Uniform Spheres of α-NaYF₄:RE³⁺ (RE=Eu, Tb, Ce, Er, and Tm): Template-Free Synthesis, Multi-Color Photoluminescence, and Their Application in Cellular Imaging

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Abstract: Uniformly dispersed luminescent probes with a high brightness and high resolution are desired in bio imaging fields. Here, ~100 nm sized and well-dispersed spheres of RE³⁺ doped α-NaYF₄ (rare earth (RE) = Eu, Tb, Ce, Er, and Tm) have been facile synthesized through hydrothermal processing in the absence of a template, followed by a proper annealing. The processing window of the cubic structured spheres is wide, because the hydrothermal products are independent of the processing conditions, including reaction time and temperature. The original morphology and crystal structure can be well retained with a calcination temperature up to 600 °C. However, calcination gives rise to a reduction of particle sizes, as a result of the crystallite growth and densification. Under ultraviolet radiation, α-NaYF₄:RE³⁺ spheres show characteristic f-f emissions of RE³⁺ (RE = Eu, Tb, Ce, Er, and Tm), and exhibit orange red, green, ultraviolet (UV), blue green, and blue emissions, respectively. Mainly because of the near-infrared emission at ~697 nm (5D₀→7F₄ transitions of Eu³⁺), the successful imaging of macrophages was achieved by NH₂-NaYF₄:Eu³⁺ probes, indicating their excellent imaging capacity for cells in vitro.

Keywords: α-NaYF₄; well-dispersed spheres; luminescence; near infrared; cell imaging

1. Introduction

In rare-earth ions, including RE²⁺ and RE³⁺ (rare earth—RE), activated phosphor is an important and attractive luminescence material, owing to the fact that RE ions can exhibit sharp fluorescent emissions via intra-4f or 4f-5d transitions [1]. However, the luminescence efficiency is significantly dependent on two factors, phonon energy and energy gap of the host [2,3]. Low phonon energy gives rise to the high possibility of radiative transition, as well as to a high luminescence efficiency [2,3]. Therefore, an appropriate luminescent host is very important for efficient rare earth phosphors. Rare-earth-doped fluoride is one of the best down-/up-conversion luminescence materials, because it has a high luminescence efficiency and photo-stability [1–3]. Rare earth fluorides, including hexagonal/orthorhombic REF₃ [4–6] and hexagonal/cubic NaREF₄ (rare earth—RE) [7–9], are four typical host lattices for down-/up-conversion luminescence. Two crystal structures are always observed for NaREF₄, which are a cubic α-phase (metastable high temperature phase) and a hexagonal β-phase (thermodynamically stable low-temperature phase) [4]. Recently, both experimental and theoretical studies indicate that Mn²⁺ could be incorporated in the cubic host lattice of α-NaYF₄, because of
the formation of $F^-$ vacancies around $\text{Mn}^{2+}$ ions for charge neutrality [7]. The incorporation of $\text{Mn}^{2+}$ gives rise to the efficient multiphoton up-conversion process under pulsed laser excitation at high power densities [7,8]. In addition, doping $\text{Mn}^{2+}$ in $\alpha$-NaYF$_4$ yielded a green persistent luminescence, mainly caused by the charge compensation defects [8]. However, doping $\text{Mn}^{2+}$ in the hexagonal host of $\beta$-NaYF$_4$ is impossible, because of the incompatibility of $\text{Mn}^{2+}$ ions [7]. This suggests that the crystal structure of the host lattices exhibited a selective compatibility of dopant ions, and $\alpha$-NaYF$_4$ is an appropriate luminescent host for popular activators’ incorporation. On the other hand, mainly because of the isometric cubic structure, the final products of NaYF$_4$:RE$^{3+}$ could exhibit a sphere-like shape [7,8,10]. However, octahedrons [11], rods [12], and tubes [13] are always found for RE$^{3+}$-doped $\beta$-NaYF$_4$ microcrystals, mainly because of the crystal habit of the hexagonal structure.

It is widely accepted that luminescence behavior is significantly dependent on the size, shape, and dimensionality of micro-/nano-materials, except for their crystal structures and compositions. Recently, increased attention has been paid to uniformly dispersed luminescent nanomaterials with a high brightness and high resolution because of their wide applications in display and bio imaging fields. As the display resolution can be improved by decreasing the pixel size, fine phosphor particles of a narrow size distribution and spherical shape are highly desired. In this work, submicron-sized monodispersed spheres of RE$^{3+}$ doped $\alpha$-NaYF$_4$ ($\text{RE} = \text{Eu, Tb, Ce, Er, and Tm}$) have been autoclaved from mixed solutions of the rare earth nitrate solutions and NH$_4$F, in the presence of EDTA-2Na (ethylenediamine tetraacetic acid disodium salt). The luminescence behavior of the spheres and their application in cellular imaging were investigated in detail. We believe that the outcome of this work may offer wide implications for other luminescence materials systems.

2. Experimental

2.1. Synthesis

The starting rare earth materials were rare earth oxides, and all of the 99.99% pure products were purchased from Huizhou Ruier Rare-Chem. Hi-Tech. Co. Ltd. (Huizhou, China). The synthesis of RE$^{3+}$ doped $\alpha$-NaYF$_4$ ($\text{RE} = \text{Eu, Tb, Ce, Er, and Tm}$) was conducted according to a facile hydrothermal technique described in our previous work [8]. The doping content for Eu$^{3+}$ in $\alpha$-NaYF$_4$ is 5 at%, while the doping content for Tb$^{3+}$, Ce$^{3+}$, Er$^{3+}$, and Tm$^{3+}$ is 1 at%. Finally, the samples were calcined under flowing O$_2$ (200 mL/min) at 600 ºC for 2 h with a heating rate of 10 ºC/min.

2.2. Surface Functionalization

First, 100 mg of RE$^{3+}$ doped $\alpha$-NaYF$_4$ spheres was dispersed into 40 mL of a NaOH solution (5 mmol/L), followed by 1 h of sonication treatment. After vigorously stirring at room temperature for 24 h, the resulting colloid solution was treated by 1000 rpm centrifugation for 10 min to remove large particles. Then, a 10,000 rpm centrifugation for 10 min was conducted to collect the precipitate. The surface-hydroxylated particles were washed with deionized water three times. Then, 10 mg of surface-hydroxylated particles was added in 4 mL of dimethylformamide (DMF). After a 10-min sonication treatment, 40 µL of 3-aminopropyl-triethoxysilane (APTES) was added into the mixture under vigorous stirring for 24 h at room temperature. The as-obtained NH$_2$-NaYF$_4$:RE$^{3+}$ particles were collected by 10-min centrifugation with a speed of 10,000 rpm. Finally, the powder was washed with DMF three times so as to remove the extra APTES.

2.3. Characterization Techniques

Phase identification was performed by X-ray diffraction (XRD; Model SmartLab, Rigaku, Tokyo, Japan), operating at 40 kV/40 mA using nickel-filtered Cu Kα radiation and a scanning speed of 6.0° 2θ/min. The product morphology was analyzed by field emission scanning electron microscopy (FE-SEM; Model JSM-7001F, JEOL, Tokyo) and transmission electron microscopy (TEM; Model JEM-2000FX, JEOL, Tokyo). Fourier transform infrared spectroscopy experiments (FT-IR; Nicolet iS5, Thermal Fisher Scientific,
USA) were under taken using the standard KBr method. The photoluminescence of the phosphors was analyzed with an FP-8600 fluorospectrophotometer (Jasco, Tokyo). A Laser Scanning Confocal Microscope (LEICA TCS SP2, Germany) was used for the cell imaging.

2.4. Cells Imaging

Macrophages were cultured in DMEM with 10% FBS and seeded in 35 mm culture dishes for 2 h in a CO₂ incubator. The as-obtained NH₂-NaYF₄:RE³⁺ (RE = Eu and Tb) particles were dispersed in a cell medium (50 mg/mL), which were then moved to culture dishes for 1 h of treatment. After removing the cell medium, 0.1 mL of 1% formaldehyde–PBS was added. Finally, the cells were washed with PBS several times for further characterization.

3. Results and Discussion

Figure 1 shows the morphologies of the obtained colloidal particles of α-NaYF₄ doped with 5 at% Eu³⁺, 1 at% Tb³⁺, 1 at% Ce³⁺, 1 at% Er³⁺, and 1 at% Tm³⁺. The results indicate that all of the hydrothermal products are monodispersed colloidal spheres, with average diameters of 250 ± 50 nm. The XRD patterns shown in Figure 2 confirm that all of the Bragg reflections are identified along with the pure cubic phase NaYF₄ (α-NaYF₄, space group: Fm-3m (225), JCPDS no. 77-2042), and no trace of impurity phases is detected. In the cubic structure, the cation sites are equal, and the Na⁺ and Y³⁺ cations are randomly distributed in the cationic sub-lattice, with Na⁺ or Y³⁺ being surrounded by eight F⁻ ions [8]. The incorporation of Eu³⁺, Tb³⁺, Ce³⁺, Er³⁺, and Tm³⁺ did not introduce other heterogeneous phases, because rare earth ions replace Y³⁺ ions in a statistical way, thus forming a solid solution. Mainly because of the isometric cubic structure, the final products of NaYF₄:RE³⁺ exhibited a sphere-like shape [7,8,10]. A calculation from the Scherrer equation via the profile broadening analysis of the (111) Bragg reflection yielded crystallite sizes averaging 33 ± 2 nm, which are obviously smaller than the particle sizes (250 ± 50 nm), indicating that the obtained spheres are polycrystalline. Consistent with the FE-SEM (Figure 1a–e), the TEM observation of NaYF₄:Eu³⁺ (Figure 1f) reveals that the hydrothermal products are characterized by a spherical shape and good dispersion. In addition, the TEM analysis further indicates that the spheres are polycrystalline, because the particles consist of fine crystals, thus yielding a non-smooth surface. The (200), (220), and (400) planes are well resolved by selected area electron diffraction (SAED; inset in Figure 1f), suggesting that the particles are in good crystallization.

![Figure 1. FE-SEM (a–e) and TEM (f) micrographs showing morphologies of the as prepared (a,f) Eu³⁺-, (b) Tb³⁺-, (c) Ce³⁺-, (d) Er³⁺-, and (e) Tm³⁺-doped α-NaYF₄ samples, respectively. The inset in (f) is the selected area electron diffraction (SAED) patterns of Eu³⁺-doped sample.](image-url)
In this work, the phase and morphology of the hydrothermal products are found to be independent of the processing conditions, including the reaction time and reaction temperature. Taking the Eu$^{3+}$ doped α-NaYF$_4$ sample as an example, shortening the hydrothermal time from 24 to 6 h or lowering the reaction temperature from 180 to 100 °C all yielded monodispersed spheres of α-NaYF$_4$:Eu$^{3+}$ (Figures S1–S4). The above results indicate that the processing conditions have no obvious effects on the phase purity and particle morphology of the products. In other words, monodispersed spheres of α-NaYF$_4$ can be facile synthesized in a wide synthetic range.

Calcining the spheres at 600 °C for 2 h yielded well-dispersed spherical particles, but smaller sizes were observed for the resultant products (Figure S5). In addition, the resultant products display all of the characteristic Bragg reflections (Figure 3) corresponding to the cubic phase of NaYF$_4$ (α-NaYF$_4$, space group: Fm-3m (225), JCPDS no. 77-2042). The above results suggest that the original morphology and crystal structure are well retained for the samples calcined at 600 °C. However, a sharper peak shape and stronger intensity are observed at a calcination temperature of 600 °C (Figure 3) because of crystallite growth. The profile broadening of the (200) diffraction peak was analyzed by applying the Scherrer equation. Indeed, the average crystallite size of 50 ± 2 nm determined for the spheres calcined at 600 °C is bigger than that for the original samples (33 ± 2 nm), directly confirming the crystallite growth. Because of the crystallite growth and densification during calcination, a reduction of particle sizes from 250 ± 50 nm to 100 ± 20 nm takes place.

![Figure 2](image1.png)

**Figure 2.** XRD patterns of the as prepared (a) Eu$^{3+}$-, (b) Tb$^{3+}$-, (c) Ce$^{3+}$-, (d) Er$^{3+}$-, and (e) Tm$^{3+}$-doped α-NaYF$_4$ samples.

![Figure 3](image2.png)

**Figure 3.** XRD patterns of (a) Eu$^{3+}$-, (b) Tb$^{3+}$-, (c) Ce$^{3+}$-, (d) Er$^{3+}$-, and (e) Tm$^{3+}$-doped α-NaYF$_4$ samples calcined at 600 °C.
\(\alpha\)-NaYF\(_4\) is an appropriate luminescent host for popular activators’ incorporation; therefore, multi-color emissions can be achieved by the incorporation of different activated ions. Here, \(\alpha\)-NaYF\(_4\) spheres exhibit varied emission colors by doping different trivalent rare earth ions, including Eu\(^{3+}\), Tb\(^{3+}\), Ce\(^{3+}\), Er\(^{3+}\), and Tm\(^{3+}\). Figure 4a–e shows the Photoluminescence excitation (PLE) and photoluminescence (PL) spectra of Eu\(^{3+}\)-, Tb\(^{3+}\)-, Ce\(^{3+}\)-, Er\(^{3+}\)-, and Tm\(^{3+}\)-doped \(\alpha\)-NaYF\(_4\) samples calcined at 600 °C. In Figure 4a, \(\alpha\)-NaYF\(_4\):Eu\(^{3+}\) exhibits an excitation band in the 200–500 nm range, with a maximum at 393 nm, which is associated with the \(7F_0 \rightarrow 5L_4\) transition of the inter-configurational Eu\(^{3+}\) [14]. Upon UV excitation at 393 nm, the Eu\(^{3+}\)-doped sample exhibits sharp bands at \(-591, -615, -650, \) and \(-697 \) nm, associated with the \(5D_0 \rightarrow 7F_1, 5D_0 \rightarrow 7F_2, 5D_0 \rightarrow 7F_3, \) and \(5D_0 \rightarrow 7F_4\) transitions of Eu\(^{3+}\) [14]. According to the Judd–Ofelt parity law, magnetic dipole transition is permitted, while electric dipole transition is forbidden, and the latter is allowed only on condition that the Eu\(^{3+}\) ions occupy non-centrosymmetric sites [15,16]. It is understood that the \( \alpha \) \(-NaYF\(_4\):Eu\(^{3+}\) electric dipole transition is hypersensitive to the local environment of the Eu\(^{3+}\) ions. Because Eu\(^{3+}\) replaces Y\(^{3+}\) in \(\alpha\)-NaYF\(_4\), and thus occupies a symmetry site, the \(5D_0 \rightarrow 7F_1\) transition at \(-591 \) nm takes the dominate role, which gives rise to the orange-red emission rather than the red one. This yields a CIE chromaticity coordinate of \((0.57, 0.42)\), seen in the final part of Figure 4. Tb\(^{3+}\)-activated \(\alpha\)-NaYF\(_4\) is one of the potential green phosphors, which may offer wide applications in lighting and display areas. In Figure 4b, the Tb\(^{3+}\)-doped sample exhibits an excitation band in the range of 200–220 nm with a maximum at 208 nm, which could be attributed to the \(\alpha\)-NaYF\(_4\) host excitation [17]. When excited at 208 nm, \(\alpha\)-NaYF\(_4\):Tb\(^{3+}\) displays the typical \(5D_4 \rightarrow \left(7F_j \; (j = 6 - 3)\right)\) transitions of Tb\(^{3+}\) [17] at about 490, 545, 585, and 620 nm, with the green emission at 545 nm \((5D_4 \rightarrow 7F_3)\) being the strongest, which finally yields a CIE chromaticity coordinate of \((0.34, 0.54)\), seen in the final part of Figure 4. Figure 4c displays the PLE/PL spectra for the Ce\(^{3+}\)-activated \(\alpha\)-NaYF\(_4\) sample. It exhibits a main absorption band at 246 nm in the UV region, because of the Ce\(^{3+}\) 4f-5d electron transition [18]. Under the excitation at 246 nm, the photoluminescence spectrum shows a strong emission band at 307 nm, resulting from the 5d-4f transition of Ce\(^{3+}\) [18], thereby yielding an ultraviolet (UV) emission (Figure 4, the final part) with a CIE chromaticity coordinate \((0.25, 0.32)\), located in the blue region (Figure 4, the final part). The corresponding CIE coordinate \((0.23, 0.30)\) is located in the blue-green region, as shown in Figure 4 (the final part). The PLE/PL spectra of Na(Y\(_{0.99}\)Tm\(_{0.01}\))F\(_4\) are exhibited in Figure 4e. Under excitation at 339 nm \((\text{the } 3H_6 \rightarrow 1D_4\) transition of Tm\(^{3+}\)), the emission spectrum presents one dominant peak at 490 nm, due to the \(1G_4 \rightarrow 3H_6\) transition of Tm\(^{3+}\) [20]. The corresponding CIE coordinate \((0.25, 0.32)\) is located in the blue region (Figure 4, the final part).

The obtained monospheres possess an excellent imaging capacity for the cells in vitro. Before cell imaging, surface-functionalization treatment is needed for the candidate particles. Massive hydroxyl groups were first grafted on the surfaces of the spheres via treatment with NaOH (Figure 5a). Then, sphere-NH\(_2\) was obtained through linking the amino groups with the hydroxyl groups (Figure 5a). After surface functionalization, the spherical shape and good dispersion are well maintained for the sphere-NH\(_2\) sample (Figure 5b). The FT-IR spectra of NaYF\(_4\):Eu\(^{3+}\) and NH\(_2\)-NaYF\(_4\):Eu\(^{3+}\) are shown in Figure 5c. The strong absorption bands at \(-3521 \) and \(-3323 \) cm\(^{-1}\) \((O-H)\) indicated successful surface hydroxylation [21–23]. The FT-IR spectra also show strong absorption bands at \(-1596 \) and \(-1518 \) cm\(^{-1}\) (stretching vibrations of N-H) and C-N stretching vibration band at \(1430 \) cm\(^{-1}\) [21–23], giving direct evidence of the successful modification of spheres with APTES. A luminescence solution is easily obtained by dispersing the NH\(_2\)-NaYF\(_4\):RE\(^{3+}\) spheres in water in assistance of sonication treatment. However, luminescence signals from the solution dispersion of NH\(_2\)-NaYF\(_4\):RE\(^{3+}\) (RE\(^{3+}\) = Ce\(^{3+}\), Er\(^{3+}\), and Tm\(^{3+}\)) are very weak because of the strong quenching effect of water, indicating they are not suitable for cell imaging. Therefore, their luminescence spectra are not shown here. Figure 5d shows the luminescence spectra of the NH\(_2\)-NaYF\(_4\):Eu\(^{3+}\) powder and NH\(_2\)-NaYF\(_4\):Eu\(^{3+}\) solution dispersion, which exhibit similar luminescence emission spectra to their powder, although the weakened emission
intensity is observed overall. However, the emission intensity decreases to a greater degree in a longer wavelength because of the quenching effect of water [24]. The emission intensity ratios of the $5D_0 \rightarrow 7F_4$ transition (Eu$^{3+}$) at 697 nm and the $5D_{7/2} \rightarrow 7F_2$ transition (Eu$^{3+}$) at 615 nm to the $5D_0 \rightarrow 7F_1$ transition (Eu$^{3+}$) at 591 nm decrease from ~0.58 and ~0.85 for the NaYF$_4$:Eu$^{3+}$ powder (Figure 4a) to 0.19 and 0.71 for the NH$_2$-NaYF$_4$:Eu$^{3+}$ solution dispersion (Figure S6a). However, the intensity ratios of the $5D_4 \rightarrow 7F_3$ transition (Tb$^{3+}$) at 620 nm and the $5D_4 \rightarrow 7F_4$ transition (Tb$^{3+}$) at 585 nm to $5D_4 \rightarrow 7F_5$ transition (Tb$^{3+}$) at 545 nm remain almost constant at ~0.17 and ~0.20 for the NaYF$_4$:Tb$^{3+}$ powder (Figure 4b) and NH$_2$-NaYF$_4$:Eu$^{3+}$ solution dispersion (Figure S6b), indicating a stronger quenching effect of water on the Eu$^{3+}$ transition than that on the Tb$^{3+}$ one, which is similar to that observed for the tetragonal phosphate hydrates of the Y/Tb/Eu ternary system [24]. No emission signal at the near-infrared wavelength range is found for the NH$_2$-NaYF$_4$:Tb$^{3+}$ solution dispersion (Figure S6b), but the NH$_2$-NaYF$_4$:Eu$^{3+}$ solution dispersion exhibits the near-infrared emission at 697 nm, although the intensity is not high (Figure S6a).

Figure 4. PLE and PL spectra of (a) Eu$^{3+}$-, (b) Tb$^{3+}$-, (c) Ce$^{3+}$-, (d) Er$^{3+}$-, and (e) Tm$^{3+}$-doped $\alpha$-NaYF$_4$ samples calcined at 600 °C. The final part is the corresponding CIE chromaticity diagram.
Here, macrophages are selected for in vitro imaging. As shown in Figure 6a, a strong luminescence signal of NH$_2$-NaYF$_4$:Eu$^{3+}$ in the macrophages can be precisely measured, and the nucleus and the cell wall can be clearly distinguished. For comparison, cell luminescence imaging was also performed by the NH$_2$-NaYF$_4$:Tb$^{3+}$ for the same cells (Figure 6b). However, the signals are obviously weaker than that in Figure 6a, thus the nucleus and the cell wall cannot be clearly distinguished. Because NH$_2$-NaYF$_4$:Eu$^{3+}$ can emit a near-infrared color at 697 nm ($^5$D$_{0} \rightarrow ^7$F$_4$ transitions of Eu$^{3+}$), which has great advantages for bio imaging with a high signal-to-noise ratio [23], the results for the cell imaging are good. However, the green emission color generated from NH$_2$-NaYF$_4$:Tb$^{3+}$ is located in the visible range, so the biological absorption of the cell organization and cell fluid contributes to the luminescence quench, and thus to the weak signals. Therefore, the NH$_2$-NaYF$_4$:Eu$^{3+}$ probes have an excellent potential for use in imaging cells in vitro.

Figure 5. (a) Schematic diagram for the surface modification particles, (b) FE-SEM micrographs showing the morphology of NH$_2$-NaYF$_4$:Eu$^{3+}$, and (c) the FT-IR spectra of NaYF$_4$:Eu$^{3+}$ and NH$_2$-NaYF$_4$:Eu$^{3+}$. 
Q.Z. conceived the project; X.F., L.G., and Y.H. carried out the experiments and data analysis; Q.Z. and X.F. drafted the manuscript. All the authors were involved in the results discussion. All authors have read and agreed to the published version of the manuscript.

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Supplementary Materials: The following are available online at http://www.mdpi.com/2073-4352/10/2/119/s1, Figure S1: FE-SEM micrographs showing morphologies of the Eu$^{3+}$-doped α-NaYF$_{4}$ samples synthesized at 180 °C for (a) 6 h, (b) 12 h, (c) 18 h; Figure S2: XRD patterns of the Eu$^{3+}$-doped α-NaYF$_{4}$ samples synthesized at 180 °C for (a) 6 h, (b) 12 h; Figure S3: FE-SEM micrographs showing morphologies of the Eu$^{3+}$-doped α-NaYF$_{4}$ samples synthesized at (a) 100 °C, (b) 120 °C, (c) 150 °C for 24 h. (c) 18 h, (d) 24 h; Figure S4: XRD patterns of the Eu$^{3+}$-doped α-NaYF$_{4}$ samples synthesized at (a) 100 °C, (b) 120 °C, (c) 150 °C, and (d) 180 °C for 24 h; Figure S5: FE-SEM micrographs showing morphologies of (a) Eu$^{3+}$-, (b) Tb$^{3+}$-, (c) Ce$^{3+}$-, (d) Er$^{3+}$-, (e) Tb$^{3+}$-doped α-NaYF$_{4}$ samples calcined at 600 °C; Figure S6: Emission spectra of colloidal solution at room temperature with (a) NH$_{2}$-NaYF$_{4}$:Eu$^{3+}$ and (b) NH$_{2}$-NaYF$_{4}$:Tb$^{3+}$.

Author Contributions: Q.Z. conceived the project; X.F., L.G., and Y.H. carried out the experiments and data analysis; Q.Z. and X.F. drafted the manuscript. All the authors were involved in the results discussion. All authors have read and agreed to the published version of the manuscript.
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