Crystal Structure Imperfection of LiFePO$_4$ Synthesized Through Solid-state Reaction: An XRD Overview

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Abstract: Solid-state reaction is one of some methods to synthesize LiFePO$_4$ powder. However, the post-synthesis crystal structure was found to be imperfect, probably caused by the imperfection of the phospho-olivine structure. This study aimed to investigate the cause of its imperfection. A LiFePO$_4$ powder synthesized via solid-state reaction path was used as a case study sample for this study. XRD characterization was done to investigate it. Orthorhombic crystal structure was found to be a perfect fit for this sample using precise lattice parameter analysis, as shown by the linear regression equation result. Further analysis was performed using Rietveld refinement method to pinpoint the actual coordinates of Li, Fe, P, and O atoms. The result shows that solid-state reaction can produce an order of orthorhombic crystal structure which constructed by ordered Li atoms arrangement. On the other hands, there is a disordered phospho-olivine structure due to the imperfection of the occupation of Fe, P, and O atoms. These disorders were found through analysis of anomalous peaks on the Rietveld refinement result when compared with PDF database. Loss of (200) plane was caused by imperfect occupation of O atoms, while imperfect occupation of Fe and P atoms leads to new (200) plane of FeP$_4$ phase which has a monoclinic crystal structure.

Keywords: LiFePO$_4$, Imperfection, Synthesis, Solid-state, Calcination, XRD

1. Introduction

LiFePO$_4$ is a material that is widely used as a cathode active material for lithium-ion batteries [1-10]. To be able to be used as a cathode active material in lithium batteries, LiFePO$_4$ should have phospho-olivine structure [11]. An illustration of this structure can be seen in Figure 1. Basically, the phospho-olivine structure of LiFePO$_4$ is constructed by the bond of Fe, P and O. The arrangement of O atoms in phospho-olivine is almost close to a close-packed hexagonal structure [11]. Each of these O atoms is then bound to Fe atoms to form bonds that resemble FeO$_6$ and some are bound to P atoms to form bonds that resemble PO$_4$-shaped tetrahedral [11]. Meanwhile, Li atoms are not bound to Fe, P, or O, so they are free to move and become Li$^+$ ions [11]. With these characteristics, the crystal structure of LiFePO$_4$ is composed of orthorhombic structural “frameworks” arranged by the arrangement of Li atoms, whereas in the orthorhombic structure there is an arrangement of phospho-olivine structures built from Fe-P-O (FeO$_6$ and PO$_4$) structures as illustrated in Figure 1 [11].

However, we often find the imperfect LiFePO$_4$ structures, especially in its phospho-olivine structure. This will probably result in poor electrochemical performance of lithium batteries when used as a cathode active material. LiFePO$_4$ itself can be synthesized through some conventional methods such as hydrothermal [12-16], sol-gel, [17] as well as solid-state reaction [18]. Of the various reaction methods, imperfections of the LiFePO$_4$ crystal structure are most often found in solid-state reaction path. This of course sparks its own interest to know the cause. It is however rare to find research that specifically addresses it. Therefore, this study was conducted to try to find out the common causes of imperfections in the LiFePO$_4$ crystal structures resulting from these solid-state reactions. This study is focused on the
crystal structure imperfections examined through x-ray diffraction (XRD) overview.

2. Methodology

In this study, a sample of LiFePO$_4$ powder synthesized by solid-state reaction was used as a case study sample. This sample was synthesized from raw materials i.e. LiOH.H$_2$O powder, Fe$_2$O$_3$ powder, and liquid H$_3$PO$_4$. These materials were mixed evenly then ground using mortar and pestle. After that, the sample was synthesized by the solid-state reaction method through three step calcinations i.e. 700°C for 2 hours, 800°C for 8 hours, and ended with inert calcination together with activated carbon tablets with the parameter of 800°C for 2 hours. Accumulatively, the whole reaction follows the following equation below:

$$4 \text{LiOH.H}_2\text{O (s) + 2 Fe}_2\text{O}_3 (s) + 4 \text{H}_3\text{PO}_4 (l) + n \text{C (s) } \rightarrow 4 \text{LiFePO}_4 (s) + (n-1) \text{C (s) + CO}_2 (g) + 12 \text{H}_2\text{O (g)}$$

(1)

The solid-state reaction then produces LiFePO$_4$ powder and residual of activated carbon tablets that do not react with oxygen. The LiFePO$_4$ powder was then separated from the remaining activated carbon tablets so that pure LiFePO$_4$ powder was obtained as a result of solid-state reaction. This LiFePO$_4$ powder was then used as a case study sample for this study.

This sample was then characterized by Rigaku SmartLab 3 kW X-ray Diffractometer (XRD) with Cu-tube ($\lambda = 1.541862$ Å) to investigate the imperfect crystal structure. The range of 2θ used is 10° - 90°.

3. Results and Discussion

3.1. Precise Lattice Parameter Analysis

The list of 2θ and $d$ (d-spacing) as important parameters of XRD characterization results can be seen in Table 1. This list showed matching $d$ values when compared with the LiFePO$_4$ phase reference (PDF No. 01-080-6319), indicating the formation of LiFePO$_4$ with orthorhombic crystal structure (see Table 1).

However, to prove it, it is first necessary to do an analysis to identify the orthorhombic crystal structure in the sample of this case. An orthorhombic investigation was performed using Lutts’ analytical method [19]. The result of Lutts’ analytical method apparently did show the orthorhombic crystal structure ($a \neq b \neq c$, $\alpha = \beta = \gamma = 90^\circ$). The complete calculation result in the form of planes ($hkl$) and lattice parameters ($a$, $b$, $c$) can be seen in Table 2.

Table 2 shows that orthorhombic lattice parameter values ($a$, $b$, and $c$) shows different values at different 2θ angle. This is because the lattice parameter values are pseudo-lattice parameter values. The value of this pseudo-lattice parameter varies depending on the 2θ point of view. Therefore, it is necessary to do an analysis to find out the true lattice values.
parameter values of the detected orthorhombic crystal structure. The true lattice parameter values can be calculated using the Nelson-Riley analytical technique [20]. The actual true lattice parameter value is then referred to as the precise lattice parameter value (symbolized as $a_o$, $b_o$, and $c_o$) [20]. Based on the distribution of pseudo-lattice parameter values in Table 2, the precise lattice parameter values are then calculated and the results are obtained in the form of linear regression equations as shown in Figure 2, Figure 3, and Figure 4.

![Figure 4. Linear regression analysis for calculating precise lattice parameter ($c_o$) of orthorhombic crystal structure.](image)

In this precise lattice parameter analysis, the first thing to be noted is that the resulting linear regression line must be valid. The validity of linear regression line can be identified from the $R^2$ value. This value should ideally be 1 (one), but for real conditions it is sufficient to meet the range of $0.9 \leq R^2 \leq 1$ only. Equations plotted on Figure 2, Figure 3, and Figure 4 show the $R^2$ value of 0.9245, which means the resulting linear regression equation is valid for use. Furthermore, calculation results show that the orthorhombic crystal structure detected has precise lattice parameters of $a_o = 10.339 \text{ Å}$, $b_o = 6.0124 \text{ Å}$ and $c_o = 4.6939 \text{ Å}$ (indicated by the intersection point on the $y$-axis in Figure 2, Figure 3, and Figure 4). These values possess close similarity with the lattice parameter values of LiFePO$_4$ phase reference (PDF No. 01-080-6319; $a = 10.32 \text{ Å}$, $b = 6.0068 \text{ Å}$, $c = 4.6993 \text{ Å}$).

Next, we look back at Figure 2, Figure 3, and Figure 4. The linear regression lines formed are contributed by (101), (210), (020), (301), and (121) planes only. Other planes i.e. (111), (311), (222), and (512) do not contribute to the linear regression lines. A linear regression line basically shows an order of unit-cell of crystal structure, in this case orthorhombic crystal structure. Orthorhombic crystal structure formed in this case is only composed by (101), (210), (020), (301), and (121) planes only.

When traced deeper into the five constituent planes of this orthorhombic crystal structure of LiFePO$_4$, these planes are significantly contributed by the arrangement of Li atoms, as seen on Figure 5. Thus, the orthorhombic crystal structure identified from the calculation of the precise lattice parameter is the orthorhombic “frame” structure composed by Li atoms.

The illustration of this orthorhombic structural “framework” can be seen in Figure 6.

### Table 1. XRD characterization result and phase probability of LiFePO$_4$.

| XRD Characterization Result | Phase Reference of LiFePO$_4$ (PDF No. 01-080-6319) |
|----------------------------|-----------------------------------------------|
| $2\theta (°)$               | $d$ (Å)                                       | $d$ (Å)                                      |
| 20.7980                    | 4.271039                                      | 4.27677                                     |
| 22.7013                    | 3.917075                                      | 3.91412                                     |
| 25.5950                    | 3.480408                                      | 3.48394                                     |
| 29.7340                    | 3.004687                                      | 3.00340                                     |
| 32.2407                    | 2.776570                                      | 2.77577                                     |
| 35.6280                    | 2.519976                                      | 2.51974                                     |
| 36.5570                    | 2.458042                                      | 2.45787                                     |
| 52.4419                    | 1.744843                                      | 1.74197                                     |
| 61.8502                    | 1.500108                                      | 1.50170                                     |

### Table 2. Luts’ analytical method result for orthorhombic analysis.

| $2\theta (°)$ | $hkl$ | $a$ (Å) | $b$ (Å) | $c$ (Å) |
|---------------|-------|---------|---------|---------|
| 20.7980       | 101   | 10.331766 | 6.008205 | 4.690591 |
| 22.7013       | 210   | 10.331768 | 6.008206 | 4.690592 |
| 25.5950       | 111   | 10.329693 | 6.006999 | 4.690650 |
| 29.7340       | 020   | 10.333776 | 6.009374 | 4.691504 |
| 32.2407       | 301   | 10.333803 | 6.009389 | 4.691516 |
| 35.6280       | 311   | 10.331519 | 6.008061 | 4.690479 |
| 36.5570       | 121   | 10.335455 | 6.010350 | 4.692266 |
| 52.4419       | 222   | 10.357234 | 6.023015 | 4.702154 |
| 61.8502       | 512   | 10.323952 | 6.003660 | 4.687044 |

### 3.2. Rietveld Refinement Analysis

The orthorhombic structure that has been calculated is known to be formed only by the arrangement of Li atoms only, while we know the structure of LiFePO$_4$ is of course also composed of Fe, P, and O atoms in addition to the Li atom. Since only orthorhombic “frame” structure of Li atoms has been identified, it can only be ascertained that there are Li atoms in $(0, 0, 0)$ coordinates. Meanwhile, to ensure the formation of phosho-olivine structures within the “framework” of the orthorhombic structure, it is necessary to first ascertain the actual coordinates of the Fe, P, and O atoms. Furthermore, for knowing the actual coordinates of the Fe, P, and O, we can do a simulation using the Rietveld refinement method [21].

This simulation is carried out until a convergent condition is reached between the observation results with the calculation of the Rietveld method. Besides achieving convergence, this simulation is also carried out until the lattice parameter values of $a$, $b$, and $c$ are equal or close to the results of the $a_o$, $b_o$, and $c_o$ analysis results of the previous precise lattice parameter analysis. The result of the Rietveld refinement simulation can be seen in Figure 7. Convergence was achieved at $\chi^2 = 1.158$ and $wR_p = 10.53\%$. Statistically, this condition is convergent enough to observe the simulation result. In this convergent condition, the lattice parameter values obtained are $a = 10.330258 \text{ Å}$, $b = 6.005805 \text{ Å}$ and $c = 4.695306 \text{ Å}$. These are very close to the values of precise lattice parameters from previous calculation that is $a_o = 10.339 \text{ Å}$, $b_o = 6.0124 \text{ Å}$ and $c_o = 4.6939 \text{ Å}$. 

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If all Li, Fe, P and O atoms had an occupancy value of 1 (one), then the formula formed would be Li₄Fe₃P₁(O₄)₁ or LiFePO₄. However, in actual conditions, the occupancy values of Fe and P were 0.8567 and 0.4477 (see Table 3), resulting in the formula of LiFe₀.₈₅₆₇P₀.₄₄₇₇O₄. This shows that the LiFePO₄ formula failed to form due to the loss of Fe₀.₈₅₆₇, simplified to Fe₀.₄₁₃₃P₀.₅₅₂₃.

Feₐ₁₄P₀.₅₆₅₆ formula is very close to the Fe₀.₄₁₃₃P₀.₅₅₂₃ formula, respectively. Fe₀.₄₁₃₃P₀.₅₆₂₃ formula is actually 0.14 × FeP₄, so the prediction of the actual whole reaction that occurs is as follows:

\[
4 \text{LiOH}_2\text{H}_2\text{O}_4(\text{s}) + 2 \text{Fe}_2\text{O}_3(\text{s}) + 4 \text{H}_3\text{PO}_4(\text{l}) + n \text{C}(\text{s}) \rightarrow 4 \text{LiFe}_{0.₄₅₆₇}\text{P}_{0.₄₄₇₇}\text{O}_4(\text{s}) + 0.₅₆ \text{FeP}_4(\text{s}) + (n-1) \text{C}(\text{s}) + \text{CO}_2(\text{g}) + 12 \text{H}_2\text{O}_4(\text{g})
\]

If we look at the occupancy values in Table 3, only Li and O atoms have full occupancy value of 1 (one); they are numbered in a unit-cell according to the LiFePO₄ standard reference (PDF No. 01-080-6319). Meanwhile, Fe and P atoms have occupancy values below 1 (one). This shows that the number of Fe and P atoms in an expected LiFePO₄ unit-cell is not in accordance with the reference of LiFePO₄ which has a phospho-olivine structure.

### 3.3. Predicted Causes of Phospho-olivine Structural Failure

In light of the predicted actual reaction (2), we can see that only Li atoms have similar actual coordinates as the reference, unlike Fe, P, and O atoms. This further strengthens the notion that the ordered structure is formed by the arrangement of Li atoms only, which forms the orthorhombic “frame” structure. Meanwhile, the phospho-olivine structure which is expected to form on the inside of the orthorhombic structural space is thought to be still not visible because the coordinates of the Fe, P and O atoms are not in accordance with the standard reference of LiFePO₄ which has a phospho-olivine structure.

### Table 3. Occupation coordinates of Li, Fe, P, and O atoms from Rietveld refinement simulation.

| Atom | x / a    | y / b    | z / c    | Occupation |
|------|----------|----------|----------|------------|
| Li   | 0.000000 | 0.000000 | 0.000000 | 1.0000     |
| Fe   | 0.281317 | 0.250000 | 0.958766 | 0.8567     |
| P    | 0.092807 | 0.250000 | 0.394131 | 0.4477     |
| O    | 0.095833 | 0.250000 | 0.626202 | 1.0000     |
| O    | 0.486515 | 0.250000 | 0.228455 | 1.0000     |
| O    | 0.160234 | 0.050603 | 0.275679 | 1.0000     |

### Table 4. Occupation coordinates of Li, Fe, P, and O atoms of phospho-olivine LiFePO₄ reference (PDF No. 01-080-6319).

| Atom | x / a    | y / b    | z / c    | Occupation |
|------|----------|----------|----------|------------|
| Li   | 0.000000 | 0.000000 | 0.000000 | 1.0000     |
| Fe   | 0.282222 | 0.250000 | 0.974772 | 1.0000     |
| P    | 0.094866 | 0.250000 | 0.41820  | 1.0000     |
| O    | 0.09678  | 0.250000 | 0.74279  | 1.0000     |
| O    | 0.45710  | 0.250000 | 0.20602  | 1.0000     |
| O    | 0.16558  | 0.04466  | 0.28478  | 1.0000     |

After convergent conditions are achieved, we can then pay attention to the simulation results of the coordinates/atom positions. These coordinates can be seen in Table 3. If we compare it with the atomic occupancy coordinates in the reference of phospho-olivine LiFePO₄ structure (see table 4), we can see that only Li atoms have similar actual coordinates as the reference, unlike Fe, P, and O atoms. This further strengthens the notion that the ordered structure is formed by the arrangement of Li atoms only, which forms the orthorhombic “frame” structure. Meanwhile, the phospho-olivine structure which is expected to form on the inside of the orthorhombic structural space is thought to be still not visible because the coordinates of the Fe, P and O atoms are not in accordance with the standard reference of LiFePO₄ which has a phospho-olivine structure.

### Table 5. Phase probability of FeP₄ based on reference.

| XRD Characterization Result | Phase Reference of FeP₄ (PDF2 No. 79-0486) |
|-----------------------------|--------------------------------------------|
| 2θ (°)                      | d (Å)                                      | d (Å) and (hkl) |
| 20.7980                     | 4.271039                                   | -              |
| 22.7013                     | 3.917075                                   | -              |
| 25.9590                     | 3.480408                                   | 3.4820 (112)   |
| 29.7340                     | 3.004687                                   | 3.0994 (023)   |
| 32.2407                     | 2.776570                                   | 2.7614 (131)   |
| 35.6280                     | 2.199976                                   | 2.5266 (200)   |
| 36.5570                     | 2.458042                                   | 2.4428 (024)   |
| 52.4419                     | 1.744843                                   | 1.7409 (224)   |
| 61.8502                     | 1.500108                                   | 1.5016 (17)    |

4 LiOH₂H₂O₄(ₕ) + 2 Fe₂O₃(ₕ) + 4 H₃PO₄(ₗ) + n C(ₙ) → 4 LiFe₀.₄₁₃₃P₀.₅₅₂₃O₄(ₕ) + 0.₅₆ FeP₄(ₕ) + (n-1) C(ₙ) + CO₂(ₕ) + 12 H₂O₄(ₙ)
Looking at XRD observation results, there are seven FeP₄ diffraction line probabilities (see Table 5). However, to ascertain the exact location of the FeP₄ crystal structure, it can be investigated from some anomalies in the Rietveld refinement error distribution. These anomalies are marked with number 1 and 2 in Figure 7.

These two anomalies are at \( d = 2.519976 \, \text{Å} \) and \( d = 5.16 \, \text{Å} \). At \( d = 5.16 \, \text{Å} \); no convergence was found between observations and calculations result. This is caused by the non-detected value of \( d = 5.16 \, \text{Å} \) on the observation results. The value of \( d = 5.16 \, \text{Å} \) itself indicates the (200) plane in the phospho-olivine LiFePO₄ structure (PDF No. 01-080-6319). Thus, this indicates a failure in the formation of (200) plane in the resulting LiFePO₄ structure. The failure to form (200) plane is only possible due to imperfect occupancy of the O atoms. Meanwhile, the anomaly that occurs at \( d = 2.519976 \, \text{Å} \) is probably caused by the addition of an additional preferred orientation from another phase beside LiFePO₄. If we look at the probabilities in Table 5, in the range of \( d \) values there is a possible (200) plane of the FeP₄ phase which has a monoclinic crystal structure. From these two anomalous analysis, it can be assumed that the failure of the formation of phospho-olivine structure in the “framework” of orthorhombic structure is caused by the imperfections of occupational coordinates of Fe, P and O atoms, where imperfect coordinates of occupancy of the O atoms cause loss of (200) plane, which should be one of the planes forming the structure of phospho-olivine. Failure of occupancy of some Fe and P atoms consequently leads to the emergence of new (200) plane of another structure i.e. FeP₄ phase which has a monoclinic crystal structure.

4. Conclusion

Based on this XRD investigation, it can be concluded that there are two main factors causing imperfections of the structure of the phospho-olivine LiFePO₄ synthesized by solid-state reaction. The first factor is the imperfection in the occupancy coordinates of the O atoms, causing loss of (200) plane which should be one of the parts of the phospho-olivine structure. Whereas the second factor is occupancy failure of some Fe and P atoms which instead form a new crystal structure of monoclinic FeP₄ with a single (200) plane.

From the investigation of the LiFePO₄ powder as a case study sample, although the structure of the phospho-olivine failed to form completely, the orthorhombic “frame” structure was formed perfectly by the arrangement of Li atoms.

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