Coexistence of the spin-density wave and superconductivity in Ba$_{1-x}$K$_x$Fe$_2$As$_2$

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Abstract – The relation between the spin-density wave (SDW) and superconducting order is a central topic in the current research on the FeAs-based high-$T_C$ superconductors. Conflicting results exist in the LaFeAs(O, F)-class of materials, for which whether the SDW and superconductivity are mutually exclusive or they can coexist has not been settled. Here we show that for the (Ba, K)Fe$_2$As$_2$ system, the SDW and superconductivity can coexist in an extended range of compositions. The availability of single crystalline samples and high value of the energy gaps would make the materials a model system to investigate the high-$T_C$ ferropnictide superconductivity.

The FeAs-based new superconductors have generated great excitement, since the superconducting transition temperature $T_C$ of these single-layer materials increases to above 40 K and finally reaches about 55 K [1–7]. In addition to the superconductivity [8,9], a closely related electron-pair instability on the Fermi surface, the spin-density wave (SDW) [10], has been inferred from the nesting Fermi surface [11] and observed directly in neutron diffraction experiments in both LaFeAsO (1111) [12] and BaFe$_2$As$_2$ (122) [13] classes of materials. It is difficult to imagine that the same electronic state would participate in both the superconducting and SDW electron pairs instability of the Fermi surface, and indeed the electron- and hole-like Fermi sheets in (Ba, K)Fe$_2$As$_2$ have been observed in the angle-resolved photoemission spectroscopy (ARPES) study to be affected by either the SDW [14] or superconducting [15,16] order in the parent or superconducting ($x = 0.4$) compound, respectively. Thus, it would be extremely informative to investigate the relationship between the two correlated electronic states in the phase diagram.

It should be noted that the pronounced anomaly in resistivity which was initially thought as indicating the SDW transition in LaFeAsO [11] is actually associated with a tetragonal-to-orthorhombic structural transition, and the SDW occurs in another separate phase transition at a lower temperature [12]. In BaFe$_2$As$_2$, the situation is simpler with the structural and SDW transitions concurring at the same temperature $T_S$ [13]. So far, two types of phase diagrams have been reported for the 1111-class of materials. In the first type of phase diagram, before the orthorhombic phase of the parent compound is completely suppressed by doping, the superconducting phase has already appeared at low temperature as in SmFeAs(O, F) [5,17]. Namely, there is an overlapping composition region where the SDW and superconductivity may coexist, although direct measurements of the SDW have not been conducted. For the second type of phase diagram, superconductivity appears after the SDW order is completely suppressed as reported for CeFeAs(O, F) [18]. For LaFeAs(O, F), conflicting reports in [19] and [20] have not yet been resolved.
In the ground-breaking work on Ba$_{1-x}$K$_x$Fe$_2$As$_2$ by Rotter et al., only $x=0$, 0.4 and 1 samples were investigated [9]. For Ca$_{1-x}$Na$_x$Fe$_2$As$_2$, only the parent and one superconducting sample $x=0.5$ were studied [21]. The Sr$_{1-x}$K$_x$Fe$_2$As$_2$ system has been studied by Chen et al. for $0 \leq x \leq 0.4$ [22] and by Sasmal et al. in the whole composition range for $0 \leq x \leq 1$ [23], respectively. However, the focus was on the superconducting transition. Magnetic and structural transitions were not investigated in these works on the Sr-122 materials. Here we report a systematic study on the Ba$_{1-x}$K$_x$Fe$_2$As$_2$ ($0 \leq x \leq 1$) system using transport, X-ray and neutron diffraction techniques. We establish that the Ba-122 system has the same type of phase diagram as the first type in the 1111-class materials. The structural and SDW transitions remain concurring at $T_S$ in the potassium-doped samples until they both are suppressed at $x \sim 0.4$. Superconductivity appears for $0.2 \leq x \leq 1$ in both the orthorhombic and tetragonal phases. Thus, for $0.2 \leq x < 0.4$, both the SDW and the superconducting orders coexist in the Ba$_{1-x}$K$_x$Fe$_2$As$_2$ system.

A series of polycrystalline Ba$_{1-x}$K$_x$Fe$_2$As$_2$ samples covering from the Ba ($x=0$) to the K ($x=1$) end member were synthesized by the solid state reaction method using BaAs, KAs and Fe$_2$As as the starting materials. The BaAs was pre-synthesized by reacting Ba powder with As powder in an evacuated quartz tube at 673 K for 4 hours; KAs by reacting K lumps with As powder at 523 K for 4 hours; and Fe$_2$As by reacting the mixture of element powders at 973 K for 4 hours. The raw materials were weighed according to the stoichiometric ratio of Ba$_{1-x}$K$_x$Fe$_2$As$_2$. The mixed powders were then thoroughly ground and pressed into pellets. The pellets were wrapped with Ta foil and sealed in evacuated quartz tubes. These tubes were annealed at 973–1093 K for 20 hours. The sample preparation, except for the annealing, was carried out in glove box filled with high-purity argon atmosphere. The samples were characterized using an X-ray diffractometer at room temperature and the powder diffraction pattern of all samples can be indexed using the tetragonal ThCr$_2$Si$_2$ structure of the space group $I4/mmm$ (No.139). The variation of the lattice parameters at room temperature is shown in fig. 1. The nonlinear behavior may be caused by the off-stoichiometry from the nominal composition. The refinements performed for the synchrotron X-ray diffraction pattern of the $x=0.2$ and 0.4 samples yield the refined compositions $x=0.19$ and 0.37, respectively. These samples contain a weight fraction of $\sim 5\%$ and $13\%$ of FeAs, respectively, as the impurity phase.

We measured the resistivity using the standard four-probe method. The results are shown in fig. 2. The anomaly associated with the structural and magnetic transition is pronounced for $x = 0$ and 0.1. The anomaly is rounded off for $x = 0.2$, which becomes a superconductor with the transition starting at 14 K and the resistivity reaching zero at 3 K. The $T_c$ increases with further potassium doping and the superconducting transition becomes narrower until $x = 0.5$. Thereafter, $T_c$ begins to decrease from the maximum $T_c \approx 37.5$ K with the increasing potassium doping. At $x = 1$, $T_c$ is 3.8 K for the KFe$_2$As$_2$ sample, the same as reported by Sasmal et al. [23]. The $T_c$ as a function of the composition is summarized in fig. 3.

While the temperature of the simultaneous structural and SDW transition can be inferred from the pronounced anomaly in resistivity for $x = 0$ and 0.1 (fig. 2), for $x \geq 0.2$, it becomes progressively less certain whether there is an anomaly in the resistivity. To further investigate the crystal structure and structural transition, powder diffraction experiments from 5 to 300 K were performed for the $x = 0$, 0.1, 0.2, 0.3, 0.4 and 0.6 samples using synchrotron high-energy X-ray ($\lambda = 0.1067 \text{Å}$) at the beamline 11-ID-C at the Advanced Photon Source of ANL. The sample temperature was controlled by a cryomagnet. The synchrotron
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Fig. 3: The composition-temperature phase diagram, showing the structural, magnetic and superconducting transitions. $T_S$ denotes the temperature of the simultaneous structural and magnetic transition, and $T_C$ the superconducting one. The spin-density wave (SDW) and superconducting (SC) orders coexist at low temperature for $0.2 \leq x < 0.4$.

X-ray Bragg peaks from all samples are resolution-limited, indicating uniform sample quality and excluding the possibility of phase separation. The full spectra and detailed structural study will be reported elsewhere. Here in the top part of fig. 4, we show the temperature dependence of the X-ray diffraction intensity in the neighborhood of the (002) and (220) Bragg reflections, labeled using the high-temperature tetragonal $I4/mmm$ unit cell, for $x = 0.1 - 0.4$ in the 5 to 200 K temperature interval. The (002) peak at $2\theta \sim 0.95^\circ$ traces the temperature dependence of the $c$ lattice parameter. The (220) peak at $2\theta \sim 4.38^\circ$ is useful for tracing the in-plane lattice parameters and its splitting signifies the orthorhombic structural transition $[9,13]$. It is obvious that the structural transition occurs for the $x = 0.1 - 0.3$ samples, while the transition is absent for the $x = 0.4$ sample down to 5 K. The lattice parameters as a function of temperature are shown in the bottom part of fig. 4.

In fig. 5, the X-ray diffraction intensity at the fixed $2\theta$ position of the (220) peak at high temperature is shown as a function of the temperature for $x = 0.1 - 0.3$ (open circles). Albeit less abrupt than in BaFe$_2$As$_2$ [13], the intensity curve of the $x = 0.1$ sample maintains the first-order drop at $T_S \approx 144$ K, corroborating the pronounced anomaly in resistivity (fig. 2) and the abrupt splitting of the (220) peaks in fig. 4. Consistent with the more gradual splitting of the (220) peaks and smoother variation of the resistivity for $x = 0.2$ and 0.3, the intensity of the (220) in fig. 5 reduces gradually below $T_S \approx 125$ and 115 K, respectively, similar to the second-order structural transition of LaFeAsO $[12]$.

Magnetic Bragg peaks due to the SDW antiferromagnetic order $[13]$ were measured using the triple-axis neutron spectrometer BT9 at the NIST Center for Neutron Research. The neutron beam of 14.7 meV was selected using the (002) reflection of pyrolytic graphite as both the monochromator and analyzer. Pyrolytic graphite filters of 10 cm total thickness were placed in the neutron beam path to reduce higher-order neutrons. The sample temperature was controlled by a pumped He cryostat. The squared staggered magnetic moment, determined from scans of the magnetic (101) and structural (002) Bragg peaks measured at 1.5 K, is shown in the inset to
In both the zero-field–cooled (ZFC) and field-cooled (FC) form, the SDW order below $T$ for this composition region and electrons at the Fermi surface anomaly in resistivity. There is no superconductivity in structural transition is associated with a pronounced magnetic moment, as shown in fig. 5. The potassium doping smoothly suppresses the magnetic moment $M$, which approaches zero at $x \approx 0.4$. To verify the relation between the structural and the magnetic transitions, we measured the magnetic (101) Bragg intensity as a function of temperature (solid circles in fig. 5). The Néel temperature is indistinguishable from the $T_S$. Thus, the magnetic and structural transitions concur in the $Ba_{1-x}K_xFe_2As_2$ system.

The $T_S$ as a function of doping is shown in the phase diagram in fig. 3. Below $T_S$, the SDW order exists on the orthorhombically distorted lattice. There are three composition regions for the $Ba_{1-x}K_xFe_2As_2$ system. I) Near the $BaFe_2As_2$ end for small $x < 0.2$, the first-order structural transition is associated with a pronounced anomaly in resistivity. There is no superconductivity in this composition region and electrons at the Fermi surface form the SDW order below $T_S$. II) At the other end of the phase-diagram for $x > 0.4$, the SDW order disappears and there exists only superconductivity below $T_C$. Above $T_C$, resistivity is comparable in this composition region (fig. 2). III) Between the two composition regions, the structural transition becomes second-order, the resistivity anomaly becomes smeared out, and both the SDW and superconductivity coexist at low temperature.

One trivial possibility for the coexistence of the SDW and superconductivity is the phase separation, namely the $x = 0.25$ sample is a mixture of, e.g., the $x = 0.1$ and 0.4 samples. If this is the case, there would be two sets of Bragg peaks with lattice parameters of the $x = 0.1$ and 0.4 samples, respectively. However, the resolution of our synchrotron X-ray experiments clearly rules this possibility out. In fig. 6, we show the magnetic susceptibility for the $x = 0.2$ and 0.3 samples using an external field of 10 Oe in both the zero-field–cooled (ZFC) and field-cooled (FC) cases. Bulk superconductivity is clearly indicated in the coexistence composition range. Thus the coexistence of the SDW and superconductivity is an intrinsic property of the $Ba_{1-x}K_xFe_2As_2$ system.

Multiple electronic bands cross the Fermi level in $Ba_{1-x}K_xFe_2As_2$, leading to multiple Fermi sheets. Four Fermi sheets have been observed directly in the ARPES experiments in the composition region I [14,24] and three Fermi sheets observed in the region II [15,16]. The phase diagram in fig. 3 resembles that for another multiple-Fermi-sheet heavy-fermion superconductor system CeRh$_{1−x}$Ir$_x$In$_5$ [25]. The high $T_C$ of the Ba-122 system, however, provides the opportunity to directly measure the gaps caused by electron pair order on the Fermi sheets using the available technique of the ARPES. For BaFe$_2$As$_2$ in the region I, one Fermi sheet near the M-point and probably another one near the Γ-point are affected by the SDW order [14]. For $Ba_{0.6}K_{0.4}Fe_2As_2$ in the region II, all three Fermi sheets are gapped by the superconducting order without nodes [15,16], consistent with the Andreev reflection measurements [26]. The sole Fermi sheet near the M-point and the inner Fermi sheet near the Γ-point have a larger superconducting gap than that on the outer Fermi sheet near the Γ-point. Now we have found that both the SDW and superconductivity coexist in the region III. It would be extremely interesting to investigate the sample in the composition region to directly observe the gaps on the Fermi sheets when the sample goes through successive SDW and superconducting transitions.

In summary, we have synthesized high-quality samples of the $Ba_{1-x}K_xFe_2As_2$ in the whole composition range. Combining transport, X-ray and neutron diffraction studies, we demonstrated that the SDW order from the Ba end and the superconductivity from the K end overlap in the intermediate composition range. The availability of single crystalline samples and the high

![Fig. 5: The squared magnetic-order parameter measured with neutron diffraction at magnetic (101) Bragg reflection of the orthorhombic phase is compared with the structural (220) Bragg peak of the high-temperature tetragonal phase. The squared magnetic moment at 1.5 K is shown as a function of doping in the inset. The orthorhombic distortion and the SDW order concur below $x < 0.4$ at low temperature.](image1)

![Fig. 6: The magnetic susceptibility of the samples in the coexistence region, showing bulk superconductivity.](image2)
$T_C/T_S$, thus large energy gaps, would allow current experimental techniques to directly investigate the interplay between the itinerant magnetism and superconductivity in microscopic details in this 122 system. Therefore, the system investigated in this work is likely to tremendously enhance our understanding of high-$T_C$ superconductivity in the FeAs-based superconductors in particular and in magnetic superconductors in general.

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Additional Remark: After completion of our work (arxiv:0807.3950), a paper by Rotter et al. (arxiv:0807.4096) [27] has come to our attention. They systematically investigated the lattice structure at room temperature and the superconducting transition temperature in the (Ba,K)Fe$_2$As$_2$ system.

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