Incomplete reporting of baseline characteristics in clinical trials: an analysis of randomized controlled trials and systematic reviews involving patients with chronic low back pain

Wertli, Maria M; Schöb, Manuela; Brunner, Florian; Steurer, Johann

Abstract: OBJECTIVE: The aim of this study was to evaluate the reporting of relevant prognostic information in a sample of randomized controlled trials (RCTs) that investigated treatments for patients with chronic low back pain (LBP). We also analysed how researchers conducting the meta-analyses and systematic reviews addressed the reporting of relevant prognostic information in RCTs. METHODS: We searched the Cochrane Database to identify systematic reviews that investigated non-surgical treatments for patients with chronic LBP. The reported prognostic information was then extracted from the RCTs included in the reviews. We used a purpose-defined score to assess the quantity of information reported in the RCTs. We also determined how the authors of systematic reviews addressed the question of comparability of patient populations between RCTs. RESULTS: Six systematic reviews met our inclusion criteria, and we analysed 84 RCTs. Based on the scores, the reporting of important prognostic variables was incomplete in almost half of the 84 RCTs. Information regarding patients’ general health, social support, and work-related conditions was rarely reported. Almost half of the studies included in one of the meta-analyses provided insufficient information that did not allow us to determine whether patients in the primary trials were comparable. CONCLUSIONS: Missing prognostic information potentially threatens the external validity (i.e. the generalizability or applicability) not only of primary studies but also of systematic reviews that investigate treatments for LBP. A detailed description of baseline patient characteristics that includes prognostic information is needed in all RCTs to ensure that clinicians can determine the applicability of the study or review results to their patients.

DOI: https://doi.org/10.1371/journal.pone.0058512

Posted at the Zurich Open Repository and Archive, University of Zurich
ZORA URL: https://doi.org/10.5167/uzh-89297
Published Version

Originally published at:
Wertli, Maria M; Schöb, Manuela; Brunner, Florian; Steurer, Johann (2013). Incomplete reporting of baseline characteristics in clinical trials: an analysis of randomized controlled trials and systematic reviews involving patients with chronic low back pain. PLoS ONE, 8(3):e58512.
DOI: https://doi.org/10.1371/journal.pone.0058512
Incomplete Reporting of Baseline Characteristics in Clinical Trials: An Analysis of Randomized Controlled Trials and Systematic Reviews Involving Patients with Chronic Low Back Pain

Maria M. Wertli1+, Manuela Schöb1, Florian Brunner2, Johann Steurer1

1 Horten Center for Patient Oriented Research and Knowledge Transfer, Department of Internal Medicine, University of Zurich, Zurich, Switzerland, 2 Department of Physical Medicine and Rheumatology, Balgrist University Hospital, Zurich, Switzerland

Abstract

Objective: The aim of this study was to evaluate the reporting of relevant prognostic information in a sample of randomized controlled trials (RCTs) that investigated treatments for patients with chronic low back pain (LBP). We also analysed how researchers conducting the meta-analyses and systematic reviews addressed the reporting of relevant prognostic information in RCTs.

Methods: We searched the Cochrane Database to identify systematic reviews that investigated non-surgical treatments for patients with chronic LBP. The reported prognostic information was then extracted from the RCTs included in the reviews. We used a purpose-defined score to assess the quantity of information reported in the RCTs. We also determined how the authors of systematic reviews addressed the question of comparability of patient populations between RCTs.

Results: Six systematic reviews met our inclusion criteria, and we analysed 84 RCTs. Based on the scores, the reporting of important prognostic variables was incomplete in almost half of the 84 RCTs. Information regarding patients’ general health, social support, and work-related conditions was rarely reported. Almost half of the studies included in one of the meta-analyses provided insufficient information that did not allow us to determine whether patients in the primary trials were comparable.

Conclusions: Missing prognostic information potentially threatens the external validity (i.e., the generalizability or applicability) not only of primary studies but also of systematic reviews that investigate treatments for LBP. A detailed description of baseline patient characteristics that includes prognostic information is needed in all RCTs to ensure that clinicians can determine the applicability of the study or review results to their patients.

Introduction

Assessing the external validity of randomized controlled trials (RCTs) is a key step in the critical appraisal of clinical studies. Many clinicians trust authors and journal editors to verify the high internal validity of the published studies (e.g., concealment of randomization list, information about drop-outs, intention to treat analysis), but physicians must decide for themselves whether the results apply to an individual patient. The information that is needed for this determination is reported in the Methods and Results sections of journal articles. The Methods section reports the eligibility criteria information, which states the patient qualifications for inclusion in the study. Patient characteristics are reported in the Results section; quite often, the article’s Table 1 shows the distribution of characteristics of patients included in the study. Guidelines for reporting, e.g., the CONSORT Statement for randomized controlled trials [1], recommend not only a comprehensive description of eligibility criteria but also a list of baseline characteristics for important prognostic factors.

A complete description of relevant prognostic factors is particularly important in otherwise ill-defined diseases, such as chronic low back pain (CLBP). Several prognostic factors have been identified that can affect treatment effects in patients with CLBP, including age, duration of symptoms, first or recurrent episode, employment status, and comorbidities such as depression [2,3]. For example, a treatment is effective in patients without depression but be less effective or even ineffective in depressed patients [4].

Knowing the patients’ baseline characteristics is important for interpreting study results, both for clinicians and for the researchers who conduct systematic reviews and meta-analyses. Pooling the results of primary studies with unknown or different distributions of relevant prognostic factors in the included
The aim of the current study was to evaluate the reporting of relevant prognostic information in a selection of randomized controlled trials (RCTs) investigating treatment outcomes in patients with CLBP. We also determined whether the authors of systematic reviews addressed the question of comparability of patient populations between RCTs.

Methods

Study Design

Here we analysed primary studies included in CLBP-related systematic reviews in the Cochrane library. For the purpose of the current study, CLBP represents an ill-defined disease with high health care expenditure [6] for which important prognostic information is known to influence the course of the disease [3,7].

We aimed to include a complete set of trials for each treatment intervention; therefore, we analysed primary studies that were included in systematic reviews published in the Cochrane library. The Cochrane Collaboration Guideline [8,9,10] has published guidelines for the standardized assessment of baseline characteristics to facilitate comparison of systematic reviews. While this study is not a systematic review reporting will be based, if applicable, on the recommendations of the PRISMA statement [11].

Eligibility Criteria and Selection of Systematic Reviews

All systematic reviews that were published in the Cochrane library from its inception (1996) to December 2010 that investigated non-surgical treatments for CLBP were eligible for inclusion in our analysis. We searched the Cochrane library for the terms “chronic” and “non-specific low back pain” in the title, abstract, or keywords. Of the returned reviews, only RCTs included in the systematic reviews were then independently reviewed (MW and MS). Discrepancies between the two reviewers were discussed and resolved by consensus or by a third party (FB).

Data Extraction and Synthesis

One reviewer (MS) extracted data from the RCTs, including bibliographic data (authors, year of publication), eligibility criteria, and prognostic information. Prognostic information for LBP was defined a priori in collaboration with experienced clinicians (one internist, one rheumatologist, one general practitioner) and one methodologist in the field and by consulting the relevant literature [2,3]. We used the prognostic domains proposed by Hayden et al. [7] to categorize the information reported in the RCTs. These domains, which are considered to represent clinically meaningful groups, [2] have been used in previous research and are based on expert consensus [12]. The following six main domains were used: general patient characteristics, baseline health status, work-related factors, current low back pain (LBP), clinical examination findings, and interactions with work/society. Each main domain is divided into subdomains (e.g., current LBP is further divided according to the patient's clinical history, disability related to the complaint, and changes in the complaint over time). There were a total of 16 prognostic subdomains (Table 1). The six main domains represent a spectrum of important information that helps clinicians decide whether the study results are applicable to their patients.

One reviewer (MW) confirmed all of the extracted information and assigned the data to the appropriate subdomains. To quantify the amount of reported prognostic information for each RCT, we defined a Score for the Quantity of Reporting (SQR) for each one as follows: High SQR, information was reported for one or more subdomains in all six main domains; moderate SQR, information was reported for one or more subdomains in five of the six main domains; and low SQR, information was reported for one or more subdomains in four or fewer main domains (Table 1). The SQR for each study was then compared to how the baseline characteristics were assessed in the systematic reviews. Assessment of the comparability of baseline characteristics in studies is defined in the Method guidelines for systematic reviews in the Cochrane Collaboration Back Review Group for Spinal Disorders [8] (first published in 1997). The relevant question is: “Are the baseline characteristics similar with regards to the most important prognostic factors?” The possible answers are “Yes/
No/Don’t know,” and studies were divided into “Yes”, “No”, or “Can’t tell” categories depending on the answer to that question. The updated Method Guidelines in 2003 [9] further stated, “In order to qualify for a “Yes,” groups have to be similar at baseline regarding demographic factors, duration and severity of complaints, percentage of patients with neurologic symptoms.” When not enough information is reported, the study must be classified as “Can’t tell”. We would expect that for primary studies with low SQRs, the answer to the above question would be “Can’t tell.” We also investigated whether studies with low SQR or that were classified as “Can’t tell” were included in the meta-analysis.

Figure 1. Study flow chart. The Cochrane Database of Systematic Reviews was searched in November, 2010. doi:10.1371/journal.pone.0058512.g001

Statistical Analysis
Descriptive statistics were used to summarize findings across the entire set of RCTs. We wished to evaluate changes in the quantity of reporting over time, particularly after the publication of the CONSORT statement in 1996 [1], which aimed to improve the quality of reporting in RCTs. Toward this end, the mean number of reported subdomains before and after 1998 (to allow one year for implementation of CONSORT suggestions) was compared using the t-test. Analyses were conducted with SPSS for Windows version 19 (IBM SPSS; Chicago, IL USA) and R statistical software for Windows (http://www.R-project.org/).
Prognostic Information Influence External Validity

Table 2. Summaries of the systematic reviews in our analysis.

| Author               | Year | Objective                                                                 | Number of studies analysed | Conclusion                                                                                     |
|----------------------|------|---------------------------------------------------------------------------|---------------------------|------------------------------------------------------------------------------------------------|
| Furlan et al. [32]   | 2005 | To assess the effects of AC for the treatment of NSLBP and the effects of dry-needling for myofascial pain syndrome in the low-back region. | 20                        | Acute LBP: no firm conclusions about the effectiveness of AC. Chronic LBP: AC more effective for pain relief and functional improvement than no treatment or sham treatment and in the short-term only. AC is not more effective than other conventional treatments. |
| Urquhart et al. [33] | 2008 | To determine whether antidepressants are more effective than placebo for the treatment of NSLBP | 9                         | No clear evidence that antidepressants are more effective than placebo in the management of patients with CLBP. |
| Henschke et al. [14] | 2010 | To determine the effects of behavioural therapy for CLBP and the most effective behavioural approach | 32                        | Short-term: moderate quality evidence that operant therapy is more effective than being placed on a waiting list and that behavioural therapy is more effective than usual care for pain relief. No specific type of behavioural therapy is more effective than another. Intermediate- to long-term: Little or no difference between behavioural therapy and group exercises for pain or depressive symptoms. |
| Staal et al. [34]    | 2008 | To determine if injection therapy is more effective than placebo or other treatments for patients with subacute or chronic LBP. | 10                        | Insufficient evidence to support the use of injection therapy in subacute and chronic LBP. Insufficient data to answer whether specific subgroups of patients respond to a specific type of injection therapy. |
| Deshpande et al. [35] | 2007 | To determine the efficacy of opioids in adults with CLBP. | 4                         | Quality remark: Although high internal validity scores, the study showed a lack of generalizability, inadequate description of study populations, a poor intention-to-treat analysis, and limited interpretation of functional improvement. The benefits of opioids in clinical practice for the long-term management of CLBP remain questionable. |
| Dagenais et al. [36]  | 2007 | To determine the efficacy of prolotherapy in adults with CLBP.          | 5                         | When used alone, prolotherapy is not an effective treatment for CLBP. When combined with spinal manipulation, exercise, and other co-interventions, prolotherapy may improve CLBP and disability. Quality remark: Conclusions are confounded by clinical heterogeneity amongst studies and by the presence of co-interventions. |
| Khadilkar et al. [37] | 2008 | To determine whether TENS is more effective than placebo for the management of CLBP. | 4                         | The current evidence from a small number of placebo-controlled trials does not support the use of TENS in the routine management of CLBP. |

CLBP/NSLBP: chronic low back pain/nonspecific low back pain; LBP: low back pain; AC: acupuncture; UC: usual care; TENS: transcutaneous electrical nerve stimulation. doi:10.1371/journal.pone.0058512.t002

Ethics Statement

For this study no ethical approval was required. No protocol was published or registered. All methods were determined a priori.

Results

Study Selection

Seven systematic reviews met the eligibility criteria (Figure 1). The reviews were published between 2005 and 2010 and included 100 primary studies. A total of 84 primary studies (RCTs) were included in the analysis. The main reason for exclusion was publication in a language other than English (n = 16). Figure 1 shows a flow diagram of the study selection process.

Study Characteristics

Table 2 summarizes the objectives, the number of included RCTs, and the conclusions of each systematic review. Most RCTs aimed to investigate treatments only for chronic low back pain; few studies included patients with subacute and acute low back pain. The number of RCTs included in each systematic review ranged from four [13] to thirty-two [14] trials. The RCTs were published between 1971 and 2009. More than half of the studies assessed the effects of acupuncture (n = 18, 21.4%) or cognitive behavioural therapy (n = 27, 32.1%). Most patients in the control groups received placebo (n = 26, 30%), sham procedures (n = 12, 14%), or usual care (n = 12, 14%), or the patients were placed on a waiting list (n = 13, 15%). In most studies, the follow-up time was about 6 months (median 6 months, range 1 hour to 5 years). Details are shown in Table 3.

Reporting of Important Prognostic Factors in Primary Studies

The information reported for the domains and subdomains is summarized in Table 4. The data reported most often were data about socio-demographic status and the history of the current LBP. Information about the patient’s general health status, social support, and work-related information was rarely reported. Although statistically significant (p-value = 0.01), the mean number of subdomains with reported information increased after 1998 by fewer than two subdomains (from a mean of 5.4 subdomains to 7.0 subdomains). In studies published after 2001, the median number of subdomains with reported information increased to 8 (of a possible total of 16 subdomains), reflecting a trend towards improved reporting of prognostic important information in recent years (Figure 2).

In 17 of the 84 studies (20%), information was reported for all six of the main domains (high SQR). Information was reported for five of the six main domains (moderate SQR) in 30 studies (36%) and for four or fewer domains (low SQR) in 37 studies (44%). The 27 studies investigating cognitive behavioural or educational therapy (termed CBT) provided information for more domains on average (high or moderate SQR for 62%) than studies of other...
Table 3. Characteristics of primary studies included in the systematic reviews.

| Systematic review | Year | Patient population (number of studies) | Recruitment and setting (number of studies) | Duration of LBP (years): mean* (range) | Follow-up (months): mean* (range) | Subjects (n): mean* (range) | Age (years): mean* (range) | Outcomes | Data-pooling |
|-------------------|------|----------------------------------------|---------------------------------------------|---------------------------------------|-------------------------------|-----------------|-------------------|----------|-------------|
| Furlan et al. 2005 | Chronic SLBP + NSLBP (1), spinal pain syndrome (1), disabling LBP (1), NSLBP (17) | Specialized Unit (13), GP based (2), GP referral (1), Advertisement (3) | 8.95 (0.5–12), 12 n.r. | 4.15 (13 days–12 months) | 74.5 (17–262) | 49.67 (38–73) | VAS (9), McGill (2), ODI (2), others (7) | Yes |
| Urquhart et al. 2008 | NSLBP (6), SPLBP + NSLBP (2) | Specialized Unit (3), Advertisement + Specialized Unit (5), GP based (1) | 13.94 (3 months–20.3 years) | 2.19 (6 weeks–8 months) | 65.56 (16–121) | 43.9 (26–53) | McGill (1), VAS (2), RMQ (1), others (7) | Yes |
| Henschke et al. 2010 | SP: non-working disabling (1), chronic NSLBP (17), SLBP + NSLBP (1), Quebec taskforce (1), Topographic criteria of Kuorinka et al. (2), hospitalized (2); LBP: employees on sick leave (1), mildly dysfunctional (3), high paraspinal EMG level (1), FAB (1) | Advertisement (4), GP referral (7), GP referral + Advertisement (5), PT (1), Insurance (2), Specialized Unit (11) | 7.46 (1.5–12) | 12.49 (3 weeks–5 years) | 112.19 (20–409) | 43.39 (38–50) | NRS (3), McGill (5), RMQ (4), VAS (2), SF-36 (1), others (12) | Yes |
| Staal et al. 2008 | Chronic SLBP + NSLBP (3), Radiologic severity of Osteoarthritis (Kellgren) (1), NSLBP (6) | Specialized Unit (7), GP setting + Specialized Unit (1), 2 no information (2) | 3.29 (1–8.5) | 2.32 (after treatment–6 months) | 84.5 (16–206) | 47 (40–65) | VAS (4), McGill (1), RMQ (1), others (4) | No |
| Deshpande et al. 2007 | Chronic NSLBP (4) | 3 GP setting + Specialized Unit, 1 Specialized Unit | Only in one reported (66) | 3.73 (6 weeks–6 months) | 239 (48–336) | 50.3 (42–57) | VAS (2), McGill (2), others (2) | Yes |
| Dagenais et al. 2007 | Chronic NSLBP (3), SPLBP + NSLBP (1), SLBP (1) | GP referral (2), Specialized Unit (1), no information (2) | 9.55 (0 days–14 years) | 9 (624) | 171.4 (74–513) | 44.4 (39–50) | VAS (4), McGill (1), RMQ (3), NRS (1) | No |
| Khadilkar et al. 2008 | CLBP: nonspecific (3), acute + chronic LBP (1) | GP-based (1), Advertisement (1), Specialized Unit (1) | 3.84 (1.4–6) | 1.13 (after treatment–3 months) | 133.5 (30–324) | 42.88 (31–51) | VAS (3), McGill (1), ODI (1), others (2) | No |

LBP: low back pain; SPLBP: specific low back pain; NSLBP: non-specific low back pain; SP: spine pain; EMG: electromyography; GP: general practitioner; includes all primary care-based physician care; Specialized unit: specialist, specialized unit at a hospital; VAS: visual analogue scale; RMQ: Roland Morris Disability Questionnaire; SF-36: Multi-purpose short-form health survey; McGill: McGill Pain Questionnaire; ODI: Oswestry Disability Index; FAB: fear avoidance beliefs.

*Values are calculated as the mean of the mean values reported in the primary trials; the range of the mean values are shown.
doi:10.1371/journal.pone.0058512.t003
interventions. There was poor reporting in the main domains in studies investigating acupuncture, injection therapy, antidepressants, and opioids (SQR poor in 72–100% of the RCTs) (Table 5).

Table 4. Quantity of information in the prognostic subdomains in the 84 RCTs.

| Domain                        | Subdomain                        | Total | %  |
|-------------------------------|----------------------------------|-------|-----|
| General patient characteristics | Sociodemographic information     | 80    | 95  |
|                               | Social support                    | 8     | 10  |
| Baseline health status        | Overall health                    | 22    | 26  |
|                               | Overall psychological health      | 47    | 56  |
|                               | Previous LBP                      | 33    | 39  |
| Work-related factors          | Work: psychosocial demands        | 1     | 1   |
|                               | Work: physical demands            | 6     | 7   |
|                               | Work history                      | 39    | 46  |
|                               | Work place attributes             | 3     | 4   |
| Current LBP                   | Clinical history                  | 67    | 80  |
|                               | Disability related to the complaint| 48    | 57  |
|                               | Changes related to complaint over time | 38   | 46  |
| Clinical examination findings | Physical examination findings     | 25    | 30  |
|                               | Definition of NSLBP diagnosis     | 56    | 67  |
|                               | Change found during the physical exam | 21   | 25  |
| Interactions with work/society | Compensation issues related to LBP | 36    | 43  |

Table 5. Summary of the Score for Quantity of reporting (SQR) types for the RCTs.

| Type               | SQR high | SQR moderate | SQR low |
|--------------------|----------|--------------|---------|
| All studies (n=84, 100%) | 17 (20%) | 30 (36%)     | 37 (44%)|
| Acupuncture (n=18, 21%)   | 0 (0%)   | 5 (28%)      | 13 (72%)|
| Antidepressants (n=9, 11%)| 1 (5.5%) | 1 (5.5%)     | 8 (89%) |
| Opioids (n=4, 5%)         | 0 (0%)   | 0 (0%)       | 4 (100%)|
| CBT (n=27, 32%)           | 11 (41%) | 11 (41%)     | 5 (18%) |
| TENS: (n=5, 6%)           | 1 (20%)  | 1 (20%)      | 3 (60%) |
| EMG (n=4, 5%)             | 0 (0%)   | 2 (50%)      | 2 (50%) |
| Reflexology (n=1, 1%)     | 0 (0%)   | 1 (100%)     | 0 (0%)  |
| Injection Therapy (n=11, 13%) | 2 (18%) | 0 (0%)      | 9 (82%) |
| Prolotherapy (n=5, 6%)    | 2 (40%)  | 0 (0%)       | 3 (60%) |

LBP: low back pain; NSLBP: non-specific low back pain.
Comparison of the Classification Systems for Reporting Prognostic Factors Using SQR and the Cochrane Collaboration Guidelines for Baseline Characteristics (CCG-baseline)

In the systematic reviews, the reporting of baseline characteristics was classified as “Can’t tell” in 17 of the 84 studies (20%). The CCG-baseline rating was “Similar” for 59 studies and “Not similar” for 8 studies, indicating that sufficient information for classification was available in most of the studies. The baseline characteristics were classified by the reviewers either as “Similar” or “Not similar” in almost two thirds of the studies with low SQRs (34 studies, 40%) (Table 6). There was thus moderate agreement between the two rating systems, i.e. SQR and CCG-baseline.

Of the 44 studies pooled for meta-analysis, the SQR was low in 22 studies (50%), and 8 (18%) of the studies were classified as “Can’t tell” according to the CCG-baseline system (Table 7). Five (11%) of the 44 pooled studies were classified as low SQR and “Can’t tell” according to the CCG-baseline system.

Discussion

Main Findings

In a selection of RCTs that examined various treatments for LBP, there was sparse reporting of relevant baseline characteristics and of prognostic information in particular. This information is needed by clinicians who wish to extrapolate the results to individual patients and by those who conduct meta-analyses who must decide whether it makes sense to pool the results of different studies. The reporting of important prognostic variables could have been more complete in almost half of the assessed trial reports. Even information that could be obtained without great effort and expense, e.g., information on general health status, social support, and work-related conditions, was rarely reported. Half of the studies included in one of the meta-analyses failed to provide enough information for the reader to make an informed decision about whether patients in the primary trials were comparable.

Comparison with Other Studies

To our knowledge, this is the first study to focus on the reporting of baseline characteristics in RCTs of patients with chronic LBP and to focus on how this issue is addressed in systematic reviews. Baseline patient characteristics are mainly prognostic factors. A comprehensive description of the distribution of these prognostic factors is relevant for determining the applicability of the study results to various patient populations. Comparable analyses have been performed for systematic reviews of prognostic cohort studies [2,15] and non-randomized intervention studies [16]. The authors of those studies identified incomplete reporting of prognostic factors and recommended a more detailed description of the included patients. Regardless of study design, an incomplete description of patient characteristics increases the risk for bias in interpreting the results of single studies and systematic reviews.

A detailed description of the study population helps researchers decide whether it makes sense to pool results from different studies and helps them perform subgroup analyses. The guidelines for conducting systematic reviews mention, without providing detailed instructions, evaluating the comparability of patient populations between primary studies [10,17,18,19]. We found no studies that evaluated the consequences of incomplete reporting of baseline characteristics on the ability of physicians and researchers to interpret RCTs, systematic reviews and meta-analyses.

Clinical Implications

Many current guideline recommendations are based on the results of systematic reviews and meta-analyses, which are ranked highest in the hierarchy of evidence [20]. A thorough and careful synthesis of primary studies is crucial to warrant this ranking. Critique has been raised on the appraisal of systematic reviews and consequently on the justification of recommendations in the guidelines. There is a controversy for example about the differences in the rating of the methodological quality of systematic reviews [21]. Another issue of concern physicians repeatedly bring up in educational meetings is the inclusion of RCTs in systematic reviews with conflicting or even contradictory results. Physicians question the comparability of patient populations included in systematic reviews and meta-analyses. Conflicting results in RCTs

Table 6. Comparison of the Score for Quantity of Reporting (SQR) categories (high/moderate/low) for the 84 RCTs and the Cochrane Collaboration Guideline-baseline characteristics (CCG-baseline) categories (Similar/Not similar/Can’t tell).

| All RCTs (n = 84, 100%) | CCG-baseline: Similar or Not similar (n = 67, 80%) | CCG-baseline: “Can’t tell” (n = 17, 20%) |
|------------------------|-------------------------------------------------|-----------------------------------------|
| SQR high (n = 17, 20%) | 17 (20%)                                         | 0 (0%)                                  |
| SQR moderate (n = 20, 24%) | 16 (19%)                                         | 4 (5%)                                  |
| SQR low (n = 47, 56%)  | 34 (40%)                                         | 13 (15%)                                |

doi:10.1371/journal.pone.0058512.t006

Table 7. Comparison of the Score for Quantity of Reporting (SQR) categories (high/moderate/low) for the 44 RCTs included in meta-analyses and the Cochrane Collaboration Guideline-baseline characteristics (CCG-baseline) categories (Similar/Not similar/Can’t tell).

| All RCTs (n = 44, 100%) | CCG-baseline: Similar or Not similar (n = 36, 81%) | CCG-baseline: “Can’t tell” (n = 8, 19%) |
|------------------------|-------------------------------------------------|-----------------------------------------|
| SQR high (n = 11, 25%) | 9 (20%)                                          | 2 (5%)                                  |
| SQR moderate (n = 11, 25%) | 10 (23%)                                         | 1 (2%)                                  |
| SQR low (n = 22, 50%)  | 17 (39%)                                         | 5 (11%)                                 |

doi:10.1371/journal.pone.0058512.t007
could reflect a heterogeneous patient population with a range of prognostic profiles [22]. Other explanations for heterogeneity in the results between primary studies on LBP might be, e.g., varying drug dosages or different numbers of training units in exercise therapy, differences in the measurement of the outcome, and outcome measurement at different time points.

While the problem of heterogeneity has been recognized, there is not much research on this issue in conservative treatment for low back pain [23]. However, in clinical practice it is important to know to which degree patient characteristics at baseline affects treatment efficacy. From a clinician’s perspective it seems reasonable to assume that certain treatments (e.g., cognitive behavioral therapy) are more effective in patients presenting with yellow flags (e.g., fear avoidance beliefs, distress) or depression. It is therefore relevant to know about specific treatment effects in subgroups of patients. Clinicians expect from researchers that this heterogeneity in treatment effects is scrutinized and relevant prognostic patient characteristics are considered in the synthesis of RCTs in order to offer an evidence-based and goal oriented health care to the patients [24].

Various concerns on the value of systematic reviews and meta-analyses have been raised in the past years that are beyond the scope of this analysis [20,25,26,27,28]. Systematic reviews offer the possibility to exploit the heterogeneity of prognostic profiles and to conduct subgroup analyses. Thus, reporting the relevant baseline characteristics in primary studies is critical for the quality of the systematic review. Further, collaboration between clinicians and methodologists allows for a meaningful pooling of data in meta-analyses and to examine treatment effects in different groups of patients with LBP. A striking example that underlines the importance of clinical knowledge and subgroup analyses is a recent systematic review investigating vitamin D supplementation for the protection of hip fracture [29]. While the overall effect in this systematic review including all patients, irrespective of their vitamin D blood level at baseline, was not different to placebo, vitamin D protected against hip fractures in individuals with low vitamin D levels at the time of inclusion in the trials.

**Limitations of the Study**

While we applied robust methodology and a systematic approach to assessing the reporting of prognostic information in RCTs, the current study has some limitations. In using a score based on domains, all prognostic information was given equal weight. We are aware that this may be an over-simplification. The cut-off for ‘low SQR’ used in the current study was chosen arbitrarily, and more studies would have fulfilled the quality criteria if the cut-off was lower. Because we accepted that any reported information was sufficient to fulfil a domain, we think the cut-off we used was reasonable. Accordingly, non-reporting of information in two or more main domains represents a risk for misinterpretation of results not only in primary studies but also in systematic reviews. We support current efforts to standardize measurements of prognostic factors and reporting in back pain research that will make it easier to compare studies in the future [3,30].

Another limitation of our study is the focus was only on RCTs that were included in systematic reviews published in the Cochrane library. Inclusion of RCTs in systematic reviews published in other databases might give different results. We chose the Cochrane systematic reviews as they are widely recognized as setting the standard for the evaluation of healthcare interventions [31]. Furthermore, the standardized risk of bias assessment in all systematic reviews ensures similar assessment in the different reviews. While the Cochrane reviews are relatively recent, the most recent trial included in our analysis was published in 2009, and more important prognostic information might be reported in more recent studies. Our analysis of changes in reporting over time showed a small but statistically significant increase in reporting in the ten years after publication of the CONSORT statements.

**Conclusion**

Missing prognostic information potentially threatens the external validity (i.e. generalizability or applicability) not only of primary studies but also of systematic reviews that evaluate treatments for LBP. A detailed description of baseline characteristics, including important prognostic information, will help clinicians and researchers make informed decisions about whether the results of a study or a systematic review apply to their patients.

**Author Contributions**

Extracted the information and assigned them into predefined categories: MW MS. Conceived and designed the experiments: MW MS FB JS. Performed the experiments: MW MS. Analyzed the data: MW MS FB JS. Contributed reagents/materials/analysis tools: MW MS FB JS. Wrote the paper: MW MS FB JS.

**References**

1. Schulz KF, Altman DG, Moher D, Group C (2010) CONSORT 2010 statement: updated guidelines for reporting parallel group randomized trials. Ann Intern Med 152: 762–732.
2. Hayden JA, Chou R, Hogg Johnson S, Bombardier C (2009) Systematic reviews of low back pain prognosis: variables and results. Spine (Phila Pa 1976) 34: 1929–1941.
3. Pincus T, Santos R, Breen A, Burton AK, Underwood M, et al. (2008) A review and proposal for a core set of factors for prospective cohorts in low back pain: a consensus statement. Arthritis Rheum 59: 14–24.
4. Nour K, Laforest S, Gauvin L, Gignac M (2006) Behavior change following a self-management intervention for housebound older adults with arthritis: an experimental study. International Journal of Behavioral Nutrition and Physical Activity 3: 12.
5. Laupacis A, Wells G, Richardson W (1994) Users’ Guides to the Medical Literature: V. how to use an article about prognosis. JAMA: The Journal of the American Medical Association 272: 234–237.
6. Balaghi F, Mannion A, Pelli F, Gedraschi C (2012) Non-specific low back pain. Lancet 379: 482–491.
7. Hayden JA, Dunn KM, van der Windt DA, Shaw WS (2010) What is the prognosis of low back pain? Best Practice & Research Clinical Rheumatology 24: 167–179.
8. van Tulder MW, Assendelft WJJ, Koes BW, Bouter LM (1997) Method for systematic reviews in the Cochrane Collaboration Back Review Group for Spinal Disorders. Spine (Philadelphia, Pa 1976) 22: 2323–2330.
9. van Tulder M, Furlan A, Bombardier C, Bouter L, Editorial Board of the Cochrane Collaboration Back Review Group (2003) Updated method guidelines for systematic reviews in the cochrane collaboration back review group. Spine (Phila Pa 1976) 28: 1290–1299.
10. Furlan AD, Pennick V, Bombardier C, van Tulder M, Editorial Board CBGRG (2009) Updated method guidelines for systematic reviews in the Cochrane Back Review Group. Spine (Phila Pa 1976) 34: 1929–1941.
11. Liberati A, Altman DG, Tetzlaff J, Mulrow C, Gøtzsche PC, et al. (2009) The PRISMA statement for reporting systematic reviews and meta-analyses of studies that evaluate healthcare interventions: explanation and elaboration. BMJ 339.
12. Manchikanti L, Singh V, Cash KA, Pampati V, Datta S (2009) A comparative effectiveness evaluation of percutaneous adhesiolysis and epidural steroid injections in managing lumbar post surgery syndrome: a randomized, equivalence controlled trial. Pain Physician 12: E355–368.
13. Trigkilidis D (2010) Acupuncture therapy for chronic lower back pain: a systematic review. Ann R Coll Surg Engl 92: 595–598.
14. Henschke N, Ooiolo RW, van Tulder MW, Vlaeyen JW, Morley S, et al. (2010) Behavioural treatment for chronic low-back pain. Cochrane Database Syst Rev: CD002014.
15. Hayden JA, Clér C, Bombardier C (2006) Evaluation of the Quality of Prognosis Studies in Systematic Reviews. Annals of Internal Medicine 144: 427–437.
16. Derks JJ, Dinnes J, D’Amico R, Sveden AJ, Sakarovitch C, et al. (2003) Evaluating non-randomised intervention studies. Health Technol Assess 7: iii–x, 1–173.
17. Research CoSsRCoCE, Medicine Io (2011) Finding What Works in Health Care: Standards for Systematic Reviews. Eden J, Levin I, Berg A, Morton S, editors: The National Academies Press.

18. Higgins JPT, Green S (2011) Cochrane Handbook for Systematic Reviews of Interventions Version 5.1.0 The Cochrane Collaboration.

19. Dissemination CRa (2009) CRD’s guidance for undertaking reviews in health care. Third Edition ed: CRD, University of York.

20. Egger M, Elbahnim S, Smith GD (2002) Where now for meta-analysis? Int J Epidemiol 31: 1–5.

21. Chou R, Atlas SJ, Loeser JD, Rosenquist RW, Stanos SP (2011) Guideline Warfare Over Interventional Therapies for Low Back Pain: Can We Raise the Level of Discourse? The Journal of Pain 12: 833–839.

22. Jane wit D, Horwitz R, Concato J (2010) Variation in results from randomized, controlled trials: stochastic or systematic? J Clin Epidemiol 63: 56–63.

23. Fourney D, Anderson G, Arnold P, Dettori J, Cahana A, et al. (2011) Chronic low back pain: a heterogeneous condition with challenges for an evidence-based approach. Spine (Philadelphia, Pa 1976) 36: S1–S9.

24. Ostelo R, Croft P, van der Weijden T, van Tulder M (2010) Challenges in using evidence to inform your clinical practice in low back pain. Best Practice & Research Clinical Rheumatology 24: 281–289.

25. Freeman MD (2010) Clinical practice guidelines versus systematic reviews; which serve as the best basis for evidence-based spine medicine? The Spine Journal 10: 512–513.

26. Willis B, Quigley M (2011) The assessment of the quality of reporting of meta-analyses in diagnostic research: a systematic review. BMC Medical Research Methodology 11: 163.

27. Pambrun E, Bouteiloup V, Thibaut R, Asselineau J, de-Linghevin V, et al. (2010) On the validity of meta-analyses: exhaustivity must be warranted, exclusion of duplicate patients too. J Clin Epidemiol 63: 342–343.

28. Kirkham JJ, Dscan KM, Altman DG, Gamble G, Dodd S, et al. (2010) The impact of outcome reporting bias in randomised controlled trials on a cohort of systematic reviews. BMJ 340.

29. Bischoff-Ferrari HA, Willett WC, Orav EJ, Lips P, Meunier PJ, et al. (2012) A pooled analysis of vitamin D dose requirements for fracture prevention. N Engl J Med 367: 40–49.

30. Dione C, Dunn K, Croft P, Nachemson A, Buchbinder R, et al. (2008) A consensus approach toward the standardization of back pain definitions for use in prevalence studies. Spine (Philadelphia, Pa 1976) 33: 95–103.

31. Tricco A, Terzallaj JP, Pham R, Bhera J, Moher D (2009) Non-Cochrane vs. Cochrane reviews were twice as likely to have positive conclusion statements: cross-sectional study. J Clin Epidemiol 62: 380–386 e381.

32. Furlan AD, van Tulder MW, Cherkin DC, Tsukayama H, Lao L, et al. (2005) Acupuncture and dry-needling for low back pain. Cochrane Database Syst Rev CD001351.

33. Urquhart DM, Hawing JL, Asendelft VW, Roland M, van Tulder MW (2008) Antidepressants for non-specific low back pain. Cochrane Database Syst Rev CD001703.

34. Staal JB, de Bie R, de Vet HC, Hildebrandt J, Nelemans P (2008) Injection therapy for subacute and chronic low back pain. Cochrane Database Syst Rev CD001024.

35. Deshpande A, Furlan A, Mailis-Gagnon A, Atlas S, Turk D (2007) Opioids for chronic low-back pain. Cochrane Database Syst Rev CD000459.

36. Dagenais S, Yelland MJ, Del Mar C, Schoene ML (2007) Prolotherapy injections for chronic low-back pain. Cochrane Database Syst Rev CD0004059.

37. Khadilkar A, Odebiyi DO, Rouveaux I, Wells GA (2008) Transcutaneous electrical nerve stimulation (TENS) versus placebo for chronic low-back pain. Cochrane Database Syst Rev CD0003008.