Observational Study of Re-Biopsy in EGFR-TKI-Resistant Patients with EGFR Mutation-Positive Advanced NSCLC

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Original Article

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Abstract

Identification of acquired resistant mutation has been essential in non-small cell lung cancer (NSCLC) patients with epidermal growth factor receptor (EGFR) active mutations. Re-biopsy plays a pivotal role to select the optimal treatment for patients who develop resistance to initial EGFR-tyrosine kinase inhibitors (EGFR-TKI). This multicenter, observational study was conducted to investigate the details of re-biopsy in Japanese clinical practice. The primary endpoints were the implementation rate of re-biopsy and the concordance rate for the T790M mutation detection between histology and cytology specimens using the Cobas® EGFR mutation test v2.194 patients with EGFR-mutant NSCLC were enrolled and 120 patients developed acquired resistance to EGFR-TKIs. The median age was 68 years (range 20-87), and 52.5% of the patients were women. Re-biopsy was performed on 109 patients with the implementation rate of re-biopsy was 90.8%. The success rate of re-biopsy in total/histology/cytology/liquid biopsy population was 78.0%, 94.9%, 83.3% and 43.8%, respectively. The positive percent agreement and the negative percent agreement in the detection of T790M mutations between the histology and cytology specimens was both of 90.9%.

Aggressive obtaining histological or cytological tissue samples at re-biopsy may contribute to improvement of the detection rate of T790M mutation. (trial registration number: UMIN000026019)
Introduction

Molecular targeted therapies and immunotherapy using immune checkpoint inhibitors have emerged as the essential modalities in various cancer treatments. Epidermal growth factor receptor (EGFR) mutations were strongly correlated with the clinical benefit of EGFR-tyrosine kinase inhibitors (EGFR-TKIs), and the first druggable driver mutation in non-small cell lung cancer (NSCLC) \(^1,^2\). It has been reported that the first- or second-generation EGFR-TKIs showed significant clinical benefits compared with the standard chemotherapy in patients with EGFR active mutations \(^3-^8\). After the discovery of EGFR active mutations, various of druggable driver mutations and tyrosine kinase inhibitors were identified in lung adenocarcinoma, such as \(EML4-ALK\), \(ROSI\), and \(BRAF\) mutations. The tyrosine kinase inhibitors for these kinds of druggable driver mutations were highly recommended in the current treatment guidelines for NSCLC \(^9-^11\).

In current clinical practice, the detection of druggable somatic gene alterations has been essential to select the adequate treatments in the treatment of NSCLC \(^12\).

NSCLC patients with EGFR active mutations respond to EGFR-TKI for a certain period of time, but most of them will have disease progression with acquired resistance after a median of 12 months. The mechanisms of acquired resistance have been identified. The most prevalent resistance mutation was the Thr790Met (T790M) point mutation at exon 20 of which revealed in approximately half of the first- or second-generation EGFR-TKI resistant patients. Osimertinib is a third-generation, irreversible EGFR-TKI that selectively inhibits not only EGFR active mutation but also T790M. The AURA 3 trial was a phase 3 trial to elucidate the efficacy of osimertinib compared with platinum doublet chemotherapy in patients with T790M positive advanced NSCLC, who had disease progression after first-line EGFR-TKI\(^13\). This trial demonstrated that osimertinib
have significantly greater clinical effect than chemotherapy for T790M positive NSCLC patients. Based on this result, osimertinib was approved for patients who were identified the T790M mutations. The analysis of the T790M mutations needs to obtain the histological specimens from the relapsing sites using “re-biopsy”. However, there are some issues to perform the re-biopsy, such as difficulty access the relapsing site, patient rejection because of invasive procedures

Now, the liquid biopsy method to identify the T790M mutation has been available to resolve these issues. However, the detection sensitivity of the T790M mutation using liquid biopsy is relatively low, and it is difficult to apply the liquid biopsy methods to all of resistant patients. In addition, there is limited data on the appropriateness of cytological specimens to test the T790M mutations using the Cobas® EGFR Mutation Test v2 if a suitable tissue specimen was not obtained. Therefore, we conducted this multicenter, prospective observational study to investigate the details of re-biopsy including the implementation or successful rate of re-biopsy and the concordance rate for the T790M mutation detection between histological and cytological specimens in EGFR-TKI resistant NSCLC patients.
Results

Patient characteristics in patients with acquired resistance

A total of 194 patients was enrolled from February 2017 to January 2019. Among these, 120 patients were defined as acquired resistance to ongoing EGFR-TKI therapy and considered performing re-biopsy (Fig. 1). Characteristics of patients who received re-biopsy are shown in Table 1. The reasons for acquired resistance were as follows: One hundred-four patients (86.7%) were RECIST-PD, 60 were clinical PD, and 8 were gradual progressive SD. The median age was 68 years (range, 20-87), and 52.5% of the patients were women. Adenocarcinoma (99.2%) was the most common histology. Sixty-six patients (55.0%) had exon 19 deletions, 45 (37.5%) had L858R point mutations, and 10 (8.4%) had uncommon mutations such as G719X, L861Q and compound mutation. Forty-seven patients (39.2%) had been treated with erlotinib, 36 patients (30%) had been treated with gefitinib, and 37 patients (30.3%) had been treated with afatinib at disease progression. Median treatment duration of the start of EGFR-TKIs to re-biopsy was 14 months (range, 1.9–90 months).

Results of re-biopsy

Re-biopsy was performed on 109 patients (90.8%), while 11 patients (9.2%) did not receive re-biopsy (Fig. 1). The implementation rate of re-biopsy was 90.8%. Reasons for not performing re-biopsy were as follows: difficult to approach to relapse sites (n = 5), patient refusal (n = 2), transfer to a different hospital (n = 3) and previously performed re-biopsy (n = 1).

Tissue histology specimens were obtained from 59 patients (54.1%) including: 27 (45.8%) transbronchial biopsy using endobronchial ultrasonography with a guide-sheath
(EBUS-GS); 8 (13.6%) ultrasound-guided core needle liver biopsy; 6 (10.2%) transbronchial biopsy without EBUS-GS; 5 (8.5%) endobronchial ultrasonography-guided transbronchial needle aspiration (EBUS-TBNA); 5 (8.5%) video-assisted thoracic surgery (VATS); 4 (6.8%) superficial lymph node biopsy; 3 (5.1%) bone biopsy using computed tomography (CT)-guided percutaneous core needle biopsy (PCNB); 1 (1.8%) lung biopsy using CT-guided PCNB. For 18 patients (16.5%), cytology specimens were only available including: 13 (72.2%) thoracentesis; 3 (18.8%) lumber puncture; 1 (5.6%) bronchoscopy brushing; 1 (5.6%) superficial lymph node biopsy.

The summary of re-biopsy results is shown in Table 2. In 59 patients, tissue histological specimens were diagnosed as follows: adenocarcinoma (n = 53, 90%), transformation to other histology (n = 3, 5.1%. Two were small cell lung carcinoma and 1 was sarcomatoid cancer). The success rate of re-biopsy using histological procedures was a 94.9% (56/59). In adenocarcinoma patients, the T790M mutations were identified in 32 (54.2%) patients. In cytology specimens obtained from 18 patients, malignant cells revealed in 15 cytology specimens (83.3%). From cytology specimens, the T790M mutations were identified in only 1 patient (5.6%). The success rate of re-biopsy using cytological procedures was an 83.3% (15/18). In 32 patients receiving re-biopsy by the plasma liquid biopsy, the T790M mutations were identified in 8 patients (25%) and negative for T790M mutations in 6 patients (18.8%). Eighteen patients (56.2%) could not have any information of T790M mutations from liquid biopsy due to poor PCR products. Thus, the success rate of liquid biopsy was 43.8% (14/32). In total, the T790M mutations were identified in 41 patients (37.6%) out of 109 patients who received initial re-biopsy. The total success rate of re-biopsy was 78.0% (85/109). Additional re-biopsy after negative results of initial re-biopsy was performed in 7 patients. Among the 7
patients who underwent the second re-biopsy, T790M mutations were revealed in 2 patients (28.6%) by the cytology procedures. Finally, the T790M mutations were identified in 43 patients (43/109, 39.4%) in this observational cohort including first and second re-biopsy. The problematic complications regarding re-biopsy were not reported.

The concordance rate for the T790M mutation detection between histology and cytology specimens

There were 22 patients who had both the histology and cytology specimens obtained at the same time for the T790M mutation testing (Table 3). The detection rate of the T790M mutations in the histology or cytology specimens was both the same at 90.9% (10/11). Each group had one patient who was discrepancy for T790M mutation result. As a result, the positive percent agreement and the negative percent agreement was both of 90.1%.
Discussion

The importance of re-biopsy has increasing in patients with $EGFR$ mutation after the report of the AURA3 study which demonstrated the efficacy of osimertinib for patients with T790M positive NSCLC patients. It is suggested that the implementation rate of re-biopsy may be associated with the prognosis of EGFR-TKI refractory NSCLC patients. In the current observational study, the implementation rate of re-biopsy was 93.4% with the T790M positive rate was 37.6% in the first re-biopsy. In our knowledge, the implementation rate of re-biopsy was highest compared with the previous retrospective or observational studies regarding the T790M detection. The implementation rate of re-biopsy has been reported as ranged 55.1–63.0% in the retrospective studies or 86.9% in the Japanese observational study named the REMEDY study. The use of liquid biopsy obviously might affect the high implementation rate of re-biopsy. The liquid biopsy has significant advantages of feasibility, such as non-invasiveness and accessibility, to detecting the T790M mutations. Actually, the most common procedure of re-biopsy in the REMEDY study was the liquid biopsy using plasma, accounting for a 58.1%. In the current study, 28.9% of patients received the liquid biopsy as re-biopsy procedure. When this study was conducted, the liquid biopsy was not approved in clinical practice in Japan. The main limitation of this study was that the implementation rate of re-biopsy could not be evaluated according to the original statistical hypothesis because of the approval of liquid biopsy in Japan. However, the liquid biopsy for EGFR-TKI resistant patients have some limitation. First problem is the transformation to other histopathological features, such as small cell lung carcinoma (SCLC) transformation, cannot detect by liquid biopsy. There were four transformation cases (3 small cell lung carcinoma and one sarcomatoid cancer) in this
study. The efficacy of osimertinib may be limited for the concomitant case of the T790M mutation and SCLC transformation. Second problem of liquid biopsy using plasma is the lower detection rate of the T790M mutation. Previous study demonstrated that one third of patients who performed liquid biopsy revealed the T790M mutations with the Cobas® EGFR Mutation Test. In this study, the success rate of liquid biopsy was 43.8% and the detection rate of the T790M mutation was 25%. These results indicated that liquid biopsy could be a useful option for patients whom tissue samples cannot be obtained.

The successful re-biopsy was important to determine the subsequent treatments for EGFR-TKI refractory patients. In this study, the success rate of histological procedure (94.9%) was higher compared with cytological procedure (83.3%) or liquid biopsy (43.8%). The main reason for the selection of liquid biopsy as a re-biopsy procedure was the inaccessibility to relapse lesions, such as bone metastasis, central nerves metastasis or small lung metastases (data not shown). The skillful expert and adequate devices will be needed to perform the invasive procedures accessing such relapse lesions, and it may depend on the status of participating institutions. It is important to cooperate with each institution in local communities to improve the success rate of re-biopsy.

The concordance of tissue or plasma samples was well investigated in previous report. However, the data regarding the concordance of histological and cytological samples has been insufficient. The transbronchial procedures has been the common methods to perform re-biopsy because of availability and feasibility of bronchoscopy. The percentage of patients who performed re-biopsy transbronchial procedures without liquid biopsy was 50.6% (39/77) including EBUS-GS, transbronchial biopsy, EBUS-
TBNA and BF brushing in this study (Fig. 1). The percentage of re-biopsy procedure transbronchial procedures in prospective cohort trials was 52.4% (43/82) and 63.9% (69/108) \(^{16,23}\). However, it is difficult to obtain the sufficient tissue samples using transbronchial procedures from all of the patients. We investigated the accuracy of cytology-based T790M detection compared with histology-based testing using the tissues obtained at the same time. As a result, the positive percent agreement and the negative percent agreement was both of 90.1% (Table 3). This result indicated that cytology specimens obtained by transbronchial procedures may be useful to detecting the T790M mutations.

Revealing the resistant mechanisms and developing strategy of overcoming the resistance of EGFR-TKIs remains main focuses of the investigation of EGFR mutation positive patients. After the report of FLAURA study, the importance of T790M detection has decreased because most of physicians tend to choose osimertinib for chemo-naive EGFR mutation positive NSCLC patients. However, the new resistant mechanisms have been identified from the analysis using liquid biopsy after treatment of osimertinib in the FLAURA trial \(^{24}\). The most frequent resistance mechanisms were MET amplification (15%) and secondary EGFR mutations (10%) including C797X and uncommon mutations. These resistant mechanisms will become new targets to overcome the resistance of osimertinib, and a number of clinical trials have been ongoing in this area. The clinical investigations concerning re-biopsy procedures and mutation detecting methods should be continued for future driver-based medicine. The current study demonstrated that the re-biopsy was feasible and could provide the useful data to select the subsequent treatments even in clinical practice.
Methods

Study design

The present study was a multicenter, prospective, observational study in patients with
EGFR mutation-positive advanced NSCLC who have experienced disease progression
during treatment with EGFR-TKI. Patients with advanced NSCLC meeting all inclusion
criteria and not meeting any exclusion criteria were consecutively enrolled from
medical institutions participating in the Niigata Lung Cancer Treatment Group
(NLCTG) to collect information on re-biopsy data. This study was conducted according
to the principles of the Declaration of Helsinki. The Institutional Review Board of each
participating institution (Niigata Cancer Center Hospital, Niigata University Graduate
School of Medical and Dental Sciences, Nagaoka Red Cross Hospital, Nagaoka Chuo
General Hospital, Niigata Prefectural Central Hospital, Niigata City General Hospital,
Saiseikai Niigata Hospital, Shibata Hospital - Niigata Prefectural Hospital, Nishi
Niigata Chuo Hospital, Sado General Hospital, Tsuruoka Municipal Shonai Hospital,
Shinrakuen Hospital, Niigata Medical Center) approved the protocol. This study is
registered at February 2017 on the clinical trials site of the University Hospital Medical
Information Network Clinical Trials Registry (registration number: UMIN000026019).
The information on patient demographics was collected retrospectively from the
medical records at the study enrollment. Additional data regarding re-biopsy was
collected when following situations; sustained response to EGFR-TKI (complete
response, pertain response, or stable disease more than 6 weeks) and acquired resistance
to ongoing EGFR-TKI. The definition of acquired resistance in this study was as
follows: progressive disease according to Response Evaluation Criteria in Solid Tumors
(RECIST) criteria (RECIST-PD), clinically progressive disease assessed by physicians
(clinical PD), and stable disease according to RECIST criteria but increasing tumor volume compared with baseline (gradual progressive-SD). The collected data was as follows: patient status at the point of re-biopsy, the information of re-biopsy sites (relapse site, size, number, and so on) and procedure of re-biopsy, results of re-biopsy (cytology, histology, and the T790M status), complications regarding re-biopsy, subsequent treatments, and prognosis. If patients were not performed re-biopsy at acquired resistance, the reasons of not undergoing re-biopsy including patient’s refusal were collected. For patients with both of histological and cytological specimens available, the concordance rate for the T790M mutation detection between both specimens were analyzed by the Cobas® EGFR Mutation Test v2. All data was collected using the electronic data capture.

Patients

The eligibility criteria were as follows: a histologically or cytologically confirmed advanced NSCLC with EGFR active mutations; stage III/IV not amenable to definitive radiation therapy or postoperative recurrence at the start of EGFR-TKI therapy; ongoing EGFR-TKI therapy (gefitinib, erlotinib or afatinib); no prior T790M mutation detection; written informed consent for study participation from the patient. Patients who treated with third generation EGFR-TKI was excluded. Written informed consent was obtained from all the study participants.

Study endpoints

The primary endpoint was the implementation rate of re-biopsy defined as the number of patients performed re-biopsy from any sites/total number of enrolled patients. After approval of liquid biopsy testing for T790M mutation on July 2017, liquid biopsy was also counted as re-biopsy. The co-primary endpoint was the concordance rate for the
T790M mutation detection between histology and cytology specimens using the Cobas®
*EGFR* mutation test v2. Cell block analysis was included in cytology specimens.

Secondary endpoints were as follows; the success rate of re-biopsy according to
procedure, the T790M mutation positive rate according to biopsy site or procedure,
safety of re-biopsy procedures, reasons for patients not undergoing re-biopsy,
implementation rate of re-biopsy according to institution and available re-biopsy
procedures. The success rate of re-biopsy was defined as the percentage of cases in
which malignant cells and the result of gene mutation was available among the cases
who underwent re-biopsy. The patients revealed the transformation to other histologies
were included in the re-biopsy successful cases.

**Statistical analyses**

In the retrospective study regarding re-biopsy, the implementation rate of re-biopsy was
reported as 62.5%, and the percentage of patients who could examine the *EGFR* gene
mutation using histological specimens was reported as 28%\textsuperscript{14}. We estimated the lower
limit to be able to examine the T790M mutations in both of histology and the cytology
specimens was 30%. If we assumed threshold rate of 0.5, expected rate of 0.8, 83
patients will be needed to evaluate the positive percent agreement of T790M detection
between histology and cytology specimens with 80% power, and alpha level of 0.05 (2-
sided). Even if the dropout of about 5% is considered, it is estimated that 100 patients is
a target number of patients who had acquired resistance. This sample size would have
half-width of exact 95% confidence interval of +/-10% or less for the implementation
rate of re-biopsy. The SAS version 9.4 (SAS Inc., Cary, NC, USA) were used for the
sample size evaluation.
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Declarations
Satoshi Watanabe received honoraria (lecture fee) from AstraZeneca, CHUGAI PHARMACEUTICAL, ONO PHARMACEUTICAL, Eli Lilly Japan, Bristol-Myers, Boehringer Ingelheim, MSD, TAIHO PHARMACEUTICAL, Pfizer, Novartis, DAIICHI SANKYO. Satoshi Watanabe received research grants from AstraZeneca, Boehringer Ingelheim. Hiroshi Tanaka received honoraria (lecture fee) from AstraZeneca. Toshiaki Kikuchi received honorarium (lecture fee) from Boehringer Ingelheim, AstraZeneca, CHUGAI PHARMACEUTICAL. Toshiaki Kikuchi received research fund from AstraZeneca.

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Figure legend

**Figure 1: Overview of patient status for enrolled patients.**

One hundred twenty patients were defined as acquired resistance to EGFR-TKIs and 109 patients underwent re-biopsy.
Figure 1

Overview of patient status for enrolled patients. One hundred twenty patients were defined as acquired resistance to EGFR-TKIs and patients underwent re-biopsy.

Supplementary Files

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