Apoptosis, autophagy and unfolded protein response pathways in Arbovirus replication and pathogenesis

MAHMOUD IRANPOUR1†, ADEL REZAEI MOGHADAM2†, MINA YAZDI3, SUDHARSANA R. ANDE4, JAVAD ALIZADEH5, EMILIA WIECHEC6, ROBBIN LINDSAY1, MICHAEL DREBOT1, KEVIN M. COOMBS7,8, SAEID GHAVAMI5,8*

1Zoonotic Diseases and Special Pathogens, National Microbiology Laboratory, Public Health Agency of Canada, 1015 Arlington St., Winnipeg, Manitoba, Canada, 2Young Researchers and Elite Club, Ardabil Branch, Islamic Azad University, Ardabil, Iran, 3Faculty of Veterinary Medicine, University of Tehran, Tehran, Iran, 4Department of Internal Medicine, College of Medicine, Faculty of Health Sciences, University of Manitoba, Winnipeg, Canada, 5Department of Human Anatomy and Cell Science, College of Medicine, Faculty of Health Sciences, University of Manitoba, Winnipeg, Canada, 6Department of Clinical and Experimental Medicine (IKE), Division of Otorhinolaryngology, Linköping University, Linköping, Sweden, 7Department of Medical Microbiology and Infectious Diseases, College of Medicine, Faculty of Health Sciences, University of Manitoba, Winnipeg, Manitoba, Canada, and 8The Children Hospital Research Institute of Manitoba, Winnipeg, Canada

Arboviruses are pathogens that widely affect the health of people in different communities around the world. Recently, a few successful approaches toward production of effective vaccines against some of these pathogens have been developed, but treatment and prevention of the resulting diseases remain a major health and research concern. The arbovirus infection and replication processes are complex, and many factors are involved in their regulation. Apoptosis, autophagy and the unfolded protein response (UPR) are three mechanisms that are involved in pathogenesis of many viruses. In this review, we focus on the importance of these pathways in the arbovirus replication and infection processes. We provide a brief introduction on how apoptosis, autophagy and the UPR are initiated and regulated, and then discuss the involvement of these pathways in regulation of arbovirus pathogenesis.

Introduction

Arthropod-borne viruses (commonly called arboviruses) typically circulate in nature through biological transmission among susceptible vertebrate hosts and blood-feeding arthropods such as mosquitoes (Culicidae), sand flies (Psychodidae), biting midges (Ceratopogonidae), black flies (Simuliidae) and ticks (Ixodidae and Argasidae) (Refs 1, 2). Most of the arboviruses that cause human diseases have RNA genomes and are within the families Flaviviridae, Togaviridae, Bunyaviridae, Reoviridae and Rhabdoviridae which, with few exceptions, are zoonoses that depend on wildlife or domestic animals for maintenance in nature (Ref. 1). Most of the arboviruses that cause disease in humans include: Alphaviruses (Togaviridae: Alphavirus), flaviviruses (Flaviviridae: Flavivirus), bunyaviruses (Bunyaviridae) and some viruses in the families Reoviridae and Rhabdoviridae (Refs 3, 4, 5, 6).

There are currently 534 viruses listed in the International Catalogue of Arboviruses, of which 214 are known to be, or are probably associated with arthropods, 287 viruses are reported to be possible arboviruses and 33 are considered to probably not be, or definitely not be, arboviruses. In total, 134 of the 534 arboviruses have been reported to cause illness in humans (Refs 7, 8).

Arboviruses have a global distribution but the majority circulate in tropical areas where climatic conditions are favourable for year-round transmission. Arboviruses usually circulate within enzootic cycles involving wild or domestic animals with relatively few human infections (Ref. 9). Birds and rodents are the main reservoir hosts and mosquitoes and ticks are most often the vectors for the most important arboviruses (Table 1). ‘Spill-over’ of arboviruses from enzootic cycles to humans by enzootic or ‘bridge vectors’ can occur, under the appropriate ecological conditions. For most arboviruses, humans are dead-end or incidental hosts; however, there are several viruses such as dengue, yellow fever and chikungunya that primarily infect people during outbreaks and then begin to use humans as amplification sources (Ref. 9). Figure 1 illustrates the various mechanisms by which humans are infected by zoonotic and non-zoonotic arboviruses (Ref. 10).

†These authors contributed equally to this work.

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Arboviruses have been causing human disease for at least a thousand years but during recent decades some have newly emerged or re-emerged and a few have increased in importance because of human population expansion and increased urbanization, increased trade or travel and global climate change (Refs2, 9, 36). Arthropod-borne viruses have been a serious public health concern, with viruses such as dengue (DEN) and yellow fever viruses causing millions of infections annually, while emerging arboviruses, such as West Nile, Japanese encephalitis (JE) and Chikungunya viruses (CHIKV) have significantly increased their geographical ranges in recent years (Refs9, 37, 38, 39).

From a public health point of view, those arboviruses that produce viremia in humans and cause major mosquito-borne epidemics are most important (Ref.40).

Figure 2 shows world geographical distribution of the most important vector-born arboviruses. In the following section we will discuss some of the most common arbovirus-induced diseases.

**Common arbovirus-induced diseases**

**Dengue/ dengue haemorrhagic fever**

The dengue viruses (DENV) are the only arboviruses that are fully adapted to the human host and its environment, thus eliminating the need for an enzootic transmission cycle (Refs 52, 53). Consequently, in recent years, transmission has increased in urban and semi-urban areas and has caused a major international public health concern (Refs 54, 55). DENV is now endemic in more than 100 countries in Africa, the...
USA, the Eastern Mediterranean, South-east Asia and the Western Pacific (Ref. 41). Severe DEN, previously known as Dengue haemorrhagic fever, occurs primarily in Asian and Latin American countries and has been a leading cause of hospitalization and death among children in these countries (Ref. 42). About 1.6 million cases of DEN were documented in the USA alone in 2010 (Ref. 42). The incidence of DEN has increased dramatically in recent years with over 2.5 billion people now at risk of contracting DEN (Ref. 56). It has been estimated that the annual number of DENV infections could be from 50 to 400 million cases with 25 000 deaths reported annually (Ref. 56).

Female Aedes aegypti mosquitoes can take blood meals from multiple human hosts during each feeding period, which increases the chance of infecting many human hosts (Ref. 42). Aedes albopictus acts as a secondary vector of DENV in Asia, and has recently expanded its geographical distribution both into and within parts of North America and Europe. Infection with DENV can be asymptomatic but often patients present with high fever, headache, pain behind the eyes, muscle and joint pains, nausea, vomiting, swollen glands or rash (Ref. 42). Severe DEN can potentially cause death because of plasma leakage, fluid accumulation, respiratory distress, severe bleeding or organ impairment (Ref. 42). There is no vaccine or treatment against this virus; therefore, environmental management, mosquito control and personal protection have been recommended (Ref. 56).

Yellow fever
Yellow fever is a well-known disease that has caused major epidemics in the USA and Africa over the last four centuries (Ref. 1). It is endemic to parts of Africa and was introduced, along with its vector Ae. aegypti, into the Western Hemisphere in the early 1600s (Ref. 57). Globally over 900 million people are living in regions where Yellow fever is endemic and it is estimated that 200,000 cases of Yellow fever occur, resulting in 30,000 deaths each year (Ref. 43).
There are no specific anti-viral treatments for Yellow fever, and the primary interventions are supportive care. Vaccination is the most important strategy to prevent Yellow fever. The current vaccine is highly effective and provides immunity within 30 days for 99% of vaccinated people (Refs 43, 44).

**West Nile virus**

*West Nile* virus (*WNV*) was reported for the first time in Uganda in 1937 and then disappeared until the 1950s when it became widespread and caused disease outbreaks in the Middle East, India and Israel (Refs 1). *WNV* was recognized in the Western Hemisphere in the Northeastern USA in 1999 (Refs 52, 58, 59). In 2001, it became more widespread and 66 human cases with 9 deaths were reported from 10 states (Refs 44, 60, 61). In August 2001, *WNV* was identified in birds from Ontario, Canada (Ref. 62). The introduction of *WNV* into the USA has had a significant public health and economic impact. Millions of dollars have been spent on rebuilding and improving public health facilities to implement surveillance, prevention and control programs against *WNV* and other arboviral pathogens (Refs 63, 64). Currently, there is no human vaccine for *WNV* although several are available for horses (Ref. 52). Prevention and control is accomplished through effective surveillance coupled with targeted preventive measures and mosquito control (Ref. 1).
Japanese encephalitis

Japanese encephalitis virus (JEV) is a Flavivirus that is maintained in an enzootic cycle involving Culex species of mosquitoes and aquatic birds (Refs 19, 23, 65). Pigs are efficient amplification hosts and their involvement greatly increases the risk of infection in humans (Ref. 65). Children are particularly susceptible to JEV infections and humans and horses are incidental hosts that can suffer a significant level of illness and death (Ref. 65). JEV is considered a leading cause of viral encephalitis worldwide, with more than 40 000 cases in Asia alone (Refs 66, 67). Climate, geography and host immune status play a significant role in JEV epidemiology (Refs 1, 68). JEV has been considered an emerging disease in the Indian subcontinent, parts of Southeast Asia and in the Pacific, and it caused a major epidemic in India for the first time in 1995 (Refs 69, 70, 71). JEV has also become a major public health problem in Nepal (Refs 72, 73, 74). It is possible that JEV has become established in northern Australia and possibly in other regions such as the USA where hosts and vectors are present (Ref. 47). Vaccination and changes in agricultural and animal husbandry practices are considered effective in controlling this arbovirus (Refs 52, 75).

Rift valley fever

Rift valley fever virus (RVFV) has been responsible for numerous outbreaks of severe disease in domestic livestock (cattle, goats, camels and sheep) and humans over the past 70 years (Refs 76, 77). This virus was responsible for an outbreak affecting an estimated 200 000 people and devastated the sheep industry in Egypt from 1977 to 1979 (Refs 31, 78). It has now been reported in Saudi Arabia and Yemen (Refs 79, 80, 81), and recent outbreaks have occurred in Kenya, Tanzania and South Africa (Refs 82, 83). Concerns have been raised regarding the agricultural and medical impact that this zoonotic disease agent might have if it were to continue to expand its geographic range, either by natural means or intentional release (Refs 84, 85, 86, 87). Based on the outcome of the previous outbreaks, the threat from RVFV must not be underestimated as the consequences of this virus are dramatic, both for humans and livestock (Ref. 31).

Venezuelan equine encephalitis

Venezuelan equine encephalitis (VEE) is an Alphavirus that has been isolated from a variety of animals including horses, rodents and mosquitoes (Refs 88, 89, 90). The geographic range of VEE virus is from Argentina to the USA. VEE virus includes five serotypes; two serotypes, AB and C, are considered epizootic and are pathogenic for horses (Refs 88, 89, 90), while the three serotypes D, E and F are considered to be enzootic. Both epizootic and enzootic variants of VEE virus cause a nonspecific viral syndrome in humans (Refs 89, 90). Epizootic virus infection can develop into encephalitis in a small number of cases. Death can occur following infection with either enzootic or epizootic serotypes of VEE virus (Ref. 1). VEE virus causes illness with symptoms similar to dengue and other mosquito-borne arboviruses; therefore, the numbers of reported cases may be an underestimate (Ref. 18). There is no treatment for this disease and also no licenced human vaccine for this virus except a live-attenuated vaccine for military forces and laboratory personnel (Ref. 91).

Viruses and autophagy, apoptosis and unfolded protein response (UPR)

Many viruses hijack host cell responses for their own benefit and use them as complementary mechanisms for replication and infection. Some of the most important host mechanisms that are usually affected by viral infection are pathways involved in cell death and cellular responses against environmental stress. These mechanisms include apoptosis (i.e. programmed cell death I), autophagy (programmed cell death II) and UPR. These mechanisms play essential functions in regulating cell fate and are important for normal cellular functions. In addition, these mechanisms are tightly regulated and can affect each other. They are usually interconnected and also ‘cross-talk’ with each other. We will briefly review the general concepts of apoptosis, autophagy and UPR and explain their cross-talk and regulatory mechanisms. We will then focus on the role of apoptosis, autophagy and UPR in arbovirus replication and infection and then describe different possible therapeutic approaches for arboviruses by discussing the involvement of apoptosis, autophagy and how they may determine therapeutic strategies.

An overview of autophagy, apoptosis and UPR

Autophagy

Lyssosomes are the final destination for degradation of long-lived and dysfunctional cellular components through autophagy, a highly regulated catabolic process. This process is essential for maintaining cellular integrity, homeostasis, survival, differentiation and development (Refs 92, 93, 94, 95). In mammals, the role of nutrient deprivation, hormonal stimuli, including glucagon and insulin, and other autophagy activation cues such as temperature, oxygen concentration and cell density have been elucidated (Refs 96, 97, 98, 99). There are three different types of autophagy, all of which differ in their mechanisms and functions: chaperone-mediated autophagy (CMA), microautophagy and macroautophagy (Refs 100, 101, 102, 103, 104, 105). During CMA, specific cytosolic proteins are selectively tagged by the CMA substrate chaperone complex and then moved to the lysosome for degradation (Refs 104, 106, 107). This is the only form of autophagy in which no vesicular traffic is involved (Ref. 108). Microautophagy directly targets small
proteins and organelles using lysosomes (Refs 109, 110, 111). However, macroautophagy is the major regulated catabolic mechanism by which the bulk of damaged cytoplasmic proteins and organelles are sequestered within an autophagosome (Refs 112, 113, 114). In this review, we will focus on macroautophagy (referred to herein as ‘autophagy’).

The first step in autophagy (see Fig. 3) involves formation and expansion of a double-membrane structure, which is called the ‘isolation membrane’ or ‘phagophore’. The edges of this membrane eventually fuse to form a new double membrane-bound vacuole, known as the autophagosome that sequesters the cytoplasmic cargo. The autophagolysosome is formed by fusion of the autophagosome with a lysosome to degrade and reuse the compounds. ATG, autophagy-related genes; mTOR, mammalian target of rapamycin.

It is assumed that the different steps in macroautophagy are mediated by autophagy-related genes (ATG), which encode proteins involved in autophagy (Refs 121, 122). These proteins have been classified into five different functional categories: (i) a protein serine/threonine kinase complex that responds to upstream events such as target of rapamycin (TOR) kinase (Atg1/ULK1, Atg13 and Atg17); (ii) a lipid kinase group that controls vesicle nucleation (Atg6/Beclin1, Atg14, Vps34/PI3KC3 and Vps15); (iii) two ubiquitin-like conjugation pathways that stimulate vesicle expansion (the Atg8 and Atg12 conjugation systems); (iv) a recycling pathway that is required for disassembly of Atg proteins (Atg2, Atg9, Atg18); and (v) vacuolar permeases that permit the efflux of amino acids from the degradative compartment (Atg22) (Refs 93, 119). The mammalian TOR (mTOR) kinase acts as a negative regulator of autophagy and is a central controller of cell growth, aging and proliferation (Refs 123, 124). Under starvation conditions, inhibited mTOR induces autophagy through

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**Graphic representation of autophagy**

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**FIGURE 3.**
Graphic representation of autophagy. Autophagy is a process for the degradation and recycling of damaged or unnecessary cellular compartments, which has several tightly regulated steps including induction, nucleation, expansion and completion, fusion and degradation. The mTOR is known as the key regulator of autophagy induction and can be suppressed by ULK1, leading to trigger VPS34-Beclin 1-class III PI3-kinase complex. Several different membrane pools contribute to the formation of the phagophore. The Atg proteins (Atg2, Atg9, Atg18) are essential for phagophore formation. The ATG and LC3 conjugation system also contribute in autophagosome membrane formation and elongation. The autophagolysosome then is formed by fusion of the autophagosome with a lysosome to degrade and reuse the compounds. ATG, autophagy-related genes; mTOR, mammalian target of rapamycin.
phosphorylation of the Ulk1-Atg13-FIP200-Atg101 complex (Refs 125, 126), leading to localization of Ulk1/2 and Atg13 to the autophagic isolation membrane (Refs 127, 128). During the initiation step of autophagy, Beclin 1 interacts with Vps34, which contributes to Atg protein recruitment and autophagosome nucleation (Refs 129, 130). Interaction with various Beclin-1-interacting proteins facilitates the coordination of these events (Ref. 131). LC3, the mammalian ortholog of Atg8, is cleaved by Atg4 and then conjugated to the polar head of phosphatidylethanolamine (PE) to generate LC3-II, which is necessary during the elongation step of autophagy (Refs 132, 133). Hence, the autophagosome is regulated in response to the Beclin-1/Vps34/UVRAG complex, known as the maturation step (Refs 134, 135, 136). An overview of autophagy is summarised in Figure 3.

Apoptosis

There are two mainly functionally distinct pathways for apoptosis induction (Fig. 4): the extrinsic and the intrinsic mitochondrial pathways (Refs 137, 138, 139). Caspases are involved in most of the apoptotic processes and are activated by ligation of death receptors [tumour necrosis factor receptor (TNFR), Fas, TNF-related apoptosis-inducing ligand (TRAIL)] or release of specific proteins from the mitochondria (Refs 140, 141). However, accumulating evidence suggests that the two pathways are intimately intertwined (Refs 138, 142), which will be described in the next sections. The extrinsic apoptosis cascade is stimulated after the binding of cell surface receptors to their ligands, resulting in Fas-associated protein with death domain (FADD)-dependent activation of initiator caspases, namely caspase-8, and subsequently caspase-3 and -7 (Refs 143, 144). As a consequence, effector caspases (i.e. caspase-3 and caspase-7) are dimerized and activated and, once active they can cause apoptosis (Refs 141, 145).

The mitochondrial apoptotic death mechanism integrates various extracellular stimuli including drugs, nutrients and radiation and also different intracellular stimuli such as oxidative stress, oncogene expression, endoplasmic reticulum (ER) stress and DNA damage (Refs 146, 147). The apoptotic signals in this pathway converge on the mitochondria to release apoptotic proteins such as cytochrome c, apoptosis-inducing factor (AIF), Smac/DIABLO, Omi/HtrA2 and mitochondrial endonuclease G (Refs 148, 149, 150, 151). The Bcl-2 family of proteins serves as important regulators of the release of these mitochondrial proteins that can be divided into two classes: (i) antiapoptotic members (e.g. Bcl-2 and Bcl-xL); and (ii) proapoptotic members (e.g. Bax, Bak, Bid, Bad, Noxa, Puma and others) (Refs 152, 153). Up-regulation of proapoptotic proteins or down-regulation of antiapoptotic proteins can cause an increase in permeability of the mitochondrial membrane, which later promotes release of cytochrome c and other proteins into the cytosol (Refs 151, 154, 155, 156). In the presence of deoxyadenosine triphosphate (dATP), the released cytochrome c interacts with Apaf-1 and caspase-9 and forms a ternary complex, leading to activation of caspase-3 and then apoptosis (Refs 142, 157, 158). In addition, p53 plays a stimulating role in intrinsic apoptosis induction (Refs 159, 160, 161). Thus, the two direct p53 transcriptional targets Noxa and Puma can mediate the pro-apoptotic activity of Bax and Bak, and thereby promote apoptosis (Refs 162, 163).

It is widely accepted that there is cross-talk between the two extrinsic and intrinsic pathways, such that activity in one pathway interferes with signalling steps in the other pathway (Ref. 141). The pro-apoptotic cytochrome c-releasing factor Bid is positioned to serve as a link between the extrinsic death receptor pathway and the intrinsic pathway (Ref. 154). Cleavage of the BID protein in the cytoplasm by caspase-8 causes Bid to localize in the cytosol while truncated Bid translocates to the mitochondria and activates the mitochondrial pathway after apoptosis induction through death receptors, and can be used to amplify the apoptotic signal (Ref. 164). Although Bid is a downstream target of caspase-8 in the extrinsic apoptotic pathway, it also acts as ligand for Bax and Bak, causing caspase-9 activation (Refs 154, 165). Caspase-9 activation proteolytically activates downstream caspases (e.g. caspases-3,-6,-7), which, in turn, can result in apoptosis (Refs 166, 167).

UPR

The ER contains an extensive network of tubules, sacs and cisternae, which extend from the cell plasma membrane through the cytoplasm and to the nuclear envelope through a continuous connected network (Refs 168, 169). The ER is the main sub-cellular compartment involved in proper folding of proteins and their maturation. Approximately one-third of the total proteins are synthesised in the ER. Many different perturbations can alter the function of the ER leading to unfolding or misfolding of proteins in the ER. This condition is referred to as ER stress (Refs 169, 170). The ER creates a series of adaptive mechanisms to prevent cell death complications and these together are referred to as the UPR (Refs 170, 171). The UPR can be involved in the secretory pathway leading to restoration of protein folding homeostasis. However, if there is too much stress on the ER, and the ER cannot cope with this stress, it will eventually lead to cell death (Ref. 172). The UPR also plays an important role in maintaining cellular homeostasis of specialised secretory cells such as pancreatic beta cells, salivary glands and plasma B cells (Ref. 170). It is becoming increasingly evident from animal models that UPR has several functions that are not directly linked to protein folding including inflammation, energy control and lipid and cholesterol metabolism (Ref. 170). The existence of UPR was first reported by Kozatsumi et al. more than 25 years ago.
They showed that glucose regulated proteins (GRPs) that are associated with the ER are upregulated upon sensing the presence of unfolded or misfolded proteins in the ER (Ref. 173). While the mechanisms and signalling events behind it were not known at the time, today we have a much better understanding of the UPR and how these events are regulated in the ER at the molecular level. ER stress response signals are constantly monitored by three main classes of sensors. These include inositol requiring enzyme 1 α (IRE-1α) and IRE-1β, protein kinase RNA like ER kinase (PERK) and activating transcription factor 6 (ATF6; both α and β isoforms) (Fig. 5). In normal healthy cells these sensors are in an inactive state.

IRE1. This is a type I transmembrane protein receptor having an N-terminal ER luminal-sensing domain. The cytoplasmic C-terminal region contains both an endoribonuclease domain and a Ser/Thr kinase domain (Ref. 169). There are two homologues of IRE1: IRE1α and IRE1β. Activation of IRE1 involves dissociation from Grp78, followed by dimerization, oligomerization and trans-autophosphorylation, which leads to conformational changes and activation of its

![Graphic representation of apoptosis signaling pathways](https://doi.org/10.1017/erm.2015.19)
RNase domain (Ref. 170). Activated IRE1 excises a 26-nucleotide intron region from mRNA that encodes the transcription factor X-box binding protein 1 (XBP1). Dissociation of this 26-nucleotide intron region from XBP1 leads to a shift in the coding reading frame and produces a more stable form of XBP1 called XBP1 spliced form (XBP1s) (Ref. 170). IRE1-XBP1s signalling axis modulates pro-survival responses by targeting many genes involved in protein folding, maturation and ER-associated degradation (Ref. 169). XBP1 also modulates phospholipid synthesis which is required for ER expansion under ER stress (Ref. 174). Some examples of XBP1 target genes include ERdj4, P58IPK, human ER-associated DNAJ (HEDJ), DnaJ/Hsp-40-like genes and protein disulphide isomerase (PDI) P5 (PDI-P5) and ribosome associated membrane protein 4 (RAMP4) (Ref. 169).

Different studies have shown that activation of IRE1 signalling is robust at first but as time progresses it diminishes (Refs 169, 175). However, artificial maintenance of IRE1 signalling is achieved by a chemically-activated mutant form of IRE1, which is positively correlated with enhanced cell survival conditions under ER stress, suggesting that IRE1 signalling mainly plays a role in a pro-survival pathway (Refs 169, 175, 176).

ATF6. ATF6 is a type II transmembrane protein that contains a basic leucine zipper (bZIP) transcription factor domain in its cytosolic terminus (Refs 169,
The ATF6 family of ER transducers include ATF6 α, ATF6 β, old astrocyte specifically induced substance (OASIS), LUMAN (also called CREB3), BBB2 human homolog on chromosome 7 (BBB2H7), cyclic-AMP responsive element binding protein hepatocyte (CREBH) and CREBP4 (Ref. 174). Unlike Ire1, ATF6 does not undergo oligomerization, dimerization and autophosphorylation. Under ER stress conditions, Grp78 dissociate from ATF6 thus uncovering the Golgi localization signal of ATF6. Activated ATF6 translocates into the Golgi complex where it undergoes cleavage by site-1 and site-2 proteases (Ref. 177). Thus, the ATF6 N-terminal cleavage product translocates to the nucleus and regulates the expression of genes that are associated with the ER-associated protein degradation pathway. Some of the ATF6 target genes include Grp78, PDI and ER-degradation enhancing-a-mannosidase-like protein 1 (EDEM1). All these proteins work closely to reduce unfolded proteins in the ER lumen (Ref. 169). ATF6 also activates pro-survival transcription factor and Ire1 target gene XBP1 (Refs 178, 179). Similar to that of Ire1 signalling, ATF6 is activated by the UPR but is not sustained throughout the UPR response. ATF6 signalling is primarily for pro-survival but in some cases, ATF6 signalling activates the pro-apoptotic transcription factor C/EBP homologous protein (CHOP) during prolonged ER stress (Ref. 178).

**PERK.** This is a type I ER transmembrane protein having an ER luminal sensor domain and a cytoplasmic domain. The cytoplasmic domain contains Ser/Thr kinase activity. Upon activation by UPR, PERK dissociates itself from Grp78 and undergoes dimerization and trans-auto phosphorylation (Refs 169, 172, 180). Activated PERK phosphorylates eukaryotic translation initiation factor 2α (eIF2α). PERK-mediated phosphorylation of Ser51 in eIF2α reduces the activity of eIF2α complex and leads to the inhibition of protein synthesis. This rapidly reduces the number of proteins entering the ER and this can lead to a pro-survival effect on the cell (Refs 170, 172, 181). Phosphorylation of eIF2α also allows translation of mRNAs containing short open reading frames in their 5’ UTR regions. Such translated proteins include activating transcription factor 4 (ATF4) (Ref. 170). ATF4 controls expression of many proteins involved in redox processes and amino acid metabolism, and it modulates the expression of ER chaperones and foldases (Ref. 170). ATF4 also regulates important genes involved in ER apoptosis such as CHOP and growth arrest and DNA damage inducible 34 (GADD34) (Ref. 170). GADD34 is involved in a feedback loop to dephosphorylate eIF2α by protein phosphatase I (PP1C) to restore protein synthesis (Refs 170, 182). Another substrate for activated PERK is nuclear factor (erythroid-derived 2 factor)-related factor (Nrf2). In normal cells, Nrf2 is present in the cytoplasm in association with cytoskeletal anchor kelch-like Ech-associated protein (KEAP1). Upon activation PERK phosphorylates Nrf2 and this helps Nrf2 to dissociate from KEAP1 and translocate into the nucleus (Refs 169, 183). Upon translocation into the nucleus Nrf2 induces the expression of genes that have an anti-oxidant response element (ARE) within their promoter such as heme oxygenase 1 (HO-1), aiding in protein folding and helping to restore ER homeostasis (Refs 169, 183). The role of Nrf2 as a pro-survival factor is further shown by the fact that cells devoid of Nrf2 display increased sensitivity to cell death via apoptosis after ER stress (Refs 169, 183). The overall UPR signalling pathway is shown in Figure 5.

**The role of autophagy in arbovirus replication**

Although autophagy was initially proposed as a physiological cellular response to environmental stress followed by virus amplification, increasing evidence now indicates that several viruses may use autophagy as a survival strategy to support their life cycle, which is known as ‘pro-viral autophagy’ (Refs 131, 184, 185) (Fig. 6). Virus-induced induction of autophagy seems to be associated with replication/translation of many arboviruses like DENV, JEV, CHIKV, rotavirus, and epizootic haemorrhagic disease virus (EHDV, an orbivirus) (Refs 186, 187, 188, 189, 190, 191). The results that were obtained by monitoring LC3 lipidation in JEV-infected NT-2 cells, a pluripotent human testicular embryonal carcinoma cell line treated with Rapamycin and 3-methyladenine, revealed that there was a direct relationship between autophagy and viral replication. These results were confirmed using an Atg5/Beclin-1 knock down model (Ref. 187). Most commonly, in many eukaryotic cells, it is apparent that the initiation of autophagy can be enhanced in infected DENV cells; in addition, the replication of DENV is positively linked to autophagy induction (Ref. 192). However, DENV viral replication has been shown to be limited in monocytes, which suggests a possible cell-specific relationship between activated autophagy and DENV production (Ref. 193). WNV induces autophagy even though its replication is autophagy independent (Ref. 194). The importance of virus-induced autophagy and up-regulation of viral replication has also been shown in CHIKV-infected cells (Ref. 188). The Orbivirus EHDV induces autophagy, apoptosis and c-Jun N-terminal kinase (JNK) activation, and phosphorylates c-Jun, all of which seem to benefit viral replication (Ref. 190). JEV also induces autophagy in the early stage of infection and the inoculated viral particles traffic to autophagosomes for subsequent steps of viral infection (Ref. 187). In vivo studies showed that autophagy played a supporting role in DENV-2 replication and pathogenesis (Ref. 195).

Although the function or functions of autophagy in promoting virus replication are not completely understood, experimental evidence suggests that there are multiple autophagy pro-viral mechanisms, including serving as a scaffold for viral replication, contributing...
to viral entry, regulation of lipid metabolism, suppressing innate immune responses and preventing cell death (Ref. 196). A group of arboviruses including DENV, and JEV may need to invoke autophagy components such as the autophagosome, amphisome and autolysosome to: (i) serve as a scaffold for viral replication; and (ii) escape from the immune system (Refs 187, 197, 198, 199). The amphisomes play major roles in DENV entry and localisation of viral translation/replication constituents (Ref. 199). DENV-2 needs pre-lysosomal fusion vacuoles (amphisomes) while DENV-3 interacts with both amphisomes and autophagolysosomes as the sites for their viral translation/replication complexes (composed of viral RNA and proteins) (Ref. 199). Poliovirus and CHIKV also stimulate autophagosome formation as a site for aggregation of viral translation/replication complexes (Refs 188, 189, 200). After DENV and JEV induce autophagy, the presence of viral replication/translation complexes in both the autophagosome and the endosome suggests an auxiliary role for autophagosome–endosome fusion in viral entry (Refs 187, 201). Autophagy can regulate lipid metabolism (lipophagy) through modulating the degradation of triglycerides that have accumulated in cytosolic lipid droplets (Ref. 202). Lipid droplet usage as an energy source is another autophagy-mediated pro-viral mechanism that is used for DENV replication (Ref. 203). Thus, lipid droplets are sequestered in autophagosomes and delivered to lysosomes for degradation to generate FFAs from triglycerides (Ref. 203). The released FFAs are imported to mitochondria and they undergo \( \beta \)-oxidation to produce ATP for viral replication (Ref. 203).

**The innate antiviral immune response**

The innate antiviral response is initiated by binding of pattern recognition receptors (PRRs), retinoic acid-inducible gene (RIG) and Melanoma differentiation-associated protein 5 (MDA5) to intracellular viral pathogen associated molecular patterns (PAMPs)
The interaction of PRR-PAMP with mitochondrial antiviral-signaling protein (MAVS) through Caspase activation and recruitment domain (CARD)–CARD homotypic reaction leads to signaling cascades that ultimately activate nuclear factor-$\kappa$B (NF-$\kappa$B) and interferon regulatory factors (IRF-3) (Refs 205, 206). Inhibiting interferon (IFN) production followed directly from interaction of Atg5–Atg12 with the CARD of RIG, and MDA5 can promote vesicular stomatitis virus (VSV) replication (Ref. 207). Although the exact mechanism of autophagosome accumulation in JEV replication is still unclear, several studies have demonstrated the importance of fusion between autophagosomes and lysosomes and also autophagy in reducing MAVS-IRF3 activation to facilitate virus replication (Ref. 208). Additionally, it has been suggested that autophagy promotes cell survival by delivering damaged mitochondria to lysosomes during JEV infection (Ref. 208).

Optimal Flavivirus (e.g. DENV/2) replication/translation is associated with the nonstructural viral protein NS4A in up-regulating PI3-K-dependent autophagy, and preventing cell death (Ref. 209). Recently, NS4A has been characterised as a main component of the membrane-bound DENV/2 replication complexes (Ref. 210). With attention to the cross-talk between autophagy and apoptosis, it is becoming apparent that autophagy post-pones apoptosis and promotes CHIKV propagation by inducing the IRE1α–XBP-1 pathway in conjunction with ROS-mediated mTOR inhibition (Ref. 211). A schematic representation of autophagy and arbovirus replication is summarised in Figure 6.

The role of apoptosis in arbovirus replication

To date, several investigations have been carried out on the importance of apoptosis in different virus infections, pathogenesis and replication, but many issues are still unclear and under debate (Refs 212, 213, 214). As summarised in Figure 7, a number of arboviruses such as Sindbis virus, WNV and JEV seem to use apoptosis as a virulence factor to promote their own pathogenesis (215, 216, 217). Each of these viruses has specific targets and biochemical-induced mechanisms during virus-induced programmed cell death. The observations suggest that Sindbis virus-induced apoptosis plays an important role in Sindbis virus pathogenesis and mortality (Ref. 215). After entry of Sindbis virus into the host cell and subsequent formation of Sindbis virus double-stranded RNA intermediates, dsRNA-dependent protein kinase (PKR) recognises these particles (Refs 218, 219, 220). PKR blocks cellular translation through eIF2a phosphorylation, which later can inhibit Mcl-1 (anti-apoptotic Bcl2 family protein) biosynthesis (Ref. 221). PKR also controls c-Jun N-terminal kinases (JNK) through IRS1 phosphorylation and later activates 14-3-3 (Ref. 222). Thus, 14-3-3-3 affects the accessibility of substrates (e.g., Bad) to kinases and serves to localise kinases to their substrates, thereby leading to release of Bad and disruption of the complex between anti-apoptotic Bcl2 family proteins, Bcl-xl and Bak. Both Bad and Bik can displace Bak from Mcl-1, which results in Bak oligomerization and cytochrome c release, and subsequent induction of apoptosis (Ref. 222). CHIKV triggers the apoptosis machinery and uses apoptotic blebs to evade immune responses and facilitate its dissemination by infecting neighboring cells (Ref. 223). CHIKV infection can induce apoptotic cell death via at least two apoptotic pathways: the intrinsic pathway, which has been reported to be involved in virus replication and results in activation of caspase-9, and the extracellular pathway, which is dependent on the induction of cell surface or soluble death effector ligands that activate caspase-8. Thus, both pathways activate caspase-3 and finally induce cell death, and this facilitates virus release and spread (Ref. 211). The replication of Crimean-Congo haemorrhagic fever virus (CCHFV), an arbovirus from the family Bunyaviridae, is associated with the death receptor pathway of apoptosis. Up-regulation of pro-apoptotic proteins (i.e. BAX and HRK) and novel components of the ER stress-induced apoptotic pathways (i.e. PUMA and Noxa) have also been shown in a CCHFV-infected hepatocyte cell line, which suggests a link between CCHFV replication, ER stress and apoptotic pathways. Notably, differential high levels of transcription factors, such as CHOP, which are activated through ER stress, are present in hepatocytes following CCHFV replication (Ref. 224). In this study, it was shown that the over-expression of IL-8, an apoptosis inhibitor, during CCHFV infection was independent from apoptotic pathways. However, in other studies, a positive correlation was detected between IL-8 induction and DENV infection (Refs 224, 225, 226). In contrast to Sindbis virus, CHIKV and CCHFV replication in infected cells have been proposed to be necessary for apoptosis induction, as demonstrated by the use of UV-inactivated viral particles (Refs 227, 228, 229). The replication of Flaviviruses (e.g. WNV, JEV and DENV) can be limited by virus-induced programmed cell death at the early stage of virus infection. These viruses might block or delay apoptosis via activating several cell survival pathways, such as PI3K/Akt signalling, to improve their replication rate (Refs 227, 230). Blocking PI3K (using LY294002 and wortmannin) showed that the induction of apoptosis might be a result of p38 MAPK activation and did not affect JEV and DENV viral particle production (Ref. 227). In 2001, del Carmen Parquet et al. demonstrated that WNV-induced cytopathic effect was caused during induction of apoptosis and that viral replication is an essential event for virus-induced cell death (Ref. 231). WNV capsid protein has an anti-apoptotic role, ensuring that it can block or delay apoptosis by suppression of the phosphatidylinositol (PI) 3-kinase-dependent process at the early stage of infection (Ref. 230). In addition, Akt is a downstream target of PI3-kinase and can directly phosphorylate the pro-
Graphic representation of apoptosis and viral replication. Viral infection, in general, can induce both intrinsic and extrinsic apoptotic pathways. Viruses like CHIKV, CCHFV and RVFV initiate extrinsic signals through cell death ligands (e.g. FasL, APO-2L, TRAIL, TNF), causing caspases-8 activation which then triggers caspases-3, -6 and -7. AHHSV and WNV directly trigger caspase 3; however, CHIKV targets caspase 9. DENV and WNV affect the intrinsic pathway of apoptosis through stimulation of P53. Once P53 is activated, mitochondria-dependent apoptosis can be activated. Viral infection can also induce PKR and this kinase can affect eIF2a, resulting in activation of effector caspases and initiation of apoptosis. Viruses can also have anti-apoptotic activity. DENV, WNV and JEV trigger survival signalling through PI3K-AKT signalling pathway. PKR can be initiated by Sindbis virus which leads to inhibition of cellular translation through eIF2a phosphorylation, suppressing Mel-1 biosynthesis. Sindbis virus can regulate 14-3-3 through activation of JNK followed by induction of PKR (for other details see text). AHHSV, African horse sickness virus; CHIKV, Chikungunya virus; CCHF, Crimean–Congo haemorrhagic fever virus; DENV, Dengue virus; FastL, Fas (Apo-1) (CD95) ligand; JEV, Japanese encephalitis virus; JNK, c-Jun N-terminal kinases; TNF, tumour necrosis factor receptor; TRAIL, TNF-related apoptosis-inducing ligand; PKR, (dsRNA)-activated protein kinase; RVFV, Rift valley fever virus; WNV, West Nile virus.

apoapoptotic protein Bad at position Ser 136 (Ref. 232). WNV can initiate apoptosis through caspases-3 and -12 and p53 after several rounds of replication and it is noteworthy that initial viral dose exerts an influence on kinetics of WNV-induced cell death (Refs 228, 233, 234, 235). After some RNA virus infections, expression of multiple miRNAs in host cells might have either a positive or negative effect on virus replication. One such cellular miRNA, Hs_154, limits WNV replication by inducing apoptosis through inhibition of two anti-apoptotic proteins like CCCTC binding factor (CTCF) and EGFR-co-amplified and overexpressed protein (ECOP) (Refs 227, 236). JEV, an RNA virus, may induce ROS-mediated ASK1-ERK/p38 MAPK activation and thus lead to initiation of apoptosis (Ref. 237). In mouse neuroblastoma cells (line N18) infected with ultraviolet-inactivated JEV (UV-JEV), replication-competent JEV virions induced cell death through a ROS-dependent and NF-kB-mediated pathway (Ref. 238). Initial suppression of UV-JEV-induced cell death, followed by co-infection with active or inactive JEV, showed that JEV may trigger cell survival signalling to modify the cell environment for timely virus production (Ref. 238). NS1' protein, a neuroinvasiveness factor that is only produced by the JEV serogroup of Flaviviruses during their replication, was introduced as a caspase substrate in virus-induced apoptosis; however, use of a caspase inhibitor had no effect on virus replication (Ref. 239). Empirical evidence showed that JEV can affect Bcl-2 expression to increase anti-apoptotic response rather than anti-viral effect to enhance virus persistence and reach equilibrium between replication and cell death (Ref. 240).
Numerous in vitro studies have confirmed that DENV can induce apoptosis in a wide variety of mammalian cells including endothelial cells, hepatocytes, mast cells, monocytes, dendritic cells and neuroblastoma cells, but the mechanisms are not completely understood. Dendritic cells are believed to be the primary DENV targets that play central roles in supporting active replication during virus pathogenesis. However, a recent study reported that DENV replication in monocyte-derived dendritic cells (mdDCs) was positively correlated with pro-inflammatory cytokine secretion such as TNFα and apoptosis (Ref. 241). To achieve high replication in macrophages, hepatoma and dendritic cells, DENV may subvert apoptosis by inhibiting NF-kB in response to TNFα stimulation (Refs 242, 243). Interaction between DENV capsid protein and the hepatoma cell line (HuH7) calcium modulating cyclophilin-binding ligand (CAML) also positively affected viral replication by inhibiting apoptosis (Ref. 243). Activation of p53-dependent apoptosis by DENV may also contribute to inhibition of inflammation and reduce immune responses to efficiently disseminate viral progeny (Ref. 244). Microarray analysis following DENV infection in p53-positive and -deficient cell lines revealed that activation of the pro-apoptotic gene caspase-1 played a basic role in p53-mediated apoptotic pathway and was necessary for up-regulation of numerous immune response genes (Ref. 244). As mentioned, apoptosis serves as a critical and final step in viral infectious cycles that may favour virus propagation. The pro-apoptotic NSs and anti-apoptotic NSm proteins of the Phlebovirus genus of the family Bunyaviridae (e.g. RVFV) delayed apoptosis to efficiently replicate by regulating p53 (Refs 235, 245). The RVFV protein inhibits either caspase-8 activity or the death receptor-mediated apoptotic pathway to regulate pro-apoptotic p53 signalling (Ref. 246). NSs can facilitate viral translation through inhibition of PKR/eIF2α pathway and IFN production at early stages of infection (Ref. 247). Members of the Orthobunyavirus genus, family Bunyaviridae, delay apoptosis through anti-apoptotic effects of NSs nonstructural protein on IRE-3 activity (Ref. 248).

Apoptosis has also been extensively linked to reovirus replication. BTV induces apoptosis in three mammalian cell lines but not in insect cell lines that were tested. BTV-mediated apoptosis involved activation of NF-κB and required virus uncoating and exposure to both outer capsid proteins VP2 and VP5 (Ref. 249). Apoptosis was mediated by both intrinsic and caspase-dependent extrinsic pathways (Ref. 250). African horse sickness virus (AHSV), another orbivirus, also induced apoptosis in mammalian BHK-21 cells but not in insect KC cells, through activation of caspase-3 (Ref. 251).

When apoptotic programmed cell death acts as a barrier against viral replication, previous research has revealed that some arboviruses can delay or block apoptosis to elevate their replication and dissemination. Moreover, viral replication of some arboviruses occurs following the presence of viral-induced apoptosis. However, the exact mechanisms whereby viruses modulate apoptosis in different mammalian cells need to be more extensively studied.

**Arboviruses and UPR**

The scientific literature related to the role of UPR in arbovirus pathogenesis is limited. Here, we review some of the arboviruses and the UPR pathways they elicit to aid replication. WNV is a neurotropic arbovirus that emerged as a pathogen of serious concern in the North American population. People infected with WNV are affected by severe neurological diseases such as meningitis, encephalitis and poliomyelitis (Ref. 233). WNV activates multiple UPR pathways leading to transcriptional and translational activation of several UPR target genes (Ref. 233). Of the three UPR pathways, the XBP1 pathway was shown to be non-essential for WNV replication and it was replaced by other pathways. ATF6 was degraded by the proteasome and PERK transiently phosphorylated eIF2α and induced the pro-apoptotic protein CHOP (Ref. 233). WNV-infected cells showed signs of apoptotic cell death including induction of growth arrest, activation of caspase-3 and activation of poly (ADP-ribose) polymerase (PARP). WNV titer levels were also significantly increased when grown in a CHOP−/− deficient mouse embryo fibroblast (MEF) cell line but not in wild type MEF cells (Ref. 233). This evidence showed that WNV activates the UPR, and a host mechanism to counteract WNV infection involved activation of CHOP-dependent cell death (Ref. 233). In another study, the WNV Kunjin strain activated UPR signalling upon infection in mammalian cells (Ref. 252). UPR ATF6/IRE1 pathways were activated by this strain. However, there was no significant phosphorylation of eIF2α indicating that the UPR PERK pathway was not activated (Ref. 252). The Kunjin strain nonstructural proteins, NS4A and NA4B, were potent inducers of UPR. Moreover, sequential removal of NS4A hydrophobic domains decreased UPR activation but increased interferon gamma-mediated signalling (Ref. 252). These results show that WNV Kunjin strain activates UPR signalling and hydrophobic residues of WNV nonstructural proteins regulate the UPR signalling cascade. The role of ATF6 signalling in WNV replication is poorly understood. Results from the same group showed that ATF6 signalling is required for WNV replication by promoting cell survival and inhibition of the innate immune response (Ref. 253). ATF6-deficient cells showed a decrease in protein and virion production when infected with WNV Kunjin strain. These cells also demonstrated increased eIF2α phosphorylation and CHOP transcription, but these events were absent in infected control cells (Ref. 253). In contrast, IRE 1-deficient cells do not show any discernible differences when compared with IRE 1-positive cells upon infection.
These results also demonstrate that, in the absence of ATF6, other UPR signalling cascades such as PERK and IRE1 pathways cannot activate or enhance virus production, indicating that ATF6 is required for viral replication. However, it has also been shown that both ATF6 and IRE1 are required for signal transducer and activator of transcription (STAT) I phosphorylation, showing that ATF6 is required for inhibition of innate immune response (Ref. 253). The arboviruses CHIKV and Sindbis also cause frequent epidemics of febrile illness and long-term arthralgic sequelae that affect the lives of millions of people each year (Ref. 254). These viruses replicate in infected patients and also in mammalian cells indicating that they have certain control over the UPR of the host system. Analysis of these viral infections in mammalian cells shows that CHIKV specifically activates the ATF-6 and IRE-1 branches of the UPR pathway and suppresses the PERK pathway (Ref. 254). CHIKV nonstructural protein 4 (nsp4) expression in mammalian cells suppresses eIF2α phosphorylation that regulates the PERK pathway (Ref. 254). These results provide insight on the replication of CHIKV in mammalian cells by regulating the host UPR mechanism. However, experimental findings with Sindbis virus show that it induced uncontrolled UPR, which is reflected by failure to induce synthesis of ER chaperones, followed by increased phosphorylation of eIF2α and activation of CHOP leading to premature cell death (Ref. 254). In another study, it was reported that the UPR XBP1 pathway was activated when neuroblastoma N18 cells were infected with the arboviruses JEV and DENV (Ref. 255). This was evidenced by splicing of XBP1 mRNA and activation of downstream genes ERDJ4, EDEM1 and p58. Reduction of XBP1 by small interfering RNA had no effect on cellular susceptibility to the two viruses but enhanced cellular apoptosis (Ref. 255). Overall, these results suggest that both encephalitis and DENV trigger the XBP1 signalling pathway and take advantage of this cellular response to alleviate virus induced cytotoxicity (Ref. 255). According to another group, DENV infection of A547 ovarian cancer cells elicited the UPR signalling cell (Ref. 256). This was demonstrated by phosphorylation of eIF2α. It was also shown that different serotypes of DENV, such as AT6 and IRE1, activate other UPR pathways. These results show that different DENV serotypes have the capacity to modulate different UPR pathways. They also demonstrated that de-phosphorylation of eIF2α by a drug called solubrinal reduced virus infection. This unique report showed that the same virus could activate all three UPR pathways. These results suggest that specific virus-induced UPR pathway usage depends on the type of viral strain used. In vitro studies using ectopically-expressed arbovirus nonstructural proteins alone in mammalian cells showed that the proteins themselves can elicit the UPR response. Mutations of certain hydrophobic residues in nonstructural proteins reduced the UPR signalling response. These results indicate that composition of viral nonstructural proteins can determine the type of UPR pathway to be elicited and the extent of UPR response. Viral nonstructural proteins often undergo mutation; thus, more studies are needed to understand the role of arbovirus nonstructural proteins in inducing UPR. The role these viruses may play in UPR in the invertebrate insect cells is even less defined. Thus, induction of UPR signalling by viruses is one important facet, and equally important is how these viruses respond to anti-viral therapy. Do these viruses use the UPR pathways to decrease the effectiveness of anti-viral therapies? This is also one of the main questions to be answered. Thus, in conclusion, a significant amount of research is needed to investigate the pathogenesis of arboviruses and their relationship with UPR signalling. These studies can provide us with better antiviral therapeutics to control arbovirus replication by addressing various mechanisms of virus propagation.

## Conclusion

Arbovirus infections lead to serious health issues in many parts of the world. To date, there is no treatment for most arbovirus infections and vaccines have been recently developed for only a few of these arboviruses. Therefore, finding a way to increase the efficiency of current therapeutic approaches to arbovirus infections will improve health conditions in many areas of the world. As has been discussed in this review, arbovirus infection can stimulate apoptosis, autophagy, or UPR in infected cells or organs. Activation of these pathways usually interferes with arbovirus replication and infection processes. Therefore, modulating these pathways may be a part of future strategies to combat arbovirus infections.

Apoptosis, autophagy and UPR have been widely investigated in many diseases including cancer, cardiovascular diseases and pulmonary diseases. Many inhibitors and inducers of these pathways have been developed to improve treatment protocols in these diseases. Since apoptosis, autophagy and UPR are tightly interconnected with each other and usually affect each other, it is critical to find out which pathway is the dominant one in the arbovirus infection process and how it regulates viral infection and replication in the infected cells. It is very important to identify the extent of apoptosis, autophagy, and UPR alterations in virus infected cells. After identifying these changes it would be very important to address how induction/inhibition of these pathways would modulate virus replication, and production of active viral particle in infected cells. As an
example, we can modulate UPR using inducers (thapsigargin) or inhibitors (PERK GSK inhibitor, IRE1 inhibitor) and find out how these treatments effect arbovirus replication. These findings would provide better opportunities to use the modulation of these pathways for better designing therapeutic strategies and controlling viral infection. If this question can be clearly answered, induction or inhibition of these pathways may represent a novel enhanced treatment or prevention strategy against arbovirus infections.

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*Corresponding author:
Saeid Ghavami,
Department of Human Anatomy and Cell Science,
College of Medicine,
Faculty of Health Sciences,
University of Manitoba,
Winnipeg, Canada.
Tel: +1 204 272 3061;
E-mail: Saeid.Ghavami@umanitoba.ca, Saeid.Ghavami@gmail.com