Deep ensemble neural networks for recognizing isolated Arabic handwritten characters

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Abstract

In recent years, handwritten character recognition has become an active research field. In particular, digitalization has triggered the interest of researchers from various computing disciplines to address several handwriting related challenges. Despite these efforts, there are still opportunities for the development and improvement of the recognition of the handwritten Arabic letters. In this paper, we designed and developed a deep ensemble architecture in which ResNet-18 architecture is exploited to model and classify character images. Specifically, we adapted ResNet-18 by adding a dropout layer after all convolutional layer and integrated it in multiple ensemble models to automatically recognize isolated handwritten Arabic characters. A standard Arabic Handwritten Character Dataset (AHCD) was used in the experiments to train and assess all the proposed models. Satisfactory results were obtained using all models. The best attained accuracy was 98.30% using a typical ResNet-18 model. Similarly, 98.00% and 98.03% accuracies were obtained using an ensemble model with one fully connected layer (1 FC) and an ensemble with two fully connected layers (2 FC) coupled with a dropout layer, respectively.

Keywords

Handwriting character recognition, Arabic, OCR, Online recognition, Handwritten recognition.

1. Introduction

The widespread use of the Arabic language and the vast number of Arabic books and documents in several Arab and international countries has promoted researchers' interest in handwritten characters recognition \cite{1}. In particular, these efforts were mainly intended to digitize a large number of historical Arabic documents and ease the exploitation of the knowledge they enclose.

The Arabic Handwritten Character Recognition (AHCR) problem has been formulated as a typical pattern recognition problem. Specifically, as depicted in Figure 1, the earliest AHCR systems include components shared by various image-based pattern recognition applications such as medical image classification, cancer detection, biometric, etc. The main purpose of the pre-processing phase is to eliminate the effectiveness of noise, writing size, style differences, and duplicated points \cite{2}.

The five main steps of pre-processing are size normalization, de-hooking or interpolating missing points, smoothing, slant correction or duplicated point removal, and resampling of points, as shown in Figure 2.

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The segmentation phase represents the process of partitioning words or sub-word into characters, strokes, or other units. It is divided into two main approaches; holistic and analytical approaches. One of the critical stages in handwriting recognition systems is the feature extraction which can be defined as the process of capturing the visual properties of the letter, such as shape attributes, pixels, and other information passed to the recognizer. In different languages, the written text can be extracted using three types of features, statistical features, structural features and feature space transformation [3]. Finally, the recognition phase consists typically of shallow supervised machine learning models intended to map the extracted feature vectors into some pre-defined classes [4].

Despite the researchers’ efforts, the recognition of Handwritten Arabic Script remains a challenging task due to the high variability of the Arabic characters. In fact, Arabic words are written from right to left. The letters are cursive. Moreover, some letters consist of more than one body (أ , آ , ك , ؤ , ئ). In addition, many letters have different numbers of dots with different positions in the Arabic alphabets, as shown in Table 1.

### Table 1 Dots number and position

| Number of Dots | Letters | Position       |
|----------------|---------|----------------|
| Single dot     | ب , ج , خ , ذ , ز , ض , ط , ص , ف , غ , ن | Above/middle/below |
| Double dots    | ت , ق , ي | Above/below     |
| Triple dots    | ش , ث | Above          |

This made the Arabic Handwriting recognition one of the most active research areas. Especially, researchers from machine learning and image processing communities showed real interest in addressing the various problems faced by this application. One should note that the handwriting styles of different individuals made the problem more acute. Notably, the performance of typical HCR solutions is drastically affected by the significant variance of the letter’s shapes, sizes and patterns [5].

The choice of the relevant features to encode the visual properties of the Arabic Handwritten Characters is a critical task due to the significant variation depending on the individuals writing styles. To overcome this challenge, an automated approach that can autonomously learn the visual descriptors and capture the letter relevant details might be deployed. Deep learning techniques can accomplish this by learning the most effective and classify them explicitly, after the emergence of successful deep learning architectures, such as ResNet[7], GoogleNet[8], VGGNet[9], AlexNet [10], … etc.

The objectives of this research can be summarized as: (i) Survey the Arabic handwritten character recognition systems, (ii) Design convolutional neural networks (CNNs) [11] models to address the isolated Arabic handwritten character recognition problem, and (iii) Use a standard dataset and performance measures to validate and assess the proposed system.

The rest of the paper is organized as follows: the related works of the HCR and Arabic HCR that have used the Arabic Handwritten Character Dataset (AHCD) discussed in Section 2. Section 3 explains the proposed approach for our experiments. Then, the experimental results are presented in Section 4. Finally, Section 5 provided the conclusion and future works.

### 2. Related works

The recent progress in handwriting recognition exploited deep learning performance to recognize characters and digits in different languages. The authors in [12] addressed the Chinese handwriting character recognition problem using a deep
convolutional network. Their experiments were done with various filter numbers and several depths by using 200 and 3755 class datasets. They concluded that the best performance was reached using more filters and deeper network. The researchers in [13] adopted the ResNet-18 model [7] and used it to recognize Bangla handwritten characters. In particular, the original ResNet-18 yielded 94.52% classification accuracy, while the modified ResNet-18 achieved 95.10%. In [14], a new CNN based system was proposed for Amharic character recognition. The dataset used by the authors includes 132,500 Amharic characters. The accuracies achieved were 91.83% and 90.47% on the training set and validation set, receptively.

The researchers in [15] investigated the performance of some pre-trained models. Namely, they deployed DenseNet-201 [16], DenseNet-121 [16], Vgg-11 [9], Vgg-16 [9], Vgg-19 [9], AlexNet [10] and InceptionV3 [15]. They trained these models using Devanagari Handwritten Character Dataset (DHCD) [17]. The Inception model overtook the other models and attained 99% accuracy. AlexNet achieved 98% accuracy for approximately two minutes running time, while the inception model requires 16 minutes per epoch. The authors in [18] proposed a CNN-based model to recognize Arabic handwritten letters. They collected their own dataset, which contains 16800 images divided into two sets. The first one contains 13440 images for training, while the second set includes 3360 images for testing. The obtained accuracy on the test data attained 94.9%. In [19], two Arabic databases, AIA9K [20] and AHCD [18], were coupled with a convolutional neural network-based system for Arabic handwritten character classification. They trained their system using some new regularization, different learning rate and optimization techniques. It was noted that batch normalization and dropout techniques were used to enhance the overall system performance and reduce the overfitting. In addition, the two datasets were divided into three sets: training, validation and testing. The maximum accuracy reached for AHCD dataset was 94.7%, while it attained 94.8% for AIA9K dataset. Finally, a data augmentation was performed for AHCD dataset, and the accuracy increased to 97.4%.

Similarly, a CNN based architecture consisting of four convolution layers, 4 Rectified Linear Units (ReLU), two max pooling layers and three fully connected layers was investigated in [21]. The average accuracy was 97.2% using the testing images set of AHCD. Recently, a typical CNN based architecture was implemented in [22] for Arabic Handwritten Character recognition. The authors selected 13,440 images randomly to train the provided CNN model while the remaining images were used for testing. However, in criticizing the model the experiments were not detailed enough to show all the steps. It was roughly reported that the accuracy exceeds 98%.

Another convolutional neural network-based model for handwriting recognition was outlined in [23]. It is an automatic model that can be used for offline recognition of Arabic Handwriting. This model was trained using Hijja [23] and AHCD [18] datasets, which were divided into three subsets with ratios 60% for training, 20% for validation and 20% for testing. The trained models achieved 88% accuracy using Hijja dataset [23] versus 97% using AHCD dataset [18].

Table 2 summarizes the relevant related works which used the standard AHCD [18] dataset along with deep learning-based solutions.

| References & publication year | Data sets partitions | Methods | Testing accuracy |
|-------------------------------|----------------------|---------|------------------|
| [18] 2017                     | 13440 Training images 3360 Testing images | CNN | 94.9% |
| [19] 2017                     | 13440 Training images 3360 Testing images | CNN models with regularization parameters With data augmentation | 94.7% 97.4% |
3. Proposed approach

3.1 Residual Network (ResNet)

ResNet is a pre-trained deep learning neural network which can exhibit various sizes of deep layers. It is a traditional feedforward network that has several blocks of residual connection [24]. It was used for several pattern recognition applications and proved to be highly effective. In this work, we rely on ResNet-18 [7] to build our customized model. The proposed architecture is intended to enhance the accuracy of handwritten Arabic letters recognition. ResNet-18 consists of 18 deep convolutional neural network layers. As illustrated in Figure 3, the proposed ResNet model relies on a combination of Convolution and Rectified Linear Units (ReLU) layers.

The two proposed architectures are: (i) An ensemble of a typical ResNet-18 and a modified ResNet-18 with one fully connected, and (ii) A similar ensemble where 2 FC are added to both, the original and the modified ResNet-18. Figure 4 illustrates the detailed Architectures of the proposed ensemble models with 1 FC and an ensemble with 2 FC.

The rationale behind the proposed deep learning-based ensemble approach is the exploitation of the individual deep network’s diversity for a more accurate decision. In other words, it is meant to fuse the features learned by both networks in order to improve the classification performance.

The main models also are divided into two main parts; with and without dropout layer before the fully connected layers, as shown in the categories of the proposed models depicted in Figure 5.

In the proposed model, first we divided the data into three sets: training set, validation set and testing set. The testing set which has not been seen by the system before is the most important set to evaluate the system, so that the results are guaranteed and accurate. After that, the images are improved and increased by the pre-processing step and data augmentation. Then, we started train the proposed model that shown in the previous Figure 4. Finally, the trained CNN model is saved and tested using validation set and testing set to evaluate the system. All the steps of the proposed recognition system are shown in Figure 6.

The images in the input layer have been resized to fit the size of the images in the ACHD. Then, we added the dropout layer after all conv layers to reduce overfitting. Finally, we trained the dataset with a different number of epochs and mini-batch sizes.
Figure 4 Proposed ensemble architectures
3.3 Data augmentation
In this work, we performed data augmentation as a strategy to extend the dataset and increase the number of images and their variability without the need to collect more data [15]. This data augmentation addresses the overfitting problem from the root (training phase) and improves the deep learning model generalization. Hence, it assumes that more information can be captured from the original image collection through simple operations.

For the Arabic Handwritten Character Dataset (AHCD), we varied the character directions. The image probability was reflected with 50% horizontally and vertically. We set the range of image rotation to be within the range [-30 30].

4. Experiments
4.1 Dataset
For the study we used the Arabic Handwritten Character Dataset (AHCD) [18] to validate and assess the performance of the proposed system. This dataset has been commonly used by most handwriting recognition researchers to recognize isolated Arabic handwritten characters. Figure 7 shows a sample of the Arabic letters from AHCD, which were collected by 60 participants aged 19 to 40. As can be seen in Figure 7, each row represents ten tentative writings of a given letter.

This dataset is composed of a training set and a testing set. The training set consists of 13440 images, where each letter is represented using 480 images. Similarly, the testing set includes 3,360 images, where each letter is represented using 120 images. This makes a total of 16800 images for all dataset characters.

Furthermore, in the context of the proposed deep learning models, we divided the dataset into three sets: training, validation, and testing sets. This would naturally avoid overfitting the data, especially when tuning the model parameters. In other words, the testing set was not used to train the model or tune its parameters. The partition adopted is reported in Table 3.
The initial exploration of the dataset showed that 1023 letters that have similar stroke were wrongly labeled. For instance, the letter "Zai" was labeled as "Raa", and the letter "Taa" was labeled as "Thaa" and so on. Additionally, as preprocessing, we deployed some image enhancement operations to improve the quality of the letter images. Figure 8 shows a sample of noisy images from the dataset prior to the preprocessing phase.

Similarly, Figure 9 illustrates the color inversion task conducted as a preprocessing step to all images.

4.2 Models parameters
The proposed models have too many layers illustrated in Table 4. We trained the network using a different number of epochs and mini-batch sizes, as shown in Table 4, which significantly affected the results. After several tests, the best accuracies were obtained with 16 batch sizes and 100 epochs.

| Models | 1 FC | 1 FC With DropOutL | 2 FC | 2 FC With DropOutL |
|--------|------|--------------------|------|--------------------|
| Layers | 160  | 161                | 161  | 163                |
| # Epochs | 256, 128, 64, 32, 16, and 8 | 161 | 163 |
| miniBatchSize | 100, 40, 30, and 15 | Gradient descent |
| Optimization | 0.9 |
| Momentum | 0.1 |
| LearnRateDropFactor | 0.1 |

4.3 Computing resources
Our experiments were conducted using a customized desktop computer with an intel core i9-7960x skylake-x processor, overclock 4100mhz, 32GB of RAM and 2x NVIDIA GeForce RTX 2080 Ti NVLink GPUs.

4.4 Performance measures
Traditional evaluation and performance measures were used to evaluate our models such as accuracy, error rate, precision, recall and specificity calculated using the following equations.

\[
\text{Accuracy} = \frac{TP + TN}{TP + TN + FP + FN} \quad (1)
\]

\[
\text{Error Rate} = \frac{FP}{\text{Total number of test images}} \quad (2)
\]

\[
\text{Precision} = \frac{TP}{TP + FP} \quad (3)
\]

\[
\text{Recall} = \frac{TP}{TP + FN} \quad (4)
\]
Specificity = \frac{TN}{TN + FP} \tag{5}

Where TP, TN, FP and FN in the confusion matrix denote true positive, true negative, false positive, and false negative, respectively.

5. Results and discussion

As outlined above in this research, we investigated several ResNet-18 based deep models in order to address the Handwritten Arabic Character recognition problem. Namely, we implemented and deployed the typical Resnet-18, ensemble models based on 1 FC with/without a dropout layer, and ensemble models based on 2 FC with and without a dropout layer.

Table 5 reports the training performance measures obtained using the different models implemented and deployed to solve the Handwritten Arabic characters' recognition on the original and the pre-processed dataset. As expected, all models achieved considerably high accuracy and precision. This proves that all models succeeded to fit both training datasets.

Similarly, Table 6 reports the results obtained using the considered models along with the validation sets extracted from the original and the pre-processed dataset. As one can see, the ensemble models based on 1 FC or 2 FC layers with a dropout layer outperform the other models slightly in terms of all performance measures. This proves that adding the dropout layer ensures better generalization of the model and prevent overfitting. This finding holds for both, the original and the pre-processed dataset.

The obtained results proved that the pre-processed dataset led to better results than the original dataset, especially with the training and validation set, as shown in Table 5 and Table 6. Further investigation revealed that the proposed models faced some difficulty in recognizing the character “Sheen”, especially with the pre-processed dataset the average accuracies of the testing set was 69.6%. It was misclassified a considerable number of times, as reported in Table 8.

| Training set | Dataset | Acc    | Error | Precision | Recall | Specificity |
|--------------|---------|--------|-------|-----------|--------|-------------|
| Resnet-18    | Original | 0.9972 | 0.0028| 0.9972    | 0.0001| 0.9999      |
|              | Modified | 0.9984 | 0.0016| 0.9985    | 0.0001| 0.9999      |
| 1 FC         | Original | 0.9956 | 0.0044| 0.9958    | 0.0002| 0.9998      |
|              | Modified | 0.9979 | 0.0021| 0.9980    | 0.0001| 0.9999      |
| 1 FC With DropoutL | Original | 0.9943 | 0.0058| 0.9943    | 0.0002| 0.9998      |
|              | Modified | 0.9978 | 0.0022| 0.9979    | 0.0001| 0.9999      |
| 2 FC         | Original | 0.9969 | 0.0031| 0.9969    | 0.0001| 0.9999      |
|              | Modified | 0.9983 | 0.0017| 0.9984    | 0.0001| 0.9999      |
| 2 FC With DropoutL | Original | 0.9961 | 0.0039| 0.9962    | 0.0001| 0.9999      |
|              | Modified | 0.9977 | 0.0023| 0.9978    | 0.0001| 0.9999      |

| Validation set | Dataset | Acc    | Error | Precision | Recall | Specificity |
|----------------|---------|--------|-------|-----------|--------|-------------|
| Resnet-18      | Original | 0.9571 | 0.0429| 0.9584    | 0.0016| 0.9984      |
|                | Modified | 0.9580 | 0.0420| 0.9593    | 0.0016| 0.9985      |
| 1 FC           | Original | 0.9542 | 0.0458| 0.9557    | 0.0017| 0.9983      |
|                | Modified | 0.9592 | 0.0408| 0.9610    | 0.0015| 0.9985      |
| 1 FC With DropoutL | Original | 0.9622 | 0.0378| 0.9633    | 0.0014| 0.9986      |
|                | Modified | 0.9631 | 0.0369| 0.9642    | 0.0014| 0.9986      |
| 2 FC           | Original | 0.9551 | 0.0449| 0.9562    | 0.0017| 0.9983      |
|                | Modified | 0.9592 | 0.0408| 0.9610    | 0.0015| 0.9985      |
| 2 FC With DropoutL | Original | 0.9616 | 0.0384| 0.9626    | 0.0014| 0.9986      |
|                | Modified | 0.9610 | 0.0390| 0.9626    | 0.0014| 0.9986      |
Table 7 Testing set performance obtained using the original and the pre-processed datasets

| Model            | Dataset  | Acc     | Error   | Precision | Recall   | Specificity |
|------------------|----------|---------|---------|-----------|----------|-------------|
| Resnet-18        | Original | 0.9792  | 0.0208  | 0.9792    | 0.0008   | 0.9992      |
|                  | Modified | 0.9708  | 0.0292  | 0.9720    | 0.0011   | 0.9989      |
| 1 FC             | Original | 0.9753  | 0.0247  | 0.9758    | 0.0009   | 0.9991      |
|                  | Modified | 0.9667  | 0.0333  | 0.9682    | 0.0012   | 0.9988      |
| 1 FC With DropOutL | Original | 0.9744  | 0.0256  | 0.9748    | 0.0010   | 0.9991      |
|                  | Modified | 0.9729  | 0.0271  | 0.9743    | 0.0010   | 0.9990      |
| 2 FC             | Original | 0.9753  | 0.0247  | 0.9756    | 0.0009   | 0.9991      |
|                  | Modified | 0.9696  | 0.0304  | 0.9712    | 0.0011   | 0.9989      |
| 2 FC With DropOutL | Original | 0.9768  | 0.0232  | 0.9770    | 0.0009   | 0.9991      |
|                  | Modified | 0.9688  | 0.0313  | 0.9702    | 0.0012   | 0.9988      |

Table 8 Letters average accuracies obtained using pre-processed dataset

| Letter | Validation set | Testing set | Letter | Validation set | Testing set |
|--------|----------------|-------------|--------|----------------|-------------|
| Alf    | 92.50%         | 95.2%       | Dadd   | 97.84%         | 97.2%       |
| Baa    | 98.00%         | 99.0%       | Tah    | 97.00%         | 99.0%       |
| Taa    | 93.32%         | 99.7%       | Thah   | 93.84%         | 98.8%       |
| Thaa   | 97.00%         | 96.3%       | Ayn    | 97.00%         | 97.5%       |
| Jeem   | 95.68%         | 98.3%       | Ghyn   | 94.98%         | 98.9%       |
| Haa    | 99.18%         | 98.7%       | Faa    | 96.34%         | 98.0%       |
| Khaa   | 91.82%         | 97.3%       | Qaf    | 87.66%         | 95.8%       |
| Dal    | 97.00%         | 98.8%       | Kaf    | 97.80%         | 99.2%       |
| Thal   | 93.16%         | 96.7%       | Lam    | 97.18%         | 99.2%       |
| Raa    | 97.84%         | 95.2%       | Meem   | 99.02%         | 98.8%       |
| Zai    | 93.30%         | 99.5%       | Noon   | 96.34%         | 97.0%       |
| Seen   | 97.84%         | 99.2%       | Ha     | 99.18%         | 98.5%       |
| Sheen  | 100%           | 69.6%       | Waw    | 96.82%         | 97.5%       |
| Sadd   | 97.18%         | 98.3%       | Yaa    | 93.50%         | 98.2%       |

Therefore, we resorted to another way to improve the results by utilizing the trained models using the original data and test it with the modified testing set. As reported in Table 9, this yielded 98.30%, 98.00% and 98.03% as accuracies attained using the original ResNet-18, the ensemble with 1 FC and ensemble with 2 FC with dropout layer, respectively.

The chart in Figure 10 reports the accuracies of all models that used the original training set to train the model and the modified testing set to test it. Resnet-18 and 2 FC with dropout layer gave the best results with accuracy higher than 98%. These results were impressive when we compare it with the other works that done using similar dataset. The model of the latest study [23] in 2020 achieved 97% accuracy. The testing accuracy was the highest with 98% that attained using the CNN based architecture in [22]. But the authors achieved this result using training and testing sets with no validation set, so the overfitting not taken in considerable. The lowest accuracies were 95.3% in [25], 97% in [23] and 97.2% in [21].

Table 10 shows the accuracy of our proposed ensemble models and typical Resnet-18 compared with the previous works. As shown in Table 10, the best model was a typical ResNet-18 which achieved 98.30% accuracy. Also, we obtained 98.01% and 98.03% accuracies using an ensemble model with 1 FC and an ensemble with 2 FC coupled with a dropout layer, respectively.

Table 9 The performance of the considered models attained using the original training set and the pre-processed testing set

| Trained model with original training set & modified testing set | Dataset | Accuracy | Error   | Precision | Recall   | Specificity |
|--------------------------------------------------------------|---------|----------|---------|-----------|----------|-------------|
| Resnet-18                                                     | Original Training | 0.9972  | 0.0028  | 0.9972    | 0.0001   | 0.9999      |
|                                                              | Modified Testing | 0.9830  | 0.0170  | 0.9835    | 0.0006   | 0.9994      |
Trained model with original training set & modified testing set

| Model Type | Original Training | Modified Testing |
|------------|-------------------|------------------|
| 1 FC       | 0.9956 0.0044 0.9958 0.0002 0.9998 |
| 1 FC With DropoutL | 0.9943 0.0058 0.9943 0.0002 0.9998 |
| 2 FC       | 0.9969 0.0031 0.9969 0.0001 0.9999 |
| 2 FC With DropoutL | 0.9961 0.0039 0.9962 0.0001 0.9999 |

Table 10 Comparison of testing accuracy of our proposed models with the previous models

| References & publication year | Data sets partitions | Testing accuracy |
|-------------------------------|----------------------|------------------|
| [21] 2019                     | 13440 Training images 3360 Testing images | 97.2% |
| [22] 2019                     | 13440 Training images 3360 Testing images | 98.0% |
| [25] 2019                     | 13440 Training images 3360 Testing images | 95.3% |
| [23] 2020                     | 60% Training 20% Validation 20% Testing | 97% |

Proposed Models

| Data sets partitions | Testing accuracy |
|----------------------|------------------|
| 60% Training 20% Validation 20% Testing | ResNet-18 98.30% 1 FC 98.01% 2 FC With DropoutL 98.04% |

These results confirmed that the representation depth of the character image is valuable for their recognition performance, and that state-of-the-art results can be attained using ensemble models based on pre-trained models in which the architecture exhibits a substantially increased depth. Namely, ResNet based models is generalized well to the Handwritten Arabic Character recognition task and datasets. Moreover, they outperform more complex recognition pipelines built around less deep character image representations. This confirms the importance of depth in visual representations. Besides, the dropout layers inserted in the proposed architectures ensure the model regularization, which addresses the overfitting challenge. Additionally, such dropout layers yield a more relevant and independent feature learning by avoiding the neurons co-adaptations.
6. Conclusion and future work
In this work, we addressed the feature extraction problem along with the choice of the most appropriate classifier to recognize Handwritten Arabic Character by using Deep Learning models. Typically, Convolutional Neural Networks are intended to learn the most relevant features over their numerous layers. This overcomes the challenge of choosing the best feature for Handwritten Arabic Character recognition. Particularly, we designed and developed a deep ensemble architecture in which ResNet-18 architecture is exploited to model and classify character images. AHCD was used in the experiments to train and assess all the proposed models. Satisfactory results were obtained using all models. The best-attained accuracy, 98.30%, was obtained using a typical ResNet-18 model. Similarly, 98.00% and 98.03% accuracies were obtained using an ensemble model with 1 FC and an ensemble with 2 FC coupled with a dropout layer, respectively.

To advance the research, other deep learning paradigms will be investigated for Arabic Handwriting recognition. In particular, the online recognition task will be tackled using Recurrent Neural Networks and appropriate time-series datasets.

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Conflicts of interest
The authors have no conflicts of interest to declare.

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