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Roles of the immune system in cancer: from tumor initiation to metastatic progression

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The presence of inflammatory immune cells in human tumors raises a fundamental question in oncology: How do cancer cells avoid the destruction by immune attack? In principle, tumor development can be controlled by cytotoxic innate and adaptive immune cells; however, as the tumor develops from neoplastic tissue to clinically detectable tumors, cancer cells evolve different mechanisms that mimic peripheral immune tolerance in order to avoid tumoricidal attack. Here, we provide an update of recent accomplishments, unifying concepts, and future challenges to study tumor-associated immune cells, with an emphasis on metastatic carcinomas.

Cancer remains a major cause of death worldwide, and, with an aging population, its annual toll of 8.2 million is only expected to increase [Ferlay et al. 2015]. In this respect, carcinomas can be broadly divided into two groups: metastatic (the principal cause of cancer-related deaths) and nonmetastatic [Siegel et al. 2016]. Traditionally, metastasis has been considered to occur in later stages of cancer progression; however, accumulating evidence has also described metastatic dissemination during early tumor formation [Hosseini et al. 2016]. During metastasis, disseminating cancer cells escape from primary tumors and acquire cellular traits that allow them to travel and colonize distant organs [Chambers and Werb 2015; Lambert et al. 2017; Gonzalez et al. 2018].

Primary and metastatic tumors are complex ecosystems composed of neoplastic cells, extracellular matrix (ECM), and “accessory” nonneoplastic cells, which include resident mesenchymal support cells, endothelial cells, and infiltrated inflammatory immune cells. Cross-talk between cancer cells and accessory cells fuels and shapes tumor development. During tumor formation, the tissue architecture evolves into a highly specialized microenvironment characterized by a corrupted ECM and chronic inflammation [Coussens and Werb 2002].

Cancer-associated inflammation, which is present at different stages of tumorigenesis, contributes to genomic instability, epigenetic modification, induction of cancer cell proliferation, enhancement of cancer anti-apoptotic pathways, stimulation of angiogenesis, and, eventually, cancer dissemination [Hanahan and Weinberg 2011]. Studies during the last two decades have demonstrated that inflammatory immune cells are essential players of cancer-related inflammation. Efforts have focused on understanding how immune cells impact tumor fate in different stages of disease: early neoplastic transformation, clinically detected tumors, metastatic dissemination, and therapeutic intervention. In this review, we focus on recent results, unifying concepts, limits, and future challenges in studying cancer-associated inflammatory cells, with an emphasis on metastatic carcinomas.

Cancer-related inflammatory conditions

Since 1863, when Virchow first hypothesized that cancer develops as the product of unresolved inflammation [Balkwill and Mantovani 2001], tumor-associated inflammation has been key to shaping our modern understanding of cancer progression (Fig. 1). Today, it is accepted that chronic inflammation is a critical hallmark of cancer, with at least 25% of cancers associated with it [Hussain et al. 2000; Coussens and Werb 2002; Beaugerie et al. 2013], and possible underlying causes include microbial infections, autoimmunity, and immune deregulation. For example, human papilloma viruses (HPVs) induce inflammation and are responsible for 90%–100% of all cervical cancers [Bosch et al. 2002]. Similarly, chronic infection with Helicobacter pylori elevates the risk for gastric

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cancer (Hussain et al. 2000). In addition, the immune deregulation seen in inflammatory bowel disease increases colorectal cancer incidence (Lakatos and Lakatos 2008). The nonhuman form of sialic acid—N-glycolylneuraminic acid (Neu5Gc)—in red meat can be incorporated into human tissue and recruit inflammatory cells (Samraj et al. 2015). In this sense, diet may play a causal role in induction of cancer-associated inflammation (Gupta et al. 2010). Importantly, tobacco and obesity, both of which induce low-grade inflammation, give rise to elevated risks of cancer (Howe et al. 2013); this evidence suggests that the majority of cancers is associated with unresolved inflammation.

While chronic inflammation has an important role in cancer, less is known about the impact of acute inflammation on tumor progression. For example, inducing acute inflammation locally in the bladder with a vaccine containing an attenuated Mycobacterium bovis strain successfully treats squamous cancer of the bladder (Aske-lund et al. 2012). Hence, with the infiltration of leukocytes and subsequent inflammation, the impact from inflammatory mediators can both initiate and, in certain cases, eliminate tumor cells and prevent tumor development (Shalapour and Karin 2015). This dual role of inflammation also becomes evident in the clinic, where immunodeficient patients are more often diagnosed with cancer (Frisch et al. 2001). Interestingly, long-term use of nonsteroidal anti-inflammatory drugs (NSAIDs), which suppresses the immune system, has been linked to a lower risk of cancer (Thun et al. 2002).

Whether or not inflammation is a cause or a consequence, the tumor microenvironment (TME) is compromised, triggering an immune inflammatory response, and histopathological analyses provide evidence for the presence of innate and adaptive immune cells in most human tumors, which are characterized as features of cancer progression (Fridman et al. 2012).

Role of inflammatory cells during cancer progression

The presence of tumor-associated inflammatory cells in tumors raises an important question, which is one of the most important challenges in oncology: How do cancer cells avoid destruction by the immune system? Since inflammatory cells were first observed in human tumors, much effort has been invested in better understanding the complex role of inflammatory cells in carcinomas. It is currently accepted that an aberrant innate and adaptive immune response contributes to tumorigenesis by selecting aggressive clones, inducing immunosuppression, and stimulating cancer cell proliferation and metastasis (Fig. 2; Palucka and Coussens 2016). During the early stages of tumor development, cytotoxic immune cells such as natural killer (NK) and CD8+ T cells recognize and eliminate the more immunogenic cancer cells (Teng et al. 2015). This first phase of elimination selects the proliferation of cancer cell variants that are less immunogenic and therefore invisible to immune detection. As the neoplastic tissue evolves to a clinically detectable tumor, different subsets of inflammatory cells impact tumor fate. For example, high levels of tumor-infiltrated T cells correlate with good prognosis in many solid cancers (Clemente et al. 1996; Oldford et al. 2006; Dieu-Nosjean et al. 2008); on the other hand, high levels of macrophage infiltration correlate with a worse prognosis (Zhang et al. 2012,
Mantovani et al. 2017, Gonzalez et al. 2018). Here, we review the important aspects and different facets of cancer-associated immune cells, focusing on progression from tumor initiation to metastatic colonization.

### Macrophages

Macrophages are innate immune cells that differentiate from circulating classical monocytes after extravasation into tissues. Upon differentiation, macrophages are equipped to sense and respond to infections and tissue injuries, playing a key role in tissue homeostasis and repair (Lavin et al. 2015). As crucial drivers of chronic cancer-associated inflammation, their involvement has been described in every step of cancer progression, from early neoplastic transformation throughout metastatic progression to therapy resistance (Fig. 3; Noy and Pollard 2014; Kitamura et al. 2015; Gonzalez et al. 2018). In oncological patients and preclinical experimental models, high-grade tumor-associated macrophages (TAMs) correlate with poor prognosis and reduced overall survival (Zhang et al. 2012, Noy and Pollard 2014).

Activated macrophages are referred to as either proinflammatory ("M1 type," driven by LPS and IFNγ) or anti-inflammatory ("M2-type," driven by IL-4 or IL-13) (Mantovani et al. 2002). During carcinogenesis, anti-tumor macrophages display an M1-like polarization that plays a relevant role in the elimination of more immunogenic cancer cells. As the tumor progresses, the TME elicits an M2-like polarization of TAMs that is protumorigenic (Mantovani et al. 2017). TAMs promote tumor progression in different ways, such as stimulating angiogenesis and lymphangiogenesis, stimulating both cancer cell proliferation and epithelial–mesenchymal transition, limiting the efficacy of therapies, remodeling the ECM, promoting metastasis, and inducing immunosuppression of anti-tumor effector immune cells (DeNardo et al. 2011; Qian et al. 2015; Mantovani et al. 2017). Accordingly, TAMs secrete cytokines such as IL-10 (Ng et al. 2013) and TGF-β (McIntire et al. 2004) that induce immunosuppression, impairing the activity of effector T cells and inhibition of dendritic cell (DC) maturation (Rubtsov et al. 2008). TAMs also directly stimulate cancer cell proliferation through the secretion of epidermal growth factor (EGF) (O’Sullivan et al. 1993), promote tumor angiogenesis by vascular EGF (VEGF) secretion (Shojai et al. 2008), and remodel the ECM by secreting metalloproteinases (MMPs) (Kessenbrock et al. 2010). For example, macrophage-derived MMP-9 promotes tumorigenesis and angiogenesis (Huang et al. 2002).

Although TAMs mostly play protumorigenic roles, they can also sometimes exert anti-tumoral roles. For example, nonclassical NR4A1+ patrolling monocytes that, in steady state conditions, are located in the microvasculature of different organs inhibit lung metastasis in MMTV-PyMT mice by direct induction of NK cell recruitment to the metastatic site (Hanna et al. 2015). Additionally, TAMs mediate the efficacy of the anti-tumor and anti-metastatic effects of the histone deacetylase inhibitor TNP195, which reprograms TAMs to a highly phagocytic phenotype (Guerriero et al. 2017).

Similar to other tumor-associated immune cells, TAMs have been described mostly in primary tumors. However, understanding the roles of macrophages as promoters or inhibitors in the metastatic cascade and their role in metastasis is a growing field. In xenograft models of breast cancer, TAMs regulate invasion of stroma and intravasation of cancer cells via cell contact-mediated signaling (Roh-Johnson et al. 2014). Circulating CCR2+ monocytes are attracted to metastatic sites by CCL2 secreted by cancer cells and endothelial cells, resulting in promotion of metastatic seeding (Srivastava et al. 2014). In MMTV-PyMT mice, Tie2+ metastasis-associated macrophages [MAMs] enhance angiogenesis and tumor proliferation, while the inhibition of angiogenesis by blocking of angio-poetin-2 inhibits metastatic burden and induces regression of established metastases (Mazzieri et al. 2011). In a Kras-driven mouse model of pancreatic cancer, exosomes derived from malignant lesions induce activation of tissue-resident macrophages called Kupffer cells, establishing a premetastatic inflammatory milieu in the liver.

![Figure 3. Roles of innate immune cells in metastatic cancers. An overview of the protumor and anti-tumor roles of innate immune cells in cancer, indicating the specific functions and the outcomes. Processes such as angiogenesis, ECM remodeling, and immune evasion are mediated by TAMs, tumor-associated neutrophils (TANs), and immature dendritic cells (DCs), resulting in rapid tumor progression and metastasis. In contrast, the recruitment of cytotoxic macrophages and neutrophils, NK cells, and mature DCs results in elimination of tumor cells in primary sites and after dissemination. (DTC) Disseminated tumor cell. For other abbreviations and further details, see the text.](genesdev.cshlp.org)
This is followed by subsequent arrest of bone marrow-derived macrophages and neutrophils in the liver, resulting in a prometastatic effect, reversible by macrophage ablation (Costa-Silva et al. 2015).

TAMs are recruited to the tumors by a range of chemokines, including CCL2 [Nakatsumi et al. 2017], VEGF [Qian et al. 2011], CCL5 [Halama et al. 2016], and CSF1 [Abraham et al. 2010]. The role of VEGF as a proangiogenic and protumor factor is well established and accepted; for example, VEGF ablation in monocytes decreases metastatic burden in experimental breast cancer metastasis (Qian et al. 2011). The role of CCL2 in cancer is more controversial, as previous studies have shown contradictory findings [Rollins and Sunday 1991; Manome et al. 1995; Lu and Kang 2009; Takahashi et al. 2009; Granot et al. 2011; Qian et al. 2011; Bonapace et al. 2014; Espinosa et al. 2014; Headley et al. 2016; Long et al. 2016]. The inhibition of CCL2 in a syngeneic xenograft model of breast cancer results in increased metastasis and accelerated death [Bonapace et al. 2014], while tumor-derived CCL2 results in the induction of anti-metastatic neutrophils in an MMTV-PyMT model, resulting in inhibition of metastatic seeding in premetastatic lungs (Granot et al. 2011). Furthermore, the increase of CCL2 in a 4T1 breast cancer model negatively regulates metastatic spread to the bone marrow and lungs [Takahashi et al. 2009]. Using similar approaches, such as MMTV-PyMT and xenograft models of breast cancer, high CCL2 expression correlates with increased infiltration of CCR2 macrophages in primary tumors and metastatic sites, including the lungs and bone, and is associated with poor prognosis [Lu and Kang 2009; Qian et al. 2011]. These apparent contradictions cannot be explained merely by genetic differences between preclinical models used and highlight the complex role of CCR2 in the TME and likely heterogeneity in phenotypes within CCR2+ TAMs/MAMs. This dichotomy highlights the necessity of validating these findings in more reliable models that better represent human tumors of cancer, such as humanized patient-derived xenograft (PDX) models, and interrogating, at the single-cell level, the diversity of TAMs/MAMs in human tumors. Likewise, although the M1-like/M2-like paradigm has proved to be useful, transcriptomic analysis suggests that it is likely that a spectrum of differentiated TAMs/MAMs exists and that the current model is oversimplified (Xue et al. 2014).

Neutrophils

Neutrophils are recognized as key players during inflammation. They are among the first immune cells to be recruited to damaged tissue, where they can eliminate pathogens and modulate inflammation by mechanisms such as phagocytosis, secretion of antibacterial proteins, deposition of neutrophil extracellular traps [NETs], and exocytosis of protease-containing granules [Kolaczkowska and Kubes 2013]. In cancer patients, high levels of tumor-associated neutrophils [TANs], high levels of neutrophilia, and/or high neutrophil/lymphocyte ratios have been associated with an adverse prognosis in different malignances [Keizman et al. 2012; Donskov 2013]. Similar to the M1/M2 phenotype of macrophages, it has been proposed that TANs exist in two polarization states, called “N1” and “N2,” to describe protumor and anti-tumor populations, respectively [Fridlender et al. 2009]. This paradigm is still a matter of debate due to the lack of specific markers to identify these two populations. However, it is clear that TANs display functional heterogeneity. The recruitment of TANs to the TME is thought to be mediated mainly by CXCR2 ligands such as CXCL1, CXCL2 and CXCL5 [Jamieson et al. 2012; Katoh et al. 2013], secreted by cancer and stromal cells; TGF-β has also been associated with recruitment and reprogramming to protumor TANs [Fridlender et al. 2009].

In xenograft models of melanoma and lung cancer, TANs expressing hepatocyte growth factor receptor [c-MET] play important anti-tumor and anti-metastatic roles. Interestingly, c-MET expression is induced by tumor-derived tumor necrosis factor-α [TNFα] [Finisguerra et al. 2015], and it is likely that NK and effector T cells are a source of TNF-α within the TME. Similarly, in human colorectal cancer, high levels of CD66b+ TANs have been associated with better prognosis by enhancing the tumoricidal capacity of CD8+ T cells [Governa et al. 2017]. Neutrophils also exert a tumoricidal function during radiotherapy. As they are rapidly and transiently recruited to tumor sites in syngeneic xenograft breast cancer models, the concurrent administration of granulocyte colony-stimulating factor [G-CSF] enhances radiotherapy effectiveness [Takeshima et al. 2016].

In contrast, TANs are thought to contribute to nascent inflammation during cancer initiation and progression. In a Kras-driven lung adenocarcinoma mouse model, IL-17-responsive TANs promote tumor growth (Chang et al. 2014). Also, neutrophil elastase acts as a potent elastolytic enzyme that, when secreted in a site of inflammation, promotes tumor cell invasion, angiogenesis, and cancer cell proliferation [Houghton et al. 2010; Gong et al. 2013]. Moreover, TANs contribute to the tumor angiogenesis by the secretion of MMP9 and VEGF in genetic mouse models of pancreatic and colon cancer [Bergers et al. 2000; Shojaei et al. 2007, 2008]. In gastric cancer, TANs induce direct immunosuppression in T cells by PD-L1 expression induced by tumor-derived granulocyte macrophage-CSF [GM-CSF] [Wang et al. 2017]. A population of cells phenotypically and morphologically similar to neutrophils, called polymorphonuclear myeloid-derived suppressor cells [PMN-MDSCs], has been identified in cancer patients and preclinical models [for review, see Gabrilovich 2017]. The presence of PMN-MDSCs in tumors is associated with induction of chronic inflammation and antigen-specific tolerance by T cells [Marigo et al. 2010]. Using the MMTV-PyMT transgenic breast cancer model, we described that the production of tumor-derived G-CSF during tumor progression induces the systematic differentiation and activation of PMN-MDSCs, characterized by CD11b+ Rb110 Ly6G+ [Casbon et al. 2015].

Neutrophils have been proposed to be pioneer cells in the lung premetastatic niche, supporting the arrival of...
disseminated cells in the MMTV-PyMT model [Wculek and Malanchi 2015]. Moreover, in response to the secretion of IL-7 by γδ T cells, neutrophils are recruited to the lungs, where they support the survival and proliferation of disseminated cancer cells by suppressing effector CD8+ T cells [Coifert et al. 2015]. This prometastatic motif is also seen during liver metastasis in the KPC model of pancreatic cancer [Steele et al. 2016]. These results demonstrate that the protumor and anti-tumor functions of TANs are highly context-dependent and likely depend on immune cross-talk with other tumor-associated immune cells.

In recent years, the presence of NETs in the TME has been linked to cancer progression in animal models and cancer patients [Cools-Lartigue et al. 2013, 2014; Tohme et al. 2016], NETs are extracellular networks released by neutrophils—composed mostly of chromatin, proteases (such as elastase, cathepsin G, and MMP9), and intracellular proteins—that immobilize pathogens to facilitate their subsequent elimination [Papayannopoulos 2018]. An increase in NET formation has been correlated with progression to metastatic disease in colorectal cancer patients after surgery [Tohme et al. 2016]. Additionally, NETs trap circulating cancer cells, increasing the adhesion within hepatic sinusoids, which favors extravasation and parenchyma colonization [Cools-Lartigue et al. 2013]. In breast cancer, NETs accumulate around metastatic cells that have reached the lungs of mice. Notably, targeting NETs in vivo with DNase I-coated particles reduces metastatic burden [Park et al. 2016]. NETs seem to play a protumor role by the direct activity of NET-derived proteases and also by holding cancer cells in place, likely facilitating the concentration and localization of cancer effectors that result in increased degradation of the ECM, migration, and invasion [Cools-Lartigue et al. 2014].

There is a growing recognition of neutrophils as relevant players at different steps of cancer progression; however, a better characterization of the phenotypic heterogeneity and plasticity of cancer-associated neutrophils is needed, considering that they are the most abundant immune cells in circulation. The understanding of how and why the neutrophils are programmed or reprogrammed to be protumor and/or anti-tumor will lead to rational designing of targeted therapies.

NK cells

NK cells are innate immune cells that display rapid and potent cytolytic activity in response to infected or transformed cells [Cerwenka and Lanier 2016]. NK cells have a wide array of inhibitory and stimulatory receptors on their cell surface that are used for immune surveillance. The inhibitory receptors target cancer cells lacking major histocompatibility class I (MHC-I), marking them for programmed cell death [Marcus et al. 2014]. In contrast, in healthy cells, the binding of MHC-I molecules to their receptors on NK cells has a profound inhibitory effect on NK cell function [Bix et al. 1991; Liao et al. 1991; Colonna et al. 1992; Karlhofer et al. 1992; Wagtmann et al. 1995; Lanier 2005]. NK cells have a well-documented antitumor effect [Marcus et al. 2014; Iannello et al. 2016]. In this regard, the presence of NK cell infiltration in colorectal [Coca et al. 1997] and gastric [Ishigami et al. 2000] tumors correlates with a favorable outcome. Hence, there appears to be an intricate link between incipient tumor transformation and the ability of innate immune cells to recognize it. Indeed, in mice, aberrant cell proliferation induces production of the ligand retinoic acid early transcript 1 (RAE1), which is recognized by the stimulatory receptor NKG2D, expressed on NK cells [Raul et al. 2013]. Besides aberrant cell proliferation, DNA damage [Gasser et al. 2005] and RAS pathway activation [Liu et al. 2012b] induce production of ligands in tumor cells, which are recognized by NKG2D on NK cells. In line with the important role of NKG2D for immune surveillance, mice deficient in NKG2D receptor are more susceptible to tumor development [Guerra et al. 2008]. Besides NKG2D, NK cells have a repertoire of different stimulatory cell surface receptors, which, upon binding to their tumor-derived ligands, activate NK cells [Cerwenka et al. 2000; Dietenbach et al. 2000, 2001; Raul et al. 2003]. In a mouse model of hepatic carcinoma, the restoration of the tumor suppressor p53 in cancer cells promotes the elimination of senescent cells [Iannello et al. 2013]. Upon activation, NK cells mediate the tumor killing mainly by releasing cytotoxic perforin [Voskoboinik et al. 2006] and granzyme, eliminating tumor cells and also triggering apoptotic pathways in tumor cells through the production of TNFα or via direct cell–cell contact through activation of the TRAIL and FASL pathways. Densely granulated NK cells are recruited into large solid tumors by tumor-produced IL-15, where they successfully eliminate established tumors [Liu et al. 2012a]. The natural cytotoxicity receptor Nkp46 and inhibitory receptor Ly49 on NK cells prevent metastatic outgrowth in melanoma, lung, and fibrosarcoma models [Andrews et al. 2012; Glasner et al. 2012]. These data highlight the importance of NK cells’ function in controlling cancer progression. Future efforts should be addressed to define the regulatory circuits of the innate and adaptive immunity that results in tumor killing by NK cells.

DCs

These specialized antigen-presenting cells (APCs) that represent the interface between innate and adaptive immunity are able to present endogenous and exogenous antigens to T cells in the context of MHC molecules. With the exception of the brain parenchyma, DCs are located in every tissue across the body [Mildner and Jung 2014]. During tumor development, DCs prime naive and memory T cells, and, depending on the inflammatory context and the costimulatory signals, the antigen presentation can result in antigen tolerance or priming and triggering of an effector T-cell response. Tumor-infiltrating DCs have been described in many cancer types [Tran Janco et al. 2015], and, although their activity is necessary to explain the role of T cells during cancer
progression, DC involvement in cancer progression remains understudied.

Insights into DC mechanisms are limited. A mouse model of fibrosarcoma lacking CD8α DCs shows impaired tumor rejection mediated by CD4+ and CD8+ T cells [Hildner et al. 2008]. This anti-tumor effect of CD8α DCs priming effector T cells is selectively dependent on type I interferon production [Diamond et al. 2011]. CD103+ DCs have critical roles in tumor antigen presentation in transgenic and xenograft mouse models of melanoma and breast and cervical cancer [Broz et al. 2014; Moynihan et al. 2016; Roberts et al. 2016]. During chemotherapy-induced anti-tumor immune responses, ATP and damage signals released by necrotic cells induce the recruitment of myeloid cells; this is followed by local differentiation to CD11c+ CD11b+ Ly6C+CD103− DCs that efficiently engulf tumor antigens in situ and prime the anti-tumor effector T-cell response [Ma et al. 2013]. Mechanistically, the expression of formyl-peptide receptor 1 on DCs favors the recognition and stable interaction with dying cancer cells followed by maturation, engulfing, and antigen presentation in breast and colorectal cancer [Vaccelli et al. 2015]. Intravital imaging analysis identified lung-resident CD103+ DCs as direct suppressors of metastatic melanoma cells [Headley et al. 2016]. These findings highlight the essential role of DCs.

Between 1995 and 2004, several clinical trials in phases I, II, and II have tested the use of autologous DCs pulsed with tumor antigens (DC vaccine) to initiate an anti-tumor T-cell response, with promising but limited success, especially in melanoma and prostate cancer [Mukherji et al. 1995; Nestle et al. 1998; Beer et al. 2011]. The limitations in the use of DC vaccines include ex vivo manipulation such as antigen loading, which impacts DC function in vivo, and also the lack of deep insights into DC subsets and their functional specialization in cancer (for review, see Santos and Butterfield 2018). More recently, a phase II study in 39 melanoma patients showed that the combination of an intradermal DC vaccine combined with CTLA-4 blockade resulted in eight complete responses (for review, see Santos and Butterfield 2018). The combination of an intradermal DC vaccine combined with CTLA-4 blockade was also shown to be associated with a favorable prognosis in melanoma [Clemente et al. 1996] and breast [Oldford et al. 2006], lung [Dieu-Nosjean et al. 2008], ovarian [Kusuda et al. 2005], colorectal [Tosolini et al. 2011], renal [Kondo et al. 2006], prostate [Vesalainen et al. 1994], and gastric [Ubkata et al. 2010; Fridman et al. 2012; Kitamura et al. 2015] cancer.

CD8+ T cells are the most prominent anti-tumor cells. Upon priming and activation by APCs, the CD8+ T cells differentiate into cytotoxic T lymphocytes (CTLs) and, through the exocytosis of perforin- and granzyme-containing granules, exert an efficient anti-tumoral attack, resulting in the direct destruction of target cells [Hanson et al. 2000; Matsushita et al. 2012]. Meanwhile, the CD4+ T helper 1 (Th-1)-mediated anti-tumoral response—through secretion of high amounts of proinflammatory cytokines such as IL-2, TNF-α, and IFN-γ—promotes not only T-cell priming and activation and CTL cytotoxicity but also the anti-tumoral activity of macrophages and NK cells and an overall increase in the presentation of tumor antigens [Kalams and Walker 1998; Pardoll and Topalian 1998; Shankaran et al. 2001]. The presence of tumor-infiltrating CD8+ T cells and Th-1 cytokines in tumors correlates with a favorable prognosis in terms of overall survival and a disease-free survival in many malignancies [Fridman et al. 2012].

If the T cells are highly effective at killing malignant transformed cells, how do some cancer cells manage to overcome the attack by these effector T cells? Preclinical investigations in patients and mouse models suggest that cancer cells exploit the immunosuppressive properties of T cells while impairing the effector functions of anti-tumor T cells, such as their ability to infiltrate tumors and their survival, proliferation, and cytotoxicity [Fig. 4; Grivennikov et al. 2010]. The antigen-dependent nature of the effector T cells implies that the effectiveness of the anti-tumor T-cell immune response depends on both the ability of the tumor antigen to induce an immune response [immunogenic] and the presence—or absence—of...
The two better described checkpoint molecules CTLA-4 and PD-1 act as negative regulators of T-cell function and have been associated with immune evasion in cancer (Pardoll 2012). The involvement of CTLA-4 signaling in cancer has been described in melanoma (Bouwhuis et al. 2010) and lung (Kaghaznadeh et al. 2010), breast (Erfani et al. 2006), gastric (Hadinia et al. 2007), and colorectal (Hadinia et al. 2007; Dilmec et al. 2008) cancer. Furthermore, the engagement of PD1 with its coreceptor, PDL-1 (expressed by other immune cells, mesenchymal cells, vascular cells, and cancer cells), results in the down-regulation of T-cell activity, which inhibits their anti-tumor activities such as T-cell migration, proliferation, secretion of cytotoxic mediators, and restriction of cell killing [Topalian et al. 2015]. Over the past few years, the use of immune checkpoint inhibitors such as anti-PD1 [pembrolizumab and nivolumab], anti-PD-L1 [MPDL3280A], and anti-CTLA4 [ipilimumab] has had remarkable success enhancing the efficacy of anti-tumor response in different malignancies (Gotwals et al. 2017), especially in melanoma and lung cancer [Hamid et al. 2013; Herbst et al. 2014; Topalian et al. 2015].

As the tumor grows and the TME changes, new antigens are produced, and the ability of the immune system to prime new repertoires of T cells and direct them toward the tumor changes, thus altering the efficacy of tumor containment. As the immune system functions to stall tumor growth, cancer cells and the TME simultaneously suppress anti-tumor function by engaging immune checkpoints and the recruitment of regulatory CD4+ T cells [Tregs]. Tregs are responsible for suppressing the priming, activation, and cytotoxicity of other effector immune cells, such as Th1 CD4 T cells, CTLs, macrophages, NK cells, and neutrophils [Ward-Hartston and Kemp 2017]. The Treg-mediated immunosuppression is orchestrated by contact-dependent mechanisms such as the expression of PDL-1, LAG-3, CD39/73, CTLA4, or PD1, with the latter two even enhancing suppressive activity [Walker and Sansom 2015], and by contact-independent mechanisms, which involve the sequestration of IL-2 and production of immune-suppressive molecules such as IL-10, TGF-β, prostaglandin E2, adenosine, and galecinin-1 [Francisco et al. 2009, Campbell 2015]. In squamous cell carcinoma, the inhibition of focal adhesion kinase [FAK]—a cell contact-independent mechanism—results in CCL5 secretion by cancer cells that induces the recruitment of Tregs to the tumor site, where they suppress the cytotoxic anti-tumor CD8+ T cells (Serrels et al. 2015). In breast and lung adenocarcinoma, Tregs suppress T-cell activation and the anti-tumor immune response in tumor-associated tertiary structures. Notably, specific Treg depletion results in tumor cell death and increased production of IFN-γ [Bos et al. 2013; Joshi et al. 2015]. Indeed, infiltration of Tregs in breast cancer was correlated recently with worse patient outcome [Allaoui et al. 2017].

In metastasis, CTLs exert an anti-metastatic effect in bone metastasis [Bidwell et al. 2012], while prospective analyses of lung and breast cancer patients established an opposite correlation between the level of circulating cancer cells and T cells in peripheral blood [Mego et al. 2016; Sun et al. 2017]. These data extend to clinical trials reporting the therapeutic efficacy of immune checkpoint inhibition in metastatic carcinomas [Di Giacomo et al. 2012; Queirolo et al. 2014; Motzer et al. 2015; Furudate et al. 2016, Goldberg et al. 2016; Pai-Scherf et al. 2017]. Interestingly, checkpoint inhibitors are significantly effective in treating brain metastatic tumors from melanoma and lung cancer, especially when considering the lack of the adaptive immune response in the central brain, which plays a crucial role in regulating the balance of cellular responses.
nervous system (Queirolo et al. 2014; Goldberg et al. 2016; Di Giacomo et al. 2017). Recent evidence suggests that the effectiveness of checkpoint inhibition in melanoma brain metastasis depends on extracranial disease and peripheral activation of CD8+ T cells [Taggart et al. 2018]. On the other hand, a high level of circulating Tregs has been associated with a higher risk of metastasis in non-small lung carcinoma patients. [Erfani et al. 2012]. Similar associations have been described in breast cancer [Metelli et al. 2016], colorectal carcinoma metastasis [Wang et al. 2014], and hepatocellular carcinoma [Ye et al. 2016].

The cutting-edge technology of immunotherapy boasts impressive clinical potential and poses an opportunity to exploit the antigen specific T-cell attack to fight cancer. The challenge faced now is to better understand the mechanisms that cancer cells undergo to evade T-cell attack. Also, elucidating the mechanisms that mediate the generation of antigenic heterogeneity of tumors will lead to defining better immunotherapies for patients, expanding access to therapies to already metastasized patients.

Invariant NK T (iNKT) cells

An unconventional subset of T cells, iNKT cells, recognizes lipid antigens presented by CD1d molecules and shares some characteristics with NK cells, among which is the potential for activation in the absence of TCR stimulation. Upon activation, iNKT cells secrete effector cytokines such as IFN-γ, IL-4, and IL-17 [Lee et al. 2015]. In breast cancer cells, the down-regulation of CD1d leads to decreased iNKT-mediated cytotoxicity and metastatic progression [Hix et al. 2011]. However, in renal cell carcinoma patients, the expression of CD1b is associated with high relapse and poor prognosis [Chong et al. 2015]. The administration of retinoic acid and αGalCer [iNKT agonist] alone or the transfusion of αGalCer-loaded DCs reduces the tumor size and the number of metastatic foci in a mouse model of breast cancer by enhancing CD8+ T-cell cytotoxicity [Chen and Ross 2012; Gebrekeshel et al. 2015]. A phase I–II study on patients with advanced lung cancer arrived at similar conclusions [Motohashi et al. 2009]. These findings are remarkable in that they illustrate the impact of iNKT activation and how the monospecific nature of iNKT cells and the therapeutic use of αGalCer can be used to reprogram the anti-tumor immune response.

B cells

Upon activation in the germinal centers in lymphoid organs, B cells expressing high-affinity antibodies differentiate into antibody-secreting plasma cells and memory B cells that mediate humoral immunity against pathogens [De Silva and Klein 2015]. Although the presence of B cells in the TME has been described in different carcinomas [including melanoma and breast, ovarian, and prostate cancer, among others] [Chin et al. 1992; Yang et al. 2013; Woo et al. 2014, Pylayeva-Gupta et al. 2016], the role of B cells in cancer progression is much less understood than that of T cells. Accumulating evidence indicates that B cells promote and support tumor growth, for example, using a transgenic mouse model of epithelial carcinogenesis, Coussens and colleagues [de Visser et al. 2005] demonstrated that the lack of mature B cells decreases tumor progression. Notably, the adoptive transfer of B cells restores chronic inflammation, angiogenesis, and tumor growth. Different mechanisms have been described to explain the protumor role of B cells, from immunosuppression via secretion of IL-10 [Schioppa et al. 2011] and TGFβ [Olkanad et al. 2011] to direct stimulation of tumor cell proliferation by B-cell-derived IL-35 in human pancreatic neoplasia and Kras-driven pancreatic neoplasms in mice [Pylayeva-Gupta et al. 2016]. Also, by deposition of immunoglobulins in the TME, B cells indirectly stimulate angiogenesis and chronic inflammation by activating myeloid cells via FcRγ [Andreu et al. 2010]. It is unclear how many different phenotypes of B cells coexist in the TME or whether the B cells are recruited as immune suppressors or are reprogrammed into the TME. The subsets of B cells that induce immunosuppression are termed regulatory B cells due to their function, although there is no consensus about this classification due to the lack of specific markers, similar to FoxP3 and CD25 in Tregs.

Cross-talk between immune cells sculpts the response to the tumor

An aspect that has received less attention is the cross-talk between different immune cells within the TME and how it impacts the outcome of the subsequent immune response. There is growing evidence that tumor-associated immune cells act in concert to both control and promote the tumor formation. In this sense, during the phase of elimination, NK cells exert a strong tumoricidal role; secretion of CCL5 and XCL1 by NK cells promotes the recruitment of conventional DCs (cDCs) to the TME, resulting in increased priming and activation of new repertoires of anti-tumor T cells, stimulating the overall effector immune response [Moretta et al. 2005; Bottcher et al. 2018]. Additionally, the reciprocal interplay between NK cells, effector T cells, and anti-tumor macrophages by the secretion of IFN-γ and TNF-α in the tumor site boosts the differentiation of CTLs, increases macrophage phagocytosis, increases the recruitment of cytotoxic cMET neutrophils, and enhances the cytotoxic ability of NK cells [Finisguerra et al. 2015; Showalter et al. 2017]. Dectin-1, a pattern recognition receptor on macrophages and DCs, recognizes N-glycan structures on tumor cells, which activate the IRF5 pathway responsible for enhancing the killing capacity of NK cells [Chiba et al. 2014]. Moreover, CX3CR-1+ patrolling monocytes inhibit metastatic progression through the recruitment of NK cells to the metastatic site, and then NK cell-derived IFN-γ reprograms macrophages into a tumoricidal effector macrophage state [O’Sullivan et al. 2012].

Once the tumors have escaped from initial tumoricidal immunity, they undergo different strategies that tip the
balance toward immune tolerance, with the TAMs and tumoral-associated Tregs as key orchestrators of this process, as they dampen the effect of innate and adaptive effector immune cells at various levels and through different mechanisms. For example, TAMs and Tregs boost an immune-tolerant TME by secretion of immune-suppressive molecules such as IL-10, TGF-β, and prostaglandins, they also inhibit the secretion of IL-12 by DCs, avoiding the mounting of a Th-1 response and excluding NK and effector T cells [Ruffell et al. 2014; Speiser et al. 2016; Frydrychowicz et al. 2017; Mantovani et al. 2017; Tauriello et al. 2018]. Recently, a combination immunotherapy—including a tumor antigen targeting antibody, a recombiant interleukin-2 with an extended half-life, anti-PD-1, and a T-cell vaccine—efficiently eliminated established large metastatic tumors in multiple cancer models; subsequent analysis showed that the efficacy was dependent on an orchestrated response of both innate and adaptive immune cells [Moynihan et al. 2016]. These data further highlight the importance of therapies designed to stimulate an orchestrated immune effector response.

Understanding the in situ cross-talk of different tumor-associated immune cells in the different stages of cancer progression will provide insights that could increase the efficiency of current immunotherapies. Additionally, the use of massive single-cell genomic and proteomic data will allow a system-level view of the tumor-associated immune function in the years to follow.

Cancer heterogeneity and anti-tumor immunosurveillance

Analysis of human primary and metastatic tumors has shown high levels of genomic, phenotypic, and antigenic heterogeneity [Swanton 2012], which contribute to therapy failure and disease progression. This poses an arduous clinical and technical challenge [Bedard et al. 2013; Kreso and Dick 2014]. Various mechanisms have been proposed to explain intratumor heterogeneity: Genomic instability [McGranahan and Swanton 2015], hierarchical organization arising from initiating cancer stem cells [Kreso and Dick 2014], and selective pressure imposed by the immune system likely impact antigen heterogeneity of the tumor [Quail and Joyce 2013]. Through cancer immune editing, the immune system eliminates the more immunogenic cancer cells, thus promoting the development of clonal tumors and thereby decreasing the heterogeneity. In contrast, the lack of immune selection likely increases the neoantigen heterogeneity. Recent analysis of neoantigen heterogeneity in tumor samples from lung cancer and melanoma patients demonstrated that patients with clonal tumors (~78% of clonality) are more susceptible to T-cell attack and have a more sensitive tumor checkpoint inhibition compared with more heterogeneous tumors (~8% of clonality) [McGranahan et al. 2016]. Moreover, analysis of different areas of heterogeneous tumors showed different levels of antigen-specific CD8+ T cells in different tumor regions [McGranahan et al. 2016]. Increases in the mutational burden and heterogeneity of neoantigens in vivo as well as the priming of new anti-tumor T-cell repertoires [Rizvi et al. 2015; Germano et al. 2017] result from the inactivation of the DNA repair system in colorectal, breast, and pancreatic cell lines. Interestingly, tumors with high neoantigen burden correlate with good prognosis in lung cancer patients treated with anti-PD1 [Rizvi et al. 2015].

As genomic tumor heterogeneity increases, so too does the probability of subclonal generations escaping immune attack. In this sense, metastatic progression and therapy resistance usually proceed from rare clones in primary tumors [Gupta and Massague 2006]. Consistent with this view, a deep analysis of intrapatient metastases in a patient with ovarian cancer showed that regressing metastatic tumors were associated with an immune infiltrate characterized by CD4+ and CD8+ T cells and higher tumor mutation and neoepitope load compared with progressing lesions that are associated with T-cell exclusion [Jimenez-Sanchez et al. 2017]. This case study provides evidence of the clinical impact of the relationship between tumor heterogeneity and anti-tumor immune surveillance. It is unclear whether the cancer heterogeneity observed in patients is the end result the immune system’s inability to stop tumor progression or whether the mutational burden promotes heterogeneity that leads to immune evasion. Furthermore, the evolving mutation burden, the selective pressure of chemotherapy, and the rapid turnover of inflammatory cells within the primary and metastatic tumor, in conjunction with the nonuniform distribution of immune cells throughout the tumor, likely promote differential selective pressures in disparate tumor regions, allowing for the development of heterogeneous tumors [McGranahan et al. 2016]. The success of current immunotherapies depends on the ability of the immune system, particularly T cells, to recognize and eliminate tumors with multiclonal or subclonal neoantigens. These findings highlight the importance of better understanding the complex relationships between cancer cells and immune attack and how these relationships drive the development of cancer heterogeneity.

How tumor cells evade the immune response

Tumor cells evade the immune attack using two main strategies: avoiding the immune recognition and instigating an immunosuppressive TME. In the first, cancer cells may lose the expression of tumor antigens on the cell surface, thus avoiding the recognition by cytotoxic T cells. For example, 40% of non-small cell lung cancers hold a loss of heterozygosity in human leukocyte antigens [HLAs], which leads to immune escape by presenting fewer antigens [McGranahan et al. 2017]. Notably, HLA loss has been associated with resistance to T-cell transfer therapy in metastatic colorectal cancer [Tran et al. 2016] and poor outcome response to checkpoint blockade immunotherapy in melanoma and lung cancer patients [Chowell et al. 2018]. In this sense, mutations and deletions may result in down-regulation of the antigen-presenting machinery and likely confer resistance to T-cell effector
molecules such as TNF-α and IFN-γ (Patel et al. 2017). Additionally, to overcome the attack of NK cells in experimental metastasis, breast and lung cancer cells downregulate cell surface NK activators, becoming invisible to detection by NK cells (Malladi et al. 2016).

In the second, cancer cell-derived factors instigate an immune-tolerant TME by 1) secretion of suppressive molecules such as IL-10, TGF-β, prostaglandin E2, and VEGF (Gabrilovich et al. 1996; Massague 2008; Dominguez-Soto et al. 2011; Bottcher et al. 2018); 2) expression of inhibitory checkpoint molecules such as PD-L1, CTLA-4, and V domain immunoglobulin suppressor of T-cell activation (VISTA) (Topalian et al. 2012; Snyder et al. 2014; Boger et al. 2017); and 3) induction of the recruitment of TAMs, MDSCs, and Tregs by tumor-derived chemokines such as CCL2, CSF1, CCL5, CCL22, CXCL5, CXCL8, and CXCL12 (Weitzenfeld and Ben-Baruch 2014; Kumar et al. 2016; Mantovani et al. 2017; Tanaka and Sakaguchi 2017). Combined, these strategies result in a complex and efficient system for immune evasion. Therefore, multimodal therapies aimed at disrupting different aspects of the immune-tolerant apparatus in cancer may improve the efficiency of current immunotherapies. In this regard, two studies showed recently that the TGF-β blocking increases the therapeutic response of anti-PD-L1 therapy, resulting in tumor regression in EMT6 breast carcinoma models (Mariathasan et al. 2018) and complete elimination of established liver metastases from a colorectal cancer model (Tauriello et al. 2018).

Challenges in studying cancer-associated inflammatory cells

Developing a reliable experimental model that accurately resembles the cancer-associated immune surveillance observed in patients poses the biggest challenge. Xenograft models generated by injecting immune-deficient mice with patient-derived human cancer cell lines are practical models to study therapeutics. Despite their ease of use and consistent tumor growth, these models have some important limitations, such as being unable to mount an effective immune response; the inability to represent cancer cell heterogeneity present in human tumors accurately, which is known to impact tumor evolution; and resistance to chemotherapy and subsequent chemotherapy-induced anti-tumor immune response. In this sense, the generation of PDX models overcomes some limitations, as surgically derived human tumor samples are implanted in mice (Hylander et al. 2013). For example, fat pad transplanted breast cancer PDX samples grown in NOD-SCID mice resemble the architecture of the original tumors, promote vascularization, and mimic metastatic behavior observed in patients (DeRose et al. 2011). Successful PDX engraftments have also been described for colorectal, lung, pancreatic, gastric, renal, and prostate cancer (Jung et al. 2018). However, the use of immune-deficient mice hinders their utility for immune-based therapies; also, the cross-talk between tumor and host is limited due to species mismatch (Byrne et al. 2017). Conclusions related to immune oncology generated using xenograft or PDX models should be restricted to questions related to innate immune responses, and the validation in immune-competent models is needed.

Genetically engineered mouse models (GEMMs) that express known oncogenes or inactivate tumor suppressor genes combined with genetic perturbations in stromal cells have proved to be useful to study tumor immune surveillance in a genetically controlled system. Examples of GEMMs are the MMTV-PyMT breast cancer model and Kras- and Tp53-driven mouse models of lung, pancreatic, and colorectal cancer (Gopinathan et al. 2015; Zitvogel et al. 2016), among others. These models develop spontaneous tumors, metastasis, and immune-sufficient response and display levels of cellular and antigenic heterogeneity. However, they have some limitations, such as the long lead time of generation and poor tropism of metastasis compared with patients (for example, bone and brain metastasis). More recently, the generation of humanized PDX models offers a more sophisticated alternative. In this model, irradiated immune-deficient mice are reconstituted with a human immune system (Rongvaux et al. 2014; Verma et al. 2017)). As an example, human hepatocellular carcinoma [HCC] PDX tumors implanted in irradiated NOD−SCID IL2rg−/− (NSG) mice reconstituted with human CD34+ hematopoietic stem cells exhibit human leukocytes infiltrated in tumors, phenotypes associated with immune exhaustion, and therapeutic response to pembrolizumab and ipilimumab (Zhao et al. 2018). Although there is no perfect experimental model, the ideal preclinical model to study tumor-associated cancer cells should maintain the cellular and antigenic heterogeneity present in human tumors and mimic not only their innate and adaptive immune surveillance but also the metastatic behavior observed in patients.

Another major challenge is determining whether the current insights into cancer-associated immune response from primary tumors can be used to infer molecular mechanisms that explain the cancer cell–immune cell cross-talk in metastatic tumors. Those cells that successfully reach the post-dissemination phase of the metastatic cascade differ in some respects from their counterparts in primary tumors; for example, cancer cells can acquire mutations and evolve independently (Yates et al. 2017). Also, it is likely that cancer cells that have successfully colonized a distant organ have already been selected as therapy-resistant (Doherty et al. 2016). While it is clear that metastatic cells must develop mechanisms to avoid immune attack in the new colonized organ, little is known about the immune-related mechanisms operating at this level. The lack of reliable preclinical models of spontaneous metastasis leaves current research dependent mainly on the better described lung metastasis models, while other metastases—bone, brain, liver, intestine, and adrenal—remain woefully understudied. Furthermore, depending on the type of metastatic carcinoma and the level of its spread, the current treatments include chemotherapy, hormone therapy, and radiotherapy, leaving surgery as an option for few patients, thus limiting the access to metastatic specimens for further
characterization. With the advent of new single-cell technologies in transcriptomics and proteomics, future efforts should be focused on understanding the landscapes of immune infiltration in human metastatic tumors, as dissecting the complex interactions between the immune population and disseminated cancer cells could lead to the description of predictive biomarkers to identify dormant micrometastases in patients and, ultimately, the development of new immunotherapies to target metastatic tumors.

Concluding remarks

Immunological experiments during the last two decades have answered many important questions related to the causal relationship between chronic inflammation and carcinogenesis. Today, oncoimmunology is a field of rapid evolution and maturation. The development of new preclinical models and high-resolution technologies has provided otherwise inaccessible data, opening up exciting new avenues, exemplified by how targeting the immune system to fight cancer is becoming a reality, as evidenced by the relative success of current immunotherapies.

There clearly remains much work to be done, and there are many challenges to face. First, it will be necessary to develop high-fidelity immuno-sufficient preclinical models that incorporate the cellular and antigenic heterogeneity of cancer cells observed in patients. Second, a better understanding of the immune cross-talk that results in tumoricidal immunity will lead to the rational design of targeted therapies that will improve the efficiency of the current immunotherapies. Third, since >90% of cancer-associated deaths result from development of metastasis (Jemal et al. 2008; Siegel et al. 2016), we need to verify whether the data generated in primary tumors can be used to infer molecular insights into metastatic tumors. Last, a significant effort into learning more about immune surveillance associated with less-studied metastatic sites, including liver, bone, and brain metastasis, is needed.

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