Onset and recovery of ultrasound guided out-of-plane versus in-plane interscalene block in arthroscopic shoulder surgery

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Abstract

Background: The aim of this study was to assess the out-of-plane versus the in-plane approaches for the interscalene brachial plexus block, as regards the performance time, the onset, the progression and the recovery of sensory block, the onset and progression of the motor block as well as, the postoperative pain score, and the duration of analgesia for arthroscopic shoulder surgery. A total of 60 patients of American Society of Anesthesiologists (ASA) physical status I-II were randomly divided to receive either the in-plane approach (group I), or the out-of-plane approach (group O).

Results: The block performance time was statistically significant shorter in group O. The onset of sensory block was statistically significant faster in group O. The progression of sensory block over the first 20 min was statistically significant fast for C5 and C6 nerve roots in group O. The motor block showed statistically and clinically significant rapid onset and progression in group O. All patients in group O and group I felt no pain in the post-anesthesia care unit (PACU), and the first call for analgesia was at 24 h in both groups.

Conclusion: The out-of-plane approach offers short performance time, rapid onset and progression of sensory and motor blocks, as well as postoperative analgesic effect lasting for 24 h in arthroscopic shoulder surgery.

Keywords: Out-of-plane block, In-plane block, Onset of sensory block, Duration of postoperative analgesia

Introduction

Interscalene brachial plexus block is the commonly used block for anesthesia and postoperative analgesia for shoulder surgeries (Mariano et al., 2009a). It blocks the nerve roots/trunks of the brachial plexus (Madison et al., 2013; Sarah et al., 2013); the local anesthetic (LA) is directed towards C5-C6 nerve roots. C7 and even C8 nerve roots may be blocked depending on the volume of the LA used. Ulnar sparing (C8 and T1 nerve roots) often occurs with the block (Mariano et al., 2009a).

Ultrasound guided interscalene block decreases the number of needle passes, offers rapid onset, and improves the LA distribution, thus the sensory block, with decreased risk of major vessels and nerve injury (Liu et al., 2009). It could be performed as a single LA injection or by a catheter insertion technique (Joseph & Ajit, 2011). Also, it could be performed with an in-plane or an out-of-plane needle approaches. The in-plane approach is commonly used for single injection blocks, whereas the out-of-plane approach is commonly used for block with catheter insertion (Antonakakis et al., 2009; Ushma & Herman, 2015).

Patients and methods

After obtaining the approval of Ain-Shams University Hospitals’ ethical committee (FMASU R59/2018), informed consent was taken from 60 patients of ASA physical status I-II, greater than or equal to 30 years old and smaller than or equal to 60 years old, scheduled to undergo arthroscopic shoulder surgery in the lateral position, under ultrasound-guided interscalene brachial...
plexus block (ISPB) in this randomized study at Ain-Shams University Hospitals, from December 2018 until June 2019. Randomization was done using computer-generated random number tables with sealed opaque envelopes.

Preoperative evaluation included a detailed history, physical examination along with neurological assessment and investigations, which included the following: complete blood count, the coagulation profile, liver and kidney function tests, and electrocardiography (ECG). During the pre-anesthetic visit, the procedure was explained to the patients to allay anxiety and the visual analogue scale (VAS) to assess the postoperative pain was also explained to the patients.

Exclusion criteria
The exclusion criteria are obesity classes II and III (body mass index ≥ 35 kg/m² body surface area) (Stephani, 2018), anticipated difficult airway, infection at the injection site, known LA allergy, contralateral phrenic nerve dysfunction, history of cardiac, hepatic, renal disease, coagulopathy, chronic obstructive pulmonary disease, or neuropathy involving the brachial plexus.

Preparation of the study drugs
Twenty milliliter of 0.5% bupivacaine (Sunny Pharmaceutical (Egypt) under license of Hamelin Pharmaceuticals (Germany) added to them 50 μg adrenaline in a concentration of 1:400,000, were prepared by an assistant immediately before administration (Andrew & Lisa, 2012).

The anesthetic technique
On arriving to the operating theater, patients had an 18G intravenous cannula inserted in the non-operative upper limb side. All patients received 0.05 mg/kg IV midazolam hydrochloride (Dormicum, 5 mg/ml; Roche, Basel, Switzerland) and 30 mg pethidine (pethidine hydrochloride, 50 mg/ml; Misr Co., for Pharmaceuticals, Alexandria, Egypt).

Intraoperative basic monitors were applied using 5-leads ECG, pulse oximetry, non-invasive blood pressure (NIBP), and capnography (sample tube inserted under the O₂ mask). The monitor used was Dash 5000; General Electric, Medical Systems Information Technologies, Inc. Tower Ave., Milwaukee, WI, USA, and the anesthetic machine used was Datex-Ohmeda, Inc. 3030 Ohmeda Drive, Madison, WI 53707-7550, USA. A simple O₂ mask at a flow of 6 L/min was applied. Infusion of Ringer’s solution was then started at a rate of 5 ml/kg/h throughout the surgery. Back up general anesthesia with all airway equipment are as follows: oropharyngeal airways, laryngeal mask airway, endotracheal tubes, and a laryngoscope were prepared.

Patients were placed in the supine position with their heads rotated towards the non-operative side. Iodine solution was used as an antiseptic on the operative neck side and then the patient head, neck, and chest were draped. Local infiltration of the skin at the point of needle insertion was carried out with 2 ml lidocaine hydrochloride 1% (Sigma Tec Industries Co packed by Al-Debeiky pharmaceutical Industries, A.R.E., Obour City Ind. Zone), then a sterile 50-mm 22-G insulated needle (Stimuplex; B. Braun, Melsungen, Germany) was used for performance of the block.

The ultrasound (M-Turbo; SonoSite, Washington, DC, USA) with a high frequency linear transducer (frequency 10–15 MHz) was used, with the depth setting of 2–4 cm. Distal to proximal (trace back) approach was used; the supraclavicular fossa was scanned first to identify the subclavian artery as it passes over the first rib, by placing the probe against the clavicle and scanning in a caudate direction. The brachial plexus was easily identified as bunch of grapes superolateral to the artery. The plexus was followed medially and cephalad along its course by keeping the nerves in the center of the screen, to identify the brachial plexus roots between the anterior and the middle scalene muscles at the level of the sixth cervical vertebra deep to the sternocleidomastoid muscle.

Patients were then divided into 2 equal groups of 30 patients each

Group I
An in-plane approach was used for the interscalene block. The needle was brought in the same plane as the probe at a shallow angle to the skin, some distance away from the edge of the probe in a lateral to medial direction so that the whole length of the needle can be visualized. After negative aspiration and assurance that high resistance to injection was absent, the LA was injected in a 5-ml increment below the lower root, between the 3 roots, and above the upper root.

Group O
An out-of-plane approach was used for the interscalene block. The needle was inserted cranial to the probe and after negative aspiration and assurance that high resistance to injection was absent, the LA was injected in a 10-ml increment, lateral and medial to the nerve roots. The needle appeared as a bright dot on the screen and by tilting the probe, the tip was identified as the point where further tilting leads to no longer visualization of the bright dot on the screen.

After completion of the LA administration, the time was recorded as a baseline for the time interval. The assistant who recorded the data was blind to the patient groups.

The sensory block was assessed by a pin-prick test using a 3-point scale (Calderon et al., 2015):
The motor block was assessed according to the shoulder, arm, and fingers’ movement using a 3-point scale (Santvana et al., 2013):

0 = normal movement 
1 = diminished but not totally absent motor strength (paresis) 
2 = unable to elevate the shoulder, flex the arm, or move the fingers (lack of movement)

Postoperative pain was measured at rest using the VAS score (Santvana et al., 2013); patients were asked to make a mark on a 10-cm line corresponding to their pain level, with 0 = no pain at all and 10 = the worst pain possible.

Primary outcome
The onset of sensory block (time to C5 block): defined as the period between the completion of the LA administration and the loss of sensation to pin prick (sensory score = 1) in C5 dermatome performed every 1 min

Secondary outcomes
The procedure time: time from the skin infiltration by the lidocaine until removal of the stimulating needle from the skin
Progression of sensory block over the first 20 min of LA injection performed by pin prick every 5 min in C5, C7, C8, and T1 dermatomes
The onset of motor block: defined as the period between the completion of LA administration until lack of movement (motor score = 2) of the shoulder, arm, and fingers’ muscles assessed every 1 min

Statistical analysis
Statistical analysis was done using PASS program, setting alpha error at 5% and power at 80%. Results from pilot study showed that the mean time to loss of sensation at C5 dermatome among patients in the out-of-plane group was 4.5 min, while for patients in the in-plane group was 6.5 min with 2.5 min standard deviation within each group. Based on this, with taking in consideration 10% drop out rate, the needed sample was 30 cases per group.

Data were analyzed using Statistical Package for Social Science (SPSS) version 21.0. Chicago, Illinois, USA. Quantitative data were expressed as mean ± standard deviation. Qualitative data were expressed as count (and percent). The independent samples t test was used to compare between means in the two groups for quantitative parametric data. Mann-Whitney U test was used for skewed data. Chi square test or Fisher’s exact test was used as appropriate to compare proportions between two qualitative parameters. P value < 0.05 was considered significant and P value < 0.01 was considered highly significant.

Results
Sixty patients were enrolled in the study and were divided into 2 groups of 30 patients each. The 2 groups were comparable according to the demographic data (age, sex, weight, and ASA physical status) with P values of 0.469, 0.787, 0.063, and 0.795 respectively (Table 1).
According to the block performance time, it was statistically significant shorter in the out-of-plane approach than in the in-plane approach (6.3 ± 0.36 versus 7.85 ± 0.47 min respectively with \( P \) value < 0.001). There was no statistically significant difference between the 2 groups regarding the duration of surgery with \( P \) value of 0.075 (Table 2).

The onset of sensory block was statistically significant faster in the out-of-plane approach than in the in-plane approach (4.78 ± 0.28 versus 6.42 ± 0.26 min respectively with \( P \) value < 0.001). The progression of \( C_6 \) block was statistically significant faster in the out-of-plane approach than in the in-plane approach (\( P \) value = 0.01, 0.001, 0.001, 0.008, and < 0.001 at 1, 2, 3, 4, and 5 min respectively). The 30 patients were blocked by 5 min versus 10 min in the in-plane approach and the out-of-plane approaches respectively (Table 3 and Fig. 1).

The progression of sensory block over the first 20 min was statistically significant fast for \( C_6 \) nerve root in the out-of-plane approach as the 30 patients (100%) showed \( C_6 \) block in the first 5 min, whereas it took 10 min in the in-plane approach (\( P \) value < 0.006). Regarding the progression time to \( C_7 \) block, there was no statistically significant difference between both groups as 83.3% of patients were blocked by 15 min in the out-of-plane approach compared to 76.7% of patients in the in-plane approach (\( P \) value = 0.519), and by 20 min, the 30 patients (100%) of both groups were blocked. Regarding the progression time to \( C_8 \) block, it was not completely blocked in both groups, by 20 min, 96.7% of patients were blocked in the out-of-plane approach compared to

| Table 3 | Onset of sensory block (time to \( C_6 \) block) in minutes |
|---------|-------------------|
| Group I | \( N = 30 \)      | Group O | \( N = 30 \) | \( P \) value |
| 6.42 ± 0.26 | < 0.001 |

Data presented as mean ± SD. \( P \) values > 0.05 are non-significant.

| Table 4 | Progression of the sensory block over the first 20 min |
|---------|-----------------|
| Variable | Group I | \( N = 30 \) | Group O | \( N = 30 \) | \( P \) value |
| \( C_6 \)  | \( 5 \) min | 15 (50%) | 30 (100%) | 0.006 |
|          | 10 min | 30 (100%) | 30 (100%) | - |
|          | 15 min | 30 (100%) | 30 (100%) | - |
|          | 20 min | 30 (100%) | 30 (100%) | - |
| \( C_7 \)  | \( 5 \) min | 7 (23.3%) | 10 (33.3%) | 0.390 |
|          | 10 min | 12 (40%) | 16 (53.3%) | 0.121 |
|          | 15 min | 23 (76.7%) | 25 (83.3%) | 0.519 |
|          | 20 min | 30 (100%) | 30 (100%) | - |
| \( C_8 \)  | \( 5 \) min | 2 (6.7%) | 3 (10%) | 0.640 |
|          | 10 min | 5 (16.7%) | 10 (33.3%) | 0.136 |
|          | 15 min | 12 (40%) | 10 (33.3%) | 0.592 |
|          | 20 min | 27 (90%) | 29 (96.7%) | 0.301 |
| \( T_1 \)  | \( 5 \) min | 0 (0%) | 1 (3.3%) | 0.313 |
|          | 10 min | 2 (6.7%) | 10 (33.3%) | 0.01 |
|          | 15 min | 10 (33.3%) | 15 (50%) | 0.190 |
|          | 20 min | 25 (83.3%) | 28 (93.3%) | 0.228 |

Data presented as number of patients (percentage).

Fig. 1 Onset and progression of \( C_5 \) block over the first 20 min
90% of patients in the in-plane approach (\(P\) value = 0.301). Regarding the progression time to \(T_1\) block, 50% of patients in the out-of-plane approach were blocked by 15 min and increased to 93.3% of patients by 20 min compared to 33.3% and 83.3% of patients at 15 and 20 min respectively in the in-plane approach (\(P\) values = 0.190 and 0.228 respectively) (Table 4).

Regarding the motor block, it showed statistically and clinically significant rapid onset and progression in the out-of-plane approach than in the in-plane approach; as by 3 min, 50% of patients were unable to elevate their shoulders and 33.3% of patients showed only diminished shoulder movement in the out-of-plane approach compared to 50% of patients with diminished shoulder movement and 50% of patients with normal movement in the in-plane approach (\(P\) value < 0.001). By 4 min, 50% of patients were unable to flex the arm in the out-of-plane approach compared to 53.3% of patients with normal range of motion in the in-plane approach (\(P\) value < 0.001). By 10 min, 100% of patients in the out-of-plane approach were unable to elevate their shoulders, 93.3% of patients were unable to flex their arms, and 50% of patients were unable to move their fingers in the out-of-plane approach compared to 83.3%, 66.7%, and 0% of patients respectively in the in-plane approach (\(P\) value 0.02, 0.031 and < 0.001 respectively). By 15 min, 100% of patients were unable to flex their arms in the out-of-plane approach compared to 93.3% of patients in the in-plane approach. By 20 min, 100% of patients of both groups were unable to elevate their shoulders and flex their arms, with 93.3% of patients in the out-of-plane approach and 83.3% of patients in the in-plane approach unable to move their fingers (Table 5).

Regarding the duration of motor block, there was no statistical significance between the 2 groups (\(P\) value 0.474) (Table 6).

**Table 5** Onset and progression of the motor block over the first 20 min

| Variable | Group 1 (\(N = 30\)) | Group 2 (\(N = 30\)) | \(P\) value |
|----------|----------------------|----------------------|-------------|
|          | Score 0 | Score 1 | Score 2 | Score 0 | Score 1 | Score 2 |          |
| Shoulder |         |         |         |         |         |         |          |
| 1 min    | 30 0    | 0 0    | 0 0    | 30 0    | 0 0    | 0 0    | -         |
| 2 min    | 29 1    | 0 0    | 0 0    | 27 3    | 0 0    | 0 0    | 0.301     |
| 3 min    | 15 15   | 0 0    | 0 0    | 5 10    | 15 15  | < 0.001 |
| 4 min    | 12 18   | 0 0    | 0 0    | 3 8     | 19 19  | < 0.001 |
| 5 min    | 9 21    | 0 0    | 0 0    | 0 10    | 20 20  | < 0.001 |
| 10 min   | 0 5     | 25 25  | 0 0    | 0 0     | 30 30  | 0.02    |
| 15 min   | 0 2     | 28 28  | 0 0    | 0 0     | 30 30  | 0.150   |
| 20 min   | 0 0     | 30 30  | 0 0    | 0 0     | 30 30  | -       |
| Arm      |         |         |         |         |         |         |          |
| 1 min    | 30 0    | 0 0    | 0 0    | 30 0    | 0 0    | 0 0    | -         |
| 2 min    | 29 1    | 0 0    | 0 0    | 28 2    | 0 0    | 0 0    | 0.554     |
| 3 min    | 25 5    | 0 0    | 0 0    | 9 9     | 12 12  | < 0.001 |
| 4 min    | 20 10   | 0 0    | 0 0    | 2 13    | 15 15  | < 0.001 |
| 5 min    | 16 14   | 0 0    | 0 0    | 0 14    | 16 16  | < 0.001 |
| 10 min   | 2 8     | 20 20  | 0 0    | 0 2     | 28 28  | 0.031   |
| 15 min   | 0 2     | 28 28  | 0 0    | 0 0     | 30 30  | < 0.150 |
| 20 min   | 0 0     | 30 30  | 0 0    | 0 0     | 30 30  | -       |
| Fingers  |         |         |         |         |         |         |          |
| 1 min    | 30 0    | 0 0    | 0 0    | 30 0    | 0 0    | 0 0    | -         |
| 2 min    | 30 0    | 0 0    | 0 0    | 30 0    | 0 0    | 0 0    | -         |
| 3 min    | 30 0    | 0 0    | 0 0    | 30 0    | 0 0    | 0 0    | -         |
| 4 min    | 23 7    | 0 0    | 0 0    | 22 8    | 0 0    | 0.766   |
| 5 min    | 22 8    | 0 0    | 0 0    | 20 10   | 0 0    | 0.573   |
| 10 min   | 20 10   | 0 0    | 0 0    | 3 12    | 15 15  | < 0.001 |
| 15 min   | 5 10    | 15 15  | 0 0    | 5 5     | 25 25  | 0.01    |
| 20 min   | 0 5     | 25 25  | 0 0    | 2 2     | 28 28  | 0.228   |

Data presented as number of patients.
The duration of analgesia

The first call for analgesia was at 24 h in both groups. At 24 h, there was no statistical or clinical significance between the 2 groups as 50% of patients of both groups showed VAS = 3 with only one patient in the out-of-plane approach with VAS = 7 (Fig. 2), and the pain for patients of both groups with VAS more than 4 was relieved with 1 gm Perfalgan and did not require pethidine.

Discussion

In our study, the block performance time was statistically significant shorter in the out-of-plane approach than in the in-plane approach. This could be attributed to the simplicity of the 2 points injection on both sides of the plexus in the out-of-plane approach rather than the 4 points injection in the in-plane approach. Our results go with those found by Tomassetti and his colleagues in 2008; where the time of performance was 220±80 sec for the in-plane approach and 120±30 sec in the out-of-plane approach with \( P \)-value < 0.01. However, Schwenk and his colleagues in 2015 found no difference in the mean procedure time for the out-of-plane and the in-plane catheter technique groups (257.8 sec, 95% CI, [238.1 - 277.4] versus 296.1 sec; 95% CI, [255.2 - 336.9]) respectively with \( P \)-value=0.093. The difference between our results and those by Schwenk and his colleagues in 2015, may be attributed to the time consumed for the catheter insertion in their study.

Ultrasound guided out-of-plane approach is done by needle insertion and LA deposition on either side of the brachial plexus (Mariano et al., 2009). It provides a shorter path to the plexus but, with more risk of complications compared to the in-plane approach; especially to the recurrent laryngeal nerve on the right side where it lies close to the plexus, and the phrenic nerve in case of proximal site for needle insertion (Borgeat and Ekator-dramis, 2002; Bowens et al., 2011; Capdevila et al., 2008). Thus, choosing a distal point for needle insertion may be a safer route where the phrenic nerve is away from C5 root (Ushma and Herman, 2015). Ultrasound guided in-plane approach is used for single injection blocks and

| Variable | Group I \( N = 30 \) | Group O \( N = 30 \) | \( P \) value |
|----------|----------------|----------------|--------------|
| The duration of motor block | 18.81 h ± 0.51 min | 18.73 h ± 0.38 min | 0.474 |

Data presented as mean ± SD. \( P \) values > 0.05 are non-significant.

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![Fig. 2](image-url) The distribution of the VAS score at 24 postoperative hours. \( P \) value = 0.468.
is considered to be safer as the entire length of the needle is seen. For more complex procedures; continuous catheter techniques allow prolonged analgesia; thus earlier mobilization with improved rehabilitation (Fredrickson et al., 2008). But, catheter threading through the middle scalene muscle, could be painful and could also be difficult in morbidly obese patients (Ilfeld et al., 2010).

In the current study, the onset of C₅ block was statistically significant faster in the out-of-plane than the in the in-plane approach. In the study done by Tomassetti et al. (Tomassetti et al., 2008), they also found rapid onset for the out-of-plane approach than the in-plane approach (450 ± 150 versus 510 ± 180 s respectively).

In the current study, the progression of sensory block over the first 20 min showed statistically significant rapid onset for C₆ block in the out-of-plane than in the in-plane approach, and clinically significant rapid onset and progression for C₇ block in the out-of-plane than in the in-plane approach with C₈ and T₁ sparing in both groups. In the study done by Schwenk et al. (2008), there were no differences in the percentage of patients in both groups with sensory block at any time, but the block progression was slower than in our study. As regards C₆ block, at 10 min, 90 and 84.2% of patients in the out-of-plane and in the in-plane approaches respectively were blocked. Regarding C₇ block, 55.5% of patients were blocked in the out-of-plane approach at 10 min compared to 76.3% in the in-plane approach. Regarding C₈ block, it was not completely blocked until patients were transferred to the PACU where 75.6 and 73.7% of patients were blocked in the out-of-plane and the in-plane approaches respectively.

Regarding the motor block, it showed statistically and clinically significant rapid onset and progression in the out-of-plane block than in the in-plane block in the first 20 min. In the study done by Schwenk et al. (2008), there were no differences in the proportion of patients in each group with motor block at any time. However, it showed rapid similar results to our study. This difference could be attributed to the rapid onset of C₅ and C₆ blocks in our study. As our injection was in a cephalad to caudate direction, Schwenk and his colleagues used a cephalad to caudate direction.

In conclusion, single injection out-of-plane approach to the interscalene brachial plexus block provides similar analgesia to the in-plane approach for 24 h, with less performance time, rapid onset, and progression of sensory and motor blocks. So, it is an appropriate alternative to the in-plane approach.

Patients undergoing arthroscopic shoulder surgery suffer severe postoperative pain which is exacerbated during rehabilitation by movement (Trompeter et al., 2010). Regarding postoperative analgesia, it was assessed in the PACU, at 4, 8, and 12 postoperative hours, where all patients felt no pain (VAS = 0). At 24 h, there was no statistical or clinical significance between the 2 groups and the patient in the out-of-plane approach with VAS = 7, pain was relieved by intravenous infusion of 1 gm paracetamol. Our results are similar to those in the study done by Schwenk et al. (2008), as there were no differences in the median VAS pain rating recorded in the PACU between the out-of-plane and the in-plane approaches (1.0; IQR, [0–3.5] vs. 0.25; IQR, [0–2.5]; P = 0.08) and at 24 h between the 2 groups respectively (1.50; IQR, [0–4.38] vs. 1.25; IQR, [0–3.75]; P = 0.57). In contrast to the results in 2010 by Fredrickson et al. (Fredrickson et al., 2010), who found that patients in the out-of-plane group were more frequently pain free in the PACU and required less tramadol in the first 24 postoperative hours.

In conclusion, single injection out-of-plane approach to the interscalene brachial plexus block provides similar analgesia to the in-plane approach for 24 h, with less performance time, rapid onset, and progression of sensory and motor blocks. So, it is an appropriate alternative to the in-plane approach.

Abbreviations
ASA: American Society of Anesthesiologists; ECG: Electrocardiography; LA: Local anesthetic; NIBP: Non-invasive blood pressure; PACU: Post-anesthesia care unit; SPSS: Statistical Package for Social Science; VAS: Visual analogue scale

Authors’ contributions
MG contributed the idea and participated in the design of the study, data collection, and data analysis. GS participated in the design of the study, data collection, statistical analysis, and manuscript editing. All authors read and approved the final manuscript. The manuscript have not been published, simultaneously submitted or accepted for publication elsewhere.

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Consent for publication
Not applicable.

Competing interests
The authors declare that they have no competing interests.
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