I. INTRODUCTION

Dark matter is the non-luminous, non-absorbing matter that makes up about 84.4% of the matter of the universe and 26.4% of its energy density [1]. The Lambda cold dark matter (ΛCDM) model describes dark matter as feebly interacting, non-relativistic, and stable on cosmological timescales. The evidence for dark matter is abundant and includes the galactic rotation curves [2, 3], gravitational lensing [4, 5], the bullet cluster [6], and the cosmic microwave background [7]. However, not much is known about the nature of dark matter or what constitutes it. Many hypothetical candidates include WIMPS, sterile neutrinos, axions, axion-like particles, and dark photons [1]. Dark matter may be made up of a combination of many of these particles (a dark sector).

Of particular interest are dark matter candidates that have wavelike properties. For a particle to be wave-like, the de Broglie wavelength $\lambda_{dB}$ is much greater than the interparticle spacing. In other words, if the number of particles $N_{dB}$ inside a de Broglie volume $\lambda_{dB}^3$ is large, the set of particles is best described as a classical wave [8]. The dark matter density in our halo is fixed to $\rho \sim 0.45 \text{ GeV/cm}^3$ [9], so a smaller dark matter mass results in a larger $N_{dB}$. Because fermions cannot occupy the same phase space, wavelike dark matter must be bosonic.

A subset of dark matter candidates can be wave-like: axions, axion-like particles (ALPs), and dark photons [1, 10-12]. Axions are a compelling candidate because they solve an outstanding problem in particle physics known as the Strong CP problem [13-15]. ALPs arise naturally from string theory, and dark photons arise from the simplest extension to the Standard Model (SM).

The dark photon (DP) is a vector boson associated with an added Abelian U(1) symmetry to the Standard Model [12, 16, 17]. The dark photon is analogous to the SM photon in that the SM photon interacts via the electromagnetic field tensor, $F_{\mu\nu} = \partial_{\mu} A_{\nu} - \partial_{\nu} A_{\mu}$, where $A_{\mu}$ is the electromagnetic field. The dark photon field tensor, $F_{\mu\nu}' = \partial_{\mu} A_{\nu}' - \partial_{\nu} A_{\mu}'$, is the DP gauge field. The rate that dark photons decay into three photons is $\Gamma_{A' \rightarrow 3\gamma} = (4.70 \times 10^{-8}) \times \alpha' \frac{m_{A}^2}{m_e^2}$, where $\alpha'$ is the fine structure constant, $m_e$ is the mass of the electron, and $\alpha' = \frac{\alpha}{4\pi}$ is the electron structure constant [20]. If the dark photon has a suf-
ciently small kinetic mixing, then it is stable on cosmological timescales and makes for a compelling dark matter candidate. The dark photon lifetime is about the same as the age of the universe if \( m_{A'}(\chi^2 \alpha^{1/9}) < 1 \text{ keV} \). This condition is met for dark photons with \( m_{A'} \approx 10^{-4} \text{ eV} \) and \( \chi < 10^{-12} \).

Several mechanisms would produce cosmic dark photons. The simplest mechanism is through quantum fluctuations during inflation \([21]\). These quantum fluctuations seed excitations in the dark photon field, resulting in the cold dark matter observed today in the form of coherent oscillations of this field. The predicted mass from this mechanism is \( m_{A'} \approx 10^{-5} \text{ eV} \) \((10^{14} \text{ GeV}/H_I)^{1/4}\), where \( H_I \) is the Hubble constant during inflation. Measurements of the cosmic microwave background tensor-to-scalar-ratio constrain \( H_I < 10^{14} \text{ GeV} \) \([22]\), making the search for \( m_{A'} > 10^{-5} \text{ eV} \) well-motivated.

Another mechanism is the misalignment mechanism that is similar to that for axions \([23]\). However, the misalignment mechanism would inefficiently produce dark photon CDM. Due to its vector nature, the dark photon would have its energy density redshifted as the universe expands (in the same way SM photons redshift during cosmic expansion) and would not contribute to the energy density of matter \( \Omega_m \). A nonminimal coupling to gravity needs to be invoked for the misalignment mechanism to generate the correct relic abundance. This leads to instabilities in the longitudinal DP mode, resulting in dark photons with a fixed polarization within a cosmological horizon \([17]\). Dark photons can also be produced from topological defects like cosmic strings. They may also be produced thermally through processes like \( e^- + \gamma \rightarrow e^- + A' \) \([24]\). However, due to the small coupling to SM particles, this process would be inefficient and not produce the observed abundance of dark matter.

This paper serves as a detailed companion to Ref. \([25]\). Section \( \text{II} \) motivates the use of dielectric cavities for \( O(100 \mu eV) \) wavelike dark matter searches and describes the Orpheus experiment on a conceptual level. Section \( \text{III} \) describes the Orpheus electromagnetic design. Section \( \text{IV} \) describes the mechanical design. Section \( \text{V} \) describes the electronics, software controls, and experimental procedures. Section \( \text{VI} \) describes the analysis of the data collected from the inaugural dark photon search used to exclude dark photon dark matter with kinetic mixing angle \( \chi > 10^{-13} \) between 65.5 \( \mu eV \) and 69.3 \( \mu eV \). This section also describes the electrodynamic simulation and characterization of the Orpheus cavity. Finally, Section \( \text{VII} \) discusses the possibility of using the Orpheus experiment to search for the QCD axion.

**II. DIELECTRIC HALOSCOPES FOR DETECTING AXIONS AND DARK PHOTONS: ORPHEUS CONCEPTUAL DESIGN**

Dark photon dark matter (DPDM) can be detected through their mixing with the SM photon. The electro-magnetic field produced by the dark photon is \([17]\)

\[
|E_0| = \frac{\chi m_{A'} A_0}{\epsilon}
\]

where \( \epsilon \) is the dielectric constant of the medium. The SM photon polarization is determined by the dark photon polarization.

If dark photons oscillate into SM photons inside a microwave cavity with a large quality factor, then a feeble EM signal accumulates inside the cavity, which can be read by ultra-low noise electronics. This method is often deployed to search for axions \([26]\), but the method works the same for dark photons without the need for an external magnetic field. The dark photon signal power is, in natural units \([16]\),

\[
P_S = \eta \chi^2 m_{A'} \rho_{A'} V_{eff} f Q_L \frac{\beta}{\beta + 1} L(f, f_0, Q_L) \tag{2}
\]

\[
L(f, f_0, Q_L) = \frac{1}{1 + 4 \Delta^2}; \quad \Delta \equiv Q_L \frac{f - f_0}{f_0} \tag{3}
\]

\[
V_{eff} = \left( \int \frac{dV}{|E_{\vec{x}}| A'(\vec{x})|^2} \right)^2 \tag{4}
\]

where \( \eta \) is a signal attenuation factor (described in Section \( \text{VI} \)), \( \rho_{A'} \) is the dark matter local density, \( V_{eff} \) is the cavity’s effective volume, \( Q_L \) is the loaded quality factor, and \( \beta \) is the cavity coupling coefficient. \( L(f, f_0, Q_L) \) is the Lorentzian term and depends on the SM photon frequency \( f \), cavity resonant frequency \( f_0 \), and \( Q_L \). \( V_{eff} \) is the overlap between the dark photon field \( A'(\vec{x}) \) and the dark photon-induced electric field \( E_{\vec{x}} \). Equation \( (2) \) assumes the cavity size is much smaller than the dark photon de Broglie wavelength and the cavity bandwidth is much larger than the dark matter velocity dispersion, \( Q_L << Q_{DM} \) \([27, 28]\). This type of detector that searches for a direct signal from the dark matter halo is known as a haloscope \([26]\).

Haloscope experiments search for dark matter as a spectrally-narrow power excess over a thermal noise floor. The noise power \( P_n \) is composed of the cavity’s black-body radiation and the added Johnson noise from the receiver that extracts power from the cavity. In the Raleigh-Jeans limit \( (k_b T_{sys} >> h f) \), the noise power is written as \( P_n = G k_b T_{sys} \), where \( h \) is Planck’s constant, \( k_b \) is the Boltzmann constant, \( G \) is the system gain, and \( T_{sys} \) is the system noise temperature referenced to the cavity. The measured \( P_n \) is often the average of thousands of power spectra and consequently follows the Central Limit Theorem, so \( \sigma_{P_n} = P_n/\sqrt{N} \), where \( N \) is the number of averaged spectra. The number of spectra is \( N = h \Delta t \), where \( h \) is the frequency bin width, and \( \Delta t \)

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1 In more traditional axion haloscope terms, it is the physical volume of the cavity times the form factor.
is the integration time. The SNR for a haloscope signal is SNR = \( \frac{P_s}{\sigma_P} = \left( \frac{P_s}{P_o} \right) b / \Delta \tau \). If \( Q_L < Q_{OM} \), a haloscope is sensitive to dark matter within its cavity bandwidth \( \Delta f = f_0 / Q_L \). The instantaneous scan rate is then

\[
\frac{df}{dt} = \frac{\Delta f}{\Delta \tau} = \frac{f_0 Q_L}{b} \left( \frac{n \chi^2 m_A \rho_A V_{eff} \beta}{SNR T_a(\beta + 1)} \right)^2.
\]

Often, the bin width is taken to be of the same order of magnitude as the dark matter signal linewidth \( b \sim f_0 / Q_A' \), where \( Q_A' \sim O(10^6) \).  

The same haloscope immersed in a strong DC magnetic field can search for axions. Axions, in the presence of a magnetic field, oscillate into photons. In a microwave cavity, the axion signal power \( P_a \), in natural units, is

\[
P_a = \frac{n \chi^2 m_A \rho_A B_0^2 V_{eff} Q_L}{\beta + 1} L(f_0Q_L)
\]

\[
V_{eff} = \int dV |B_0 \cdot E_a|^2
\]

where \( g_{\gamma \gamma} \) is the axion-photon coupling constant, \( m_a \) is the axion mass, \( \rho_a \) is the axion local density, \( B_0 \) is the external DC magnetic field, \( V_{eff} \) is the effective volume, and \( E_a \) is the axion-induced electric field. \( V_{eff} \) in Equation 6 is different from that in Equation 5 because the SM photon polarization is aligned with the \( B_0 \). \( B_0 \) is known, fixed, and controlled by the lab, so the haloscope receiver can be optimized to detect the full power of the axion-induced electric field.

Established programs like ADMX use haloscopes consisting of a cylindrical cavity inside a solenoid field and operate at the TM_{10} mode to search for QCD axions with masses around a few \( \mu eV \). Unfortunately, this haloscope design becomes increasingly difficult to implement at higher frequencies. Increasing mass corresponds to higher frequency photons. Operating at the TM_{10} mode would require smaller-diameter cavities, and a smaller cavity volume reduces the detector signal power. The volume would scale by \( V_{eff} \propto f^{-3} \) if one wanted to keep the same aspect ratio. Furthermore, the anomalous skin effect causes the unloaded Q (\( Q_u \)) to decrease with frequency, further decreasing the signal power. The surface resistivity is \( R_s \propto f^{2/3} \), so \( Q_u \propto f^{-2/3} \). The quantum noise limit also increases linearly with frequency. The combination of these effects causes the axion signal power to scale as \( P_a \propto f^{-3.66} \) and the scan rate to scale as \( df / df \propto f^{-8.66} \). To put this in perspective, for Run 1B, ADMX employed a 136 L cylindrical cavity that resulted in an axion signal power of about \( P_a = 2.2 \times 10^{-23} \text{ W} \) and a scan rate \( df / df = 543 \text{ MHz/yr} \) operating at around 740 MHz. At 15 GHz, the signal power would scale to \( 3.62 \times 10^{-28} \text{ W} \) and the scan rate would scale to 2.6 MHz/year. Thus it would be challenging to implement a cylindrical cavity haloscope operating at the TM_{010} mode to search for DFSZ axions beyond 10 GHz.

The detection volume can be increased by combining many cylindrical cavities. This is the plan for future ADMX runs. However, once the frequency approaches 15 GHz, the freespace wavelength is about 1 cm. To have \( V_{eff} = 1 \text{ L} \), one would need to coherently power combine more than 1000 cylindrical cavities. Instrumenting and operating these many cavities in a coordinated way is challenging with current technology.

One can keep the cavity volume large and operate at a higher-order mode. But without further measures, the cavity modes would couple poorly to dark photons or axions. Let \( \mathbf{d} \) be the polarization of either \( B_0 \) in an axion search or \( \mathbf{A}' \) in a dark photon search. For higher-order modes, the spatial oscillations in \( \mathbf{E} \) result in \( V_{eff} \propto \left| \int dV \mathbf{E} \cdot \mathbf{d} \right| \approx 0 \), even though the physical volume is large. Thus, there is little benefit to operating an empty cylindrical cavity at a higher-order mode.

![FIG. 1. A multiwavelength dielectrically-loaded cavity. Dielectrics suppress electric fields. So dielectrics are placed strategically such that \( V_{eff} \propto \int dV \mathbf{E} \cdot \mathbf{A} > 0 \). The dashed blue lines represent the dark photon field. The red lines represent the dark photon-induced electric field. The grey box represents the dielectric material.](image)

Fortunately, higher-order modes can couple to dark matter when dielectrics are placed inside the cavity. Dielectrics suppress electric fields. If dielectrics are placed strategically, then the overlap between \( \mathbf{E} \) and \( \mathbf{d} \) is greater than zero, as shown in Fig. 1. Because the cavity size is no longer constrained by the photon wavelength, the effective volume can become arbitrarily large, and the dark matter signal power is greater than what it would have been for a cylindrical cavity operating at the TM_{10} mode. This makes higher-order mode, dielectric cavities suitable for higher-frequency dark matter searches.

Orpheus is the implementation of the dielectric haloscope concept to search for axions and dark photons around \( 70 \mu eV \). Orpheus\(^2\) is a dielectrically loaded Fabry-Perot open cavity. Dielectric plates are placed every fourth of a half-wavelength to shape the electric field and increase the TEM_{00} mode’s coupling to the dark photon. Orpheus is designed to search for axions and dark

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\(^2\) Orpheus was initially designed to have a spatially alternating magnetic field rather than a periodic dielectric structure. However, this alternating magnetic field design is difficult to scale to many Tesla.
photon around 16 GHz with over 1 GHz of tuning range. The cavity tunes by changing its length, and the dielectric plate positions are adjusted appropriately.

There are several benefits to the open resonator design. Fewer metallic walls lead to smaller ohmic losses and fewer resonating modes. This leads to a sparse spectrum and fewer mode crossings, making it easier to follow the mode of interest while the cavity tunes. However, this experiment has many challenges. First, the optics should be designed so that the TEM$_{00-18}$ mode has a 1 GHz tuning range. This includes choosing the right radius of curvature for the Fabry-Perot mirrors and appropriate dielectric thicknesses. In addition, the mechanical design for such a cavity is complicated because there are many moving parts that have to work in a cryogenic environment. Finally, both diffraction and dielectric losses will decrease the resonator $Q$.

Because of their potential to search for higher-mass dark matter than current experiments, dielectric haloscopes are being developed by other collaborations. Examples include MADMAX [39, 40], LAMPOST [41, 42], and DBAS [43, 44].

III. ORPHEUS CAVITY DESIGN

The Fabry-Perot cavity consists of a flat aluminum mirror and a curved aluminum mirror with a radius of curvature, $r_0 = 33$ cm. $r_0$ is chosen to be about twice the cavity optical length near 18 GHz so that the flat mirror is at the focus of the curved mirror. Both mirrors are 15.2 cm in diameter. The cavity tunes by changing the distance between mirrors, and the dielectric plates are adjusted appropriately. The curved mirror, bottom dielectric plate, and top dielectric plate are each controlled by a pair of threaded rods driven by a room-temperature stepper motor. Thus the cavity has three degrees of freedom. A pair of scissor jacks constrain the inner two dielectric plates so that they are evenly spaced between the top and bottom dielectric plate. The mechanical design is described in further detail in Section IV.

The dielectric plates consist of 99.5% alumina sheets purchased from Superior Technical Ceramic. The alumina plates are about 151.6 mm × 151.6 mm × 3 mm. The plates are octagonal to approximate a circular shape, but the straight lines are easier to machine. The dielectric constant is $\epsilon_r = 9.8$ and the loss tangent is tan $\delta < 0.0001$ [45]. The dielectric plates are 3 mm thick because that is approximately half a wavelength inside a $\epsilon_r = 9.8$ dielectric 16.5 GHz.

The Orpheus cavity modes resemble the Gaussian TEM modes of the empty Fabry-Perot cavity [46, 47]. A theoretical summary, simulations, and measurements of the empty Fabry-Perot cavity pertinent to Orpheus are described in [49]. However, it is infeasible to describe the fields of the dielectrically-loaded cavity analytically, and simulations are required to understand the electric field.

The TEM$_{00-18}$ mode is designed to be the mode of interest. The mode has 19 antinodes (or half-wavelengths) across the cavity, and a dielectric plate is placed every fourth antinode, as shown in Fig. 2.

Power is both injected into and extracted from the cavity via aperture coupling connected to WR-62 rectangular waveguides. There are two apertures that make up the strongly-coupled port and the weakly-coupled port. The strongly coupled port consists of an aperture 5 mm in diameter and 3.8 mm thick located on the flat mirror. This was empirically determined to have an acceptable $\beta$ without too much detriment to the mechanical stability or $Q_u$. The weakly-coupled port consists of an aperture 4.0386 mm in diameter and about 3.8 mm thick.

The cavity can be modeled as a two-port network. Networks can be fully described by the scattering matrix [50], each element defined as $S_{ij} = V_i^-/V_j^+$ or $V_i^+ = 0$ for $k \neq j$

where $V_j^+$ is the amplitude of the voltage wave incident on port $j$ and $V_i^-$ is the amplitude of the voltage wave reflected from port $i$. $S_{11}$ is the reflection coefficient $\Gamma$ of port 1, and $S_{21}$ is the transmission coefficient $T$ from port 1 to port 2. The transmitted and reflected power near a cavity resonance is Lorentzian

$$|T|^2 = \frac{\delta y}{1 + 4\Delta^2} + C_1$$

$$|\Gamma|^2 = -\frac{\delta y}{1 + 4\Delta^2} + C_2$$

where $\delta y$ is the depth of the Lorentzian, and $C_1$ and $C_2$ are constant offsets.

To extract $f_0$, $Q_u$, and $\beta$, “narrow scans” are taken, where the VNA measures $S_{21}$ and $S_{11}$ within a few $Q$-widths of the TEM$_{00-18}$ mode. These narrow scans are fitted to the Lorentzian functions in Equations 8 and 9. From the Lorentzian fits, one can extract $f_0$ and $Q_u$.

The cavity coupling coefficient $\beta$ can extracted from the value of the reflection coefficient on resonance and the phase change on resonance. First, whether the cavity is overcoupled ($\beta < 1$) or undercoupled ($\beta > 1$) can

FIG. 2. The simulated electric field magnitude of the TEM$_{00-18}$ mode at $f_0 = 15.97$ GHz, $L = 15.4919$ cm. The electric field magnitude scales linearly from blue to red in accordance with the color bar.
be determined from $\Delta \Gamma$; an undercoupled (overcoupled) cavity will have an increase (decrease) in phase on resonance. $\beta$ can then determined from the depth of the Lorentzian on resonance,

$$\beta = \begin{cases} \frac{1-|\Gamma_c(f_0)|}{1+|\Gamma_c(f_0)|} & \text{if } \beta \leq 1 \\ \frac{1+|\Gamma_c(f_0)|}{1-|\Gamma_c(f_0)|} & \text{if } \beta \geq 1 \end{cases}$$

(10)

where $\Gamma_c$ is the reflection coefficient of the strongly-coupled port. Note that the measured $S_{11}$ is affected by the transfer function of the network connected to the cavity, and this effect must be removed to obtain $\Gamma_c$. $\Gamma_c(f_0)$ can be obtained from the fitting parameters in Equation 9, $\Gamma_c = \sqrt{1 - \delta y/C_2}$.

**IV. ORPHEUS CRYOGENIC AND MECHANICAL DESIGN**

This section describes the mechanical structure that moves the mirrors and dielectric plates. This structure is designed to work in a vacuum and cryogenic environment. The machining tolerances need to be tight enough to maintain good alignment and achieve good $Q$, but the machining tolerances should be large enough to allow the tuning mechanism to work even with small amounts of misalignment.

**A. Orpheus Cavity Mechanical Design**

![CAD model for the Orpheus cavity.](image)

The mirror and alumina plates are held in place by aluminum holders (Fig. 3). They rest on a lip and rely on gravity for mechanical stability. Each dielectric plate sits inside an aluminum holder with lots of slack to accommodate the differential thermal expansion coefficients during cooldown. If the tolerances were tight at room temperature, the aluminum would contract faster and crush the alumina. Nothing is clamping down the alumina because the clamping mechanism would contract more quickly than the alumina and crush it. The mirrors are made out of aluminum, so there is no differential expansion between the mirror holders. Tighter tolerances are viable and desired to keep the coupling apertures in the cavity axis.

![CAD model for one of the dielectric plates.](image)
used. It has a spring constant of 0.37 lb/in. If the springs are too stiff, they deform the spiral flexures and cause significant position errors.

The cavity is shown in Fig. 4. The cavity tunes accurately and maintains good alignment while tuning. Measurements with a circular bubble level demonstrated that plates did not tilt more than 0.5°. Measurements demonstrated that the position error was often around 0.2 mm and rarely exceeded 0.4 mm. These possible misalignments increase the uncertainty in $V_{eff}$.

B. Insert

The cavity is the core of the experiment, but the cavity needs to be cooled down to 4 K under vacuum. The cavity is kept in a vacuum instead of directly under cryogenic fluids because the cavity is not designed to remain mechanically stable under a boiling fluid. The cavity is to stay cold for about a week to allow enough time to scan the tuning range. Room-temperature stepper motors tune the cavity, and the stepper motors are located away from the superconducting dipole magnet that will be commissioned in the next run. The insert in Fig. 5 was designed to meet these requirements. The main components are described below.

FIG. 5. Orpheus cryogenic insert.

1. Motor stage shown in Fig. 6. Each stepper motor (Applied Motion Products STM23S-2EE [51]) drives a pair of miter gears that transmit power to the vertical shafts connected to the vacuum rotary feedthroughs on the top flange.

2. Top flange that sits on top of the Dewar (Fig. 6).

Has many of the vacuum and cryogenic ports listed below.

- Six MDC Precision 670000 rotary motion feedthroughs.
- AMI vapor-cooled magnet leads with superconducting busbars. They have a 8 L/day boil off rate.
- Kurt-Lesker IFDRG197018B 19 pin electrical feedthrough.
- Isocross for vacuum port, 51 pin micro type-D port (MDC 9163004), and RF coaxial port.
- cryogen fill port
- cryogen vent port

FIG. 6. Insert top flange with all the vacuum ports, cryogen ports, and motor stage.

3. 300 L Dewar with a 18 L/day boil off rate.
4. 50" long, 4" OD stainless steel tube.
5. Six 50" long, 0.75" ID stainless steel tubes that house motor shafts in vacuum space.
6. Six motor shafts. Each motor shaft consists of a stainless steel tube coupled to a G10 fiberglass tube. The fiberglass tube reduces thermal contact with the top flange. The stainless steel tube is not as thermally isolating as the fiberglass but has less elasticity and would lead to less mechanical backlash.
7. Copper plug to act as cold finger. Used to thermally sink cryogenic fluid to the cavity top.
8. Rectangular experimental support plate welded to the bottom of the central vacuum tube. The cavity attaches to the experimental support plate.

9. Cavity vacuum vessel. In the future, the dipole magnet will attach to this vessel.

Unfortunately, several preventable mechanical alignment issues caused motor stalls and thermal gradient issues that increased the noise power and limited the total data-taking time to two days. These issues are talked about in detail in [29] and will be addressed before the next run.

V. ELECTRONICS, DATA ACQUISITION SYSTEM, AND OPERATIONS

This section focuses on how measurements in the Orpheus experiment are taken. The section explains the radio frequency (RF) and intermediate frequency (IF) electronics, the steps taken for each data-taking cycle, and the software that controls and monitors the experiment.

A. Cryogenic Electronics

The diagram for the cold electronics is shown in Fig. 7. The cavity has a weakly-coupled port and a strongly-coupled port. The strongly-coupled port is connected to a WR-62 20 dB crossguide coupler (PEWCP1047). The crossguide coupler is attached to a waveguide-to-coax adapter (PE9803). The coax adapter connects directly to the cryogenic low noise amplifier (LNF-LNC6_20C). The cryogenic amplifier output is connected to an RG405 coaxial cable that connects directly to the room-temperature SMA bulkhead.

During a science run, the strongly-coupled port transmission and reflection coefficients are measured every time the cavity is tuned to extract $f_0$, $Q$, and $\beta$. Transmission and reflection measurements are performed using a Keysight E5063A VNA. For a transmission measurement, VNA port 1 is connected to the weakly-coupled port. For a reflection measurement, the VNA port 1 is connected to the crossguide coupler coupled port, as shown in Fig. 7. The signal then travels to the strongly-coupled port, gets reflected, and reaches the input of the cryogenic amplifier. The crossguide coupler is needed because the VNA source signal needs to bypass the cryogenic amplifier to reach the strongly-coupled port. The remaining port in the crossguide coupler is terminated with a waveguide terminator (PE6804). A Teledyne SMA switch is used to switch between reflection and transmission measurements.

3 This direct connection causes a large heat leak but was easy to implement. Future runs will take steps to mitigate this heat leak.

FIG. 7. A diagram of how transmission, reflection, and power measurements are taken. Room temperature Teledyne switches are used to switch between transmission and reflection measurements, and from VNA measurements to power measurements.

B. Room-temperature Electronics

The schematic for the room-temperature electronics is shown in Fig. 8 and the list of components with the associated cascade analysis is shown in Table 1.

After the signal leaves the cryogenic insert, it is directed to a room-temperature low noise amplifier (LNF-LNR6_20A SV) to ensure sufficient gain so that the rest of the electronics do not degrade the SNR. The signal is amplified again by the ZX60-183-S amplifier. The 16 GHz signal needs to be frequency mixed so that it can be digitized by a 180 MSPS digitizer card. This frequency downconversion is achieved by sending the RF signal to an Image-Reject Mixer (IRM140180B). The mixer uses the nonlinear semiconductor properties to multiply the RF signal with angular frequency $\omega_{RF}$ by a local oscillator signal with angular frequency $\omega_{LO}$. The result is a signal with spectral components with both the sum and difference of the two frequencies (and higher-order harmonics), i.e. $\sin(\omega_{RF}t)\sin(\omega_{LO}t)\propto \sin((\omega_{RF} - \omega_{LO})t) + \sin((\omega_{RF} + \omega_{LO})t)$. The signal component with $\omega = \omega_{RF} + \omega_{LO}$ is removed using a low-pass filter, and the signal component with $\omega = \omega_{RF} - \omega_{LO}$ is digitized. The resulting frequency $(\omega_{RF} - \omega_{LO})/(2\pi)$ is known as the Intermediate Frequency (IF). The IF is chosen to be about 30 MHz to be compatible with the mixer.

After the RF signal is multiplied by the local oscillator signal, the resulting signal passes through a low-pass filter, and only the IF frequency survives. There is an attenuator between the mixer and low-pass filter to elimi-
nate standing waves which may cause the filter to detune. After this, the IF signal passes through a series of IF amplifiers and bandpass filters. The IF amplifiers amplify the IF signal to the dynamic range of the digitizer card, and the bandpass filters attenuate noise outside the frequency of interest. The amplified IF signal then goes to a directional coupler. The coupled port is connected to a power detector (ZX47-50+), allowing for the real-time measurement of the IF signal power. The directional coupler’s through port is connected to the Alazartech digitizer card (ATS9462), a 16-bit digitizer with a dynamic range of ±200 mV to ±16 V.

The Alazartech digitizer is set to a sampling rate of 125 MSPS. This rate is chosen so that the Nyquist frequency is well above the IF band. Each subspectrum contained 50,000 time samples, resulting in a 2.5 kHz bin width. Depending on the run settings, each spectrum is either the average of 75,000 (30 seconds) subspectra or 250,000 (100 seconds) subspectra. No window function is applied to each subspectrum (equivalently, a rectangular window is applied).

The cascade analysis in Table I shows that the gain from the RF and IF electronics is about 140 dB. For \( T_n \approx 11 \text{K} \) and bin width \( b = 2.5 \text{kHz} \), the noise power without the system gain is \( P_n = k_B T_n b = -118 \text{dBm} \). Thus the noise power measured by the digitizer is \( P_n = 21.8 \text{dB} = 8 \text{V}_p-p \) and is within the dynamic range of the digitizer.

\[ T_{sys} = T_{cav}(1 - |\Gamma|^2) + T_{amp,input}|\Gamma|^2 + T_{rec} \quad (11) \]

where \( T_{cav} \) is the physical temperature of the cavity, \( T_{amp,input} \) is the noise temperature coming from the input of the cryogenic amplifier, \( T_{rec} \) is the noise temperature of the receiver chain from the output of the cryogenic amplifier outward, \( \Gamma \) is the reflection coefficient, and \( |\Gamma|^2 \) is the fraction of power reflected. From Equation (11), \( |\Gamma|^2 \) depends on both the cavity coupling coefficient \( \beta \) and the detuning factor \( \Delta \).

To understand Equation (11) consider several limiting cases. When the receiver is critically coupled to the cavity, the cavity on resonance looks like a blackbody. Thermal photons are in thermal equilibrium with the cavity, and \( T_{sys} = T_{cav} + T_{rec} \). If the receiver is poorly coupled or if the RF frequency is far off resonance, the cavity looks more like a mirror. In this scenario, thermal photons are emitted from the input of the amplifier. These thermal photons reach the flat mirror and are reflected back into the amplifier. Thus, \( T_{sys} = T_{amp,input} + T_{rec} \).

Generally, the cavity is only partially reflecting, and the noise temperature is described by Equation (11).

![Graph showing derived receiver noise temperature](image)

**FIG. 9.** The derived receiver noise temperature. The receiver noise temperature can be applied to Equation (11) to obtain the system noise temperature as referenced to the cavity.

The noise temperature of the receiver is derived from datasheets from Low Noise Factory and the Friis cascade equation [53]

\[ T_{rec} = T_1 + \frac{T_2}{G_1} + \frac{T_3}{G_1G_2} + \ldots \quad (12) \]

where \( T_1 \) and \( G_1 \) are the noise temperature and gain of the first-stage cryogenic amplifier. \( T_2 \) and \( G_2 \) are the noise temperature and gain of the second-stage room-temperature LNA. \( T_3 \) is the noise temperature of the third stage amplifier. The third term is negligible compared to the first two terms. The derived receiver noise temperature is shown in Fig. 9.

\[ T_{sys} = T_{cav}(1 - |\Gamma|^2) + T_{amp,input}|\Gamma|^2 + T_{rec} \quad (11) \]

D. **Software Stack**

The control software consists of modular, self-healing, loosely-coupled services with a standardized messaging protocol for all serial communication between devices. The control software stack consists of Python, Postgresql, RabbitMQ, Dripline, Docker, Kubernetes, Helm, and Grafana. Except for the digitizer driver, all software is open source. Dripline [54] is the standardized messaging protocol that communicates with different hardware such as the digitizer, VNA, and stepper motors; and software services such as the database and message broker. Each dripline service is run inside a docker container, and Kubernetes manages the lifecycle of these containers. Grafana provides real-time data visualization. Python is the scripting language of choice.

E. **Data-taking procedure**

The cavity is tuned continuously to scan for dark photons with different masses. For each cavity length, a

| Frequency (GHz) | Receiver Chain Noise Temperature (K) |
|----------------|-------------------------------------|
| 15.0           | 4.7                                 |
| 15.5           | 4.8                                 |
| 16.0           | 4.9                                 |
| 16.5           | 5.0                                 |
| 17.0           | 5.1                                 |
| 17.5           | 5.2                                 |
| 18.0           | 5.3                                 |
series of ancillary measurements are taken to extract a noise power calibration and expected dark photon signal power. The power spectrum is then measured out of the cavity to search for a spectrally-narrow power excess that may correspond to a dark matter signal. The intended data-taking procedure for each tuning step is as follows:

1. The state of the system is recorded. The temperature sensors and motor encoders are logged into the database. These measurements allow the extraction of the noise power, cavity length, and dielectric plate positions.

2. The transmission and reflection coefficients are measured 20 MHz around the TEM\textsubscript{00}−18 mode. Parameters $f_0$, $Q_L$ and $\beta$ are extracted from these measurements. The extracted parameters are logged into the database. This data is re-analyzed offline for more sophisticated uncertainty analysis.

3. The VNA output is disabled, and the power spectrum is measured. The power spectrum is integrated for either 30 s or 100 s.

4. The motors are tuned in a coordinated way so that the curved mirror and dielectric plates are moved by a specified amount.

This procedure is repeated for each tuning step. Every 20 data-taking cycles, the transmission coefficient is measured with a frequency range of 15 GHz to 18 GHz, i.e., a wide scan measurement is taken.

The dielectrics were meant to maintain an even spacing between the mirrors while the cavity tuned. However, issues during operations resulted in unevenly-spaced dielectric plates. The top dielectric plate motor stalled after cooldown, preventing automated tuning of the top dielectric plate. In response, this motor was turned off and the top dielectric plate was tuned by hand every few hours to correct position errors. Also, programming errors caused the bottom dielectric plate to always undershoot the intended position. This effect was discovered after the run. Fortunately, the motor encoder values allow us to extract the actual plate positions, and $V_{eff}$ can be simulated using the measured dielectric plate positions.

VI. DARK PHOTON SEARCH ANALYSIS

The data collected between 9/3/2021 and 9/7/2021 was used to search for dark photons between 65.5 $\mu$eV (15.8 GHz) and 69.5 $\mu$eV (16.8 GHz). All measured power was consistent with thermal noise, so a 90% confidence level exclusion limit was placed on the kinetic mixing angle $\chi$ in this mass range. The procedure for deriving the exclusion limits in this chapter follows the procedure developed by ADMX and HAYSTAC [36, 55, 56], and is adapted for dark photon searches [16, 17].

The dark photon search strategy is to look for a spectrally-narrow power excess (Equation 2) over the noise floor. In broad strokes, the strategy is to first remove the low-frequency structure from each power spectrum, such that the population mean of each bin is zero and deviation from zero is either from statistical fluctuation due to the noise temperature of the detector or from a coherent RF signal. This results in a unitless power excess normalized to the system noise power. In searching for potential dark photon candidates, the SNR is the figure of merit. Thus the power excess is rescaled so that it is in units of single-bin dark photon power. In other words, a bin’s population mean is one in the presence of a single-bin dark photon signal. However, the dark photon power is spread across many bins, reducing the SNR. The SNR of a potential signal is increased by applying a matched filter with dark photon kinetic energy distribution as the template. The different, partially overlapping spectra are then combined using a maximum likelihood
weighting procedure to form a combined spectrum. In the absence of any dark photon signal candidates, the sample mean and sample standard deviation of each bin in the combined spectrum can be used to place a 90% confidence exclusion limit on the scanned dark photon mass ranges.

A. Parameter extraction

For each tuning step, ancillary measurements were taken to extract the parameters needed to determine the noise temperature and the dark photon power. The necessary parameters are the cavity temperature, cavity length, dielectric positions, resonant frequency, loaded Q, cavity coupling coefficient, and effective volume.

![Temperature vs. Time](image)

**FIG. 10.** The cavity mirror temperatures throughout the dark photon search.

The cavity temperature $T_{\text{cav}}$ is taken to be the mean of the flat mirror and curved mirror temperatures shown in Fig. 10, and the uncertainty is taken from a continuous uniform distribution, $\sigma_{T_{\text{cav}}} = \frac{T_{\text{cav}} - T_{\text{cav,toroid}}}{\sqrt{12}}$. The temperature $T_{\text{cav}}$ is thought to be an overestimate of the mean temperature of the thermal photons coming from the cavity and is, therefore, a conservative choice. The flat mirror was hotter than the rest of the cavity because it had poor thermal contact and was subject to greater heat leak from the two coaxial cables connected directly to a room-temperature port.

The cavity length and dielectric positions are calculated using the motor encoder values. The motor encoders are first set to 0 when the cavity length is 13.6 cm long. The steps counted by the motor encoders are then used to calculate relative changes in distance. One complete revolution corresponds to 20,000 motor steps, and twenty revolutions correspond to a plate moving one inch along the 1/4”-20 threaded rod.

The discussion of the extracted $f_0$, $V_{eff}$, $Q_L$, and $\beta$ for the TEM$_{00-18}$ mode is deferred to Section VIB.

B. Simulation and Characterization of the TEM$_{00-18}$ Mode

The crux of the Orpheus experiment is using the dielectric structure to increase $V_{eff}$. Since $E$ cannot be measured directly, it is simulated using Finite Element Analysis simulation software (specifically, ANSYS® HFSS 2021 R1). Simulations are also used to identify which of the many measured cavity modes corresponds to TEM$_{00-18}$. The TEM$_{00-18}$ fields are simulated and shown in Fig. 2. The fields resemble their free space Gaussian counterparts in the empty cavity case. From the simulated field, $V_{eff}$ was calculated using Equation 1. Because of the orientation of the WR-62 waveguide, the receiver is only sensitive to $E_y$, so $V_{eff} = \left( \int dV E_y(\vec{x}) \right)^2 / \left( \int dV |E_y(\vec{x})|^2 \right) \langle \cos^2 \theta \rangle_T$, where $\theta$ is the angle between the electric field along $y$ and the dark photon field. $\theta$ is unknown, but $\langle \cos^2 \theta \rangle_T = 1/3$ if the dark photon is randomly polarized [10, 16, 17].

![Mode Map](image)

**FIG. 11.** Measured mode map for dielectrically-loaded Fabry-Perot cavity. The heatmap shows the measured scattering parameter $S_{21}$ as a function of frequency and cavity length. The dark lines correspond to the modes of the cavity where transmission is highest. The simulated modes, shown as circles, are overlaid on the measurement. The simulated TEM$_{00-18}$ mode is shown as a black line along the diagonal of the mode map. Both measurement and simulation correspond to a room-temperature tabletop setup in which the dielectrics maintained even spacing throughout the cavity. This configuration suffers from a mode crossing at about 16.4 GHz. This mode crossing was mitigated in the dark photon search by deviating from the evenly-spaced configuration.

The TEM$_{00-18}$ mode is simulated for different cavity lengths. The dielectric plates were originally intended to maintain an even spacing throughout the cavity as it tuned. The modes of the evenly-spaced configuration were simulated for the entire tuning range and are found to agree with the measured mode spectrum of a tabletop...
setup in Fig. 11. However, the evenly-spaced configuration resulted in a wide mode crossing where two degenerate modes hybridize, significantly reducing $V_{\text{eff}}$ and $Q$. The simulations for the evenly-spaced configuration are described in detail in Ref. [19].

While the evenly-spaced measurements and simulations are illuminating, they are not applicable to the dark photon search in this paper. The dark photon search did not follow this evenly-configured configuration because of mechanical tuning and software issues described in Section V E. This accidental deviation mitigated the mode crossing and improved the sensitivity of the detector throughout its entire tuning range. The simulation results in this paper pertain to the dielectric plate positions measured in the dark photon search rather than the “idealized” evenly-spaced configuration.

This deviation is parametrized by the position error $\delta$, defined as the deviation from the evenly spaced configuration (actual position - evenly-spaced position). Orpheus is oriented vertically such that the flat mirror is on the top and the curved mirror is on the bottom, as shown in Fig. 4. Naturally, the bottom dielectric plate is closest to the curved mirror, and the top dielectric plate is closest to the flat mirror. A positive position error means the dielectric plate is closer to the curved mirror than what would be intended by the evenly-spaced configuration. This sign convention is consistent with the direction of increasing or decreasing cavity length (the flat mirror is fixed, and the curved mirror moves to adjust the cavity length). The middle two dielectric plates are constrained by the scissors jacks and stay evenly spaced between the top and bottom dielectric plates. The position errors measured throughout the entire search are plotted in Fig. 12.

![FIG. 12. The deviation of the plate positions from the evenly-spaced configuration. This position error was used to estimate the uncertainty in $V_{\text{eff}}$.](image12)

The simulated $f_0$, $Q_0$, and $V_{\text{eff}}$ are plotted in Figs. 13 and 14. The plot divides $V_{\text{eff}}$ by $\langle \cos^2 \theta \rangle_T$ to make the results more comparable to $V_{\text{eff}}$ for axion experiments (where $\langle \cos^2 \theta \rangle_T = 1$). $V_{\text{eff}}(\cos^2 \theta)^{-1} \sim 55 \text{ mL}$ for much of the tuning range, which is about a factor of 20 times larger than a cylindrical cavity haloscope with a 2-to-1 aspect ratio operating at the same frequency. The form factor $(V_{\text{eff}}/V_c$, where $V_c$ is the volume of the cavity) is about 2% but can be improved upon by optimizing mirror curvatures, dielectric thicknesses, dielectric positioning, and by adding more dielectrics.

![FIG. 13. The TEM$_{00-18}$ mode frequency vs the cavity length. The simulated mode (orange) is found to be offset from the measured mode (blue) by 0.7 mm, suggesting a systematic error in the absolute cavity length.](image13)

The simulated $f_0$, $Q_0$, and $V_{\text{eff}}$ are plotted in Figs. 13 and 14. The plot divides $V_{\text{eff}}$ by $\langle \cos^2 \theta \rangle_T$ to make the results more comparable to $V_{\text{eff}}$ for axion experiments (where $\langle \cos^2 \theta \rangle_T = 1$). $V_{\text{eff}}(\cos^2 \theta)^{-1} \sim 55 \text{ mL}$ for much of the tuning range, which is about a factor of 20 times larger than a cylindrical cavity haloscope with a 2-to-1 aspect ratio operating at the same frequency. The form factor $(V_{\text{eff}}/V_c$, where $V_c$ is the volume of the cavity) is about 2% but can be improved upon by optimizing mirror curvatures, dielectric thicknesses, dielectric positioning, and by adding more dielectrics.

![FIG. 14. $V_{\text{eff}}$ and $Q_0$ as a function of mode frequency. The simulated $Q_0$ is consistent to the measured $Q_0$ within the simulated uncertainties.](image14)
extra parameters to the reflection fitting measurement improves the fit to the distorted Lorentzian, but the additional fitting parameters have a degeneracy, and extracting $\beta$ seems infeasible \cite{19}.

The fitted $f_0$ is shown in Fig. 13. Some cavity lengths are double-valued because of the hysteresis in the tuning mechanism. The same VNA measurements taken with the same motor encoder values at different times do not result in the same $f_0$ because the motor backlash would lead to different cavity lengths. $f_0$ is discontinuous at cavity lengths of around 15 cm, 15.3 cm, and 15.6 cm because the top dielectric plate was abruptly tuned by hand (motor stall issues prevented automated tuning of the top dielectric plate). The simulated mode frequency is also plotted (orange line) and shown to deviate from the measured mode frequency. However, the measured and simulated frequencies match if a 0.7 mm offset is added to the simulated frequency. This suggests there is a systematic uncertainty in the measured absolute cavity length which may be caused by mechanical contractions during cooldown or by tuning hysteresis.

The fitted $Q_L$ and $\beta$ are shown in Figs. 14 and 15. Because the Lorentzian fit does not capture the full physics of a reflection measurement, there are often fits, particularly when the cavity is critically coupled, when the fitted reflected power is negative on resonance. When this occurs, the Lorentzian dip is often greater than 15 dB, which is very close to critical coupling. In this case, the coupling coefficient is taken to be 1 with no uncertainty. This choice is safe because near critical coupling, the dark photon power is insensitive to uncertainty in $\beta$.

The loaded $Q$ drops off below 16 GHz and above 16.5 GHz, suggesting Orpheus has a natural bandwidth. This makes sense because the dielectric thickness is chosen to be about $\lambda/2$ thick at 16.5 GHz. The more the dielectric thickness deviates from $\lambda/2$, the more destructively interfering the dielectrics become. If the dielectric thickness is $\lambda/4$, the wave destructively interferes in the dielectric and would not transmit through the cavity. This system is reminiscent of a cavity filter.

![Cavity Coupling as a Function of Mode Frequency](image)

**FIG. 15.** Cavity coupling as a function of mode frequency.

### C. Uncertainty in $V_{\text{eff}}$

$V_{\text{eff}}$ is an important parameter that can only be obtained through simulation. Thus, it is essential to understand how $V_{\text{eff}}$ is affected by mechanical misalignments and uncertainties in the dielectric properties. These perturbations are simulated to obtain an uncertainty in $V_{\text{eff}}$.

Different perturbations of the cavity parameters were simulated when $f_0 \approx 16$ GHz. These parameters include the flat mirror tilt angle, the third dielectric plate tilt angle, position errors $\delta_\text{top}$ and $\delta_\text{bottom}$, dielectric constant $\epsilon_r$ and dielectric loss $\tan \delta$. Uncertainties of these parameters are estimated from the literature and tabletop measurements. Relative uncertainties $\delta f_0$, $\delta Q_u$, and $\delta V_{\text{eff}}$ are estimated from the parametric sweep results and the parameter uncertainties.

The simulations associated with Fig. 14 do not include the mechanical structure described in Section IV because of a lack of computational resources. A simplified version of the mechanical structure was simulated for $f_0 \approx 16$ GHz. This simplified structure consisted of perfectly conducting metal surrounding the mirrors and dielectric plates to approximate the mirror and dielectric plate holders. These simulated plate holders are connected by perfectly conducting rods at the outer corners of the holders to approximate the guiding rails. This simplified structure caused the simulated $Q_u$ to drop by 30% and the simulated $V_{\text{eff}}$ to drop by 2%.

The simulated uncertainties caused by parameter perturbations are added in quadrature to derive total relative uncertainty in $f_0$, $Q_u$, and $V_{\text{eff}}$. The results are shown in Table II. It is found that $Q_u$ is much more sensitive to perturbations than $V_{\text{eff}}$.

| Perturbation Source | Uncertainty $\delta f_0 / 10^{-4}$ | $\delta Q_u$ | $\delta V_{\text{eff}}$ |
|---------------------|-----------------------------------|-------------|---------------------|
| Flat mirror tilt angle | $1^\circ$ | 2.14 | 0.054 0.035 |
| Dielectric tilt angle | $1^\circ$ | 0.376 | 0.000 0.000 |
| $\delta_{\text{top}}$ | 0.2 mm | 1.90 | 0.061 0.028 |
| $\delta_{\text{bottom}}$ | 0.2 mm | 1.90 | 0.061 0.028 |
| $\epsilon_r$ | 0.1 | 6.45 | 0.267 0.048 |
| $\tan \delta$ | $10^{-4}$ | 0.501 | 0.280 0.014 |
| Effects of structure | | 0.900 | 0.299 0.022 |
| Total relative uncertainty | | 7.14 | 0.499 0.076 |

**TABLE II.** Different sources of perturbations were simulated at $f_0 \approx 16$ GHz to derive an relative uncertainties $\delta f_0$, $\delta Q_u$, $\delta V_{\text{eff}}$.

These perturbation studies also reveal that $V_{\text{eff}}$ increases with negative position errors $\delta_{\text{top}}$ and $\delta_{\text{bottom}}$, as shown in Fig. 16. Comparing the unperturbed and perturbed cases in Fig. 17 provides intuition for why that’s the case. For the unperturbed case, the portion of the

---

5 The rods are made of stainless steel, which is a poor electrical conductor. However, simulating these rods as stainless steel requires more computational resources than what we have available.
wavefront radially farther away from the beam axis gets pushed towards the dielectrics, reducing $V_{eff}$. Pulling the dielectrics away from the curved mirror also pulls it away from the curved wavefront. For positive position errors, the curved wavefront gets pushed more into the dielectrics, and $V_{eff}$ decreases. It appears that a position error of $\sim -1$ mm optimizes $V_{eff}$.

![Graph](image1)

**FIG. 16.** The effect of position error on the effective volume. This study suggests that it would actually be more optimal to deviate from the evenly-spaced configuration.

![Graph](image2)

**FIG. 17.** The effect of dielectric plate position error on the electric field, simulated at about 15.82 GHz. (a) No position error. (b) $\delta_{top} = -0.52$ mm, $\delta_{bottom} = -2$ mm. The electric field magnitude scales linearly from blue to red in accordance with the color bar.

### D. Estimating expected sensitivity

| Parameter         | Value                                      |
|-------------------|--------------------------------------------|
| $\beta$           | 1                                          |
| $V_{eff,max}$     | 60 cm$^3$                                  |
| $\rho_{d}$        | $0.45$ GeV/cm$^3$                          |
| $m_{d}$           | $6 \times 10^{-17}$ GeV                    |
| $t$               | $1.5 \times 10^{-4}$ GeV$^{-1}$ (10 s)     |
| $Q$               | 10000                                      |
| $T_n$             | $8.617 \times 10^{-13}$ GeV (10 K)         |
| SNR               | 3                                          |

**TABLE III.** Operating parameters for Orpheus used to estimate Orpheus science reach.

Before assembling the combined spectrum to derive an exclusion limit for $\chi$, the detector sensitivity is estimated from the operating parameters shown in Table III. The equation for sensitivity in $\chi$ is

$$\chi = \sqrt{\frac{1}{\langle \cos^2 \theta \rangle_T} \frac{\beta + 1 \text{SNR} \times bT_n}{\beta \cdot m_{d} V_{eff} Q L}} \left( \frac{1}{b t} \right)^{1/4}.$$  \hspace{1cm} (13)

So for randomly polarized dark photons, $\langle \cos^2 \theta \rangle_T = 1/3$ and $\chi = 8.56 \times 10^{-14}$.

### E. Processing Individual Raw Spectrum

#### 1. Removing Spectral Baseline

The raw spectrum consists (Fig. 18) of the system noise power $P_n = G b \delta T_n$, plus fluctuations about this noise power. $T_n$ affects the SNR of the dark photon data. The system gain, i.e., transfer function, does not affect the SNR of the dark photon data but causes the large-scale gain variation seen in Fig. 18. The first step of processing the raw spectra is to remove this gain variation. The gain variation is caused by both the IF and RF electronics. The IF gain and RF gain are thought of as separate because the IF gain applies equally to all spectra, whereas the RF gain depends on the frequency of the cavity. The gain variation is removed by first dividing the spectrum by $G_{IF}$ and then by $G_{RF}$.

Figure 18 shows a hypothetical dark photon signal superimposed on the raw spectrum. The dark photon signal is much narrower (eight bins) than the large-scale gain variation caused by the electronics. Thus it is safe to apply filters to remove this gain variation. In more detail, the spectral shape of the dark photon signal is proportional to the dark photon kinetic energy distribution. The most conservative energy distribution assumes a virialized, isothermal halo that obeys a Maxwell-Boltzmann distribution,
FIG. 18. Raw spectrum as measured by the digitizer. Assuming the spectrum is just noise, the raw spectrum is the noise power of the system. A hypothetical dark photon signal of arbitrary power is superimposed on the raw spectrum to show that a signal would be much narrower than the large-scale structure of the noise background.

\[
F(f) = \frac{2}{\sqrt{\pi}} \sqrt{f - f_a} \left( \frac{3}{f_a \langle \beta^2 \rangle} \right)^{3/2} \exp\left( -\frac{3(f - f_a)}{f_a \langle \beta^2 \rangle} \right)
\]

(14)

where \(f\) is the measured frequency, \(f_a\) is the frequency of the associated SM photon, \(\langle \beta^2 \rangle = \frac{\langle v^2 \rangle}{c^2}\), and \(\langle v^2 \rangle\) is the rms velocity of dark matter halo. The “quality factor” of the dark photon lineshape is \(\mathcal{O}(10^6)\). In the lab frame, the lineshape takes a more complicated form, but is well approximated by Equation 14 if \(\langle \beta^2 \rangle \to 1.7 \langle \beta^2 \rangle\) [56].

The RF gain variation is removed by applying a second-order Savitzky-Golay software filter with a window length of 151 bins to the individual spectrum [56], as shown in the orange curves in Fig. 20. The spectra are then divided by the filtered curve to remove the RF gain variation. The processed spectra are now normalized such that the mean of the bins is one. The dark photon signal would show up as a power excess, so the process spectra are subtracted by one to yield the flat spectra fluctuating about zero, shown in Fig. 21. Each bin is either an average of 75000 spectra or 250000 spectra, and so the fluctuations about zero are Gaussian by the Central Limit Theorem. The power excess for each bin in the processed spectrum is denoted as \(p\). All bins in a spectrum are pulled from the same Gaussian distribution, and so the uncertainty of each bin \(\sigma_p\) is taken as the standard deviation of all the bins in a spectrum. \(\delta_p\) is the power excess normalized to units of system noise power \(P_n\).

FIG. 19. The average of all the recorded spectrum. This is thought of as an good estimation of the gain variation caused by the IF electronics.

The IF baseline is estimated by averaging all the power spectrum while the cavity was actively tuning. The IF baseline is then smoothed using a fourth-order Savitzky-Golay software filter with a window length of 501 bins. Note that the Savitzky-Golay window is much larger than the hypothetical dark photon signal in Fig. 18, which is about eight bins wide. The resulting IF baseline is shown in Fig. 19. Every spectrum is divided by this IF baseline, and the result is a unitless power spectrum that is still affected by the RF gain variation, as shown in Fig. 20.

FIG. 20. The raw spectra are all divided by the IF baseline to form some normalized spectrum. A Savitzky-Golay filter is then applied (orange) to obtain gain variation from the RF electronics.

FIG. 21. The power excess in units of system noise power. The orange band represents the standard deviation.
Applying the two Savitzky-Golay filters affects the SNR of a dark matter signal. This effect is captured by the filter-induced signal attenuation $\eta$ in Equation 2. $\eta$ is determined using simulated dark photon signals with SNR = 5 that are superimposed on the raw spectrum. The simulated dark photon signals are calculated as

$$P_{\text{synthetic}} = \text{SNR} \times \frac{\mathcal{F}(f)}{\max(\mathcal{F}(f))} \sigma_P P_n.$$  (15)

$\sigma_P P_n$ is the uncertainty of the raw spectrum. $P_n$ is obtained from multiplying the IF and RF baseline. There are 50 simulated dark photon signals evenly-spaced between 15.8 GHz and 16.7 GHz. A simulated signal is superimposed on the raw spectrum if its frequency falls within a Q-width of the center of the spectrum, and Equation 15 is used to calculate the signal power vs. RF frequency. After the signal is superimposed, the baseline removal procedure is repeated, and the signal-to-noise ratio of the simulated signal in the processed spectrum ($\text{SNR}'$) is obtained. Let $\delta_p$ be the height of the simulated signal after baseline removal. Then $\text{SNR}' = \delta_p / \sigma_p$, and $\eta = \text{SNR}' / \text{SNR}$. Figure 22 shows $\eta$ for all the simulated signals. The baseline removal procedure should not increase the SNR, so the signal attenuation factor is determined to be $\eta = 1.00 \pm 0.14$

The rest of the analysis proceeds without the injected simulated signals.

3. Rescaling Spectra to be in units of dark photon power

Next, the data is rescaled to be in units of dark photon power. Different operating conditions, such as different temperatures or $Q_L$, will change the noise temperature or expected signal power. The data is rescaled so that a single-bin dark photon signal would have unit height if its power is confined to a single bin. However, the expected dark photon lineshape is spread over about eight bin widths, and this reduces the SNR of each bin.

A matched filter is used to increase the SNR of a potential dark photon signal. Each spectrum is convolved with the lineshape as the kernel. However, there is a subtlety because neighboring bins have different uncertainties. The issue is resolved by treating the convolution like a $\chi^2$ minimization problem. Let

$$\chi^2 = \sum \frac{(Ak_i - \delta_{ni})^2}{\sigma_{ni}}.$$  (18)

$\chi = 1$ is arbitrarily chosen because, unlike the QCD axion, there is no benchmark model for the dark photon signal power. The analysis procedure will self-correct for the arbitrarily chosen $\chi$.

The uncertainty for each rescaled spectra bin is determined using standard uncertainty propagation techniques,

$$\frac{\sigma_{s_i}^2}{\delta_{s_i}^2} = \frac{\sigma_P^2}{\delta_p^2} + \frac{\sigma_{P_n}^2}{\delta_{P_n}^2} + \frac{\sigma_{P_S}^2}{\delta_{P_S}^2}.$$  (17)

The resulting rescaled spectrum is shown in Fig. 23.

4. Taking into account the dark photon lineshape

The spectra are rescaled so that a dark photon signal would have unit height if its power is confined to a single bin. However, the expected dark photon lineshape is spread over about eight bin widths, and this reduces the SNR of each bin.

A matched filter is used to increase the SNR of a potential dark photon signal. Each spectrum is convolved with the lineshape as the kernel. However, there is a subtlety because neighboring bins have different uncertainties. The issue is resolved by treating the convolution like a $\chi^2$ minimization problem. Let

$$\chi^2 = \sum \frac{(Ak_i - \delta_{ni})^2}{\sigma_{ni}}.$$  (18)
where $\delta_{si}$ ($s_i$) corresponding to bin $i$, $k_i$ is the element of the convolution kernel corresponding to bin $i$, and $A$ is the fitted amplitude of the signal. The more the bins $\delta_{si}$ match the shape of $k_i$, the larger the amplitude $A$. $\chi^2$ is minimized by setting the derivative of $\chi^2$ to zero and solving for $A$.

$$A = \frac{\sum \delta_{si} k_i}{\sum \frac{k_i^2}{\sigma_i^2}} \ (19)$$

$A$ is the value for each bin in the optimally convolved spectrum. The uncertainty for parameters derived from $\chi^2$ minimization fits is known to be

$$\sigma_A^2 = \left(\frac{d^2 \chi^2}{dA^2}|_{\chi^2_{min}}\right)^{-1},$$

resulting in

$$\sigma_A^2 = \frac{1}{\sum \frac{2k_i^2}{\sigma_i^2}} \quad (20)$$

In other words, a moving chi-square minimization fit is performed on the data.

Applying the matched filter with the lineshape kernel leads to the filtered spectra with power excesses $\delta_f$ in Fig. 24.

![Filtered Power Excess](image)

**FIG. 24.** A matched filter is applied the the rescaled spectrum with power excess $\delta_s$ to form the filtered spectrum with power excess $\delta_f$. The orange band is the standard deviation.

Once all spectra are processed, one can obtain a histogram of the power fluctuations normalized to the standard deviation $\delta_p/\sigma_p$, as shown in Fig. 25. The histogram follows a normal distribution with zero mean and unit standard deviation.

![Power Excess](image)

**FIG. 25.** The power excess $\delta_p$ has a Gaussian distribution.

The combined power excess is shown in Fig. 26. Note that the gap near 15.95 GHz is a result of manually tuning the top dielectric plate.

![Combined Spectrum](image)

**FIG. 26.** The combined spectrum. The orange band is the standard deviation.

### F. Combined Spectrum

The individual filtered spectra single are combined into a single spectrum. The Maximum-Likelihood estimate of the mean $\delta_c$ and uncertainty $\sigma_c$ of the combined spectrum is obtained by a weighted average of all IF bins corresponding to a particular RF bin. The weights of each contributing bin are the inverse variance, and $\delta_c$ and $\sigma_c$ are calculated as

$$\delta_c = \frac{\sum \delta_f/\sigma_f}{\sum 1/\sigma_f^2}, \quad \sigma_c = \sqrt{\frac{1}{\sum 1/\sigma_f^2}} \quad (21)$$

This method of combining spectrum is well-established in haloscope data analysis [36, 55, 56], and finding the Maximum-Likelihood estimations of means and standard deviations is a general and ubiquitous problem in experimental data analysis.

The combined power excess is shown in Fig. 26. Note that the gap near 15.95 GHz is a result of manually tuning the top dielectric plate.

### G. Placing 90% Exclusion Limit

Figure 25 suggests that there are no signals that are non-statistical. In the absence of any obvious dark photon candidates, the statistics of the combined spectrum...
are used to set an upper limit on what dark photon signals could exist.

The probability distribution of measuring a power excess \( \delta_e \) given a haloscope signal power \( S \) is a normal distribution centered around the dark photon signal power.

\[
P(\delta_e | S) = \frac{1}{\sqrt{2\pi\sigma_e}} \exp \left( -\frac{(\delta_e - S)^2}{2\sigma_e^2} \right) \tag{22}
\]

Note that \( S = 0 \) if no dark photon exists in the RF bin.

However, to place a limit on any possible dark photon signal power, it is more useful to have the probability distribution of \( S \) given a measured power excess \( \delta_e \), \( P(S | \delta_e) \).

Using Bayes Theorem

\[
P(S | \delta_e) = P(\delta_e | S) \frac{P(S)}{P(\delta_e)} \tag{23}
\]

where \( P(S) \) is the probability of measuring dark photon signal power \( S \), and \( P(\delta_e) \) is the probability of measuring power excess \( \delta_e \). \( \delta_e \) in Equation 23 is a parameter and not a continuous variable, so \( P(\delta_e) \) is a constant. The prior used for this analysis is that \( S \geq 0 \). In the absence of any other information, any value for \( S \) above zero is equally likely. Consequently,

\[
P(S) \propto H(x) \tag{24}
\]

where \( H(x) \) is the unit step function. That means \( P(\delta_e | S) \) is just a normal distribution truncated at zero,

\[
P(S | \delta_e) \propto \frac{1}{\sqrt{2\pi\sigma}} \exp \left( -\frac{(\delta_e - S)^2}{2\sigma^2} \right) H(x). \tag{25}
\]

Readers may refer to [57] [58] for additional discussion of Bayesian analysis.

The 90% confidence limit for the dark photon signal power is the value of \( \delta_e \) for which 90% of the probability distribution lies below. The inverted, but equivalent, statement is that signal powers above this \( \delta_e \) are excluded with 90% confidence. The 90% confidence limits for dark photon signal power are determined by applying the percent point function to the truncated normal distribution in Equation 25. The result is shown in Fig. 27.

By examining Equation 2 one sees that

\[
\frac{\chi_{90\%}}{\chi = 1} = \sqrt{\frac{P(\chi = 1)}{P(\chi_{90\%})}} \tag{26}
\]

Finding \( \chi_{90\%} \) amounts to taking the square root of \( \chi = 1 \) in Fig. 27. Between 65.5 \( \mu \)eV and 69.3 \( \mu \)eV, the excluded dark photon mixing angle is \( \chi_{90\%} \sim 10^{-13} \) for the unpolarized dark photon case. The limits are shown in Fig. 28 and are better with expectations laid out in Section VTD. Orpheus is the highest-frequency tunable microwave haloscope search to date and achieves sensitivities comparable to other haloscope experiments.
VII. FUTURE DIRECTION

A. Upcoming Axion Run

Commissioning a magnet would allow Orpheus to search for axions in addition to dark photons. If the inaugural search had implemented a 1.5 T magnet, Orpheus would have been sensitive to axions with $g_{a\gamma\gamma} \sim 3 \times 10^{-12}$ GeV$^{-1}$ from 15.8 GHz to 16.8 GHz (Fig. 29), over an order of magnitude more sensitive than CAST. A 1.5 T superconducting dipole magnet is currently being fabricated to prepare for an axion-data taking run (magnet design in [59]). The insert hardware is also being upgraded to improve tuning reliability and thermalization.

FIG. 29. The projected axion limits assuming a 1.5 T dipole magnet. Figure adapted from [59].

B. Electrodynamic Optimizations

Orpheus has many design parameters that can be adjusted to optimize $Q_u$ and $V_{\text{eff}}$, including the mirror radius of curvature, dielectric plate thickness, and dielectric plate positions. As currently configured, the Orpheus $V_{\text{eff}}$ is about 2% of the physical volume. However, Fig. 16 demonstrates that a more optimal placement of the dielectric plates increases $V_{\text{eff}}$ by more than 7%. $V_{\text{eff}}$ can also be increased by placing dielectrics at every other antinode instead of every fourth of an antinode.

For scaling the Orpheus concept to larger sizes, it is essential to manage the diffraction losses. Both simulations and analytical estimations suggest that diffraction is a dominant source of loss [49] and will only become worse as the cavity length increases relative to the mirror size. Diffraction losses can be mitigated by optimizing the mirror radius of curvature (likely by decreasing it). One can also reduce diffraction by increasing the mirror size, but that increases the physical volume of your cavity, which would necessitate a larger, more expensive magnet. It may be possible to mitigate diffraction losses by curving the surface of the dielectric plate so that they act as lenses that collimate the field. However, given that the dielectrics are only a few mm thick, its radius of curvature would have to be about $\sim 200$ cm and may be difficult to machine. One workaround is to have dielectrics with a smaller diameter than the mirrors, but it may add more mechanical complications. It is also possible that curving the dielectric plate surface will reduce the cavity tuning range.

The dielectric losses can be reduced by using sapphire instead of alumina. Sapphire has a dielectric loss tangent $\tan \delta \sim 0.00002$ compared to alumina’s $\tan \delta \sim 0.0001$. But sapphire’s birefringence may complicate the cavity mode structure.

Developing a reliable and adjustable cavity coupling mechanism is also essential for scaling the concept. For a fixed coupling hole size, the cavity coupling coefficient reduces for longer cavities. Luckily, any cavity can be impedance-matched with the appropriate network [59]. Only the TEM$_{00-18}$ mode was used to search for DM signals. It is possible that other modes have substantial coupling to the axion or dark photon. The $V_{\text{eff}}$ of these modes should be simulated. If multiple modes couple to dark matter, then collecting data around these modes would be an easy way to increase the scanned mass range of a data-taking run.

C. Scanning More Dark Matter Parameter Space

The Orpheus detector can be modified to be sensitive to axions and dark photons at different frequencies. The cavity tuning range can be adjusted by changing the dielectric thicknesses and mirror curvature appropriately.

Orpheus can also become sensitive to the QCD axion by making it larger and colder. From Equation 6 the axion-photon coupling constant can be estimated as

$$g_{a\gamma\gamma} = \frac{1}{B_0} \left( \frac{\beta + 1}{\beta} \frac{\text{SNR}}{\Delta f T_n m_a} \right) \left( \frac{1}{b\Delta t} \right)^{1/4}.$$  

Orpheus can achieve KSVZ sensitivity if $V_{\text{eff}} \sim 120$ mL and $Q_L \sim 2 \times 10^4$, $T_n \sim 1$ K, and $B_0 = 10$ T. That would require the optimizations mentioned earlier, cooling the cavity with a dilution refrigerator, quantum noise limited amplifiers, and technological advances in winding superconducting dipole magnets. Except for the dipole magnet, this is all achievable with current technology. DFSZ may be reached by increasing the cavity size even further, such that $V_{\text{eff}} \sim 600$ mL. Detection mechanisms that subvert the Standard Quantum Limit, such as superconducting qubit photon counters [60], may also be developed in this frequency range to increase sensitivity further.
VIII. CONCLUSION

Orpheus has been able to exclude an impressive amount of parameter space half an order of magnitude higher in frequency than other haloscope experiments, while also having a larger tuning range. Between 65.5 μeV and 69.3 μeV, the excluded dark photon kinetic mixing angle is $\chi_{90\%} \sim 10^{-13}$ for the unpolarized dark photon case. With modest alterations and several experimental iterations, the same apparatus may be used to exclude larger parameter space from 45 μeV to 80 μeV with similar sensitivities. A 1.5 T magnet is currently being wound to allow Orpheus to scan for axions in addition to dark photons.

Ultimately, Orpheus is a pathfinder experiment with limited scope. May the hard-earned lessons prove useful to other dielectric haloscope experiments.

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