The role of TBP in rDNA transcription by RNA polymerase I in *Saccharomyces cerevisiae*: TBP is required for upstream activation factor-dependent recruitment of core factor

Joan S. Steffan, Daniel A. Keys, Jonathan A. Dodd, and Masayasu Nomura

Department of Biological Chemistry, University of California, Irvine, California 92697-1700 USA

Transcription of *Saccharomyces cerevisiae* rDNA by RNA polymerase I involves at least two transcription factors characterized previously: upstream activation factor (UAF) consisting of Rrn5p, Rrn9p, Rrn10p, and two more uncharacterized proteins; and core factor (CF) consisting of Rrn6p, Rrn7p, and Rrn11p. UAF interacts directly with an upstream element of the promoter and mediates its stimulatory function, and CF subsequently joins a stable preinitiation complex. The TATA-binding protein (TBP) has been known to be involved in transcription by all three nuclear RNA polymerases. We found that TBP interacts specifically with both UAF and CF, the interaction with UAF being stronger than that with CF. Using extracts from a TBP (I143N) mutant, it was shown that TBP is required for stimulation of transcription mediated by the upstream element, but not for basal transcription directed by a template without the upstream element. By template competition experiments, it was shown that TBP is required for UAF-dependent recruitment of CF to the rDNA promoter, explaining the TBP requirement for stimulatory activity of the upstream element. We also studied protein–protein interactions and found specific interactions of TBP with Rrn6p and with Rrn9p both in vitro and in the yeast two-hybrid system in vivo. Thus, these two interactions may be involved in the interactions of TBP with CF and UAF, respectively, contributing to the recruitment of CF to the rDNA promoter. Additionally, we observed an interaction between Rrn9p and Rrn7p both in vitro and in the two-hybrid system; thus, this interaction might also contribute to the recruitment of CF.

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In eukaryotes, each of the three nuclear RNA polymerases, RNA polymerase I (Pol I), RNA polymerase II (Pol II), and RNA polymerase III (Pol III), utilizes a different set of basic transcription factors for recognizing and binding to their respective promoters for initiation; polymerases themselves are unable to bind to promoters directly. The TATA-binding protein (TBP) is unique in that it is required for initiation of transcription by all three RNA polymerases [Hernandez 1993]. TBP was originally identified as a protein component of TFIIID that binds directly to the TATA box present in many Pol II promoters. For these promoters, the function of TBP is well established; TBP, either by itself or as a part of TFIIID that also contains other TBP-associated proteins (TAFs), binds directly to the TATA box and recruits first TFIIA and TFIIIB, which in turn recruits Pol II together with TFIIIF and other basic transcription factors, such as TFIIE and TFIH [Conaway and Conaway 1993; Bartowski 1994; Zawel and Reinberg 1995]. However, functional roles of TBP in transcription initiation at TATA-less Pol II promoters or Pol III promoters are less well understood. Here, in the absence of the TATA box, formation of preinitiation complexes is initiated by specific interactions of promoter elements [e.g., the box A and box B sequences of tRNA promoters] with transcription factor complexes that do not contain TBP [e.g., TFIIIC for tRNA promoters]. Subsequently, TBP–TAF complexes [e.g., TFIIIB for tRNA promoters] appear to be recruited mainly through protein–protein interactions. Whether TBP has direct contact with promoter DNA in such instances, and if so, how significant its contribution is to the formation of functionally competent preinitiation complexes, is being actively investigated [e.g., see Struhl 1994; Martinez et al. 1995; Burke and Kadonaga 1996, Joazeiro et al. 1996]. In contrast to the above-mentioned Pol II and Pol III systems, the functional role of TBP in the transcription of rDNA by Pol I is least well under-
stood, even though the requirement of TBP for Pol I transcription has been well established both in mammalian [Comai et al. 1992; Zomerdijk et al. 1994] and yeast [Cormack and Struhl 1992; Schultz et al. 1992] systems.

Factors required for Pol I transcription were initially studied using in vitro systems derived from a variety of metazoan systems [human, mouse, rat, and frog]. Two transcription factors, UBF (upstream binding factor) and SL1 [promoter selectivity factor; also called by other names, such as TIF-IB] have been purified and characterized [for review, see Reeder 1992; Paule 1994; Moss and Stefanovsky 1995]. SL1 from HeLa cells has been shown to contain TBP in addition to three other polypeptides [TAF1, TAF2, and TAF110] (Comai et al. 1992, 1994; Zomerdijk et al. 1994; see also Eberhard et al. 1993, for TIF-IB purified from mouse cells), but the exact functional role of TBP has remained unclear.

Like rDNA promoters from other systems, the yeast rDNA promoter consists of two elements: a core element and an upstream element [Musters et al. 1989; Kulkens et al. 1991; Choe et al. 1992; Keys et al. 1996]. The core element covers ~50 nucleotides including the transcription start site, extends upstream to ~−40, and is essential for transcription. The upstream element covers a region from −50 to ~−155 and is not absolutely required for specific initiation, but is required for a high level of transcription. Regarding transcription factors in the yeast system, we have identified UAF (upstream activation factor) and CF [core factor; called Rnm6/7 complex previously] in addition to the protein encoded by gene RRN3 [Keys et al. 1994, 1996; Yamamoto et al. 1996]. CF consists of three proteins, Rm6p, Rm7p, and Rm11p, encoded by RRN6, RRN7, and RRN11, respectively, and is essential for specific initiation of transcription [Keys et al. 1994; Lalo et al. 1996; Lin et al. 1996]. UAF contains three proteins encoded by RRN5, RRN9, and RRN10, respectively, and probably two additional uncharacterized proteins, p30 and p18, and is not absolutely required for specific transcription, but is required for a high level of transcription (Keys et al. 1996). It has been shown that UAF alone interacts with the upstream element of the template, forming a stable UAF–template complex and committing that template to transcription [Keys et al. 1996]. In contrast to UAF, CF does not bind stably to the rDNA template by itself but joins a stable preinitiation complex in the presence of some other factors present in crude cell extracts (Keys et al. 1994). Thus, recruitment of CF to the rDNA promoter to form a stable preinitiation complex must require UAF and possibly some additional proteins.

Both mammalian SL1 and yeast CF are essential transcription factors, and even though there is no amino acid sequence similarity between the three TAFs in SL1 and the three protein subunits in CF, these two factors might be functionally homologous. However, SL1 contains TBP, whereas purified CF preparations do not. Although weak interactions between CF (and protein subunits in CF) and TBP were observed previously [unpublished experiments cited in Keys et al. 1994; Lalo et al. 1996], we wished to study such interactions in a more systematic way to gain information relevant to the functional roles of TBP in this system. In parallel, we also studied the interactions of TBP with UAF. As we describe in this paper, we have observed that TBP interacts with both CF and UAF and, in fact, interacts with UAF more strongly than with CF. Because of this finding, we then asked whether TBP, together with UAF, participates in recruitment of CF to the rDNA promoter to form a stable preinitiation complex. We have found that this is indeed the case and that, in addition to UAF, TBP is also required to mediate the stimulatory function of the upstream element of the yeast rDNA promoter. We also describe protein–protein interactions between TBP and subunits of UAF and CF, which might be important for recruitment of CF to the rDNA promoter.

Results

Interaction of TBP with CF and UAF

CF and UAF were affinity-purified from strain NOY732 expressing HA1-epitope-tagged RRN7 and from strain NOY662 expressing HA1-epitope-tagged RRN5, respectively. They were incubated with GST–TBP fusion protein bound to glutathione–agarose beads under three different buffer–salt conditions. After incubation, the beads were washed with the same buffers used for the incubation, and the bound proteins were eluted specifically with glutathione. The presence of CF or UAF in the eluates was detected by Western immunoblot analysis using anti-HA1 antibodies to detect the HA1-tagged protein components [(HA1)β-Rn6p for CF and (HA1)γ-Rn5p for UAF] and by specific in vitro Pol I transcription assay to measure their ability to complement CF- or UAF-deficient mutant extracts. We observed that UAF bound to GST–TBP, but not to control GST or to the glutathione beads, under all three salt conditions, 200 mM KCl, 400 mM KC1 and 400 mM K glutamate, as assayed by Western immunoblot [data not shown] and by complementation activity [Fig. 1B, lanes 4, 7, and 10]. In contrast, binding of CF to GST–TBP was observed only with 400 mM K glutamate [Fig. 1A, lane 10], but not with 200 mM KCl or 400 mM KC1 [Fig. 1A, lanes 4 and 7; data for Western blot analysis not shown]. We conclude that both CF and UAF interact specifically with TBP in vitro, but binding of UAF to TBP is apparently stronger than that of CF to TBP in high concentrations of KCl.

In vitro stimulation of rDNA transcription by TBP using promoters with and without the upstream element

Because of the observed [unexpected] strong interaction of TBP with UAF, we considered the possibility that TBP functions, at least in part, as a complex with UAF in rDNA transcription. As described in the introduction, UAF interacts with the upstream element of the rDNA promoter and helps to recruit CF to the promoter. Using extracts prepared from an rrn10 mutant strain deficient
in UAF, it was shown previously that weak basal transcription obtained with an rDNA template missing an intact upstream element does not require UAF nor is it stimulated by UAF; a large stimulation of transcription by UAF takes place only with an rDNA template carrying an intact upstream element (Keys et al. 1996). Similar experiments were carried out using extracts from a temperature-sensitive TBP mutant [spt15; I143N; see Schultz et al. 1992] and effects of addition of purified wild-type recombinant TBP on transcription of rDNA in this system were examined using template with or without the upstream element. Extracts prepared from this mutant were shown previously to be almost completely inactive in promoter-specific initiation for all three polymerases (Schultz et al. 1992).

As shown in Figure 2, the extracts showed a weak but recognizable transcription activity on the rDNA template with or without the upstream element (Fig. 2A, lanes 1 and 5). The addition of purified recombinant TBP to such extracts showed a substantial stimulation (fourfold in Fig. 2A) of transcription for an rDNA template with the upstream element [Fig. 2A, lanes 5–8], but no significant stimulation was observed for an rDNA template without the upstream element [Fig. 2A, lanes 1–4; see the legend for quantitative data]. The weak transcrip-
tion observed with the rDNA template without the upstream element appears to be specific, because the transcript was not observed if extracts were prepared from an rrn6 mutant (Fig. 2B, lane 1), and the addition of CF allowed [weak] transcription to take place from this mutant promoter (Fig. 2B, lane 2). Transcription from the wild-type template was also completely dependent on the presence of CF and, as expected, was much higher than that from the mutant template (Fig. 2B, cf. lanes 3 and 4 with lane 2). Thus, the results of transcription experiments using a TBP [I143N] mutant extract were similar to those obtained using extracts deficient in UAF (Keys et al. 1996) and were different from those obtained using extracts deficient in CF. Basal transcription from a template with the core element required CF and was not enhanced by TBP or UAF. This suggests that the function of TBP is to mediate the stimulatory activity of the upstream element together with UAF. However, we cannot exclude the possibility that TBP is also required for transcription from the template with the core element only, and that the I143N mutation abolishes TBP’s function to mediate the stimulatory activity of the upstream element without affecting the [hypothetical] separate function required for transcription from the core element. We also note that, in the experiment using the TBP mutant extracts as shown in Figure 2A, the wild-type promoter without TBP addition showed a slightly (~50% in Fig. 2A) higher activity compared with the mutant promoter without the upstream element [cf. lane 5 with lane 1]. It is possible that there is a residual activity in the mutant extracts. Alternatively, it may be that TBP is not absolutely required for UAF-dependent recruitment of CF, and that UAF and other factors in conjunction with the upstream element are able to stimulate, although very inefficiently, recruitment of CF to the promoter in the absence of the intact TBP.

**TBP is required for the stable binding of CF to the rDNA template**

It was previously shown that UAF interacts with the upstream element directly, forming a stable complex and committing the template to transcription (Keys et al. 1996). CF subsequently joins the committed complex (Keys et al. 1994), but we have noted previously that factors other than UAF are required for recruitment of CF to form a stable preinitiation complex [data not shown]. Thus, the simplest possibility to explain the TBP requirement is that TBP is required for the recruitment of CF to the promoter mediated by the upstream element and UAF. We have examined this possibility directly by carrying out template competition experiments as outlined in Figure 3B. Two rDNA templates with the wild-type promoter, which give specific transcripts with different sizes, were used. Template A was incubated with affinity-purified UAF and [a limited amount of] CF, and with a PC-300 fraction [which does not contain CF nor UAF] prepared from a TBP [I143N] mutant extract together with various amounts of TBP to see whether the CF was stably bound to this template under these conditions. [Preliminary experiments showed that incubation of the template with UAF, CF, and TBP alone does not form a stable complex containing CF and that some protein[s] contained in the PC-300 fraction [from a wild-type strain] is required for stable complex formation. Hence, this PC-300 fraction was replaced by a PC-300 fraction from the TBP [I143N] mutant plus purified TBP to examine the role of TBP.] After incubation, this reaction mixture was combined with another reaction mixture containing template B that was preincubated with rrn6 extract supplemented with UAF. The rrn6 extract is deficient in CF, but contains all other proteins required for specific transcription of the rDNA template, including TBP and UAF. Extra UAF was added to insure efficient “capture” of any CF that was not stably bound to the template.
bly bound to template A. If CF preincubated with template A is not stably bound to the template during the preincubation, CF will become available for template B upon mixing of the two reaction mixtures, and template B will be transcribed upon subsequent addition of nucleoside triphosphates. However, if CF is stably bound to template A, CF will not be available to template B, and only template A will be transcribed following mixing, addition of nucleoside triphosphates, and incubation. As shown in Figure 3A, without external addition of TBP, both templates A and B were transcribed (lane 1), but preincubation of template A in the presence of increased amounts of TBP allowed the formation of a stable complex containing CF with increased efficiency, thus decreasing the amounts of CF available to template B (lanes 2–6).

Three control reactions were carried out. First, the two template/factor reaction mixtures described above were combined without preincubation. As expected, both templates were transcribed, indicating that CF was available to both templates (Fig. 3A, lane 7). Second, CF was omitted from the template A mixture and was instead preincubated with template B (together with the rrn6 mutant extract and UAF). As can be seen in lane 8, template B, but not template A, was transcribed, indicating that CF was first stably bound to template B and was excluded from use by template A. Third, UAF was omitted from the template A mixture and the result was exclusive transcription of template B (lane 9), showing that stable binding of CF to template A requires the presence of UAF. Thus, the results of these template competition experiments show that stable binding of CF to the rDNA template requires both UAF and TBP and that the function of TBP (to recruit CF) revealed in these experiments can account largely, if not exclusively, for the requirement of TBP for rDNA transcription by Pol I in vitro.

Physical interactions of TBP with CF and UAF subunit proteins

We have reported previously that the three subunit proteins of CF, Rrn6p, Rrn7p, and Rrn11p, interact strongly with each other and that Rrn7p and Rrn11p individually interact specifically but weakly with TBP [Lalo et al. 1996]. This latter conclusion is based on the observations that 35S-labeled TBP was bound to GST–Rrn7p and GST–Rrn11p fusion proteins affixed to glutathione–agarose beads, but not to control GST–glutathione–agarose beads [Lalo et al. 1996]. However, in the previous work we were unable to prepare intact GST–Rrn6p fusion protein, and the possible interaction of TBP with GST–Rrn6p was not studied. In the present work, we prepared 35S-labeled Rrn6p, Rrn7p [HA1-epitope-tagged], Rrn11p, and Rrn9p using a reticulocyte in vitro translation system, and their interactions with GST–TBP fusion protein bound to glutathione–agarose beads were studied using buffer–salt conditions similar to those used for the study of interactions of CF and UAF with GST–TBP described earlier in this paper. Interactions with GST bound to glutathione–agarose were used as a control. For technical reasons, we did not study interactions of Rrn5p or Rrn10p with GST–TBP and GST in the same way.

As shown in Figure 4, all three CF subunit proteins, Rrn6p, Rrn7p, and Rrn11p, bound to GST–TBP, but not to GST, in the presence of 200 mM K glutamate or 200 mM KCl (Fig. 4, lanes 2, 3, 7, 8, 12, and 13), but the amounts that were bound to GST–TBP glutathione–agarose beads were higher for Rrn6p than Rrn11p or Rrn7p. Binding of Rrn11p was less, and that of Rrn7p was least (see Table 1). In the presence of 400 mM KCl, significant binding of 35S-labeled Rrn6p to GST–TBP was still observed (Fig. 4, lane 4), whereas no or only marginally significant binding was observed for 35S-labeled Rrn11p or 35S-labeled Rrn7p (Fig. 4, lanes 9 and 14; Table 1).

Figure 4. Binding of [35S]methionine-labeled CF subunits (Rrn6p, Rrn7p, and Rrn11p) and a subunit of UAF (Rrn9p) to GST–TBP beads. 35S-Labeled Rrn6p (lanes 1–4), HA1-Rrn7p (lanes 6–9), Rrn11p (lanes 11–14) and Rrn9p (lanes 16–19) were used to study their binding to GST–TBP or GST attached to glutathione–agarose beads. Control GST beads were incubated and washed with buffer containing 200 mM K glutamate (KGlut) for each 35S-labeled probe as shown in lanes 1, 6, 11, and 16. GST–TBP beads were incubated and washed for each probe with buffer containing 200 mM KGlut [lanes 2, 7, 12, and 17], 200 mM KCl [lanes 3, 8, 13, and 18] and 400 mM KCl [lanes 4, 9, 14, and 19]. 35S-Labeled proteins bound to the beads were then analyzed by SDS–PAGE; 10% of each of the input of the labeled proteins was also analyzed [lanes 5, 10, 15, and 20]. 35S-Labeled Rrn6p and HA1-Rrn7p were added in approximately equal molar ratio, while the amounts of 35S-Rrn11p and Rrn9p added were about three and two times higher, respectively, relative to these probes [with respect to the intact proteins]. An autoradiogram of the gel is shown. The position of each intact labeled protein probe is indicated by an arrow at the far right. It should be noted that the binding conditions used in this experiment are similar to those used for the binding of intact CF [or UAF] to GST–TBP or GST–glutathione–agarose beads shown in Fig. 1, although bound proteins were analyzed directly without specific elution in the experiments shown in this figure, whereas analyses were done on eluates in Fig. 1. Thus, some of the apparently specific interactions observed, for example, those between Rrn6p and TBP in KCl buffers, appear to be absent when Rrn6p is part of CF. These “masked” interactions might become significant under some other conditions, for example, in KGlut buffers (Fig. 1) or in actual in vivo conditions.

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Table 1. Binding of 3S-labeled proteins to GST-TBP and GST in various salt conditions

| GST or GST-TBP | Rrn6p | Rrn7p | Rrn11p | Rrn9p |
|----------------|-------|-------|--------|-------|
| GST, 200 mM KGlu | 1     | 2     | 1      | 2     |
| GST-TBP, 200 mM KGlu | 69    | 11    | 27     | 73    |
| GST-TBP, 200 mM KCl | 60    | 7     | 16     | 61    |
| GST-TBP, 400 mM KCl | 11    | 4     | 5      | 22    |

Binding/wash conditions include 25 mM Tris-HCl at pH 8.0, 30% glycerol, 0.2% BSA, 0.5% Tween-20, and KCl or K glutamate (KGlu) at various concentrations indicated. The amounts of 3S-labeled bands corresponding to intact proteins were analyzed after SDS–PAGE and compared with those used as input. Values given are averages of two experiments, one of which is shown in Fig. 4.

Although the interactions observed for individual subunit proteins might possibly be masked when intact CF is incubated with GST–TBP [see comments in the legend to Fig. 4 for "masking"], it is likely that the interaction between Rrn6p and TBP, which was the strongest interaction detected with a CF subunit, may be responsible for the observed interaction between intact CF and TBP.

Although we have not studied other UAF subunit proteins, we observed a strong interaction between Rrn9p and GST–TBP (Fig. 4, cf. lanes 17–19 with 16 and 20). Here, as much as 22% of input Rrn9p was retained by GST–TBP beads in the presence of 400 mM KCl (Table 1). Thus, it is possible that the interaction detected between Rrn9p and GST–TBP may be responsible for the observed relatively strong interaction between intact UAF and GST–TBP.

In parallel to the studies on the interactions of CF and UAF subunit proteins with TBP, we also carried out experiments to study direct protein interactions among these subunit proteins. Results of the interactions among CF subunit proteins were reported previously [Lalo et al. 1996]. In addition, we discovered an interaction between Rrn9p and GST–Rrn7p fusion protein bound to glutathione–agarose beads (Fig. 5; Table 2). 3S-labeled Rrn9p bound to these beads, but not to control GST–glutathione–agarose beads (cf. lane 2 with lane 1). Control experiments shown in Figure 5 included testing of 3S-labeled deletion derivative of Rrn9p that is missing about 40% [amino acid 48–197] of the protein, as well as 3S-labeled Rrn6p. Rrn6p bound strongly to GST–Rrn7p (lane 8), confirming the previous results [Lalo et al. 1996]. The deletion derivative [Δ48-197] of Rrn9p did not show significant binding (lane 5), thus serving as a negative control in these binding experiments.

Protein–protein interactions analyzed by the yeast two-hybrid system

The yeast two-hybrid system has been used extensively for detecting protein–protein interactions [Fields and Sternglanz 1994; Phizicky and Fields 1995]. We used this method to study interactions further among TBP, CF subunit proteins and UAF subunit proteins. As shown in Figure 6A, a strong interaction between Rrn9p and TBP was observed (cf. lane 2 with lanes 1 and 9), confirming their physical interaction described in the previous section. Similarly, a significant interaction between TBP and Rrn6p was also observed (Fig. 6A, lane 6 compared with lanes 5 and 9), which is also consistent with the strong physical interaction between the two proteins in vitro. We have also tested interactions between Rrn7p and TBP (Fig. 6A, lanes 7, 8, and 9), as well as Rrn11p and TBP (Fig. 6A, lanes 3, 4, and 9). No positive interactions were detected. Thus, among the three CF subunit proteins, we have been able to establish a strong interaction with TBP only for Rrn6p both in vitro and in vivo.

As described in the previous section, we detected a significant interaction between Rrn7p and Rrn9p in

Table 2. Binding of 3S-labeled Rrn6p, Rrn9p, and Rrn9pΔ48-197 to GST–Rrn7p and GST

| GST or GST-Rrn7p | Rrn6p | Rrn9p | ΔRrn9p |
|------------------|-------|-------|--------|
| GST              | <1    | <1    | <1     |
| GST–Rrn7p        | 22    | 45    | 3      |

The buffer solution used for binding and washing was the same as that described in Table 1 containing 200 mM KCl. Values given are averages of two experiments, one of which is shown in Fig. 5.
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Figure 6. Interactions between TBP, CF-subunit proteins (Rrn6p, Rrn7p, Rrn11p), and a UAF-subunit protein (Rrn9p) analyzed by the two-hybrid system. Protein coding regions were fused to the Gal4p activation domain and/or the Gal4p DNA binding domain. Cells were transformed with plasmids carrying these fusion genes or control vector plasmids in various combinations as indicated above the bar graphs, and β-galactosidase activities of several independent transformants were analyzed. Average values and standard deviations are shown. We note that the values given in A, lanes 2 and 6, and B, lane 2, are averages of values obtained for 13, 8, and 6 independent transformants, respectively. For each of these groups, individual values varied greatly among transformants resulting in very high standard deviations. We noted that these transformants carrying pairs of plasmids in question grew significantly more slowly than the parental strain, suggesting that the presence of these plasmids is harmful to cell growth. We also noted a tendency of these transformants to lose the plasmids. Thus, we think that the cultures that were analyzed contained cells that had lost plasmids to various extents despite selection of these plasmids by nutritional markers, and that this might account for the observed high variability of β-galactosidase activity for these transformants in each of these groups. Thus, the average values shown might be lower estimates, and the highest values shown among independent cultures in each of the groups might represent the values closer to the “real” values.

vitro. This interaction, although not as strong as some other combinations mentioned above, was also demonstrated by the two-hybrid system [Fig. 6B, cf. lane 2 with control lanes 1 and 3].

Discussion

TBP is required for UAF-dependent recruitment of CF to the rDNA promoter

Although the requirement of TBP for rDNA transcription by Pol I has been well established, its functional role in this process has been unknown. The work described in this paper now provides insight into this problem. A model that accounts for the results obtained in our previous as well as the current work [Keys et al. 1994, 1996; Yamamoto et al. 1996; this paper] is shown in Figure 7 to facilitate discussion.

We have shown previously that UAF interacts with the upstream element of the rDNA promoter, forming a stable UAF-template complex and committing the template to transcription [Keys et al. 1996; Fig. 7B]. CF, the essential transcription factor, can then join the complex, but TBP (and perhaps another unidentified factor) is required for recruitment of CF as demonstrated in the present work (Fig. 7, the step from B to C). Although CF is a component of the resultant stable preinitiation complex [Keys et al. 1994; this work], it has not been demonstrated that TBP (or the other factor) required for CF recruitment actually becomes a part of the complex as envisioned in Figure 7C. Finally, the stable committed

Figure 7. Schematic model of transcription initiation complex assembly at the yeast rDNA promoter. Two elements of the yeast rDNA promoter, the upstream element (UE) and the core element (core), are shown as open boxes. Proteins Rrn3p, Rrn5p, Rrn6p, Rrn7p, Rrn9p, Rrn10p, and Rrn11p are represented by their number designations. The bent arrow indicates the transcription initiation site. Double-headed arrows indicate the observed interactions between UE, UAF, CF, and TBP as well as the interaction between Pol I and Rrn3p (for this interaction, see Yamamoto et al. 1996). The protein–protein interaction observed between Rrn9p and Rrn7p is indicated by a double-headed broken line. As noted in the text, some unidentified factor(s) might be required in addition to TBP for recruitment of CF to the promoter (step B to C). Note that contacts of proteins with DNA shown in B, C, and D are not determined [except for the fact that UAF as a complex binds to DNA] and are for illustration only. The question of whether TBP or Rrn3p is present in the final preinitiation complex (D) is also not known. See the text for details.
preinitiation complex is able to recruit Pol I, presumably with the aid of the protein encoded by \textit{RRN3}, and form a Sarkosyl-resistant preinitiation complex that is ready to initiate transcription (Fig. 7D; Yamamoto et al. 1996). Note that both UAF and CF stay with the template during multiple cycles of transcription as a stable complex, but Rrn3p as well as Pol I does not (Yamamoto et al. 1996). In fact, it has not been determined whether Rrn3p is physically present within the final Sarkosyl-resistant preinitiation complex. Demonstration of the requirement of TBP for UAF-dependent recruitment of CF to the rDNA promoter explains why a large stimulation of transcription by TBP was observed for the intact rDNA template containing both the core and the upstream elements and not for the rDNA template without an intact upstream element.

We have shown that both UAF and CF specifically interact with TBP [indicated by arrows in Fig. 7A] and that these interactions may be relevant to the function of TBP to recruit CF to the promoter. In addition, TBP might have direct contact with DNA even though there is no consensus TATA sequence in the rDNA promoter, and such a TBP–DNA interaction might also contribute to the recruitment of CF [see below for further discussion]. In addition, direct interaction between some UAF-subunit proteins and CF proteins might also facilitate recruitment of CF; the interaction between Rrn9p and Rrn7p observed in the present work may represent such an interaction [Fig. 7A].

The current model of rDNA transcription by Pol I in mammalian systems proposes that UBF interacts initially with the rDNA promoter and that the resultant UBF–template complex stimulates interaction of SL1 with the rDNA promoter, followed by recruitment of Pol I and other factors such as TIF-IA and TIF-IC (Bell et al. 1988; Goodrich and Tjian 1994; for an alternative model, see below and Schnapp and Grummt 1991). It was recently reported that one of the three TAFs [TAF48 of SL1 interacts with UBF in vitro, and the suggestion was made that this interaction may be responsible for the UBF-dependent recruitment of SL1 to the promoter (Beckmann et al. 1995). In addition, an interaction of UBF with TBP was also observed (Kwon and Green 1994; Beckmann et al. 1995), and this interaction might also be related to the UBF-dependent recruitment of SL1 (Hempel et al. 1996). Thus there is a superficial resemblance between these experiments and the UAF-dependent and TBP-dependent recruitment of CF in the yeast Pol I system. However, as discussed in detail previously (Keys et al. 1996), there are significant differences between yeast UAF and mammalian UBF. For example, UBF is a DNA binding protein with low sequence specificity; it interacts not only with the DNA template at a region overlapping the upstream element [UCE in the human rDNA promoter], but also with the DNA template at other regions including a region within the core element. Furthermore, note that the UBF–template interaction is not strong [or specific] enough to commit the template to transcription. Instead, for the mouse rDNA promoter, formation of a stable, committed complex of TIF-IB [the mouse homolog of SL1] with the promoter was demonstrated in the absence of UBF (Schnapp and Grummt 1991). According to this experimental result, TIF-IB/SL1 resembles UAF rather than CF in the yeast Pol I system. (Because it was not determined in this work whether the observed stable interaction of the DNA template with TIF-IB/SL1 took place through the upstream element or the core element of the promoter, significance of the apparent functional correspondence between the mouse TIF-IB/SL1 and the yeast UAF cannot be clearly established.) Thus, exact comparison of mechanisms of recruitment of essential Pol I transcription factors [SL1 and CF] and a role of TBP in these recruitments between the present yeast system and the mammalian in vitro Pol I system must await future studies.

Comparison of TBP function in the yeast Pol I system with Pol II and Pol III systems

Regarding the role of TBP, there is a certain similarity between the present system and Pol III transcription of tRNA genes in yeast. In the Pol III system, TFIIC, which is a multiprotein transcription factor like UAF, recognizes box A and box B elements and recruits the essential transcription factor TFIIB, which consists of TBP and two other subunit proteins, the 70-kD Brf protein and the 90-kD B” protein [for review, see Willis 1993; Geduschek and Kassavetis 1995]. Experiments using the three purified TFIIIB subunits showed that Brf alone is able to interact weakly with the DNA–TFIIIC complex presumably through its interaction with the 120-kD subunit of TFIIIC, but the presence of TBP greatly stabilizes this interaction and, in addition, allows binding of the 90-kD B” subunit to the complex, to complete formation of the preinitiation complex that is capable of recruiting Pol III (Kassavetis et al. 1992). Thus, TBP appears to play a role for TFIIIC-dependent recruitment of TFIIIB. Recent work on yeast tRNA gene transcription indicates that a contact of TBP with an AT-rich region of DNA plays a role, in addition to the TFIIIC–TFIIB interaction, in positioning TFIIB, further supporting the importance of TBP in TFIIIB recruitment (Joaozeiro et al. 1996). It is not known whether TFIIIC, like UAF in the yeast Pol I system, has any direct contact with TBP. In the yeast Pol I system, the Rrn9p subunit of UAF appears to interact not only with TBP, but also with the Rrn7p subunit of CF [see Fig. 7A]. The latter interaction might be analogous to the interaction between the 120-kD subunit of TFIIIC and the Brf subunit of TFIIIB, helping recruitment of CF to the promoter. Also in analogy to the yeast Pol III system, TBP may contact DNA in the rDNA promoter and such a contact might also play a role, in addition to the protein–protein interactions, in the recruitment of CF to the rDNA promoter. In fact, there is an extended AT-rich region [from −93 to −60; 80% AT] upstream of the core element in addition to several other sequences in which TBP might have some affinity. Mutational analyses should give an answer to the question of TBP–
DNA contact and its possible significance in the recruitment of CF by TBP.

The function of TBP demonstrated in the present yeast Pol I system also has a certain similarity to the function of TBP in initiator-containing and TATA-less Pol II promoters. It has been demonstrated that TAFs [in association with TBP] in the human TFIIID complex are essential for both activator-dependent and activator-independent ("basal") transcription of a TATA-less promoter that carries an essential initiator element [Martinez et al. 1994]. Direct interaction of the TAF₄₁₅₀ subunit of Drosophila TFIIID with DNA around the transcription start site was also shown [Verrijzer et al. 1994, 1995]. For such Pol II promoters, at least in the absence of activators, it appears that the initial important interaction may be the interaction of certain TAFs with DNA elements such as initiator elements [and downstream promoter elements (DPE) identified recently by Burke and Kadonaga 1996], rather than the interaction of TBP with DNA. In these instances, the role of TBP in TFIIID is to recruit TFIIIB, the essential transcription factor required for Pol II recruitment, presumably through its direct contact with TFIIIB [Nikolov et al. 1995] as discussed by previous investigators [Martinez et al. 1994, 1995; Verrijzer et al. 1994, 1995; Joazeiro et al. 1996]. Thus, in these initiator- and DPE-dependent and TATA-less Pol II promoter systems, it appears that the TAF-initiator (and DPE) interaction may be analogous to the UAF-upstream-element interaction and that TFIIIB recruitment by TBP may be analogous to CF recruitment by TBP in the yeast Pol I system.

Discovery of transcription factors complexed with TBP for transcription by all three eukaryotic nuclear RNA polymerases, Pol I, Pol II, and Pol III, has led to the concept that the majority of TBP in cells is complexed with other specific proteins, called TAFs, and that these TBP–TAF complexes represent functional units, participating in promoter selection and transmitting regulatory signals to the polymerases (e.g., see Goodrich and Tjian 1994). Many experiments were carried out to support this concept. However, this does not necessarily mean that the TBP–TAF complexes found in Pol I, Pol II, and Pol III systems [i.e., SL1 in Pol I, TFIIID in Pol II, and TFIIIB in Pol III systems] carry out analogous functions. As already discussed above, the main function of TFIIIB is to recruit Pol III, which makes TFIIIB analogous to TFIIIB rather than the TBP-containing TFIIID in Pol II systems. For the yeast Pol I transcription system, TBP exists apparently “free” in extracts [which were prepared in the presence of 400 mM (NH₄)₂SO₄ in our studies], but may be associated loosely with both UAF and CF as judged from the results presented in this paper. As discussed above, TBP–UAF appears to resemble the TBP-containing complex TFIIID [in TATA-less promoter systems], and TBP–CF appears to resemble the TBP-containing complex TFIIIB. The essential function of TBP may be to interact with a specific region of DNA and/or other specific DNA-binding transcription factor[s] and to mediate recruitment of still another essential transcription factor that is crucial for recruitment of RNA polymerases to correct start sites on the template. Depending on polymerase systems and on experimental cell systems, some particular interactions may be stronger than others, leading to isolation of different kinds of TBP-containing complexes. Mammalian SL1 might in fact correspond to the TBP–CF complex in the yeast Pol I system, but it is not clear whether the basis of its function in correctly positioning Pol I on the promoter is the same as that used in the yeast Pol I system. In addition, the possibility also exists that SL1/TIF-IB might actually correspond to the TBP–UAF complex in the yeast system in view of the template commitment experiments published by Schnapp and Grummt (1991). Thus, establishing functional correspondence between mammalian SL1 and yeast CF or UAF [plus TBP] will need further experimental studies.

Materials and methods

Strains and plasmids

The strains and plasmids used in this study are listed in Table 3. Plasmids used to study protein–protein interactions by the yeast two-hybrid system were prepared as derivatives of pASl-CYH2 or pACTII. For construction of pNOY355, a 5′ NcoI site and a 3′ BamHI site were added to the 1.1-kb RRN9 coding region by PCR. The PCR product was digested with NcoI and BamHI and the fragment obtained was ligated into the multicloning site of pASl-CYH2 between the NcoI and BamHI sites, fusing RRN9 to GAL4 [1–147] in frame. This fusion changed the second amino acid of Rrn9p from serine to glycine. For construction of pNOY356, a 5′ NcoI site was added to the RRN11 coding region [from pNOY349, Lalo et al. 1996] by PCR and the resulting 1.7-kb NcoI–XmaI fragment encoding Rrn11p was ligated between the NcoI and BamHI sites of pASl-CYH2, changing the second amino acid of Rrn11p from phenylalanine to valine. For construction of pNOY357, the 3.1-kb NdeI–HindIII fragment from pNOY214 [Keys et al. 1994] encoding the HA1-tagged Rrn6p was ligated between the NdeI and BamHI sites of pASl-CYH2. For construction of pNOY358, the 1.6-kb coding region of the RRN7 gene was created by PCR and ligated into the blunt NdeI site of pASl-CYH2. This fusion started at the second amino acid of Rn7p, which was changed from serine to threonine.

For the construction of pNOY359, the BamHI fragment from pNOY3248 encoding yeast TBP was ligated into the XhoI site of pACTII. For the construction of pNOY360, a 5′ NcoI site and a 3′ BamHI site were added to the 1.1-kb RRN9 coding region by PCR. This PCR fragment was ligated between the NcoI and BamHI sites of pASl-CYH2. This fusion changed the second amino acid of Rrn9p from serine to glycine. All plasmids constructed for the two-hybrid system experiments, as described above, were transformed into yeast strain SFY526 and checked for expression of fusion proteins by Western immunoblot analysis, making use of the HA1 epitope programmed into the fusions in pACTII and pASl-CYH2.

Plasmid pNOY3246, which was used to make [35S]-labeled Rrn9p, was constructed by inserting the 1.1-kb NcoI–BamHI coding region of RRN9 from pNOY355 [which has a change from serine to glycine at the second amino acid, see above] between HinClI and BamHI sites of pBS(−). Plasmid pNOY3247 was a derivative pNOY3246 and was constructed by deleting DNA between the XbaI site and the XmnI site within the RRN9 coding region.
Table 3. Yeast strains and plasmids

| Designation | Description |
|-------------|-------------|
| Yeast strains | |
| JHRY20-2CΔ1 (NOY203) | MATa his3-Δ200 ura3-52 leu2-3, 112 pep4-Δ1::URA3 (Ammerer et al. 1986) |
| SHY70 | MATa ade6 ura3 leu2::SPT15::HIS4 pSH254 [temperature-sensitive strain expressing mutant TBP (II43N); a gift from S. Hahn (Fred Hutchinson Cancer Research Center, Seattle, WA); see Schultz et al. 1992] |
| SFY526 | MATa ura3-52 his3-200 ade2-101 lys2-801 trp1-901 leu2-3, 112 can1 gal4-542, gal80-538 URA3::GAL1-lacZ (Clontech, Palo Alto, CA) |
| NOY567 | MATa ade2-1 ura3-1 leu2-3, 112 trp1-1 his3-1 can1-100 rrn7Δ::LEU2 pNOY103 |
| NOY662 | MATa ade2 ade3 leu2 ura3 trp1 his can1 rm5::TRP1 pNOY330 |
| NOY696 | MATa ade2 ade3 leu2 ura3 lys2 his can1 rm10-1 pNOY103 |
| NOY732 | MATa ade2-1 ura3-1 leu2-3, 112 trp1-1 his3-11 can1-100 rrn7Δ::LEU2 pNOY303 |
| Plasmids | |
| pACTII | A derivative of pACT [Durfee et al. 1993], LEU2, 2μ, amp' and carries the GAL4 transcription activation domain [GAL4(768–881)], which is under the ADH promoter and is followed by the region encoding a HA1-flu epitope tag and multicloning sites (a gift from S.I. Elledge, Baylor College of Medicine, Houston, TX) |
| pASI-CYH2 | A derivative of pASI-CYH2 [Durfee et al. 1993], TRP1, CYH2, 2μ, amp' and carries the GAL4 DNA binding domain [GAL4(1–147)], which is under the ADH promoter and is followed by the region encoding a HA1-flu epitope tag and multicloning sites (a gift from S.I. Elledge) |
| 6-HisTyIID | A derivative of 6HisT·pET11 expression vector carrying SPT15 for yeast TBP (a gift from R.G. Roeder, Rockefeller University, New York, NY; see Hoffmann and Roeder 1991) |
| pSH254 | ARS CEN LEU2 vector carrying spt15 [encoding the mutant TBP II43N; Schultz et al. 1992, a gift from S. Hahn] |
| pNOY103 | High-copy-number plasmid carrying GAL7-35S rDNA, ADE3 2μ amp' [Nogi et al. 1991] |
| pNOY221 | A derivative of pRS316 carrying HA1-tagged RRN7 [Lalo et al. 1996] |
| pNOY303 | A derivative of pRS314 (CEN6 ARS4 URA3) carrying a DNA fragment encoding triple HA1-tagged Rn7p [Lalo et al. 1996] |
| pNOY330 | A derivative of pPC97 [LEU2 CEN6 amp'] carrying a DNA fragment encoding triple HA1-tagged Rn3p [Keys et al. 1996] |
| pNOY355 | A derivative of pASI-CYH2 carrying GAL4(1–147)–RRN9 fusion gene |
| pNOY356 | A derivative of pASI-CYH2 carrying GAL4(1–147)–RRN11 fusion gene |
| pNOY357 | A derivative of pASI-CYH2 carrying GAL4(1–147)–RRN6 fusion gene |
| pNOY358 | A derivative of pASI-CYH2 carrying GAL4(1–147)–RRN7 fusion gene |
| pNOY359 | A derivative of pACTII carrying GALA768–881–SPT15 fusion gene [SPT15 encodes TBP] |
| pNOY360 | A derivative of pACTII carrying GALA768–881–RRN9 fusion gene |
| pNOY3173 | A derivative of pBS(-) carrying RRRN6 fused to the CITE sequence [Lalo et al. 1996] |
| pNOY3244 | A derivative of pGEX-3X (Pharmacia) carrying RRRN7 fused to the GST coding region [Lalo et al. 1996] |
| pNOY3245 | A derivative of pBS(-) carrying RRRN11 fused to the CITE sequence [Lalo et al. 1996] |
| pNOY3246 | A derivative of pBS(-) carrying RRRN9 |
| pNOY3247 | A derivative of pNOY3246 carrying the mutant rrn9 gene encoding Rrn9pΔ48–197 |
| pNOY3248 | A derivative of pGEX-1 carrying SPT15 [the gene for TBP] fused to the GST coding region [a gift from J.C. Reese, University of Massachusetts Medical Center, Worcester, MA; see Reese et al. 1994]. |

UAF, CF, and TBP preparations

The UAF complex preparation used in GST–TBP binding and in template competition experiments was purified from a strain [NOY662] expressing triple-HA1-tagged Rn5p by affinity chromatography using immobilized 12CA5 (anti-HA1) monoclonal antibody, followed by heparin–Sepharose and Mono S chromatography as described previously [Keys et al. 1996]. The CF complex preparation used in GST–TBP binding experiments was similarly purified from a strain [NOY732] expressing triple-HA1-tagged Rn7p by affinity chromatography using immobilized 12CA5 monoclonal antibody followed by heparin–Sepharose chromatography as previously described [Keys et al. 1994]. Partially purified CF complex [H-400 fraction] used in template competition experiments was prepared from a wild-type yeast strain [NOY203] by phosphocellulose, DEAE cellulose, and heparin agarose chromatography as previously described [Keys et al. 1994]. His-tagged yeast TBP was expressed in Escherichia coli carrying plasmid 6-HisTyIID, and then purified by Ni2+-affinity chromatography as described by Hoffmann and Roeder [1991].

In vitro transcription reactions

Transcription extracts [D-300 plus PC-300 fractions] were prepared as previously described [Keys et al. 1994] from rrn6 deletion-mutant strain NOY567, rrn10-1 mutant strain NOY696, and temperature-sensitive spt15 (TBP) mutant strain SHY70. In vitro transcription reactions were performed as described previously [Keys et al. 1994]. Typical in vitro reactions contained, in the 40-μl reaction volume: 3–6 μl of D-300 fraction (~20 μg of protein) plus 5–9 μl of PC-300 fraction (~2–4 μg of protein) and 200 ng (5 μg/ml) of circular supercoiled DNA template in ad-
ocation to other standard components. The DNA template used for most assays is pSIRT containing a yeast rDNA minigene that generates a specific transcript of ~765 nucleotides (Keys et al. 1994; for the structure of pSIRT, see Musters et al. 1989). The mutant rDNA template used in the experiments shown in Figure 2 is pSIRTA−208/−91, containing a 5′ deletion from −208 to −91 (with respect to the transcription start site) that removes part of the upstream promoter element of the rDNA promoter (Musters et al. 1989; Kulkens et al. 1991). Template B used in template competition experiments [Fig. 3] is the 3′-deletion construct pSIRTA−39/−128, which contains a wild-type rDNA promoter and generates a specific transcript of ~690 nucleotides (75 nucleotides shorter than that generated by pSIRT; Kulkens et al. 1991). In the experiments shown in Figure 1, A and B, the transcription extracts and glutathione-eluted samples were allowed to preincubate with the DNA template for 2 hr at room temperature prior to the addition of nucleoside triphosphates. For the template competition experiment shown in Figure 3, the two DNA templates (400 ng each) were separately preincubated with the indicated protein fractions for 2 hr at room temperature to allow the formation of stable complexes on the DNA template. The two protein/template mixtures were then combined and incubated an additional 30 min to allow unbound factors to equilibrate between the two DNA templates, and then nucleoside triphosphates were added to allow transcription to begin. Transcription reactions were carried out for 40 min at room temperature, and radioactive RNA synthesized was analyzed by 5% urea-PAGE followed by autoradiography.

Protein–protein and protein–factor interactions using glutathione–agarose beads with bound GST fusion proteins

GST–Rm7p and GST–TBP fusion proteins were prepared by induction of E. coli strains carrying pNOY3244 and pNOY3248, respectively, with IPTG followed by affinity purification of fusion proteins from extracts using glutathione–agarose beads (Sigma). Protein concentrations on the beads were analyzed by Bradford analysis and then adjusted to 5 mg/ml in a 50% slurry. [35S]Met-labeled Rm6p, HA1-Rm7p, Rm11p, Rm3p, and Rm6pA48–197 were synthesized in vitro using rabbit reticulocyte systems [Promega] with pNOY3173, pNOY221, pNOY3245, pNOY3246, and pNOY3247 as respective templates. The labeled proteins were added in approximately equal molar amounts (see legend to Fig. 4) to GST–Rm7p, GST–TBP, or GST control, bound to glutathione–agarose beads [10 μL, as described above] that had been pre-equilibrated with Buffer B [20 mM Tris-acetate at pH 8.0, 10 mM Mg acetate, 30% glycerol, 0.2% BSA, 0.5% Tween-20, 1 mM PMSF, and 10 μg/ml of the following protease inhibitors: aprotinin, chymostatin, leupeptin, antipain, bestatin, and pepstatin] containing various concentrations of salts in a final volume of 200 μl. After 30 min at room temperature with gentle agitation, the beads were recovered and washed three times with 1 ml of incubation buffer. The protein beads were analyzed by SDS–PAGE followed by autoradiography and were quantified by cutting out pertinent protein bands and determining radioactivity using a liquid scintillation counter.

For binding of native UAF or CF complexes to GST–TBP, affinity-purified UAF or affinity-purified CF complexes (see above) were incubated with 10 μL of GST–TBP bound to glutathione–agarose beads in a total volume of 200 μl of Buffer B containing 200 mM KCl, 400 mM KC1, or 400 mM K glutamate, as indicated. For controls, an equal amount of UAF or CF complex was incubated with an equivalent amount of GST alone bound to glutathione–agarose beads or of glutathione–agarose beads alone. After 30 min incubation at room temperature, the beads were gently pelleted, the supernatant was removed, and the beads were washed three times with 1 ml of the incubation buffer. Bound complexes were then eluted with 40 μl of 15 mM glutathione in the binding buffer, and 25 μl was analyzed by Western immunoblot using monoclonal antibody specific for the HA1 epitope. For in vitro transcription reactions, the bound complexes were eluted twice in a minimal volume [10 μl] of 30 mM glutathione in binding buffer, and 4 μl of the second eluate, which contained most of the eluted complexes, was used for the assay.

Analysis of protein–protein interactions by yeast two-hybrid system

Plasmid pASI–CYH2 carrying the gene for Gal4p DNA binding domain [1–147] and pACTII carrying the gene for Gal4p transcription activation domain [768–881] were obtained from S. Elledge and were used as control vectors. Various genes fused to the Gal4p DNA binding domain or the Gal4p activation domain were constructed using these two plasmids and then introduced into yeast strain SBY526 as pairs to study protein–protein interactions as originally described by Fields and Song (1989). Transformants carrying plasmid pairs were selected on glucose synthetic media lacking leucine and tryptophan. From 5 to 13 individual transformants were picked and grown in 20 ml of glucose selective media to an OD600 ≤ 1.0. Cells were collected and stored at −70°C. Cells were then broken on ice with glass beads in Buffer Z [100 mM Na phosphate, pH 7.0, 10 mM KC1, 1 mM MgSO4, 10% glycerol and 38 mM β-mercaptoethanol] containing 1 mM PMSF. The lysate was centrifuged and protein concentrations of the supernatant were determined by Bradford analysis. From 5 to 30 μl of supernatant was used to assay β-galactosidase activity [Miller 1972], and the enzyme activity was expressed in units that were calculated as nanomoles of ONPG hydrolyzed per minute per milligram of protein, using the conversion factor 0.0045A420 = 1 nanomole ONPG hydrolyzed. A control positive pair of plasmids from Clontech, pVA3 (carrying mouse p53 fused to the Gal4p DNA binding domain) and pTD1 [carrying SV40 large T-antigen fused to Gal4p activation domain], gave β-galactosidase units measuring 59 ± 11 units.

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