Orthrozanclus elongata n. sp. and the significance of sclerite-covered taxa for early trochozoan evolution

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Orthrozanclus is a shell-bearing, sclerite covered Cambrian organism of uncertain taxonomic affinity, seemingly representing an intermediate between its fellow problematica Wiwaxia and Halkieria. Attempts to group these slug-like taxa into a single ‘halwaxiid’ clade nevertheless present structural and evolutionary difficulties. Here we report a new species of Orthrozanclus from the early Cambrian Chengjiang Lagerstätte. The scleritome arrangement and constitution in this material corroborates the link between Orthrozanclus and Halkieria, but not with Wiwaxia — and calls into question its purported relationship with molluscs. Instead, the tripartite construction of the halkieriid scleritome finds a more compelling parallel in the camenellan tommotiids, relatives of the brachiopods and phoronids. Such a phylogenetic position would indicate the presence of a scleritome in the common ancestor of the three major trochozoan lineages, Mollusca, Annelida and Brachiozoa. On this view, the absence of fossil Ediacaran sclerites is evidence against any ‘Precambrian prelude’ to the explosive diversification of these phyla in the Cambrian, c. 540–530 million years ago.

The Cambrian fossil record is renowned for the morphologically puzzling organisms that it preserves. Such taxa often represent long-extinct combinations of characters, offering a unique perspective on the early origin of modern body plans — presuming, of course, that relationships with modern groups can be established1. The reconstructed origins of the molluscan lineage, for example, have been overhauled in order to accommodate two emblematic Cambrian taxa, Halkieria and Wiwaxia2-9. These two genera bear superficially similar sclerites, which occur the world over as carbonaceous and mineralized microfossils10-13; the grouping Sachitida was erected to reflect this perceived commonality14. The case for phylogenetic proximity was strengthened by the discovery of articulated specimens in the Burgess Shale and Sirius Passet Lagerstätten, which showed that the sclerites of both taxa were dorsal and imbricating2,15,16. This arguably overlooks some notable differences between the two genera – Halkieria has dorsal valves, Wiwaxia bears elongate spines, and the sclerites of the two groups are far from identical – but suggestions that these differences might denote a degree of phylogenetic separation17,18 were soon countered by the description of the Burgess Shale animal Orthrozanclus reburrus, which incorporates a single Halkieria-like valve within a spiny non-mineralized scleritome19. The ‘halwaxiid’ clade, incorporating Wiwaxia, Orthrozanclus, Halkieria and other sachitids, was erected on the basis that the scleritomes of these taxa were consequently homologous. A new species of Orthrozanclus from the Chengjiang lagerstätten, however, prompts a re-evaluation of the basis for a halwaxiid grouping, and calls into question the position of Halkieria and Orthrozanclus in molluscan evolution.

**Results**

**Systematic Palaeontology.** Superphylum Lophotrochozoa
Family Halkieriidae Poulsen 196720.

**Remarks.** Orthrozanclus falls within the emended diagnosis of Halkieriidae provided by Conway Morris and Peel 199518, negating the need for a separate family Orthrozanclidae19.
Orthrozanclus elongata n. sp. (a–e) NIGPAS164893, paratype. (a,b) part and counterpart of entire specimen. (c) part, anterior region, dorsal sclerites exhibit relief. (d) counterpart, showing ‘fanning’ of spines at posterior. (e) counterpart, showing arrangement of spines and ventrolateral sclerites. (f–l) NIGPAS164892, holotype. (fg) part and counterpart of entire specimen. (h) part, anterior region, dark field illumination emphasizes relief of ventrolateral sclerites. (i) counterpart, anterior region, bright field illumination emphasizes sclerite margins. (j) counterpart, left lateral region showing inclination of spines relative to the bedding plane – the anterior edge (+) is raised above the posterior edge (−) – and ribs on ventrolateral sclerites (k) and dorsolateral spines (l). Abbreviations: ds, dorsal sclerites; sp, spines; valv, valve; vls, ventrolateral sclerites. Bars = 1 mm except k, 100 µm.

Orthrozanclus Conway Morris and Caron 2007
Orthrozanclus elongata Zhao et Smith n. sp. Figs 1 and 2.

Type material. NIGPAS 164892 (Fig. 1f–l), holotype; 164893 (Fig. 1a–e), paratype, each comprising part and counterpart and preserved in the characteristic Chengjiang fashion as weathered aluminosilicate films associated with superficial iron oxides.

Provenance. Maotianshan Shale, Yu’anshan Formation, Eoredlichia–Wutingaspis Zone, Cambrian Series 2, Stage 3. The holotype was collected from Jiucun, near Chengjiang (24°41’33” N, 102°59’26” E); the paratype from Yuanbaocun, Chenggong, Kunming (24°49’24” N, 102°49’14” E), Yunnan, southwest China.

Diagnosis. Species of Orthrozanclus with elongate (c. 1:7) aspect ratio. Dorsal sclerites mineralized, oblong in aspect, occurring in regular rows. Dorsolateral spinose sclerites flat, ribbed and blade-like, without central cavity.

Description. The two specimens of Orthrozanclus elongata n. sp. (Fig. 1) are 20 mm long and a uniform 3 mm in width. Their dorsal scleritome bears an anterior valve and three zones of sclerites: a medial zone covers the
flattened dorsal surface of the organism, and inner and outer peripheral zones surround its flanks. Its rectangular outline, rounded anterior and posterior ends and overall architecture resemble that of *O. reburrus*.

The medial sclerite zone comprises transverse chevron-like rows, each containing fourteen sclerites, seven on each side (Figs 1c and 2). These sclerites measure 220 × 90 μm, are oblong to teardrop shaped, and lie flat to the body. Their pronounced three-dimensionality distinguishes these sclerites from those in other zones, and – in view of the well-defined margins of the individual sclerites – indicates an originally mineralized composition. Neither phosphatization of labile tissue22 nor secondary infilling of original cavities (as observed in *Wiwaxia* and *O. reburrus*8,19) are consistent with the observed preservation. The enhanced relief of the dorsal elements relative to the dorsolateral and ventral sclerites presumably reflects original three-dimensional structure.

The spinose dorsolateral sclerites reach 6 mm in length, and form a c. 45° angle to the body, with their tips directed posteriad (Figs 1 and 2). They are regularly spaced (Fig. 1h–j) in a single series that encircles the body, surrounding the anterior margin of the valve and the posterior of the dorsal area (Fig. 1d). The spines bear ribs, but are otherwise flat in cross-section; in contrast to *O. reburrus*, there is no evidence of a central cavity (Fig. 1j–l). Apparent differences in width between spines can be attributed to differential angles of burial relative to the bedding surface. The proximal configuration of the spines (Fig. 1l) has a putative similarity to the auricle of certain *Halkieria* sclerites10.

Dagger-shaped (cultrate) sclerites occupy the lateral surfaces of the organism, extending to partly enclose the ventral surface (Fig. 1h). The best-preserved sclerites bear a bilaterally symmetrical series of ribs (Fig. 1k). These sclerites (but not the spines or dorsal sclerites) encircle the valve to enclose the anterior margin of the organism (Fig. 1h); the tips of the sclerites were originally directed dorsally, rather than radially as depicted for *O. reburrus*.

The valve is denoted by a region of pronounced relief, presumably reflecting a robustly mineralized original constitution (Fig. 1c–d,h). The shape of the valve suggests a posterior umbo: though the opposite has been interpreted in *O. reburrus*, the umbo is difficult to locate with certainty in either taxon. The posterior and anterior margins of the valve are overlapped by sclerites of the medial and outer peripheral zones respectively (Fig. 1h).

A three-dimensionally preserved structure, presumably representing the digestive tract, follows the main body axis (Figs. 1d,h and 3a). As with the presumed gut of *O. reburrus*, this begins slightly posterior to the shell; the gap between the gut and the shell marks a 90° bend in the axis of NIGPAS 164892, reminiscent of an equivalent bend in many *Halkieria* fossils (see ref.16 and Fig. 3b).

**Discussion**

The new material strengthens the case for a close relationship between *Orthrozanclus* and *Halkieria* (Fig. 3). Mineralized dorsal sclerites, occurring in oblique transverse rows behind an anterior shell, are now evident in both taxa (Fig. 3a–d,e–f) – even if *Orthrozanclus* has no counterpart to the posterior shell of *Halkieria*. And each taxon exhibits two peripheral sclerite zones: the inner, dorsolateral zone contains long spines in *Orthrozanclus* and short cultrate sclerites in *Halkieria*; the outer, ventrolateral zone bears regularly spaced cultrate sclerites in *Orthrozanclus* and siculate sclerites in *Halkieria* (Fig. 3a–d,e–f). Homology of the zones is thus recognized based on their position, rather than the shape or constitution of the sclerites that they contain.

An equivalent sclerite arrangement was once envisaged in *Wiwaxia*15,23, but recent studies8,9 have shown that the *Wiwaxia* scleritome conforms to a metameric architecture, comprising 8–9 transverse rows (Fig. 3c,g). Even though the most lateral sclerites are morphologically distinct in certain *Wiwaxia* species, they belong to the same transverse rows as the medial sclerites, rather than forming a distinct peripheral zone that surrounds the entire circumference of the organism24 (Fig. 3c). The two dorsal rows of spines in *Wiwaxia* are highly variable in their number, size, spacing, and orientation, both within and between species8,15,25, so do not form a distinct region of the scleritome architecture. As such, the peripheral sclerite zones in *Orthrozanclus* (Fig. 3a) and *Halkieria*...
(Fig. 3b) have no counterpart in *Wiwaxia*, and it is not clear that the two scleritome layouts are equivalent in any meaningful way – undermining the case for a ‘halwaxiid’ clade.

**Are halkieriids molluscs?** At a broader taxonomic level, perceived similarities in scleritome construction are said to indicate a close relationship between halkieriids and aculiferan molluscs. This position has most recently been propounded based on the Ordovician aculiferan *Calvapilosa*, which has been interpreted as a close relative of halkieriids. The evidence that *Calvapilosa* is an aculiferan is strong; the evidence that it is a halkieriid warrants more careful consideration.

Sclerites – a likely inheritance from the ancestral lophotrochozoan – have been assembled into scleritomes on multiple occasions: the scleritomes of the scaly-footed gastropods and chrysopetalid annelids, for example, represent independent innovations that are demonstrably unique to the respective clades. Indeed, multiple groups incorporate both shell-like valves and mineralized plates into dorsal imbricating skeletons – witness machaeridians, *Pelagiella* and certain tommotiids, who have affinities with annelids, gastropods and brachiopods, respectively.

It is therefore significant that the *Calvapilosa* scleritome prominently lacks the differentiated sclerite morphologies and peripheral morphological zones that characterize halkieriids. Halkieriid sclerites exhibit a broad range of morphologies, but none resemble the slender, spinose sclerites of *Calvapilosa*. The central cavity present in both halkieriid and *Calvapilosa* sclerites has little taxonomic value (discussed in ref. 8). The shell of *Calvapilosa* is a markedly different shape to that of *Halkieria*, and bears depressions (interpreted as aesthete canals) that have no counterpart in halkieriid shells.

**Figure 3.** Scleritome arrangement in *Orthrozanclus elongata* n. sp. (a, NIGPAS164892), *Halkieria evangelista* (b, Sedgwick Museum of Earth Sciences X24914.2) and *Wiwaxia corrugata* (c, Royal Ontario Museum 61510). The *Orthrozanclus* (d) and *Halkieria* (e) scleritomes are arranged in three concentric zones: a medial zone of oblique transverse rows (vermillion); a dorsolateral ‘inner peripheral’ zone (purple), containing long spines in *Orthrozanclus* and cultrate sclerites in *Halkieria*; and a ventrolateral ‘outer peripheral’ zone, containing cultrate (*Orthrozanclus*) or siculoate (*Halkieria*) sclerites. *Dailyatia bacata* (f) is reconstructed as having a medial region containing A and B sclerites and a single peripheral zone of C sclerites. The approximately 4:1 ratio of C1:A sclerites and 4:2 ratio of C2:B sclerites is taken to indicate that C sclerites occur at twice the frequency of elements in the medial zone. The *Wiwaxia* scleritome (g) comprises eight transverse rows (shaded) intersected by two rows of intermittently spaced spines.
In the absence of any demonstrably equivalent constructional features or an unambiguously close genetic relationship, it is difficult to defend the homology of the halkieriid scleritome with that of *Calvapilosa*.

One thing that *Calvapilosa* (and *Wiwaxia*) does establish is that where a radula is present, it preserves readily in Burgess Shale-type conditions. But importantly, this robust and distinctive multi-row mouthpart is prominently absent in both *Orthrozanclus* and *Halkieria*. (A potentially radula-like structure evident in a single specimen of *Halkieria* corresponds in angle and dimensions with diagonal displacements of sclerites elsewhere in the scleritome, and is not associated with any diagnostically radular characteristics, such as teeth; its identification as a radula must be considered unproven.) As a radula was present in the ancestral mollusc, and perhaps deeper in the trochozoan lineage, its absence in halkieriids is difficult to reconcile with a molluscan affinity.

Could halkieriids be tommotiids? One set of organisms whose scleritomes exhibit an intriguing similarity with those of halkieriids are the camenellan tommotiids, a group that is implicated in the earliest ancestry of brachiopods. The scleritome of the kennardiid camenellan *Dailyatia* has been reconstructed as comprising median and peripheral fields (Fig. 3f). The medial region bears a series of transverse ‘rows’ of one or two sclerites (A and B sclerites); the peripheral field bears dorsally-directed sclerites with a distinct morphology (C sclerites). As no fully articulated camenellan scleritomes have yet been found, this comparison does of course warrant a degree of caution, particularly in view of the tube-like configuration of other tommotiid scleritomes – but the general arrangement reconstructed from sclerite asymmetry, fused arrays of sclerites, morphological proportions and relative sclerite frequency is fundamentally compatible with a halkieriid-like construction. Taking this further, sclerites in the peripheral zones of camenellan scleritomes occur in dextral and sinistral forms, as do the sclerites of *Halkieria* and – in view of the symmetrical scleritome arrangement revealed by *O. elongata* sp. – those of *Orthrozanclus*. Camenellan sclerites show continuous variation within a particular morphological category – as do spines in the dorsolateral zone of the *Orthrozanclus* scleritome. Certain camenellan sclerites exhibit a tuberculate ornament and apical tip that correspond closely to the sclerites of, for example, *Halkieria mira* (see Figs 4, 6 in ref. 49). More speculatively, the camerate construction of certain halkieriid sclerites might find a parallel in the internal chambers of *Kelaniella* sclerites or *Micrina* valves.

Looking more widely, the paired muscle scars and shelly internal projections evident in Morph A valves of *Oikozetetes*, some of the best documented halkieriid shells, have possible parallels in the equivalent paired muscle scars and internal processes present in the mitral sclerite of the tommotiids *Micrina* and *Dailyatia* and the operculum of hyolithids (potential relatives of tommotiids). In view of these similarities, we therefore propose that halkieriids and camenellans may be closely related (Fig. 4). If camenellans are derived from an ancestrally tube-dwelling tommotiid, then a vagrant, slug-like habit would represent an apomorphy of a halkieriid + camenellan clade; alternatively, the halkieriid condition may be ancestral for the tommotiid + brachiopod lineage, with the bivalved condition perhaps arising through pae-domorphic retention of an ancestral state. One obvious objection to this taxonomic hypothesis is that camenellans elements are composed of calcium phosphate, whereas halkieriids secreted calcium carbonate, probably in the form of aragonite. This said, tommotiids and early brachiopods deploy a wide variety of biominerals (Fig. 4): examples exist of non-mineralized, agglutinated, aragonitic, calcitic, phosphatic, and mixed calcite-phosphate shells.
Switching from one biomimetic to another is generally the exception rather than the rule59,60, but members of the brachiopod lineage have nevertheless changed their primary biomimetic from phosphate to calcite61,62, from calcite to aragonite63, and from phosphate to a non-mineralized configuration64; indeed, some living brachiopods switch from using silica to calcite as they grow65.

On a broader view, biomineralization has evolved multiple times within Metazoa66, seemingly coming and going in Ediacaran lineages according to prevailing environmental conditions67. If this situation persisted into the early Cambrian, it is possible to envision a predominantly non-mineralised brachiopod stem lineage that obtained biomineralization on multiple occasions, each time reflecting the prevailing seawater chemistry. The aragonite mineralogy of halkieriids and hyoliths arose in the aragonite seas of the Fortunian; the calcitic and phosphatic mineralogies of tommotiids and crown-group brachiopods arose in the calcite seas of the Tommotian59. Linguliforms and tommotid-like specimens from Burgess Shale-type deposits64,68,69 attest to the persistence of non-mineralized skeletons across the brachiopod total group into the mid-Cambrian. In any case, whether modification or multiple innovations account for the diversity of biomimetic use in brachiopods and tommotids, the carbonate elements of halkieriids clearly fit within this gamut.

**Conclusion**

Because halkieriid-like sclerites occur so early in the Cambrian period70,71, their affinity has profound implications for the timing of early trochozoan evolution. Removing halkieriids from Mollusca would shift the origin of this phylum significantly later: notwithstanding hyoliths (now interpreted as brachioprocts, i.e. brachiopods or phoronids69) and helcionellids (which lack any compelling molluscan apomorphies), there are no strong candidates for crown group molluscs until the Tommotian, and no unequivocal cases until the Late Cambrian1.

If, on the other hand, brachiopods evolved from a halkieriid-like ancestor, then multi-element sclerites characterise the earliest brachiopods as well as molluscs and annelids8 (Fig. 4). The absence of such sclerites among Ediacaran and earliest Cambrian fossil assemblages55 either requires special taphonomic pleading or genuinely denotes that Trochozoans had not yet originated. The subsequent appearance of a rich diversity of exoskeletal elements in the early Cambrian fossil record12,72 points to a very rapid origin and divergence of the key lophotrochozoan phyla in the first few million years of the Cambrian period – representing a truly ‘explosive’ evolutionary radiation.

**Methods**

The paratype was prepared with a fine blade. Photographs were taken using a Zeiss Stereo Discovery V16 microscope system and processed using TuFuse and the GNU image manipulation program.

**Data availability.** Specimens are accessioned at the Nanjing Institute of Geology and Palaeontology, Chinese Academy of Sciences (NIGPAS); high resolution images are available at the FigShare repository72.

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Additional Information
Competing Interests: The authors declare that they have no competing interests.

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