Quantum principal bundles up to homotopy equivalence

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Hopf-Galois extensions are known to be the right generalizations of both Galois field extensions and principal $G$-bundles in the framework of non-commutative associative algebras. An abundant literature has been devoted to them by Hopf algebra specialists (see [Mg], [Sn1], [Sn2] and references therein). Recently there has been a surge of interest in Hopf-Galois extensions among mathematicians and theoretical physicists working in non-commutative geometry à la Connes and à la Woronowicz (cf. [BM1], [BM2], [Ha1], [Ha2], [HM], [Mj]). In their work Hopf-Galois extensions are considered in the setting of “quantum group gauge theory”.

In this note we deal with Hopf-Galois extensions in the light of topology. This leads us to simple questions for which we have very few answers, but which ought to be of interest to those working on Hopf algebras and in non-commutative geometry. We derive these questions from certain fundamental properties satisfied by topological principal bundles when we translate them into the setting of Hopf-Galois extensions. The properties we consider are the following.

(I) (Functoriality) Given a principal $G$-bundle $X \to Y$ and a map $f : Y' \to Y$, then the pull-back $f^*X \to Y'$ is a principal $G$-bundle.

(II) (Homotopy) If $f, g : Y' \to Y$ are homotopy equivalent maps, then $f^*X$ and $g^*X$ are homotopy equivalent bundles.

(III) (Triviality) Any principal $G$-bundle over the point is trivial.

In order to translate these properties into algebra, we introduce what we call the homotopy equivalence of Hopf-Galois extensions. This is the main new concept of this note. For our definition of homotopy equivalence we need to restrict to extensions in which the subalgebra of coinvariants is central. In other words, the bases of the quantum principal bundles we consider belong to classical (commutative) geometry. Nevertheless, we impose no restriction on the “structural groups”, that is on the Hopf algebras coacting on the quantum principal bundles: they may be non-commutative and non-cocommutative, infinite-dimensional, etc.
Despite the self-imposed commutativity of the “base space” the questions we ask on Hopf-Galois extensions do not seem easy to answer. Because they are not the kind of questions usually considered in this subject, it is our hope that they will lead to new developments on Hopf-Galois extensions and encourage research towards their classification over a given base.

We are nevertheless able to answer some of these questions when the Hopf algebra is one of Sweedler or Taft’s finite-dimensional Hopf algebras. This is interesting because the latter are neither commutative, nor cocommutative, and are sometimes considered as “toy quantum groups”. In particular, we prove that any cleft extension is homotopy equivalent to a trivial one. Cleft extensions form an important class of Hopf-Galois extensions with no non-trivial counterpart in commutative geometry. There are some good reasons to view cleft extensions as the natural non-commutative generalizations of trivial extensions: like the latter, cleft extensions can be constructed from the “structural group” and the “base space”; moreover, in analogy with the local triviality of topological bundles, Rumynin [Ru] showed that Hopf-Galois extensions over a large class of “base spaces” become cleft after (generic) localization. Our above-mentioned result is of a different, global, nature; we expect it to hold for any Hopf algebra.

The paper is organized as follows. In Section 1 we recall the standard terminology related to Hopf-Galois extensions; we define what we call quantum principal bundles as well as their push-forwards along morphisms of commutative algebras (following [Ru]). The concept of homotopy equivalence is introduced in Section 2; we show that up to homotopy equivalence quantum principal bundles over a polynomial algebra $C[t]$ are in bijection with quantum principal bundles over $C$. In Section 3 we consider four notions of triviality for a quantum principal bundle, and ask whether some of them are equivalent. In Section 4 we consider the case of Sweedler’s and Taft’s Hopf algebras for which we prove that any cleft quantum principal bundle is homotopy equivalent to a trivial one. Section 5 is a short conclusion listing three problems dealing with Hopf-Galois extensions over the algebra $k[z, z^{-1}]$ of Laurent polynomials, which we view as the algebraic counterpart of the circle.

We fix a field $k$. All constructions will be performed in the category of $k$-vector spaces; in particular, unadorned tensor symbols refer to the tensor product of $k$-vector spaces. All algebras will be assumed to be associative and unital, and all morphisms of algebras preserve the units.

1. Quantum principal bundles. A principal bundle involves a group $G$ (topological group, group scheme) acting, say on the right, on a space $X$ (topological space, scheme) such that the canonical map $X \times G \to X \times_Y X$ induced by $(x, g) \mapsto (x, xg)$ is an isomorphism (in the category of spaces under consideration). Here $Y$ represents some version of the quotient space $X/G$ and $X \times_Y X$ the fibre product.

1.1. Hopf-Galois extensions. In a purely algebraic setting the group $G$ is replaced by a Hopf algebra $H$ with coproduct $\Delta : H \to H \otimes H$, coëunit $\varepsilon : H \to k$, ...
and antipode $S : H \to H$. We assume that the antipode is bijective. In the sequel we make use of Sweedler’s notation: for any $h \in H$ we write

$$\Delta(h) = \sum_{(h)} h_{(1)} \otimes h_{(2)}.$$ 

The $G$-space $X$ is replaced by an algebra $A$ carrying the structure of an $H$-comodule algebra. Recall that an algebra $A$ is an $H$-comodule algebra if it has a right $H$-comodule structure whose coaction $\Delta_A : A \to A \otimes H$ is a morphism of algebras. We write $\Delta_A(a) = \sum_{(a)} a_{(0)} \otimes a_{(1)}$ for $a \in A$.

The base of the $H$-comodule algebra $A$ is the subspace $C = A^{co-H}$ of coinvariants defined by

$$A^{co-H} = \{ a \in A \mid \Delta_A(a) = a \otimes 1_H \},$$

where $1_H$ is the unit of $H$. It is clear that $A^{co-H}$ is a subalgebra and a subcomodule of $A$. We say that $C = A^{co-H} \subset A$ is an $H$-extension or that $A$ is an $H$-extension of $C$.

An $H$-extension $C \subset A$ is said to be Galois if the so-called canonical map $\beta : A \otimes_C A \to A \otimes H$ defined for $a, b \in A$ by

$$a \otimes b \mapsto (a \otimes 1_H)\Delta_A(b) = \sum_{(b)} ab_{(0)} \otimes b_{(1)}$$

is bijective. For more details on Hopf-Galois extensions, see [Mg], Chapter 8 or [Sn2], Section 1.

Following Rumynin [Ru], we consider extensions with central invariants, namely extensions $C \subset A$ such that $C$ sits in the centre of $A$ (implying that $C$ is commutative).

The following are the basic objects investigated in this note.

1.2. Definition.— Given a Hopf algebra $H$ and a commutative algebra $C$, a quantum principal $H$-bundle over the base $C$ is a Galois $H$-extension $C \subset A$ such that $C$ is contained in the centre of $A$ and $A$ is faithfully flat as a $C$-module.

The faithful flatness condition imposed in the previous definition is a crucial standard requirement in the subject (see e. g. [Sn2]). Observe that our definition of a quantum principal bundle is different from the one given in [BM2], [Ha1], [Mj].

1.3. Functoriality. For any morphism of algebras $f : C \to C'$ and any left $C$-module $A$, we define the push-forward $f_* A$ as the left $C'$-module $C' \otimes_C A$. (This is the algebraic counterpart of a topological pull-back.) The most natural way to equip $f_* A$ with a multiplication is to define it by

$$(c'_1 \otimes a_1)(c'_2 \otimes a_2) = c'_1 c'_2 \otimes a_1 a_2$$
for \( c'_1, c'_2 \in C' \) and \( a_1, a_2 \in A \). Now \( c'_1 f(c_1) \otimes a_1 = c'_1 \otimes c_1 a_1 \) and \( c'_2 f(c_2) \otimes a_2 = c'_2 \otimes c_2 a_2 \) in \( C' \otimes_C A \) for \( c_1, c_2 \in C \). Therefore, in order for the multiplication to be well defined, we must have
\[
c'_1 f(c_1) c'_2 f(c_2) \otimes a_1 a_2 = c'_1 c'_2 \otimes c_1 a_1 c_2 a_2.
\]
This forces us to require \( C \) to be central in \( A \) and the image \( f(C) \) to be central in \( C' \). This is why we consider extensions with central invariants.

1.4. Theorem.— Let \( C \subset A \) be a quantum principal \( H \)-bundle whose base is \( C \). If \( f : C \to C' \) is a morphism of commutative algebras, then \( A' = f_* A \) is a quantum principal \( H \)-bundle whose base is \( C' \).

This theorem was proved in [Ru], Theorem 9 under the restriction that \( H \) is finite-dimensional over \( k \). We give a proof that dispenses with this restriction.

Proof.— As we have seen above, \( A' \) is an algebra; it contains \( C' \) in its centre. We equip \( A' \) with the coaction \( \Delta_{A'} = \text{id}_{A'} \otimes_C \Delta_A \), which is well defined because of the condition \( C = A^\text{co-H} \). In this way \( A' \) becomes an \( H \)-comodule algebra.

Note that \( C' \subset (A')^\text{co-H} \). This allows us to define a map \( \beta' : A' \otimes_C A' \to A' \otimes H \) by \( \beta'(a \otimes b) = (a \otimes 1) \Delta_A(b) \) for all \( a, b \in A' \). By assumption, the map \( \beta : A \otimes_C A \to A \otimes H \) defined by (1) is bijective. Since
\[
A' \otimes_C A' = (C' \otimes_C A) \otimes_C (C' \otimes_C A) \cong C' \otimes_C A \otimes_C A
\]
and \( A' \otimes H = C' \otimes_C A \otimes H \), we have \( \beta' = \text{id}_{C'} \otimes_C \beta \), which shows that \( \beta' \) is bijective. By [Ta], Lemma 4.2, it follows that \( C' = (A')^\text{co-H} \).

It remains to check that \( A' \) is faithfully flat as a \( C' \)-module. This is a consequence of [Bo], Proposition 5.

Observe that, if \( C \subset A \) is a quantum principal \( H \)-bundle and \( f : C \to C' \) and \( g : C' \to C'' \) are morphisms of commutative algebras, then
\[
(g \circ f)_* A = g_* (f_* A).
\]
We also have \( \text{id}_* A = A \), where \( \text{id} : C \to C \) is the identity map.

2. Homotopy equivalence. The concept of isomorphism of quantum principal \( H \)-bundles is clear: two \( H \)-extensions \( C \subset A \) and \( C' \subset A' \) are isomorphic if there exists an isomorphism of \( H \)-comodule algebras \( A \cong A' \); such an isomorphism will necessarily send \( C \) onto \( C' \). We'll use the notation \( \cong \) for isomorphic quantum principal bundles.

We now introduce a broader equivalence relation, which is of a global nature. Let \( C_{\text{ét}} \) be the class of finite étale morphisms of commutative algebras (for more on étale morphisms, see [Ra]). We also need the following notation: if \( K \) is a commutative algebra and \( K[t] \) is the polynomial algebra in one variable \( t \) over \( K \), we define \([0], [1] : K[t] \to K \) to be the morphisms of \( K \)-algebras sending \( t \) to 0 and 1, respectively.
2.1. Definition.— Given two quantum principal $H$-bundles $A$ and $A'$ over a commutative base $C$, we write $A \sim A'$ if there exist a morphism $i : C \rightarrow \overline{C}$ in $\mathcal{C}_{\text{et}}$ and a quantum principal $H$-bundle $B$ with base $\overline{C}[t]$ such that

$$[0]_*B \cong i_*A \quad \text{and} \quad [1]_*B \cong i_*A'.$$

The homotopy equivalence of quantum principal $H$-bundles over $C$ is the equivalence relation generated by $\sim$.

Isomorphic quantum principal bundles are clearly homotopy equivalent. In Section 4.5 we will show examples of homotopy equivalent quantum principal bundles that are not isomorphic. We also remark that by the very definition of homotopy equivalence two quantum principal bundles $A$ and $A'$ over a base $C$ such that $i_*A \cong i_*A'$ for some morphism $i : C \rightarrow \overline{C}$ in $\mathcal{C}_{\text{et}}$ are homotopy equivalent.

Given a Hopf algebra $H$ and a commutative algebra $C$, our ultimate goal is to classify quantum principal $H$-bundles over $C$ up to homotopy equivalence. We denote the set of homotopy equivalence classes of quantum principal $H$-bundles over $C$ by $\mathcal{P}_H(C)$. The following proposition implies that the push-forward along a morphism $f : C \rightarrow C'$ of commutative algebras induces a set-theoretic map $f_* : \mathcal{P}_H(C) \rightarrow \mathcal{P}_H(C')$.

2.2. Proposition.— Let $f : C \rightarrow C'$ be a morphism of commutative algebras. If $A$ and $A'$ are homotopy equivalent quantum principal $H$-bundles over $C$, then so are $f_*A$ and $f_*A'$.

Proof. — It is enough to check that, if $A \sim A'$, then $f_*A \sim f_*A'$. Let $B$ be a quantum principal $H$-bundle with base $\overline{C}[t]$ ($i : C \rightarrow \overline{C}$ is as in Definition 2.1) such that $[0]_*B \cong i_*A$ and $[1]_*B \cong i_*A'$. We extend $f : C \rightarrow C'$ to a morphism of algebras $\overline{f} : \overline{C} \rightarrow \overline{C'} = C' \otimes_C \overline{C}$. If $i' : C' \rightarrow \overline{C'}$ is the natural morphism induced from $i$, we have $\overline{f} \circ i = i' \circ f$. We next extend $\overline{f} : \overline{C} \rightarrow \overline{C'}$ to a morphism $\overline{f}_t : \overline{C}[t] \rightarrow \overline{C'}[t]$ of $k[t]$-algebras. By Theorem 1.4 $(\overline{f}_t)_*B$ is a quantum principal $H$-bundle over $\overline{C'}[t]$. Now, since $\overline{f}(0) = 0$ and $\overline{f}(1) = 1$, we have $[0] \circ \overline{f}_t = \overline{f} \circ [0]$ and $[1] \circ \overline{f}_t = \overline{f} \circ [1]$. Therefore,

$$[0]_*((\overline{f}_t)_*B) = \overline{f}_*((0)_*B) \cong \overline{f}_*(i_*A) = i'_*(f_*A)$$

and

$$[1]_*((\overline{f}_t)_*B) = \overline{f}_*((1)_*B) \cong \overline{f}_*(i_*A') = i'_*(f_*A').$$

We now give a sufficient condition for two morphisms of commutative algebras $f, g : C \rightarrow C'$ to induce the same map $\mathcal{P}_H(C) \rightarrow \mathcal{P}_H(C')$. We say that $f$ and $g$ are homotopic if there exist a morphism $i' : C' \rightarrow \overline{C'}$ in $\mathcal{C}_{\text{et}}$ and a morphism of algebras $\varphi : C \rightarrow \overline{C'}[t]$ such that $[0] \circ \varphi = i' \circ f$ and $[1] \circ \varphi = i' \circ g$ (the morphism $\varphi$ will be called a homotopy between $f$ and $g$).
2.3. Proposition.— If $f, g : C \rightarrow C'$ are homotopic morphisms of commutative algebras and $A$ is a quantum principal $H$-bundle over $C$, then the quantum principal $H$-bundles $f_*A$ and $g_*A$ are homotopy equivalent: $f_*A \sim g_*A$.

Proof.— Let $\varphi : C \rightarrow \overline{C}[t]$ be a homotopy between $f$ and $g$. By Theorem 1.4, $A' = \varphi_*A$ is a quantum principal $H$-bundle over $\overline{C}[t]$. We have

$$[0]_*A' = [0]_*(\varphi_*A) = ([0] \circ \varphi)_*A = i'_*(f_*A)$$

and

$$[1]_*A' = [1]_*(\varphi_*A) = ([1] \circ \varphi)_*A = i'_*(g_*A).$$

□

We give two important applications of Proposition 2.3. For the first one we let $C = \bigoplus_{i \geq 0} C_i$ be a non-negatively graded commutative algebra (then $C_0$ is a subalgebra of $C$).

2.4. Corollary.— The inclusion $\iota : C_0 \rightarrow C = \bigoplus_{i \geq 0} C_i$ induces a bijection

$$\mathcal{P}_H(C_0) \cong \mathcal{P}_H(C).$$

Proof.— Let $\pi : C \rightarrow C_0$ be the canonical projection. We have $\pi \circ \iota = \text{id}_{C_0}$. We claim the identity map $\text{id}_C$ of $C$ and $\iota \circ \pi$ are homotopic morphisms. Indeed, let $\varphi : C \rightarrow C[t]$ be the morphism of algebras defined by $\varphi(x) = t^i x$ if $x \in C_i$; then $[0] \circ \varphi = \iota \circ \pi$ and $[1] \circ \varphi = \text{id}_C$. It follows from Proposition 2.3 that $A \sim \iota p_*A$ for any quantum principal $H$-bundle $A$ over $C$. □

As a consequence of Corollary 2.4, the set of homotopy equivalence classes of quantum principal $H$-bundles over any polynomial ring $C[x_1, \ldots, x_N]$ with coefficients in a commutative algebra $C$ is in bijection with the set of homotopy equivalence classes of quantum principal $H$-bundles over $C$:

$$\mathcal{P}_H(C[x_1, \ldots, x_N]) \cong \mathcal{P}_H(C).$$

2.5. Corollary.— Any morphism $i : C \rightarrow \overline{C}$ in $\mathcal{C}_{\text{ét}}$ induces an injection $\mathcal{P}_H(C) \rightarrow \mathcal{P}_H(\overline{C})$.

Proof.— It is enough to check that for any two quantum principal $H$-bundles $A$ and $A'$ over $C$ such that $i_*A \sim i_*A'$, we have $A \sim A'$. Let $\tau : \overline{C} \rightarrow \overline{C_1}$ be in $\mathcal{C}_{\text{ét}}$ and $B$ be a quantum principal $H$-bundle with base $\overline{C_1}[t]$ such that

$$[0]_*B \cong \tau_*(i_*A) = (\tau \circ i)_*A \quad \text{and} \quad [1]_*B \cong \tau_*(i_*A') = (\tau \circ i)_*A'.$$

Since $\tau \circ i$ belongs to $\mathcal{C}_{\text{ét}}$ (see [Ra], Chap. II, Proposition 1), we have $A \sim A'$. □
3. Triviality. In this section we define four notions of triviality for quantum principal bundles. The first one is the exact algebraic counterpart of the classical concept of a trivial principal bundle. The second one, cleftness, is proper to the world of non-commutative algebras and has no counterpart in the classical theory of principal bundles over a group. The remaining ones, homotopical triviality and homotopical cleftness, are natural byproducts of the concept of homotopy equivalence introduced in the previous section.

3.1. A quantum principal \( H \)-bundle over a commutative algebra \( C \) is called trivial if it is isomorphic to the \( H \)-comodule algebra \( C \otimes H \), where the coaction is given by \( \text{id}_C \otimes \Delta \). (The reader may check that \( C \otimes H \) is a quantum principal \( H \)-bundle over \( C \).) The push-forward of any trivial quantum principal bundle is trivial.

3.2. An \( H \)-extension \( C \subset A \) is said to be cleft if there exists a morphism \( \gamma : H \to A \) of right \( H \)-comodules that is invertible under convolution in \( \text{Hom}_k(H, A) \), i.e., if there exists another linear map \( \gamma' : H \to A \) such that

\[
\sum_{(h)} \gamma(h_1) \gamma'(h_2) = \sum_{(h)} \gamma'(h_1) \gamma(h_2) = \varepsilon(h) 1_A
\]

for all \( h \in H \). The map \( \gamma \) is called a cleaving map. Note that a cleft extension is called a trivial principal bundle in [BM1], Example 4.2.

By [DT1] (see also [Mg], Theorem 7.2.2) an \( H \)-extension \( C \subset A \) is cleft if and only \( A \) is isomorphic to a crossed product \( C \# \sigma H \), whose underlying vector space is \( C \otimes H \) and whose multiplication is given for all \( c, d \in C \) and \( g, h \in H \) by

\[
(c \otimes g)(d \otimes h) = \sum_{(g)(h)} c(g_{(1)} \cdot d) \sigma(g_{(2)}, h_{(1)}) \otimes g_{(3)} h_{(2)}.
\]

Here \( \cdot : H \otimes C \to C \) and \( \sigma : H \otimes H \to C \) are linear maps defined in terms of the cleaving map \( \gamma \) and its inverse \( \gamma' \) by

\[
h \cdot c = \sum_{(h)} \gamma(h_{(1)}) c \gamma'(h_{(2)})
\]

and

\[
\sigma(g, h) = \sum_{(g)(h)} \gamma(g_{(1)}) \gamma(h_{(1)}) \gamma'(g_{(2)} h_{(2)})
\]

for \( g, h \in H \) and \( c \in C \). The coaction of \( H \) on \( C \# \sigma H \) is trivial. A cleft \( H \)-extension \( C \subset A \) is Galois and faithfully flat as a \( C \)-module.

For a cleft extension \( C \subset C \# \sigma H \) to be a quantum principal bundle in the sense of Definition 1.2, we need \( C \) to be central in \( C \# \sigma H \). Under this additional condition, (2) and (4) imply \( h \cdot c = \varepsilon(h) c \) for all \( h \in H \) and \( c \in C \). This means that the “quasi-action” \( \cdot \) is trivial, which leads to the following simplification of (3):

\[
(c \otimes g)(d \otimes h) = \sum_{(g)(h)} c d \sigma(g_{(1)}, h_{(1)}) \otimes g_{(2)} h_{(2)}.
\]

Thus, as already observed in [Ru], Lemma 7, a cleft quantum principal \( H \)-bundle is a twisted product in the sense of [Mg], Example 7.1.5. The push-forward of a cleft quantum principal bundle is cleft by [Ru], Theorem 9.
3.3. The third and fourth definitions are as follows: a quantum principal $H$-bundle over a commutative algebra $C$ is called homotopically trivial (resp. homotopically cleft) if it is homotopy equivalent to a trivial (resp. cleft) quantum principal $H$-bundle over $C$. Since push-forwards preserve triviality and cleftness, Proposition 2.2 has the following consequence.

3.4. Proposition.— Let $f$ be a morphism of commutative algebras. If $A$ is a homotopically trivial (resp. homotopically cleft) quantum principal $H$-bundle, then $f_\ast A$ is homotopically trivial (resp. homotopically cleft).

The following provides examples of homotopically trivial bundles.

3.5. Proposition.— If $A$ is a commutative quantum principal $H$-bundle such that the inclusion $i : C \to A$ belongs to $C_{\text{ét}}$, then $A$ is homotopically trivial.

Proof.— Since $A$ is commutative, starting from the quantum principal $H$-bundles $A$ and $C \otimes H$, the latter being trivial, we may apply Theorem 1.4 and define the quantum principal $H$-bundles $i_\ast A = A \otimes_C A$ and $i_\ast(C \otimes H) = A \otimes H$ over $A$. The bundle $i_\ast(C \otimes H)$ is trivial. Using the commutativity of $A$, it is easy to check that the canonical map $\beta : A \otimes_C A \to A \otimes H$ is an morphism of $H$-comodule algebras. (Observe that $H$ is commutative since $\beta$ is an isomorphism.) Therefore, $i_\ast A \cong i_\ast(C \otimes H)$ for $i \in C_{\text{ét}}$. This implies $A \sim C \otimes H$. \qed

We end this section with a few questions.

3.6. Question. Is any cleft quantum principal $H$-bundle over a commutative algebra $C$ homotopically trivial?

An affirmative answer would give a “topological” meaning to the algebraic concept of cleftness and show that homotopical cleftness implies homotopical triviality. We may also wonder whether cleftness is closed under homotopy equivalence.

3.7. Question. Let $A$ and $A'$ be homotopy equivalent quantum principal bundles. Suppose that $A'$ is cleft. Does it imply that $A$ is cleft?

An answer in the affirmative to both Questions 3.6 and 3.7 would imply that the three notions of cleftness, homotopical cleftness, and homotopical triviality coincide. This would give a firm ground to the idea that cleft quantum principal bundles are the right algebraic counterparts of trivial topological principal bundles (compare with the question in [Ru], Section 2.4).

Since a topological principal bundle over a point is trivial, we ask the following question.

3.8. Question. Is any quantum principal $H$-bundle over the ground field $k$ homotopically trivial?

According to Schneider [Sn3], a Galois $H$-extension $A$ of the ground field $k$ is cleft in the following cases:

(a) $H$ is finite-dimensional (for a proof, see [Ru], Corollary 17 (3)),

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(b) $H$ is pointed (e.g., a group algebra),
(c) $A$ is commutative.
Therefore an affirmative answer to Question 3.6 would imply an affirmative one to Question 3.8 when $H$ satisfies one of the conditions above.

4. The case of Sweedler’s and Taft’s Hopf algebras. The purpose of this section is to answer Questions 3.6 and 3.8 in the affirmative for certain small non-commutative non-cocommutative Hopf algebras.

4.1. Sweedler’s four-dimensional Hopf algebra. It is the algebra $H_4$ generated by $X$ and $Y$ and the relations $X^2 = 1, Y^2 = 0, XY + YX = 0$. The comultiplication of $H_4$ is given by

$$\Delta(X) = X \otimes X \quad \text{and} \quad \Delta(Y) = 1 \otimes Y + Y \otimes X,$$

the co¨ unit by $\varepsilon(X) = 1$ and $\varepsilon(Y) = 0$, and the antipode by $S(X) = X$ and $S(Y) = XY$.

For any commutative algebra $C$ we construct an $H_4$-extension $C \subset A$ as follows. Let $\alpha, \beta, \gamma$ be elements of $C$ such that $\alpha$ belongs to the group $C^\times$ of invertible elements of $C$. We define $A = \left(\frac{\alpha \cdot \beta \cdot \gamma}{C}\right)$ as the $C$-algebra generated by two variables $x$ and $y$ subject to the relations $x^2 = \alpha, y^2 = \beta, xy + yx = \gamma$. The algebra $A$ is a $H_4$-comodule algebra with $C$-linear coaction $\Delta_A : A \rightarrow A \otimes H_4$ defined by

$$\Delta_A(x) = x \otimes X \quad \text{and} \quad \Delta_A(y) = 1 \otimes Y + y \otimes X.$$

When $\alpha = 1, \beta = \gamma = 0$, then $C \subset \left(\frac{\alpha \cdot \beta \cdot \gamma}{C}\right)$ is trivial in the sense of Section 3.1.

4.2. Proposition.— The $H_4$-comodule algebra $\left(\frac{\alpha \cdot \beta \cdot \gamma}{C}\right)$ is a cleft quantum principal $H_4$-bundle with base $C$. Conversely, any cleft quantum principal $H_4$-bundle with base $C$ is isomorphic to $\left(\frac{\alpha \cdot \beta \cdot \gamma}{C}\right)$ for some $\alpha \in C^\times$ and $\beta, \gamma \in C$.

Proof.— We use Doi and Takeuchi’s reformulation of Masuoka’s description of cleft Galois $H_4$-extensions (see [DT2], [Ms]). In loc. cit. an $H_4$-cleft datum is defined as a quintuple $(F, D, \alpha, \beta, \gamma)$ where $F, D \in \text{End}_k(C), \alpha \in C^\times, \beta, \gamma \in C$ are elements satisfying certain conditions. Out of any $H_4$-cleft datum one constructs a cleft $H_4$-extension $C \subset A$, where $A$ is the free left $C$-module with basis $\{1, x, y, xy\}$ equipped with an algebra structure such that

$$x^2 = \alpha, \quad y^2 = \beta, \quad xy + yx = \gamma,$$

$$xc = F(c)x, \quad yc = cy + D(c)x, \quad xyc = F(c)xy + F(D(c))\alpha$$

for all $c \in C$. Following [DT2], we denote $A$ by $\left(\frac{F, D, \alpha \cdot \beta \cdot \gamma}{C}\right)$ Conversely, any cleft $H_4$-extension $C \subset A$ is isomorphic to $\left(\frac{F, D, \alpha \cdot \beta \cdot \gamma}{C}\right)$ for some $H_4$-cleft datum $(F, D, \alpha, \beta, \gamma)$.
Our definition of a quantum principal bundle requires that in addition \( C \) be central in \( A \). This clearly forces \( F \) to be the identity map \( \text{id}_C \) of \( C \) and \( D \) to be the zero map. The quintuple \( (\text{id}_C, 0, \alpha, \beta, \gamma) \) satisfies the requirements of an \( H_4 \)-cleft datum. It is clear from the definition of \( (\text{id}_C, 0, \alpha, \beta, \gamma) \) that the algebra associated to the \( H_4 \)-cleft datum \( (\text{id}_C, 0, \alpha, \beta, \gamma) \) is \( (\alpha, \beta, \gamma) \).

The next result answers Question 3.6 in the affirmative when \( H = H_4 \).

4.3. Theorem.— Any cleft quantum principal \( H_4 \)-bundle over a commutative algebra \( C \) in which \( 2 \) is invertible is homotopically trivial.

Proof.— Let \( A \) be a cleft quantum principal \( H_4 \)-bundle over \( C \). By Proposition 4.2 there exist \( \alpha \in C^\times \) and \( \beta, \gamma \in C \) such that \( A \cong \left( \frac{\alpha, \beta, \gamma}{C} \right) \). Let \( i : C \to \overline{C} \) be a finite étale extension containing a square root \( s \) of \( \alpha \) (by [Ra], Chap. VI, Proposition 1, we may take \( \overline{C} = C[T]/(T^2 - \alpha) \)). We have \( i^* \left( \frac{\alpha, \beta, \gamma}{C} \right) = \left( \frac{\alpha, \beta, \gamma}{C} \right) \).

By [DT2], Theorem 2.7 there is an isomorphism \( \left( \frac{\alpha, \beta, \gamma}{C} \right) \cong \left( \frac{1, \beta, \gamma/s}{C} \right) \).

It now suffices to check that any \( H_4 \)-extension of the form \( \left( \frac{1, \beta, \gamma}{C} \right) \) is homotopy equivalent to the trivial extension \( \left( \frac{1, 0, 0}{C} \right) \). This is proved by considering the cleft quantum principal \( H_4 \)-bundle \( A_t = \left( \frac{1, \beta, \gamma/t}{C[t]} \right) \) over the base \( C[t] \). We have

\[
[1]_* A_t = \left( \frac{1, \beta, \gamma}{C} \right) \quad \text{and} \quad [0]_* A_t = \left( \frac{1, 0, 0}{C} \right).
\]

This allows us to answer Question 3.8 in the affirmative for \( H_4 \).

4.4. Corollary.— If \( \text{char}(k) \neq 2 \), then \( \text{card} \mathcal{P}_{H_4}(k) = 1 \).

Proof.— By [Ru], Corollary 17 (3), any quantum principal \( H_4 \)-bundle over the ground field is cleft. We then apply Theorem 4.3.

4.5. Remark. By [DT2], Corollary 2.8, \( \left( \frac{\alpha, \beta, \gamma}{k} \right) \) is isomorphic to the trivial quantum principal \( H_4 \)-bundle \( \left( \frac{1, 0, 0}{k} \right) \) if and only if there exist \( s \in k^\times \) and \( t \in k \) such that \( \alpha = s^2 \), \( \beta = t^2 \), and \( \gamma = 2st \). Therefore, \( \left( \frac{1, 0, \gamma}{k} \right) \neq \left( \frac{1, 0, 0}{k} \right) \) for any \( \gamma \neq 0 \).

In view of Corollary 4.4, this shows the existence of non-isomorphic homotopy equivalent quantum principal bundles.

4.6. Taft’s Hopf Algebras. Similar results hold for Taft’s Hopf algebra \( H_{N^2} \), where \( N \) is a fixed integer > 2. Recall that \( H_{N^2} \) is the algebra (of dimension \( N^2 \)) generated by two generators \( X \) and \( Y \) subject to the relations \( X^N = 1 \), \( Y^N = 0 \), and \( YX = qXY \), where \( q \) is a root of unity of order \( N \). The comultiplication and the coëunit are defined by the same formulas as for \( H_4 \).
4.7. Theorem.— Any cleft quantum principal $H_{N^2}$-bundle over a commutative algebra $C$ in which $N$ is invertible is homotopically trivial.

The proof of Theorem 4.7 relies on [DT2], Theorems 3.2, 3.5, 3.8 and follows the same lines as the proof of Proposition 4.2 and Theorem 4.3.

4.8. Corollary.— If $N$ is invertible in $k$, then $\text{card } \mathcal{P}_{H_{N^2}}(k) = 1$.

5. Concluding remarks. So far we have no example of a non-homotopically trivial quantum principal bundle. The search of such an example should be the next step in order to understand better the concept of homotopy equivalence introduced in this note. A good candidate for the non-triviality of $\mathcal{P}_H(C)$ may be the algebra $C = k[z, z^{-1}]$ of Laurent polynomials: it is an algebraic model for the circle, which is the simplest manifold with non-trivial fundamental group.

5.1. Problem. Find examples of quantum principal bundles over $k[z, z^{-1}]$ that are not cleft, not homotopically cleft, or not homotopically trivial.

5.2. Problem. Classify all quantum principal bundles over $k[z, z^{-1}]$ up to isomorphism (for some interesting Hopf algebras). Find the cleft ones.

5.3. Problem. Determine $\mathcal{P}_H(k[z, z^{-1}])$ when $H = H_1$ or $H = H_{N^2}$.

5.4. Remark. The quest for affirmative answers to Questions 3.6 and 3.8 may force us to extend the class $\mathcal{C}_\text{ét}$ to a bigger class $\mathcal{C}$ of morphisms (closed under composition as is the case with $\mathcal{C}_\text{ét}$). Proposition 3.5 indicates that $\mathcal{C}$ should not be too big if we want to keep significant non-homotopically trivial quantum principal bundles (see also the example below).

Fix an integer $N \geq 2$ invertible in the ground field $k$ and assume that $k$ contains a root of unity $q$ of order $N$. Then the extension $k[z, z^{-1}] \subset k[z^{1/N}, z^{-1/N}]$ is a quantum principal $H$-bundle for the Hopf algebra $H$ dual to the group algebra of the cyclic group $C_N$ acting on $k[z^{1/N}, z^{-1/N}]$ by $\sigma P(z^{1/N}) = P(qz^{1/N})$, where $\sigma$ is a generator of $C_N$. Since this extension is finite and étale, it is homotopically trivial by Proposition 3.5.

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