END-TO-END DEEP LEARNING-BASED ADAPTATION CONTROL FOR FREQUENCY-DOMAIN ADAPTIVE SYSTEM IDENTIFICATION

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ABSTRACT

We present a novel end-to-end deep learning-based adaptation control algorithm for frequency-domain adaptive system identification. The proposed method exploits a deep neural network to map observed signal features to corresponding step-sizes which control the filter adaptation. The parameters of the network are optimized in an end-to-end fashion by minimizing the average normalized system distance of the adaptive filter. This avoids the need of explicit signal power spectral density estimation as required for model-based adaptation control and further auxiliary mechanisms to deal with model inaccuracies. The proposed algorithm achieves fast convergence and robust steady-state performance for scenarios characterized by high-level, non-white and non-stationary additive noise signals, abrupt environment changes and additional model inaccuracies.

Index Terms— System Identification, Adaptation Control, Deep Learning, Step-Size Estimation, Acoustic Echo Cancellation

1. INTRODUCTION

Adaptive system identification is required for many modern signal enhancement approaches, e.g., in full-duplex acoustic communication devices for the purpose of acoustic echo cancellation (AEC) [1]. Despite the recently increased focus on direct deep learning-based signal enhancement algorithms [2,3], the benefit of additionally using model-based system identification has shown to be beneficial, especially when computational load should be minimized [4,5].

However, the benefits of physical models are only fully exploited if their optimum model parameters can be quickly and robustly identified. For this, gradient descent-based model parameter updates have proven to be a powerful approach [5,6] when combined with carefully-designed adaptation control to deal with interfering signals and noise (jointly termed ‘noise’ in the sequel) [7] and model inaccuracies, e.g., undermodeling the filter length [8]. During the last decades a plethora of adaptation control methods have been proposed, ranging from simplistic binary stall-or-adapt approaches [10,11] to sophisticated model-based step-size estimators [12,13,14,15] and learning-based combination of step-size selection schemes [16]. Most adaptation control approaches assume simplifying probabilistic signal models to estimate a time-varying step-size by minimizing the mean squared error signal or a system distance [18].

Thus, we introduce in this paper an end-to-end deep learning-based adaptation control algorithm for frequency-domain adaptive system identification which we term deep neural network-controlled frequency-domain adaptive filter (DNN-FDAF). We propose to learn a mapping from observable signal features to step-sizes by a DNN with the average normalized Euclidean system distance (NSED) of the step-size-controlled adaptive filter as a loss function. By optimizing the DNN parameters directly w.r.t. the NSED, we avoid the estimation of auxiliary signal statistics for model-based adaptation control whose effect on the system identification performance depends on the validity of the assumed model properties. In addition, it circumvents the need for application-dependent hyperparameter tuning inherent to many baseline approaches. The proposed method combines the benefits of physically-motivated system identification approaches, e.g., generalization to unknown environments, with the excellent modeling capability of DNNs for adaptation control.

We use bold lowercase letters for vectors and bold uppercase letters for matrices with underlined symbols indicating time-domain quantities. The identity matrix and DFT matrix of dimensions $D \times D$ are denoted by $I_D$ and $F_D$, respectively, and the all-zero matrix of dimensions $D_1 \times D_2$ by $O_{D_1 \times D_2}$. Furthermore, we introduce the diag$(\cdot)$ operator which generates a diagonal matrix from its vector-valued argument and indicate its $m$th element by $(\cdot)_{m,m}$. The transposition and Hermitian transposition of a matrix are represented by $(\cdot)^T$ and $(\cdot)^H$, respectively. Finally, we use the Euclidean norm $\| \cdot \|_2$ and the expectation operator $E[\cdot]$.

2. ADAPTIVE SYSTEM IDENTIFICATION

In the following, the online identification of an acoustic model describing the multi-path propagation from a source, e.g., a loudspeaker, to a microphone as shown in Fig. [1] is considered. We model a block of noisy time-domain microphone observations at block index $\tau$

$$y_{\tau} = \left( y_{\tau-R+1} \quad y_{\tau-R+2} \quad \cdots \quad y_{\tau-R} \right)^T \in \mathbb{R}^R \quad (1)$$

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as a linear superposition
\[ y_r = d_r + n_r \in \mathbb{R}^R \]  
(2)
of a noise-free observation component \( d_r \) and a noise component \( n_r \). The noise-free observation component \( d_r \) is described by a linear convolution of an observable input signal block
\[ x_r = (\overline{x}_R - M + 1; \overline{x}_R - M + 2; \ldots; \overline{x}_R)^T \in \mathbb{R}^M \]  
(3)
with a finite impulse response (FIR) filter \( w_r \in \mathbb{R}^L \) of length \( L = M - R \) modeling the multi-path propagation. The linear convolution can efficiently be implemented by overlap-save processing in the DFT domain
\[ d_r = Q_1^T F_M^{-1} x_r w_r \in \mathbb{R}^R, \]  
(4)
with the FR \( w_r = F_M Q_1^T w_r \in \mathbb{C}^M \), the DFT-domain input signal matrix \( x_r = \text{diag}(x_r) = \text{diag}(F_M x_r) \in \mathbb{C}^{M \times M} \) and the zero-padding matrix \( Q_2^1 = (I_{M - R} \ 0_{M - R \times R}) \). Note that \( Q_1^2 = (0_{R \times M - R} \ I_R) \) ensures a linear convolution by constraining the inverse DFT of the product \( X_r w_r \). By inserting the propagation model \( \mathbf{4} \) into the signal model \( \mathbf{2} \) and pre-multiplying with the transformation matrix \( F_M Q_1 \), we obtain the DFT-domain observation model
\[ y_r = C_r w_r + n_r \in \mathbb{C}^M, \]  
(5)
with \( C_r = F_M Q_1 Q_1^T F_M^{-1} X_r \) being the overlap-save constrained input signal matrix and the DFT-domain microphone and noise blocks \( y_r = F_M Q_1 y_r \) and \( n_r = F_M Q_1 n_r \), respectively.

The unknown FR \( w_r \) is usually estimated by iteratively applying the update rule \( \mathbf{24} \)
\[ e_r = y_r - \hat{d}_r = y_r - C_r w_{r-1} \]  
(6)
\[ \hat{w}_r = w_{r-1} + Q_3 \Lambda_r X_r^H e_r, \]  
(7)
which represents a block-based frequency-domain implementation of the least mean square (LMS) algorithm \( \mathbf{25} \) and is often termed FDAF \( \mathbf{6, 26} \). Here, the preceding estimate \( \hat{w}_{r-1} \) is updated by a multiplication of the stochastic gradient \( X_r^H e_r \), including the prior error \( e_r \), with a diagonal step-size matrix \( \Lambda_r \in \mathbb{R}^{M \times M} \). Note that the gradient projection matrix \( Q_3 = F_M Q_1 Q_1^T F_M^{-1} \) ensures that the FR estimate \( \hat{w}_r \) corresponds to a zero-padded time-domain FIR filter \( \hat{w}_r = Q_2^1 F_M^{-1} w_r \).

### 3. ADAPTATION CONTROL

The convergence rate, steady-state performance and noise-robustness of the adaptive system identification algorithm described in Sec. \( \mathbf{2} \) decisively depends on an accurate choice of the frequency-dependent step-sizes contained in \( \Lambda_r \). In the following, we will introduce a DNN-based method which infers the step-size matrix \( \Lambda_r \) directly from the observed signals \( x_r \) and \( e_r \) as shown in Fig. \( \mathbf{1} \).

#### 3.1. Model-Based Adaptation Control

We start by discussing state-of-the-art model-based adaptation control which will serve as a motivation for the proposed method in Sec. \( \mathbf{3.2} \). The vast majority of these approaches suggests a computation of the step-size matrix
\[ \Lambda_r^{MB} = f_{MB} \left( \Psi_{XX}^{r}, \Psi_{NN}^{r}, \Psi_{r}^{\Delta W \Delta W} \right) \]  
(8)
from the input signal PSD matrix \( \Psi_{XX}^{r} \in \mathbb{E} \left[ x_r x_r^H \right] \), the noise signal PSD matrix \( \Psi_{NN}^{r} = \mathbb{E} \left[ n_r n_r^H \right] \) and a correlation matrix representing the FR estimation uncertainty \( \Psi_{r}^{\Delta W \Delta W} = \mathbb{E} \left[ \Delta w_r \Delta w_r^H \right] \) with \( \Delta w_r = w_r - \bar{w}_r \). Prominent examples are the classical FDAF update \( \mathbf{6, 26} \)
\[ \left[ \Lambda_r^{FD} \right]_{mm} = \frac{\mu_{FD}}{\Psi_{r}^{\Delta W \Delta W}} \]  
(9)
with \( \mu_{FD} > 0 \) being a hyperparameter, and the diagonalized DFT-domain KF update \( \mathbf{17, 19, 20} \)
\[ \left[ \Lambda_r^{KF} \right]_{mm} = \frac{[\Psi_{r}^{\Delta W \Delta W}]}{[\Psi_{r}^{\Delta W \Delta W}]}_{mm} + \frac{\mu_{KF}}{[\Psi_{r}^{\Delta W \Delta W}]}_{mm}, \]  
(10)
where the latter can be considered as a noise-robust state-of-the-art approach. However, the system identification performance of these model-based approaches crucially depends on a robust estimation of the respective signal statistics (cf. Sec. \( \mathbf{1} \)). While the estimation of the input signal PSD matrix \( \Psi_{XX}^{r} \) is straightforward due to the observability of \( x_r \), the estimation of statistics corresponding to unobserved quantities, e.g., \( \Psi_{NN}^{r} \) and \( \Psi_{r}^{\Delta W \Delta W} \), is still a not sufficiently solved problem and has been explored extensively \( \mathbf{19, 20} \).

#### 3.2. Deep Neural Network-based Adaptation Control

We suggest to replace the model-based mapping of signal statistics to step-size matrices (cf. Eq. \( \mathbf{8} \)) by a learned mapping \( f_{ML} \) of the observable input signal sequence \( x_1, x_r, \ldots, x_r \) and prior error signal sequence \( e_1, \ldots, e_r \):
\[ \Lambda_r^{DNN} = f_{ML} (x_1, e_1, \ldots, x_r, e_r; \theta). \]  
(11)
The parameter vector \( \theta \) of the function \( f_{ML} \) is optimized in a training phase (cf. Sec. \( \mathbf{3.3} \)). However, a direct estimation of \( \Lambda_r^{DNN} \) by a DNN is complicated by the non-stationarity and non-whiteness of the respective signals. Thus, we suggest to exploit the domain knowledge from optimum model-based adaptation control (cf. Sec. \( \mathbf{3.1} \)), by using the following DFT bin-wise step-size
\[ \left[ \Lambda_r^{DNN} \right]_{mm} = \frac{\mu_{MAX}}{[\Psi_{XX}^{r}]} + \frac{\mu_{DNN}}{[\Psi_{NN}^{r}]}_{mm}, \]  
(12)
with
\[ \Psi_{XX}^{r} = \lambda_X \Psi_{XX}^{r-1} + (1 - \lambda_X) x_r x_r^H \]  
(13)
\[ \Psi_{NN}^{r} = \lambda_N \Psi_{NN}^{r-1} + (1 - \lambda_N) n_r n_r^H \]  
(14)
\[ \bar{p}_r = M_r^e e_r, \]  
(15)
where the diagonal masking matrices \( M_r^e \) and \( M_r^e \) are inferred by the DNN and where \( \lambda_X \) and \( \lambda_N \) are time constants for recursive averaging. By embedding the signal power normalization into the structure of the learning-based step-size mapping \( \mathbf{11} \), the DNN needs to model a significantly reduced dynamic range in contrast to directly estimating \( \Lambda_r^{DNN} \). The step-size \( \mathbf{12} \) is motivated by adding an error.
power-dependent normalization term $\hat{\Psi}_{\PP}^\tau$ is similar to the noise PSD matrix $\hat{\Psi}_{\NN}^\tau$ in the KF update (10), to the FDAF step-size $\mu$ and estimating the decisive hyperparameter $\mu_{\PP}$ frequency-selectively by a DNN. To account for the different causes of large error powers, i.e., observation noise $n_e$ or system mismatch $\Delta w_e$, and their antipodal effect on the adaptation rate, a DNN-estimated mask $M_e^\tau$ is applied to the error signal $e$, before computing $\hat{\Psi}_{\PP}^\tau$ (cf. Eqs. (14) and (15)). Note that despite the structural similarity of the step-sizes (10) and (15), $\hat{\Psi}_{\PP}^\tau$ is not necessarily an estimate of the noise signal PSD matrix $\hat{\Psi}_{\NN}^\tau$. Its actual meaning depends on the cost function that is used to train the DNN.

We suggest the DNN architecture shown in Fig. 2 to map the observed feature vector $\mathbf{u}_{\text{feat},\tau}$ to the diagonal masking matrices $M_e^\tau$ and $M_e^\tau$.

![Fig. 2: Proposed DNN architecture which maps the feature vector $\mathbf{u}_{\text{feat},\tau}$ to the diagonal masking matrices $M_e^\tau$ and $M_e^\tau$.](image)

**Algorithm 1** Proposed DNN-FDAF update for one signal block.

1. **Compute prior error vector** $e\tau$ by Eq. (6).
2. **Compute feature vector** $\mathbf{u}_{\text{feat},\tau}$ for the DNN by Eq. (16).
3. **Infer masking matrices** $M_e^\tau$ and $M_e^\tau$ (cf. Fig. 2).
4. **Compute step-size matrix** $\Lambda_{\PP}^\tau$ by Eqs. (12) - (15).
5. **Update FR estimate** $\hat{w}_{\tau}$ by Eq. (7).

3.3. Cost Function Design for Neural Network Training

The system identification performance of an adaptive filter is often quantified by the NESD (11)

$$\Upsilon_{\tau} = \frac{||\mathbf{w}_{\tau} - \hat{\mathbf{w}}_{\tau}||^2}{||\mathbf{w}_{\tau}||^2}.$$  \hspace{1cm} (18)

Due to the complex interaction of the DNN outputs, i.e., the masking matrices $M_e^\tau$ and $M_e^\tau$ (cf. Fig. 3), via a sequence of filter updates (cf. Eqs. (9) and (12) - (15)) on the NESD $\Upsilon_{\tau}$, a hand-designed design of optimum target masking matrices is problematic. Thus, we suggest an end-to-end approach by directly optimizing the DNN parameter vector $\theta$ w.r.t. to the average logarithmic NESD

$$\mathcal{J}(\theta) = \frac{1}{JT} \sum_{j=1}^{J} \sum_{\tau=1}^{T} 10 \log_{10} (\Upsilon_{j,\tau}),$$  \hspace{1cm} (19)

with $J$ and $T$ being the number of training sequences and signal blocks, respectively, and $\Upsilon_{j,\tau}$ denoting the NESD (18) at block $\tau$ in training sequence $j$. The cost function (19) quantifies the direct effect of different masking matrices on the average system identification performance of the adaptive filter and renders the design of desired target signal statistics and choice of critical hyperparameters unnecessary. The end-to-end training of the DNN requires to backpropagate the average NESD (19) through the adaptive filter updates (7) to the DNN parameter vector $\theta$. This complex relation between the cost function terms $\Upsilon_{j,\tau}$, the FR estimates $\hat{w}_{j,\tau}$, the step-size matrices $\Lambda_{\PP,\tau}$ and the DNN parameters $\theta$ is shown in Fig. 3. Finally, note that due to the lack of explicit dependency of the NESD (18) on the signal characteristics, the cost function (19) is well-suited to quantify the system identification performance for non-stationary input signals as typically encountered in acoustic applications.

4. EXPERIMENTS

In this section, we evaluate the proposed algorithm for a large variety of challenging acoustic system identification scenarios which are motivated by an AEC application with continuous double-talk. The scenarios are characterized by abrupt changes of acoustic impulse responses (AIRs) and non-stationary and non-white input $x_e$ and noise signals $n_e$. The noise-free observation component $\mathbf{d}_e$ of each scenario is simulated by randomly drawing an input signal $x_e$ from a subset of the LibriSpeech database (27), including 143 speakers, and convolving it with a randomly-selected true AIR $\mathbf{d}_e$. \hspace{1cm}
from the databases [25] [29] [30], comprising 201 different AIRs with reverberation times $T_60 \in [120 \text{ms}, 780 \text{ms}]$. Note that, as the length $K$ of the true AIRs $\tilde{w}_k$ is much larger than the modeled filter length $L$ in all considered scenarios, we can only estimate the first $L$ taps of $\tilde{w}_k$, i.e., we choose $\tilde{w}_k = Q_k^T \tilde{w}_k$ with $Q_k^T = (I_L \times L)$ in Eq. (18). Subsequently, the microphone observation $y_m$ is computed by adding a noise signal $\mathbf{n}_m$. Each noise signal consists of a superposition of a randomly selected speaker from a disjoint subset of [27], including 145 speakers, and a stationary white Gaussian signal. Both noise components are scaled to yield a random SNR, i.e., the power of the noise-free component $\mathbf{d}_m$ w.r.t. the noise component, between $-10 \text{ dB}$ and $10 \text{ dB}$ for the interfering speaker, and $25 \text{ dB}$ and $35 \text{ dB}$ for the Gaussian component. The abrupt system change is modeled by using different AIRs, input and noise signals for creating the observations $y_m$ before and after a specific switching time. We sampled the switching time randomly in the range $[7.2 \text{s}, 8.8 \text{s}]$ to preclude overfitting of the DNN to a deterministic point in time.

For all considered algorithms the sampling frequency $f_s$ is 16 kHz and the modeled filter length and frame shift are set to $L = 2048$ and $R = 1024$, respectively. As baseline algorithms we consider the KF approach [17] and an error-aware version of the FDAF step-size (9) which is termed EA-FDAF, i.e., setting $M^w = M^e = I_M$ in Eqs. (12) and (15). Note that for the KF update the noise PSD matrix is computed by recursively averaging the prior error $e_n$ with an averaging factor of 0.5 [19] [20]. We consider two choices of the state transition parameter $A$ controlling the time constant of FIR filter changes. While a higher $A$ gives better steady-state performance, a lower $A$ favors fast reconvergence [18].

For the proposed DNN-FDAF algorithm we consider two additional variants which are termed DNN-FDAF ($M^w = 0_{M \times M}$) and DNN-FDAF ($M^e = I_M$). Here, the respective quantity in brackets is fixed, i.e., it is not estimated by the DNN. The parameter settings of the considered algorithms are summarized in Table 1. Note that the maximum numerator step-size $\mu_{\text{MAX}}$ is chosen smaller for the DNN-FDAF ($M^w = I_M$) algorithm to compensate for the deterministic numerator of the step-size (12).

The considered DNN architecture (cf. Fig. 2) has approximately 2.4 million parameters with the number of hidden GRU states at each layer being $P = 256$ and $\epsilon = 10^{-12}$. It was trained on 4.4 h of training data using the ADAM optimizer [31] with a learning rate of 0.001. We conclude from Table 1 that the proposed DNN-FDAF algorithm, including two variants, for $I = 100$ different scenarios in comparison to various baselines. The shaded area represents the period where abrupt system changes occur at random time instants as performance measures. Note that a zero-padded version of the estimate $\tilde{w}_{k,s}$ is used in (20) to account for the undermodeling of the FIR filter model $\tilde{w}_k$ and that we approximate the expectation operator in (21) by recursive averaging to provide a time-dependent metric. The performance measures $T_{\text{ZP},r}$ and $\tilde{\varepsilon}_r$ represent arithmetic averages of $I = 100$ different experiments (corresponding to 27 min) with varying transition times, speakers and AIRs which were disjoint from the training data.

We conclude from Fig. 4 that the proposed DNN-FDAF algorithm significantly outperforms the baselines in terms of convergence rate and reconvergence rate after abrupt AIR changes. Furthermore, while either estimating $M^w$ or $M^e$, while keeping the other one fixed, results in robust reconvergence at the cost of worse steady-state performance, their joint estimation does not need any compromise. The average runtime of the proposed DNN-FDAF algorithm for processing one signal block of duration 64 ms on an Intel Xeon CPU E3-1275 v6 @ 3.80GHz is $t_{\text{DNN}} = 1.5 \text{ ms}$ which confirms real-time capability on such platforms.

### Table 1: Parameter settings for the considered algorithms.

| Algorithm          | $\lambda_s$ | $\lambda_r$ | $\mu_{\text{MAX}}$ |
|--------------------|-------------|-------------|---------------------|
| EA-FDAF            | 0.5         | 0.5         | 0.75                |
| DNN-FDAF ($M^w = 0_{M \times M}$) | 0.5 | 0.0 | 1.0 |
| DNN-FDAF ($M^e = I_M$) | 0.5 | 0.0 | 0.5 |
| DNN-FDAF           | 0.5         | 0.0         | 1.0                 |

In this paper, we proposed a novel adaptation control for online system identification by using a DNN for step-size inference. By optimizing the DNN parameters end-to-end w.r.t. the average NESD of the adaptive filter, the proposed algorithm circumvents the explicit estimation of target signal statistics for model-based step-size estimation. This renders the method robust against model inaccuracies and high-level and non-stationary noise signals and avoids the need for application-dependent hyperparameter tuning. Future work may include the coupling of the proposed method with other algorithmic parts of a signal enhancement system, e.g., postfiltering.

![Fig. 4. Performance evaluation of the proposed DNN-FDAF algorithm, including two variants, for $I = 100$ different scenarios in comparison to various baselines. The shaded area represents the period where abrupt system changes occur at random time instants.](image)
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