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Rapid advances over the past decade have uncovered the heterogeneous genomic and immunologic landscape of myelodysplastic syndromes (MDS). This has led to notable improvements in the accuracy and timing of diagnosis and prognostication of MDS, as well as the identification of possible novel targets for therapeutic intervention. For the practicing clinician, however, this increase in genomic, epigenomic, and immunologic knowledge needs consideration in a “real-world” context to aid diagnostic specificity. Although the 2016 revision to the World Health Organization classification for MDS is comprehensive and timely, certain limitations still exist for day-to-day clinical practice. In this review, we describe an up-to-date diagnostic approach to patients with suspected lower-risk MDS, including hypoplastic MDS, and demonstrate the requirement for an “integrated” diagnostic approach. Moreover, in the era of rapid access to massive parallel sequencing platforms for mutational screening, we suggest which patients should undergo such analyses, when such screening should be performed, and how those data should be interpreted. This is particularly relevant given the recent findings describing age-related clonal hematopoiesis.

Introduction

Myelodysplastic syndromes (MDS) are a diverse group of clonal hematopoietic stem cell neoplasms characterized by ineffective hematopoiesis, peripheral blood cytopenias, and an inherent risk of progression to acute myeloid leukemia (AML) [1]. The incidence of MDS has been estimated at ~4.35 per 100 000 in the United States (age-adjusted incidence) [2] and 4.0 per 100 000 in Europe [3]. MDS is more common in males, with the exception of the MDS with isolated deletion 5q (del(5q) syndrome), which has a female predilection [4, 5]. However, the true incidence of MDS may actually be higher due to delayed presentation, inaccurate reporting, misinterpretation of subtle bone marrow (BM) morphologic findings, or misdiagnosis. MDS is predominantly a disease of the elderly, with a median age of onset in the seventh decade of life, although it may present much earlier [5]. Importantly, MDS may arise sporadically or be associated with an underlying germline predisposition syndrome, which may present at any age during childhood or adulthood [6]. In some cases, a precise diagnosis may be challenging, even in experienced centers. Conventionally, the prognostic scores utilized most frequently in daily clinical practice for MDS are the International Prognostic Scoring System (IPSS) and the revised IPSS (IPSS-R) [7, 8]. The IPSS, first described more than 20 years ago, encompasses the number of cytopenias, 3 cytogenetic subsets, and BM blast percentage. The IPSS-R has been refined to include the depth of cytopenias, 5 cytogenetic subsets, and further subdivides the BM blast percentage. Lower-risk (LR)-MDS is most commonly defined as those cases with Low-risk or Intermediate-1-risk MDS according to the IPSS [7]. It is extremely important to distinguish LR-MDS from other nonmalignant and non-clonal causes of cytopenias, such as vitamin deficiencies, side effects from drugs, autoimmune disorders, systemic infections such as human immunodeficiency virus (HIV) or...
inflammatory disorders, as well as from other myeloid neoplasms [1, 9].

**Overview of disease pathogenesis**

Over the past decade, major advances have been made in our understanding of how these diverse disorders arise. Cumulative cytogenetic, genomic, and immunologic data provide a fascinating insight into the varied pathogenetic mechanisms underlying disease development despite similar clinical and hematologic phenotypes. Advances in high-throughput DNA sequencing have led to the identification of multiple recurrent somatic mutations involved in disease initiation and progression. These include diverse genes involved in RNA splicing, DNA methylation, histone modification, signal transduction, transcription, and the cohesin complex [10]. The incidence of these mutations, prognostic significance, and associated disease characteristics are described in Table 1 [11–30]. Furthermore, excessive DNA damage has been frequently reported in patients with MDS, and both intrinsic and extrinsic genotoxins have been implicated [31]. Defective DNA repair pathways have also been implicated in MDS pathogenesis although the evidence is less robust than that in AML [32].

Despite the established role of chronic inflammation in the pathogenesis of many malignancies, a potential role in MDS remained less clear until recently. One of the first reports recognizing an association between immunologic abnormalities and MDS was published by Mufti et al. [33], highlighting the higher incidence of abnormal serum immunoglobulins and autoantibodies in patients with MDS. Results from a collaborative study demonstrated that the presence of an autoimmune disorder was associated with an improvement in overall survival in MDS [34], most likely due to an initially “protective” adaptive immune response [35]. Further work has demonstrated modulation of both the adaptive and innate immune systems linked to disease initiation and progression [35]. An increase in the number of interleukin 17-producing T cells (Th17) in LR-MDS, whereby the ratio of Th17 cells to regulatory T cells (Tregs) was significantly higher in LR-MDS compared with higher-risk (HR) MDS, correlated with the presence of apoptosis [36]. Moreover, an immunosuppressive environment with increased Tregs in LR-MDS carries adverse prognostic significance [37]. The innate immune system also plays a key role in MDS pathogenesis via the upregulation of inflammatory cytokines through nuclear factor (NF)-κB activation and/or activation of the redox-sensitive NLRP3 inflammasome and β-catenin pathways leading to clonal propagation [38]. Lastly, it is becoming increasingly evident that the microenvironment plays a key role in disease propagation. Mesenchymal niche-induced genotoxic stress in hematopoietic stem/progenitor cells (HSPC) has been found to be predictive of leukemic evolution and progression-free survival in MDS [39]. Further refinements of the diagnostic and prognostic criteria for MDS will likely include these immunologic findings; however, it is important to stress that these immunologic findings need to be considered within both the clinical and hematologic context. In this review article, we describe an integrated approach to the diagnosis of patients with LR-MDS, taking into account the increasing “-omics” data concerning MDS pathogenesis and prognosis (Fig. 1).

**An up-to-date approach to the diagnosis of lower-risk MDS**

**History and physical examination**

A detailed, focused, and systemic enquiry should be completed in the workup of any potential case of MDS to rule-out other reasons for cytopenia. This workup should include a detailed extended family history, occupational history, and comprehensive review of concomitant medications. Any history of other malignancies that may suggest an underlying germline predisposition syndrome, as well as any history of exposure to cytotoxic chemotherapy or radiotherapy, should be noted. The chronic nature of the cytopenias should be carefully evaluated and historical blood counts assessed where possible. Moreover, a thorough physical examination should be performed with particular reference to organomegaly, lymphadenopathy, stigmata of autoimmune disorders, and features suggestive of a constitutional BM failure disorder.

**Morphologic examination of blood and BM**

The diagnosis of MDS historically relied largely on morphologic findings of BM and blood [40]. In theory, morphologic examination is a technically simple and inexpensive method [41] and can be performed using well-prepared peripheral blood smears, BM aspirates, and BM trephine biopsies. However, as patients may present with hypocellular marrows or disease-related fibrosis, accurate morphologic assessment may be difficult. Moreover, differentiation between entities such as aplastic anemia (AA) and hypoplastic MDS may prove challenging due to the considerable overlap in morphologic findings (Fig. 1). In general, at least 200 cells in a blood film, 500 cells in a BM aspirate, and a minimum of 100 erythroblasts and 30 megakaryocytes should be evaluated where possible [42]. An accurate diagnosis in patients in the early stages of disease may be difficult. The 2016 revised World Health Organization (WHO) criteria for MDS rely heavily upon
| Genetic mutation | Incidence (%) | Characteristics and prognostic significance | Reference |
|------------------|---------------|---------------------------------------------|-----------|
| **RNA splicing** |               |                                             |           |
| *SF3B1*          | 25–30         | • Mutations cluster in exons 12 to 15; mutation hotspots include K700, E622, R625, H662, K666, and I704  
• Strongly associated with the presence of RS  
• More common in patients with LR-MDS  
• Presence of *SF3B1* and RS has a favorable prognosis including improved OS, leukemia-free-survival, and EFS | Malcovati et al. [9]; Haferlach et al. [10]; Papaemmanuil et al. [11]; Makishima et al. [12] |
| *SRSF2*          | 10–20         | • Mutation hotspot: P95  
• Negative prognostic marker for OS in MDS | Thol et al. [13]; Yoshida et al. [14] |
| *U2AF1*          | 5–10          | • Mutations cluster in exons 2 and 6; mutation hotspots include S34, Q157 (U2AF35 dimer)  
• Increased risk of progression to AML | Yoshida et al. [14]; Graubert et al. [15]; Makishima et al. [12] |
| *ZRSR2*          | <10           | • Not defined | Cazzola et al. [16] |
| *PRPF8*          | ~10           | • Not defined | Sperling et al. [17]; Bejar et al. [18]; Makishima et al. [12] |
| *LUC7L2*         | ~1            | • Not defined | Sperling et al. [17]; Haferlach et al. [10]; Makishima et al. [12] |
| **DNA methylation** |           |                                             |           |
| *TET2*           | 20–30         | • Low *TET2* expression associated with worse prognosis  
• In vivo, *TET2* mutations sensitize cells to AZA | Santamaria et al. [19]; Bejar et al. [20] |
| *DNMT3A*         | 10            | • Mutation hotspots: R882, P904  
• Associated with poorer OS  
• More common in patients with LR-MDS | Walter et al. [21]; Haferlach et al. [10] |
| *IDH1/IDH2*      | ~5            | • Mutation hotspots: R132 (IDH1), R140, R172 (IDH2)  
• Associated with multilineage dysplasia and excess blasts | Cazzola et al. [16]; DiNardo et al. [22] |
| **Chromatin modification** | |                                             |           |
| *ASXL1*          | 15–20         | • Mutation hotspots: G646, E635  
• Associated with decreased OS | Bejar et al. [23]; Chen et al. [24] |
| *EZH2*           | ~5            | • Associated with multilineage dysplasia and excess blasts  
• Associated with unfavorable clinical outcome in all myeloid neoplasms | Cazzola et al. [16] |
| **Transcription** |               |                                             |           |
| *RUNX1*          | ~10           | • Associated with multilineage dysplasia and excess blasts  
• Associated with unfavorable clinical outcome | Cazzola et al. [16] |
| *BCOR*           | <5            | • Associated with multilineage dysplasia and excess blasts  
• Associated with unfavorable clinical outcome | Cazzola et al. [16] |
| *CUX1*           | <5            | • Associated with poor prognosis | Sperling et al. [17]; Nazha et al. [25] |
| *ETV6*           | <5            | • Associated with poor OS | Sperling et al. [17]; Bejar et al. [23] |
| *NPM1*           | <5            | • Not defined | Sperling et al. [17]; Bejar et al. [23] |
| **DNA repair**   |               |                                             |           |
| *TP53*           | 10            | • More frequent in HR-MDS  
• Independently associated with decreased OS | Harada et al. [26]; Bejar et al. [23] |
|                  |               |                                             |           |
assessment of the degree of dysplasia and blast percentages rather than specific cytopenias. Suggested values for categorization of cytopenias in MDS remain hemoglobin <10 g/dl, platelet count <100 × 10^9/l, and absolute neutrophil count <1.8 × 10^9/l. When measuring neutrophil count, the ethnic origin of the patient and individual laboratory reference ranges should be considered, as some patient populations may have a lower minimal normal neutrophil count (<1.5 × 10^9/l). Importantly, MDS may initially present with anemia or thrombocytopenia above these arbitrary thresholds [43]. Although the threshold for defining dysplasia is 10% dysplastic cells in any 1 lineage, dysplasia in 1 cell lineage above 10% may occur in some healthy individuals and in other causes of cytopenia. The number of classical signs of dysplasia may also be low, depending on the case. Germing et al. [44] reported a median of six different dysplastic features in individual patients, highlighting the importance of testing both the peripheral blood and BM for signs of dysplasia. Although there is also inherent subjectivity in the classification of dysplasia, even among experienced hematopathologists [43], studies have shown moderate to substantial concordance among experts in assessing dysplasia in LR-MDS [45]. To estimate blast percentage, it is now recommended that all nucleated BM cells should be counted as the denominator, rather than just non-erythroid cells; [46, 47] this applies to all myeloid neoplasms [43]. Prussian blue staining of the BM is essential to determine if ring sideroblasts (RS; cells containing at least five siderotic granules surrounding the nucleus) are evident and how many are present. Most patients with RS are stratified into LR-MDS categories [48] (Table 2), and the revised WHO classification recommends a diagnosis of MDS-RS if an SF3B1 mutation (discussed in detail below) is present when RS comprise as few as 5% of the nucleated erythroid population. BM trephine biopsy can provide a more accurate assessment of the BM topography, cellularity, and presence or absence of fibrosis [49]. We recommend a trephine biopsy be performed at diagnosis and for follow-up assessments. Of note, the new 2016 WHO proposals for MDS classification were recently validated—

### Table 1 (continued)

| Genetic mutation | Incidence (%) | Characteristics and prognostic significance | Reference |
|------------------|---------------|---------------------------------------------|-----------|
| **Cohesins**     |               |                                             |           |
| STAG2            | <10           | • Associated with multilineage dysplasia and excess blasts | Cazzola et al. [16] |
|                  |               | • Mutated in ~ 10% of patients with AML |           |
|                  |               | • Associated with unfavorable clinical outcome |           |
| **CTCF**         | <5            | • Not defined | Sperling et al. [17] |
| **Cell signaling** |             |                                             |           |
| CBL              | <5            | • Associated with poor OS | Cazzola et al. [16]; Bejar et al. [23] |
| NRAS/KRAS        | <5            | • Prognostic significance not defined in MDS | Cazzola et al. [16] |
| NF1              | <5            | • Prognostic significance not defined in MDS | Cazzola et al. [16]; Haferlach et al. [10] |
| JAK2             | 2–10          | • Associated with thrombocytosis and MPN | Sperling et al. [17]; Bejar et al. [23]; Haferlach et al. [10] |
|                  |               | • More common in LR-MDS |           |
| MPL              | 2–10          | • More common in LR-MDS | Sperling et al. [17]; Haferlach et al. [10] |
|                  |               | • Associated with MPN |           |
| **DNA replication** |         |                                             |           |
| SETBP1           | <5            | • Found in 25% of patients with aCML and in subsets of patients with advanced MDS or CMML | Cazzola et al. [16] |
|                  |               | • Associated with poor OS and high risk of AML transformation |           |
| **Other**        |               |                                             |           |
| CSF3R            | <1            | • Strictly associated with CNL, found in a subset of patients with aCML | Cazzola et al. [16] |
|                  |               | • Mutation type may predict response to specific inhibitors |           |
| BRCC3            | 2–10          | • Not defined | Sperling et al. [17]; Haferlach et al. [10] |

aCML atypical chronic myeloid leukemia, AML acute myeloid leukemia, AZA azacitidine, CMML chronic myelomonocytic leukemia, CNL chronic neutrophilic leukemia, EFS event-free survival, HR-MDS higher-risk MDS, LR-MDS lower-risk MDS, MDS myelodysplastic syndromes, MPN myeloproliferative neoplasm, OS overall survival, RS ring sideroblasts.
and shown to be both pragmatic and feasible—in a large independent cohort [50].

**Role of flow cytometry in MDS diagnosis: an overview**

Over the past decade, flow cytometric advances have gained increasing importance in aiding the diagnosis of MDS. Multiparameter flow cytometry (MFC) may be used to detect the aberrant expression of differentiation-associated antigens in cells, as well as abnormal phenotypic patterns in maturing hematopoietic cells. However, as the underlying complexity and heterogeneity of the disease makes robust harmonization and reproducibility of testing difficult, immunophenotypic findings should always be considered in the context of other diagnostic results as part of an integrated diagnostic report (Fig. 1).

Attempts have been made to standardize immunophenotyping protocols, antibody selection, and interpretation of resultant diagnostic information. The European LeukemiaNet
(ELN) collaborative group proposed minimal requirements for the standardization of flow cytometry in MDS, recommending the use of multicolor MFC to detect 4 key reproducible parameters: (i) percentage of CD34+ myeloid progenitors, (ii) frequency of B-cell progenitors within the CD34+ cell population, (iii) myeloid progenitor cell CD45 expression, and (iv) granulocyte side scatter value [51–54]. In a large “learning and validation” cohort study (797 patients; 417 with LR-MDS and 380 controls with non-clonal cytopenias) designed to develop and validate a flow cytometric score for MDS diagnosis, patients with MDS frequently displayed increased myeloid progenitor-related cluster size, decreased B-cell progenitor-related cluster size, reduced granulocyte side scatter, and aberrant CD45 expression. Overall, the diagnostic score had a sensitivity of 70% to correctly diagnose MDS. Cremers et al. [55] reported on the specificity of MFC in excluding MDS in 379 cytopenic patients with indeterminate cytomorphology or cytogenetic findings—the presence of normal MFC findings predicted a low probability of developing MDS within 1 year.

Prognostically, a higher flow cytometry score has been associated with multilineage dysplasia, severe cytopenias, red blood cell transfusion dependence, and poor-risk cytogenetics, leading to a higher revised International Prognostic Scoring System (IPSS-R) classification, and an increased risk of leukemic evolution [56]. More recently, Alhan et al. [57] analyzed the flow cytometric characteristics of BM aspirate samples from 109 individuals with MDS to derive an MDS Flow Cytometry Score (MFS); this was validated in a further 103 patients. This MFS incorporates three parameters; degree of sideward light scatter of myeloid progenitor cells, CD117 expression on myeloid progenitor cells, and CD13 expression on monocytes. A high MFS score was associated with significantly poorer outcomes versus patients with intermediate MFS scores. Of particular note, the MFS further refined prognostication within the IPSS-R low-risk group, whereby those with high MFS tended to have worse overall survival. A multinational collaborative group has suggested minimal diagnostic criteria for both MDS and pre-MDS states and importantly has included a focus on suggested FC panels [58].

While the above studies focused on the myeloid compartment, a recent study focused on the erythroid compartment with the aim of delineating dyserythropoiesis associated with MDS from non-clonal cytopenias, something that can be very difficult for even experienced morphologists. An erythroid flow cytometry marker incorporating CD36 and CD71 expression (expressed as a co-efficient of variation), combined with CD71 fluorescence intensity and the percentage of CD117+ erythroid progenitors formed a marker set with high specificity (92%; 95% confidence interval 86–97%) for discriminating between true MDS and other non-clonal cytopenias [59]. This approach was validated in a prospective clinical study of 106 patients with MDS [60].

Despite advances, several issues regarding the utility of FC remain: (1) the variable degree of sensitivity; (2) heterogeneous immunophenotypic findings dependent on antibody combination and gating strategies; (3) the lack of uniform standardization; and (4) reproducibility across platforms and users [61]. One area of interest should be the immunophenotypic signature of conditions, which may mimic LR-MDS, such as autoimmune and inflammatory-driven cytopenias. Where possible, laboratories should follow the guidance of the ELN working group for standardization of flow cytometry in MDS. Flow cytometry findings should be one facet of the integrated report, which should also include peripheral blood counts, a description of the BM aspirate and trephine morphology or cytogenetic findings—the presence of normal MFC findings predicted a low probability of developing MDS within 1 year.

Paroxysmal nocturnal hemoglobinuria (PNH) screening

The presence of paroxysmal nocturnal hemoglobinuria (PNH) in patients with MDS may have important implications for prognosis and treatment. Patients with MDS and increased PNH-type cells may have more severe thrombocytopenia but less pronounced blood cell-morphologic abnormality, lower rates of karyotypic abnormalities, and lower rates of progression to acute leukemia versus patients with MDS without increased PNH-type cells [62]. PNH clones can occur in both MDS and AA and can readily be detected by standard flow cytometric techniques showing lack of glycoporphidylinositol-anchored proteins in the red cell, monocyte, and granulocyte compartments [63]. PNH clones have been reported in between 5.5% and 8% of patients with MDS [64, 65] and in 26.3% of patients with AA [64]. To detect PNH clones, samples should be analyzed within 24–48 h to ensure sensitivity at detecting PNH clones. Even when detected, the clone size can vary substantially and may often be clinically insignificant. If present at >1%, follow-up testing should generally be performed every 6 months [66] to determine whether clonal expansion has occurred. Patients with PNH and either AA or MDS may benefit from treatment with eculizumab, an anticomplement C5 monoclonal antibody that inhibits complement-mediated hemolysis of red blood cells [67].

Cytogenetic testing

Chromosome abnormalities are detected in approximately 50% of patients with newly diagnosed MDS and more than 80% of those with therapy-related MDS based on
conventional G-banding karyotypic analysis, or FISH [68, 69]. Importantly, FISH analysis can be applied to both metaphase cell preparations and interphase cell nuclei. FISH may aid diagnosis in patients with poor-quality metaphases or submicroscopic alterations. As FISH has only limited ability to detect additional abnormalities that are undetectable by metaphase cytogenetics [70], it should primarily be used when adequate metaphases are unavailable for conventional cytogenetic analysis. FISH analysis of peripheral blood CD34+ cells may also be used when conventional chromosome banding analysis is not possible [71]. A suggested FISH panel for diagnostic laboratory use in MDS could include the following probes: (i) EGR1/D5S630/D5S21 probe set, to detect -5 and del(5q); (ii) D7S486 or alternative probe set, to detect -7 and del(7q); (iii) CEP 8 or alternative, to detect trisomy 8; (iv) D20S108/20qter probe set, to detect -20 and del(20q); (v) TP53 locus-specific probe, to detect del(17p13.1); (vi) RPNI/MECOM to detect t(3;3) and inv(3); (vii) MLL (KMT2A) to detect 11q23.1-q23.3 rearrangement; and (viii) D13S319/LAMP1 to detect del(13q).

As discussed, karyotype risk factors feature heavily in both the IPSS and IPSS-R prognostic stratification (Table 3) [7, 8] and can aid prediction of response to therapeutic intervention. For example, the presence of del(13q) is associated with a favorable response to immunsuppressive therapy [72]. In a comprehensive cytogenetic analysis on 2072 MDS patients, clonal abnormalities were found in 1084 (52%) [68]. A total of 684 different cytogenetic categories were identified, reflecting the marked karyotypic heterogeneity associated with MDS. The most frequent cytogenetic abnormalities in MDS are del(5q), monosomy 7/del(7q), trisomy 8, loss of Y, and complex karyotypes (conventionally defined as ≥3 chromosomal aberrations, including at least 1 structural aberration) [73] (Table 4) [8, 74]. In LR-MDS, the frequent findings are a normal karyotype, isolated del(5q), del(20q), and -Y [8, 74].

Array-based comparative genomic hybridization (aCGH) facilitates identification of small chromosomal abnormalities that may remain undetected with traditional cytogenetics [75]. These abnormalities may include deletion of the region of chromosome 4q24 containing the TET2 gene, small deletions on chromosome 5q31, and deletions of 7q22.1 and 21q22.12 [76]. A small study reported on the utility of high-resolution whole genome aCGH analysis of CD34+ progenitor cells isolated from the marrow of 44 LR-MDS patients, 25 of whom had no karyotypic aberration by conventional karyotyping. aCGH identified cryptic DNA alterations that were undetectable by conventional karyotyping and revealed copy number changes in 36 of 44 patients. Moreover, maintenance of genomic integrity (arbitrarily defined as a chromosomal disruption of <3 MB) was associated with lower risk of leukemic transformation and improved survival [77]. Similarly, single-nucleotide polymorphism arrays (SNP-A) carry significant technical advantages and can be used for high-resolution genotyping in MDS to identify additional aberrations, including measurement of gene copy number (hybridization signal intensity) and areas of loss of heterozygosity, which cannot be detected with conventional techniques [78]. In a study of SNP-A genotyping performed on 119 LR-MDS patients, the group from King’s College, London demonstrated the presence of uniparental disomy in 46%, deletions in 10%, and amplifications in 8% of patients [78]. SNP-A genotyping provides superior levels of resolution and is able to evaluate nondividing cells and detect acquired copy-neutral loss of heterozygosity [79]. Potential platforms include the Affymetrix (Santa Clara, CA, USA) SNP platform 6.0 version (with 1.8 million probes), and the Cytoscan HD platform (with 2.695 million probes). However, SNP-A does not detect balanced translocations or small clones.

Importantly for both diagnosis and follow-up, there is a high concordance between cytogenetic and genomic aberrations detectable in the BM and in the peripheral blood of MDS patients [80]. This study evaluated BM-derived “genetic markers” in peripheral blood and serum samples using SNP-A karyotyping, 454 parallel sequencing (454-PS), and Sanger sequencing of 23 genes frequently mutated in MDS: all exons of DNMT3A, RUNX1, CEBPa, TP53, EZH2, and ZRSR2 and mutation “hotspots” for NPM1, FLT3, ASXL1, IDH1, IDH2, MPL, JAK2, BRAF, cCBL, NRAS, KRAS, C-KIT, SF3B1, SRSF2, and U2AF35. TET2 was analyzed by Sanger sequencing. This study successfully demonstrated an excellent concordance for both SNP-A and mutation analyses between peripheral blood (not serum) and BM, albeit with a lower clonal burden in the peripheral blood. In practical terms, this means that sequential cytogenetic monitoring can be performed on the peripheral blood rather than subjecting patients, who are often elderly, to repeated marrow biopsies [80].

**Genomic profiling: mutational landscape**

The increasing availability of rapid sequencing has revolutionized the diagnostic mutational profiling of suspected MDS patients (Table 1) [11–30]. Recurrent genetic mutations occur in diverse, pivotal cellular pathways. These include tyrosine kinases (FLT3, JAK2, MPL) and their downstream signaling pathways (RAS, CBL), transcription factors (RUNX1, NPM1, ETV6, GATA2), tumor suppressors (TP53, WT1), epigenetic modifiers (TET2, ASXL1, EZH2, DNMT3A, IDH1), pre-mRNA splicing machinery (SF3B1, SRSF2, U2AF1, ZRSR2), and cohesion complex proteins (STAG2, RAD21, SMC3, SMC1A). Akin to cytogenetic...
myelodysplastic syndromes, NR overall with incidences of 20% [12]. Moreover, the
DNA methylation-associated gene DNMT3A and the chromatin modification gene ASXL1 are mutated in more than 10% of MDS patients [81]. Della Porta et al. [82] reported on an association between the presence of severe granulocytic dysplasia and mutations in ASXL1, RUNX1, TP53, and SRSF2. The mean number of mutations is lower in LR-MDS compared with HR-MDS and, with the exception of SF3B1, DNMT3A, JAK2, and MPL, the majority of common mutations are more prevalent in high-risk subtypes [12]. Moreover, in an analysis of 288 patients with LR-MDS, 71% of the cohort had detectable mutations, most commonly involving TET2 (23% of samples), SF3B1 (22%), U2AF1 (16%), ASXL1 (15%), SRSF2 (15%), and DNMT3A (13%) [83].

Mutational profiling can also confer significant prognostic information and help predict response to therapy [20, 30]. As a result, mutational data are increasingly integrated into prognostic scoring systems and therapeutic treatment pathways. Mutations of ASXL1 are associated with poorer overall survival [25], while mutations of the tumor suppressor gene TP53 occur more frequently in patients with HR-MDS than LR-MDS [28] and are an independent predictor of decreased overall survival [25, 29]. Bejar et al. demonstrated that the presence of high abundance TET2 mutations were associated with an increased response rate to HMA therapy in a large MDS cohort, including cases of LR-MDS, particularly in the absence of ASXL1 [22]. Moreover, pre-allogeneic stem cell transplantation-targeted genomic profiling can aid prediction of transplantation outcomes in MDS [84].

In contrast to the majority of recurrently mutated genes in MDS that occur in HR phenotypes, SF3B1 mutation is

### Table 3 IPSS and IPSS-R prognostic scoring systems for MDS: classification, prevalence, and outcomes

| Risk classification system | Prognostic variables | Karyotype/cytogenetic classification criteria | Prognostic risk group | Proportion of MDS patients, % | Median OS, years | Median time to 25% AML transformation, years |
|---------------------------|----------------------|---------------------------------------------|----------------------|-----------------------------|----------------|---------------------------------|
| **IPSS** (Greenberg et al. [64]) | 1. Cytopenias | • Good: normal, −Y, del(5q), del(20q) | Low | 33 | 5.7 | 9.4 |
| | 2. Karyotype | • Poor: complex (≥3 abnormalities), chromosome 7 anomaly | Int-1 | 38 | 3.5 | 3.3 |
| | 3. BM blast percentage | • Int: other abnormalities | Int-2 | 22 | 1.2 | 1.1 |
| **IPSS-R** (Greenberg et al. [65]) | 1. Cytogenetics | • Very good: −Y, del(11q) | Very low | 19 | 8.8 | NR |
| | 2. BM blast percentage | • Good: normal, del(5q), del(12p), del(20q), double incl. del(5q) | Low | 38 | 5.3 | 10.8 |
| | 3. Hemoglobin | • Int: del(7q), +8, +19, i(17q), other single or double independent clone | Int | 20 | 3.0 | 3.2 |
| | 4. Platelets | • Poor: −7, inv(3)/t(3q)/del(3q), double incl. −7del(7q), complex (3 abnormalities) | High | 13 | 1.6 | 1.4 |
| | 5. ANC | • Very poor: complex (≥3 abnormalities) | Very high | 10 | 0.8 | 0.7 |

AML acute myeloid leukemia, ANC absolute neutrophil count, BM bone marrow, Int intermediate, IPSS(-R) (revised) International Prognostic Scoring System, MDS myelodysplastic syndromes, NR not reached, OS overall survival.

### Table 4 Incidence and prognostic significance of key cytogenetic anomalies in MDS

| Cytogenetic anomaly | Incidence among 1 202 MDS patients, % (Haase et al.) [68] | Median OS, months (Haase et al.) [68] | Prognostic significance according to IPSS-R (Greenberg et al.) [65] |
|---------------------|-------------------------------------------------------|---------------------------------------|-----------------------------|
| −Y                  | 2.8                                                   | 39.4                                  | Very good                   |
| del(11q)            | 0.9                                                   | 26.1                                  |                             |
| del(5q)             | 11.0                                                  | 77.2                                  | Good                        |
| del(12p)            | 0.6                                                   | NR                                    |                             |
| del(20q)            | 2.0                                                   | 71.0                                  |                             |
| del(7q)             | 0.9                                                   | 19.0                                  | Intermediate                |
| +8                  | 5.3                                                   | 23.0                                  |                             |
| +19                 | 0.4                                                   | 19.8                                  |                             |
| t(17q)              | 0.5                                                   | 32.1                                  |                             |
| −7                  | 3.5                                                   | 14.0                                  | Poor                        |
| del(7q)             | 0.9                                                   | 14.0                                  |                             |
| inv(3q)/t(3;3)      | 1.3                                                   | 19.9                                  |                             |
| Complex (3 abnormalities) | 2.7                                           | 17.0                                  |                             |
| Complex (>3 abnormalities) | 11.1                                                      | 8.7                                   | Very poor                   |

*Includes isolated, +1, and complex karyotypes, unless otherwise specified.

IPSS-R revised International Prognostic Scoring System, MDS myelodysplastic syndromes, NR not reached, OS overall survival.

profiling, the mutational landscape of MDS demonstrates great heterogeneity, although SF3B1 and TET2 remain the most commonly detected disease-associated mutations overall with incidences of 20–25% [12]. Furthermore, the

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more common in patients with LR-MDS and confers a favorable prognosis [11, 12, 29, 85]. Mutations of SF3B1 are strongly associated with favorable disease characteristics including the presence of RS [9–13, 42, 86] and normal cytogenetics [85]. Mian et al. [85] elegantly demonstrated that SF3B1 mutations in patients with MDS with RS arise early in rare hematopoietic stem cells; these may indeed be the initiating event and may propagate to myeloid progeny [85]. SF3B1 mutation was also shown to be an early event in MDS by Woll et al. [87] On note, although there is a strong association between SF3B1 mutation and RS, the percentage of RS itself is not predictive of survival [86]. The PACE-MDS study, investigating the efficacy of the recombinant fusion protein luspatercept, also demonstrated that improvements in erythroid activity in anemic LR-MDS patients were associated with the presence of SF3B1 mutations [88].

Attempts to incorporate genetic mutation data into the existing IPSS-R are ongoing (Fig. 2) [27, 29]. The 2016 update to the WHO classification system also incorporates key mutational data into diagnostic criteria. The revised guidelines now include SF3B1 mutation as diagnostic of MDS with RS; patients with SF3B1 mutation and as few as 5% with RS can be classified as having MDS-RS [43].

The choice of platform utilized to establish the presence or absence of mutations is dependent on the institution and availability of technology. The number of genes on such panels is non-exhaustive and should be directed by local capabilities and clinical utility. One suggested comprehensive panel is highlighted in Table 5, including common and rare genes that may be mutated in MDS (with known hotspots) in addition to telomerase complex genes. This is solely the authors’ suggestion and is dependent upon the platform available, referral practice, and clinical population. The actual turnaround time for the resultant report is variable, ranging from 1 to 6 weeks in routine clinical practice. Moreover, there is ongoing debate about how detailed such a report should be; known pathogenetic mutations should be reported although debate currently exists concerning routine reporting of detected variants of unknown significance.

![Table 5](https://example.com/fig2.png)  
**Table 5** Suggested comprehensive targeted myeloid disorder gene panel dependent on sequencing capabilities; prioritized based upon sequencing capabilities, clinical relevance, and incidence

| Tier 1 | Tier 2 | Tier 3 |
|--------|--------|--------|
| ABL1   | ASXL1  | ATRX   |
| CBL    | CBLB   | CBLC   |
| CDKN2A | CEBPA  | CEBPA  |
| DNMT3A | DNMT3A | DNMT3A |
| EPAS1  | EPAS1  | EPAS1  |
| ETOR   | ETOR   | ETOR   |
| EZH2   | EZH2   | EZH2   |
| FBXW7  | FBXW7  | FBXW7  |
| FLT3   | FLT3   | FLT3   |
| GATA1  | GATA2  | GATA2  |
| GNAS   | GNAS   | GNAS   |
| HRAS   | HRAS   | HRAS   |
| IDH1   | IDH1   | IDH1   |
| KMT2A/ MLL-PTD | KMT2A/ MLL-PTD | KMT2A/ MLL-PTD |
| KRAS   | KRAS   | KRAS   |
| MLL-PTD | MLL-PTD | MLL-PTD |
| NOTCH1 | NOTCH1 | NOTCH1 |
| NRAS   | NRAS   | NRAS   |
| NPM1   | NPM1   | NPM1   |
| PDGFR1 | PDGFR1 | PDGFR1 |
| PHF6   | PHF6   | PHF6   |
| PML    | PML    | PML    |
| PTE1   | PTE1   | PTE1   |
| PTM11  | PTM11  | PTM11  |
| RAD21  | RAD21  | RAD21  |
| RUNX1  | RUNX1  | RUNX1  |
| SETBP1 | SETBP1 | SETBP1 |
| SF3B1  | SF3B1  | SF3B1  |
| SMCA   | SMCA   | SMCA   |
| SMG3   | SMG3   | SMG3   |
| SRSF2  | SRSF2  | SRSF2  |
| STAG2  | STAG2  | STAG2  |
| T2F2   | T2F2   | T2F2   |
| TP53   | TP53   | TP53   |
| U2AF1  | U2AF1  | U2AF1  |
| VHL    | VHL    | VHL    |
| WT1    | WT1    | WT1    |
| ZRS2   | ZRS2   | ZRS2   |
| DDX41  | DDX41  | DDX41  |
| TERC   | TERC   | TERC   |

**How to practically distinguish idiopathic cytopenia of undetermined significance, idiopathic dysplasia of undetermined significance, clonal cytopenia of undetermined significance, and clonal hematopoiesis of indeterminate potential from LR-MDS**

Increasingly, the clinical community is utilizing targeted mutation testing in cases of cytopenia to aid both diagnostic and prognostic stratification; however, simply determining the presence of a myeloid disease-associated somatic mutation is not diagnostic per se of MDS or related disorders [58, 89].

It is well established that chronic aging affects not only the hematopoietic stem cell compartment and progeny but also the supportive BM microenvironmental niche and the interacting immune system. Recently, Vas et al. [90] described how an aged niche might exert a distinct selection pressure on dominant hematopoietic progenitor clones. Furthermore, Jaiswal et al. [91] reported on whole exome
sequencing (WES) data from 17,182 individuals unselected for hematologic phenotype in which the frequency of detectable somatic mutations rose appreciably with age. For individuals aged 70 to 79 years (n = 2229), 80 to 89 years (n = 317), and 90 to 108 years (n = 103), clonal mutations were observed in 9.5%, 11.7%, and 18.4%, respectively. The majority of the variants occurred in three genes: TET2, DNMT3A, and ASXL1. Somatic mutations were associated with an increased risk of hematologic malignancy, cardiovascular-related deaths, and all-cause mortality. Moreover, Genovese et al. [92] reported on an unselected cohort of 12,380 Swedish patients in which up to 10% of patients older than 65 years displayed clonal hematopoiesis with somatic mutations; in contrast, this feature was observed in only 1% of those younger than 50 years. Again, the most frequent somatic mutations were in TET2, DNMT3A, and ASXL1. The presence of this so-called age-related clonal hematopoiesis was a strong predictive factor for the subsequent development of a hematologic malignancy. Almost 42% of hematologic malignancies arose in patients with evidence of clonality at the time of sampling, at least 6 months before detectable disease [92]. However, not all patients with evidence of clonal hematopoiesis with MDS-type mutations occurring with age will subsequently develop a hematologic disorder, called clonal hematopoiesis of indeterminate potential (CHIP) (Fig. 1). The working definition requires an allele burden of \( \geq 4\% \) [58, 93]. Further longitudinal studies are required to correlate the presence of specific mutations and subsequent development of bona fide MDS [43].

Idiopathic cytopenia of undetermined significance (ICUS) is characterized by unexplained persistent cytopenia (\( \geq 4\) months), in 1 or more lineages, which fails to meet the minimal diagnostic criteria for MDS and is not explained by other hematologic or non-hematologic disorders (Fig. 1) [58]. Arbitrary cutoff figures remain hemoglobin <11 g/dl, platelet count <100 × 10^9/l, and absolute neutrophil count <1.5 × 10^9/l [94]. Although ICUS may involve more than 1 cell lineage, the normal clinical situation is that of a severe unilineage cytopenia. Individuals with ICUS may be further divided into ICUS-A (anemia), ICUS-N (neutropenia), ICUS-T (thrombocytopenia), and ICUS-PAN (bi/pancytopenia) [58]. The prevalence of ICUS and clonal cytopenia of undetermined significance (CCUS; in which a myeloid-disorder–associated mutation is detected in a cytopenic patient (\( \geq 4\) months) in the absence of any other clonal BM neoplasm) is poorly understood and robust long-term follow-up studies are lacking [58]. Although not as frequent, it is important to recognize patients with idiopathic dysplasia of undetermined (unknown) significance (IDUS), who present with persistent peripheral blood or BM findings of dysplasia in >10% of cells but no persistent cytopenias and no other reason for dysplasia and who do not meet the minimal criteria for MDS. These individuals lack a detectable MDS-associated mutation. No specific management guidelines, beyond those recommended for a specific cytopenia in ICUS or CCUS are available, but ongoing observation is warranted overall.

Although the precise longer-term significance of ICUS, IDUS, CHIP, and CCUS requires further clarification, we are increasing our knowledge of factors that may predict progression to MDS. Kwok et al. [95] analyzed 144 patients with unexplained cytopenias. Based on cytomorphologic assessment, 15% were diagnosed with ICUS and some evidence of dysplasia, 69% with ICUS and no dysplasia, and 17% with MDS. Using a targeted 22-gene panel, mutations were identified in 71% of MDS patients, 62% of patients with ICUS and some dysplasia, and only 20% of ICUS patients with no dysplasia. This represents a higher rate of detection than would be expected even for age-related clonal hematopoiesis. Similar rates were found when these results were validated in a cohort of 91 patients with LR-MDS and 245 patients with ICUS [95]. More recently, Malcovati et al. [96] evaluated the significance of somatic mutations in patients with unexplained cytopenias. In a learning cohort of 683 patients, using a targeted panel of 40 genes, 64% of patients carried a somatic mutation in at least 1 of these genes. The presence of a somatic mutation with a variant allele frequency (VAF; a measurement of the mutational burden detected) \( \geq 10\% \) or having 2 or more detectable mutations had a positive predictive value of 0.86 and 0.88, respectively, for diagnosis of a myeloid disorder. Moreover, mutations in spliceosome genes or comutation of TET2 or DNMT3A with another mutation were strongly associated with high risk of progression to a myeloid neoplasm.

It is extremely important to consider the VAF of the mutation detected when using these panels and whether that may contribute to the presence of cytopenia or not. According to Steensma et al. [93], larger clones (VAF > 20%) may have more clinical significance than smaller clones (VAF <10%); however, further evaluation of the dominant clonal architecture is needed. Future work may define patterns of mutations and VAFs that are predictive of a higher risk of progression to MDS. We suggest that follow-up and frequency of monitoring of patients with ICUS and CCUS is dependent on the degree of cytopenia, as well as the mutational burden in those with CCUS.

**How to accurately distinguish hypoplastic mds from AA**

Histologic differences between hypoplastic MDS (hMDS, which accounts for 10–15% of all MDS) and AA, can be subtle, and it can be extremely difficult, even for experienced histopathologists, to accurately discriminate between these two disorders. This is particularly true when the trephine cellularity is low and aspirates are pauciparticulate
and markedly hypocellular [97]. AA and hMDS may have overlapping pathogenetic mechanisms, but clinically this distinction is highly relevant, as the therapeutic approach and prognosis will differ.

Dyserythropoiesis can be prominent in AA and is not specific to hMDS. More than 10% hypogranular neutrophils or pseudo–Pelger–Huet cells in the peripheral blood (in a sample of at least 100 cells), presence of dysmegakaryopoiesis and marrow granulocytic dysplasia, the presence of RS, fibrosis, abnormal localization of immature precursors, and increased blasts suggest hMDS rather than AA [97]. However, overlapping features are not uncommon and these criteria are not always accurate. In addition, it is important to note that clonality is not uncommon in AA. Yoshizato et al. [98] comprehensively described the presence of myeloid disease-associated somatic mutations in 156 of 439 (35.5%) AA patients as determined by targeted-capture deep sequencing. Moreover, in targeted exome sequencing on the BM of 150 patients with AA, 32 somatic mutations commonly identified in MDS were discovered in 19% of patients; mutations in DNMT3A, ASXL1, and BCOR were most common. The presence of these somatic mutations and disease duration of >6 months was associated with a 40% risk of transformation to MDS [99]. It is difficult therefore to accurately utilize a detected mutational pattern/clonal burden for discrimination; for example in both AA and MDS, mutations in DNMT3A and ASXL1 are common. In contrast, PIGA and BCOR/BCOR1 mutations are overrepresented in AA [98, 99]. Collaborative approaches are required to revisit the criteria for hMDS incorporating morphologic, genomic, and immunologic features and are currently under way.

**Delineating immune signatures in lr-mds**

It is becoming increasingly accepted that the host background (e.g., human leukocyte antigen–type, DNA repair capability, and genomic characteristics), microenvironmental factors, and, importantly, the type of cellular immune response and immune checkpoints, may play a significant role in modulating clonal evolution in LR-MDS. As discussed previously, Kordasti et al. [36] were the first to identify an increased number of Th17 cells and increased Th17:Treg ratio in LR-MDS. Within the innate immune system, myeloid-derived suppressor cells (MDSCs) function as pivotal effectors of ineffective hematopoiesis and are markedly expanded in the BM of patients with LR-MDS. BM levels of the TLR4/CD33 ligand S100A9, which promotes both autocrine-reinforced MDSC activation and paracrine-mediated myeloid progenitor cell death, are also increased [100]. Utilization of findings such as these in routine diagnostics is as yet premature, but many advances are being made in this area.

**When to consider inherited BM failure syndrome or germline predisposition syndromes in lr-mds**

It is important to be alert to the possibility of inherited BM failure syndromes such as dyskeratosis congenita or even Fanconi anemia that may present later in life with an MDS phenotype. Moreover, integration of genomic analyses into diagnostic algorithms has led to an increasing recognition of underlying germline anomalies associated with an increased susceptibility to MDS. Recognition of such syndromes is essential not only for accurate diagnostic classification but also genetic counseling and psychological support for other family members. A detailed analysis of diagnostic approaches is outside the remit of this article, but several key points will be highlighted. An accurate and extended family history, with particular focus on hematologic disorders and solid organ tumors, should be obtained, and the clinician should be alert to any findings suggestive of a constitutional BM failure disorder/germline predisposition that may present as LR-MDS. Symptoms, signs, and laboratory findings may be highly variable but may include a personal or family history of cutaneous and nail anomalies, short stature, premature graying, thrombocytopenia, hemorrhagic phenomena, and limb or tooth anomalies [101]. Imaging may reveal the presence of cardiac abnormalities, liver fibrosis, or pulmonary fibrosis [101]. Where the clinical situation warrants telomere assessment, telomere length analysis should be considered either by reverse transcription polymerase chain reaction or Flow-FISH [102] (a technique combining flow cytometry with FISH), depending on local expertise. Mutations in TERC (encoding the RNA component of telomerase) or TERT (encoding the telomerase reverse transcriptase enzyme) can also be evaluated, and comprehensive telomerase gene complex targeted sequencing panels are under development [103, 104]. Regulator of telomere elongation helicase 1 (RTEL1) is a DNA helicase critical to telomere maintenance and stability and contributes to DNA repair. It plays a pivotal role in dismantling T loops and counteracts telomeric G4-DNA [105]. Biallelic germline mutations have been identified that clinically manifest with the dyskeratosis congenita phenotype and Hoyeraal–Hreidarsson syndrome [106]. Of relevance to this diagnostic workup, Marsh et al. [107] have recently reported that heterozygous RTEL1 variants classified as likely pathogenic can be associated with unexplained cytopenias, AA, and hMDS, seen both at an early age and in adulthood. These variants had variable penetrance, and it was noted that telomere length analysis alone may not detect all primary telomere defects, as RTEL1 variants were detected that were associated with eroded 3′ overhangs only. For Fanconi anemia, although late presentation is more unusual, presence of a positive chromosomal breakage test (using agents...
such as mitomycin C or diepoxybutane) remains the gold standard for diagnosis [108]. FANC gene mutational analyses can also be performed. Analyses of GATA2 mutational status are also highly relevant given the well-documented predisposition to MDS [109–111]. Lastly, a range of other germline mutations may present with late-onset MDS and these should always be considered dependent on phenotype and history (Table 6) [103–121].

Conclusions

The diagnosis of LR-MDS can be complex due to the difficulty of distinguishing true MDS from age-related or nonmalignant causes of cytopenia. There is also increasing recognition of cases that represent ICUS, IDUS, or CCUS rather than true MDS. However, as patient management is currently determined by accurate disease classification and prognostication, a delayed or incorrect diagnosis may delay treatment and adversely affect outcomes. As new tools are being developed to improve diagnostic/prognostic assessment, the challenge is to incorporate these tools into a streamlined, standardized diagnostic protocol. An up-to-date diagnostic approach, such as the one described here, will improve diagnostic accuracy and permit therapeutic stratification where required. An example of an integrated report of a LR-MDS case is shown in Fig. 3.

In conclusion, over the past decade, we have gained understanding of how genomic characteristics, micro-environmental factors, host background, type of adaptive/innate immune response, and immune checkpoints may play a significant role in modulating clonal evolution in MDS and response to therapy. We hypothesize that future developments will incorporate these findings into diagnostic and prognostic models that will permit stratified therapeutic intervention and improved outcomes.

Case study 1: a patient with delayed presentation of a constitutional BM failure syndrome

A 55-year-old man with a known diagnosis of pulmonary fibrosis for the past 3 years was referred to hematology due to progressive pancytopenia. He had no other significant past medical history or family history of note. He was on maintenance steroids for his pulmonary fibrosis. A physical examination revealed that he had a cushingoid facies with telangiectasia and evidence of vitiligo on his eyelids. His liver edge was palpable at 2 cm and his spleen at 3 cm below the right and left costal margins, respectively. A routine workup demonstrated a hemoglobin level of 88 g/l, a white blood cell count 2.5 × 10⁹/l, neutrophils 1.9 × 10⁹/l, and platelets 75 × 10⁹/l. His mean corpuscular volume was raised at 102 fl. The patient had a normal reticulocyte count, and normal B12 and folate levels. His ferritin level was 1945 g/l. His renal function was normal. His bilirubin level was normal at 14 µmol/l, alkaline phosphatase 109 IU/l, aspartate aminotransferase 78 U/l, and gamma-glutamyl transpeptidase 402 U/l. His lactate dehydrogenase level was normal. An abdominal ultrasound scan revealed hepatomegaly, the liver demonstrated a diffuse coarse texture on imaging, no focal lesions, and an enlarged spleen (17 cm). Subsequent BM aspiration and trephine biopsy results revealed a hypercellular marrow, trilineage dysplasia, and blasts were evident at 2%. Reticulin deposition was increased at grade 2, CD34⁺ 1%, and CD117 2%. BM cytogenetics revealed 46 XY, deletion (20)(q11q13) in 30 metaphases. Overall diagnosis was consistent with MDS, subtype MDS with Multilineage Dysplasia (MDS-MLD). A targeted gene panel incorporating commonly mutated telomerase genes in addition to the most frequent MDS-associated anomalies was performed, and this revealed that the patient was heterozygous for a known pathogenic telomerase RNA component (TERC) gene mutation only. This case highlights the importance of always considering a constitutional BM failure syndrome dependent upon the clinical presentation.

Case study 2: a patient with pancytopenia and a hypocellular BM

A 59-year-old woman presented in June 2013 with new-onset severe pancytopenia and demonstrated a hemoglobin level of 76 g/l, a white blood cell count 1.8 × 10⁹/l, neutrophils 0.4 × 10⁹/l, and platelets 2 × 10⁹/l. Her mean corpuscular volume was normal. Her symptoms were limited to fatigue and intermittent epistaxis. No other significant comorbidities were recorded. A peripheral blood film showed normal red cell morphology and confirmed leukopenia and thrombocytopenia. There were no circulating blasts. Flow cytometry revealed a small population of cells with a PNH phenotype: glycosylphosphatidylinositol (GPI)-deficient CD15 + neutrophils 12%; GPI-deficient CD64 + monocytes 11.2%; and GPI-deficient red cells 0.5% only. Her lactate dehydrogenase level and hemolytic markers were normal. An autoimmune screen and direct antiglobulin test results were negative. No T cell large granular lymphocyte population was identiﬁed on peripheral blood flow cytometry. BM aspiration and flow cytometry revealed an atypical and severely hypocellular sample, predominantly scattered non-clonal plasma cells and lymphocytes. There was marked dyserythropoiesis and, in assessable areas, mild granulocytic dysplasia. Conventional karyotyping failed and a single-nucleotide
## Table 6  Germline mutations that may be associated with late-onset MDS and other myeloid disorders

| Gene   | Chromosome | Physiological function | Mutational events                                                                 | Comments                                                                 | References                                                                 |
|--------|------------|------------------------|-----------------------------------------------------------------------------------|--------------------------------------------------------------------------|---------------------------------------------------------------------------|
| **DDX41** | 5q35.3     | RNA splicing; encodes a DEAD-box RNA helicase | Recurrent germline event is most frequently truncating.  
**DDX41** c.415_418dupGATG (p.D140Gfs*2) is most frequent | • Multiple mutations identified  
• Germline mutations often associated with hypocellular marrows and higher-risk MDS  
• Average age of presentation can be as late as 50–60 years | Lewinsohn et al. [106]; Li et al. [107]; Polprasert et al. [108] |
| **RUNX1**  | 21q22.12   | DNA binding subunit of core binding factor transcription complex | Multiple hotspot for mutations along gene; most frequently leads to premature protein truncation | • Germline **RUNX1** mutations may present with mild to moderate thrombocytopenia  
• Predisposition to myeloid malignancies; lifetime risk estimated at 20–65% | Sakurai et al. [109]; Churpek et al. [110] |
| **ANKR-D26** | 10p12.1     | Unclear | Single nucleotide substitutions in the 5′ untranslated region lead to gain-of-function. Promoter mutations lead to activation of MAPK and disrupted platelet development | • Mutations can impact upon megakaryopoiesis  
• Predisposition to thrombocytopenia, platelet dysfunction, and myeloid malignancies | Pippucci et al. [111]; Noris et al. [112] |
| **ETV6**   | 12p13.2     | Essential in embryonic development and hematopoiesis | Most often missense mutations leading to reduced expression of platelet-associated genes | • All germline mutations disrupt nuclear localization; decreased CD34+ cell proliferation  
• Propensity to MDS, AML, CMML, B-ALL, and myeloma | Reviewed by Bannon and Dinardo [113] |
| **GATA2**  | 3q21.3      | Transcription factor essential for normal hematopoiesis | Multiple; many lie within the conserved second zinc finger (ZF2) | • Can be highly penetrant autosomal-dominant inheritance with early MDS; often associated with monosomy 7  
• Acquired mutations in **ASXL1** may also be present  
• Associated with Emberger syndrome and MonoMAC syndrome | Rodrigues et al. [114]; Hahn et al. [115]; Kazenwadel et al. [116] |
| **SAMD9**  | 7q21.2      | Likely role as growth suppressor | Gain-of-function mutations | • Association with monosomy 7 or deletion 7q (−7/7q−)  
• Can be associated with multisystem disease (MIRAGE syndrome—MDS, infectious complications, restriction of growth, adrenal hypoplasia, genital anomalies, enteropathy) | Nanumi et al. [117]; Schwartz et al. [118] |

*AML acute myeloid leukemia, B-ALL B-cell acute lymphoblastic leukemia, CMML chronic myelomonocytic leukemia, MDS myelodysplastic syndromes.*
polymorphism array (SNP-A) was normal. One hypolobated megakaryocyte was seen. A targeted gene panel analysis revealed the presence of an ASXL1 mutation (c.1934dupG) with a variant allele frequency of 45%. A BM trephine biopsy was severely hypocellular (10%), with evidence of sparse yet hypolobated megakaryocytes, scattered lymphocytes and plasma cells, and marked reduction in erythropoiesis and granulocytic activity. There were no excess blasts. Reticulin deposition was patchy yet grade 2 in some regions. Based on these cumulative findings, she was diagnosed with hypoplastic MDS with a small PNH clone rather than AA, and commenced immunosuppressive therapy with cyclosporine A to which she had mounted a response by 3 months. Unrelated donors for a future allograft were identified.

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Compliance with Ethical Standards

Conflict of interest GJM has received honoraria for speakers bureau/advisory boards for Celgene Corporation and Novartis. DPM has received honoraria for speakers bureau/advisory boards for JAZZ Pharmaceuticals, Novartis, and Gilead. AAvdL has received honoraria for participating in advisory boards for Janssen, Amgen, Celgene Corporation, and Novartis, as well as research support from Celgene Corporation and Alexion. UG has received speakers honoraria from Celgene Corporation, Janssen, and Novartis and institutional research support from Celgene Corporation and Novartis. RPH has received an honorarium for consulting for Celgene Corporation.

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