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Setting tidal forcing for regional modelling of internal waves

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A R T I C L E   I N F O

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A B S T R A C T

Two methods for setting tides in regional numerical models focussed on replication of internal waves are discussed in this paper. A traditional procedure prescribes the tidal forcing at the boundaries of the model domain. As it follows from our analysis, this method works well in some cases but does not allow to control identical generation conditions in all points of the model grid. Tidal ellipses are spatially dependent on the relative distance to the model boundaries. An alternative method for activation of tidal motions in general circulation models is to set a tidal body force on the right-hand side of the momentum balance equations. The procedure of how to do this correctly is in the focus of the present study. It is based on the analytical solution of the shallow water equations and includes compensating terms providing blockage of the generation of spurious inertial oscillations. The method was tested in a wide range of input parameters and showed a good match of the model-predicted tidal currents in all points of the model domain. The method was verified against an analytical solution by comparing model-predicted and theoretical internal wave amplitudes in case of realistic pycnocline-type oceanic stratification.

1. Introduction

The generation of internal waves by tides that streamline bottom topography in the stratified ocean is of great interest to the scientific community. According to Munk and Wunsch (1998), the ocean without tides would turn into a stagnant pool of salty water. The numerous measurements of internal waves in the ocean demand proper three-dimensional modelling that can be used as a helping tool complementing sparse observations. The question about setting tides in the models is very important for the correct interpretation of in-situ collected data.

At present there two approaches for setting tides in the models: (i) from the boundaries and (ii) as a forcing in momentum balance equations. In the first approach, three types of boundary conditions are applied, i.e. fixed elevation, fixed velocity, or combination of both with an account of radiation conditions, (A., 1976). Their performance was investigated by Carter and Merrifield (2007) using the Princeton Ocean Model (POM). To avoid reflection of generating internal waves from the model boundaries, they used a group of areas with high viscosity, often referred as sponge layers. Munroe and Lamb (2005), Ma and Mandes (2011) and Rainville et al. (2010) also initiated tidal forcing from boundaries in modelling with POM; similar method was applied by Klymak et al. (2016) in experiments with the Massachusetts Institute of Technology general circulation model (MITgcm, Marshall et al., 1997).

The second method in setting tides is to include a body force into the momentum balance equations. S. (2003) using the MITgcm as a tool for modelling of internal tides added tidal body force to the zonal momentum balance equation. The model output was validated against an analytical solution obtained in a two-dimensional case for monotonously stratified fluid. The comparison was focused on the estimation of integral wave characteristics such as total energy fluxes and tidal energy conversion rate without analysis of the parameters of every particular baroclinic mode. Later, Lorenzo et al. (2006) considering similar problem of internal waves generated by a zonal tidal flow, included also a Coriolis parameter dependent body force term in the second momentum balance equations which allowed to suppress generation of spurious inertial oscillations. The analytical expression of the rate at which energy is converted from barotropic tide to internal waves was provided by Pétrélis and Llewellyn Smith (2006).

In this paper, we outline a new method for setting the tidal forcing in regional numerical models. It is based on introducing two periodic terms in each of the momentum balance equations to control the parameters of tidal oscillations and suppress the generation of spurious inertial oscillations in all possible oceanic conditions. The method is tested by comparing the amplitudes of tidally generated internal waves with the analytical solution.

The paper is organized as follows. A general form of tidal ellipses is presented in Section 2. Section 3 focuses on two methods of setting the tidal forcing in a general three-dimensional case. The water depth is assumed variable, although no baroclinic effect is considered at this stage (barotropic tidal forcing). The comparative analysis of both...
settings, i.e. from boundaries or using the tidal body force, is the key element here. In Section 4, we present an analytical solution for the internal modes generated by tides in the continuously stratified ocean. This section includes also the validation of the body force method by considering different oceanic scenarios. Some wider applications of the proposed methodology are discussed in Section 5.

2. Tidal ellipses

Theory predicts elliptical trajectories of water particles produced by tides in the rotating ocean. The ellipse parameters, i.e. the length of major and minor axis, and the inclination angle are the functions of the tidal flow velocity components. To find this relationship, consider the tidal flow in the Cartesian coordinate system (0x,y) with x-axis and y-axis directed to the East and to the North, respectively. For each of tidal harmonics the eastward u and northward v tidal velocities are the periodical functions:

\[ u = u_0 \sin(\alpha t - \phi_u), \quad v = v_0 \sin(\alpha t - \phi_v). \]  

(1)

Here \( u_0 \) and \( v_0 \) are amplitudes, \( \alpha \) is the tidal frequency, \( t \) is the time, \( \phi_u \) and \( \phi_v \) are u and v the tidal phases. Superposition of these two velocity components in space and time produce tidal ellipses as that shown in Fig. 1. The major axis A and minor axis B are expressed in terms of the tidal parameters as follows (Stashchuk et al., 2017):

\[ A = \sqrt{u_0^2 + v_0^2 + 2u_0v_0 \sin \phi + \sqrt{u_0^2 + v_0^2 - 2u_0v_0 \sin \phi}}, \]
\[ B = \sqrt{u_0^2 + v_0^2 + 2u_0v_0 \sin \phi - \sqrt{u_0^2 + v_0^2 - 2u_0v_0 \sin \phi}}. \]  

(2)

The inclination angle \( \gamma \) (with respect to the eastward direction) is

\[ \gamma = \frac{1}{2} \arctan \left[ \frac{2u_0v_0 \cos \phi}{u_0^2 - v_0^2} \right] + \pi \alpha, \quad \begin{cases} n = 0 & \text{if } \cos \phi > 0, \\ n = 1 & \text{if } \cos \phi < 0. \end{cases} \]  

(3)

Here the phase lag \( \phi = \phi_u - \phi_v \). Taking into account that the tidal ellipse parameters (2)–(3) depend only on the phase lag \( \phi \), formula (1) can be simplified as follows:

\[ u = u_0 \sin(\alpha t - \phi), \quad v = v_0 \sin(\alpha t). \]  

(4)

The tidal currents in numerical models can be introduced using (4) by setting the amplitudes \( u_0, v_0 \) of the eastward (zonal) and northward (meridional) tidal currents and the phase lag \( \phi \) between them that control the axes of the tidal ellipse A, B and its inclination angle \( \gamma \).

Having the relationship between tidal currents (4) and the parameters of tidal ellipses (2)–(3), the next question is how to introduce tidal currents into the numerical models technically. There are two commonly used methods of barotropic tides initiation. One of them assumes setting the periodic flow conditions (4) at the outer boundaries of the model domain. The adjustable parameters \( u_0, v_0 \), and \( \phi \) can be tuned in such a way to achieve the best fit of the model-produced tidal flow with observations in all points inside the model domain. However, this is not always an easy task having in mind various interference conditions of two tidal components in different parts of the considered area and possible reflection from the boundaries.

An alternative method of tidal motion activation is to set periodical forcing terms directly in the momentum balance equations. Theoretically, this method should provide similar generation conditions in all grid points. The great advantage of such setting is it does not produce spurious boundary artefacts. Note, although, that the application of this method can be limited in shallow water areas with complex topography and islands.

Below we consider both methods in detail, focusing on their performance, accuracy in the reproduction of tidal flow and possible limitations. Methodology wise, the comparative analysis can be made using any oceanic general circulation model. Our choice is the Massachusetts Institute of Technology general circulation model, MITgcm, (Marshall et al., 1997) which has a number of external users’ packages and allows free operation with both model settings.

3. Setting tides in the model

In this section, we compare two methods of setting tides into the numerical model without considering any baroclinic effects, specifically, excluding the generation of internal waves from the analysis. In doing so, we assume the fluid is homogeneous in this series of experiments.

Consider a rotating basin in the Cartesian coordinate system (0xy) with the x-axis and y-axis directed to the East and to the North, respectively, and the z-axis is vertically upward (z = 0 is the undisturbed free surface). The localized bottom topography \( H(x, y) \) in these experiments has a form of a Gaussian-type underwater bank:

\[ H(x, y) = H_0 - H_{\text{max}} \cdot \exp[-((x/L)^2 + (y/L)^2)]. \]  

(5)

where \( H_0 = 200 \text{ m} \) is the reference water depth, \( H_{\text{max}} = 70 \text{ m} \) is the bank’s height, and \( L = 20 \text{ km} \) is the topography horizontal scale. Methodology wise, these numerical experiments are aimed to study the establishment of tidal flows around and over the bank during ten tidal periods and to quantify the difference between two methods of tidal forcing.

The central part of the model grid was 1414 x 1414 nodes in x and y directions with 100 m grid step. In vertical direction the grid has 41 layers with 5 m resolution. In case of the stratified ocean when the generation of internal waves is considered, additional 93 grid points with a telescopic increase of the horizontal resolution from 100 m in the central part to 3.5 km at the periphery were added to the lateral boundaries. Such a grid extension excludes backward reflection of internal waves during ten tidal cycles, the time required internal waves to reach the boundaries.

The M₂ tidal harmonic is considered in this study for setting the frequency \( \omega_0 \), although the method is applicable to any other tidal constituent. The velocities \( u_0, v_0 \) in (4) are assumed to be spatially
variable (the functions of $x$ and $y$), and no restriction on the latitude is applied. The only condition is the requirement $f < \omega$, where $f$ is the Coriolis parameter, $f = 2\Omega \sin \theta$, $\Omega$ is the angular rotation rate of the Earth, and $\theta$ is the latitude.

An analytical solution for topographic generation of internal waves in the continuously stratified ocean is used in this study for the method validation. This solution is linear, therefore in the numerical experiments we keep all the parameters in the range of linearity assumption. A quantitative measure of the contribution of the non-linear effects into the process of tide-topography interaction reads:

$$
\epsilon = \frac{\text{tidal excursion amplitude}}{\text{topographic height}} \times \frac{\text{topographic length scale}}{\text{fluid depth}}.
$$

It was shown by Gerkena and Zimmerman (1995) that the problem of tide-topography interaction in a stratified fluid can be regarded as linear when this parameter is small, i.e. $\epsilon \ll 1$. In our further numerical analysis, we will keep all the parameters to be in this range.

### 3.1. Tidal forcing from boundaries

The simplest way to initiate tides in the models is to prescribe periodical tidal motions on boundaries. In the present series of experiments, we set the tidal velocities (4) at the southern and western boundaries, Fig. 2 (the MITgcm solves hydrodynamics equations using the finite volume technique and requires to specify prescribed horizontal velocities at the boundaries without setting sea surface displacements). Note, however, in case two other boundaries are kept closed, the tidal energy unable to escape from the model domain which results in the generation of standing waves. To cope with the wave reflection from the model boundaries, we use Oranski boundary conditions (1, 1976) at the northern and eastern boundaries. They are based on the equation of a free propagation of any quantity $\theta$ through the boundary:

$$
\frac{\partial \theta}{\partial t} + C \frac{\partial \theta}{\partial n} = 0,
$$

where $n$ is the outward normal, and $C$ is the wave phase speed. In case of the shallow water systems

$$
C = \sqrt{\frac{gH}{1 - (f/\omega)^2}}.
$$

A series of numerical experiments has been conducted with different forcing parameters $u_0$, $v_0$, and $\phi$. We start our analysis with the simplest case when the phase lag between eastward and northward velocities is equal to zero. With $\phi=0$ the tidal ellipse turns into a straight line presented by only major axis $A$ (see formula (3)). The orientation of the line is controlled by the ratio of the velocity amplitudes $u_0$ and $v_0$. For instance, by setting $u_0 = v_0 = 0.005 \text{ m s}^{-1}$ the expected direction of particle propagation should be $45^\circ$ with respect to the $x$-axis.

The experiment was designed to examine the influence of the Earth rotation (latitude) on the resulting tidal ellipses produced by the model. They are presented in Fig. 3 for three latitudes, $\theta = 0^\circ$, $30^\circ$, and $60^\circ$ (hereafter the blue colour is used for original ellipses defined by formula (4), and the red colour illustrates the model output). For better presentation all ellipses were scaled by a factor 1000. The principal conclusion that can be drawn from Fig. 3 is that with zero phase lag, $\phi = 0$, the model produces tidal ellipses with the minor axis anyway instead of generation straight lines. Their shape and orientation strongly depend on the rotation. The model-produced ellipses are closer to straight lines in case without rotation (left panel). However, with the increase of rotation, the orientation of the ellipses dramatically changes compared to the original direction.

Fig. 3 also shows that the model-produced tidal ellipses are sensitive to their spatial position. They are different in different parts of the model domain. For instance, the ellipses are seen as straight lines in the central part of the domain along the diagonal at $\theta = 0^\circ$ (left panel). However, in the top left and bottom right corners, the presence of minor axes is quite apparent. The difference between ellipses in the whole area increases with the increase of latitude (see central panel for $\theta = 30^\circ$ and right panel for $\theta = 60^\circ$). Most of the distortion takes place just over the seamount summit where model tidal ellipses have a well developed minor axis.

Consider now the model results obtained for latitude $\theta = 30^\circ$ when the tidal lag $\phi \neq 0$ ($B \neq 0$, formula (3)). Fig. 4 presents original (blue) and the model obtained (red) tidal ellipses for four values of the phase lag, i.e. $\phi = 0, \pi/6, \pi/3, \pi/2$. The amplitudes of tidal velocities $u_0$ and $v_0$ in these experiments were taken $0.005 \text{ m s}^{-1}$. It is clear from Fig. 4 that the increase of the phase lag between the velocities at the boundaries results in a better match between red and blue ellipses. They are almost identical when $\phi = \pi/2$, although the coincidence is still not perfect.

The considered examples reveal the fact that in a rotating ocean, the model-generated tidal velocities within the model domain are different from those prescribed at the boundaries. The following model run explains the reason for this difference. In this experiment the model is forced only from the western boundary with the velocity amplitude $u_0 = 0.005 \text{ m s}^{-1}$ leaving the southern boundary unforced, i.e. $v_0 = 0$. Quite a moderate rotation was set in this experiment (latitude $\theta = 30^\circ$).

Fig. 5 shows time series of eastward (in blue colour) and northward (in red colour) velocities recorded at points 1, 2, 3 and 4 depicted in Fig. 2. As one can see from Fig. 5, being forced from just one western boundary, the model produces also north-south oscillations (and thus $v$ velocity) due to the rotation. Important is that these newly produced northward velocities are out of phase to the eastward ones at all considered points (phase shift is close to $\pi$). In addition, the model-produced $v$-velocity is also different from those prescribed at the western boundary. Its amplitude within the model domain exceed the initial value 0.005 m s$^{-1}$ in all four points.

Thus the tidal forcing from only western boundary generates also northward velocities $v$ which, in turn, have a positive feedback to the $u$-velocity. The generation of extra $v$-velocities by the model explains the decrease of ellipses inclination with the increase of the rotation, Fig. 3. By setting the tidal forcing at the model boundaries, one must be prepared to the generation of some extra spurious currents with spatially variable phase lag that can lead to the spatial variability of the tidal signal within the model domain.
3.2. Tidal forcing as a body force in momentum balance equations

An alternative approach for setting tides in the ocean numerical models is to introduce a tidal body force on the right-hand side (RHS) of the momentum balance equations. Below we present the idea of how the expression for body force can be found.

Consider a system of shallow water equations for homogeneous fluid. An assumption of the rigid lid at the free surface is applied. The simplest way to initiate tidal velocities in the momentum balance equations using formula (1) is as follows:

\[ u_t - f v = u_0 \omega \cos(\omega t - \phi_u), \]
\[ v_t + f u = v_0 \omega \cos(\omega t - \phi_v). \]

Without rotation, \( f = 0 \), \( u_0 \) and \( v_0 \) are the amplitudes of the \( u \) and \( v \) velocities that satisfy the shallow water equations. The Coriolis force introduces a lateral acceleration that makes these two equations coupled. To clarify the role of the rotation some conversion of (7) is required. Applying the cross-differentiation procedure system (7) can
be rewritten in the form of two equations, one for \( u \) velocity only:

\[
\begin{align*}
  u_t + f^2 u &= f_0 \omega v_0 \cos(\omega t - \phi_0) + \omega^2 u_0 \sin(\omega t - \phi_0), \\
  v_t + \omega^2 v_0 &= \omega^2 v_0 \sin(\omega t - \phi_0).
\end{align*}
\]  

(8)

and another for \( v \) velocity:

\[
\begin{align*}
  v_t + f^2 v &= -f_0 \omega u_0 \cos(\omega t - \phi_0) + \omega^2 u_0 \sin(\omega t - \phi_0), \\
  u_t + \omega^2 u_0 &= \omega^2 u_0 \sin(\omega t - \phi_0).
\end{align*}
\]  

(9)

It is seen that Eqs. (8) and (9) contain two forcing terms in their RHS. Both terms are periodic functions with the frequency \( \omega \) but have different amplitudes and the phase shifts \( \phi_0 \) and \( \phi_v \). Applying simple trigonometrical procedures to the RHS of Eqs. (8) and (9), they can be converted into the following expressions \( \omega^2 u_0 \sin(\omega t - \phi_0) \) and \( \omega^2 v_0 \sin(\omega t - \phi_v) \), respectively, where \( u_0, \phi_0, u_v, \phi_v \) and \( u_t \) are as follows:

\[
\begin{align*}
  u_0 &= \sqrt{\frac{f_0^2}{\omega^2} v_0^2 + v_0^2 - 2 \frac{f_0}{\omega} u_0 v_0 \cos(\phi_0 - \phi_v)}, \\
  \phi_0 &= \arctan \left( \frac{f_0 \omega u_0 \cos(\phi_0 - \phi_v)}{\omega^2 u_0 \cos \phi_v - f_0 v_0 \sin \phi_v} \right), \\
  v_0 &= \sqrt{\frac{f_0^2}{\omega^2} u_0^2 + u_0^2 - 2 \frac{f_0}{\omega} u_0 v_0 \sin(\phi_0 - \phi_v)}, \\
  \phi_v &= \arctan \left( \frac{-f_0 \omega u_0 \cos(\phi_0 - \phi_v)}{-\omega^2 v_0 \cos \phi_v + f_0 u_0 \sin \phi_v} \right).
\end{align*}
\]  

(10)

Then the solutions of differential Eqs. (8) and (9) are:

\[
\begin{align*}
  u(t) &= \frac{-\omega^2}{\omega^2 - f^2} u_0 \sin(\omega t - \phi_v), \\
  v(t) &= \frac{-\omega^2}{\omega^2 - f^2} v_0 \sin(\omega t - \phi_v).
\end{align*}
\]  

(11)

It is clear that velocities (11) are quite different from the expected form (1). They coincide at \( f = 0 \), but the rotation introduces substantial distortion into solution (11). As a result, by setting the tidal forcing according to (7), it is difficult to control the parameters of the resulting tidal ellipses. Moreover, the velocity value enormously increases with the increase of rotation when \( f \to \omega \).

To suppress the influence of the rotation, we propose to add additional terms to the tidal body force in the RHS of the momentum balance equations, as shown below:

\[
\begin{align*}
  u_t - f v &= \omega u_0 \cos(\omega t - \phi_0) - v_0 f \sin(\omega t - \phi_v), \\
  v_t + u_0 &= v_0 \omega \cos(\omega t - \phi_v) + u_0 f \sin(\omega t - \phi_v).
\end{align*}
\]  

(12)

With these additional terms after the routine mathematical procedure, the system (12) takes the form:

\[
\begin{align*}
  u_t + f^2 u &= \omega \omega_0 \cos(\omega t - \phi_0), \\
  v_t + f^2 v &= \omega \omega_0 \sin(\omega t - \phi_v).
\end{align*}
\]  

(13)

The solution of the system of differential Eqs. (13) is:

\[
\begin{align*}
  u(t) &= u_0 \sin(\omega t - \phi_v), \\
  v(t) &= v_0 \sin(\omega t - \phi_v).
\end{align*}
\]  

(14)

This solution is quite simple (compare with (10) and (11)) in which the resulting amplitudes \( u_0 \) and \( v_0 \) of zonal and meridional currents, and their phases \( \phi_v \) and \( \phi_v \) can be taken as input model parameters for tidal ellipses (1), from observations, for instance.

Note that solution (14) was found in the basin of constant depth. In case of variable depth, we can assume constant water discharge over varying topography which is applicable for the regional scale modelling. Then the tidal forcing \( F_u \) and \( F_v \) in the momentum balance equations for the case of variable depth \( H(x, y) \) can be generalized as follows:

\[
\begin{align*}
  F_u &= u_0(x, y) \omega \cos(\omega t - \phi_v) - v_0(x, y) f \sin(\omega t - \phi_v), \\
  F_v &= v_0(x, y) \omega \cos(\omega t - \phi_v) + u_0(x, y) f \sin(\omega t - \phi_v).
\end{align*}
\]  

(15)

Here \( u_0(x, y) = \frac{\Psi_x}{2H(x, y)} \) and \( v_0(x, y) = \frac{\Psi_y}{2H(x, y)} \); \( \Psi_x \) const and \( \Psi_y \) const are the water discharges in \( x \) and \( y \) directions. Some further simplifications can be introduced taking into account that only the phase lag \( \phi = \phi_v - \phi_v \) (it was shown above) matters for setting the ellipses, not the absolute values of the phases \( \phi_v \) and \( \phi_v \). The examples below show how it works in real model experiments with the MITgcm.
Eq. (17) reads:
\[
\Omega = \frac{\sqrt{\rho}}{\rho_0} \sqrt{\frac{\rho}{\rho_0}} \left( \frac{\rho}{\rho_0} \right) = \frac{\sqrt{\rho}}{\rho_0} \sqrt{\frac{\rho}{\rho_0}} \left( \frac{\rho}{\rho_0} \right)
\]
Here \( \rho(x, z, t) \) is the wave-induced density deviation. For the baroclinic response of the ocean to the periodic tidal forcing with frequency \( \omega_0 \), a ‘rigid-lid’ condition at the free surface is used:
\[
\Psi = \Psi_0 \sin(\omega t), \quad \Omega = 0, \quad \rho_z = 0, \quad v_z = 0.
\]
At the bottom the slip conditions are applied:
\[
\Psi = 0, \quad \Omega = 0, \quad \rho_z = 0, \quad v_z = 0.
\]
The periodic solutions of the problem with frequency \( \omega \) are considered:
\[
\{\Omega, \Psi, \rho, \phi\}(x, z, t) = \{\Omega, \Psi, \rho, \phi\}(x, z) \cdot \exp(-i\omega t),
\]
With these assumptions Eqs. (18)–(20) are reduced to:
\[
\Psi_{zz} - \alpha^2 \Psi_{xx} = 0,
\]
\[
\Psi(x, 0) = \Psi_0, \quad \Psi(x, -H(x)) = 0,
\]
\[
\text{where } a^2 = (a^2 - f^2)/(N^2 - a^2), \quad |f| < \omega < N.
\]
Introducing a new variable
\[
z_1 = \int_0^z ds \sqrt{a(s)}, \quad a > 0,
\]
problem (22)–(23) takes the form
\[
\Psi_{xx} - \alpha \Psi_{z_1z_1} + q(z)\Psi = 0,
\]
\[
\Psi(x, 0) = \Psi_0, \quad \Psi(x, h(x)) = 0,
\]
\[
\text{where } \Psi(x, z_1) = \Psi(x, z)/\sqrt{a(z)}, \quad q(z) = (a_z^2 - 2a_z a)/4, \quad h(x) = z_1[-H(x)].
\]
For the polynomial \( a(z) = c_1(z + c_2)^2 + c_3 \) \( (c_1, c_2, c_3 \text{ are arbitrary constants}) \), the buoyancy frequency \( N(z) \) is a three-parametric family of curves

\[
N(z) = \sqrt{\omega^2 + \frac{\omega^2 - f^2}{(c_1^2 + c_2^2 + c_3^2)}}. \tag{26}
\]

The first equation in (25) reduces to the linear Klein-Gordon equation

\[
\psi_{xx} - \psi_{zz} - c_1 \psi = 0,
\]

which has an analytical solution expressed in terms of the Bessel function \( J_0 \). The coefficients \( c_1, c_2, \text{ and } c_3 \) can be expressed in terms of the maximum buoyancy frequency \( N_p \), the depth of pycnocline \( H_p \), and its width \( \Delta H_p \). Fig. 8, as follows:

\[
c_1 = \frac{4}{\Delta H_p} \left( \sqrt{\frac{\omega^2 - f^2}{N_p^2/4 - \omega^2}} - \sqrt{\frac{\omega^2 - f^2}{N_p^2 - \omega^2}} \right), \quad c_2 = H_p, \quad c_3 = \sqrt{\frac{\omega^2 - f^2}{N_p^2 - \omega^2}}.
\]

In the ocean of constant depth \( H_0 \) the analytical solution of problem (22)–(23) for stratification (26) has a form of standard orthogonal baroclinic modes (Vlasenko et al., 2005):

\[
\Psi(x, z) = \sqrt{\rho(z)} \sin(j \pi z / H_0) \times \text{exp}(\pm j k \xi),
\]

\[
k_j = \sqrt{(j \pi / h_0)^2 - c_1 c_3},
\]

\[
z_j(z) = [\arctan(\sqrt{1/c_1} \pm \sqrt{c_1/c_2})] - \arctan(\sqrt{c_1/c_2}) / \sqrt{c_1 c_3},
\]

where \( j = 1, 2, 3, \ldots \) \( (\text{the number of } \text{baroclinic modes}) \), and \( h_0 = z_j(-H_0) \).

For finding the amplitudes of internal waves generated by a periodic tidal flow over ridge (16), a perturbation method is used. A small parameter \( \epsilon_0 \) is introduced as a relative height of the ridge in \((x, z_j)\) space as follows:

\[
\epsilon_0 = h_{max}/h_0. \tag{28}
\]

Here \( h_{max} = f H_{max} / H_0 dz / \rho(z) \). Note, that small height \( h_{max} \) of the ridge in \((x, z_j)\) space does not mean its smallness in \((x, z)\) coordinates. For instance, for the ridge with height \( H_{max} = 70 \text{ m} \) in a basin of total depth \( H_0 = 200 \text{ m} \), the relative height \( H_{max}/H_0 = 0.35 \) in \((x, z)\) coordinates, whereas \( \epsilon_0 = 0.0430 \) in \((x, z_j)\) coordinates. Thus, the transition from \((x, z)\) to \((x, z_j)\) space increases the range of applicability of this method to real oceanographic problems.

In the perturbation method the solution \( \Psi(x, z_j) \) is considered as an asymptotic expansion:

\[
\Psi(x, z_j) = \sum_{m=0} a_m \Psi^{(m)}(x, z_j) + \epsilon_0 \Psi^{(1)}(x, z_j) + O(\epsilon_0^2). \tag{29}
\]

Substitution (29) into (25) leads to a sequence of boundary value problems which are solved by the application of the Fourier transforms.
and the integral Cauchy theorem (the details are discussed in Vlasenko et al. (2005)). Here we present the final form of the solution:

\[
\Psi = \begin{cases} 
\Psi_0 \left( 1 + \frac{x}{H} \right) + c_0 \sqrt{\alpha(z)} \sum_{j=1}^{\infty} a_j \sin \left( \frac{j z}{H} \right) \cos(k_j x) \exp(i \sigma t), & |x| \leq L, \\
\Psi_0 \left( 1 + \frac{x}{H} \right) + c_0 \sqrt{\alpha(z)} \sum_{j=1}^{\infty} a_j \sin \left( \frac{j z}{H} \right) \exp(i \pm k_j x + \xi_j), & |x| > L,
\end{cases}
\]

(30)

where \(\xi_j = \pi j + 1/2\), \(j = 1, 2, 3, \ldots\) is the mode number and \(a_j\) is the amplitude of \(j\)-mode:

\[
a_j = \frac{c_0 \sqrt{\alpha(z)} \sin(k_j L)}{\sqrt{\alpha(0)} \sin(\sqrt{\alpha(z)} h_0)}.
\]

(31)

Scrubity of the solution shows that the wave motion consists of a superposition of the barotropic tidal flow (first terms in (30)) and the sum of generated baroclinic modes (the second term in (30)). Taking into account that \(w = -\Psi_0\) (formula (17)), by differentiating (30) with respect to \(x\), one can find the amplitude of the vertical velocities \(A_j\):

\[
A_j = c_0 a_j k_j \max_{-R \leq z \leq 0} \sqrt{\alpha(z)},
\]

(32)

where of \(j\)– is the mode number.

4.2. Numerical solution

Analytical solution (30) gives the vertical velocity amplitude of every particular internal mode, (32). Note that the model-predicted vertical velocity \(w\)–field is a superposition of all generated internal modes, i.e. \(w = \sum_{j=1}^{\infty} w_j\). For the comparison analysis, it is necessary to separate baroclinic modes in the model output and to compare the model-predicted and analytical amplitudes of every particular mode separately. The method of their separation is based on the orthogonality property of baroclinic modes. The mathematical procedure of the amplitude calculation is briefly outlined below.

In terms of vertical velocity \(w\) the wave equation describing internal waves in three dimensions \((x, y, z)\) reads:

\[
\frac{\partial^2 w}{\partial t^2} \nabla^2 w + \frac{j^2 \partial^2 w}{\partial z^2} + N^2(z) \left( \frac{\partial^2 w}{\partial x^2} + \frac{\partial^2 w}{\partial y^2} \right) = 0.
\]

(33)

In a two-dimensional case considered here the \(y\)-direction is ignored and the periodic internal waves radiated from the ridge can be presented as follows:

\(w(x, y, z) = W(z) \exp(i k x - \omega t)\).

(34)

Substitution (34) into (33) results in ordinary differential equation for \(W\):

\[
\frac{\partial^2 W}{\partial z^2} + k^2 \left( N^2(z) - \omega^2 \right) \frac{\partial^2 W}{\partial z^2} = 0.
\]

(35)

For internal waves the rigid-lid condition \(W = 0\) is usually applied at the surface \(z = 0\) and at the bottom \(z = -H\). A general solution of (33) is a superposition of baroclinic modes:

\[
w = \sum_{j=1}^{\infty} W_j(z) a_j \exp(i k_j x - \omega t),
\]

(36)

where \(a_j\) is the amplitude of \(j\)-mode propagating in both directions from the ridge, and \(k_j\) is the wave number of \(j\)-th mode.

The orthogonality condition for internal modes reads:

\[
\int_{-H}^{0} (N^2(z) - \omega^2) \frac{\partial^2 W(z)}{\partial z^2} dz = 0, \quad \text{if} \quad n \neq l.
\]

(37)

Multiplying (36) by \((N^2(z) - \omega^2)/\omega^2 - f^2) W_j(z)\) and applying integration from the surface to the bottom, with orthogonality condition (37) we have:

\[
a_j^2 \exp(i \pm k_j x - \sigma t) = \int_{-H}^{0} \frac{N^2(z) - \omega^2}{\omega^2 - f^2} W_j(z) dz.
\]

(38)

From this formula, two methods for the definition of the wave amplitude \(a_j^2\) are evident. Having a spatial distribution of the velocity \(w\) at a fixed moment of time \(t\) one can estimate the wave amplitude \(a_j\) considering a spatial \(x\)-transect of the \(w\)-field from the ridge. Ideally, without dissipation, the spatial profile of the waves radiated from topography should be periodical at any distance from the source of generation having the same amplitude \(a_j^2\) at all distances. Alternatively, one can consider \(w\) time series at a fixed point taking the maximum value of oscillation as an amplitude.

4.3. Comparison of analytical and numerical solutions

Validation of the model settings with the tidal body force in the RHS of momentum balance equations was conducted for the following stratification parameters: \(H_0 = 30\) m, \(\Delta H = 50\) m and \(N_p = 0.02\) s\(^{-1}\), Fig. 8. The bottom topography is the ridge specified by (16) with the height \(H_{\text{max}} = 70\) m in a basin with a total water depth \(H_0 = 200\) m. The half-width of the ridge \(L\) in the experiments varies from 2 km to 65 km, depending on the latitude, \(\theta = 0^\circ; 30^\circ; 60^\circ\).

For the considered model setting the parameter \(c_1 = 0.043\) is really small (\(c_1 \ll 1\)), so the analytical solution (30) is a good first guess for the model validation. In the numerical model the horizontal resolution \(\Delta x = 20\) m was small enough for replication of the generated waves and calculation of their amplitudes. The method of the telegraphic increase of the grid steps near the model domain boundaries described above was used. In the vertical direction, the grid resolution was \(\Delta z = 1\) m. The amplitude of the water discharge \(\Psi_0\) in \(x\) and \(y\) directions was \(\Psi_0^x = 1m^2s^{-1} (u_0(x) = \Psi_0^x / H(x))\), and \(\Psi_0^y = 0\) (thus \(v_0 = 0\)), and there was no phase lag \(\phi\) in (12), \(\phi = 0\).

Theory predicts that the generation mechanism of internal waves by a tidal flow over the ridge (16) has a resonance nature. Really, the analytical solution for the amplitudes of the generated internal modes (31)–(32) includes the following periodical term: \(\sin(k_j L)/(k_j L^2 - \omega^2)\). It predicts zero \(j\)-mode wave amplitude when \(k_j L = n\pi\), for \(n = 2, 3, \ldots\) However, the amplitude of \(j\)th generated mode is maximal for \(n = 1\) when \(L = \pi/k_j\), because

\[
\lim_{L \to \pi/k_j} (k_j L^2 - \omega^2) = 1.
\]

Fig. 9 shows the analytical dependence of the wave amplitude on the ridge width for the first three baroclinic modes (32) (solid lines) and the model-predicted values presented by the dots of the corresponding colour. They were calculated using model time series of the vertical velocity recorded at some distance from the ridge using (37). The dependencies \(a_j(L), j = 1, 2, 3\) are shown for three latitudes: \(\theta = 0^\circ; 30^\circ; 60^\circ\).

It is seen from the graphs that the amplitude of the first baroclinic mode dominates over all other modes for wide ridge. However, for narrow ridges, the amplitudes of the higher modes are larger. This is the case when the topography is getting near-critical in terms of the bottom inclination (critical conditions \(\Delta H/\Delta x = a(\Delta H)\)). Note also that the generation efficiency decreases with the increase of rotation (compare maximum amplitudes in all three graphs).

Analysis of Fig. 9 shows also that the numerical model captures all properties of the analytical solution very well. The coincidence of the model-predicted wave amplitudes with the analytical solution is relatively good in the whole range of the bottom width and considered latitudes (the largest discrepancy between the wave amplitudes does not exceed 10%).
4.4. Setting the tidal forcing

In the considered above experiments the body force terms \( F_u \) and \( F_v \) in the RHS of the momentum balance equations were used in the form as they stand in (15), i.e. including compensating terms (the balanced forcing case). In the present section we address the question what would be the model output in the ‘unbalanced forcing case’, i.e. when compensating terms \( v_0(x, y) f \sin(\omega t) \) in \( F_u \), and \( u_0(x, y) f \sin(\omega t - \phi) \) in \( F_v \) are ignored.

The difference between the balanced and unbalanced forcing cases is illustrated here for the same stratification parameters as that used in the previous subsection. The width of the ridge for two ‘rotational’ experiments with \( \theta = 30^\circ \) and \( \theta = 60^\circ \) was taken in such a way that only first baroclinic mode is generated, which makes our analysis simpler. These two cases for \( L = 21 \text{ km} \) (latitude \( \theta = 30^\circ \)) and \( L = 40 \text{ km} \) (latitude \( \theta = 60^\circ \)) are marked in Fig. 9 by black circles. Apart from the fact that the first mode predominates in the model and analytical solutions, important is that with these widths of the ridge the coincidence between numerically obtained and the analytical amplitudes is just perfect (see Fig. 9). There was no meridional forcing in these experiments, i.e. \( v_0 = 0 \) and \( \phi = 0 \).

Fig. 10 is based on the model-predicted time series recorded at a distance \( x_p = 3 \text{ km} \) from the ridge during 20 tidal periods (240 h of the model time) for \( \theta = 30^\circ \). The balanced and unbalanced forcing cases are given in black and magenta colours, respectively. Applying orthogonality condition (37) to the time series of vertical velocity \( w \), we calculated \( a_1^+ \cos(k_1 x_p - \sigma t) \) according to formula (38), Fig. 10 a. It is seen that the amplitudes of vertical velocity in the unbalanced case (magenta line) exceed those obtained with the balanced forcing (black line) and the analytically derived amplitude (32).

Figs. 10 b and c present the time series of vertically integrated horizontal velocities:

\[
U(t) = \frac{1}{H} \int_{-H}^{0} u(t) dz; \quad V(t) = \frac{1}{H} \int_{-H}^{0} v(t) dz.
\]

In fact, the horizontal velocities produced by the model include both barotropic and baroclinic signals. The vertical integration removes internal waves from the model output. Thus Figs. 10 b and c illustrate the difference between balanced and unbalanced cases for barotropic tidal motions. The model output without rotational compensation in the body force reveal intermittency in the \( U(t) \)-velocity signal, Fig. 10 b, with the generation of the \( V(t) \)-velocity component, Fig. 10 c, which initially was not set in the model (black horizontal line in panel c). It is essential that being unbalanced the tidal forcing leads to a generation of inertial oscillations. The energy spectrum in Fig. 10 d clearly shows their presence.

For low latitudes the difference between model-produced \( w \)-velocities in balanced and unbalanced cases can be not significant. For instance, this inconsistency accounts for only 25% at \( \theta = 30^\circ \) latitude (black and magenta lines in Fig. 10 a). The contribution of spurious inertial oscillations to the model velocities strengthens with the increase of the latitude. As an example, Fig. 11 shows the model time series recorded near the ridge with \( L = 40 \text{ km} \) width located at \( \theta = 60^\circ \) latitude. It is clear from Fig. 11 that unbalanced terms in the...
model forcing (15) at high latitude, $\theta = 60^\circ$, lead to 2.5 times increase of the amplitude of vertical velocity (panel a) and enormously high level of the spurious inertial oscillations in the horizontal velocity time series (panels b and c). Quantitatively this result is confirmed by the spectral level calculated from the model output. The energy spectrum level of the inertial frequency can even exceed the energy level at tidal frequency, Fig. 11 d.

Figs. 10 and 11 show that in case of unbalanced body force, the numerical model produces both tidal and inertial oscillations. Their superposition in complex form can be presented as follows:

$$U(t) = U_1 \exp(\omega t) + U_2 \exp(i f t),$$
$$V(t) = V_1 \exp(\omega t) + V_2 \exp(i f t).$$

(39)

Here the amplitudes $U_1$, $U_2$, $V_1$, and $V_2$ can be both real or complex values. Introducing the new frequencies $\Delta \omega = \omega - f$ and $\omega_{\text{mean}} = (\omega + f)/2$, solution (39) can be rewritten as follows:

$$U(t) = \exp(\omega_{\text{mean}} t)[U_1 \exp(i \Delta \omega t/2) + U_2 \exp(-i \Delta \omega t/2)],$$
$$V(t) = \exp(\omega_{\text{mean}} t)[V_1 \exp(i \Delta \omega t/2) + V_2 \exp(-i \Delta \omega t/2)].$$

(40)

Formula (40) shows that both velocities, $U$ and $V$ are present in the solution. The high-frequency carrier wave with frequency $\omega_{\text{mean}}$ is modulated by a low-frequency envelope wave with frequency $\Delta \omega / 2$.

Thus, in case of unbalanced body force, the model does not reproduce precisely tidal ellipses. The velocity vectors in the model domain exhibit variations of amplitude and phase in space and time. The superposition of two waves with slightly different frequencies, results in high-frequency wave with modulation at the lower frequency. It is clearly seen from Fig. 11, when the Coriolis parameter ($f = 1.2627 \times 10^{-4} \text{s}^{-1}$) is close to the M2-tide frequency ($\omega = 1.4053 \times 10^{-4} \text{s}^{-1}$). This superposition creates a beat envelope around the original sine wave.

In the previous examples, the northward velocity was not activated in the body force ($v_0 = 0$). To generalize the obtained results, let us investigate how the forcing compensation works in three dimensions. In doing so, in the next series of experiments the parameters $v_0$ and $\phi$ in (15) are taken as non-zero. The bottom topography (16) is still two-dimensional extended in the meridional direction (shown as shaded area in Fig. 12 a), although the major axis of tidal ellipses is not
perpendicular to isobaths now, but inclined, as shown in panel a. With the correct compensation of the spurious inertial oscillation in the forcing terms (14) the model should produce meridional tidal velocity identical to those set in (15).

It was found that the body force method with compensation of inertial oscillations works well in three dimensions both for the homogeneous and continuously stratified fluids. An example of the model application for the case of a stratified fluid is shown in Figs. 12 b-d. The input parameters for this run were: $u_0 = v_0 = 0.005 \text{ m s}^{-1}$, $\phi = \pi/3$, $L = 21 \text{ km}$ and the latitude $\theta = 30^\circ$.

It was expected that for the chosen model parameters the first mode should be dominant, Fig. 9 b. This fact is confirmed also in the present case. The cross-ridge transect of the vertical velocity generated after ten tidal periods shows evidence of the first baroclinic mode, Fig. 12 b. As theory predicts (Section 4.1), its amplitude beyond the ridge was close to the theoretical value, $1.2 \times 10^{-3} \text{ m s}^{-1}$.

Fig. 12 c shows the time series of the barotropic velocities at a distance $x_p=3000 \text{ m}$ from the ridge. The baroclinic signal was removed from the records by the vertical integration procedure discussed above. Blue and red curves in Fig. 12 c reveal perfect sinusoidal periodicity with the consistent phase lag between them. No sign of spurious inertial oscillations is evident.

This consistency was recorded also at all points of the model grid. The model predicted barotropic tidal ellipses in Fig. 12 d are pretty stable in the whole model domain, and their shape is identical to the theoretical ellipses set by (15) and shown in Fig. 12 a.

5. Summary and conclusions

Two methods for setting tidal currents in numerical models aimed at replication of internal tides are considered in this paper. The TPXO model predictions (Egbert and Erofeeva, 2002) can be used in both methods for setting the tidal forcing to describe the internal tide response generated over regional size domains (several hundred kilometres horizontal scale). Tidal currents in the numerical model can be initiated in two ways. A traditional method is to prescribe periodic oscillations at the domain boundaries. This procedure works well in some cases, although it requires controlling free radiation of the generated waves through the model boundaries, which is not always granted. An alternative way is to introduce a periodic body force in the RHS of the momentum balance equations. Such forcing initiates the tidal oscillations providing same generation conditions in all grid points.

The comparison of these two methods is in the focus of the present study.

The main conclusion from this paper is that tidal forcing from boundaries is not the best option for achieving the consistency of the wave signal in the whole area. Figs. 3 shows some possible side effects. Specifically, the tidal ellipses are not identical in the central part and at the periphery of the model domain. The rotation introduces another uncontrolled effect. It generates an additional spurious signal that is spatially variable and can completely change the tidal dynamics in the area, Figs. 4-5. The principal outcome of our study is that using a tidal body force in the RHS of the momentum balance equations, (15), is free from any side effects and provides same generation conditions in all points of the model domain. We believe that the suggested method works well for regional modelling of internal tidal waves. In restricted domains with horizontal scales of tens or hundreds of kilometres, the generation conditions can be considered as spatially consistent. However, the applicability of this method to the larger oceanic scales must be reconsidered.

Note that in this paper, tidal forcing (15) was checked not only in the barotropic case. It was also verified comparing the model predicted internal wave amplitudes $a_j$, (38), with the analytical solution (32) obtained for the internal waves generated in a stratified ocean. A schematic diagram of the generation of the internal tides over a localized bottom topography is shown in Fig. 8. A realistic continuous fluid stratification (26) was used in this analysis, which allowed analytical solution for internal modes. The comparison analysis conducted for a wide range of the bottom topography width and latitudes (from $\theta = 0^\circ$ to $60^\circ$) has shown a consistency of numerical and analytical solutions, Fig. 9.

It is worth mentioning, that tidal forcing (15) assumes a linear problem statement. Generally speaking, the consistency of numerical and analytical solutions in the considered case does not necessarily assume that this method can be extended to all oceanographic conditions. Specifically, the question remains to what extent it is good enough for setting tidal forcing when the Froude number is comparable or larger than 1. A more detailed analysis for finding the answer is required. However, some heuristic speculations can be given considering the time variation of the tidal forcing $F$, which is assumed to be the water discharge. In the Euler variables the time variations of tidal forcing can be expressed as follows:

$$\frac{dF}{dt} = \frac{\partial F}{\partial t} + u_0 \frac{\partial F}{\partial x} + v_0 \frac{\partial F}{\partial y}.$$
For linear applications it is formally required that $|\partial F/\partial t| > |u_0 \partial F/\partial x + v_0 \partial F/\partial y|$. Specifying this condition in terms of the tidal discharge the following estimate can be considered

$$\omega > \frac{1}{H(x,y)^2} \left( \psi_0 \left| \frac{\partial H(x,y)}{\partial x} \right| + \psi_0 \left| \frac{\partial H(x,y)}{\partial y} \right| \right)$$

(41)

This condition is normally valid for the principal part of the World Ocean although it can be not obvious in some ‘hot spots’ where non-linear internal waves are generated.

Nonlinear conditions for the generation of internal waves by tides are less evident for the analytical analysis. One of the options for understanding the efficiency of the proposed method in the nonlinear applications is its comparison with observations. The method of setting a periodic body force in the RHS of the momentum balance equations was validated for the variety of oceanic conditions. The results are reported in Stashchuk et al. (2014), Vlasenko et al. (2013, 2014, 2016) and Vlasenko and Stashchuk (2015) for the Celtic Sea, in Stashchuk et al. (2017) and Stashchuk and Vlasenko (2017) for the Malin Shelf and in Vlasenko et al. (2018) and Stashchuk and Vlasenko (2018) for the Anton Dohrn Seamount, North Atlantic. A general conclusion from all these studies is that the consistency was relatively good, and the method can be recommended for its massive applications.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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