Paternal Genetic Structure of Hainan Aborigines Isolated at the Entrance to East Asia

Dongna Li1, Hui Li2,3*, Caiying Ou1, Yan Lu2, Yuantian Sun1, Bo Yang4, Zhendong Qin2, Zhenjian Zhou1, Shilin Li2, Li Jin2

1 Department of Biology, Hainan Medical College, Haikou, Hainan, China, 2 MOE Key Laboratory of Contemporary Anthropology, School of Life Sciences, Fudan University, Shanghai, China, 3 Department of Genetics, School of Medicine, Yale University, New Haven, Connecticut, United States of America, 4 Department of Anatomy, Third Military Medical University, Chongqing, China

Abstract

Background: At the southern entrance to East Asia, early population migration has affected most of the Y-chromosome variations of East Asians.

Methodology/Principal Findings: To assess the isolated genetic structure of Hainan Island and the original genetic structure at the southern entrance, we studied the Y chromosome diversity of 405 Hainan Island aborigines from all the six populations, who have little influence of the recent mainland population relocations and admixtures. Here we report that haplogroups O1a* and O2a* are dominant among Hainan aborigines. In addition, the frequency of the mainland dominant haplogroup O3 is quite low among these aborigines, indicating that they have lived rather isolated. Clustering analyses suggest that the Hainan aborigines have been segregated since about 20 thousand years ago, after two dominant haplogroups entered East Asia (31 to 36 thousand years ago).

Conclusions/Significance: Our results suggest that Hainan aborigines have been isolated at the entrance to East Asia for about 20 thousand years, whose distinctive genetic characteristics could be used as important controls in many population genetic studies.

Introduction

It is well-known that East Asians exhibit many uniquely derived characteristics in their genetic structure because the populations have been isolated from those of Western Eurasia for some time [1]. The origin of East Asians and their specific genetics features have been an area of great interest in the study of human population expansion into eastern Asia, which has been deeply discussed within the scientific community. However, the detailed timing and route of expansion of modern humans into eastern Asia remains controversial. As a south-north cline of East Asian’s genetic structure was observed in various studies [2–4], both southern origin [5] and northern origin of East Asians [6] were supported by different researchers. Some even suggested that East Asians are a mixture of both southern and northern migrants. However, studies of the Y chromosome as a steady genetic material may help resolve the debate. Among the Y chromosome haplogroups studies, a few haplogroups showed a northern origin, linking East Asians to the Central Asian populations [7]. However, most of the haplogroups, especially the East Asian dominant haplogroup O [8,9], appear to originate in the South of Eastern Eurasia [2,10,11]. Therefore, it is very hard to know the original genetic structure at the time when the populations entered East Asia and the different routes though which different Y haplogroups went. Isolated populations at the entrance to East Asia will be most helpful to resolve this question.

Unfortunately, many events of population relocation in the history of East Asian have deeply buried the original diversity pattern of populations around the south entrance to East Asia. The most notable of these events are the backward migrations of Han Chinese [4] and of Tibeto-Burman [8,12] to South China and Southeast Asia. The southern entrance might be the border between China and Indo-China Peninsular countries (Myanmar, Laos, and Vietnam).

Here we report that we found several isolated aboriginal populations on the Hainan Island in the southern tip of East Asia (Fig. 1A) that represent relatively ancestral and “undisturbed” Y chromosome genetic structures. We demonstrated that the paternal genetic structure of Hainan aborigines is apparently different from the mainland East Asian populations.
Hainan Island is a big island in the Tonkin Bay between East Asia and Southeast Asia. During the last Ice Age when the sea level was much lower than it is today, it was connected to the continent [18,19], and lay on one of the ways of modern human migration from Southeast Asia to East Asia (Fig. 1A). The six Hainan aboriginal populations, living in the central and southern mountain areas of Hainan Island (Fig. 1B), may be the direct descendants of the original migrants. These aborigines are believed to have remained isolated for thousands of years since their island was separated from the continent by marine transgression eleven to seven thousand years ago [19]. The ethnic classification of Hainan aborigines exactly matches the linguistic classification [20]. The Hainan aborigines are classified into two groups, Hlai and Cun, both belonging to the most primordial branches of the Daic (also called Tai-Kadai) linguistic phylum, and also showing many resemblances to Malayans (under Austronesian phylum) in some respects[21]. Hlai has a population of more than 1.2 million (2000 census), and can be classified into five subgroups (Fig. 1B), showing high cultural diversity. All of the five subgroups, Ha, Gei, Zwn, Moifau, and Jiamao, have moderate population sizes. Even the smallest subgroup, Moifau, has a population of around 60,000. The languages of the Hlai subgroups are quite different and cannot be understood by each other [22]. Cun has a population of around 80,000, which exhibits very different linguistic and cultural characteristics from the Hlai. The history of Hainan aborigines is unknown as very few archaeological studies have been performed in Hainan. A finding in the Luobidong Cave of Sanya County revealed that modern humans may have lived in Hainan Island already about 10,000 years ago in Paleolithic Age [23], long before the relocation of other East Asian populations. The earliest Neolithic site of Hainan was the 6,000 years old Dongfang Xinjie Shell-heap site found in the present area of Cun people (confirmed by personal communication with Prof. Side Hao[24]), indicating the Neolithic package had arrived in Hainan before 6,000 years ago. Therefore, the Hainan aborigines may have maintained the genetic structure closest to the original ancestors of East Asians. However, there have not been any detailed genetic studies on Hainan aborigines, in contrast to the well-studied Taiwan aborigines [9,17,25] who are also isolated albeit rather far from the entrances to East Asia (either the southern entrance from Indo-China peninsula or the northern entrance from Central Asia).

Results and Discussion

In our study, we analyzed the Y chromosome diversity of all the six populations of Hainan aborigines. We examined 22 single nucleotide polymorphisms (SNPs) and seven short tandem repeat (STR) polymorphisms in 405 male Hainan aborigines, and determined the haplogroups based on the nomenclature of YCC [26] and ISOGG [27]. We found that the population samples are very similar in the Y-SNP haplogroup frequencies (Table 1). The haplogroups O1 and O2 are most frequent in each population, and are most probably the original haplogroups of the Hainan aborigines. In one of the aboriginal populations, the Gei, the total frequency of these haplogroups reaches 100%, indicating that it is an extensively bottlenecked population. Actually, Gei locates in the most remote mountain area and may have developed from a much smaller population, which is supported by the low Y-STR diversity of the Gei sample (Table S1). Haplogroups O1 and O2 are also frequent in the indigenous populations of Taiwan Island and southmost areas of mainland East Asia; however, these two haplogroups are not as dominant as they are in the Hainan aborigines. The Austronesian populations on the south and east side of the South China Sea, Borneo and Philippines also have high frequency of O1 and O2 [9,13,17], consisting with the linguistic resemblance between Daic and Austronesian [21]. In the populations other than Daic and Austronesian, the frequencies of
Haplogroup O3, which is common in Sino-Tibetan speaking populations [10] such as the Han (50.51%) and Tibeto-Burman (54.70%), is rare in Hainan aborigines (6.91%) while it is present in Taiwanese aborigines at a frequency of 11.36% [17,25,28], and in the mainland southern (Daic 19.60%) and central (Hmong-Mien 54.02%) indigenous populations at a higher frequency [29]. This suggests that there has been less male admixture between the Han and the Hainan groups compared to the Taiwanese and mainland southern indigenous groups. Moreover, unlike in mainland populations, haplogroups D, P, N, and Q were absent altogether in Hainan aborigines.

To determine the genetic relationship between Hainan aborigines and other populations, we performed two methods of clustering analyses (Fig. 2) using the Y-SNP haplogroup frequencies of Hainan aborigines and other East Asian populations [2,4,8–10,12,13,28]. In the dendrogram of Fig. 2A, the East Asian populations are clustered into two groups: a southern group (Daic, etc.) and a northern group (Han, etc.). All the mainland Daic populations were clustered with the Austro-Asiatic population. Daic and Austro-Asiatic populations are scattered in mainland Southeast Asia (Fig. 1A), and their distributions overlap. We assumed that there must have been sufficient gene flow between them and classified them into one group as their Y-SNP frequencies are similar. The Hlai subgroups formed an outer clade of the southern group, and the bottlenecked and isolated subgroup of Hlai, the Gei, is on the most outside of the clade. These results indicate that the Hlai are quite different from the mainland mixed populations. In the northern group, Hmong-Mien, Han Chinese, and Tibeto-Burman were most similar to each other, while the Austronesian and Altaiic were all influenced by the Sino-Tibetan populations genetically. We found the outer clade of this group to be Taiwan aborigines and Hainan Cun, exhibiting relatively low haplogroup diversity. It is, however, not clear why Cun was found to be similar to the Taiwan aborigines.

PC analysis also shows that the studied populations can be divided into a southern group and a northern group (Fig. 2B). Based on this PC plot, the Taiwan aborigines were closer to the northern group, and two kinds of Hainan aborigines are clustered into different groups. Cun can be placed into the northern group, together with the Taiwan aborigines. All of the Hlai populations

![Figure 2. Clustering of Hainan aborigines and other East Asian ethnic groups. (A) Dendrogram of complete linkage exhibits that Hlai and Cun are different; each forms an outer clade of the cluster. (B) Principal component (PC) plot shows a clear south-north polarization. Hlai populations are all in the southern terminal with Dai and Austro-Asiatic populations. Cun is close to the Taiwan aborigines and the Austronesians on the northern side.](https://doi.org/10.1371/journal.pone.0002168.g002)

---

**Table 1. Y chromosome haplogroup frequencies.**

| Linguistics | Population  | Sample size | C3* | F* | K* | O1a* | O2* | O2a* | O3* | O3a1 | O3a3 | O3a5 | O3a5a* |
|-------------|-------------|-------------|-----|----|----|------|-----|------|-----|------|------|------|--------|
| Hlai        | Ha          | 74          | 4.05| 22.97| 5.41| 59.46| 5.41| 1.35 | 1.35|
| Hlai        | Moifau      | 66          | 28.79| 66.67| 3.03| 1.52|
| Hlai        | Gei         | 62          | 8.06| 91.94|
| Hlai        | Zwn         | 75          | 1.33| 32.00| 5.33| 58.67| 1.33| 1.33|
| Hlai        | Jiamao      | 50          | 2.00| 2.00| 40.00| 46.00| 6.00| 2.00| 2.00|
| Kadaic      | Cun         | 78          | 5.13| 57.69| 17.95| 3.85| 8.97| 1.28| 3.85| 1.28|

<https://doi.org/10.1371/journal.pone.0002168.t001>
were very close to the southern terminal of the south-north division, re-exhibiting their isolated genetic structures. This division is especially true for the Gei in the south end of the division.

According to the SNP analyses, Hainan aborigines have been isolated from the northern populations, especially the Han Chinese migrations into South China who have brought the high frequency of haplogroup O3. The southward migration of Hmong-Mien populations might also have contributed to the increase in the frequency of O3 in South China. Our recent study revealed that Hmong-Mien and Sino-Tibetan may have a most recent common ancestor in southwestern China, and the O3 haplogroup was dominant in their common ancestral population (Unpublished data of Li Jin). As we have mentioned, O3 is almost absent in Hainan aborigines. However, the dominant haplogroups of Hainan aborigines, O1 and O2, are also dominant in mainland Daic populations and Taiwan aborigines. The SNP haplogroup analyses cannot exclude the gene flow between Hainan aborigines and Daic-Taiwan populations. Thus, aside from the dendrogram and the PC plot of SNPs, we analyzed the STR networks (Fig. 3 and Dataset S1) of the two major haplogroups, O1a* and O2a*, among the samples from the Hainan aborigines, Taiwan aborigines, and mainland Daic.

In these networks, reference data [28] were from Taiwan aborigines and the Daic population of Guangxi and Guangdong, two provinces north to Hainan. In the top part of each network of Figure 3, Hainan aborigines (shown in black nodes) formed an almost exclusive clade (shown in bold lines) with few individuals from other populations, suggesting that Hainan aborigines had been isolated from other Daic populations and Taiwan aborigines. Furthermore, most of the Hlai haplotypes were in the top clades, while Cun haplotypes formed two smaller clades in both networks (the black nodes in the lower part of the networks). The size of the Hlai clade is relatively large, occupying nearly one third of the network. In the O2a* network, the Hlai clade is much larger than the mainland or Taiwan part, suggesting that Hlai is much older than the mainland Daic or Taiwan aborigines, not a derived group of mainland Daic. It took quite long time for the STRs to mutate and form this large size of clade. We estimated the population ages to be around 36 thousand years for O1a*, around 19 thousand years for the Hainan clade in the O1a* network, and around 32 thousand years for O2a*, and around 26 thousand years for the Hainan clade in the O2a* network (Table 2). Please note that our estimate for O2a* was based on the South China populations, and therefore differs from the age of O2a* of the world population. As these two haplogroups are nearly absent in North China, the ages in South China are most probably the time for these two haplogroup to enter East Asia. The ages of O1a* and O2a* are close, however, falling between 18 to 26 thousand years. This time frame corresponds to the peak time of the last Ice Age (around 20 thousand years ago) [18], when the continental shelves in the China Seas were above sea level and provided a short cut for modern humans to enter East Asia.

There might have been more than one route for early migrants of modern human into East Asia. Another possible route of entering East Asia might be on the west side of the Southeast Asia-East Asia border (from Myanmar to Yunnan and inland China, Fig. 1A). We assumed that O3 haplogroups were carried by the
Sino-Tibetan and Hmong-Mien ancestors through this western route, though there have not been enough evidence (Our recent investigations on Hmong-Mien and Mon-Khmer populations gave a clue to the possible western route). However, O3 could also have emerged in the same and possibly single eastern route but later after the time of emergence of O1a* and O2a*. The O3 haplogroups subsequently influenced most of the other populations through relocations. According to absence of O3 in the isolated populations of Hainan Island, O3 was not carried through the entrance around Hainan when ancestors of Daic people first arrived in East Asia, however, establishing O2a* to be one of the oldest haplogroups (more than 40 thousand years, unpublished data of Li Jin) carried by the earliest migrants into East Asia along this eastern route. The O3 haplogroup O2a* might also be the first one that arrived in Hainan. The age of O3 when ancestors of Daic people first arrived in East Asia, more than 40 thousand years, unpublished data of Li Jin), carried by the earliest migrants into East Asia along this eastern route. The O3 haplogroup O2a* might also be the first one that arrived in Hainan. The age of O3 when ancestors of Daic people first arrived in East Asia, O2a* was determined to be around 26 thousand years, and is much older than the dating results of the Luobidong Cave site, which is the oldest archaeological finding in Hainan. We propose this age to be that of the Hainan aborigines, who have been essentially isolated since. Earlier archaeological sites may be found in Hainan Island in the future.

Our analysis of the STR network of O1a* shows that the Taiwan nodes are closer to the center of the network than the Hainan nodes, indicating that Taiwan was closer to the origin of this haplogroup geographically. Therefore, O1a* might have originated to the east of Hainan Island, and flowed back to Hainan subsequently, establishing the age of the Hainan cline of O1a* to be a little younger than that in O2a* O1a* was also found in the 5,000-year-old Neolithic human samples from the east coastal area of China [29]. The Neolithic period of East Asia began around 8,000 years ago. It is possible that O1a* might have diffused along with the Neolithic cultures to the Hainan Island. The age of O1a* in Hainan (around 18 thousand years) is older than that of the earliest Neolithic site found in Hainan (around 6,000 years)[24]. A possible explanation could be that the age was counted from the O1a* group (maybe the ancestor of Taiwan aborigines) before they arrived in Hainan. We calculated the divergence time between O1a* of Taiwan and Hainan aborigines to be 22.0 (95% CI: 12.5–46.8) thousand years.

In conclusion, our findings indicate that Hainan aborigines descend from early migrants who entered East Asia during the last Ice Age, and have been isolated since then. The Hainan aborigines have hardly been influenced by the population relocations in mainland East Asia, and the Y-SNP haplogroup patterns of the Hainan aborigines are closest to the original genetic structure of the early migrants. We suggest that Hainan aborigines cannot only be used to reveal the origin of East Asians and their unique genetic features, but that they can also serve as a model for East Asian population genetic studies.
Author Contributions
Conceived and designed the experiments: LJ HL DL ZZ. Performed the experiments: DL CO YS YL ZQ BY SL. Analyzed the data: HL DL YL.

References
1. Li H, Mukherjee N, Soundararajan U, Tarnok Z, Barta C, et al. (2007b) Geographically Separate Increases in the Frequency of the Derived ADH1B*47His Allele in Eastern and Western Asia. Am J Hum Genet 81: 842–846.
2. Su B, Xiao J, Underhill P, Deka R, Zhang W, et al. (1999) Y-Chromosome evidence for a northward migration of modern humans into Eastern Asia during the last Ice Age. Am J Hum Genet 65: 1718–1724.
3. Xiao CJ, Cavalli-Sforza LL, Minch E, Du RF (2000) Geographic distribution maps of human genes in China. Acta Genetica Sinica (Yi Chuan Xue Bao) 27: 1–6.
4. Wen B, Li H, Lu D, Song X, Zhang F, et al. (2004a) Genetic evidence supports demic diffusion of Han culture. Nature 431: 302–305.
5. Jin L, Su B (2000) Natives or immigrants: modern human origin in East Asia. Nat Rev Genet 1: 126–133.
6. Nei M, Roychoudhury AK (1993) Evolutionary relationships of human populations on a global scale. Mol Biol Evol 10: 927–943.
7. Wells RS, Yuldasheva N, Ruzibakiev R, Underhill PA, Esseiva I, et al. (2003) The Eurasian heartland: a continental perspective on Y-chromosome diversity. Proc Natl Acad Sci U S A 98: 10244–10249.
8. Su B, Xiao C, Deka R, Seielstad MT, Kangwanpong D, et al. (2000b) Y chromosome haplotypes reveal prehistorical migrations to the Himalayas. Hum Genet 107: 582–590.
9. Su B, Jin L, Underhill P, Martinson J, Saha N, et al. (2000a) Polynesian origins: insights from the Y chromosome. Proc Natl Acad Sci U S A 97: 8225–8229.
10. Shi H, Dong YL, Wen B, Xiao CJ, Underhill PA, et al. (2003) Y-chromosome evidence of southern origin of the East Asian-specific haplogroup O3-M122. Am J Hum Genet 77: 408–418.
11. Zhang F, Su B, Zhang YP, Jin L (2007) Genetic studies of human diversity in East Asia. Philos Trans R Soc Lond B Biol Sci 362: 987–993.
12. Wen B, Xie X, Gao S, Li H, Shi H, et al. (2006b) Analyses of genetic structure of Tibeto-Burman populations reveals sex-biased admixture in southern Tibeto-Burmans. Am J Hum Genet 74: 856–865.
13. Keraft TM, Lansing JS, Redi AJ, Reznikova S, Watkins JC, et al. (2005) Balinese Y-chromosome perspective on the peopling of Indonesia: genetic contributions from pre-neolithic hunter-gatherers, Austronesian farmers, and Indian traders. Hum Biol 77: 93–114.
14. Kayerer M, Braser S, Cordiaux R, Casta A, Lao O, et al. (2006) Melanesian and Asian origins of Polynesians: mtDNA and Y chromosome gradients across the Pacific. Mol Biol Evol 23: 2234–2244.
15. Moncla S, Tommasetto-Ponzieta M, Braser S, Sudoyo H, Marzuki S, et al. (2007) Patterns of Y-chromosome diversity intersect with the Trans-New Guinea hypothesis. Mol Biol Evol 24: 2546–2555.
16. Hurles ME, Sykes BC, Jobling MA, Forster P (2005) The dual origin of the Malagasy in Island Southeast Asia and East Africa: evidence from maternal and paternal lineages. Am J Hum Genet 78: 894–901.
17. Capelli C, Wilson JF, Richards M, Stumpf MPH, Gratrix F, Oppenheimer S, Underhill P, Pascali VL, Ko T-M, Goldstein DB (2001) A predominantly indigenous paternal heritage for the Austronesian-speaking peoples of insular Southeast Asia and Oceania. Am J Hum Genet 68: 432–443.
18. Shi YF, Cui ZJ, Li J (1999) Quaternary glacier in Eastern China and the climate fluctuation. Beijing: Science Press.
19. Xing GY (2004) Discussion from the earliest governmentalization of Hainan Island. Hainan Archives 3: 43–47.
20. Wang Xp, Wang Jc, Xing GY (2004) China Hlai. Beijing: Ethnic Press.
21. Thurgood G (1994) Tai-Kadai and Austronesian: the nature of the historical relationship. Oceanic Linguistics 33: 345–368.
22. Gordon RG Jr (2005) Ethnologue: Languages of the World, Fifteenth edition. Dallas, Tex.: SIL International. Available: http://www.ethnologue.com/.
23. Hao SD, Huang B (1998) Sanya Luobidong Site. Guangzhou: Southern Press.
24. Hao SD, Wang DX (2005) Retrospection and prospection of Archaeology in Hainan. Archaeology (China) 4: 291–299.
25. Chen SJ, Chen YF, Yeh JI, Hsu M (2002) Recent Anthropological Genetic Study of Taiwan Indigenous Populations. In: Proceedings of the International Symposium of Anthropological Studies at Fudan University. Shanghai: Center for Anthropological Studies at Fudan University, 52–60. Available: http://comonca.org.cn/SSA/2002ISASFU/652.pdf.
26. Y Chromosome Consortium (2002) A nomenclature system for the tree of human Y-chromosomal binary haplogroups. Genome Res 12: 339–348.
27. International Society of Genetic Genealogy (2007) Y-DNA Haplogroup Tree 2007, Version: 2.06 Date: 31 October 2007. Available: http://www.isogg.org/tree/.
28. Li H (2005) Genetic structure of Austro-Tai populations. Ph.D. dissertation of Human Biology, Fudan University, Shanghai.
29. Li H, Huang Y, Mustavich LF, Zhang F, Tan JZ, et al. (2007) Y chromosomes of prehistoric people along the Yangtze River. Hum Genet 122: 383–388.
30. Bandelt HJ, Forster P, Rohl A (1999) Median-joining networks for inferring intraspecific phylogenies. Mol Biol Evol 16: 37–48.
31. Zhivotovsky LA, Underhill PA, Cinnioglu C, Kayser M, Morar B, et al. (2004) The effective mutation rate at Y chromosome short tandem repeats, with application to human population-divergence time. Am J Hum Genet 74: 50–61.
32. Wilson J, Weale ME, Balding DJ (2005) Inferences from DNA data: population histories, evolutionary processes and forensic match probabilities. J R Stat Soc Ser A Stat Soc 166: 153–188.