Calculating unknown eigenvalues with a quantum algorithm

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A quantum algorithm solves computational tasks using fewer physical resources than the best-known classical algorithm. Of most interest are those for which an exponential reduction is achieved. The key example is the phase estimation algorithm, which provides the quantum speedup in Shor’s factoring algorithm and quantum simulation algorithms. To date, fully quantum experiments of this type have demonstrated only the read-out stage of quantum algorithms, but not the steps in which input data is read in and processed to calculate the final quantum state. Indeed, knowing the answer beforehand was essential. We present a photonic demonstration of a full quantum algorithm—the iterative phase estimation algorithm (IPEA)—without knowing the answer in advance. This result suggests practical applications of the phase estimation algorithm, including quantum simulations and quantum metrology in the near term, and factoring in the long term.

Many quantum computations can be roughly broken down into two stages: read-in and processing of the input data; and processing and read-out of the solution. In the first phase, the initial data are read into a quantum register and processed with quantum gates, sometimes multiple times. This produces a quantum state in which the solution is encoded. In the second phase the quantum state may be subjected to further processing followed by measurement, producing a classical data string containing the solution. Even though quantum computers are currently limited to a small number of qubits, there is considerable interest in the small-scale demonstration of quantum algorithms, even if the size of the problems solved means that they remain easily tractable with classical techniques. Such demonstrations remain challenging, even for small numbers of qubits, as they typically require the sequential application of a large number of quantum gates. Note we are making a distinction here between quantum algorithms and direct quantum simulation (Supplementary Section S1). In recent years there have been a number of elegant demonstrations of the read-out phase of Shor’s factoring algorithm and a quantum chemistry simulation algorithm. These demonstrations, quantum gates have been used to produce the quantum state corresponding to a particular solution of the algorithm. It was then shown that the corresponding solution could be read out with high fidelity from this state. However, in each case, the method for producing the quantum state explicitly required the solution to be already known from a classical calculation. That is, the solution was put into the quantum state by hand, before being read out through further processing and measurement. It is clearly important to go beyond this restriction and demonstrate both stages of a quantum algorithm.

Phase estimation algorithm
First, we briefly review the standard phase estimation algorithm. Given a unitary $U$ and one of its eigenstates $|\psi\rangle$ that fulfill the equation

$$U|\psi\rangle = e^{2\pi i w |\psi\rangle}$$

the task is to find what the corresponding eigenvalue is—in other words, find the value of $w$. As shown in Fig. 1a, $m$ ancillary qubits act as controls, where each qubit is prepared in $|0\rangle$, and the target is the given eigenstate $|\psi\rangle$. After applying a Hadamard gate to each of the control qubits, we obtain the state $|+\rangle^\otimes m \otimes |\psi\rangle$, where $|+\rangle = \frac{1}{\sqrt 2}(|0\rangle + |1\rangle)$. This state can also be represented as

$$\sum_{x=0}^{2^m-1} |x\rangle \otimes |\psi\rangle$$

A series of controlled-unitary gates are then applied on the state, as shown in Fig. 1a, and thus convert it to

$$\sum_{x=0}^{2^m-1} |x\rangle \otimes U^x |\psi\rangle = \left(\sum_{x=0}^{2^m-1} e^{2\pi i x |\psi\rangle} |x\rangle\right) \otimes |\psi\rangle$$

The target state is intact and all the information about $w$ is contained in the state of the control qubits. The $m$ qubits of the control register then undergo an inverse quantum Fourier transform (QFT$^{-1}$), and the control qubits are converted to $|\tilde{\varphi}_1\rangle \otimes |\tilde{\varphi}_2\rangle \cdots \otimes |\tilde{\varphi}_m\rangle$, where $\tilde{\varphi}_i$ $(1 \leq i \leq m)$ is an estimated bit equal to 0 or 1. By measuring the control qubits in the computational basis, one obtains the values of $\tilde{\varphi}_1, \tilde{\varphi}_2 \ldots \tilde{\varphi}_m$ and the estimated phase in binary expansion:

$$\tilde{\varphi} = 0.\tilde{\varphi}_1\tilde{\varphi}_2 \ldots \tilde{\varphi}_m$$

As the inverse quantum Fourier transform can be scalably realized in a semiclassical way where no entangling gates are needed, the circuit with $m$ ancillary qubits in Fig. 1a can be simplified to an $m$-round iterative single ancillary qubit circuit. This simplified version is called the iterative phase estimation algorithm (IPEA). Figure 1b shows the IPEA at the $k$th iteration. At the end of this iteration, a measurement of the ancillary qubit in the computational basis is performed, yielding the result 0 or 1, which is the estimate of the $k$th bit of $\varphi$ in the binary expansion. Note that in the IPEA

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Entanglement-based controlled unitary gates

From the description of the IPEA above, it is clear that implementing a sequence of controlled unitary gates is essential to this algorithm, where the unitaries are typically non-diagonal ones. Recently the IPEA was implemented using a simplified construction of controlled-unitary gates. However, the method of constructing the controlled-unitary gate in refs 11 and 12 is based on eigenvalue decomposition—decomposing the single-qubit unitary $U$ to the product of $T$, $R_z(\alpha)$ and $T^{-1}$, where $T$ and $T^{-1}$ are two complementary unitary gates and $R_z(\alpha)$ is a phase-shift gate with $\alpha$ phase shift in the computational basis (Supplementary Section S2). For the application of the phase (eigenvector) estimation of a unitary, this eigenvalue decomposition is of course unknown, otherwise the eigenvalue could be directly extracted from the eigenvalue decomposition.

Thus, to realize the phase estimation algorithm generally, control qubits should be added to the unitary without already knowing the eigenvalue decomposition of the unitary. To circumvent this problem, we access a higher-dimensional Hilbert space to build the required controlled-unitary gates to construct the IPEA. As shown in Fig. 2a, the initial state is $\frac{1}{\sqrt{2}}(|H\rangle \otimes |\psi\rangle + |V\rangle \otimes |\phi\rangle)$, where $|H\rangle$ and $|V\rangle$ denote horizontal and vertical polarization respectively, $|\psi\rangle$ denotes the given (eigen)state encoded in $n$ polarization qubits, and $r$ and $b$ denote the red and blue spatial modes respectively.

The blue modes of the target pass through the unitary $U^{2^{k-1}}$ and thus the state is converted to

$$\frac{1}{\sqrt{2}}(|H\rangle \otimes |\psi\rangle + |V\rangle \otimes U^{2^{k-1}}|\phi\rangle)$$ (5)

Figure 2 | Optical implementation of the phase estimation algorithm. a. Simplified entanglement-based circuit for $C - U^{2^{k-1}}$ gate. The initial input state is $\frac{1}{\sqrt{2}}(|H\rangle \otimes |\psi\rangle + |V\rangle \otimes |\phi\rangle)$, where $|\phi\rangle$ is a multi-qubit polarization-encoded state and red $r$ and blue $b$ denote different spatial modes of the photons. After the blue mode $s$ passes through the unitary gate $U^{2^{k-1}}$, which is realized by cascading $2^{k-1}$ copies of $U$, the red and blue modes of each target qubit are mixed on beamsplitters (BS). By retaining the case where an even number of target photons arrives in lower spatial modes, $C - U^{2^{k-1}}$ is realized for the input state $|+\rangle \otimes |\phi\rangle$, where $|+\rangle = \frac{1}{\sqrt{2}}(|H\rangle + |V\rangle)$. The rotation $R_z(\omega)$ of the measurement in $+/-$ basis are then used to extract $\tilde{\phi}_k$—the estimate of the $k$th bit of the phase $\phi$. b. Experimental set-up for the $4$th iteration of the two-qubit iterative phase estimation algorithm (IPEA). A $60$ mW continuous-wave laser beam with a central wavelength of $404$ nm is focused onto a type-II BBO crystal to create the polarization entangled photon pairs. The PBS part of the BS/PBS cube and the following waveplates convert the two photons to the desired polarization-spatial entangled state (equation (7)). Based on this state, the $C - U^{2^{k-1}}$ gate is effectively realized, where $U$ is the unitary whose eigenvalue is to be estimated. The rotation gate $R_z(\omega)$ (for the value of $\omega$, see the caption of Fig. 1b) is implemented by three waveplates—two quarter-waveplates with a HWP in between. The displaced-Sagnac structure makes the phase between modes $2r$ and $2b$ inherently stable.
The red and blue modes of each target qubit are then mixed on non-polarizing beamsplitters (BS) to remove the path information and the state is now changed to

\[
\sum_{j \in 0}^{1} \frac{1}{\sqrt{2^{n+1}}} \left( |H \rangle \otimes |\psi_j \rangle + |V \rangle \otimes U^{j-1} |\psi_j \rangle \right)
\]

\[
\sum_{j \in 0}^{1} \frac{1}{\sqrt{2^{n+1}}} \left( |H \rangle \otimes |\psi_j \rangle - |V \rangle \otimes U^{j-1} |\psi_j \rangle \right)
\]

(6)

where \( P(Q) \) denotes the cases where an even (odd) number of target photons arrive in the lower spatial modes. By retaining any case in \( P \), the desired state \( \frac{1}{\sqrt{2}} (|H \rangle \otimes |\psi_j \rangle + |V \rangle \otimes U^{j-1} |\psi_j \rangle) \) is obtained with a \((1/2^n)\) probability of success. There are \( 2^{n-1} \) such cases in \( P \) where the total probability of success is \( 1/2 \), regardless of the size of the unitary gate. Here \( U^{2^n-1} \) is implemented by simply placing \( 2^{k-1} \) copies of the unitary \( U \) into the path of the blue mode (\( U^{2^n-1} \) could alternatively be realized by \( 2^{k-1} \) passes through \( U \); ref. 14).

Finally, to finish the iteration, the first qubit passes through the rotation \( R_\theta(|\theta_k \rangle) \) and is measured in \(+/−\) basis to extract \( \bar{\phi}_k \)—the estimated \( k \)th bit of the phase \( \varphi \). There is another \( 1/2 \) probability that one of the cases in \( Q \) occurs, which means the state \( \frac{1}{\sqrt{2}} (|H \rangle \otimes |\psi_j \rangle - |V \rangle \otimes U^{j-1} |\psi_j \rangle) \) is obtained. Using the same procedures will extract the same \( \bar{\phi}_k \) as long as the measurement result of \(+/−\) is redefined as \( 1/0 \). In this way, the circuit shown in Fig. 2a can be used to implement the IPEA deterministically—that is, with probability 1. Note that no information about the unitary \( U \) needs to be known at all for implementing the above circuits.

**Experimental demonstration**

By using the entanglement-based controlled-unitary gates described above, we implement the IPEA without having to already know the value of the phase \( \varphi \)—that is, without already knowing the answer to the algorithm. The experimental set-up is shown in Fig. 2b. A 60 mW 404 nm continuous-wave laser is focused on a barium borate (BBO) crystal cut for type-II spontaneous parametric down-conversion (SPDC) to create a two-photon polarization-entangled state \( \frac{1}{\sqrt{2}} (|H \rangle \otimes |V \rangle + |V \rangle \otimes |H \rangle) \), where 1 and 2 denote the control and target photons, respectively. A special beamsplitter cube, which on one half is a non-polarizing beamsplitter (BS) and the other half is polarizing beamsplitter (PBS) \(^{15} \), is used as shown to build a displaced-Sagnac structure to increase the inherent phase stability of the setup. Photon 2 passes through the PBS part of the BS/PBS cube and thus the two-photon state is converted to \( \frac{1}{\sqrt{2}} (|H \rangle \otimes |V \rangle + |V \rangle \otimes |H \rangle) \). Waveplates are used in the path of \( 2r \) and \( 2b \) to prepare the required polarization-spatial entangled state

\[
\frac{1}{\sqrt{2}} (|H \rangle \otimes |\psi_k \rangle + |V \rangle \otimes |\psi_k \rangle)
\]

(7)

where \( |\psi \rangle \) is the eigenstate of the target unitary \( U \); that is, \( U|\psi \rangle = e^{i\varphi} |\psi \rangle \). Then, after the blue mode passes through the unitary \( U^{2^n} \), the two modes of photon 2 are combined at the BS side of the BS/PBS cube (Fig. 2b). For experimental simplicity, we retain only the cases where photon 2 exits at port 2 and thus get the desired two-photon state \( \frac{1}{\sqrt{2}} (|H \rangle \otimes |\psi_k \rangle + |V \rangle \otimes U^{j-1} |\psi_k \rangle) \), which can be written as \( \frac{1}{\sqrt{2}} (|H \rangle \otimes |\psi_k \rangle + e^{i\varphi} |V \rangle \otimes U^{j-1} |\psi_k \rangle) \). To finish the 4th iteration, photon 1 passes through the \( R_\theta(|\theta_k \rangle) \) gate (\( \theta_k \) is set to an angle determined by all previously measured bits; see Fig. 1b caption) and is then measured in the \(+/−\) basis to obtain the 4th bit of the estimated phase.

We implemented three iterations of the IPEA to estimate the value of the phase \( \varphi \) to three bits of precision. The unitaries \( U^4 \), \( U^2 \) and \( U \) are used in the first, second and third iterations, and \( U^4 \) and \( U^2 \) are realized by four and two consecutive \( U \) gates, respectively. The \( U \) gate is implemented by two consecutive half-waveplates (HWPs). A convenient feature of this unitary is that \( |R \rangle \) and \( |L \rangle \) are always eigenvectors, where \( |R/L \rangle = \frac{1}{\sqrt{2}} (|H \rangle \pm i|V \rangle) \). This can be understood by considering the following fact: a HWP always converts the states \( |R \rangle \leftrightarrow |L \rangle \) no matter what the angle of the HWP is.

Two consecutive HWPs therefore leave \( |R \rangle \) and \( |L \rangle \) unchanged, up to a phase factor; that is, \( |R \rangle \) and \( |L \rangle \) are the eigenstates of this unitary \( U \). We therefore choose \( |R \rangle \) as the input eigenstate \( |\psi \rangle \). We fixed the angle of the first HWP to 0° and changed the angle of the second HWP, \( \theta \), to various values to realize a number of different unitaries. For each of these unitaries, we get a 3-bit estimate of the phase \( \varphi \). We note that \( \varphi \) is non-trivially related to \( \theta \); that is, \( \theta \) appears in a non-diagonal representation of \( U \) which must be diagonalized to extract \( \varphi \). In principle, a third party could prepare the waveplates without revealing \( \theta \) and the experimenter would still be able to successfully extract \( \varphi \). There is no limitation on choosing unitaries to be estimated in this protocol. Here we chose unitaries composed of two consecutive HWPs because for these unitaries we know that \( |R \rangle \) and \( |L \rangle \) are eigenstates of the unitary, but the unitary itself and its eigenvalue are unknown (an alternative would be for a third party to provide both an unknown unitary and an eigenstate of it to us, and for us to then determine the eigenvalue). In this way, we can show the key feature of our scheme, that estimating the phase requires no knowledge of the unitary at all.

**Eigenstate generator**

It has been shown \(^{16} \) that the phase estimation algorithm still works even when the input target state is not the eigenstate of \( U \) (provided the iterations are coherent). Assume the input state is \( \alpha|\varphi \rangle + \beta|\psi \rangle \), where \( |\varphi \rangle \) and \( |\psi \rangle \) are the eigenstates of \( U \) with distinctive eigenvalues \( e^{i\varphi} \) and \( e^{i\psi} \), respectively. By passing the control and the target through the same circuit as shown in Fig. 1a, the state \( \alpha|\varphi \rangle \otimes |\psi \rangle + \beta|\varphi \rangle \otimes |\psi \rangle \) would be obtained at the output, where \( |\varphi \rangle \) and \( |\psi \rangle \) are the estimates of \( \varphi \) and \( \psi \), respectively. When the number of control qubits is sufficiently large to make \( |\varphi \rangle \) and \( |\psi \rangle \) distinguishable, measuring the control qubits in the computational basis yields either \( |\varphi \rangle \), which means the estimated eigenvalue is \( e^{i\varphi} \), and the target state automatically collapses to the corresponding eigenstate \( |\varphi \rangle \). We,\( \tilde{\psi} \), which means the estimated eigenvalue is \( e^{i\psi} \) and the target state collapses to the state \( |\psi \rangle \).

In this way, the phase estimation circuit can be regarded as an eigenvalue measuring device or as an eigenstate generator.

We performed an experiment to show this eigenstate generation feature of the phase estimation algorithm. We used a similar experimental set-up, as shown in Fig. 2b. The \( U \) gate, whose eigenvalue is the target to be estimated, is implemented by a single HWP. Two HWPs oriented at the same angle realize an identity operator, which means \( U^2 = I \). Based on this fact, one can deduce that, for \( k \geq 2 \), all the gates \( C - U^{2^n-1} \) are equal to identity operators. No iterations are required and we implement the only non-trivial circuit \( C - U \) (corresponds to \( k = 1 \)). We set the initial target state to \( |H \rangle \) and measure the control photon in the \(+/−\) basis. When the result is \(+ \) or \( − \), the target qubit collapses to the eigenstate of \( U \) with eigenvalue \( +1 \) or \( −1 \), respectively. To evaluate this process, we perform state tomography on the output target state and compare the result with the theoretical prediction. The results are shown in Fig. 4a–f. The output target state, which is only determined by the measurement result of the control qubit, is not affected by changing the initial target state. We verify this feature by changing the initial target state to \( |V \rangle \) and performing the state tomography on the output target state. The results are shown in Fig. 4g–i.

The non-unit fidelities observed above arise primarily due to two effects: the partial distinguishability of the photons generated in the
SPDC source, which results in some incoherent mixture, and the imperfect optical components, including the settings of waveplate angles. In the case of the eigenstate generation results (Fig. 4), the fidelities of the output states range from 84.83% to 98.96%, which is, in part, due to the varying overlap between the input state \(|\psi\rangle\) and the output states. This results in a large difference in the output count rates. The total counts of the output are \(\sim 600 \text{ s}^{-1}\) in Fig. 4a and \(\sim 2,200 \text{ s}^{-1}\) in Fig. 4c, for example, and the error counts of the output are similar in both cases.

Discussion
Both the IPEA and eigenstate generation experiments shown above need sub-wavelength phase stability, which is realized here by using a displaced Sagnac loop interferometer. However, as the system size grows, it would become harder to maintain the phase stability with the bulk optics set-up. A straightforward solution would be to adopt the integrated photonics approach\(^{15,17-19}\) to implement this scheme, as the monolithic nature of integrated circuits inherently guarantees the phase stability. With the development of waveguide-based photon source technology\(^{20,21}\), the entangled photon pairs can be directly generated inside the integrated circuits so as to avoid sending entangled photon pairs into the chip that can introduce phase instability in the interface.

In these two experiments, the given eigenstate is encoded in an initial entangled state. We note that the use of an entangled initial state does not reduce the generality of the central result: implementing the phase estimation algorithm without any pre-knowledge about the unitary. It applies to any unitary and is scalable to a larger number of qubits. To perform the standard phase estimation algorithm on an unknown unitary where an eigenstate is given, it is not necessary to encode in a one-qubit state, and our implementation is valid and efficient. In other applications, by involving coherent interactions where the eigenstate is not given, such as Shor’s algorithm, it is possible to use a single qubit encoded state using teleportation techniques and off-line entanglement to create the required initial entangled state, or the gate techniques described in ref. 13. We exploit particular properties of the unitary in the eigenstate generation experiment.

The ability to construct quantum algorithms without the need to know the answer in advance is clearly essential to their practical application. In the case of the phase estimation algorithm this requires that the controlled unitaries \(C - U^k\) are realized without already knowing the eigenvalues of \(U\). The approach demonstrated here achieves this efficiently and opens the way to practical applications of quantum simulation algorithms (for calculating molecular properties\(^6\) for example), and metrology applications\(^4\) for enhanced measurement precision, in the near term, and factoring in the long term. For Shor’s factoring algorithm, coherent iterations are required\(^{22}\), which can be realized by employing the path entangling gates of ref. 13. Although current

Figure 3 | Phase estimation data for 12 different Us. a-l. Each U is composed of two HWPs. The first is set to \(0^\circ\) (a), \(15^\circ\) (b), \(30^\circ\) (c), \(45^\circ\) (d), \(60^\circ\) (e), \(75^\circ\) (f), \(90^\circ\) (g), \(105^\circ\) (h), \(120^\circ\) (i), \(135^\circ\) (j), \(150^\circ\) (k) and \(165^\circ\) (l). For each U, three iterations of the algorithm are implemented and thus a three-digit estimated phase \(\tilde{\phi}\) is obtained. Compared with the phase \(\phi\), the error in \(\tilde{\phi}\) is always less than 0.0001 in binary, which is consistent with theoretical prediction.
4. Lanyon, B. P. The ‘KLM’ 25 or derivative approaches to linear optical quantum computation. For systems, for example, offer a large number of precisely controllable single-photon source and detector efficiencies preclude scaling beyond an order of magnitude, rapid progress is being made in improving these efficiencies13,14.

These technical issues aside, we note that the approach taken here is scalable. The IPEA is itself scalable, and the scheme of Fig. 2a works deterministically, provided \( U \) can be performed and the entangled input state can be prepared. The realization of controlled unitaries is scalable to multi-qubit unitaries and applicable to any physical implementation where a higher-dimensional internal electronic and external vibrational degrees of freedom. For photons, path degrees of freedom, as used here, are ideal, and the required entangled input state can be efficiently prepared using the ‘KL’25 or derivative approaches to linear optical quantum computing. For other architectures this entangled input may be directly prepared using deterministic entangling gates, and the BS operations (Fig. 2a) would correspond to single qudit operations.

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Author contributions

The theory was developed by X.-Q.Z. and T.C.R. The theory was mapped to the experimental circuit by X.-Q.Z., P.K., T.C.R. and J.O.B. Experiments were performed by X.-Q.Z. and P.K. Data were analysed by X.-Q.Z., P.K., T.C.R. and J.O.B. The manuscript was written by X.-Q.Z., P.K., T.C.R. and J.O.B. The project was supervised by X.-Q.Z. and J.O.B.

Additional information

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Competing financial interests

The authors declare no competing financial interests.