Identification of a queen and king recognition pheromone in the subterranean termite
Reticulitermes flavipes

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Edited by John G. Hildebrand, University of Arizona, Tucson, AZ, and approved February 27, 2018 (received for review December 8, 2017)

Chemical communication is fundamental to success in social insect colonies. Species-, colony-, and caste-specific blends of cuticular hydrocarbons (CHCs) and other chemicals have been well documented as pheromones, mediating important behavioral and physiological aspects of social insects. More specifically, royal pheromones used by queens (and kings in termites) enable workers to recognize and care for these vital individuals and maintain the reproductive division of labor. In termites, however, no royal-recognition pheromones have been identified to date. In the current study, solvent extracts of the subterranean termite Reticulitermes flavipes were analyzed to assess differences in cuticular compounds among castes. We identified a royal-specific hydrocarbon—heneicosane—and several previously unreported and highly royal enriched long-chain alkanes. When applied to glass dummies, heneicosane elicited worker behavioral responses identical to those elicited by live termite queens, including increased vibratory shaking and antennation. Further, the behavioral effects of heneicosane were amplified when presented with nestmate termite workers’ cuticular extracts, underscoring the importance of chemical context in termite royal recognition. Thus, heneicosane is a royal-recognition pheromone that is active in both queens and kings of R. flavipes. The use of heneicosane as a queen and king recognition pheromone by termites suggests that CHCs evolved as royal pheromones ∼150 million years ago, ~50 million years before their first use as queen-recognition pheromones in social Hymenoptera. We therefore infer that termites and social Hymenoptera convergently evolved the use of these ubiquitous compounds in royal recognition.

Significance

Understanding the mechanisms that social insects use to communicate their individual status within the colony is vital to understanding the evolution of sociality. This study accomplishes this goal by identifying a royal-recognition pheromone in termites, as well as a king pheromone. Our behavioral assay defines royal-specific responses for one species of termites, which will foster future studies of termite behavior. This study also dates cuticular hydrocarbons as royal pheromones to the rise of termites ∼150 million years ago, suggesting that termites and social Hymenoptera convergently evolved the use of these ubiquitous compounds for communication. In conclusion, we have expanded our understanding of chemically mediated royal recognition in termites and helped to understand the evolution of insect societies.

Author contributions: C.F.F., E.L.V., and C.S. designed research; C.F.F. and K.B. performed research; C.F.F., K.B., and C.S. analyzed data; and C.F.F., E.L.V., and C.S. wrote the paper.

The authors declare no conflict of interest.

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This article contains information online at www.pnas.org/lookup/suppl/doi:10.1073/pnas.1721419115/-/DCSupplemental.

Published online March 19, 2018.
that differentiate them from other castes (18–23), releaser pheromones in royal castes have not been identified in any termite species. Indeed, few royal-recognition behaviors have been described in termites, impeding progress in this area. In the dampwood termite Zootermopsis nevadensis, the removal of reproductives increased head-buttering among workers (24). In the termite Cryptotermes secundus, the suppression of Neofem4, a cytochrome P450 gene highly expressed in queens and involved in CHC biosynthesis, interfered with queen recognition and increased aggression (25, 26). But in neither system have the behaviors been used to isolate queen-recognition pheromones.

Recently, we developed an effective queen-recognition bioassay in the subterranean termite Reticulitermes flavipes that measures rapid lateral (or longitudinal) shaking behavior and increased antennation from workers and soldiers elicited by queens and kings (27). These two behaviors occur frequently throughout the colony but are especially common in close proximity to reproductives (Movie S1). In this study, we used this behavioral assay to identify heneicosane (n-C21) as a reproductive-specific CHC that elicits behavioral royal recognition. Heneicosane is the only known royal-recognition pheromone in termites and the only known king pheromone in insects, offering a unique tool to further understand termite social systems and their chemical mediation.

**Results and Discussion**

**Comparison of Cuticular Extracts: Workers and Royals.** Hexane extracts of neotenic queens and kings and female and male workers were analyzed by GC-MS to discover reproductive-specific compounds that might be involved in royal recognition. The most prominent chemicals in the termite cuticular extracts were normal and monomethyl alkanes ranging from 23 to 25 carbons; they made up >40% of the total mass of CHCs for all termite castes (Figs. 1 and 2 and Table S1). Although our results largely support previous reports of CHCs in R. flavipes (18, 28–31), no tricosenes or tetracosenes were found in detectable amounts in our samples. These compounds were present in low levels in previous studies as well, and typical variation in CHC profiles across populations likely explains these discrepancies.

Our GC-MS analyses revealed 20 GC peaks shared across all castes and 1 reproductive-specific compound (Figs. 1 and 2 and Table S1). Nine of the shared peaks were enriched in workers relative to queens and kings, including tricosane, pentacosane, and pentacatriene, and 11 were enriched in kings and queens, including tricosane, hentriacontane, and 13- and 11-methylheptatriacontane (Fig. 2 and Table S1). Heneicosane was found to be a unique royal compound, whereas long-chain ≥C35 mono- and dimethyl alkanes, although present in workers, were much more represented in royals (Figs. 1 and 2 and Table S1). In earlier work, n-C21 was found in only trace and unquantifiable amounts in every caste of R. flavipes and in workers of Reticulitermes lucifugus and Reticulitermes banyuelensis (17, 31), and was not reported in a more recent study on Reticulitermes (18). Although some variation in CHC profiles is to be expected across populations, strong differences in a component with caste-specific patterns like n-C21 are unexpected. Early publications reporting trace amounts of n-C21 in workers and soldiers used pooled extractions of multiple termites and, therefore, could have resulted in cross-contamination of castes. More recent studies do not mention n-C21 at all, suggesting that there may be regional differences in R. flavipes CHCs, or perhaps that the neotenics evaluated in these studies were not reproductively active. Interestingly, in the drywood termite Cryptotermes secundus, n-C21 appears to be unique to workers (22).

Principal component analysis (PCA) of 21 CHC peaks from termites revealed a strong separation across reproductive and nonreproductive castes (Fig. 3A). The first two principal components accounted for 68.3% of total chemical variation. The eigenvectors that contributed most to separation between workers and reproductives suggested that n-C21, tricosane, and the suite of compounds with a chain length ≥35 carbons were primary indicators of reproductive status, while tetracosane, 2-methyltetracosane, pentacosane, 2-methylpentacosane, and 3-methylpentacosane were the primary indicators of a worker-type CHC profile (Fig. 3B). One queen sample was situated within the worker group (Fig. 3A), but given the overall similarity of other queens across colonies and the sometimes difficult task of identifying newly emerged neotenic queens, it is likely that this was a misidentified worker labeled as a queen.

Queens and kings were not distinguished from each other in the PCA, but they do possess several compounds with differential proportions (Fig. 2 and Table S1). Compounds with ≤25 carbons represented a higher percentage of the total CHCs in kings (except for n-C21), while queens had higher proportions of compounds with ≥35 carbons. Lastly, there was a clear separation of colonies in the PCA. Tricosane, tetracosane, 2-methyltetracosane, pentacosane, 2-methylpentacosane, and 13- and 11-methylheptatriacontane appeared to be compounds linking colonies 2 and 3 while separating them from colony 1. Differences across colonies are to be expected, as termites differentiate nestmates from nonnestmates.
Based on their CHC profiles. Importantly, colony differences are represented by a different group of compounds in the PCA, and the differences between castes are consistent for each colony analyzed.

**Cuticular Extracts Elicit Caste-Specific Behaviors.** Behavioral observations identified royal-recognition behaviors as shaking (or lateral oscillatory movements) and antennation, both of which were elevated in the presence of royal castes. Although both behaviors are reported and, in general, both indicate royal recognition, shaking was a more reliable and informative metric in our experiments. Across our assays involving live termites, workers and soldiers elicited 5.8 ± 0.98 (SEM, n = 19) and 4.1 ± 0.90 (n = 14) shaking events in a single session, respectively, while neotenic queens (Movies S2 and S3) and kings elicited 23.3 ± 2.60 (n = 9) and 41.5 ± 7.94 (n = 8) shaking events. Nonreproductive individuals elicited significantly lower shaking responses than queens and kings [P < 0.05, Tukey’s honest significant difference (HSD)]. Similarly, workers and soldiers elicited 25.8 ± 1.77 and 19.8 ± 1.07 antennations in a single session, respectively, while queens and kings elicited 65.4 ± 10.01 and 39.6 ± 6.56 antennations, which demonstrates a significantly stronger antennation response to reproductive individuals (P < 0.05, Tukey’s HSD).

Bioassays using hexane extracts from neotenic queens and workers applied to glass dummies also showed a significant difference in shaking behavior, but not antennation, between reproductive and nonreproductive extracts (Fig. 4), demonstrating that cuticular compounds mediate the royal-recognition response.

**Heneicosane: Royal-Recognition Pheromone.** We tested the ability of \( n-C_{21} \) to elicit royal-recognition behavior when placed on glass dummies at three treatment doses (0.1, 1, and 10 \( \mu \)g). Tetracosane was also included to control for a generalized response to high doses of straight-chain alkanes. Glass dummies coated with nestmate worker...
shaking response than the worker extract control ($P = 0.0063$ for 0.1 $\mu$g, $P = 0.0351$ for 1 $\mu$g, and $P < 0.0001$ for 10 $\mu$g; Dunnett’s test). Conversely, stimulation with tetracosane in combination with worker extract failed to stimulate greater shaking or antennation, except at a high dose, where we observed only increased antennation, a royal-specific response measure less reliable than shaking (27). Across all treatments, the shaking responses elicited by n-C21 within a worker chemical background were comparable to responses to live termite queens (27) and queen extracts (Fig. 4).

These findings strongly support the conclusion that n-C21 is a royal-recognition pheromone in R. flavipes, and that chemical context is important for effective communication of the recognition signal. Chemical context was previously reported as a major factor in queen recognition for the trap-jaw ant Odontomachus brunneus, in which queen-specific compounds elicit submissive postures in workers, but only when a blend of familiar ant cuticular compounds is included (34). As in R. flavipes, the ant queen-recognition signal is conserved across populations, but otherwise the ant and termite systems appear quite divergent. In R. flavipes, n-C21 is unique to queens and kings and, by itself, can elicit royal-recognition responses, whereas in the ant, (Z)-9-nonacosene occurs in both workers and queens, is relatively more abundant in queens, and, by itself, does not stimulate any behaviors. Ants also appear to readily distinguish between this queen-enriched alkene on a native worker vs. a nonnestmate cuticular chemistry background. In R. flavipes, it remains unknown whether the chemical context requires a colony-specific odor, or if more-general species-level profiles might be as effective. However, our demonstration that workers respond equally to extracts in combination with one of the two hydrocarbons served to control for general nestmate recognition signals whose absence might induce aggression, policing, or other alarm behaviors. The bioassays demonstrated significant elevations of both shaking and antennation in the presence of n-C21 (Fig. 5). To begin, n-C21 applied alone to dummies stimulated a clear positive dose response, with shaking events doubling between 0.1 and 10 $\mu$g of n-C21 (Fig. S4). The 1 and 10 $\mu$g treatments of n-C21 alone were also significantly different from the control hexane extract ($P = 0.0187$ for 1 $\mu$g and $P = 0.0008$ for 10 $\mu$g, Dunnett’s test) (Movies S4 and S5). Shaking responses elicited by tetracosane were not significantly different from responses to hexane (control), and there was no dose-dependent response to tetracosane.

Stimulation with n-C21 in the presence of worker cuticular extracts significantly strengthened the shaking and antennation responses compared with n-C21 alone (P < 0.05, Tukey’s HSD). However, both shaking and antennation events appeared to be at their maximum levels, even at 0.1 $\mu$g, as evidenced by the lack of dose-response patterns. The average mass ± SEM of heicicosane on a neotenic queen was 32.0 ± 8.1 ng (Table S1), so our treatments with n-C21 represented the extracts of ~3, 30, and 300 queens, respectively. It is important to note however, that higher concentrations of pheromones are often required on synthetic vs. natural substrates. Some insects are less responsive to pheromones placed on artificial substrates (e.g., ref. 32), and the use of solvent to apply the pheromone to the substrate may disrupt its natural stratification within the CHC layer (e.g., ref. 33).

Nevertheless, the combination of worker extract with any of the three doses of n-C21 stimulated a significantly stronger
native and foreign queens (27) would suggest that \( n-C_{21} \) and related royal-specific pheromones might suppress the aggressive responses that are normally elicited by foreign-queen CHCs. Further exploration of the ability of extracts of unrelated termites to elicit recognition behaviors could help us to understand how intercolony or population-level variation in cuticular profiles may affect royal recognition, colony fusion, and other competitive interactions in termites.

Henceicosane is conserved as a unique signal in both kings and queens and thus encodes “royal-status”. Although we have not thoroughly explored all differences in king and queen chemical profiles, the presence of a shared pheromone in both castes is nonetheless striking. In social insects in general, queen pheromones serve two related functions: as releasers of attendant and other behaviors by workers, and as primers that suppress reproductive activation in workers. We have only established that \( n-C_{21} \) releases royal-recognition behaviors. In eusocial hymenopterans, the presence of only a queen and not a king enables the same signal to function as both releaser and primer pheromone, as evident across a range of bees, ants, and wasps. Interestingly however, in the trap-jaw ant, \((Z)-9\)-nonacosene elicits queen recognition but fails to suppress worker reproduction (34). In termites, on the other hand, the presence of queens and kings might have forced a functional differentiation of prime and releaser pheromones. Termite queens and kings could share releaser pheromones because they elicit similar behavioral responses from workers and soldiers. Henceicosane appears to encode this shared royal-recognition function, and, at least in theory, no other royal-specific components are necessary to effect its releaser function. The primer function in termites, however, requires the differential suppression of separate developmental pathways for neotenics males and females, which would presumably require unique queen and king primer pheromones. Indeed, in the only primer pheromone that has been reported in termites, the pheromone is produced by \( R. \) sp. queens and specifically suppresses queen differentiation; the respective king pheromone has not been elucidated and this primer pheromone appears to have no releaser effects (16). Although the primer effects of \( n-C_{21} \) have not been evaluated, we suspect that it might function exclusively as a releaser because it is shared by both queens and kings.

Sociality in insects has evolved independently multiple times. The eusocial hymenopterans evolved \( \sim 100 \) Mya, and the conservation of CHCs in nestmate recognition and as queen pheromones presumably evolved around this time as well. Our finding of a CHC as a royal-recognition pheromone in termites not only contributes evidence to the discussion that CHCs are a conserved class of social-recognition pheromones (4, 9) but also pushes their emergence in eusocial communication to \( \sim 150 \) Mya, when eusocial termites evolved from within the cockroaches. CHCs have evolved multiple functions, primary among them is to prevent water loss and pathogen attack (4). The shared use of CHCs as recognition and/or fertility signals in eusocial insects appears to represent a striking example of the convergent cooption of specific CHCs to encode communication signals. Cockroaches, which are solitary relatives of termites, coopted CHCs well before social insects by using CHCs and their derivatives as sex pheromones (35). Eusocial insects presumably redirected these signals for royal recognition and to suppress reproduction in workers.

Distinguishing reproductives from other castes is of pivotal importance to the success of social insect colonies, and delineating which chemicals mediate these interactions will help to understand how these complex societies evolved (9). Future work should focus on investigating this shared pheromone and differentiating king and queen recognition, perhaps by discovering sex-specific cuticular pheromones or volatile components. This is a unique opportunity in social insect research to explore the evolution and mechanistic pathways of royal pheromones in both male and female backgrounds. These efforts would go hand in hand with exploring other cuticular chemicals that might constitute the royal pheromone blend, as well as possible primer effects of \( n-C_{21} \) and the neural and olfactory basis for detecting the \( n-C_{21} \) signal. The function of the shaking response also merits further exploration, as a deeper understanding of this behavior could uncover a cornerstone of termite behavioral patterns. Shaking behaviors are widely seen throughout the colony and are documented across most termite lineages (36–38). With its connection to reproductive recognition, evaluating the context-dependent signals encoded in the shaking response should be an important next step in termite behavioral research.

**Materials and Methods**

**Termite Collection.** For GC-MS, we collected termites from two colonies (colonies 1 and 2 in Fig. 3A) in Carl Alvin Schenck Memorial Forest (Raleigh, NC) in 2014 and 2015, and one colony (colony 3 in Fig. 3A) from Lake Johnson Park (Raleigh, NC) in 2015. For assays testing cuticular extracts and hydrocarbons, three colonies were collected from Lake Johnson Park in 2015. Termite colonies were maintained in laboratory conditions for \( \sim 6 \) mo before use. Whole tree trunks or logs with termites were split into smaller pieces and set out in shallow pans to dry. Using either plastic container lids with moist paper towels underneath or \( \sim 10\)-cm PVC pipes containing coils of moistened corrugated cardboard, the termites passively moved out of the drying wood and into the moist substrate. Fully separated colonies were kept either in clear plastic boxes lined with moist sand and pine shims for food or in 9-cm Petri dishes with an autoclaved substrate consisting of 70% sawdust and 30% r-cellulose. Colonies were maintained in opaque plastic containers in a 24 °C incubator. For production of secondary reproductives, royal recognition was initially observed in primary, colony-founding queens and kings. We chose not to use these individuals in our experiments because they were difficult to find and \( R. \) flavipes readily generates replacement, or neotenic, reproductives. To produce these individuals, \( \sim 2,000 \) to 5,000 termites were subdivided into 5-cm Petri dishes without reproductive. Newly emerged neotenics typically appeared within 2 to 3 wk and were removed to prevent any inhibition of subsequent queen or king differentiation in the neotenic-generating dishes. We newly emerged neotenics in 9-cm dishes containing \( \sim 500 \) workers and 20 to 50 soldiers until extracted or used in experiments and neotenic queens were confirmed to be reproductively active. Most of the emerging neotenics were ergatoid, or worker-derived, and were wingless. On rare occasions, we observed nymphoid neotenics emerging, which were identified by their wing buds; they elicited recognition responses and possessed similar chemical profiles to ergatoid neotenics in our analysis. Additionally, neotenic kings typically differentiated one at a time, while multiple female neotenics differentiated simultaneously in one dish, which limited the number of kings available to analyze and led to the use of queen extracts alone in some behavioral assays.

**Cuticular Extracts and GC-MS.** Individual termites from every caste were sexed (females possess an enlarged seventh tergite which obscures the eighth and ninth tergite, while males have a seventh tergite with a visible eighth and ninth tergite) and freeze-killed for 15 min at \( 20 \) °C, followed by extraction in 200 \( \mu \)L of hexane containing 100 ng of octacosane (n-C28) as an internal standard. Extraction lasted for 2 min with intermittent gentle mixing. Extracts were removed to a new vial, evaporated under a gentle stream of nitrogen on a Savant Series TurboVap LV concentrator and transferred to a 100-\( \mu \)L glass insert in a 1.5-mL GC autoinjection vial. A volume of 2 \( \mu \)L was injected in splitless mode using a 7683B Agilent autosampler into a DB-5 column (20 m \( \times \) 0.18 mm internal diameter \( \times \) 0.18 \( \mu \)m film thickness; J&W Scientific) in a Agilent 7890 series GC (Agilent Technologies) connected to a flame ionization detector with ultrahigh-purity hydrogen as carrier gas (0.75 mL/min constant flow rate). The column was held at 50 °C for 1 min, increased to 320 °C at 10 °C/min, and held at 320 °C for 10 min.

A subset of termite CHC samples were run on an Agilent 5975 mass selective detector coupled to an Agilent 6890 GC for GC-MS analyses. The GC was operated in splitless injection mode and fitted with a DB-5MS column (30 m \( \times \) 0.25 mm \( \times \) 0.25 \( \mu \)m; Agilent). The oven was programmed from 50 to 310 °C at 15 °C/min after an initial delay of 2 min and held at 310 °C for 10 min. Injector temperature was 280 °C, MS quadrupole temperature was 150 °C, MS source temperature was 230 °C, and transfer line temperature was 300 °C. CHCs were identified primarily based on their electron ionization mass spectra and Kovats indices on the DB-5 column. Methyl branch positions of 

&emsp; \( n \)-and \( \mu \)-dimethyalkanes were determined from characteristic even- and odd-mass fragments of their respective mass spectra (39) as well as...
by their calculated retention indices (40). We did not determine the positions of double bonds.

**PCA.** Chromatograms of queens, kings, male and female workers, and male and female soldiers were extracted from Agilent ChemStation (OpenLAB Chromatography Data System Edition C.01.06). Using queen and worker chromatograms, 21 relevant peaks were selected to discriminate among castes. Excluded peaks were typically <1% of total chromatogram area for all samples. To include samples from multiple GC runs, retention times were converted to Kovats retention indices using the formula for temperature-programmed chromatography. All peaks were normalized to the n-C28 internal standard, and area percentages were input into the PCA matrix. PCA was conducted in JMP (41).

**Transfer of Queen Extracts to Glass Dummies.** A colony from Lake Johnson Park was divided into groups of 30 workers and 2 soldiers in 5 Petri dishes and allowed to acclimate for 7 d. Individual workers and neotenics queens were extracted in hexane (200 μL per individual), and extracts were reduced and applied to glass dummy forms from melted Pasteur pipettes (∼2 mm × ∼6 mm). Before every assay, dummies were rinsed in hexane and dried, and 20 μL of extract was applied onto each dummy in a glass Petri dish and allowed to dry for 5 min before introduction into assay dishes. Final concentrations of extracts applied to dummies were three queen equivalents per 1 μL, six worker equivalents per 20 μL for worker controls, and hexane as a negative control. Dummies were added and observations began 2 min after introduction to settle the termites. Lateral shaking and antennation events performed by resident termites in response to coated glass dummies were recorded during a 5 min period. The bioassays tested one dummy per Petri dish from one colony (n = 5 dishes for queens and 10 dishes for worker and hexane controls, with a rest period of at least 24 h between assays.

**Application of Henesicane to Glass Dummies.** Two colonies of termites were collected from Lake Johnson Park for use in this assay. We tested whether n-C21 or n-C24 applied to glass dummies could elicit shaking behavior and antennation. Tetracosane was included as a control because it is present at similar relative amounts in the cuticular extracts of all castes (Fig. 2). We hypothesized that worker extracts might enhance behavioral responses by presenting a familiar termite or nestmate chemical context to termites in the assay. Therefore, each of the two hydrocarbons was tested alone and in combination with termite worker extracts, and we tested worker extract alone and hexane as negative controls. Extracts were created as described above and applied to dummies for the assay. For n-C21 and n-C24, we applied 0.1, 1, and 10 μg per dummy, and in treatments with worker extracts, we used two worker equivalents per dummy to match the mass of CHCs typically found on a neotenic queen. A treated dummy was introduced, and after 2 min we recorded termite behavior for 5 min. These bioassays tested one dummy per Petri dish with two colonies (n = 10 dishes) for each treatment. Experimental groups were observed in multiple assays, with a rest period of at least 24 h between assays.

**Acknowledgments.** We thank Paul Labadie for help collecting termites during the administrative stay at Historic Yates Mill County Park, Lake Johnson Park, and Carl Alvin Schenck Memorial Forest for their support during our project. This investigation was supported, in part, by the Blanton J. Whitmore endowment at North Carolina State University.

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