenkov Telescope Array (CTA) Observatory Project, the Italian National Institute for Astrophysics (INAF) is leading the ASTRI (Astrofisica con Specchi a Tecnologia Replicante Italiana) Project (Pareschi 2016; Scuderi 2018). The primary goal of the project has been the design, development, and deployment of an end-to-end 4-m diameter prototype of the CT A small-size telescopes (SSTs) with a dual-mirror configuration. The CT A SSTs sub-array will be composed by 70 telescopes and installed at the CT A Observatory southern site, with the aim to investigate the gamma-ray sky between a few TeV and up to 100 TeV and beyond. The ASTRI prototype (see Fig. 1), named the ASTRI-Horn telescope—in honor of the Italian-Jewish astronomer Guido Horn D’Arturo, who pioneered the use of segmented primary mirrors in astronomy (Horn D’Arturo 1936; Jacchia 1978) –, is located on Mt. Etna (Italy) at the INAF M.C. Fracastoro observing station (37.7°N, 15.0°E, 1740 m a.s.l.) (Maccarone et al. 2013) and has undergone a verification phase over the past two years. The ASTRI-Horn telescope is based upon a wide-field dual-mirror optical design (Canestrari et al. 2013; Sironi et al. 2017), inspired by the SC aplanatic configuration (Schwarzschild 1905; Couder 1926; Vassiliev et al. 2007). Also see Lynden-Bell 2002 for a review. It was also inspired by an innovative SiPM curved-focal plane camera (Catalano et al. 2018) managed by very fast read-out electronics (Sottile et al. 2016). ASTRI includes, in addition to all other sub-systems (Maccarone 2017), full data acquisition (Conforti et al. 2016), archiving (Carosi et al. 2016), and processing (Lombardi et al. 2016) chain, from raw data up to final scientific products. For this purpose, dedicated software for the reduction and scientific analysis of the ASTRI data has been developed. The software has been extensively checked on a Monte Carlo (MC) basis and is currently exploited for the processing of data taken by the ASTRI-Horn telescope (Lombardi et al. 2017, 2018). In order to test the performance of the ASTRI-like telescope layout in an array configuration, a mini-array composed of nine ASTRI telescopes is being developed and operated by INAF in the context of the preparatory effort for participating in CTA. The ultimate goal of the ASTRI Project is to contribute to the installation and operation of a considerable number of telescopes out of the 70 foreseen for CTA SSTs.

It should be noted that in CTA, other groups are also involved in the development of telescope prototypes based on dual-mirror SC configuration and compact cameras based on SiPMs. Among them, it is worth mentioning the Gamma-ray Cherenkov Telescope (GCT, another SST prototype; Leblanc et al. 2018) and the prototype Schwarzschild-Couder telescope (pSCT, a ~10 m diameter prototype for the medium-size telescopes array of CTA, Rousselle et al. 2015). Also, other aplanatic configurations for wide-field Cherenkov telescopes, different from the SC design, have been proposed. For example, Mirzoyan & Andersen (2009) and later, Cortina et al. (2016) proposed a solution based on the modified Schmidt configurations with the use of a Fresnel type correction lens and a curved focal plane in addition to an aspherical reflecting mirror, while Maccarone et al. (2008) explored the use of Fresnel lenses in the context of the Gamma Air Watch (GAW) Project.

The verification and scientific validation of any ground-based gamma-ray instrument are typically performed by observing well-known and bright gamma-ray sources. In the case of the ASTRI-Horn telescope, the Crab Nebula, the remnants of a supernova explosion that occurred in AD 1054 at a distance of ~2 kpc, has been considered. This source is in fact one of the best studied celestial objects emitting in almost all wavelength bands of the electromagnetic spectrum, from radio to gamma rays. It is the strongest source of steady VHE gamma-ray emission and is considered as the standard “calibration candle” for many ground-based gamma-ray instruments.

In this work, we present an overall description of the ASTRI-Horn telescope and its main sub-systems. In the subsequent sections, we present the data analysis and results of the observations of the Crab Nebula carried out in December 2018.

2. The ASTRI-Horn telescope

The ASTRI-Horn telescope has been developed in the context of prototyping the SSTs for the CTA Observatory Project. Its main technological innovation consists of the optical system, which implements the dual-mirror SC configuration. The primary mirror, 4.3 m in diameter, is composed of an array of 18 hexagonal tiles, while the secondary mirror, 1.8 m in diameter, consists of a monolithic hemispherical thick glass shell thermally bent to 2.2 m radius of curvature (Canestrari et al. 2013). The telescope-equivalent focal length is 2.15 m (f/0.5) and the system covers a full field of view (FoV) of more than 10° (Rodeghiero et al. 2016). The SC optical design for Cherenkov telescopes has been fully validated for the first time by means of the ASTRI-Horn telescope (Giro et al. 2017). Images of the Polaris Star at different off-axis angles (up to 4.5°) have been taken with an optical CCD camera able to scan the whole FoV, demonstrating that the point spread function (PSF) has a constant width of a few arcmin over the whole FoV.

Since the SC optical design typically results in a small plate scale (37.5 mm°) in the case of ASTRI), the camera at the focal plane is characterized by compact dimensions over a large FoV. For this, SiPM sensors represent an optimal solution, as they have a typical lateral size of a few millimeters, very fast response, high photon detection efficiency, and excellent single photo-electron resolution (Bonanno et al. 2016; Romeo et al. 2018). This class of detectors has been already demonstrated to be suitable in the fields of high-energy astrophysics and IACT applications, for example, by the single-

![Fig. 1. ASTRI-Horn dual-mirror Cherenkov telescope installed on Mt. Etna (Italy) at the INAF M.C. Fracastoro observing station.](image-url)
3. Observations and analysis

The Crab Nebula (RA (J2000) = 5h34m31.94s and Dec (J2000) = +22°05’52.2’’ ) was observed by the ASTRI-Horn telescope over nights between 5 and 11 December 2018 during the verification phase of the system. At the beginning of the campaign, a dedicated trigger threshold scan was performed in order to find the optimal trigger configuration, which resulted in five contiguous pixels above a threshold of 13 pe for a trigger to occur. This configuration provided an average data acquisition rate of the order of 50 Hz. The relatively high trigger threshold adopted for the data taking was due to the rather high level of the background. In the so-called “ON/OFF” mode (Albert et al. 2008), where the data are split in two separate sets. In the so-called “ON” data phase, the Crab Nebula was tracked at the center of the FoV, ensuring the maximum camera acceptance. In the so-called “OFF” data phase, suitable sky regions without any known gamma-ray source in the FoV were observed (immediately before and after the ON observations) instead across the same range of zenith and azimuth angles as the ON data. The OFF data were then used to properly estimate the level of background in the ON data. All data were taken during dark time at low zenith angles (from ~16° to ~32°) for an overall observation time (before data selection) of 25.8 h, evenly divided between ON and OFF observations.

Since the data acquisition was carried out during the telescope verification phase, not all hardware components were already in their nominal functional state during the scientific observations. The two most relevant sub-systems affected by such suboptimal hardware conditions were the primary mirror and the imaging camera. With regard to the primary mirror, three (out of 18) panels were not in their proper condition. One of them was not adjustable through the active mirror control so the decision was made to cover it with adhesive tape before the data acquisition process. The other two were instead found to be misaligned by ≥1° after the data acquisition process by means of a dedicated analysis based on the variance method (Segreto et al. 2019). In addition to a systematic decrease of the overall amount of collected Cherenkov light, these panel conditions also potentially affected the shape of the recorded images. This, in turn, could have introduced a possible deterioration on the performance of the shower reconstruction and, thus, on the level of signal detectable with our analysis. However, after a few sanity checks performed on the shape of the recorded images and their main distributions, we concluded that this effect was significantly reduced at the final analysis level. In addition, all panels of the primary mirror had been in operation since 2014 in a harsh environment close to an active volcano, which led to a degradation of their reflectivity. The overall loss of the optical throughput with respect to the nominal condition has been evaluated to be around 30%. This value has been subsequently confirmed by an independent muon analysis (Mineo et al. 2019). For the camera, on the other hand, the high-gain channel (for all camera pixels) was not fully reliable for technical reasons. Therefore, only the low-gain channel (Catalano et al. 2018) was fiducially considered in the subsequent data reduction. On top of this, 1 (out of 21) PDM at the camera edge was not operative.

All of these hardware issues had some significant impact on the performance of the system. The main effects on the detection of the source were to increase the overall gamma-ray energy threshold (the nominal expected one being around 1 TeV) and to decrease the overall sensitivity of the system. Dedicated MC simulations were performed with the primary aim of generating the gamma/hadron separation look-up-table to be used for the background suppression in the real data (Lombardi et al. 2017). All hardware issues mentioned above were considered and implemented in the simulation chain, although some of them could be accounted for with only a limited level of accuracy. While this allowed us to achieve a reasonable match between real and MC-simulated data at the image parameter level (which represents an essential prerequisite for achieving a good background suppression capability), the overall accuracy of our simulations was not high enough to extract spectral parameters for the source. Therefore, we restricted the analysis presented in this work to the production of the detection plot (see Section 4) aimed to obtain a solid evaluation of the signal-to-noise ratio.

For each observing night, the data selection was performed while taking into account several quality checks. First of all,
the data affected by technical problems, such as instabilities in the pedestals and signals of the camera PDMs, were rejected, as well as the data showing large fluctuations in the trigger rate and taken during bad atmospheric conditions. The atmospheric conditions (mainly humidity, external temperature, and cloudiness) were monitored by means of dedicated weather station system and an all sky camera. Finally, a stability within 15% of the data rate at analysis level (i.e., after the cleaning of the shower images and the application of a cut in Size > 200 pe, see later) was considered for the final data selection. The selected data sample resulted in 24.4 h, corresponding to 12.4 h for the ON data and 12.0 h for the OFF data. Table 1 and Table 2 summarize the main observational quantities for the ON and OFF selected data samples, respectively.

Table 1. Date, observation time, zenith angle (Zd) range, and analysis rate of the selected ON data. The analysis rate is calculated after the application of a cut in Size > 200 pe (see text for the definition of size).

| Date        | Time [h] | Zd range [deg] | Rate [Hz] |
|-------------|----------|----------------|-----------|
| 2018.12.05  | 2.0      | 15.7 – 22.5    | 7.5       |
| 2018.12.07  | 2.9      | 15.7 – 27.5    | 7.7       |
| 2018.12.08  | 3.6      | 15.7 – 31.7    | 7.7       |
| 2018.12.09  | 2.5      | 15.7 – 29.0    | 7.4       |
| 2018.12.11  | 1.4      | 15.7 – 26.4    | 7.0       |
| Overall     | 12.4     | 15.7 – 31.7    | 7.5       |

Table 2. Date, observation time, zenith angle (Zd) range, and analysis rate of the selected OFF data. The analysis rate is calculated after the application of a cut in Size > 200 pe (see text for the definition of size).

| Date        | Time [h] | Zd range [deg] | Rate [Hz] |
|-------------|----------|----------------|-----------|
| 2018.12.05  | 1.6      | 15.8 – 20.0    | 7.5       |
| 2018.12.07  | 3.4      | 15.4 – 28.1    | 7.6       |
| 2018.12.08  | 3.1      | 15.7 – 27.4    | 7.7       |
| 2018.12.09  | 1.4      | 15.7 – 19.9    | 7.8       |
| 2018.12.11  | 2.5      | 15.8 – 23.0    | 7.2       |
| Overall     | 12.0     | 15.4 – 28.1    | 7.6       |

The data reduction and analysis were carried out using A-SciSoft (Lombardi et al. 2016) in a single-telescope configuration. The raw data, containing the full information available per pixel (integrated signal amplitude in analog-to-digital converter counts) for each triggered shower, were calibrated in order to extract and convert the signal into pe. The conversion coefficients were extracted from specific camera calibration data, taken at the beginning of each observational night. Then, the calibrated data underwent an image cleaning procedure aimed at removing pixels which most likely do not belong to a given Cherenkov shower image. The cleaning method applied to the data was a two-threshold two-pass cleaning. The algorithm first uses a relatively high signal threshold (L1) to search for at least two neighboring pixels (so-called core pixels), which likely belong to the core of the shower. In a second step, pixels adjacent to core pixels (so-called boundary pixels) are included in the cleaned image if their signal is above a lower threshold (L2). For the present analysis, the value of 20 pe for L1 (corresponding to ≥ 3 times the maximum pedestal RMS present in the data) and 10 pe for L2 (half of the L1 value) were set. The relatively high values of these cleaning thresholds are again the consequence of the rather high level of the LONS at the ASTRI-Horn telescope site. After this step, a parameterization of each cleaned image was performed. The extracted parameters are mainly based on the moments up to the third order of the light distribution on the camera (Hillas 1985). Among them, the Size parameter, defined as the total amount of signal in pe belonging to the cleaned image, was used to compute the average event rate at the analysis level (see Tables 1 and 2). In this step, the telescope pointing and the source position (in camera coordinates) were extracted from the data and linked to each parameterized shower image.

After the image parameterization step, several consistency checks on the main Hillas parameters’ distributions of the ON and OFF data were performed in order to verify the compatibility of the two data samples after the data selection and before the application of the final analysis cuts. Once the events were cleaned and parameterized, a set of simulated gamma-ray and hadronic background events (treated with the same data processing chain as the real data and reasonably matching the image parameters’ distributions of the real data) were used to train a machine learning algorithm based on Breiman’s random forest method (Breiman 2001) for the calculation of a suitable look-up-table for gamma/hadron separation. This step is important for any IACT analysis due to the overwhelming hadronic background.

In the present analysis, the image parameters of size, dist, width, length, and concentration (Hillas 1985) were used for training the random forest. Finally, the look-up-table was applied to the ON and OFF data sets in order to get for each event a gamma/hadron discrimination parameter called gammaness. It ranges from 1 (for showers confidently identified as initiated by gamma rays) to 0 (for those clearly showing the features of a hadronic cosmic-ray initiated shower). At this level, the data were ready for the final step of the analysis, aimed at searching for a gamma-ray excess from the source direction.

4. Results

In order to search for a gamma-ray excess in the data, we compared the |Alpha|-distribution of the ON and OFF data (i.e., using the so-called |Alpha|-plot) after the application of suitable analysis cuts (mainly in size and gammaness) and within a fiducial |Alpha| signal region. Alpha (Plyasheshnikov & Bignami 1985) is the angle between the major axis of the recorded image and the vector connecting its center of gravity with the source position in the camera plane. Gamma-ray shower images from the source tend to point with their major axes toward the source position in the camera, whereas images of background showers do not show any preferred orientation, having isotropic arrival directions. This implies that the |Alpha|-distribution for gamma-ray induced showers peaks at low |Alpha| values, whereas the |Alpha|-distribution for the background is almost flat across the entire |Alpha| range (from 0° to 90°).

The final analysis cuts were selected by means of dedicated MC gamma-ray and real background data. A scan procedure aimed at finding the best sensitivity to gamma-ray excess (assuming a Crab-Nebula-like spectrum, Albert et al. 2008) as a function of different combination of cuts in size, gammaness, and |Alpha| parameters was employed. As a result, the optimal cuts Size > 300 pe, gammaness > 0.88, and |Alpha| ≤ 10° were found and applied to real data. This set of cuts corresponds to an energy threshold (defined as the peak of the MC gamma-ray simulated energy distribution for a Crab-Nebula-like spectrum after all analysis cuts) of ~3 TeV.
The distribution of the OFF data was scaled by a factor $\alpha_{\text{ON/OFF}}$ found by normalizing the $|\text{Alpha}|$-distributions of both samples between 20° and 80°. We found an excess of 127±24 events, corresponding to a significance of 5.4 standard deviations ($\sigma$), calculated according to Eq. 17 of Li & Ma (1983). It should be noted that the energy data was scaled by a factor listed above, is shown in Fig. 2. The distribution of the OFF data, following the application of the optimized analysis cuts, is shown in Fig. 2. The region between zero and the vertical dashed line (at 10°) represents the fiducial signal region.

The $|\text{Alpha}|$-plot achieved from the ON and OFF selected data, following the application of the optimized analysis cuts listed above, is shown in Fig. 2. The distribution of the OFF data was scaled by a factor $\alpha_{\text{ON/OFF}}$ found by normalizing the $|\text{Alpha}|$-distributions of both samples between 20° and 80°. We found an excess of 127±24 events, corresponding to a significance of 5.4 standard deviations ($\sigma$), calculated according to Eq. 17 of Li & Ma (1983). It should be noted that the energy threshold of $\sim 3$ TeV quoted above is substantially in line with the actual number of detected gamma-ray events in fact, assuming a typical radius of $\sim 125$ m for the Cherenkov light pool at $\sim 2000$ m a.s.l. De Naurois & Mazin (2015) (corresponding to a gamma-ray effective area of $\sim 5 \times 10^6$ m²) and taking also into account the fact that the large FoV of the ASTRI camera (7.6°) allows for the detection of gamma-rays with even larger impact parameters, we can estimate that an energy threshold of $\geq 2.5$ TeV would provide a number of excesses from the Crab Nebula (Aharonian et al. 2006; Aleksic et al. 2010) comparable with the actual detected ones, in the given exposure time (12.4 h).

5. Conclusions
In this work, we report on the first detection at the VHE of the Crab Nebula by a Cherenkov telescope in dual-mirror Schwarzschild-Couder configuration: the ASTRI-Horn telescope. The telescope, installed on Mt. Etna in Italy, is part of the ASTRI Project, led by the INAF in the context of prototyping the small-size class of telescopes of the Cherenkov Telescope Array Observatory.

The ASTRI-Horn telescope is one of the first three dual-mirror Cherenkov telescope prototypes adopting technological innovations, such as the dual-mirror aplanatic Schwarzschild-Couder optical configuration and a wide field compact SiPM camera with a very fast response, high photon detection efficiency, and excellent single photon-electron resolution. All the adopted innovative solutions have been tested during an observational campaign on the Crab Nebula carried out in December 2018 as part of the verification phase of the telescope. The acquired data have been reduced and analyzed using A-SciSoft, the official ASTRI scientific software package developed as part of the ASTRI Project. During observations, the hardware status of the system was not yet in its nominal condition, which prevented the system from operating at the nominal performance level. Nevertheless, data analysis has led to the detection of the source at a statistical significance of 5.4 $\sigma$ above an energy threshold of $\sim 3$ TeV in 12.4 h of on-axis observations.

Although no spectral parameters of the source were derived from the present analysis, this result nonetheless represents an important step towards the validation of the dual-mirror optical design for ground-based gamma-ray astronomy applications. The dual-mirror optical design for Cherenkov telescopes is a particularly attractive solution because it makes a good angular resolution across the entire field of view possible. Indeed, the dual-mirror solution enables a better correction of aberrations at large field angles and, hence, the construction of telescopes with a smaller focal ratio, allowing the use of compact cameras able to cover with mm-sized pixels a large field of view.

The scientific validation phase of the ASTRI-Horn telescope is foreseen to start in spring 2020, hosting new, extensive campaigns on a few bright gamma-ray sources, including the Crab Nebula. In view of this phase, some hardware improvements (mainly on the optical system and camera) are already scheduled in order for it to reach the nominal configuration and, consequently, the best performance. This will eventually allow us to fully characterize the hardware innovations of the system and to set the path towards their systematic implementation for the next generation of Cherenkov telescopes.

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