RESEARCH ARTICLE

Risk factors of liver metastasis from advanced pancreatic adenocarcinoma: a large multicenter cohort study

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Abstract

Background: Clinical prognostic parameters of liver metastasis from pancreatic adenocarcinoma have not been specifically identified. This study is to explore the risk factors of liver metastasis in advanced pancreatic adenocarcinoma (PDAC) patients in China.

Methods: A multicenter cohort study was conducted to explore whether liver metastasis in locally advanced and metastatic PDAC could be reflected by some common laboratory indexes. We collected 1787 advanced PDAC patients from three participating hospitals between 2004 and 2014. The associations between some laboratory indexes and risks of liver metastases were analyzed.

Results: Results have shown that 87% of stage IV patients developed synchronous liver metastasis. Primary tumor location (body/tail vs. head/neck, OR 0.55, 95% CI 0.36-0.83), primary tumor diameter (≥20 mm vs. <20 mm, OR 1.77, 95% CI 1.16–2.70), elevated ALT and AST (OR 1.62, 95% CI 0.92–2.83), and elevated CA19-9 (OR 2.72, 95% CI 1.85–3.99) upon diagnosis are significantly associated with risk of synchronous liver metastasis. Among stage III patients, 30.1% developed metachronous liver metastasis. However, no risk factors were identified among these patients.

Conclusions: Primary tumor location, diameter, elevated ALT and AST, and increased CA19-9 are independent risk factors of synchronous liver metastasis in PDAC patients.

Background

Pancreatic ductal adenocarcinoma (PDAC) is the fourth leading cause of cancer-related death in the USA [1]. Characterized by the obscure syndromes and delayed manifestation, the prognosis for PDAC is fairly unfavorable. Only 10–20% of the patients can receive radical resection upon diagnosis [2]. Most of patients are diagnosed at advanced stages thus having few chemotherapeutic choices.

PDAC predispose the liver to establish secondary tumors [3]. According to the time when the metastasis is detected, liver metastasis of PDAC can be subdivided into synchronous and metachronous metastasis. Currently, amounts of studies have identified molecules as potential biomarkers predicting liver metastasis in PDAC, which may be of clinical significance [4, 5]. However, most of these biomarkers cannot be detected easily and have not been applied clinically. Currently, clinical prognostic parameters, especially of liver metastasis, have not been specifically identified. Frequently used clinical indexes that can be obtained easily would be of prospective clinical significance in the prediction of liver metastasis.

Herein, we conducted a multicenter cohort study to explore the value of some commonly used laboratory indexes in predicting the liver metastasis in locally advanced and metastatic PDAC patients. Meanwhile, we...
also report the cumulative metachronous liver metastasis rate for stage III PDAC patients.

Methods
Study populations
From January 1, 2004, to December 31, 2014, we conducted a multicenter cohort study in stage III and IV patients with unresectable PDAC at three participating hospitals, Shanghai Cancer Center, Changhai Hospital, and Ruijin Hospital. All the patients were pathologically confirmed with PDAC. In this study, data from 1787 patients in the cohort were collected and analyzed.

Approvals were obtained from the ethics committee at all the three participating centers, Shanghai Cancer Center, Changhai Hospital, and Ruijin Hospital. This cohort study was performed in accordance with the precepts of the Helsinki Declaration. During the hospitalization, written informed consents in view of prospective research of the clinical data were obtained from every included patient or their guardians.

Exposure measurements
Upon the initial admission to the in-patient department, blood samples were obtained from every patient on empty stomach for 8 h to detect CA19-9, liver function, and serum amylase. Information on smoking status and alcohol consumption was also collected. We defined the normal values of ALB, ALT, AST, and CA19-9 in accordance with the standards stipulated by Fudan University Shanghai Cancer Center. The normal value of ALB ranges from 40 to 55 g/L. The normal ranges of ALT and AST are 9–50 U/L and 15–40 U/L. CA19-9 lower than 27 U/ml was considered to be normal.

Verification for clinical materials
All the cases were first reviewed by two doctors at each participating hospital, and then, the clinical data was transferred to Shanghai Cancer Center. Two experienced doctors at Shanghai Cancer Center went through these clinical materials again to determine the cases for inclusion. If divergent suggestions were given, a third doctor would be invited to give the final assessment of inclusion or exclusion.

Outcome ascertainment
Synchronous liver metastasis
Up to now, there has been no established definition for synchronous liver metastasis of PDAC. In this study, we defined synchronous liver metastasis as follows:

Synchronous liver metastasis is defined as the detection of liver metastasis upon the initial diagnosis of PDAC. The PDAC should be pathologically confirmed, and the liver metastasis should be validated by imaging examination including ultrasound, CT, MRI, and PET-CT scan.

Overall survival and metachronous liver metastasis
All the patients in this study underwent regular follow-up evaluations. Survival status was actively followed up by trained research nurses every month. For deceased patients, dates of death were obtained from their family via telephone call if the patients died after discharge or recorded immediately if the patients died during hospitalization. The follow-up ended after the acquirement of death date. For surviving patients, the data were censored at the last follow-up.

 Imaging evaluations were performed every 2 month within the first 3 years and every 3 months thereafter, which include chest X-ray, abdominal ultrasonography, triphasic cross-sectional abdominal CT, and MRI. The images were initially reviewed by an experienced radiologist at each participating hospital and then re-evaluated by a radiologist at Shanghai Cancer Center. If inconsistent evaluations were given by these two doctors, a third radiology specialist at Shanghai Caner Center would be invited to give the conclusive evaluation.

Metachronous liver metastasis is defined as that there is no liver metastasis via imaging examination upon the initial diagnosis of PDAC but was found during the follow-up imaging examinations, regardless of the time after the initial diagnosis of PDAC. For instance, liver metastasis detected 1 month or 12 months after the initial diagnosis of PDAC was both considered as metachronous liver metastasis.

Statistical analysis
The statistical analyses were performed with SAS statistical software (version 9.4; SAS Institute). A p value less than 0.05 (two-sided probability) was interpreted as statistically significant.

Univariate and stepwise multivariate logistic regression models were performed to analyze the association between potential risk factors and synchronous liver metastasis among stage IV patients. Age (≥60 vs. <60), sex (male vs. female), smoking (yes vs. no), alcohol intake (yes vs. no), primary tumor location (body/tail vs. head/neck), primary tumor diameter (≥20 vs. <20 mm), ALT or AST (elevated vs. normal), ALB (low vs. normal), and CA19-9 (elevated vs. normal) were explored as covariables in the models.

Crude metachronous liver metastasis rates of stage III patients were calculated based on the time interval from the diagnosis of pancreatic cancer to liver metastasis ascertained in the follow-up, using the actuarial method commonly performed in colorectal cancers [6]. Patients who died of other diseases were censored at time of death, and patients who developed liver metastasis were censored at the time of occurrence. Univariate and stepwise multivariate Cox proportional hazards regression
analyses were performed to analyze the association between potential risk factors and metachronous liver metastasis.

Results
Cohort characteristics
This cohort consisted of 652 females (36.5%) and 1135 males (63.5%). Mean age of the patients upon diagnosis was 57.8 years. The numbers of patients at stages III and IV were 707 (39.6%) and 1080 (60.4%), respectively. Detailed baseline characteristics are listed in Table 1.

Synchronous liver metastasis
Among stage IV patients, synchronous liver metastasis status was described at baseline. Synchronous liver metastasis was found in a total of 939 (87%) patients (Table 2).

Risk factors of the synchronous liver metastasis risk were analyzed in a stepwise multivariate logistic regression model (Table 3). Primary tumor location, primary tumor diameter, elevated ALT or AST, and increased CA19-9 upon diagnosis are significantly associated with synchronous liver metastasis and serve as independent prognostic factors.

Metachronous liver metastasis
A total of 213 (30.1%) developed metachronous liver metastases among stage III patients during follow up after diagnosis. The overall actuarial cumulative rate was 7.2% after 3 months, 18.8% after 6 months, and 37.8% after 12 months. Table 2 shows the 3-, 6-, and 12-month actuarial cumulative rate according to the characteristics of the patient and of the tumor.

Risk factors of the metachronous liver metastasis were analyzed with a univariate and a stepwise multivariate Cox model to obtain a relative risk of liver metastasis (Table 4). Finally, age and primary tumor diameter were entered into the stepwise multivariate model. However, no statistically significant risk factors were identified in this model.

Discussion
Not surprisingly, hepatic micrometastases are frequently detected in patients with advanced PDAC. By far, few epidemiologic studies have been conducted on the overall metastasis rate of PDAC [7, 8]. Our cohort study has the merit in providing an unbiased and detailed view of the incidence of synchronous and metachronous liver metastases.

Currently, there has been no well-recognized definition on synchronous and metachronous liver metastasis for unresectable PDAC. The definition of synchronous and metachronous liver metastasis varies based on the types of cancers and clinical centers. Synchronous metastases referred to metastases detected before or during surgery for the primary cancer as defined in some studies on colon cancers [9, 10], while defined as metastasis detected within 3, 6, or 12 months after the diagnosis of the primary cancer [11, 12]. The metastases detected after 6 or 12 months following the radical surgery could be viewed as metachronous liver metastasis in gastric cancer [13, 14]. In this study on advanced PDAC, we defined synchronous liver metastasis as the detection of liver metastasis upon the initial diagnosis of PDAC. Metachronous liver metastasis was defined as that there was no liver metastasis via imaging examination upon the initial diagnosis of PDAC, and liver metastases were found during the follow-up imaging examinations, regardless of the time after the initial diagnosis of PDAC.
Table 2  Cumulative metachronous liver metastasis rate for stage III pancreatic cancer

| Variable                  | Number | 3 months (%) | 6 months (%) | 12 months (%) |
|---------------------------|--------|--------------|--------------|---------------|
| All patients              | 707    | 7.2          | 18.8         | 37.8          |
| Age                       |        |              |              |               |
| <60                       | 342    | 7.7          | 20.9         | 41.2          |
| ≥60                       | 365    | 7.0          | 16.6         | 35.5          |
| Sex                       |        |              |              |               |
| Female                    | 293    | 6.6          | 20.2         | 44.5          |
| Male                      | 414    | 8.0          | 17.9         | 33.5          |
| Smoking                   |        |              |              |               |
| No                        | 381    | 7.7          | 19.3         | 37.1          |
| Yes                       | 323    | 7.1          | 18.5         | 38.1          |
| Alcohol                   |        |              |              |               |
| No                        | 611    | 7.5          | 19.4         | 37.8          |
| Yes                       | 93     | 5.5          | 13.2         | 40.0          |
| Primary tumor location    |        |              |              |               |
| Head and neck             | 391    | 7.5          | 20.5         | 38.2          |
| Body and tail             | 316    | 7.2          | 16.9         | 37.5          |
| Primary tumor diameter    |        |              |              |               |
| <20                       | 66     | 4.8          | 8.6          | 19.2          |
| ≥20                       | 641    | 7.8          | 20.1         | 40.2          |
| ALT or AST                |        |              |              |               |
| Normal                    | 605    | 7.5          | 18.7         | 38.4          |
| Elevated                  | 102    | 6.4          | 20.0         | 32.9          |
| ALB                       |        |              |              |               |
| Normal                    | 563    | 6.9          | 18.6         | 37.9          |
| Low                       | 144    | 10.2         | 19.8         | 37.7          |
| CA19-9                    |        |              |              |               |
| Normal                    | 132    | 6.3          | 15.3         | 37.6          |
| Elevated                  | 575    | 7.6          | 19.7         | 38.5          |

Table 3  Factors associated with the risk of synchronous liver metastasis for stage IV pancreatic cancer (univariate and multivariate logistic regression model) (N = 1080)

| Variable                  | Univariate analysis | Stepwise multivariate analysis |
|---------------------------|---------------------|-------------------------------|
|                           | OR      | 95% CI  | P value | OR      | 95% CI  | P value |
| Age (≥60 vs. <60)         | 0.94    | 0.66–1.34 | 0.7357 |          |         |         |
| Sex (male vs. female)     | 1.29    | 0.90–1.86 | 0.1723 |          |         |         |
| Smoking (yes vs. no)      | 1.19    | 0.83–1.70 | 0.3371 |          |         |         |
| Alcohol (yes vs. no)      | 1.11    | 0.65–1.88 | 0.7058 |          |         |         |
| Location (body/tail vs. head/neck) | 0.59    | 0.40–0.89 | 0.0113 | 0.55    | 0.36–0.83 | 0.0046 |
| Diameter (≥20 vs. <20)    | 1.60    | 1.07–2.40 | 0.0236 | 1.77    | 1.16–2.70 | 0.0082 |
| ALT or AST (elevated vs. normal) | 1.78    | 1.03–3.07 | 0.0390 | 1.62    | 0.92–2.83 | 0.0923 |
| ALB (low vs. normal)      | 0.80    | 0.54–1.19 | 0.2697 |          |         |         |
| CA19-9 (elevated vs. normal) | 2.53    | 1.74–3.68 | <0.0001 | 2.72    | 1.85–3.99 | <0.0001 |
Table 4 Factors associated with the risk of metachronous liver metastasis for stage III pancreatic cancer (univariate and multivariate Cox regression model) (N = 707)

| Variable                        | Univariate analysis | Stepwise multivariate analysis |
|---------------------------------|---------------------|-------------------------------|
| HR                              | 95% CI              | P value           | HR              | 95% CI | P value |
| Age (≥60 vs. <60)               | 0.78                | 0.60–1.02       | 0.0736          | 0.77   | 0.58–1.01 | 0.0555 |
| Sex (male vs. female)           | 0.82                | 0.63–1.08       | 0.1534          | 1.08   | 0.0413    | 0.9925 |
| Smoking (yes vs. no)            | 0.98                | 0.75–1.29       | 0.8878          | 1.55   | 0.9162    | 0.6243 |
| Alcohol (yes vs. no)            | 0.93                | 0.63–1.39       | 0.7288          | 1.52   | 0.9925    | 0.6243 |
| Location (body/tail vs. head/neck) | 0.81         | 0.62–1.07       | 0.1367          | 1.07   | 0.9925    | 0.6243 |
| Diameter (≥20 vs. <20)          | 1.62                | 1.02–2.59       | 0.0413          | 1.58   | 0.9925    | 0.6243 |
| ALT or AST (elevated vs. normal) | 1.02              | 0.67–1.55       | 0.9162          | 1.03   | 0.9925    | 0.6243 |
| ALB (low vs. normal)            | 1.03                | 0.72–1.47       | 0.8848          | 1.15   | 0.9925    | 0.6243 |
| CA19-9 (elevated vs. normal)    | 1.15                | 0.81–1.62       | 0.4381          | 1.15   | 0.9925    | 0.6243 |

These criteria defined by us differ from those defined in other cancers due to PDAC’s more rapid and aggressive inherent nature as compared with other cancers. However, some small hepatic metastases known as “micrometastases” from PDAC may be overlooked even with advanced imaging and even could be undetected in surgeries [15]. Therefore, the actual number of PDCA patients with liver metastases outnumbers the one identified in this cohort.

Hematogenous metastasis is the most widely accepted theory that cancer cells establish metastases to the liver from advanced PDAC [16]. It has been shown that vascular invasion accounts majorly for liver metastasis [17]. Liver is the first filter for pancreatic cancer cells to invade during the hematogenous metastasis [18]. Pancreatic cancer cells spread to the liver by destroying portal vein, mesenteric vein, and splenic vein thereby achieving hematogenous metastasis. In this study, an intimate association was found between the primary tumor size and the infiltration of pancreatic cancer cells into the liver. There have been quite a few studies demonstrating that the tumor size is the most powerful and reliable predictor of prognosis in resectable patients after radical surgery [19, 20]. This can be easily understood since tumor size directly relates to the T stage and surgical margin [21]. Larger primary tumor size is more invasive to the peripheral organs or vessels and may also mean more tumor burden for the patients [22]. Consequently, we speculated that bigger primary tumor size may bring about more liver metastases among the PDCA patients. In this cohort study, we found that patients with tumor size more than or equal to 2 cm in stage IV patients were more inclined to develop liver metastases, which was not found in stage III patients. This may suggest the minimal influence of the primary tumor size on liver metastases for T4 stage III patients.

Meanwhile, other than the tumor size, primary tumor at the head and neck was more inclined to have liver metastases compared to primary tumor at body and tail for stage IV PDCA patients. However, this result could not be verified in stage III patients, which may be due to the lack of power. Alternatively, the liver metastases in stage III patients are more affected by the inherent aggressiveness rather than the primary tumor size and location.

In this cohort study, patients’ sex, age, smoking status, alcohol consumption, and serum albumin level had no impact on the synchronous metastasis rate in stage IV patients. Neither in stage III patients did these parameters show any influence on metachronous metastasis rate. Mounting evidences demonstrated that some clinical parameters may affect the survival of PDAC patients, such as tumor stages, postoperative pathological findings, and CA-199 level [23–25]. These studies mainly focused on resectable PDAC patients rather than the unresectable ones. While in advanced PDAC, the previous studies mainly concentrated on overall survival [26, 27]. Our study focused on the liver metastases in advanced PDAC and its influencing factors. Primary tumor diameter and elevated CA 19-9 level were found to be independent factors associated with the risk of synchronous liver metastasis for stage IV PDAC. Serum CA 19-9 level is related to the overall tumor burden of PDAC. Patients with elevated CA 19-9 level were found to be in advanced stage [28, 29]. Perioperative CA 19-9 level has been reported to determine the efficacy from radical surgery [30, 31]. A few studies have reported that preoperative CA 19-9 was associated with resectability and postoperative prognosis [32, 33]. This multicenter cohort study demonstrated that patients with elevated CA19-9 level were more inclined to develop liver metastases in stage IV patients. However, CA19-9 level and some other clinical parameters were found not to be risk factors of metachronous liver metastasis for stage III PDAC patients. Therefore, molecular biomarkers other than clinical parameters need to be explored to predict liver metastases in stage III patients.
Serum ALT and AST are commonly used as parameters for liver function [34]. However, they have been rarely reported as prognostic factors for advanced PDAC. Liver functions, indicative of the degree of liver damage, not only reflect liver injury but also reflect cancer cells' invasion into the liver. To date, studies have shown that elevated expressions of ALT and AST are often associated with poor prognosis in many cancers [35, 36]. Our study suggested that elevated ALT and AST were risk factors for synchronous liver metastasis.

Up to now, surgical resection is the only curative modality for PDAC. Despite improved treatments, the overall survival for PDAC patients is still limited. Due to the aggressiveness of PDAC, multidisciplinary treatment modalities that are of feasibility in advanced colorectal cancer cannot be applied successfully in advanced PDAC. There have been a few attempts clarifying the value of hepatic metastasis resection in advanced PDAC, and prolonged survival has been observed in some cases [37, 38]. However, the numbers of patients enrolled in these studies were too minimal to gain acknowledgement.

Importantly, resection of liver metastases has rarely been clinically performed in advanced PDAC. Currently, there have been mounting clinical trials designed to test the effects of different regimens for advanced PDAC. Unfortunately, most of these attempts resulted in unsatisfactory effects. Recently, two regimens of gemcitabine-nab-paclitaxel and FOLFIRINOX have been demonstrated to improve the overall survival in inoperable patients compared with gemcitabine alone [39, 40]. Results have shown that nab-paclitaxel plus gemcitabine significantly prolonged overall survival for metastatic PDAC patients. Inevitably, peripheral neuropathy and myelosuppression rates were also increased. It also showed that FOLFIRINOX not only improved OS but also entailed increased toxicity [39, 40]. Therefore, these two regimens provide optional treatments for patients with metastatic PDAC but in good performance status.

**Conclusions**

From this multicenter cohort study, we found that primary tumor location, diameter, elevated ALT and AST, and increased CA19-9 levels may be independent risk factors of synchronous liver metastasis in PDAC patients.

**Abbreviations**

PDAC: Pancreatic adenocarcinoma

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**Availability of data and materials**

Please contact author for further data requests.

**Authors’ contributions**

CH, CQW, and LZS designed this study. DS, WL, and QYB carried out the study. YHF, SXH, and MQF carried out the statistical analysis. DS, WL, and CQW wrote the manuscript. All authors read and approved the final manuscript.

**Competing interests**

The authors declare that they have no competing interests.

**Consent for publication**

During the hospitalization, written informed consents in view of prospective research and publication of the clinical data were obtained from every included patient or their guardians.

**Ethics approval and consent to participate**

Approvals were obtained from the ethics committee at all the three participating centers, Shanghai Cancer Center, Changhai Hospital, and Ruijin Hospital. This cohort study was carried on in accordance with the precepts of the Helsinki Declaration.

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