METRIC SHAPE OF HYPERSURFACES WITH SMALL EXTRINSIC RADIUS OR LARGE $\lambda_1$

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Abstract. We determine the Hausdorff limit-set of the Euclidean hypersurfaces with large $\lambda_1$ or small extrinsic radius. The result depends on the $L^p$ norm of the curvature that is assumed to be bounded a priori, with a critical behaviour for $p$ equal to the dimension minus 1.

1. Introduction

For any $A \subset \mathbb{R}^{n+1}$ and any $\varepsilon > 0$, we set $A_\varepsilon$ the tubular neighbourhood of radius $\varepsilon$ of $A$ ($A_\varepsilon = \{ x \in \mathbb{R}^{n+1} / d(A, x) \leq \varepsilon \}$). $d_H(A, B) = \inf \{ \varepsilon > 0 \ A \subset B_\varepsilon \text{ and } B \subset A_\varepsilon \}$ is called the Hausdorff distance on closed subsets of $\mathbb{R}^{n+1}$. Let $(M^m_k)_{k \in \mathbb{N}}$ be a sequence of immersed submanifolds of dimension $m$ in $\mathbb{R}^{n+1}$. We say that it converges weakly to a subset $Z \subset \mathbb{R}^{n+1}$ in Hausdorff topology if there exists a sequence of subsets $A_k \subset M_k$ such that $d_H(A_k, Z) \to 0$ and $\text{Vol}(M_k \setminus A_k)/\text{Vol} M_k \to 0$.

Of course, weak Hausdorff convergence does not imply Hausdorff convergence without supplementary assumption. Our first aim will be to determine which $L^p$-norm of the mean curvature has to be bounded so that weak convergence implies convergence. More precisely, we will study the limit-set for the Hausdorff distance of a weakly converging sequence of submanifolds with $L^p$ norm of the mean curvature uniformly bounded and show that it depends essentially on the value of $p$. As an application, we derive some new results on the metric shape of Euclidean hypersurfaces with small extrinsic radius or large $\lambda_1$.

1.1. Weak Hausdorff convergence vs Hausdorff convergence. In the paper, the $L^p$-norms are defined by $\|f\|_p^p = \frac{1}{m_1} \int_M |f|^p dv$. We denote by $m_1$ the 1-dimensional Hausdorff measure on $\mathbb{R}^{n+1}$. We denote by $B$ the second fundamental form and $H = \frac{1}{m} \text{tr} B$ the mean curvature of Euclidean $m$-submanifolds.

Our main result says that if $\text{Vol} M_k \|H\|^{m-1} \to A > 0$ for any $k$, then weak Hausdorff convergence implies Hausdorff convergence (resp. up to a set of bounded 1-dimensional Hausdorff measure).

Theorem 1.1. Let $(M_k)_{k \in \mathbb{N}}$ be a sequence of immersed, compact submanifolds of dimension $m$ which weakly converges to $Z \subset \mathbb{R}^{n+1}$.

If there exist $p > m - 1$ and $A > 0$ such that $\text{Vol}(M_k)\|H\|^{m-1} \leq A$ for any $k$, then $d_H(M_k, Z) \to 0$.

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There exists a constant $C(m)$ such that if $\text{Vol}(M_k)\|H\|_{m-1}^{m-1} = \int_{M_k} |H|^{m-1} \leq A$ for any $k$, then the limit-set of $(M_k)_{k \in \mathbb{N}}$ for the Hausdorff distance is not empty and any limit point is a closed, connected subset $Z \cup T \subset \mathbb{R}^{n+1}$ such that $m_1(T) \leq C(m)A$.

Note that it derives from the proof that in the case $p = m - 1$, we have $m_1(T) \leq C(m) \max \lim_k \int_{M_k \setminus Z} |H|^{m-1}$.

The previous result is rather optimal as shows the following result.

**Theorem 1.2.** Let $M_1, M_2 \hookrightarrow \mathbb{R}^{n+1}$ be two immersed compact submanifolds with the same dimension $m$, $M_1 \# M_2$ be their connected sum and $T$ be any closed subset of $\mathbb{R}^{n+1}$ such that $M_1 \cup T$ is connected. Then there exists a sequence of immersions $i_k : M_1 \# M_2 \hookrightarrow \mathbb{R}^{n+1}$ such that

1) $i_k(M_1 \# M_2)$ weakly converges to $M_1$ and converges to $M_1 \cup T$ in Hausdorff topology.

2) the curvatures of $i_k(M_1 \# M_2)$ satisfy

$$
\int_{i_k(M_1 \# M_2)} |H|^{m-1} \to \int_{M_1} |H|^{m-1} + (\frac{m-1}{m})^{m-1} \text{Vol} S^{m-1} m_1(T),
$$

$$
\int_{i_k(M_1 \# M_2)} |B|^{m-1} \to \int_{M_1} |B|^{m-1} + \text{Vol} S^{m-1} m_1(T),
$$

$$
\int_{i_k(M_1 \# M_2)} |H|^\alpha \to \int_{M_1} |H|^\alpha \quad \text{for any } \alpha \in [1, m-1),
$$

$$
\int_{i_k(M_1 \# M_2)} |B|^\alpha \to \int_{M_1} |B|^\alpha \quad \text{for any } \alpha \in [1, m-1),
$$

3) $\lambda_p(i_k(M_1 \# M_2)) \to \lambda_p(M_1)$ for any $p \in \mathbb{N}$,

4) Vol $(i_k(M_1 \# M_2)) \to \text{Vol } M_1$.

Conditions 3) and 4) imposed to our sequence of immersions in Theorem 1.2 are designed on purpose for our study of almost extremal Euclidean hypersurfaces for the Reilly or Hasanis-Koutroufiotis Inequalities.

Theorem 1.1 proves that for $p > m - 1$ the Hausdorff limit-set of a weakly convergent sequence is reduced to the weak limit. On the contrary, Theorem 1.2 shows that for $p < m - 1$, the Hausdorff limit-point of a weakly convergent sequence can be any closed, connected Euclidean subset containing the weak-limit. For the critical exponent $p = m - 1$, the Hausdorff limit-set can contain any $Z \cup T$ with $m_1(T) \leq C_2(m)A$ (by Theorem 1.2) and contains only $Z \cup T$ with $m_1(T) \leq C_1(m)A$ (by Theorem 1.1).

Unfortunately, our constants $C_1(m)$ and $C_2(m)$ are different. We conjecture that is is only due to lack of optimality of the constant in Theorem 1.1.

Note that the two previous theorems can be easily extended to the case where $\mathbb{R}^{n+1}$ is replaced by any fixed Riemannian manifold $(N, g)$.

1.2. **Application to hypersurfaces with large $\lambda_1$ or small Extrinsic radius.**

Let $X : M^n \to \mathbb{R}^{n+1}$ be a closed, connected, immersed Euclidean hypersurface (with $n \geq 2$). We set $v_M$ its volume and $\overline{X} := \frac{1}{v_M} \int_M X dv$ its center of mass.

The Hasanis-Koutroufiotis inequality is the following lower bound on the extrinsic radius $r_M$ of $M$ (i.e. the least radius of the Euclidean balls containing $M$)

$$(1.1) \quad r_M \|H\|_2 \geq 1.$$
This inequality is optimal since we have equality for any Euclidean sphere. Moreover, if an immersed hypersurface $M$ satisfies the equality case then $M$ is the Euclidean sphere $S_M = \overline{X} + \frac{1}{\|H\|_2}S^n$ with center $\overline{X}$ and radius $\frac{1}{\|H\|_2}$.

The Reilly inequality is the following upper bound on the first non-zero eigenvalue $\lambda_1^M$ of $M$
\begin{equation}
\lambda_1^M \leq n\|H\|_2^2,
\end{equation}
once again we have equality if and only if $M$ is the sphere $S_M$.

Our aim is to study the metric shape of the Euclidean hypersurfaces with almost extremal extrinsic radius or $\lambda_1$.

1.2.1. Almost extremal hypersurfaces weakly converge to $S_M$. Our first result describes some volume and curvature concentration properties of almost extremal hypersurfaces that imply weak convergence to $S_M$. Note that in this result we do not assume any bound on the mean curvature.

We set $B_x(r)$ the closed ball with center $x$ and radius $r$ in $\mathbb{R}^{n+1}$ and $A_\eta$ the annulus \( \{ X \in \mathbb{R}^{n+1} \mid \|X - \overline{X}\| \leq \eta \} \). Throughout the paper we shall adopt the notation that $\tau(\varepsilon|n,p,h,\cdots)$ is a positive function which depends on $n,p,h,\cdots$ and which converges to zero as $\varepsilon \to 0$. These functions $\tau$ will always be explicitly computable.

**Theorem 1.3.** Any immersed hypersurface $M \hookrightarrow \mathbb{R}^{n+1}$ with $r_M\|H\|_2 \leq 1 + \varepsilon$ (or with $\frac{n\|H\|_2^2}{\lambda_1^M} \leq 1 + \varepsilon$) satisfies
\begin{equation}
\|H| - \|H\|_2 \|_2 \leq 100\sqrt[\varepsilon]\|H\|_2,
\end{equation}
\begin{equation}
\text{Vol} (M \setminus A_{\frac{\varepsilon}{2}}) \leq 100\sqrt[\varepsilon]\|H\|_2\text{Vol} M.
\end{equation}
Moreover, for any $r > 0$ and any $x \in S_M = \overline{X} + \frac{1}{\|H\|_2}S^n$, we have
\begin{equation}
\left| \frac{\text{Vol} (B_x(\frac{r}{\|H\|_2}) \cap M)}{v_M} - \frac{\text{Vol} (B_x(\frac{r}{\|H\|_2}) \cap S_M)}{\text{Vol} S_M} \right| \leq \tau(\varepsilon|n,r)\frac{\text{Vol} (B_x(\frac{r}{\|H\|_2}) \cap S_M)}{\text{Vol} S_M}.
\end{equation}

Note that (1.3) implies not only that $M$ goes near any point of the sphere $S_M$, but also that the density of $M$ near each point of $S_M$ converge to $v_M/\text{Vol} S_M$ at any scale.

However, the convergence is not uniform with respect to the scales $r$. We infer that $A_{\tau(\varepsilon|n)} \cap M$ is Hausdorff close to $S_M$, which implies weak convergence to $S_M$ of almost extremal hypersurfaces.

**Corollary 1.4.** For any immersed hypersurface $M \hookrightarrow \mathbb{R}^{n+1}$ with $r_M\|H\|_2 \leq 1 + \varepsilon$ (or with $\frac{n\|H\|_2^2}{\lambda_1^M} \leq 1 + \varepsilon$) there exists a subset $A \subset M$ such that $\text{Vol} (M \setminus A) \leq \tau(\varepsilon|n)v_M$ and $d_H(A,S_M) \leq \frac{\tau(\varepsilon|n)}{\|H\|_2}$.

In the case where $M$ is the boundary of a convex body in $\mathbb{R}^{n+1}$ with $r_M\|H\|_2 \leq 1 + \varepsilon$ (or with $\frac{n\|H\|_2^2}{\lambda_1^M} \leq 1 + \varepsilon$), the previous result implies easily that $d_H(M,S_M) \leq \frac{\tau(\varepsilon|n)}{\|H\|_2}$ and even $d_L(M,S_M) \leq \frac{\tau(\varepsilon|n)}{\|H\|_2}$.
1.3. Hausdorff limit-set of almost extremal hypersurfaces. Corollary [1.4] and Theorems 1.1 and 1.2 (applied to \(M_1 = \mathbb{S}^n\) and \(M_2\) any immersible hypersurface) allow a description of the limit-set of almost extremal hypersurfaces under a priori bounds on the mean curvature.

**Theorem 1.5.** Let \(M\) be any hypersurface immersible in \(\mathbb{R}^{n+1}\) and \(T\) be a closed subset of \(\mathbb{R}^{n+1}\), such that \(\mathbb{S}^n \cup T\) is connected (resp. and \(T \cup \mathbb{S}^n \subset B_0(1)\)). There exists a sequence of immersions \(j_i : M \to \mathbb{R}^{n+1}\) of \(M\) which satisfies

1. \(\lambda_{1,i}^4(M) \to \lambda_1(M)\) (resp. \(r_{j_i(M)} \to 1\)),
2. \(\|B_j - \text{Id}\|_p \to 1\) for any \(p \in [2, n\!-\!1]\),
3. \(\text{Vol} j_i(M) \to \text{Vol} \mathbb{S}^n\),
4. \(j_i(M)\) converges to \(\mathbb{S}^n \cup T\) in pointed Hausdorff distance,
5. \(\text{Vol}\mathbb{S}^n[\lambda]\to C(n)\text{Vol}(T) + \text{Vol}\mathbb{S}^n\).

This result shows that we can expect no control on the topology of almost extremal hypersurfaces nor on the metric shape (even on the diameter) of the part \(M \setminus A\) of Corollary 1.4 if we do not assume a strong enough upper bound on the curvature.

On the other hand, Theorem 1.1 implies the following Hausdorff stability result.

**Theorem 1.6.** For any immersed hypersurface \(M \hookrightarrow \mathbb{R}^{n+1}\) with \(v_M[H][\|H\|_{n-1}^2] \leq A\) and \(r_M[H][\|H\|_2^2] \leq 1 + \varepsilon\) (or with \(v_M[H][\|H\|_{n-1}^2] \leq A\) and \(\frac{\|H\|_2^2}{\lambda_1} \leq 1 + \varepsilon\)) there exists a subset \(T\) of \(1\)-dimensional Hausdorff measure less than \(\tau(\varepsilon|n\!,A)|H|^{\frac{1}{2}}\).

More precisely, for any sequence \((M_k)_{k \in \mathbb{N}}\) of immersible hypersurfaces normalized by \(\|H_k\|_2 = 1\) and \(\sum_k = 0\), which satisfies \(v_{M_k}[H_k][\|H_k\|_{n-1}^2] \leq A\) and \(r_{M_k} \to 1\) (or \(v_{M_k}[H_k][\|H_k\|_{n-1}^2] \leq A\) and \(\frac{\|H_k\|_2^2}{\lambda_1(M_k)} \to 1\)) there exists a closed subset \(T \subset \mathbb{R}^{n+1}\) such that \(m(T) \leq C(n)A\), \(T \cup \mathbb{S}^n\) is connected and a subsequence \(M'\) such that \(d_H(M',\mathbb{S}^n \cup T) \to 0\).

Here also the constant \(C(n)\) of this theorem is not the same as in Theorem 1.5. So we do not have an exact computation of the Hausdorff limit set in the case \(p = n\!-\!1\) but we conjecture that it is just a matter of non optimality of the constant \(C(m)\) in the bound on \(m(T)\) in Theorem 1.1.

Finally, as a direct consequence of Theorem 1.1 we get the following result.

**Theorem 1.7.** Let \(2 \leq n \!-\! 1 < p \leq +\infty\). Any immersed hypersurface \(M \hookrightarrow \mathbb{R}^{n+1}\) with \(v_M[H][\|H\|_p^2] \leq A\) and \(r_M[H][\|H\|_2] \leq 1 + \varepsilon\) (or with \(v_M[H][\|H\|_p^2] \leq A\) and \(\frac{\|H\|_2^2}{\lambda_1} \leq 1 + \varepsilon\)) satisfies \(d_H(M,\mathbb{S}^n) \leq \tau(\varepsilon|n,p,A)|H|^{\frac{1}{2}}\).

Theorem 1.7 was already proved in the case \(p = +\infty\) and under the stronger assumption \((1 + \varepsilon)\lambda_1 \geq n\|H\|_2^2\) in [3], and in the case \(p = +\infty\) and under the stronger assumption \(r_M[H][\|H\|_4] \leq 1 + \varepsilon\) in [12]. It is also proved in an unpublished previous version of this paper [3] in the case \(p > n\). In all these papers, the Hausdorff convergence is obtained by first proving that \(\|X\|\) is almost constant in \(L^2\) norm and then by applying a Moser iteration technique to infer that \(\|X\|\) is almost constant in \(L^\infty\)-norm. However, this scheme of proof cannot be applied to get the optimal condition \(p > n\!-\!1\) since \(p = n\) is the critical exponent for the iteration. In place of a Moser iteration scheme, we adapt a technique introduced by P. Topping [14] to control the diameter of \(M\) by \(\int_M |H|^{n-1} dv\).
Note that by Theorem 1.6 in the case $v_M\|H\|_p^n \leq A$ with $p > n - 1$, almost extremal hypersurfaces for the Reilly inequality are almost extremal hypersurfaces for the Hasanis-Koutroufiotis inequality. Actually, in that case, an hypersurface is Hausdorff close to a sphere if and only if it is almost extremal for the Hasanis-Koutroufiotis inequality. In [2], we prove that an hypersurface Hausdorff close to a sphere or almost extremal for the Hasanis-Koutroufiotis inequality is not necessarily almost extremal for the Reilly inequality, even under the assumption $v_M\|H\|_p^n \leq A$, for any $p < n$.

The structure of the paper is as follows: in Section 2, we recall some concentration properties for the volume and the mean curvature of almost extremal hypersurfaces (in particular Inequalities (1.4) and (1.3)) and some estimates on the restrictions to hypersurfaces of the homogeneous, harmonic polynomials of $\mathbb{R}^{n+1}$, proved in [2]. They are used in Section 3 to prove Inequality (1.5). Theorem 1.1 is proved in Section 4. We end the paper in section 5 by the proof of Theorem 1.2.

Throughout the paper we adopt the notation that $C(n, k, p, \cdots)$ is function greater than 1 which depends on $p$, $q$, $n$, $\cdots$. It eases the exposition to disregard the explicit nature of these functions. The convenience of this notation is that even though $C$ might change from line to line in a calculation it still maintains these basic features.

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2. Some estimates on almost extremal hypersurfaces

We recall some estimate on almost extremal hypersurfaces proved in [2]. From now on, we assume, without loss of generality, that $X = 0$. We say that $M$ satisfies the pinching $(P_{p,\epsilon})$ when $\|H\|_p\|X - \bar{X}\|_2 \leq 1 + \epsilon$. Let $X^T(x)$ denote the orthogonal projection of $X(x)$ on the tangent space $T_x M$.

Lemma 2.1 ([2]). If $(P_{2,\epsilon})$ holds, then we have $\|X^T\|_2 \leq \sqrt{3\epsilon}\|X\|_2$ and $\|X - \frac{H}{\|H\|^2_2}\|_2 \leq \sqrt{3\epsilon}\|X\|_2$.

We set $A_\eta = B_0(\frac{1+\eta}{\|H\|^2_2}) \setminus B_0(\frac{1-\eta}{\|H\|^2_2})$.

Lemma 2.2 ([2]). If $(P_{p,\epsilon})$ (for $p > 2$), or $n\|H\|_p^p/\Lambda^M_1 \leq 1 + \epsilon$, or $r_M\|H\|_2 \leq 1 + \epsilon$ holds (with $\epsilon \leq \frac{1}{100}$), then we have $\|\|X\|_2 - \frac{1}{\|H\|^2_2}\|_2 \leq C\|H\|_2^{\sqrt{\epsilon}}, \|\|H\|_2 \|H\|_2 \|H\|_2 \leq C\|H\|_2^{\sqrt{\epsilon}}\|H\|_2$ and $\text{Vol}(M \setminus A_\nu) \leq C\sqrt{\epsilon}V_M$, where $C = 6 \times 2^{\frac{2k}{p-2}}$ in the case $(P_{p,\epsilon})$ and $C = 100$ in the other cases.

We set $\mathcal{H}^k(M)$ the set of functions $\{P_M\}$, where $P$ is any harmonic, homogeneous polynomials of degree $k$ of $\mathbb{R}^{n+1}$. We also set $\psi: [0, \infty) \to [0, 1]$ a smooth function, which is 0 outside $\{(1-\frac{1}{4}\sqrt{7})^2, (1+\frac{1}{4}\sqrt{7})^2\}$ and 1 on $\{(1-\frac{1}{4}\sqrt{7})\|H\|^2_2, (1+\frac{1}{4}\sqrt{7})\|H\|^2_2\}$, and $\varphi$ the function on $M$ defined by $\varphi(x) = \psi(|X_x|^2).$
Lemma 2.3 ([2]). For any hypersurface $M \hookrightarrow \mathbb{R}^{n+1}$ isometrically immersed with $r_M \|H\|_2 \leq 1 + \varepsilon$ (or $\frac{n\|H\|_2^2}{\lambda_n} \leq 1 + \varepsilon$) and for any $P \in \mathcal{H}^k(M)$, we have

$$\|\|H\|_{2}^{2k}\|\varphi P\|_{2}^{2} - \|P\|_{\mathbb{S}^n}^{2} \leq C \sqrt[4]{\varepsilon} \|P\|_{\mathbb{S}^n}^{2},$$

where $C = C(n,k)$.

If moreover $\varepsilon \leq \frac{1}{(2C)^2}$, then we have $\|\Delta(\varphi P) - \mu_{n,k}^{3M}\varphi P\|_{2} \leq C\sqrt[4]{\varepsilon} \mu_{n,k}^{3M}\|\varphi P\|_{2}$.

3. Proof of Inequality \ref{lem2.3}.

By a homogeneity, we can assume $\|H\|_2 = 1$. Let $\theta \in (0,1)$, $x \in \mathbb{S}^n$ and set $V^n(s) = \text{Vol}(B(x,s) \cap \mathbb{S}^n)$. Let $\beta(\theta, r) > 0$ small enough so that $(1 + \theta/2)V^n((1 + 2\beta)r) \leq (1 + \theta)V^n(r)$ and $(1 - \theta/2)V^n((1 - 2\beta)r) \geq (1 - \theta)V^n(r)$. Let $f_1 : \mathbb{S}^n \to [0,1]$ (resp. $f_2 : \mathbb{S}^n \to [0,1]$) be a smooth function such that $f_1 = 1$ on $B_x((1 + \beta)r) \cap \mathbb{S}^n$ (resp. $f_2 = 1$ on $B_x((1 - 2\beta)r) \cap \mathbb{S}^n$) and $f_1 = 0$ outside $B_x((1 + 2\beta)r) \cap \mathbb{S}^n$ (resp. $f_2 = 0$ outside $B_x((1 - \beta)r) \cap \mathbb{S}^n$). There exist an integer $N(\theta, r)$ and a family $(P^i_k)_{k \leq N}$ such that $P^i_k \in \mathcal{H}^k(\mathbb{R}^{n+1})$ and $A = \sup_{\mathbb{S}^n} |f_i - \sum_{k \leq N} P^i_k| \leq \|f_i\|_{\mathbb{S}^n} \theta/18$. We extend $f_i$ to $\mathbb{R}^{n+1} \setminus \{0\}$ by $f_i(x) = f_i(\frac{x}{|x|})$. Then we have

$$\|\varphi f_i\|_{2}^{2} - \frac{1}{\text{Vol} \mathbb{S}^n} \int_{\mathbb{S}^n} |f_i|^2 \leq I_1 + I_2 + I_3$$

where

$$I_1 := \frac{1}{|v_M|} \int_{M} (|\varphi f_i|^2 - \varphi^2(\sum_{k \leq N} |X|^{-k} P^i_k)^2) dv$$

and

$$I_2 := \frac{1}{|v_M|} \int_{M} \varphi^2(\sum_{k \leq N} |X|^{-k} P^i_k)^2 dv - \sum_{k \leq N} \|P^i_k\|_{\mathbb{S}^n}^{2}$$

and

$$I_3 := \frac{1}{\text{Vol} \mathbb{S}^n} \int_{\mathbb{S}^n} \left(\sum_{k \leq N} P^i_k\right)^2 - f_i^2 \right|.$$ 

On $\mathbb{S}^n$ we have $|f_i^2 - (\sum_{k \leq N} P^i_k)^2| \leq A(2\sup_{\mathbb{S}^n} |f_i| + A) \leq \|f_i\|_{\mathbb{S}^n}^2 \theta/6$ and on $M$ we have

$$\varphi^2 |f_i^2(X) - (\sum_{k \leq N} |X|^{-k} P^i_k(X))^2| \leq \left|f_i^2 \left(\frac{X}{|X|}\right) - \left(\sum_{k \leq N} P^i_k \left(\frac{X}{|X|}\right)\right)^2\right| \leq \|f_i\|_{\mathbb{S}^n}^2 \theta/6.$$
Hence $I_1 + I_3 \leq ||f_i||_{S_n}^2 \theta / 3$. Now

$$I_2 \leq \frac{1}{v_M} \int_M \phi^2 \left( \frac{P_i^2}{|x|^{2k}} \right) dv - \sum_{k \leq N} \|P_k^i\|_{S^n}^2 + \frac{1}{v_M} \int_M \phi^2 \sum_{1 \leq k \neq k' \leq N} \|P'_k P'_k\| dv$$

$$\leq \frac{1}{v_M} \int_M \phi^2 \sum_{k \leq N} \left( |x|^{-2k} - \|H\|_{2k}^2 \right) (P_i^k)^2 dv$$

$$+ \frac{1}{v_M} \int_M \sum_{1 \leq k \neq k' \leq N} \phi^2 \left( \frac{1}{|x|^{k+k'}} - \|H\|_{k+k'}^2 \right) \|P'_k P'_k\| dv$$

$$+ \sum_{k \leq N} \||H||_{2k}^2 \|P_k^i||_{S^n}^2 + \sum_{1 \leq k \neq k' \leq N} \frac{\|H\|_{k+k'}^2}{v_M} \int_M \phi^2 P_k^i P'_k dv$$

We have $\phi^2 \left( \frac{1}{|x|^{k+k'}} - \|H\|_{2k}^2 \right) \leq \phi^2 (k + k')^{2k+k' + 2} \sqrt{\| H \|_{2k}^2}$ by assumption on $\phi$.

From this and Lemma 3.3, we have

$$I_2 \leq N^2 4^{N+1} \sqrt{\varepsilon} \sum_{k \leq N} \||H||_{2k}^2 \|P_k^i||_{S^n}^2 + \sqrt{\varepsilon} \sum_{k \leq N} C(n, k) \|P_k^i||_{S^n}^2$$

$$+ \sum_{1 \leq k \neq k' \leq N} \frac{\|H\|_{k+k'}^2}{v_M} \int_M \phi^2 P_k^i P'_k dv$$

$$\leq C(n, N) \sqrt{\varepsilon} + \sum_{1 \leq k \neq k' \leq N} \frac{\|H\|_{k+k'}^2}{v_M} \int_M \phi^2 P_k^i P'_k dv$$

and, by Lemma 3.3, we have

$$\left| \frac{\|H||_{2k}^2 (\mu_k - \mu_{k'})}{v_M} \int_M \phi^2 P_k^i P'_k dv \right|$$

$$\leq \int_M \left| \phi P_k^i (\Delta (P_k^i) - \||H||_{2k}^2 \mu_k \phi P_k^i) \right| dv + \int_M \left| \phi P_k^i (\Delta (P_k^i) - \||H||_{2k}^2 \mu_{k'} \phi P_k^i) \right| dv$$

$$\leq \||H||_{2k}^2 \| \Delta (P_k^i) - \||H||_{2k}^2 \mu_k \phi P_k^i \|_2 + \||P_k^i||_2 \| \Delta (P_k^i) - \||H||_{2k}^2 \mu_{k'} \phi P_k^i \|_2$$

$$\leq C(n, N) \sqrt{\varepsilon} \||H||_{2k}^2 \|P_k^i||_2 \|P_k^i||_2$$

under the condition $\varepsilon \leq \left( \frac{1}{2C(n, N)} \right)^{32}$. Since $\mu_k - \mu_{k'} \geq n$ when $k \neq k'$, we get

$$\sum_{1 \leq k \neq k' \leq N} \left| \frac{1}{v_M} \int_M \phi^2 P_k^i P'_k dv \right| \leq \sum_{1 \leq k \neq k' \leq N} C(n, N) \sqrt{\varepsilon} \|P_k^i||_2 \|P_k^i||_2 \leq C(n, N) \sqrt{\varepsilon}$$

hence $I_2 \leq C(n, N) \sqrt{\varepsilon}$ and

$$\| f_i \|_{S^n}^2 - \frac{1}{\text{Vol} S^n} \int_{S^n} f_i^2 dv \leq C(n, N) \sqrt{\varepsilon} + \frac{\theta}{3} ||f_i||_{S^n}^2.$$

We infer that if $\sqrt{\varepsilon} \leq \frac{V^n(1-2\beta)r^2\theta}{6C(n,N)\text{Vol} S^n} \leq \| f_i \|_{S^n}^2 \theta$, then we have

$$\| f_i \|_{S^n}^2 - \frac{1}{\text{Vol} S^n} \int_{S^n} | f_i |^2 dv \leq \frac{\theta}{3} || f_i ||_{S^n}^2 / 2$$
Note that $N$ depends on $r$ and $\theta$ but not on $x$ since $O(n + 1)$ acts transitively on $S^n$. By assumption on $f_1$ and $f_2$, we have

$$\Vol(B_{\varepsilon}((1 + \beta)r - \frac{\varepsilon}{\sqrt{n}})) \cap M \cap A \frac{1}{\sqrt{n}} \leq \|\varphi f_1\|^2 \leq (1 + \frac{\theta}{2})\|f_1\|^2_{\operatorname{Vol}_{n}} \leq (1 + \frac{\theta}{2})\frac{V^n((1 + \beta)r)}{\operatorname{Vol}_{n}}$$

$$\Vol(B_{\varepsilon}((1 - \beta)r + 2\frac{\varepsilon}{\sqrt{n}})) \cap M \cap A \frac{1}{\sqrt{n}} \geq \|\varphi f_2\|^2 \geq (1 - \frac{\theta}{2})\|f_2\|^2_{\operatorname{Vol}_{n}} \geq (1 - \frac{\theta}{2})\frac{V^n((1 - \beta)r)}{\operatorname{Vol}_{n}}$$

In the second estimates, we can replace $\varepsilon$ by $\varepsilon/2^{16}$ as soon as we assume that $\varepsilon \leq (\min(\frac{1}{4}, \frac{1}{2^{16}}), (\beta r)^{16}, \frac{\|f_1\|_{\operatorname{Vol}_{n}}}{\beta r}) = K(\theta, r, n)$. Then we have $(1 - \beta)r + \frac{\varepsilon}{\sqrt{n}} \leq r \leq (1 + \beta)r - \frac{\varepsilon}{\sqrt{n}}$ and get

$$\left|\frac{\Vol(B_{\varepsilon}(r) \cap M \cap A \frac{1}{\sqrt{n}})}{\operatorname{Vol}_{n}} - \frac{V^n(r)}{\operatorname{Vol}_{n}}\right| \leq \theta \frac{V^n(r)}{\operatorname{Vol}_{n}}$$

Combined with Lemma 2.2 we get the result with $\tau(\varepsilon| r, n) = \min\{\theta/2^{16}\varepsilon \leq K(\theta, r, n)\}$.

4. Proof of Theorem 1.1

In this section, we extend the technique developed by P.Topping in [12] to get an upper bound of $\operatorname{Diam}(M)$ by $\int_{M} |H|^{n-1}$.

4.1. Decomposition lemma. We begin by a general result on approximation of Euclidean submanifolds in Hausdorff distance by the union of a subset of large volume and a finite family of geodesic trees of total length bounded by $\int_{M} |H|^{n-1}$.

**Lemma 4.1.** Let $M^m$ be an Euclidean compact submanifold of $\mathbb{R}^{n+1}$ and $A \subset M$ a closed subset. There exists a constant $C(m)$ and a finite family of geodesic trees $T_i \subset M$ such that $A \cap T_i \neq \emptyset$, $d_{H}(A \cup (\cup_i T_i), M) \leq C(\operatorname{Vol}(M \setminus A))^{\frac{1}{m}}$ and $\sum \deg(T_i) \leq C(m) \int_{M \setminus A} |H|^{m-1}$.

**Proof.** In [13], using the Michael-Simon Sobolev inequality as a differential inequality on the volume of intrinsic spheres, P.Topping prove the following lemma (slightly modified for our purpose).

**Lemma 4.2 ([13]).** Suppose that $M^m$ is a submanifold smoothly immersed in $\mathbb{R}^{n+1}$, which is complete with respect to the induced metric. Then there exists a constant $\delta(m) > 0$ such that for any $x \in M$ and $R > 0$, at least one of the following is true:

(i) $M(x, R) := \sup_{r \in (0, R]} \int_{B_{\varepsilon}(r)} |H|^{m-1}/r > \delta m^{-1}$;

(ii) $\kappa(x, R) := \inf_{r \in (0, R]} \frac{\operatorname{Vol}(B_{\varepsilon}(r))}{r} > \delta$.

Where $B_{\varepsilon}(r)$ is the geodesic ball in $M$ for the intrinsic distance.

In this section, $d$ stands for the intrinsic distance on $M$. If $d_{H}(A, M) \leq 10\left(\frac{\operatorname{Vol}(M \setminus A)}{\delta(m)}\right)^{\frac{1}{m}}$, then we set $T = \emptyset$.

Otherwise, there exists $x_0 \in M$ such that $d(A, x_0) = d_{H}(A, M) \geq 10\left(\frac{\operatorname{Vol}(M \setminus A)}{\delta(m)}\right)^{\frac{1}{m}}$. Let $\gamma_0 : [0, l_0] \to M \setminus A$ be a normal minimizing geodesic from $x_0$ to $A$. For any
and a family \((F,M,I)\) such that \(M = A \setminus \{(Vol M\setminus A)/m\} \subset M \setminus A\) and by the previous lemma, there exists \(r_{0,t} \leq \left(\frac{Vol M\setminus A}{\delta(m)}\right)^{\frac{1}{m}}\) such that \(r_{0,t} \leq 10\frac{\int_{B_{r_0(t)}}|H|^{m-1}}{Vol(M\setminus A)}\). By compactness of \(\gamma_0(I_0)\) and by Wiener’s selection principle, there exists a finite family \((t_j)_{j \in J_0}\) of elements of \(I_0\) such that the balls of the family \(F_0 = (B_{r_0(t_j)}(r_{0,t_j}))_{j \in J_0}\) are disjoint and \(\gamma(I_0) \subset \cup_{j \in J_0} B_{r_0(t_j)}(3r_{0,t_j})\). Hence we have

\[
\frac{\delta^{m-1}(l_0 - (Vol M\setminus A)/4)}{6} \leq \delta^{m-1} \sum_{j \in J_0} r_{0,t_j} \leq \sum_{j \in J_0} \frac{\int_{B_{r_0(t_j)}(r_{0,t_j})}|H|^{m-1}}{Vol(M\setminus A)}
\]

And by assumption on \(l_0\), we get

\[
10\left(\frac{Vol M\setminus A}{\delta(m)}\right)^{\frac{1}{m}} \leq l_0 \leq 10\frac{\int_{B_{r_0(t_j)}(r_{0,t_j})}|H|^{m-1}}{Vol(M\setminus A)}.
\]

If \(d_H(A \cup \gamma_0([0,l_0]), M) \leq 10\left(\frac{Vol M\setminus A}{\delta(m)}\right)^{\frac{1}{m}}\), we set \(T = \gamma_0([0,l_0])\). Otherwise, we set \(x_1\) a point of \(M \setminus A\) at maximal distance \(l_1\) from \(A \cup \gamma_0([0,l_0])\) and \(\gamma_1\) the corresponding minimal geodesic. We set \(I_1 = [2\left(\frac{Vol M\setminus A}{\delta(m)}\right)^{\frac{1}{m}}, l_1 - 2\left(\frac{Vol M\setminus A}{\delta(m)}\right)^{\frac{1}{m}}]\). Once again, by the Wiener Lemma applied to \(\gamma_1(I_1)\) we get a family of disjoint balls \(F_1 = (B_{\gamma_1(t_j)}(r_{1,t_j}))_{j \in J_1}\) such that

\[
\frac{\delta^{m-1}(l_1 - 4\left(\frac{Vol M\setminus A}{\delta(m)}\right)^{\frac{1}{m}})}{6} \leq \delta^{m-1} \sum_{j \in J_1} r_{1,t_j} \leq \sum_{j \in J_1} \frac{\int_{B_{\gamma_1(t_j)}(r_{1,t_j})}|H|^{m-1}}{Vol(M\setminus A)}
\]

which gives

\[
10\left(\frac{Vol M\setminus A}{\delta(m)}\right)^{\frac{1}{m}} \leq l_1 \leq 10\frac{\int_{B_{\gamma_1(t_j)}(r_{1,t_j})}|H|^{m-1}}{Vol(M\setminus A)}.
\]

Note also that the balls of the family \(F_1 \cup F_2\) are disjoint.

If \(d_H(A \cup \gamma_0([0,l_0]) \cup \gamma_1([0,l_1]), M) \geq 10\left(\frac{Vol M\setminus A}{\delta(m)}\right)^{\frac{1}{m}}\), then by iteration of what was made for \(x_1, \gamma_1\) and \(F_1\), we construct a family \((x_j)_j\) of points, a family \((\gamma_j)_j\) of geodesics and a family \((F_j)_j\) of sets of disjoint balls. Since the \((x_j)_j\) are \(10\left(\frac{Vol M\setminus A}{\delta(m)}\right)^{\frac{1}{m}}\)-separated in \(M\) and since \(M\) is compact, the families are finite and only a finite step of iterations can be made. The set \(T = \cup_j \gamma_j([0,l_j])\) is the disjoint union of a finite set of finite geodesic trees and we have

\[
\frac{\delta^{m-1}}{Vol(M\setminus A)} \int_{M\setminus A}|H|^{m-1} \leq \frac{10}{\delta^{m-1}} \int_{M\setminus A}|H|^{m-1}.
\]

Moreover, the connected parts of \(T\) are geodesic trees whose number is bounded above by

\[
\frac{\delta^{m+1}m^m}{(Vol M\setminus A)^{\frac{m}{2}}} \int_{M\setminus A}|H|^{m-1}.
\]

\[\square\]

4.2. Proof of Theorem 1.1. We begin the proof by the case where \(\int_{M_k}|H|^{m-1} \leq A\). By Topping’s upper bound on the diameter [14] and Blaschke selection theorem, the sequence \(M_k\) converges, in Hausdorff topology, to a closed, connected limit set \(M_\infty\), which contains \(Z\).

It just remain to prove that \(m_1(M_\infty \setminus Z) \leq C(m)A\). Let \(\ell \in \mathbb{N}^*\) fixed. We set \(Z_r = \{x \in \mathbb{R}^{m+1} / d(x,Z) \leq r\}\). By the Michael-Simon Sobolev inequality applied to a constant function, we have \(\text{Vol}(M_k)^{\frac{1}{m}} \leq C(m) \int_{M_k}|H|^{m-1}\). By weak convergence of \((M_k)_k\) to \(Z\), we have \(\lim_k \text{Vol}(M_k \setminus Z_1/2\ell)/\text{Vol}(M_k) = 0\) and by Lemma 4.1 there
exists a finite union of geodesic trees $T_k^\ell$ such that $\lim_k d_H((M_k \cap Z_{1/3\ell}) \cup T_k^\ell, M_\infty) = 0$ and $l(T_k^\ell) \leq C(m) \int_{M_k \setminus Z_{1/3\ell}} |H|^{m-1}$ for any $k$. Moreover, by construction of the part $T$ in the proof of Lemma 4.1 each connected part of $T_k^\ell$ is a geodesic tree intersecting $Z_{1/3\ell}$, and by Inequality (4.1), the number of such component leaving $Z_{2/3\ell}$ is bounded above by $3\ell C(m) \int_{M_k \setminus Z_{1/3\ell}} |H|^{m-1}$. We can assume that this number is constant up to a subsequence. Their union forms a sequence of compact sets $(\tilde{T}_k^\ell)$ which, up to a subsequence, converges to a set $Y$ that contains $M_\infty \setminus Z_{1/\ell}$. By lower semi-continuity of the $m_1$-measure for sequence of trees (see Theorem 3.18 in [2]), we get that $m_1(M_\infty \setminus Z_{1/\ell}) \leq \liminf_k l(\tilde{T}_k^\ell) \leq C(m) \liminf_k \int_{M_k \setminus Z_{1/3\ell}} |H|^{m-1}$. Since $M_\infty \setminus Z = \bigcup_{\ell \in \mathbb{N}} M_\infty \setminus Z_{1/\ell}$, we get the result. So $M_\infty = Z \cup T$ with $T$ a 1-dimensional subset of $\mathbb{R}^{n+1}$ of measure less than $C(m) \liminf_k \int_{M_k \setminus Z} |H|^{m-1} \leq C(m)A$.

In the case $\int_{M_k} |H|^p \leq A$ with $p > m - 1$, we have

$$\int_{M_k \setminus Z_{1/3\ell}} |H|^{m-1} \leq \left(\frac{\Vol M_k \setminus Z_{1/3\ell}}{\Vol M_k}\right)^{\frac{m-1}{p}} \Vol M_k |H|^{n-1}$$

So the weak convergence to $Z$ implies that $m_1(M_\infty \setminus Z_{1/3\ell}) = 0$ for any $\ell$. Since $M_\infty \setminus Z_{1/3\ell} \neq \emptyset$ implies $m_1(M_\infty \setminus Z) \geq 1/3\ell$ by what precedes, we get $M_\infty \subset Z$, hence $M_\infty = Z$.

For the proof of Theorems 1.6 and 1.7, we can assume that $X(M_k) = 0$ and $|H|_2 = 1 \leq |H|_p$ by scaling. Hence we have $v_{M_k} |H|^{n-1} \leq v_{M_k} |H|_p^n \leq A$ and $S_{M_k} = S^n$ for any $k$. Inequality (1.4) and Lemma 4.1 give the Theorems.

5. PROOF OF THEOREM 1.2

We first prove a weak version of Theorem 1.2 where the set $T$ is a segment $[x_0, x_0 + t\nu]$ with $x_0 \in M_1$ and $\nu$ a normal vector to $M_1$ at $x_0$.

Adding of a segment and estimates on the curvature

We take off a small ball of $M_2$ and instead we glue smoothly a curved cylinder along one of its boundary and which is isometric to the product $[0, 1] \times \frac{1}{10} S^{n-1}$ at the neighbourhood of its other boundary component.

We note $H_1$ the resulting submanifold and $H_\varepsilon = \varepsilon H_1$. Let $c : [0, t] \to \mathbb{R}^+$ be a $C^1$ positive function, constant equal to $\frac{1}{10}$ at the neighbourhoods of 0 and $t$, $T_{c, \varepsilon}$ be a cylinder of revolution isometric to $\{(t, u) \in [0, l] \times \mathbb{R}^m / |u| = \varepsilon c(t)\}$ and $J_0$ be a cylinder of revolution isometric to $[0, 1/4] \times \frac{1}{10} S^{n-1}$ at the neighbourhood of one of its boundary component and isometric to the flat annulus $B_0(\frac{1}{10}) \setminus B_0(\frac{1}{20}) \subset \mathbb{R}^m$ at the neighbourhood of its other boundary component. Note that in this paper we will only use the case $c \equiv \frac{1}{10}$ but the general case will be used in [2]. We also set $J_\varepsilon = \varepsilon J_0$ and $N_{c, \varepsilon}$ the submanifold obtained by gluing $H_\varepsilon$, $T_{c, \varepsilon}$ and $J_\varepsilon$.

$\varepsilon$ $M_1$

$H_\varepsilon \quad T_{c, \varepsilon} \quad J_\varepsilon$
Since the second fundamental form of $T_{c,\varepsilon}$ is given by $|B|^2 = \frac{(\varepsilon c')^2}{(1+(\varepsilon c')^2)^2} + \frac{m-1}{\varepsilon c'(1+(\varepsilon c')^2)}$, we have $\int_{N_{c,\varepsilon}} |B|^\alpha \, dv = a(H_1, J_1)\varepsilon^{m-\alpha} + \text{Vol} S^{m-1\varepsilon^{m-1-\alpha}(m-1)^2} \sum_{i=0}^{1} \varepsilon^{m-1-\alpha} + O_{c,\varepsilon}(\varepsilon^{m+1-\alpha})$, where $a(H_1, J_1)$ is a constant that depends only on $H_1$ and $J_1$ (not on $c$, $l$ and $\varepsilon$).

We set $M^\varepsilon$ the submanifold of $\mathbb{R}^{n+1}$ obtained by flattening $M_1$ at the neighbourhood of a point $x_0 \in M_1$ and taking out a ball centred at $x_0$ and of radius $\frac{2\varepsilon}{3}$: $M_1$ is locally equal to $\{x_0 + w + f(w), w \in B_0(\varepsilon_0) \subset T_{x_0}M_1\}$ where $f : B_0(\varepsilon_0) \subset T_{x_0}M_1 \rightarrow N_{x_0}M_1$ is a smooth function and $N_{x_0}M_1$ is the normal bundle $M_1$ at $x_0$. Let $\varphi : \mathbb{R}^+ \rightarrow [0, 1]$ be a smooth function such that $\varphi = 0$ on $[0, \frac{2\varepsilon}{3}]$ and $\varphi = 1$ on $[\frac{2\varepsilon}{3}, +\infty)$. We set $M^\varepsilon$ the submanifold obtained by replacing the subset $\{x_0 + w + f(w), w \in B_0(\varepsilon_0) \subset T_{x_0}M_1\}$ by $\{x_0 + w + f^\varepsilon(w), w \in B_0(\varepsilon_0) \setminus B_0(3\varepsilon/10) \subset T_{x_0}M_1\}$, with $f^\varepsilon(w) = f(\varphi(\varepsilon_0||w||)w)$ for any $\varepsilon \leq 3\varepsilon_0/2$. Note that $M^\varepsilon$ is a smooth deformation of $M_1$ in a neighbourhood of $x_0$ and its boundary has a neighbourhood isometric to a flat annulus $B_0(\varepsilon/3) \setminus B_0(3\varepsilon/10)$ in $\mathbb{R}^m$. Note that for $\varepsilon$ small enough, $M^\varepsilon \setminus \{x \in M^\varepsilon/d(x, \partial M^\varepsilon) \leq 8\varepsilon\}$ is a subset of $M_1$. This fact will be used below. As a graph of a function, the curvatures of $M^\varepsilon$ at the neighbourhood of $x_0$ are given by the formulae

$$\begin{align*}
|B^\varepsilon|^2 &= \sum_{i,j,k,l=1}^{m} \sum_{p,q=m+1}^{n+1} Ddf_p(e_i, e_k)Ddf_q(e_j, e_l)H^{i,j}H^{k,l}G^{p,q}, \\
H^\varepsilon &= \frac{1}{m} \sum_{i,j=1}^{n+1} \sum_{k,l=m+1}^{m} Ddf_k(e_i, e_j)H^{i,j}G^{k,l}(\nabla f_l - e_l)
\end{align*}$$

where $(e_1, \ldots, e_m)$ is an ONB of $T_{x_0}M_1$, $(e_{m+1}, \ldots, e_{n+1})$ an ONB of $N_{x_0}M_1$, $f^\varepsilon(w) = \sum_{i=m+1}^{n+1} f_i(w)e_i$, $G_{kl} = \delta_{kl} + (\nabla f_k, \nabla f_l)$ and $H_{kl} = \delta_{kl} + (df^\varepsilon(e_k), df^\varepsilon(e_l))$. Now $f^\varepsilon$ converges in $C^\infty$ norm to $f$ on any compact subset of $B_0(\varepsilon_0) \setminus \{0\}$, while $|df^\varepsilon|$ and $|Ddf^\varepsilon|$ remain uniformly bounded on $B_0(\varepsilon_0)$ when $\varepsilon$ tends to 0. By the Lebesgue convergence theorem, we have

$$\int_{M^\varepsilon} |H^\varepsilon|^\alpha \, dv \rightarrow \int_{M_1} |H|^\alpha \, dv \quad \int_{M^\varepsilon} |B^\varepsilon|^\alpha \, dv \rightarrow \int_{M_1} |B|^\alpha \, dv.$$ 

We set $M_\varepsilon$ the $m$-submanifold of $\mathbb{R}^{n+1}$ obtained by gluing $M^\varepsilon$ and $N_{c,\varepsilon}$ along their boundaries in a fixed direction $\nu \in N_{x_0}M_1$. Note that $M_\varepsilon$ is a smooth immersion of $M_1 \# M_2$.

\[\begin{array}{c}
M_\varepsilon
\end{array}\]

By the computations above, we get the announced limits 1), 2) and 4) for the sequence $i_k(M_1 \# M_2) = M^\varepsilon_k$ as $k$ tends to $\infty$.

Computation of the spectrum

We will adapt the method developed by C. Anné in [4]. We set $(\lambda_k)_{k \in \mathbb{N}}$ the spectrum with multiplicities obtained by union the spectrum of $M_1$ and of the spectrum $Sp(P_\varepsilon)$ of the operator $P(f) = -f'' - (m - 1)\varepsilon^2 f'$ on $[0, l]$ with Dirichlet condition at 0 and Neumann condition at $l$. We denote by $(\mu_k)_{k \in \mathbb{N}}$ the eigenvalues of $M_1$ counted with
multiplicities and by \((P_k)_{k \in \mathbb{N}}\) a \(L^2\)-ONB of eigenfunctions of \(M_1\). We set \((\nu_k, h_k)_{k \in \mathbb{N}}\) and
\((\lambda_k^s, f_k^s)_{k \in \mathbb{N}}\) the corresponding data on \([0, l], \epsilon^{1/2}(t) dt\) and \(M_\varepsilon\). We set \(h_k^s\) the function on \(M_\varepsilon\) obtained by considering \(h_k\) as a function on the cylinder \(T_{c,\varepsilon}\), extending it continuously by 0 on \(J_\varepsilon\) and \(M_1\), and by \(h_k(l)\) on \(H_\varepsilon\). We also set \(\tilde{P}_k^s\) the function on \(M_\varepsilon\) which is equal to \(\psi_\varepsilon(d(\partial M_\varepsilon^s, \cdot)) P_k^s\) on \(M_1^s\) (with \(\psi_\varepsilon(t) = 0\) when \(t \leq 8\varepsilon\), \(\psi_\varepsilon(t) = \frac{\ln t - \ln(8\varepsilon)}{-\ln(8\sqrt{\varepsilon})}\) when \(t \in [8\varepsilon, \sqrt{\varepsilon}]\) and \(\psi_\varepsilon(t) = 1\) otherwise) and is extended by 0 outside \(M_1^s\). Using the family \((h_k^s, P_k^s)\) as test functions, the min-max principle easily gives us
\begin{equation}
\lambda_k^s \leq \lambda_k(1 + \tau(\varepsilon|k, n, c, M_1))
\end{equation}
For any \(k \in \mathbb{N}\), we set \(\alpha_k = \liminf_{\varepsilon \to 0} \lambda_k^s\), \(\varphi_k^{(1)}(x) = \varepsilon^2 (f_k^s)_{|H_\varepsilon \cup J_\varepsilon}(\varepsilon x)\), seen as a function on \(H_1 \cup J_1\), \(\varphi_k^{(2)}(t, x) = \varepsilon^2 (f_k^s)|_{T_{c,\varepsilon}}(t, \varepsilon c(t)x)\) as a function on \([0, l] \times \mathbb{S}^{m-1}\) and \(\varphi_k^{(3)}\) the function on \(M_1\) equal to \(f_k^s\) on \(\{x \in M_1^s / d(x, \partial M_1^s) \geq 8\varepsilon\}\) and extended harmonically to \(M_1\).
Easy computations give us
\begin{equation}
\int_{H_1 \cup J_1} |\varphi_k^{(1)}|^2 = \int_{H_1 \cup J_1} |f_k^s|^2, \quad \int_{H_1 \cup J_1} |d\varphi_k^{(1)}|^2 = \varepsilon^2 \int_{H_1 \cup J_1} |df_k^s|^2
\end{equation}
\begin{equation}
\int_{T_{c,\varepsilon}} |f_k^s|^2 = \int_0^l \int_{\mathbb{S}^{m-1}} |\varphi_k^{(2)}(t, u)|^2 du \sqrt{1 + \varepsilon^2(c'(t))^2} \varepsilon^{m-1}(t) dt,
\end{equation}
\begin{equation}
\int_{T_{c,\varepsilon}} |df_k^s|^2 = \int_0^l \left[ \frac{\epsilon^{m-1}}{\sqrt{1 + \varepsilon^2(c'(t))^2}} \int_{\mathbb{S}^{m-1}} \left| \frac{\partial \varphi_k^{(2)}}{\partial t}\right|^2 + \frac{\sqrt{1 + \varepsilon^2(c'(t))^2} \varepsilon^{m-1}}{\varepsilon^2 c'^2} \int_{\mathbb{S}^{m-1}} |d\varphi_k^{(2)}|^2 \right].
\end{equation}
The argument of C. Anne in \([11]\) (or of Rauch and Taylor in \([10]\)) can be adapted to get that there exists a constant \(C(M_1)\) such that \(\|\varphi_k^{(3)}\|_{H^1(M_1)} \leq C\|\tilde{f}_k^s\|_{H^1(M_1)}\). Since we have \(\|f_k^s\|_{H^1(M_1)} = 1 + \lambda_k^s\) \(\ref{5.1}\) gives us \(\|\varphi_k^{(3)}\|_{H^1(M_1)} \leq C(k, M_1, l)\) for \(\varepsilon \leq \varepsilon_0(k, M_1, l)\). We infer that for any \(k \in \mathbb{N}\) there is a subsequence \(\varphi_k^{(3)}\) which weakly converges to \(\tilde{f}_k^3\) in \(H^1(M_1)\) and strongly in \(L^2(M_1)\) and such that \(\lim_{k} \lambda_k^{(3)} = \alpha_k\). By definitions of \(M_1^s\) and \(\varphi_k^{(3)}\), and since \(C^{(3)}(M_1 \setminus \{x_0\})\) is dense in \(C^\infty(M_1)\), it is easy to see that \(\tilde{f}_k^3\) is a distributional (hence a strong) solution to \(\Delta \tilde{f}_k^3 = \alpha_k \tilde{f}_k^3\) on \(M_1\) (see \([13]\), p.206). In particular, either \(\tilde{f}_k^3\) is 0 or \(\alpha_k\) is an eigenvalue of \(M_1\).
By the same compactness argument, there exists a subsequence \(\varphi_k^{(1)}\) which weakly converges to \(\tilde{f}_k^1\) in \(H^1(H_1 \cup J_1)\) and strongly in \(L^2(H_1 \cup J_1)\). By Equalities \(\ref{5.2}\), we get that \(\|d\tilde{f}_k^1\|_{L^2(H_1)} = 0\) and so \(\tilde{f}_k^1\) is constant on \(H_1\) and on \(J_1\) and \(\varphi_k^{(1)}\), strongly converges to \(\tilde{f}_k^1\) in \(H^1(H_1 \cup J_1)\). Let \(\eta : [0, 10] \to [0, 1]\) be a smooth function such that \(\eta(x) = 1\) for any \(x \leq 1/2\), \(\eta(x) = 0\) for any \(x \geq 1\) and \(\|\eta\| < 4\). We set \(s_\varepsilon\) the distance function to \(\partial S_\varepsilon = \{0\} \times \mathbb{S}^{m-1}\) in \(S_\varepsilon = M_1^s \cup \partial J_\varepsilon\) and \(\theta_\varepsilon\) the volume density of \(S_\varepsilon\) in normal coordinate to \(\partial S_\varepsilon\). We set \(L\) the distance between the two boundary components of \(J_1\). By construction of \(S_\varepsilon\), we have \(\frac{3}{10} \geq \theta_\varepsilon(s_\varepsilon, u) = \theta_1(s_\varepsilon/\varepsilon) \geq 1\) for any
\( s_\varepsilon \in [0, L\varepsilon] \) and any \( u \) normal to \( \partial S_\varepsilon \), and \( c(M_1) (\frac{\varepsilon_1}{\varepsilon})^{m-1} \geq \theta_\varepsilon (s_\varepsilon, u) \geq \frac{1}{c(M_1)} (\frac{\varepsilon_1}{\varepsilon})^{m-1} \) for \( s_\varepsilon \in [\varepsilon L, 8\varepsilon] \). Hence, if we denote by \( \partial S_\varepsilon (r) \) the set of points in \( S_\varepsilon \) at distance \( r \) from \( \partial S_\varepsilon \), we get for any \( r \leq 8 + L \) that

\[
\int_{\partial S_\varepsilon (r)} (f_\varepsilon^c)^2 = \int_{\varepsilon_1 S_{\varepsilon_1} \times \varepsilon_1 S_{\varepsilon_1}} \left( \int_{\partial S_\varepsilon} \frac{\partial}{\partial S_\varepsilon} [\eta(\cdot) f_k^c(\cdot, u)] ds_\varepsilon \right)^2 \theta_\varepsilon (r, \varepsilon, u) du
\]

\[
= \frac{c(M_1)\varepsilon}{10^{m-1}} \int_{\varepsilon_1 S_{\varepsilon_1} \times \varepsilon_1 S_{\varepsilon_1}} \left( \int_{\partial S_\varepsilon} \frac{\partial}{\partial S_\varepsilon} [\eta(\cdot) f_k^c(\cdot, \frac{\varepsilon}{10} u)] ds_\varepsilon \right)^2 \theta_\varepsilon (r, \frac{\varepsilon}{10}, u) du
\]

\[
\leq c(M_1) \varepsilon \int_{\varepsilon_1 S_{\varepsilon_1} \times \varepsilon_1 S_{\varepsilon_1}} \left( \int_{\partial S_\varepsilon} \frac{\partial}{\partial S_\varepsilon} [\eta(\cdot) f_k^c(\cdot, \frac{\varepsilon}{10} u)] ds_\varepsilon \right)^2 \theta_\varepsilon (s_\varepsilon, \frac{\varepsilon}{10}) ds_\varepsilon \left( \int_{\partial S_\varepsilon} \frac{1}{\theta_\varepsilon (s_\varepsilon, \frac{\varepsilon}{10} u)} ds_\varepsilon \right) du
\]

(5.5)

which gives us \( \varepsilon_1 \int_{\partial S_\varepsilon} (f_k^c)^2 = \int_{\partial S_\varepsilon} (\varphi_k^c)^2 \rightarrow \int_{\partial S_\varepsilon} (f_k^{(1)})^2 = 0 \) (by the trace inequality and the compactness of the trace operator) and so \( f_k^{(1)} \) is null on \( J_1 \).

By (5.4), and since \( c \) is positive and \( C^1 \) on \([0, l]\), there exists a subsequence \( \varphi_k^{(2)} \) which converges weakly to \( \tilde{f}_k^{(2)} \) in \( H^1([0, l] \times S^{m-1}) \) and strongly in \( L^2([0, l] \times S^{m-1}) \).

By the trace inequality applied on \([0, l] \times S^{m-1}\), we also have that \( \|\varphi_k^{(2)}\|_{L^2([0, l] \times S^{m-1})} \) is bounded. Now, since

\[ 10^{1-m} \int_{(l) \times S^{m-1}} |\varphi_k^{(2)}| = \varepsilon_1 \int_{(l) \times S^{m-1}} |f_k^c| = \varepsilon_1 \int_{\partial H_\varepsilon} |f_k^c|^2 = \int_{\partial H_\varepsilon} |\varphi_k^{(1)}|^2 \]

we get that \( \tilde{f}_k^{(1)} \) is on \( H_\varepsilon \).

We set \( h(t) = \int_{S_{\varepsilon_1} \times S_{\varepsilon_1}} \varphi_k^{(2)} (t, x) dx \) and \( h(t) = \int_{S_{\varepsilon_1} \times S_{\varepsilon_1}} \varphi_k^{(2)} (t, x) dx \), we have \( h, h_1 \in H^1([0, l]) \) (with \( h_1(t) = \int_{S_{\varepsilon_1} \times S_{\varepsilon_1}} \frac{\partial \varphi_k^{(2)}}{\partial \varepsilon_1} (t, x) dx \)), \( h \rightarrow h \) strongly in \( L^2([0, l]) \) and weakly in \( H^1([0, l]) \). For any \( \psi \in C^\infty([0, l]) \) with \( \psi(0) = 0 \) and \( \psi'(l) = 0 \), seen as a function on \( T_{\varepsilon_1, \varepsilon} \) and extended by 0 to \( S_\varepsilon \) and by \( \psi(l) \) to \( H_\varepsilon \), we have

\[
\int_0^l h'(\psi c^{-1})' dt - (m - 1) \int_0^l h' c' \psi c^{-1} dt = \int_0^l \psi' c' c^{-1} dt = \lim_{m \rightarrow 0} \int_0^l h' \psi c^{-1} dt
\]

\[
= \lim_{m \rightarrow 0} \int_0^l h'_1(t') \psi(t') c^{-1} dt' = \int_0^l h' \psi c^{-1} dt
\]

\[
= \lim_{m \rightarrow 0} \int_{\varepsilon_1 S_{\varepsilon_1} \times S_{\varepsilon_1}} \frac{e^{-\frac{1}{1+\varepsilon_1^2 c'^2}}} {\sqrt{1+\varepsilon_1^2 c'^2}} \frac{\partial}{\partial \varepsilon_1} (d \varphi_k^{(2)}, d \psi) \leq \lim_{m \rightarrow 0} \int_{H_\varepsilon} \frac{1}{\varepsilon_1^2} \lambda_k f_k^{(2)} \psi
\]

\[
= \alpha_k \int_{(0, l) \times S^{m-1}} (\varphi_k^{(2)}) \psi c^{-1} dt
\]

\[
= \alpha_k \int_0^l h \psi c^{-1} dt
\]

where we have used that \( \varepsilon_1 \frac{1}{\varepsilon_1^2} |f_k| \leq \sqrt{\varepsilon_1} \sqrt{\text{Vol}(H_\varepsilon)} \int_{H_\varepsilon} (\varphi_k^{(2)})^2 \). Since \( c \) is positive, we get that \( h \) is a weak solution to \( y'' + (m - 1) c' y' + \alpha_k y = 0 \) on \([0, l]\) and that \( h'(l) = 0 \). Since we have \( 10^{m-1} \int_{\partial S_\varepsilon} (f_k^c)^2 = \int_{(0, l) \times S^{m-1}} (\varphi_k^{(2)})^2 \rightarrow \int_{(0, l) \times S^{m-1}} (\tilde{f}_k^{(2)})^2 \) (by compactness of the trace operator) and \( \int_{\partial S_\varepsilon} (f_k^c)^2 \rightarrow 0 \) by (5.3), we get \( |h(0)|^2 \leq \int \)
Vol $\mathbb{S}^{m-1} \int_{\{0\} \times \mathbb{S}^{m-1}} (\tilde{f}_k^{(2)})^2 = 0$, and so $h(0) = 0$. Since $d_{\mathbb{S}^{m-1}} \varphi_{k,\varepsilon_i}^{(2)}$ converges weakly to $d_{\mathbb{S}^{m-1}} \tilde{f}_k^{(2)}$ in $L^2([0, l] \times \mathbb{S}^{m-1})$, Inequality (5.3) gives $\|d_{\mathbb{S}^{m-1}} \tilde{f}_k^{(2)}\|_{L^2([0, l] \times \mathbb{S}^{m-1})} = 0$, i.e. $\tilde{f}_k^{(2)}$ is constant on almost every sphere $\{t\} \times \mathbb{S}^{m-1}$ of $[0, l] \times \mathbb{S}^{m-1}$. We infer that $\tilde{f}_k^{(2)}$ is equal to $\frac{1}{\Vol \mathbb{S}^{m-1}} h$ seen as a function on $[0, l] \times \mathbb{S}^{m-1}$ and so, either $\tilde{f}_k^{(2)} = 0$ or $\alpha_k$ is an eigenvalue of $P_c$ for the Dirichlet condition at 0 and the Neumann condition at $l$.

To conclude, we have

$$\int_{M_1} \tilde{f}_k^{(3)} \tilde{f}_l^{(3)} + \int_{[0, l] \times \mathbb{S}^{m-1}} \tilde{f}_k^{(2)} \tilde{f}_l^{(2)} c^{m-1}$$

$$= \lim_{i} \int_{M_1} \varphi_{k,\varepsilon_i}^{(3)} \varphi_{l,\varepsilon_i}^{(3)} + \int_{[0, l] \times \mathbb{S}^{m-1}} \varphi_{k,\varepsilon_i}^{(1)} \varphi_{l,\varepsilon_i}^{(1)} + \int_{[0, l] \times \mathbb{S}^{m-1}} \varphi_{k,\varepsilon_i}^{(2)} \varphi_{l,\varepsilon_i}^{(2)} c^{m-1} \sqrt{1 + \varepsilon_i^2 (e')^2}$$

$$= \lim_{i} \int_{M_{l,v}} f_k \, \varphi_{l,\varepsilon_i} + \lim_{i} \int_{M_{l,v} \cap \partial \mathcal{M}_{l,v}} f_k \, \varphi_{l,\varepsilon_i}$$

$$= \delta_{kl},$$

where, in the last equality, we have used that $\varphi_{k,\varepsilon_i}^{(3)}$ and $\varphi_{l,\varepsilon_i}^{(3)}$ converge strongly to $\tilde{f}_k^{(3)}$ and $\tilde{f}_l^{(3)}$ in $L^2(M_1)$, that $\Vol (M_1 \setminus (M_{l,v} \setminus \partial \mathcal{M}_{l,v}))$ tends to 0 with $\varepsilon_i$, and the inequality

$$\int_{M_{l,v} \setminus \partial \mathcal{M}_{l,v}} (f_k)^2 \leq c(M_1) \|f_k\|_{H^1(M_{l,v})} \varepsilon_i^2 \ln \varepsilon_i$$

which is obtained by integration of Inequality (5.5) with respect on $r \in [L, L + 8]$. Note that we need the inclusion Hence, by the min-max principle, we have $\alpha_k \geq \lambda_k$ for any $k \in \mathbb{N}$. We conclude that $\lim_{i \to 0} \lambda_k(M_{l,v}) = \lambda_k$ for any $k \in \mathbb{N}$. Note that in the case $c \equiv \frac{1}{\Vol \mathbb{S}^{m-1}}$ the spectrum of $P_c$ with Dirichlet condition at 0 and Neumann condition at $l$ is $\{\frac{2 \pi}{\sqrt{m}(k + \frac{1}{2})^2}, k \in \mathbb{N}\}$ with all the multiplicities equal to 1.

End of the proof of Theorem 1.2.

In the sequence of immersions constructed above we have all the properties announced for $T = [x_0, x_0 + ln]$, except the point 3) since all the eigenvalues of $[0, l]$ appear in the spectrum of the limit. To get the result for $T = [x_0, x_0 + ln]$, we fix $k \in \mathbb{N}$ and $l_k$ small enough such that $\lambda_1([0, l_k]) > 2k$ and with $l/l_k \in \mathbb{N}$. We then consider an immersion of $N_1 = M_1 \# \mathbb{S}^m$ such that $d_H(M_1 \cup [x_0, x_0 + l_k ln], N_1) \leq 2^{-\frac{1}{l_k}}$, $|\lambda_p(M_1) - \lambda_p(M_1)| \leq 2^{-\frac{1}{l_k}}$ for any $p$ such that $\lambda_p(M_1) \leq k$ (it is possible for this choice of $l_k$ according to the weak version of the theorem proved above) and the same for the point 4) and 2) of the theorem (equality up to an error bounded by $2^{-\frac{1}{l_k}}$). We now iterate the procedure to get a sequence of $\frac{1}{l_k}$ immersions $N_2 = N_1 \# \mathbb{S}^m, \ldots$, $N_{l_k - 1} = N_{l_k - 2} \# \mathbb{S}^m$, $N_{l_k} = N_{l_k - 1} \# M_2$
such that
\[
d_H(N_i, M_1 \cup [x_0, x_0 + il \nu]) \leq i 2^{-\frac{1}{2k}}, \quad |\text{Vol } N_{i+1} \setminus N_i| \leq 2^{-\frac{1}{2k}} \text{Vol } M_1,\]
\[
\int_{N_{i+1} \setminus N_i} |B|^{(m-1)\frac{1}{l \nu}} \leq 2^{-\frac{1}{2k}} \int_{M_1} |H|^{(m-1)\frac{1}{l \nu}},
\]
\[
|\int_{N_{i+1} \setminus N_i} |B|^{m-1} - \text{Vol } S^{m-1}l_k| \leq 2^{-\frac{1}{2k}} \int_{M_1} |B|^{m-1},
\]
\[
|\int_{N_{i+1} \setminus N_i} |H|^{m-1} - \text{Vol } S^{m-1}l_k| \leq 2^{-\frac{1}{2k}} \int_{M_1} |H|^{m-1},
\]
\[
|\lambda_p(N_i) - \lambda_p(N_{i+1})| \leq 2^{-\frac{1}{2k}} \text{ for any } i \leq \frac{l}{l_k} - 1 \text{ and any } p \leq N.
\]

The sequence $i_k(M_1 \# M_2) = N_k$ satisfies Theorem [4] for $T = [x_0 + l \nu]$.

In the procedure to get the theorem for $T = [x_0, x_0 + l \nu]$ from its weak version, we add at each step the new small cylinder $T_{x_0, l \nu}$ along the same axis $x_0 + \mathbb{R} \times l \nu$. This can be easily generalized to get the lemma for $M_1 \cup T_i$ any finite union of finite trees, each intersecting $M_1$, and such that $\sum_i m_1(T_i) \leq l$. Finally, if $T$ is a closed subset such that $m_1(T) \leq C(m) \int |H|^{m-1}$ and $M_1 \cup T$ is connected, then each connected component of $T$ intersects $M_1$. Arguing as in the proof of Theorem [1], we get that $T$ has only a finite number of connected component leaving $(M_1 \# M_2)$. Since any closed, connected $F_i \subset \mathbb{R}^{n+1}$ with $m_1(F_i)$ finite can be approximated in Hausdorff distance by a sequence of finite trees $T_{i,k}$ (such that $m_1(T_{i,k}) \to m_1(F_i)$ see [2]), by the same kind of diagonal procedure, Theorem [1] is obtained for any $T$ with finite $m_1(T)$. In the case $m_1(T) = \infty$ then the $L^{m-1}$ control of the curvature in condition 2) are automatically satisfied and the other conditions are fulfilled as above by approximating $M_1 \cup T$ by a finite number of finite trees. Finally, if $M_1 \cup T$ is not bounded, then we replace it by $(M_1 \cup T) \cap B_0(\mathbb{R}^{n+1})$, which is closed, connected and bounded. We then get the result in its whole generality by a diagonal procedure.

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