Safety, Immunogenicity, Efficacy and Effectiveness of Inactivated Influenza Vaccines in Healthy Pregnant Women and Children Under 5 Years: An Evidence-Based Clinical Review

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Annual influenza vaccination is often recommended for pregnant women and young children to reduce the risk of severe influenza. However, most studies investigating the safety, immunogenicity, and efficacy or effectiveness of influenza vaccines are conducted in healthy adults. In this evidence-based clinical review, we provide an update on the safety profile, immunogenicity, and efficacy/effectiveness of inactivated influenza vaccines (IIVs) in healthy pregnant women and children <5 years old. Six electronic databases were searched until May 27, 2021. We identified 3,731 articles, of which 93 met the eligibility criteria and were included. The IIVs were generally well tolerated in pregnant women and young children, with low frequencies of adverse events following IIV administration; however, continuous vaccine safety monitoring systems are necessary to detect rare adverse events. IIVs generated good antibody responses, and the seroprotection rates after IIVs were moderate to high in pregnant women (range = 65%–96%) and young children (range = 50%–100%), varying between the different influenza types/subtypes and seasons. Studies show vaccine efficacy/effectiveness values of 50%–70% in pregnant women and 20%–90% in young children against laboratory-confirmed influenza, although the efficacy/effectiveness depends on the study design, host factors, vaccine type, manufacturing practices, and the antigenic match/mismatch between the influenza vaccine strains and the circulating strains. Current evidence suggests that the benefits of IIVs far outweigh the potential risks and that IIVs should be recommended for pregnant women and young children.

Keywords: inactivated influenza vaccine (IIV), safety, immunogenicity, vaccine efficacy, vaccine effectiveness (VE), young children, pregnant women
**HIGHLIGHTS**

Inactivated influenza (flu) vaccine is recommended by the WHO for all pregnant women and children aged 6 months to 5 years. Flu is more likely to cause severe illness in pregnant women, and young children are the main transmitters of the virus. Vaccination against influenza lowers the risk of severe complications from flu during pregnancy, infancy, and early childhood. The benefits of influenza vaccination to the mother and child outweigh the potential risks.

**INTRODUCTION**

Influenza occurs in epidemics of variable impacts every year. Among the four types of viruses—A, B, C, and D—only influenza A and B viruses cause seasonal epidemics. Influenza A virus subtypes are divided by the two major viral membrane glycoproteins, namely, hemagglutinin (HA) and neuraminidase (NA), and influenza B viruses have two lineages (Victoria and Yamagata). Minor changes by point mutations in the RNA gene segments that code for the HA or NA of influenza A and B viruses (antigenic drift) may result in seasonal influenza epidemics of variable intensities and severities (1). Major changes in human influenza A viruses associated with the acquisition of novel HA with or without novel NA proteins occur through genetic reassortment with animal influenza viruses or, potentially, direct zoonotic transmission and are referred to as antigenic shift. Such events led to the emergence of novel viruses, which caused pandemics in 1918 (H1N1), 1957 (H2N2), 1968 (H3N2), and 2009 (H1N1pdm09) (1–3). Zoonotic influenza viruses infect humans through direct transmission, such as avian flu, but only are considered pandemic if they can spread from human to human. The continuous evolution of influenza viruses influences the severity of influenza seasons and poses a continuous threat to human health.

The influenza virus infects all age groups. However, pregnant women and young children are especially at high risk of influenza complications, resulting in serious illness, increased hospitalizations, and mortality (4, 5). The laboratory-confirmed influenza (LCI) hospitalization and mortality rates were 0.4–77 cases (6–9) and 0.3–6.9 cases (6, 8–10) per 100,000 pregnancies, respectively, during the 2009 pandemic. The annual global influenza attack rates are estimated to be higher in children (20%–30%) than that in adults (5%–10%) (11). Influenza accounts for 7%–13% of acute lower respiratory infections in children <5 years globally (5, 12). Unlike in temperate zones where a clear influenza activity peak occurs in the winter, influenza activity can occur year-round in tropical or subtropical countries, with a peak in the monsoon season. In Bangladesh, the influenza incidence among children <5 years old ranged from 6.3 episodes/1,000 child-years in January to 258.3 episodes/1,000 child-years in May during the 2004–2007 seasons (13), whereas in Finland (14), the influenza attack rates were 175 to 179 per 1,000 young children in the 2000–2002 seasons. The risk of influenza-related serious illness or hospitalizations (15–18) and all-cause mortality (19) is higher in young than that in older children, especially in children under 6 months of age (20, 21). The most common complications of pediatric influenza are pharyngitis (range = 31%–58% of LCI), acute otitis media (range = 0%–41%), and febrile seizures or convulsions (range = 0%–45%) (22). The majority of influenza-related deaths in young children occur in developing countries (5, 12). Furthermore, young children play an important role in the community spread of influenza (22).

The role of natural influenza infection in the protection against subsequent infection is not well studied, especially in pregnant women and young children, although most people will have been infected with influenza during early childhood. Influenza infection induces a multifaceted and long-lived immunity, whereas vaccination induces a more specific and short-lived immunity [review in (23)]. However, influenza viruses can escape the infection-established immunity and subsequent natural reinfection occurs (24). A human challenge study suggested that sequential infection with identical influenza A viruses can also occur (25). Therefore, influenza vaccination remains the best preventive method against influenza infection and its related complications (15, 16). Two types of influenza vaccines are available: inactivated influenza vaccines (IIVs) and live attenuated influenza vaccines (LAIVs). LAIVs are used in children >2 years (in Europe and the USA), but are contraindicated in pregnant women, children <2 years old, and immunosuppressed individuals, while IIVs are used in individuals >6 months old and in pregnant women. Influenza vaccine purity has been greatly improved over the last 60 years; however, the whole-virus IIVs were found to be significantly reactive in young children (26). This resulted in the development of split-virus IIVs in which reactogenicity was reduced by detergent treatment of the virus, which, in some cases, is further purified into surface antigen subunits (26).

Twice a year, the World Health Organization (WHO) makes recommendations on the composition of the influenza vaccine, currently including three or four influenza strains (A/H1N1, A/H3N2, and one or two influenza B strains) that are predicted to circulate in the upcoming seasons (trivalent or quadrivalent IIVs, TIV or QIV). Several countries recommend QIV for pregnant women and young children due to the high burden of influenza B illness and the potential of mismatch between the circulating influenza B viruses and the vaccine strains in TIV (27, 28). Pregnant women require one annual IIV dose, while children 6 months to 8 years old require two doses as a prime–boost regime to ensure adequate seroprotection against influenza (29, 30). Thereafter, only one annual dose is required. Comparing vaccine immunogenicity and vaccine effectiveness (VE) estimates between the different vaccines is challenging due to several factors, such as the IIV type (whole-virus, virosome, split-virus, or subunit), with/without adjuvant, and the manufacturing processes (eggs, cell culture, or recombinant protein) (31). Other confounding factors (31) are the vaccinee’s age, preexisting immunity, comorbidities, and antigenic match/mismatch between the vaccine strains and circulating viruses.

IIVs have been used for over 60 years and given to hundreds of millions of people, providing good safety and immunogenicity records (32–39). However, limited evidence exists from randomized controlled trials (RCTs) on the efficacy of maternal
influenza vaccine against serious illnesses (4). Furthermore, the majority of VE studies originate from high-income countries (4), with low- and middle-income countries underrepresented, especially for young children. Here, we provide an evidence-based clinical review on the safety, immunogenicity, and efficacy/effectiveness of IIVs in healthy pregnant women and children <5 years old with an emphasis on data from low- and middle-income countries.

SEARCH STRATEGY

The electronic databases PubMed, Google Scholar, MEDLINE, Embase, WHO International Clinical Trials Registry Platform (ICTRP), and UpToDate were searched using these keywords: “influenza,” “maternal influenza vaccination,” “humans,” “pregnant women,” “young children,” “safety,” “adverse event/effect,” “immunogenicity,” “vaccine effectiveness,” and “inactivated influenza vaccines.” Eligible studies met the following inclusion criteria: 1) published from inception to May 27, 2021, and 2) evaluated the safety profile, immunogenicity, or effectiveness of IIVs in healthy pregnant women or children <5 years old. Studies were excluded based on the title and abstract. Articles resulting from these searches and relevant references cited in those articles were reviewed. We accessed 3,731 studies. After screening, 93 studies were included in this review (Figure 1), of which 36 studies were on IIV safety, 10 and 16 on immunogenicity, and 17 and 33 were on the efficacy/effectiveness of IIVs in pregnant women and children, respectively. Most of the randomized controlled trials in pregnant women included in this review were conducted in low- and middle-income countries, while observation studies were conducted in high-income countries.

TOLERABILITY OF INACTIVATED INFLUENZA VACCINES IN PREGNANT WOMEN AND CHILDREN UNDER 5 YEARS OLD

Out of 93 studies, 36 were on the safety of IIVs in pregnant women and young children. Numerous studies have found that IIVs are safe for pregnant women (32, 33, 36, 39–49) and children <5 years old (34–37, 40–43, 45–47, 50, 51). In one study, no clinically significant difference was found in birth weight or gestational age at birth between infants of mothers who were vaccinated with IIV during any trimester of pregnancy and infants of unvaccinated mothers (32). There was no increased risk of either maternal complications or unwanted fetal outcomes after vaccination (33). In children, the most common adverse events after IIV were transient pain and irritability on the injection site, but no reaction persisted for more than 4 days (35). The majority of seasonal IIV studies report that adverse events requiring medical care are uncommon, and few children (up to 5.4%) experience febrile illness after IIV (36, 52–57), while some studies (34, 58–61) report transient fever in up to 18% of children. TIV has been temporally associated with hives (57), Henoch–Schönlein purpura (62), and pharyngitis (63) in children, but no causal evidence exists (64). Overall, the safety profiles are similar for the split-virion QIV and TIV in children 6–35 months old, except for more reactions on the injection site with QIV than with placebo or TIV (37).

There have been concerns over severe adverse events after IIV, such as narcolepsy and Guillain–Barré syndrome, but no indication of causality was found, although a weak correlation between IIV and narcolepsy, Guillain-Barré syndrome, or severe febrile illness was suggested in children (33–37, 65). A pre-registration pediatrics study (66) in the USA comparing two TIV formulations (TIV by CSL and Fluzone by Sanofi Pasteur Inc.) in 6- to 36-month-olds reported higher rates of fever (37.1% vs. 13.6%), severe fever (2.6% or 0%), irritability (58.5% or 37.3%), and loss of appetite (31.9% or 19.7%) after the first dose of the
formulation approved for use in adults only, but the rates were lowered after the second dose. In 2010, there was a suspension of pediatric IIV use in Australia that lasted several years because Southern Hemisphere TIVs (manufactured by CSL Biotherapies, King of Prussia, PA, USA) were associated with severe febrile illness in children, but this was not found with the other IIVs (67). However, concomitant pneumococcal vaccination may further enhance the risk of febrile seizures in young children (68). Recent large-scale Australian cohort studies (69, 70) have found low rates of febrile illnesses in young children and pregnant women following QIV. Furthermore, IIV decreased the antepartum hospitalization risk of maternal influenza-like illness (ILI) by 39% (70). IIVs are not normally adjuvanted, but three main adjuvants have been used in pandemic IIVs (38): MF59, AS03, and AF03. Only MF59 is currently used in seasonal vaccines. The MF59-adjuvanted influenza vaccine was well tolerated in young children and induced greater, longer-lasting, and broader immune responses compared to the non-adjuvanted split vaccine (58, 71); however, transient and mild solicited reactions were more frequent after MF59-adjuvanted IIVs. Notably, the AS03-adjuvanted 2009 pandemic IIVs are no longer licensed for use in children <20 years old in Europe due to the association of the AS03-adjuvanted A/H1N1pdm09 vaccine with onset of narcolepsy in children in Scandinavia (36, 72). The whole-virus IIVs are also not used in Europe and North America due to safety concerns of increased reactogenicity (23). The prenatal 2009 pandemic A/H1N1pdm09 vaccination (with/without AS03) was weakly associated with increased risk of asthma and decreased rates of gastrointestinal infections in young children, which were attributed to confounding factors (50). A Danish retrospective cohort study (n = 61,359) concluded that the antenatal monovalent AS03-adjuvanted split-virion A/H1N1pdm09 IIVs were safe without any augmented early childhood morbidity risk (40). The adverse events of special interest for the AS03-A/H1N1pdm09 IIVs are generally rare, and no unexpected events during pregnancy were reported, but young children had higher reporting rates of adverse events (73). The risk of Guillain–Barré syndrome after IIV, particularly influenza A/H1N1pdm09, is very low (74–76) and lower than that with influenza infection (77). Furthermore, no significant links were found between H1N1pdm IIV and infectious diseases (e.g., pulmonary infections and otitis media), cancers, sensory disorders, use of hospital services, pediatric chronic diseases, and mortality (50).

This review may help physicians, pregnant women, and parents make informed choices about influenza immunization. IIVs are safe and well tolerated (36, 38, 40, 50, 51, 65) for pregnant women and children <5 years, but continued monitoring of adverse events is necessary to detect infrequent events.

**CRITERIA OF IIV IMMUNOGENICITY**

The criteria of the European Committee for Medicinal Products for Human Use (CHMP) have been widely used to evaluate vaccine immunogenicity using the hemagglutination inhibition (HI) assay. Serum HI titer ≥40 is associated with >50% reduction in influenza infection or disease and is considered as a surrogate correlate of protection (COP) (78). However, this protective titer was established in healthy adults and not confirmed in children, with some experts recommending HI titres >110 (79) and others >160 (80). In adult vaccinees, as a part of registration requirements, three criteria (81) should be met, namely, >40% seroconversions or significant increase in HI titers, >2.5-fold increase in geometric mean HI titers (GMTs), and >70% seroprotection (achieving HI titer ≥40), for pandemic IIV and at least one of these criteria for seasonal IIV. HI and microneutralization (MN) titers are significantly correlated with each other; however, no MN titer level has been defined as a COP. In children, MN is the most sensitive for protection against seasonal A/H3N2 (82). Since 2017, new CHMP guidelines have recommended the measurement of neutralizing antibodies in addition to the HI titer and encouraged the assessment of broader immune response anti-neuraminidase antibodies, antibody kinetics, and cell-mediated immunity (83).

**Immunogenicity of IIV in Pregnant Women and Their Newborns**

IIV induces strong humoral responses in pregnant women (84–88). After IIV administration, a 6– to 10-fold increase in GMTs (85, 88) and >72% seroconversions (85) were observed in pregnant women. A US cohort study (87) found that seasonal IIV led to 65%–95% and 75%–98% seroprotection rates for influenza A/H3N2 and A/H1N1, respectively, in pregnant women, with no significant difference by trimester or postpartum. However, the seroconversion rates were highest in the late third trimester and the postpartum period and lower in women with obesity (87). Higher pre-vaccination antibody levels and prior influenza vaccination were both linked to reduced odds of seroconversion rates, suggesting the antibody ceiling effect. Albeit a small study (n = 56), the seroprotection rates after TIV were largely comparable between pregnant and non-pregnant women for A/H1N1 (89% vs. 85%), A/H3N2 (81% vs. 93%), and B (83% vs. 100%) during the 2011–2012 influenza season in the USA (89).

Among pregnant TIV recipients in South Africa, Madhi et al. (84, 85) found 93%, 78%, and 96% seroprotection rates against A/H3N2, A/H1N1, and B/Victoria, respectively, and a 54% corresponding total vaccine efficacy against confirmed influenza. TIV induced significant enhancements of both the MN and HI titers against the three vaccine strains, and the MN titers were two to threefold higher than the HI titers, except against B/Victoria (90). Pregnant women vaccinated with QIV had similar safety and enhancement of GMTs for the strains included in TIV, but the GMTs were significantly higher for the second B strain (49). A Norwegian cohort study found durable antibody response after the 2009 AS03-adjuvanted monovalent pandemic IIV in pregnant women since the estimated waning of antibodies was slower in vaccinated pregnant women than that in ILI cases (HI titer half-life of 260 vs. 192 days) (39).

Importantly, infants born to vaccinated pregnant women received the complementary benefit of vertically transferred immunity against influenza (84, 85), a potentially cost-effective strategy. An RCT (n = 322) in South Africa (86) found that the percentages of infants with influenza-specific antibodies born to TIV-recipient mothers were significantly higher than those in...
saline placebo-recipient mothers (HI titer ≥40 at birth 78% vs. 34% against A/H1N1pdm09, 57% vs. 17% against A/H3N2, and 81% vs. 42% against B/Victoria, respectively). Yet, the percentage (86) of infants with seroprotective titers decreased from birth to 6 months (91). Passive immunity through placental transfer of maternal immunoglobulin G (IgG) antibodies to the fetus and IgA antibodies through breastfeeding is important to protect newborns against influenza infection (92), although transplacental antibody transfer seems to be the key mechanism in protecting newborns against influenza rather than through breast milk (93). Transplacental antibody transfer (94), primarily IgG, typically starts from 17 weeks of gestation and peaks at 37–41 weeks, with enhanced neonatal Fc receptor expression. Antibody decay occurs during the first 2–3 months (93, 95) in newborns. IIV immunization later in the trimester led to significantly higher seroprotection rates 2–3 days after delivery (effect sizes increase between the first and the third trimester), but no significant difference in the cord blood seroprotection rates was found between women vaccinated in the second or the third trimester (95). However, antibodies may wane faster in women vaccinated later in pregnancy, with some studies estimating antibody half-life of 7 weeks (95). Notably, there were fewer studies with the first trimester IIV immunogenicity results, where vaccination is often not recommended due to worries about temporal association with spontaneous abortion. The seroprotection and seroconversion rates at delivery were mostly high in pregnant women regardless of the vaccination timing (96).

Current limited evidence suggests that the IIV elicits similar good antibody responses in pregnant and non-pregnant women, and the antibodies can passively be transferred to their newborns. Although the vaccination timing may influence the antibody levels in pregnant women and their newborns, cumulative transfer of antibodies suggests the need for early IIV immunization of pregnant women (93).

**Immunogenicity of IIV in Children Under 5 Years**

A Canadian dose–response RCT (34) in 6- to 23-month-old children (n = 252) of the 2008–2009 Northern Hemisphere TIV found >85% seroprotection rates for all three vaccine strains in children aged 12–23 months without significant difference by dose (full vs. half dose), while the full dose induced higher antibody responses against all three vaccine strains without increasing reactogenicity in unprimed children aged 6–11 months. These results differ from an RCT (97) conducted in the USA in children 6–35 months of age, where increasing the antigen content of the 2010–2012 Northern Hemisphere TIV did not significantly increase the antibody responses to any of the three vaccine strains, except for the primed group who had been previously infected/vaccinated with A/H1N1 (as well as a subgroup analysis with infants only). Another Canadian RCT (35) evaluated the immunogenicity of the 2008–2009 Northern Hemisphere TIV in 6- to 35-month-old children (n = 374), reporting that two of the three serological criteria (>40% seroconversion rate and >2.5-fold increase in GMTs) were met for all vaccine strains and for both doses (full vs. half dose) in all TIV groups. Furthermore, antibody responses were significantly higher in children aged 24–35 months than those in 6–23 months. Similarly, higher antibody responses to TIV were reported with increasing age and after the second dose in healthy influenza-naïve children 6–23 months old in the USA, albeit TIV was immunogenic in children 6–11 months old (98). Several RCTs in 2002–2003 (USA) (54, 55) and 2006–2009 (Europe) (58–60) found seroprotection rates ranging from 70% to 100% in children <5 years old following the Northern Hemisphere TIV. IIV is licensed for children from 6 months of age, and there are few studies evaluating the immunogenicity of IIV in children less than 12 months old. One study in infants reported >90% seroprotection rate in TIV recipients for at least one virus and 49.6% for two strains compared to 16.4% and 0.9%, respectively, in placebo recipients (57). Pilot data suggested that 6- to 12-week-old infants have significantly lower (99) antibody responses to TIV than do 6-month-old babies, with post-vaccination seroprotection rates of 46% vs. 69% against A/New Caledonia (H1N1), 59% vs. 79% against A/Wyoming (H3N2), and 5% vs. 22% against B/Jiangsu. In general, studies reported good immunogenicity profiles in children <5 years old (34, 35, 54–60, 66, 98, 99).

A multicenter phase III RCT (100) evaluated the immunogenicity of a split-virion QIV (2013–2014 Northern Hemisphere) in children 3–8 years old in Poland, Finland, Mexico, and Taiwan (n = 1,242) and found that the post-vaccination GMTs were augmented by more than sixfold for all vaccine strains (6.86 for A/H1N1, 7.49 for A/H3N2, 17.1 for B/Victoria, and 25.3 for B/Yamagata), regardless of the comparatively high baseline HI titers. The immunogenicity profile of QIV was comparable to that of TIV, with superiority for the second B strain (100, 101). More recently, a phase III RCT (37) evaluated the safety and efficacy of a split-virion QIV in healthy, previously unvaccinated children aged 6–35 months (n = 5,806) in Latin America, Asia, Africa, and Europe during the Northern Hemisphere and Southern Hemisphere 2014 and 2015 influenza seasons. The study found that most children were seronegative at baseline for each of the four vaccine strains, except only 50% of children from Asia were seronegative during the 2014 Southern Hemisphere season. After two doses of QIV, the seroconversion rates were >87% at day 56 for all four vaccine strains, and only <2% of participants remained seronegative (37). Moreover, the neutralizing antibody responses after IIV have not been extensively analyzed in children. One study found neutralizing antibodies against all homologous and heterologous H1 and H3 strains tested in all age groups, including children 2–8 years old receiving the 2009–2010 seasonal IIVs (102). Children aged 2–8 years also had higher seroprotection and seroconversion rates to homologous and heterologous strains compared to adults.

In conclusion, IIV elicits good HI antibody responses in children <5 years old, although there are no established HI protective titers for children and many studies rely on the adult criteria for HI titers (≥40 and a fourfold rise) when documenting seroprotection rates. Work is needed to evaluate protective HI titers and neutralizing antibodies in young children in order to better determine vaccine immunogenicity in this age group.
VACCINE EFFICACY/EFFECTIVENESS OF IIVs IN PREGNANT WOMEN AND CHILDREN UNDER 5 YEARS

Vaccine efficacy and vaccine effectiveness (VE) measure the proportionate reduction in cases among vaccinated people under ideal (i.e., a randomized controlled trial) or typical field conditions, respectively. VE is now often assessed using a test-negative design in which patients with an ILL are tested for influenza. To estimate VE, vaccine coverage is compared between those testing positive versus those testing negative. Due to limited VE data on IIVs in pregnant women and children, we have included studies with both vaccine efficacy and VE below.

Vaccine Efficacy/Effectiveness and Health Benefits of IIVs in Pregnant Women and Their Newborns

In an RCT in South Africa, Madhi et al. (4, 85) demonstrated that TIV partly protected pregnant women and their newborns, with vaccine efficacy values of 50% and 49%, respectively, against LCI during a 6-month postpartum follow-up period. Nunes et al. (86) conducted a secondary analyses of the data (85) and found that the vaccine efficacy against LCI decreased with age: 86% (95% CI = 38%–98%) efficacy in babies ≤8 weeks old, 25% (95% CI = 68%–68%) in 8–16 weeks old, and 30% (95%CI = −155% to 83%) in 16–24 weeks old. Furthermore, the efficacy in newborns ranged from 30% in the Nepalese to 63% in Bangladeshi RCTs (103), confirming that maternal IgG antibodies can cross the placenta and protect newborns against influenza infection. Transplacental (and breast-milk-mediated) antibody transfer is an important means of protecting infants <6 months who are at high risk of hospitalization due to influenza (104) and IIV is not approved.

Observational data (36, 105, 106) showed that IIVs were 44%–65% effective against influenza among pregnant women, but the confidence intervals are wide, suggesting imprecise estimates (Table 1). Limited data exist on VE against severe influenza (requiring hospitalization) among pregnant women. A retrospective cohort study (118) in the USA from the 2005–2006 to 2013–2014 seasons concluded that infants born to vaccinated mothers, including those with comorbidities, had 70% risk reductions of LCI and 81% in influenza hospitalizations in the first 6 months of life. Similar results were reported in England during the 2013–2014 seasons, where antenatal IIVs were 71% effective (95%CI = 24%–89%) in preventing influenza infection and 64% effective (95%CI = 6%–86%) in preventing influenza hospitalization (113). A matched case–control study (115) in the USA reported that seasonal IIV immunization in pregnant women was 91.5% effective in preventing newborn hospitalization due to influenza. However, the selection bias might have overstated the VE estimates.

In a Norwegian registry-based study (119), the pandemic monovalent H1N1pdm09 IIV with the AS03 adjuvant administered during pregnancy substantially reduced the risk of an influenza diagnosis (adjusted hazard ratio = 0.30), and it may have minimized the risk of influenza-related fetal demise during the pandemic. A Japanese questionnaire-based study (120) evaluating the 2009 H1N1 VE concluded that vaccination reduced infection by 89% in pregnant women.

In addition to the value of maternal–fetal transfer of influenza-specific antibodies (45, 84, 121) following antenatal IIV, there are also complementary benefits to vaccinated pregnant women. Women who received antenatal IIV had a lower risk of preterm delivery (13%) and low-birth-weight (26%) babies compared with unvaccinated pregnant women (70, 122). Furthermore, maternal influenza immunization has been linked to a decreased risk of having low-birth-weight babies in Bangladeshi and Nepalese RCTs (103).

Vaccine Efficacy/Effectiveness and Other Benefits of IIVs in Children Under 5 Years

Studies conducted in young children reported varying levels of vaccine efficacy/effectiveness primarily depending upon whether the circulating viruses match the vaccine strains and their preexisting immunity. Claeyts et al. (123) conducted a multinational RCT of 12,018 healthy children aged 6–35 months and reported a 64% efficacy against moderate-to-severe influenza. In another large multinational RCT, Pepin et al. (37) reported QIV efficacy values of 51% (97%CI = 37%–62%) and 68% (97% CI = 47%–82%) against LCI caused by any influenza A or B strain and vaccine-similar strains, respectively. However, vaccine efficacy ranged from 41% to 60% during each of four influenza seasons, and the efficacy values for two QIV preparations were 46% (overall for the Southern Hemisphere 2014 and Northern Hemisphere 2014–2015 strains) and 58% (overall for the Southern 2015 and Northern 2015–2016 strains). A registry-based American study (124) in children aged 6–21 months carried out during the 2003–2004 season found that two doses of the vaccine were 69% and 87% effective against IILI and pneumonia/influenza office visits, respectively, but a single dose was not. Limited data on the efficacy estimates of IIVs exist in children <2 years. It is unclear whether the VE estimates are better for children <2 years old or those 2–5 years old (36) and impact indirect benefits for the communities by reducing transmission. Overall, data from test-negative design studies (29, 125–131) suggested moderate effectiveness of IIV against LCI in young children, but the confidence intervals are wide, suggesting imprecise estimates (Tables 2, 3). A Canadian test-negative design study (152) found that the adjusted VE rates against LCI hospitalization were 60% (95%CI = 44%–72%) for fully vaccinated 6- to 59-month-old children and 39% (95% CI = 17%–56%) for partially vaccinated children. Another test-negative design study (153) found that the VE against LCI hospitalization was 46% (95% CI = 19%–64%) among children 6 months to <5 years old. These two studies suggested that annual influenza vaccination is 40%–60% effective against LCI hospitalization in young children; however, the estimates included LAIVs and were not restricted to IIVs. More recent studies (146–151) have rather found high VE rates against LCI hospitalization (up to 81%) in young children (Table 2).

Diallo et al. (140) found that TIV-mediated VE estimates were lower in children <3 years old compared to those in 3–10 years old in...
Senegal (21% vs. 60% against the predominant seasonal A/H3N2 strain). However, the authors did not demonstrate noteworthy indirect effects among the entire study villages, which may be attributed to the study design, low vaccine coverage, and the high participant contact rates between extended families (140). Moreover, the indirect effect was assessed by comparing those vaccinated with control villages, and the study was undertaken in a year of vaccine mismatch. On the other hand, a Canadian study

### TABLE 1 | Vaccine efficacy and effectiveness of influenza vaccination in healthy pregnant women.

| Vaccine | No. of participants | Outcomes in mothers | Effect sizes in IIV-vaccinated mothers (95%CI) | Effect sizes and AR of symptomatic LCI in newborns in VA and CA (95%CI) | First author, year (region, quality) |
|---------|---------------------|----------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------|
|         | RCTs                |                      |                                               |                                                                                      |                                     |
| Seasonal TIV 2004 SH and pneumococcal | 340 (172 in TIV and 168 in pneumococcal) | VE against respiratory illness with: a) any fever; b) temperature >38°C; c) dianthelial disease; and d) clinic visit | a) 35.8 (3.7–72.7); b) 43.1 (−9.0 to 70.3); c) 19.3 (−24.6 to 60.8); d) 24.9 (−43.9 to 60.8) | VE: 63% (5–85) and 0.7% vs. 1.8% | Zaman, 2008 (4, 41, 104) (Bangladesh, high) |
| Seasonal split-virion TIV 2011 and 2012 SH | 2,116 (1,082 in TIV and 1,054 in saline placebo) | Vaccine efficacy against LCI AR of symptomatic LCI VS vs. CA: 1.8% vs. 3.6% | 50.4% (14.5–71.2) and 1.9% vs. 3.6% | Vaccine efficacy: 48.8% (11.6–70.4) and 1.9% vs. 3.6% | Madhi, 2014 (4, 85) (South Africa, high) |
| Seasonal TIV 2011 NH, 2012 N and 2012 SH | 4,193 (2,108 in TIV and 2,085 in meningococcal vaccine) | Vaccine Efficacy AR of symptomatic LCI VS vs. CA: 0.5% vs. 1.9% | 70.3% (42.2–85.8) and 2.5% vs. 3.8% | Vaccine efficacy: 33.1% (3.7–53.9) and 2.5% vs. 3.8% | Tapia, 2016 (4, 108) (Mali, high) |
| Seasonal TIV 2010–2012 NH and 2011–2013 SH | 3,693 (1,847 in TIV and 1,846 in saline placebo) | LCI (pregnancy) LCI (postpartum) | 0.77 (0.42–1.43) and 0.59 (0.28–1.23) | Vaccine efficacy: 30% (5–48) and 4.1% vs. 5.8% | Steinhoff, 2017 (4, 109) (Nepal, high) |
| Seasonal TIV 2011–2012 NH, 2012–2013 SH | 3,693 (1,847 in TIV and 1,846 in saline placebo) | a) Flu IRR (pregnancy) and b) Flu IRR (postpartum) for immunization at 17–25 and 26–34 weeks gestation | a) 0.62 (0.30–1.31) and 1.32 (0.42–4.14); b) 0.62 (0.25–1.54) and 0.56 (0.16–1.90) | Infant influenza IRR: 0.73 (0.51–1.05) and 0.63 (0.37–1.08) | Katz, 2018 (107, 110) (Nepal, high) |
|         | Cohort studies      |                      |                                               |                                                                                      |                                     |
| IIV 2002–2009 | 1,510 hospitalized infants | Hospitalization rates for influenza-vaccinated mothers compared to those unvaccinated | 45%–48% less likely | Infant LCI: 6% (n = 18) vs. 11% (n = 133) | Poehling 2011 (111) (USA, poor) |
| Seasonal IIV 2002–2005 thiomersal-reduced | 1,160 mother–infant pairs | NA | NA | Risk reduction of LCI in infants: 41% (7–63) | Eck 2011 (91) (USA, fair) |
| Seasonal TIV 2012–2013 SH (four brands) | 3,007 and 31,694 | VE in preventing ED visits VE in preventing hospital admission | 81% (31–95) and 65% (3–87) | NA | Regan 2016 (106) (Australia, fair) |
| Seasonal TIV 2010 | 106 and 90 | NA | NA | Infant LCI: 0 (0%) vs. 5 (5.6%) | Sigumura 2016 (112) (Japan, poor) |
|         | Screening methods   |                      |                                               |                                                                                      |                                     |
| Seasonal IIV 2013–2014 | 37 LCI infants | NA | NA | Adjusted VE for preventing influenza and related hospitalization: 71% (24–89) and 64% (8–86) | Dabera et al., 2014 (113) (UK, poor) |
| Seasonal IIV 2013–2014 and 2014–2015 in 2014–2015 | 37 LCI infants in | NA | NA | Adjusted VE against influenza-related hospitalization: 66% (18–84) in 2013–2014 and 50% (11–72) in 2014–2015 | Walker, 2020 (114) (UK) |
|         | Case–control studies |                      |                                               |                                                                                      |                                     |
| Seasonal IIV 2000–2009 | 205 (113 cases and 192 controls) | NA | NA | Adjusted VE against influenza-related hospitalization: 91.5% (61.7–98.1) | Benowitz, 2010 (115) (USA, fair) |
| Seasonal TIV 2010–2012 NH | 292 (100 cases and 192 controls) | Adjusted VE for current TIV use | 58% (14–79) | NA | Thompson, 2014 (105) (USA) |
| Seasonal TIV 2010–2017 NH | 920 (460 cases and 460 controls) | VE against LCI | 63.9% (29.1–81.6) (n = 313) | VE against LCI: 56.8% (25.0–75.1) (n = 460) in infants <6 months | Mølgaard-Nielsen, 2019 (116) (Denmark, good) |

IIV, inactivated influenza vaccine; RCTs, randomized controlled trials; TIV, trivalent influenza vaccine; SH, WHO Southern Hemisphere recommended strains; NH, WHO Northern Hemisphere recommended strains; VE, vaccine effectiveness; LCI, laboratory-confirmed influenza; VA, vaccine arm; CA, control arm; AR, attack rate; NA, not available.

*Test-negative design (117) used to evaluate the effectiveness of influenza vaccines.
### TABLE 2 | Vaccine efficacy and effectiveness of influenza vaccination in healthy children under 5 years of age.

| Vaccine strains | Age (no. of participants) | Outcomes | Effect sizes (95% CI) | First author, year (region) |
|-----------------|-------------------------|----------|----------------------|--------------------------|
| **RCTs**        |                         |          |                      |                          |
| Seasonal TIV 1995–1996 NH, F | <6 years (n = 476) | Influenza RR | 0.61 (0.34–1.08) | Colombo, 2001; Clover, 1991; and Gruber, 1990 (132–135) (Italy and USA) |
| Seasonal TIV 1999–2000 N and 2000–2001 NH, H | <2 years (n = 786) | Influenza risk ratio | 0.55 (0.18–1.69) | Hoberman, 2003 (135, 136) (Netherlands, two studies) |
| Seasonal TIV 1999–2000 and 2000–2001 NH subgroup, H | 6–24 months (n = 786) Groups (first cohort: 411; second cohort: 375) | Efficacy against culture-confirmed influenza | First cohort: 66% (34–82) Second cohort: −7% (−247 to 67) | Hoberman, 2003 (136) (USA) |
| Seasonal QIV 2010–2011, F | 3–4 years (n = 80) | Efficacy against all PCR-confirmed influenza, any severity | 35.3% (−1.3 to 58.6) | Jain, 2013 (139) (15 centers in Bangladesh, the Dominican Republic, Honduras, Lebanon, Panama, the Philippines, Thailand, and Turkey) |
| Seasonal TIV 2010–2014 SH, H | 6–23 months (n = 4,081) | Efficacy against PCR-confirmed influenza | 31% (18–42) | Rolles, 2017 (139) (Bangladesh) |
| Five seasonal QIV formulations, F | 6–35 months (n = 6,006 in QIV and n = 6,012 in control) | Efficacy against moderate-to-severe influenza and all influenza in total vaccinated cohorts | 64% (63–73) | Claeyss, 2018 (123) (13 countries in Europe, Central America, and Asia) |
| Seasonal 2008–2009 TIV NH | 6 months to 10 years (n = 3,918 in TIV and n = 3,848 in control) | Adjusted VE in 6- to 35-month-olds and in 3- to 5-year-olds in preventing A/H3N2 and A/ H1N1pdm09 | 20.6% (−16.3 to 45.8) and 57.7% (34.7–72.7); −30.8% (−128.3 to 25.0), and −56.2% (−238.2 to 27.8) | Diallo, 2019 (140) (Senegal) |
| Thimerosal-free, split-virion seasonal QIV NH (2014–2015 and 2015–2016) and SH (2014 and 2015): F, TIV, F | 6–35 months (n = 4,980) | LCI caused by any influenza A or B strain and vaccine-similar strains | 50.98% (37.36–61.86) and 68.40% (47.07–81.92) | Pepin, 2019 (37) (13 countries in Europe, Central America, and Asia) |
| Seasonal TIV 2009–2012 NH, H | 6–35 months (n = 807) | Efficacy against PCR-confirmed influenza in 2011–2012 | 70.5% (24.2–88.8) | Sullender, 2019 (141) (India) |
| **Cohort studies** |                         |          |                      |                          |
| Seasonal 1998–1999 TIV NH subgroup | 7–50 months (187 vaccinees and 187 controls) | Reduction in incidence of acute otitis media associated with influenza A | 83% (58–93) | Heikkinen, 1991 (142) (Finland) |
| Five influenza seasons (from 2004–2005 to 2008–2009) | All aged 6–23 months (n = 919,021), full-term children (n = 847,294) and preterm children (n = 71,727) | Influenza-coded ambulatory visits VE in all children, full-term and preterm children (full and partial vaccination with an unvaccinated reference group) | 19% (3–32) and 2% (−12 to 15) | Shen, 2013 (30) (Canada) |
| Seasonal 2015–2018 TIV NH | 2 years (n = 60,088 in 2015–2016, n = 60,860 in 2016–2017, n = 60,345 in 2017–2018) | VE against LCI caused by influenza strains (any, A and B) in 2015–2016, 2016–2017, and 2017–2018 | 77.2% (48.9–89.8), 90.3% (60.9–97.6), and 34.6% (−79.5 to 76.1); 24.5% (−29.8 to 56.1), 23.1% (−32.3 to 55.3), and NA; −20.1% (−61.5 to 10.7), −42.0% (−110.6 to 4.2), and −0.2% (−55.9 to 35.6) | Baum, 2020 (143) (Finland) |
| **Classic case–control studies** |                         |          |                      |                          |
| Seasonal TIV 1999–2006 | 6–59 months (15 fully vaccinated and 75 unvaccinated) | VE against LCI | 86% (29–97) | Joshi, 2009 (36, 144) (USA) |
| Seasonal 2007–2008 TIV NH, F | 9 months to 3 years (n = 340; 84 vaccinated and 256 unvaccinated) | VE against influenza A | 85% (37–96) | Henonnen, 2011 (145) (Finland) |
| Seasonal 2007–2008 TIV NH, F | 9 months to 3 years (n = 340; 84 vaccinated and 256 unvaccinated) | VE against influenza B | 48% (38–81) | Henonnen, 2011 (145) (Finland) |
| Seasonal QIV 2008–2009 | 18–72 months with H/0 RTI (n = 579) | Efficacy against PCR-confirmed influenza | 51% (8–75) despite substantial strain mismatch | Jansen, 2008 (137) (Netherlands) |
| Seasonal TIV 2007–2009 NH (MF59 emulsion adjuvant or subunit non-adjuvanted), F | 6–72 months (n = 4,707) | Efficacy against all PCR-confirmed influenza strains for adjuvanted and non-adjuvanted TIV | 88% (74–93) and 43% (15–61) | Veskari, 2011 (59) (Finland and Germany) |

(Continued)
(154) in vaccinated children (from 36 months to 15 years old) showed an indirect VE of 60% (95%CI = 8%–83%) among the unvaccinated in 49 Hutterite communities, similar to a VE of 59% (95%CI = 5%–82%). IIV-mediated beneficial effects were also seen for other clinical outcomes in children (101, 155), such as reduced medical visits and antibiotic use. The influenza VE against nonspecific clinical outcomes such as acute otitis media is expected to be lower than its effectiveness against LCI because acute otitis media is primarily caused by viral or bacterial co-infection (156) in children. A 2017 Cochrane systematic review (157) found that the influenza vaccine resulted in a small reduction (risk ratio = 0.84) in at least one episode of acute otitis media over at least 6 months in 3,134 children aged 6–36 months. In summary, administration of IIV in young children prevents influenza complications in the vaccinees and may reduce the community transmission of influenza (141, 154).

Additionally, one recent study found that TIV was associated with lower coronavirus disease 2019 (COVID-19)-related
Acceptability to suggest imprecise knowledge.

mismatches between the vaccine strains and circulating viruses and the use of adjuvants. Furthermore, the wide con
cell culture, or recombinant DNA technologies) (31). Other confounding factors (31) are the vaccinee
mismatch, affecting the VE estimates (162, 163), although studies
of IIVs could result in a vaccine antigen and circulating strain
pregnant women and young children. The long production times
IIVs offer an alternative to egg-based vaccines. Cell-grown QIVs
have shown protection of IIVs in children despite vaccine strain
growth in eggs (31) and the induction of anti-egg antibodies in
IIVs are grown in chicken eggs, with a long production time and
Current literature does not suggest evidence of harm to the mother or fetus following inactivated influenza vaccine (IV). Side effects, if any, are usually transient and minor.

Potential benefits of inactivated influenza vaccine

Moderate efficacy/effectiveness* of IIV against laboratory-confirmed influenza (range = 50%–70%) and influenza-related hospitalization (range = 45–65%)
Passive mother-to-child immunity protecting newborns from influenza and influenza-related hospitalization following maternal IIV
Newborns born to vaccinated mothers are less likely to be premature, small for gestational age, and of low birth weight when compared to those of unvaccinated mothers.

Acceptability to clinicians, pregnant women, and parents

Likely acceptable to pregnant women without contraindications, when shown appropriate risk-to-benefit ratio following influenza vaccination

Likely acceptable to children (parents/guardian) without contraindications, when shown appropriate risk-to-benefit ratio following influenza vaccination

*Rather than H3N2 or B virus strains. The pandemic-like B virus strain has been more widely used in the US and Europe.

Although the efficacy of IIVs is lower than live attenuated vaccines, studies have shown that IIVs may be effective in the elderly, pregnant women, and young children who are at risk of complications from influenza.

Potential harm of inactivated influenza vaccine

Current literature does not suggest evidence of harm to the mother or fetus following inactivated influenza vaccine (IV).

Current literature does not suggest evidence of harm to the child following IIV.

Current literature does not suggest evidence of harm to the family and community.


determining exact IIV effectiveness estimates is challenging due to several factors, such as the IIV type (whole virus, virosome, split virus, or subunit) and manufacturing processes (eggs, cell culture, or recombinant DNA technologies) (31). Other confounding factors (31) are the vaccinee’s age, preexisting immunity, and comorbidities, as well as antigenic match/mismatches between the vaccine strains and circulating viruses and the use of adjuvants. Furthermore, the wide confidence intervals of the IIV effectiveness point estimates in most studies suggest imprecise knowledge.

Future Perspectives

A combined immunization strategy for pregnant women and young children who had IIVs may leverage both the direct protection of vaccinees and the indirect protection of non-vaccinees through vertically transmitted maternal antibodies and reduced person-to-person community transmission and mortality from influenza (4, 41, 159). However, conventional IIVs are grown in chicken eggs, with a long production time and impure contents. Previous literature suggested possible undesirable effects of egg-driven viral substitutions for optimal growth in eggs (31) and the induction of anti-egg antibodies in vaccinees (160, 161), which may have an impact on the vaccine efficacy, although no study has been done to evaluate this in pregnant women and young children. The long production times of IIVs could result in a vaccine antigen and circulating strain mismatch, affecting the VE estimates (162, 163), although studies have shown protection of IIVs in children despite vaccine strain mismatches (123). There is an urgent need for alternative methods for a more rapid production of vaccines. Cell-grown IIVs offer an alternative to egg-based vaccines. Cell-grown QIVs or TIVs have good immunogenicity profiles and may have a modest improvement over egg-based vaccines in children and adolescents (164, 165) while having similar safety profiles to those of egg-based IIVs in children (166, 167). One study found that the recombinant HA-based vaccine outperformed the virion-based IIV in both HA-specific cellular and serological responses in adults (168). However, to confirm these findings, larger RCTs are needed in pregnant women and young children. In this review, the few relevant studies, along with major clinical, design, and statistical heterogeneities, precluded quantitative meta-analysis (4).

The ongoing COVID-19 pandemic has led to a paradigm shift in vaccinology, particularly with the use of new vaccine platforms that have not been previously licensed, especially messenger RNA (mRNA) vaccines. By 2019, 15 mRNA vaccine candidates, including three against influenza, were in clinical trials and none in phase III trials [review in (169)]. The COVID-19 pandemic has led to the most rapid vaccine development and approval of the mRNA COVID-19 vaccines to be used in humans. However, data on vaccine safety and efficacy in pregnant women are limited. Preliminary studies in pregnant and lactating women (170, 171) have suggested that the mRNA COVID-19 vaccines are safe, with immunogenicity and reactogenicity profiles similar to those observed in non-pregnant women. No data are yet available for young children since the mRNA COVID-19 vaccine clinical trials in children under 12 years of age are still ongoing. Nevertheless, the large safety database and good efficacy of the mRNA COVID-19 vaccines will accelerate the development of next-generation influenza vaccines, although it may well take over a decade before mRNA influenza vaccines will be licensed for pregnant women and young children. Therefore, the currently available IIVs should be continued to be recommended and used in pregnant women and young children until next-generation vaccines are available.
CONCLUSIONS

Overall, studies demonstrate that pregnant women and young children are protected against influenza illness and hospitalization by IIVs. Current evidence suggests that the benefits of IIVs outweigh the potential risks and that IIVs should be offered to pregnant women and young children. Moderate efficacy/effectiveness estimates after influenza vaccination, with acceptable tolerability profiles, are observed in pregnant women and young children, and the immunogenicity profile of pregnant women is comparable with healthy adults. Vaccine efficacy/effectiveness estimates are similar after both the second and the third trimester vaccination in pregnant women, while these estimates are lower in young children. Limited data on vaccine efficacy/effectiveness estimates exist for the first trimester and for younger children, although vertical transmission of antibodies may protect newborns who are at high risk of influenza-related complications. Robust trials should evaluate newer generations of influenza vaccines, especially cell-grown vaccines, in pregnant women and young children as even a modest vaccine efficacy/effectiveness enhancement could translate into major clinical benefits.

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AUTHOR CONTRIBUTIONS

AB, M-CT, and RC contributed to the conception and design of the study. AB wrote the first draft and amended the manuscript. M-CT, KM, and RC reviewed the manuscript and suggested amendments. All authors contributed to the article and approved the submitted version.

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