AMR Alignment: Paying Attention to Cross-Attention

Pere-Lluís Huguet Cabot *  
Sapienza University of Rome & Babelscape, Italy  
huguetcabot@babelscape.com

Abelardo Carlos Martínez Lorenzo *  
Sapienza University of Rome & Babelscape, Italy  
martinez@babelscape.com

Roberto Navigli  
Sapienza University of Rome  
navigli@diag.uniroma1.it

Abstract

With the surge of Transformer models, many have investigated how attention acts on the learned representations. However, attention is still overlooked for specific tasks, such as Semantic Parsing. A popular approach to the formal representation of a sentence’s meaning is Abstract Meaning Representation (AMR). Until now, the alignment between a sentence and its AMR representation has been explored in different ways, such as through rules or via the Expectation Maximization (EM) algorithm. In this paper, we investigate the ability of Transformer-based parsing models to yield effective alignments without ad-hoc strategies. We present the first in-depth exploration of cross-attention for AMR by proxy of alignment between the sentence spans and the semantic units in the graph. We show how current Transformer-based parsers implicitly encode the alignment information in the cross-attention weights and how to leverage it to extract such alignment. Furthermore, we supervise and guide cross-attention using alignment, dropping the need for English- and AMR-specific rules.

1 Introduction

At the core of NLU lies the task of Semantic Parsing, aiming at translating natural language text into machine-interpretable representations. One of the most popular semantic formalisms is the Abstract Meaning Representation (Banarescu et al., 2013, AMR), which embeds the semantics of a sentence in a directed acyclic graph, like shown in Figure 1, where concepts are represented with nodes, such as *thirst*; semantic relation between concepts are represented by edges, such as *purpose*; and the co-references are represented with reentrant nodes, such as *p4* representing *pill*. As of now, AMR has been widely used in Machine Translation (Song et al., 2019), Question Answering (Lim et al., 2020; Bonial et al., 2020b; Kapanipathi et al., 2021), Human-Robot Interaction (Bonial et al., 2020a), Text Summarization (Hardy and Vlachos, 2018; Liao et al., 2018) and Information Extraction (Kao et al., 2017), among other areas.

Alignment between spans in text and semantic units in graphs (see Figure 1) is a fundamental requirement for multiple purposes, such as training AMR parsers (Wang et al., 2015; Flanigan et al., 2016; Misra and Artzi, 2016; Damonte et al., 2017; Zhou et al., 2021), cross-lingual AMR parsing (Biloshmi et al., 2020), applying AMR in downstream tasks (Song et al., 2019), or the creation of new semantic parsing formalisms (Navigli et al., 2022; Martínez Lorenzo et al., 2022), among others. However, AMR does not provide such alignment information.

*Equal contribution

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Several alignment standards have been proposed to mitigate this issue, such as JAMR (Flanigan et al., 2014), ISI (Pourdamghani et al., 2014) or LEAMR (Blodgett and Schneider, 2021), among others. Following these standards, there are different approaches to extract the alignments, such as adopting rule-based approaches (Liu et al., 2018), or by statistical strategies using Expectation Maximization (EM) (Pourdamghani et al., 2014; Blodgett and Schneider, 2021).

Current state-of-the-art AMR parsers are auto-regressive neural models (Bevilacqua et al., 2021; Bai et al., 2022) that do not generate or rely on alignment when parsing the sentence to produce the graph. Therefore to obtain both, one needs to i) predict the graph and then ii) generate the alignment using an aligner system.

Recent work has questioned considering attention as an explanation (Bibal et al., 2022), or put it to test against other approaches such as saliency methods (Bastos and Filippova, 2020). We want to explore whether this holds true for cross-attention in auto-regressive parsers and the alignment problem in Semantic Parsing as we uncover the relation between them. This paper explores how auto-regressive models implicitly encode the relations between spans in the text and semantic units in the graph through cross-attention and how the alignment can be obtained directly while predicting the graphs.

The main contributions of this paper are as follows: (i) we explore the type of implicit alignment knowledge that Transformer-based AMR parsing models preserve; (ii) we extract the alignment information from the model; (iii) we supervise a model’s cross-attention for improving how it learns and (iv) obtain state-of-the-art results in AMR alignment, along with different standards.

2 Related Work

**JAMR** (Flanigan et al., 2014) aligns spans to subgraphs by applying an ordered list of 14 criteria. One shortcoming of JAMR is that it is unable to resolve ambiguities. **TAMR** (Liu et al., 2018) extends it with an oracle parser that selects the alignment corresponding to the highest-scored candidate AMR graph. **ISI** (Pourdamghani et al., 2014) uses an EM algorithm to establish alignments of tokens with nodes and relations. The graph is first linearized, and then EM is used with a symmetrized scoring function where probabilities of a node or edge to be aligned to a word and vice versa are equal. This leads to more diversity in terms of alignment patterns but fails when facing easy to recognize patterns such as dates. **LEAMR** (Blodgett and Schneider, 2021) combines rules and EM to automatically align sentence spans with graph’s semantic units. All semantic units in the graph should be aligned to at least one span of the sentence, which makes it the first standard to tackle reentrant nodes.

Throughout the last years several systems have incorporated innovative methods to extract the alignment, e.g., by incorporating syntactic information (Chen and Palmer, 2017; Szubert et al., 2018; Chu and Kurohashi, 2016), word embeddings (Anchiêta and Pardo, 2020) or including graph distance information (Wang and Xue, 2017). Zhou et al. (2021) provide alignments while parsing with a transition based approach, but rely on JAMR alignments and are not evaluated.
2.1 Semantic Parsing and Transformer models

Most modern systems for AMR parsing rely on Encoder-Decoder Transformers such as BART or T5 (Lewis et al., 2020; Raffel et al., 2020; Lam et al., 2021). Such models consist of two stacks of Transformer layers, with self- and cross-attention as their backbone.

With the surge of Transformer models, research has explored how attention encodes the information in text, i.e., whether it corresponds to the intuition behind human attention (Vashishth et al., 2019), or different definitions of explainability (Bastings and Filippova, 2020; Bibal et al., 2022). Several works have investigated how attention operates, relates to preconceived ideas, aggregates information and explains model behavior for tasks such as Natural Language Inference (Stacey et al., 2021), Translation (Yin et al., 2021; Zhang and Feng, 2021; Chen et al., 2021), Summarization (Xu et al., 2020; Manakul and Gales, 2021) or Sentiment Analysis (Wu et al., 2020). There have even been attempts at guiding attention in order to improve interpretability or its performance in downstreams tasks (Deshpande and Narasimhan, 2020; Sood et al., 2020). However, to our knowledge there has been no study on attention for AMR Parsing. We fill this gap with our paper.

3 Foundations

3.1 Alignment Standards

While conceptually our approach is agnostic to different standards, we rely on existing ones. Figure 1 shows an intuition of the concept’s alignment between the sentence and the AMR graph.

ISI The ISI standard aligns single spans in the sentence to graphs’ semantic units (nodes or relations). ISI aligns relations and reentrant nodes when they explicitly appear in the sentence.

LEAMR The LEAMR standard differentiates among 4 different types of alignment: i) Subgraph Alignments, where all the subgraphs that explicitly appear in the sentence are aligned to a list of consecutive spans, ii) Duplicate Subgraph, where all the subgraphs that represent omitted concepts in the sentence are aligned, iii) Relation Alignments, where all the relations that do not take part in a previous subgraph structure are aligned, and iv) Reentrancy Alignments, where all the reentrant nodes are aligned. In contrast to ISI, all the semantic units in the graph are aligned to some list of consecutive spans in the text.

3.2 Cross-attention

Originally described by Vaswani et al. (2017) as “multi-head attention over the output of the encoder”, and referred to as cross-attention in Lewis et al. (2020), it enables the Decoder to attend to the output of the Encoder stack, conditioning the hidden states of the autoregressive component on the input text. We define the self-attention module and Transformer cross-attention as:

\[
\text{Attention}(Q, K, V) = \text{att}(Q, K)V
\]

\[
\text{att}(Q, K) = \text{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)
\]

\[
\text{CrossAtt}(Q, K, V) = \text{Concat}(\text{head}_1, \ldots, \text{head}_H)W^O
\]

\[
\text{head}_h = \text{Attention}(QW_h^Q, KW_h^K, VW_h^V)
\]

where \(K, V = E^\ell \in \mathbb{R}^{n_e \times d_k H}\) and \(Q = D^\ell \in \mathbb{R}^{n_d \times d_k H}\) are the encoder and decoder hidden states at layer \(\ell\), \(n_e\) and \(n_d\) are the input and output sequence lengths, \(H\) the number of heads, \(W_h^Q\), \(W_h^K\) and \(W_h^V\) are learned weights that project the hidden states to the appropriate dimensions, \(d_k\) for each head and \(W^O \in \mathbb{R}^{d_k H \times d_k H}\) is a final learned linear projection. Therefore in each head \(h\) and layer \(\ell\) we define the attention weights as \(\text{att}^\ell_h = \text{att}(D^\ell W_h^Q, E^\ell W_h^K) \in \mathbb{R}^{n_d \times n_e}\).
4 Method

4.1 Unsupervised Cross-Attention

We argue there is an intuitive connection between cross-attention and alignments. Under the assumption the decoder will attend to the parts in the input that are more relevant to predict the next token, we infer that when decoding the tokens for a certain node in the graph, attention should focus on related tokens in the input, and therefore the words that align to that node. We will use the cross-attention matrices \((att^\ell_h)\) to compute an alignment between the input and the output.

4.2 Guided Cross-Attention

We want to explore whether cross-attention can be guided by the alignment between the words of the sentence and nodes of the semantic graph. To this end, we construct a sparse matrix \(\text{align} \in \mathbb{R}^{n_d \times n_e}\) from the automatically generated ISI or LEAMR alignments:

\[
\text{align}(i, j) = \begin{cases} 
1 & \text{if } x_i \sim y_j \\
0 & \text{if } x_i \not\sim y_j
\end{cases}
\]

where \(\sim\) indicates an alignment between token \(x_i\) (part of a word) and graph-token \(y_j\) (part of a node or relation).

However this produces a sparse matrix. While there are sparse versions of attention \cite{Martins2016}, they did not produce successful alignments in our experiments. Hence we choose to alleviate the constraint of imposing sparsity by employing the scalar mixing approach introduced in ELMo \cite{Peters2018}. We therefore learn a weighted mix of each head and obtain a single attention matrix:

\[
att^\ell = \gamma \sum_{h=0}^{H} s_h att^\ell_h \in \mathbb{R}^{n_d \times n_e}
\]

where \(s = \text{softmax}(a)\) with scalar learnable parameters \(\gamma, a_0, \ldots, a_H\). We obtain better results when using a subset of heads to compute \(att^\ell\).

The model is free to give more weight to certain heads that naturally become more sparse, while other heads are free to encode useful information that may be independent from alignment. In our experiments we use the implementation of \cite{Bevilacqua2021} to train our parser, but add an additional Cross-Entropy loss signal:

\[
L = L_{LM} - \sum_{j} \sum_{i} \log \sum_{k} \frac{\exp(\text{att}^\ell(i, j)) \cdot \text{align}(i, j)}{\sum_{k} \exp(\text{att}^\ell(i, k)) \cdot \sum_{k} \text{align}(k, j)}
\]

4.3 Saliency Methods

Input saliency methods represent a theoretically-valid alternative to our reasoning about cross-attention, i.e. that when decoding the tokens for a certain node in the graph, a higher importance will be given to the tokens in the input that correspond to that node, or at least to those that were more important in their prediction.

Therefore we look at the saliency weights of the input at each decoding step, obtaining a weight matrix with the same size as the cross-attention, \(sal \in \mathbb{R}^{n_d \times n_e}\).

To this end we deploy Captum \cite{Kokhlikyan2020}, with an array of saliency methods such as gradient-based: Integrated Gradients (IG), Saliency \cite{Simonyan2014}, Input X Gradient (IxG); backpropagation-based: Deeplift \cite{Shrikumar2017}, Guided Backpropagation (GB) \cite{Springenberg2013}; and finally occlusion-based \cite{Zeiler2014}.
Algorithm 1: Procedure for extracting the alignment between spans in the sentence and the semantic units in the graphs, using a matrix that weights encoder tokens with the decoder tokens.

1: function EXTRACTALIGNMENTS(ENCODERTOKENS, DECODERTOKENS, SCOREMATRIX) 
2: \text{ALIGNMENTMAP} = \text{dict()} 
3: SPANSLIST = Spans(ENCODERTOKENS) \quad \triangleright\text{Extract sentence spans as in LEAMR} 
4: \text{SPANPOSITIONS} = \text{tok2span}(ENCODERTOKENS) \quad \triangleright\text{Map input tokens to spans} 
5: \text{GRAPHPOSITIONS} = \text{tok2node}(DECODERTOKENS) \quad \triangleright\text{Map output tokens to graph unit (node or relation)} 
6: \text{CombineSubwordTokens(SCOREMATRIX)} 
7: \text{for} \text{ DECODERTOKENPOSITION, GRAPHUNIT in GRAPHPOSITIONS} \text{do} 
8: \quad \text{ENCODERTOKENSCORES} = \text{SCOREMATRIX}[\text{DECODERTOKENPOSITION}] 
9: \quad \text{MAXSCOREPOSITION} = \text{argmax}(\text{ENCODERTOKENSCORES}) 
10: \quad \text{ALIGNMENTMAP}[\text{GRAPHUNIT}] = \text{SelectSpan}(\text{SPANSLIST}, \text{MAXSCOREPOSITION}) 
11: \text{end for} 
12: \text{FIXEDMATCHES} = \text{getFixedMatches(GRAPHPOSITIONS)} \quad \triangleright\text{Look for rule based matches, ie. named Entities, punctuation, etc.} 
13: \text{ALIGNMENTMAP} = \text{applyFixedMatches(ALIGNMENTMAP, FIXEDMATCHES)} 
14: \text{ALIGNMENTS} = \text{FormatAlignment(ALIGNMENTMAP)} 
15: \text{return} \text{ALIGNMENTS} 
16: \text{end function} 

5 Alignment Extraction 

Algorithm 1 describes the overall steps of our alignment process as pseudocode, which is divided into the following steps:

1. **Alignment Score Matrix** We create a matrix $M \in \mathbb{R}^{n_e \times n_d}$, where $n_e$ is number of tokens in the sentence and $n_d$ is the number of tokens in the linearized graph, using the cross-attention or saliency weights as described in Section 4.

2. **Span Segmentation** We sum the scores of tokens that belong to the same sentence words column-wise in $M$. Then, the sentence tokens are grouped into spans using the span segmentation procedure in LEAMR (Blodgett and Schneider, 2021).

3. **Graph Segmentation** We sum the score of tokens that belong to the same graph’s semantic unit row-wise in $M$. 

4. **Sentence Graph Tokens Map** We iterate over all the graph’s semantic units and map them to the sentence span with higher score in $M$.

5. **Special Graph Structures** We revise the mapping by identify subgraphs that represent literal or matching spans – e.g., named entities, date entities, specific predicates, etc – and align them accordingly.

6. **Alignment Formatting** We extract the final alignments to the appropriate format using the resulting mapping relating graph’s semantic units to sentence spans.

6 Experimental Setup 

6.1 Datasets 

**Graph inventory** AMR 3.0 (LDC2020T02) contains 59,255 manually annotated sentence-graph pairs. We only use the train split for the guided approach, and use the respective validation and test splits from the alignment systems.

**Alignments** We evaluate our systems on two gold alignments. ISI (Pourdamghani et al., 2014) released two splits of 200 manually annotated alignments that we use as validation and test set. We update them to the AMR 3.0 formalism. Similarly LEAMR provided 150 validation and 200 test manually annotated alignments. These include some sentence-graph pairs from The Little Prince Corpus (TLP) complemented with randomly sampled from AMR 3.0.
Figure 2: Unsupervised (left), saliency (center-left) and guided (center-right) alignment weights and LEAMR (right) gold alignment for lpp_1943.1209. To interactively explore all cross-attention weights go here.

6.2 Model

In all cases we use SPRING as our parsing model, based on BART-large. We extract all $\text{att}^h_l$ matrices from a model trained on AMR 3.0 as in Bloschmi et al. (2021) in order to perform our unsupervised cross-attention analysis. For the guided approach we re-train using the same hyper-parameters as the original implementation but with an extra loss signal as described in Section 4.2 based on either LEAMR or ISI. When using LEAMR alignments, we restructure the training split in order to exclude any pair from their test and validation sets.

7 Experiments

Layer and Head analysis To explore how cross-attention correlates to alignment, we compute the Pearson’s r correlation between each $\text{att}^h_l$ matrix and the LEAMR alignment matrix $\text{align}$ after we flatten them and remove special tokens not relevant for alignment. In Figure 3 we observe how, overall, there is a clear positive correlation. We noticed that attention is focused solely on the beginning and end of sentence tokens and punctuation marks in heads with a low correlation. While we do not have an intuition on why certain heads correlate more with it, there is a clear connection between cross-attention and alignment. For instance, the head 6 in layer 3 ($\text{att}^3_6$) achieves a value of 0.635, approximately the same as the sum of the whole layer. The left image in Figure 2 shows the cross-attention values for $\text{att}^3_6$ for an example of the TLP corpus. Notice how despite being a model that has not seen any alignment information, it can find the correct correspondence between non-trivial matches such as merchant and person.

Saliency methods The two most correlated methods were Saliency and GB, with 0.575. Despite this result, when we look at it, we notice how saliency methods were more prone to focus on essential parts of the sentence, such as the subject or predicate. These are usually aligned to more nodes and relations, explaining the high correlation, but it was less nuanced than cross-attention. The center image of Figure 2 portrays such conduct.

Guided Our best result was by supervising layer 3 during training using the approach described in 4.2 on half of the heads (3, 4, 5, 6, 7, 11, 12 and 15) selected by their correlation on the validation set and using Cross-Entropy Loss. The performance on parsing was not affected. When we look at $\text{att}^3_l$ using the learned weighted mix from Equation 1 with LEAMR alignments, the correlation reaches 0.866, much higher than any other method. Figure 3 shows the impact of supervising half the heads on layer 3, as well as how it even influences heads in other layers. By looking at the center-right Figure 2, $\text{att}^3_l$ attention is more condensed, which ties with the improvement in correlation. However, notice how sometimes the model confidently attends to incorrect positions, such as $<$pointer:0$>$ and merchant when it should be sold.
8 Results

Table 1 shows the performances of our two approaches on the LEAMR gold alignments compared to previous systems. We use the same evaluation setup as Blodgett and Schneider (2021), where the partial match assigns a partial credit from Jaccard indices between nodes and tokens. In both guided and unsupervised methods, we extract the score matrix for Algorithm 1 from the sum of the cross-attention in the first four layers. We used a Wilcoxon signed-rank test Wilcoxon (1945) on the alignment matches per graph to check for significant differences. Both our approaches were significantly different compared to LEAMR (p=0.031 and p=0.007 respectively). However, we found no statistical difference between our unsupervised and guided approaches (p=0.481).

Our guided attention approach performs best, improving upon LEAMR on Subgraph (+0.5) and Relation (+2.6). For Reentrancy, performance is relatively low, and we will explore the reasons behind such scores later. Perhaps most interesting is the performance of the unsupervised alignment system using raw cross-attention weights from SPRING. It stays competitive against the guided model without having access to any alignment information. It outperforms LEAMR which, despite being unsupervised, relies on a set of inductive biases and rules based on alignments. While we also draw on specific rules related to the graph structure in post-processing, we will investigate their impact in an ablation study.

Relations that are argument structures (i.e.:ARG and :ARG-of) usually depend on the predictions for their parent or child nodes; hence their improvement is tied to the Subgraph Alignment. The results in Table 1 reassure this intuition. Notice how for Single Relations (such as :domain or :purpose in Figure 2) the performance by LEAMR was much lower, even worse than that of ISI. Blodgett and Schneider (2021) argued that it was due to the model being overeager to align to frequent prepositions such as to and of. On the other hand, our unsupervised method achieves 15 points over ISI and 20 over LEAMR, which hints at the implicit knowledge on alignment that cross-attention encodes. Our guided approach experiences a considerable drop for Single Relations since it was trained on data generated by LEAMR, replicating its faulty behavior albeit being slightly more robust.

When we test our systems against the ISI alignments instead, both our models achieve state-of-the-art results, surpassing those of previous systems, including LEAMR. This highlights the flexibility of cross-attention as an standard-agnostic aligner. We provide additional information in Appendix B.

Figure 3: Heatmap of Pearson’s R correlation to LEAMR validation set for unsupervised (left) and guided (right) cross-attention weights as well as saliency methods (bottom).
Table 1: LEAMR alignments results. Column blocks: models; Exact and Partial scores; Span and Coverage measures. Row blocks: alignment types, number of instances in brackets. † indicates our re-implementation. Guided versions using ISI/LEAMR silver alignments. Bold is best.

| Alignment          | Models       | Exact Alignment | Partial Alignment | Spans F1 | Coverage F1 |
|--------------------|--------------|----------------|-------------------|---------|------------|
| Subgraph (1707)    | ISI          | 71.56          | 68.24             | 69.86   | 78.03      | 74.54 | 76.24 | 86.59 | 78.70 |
|                    | JAMR         | 87.21          | 83.06             | 85.09   | 90.29      | 85.99 | 88.09 | 92.38 | 91.10 |
|                    | TAMR         | 85.68          | 83.38             | 84.51   | 88.62      | 86.24 | 87.41 | 94.64 | 94.90 |
|                    | LEAMR        | 93.91          | 94.02             | 93.97   | 95.69      | 95.81 | 95.75 | 96.05 | 100.00 |
|                    | LEAMR †      | 93.74          | 93.91             | 93.82   | 95.51      | 95.68 | 95.60 | 95.54 | 100.00 |
|                    | Ours - Unsupervised | 94.11 | 94.49             | 94.30   | 96.03      | 96.42 | 96.26 | 95.94 | 100.00 |
|                    | Ours - Guided - ISI | 89.87 | 91.97             | 90.91   | 92.11      | 94.27 | 93.18 | 93.69 | 100.00 |
|                    | Ours - Guided - LEAMR | 94.39 | 94.67             | 94.53   | 96.62      | 96.90 | 96.76 | 96.40 | 100.00 |

| Relation (1263)   | ISI          | 59.28          | 8.51              | 14.89   | 66.32      | 9.52  | 16.65 | 83.09 | 9.80 |
|                    | LEAMR        | 85.67          | 87.37             | 85.52   | 88.74      | 88.44 | 88.59 | 95.41 | 100.00 |
|                    | LEAMR †      | 84.63          | 84.85             | 84.74   | 87.77      | 87.99 | 87.88 | 91.98 | 100.00 |
|                    | Ours - Unsupervised | 87.14 | 87.59             | 87.36   | 89.87      | 90.33 | 90.10 | 91.02 | 100.00 |
|                    | Ours - Guided - ISI | 83.82 | 83.39             | 83.61   | 86.45      | 86.00 | 86.22 | 87.30 | 100.00 |
|                    | Ours - Guided - LEAMR | 88.03 | 90.18             | 88.11   | 91.08      | 91.24 | 91.16 | 91.82 | 100.00 |

| Reentrancy (293)  | LEAMR        | 55.75          | 54.61             | 55.17   | 100.00     |      |      |      |      |
|                    | LEAMR †      | 54.61          | 54.05             | 54.33   | 100.00     |      |      |      |      |
|                    | Ours - Unsupervised | 44.75 | 44.59             | 44.67   | 100.00     |      |      |      |      |
|                    | Ours - Guided - ISI | 42.09 | 39.35             | 40.77   | 100.00     |      |      |      |      |
|                    | Ours - Guided - LEAMR | 56.90 | 57.09             | 57.00   | 100.00     |      |      |      |      |

| Duplicate (17)    | LEAMR        | 66.67          | 58.82             | 62.50   | 70.00      | 61.76 | 65.62 | 100.00 |
|                   | LEAMR †      | 68.75          | 64.71             | 66.67   | 68.75      | 64.71 | 66.67 | 100.00 |
|                   | Ours - Unsupervised | 77.78 | 82.35             | 80.00   | 77.78      | 82.35 | 80.00 | 100.00 |
|                   | Ours - Guided - ISI | 73.16 | 70.59             | 66.67   | 65.79      | 73.53 | 69.44 | 100.00 |
|                   | Ours - Guided - LEAMR | 70.00 | 82.35             | 75.68   | 72.50      | 85.29 | 78.38 | 100.00 |

Table 2: LEAMR results breakdown for Relation Alignment. Column blocks: models; breakdown scores, number of instances in brackets. † indicates our re-implementation. Bold is best.

|                  | ALL          | Single Relation (121) | Argument Structure (1042) |
|------------------|--------------|-----------------------|----------------------------|
|                  | P R F1       | P R F1                | P R F1                     |
| ISI              | 59.3 8.5 14.9 | 82.9 52.1 64.0        | 39.6 3.5 6.4               |
| LEAMR †          | 84.6 84.9 84.7 | 64.8 55.7 59.9        | 86.6 88.2 87.4             |
| Ours - Unsupervised | 87.1 87.6 87.4 | 79.5 79.5 79.5        | 87.9 88.4 88.2             |
| Ours - Guided - LEAMR | 88.0 88.2 88.1 | 77.5 64.8 70.5        | 89.0 90.8 89.9             |

8.1 Ablation

To get further insights on the results we perform an ablation study on:

**Gold spans** LEAMR relies on a span segmentation phase, with a set of multijword expressions as well as Stanza based named entity identification. We use the same system in order to have matching sentence spans, however these sometimes differ from those in the gold data leading to errors. Top of Table 3 shows the performance when the gold spans from the test set are used instead. We see how performance improves across all systems and our approach shows gains over LEAMR independent of correct spans.

**Rules** All modern alignment systems have a certain dependency on rules. For instance, we use the subgraph structure for Named Entities, certain relations are matched to their parent or child nodes, etc. See Appendix [A] for more details. But what is the impact of such rules? As expected, both LEAMR and our unsupervised method see a considerable performance drop. For Relation, LEAMR drops by almost 60 points, since it heavily relies on the predictions of parent and child nodes to provide candidates to the EM model. Our unsupervised approach also suffers from such dependency, losing
Table 3: F1 results on Exact Alignment on ablation studies. Column blocks: alignment types; using gold spans; removing rules from the models; by layers. Guided approach using LEAMR silver alignments. † indicates our re-implementation. [x:y] indicates sum from layer x to y. * indicates weighted head sum. Bold is best.

| Layers | GOLD | Without Rules | Layers | Guided |
|--------|------|---------------|--------|--------|
|        | LEAMR | Uns. | Guided | LEAMR | Uns. | Guided | Unsupervised | [0:4] | [4:8] | [8:12] | [0:12] | Sal. | [0:4] | [4:8] | [8:12] | [0:12] | [3] | [3]* |
| Sub.   | 96.5  | 96.7 | 97.0    | 97.6  | 98.0 | 93.4    | 62.2  | 94.3 | 69.8  | 63.5 | 87.7 | 94.5 | 74.4 | 66.4 | 93.2 | 93.7 | 93.7 |
| Rel.   | 87.1  | 89.2 | 90.8    | 82.6  | 80.1 | 83.4    | 50.0  | 87.7 | 72.7  | 61.6 | 84.5 | 88.1 | 73.8 | 62.5 | 87.9 | 86.2 | 85.9 |
| Reen.  | 56.8  | 46.7 | 59.0    | 15.2  | 38.6 | 57.0    | 34.5  | 44.7 | 41.1  | 36.1 | 41.9 | 57.0 | 39.2 | 33.0 | 51.0 | 52.7 | 53.4 |
| Dupl.  | 62.9  | 80.0 | 75.5    | 40.0  | 71.8 | 73.7    | 9.5   | 80.0 | 11.1  | 27.3 | 64.3 | 75.9 | 30.0 | 27.3 | 66.7 | 70.3 | 70.3 |

25 points. However, our guided model is quite resilient to rules removal, barely dropping by one point on Subgraph and 5 on Relation.

Layers Figure 3 showed how alignment acts differently across heads and layers. We explore this information flow in the decoder by extracting the alignments from the sum of layers at different depths. The bottom of Table 3 shows this for both our unsupervised and guided models, as well as the Saliency method. [3] indicates the sum of heads in the supervised layer, while [3]* is the learned weighted mix. From our results early layers seem to align more explicitly, with performance dropping with depth. This corresponds to the idea that Transformer models encode basic semantic information early Tenney et al. (2019). While layers 7 and 8 did show high correlation values, the cross-attention becomes more disperse with depth, probably due to each token encoding more contextual information.

9 Error analysis

We identify three main classes of errors that undermine the extraction of alignments:

Consecutive spans Because each subgraph in LEAMR is aligned to a list of successive spans, the standard cannot correctly deal with transitive phrasal verbs. For example, for the verb "take off" the direct object might appear in-between ("take your jacket off"). Because these are not consecutive spans, we align just to "take" or "off".

Rules We have a few rules to recognize subgraph structures, such as Named Entities, and align them to the same spans. However, Named Entity structures contain a placeholder node indicating the entity type and when the placeholder node appears explicitly in the sentence, the node should not be part of the Named Entity subgraph. For example, when aligning ‘Flow’, a documentary, the placeholder node should be aligned to documentary while our model aligned it to Flow.

Reentrancy Because all graph units in LEAMR must be aligned, Reentrancy performs the poorest compared to the other types. For example, in the sentence He wants to protect himself the primary node is He and there are two reentrant nodes, one referring to who protects – this is omitted in the sentence – and the other one to who is protected (himself). The LEAMR standard aligns the non-omitted nodes to the sentence’s specific word that reflects the meaning (himself) and the omitted to the main verb (protect). However, the unsupervised model fails to align the reentrant nodes that is omitted in the sentence. On the other hand the guided model sometimes fails to align the node that appears explicitly in the sentence correctly. We blame this to the silver nature of the train data, which propagates the LEAMR error which usually just aligned these words to the verb.

10 Conclusion and Implications

In this paper we show for the first time how cross-attention is closely tied to the concept of alignment for Semantic Parsing in AMR. Both our unsupervised and our guided attention systems outperform previous alignment models. Moreover, our proposed method uses the cross-attention from a state-of-the-art parsing model, with no overhead computation. The fact that our approach is much more resilient to the lack of handcrafted rules shows its capability as a standard-agnostic aligner, opening the door to its use in other tasks such as Machine Translation or Summarization.

In the future we aim to explore its zero-shot capabilities on cross-lingual AMR as well as to get a better understanding on what attention heads that do not correlate to alignment attend to. Regarding the ethical and social implications of our approach for AMR alignments, we do not believe it could
have a negative impact. However methods such as guiding cross-attention could introduce new ways to supervise a model in order to produce harmful or unwanted model predictions.

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A LEAMR Alignment Rules

The LEAMR standard has some predefined strategies for alignments that were followed during their annotation, as well as fixed in their alignment pipeline along EM. We kept a few of them when extracting the alignment, just those related to the structure of the graph, and not to token matching between the sentence and the graph.

A.1 Subgraph

- Nodes have-org-role-91 and have-rel-role-91 follow a fixed structure related to a person i.e. the sentence word enemy is represented as person $\rightarrow$ have-rel-role-91 $\rightarrow$ enemy, therefore for such subgraphs we use the alignment from the child node.

- Similarly for Named Entities, we align the whole subgraph structure based on its child nodes which indicate its surfaceform. However this leads to some errors as described in Section 9.

- We align node amr-unknown to the question mark if it appears in the sentence.

A.2 Relations

- For the relation :condition we align it to the word if when it appears in the sentence.

- :purpose is aligned with to when in the sentence.

- :ARGX relations are aligned to the same span as the parent node, while :ARGX-of to that of the child, since they share the alignment of the predicate they are connected to.

- For :mod and :duration we use the alignment from the child node.

- For :domain and :opX we use the alignment from the parent node.

B Extra Results

B.1 LEAMR Results

We explore the variance with different seeds when guiding cross-attention. Table 1 reports on a single seed selected at random. Table 4 shows the results for five different seeds as well as the average and standard deviation. We observe some variance, especially for those alignment types with fewer elements; however, average performance is always higher than previous approaches.

B.2 ISI Results

Table 5 shows the performance of our systems and previous ones with the ISI alignment as reference. We omitted relations and Named Entities in order to focus solely on non-rule based alignments and have a fair comparison between systems. Here, our aligner does not rely on any span-segmentation, hence nodes and spans are aligned solely based on which words and nodes share the highest cross-attention values. Still, our systems were able to achieve above 5 points over previous ones.
Table 4: Results on the LEAMR alignment for 5 seeds on the guided approach. Column blocks: runs; measures. Row blocks: alignment types; average and standard deviation (std). Bold is best.

| Alignment Type | Run 1  | Run 2  | Run 3  | Run 4  | Run 5  | Average | Std  |
|----------------|--------|--------|--------|--------|--------|---------|------|
| Subgraph       |        |        |        |        |        |         |      |
| Exact Alignment| 94.39  | 93.79  | 94.26  | 94.20  | 93.81  | 94.09   | 0.27 |
| Partial Alignment| 94.67 | 94.85 | 94.32 | 94.26 | 94.14 | 94.25   | 0.30 |
| F1             | 94.53  | 93.82  | 94.29  | 94.23  | 93.98  | 94.17   |      |
| Spans          | 96.62  | 96.22  | 96.60  | 96.47  | 95.81  | 96.34   |      |
| F1             | 96.90  | 96.27  | 96.66  | 96.53  | 96.14  | 96.40   |      |
| F1             | 96.76  | 96.25  | 96.63  | 96.50  | 95.97  | 96.05   |      |
|                   | 96.40  |        |        |        |        |         |      |
| Relation        |        |        |        |        |        |         |      |
| Alignment       |        |        |        |        |        |         |      |
| Exact Alignment| 88.03  | 87.90  | 88.61  | 88.39  | 88.59  | 88.30   | 0.32 |
| Partial Alignment| 88.18 | 88.36 | 88.61 | 88.61 | 88.44 | 88.44   | 0.18 |
| F1             | 88.11  | 88.13  | 88.61  | 88.50  | 88.52  | 88.37   |      |
| Spans          | 91.08  | 90.71  | 91.44  | 91.02  | 91.24  | 91.10   |      |
| F1             | 91.24  | 91.18  | 91.44  | 91.25  | 91.08  | 91.24   |      |
| F1             | 91.16  | 90.95  | 91.44  | 91.14  | 91.16  | 91.87   |      |
|                   | 91.95  |        |        |        |        |         |      |
| Reentrancy      |        |        |        |        |        |         |      |
| Alignment       |        |        |        |        |        |         |      |
| Exact Alignment| 56.90  | 56.23  | 57.24  | 55.56  | 55.22  | 56.23   | 0.86 |
| Partial Alignment| 57.09 | 56.42 | 57.34 | 55.74 | 55.41 | 56.42   | 0.86 |
| F1             | 57.00  | 56.32  | 57.34  | 55.65  | 55.31  | 56.32   |      |
| Spans          | 91.10  | 90.95  | 91.44  | 91.14  | 91.16  | 91.87   |      |
| F1             | 91.24  | 91.18  | 91.44  | 91.14  | 91.16  | 91.86   |      |
| F1             | 91.17  | 90.95  | 91.44  | 91.14  | 91.16  | 91.86   |      |
|                   | 91.84  |        |        |        |        |         |      |
| Duplicate       |        |        |        |        |        |         |      |
| Subgraph        |        |        |        |        |        |         |      |
| Exact Alignment| 70.00  | 65.00  | 73.68  | 70.00  | 73.68  | 70.00   | 3.09 |
| Partial Alignment| 82.35 | 76.47 | 82.35 | 82.35 | 82.35 | 81.17   | 2.63 |
| F1             | 75.88  | 70.27  | 77.78  | 75.68  | 75.68  | 75.06   |      |
| Spans          | 72.50  | 67.50  | 76.32  | 70.00  | 70.00  | 71.26   |      |
| F1             | 85.29  | 79.41  | 85.29  | 82.35  | 82.35  | 82.94   |      |
| F1             | 78.38  | 72.97  | 80.56  | 75.68  | 75.68  | 76.65   |      |
|                   | 80.56  |        |        |        |        |         |      |

Table 5: Results on the ISI alignment. Column blocks: models, measures. Bold is best.

| Model          | P    | R    | F1   |
|----------------|------|------|------|
| JAMR           | 92.7 | 80.1 | 85.9 |
| TAMR           | 92.1 | 84.5 | 88.1 |
| LEAMR          | 85.9 | 92.3 | 89.0 |
| Ours - Unsupervised | 95.4 | 93.2 | 94.3 |
| Ours - Guided  | **96.3** | **94.2** | **95.2** |

C Hardware

Experiments were performed using a single NVIDIA 3090 GPU with 64GB of RAM and Intel® Core™ i9-10900KF CPU.

Training the model took 13 hours, 30 min per training epoch while evaluating on the validation set took 20 min at the end of each one. We selected the best performing epoch based on the SMATCH metric on the validation set.
D Data

The AMR 3.0 data used in this paper is licensed under the *LDC User Agreement for Non-Members* for LDC subscribers, which can be found [here](#). The *The Little Prince* Corpus can be found [here](#) from the Information Science Institute of the University of Southern California.