Nontrivial topological flat bands in a diamond-octagon lattice geometry

Biplab Pal

Max Planck Institute for the Physics of Complex Systems, Nöthnitzer Str. 38, 01187 Dresden, Germany

(Dated: August 29, 2018)

We present the appearance of nearly flat band states with nonzero Chern numbers in a two-dimensional “diamond-octagon” lattice model comprising of two kinds of elementary plaquette geometries, diamond and octagon respectively. We show that the origin of such nontrivial topological nearly flat bands can be described by a short-ranged tight-binding Hamiltonian. By considering an additional diagonal hopping parameter in the diamond plaquettes along with an externally fine-tuned magnetic flux, it leads to the emergence of such nearly flat band states with nonzero Chern numbers for our simple lattice model. Such topologically nontrivial nearly flat bands can be very useful to realize the fractional topological phenomena in lattice models when the interaction is taken into consideration. In addition, we also show that perfect band flattening for certain energy bands, leading to compact localized states can be accomplished by fine-tuning the parameters of the Hamiltonian of the system. We compute the density of states and the wavefunction amplitude distribution at different lattice sites to corroborate the formation of such perfectly flat band states in the energy spectrum. Considering the structural homology between a diamond-octagon lattice and a kagome lattice, we strongly believe that one can experimentally realize a diamond-octagon lattice using ultracold quantum gases in an optical lattice setting. A possible application of our lattice model could be to design a photonic lattice using single-mode laser-induced photonic waveguides and study the corresponding photonic flat bands.

I. INTRODUCTION

The physics of flat band (FB) systems has drawn an immense research attention in recent years [1–15]. One of the main reasons why such dispersionless flat bands are of great interest to the physics community is that, they give rise to highly degenerate manifold of single-particle states, which can act as a good platform to study rich strongly correlated phenomena. In a two-dimensional electron gas (2DEG) subject to a strong magnetic field, highly degenerate flat Landau levels are formed. It is well-known that completely filled Landau levels exhibit integer quantum Hall effect [16] while partially filled Landau levels give rise to fractional quantum Hall effect [17]. Generation of nontrivial flat bands with nonzero Chern number in 2D tight-binding lattice models may be treated as the lattice counterpart of the Landau levels appearing in continuum. Hence occurrence of nontrivial flat bands in simple 2D lattice settings can play a pivotal role in investigating profound topological phenomena in lattice systems.

These macroscopically degenerate flat bands with vanishing bandwidth arise in the band structure of tight-binding lattice models due to destructive quantum interference of electron hoppings resulting in formation of highly localized single-particle states pinned at different atomic sites of the lattice. Such highly localized states corresponding to the flat band energies are often attributed to form compact localized states (CLS) [7, 10], modes where the wave function amplitudes remain nonzero over a finite number of lattice sites beyond which they sharply decay to zero. These flat bands have been found really useful to investigate diverse intriguing phenomena in condensed matter physics, viz., ferromagnetism and antiferromagnetism in Hubbard models [18–20], superconductivity in 2D Dirac materials [21], superfluidity [22], and unconventional Anderson localization [23, 24] to name a few of them.

Because of the emergence of such striking features, flat band systems have been a constant source of new ideas to identify exciting novel phenomena involving the interplay between topology and quantum physics. On top of that, over the last couple of years some remarkable experiments featuring flat bands in photonic waveguide networks [25–32], exciton-polariton condensates [33, 34], and ultracold atomic condensates [35, 36] have ushered new light into this exciting research arena. Spurred by such impressive experimental results, the search for new interesting models with nontrivial flat band physics and understanding their usefulness in different lattice geometries have taken an inspiring shape in the last few years. In recent times, some exciting theoretical investigations like the role of chiral symmetry on flat bands in a series of tight-binding lattice geometries [13], formation of topological flat Wannier-Stark bands in presence of an electric field in a bipartite dice network [14], and the emergence of flat bands in fractal-like geometries with various interesting band features [15] have enriched the recent literature, revealing different subtle issues about flat bands in various lattice geometries.

One of the key challenges in generating FB states is to keep the hoppings to be short-ranged. One may use the spectral flattening technique, i.e., adiabatically transforming the original Hamiltonian to a new one with FB states. However, that may often lead to long-range hoppings to be considered in the underlying Hamiltonian.

*Electronic address: biplabpal@pks.mpg.de
nian [1], which could be difficult to realize experimentally. In the present communication, we propose and study a discrete 2D diamond-octagon lattice model with short-ranged hopping and an external magnetic flux piercing through the diamond plaquettes. This setting leads to the appearance of nontrivial nearly flat band states bearing topological properties. Such lattice model has been incorporated in recent times to study different interesting phenomena like topological phase transition induced by spin-orbit coupling and non-Abelian gauge fields [37], rich magnetic and metal-insulator phases with Hubbard interaction [38], and quantum magnetic phase transition with a competitive effect between the temperature and interaction [39], and quantum magnetic phase transition induced by spin-orbit coupling and non-Abelian gauge fields [37].

In this work we analyze the band spectrum of diamond-octagon lattice in a single particle picture. This lattice geometry realizes a four-band model in the momentum space. Each diamond-shaped loop is pierced by a uniform external magnetic flux which breaks the time-reversal symmetry appending an Aharonov-Bohm phase [40] to the hopping parameter along the arms of each diamond plaquette. This leads to gapping out of the band spectrum with bands having nonzero Chern numbers. We furthermore show that one can fine-tune the hopping parameters along with a suitable value of the magnetic flux to achieve the optimal band flatness for the bands having the nontrivial topological index in the form of nonzero Chern number. It has been argued previously by other groups that perfect flatness for a band in real materials is not a stringent requirement provided that the bandwidth remains much smaller than the band gap [1–3]. These nearly flat bands having strong resemblance with the Landau levels appearing in a continuum 2DEG model, set up a good foundation to explore new strongly correlated topological states of matter. We note that such tight-binding lattice models with a variety of lattice geometries such as Lieb [41, 42], kagome [43], honeycomb [44], square [45] etc. have been proposed to be realized using ultracold fermionic or bosonic atoms in optical lattices.

In what follows, we give an illustration of our model and present the important findings. In Sec. II, we introduce our lattice model and discuss the short-ranged tight-binding Hamiltonian describing the spinless particles moving on the lattice. In Sec. III, we discuss the condition for generating the nearly flat bands in the band spectrum, and present the results for the Berry curvature and the Chern numbers corresponding to the nontrivial topological flat bands. This is followed by Sec. IV, where we describe how to create perfect flat bands in the band structure by tuning the combination of hopping parameters and the magnetic flux. We also compute the average density of states and the wavefunction amplitude distribution on different lattice sites corresponding to such perfect flat band states. In Sec. V, we depict the scope of a possible experimental set up using single-mode photonic waveguide structure to realize our lattice model in an actual experiment. Finally, in Sec. VI, we draw our conclusion with a summary of our results and their utility with the scope of future study in this direction.

II. THE MODEL AND THE MATHEMATICAL FRAMEWORK

We consider a diamond-octagon lattice model on a two-dimensional plane comprising of two elementary plaquette geometries, viz., diamond and octagon respectively as shown in Fig. 1. The building block of the lattice structure is a diamond-shaped loop consisting of four atomic sites. This basic unit cell is repeated periodically over a two-dimensional plane to form the whole lattice structure. Each diamond plaquette is pierced by a uniform magnetic flux perpendicular to the plane of lattice which introduces an Aharonov-Bohm phase to the hopping parameter when an electron hops along the boundary of a diamond loop. The tight-binding Hamiltonian of this model in Wannier basis can be written as,

$$H = \sum_{m,n} \left[ \sum_i \epsilon_i c_{m,n,i}^\dagger c_{m,n,i} \right] + \sum_{i,j} T_{ij} c_{m,n,i}^\dagger c_{m,n,j} + \text{H.c.},$$

where the first summation runs over the unit cell index $(m,n)$ as shown in Fig. 1. $c_{m,n,i}^\dagger$ $(c_{m,n,i})$ is the creation (annihilation) operator for an electron at site $i$ in the $(m,n)$-th unit cell and $\epsilon_i$ is the on-site potential for the $i$-th atomic site. $T_{ij}$ is the hopping parameter between the $i$-th and the $j$-th sites, and it can take two possible values depending on the position of the sites $i$ and $j$. $T_{ij} = t$ for an electron hopping along the boundary

![FIG. 1: Schematic diagram of a 2D diamond-octagon lattice model. The unit cells are marked by dotted lines and consist of four atomic sites. The hopping parameter along the arms of the diamond and the octagon plaquettes is denoted by $t$, and the diagonal hopping integral inside each diamond plaquette is represented by $\lambda$. Each diamond plaquette is threaded by a uniform external magnetic flux $\Phi$. The arrowheads in the counterclockwise direction indicate the direction of the forward hopping in presence of $\Phi$.](image-url)
of a diamond plaquette or along the line in between two consecutive diamond loops, and $T_{ij} = \lambda$ for an electron hopping along the diagonals inside a diamond plaquette. Each diamond plaquette is pierced by an external magnetic flux $\Phi$ which incorporates an Aharonov-Bohm phase $\Theta = 2\pi \frac{\Phi}{4\Phi_0}$, $\Phi_0 = \hbar c/e$ being the fundamental flux quantum, and the sign $\pm$ in the exponent indicates the direction of the forward and the backward hoppings.

By adopting a momentum ($k$) space description using a discrete Fourier transform, the Hamiltonian in Eq. (1) can be recast as,

$$H = \sum_k \Psi_k^\dagger \mathcal{H}(k) \Psi_k,$$

where $\Psi_k^\dagger \equiv \left( c_{k_x,k_y,A}^\dagger, c_{k_x,k_y,B}^\dagger, c_{k_x,k_y,C}^\dagger, c_{k_x,k_y,D}^\dagger \right)$, and $\mathcal{H}(k)$ is given by,

$$\mathcal{H}(k) = \begin{pmatrix} 0 & te^{i\Theta} & te^{ik_y} + \lambda & te^{-i\Theta} \\ te^{-i\Theta} & 0 & te^{i\Theta} & te^{-ik_x} + \lambda \\ te^{-ik_y} + \lambda & te^{-i\Theta} & 0 & te^{i\Theta} \\ te^{i\Theta} & te^{ik_x} + \lambda & te^{-i\Theta} & 0 \end{pmatrix}.$$ 

We have taken $\epsilon_i = 0$, $i \in \{A, B, C, D\}$. One can extract all the interesting features about the band structure of the system from Eq. (3). The results are presented in the next section.

III. GENERATION OF NEARLY FLAT TOPOLOGICAL BANDS

The standard prescription for investigating any special feature of a lattice model is to frame the tight-binding Hamiltonian in $k$-space, and then minutely study its band structure by playing around the parameters of Hamiltonian, namely, short-ranged hopping strengths, or some external perturbations like magnetic field, electric field, disorder etc. These effects often lead to some interesting topological properties in simple tight-binding lattice models. In the present study we embark on such a lattice geometry. Our aim is to discover whether this lattice structure can show up some nontrivial topological properties in its band structure under certain special condition of the parameters space of the corresponding Hamiltonian.

We start our journey with the Hamiltonian written in a $k \equiv (k_x, k_y)$-space description for our lattice model in Eq. (3). We diagonalize the Hamiltonian in Eq. (3) to get the band spectrum for our model. It is apparent from Eq. (3) that the Hamiltonian breaks the time-reversal symmetry for a nonzero value of the Aharonov-Bohm phase $\Theta$, which implies to have $\Phi \neq 0$. Breaking of such time-reversal symmetry in the system by introduction of a complex phase factor in the hopping parameter through a staggered magnetic flux [46, 47] or through some artificial gauge field [1, 48] have insightful consequences on the band structure as well as to the topological properties of the system as evinced in other previous important studies. At $\Phi \neq 0$, band gap opens up in between different bands of our system. In presence of such gap opening in the system, we have calculated the Chern numbers corresponding to different bands of the system, and discovered that two of the bands possess nonzero Chern numbers indicating nontrivial topological character of those bands. The scenario in which we are interested in, is to have the optimized flatness of the bands carrying nonzero Chern numbers. To acquire such a condition, we optimize the values of parameters of the Hamiltonian such as the hopping strengths and the external magnetic flux. It turns out that for the optimized nearly flat bands with nonzero Chern numbers, the values of the parameters are found to be $\Phi = \Phi_0/10$, $t = 1$, and $\lambda = 1$. We note that for a minimal deviation from these particular values of the parameters, the band features will not alter a lot. Thus there is a realistic possibility to realize our results in an actual experimental situation where one needs a little bit of relaxation on the exact conditions of the parameters.

In order to study the topological properties of the bands we calculate the Berry curvature of all the bands using the standard formula [49, 50] given by,
\[ \Omega_n(k) = \sum_{m \neq n} \frac{-2 \text{Im} [\langle U_n(k) | \partial \mathcal{H}(k)/\partial k_x | U_m(k) \rangle \langle U_m(k) | \partial \mathcal{H}(k)/\partial k_y | U_n(k) \rangle]}{(E_n - E_m)^2}, \]  

(4)

where \( U_n(k) \) is the \( n \)-th eigenstate of \( \mathcal{H}(k) \) with an energy eigenvalue \( E_n(k) \). Using Eq. (4), one can easily evaluate the value of the Chern number for each of the bands of the system using the following expression,

\[ C = \frac{1}{2\pi} \int_{BZ} \Omega_n(k) dk, \]

(5)

where \( BZ \) stands for the first Brillouin zone of the corresponding lattice structure. We have taken the lattice constant \( \alpha \) to be unity throughout our calculations. Using the above prescription, we have discovered that in our four-band lattice model, two of the bands, \( n = 3 \) and \( n = 1 \), possess nonzero Chern numbers \( C = \pm 1 \) exhibiting the topological character, and the remaining two bands are topologically trivial with \( C = 0 \) as indicated in Fig. 2. We show the variations for the Berry curvature in the momentum space corresponding to the topologically nontrivial bands in Fig. 3. One can easily observe the distinct features appearing in the Berry curvature distributions for the topologically nontrivial bands as apparent from Fig. 3(a) and (b).

One of the most exciting features about our result is that the bands having nonzero Chern numbers are nearly flat. Such nearly flat bands with nonzero Chern numbers can be thought of as the lattice analogue of the Landau levels appearing in a continuum system. Thus, at fractional filling, our lattice model can act as a potential setting to investigate and understand the fractional quantum Hall physics in a lattice model with the interactions between the particles being treated as just subleading corrections. We note that the inclusion of the diagonal hopping \( \lambda \) in between the sites inside the diamond plaquette in our model plays an important role in generating the flat bands for our system. Such diagonal hopping parameters in between the lattice sites have been taken into consideration previously for some other interesting tight-binding lattice models [1, 51]. One can easily verify that, in absence of \( \lambda \), the band structure of our lattice model will give rise to two interpenetrating conical shapes as shown in Ref. [39]. We also note that some interesting topological quantum phase transitions have been reported earlier [52] on the similar lattice structures considering some other additional hopping parameters in the system. They have also discussed the possibility of having higher Chern numbers for the bands for certain other parameter regimes. However, in our model we only consider short-ranged hopping parameters to show the topological properties of the band structure. In addition to that, we have discovered that perfect band flattening can also be achieved for our model for certain combinations of the parameter values. This is discussed in detail in the next section.

IV. FORMATION OF PERFECT FLAT BANDS

The focus of this section is to explore the conditions for obtaining the complete FB states for our lattice system. In tight-binding lattice models often the interplay between the lattice topology and the destructive quantum interference among the particle hoppings lead to formation of perfect FB states. The particles in these states do not hop to the neighboring lattice sites and form highly localized states. The effective mass of the particles in such situation can be thus viewed as infinite. This phenomenon can appear both in absence and in presence of broken time-reversal symmetry in the lattice systems [46, 47].

First we analyze the case with \( \Phi = 0 \) i.e., in absence of time-reversal symmetry breaking. For \( \Phi = 0 \), our lat-
The FB states in the ADOS spectrum are indicated by red arrowheads. The FB states at energy $E_{FB} = 0$ and $-2t$ respectively as shown in Fig. 4(a). The two flat bands are accompanied by two dispersive bands in the spectrum, one of which is completely isolated from the rest of the bands and the other one is sandwiched in between the two perfect flat bands. This is in marked contrast with the frustrated hopping models, in which the dispersionless energy band occurs only at the maximum or minimum of the spectrum in absence of any magnetic field [46, 53]. Such flat bands appearing in absence of any magnetic flux allow for the formation of compact localized states (CLS) [10, 13]. In CLS, the compact eigenstates are perfectly localized over a few lattice sites, with exactly vanishing wavefunction amplitudes on all other sites [13, 15]. Using a standard technique [15], we have worked out the distribution of wavefunction amplitudes at different lattice sites corresponding to the CLS for our model. The results are presented in Fig. 5. It is clear from Fig. 5(a) and (b) that the wavefunctions corresponding to the FB states are localized over a couple of lattice sites with nonzero amplitudes (marked by dark colored circles), and beyond that the wavefunction amplitudes decay to zero (marked by light gray circles).

Next we consider the scenario with $\Phi \neq 0$, which breaks the time-reversal symmetry for our model. In presence of the time-reversal symmetry breaking, the bands are gapped out. It turns out that for any generic value of the magnetic flux, the FB states get destroyed, leading to dispersive bands in the band spectrum with opening of gaps in between them. However, we have discovered that for certain special values of the magnetic flux $\Phi$, the FB states re-emerge in the spectrum. For example, an isolated completely FB state emerges at the energy $E_{FB} = -t$ in the spectrum for a value of the magnetic flux $\Phi = \Phi_0/3$ as depicted in Fig. 4(b). The resulting FB ($n = 2$) however turns out to be topologically trivial with zero Chern number while the dispersive bands, namely, the lowest ($n = 1$) and the third ($n = 3$) one show up topologi- cal character with nonzero integer values of the Chern numbers, viz., $C = -1$ and $+1$ respectively. We note that this result is consistent with the previous interesting studies on other similar tight-binding lattice models such as kagome or hexagonal lattice [47] and Lieb lattice [54]. One can also have similar situation for $\Phi = 2\Phi_0/3$.

To substantiate the fact that the particles dwelling in a complete FB state are highly localized, we compute the average density of states (ADOS) corresponding to the results presented in Fig. 4(a) and (b). Using the standard Green’s function technique, ADOS can be defined as,

$$\rho(E) = -\frac{1}{N\pi} \text{Im} \left[ \text{Tr} G(E) \right],$$

where $G(E) = [z^+ I - H]^{-1}$ is the Green’s function with $z^+ = E + i\delta$ ($\delta \to 0^+$), $N$ is the total number of sites in the system, and ‘Tr’ denotes the trace of the Green’s function $G$. Using Eq. (6), we calculate the ADOS for our lattice model with a system size $L = 50 \times 50$, $L$ being the number of unit cells. The results are shown in Fig. 6(a) and (b). Evidently, the presence of highly localized spiky states exactly at the FB energies confirms the appearance of the complete FB states in our lattice model.
Before ending this section, we present the energy eigenvalue spectrum of the real-space Hamiltonian against the variation of the magnetic flux ($\Phi$) for a finite system with system size $L = 10 \times 10$. This is exhibited in Fig. 7. This result gives us the flavor about the real-space energy spectrum of the system in presence of $\Phi$. From Fig. 7, we can clearly observe the formation of multiple bands and gaps in the spectrum as a function of $\Phi$. A variation in the value of the magnetic flux leads to band overlapping in spectrum, and the whole pattern is flux periodic. The spectrum will be more and more dense as we increase the system size, but the overall shape will remain the same.

V. POSSIBLE EXPERIMENTAL REALIZATION OF THE MODEL

In this section, we will discuss the possibility of an experimental realization of our lattice model using photonic waveguide structure. The femtosecond laser-writing technique along with the aberration-correction methods [27] allow us for the precise fabrication of two-dimensional arrays of sufficiently deep single-mode waveguides. The advantage of such techniques over other photonic platforms is that the laser-writing parameters can be optimized to produce low propagation loss over a long distance implicating single-mode waveguides to operate at a particular wavelength. In addition to that, this method also gives us an efficient control over the inter-waveguide coupling strengths allowing us to explore different parameter regimes. Such techniques have been successfully implemented in recent times to accomplish experimental realization of flat bands in a Lieb photonic structure [26, 27], and soon followed by other photonic lattice geometries [28, 29, 31, 32].

![Fig. 8: Schematic representation of a possible proposed photonic waveguide network corresponding to the 2D lattice model depicted in Fig. 1. Each lattice site is substituted by single-mode waveguides to form the waveguide structure.](image)

Considering the structural homology of our lattice model in comparison with other 2D lattice geometries such as Lieb or kagome structure, we strongly emphasize that our lattice structure can be fabricated expeditiously using photonic waveguides to study the formation of the flat bands and other related interesting properties. A schematic representation of such possible photonic waveguide structure corresponding to our lattice geometry has been displayed in Fig. 8. The effect of the external magnetic field can be simulated in a coupled waveguide network by incorporating a synthetic magnetic field through a proper longitudinal modulation of the propagation constants of the waveguides [29]. The phenomenon of time-reversal symmetry breaking in circuit-QED based photon lattices has also been reported earlier [55]. Such mechanisms could be helpful to accomplish our results in presence of an external magnetic field in an actual experimental setup using photonic waveguides. In addition to the advancement of the fundamental understanding of the physics of flat bands, the photonic flat band networks can also have technological importance in photonics, such as slow light propagation [56], where the suppression of the wave group velocity can provide enhancement of nonlinear effects, and promising solution for buffering and time-domain processing of optical signals. Our lattice model implemented using a photonic waveguide network can provide a potential platform to realize such useful devices in photonics. Apart from the photonic lattice structure, there has been a remarkable technological advancement in developing artificial lattice structures using ultracold atomic condensates in optical lattices [57]. This can also be utilized to engineer our lattice model in experiments, and study its interesting novel properties in a very controllable and clean environment without the presence of the impurities appearing in a typical solid state system.

VI. SUMMARY AND FUTURE OUTLOOK

In this paper, we have investigated the energy spectrum of a tight-binding diamond-octagon lattice model containing flat band states. We have perceived that for a suitable combination of the hopping parameters and an external magnetic flux, it is possible to realize nearly flat band states with nonzero Chern numbers for this model. The presence of such bands in the energy spectrum may lead to a very interesting scenario for incarnating strongly correlated electronic states with nontrivial topological properties. For a fractional filling in the ground state of our system, one can envision the fractional quantum Hall physics in a lattice model. In addition to that, we have also revealed the existence of perfectly flat band states in our lattice model, forming compact localized states. The calculation of the density of states and the wavefunction amplitude distribution on lattice sites corroborate the formation of the compact localized states corresponding to the flat band states in our model. Our work has put forward a simple example of a 2D tight-binding lattice model to understand certain important
flat band physics in a lattice system. We believe that the experimental realization of our model using ultracold atoms in optical lattices is definitely on the card, and may unfold interesting topological phases of matter. One can also fabricate a photonic diamond-octagon lattice using single-mode photonic waveguides controlled by femtosecond laser pulses [27, 28] to study the photonic flat bands in such a lattice model. The investigation of the robustness of these flat band states encountered in our model under different perturbing effects such as spin-orbit interaction, disorder etc. could be an open direction for further exploration.

Acknowledgments

The author gratefully acknowledges the computational facility provided by MPIPKS. The author would also like to thank K. Saha and B. Roy for helpful discussions, and N. S. Srivatsa for a critical reading of the manuscript.

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