Human Second Window Pre-Conditioning and Post-Conditioning by Nitrite Is Influenced by a Common Polymorphism in Mitochondrial Aldehyde Dehydrogenase

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VISUAL ABSTRACT

HIGHLIGHTS

- Pre- (and peri-ischemia) conditioning is a potentially powerful protector against ischemia-reperfusion injury, and activation of ALDH2 may be a critical step.
- The authors demonstrate second-window pre-conditioning (i.e., with the stimulus 24 h before ischemia) with low dose sodium nitrite in the vascular endothelium of healthy human volunteers.
- They go on to show that nitrite, administered during ischemia, also affords protection to vascular endothelium in participants with the common worldwide variant ALDH2*2 enzyme, but not in those with wild-type ALDH2, using this particular protocol.
- This surprising result shows the challenges of translation in this particular area and the critical importance of dose, location, and timing of the conditioning stimulus.

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Pre-conditioning is an exciting physiological phenomenon that, despite great efforts, has so far resisted translation to mainstream clinical medicine. Many potential triggers (e.g., ischemia of the organ in question or a remote organ, many different drugs) have been investigated, but recent work has implicated activation of mitochondrial aldehyde dehydrogenase (ALDH2) as central to the process. A genetic polymorphism, known as ALDH2*2, is common worldwide (present in up to 40% of Han Chinese people) and produces a functionally different enzyme. The authors used a variety of protocols in the human ischemic forearm model, in participants different enzyme types, to assess cytoprotection with low-dose sodium nitrite and attempt to further elucidate the role of ALDH2. (J Am Coll Cardiol Basic Trans Science 2017;2:13–21) © 2017 The Authors. Published by Elsevier on behalf of the American College of Cardiology Foundation. This is an open access article under the CC BY license (http://creativecommons.org/licenses/by/4.0/).
vascular laboratory, maintained at 22°C to 24°C in quiet conditions. All participants were nonsmokers, and none was on medications of any kind. The baseline characteristics are given in Table 1. All participants avoided nitrite/nitrate-rich foods or alcohol for 24 h before the study, and abstained from caffeine on the day of the study. There was a washout period of >1 week between runs in the same patient.

**FOREARM VENOUS OCCLUSION PLETHYSMOGRAPHY.** Participants were seated upright on the bed with both arms exposed, fully extended, and supported at heart level. Mercury-in-silastic strain gauges of an appropriate size were placed around the widest portion of each forearm. The nondominant brachial artery was cannulated using a 27-gauge needle (Cooper’s Needleworks, Birmingham, United Kingdom) attached to an epidural catheter and sealed with dental wax under aseptic conditions, with lignocaine anesthesia at the participant’s discretion. Saline (0.9% NaCl, with or without agonists/drugs) was infused at 0.5 ml/min at all times to maintain needle patency. Venous access was gained with a 20-gauge cannula in the antecubital fossa of the control arm. Blood pressure and heart rate were continuously monitored. Forearm blood flow (FBF) was measured simultaneously in both arms using venous occlusion plethysmography to determine the FBF-ratio (FBF-R). Wrist cuffs were inflated to 200 mm Hg in order to exclude the hand circulation during measurements and were deflated between each set of measurements. FBF-R was measured at rest and again after 3-min intra-arterial infusion of acetylcholine (ACh) into the study arm at each of 3 ascending doses (25, 50, and 100 nmol/min). Endothelial function was assessed using the change in FBF-R from baseline. Endothelium-independent vasorelaxant effects were determined only once at the end of each study in the main group by intra-arterial infusion of glyceryl trinitrate (GTN) (at 3 ascending doses: 4, 8, and 16 nmol/min), in order to prevent potential confounding effects of GTN before ischemia (17).

**IR PROTOCOL.** Following 15 min of stabilization following arterial cannulation, baseline endothelial function was measured. A 5-min washout was allowed to elapse from the end of the last infusion before forearm ischemia was induced by inflating the upper arm cuff on the study arm to >200 mm Hg for a period of 20 min. Endothelial function was then remeasured after 15 min of reperfusion, to assess the level of endothelial IR injury. This protocol has been demonstrated to cause transient endothelial dysfunction that is manifest after 15 min of reperfusion and lasting up to 60 min (20). The study design is summarized in Figure 1A.

**NITRITE INFUSION.** Sodium nitrite (Martindale Pharma, Wooburn Green, United Kingdom) or saline placebo was infused intravenously into the control arm at a dose of 1 μg/kg/min for 10 min. The dosage of

| TABLE 1 Baseline Characteristics of Participants in Each Group |
|---------------------------------------------------------------|
|                  | Delayed | ALDH2*1/*2 | ALDH2*1/*1 | Disulfiram |
| Height (m)       | 1.71 ± 0.05 | 1.72 ± 0.03 | 1.68 ± 0.03 | 1.83 ± 0.03 |
| Weight (kg)      | 64.2 ± 6.3  | 61.6 ± 4.4  | 63.7 ± 2.6  | 77.5 ± 4.5  |
| BMI (kg/m²)      | 21.5 ± 0.8   | 20.6 ± 0.8   | 22.6 ± 0.6   | 23.1 ± 1.0   |
| Forearm (cm)     | 23.6 ± 0.9   | 23.0 ± 0.8   | 24.2 ± 0.4   | 25.6 ± 0.9   |
| Age (yrs)        | 25.0 ± 1.0   | 23.0 ± 0.7   | 23.0 ± 0.7   | 24.0 ± 1.3   |
| Individuals tested | 7        | 11           | 11           | 6            |
| Male/female      | 4/3      | 6/5          | 7/4          | 6/0          |
| HR placebo (beats/min) | 75 ± 3 | 61 ± 1      | –            | –            |
| HR nitrite (beats/min) | 66 ± 3 | 64 ± 3      | 62 ± 5      | –            |
| MABP placebo (mm Hg) | 84 ± 4 | 84 ± 5      | –            | –            |
| MABP nitrite (mm Hg) | 87 ± 4 | 81 ± 3      | 89 ± 3      | –            |

Values are mean ± SD or n.

ALDH2 = mitochondrial aldehyde dehydrogenase; BMI = body mass index; HR = heart rate; MABP = mean arterial blood pressure.

![Figure 1](image_url)
ALDH2-DEPENDENT INACTIVATION BY NITRITE. Expression and purification of ALDH2 was performed as previously described (22). Dehydrogenase activity was measured by monitoring the formation of NADH as an increase in light absorbance at 340 nm in 50 mmol/l sodium pyrophosphate buffer (pH 7.5) containing 0.4 mmol/l acetaldehyde, 10 mmol/l MgCl₂, and 5 mmol/l NAD. After 2 min of equilibration, the reactions were started by the addition of ALDH2*1 or ALDH2*2 (19 and 111 μg/ml final concentrations, respectively) and monitored for ~3 min to obtain initial reaction rates. Activities were subsequently measured after the addition of 10 mmol/l NaNO₂ and 1 mmol/l dithiothreitol.

TREATMENT PROTOCOLS. Treatment protocols are summarized in Figure 1B.

PROTOCOL 1: SECOND-WINDOW PRE-CONDITIONING BY NITRITE. Twelve Caucasians were recruited to the delayed (second-window) pre-conditioning group. A 10-min infusion of nitrite (or saline placebo) was administered intravenously 24 h before the onset of ischemia.

PROTOCOL 2: EFFECT OF GENETIC POLYMORPHISM ON FIRST-WINDOW NITRITE POST-CONDITIONING. Twenty-two healthy volunteers of East Asian origin were recruited to a randomized double-blind placebo-controlled crossover study. Nitrite or placebo was administered for 10 min during the second half of the 20-min ischemia period.

PROTOCOL 3: EFFECT OF PHARMACOLOGICAL INHIBITION OF ALDH2 ON FIRST-WINDOW NITRITE POST-CONDITIONING. Six Caucasians were pre-treated with disulfram (600 mg/day) for 2 days before the study. In previous studies, this dose has been found to inhibit ALDH2 fully. Nitrite was administered for the latter 10 min of ischemia as in protocol 2.

STATISTICAL ANALYSIS. Data from each study were analyzed by an investigator who was blinded to the identity of the participants, their genetic status, and the protocol used. Statistical testing was performed using GraphPad Prism version 5.03 (GraphPad Software, La Jolla, California). Pre-and post-ischemia dose-response curves were compared using repeated measures 2-way analysis of variance. Other parametric values were compared with 2-way Student t test and nonparametric values with Mann-Whitney U test. A p value of <0.05 was considered statistically significant.

RESULTS

All subjects tolerated the procedures with no complications. Baseline characteristics of volunteers are...
given in Table 1. All Caucasian participants were homozygous for the wild-type ALDH2 (ALDH2*1/*1) allele. Of the East Asian participants, 11 were homozygous for wild-type ALDH2 (ALDH2*1/*1) and 11 were heterozygotes (ALDH2*1/*2). One was homozygous for the variant ALDH2 (ALDH2*2/*2) and was excluded from the analysis.

PROTOCOL 1: SECOND-WINDOW PRE-CONDITIONING BY NITRITE. Twenty minutes of forearm ischemia followed by 15 min of reperfusion caused a reduction in FBF-R response to Ach, indicating endothelial dysfunction in those who received placebo infusion 24 h earlier (p = 0.047, n = 5). One μg/kg/min sodium nitrite administered intravenously 24 h before IR prevented this post-ischemia endothelial dysfunction in a group of homozygous wild-type (ALDH2*1/*1) participants (p = 0.78, n = 7) (Figures 2A and 2B).

PROTOCOL 2: EFFECT OF GENETIC POLYMORPHISM ON FIRST-WINDOW NITRITE POST-CONDITIONING. Sixteen of the 22 participants completed both studies, but the remaining 6 chose not to participate. As a result, 18 participants completed the placebo arm of the study (9 ALDH2*1/*1 and 9 ALDH2*1/*2), and 18 participants completed the nitrite arm (10 ALDH2*1/*1 and 8 ALDH2*1/*2), and thus paired analysis was not possible.

The IR protocol did not affect heart rate or blood pressure in any group; resting heart rate and blood pressure were similar on each study day (data not shown). Changes in endothelium-independent FBF were similar between groups (data not shown). Twenty minutes of forearm ischemia induced significant endothelial dysfunction in individuals with (p < 0.0001, n = 9) or without (p = 0.0001, n = 9) the ALDH2*2 allele (Figures 3A and 3B).

Plasma nitrite levels at baseline were similar in those with and without the ALDH2*2 allele. During studies where nitrite was administered, plasma nitrite increased from 1.43 ± 0.12 μmol/l to 3.39 ± 1.23 μmol/l in individuals with the *1 wild-type variant (p < 0.05, n = 8) but only from 1.33 ± 0.12 μmol/l to 2.56 ± 1.42 μmol/l in those with the *2 variant (Figure 4A). The plasma nitrate and RXNO were not significantly different at baseline and were not significantly different at 1 min of reperfusion (data not shown).

Sodium nitrite mildly inhibited ALDH2*1 (by 6.4%; p = 0.04, n = 3), whereas ALDH2*2 was neither stimulated nor inhibited by nitrite (Figure 4B). The *2 variant enzyme exhibited a rightward shift in the soluble guanylate cyclase stimulation curve (a surrogate of NO generation) at moderate levels of nitrite (Figure 4C).

One μg/kg/min sodium nitrite administered intravenously during the latter 10 min of ischemia prevented endothelial dysfunction in participants that were heterozygous for the ALDH2*2 allele (p = 0.63, n = 8) (Figure 3C). Homozygous wild-type individuals did not display protection (p = 0.006, n = 10) (Figure 3D).

PROTOCOL 3: EFFECT OF PHARMACOLOGICAL INHIBITION OF ALDH2 ON FIRST-WINDOW NITRITE POST-CONDITIONING. Homozygous wild-type participants
pre-treated with disulfiram and administered $1 \mu g/kg/min$ sodium nitrite intravenously during the latter 10 min of ischemia displayed significant post-ischemic endothelial dysfunction ($p < 0.0001$, $n = 6$), suggesting no protective effect of nitrite in this setting (Figure 5).

**DISCUSSION**

We demonstrate for the first time to our knowledge in humans that nitrite administered 24 h before forearm ischemia attenuates the endothelial dysfunction following ischemia reperfusion injury. Furthermore, we show that nitrite post-conditioning is efficacious at reducing this dysfunction in certain individuals only, with protection depending upon $ALDH2$ genotype. In order to establish whether reduced $ALDH2$ activity (known to be conferred by the mutation) was responsible for the observed effect, a group of homozygous participants were pre-treated with disulfiram. Pharmacological inhibition of $ALDH2$ in healthy volunteers did not recapitulate the $ALDH2^{*1/*2}$ phenotype with respect to nitrite post-conditioning.

Second-window pharmacological pre-conditioning in humans has not been studied extensively. GTN, exercise, and remote ischemic pre-conditioning (RIPC) (where the stimulus for pre-conditioning is a different organ or tissue than the one protected, most commonly the lower limb in humans) have been studied in humans using angioplasty and experimental IR injury, but to our knowledge, our study is novel in demonstrating a late effect of nitrite pre-conditioning. This observation has implications for future studies to investigate the value of nitrite pre-conditioning in situations of predictable IR injury such as planned cardiac surgery and organ transplantation, and its additive role with first-window interventions.

The description of local post-conditioning has increased interest in recruiting these protective mechanisms in human IR syndromes where most patients present after the onset of ischemia. Thus, interventions timed at the phase of reperfusion may be clinically very relevant. Human forearm model data have suggested that pre-conditioning with nitrite may be effective, whereas they could not demonstrate an effect with post-conditioning (10). The NIAMI study in acute ST-segment elevation myocardial infarction was also negative (11). Our results in those homozygous for wild-type $ALDH2^{*1}$ are consistent with these previous studies in demonstrating no reduction in ischemia reperfusion injury when nitrite is administered during ischemia.
Interestingly, however, our data suggest that the post-conditioning effects of nitrite may depend on ALDH2 genotype. Thus, those individuals heterozygous for ALDH2*2, which confers its own functional biological effects (Figure 4), can be protected by nitrite at this time frame. Furthermore, simple pharmacological inhibition of the enzyme with disulfiram does not confer the same phenotype as in those with the mutation. This discrepancy in the effect of phenotypic and pharmacological inhibition of ALDH2 is in contrast to the observation that both prevent the protection conferred by RIPC (23), and is difficult to explain. It is possible that people with the ALDH2*2 gene change, who have abnormal enzyme function throughout life, possess compensatory adaptations elsewhere. Acute inhibition with disulfiram would not necessarily recapitulate these changes. The resulting enzyme created in those heterozygous for ALDH2*2 is not inert, and despite the vast number of people possessing this gene change worldwide, study of this enzyme has been limited. The 2 different enzyme forms directly, and most likely also indirectly, affect the metabolism and availability of nitrite and related species and this may well have contributed to the result observed.

**STUDY LIMITATIONS.** There are several limitations to the interpretation of this study: as with any pharmacological study, the dose of agent used may be inappropriate. The plasma nitrite concentration achieved in wild-type individuals in the present study is lower than that which successfully protected myocardium in animal models of pre-conditioning (cf. 13.8 µg/kg/min, 0.2 µmol/kg/min, aiming for a plasma concentration of 5 to 10 µmol/l in Gonzalez et al. [8]). However, the protective effect seen in those with the variant enzyme, who appear to have a smaller rise in plasma nitrite, may suggest that in the post-conditioning phase, this dose is too high. It has been reported that very high levels of NO may prevent protection (24). Thus, it is possible that, in the post-ischemia phase, the kinetics of nitrite metabolism are altered such that this dose is not protective. However, our inability to restore protection by pharmacological inhibition suggests that this enzyme system alone is not responsible for these effects. Although we cannot exclude off-target effects of disulfiram contributing to this observation, we believe that the interaction between nitrite and the ALDH2*1/*2 genotype is more nuanced than nitrite simply augmenting the detoxifying potential of an enzyme with reduced dehydrogenase activity (e.g., with respect to reactive aldehydes such as 4-hydroxy-2-nonenal). Supporting this inference, whereas our in vitro biochemical studies suggest that nitrite has little effect on ALDH activity per se, the differences in genotype nevertheless impact upon nitrite metabolism. It has been proposed that nitrite triggers a protective signaling cascade by reversible inhibition of mitochondrial complex I, with modulation of mitochondrial reactive oxygen species generation at reperfusion (25); however, the relevance of this mechanism in humans is unclear.

Because the study involves puncture of the brachial artery, it was felt that it was not reasonable to require more than 2 runs from each participant. Ideally, the effect of disulfiram and delayed protection would have been studied in the East Asian population, but insufficient participants were recruited. It was not possible to analyze as a crossover study, because not all participants completed both arms of the study. The data from placebo and nitrite runs were analyzed separately in the same manner as previously discussed (20,26). There was a washout period of at least 1 week between studies that we believe was sufficient to preclude ongoing effects, and the placebo and nitrite studies were performed in random order. However, we cannot absolutely exclude effects of the previous study, and hence, we list this as a limitation. Finally, our disulfiram group consisted of men only, although there were no significant effects of sex found in any of the other groups. The biochemical study of ALDH2 inhibition/
activation by nitrite was investigated using the homozygous variant enzyme because it is a dominant-negative polymorphic variant (22).

Clinical translation in the area of pre-conditioning and post-conditioning, despite ongoing efforts, has been slow. Direct activation of the ALDH2 pathway remains an attractive area of investigation (27); however, the negative NIAMI study (11) has somewhat reduced enthusiasm for further trials of exogenous nitrite in ischemia. More recent work has focused on a potential role of endogenous nitrite in cytoprotection, for example as a mediator of remote ischemic pre-conditioning (28). In a mouse model of myocardial infarction, this study confirmed the role of nitrite by use of a nitrite scavenger to abolish the effect of RIPC, and then recapitulated this protection with nitrite-supplemented plasma. In their editorial accompanying this study, Corti and Gladwin (29) argued that reliance on animal models may be limiting our ability to translate this undoubtedly exciting physiological process to mainstream medicine. Equally, human ischemic models (such as the one used here) may not translate to other tissues or organs, or to pathological states, though they may well provide insight into the mechanisms involved.

It is generally agreed that cytoprotection proceeds down a final common pathway (30), so it seems likely that protection by nitrite seen in the forearm may be achieved in other tissues under the correct conditions. However, as Corti and Gladwin (29) argue, multiple factors—the nitrite dose, the plasma levels achieved, and the timing of intervention—create a complex system and are all critical to the successful translation of cytoprotection to human patients.

CONCLUSIONS

This proof-of-concept study of endothelial protection in healthy volunteers provides evidence that inorganic nitrite may have therapeutic use to prevent IR injury in man. Specifically, we demonstrate nitrite-induced second-window pre-conditioning in humans and identify the important impact of a common global polymorphism on post-conditioning with nitrite.

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PERSPECTIVES

COMPETENCY IN MEDICAL KNOWLEDGE: IR injury is a common cause of cardiovascular injury or death. Pre-conditioning may reduce or prevent this injury in a variety of tissues, with effects over and above current treatments. Results from clinical trials thus far have been mixed, and translation to mainstream clinical medicine has been slow, despite increasing understanding of the relevant physiology. Here, we show a protective effect of low-dose systemic sodium nitrite in prevention of IR injury to the human vascular endothelium, when administered 24 h before the insult, and additionally in some participants (depending upon ALDH2 genotype) when administered during ischemia.

TRANSLATIONAL OUTLOOK: Future translational studies should examine different protocols of nitrite dosage and timing in protection against IR injury. This work also sheds some additional light on the central, and complex, nature of ALDH2 in this process and complements recent work showing a potential role of nitrite as an innate mediator of remote ischemic pre-conditioning.

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