Machine Learning in Nanoscience: Big Data at Small Scales

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ABSTRACT: Recent advances in machine learning (ML) offer new tools to extract new insights from large data sets and to acquire small data sets more effectively. Researchers in nanoscience are experimenting with these tools to tackle challenges in many fields. In addition to ML’s advancement of nanoscience, nanoscience provides the foundation for neuromorphic computing hardware to expand the implementation of ML algorithms. In this Mini Review, we highlight some recent efforts to connect the ML and nanoscience communities by focusing on three types of interaction: (1) using ML to analyze and extract new insights from large nanoscience data sets, (2) applying ML to accelerate material discovery, including the use of active learning to guide experimental design, and (3) the nanoscience of memristive devices to realize hardware tailored for ML. We conclude with a discussion of challenges and opportunities for future interactions between nanoscience and ML researchers.

KEYWORDS: Machine learning, data-driven research, active learning, materials discovery, design of experiments

In nanoscience, high-throughput experimentation enabled by the small size of nanoscale samples and rapid, high-resolution imaging tools are becoming increasingly widespread.1,2 For example, in nanophotonics3,4 and catalysis2,5 material properties have been varied systematically across the same wafer-sized substrate and characterized locally using high-resolution scanning probe and optical or electron microscopy techniques. These or similar methods can generate data sets that are too vast and complex for researchers to mentally parse without computational assistance; yet, these data are rich in relationships that the researchers would like to understand. Machine learning (ML) enables researchers to analyze large data sets by training models that can be used to classify observations into discrete groups, learn which features determine a metric of performance, or predict the outcome of new experiments. Furthermore, even in fields where such data-intensive methods are not typical, ML can assist researchers in designing experiments to optimize performance or test hypotheses more effectively. From nano-optoelectronics, to catalysis, to the bionano interface, ML is reshaping how researchers collect, analyze, and interpret their data. These methods will likely evolve into new standards tailored for each field complementary to the role statistics currently plays in scientific research.6 In return, nanoscience has the potential to benefit ML by developing electronic or photonic hardware that can implement algorithms more efficiently than conventional computing architectures. Deepening this unique relationship (Figure 1) has much to offer both research communities.

Figure 1. Scheme showing how the fields of nanoscience and machine learning interact via data and hardware. On the left, nanoscience generates data that, combined with machine learning, feeds back a variety of functions to advance nanoscience research. On the right, nanoscience contributes the nanoscale hardware components that can advance the field of machine learning by enabling new processing architectures.

Broadly speaking, ML encompasses algorithmic approaches for classifying data, identifying empirical correlations within the data, and predicting the consequences of these correlations in new data. These algorithms learn from the data itself to refine the accuracy of their predictions, typically by minimizing a mathematical error function. As learning can be defined as modifying behavior based on past experience, which in the case...
of ML is presented in the form of training data, ML is defined very broadly from basic regression techniques to state-of-the-art approaches. Algorithms are written to address classes of problems and then trained for a specific task based on which type of data is available. To train an algorithm by supervised learning, the data must be labeled, which means that each piece of data comprises an input (e.g., parameters of an experiment or design of a material) and an output (e.g., outcome of an experiment or material property of interest). The algorithm takes in the input features of the data set and builds a model (based on internal assumptions) that produces the output of the data set with as little error as possible. This model, which is just a mapping of input to output, can then be used to predict the outputs when it is given inputs that are not included in the training data. For supervised learning, the input features of the data must be predetermined and are not selected by the algorithm. Prediction and classification are two tasks commonly performed by supervised ML. Alternatively, if there is no information about output in the data, unsupervised learning can still be used to uncover relationships. Clustering and component analysis are tasks that are commonly performed via unsupervised learning. Learning can also be semisupervised, in which the model is initially produced by supervised learning on labeled data and then refined by unsupervised learning on unlabeled data. Through the extensive work of mathematicians and computer scientists, algorithms for classes of common problems can be rapidly applied using off-the-shelf and often open-source platforms. Even artificial neural networks (ANNs), a versatile and recently...
popular class of algorithms, are now available for researchers in nanoscience to bring to bear on problems in their own fields. In this Mini Review, which is not able to be comprehensive, we explore the intersection between ML and nanoscience and highlight three expanding classes of interactions (Figure 2): (1) The use of ML tools in nanoscience to analyze data. Manufacturing and metrology of nano-electronics for integrated circuits (ICs) are highlighted here as a case study. (2) The discovery of new materials at the nanoscale using ML for inverse design and design of experiments. Novel two-dimensional materials are discussed as a case study. (3) How nanoscience can empower ML through the development of novel hardware. In particular, nanoscale memristors, along with other emerging architectures including nanophotonics, have the potential to provide a hardware platform tailored for ML. Finally, we conclude by discussing challenges and opportunities arising at the interface between nanoscience and ML.

1. Machine Learning to Analyze Large Data Sets. When many simulations or measurements are available as training data, ML can be used to identify features in data from nanoscale systems. Commonly, scientists interpret these features with physical models that suggest further experiments. This interaction illustrates the most basic role that ML can play in the scientific method.

Analysis of Spectra, Images, and Biological Outcomes. Machine Learning is widely contributing to the recognition and classification of key features in nanoscience data sets. For example, in X-ray spectroscopy an ANN (Figure 3A) was trained on simulated X-ray absorption fine structure (EXAFS) spectra generated by molecular dynamics simulations. Then, using this trained model, researchers extracted partial radial distribution functions that yielded insight into the chemical structure beyond the first atomic coordination shell. This new analysis indicated that surface effects change the atomic ordering of PdAu nanocrystals and therefore their catalytic properties. In an example from photoluminescence spectroscopy, supervised learning on experimental data was used to extract the distribution of decay rates in CsPbBr₃ nanocrystals without being forced to assume a functional form of this distribution. The resulting distribution was well explained by three types of emissive species proposed to exist in these nanocrystals.

In the analysis of images, which have some degree of spatial correlation, convolutional neural networks (CNNs) trained by supervised learning have been very successful (Figure 3B). These are ANNs whose internal operations are restricted so that they learn primarily local correlations within the data, and their models are invariant to small translations. They are therefore well suited to identify image features, which depend on local spatial correlations. For example, skyrmions were studied using labeled Lorentz transmission electron microscope (TEM) images, and phases of matter were identified in Monte Carlo simulations of Ising systems or square spin-ice models. Although a fully connected ANN could identify phases with a simple order parameter, a CNN was required to correctly analyze more complex spin models that had no order parameter. It is possible that the CNN’s constrained focus on local spatial correlations allowed it to learn the distinguishing features between the phases more efficiently than a fully connected ANN; however, such a hypothesis still remains to be validated. The image classification capabilities of CNNs and their utility for pattern recognition have also been applied in data storage. Information encoded in deep subwavelength structures was recovered by training a CNN on the observed color of the structures to achieve high-information density and robustness against fabrication defects.

The bio-nano interface has also been a major focus for the application of ML. An early example was the training of an ANN to study uptake of 109 types of nanoparticles into cells in order to predict their toxicity by their chemical composition. More recently, a similar process was implemented where nanoparticles were injected into a rat and then isolated to determine the makeup of the proteins that decorate their surface. This proteomic information, together with the fate of
the nanoparticles within the rat, was then used to train an
ANN to predict nanoparticle fate.20 In combination with a
nanofluidic chip that can detect a variety of biomarkers, ML
has been used to interpret gene expression data from exosomes
to classify the disease state of patients.21 More generally, ML-enabled
analysis of the content of liquid biopsies has experienced a rapid acceleration in recent years powered by the
high degree of clinical relevance and inherent complexity of
the systems.22

Deconvoluting Components in Mixed Signals. Experi-
ments often measure the collective effect of many individual
components, so deconvoluting complex mixed signals given
little initial information is a common analytical task.
Approaches to this problem, known as blind source separation
(BSS), are based on statistics of the data, such as the
covariance matrix. For example, principal component analysis
(PCA) identifies the eigenvectors of the covariance matrix to
determine which linear combinations of input variables encode
the most unique information. Such processes have been used in
scanning probe microscopy to rapidly denoise and compress
data using all available data channels.23 In addition to PCA,
which does not explicitly classify data, linear discriminant
analysis (LDA) identifies linear combinations of parameters
that optimally classify data. LDA has been used with a
nanofluidic system to interpret gene expression data from
exosomes to classify the disease state of patients.21 A related
technique, independent component analysis (ICA), identifies
nonorthogonal basis vectors that best account for the
correlations in the data, including higher order statistics than
covariances. For instance, electron microscopy-based energy-
dispersive X-ray spectroscopy (EDS) has long been used to
determine elemental maps and recently been expanded to
identify phases. In nanoscience, ICA has been used interpret
EDS data and identify multielemental phases such as Fe2O3
and a PtFe alloy24 in core–shell nanoparticles and to identify
AuI2 nanowires grown on an InSb substrate.25 Similar
techniques have also been applied to interpret electron energy
loss spectra of iron oxide nanocubes to achieve three-
dimensional chemical mapping of iron oxidation states.26
While PCA, LDA, and ICA are relatively simple forms of
unsupervised learning,27 their increasingly widespread use
constitutes an important step of popularizing advanced
statistics in nanoscience research. A deeper comparison of
PCA, LDA, and ICA, along with the mathematical formalism
for these approaches, can be found in textbooks on statistical
learning26 and has been recently provided in the literature
related to their use in electroencephalogram classification.27

Case Study: Machine Learning for Metrology of Nano-
electronics. Recently, ML has been applied to address major
challenges in the large-scale manufacturing of nanoscale
devices for integrated circuits (ICs). Miniaturization still drives
the evolution of IC technology with transistor density
consistently increasing as the technology continues to mature.
However, transistor fabrication is currently facing some of the
most intense challenges yet in the area of photolithography,
new materials, defects analysis, and device architectures.28
Semiconductor ICs are based on increasingly complex
manufacturing processes realized at the nanoscale by many
interconnected tools that generate enormous sets of data,
particularly metrology data related to the properties and
performance of fabricated structures.

Given the high-dimensional and vast nature of this data,
optimally acting on the available data in a timely fashion is a
major challenge with profound implications to device cost.28
For example, the front-end of the line (FEOL) represents
when the finest features on a wafer are manufactured and
metrology at this stage is employed in order to inspect
indicators of a successful execution. Here, uncertainty
requirements for fault detection and classification are beyond
the capability of inline metrology techniques. ANNs trained on
the readout of inline inspection tools can offer predictive
modeling of complex measurements when the metrology
system does not have sufficient resolution. For example, ANN-
based accurate prediction of critical dimensions (CD) has been
demonstrated in the sub-40 nm trenches for extreme
ultraviolet resist, where an ANN was used to predict the CD
error, resist shrinkage, and metal line resistance in the early
process pipeline.29,30 Taking advantage of spatial correlations
inherent to images, CNNs have been successfully applied to
improve the accuracy in the classification of wafer maps31 and
fault detection during growth of thin films made using
chemical vapor deposition.32 Rather than inspecting every
location on a sample, a recent trend in semiconductor
processing is virtual metrology (VM) in which a selection of
wafers are sampled and used to train models that correlate
process sensor data to the performance metric of interest.
Since process sensor data are always available, this trend
potentially represents a rapid acceleration in manufacturing of
complex nanostructures if such relationships can be rapidly
and accurately learned.33 Such metrology using ML algorithms can
pinpoint defects and measure structures in advanced chips. As
the technology advances, inspection and metrology based on
ML will become even more important for correlation of
process flows, predictive metrology, and yield analysis.

2. Machine Learning for Design and Discovery.
Predicting the properties of a material is a central challenge
in materials science and chemistry.34 Nanomaterials are even
more complex: structuring materials on the nanoscale leads
them to adopt different properties than their bulk counterparts
and allows the construction of heterostructures or metamate-
rials that include multiple materials. In the face of this vast
parameter space, ML can help predict novel materials, optimize
structures, and even plan experiments.35 A particularly
impactful area of design and discovery is inverse design or
finding a set of parameters that produces a desired outcome.

Inverse Design and Adversarial Networks in Nano-
photronics. Optical metamaterials and nanophotonics are fields
in which the experimental design space is vast due to the
availability of high-resolution lithographic tools to construct
intricate structures. Although a conventional approach of
measuring the optical properties of a given nanostructure is
conceptually straightforward, inverse design is made extremely
difficult because the existence or uniqueness of an acceptable
design cannot be guaranteed.

One approach to address this challenge is to train an ANN
in a supervised fashion using known input/output combina-
tions and then to use the ANN to iterate through unknown
input parameters until a desired outcome is predicted. Such a
trial-and-error approach was applied to design tailored optical
responses of multilayer nanoparticles.3 This approach takes
advantage of the fact that evaluating a trained ANN is typically
much faster than running a brute-force optimization algorithm
that evaluates possible combinations of parameters using a
physics-based process. Here, this acceleration was achieved
because the gradient can be found analytically for ANN’s
whereas it must be computed numerically for the optimization
Algorithm. However, as this study notes, agreement between the ANN and the numerical solution, calculated here using the transfer matrix method, must always be verified. While this approach can be construed as relying heavily on regression to produce inverse design solutions, other approaches that rely more strongly upon physical principles to simplify or explore parameter space are also being used to great effect.36 A different approach that has been explored in the design of metasurfaces utilizes a cascading deep neural network (DNN) in which two networks are trained, one that maps each design to an outcome and one that maps the outcome to designs, in a manner that mirrors the use of an encoder-decoder pairs in DNNs for parameter reduction.37 This architecture is commonly known as an autoencoder and is used to reduce the dimensionality of the data (Figure 3C).38 These networks produced candidate patterns that matched the desired spectra with high fidelity, expediting the discovery of metasurfaces with tailored optical responses.3 In addition, a deep-learning-based model, comprising two bidirectional neural networks assembled by a partial stacking strategy, was used to optimize three-dimensional chiral metamaterials with strong chirorpitical responses at predesignated wavelengths.39 In principle, this type of model can help provide insight into the physical underpinnings that connect structures and their properties by elucidating the intricate relationships between metamaterial structures and their optical response.40

Another way to address inverse problems is through the use of generative adversarial networks (GANs), which is a recently invented unsupervised learning strategy (Figure 3D).39 The GAN comprises two networks, a generator that guesses distributions of parameters and a discriminator that evaluates the quality of each guess by comparing it to existing unlabeled data. GANs have been used to design nanophotonic structures that have precise user-defined spectral responses.40 In this case, the use of a GAN was motivated by the desire to allow the designed structures to not require input from an expert scientist. The rapid application of GANs after their invention signals that the nanoscience community is motivated to adopt novel learning approaches to rapidly meet pressing challenges such as inverse design. However, there is a need to benchmark ML-based approaches to inverse design against existing methods in order to elucidate the acceleration and improvements that are possible using ML.

Active Learning, Automated Experimentation, and Autonomous Researchers. There have been a number of innovations that allow researchers to conduct experiments more efficiently and to explore more of parameter space. For example, ML has been used to aid researchers in selecting combinations of experimental parameters that reduce the number of total experiments necessary to optimize multilayer organic solar cells.4 Further, experiments can be selected sequentially tested to see if they improve the regression quality. By realizing a fully autonomous research system (ARES), the pace of information generation and analysis was accelerated substantially.43 Autonomy has also been realized in scanning tunneling microscopy where a learning system was constructed to determine the state of the tip using CNN of images and recondition the tip when needed to maintain high imaging performance.44

Case Study: Prediction of new 2D Materials and Heterostructures. An important driving force for innovations in materials discovery has been the isolation of van der Waals bonded layered materials into atomically thin, two-dimensional (2D) sheets.45 The stacking of 2D materials with various compositions and rotational orientations has led to heterostructures with novel properties.40 The number of possible combinations has become experimentally intractable and hence ML techniques have been critical in identifying new compounds and structures and classifying them by properties. Pairing first-principles models with active learning in a Bayesian framework, van der Waals heterostructures with desired electronic band gaps and thermoelectric properties have been proposed.47 Further, vast material databases with functional descriptors such as bonding directionality, packing factor, and interlayer gap, have enabled new van der Waals 2D and 1D (chainlike) compounds to be identified.48,49 These physics-based ML models can be further improved with additional descriptors such as structure and composition to predict unknown magnetic phases in known materials.51 Furthermore, by tuning the weights attributed to different features of these physics-based models, these algorithms can even predict the “synthesizability” of new 2D materials from their bulk counterparts, as has been demonstrated for the MXene family of 2D materials.52

3. Nanoscience To Advance Hardware for Machine Learning. The technological revolution enabled by computation relies on devices made by nanoscience and, correspondingly, a tremendous amount of basic science and engineering in nanoscience has emerged from the study of ICs or related systems. However, the von Neumann architecture of computing is not the most efficient for implementing the myriad ML algorithms that have been developed.55 The lack of efficiency of the von Neumann architecture stems from the time and energy required to transfer data between spatially separated memory and processing units,55 as well as its failure to take advantage of analog operations that arise naturally from the physics of hardware components.58 The result is that a von Neumann computer requires many thousands of transistors and memory elements to compute the action of a single...
artificial neuron. This observation raises the question of whether novel nanoscale architectures could provide a new neuromorphic hardware platform for advanced machine learning algorithms.

**Novel Materials for Memristors.** While neuromorphic architectures can be approximated using digital logic in ANNs, neuromorphic computing is an emerging field in which the hardware-level system is designed to more closely represent the architecture of the brain. A memristor, which is defined to be a two-terminal device in which conductance is a function of the prior voltages experienced by the device, is often considered to be the fundamental unit of such neuromorphic computation. These devices have been only recently (2008) experimentally realized through the observation that many nanoscale materials exhibit memristive properties through ionic motion. For example, tantalum oxide films exhibit a conductivity that is tunable based upon the local density of oxygen vacancies, leading to complex and useful memristive dynamics. Such devices can be as small as a single conductive filament. Sheets of MoS$_2$ have been found to exhibit a frequency-dependent memristive effect due to Joule heating, making them amenable to tasks such as localizing sound while dissipating exceptionally low power. Such 2D materials can also be directly used as memristors, as was recently shown by diffusing copper atoms vertically between a MoS$_2$ bilayer. Conductance modulation has also been achieved using ferroelectric thin-film transistors, which are three-terminal analogues of memristors, as well as by engineering defects such as grain boundaries and dislocations in 2D and 3D semiconductors, respectively. Finally, nanophotonic systems have also recently been explored as candidates for neuromorphic computing with successes including the realization of deep learning networks and adsorption-based photonic neural networks.

**Design and Realization of Arrays of Memristors.** Memristors are commonly fabricated in crossbar arrays so that the number of devices scales favorably with the number of electrical connections. For example, an 18-memristor array was used to realize a PCA algorithm that analyzed nine metrics of cell geometry to determine whether a cell was benign or malignant. More recently, a 30-memristor array with a tantalum oxide active layer was utilized to implement a $k$-means analysis to accurately categorize flowers according to their geometry. Nanoscale films of poly(1,3,5-trivinyl-1,3,5-trimethyl cyclotrisiloxane) (pV3D3) have been used to form arrays with 64 independent memristors. Simulations of larger arrays of these devices were shown to be able to perform common image processing tasks including facial recognition. Memristor arrays can be very compact: a recent work showed a functioning 3 $\times$ 3 array that was constructed with a 6 nm pitch. Although making high density arrays has been a major push in the nanoscience community, efforts to realize arrays with larger dimensions have been very successful as well with 128 $\times$ 64 member arrays of microscale components.

**4. Challenges and Opportunities for Machine Learning and Nanoscience.** As this is a Mini Review, we are unable to cover all of the ways in which ML and nanoscience enrich each other. Both disciplines will benefit from closer interactions between data scientists and nanoscience researchers. For example, discussions of the quantity, quality, and labeling of data that is realistically available can inform the development of new algorithms. Also, incorporating data scientists into the review process will ensure that sound technical practices from ML make their way into nanoscience. Although this Mini Review has principally focused on the advantages of using ML in nanoscience, there are pitfalls that practitioners can encounter such as overtraining that warrant caution.

The following six areas deserve special mention as challenges and opportunities for the future:

1. For many applications, efficient, and confident use of ML requires large data sets that sample broadly from the target problem’s parameter space. A key avenue for transformatively increasing the data available to researchers is enabling cross-study comparison through standardization of data format and conventions for metadata. Metadata is critical for nanomaterial research because it is unclear which of the numerous structural or compositional descriptors are most valuable for a given property. Although there are significant practical hurdles to be overcome, such standardization could lead to a “nanomaterials genome” that could be utilized to springboard diverse research initiatives.

2. Simulation is often used to generate training data for ML algorithms because calculations are typically faster than experiments and can be performed with total control over the initial conditions. However, when a ML model is trained using simulated data, its accuracy depends on the ability of simulation to reproduce experiment, which can vary dramatically from field to field. How to assign uncertainty to simulated data and use it for multidisciplinary learning approaches, which try to account for differences in the accuracy of subsets of training data, is a rich and unsolved problem.

3. Forefront ML algorithms must continue to be rapidly applied in nanoscience research to fully realize their impact. These types of collaborations are often difficult as they require nuanced communication across disciplines and go beyond widely utilized open source tools. Advances in these areas are expected to range from advanced generative algorithms for inverse design to new processes for learning how the structure of ANN provides deeper insights into the physical system. For example, recent studies from biology use “visible” neural networks, where existing knowledge of the hierarchical biological system is used to generate a structured neural network. Its substructures can be directly interpreted because they were designed to mimic the connectivity in a real cell. Along similar lines, ML approaches that have physics and other domain knowledge built into them could provide a rapid approach to reducing the volume of training data and increasing the physical intuition that can be drawn from the outcome.

4. Autonomous research systems have been demonstrated to be powerful tools for accelerating discoveries but the hardware infrastructure is highly application specific, which often makes investing in the development of such systems difficult to justify. As a field, combinatorial chemistry has overcome this by producing a unified set of tools and form factors (namely microtiter plates) that allow standardized experiments to be carried out without human intervention. Similar hardware standardization would lower the barrier to apply automation to address any given system. Candidates for such massive parallelization include inkjet printing and scanning probe lithography.

5. While memristors and neuromorphic computing are progressing toward the level of a scalable practical technology, several challenges remain in terms of large area uniformity, reproducibility of the components, switching speed/efficiency,
and total lifetime in terms of cycles. These challenges can be addressed through either the development of novel memristive systems or improvements to existing systems. However, in all cases, integration with existing CMOS platforms and competitive performance advantage over CMOS neurons must be realized to have a long-term technological impact. Lastly, although such analog networks have the potential to be highly efficient once they are trained, training such networks is not yet as flexible or efficient as digital logic. Thus, integrating such systems with traditional digital logic is still of high importance.

(6) Finally, integration of ML techniques and quantum computing has the potential to address currently untenable problems.\(^{(4,5,7)}\) Recently, quantum algorithms that can solve a problem of supervised learning, such as constructing a classifier, were proposed.\(^{(7)}\) It was also recently demonstrated that superconducting quantum circuits can be used to realize quantum generative adversarial learning.\(^{(77)}\) These successes indicate that systems that combine quantum science and machine learning might have applications that extend far beyond classification. In this context, the role of nanoscience is 2-fold. First, the performance of ML will be boosted beyond the current limits through the implementation of quantum algorithms, and this might have a huge impact on how we approach quantum chemistry problems. Second, nanoscience will play a crucial role in developing hardware components that can be used to build quantum computers capable of implementing such quantum machine learning algorithms.\(^{(5,6)}\)

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**Notes**

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