Diffuse Interplanetary Radio Emission: Shock Emission or a Type III Storm?

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Abstract – We present a clear case of a diffuse interplanetary radio emission (DIRE) event observed during 2002 March 11–12 in association with a fast coronal mass ejection (CME). In a previous report, there were two CMEs, and a detailed analysis was required to pin down the underlying CME. In the event presented here, the CME association is unambiguous, and the DIRE is found to originate from the flanks of the CME-driven shock. We also provide a quantitative explanation for not observing radio emission from the shock nose. We also clarify that DIRE is not a type III storm because the latter occurs outside of solar eruptions.

1. Introduction

Solar type II radio bursts are caused by ~10 keV electrons accelerated at shocks driven by coronal mass ejections (CMEs). The accelerated electrons form an unstable beam–plasma system, resulting in the production of Langmuir waves, which are converted into electromagnetic emission observed as type II bursts. Type II bursts have many variants as inferred from the radio dynamic spectra, which are plots of the radio intensity as a function of frequency of emission and time [1]. Type II bursts appear as slowly drifting features in the dynamic spectra and have starting and ending frequencies at various spectral domains: metric (m; >15 MHz), decameter–hectometric (DH; 1 MHz to 15 MHz), and kilometric (km; <1 MHz). For heliocentric distance, these domains correspond to <2 Rs, 2 Rs to 10 Rs, and >10 Rs, respectively. The DH spectral window became available after the advent of the coronagraph coverage [4] of the spatial domain in which this emission occurs. DIRE is so named because it is observed in the Wind–WAVES spectral range, but it is not clear whether it has a coronal counterpart. The DIRE is characterized by a series of short duration bursts within an envelope that drifts like type II radio bursts. The appearance is distinct from ordinary type II bursts, which do not have such fine structures. Another important property is the section of the shock front the emission originates from: at the flanks, where the shock crosses streamer stalks. Ordinary type II bursts can also originate from the flanks as a continuation of the m-type II bursts; such bursts do not require interaction with a streamer. Here, we report on the 2002 March 11–12 event that can be unambiguously associated with a CME observed by the Large Angle and Spectrometric Coronagraph (LASCO) [4] onboard the Solar and Heliospheric Observatory (SOHO).

2. The Solar Eruption Associated With DIRE

The Wind–WAVES radio dynamic spectrum showing the 2002 March 11–12 DIRE is presented in Figure 1 along with the associated CME in the SOHO–LASCO field of view (FOV). The DIRE starts at ~00:03 Universal Time (UT) on 2002 March 12, very close to the time of the LASCO image in Figure 1. The starting frequency (~14 MHz) is just the upper edge of the WAVES dynamic spectrum, which means the DIRE is likely to have started at a slightly higher frequency. However, radio data obtained by the Hiraiso Radio Spectrograph do not show any corresponding feature down to 25 MHz (https://sunbase.nict.go.jp/solar/denpa/hirasDB/2002/03/020312a.gif). It is possible that the DIRE started somewhere between 14 MHz and 25 MHz. The DIRE consists of a series of short-duration bursts that have a bandwidth of ~6 MHz. The emission ends around 02:18 UT on 2002 March 12 at ~2.4 MHz. The overall envelope of the DIRE drifted to lower frequencies at the rate of ~1.6 × 10^3 MHz/s. This value is within the range of drift rates obtained for a large number of type II bursts at DH wavelengths [5, 6]. There is no fundamental harmonic structure in DIRE, unlike the previous event [1]. Although the drift rate of DIRE resembles that of a normal type II burst, the spectral features are quite different. The DIRE is also spectrally distinct from the ongoing type III storm noted in the dynamic spectrum.

Figure 1 also shows the associated white light CME observed just a few minutes before the DIRE starts at 14 MHz. The CME is morphologically well defined with a flux rope structure and a shocklike structure clear in the northern flank. The CME first appeared in the LASCO–C2 FOV at 23:06 UT on 2002 March 11, barely showing up above the occulting disk at 2.5 Rs. The CME leading edge (LE) is already at 4.69 Rs when the DIRE appeared at 14 MHz, and at 15.96 Rs when DIRE ended at 02:18 UT on 2002 March 12.

The CME originated from a filament eruption at S15E45 in a complex magnetic region consisting of two National Oceanic and Atmospheric Administration active regions (ARs) 9866 and 9870 along with an
extended region to the southwest. The filament erupted from a horizontal neutral line in the extended region (see Figure 2). The post-eruption arcade (PEA) was complex, starting to the south of the filament location and connecting to the negative polarity region in AR 9866. The full extent of the PEA can be seen in the microwave (17 GHz) images obtained by the Nobeyama radioheliograph (NoRH) [7], as in the snapshot shown in Figure 2. The footpoints of the PEA traced from the SOHO’s Extreme Ultraviolet Imaging Telescope [8] image are superposed on a Michelson Doppler Imager (MDI) [9] magnetogram showing complex connectivity. The total reconnected flux [10] computed from the PEA area (1.38 \times 10^{20} \text{ cm}^2) and the average magnetic field strength within the area (83 G) is 5.7 \times 10^{21} \text{ Mx}.

There was a C-class soft x-ray flare listed in the online Solar Geophysical Data; however, there are contributions to the x-ray emission from other regions on the Sun, so we do not know the true time evolution of the solar source in x-rays. Fortunately, NoRH imaged the entire eruption at 17 GHz. The 17 GHz brightness temperature (Tb) averaged over the eruption region is shown in Figure 3. The flare in microwaves is very gradual, reaching a flat peak at \sim 01:20 UT on 2002 March 12.

Figure 3 also shows the height–time history of the CME LE, which clearly continues to accelerate with an average acceleration of \sim 18.7 \text{ m/s}^2. Within the coronagraph FOV, the CME has an average speed of \sim 950 \text{ km/s}. The initial acceleration of the CME is typically much higher and can be computed from the flare rise time and the average CME speed [11]. Using the 2.33 h rise time of the 17 GHz profile in Figure 3 and the average speed of 950 \text{ km/s}, we obtain the initial acceleration as \sim 113 \text{ m/s}^2. The height–time measurement was made in the sky plane. We use a simple geometric deprojection by the 45° angle that the CME nose makes with the sky plane to obtain the deprojected acceleration that is \sim 158 \text{ m/s}^2. The initial acceleration in the range of hundreds of meters per second is
characteristic of CMEs associated with filament eruptions from outside the active regions [12]. In such events, the initial speed is typically much smaller than the final speed within the coronagraph FOV [13]. When the CME first appeared in the LASCO–C2 FOV at 23:06 UT, its LE was at 2.5 Rs. In the next frame at 23:30 UT, the height was 3.2 Rs, giving an initial speed of 338 km/s (474 km/s deprojected). The last two data points in Figure 3 correspond to heights of 23.35 Rs and 26.73 Rs at 03:42 UT and 04:18 UT, respectively. The final speed 1089 km/s (1525 km/s, deprojected) from these two data points is much larger than the initial speed.

The average deprojected speed ($V$) is commensurate with the reconnected flux ($F$) [10]: $V = 394 \times F^{0.67}$. Substituting $V \approx 5.7 \times 10^{21}$ Mx in this equation, we obtain $V \approx 1265$ km/s, which is similar to the measured average speed $\sim 1340$ km/s (projection corrected from 950 km/s). Although the speed is high, the different initial and final speeds within the coronagraph FOV have important implications for the ability of the CME to drive a shock and accelerate particles.

### 2.1 Whence Does DIRE Originate?

At the DIRE start (00:03 UT) and end (02:18 UT) times, the CME LE (nose) is at 5.36 Rs and 15.96 Rs, respectively (see Figure 3). When a simple geometrical deprojection is applied, these heights become $\sim 7.51$ Rs and 22.57 Rs, respectively. If the DIRE originates from the CME nose region, the local plasma frequency immediately ahead of the CME LE needs to be 14 MHz and 2.4 MHz at the two heights, assuming fundamental plasma emission. These frequencies correspond to electron densities of $2.4 \times 10^5$ cm$^{-3}$ and of $7.1 \times 10^4$ cm$^{-3}$, respectively. Even if the emission occurs at the harmonic of the local plasma frequency, the expected plasma frequencies are 7 MHz and 1.2 MHz. We can estimate the plasma frequencies on the basis of the frequency of the plasma line at the Wind spacecraft. In the interplanetary medium, the density falls off as the square of the heliocentric distance ($r$). The plasma frequency, therefore, falls off as $1/r$. Assuming that the plasma density distribution does not change significantly above the active region over the next several days, the measured plasma frequency (30 kHz) on Wind on 02 March 16 is extrapolated to heights of 7.51 and 22.57 as 0.86 MHz and 0.29 MHz, respectively. Clearly, these plasma frequencies are much smaller than the DIRE frequencies of 14 MHz and 2.4 MHz. Therefore, we can rule out the possibility of DIRE originating from the CME nose region.

The curved shock in front of the CME flux rope cuts plasma levels of progressively increasing density as one goes away from the nose in the lateral direction (see Figure 1b). According to the density model in [14] normalized to the observed 1 AU density ($\sim 11$ cm$^{-3}$, corresponding to 30 kHz), the 14 MHz plasma level occurs at a heliocentric distance of $\sim 2$ Rs. This height is just beneath the LASCO–C2 occulting disk. The presence of streamers at the flanks would raise the 14 MHz plasma level to a larger height, depending on the density enhancement in the streamers. Thus, we can conclude that the DIRE frequencies correspond to the flank region of the CME shock.

Figure 4 shows a set of LASCO–C2 images showing the coronal streamers S1–S5. As the CME moved out, streamers S2, S3, and S4 are clearly disturbed, indicating interaction with the CME. On the other hand, streamers S1 and S5 do not show any changes. Although we do not know the exact streamer location with respect to the CME, it appears that the streamers are with the CME span along the line of sight. Another aspect of the streamer interaction worth mentioning is that the fast magnetosonic speed ($V_M$) is lower in streamers. When a weak shock enters a streamer, it becomes locally strong and accelerates electrons more efficiently.

### 2.2 Why Is the Shock Nose Radio Quiet?

From the height–time history in Figure 3, we see that the CME is relatively fast: $\sim 950$ km/s ($\sim 1340$ km/s, deprojected). The reconnected flux in the source region is consistent with the observed speed and agrees with the statistical relation between the two parameters [7]. This speed is high enough to drive a shock and produce a type II burst, yet the CME nose was radio quiet. Whether a CME drives a shock or not depends on the relative importance of the CME speed and $V_M$ at a given height. It is well known that at a distance of $\sim 3$ Rs, $V_M$ peaks, falling off on either side of this distance [15, 16]. The peak $V_M$ has been found to vary by a factor of 3 in the range $\sim 500$ km/s to $\sim 1600$ km/s [17]. The CME speed in the present case lies in this range, so it is possible that the nose did not drive a shock or the shock was too weak to accelerate electrons. High $V_M$ in the corona results from a low density and high magnetic field strength. Such coronal regions are tenuous, as indicated by the relatively dark regions in coronagraph images. The dim corona above the CME nose in Figure 4b is indicative of lower density and hence higher $V_M$ (assuming the ambient magnetic field does not vary much). Furthermore, the CME is accelerating through the coronagraph FOV, indicating that the early speed is likely to be small. The CME first appeared in the

![Figure 4](image-url)
LASCO–C2 FOV at 23:06 UT, with its nose at 2.5 Rs. In the next frame at 23:30, the nose height was 3.2 Rs, giving an early speed of 338 km/s, which deprojects to 474 km/s. This height range is near the 'soft' giving an early speed of 338 km/s, which deprojects to 474 km/s. This height range is near the CME, so the CME nose do not match the DIRE frequency. This is true irrespective of the emission mode (fundamental or harmonic of the plasma frequency). On the other hand, the flank regions are at lower heights, and hence of higher plasma frequency, allowing plasma emission. In addition to the plasma-level match, the magnetosonic speed is lower in the flank region, so even a lower flank CME speed is enough to make it supermagnetosonic.

The DIRE feature in the dynamic spectrum is somewhat similar to the “pure DH” or m–DH type II variants; however, these do not have the fine structure observed in DIRE. Although more investigation is needed, we can speculate that the pure DH originates in the shock flank passing through a normal corona, while the DIRE originates in the shock flanks passing through nearby streamer stalks. Electron beams escaping along streamer stalks cause the short-duration, type III–like bursts in DIRE. Sometimes m–DH and DH–km bursts occur simultaneously at different frequencies: at a given time, the m–DH feature occurs at a higher frequency than the DH–km feature does [6]. These features are interpreted as emission coming from the nose (DH–km) and flanks (m–DH) [6]. In the event in hand, the nose emission is absent (weak shock or no shock), and the m–DH component is replaced by the DIRE. Such an explanation allows the possibility that the DIRE occurs along with nose (DH–km) emission in some events. In fact, such events are frequently observed, especially in cases in which the regular DH–km type II burst is very intense. In [18], these events was reported and were labeled as “type III storm”; it was noted that the envelope of the storm bursts drifted as a type II burst. We know that type III storms occur in active regions outside of solar eruptions. Therefore, the type III storms identified by [18] are indeed DIRE events accompanied by type II emission from the nose region.

3. Discussion and Conclusions

We presented on a Wind–WAVES DIRE event associated with a fast halo CME. We showed by extrapolating the 1 AU electron plasma frequency to the corona that the plasma levels corresponding to the CME nose do not match the DIRE frequency. This is true irrespective of the emission mode (fundamental or harmonic of the plasma frequency). On the other hand, the flank regions are at lower heights, and hence of higher plasma frequency, allowing plasma emission. In addition to the plasma-level match, the magnetosonic speed is lower in the flank region, so even a lower flank CME speed is enough to make it supermagnetosonic.

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