Modeling Analysis on Germination and Seedling Growth Using Ultrasound Seed Pretreatment in Switchgrass

Quanzhen Wang1*, Guo Chen1, Hayixia Yersaiyiti1, Yuan Liu1, Jian Cui2, Chunhui Wu1, Yunwei Zhang3, Xueqing He1,4

1 Department of Grassland Science, College of Animal Science and Technology, Northwest A&F University, Yangling, Shaanxi Province, People’s Republic of China, 2 Department of Plant Science, College of Life Science, Northwest A&F University, Yangling, Shaanxi Province, People’s Republic of China, 3 Institute of Grassland Science, College of Animal Science and Technology, China Agricultural University, Beijing, People’s Republic of China, 4 College of Pastoral Agriculture Science and Technology, Lanzhou University, Lanzhou, People’s Republic of China

Abstract

Switchgrass is a perennial C4 plant with great potential as a bioenergy source and, thus, a high demand for establishment from seed. This research investigated the effects of ultrasound treatment on germination and seedling growth in switchgrass. Using an orthogonal matrix design, conditions for the ultrasound pretreatment in switchgrass seed, including sonication time (factor A), sonication temperature (factor B) and ultrasound output power (factor C), were optimized for germinating and stimulating seedling growth (indicated as plumular and radicular lengths) through modeling analysis. The results indicate that sonication temperature (B) was the most effective factor for germination, whereas output power (C) had the largest effect on seedling growth when ultrasound treatment was used. Combined with the analyses of range, variance and models, the final optimal ultrasonic treatment conditions were sonication for 22.5 min at 39.7°C and at an output power of 348 W, which provided the greatest germination percentage and best seedling growth. For this study, the orthogonal matrix design was an efficient method for optimizing the conditions of ultrasound seed treatment on switchgrass. The electrical conductivity of seed leachates in three experimental groups (control, soaked in water only, and ultrasound treatment) was determined to investigate the effects of ultrasound on seeds and eliminate the effect of water in the ultrasound treatments. The results showed that the electrical conductivity of seed leachates during either ultrasound treatment or water bath treatment was significantly higher than that of the control, and that the ultrasound treatment had positive effects on switchgrass seeds.

Introduction

Recently, interest in biofuels has grown rapidly in response to the rising costs of fossil fuels and increasing public concern about environmental issues such as climate change. Switchgrass (Panicum virgatum L.), a productive warm-season pasture grass, is a perennial C4 plant that thrives in a wide range of North America habitats [1,2] and has been identified as an important crop for biomass energy because of its abundant biomass [3,4], excellent nutrient use efficiency, favorable feedstock costs [5,6], and broad adaptability [7–10]. Switchgrass contains abundant sugars in the form of cellulose and hemicellulose, which can be converted to bioethanol by hydrolysis and subsequent fermentation [11,12]. Therefore, switchgrass productivity affects its value for conversion to energy. Seed dormancy and slow seedling establishment are two major concerns in switchgrass production, often resulting in a poor stand with reduced productivity [13].

Many researchers have studied methods for improving germination and seedling growth, such as seed priming, hardening, humidification, growth regulators and dry heat treatments [14–16]. Many treatments also have been used for switchgrass. When soaked in 5.25% sodium hypochlorite for 15 min or 18 M H2SO4 for 10 min or 0.2% KNO3 for 14 days, switchgrass seed vigor improved [17,18], and sodium nitroprusside significantly promoted seed germination at 25°C [19].

Seed priming is effective for partially hydrating the seed to a point where the germination processes begin but are not completed. Various seed priming techniques have been developed, including hydropriming (soaking in water), halopriming (soaking in inorganic salt solutions), osmopriming (soaking in solutions of different organic osmotica), thermopriming (treatment of seed with low or high temperatures), solid-matrix priming (treatment of seed with solid matrices), and biopriming (hydration using biological compounds) [14]. When switchgrass seeds were mixed with particulate solid matrix materials, treatment at 17°C for 2 days and wet-chill treatment at 4°C for 14 days, seedling emergence increased by 35% and 150%, respectively. Shen found that germination can be increased up to ≥80% with 14 d of...
stratification [20]. However, such seed priming was usually longer than 2 days, and some priming methods require nearly half a month, which is time-consuming. By contrast, ultrasound treatment, which requires only an ultrasound generator, can cause heat effects, mechanical effects, and chemical effects on seeds within a short time. Ultrasound treatments have been reported to stimulate germination in many different types of plants, such as Calanthe hybrids, bean [21], corn [22], barley [23], fern spores [24], alfalfa and broccoli [25], chickpea, wheat, watermelon and pepper [26,27]. Methods to enhance switchgrass seed germination and seedling growth might be effective; however, there is no information available about the effects of ultrasound on switchgrass seed.

This research investigated the effects of ultrasound treatment on germination and seedling growth in switchgrass. To optimize the conditions for ultrasound treatment, four levels of three parameters (sonication time, sonication temperature, and ultrasound output power) were considered via orthogonal array. Orthogonal array design, as a chemometric method for optimizing an analytical procedure, has been adopted in various areas [29]. This method may minimize the assay number and time required. Moreover, considering any pair of columns, all possible combinations of factor levels appear and within the same time; orthogonal designs are balanced and separable, which provides reliable and optimal results [28]. Therefore, the orthogonal matrix design of L16(45) was used in this research, and the germination percentage (GP), plumular length (PL) and radicular length (RL) were used as the experimental indicators [29].

### Results

**Orthogonal Analyses**

The results of orthogonal experiments revealed that the highest PL and RL in the sixteen treatments were observed at conditions of A2B2C2 (sonication at 35°C, ultrasound output power of 300 W and a sonication time of 15 min) (Tables 1 and 2). The highest GP in the sixteen treatments was under conditions of A3B3C2 (sonication at 45°C, ultrasound output power of 300 W and a sonication time of 25 min) (Tables 1 and 2).

The results of the range analyses showed that factor B (sonication temperature) had the highest R value (9.40) in GP (Table 3), whereas the range of factor A (sonication time) and C (ultrasound output power) were respectively highest in RL (Table 4) and PL (Table 5). We inferred that C (ultrasound output power) exhibited the largest effect on PL (Table 4) growth but B had the largest effect on GP (Table 3). By contrast, the effect of factor A (sonication time) on RL had the largest R, whereas GP and PL had the lower R values, respectively. The impact of the factors on GP, PL and RL (in decreasing order) were B-A-C, C-B-A, and A-C-B, respectively (Tables 3 to 5).

According to the orthogonal method, the highest level of the averages corresponded to the optimal conditions [28]. The

### Table 1. Assignment of the levels and factors in the experimental design using an orthogonal matrix L16(45).

| Factors* | A (min) | B (°C) | C (W) | D (vacancy) |
|----------|---------|--------|-------|-------------|
| Level I  | 5       | 25     | 200   | –           |
| Level II | 15      | 35     | 300   | –           |
| Level III| 25      | 45     | 400   | –           |
| Level IV | 35      | 55     | 500   | –           |

*Columns A, B and C represent the sonication time, sonication temperature and ultrasound output power, respectively. Column D stands for vacancy to account for the statistical error.

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### Table 2. The L16(45) matrix associated with the analytical results.

| Treatments | A (min) | B (°C) | C (W) | D (vacancy) | Germination percentage (%) | Plumular length (mm) | Radicular length (mm) |
|------------|---------|--------|-------|-------------|---------------------------|----------------------|-----------------------|
| 1          | 1       | 1      | 4     | 3           | 66.92±15.44               | 36.77±9.24             | 11.39±4.21            |
| 2          | 2       | 1      | 1     | 1           | 75.31±11.10               | 37.47±9.89             | 13.34±5.03             |
| 3          | 3       | 1      | 3     | 4           | 77.62±19.60               | 38.53±8.93             | 14.98±6.74             |
| 4          | 4       | 1      | 2     | 2           | 81.08±14.38               | 38.64±9.20             | 14.14±6.63             |
| 5          | 1       | 2      | 3     | 2           | 83.08±16.44               | 37.56±9.48             | 14.13±5.89             |
| 6          | 2       | 2      | 2     | 4           | 88.77±12.59               | 41.33±7.57             | 18.40±7.57             |
| 7          | 3       | 2      | 4     | 1           | 83.00±17.58               | 39.55±9.42             | 16.28±6.71             |
| 8          | 4       | 2      | 1     | 3           | 83.69±15.39               | 38.56±9.25             | 13.34±6.10             |
| 9          | 1       | 3      | 1     | 4           | 81.00±19.50               | 35.60±9.02             | 12.35±5.02             |
| 10         | 2       | 3      | 4     | 2           | 84.69±13.08               | 39.69±8.68             | 15.47±5.92             |
| 11         | 3       | 3      | 2     | 3           | 90.12±11.44               | 41.05±9.32             | 18.32±7.59             |
| 12         | 4       | 3      | 3     | 1           | 79.69±15.04               | 38.36±9.31             | 14.09±7.16             |
| 13         | 1       | 4      | 2     | 1           | 76.07±17.05               | 38.02±8.55             | 13.38±5.83             |
| 14         | 2       | 4      | 3     | 3           | 77.38±11.80               | 37.59±9.82             | 13.15±7.04             |
| 15         | 3       | 4      | 1     | 2           | 81.85±19.09               | 36.84±10.02            | 13.72±6.29             |
| 16         | 4       | 4      | 4     | 4           | 77.23±24.97               | 34.91±8.52             | 11.87±4.57             |

Values are the means ± S.D. of the experiments. Means with the same lower letters are not significantly different at P<0.05. The experiment was calculated for three replicates. The first experiment consisted of four subsamples (16×4 dishes in all); the second and third experiments consisted of three subsamples, respectively (16×3×2×2 dishes in all). The total samples of GP were 160. Each subsample of PL and RL was respectively calculated for ten seeds. PL and RL of 2560 seeds (16×4×10×16×3×2×2×10) were respectively measured. The highest values of GP, PL and RL are highlighted in bold for the 16 treatments.

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The effects of factor B and its interactions with the other two factors on GP, PL, and RL are shown in Fig. 1a, c, Fig. 2a, c, and Fig. 3a, c. The peak points for GP with factors B and A (Fig. 1a) or factors B and C (Fig. 1c) were 40.6°C and 40.7°C, respectively. The peak points for PL with factors B and A (Fig. 2a) or factors B and C (Fig. 2c) were 37.3°C and 37.8°C, respectively. The optimal sonication temperature peaks for RL were 39.0°C (Fig. 3a) and 39.1°C (Fig. 3c).

The effects of factor C are shown in Fig. 1b, c, Fig. 2b, c, and Fig. 3b, c. The peak points for GP were 304.2 W and 303.6 W for factors C and A (Fig. 2b) and factors B and C, respectively, whereas the peak point for PL was 347.4 W if factors C and A were considered (Fig. 2b) and 350.9 W if factors B and C were considered (Fig. 2c). For RL, the optimal ultrasound output power peaks were 348.0 W and 348.4 W, when factors C and A (Fig. 2b) and B and C were respectively considered (Figs. 3 b and 3 c).

**Optimized Conditions**

Six optimal values were obtained from the response surface analysis for each factor (Figs. 1, 2 and 3). The mode was the statistically thickest value. Therefore, the mode was used as the final optimal value. For factor A (sonication time), the six values were 23.2, 23.1, 21.8, 21.1, 21.0, and 21.0 min; the mode was 22.5. For factor B (sonication temperature), the six values were 40.6, 40.7, 37.3, 37.8, 39.0, and 39.1°C; the mode was 39.7. For factor C (ultrasound output power), the six values were 304.2, 303.6, 347.4, 350.9, 348.0, and 348.4 W. The mode was 348. Thus, the final optimal conditions were sonication for 22.5 min, sonication temperature at 39.7°C, and output power of 348 W.

**Test of the Sonication Effects (Experiment 2)**

To investigate the effects of ultrasound on seed while eliminating the effect of water in the ultrasound treatments, the electrical conductivity of seed leachates in the three experimental groups (CONTROL, water, and ultrasonic) were determined (Table 8). The optimal conditions were used in the ultrasound treatment, and temperature and time of the water treatment were the same as those of the ultrasound treatment. The algorithmic models generated from the experimental data of electrical conductivity of seed leachates were estimated using the logarithmic models shown in Fig. 4. The regression models of electrical conductivity of seed leachates agreed with the experimental results, with R-squared values of 0.821, 0.918, and 0.964, respectively.

The results in Table 8 show that with all the treatments, electrical conductivity of seed leachates significantly (p<0.05)
Discussion

After 8, 12, 24 h of soaking (p < 0.05), meaning that both water and ultrasound have effects on switchgrass seed during the ultrasound treatment.

Many different mechanisms have been suggested to explain the effect of ultrasound on seeds. The main conclusion is that when ultrasound is used, pressure fluctuations cause the formation, growth, and violent collapse of microbubbles in the sonication liquid. The bubble collapse leads to physical, biological and chemical changes in seed. Ultrasound treatment increased the moisture content of chickpea, wheat, pepper and watermelon seeds early during treatment periods [27]. Such results suggest that enhancement of seed germination is the result of mechanical or shear effects from large and rapid oscillations in bubble size, which lead to the disruption of plant cell walls and thereby increase water uptake by the cells. Additionally, alpha-amylase levels of barley seeds with ultrasound treatment were greater than that of the control [30]. Scouten reported that in combination with chemicals and heat, ultrasound treatment of alfalfa seeds at 38.5 to 40.5 kHz could improve the killing of Salmonella and E. coli O157:H7, which live in alfalfa seeds [31].

Because the seeds were soaked in water, it is possible that both water and ultrasound were important during the ultrasound treatment. The effect of water on seeds has been reported [32–34]. Soaking seeds in water before sowing and drying after soaking, which is called hydropriming, is a simple method to enhance seed germination and seedling emergence. Hydropriming reduces the inhibitory activities of trypsin-like proteolytic enzymes, increases α-amylase activity, and alters the mobilization of both inorganic and organic substances from storage organs to the developing embryo in some species [14]. These results coincide with the results of this study that ultrasound treatments improve the germination and enhance the seedling growth of switchgrass.

During a slow hydration process, membranes reorganize to attain their original structure, which increases membrane integrity. Rapid hydration may cause leakage of essential nutrients from, damaging the seed and allowing the leaching of sugars, amino acids, and minerals [35]. Electrical conductivity of seed leachates is usually negatively related to seed vigor [36]. Electrical conductivity of both ultrasound and water bath treatments were significantly greater than those of the control after 8, 12 and 24 h of soaking (p < 0.05) in this study, but the plumular length of switchgrass was 25.75 mm. It is possible that ultrasonic treatments have both inhibitory and positive effects on switchgrass seedling growth, which is called hydropriming, is a simple method to enhance seed germination and seedling emergence. Hydropriming reduces the inhibitory activities of trypsin-like proteolytic enzymes, increases α-amylase activity, and alters the mobilization of both inorganic and organic substances from storage organs to the developing embryo in some species [14]. These results coincide with the results of this study that ultrasound treatments improve the germination and enhance the seedling growth of switchgrass.

Table 6. Variance analyses for the model, for each experimental factors and among them.

| Source (factors) | DF | Germination percentage (%) | Plumular length (mm) | Radicular length (mm) |
|-----------------|----|---------------------------|---------------------|---------------------|
|                 |    | F Value | Pr>F | F Value | Pr>F | F Value | Pr>F |
| A               | 3  | 23.22 | <.0001 | 14.02 | <.0001 | 34.74 | <.0001 |
| A*A            | 3  | 3.76 | 0.0110 | 6.43 | 0.0003 | 21.67 | <.0001 |
| B               | 3  | 65.31 | <.0001 | 14.87 | <.0001 | 25.39 | <.0001 |
| B*B            | 3  | 8.78 | <.0001 | 6.72 | 0.0002 | 14.48 | <.0001 |
| C               | 3  | 20.99 | <.0001 | 17.42 | <.0001 | 26.89 | <.0001 |
| C*C            | 3  | 2.66 | 0.0229 | 4.83 | 0.0024 | 8.59  | <.0001 |
| D               | 3  | 2.43 | 0.0649 | 2.12 | 0.0958 | 0.49  | 0.7335 |
| E               | 15 | 24.51 | <.0001 | 10.22 | <.0001 | 17.63 | <.0001 |
| F               | 2  | 1227.17 | <.0001 | 1374.08 | <.0001 | 282.06 | <.0001 |
| A*B            | 9  | 4.24 | <.0001 | 7.40 | <.0001 | 9.34  | <.0001 |
| B*C            | 9  | 5.21 | <.0001 | 6.27 | <.0001 | 11.96 | <.0001 |
| A*C            | 9  | 4.13 | <.0001 | 6.55 | <.0001 | 8.84  | <.0001 |
| Model          | 56 | 8.99 | <.0001 | 27.65 | <.0001 | 24.32 | <.0001 |

*A, B and C represent the sonication time, sonication temperature and ultrasound output power, respectively.

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Table 7. Multivariate Analysis of Variance - MANOVA Test Criteria and F Approximations for the Hypothesis of No Overall g Effect.

| Statistic       | Value  | F Value | Num DF | Den DF | Pr > F |
|-----------------|--------|---------|--------|--------|--------|
| Wilks’ Lambda   | 0.35553379 | 535.25  | 4      | 3162   | <.0001 |
| Pillai’s Trace  | 0.67105241 | 399.42  | 4      | 3164   | <.0001 |
| Hotelling-Lawley Trace | 1.73789388 | 686.76  | 4      | 1896.2 | <.0001 |
| Roy’s Greatest Root | 1.69374420 | 1339.75 | 2      | 1582   | <.0001 |

H = Type III SSCP Matrix for g, E = Error SSCP Matrix. S = 2, M = –0.5, N = 789.5.
F Statistic for Roy’s Greatest Root is an upper bound. F Statistic for Wilks’ Lambda is exact.

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Figure 1. Response surface plots showing the parameter effects on the germination percentage in switchgrass by ultrasound irradiation. (a) Germination percentage vs. sonication time and sonication temperature; (b) Germination percentage vs. sonication time and ultrasound output power; (c) Germination percentage vs. sonication temperature and ultrasound output power.

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Figure 2. Response surface plots showing the parameter effects on the plumular length in switchgrass by ultrasound irradiation. (a) Plumular length vs. sonication time and sonication temperature; (b) Plumular length vs. sonication time and ultrasound output power; (c) Plumular length vs. sonication temperature and ultrasound output power.

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species. In this study, the final optimal conditions were sonication for 24 min at 41°C and at an output power of 320 W.

All of the surface plots were convex, and maxima were apparent in the central region (Figures 1, 2 and 3). Furthermore, most peak values were close to those obtained from the range analysis, which indicates that the optimal conditions by model analysis are reliable. Based on these results, each optimal level of factors was not high and was set in the middle, which suggested that dual effects may exist during ultrasound treatment. When a longer time, greater temperature, or ultrasound output power is used, physical or chemical damage occurs, which is caused by the pressure induced by the ultrasonic wave. Ultrasound treatments longer than 5 min had a negative impact on pepper germination rates [27]. The heavily damaged region was observed within 200 min from the ginger particle surface when ultrasound was used [39]. Moreover, one study reported that prolonged treatment time (≥7 min) increased the percentage of destroyed embryos of seeds, which leads to decreased germination rates [26].

Conclusions

In this study, an orthogonal matrix design was an efficient method for optimizing the conditions of ultrasound treatment on switchgrass seeds. Sonication temperature (B) was the most effective factor for germination, whereas the output power (C) exhibited the largest effect on seedling growth for the ultrasound treatment. Combined with the analysis of range, variance and models, the optimal ultrasonic treatment conditions for improving germination and seedling growth were sonication for 22.5 min at 39.7°C and at an output power of 348 W. The electrical conductivity of seed leachates from either ultrasound treatment or water bath treatment were significantly greater than those of the control at 8, 12 and 24 h of soaking (p<0.05), which demonstrated that ultrasound has positive effects on switchgrass seeds, improving seedling growth. In conclusion, the results above provide a basic evidence for applying ultrasound to pretreat switchgrass seeds. In addition, as a simple, cheap and time saving method, ultrasound treatment has the potential to be used in improving seedling growth, thereby improving the final yield of switchgrass.

Materials and Methods

Two experiments were performed. One experiment evaluated the sonication effect on switchgrass germination and seedling growth using an orthogonal matrix design. The other experiment investigated the mechanism of the effects on seeds. In the second trial, the electrical conductivity of seed leachates under optimal treatment, water-soaking treatment, and control treatment were investigated. Untreated seeds were used as a control. Because the seeds were soaked in water when ultrasound was used, the water-soaking treatment, whose temperature and time were the same as those for ultrasonic treatment, was also performed to eliminate the effect of water in the ultrasound treatments.

Seed Materials and Instrumentation

Switchgrass seed materials were gotten from The Institute of Soil and Water Conservation of Chinese Academy and China Agricultural University. This study was performed at the Laboratory of Grassland Science Department, Northwest A&F

![Figure 4](https://example.com/figure4.png)
Table 8. Electrical conductivity of switchgrass* of the three treatment groups: control, seeds soaked in water and soaked in water in ultrasonic device after 4 to 24 h of soaking.

| Time after soaking | 4 (h) | 8 (h) | 12 (h) | 24 (h) |
|--------------------|-------|-------|--------|--------|
| Control            | 8.31^b | 10.40^c | 11.63^b | 10.90^c |
| Soaks in water only| 8.48^a | 10.64^b | 12.30^b | 11.87^b |
| Ultrasound treatment| 8.33^a | 10.80^b | 12.78^b | 12.81^b |

*Values are the means of three repetitions in the experiments. Means within the columns (indicated by different letters) are significantly different at P<0.05.

Ultrasonic irradiation was produced by an ultrasound generator (KQ-500DE, Kunshan Ultrasound Instrument Co., Ltd., China). The ultrasound generator had a fixed frequency of 40 kHz, an adjustable temperature ranging from 10 to 80 °C, a sonication bath capacity of 22.5 L (500 x 300 x 150 mm) with water inlet and outlet valves, and an adjustable ultrasound output power from 200 to 500 W. In addition, an electro-thermal constant-temperature oven (DHG-9140A, Shanghai Yiheng Instrument Co., Ltd., China) and a plant incubator (ZPW-400, Harbin DongTou SG-Tech Development Co., Ltd., China) and an electronic analytical balance (YP1200, Shanghai Science and Industrial Co., Ltd., China) were used.

Statistical Analysis

Data were subjected to analysis of variance (ANOVA) using the SAS software (version 8.2) [40]. Differences between the means were tested by the Student-Newman-Keuls test, and values of p<0.05 were considered significantly different.

For generic results, the variables (factors A, B and C) were denoted by X1, X2 and X3. The dependent variables, the GP, PL and RL were denoted by Y1, Y2 and Y3, respectively. These variables were approached and analyzed via pair-wise, variable (X1 and X2, X1 and X3, and X2 and X3) quadratic regression models [41–43]:

\[
Y = \sum_{i=1}^{2} (\beta_{i} X_{i+1}) + u \quad \ldots \ldots \ldots \ldots (i = 1, 2; j = 1, 2) \quad (1)
\]

where \( \beta \) is constant.

Response surface and contour charts are respectively graphed for Y1, Y2 and Y3 with their corresponding variables (X1, X2 and X3) (Figs. 1, 2 and 3). The analyses and graphical procedures specified above were performed using SAS (v8.2) [50].

Six responding values were respectively obtained from the quadratic analysis for each factor (A, B and C). The mode was considered as the thickest value [42]. The mode of the six values was calculated using the following formulas:

\[
M_0 = L + \frac{\Delta_1}{\Delta_1 + \Delta_2}d \quad (2)
\]

\[
M_0 = U - \frac{\Delta_1}{\Delta_1 + \Delta_2}d \quad (3)
\]

where \( L \) is the lower-limit value of the array where the mode was located. \( U \) is the upper limit value of the array where the mode was located. \( \Delta_1 \) is the distance of the frequency between the lower adjacent array and the mode array. \( \Delta_2 \) is a distance of the frequency between the upper adjacent array and the mode array; \( d \) is the distance between the arrays.
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Author Contributions

Conceived and designed the experiments: QW GC JC. Performed the experiments: GC YL HY. Analyzed the data: QW GC CW. Contributed reagents/materials/analysis tools: YZ XH. Wrote the paper: GC QW.