Hawking radiation from fluctuating black holes

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Abstract
Classically, black holes have a rigid event horizon. However, quantum mechanically, the event horizon of black holes becomes fuzzy due to quantum fluctuations. We study Hawking radiation of a real scalar field from a fluctuating black hole. To quantize metric perturbations, we derive the quadratic action for those in the black hole background. Then, we calculate cubic interaction terms in the action for the scalar field. Using these results, we obtain the spectrum of Hawking radiation in the presence of the interaction between the scalar field and the metric. It turns out that the spectrum deviates from the Planck spectrum due to quantum fluctuations of the metric.

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1. Introduction

Black holes in general relativity have shown us many fertile aspects of spacetime such as the event horizon, the singularity, Hawking radiation and the connection with thermodynamics. Among these phenomena, Hawking radiation is particularly interesting because it gives a strong support to the thermodynamical interpretation of black holes. Moreover, it reveals an aspect of quantum gravity. Usually, Hawking radiation is explained by quantizing a free field in a fixed black hole background [1]. To be specific, we consider a real scalar field \( \phi \) in the Schwarzschild black hole background

\[
dx^2 = - \left( 1 - \frac{r_H}{r} \right) dt^2 + \left( 1 - \frac{r_H}{r} \right)^{-1} dr^2 + r^2 (d\theta^2 + \sin^2 \theta d\phi^2),
\]

where \( r_H \) is a constant of integration. When the radius coordinate \( r \) becomes \( r_H \), the spacetime seems to be singular. Of course, we know it is just a coordinate singularity and the position \( r = r_H \) is the event horizon of the spacetime. The action for gravity with a scalar field reads

\[
S = \frac{1}{16\pi G} \int d^4x \sqrt{-g} R - \frac{1}{2} \int d^4x \sqrt{-g} \partial \mu \phi \partial \nu \phi.
\]
where $G$, $g$ and $R$ are the Newton constant, the determinant of the metric $g_{\mu\nu}$ and the scalar curvature, respectively. Classically, nothing can come out from the inside of the horizon. Quantum mechanically, however, it has been shown that black holes can emit radiation, the so-called Hawking radiation [1, 2]. Remarkably, it turns out that Hawking radiation has the Planck spectrum. This notable feature conforms to the black hole thermodynamics. However, taking look at the above action, we note that the metric should also be quantized. Then, the event horizon becomes fuzzy, that is, black holes are fluctuating. The purpose of this paper is to study Hawking radiation from fluctuating black holes. Technically, we consider the interaction between the scalar field and the metric fluctuations. In particular, it is interesting to see if the Planck spectrum is modified by the interaction [3–5] no matter how small it is. Apparently, this effect becomes significant if the horizon radius of black holes $r_h$ is close to the Planck length $\ell_p$.

Recently, various Planck scale black holes and Hawking radiation from them have been considered [6–9]. These black holes can be created at the LHC or in the early universe. Indeed, black holes would be created at the LHC if the dimension of spacetime is more than 6 and the braneworld picture is correct [10]. This is because the higher dimensional Planck mass becomes $\sim 1$ TeV which can be reached at the LHC. In other words, the mass of a black hole created at the LHC is of the order of the higher dimensional Planck mass. On the other hand, density fluctuations in the early Universe could produce black holes with any mass. Black holes with $10^{15}$ g created in the early Universe lose their masses by Hawking radiation to become Planck scale black holes. The spectrum of Hawking radiation from these black holes might be distorted due to the nonlinear interaction between quantum fields and the metric.

Here, we stress that, even for black holes much larger than the Planck length, the fluctuations of black holes might be relevant to Hawking radiation. The point is that the Planck spectrum of Hawking radiation stems from the exponential elongation of short wavelength quantum fluctuations which can exceed the Planck scale. Hence, the interaction between the scalar field and quantum mechanical fluctuations of the metric would be relevant even for the large black holes.

In this paper, we calculate the effect of the nonlinear interaction on Hawking radiation of a real scalar field from a four-dimensional Schwarzschild black hole. In order to achieve this aim, the canonically normalized quadratic action for metric perturbations and the interaction Hamiltonian are needed. Therefore, we first construct these actions by perturbing the Einstein–Hilbert action with a real scalar field. After that, we give a formalism to study Hawking radiation from fluctuating black holes.

The organization of this paper is as follows. In section 2, we construct the quadratic action for metric perturbations by perturbing the Einstein–Hilbert action in a four-dimensional Schwarzschild background. In section 3, we quantize the field and derive Hawking radiation. In section 4, we present a method for treating the interaction in spherical symmetric spacetime and then calculate the cubic interaction Hamiltonian from the action of a real scalar field. Using these results, we calculate the effect of the nonlinear interaction on Hawking radiation of a real scalar field. Section 5 is devoted to the conclusion. Technical details can be found in appendices.

In this paper, we use the convention $16\pi G = 1$ and write the Schwarzschild metric as

$$
\text{d}s^2 = -f(r) \text{d}t^2 + f^{-1}(r) \text{d}r^2 + r^2 \gamma_{ab} \text{d}x^a \text{d}x^b, \quad f(r) = 1 - \frac{2M}{r},
$$

where $\gamma_{ab}$ represents the metric of the sphere $S^2$ and $M$ corresponds to the mass of the black hole.
2. Metric perturbations in a black hole background

In this section, we derive the quadratic action for metric perturbations $h_{\mu\nu}$ in the Schwarzschild black hole background $g^{(0)}_{\mu\nu}$. Note that our derivation is slightly different from the original one by Moncrief [11]. Now, the metric is given by

$$g_{\mu\nu} = g^{(0)}_{\mu\nu} + h_{\mu\nu}. \tag{1}$$

In a two-dimensional maximally symmetric space, we can classify any tensor into the scalar and vector types. Apparently, $h_{tt}$, $h_{tr}$, and $h_{rr}$ are scalar type under the rotation. It is easy to see that $h_{ta}$ and $h_{ra}$ can be decomposed into gradient part and transverse part as $h_{ta} = v_\alpha + v_a$ and $h_{ra} = u_\alpha + u_a$, where $'a'$ means a covariant derivative of $\gamma_{ab}$ and both $v_a$ and $u_a$ satisfy the transverse condition. Similarly, the two-dimensional second-order tensor $h_{ab}$ can be decomposed as $h_{ab} = r^2 K_{Y_{ab}} + B_{ab} + (C_{ab} + C_{ba})/2$, where $C_a$ has the transverse property. In this paper, we call $(h_{tt} = \bar{H}, h_{tr} = H_1, h_{rr} = H/f, v, w, K, B)$ scalar-type perturbation and $(v_a, u_a, C_a)$ vector-type perturbation and treat them separately. Separate treatment is allowed because cross terms of scalar-type perturbation and vector-type perturbation in quadratic action are always decoupled. Here, we can use the general coordinate invariance to reduce the number of variables. Under infinitesimal coordinate transformations $x^\mu \to x^\mu + \xi^\mu$, $h_{\mu\nu}$ transforms as

$$h_{\mu\nu} \to h_{\mu\nu} + \xi_{\mu;\nu} + \xi_{\nu;\mu}, \tag{2}$$

which shows that there are four gauge degrees of freedom. Hence, we can fix four variables. Moreover, we can classify the remaining six variables into four scalar-type and two vector-type ones. Once the gauge is completely fixed, we can obtain the quadratic action. After eliminating unphysical variables using constraint equations, we finally obtain the reduced action for physical variables.

It is easy to extend the analysis in this section to more general cases. In appendix B, we present the analysis for topological Schwarzschild black holes with a cosmological constant.

2.1. Scalar perturbation

First, we consider the scalar-type perturbations

$$h_{\mu\nu} = \begin{pmatrix} f\dot{H} & H_1 \\ H_{sym} & H/f \end{pmatrix} \begin{pmatrix} v|_a \\ w|_a \end{pmatrix} + \begin{pmatrix} r^2 K_{Y_{ab}} \\ + B_{ab} \end{pmatrix}, \tag{3}$$

where $'|a'$ denotes a covariant derivative with respect to $\gamma_{ab}$ and the symbol ‘sym’ represents the symmetric counterpart of the tensor $h_{\mu\nu}$. Under the scalar-type gauge transformations $\xi^\mu$ with

$$\xi^\mu = (\xi_0, \xi_r, \xi_L|_a), \tag{4}$$

the perturbed variables change as

$$H \to H + 2\xi_0/f - f'\xi_r, \quad H_1 \to H_1 + \xi_0 + \xi_r - f'\xi_0/f, \quad v \to v + \xi_0 + \xi_L, \tag{5}$$

$$H \to H + 2f\xi'_r - f'\xi_r, \quad w \to w + \xi_r + \xi'_L - 2\xi_L/r, \quad B \to B + 2\xi_L, \tag{6}$$

where $\xi'_r$ and $\xi'_L$ represent the derivatives of $\xi_r$ and $\xi_L$ with respect to $r$. The action for the scalar-type perturbation then takes the form

$$S = \int d^2x \sqrt{-g} \left[ \frac{1}{2} (f\dot{H} + H_{sym}) + \frac{1}{2} (H/f) (v|_a + w|_a) \right], \tag{A}$$

where $f$ is the lapse function and $\sqrt{-g}$ is the determinant of the metric tensor. The quadratic part of the action is given by

$$S_{quad} = \int d^2x \sqrt{-g} \left[ \frac{1}{2} f (\dot{H}^2 + H_{sym}^2) + \frac{1}{2} (H/f)^2 (v^2 + w^2) \right], \tag{B}$$

where the first term is the kinetic energy of the scalar field, and the second term is the potential energy. The full action is then

$$S_{full} = S_{quad} + S_{int}, \tag{C}$$

where $S_{int}$ is the interaction part of the action. The interaction part can be further decomposed into

$$S_{int} = \int d^2x \sqrt{-g} \left[ \frac{1}{2} (H/f) (v|_a + w|_a) B_{ab} + \frac{1}{2} (H/f) (v|_a + w|_a) (C_{ab} + C_{ba})/2 \right], \tag{D}$$

where $B_{ab}$ and $C_{ab}$ are auxiliary fields. The boundary terms vanish due to the Gauss-Bonnet identity.

The equation of motion for the scalar-type perturbation is

$$\nabla^\mu \left( \sqrt{-g} \nabla_\mu \right) \left( \sqrt{-g} f \dot{H} + H_{sym} \right) = \sqrt{-g} f (\dot{H}^2 + H_{sym}^2) + \frac{1}{2} (H/f)^2 (v^2 + w^2), \tag{E}$$

where $\nabla$ is the covariant derivative. The equation of motion for the vector-type perturbation is

$$\nabla^\mu \left( \sqrt{-g} \nabla_\mu \right) \left( \sqrt{-g} (v|_a + w|_a) \right) = 0, \tag{F}$$

where $\nabla$ is the covariant derivative. The full set of equations is then

$$\begin{cases} \nabla^\mu \left( \sqrt{-g} \nabla_\mu \right) \left( \sqrt{-g} f \dot{H} + H_{sym} \right) = \sqrt{-g} f (\dot{H}^2 + H_{sym}^2) + \frac{1}{2} (H/f)^2 (v^2 + w^2), \\
\nabla^\mu \left( \sqrt{-g} \nabla_\mu \right) \left( \sqrt{-g} (v|_a + w|_a) \right) = 0. \end{cases} \tag{G}$$

The solutions to these equations can be found in the appendix B.
where \( \cdot \) and \( \cdot \) denote derivatives with respect to \( r \) and \( t \), respectively. Then, choosing 
\[ \xi_L = -B/2, \xi_0 = -v - B/2 \text{ and } \xi_r = -r K/(2 f), \]
we get the following metric perturbations:
\[
\begin{bmatrix}
\frac{f \bar{H}}{f} & H_{1i} & \xi_{L}\nH_{fi} & 0 & 0 \\
\xi_{Li} & sym & sym \\
\xi_{ri} & sym & 0
\end{bmatrix}.
\tag{7}
\]

Using the above gauge, we obtain the quadratic action
\[
\sum_{\ell, m > 0} \left\{ \int dr \left[ \frac{\gamma_s}{2} |H_{1\ell m}|^2 - \gamma_s w_{\ell m}^* H_{1\ell m} + \frac{\gamma_s}{2} |w_{\ell m}|^2 + 2 r H^*_{\ell m} H_{1\ell m} \right. \\
- \gamma_s \frac{2 f + r f'}{2 r} w_{\ell m}^* H_{1\ell m} + \frac{(fr)'}{2 r} |H_{1\ell m}|^2 + \gamma_s \frac{f}{r^2} |w_{\ell m}|^2 - \frac{1}{2} \bar{H} q_{2\ell m} \bigg] + (\text{C.C}) \right\} \\
+ \sum_{\ell} \int dr \left[ \frac{\gamma_s}{2} H_{1\ell 0}^2 - \gamma_s w_{\ell 0} H_{1\ell 0} + \frac{\gamma_s}{2} w_{\ell 0}^2 + 2 r H_{1\ell 0} \right. \\
- \gamma_s \frac{2 f + r f'}{2 r} w_{\ell 0} H_{1\ell 0} + \frac{(fr)'}{2 r} H_{1\ell 0}^2 + \gamma_s \frac{f}{r^2} w_{\ell 0}^2 - \frac{1}{2} \bar{H} q_{2\ell 0} \bigg].
\tag{8}
\]
where * means the complex conjugate and we have defined
\[
q_{1\ell m} = 2 r f H_{1\ell m} - 2 \gamma_s f w_{\ell m}, \quad q_{2\ell m} = \gamma_s \left( H_{1\ell m} + f' w_{\ell m} - \frac{2 f w_{\ell m}}{r} \right) + q_{1\ell m}.
\tag{9}
\]
It is easy to solve as
\[
w_{\ell m} = \frac{r}{\gamma_s T(r)} \left( q_{2\ell m} - q_{1\ell m} - \frac{\gamma_s}{2 f} q_{1\ell m} \right),
\]
\[
H_{1\ell m} = \frac{1}{T(r)} \left( q_{2\ell m} - q_{1\ell m} + \frac{r f' - 2 f'}{2 f} q_{1\ell m} \right),
\tag{10}
\]
where we have defined \( T(r) = rf' - 2 f + \gamma_s \). In equations (8), (9) and (10), we expanded metric perturbations in terms of spherical harmonics \( Y_{\ell m} \) as \( H = \sum H_{1\ell m} Y_{\ell m} \). Here, \( \gamma_s \equiv \ell (\ell + 1) \). Since spherical harmonics satisfy the relation \( Y_{\ell m} = (-1)^m Y_{\ell -m}^* \), metric perturbations \( H_{1\ell m} \) satisfy the reality conditions \( H_{1\ell m} = (-1)^m H_{1 -\ell -m}^* \). This allows us to relate negative \( m \) modes to positive \( m \) modes. Hence, we can consider only \( m \geq 0 \) modes in (8). Note that \( m = 0 \) mode is real but \( m > 0 \) modes are complex.

From now on, we concentrate on \( m = 0 \) mode and omit the indices. We can get the same result for \( m > 0 \) modes with similar calculations. Taking the variation of the quadratic action (8) with respect to \( H_{1i} \), we obtain the momentum constraint equation
\[
H_i = \bar{w} - \frac{2 r \bar{H}}{\gamma_s}.
\tag{11}
\]
This equation enables us to delete \( H_i \) from action (8). Substituting this result (11) into action (8), we obtain the action
\[
\int dr \left[ \frac{2 \bar{w}^2}{\gamma_s} H^2 + 2 r H \bar{w} - \gamma_s \frac{2 f + r f'}{2 r} w H + \frac{(fr)'}{2 r} H^2 + \gamma_s \frac{f}{r^2} w^2 - \frac{1}{2} \bar{H} q_2 \right].
\tag{12}
\]
Taking the variation of action (12) with respect to the Lagrange multiplier \( \bar{H} \), we can derive the Hamiltonian constraint
\[
q_2 = 0.
\tag{13}
Then, substituting $q_2 = 0$ into action (12) and using relations (10), we can express the action by $q_1$. With a new variable $\psi^Z$ defined by

$$\psi^Z = \sqrt{\frac{\lambda}{\lambda + 1}} \frac{q_1}{T(r)}, \quad \lambda = \frac{\gamma_s - 2}{2},$$

the quadratic action takes the canonical form

$$\int dr^* \left[ \frac{1}{2} \left( \partial_t \psi^Z \right)^2 - \frac{1}{2} \left( \partial_r \psi^Z \right)^2 - \frac{1}{2} V_Z(r) \psi^Z \right]$$

where $r^*$ represents a tortoise coordinate and we have defined the potential function as

$$V_Z = -\frac{f}{(r^2 f') - 2rf + 8(1 + \lambda)^2 + 4(1 + \lambda)^2 f' + 2(1 + \lambda) r^2 f' + r^2 f'' + r^3 f'''}$$

$$+ 4f^2(2\lambda + \lambda') - 4f[2\lambda(2 + \lambda) + 2(1 + \lambda) r f' + r^2 f'']$$

$$= \frac{2f}{r^3} \left( \lambda + 1 \right) r^3 + 3\lambda^2 M r^2 + 9\lambda M^2.$$ (16)

We can also calculate the quadratic actions for $m > 0$ modes. Thus, we have

$$\sum_{m > 0} \left\{ \int dr^* \left[ \left| \partial_t \psi^Z_{\ell m} \right|^2 - \left| \partial_r \psi^Z_{\ell m} \right|^2 - V_Z(r) \psi^Z_{\ell m} \right] \right\}$$

$$+ \frac{1}{2} \int dr^* \left[ \left| \partial_r \psi^Z_{\ell m} \right|^2 - \left| \partial_r \psi^Z_{\ell m} \right|^2 - V_Z(r) \psi^Z_{\ell m} \right],$$

which gives the famous Zerilli equation [12]

$$-\partial^2_t \psi^Z_{\ell m} + \partial^2_r \psi^Z_{\ell m} - V_Z \psi^Z_{\ell m} = 0.$$ (18)

For later purposes, we deduce the relation between $\psi^Z_{\ell m}$ and metric perturbations. From equations (9), (10), (11) and (14), it is easy to get the relation between $\psi^Z_{\ell m}$ and metric perturbations except for $H$. The results are

$$H_{\ell m} = w_{\ell m} - \frac{2 r \dot{H}_{\ell m}}{\gamma_s},$$

$$w_{\ell m} = -\frac{r}{\gamma_s} \sqrt{\frac{\lambda + 1}{\lambda}} \psi^Z_{\ell m} - \left( \frac{1}{2 f} + \frac{r T'}{\gamma_s T} \right) \sqrt{\frac{\lambda + 1}{\lambda}} \psi^Z_{\ell m},$$

$$H_{\ell m} = -\sqrt{\frac{\lambda + 1}{\lambda}} \psi^Z_{\ell m} - \left( \frac{T'}{T} - \frac{r f' - 2f}{2rf} \right) \sqrt{\frac{\lambda + 1}{\lambda}} \psi^Z_{\ell m}. (19)$$

In order to get the relation between $\psi^Z_{\ell m}$ and $\dot{H}_{\ell m}$, we need to look at the equation of motion for metric perturbations. Taking the variation of (12) with respect to $H$ and $w$, we can get two equations

$$\frac{4r^2}{\gamma_s} \dot{H}_{\ell m} - 2r \ddot{w}_{\ell m} - \frac{2f + rf'}{2r} w_{\ell m} + (rf')' H_{\ell m} = \frac{\gamma_s}{2} \dot{H}_{\ell m} + rf \dot{H}_{\ell m} = 0,$$ (20)

$$-2r \ddot{H}_{\ell m} - \gamma_s \frac{rf' + 2f}{2r} H_{\ell m} + 2\gamma_s \frac{f}{r} w_{\ell m} = \frac{\gamma_s}{2} \left( \frac{2f}{r} - \frac{f'}{r} \right) \dot{H}_{\ell m} - \gamma_s f \dot{H}_{\ell m} = 0.$$ (21)

Eliminating $\dot{H}'_{\ell m}$ from the above equations, we obtain the equation for $\dot{H}_{\ell m}$:

$$\ddot{H}_{\ell m} = \frac{2}{T(r)} \left[ \frac{2f}{\gamma_s} \dot{H}_{\ell m} - 2r \ddot{w}_{\ell m} + \frac{rf'}{2} H_{\ell m} + \left( \frac{2f}{r} - \gamma_s \frac{rf' + 2f}{r} \right) w_{\ell m} \right].$$ (22)
Using this equation, relation (19) and the Zerilli equation, we can show that $\bar{H}_{\ell m}$ is related to $H_{\ell m}$ and $w_{\ell m}$ as

$$\bar{H}_{\ell m} = H_{\ell m} - (2fw_{\ell m})'. \quad (23)$$

Since $H_{\ell m}$ and $w_{\ell m}$ are related to $\psi^Z_{\ell m}$ by equations (19), this equation implies a relation between $\bar{H}_{\ell m}$ and $\psi^Z_{\ell m}$.

2.2. Vector perturbation

Next, we consider the quadratic action for vector-type perturbations

$$h_{\mu\nu} = \begin{pmatrix} 0 & 0 & v_a & w_a \\ 0 & 0 & \text{sym} & \text{sym} \\ v_a & \text{sym} & 0 & 0 \\ w_a & \text{sym} & 0 & 0 \end{pmatrix}, \quad (24)$$

where $v_a, w_a$ and $C_a$ satisfy $v_a|a = w_a|a = C_a|a = 0$. For vector-type perturbations (24), the gauge transformations with $\xi_\mu = (0, 0, \xi_a)$

$$v_a \rightarrow v_a + \dot{\xi}_a, \quad w_a \rightarrow w_a + \xi_a' - \frac{2}{r} \xi_a, \quad C_a \rightarrow C_a + 2\xi_a, \quad (25)$$

read

$$v_a \rightarrow v_a + \dot{\xi}_a, \quad w_a \rightarrow w_a + \xi_a' - \frac{2}{r} \xi_a, \quad C_a \rightarrow C_a + 2\xi_a, \quad (26)$$

where $\xi_a$ satisfies the transverse condition. Then, we can completely fix the gauge by choosing $\xi_a = -C_a/2$, which is called the Regge–Wheeler gauge. In the Regge–Wheeler gauge, metric perturbations are written as

$$h_{\mu\nu} = \begin{pmatrix} 0 & 0 & v_a \\ 0 & 0 & w_a \\ \text{sym} & \text{sym} & 0 & 0 \\ \text{sym} & \text{sym} & 0 & 0 \end{pmatrix}. \quad (27)$$

Using the metric perturbations (27), we can calculate the quadratic action

$$(2\lambda + 2) \sum_{m=0} \left\{ \int dr \left[ \frac{1}{2} \left( w_{\ell m} + \frac{2}{r} v_{\ell m} - v_{\ell m}' \right)^2 + \frac{\lambda}{f} \frac{1}{r^2} \left| v_{\ell m} \right|^2 - \frac{\lambda f}{r^2} \left| w_{\ell m} \right|^2 \right] + (C.C) \right\}$$

$$+ (2\lambda + 2) \int dr \left[ \frac{1}{2} \left( w_{00} + \frac{2}{r} v_{00} - v_{00}' \right)^2 + \frac{\lambda}{f} \frac{1}{r^2} v_{00}^2 - \frac{\lambda f}{r^2} w_{00}^2 \right]. \quad (28)$$

where metric perturbations are expanded by vector harmonics $V^\nu_{\ell m}$ as $u^\mu = \sum w_{\ell m} V^\nu_{\ell m}$. Since vector harmonics satisfy the relations $V^\nu_{\ell m} = (-1)^m V^{\nu*}_{-\ell - m}$, $w_{\ell m}$ satisfy the reality conditions $w_{\ell m} = (-1)^m w_{-\ell - m}$. Hence, we can consider only $m \geq 0$ modes in (28).

For a while, we concentrate on $m = 0$ mode and omit the indices. Taking the variation of action (28) with respect to $v$, we can derive momentum constraint equation

$$\left[ r^2 \left( \dot{w} + \frac{2}{r} v - v' \right) \right]' + \frac{2\lambda}{f} v = 0. \quad (29)$$

We have to solve this constraint equation. However, it is not easy to do so. In order to circumvent this difficulty, we move on to the Hamiltonian formalism. From the quadratic action (28), we can read off the Lagrangian

$$L = (2\lambda + 2) \int dr \left[ \frac{1}{2} \left( \dot{w} + \frac{2}{r} v - v' \right)^2 + \frac{\lambda}{f} \frac{1}{r^2} v^2 - \frac{\lambda f}{r^2} w^2 \right]. \quad (30)$$
and also define the momentum conjugate to $w$ as
\[ p = \frac{\delta L}{\delta \dot{w}} = (2\lambda + 2) \left( \frac{2}{r^2} - \dot{v} \right). \] (31)

Then, the Hamiltonian $H$ is given by
\[ H = \int dr \ p \dot{w} - L = (2\lambda + 2) \int dr \ \left[ \frac{1}{2} \left( \frac{p}{2\lambda + 2} \right)^2 + \frac{\lambda f}{r^2} \dot{w}^2 - \frac{2}{2\lambda + 2} \left( \frac{p}{2\lambda + 2} + \frac{p}{2\lambda + 2} \right) \dot{w}^2 + \frac{\lambda v}{f r^2} \right]. \] (32)

Now, the constraint equation
\[ \frac{2}{r} p + p' + \frac{4\lambda(\lambda + 1)v}{fr^2} = 0 \]
gives the relation
\[ v = -\frac{fr^2}{4\lambda(\lambda + 1)} \left( \frac{2}{r} p + p' \right). \] (33)

Substituting this relation into the Hamiltonian (32), we obtain the reduced Hamiltonian
\[ H = (2\lambda + 2) \int dr \ \left[ \frac{1}{2} \left( \frac{(fr)'}{2\lambda} + \frac{f}{\lambda} \right) \left( \frac{Q}{2\lambda + 2} \right)^2 + \frac{fr^2}{4\lambda} \left( \frac{Q}{2\lambda + 2} \right)'^2 + \frac{\lambda f}{r^2} \dot{w}^2 \right]. \] (34)

We further perform the canonical transformation $(w, p) \rightarrow (Q, P)$ to interchange the role of $w$ and $p$:
\[ Q = -p, \quad w = P, \] (35)
which leads to a new Hamiltonian
\[ K(Q, P) = H(P, -Q) = (2\lambda + 2) \int dr \ \left[ \frac{1}{2} \left( \frac{(fr)'}{2\lambda} + \frac{f}{\lambda} \right) \left( \frac{Q}{2\lambda + 2} \right)^2 + \frac{fr^2}{4\lambda} \left( \frac{Q}{2\lambda + 2} \right)'^2 + \frac{\lambda f}{r^2} P^2 \right]. \]

Using the Hamilton equation for $\dot{Q}$:
\[ \frac{\delta K}{\delta P} = \frac{4\lambda(\lambda + 1)f}{r^2} P, \] (36)
we can go back to the Lagrangian formalism
\[ \int dt \left[ \int dr P \dot{Q} - K \right] = \frac{1}{2\lambda + 2} \int dr dr \left[ \frac{r^2}{4\lambda f} \dot{Q}^2 - \left( \frac{1}{2} - \frac{(fr)'}{2\lambda} + \frac{f}{\lambda} \right) Q^2 - \frac{fr^2}{4\lambda} Q^2 \right]. \] (37)

With a change of normalization
\[ \psi_{RW} \equiv \frac{r}{2\sqrt{\lambda(\lambda + 1)}} Q, \] (38)
the quadratic action (37) becomes
\[ \int dr^* \left[ \frac{1}{2} (\partial_{r^*} \psi_{RW})^2 - \frac{1}{2} (\partial_{r^*} \psi_{RW})^2 - \frac{1}{2} V_{RW}(r) \psi_{RW}^2 \right], \] (39)
where $r^*$ represents a tortoise coordinate and we have defined the potential function
\[ V_{RW} = \frac{f}{r^2} (-rf' + 2f + 2\lambda). \] (40)
So far we have only considered the $m = 0$ mode in (28). Of course, we can also calculate the action for $m > 0$ modes. The result reads

$$
\sum_{m > 0} \int dr^* dr \left[ |\partial_t \psi_{lm}^{RW}|^2 - |\partial_r \psi_{lm}^{RW}|^2 - V_{RW}(r) |\psi_{lm}^{RW}|^2 \right]
+ \frac{1}{2} \int dr^* dr \left[ (\partial_t \psi_{l0}^{RW})^2 - (\partial_r \psi_{l0}^{RW})^2 - V_{RW}(r) |\psi_{l0}^{RW}|^2 \right],
$$

which gives the Regge–Wheeler equation [13]

$$
-\partial_t^2 \psi_{lm}^{RW} + \partial_r^2 \psi_{lm}^{RW} - V_{RW}(r) \psi_{lm}^{RW} = 0.
$$

Finally, we clarify the relation between $\psi_{lm}^{RW}$ and metric perturbations. From equations (33), (35), (36) and (38), the relations are given by

$$
v_{lm} = \frac{f}{2\sqrt{\lambda(\lambda + 1)}} (r \psi_{lm}^{RW})',
$$

$$
w_{lm} = \frac{r}{2\sqrt{\lambda(\lambda + 1)} f} \psi_{lm}^{RW}.
$$

### 3. Hawking radiation

In this section, we review Hawking radiation using a spherically symmetric collapsing model [1, 14]. In this model, it is assumed that the outside of the collapsing matter can be described by the Schwarzschild metric

$$
dx^2 = -f(r) dr^2 + \frac{1}{f(r)} dr^2 + r^2 \gamma_{ab} dx^a dx^b,
$$

where $f(r) = 1 - 2M/r$. Here, $M$ and $\gamma_{ab}$ are the ADM mass and the metric of $S^2$, respectively. The action for the real massless scalar field

$$
\frac{1}{2} \int d^4x \sqrt{-g} g^{\mu\nu} \phi_{,\mu} \phi_{,\nu}
$$

can be written as

$$
\sum_{m > 0} \int dr^* dr \left[ |\partial_t \phi_{lm}|^2 - |\partial_r \phi_{lm}|^2 - f \left( \frac{f'}{r^2} + \gamma_s \right) |\phi_{lm}|^2 \right]
+ \frac{1}{2} \int dr^* dr \left[ (\partial_t \phi_{l0})^2 - (\partial_r \phi_{l0})^2 - f \left( \frac{f'}{r^2} + \gamma_s \right) \phi_{l0}^2 \right],
$$

where $r^*$ is a tortoise coordinate. Here, we used the harmonic expansion $\psi = \sum \phi_{lm} Y_{lm}/r$. Note that $\psi$ is real. Hence, the complex coefficients $\phi_{lm}$ satisfy the reality conditions $\phi_{lm} = (-1)^m \phi_{l-m}$. Then, the equation of motion outside the matter $\phi_{l0,\mu} = 0$ can be written as

$$
\partial_t^2 \phi_{lm} - \partial_r^2 \phi_{lm} + V_{\phi} \phi_{lm} = 0,
$$

$$
V_{\phi} = f(r) \left( \frac{f'}{r^2} + \frac{\ell(\ell + 1)}{r^2} \right).
$$

It should be noted that the quantization of metric perturbations can be performed in the same way as that of the scalar field explained below.

#### 3.1. Quantization

Let us quantize the scalar field $\psi$ by promoting $\psi$ to the operator:

$$
\psi = \sum_{l,m} \int d\omega \frac{1}{\sqrt{2\omega}} \left[ a_{l0m}^1 \frac{1}{r} Y_{lm} u_{l0}'(t, r^*) + a_{l0m}^{*1} \frac{1}{r} Y_{lm}^* u_{l0}(t, r^*) \right].
$$
In the above formula, \( \frac{1}{\sqrt{2\omega_1}} Y_{\ell m} u_{\omega_1} \) are complete orthonormal basis satisfying the Klein–Gordon equation

\[
-\frac{\partial^2}{\partial t^2} u_{\omega_1} + \frac{\partial^2}{\partial r^2} u_{\omega_1} - f(r) \left( \frac{f'}{r} + \ell (\ell + 1) \right) u_{\omega_1} = 0.
\] (49)

Note that this equation is independent of \( m \), so we used \( u_{\omega_1} \) instead of \( u_{\omega_1m} \). Here, the norm is defined by the inner product

\[
(\psi_1, \psi_2) = -i \int_\Sigma \sqrt{-g} \, d\Sigma^\mu (\psi_1 \partial_\mu \psi_2^* - \psi_2^* \partial_\mu \psi_1),
\] (50)

where \( \Sigma \) is a Cauchy surface and \( d\Sigma^\mu \) means area element times a unit normal vector. Now, the canonical commutation relation implies the following commutation relations:

\[
[a_{\omega_1m}, a_{\omega_1'\ell'}^{\dagger}] = \delta(\omega - \omega') \delta_{\ell,\ell'} \delta_{m,m'}.
\] (51)

Using the property of spherical harmonics \( Y_{\ell m} = (-1)^m Y^{\ell-m}_m \), we can rewrite (48) as

\[
\varphi = \sum_{\ell m} \int d\omega \frac{1}{\sqrt{2\omega}} \left[ a_{\omega_1m} u_{\omega_1}(t, r^*) + (-1)^m a_{\omega_1-m}^{\dagger} u_{\omega_1}(t, r^*) \right] Y_{\ell m} \frac{r}{r}. 
\] (52)

Let us define \( \phi_{\ell m} \) by \( \varphi = \sum \phi_{\ell m} Y_{\ell m}/r \). Then, we have

\[
\phi_{\ell m} = \int d\omega \frac{1}{\sqrt{2\omega}} \left[ b_{\omega_1m} u_{\omega_1}(t, r^*) + d_{\omega_1m}^{\dagger} u_{\omega_1}(t, r^*) \right].
\] (53)

From equation (52), we see the following relations:

\[
b_{\omega_1m} = a_{\omega_1m}, \quad d_{\omega_1m} = (-1)^m a_{\omega_1-m}.
\] (54)

These relations are equivalent to

\[
\phi_{\ell m}^{\dagger} = (-1)^m \phi_{\ell-m},
\] (55)

which is nothing but the reality condition for \( \varphi \). And this reality condition suggests that we can only consider \( m \geq 0 \) modes. From (51) and (54), we can deduce the commutation relations for \( b_{\omega_1m} \) and \( d_{\omega_1m} \) for \( m \geq 0 \) modes. The results are as follows.

- \( m = 0 \) modes

  We can define \( b_{\omega_10} = a_{\omega_10} = d_{\omega_10} = c_{\omega_1} \) from relations (54). Using the commutation relations (51), we can show that

\[
[c_{\omega_1}, c_{\omega_1'}^{\dagger}] = \delta(\omega - \omega') \delta_{\ell,\ell'}.
\] (56)

The commutation relations show that \( \phi_{00} \) are real scalar fields whose annihilation operators are \( c_{\omega_1} \). Note that these scalar fields \( \phi_{00} \) have action (46).

- \( m > 0 \) modes

  From the commutation relations (51), it is easy to show

\[
[b_{\omega_1m}, b_{\omega_1'm'}^{\dagger}] = \delta(\omega - \omega') \delta_{\ell,\ell'} \delta_{m,m'}, \quad [d_{\omega_1m}, d_{\omega_1'm'}^{\dagger}] = \delta(\omega - \omega') \delta_{\ell,\ell'} \delta_{m,m'}.
\] (57)

Thus, \( \phi_{\ell m} \) (\( m > 0 \)) are complex scalar fields whose annihilation operators are \( b_{\omega_1m} \) and \( d_{\omega_1m} \). Note that complex scalar fields \( \phi_{\ell m} \) have action (46).
3.2. Bogolubov transformations

To introduce the Bogolubov transformation, we define complete orthonormal basis

\[ v_{\omega \ell m} = \frac{1}{\sqrt{2 \omega r}} Y_{\ell m} u_{\omega l} \]  

(58)

Suppose that there are two kinds of complete orthonormal basis of \( \varphi, \{ v_{\omega \ell m} \} \) and \( \{ \tilde{v}_{\omega \ell m} \} \). As both \( \{ v_{\omega \ell m} \} \) and \( \{ \tilde{v}_{\omega \ell m} \} \) are complete basis, we can expand \( \varphi \) in two ways

\[ \varphi = \sum_{\ell m} \int d\omega (a_{\omega \ell m} v_{\omega \ell m} + a_{\omega \ell m}^* v_{\omega \ell m}^*) = \sum_{\ell m} \int d\omega (\tilde{a}_{\omega \ell m} \tilde{v}_{\omega \ell m} + \tilde{a}_{\omega \ell m}^\dagger \tilde{v}_{\omega \ell m}^*), \]  

(59)

where \( a_{\omega \ell m} \) and \( \tilde{a}_{\omega \ell m} \) are the annihilation operators for \( v_{\omega \ell m} \) and \( \tilde{v}_{\omega \ell m} \), respectively. Using these annihilation operators, we can define two kinds of vacua as

\[ a_{\omega \ell m} | 0 \rangle = 0, \quad \tilde{a}_{\omega \ell m} | 0 \rangle = 0. \]  

(60)

Due to the completeness of \( \{ v_{\omega \ell m} \} \) and \( \{ \tilde{v}_{\omega \ell m} \} \), we can expand \( v_{\omega \ell m} \) as

\[ v_{\omega \ell m} = \sum_{\ell m'} \int d\omega' (a_{\omega \ell m'}^* \tilde{v}_{\omega' \ell m'} - \beta_{\omega \ell m'}^* v_{\omega' \ell m'}), \]  

or conversely as

\[ \tilde{v}_{\omega \ell m} = \sum_{\ell m'} \int d\omega' (a_{\omega \ell m'} v_{\omega' \ell m'} + \beta_{\omega \ell m'}^* \tilde{v}_{\omega' \ell m'}), \]  

(62)

where \( a_{\omega \ell m', \ell m''} \) and \( \beta_{\omega \ell m', \ell m''} \) are called the Bogolubov coefficients. From the above formula, we can deduce the Bogolubov coefficients as

\[ a_{\omega \ell m', \ell m''} = (v_{\omega \ell m'}, v_{\omega \ell m''}), \quad \beta_{\omega \ell m', \ell m''} = -(\tilde{v}_{\omega \ell m'}, v_{\omega \ell m''}). \]  

(63)

Since both complete basis are proportional to the spherical harmonics \( Y_{\ell m} \), the Bogolubov coefficients are proportional to \( \delta_{\ell, \ell'} \) and \( \delta_{m, m'} \), that is

\[ a_{\omega \ell m', \ell m''} = \delta_{\ell, \ell'} \delta_{m, m'}, \quad \beta_{\omega \ell m', \ell m''} = (-1)^m \beta_{\omega \ell m', \ell m''} \delta_{\ell, \ell'} \delta_{m, m'}. \]  

(64)

Substituting (61) and (62) into (59) and using (64), we can get the Bogolubov transformations between \( a_{\omega \ell m} \) and \( \tilde{a}_{\omega \ell m} \) as follows:

\[ a_{\omega \ell m} = \sum_{\ell', m'} \int d\omega' (a_{\omega \ell m', \ell m'} \tilde{a}_{\omega' \ell m'} + \beta_{\omega \ell m', \ell m'}^* \tilde{a}_{\omega' \ell m'}^\dagger) = \int d\omega' (a_{\omega \ell m', \ell m'} \tilde{a}_{\omega' \ell m'} + (-1)^m \beta_{\omega \ell m', \ell m'}^* \tilde{a}_{\omega' \ell m'}^\dagger), \]  

\[ \tilde{a}_{\omega \ell m} = \sum_{\ell', m'} \int d\omega' (a_{\omega \ell m', \ell m'}^* a_{\omega' \ell m'} - \beta_{\omega \ell m', \ell m'} a_{\omega' \ell m'}^\dagger) = \int d\omega' (a_{\omega \ell m', \ell m'}^* a_{\omega' \ell m'} - (-1)^m \beta_{\omega \ell m', \ell m'} a_{\omega' \ell m'}^\dagger). \]  

(65)

Furthermore, substituting the second relation in (65) into the first relation in (65), we see that the Bogolubov coefficients satisfy

\[ \int d\omega'' (a_{\omega'' \ell', \ell'} a_{\omega'' \ell, \ell'}) \beta_{\omega'' \ell', \ell'} = \delta_{\omega'' \omega}, \quad \int d\omega'' (a_{\omega'' \ell', \ell'} \beta_{\omega'' \ell, \ell'}) = 0. \]  

(66)

So far, we have considered the Bogolubov transformation for \( \varphi \). We can also describe the Bogolubov transformation in terms of \( \Phi_{\ell m} \) \((m \geq 0)\). In order to get the Bogolubov transformation for \( c_{\omega \ell} \) which is defined by \( c_{\omega \ell} = a_{\omega \ell} \), we set \( m = 0 \) in (65). Then, we get

\[ c_{\omega \ell} = \int d\omega' (c_{\omega' \ell, \ell'} c_{\omega' \ell', \ell'} + \beta_{\omega' \ell, \ell'}^* c_{\omega' \ell', \ell'}^\dagger). \]  

(67)
Similarly, setting \( m > 0 \) in (65), we have the Bogolubov transformation for \( b_{\omega\ell m} \) which is defined in (54):
\[
b_{\omega\ell m} = \int d\omega' (\alpha_{\omega\ell m} b_{\omega'\ell m} + \beta_{\omega'\ell m}^* d_{\omega'\ell m}).
\] (68)
And we obtain the Bogolubov transformation for \( d_{\omega\ell m} \) by considering \( m < 0 \) in (65):
\[
d_{\omega\ell m} = \int d\omega' (\alpha_{\omega\ell m} d_{\omega'\ell m} + \beta_{\omega'\ell m}^* b_{\omega'\ell m}).
\] (69)

Finally, we calculate particles created due to the time evolution of spacetime. It is assumed that \( \{\bar{v}_{\omega\ell m}\} \) is a natural base at the initial time (‘in region’) and \( \{v_{\omega\ell m}\} \) is a natural base at the final time (‘out region’). Furthermore, we assume that the initial state is \( |\bar{0}\rangle \). Note that this state does not change because we use a Heisenberg picture. Under these assumptions, the number spectrum of \( \phi \) particles at the final time can be expressed by
\[
\sum_{\ell m} \langle \bar{0}| N_{\omega\ell m}|\bar{0}\rangle = \sum_{\ell} \left[ \langle \bar{0}| b_{\omega\ell m}^|b_{\omega\ell m}|\bar{0}\rangle + \langle \bar{0}| d_{\omega\ell m}^|d_{\omega\ell m}|\bar{0}\rangle \right].
\] (70)
Then, using Bogolubov transformations for \( b_{\omega\ell m}, c_{\omega\ell} \) and \( d_{\omega\ell m} \), we can calculate the number spectrum
\[
\sum_{\ell m} \langle \bar{0}| N_{\omega\ell m}|\bar{0}\rangle = \sum_{\ell} (2\ell + 1) \int d\omega' |\beta_{\omega\ell m}|^2.
\] (71)
This shows that we need \( \beta_{\omega\ell m} \) defined in (63) and (64) in order to know the number spectrum.

### 3.3. Hawking radiation

Let us consider Hawking radiation by using the spherically symmetric collapsing model described by the Penrose diagram in figure 1. In this figure, \( I^- \) corresponds to ‘in region’ and \( I^+ \) represents ‘out region’.

In order to calculate \( \beta_{\omega\ell m} \), we must define natural basis in ‘in region’ and ‘out region’. We assume that the outside of the collapsing matter is described by the Schwarzschild metric. Then, \( \phi_{\ell m} \) satisfy
\[
\partial^2_t \phi_{\ell m} - \frac{\Delta}{\gamma_{\ell m}} \phi_{\ell m} = 0
\] (72)
in this region. Here, \( V_\phi \) is ignored because \( V_\phi \) damps as \( O(1/r^2) \). Noticing (72), we choose the natural basis \( \{u^\text{in}_{\omega\ell}\} \) and \( \{u^\text{out}_{\omega\ell}\} \) defined by
\[
u^\text{in}_{\omega\ell} \rightarrow e^{-iu_0(r^\ast - r)} \quad \text{(near } I^-), \quad \nu^\text{out}_{\omega\ell} \rightarrow e^{-iu_0(t + r^\ast)} \quad \text{(near } I^+).
\] (73)
Given the complete basis, we can calculate \( \beta_{\omega\ell m} \) defined in (63) and (64).

We have to choose \( \Sigma \) to calculate the inner product in formula (63). Here, we take \( I^- \). Hence, it is necessary to know the behavior of \( \nu_{\omega\ell} \) near \( I^- \). For that purpose, we use geometric optics approximation where the worldline of a particle is a null ray of a constant phase and trace this null line backward to \( I^- \). This approximation is quite good near the horizon \( H^+ \) because the frequency effectively becomes very large in this region. Hereafter, we write \( u = t - r^\ast \) and \( v = t + r^\ast \). Furthermore, we define \( \lambda \) as an affine parameter with respect to \( n^\mu \) which satisfies \( \lambda = 0 \) on \( \gamma_h \). Near \( H^+ \), this parameter can be expressed as
\[
\begin{cases}
\lambda = -Ce^{-\kappa u} \quad \text{(near horizon)} \\
\lambda = Du \quad \text{(near } H^-)
\end{cases}
\]
where $\kappa = 1/4M$ is the surface gravity and $C$ and $D$ are some constants. Because $\lambda = \text{const}$ line is also a null line, geometric optics approximation suggests that $\lambda = \text{const}$ surface is equivalent to a constant phase surface. Then, the constant phase surface $\lambda = -\epsilon$ satisfies

$$
-\epsilon = -Ce^{-\kappa u} \text{ (near horizon)},
$$

$$
-\epsilon = Dv \text{ (near $H^-$)},
$$

and so

$$
u = -\frac{1}{\kappa} \log \left( -\frac{D}{C} v \right).$$

This means that $u_{\text{out}}^{\omega, \omega'}$ approximately behaves as

$$u_{\text{out}}^{\omega, \omega'} \approx \begin{cases} 
0 & \text{(for } v > 0) \\
\frac{1}{\sqrt{2\omega'}} e^{\frac{\kappa}{\omega'} \log \left( -\frac{D}{C} v \right)} & \text{(for } v < 0 \text{ and } |v| \ll 1) 
\end{cases}$$

near $I^-$. Then, we can calculate the inner products in (63) using (76). Note that (76) is a good approximation in $|v| \ll 1$ but the effect from this region is dominant because the phase of $u_{\text{out}}^{\omega, \omega'}$ diverges. Therefore, it is also a good approximation to use expression (76) on $I^-$ in calculating inner products. The results of calculations are

$$\alpha_{\omega, \omega', \ell} = \sqrt{\frac{\omega'}{\omega}} \int_{-\infty}^{0} e^{i\omega' v} \exp \left( \frac{i\omega}{\kappa} \ln(-Dv/C) \right) dv
= \sqrt{\frac{D}{C}} \frac{i\omega}{\kappa} \Gamma \left( 1 + \frac{i\omega}{\kappa} \right)$$

and

$$\beta_{\omega, \omega', \ell} = \sqrt{\frac{\omega'}{\omega}} \int_{-\infty}^{0} e^{-i\omega' v} \exp \left( -\frac{i\omega}{\kappa} \ln(-Dv/C) \right) dv
= -\sqrt{\frac{D}{C}} \frac{i\omega}{\kappa} \Gamma \left( 1 + \frac{i\omega}{\kappa} \right).$$

Figure 1. Penrose diagram for a collapsing model. In this figure, $\lambda$ means an affine parameter.
This gives
\[ |\alpha_{\omega\ell,\omega'}^{\ell}\|^2 = e^{2\pi\omega/\kappa} |\beta_{\omega\ell,\omega'}^{\ell}\|^2. \] (79)

Using the relation
\[ \int d\omega' (|\alpha_{\omega\ell,\omega'}^{\ell}|^2 - |\beta_{\omega\ell,\omega'}^{\ell}|^2) = 1 \] (80)
derived from (66), we obtain the Bogolubov coefficients \( \beta \)
\[ \int d\omega |\beta_{\omega\ell,\omega'}^{\ell}|^2 = \frac{1}{e^{2\pi\omega/\kappa} - 1}. \] (81)

Substituting this result into (71), we find that the observer in the asymptotic region see the thermal spectrum of \( \phi \) particles.

Actually, equation (81) diverges and is proportional to the delta function \( \delta(0) \). We interpret this as
\[ \delta(0) = \lim_{\omega_1 \to 0, L \to \infty} \frac{1}{2\pi} \int_{-\pi L}^{\pi L} \exp i(\omega_1 - \omega)t \] (82)
and introduce the regularization \( \delta(0) = L \) hereafter. Namely, what we obtained is the number of particles created per frequency and per unit time. In the above formula, we have discarded this factor. However, we should keep in mind this fact in the following calculations.

4. Hawking radiation from fluctuating black holes

In this section, we consider the interaction between the scalar field \( \phi \) and gravitons \( \psi \). First, we introduce a method for treating the interaction in the spherically symmetric spacetime [14].

Next, we calculate cubic interaction terms from action (45). Finally, we evaluate the effect of the interaction on Hawking radiation.

Here, we follow the notations in section 3, namely we use \( \phi_{\ell m} \) defined by
\[ \phi = \sum \phi_{\ell m} Y_{\ell m}/r \]
and annihilation operators \( b_{\omega\ell m}, c_{\omega\ell m} \).

4.1. Interacting quantum fields in curved spacetime

Let us consider the Lagrangian
\[ \mathcal{L} = \mathcal{L}_0 + \mathcal{L}_{\text{int}}, \]
where \( \mathcal{L}_0 \) represents the free field part of the action and \( \mathcal{L}_{\text{int}} \) represents the interaction part. Furthermore, we assume that \( \mathcal{L}_{\text{int}} \) vanish both in the remote past (or ‘in region’) and in the remote future (or ‘out region’). Under these assumptions, we can define asymptotic fields
\[ \phi \rightarrow \phi_{\text{in}} \quad \text{(for in region)}, \quad \phi \rightarrow \phi_{\text{out}} \quad \text{(for out region)}, \] (83)
where \( \phi_{\text{in}} \) and \( \phi_{\text{out}} \) satisfy the equation of motion derived from \( \mathcal{L}_0 \). Therefore, using basis \( \left\{ \frac{1}{\sqrt{2\omega}} Y_{\omega m} u_{\omega m}(t, r^*) \right\} \) in ‘in region’ and \( \left\{ \frac{1}{\sqrt{2\omega}} Y_{\omega m} u_{\omega m}(t, r^*) \right\} \) in ‘out region’, we can expand asymptotic fields \( \phi_{\text{in}} \) as
\[ \phi_{\text{in}} = \sum_{\ell m} \int d\omega \left( \bar{a}_{\omega \ell m}^{\text{in}} \frac{1}{\sqrt{2\omega}} Y_{\ell m} u_{\omega m} \right) + \sum_{\ell m} \int d\omega \left( \bar{a}_{\omega \ell m}^{\text{in}} \frac{1}{\sqrt{2\omega}} Y_{\ell m} u_{\omega m} \right), \] (84)
and $\psi^\text{out}$ as

$$\psi^\text{out} = \sum_{\ell m} \int d\omega \left( a^\text{out}_{\omega \ell m} \frac{Y_{\ell m} \mu^\text{in}_{\omega \ell m}}{\sqrt{2\omega}} + \bar{a}^\text{out}_{\omega \ell m} \frac{Y^*_{\ell m} \mu^\text{in}_{\omega \ell m}}{\sqrt{2\omega}} \right).$$

From the above expansion, we can define four kinds of vacua:

$$a^\text{in}_{\omega \ell m} |0 \text{ in}\rangle = 0, \quad \bar{a}^\text{in}_{\omega \ell m} |0 \text{ in}\rangle = 0, \quad a^\text{out}_{\omega \ell m} |0 \text{ out}\rangle = 0, \quad \bar{a}^\text{out}_{\omega \ell m} |0 \text{ out}\rangle = 0. \quad (86)$$

Here, $|0 \text{ in}\rangle$ is a natural vacuum in ‘in region’, while $|0 \text{ out}\rangle$ is natural in ‘out region’. Other vacua are introduced merely for the computational purpose.

Now we calculate the number of particles created due to the time evolution of spacetime in the presence of the interaction. Given the natural vacuum $|0 \text{ in}\rangle$, the number spectrum of $\psi$ becomes

$$\sum_{\ell m} \langle 0 \text{ in} | N^\text{out}_{\omega \ell m} | 0 \text{ in}\rangle = \sum_{\ell m} \langle 0 \text{ in} | a^\text{out}_{\omega \ell m} a^\text{out}_{\omega \ell m} | 0 \text{ in}\rangle$$

$$= \sum_{\ell m} \left[ \langle 0 \text{ in} | e^\text{out}_{\omega \ell m} e^\text{out}_{\omega \ell m} | 0 \text{ in}\rangle + \sum_{0 \leq m < \ell} \{ \langle 0 \text{ in} | b^\text{out}_{\omega \ell m} b^\text{out}_{\omega \ell m} | 0 \text{ in}\rangle + \langle 0 \text{ in} | d^\text{out}_{\omega \ell m} d^\text{out}_{\omega \ell m} | 0 \text{ in}\rangle \} \right]. \quad (87)$$

First, we evaluate $\langle 0 \text{ in} | b^\text{out}_{\omega \ell m} b^\text{out}_{\omega \ell m} | 0 \text{ in}\rangle$. The Bogolubov transformations (68) for $b^\text{out}_{\omega \ell m}$ read

$$\bar{b}^\text{out}_{\omega \ell m} = \int d\omega' \left( \alpha^*_{\omega \ell m} b^\text{out}_{\omega' \ell m} - \beta^{*\dagger}_{\omega \ell m} d^\text{out}_{\omega' \ell m} \right). \quad (88)$$

Then, $b^\text{out}_{\omega \ell m}$ can be expressed by

$$b^\text{out}_{\omega \ell m} b^\text{out}_{\omega \ell m} = \int d\omega' \left| \beta_{\omega \ell m} \right|^2 + \int d\omega'' \int d\omega' \left[ \alpha^*_{\omega \ell m} \alpha^*_{\omega'' \ell m} b^\text{out}_{\omega'' \ell m} b^\text{out}_{\omega \ell m} \right.$$ \n
$$\left. + \beta_{\omega \ell m} \bar{b}^\text{out}_{\omega'' \ell m} d^\text{out}_{\omega'' \ell m} - (\alpha^*_{\omega \ell m} \beta_{\omega'' \ell m} b^\text{out}_{\omega'' \ell m} d^\text{out}_{\omega \ell m} + \text{h.c.}) \right]. \quad (89)$$

The expectation value of this number operator in the state $|0 \text{ in}\rangle$ is given by

$$\langle 0 \text{ in} | b^\text{out}_{\omega \ell m} b^\text{out}_{\omega \ell m} | 0 \text{ in}\rangle = \int d\omega' \left| \beta_{\omega \ell m} \right|^2$$

$$+ \int d\omega'' \int d\omega' \left[ \alpha^*_{\omega \ell m} \alpha^*_{\omega'' \ell m} \langle 0 \text{ in} | b^\text{out}_{\omega'' \ell m} b^\text{out}_{\omega \ell m} | 0 \text{ in}\rangle \right.$$ \n
$$\left. + \beta_{\omega \ell m} \bar{b}^\text{out}_{\omega'' \ell m} \langle 0 \text{ in} | d^\text{out}_{\omega'' \ell m} d^\text{out}_{\omega \ell m} | 0 \text{ in}\rangle - 2 \bar{\alpha}_{\omega \ell m} \beta^*_{\omega'' \ell m} \langle 0 \text{ in} | b^\text{out}_{\omega'' \ell m} d^\text{out}_{\omega \ell m} | 0 \text{ in}\rangle \right] \right]. \quad (90)$$

The first term on the right-hand side of this equation is the same as (71) and so this term represents the conventional Hawking radiation. Hence, other terms in (90) are induced by the interaction. In fact, these terms vanish if there is no interaction. Then, in order to know the effect of the interaction on Hawking radiation, what we have to do is to calculate these terms. For later purpose, we decompose these terms into $\omega'' = \omega'' \delta$ cases and $\omega'' \neq \omega'' \delta$ cases. Using $L = \delta (\omega = 0)$, for example, $\int d\omega'' \int d\omega' \left[ \alpha^*_{\omega \ell m} \alpha^*_{\omega'' \ell m} \langle 0 \text{ in} | b^\text{out}_{\omega'' \ell m} b^\text{out}_{\omega \ell m} | 0 \text{ in}\rangle \right]$ in (90) can be written as

$$\int d\omega' d\omega'' \alpha^*_{\omega \ell m} \alpha^*_{\omega'' \ell m} \langle 0 \text{ in} | b^\text{out}_{\omega'' \ell m} b^\text{out}_{\omega \ell m} | 0 \text{ in}\rangle$$

$$= \int d\omega' d\omega'' \alpha^*_{\omega \ell m} \alpha^*_{\omega'' \ell m} \left[ \langle 0 \text{ in} | b^\text{out}_{\omega'' \ell m} b^\text{out}_{\omega \ell m} | 0 \text{ in}\rangle \right]$$
Therefore, equation (91) can be rewritten as

\[
\langle 0 \mid \frac{\delta(\omega' - \omega'')}{L} \langle 0 \mid b_{\omega', \ell m}^{\text{out}} b_{\omega', \ell m}^{\text{out}} \rangle 0 \rangle + \frac{\delta(\omega' - \omega''')}{L} \langle 0 \mid b_{\omega', \ell m}^{\text{out}} b_{\omega', \ell m}^{\text{out}} \rangle 0 \rangle
\]

The second term in the above equation reads

\[
\langle 0 \mid \frac{\delta(\omega' - \omega'')}{L} \langle 0 \mid b_{\omega', \ell m}^{\text{out}} b_{\omega', \ell m}^{\text{out}} \rangle 0 \rangle = \begin{cases} 
0 \text{ for } \omega' \neq \omega'' \\
0 \text{ for } \omega' = \omega''
\end{cases}
\]

Therefore, equation (91) can be rewritten as

\[
\frac{1}{L} \int d\omega' \left[ |a_{\omega', \ell m}|^2 \langle 0 \mid b_{\omega', \ell m}^{\text{out}} b_{\omega', \ell m}^{\text{out}} \rangle 0 \rangle + \int_{\omega' \neq \omega''} d\omega' d\omega'' \left[ a_{\omega', \ell m} a_{\omega'', \ell m}^* \langle 0 \mid b_{\omega', \ell m}^{\text{out}} b_{\omega'', \ell m}^{\text{out}} \rangle 0 \rangle \right] \right].
\]

Similarly, we can evaluate expectation values \( \langle 0 \mid d_{\omega', \ell m}^{\text{out}} d_{\omega', \ell m}^{\text{out}} \rangle 0 \rangle \) and \( \langle 0 \mid c_{\omega', \ell m}^{\text{out}} c_{\omega', \ell m}^{\text{out}} \rangle 0 \rangle \) in (87) as

\[
\langle 0 \mid d_{\omega', \ell m}^{\text{out}} d_{\omega', \ell m}^{\text{out}} \rangle 0 \rangle = \int d\omega' \left| \beta_{\omega', \ell m} \right|^2 + \int d\omega' \int d\omega'' \left[ \alpha_{\omega', \ell m}^* \alpha_{\omega'', \ell m} \langle 0 \mid d_{\omega', \ell m}^{\text{out}} d_{\omega'', \ell m}^{\text{out}} \rangle 0 \rangle + \beta_{\omega', \ell m}^* \beta_{\omega'', \ell m} \langle 0 \mid d_{\omega', \ell m}^{\text{out}} b_{\omega'', \ell m}^{\text{out}} \rangle 0 \rangle \right] + 2 \Re \{ \alpha_{\omega', \ell m} \alpha_{\omega'', \ell m}^* \langle 0 \mid d_{\omega', \ell m}^{\text{out}} b_{\omega'', \ell m}^{\text{out}} \rangle 0 \rangle \}.
\]

These results are almost the same as \( \langle 0 \mid b_{\omega', \ell m}^{\text{out}} b_{\omega', \ell m}^{\text{out}} \rangle 0 \rangle \) and the terms other than the first term of the above formula have information of the interaction. Substituting (90) and (94) into (87), we find the deviation from the Planck spectrum due to the interaction as

\[
\sum_{\ell} \int d\omega' \int d\omega'' \left[ \langle 0 \mid c_{\omega', \ell m}^{\text{out}} c_{\omega'', \ell m}^{\text{out}} \rangle 0 \rangle + \langle 0 \mid d_{\omega', \ell m}^{\text{out}} d_{\omega'', \ell m}^{\text{out}} \rangle 0 \rangle \right] + \sum_{0 < m \leq \ell} \left( \langle 0 \mid b_{\omega', \ell m}^{\text{out}} b_{\omega', \ell m}^{\text{out}} \rangle 0 \rangle + \langle 0 \mid d_{\omega', \ell m}^{\text{out}} b_{\omega', \ell m}^{\text{out}} \rangle 0 \rangle \right) - 2 \Re \{ \langle 0 \mid c_{\omega', \ell m}^{\text{out}} c_{\omega', (\ell + 1)m}^{\text{out}} \rangle 0 \rangle \} + \langle 0 \mid c_{\omega', \ell m}^{\text{out}} c_{\omega', (\ell - 1)m}^{\text{out}} \rangle 0 \rangle \}
\]

(95)
In order to calculate $\langle 0 \mid b_{\omega \ell m}^{\text{out}} b_{\omega \ell m}^{\text{out}} \mid 0 \rangle$, it is useful to define the basis

$$\left| n_b, \ldots; n_c, \ldots; n_d, \ldots \right. \rangle = \frac{1}{\sqrt{n_b! \cdots n_c! \cdots n_d!}} (b^{\text{out}})^{n_b} \cdots (c^{\text{out}})^{n_c} \cdots (d^{\text{out}})^{n_d} \cdots \left| 0 \rangle,$$

which satisfy the completeness relation

$$1 = \sum \left| n_b, \ldots; n_c, \ldots; n_d, \ldots \right. \rangle \langle n_b, \ldots; n_c, \ldots; n_d, \ldots \mid.$$

(96)

Substituting (96) into just after $\langle \rangle$, and also $\langle \rangle$, similar rules apply to $\langle \rangle$, for example, we can calculate $\langle 0 \mid b_{\omega \ell m}^{\text{out}} b_{\omega \ell m}^{\text{out}} \mid 0 \rangle$ as follows.

- If $\omega'' = \omega'$,

 $$\langle 0 \mid b_{\omega \ell m}^{\text{out}} b_{\omega \ell m}^{\text{out}} \mid 0 \rangle = \sum_{n_{\text{out}}} \sum_{\text{out}} L \left| 0 \mid n_{\text{out}} \mid \right. \langle n_{\text{out}} \mid \}^2 \langle n_{\text{out}} \right| \langle \rangle \langle \rangle,$$

(97)

where $L = \delta(\omega, 0)$.

- If $\omega' \neq \omega''$,

 $$\langle 0 \mid b_{\omega \ell m}^{\text{out}} b_{\omega \ell m}^{\text{out}} \mid 0 \rangle = \sum_{n_{\text{out}}} \sum_{\text{out}} L \left| 0 \mid n_{\text{out}} \mid \right. \langle n_{\text{out}} \mid \} \left[ \sqrt{n_{\text{out}}'' + 1} \langle 0 \mid n_{\text{out}} \rangle \langle \rangle \right. \langle \rangle \left. + 1 \langle \rangle \right] (0 \mid n_{\text{out}} \rangle \langle \rangle \right.$$  

(98)

Similar rules apply to $\langle 0 \mid c_{\omega \ell m}^{\text{out}} c_{\omega \ell m}^{\text{out}} \rangle$ and $\langle 0 \mid d_{\omega \ell m}^{\text{out}} d_{\omega \ell m}^{\text{out}} \rangle$. In the same way, $\langle 0 \mid c_{\omega \ell m}^{\text{out}} c_{\omega \ell m}^{\text{out}} \rangle$ becomes as follows.

- If $\omega' = \omega''$,

 $$\langle 0 \mid c_{\omega \ell m}^{\text{out}} c_{\omega \ell m}^{\text{out}} \rangle = \sum \sum_{n_{\text{out}}} L \langle n_{\text{out}} + 2 \rangle \langle \rangle \langle \rangle \langle \rangle,$$

(99)

- If $\omega' \neq \omega''$,

 $$\langle 0 \mid c_{\omega \ell m}^{\text{out}} c_{\omega \ell m}^{\text{out}} \rangle = \sum_{n_{\text{out}}} \sum_{\text{out}} L \left| 0 \mid n_{\text{out}} \mid \right. \langle n_{\text{out}} \mid \} \left[ \sqrt{(n_{\text{out}}'' + 1)(n_{\text{out}}'' + 1)} \langle 0 \mid n_{\text{out}} \rangle \langle \rangle \right. \langle \rangle \left. + 1 \langle \rangle \right] (0 \mid n_{\text{out}} \rangle \langle \rangle \right.$$  

(100)

And also $\langle 0 \mid d_{\omega \ell m}^{\text{out}} d_{\omega \ell m}^{\text{out}} \rangle$ is given by

$$\langle 0 \mid d_{\omega \ell m}^{\text{out}} d_{\omega \ell m}^{\text{out}} \rangle = \sum_{n_{\text{out}}} \sum_{\text{out}} L \left| 0 \mid n_{\text{out}} \mid \right. \langle n_{\text{out}} \mid \} \left[ \sqrt{(n_{\text{out}}'' + 1)(n_{\text{out}}'' + 1)} \langle 0 \mid n_{\text{out}} \rangle \langle \rangle \right. \langle \rangle \left. + 1 \langle \rangle \right] (0 \mid n_{\text{out}} \rangle \langle \rangle \right.$$
\[
\times \left[ \sqrt{(n_{d}^{\ell} lm + 1)(n_{b}^{\ell} lm + 1)} |0 \text{ in } n_{d}^{\ell} lm + 1, n_{b}^{\ell} lm + 1; \{\text{others}\} \text{ out}\right] \\
\times \left( n_{d}^{\ell} lm, n_{b}^{\ell} lm; \{\text{others}\} \text{ out } |0 \text{ in} \right]. 
\]
These results will be used in the calculation of the S-matrix. Before moving onto calculations of S-matrix elements and clarify the effect of the interaction on Hawking radiation, we need to calculate the interaction Hamiltonian.

4.2. Cubic interaction terms

In this subsection, we derive cubic interaction terms in the following action:
\[
-\frac{1}{2} \int d^{4}x \sqrt{-g} g^{\mu \nu} \phi,_{\mu} \phi,_{\nu}. 
\]
(102)

We put the metric
\[
g_{\mu \nu} = g^{(0)}_{\mu \nu} + h_{\mu \nu}, 
\]
(103)
where \(g^{(0)}_{\mu \nu}\) is the background Schwarzschild metric. In the Regge–Wheeler and the convenient gauge, \(h_{\mu \nu}\) reads
\[
h_{\mu \nu} = \left( \begin{array}{ccc} f \dot{H} & H_{1} & v_{a} \\ 0 & 0 & \end{array} \right). 
\]
(104)

Using this gauge, we obtain the cubic action
\[
-\frac{1}{2} \int d^{4}x \sqrt{-g} g^{\mu \nu} \phi,_{\mu} \phi,_{\nu} \\
\times \left( \begin{array}{ccc} f \dot{H} & H_{1} & v_{a} \\ 0 & 0 & \end{array} \right). 
\]
(105)

We see that scalar and vector perturbations are decoupled, so we will calculate them separately.

4.2.1. Vector perturbations. In action (105), terms including vector perturbations are
\[
\int d^{4}x \sqrt{-g} g^{\mu \nu} \phi,_{\mu} \phi,_{\nu}.
\]
(106)

We expand these variables by harmonics; for example,
\[
u_{a} = \sum_{lm} \nu_{lm} V_{lm}^{a}, \quad \phi = \sum_{lm} \phi_{lm} Y_{lm}, 
\]
where \(V_{lm}^{a}\) are the vector harmonics and \(Y_{lm}\) are the spherical harmonics. The result is
\[
D_{lm; \ell' m'}^{\ell'' m''} \int dr dr \left[ -\frac{1}{f} v_{lm}^{a} \psi_{\ell m} \psi_{\ell' m'} + f u_{lm}^{a} \psi_{\ell m}^{\ell'} \psi_{\ell' m'} \right]. 
\]
(107)

where
\[
D_{lm; \ell' m'}^{\ell'' m''} = \int d\theta d\phi \sqrt{-g} Y_{lm}^{\ell'' m''} Y_{\ell' m'}^{\ell' m'}. 
\]
(108)

It is easy to show the relation
\[
D_{lm; \ell' m'}^{\ell'' m''} = -D_{lm; \ell' m'}^{\ell'' m''}, \quad \ell m; \ell' m' 
\]
using the property \(V_{lm|a}^{a} = 0\). We also see that \(D_{lm; \ell' m'}^{\ell'' m''}\) are pure imaginary numbers proportional to \(\delta_{\ell m, \ell' m'}\) because both vector harmonics and spherical harmonics are proportional to \(e^{im}\). Furthermore, because \(D_{lm; \ell' m'}^{\ell'' m''}\)
are pure imaginary and both harmonics have property such as $Y_{lm} = (-1)^m Y^*_{lm}$, $D^m_{\ell m'; \ell' m''}$, we can derive the following formula, $D^m_{\ell m'; \ell' m''} = -D^m_{\ell m'; \ell' m''}$. Note that we omitted $\sum_{\ell m} \sum_{\ell' m'} \sum_{\ell'' m''}$ in (107). Thus, using (43) and $\phi_{\ell m} = \phi_{\ell m}/r$, we can get cubic interaction terms

$$D^m_{\ell m'; \ell' m''} \int \frac{dr^* dr}{\sqrt{\lambda (\lambda + 1)}} \left[ -\frac{f}{r^2} \frac{\psi_{\ell m}^* \phi_{\ell' m'}^* \phi_{\ell'' m''}^*}{\phi_{\ell m}/r} + \frac{1}{r} \frac{\psi_{\ell m}^* \phi_{\ell' m'}^* \phi_{\ell'' m''}^*}{\phi_{\ell m}/r} \right]. \quad (109)$$

4.2. Scalar perturbations. Terms including scalar perturbations in action (105) read

$$-\frac{1}{2} \int r^2 \sqrt{\gamma} \left[ \frac{1}{f} \phi^2 + f \phi'^2 + \gamma_{ab} \phi^a \phi^b \right] = -\frac{1}{2} \int r^2 \sqrt{\gamma} \left[ \frac{\dot{H}^2}{f} + 2H \dot{\phi} \dot{\phi} - f \dot{\phi}^2 - \frac{2f}{r^2} w_{\ell m} \phi_{\ell m} \phi_{\ell' m'} \right]. \quad (110)$$

Using expansion by spherical harmonics like

$$H = \sum_{\ell m} H_{\ell m} Y_{\ell m}, \quad \phi = \sum_{\ell m} \phi_{\ell m} Y_{\ell m},$$

we can write the action in the following form:

$$-\frac{1}{2} \int r^2 \sqrt{\gamma} \left[ \frac{1}{f} ((f w^*)_{\ell m} - f' w^*_{\ell m}) \phi_{\ell m} \phi_{\ell' m'} + f ((f w^*)_{\ell m} - f' w^*_{\ell m}) \phi_{\ell m} \phi_{\ell' m'} \right] \times 2 \left( \dot{\phi}_{\ell m} - \frac{2r H_{\ell m}}{\gamma} \right) + 2 f w^*_{\ell m} \phi^a_{\ell m} \phi^a_{\ell' m'}, \quad (111)$$

where we have defined

$$C^m_{\ell m'; \ell' m''} = \int \sqrt{\gamma} Y^*_{\ell m} Y_{\ell' m'} Y_{\ell'' m''}. \quad (112)$$

These coefficients $C^m_{\ell m'; \ell' m''}$ have almost the same property as $D^m_{\ell m'; \ell' m''}$. It is easy to derive the relation $C^m_{\ell m'; \ell' m''} = C^m_{\ell' m'; \ell'' m''}$. Because of $Y_{\ell m} \propto \phi_{\ell m} Y_{\ell m}$, $C^m_{\ell m'; \ell' m''}$ are real numbers proportional to $\delta_{\ell m, \ell' m'}$. The reality of $C^m_{\ell m'; \ell' m''}$ implies that $C^m_{\ell m'; \ell' m''} = C^m_{\ell' m'; \ell'' m''} = (-1)^{m+m'+m''} C^m_{\ell'' m''; \ell' m'}. \quad (113)$

Using (19), (23) and $\psi_{\ell m} = \phi_{\ell m}/r$, we can derive cubic interaction terms for scalar perturbations

$$-\frac{1}{2} \int r^2 dr \frac{\lambda + 1}{\lambda} \left[ \left( \frac{2r}{\gamma} \phi^2_{\ell m} \right) + \left( \frac{f}{\gamma} + B(r) \phi^2_{\ell m} \right) + \frac{r f}{\gamma} \phi^2_{\ell m} + \frac{r f}{\gamma} + C(r) \phi^2_{\ell m} + D(r) \phi^2_{\ell m} \right] \times \left( \frac{f}{\gamma} \phi_{\ell m} \phi_{\ell' m'} \phi_{\ell'' m''} \right) + \frac{4r}{\gamma} \phi_{\ell m} \phi_{\ell' m'} \phi_{\ell'' m''} - \frac{E(r)}{\gamma} \phi_{\ell m} \phi_{\ell' m'} \phi_{\ell'' m''}, \quad (113)$$

where

$$A(r) = -\frac{2}{\gamma} - \frac{2(\gamma^2 - 2)}{\gamma T}, \quad B(r) = \frac{T'}{\gamma} - 2 \left( \frac{r f T'}{\gamma^2 T} - \frac{r f T}{\gamma^2 T} \right), \quad C(r) = \frac{2}{\gamma T} - \frac{2(\gamma^2 - 2) - 1}{\gamma T},$$

$$D(r) = \frac{T'}{\gamma^2 T} + \frac{2}{\gamma} - \frac{r f T'}{\gamma^2 T} - \frac{r f T}{\gamma^2 T}, \quad E(r) = \frac{4(\gamma^2 - 2)}{\gamma T}. \quad (114)$$
These function $A(r)$ are regular outside the horizon. However, the other coupling functions in (113) are singular at the horizon because these terms are proportional to $1/f(r)$. We also have a relation

$$A(r) + C(r) + E(r) = 0.$$  \hspace{1cm} (115)

Note that we ignored the terms which are related to the equation of motion for $\psi$ in (113). This is because these terms do not affect our calculation.

4.2.3. Total cubic interaction. We note that the cubic interaction Hamiltonian has the following structure:

$$H_{\text{int}} = C_{\ell m;\ell' m'} \sum_{\text{int}} \int \text{d}r^* \int \text{d}t \, h_{\text{int}}(r) \psi_{\ell m}^* \phi_{\ell m} \phi_{\ell' m'} + D_{\ell m;\ell' m'} \sum_{\text{int}} \int \text{d}r^* \text{d}t \, w_{\text{int}}(r) \phi_{\ell m}^* \phi_{\ell m'} \phi_{\ell' m'}.$$  \hspace{1cm} (116)

From the interaction Hamiltonian (116), the first term of (109) can be reproduced by the replacement

$$w_{\text{int}}(r) \Rightarrow - \frac{1}{\sqrt{\lambda (\lambda + 1)}} \frac{f}{r^2}, \quad \psi_{\ell m} \Rightarrow \psi_{\ell m}^\text{RW}, \quad \phi_{\ell m} \Rightarrow \phi_{\ell m}, \quad \phi_{\ell m'} \Rightarrow \phi_{\ell m'}.$$  \hspace{1cm} (177)

Note that $m$ takes the integer in the range $-\ell \leq m \leq \ell$; $m'$ and $m''$ take the value in the same range. As is shown in section 3, $\phi_{\ell m}$ ($m > 0$) are the complex scalar field and $\phi_{0}$ are the real scalar field. By using reality conditions, we can rewrite the interaction Hamiltonian (116) using only $m \geq 0$ modes. From now on, $m$ moves in the range $0 < m \leq \ell$ unless we specify its range. With this notation, each term for a scalar perturbation in (116) can be rewritten as

$$\sum_{\ell, \ell' \leq m \leq \ell, \ell' \leq m' \leq \ell, \ell' \leq m'' \leq \ell} C_{\ell m;\ell' m'} \psi_{\ell m}^* \phi_{\ell m} \phi_{\ell' m'}. \phi_{\ell' m''} = C_{\ell m;\ell' m'} \psi_{\ell m}^* \phi_{\ell m} \phi_{\ell' m'} \phi_{\ell' m''} + C_{\ell m;\ell' m'} \psi_{\ell m} \phi_{\ell' m'} \phi_{\ell' m''} \phi_{\ell' m''} + C_{\ell m;\ell' m'} \psi_{\ell m' \ell''} \phi_{\ell' m'} \phi_{\ell' m''} \phi_{\ell' m''} + C_{\ell m;\ell' m'} \psi_{\ell m} \phi_{\ell' m'} \phi_{\ell' m''} \phi_{\ell' m''} + \phi_{\ell m} \phi_{\ell' m'} \phi_{\ell' m''} \phi_{\ell' m''} + \phi_{\ell m} \phi_{\ell' m'} \phi_{\ell' m''} \phi_{\ell' m''} + \phi_{\ell m} \phi_{\ell' m'} \phi_{\ell' m''} \phi_{\ell' m''} + \phi_{\ell m} \phi_{\ell' m'} \phi_{\ell' m''} \phi_{\ell' m''} + \phi_{\ell m} \phi_{\ell' m'} \phi_{\ell' m''} \phi_{\ell' m''}.$$  \hspace{1cm} (188)

In this decomposition, we use the property $C_{\ell m;\ell' m'}$ and reality conditions for $\psi_{\ell m}^*$ and $\phi_{\ell m}$. Note that there exist other terms in (118) such as $C_{\ell m;\ell' m'} \phi_{\ell m} \phi_{\ell m'}^* \phi_{\ell m''}^*$. However, such terms must vanish due to the fact $C_{\ell m;\ell' m'} \phi_{\ell m} \phi_{\ell m'}^* \phi_{\ell m''}^*$. Similarly, the terms related to vector perturbations read

$$\sum_{\ell, \ell' \leq m \leq \ell, \ell' \leq m' \leq \ell, \ell' \leq m'' \leq \ell} D_{\ell m;\ell' m'} \psi_{\ell m} \phi_{\ell' m} \phi_{\ell' m''} \phi_{\ell' m''} = D_{\ell m;\ell' m'} \psi_{\ell m} \phi_{\ell' m} \phi_{\ell' m''} \phi_{\ell' m''} + D_{\ell m;\ell' m'} \psi_{\ell m} \phi_{\ell' m} \phi_{\ell' m''} \phi_{\ell' m''} + D_{\ell m;\ell' m'} \psi_{\ell m} \phi_{\ell' m} \phi_{\ell' m''} \phi_{\ell' m''} + D_{\ell m;\ell' m'} \psi_{\ell m} \phi_{\ell' m} \phi_{\ell' m''} \phi_{\ell' m''} + \phi_{\ell m} \phi_{\ell' m} \phi_{\ell' m''} \phi_{\ell' m''} + \phi_{\ell m} \phi_{\ell' m} \phi_{\ell' m''} \phi_{\ell' m''} + \phi_{\ell m} \phi_{\ell' m} \phi_{\ell' m''} \phi_{\ell' m''} + \phi_{\ell m} \phi_{\ell' m} \phi_{\ell' m''} \phi_{\ell' m''} + \phi_{\ell m} \phi_{\ell' m} \phi_{\ell' m''} \phi_{\ell' m''}.$$  \hspace{1cm} (199)
Figure 2. This diagram affects the S-matrix we consider. This diagram corresponds to $K'_{\omega',l'}$.

Figure 3. Loop diagram which appears in the first-order calculation.

\begin{equation}
+ (-1)^{m'} D'^{0}_{\omega';l'-m'} \left( \psi_{\omega'} \phi_{l'} \phi^1_{l'\omega'} - \psi_{\omega'} \phi^0_{l'} \phi^1_{l'\omega'} \right) - (-1)^{m'} D'^{m}_{\omega';l'-m'} \left( \psi_{l'} \phi_{l\omega} \phi^1_{l\omega'} - \psi_{l'} \phi^0_{l} \phi^1_{l\omega'} \right). \quad (119)
\end{equation}

### 4.3. The spectrum of Hawking radiation

In this subsection, we calculate the S-matrix elements which constitute the expectation values (97), (98), (99), (100) and (101) by using the cubic interaction (116). Figure 2 is related to this section. We here only consider the first-order interaction and do not deal with the loop diagram like figure 3. Under these assumptions, we consider the S-matrix elements like

\begin{equation}
\langle \phi \text{ particle } \times 2; \text{ graviton } \times 1 \text{ out} | 0 \text{ in} \rangle. \quad (120)
\end{equation}

Then, we only consider (97) and (98) because the others do not include such S-matrix elements.

Now, we derive the Feynman rules. Recall that $\phi_{l\omega}$ and $\phi_{l0}$ are written as

\begin{equation}
\phi_{l\omega} = \int \frac{d\omega}{\sqrt{2\omega}} \left( u^\phi_{\omega l} \phi^1_{\omega l} + u^{\phi^*}_{\omega l} \phi^0_{l\omega} \right), \quad \phi_{l0} = \int \frac{d\omega}{\sqrt{2\omega}} \left( u^\phi_{\omega l} \phi^1_{\omega l} + u^{\phi^*}_{\omega l} \phi^0_{l\omega} \right), \quad (121)
\end{equation}

where $u^\phi_{\omega l}$ satisfy equation (47) and the boundary condition in ‘in-region’ (73). Then, we can deduce the Feynman rules as follows:

\begin{equation}
\phi^1_{l'\omega'} \left| b^0_{l'\omega'} \right> = \frac{1}{\sqrt{2\omega}} u^\phi_{\omega l} \phi^1_{l\omega} \delta_{l'l'}, \quad \phi^1_{l'\omega'} \left| d^0_{l'\omega'} \right> = \frac{1}{\sqrt{2\omega}} u^{\phi^*}_{\omega l} \phi^1_{l\omega} \delta_{l'l'}, \quad \phi^0_{\omega l} \left| c^0_{l'\omega'} \right> = \frac{1}{\sqrt{2\omega}} u^\phi_{\omega l} \phi^1_{l\omega} \delta_{l'l'}. \quad (122)
\end{equation}

We can also obtain the Feynman rules for $\psi^Z$ and $\psi^R$. Note that $\psi^Z_{l\omega}$ and $\psi^Z_{l0}$ can be expanded as

\begin{equation}
\psi^Z_{l\omega} = \int \frac{d\omega}{\sqrt{2\omega}} \left( u^Z_{\omega l} \phi^1_{\omega l} + u^{Z^*}_{\omega l} \phi^0_{l\omega} \right), \quad \psi^Z_{l0} = \int \frac{d\omega}{\sqrt{2\omega}} \left( u^Z_{\omega l} \phi^1_{\omega l} + u^{Z^*}_{\omega l} \phi^0_{l\omega} \right), \quad (123)
\end{equation}
where \( u^Z_{a'c'} \) satisfy the Zerilli equation and the boundary condition in 'in-region' (73). Then, the Feynman rules for \( \psi^Z \) become

\[
\psi^Z_{C_{m},C_{m}'} = \frac{1}{\sqrt{2\pi}} \alpha^Z_{m} \delta_{C_{m}C_{m}'}, \quad \psi^Z_{d_{a'},d_{a'}} = \frac{1}{\sqrt{2\pi}} \alpha^Z_{a'} \delta_{d_{a'}d_{a'}}, \quad \psi^Z_{\omega^Z_{a'},\omega^Z_{a'}} = \frac{1}{\sqrt{2\pi}} \alpha^Z_{\omega^Z_{a'}} \delta_{\omega^Z_{a'}\omega^Z_{a'}}.
\]

(124)

The same rules apply to \( \psi^{RW} \). Using such rules and equation (118), we can perform the following calculations:

\[
H_{int} |d^Z_{a'c'_{m}a'c'_{m'}}\rangle = \sum_{int} \int d\tau d\theta d\int_{E_{m1}} C_{E_{m1}} E_{m1} \psi_{E_{m1}} \phi_{E_{m1}} |d^Z_{a'c'_{m}a'c'_{m'}}\rangle,
\]

\[
+ \sum_{int} \int d\tau d\theta d\int_{E_{m1}} C_{E_{m1}} E_{m1} \psi_{E_{m1}} \phi_{E_{m1}} |d^Z_{a'c'_{m}a'c'_{m'}}\rangle
\]

\[
= C_{E_{m1}} E_{m1} \int \int_{E_{m1}} \psi_{E_{m1}} \phi_{E_{m1}} \left( u^{Z}_{a'} u^{Z}_{a'} + \bar{u}^{Z}_{a'} u^{Z}_{a'} \right).
\]

(125)

Note that only one term in (118) is related to this calculation. Consider another state \( |d^{RW}_{a'c'_{m}a'c'_{m'}}\rangle \). It is easy to obtain

\[
H_{int} |b^{RW}_{a'c'_{m}a'c'_{m'}}\rangle = \sum_{int} \int d\tau d\theta d\int_{E_{m1}} C_{E_{m1}} E_{m1} \psi_{E_{m1}} \phi_{E_{m1}} |b^{RW}_{a'c'_{m}a'c'_{m'}}\rangle,
\]

\[
+ \sum_{int} \int d\tau d\theta d\int_{E_{m1}} C_{E_{m1}} E_{m1} \psi_{E_{m1}} \phi_{E_{m1}} |b^{RW}_{a'c'_{m}a'c'_{m'}}\rangle
\]

\[
= C_{E_{m1}} E_{m1} \int \int_{E_{m1}} \psi_{E_{m1}} \phi_{E_{m1}} \left( u^{Z}_{a'} u^{Z}_{a'} + \bar{u}^{Z}_{a'} u^{Z}_{a'} \right).
\]

(126)

where the two terms in (118) are relevant. In the same manner, we can consider the terms related to \( \psi^{RW} \). For the state \( |b^{RW}_{a'c'_{m}a'c'_{m'}}\rangle \), we have

\[
H_{int} |b^{RW}_{a'c'_{m}a'c'_{m'}}\rangle = \sum_{int} \int d\tau d\theta d\int_{E_{m1}} C_{E_{m1}} E_{m1} \psi_{E_{m1}} \phi_{E_{m1}} |b^{RW}_{a'c'_{m}a'c'_{m'}}\rangle,
\]

\[
+ \sum_{int} \int d\tau d\theta d\int_{E_{m1}} C_{E_{m1}} E_{m1} \psi_{E_{m1}} \phi_{E_{m1}} |b^{RW}_{a'c'_{m}a'c'_{m'}}\rangle
\]

\[
= D^{m}_{E_{m1}E_{m1}} \int \int_{E_{m1}} \psi_{E_{m1}} \phi_{E_{m1}} \left( u^{Z}_{a'} u^{Z}_{a'} + \bar{u}^{Z}_{a'} u^{Z}_{a'} \right).
\]

(127)

Note that additional sign comes from the antisymmetric property \( D^{m}_{E_{m1}E_{m1}} = -D^{m}_{E_{m1}E_{m1}} \). These results suggest that it is useful to define

\[
K^{int}_{a'c'_{m}a'c'_{m'}} = \sum_{int} \int d\tau d\theta d\int_{E_{m1}} C_{E_{m1}} E_{m1} \psi_{E_{m1}} \phi_{E_{m1}} \left( u^{Z}_{a'} u^{Z}_{a'} + \bar{u}^{Z}_{a'} u^{Z}_{a'} \right).
\]

(128)
In fact, using these notations, all S-matrix elements can be written as (coefficient of (118) \( \times K_{a l^t; a l^f}^{\omega l, m} \)) or (coefficient of (119) \( \times H_{a l^t; a l^f}^{\omega l, m} \)). Noting that the effect of the first term of (109) on \( H_{a l^t; a l^f}^{\omega l, m} \) is given by

\[
- \int \frac{d^* \omega}{\sqrt{8\omega l^* \omega^*}} \frac{1}{\sqrt{k(k+1)}} \frac{1}{r^2} f_{\omega l^t}^{\omega l^*} \phi^* \left( \phi_{a l^t}^{\omega l^*} u_{a l^f}^{\omega l} - u_{a l^f}^{\omega l} \phi^* \right). \tag{129}
\]

Using these notations, we calculate (97) and (98) in the following.

4.3.1. Calculation of (98). First, we consider \( \psi_{l m}^{\omega} \). In formula (98), we only need the cases \( n_{a l^t; a l^f}^{\omega} = 1 \) and one graviton’ or \( n_{a l^t; a l^f}^{\omega} = 0 \), \( n_{a l^t; a l^f}^{\omega} = 2 \) and one graviton’. From (118), for both cases, this graviton should be ’d-particle’. In the former case, (98) becomes

\[
\frac{1}{L^2} \sum_{l^t} \sum_{l^f} \sum_{\omega} \sum_{c} \sqrt{2}(0 \text{ in} |b_{a l^t}^{\omega} l^t b_{a l^f}^{\omega} l^f, d_{a l^t; a l^f}^{\omega} l^t l^f \text{ out}) \left( (b_{a l^t}^{\omega} l^t)^2, d_{a l^t; a l^f}^{\omega} l^t l^f \text{ out} | 0 \text{ in} \right) \]

\[
= \frac{1}{L} \int d\omega \sum_{l^t} \sum_{l^f} \sum_{c} \sqrt{2} \left[ C_{l^t; l^f}^{\omega} K_{a l^t; a l^f}^{\omega l, m} \right]^* \left[ \frac{1}{\sqrt{2}} C_{l^t; l^f}^{\omega} K_{a l^t; a l^f}^{\omega l, m} \right] = \frac{1}{L} \int d\omega m \sum_{l^t} \left| C_{l^t; l^f}^{\omega l, m} \right|^2 K_{a l^t; a l^f}^{\omega l, m} + K_{a l^t; a l^f}^{\omega l, m} + K_{a l^t; a l^f}^{\omega l, m} \tag{130}
\]

where we have introduced the regularization \( (b_{a l^t}^{\omega} l^t, b_{a l^f}^{\omega} l^f, d_{a l^t; a l^f}^{\omega} l^t l^f \text{ out} | b_{a l^t}^{\omega} l^t, b_{a l^f}^{\omega} l^f, d_{a l^t; a l^f}^{\omega} l^t l^f \text{ out} | (\delta(\omega = 0)) \equiv L^3 \). Here, \( L \) has the dimension of the length. Note that we used \( C_{l^t; l^f}^{\omega l, m} \alpha \delta_{a l^t; a l^f} \) in the last equality. In the above calculation, we used the formula \( \sum_{c^t} = L \int d\omega m \). We can get the same result as this for the latter case and adding these results gives

\[
(98) = \frac{1}{L} \int d\omega m \sum_{l^t} \left| C_{l^t; l^f}^{\omega l, m} \right|^2 \left( K_{a l^t; a l^f}^{\omega l, m} + K_{a l^t; a l^f}^{\omega l, m} + K_{a l^t; a l^f}^{\omega l, m} \right). \tag{131}
\]

We only calculated the case that \( \phi_{l m} \) is ’b-particle’ so far. The same calculation leads to the result for ’c-particle’

\[
\frac{1}{L} \int d\omega m \sum_{l^t} \left| C_{l^t; l^f}^{\omega l, m} \right|^2 \left( K_{a l^t; a l^f}^{\omega l, m} + K_{a l^t; a l^f}^{\omega l, m} + K_{a l^t; a l^f}^{\omega l, m} \right). \tag{132}
\]

and that for ’d-particle’

\[
\frac{1}{L} \int d\omega m \sum_{l^t} \left| C_{l^t; l^f}^{\omega l, m} \right|^2 \left( K_{a l^t; a l^f}^{\omega l, m} + K_{a l^t; a l^f}^{\omega l, m} + K_{a l^t; a l^f}^{\omega l, m} \right)
\]

\[
= \frac{1}{L} \int d\omega m \sum_{l^t} \left| C_{l^t; l^f}^{\omega l, m} \right|^2 \left( K_{a l^t; a l^f}^{\omega l, m} + K_{a l^t; a l^f}^{\omega l, m} + K_{a l^t; a l^f}^{\omega l, m} \right). \tag{133}
\]

Taking the summation of \( l \) and \( m \) in (95), the effect of (98) on (95) is

\[
\frac{1}{L} \int d\omega m \sum_{l^t} \sum_{l^f} \sum_{\omega} \left| C_{l^t; l^f}^{\omega l, m} \right|^2 \left( K_{a l^t; a l^f}^{\omega l, m} + K_{a l^t; a l^f}^{\omega l, m} + K_{a l^t; a l^f}^{\omega l, m} \right). \tag{134}
\]

Next, we consider \( \psi_{l m}^{\omega} \). The same calculation leads to almost the same result as (134):

\[
\frac{1}{L} \int d\omega m \sum_{l^t} \sum_{l^f} \sum_{\omega} \left| D_{l^t; l^f}^{\omega l, m} \right|^2 \left( H_{a l^t; a l^f}^{\omega l, m} + H_{a l^t; a l^f}^{\omega l, m} + H_{a l^t; a l^f}^{\omega l, m} \right). \tag{135}
\]

However, because of \( H_{a l^t; a l^f}^{\omega l, m} = -H_{a l^t; a l^f}^{\omega l, m} \), this vanishes.

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4.3.2. Calculation of (97). First, we consider $\psi^Z$. In this case, we must consider $n_{\text{out}} = 1, 2$. If $n_{\text{out}} = 2$, the same calculation as before yields
\[
\frac{1}{L} \int \text{d}\omega'^m \sum_{\ell,m} |(0 \text{ in } b_{\omega'^m}^\phi, a_{\omega'^m}^Z, \text{graviton } \times 1 \text{ out})|^2 = \frac{1}{L} \int \text{d}\omega'^m \sum_{\ell,m} |C_{\ell m}^{\omega'^m} |^2 |K_{\omega'^m}^{\ell m}|^2. \quad (135)
\]
Next, we consider $n_{\text{out}} = 1$. In this case, we must consider 'another $\phi$-particle and one graviton'. Furthermore, if this additional $\phi$-particle is 'b-particle' with $(\bar{\omega}, \bar{\ell}, \bar{m})$, we must consider a different mode $(\omega', \ell, m) \neq (\bar{\omega}, \bar{\ell}, \bar{m})$. Then, we have to calculate the following:
\[
\sum_{(\bar{\omega}, \bar{\ell}, \bar{m}) \neq (\omega', \ell, m)} |(0 \text{ in } b_{\omega'^m}^\phi, b_{\omega'^m}^\phi, \text{graviton } \times 1 \text{ out})|^2,
\]
\[
\sum_{\omega', \ell, m} |(0 \text{ in } b_{\omega'^m}^\phi, c_{\omega'^m}^\phi, \psi^Z \text{ graviton } \times 1 \text{ out})|^2,
\]
\[
\sum_{\omega', \ell, m} |(0 \text{ in } b_{\omega'^m}^\phi, d_{\omega'^m}^\phi, \psi^Z \text{ graviton } \times 1 \text{ out})|^2. \quad (136)
\]
Note that the rule $\sum_n = L \int \text{d}\omega$ should be used when we evaluate these quantities. From (118), we see that the graviton in the first equation of (136) must be 'd-particle'. The result is given by
\[
L \int \text{d}\omega'^m \sum_{(\bar{\omega}, \bar{\ell}, \bar{m}) \neq (\omega', \ell, m)} \sum_{\ell,m} |(0 \text{ in } b_{\omega'^m}^\phi, b_{\omega'^m}^\phi, a_{\omega'^m}^Z, b_{\omega'^m}^Z, \text{graviton } \times 1 \text{ out})|^2
\]
\[
= L \int \text{d}\omega'^m \sum_{(\bar{\omega}, \bar{\ell}, \bar{m}) \neq (\omega', \ell, m)} \sum_{\ell,m} |C_{\ell m}^{\omega'^m} |^2 |K_{\omega'^m}^{\ell m}|^2. \quad (137)
\]
We can also calculate the second equation of (136). In this case, the graviton must be 'd-particle'. The result becomes
\[
L \int \text{d}\omega'^m \sum_{\omega', \ell, m} \sum_{\ell,m} |C_{\ell m}^{\omega'^m} |^2 |K_{\ell m}^{\omega'^m}|^2. \quad (138)
\]
In the last equation of (136), we have to consider all types of gravitons. More precisely, the sign of $\bar{m} - m$ determines 'particle' species. Thus, we obtain
\[
L \int \text{d}\omega'^m \sum_{\omega', \ell, \bar{m}} \sum_{\ell,m} \left[ |(0 \text{ in } b_{\omega'^m}^\phi, b_{\omega'^m}^\phi, a_{\omega'^m}^Z, b_{\omega'^m}^Z, \text{graviton } \times 1 \text{ out})|^2 + |(0 \text{ in } b_{\omega'^m}^\phi, d_{\omega'^m}^\phi, a_{\omega'^m}^Z, b_{\omega'^m}^Z, \text{graviton } \times 1 \text{ out})|^2 + |(0 \text{ in } b_{\omega'^m}^\phi, d_{\omega'^m}^\phi, c_{\omega'^m}^\phi, b_{\omega'^m}^Z, \text{graviton } \times 1 \text{ out})|^2 \right]
\]
\[
= L \int \text{d}\omega'^m \sum_{\omega', \ell, \bar{m}} \sum_{\ell,m} \left[ |C_{\ell m}^{\omega'^m} |^2 |K_{\omega'^m}^{\ell m}|^2 + |C_{\ell m}^{\omega'^m} |^2 |K_{\omega'^m}^{\ell m}|^2 + |C_{\ell m}^{\omega'^m} |^2 |K_{\omega'^m}^{\ell m}|^2 \right]. \quad (139)
\]
This equation is divided into three parts, but only one has nonzero value for fixed $m$ and $\bar{m}$ because $C_{\ell m}^{\omega'^m} \propto \delta_{m,\bar{m}+m'^m}$. Then, after taking the summation of $m'^m$, we have
\[
L \int \text{d}\omega'^m \sum_{\omega', \ell, \bar{m}} \sum_{\ell,m} |C_{\ell m}^{\omega'^m} |^2 |K_{\omega'^m}^{\ell m}|^2. \quad (140)
\]
Summing up equations (135), (137), (138) and (140), we see that the contribution from the tree diagrams to (97) becomes

\[
\frac{1}{L} \int d\omega'' \sum_{\ell} \sum_{e',e''} \sum_{e'''} \sum_{\ell''} |C^{\ell''}_{e''''} |^2 |K_{\omega'' e''''} |^2.
\]  

(141)

So far, we have only considered the case that there are at least one ‘b-particle’ for \( \phi \). Calculating ‘c-particle’ and ‘d-particle’ and taking the summation of \( \ell \) and \( m \) in (95), we obtain

\[
\frac{1}{L} \int d\omega'' \sum_{\ell} \sum_{e',e''} \sum_{e'''} \sum_{\ell''} |C^{\ell''}_{e''''} |^2 |K_{\omega'' e''''} |^2.
\]  

(142)

Next, we consider \( \psi_{RW} \). With similar calculations with (98), we can get the result by replacing \( C \) with \( D \) and \( K \) with \( H \) in (142). The resultant expression becomes

\[
\frac{1}{L} \int d\omega'' \sum_{\ell} \sum_{e',e''} \sum_{e'''} \sum_{\ell''} D_{e''''}^{\ell''''} |^2 |H_{\omega'' e''''} |^2.
\]  

(143)

4.3.3. Summary. To summarize, substituting this S-matrix into (95), we can write down the effect of the interaction on Hawking radiation from the tree diagrams as

\[
\frac{1}{L} \int d\omega'' \sum_{\ell} \sum_{e',e''} \sum_{e'''} \sum_{\ell''} \sum_{\omega''} d\omega'' d\omega''\prime \left(\alpha_{\omega''\omega''}\alpha_{\omega''\omega''\prime}^* + \beta_{\omega''\omega''\prime}\beta_{\omega''\omega''\prime}^*\right)
\times \left(|C^{\ell''}_{e''''} |^2 |K_{\omega'' e''''} |^2 + |D_{e''''}^{\ell''''} |^2 |H_{\omega'' e''''} |^2 \right),
\]  

(144)

where \( \alpha \) and \( \beta \) are calculated in (78). Recall that we should use the rule \( \sum_{\omega''} = L \int d\omega'' \) when we evaluate the second term in the above formula (144) and \( \sum_{\omega''} \) in the second term should be \( \frac{1}{L} \int d\omega'' \) as understood from (93).

4.4. Cancellation of divergences at the horizon

There is the coupling function \( h_{\text{int}} \) which is proportional to \( 1/f(r) \) in the definition of \( K_{\omega''\omega''\prime}^{e,e''} \), so it seems that \( K_{\omega''\omega''\prime}^{e,e''} \) would not converge. However, as we can see, the divergences cancel each other. In the interaction (113), terms proportional to \( 1/f(r) \) are

\[
\sqrt{\frac{\lambda + 1}{\lambda}} \left[ \left( -\frac{2r}{\gamma} f \partial_{e'''}^Z \psi_{\ell''''} + \frac{rf'}{\gamma} f \partial_{e'''} \psi_{\ell''''} \right) \phi_{\ell'm'} \phi_{e'm'}
\right.
\]

\[
\left. + \left( \frac{2r}{\gamma} f \partial_{e'''} \psi_{\ell''''} + \frac{rf'}{\gamma} f \partial_{e'''} \psi_{\ell''''} \right) \partial_{e'''} \phi_{\ell'm'} \partial_{e'''} \phi_{e'm'}
\right.
\]

\[
\left. + \left( \frac{4r}{\gamma} f \partial_{e'''} \psi_{\ell''''} - \frac{rf'}{\gamma} f \partial_{e'''} \psi_{\ell''''} \right) \phi_{\ell'm'} \phi_{e'''} \phi_{e'm'} \right].
\]

or

\[
\sqrt{\frac{\lambda + 1}{\lambda}} \left[ \left( \frac{2r}{\gamma} f \partial_{e'''} \psi_{\ell''''} \phi_{\ell'm'} \phi_{e'm'} - \frac{2r}{\gamma} f \partial_{e'''} \psi_{\ell''''} \phi_{\ell'm'} \phi_{e'm'} + 2 \partial_{e'''} \psi_{\ell''''} \phi_{\ell'm'} \phi_{e'm'} \phi_{e'''} \right)
\right.
\]

\[
\left. + \frac{rf'}{\gamma} f \left( \partial_{e'''} \psi_{\ell''''} \phi_{\ell'm'} \phi_{e'm'} + \partial_{e'''} \psi_{\ell''''} \phi_{\ell'm'} \phi_{e'm'} \phi_{e'''} - 2 \psi_{\ell''''} \phi_{\ell'm'} \phi_{e'm'} \phi_{e'''} \right) \right].
\]  

(145)
Then, the contribution from these interactions to $K_{\omega';\omega;\phi}^{\text{eff}}$ becomes

$$
\int dr^* \frac{1}{\sqrt{8\pi\omega^3/\omega'}} \left[ \frac{2r}{\gamma_1} \left( -\frac{\partial^2 u^{Z^*}}{\partial r^2} u^{\phi^*}_{\omega'} - \frac{\partial^2 u^{Z^*}}{\partial r_1^2} u^{\phi^*}_{\omega'} \right) + 2\frac{\partial u^{Z^*}}{\partial r^*} u^{\phi}_{\omega'} T_{\omega'}^{\phi} + \{ (\omega', \ell') \leftrightarrow (\omega'', \ell'') \} \right] \right.
\left. + \frac{r F}{\gamma_1} \left( \frac{\partial u^{Z^*}}{\partial r^*} u^{\phi^*}_{\omega'} + \partial_r u^{Z^*}_{\omega'} \partial_r u^{\phi^*}_{\omega'} + \{ (\omega', \ell') \leftrightarrow (\omega'', \ell'') \} \right) \right].
$$

where $u^Z$ and $u^\phi$ satisfy the Zerilli equation

$$
\frac{\partial^2 u^{Z^*}}{\partial t^2} - \frac{\partial^2 u^{Z^*}}{\partial r^2} - \frac{\partial^2 u^{Z^*}}{\partial r_1^2} + V_{\text{Zerilli}} u^{Z^*}_{\omega'} = 0,
$$

and the equation of motion for $\phi$:

$$
\frac{\partial^2 u^{\phi^*}}{\partial t^2} - \frac{\partial^2 u^{\phi^*}}{\partial r^2} - \frac{\partial^2 u^{\phi^*}}{\partial r_1^2} + V_{\phi} u^{\phi^*}_{\omega'} = 0.
$$

In these equations, $V_{\text{Zerilli}}$ and $V_{\phi}$ dump sufficiently first as $r^* \to -\infty$ (or near horizon). Therefore, $u^Z$ and $u^\phi$ behave as

$$
\begin{align*}
u^{Z^*}_{\omega'} &\approx a(t - r^*) + \beta(t + r^*) \\
u^{\phi^*}_{\omega'} &\approx \bar{a}(t - r^*) + \bar{\beta}(t + r^*).
\end{align*}
$$

Substituting these expressions into (146), we see that the contribution from near horizon vanishes. Indeed, the contribution from the pattern $(u^Z, u^\phi, \phi) = (a, \bar{a}, \bar{\phi})$ is clearly zero and that from the pattern $(a, \bar{a}, \bar{\phi})$ is canceled out by the pattern $(\beta, \bar{\beta}, \bar{\phi})$. Thus, it turns out that $K_{\omega';\omega;\phi}^{\text{eff}}$ converge by taking into account the equations of motion for $\psi^Z$ and $\phi$.

### 4.5. Deviation from the Planck spectrum

Now, we estimate the effect of interaction (144). To begin with, we estimate $K_{\omega';\omega;\phi}^{\text{eff}}$ and $H_{\omega';\omega;\phi}^{\text{eff}}$ defined by

$$
K_{\omega';\omega;\phi}^{\text{eff}} = \sum_{\text{int}} \int dr^* \frac{h_{\text{int}}}{\sqrt{8\pi\omega^3/\omega'}} u^{Z^*}_{\omega'} (u^{\phi^*}_{\omega'} + \bar{u}^{\phi^*}_{\omega'}),
$$

$$
H_{\omega';\omega;\phi}^{\text{eff}} = \sum_{\text{int}} \int dr^* \frac{h_{\text{int}}}{\sqrt{8\pi\omega^3/\omega'}} u^{\phi^*}_{\omega'} (u^{\phi^*}_{\omega'} - \bar{u}^{\phi^*}_{\omega'}),
$$

where $u^{Z,RW,\phi}_{\omega}$ satisfy the boundary condition in ‘in region’ (73). Using the geometric optics approximation, we can deduce the asymptotic solution

$$
u^{Z,RW,\phi}_{\omega} \approx \begin{cases} e^{-u_{\text{hor}}} - e^{-u_{\text{hor}}} & \text{(as } u \to -\infty) \\ e^{-u_{\text{hor}}} - e^{-u_{\text{hor}}} e^{-\kappa u} & \text{(as } u \to \infty) \end{cases}
$$

where $u = t - r^*$ and $v = t + r^*$. Then, in order to estimate the effect of the interaction, we approximate the solution in two ranges as

$$
u^{Z,RW,\phi}_{\omega} \approx \begin{cases} e^{-u_{\text{hor}}} - e^{-u_{\text{hor}}} & \text{(for } -\infty < u < -\frac{1}{\kappa} \ln \kappa) \\ e^{-u_{\text{hor}}} - e^{-u_{\text{hor}}} e^{-\kappa u} & \text{(for } -\frac{1}{\kappa} \ln \kappa < u < \infty) \end{cases}
$$

and we call the former range ‘outer area’ and the latter range ‘inner area’ (see figure 4).
We separately consider near \( u \sim \infty \) and the other area. We put this boundary at 
\[ u = -\frac{1}{\kappa} \ln \kappa \epsilon. \]

Figure 4. We separately consider near \( u \sim \infty \) and the other area. We put this boundary at 
\[ u = -\frac{1}{\kappa} \ln \kappa \epsilon. \]

We consider the case that all \( u^Z, u^\text{RW}, \phi, \psi \) in \( K_{\omega}^{\text{of}} \) are \( e^{-i \omega \nu}. \) For both the ‘inner area’ and ‘outer area’, this case does not contribute to the integral because this pattern is proportional to the delta function:
\[ \int dt \ e^{i(\omega + \omega' + \omega'')t} \propto \delta(\omega + \omega' + \omega'') = 0. \]

Since we only consider the positive frequency mode, the delta function gives zero. Hence, we need to consider only the mixed cases. We can show that the contributions from the ‘outer area’ always vanish. We have already considered the case that all \( u^Z, u^\text{RW}, \phi, \psi \) are \( e^{-i \omega \nu}. \) Let us consider the cases that one of \( u \) is \( e^{-i \omega \nu}. \) If we consider only the ‘outer area’, this effect is negligible because the integral becomes
\[ \int dr^* dr \ \text{int}(r) \ e^{i(\omega + \omega' - \omega'')r^*} \approx \delta(\omega + \omega' + \omega'') \int dr^* \ \text{int}(r) \ e^{i(\omega + \omega' - \omega'')r^*} = 0. \]

Similarly, we can show that the contributions from the ‘outer area’ for other cases are also zero. Therefore, we can concentrate on the contribution from the ‘inner area’.

We consider only the dominant terms in interactions (109) and (113). First, we check the interaction terms proportional to \( 1/f(r) \). However, these terms do not affect the integral. Because we assumed (149), the same calculation as in the last subsection shows that these terms do not affect. Secondly, we consider the terms which are proportional to \( f \times 1/f \) in (113). These terms are
\[ \frac{4}{\gamma_s} \left( \partial^Z \psi Z^* \phi \partial Z^* \phi - \partial^Z \psi \partial Z^* \phi \right) = \frac{2 f'}{\gamma_s} \left( \partial^Z \psi Z^* \phi \partial Z^* \phi - \partial^Z \psi \partial Z^* \phi \right); \]
again these terms do not affect the integral from the same consideration as in the last subsection.

There are \( O(f^0) \) in (113):
\[ A(r) \partial Z^* \psi \phi + C(r) \partial Z^* \psi \partial Z^* \phi + E(r) \psi Z^* \phi \partial Z^* \phi \]
\[ + B(r) \psi Z^* \phi \partial Z^* \phi \partial Z^* \phi \]

\[ + D(r) \psi Z^* \phi \partial Z^* \phi \partial Z^* \phi . \]
And also exist in (109)

$$\frac{1}{r} \psi^{\text{RW}} e^\phi \partial_r e^\phi.$$  

(154)

In (153), we can show that the first three terms become zero by using the same method as in the last subsection and (115). Furthermore, the other terms in (153) behave like $B(r) \to 0$ and $D(r) \to 0$ as $r \to \infty$; the coupling function in (154) damps as $r \to \infty$. Then, these terms do not affect in the asymptotic region. This means that we can estimate the effect of these terms using not coupling functions but their value at the horizon, that is $B(r = 2M) \sim 1/M$, $D \sim 1/M$ and $1/r \sim 1/M$. Other terms can be neglected because their coupling functions are proportional to $f/r$. In fact, these terms do not affect both near the horizon and in the asymptotic region. Therefore, summarizing these, the dominant interactions in the ‘inner area’ are given by

$$\frac{1}{M} \{\psi^{Z^*} e^\phi \partial_r e^\phi + \psi Z \partial_r \partial^r e^\phi\}$$  

(155)

and

$$\frac{1}{M} \{\psi^{\text{RW}} e^\phi \partial_r e^\phi\}.$$  

(156)

Thus, $K_{\omega^l \omega^l ; \omega^l \omega^l}$ can be estimated as

$$K_{\omega^l \omega^l ; \omega^l \omega^l} \sim \int \, dr^* \, dr \frac{1}{\sqrt{\alpha \omega \alpha'}} \frac{1}{M} \left[ u_{\text{ad}}^{Z^*} e^{\phi^*} u_{\text{ad}}^{\phi^*} + u_{\text{ad}}^{Z^*} \partial_r e^{\phi^*} + u_{\text{ad}}^{Z^*} \partial_r \partial^r e^{\phi^*}\right].$$  

(157)

Note that we ignore the constant factor. And $H_{\omega^l \omega^l ; \omega^l \omega^l}$ is

$$H_{\omega^l \omega^l ; \omega^l \omega^l} \sim \int \, dr^* \, dr \frac{1}{\sqrt{\alpha \omega \alpha'}} \frac{1}{M} \left[ u_{\text{ad}}^{\text{RW}} (u_{\text{ad}}^{\phi^*} \partial_r e^{\phi^*} - u_{\text{ad}}^{\phi^*} \partial_r \partial^r e^{\phi^*})\right].$$  

(158)

However, from (149), we see this vanishes. Then, we consider $K_{\omega^l \omega^l ; \omega^l \omega^l}$ hereafter. We assume $u Z e^\phi = e^{i \omega t} e^{-i \frac{1}{2} \beta e^\phi}$. In the above, the pattern $(u \phi, u \phi, u \phi) = (e^{i \omega t}, e^{i \omega t}, e^{i \omega t})$ has been already considered. Hence, at least, one of $(u \phi, u \phi, u \phi)$ should be $e^{i \frac{1}{2} \beta e^\phi}$. Among these cases, the most dominant one is $(u \phi, u \phi, u \phi) = (e^{-i \frac{1}{2} \beta e^\phi}, e^{-i \omega t}, e^{-i \omega t})$. This is because $u \in (-\frac{1}{2} \ln \kappa \epsilon, \infty)$ implies that

$$\partial_r e^{-i \omega t} e^{-i \frac{1}{2} \beta e^\phi} = -i \omega e^{-i \omega t} e^{-i \frac{1}{2} \beta e^\phi} = O(\epsilon).$$  

(159)

Thus, the case with no derivative of $e^{-i \frac{1}{2} \beta e^\phi}$ is dominant. From these consideration, $K_{\omega^l \omega^l ; \omega^l \omega^l}$ can be estimated as follows:

$$K_{\omega^l \omega^l ; \omega^l \omega^l} \sim \int \, dr^* \, dr \frac{1}{\sqrt{\alpha \omega \alpha'}} \frac{1}{M} \omega e^{i \frac{1}{2} \beta e^\phi} e^{i (\alpha \omega t + \alpha') t}$$

$$\sim \sqrt{\frac{\alpha' \omega'}{\omega}} \frac{1}{M} \int_0^\infty \, dv \, e^{i (\alpha \omega t + \alpha') t} \frac{1}{\sqrt{\frac{v}{\ln \kappa \epsilon}}} e^{-i \frac{v}{\ln \kappa \epsilon}}$$

$$\sim \sqrt{\frac{\alpha' \omega'}{\omega}} \frac{1}{M} \int_0^\infty \, du \, \frac{1}{\sqrt{\frac{u}{\ln \kappa \epsilon}}} e^{-i \frac{u}{\ln \kappa \epsilon}}.$$  

(160)

The integral in the above equation diverges. However, this divergence occurs because we only considered the outside of the horizon. In fact, since the horizon fluctuates due to metric perturbations, we must extend the range of integral to inside the horizon. We assume that the range can be extended by $\epsilon$. Then, the integral converges as

$$\int_{-\epsilon}^{\epsilon} \, du \frac{1}{u \kappa U} e^{iuU} \sim \frac{\epsilon \omega}{\kappa}.$$  

(161)
So, \( K_{\omega',\omega''}^{\text{nl}} \) can be estimated as

\[
K_{\omega',\omega''}^{\text{nl}} \sim \frac{\epsilon}{\kappa M^2} \frac{\sqrt{\omega' \omega''}}{\omega' + \omega''}. \tag{162}
\]

Finally, using this result, we can evaluate the nonlinear effect. Assuming \( C_{\ell'm',\ell''m''}^{\text{rel}} \sim O(1) \), (134) can be deduced as

\[
\frac{1}{L} \int d\omega' d\omega'' \frac{e^{2\omega'} \sqrt{\omega' \omega''}}{\kappa M^2 (\omega' + \omega'')} \sim \frac{1}{L} \frac{e^{2\omega_{\text{cut}}^2} \sqrt{\omega' \omega''}}{\kappa M^2 (\omega' + \omega'')}, \tag{163}
\]

where \( \omega_{\text{cut}} \) is a cut-off frequency. In the same manner, (142) can be estimated as

\[
\frac{1}{L} \int d\omega' \sum_{\omega} \frac{e^{2\omega''} \omega' \bar{\omega}}{\kappa M^2 (\omega' + \bar{\omega})^2} \sim \frac{1}{L} \sum_{\omega} \frac{e^{2\omega_{\text{cut}}^2} \omega' \bar{\omega}}{\kappa M^2 (\omega' + \bar{\omega})^2}. \tag{164}
\]

Here, we note that the Bogolubov coefficients are explicitly given by

\[
\alpha_{\omega,\omega'} \sim \frac{1}{\sqrt{\omega\omega'}} e^{\pi \omega/(2\kappa)} \Gamma \left(1 + \frac{i\omega}{\kappa}\right), \tag{165}
\]

\[
\beta_{\omega,\omega'} \sim \frac{1}{\sqrt{\omega\omega'}} e^{-\pi \omega/(2\kappa)} \Gamma \left(1 + \frac{i\omega}{\kappa}\right), \tag{166}
\]

from which we obtain

\[
|\alpha_{\omega,\omega'}|^2 = e^{2\pi \omega/\kappa} |\beta_{\omega,\omega'}|^2, \quad |\beta_{\omega,\omega'}|^2 = \frac{1}{4\pi \kappa} \frac{\epsilon^{2\pi \omega/\kappa}}{2^{2\pi \omega/\kappa} - 1}. \tag{167}
\]

Now, we can calculate the contribution from (142) to (95) as

\[
\sum_{\omega'} \left(e^{2\pi \omega/\kappa} + 1\right) |\beta_{\omega,\omega'}|^2 \frac{e^{2\omega_{\text{cut}}^2} \omega' \bar{\omega}}{\kappa M^2 \left(\omega' + \bar{\omega}\right)^2} \sim \frac{e^{2\omega_{\text{cut}}^2} \omega' \bar{\omega}}{\kappa M^2 \left(\omega' + \bar{\omega}\right)^2} \frac{e^{2\pi \omega/\kappa} + 1}{2^{2\pi \omega/\kappa} - 1}. \tag{168}
\]

And, the contribution from (134) becomes

\[
\int d\omega' d\omega'' \left[|\alpha_{\omega,\omega'}|^2 |\beta_{\omega,\omega'}|^2 \frac{e^{2\omega_{\text{cut}}^2} \omega' \bar{\omega}}{\kappa M^2 \left(\omega' + \bar{\omega}\right)^2} \right] \sim \frac{e^{2\omega_{\text{cut}}^2} \omega' \bar{\omega}}{\kappa M^2 \left(\omega' + \bar{\omega}\right)^2} \frac{e^{2\pi \omega/\kappa} + 1}{2^{2\pi \omega/\kappa} - 1}. \tag{169}
\]

Taking into account that \( u^2 \) has the dimension of the length, we can recover \( \ell_p \). Thus, it turns out that the cubic interaction modifies Hawking radiation by the term proportional to

\[
\frac{\epsilon^2 \omega_{\text{cut}}^2 \ell_p^2}{\kappa M^2 L} \coth(\pi \omega/\kappa). \tag{169}
\]

This effect is suppressed by the Planck scale. Therefore, the deviation from the Planck distribution is very small but always exists.

5. Conclusion

In this paper, we have studied Hawking radiation from fluctuating black holes. For this purpose, we constructed the canonical quadratic action for metric perturbations and also obtained the cubic interaction terms in a four-dimensional Schwarzschild background. Taking into account the cubic interaction terms in the action for a real scalar field, we derived the deviation from the Planck spectrum by considering only tree-level diagrams. It turned out that the deviation is proportional to \( \coth(\pi \omega/\kappa) \). This result may have implications for black holes produced...
at the LHC and in the early Universe. As is mentioned in the introduction, our analysis is also related to the trans-Planckian problem in Hawking radiation.

There are many works to be done. First of all, it is intriguing to investigate higher order corrections for metric perturbations, i.e. the self-interaction terms of $\psi$. As the amplitude of quantum fluctuations of the metric is estimated as $\ell_p/r_h$, these higher order corrections must be important if black holes become as small as the Planck scale. Considering these terms, we can investigate ‘non-Planckianity’ of Hawking radiation from black holes like non-Gaussianity in inflation [15]. Furthermore, from the AdS/CFT correspondence point of view, these interaction terms will be important for three-point correlation functions in CFT. It is also interesting to generalize the present analysis to higher dimensional Schwarzschild black holes. This generalization is important because higher dimensional black holes might be created at the LHC if the dimension of spacetime is more than 6. The calculations would be more complicated, but the linear equations of motion have been already derived in [16]. Therefore, it would be possible to compute the effect of the interaction even for higher dimensional black holes. It is also possible to consider gauge fields and fermions instead of a scalar field. Finally, since Einstein theory may not be valid near the Planck scale, it would be important to change the gravitational theory from Einstein theory to other theories motivated by quantum gravity. In fact, string theory predicts higher curvature corrections in addition to the Einstein–Hilbert action [17]. Again, the linear analysis has been already done in [18, 19]. Hence, we can extend our analysis to these cases.

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Appendix A. Scalar harmonics and vector harmonics

We classify metric perturbations into scalar and vector perturbations in terms of the symmetry in two-dimensional maximally symmetric space with the line element $d^2s = \gamma_{ab} dx^a dx^b$ and the curvature $k = \pm 1, 0$. They can be expanded by scalar harmonics and vector harmonics. In this appendix, we summarize our conventions.

Scalar harmonics $Y_\ell$ are defined by the eigenvalue equations

$$Y_\rho^{\ell a}|_a = -\gamma_s Y_\rho,$$

where the eigenvalue $\gamma_s$ depends on the index $\rho$. For example, if the two-dimensional curvature is positive $k = 1$, scalar harmonics are nothing but spherical harmonics $Y_{\ell m}$ and the eigenvalue is given by $\gamma_s = \ell(\ell + 1)$. In this case, $\rho$ corresponds to $\ell m$. We impose the normalization conditions

$$\int d^2x \sqrt{\gamma} Y_\rho Y_{\rho'} = \delta_{\rho,\rho'}.$$

Using scalar harmonics, we can define vector harmonics

$$V_\rho^a \equiv \epsilon^{ab} Y_\rho b^b,$$

where $\epsilon_{ab} = \sqrt{\gamma} \epsilon_{ab}$ and $\epsilon_{23} = \epsilon_{32} = -1 = -\epsilon_{32}$. The vector harmonics satisfy the transverse conditions $V_\rho^a|_a = 0$ and eigenvalue equations

$$V_\rho^{a|b}_b = -(\gamma_s - k) V_\rho^a.$$

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Note that the vector harmonics satisfy the normalization conditions
\[ \int d^2 x \sqrt{\gamma} V_{\rho a} V_{\rho'}^a = \gamma_s \delta_{\rho,\rho'} \tag{A.5} \]

**Appendix B. Quadratic action in the (A)dS-Schwarzschild background**

### B.1. 3+1 decomposition

In this appendix, we calculate the quadratic action for metric perturbations using 3+1 decomposition. The background spacetime we consider is a (A)dS-Schwarzschild black hole
\[ ds^2 = -f(r) \, dt^2 + \frac{1}{f(r)} dr^2 + \sum_{a=1}^{d-1} dx_a^2 \tag{B.1} \]
where \( \gamma_{ab} \) denotes the metric of two-dimensional maximally symmetric space with the curvature \( k = \pm 1, 0 \). Using this metric ansatz, Einstein equations with a cosmological constant \( \Lambda R_{\mu\nu} - \frac{R g_{\mu\nu}}{2} + \frac{\Lambda g_{\mu\nu}}{2} = 0 \) read
\[ f' = \frac{(k - f)}{r} - \frac{r}{\Lambda}, \quad f'' = -2(k - f) / r^2. \tag{B.2} \]
This can easily be solved as
\[ f(r) = k - \frac{2M}{r} + \frac{r^2}{\Lambda}. \tag{B.3} \]
The lapse function \( N \) and shift vector \( N^i \) of this spacetime are given by
\[ N = \sqrt{f}, \quad N^i = 0. \tag{B.4} \]
The induced metric is
\[ g_{ij} = \begin{pmatrix} 1/f & 0 & 0 \\ 0 & 0 & \sqrt{\gamma_{ab}} \\ 0 & \sqrt{\gamma_{ab}} & 0 \end{pmatrix}. \tag{B.5} \]
Apparently, the extrinsic curvature of a \( t = \) const surface vanishes:
\[ K_{ij} = 0. \tag{B.6} \]
The spatial curvatures are calculated as
\[ \tilde{R}_{rr} = -\frac{f'}{rf}, \quad \tilde{R}_{ab} = \left( k - f - \frac{r f'}{2} \right) \gamma_{ab}, \quad \tilde{R} = 2\Lambda. \tag{B.7} \]
According to 3+1 decomposition, the Einstein–Hilbert action becomes
\[ S = \int dt \, d^3 x \sqrt{g} \left( \hat{R} + K^{ij} K_{ij} - K^2 - 2\Lambda \right), \tag{B.8} \]
where \( g \) is the determinant of a three-dimensional metric and \( K \) is the trace part of the extrinsic curvature. From now on, we call \( \hat{R} - 2\Lambda \) ‘potential term’ and \( K^{ij} K_{ij} - K^2 \) ‘kinetic term’.

Let us define \( \bar{g} \equiv N \sqrt{g} \) and \( L = \hat{R} + K^{ij} K_{ij} - K^2 - 2\Lambda \). In order to calculate the quadratic action, we must expand \( \bar{g} \) and \( L \); \( \bar{g} = \bar{g}^{(0)} + \bar{g}^{(1)} + \bar{g}^{(2)} + \cdots \) and \( L = L^{(0)} + L^{(1)} + L^{(2)} + \cdots \), where \( n \) means the \( n \)th-order perturbation. Substituting these expressions into (B.7), we obtain
\[ S = \int dt \, d^3 x \left[ \bar{g}^{(0)} + \bar{g}^{(1)} + \bar{g}^{(2)} + \cdots \right] \left[ L^{(0)} + L^{(1)} + L^{(2)} + \cdots \right] \]
\[ = \int dt \, d^3 x \left[ \bar{g}^{(0)} L^{(0)} + \bar{g}^{(1)} L^{(0)} + \bar{g}^{(2)} L^{(1)} + \bar{g}^{(1)} L^{(1)} + \bar{g}^{(0)} L^{(2)} + \cdots \right]. \tag{B.8} \]
In the above action (B.8), we note \( L^{(0)} = 0 \) from (B.5) and (B.6). We also have \( \bar{g}^{(1)} L^{(0)} + \bar{g}^{(0)} L^{(1)} = 0 \) because this term is proportional to background equations of motion.
Furthermore, because the extrinsic curvature of the background vanishes, $L(1)$ can be calculated as

$$L(2) = \hat{R}(2) + K^{(1)}_{ij} K^{(1)}_{ij} - (K^{(1)})^2.$$  \hspace{1cm} (B.9)

Hence, the action becomes

$$S = \int dt d^3x (N\sqrt{g}) (1) \hat{R}(1) + \int dt d^3x N\sqrt{g} (\hat{R}(2) + K^{(1)}_{ij} K^{(1)}_{ij} - (K^{(1)})^2) + \cdots.$$  \hspace{1cm} (B.10)

This states that we only need the first-order lapse function, shift vector and extrinsic curvature. Hereafter, we omit $\hat{}$, that is, $R^{(1)}_{ij}$ means the first-order perturbation of a three-dimensional Ricci tensor, for example.

Finally, we list up formulae for calculating perturbed quantities. The three-dimensional background and perturbed metric are $g_{ij}$ and $h_{ij}$, respectively. With this notation, the first- and second-order perturbations of the inverse metric are

$$g^{(1)}_{ij} = -g_{ik} g_{jl} h_{kl},$$

$$g^{(2)}_{ij} = -g_{ik} g_{jl} h_{kl} + g^{im} g^{jn} h_{mn} h_{kl}.$$  \hspace{1cm} (B.11)

We can calculate Christoffel symbols from the following formulae:

$$\Gamma^{(1)}_{jk} = \frac{1}{2} g^{il} (h_{lj;k} + h_{lk;j} - h_{jk;l}),$$

$$\Gamma^{(2)}_{jk} = \frac{1}{2} g^{il} (h_{lj;k} + h_{lk;j} - h_{jk;l}) + g^{im} g^{jn} h_{mn} \Gamma^{(1)}_{jk}.$$  \hspace{1cm} (B.12)

And, the Ricci tensors are given by

$$R^{(1)}_{ij} = \Gamma^{(1)}_{ij;k} - \Gamma^{(1)}_{ij} \Gamma^{(1)}_{kl} \Gamma^{(1)}_{kl},$$

$$R^{(2)}_{ij} = \Gamma^{(2)}_{ij;k} + \Gamma^{(1)}_{ij} \Gamma^{(1)}_{kl} \Gamma^{(1)}_{kl} - \Gamma^{(1)}_{il} \Gamma^{(1)}_{km} \Gamma^{(1)}_{jk}.$$  \hspace{1cm} (B.13)

Note that $\Gamma^{(1)}$ means a covariant derivative with respect to the three-dimensional background metric $g_{ij}$.

**B.2. Scalar-type perturbations**

We treat scalar perturbations using the Zerilli gauge. Later, we will change the gauge to a more convenient gauge. In the Zerilli gauge, metric perturbations are expressed by

$$h_{\mu\nu} = \begin{pmatrix} f \bar{H} & H_1 & 0 & 0 \\ H_1 & H/f & 0 & 0 \\ 0 & 0 & r^2 K_{ab} & 0 \\ 0 & 0 & 0 & r^2 K_{ab} \end{pmatrix}.$$  \hspace{1cm} (B.14)

Then, up to the first order, the lapse function and the shift vector are given by

$$N = \sqrt{f} \left( 1 - \frac{\bar{H}}{2} \right), \quad N^r = f H_1, \quad N^a = 0, \quad N_r = H_1, \quad N_a = 0,$$  \hspace{1cm} (B.15)

and the induced metric becomes

$$h_{ij} = \begin{pmatrix} H/f & 0 & 0 \\ 0 & 0 & r^2 K_{ab} \\ 0 & r^2 K_{ab} & 0 \end{pmatrix}.$$  \hspace{1cm} (B.16)

First, we calculate the second-order perturbation of ‘potential term’ in the Einstein–Hilbert action. Using formulae (B.11)–(B.13), in the Zerilli gauge, perturbed quantities are calculated as follows.
Inverse metric

\[ g^{(1)rr} = -fH, \quad g^{(1)ra} = 0, \quad g^{(1)ab} = -\frac{1}{r^2}K\gamma^{ab}; \quad (B.17) \]

\[ g^{(2)rr} = fH^2, \quad g^{(2)ra} = 0, \quad g^{(2)ab} = \frac{1}{r^2}K^2\gamma^{ab}. \quad (B.18) \]

Christoffel symbols

\[ \Gamma^{(1)}_{rr} = \frac{H'}{2}, \quad \Gamma^{(1)}_{ra} = \frac{H_a}{2}, \quad \Gamma^{(1)}_{ab} = f \left( rH - \frac{1}{2}(r^2K)' \right) \gamma_{ab}; \]

\[ \Gamma^{(1)}_{a} = -\frac{1}{2r^2f}H^a, \quad \Gamma^{(1)}_{rb} = \frac{1}{2}K'r^a_b, \quad \Gamma^{(1)}_{bc} = \frac{1}{2}(K'_{[b}^a\delta^b_{c]} + K_{[b}^a\delta^b_{c]} - K'^a_{[bc]} \gamma_{cb}); \quad (B.19) \]

\[ \Gamma^{(2)}_{rr} = -\frac{H'}{2}, \quad \Gamma^{(2)}_{ra} = -\frac{H_a}{2}, \quad \Gamma^{(2)}_{ab} = -f \left( rH - \frac{1}{2}(r^2K)' \right) \gamma_{ab}; \]

\[ \Gamma^{(2)}_{a} = \frac{1}{2r^2f}KH^a, \quad \Gamma^{(2)}_{rb} = -\frac{1}{2}KK'r^a_b, \quad \Gamma^{(2)}_{bc} = -\frac{K}{2}(K'_{[b}^a\delta^b_{c]} + K_{[b}^a\delta^b_{c]} - K'^a_{[bc]} \gamma_{cb}). \quad (B.20) \]

Ricci tensor

\[ R^{(1)}_{rr} = -K'' - \frac{2}{r}K' - f' \frac{1}{2}K' + \frac{H'}{r} - \frac{1}{2r^2f}H^a_{[a}, \]

\[ R^{(1)}_{ra} = \left[ \frac{1}{2r}H - \frac{1}{2}K' \right]_a, \]

\[ R^{(1)}_{ab} = -\frac{1}{2}H_{[ab]} + \left[ f \left( rH - \frac{1}{2}(r^2K)' \right)' \right]_a + \left( f' \left( rH - \frac{1}{2}(r^2K)' \right) - \frac{1}{2}rfH' - \frac{1}{2}K'^a_{[a} \right] \gamma_{ab}. \quad (B.21) \]

Scalar curvature

\[ R^{(1)} = g^{(1)}_{ij} R_{ij} + g^{(1)}_{ij} R^{(1)}_{ij} = -\frac{1}{r^2}(H + K)^{[a}_{[a} + \frac{1}{r^2}(-2kK + 2rHf' - r^2f'K' + 2fH + 2rfr(H' - 3K' - rK''))). \quad (B.22) \]

\[ N\sqrt{g} \]

\[ (N\sqrt{g})^{(1)} = r^2\sqrt{\tilde{g}} \left( K + \frac{H}{2} - \frac{\tilde{H}}{2} \right). \quad (B.23) \]

Using these results, we can obtain ‘potential terms’ of the action. The second-order scalar curvature perturbation can be calculated as \( R^{(2)} = g^{(2)ij} R_{ij} + g^{(1)ij} R^{(1)}_{ij} + g^{(1)} R^{(2)}_{ij}. \) The first two terms can be easily calculated by using the previous results. Using equation (B.13), the last term can be written as

\[ g^{ij} \left( \Gamma^{(1)}_{ijkl} - \Gamma^{(1)}_{ij} r^{(1)}_{lm} \Gamma^{(1)}_{jml} - \Gamma^{(1)}_{ij} r^{(1)}_{lm} \Gamma^{(1)}_{m} \right). \quad (B.24) \]
In the above equation (B.24), the last two terms must be calculated by using formulae (B.19), while the first two terms can be calculated by using the integration by parts

\[
\int \sqrt{g} N \left( g^{ij} \Gamma^{(2)ij}_{i j} - g^{ij} \Gamma^{(2)ij}_{i j} \right) = \int \sqrt{g} \left( -\partial_i N \right) \left( \frac{\gamma_{ab}}{r^2} \Gamma^{(2)r}_{ab} - f \Gamma^{(2)_{ra}}_{ra} \right). \tag{B.25}
\]

Thus, we can show that the second-order ‘potential terms’ become

\[
\int \sqrt{g} \left[ \frac{1}{2} H H^\nu_{\nu} - r^2 f' H K' + \frac{1}{2} r^2 f K'^2 - r^2 f K' H' + \frac{1}{2} \left( H - \bar{H} \right) r^2 R^{(1)} \right]. \tag{B.26}
\]

Next, we calculate the ‘kinetic terms’ of the Einstein–Hilbert action. Here, we define \( E_{ij} = N K_{ij} \). At the first order, we have

\[
E_{rr} = \frac{1}{2f} \left( H - 2 f H'_r - f' H_r \right), \quad E_{r a} = -\frac{1}{2} H_{1[a]}, \quad E_{a b} = -\frac{1}{2} (r^2 \bar{K} - 2 r f H_1) \gamma_{a b}. \tag{B.27}
\]

Then, the trace part of the extrinsic curvature is given by

\[
E = g^{ij} E_{ij} = \frac{1}{2} \left( H - 2 f H'_r - f' H_r \right) + \left( \bar{K} - \frac{2 f}{r} H_1 \right) \tag{B.28}
\]

and the quadratic part reads

\[
E_{ij} E^{ij} = \frac{1}{4} \left( H - 2 f H'_r - f' H_r \right)^2 + \frac{f}{2 f^r} H_{1[a]} H_{1[a]} + \frac{1}{2 r^2} (r^2 \bar{K} - 2 r f H_1)^2. \tag{B.29}
\]

Therefore, the second order perturbation of ‘kinetic terms’ can be deduced as

\[
\int \sqrt{g} \left[ -\frac{1}{2} H_{H_{1[a]}} - r^2 f \bar{K}' - \frac{r^2}{f} \bar{H} + 2 r H H_{1[a]} + r^2 \bar{K} H_{1[a]} + \frac{K}{f} (r^2 f H_1) ' \right]. \tag{B.30}
\]

Then, we obtain the total quadratic action for the perturbed metric

\[
\int \sqrt{g} \left[ \frac{1}{2} H H^\nu_{\nu} - r^2 f' H K' + \frac{1}{2} r^2 f K'^2 - r^2 f K' H' + \frac{1}{2} \left( H - \bar{H} \right) r^2 R^{(1)} \right]

- \frac{1}{2} H_{H_{1[a]}} - \frac{r^2}{2 f} \bar{K}' - \frac{r^2}{f} \bar{H} + 2 r H H_{1[a]} + r^2 \bar{K} H_{1[a]} + \frac{K}{f} (r^2 f H_1) '. \tag{B.31}
\]

We can derive the constraint equations taking the variation of this second-order action with respect to \( H_1 \) and \( \bar{H} \). However, we cannot easily solve the resultant constraint equations. Therefore, we need to change the gauge from the Zerilli gauge to the convenient gauge defined by (7). This gauge transformation can be obtained from (6). The gauge transformation we use is

\[
\left( \begin{array}{ccc}
\frac{f \bar{H}}{\text{sym}} & H / f & 0 \\
\text{sym} & 0 & 0 \\
0 & 0 & 0
\end{array} \right) \rightarrow \left( \begin{array}{ccc}
\frac{f \bar{H}^Z}{\text{sym}} & 0 & 0 \\
\text{sym} & 0 & 0 \\
0 & 0 & 0
\end{array} \right), \tag{B.32}
\]

where the index \( Z \) means the Zerilli gauge. This gauge transformation can be obtained by setting \( \xi_{\mu} = (0, -w, 0, 0) \). Then, from (6), the relation between these variables can be read off as

\[
\bar{H}^Z = \bar{H} + f' w, \quad H_1^Z = H_1 - w, \quad H^Z = H - 2 f w' - f' w, \quad K^Z = -\frac{2 f w}{r}. \tag{B.33}
\]
Substituting the transformation rules \( \text{B.33} \) into action \( \text{B.31} \), we get the quadratic action for metric perturbations in the convenient gauge as:

\[
\int \sqrt{\gamma} \left[ -\frac{1}{2} H_i H^{i}_{\alpha} + \tilde{w}^{\alpha}_{\beta} H_i - \frac{1}{2} \tilde{w} \tilde{w}^{\alpha}_{\beta} + 2r \tilde{H} H_i + \frac{2f + rf'}{2r} w H^{i}_{\alpha} + \frac{(fr')'}{2} H^2 - \frac{kr^2 w}{r^2} H^{i}_{\alpha} + \frac{1}{2} \tilde{H} \left( H - 2f w' - f'' w - \frac{2f' w}{r} \right) \tilde{H}^{i}_{\alpha} - (2rf H') \tilde{H}^{i}_{\alpha} \right]. \tag{B.34}
\]

Now, we expand metric perturbations by scalar harmonics and only consider the real mode (for example, if \( k = 1, m = 0 \) modes are real). Of course, other modes can be calculated and we can get almost the same result as real modes. After the expansion, the quadratic action becomes:

\[
\int \text{d}r \text{d}t \left[ \frac{\gamma_r}{2} H^2 - \gamma_s w H_i + \frac{\gamma_r}{2} \tilde{w}^2 + 2r \tilde{H} H_i \right.
\]

\[
\left. - \gamma_s \frac{2f + rf'}{2r} w H + \frac{(fr')'}{2} H^2 + \gamma_s \frac{kr^2 w}{r^2} \tilde{w}^2 - \frac{1}{2} \tilde{H} q_2 \right]. \tag{B.35}
\]

where

\[
q_1 = 2rf H - 2\gamma_s f w, \quad q_2 = \gamma_s \left( H + f'w - \frac{2fw}{r} \right) + q_1 \tag{B.36}
\]

or

\[
w = \frac{r}{\gamma_s T(r)} \left( q_2 - q_1' - \frac{\gamma_s}{2rf} q_1 \right) \tag{B.37}
\]

\[
H = \frac{1}{T(r)} \left( q_2 - q_1' + \frac{f' - 2f}{2rf} q_1 \right), \quad T(r) \equiv rf' - 2f + \gamma_s. \tag{B.38}
\]

Therefore, the Hamiltonian constraint equation obtained by varying the action with respect to \( \tilde{H} \) gives

\[
q_2 = 0 \tag{B.39}
\]

and the momentum constraint equation obtained by varying \( H_i \) is

\[
H_i = \tilde{w} - \frac{2r H}{\gamma_s}. \tag{B.40}
\]

Substituting \( \text{B.38}, \text{B.39} \) and \( \text{B.40} \) into \( \text{B.35} \), we get the quadratic action which is a functional of \( q_1 \). Using a new variable \( \phi \equiv q_1/T(r) \) instead of \( q_1 \), we obtain the quadratic action

\[
\int \text{d}r \text{d}t \left[ \frac{\lambda}{2} \left( \partial_\phi \right)^2 - \frac{\lambda}{2 \lambda + k} \left( \partial_\phi \right)^2 - \frac{1}{2} \frac{\lambda}{\lambda + k} V_Z(\phi)^2 \right]. \tag{B.41}
\]

where we defined \( \lambda = (\gamma_s - 2k)/2 \) and \( V_Z \) is given by

\[
V_Z = -\frac{f}{4(r^2 f' - 2rf + 2r\lambda + 2rf)^2} \left[ 8\lambda (k + \lambda)^2 + 4(k + \lambda)^2 r f' + 2(2k + \lambda) r^2 f^2 + r^3 f^3 \right.
\]

\[
+ 4f^2 (2\lambda + r f') - 4f \left[ 2\lambda (2k + \lambda) + 2(k + \lambda) r f' + r^2 f^2 \right] \]

\[
\left. = \frac{2f \lambda^2 (k + \lambda)^2 + 3\lambda^2 M^2 + (9\lambda^2 - 3r^2 \lambda) M^2 + 9M^3}{(r^2 + 3M)^2}. \right]
\]

Finally, defining

\[
\psi^Z \equiv \sqrt{\frac{\lambda}{\lambda + k}} \phi \tag{B.43}
\]
and using the tortoise coordinate, we obtain the canonical quadratic action for scalar-type perturbations
\[
\int \, dr^* \, dt \left[ \frac{1}{2} \left( \partial_t \psi Z \right)^2 - \frac{1}{2} \left( \partial_r \psi Z \right)^2 - \frac{1}{2} V_Z(r)(\psi Z)^2 \right].
\] (B.44)

### B.3. Vector-type perturbations

Next, we derive the quadratic action for vector perturbations using the Regge–Wheeler gauge
\[
h_{\mu\nu} = \begin{pmatrix} \begin{array}{cc} 0 & v_a \\ 0 & w_a \end{array} \end{pmatrix},
\] (B.45)
where \(v_a\) and \(w_a\) satisfy the transverse conditions \(v_a |^a = 0, w_a |^a = 0\). In this gauge, the zeroth- and first-order lapse functions and shift vector are given by
\[
N = \sqrt{f}, \quad N^r = 0, \quad N^a = \frac{\nu^a}{r^2},
\] (B.46)
and the induced metric becomes
\[
h_{ij} = \begin{pmatrix} \begin{array}{cc} 0 & w_a \\ 0 & 0 \end{array} \end{pmatrix}.
\] (B.47)

First, we consider the second-order ‘potential term’ in the Einstein–Hilbert action. In our gauge, perturbed quantities are calculated as follows.

- **Inverse metric**
  \[
g^{(1)rr} = 0, \quad g^{(1)ra} = -\frac{f}{r^2} \, w_a, \quad g^{(1)ab} = 0;
\] (B.48)
  \[
g^{(2)rr} = \frac{f^2}{r^2} \, w_a w_a, \quad g^{(2)ra} = 0, \quad g^{(2)ab} = \frac{f}{r^2} \, u^a \, w^b.
\] (B.49)

- **Christoffel symbols**
  \[
\Gamma^{(1)}_{rr} = 0, \quad \Gamma^{(1)ra} = -\frac{f}{r} \, w_a, \quad \Gamma^{(1)ab} = \frac{f}{2} \left( w_a \Theta + w_b \Theta \right),
\] (B.50)
  \[
\Gamma^{(2)}_{rr} = -\frac{f}{r^2} \, w_a \left( w^a + \frac{f'}{2f} \, w^a \right), \quad \Gamma^{(2)ra} = -\frac{f}{2r^2} \, w_b \left( u_a w_b - u^a \right), \quad \Gamma^{(2)ab} = \frac{f}{r^2} \, w_a \Theta \, w_b \Theta;
\] (B.51)

- **Ricci tensor**
  \[
R^{(1)}_{rr} = 0, \quad R^{(1)ra} = \left( -\frac{f}{r^2} - \frac{f'}{2f} \right) \, w_a + \frac{1}{2r^2} \left( k w_a - w_a |^a \right),
\] (B.52)
This proves that the first-order scalar curvature $R^{(1)}$ vanishes. Therefore, in (B.10), we only calculate $\int d^4x \sqrt{g} K^{(2)}$ for the ‘potential term’. This term is easily calculated using technique (B.25) and the result is

$$\int \sqrt{g} \frac{f}{2r^2} (kw^a w_a + w_a w^{[b]} w^b) .$$ \hfill (B.53)

Next, we calculate the ‘kinetic term’. The first-order $E_{ij} = NK_{ij}$ are

$$E_{rr} = 0, \quad E_{ra} = \frac{1}{2} \left( w_v^a + \frac{2}{r} v_a - v'_a \right), \quad E_{ab} = -\frac{1}{2} (v_v^a + v_{[b]} v_{[a]}).$$ \hfill (B.54)

These lead $E = g^{ij} E_{ij} = 0$ and

$$E_{ij} E^{ij} = \frac{f}{2r^2} \left( w_v^a + \frac{2}{r} v_a - v'_a \right) \left( w_v^a + \frac{2}{r} v_a - v'_a \right) + \frac{1}{4r^4} (v_v^a + v_{[b]} v_{[a]})(v_v^b + v_{[a]} v_{[b]}).$$ \hfill (B.55)

Then, the second-order ‘kinetic term’ is given by

$$\int \sqrt{g} \left[ \frac{1}{2} \left( w_v^a + \frac{2}{r} v_a - v'_a \right) \left( w_v^a + \frac{2}{r} v_a - v'_a \right) - \frac{1}{2f r^2} (k v_a v^a + v^{[b]} v_{[a]} v_b) \right] .$$ \hfill (B.56)

Note that we ignored total derivative terms. Thus, we have obtained the total quadratic action for vector-type perturbations:

$$\int \sqrt{g} \left[ \frac{1}{2} \left( w_v^a + \frac{2}{r} v_a - v'_a \right) \left( w_v^a + \frac{2}{r} v_a - v'_a \right) - \frac{1}{2f r^2} (k v_a v^a + v^{[b]} v_{[a]} v_b) + \frac{f}{2r^2} (k w^a w_a + w_a w^{[b]} w_b) \right].$$ \hfill (B.57)

Let us expand metric perturbations by vector harmonics $V^a_k$ and only consider the real modes. The quadratic action becomes

$$2(\lambda + k) \int dr dr \left[ \frac{1}{2} \left( w_v^2 - v' \right) - \frac{\lambda}{2f r^2} v^2 - \frac{\lambda f}{r^2} w^2 \right].$$ \hfill (B.58)

where $\lambda = (\gamma - 2k)/2$ and the overall factor comes from $\int \sqrt{g} V^a_k V^{[a]}$. Taking the variation of this action with respect to $v$, we obtain the constraint equation which cannot be solved easily. However, this difficulty can be circumvented by working in phase space.

From the Lagrangian

$$L = (2\lambda + 2k) \int dr \left[ \frac{1}{2} \left( w_v^2 - v' \right)^2 + \frac{\lambda}{2f r^2} v^2 - \frac{\lambda f}{r^2} w^2 \right],$$

we can define the conjugate momentum $p$ as

$$p = \frac{\delta L}{\delta w} = (2\lambda + 2k) \left( w_v^2 - v' \right),$$

which leads to

$$w_v = \frac{p}{2\lambda + 2k} - \frac{2}{r} v + v'.$$

The Hamiltonian is

$$H = \int dr \ p w - L \quad = (2\lambda + 2k) \int dr \left[ \frac{1}{2} \left( \frac{p}{2\lambda + 2k} \right)^2 + \frac{\lambda f}{r^2} w^2 - \left( \frac{2}{r} \frac{p}{2\lambda + 2k} + \left( \frac{p}{2\lambda + 2k} \right)' + \frac{\lambda v}{r f^2} \right) v \right].$$

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In phase space, the constraint equation can be written as

\[
\frac{2}{r} \frac{p}{2\lambda + 2k} + \left( \frac{p}{2\lambda + 2k} \right)'' + \frac{2\lambda v}{fr^2} = 0. \tag{B.59}
\]

It is easy to solve the above constraint as

\[
v = -\frac{fr^2}{4\lambda(\lambda + k)} \left( \frac{2}{r} p + p' \right). \tag{B.60}
\]

Substituting this result into the Hamiltonian, we have reduced the Hamiltonian

\[
H = (2\lambda + 2k) \int dr \left[ \left( \frac{1}{2} - \frac{(fr)'}{2\lambda} + \frac{f}{\lambda} \right) \left( \frac{p}{2\lambda + 2k} \right)^2 + \frac{fr^2}{4\lambda} \left( \frac{p}{2\lambda + 2k} \right)'^2 + \lambda f r^2 w^2 \right]. \tag{B.61}
\]

which is a functional of \( w \) and \( p \). Let us make the following simple canonical transformation:

\[
Q = -p, \quad P = w, \quad H(w, p) = K(Q, P), \tag{B.62}
\]

where \( K(Q, P) \) is a new Hamiltonian. Then, we have

\[
K(Q, P) = H(P, -Q) = (2\lambda + 2k) \int dr \left[ \left( \frac{1}{2} - \frac{(fr)'}{2\lambda} + \frac{f}{\lambda} \right) \left( \frac{Q}{2\lambda + 2k} \right)^2 + \frac{fr^2}{4\lambda} \left( \frac{Q}{2\lambda + 2k} \right)'^2 + \lambda f r^2 P^2 \right]. \tag{B.63}
\]

Now, we define

\[
\dot{Q} = \frac{\delta K}{\delta P} = \frac{4\lambda(\lambda + k) f}{r^2} P. \tag{B.64}
\]

Thus, the action becomes

\[
\int dr \, dt \, P \dot{Q} - \int dt \, K(Q, P) = \frac{1}{2\lambda + 2k} \int dr \, dt \left[ \frac{r^2}{4\lambda f} \dot{Q}^2 - \left( \frac{1}{2} - \frac{(fr)'}{2\lambda} + \frac{f}{\lambda} \right) Q^2 - \frac{fr^2}{4\lambda} Q'^2 \right]. \tag{B.65}
\]

Finally, changing normalization by

\[
\psi_{RW} = \frac{r}{2\sqrt{\lambda(\lambda + k)}} Q \tag{B.66}
\]

and using the tortoise coordinate, we obtain the canonical quadratic action for the vector perturbation

\[
\int dr^* \, dt \left[ \frac{1}{2} (\partial_t \psi_{RW})^2 - \frac{1}{2} (\partial_r \psi_{RW})^2 - \frac{1}{2} V_{RW}(r) \psi_{RW}^2 \right], \tag{B.67}
\]

where we have defined

\[
V_{RW} = \frac{f}{r^2} (-rf' + 2f + 2\lambda). \tag{B.68}
\]
Appendix C. Symmetry in quadratic action

In this appendix, we identify the symmetry in the quadratic action. As shown in [20], we can rewrite $V_Z$ and $V_W$ as

$$
\begin{align*}
V_Z &= W^2 + \frac{dW}{dr^*} + \omega_s^2, \\
V_W &= W^2 - \frac{dW}{dr^*} + \omega_s^2,
\end{align*}
$$

where

$$
W(r) = \frac{3Mf}{r(\lambda r + 3M)} + i\omega_s, \quad \omega_s = -\frac{i}{3M} \lambda (\lambda + k).
$$

Now we consider the quadratic actions (B.44) and (B.67). Using $W(r)$, this action can be rewritten as

$$
\frac{1}{2} \int dr^* dt [\psi^\dagger \hat{H} \psi],
$$

where $\psi$ represents $(\psi^Z, \psi^{RW})^T$. Here, the operator $\hat{H}$ is defined by

$$
\hat{H} \equiv -\partial_{r^*}^2 + \partial_r^2 - \left(\omega_s^2 + W^2 + \sigma_3 \frac{dW}{dr^*}\right),
$$

where $\sigma_3$ is a Pauli matrix. Then, if there exist operators which commute with $\hat{H}$, the canonical action (C.3) has symmetry. In fact, there are two such operators defined by

$$
\hat{Q}_1 = \sigma_1 - \partial_r W, \quad \hat{Q}_2 = \sigma_2 - \frac{1}{i} \partial_r W,
$$

where $\sigma_1$ and $\sigma_2$ are also the Pauli matrices. These operators satisfy the anti-commutation relation $\{\hat{Q}_1, \hat{Q}_2\} = 0$. Then we can conclude that the quadratic action in the (A)dS-Schwarzschild background has ‘$N = 2$ supersymmetry’.

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