Use of monoclonal antibodies for allergic bronchopulmonary aspergillosis in patients with asthma and cystic fibrosis: literature review

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Abstract: Allergic bronchopulmonary aspergillosis (ABPA) is a hypersensitivity reaction (HR) mediated by antigens to Aspergillus fumigatus. It is estimated that 2–15% of patients with cystic fibrosis (CF) and between 1% and 5% of asthmatics develop ABPA, affecting approximately 4.8 million people worldwide. The goals of treatment are controlling inflammation, reducing the number of exacerbations and limiting the progression of lung damage. Systemic steroids are therefore used as the mainstay therapy, along with antifungal medications. However, many patients do not respond or develop side effects to treatment. In this scenario, biological drugs such as Omalizumab, Mepolizumab, Benralizumab and Dupilumab have been implemented in clinical practice, even though there is a lack of scientific evidence to support their use. We performed a literature review of the studies carried out which analyzed biologics for the management of ABPA in adult populations with asthma and CF. To our knowledge this is the first literature review that included all biologics. We included a total of 32 studies, all but one were descriptive studies, and the vast majority evaluated the use of Omalizumab. Biologics appeared to have more benefit for patients with ABPA and asthma than CF, specifically at decreasing the frequency of acute exacerbations and by having a steroid-sparing effect. Although a decrease in serum IgE level is considered a measure of therapy success, values may not decline as expected in the context of a significant clinical improvement, highlighting the importance of measuring patient-oriented outcomes. As evidence comes mainly from case series and case reports, randomized controlled trials are needed to evaluate further the safety and efficacy of biologics in ABPA.

The reviews of this paper are available via the supplemental material section.

Keywords: allergic bronchopulmonary aspergillosis, asthma, biologics, cystic fibrosis, monoclonal antibodies

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Introduction

Allergic bronchopulmonary aspergillosis (ABPA) is a hypersensitivity reaction (HR) mediated by antigens to Aspergillus fumigatus, which colonize the airways of susceptible subjects, such as asthmatics and patients with cystic fibrosis (CF). It is estimated that 2–15% of patients with CF and between 1% and 5% of asthmatics develop ABPA, affecting approximately 4.8 million people worldwide. In 1952, Dr. Hinson described the first case of ABPA in England. Later on, in 1968, the first formal registry was carried out in the United States and it was not until 1977 that Rosenberg and Patterson established the first diagnostic criteria for this disease.

A high level of suspicion of ABPA is crucial among patients with asthma and CF, especially in patients who present with frequent acute exacerbations or who fail to respond adequately to standard management. In 1977, Rosenberg and Patterson proposed diagnostic criteria for ABPA,
including both primary and secondary criteria, in which any combination of five to eight criteria would make the diagnosis (Table 1). In 2013, the International Society for Human and Animal Mycology (ISHAM) proposed different diagnostic criteria, applicable to both asthmatic and CF patients, in which some criteria are mandatory such as total serum IgE levels >1000 UI/mL (Table 2), which exclude some patients, but both criteria are validated and can be used. Based on these criteria, patients can be classified into three subgroups: seropositive ABPA, central bronchiectasis ABPA, and severe asthma with fungal sensitization.

The objectives of ABPA management are controlling inflammation, reducing the number of exacerbations and limiting the progression of lung damage. Systemic steroids are therefore employed as the mainstay therapy, leading to numerous side effects when used for prolonged periods of time, limiting their use. Antifungals are also useful for patients who require high doses of steroids or present an acute exacerbation of ABPA; they aim to control and decrease the antigenic stimulus generated by the fungus, thus reducing the inflammatory response. As not all patients respond successfully to standard treatment, biological drugs such as Omalizumab, Mepolizumab, Dupilumab and Benralizumab have been used in the last decade, owing to the inhibition of pathways that have a fundamental role in the activation of inflammatory mediators and cells such as eosinophils, responsible for the immune response observed in this condition. Biological drugs are Food and Drug Administration approved for the management of severe asthma and are considered off-label for the therapy of ABPA. In addition, it should be mentioned that biologics are far more expensive than the standard of care. Furthermore, data on this subject are scarce and come mainly from observational studies, so the question of its efficacy in the treatment of ABPA persists.

**Table 1.** Diagnostic criteria for ABPA by Rosenberg and Patterson (1977).

| Primary                                      | Secondary                                                                 |
|----------------------------------------------|---------------------------------------------------------------------------|
| Episodic bronchial obstruction (asthma)      | Aspergillus fumigatus in sputum (by repeated culture or microscopic examination) |
| Peripheral blood eosinophilia               | History of expectoration of brown plugs or flecks                         |
| Immediate skin reactivity to Aspergillus antigen | Arthus reactivity (late skin reactivity) to Aspergillus antigen           |
| Precipitating antibodies against Aspergillus antigen | Elevated serum immunoglobulin E concentrations                         |
| Elevated serum immunoglobulin E concentrations | History of pulmonary infiltrates (transient or fixed)               |
| Central bronchiectasis                       | Aspergillus fumigatus in sputum (by repeated culture or microscopic examination) |

**Objective**
The objective of this literature review is to collect and analyze all published data to date on asthmatics and CF patients with ABPA, on whom biological medications have been used. We focused mainly on the efficacy of the treatment, understood as its ability to reduce the frequency of pulmonary exacerbations/asthma control test (ACT), total IgE level, dosing of concurrent medications and the absence of pulmonary opacities in chest imaging.

**Methods**

**Types of studies**
We included in this review randomized controlled trials (RCTs), observational studies, case series, case reports, letters to the editors and conference abstracts. The latter were only included when they provided sufficient information in the results section.

**Types of participants**
Participants were both men and women, 19 years old or older, with a history of asthma and/or cystic fibrosis and a confirmed diagnosis of ABPA using the diagnostic criteria described either by Rosenberg and Patterson or the ISHAM (see Tables 1 and 2). All patients had failed to respond adequately to other treatments, such as steroids and antifungal therapies, persisting with symptoms and frequent exacerbations. Also, baseline chest X-rays and computerized tomography (CT) scans reported...
consistently the presence of multiple and/or bilateral bronchiectasis, atelectasis, bronchial wall thickening, ill-defined opacities and mucoid impaction.

**Types of interventions**

In all studies patients received one monoclonal antibody (Omalizumab, Mepolizumab, Dupilumab, Benralizumab) alone or in conjunction with systemic/inhaled steroids and antifungal treatment. We found only one randomized placebo-controlled trial, the rest being descriptive studies.

**Search methods for identification of studies**

We performed an electronic search using PUBMED and EMBASE in May 2020 using both ‘Mesh’ and ‘Emtree’ terms, respectively, as well as free text. The following terms were used: allergic bronchopulmonary aspergillosis, monoclonal antibodies, Omalizumab, Dupilumab, Mepolizumab, Benralizumab, asthma, cystic fibrosis. The results were limited by age (using the $\geq 19$ years old filter). No other filters were used. We selected studies in English and Spanish. References were manually searched for additional relevant studies.

**Data collection and analysis**

**Selection of studies.** Studies were screened by two independent investigators (ICE and SS), who analyzed the titles and abstracts for potentially relevant studies. Then each study was assessed against the preset inclusion and exclusion criteria.

**Data extraction and management.** Two investigators (ICE and SS) extracted the data from all studies using a standardized data collection form. When disagreements were present, they consulted with each other and came to a consensus. For each study, the trial design, characteristics of participants, types of interventions and outcomes were assessed. Specifically, for the two latter, we divided the data into pre-treatment and post-treatment clinical variables. For pre-treatment variables we extracted data for blood eosinophil count, total IgE, forced expiratory volume in 1 s (FEV1), frequency of acute exacerbations, antifungal treatment and chest imaging findings. Post-treatment clinical variables were the same as the pre-clinical variables, plus the status of systemic steroids used. Due to the descriptive nature of most of the included studies and their inherent high risk for bias, we did not aim to perform any statistical analysis on the results. In addition, we did not contact authors to clarify study results further or acquire the original databases. However, we did use the supplemental appendices, when provided, to complement the results of our review.

**Results**

**Description of studies**

**Results of the search.** A PubMed and EMBASE search was performed in May 2020, which identified a total of 365 records. After filtering by age, a total of 141 records was retrieved. After reviewing the selected studies, 81 records were removed for being duplicates. 60 articles were screened in total, of which 57 were fully assessed. Finally, 32 studies were included in the literature review. Figure 1 depicts the flow diagram.

**Included studies**

**Studies in asthmatic population**

**Demographic information.** The studies included a total of 152 patients, with a median age of 50.25 years (range 19–79). Gender distribution was 1:1 (F:M). Only 7 of the 30 studies reported time from when ABPA was diagnosed.
until the biologic was started, including information on 29 patients, which ranged from 0 to 18 years.9–15 Regarding systemic steroids, 80% of patients throughout the studies were on long-term therapy at the initiation of the biologic. In addition, 48% were receiving or had received antifungal therapy; 106 patients (69.7%) had baseline eosinophil counts >500 cells/µL.10,12,13,16–33 55 patients (36%) had baseline IgE serum values >1000 IU/mL.10–12,14,15,17–19,21,23–28,30–32,34,35

Omalizumab. We found a total of 17 studies which included 104 patients.9–11,14–22,34–38 Seven were case series, defined as ≥three patients, nine were case reports and one was a randomized double-blind cross-over study; 89 patients (85.5%) were on long-term systemic steroids at the time of initiation of Omalizumab, 14 patients were not receiving steroids (9.2%) and in one case it was not reported. Follow-up ranged from 3 months to 3 years. No studies reported post-treatment imaging findings (Table 3).

All but one study reported baseline FEV1 values (103 patients) and all except two studies reported FEV1 values after treatment (102 patients). At baseline the median for FEV1 (% predicted) was 58% (range 24.36–76.2),9–11,14–22,34,36–38 and after 3 months to 3 years of follow-up FEV1 had a median of 62% of the predicted value (range 35.6–90).9,10,14,16–19,21,22,34,36,38 Three studies reported the value in liters at baseline and after treatment with a median of 2.28L (range 1.36–5.3) pre-treatment and a median of 2.4 L (range 1.5–5.5) post-treatment.11,20,37

Baseline IgE was reported in all but one study (103 patients), with a median of 1500 IU/mL (range 327–22,893).9–11,14,16–20,31,34,35,37,38 After treatment, 12 studies reported IgE, including values for 28 patients, with a median of 789 IU/mL (range 400–1950) after 5 months to 3 years of treatment.9–11,14,15,17,21,34,38 Furthermore, baseline and post-treatment values were reported in 27 of 104 patients of whom 11 patients achieved a reduction greater than 35% in total IgE values (range 40–77.4% reduction) from 5 months to 3 years of treatment.10,11,14,15,17,21,34,38

We found 12 studies with baseline eosinophil count, including values for 83 patients (79.8%), with a median of 570 cells/µL (range 100–2200).10,11,16–22,34,36,38 After treatment, the eosinophil count was reported for 10 patients (9.6%), with a median of 200 cells/µL (range 100–964); 85.7% of these patients had eosinophil counts below 500 cells/µL after treatment.9–11,14,17,21

Of the 89 patients who were taking steroids before treatment with Omalizumab, 12 patients had no change in systemic steroid use after 1 year (13.4%),34,35 14 patients (15.7%) with follow-up periods ranging from 3 months to 1 year had a reduction in the dosage,11,14,15,17,19,21 and systemic steroids were reported as suspended in 45 patients (50.5%)9,10,16,20–22,34,35,37,38 after 6 months to 3 years of follow-up. One study did not report sys-
Table 3. Treatment with Omalizumab in patients with ABPA and asthma.

| Study ID | Type of study | Sample | Gender | Age | Follow-up time | Total IgE (IU/mL) | FEV1 (% predicted or L) | Frequency of acute exacerbations | Antifungal treatment | Total eosinophil count (cell/µL) | Total IgE (IU/mL) | FEV1 (% predicted or L) | Frequency of acute exacerbations | Systemic steroids | Antifungal treatment |
|----------|---------------|--------|--------|-----|---------------|------------------|----------------------|--------------------------|------------------|------------------|------------------|------------------|--------------------------|----------------|------------------|
| Koutsokera et al. 14 | Case series 11 | 5M6F | 27.8 (2.8–37.7) | 23.5 | 1yr | 274 (180–560) | 39.5% (33–59) | Ni | Ni | 1yr | 274 (180–560) | 39.5% (33–59) | Ni | Ni | No change | Ni |
| Unal 14 | Case series 15 | 9M6F | 48 (SD +19.92) | 46.2 | 3yr | 274 (180–560) | 39.5% (33–59) | Ni | Ni | 1yr | 274 (180–560) | 39.5% (33–59) | Ni | Ni | No change | Ni |
| Cunha et al. 16 | Case report 1 | F | 53 | 24% | Ni | Ni | Ni | Ni | 1yr | 108 | 274 (180–560) | 39.5% (33–59) | Ni | Ni | No change | Ni |
| Aquirael et al. 16 | Case report 1 | M | 45 | 570 cells/µL | 274 | 1yr | 108 | 274 (180–560) | 39.5% (33–59) | Ni | Ni | No change | Ni |
| Homma et al. 17 | Case report 1 | M | 51 | 1358 cells/µL | 1500 | 1yr | 108 | 274 (180–560) | 39.5% (33–59) | Ni | Ni | No change | Ni |
| Aydin et al. 14 | Case series 14 | 7M7F | 44.2 (SD +19.1) | 7.3% | 1yr | 108 | 274 (180–560) | 39.5% (33–59) | Ni | Ni | No change | Ni |
| Aydin et al. 14 | Case series 14 | 7M7F | 44.2 (SD +19.1) | 7.3% | 1yr | 108 | 274 (180–560) | 39.5% (33–59) | Ni | Ni | No change | Ni |
| Evans et al. 18 | Case report 1 | F | 32 | 22,893 | 1yr | 108 | 274 (180–560) | 39.5% (33–59) | Ni | Ni | No change | Ni |
| Voskamp et al. 18 | Randomized, double blinded, cross over trial | 13 | 4M9F | 59 | 1yr | 108 | 274 (180–560) | 39.5% (33–59) | Ni | Ni | No change | Ni |
| Collins et al. 11 | Case series 4 | 2M2F | 50.5 | 480 cells/µL | 1730 (565–4362) | 2.29 L (1.3–3.28) | Ni | Ni | 12mo | 220 | 914 (446–1638) | 2.24 L | Reduced | Reduced | Reduced | None |
| Sastre et al. 18 | Case report 1 | F | 32 | 1100 cells/µL | 3090 | 60% | Ni | Ni | 3mo | 220 | 914 (446–1638) | 2.24 L | Reduced | Reduced | Reduced | None |
| Tillie-Leblond 19 | Case series 16 | 8M8F | 56 (29–76) | 582 (131–3766) | 3.3 L (1.3–3.1) | 10 subjects: >3/yr | 75% | Itraconazole | 1yr | Ni | Ni | 5.5 L (1.6–1.9) | 2 subjects: >3/yr | Reduced | Reduced | Reduced | None |
| Pérez-de-Llano et al. 20 | Case series 18 | 5M13F | 49 (SD +17) | 610 cells/µL | 698 (478–777) | 1.36 L (0.95–2.2) | 1/year (1–4) | 55% | Itraconazole | 9mo | Ni | Ni | 1.85 L (1.27–2.29) | Reduced | Reduced | Reduced | None |

(Continued)
### Table 3. (Continued)

| Study ID | Type of study | Sample | Gender | Age | Blood Eosinophils | Total IgE (IU/mL) | FEV1 (%predicted or L) | Frequency of acute exacerbations | Antifungal treatment | Follow-up time | Total eosinophil count (cell/µL) | Total IgE (IU/mL) | FEV1 (%predicted or L) | Frequency of acute exacerbations | Systemic steroids | Antifungal treatment |
|----------|---------------|--------|--------|-----|-------------------|------------------|----------------------|--------------------------|----------------------|---------------|-----------------------------|------------------|----------------------|--------------------------|------------------|----------------------|
| Lin et al.⁶ | Case report 2 | M 39 | NI | 2462 | 56% | Itraconazole | 3yr | NI | | 789 | 56% | 6 exacerbations (4 yr) | Suspended | Suspended | |
| Kelso ²⁵ | Case report 1 | F 79 | NI | 2119 | 44% | Voriconazole | 5mo | NI | | 590 | 44% | Reduced | Reduced by 75% | | |
| Bobolea et al.²⁵ | Case series 3 | M 44 | NI | 640 cell/µL | 1000 | 43–62% | 3yr⁶ | Itraconazole | 3yr | 400 | 600 | 64% | Reduced | Reduced | NI | |
| Wolf and Johnson²⁶ | Case report 1 | M 77 | NI | 4163 | 61% | Voriconazole | 10mo | NI | | 1089 | NI | No change | Reduced by 87% | NI | |
| Quintás Vázquez et al.²⁷ | Case report 1 | M 68 | NI | 2200 cell/µL | 327 | 36% | >3yr⁶ | | | | | | | | | |

†Mean (SD/range).  
‡Median (range).  
§Complete response.  
¶Partial response.  
ABPA, allergic bronchopulmonary aspergillosis; IU, international units; L, liters; mL, milliliters; mo, months; NI, no information; µL, microliters; yr, years.
At baseline 12 studies reported patients were taking either Itraconazole or Voriconazole, for a total of 73 patients (70%). One study reported the patient was not on antifungal treatment due to hepatotoxicity. The four remaining studies, corresponding to 27 patients (26%) did not report on antifungal treatment at baseline. After treatment, it was reported to be suspended in three patients due to toxicity (2.9%). Furthermore, in the study by Pérez-de-Llano, antifungal treatment with Itraconazole was given to 55% of the subjects at baseline in contrast to 16% of the subjects who were receiving it at 9 months of follow-up. There was no additional information on the rest of the patients post-treatment.

Most studies did not report the exact frequency of acute exacerbations prior to or after treatment. Five studies reported the annual rate of exacerbations at baseline, including a total of 50 patients (48%) with a median of 2.7 exacerbations/year (range 1 to >3/year). After treatment with Omalizumab, 19 patients (18.2%) had no exacerbations at 9 months to 1 year of treatment, 70 patients (67.3%) had a reduced frequency of exacerbations after 3 months to 3 years of treatment, and no change was reported in four patients (3.8%). The remaining 11 patients had no information on this topic.

**Mepolizumab.** A total of nine studies were included, of which seven were case reports and two were case series, including a total of 32 patients; 84.3% of the subjects had been on prior treatment with Omalizumab. Follow-up time was between 2 and 14 months, being superior to toxicity (2.9%). Furthermore, in the study by Pérez-de-Llano, antifungal treatment with Itraconazole was given to 55% of the subjects at baseline in contrast to 16% of the subjects who were receiving it at 9 months of follow-up. There was no additional information on the rest of the patients post-treatment.

Baseline FEV1 values were reported for eight studies (30 patients). In seven studies including 26 patients FEV1 was reported in liters, with a median of 1.50 L (range 0.74–2.39). In the remaining study FEV1 was reported as the percentage predicted with a median of 52% (range 26–72). Following treatment, FEV1 values were reported for 25 patients (83.3%), with a median of 1.66 L (range 0.66–3.16). Baseline IgE levels were reported for 12 patients with a median of 2145 IU/mL (range 134–8327 IU/mL). Four studies including four patients described post-treatment levels, with a median of 720 IU/mL (range 298–3400), reaching a reduction of 66.5% compared to baseline with a follow-up period between 5 and 14 months. None of the cases presented a new rise in IgE levels.

Baseline eosinophil count was reported for the entire population with a median of 1337 cells/µL (range 360–6370). After treatment, eosinophil count was reported for 28 patients (87.5%), with a median of 54 cells/µL (range 0–174 cells/µL). All of these patients had eosinophil counts below 500 cells/µL after treatment. The use of systemic steroids was reported in eight studies, including a total of 30 patients (93.7% of the population); three patients (10%) had a reduction to a dose between 2.5 and 5 mg daily with follow-up periods ranging from 5 to 9 months and the remaining 27 subjects (90%) had them suspended after 2–14 months of treatment.

Only the studies by Kubena et al. and Florence et al., including a total of 21 patients (65.6% of the population) reported frequency of baseline annual acute exacerbations, being three exacerbations/year (range 2–4.5). Post-treatment, the study by Florence et al. reported that the median exacerbation rate for the 20 patients included in the study was 0 exacerbations/year (range 0–1). The remaining studies including a total eight patients (25% of the population) reported an improvement in the frequency of exacerbations. Regarding the ACT score, it was reported in three studies including a total of four patients. In particular, the study by Soeda et al. reported two patients with baseline values of 21 and 24, who achieved a score above 20 points after treatment (25 and 24, respectively).

Similarly, the case reports by Oda et al. and Terashima et al. described two patients with a pre-treatment score of 5 and 18, respectively, with post-treatment scores of 25 and 24.

Finally, seven patients (21.8%) had antifungal treatment prior to the initiation of Mepolizumab. The case report by Altman et al. reported that the patient used both Itraconazole and Voriconazole, with withdrawal of the treatment after initiation of Mepolizumab. On the other hand, for the patient included in the study by Tsubouchi et al., it was not possible to suspend antifungal treatment. The remaining studies including a total of six patients (18.75% of the population) did not describe antifungal use post-treatment.
Table 4. Treatment with mepolizumab in patients with ABPA and asthma.

| Study ID   | Type of study | Sample | Gender | Age  | Previous biologic treatment | Absolute eosinophil count (cell/µL) | Total IgE (IU/mL) | FEV1 (%predicted or L) | Frequency of acute exacerbations or asthma control test | Antifungal treatment | Follow-up time | Total eosinophil count (cell/µL) | Total IgE (IU/mL) | FEV1 (%predicted or L) | Frequency of acute exacerbations or Asthma control test | Systemic steroids | Antifungal treatment |
|------------|---------------|--------|--------|------|------------------------------|-------------------------------------|-------------------|-------------------------|----------------------------------------------------------------|---------------------|--------------|----------------------------------|-------------------|-------------------------|----------------------------------------------------------------|------------------|---------------------|------------------|
| Soeda et al.23 | Case report   | 2      | F      | 54   | No                           | 1365                                | 2145               | 2.39 L                  | 21                                                              | No                  | 6 mo         | 73                               | No change         | 2.48 L                  | 25                                                             | N.A.             | No                  |
|             |               |        | F      | 61   | No                           | 1856                                | 162                | NI                      | 24                                                              | No                  | 2 mo         | 32                               | No change         | NI                      | 24                                                             | N.A.             | No                  |
| Hirota et al.24 | Case report   | 1      | F      | 56   | Omalizumab (94 wk)           | 1500                                | 2421               | 1.42 L (62%)            | No                                                               | Itraconazole        | 14 mo        | 0                               | 362               | No change              | Improved          | Discontinued       | No                  |
| Tsubouchi et al.25 | Case report | 1      | F      | 60   | No                           | 560                                 | 8327               | 1.59 L (79.3%)          | No                                                               | Itraconazole        | 5 mo         | 20                               | 2025              | 1.69 L (80%)            | Improved          | Dose decrease - 5 mg/day | Itraconazole      |
| Oda, et al.26     | Case report   | 1      | M      | 33   | No                           | 6370                                | 1505               | 2.36 L                  | 5                                                               | Itraconazole - 8 mo | 9 mo         | 64 (2 mo)                        | NI                | 3.16 L (71%)             | 25 (2 mo)          | Dose decrease - 2.5 mg/day | No                  |
| Terashima et al.27 | Case report   | 1      | F      | 64   | No                           | 3017                                | 3400               | 1.01 L                  | 18                                                              | Itraconazole - 3 yr | 2 mo         | 174                              | 1.28 L            | 24                      | Discontinued       | No                  |
| Patel et al.11    | Conference abstract - case series | 4 | NI | NI | Omalizumab                  | 725 (360–1080)          | 997 (134–1730)     | 52% (26–72%)           | NI                                                              | NI                  | NI | NI                               | NI                | Discontinued | No                  | No                  |
| Kurokawa et al.28 | Case report   | 1      | F      | 58   | Omalizumab                  | 1310                                | 2242               | NI                      | 3–4/yr               | Itraconazole        | 5 mo         | 100                              | 720               | Improved               | Improved          | Dose decrease - 2.5 mg/day | No                  |
| Altman et al.29   | Case report   | 1      | F      | 58   | Omalizumab                  | 1100                                | 1730               | 0.74 L                  | No                                                               | Itraconazole - 3 mo | 5 mo         | 0                               | 298               | 0.66 L                  | Improved          | Discontinued       | No                  |
| Florence et al.30 | Case series   | 20     | M/F    | 63   | Omalizumab                  | 660 (438–980)           | NI                 | 1.50 L (1.08–2.11)     | 3/year               | Itraconazole        | 5 mo         | 54 (25–115)                       | NI                | 1.84 L (1.14–2.37)      | 0/year            | Discontinued       | No                  |

aMean (SD/range).
bMedian (range).
cFrequency of acute exacerbations.
dAsthma control test.

ABPA, allergic bronchopulmonary aspergillosis.
Dupilumab. Two studies were included,\textsuperscript{30,31} of which the study by Ramonell \textit{et al.} was a case series and the other one, by Corren \textit{et al.}, was a post hoc analysis made to an RCT (Liberty Asthma Quest),\textsuperscript{39} including a total of 21 patients. The case series included three patients,\textsuperscript{30} with a gender distribution of 2:1 (female: male), median age of 51 years (range 33–60). One had been on prior treatment with Mepolizumab and other subject had been on both Omalizumab and Mepolizumab, without a significant improvement\textsuperscript{30} (Table 5).

The study by Ramonell \textit{et al.}\textsuperscript{30} reported baseline FEV1 values with a median of 1.98 L (range 1.51–2.75), and after 3–6 months of treatment median FEV1 was 2.33 L (range 2.18–2.82). The analysis by Corren \textit{et al.} reported baseline FEV1 values with a mean of 2.00 L. After treatment, FEV1 was 2.37 L and 2.51 L at weeks 24 and 52, respectively.\textsuperscript{31}

Baseline IgE levels were reported for the 21 patients, with a median of 2691 UI/mL (range 561–11290).\textsuperscript{30,31} Post-treatment, Ramonell \textit{et al.} described a decrease in IgE values, reaching a median of 384 IU/mL (range 380–1637) after follow-up periods from 3 to 4 months.\textsuperscript{30} The study by Corren \textit{et al.} reported that IgE levels decreased, obtaining values with a median of 691.5 UI/mL (range 323–2617), reaching a reduction of >35% compared to baseline, in patients followed for 13 months.\textsuperscript{31} None of the patients who were followed for more than 6 months suffered a new rise in IgE levels.\textsuperscript{30,31}

Baseline eosinophil count was reported in both studies with a median of 1330 cells/µL (range 500–1750).\textsuperscript{30,31} Only the study by Ramonell \textit{et al.} described the eosinophil count post-treatment, showing a reduction after 3 months of treatment, with a median of 690 cells/µL (range 160–1090).\textsuperscript{30}

The use of systemic steroids was reported in the study by Ramonell \textit{et al.}, in which three patients had a discontinuation of systemic steroids after 3 and 6 months of treatment with Dupilumab.\textsuperscript{30} The status of systemic steroid use was not reported for the remaining 19 patients (90.4% of the population).\textsuperscript{30,31}

Regarding the frequency of acute exacerbations, the study by Corren \textit{et al.} described a mean annual exacerbation rate pre-treatment of 2.28 exacerbations/year (SD 1.53).\textsuperscript{31} The post-treatment exacerbation rate was not reported but both authors describe a reduction in the frequency of acute pulmonary exacerbations, corresponding to 95% of the population,\textsuperscript{30,31} as only one patient in the study by Ramonell \textit{et al.} was said to have an acute asthma exacerbation secondary to early hypereosinophilia after to the initiation of Dupilumab.\textsuperscript{30}

In relation to adverse effects, three patients were described to suffer adverse effects to Dupilumab, of which two had an early hypereosinophilic reaction, for which concomitant steroids were administered, without the need to stop treatment.\textsuperscript{30,31}

Benralizumab. For Benralizumab, only two case reports were found,\textsuperscript{32,33} including two women of 40 and 60 years of age, who had not been on previous treatment with another biologic. Both eosinophils and IgE decreased in both patients after treatment. Follow-up time was only described in the case report by Wong \textit{et al.}, which was of 3 months (Table 6).\textsuperscript{32}

After treatment with Benralizumab, a clinical improvement was described as well as a decrease in the frequency of exacerbations, although the exact rate was not reported.\textsuperscript{32,33} The patient in the study by Wong \textit{et al.} was able to discontinue systemic steroids after 3 months.\textsuperscript{32}

Studies in cystic fibrosis population

Omalizumab. We could only find two studies including adults with cystic fibrosis,\textsuperscript{40,41} in whom omalizumab was initiated for treatment of ABPA. Both studies were case series, including a total of 15 patients between adults and children.\textsuperscript{40,41} We only extracted data for patients 19 years or older, for a total of nine patients. Gender distribution was 6:3 (male: female) and median age was 24 years old (range 19–41) (Table 7).

Only the study by Ashkenazi \textit{et al.} reported total IgE levels.\textsuperscript{40} Baseline IgE had a median of 1142.5 IU/mL (range 472–7560). After 8–27 months of treatment, IgE had a median of 437.5 IU/mL (range 172–5240).

Both studies reported baseline FEV1 values (% predicted). Median FEV1 was 61% (range 21–83).
### Table 5. Treatment with dupilumab in patients with ABPA and asthma.

| Study ID       | Type of study | Sample | Gender | Age | Previous biologic treatment | Absolute eosinophil count (cell/µL) | Total IgE (IU/mL) | FEV1 % predicted or LI | Frequency of acute exacerbations or asthma control test | Antifungal treatment | Total eosinophil count (cell/µL) | Total IgE (IU/mL) | FEV1 (% predicted or LI) | Frequency of acute exacerbations or asthma control test | Systemic steroids | Antifungal treatment | Adverse effects |
|----------------|---------------|--------|--------|-----|-----------------------------|-----------------------------------|-------------------|------------------------|----------------------------------------------------------|---------------------|-----------------------------|-------------------|--------------------------|----------------------------------------------------------|------------------|---------------------|------------------|
| Ramonell et al. | Case series   | 3      | F      | 60  | Omalizumab and Mepolizumab  | 1620                             | 56.1               | 1.51L (58%)            | Decreased Itraconazole (4 months)                         | NI                  | 6 months                    | 1090 (6 mo)       | 380 (3 mo)              | 2.18L (99%)                   | Improved        | Discontinued        | NI hyper-eosinophilia |
| Soeda et al.    | Case report   | F      | 51     | >200| Mepolizumab                 | 1040                             | >2000              | 2.75L (95%)            | NI Itraconazole                                           | 3 months           | 160                         | 384               | 2.82L (97%)             | NI Asthma exacerbation after onset dupilumab             | NI               | Discontinued        | No               |
| M              | Case series   | 33     | No     | 11.29| NI                          | 1750                             | 11.29              | 1.97L (37%)            | NI Voriconazole                                           | 3 months           | 690                         | 1637              | 2.33L (56%)             | NI Hyper-eosinophilia                | NI               | NI                  | Injection-site reaction |
| Corren et al.   | Post Hoc analysis of an RCT[9] | 18 | F      | 66  | NI                          | 3383                             | 1680-5000          | 2.00L (48%)           | NI 2.28 (1.53)^2 NI                                             | 13 months         | 691.5                      | 323-2417         | 24 w 2.26 52 w 2.33^2                                      | Improved        | NI                  | NI Injection-site reaction |

*a* Mean (SD/Range),  
*b* Median (Range),  
*c* Mean (95% CI),  
*d* Frequency of acute exacerbations.  
*e* Asthma control test,  
*f* Liberty Asthma Quest study.  
ABPA, allergic bronchopulmonary aspergillosis.

### Table 6. Treatment with Benralizumab in patients with ABPA and asthma.

| Study ID       | Type of study | Sample | Gender | Age | Previous biologic treatment | Absolute eosinophil count (cell/µL) | Total IgE (IU/mL) | FEV1 % predicted or LI | Frequency of acute exacerbations or asthma control test | Antifungal treatment | Total eosinophil count (cell/µL) | Total IgE (IU/mL) | FEV1 (% predicted or LI) | Frequency of acute exacerbations or asthma control test | Systemic steroids | Antifungal treatment | Adverse effects |
|----------------|---------------|--------|--------|-----|-----------------------------|-----------------------------------|-------------------|------------------------|----------------------------------------------------------|---------------------|-----------------------------|-------------------|--------------------------|----------------------------------------------------------|------------------|---------------------|------------------|
| Wong and Ahluwalia | Case report   | 1 F | 40     | No  | NI                          | 2500                             | 3995              | NI Decreased Itraconazole (4 months)              | Decreased No                                          | 3 months           | 0                         | 415               | NI Improved              | Discontinued        | NI               |

*a* Mean (SD/Range),  
*b* Median (Range),  
*c* Frequency of acute exacerbations.  
*d* Asthma control test.  
ABPA, allergic bronchopulmonary aspergillosis.
After 7–26 months of treatment, median FEV1 was 62% of the predicted value (range 29–88).

In addition, the study by Lehmann et al. reported that patients had more than one exacerbation/year prior to treatment. After 9–13 months of treatment, two patients had not presented with exacerbations and one had no change from baseline. The study by Ashkenazi et al. did not report exacerbation frequency at baseline but reported that after 8–27 months of treatment, all but one patient had acute pulmonary exacerbations, with a median of 3.5 exacerbations (range 0–17).

In both studies systemic steroid use was reported, in which all but three patients were receiving steroids. After treatment for 9 to 27 months, of the six patients, three had no change in dosing and three had been able to taper the dose down to 50% in one patient.

**Discussion**

ABPA develops due to repeated inhalation of *A. fumigatus* spores from susceptible hosts. Human leukocyte antigen (HLA) DR2 and HLA DR5 have been identified as high risk for the development of ABPA, as opposed to HLA DQ2. Colonization of the airway is mediated by allergens from the fungus generating epithelial damage and allowing greater adherence and bronchial penetration of *A. fumigatus*, with a subsequent increase of Interleukin (IL)-6, IL-8, metalloproteinases (MMP) 9, followed by activation of neutrophils triggering much of the bronchial damage. There is also a type I hypersensitivity reaction that mediates an increase in total and specific IgE against *A. fumigatus*, through activation of IL-4 and IL-13 and a greater recruitment, activation and survival of eosinophils by stimulation of IL-5. To a lesser extent, a type III and type IV hypersensitivity reaction also develops, leading to an increase in IgG against the fungus and a cellular response mediated by lymphocytic infiltration and neutrophil activation.

Furthermore, after diagnosing the disease (Tables 1 and 2), ABPA can be clinically classified using five stages: stage I: patient in the acute phase who meets the criteria for the disease; stage II: remission phase in which the patient is asymptomatic, without radiological changes nor using systemic steroids and with normal total IgE values for at least 6 months; stage III: exacerbation with...
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... evidence of imaging and paraclinical changes, plus doubled IgE values in comparison to remission phase; stage IV: corticosteroid-dependent asthma, in which steroids cannot be tapered nor suspended successfully; stage V: pulmonary fibrotic disease with irreversible fibrosis and chronic cavitation by imaging, usually with negative serology. Although this classification is not widely employed, it highlights the importance of initiating an effective management, in a timely fashion, to avoid the progression of the disease to advanced and irreversible stages.

As mentioned earlier, systemic steroids and anti-fungal medications are considered the standard treatment, with the objective of suppressing anti-inflammatory activity and fungal load, respectively. Prolonged use of steroids is related to systemic adverse effects and an increased risk of opportunistic infections, particularly fungal infections. Furthermore, almost 50% of patients suffer a relapse when the steroid dose is tapered and 20–45% become steroid dependent. On the other hand, the efficacy of Itraconazole has been reported in less than 50% of patients. Also, toxicity and pharmacological interactions between these medications must be considered, carefully monitoring blood levels to balance toxicity andazole resistance, if the dose is reduced too much. Due to lack of response of many patients to standard of care, the use of biological drugs has been increasing in the past decade to treat ABPA, even though they are not approved by authorities worldwide for this purpose. The proposed mechanism of action for their use in ABPA relies on their inhibition of fundamental pathways for the development of the disease, such as the production of IgE and action against interleukin (IL) 4, IL-13 and IL-5.

In this literature review we included 32 studies that analyzed the use of Omalizumab, Mepolizumab, Dupilumab and Benralizumab in both asthmatic (30 studies) and CF populations (two patients), for the treatment of ABPA. We found a total of 161 adult patients, but evidence came mainly from the asthmatic population (94.4%). Furthermore, around 60% of the studies analyzed Omalizumab use and the remaining studies were distributed among the rest of the biologics. Throughout the studies gender distribution remained equal, with women accounting for 50% of subjects. Likewise, age was consistent across the studies ranging from 28 to 79 years of age for the asthmatic population, consistent with what is described in the literature regarding the initiation of ABPA, which is usually between the third and fifth decades of life. The population analyzed in the CF studies was younger ranging from 19 to 41 years of age (see Tables 3–7).

Patients with ABPA have elevated IgE seric values (> 417 IU/mL), which is key in the pathophysiology of the disease. Overall, all but one patient included in this review had elevated IgE values, ranging from 500 to 22,893 IU/mL. Furthermore, 36% of patients had values over 1000 IU/mL. It is important to note that an IgE value of ≤ 700 IU/mL and a maximum dose of 750 mg/month have been determined as the upper limit for the use of Omalizumab in severe asthma. Furthermore, dosage is calculated according to the patient’s weight and IgE levels. Considering the levels of IgE that patients with ABPA usually have, this calculation is not feasible because the maximum dose would be exceeded; most patients initiate treatment with the maximum dose recommended (375 mg every 2 weeks). That being said, from the 27 patients treated with Omalizumab who had pre and post-treatment IgE values, 40.7% achieved a reduction greater than 35% up to 3 years from initiation of treatment, the goal being to see such response after 2 months. The case report by Aguiar et al. showed several of the patients’ IgE values at different time points of treatment; the first one showed a reduction of 37% at 6 months, 40% at 12 months and 27% at 18 months. Despite the new rise in IgE the patients remained exacerbation free, questioning the extent to which IgE levels should be interpreted as a marker of disease activity. It is of utmost importance to analyze the success of the therapy in a comprehensive way, relying above all on clinical improvement. However, evidence from the literature suggests that a further increase in IgE greater than 50% of the previous value should...
be considered as indicative of an exacerbation. Moreover, in the case series by Aydin et al., the authors stated that patients with baseline IgE values <1000 UI/mL appeared to have a better response to treatment.34

Regarding Dupilumab, all patients had a decrease in IgE values, ranging from 32.3% to 85.6%, after 3–13 months of treatment.30,31 In contrast, the initiation of Mepolizumab is not determined by baseline IgE levels. Even though all patients began treatment with high levels of IgE, the maximum being 8327 UI/mL, only four out of seven patients who had post-treatment levels reported, had a decrease in IgE values ranging from 68% to 98%.24,25,27,28 The remaining patients had no change in IgE values, had never been treated with systemic steroids or antifungal treatment, but had favorable post treatment ACT levels, highlighting the importance of giving more relevance to patient-centered clinical outcomes. In both cases there was a clinical improvement, IgE levels decreased 22% after 1 month of treatment, which is too soon to draw any conclusions.32,33

As already mentioned, systemic steroids are a fundamental part of the standard of care for these patients, despite their large profile of adverse effects. If adding a biological drug is effective, it should have a steroid-sparing effect directly through the inhibition of inflammatory pathways or indirectly through the decrease of acute exacerbations. Of the patients treated with Omalizumab, 89 patients were taking steroids before treatment, of which 66.2% had either a reduction in the dose or were able to suspend steroids after 3 months to 3 years of treatment,14,20–22,37,38 while only 4.3% of patients had no change.15,37,38 Furthermore, pulmonary function deteriorated in all patients at baseline reporting a significant change after treatment in 54 of the 90 patients in whom pulmonary function was reported pre and post-treatment.9–11,14,16–22,34,36–38 Clinically, an improvement of more than 10% in FEV1 has been considered as relevant based on patient perception.46 Regarding Mepolizumab all patients were reported to have improved in the frequency of exacerbations and ACT scores from 2 to 14 months of treatment, without reporting an exact annual rate, impeding further interpretation.12,23,26 Furthermore, 88.4% of patients had an improvement in pulmonary function, taking 10% as the cut-off point.12,23,25,26,28,29 Similarly, for the patients treated with Dupilumab, a general improvement in frequency of exacerbations, as well as a progress in pulmonary function was reported in 20 of 21 patients, without giving further specific information.30,31

Concerning ABPA and CF patients, we could only find two case series with a total of nine patients who received Omalizumab.40,41 Exacerbations
were only absent in three patients, without improvement in the remaining six patients. Furthermore, three patients had an improvement in pulmonary function, while the remaining patients remained stable as compared to baseline or had a further decline, which could be explained by the natural course of CF. The steroid-sparing effect of Omalizumab was reported in six patients, of whom three had no change and three had a reduction in the dosing.

Finally, regarding the safety and tolerability of biologics, there were no serious adverse effects reported across the studies. It is likely that minor adverse effects did occur and remained unreported, making it difficult to appreciate the safety profile of these drugs.

The strength of this review process was that studies were identified and analyzed by two investigators independently, which reduces bias of the results. However, the biggest limitation is that there is substantial heterogeneity among studies, a high risk of bias inherent in these types of descriptive studies and significant differences in sample size, making it inappropriate to attempt to do other statistical analysis on the results. Therefore, this article has a narrative purpose, in which no robust conclusions can be drawn.

**Conclusion**
ABPA should be considered in patients with asthma and CF who do not respond adequately to standard management and who have frequent acute exacerbations. An opportune diagnosis is key to prevent disease progression and pulmonary fibrosis. Biologics have been used in recent years to treat ABPA in patients with frequent acute exacerbations, use of antifungal medication with no response and stage IV ABPA. However, robust clinical evidence of its efficacy is lacking. They appear to be more effective in adult patients with asthma rather than CF, which could be related to the natural course of each disease, although there are only a few cases describing its effect in the CF population. Biologics seem to decrease the frequency of acute exacerbations and improve ACT asthma scores, as well as improve pulmonary function in at least 60% of the population. Although most patients responded effectively with a decrease in total serum IgE levels, there were cases in which IgE did not reach the 35% decrease but there was a significant clinical improvement, which reflects the importance of giving more value to patient-centered outcomes rather than reaching a specific cut-off value. Furthermore, because biological drugs have different mechanisms of action, IgE level and eosinophil count response is usually variable between them in time and in magnitude. The steroid-sparing effect of biologics was evident across all studies. Patients should be followed with chest imaging to evaluate the compromise of pulmonary parenchyma, as the objective of treatment is not only to reduce exacerbations but prevent progression of lung disease; this was reported in a minority of studies. All biologics appeared to impact all included variables positively, although Omalizumab seems to require more time to reach the objective. More studies are required to evaluate the effectiveness and safety of biological medications in this group of patients, with longer follow-up periods and objective measurements of the impact treatment has in reducing exacerbations, improving quality of life and preventing disease progression.

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**Supplemental material**
The reviews of this paper are available via the supplemental material section.
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