Enhanced Macroscopic Quantum Tunneling in Bi$_2$Sr$_2$CaCu$_2$O$_{8+\delta}$

Intrinsic Josephson Junction Stacks

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We have investigated macroscopic quantum tunneling in Bi$_2$Sr$_2$CaCu$_2$O$_{8+\delta}$ intrinsic Josephson junctions at millikelvin temperatures using microwave irradiation. Measurements show that the escape rate for uniformly switching stacks of N junctions is about $N^2$ times higher than that of a single junction having the same plasma frequency. We argue that this gigantic enhancement of macroscopic quantum tunneling rate in stacks is boosted by current fluctuations which occur in the series array of junctions loaded by the impedance of the environment.

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After 20 years since its discovery, macroscopic quantum tunneling (MQT) in Josephson junctions remains a fascinating phenomenon which attracts interest of a broad physics community. MQT was first observed in Nb Josephson junctions at very low temperatures \cite{1} and has been used to study energy level quantization by microwave absorption \cite{2}. More recently, so-called phase qubits based on MQT in current-biased Josephson junctions have been reported as very promising hardware for quantum information processing \cite{3,4,5}.

Quantum information processing \cite{3,4,5} has been used to study energy level quantization by microwave absorption \cite{2}. More recently, so-called phase qubits based on MQT in current-biased Josephson junctions have been reported as very promising hardware for quantum information processing \cite{3,4,5}.

On the other hand, intrinsic Josephson junctions (IJJs) in layered high-$T_c$ superconductors \cite{3,11} are rather attractive candidates for MQT experiments. IJJs in Bi$_2$Sr$_2$CaCu$_2$O$_{8+\delta}$ are formed by pairs of CuO$_2$ double planes, separated by a Bi$_2$O$_3$ insulating layer. They have a much higher Josephson coupling energy than grain boundary junctions and a better homogeneity, as they are located inside a more or less perfect single crystal. Moreover, intrinsic junctions exhibit current transport along the c-axis direction, i.e., perpendicular to the copper oxide layers, which can be removed by the $d_{x^2-y^2}$ order parameter should not affect MQT at all. With the recent invention of a double-sided fabrication technique \cite{11}, one can avoid heating the junctions by contact resistance. This makes MQT in IJJs practically attainable. Recently, MQT has been observed on a single junction in Bi$_2$Sr$_2$CaCu$_2$O$_{8+\delta}$ IJJs \cite{12,13}. The temperature $T^*$ of the crossover between thermal and quantum escape was reported to be rather high.

In this Letter, we present an experimental study of MQT in IJJs using microwave spectroscopy. We demonstrate that the unique uniform array structure of intrinsic Josephson junction stacks causes an enormous enhancement of the tunneling rate. We argue that this enhancement can be caused by current fluctuations in the stack.

The samples were fabricated using the standard double-sided ion beam etching technique \cite{11}. The stack height ranges from approximately 7.5 nm to 15 nm, i.e. the number of junctions $N$ in our IJJ series arrays is between 50 and 100. The junction area varied for different stacks from $1\times2 \mu m^2$ to $2\times3 \mu m^2$. Critical current densities $J_c$ were between 0.7 - 2.8 kA/cm$^2$. Sample parameters are summarized in Table 1. Current-voltage (I-V) characteristics were measured with a standard four-terminal configuration using current biasing. Experiments were performed in a $^3$He cryostat with a minimum temperature of 300 mK and in a $^3$He/$^4$He dilution refrigerator with a base temperature of 10 mK.

We investigated two different types of samples. Their typical I-V characteristics are presented in Fig. 1. In the first case, at least one junction had a critical current significantly lower than those of the rest of the stack. This was achieved either by exposing the unprotected side of the crystal to ambient atmosphere, resulting in a reduced critical current density, or by over-etching resulting in a reduced cross-sectional area. When increasing the cur-

![FIG. 1: I-V characteristics: (a) One-by-one switching of three junctions in a stack, $\#SJ3$; (b) uniform switching of the whole stack, $\#US1$. The insets show the full-range I-V curves for both samples. The sample geometry is sketched in the upper right corner.](image-url)
rent, we observed voltage jumps from one branch to the next in steps of approximately 25 mV (Fig. 1a). Due to the large difference of the critical current, it is always the same junction that switches to its resistive state first. We call this behavior "single-junction switching" and denote this type of samples as the US series. In the second case, the stack is so homogeneous that upon increasing the current, we never observed any stable states between zero voltage and 1.14 V, where all junctions are in the resistive state (Fig. 1b). We call that behavior "uniform-stack switching" and denote this type of samples as the SJ series. Nevertheless, we find that many branches corresponding to different numbers of resistive junctions are still there. They can be easily traced up from the return curve of the resistive state.

Distributions of the switching current, \(I_s\), at which the junctions escape from the zero voltage state were measured using a high-resolution ramp-time based setup [14]. The bias current was ramped up with a rate of 200 mA/s. After detecting a switching event by a voltage threshold of 20 \(\mu\)V, the current was switched to zero within less than 10 \(\mu\)s in order to avoid heating effects.

Monitoring the voltage on a fast oscilloscope for \(\sharp\)US-type samples showed that the current decrease was fast enough so that any stack always switched to its first resistive branch, i.e. to a state with one resistive junction. Based on this fact we emphasize that regarding dissipation both types of samples were measured under exactly the same experimental conditions. Switching current distributions were measured at temperatures between 20 mK and 12 K using a repetition rate of 600 Hz. The switching current statistics was determined from 20,000 to 60,000 switching events. The switching probability \(P(I)\) shown in the inset of Fig. 2 is defined as the number of switching events per \(\mu\)A normalized to the total number of events. The standard deviation \(\sigma\) of the switching current was determined from the width of the \(P(I)\) curve. Fig. 2 shows \(\sigma\) as a function of temperature for samples \(\sharp\)SJ1 and \(\sharp\)US1. The saturation of \(\sigma(T)\) at low temperatures corresponds to a crossover from thermal activation to MQT. For sample \(\sharp\)SJ1 the saturation is not complete in Fig. 2. This is due to the fact that this experiment was done in a \(^3\)He cryostat where the lowest temperature was 300 mK. We verified complete saturation in our experiments in the dilution refrigerator. Nevertheless, one may still see in Fig. 2 that the crossover temperature \(T^*\) of the single-junction sample \(\sharp\)SJ1 is about 300 mK, while the uniform-switching sample \(\sharp\)US1 shows \(T^*\) of about 700 mK. This discrepancy can not be fully accounted by the difference in the critical currents.

At temperatures below \(T^*\), we measured the switching current distributions under microwave radiation in the frequency range between 10 and 40 GHz. Such measurements allow to determine the plasma frequency \(\omega_p^0\) and the absolute value of the fluctuation-free critical current \(I_c\) directly [2]. Here, microwave spectroscopy serves as a tool to determine the energy level separation in the quantum regime. It should be noticed that quantum transitions between levels cannot be easily distinguished from

**TABLE I: Sample parameters measured.**

| \(\sharp\) | \(n = (\mu \text{m})^2\) | \(I_c(\mu \text{A})\) | \(T^*(\text{mK})\) | \(f_0^0(\text{GHz})\) | \(\gamma_4(\%)\) | \(N\) |
|---|---|---|---|---|---|---|
| SJ1 | 2×3 | 57.7 | 300 | 120 | 99.0 | 1 |
| SJ2 | 2×3 | 162.5 | 450 | 180 | 99.2 | 1 |
| SJ3 | 2×3 | 67.4 | 320 | 135 | 98.9 | 1 |
| US1 | 1×3 | 38.2 | 700 | 138 | 96.5 | 46 |
| US2 | 2×2 | 31.0 | 500 | 126 | 96.3 | 42 |
| US3 | 2×3 | 57.2 | 550 | 140 | 97.2 | 50 |
| US4 | 2×3 | 65.5 | 620 | 150 | 97.3 | ~100 |

**FIG. 3:** (a) Density plot of switching current vs. microwave power for two-photon absorption in sample \(\sharp\)US3 at 30 mK. The switching probability \(P(I)\) is color coded according to the bar on the right-hand side. (b) The corresponding enhancement of the escape rate at different microwave powers. The inset shows the fit of the 6 dBm enhancement curve with a Lorentzian.
The data are fitted to Eq. (1) using $n=2$ (upper dotted line and upper solid line) and $n=3$ (lower lines). The vertical lines mark the maximum switching current of the samples.

As the microwave power is increased, the P(I) distribution becomes double-peaked. The microwave-induced peak at lower currents corresponds to a plasma resonance in the junction. In the quantum picture, this peak is interpreted as tunneling from highly-populated energy level(s). Figure 3(a) shows a density plot of the switching current distribution of a uniform-switching stack versus microwave power at 38.2 GHz. Figure 3(b) shows the corresponding enhancement of the escape rate. The double-peaked P(I) distribution develops at a microwave power of about -1 dBm referred to the top of the cryostat. The two-photon resonance current peak appears at about 57.0 $\mu$A. It should be noted that the width of the resonance peak is smaller than the distribution width at zero microwave power, which indicates that the standard deviation of P(I) measured without microwaves is not limited by current noise in our setup. The resonance current $I_r$ is defined as the position of the resonant peak when both peaks have equal amplitudes. The results at different microwave frequencies are summarized in Fig. 4.

The data are fitted to

$$\omega_p = \frac{1}{n} \omega_p^0 (1 - \gamma^2)^{1/4},$$

where $\omega_p$ is the plasma frequency of the junction at the normalized bias current $\gamma$, $\omega_p^0$ is the plasma frequency at zero bias, and $n$ is the number of photons taking part in the absorption process. $\gamma = I_b/I_c$ is given in normalized units, where $I_b$ is the bias current and $I_c$ is the fitted fluctuation-free critical current. The data in Fig. 4 show the best fit to Eq. (1) by assuming two- and three-photon absorption. At lower frequencies and high powers we observed multi-photon peaks up to $n=6$ (not shown). From the fits we obtain $f_p^0 = \omega_p^0/2\pi = 150$ GHz (sample $\sharp$US3), and $f_p^0 = 180$ GHz (sample $\sharp$SJ2). The fitted $f_p^0$ of the other samples are shown in Table 1. The obtained plasma frequencies provide an estimate for the junction capacitance per unit area $C = \gamma_0/(2\pi \Phi_0 f_p^0)$, where $\Phi_0$ is the magnetic flux quantum. Our measurements yield $C = 3.9 \mu$F/cm$^2$ and $\varepsilon_r = 5.3$, which conforms well with the value of $\varepsilon_r = 5$ obtained in earlier work [18].

FIG. 4: Applied microwave frequency versus normalized resonance current for sample $\sharp$SJ2 (open circles) and $\sharp$US3 (solid circles) measured at 20 mK. The data are fitted to Eq. (1) using $n=2$ (upper dotted line and upper solid line) and $n=3$ (lower lines). The vertical lines mark the maximum switching current of the samples.
factor of roughly 2000 ($\xiUS1$) and 10000 ($\xiUS4$). This difference is marked by the vertical arrows in Fig. 5. Samples listed in Table 1 have different sizes and $j_c$’s. We have measured them in different setups and at different temperatures. Experiments for all single junction samples well agree with theory (2). On the other hand, for all uniformly switching samples we always found a huge difference between the measured data and single-junction model given by Eq. (2). We therefore conclude that the single junction tunneling theory is not applicable to the uniform switching stacks.

The value of $N$ in $\xiUS$ samples can be determined by counting the number of resistive branches in the $I$-$V$ characteristics. Assuming that the single junction escape rate is enhanced by a factor of $N^2$, one can renormalize the peak escape rate of all $\xiUS$ samples. In Fig. 5 we have chosen to show samples $\xiUS1$ with $N = 46$ and $\xiUS4$ with $N = 100$. We find that the $N^2$ corrected values shown by large solid dots conform pretty well to the experimental data. Moreover, our data for samples $\xiUS2$ and $\xiUS3$ (see Table 1) also match this $N^2$ correction.

If there would be no interaction between $N$ identical junctions in a current-biased series array, the escape rate $\Gamma$ (the probability that at least one junction switches at a given bias current) should be merely enhanced by a factor $N$ with respect to a single junction. If we assume that switching of any junction in the array is triggered, in addition to its own fluctuations, by its nearest neighbors in the array (e.g., via charge coupling through the shared CuO$_2$ double-planes), then we should get an enhancement by factor of about $3N$. However, experiments imply that the enhancement of the escape rate in stacks is proportional to $N^2$. This is possible when there is an interaction between any pair out of the $N$ junctions.

Such interaction occurs when the stack of junctions connected in series is loaded in parallel by a relatively low impedance. Fluctuations of the phase difference of a single junction change its parametric Josephson inductance and thus the total inductance of the array. The external bias current is split-up between the array and the external impedance, which can be regarded as the impedance of the environment at the plasma frequency. The bias current flowing through the array thus changes under fluctuations in any junction. A specific analysis of this model goes beyond the scope of this experimental paper.

In conclusion, we have found a drastically enhanced escape rate of macroscopic quantum tunneling in uniformly switching Bi$_2$Sr$_2$CaCu$_2$O$_{8+\delta}$ intrinsic Josephson junction stacks. This enhancement adds a factor of approximately $N^2$ to the quantum escape rate of a single Josephson junction. This can be caused by large quantum fluctuations due to interactions among the $N$ junctions and results in a significant increase of the crossover temperature $T^{*}$ between the thermal activation regime and quantum tunneling.

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