Generating the primordial curvature perturbations in preheating

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We show that the primordial curvature perturbations may originate not from the quantum fluctuations of inflaton during inflation but from isocurvature perturbations which are amplified during preheating after inflation. We consider a simple preheating model, whose potential is given by $V(\phi, \chi) = \frac{1}{4} \lambda \phi^4 + \frac{1}{2} g^2 \phi^2 \chi^2$ with $g^2/\lambda = 2$, as a possible realization of generating curvature perturbations during preheating. We make use of the $\delta N$ formalism which requires only knowledge of the homogeneous background solutions in order to evaluate the evolution of curvature perturbations on super-horizon scales. We solve the background equations numerically and find that the amplitude and the spectral index of curvature perturbations originating from preheating can be tuned to the observed values if the isocurvature perturbations at the end of inflation are not suppressed on super-horizon scales. We also point out that the tensor to scalar ratio in $\frac{1}{2} \lambda \phi^4$ inflation model can be significantly lowered, hence letting the $\frac{1}{2} \lambda \phi^4$ model, which is ruled out by the Wilkinson Microwave Anisotropy Probe (WMAP) data combined with SDSS data, get back into the observationally allowed region.

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I. INTRODUCTION

The primordial curvature perturbations which are coherent over the horizon scales at recombination epoch are seeds for large scale structure. A simple scenario to explain the origin of curvature perturbations is that vacuum fluctuations of inflaton driving inflation convert into classical perturbations at around the time of horizon crossing, which are almost adiabatic, almost scale-invariant and almost Gaussian. These perturbations are constant until the scales of interest re-enter the horizon.

Recently alternative mechanisms were proposed which generate the primordial curvature perturbations after inflation, not from the inflaton fluctuations. In curvaton scenario \cite{1}--\cite{3}, quantum fluctuations of light scalar field during inflation which are not necessarily related to the inflaton, convert into curvature perturbations after inflation ends. In inhomogeneous reheating scenario \cite{4}--\cite{6}, spatial fluctuations of the decay rate of the inflaton to radiation generate curvature perturbations. Both of these mechanisms are based on the formula \cite{7}

\[ \dot{R}_c = \frac{H}{\rho + P} \delta P_{\text{nad}}, \]

which is valid on super-horizon scales. Here $\dot{\cdot}$ is a derivative with respect to the cosmological time, $H = \dot{a}/a$ represents the Hubble parameter, $\rho$ is the energy density, $P$ is the pressure, $R_c$ is the curvature perturbation on comoving hypersurface and $\delta P_{\text{nad}} = \delta P - \frac{\rho}{\rho + P} \delta \rho$ is called the non-adiabatic part of the pressure perturbation or isocurvature perturbation. If $\delta P_{\text{nad}}$ is non-zero after inflation, which is the case of the curvaton and the inhomogeneous reheating scenarios, $R_c$ on super-horizon scales is generated after inflation.

As another candidate for the mechanism of generating curvature perturbations after inflation, preheating model has also been considered \cite{8}--\cite{12}. Preheating \cite{13}--\cite{16} is a process in which the coherent oscillation of the inflaton excites the fluctuations of fields coupled to the inflaton by parametric resonance. This process was investigated in detail \cite{15}--\cite{19}, \cite{20}--\cite{22}, \cite{24}--\cite{28}, \cite{29}--\cite{30} without metric perturbations, which is valid in dealing processes occurring well within the horizon scale. It was then recognized that particle production in preheating occurs much more efficiently than in the old reheating scenario where inflaton decays perturbatively to other particles.

Naively, fluctuations of such fields coupled to the inflaton seem to be excellent source of isocurvature perturbations. This means there is a possibility of generating curvature perturbations on super-horizon scales during preheating epoch. However, whether preheating can affect the evolution of curvature perturbations on super-horizon scales or not is not completely understood yet. A necessary condition that curvature perturbations on super-horizon scales are affected by parametric resonance is that isocurvature perturbations are not suppressed on super-horizon scales \cite{31}. Though this condition is not satisfied for large class of two-field preheating models, there remains some models which satisfy this condition. A simple example is a massless self-coupled inflaton $\phi$ coupled to another scalar field $\chi$, i.e. with potential \cite{32}

\[ V(\phi, \chi) = \frac{\lambda}{4} \phi^4 + \frac{g^2}{2} \phi^2 \chi^2, \]

with $g^2/\lambda = 1 \sim 3$.

For the model given in Eq. \cite{32} with $g^2/\lambda = 2$, where the $k = 0$ mode has the largest growth rate ($k$ is the wavenumber), it was suggested in Ref. \cite{12} that large-scale curvature perturbations are much amplified and exceed the current observational upper bound by using the mean field approximation.
On the other hand, in Ref. [11], by making use of the \( \delta N \) formalism [33, 34, 35], it was suggested that the amplification of curvature perturbations during preheating is less efficient than is expected in [10, 32].

In the \( \delta N \) formalism, we can evaluate the evolution of curvature perturbations only by the knowledge of the homogeneous background solutions and as was emphasized in [11], unphysical acausal energy transfer does not occur in \( \delta N \) formalism while this energy transfer is not inhibited in the mean field approximation used in [10].

However in Ref. [11], whether the generation of curvature perturbations on super-horizon scales takes place during preheating or not remains an open question because the number of solving background equations during preheating varying the initial value of the isocurvature perturbations at the end of inflation is not enough.

In this paper, we numerically solve background equations for the model given by Eq. (2) during preheating and show that scale invariant curvature perturbations with amplitude observed in the temperature anisotropy of Cosmic Microwave Background (CMB) can be generated during preheating. \(^1\)

Before we end this section, we define the power spectrum of any field \( f(\vec{x}) \), which we denote as \( P_f \), by

\[
\langle f_{\vec{k_1}} f_{\vec{k_2}} \rangle = \frac{2\pi^2}{k_1^3} P_f(k_1) \delta(k_1 + k_2).
\]

Here \( \langle \cdots \rangle \) is the ensemble average and \( \vec{k} \) is the wave number vector.

The paper is organized as follows. In Sec. II we briefly review the \( \delta N \) formalism which we use in a later section in order to evaluate curvature perturbations generated during preheating. In Sec. III we give the basics of preheating and give homogeneous background equations which we numerically solve. In Sec. IV we solve the background equations numerically and discuss how we can obtain the power spectrum of curvature perturbations from the solutions of the background equations. Then we give the resulting power spectrum after preheating. Sec. V is a summary.

II. \( \delta N \) Formalism

In this section, we briefly review the \( \delta N \) formalism [33, 34, 35].

Let us consider two world lines \( A \) and \( B \) which represent the evolution of two separate homogeneous universes separated by the distance \( d \), and take \( t_{\text{fin}} \) to be a certain time soon after the relevant scale \( d \), becomes larger than the horizon scale \( cH^{-1} \), and \( t_{\text{ini}} \) to be a certain time after the complete reheating.

As is well known, at \( t > t_{\text{fin}} \) the curvature perturbation on comoving hypersurface, \( R_c \) becomes constant in time on super-horizon scales. We define the background e-folding number as

\[
N \equiv \int_{t_{\text{ini}}}^{t_{\text{fin}}} H dt . \tag{4}
\]

According to [33, 34, 35], taking the initial \( (t = t_{\text{ini}}) \) hypersurface to be flat and the final \( (t = t_{\text{fin}}) \) hypersurface to be comoving, there is a simple relation between \( R_c \) and the perturbation of the background e-folding number as

\[
R_{cd}(t_{\text{fin}}) = -[N_A(t_{\text{fin}}, t_{\text{ini}}) - N_B(t_{\text{fin}}, t_{\text{ini}})] , \tag{5}
\]

where \( R_{cd} \) represents the comoving curvature perturbation on super-horizon scale, \( d \), and \( N_{A(B)} \) represents the e-folding number measured from \( t_{\text{ini}} \) to \( t_{\text{fin}} \) along the world line \( A(B) \). Thus, in order to evaluate the final curvature perturbation on super-horizon scales, it is only necessary to calculate the difference in the e-folding number measured from the initial to final hypersurfaces between separate FRW universes. It should be also noted that we only assume that the metric perturbations on super-horizon scales are linear perturbations, while we do not assume the linearity of the matter fields in the FRW universes here. Hence we can also use this formula for the case in which the dynamics of matter fields is non-linear, such as in preheating.

In our calculation, we obtain the e-folding numbers of separate homogeneous universes from \( t_{\text{ini}} \) to \( t_{\text{fin}} \), given the different values of \( \chi \) on initial hypersurface at \( t = t_{\text{ini}} \). We take the \( t = t_{\text{fin}} \) hypersurface to be constant Hubble one, that is, \( H_A = H_B \), rather than comoving hypersurface because it is much easier to handle in the numerical calculations. It is well known that the constant Hubble hypersurface is identical to the comoving hypersurface after complete reheating, that is, during the universe is characterized by a single parameter, e.g., the energy density of the radiation.

\[\text{FIG. 1: The schematic picture of the } \delta N \text{ formalism}\]
III. PREHEATING MODEL

A. Model

We consider a two-field preheating model whose effective potential is given by Eq. (2). In the linear stage of preheating where fluctuations of both $\phi$ and $\chi$ have negligible effects on the background dynamics, the homogeneous part of $\phi$ oscillates under the potential $\frac{1}{2} \dot{\phi}^2$. The explicit form of the oscillation is $a^{-1} \phi_0 \text{cn}(x; \sqrt{2})$, where $a$ is the scale factor, $\phi_0$ is the initial amplitude of the oscillation of $\phi$, $x \equiv \sqrt{\lambda} \dot{\phi}_0 \eta$ ($\eta$ is a conformal time) and cn is the Jacobi cosine function. In this regime, the evolution equation for a given mode $k$ of the $\chi$ field is given by [20]

$$X_k'' + \left( \kappa^2 + \frac{g^2}{\lambda} \text{cn}^2 \left( x; \frac{1}{\sqrt{2}} \right) \right) X_k = 0,$$

where $X_k \equiv a\chi_k$, $\kappa^2 \equiv k^2/(\lambda \phi_0^2)$ and $'$ denotes the derivative with respect to $x$. It is known that for $n(2n - 1) < g^2/\lambda < n(2n + 1)$ ($n$ is a positive integer), Eq. (6) has a solution which grows exponentially at $k = 0$, i.e., in the long wavelength limit. Interestingly, for $g^2/\lambda = 2$, the longest wave mode has the largest growth rate and the power spectrum of $\chi$-field at the end of inflation is scale-invariant [10] if the interaction between $\phi$ and $\chi$ during inflation is also given by Eq. (2).

If we allow the non-minimal negative coupling $\frac{1}{2} \xi R \chi^2$ as an additional interaction to the effective potential Eq. (2) [21], the effective mass squared of $\chi$-field is

$$m^2 \chi \approx g^2 \phi^2 + 8\pi \lambda \xi \phi^2 \left( \frac{\phi}{M_p} \right)^2.$$ 

Since the second term decreases faster than the first one as $\phi$ decreases, it is possible that the second term dominates during inflation but becomes negligible during preheating. In this case, the power spectrum of $\chi$ at the end of inflation can be red rather than scale-invariant. In the following discussions, we do not fix the initial power spectrum of $\chi$-field and study the resulting power spectrum of the curvature perturbation after preheating for various cases of $\mathcal{P}_X$.

At the linear stage of preheating, $\chi$-field which corresponds to the isocurvature perturbation [21] grows exponentially by parametric resonance ($\phi$-field fluctuations also grow but its growth rate is much smaller than that of $\chi$-field. [20]) Eventually non-linear interactions of fields dominate the dynamics. Thus, in order to evaluate curvature perturbations, we use the $\delta N$ formalism instead of solving the perturbation equations.

B. Background equations

In the two-field preheating model in which the effective potential is given by Eq. (2), the homogeneous back-ground equations are

$$\dot{\phi} + (3H + \Gamma)\dot{\phi} + \lambda \phi^3 + g^2 \phi^2 \chi = 0,$$

$$\dot{\chi} + (3H + \Gamma) \chi + g^2 \phi^2 \chi = 0,$$

$$\rho_R + 4H \rho_R = \Gamma (\dot{\phi}^2 + \dot{\chi}^2),$$

$$H^2 = \frac{8\pi}{3M_p^2}(\rho_S + \rho_R),$$

$$\rho_S = \frac{1}{2} \phi^2 + 1 \chi^2 + \frac{\lambda}{4} \phi^4 + \frac{g^2}{2} \phi^2 \chi^2.$$ 

Here $\rho_R$ is the energy density of the radiation. We have included the radiation fluid in order to realize the radiation dominated universe after preheating. $\Gamma$ is a decay rate from scalar fields to the radiation. We assume that both the decay rates of $\phi$ and $\chi$ to the radiation are the same for simplicity.

IV. NUMERICAL RESULTS

A. Dependence of $\delta N$ on the initial value of $\chi$.

In order to evaluate curvature perturbations generated during preheating by using the $\delta N$ formalism, we first study the dependence of the $e$-folding number $N$ until the Hubble parameter $H$ reaches a given value $H_{\text{fin}}$ varying the initial values of fields, that is, fields values at the end of inflation. Perturbation of $\phi$ approximately corresponds to the adiabatic perturbation in the linear regime of preheating. Hence the variation of $\phi$ at the end of inflation does not newly-generate curvature perturbations during preheating and we only vary the initial value of $\chi$ in the numerical simulations.

We show in Fig. 2 plots of $e$-folding number as a function of the initial value of $\chi$ which we denote as $\chi_{\text{ini}}$ for two different values of the sample size of $\chi_{\text{ini}}$ ($10^2$ and $10^4$ for the left and right panel respectively). The parameters used in the simulations are $g^2 = 2\lambda = 0.04$, $\phi_{\text{ini}} = M_p$, $\phi_{\text{ini}} = \chi_{\text{ini}} = 0$, $\Gamma = 10^{-4} H_{\text{ini}}$, $H_{\text{fin}} = 10^{-4} H_{\text{ini}}$.

From both plots, we find that the $e$-folding number $N$ is very sensitive to $\chi_{\text{ini}}$. This feature is also seen in [11] though the sample size is limited to $10^2$ and the parameters such as decay rate $\Gamma$ are different from those used in our simulations. Hence we expect that this feature is characteristic of preheating itself. We have increased the sample size to $10^6$ (we cannot show the plot in this case because the file size becomes too large.) and found similar feature to the case of the smaller sample size.

This sensitive fluctuations of $N$ can be interpreted in a following way [11]. Neglecting the radiation to which both $\phi$ and $\chi$ decay for simplicity, the total energy density is given by $\rho_{\text{tot}} = \rho_S$, and the evolution equation of $\rho_{\text{tot}}$ is

$$\frac{d\rho_{\text{tot}}}{dN} = -4\rho_{\text{tot}} - H \frac{d}{dN} \left( \phi_i \dot{\phi}_i \right) - 3H \phi_i \dot{\phi}_i,$$

where $i$ labels $\phi$ and $\chi$. In the oscillating phase after inflation, the second and third terms oscillate. If the period of
These oscillations are much smaller than the Hubble time, the averaged energy density over the period will decay in proportion to $e^{-4N}$, like the radiation energy density. In such a case, the evolution of the universe can be described by only one parameter, such as a radiation energy density, and the isocurvature perturbations do not arise. Hence additional curvature perturbations are not generated during preheating on super-horizon scales. However, the sign of $\phi_{ini}$ remains the same for a long time when the background trajectory is trapped in the potential valley along $\phi = 0$. We plot in Fig. 3 the background trajectories of scalar fields for two slightly different initial values of $\chi$ (left panel is $\chi_{ini} = 5.1 \times 10^{-9} M_p$ and right one is $\chi_{ini} = 5.2 \times 10^{-9} M_p$) with other parameters fixed to the same values. We see that these two trajectories soon deviate and each trajectory becomes chaotic.

In particular, the behavior of $\phi_i \phi_i$ is quite sensitive to the tiny difference of $\chi_{ini}$. We found that when the background trajectory is trapped in the potential valley along $\phi = 0$, which is seen remarkably in the right panel, the time interval between two zeros of $\phi_i \phi_i$ becomes so long that it is smaller than the Hubble time only by a factor. In such a case, the expansion rate of the universe deviates significantly from that of the radiation dominated universe. Because the background trajectory is chaotic, the entrapment of the trajectory in the potential valley along $\phi = 0$ occurs almost randomly for $\chi_{ini}$. Hence the dependence of $N$ on $\chi_{ini}$ becomes almost random.

Before we go to the discussion of how to obtain the power spectrum of curvature perturbations from the data which gives the correspondence between $\chi_{ini}$ and the $e$-folding number $N$, we show in Fig. 4 the dependence of the mean and the variance of $N$ on the sample size, which we denote as $p$. From this result, we find that the dependence of both $\langle N(\chi) \rangle_\chi$ and $\langle \delta N(\chi)^2 \rangle_\chi$ on $p$ becomes weaker as $p$ becomes larger. Here $\delta N(\chi)$ is defined by

$$\delta N(\chi) \equiv N(\chi) - \langle N(\chi) \rangle_\chi,$$

and $\langle \cdot \cdot \cdot \rangle_\chi$ is the average over the sampling points of $\chi_{ini}$. In particular, the fluctuations of $\langle \delta N(\chi) \rangle_\chi$ for different values of $p$ are within the statistical ones $\sqrt{\langle \delta N(\chi)^2 \rangle_\chi / p}$, which suggests that both the mean and the variance converge in proportion to $1/\sqrt{p}$ for $p \to \infty$ if we fix the range of $\chi$ in which we take average. Hence the smoothed $\delta N(\chi)$ over $\chi_{ini}$ defined as

$$\delta N(\Delta \chi; \chi) \equiv \sum_{\chi_j} \delta \chi W(\Delta \chi; \chi_j - \chi) \delta N(\chi_j),$$

also converges if the large number of sampling points are contained in the range $(\chi - \Delta \chi, \chi + \Delta \chi)$. Here $\chi_j$ is the sampling point (integer $j$ labels the sampling point) and $\delta \chi \equiv \chi_{j+1} - \chi_j$. $W(\Delta \chi; \chi)$ is the window function which rapidly drops for $|\chi| \gtrsim \Delta \chi$ and its normalization is defined by

$$\int d\chi W(\Delta \chi; \chi - \chi') = 1.$$

In Fig. 2 we see that the standard deviation of $\delta N(\chi)$ which is about $2 \times 10^{-4}$ is larger than the COBE normalization. But this does not mean that curvature perturbations on large scales exceed COBE normalization. What we actually observe is the perturbation of $e$-folding number smoothed over many different spatial points in the real space, or equivalently, average of $\delta N(\chi)$ in $\chi_{ini}$ space with some weight factor. In the next subsection, we will show the relation between $\delta N(\chi)$ and $P_\delta(k)$. Here we denote the perturbation of the $e$-folding number at a point $\vec{x}$ in the real space as $\delta N(\vec{x})$ rather than $\delta N(\vec{x})$ in order to avoid confusion because we use $\delta N$ as the perturbation in $\chi$-field space.

FIG. 2: Plots showing the initial value dependence of the $e$-folding number $N$. In left and right panel, 100 and 10000 discrete points are plotted respectively within the same interval of the horizontal axis. The parameters used in both panels are $\rho^2 = 2\lambda = 0.04$, $\phi_{ini} = M_p$, $\phi_{ini} = \chi_{ini} = 0$, $\Gamma = 10^{-4} H_{ini}$, $H_{fin} = 10^{-1} \Gamma$. 
FIG. 3: Plots of the background trajectories of $\phi$ and $\chi$ during preheating. In left and right panel, $\chi_{\text{ini}}$ is $5.1 \times 10^{-9} M_p$ and $5.2 \times 10^{-9} M_p$ with other parameters fixed to the same values. We find that two trajectories soon deviate and become chaotic. In left panel, the background trajectory is trapped in the valley $\phi = 0$ for a long time.

\begin{equation}
\langle \delta N(\chi) \rangle_{\chi} = 8.13183,
\end{equation}

\begin{equation}
\langle \delta N^2 \rangle_{\chi} \times 10^8 = 8.131825
\end{equation}

FIG. 4: Plots showing the dependence of the mean (left panel) and the variance (right panel) of $N$ on the sample size $p$. We see that both the mean and the variance converge for large sample size.

As we mentioned above, $\delta N(\chi)$ is very sensitive to $\chi$ and the dependence of $\delta N(\chi)$ on $\chi$ seems almost random. If this dependence is completely random, then $\delta N(\vec{x})$ is also random regardless of the shape of the power spectrum of $\chi$ at the end of inflation. Hence, typical magnitude of $\delta N(\vec{x})$ smoothed over the scale $R$ which is beyond the horizon scale at the time of preheating is given by the statistical fluctuations as

\begin{equation}
\sim \sqrt{\langle \delta N(\chi)^2 \rangle_{\chi}} \left( \frac{R}{r_H} \right)^{-3/2},
\end{equation}

where $r_H$ is the horizon scale at the time of preheating. Hence the smoothed $\delta N(\vec{x})$ is significantly suppressed and the generation of curvature perturbations on super-horizon scales does not occur. On the other hand, if $\delta N(\chi)$ is not completely randomized, then the amplitude of the smoothed $\delta N(\vec{x})$ would be larger than that of the statistical fluctuations, in which case it may be possible that curvature perturbations can be larger or comparable to the COBE normalization.

In order to see whether $\delta N(\chi)$ is randomized or not, we divided the region of $\chi_{\text{ini}}$ which contains one million sampling points into ten bins and took the average of $\delta N(\chi)$ for each bin with the same parameters as in Fig. 2. Figure 5 is the result. We see that the fluctuations exceed $10^{-5}$ by order of magnitude which is much larger than what is expected if $\delta N(\chi)$ is random. Hence $\delta N(\chi)$ is not completely randomized.

### B. Construction of the Power Spectrum of $\delta N$

Generally, the power spectrum of the completely randomized field is proportional to $k^3$, that is, extremely blue. In the previous subsection, we have found that
\[ \delta N(\chi) \text{ is not completely randomized, so we expect that } \mathcal{P}_{\delta N}(k) \text{ differs from the extremely blue power spectrum for sufficiently large scales if the isocurvature perturbations at the end of inflation, which correspond to } \chi \text{-field perturbations in this model, are not suppressed on large scales. To see this, we first define } \sigma(R) \text{ as the mean of the standard deviation of } \chi \text{ in the region of size } R, \]

\[ \sigma^2(R) \equiv \langle (\chi(R) - \chi(R, \vec{x}))^2 \rangle_R \approx \int_{R^{-1}}^{r_H^{-1}} \frac{dk}{k} \mathcal{P}_\chi(k), \quad (18) \]

where \[ \chi(R, \vec{x}) \text{ is defined by} \]

\[ \chi(R, \vec{x}) = \int d^3x' W(R, \vec{x'} - \vec{x}) \chi(\vec{x'}) . \quad (19) \]

Here \[ W(R, \vec{x'} - \vec{x}) \] is a window function which rapidly drops for \[ |\vec{x'} - \vec{x}| \gtrsim R \] and its normalization is given by

\[ \int d^3x \ W(R, \vec{x'} - \vec{x}) = 1. \quad (20) \]

We also define \[ \mu(R) \] as the standard deviation of \[ \chi \] smoothed over the scale \[ R, \]

\[ \mu^2(R) \equiv \langle \chi^2(R; \vec{x}) \rangle = \int_{L^{-1}}^{\infty} \frac{dk}{k} |\hat{W}(R^{-1}; k)|^2 \mathcal{P}_\chi(k), \quad (21) \]

where \[ \hat{W}(R^{-1}; k) \] is a Fourier window function and we have introduced a box of size \[ L(\gg R) \], within which the stochastic properties are to be defined.

A key quantity for calculating the power spectrum of curvature perturbations on super-horizon scales is \[ \delta \bar{N}(\vec{x}) \] smoothed over the scale \[ R > r_H, \]

\[ \delta \bar{N}(R; \vec{x}) = r_H^3 \sum \limits_{\vec{x}_i} W(R, \vec{x}_i - \vec{x})(N(\vec{x}_i) - \langle N \rangle_L), \quad (22) \]

where we have discretized the space into lattice whose grid spacing is \[ r_H \] and have replaced the integral over the space with the sum over the lattice points \[ x_i \] in the box. \[ \langle \cdots \rangle_L \] denotes the expectation values inside the box. In Eq. (22), we implicitly assume that the spatial volume of size \[ R \] contains sufficient large number of lattice points so that the contamination of the random component is significantly reduced and \[ \delta N(R; \vec{x}) \] does not depend on the size of \[ r_H \]. This assumption is valid as long as we consider sufficiently large scales (but \[ R \ll L \) is imposed through).

In order to relate \[ \delta \bar{N}(R; \vec{x}) \] to \[ \delta N(\chi) \] averaged over the sampling points of \[ \chi_{\text{ini}}, \] we rewrite Eq. (22) as the sum over the sampling points on \[ \chi_{\text{ini}} \] space,

\[ \delta \bar{N}(R; \vec{x}) = \left( \frac{r_H}{R} \right)^3 \sum \limits_{\chi_j} \langle N(\chi_j) \rangle_n (n(R, \vec{x}; \chi_j) - \langle n(R, \vec{x}; \chi_j) \rangle_L), \quad (23) \]

where we have used the top hat window function. \[ n(R, \vec{x}; \chi_j) \] is a number of spatial points in the region of size \[ R \] with \[ \vec{x} \] at its center in which \[ \chi_{\text{ini}} \] takes the value between \[ \chi_j \] and \[ \chi_{j+1} \]. From the definition of \[ n(R, \vec{x}; \chi_j) \], we have the following relation,

\[ \sum \limits_{\chi_j} n(R, \vec{x}; \chi_j) = \left( \frac{R}{r_H} \right)^3. \quad (24) \]

From this relation, we can add the \[ \chi_j \)-independent quantity to \[ N(\chi_j) \] in Eq. (25). So, as a matter of convenience, we can rewrite Eq. (25) as

\[ \delta \bar{N}(R; \vec{x}) = \left( \frac{r_H}{R} \right)^3 \sum \limits_{\chi_j} \delta N(\chi_j) \langle n(R, \vec{x}; \chi_j) - \langle n(R, \vec{x}; \chi_j) \rangle_L \rangle, \quad (25) \]

Eq. (25), or equivalently Eq. (26), is not strictly correct because from Eq. (24) to (25), we have replaced \[ \chi(\vec{x}_i) \] with \[ \chi_j \] which satisfies \[ \chi_j < \chi(\vec{x}_i) < \chi_{j+1} \] and this will induce an error in the corresponding replacement of \[ \delta N(\chi) \] of order \[ \sqrt{\langle \delta N^2 \rangle_\chi} \] for each lattice point. The sum of this error of \[ \delta N(\chi) \] over the lattice points would roughly correspond to the variation of \[ \langle \delta N(\chi) \rangle_\chi \] when each sampling point of \[ \chi_{\text{ini}} \] is shifted by \[ O(\delta \chi) \]. We have verified that the variation of \[ \langle \delta N(\chi) \rangle_\chi \] under the shift of \[ \chi_{\text{ini}} \] by \[ O(\delta \chi) \] lies within \[ \sqrt{\langle \delta N(\chi)^2 \rangle_\chi} \]. Hence Eq. (25) is correct to good accuracy as long as \[ R \gg r_H \].

If \[ \delta \chi \] is small enough, \[ n(R, \vec{x}; \chi) \] will be proportional to \[ \delta \chi \]. Hence we introduce \[ f(R, \vec{x}; \chi) \] as

\[ n(R, \vec{x}; \chi) = \left( \frac{R}{r_H} \right)^3 f(R, \vec{x}; \chi) \delta \chi. \quad (26) \]

Then \[ f(R, \vec{x}; \chi) \] satisfies the following normalization condition,

\[ \int d\chi f(R, \vec{x}; \chi) = 1. \quad (27) \]
Using Eq. (25), we have

\[ \langle \delta \hat{N}^2(R; \vec{x}) \rangle_L = \sum_{\chi_j} \sum_{\chi_k} (\delta \chi)^2 \delta N(\chi_j) \delta N(\chi_k) \times \langle f(R, \vec{x}; \chi_j) - \langle f(R, \vec{x}; \chi_j) \rangle_L \times \langle f(R, \vec{x}; \chi_k) - \langle f(R, \vec{x}; \chi_k) \rangle_L \rangle_L. \]

(28)

We have to specify the function form of \( f(R, \vec{x}; \chi) \) which depends on the model in order to proceed further from Eq. (28). We assume that \( f(R, \vec{x}; \chi) \) takes the form as,

\[ f(R, \vec{x}; \chi) = \frac{1}{\sqrt{2\pi \sigma(R)}} \exp \left[ -\frac{1}{2\sigma^2(R)}(\chi - \chi(R; \vec{x}))^2 \right]. \]

(29)

This assumption is valid if \( \chi \)-field obeys the Gaussian distribution, which is the case if \( \chi \)-field is in the vacuum state at the end of inflation. Under this assumption, we have

\[ \langle f(R, \vec{x}; \chi_j) \rangle_L = \frac{1}{\sqrt{2\pi \sigma(R)}} \left( e^{-\frac{1}{2\sigma^2(R)}(\chi_j - \chi(R; \vec{x}))^2} \right)_L, \]

(30)

\[ \langle f(R, \vec{x}; \chi_j) f(R, \vec{x}; \chi_k) \rangle_L = \frac{1}{2\pi \sigma^2(R)} \left( e^{-\frac{1}{2\sigma^2(R)}(\chi_j - \chi(R; \vec{x}))^2} \times e^{-\frac{1}{2\sigma^2(R)}(\chi_k - \chi(R; \vec{x}))^2} \right)_L. \]

(31)

We could replace ensemble average in Eqs. (30) and (31) with the spatial average in the box of size \( L \). Because \( \chi \) appears in Eqs. (30) and (31) only through \( \chi(R; \vec{x}) \), the ensemble average in these equations can be also interpreted as the ensemble average over \( \chi(R; \vec{x}) \) with some weight factor. If \( \chi \)-field is the Gaussian random field, then \( \chi(R; \vec{x}) \) is also Gaussian random field, in which case the ensemble average of Eqs. (30) and (31) becomes Gaussian integral over \( \chi(R; \vec{x}) \). Then we have

\[ \left( e^{-\frac{1}{2\sigma^2(R)}(\chi_j - \chi(R; \vec{x}))^2} \right)_L = \frac{1}{\sqrt{2\pi \mu(R)}} \int_{-\infty}^{\infty} d\chi(R; \vec{x}) e^{\frac{\chi_j^2}{2\sigma^2(R)}} e^{-\frac{1}{2\sigma^2(R)}(\chi_j - \chi(R; \vec{x}))^2} = \frac{\sigma(R)}{\sqrt{\sigma^2(R) + \mu^2(R)}} e^{-\frac{\chi_j^2}{2\sigma^2(R) + \mu^2(R)}}, \]

(32)

and

\[ \left( e^{-\frac{1}{2\sigma^2(R)}(\chi_j - \chi(R; \vec{x}))^2} e^{-\frac{1}{2\sigma^2(R)}(\chi_k - \chi(R; \vec{x}))^2} \right)_L = \frac{1}{\sqrt{2\pi \mu(R)}} \int_{-\infty}^{\infty} d\chi(R; \vec{x}) e^{-\frac{1}{2\sigma^2(R)}(\chi_j - \chi(R; \vec{x}))^2} e^{-\frac{1}{2\sigma^2(R)}(\chi_k - \chi(R; \vec{x}))^2} \times e^{-\frac{\mu^2(R)}{2\sigma^2(R) + \mu^2(R)}} e^{-\frac{\chi_j^2 + \chi_k^2}{2(\sigma^2(R) + \mu^2(R))}} = \frac{\sigma(R)}{\sqrt{\sigma^2(R) + 2\mu^2(R)}} e^{-\frac{\chi_j^2 + \chi_k^2}{2(\sigma^2(R) + 2\mu^2(R))}} \times e^{-\frac{\mu^2(R)}{2(\sigma^2(R) + 2\mu^2(R))}}, \]

(33)

Substituting Eqs. (32) and (33) into Eq. (28), we have

\[ \langle \delta \hat{N}^2(R; \vec{x}) \rangle_L = \sum_{\chi_j} \sum_{\chi_k} (\delta \chi)^2 \delta N(\chi_j) \delta N(\chi_k) \times \left[ \frac{1}{2\pi \sigma(R) \sqrt{\sigma^2(R) + 2\mu^2(R)}} e^{-\frac{\chi_j^2}{2\sigma^2(R) + \mu^2(R)}} e^{-\frac{\chi_k^2}{2\sigma^2(R) + \mu^2(R)}} \right] \]

(34)

\[ \times e^{-\frac{\mu^2(R)}{2(\sigma^2(R) + \mu^2(R))}} \times \left[ \frac{1}{2\pi \mu^2(R)} e^{-\frac{\chi_j^2}{2\sigma^2(R) + \mu^2(R)}} e^{-\frac{\chi_k^2}{2\sigma^2(R) + \mu^2(R)}} \right]. \]

Eq. (34) relates the power spectrum of curvature perturbations to the average of \( \delta N(\chi_j) \delta N(\chi_k) \) over \( \chi_j \) and \( \chi_k \) with some weight factor.

If the power spectrum of \( \chi \)-field at the end of inflation is red, we can reduce Eq. (34) to a simpler form. In this case, we have \( \mu(R) \gg \sigma(R) \). Then Eq. (34) can be approximated as

\[ \langle \delta \hat{N}^2(R; \vec{x}) \rangle_L \approx \sum_{\chi_j} \sum_{\chi_k} (\delta \chi)^2 \delta N(\chi_j) \delta N(\chi_k) \times \left[ \frac{1}{2\sqrt{2\pi \mu(R)}} e^{-\frac{\chi_j^2}{2\sigma^2(R)}} e^{-\frac{\chi_k^2}{2\sigma^2(R)}} \right] \]

\[ \times \left[ \frac{1}{2\pi \mu^2(R)} e^{-\frac{\chi_j^2}{2\sigma^2(R) + \mu^2(R)}} e^{-\frac{\chi_k^2}{2\sigma^2(R) + \mu^2(R)}} \right], \]

(35)

Hence \( \langle \delta \hat{N}^2(R; \vec{x}) \rangle_L \) is equal to the variance of \( \delta N(\sigma(R); \chi) \) in the range \( |\chi| \lesssim \mu(R) \). We expect that \( \mathcal{P}_{\delta \hat{N}}(k) \) shows deviation from the extremely blue power spectrum for scales \( k \lesssim R_c^{-1} \), where \( R_c \) is the critical scale at which the fluctuation due to the randomness \( \sim \left( \frac{k}{k_c} \right)^{3/2} \langle \delta N(\chi)^2 \rangle \chi \) goes down to the value given by Eq. (35).

On the other hand, we have

\[ \frac{d}{dR} \langle \delta \hat{N}^2(R; \vec{x}) \rangle_L = \frac{d}{dR} \int_{k_0}^{R^{-1}} \frac{dk}{k} \mathcal{P}_{\delta \hat{N}}(k) = -\frac{1}{R} \mathcal{P}_{\delta \hat{N}}(R^{-1}). \]

(36)

From this simple relation between \( \langle \delta \hat{N}^2(R; \vec{x}) \rangle_L \) and the power spectrum of \( \delta \hat{N}(\vec{x}) \), we can make a connection between \( \mathcal{P}_{\delta \hat{N}} \) and \( \delta N^2(\sigma(R); \chi) \) given by Eq. (35).

Furthermore, in order to have an analytic relation between \( \mathcal{P}_{\delta \hat{N}} \) and \( \delta N^2(\sigma(R); \chi) \), we assume that \( \mathcal{P}_{\chi}(k) \) is given by

\[ \mathcal{P}_{\chi}(k) = \mathcal{P}_\sigma \left( \frac{k}{k_c} \right)^{-n_\chi}, \quad n_\chi > 0. \]

(37)
Then $\mu(R)$ and $\sigma(R)$ become

$$
\mu^2(R) \approx \frac{1}{n_X} P_o(k_o L)^{n_X}, \quad \sigma^2(R) \approx \frac{1}{n_X} P_o(k_o R)^{n_X}, \quad (38)
$$

where $L$ is the size of the box as mentioned before. We see that $\mu(R)$ does not depend on $R$ and $\sigma(R)$ is an increasing function with respect to $R$. Using Eq. (38), we have

$$
\frac{d}{dR} \langle \delta N^2(R; \bar{x}) \rangle = \frac{n_X}{2R} \sigma(R) \frac{\partial}{\partial \sigma(R)} G(\sigma(R), \mu(R)). \quad (39)
$$

From Eqs. (39) and (36), we obtain the relation between $P_{\delta N}$ and $G(\sigma(R), \mu(R))$,

$$
P_{\delta N}(R^{-1}) = -\frac{n_X}{2} \sigma(R) \frac{\partial}{\partial \sigma(R)} G(\sigma(R), \mu(R)). \quad (40)
$$

Hence we can obtain the power spectrum of curvature perturbations at any scale if we know the dependence of $\sigma(R)$ on $G(\sigma(R), \mu(R))$.

The top left panel in Fig. 6 plots $G(\sigma(R), \mu(R))$ as a function of $\sigma(R)$ for fixed $\mu(R)$. In this plot, we have approximated $G(\sigma(R), \mu(R))$ as

$$
G(\sigma(R), \mu(R)) \approx \frac{1}{2\mu(R)} \int_{-\mu(R)}^{\mu(R)} d\chi \delta N^2(\sigma(R); \chi), \quad (41)
$$

for simplicity. We assume that this replacement for calculating $G(\sigma(R), \mu(R))$ does not cause significant error on the evaluation of the amplitude of curvature perturbations. $\mu(R)$ is chosen to be $5 \times 10^{-5} M_p$. Solid line corresponds to the case of $10^6$ sampling points of $\chi_{ini}$ within the region $0 < \chi_{ini} < \mu(R)$ and dotted one corresponds to the case of $10^5$ sampling points.

We first see that slopes of both two lines become steep at small $\sigma(R)$. This steep rise is due to the contamination of the random component. Since the sampling number in the width of $\mu(R)$ is limited in our simulations (at most $10^6$), the sampling number in the width of $\sigma(R)$ becomes at most $\sim 10^6 \times \frac{\sigma(R)}{\mu(R)}$. Hence if we decrease $\sigma(R)$ with $\mu(R)$ fixed, the sampling number within the width of $\sigma(R)$ also decreases. Below some critical $\sigma(R)$, the sampling number is so small that $\delta N(\sigma(R); \chi)$ is still dominated by the random component. In Fig. 6 we actually observe that if we increase the number of sampling points the amplitude of $G(\sigma(R), \mu(R))$ at small $\sigma(R)$, where the slope of the line is steep, decreases and the difference between two lines becomes negligible for large $\sigma(R)$. Hence this steep rise at small $\sigma(R)$ is meaningless in a sense that we cannot obtain the correct amplitude of curvature perturbations at the corresponding scale $R$. We have nothing except to increase the sampling number in order to reduce the contribution from the random component and obtain the correct $G(\sigma(R), \mu(R))$ at small $\sigma(R)$. On the other hand, at large $\sigma(R)$, we also see that the slopes become steep. However, this feature cannot be trusted because the approximation we made in Eq. (38) is no longer valid for $\sigma(R)/\mu(R) \sim O(1)$. In such a case, we have to go back to Eq. (35), which requires a heavy numerical calculation.

Thus, in the following discussion, when we discuss the property of the power spectrum, we consider only for the scales corresponding to $\sigma(R)/\mu(R) \sim e^{-5} - e^{-3}$.

The remaining three panels (top right, bottom left and bottom right) plot $-\sigma(R) \frac{\partial}{\partial \sigma(R)} G(\sigma(R), \mu(R))$ which is proportional to $P_{\delta N}$ for three different values of $\mu(R)$ with other parameters such as $g^2/\lambda$ fixed to the same as in Fig. 2. $\mu(R)$ in the top right panel is the same as in the top left panel and the solid and the dotted lines in the right panel just correspond to the same type of lines in the left panel. $\mu(R)$ in the bottom left and right panels are $10^{-8} M_p$ and $10^{-2} M_p$ respectively. We find that the amplitude and shape of the plot depend on $\mu(R)$. In the top right panel, $-\sigma(R) \frac{\partial}{\partial \sigma(R)} G(\sigma(R), \mu(R))$ remains almost constant except for the region of small $\sigma(R)$ where $\mu(R)$ becomes steep due to the small size of the sampling number and the region where $\sigma(R)/\mu(R) = O(1)$. On the other hand, $-\sigma(R) \frac{\partial}{\partial \sigma(R)} G(\sigma(R), \mu(R))$ is a monotonically decreasing function in the bottom left panel and monotonically increasing function in the bottom right panel.

Later, we will give a qualitative explanation why these plots depend on $\mu(R)$.

Now let us consider what type of the power spectrum we can obtain from the numerical results above. Since $\sigma(R)$ is an increasing function with respect to $R$, going to larger scales means increasing $\sigma(R)$. From Eq. (38), the horizontal axis of all the panels in Fig. 6 is

$$
\ln \left( \frac{\sigma(R)}{\mu(R)} \right) = \frac{n_X}{2} \ln \frac{R}{L}. \quad (42)
$$

Hence if we increase the scale of interest $R$ by a factor of 10, then the corresponding point on the horizontal axis shifts by $\sim n_X$. Since cosmologically interesting scales range from $10^4$ Mpc to 1 Mpc, the corresponding range in the horizontal axis, which we denote as $\Delta \ln \left( \frac{\sigma(R)}{\mu(R)} \right)$, is about $4n_X$. From Eq. (42), the amplitude of $P_{\delta N}$ is determined by multiplying $-\sigma(R) \frac{\partial}{\partial \sigma(R)} G(\sigma(R), \mu(R))$ by $\frac{n_X}{2}$. Therefore by choosing suitable $n_X$, we can set $P_{\delta N}$ to $P_R \approx 2 \times 10^{-9}$ corresponding to the COBE normalization. For example, if $\mu(R) = 5 \times 10^{-5} M_p$, which corresponds to the case of the top right panel, $-\sigma(R) \frac{\partial}{\partial \sigma(R)} G(\sigma(R), \mu(R))$ is about $10^{-8}$ in the range $-5 \lesssim \ln \sigma(R)/\mu(R) \lesssim -3$. Hence if $n_X \approx 0.4$, then $P_{\delta N}$ becomes $2 \times 10^{-9}$, which corresponds to the COBE normalization. In this case, we have also $\Delta \ln \left( \frac{\sigma(R)}{\mu(R)} \right) \approx 1.6$. If we assume that $L$ has a value so that the horizon scale $\sim 10^4$ Mpc corresponds to $\ln \left( \frac{\mu(R)}{\sigma(R)} \right) \sim -3$, then $\Delta \ln \left( \frac{\sigma(R)}{\mu(R)} \right)$ lies in the range $-5 \lesssim \ln \sigma(R)/\mu(R) \lesssim -3$, in which case $P_{\delta N}$ becomes scale invariant over the cosmological scales. In the same way as above, for the bottom right panel, if $n_X \approx 4 \times 10^{-2}$, then $P_{\delta N}$ gives the COBE normalization.

In this case, $\Delta \ln \left( \frac{\sigma(R)}{\mu(R)} \right) \approx 0.2$. Because $P_{\delta N}$ changes little
in this interval, the spectrum becomes almost scale invariant over the cosmological scales. For the bottom left panel, if we choose $n_\chi$ so that $P_{\delta N}$ gives the COBE normalization, $P_{\delta N}$ becomes too blue over the cosmological scales and hence this case is excluded.

From Fig. 6 we see that there is a tendency that the spectrum of the curvature perturbation becomes blue as $\mu(R)$ decreases. This can be explained if we assume that $\delta N(\chi)$ becomes more random as $\mu(R)$ becomes smaller, because the power spectrum of the completely randomized field is extremely blue as mentioned before [40]. In our numerical calculation, we divided the range $(0, \mu(R))$ in $\chi_{ini}$ space into ten bins and took the average of $\delta N(\chi)$ for each bin (cf. see the Fig. 5). Then we verified that the deviation of fluctuation of averaged $\delta N(\chi)$ in each bin from the statistical fluctuation becomes smaller as $\mu(R)$ becomes smaller. This result shows that the assumption above is valid.

It seems unnatural that both the amplitude and the spectral index of $P_{\delta N}$ depend on the size of the box $L$ within which stochastic properties are defined. Introducing the infrared cutoff $L$ is necessary, because without such a cutoff the expectation value of $\chi^2$ diverges. We have assumed throughout the paper that the mean value of $\chi$ vanishes in the box. Let us now prepare a box whose size is much smaller than $L$ but is still larger than the scales of interest inside the box of size $L$. Then the average of $\chi$ inside the small box does not vanish in general. As shown in [41, 42], statistical quantity such as power spectrum defined in the small box is not the same as the one defined in the large box of size $L$. It is only when one averages over the small boxes inside the larger box of size $L$ that the statistical quantities become the same as the one defined in the large box. Hence $L$, the size of the box within which the average of $\chi$ vanishes, appears as a parameter which in this case controls the shape of $P_{\delta N}$.

Testing non-Gaussianity of the primordial fluctuations has become one of the most powerful probe that distinguishes various inflationary and post-inflationary models [43]. Recently the level of non-Gaussianity generated during preheating was investigated in [44]. The authors in [44] consider the same preheating model as in this paper. However in [44], the primordial curvature per-
turbations are assumed to be generated during inflation while second order fluctuations which are responsible for the large non-Gaussianity are amplified during preheating. Though it is shown in [44] that massless preheating model considered in this paper is already ruled out from observations due to large non-Gaussianity, we have to reanalyze the problem because the mechanism of generating the primordial curvature perturbations in [44] is completely different from that in our paper. We are now investigating the level of non-Gaussianity generated during preheating by using the $\delta N$ formalism.

Interestingly, the dynamics of preheating is completely determined by $g^2/\lambda$, not by $\lambda$ and $g$ independently. All numerical results shown above is obtained for any value of $\lambda$ if we assume $g^2/\lambda = 2$. Since $\lambda$ determines the energy scale of inflation, $\lambda$ fixes the amplitudes of the primordial gravitational waves produced during inflation. On the other hand, as we have shown above, if the isocurvature perturbations on super-horizon scales are amplified at the end of inflation with suitable negative spectral index and infrared cutoff, the primordial curvature perturbations with almost scale invariant and $\sim 10^{-5}$ amplitude can be generated during preheating. Hence we can lower the tensor to scalar ratio by lowering $\lambda$, that is, the energy scale of inflation. In this case, $\lambda \phi^4$ inflation model, which is ruled out from the WMAP three year results combined with SDSS [16, 17, 18, 19], comes into the observationally allowed region. Lowering the tensor to scalar ratio in the chaotic inflationary scenario was also considered in [51] (see also [52]), though the mechanism is completely different from the one in this paper.

For completeness, we consider the case in which the power spectrum of $\chi$ at the end of inflation is blue, that is, $\mu(R) \ll \sigma(R)$. In this case, Eq. (54) can be approximated as

$$
\langle \delta N^2(R; x) \rangle \approx \sum_{\chi_i} \sum_{\chi_k} \delta \chi^2 \delta N(\chi_j) \delta N(\chi_k) \times \frac{1}{2\pi \sigma^2(R)} e^{-\frac{1}{2\sigma^2(R)} (\chi_j^2 + \chi_k^2)} e^{-\frac{2}{2\sigma^2(R)} (\chi_j^2 - \chi_k^2)^2} - 1. 
$$

Because $\frac{\mu^2(R)}{\sigma^2(R)} (\chi_j - \chi_k)^2$ is much smaller than unity, right hand side of Eq. (43) is suppressed. Hence the generation of curvature perturbations on large scales does not occur in this case. In the scale-invariant case, that is, $\mu(R) \approx \sigma(R)$, we have no appropriate approximation in order to reduce Eq. (54) to a simpler form. So, in this case, we have to calculate Eq. (43) directly.

V. CONCLUSION

The main purpose of this paper is to examine whether the generation of the curvature perturbations occurs or not during preheating and, if it occurs, whether they could be seeds for large-scale structure in the universe.

To do so, we applied $\delta N$ formalism to two-field preheating model whose potential is given by $\frac{1}{2} \phi^4 + \frac{g^2}{\lambda} \phi^2 \chi^2$. Then we have analyzed the dependence of the $e$-folding number during preheating on the isocurvature perturbations at the end of inflation which corresponds to perturbations of $\chi$-field. We verified that the $e$-folding number during preheating is very sensitive to the initial value of $\chi$ due to the chaotic nature of the background trajectory in field space, which has been already observed in [11]. Though the $e$-folding number seems almost random as a function of $\chi_{ini}$, we found that the fluctuation of the smoothed $e$-folding number over a large number of initial values of $\chi$ exceeds the statistical fluctuation. Hence the $e$-folding number is not completely randomized.

This deviation of the smoothed $e$-folding number from the statistical fluctuation provides the generation of curvature perturbations on large scales. We numerically found that the amplitude and the spectral index of the curvature perturbations originating from preheating at current cosmological scales can be fixed to the observed values by tuning the spectral index of $\chi$-field and the infrared cutoff. An interesting point is that this consequence is derived only from the requirement $g^2/\lambda = 2$. Hence by lowering $\lambda$ which determines the energy scale of inflation, we can lower the tensor to scalar ratio to the observationally allowed value.

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