The heterostructures of graphene with other hexagonal layered crystals or crystals with hexagonal symmetry facets feature moiré patterns which are the result of incommensurability of the periods of the two two-dimensional lattices, or their misalignment. Since the period of the moiré pattern is longer for a pair of crystals with a closer size of lattice constants and better aligned principal crystallographic axes, the long period moiré superlattices are characteristic for graphene/hexagonal boron nitride (h-BN) heterostructures with a small misalignment angle $\theta$ between the two honeycomb lattices. Such heterostructures have recently been created by transferring graphene onto h-BN substrate on a graphene layer and the interlayer coupling in bilayer graphene with Bernal stacking (BLG).

We study the superlattice minibands produced by the interplay between a moiré pattern induced by a hexagonal BN substrate on a graphene layer and the interlayer coupling in bilayer graphene with Bernal stacking (BLG). We compare moiré miniband features and zero-energy gaps in BLG, where they are affected by the interlayer asymmetry of BLG/h-BN heterostructure and trigonal warping characteristic for electrons in Bernal-stacked bilayers, with those found in monolayer graphene.

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The analysis below uses and extends the symmetry-based phenomenological approach developed for monolayer graphene. We find that, in contrast to monolayers, the electronic spectrum of BLG on h-BN is most likely to exhibit gaps between the first moiré miniband and the rest of the spectrum (on valence- or conduction-band side, and sometimes in both bands), or have the bands strongly overlapping with each other, whereas sDPs at the miniband edge appear only for exceptionally unique choice of moiré parameters. Also, we find that a gap at the edge between the valence and conduction bands can be opened in BLG by the same moiré perturbation that would not open a “zero-energy” gap in MLG. This behavior is prescribed by the substrate creating a moiré perturbation only for one layer of BLG, thus breaking the inversion symmetry of the moiré superlattice. The results of our systematic study of the miniband regimes in BLG/h-BN heterostructures are summarized in Fig. 1, where we classify miniband spectra (due to a moiré perturbation characterized by parameters introduced in the description of monolayer graphene on h-BN) according to the overlap between the first two minibands on the valence side. Regions of the parameter space corresponding to gapped spectra are painted in red, while those indicating overlapping (nonresolved) bands are left transparent. Differences between the two diagrams, related to different misalignment angles $\theta$, arise from the interplay between the orientation of the supercell Brillouin zone (sBZ) and the skew interlayer hopping in BLG. This interplay, unique to BLG, can help in narrowing down the range of values of microscopic parameters of moiré pattern.
at the graphene/h-BN interface using magnetotransport and capacitance experiments.

The analysis in this paper is performed using a phenomenological approach\(^8\) which involves the description of the long-range moiré superlattice using a Dirac-type model for graphene electrons, where we include all symmetry-allowed terms in the moiré perturbation applied to one of the two layers in BLG and perform an exhaustive numerical analysis in order to characterize the miniband behavior over a broad range of the parameter space. For BLG placed on top of a substrate with hexagonal symmetry and the lattice constant \(a_S = (1 + \delta) a\) larger by \(\delta\) than that of graphene \([a = 2.46 \text{ Å}, \text{and for the case of } h\text{-BN}, \delta = 1.8\% (\text{Ref. } 1)]\), the lattice mismatch, together with a possible misalignment of the two lattices given by the angle \(\theta\), lead to a periodic structure which can be described using a set of reciprocal-lattice vectors,

\[
b_n = \frac{2\pi}{\sqrt{3}a}\{1 - (1 + \delta)^{-1}\hat{R}_n\} \begin{pmatrix} 0, 4\pi \sqrt{3}a \end{pmatrix}, \quad n = 0, 1, \ldots, 6,
\]

where \(\hat{R}_n\) stands for anticlockwise rotation by angle \(\varphi\) and \(b = |b_n| \approx \frac{2\pi}{\sqrt{3}a}\sqrt{\delta^2 + 0^2}\). Note that this set both rotates by \(\varphi(\theta)\) and changes its size as a function of \(\theta\), Fig. 2. Because of the rapidly decaying nature of the interlayer interaction, we only take into account the influence of h-BN on the bottom carbon layer, neglecting any interaction with the top one. Then, following from the MLG investigations,\(^8\) the electrons in BLG/h-BN heterostructure are described by the Hamiltonian

\[
\hat{H} = \left(\frac{v}{\gamma}(\tau_x\sigma_x + i\sigma_y) + \frac{v}{\gamma}(\sigma_x - i\tau_z\sigma_y)(p_x - i\tau_z p_y)\right)
\]

\[
\delta\hat{H}_{\text{sym}} = u_0vb f_1(r) + u_1v|f_2(r)|\sigma_x \tau_x + u_1 v|f_1(r)|\sigma_y \tau_y + u_1 v|f_1(r)|\sigma_x \tau_y + u_1 v|f_2(r)|\sigma_y \tau_x,
\]

\[
\delta\hat{H}_{\text{asym}} = \hat{u}_0vb f_2(r) + \hat{u}_1v|f_3(r)|\sigma_x \tau_x + \hat{u}_1v|f_3(r)|\sigma_y \tau_y + \hat{u}_1v|f_3(r)|\sigma_x \tau_y + \hat{u}_1v|f_2(r)|\sigma_y \tau_x,
\]

\[
f_1(r) = \sum_n e^{i b_n r}, \quad f_2(r) = i \sum_n (1) e^{-i b_n r},
\]

written in the basis of the Bloch states on sublattices \([\phi(A_1),\phi(B_1),\phi(A_2),\phi(B_2)]\) in the \(K\) valley and \([\phi(A_1), -\phi(A_1), -\phi(A_2), -\phi(A_2)]\) in \(K'\), where indices 1/2 mark the bottom/top layers and the substrate directly acts on the electrons in the bottom layer. We also use \(\hbar = 1\) and employ two sets of Pauli matrices \(\sigma_i, \sigma = (\sigma_x, \sigma_y, \sigma_z)\), and \(\tau_i\), acting in the sublattice and valley space, respectively.

The intralayer Dirac-like terms \(v\sigma \cdot p\) on the diagonal and interlayer terms on the right-hand side of Eq. (1) follow from the tight-binding description of BLG,\(^9\) with \(v = 10^5 \text{ m/s}\) resulting from the interlayer hopping in graphene. Also, \(\gamma = 0.38 \text{ eV } (\text{Ref. } 11)\) characterizes the “vertical” interlayer hopping between the closest neighbors and \(v_3 \approx 0.12 u_3\) (Refs. 10–12) describes the trigonal warping of BLG bands, resulting from the skew next-interlayer hopping. This results in the four-band BLG model, with two bands degenerate at the points \(K\) and \(K'\), and two bands split away by \(\pm\gamma_1\).

The moiré perturbation, scaled using energy scale \(vb\) and parametrized using dimensionless \(u_i, \hat{u}_i, i = 0, 1, 2, 3\),\(^8\) captures the effect of the substrate on BLG through its coupling with carbon orbitals in the closest, bottom layer only. Following the approach used in the monolayer study,\(^8\) we separate the moiré perturbation due to h-BN into inversion-symmetric and asymmetric terms, \(\delta\hat{H}_{\text{sym}}\) and \(\delta\hat{H}_{\text{asym}}\), respectively. By considering the limiting case in which electrons in graphene are dominantly affected by only one of the two atoms in the h-BN unit cell, we argue that \(|\hat{u}_i| \ll |u_i|\) and neglect \(\delta\hat{H}_{\text{asym}}\) in all further discussions of the band structures, except the analysis of a gap opened at zero energy, where it is necessary in order to compare to the situation in monolayer graphene. The remaining parameters, \(u_{0,1,2,3}\), can be associated with the following characteristics of the moiré pattern: parameter \(u_0\) characterizes the magnitude of a smooth electrostatic potential, \(u_3\) captures the local asymmetry between the \(A_1\) and \(B_1\) sublattices, and \(u_1\) and \(u_2\) introduce modulation of the in-plane hops for the electrons traveling within the bottom layer. As opposed to the monolayer case,\(^3\) in BLG the rotorless \(u_2\) term cannot be completely gauged away. However, it vanishes for zero misalignment angle, and its effect on the band structure is generated via the interplay with the trigonal warping term: therefore, it is small and can be neglected. Note that, since we scale all energies by \(vb \approx v\frac{\pi}{\alpha_0}\sqrt{\delta^2 + \theta^2}\), the size of dimensionless parameters \(u_i\) in Eq. (1) would be larger for smaller angle \(\theta\) for the same pair of BLG and a substrate.

Due to the time-inversion symmetry, described by the operation\(^13,14\)

\[
\hat{H}(p) = \sigma_z \tau_y \hat{H}^*(-\hat{p})\sigma_z \tau_y,
\]

electronic spectra in the two valleys are related, \(\epsilon_{K' - p'} = \epsilon_{K + p}\), so that we only discuss electronic spectra in one valley, e.g.,

FIG. 2. (Color online) Hexagonal Brillouin zone (BZ) of BLG with the valley coordinate system \(p_x, p_y\) and the electronic bands in the vicinity of the \(K\) valley, together with the zoom in on the supercell Brillouin zone (sBZ) where we mark its own symmetry points \(k\) and \(\mu\).
resulting from a generic choice of parameters in Hamiltonian (1) is not electron-hole symmetric, but obeys the following relation:

\[ \epsilon_{k+p} = -\epsilon_k, \]

which folds the parameter space \((u_0, u_1, u_3)\) to be explored.

For the sake of a systematic comparison with the low-energy “two-band” model of free-standing BLG,\(^\text{10}\) applicable at the energy scale \(\epsilon \ll \gamma_1\), we use a Schrieffer-Wolff transformation\(^\text{15}\) and project the four-band Hamiltonian onto the low-energy bands, reducing it to an effective two-band Hamiltonian,

\[ \hat{H}_{\text{eff}} \approx -\frac{\hbar^2}{\gamma_1} \left[ \left( p_x^2 - p_y^2 \right) \sigma_x + 2p_x p_y \sigma_y \right] \tau_z + \frac{\hbar}{2} \gamma_1 \left( 1 + \sigma_z \tau_z \right) \sigma_1 + \left( p_x + i p_y \right) g_{- \epsilon}^{( \epsilon)}(r) \gamma_1 \left( 1 - \sigma_z \tau_z \right) \sigma_1 + g^{( \epsilon)}(r) \left( p_x - i p_y \right) \sigma_1 + i \sigma_z \tau_z \right], \]

where

\[ g_{\pm}(r) = \left( u_0 \pm i u_1 \right) f_1(r) \pm \left( u_3 \pm i u_0 \right) f_2(r), \]

\[ g(r) = \sum_n e^{i n \theta} \tilde{b}_n \tilde{b}_n^* \gamma_1 \left( 1 + \sigma_z \tau_z \right) \sigma_1 + \left( p_x + i p_y \right) g_{- \epsilon}^{( \epsilon)}(r) \gamma_1 \left( 1 - \sigma_z \tau_z \right) \sigma_1 + g^{( \epsilon)}(r) \left( p_x - i p_y \right) \sigma_1 + i \sigma_z \tau_z \right], \]

The applicability of the simplified Hamiltonian to the description of, at least, the first moiré miniband in the BLG spectrum requires that \(\gamma_1 \gtrsim 2\epsilon\). For a perfectly aligned BLG/h-BN heterosystem, we estimate that \(\frac{\epsilon}{\gamma} \approx \frac{\pi}{\sqrt{3} \gamma_1} \approx 1.107\), which suggests that a quantitative description of moiré minibands in BLG/h-BN requires the use of the four-band Hamiltonian, Eq. (1).

Little is known about the exact values of each of the perturbation parameters \(u_i\). Due to the size of the moiré and the importance of van der Waals interaction in graphene/h-BN heterostructures, resources needed for supercell-size \(ab\) \(initio\) calculations are prohibitive. At the same time, even for the more studied system of MLG/h-BN, experimental data obtained so far also do not allow for clear determination of the perturbation.\(^\text{3,4}\) Hence, in our numerical modeling of spectra, we broadly cover the \((u_0, u_1, u_3)\) parameter space, Fig. 1. Examples of characteristic moiré miniband spectra on the valence-band side in BLG/h-BN are shown in Fig. 3: (a) overlapping minibands characteristic for the transparent part of the parameter space in Fig. 1; (b) and (c) gapped spectrum at the edge of the first moiré miniband characteristic for the red-painted part of the parameter space in Fig. 1. Also shown in Figs. 3(b) and 3(c) are the corresponding densities of states, with a global gap in the valence band.

Spectra in Figs. 3(a) and 3(c) both correspond to the same choice of perturbation, \(u_0 = u_1 = 0, u_3 = 0.15\), but a different misalignment angle \(\theta\), which is enough to cause the opening of a band gap between the first and second minibands on the valence side. This is because of the trigonal warping of the unperturbed BLG spectrum, which shifts the energy \(\epsilon^{(0)}_p\) of a momentum state \(p = (p_x, p_y)\) by \(\epsilon^{(0)}_\text{warp} \approx -s v_B^3 \cos 3\varphi\), where \(\varphi = \arctan \frac{p_y}{p_x}\), \(p = \sqrt{p_x^2 + p_y^2}\) and \(s = 1\) \((s = -1)\) denotes the conduction (valence) band. For \(\theta = 0\), this results, for example, in the \(\kappa (\kappa')\) point in the valence band shifted up (down) in energy, like in Fig. 3(a). However, misalignment angle \(\theta = 0.595^\circ\) leads to sBZ rotation by \(\varphi = -30^\circ\) and the trigonal warping correction at the points \(\kappa\) and \(\kappa'\) of the rotated sBZ vanishes, as seen in Fig. 3(c).

Such a strong dependence of the miniband spectrum on the misalignment angle is special to BLG, because in MLG trigonal warping corrections are much weaker. Consequently, experimental investigation of the BLG miniband spectra for several misalignment angles (determined from the moiré geometry) may yield new information about the nature of the perturbation felt by graphene electrons due to \h-BN. For example, two of the models suggested for the MLG/h-BN heterostructure, the graphene–h-BN hopping model\(^\text{6}\) and the point-charge lattice model,\(^\text{8}\) yield the same form of the \(u_i\).
coefficients as a function of the misalignment angle $\theta$,

$$u_0 = \frac{1}{2} \tilde{v}, \quad u_1 = -\frac{\delta}{\sqrt{\delta^2 + \theta^2}} \tilde{v}, \quad u_3 = -\frac{\sqrt{3}}{2} \tilde{v}$$

(\(\tilde{v} > 0\) is a strength of the perturbation), corresponding to a single line for each misalignment angle $\theta$, as shown with bold cyan lines in Fig. 1 for $\theta = 0$ and $\theta = 0.595^\circ$. It is interesting to note that in the diagram for $\theta = 0.595^\circ$, this line passes close behind the green region, for which a secondary Dirac point at $\kappa' = (-\frac{1}{\sqrt{3}} b, 0)$, not obstructed on the energy scale by other spectral features, separates the first and the second miniband on the valence side. In contrast, on the conduction side, the minibands always overlap.

FIG. 5. (Color online) Moiré miniband spectra (drawn within the rhombic sBZ) illustrating the role of trigonal warping in determining whether the first and second minibands on the valence side are overlapping or gapped at a secondary Dirac point. For these examples, we assumed that relations in Eq. (3) hold and set $u = \sum_i |u_i| = 0.15$. The insets show the angular dependence, $\cos 3\phi$, of the trigonal warping for the valence band within the (rotated) sBZ.

valence bands, in contrast to monolayer graphene, where such gap,

$$\Delta_{\text{MLG}} = 24 v_b |u_1 \tilde{u}_0 + u_0 \tilde{u}_1|,$$

appears only when the moiré pattern contains an inversion asymmetric perturbation. In the monolayer, this is accompanied by an overall shift, $12v_b (u_1 u_3 + \tilde{u}_1 \tilde{u}_3)$, resulting in the edges of the conduction/valence band at $\epsilon_{\pm \text{MLG}} = 12v_b (u_1 u_3 + \tilde{u}_1 \tilde{u}_3) \pm 12v_b (u_1 \tilde{u}_0 + u_0 \tilde{u}_1)$). In MLG, one of the two degenerate zero-energy states belongs to the bottom and one to the top layer. Because the top layer is unaffected by the perturbation, the corresponding state stays at zero energy, while the other one is shifted by $\epsilon_{\pm \text{MLG}}$, just like one of the states in MLG. As a result, the bilayer gap is nonzero even if the moiré perturbation is inversion symmetric,

$$\Delta_{\text{BLG}} \approx 12v_b |u_1 (u_3 + \tilde{u}_0) + \tilde{u}_1 (u_0 + \tilde{u}_3)|,$$

as a consequence of the h-BN substrate breaking the equivalence of the two layers and, hence, the inversion symmetry. For BLG sandwiched between two h-BN layers, the asymmetry will be still present, if the misalignment angles between graphene and top/bottom h-BN layers are different.

In summary, we showed that the interplay between interlayer coupling (including skew hopping between layers leading to the trigonal warping effect in BLG) in bilayer graphene and breaking of layer symmetry by the substrate play an important role in determining the miniband spectrum of BLG/h-BN heterostructures. As opposed to MLG in which a gap at the Dirac point is open only for an inversion-asymmetric moiré perturbation, in BLG a “zero-energy” gap is open even for an inversion-symmetric perturbation, as a direct consequence of interlayer asymmetry caused by the substrate.

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We tested all of our above conclusions for a “single-side gate” geometry, where a large charge density required to fill the first miniband (four electrons per moiré supercell) is induced by a single gate, which induces additional interlayer asymmetry (Refs. 17 and 18), and found that its presence has almost no effect on the type of the miniband spectrum at the edge of the first miniband (gapped or overlapping minibands).

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