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Static adhesion hysteresis in elastic structures†

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Adhesive interactions between elastic structures such as graphene sheets, carbon nanotubes, and microtubules have been shown to exhibit hysteresis due to irrecoverable energy loss associated with bond breakage, even in static (rate-independent) experiments. To understand this phenomenon, we start with a minimal theory for the peeling of a thin sheet from a substrate, coupling the local event of bond breaking to the nonlocal elastic relaxation of the sheet and show that this can drive static adhesion hysteresis over a bonding/debonding cycle. Using this model we quantify hysteresis in terms of the adhesion and elasticity parameters of the system. This allows us to derive a scaling relation that preserves hysteresis at different levels of granularity while resolving a seeming paradox of lattice trapping in the continuum limit of a discrete fracture process. Finally, to verify our theory, we use new experiments to demonstrate and measure adhesion hysteresis in bundled microtubules.

1 Introduction

The ubiquity of hysteretic behavior in peeling, fracture, or adhesion processes has long been known in systems spanning many orders of magnitude including graphene and carbon nanotubes,1,2 gecko adhesion, actin bundling and dissolution,3 DNA melting and denaturation,4–7 adhering vesicles,8 partially frayed dynamic axonemes,9 extensile microtubule bundles that generate autonomous flows,10 and elastic contact in soft materials.11–15 Although it has been nearly a century since Obreimoff measured the energy required to split a multilayer mica sheet,16–18 and interpreted it in terms of an adhesion energy, the microscopic mechanisms behind hysteresis often remain poorly understood.1 While hysteresis is often attributed to velocity-rate-independent adhesion hysteresis in elastic structures. In particular, our model is grounded in experimental observations of this phenomenon in two specific systems with distinct geometries: (i) old experiments involving the peeling of a graphene sheet from a fixed, flat substrate (Fig. 1A, top left)1 and (ii) new experimental measurement of hysteresis in the buckling-induced fraying of a pair of bundled microtubules, in which one of the microtubules acts as a curved substrate with a variable shape as a function of strain (Fig. 2A).

2 Hysteresis in peeling off a flat surface

2.1 Equations of motion

We start by considering two elastic chains interacting with each other adhesively through reversibly breakable, non-hysteretic springs (Fig. 1A). Each chain has n particles spaced apart by Δl ≈ L/n, where L is the length of a chain. The adhesive interaction is associated with breakable elastic links of stiffness K, rest length y0, and cutoff y0 + yc that connect corresponding particles on the two chains. One chain is fixed, acting as a rigid foundation, while the other one initially starts in equilibrium and is quasi-statically loaded and unloaded at one end. The potential energy of such a system is

$$\Phi = \frac{1}{2} \sum_{l=2}^{n-1} B \left( \theta_l - \pi \right)^2 + \sum_{l=1}^{n-1} k \left( r_{l+1} - r_l - \Delta l \right)^2 + \frac{1}{2} \sum_{k=1}^{n} \min \left( K \left( r_k - y_0 \right)^2, Ky_c^2 \right).$$ (1)

where the first term represents filament bending energy defined in terms of the angle $\theta_l$ formed by triplets of...
neighboring particles \((I - 1, i, i + 1)\) along the mobile chain, the second term corresponds to filament stretching, where \(r_k = (x_iy_i)\), \(r_i' = (x_jy_j)\) are position vectors for the mobile and fixed chains, respectively, and \(k\) is the intrachain stiffness, while the third term – modeling interfilament adhesion – corresponds to stretching the links between chains. In the limit of thin filaments or sheets, the geometric scale separation implies that stretching is very expensive relative to bending (i.e. the material is effectively inextensible), so that we may take the springs connecting particles on the same chain to have a large stiffness, in dimensionless units, this implies that \(k(\Delta l)^2/B \to \infty\).

Starting from the energy (1), we can write the overdamped equations of motion for the system

\[
d\dot{r}_k/dt = \gamma_k p_k\quad \text{and} \quad -d\Phi/\dot{\theta}_k = \gamma_k (\partial_k - 1)\theta_k + \gamma_k \theta_k,\]

where \(\gamma_k\) and \(\gamma_k\) are translational and rotational damping coefficients. We note that a similar model was used by Thomson to study the lattice trapping of fracture cracks. However, Thomson’s classic theory only works in the discrete limit, with the trapping effect vanishing in the continuum limit. As we will show, our framework provides a self-consistent way of taking the continuum limit while maintaining constant lattice trapping/hysteresis.

### 2.2 Length scales and dimensionless parameters

Our system is characterized by three independent length scales: a lattice (discrete) length scale \(\Delta l\), a maximum displacement associated with adhesive bond breakage \(y_c\), and the radius of curvature at the peeling boundary \(1/\kappa_c\). Geometrically, the critical curvature can be expressed in terms of the “rise” \(y_c\) and “run” \(l_{th} = \kappa_c \sim y_c/l_{th}^2\). The latter, \(l_{th}\), is called the healing length and determines how far the perturbation effectively extends.
into the bonded region (eqn (3)). That is, to the right of the peeling boundary (Fig. 1A) we have the perturbed (peeled) domain, while far enough inside the bulk, to the left of the boundary, the perturbation decays exponentially; \( l_{H} \) sets the scale of the transition zone between the perturbed domain and the unperturbed bulk region. These three independent length scales generate two independent dimensionless quantities (besides \( n \)): \( \Delta l/l_{H} \), which characterizes the mechanical response along the filament direction, and \( y_{c}/l_{H} \), which relates to the peeling angle (Section S.6, ESI†).

### 2.3 Continuum theory

A continuum theory for the height profile \( y(x) \) inside the bonded region \( x > 0 \) (Fig. 1A and eqn (2)) in the limit of small slopes and deformations provides a quantitative value of the healing length \( l_{H} \). Indeed, by coarse-graining the discrete energy (1) over length scales large compared to the spacing \( \Delta l \) between bonds, replacing differences by derivatives (\( \pi \to y' \); \( r_{k'} - r_{k} \to y(x) \)), we find that the Euler-Lagrange equation associated with the continuum version of the functional (1) is given by

\[
B y'''' + \frac{K}{2}(y - y_{0}) = 0. \quad (2)
\]

With boundary conditions \( y(\infty) \to y_{0}, y'(\infty) \to 0, \) and \( y(0) = y_{0} + y_{c}, By''''(0) = F \) (the vertical applied peeling force), the solutions for \( y(x) \) and \( \kappa(x) = y''(x) \) are

\[
y(x) = y_{0} + y_{c}e^{-x/\kappa_{c}}\cos(x/l_{H}), \tag{3}
\]

\[
\kappa(x) = \frac{2y_{c}}{l_{H}}e^{-x/\kappa_{c}}\sin(x/l_{H}), \tag{4}
\]

where the healing length

\[
l_{H} = \sqrt{\frac{BAJ}{K}}. \tag{5}
\]

and the peeling force \( F = 2By_{c}/l_{H}^{2} \). From eqn (4), the curvature at the peeling boundary is \( \kappa_{c} = \kappa(0) = 2y_{c}/l_{H}^{2} \) (as predicted geometrically in terms of the “rise” and “run”), which can be rewritten in a more familiar form ref. 39 and 40 in terms of the adhesion energy per unit length \( f = Ky_{c}^{2}/(2L) \):

\[
\kappa_{c} = \kappa(0) = \frac{2y_{c}}{l_{H}^{2}} = \sqrt{\frac{2f}{B}}. \tag{6}
\]

### 2.4 Simulations

To compare our results of the simple continuum model with those obtained from our discrete model for the energy given by (eqn (1)), we simulate the dynamics of the adhesive interaction via an overdamped viscous relaxation numerical method (see SM for details). We find that, as we quasi-statically raise one end of the mobile chain, corresponding to the loading phase, bonded segments successively peel from the substrate, as the boundary that separates the bonded and debonded phases advances (Fig. 1A). In the unloading phase, we reverse the displacement direction of the free end, which causes debonded segments to successively re-enter the interaction range and thus re-adhere to the substrate, leading to healing. The healing pathway is mechanically and thermodynamically different from the peeling pathway, a hallmark of hysteresis. Hysteresis is apparent, for example, in a plot of scaled bending energy versus strain (Fig. 1B). The same plot also reveals a characteristic pinning-depinning “sawtooth” pattern, which arises from alternating cycles of bending energy accumulation and sudden bond breakage.25,26

### 2.5 Quantification of hysteresis

When a bond breaks, stress redistribution causes it (and the rest of the free chain) to move further away from the range of the adhesive potential (Fig. 1A, inset) such that on the way back it needs to travel more in order to re-form. Thus, even if individual bonds are not intrinsically hysteretic, macroscopic hysteresis will still emerge via the coupling of a local event (bond breaking) to a nonlocal event (overall elastic relaxation). Indeed, simulations show that the decrease in bending energy \( \delta E_{b} \) upon bond breakage (Fig. 1B, inset) is only partially balanced by an increased load on the remaining springs, i.e. an increase in the adhesion energy \( \delta E_{a} \). This imbalance results in a net energy loss for the filament \( \delta E = \delta E_{b} + \delta E_{a} < 0 \). Meanwhile, when a bond reforms, \( \delta E_{b} > 0 \) and \( \delta E_{a} < 0 \) such that we still have net energy dissipation \( \delta E < 0 \).

We expect bending and adhesion energy jumps (\( \delta E_{b} \) and \( \delta E_{a} \)) to scale as the energy of a single bond \( Ky_{c}^{2}/2 \), \( \delta E_{b0} \). \( \delta E_{a} \sim Ky_{c}^{2}/2 \). This can also be written in terms of the natural bending energy scale \( E_{b0} \) of the entire filament, \( E_{b0} = Bc_{s}^{2}l_{H} \) (since \( l_{H} \) rather than \( L \) is the scale of the deformed region):

\[
\delta E_{b0}, \delta E_{a} \sim Ky_{c}^{2}/2 \sim E_{b0}^{2}/l_{H} \tag{7}
\]

The same scaling also applies to \( \delta U_{s} \) the bending energy change across a single pinning-depinning cycle (Fig. 1B, inset). Indeed, since the end result of the cycle is the peeling of a single segment of size \( \Delta l \), we might expect \( \delta U_{b} \sim Bc_{s}^{2}4/8 \sim Bc_{s}^{2}2l_{H} \sim (\Delta l/l_{H}) \sim E_{b0}^{2}(\Delta l/l_{H}) \).

However, it should be apparent that the net energy loss \( \delta E = \delta E_{b0} + \delta E_{a} \) should scale differently from \( \delta E_{b0} \) and \( \delta E_{a} \). For example, as \( \Delta l/l_{H} \to 0 \) we expect the breaking of a single bond to have a negligible effect on the shape of the peeled filament and on the stress distribution, meaning that we must have \( \delta E \to 0 \) as \( \Delta l/l_{H} \to 0 \). The simplest scaling satisfying this requirement is \( \delta E \sim Ky_{c}^{2}/2 \sim (\Delta l/l_{H}) \sim E_{b0}^{2}(\Delta l/l_{H})^{2} \), which is confirmed by simulations (Fig. S2B, ESI†). In order to obtain the dimensionless energy loss due to the breakage of a single bond we divide \( \delta E \) by the bending energy scale \( E_{b0}^{2} \):

\[
\delta e = \delta E/E_{b0}^{2} \sim (\Delta l/l_{H})^{2}. \tag{8}
\]

### 2.6 Parameter scalings that preserve hysteresis

As we change the discretization \( n \), hysteresis size will change if we naively scale the spring stiffness \( K \) inversely with \( n \) (Fig. S4, ESI†).
Therefore, in order to find the scaling that will render hysteresis independent of \( n \), we require the invariance of several quantities: (i) the dimensionless energy loss summed across all segments, which we approximate as \( n\delta e \), (ii) the adhesion energy per unit length \( J = nK\kappa{s}^2/(2L) \), and (iii) the curvature at the scaling boundary \( \kappa{c} \) (eqn (6)). Substituting \( K = 2L/(ny_c^2) \) and \( l_{1\text{f}} \) (eqn (5)) in the expression for \( \delta e \) (eqn (8)) we get

\[
\delta e \sim \frac{\kappa{c}L^2}{ny_c}. \tag{9}
\]

Considering \( L \) to be fixed, invariance of the energy loss \( n\delta e \) in the continuum limit implies \( y_c \sim 1/n \), while invariance of \( J \) then requires \( K \sim n \). As a result, \( l_{1\text{f}} \sim (nK)^{-1/4} \sim 1/\sqrt{n} \), which means that in the continuum limit the transition between the bonded and debonded regions occurs instantly (\( l_{1\text{f}} \to 0 \)), without a weakly bound intermediate region.

### 2.7 Graphene peeling experiments

To test our theory on real data, we start with observations of substantial hysteresis in peeling a graphene sheet from a flat surface, with the energy required for delamination reported to be 100 times larger than the energy recovered upon re-adhesion, and no mechanism provided for this disparity. Using the experimental parameters for the graphene sheet of length \( L = 60 \mu m \), bending rigidity \( B \approx 3 \times 10^6 \text{ pN} \times \mu m^2 \), and effective adhesion energy per unit length \( J \approx 10^6 \text{ pN} \), and choosing a discretization size \( n = 80 \), our simulations approximately match the experimentally observed factor of \( \sim 10 \) difference between curvature \( \kappa{c} \) in the peeling regime compared to that in the healing regime (Fig. S6B, ESI†) as well as the observed peeling front displacement (Fig. S6A, inset, ESI†).

### 3 Hysteresis in buckled microtubule bundles: peeling from a curved substrate

#### 3.1 Microtubule experiments

To further test our theory in a completely different setting, we chose to consider the adhesion between stiff cytoskeletal polymers, microtubules (MTs). We designed and conducted experiments involving a pair of microtubules held together by the depletion interaction, induced by addition of non-adsorbing polymers. The range and strength of the tunable depletion attraction between the filaments is determined respectively by the size and the concentration of the polymer.42,43

To obtain our bundled MT system, we start by using optical tweezers to attach micron-sized silica beads at two points along a single MT as described elsewhere.44 Next, we attach a shorter MT to the longer filament by the depletion interaction and we link it to one bead by the biotin–streptavidin linkage (Fig. 2A). The mobile optical traps are displaced quasi-statically, subjecting the composite bundle to buckling forces that are measured using conventional techniques.44 While the adhering MTs initially buckle together, above a critical strain the free end of the shorter MT begins to detach (“fray”). Further increasing strain leads to almost complete peeling of the shorter MT (Fig. 2A). From this point on, only the longer MT contributes to the buckling force, which is roughly independent of strain due to the effective softening induced by cross-sectional flattening.44,45 Reversing optical trap displacement reduces strain, eventually leading to re-adhesion, albeit at smaller curvatures/strains than for peeling. Hysteresis is apparent in the force-strain curves associated with this measurement, where strain \( \varepsilon = (d - L)/L \) (Fig. 2B).

Compared to peeling from a flat substrate, the microtubule system exhibits added complexity, as both microtubules are allowed to bend. Consequently, we need to revisit the theory, generalizing it for flexible substrates. Towards that end, we examine an elastic chain model in which both filaments are mobile and we can apply a buckling force at one end, through a bead attached to the longer filament (Fig. 3A). Letting functions \( \kappa(s) \) and \( \kappa'(s) \) characterize the curvatures of the two filaments, our previous results still hold (e.g. eqn (4)), but for relative curvature \( \kappa(s) = \kappa(s) - \kappa'(s) \), whose maximal value \( \kappa_c \) determines the onset of fraying. Previously, \( \kappa_c = 0 \), \( \kappa' = \kappa \) and the bending energy scale could be expressed in terms of \( \kappa_c : E_0^0 \sim B\kappa_c^2 l_{1\text{f}} \). Here, the proper bending energy scale \( E_0^0 \) is not related to the relative curvature, but can be instead expressed as \( E_0^0 = B/L \text{ (force } B/L^2 \text{ times length } L) \). Meanwhile, we denote by \( E_0^0 \) the energy scale \( B\kappa_c^2 l_{1\text{f}} \) and deem it the “relative” bending energy, since \( \kappa_c \) now refers to the relative curvature \( \kappa' \).

#### 3.2 Quantification of hysteresis and parameter scalings

If we assume, in analogy with results from our first model, that the net energy loss \( \delta E \sim B\kappa_c^2 l_{1\text{f}} \times (\Delta l_{1\text{f}})^2 \) and express the dimensionless energy loss \( n\delta e = n\delta E/E_0^0 \) in terms of adhesion energy per unit length \( J \), we get

\[
\delta e \sim \frac{L^3 \kappa_c^{3/2}}{ny_c^{1/2}}. \tag{10}
\]

where \( \kappa_c \) and \( L \) are invariants. Therefore, invariance of \( n\delta e \) requires that \( y_c \sim 1/n^2 \). Furthermore, we also need \( K \sim n^3 \) to keep \( J \) invariant. Simulations (using the same molecular dynamics setup as in the 1D model in44 and adding a second filament and a breakable adhesive interaction between corresponding beads on each filament – see SM for details) confirm that the scaling forms \( K \sim n^3K \) and \( y_c \sim y_c/n^2 \) preserve hysteresis† (Fig. 3B and C). Moreover, plugging in the previously measured value \( J \sim 0.1 \text{ pN for MT depletion-induced cohesion} \) allows us to reproduce both the onset of fraying and approximate hysteresis size (Fig. 4, green). Notably, we ignored factors such as the cross-sectional flattening of MTs44 and the hysteresis-narrowing effect of thermal fluctuations (Fig. S7, ESI†), which would likely further improve the fit.

† Provided we adjust the length of the shorter filament to account for the changing healing length \( l_{1\text{f}} \sim 1/n \), since the filaments are weakly bonded over this length scale.
4 Comparison between theory and experiments

Eqn (9) and (10) express hysteresis for the flat and curved substrate cases in terms of system parameters such as length $L$, interaction range $y_c$, adhesion strength $f_c$, and flexural rigidity $B$ (the latter two entering through $\kappa_c = \sqrt{2J/B}$). This allows us to predict, control, or compare the hysteresis of different systems. For instance, we notice that there is significantly less hysteresis in our microtubule experiments than in the graphene peeling experiments. This observation can be understood in the context of our model by examining eqn (9) and (10) for hysteresis in the graphene sheet (flat) and microtubule bundle (curved), respectively. Hysteresis in both cases is proportional to the adhesive length $L$ and with $\kappa_c = \sqrt{2J/B}$ but inversely proportional to $y_c$. While the factor of $\sqrt{J/B}$ is comparable between the two experiments (see SM), the other two length scales are not. The length of the graphene sheet is much shorter (60 $\mu$m compared to 10 $\mu$m), and, most importantly, the interaction in the graphene experiments is much shorter-range: $y_c^G \approx 0.1$ nm is much smaller than $y_c^MT \approx 15$ nm. Thus, the much larger hysteresis observed in graphene peeling experiments is primarily due to the much shorter interaction range in graphene versus microtubules.

Physically, we can understand the inverse dependence of hysteresis on $y_c$ by noting that, everything else being constant (i.e., $L$, $B$, and $f$), reducing $y_c$ results in stiffer springs $K$ (since $f = nKJy_c^2/L$ is constant). When one of these springs breaks, it will have a relatively large effect on the stress redistribution which will cause the newly broken bond to “jump” significantly (as in the inset of the schematic in Fig. 1A). We can see how such a jump relates to hysteresis by noting that if the direction of the free end displacement is reversed, the newly broken bond will have to travel extra distance (due to the jump) in order to re-enter the interaction range. In contrast, larger $y_c$ means weaker springs, less effect of bond breakage, and thus less of a jump in the position of the broken bond (and consequently, smaller hysteresis).

5 Summary and outlook

Our theory of rate-independent adhesion hysteresis provides a quantitative mechanism for previously unexplained results showcasing substantial static hysteresis in graphene peeling as well as in microtubule bundles. More generally, our results are applicable to any adhesive elastic system driven either quasi-statically and thus are relevant to diverse fields including nanoscience (graphene), cellular biophysics (microtubules), active matter (bundle disintegration), or material science (lattice trapping). We have shown that adhesion hysteresis arises due to energy lost at transitions between metastable states and quantified the manner in which hysteresis depends on elastic and adhesion parameters of the system, both for the case of a simple geometry in which the substrate is fixed and for that of a complex geometry in which the substrate is allowed to deform.
Our manuscript also describes a new experimental approach to the measurement of adhesion hysteresis in bundled filamentous polymers. Since filamentous bundles are an essential structural motif of the cellular cytoskeleton as well as the basic building block of biosynthetic active matter, understanding how they fray and disintegrate is relevant for the rich nonequilibrium dynamics of one of the best understood experimental systems in active matter, that of microtubules interacting with motors.

Complementing the classic work of Thomson on lattice trapping, we show how the size and strength of the adhesive springs must be scaled with $n$ in order to preserve hysteresis in the continuum limit $n \to \infty$, thus eliminating a long-standing paradox by emphasizing the nature of distinguished limits required. The practical significance of our hysteresis-preserving scaling with $n$ is that it enables the self-consistent simulation of hysteretic systems at different levels of granularity. For example, in the case of a system in which the adhesion interaction is characterized by some finite spacing $\Delta l$ as well as known stiffness $K$ and cutoff $y_c$, the length scale may be too small to simulate the system efficiently. In that case, we may speed up the computational model by coarse-graining, starting from the real adhesive parameters and scaling them with $n$ according to the hysteresis-preserving scaling laws.

Interestingly, the hysteresis mechanism we propose bears some resemblance to a phenomenon in polymer fracture known as the Lake-Thomas effect, which remains an active area of research despite being proposed over 50 years ago. In the Lake-Thomas effect the energy required to rupture an elastomer is much larger than the energy to break the chains from the real adhesive parameters and scaling them with $n$ according to the hysteresis-preserving scaling laws.

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Conflicts of interest

There are no conflicts to declare.

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