Learning Adaptive Segmentation Policy
for Simultaneous Translation

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Abstract

Balancing accuracy and latency is a great challenge for simultaneous translation. To achieve high accuracy, the model usually needs to wait for more streaming text before translation, which results in increased latency. However, keeping low latency would probably hurt accuracy. Therefore, it is essential to segment the ASR output into appropriate units for translation. Inspired by human interpreters, we propose a novel adaptive segmentation policy for simultaneous translation. The policy learns to segment the source text by considering possible translations produced by the translation model, maintaining consistency between the segmentation and translation. Experimental results on Chinese-English and German-English translation show that our method achieves a better accuracy-latency trade-off over recently proposed state-of-the-art methods.

1 Introduction

In recent years, simultaneous translation has attracted increasing interest both in research and industry community. It aims at a real-time translation that demands high translation quality and an as-short-as-possible delay between speech and translation output.

A typical simultaneous translation system consists of an auto-speech-recognition (ASR) system that transcribes the source speech into source streaming text, and a machine translation (MT) system that performs the translation from the source into the target text. However, there is a gap between the output of ASR and the input of MT. The MT system takes sentences as input, while the streaming ASR output has no segmentation boundaries. Therefore, exploring a policy to split ASR output into appropriate segments becomes a vital issue for simultaneous translation. If translation starts before adequate source content is delivered, the translation quality degrades. However, waiting for too much source text increases latency.

The policies of recent work generally falls into two classes:

- **Fixed Policies** are hard policies that follow a pre-defined schedule independent of the context. They segment the source text based on a fixed length (Ma et al., 2019; Dalvi et al., 2018). For example, the wait-\(k\) method (Ma et al., 2019) first reads \(k\) source words, and then generates one target word immediately after each subsequent word is received. Policies of this type are simple and easy to implement. However, they do not consider contextual information and usually result in a drop in translation accuracy.

- **Adaptive Policies** learn to do segmentation according to dynamic contextual information. They either use a specific model to chunk the streaming source text (Sridhar et al., 2013; Oda et al., 2014; Cho and Esipova, 2016; Gu et al., 2017; Zheng et al., 2019a, 2020) or jointly learn segmentation and translation in an end-to-end framework (Arivazhagan et al., 2019; Zheng et al., 2019b; Ma et al., 2020). The adaptive methods are more flexible than the fixed ones and achieve state-of-the-art.

In this paper, we propose a novel adaptive segmentation policy for simultaneous translation. Our method is motivated by two widely used strategies in simultaneous interpretation:

- **Meaningful Unit (MU) Chunking.** While listening to speakers, interpreters usually preemptively group the streaming words into units with clear and definite meaning, referred to as meaningful units that can be directly translated without waiting for more words.
• Interpreters are often obliged to keep close to the source speech and render the translation of MUs in order, i.e., perform translation monotonically while making the translation grammatically tolerable.

Table 1: A comparison of Chinese-English text translation and simultaneous interpretation. A text translator translates the full sentence after reading all the source words and produces a translation with a long-distance reordering by moving the initial part (as underlined) of the source sentence to the end of the target side. But when doing simultaneous interpreting, an interpreter starts to translate as soon as he or she judges that the current received streaming text constitutes an MU ("||") and translate them monotonically.

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2 Adaptive Segmentation Policy

Our idea is inspired by human interpreters who start translating as soon as they recognize an MU. In this paper, we aim to split the streaming text into MUs to get a trade-off between translation quality and latency. See Figure 1 for illustration. We model the MU segmentation as a classification problem and train a classifier, which receives a streaming text from ASR output and detects whether it constitutes an MU (Figure 1 (a) and (b)). Once an MU is detected, it is sent to the MT model to produce high-quality translation with low latency.

• We propose a novel prefix-attention method to extract fine-grained MUs by training a neural machine translation (NMT) model that generates monotonic translations.

• Our method is simple yet effective. It can be easily integrated into a practical simultaneous translation system.
3. How to train the MU segmentation model?
   We train the classifier under a pre-training & fine-tuning framework (Section 2.4).

Finally, the MU segmentation model is integrated into a cascaded simultaneous translation system. It receives ASR output and produces MUs as MT input.

### 2.1 MU Definition

As mentioned, an MU in simultaneous interpretation refers to a group of streaming words with definite or clear meaning. However, it is not easy to give it a precise definition. Even human interpreters cannot determine the exact boundary of MUs during interpreting.

Before we describe our definition, we first try to list the properties of an ideal MU:

1. An MU should be short to reduce latency.

2. The translation of an MU should not be changed (or affected) by the incoming source words. This requires that an MU should contain enough information to produce a translation.

Accordingly, we define an MU as the minimum segment whose translation will not be changed by subsequent text.

Formally, we can take a pre-trained MT system $M_{nmt}$ to extract MUs. Given a streaming source sequence $x = \{x_1, x_2, ... x_T\}$, we want to find a list of MU segments $S_{MU} = \{S_1, S_2, ... S_K\}$ i.e., to split $x$ into $K$ MUs, satisfying the above properties that each partial translation $M_{nmt}(S_k)$ will not change by the incoming words. And our goal is to find a segmentation $S_{MU}$ with appropriate granularity.

### 2.2 Basic Method for Constructing Training Data

We propose a simple method to generate MUs for a source sentence $x = \{x_1, x_2, ... x_T\}$. The main idea is that, for a prefix $x_{<t} = \{x_1, x_2, ... x_t\}$ (1 ≤ $t$ ≤ $T$), if its translation $y^t = M_{nmt}(x_{<t})$ is also a prefix of the full sentence translation $\tilde{y} = M_{nmt}(x)$, we take $x_t$ as a boundary of MU. The reason is that, in this case, the translation of $x_{<t}$ is not affected by more source words, indicating that the information of the current source sequence is sufficient to generate an accurate partial translation. To keep the MU as short as possible, we incrementally input the source text word-by-word to an MT model and detect whether the translation $y^t$ of current source sequence is a prefix of the full-sentence translation $\tilde{y}$. If the answer is true, then we segment the current source sequence as an MU. Otherwise, the model continues reading more source words.

Note that once an MU is detected, its translation
is fixed. To keep consistency, when detecting a new MU, we first force decode the translation of previous MUs and then decode the new sequence. The whole process is described in Algorithm 1. The algorithm reads source sequence word-by-word (Line 3), and generates translation by force decoding using the history translation of previous detected MUs, denoting as tgt\_force (Line 4). The sequence is detected as an MU if its translation is a prefix of the full-sentence translation (Line 5).

The above algorithm is simple, however, there are two main problems. First, the constraint that \( y^t \) is a prefix of \( \tilde{y} \) (Line 5) is too strict. To alleviate this problem, we expand the full-sentence translation \( \tilde{y} \) to a set of candidates through beam search \(^3\).

The second problem is that the translation model \( M_{nmt} \) is trained on sentence pairs used for text translation rather than simultaneous translation. There are often long-distance reorderings in the training corpus, which have been learned by the translation model and prevent the basic method from extracting fine-grained MUs. See Figure 2 for illustration, the initial part of the source is translated to a sequence at the end of the target (in bold) in the basic method. This makes all the translation of \( x \) prefixes fail to match the full translation, resulting in only one MU could be extracted, as the whole sentence itself. For this problem, we propose a refined method to train an NMT model \( M_{nmt} \) with fewer reorderings.

2.3 Refined Method for Constructing Training Data

The process of the refined method is described as below:

\(^3\)In this paper, we keep top \( N = 10 \) results as candidates

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**Algorithm 1: Extract MUs**

**Input:** \( x = x_1, \ldots, x_T \) \( \triangleright \) streaming input

**Output:** \( S_{MU} \) \( \triangleright \) list of MU segmentation

1. \( k = 0 \) \( \triangleright \) position of last MU boundary
2. \( \tilde{y} = M_{nmt}(src = x, tgt\_force = None) \) \( \triangleright \) full sentence itself. For this problem, we propose a refined method with fewer reorderings.

3. **while** Reading \( x_t \) **do**
4. \( y^t = M_{nmt}(src = x_{\leq t}, tgt\_force = y^k) \)
5. **if** \( y^t \) is a prefix of \( \tilde{y} \) **then**
6. \( S_{MU} = S_{MU} \cup \{ x_{k+1}, \ldots, x_t \} \)
7. \( k = t \)
8. **return** \( S_{MU} \)

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Figure 2: A running example of extracting MUs. Using the refined method, we obtain three MUs according to the matching of partial translation and full translation. While due to the long-distance reordering of full translation in the basic method, we cannot extract short MUs. The gray blocks denotes the tgt\_force parts.

1. Use standard sentence aligned parallel corpus to pre-train an NMT model \( M_{nmt} \):
2. Generate monotonic translation for each source sentence in the corpus using \( M_{nmt} \) with prefix-attention. \(^4\)
3. Use the generated training data to train a monotonic translation model \( M'_{nmt} \) by fine-tuning on \( M_{nmt} \).
4. Use \( M'_{nmt} \) to extract MUs on the training corpus according to Algorithm 1.

**Prefix-attention.** To generate monotonic translation, we propose a method that each target word \( y_j \) is generated by \( g(j) \) as a monotonic non-decreasing function that denotes the current source position the encoder observed from the beginning. At decoding step \( j \), only a prefix source sequence \( x_{\leq g(j)} = \{ x_1, x_2, \ldots, x_{g(j)} \} \) can be used to generate \( y_j \), where \( 0 < g(j) \leq T \).

The key issue is how to carefully choose \( g(j) \) for each target word \( y_j \). Our main idea is that, to generate target word \( y_j \), we expect the model to

\(^4\)From the translation results produced by \( M_{nmt} \) with prefix attention, we filter out two kinds of low-quality sentences: 1) Remove those sentences whose word orders are identical with their counterparts in corresponding full sentence translations. 2) Remove the translation whose score is lower than full-sentence translation.
shows the whole process of prefix-attention decoding. Given a streaming source sequence, the model produces correct translation. See Figure 3: A Chinese-English example for our prefix-attention decoding. Initially, we set \( g(j) = 1 \) for decoding step \( j = 1 \). For each decoding step \( j \), the algorithm first locates the maximum attention to \( a_j \) (Line 4), according to the following equation:

\[
    a_j = \arg \max_{t \in [1, g(j)]} \alpha_{jt}
\]

where,

\[
    \alpha_{jt} = \frac{\exp(e_{jt})}{\sum_{t' = 1}^{g(j)} \exp(e_{jt'})}
\]

If \( 1 \leq a_j < g(j) \), it means that the model can observe both history and future source context to generate \( y_j \). Otherwise, the model faces the risk of lacking future context. In this case, we expand \( g(j) \) by one more word.

2.4 The MU Segmentation Model

Our MU segmentation model is illustrated in Figure 1 (a) & (b). Given a streaming source sequence \( x = \{x_1, x_2, \ldots\} \), the model aims to detect whether a prefix of \( x \) constitutes an MU on-the-fly. The model takes two inputs: the source sequence \( c_t = \{x_{\leq t}\} \) and future words \( f_t = \{x_{t+1}, \ldots, x_{t+m}\} \), and outputs the probability of predicted label \( l_t \), denoting the context \( c_t \) being an MU (class 1) or not (class 0). \( m \) is a hyper-parameter as the number of future words. Larger \( m \) means to wait for more future words at inference time. In this paper, we set \( m = 2 \). \( c_t \) is considered as an MU if \( p(l_t = 1|c_t, f_t; \theta_{\text{model}}) \) is larger than a threshold \( \delta \).

In the training stage, we first extract the MUs in the training corpus according to the basic method (Section 2.3) or refined method (Section 2.2). Then we generate the training data for the MU detection model. For each sentence \( x = \{x_1, x_2, \ldots, x_N\} \) in
Table 2: The training samples for the MU detection model generated according to the MU segmentation result in Figure 2.

| $t$ | $c_t$ | $f_t(m=2)$ | $l_t$ |
|-----|-------|-------------|-------|
| 1   | shàngwǔ | 10 diǎn    | 0     |
| 2   | shàngwǔ 10 | diǎn wǒ | 0     |
| 3   | shàngwǔ 10 diǎn | wǒ qùle | 1     |
| 4   | shàngwǔ 10 diǎn wǒ qùle | qùle tǎng | 0     |
| 5   | shàngwǔ 10 diǎn wǒ qùle tǎng | gōngyùán | 0     |
| 6   | shàngwǔ 10 diǎn wǒ qùle tǎng | gōngyùán | 1     |

The training corpus, we generate $N$ samples. Each sample is a triple $<c_t, f_t, l_t>$ for $t = \{1, 2, ..., N\}$. If $x_t$ is a boundary of MU, we set $l_t$ to 1; otherwise 0. Take the extracted MUs in Figure 2 as example, we generate training samples as illustrated in Table 2. Note that for $t$ larger than $N - m$, we only use the remaining words in the sentence as future words, which is less than $m$. Our training follows the pre-training and fine-tuning framework (Devlin et al., 2018; Sun et al., 2019).

3 Experiments

We carry out experiments on two translation tasks: the NIST Chinese-English (Zh-En) translation task (2M sentences), and the WMT 2015 German-English (De-En) translation task (4.5M sentences). We use BLEU (Papineni et al., 2002) score to evaluate translation quality, and Average Lagging (Devlin et al., 2018) to measure latency.

3.1 Data Preprocess

We use an open-source Chinese Tokenizer to preprocess Chinese and apply Moses Tokenizer to preprocess English and German. For Zh-En, we validate on NIST testset 2006 and report results on testset 2003, 2004, 2005, and 2008. We use SentencePiece to implement byte-pair encoding (BPE) (Sennrich et al., 2016) for both Chinese and English by setting the vocabulary size to 20K and 18K, respectively. For De-En, we validate on testset 2013 and then report results on testset 2015. We utilize a joint vocabulary, with a vocabulary size of 32K. Notably, translation quality in all experiments is measured using detokenized, cased BLEU.

3.2 Model Settings

We compare our methods with previous state-of-the-art methods:

- **wait-k (Ma et al., 2019):** first waiting for $k$ words, then emitting one token after reading each word.

- **chunk:** extracting the training segments by segmenting the source sentence into minimally sized chunks such that crossing and one-to-many links between source and target words in an optimal GIZA++ alignment occur only within individual chunks. We borrow this idea of training samples generation from Rangarajan Sridhar et al. (2013).

- **MILk (Arivazhagan et al., 2019):** using hard attention to schedule the policy and train the policy together with the NMT model in an end-to-end framework. It uses a weight $\lambda$ in the loss function to balance translation quality and latency. ⁹

- **MU:** our proposed basic method of translating after detecting a meaning unit.

- **MU++:** our proposed refined method to detect fine-grained meaning units.

The training of segmentation models for chunk, $MU$ and $MU++$ are based on the classification task of BERT ¹⁰ and ERNIE ¹¹, with the pre-trained language model of German and Chinese, respectively. We use the base model and take the learning rate of $2e^{-5}$ at the fine-tuning stage.

Our translation models are trained on big Transformer (Vaswani et al., 2017). All the approaches share the same machine translation corpus except $MU++$, which is trained on the augmented training corpus generated by *prefix-attention* (Section 2.3).

3.3 Overall Results

3.3.1 Chinese-English Translation

Figure 4 shows the translation quality and latency on Chinese-English translation tasks. We have the following observations:

- Our methods, both $MU$ and $MU++$, outperform *wait-k* and *chunk* method in terms of translation quality and latency.

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⁹https://github.com/SimulTrans-demo/STACL
⁸https://github.com/moses-smt/mosesdecoder/blob/master/scripts/tokenizer/tokenizer.perl
⁷https://github.com/fxsjy/jieba
⁶https://github.com/PaddlePaddle/ERNIE
⁵https://github.com/SimulTrans-demo/STACL
⁴https://github.com/google-research/bert
¹¹https://github.com/PaddlePaddle/ERNIE
¹⁰https://github.com/google-research/bert
We report the averaged results on NIST02, NIST03, NIST04, NIST05, and NIST08. Note that each method has its own performance on full-sentence translation, which is denoted as “+” with the same color with the corresponding method. \( \delta \) is the threshold of the MU detection model (Section 2.4).

Figure 5: Quality-latency results on the WMT15 German-English dataset.

• With the increase of \( \delta \) (the threshold for MU detection, in Section 2.4), the quality is improved while the latency is also increased. In practice, \( \delta \) can be tuned to obtain a trade-off between quality and latency according to real requirement.

• Compared to MU, MU++ significantly reduces latency while causing a drop in quality. A possible reason is that the references in the test set are produced via text translation and contain many long-distance reorderings. But MU++ is designed to produce translation with less reordering. We’ll further analyze this issue in Section 3.4.

3.3.2 German-English Translation

Figure 5 shows the De-En translation results. When the average lagging is larger than 8, our model’s translation quality outperforms the other models. Note that low latency in other models performance causes a large decrease in BLEU scores.

For the joint learning method, MILk, its full-sentence performance is limited by the RNN architecture, which is inferior to the Transformer. Furthermore, its full-sentence translation model uses a bidirectional encoder, while the streaming model uses unidirectional encoders, resulting in the performance gap in its full-sentence model and streaming model. Both models in our approaches, on the contrary, use the bidirectional encoder, thus avoiding such gaps.

It’s interesting to find that the trend of MU and MU++ is different from that of the Zh-En experiment. According to Figure 4, MU++ is inferior to MU, achieving low latency while impairing the translation quality. But in De-En translation, MU++ performs better than MU. We analyze this in the next section.

3.4 Test on Reference with Simulated Simultaneous Interpretation

We randomly select 200 sentences in Zh-En and De-En, respectively, from the corresponding test set and ask human translators to translate them in the way that they do simultaneous interpretation. For example in Figure 6 (“Simul-Ref”), “next week” appears at the initial position of the target sentence, keeping the order of the “Source”. We also list the translation process of the comparing methods. Using the re-translated text as references, we evaluate both MU and MU++ with flexible latency (\( \delta = 0.3, 0.5, 0.7, 0.9 \)) on the test sets. The performance on the new Zh-En test set is depicted in Figure 7. MU++ presents shorter latency as well as more promising quality on this dataset compared to MU. Another finding is the quality of MU degrades even with a larger \( \delta \). We attribute this to the inconsistency between reference and translation of MU, because longer MU may further cause long-distance reordering. This also explains that the superiority of MU to MU++ in the original test set is due to the distribution inconsistency.

The performance of De-En is illustrated in 8, in which the performance of the two methods is in line with that on the original test set: MU++ performs slightly better than MU. The reason is that in
The police will indict some of the people involved in the case next week.

**Simul-Ref:** Next week, police will indict some of the people involved in the case.

**chunk**

| Source: | Text-Ref: | Simul-Ref: |
|--------|-----------|------------|
| jǐngfāng xiàzhōu | Police will indict some of the people involved in the case next week. | Police next week, will indict some of the people involved in the case. |
| jīng dui | for part of the people involved |
| bù ēn | will prosecute part of the suspects. |
| shè àn | The police will bring lawsuits against some of the suspects next week. |
| rén yuán | will bring lawsuits against some of the suspects |
| tì qǐ gōng sù | Next week, the police will bring lawsuits against some of the suspects. |

Figure 6: A Chinese-English example in the test set with the original text translation reference (“Text-Ref”) and the simultaneous interpretation reference (“Simul-Ref”). Both chunk and wait-3 generates incorrect translation. But **MU** and **MU++** translates accurately. Furthermore, **MU++** avoids long-distance reordering by keeping “xiàzhōu (next week)” in order with the source sentence, and thus reduces latency.

Figure 7: Performance of Zh-En on 200 sentences with simultaneous interpretation reference (Simul-Ref).

Figure 8: Performance of De-En on 200 sentences with simultaneous interpretation reference (Simul-Ref).

We evaluate the performance of **MU** and **MU++** at \( \delta = 0.7 \), which is the point of achieving relatively high translation quality with limited latency. The evaluated performance of the 200 sentences in Zh-En and De-En is reported in Table 3. We define the overall acceptability as a percentage of the sum of **OK** and **Good** cases. It is obvious that the acceptability of **MU** and **MU++** shows a consistent trend with their BLEU in Figure 7 and Figure 8 that **MU++** performs slightly worse in Zh-En but the opposite in De-En. However in both language pairs, **MU++** achieves a lower latency.

### Table 3: The human evaluation of the Zh-En and De-En translation on 200 sentences with \( \delta = 0.7 \).

| Language | Method | Bad (%) | OK (%) | Good (%) | Acceptability (%) |
|----------|--------|---------|--------|----------|--------------------|
| Zh-En    | **MU** | 28.5    | 49     | 22.5     | 71.5               |
|          | **MU++** | 30.0    | 46.5   | 23.5     | 70.0               |
| De-En    | **MU** | 23.5    | 54.5   | 22.0     | 76.5               |
|          | **MU++** | 22.0    | 57.0   | 21.0     | 78.0               |

We further ask human translators to evaluate the quality of **MU** and **MU++**. They rated each translation in **Bad**, **OK** and **Good** based on the translations’ adequacy, correctness and fluency:

- **Bad** indicates the translation is unacceptable and incorrect or inadequate.
- **OK** denotes the translation is comprehensible and adequate, but with minor errors such as incorrect function words and less fluent phrases.
- **Good** means a translation is correct and contains no obvious errors.

Recent simultaneous translation work focuses on exploring a policy to decide whether to wait for another source word or generate a target word. Ranagaran Sridhar et al. (2013) investigated a variety of policies depending on lexical cues. Oda et al. (2014) proposed to optimize a segmentation model with the target of achieving better translation quality. However, their performance is limited largely by weak features such as N-gram and POS. Some research learns the policy depending on reinforcement learning, with the goal of good translation quality and low latency (Grissom II et al., 2014; Satija and Pineau, 2016; Gu et al., 2017; Aline-
But reinforcement learning is notorious for its unstable training process. Cho and Esipova (2016) proposed a heuristic measure to determine the policy at inference time, without using a deep model. Ma et al. (2019) and Dalvi et al. (2018) applied fixed policy independent of contextual information, which inevitably need to guess the future context in translation (Zheng et al., 2019a). Some work applied advanced attention mechanisms that replace the softmax attention with a stepwise Bernoulli selection probability (Raffel et al., 2017). Arivazhagan et al. (2019) proposed infinite lookback to integrate the hard monotonic attention with soft attention. Ma et al. (2020) proposed multi-head monotonic attention and obtained further improvements. However, the autoregressive training process makes its exploration inefficient.

5 Conclusions

In this paper, we propose a novel adaptive segmentation policy for simultaneous translation. Motivated by human interpreters, the model constantly reads streaming text and dynamically segments it into meaning units. We first generate training data for MU via a translation-prefix based method, keeping consistency between the segmentation model and the translation model. Further, we propose a refined-method to extract fine-grained MUs to reduce latency. Experimental results on both Chinese-English and German-English show that our model outperforms the previous state-of-the-art. The method obtains a good trade-off between translation accuracy and latency and can be easily implemented into a practical simultaneous translation system.

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