Spatial correlation maps of the hippocampus with cerebrospinal fluid biomarkers and cognition in Alzheimer's disease: A longitudinal study

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Abstract
This study is an observational study that takes the existing longitudinal data from Alzheimer's disease Neuroimaging Initiative to examine the spatial correlation map of hippocampal subfield atrophy with CSF biomarkers and cognitive decline in the course of AD. This study included 421 healthy controls (HC), 557 patients of stable mild cognitive impairment (s-MCI), 304 Alzheimer's Disease (AD) patients, and 241 subjects who converted to be AD from MCI (c-MCI), and 6,525 MRI scans in a period from 2004 to 2019. Our findings revealed that all the hippocampal subfields showed their accelerated atrophy rate from cognitively normal aging to stable MCI and AD. The presubiculum, dentate gyrus, and fimbria showed greater atrophy beyond the whole hippocampus in the HC, s-MCI, and AD groups and corresponded to a greater decline of memory and attention in the s-MCI group. Moreover, the higher atrophy rates of the subiculum and CA2/3, CA4 were also associated with a greater decline in attention in the s-MCI group. Interestingly, patients with c-MCI showed that the presubiculum atrophy was associated with CSF tau levels and corresponded to the onset age of AD and a decline in attention in patients with c-MCI. These spatial correlation findings of the hippocampus suggested that the hippocampal subfields may not be equally impacted by normal aging, MCI, and AD, and their atrophy was selectively associated with declines in specific cognitive domains. The presubiculum atrophy was highlighted as a surrogate marker for the AD prognosis along with tau pathology and attention decline.

Key words
cognitive function, CSF tau pathology, hippocampal subfields, longitudinal study, MRI, the onset of AD

Data used in preparation of this article were obtained from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database (adni.loni.usc.edu). As such, the investigators within the ADNI contributed to the design and implementation of ADNI and/or provided data but did not participate in analysis or writing of this report. A complete listing of ADNI investigators can be found at: http://adni.loni.usc.edu/wp-content/uploads/how_to_apply/ADNI_Acknowledgement_List.pdf.

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Converging evidence suggests that hippocampal volume loss is typically considered to be a hallmark of Alzheimer’s disease (AD; Gerischer et al., 2018; Lehtovirta et al., 1995; Likeman et al., 2005). Cross-sectional structural magnetic resonance imaging (MRI) studies consistently revealed a smaller hippocampal volume in patients with AD compared to cognitively normal subjects (Brunton, Ginestet, Lovestone, Muelhboeck, & Simmons, 2011; Laakso et al., 1998; Laakso et al., 2000). The hippocampal atrophy over time is accelerated in patients with AD compared to cognitively normal individuals or patients with mild cognitive impairment (MCI; Strawbridge et al., 2018). Nevertheless, the hippocampus comprises many interconnected anatomically and functionally distinct subfields (Small, Schobel, Buxton, Witter, & Barnes, 2011). It is unclear to what extent individual hippocampal subfields are centrally involved in the progression of AD and are in relation to AD pathology and cognitive decline in the course of AD.

The hippocampus is mainly divided into the subicular complex, cornu ammonis (CA1–4), and dentate gyrus (DG). The AD-related pathology of the hippocampus, such as tau pathology and amyloid-β deposits, is topologically specific to its subfields. Tau pathology affects the CA1 and DG and amyloid-β influences the CA1, subiculum, and presubiculum prior to the other hippocampal subfields (Apostolova et al., 2015; Braak & Braak, 1995). Moreover, structural MRI studies also demonstrated that the hippocampal subfields are impacted differently according to different AD stages (Adler et al., 2018). Some studies reported a lower CA1 volume in patients with MCI and AD compared with cognitively normal individuals (Adler et al., 2018; La Joie et al., 2013; Parker et al., 2019), while others found lower volumes in most of the hippocampal subfields, except the parasubiculum (Parker et al., 2019). Longitudinally, the progressive involvement of the CA1 and subiculum atrophy was observed from cognitively normal to MCI, then the atrophy was spread to the CA2 and CA3 from MCI to AD (Apostolova et al., 2015; Braak & Braak, 1997). Moreover, the hippocampus plays a crucial role in episodic memory whose deficit has been implicated in AD. Interestingly, recent studies have shown that hippocampal subfields could have different functional specializations (Acsády & Káli, 2007; Aggleton & Christiansen, 2015; Broadhouse et al., 2019; Hasselmo, 2005; Hunsaker & Kesner, 2008; Nakazawa, McHugh, Wilson, & Tonegawa, 2004; Ogawa et al., 2019; Travis et al., 2014; Wan, Aggleton, & Brown, 1999). The subiculum is vital for human memory and rodent spatial learning (Aggleton & Christiansen, 2015). Rodents with subiculum lesions showed impaired spatial working memory (Aggleton & Christiansen, 2015). In contrast, the CA1 is responsible for consolidation, late retrieval, and recognition (Hunsaker & Kesner, 2008; Nakazawa et al., 2004; Wan et al., 1999). The CA3 and DG are responsible for memory encoding and early retrieval (Acsády & Káli, 2007; Hasselmo, 2005; Travis et al., 2014). Imaging research showed that the DG volume significantly correlated with a decline of verbal learning and memory in patients with MCI (Broadhouse et al., 2019). Beyond learning and memory, the subfield volumes in the CA1, the subiculum, and the DG were highly correlated with the Montreal Cognitive Assessment that is the combination of visuospatial abilities, language abilities, and short-term memory recall (Ogawa et al., 2019). Nevertheless, there is a lack of research on insights into whether atrophy within specific hippocampal subfields is correlated to the decline of specific cognitive domains in the progression from cognitively normal aging, MCI, to AD over time.

This study employed the structural MRI, CSF biomarkers, and cognitive data of the Alzheimer’s Disease Neuroimaging Initiative (ADNI) over the last 15 years and examined: (a) the atrophy rate of specific hippocampal fields in cognitively stable normal individuals, patients with MCI stable over the period of the ADNI study (s-MCI), patients converted from MCI to AD (c-MCI), and patients with AD; (b) to what extent the atrophy rate of specific hippocampal subfields predicts the onset age of AD; (c) to what extent the atrophy rate of specific hippocampal subfields is associated with the AD-related pathology, including CSF amyloid-β42, t-tau, and p-tau; (d) to what extent the atrophy rate of specific hippocampal subfields is correlated with cognitive decline in the memory, language, visuospatial, and attention functions in the different stages of AD. This study provided novel evidence that links the relationship of specific hippocampal subfields with onset age of AD as well as CSF biomarkers and cognitive declines in the different stages of AD.

2 | METHODS

2.1 | Participants

Data used in the preparation of this article were obtained from the ADNI database (adni.loni.usc.edu). The ADNI was launched in 2003 as a public-private partnership, led by Principal Investigator Michael W. Weiner, MD. The primary goal of ADNI has been to test whether serial MRI, positron emission tomography, other biological markers, and clinical and neuropsychological assessment can be combined to measure the progression of MCI and early Alzheimer’s disease (AD). For up-to-date information, see www.adni-info.org.

This work included subjects from ADNI1, ADNI2, and ADNI GO (n = 2099 subjects) that have been recruited since 2004. Subjects (n = 576) were excluded due to MRI image quality and missing demographic data. As a result, this study included 421 healthy controls (HC), 557 patients of stable mild cognitive impairment (s-MCI), 304 AD patients, and 241 subjects who converted to be AD from MCI (c-MCI), and 6,525 MRI scans. This study considered controls and patients with MCI whose diagnosis was stable in the period from 2004 to 2019. Each subject had 1–12 scans. Table 1 lists the clinical and demographic information of each clinical group.
2.2 | MRI data acquisition and analysis

This study included participants with 3D T1-weighted structural MRI based on ADNI (www.adni-info.org). Three-dimensional T1-weighted scans were performed on 1.5T (ADNI-1) or 3T (ADNI-2 and ADNI-GO) scanners using previously described standardized protocols at each site (Jack et al., 2008). For the 1.5T scanners, the imaging protocol was: repetition time (TR) = 2,400 ms, minimum full echo time (TE), inversion time (TI) = 1,000 ms, flip angle = 8°, field-of-view (FOV) = 240 × 240 mm², acquisition matrix = 256 × 256 × 170. For the 3T scanners, the imaging protocol was: TR = 2,300 ms, minimum full TE, TI = 900 ms, flip angle = 8°, FOV = 260 × 260 mm², acquisition matrix = 256 × 256 × 170.

FreeSurfer (version 6.0) longitudinal analysis pipeline was used to delineate hippocampal subfields, including the subicular complex, such as the parasubiculum, presubiculum, and subiculum, the CA regions, such as CA1, 2/3, 4, and fissure (hippocampal sulcus), the molecular layer (ML-DG) and granule cell layer of the dentate gyrus (CG-DG), as well as the transition areas, such as the hippocampal tail, fimbria, and hippocampal amygdala transition area (HATA; Iglesias et al., 2015). The hippocampal subfield segmentation was visually inspected using FreeSurfer’s Freeview (see Figure 1). The reliability of the hippocampal subfield segmentation has been evaluated in the previous study (Brown et al., 2020). Left and right hemispheres were summed together.

2.3 | CSF biomarkers

CSF biomarkers, such as amyloid-β42, t-tau, p-tau, were available in 972 subjects, including 247 HC subjects, 425 patients with s-MCI,
82 patients with c-MCI, and 199 patients with AD. Among them, 514, 318, 96, 26, 8, and 9 subjects respectively had 1 ~ 6 time points. One subject had eight-time points. Due to a limited number of subjects with CSF biomarkers at more than two-time points, this study computed the mean values of amyloid-β42, t-tau, and p-tau to represent the AD-related pathology.

2.4 Cognitive measures

This study included cognitive data at all available time points. The scores from each cognitive test were combined into (a) memory domain from Rey Auditory Verbal Learning Test immediate and delayed scores; (b) language domain based on Boston Naming score; (c) visuospatial domain based on Clock Test score, and (d) attention/executive domain from Trail Making Test A score. All test scores were z-transformed and inverted if necessary in such a way that a lower value reflects worse cognitive performance. The z-transformed scores were then averaged to obtain the composite score for each of the four cognitive domains (ten Kate et al., 2018).

2.5 Statistical analysis

We first examined the atrophy rate of each hippocampal subfield and compared it across the four groups using the linear mixed-effects model given below

\[ Y_{ij} = \beta_1 + \beta_2 \times t_i + \beta_3 \times \text{MC}_i + \beta_4 \times \text{MC}_j + t_j + \beta_5 \times \text{AD}_i + \beta_6 \times \text{AD}_j \times t_i + \frac{\beta_7 \times \text{MC}_i + \beta_8 \times \text{MC}_j + t_j + \beta_9 \times \text{Education}_i + \beta_{10} \times \text{Gender}_i + \beta_{11} \times \text{BslAge}_i + b_{1i} + b_{2j} + e_{ij}}{2} \]

\[ (1) \]

where \( Y_{ij} \) was the atrophy rate of a specific hippocampal subfield and \( \text{MC}_i \) and \( \text{MC}_j \) were the percentage of the atrophy rate of each subfield and the cognitive decline rate in the two domains, respectively. The dependent variable, \( Y_{ij} \), was the atrophy rate of individual hippocampal subfields and the independent variable was the onset age of AD among the c-MCI participants. Gender and education were considered as covariates. We did not include random effects since this analysis examined one measurement (an atrophy rate of a specific hippocampal subfield) per subject and did not include multiple measurements per subject. Bonferroni correction was used to correct statistical p-values (\( p < .05/13 = 0.00385 \), including 12 subfield structures and whole hippocampus).

Second, we asked the question of whether the atrophy rate of specific hippocampal subfields was associated with the AD onset age in the c-MCI group. For this, we employed a linear regression model

\[ Y_i = \beta_1 + \beta_2 \times \text{Age}_i + \beta_3 \times \text{Education}_i + \beta_{14} \times \text{Gender}_i + e_i. \]

\[ (2) \]

where the dependent variable, \( Y_i \), was the atrophy rate of individual hippocampal subfields and the independent variable was the onset age of AD among the c-MCI participants. Gender and education were considered as covariates. We did not include random effects since this analysis examined one measurement (an atrophy rate of a specific hippocampal subfield) per subject and did not include multiple measurements per subject. Bonferroni correction was used to correct statistical p-values (\( p < .05/13 = 0.00385 \), including 12 subfield structures and whole hippocampus).

Likewise, we employed the same linear regression model as Equation (2) where the dependent variable was the atrophy rate of individual hippocampal subfields and the independent variable was CSF biomarkers in individual groups. Gender and education were considered as covariates. Bonferroni correction was used to correct statistical p-values (\( p < .05/13/3/4 = 0.00032 \), including 12 subfield structures and whole hippocampus, three CSF biomarkers, and four diagnostic groups).

Third, we examined the rate of cognitive declines in the four clinical groups and then its group comparisons. We employed the same linear mixed-effects models as those for the hippocampal subfield atrophy in Equation (1) where the dependent variable was the standardized cognitive score at a specific time of individual subjects. Statistical results were corrected via Bonferroni correction at a corrected p-value of \( p < .05/4/4 = 0.000417 \) (four cognitive domains; three pairwise group comparisons, e.g., HC vs. s-MCI; s-MCI vs. c-MCI; c-MCI vs. AD).

Fourth, we employed linear regression to estimate the atrophy rate of the hippocampal subfields and the cognitive decline rate in
individuals. We then examined the relationship between the rate of cognitive decline and the atrophy rate of the hippocampal subfields via regression while gender and the years of education were considered as covariates. Statistical results were corrected via Bonferroni correction at a corrected $p$-value of $0.00092 (0.05/4/13 = 0.00092$; four cognitive domains; 12 subfield structures and whole hippocampus).

3 | RESULTS

3.1 | Demographics

Table 1 lists the number of subjects, gender, years of education, and MMSE in the HC, s-MCI, c-MCI, and AD groups. The subjects in the four groups entered in the ADNI study around the same age. The proportion of males and females was different among the four groups ($\chi^2 = 13.03, p = .005$). The four groups differed in the years of education ($F_{3,1519} = 13.8, p < .001$).

3.2 | Atrophy of the hippocampal subfields

Figure 2 illustrates the atrophy rate of the hippocampal subfields in each group. In general, almost all the hippocampal subfields showed an increase in the atrophy rate from HC, s-MCI, c-MCI, to AD. Adjusting for gender and education, the group comparisons of the atrophy rate between HC and s-MCI and between s-MCI and c-MCI were statistically significant for most of the hippocampal subfields, except the HATA and fimbria (see corrected $p$-values in Table S1l). However, the atrophy rate of all the hippocampal subfields was statistically comparable between the c-MCI and AD groups. Likewise, the atrophy rate of the whole hippocampus was greater in the s-MCI group compared to the HC group (corrected $p < .001$) and in the c-MCI group compared to the s-MCI group (corrected $p < .001$), was comparable between the c-MCI and AD groups.

Compared to the whole hippocampus, the presubiculum and ML-DG showed a greater atrophy rate in all the four groups (corrected $p < .001$, Table S2). This pattern was also observed in the subiculum in the c-MCI group (corrected $p = .038$) and the fimbria in both the s-MCI and AD groups (corrected $p < .001$, Table S2).

3.3 | The correlation between the atrophy rate of the hippocampal subfields and the onset age of AD

Among the c-MCI patients, we further examined whether the atrophy rate of the hippocampal subfields predicted the onset age of AD. Figure 3 shows the correlation between the atrophy rate of the
hippocampal subfields and the onset age of AD, adjusting for gender and education. Among the 12 hippocampal subfields (Table S3), only the atrophy rate of the presubiculum was significantly correlated with the onset age of AD (corrected $p = .013$), suggesting that the larger atrophy rate of the presubiculum was associated with the earlier onset of AD.

### 3.4 The correlation between the atrophy rate of the hippocampal subfields and CSF biomarkers

There was a significant effect of t-tau ($\beta = .43$, corrected $p = .006$) and p-tau ($\beta = .41$, corrected $p = .014$) levels on the atrophy rate of the presubiculum in the c-MCI group, with lower t-tau or p-tau levels corresponding to a higher atrophy rate of the presubiculum. There was no significant effect of amyloid-$\beta_42$, or t-tau, or p-tau levels on the atrophy rates of the rest of the hippocampal subfields in any diagnostic groups (see Tables S4–S6).

### 3.5 Cognitive decline and its relationship with the atrophy rate of the hippocampal subfields

Figure 4 illustrates the decline rate of the four cognitive functions, including memory, language, visuospatial, and attention in the HC, s-MCI, c-MCI, and AD groups. Table S7 lists the statistical results for the group comparisons of the four cognitive measures. Compared to HC, s-MCI showed a greater memory decline (corrected $p < .001$). Compared to s-MCI, c-MCI had greater declines in all the four cognitive domains (all corrected $p < .001$). Compared to c-MCI, AD showed greater declines in the language (corrected $p < .001$) and visuospatial function (corrected $p = .003$). These results suggested the progressive cognitive decline from memory to the other three cognitive domains in the course of AD.

Figure 5 illustrates the correlation of the hippocampal subfield atrophy rates and cognitive declines in each group. In HC, a greater atrophy rate of the hippocampal tail was associated with a greater memory decline (corrected $p < .001$). In s-MCI, the greater atrophy rate of the presubiculum was associated with a greater memory decline (corrected $p < .001$). None of the hippocampal subfield atrophy rates was associated with memory decline in the c-MCI and AD groups (Table S8). Similarly, cognitive declines in the language and visuospatial functions were scarcely associated with the atrophy rates of the hippocampal subfields in each group (Tables S9 and S10). For instance, a greater decline of the language function was associated with the greater atrophy rates of the HATA in s-MCI, the subiculum, and CA4 in c-MCI (Table S9). A greater decline of the visuospatial

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**FIGURE 3** The correlation between the atrophy rate of the hippocampal subfields and the onset age of AD. ***, corrected $p < .05$**

**FIGURE 4** The rate of the cognitive decline in the healthy controls (HC), stable mild cognitive impairment (s-MCI), converted MCI (c-MCI), and Alzheimer’s disease (AD) patients. ***, corrected $p < .01$**
function was associated with a greater atrophy rate of the hippocampal tail in HC and the fissure in s-MCI (Table S10). Nevertheless, the s-MCI and c-MCI groups showed a positive relationship of the decline in the attention function with the atrophy rate of most of the subicular complex and CA regions, as well as the DG (Table S11). These results provided the spatial correlation map of the hippocampus with the cognitive decline in the cognitively normal aging, MCI, and AD.

4 | DISCUSSION

This study included subjects in the cognitively normal, MCI, and AD groups whose clinical stage was stable over a long period. Hence, our findings provided insights into the involvement of the hippocampal subfields in stable cognitively normal aging, MCI, and AD. All the hippocampal subfields accelerated their atrophy rate from cognitively normal aging to stable MCI and AD, which is consistent with the previous findings (Parker et al., 2019). The presubiculum, ML-DG, and fimbria showed greater atrophy beyond the whole hippocampus in the HC, s-MCI, and AD groups and corresponded to a greater decline of memory and attention in the s-MCI group. Moreover, the higher atrophy rates of the subiculum and CA2/3, CA4 were also associated with a greater decline in attention in the s-MCI group. These findings suggested that the hippocampal subfields may not be equally impacted by normal aging, MCI and AD and their atrophy were selectively associated with declines in specific cognitive domains (Apostolova et al., 2006; Braak et al., 2006; Braak & Braak, 1997; Kerchner et al., 2012; La Joie et al., 2013).

There is evidence to suggest preferential atrophy of specific subfields, especially the CA1 and the subicular complex in AD (Apostolova et al., 2006; Apostolova et al., 2010; Blanken et al., 2017; La Joie et al., 2013; Mueller et al., 2010). Interestingly, our study suggested that the presubiculum atrophy was associated with CSF tau levels and corresponded to the onset age of AD and a decline in attention in patients with c-MCI. The presubiculum volume loss was found in patients with posterior cortex atrophy of early onset AD (Parker et al., 2019). The presubiculum anatomically connects with the posterior cortex and receives anatomical projections from the parietal and occipital cortex (Cavada & Goldman-Rakic, 1989; Parker et al., 2019; Parker et al., ; Seltzer & Pandya, 1984; Seltzer & Van Hoesen, 1979; Vogt & Miller, 1983). Tau becomes abnormally phosphorylated and aggregates into neurofibrillary tangles (NFTs). NFT affected neurons may result in disconnection and deafferentation of critical neural communication between the presubiculum and parieto-occipital cortex and thus is likely to (Duyckaerts, Delatour, & Potier, 2009; Hyman, Hoesen, & Damasio, 1990) contribute to the defects in attention in patients with c-MCI.

There is great emphasis on the role of the hippocampus and its subfields in memory (Barry, Clark, & Maguire, 2020; Bartsch, Dohring, Rohr, Jansen, & Deuschl, 2011; Bennett, Stark, & Stark, 2018; Chadwick, Bonnici, & Maguire, 2014; Dimsdale-Zucker, Ritchey, Ekstrom, Yonelinas, & Ranganath, 2018; Perez-Cruz et al., 2011; Mueller, Chao, Berman, & Weiner, 2011; Zheng et al., 2018). Our study also demonstrated the presubiculum atrophy corresponding to memory decline in patients with s-MCI. Beyond memory, our study provided strong evidence on the involvement of the subicular complex, CA2,3,4, and DG.
regions in the progressive decline of attention in patients with s-MCI or c-MCI or AD. The molecular layer of the DG receives sensory information from the unimodal and polymodal cortex via the entorhinal cortex and projects to the CA2/3, then to the CA1. While the subicular complex, CA1, CA2/3, and DG are implicated in memory encoding (Acsády & Káli, 2007; Hasselmo, 2005; Travis et al., 2014), consolidation (Hunsaker & Kesner, 2008; Nakazawa et al., 2004; Wan et al., 1999), and retrieval (Hasselmo, 2005), they have been also suggested to play a role in attention (Aly & Turk-Browne, 2015). Memory can serve to guide attention (Stokes Mark, 2012) and attention is crucial for the encoding and retrieval of memory (Chun & Turk-Browne, 2007; Hardt & Nadel, 2009). The discriminate activity patterns in the CA2/3 and DG for different motivational states correlate with associative memory (Wolosin, Zeithamova, & Preston, 2013). The CA2/3 and DG activity was found to be associated with behavior in an online attention task (Wolosin et al., 2013). Our results support a significant association of the atrophy of specific subcicular, CA, and DG subfields with attention decline as a non-memory cognitive impairment in patients with s-MCI and c-MCI.

This study had several advantages and limitations. This study incorporated all structural MRI, CSF biomarkers, and cognition data available in ADNI to maximize the potential for exploring the roles of the atrophy of hippocampal subfields in different stages of AD and their relations with CSF biomarkers and cognitive decline over the period of 15 years. Since the sample size of the study was large and all time-points were included, our study may provide more reliable findings on the hippocampal subfields in AD. Due to a limited number of subjects with multiple time-point CSF biomarkers, our study was not able to assess the changes of CSF biomarkers over time and their relationship with the hippocampal subfield atrophy in the course of AD. Moreover, the T1-weighted MRI in the ADNI study had relatively large spatial resolution (≥1 mm³), which may not have enough spatial resolution and image contrast to see and/or identify small hippocampal subfields, such as the ML. In this study, we combined the ML with CA4 as suggested in Iglesias et al., 2015), repeated the analysis, and showed the findings reported here remained the same (see Section C in the Data S1). Future studies should consider fine spatial resolution and multi-modal MRI acquisition (T1- and T2-weighted images). Last but not least, the images in the ADNI study were acquired using 1.5T and 3T scanners. We further repeated analysis while applying ComBat (Pomponio et al., 2020) to correct potential effects due to scanner field strength and reported the findings in Tables S12–S21. Again, our findings remained largely unchanged or became marginally significant, suggesting the robustness of the findings reported in this study. Nevertheless, longitudinal MRI studies always face challenges of changes in image acquisition due to software upgrade, field strength, scanner upgrade, and et al. Hence, a large study sample is needed to overcome potential effects due to such factors.

In conclusion, this study provided reliable evidence on the spatial correlation maps of the hippocampal subfields with CSF biomarkers and cognition in cognitively normal aging, stable and converted MCI, and AD over time. Our study highlighted the presubiculum atrophy as a potential surrogate marker in the prediction of the AD onset age and in the link with tau pathology and attention decline in the conversion from MCI to AD. Our findings provided important information for clinical prognosis and monitoring the progression of AD.

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DATA AVAILABILITY STATEMENT

The data used in this article is available in the ADNI website (http://www.adni-info.org).

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SUPPORTING INFORMATION
Additional supporting information may be found online in the Supporting Information section at the end of this article.

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