A Comparative Analysis of Filter-based Feature Selection Methods for Software Fault Prediction

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Abstract: The rapid growth of data has become a huge challenge for software systems. The quality of fault prediction model depends on the quality of software dataset. High-dimensional data is the major problem that affects the performance of the fault prediction models. In order to deal with dimensionality problem, feature selection is proposed by various researchers. Feature selection method provides an effective solution by eliminating irrelevant and redundant features, reducing computation time and improving the accuracy of the machine learning model. In this study, we focus on research and synthesis of the Filter-based feature selection with several search methods and algorithms. In addition, five filter-based feature selection methods are analyzed using five different classifiers over datasets obtained from National Aeronautics and Space Administration (NASA) repository. The experimental results show that Chi-Square and Information Gain methods had the best influence on the results of predictive models over other filter ranking methods.

Keywords: Feature selection, filter, wrapper, hybrid, embedded.

I. INTRODUCTION

Recently, applications have generated massive amounts of data such as video, photos, voice and data obtained from social networking and from the cloud computing. Such complex data contains the characteristics of multi-dimensional dimensions, noisy data, redundant or missing attributes that pose challenges for data analysis and decision making. In order to handle this issue, feature selection has been investigated in the data preprocessing phase. Feature selection (FS) is used to select optimal subset that improves the performance of the predictive model. Feature selection minimizes redundant, irrelevant, and interference features. As a results, feature selection may improve efficiency of classifiers and give the most informative features [1]. FS methods are classified into three categories including Filter, Wrapper and Embedded. Filter method is divided into Filter Feature Ranking and Filter Feature Subset Selection [2]. Filter Feature Ranking methods assess and rank attributes in datasets which are independent with learning algorithms that requires less computation time. Some of the statistical measurement methods used in the
Filter include Information gain, Chi-square, Fisher score, Gain Ratio, and Relief. Wrapper uses machine learning techniques to evaluate a subset of features against their respective criteria. Wrapper’s performance is dependent on the sorting algorithm. The best subset of the features are selected based on the results of the classification algorithm. Computationally, Wrapper methods require more complex computation than the Filters, due to the iterative learning steps and cross validation. However, these methods are more accurate than Filter. Some algorithms used in Wrapper are Recursive feature elimination [3], Sequential feature selection algorithm [4] and Genetic algorithm. The third approach is the Embedded method which uses combined learning methods and hybrid methods to select optimal features. Feature selection is a crucial data preprocessing step as it solves the high dimensional data [5], improves the quality of data, reduces the computational time and increases the predictive performance of models. In this study, we examine a comparative performance analysis of five filter-based feature methods namely Chi-Square, Fisher Score, Information Gain, Gain Ratio and Relief based on five different classifiers. Five classifiers including K-nearest Neighbors, Decision Tree, Random Forest, Naive Bayes, and Multilayer Perceptron. From our experimental results, Chi-Square and Information Gain recorded the best performance of filter methods across datasets and models. The rest of this paper is structured as follows. Section 2 presents a literature review of feature selection methods. Experimental design and results of some filter-based feature selection methods are presented in section 3 and section 4. Section 5 concludes the comparative analysis and discusses future work.

II. BACKGROUND

Studies have shown that feature selection techniques can improve predictive efficiency and accuracy for machine learning techniques. The feature selection technique plays an important role in minimizing computational complexity, capacity and cost [6].

1. Feature Selection Process

The process of selecting features in a data set consisting of 4 stages: selecting search techniques, determining search strategy, determining evaluation criterion and reaching stopping criteria.

a) Selecting search techniques

Ang et al. [7] state that the first stage in the feature selection process is to find subsets search techniques. Search techniques are classified into forward, backward and random search. The search process starts with an empty set so that new properties are added in each loop called forward search. In contrast to forward search, backward search starts with a data set with full properties and properties are discarded until an optimal subset is reached. Another approach is random search which constructs a subset of properties by adding and removing properties at each loop. After selecting the trait search techniques, the search strategy will be applied at stage 2.

b) Determine search strategy

The possible search strategies are randomized, exponential and sequential in the literature. Table I lists the different search strategies and their algorithms [2]. A good search strategy requires optimal solutions, local search capabilities and computational efficiency [8]. Based on these search requests, the algorithms are further classified as the optimal and suboptimal selection of the algorithm.

c) Determine evaluation criterion

The most optimal features are selected based on evaluation criteria. Based on the technical evaluation methods, the features [9] are classified into Filter, Wrapper, Embedded and Hybrid.

d) Reach stopping criteria

The selection criteria specify a process for feature selection that stops when the optimal feature subset is reached. Stopping criteria for feature selection are effective with low complexity computation finding subsets of optimal features.
and solving overfitting problems. The choice of the stopping standards is influenced by the preexecution stages. Some of the stopping standards include: predefined quantities of properties, predetermining the number of repetitions, percentage progress between 2 consecutive loops, relying on evaluation functions.

e) Validate the final results

To evaluate the results of the feature selection techniques, some evaluation measures are used such as Crossvalidation, Confusion matrix, Jaccard similarity-based measure and Rand Index. Some of the evaluation metrics for classification techniques classification and clustering include Accuracy, Precision, Recall...

2. Filter-based Feature Selection Techniques

The Filter method relies on the unique feature of the data to evaluate and select a subset of properties, using the evaluation criteria extracted from the data set such as distance, information, dependency, consistency. Specifically, the filter method uses typical criteria of the ranking technique and the ranking order method for the selection of variables. Due to simplicity, high performance and easy to generate optimal features, filter methods are used in this study [10].

a) Chi-square

In statistics, Chi-square [11] is applied to check the independence of two events, where if two events A and B are defined as independent if \( P(AB) = P(A)P(B) \), which is equivalent to \( P(A|B) = P(A) \) and \( P(B|A) = P(B) \). In feature selection, the two main events are features and targets. We use the Chi-square value to find out which feature contains a lot of information for the model. We calculate the Chi-square value between each feature and the target. Characteristics that give high value is a good one. Chi-square is calculated as follows:

\[
X^2(D,t,e) = \sum_{e_i \in 0,1} \sum_{e_c \in 0,1} \frac{(N_{e_i e_c} - E_{e_i e_c})^2}{E_{e_i e_c}}
\]  

(1)

where \( e_i, e_c \) have 2 values: 0 and 1, \( N \) is the observed value in \( D \) and \( E \) is the expected value.

b) Fisher score

Fisher score is one of the most widely used feature selection algorithm for determining a subset of features. The idea in Fisher score is to select each feature independently according to its score under the Fisher criterion. The Fisher Score of the \( j^{th} \) feature is computed below [12]:

\[
F(x^j) = \frac{\sum_{k=1}^{c} n_k (\mu^j_k - \mu^j)^2}{(\sigma^j)^2}
\]  

(2)

where \( (\sigma^j)^2 = \sum_{k=1}^{c} n_k (\sigma^j_k)^2 \)

\( \mu_k, n_k \) are the mean vector and size of the \( k^{th} \) class respectively in the reduced data space. After computing the Fisher score for each feature, it selects the top-\( m \) ranked features with large scores.

c) Information Gain

Information Gain is an entropy-based feature evaluation method. For example, in text classification problem, it is defined as the amount of information provided by the feature item for text category. Information gain is calculated by how much of a term can be used for classification of information, in order to measure the importance of lexical items for the classification. The formula of the information gain is shown below [13]:

\[
G(D,t) = \sum_{i=1}^{m} P(C_i|t) \log P(C_i|t) + P(t) \sum_{i=1}^{m} P(C_i|t) \log P(C_i|t)
\]  

(3)

\( C \) is a set of document collection, in which there is not the feature \( t \). The value of \( G(D,t) \) is greater; \( t \) is more useful for the classification for \( C \). This \( t \) should be selected. If the greater value of \( G(D,t) \) is wanted, it should make the value of \( P(t) \) and \( P(\bar{t}) \) smaller.

d) Gain Ratio

Gain ratio is a modification of the information gain that reduces its bias. Gain ratio takes number and size of branches into account when choosing a feature. It corrects the information gain by taking the intrinsic information of a split into account. Intrinsic information is entropy of distribution of instances into branches. Value of feature decreases as intrinsic information gets larger [14].

\[
Gainratio(Feature) = \frac{Gain(Feature)}{Intrinsicinfo(Feature)}
\]  

(4)

e) Relief

The main idea of the Relief algorithm by Kira and Render [15] is similar to the basic rules of k-nearest neighbor algorithm. Being the same class is much more likely for the closer distances to a given distance. If a feature is useful, it is expected that the closest distances of the same class is closer to range given throughout this attribute than the closest distances of all the other classes. The weight of a given feature is calculated below:

\[
W = \frac{1}{m} \sum_{i=1}^{m} \left( diff(x_{ij}, nearhitj_{ij}^2) + diff(x_{ij}, nearmiss_{ij}^2) \right)
\]  

(5)

where \( m \) is the sample size (randomly selected from a subset of the training set), \( diff(x_{ij}, nearhitj_{ij}^2) \) is difference between values of attribute within randomly selected \( j \)
distance and \( \text{nearmiss}_{ij}^2 \) value of attribute within the closest training sample in the same class, \( \text{diff}(x_{ij}, \text{nearmiss}_{ij}) \) is described as value of the closest training sample from different class. For an useful attribute, \( x_{ij} \) and \( \text{nearmiss}_{ij} \) values are expected to be very close to each other. If an attribute is not useful, both differences are expected to take almost the same distribution.

### III. EXPERIMENTAL DESIGN

#### 1. Experimental Setup

From previous studies, many researchers proved that feature selection methods can improve the performance of predictive models. The selection of optimal metrics considerably reduces the computational time, complexity and storage [6]. However, only small number of studies [16, 17] have been carried out to evaluate the effectiveness of different feature selection methods and identify which ones are the most useful. Therefore, we examine the comparative performance analysis of 5 filter-based feature ranking methods namely Chi-Square, Fisher Score, Information Gain, Gain Ratio and Relief based on five different classifiers. The classifiers are K-nearest Neighbors, Decision Tree, Random Forest, Naive Bayes and Multilayer Perceptron. The experiments were conducted on two datasets namely CM1 and MW1 from NASA repository. A brief description of the datasets is shown in Table II.

#### 2. Software Defect Datasets

The experimental results are affected by the quality of datasets, hence choosing the right datasets plays an important role. According to Catal and Diri [18], by using datasets from National Aeronautics Space Administration (NASA) Facility Metrics Data Program (MDP) repository[14], the classification results are more reliable. A brief description of the datasets with the number of features and modules is shown in Table II.

#### 3. Performance Evaluation Metrics

In order to assess and rank features in datasets, filter feature ranking method uses the computational metrics of dataset. After grading each feature based on different characteristics such as statistics, probability or instance, features are generated according to their score [18]. In this study, \( \log_2 N \) [19] is used to filter top-ranked features, where \( N \) is the number of metrics in full defect dataset. Table III illustrates the filter feature ranking methods used in this study.

In order to evaluate the effectiveness of filter feature selection methods, performance metrics include Accuracy, Recall, Precision, AUC (area under ROC curve) and F-measure. Table IV presents a confusion matrix for the performance of a model.

- True Positive (TP): indicates that the positive samples are correctly labeled by the classifier.
- True Negative (TN): indicates that to the negative samples are correctly labeled by the classifier.
- False Positive (FP): indicates that the negative samples are incorrectly labeled as positive.
- False Negative (FN): indicates that the positive samples are mislabeled as negative.
- Accuracy is a ratio of correctly predicted observation to the total observations. In the experiment, the value of accuracy is ranged from 0 to 1.
- Precision is the ratio of correctly predicted positive observations to the total predicted positive observations. The high precision shows that the predictive model is more accurate.

\[
\text{Precision} = \frac{TP}{TP + FP}
\]

\( F_1 \) is the weighted average of Precision and Recall. \( F_1 \) assesses whether the increase in precision (recall) is greater than the reduction in recall (precision).

\[
F_1 = 2 \times \frac{\text{Precision} \times \text{Recall}}{\text{Precision} + \text{Recall}}
\]

ROC curve (the Receiver Operating Curve) and AUC (Area Under the ROC Curve) evaluate the performance of software fault prediction models. The nearer the curve reaches the left border of the ROC space and then reaches the top border of the ROC space, the more correct the test. Otherwise, the curve approaches the diagonal line and AUC value is small; the fault prediction model brings low efficiency.

### IV. EXPERIMENTAL RESULTS

This section illustrates the experimental results of comparative performance analysis of five above filter-based feature selection methods based on the accuracy metric. The results were compared on two cases (with and without feature selection). Table V and table VI present the comparisons of filter methods on each of the five classifiers respectively. From the results of table V, on the CM1 datasets, Chi-Square method had the highest accuracy value.
on the predictive performance of Multilayer Perceptron and Naive Bayes with 86.86 and 85.34 respectively. Moreover, Decision Tree and Random Forest based on Gain Ratio reached the best accuracy values of 82.89 and 85.05. This result showed Chi-Square gave the best performance on CM1 datasets compared to other filter methods.

In table VI, Multilayer Perceptron with Information Gain achieved the highest accuracy of 97.83 on the MW1 dataset. Furthermore, the accuracy performance of all classifiers based on FS methods, in this case, Gain Ratio, Information Gain and Relief were better than when no FS methods are applied. Specifically, Naive Bayes using Gain Ratio and Information Gain had the highest accuracy value of 97.51 in comparison to 95.58 value achieved by the model had no FS methods. Same also was observed for K-nearest Neighbors, Decision Tree and Random Forest, the accuracy values are better than models without FS methods. Hence, the selection of these metrics can increase the accuracy of classifiers compared to models without feature selection. It was observed that Chi-Square and Information Gain had the best influence on the prediction models over other filter methods. This clearly shows the predictive model developed with feature selection methods outperformed other models.

It is clearly observed that based on each method, the best subset of features was selected with a certain threshold value. In table V and table VI, the selected features are listed based on specific threshold values which are calculated by the formula given in section III.3. We observed that the filter methods with the lesser of features achieve better performance compared to models which were trained with all the features. This concludes that reducing number of features improve the performance of the prediction models.

V. CONCLUSION

In software fault prediction, the datasets used for machine learning may contain irrelevant, redundancy features or to be noisy. Hence, feature selection methods for training data plays an important role in selecting the optimal features for predictive models to improve the quality of system and achieve a strong prediction model. Our objective is to empirically evaluate the performance of different filter-based ranking methods using different classifiers over two datasets from NASA repository. The filter feature selection methods are Gain Ratio, Information Gain, Relief, Chi-square and Fisher Score. From the experimental results, Chi-Square and Information Gain are potential methods which had high improvement on the predictive performance of classifiers on the CM1 and MW1 respectively. Additionally, further analysis showed list of selected features for each method based on the threshold value. It was conclusively discovered that the performance of feature selection methods varies from the used datasets and the choice of classification algorithm. In the future work, we will study the ensemble learning in order to propose a hybrid approach which is based on feature selection and ensemble techniques.

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Table V

| Method      | No. of selected features | Threshold value | Selected Features                                                                 | K-nearest Neighbors | Decision Tree | Random Forest | Naive Bayes | Multilayer Perceptron |
|-------------|--------------------------|-----------------|------------------------------------------------------------------------------------|---------------------|--------------|--------------|-------------|-----------------------|
| Gain Ratio  | 6                        | 0.65            | halstead_effort, halstead_prog_time, halstead_volume, halstead_content, halstead_difficulty, percent_comments | 78.95               | **82.89**    | **85.05**    | 84.13       | 85.50                 |
| Information Gain | 6                        | 0.029           | loc_comments, num_unique_operands, halstead_content, call_pairs, num_unique_operators, loc_executable | 76.19               | 74.77        | 77.22        | 85.97       | 86.42                 |
| Relief      | 6                        | 28              | multiple_condition_count, halstead_level, cyclomatic_complexity, number_of_lines, loc_blank, halstead_difficulty | 61.60               | 61.80        | 69.16        | 83.23       | 86.11                 |
| Chi-square  | 6                        | 0.029           | loc_comments, num_unique_operands, loc_blank, halstead_content, num_operators, num_unique_operators | 61.52               | 54.38        | 66.02        | **85.34**   | **86.86**             |
| Fisher Score | 6                        | 31              | multiple_condition_count, halstead_level, cyclomatic_complexity, number_of_lines, loc_blank, halstead_difficulty | 73.45               | 81.30        | 82.60        | 84.73       | 86.25                 |
| Without FS  | All features             |                 | All features                                                                      | 79.55               | 82.05        | 84.10        | 83.20       | 85.34                 |

Table VI

| Method      | No. of selected features | Threshold value | Selected Features                                                                 | K-nearest Neighbors | Decision Tree | Random Forest | Naive Bayes | Multilayer Perceptron |
|-------------|--------------------------|-----------------|------------------------------------------------------------------------------------|---------------------|--------------|--------------|-------------|-----------------------|
| Gain Ratio  | 6                        | 0.52            | halstead_effort, halstead_prog_time, halstead_volume, halstead_content, halstead_difficulty, halstead_length | 95.02               | 96.19        | 97.20        | **97.51**   | 97.79                 |
| Information Gain | 6                        | 0.054           | loc_comments, halstead_volume, edge_count, node_count, halstead_error_est, loc_blank | **95.57**            | **96.75**    | 97.40        | **97.51**   | **97.83**             |
| Relief      | 6                        | 31              | halstead_difficulty, halstead_error_est, essential_complexity, percent_comments, parameter_count, halstead_content | 94.46               | 95.39        | **97.65**    | 96.12       | 97.79                 |
| Chi-square  | 6                        | 0.055           | loc_comments, halstead_volume, num_unique_operands, edge_count, node_count, design_complexity | 93.63               | 96.40        | 96.88        | 96.40       | 97.80                 |
| Fisher Score | 6                        | 31              | condition_count, essential_complexity, branch_count, num_unique_operands, design_density, decision_density | 95.45               | 96.43        | 97.75        | 96.54       | 97.79                 |
| Without FS  | All features             |                 | All features                                                                      | 95.43               | 96.61        | 97.58        | 95.58       | 97.72                 |
