Optimization of Grinding Parameters for the Workpiece Surface and Material Removal Rate in the Belt Grinding Process for Polishing and Deburring of 45 Steel

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Featured Application: Robotic polishing application; material surface polishing and deburring.

Abstract: Surface roughness and the material removal rate (MRR) are two important indicators during the grinding process. The former determines the surface quality while the latter reflects the grinding efficiency directly. In this paper, the two indicators are taken into consideration simultaneously and differently by converting them into a comprehensive goal with using weighting objective method. A prediction model was established for each comprehensive goal with each different combination of surface roughness and MRR weighting coefficient. The optimal value of abrasive size, contact force, belt linear speed, and feed speed were obtained under different grinding situations by using a central composite design (CCD) combined with response surface analysis. The experimental results showed that the comprehensive goal can be used effectively as an indicator to control the grinding performance and improve the optimization process.

Keywords: Abrasive belt grinding; Surface roughness; MRR; 45 steel

1. Introduction

We commonly use 45 steel in the manufacture of various critical structural parts for its good mechanical properties and cheap price. For instance, 45 steel is a common material in the area of shaft-related parts manufacturing. The shaft parts must have high surface quality when coordinating with the bearings. In terms of bearing, the surface roughness of bearing raceway also has a significant influence on bearing noise [1]. Abrasive belt grinding is an effective way for polishing and deburring of 45 steel to achieve a smooth surface. However, in the actual mass production process, we want to improve material removal rate (MRR) to enhance production efficiency with good surface quality assurance at the same time, thereby saving costs and winning customers.

Grinding is the final processing procedure and an important step in determining product quality, and therefore merits special attention and study. Investigators have conducted a lot of studies on the optimization of process parameters in the field of grinding. Some research focused on increasing MRR on the basis of the prediction model of MRR. For example, Song et al. [2,3] built an effective material removal prediction model considering the influence of the curvature radius on the bonnet polishing. Liu et al. [4] established a machining mathematical model of MRR and optimized the processing parameters (cutting area, feed rate, and cutting speed) to achieve higher MRR in rotary ultrasonic
grinding machining. Wu et al. [5] introduced a simulation platform that has the ability to predict MRR and achieve an optimal selection of the grinding process key parameters. Ho et al. [6] studied the process parameters and optimized the polishing parameters to enhance the MRR.

Some research strongly focused on reducing surface roughness determining optimal process parameters by establishing a surface roughness prediction model in order to get better product quality. Zhao et al. [7] and Yong et al. [8] investigated the grinding and grinding process of IBR of aero-engine and nHAP, respectively, while both established the surface roughness prediction model and optimized grinding parameters by using response surface methodology (RSM). Periyasamy et al. [9] found the optimum parameters for minimum surface roughness by using RSM with design expert software in the grinding process of AISI 1080 steel plates. In references [10–13], the Taguchi design method was widely used to optimize milling parameters for surface roughness. In fact, focusing on only a single goal will not meet the grinding requirements of an actual production process, since at least two objectives must be considered simultaneously.

In recent years, more research has focused on the multi-objective optimization of. Gopal et al. [14] developed a genetic algorithm (GA) code to optimize the grinding conditions for maximum material removal with using a multi-objective function model, by imposing surface roughness and surface damage constraints. Ting et al. [15] carried out the optimization of operating parameters to obtain optimum parameters via Particle Swarm Optimization (PSO) based on the objective of maximizing MRR with reference to surface finish and damage for silicon carbide grinding. Kumar et al. [16] studied the optimization of input parameters for maximizing the MRR and achieving optimum surface roughness with the help of Minitab software. Pai et al. [17] investigated three grinding variables for simultaneous optimization of MRR and surface roughness with using RSM and enhanced elitist non-dominated sorting genetic algorithm (enhanced NSGA-II), respectively, and proved that the enhanced NSGA-II is effective. Kumar et al. [18] developed empirical models for surface roughness and MRR by considering grinding parameters (wheel speed, table speed, and depth of cut) as control factors using RSM and obtained the optimum machining parameters which can lead to minimum surface roughness and maximum MRR. Sedighi et al. [19] presented an approach using an integrated Genetic Algorithm-Neural Network (GA-NN) system to optimize the creep feed grinding (CFG) process, aimed to obtain the maximal MRR and the minimum of the surface roughness. Lee et al. [20] used compressed air to minimize specific grinding forces and surface roughness while maximizing specific material removal rate (MRR), conducted a multi-objective optimization and got the optimal values of depth of cut, feed rate and air temperature towards minimum surface roughness and maximum specific MRR. However, most of the research described above focused on optimizing MRR and surface roughness regarding one as a constraint or considering both as independent goals. Only a few studies have attempted to convert the two objectives of surface roughness and MRR to an integrated one to do the optimization.

The purpose of this article is to find out the optimal grinding parameters for the 45 steel. The critical parameters that affect the surface roughness and polishing efficiency such as abrasive size, contact force, belt linear speed, and feed speed, were examined. RSM with CCD was used to select polishing conditions (abrasive size, contact force, belt linear speed, and feed speed) in order to obtain the minimal surface roughness and maximal grinding efficiency. This research of 45 steel grinding would be useful in the grinding and grinding industries. Meanwhile, the multivariable optimization method is widely used in scientific research. The research method in this paper has significant reference value for other researchers.

This paper is organized as follows: Section 2 introduces the design of the mechanical system of a grinding machine; Section 3 presents a single factor experiment and the application of CCD; Section 4 describes an optimization example and obtains the optimum grinding parameters; Section 5 shows a simple laboratory experiment to validate the effect of this method and Section 6 proposes some concluding remarks and prospects.
2. Mechanical System Design

According to the technical requirements of robot belt grinding, the robot belt grinding system is designed (see Figure 1). Robot is the center of this grinding system, it can catch the workpiece from the feeding station, and then complete the scheduled grinding track relying on its own programming. After the completion of grinding, the workpiece will be returned back to the feeding station. The series of actions of the robot can finally help to achieve automatic grinding.

![Figure 1. Robot belt grinding system.](image1)

In order to maintain constant belt tension force during the grinding process, the pneumatic tensioning mechanism is designed to fulfill the pressure requirement. A belt transmission and tensioning system are established, as we can see in Figure 2. The belt tension force can be changed by controlling the regulating valve of a floating pneumatic cylinder.

![Figure 2. Belt transmission and tensioning system.](image2)

Figure 3 shows the schematic depiction of the abrasive belt grinding, in the case of abrasive grinding, important parameters that affect abrasion are abrasive size, contact force, belt linear speed, and feed speed. Different experimental conditions may lead to different results, so it is absolutely essential to note the working conditions and workpiece characteristics before belt grinding. The chemical composition of 45 steel, main characteristics of the workpiece, and grinding condition are shown in Tables 1–3, respectively.
Figure 3. (a) schematic depiction of the abrasive belt grinding; (b) local schematic of workpiece and belt polishing.

Table 1. Chemical composition of 45 steel.

| Element Type | C       | Si | Mn | Cr             |
|--------------|---------|----|----|----------------|
| Percentage (%) | 0.42–0.50 | 0.17–0.37 | 0.5–0.80 | ≤0.25 |
| Element type | Ni      | Cu | Fe | remaining      |
| Percentage (%) | ≤0.30   | ≤0.25 |     |                |

Table 2. Workpiece characteristics before belt grinding.

| Workpiece Characteristics |
|---------------------------|
| Workpiece material         | 45 Steel                    |
| Superficial hardness of workpiece | <HRC28                |
| Initial roughness          | 5–10 μm                     |
| Size of the grinding surface | 10 × 10 mm                  |

Table 3. Grinding condition.

| Material of Driving and Driven Wheels | Polyurethane |
|--------------------------------------|-------------|
| Single grinding time                | 20 s        |
| Perimeter and width of belt         | (4000 × 90) mm |
| Grinding type                       | Dry grinding |
| Robot type                          | Yaskawa HP-20D |
3. Experiment Procedure

3.1. Experiment Preparation

After the determination of experimental program, all test equipment and samples are prepared shown in Figure 4. The actual grinding experiment can be seen in Figure 4a. TR210 roughness tester, as shown in Figure 4c, is used in the measurement, which can measure the surface roughness of workpiece of 45 steel shown in Figure 4b. Precision electronic balance is applied to measure the weight of workpiece to calculate MRR. Belt linear speed can be adjusted continuously by using an operating inverter. Contact force is determined by using a pressure tester. Since the workpiece is mounted on the robot arm end, the feed speed of workpiece is set by the robot control system using its programming teaching box.

![Figure 4. (a) Grinding experiment; (b) Workpiece of 45 steel; (c) TR210 roughness tester.](image)

3.2. Single-Factor Experiment

In the single-factor experiment, key grinding parameters such as abrasive size (P), contact force (F), belt linear speed (V_S), and feed speed (V_W) were considered as control factors. It was found that the abrasive size was the most significant factor in both surface roughness (R_a) and MRR (Z_w). In Figure 5a, it shows that surface roughness decreases rapidly along with the increasing of abrasive size, MRR of workpiece drops sharply when abrasive size change from 120# to 180#, then goes down gently from 180# to 400#. In Figure 5b, it suggests that surface roughness can reach the minimum value when the contact force is 20 N, MRR has an approximate linear growth trend along with belt linear speed increases. In Figure 5c, it produces the lowest surface roughness value when the belt linear speed is 7 m/s, MRR has an approximate parabolic growth trend along with contact force increases. In Figure 5d, it reveals that surface roughness increases continuously with the increase of feed speed, while MRR remains almost unchanged under the same condition.

![Figure 5. Cont.](image)
Figure 5. Single-factor experiment. (a) Abrasive size ($R_a$: roughness; $Z_w$: MRR; $P$: abrasive size); (b) Contact force ($R_a$: roughness; $Z_w$: MRR; $F/N$: contact force); (c) Belt linear speed ($R_a$: roughness; $Z_w$: MRR; $V_s$: belt linear speed); (d) Feed speed ($R_a$: roughness; $Z_w$: MRR; $V_w$: feed speed).

3.3. Weighting Objective Method

The weighting objective method is selected to use in this situation by building a weighted sum of the two objectives ($R_a$ and $Z_w$) [21,22] to form the unified objective function $Y$ as:

$$Y(x) = \lambda_a R_a(x) - \lambda_w Z_w(x)$$  \hspace{1cm} (1)

where

$$\lambda_a + \lambda_w = 1$$  \hspace{1cm} (2)

and

$$x = [P \ F \ V_s \ V_w]^T$$  \hspace{1cm} (3)

where $\lambda_a$ and $\lambda_w$ are the weighting coefficients that represent the relative importance of each criterion and reflect closely importance of objectives.
As the surface roughness and MRR are two different goals, so it is necessary to evaluate them at the same level. Generally, normalization is the best way to solve this problem as:

$$\hat{Y}(x) = \lambda_\mu \hat{R}_\mu(x) - \lambda_w \hat{Z}_w(x)$$  \hspace{1cm} (4)

where $\hat{Y}$ is the new comprehensive goal that can be seen as normalized $Y$, $\hat{R}_\mu$ is the normalized surface roughness and $\hat{Z}_w$ is normalized MRR. Since the surface roughness is more important than MRR for products, it is reasonable to set the value of weighting coefficient $\lambda_\mu$ be larger than $\lambda_w$.

In the experiment, $R_\mu(x_1, x_2, x_3, \ldots, x_n)$ and $Z_w(x_1, x_2, x_3, \ldots, x_n)$ is the measured data, $\hat{R}_\mu(x_1, x_2, x_3, \ldots, x_n)$ and $\hat{Z}_w(x_1, x_2, x_3, \ldots, x_n)$ is normalized. The normalized method can be described as follows:

$$\hat{R}_\mu(x_i) = \frac{R_\mu(x_i) - \min R_\mu(x_1, x_2, x_3, \ldots, x_n)}{\max R_\mu(x_1, x_2, x_3, \ldots, x_n) - \min R_\mu(x_1, x_2, x_3, \ldots, x_n)} \hspace{1cm} (i = 1, 2 \ldots n)$$ \hspace{1cm} (5)

$$\hat{Z}_w(x_i) = \frac{Z_w(x_i) - \min Z_w(x_1, x_2, x_3, \ldots, x_n)}{\max Z_w(x_1, x_2, x_3, \ldots, x_n) - \min Z_w(x_1, x_2, x_3, \ldots, x_n)} \hspace{1cm} (i = 1, 2 \ldots n)$$ \hspace{1cm} (6)

3.4. Central Composite Design (CCD)

A response surface methodology was applied to optimize the grinding conditions of 45 steel. A three-level central composite design (CCD) with three factors was selected to examine the effects of abrasive size ($X_1$), grinding contact force ($X_2$), belt linear speed ($X_3$), and feed speed ($X_4$) were chosen as independent variables. When the value of weighting factor $\lambda_\mu$ and $\lambda_w$ were selected as 0.6 and 0.4, respectively, the coded and real values of abrasive size ($X_1$) were 0 for 240#, 1 for 320#, and 2 for 400#, those for contact force ($X_2$) were 0 for 15 N, 1 for 20 N, and 2 for 25 N, those for belt linear speed ($X_3$) were 0 for 6 m/s, 1 for 7 m/s, and 2 for 8 m/s, and those for feed speed ($X_4$) were 0 for 2 mm/s, 1 for 3 mm/s, and 2 for 4 mm/s. We obtained similar interpretations referring to the above when the weighting factor $\lambda_\mu$ and $\lambda_w$ were selected as other values. Regression analysis was done on the data obtained by triplicate analysis for each dependent variable using design-expert software (Table 5). Response surface analysis was also applied to the data from CCD for modeling and prediction of optimum grinding contact force, belt linear speed, and feed speed.

| Parameter            | Unit | Code | Level |
|----------------------|------|------|-------|
| Abrasive size ($P$)  | #    | $X_1$| 0     |
| Contact force ($F$)  | N    | $X_2$| 1     |
| Belt linear speed ($V_s$) | m/s | $X_3$| 2     |
| Feed speed ($V_w$)   | mm/s | $X_4$| 3     |

Table 4. Level of factors distribution of grinding ($\lambda_\mu = 0.6; \lambda_\mu = 0.7; \lambda_\mu = 0.8; \lambda_\mu = 0.9$).

| No. | Parameter | Results | $\lambda_\mu = 0.6$ | $\lambda_\mu = 0.7$ | $\lambda_\mu = 0.8$ | $\lambda_\mu = 0.9$ |
|-----|-----------|---------|---------------------|---------------------|---------------------|---------------------|
| 1   | $P$       | 240     | 0.709               | 0.769               | 0.02                | 0.333               | 0.328               | 0.438               | 0.548               | 0.658               |
| 2   | $F$       | 400     | 0.148               | 0.217               | 0.018              | 0.250               | 0.030               | 0.077               | 0.123               | 0.170               |
| 3   | $V_s$     | 240     | 0.623               | 0.561               | 0.024              | 0.500               | 0.137               | 0.243               | 0.349               | 0.455               |
| 4   | $V_w$     | 240     | 0.433               | 0.104               | 0.019              | 0.292               | 0.095               | 0.417               | 0.532               | 0.431               |
| 5   | $Y_1$     | 240     | 0.657               | 0.643               | 0.022              | 0.417               | 0.219               | 0.532               | 0.431               | 0.537               |

Table 5. Central experiment design table and experiment results.
Table 5. Cont.

| No. | P   | F    | V_s | V_w | R_a | R_w | Z_a | Z_w | Y_1 | Y_2 | Y_3 | Y_4 |
|-----|-----|------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| 6   | 240 | 15   | 8   | 2   | 0.479 | 0.214 | 0.020 | 0.333 | -0.005 | 0.050 | 0.105 | 0.160 |
| 7   | 240 | 25   | 8   | 2   | 0.600 | 0.506 | 0.036 | 1.000 | -0.096 | 0.054 | 0.205 | 0.355 |
| 8   | 400 | 25   | 8   | 2   | 0.464 | 0.178 | 0.025 | 0.542 | -0.110 | -0.038 | 0.034 | 0.106 |
| 9   | 240 | 15   | 6   | 4   | 0.805 | 1.000 | 0.019 | 0.292 | 0.483 | 0.613 | 0.742 | 0.871 |
| 10  | 400 | 25   | 8   | 4   | 0.494 | 0.251 | 0.012 | 0.000 | 0.150 | 0.175 | 0.200 | 0.226 |
| 11  | 400 | 25   | 6   | 4   | 0.669 | 0.672 | 0.023 | 0.458 | 0.220 | 0.333 | 0.446 | 0.559 |
| 12  | 400 | 25   | 6   | 4   | 0.476 | 0.207 | 0.019 | 0.292 | 0.008 | 0.058 | 0.107 | 0.157 |
| 13  | 240 | 15   | 8   | 4   | 0.743 | 0.851 | 0.020 | 0.333 | 0.377 | 0.495 | 0.614 | 0.732 |
| 14  | 400 | 15   | 8   | 4   | 0.493 | 0.248 | 0.014 | 0.083 | 0.116 | 0.149 | 0.182 | 0.215 |
| 15  | 240 | 25   | 8   | 4   | 0.608 | 0.525 | 0.029 | 0.708 | 0.032 | 0.155 | 0.279 | 0.402 |
| 16  | 400 | 25   | 8   | 4   | 0.478 | 0.212 | 0.023 | 0.458 | -0.056 | 0.011 | 0.078 | 0.145 |
| 17  | 240 | 20   | 7   | 3   | 0.581 | 0.460 | 0.024 | 0.500 | 0.076 | 0.172 | 0.268 | 0.364 |
| 18  | 400 | 20   | 7   | 3   | 0.390 | 0.000 | 0.018 | 0.250 | -0.100 | -0.075 | -0.050 | -0.025 |
| 19  | 320 | 15   | 7   | 3   | 0.571 | 0.436 | 0.014 | 0.083 | 0.228 | 0.280 | 0.332 | 0.384 |
| 20  | 320 | 25   | 7   | 3   | 0.518 | 0.308 | 0.020 | 0.333 | 0.052 | 0.116 | 0.180 | 0.244 |
| 21  | 320 | 20   | 6   | 3   | 0.559 | 0.407 | 0.013 | 0.042 | 0.228 | 0.273 | 0.317 | 0.362 |
| 22  | 320 | 20   | 8   | 3   | 0.532 | 0.342 | 0.021 | 0.375 | 0.055 | 0.127 | 0.199 | 0.270 |
| 23  | 320 | 20   | 7   | 2   | 0.417 | 0.065 | 0.014 | 0.083 | 0.006 | 0.021 | 0.035 | 0.050 |
| 24  | 320 | 20   | 7   | 4   | 0.485 | 0.229 | 0.016 | 0.167 | 0.071 | 0.110 | 0.150 | 0.189 |
| 25  | 320 | 20   | 7   | 3   | 0.456 | 0.159 | 0.019 | 0.292 | -0.021 | 0.024 | 0.069 | 0.114 |
| 26  | 320 | 20   | 7   | 3   | 0.457 | 0.161 | 0.019 | 0.292 | -0.020 | 0.026 | 0.071 | 0.116 |
| 27  | 320 | 20   | 7   | 3   | 0.460 | 0.169 | 0.020 | 0.333 | -0.032 | 0.018 | 0.068 | 0.118 |
| 28  | 320 | 20   | 7   | 3   | 0.462 | 0.173 | 0.018 | 0.250 | 0.004 | 0.046 | 0.089 | 0.131 |
| 29  | 320 | 20   | 7   | 3   | 0.460 | 0.169 | 0.020 | 0.333 | -0.032 | 0.018 | 0.068 | 0.118 |
| 30  | 320 | 20   | 7   | 3   | 0.469 | 0.190 | 0.019 | 0.292 | -0.002 | 0.046 | 0.094 | 0.142 |

(R_a unit: μm; Z_w unit: g/s).

3.5. Regression Analysis of Experimental Results

In the process of grinding, since the comprehensive goal of Ŷ prediction model is nonlinear, the fitting was done by using a second-order model for each response [23]. The mathematical relationship between the independent variables can be expressed by:

$$Y_R = \beta_0 + \sum_{i=1}^{n} \beta_iX_i + \sum_{i=1}^{n} \beta_{ii}X_i^2 + \sum_{i=1}^{n-1} \sum_{j=i+1}^{n} \beta_{ij}X_iX_j$$

where \(Y_R\) is the predicted response (comprehensive goal \(\hat{Y}\)) and \(X_i\) represents the independent variables (abrasive size, contact force, belt linear speed, feed speed) that are known for each experimental run. The parameter \(\beta_0\) is the model constant, \(\beta_i\) is the linear coefficient, \(\beta_{ii}\) is the quadratic coefficients, and \(\beta_{ij}\) is the cross coefficients.

The Model F-value of 26.58 implies that the model is significant. There is only a 0.01% chance that a “Model F-Value” this large could occur due to noise. Values of “Prob > F” less than 0.0500 indicate model terms are significant. In this case, \(X_1, X_2, X_3, X_4, X_1X_2, X_1X_3, X_1^2, X_2^2, X_3^2\) are significant model terms. The “Lack of Fit p-value” of 10.06 indicates that the LOF is significant. The value of \(R^2\) is 0.9612 shows that 96.12% of experimental data confirm the compatibility with the data predicted by the model. The “Pred R^2” of 0.8676 is in reasonable agreement with the “Adj R^2” of 0.9251. “Adeq Precision” measures the signal to noise ratio. A ratio greater than 4 is desirable. The ratio of 22.646 indicates an adequate signal. This model can be used to navigate the design space (Table 6).
Table 6. ANOVA for the response surface quadratic model of $\hat{Y}_1$.

| Source          | $\hat{Y}_1$ |                      | $\hat{Y}_2$ |                      |
|-----------------|--------------|----------------------|--------------|----------------------|
|                 | Sum of Squares | df | Mean Square | F Value | p-Value | Prob > F | Sum of Squares | df | Mean Square | F Value | p-Value | Prob > F |
| Model           | 0.60         | 14 | 0.043       | 26.58    | <0.0001 |          | 0.78         | 14 | 0.055       | 43.71    | <0.0001 |          |
| $X_1 - P$       | 0.18         | 1  | 0.18        | 111.68   | <0.0001 |          | 0.33         | 1  | 0.33        | 260.20   | <0.0001 |          |
| $X_2 - F$       | 0.18         | 1  | 0.18        | 111.18   | <0.0001 |          | 0.16         | 1  | 0.16        | 124.49   | <0.0001 |          |
| $X_3 - V_S$     | 0.055        | 1  | 0.055       | 34.45    | <0.0001 |          | 0.042        | 1  | 0.042       | 32.96    | <0.0001 |          |
| $X_4 - V_W$     | 0.050        | 1  | 0.050       | 30.95    | <0.0001 |          | 0.050        | 1  | 0.050       | 39.07    | <0.0001 |          |
| $X_1X_2$        | 0.023        | 1  | 0.023       | 14.52    | <0.0001 |          | 0.026        | 1  | 0.026       | 20.91    | <0.0004 |          |
| $X_1X_3$        | 0.012        | 1  | 0.012       | 7.77     | 0.0138  |          | 0.014        | 1  | 0.014       | 11.13    | 0.0045  |          |
| $X_1X_4$        | 0.001743     | 1  | 0.001743    | 1.09     | 0.3141  |          | 0.002943     | 1  | 0.002943    | 2.32     | 0.1438  |          |
| $X_2X_3$        | 0.004128     | 1  | 0.004128    | 2.57     | 0.1298  |          | 0.001463     | 1  | 0.001463    | 1.15     | 0.2995  |          |
| $X_2X_4$        | 0.003221     | 1  | 0.003221    | 2.00     | 0.1772  |          | 0.003278     | 1  | 0.003278    | 2.59     | 0.1286  |          |
| $X_3X_4$        | 0.0001051    | 1  | 0.0001051   | 0.065    | 0.8016  |          | 0.00001806   | 1  | 0.00001806  | 0.014    | 0.9065  |          |
| $X_1^2$         | 0.011        | 1  | 0.011       | 0.72     | 0.0204  |          | 0.005273     | 1  | 0.005273    | 4.16     | 0.0594  |          |
| $X_2^2$         | 0.020        | 1  | 0.020       | 12.34    | 0.0031  |          | 0.028        | 1  | 0.028       | 22.28    | 0.0003  |          |
| $X_3^2$         | 0.021        | 1  | 0.021       | 12.77    | 0.0028  |          | 0.029        | 1  | 0.029       | 23.14    | 0.0002  |          |
| $X_4^2$         | 0.0005104    | 1  | 0.0005104   | 0.32     | 0.5813  |          | 0.002048     | 1  | 0.002048    | 1.62     | 0.2230  |          |
| Residual        | 0.024        | 15 | 0.001606    |          | 0.019   | 15       | 0.001267     | 15 | 0.001267    |          |         |          |
| Lack of Fit     | 0.023        | 10 | 0.002298    | 10.06    | 0.0100  | 10       | 0.001815     | 10 | 0.001815    | 10.66    | 0.0088  |          |
| Pure Error      | 0.001141     | 5  | 0.0002282   | 0.060    | 0.018   | 10       | 0.0008513    | 5  | 0.0008513   |          |         |          |
| Cor Total       | 0.62         | 29 | 0.079       |          |         |          | 0.79         | 29 | 0.79        |          |         |          |

SD = 0.040, Mean = 0.076, C.V.% = 52.46, PRESS = 0.082,  
$R^2 = 0.9612$, Adj $R^2 = 0.9251$, Pred $R^2 = 0.9676$,  
Adeq Precision = 22.646

SD = 0.036, Mean = 0.14, C.V.% = 24.69, PRESS = 0.063,  
$R^2 = 0.9761$, Adj $R^2 = 0.9537$, Pred $R^2 = 0.9204$,  
Adeq Precision = 29.293
### Table 6. Cont.

| Source | Ŷ₃ Sum of Squares | df | Mean Square | F Value | p-Value Prob > F | Ŷ₄ Sum of Squares | df | Mean Square | F Value | p-Value Prob > F |
|--------|------------------|----|-------------|---------|----------------|------------------|----|-------------|---------|----------------|
| Model  | 1.03             | 14 | 0.073       | 65.63   | <0.0001        | 1.35             | 14 | 0.096       | 82.31   | <0.0001        |
| X₁ − P | 0.53             | 1  | 0.53        | 471.77  | <0.0001        | 0.77             | 1  | 0.77        | 656.31  | <0.0001        |
| X₂ − F | 0.14             | 1  | 0.14        | 123.37  | <0.0001        | 0.12             | 1  | 0.12        | 102.20  | <0.0001        |
| X₃ − Vₛ | 0.030           | 1  | 0.030       | 26.54   | 0.0001         | 0.020            | 1  | 0.020       | 17.12   | 0.0009         |
| X₄ − Vₜ | 0.049           | 1  | 0.049       | 44.28   | <0.0001        | 0.049            | 1  | 0.049       | 42.11   | <0.0001        |
| X₁X₂  | 0.030           | 1  | 0.030       | 26.67   | 0.0001         | 0.033            | 1  | 0.033       | 28.35   | <0.0001        |
| X₁X₃  | 0.016           | 1  | 0.016       | 14.00   | 0.0020         | 0.017            | 1  | 0.017       | 14.80   | 0.0016         |
| X₁X₄  | 0.004489        | 1  | 0.004489    | 4.02    | 0.0633         | 0.006241         | 1  | 0.006241    | 5.34    | 0.0354         |
| X₂X₃  | 0.0001563       | 1  | 0.0001563   | 0.14    | 0.7135         | 0.0001823        | 1  | 0.0001823   | 0.16    | 0.6984         |
| X₂X₄  | 0.003422        | 1  | 0.003422    | 3.07    | 0.1003         | 0.0003481        | 1  | 0.0003481   | 2.98    | 0.1048         |
| X₃X₄  | 0.0003240       | 1  | 0.0003240   | 0.29    | 0.5979         | 0.001056         | 1  | 0.001056    | 0.90    | 0.3567         |
| X₁²   | 0.001689        | 1  | 0.001689    | 1.51    | 0.2374         | 0.00009164       | 1  | 0.00009164  | 0.078   | 0.7832         |
| X₂²   | 0.038           | 1  | 0.038       | 34.26   | <0.0001        | 0.050            | 1  | 0.050       | 42.57   | <0.0001        |
| X₃²   | 0.039           | 1  | 0.039       | 35.40   | <0.0001        | 0.051            | 1  | 0.051       | 43.81   | <0.0001        |
| X₄²   | 0.004578        | 1  | 0.004578    | 4.10    | 0.0610         | 0.008110         | 1  | 0.008110    | 6.94    | 0.0188         |
| Residual | 0.017        | 15 | 0.001116    |         |                | 0.018            | 15 | 0.001168    |         |                |
| Lack of Fit | 0.016     | 10 | 0.001604    | 11.57   | 0.0073         | 0.017            | 10 | 0.001692    | 13.99   | 0.0047         |
| Pure Error | 0.0006935 | 5  | 0.0001387   |         |                | 0.0006048        | 5  | 0.0001210   |         |                |
| Cor Total | 1.04       | 29 | 0.034       |         |                | 1.36             | 29 |             |         |                |

SD = 0.033, Mean = 0.21, C.V.% = 15.76, PRESS = 0.063, R² = 0.9839, Adj R² = 0.9689, Pred R² = 0.9397, Adeq Precision = 35.244

SD = 0.034, Mean = 0.28, C.V.% = 12.22, PRESS = 0.082, R² = 0.9872, Adj R² = 0.9752, Pred R² = 0.9395, Adeq Precision = 38.371

df—degrees of freedom, CV—coefficient of variation, F—Fisher’s ratio, p—probability.
The normal plot of residuals (as shown in Figure 6) shows that the errors are distributed normally. Figure 7 also shows each experimental value matches well with its predicted value.

After conducting the experiment, the obtained data were modeled and a quadratic equation for comprehensive goal $Y_1$ was achieved as follows:

$$
Y_1 = 6.34756 + 0.00124309X_1 - 0.15942X_2 - 1.35614X_3 + 0.21733X_4 + 0.0000954688X_1X_2 + 0.000349219X_1X_3 - 0.000130469X_1X_4 - 0.00321250X_2X_3 - 0.00283750X_2X_4 + 0.00256250X_3X_4 - 0.0000100836X_1^2 + 0.00349860X_2^2 + 0.088965X_3^2 - 0.014035X_4^2
$$
Similarly, the regression model for comprehensive goal of $\hat{Y}_2$, $\hat{Y}_3$ and $\hat{Y}_4$ were established as follows:

$$\hat{Y}_2 = 7.92318 - 0.00130370X_1 - 0.19631X_2 - 1.61488X_3 + 0.34007X_4 + 0.00010171X_1X_2 + 0.00037109X_1X_3 - 0.000169531X_1X_4 - 0.00191250X_2X_3 - 0.00286250X_2X_4 - 0.00106250X_3X_4 - 0.00000704907X_1^2 + 0.00417544X_2^2 + 0.10639X_3^2 - 0.028114X_4^2$$

$$\hat{Y}_3 = 9.47886 - 0.00384649X_1 - 0.23318X_2 - 1.86806X_3 + 0.46160X_4 + 0.000107813X_1X_2 + 0.000390625X_1X_3 - 0.000209375X_1X_4 - 0.000625X_2X_3 - 0.002925X_2X_4 - 0.0045X_3X_4 - 0.0000039896X_1^2 + 0.0048586X_2^2 + 0.12346X_3^2 - 0.042035X_4^2$$

$$\hat{Y}_4 = 11.04075 - 0.00639606X_1 - 0.27025X_2 - 2.1217X_3 + 0.58284X_4 + 0.000113750X_1X_2 + 0.000410937X_1X_3 - 0.0000246875X_1X_4 - 0.000625X_2X_3 - 0.002925X_2X_4 - 0.008125X_3X_4 - 0.00000929276X_1^2 + 0.00554211X_2^2 + 0.14055X_3^2 - 0.055947X_4^2$$

4. Grinding Parameters Optimization

As the optimization procedure is analogous for different surface roughness weighting coefficients, it is representative to select one to be optimized. In the following section, as an optimization example, the optimal value of each parameter is tried to minimize the comprehensive goal $\hat{Y}_1$ when the surface roughness weighting coefficient $\lambda_d = 0.6$ and MRR weighting coefficient $\lambda_{mp} = 0.4$.

The optimal value of each grinding parameter was determined by a $\hat{Y}_1$ prediction model using design-expert software and obtained from Figure 8. The grinding parameters for grinding are abrasive size of 398.16#, contact force of 21.35 N, belt linear speed of 7.13 m/s and feed speed of 2.00 mm/s. But the abrasive size of 398.16# doesn’t exist in practical use. Therefore, the abrasive size of 320# and 400# were the only a limited selection of abrasive sizes, they were considered to replace 398.16#. In addition, the grinding belt was replaced regularly. The respond surface of abrasive size for 320# and 400# were established, as shown in Figure 9, it shows the comprehensive goal $\hat{Y}_1$ minimum value is $-0.022$ when the abrasive size is 320#, and which is much larger than that of $-0.210$ when the abrasive size is 400#. So the optimum grinding parameters are abrasive size of 400#, contact force of 21.35 N, belt linear speed of 7.13 m/s, and feed speed of 2.00 mm/s.

![Figure 8. Cont.](image-url)
The result is shown in Table 7. No.18 of the above CCD experiment achieved the minimum value of roughness went down by 2.05% and 4.62% respectively, and the mean value of MRR of both went up 11.11% to 0.020 g.

5. Experimental Verification and Analysis

From Table 7, compared with reference group, when $\lambda_d$ is 0.6 and 0.7, the mean value of surface roughness went down by 2.05% and 4.62% respectively, and the mean value of MRR of both went up 11.11% to 0.020 g/s; when $\lambda_d$ is 0.8 and 0.9, the mean value of surface roughness went down by
and the mean value of MRR of both went up 5.55% to 0.019 g/s. In addition, the error ranges in the values of surface roughness and MRR of both groups are estimated to be −0.05 to 0.05, and −0.001 to 0.001 respectively. These results reveal a trend that the optimized value of both surface roughness and MRR decrease along with the increase of surface roughness weighting coefficient.

**Table 7.** Comparison of optimization results by using weighting objectives method and response surface methodology (RSM).

| Result | λ_0 | [P, F, V_s, V_W] | R_a | Error of R_a | Z_w | Error of Z_w | Increase Rate (%) |
|--------|-----|-----------------|-----|--------------|-----|--------------|------------------|
| Reference group | 0.6 | [400#, 20.00 N, 7.00 m/s, 3.00 mm/s] | 0.390 | ±0.050 | 0.018 | ±0.001 | / |
| | 0.7 | [400#, 20.93 N, 7.09 m/s, 2.00 mm/s] | 0.372 | ±0.050 | 0.020 | ±0.001 | −2.05 11.11 |
| Optimized group | 0.8 | [400#, 20.60 N, 7.02 m/s, 2.00 mm/s] | 0.341 | ±0.050 | 0.019 | ±0.001 | −10.51 5.55 |
| | 0.9 | [400#, 20.38 N, 6.97 m/s, 2.00 mm/s] | 0.332 | ±0.050 | 0.019 | ±0.001 | −14.87 5.55 |

(R_a unit: μm; Z_w unit: g/s).

Furthermore, 2D profiles of the workpiece surfaces from optimized and unoptimized group are extracted by using laser scanning confocal microscope (OLYMPUS, OSL4100), it shows that the surface quality of 45 steel from optimization group shown in Figure 10a,b, has significantly improved compared with that obtained from the unoptimized group, shown in Figure 10c–e. The experiment results show the prediction model is reliable, that is to say, this method is effective for optimizing grinding parameters.

![2D profiles of the workpiece surfaces from optimized and unoptimized group](image)

Figure 10. 2D profiles of the workpiece surfaces from optimized and unoptimized group. Optimized group: (a) R_a = 0.332 ± 0.050 μm, (b) R_a = 0.382 ± 0.050 μm; Unoptimized group: (c) R_a = 0.550 ± 0.050 μm, (d) R_a = 0.750 ± 0.050 μm, (e) R_a = 0.850 ± 0.050 μm.

### 6. Conclusions

This study focuses on the experiment of grinding parameters that uses two performance measures, including surface roughness and workpiece MRR. The influences of abrasive size, contact force, belt linear speed as well as feed speed were investigated for achieving 45 steel grinding with high surface quality and high MRR. The two objectives including minimization of the surface roughness and maximization of the MRR are converted to one comprehensive goal by using the weighting objective method. The CCD and RSM were used to facilitate the understanding of the deep influence of grinding
parameters. The optimum grinding conditions were obtained under different grinding goals. Based on the results and comparisons, it is observed that the approach proposed in this work has enhanced the surface grinding performance and optimized the grinding parameters in the surface grinding process. The knowledge derived from this study would be useful for expanding practical use of grinding parameters optimization technology.

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