Aligning Multilingual Word Embeddings for Cross-Modal Retrieval Task

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Abstract
In this paper, we propose a new approach to learn multimodal multilingual embeddings for matching images and their relevant captions in two languages. We combine two existing objective functions to make images and captions close in a joint embedding space while adapting the alignment of word embeddings between existing languages in our model. We show that our approach enables better generalization, achieving state-of-the-art performance in text-to-image and image-to-text retrieval task, and caption-caption similarity task. Two multimodal multilingual datasets are used for evaluation: Multi30k with German and English captions and Microsoft-COCO with English and Japanese captions.

1 Introduction
In recent years, there has been a huge and significant amount of research in text and image retrieval tasks which needs the joint modeling of both modalities. Further, a large number of image-text datasets have become available (Elliott et al., 2016; Hodosh et al., 2013; Young et al., 2014; Lin et al., 2014), and several models have been proposed to generate captions for images in the dataset (Lu et al., 2018; Bernardi et al., 2016; Anderson et al., 2017; Lu et al., 2016; Mao et al., 2014; Rennie et al., 2016). There has been a great amount of research in learning a joint embedding space for texts and images in order to use the model in sentence-based image search or cross-modal retrieval task (Frome et al., 2013; Kiros et al., 2014; Donahue et al., 2014; Lazaridou et al., 2015; Socher et al., 2013; Hodosh et al., 2013; Karpathy et al., 2014).

Previous works in image-caption task and learning a joint embedding space for texts and images are mostly related to English language, however, recently there is a large amount of research in other languages due to the availability of multilingual datasets (Funaki and Nakayama, 2015; Elliott et al., 2016; Rajendran et al., 2015; Miyazaki and Shimizu, 2016; Lucia Specia and Elliott, 2016; Young et al., 2014; Hitschler and Riezler, 2016; Yoshikawa et al., 2017). The aim of these models is to map images and their captions in a single language into a joint embedding space (Rajendran et al., 2015; Calixto et al., 2017).

Related to our work, Gella et al. (2017) proposed a model to learn a multilingual multimodal embedding by utilizing an image as a pivot between languages of captions. While a text encoder is trained for each language in Gella et al. (2017), we propose instead a model that learns a shared and language-independent text encoder between languages, yielding better generalization. It is generally important to adapt word embeddings for the task at hand. Our model enables tuning of word embeddings while keeping the two languages aligned during training, building a task-specific shared embedding space for existing languages.

In this attempt, we define a new objective function that combines a pairwise ranking loss with a loss that maintains the alignment in multiple languages. For the latter, we use the objective function proposed in Joulin et al. (2018) for learning a linear mapping between languages inspired by cross-domain similarity local scaling (CSLS) retrieval criterion (Conneau et al., 2017) which obtains the state-of-the-art performance on word translation task.

In the next sections, the proposed approach is called Aligning Multilingual Embeddings for cross-modal retrieval (AME). With experiments on two multimodal multilingual datasets, we show that AME outperforms existing models on text-image multimodal retrieval tasks. The code we used to train and evaluate the model is available at https://github.com/alirezamshi/AME-CMR
2 Datasets

We use two multilingual image-caption datasets to evaluate our model, Multi30k and Microsoft COCO (Elliott et al., 2016; Lin et al., 2014).

Multi30K is a dataset with 31,014 German translations of English captions and 155,070 independently collected German and English captions. In this paper, we use independently collected captions which each image contains five German and five English captions. The training set includes 29,000 images. The validation and test sets contain 1,000 images.

MS-COCO (Lin et al., 2014) contains 123,287 images and five English captions per image. Yoshikawa et al. (2017) proposed a model which generates Japanese descriptions for images. We divide the dataset based on Karpathy and Li (2014). The training set contains 113,287 images. Each validation and test set contains 5,000 images.

3 Problem Formulation

3.1 Model for Learning a Multilingual Multimodal Representation

Assume image \( i \) and captions \( c_X, i \) and \( c_Y, i \) are given in two languages, \( X \) and \( Y \) respectively. Our aim is to learn a model where the image \( i \) and its captions \( c_X, i \) and \( c_Y, i \) are close in a joint embedding space of dimension \( m \). AME consists of two encoders \( f_i \) and \( f_c \), which encode images and captions. As multimodal text encoder, we use a recurrent neural network with gated recurrent unit (GRU). For the image encoder, we use a convolutional neural network (CNN) architecture. The similarity between a caption \( c \) and an image \( i \) in the joint embedding space is measured with a similarity function \( P(c, i) \). The objective function is as follows (inspired by Gella et al. (2017)):

\[
L_R = \sum_{(c_X, i)} \left( \sum_{c_Y,j} \max\{0, \alpha - P(c_X, i) + P(c_Y,j)\} + \sum_j \max\{0, \alpha - P(c_X, i) + P(c_Y,j)\} \right)
\]

(1)

Where \( S \) stands for both languages, and \( \alpha \) is the margin. \( c_Y, j \) and \( j \) are irrelevant caption and image of the gold-standard pair \( (c_X, i) \).

3.2 Alignment Model

Each word \( k \) in the language \( X \) is defined by a word embedding \( x_k \in \mathbb{R}^d \) \( (y_k \in \mathbb{R}^d \) in the language \( Y \) respectively). Given a bilingual lexicon of \( N \) pairs of words, we assume the first \( n \) pairs \( \left\{(x_i, y_i)\right\}_{i=1}^{n} \) are the initial seeds, and our aim is to augment it to all word pairs that are not in the initial lexicons. Mikolov et al. (2013) proposed a model to learn a linear mapping \( W \in \mathbb{R}^{d \times d} \) between the source and target languages:

\[
\min_{W \in \mathbb{R}^{d \times d}} \frac{1}{n} \sum_{i=1}^{n} \ell(Wx_i, y_i|x_i, y_i)
\]

(2)

\[
\ell(Wx_i, y_i|x_i, y_i) = (Wx_i - y_i)^2
\]

Where \( \ell \) is a square loss. One can find the translation of a source word in the target language by performing a nearest neighbor search with Euclidean distance. But, the model suffers from a "hubness problem": some word embeddings become uncommonly the nearest neighbors of a great number of other words (Doddington et al., 1998; Dinu and Baroni, 2014).

In order to resolve this issue, Joulin et al. (2018) proposed a new objective function inspired by CSLS criterion to learn the linear mapping:

\[
L_A = \frac{1}{n} \sum_{i=1}^{n} -2x_i^T W^T y_i + \frac{1}{k} \sum_{y_j \in N_X(y_i)} x_i^T W^T y_j + \frac{1}{k} \sum_{w_{X,y} \in N_X(y_i)} x_i^T W^T y_j
\]

(3)

Where \( N_X(y_i) \) means the \( k \)-nearest neighbors of \( y_i \) in the set of source language \( X \). They constrained the linear mapping \( W \) to be orthogonal, and word vectors are \( l2 \)-normalized.

The whole loss function is the equally weighted summation of the aforementioned objective functions:

\[
L_{total} = L_R + L_A
\]

(4)

The model architecture is illustrated in Figure 1. We observe that updating the parameters in (3) every \( T \) iterations with learning rate \( lr_{align} \) obtains the best performance.

We use two different similarity functions, symmetric and asymmetric. For the former, we use the cosine similarity function and for the latter, we use the metric proposed in Vendrov et al. (2015), which encodes the partial order structure of the visual-semantic hierarchy. The metric similarity is defined as:

\[
S(a, b) = -||max(0, b - a)||^2
\]

(5)

Where \( a \) and \( b \) are the embeddings of image and caption.
Table 1: Image-caption ranking results for English (Multi30k)

| Model       | Image to Text | Text to Image |
|-------------|---------------|---------------|
|             | R@1 R@5 R@10 Mr | R@1 R@5 R@10 Mr | Alignment |
| symmetric   |               |               |            |
| Parallel (Gella et al., 2017) | 31.7 62.4 74.1 3 | 24.7 53.9 65.7 5 | - |
| UVS (Kiros et al., 2014) | 23.0 50.7 62.9 5 | 16.8 42.0 56.5 8 | - |
| EmbeddingNet (Wang et al., 2017) | 40.7 69.7 79.2 - | 29.2 59.6 71.7 - | - |
| sm-LSTM (Huang et al., 2016) | 42.5 71.9 81.5 2 | 30.2 60.4 72.3 3 | - |
| VSE++ (Faghri et al., 2017) | 43.7 71.9 82.1 2 | 32.3 60.9 72.1 3 | - |
| Mono        | 41.4 74.2 84.2 2 | 32.1 63.0 73.9 3 | - |
| FME         | 39.2 71.1 82.1 2 | 29.7 62.5 74.1 3 | 76.81% |
| AME         | 43.5 77.2 85.3 2 | 34.0 64.2 75.4 3 | 66.91% |
| asymmetric  |               |               |            |
| Pivot (Gella et al., 2017) | 33.8 62.8 75.2 3 | 26.2 56.4 68.4 4 | - |
| Parallel (Gella et al., 2017) | 31.5 61.4 74.7 3 | 27.1 56.2 66.9 4 | - |
| Mono        | 47.7 77.1 86.9 2 | 35.8 66.6 76.8 3 | - |
| FME         | 44.9 76.9 86.4 2 | 34.2 66.1 77.1 3 | 76.81% |
| AME         | 50.5 79.7 88.4 1 | 38.0 68.5 78.4 2 | 73.10% |

Table 2: Image-caption ranking results for German (Multi30k)

| Model       | Image to Text | Text to Image |
|-------------|---------------|---------------|
|             | R@1 R@5 R@10 Mr | R@1 R@5 R@10 Mr | Alignment |
| symmetric   |               |               |            |
| Parallel (Gella et al., 2017) | 28.2 57.7 71.3 4 | 20.9 46.9 59.3 6 | - |
| Mono        | 34.2 67.5 79.6 3 | 26.5 54.7 66.2 4 | - |
| FME         | 36.8 69.4 80.8 2 | 26.6 56.2 68.5 4 | 76.81% |
| AME         | 39.6 72.7 82.7 2 | 28.9 58.0 68.7 4 | 66.91% |
| asymmetric  |               |               |            |
| Pivot (Gella et al., 2017) | 28.2 61.9 73.4 3 | 22.5 49.3 61.7 6 | - |
| Parallel (Gella et al., 2017) | 30.2 60.4 72.8 3 | 21.8 50.5 62.3 5 | - |
| Mono        | 47.7 77.1 86.9 2 | 35.8 66.6 76.8 3 | - |
| FME         | 44.9 76.9 86.4 2 | 34.2 66.1 77.1 3 | 76.81% |
| AME         | 50.5 79.7 88.4 1 | 38.0 68.5 78.4 2 | 73.10% |

4 Experiment and Results

4.1 Details of Implementation

We use a mini-batch of size 128. We use Adam optimizer with learning rate 0.00011 (0.00006) and with early stopping on the validation set. We set the dimensionality of joint embedding space and the GRU hidden layer to $m = 1024$. We utilize the pre-trained aligned word vectors of FastText for the initial word embeddings. For Japanese word embedding, we use pre-trained word vectors of FastText\(^2\), then align it to the English word embedding with the same hyper-parameters used for MS-COCO. We set the margin $\alpha = 0.2$ and $\alpha = 0.05$ for symmetric and asymmetric similarity functions respectively.

We assign $k$-nearest neighbors to be 5 (4). We set $T = 500$, and $lr_{\text{align}} = 2$ (5). We tokenize English and German captions with Europarl tokenizer (Koehn, 2005). For the Japanese caption, we use Mecab analyzer (Kudo et al., 2004). We train the model for 30 (20) epochs with updating the learning rate (divided by 10) on epoch 15 (10).

To extract features of images, we use a ResNet152 (He et al., 2015) CNN architecture pre-trained on Imagenet and extract the image features from FC7, the penultimate fully connected layer. We use average features from 10-crop of the re-scaled images.

For the metric of alignment, we use bilingual lexicons of Multilingual Unsupervised and Super-

\(^2\text{Available at https://fasttext.cc/docs/en/crawl-vectors.html, and https://fasttext.cc/docs/en/aligned-vectors.html.}\)
### Image to Text

|         | R@1  | R@5  | R@10 | Mr  |
|---------|------|------|------|-----|
| symmetric |
| UVS (Kiros et al., 2014) | 43.4 | 75.7 | 85.8 | 2   |
| EmbeddingNet (Wang et al., 2017) | 50.4 | 79.3 | 89.4 | -   |
| sm-LSTM (Huang et al., 2016) | 53.2 | 83.1 | 91.5 | 1   |
| VSE++ (Faghri et al., 2017) | 58.3 | 86.1 | 93.3 | 1   |
| Mono | 51.8 | 84.8 | 93.5 | 1   |
| FME | 42.2 | 76.6 | 91.1 | 2   |
| AME | 54.6 | 85  | 94.3 | 1   |

### Text to Image

|         | R@1  | R@5  | R@10 | Mr  |
|---------|------|------|------|-----|
| symmetric |
| Mono | 42.3 | 78.9 | 90   | 2   |
| FME | 48.3 | 83.6 | 93.6 | 2   |
| AME | 58.8 | 88.6 | 96.2 | 1   |

### Alignment

|         | symmetric | asymmetric |
|---------|-----------|------------|
| Mono | 42.7 | 77.7 | 88.5 | 2   |
| FME | 40.7 | 77.7 | 88.3 | 2   |
| AME | 50.2 | 85.6 | 93.1 | 1   |

|         | symmetric | asymmetric |
|---------|-----------|------------|
| Mono | 49.9 | 83.4 | 93.7 | 2   |
| FME | 48.8 | 81.9 | 91.9 | 2   |
| AME | 55.5 | 87.9 | 95.2 | 1   |

### Table 3: Image-caption ranking results for English (MS-COCO)

|         | R@1  | R@5  | R@10 | Mr  |
|---------|------|------|------|-----|
| symmetric |
| Mono | 33.1 | 69.8 | 84.3 | 3   |
| FME | 30.0 | 68.9 | 83.1 | 3   |
| AME | 40.2 | 76.7 | 87.8 | 2   |

|         | asymmetric |
|---------|------------|
| Mono | 39.7 | 76.5 | 88.3 | 2   |
| FME | 37.0 | 74.8 | 87.0 | 2   |
| AME | 44.9 | 80.7 | 89.3 | 2   |

### Table 4: Image-caption ranking results for Japanese (MS-COCO)

|         | R@1  | R@5  | R@10 | Mr  |
|---------|------|------|------|-----|
| symmetric |
| Mono | 51.7 | 76.7 | 84.5 | 46.9 |
| FME | 51.7 | 76.7 | 85.1 | 49.1 |

|         | asymmetric |
|---------|------------|
| Mono | 51.7 | 76.7 | 84.5 | 46.9 |
| FME | 51.7 | 76.7 | 85.1 | 49.1 |

### Table 5: Textual similarity scores (asymmetric, Multi30k)

|         | EN → DE | DE → EN |
|---------|---------|---------|
| R@1  | R@5  | R@10 | R@1  | R@5  | R@10 |
| FME | 51.4 | 76.4 | 84.5 | 46.9 | 71.2 | 79.1 |
| AME | 51.7 | 76.7 | 85.1 | 49.1 | 72.6 | 80.5 |

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We compare models based on two retrieval metrics, recall at position k (R@k) and Median of ranks (Mr).

### 4.2 Multi30k Results

In Table 1 and 2, we show the results for English and German captions. For English captions, we see 21.28% improvement on average compared to Kiros et al. (2014). There is a 1.8% boost on average compared to Mono due to more training data and multilingual text encoder. AME performs better than FME model on both symmetric and asymmetric modes, which shows the advantage of fine-tuning word embeddings during training. We have 25.26% boost on average compared to Kiros et al. (2014) in asymmetric mode.

For German descriptions, The results are 11.05% better on average compared to (Gella et al., 2017) in symmetric mode. AME also achieves competitive or better results than FME model in German descriptions too.

### 4.3 MS-COCO Results

In Table 3 and 4, we show the performance of AME and baselines for English and Japanese captions. We achieve 10.42% improvement on average compared to Kiros et al. (2014).
Figure 2: Alignment ratio in each validation step (asymmetric mode - image-to-text - Multi30k dataset)

age compared to Kiros et al. (2014) in the symmetric manner. We show that adapting the word embedding for the task at hand, boosts the general performance, since AME model significantly outperforms FME model in both languages.

For the Japanese captions, AME reaches 6.25% and 3.66% better results on average compared to monolingual model in symmetric and asymmetric modes, respectively.

4.4 Alignment results

In Tables 1 and 2, we can see that the alignment ratio for AME is 6.80% lower than FME which means that the translators can almost keep languages aligned in Multi30k dataset. In MS-COCO dataset, the alignment ratio for AME is 8.93% lower compared to FME.

We compute the alignment ratio and recall at position 1 (R@$1$) in each validation step. Figure 2 shows the trade-off between alignment and retrieval tasks. At the first few epochs, the model improves the alignment ratio since the retrieval task hasn’t seen enough number of instances. Then, the retrieval task tries to fine-tune word embeddings. Finally, they reach an agreement near the half of training process. At this point, we update the learning rate of retrieval task to improve the performance, and the alignment ratio preserves constant.

Additionally, we also train AME model without adding the alignment objective function, and the model breaks the alignment between the initial aligned word embeddings, so it’s essential to add the alignment objective function to the retrieval task.

4.5 Caption-Caption Similarity Scores

Given the caption in a language, the task is to retrieve the related caption in another language. In Table 5, we show the performance on Multi30k dataset in asymmetric mode. AME outperforms the FME model, confirming the importance of word embeddings adaptation.

5 Conclusion

We proposed a multimodal model with a shared multilingual text encoder by adapting the alignment between languages for image-description retrieval task while training. We introduced a loss function which is a combination of a pairwise ranking loss and a loss that maintains the alignment of word embeddings in multiple languages. Through experiments with different multimodal multilingual datasets, we have shown that our approach yields better generalization performance on image-to-text and text-to-image retrieval tasks, as well as caption-caption similarity task.

In the future work, we can investigate on applying self-attention models like Transformer (Vaswani et al., 2017) on the shared text encoder to find a more comprehensive representation for descriptions in the dataset. Additionally, we can explore the effect of a weighted summation of two loss functions instead of equally summing them together.

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