3D CFD fluid flow and thermal analyses of a new design of plate heat exchanger

Paulina Pianko-Oprych*, Zdzisław Jaworski

West Pomeranian University of Technology, Szczecin, Institute of Chemical Engineering and Environmental Protection Processes, Faculty of Chemical Technology and Engineering, al. Piastow 42, 71-065 Szczecin, Poland
*Corresponding author: e-mail: paulina.pianko@zut.edu.pl

The paper presents a Computational Fluid Dynamics (CFD) numerical study for a new design of a plate heat exchanger with two different flow patterns. The impact of geometric characteristics of the two studied geometries of exchanger plates on the intensification process of heat transfer was considered. The velocity, temperature and pressure distributions along the heat exchanger were examined. The CFD results were validated against experimental data and a good agreement was achieved. The results revealed that geometrical arrangement of the plates strongly influence the fluid flow. An increase in the Reynolds number led to lowering the friction factor value and increasing the pressure drop. The configuration II of the plate heat exchanger resulted in lower outlet hot fluid temperature in comparison with the configuration I, which means improvement of heat transfer.

Keywords: CFD analysis, plate heat exchangers, pressure drop, temperature profile.

INTRODUCTION

Plate heat exchangers have been frequently studied\(^1,2\) due to their broad application in a wide range of industries including air conditioning and heat pump coils, steam power boilers, home heating convectors as well as waste-heat recovery\(^3\). Advances affecting the performance of plate heat exchangers were summarized by Abu-Khader\(^4\). In the review\(^4\), the selected issues such as thermal and hydrodynamic characteristics, two-phase flows as well as fouling and corrosion were discussed. Main research efforts were made to develop advanced compact plate heat exchangers that can effectively exchange heat between two fluid streams having a low temperature difference.

In order to increase the effectiveness of plate heat exchangers, a multi-pass design of several manifold micro-channel segments was proposed by Arie et al.\(^5\). The short flow path through the microchannels maintain the flow in the developing regime and ensure better heat transfer than in that of fully developed flow as well as reduced pressure drop. To estimate the optimum performance of the heat exchanger, a hybrid computational method was developed based on solution of full 3D Navier-Stokes and energy equations in a microchannel segment of the heat exchanger in combination with 1D momentum and mass balance equations in manifolds. Another solution was presented by Goodarzi and Nouri\(^6\). They showed that a double pass arrangement cools the channel walls down more symmetrically, in comparison to the simple pass arrangement, with better uniformity in wall temperature distribution. The uniformity index increases by 16.7% compared to the original heat exchanger. However, power consumption for handling the coolant flow increases in comparison to the original solution. In addition, they noticed that sinusoidal separating plate increased heat transfer performance compared to the flat one. Wavy plates instead of usual flat plate for channels' walls were also recognized as better solution by Kim et al.\(^7\). A 2D five way fin with corrugation angle 20° was used as the geometry for simulation and the cross-cut was applied at the third wave. The results showed that the heat performance of optimized cross cut wavy fin was enhanced by a maximum of 23.81% more than for a typical wavy fin. The pressure drop also increased up to 7.04% in optimized case. Finned and wavy walls generate secondary flows along the flow direction which increase the local convective heat transfer coefficient.

Taking into account that the convective heat transfer coefficient depends on flow pattern through the channel, the only way for increasing its value is to change the flow pattern within the internal channel space\(^8\). Therefore, the effect of fluid flow nonuniformity on heat exchanger efficiency is of first order importance and has decisive impact on their efficiency due to maldistribution of interior temperature. According to Yaici et al.\(^8\) two main types of the flow nonuniformity can be distinguished: gross maldistribution and passage-to-passage maldistribution. The first type is associated with improper heat exchanger entrance configuration, such as poor design of header and distributor configuration. The second type of passage-to-passage flow maldistribution occurs in a highly compact heat exchanger caused by various manufacturing tolerances.

Yaici et al.\(^8\) studied a variety of inlet air flow distributions on in-line and staggered plate-fin-and-tube heat exchangers in order to estimate their effects on system performance including Reynolds number, Prandtl number, geometrical parameters of the heat exchanger, tube arrangement and different working fluids. Their results indicated that either up to 50% improvement or deterioration in the Colburn factor and the friction factor were found compared to the baseline case of a heat exchanger with uniform inlet velocity profile. It was confirmed that the tube arrangement plays a critical role in the heat transfer and pressure drop characteristics. In staggered arrangements, there was better flow mixing due to staggered tube layouts and higher heat transfer. In addition, it was shown that the inlet air flow distribution has a significant impact on the fluid flow and heat transfer characteristics and the thermo-hydraulic performance. The pressure drop decreased monotonically along the heat exchanger, however, its value increased with the inlet velocity of the air flowing into the heat exchanger. The authors\(^8\) concluded that the inlet airflow distribution can be used as a mechanism to enhance the local heat
rate. A similar study was carried out by Vafajoo et al. for flue gas-air Chevron type plate heat exchangers. A higher angle of the Chevron type plates resulted in 18% enhancement in the output air temperature and an increase of 63% in the gas pressure drop in comparison with the plate heat exchanger.

Most of the research on heat exchangers has been carried out experimentally or analytically due to their complexity. A variety of techniques of enhancing heat transfer in plate heat exchangers was presented by Shah and Sekulic based on the method of Logarithmic Mean Temperature Difference (LMTD) or the exchanger effectiveness $\varepsilon = - \frac{\text{Number of Transfer Units NTU}}{\text{NTU}}$. Using those methods, Wakui and Yokoyama developed a steady-state model of a shell and tube heat exchanger for performance monitoring based on an online model. With improving capabilities of commercial CFD codes and cost of computing power, numerical investigations of flow maldistribution effects on heat exchanger performance became more common. For example, Tao et al. simulated a multistage heat exchanger with plain fins and slit fins. The better heat transfer conditions in the slit fin heat exchanger were attributed to better synergy between the velocity and temperature gradients. A detailed analysis of the velocity distribution in a corrugation plate heat exchanger was given by Luan et al.

It was noticed that the longitudinal corrugation in compound corrugation plate was inducing cross mixing of the working fluid and thus enhancing turbulence and also heat transfer between the fluid and the plate. Numerical simulations and experiments showed that the flow resistance of working fluid in the corrugation plate heat exchanger was decreased more than 50% in comparison to the traditional Chevron type one and hence the problem of flow path blockage can be effectively avoided. More recently, Giurgiu et al. performed flow analysis through mini channels in plate heat exchangers. The analysed mini channels had the inclination angles from 30° to 60°. Both the experimental and CFD results showed that the best heat transfer conditions were obtained for the plate heat exchanger with inclination angle of 60°. In addition, it was noticed that the geometry of the plates significantly affects the fluid flows through channels formed between the plates and highlights the performance of thermodynamic characteristics of these devices. The use of manifolding of microchannels for performance enhancement of plate heat exchangers in a counter flow configuration was studied also by Andhare et al. The heat transfer coefficient obtained in the numerical simulations was found to be approximately 16% higher than that in the experimental findings. The deviation between numerical and experimental values was explained due to the variability of the microchannels. The heat exchanger was made of nickel, which is quite difficult to machine and it can lead to variability in microchannel dimensions. Another significant observation was that the introduction of the fluid into a developing flow region enhanced the performance of the heat exchanger. The contour plots of the velocity obtained from the numerical study showed higher local flow velocities near the base of the microchannel. This effect was explained by turning of the flow from manifold to microchannel and back to the manifold in a very short flow length.

The need of investigation of the effect of corrugated flat plate heat exchanger with and without baffles on the thermal-hydraulic characteristics, including efficiency of heat transfer and flow resistance in heat exchanger, was recognized by Rios-Iribe et al. The impact of plates number and distance between plates on the heat transfer and the friction factor were studied over a wide Reynolds number range in the case of non-Newtonian fluids flowing through the plate heat exchangers. It was found that for all investigated Reynolds numbers in the heat exchanger with two plates, the CFD results correctly fitted an empirical correlation of the friction factor. The variation of heat transfer was presented as a function of the pumping power. It was found that at high flow rate, an increase in the number of used plates lowered the ratio between the heat transfer and the pumping power. Other aspects were considered by Dvorak and Vit, who focused on an effect of material thickness on pressure loss and effectiveness of a counter flow plate heat exchanger. The authors demonstrated that the low material thickness, of only 5% of the plate pitch, was crucial in creating the most effective recuperative air to air heat exchanger with high effectiveness and low pressure loss, while the properties of the material itself were unimportant. The CFD results for effectiveness corresponded well with the measured ones, while the results for pressure loss differed significantly and underestimated the measured pressure drop by 19–75% in a wide Reynolds number range. The high difference in pressure loss was explained by not taking into account in simulations the plate parameters, such as plate roughness, plate deformation or differences between the shapes of the numerical and real plates of the heat exchangers.

Most of the reviewed papers clearly indicated that non-uniform fluid flow at the inflow to the narrow passage formed between the fins as well as the thermal contact resistance between the fin and tube/plate can strongly influence the heat transfer process inside plate heat exchangers. Thus, in the case of those exchangers, where fluid flow through parallel flat plates is characterized by the formation of large dead flow zones, supporting the reliability of the computation seems to be particularly useful. The CFD codes allow predicting how these undesirable thermal-hydraulic characteristics can be reduced and hence lead to an increase in the pressure drop and the heat transfer. The presented results showed that 3D CFD simulations can provide an effective tool for engineering analysis since various design options and a wide variety of physical conditions can be examined without constructing expensive test rigs or large scale prototypes. Thus an optimal design of the plate heat exchanger can be determined at a relatively low cost.

Therefore, the aim of the study was to examine the influence of geometrical parameters of the heat exchanger plates on heat transfer conditions by means of the CFD modelling. With this aim, the plate heat exchangers of two different flow patterns were studied and the CFD results were compared with an existing experimental data for the original geometry of the plate heat exchanger.
NUMERICAL APPROACH

The scheme of a plate heat exchanger with two different configurations I and II, for which computations of velocity, pressure and temperature were conducted, is shown in Figure 1. The plate heat exchanger is used for cooling the cathode off gas leaving a developed hybrid power generation system based on Solid Oxide Fuel Cells. To simplify the computational case, the cathode off gas was represented by air flow with the same mass flow rate and temperature values as the cathode off gas in a real system. The cathode off gas was marked as hot flow and it was cooled by air (cold flow). The complete plate heat exchanger was 95 mm wide, 210 mm long and 33 mm thick. The total number of plates was equal to 18 resulting in 9 and 8 channels for cold and hot flows, respectively. The plate fins and walls were made of stainless steel with the thermal conductivity of \( k = 16.27 \) W/mK. The fins of the hot and cold flows in the plate heat exchanger configuration I were J-shaped, while for the configuration II the fins for the hot flow were straight and for the cold fluid flow they were S-shaped. Relevant 3D geometrical models of plate heat exchanger were built in the CFD commercial software ANSYS Workbench.

Both configurations of the plate heat exchanger were meshed by using triangular mesh in the grid generator ANSYS Meshing. The number of computational cells was equal to 1.38 and 1.56 million for configurations I and II, respectively. Figure 2 displays samples of numerical mesh for a horizontal plane intersecting a hot section of the heat exchanger at half of its depth.

The following assumptions were considered in the developed approach: the working fluid was air for both cold and hot flows within the turbulent regime. To simulate the turbulent flows through the plate heat exchanger the RNG \( k \)-epsilon model was used with standard values of model constants. The behaviour of this model near the wall was corrected by incorporating the standard wall functions. The working fluids were assumed Newtonian, incompressible with piecewise linear temperature profile properties presented in Table 1.

The counter flow of the flowing streams was considered. Thirteen cases for both configurations of the plate heat exchanger were simulated using different boundary conditions. The mass flow rates, \( N \), and temperatures, \( T \), of the inlet cold and hot streams were set fixed to the values shown in Table 2. Turbulence intensity was set at 5% what was acceptable given the sufficient entry length provision. Zero velocity with no slip shear condition was applied to the flow in the boundary layer at walls.

Since the study was assumed for stationary conditions, the Navier-Stokes and energy equations in three dimensional form\(^{18}\) were used to solve for the steady state hydrodynamics and thermal fields in the plate heat exchanger. The hot fluid flow was treated as a non-participating media in radiation\(^{22}\). The governing equations are presented in Table 3.
where: $\delta$ is constant in Equation (4), $C_1$, $C_2$, $\delta_\varepsilon$ are adjustable constants in Equation (5), while $C_\mu$ is the constant in Equation (7). The stress tensor, $\tau$, turbulent viscosity, $\mu$, RNG additional term, $R_\varepsilon$, as well as ratio of the mean flow to turbulent time scale are given by Equations (6) – (9), respectively:

### Stress tensor:

$$\tau = \mu \left[ \nabla \mathbf{v} + (\nabla \mathbf{v})^T - \frac{2}{3} \nabla \mathbf{v} \cdot \mathbf{v} \right]$$  \hspace{1cm} (6)

### Turbulent viscosity:

$$\mu = \rho C_\mu \frac{K^2}{\varepsilon}$$  \hspace{1cm} (7)

### RNG additional term:

$$R_\varepsilon = \frac{\rho C_\varepsilon \eta^3 \left( 1 - \frac{\eta}{\eta_0} \right) \varepsilon^2}{1 + \beta \eta^3}$$  \hspace{1cm} (8)

### Ratio of the mean flow to turbulent time scale:

$$\eta = \frac{K}{\varepsilon}$$  \hspace{1cm} (9)

The RNG $k$-$\varepsilon$ model delivers a better response to the instantaneously changing flow with the additional term, $R_\varepsilon$, in comparison to the standard $k$-$\varepsilon$ model\(^3\). The modeling was conducted using the commercial CFD code ANSYS Fluent 15.0. The governing equations
were discretized by the Finite Volume Method, the pressure-velocity coupling was implemented by SIMPLE algorithm, while the convection terms were discretized by Second Order Upwind, and the First Order Upwind scheme was selected for the kinetic energy and its dissipation rate. The first step was to determine the pseudo-laminar flow fields with the turbulence model turned off, then the turbulence model was activated in the computations to simulate the turbulent velocity fields and finally the energy equation was solved. The iterations were carried out as long as the standardized sum of residuals fell below $1 \cdot 10^{-4}$ and the residual plots showed a plateau for at least 100 last iterations.

The CFD model was validated by quantitative comparison to the experimental results obtained from the industrial project partner – sunfire\(^9\). In addition, the Fanning friction factor, \(f\), was calculated as follows (Eq. (10))\(^{20, 21}\):

\[
f = \frac{\Delta P}{L} \cdot \frac{D_h}{2\rho v^2}
\]

in which \(\Delta P\) is the pressure drop equal to the difference between the inlet and outlet, where \(L\) and \(D_h\) are the length and hydraulic diameter of the channel, respectively.

For fully developed turbulent flows (2000<\(Re\)<25000) the Fanning friction factor for heat exchanger was given in Eq. (11) by\(^ {13}\) and used for comparison with the simulation results.

\[
f = 0.39 \cdot Re^{-0.19}
\]

**RESULTS AND DISCUSSION**

The plots of the friction factor as the function of the Reynolds number in the heat exchanger with the two-plate configurations is shown in Figure 3. For both configurations of the heat exchanger a good agreement can be seen between the calculated friction factor and the experimental values as well as the theoretical relationship (11) over the Reynolds number range of 4500–10106 [-]. However, the friction factor tends to be strongly dependent on the geometrical shape of the heat exchanger. At the inlet area, a constant flow is defined by the geometrical shape of the tubular manifold. Then the flow gets into the main area of the heat exchanger and it is divided into two parts. In addition, plates arrangement in the configuration I for both hot and cold fluid channels cause the flow changes its direction by 90°, inducing secondary flows that produce dead flow zones in the curvature of the channel visible in Figures 4a and 5a at the upper left corners at a lower flow rate (\(Re = 1528\)). However, at a higher flow rate the flow tends to limit dead flow zones as showed in Figures 4b and 5b for two cases of Table 2. Clear dead flow areas to occur in the cold fluid zone (Fig. 5b upper part) and it seems that S-shaped plates strengthen this phenomenon independently of the flow rate studied.

In addition, for the second configuration of the plate heat exchanger, the velocity field for the cold fluid zone strongly depends on the geometrical arrangement of the plates and at high Reynolds number the dynamic losses are greater (Fig. 5b) than those observed in the configuration I (Fig. 4b) at the same Reynolds number, \(Re = 10 \cdot 105\). Dead flow zones decrease when the inlet velocity increase due to the fluid inertia inducing the reverse fluid flow in areas between plates and heat exchanger walls.

The accuracy of numerical predictions was evaluated based on the standard deviation between the measurement and model results of pressure drop for each considered case as a function of the plates shape (configurations I and II). Figure 6 presents the impact of the flow regime and heat exchanger configuration on the pressure drop.

A significant deviation of 40% between the predicted and measured values of pressure drop was noticed at the operating mass flow rates for both hot and cold fluid flows in the plate heat exchanger configuration I. For the configuration II, however, these differences were lower and the mean standard deviations of 12% and 32% were noticed for the hot and cold fluid flow, respectively. It should be mentioned that the calculated pressure drop using the CFD model for the hot fluid flow was overestimated, while that for the cold fluid was underestimated in both configurations. Figures 7 and 8 show the contours of local pressure for both fluids: hot and cold and the two heat exchanger configurations.

The pressure decreases monotonically along the heat exchanger in both fluid zones as expected. However, it should be underlined a strong effect of the plates arrangement on the pressure distribution. In the pressure distributions presented in Figures 7 and 8, high pressure spots can be noticed for both fluids resulted from the flow interactions with these vertical plates. The presence of those plates causes fluid fluctuations. Thus, the ve-
locity and pressure distributions have strong impact on heat transfer within the heat exchanger as can be seen in temperature distributions presented in Figures 9 and 10 for cases 1 and 7, respectively. The hottest region of the cold fluid zone was located between the separation element in the S-shape for configuration II and at the upper part of the wall close to the cold side outlet for configuration I, while larger temperature changes for the hot fluid zone were achieved in both heat exchanger configurations in the inlet and split fluid flow zones. In those zones, recirculation and high pressure spots can also be seen. This behaviour resulted from the plates...
arrangement. It seems, on the one side, that the applied fluid flow division allows to improve mixing and in consequence leads to an increase of the heat transfer. On the other side, local hot spots can be observed, which can cause material problems and should be avoided due to safety reasons.

Analysis of the simulated temperatures at the outlets from the heat exchanger (configuration I) revealed that a quite good agreement was obtained between the CFD results and the measured ones by\(^\text{19}\), as it can be seen from Figure 11. The maximum deviation between the simulation and experimental values of temperature for hot fluid was equal to 17%, while for the cold fluid it was 13%. Therefore, it can be assumed the CFD temperature distributions of configuration I were close to the real ones. As indicated in Figure 11 for the second configuration of the plate heat exchanger, the agreement between the CFD and experimental results was even better. The maximum deviation between simulation and experimental values was equal to 15% and 12% for the cold and hot fluids, respectively. In addition, it should be underlined that application of the second configuration of the plate heat exchanger allows decreasing the hot fluid temperature at the outlet in the operating fluid flow rate and results in heat transfer enhancement.

**CONCLUSIONS**

Comparison of two heat exchanger configurations with different plates’ arrangement showed that the second configuration allows to obtain lower outlet temperature for the hot fluid flow. In all considered CFD cases, an increased Reynolds number caused a decrease of the friction factor and increase in the pressure drop. A higher pressure drop for the hot fluid of 26% was noticed for the configuration I of the plate heat exchanger in com-
Figure 9. Temperature distributions [K] in the heat exchanger configuration I for: (a) case 1, (b) case 7

Figure 10. Temperature distributions [K] in the heat exchanger configuration II for: (a) case 1, (b) case 7

Comparison to configuration II, indicating higher turbulence intensity. However, it seems that the critical impact on the heat transfer efficiency in the plate heat exchanger has the cold fluid distributions. The pressure drop for the cold fluid was higher of about 13% in configuration II than in I. Thus, the CFD results showed a significant importance of fluid flow nonuniformity on the heat exchanger efficiency. Moreover, the present study with respect to flow maldistribution (dead fluid zones), demonstrates that 3D CFD simulations are a useful tool for analyzing, designing and optimizing heat exchanger design. The results of this study can be also helpful in further optimization of heat exchanger configuration in order to minimize flow maldistribution.
Figure 11. Comparison of the outlet temperature from CFD and experiments\textsuperscript{19} values for the plate heat exchanger with configurations I and II for hot (a) and cold (b) fluids.

**NOMENCLATURE**

- \( C_p \) – average specific heat \([\text{Jkg}^{-1}\text{K}^{-1}]\)
- \( C_{\mu} \) – adjustable constant \([-\text{]}\)
- \( C_{\varepsilon} \) – adjustable constant \([-\text{]}\)
- \( D_h \) – hydraulic diameter of channel \([\text{m}]\)
- \( f \) – friction factor \([-\text{]}\)
- \( g \) – acceleration due to gravity \([\text{m}^{-2}]\)
- \( I \) – unit tensor \([-\text{]}\)
- \( K \) – turbulent kinetic energy \([\text{m}^2\text{s}^{-2}]\)
- \( k \) – thermal conductivity \([\text{Wm}^{-1}\text{K}^{-1}]\)
- \( L \) – length of the channel \([\text{m}]\)
- \( N \) – mass flow rate \([\text{kg s}^{-1}]\)
- \( p \) – pressure \([\text{Pa}]\)
- \( \rho \) – fluid density \([\text{kg m}^{-3}]\)
- \( \sigma \) – turbulent Prandtl number \([-\text{]}\)
- \( \tau \) – stress tensor \([\text{Pa}]\)
- \( \Delta P \) – pressure loss \([\text{Nm}^{-2}]\)

**Greek symbols**

- \( \beta \) – adjustable constant \([-\text{]}\)
- \( \varepsilon \) – dissipation rate of kinetic turbulence energy \([\text{m}^2\text{s}^{-3}]\)
- \( \eta \) – ratio of the mean flow to turbulent time scale \([-\text{]}\)
- \( \eta_0 \) – adjustable constant \([-\text{]}\)
- \( \lambda \) – thermal conductivity \([\text{Wm}^{-1}\text{K}^{-1}]\)
- \( \mu \) – molecular viscosity \([\text{Pas}]\)
- \( \mu_t \) – turbulent eddy viscosity \([\text{Pas}]\)
- \( \rho_\tau \) – fluid density \([\text{kg m}^{-3}]\)
- \( \sigma_k \) – turbulent Prandtl number for \( k \) \([-\text{]}\)
- \( \sigma_\varepsilon \) – turbulent Prandtl number for \( \varepsilon \) \([-\text{]}\)
- \( \tau_\| \) – stress tensor \([\text{Pa}]\)
- \( \Delta P \) – pressure loss \([\text{Nm}^{-2}]\)

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