Open Vocabulary Learning for Neural Chinese Pinyin IME

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Abstract

Pinyin-to-character (P2C) conversion is the core component of pinyin-based Chinese input method engine (IME). However, the conversion is seriously compromised by the ambiguities of Chinese characters corresponding to pinyin as well as the predefined fixed vocabularies. To alleviate such inconveniences, we propose a neural P2C conversion model augmented by an online updated vocabulary with a sampling mechanism to support open vocabulary learning during IME working. Our experiments show that the proposed method outperforms commercial IMEs and state-of-the-art traditional models on standard corpus and true inputting history dataset in terms of multiple metrics and thus the online updated vocabulary indeed helps our IME effectively follows user inputting behavior.

1 Introduction

Chinese may use different Chinese characters up to 20,000 so that it is non-trivial to type the Chinese character directly from a Latin-style keyboard which only has 26 keys (Zhang et al., 2018a). The pinyin as the official romanization representation for Chinese provides a solution that maps Chinese character to a string of Latin alphabets so that each character has a letter writing form of its own and users can type pinyin in terms of Latin letters to input Chinese characters into a computer. Therefore, converting pinyin to Chinese characters is the most basic module of all pinyin-based IMEs.

As each Chinese character may be mapped to a pinyin syllable, it is natural to regard the Pinyin-to-Character (P2C) conversion as a machine translation between two different languages, pinyin sequences and Chinese character sequences (namely Chinese sentence). Actually, such a translation in P2C procedure is even more straightforward and simple by considering that the target Chinese character sequence keeps the same order as the source pinyin sequence, which means that we can decode the target sentence from left to right without any reordering.

Meanwhile, there exists a well-known challenge in P2C procedure, too much ambiguity mapping pinyin syllable to character. In fact, there are only about 500 pinyin syllables corresponding to ten thousands of Chinese characters, even though the amount of the commonest characters is more than 6,000 (Jia and Zhao, 2014). As well known, the homophone and the polyphone are quite common in the Chinese language. Thus one pinyin may correspond to ten or more Chinese characters on the average.

However, pinyin IME may benefit from decoding longer pinyin sequence for more efficient inputting. When a given pinyin sequence becomes longer, the list of the corresponding legal character sequences will significantly reduce. For example, IME being aware of that pinyin sequence bei jing can be only converted to either 背景 (background) or 北京 (Beijing) will greatly help it make the right and more efficient P2C decoding, as both pinyin bei and jing are respectively mapped to dozens of difference single Chinese characters. Table 1 illustrates that the list size of the corresponding Chinese character sequence converted by pinyin sequence bei jing huan ying ni (欢迎你, Welcome to Beijing) is changed according to the different sized source pinyin sequences.

To reduce the P2C ambiguities by decoding longer input pinyin sequence, Chinese IMEs may often utilize word-based language models since character-based language model always suffers...
Table 1: The shorter the pinyin sequence is, the more character sequences will be mapped.

from the mapping ambiguity. However, the effect of the work in P2C will be undermined with quite restricted vocabularies. The efficiency of IME conversion depends on the sufficiency of the vocabulary and previous work on machine translation has shown a large enough vocabulary is necessary to achieve good accuracy (Jean et al., 2015). In addition, some sampling techniques for vocabulary selection are proposed to balance the computational cost of conversion (Zhou et al., 2016; Wu et al., 2018). As IMEs work, users inputting style may change from time to time, let alone diverse user may input quite diverse contents, which makes a predefined fixed vocabulary can never be sufficient. For a convenient solution, most commercial IMEs have to manually update their vocabulary on schedule. Moreover, the training for word-based language model is especially difficult for rare words, which appear sparsely in the corpus but generally take up a large share of the dictionary.

To well handle the open vocabulary learning problem in IME, in this work, we introduce an online sequence-to-sequence (seq2seq) model for P2C and design a sampling mechanism utilizing our online updated vocabulary to enhance the conversion accuracy of IMEs as well as speed up the decoding procedure. In detail, first, a character-enhanced word embedding (CWE) mechanism is proposed to represent the word so that the proposed model can let IME generally work at the word level and pick a very small target vocabulary for each sentence. Second, every time the user makes a selection contradicted the prediction given by the P2C conversion module, the model will update the vocabulary accordingly. Our evaluation will be performed on three diverse corpora, including two which are from the real user inputting history, for verifying the effectiveness of the proposed method in different scenarios.

The rest of the paper is organized as follows: Section 2 discusses relevant works. Sections 3 and 4 introduce the proposed model. Experimental results and the model analysis are respectively in Sections 5 and 6. Section 7 concludes this paper.

2 Related Work

To effectively utilize words for IMEs, many natural language processing (NLP) techniques have been applied. Chen (2003) introduced a joint maximum n-gram model with syllabification for grapheme-to-phoneme conversion. Chen and Lee (2000) used a trigram language model and incorporated word segmentation to convert pinyin sequence to Chinese word sequence. Xiao et al. (2008) proposed an iterative algorithm to discover unseen words in corpus for building a Chinese language model. Mori et al. (2006) described a method enlarging the vocabulary which can capture the context information.

For either pinyin-to-character for Chinese IMEs or kana-to-kanji for Japanese IMEs, a few language model training methods have been developed. Mori et al. (1998) proposed a probabilistic based language model for IME. Jiampojamarn et al. (2008) presented online discriminative training. Lin and Zhang (2008) proposed a statistic model using the frequent nearby set of the target word. Chen et al. (2012) used collocations and k-means clustering to improve the n-pos model for Japanese IME. Jiang et al. (2007) put forward a PTC framework based on support vector machine. Hatori and Suzuki (2011) and Yang et al. (2012) respectively applied statistic machine translation (SMT) to Japanese pronunciation prediction and Chinese P2C tasks. Chen et al. (2015); Huang et al. (2018) regarded the P2C as a translation between two languages and solved it in neural machine translation framework.

All the above-mentioned work, however, still rely on a predefined fixed vocabulary, and IME users have no chance to refine their own dictionary through a user-friendly way. Zhang et al. (2017) is mostly related to this work, which also offers an online mechanism to adaptively update user vocabulary. The key difference between their work
and ours lies on that this work presents the first neural solution with online vocabulary adaptation while (Zhang et al., 2017) sticks to a traditional model for IME.

Recently, neural networks have been adopted for a wide range of tasks (Li et al., 2019; Xiao et al., 2019; Zhou and Zhao, 2019; Li et al., 2018a,b). The effectiveness of neural models depends on the size of the vocabulary on the target side and previous work has shown that vocabularies of well over 50K word types are necessary to achieve good accuracy (Jean et al., 2015) (Zhou et al., 2016). Neural machine translation (NMT) systems compute the probability of the next target word given both the previously generated target words as well as the source sentence. Estimating this conditional distribution is linear in the size of the target vocabulary which can be very large for many translation tasks. Recent NMT work has adopted vocabulary selection techniques from language modeling which do not directly generate the vocabulary from all the source sentences (L’Hostis et al., 2016; Wu et al., 2018).

The latest studies on deep neural network prove the demonstrable effects of word representation on various NLP tasks, such as language modeling (Verwimp et al., 2017), question answering (Zhang and Zhao, 2018; Zhang et al., 2018b), dialogue systems (Zhang et al., 2018c; Zhu et al., 2018) and machine translation (Wang et al., 2017a,b, 2018; Wang et al., 2018; Chen et al., 2018). As for improved word representation in IMEs, Hatori and Suzuki (2011) solved Japanese pronunciation inference combining word-based and character-based features within SMT-style framework to handle unknown words. Neubig et al. (2013) proposed character-based SMT to handle sparsity. Okuno and Mori (2012) introduced an ensemble model of word-based and character-based models for Japanese and Chinese IMEs. All the above-mentioned methods used similar solution about character representation for various tasks.

Our work takes inspiration from (Luong and Manning, 2016) and (Cai et al., 2017). The former built a novel representation method to tackle the rare word for machine translation. In detail, they used word representation network with characters as the basic input units. Cai et al. (2017) presented a greedy neural word segmenter with balanced word and character embedding inputs. In the meantime, high-frequency word embeddings are attached to character embedding via average pooling while low-frequency words are computed from character embedding. Our embeddings also contain different granularity levels of embedding, but the word vocabulary is capable of being updated in accordance with users’ inputting choice during IME working. In contrast, (Cai et al., 2017) build embeddings based on the word frequency from a fixed corpus.

3 Our Models

For a convenient reference, hereafter a character in pinyin language also refers to an independent pinyin syllable in the case without causing confusion, and word means a pinyin syllable sequence which may correspond to a true word written in Chinese characters.

As illustrated in Figure 1, the core of our hybrid P2C is a seq2seq model (Cho et al., 2014) in terms of the encoder-decoder framework. Given a pinyin sequence $X$ and a Chinese character sequence $Y$, the encoder of our neural P2C model utilizes a network for pinyin representation in which both word-level and character-level embedding are exploited, and the decoder is to generate the Chinese target sequence which maximizes $P(Y|X)$ using maximum likelihood training.

Starting from an initial vocabulary with indicator from each turn of the user inputting choice, the online learning module helps update the word vocabulary by minimizing empirical prediction risk.

3.1 Pinyin-Character Parallel Corpus

Pinyin-character parallel corpus can be conveniently generated by automatically annotating Chinese character text with pinyin as introduced in (Yang et al., 2012). Using standard Chinese word segmentation methods, we may segment both character and pinyin text into words with the same segmentation for each sentence pair.

3.2 Encoder-Decoder

The encoder is a bi-directional long short-term memory (LSTM) network (Hochreiter and Schmidhuber, 1997). The vectorized inputs are fed to forward and backward LSTMs to obtain the internal representation of two directions. The output for each input is the concatenation of the two vectors from both directions. Our decoder is based on the global attentional models proposed by Lu-
ong et al. (2015) to consider the hidden states of the encoder when deriving the context vector. The probability is conditioned on a distinct context vector for each target word. The context vector is computed as a weighted sum of previous hidden states. The probability of each candidate word as being the recommended one is predicted using a softmax layer over the inner-product between source embeddings and candidate target characters. Figure 2 shows the architecture.

4 Online P2C Learning with Vocabulary Adaptation

As the core of Chinese IME, P2C conversion has been formulized into a seq2seq model as machine translation between pinyin and character sequences, there are still a few differences between P2C converting and standard machine translation. 1) Considering both pinyin syllables and Chinese characters are segmented into single-character word as Figure 3a, there is a one-to-one mapping between any character and its corresponding pinyin syllable without word reordering, while typical machine translation does not enjoy such benefits and has to perform careful word reordering explicitly or implicitly. 2) As Chinese language is always sensitive to the segmentation scheme, in the writing of either the Chinese character or the pinyin, P2C as NMT may suffer from alignment mismatch on both sides like Figure 3b or benefit a lot from perfect one-to-one alignment like Figure 3c, while typical machine translation is seldom affected by such segmentation alignment. 3) P2C as a working component of IME, every time it returns a list of Chinese character sequence predictions, user may indicate which one is what he or she actually expects to input. To speed up the
inputting, IME always tries to rank the user’s intention at the top-1 position. So does IME, we say there is a correct conversion or prediction. Different from machine translation job, users’ inputting choice will always indicate the ‘correct’ prediction right after IME returns the list of its P2C conversion results.

Figure 3: Different segmentations decide different alignments

Therefore, IME working mode implies an online property, we will let our neural P2C model also work and evaluate in such a way. Meanwhile, online working means that our model has to track the continuous change of users’ inputting contents, which is equally a task about finding new words in either pinyin sequence or character sequence.

However, the word should be obtained through the operation of word segmentation. Note that as we have discussed above, segmentation over pinyin sequence is also necessary to alleviate the ambiguity of pinyin-to-character mapping. Thus the task here for IME online working actually requires an online word segmentation algorithm if we want to keep the aim of open vocabulary learning. Our solution to this requirement is adopting a vocabulary-based segmentation approach, namely, the maximum matching algorithm which greedily segments the longest matching in the given vocabulary at the current segmentation point of a given sequence. Then adaptivity of the segmentation thus actually relies on the vocabulary online updating.

Algorithm 1 gives our online vocabulary updating algorithm for one-turn IME inputting. Note the algorithm maintains a pinyin-character bilingual vocabulary. Collecting the user’s inputting choices through IME, our P2C model will perform online training over segmented pinyin and character sequences with the updated vocabulary. The updating procedure introduces new words by comparing the user’s choice and IME’s top-1 prediction. The longest mismatch n-gram characters will be added as new word.

We adopt a hybrid mechanism to balance both words and characters representation, namely, Character-enhanced Word Embedding (CWE). In the beginning, we keep an initial vocabulary with the most frequent words. The words inside the vocabulary are represented as enhanced-embedding, and those outside the list are computed from character embeddings. A pre-trained word2vec model (Mikolov et al., 2013) is generated to represent the word embedding $WE(w) (w \in \hat{V})$. At the same time we feed all characters of each word to a bi-gated recurrent unit (bi-GRU) (Cho et al., 2014) to compose the character level representation $CE(w) (w = \{c_i | i = 1, 2, 3, \cdots \})$.

The enhanced embedding $CWE(w)$ is to straightforwardly integrate word embedding and character embedding by element-wise multiplication,

$$CWE(w) = WE(w) \odot CE(w)$$
5 Target Vocabulary Selection

In this section, we aim to prune the target vocabulary \( \hat{V} \) as small as possible to reduce the computing time. Our basic idea is to maintain a separate and small vocabulary \( \hat{V} \) for each sentence so that we only need to compute the probability distribution over a small vocabulary for each sentence.

We first generate a sentence-level vocabulary \( V_s \) to be one part of our \( \hat{V} \), which includes the mapped Chinese words of each pinyin in the source sentence. As the bilingual vocabulary \( V \) consists of the pinyin and Chinese word pair of all the words that ever appeared, it is natural to use a prefix maximum matching algorithm to obtain a sorted list of relevant candidate translations \( D(x) = [Ch_1, Ch_2, \ldots] \) for the source pinyin. Thus, we generate a target vocabulary \( \hat{V}_s \) for a sentence \( x = (Py_1, Py_2, \ldots) \) by merging all the candidates of all pinyin.

In order to cover target un-aligned functional words, we also need top \( n \) most common target words \( V_c \).

In training procedure, the target vocabulary \( \hat{V} \) for a sentence \( x \) needs to include the target words \( V_t \) in the reference \( y \), \( \hat{V} = V_s \cup V_c \cup V_y \).

In decoding procedure, the \( \hat{V} \) may only contain two parts, \( \hat{V} = V_s \cup V_c \).

6 Experiment

6.1 Datasets and Evaluation Metrics

We adopt two corpora for evaluation. The People’s Daily corpus is extracted from the People’s Daily from 1992 to 1998 by Peking University (Emerson, 2005). The bilingual corpus can be straightforwardly produced by the conversion proposed by (Yang et al., 2012). Contrast to the style of the People’s Daily, the TouchPal corpus (Zhang et al., 2017) is a large scale of user chat history collected by TouchPal IME, which are more colloquial. Hence, we use the latter to simulate user’s chatting input to verify our online model’s adaptability to different environments. The test set size is 2,000 MIUs in both corpora. Table 2 shows the statistics of two corpora.

|       | PD          | TP          |
|-------|-------------|-------------|
| # MIUs| 5.04M       | 689.6K      |
| # Word| 24.7M       | 4.1M        |
| # Vocab | 41.1K      | 27.7K       |
| # Target Vocab (train) | 2309       | 2020        |
| # Target Vocab (dec)   | 2168        | 2009        |

Table 2: MIUs count, word count and vocab size statistics of our training data. PD refers to the People’s Daily, TP is TouchPal corpus.

IME works giving a list of character sequence candidates for user choosing. Therefore, measuring IME performance is equivalent to evaluating such a rank list. In this task, we select 5 converted character sequence candidates for each pinyin sequence. Given a pinyin sequence and candidate characters, our model is designed to rank the characters in an appropriate order.

Here is the model setting we used: a) pre-trained word embeddings were generated on the People’s Daily corpus; b) the recurrent neural networks for encoder and decoder have 3 layers and 500 cells, and the representation networks have 1 layer; c) the initial learning rate is 1.0, and we will halve the learning rate every epoch after 9 epochs; d) dropout is 0.3; e) the default frequency filter ratio for CWE establishment is 0.9. The same setting is applied to all models.

For a balanced treatment over both corpora, we used baseSeg (Zhao et al., 2006) to segment all text, then extract all resulted words into the input version accuracy of MIU, which is defined as the longest uninterrupted Chinese character sequence inside a sentence. As the P2C conversion aims to output a rank list of corresponding character sequences candidates, the top-\( K \) MIU accuracy means the possibility of hitting the target in the first \( K \) predict items. We will follow the definition of (Zhang et al., 2017) about top-\( K \) accuracy. The KySS quantifies user experience by using keystroke count. An IME with higher KySS is supposed to perform better. For an ideal IME, there will be \( \text{KySS} = 1 \).
| System          | ED | PD |       |       |       |       |
|-----------------|----|----|-------|-------|-------|-------|
|                 |    |    | Top1  | Top5  | Top10 | Top1  |
| Existing P2C    |    |    |       |       |       |       |
| Google IME      | 70.9 | 78.3 | 82.3  | 57.5  | 63.8  | 69.3  |
| OMWA            | 55.0  | 63.7  | 70.2  | 19.7  | 24.8  | 27.7  |
| On-OMWA         | 64.4  | 72.9  | 77.9  | 57.1  | 71.1  | 80.9  |
| Our P2C         |    |    |       |       |       |       |
| Base P2C        | 53.2 | 64.7  | 70.3  | 46.8  | 68.8  | 75.7  |
| On-P2C          | 68.1 | 77.3  | 78.2  | 69.8  | 88.7  | 89.3  |
| On-P2C (bi)     | 70.5 | 79.8  | 80.1  | 71.0  | 89.2  | 89.5  |
| On-P2C (bi)     | 70.8 | 80.5  | 81.2  | 71.9  | 89.6  | 90.6  |
| On-P2C (bi)     | 71.3 | 80.1  | 81.3  | 71.7  | 89.7  | 90.3  |
| On-P2C (bi)     | 69.9 | 78.2  | 81.0  | 70.7  | 89.2  | 89.8  |

Table 3: Top-\(K\) accuracies on the People’s Daily (PD) and TouchPal (TP) corpora. ED refers to embedding dimension. The best results are in bold.

6.3 Results

We compare our P2C conversion system with two baseline systems, Google IME and Offline and Online models for Word Acquisition (OMWA, On-OMWA) (Zhang et al., 2017), and the results are shown in Table 3.

On the People’s Daily corpus, our online model (On-P2C) outperforms the best model in (Zhang et al., 2017) by +3.72\% top-1 MIU accuracy. The +14.94 improvement over the base P2C conversion module demonstrates that online learning vocabulary is effective. The using of bi-direction LSTM encoder produces a notable boost of +2.41\% accuracy. Our P2C model seizes a slight but significant improvement when tuning the dimension of CWE; our model gives 71.32\% top-1 MIU accuracy. The performance on TouchPal corpus is similar and even more obvious; our best setting achieves 14.35\% improvements compared to the best baseline.

The P2C module of IME outputs a rank list, and then the IME once displays five candidates by default. If users cannot find the target character in the top 5 candidates, they have to click the Page Down button to navigate more candidates, which involve additional keystroke expenses for users. Therefore, we list the top-5 accuracy contrast to all baselines with top-10 results, and the comparison indicates the noticeable advancement of our P2C model. On TouchPal corpus, our model with the best setting achieves 89.7\% accuracy, surpassing all the baselines.

7 Analysis

7.1 Effects of Online Updated Vocabulary

Figure 5 shows the changes of the MIU accuracy during the training process. For both top-1 and top-5 MIU accuracy, models with online vocabulary updating significantly outperform those without updating throughout the entire training. Especially, online P2C gives top-1 MIU accuracy comparable to top-5 MIU accuracy given by the base P2C module, which suggests a great inputting efficiency improvement from introducing the online updating mechanism.

Figure 4 expounds the adaptivity of our online P2C, in which we feed a joint corpus that is extracted from test corpora of the People’s Daily and Touchpal to the base P2C model and record the top-1 MIU accuracy per group after 2 epochs online vocabulary learning with batch size 1. We see that online P2C distinctly adapts the corpus change at the joint part. On the contrary, the base P2C which works offline performs stably only on its in-domain segments.

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2The Google IME is the only commercial Chinese IME providing a debuggable API on the market now.
Figure 4: Top-1 accuracy on an interlaced joint Corpus. P: the People’s daily segment, T: Touchpal segment.

Table 4: Top-5 accuracies of P2C after filtering specific ratio of words from vocabulary.

| Models          | the People’s Daily | TouchPal |
|-----------------|--------------------|----------|
| Google IME      | 0.7535             | 0.6465   |
| OMWA            | 0.6496             | 0.4489   |
| On-OMWA         | 0.7115             | 0.7226   |
| Base P2C        | 0.6922             | 0.7910   |
| On-P2C          | **0.8301**         | **0.8962** |

Table 5: User experience in terms of KySS

Figure 5: Training curves of top-1 and top-5 accuracy on TouchPal.

7.2 Effects of Vocabulary Selection

As an adaptive vocabulary is used in our decoder, it may result in a very large vocabulary to encumber the decoder with efficiency. Therefore, in practice, we need to control the size of the vocabulary for acceptable decoding speed. However, pruning the vocabulary in any way will surely hurt the performance due to all items in the adaptive vocabulary added with a reason. Figure 6 illustrates the relation between accuracy and decoding speed. The accuracies nearly do not get decreased with high enough decoding speed when only taking 88.9% full vocabulary in our system.

7.3 Effects of Word Filtering for CWE building

As we mentioned in Section 4, P2C conversion quality depends on the CWE mechanism which will benefit from an appropriate filtration ratio. As shown in Table 4, when the filter ratio equals to 0.9, the accuracy reaches the top. We notice two observations. First, pure word-level representation is more efficient for P2C tasks than character-level which only achieves 66.3% accuracy. Second, omitting partial low-frequency word is instrumental in establishing word-level embedding. Actually, when building word embeddings, rare words behave no more than noise. If the rare words are not initialized properly, they would also bias the whole word embeddings. Therefore, we more incline to make character-level embedding to represent a rare word, and build CWE embeddings for others.
7.4 User Experience

Jia and Zhao (2013) proposed that the user-IME interaction contains three steps: pinyin input, candidate index choice and page turning. In Table 3, the 89.7% top-5 accuracy on TouchPal means that users have nearly 90% possibilities to straightly obtain the expected inputs in the first page (usually 5 candidates per page for most IME interface setting), so that user experiment using IMEs can be directly measured by KySS. Table 5 shows the mean KySS of various models. The results indicate that our P2C conversion module further facilitates the interaction.

8 Conclusion

This paper presents the first neural P2C converter for pinyin-based Chinese IME with open vocabulary learning as to our best knowledge. We adopt an online working-style seq2seq model for the concerned task by formulating it as a machine translation from pinyin sequence to Chinese character sequence. In addition, we propose an online vocabulary updating algorithm for further performance enhancement by tracking users behavior effectively. The evaluation on the standard linguistic corpus and true inputting history show the proposed methods indeed greatly improve user experience in terms of diverse metrics compared to commercial IME and state-of-the-art traditional model.

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