Ultra-thin ZnO film as an electron transport layer for realizing the high efficiency of organic solar cells†

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To overcome the limits of low charge transport efficiency and high absorption in the UV region of conventional thick ZnO layers in organic solar cells, herein we introduce an ultra-thin ZnO film (4 nm) into PBTTT-CF:PC70BM bulk heterojunction organic solar cells, as the electron transport layer, and realize a power conversion efficiency of 7.51%, which is dramatically higher than that of a device using general ZnO film (28.1 nm). Various techniques from both steady-state and ultra-fast views reveal that the devices with an ultra-thin ZnO film (less than 10 nm) show a higher built-in potential compared to the device with a 28.1 nm ZnO film. Such an enhancement of the built-in potential could facilitate the photo-generated excitons dissociating into free charge carriers and benefit the transport of charge carriers to the electrode. Thus, we have supplied an efficient electron conducting layer not only for the photovoltaic community but also for other photoelectronic devices.

Introduction

Owing to the great advantages of regulating the work function of an electrode and improving the charge carrier injection and extraction, buffer layers have been extensively utilized in organic-based thin-film optoelectronic devices, including organic solar cells (OSCs), organic light-emitting diodes (OLEDs) and perovskite solar cells (PSCs). In buffer layers, titanium oxide (TiO2), zinc oxide (ZnO) and cesium carbonate (Cs2CO3) have been incorporated into optoelectronic devices frequently as an electron transport layer and can improve the device performance effectively.5–20 In particular, ZnO is a promising candidate due to its relatively high electron mobility, environmental stability, and transmissivity in the visible region.21–23 There are already plenty of studies about ZnO use in organic solar cells, such as ZnO nanowires, ZnO nanorods, ZnO nanoparticles and ZnO films,24–28 which are all good for the device performance. In a previous study, K. Kim et al. increased the power conversion efficiency (PCE) of OSCs from 7.66% to 7.96% by atomic layer deposition of ZnO film.24 S. Cowan and his coworkers improved the performance of OSCs to 5.93% by modifying the surface of ZnO film.25 Furthermore, W. Qiu et al. improved the PCE of PSCs by using PCBM/ZnO as a double electron transport layer.26 Besides the complexity of these methods, the thickness of the ZnO film in the above studies is more than 45 nm, which will suppress the light harvesting of the active layer in the UV region. The thick film would also increase the transport length of the charge carriers, as a result, and enhance the according recombination possibilities.

In light of these issues, we propose a solution process to fabricate an ultra-thin ZnO film, which offers an excellent platform for optimizing the performance of the OSCs without sacrificing the light absorption efficiency. In this work, ZnO films with various thicknesses in the range of 0 to 28.1 nm were introduced in the OSCs, and the device with 4 nm ZnO showed the highest PCE of 7.51%, much higher than that of the reference device with a conventional ZnO film (6.33%). We observe that the dramatically improved PCE is mainly due to an increase of 0.5% in Voc, 13.9% in Jsc and 3.6% in fill factor (FF). Further experimental investigations show that the ZnO film improves the device performance by the following aspects: enhancing the built-in potential (Vbi) of the device and increasing the shunt resistance of the system by facilitating the exciton dissociation efficiency and reducing the charge carrier recombination in the ZnO film.

Results and discussion

The solution-processed ultra-thin ZnO films were produced using a carbon free aqueous Zn(OH)2(NH4)2(2−3) solution,29–33 and the thicknesses were gauged as 2.8, 4.0, 5.0 and 5.6 nm with the ZnO concentration increasing from 0.04 mol L−1 to 0.07 mol

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L\(^{-1}\), which are measured by TEM in ref. 22. The 28.1 nm thick ZnO film was fabricated using conventional zinc acetate dehydrate precursor solution.\(^a\) Fig. 1a shows the transmittance spectra of the as-prepared ZnO films on quartz glass. In order to make a better comparison, the absorption of the quartz glass has been dubbed out. The ultra-thin ZnO films present high light transmissivity. The transmittance of the ZnO films is in excess of 99% from 350 nm to 800 nm and decreases slightly with the increase of the ZnO thickness. However, the light transmissivity of the thick ZnO film is lower than those of the ultra-thin ZnO films in the range of 200 nm to 800 nm, which could suppress the light absorption efficiency of the active layer in the constructed OSCs. Fig. 1b shows the ultraviolet photoelectron spectroscopy (UPS) spectra of ITO and ZnO films on ITO glass (ZnO–ITO) with different thicknesses. The work function (WF) value can be calculated from the secondary electron cutoff region of the five samples. The value of ITO is 4.4 eV, while those of the ultra-thin ZnO–ITO and 28.1 nm ZnO–ITO are 3.7 eV and 4.1 eV. Obviously, the WF values of ultra-thin ZnO–ITO and 28.1 nm ZnO–ITO are moved upwards by 0.7 eV and 0.3 eV in regard to the pristine ITO, respectively. The low WF values of ZnO–ITO could make the material form good ohmic contact with the active layer. As is known, \(V_{OC}\) is mostly affected by the difference between the highest occupied molecular orbital (HOMO) of the donor materials and the lowest unoccupied molecular orbital (LUMO) of the acceptor materials and the WF difference between the cathode and anode.\(^{24-27}\) Theoretically, the larger the WF difference the electrodes have, the larger the \(V_{OC}\) presented by the OSCs. Moreover, the lower WF of the ultra-thin ZnO–ITO can increase the \(V_{bi}\) of the devices, which can be beneficial to improve the charge carrier extraction efficiency and reduce the recombination losses. As revealed by the UPS spectra, the thickness of the ultra-thin ZnO has no effect on the WF of ZnO–ITO, which is mainly affected by the synthesis approach. To be significant, the lower WFs of the ultra-thin ZnO compared to the conventional ZnO film make it superior.

Fig. 1c displays the cross-sectional scanning electron microscopy (SEM) image of the device with a structure of ITO/ultra-thin ZnO/PBDTTT-CF:PC\(_{70}\)BM/MoO\(_3\)/Ag by \(0^\circ\) titled view. It can be clearly seen that the ZnO film is ultra-thin. Such a structure could reduce the inefficient light blocking by the ZnO layer, because of the fact that the incident light is from the ITO direction. The MoO\(_3\)/Ag layer facilitates the hole collection and the ZnO layer is applied for harvesting the electrons generated in the active layer. As exhibited in Fig. S1,\(^\dagger\) we can see that the ultra-thin ZnO film is very smooth. The smoothness of the ZnO film, which helps to generate good interfacial contact between the cathode and active layer, can decrease the interfacial traps. Therefore, the electron transporting into the interface of the ZnO and active layer can be extracted by the ZnO layer and collected by the cathode successfully. Fig. 1d presents the X-ray photoelectron spectroscopy (XPS) spectra of the 4.0 nm ultra-thin ZnO film and 28.1 nm ZnO film, which exhibits strong Zn 2p\(_{3/2}\), Zn 2p\(_{1/2}\) and O 1s peaks at around 1045 eV, 1022 eV and 531 eV, respectively. This measurement reveals that the ZnO films have no difference in the material aspect. Moreover, it has been reported that the ultra-thin ZnO

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**Fig. 1** (a) Transmittance spectra of the ZnO films on quartz glass with different thicknesses. (b) UPS spectra of ITO and ZnO films with different thicknesses on ITO glass. The spectra show the energy region of the secondary electron cut-off. (c) The cross-sectional scanning electron microscopy image of the device with a structure of ITO/ultra-thin ZnO/PBDTTT-CF:PC\(_{70}\)BM/MoO\(_3\)/Ag by \(0^\circ\) titled view. (d) X-ray photoelectron spectroscopy (XPS) spectra for Zn 2p\(_{3/2}\), Zn 2p\(_{1/2}\) and O 1s. 

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The approach of extracting the parameters has been detailed previously.\textsuperscript{28-31} As revealed in Table 1, the $J_0$ decreases about 1 order of magnitude from the device with 28.1 nm ZnO to the device with 4 nm ultra-thin ZnO. Therefore the $V_{OC}$ is distinctly improved according to the simplified Shockley equation. The reduced $J_0$ together with the reduced $n$ implies that the ultra-thin ZnO film could inhibit charge carrier recombination, thus suppressing the photogenerated charge carrier loss. Obviously, all the devices with a ZnO film perform better than the device without ZnO (Table 1). This could be proved by the increased external quantum efficiency (EQE) spectra shown in Fig. 2b. The EQE values of the devices with ultra-thin ZnO films are higher than that of the device with a 28.1 nm ZnO film in the range from 550 nm to 800 nm and that of the device without ZnO film in the whole scanned wavelength range, which is consistent with the information in Fig. 2a and Table 1. Fig. 1a shows that the thick ZnO film absorbs more light from 300 nm to 800 nm compared with the ultra-thin ZnO films, especially in the range from 300 nm to 500 nm. Therefore, the device fabricated with a thick ZnO film will impede the absorption of the active layer and reduce the EQE of the device in the range from 300 nm to 500 nm. As a result, the peak in the EQE spectrum of the device with a thick ZnO film shifts toward longer wavelengths and the migration distance is about 25 nm. As demonstrated in Table 1, the ultra-thin ZnO films with thicknesses from 2.8 nm to 5.6 nm show an improved FF of the OSCs.

In order to further investigate the influence of an ultra-thin ZnO film on the exciton generation and dissociation, the maximum exciton generation rate ($G_{\text{max}}$) and exciton dissociation probability ($P[E,T]$) of the device are particularly extracted based on the method previously reported.\textsuperscript{32-35} Fig. 2c displays the dependence of $P[E,T]$ on the effective voltage ($V_{eff}$) of the devices with a 4 nm and 28.1 nm ZnO film and the device without a ZnO film. The inset shows the dependence of the photocurrent density ($J_{ph}$) of these three devices on $V_{eff}$. Herein, $J_{ph}$ is described by $J_{ph} = J_L - J_s$, where $J_L$ and $J_s$ are the current densities under illumination and under darkness, respectively. $V_{eff}$ is given by $V_{eff} = V_0 - V_a$, where $V_0$ is the voltage when $J_{ph}$ is equal to 0 and $V_a$ is the applied voltage. The value of the saturation photocurrent density $J_{sat}$ can be directly extracted from the inset, and $G_{\text{max}}$ could be derived by $J_{sat} = qG_{\text{max}}L$, if we hypothesise that all of the photogenerated excitons are dissociated and contribute to the current at high $V_{eff}$, where $q$ is the electronic charge and $L$ is the thickness of the active layer. The values of $G_{\text{max}}$ for the device without ZnO and the devices with 4.0 nm and 28.1 nm ZnO are 9.02 $\times$ 10$^{27}$ m$^{-3}$ s$^{-1}$ ($J_{sat} = 14.58$ mA cm$^{-2}$), 10.72 $\times$ 10$^{27}$ m$^{-3}$ s$^{-1}$ ($J_{sat} = 17.31$ mA cm$^{-2}$) and 9.98 $\times$ 10$^{27}$ m$^{-3}$ s$^{-1}$ ($J_{sat} = 15.00$ mA cm$^{-2}$), respectively. The evident improvement of $J_{sat}$ (about 15.4%) implies that an ultra-thin ZnO film is more beneficial to the transport of the charge carriers, and it is impressively larger than that of the 28.1 nm thick ZnO film, therefore facilitating the enhancement of $J_{SC}$. As revealed by Fig. 2c, the exciton dissociation probability can be described as $J_{ph} = qG_{\text{max}}P[E,T]L$. Thus, the relevant values of $P[E,T]$ at any $V_{eff}$ could be obtained by the normalized current density $J_{ph}/J_{sat}$. It is calculated that the values of $P[E,T]$ increased from 80.4% for the device without ZnO to 95.1% and 93.0% for
the devices with the 4.0 nm and 28.1 nm ZnO films, which demonstrates that an ultra-thin ZnO film could facilitate the photogenerated excitons to dissociate into free charge carriers efficiently.

To further explore the influence of the ZnO film on exciton dissociation experimentally, we performed transient photoluminescence (PL) measurements for these devices. As shown in Fig. 2d, the samples with an ultra-thin ZnO film present a faster decay in comparison with the sample with a 28.1 nm thick ZnO film, which demonstrates that the ultra-thin ZnO film promotes the separation of the photogenerated excitons. For analyzing these results in more detail, we fit the decay curves using a bi-exponential model. The calculated lifetimes are 2.19 ns (without ZnO), 1.72 ns (2.8 nm ZnO), 1.18 ns (4.0 nm ZnO), 1.33 ns (5.0 nm ZnO), 1.37 ns (5.6 nm ZnO) and 1.67 ns (28.1 nm ZnO). The lifetime as a function of the ZnO film thickness is displayed as the inset in Fig. 2d. It could be noted that the tendency of the transient PL lifetime vs. ZnO film thickness matches well with the trend in the analysis of $J_{sc}$.

In order to investigate the functionality of the ZnO film, the device with a structure of ITO/ZnO/PBDTTT-CF:PC70BM/Ca/Al was fabricated and the electron mobility is calculated from the space charge limited current (SCLC) method according to eqn (2):

$$J = \frac{9}{8} \varepsilon_0 \varepsilon_r \mu_e V^2 \frac{L}{T}$$  

(2)

where $J$ is the current density, $\varepsilon_0$ is the permittivity of vacuum, and $\varepsilon_r$ is the relative permittivity of the organic material. Herein, we use $r_s$ with a value of 3 for ease of calculation.\textsuperscript{29} $V$ is the effective potential drop inside the active layer. $\mu_e$ is the electron mobility and $L$ is the thickness of active layer. The $ln J$ vs. $ln V$ curves are presented in Fig. 3a and the electron mobilities with different thicknesses of the ZnO film are shown in Fig. 3b. The electron mobility could be calculated as $1.41 \times 10^{-5} \text{cm}^2 \text{V}^{-1} \text{s}^{-1}$, $3.13 \times 10^{-5} \text{cm}^2 \text{V}^{-1} \text{s}^{-1}$, $6.10 \times 10^{-2} \text{cm}^2 \text{V}^{-1} \text{s}^{-1}$, $3.93 \times 10^{-5} \text{cm}^2 \text{V}^{-1} \text{s}^{-1}$. Obviously, the electron mobilities of the devices with a 4 nm to 5.6 nm ultra-thin ZnO film are higher than that of the device with a 28.1 nm thick ZnO film. This is due to the highly uniform and polycrystalline nature of the ultra-thin ZnO film.\textsuperscript{21} The thick ZnO film (28.1 nm) could increase the transport length of the charge carriers, as a result, and enhance the according recombination possibilities, thus reducing the electron mobility. The lower electron mobility of the device with a 2.8 nm ultra-thin ZnO film could originate from the incomplete coverage of the ZnO film on ITO. The ZnO transistor was fabricated via the same aqueous carbon-free metal oxide precursor route showing an electron mobility of up to $11 \text{cm}^2 \text{V}^{-1} \text{s}^{-1}$, which means that the electron mobility in the ultra-thin ZnO film is high enough for the electron transport from the active layer to cathode. The detailed results of the ZnO transistor are shown in ref. 22.

Collectively, we have shown that the device with an ultra-thin ZnO film performs better than the device with a conventional ZnO film. To get more information on the charge transfer mechanism of the superior device, impedance spectroscopy was recorded. As revealed by Fig. 4a, all of the spectra present the standard semicircle feature of a Nyquist plot, and the semicircle diameters of the devices with an ultra-thin ZnO film are larger than that of the device without ZnO. When the ZnO thickness is 4 nm, the performance of the device reaches the maximum. To further analyze the spectra, we used a typical equivalent circuit shown in the inset to fit these spectra.\textsuperscript{44-46} The fitted curves are in good agreement with the measured ones, indicating the correctness of the simulation. In the equivalent circuit, we use $R_1$ to represent the transfer recombination component from the active layer to the MoO$_3$/Ag top electrode and $R_2$ as the transfer recombination component from the active layer to ZnO/ITO. These two are connected with a series resistance ($R_s$) and the extracted data are given in Table S1.$^\dagger$ $R_s$ is invariable with the change in ZnO thickness, owing to the same architecture feature of the active layer and MoO$_3$/Ag electrode in these devices. $R_s$ decreases with the thickening of ZnO and reaches the minimum when the thickness is 4 nm. As we increase the thickness further, $R_s$ begins to increase. This feature matches well with the feature of the device performance, which indicates that reduction of $R_s$ is responsible for the performance improvement, particularly in the FF.\textsuperscript{35-38} The optimized ZnO thickness is beneficial for reducing the charge transfer resistance. Alternatively, $R_2$ shows an increasing feature with the enlargement of the ZnO thickness and reaches the maximum when the thickness is 4 nm. Generally, $R_2$ represents the shunt resistance at the ITO/ZnO/active layer, and a large value of $R_2$ usually shows a lower possibility of charge recombination.\textsuperscript{44-46} A too thick film of ZnO would have a low ability to conduct the electrons to ITO, due to the imperfect coverage that induces a high recombination possibility at the interface of the ITO/ ZnO/active layer. A too thick film of ZnO would have a large recombination possibility in ZnO, owing to the long charge transfer length. The charge transfer recombination could also be monitored by IS under different applied potentials and shows an increasing feature with the bias increasing from 0.1 V to 0.7 V, along with a decreased diameter of the semicircles. This is due to the fact that the density of the charge carriers

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**Table 1 Parameters of the devices with different ZnO film thicknesses**

| ZnO (nm) | $V_{oc}$ (V) | $J_{sc}$ (mA cm$^{-2}$) | FF (%) | PCE($\text{PCE}_{ave}$) (%) | $n$ | $J_0$ (mA cm$^{-2}$) |
|---------|-------------|-----------------|--------|-----------------|-----|----------------|
| 0       | 0.277       | 13.26           | 41.6   | 1.53(1.36)      | 1.97| $6.30 \times 10^{-3}$|
| 2.8     | 0.713       | 14.75           | 57.3   | 6.02(5.98)      | 1.68| $3.85 \times 10^{-7}$|
| 4.0     | 0.735       | 16.18           | 63.2   | 7.51(7.46)      | 1.56| $6.88 \times 10^{-8}$|
| 5.0     | 0.737       | 15.84           | 61.8   | 7.21(7.14)      | 1.59| $8.18 \times 10^{-8}$|
| 5.6     | 0.739       | 15.39           | 61.4   | 6.98(6.88)      | 1.64| $2.47 \times 10^{-7}$|
| 28.1    | 0.731       | 14.20           | 61.0   | 6.33(6.27)      | 1.67| $1.57 \times 10^{-7}$|
inside the device increases tremendously under a high positive bias, thus more holes and electrons recombine with each other.

To support the analysis of the improved performance of devices with a ZnO film, we calculated the $V_{bi}$ of the devices with different ZnO thicknesses from the Mott–Schottky analysis (Fig. 4b). According to eqn (3), we can estimate the $V_{bi}$ using the following equation:

$$\frac{1}{C^2} = \frac{2(V_{bi} - V)}{A\varepsilon\varepsilon_0 N_A}$$

(3)

where $C$ is the capacitance of the device, $V$ is the applied voltage, $A$ is the device area, $\varepsilon$ is the electronic charge, $\varepsilon$ is the dielectric constant of the materials, $\varepsilon_0$ is the permittivity of vacuum and $N_A$ is the doping concentration. The measurements were recorded at a frequency of 1 kHz for extracting $V_{bi}$. As revealed in Fig. 4b, the $V_{bi}$ of devices with the ZnO thickness varied from 0 nm to 5.6 nm is 0.416 V, 0.710 V, 0.722 V, 0.728 V and 0.738 V. The introduction of an ultra-thin ZnO film, the $V_{bi}$ of the device is improved, in agreement with the WF value difference between the cathode and anode, thus leading to an enhancement in the $V_{oc}$ of the device. As shown in Table 1, the $V_{oc}$ of the device with 4 nm ZnO is 2.7 times higher than that of the device without ZnO. In addition, the enhanced $V_{bi}$ could also facilitate the photogenerated excitons to separate into charge carriers and thereby increase the $J_{sc}$ of the device, consistent with the results summarized in Table 1. Moreover, the bigger $V_{bi}$ will help the charge carriers transport more quickly in the active layer, because the bigger $V_{bi}$ enhances the electric-field strength and will accelerate the carrier transport. As a result, the ultra-thin ZnO film enhances the $V_{bi}$ of the device and thus improves the device performance.

We have also fabricated OSCs with P3HT using a thick ZnO layer and 4 nm ZnO layer. The PCEs of P3HT:PC60BM fabricated using a thick ZnO layer and 4 nm ZnO layer as the electron transport layer are 2.22% and 2.63%, respectively. The $J$–$V$ curves are shown in Fig. S6.† It can be demonstrated from the $J$–$V$ curves that the device of P3HT:PC60BM with the ultra-thin ZnO film works better than that with the thick ZnO film. Therefore, the ultra-thin ZnO film can work well as the electron transport layer for P3HT.

**Conclusion**

In summary, a highly efficient PBDTTT-CF:PC70BM solar cell incorporated with an ultra-thin ZnO film has been fabricated. The ultra-thin ZnO film can improve the device performance in the following aspects: improving the built-in potential of the device by adjusting the work function of ITO; facilitating the...
exciton dissociation; promoting the charge carrier trans-
pportion; reducing the shunt resistance of the device. There-
efore, an impressive enhancement in Voc and Jsc has been
achieved. The maximum PCE of 7.51% is attained for the device
with 4.0 nm ZnO. In addition, the proposed carbon free
aqueous process for preparing the ultra-thin ZnO is environ-
mentally friendly and could be widely applied in solar cells and
LEDs etc.

Experimental

Device fabrication

All devices were fabricated on indium tin oxide (ITO) patterned
glass substrates, which were cleaned with detergent and soni-
cated in deionized water, acetone, and then isopropanol for
10 min. Then, the ITO substrates were exposed to O2 plasma for
6 min prior to spin-coating the ZnO thin films at 2000 rpm for
30 s. The precursor solution for fabricating the ultra-thin ZnO
films was prepared by dissolving zinc oxide hydrate (ZnO·xH2O,
97%, Sigma-Aldrich) in ammonium hydroxide (28–30%, Sigma-
Aldrich) to molar concentrations in the range of 0.04–0.07 M.
As-prepared solutions were then stirred rigorously at room

temperature for 8 h and became a clear transparent Zn ammo-

nium complex (Zn(OH)2(NH4)2·2H2O) based solution.20–23

ZnO·xH2O dissolved quickly at a low temperature. Next, the ZnO-

coated substrates were annealed at 200 °C for 60 min in air, and

then taken into a nitrogen-filled glove box. The active blend layer

of PBDTTT-CF {poly{1-[6-(4,8-bis[2-ethylhexyl]oxy)-6-methyl-

benzo[1,2-b:4,5-b′]dithien-2-yl]-3-fluoro-4-methylthieno[3,4-b]

thiophen-2-yl]-1-octanone]-PC70BM}/[6,6]-phenyl-C60-butyric acid

methyl ester) was spin-coated onto the substrates at 800 rpm for

90 s. The PBDTTT-CF:PC70BM solution consisted of 10 mg ml⁻¹

PBDTTT-CF and 15 mg ml⁻¹ PC70BM in o-dichlorobenzene

(ODCB) with 3% 1,8-diodooctane (1,8-DIO). Finally, a 2 nm

MoO3 and 80 nm Ag electrode were evaporated at a base pressure

of 9.0 × 10⁻⁵ Pa through a shadow mask. The diameters of the

oxygen and molybdenum are 0.132 nm and 0.272 nm, respec-

tively. Therefore, the 2 nm MoO3 layer is thick enough to cover

the entire surface of the active layer. The ultra-thin MoO3 layer
can regulate and control the work function of Ag. The work

function of Ag is 4.3 eV which is improper as the anode, while the

MoO3 layer with the high work function of 5.4 eV combined with

Ag will be perfect as the anode electrode. However, the conduc-
tivity of the MoO3 layer is poor. The thick MoO3 layer will reduce

the hole extraction rate and thus reduce the device performance.

Since the 2 nm MoO3 layer is thick enough to realize good

performance for ITO/ZnO/PBDTTT-CF:PC70BM/MoO3/Ag, we just
deposited the 2 nm MoO3 layer as the hole transport layer for our

organic solar cell.

Device measurements

The current density–voltage characteristics of these devices
were measured with a computer-controlled Keithley 2400 under
AM 1.5 illumination with an intensity of 100 mW cm⁻² in a N₂
atmosphere (450 W Newport 6279 NS solar simulator). The EQE
spectra were collected using Oriel IQE-200™ in air. A He

DISC OVERVIEW

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