Treatment Strategy after Incomplete Endoscopic Resection of Early Gastric Cancer

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Endoscopic resection of early gastric cancer is defined as incomplete when tumor cells are found at the resection margin upon histopathological examination. However, a tumor-positive resection margin does not always indicate residual tumor; it can also be caused by tissue contraction during fixation, by the cautery effect during endoscopic resection, or by incorrect histopathological mapping. Cases of highly suspicious residual tumor require additional endoscopic or surgical resection. For inoperable patients, argon plasma coagulation can be used as an alternative endoscopic treatment. Immediately after the incomplete resection or residual tumor has been confirmed by the pathologist, clinicians should also decide upon any additional treatment to be carried out during the follow-up period. Clin Endosc 2016;49:332-335

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INTRODUCTION

Endoscopic resection has now been accepted in South Korea as the definitive treatment for cases of early gastric cancer (EGC) that indicate it, mainly because endoscopic techniques and accessories have improved, and because the widespread national cancer screening program has increased detection of EGC. Furthermore, the number of EGC cases that indicate endoscopic submucosal dissection (ESD) and for which this procedure is considered has risen, because many technical difficulties have been overcome, and because ESD has become the treatment of choice for EGC that indicate it. Moreover, it has been necessary to expand the use of ESD, because the general population is aging, and quality of life after treatment for EGC is an important consideration.

However, the increased use of ESD to treat EGC should not be allowed to jeopardize patient survival. Therefore, ESD should only be used to treat EGC in cases where (1) the risk of lymph node or distant metastasis is negligible, (2) complete resection is feasible, (3) the risk of complications is minimal, and (4) the chances of survival are comparable to those of surgical resection. Nonetheless, as more cases of EGC are considered as indicating ESD, the rate of incomplete resection has increased with the expansion of indication of ESD for EGC. Of course, incomplete resection does not necessarily indicate residual tumor; nonetheless, additional treatment should be considered in patients with who are at high risk of residual tumor, whereas patients at low risk of residual tumor can simply be followed up closely.

COMPLETE RESECTION

The term “complete resection” means that no tumor cells remain after treatment—this can be revealed by both endoscopy and histopathology. However, as microscopic synchronous tumor cannot be found without total mapping of the stomach, tumor-negative lateral and vertical resection margins are usually interpreted as indicating complete resection. Micro-
scopic synchronous tumor is reported to exist in 7.4% of cases despite complete resection.\(^1\)

In cases of piecemeal resection, completeness cannot be confirmed by ambiguous reconstruction of the specimen. In addition, in cases of irregular tumor arrangement or insufficient resection margin (less than 2 mm), residual tumor may not be detected. False-negative tumor margins can also occur when the specimen is mapped in an incorrect direction or with a wide interval.

The specimen should be flattened and fixed immediately after resection, because the tissue may otherwise contract, leading to a false-positive result. Similarly, false-positive tumor margins can be caused by the cautery effect, whereby microscopic tumor cells around the resection margin are ablated.

The depth of tumor invasion, as well as the distance from the vertical resection margin, can be measured using pathological mapping. When the tumor invades more than 500 μm into the submucosal layer, the risk of lymph node or distant metastasis increases, irrespective of whether resection is complete.\(^7\)

Furthermore, lymphovascular tumor invasion confers an increased risk of lymph node or distant metastasis. Put another way, the risk of residual tumor, or of lymph node/distant metastasis, increases with deeper submucosal or lymphovascular tumor invasion, irrespective of whether resection is complete.

Conversely, it may be that no residual tumor is found during follow-up without additional treatment in cases of incomplete resection, because false-positive tumor margins often occur. In addition, it is possible that no lymph node/distant metastasis has occurred in cases of deeper submucosal or lymphovascular tumor invasion. A resection is defined as curative when there is (1) no residual tumor, (2) no lymph node/distant metastasis, and (3) no additional treatment during long-term follow-up; this definition is conferred irrespective of whether resection is complete, and without regard for either depth of submucosal invasion or presence of lymphovascular invasion.

**RISK FACTORS FOR RESIDUAL TUMOR**

Tumor-positive resection margin is a risk factor for residual tumor. In two retrospective studies, residual tumor was found in 29% of patients with tumor-positive lateral resection margin. Additional risk factors for residual tumor were: piecemeal resection, diffuse type histology, and tumor-positive vertical—or vertical and lateral—resection margin.\(^3,4\)

However, residual tumor was found in only 5.8% of patients with mucosal cancer and tumor-positive lateral-only resection margin, implying that curative resection had been achieved in most such patients.\(^3\)

Conversely, residual tumor was found in 20% of patients with submucosal tumor invasion, even though resection was complete; therefore, submucosal tumor invasion is a strong risk factor for residual tumor, irrespective of whether resection is complete.\(^6\)

It has been reported that the risk factors for residual tumor are: large tumor size, extent of tumor invasion at the lateral resection margin, and undifferentiated histology.\(^7-11\)

Therefore, in patients who display these signs, as well as those that show tumor-positive resection margin, additional treatment should be considered.

**RISK FACTORS FOR LYMPH NODE METASTASIS**

It is well known that tumor size, depth of tumor invasion, and undifferentiated histology are risk factors for lymph node...
metastasis. For this reason, curative resection cannot be confirmed in cases with a high risk of lymph node metastasis, even if resection is complete. To illustrate, in one retrospective study, the rate of complete resection was significantly lower, and rates of submucosal and lymphatic tumor invasion were significantly higher, in patients with undifferentiated histology than in patients with differentiated histology, and residual tumor was found in 40%, and lymph node metastasis in 13%, of patients who had undergone additional surgical resection. According to the expanded criteria for ESD, when there is a high risk of lymph node metastasis, additional surgical resection is needed, irrespective of whether resection is complete.

**TREATMENT STRATEGY AFTER INCOMPLETE RESECTION OF EGC**

Clinicians should decide on treatment strategy after incomplete resection of EGC based on the tumor differentiation, tumor size, depth of tumor invasion, tumor involvement at resection margin, and lymphovascular tumor invasion. When mucosal cancer is less than 2 cm and there is microscopic tumor involvement at the lateral resection margin, but no definite gross residual tumor or lymphovascular tumor invasion, the patient should be closely followed up without immediate additional treatment, because the cautery effect may have caused a false-positive result. However, in cases of extensive tumor involvement at the lateral resection margin, large tumor size, or undifferentiated histology, additional treatment should be considered, because of the high risk of residual tumor.

Immediate additional ESD should be used when tumor involvement at the lateral resection margin has been confirmed using histopathological mapping; however, the disadvantages of such an approach are that the location of the residual tumor may be ambiguous, and that there may be no residual tumor.

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Fig. 2. Additional argon plasma coagulation (APC) to treat residual tumor during follow-up. (A) Residual tumor during follow-up after incomplete endoscopic submucosal dissection. (B) Additional APC for residual tumor. (C) Post-coagulation state. (D) No residual tumor during follow-up.
Additional ESD can be performed to manage any residual tumor found during follow-up; however, this may be technically difficult because of submucosal fibrosis (Fig. 1). When curative resection is expected to be difficult using additional ESD, surgical resection must be used. Alternatively, argon plasma coagulation (APC) can be used to ablate the tumor when ESD or surgical resection is contraindicated because of old age or severe comorbidity, or when the case involves residual adenoma rather than cancer (Fig. 2). However, as APC may not totally ablate the residual tumor, serial follow-up should be performed, and repetitive APCs may be required.

As tumor-positive vertical resection margin indicates extensive submucosal tumor invasion and a high risk of lymph node metastasis, additional surgical resection is mandatory in such cases. Moreover, additional surgical resection should be considered in cases that go beyond the expanded criteria, irrespective whether resection is complete because such cases involve a high risk of lymph node or distant metastasis. Specifically, in a retrospective study, the tumor had occurred during follow-up in 10.1% of such patients after ESD beyond the expanded criteria.19

CONCLUSIONS

The criteria for ESD to treat EGC have been expanded as endoscopic technical progress has been made; for this reason, the rate of incomplete resection has also increased. When planning treatment strategy, clinicians should consider the quality of life and long-term survival of patients, as well as the risk of residual tumor and lymph node metastasis.

Conflicts of Interest

The author has no financial conflicts of interest.

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