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Membrane folding and shape transformation in biomimetic vesicles
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Polymeric self-assembled structures have been a topic of interest in the last few decades, specifically for the use of biomedical applications, such as drug delivery. It is exciting to investigate the formation of various shapes and sizes of such structures, as the morphology is crucial for their function. In this manuscript the important factors to control the morphology during self-assembly and subsequent shape transformation processes are discussed. We describe the main parameters to control and show the practical application of these parameters on biodegradable amphiphilic PEG-PDLLA block-copolymers. Thereby a variety of different morphologies, including micelles, worms, LCVs, disc, rods, stomatocytes, nested vesicles, and spherical vesicles of various sizes are created using only four diblock-copolymers and with careful tuning of two organic solvents. Further advances will lead to formation of more complex structures.

Introduction

Amphiphilic diblock-copolymers self-assemble in aqueous solutions into nano-sized structures, as the hydrophobic parts cluster together to prevent unfavorable solvent interactions, thereby reducing internal energy.1 During self-assembly a variety of different structures can be created, including micellar spheres, worms, and spherical vesicles containing a bilayer membrane, so called polymersomes.2–4 Basically, upon formation of a polymersome, an aqueous lumen is created, surrounded by a protecting membrane bilayer that can be used to shield the inside from harmful conditions. In biology, such compartmentalization is abundantly present in the form of liposomes that consist of many phospholipid molecules.5 Polymersomes, however, are more robust than their phospholipid counterparts and their polymer composition, length and functionality are more easily tuned. This makes them suitable for many different applications, such as drug delivery or nano-reactors.6–8

The characteristics of nanoparticles are inextricably linked to their size and shape, comparable to improved oxygen uptake of red blood cells due to their biconcave disc shape, or better cellular uptake of particles with a high aspect ratio.9,10 It is fascinating to explore new possibilities and functions of various nanoparticle morphologies. Therefore, it is necessary to consider the two main processes that affect the morphology of polymersomes, especially when trying to create more intricate designs; the initial self-assembly and the subsequent shape transformation processes. We will describe the important parameters that define these processes from the bottom up.

Important for the morphology during the self-assembly process is how the polymers are packed together (Fig. 1b). If a relatively large hydrophilic corona is created with a small hydrophobic core, the amphiphilic polymers will form small micellar structures. Reducing the corona dimensions by decreasing the repulsive forces between the hydrophilic chains and/or increasing the stretching of the hydrophobic core part will lead to the formation of worms. Further reduction of the corona size and increased stretching of the core chains will eventually result in bilayered membranes that form spherical polymersomes (Fig. 1b).11 Detailed investigation from the group of Eisenberg has resulted in strong insight in the self-assembly and have found several important parameters that need to be discussed. The four main factors that dictate the morphology of polymersomes during self-assembly are; the total length of the amphiphilic polymer, the ratio of the hydrophilic block relative to the hydrophobic block, the repulsion among the hydrophilic corona chains, and the stretching of the hydrophobic core chains.12,13 The total length of the amphiphilic polymer is important for the size of the self-assembly and can easily be tuned during their synthesis.14 The ratio of the respective polymer blocks is also easily controlled during synthesis and affects both size and morphology of the self-assembly, as the ratio of hydrophilic block will determine the corona size and the hydrophobic block will determine the stretching of the core. Detailed manipulation of the last two factors, the repulsion forces of the corona chains and the amount of core stretching, are determined by the interactions with their environments, such as solvents and additives.14 An important parameter to consider...
here is the Flory–Huggins interaction parameter ($\chi$), which is a measure of the polymer–solvent interaction and is related to their respective solubility parameters ($\delta$) and the dielectric constants ($\epsilon$). The miscibility of a polymer with a specific solvent can be estimated from the solubility parameter, as the closer the solubility parameters are to each other, the better they mix and the higher the stretching of the core chains. On the other side, the polarity of the solvent will affect the repulsion between the corona chains, as the hydrophilic part of the polymer is polar. More polar solvents have more interaction with the corona chains and will increase the corona dimensions, whereas solvents with lower polarity have weaker interactions and therefore result in a smaller corona.

Once spherical polymersomes have been obtained, their morphology can be changed by inducing an osmotic pressure, thereby creating various more complex shapes, such as rods, discs, stomatocytes, but also stomatocytes within stomatocytes or even polyhedron shaped particles. During polymersome formation, the membrane is flexible as the solvent composition when water is added, the packing of the polymer in the membrane induces the formation of micelles, worms, spherical polymersomes, or large compound vesicles (LCVs). The shape transformation of spherical polymersomes by inducing osmotic pressure. A larger osmotic pressure will result in more deflated structures. A positive membrane curvature results into prolates (rod-like structures) and a negative membrane curvature will result in oblates (disc-like structures).

The out-of-equilibrium situations that forces spherical polymersomes to deflate into different morphological structures can be explained in terms of bending energy (eqn (1)).

$$E_b = \frac{k}{2} \int (2C - C_0)^2 dA$$  \hspace{1cm} (1)

In this expression $k$ is the bending rigidity constant, $C$ is the mean surface curvature and $C_0$ stands for the spontaneous surface curvature. $C_0$ corresponds to the intrinsic curvature of the polymer and is depending on its length and composition. The difference between the intrinsic curvature and the actual mean curvature of the vesicle determines the cost of the bending energy. When an external stimulus is applied to a flexible membrane, the surface curvature can be altered, thereby influencing the bending energy. A positive bending energy will lead to the formation of prolates (rod-like) morphologies, whereas a negative bending energy leads to the formation of oblates (disc-like) morphologies. The hydrophilic and hydrophobic parts of the polymersome largely influence the surface charge, temperature sensitivity, rigidity and permeability and thereby affect the surface curvature response. For example, introducing an osmotic shock to spherical poly(ethylene glycol)-b-polylactide (PEG-b-PLLA) polymersomes induces shape transformation into elongated nanotubes (prolates), whereas poly(ethylene glycol)-b-polystyrene (PEG-b-PS) forms stomatocyte morphologies (oblates) under similar conditions. Important to note is that not only the membrane curvature, but also the solvent exchange rate is

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![Figure 1](image.png)

**Fig. 1** Schematic representation of the synthesis and self-assembly of the amphiphiles (a) synthesis via ring opening polymerisation of PEG-PDLLA diblock-copolymers, (b) self-assembly of PEG-PDLLA polymersomes when water is added, the packing of the polymer in the membrane induces the formation of micelles, worms, spherical polymersomes, or large compound vesicles (LCVs), (c) shape transformation of spherical polymersomes by inducing osmotic pressure. A larger osmotic pressure will result in more deflated structures. A positive membrane curvature results into prolates (rod-like structures) and a negative membrane curvature will result in oblates (disc-like structures).
were synthesized using the twice as long mPEG 44-PDLLA90 with different amounts of PEG. Two block-copolymers were synthesized using mPEG22-PDLLA45 with 6.5 wt% PEG and 13 wt% PEG, respectively. Two block-copolymers were synthesized using the twice as long mPEG44-PDLLA90 with 13 wt% PEG and 26 wt% PEG, respectively. To control the corona dimensions and the core stretching of the amphiphilic polymers the Flory-Huggins parameters of commonly used solvents were investigated. First the solubility parameters of solvents were compared with that of polylactide ($\delta = 19.3-21.0$ [MPa]$^{1/2}$). The polylactide-solvent interaction is closest to dioxane ($\delta = 20.5$ [MPa]$^{1/2}$) and then to THF ($\delta = 18.5$ [MPa]$^{1/2}$), which indicates that the stretching of the core should be highest in dioxane and to a lesser extent in THF. Furthermore, the polarity of dioxane ($\epsilon = 2.25$) is lower than THF ($\epsilon = 7.58$), so dioxane should have less interaction with the corona chains and this would lead to smaller corona dimensions. The self-assembly of these polymers was performed with different ratios of THF and dioxane and subsequently dialyzed against Milli-Q or salt solutions to induce an osmotic shock. The samples were characterized by cryo-Transmission Electron Microscopy (cryo-TEM) and DLS.

### Experimental Materials

All compounds were used as received. For synthesis of the four PEG-PDLLA copolymers, methoxy-PEG$_{22}$-OH (1 kDa) was purchased from Creative PEG Works and methoxy-PEG$_{44}$-OH (2 kDa) was purchased from Rapp Polymere. $\alpha,\beta$-Lactide and the tetrahydrofuran (THF) were purchased from Acros Organics. The ultra-pure Milli-Q water was obtained via Labconco Water Pro PS purification system (18.2 ME). Dialysis membranes of MWCO 12-14 000 Dalton Spectra/Por were used to remove the organic solvent. Sodium chloride was purchased from Merck. Dioxane was purchased from Biosolve Chimie. All other chemicals were supplied by Sigma-Aldrich.

### Methods

#### Synthesis of poly(ethylene glycol)-$b$-poly($\alpha,\beta$-lactide) block copolymers

Poly(ethylene glycol)-$b$-poly($\alpha,\beta$-lactide) (PEG-PDLLA) were synthesized by ring opening polymerization (ROP). For the formation of PEG$_{22}$-PDLLA$_{45}$, 0.2 mmol methoxy-PEG-OH macro-initiator (1 K, 194 mg) was mixed with 9 mmol $\alpha,\beta$-lactide (1.3 g, 13 wt% PEG in total). For the other polymer compositions the amounts were adjusted to obtain PEG$_{22}$-PDLLA$_{90}$ (194 mg, 0.2 mmol methoxy-PEG-OH 1 K and 2.6 g, 18 mmol $\alpha,\beta$-lactide, 6.5 wt% PEG), PEG$_{44}$-PDLLA$_{45}$ (397 mg, 0.4 mmol methoxy-PEG-OH 2 K and 1.3 g, 9 mmol $\alpha,\beta$-lactide, 26 wt% PEG), and PEG$_{44}$-PDLLA$_{90}$ (397 mg, 0.4 mmol methoxy-PEG-OH 2 K and 2.6 g, 18 mmol $\alpha,\beta$-lactide, 13 wt% PEG). First, the reagents were dried by adding dry toluene and removing the solvent under reduced pressure. Then, 15 mL dry DCM with 0.1 mmol DBU (15 $\mu$L, 0.1 mmol) was added to the dried material under argon. The reaction was left to proceed for 3-4 hours at 30 °C. After finishing the polymerization, the mixture was washed twice with 1 M KHSO$_4$, dried with Na$_2$SO$_4$ and filtered off. The polymer was concentrated by evaporating most solvent (~4 ml) and then precipitated in ice cold diethyl ether (100 ml). The waxy substance was partly dried under nitrogen, dissolved in 1,4 dioxane (5 ml) and lyophilized to yield a white powder (~80% yield). Polymerization was checked with NMR and GPC (Table S1 and Fig. S1, ESI†).

#### Self-assembly of PEG-PDLLA diblock-copolymers

In total 10 mg PEG-PDLLA polymer was weighed in a glass vial with stirring bar (in case of a mixture 5 mg of each polymer was used). The mixture was dissolved in 1 ml of organic solvent (1:4, THF : Dioxane v/v). The vial was closed with a rubber septum and the mixture was stirred for 30 minutes at 800 rpm. Subsequently, 1 ml Milli-Q water (50 wt%) was added via a syringe pump at 1 ml per hour until a cloudy suspension was obtained. The suspension was transferred to a pre-hydrated membrane (Spectra/Por, molecular weight cut-off: 12-14 kDa) and dialyzed against 1 L of Milli-Q or 50 mM NaCl for 24 hours in a fridge at 4 °C, with a solution change after 1 hour. Samples were stored in the fridge at 4 °C.

### Results and discussion

Several PEG-PDLLA diblock-copolymers with different lengths and hydrophilic segments were synthesized using Ring Opening Polymerization (ROP); mPEG$_{22}$-PDLLA$_{45}$ (1), mPEG$_{44}$-PDLLA$_{45}$ (2), mPEG$_{22}$-PDLLA$_{90}$ (3) and mPEG$_{44}$-PDLLA$_{90}$ (4). The product compositions were calculated from their respective NMR and GPC spectra (Table S1 and Fig. S1, ESI†). For the formation of polymersomes, the polymers were dissolved in organic solvent, after which an equivalent of water was added slowly at 1 ml h$^{-1}$, inducing self-assembly. Afterwards, the samples were dialyzed against Milli-Q or salt (50 mM NaCl) to remove the organic solvent and to potentially add an osmotic shock. For analysis with cryo-TEM at least 50 structures were observed for each sample.

The self-assembly of the four separate diblock-copolymers was tested in two mixtures of THF and dioxane to see the effect of the organic solvent on the core stretching and corona dimensions (Table 1). First the results obtained with a ratio of 4:1 THF to dioxane are discussed. Both polymer 2 and 4 (PEG$_{44}$-PDLLA$_{45}$ and PEG$_{44}$-PDLLA$_{90}$) showed the formation of micelles of 25 and 85 nm, respectively (Fig. S4a and S6a, ESI†), whereas polymer 1 (mPEG$_{22}$-PDLLA$_{45}$) formed spherical polymersomes of around 450 nm (Fig. S3a, ESI†). Polymer 3 (mPEG$_{22}$-PDLLA$_{90}$) formed a polydisperse mixtures of LCVs...
Large Compound Vesicles) and aggregates (Fig. S5a, ESI†).

Changing the organic solvent composition affected the self-assembly behaviour of the polymers as expected. An increase in size was observed for all samples when a higher dioxane content was used (1 : 4 THF to dioxane) (Table 1). For polymer 4 a morphology change was observed as well and changed from micelles to worm-like structures (Fig. S6b, ESI†). Higher dioxane contents reduce the corona dimension and increase the core stretching and therefore a morphological change from micelles towards vesicles is seen (Fig. 2a–d).

Addition of salt during the dialysis can have various effects on the morphology of the self-assembled structure. The salt ions have a stronger interaction with the polar corona and therefore will mainly affect the corona dimension and thereby the packing of the polymers. An increase in size and morphology is expected with increasing amounts of salt, due to reduced corona dimensions induced by the salt. This was indeed the case for polymer 4, as small polymersomes and worm structures were observed instead of the micellar and worm structures obtained against dialysis with water (Fig. S6c and d, ESI†). Higher dioxane contents reduce the corona dimension and increase the core stretching and therefore a morphological change from micelles towards vesicles is seen (Fig. 2a–d).

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Increasing the dioxane content will lower the membrane curvature and opens up the pathway for oblates. Around zero membrane curvature both pathways are possible, with a slight preference towards prolate. Yet, once a vesicle has

### Table 1  Overview self-assembly dialysed against MQ water

| Polymer                  | THF : Diox | Morphology                         | Size (nm) | PDI  |
|--------------------------|------------|------------------------------------|-----------|------|
| (1) PEG<sub>22</sub>-PDLLA<sub>45</sub> | 1 : 4      | Spherical PS<sup>a</sup>           | 453       | 0.11 |
|                          | 4 : 1      | Spherical PS                       | 344       | 0.09 |
| (2) PEG<sub>44</sub>-PDLLA<sub>45</sub> | 1 : 4      | Micelles                           | 22        | 0.49 |
|                          | 4 : 1      | Micelles                           | 18        | 0.37 |
| (3) PEG<sub>22</sub>-PDLLA<sub>90</sub> | 1 : 4      | Aggregates + LCVs                  | 3290      | 0.73 |
|                          | 4 : 1      | Aggregates + LCVs                  | 457       | 0.43 |
| (4) PEG<sub>44</sub>-PDLLA<sub>90</sub> | 1 : 4      | Micelles, Worms                    | 97        | 0.14 |
|                          | 4 : 1      | Micelles                           | 65        | 0.1  |
| (1 + 2) PEG<sub>22</sub>-PDLLA<sub>45</sub> | 1 : 4      | Micelles                           | 34        | 0.17 |
|                          | 4 : 1      | Micelles                           | 24        | 0.24 |
| (1 + 3) PEG<sub>22</sub>-PDLLA<sub>45</sub> | 1 : 4      | Spherical PS                       | ~ 4149    | 0.4  |
|                          | 4 : 1      | Irregular PS                       | ~ 1524    | 1.0  |
| (1 + 4) PEG<sub>22</sub>-PDLLA<sub>45</sub> | 1 : 4      | Spherical PS                       | 380       | 0.13 |
|                          | 4 : 1      | Spherical PS                       | 85        | 0.04 |
| (3 + 4) PEG<sub>22</sub>-PDLLA<sub>90</sub> | 1 : 4      | Spherical PS                       | 495       | 0.07 |
|                          | 4 : 1      | Spherical PS                       | 304       | 0.11 |

<sup>a</sup> PS = Polymersomes.
been deflated via a specific pathway, the shape is locked due to limited flexibility of the membrane. For polymer 3 again a polydisperse sample was created with aggregates and LCVs (Fig. S5c and d, ESI†).

Although a shift in morphology was observed for polymer 1 from prolate to oblates, still a large quantity of prolate structures were present. Therefore, another possibility was to combine different polymer lengths to tune better the corona dimensions and core length, but also solvent exchange rate. Changing the corona dimensions by mixing polymer 1 with polymer 2 resulted in slightly larger micelles compared to polymer 2 alone (Fig. S7, ESI†). The corona dimensions are larger in this mixture compared to polymer 1 alone and will shift therefore towards micellar structures. The opposite is true for polymer 2, as the corona dimensions are smaller for the mixture and therefore result in larger micelles. Mixing polymer 1 with the longer polymer 4 resulted in spherical polymersomes with a size of 380 nm, in between the sizes of the individual polymers (Fig. S8a and b, ESI†). The membrane thickness ($r$) of a polymersome is dependent on the polymer block lengths, according to $r \sim N_B N_A a$, where $N_B$ is the length of the hydrophobic block and $N_A$ is the length of the hydrophilic length. The values for $a$ are often significant higher (0.4–0.8) compared to $\gamma$ (0–0.1), as the hydrophilic block has less effect on the membrane thickness.33 In Fig. 3 the average membrane thickness of the polymersomes are shown from the separate polymers 1 and 4, as well as the mixture of both. The membrane thickness of the mixture (19 nm) is in between those of the separate polymer vesicles (16 and 23 nm, respectively), indicating equal mixing of the polymers throughout the polymersomes. Dialyzing the mixture of polymers 1 and 4 against salt resulted in stomatocytes and nested vesicles with 1:4 THF to dioxane (Fig. S8c and d, ESI†). The incorporation of a longer core forming block in the mixture resulted in a slightly lower membrane curvature and the formation of more oblate structures. Furthermore, this thicker membrane also decreased the solvent exchange rate, resulting in less deflated structure. Where polymer 1 showed mainly nested vesicles, with the mixture of polymers 1 and 4 also stomatocytes were observed. When polymers 3 and 4 were mixed and dialyzed against water, spherical polymersomes with small PDI were obtained, even though polymer 3 alone created structures with very large PDI, showing successful mixing (Fig. S9a and b, ESI†). When dialyzed against salt, elongated oblates and prolates were observed with 4:1 THF to dioxane and

![Fig. 3](image-url)  
**Fig. 3** Membrane thickness of different PEG-PDLLA polymersomes based on polymer length. (a) Membrane thickness of polymer 1 (PEG22-PDLLA45) vesicles is 16 nm, (b) membrane thickness of polymer 4 (PEG44-PDLLA90) is 23 nm, (b) membrane thickness of the mixture of polymers 1 and 4 is 19 nm.

![Fig. 4](image-url)  
**Fig. 4** Mechanism for the different morphologies observed with PEG-PDLLA vesicles. (a) Overview of the main factors that affect the packing of PEG-PDLLA during self-assembly. An increase in NaCl, wt% PEG, THF content will result in a larger corona dimension and thereby lead to a shift from vesicular to micellar structures. The opposite effect is seen with a higher dioxane content. The core stretching is influenced by the THF and dioxane content and the wt% of PLA, where an increase in core stretching will cause vesicle structures. The $M_w$ of the total polymer will increase both the corona dimension and the core stretching, increasing the total length of the polymer, but also expands sideways, affecting the leading to micellar structures. (b) Important factors that influence the shape transformation pathways. An increase in corona dimensions will promote a positive curvature, whereas an increase in core stretching will promote a negative curvature. When the membrane curvature reaches zero, the solvent exchange rate is determining for the final morphology.
Table 2 Overview self-assembly dialysed against 50 mM NaCl

| Polymer | THF : Diox | Morphology                        | Size (nm) | PDI |
|---------|------------|-----------------------------------|-----------|-----|
| (1) PEG22-PDLLA45 | 1 : 4       | Nested vesicles + tubes           | 432       | 0.08|
|         | 4 : 1       | Tubes                             | 248       | 0.1 |
|         | 1 : 4       | Micelles                          | 25        | 0.09|
|         | 4 : 1       | Micelles                          | 24        | 0.19|
| (2) PEG44-PDLLA45 | 1 : 4       | Aggregates + LCVs                  | 3079      | 0.96|
|         | 4 : 1       | Aggregates + LCVs                  | 355       | 0.34|
| (3) PEG22-PDLLA90 | 1 : 4       | Worms + spherical PS              | 110       | 0.15|
|         | 4 : 1       | Micelles + worms                   | 67        | 0.12|
|         | 1 : 4       | Micelles                          | 36        | 0.33|
|         | 4 : 1       | Micelles                          | 35        | 0.32|
| (4) PEG44-PDLLA90 | 1 : 4       | Nested vesicles                   | 600       | 0.34|
|         | 4 : 1       | Irregular deflated PS              | 278       | 0.41|
| (1 + 2) PEG22-PDLLA45 PEG44-PDLLA45 | 1 : 4   | Nested vesicles + stomatocytes     | 343       | 0.08|
| (1 + 3) PEG22-PDLLA45 PEG22-PDLLA90 | 1 : 4   | Spherical PS                      | 145       | 0.12|
| (1 + 4) PEG22-PDLLA45 PEG44-PDLLA90 | 1 : 4   | Stomatocytes                      | 250       | 0.11|
| (3 + 4) PEG22-PDLLA90 PEG44-PDLLA90 | 1 : 4   | Discs                             | 222       | 0.13|

PS = Polymersomes.

Conclusions

To conclude, we have shown control over the self-assembly and shape transformation of PEG-PDLLA polymersomes. It is possible to create different morphologies during self-assembly by tuning the polymer length, composition and both the hydrophilic corona and hydrophobic core chains. We have been able to show different morphologies (micelles, worms, and polymersomes) with the same diblock-copolymer depending on the self-assembly conditions. Furthermore, we have shown that by mixing different diblock-copolymers, better control over the self-assembly and shape transformation can be obtained. By changing C0 and the solvent exchange rate, the shape transformation of spherical polymersomes could be controlled to both prolate and oblate structures. Connecting and incorporating experimental parameters with more detailed molecular modeling studies can lead to the formation of polymersomes with more intricate designs and shapes.

Conflicts of interest

There are no conflicts to declare.

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