Automatic Tissue Segmentation with Deep Learning in Patients with Congenital or Acquired Distortion of Brain Anatomy

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Abstract. Brains with complex distortion of cerebral anatomy present several challenges to automatic tissue segmentation methods of T1-weighted MR images. First, the very high variability in the morphology of the tissues can be incompatible with the prior knowledge embedded within the algorithms. Second, the availability of MR images of distorted brains is very scarce, so the methods in the literature have not addressed such cases so far. In this work, we present the first evaluation of state-of-the-art automatic tissue segmentation pipelines on T1-weighted images of brains with different severity of congenital or acquired brain distortion. We compare traditional pipelines and a deep learning model, i.e. a 3D U-Net trained on normal-appearing brains. Unsurprisingly, traditional pipelines completely fail to segment the tissues with strong anatomical distortion. Surprisingly, the 3D U-Net provides useful segmentations that can be a valuable starting point for manual refinement by experts/neuroradiologists.

1 Introduction

Accurate segmentation of brain structural MR images into different tissues, like white matter (WM), gray matter (GM) and cerebrospinal fluid (CSF), is of primary interest for clinical and neuroscientific applications, such as volume quantification, cortical thickness analysis and bundle analysis.

Since manual segmentation of the brain tissues is extremely time consuming it is usually performed by means of well-established automated tools, such as FSL \textsuperscript{7}, SPM \textsuperscript{1}, FreeSurfer \textsuperscript{8} and ANTs \textsuperscript{12}. Typically, these tools obtain excellent quality of segmentation in normal-appearing brains.

More recently, brain tissue segmentation has been addressed by deep learning algorithms like convolutional neural networks (CNNs) \textsuperscript{9,10,13}, applied directly to T1 or T2 weighted MR images. The quality of segmentation obtained
by such methods is again excellent and the computational cost, once trained, is usually greatly reduced with respect to traditional pipelines.

Especially in children, many congenital (e.g. malformations, huge arachnoid cysts) or acquired (e.g. severe hydrocephalus, encephalomalacia due to perinatal injuries) conditions can cause complex modifications of cerebral anatomy that alter the structural and spatial relationship among different brain structures. Automatically segmenting such brains presents multiple challenges mainly due to the high variability of the morphology together with the scarce availability of data. Moreover, the prior knowledge encoded in automated pipelines, or the set of images used to train segmentation algorithms, do not cover such cases.

In this work, for the first time, we present results of different well-established brain tissue segmentation pipelines on T1 images of malformed and highly distorted brains in the pediatric age. Unsurprisingly, we observe that the quality of segmentation is highly variable with traditional pipelines, and it fails when the complexity of the brain distortion and the severity of the malformation are high.

Moreover, as a major contribution, we show the results of a CNN for segmentation of medical images, namely the 3D U-Net, trained on over 800 pediatric subjects with normal brain. Surprisingly, the 3D U-Net segments brains with moderate and severe distortion of brain anatomy either accurately or at least to a sufficient level to consider manual refinement by expert radiologists.

The evaluation study of the U-Net presented here is first conducted on a large sample of normal brain images, as a sanity check, to quantitatively assess that the specific implementation and training procedure reaches state-of-the-art quality of segmentation. The evaluation on distorted brains is instead qualitative, because of the small sample available due to the rarity of the condition, as well as the current lack of gold standard segmentation.

If confirmed by future and more extensive studies, this result opens the way to CNNs-based tissue segmentation methods in applications, even in the case of malformed and highly distorted brains. From the methodological point of view, CNNs show a much higher degree of flexibility in tissue segmentation than well-established pipelines, despite being trained on segmentations obtained from those same pipelines.

2 Materials

We assembled a dataset of over 900 MR images from subjects and patients in the pediatric age, divided into two parts: normal brains and distorted brains. The first part consists of 570 T1-w images from public databases (C-MIND\footnote{https://research.cchmc.org/c-mind}, NIMH\footnote{http://pediatricmri.nih.gov}) and 334 T1-w images acquired in-house by the authors during clinical activity at IRCCS Eugenio Medea (Italy). The second part comprises 21 patients, again acquired at IRCCS E.Medea.
2.1 Normal Brains

- **Public Databases.**
  - T1-w images from 207 healthy subjects of the C-MIND database (165 from CCHMC site, with average age 8.9 (SD=5.0) and 42 from UCLA site, with average age 7.6 (SD=3.8)) both with 3D MPRAGE MRI 3T scan sequence (TR=8000ms, TE=3.7ms, Flip angle=8°, FOV=256x224x160, voxel spacing=1x1x1 mm³).
  - T1-w images from 363 healthy subjects (average age 10.7 (SD=6.0)) from the Pediatric MRI database of NIMH Data Archive [6], MRI 1.5T scanner with two different sequences:
    * 284 subjects acquired with a 3D T1-w Sequence 3D RF-spoiled gradient echo sequence (TR 22–25 ms, TE=10–11 ms, Excitation pulse =30°, Refocusing pulse 180°, FOV = 160–180x256x256, voxel spacing=1x1x1 mm³)
    * 79 subjects acquired with a T1-w Sequence Spin echo (TR = 500 ms, TE = 12 ms, Flip angle= 90°, Refocusing pulse=180° Field of view = 192x256x66, voxel spacing=1x1x3 mm³)
- **In-House Database: IRCCS E.MEDEA.** T1-w images from 334 subjects with normal brain, acquired in-house with average age 10.6 (SD=5.2) and 3D T1-w MPRAGE 3T MRI scan sequence (TR=8000ms, TE=4ms, Flip angle=8°, FOV=256x256x170, voxel spacing=1x1x1 mm³).

2.2 Distorted Brains

Images from two groups of patients acquired in-house at IRCSS E.Medea with the same MR and scan sequence described above. In detail:

- **Agenesis of Corpus Callosum.** 12 patients with agenesis of corpus callosum (ACC) (average age 5.8 (SD=5.2)). Callosal agenesis is characterized by colpocephaly, parallel ventricles, presence of Probst bundles and upward extension of third ventricle. See some cases in Figure 1.
- **Complex distortions.** 9 patients (average age 7.9 (SD=3.2)) with severe parenchymal distortion related to complex malformations (4 cases), parenchymal porencephalic lesions and severe hydrocephalus (4 cases) and massive arachnoid cyst (1 case). See some cases in Figure 2.

2.3 Pre-processing and Reference Tissue Segmentation

All T1-w images received bias field correction (N4BiasFieldCorrection) and AC-PC alignment. The reference segmentation of normal brains was performed with the AntsCorticalThickness.sh script of ANTs [12] with the PTBP (pediatric) prior [2], resulting in a 3D mask with 7 labels (6 tissues): background, Cerebrospinal fluid (CSF), Gray matter (GM), White matter (WM), Deep gray matter (DGM), Trunk and Cerebellum. The results of the segmentations were visually assessed by two experts in pediatric neuroimaging.
3 Methods

A standard whole-brain 3D U-Net model was implemented to predict the brain masks of 6 tissues plus background from T1-w images. The architecture of the network consists of 3 main parts: the contraction path, the bottleneck and the expansion path. The input and the output layer are for 256x256x256 isotropic 3D images, resolution to which all input images are initially resampled. The adopted architecture, described below, has minor changes with respect to the literature to reduce the memory footprint and to fit the GPU used during the experiments reported in Section 4.

The contraction path consists of 4 blocks, each with two convolutional layers (3x3x3 kernel followed by ReLU) followed by MaxPooling (2x2x2 filter, stride 2x2x2) for downsampling. The size of the downsampling over the blocks is 256 → 128 → 64 → 32 → 16, while the application of convolutional layers produces an increasing number of feature maps over the blocks, 12 → 24 → 48 → 96. The bottleneck consists of 2 convolutional layers both of 192 filters (3x3x3 kernel then ReLU), followed by a dropout layer (dropout rate: 0.15) used to prevent overfitting. Similarly to the contraction path, the expansion path consists of 4 blocks, each with transposed convolution for upsampling, followed by concatenation with contraction features (skip connected) and two convolutions. The upsampling size over the blocks is the opposite of the downsampling, i.e. from 16 to 256. All convolutional layers have 3x3x3 kernel then ReLU, that produce a decreasing number of feature maps from 192 to 12.

Multiclass classification (6 tissues and background), is obtained with a last layer of convolution with 7 1x1x1 filters, followed by a softmax activation.

4 Experiments

We qualitatively compared the segmentation pipelines of FSL, FreeSurfer, SPM, ANTs and the 3D U-Net on T1-weighted images from patients with agenesis of corpus callosum (ACC) and with complex distortions of brain anatomy, as described in Section 2.2. Different pipelines produced different sets of segmented tissues. To harmonize the results, we considered only 3 main tissues: gray matter (GM), white matter (WM) and the cerebrospinal fluid (CSF). These tissues are the ones of greater interest in most of the applications of brain tissue segmentation. Moreover, for the pipelines segmenting the deep gray matter (DGM), we labeled DGM as GM. The technical details of each pipeline are the following:

- **FSL v6**: we used `fsl_anat` with default parameter values.
- **FreeSurfer v6**: we used `recon-all` with default parameter values.
- **SPM12**: we used default parameter values and default prior.
- **ANTS v2.2.0**: we used `AntsCorticalThickness.sh` with default parameter values and the PTBP (pediatric) prior. We considered only the steps till tissue segmentation.

7 [https://fsl.fmrib.ox.ac.uk/fsl/fslwiki/fsl_anat](https://fsl.fmrib.ox.ac.uk/fsl/fslwiki/fsl_anat)
8 [https://surfer.nmr.mgh.harvard.edu/fswiki/recon-all](https://surfer.nmr.mgh.harvard.edu/fswiki/recon-all)
— **3D U-Net.** The model was implemented with TensorFlow 1.8.0 trained on T1-weighted images from the Normal Brains Datasets of ≈ 900 images described in Section 2. We kept apart 90 randomly selected images for a sanity check, i.e., to assess that the trained model could reach state-of-the-art quality of segmentation on healthy subjects. The training (loss: cross-entropy, Adam optimization, learning rate: $10^{-4}$) was performed iteratively, one image at a time taken at random from the training set, looping over the whole set for 60 epochs.

All computations were performed on a dedicated workstation: 6 cores processor Intel(R) Xeon(R) CPU E5-1650 v4 3.60GHz, 128Gb RAM, GPU: NVIDIA GeForce GTX 1080TI 11Gb RAM.

### 4.1 Results

Except for FreeSurfer (**recon-all**), all pipelines carried out all the required segmentations. FreeSurfer failed in all cases of severe anatomical distortion. Specifically, **recon-all** did not converge during either Talairach registration or skull stripping. SPM completed the segmentation task in all subjects. However, its confidence in the prior for the segmentation initialization and optimization leads to many major macroscopic errors. Given the strong limits on the length of this article, we do not illustrate and discuss the uninteresting results of FreeSurfer and SPM.

In Figure 1, we show a paradigmatic set of axial slices segmented by FSL, ANTs and the 3D U-Net, from patients with ACC, i.e. from 4 of the 12 subjects described in Section 2.2. Similarly, in Figure 2 we report paradigmatic axial slices segmented by those methods, from 4 of the subjects with complex cerebral distortions. For the segmentations of all methods, we re-labeled as CSF all the voxels inside the brain mask of each patient that were incorrectly segmented as background. In both figures in the last row, for each subject, we highlight a detail of the slice for one of the segmentation methods (indicated with a dashed square, above in the same column). Such details are discussed in Section 5.

Finally, in Table 1 we report the results of the sanity check, i.e. that the training process of the 3D U-Net on normal brains was successful. The numbers represent the average quality of segmentation (DSC, Dice similarity coefficient) obtained by the 3D U-Net for the reference segmentation of the 6 tissues of normal brains described in Section 2.3. These results are comparable to those in the state-of-the-art [13,4].

| Metric | CSF      | GM       | WM       | DGM      | Trunk    | Cereb.    | Grand Avg. |
|--------|----------|----------|----------|----------|----------|-----------|------------|
| DSC    | 0.87±0.06| 0.95±0.04| 0.95±0.03| 0.93±0.02| 0.93±0.03| 0.96±0.02| 0.93±0.03  |

**Table 1.** 3D U-Net: average Dice similarity coefficient (DSC) for segmentations of 6 tissues, plus grand average, on 90 normal brains, after 60 epochs of training.
Fig. 1. First row: T1-weighted MR images of 4 subjects (A, B, C and D) with agenesis of corpus callosum. Below, the related tissue segmentations (GM in green, WM in blue and CSF in red) of the following pipelines: FSL (2nd row), ANTs (3rd row) and 3D U-Net (4th row). In the 5th row, for each subject, we show the enlarged view of one of the segmentations, indicated above with a dashed yellow square. White arrows point to the highlights discussed in Section 5.
Fig. 2. First row: T1-weighted MR images of 4 subjects (E, F, G and H) with complex cerebral distortions. Below, the related tissue segmentations (GM in green, WM in blue and CSF in red) of the following pipelines: FSL (2nd row), ANTs (3rd row) and 3D U-Net (4th row). In the 5th row, for each subject, we show the enlarged view of one of the segmentations, indicated above with a dashed yellow square. White arrows point to the highlights discussed in Section 5.
5 Discussion

Figure 1 shows that FSL fails to segment GM and WM in cases of moderate and severe ventricular dilatation with thinning of the WM (case A, C and less evident in D). In one case (B) FSL also misses identifying the thalami as (deep) GM. ANTs performs better in identifying (deep) GM and the cortex at the convexity. However, case A and C show that it may fail in differentiating between GM and subcortical WM on the mesial surface of the hemispheres. This may be related to the prior used by that pipeline, which is based on the anatomy of normal subjects and not designed to recognize spatial reorganization of the cortex, especially in the midline, like in ACC cases. A similar error is in case C where a cortical component close to the head of the caudate is misclassified as WM. Finally in D, Probst bundles, which are abnormal WM tracts running parallel to the medial ventricular wall, are labelled as GM. In contrast, the 3D U-Net performs well in segmenting ACC. The most relevant error in these cases is at the interface between ventricles and WM: the 3D U-Net wrongly identifies a very thin layer of GM along the inner ventricular surface. This is probably related to partial volume effects.

Figure 2 shows that, in case of complex malformations and severe parenchymal distortion, FSL and ANTs are unreliable and incur in major macroscopic errors, as opposed to 3D U-Net which performs vastly better. In cases of severe ventricular dilatation and distortion (E and H), FSL fails to segment the cortex, which is wrongly labelled as CSF. In case F, the CSF collection that replaces the left hemisphere is misclassified as the cortex. In G, FSL fails to properly segment the pachygyric (i.e. with a reduced number of gyri) cortex. Finally, some intensity inhomogeneities in the deep ventricular CSF are misclassified as GM (E and G). With ANTs, which is based on priors, the pipeline is forced to segment WM, GM and CSF in the missing hemisphere (F) or when the anatomy is highly irregular. In all of these cases, the pipeline misplaces structures (E, G, H) or segment structures that are actually missing (F). The 3D U-Net outperforms FSL and ANTs in all cases (e.g. G), with few mistakes. The main issues are: i) the mislabeling of signal inhomogeneities in the deep CSF (E, same as FSL), and the segmentation of a subtle layer of GM at the border between lateral ventricles and WM (H, as done in ACC cases). Care must be used in evaluating CSF/WM interface in cases of brain malformations because, at this level, heterotopic GM nodule may occur.

5.1 Conclusions

In this work, we observe a much higher accuracy of the 3D U-Net when segmenting brains with different degrees of anatomical distortion, compared to well-established pipelines. This is surprising given that such cases were not used in the training phase. At the same time, the 3D U-Net can reproduce the high quality of segmentation of ANTs on normal brains. Clearly, the results on distorted brains are not perfect but still a valuable starting point for manual refinement.
by experts/neuroradiologists. In future work we plan to manually segment T1-w images of the distorted brains, to create a gold standard and to be able to quantify the quality of segmentation of the 3D U-Net and to use some of them during the training process of the network.

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This is an extension of Figure 1, i.e. paradigmatic segmentations of patients with Agenesis of Corpus Callosum, where we show SPM and FreeSurfer that we excluded in the manuscript, together with the 3D U-Net for comparison. The segmentations of SPM and FreeSurfer have major errors in the ventricles and in the area of the thalami and caudate. SPM probability maps were thresholded at $p = 0.4$ which was the optimal choice to reduce overlap between tissues and to reduce false positives.
This is an extension of Figure 2, i.e. paradigmatic segmentations of patients with complex cerebral distortions, where we show SPM and FreeSurfer that we excluded in the manuscript, together with the 3D U-Net for comparison. SPM has major macroscopic errors almost everywhere. FreeSurfer failed to converge on the images of these subjects so the related entries are empty, as reported in Section 4. SPM probability maps were thresholded at $p = 0.4$ which was the optimal choice to reduce overlap between tissues and to reduce false positives.