Machine-Learning Approaches in COVID-19 Survival Analysis and Discharge-Time Likelihood Prediction Using Clinical Data

Graphical Abstract

Highlights

- 1,182 hospitalized patients were studied in this research
- Survival analysis can be applied to predict patient length of stay in the hospital
- We used seven machine-learning and statistical analysis techniques
- The impact of clinical covariates on survival times was studied

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In Brief
COVID-19 has spread to many countries in a short period, and overwhelmed hospitals can be a direct consequence of rapidly increasing coronavirus cases. In this study, by choosing patient discharge time as the event of interest, survival analysis techniques including statistical analysis and machine-learning approaches are used to build predictive models capable of predicting patients' period of stay in hospital. This time is crucial because it allows decision makers to be prepared for hospital overloads.
As a highly contagious respiratory disease, COVID-19 has yielded high mortality rates since its emergence in December 2019. As the number of COVID-19 cases soars in epicenters, health officials are warning about the possibility of the designated treatment centers being overwhelmed by coronavirus patients. In this study, several computational techniques are implemented to analyze the survival characteristics of 1,182 patients. The computational results agree with the outcome reported in early clinical reports released for a group of patients from China that confirmed a higher mortality rate in men compared with women and in older age groups. The discharge-time prediction of COVID-19 patients was also evaluated using different machine-learning and statistical analysis methods. The results indicate that the Gradient Boosting survival model outperforms other models for patient survival prediction in this study. This research study is aimed to help health officials make more educated decisions during the outbreak.
(14.8%) was reported for senior patients (80 years or older). In Italy, where more than 23% of residents are 65 or older, the overall mortality rate has been about 5%, while the statistics showed a rate of around 20% for senior patients. Across the world, epicenters of the coronavirus outbreak are beginning to confront rapid surges in confirmed cases that may overwhelm healthcare centers and medical personnel. Precise mathematical models capable of predicting the duration of recovery and discharge time can provide valuable information for health officials to design proper strategies to reduce the death toll. It has been shown by early studies that statistical analysis can be applied to COVID-19 problems to build predictive models that can assess risk factors and mortality rates. In this paper, we use survival analysis techniques including statistical analysis and machine-learning approaches to predict patient survival times and to examine the effect of basic risk factors on hospital discharge-time probabilities. What distinguishes survival analysis from the typical machine-learning algorithms is that some parts of the training data may be partially observed censored samples. There are numerous cases in this study where the date of event of interest, the patient discharge time, is not available. Instead of employing typical predictive models that cannot make use of these cases, we utilize well-suited methods capable of carrying out analysis of censored cases, which yields more reliable outcomes by preventing massive data shrinkage. These methods are introduced in Experimental Procedures.

RESULTS AND DISCUSSION

In this section, we report and compare the performance of machine-learning techniques used in survival prediction of patients and evaluate the impact of age and sex as two major risk factors based on Figure 1.

Accuracy of Discharge-Time Prediction

According to Figure 2, we report and compare the results of techniques used in discharge-time prediction of 1,182 patients. Taking the performance metric into consideration, due to the existence of censored samples, the typical area under the receiver operating characteristic curve (AUC) is not used to evaluate the performance of survival analysis models. Instead, model performances in discharge-time prediction are compared by a metric, namely Concordance index (C-index). C-index is a standard metric to assess the predictions of algorithms in survival analysis by calculating the percentage of concordant pairs among all feasible evaluation pairs. C-index does not consider the difference value between predicted and actual survival times but compares only the ranking times of events of interest in all possible pairs. For example, if patient A’s actual event happens before that of patient B and thus the predicted event time for A is before that of B, no matter how long before B’s event as long as it happens prior, this pair is considered a concordant pair.

According to the results shown in Table 1, IPCRidge has the least accuracy among the six algorithms evaluated in this work. This algorithm is expected to perform randomly if the assumptions of this algorithm are violated. Since in this study the distribution of the survival data is not known and the censoring status is not independent of the features, the IPCRidge performs randomly.
The Cox Proportional Hazard (CoxPH) and Coxnet models show similar results, as the data do not contain numerous features even after transforming categorical features to numerical. The Coxnet model excels when dealing with high-dimensional datasets where the feature selection due to the potential correlation is crucial. According to the results, regular Support Vector Machine (SVM) outperforms SVM with radial basis kernel function. The observations suggest that there is no significant non-linear reliance in the dataset, and the relationship between the features and the survival time can be approximated by linear functions. Therefore, the non-linear function is not required in this case to perform the regression while using the SVM. When one considers the boosting methods, the results indicate that the Stagewise Gradient Boosting (GB) algorithm is not only more accurate compared with the other boosting method but also beats other algorithms in discharge-time prediction in terms of accuracy. The benefit of the ensemble method is to take advantage of a collection of decision trees (DTs) instead of one predictor, so it tends to yield the best results in this study. Regarding other methods, deep learning is a powerful method that can be applied in various fields and, similar to the aforementioned methods, can be extended to handle censored data.\textsuperscript{11–13} This method requires a larger number of samples as well as the boosting methods, so their performance can be fairly compared in future studies.

### Hospital Discharge Rate

As depicted in Figure 3, besides the accuracy measurement of machine-learning techniques, measuring the hospital discharge time specifically for different age and sex levels is of great importance. As shown in Figure 4, some data points are censored. By leveraging the properties of reversed Kaplan-Meier (KM) estimator, probabilities of patient discharge time from the hospital can be estimated. According to Figure 1A, the probability of recovery and being discharged for male hospitalized patients in the first 15 days beginning from showing the symptoms is higher than females. Although this probability is higher for the first 15 days, after day 15 until nearly 40 days of showing the symptoms, the probability of recovery in females is slightly higher.

### Table 1. Prediction Accuracies of Seven Survival Analysis Algorithms

| Algorithm   | IPCRidge | CoxPH | Coxnet | Stagewise GB | Componentwise GB | Fast SVM | Fast Kernel SVM |
|-------------|----------|-------|--------|--------------|------------------|---------|-----------------|
| Result      | 49.05    | 70.63 | 70.72  | 71.47        | 70.60            | 70.65   | 61.05           |

Performance (C-index) comparison of IPCRidge, CoxPH, Coxnet, Stagewise GB, Componentwise GB, Fast SVM, and Fast Kernel SVM.

### Figure 3. Data-Processing Steps

(A) Data collection and filtering.
(B) Data-processing steps required for analysis.

| A | Data collection | Raw data (CSV) |
|---|----------------|----------------|
| 32 F 1 | | |
| 44 F 1 | | |
| 29 M 0 | | |
| 39 M 0 | | |
| 39 F 0 | | |
| 46 M 0 | | |
| 66 M 0 | | |

| B | Data processing |
|---|----------------|
| Age | Sex | Onset | Admission | Confirm | Outcome | Discharge Date | Status |
| 30 M | 1-18-2020 | 1-20-2020 | 1-22-2020 | Discharge | 1-29-2020 | T |
| 47 M | 1-10-2020 | 1-21-2020 | 1-23-2020 | Censored: Experiencing no event | Confirm - event is equal to censoring days | F |
| 49 M | 1-15-2020 | 1-20-2020 | 1-29-2020 | Discharge | 1-26-2020 | F |
| 47 F | 1-17-2020 | 1-17-2020 | 1-23-2020 | Uncensored: Event occurred | | F |
| 59 F | 1-19-2020 | 1-19-2020 | 1-26-2020 | Discharge | 1-26-2020 | F |
| 30 M | 1-17-2020 | 1-17-2020 | 1-25-2020 | | | F |
| 39 M | 1-20-2020 | 1-20-2020 | 1-23-2020 | Discharge | 1-27-2020 | T |
suggested a higher average probability of discharge from hospital for females, longer recovery times, and a higher average morbidity rate for males compared to females. According to the hazard ratio in association with sex that was obtained from the Cox regression (semi-parametric survival model, which is depicted in Figure 5), females have approximately 5% more chance on average than males to be discharged from hospital. In addition, as reported by Pan et al., the average discharge-time probability of 21 male and female patients from being hospitalized to being discharged is almost 1 after 17 days. However, based on Figure 1B, this probability is approximately 1 after 27 days. The difference between data used and the number of patients can account for the discrepancy between these reported results. Nonetheless, since a far greater number of cases are studied in this paper, the result’s variability must be lower.

Age is one of the risk factors used in this study to predict survival times and is of great interest in determining its impact on patient survival. First, second, and third quartiles of age ranges are utilized to categorize age into four subgroups. Figures 1C and 1D show the effect of age on hospital discharge rates. It is evident that there exist clear boundaries between these age groups. According to this figure, lower hospital discharge rates are associated with older age groups. Beside the KM results, the coefficient of Cox regression regarding age suggest that by increasing the age for 1 unit (year), the probability of discharging from the hospital decreases approximately 3%.

Finally, a separate analysis is conducted for cases older than the age median, 46 years, to examine the effect of sex in older patients. The results indicate that the probability of recovery in females after 35 days is equal to 0.86 compared with 0.83 after 37 days for males. This suggests that older females have slightly higher survival rates. These results are also in agreement with the initial outcomes of clinical research concerning the influence of sex.15,16

**Conclusion**

The clinical data from 1,182 COVID-19 patients are used in this paper to measure the prediction accuracy of the discharge time of hospitalized patients by implementing different survival analysis models. Firstly, the results indicate that Stagewise GB delivers the most accurate discharge-time prediction compared with the other algorithms while using only age and sex as model features. It is worth noting that since predictions are based on age and sex as model features, this study provides a baseline criterion for future studies once more detailed clinical data are available. Secondly, the KM and Cox regression method results suggest that sex and age of the hospitalized patients have a direct effect on their recovery time. Findings indicate that being male or being in older age groups is associated with lower hospital discharge probabilities. This study provides a baseline for recovery time prediction for future research studies. Upon the accessibility of other risk factors such as patient preconditions, there will be an opportunity to measure the impact of them on patient survival.
status is set to censored (False). The second component is the event or censoring days. In the final stage, age and sex are added as the predictor variables, so a more detailed description of them is vital. One of the main objectives of this study is to evaluate the impact of different age and sex categories on patient survival. As illustrated in Figure 2, age is stratified into four categories by determining its quartiles. Regarding the sex, it is a categorical variable, so a dummy encoding is applied to it to transform it to a numerical value for further analysis. Nonetheless, one of the limitations of this study is that this dataset does not contain other potential risk factors such as blood type and body mass index, or barely contains patient preconditions. Therefore, we are not able to add them beside age and sex. According to Figure 3, after the data-processing step is finished, survival algorithms can perform the time-to-event analysis. Survival Analysis Methods Survival analysis is a well-established technique in statistics used to predict time to the event of interest during a specific observed time interval. Survival analysis is widely used in economy and healthcare for numerous applications. Prediction of death time after cancer treatment and prediction of time between the first heart attack and the second attack are some of the survival analysis examples in the healthcare domain. In this paper, the event of interest is the time when a patient is discharged from the hospital.

Survival analysis is a form of regression by which a continuous variable is to be predicted. However, the main difference between this type of regression and the conventional regression techniques is that unlike ordinary regression, the training data for survival analysis are partially observed. In other words, the exact time of the event is unknown. This type of sample is called censored. Some of the censorship conditions are depicted in Figure 4. As can be seen, patient D does not experience the event during the observation period. A case might leave the study, such as patient A. Another situation is when the patient’s status cannot be determined due to lost or incomplete records (patient B). Due to different possible censorship circumstances, standard predictive models are not applicable to this problem. In this study, it is assumed that the start date is identical to the symptom onset or hospitalization date. However, the discharge-time information is unknown for many samples. For these cases, the last follow-up date is considered as the censoring time.

Different statistical and machine-learning methods have been developed by survival analysis researchers to address prediction problems in various fields. Based on Figure 5, among the statistical practices, KM estimator, CoxPH, Coxnet, and Accelerated Failure Time are selected for evaluation. Additionally, several machine-learning approaches including Stagewise GB, Componentwise GB, and SVMs are used. These techniques are discussed and compared in the following.

Kaplan-Meier Estimator. As discussed earlier, massive amounts of data can be censored to generate partial information. In some applications, however, it is ideal to avoid reducing the sample size. KM estimator, also known as a product limit estimator, is a powerful non-parametric method capable of computing survival. The incidence probabilities of an event are first calculated at a specific time. These consecutive probabilities are then multiplied to achieve the final survival estimation. Despite its benefits, the KM estimator has some limitations. For instance, KM is not an appropriate estimator to account for the effects of a variety of covariates on survival simultaneously. Also, unlike regular healthcare problems whereby the event of interest is typically the occurrence of a failure such as the next heart attack or kidney graft loss, in this work the event of interest is the time the patient takes to recover. So a modified version of the KM estimator, known as the reverse of KM estimator, is implemented. Cox Proportional Hazard. Unlike the KM estimator that cannot handle multiple features at the same time, CoxPH enables the simultaneous processing of numerous features. CoxPH is a widely used linear and semi-parametric technique that estimates the effect of each survival variable on the entire cohort. According to Wang et al., CoxPH relies on assumptions and restrictions that limit its applications. The features are assumed to have an exponential impact on the outcome. Also, it is assumed that different individuals have identical hazard functions. More importantly, since the baseline hazard function remains unspecified, it is not a well-suited model in some real-world problems. Coxnet. One of the shortcomings of CoxPH is its vulnerability to overfitting in high-dimensional, massive-sample-size datasets. Due to this issue, not only the training time can be considerably high, but also CoxPH is likely to memorize the training samples. Also, CoxPH is not effective when there is multicollinearity in the dataset. To address these shortcomings, a regularized version of CoxPH called the Coxnet model is evaluated. The modification is achieved by adding different penalties.

- L1 regularization, which adds an L1 penalty. L1 can lead to sparse models in which the model has a few coefficients. Lasso regression uses L1 regularization.
- L2 regularization, which adds an L2 penalty. Despite the previous one, it does not yield a sparse model. Ridge regression uses this method.
- Elastic net: a combination of the two previous models yields to the elastic net model. A classic regressor model with an elastic net penalty is called the Coxnet model. Since sufficient clinical data are not yet available for COVID-19 and a limited number of covariates are
used, it is not necessary to apply dimensionality reduction methods.\textsuperscript{25,27} Therefore, it is expected for CoxPH and Coxnet to yield similar results in this study.

**Accelerated Failure Time Model.** Although previous models are robust regression techniques, other types of regression models are available that might yield useful information for interpretation purposes. The Accelerated Failure Time model (IPCRidge) lies in the category of parametric and linear models with a different form of regression. In this model, samples are weighted by the inverse probability of censoring, and the censoring status remains independent of covariates.\textsuperscript{26}

**Stagewise Gradient Boosting.** Stagewise GB is an ensemble, boosting machine-learning technique. This algorithm integrates weak learners into a weighted sum where it builds a powerful and specialized learner.\textsuperscript{24,30} In fact, base learners do not perform independently, and each successive tree gives extra weight to the points that were incorrectly predicted by earlier predictors. Each tree is trained on an ever-more specialized subsample of the training set.\textsuperscript{25} This algorithm uses an ensemble of learners to determine how the hazard function changes in regard to the features and has been proved to be effective for real clinical datasets in many cases.\textsuperscript{25} Therefore, extending this algorithm to handle censored data-point and survival analysis is of great interest and according to previous research, Cox model and DTs have been used to develop survival GB.\textsuperscript{25,30} Despite the power of this algorithm, since it uses numerous learners, time complexity for tuning the hyperparameters specifically in high-dimensional settings can be quite high. Moreover, in the contexts where the number of samples is not enough, this algorithm can potentially have other shortcomings, such as low prediction accuracy on the test set.

**Componentwise Gradient Boosting.** Unlike Stagewise GB, the Componentwise GB algorithm aims at estimating the coefficients either by updating one component of $\omega$ or by fitting the gradient with the help of all covariates in each step. The algorithm calculates the gradient of the log-terminal likelihood and then fits this gradient to the input matrix by a so-called base procedure such as least-squares estimation.\textsuperscript{25} Like the previous boosting method, the training time can be considerable while dealing with massive datasets.

**Support Vector Machine**

The SVM is a standard supervised machine-learning algorithm that is widely used for regression and classification and has wide applications in healthcare problems such as predicting organ (e.g., liver) disease.\textsuperscript{27} Prior research has extended the properties of this algorithm to enable handling censored survival data in survival analysis.\textsuperscript{33–35} By applying an updated asymmetric form of the penalty function, survival SVM can take advantage of regular SVM’s abilities in handling high-dimensional data while adapting them for censored and uncensored samples. By using a kernel function and transforming the data into higher dimensions, the margins between different classes could be maximized in the case of non-linearity. Both linear and kernel SVMs are used to handle survival analysis problems. In this work, more efficient versions of SVM called Fast SVM and Fast Kernel SVM are implemented.\textsuperscript{35}

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**AUTHOR CONTRIBUTIONS**

All authors conceived the study and reviewed the manuscript. M.N. ran the models and supervised the group activity. M.N., J.A., and N.N. were involved in validating the outcomes, data visualization, writing the original draft, review, and editing.

**DECLARATION OF INTERESTS**

The authors declare no competing interests.

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