Risk of spread in adult-onset isolated focal dystonia: a prospective international cohort study

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ABSTRACT
Objective Isolated focal dystonia can spread to muscles beyond the initially affected body region, but risk of spread has not been evaluated in a prospective manner. Furthermore, body regions at risk for spread and the clinical factors associated with spread risk are not well characterised. We sought here to prospectively characterise risk of spread in recently diagnosed adult-onset isolated focal dystonia patients.

Methods Patients enrolled in the Dystonia Coalition with isolated dystonia affecting only the neck, upper face, hand or larynx at onset of symptoms were included. Timing of follow-up visits was based on a sliding scale depending on symptom onset and ranged from 1 to 4 years. Descriptive statistics, Kaplan-Meier survival curves and Cox proportional hazard regression models were used to assess clinical characteristics associated with dystonia spread.

Results 487 enrolled participants (68.3% women; mean age: 55.6±12.2 years) met our inclusion/exclusion criteria. Spread was observed in 50% of blepharospasm, 8% of cervical dystonia, 17% of hand dystonia and 16% of laryngeal dystonia cases. Most common regions for first spread were the oromandibular region (42.2%) and neck (22.4%) for blepharospasm, hand (3.5%) for cervical dystonia and neck and hand (12.8%) and laryngeal (15.8%) dystonia. Increased spread risk was associated with a positive family history (HR=2.18, p=0.012) and self-reported alcohol responsiveness (HR=2.59, p=0.009).

Conclusions Initial body region affected in isolated focal dystonia has differential risk and patterns of spread. Genetic factors likely influence the risk of spread. These findings can aid clinical prognostication and inform future investigations into potential disease-modifying treatments.

INTRODUCTION
Dystonia is a group of movement disorders characterised by sustained or intermittent involuntary muscle contractions that lead to abnormal and often repetitive movements, postures or both.1 Isolated dystonia disorders are not accompanied by other neurological abnormalities except for tremor, and have no known cause except for relatively rare gene mutations.2 The most common form of isolated dystonia is adult-onset focal dystonia that primarily affects one body region, such as the upper face, neck, limb or larynx.3 At onset, dystonia typically affects only one body region, but with time dystonia can spread to involve other contiguous or distant regions of the body and lead to greater disability dependent on what body region or regions become involved.4–13

Investigating the progression of motor symptom severity in focal dystonia is a complicated endeavour due to a number of factors including the uncertain linearity of rating scales across different affected body regions. Additionally, the common use of botulinum toxin injections to treat focal dystonia introduces variability when assessing motor severity and waiting for toxin to completely wear off to determine the underlying severity of dystonia is not feasible in most cases. These reasons among others have prompted dystonia investigators to focus on spread of dystonia, which is a more easily identifiable and objective measure of increased dystonia involvement than severity in a particular body region. This type of objective measure could be a valuable outcome measure for a clinical trial of a disease-modifying intervention.

Despite shared epidemiological, aetiological and pathophysiological features across focal dystonia phenotypes,14 15 some notable differences occur.16 For example, retrospective data suggest that blepharospasm (BSP) has a higher rate of spread than other forms of focal dystonia involving cervical dystonia (CD), hand dystonia and laryngeal dystonia.4 8 10 12 Other clinical factors besides the initial affected body site may also contribute to risk of spread. For example, age at onset and positive family history have been reported to relate to risk of spread.3 8 10 but other studies have not found such relationships.6 12 17 Tremor also may increase or decrease the risk of spread in patients with CD.8 10 Whether the presence of a sensory trick (geste antagoniste) or alcohol responsiveness contribute to risk of spread is not known.

While prior studies have advanced our understanding of spread associated with different presentations of adult-onset focal dystonia, most of these studies have been small, from single centres or geographic regions, and retrospective. In the current study, we sought to prospectively characterise and assess the risk of spread in recently
diagnosed isolated focal dystonia patients in a large, multicentre, international cohort. A better understanding of the risk of spread in focal dystonia subtypes, characterisation of the sites of spread and identification of clinical features contributing to increased risk of spread could enhance our understanding of the aetiology and pathophysiology underlying different phenotypes. In addition, this knowledge could help clinicians more effectively treat and determine prognosis of patients who present with focal dystonia and provide critical data for clinical trials testing disease-modifying therapies to reduce risk of spread.

METHODS

Study participants

Participant data were acquired from the ongoing Natural History Project database of the Dystonia Coalition (www.rarediseasesnetwork.org/dystonia/), a multicentre, prospective, cross-sectional study of people with isolated, idiopathic dystonia. Participants were enrolled across over 30 clinical sites in the USA, Canada, France, Germany and Italy. Study exclusion criteria included the presence of comorbidities that could confound diagnosis or evaluation (other than tremor) and medical or neurological disorders that could preclude completing the neurological examination protocol and questionnaires. Subjects receiving botulinum toxin injections were enrolled at least 2 months after their last injection. To remove potential genetic confounds and enhance accuracy of our results, we excluded participants if dystonia onset occurred younger than 18 years of age or if a causative gene had ever been identified (genetic testing was not part of Dystonia Coalition Natural History Project). The follow-up visit schedule was based on a sliding scale depending on the timing of symptom onset: 0 to 3 years ago—1 year from current visit; 3 to 5 years ago—2 years from current visit; 5 to 7 years ago—3 years from current visit; >7 years ago—4 years from current visit. Due to the prospective nature of this study and to limit recall bias, we excluded participants who had dystonia affecting any body region for more than 5 years. This study includes participants enrolled in the Natural History Project between 12 January 2011 and 14 December 2018.

Standard protocol approvals, registrations and patient consents

The study was approved by the internal review boards (IRBs) of all participating clinical sites. All subjects gave written informed consent for participation in the study following the principles of the Declaration of Helsinki.

Dystonia spread and characteristics

All participants completed intake forms that included documenting all body regions affected by dystonia currently or in the past, along with the age of onset of dystonia for each body region listed. Focal dystonia subtypes were categorised according to their initial site of dystonia. For sample size reasons, our statistical analyses were limited to the four most commonly affected initial body regions and included the neck (CD), upper face (BSP), hand and larynx. Participants with more than one site of dystonia involvement at enrolment were removed from further analyses. Spread was defined as documentation by Dystonia Coalition investigators of dystonia affecting a body region other than the initial site of dystonia. The time to spread was estimated using the age of the participant at the follow-up visit and the reported age of dystonia onset (see Statistical analysis section below). Body regions of spread included in our analysis were the neck, upper face, hand, larynx, oromandibular (lower face, jaw and tongue), upper arm (not including shoulder), trunk, pelvis, upper leg and foot. If the presence of any tremor (regular or irregular/jerky) was documented on examination, the investigator was asked to note whether the patient’s dystonia was dominated by tremor more than tonic or twisting movements. The age of onset of dystonia and the presence of a family history of dystonia, effective sensory trick and alcohol responsiveness were acquired through self-report on a history intake assessment form.

Statistical analysis

Dystonia subject data were analysed to determine time from age of onset to time of first spread to a different site. Time to spread was calculated from integer (non-fraction) years of age at which dystonia onset at a site was identified by a Dystonia Coalition investigator, but if spread occurred at a second time point while the patient was the same age then spread was considered to have occurred at a 6-month mark. Subjects with no recorded spread of dystonia during the time in the study were censored (considered observed only for the time to last observation) at the last observation time. The times to first observed spread for the focal dystonia subtypes were compared with Kaplan-Meier curves and Cox proportional hazards models. Log-rank and Peto tests were performed on the Kaplan-Meier curves to test for differences in the curves among the initial onset sites. The explainatory variables in the Cox proportional hazards models included: initial onset site, family history of dystonia (yes, no or unknown), age of onset, sex (female or male), sensory trick (yes or no), tremor dominant (yes or no) and alcohol response (yes, no or unknown). Linear combinations of the estimated model parameters were used to construct pairwise comparisons among the levels of categorical variables, and to perform omnibus tests. HRs, 95% CIs and p-values for the variables and contrasts were calculated. Testing of the proportional hazard assumption was performed. Exploratory analyses produced frequency tables for location of dystonia spread and distinguished between location of first spread and location of subsequent spread. All statistics were performed in SAS V9.4 and StataMP 13. The significance level for group comparisons and the Cox proportional hazard analysis was defined as a p<0.05.

Data availability statement

An overview of the type of data that have been collected by the investigators of the Dystonia Coalition can be found at the publicly available site: http://clinportalquery.wustl.edu/pentaho/Home?userid=DYSTONIA_OPENI&password=DYSTONIA. Deidentified individual participant data from this study will be shared upon reasonable request from a qualified investigator after the Dystonia Coalition grants permission and proper IRB approval is obtained.

RESULTS

Participants

Data from a total of 1320 isolated focal dystonia patients enrolled in the Natural History Project were reviewed. Of these, we removed 31 for an age of onset less than 18 years or insufﬁcient documentation of age of onset, 9 for identification of a causative gene and 524 for dystonia duration greater than 3 years at the time of ﬁrst observation. Additionally, we removed data from 199 patients who had more than one body site affected at onset and 70 patients who had an onset site other than the neck, upper face, hand or larynx. Thus, a total of 487 isolated focal dystonia participants met our inclusion and exclusion criteria.
Of these, 286 had initial onset of CD, 116 had initial onset of BSP, 47 had initial onset of dystonia affecting muscles of the hand and 38 had initial onset of dystonia affecting the laryngeal muscles. Demographic information and clinical severity for all the included participants at their initial enrolment visit is listed in table 1.

### Spread risk by dystonia subtype

Median and maximum disease durations at the time of last observation were 3.6 and 10.3 years for CD, 3.9 and 9.7 years for BSP, 4.0 and 8.8 years for hand dystonia and 4.0 and 7.2 years for laryngeal dystonia. The total percentage of subjects who spread during observation was 8 for those with CD, 50 for those with BSP, 17 for those with hand dystonia and 16 for those with laryngeal dystonia. Figure 1 shows the results of the Kaplan-Meier survival analysis of the time of spread of dystonia based on initial onset site and age of onset. Characteristics of where dystonia spread first or spread at any time during enrolment for each subgroup of dystonia onset site are shown in table 2. There were no instances where dystonia spread to the pelvis or upper leg. Subjects with CD who had spread most frequently had spread to the hand (45.8%), those with BSP who spread most frequently spread to the oromandibular region (84.5%) and neck (58.6%) and those with hand and laryngeal dystonia who spread most frequently spread to the neck (75% and 100%, respectively).

### Clinical features associated with dystonia spread risk

Using a Cox model analysis, those subjects with BSP onset had a significantly greater risk of spread than the other three sites of onset (table 3). Family history and alcohol responsiveness were the only other additional clinical features associated with a significant increased risk of spread.

### Sample size estimation for hypothetical clinical study

Using our calculated HRs for risk of dystonia spread, we estimated the sample sizes that would be needed in a hypothetical clinical study of newly diagnosed focal dystonia patients to test...
a therapeutic agent’s ability to reduce the risk of spread or to distinguish other factors that may influence spread. Assuming equal size and variance across the two groups, a sample size of only 59 BSP subjects per group would be needed to distinguish a 50% reduction in spread over 2 years with 80% power (table 4). In CD, however, a sample size of 498 subjects per group would be needed to distinguish a 30% reduction in spread over 2 years with 80% power. To distinguish a 30% reduction in spread over 2 years with 80% power, sample sizes ranging from 178 to 2194 subjects per each group would be needed depending on the initial onset site of dystonia.

DISCUSSION
This is the largest prospectively followed cohort of recently diagnosed adult-onset isolated focal dystonia patients in whom spread has been carefully characterised. Our findings confirm that the initial site of onset in isolated focal dystonia is strongly related to the risk of spread and to the body regions subsequently affected by dystonia with BSP at onset exhibiting more than twice the risk of spread compared with those with cervical, hand or laryngeal dystonia. We further find that patients with BSP most commonly spread to the oromandibular region and neck, those with CD most commonly spread to the hand and those with hand or laryngeal dystonia most commonly spread to the neck. Additionally, our findings support that a positive family history and alcohol responsiveness are potentially associated with an increased risk of spread.

Our findings confirm retrospective studies, and a previous smaller prospective study of focal dystonia patients. We also confirm that spread occurs most often within the first several years with 80% power.

### Table 2: Dystonia spread characteristics based on onset site

|                  | Cervical (n=286) | Blepharospasm (n=116) | Hand (n=47) | Laryngeal (n=38) |
|------------------|------------------|-----------------------|-------------|------------------|
| Spread, n (%)    | 24 (8.4)         | 58 (50.0)             | 8 (17.0)    | 6 (15.8)         |
| No spread, n (%) | 262 (91.6)       | 50 (85.7)             | 39 (83.0)   | 32 (84.2)        |
| Time to first spread, mean (SD), years | 3.5 (2.1) | 2.3 (1.9) | 3.3 (2.0) | 3.1 (2.1) |
| First spread, body region, n (% of those who spread) | Cervical – | 26 (44.8) | 6 (75.0) | 6 (100) |
|                  | Blepharospasm 5 (20.8) | – | 0 (0) | 1 (16.7) |
|                  | Hand 10 (41.7) | 2 (3.4) | – | 0 (0) |
|                  | Laryngeal 5 (20.8) | 2 (3.4) | 1 (12.5) | – |
|                  | Oromandibular 4 (16.7) | 49 (84.5) | 0 (0) | 1 (16.7) |
|                  | Upper arm 6 (25.0) | 0 (0) | 1 (12.5) | 0 (0) |
|                  | Trunk 0 (0) | 1 (1.7) | 0 (0) | 0 (0) |
|                  | Foot 1 (4.2) | 0 (0) | 0 (0) | 0 (0) |

### Table 3: Cox model analysis of potential explanatory variables for risk of dystonia spread

| Contrast                        | HR   | 95% CI          | P value |
|---------------------------------|------|-----------------|---------|
| Onset site                      |      |                 |         |
| BSP versus cervical             | 10.98| 6.459 to 18.667| <0.001* |
| BSP versus hand                 | 5.154| 2.338 to 11.361| <0.001* |
| BSP versus laryngeal            | 5.161| 2.062 to 12.919| <0.001* |
| Cervical versus hand            | 0.469| 0.207 to 1.063  | 0.089   |
| Cervical versus cervical        | 0.470| 0.182 to 1.213  | 0.143   |
| Laryngeal versus hand           | 0.999| 0.333 to 2.995  | 0.998   |
| Sex (male versus female)        | 1.120| 0.716 to 1.751  | 0.623   |
| Age of onset (10 years)         | 1.091| 0.898 to 1.325  | 0.378   |
| Family history (yes versus no)  | 2.184| 1.243 to 3.837  | 0.012*  |
| Tremor dominant (yes versus no) | 1.977| 1.006 to 3.883  | 0.061   |
| Sensory trick (yes versus no)   | 1.026| 0.653 to 1.612  | 0.913   |
| EtOH responsive (yes versus no) | 2.590| 1.316 to 5.097  | 0.009*  |

Results of the Cox proportional hazard model for time to first spread. Subjects without an observed spread were censored at the last observed dystonia duration time. Three observations were omitted because of missing covariates or time or censoring information. HRs greater than 1 indicate increased chance of dystonia spread, while HRs less than 1 indicate decreased chance. Testing of the proportional hazard assumption found no definite evidence of deviation from the assumption.

### Table 4: Sample size estimates for distinguishing posited reductions in dystonia spread cumulative probability over 2 years by onset site

| Power | Cervical | Blepharospasm | Hand | Laryngeal |
|-------|----------|---------------|------|-----------|
| 50% reduction | 80 | 498 (996) | 59 (118) | 293 (586) | 227 (454) |
| 30% reduction | 90 | 666 (1332) | 79 (158) | 393 (786) | 303 (606) |

Values are shown as size of treated/untreated groups and total sample size in parentheses under assumption of equal allocation across groups.
years, and that subsequent body parts affected vary depending on the site of focal dystonia onset.\textsuperscript{4,6,11,17} In contrast to previous studies, however, we found a lower risk of spread in those with CD onset compared with prior estimates that range from 12\% to 38\%.\textsuperscript{4,6,10,12} The lower spread risk in our study may be attributable to recall bias in retrospective studies in patients who may have initially been affected by BSP but had subclinical or non-boltersome symptoms. Another possibility is that neck muscles that have an effect on the shoulder, upper arm and torso (such as the levator scapulae, scalene and trapezius muscles) may have been reported as new sites of dystonia involvement leading to higher rates of spread in some studies. Although we also found a lower risk of spread for patients with laryngeal dystonia onset than reported in a recent large registry study,\textsuperscript{10} our estimates of spread risk in laryngeal and hand dystonia are similar to prior retrospective studies.\textsuperscript{4,6,8,10} The difference in spread risk among the dystonia phenotypes may indicate that the underlying pathogenesis differs across the distinct forms of focal dystonia.

The risk of spread in isolated focal dystonia across the different phenotypes did not relate to sex or age of onset in our analysis. Some studies have suggested that age at onset relates to risk of spread, but this relationship is more pronounced in those presenting with dystonia before age 20\% where the probability of spread, but this relationship is more pronounced in those presenting with dystonia before age 20\% where the probability of spread increases with increasing age at onset.\textsuperscript{9} Our inclusion of only those with focal dystonia starting after age 18 may explain why we did not see an association between onset age and risk of dystonia spread. In keeping with this idea, we found that a positive family history of dystonia related to an increased risk of spread (HR=2.18, p=0.012). This is in line with a smaller retrospective study involving patients with BSP, CD and hand dystonia in which family history predicted spread of dystonia.\textsuperscript{10} Familial isolated dystonia has previously been found to have a higher tendency to spread than sporadic cases.\textsuperscript{3,5} Thus, our findings suggest that the risk of spread in adult-onset focal dystonia may be more dependent on genetic factors that are not influenced by the age or sex of the individual.

Clinical features including tremor predominant dystonia, effectiveness of sensory tricks and alcohol responsivity were evaluated for their potential association with risk of dystonia spread. The presence of tremor related to an increased risk of spread in a recent long-term follow-up study of different primary focal dystonia patients,\textsuperscript{10} whereas in a separate retrospective study tremor did not relate to risk of spread in patients with CD.\textsuperscript{9} Our results suggest the presence of tremor-dominant dystonia is associated with an increased risk of spread, but this relationship did not reach our significance threshold (HR=1.98, p=0.061). In a prior retrospective analysis of Dystonia Coalition data, we found that sensory tricks occur less commonly in patients with CD who had involvement of dystonia beyond the neck,\textsuperscript{11} but in the current prospective study of CD and other phenotypes of focal dystonia we did not find an association between the presence of a sensory trick and risk of dystonia spread. Alcohol responsivity was the only other clinical feature that associated with an increased risk of spread (HR=2.59, p=0.009). We recently reported that alcohol responsivity in isolated focal dystonia correlated with a family history of a movement disorder.\textsuperscript{14} Together with our current results, this suggests that patients with an underlying genetic contribution to their dystonia may be more likely to spread and exhibit a response to alcohol.

The ability to slow or halt progression of isolated focal dystonia in patients is of great clinical interest. As our understanding of the neurobiological abnormalities underlying isolated dystonia advances, novel disease-modifying therapies are being developed. Our prospective data here permit calculations of the estimated sample sizes that will be required in a clinical trial to demonstrate efficacy of such a potential therapy in reducing spread in patients presenting with BSP, CD, hand or laryngeal dystonia. Development of a therapy that reduces the risk of dystonia spread could reduce suffering from the disability, pain, psychiatric symptoms and impaired quality of life associated with different focal dystonia phenotypes.\textsuperscript{19-24} Alternatively, our prospective data could permit calculations for power analyses of any study that wants to compare the risk of spread across two focal dystonia subgroups, for example, determining whether selected epigenetic phenomena influences spread risk. Importantly, our sample size estimates support that disease-modifying clinical trials and studies of factors that influence disease progression in isolated focal dystonia are feasible, with the fewest participants needed for studies targeting disease modification of BSP.

Although our study leveraged an international, multicentred study and is the largest of its kind, it is nevertheless limited by small sample sizes of hand and laryngeal dystonia groups. Our study is also weighted toward subjects who were evaluated at specialty tertiary centres and possibly have more severe forms of dystonia, potentially affecting the baseline demographics of study participants and limiting the generalisability of our results. Additionally, because we excluded patients who had evidence on examination of dystonia affecting more than one body region at the baseline visit, and included patients presenting with hand dystonia irrespective of their particular form of dystonia (eg, writer’s cramp, musician’s dystonia, etc), the demographics of our patient cohort may differ from the findings of other studies reporting epidemiology of isolated focal dystonia. As the symptoms of isolated focal dystonia at onset can be mild and may preclude diagnosis by years,\textsuperscript{23} recall bias is another potential limitation in our study. Similarly, recall bias should be considered for family history of dystonia and response of dystonia to alcohol, as these were not independently confirmed. Finally, the overall length of follow-up limits our study. Additional prospective assessments are needed to better define the risk and pattern of dystonia spread over longer periods of time and more rigorously assess the clinical and demographic characteristics associated with spread risk such as the non-motor features of dystonia including pain, anxiety and depression. Furthermore, additional investigations are needed to determine if dystonia spread is correlated with measures of disability and quality of life.

In summary, we found that patients with BSP experience spread much more frequently than those with cervical, hand and laryngeal dystonia, and that the majority of those who spread did so within the first several years after diagnosis. CD most commonly spreads to the upper extremity and BSP most commonly spreads to the oromandibular region. Those presenting with hand and laryngeal dystonia, as well as those with BSP at onset, frequently spread to the neck muscles. Additionally, a positive family history and self-reported alcohol responsiveness were associated with an increased risk of dystonia spread. Our findings highlight some of the similarities and differences in spread characteristics based on the initially affected muscles in those who develop isolated adult-onset focal dystonia. This knowledge can be used to help appraise clinical prognosis, guide investigations into the pathogenesis of dystonia spread and inform future investigation into disease-modifying therapies.

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