A Dual-Iterative Method for Concept-Word Acquisition from Large-Scale Chinese Corpora

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Abstract - This paper proposes a dual-iterative method, a hierarchical inner and outer iteration method (HIO), to acquire concept words from a large-scale, un-segmented Chinese corpus. It has two levels of iteration: the EM-CLS algorithm and the Viterbi-C/S algorithm constitute the inner iteration for generating concept words, and the concept word validation constitutes the outer iteration together with the concept word generation. Through multiple iterations, it integrates the concept word generation and validation into a uniform acquisition process. In the process of acquisition, the HIO method can cope with the problem of over-segmentation, over-combination and data sparseness. The experimental result shows that the HIO method is valid for concept word acquisition that can simultaneously increase the precision and recall rate of concept word acquisition.

1. Introduction

Concept word acquisition is an important research in knowledge acquisition from text (KAT) (Cao and Sui, 2003), and it is also the foundation of ontology learning (Maedche, 2002). Its main purpose is to acquire plentiful concept words from text corpora. It is very similar to unknown word recognition (Chen and Bai, 1998), (Feng, Chen, et al., 2004) and term extraction (Bourigault and Jacquemin, 1999). However, there are subtle distinctions among these three researches. Generally, concept word can be classified into three types: proper name, compound word and derived word. Except for these three word types, unknown word recognition also identifies numeric-type compounds, and it does not concern known words listed in a dictionary. Term extraction (Bourigault and Jacquemin, 1999) mainly processes domain texts, and often extracts commonly used professional terms from a specific domain text corpus.

Fu and Luke (2003) proposed a two-stage Chinese segmentation system. At the first stage, it segmented the input text according to known words on the basis of 2-gram statistical model, and then identified unknown words at the second stage using a hybrid method which consisted of word context, word composition and word juncture model.

Yang and Li (2003) proposed a heuristic method that it generated five rules using mutual information and significance estimation to extract unknown word.

Peng and Schuurmans (2001) proposed an unsupervised training method to build probability models that accurately segmented Chinese character sequences into words. It used successive EM phases to learn a good probability model over character strings, and then prunes the model with a mutual information selection criterion to obtain a more accurate word lexicon.

Lai and Wu (2000, 2002) proposed a likelihood ratio method to extract possible unknown words or phrases defined by PLUs (phrase-like-unit). The final PLU was decided by two principles of overlap competition and inclusion competition.

Nagao and Mori (1994) proposed a rapid n-gram extraction method to extract adjacent substrings with same prefix in an ordered prefix table. It was noted that it was an affix method intrinsically.

From these above works, we can summarize that there are two kinds of method to identify or acquire unknown words, that is, the non-iterative statistical method and the affix method. The non-iterative unknown word recognition (Fu and Luke, 2003), (Yang and Li, 2003), (Peng and Schuurmans, 2001), (Lai and Wu, 2000, 2002), (Zhang, Lv, et al., 2003) usually adopts n-gram statistical model that is combined with segmentation and combination operation to identify unknown words. It can deal with over-segmentation, but can not tackle over-combination. In addition, the length of unknown word must be restricted in order to ensure system performance. The acquired unknown words are often 2-grams, 3-grams and 4-grams. The affix method (Nagao and Mori, 1994) has even more

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limits. For example, it can not deal with unknown words that have not obvious affix features, and it can not use contextual information of unknown words, either.

This paper, motivated by the work of Chang and Su (1997) and Liu, Zhang, et al. (2004) presents a hierarchical inner and outer iteration method to acquire concept words from a large-scale, un-segmented Chinese text corpus. It has two levels of iteration which involves concept word generation and validation. It makes some extension on EM algorithm and Viterbi algorithm which make up the concept word generation. The concept word validation combines mutual information and context entropy into a validation criterion. These two levels of iteration can simultaneously increase the precision and recall rate of concept word acquisition.

The main contribution of this paper is that it proposes a HIO method for concept word acquisition. The HIO method unifies concept word generation and validation into a consecutively iterative process so that it can increase precision and recall simultaneously. The rest of this paper is organized as follows: Section 2 presents the HIO method. Concept word generation is discussed in section 2.1, and concept word validation is discussed in section 2.2. The whole HIO algorithm is presented in Section 2.3. The experiment result and error analysis are provided in section 3. Section 4 concludes this paper and outlines the future work.

2. The HIO Method

The HIO method (a Hierarchical Inner and Outer iteration method) has two levels of iteration, that is, the inner iteration and the outer iteration. The alternation of EM-CLS and Viterbi-C/S algorithm constitutes the inner iteration of the HIO – concept word generation, and concept word validation constitutes the outer iteration of the HIO. The basic structure of the HIO method is illustrated in Fig. 1.

The HIO method can cope with the two primary problems in concept word acquisition: over-segmentation and over-combination. Data sparseness is one of common problems in statistical language processing. Concept word acquisition is not the exception. In the acquisition process, it may produce the sparse data. Katz smoothing is applied in the HIO method to smooth sparse data and reduce their effect on concept word acquisition.

2.1 Concept Word Generation

2.1.1 EM-CLS algorithm

The EM-CLS algorithm, which is based on EM (expectation maximization) algorithm, estimates generated terms’ probability distribution and identifies their types in a large corpus.

![Concept Word Generation Diagram](image)

EM algorithm (Figueiredo, 2004), (Prescher, 2003) is a common method for estimating maximum-likelihood when missing data are present. It has two steps: E-step (expectation step) and M-step (maximization step). Given the observed data x and the current parameter estimation \( \hat{\theta} \), E-step computes the conditional expectation (with respect to the missing data y) of the logarithm of a complete posteriori probability function, \( \log p(y, \theta | x) \). Usually E-step is called as Q function, as illustrated in (1). Equation (2) shows the M-step of EM algorithm. M-step chooses the parameters which can maximize Q function as the estimated parameters. Through consecutive iterations of E-Step and M-Step, EM algorithm can get stabilized parameters.

E-Step:

\[
Q(\theta | \theta^{(t)}) = E[p(y, \theta | x)[x, \theta^{(t)}]
\]

\[
\propto \log p(\theta) + E[p(y, x | \theta)[x, \theta^{(t)}]
\]

\[
= \log p(\theta) + \int p(y | x, \theta^{(t)}) \log p(y, x | \theta) dy
\]

M-Step:

\[
\theta^{(t+1)} = \arg \max_{\theta} Q(\theta | \theta^{(t)})
\]
un-segmented sentence. After segmentation, C is converted into the segmented corpus denoted as S={S₁, S₂, …, Sₙ} where Sᵢ (1 ≤ i ≤ n) is a segmentation of Cᵢ. The generated candidate terms¹ are grouped into a set denoted as T={t₁, t₂, …, tₘ}, where tⱼ (1 ≤ j ≤ m) is the generated candidate term.

If Cᵢ is taken as the observed data, Sᵢ as the missing data, we can estimate the maximum-likelihood of term tⱼ with the EM algorithm which is deemed as its probability distribution in the corpus C. Equation (3) shows the probability estimation of term tⱼ. In (3), Sᵢ denotes the optimal segmentation of sentence Cᵢ, which can be achieved by the Viterbi-C/S algorithm (to be discussed in the next section). f(tⱼ, Sᵢ) denotes the frequency of term tⱼ in sentence Sᵢ.

After estimating the probability of term tⱼ, we still have to judge to which type it belongs. The candidate term has three types that are normal term – N-Sen, over-combined term – OA-Sen, and combination-ambiguity sentence (CA-Sen). If a segmented sentence contains over-combined terms, it is considered as an OA-Sen.

Like candidate terms, segmented sentences are also classified into three types: normal sentence (N-Sen), overlap-ambiguity sentence (OA-Sen), and combination-ambiguity sentence (CA-Sen).

If a segmented sentence contains over-segmented terms, it is considered as a CA-Sen.

It is observed that there is a direct correspondence between the type of candidate term and segmented sentence: normal term – N-Sen, over-segmented term – CA-Sen and over-combined term – OA-Sen.

Definition 1: It is the optimal segmentation that its likelihood is maximal among all segmentations of a sentence. The optimal segmentation is denoted as Sᵢ:

\[ Sᵢ = \arg \max_{S} p(S_i | C_i, T) = \arg \max_{S} p(S_i, C_i | T) \] (6)

Definition 2: Segmented Density is defined as the number of segmented term in each length unit. For a sentence Sᵢ,

\[ SD(Sᵢ) = \frac{p(Sᵢ) \times NT(Sᵢ)}{|length(Sᵢ)|} \] (7).

For a corpus S,

\[ SD(S) = \frac{\sum_{Sᵢ \in S} p(Sᵢ) \times NT(Sᵢ)}{\sum_{Sᵢ \in S} |length(Sᵢ)|} \] (8).

In (7)-(8), NT(X) denotes the number of terms in sentence X, and length(Y) denotes the length of sentence Y.

The type of segmented sentence is measured by (9). Setting a threshold range [r₁, r₂] (r₁<r₂), if CLS(Sᵢ)<r₁, Sᵢ is a OA-Sen, if CLS(Sᵢ)>r₂, Sᵢ is a CA-Sen, if r₁≤CLS(Sᵢ)≤r₂, Sᵢ is a N-Sen.

\[ CLS(Sᵢ) = \frac{SD(Sᵢ)}{SD(S)} \] (9).

¹ The meaning of “term” here is different from the meaning of “term” in term extraction. Here term refers to ordinary word.
We make an extension to the classical Viterbi algorithm (Rabiner, 1989), thus get the Viterbi-C/S algorithm as illustrated in Fig. 2.

When segmenting a corpus, Viterbi-C/S binds combination and segmentation operations (C/S operation) on the selected terms according to their types. If over-segmented term is selected, combination operation is performed, if over-combined term is selected, segmentation operation is performed. These combination or segmentation operations on candidate term possibly causes data sparseness problem. So we use Katz Smoothing method (Goodman, 2001) as the smoothing strategy to eliminate sparse data. Therefore, we still apply equation (10) to deal with data sparseness problem.

\[ p(t_{i-n+1}...t_{i-1}|t_i) = \alpha(t_{i-n+1}...t_{i-1}) p(t_{i-n+2}...t_{i-1}|t_i) \]  

In (10), \( t' = t_{i-n+1}...t_{i-1} \) doesn’t exist in candidate term set \( T \), \( \alpha \) is a normalization constant.

2.2 Concept Word Validation

The generated candidate terms need to be further validated to filter out ambiguous terms. The validation takes into considerations candidate term’s composition and local context. The former is considered as a cohesion validation which adopts mutual information method (Sproat and Shih, 1990). The latter is considered as an independence validation which adopts context entropy method (Tung and Lee, 1994). So the concept word validation is the combination of mutual information and context entropy method.

The basic assumptions of concept word validation are that:

- If a term is an over-combined term, it contains at least a division point where its cohesion degree must be low.
- If a term is an over-segmented term, its local context features in corpus must be weak.

2.2.1 Mutual Information

It is assumed that there is at most two division points in a validating term \( t_v = c_1c_2...c_n \).

If \( t_v^l = c_1c_2...c_i \in T \) \((1 \leq i \leq n)\) and \( t'_v = c_1c_2...c_{i-1}c_{i+1}...c_n \notin T \), \( t_v^l \) is called the maximal left substring of \( t_v \), and \( l \) is the left division point of \( t_v \).

If \( t_v^r = c_{i+1}c_{i+2}...c_n \in T \) \((1 \leq i \leq n)\) and \( t'_v = c_{i+1}c_{i+2}...c_{n-1}c_n \notin T \), \( t_v^r \) is called the maximal right substring of \( t_v \), and \( r \) is the right division point of \( t_v \).

(1) If \( 1 < r - l \), \( t_v \) has two division points, which is denoted as \( t_v = t_v^l = t_v^{l_1}t_v^{l_2}r ; \)

(2) If \( l = r - 1 \), \( t_v \) has a division point, which is denoted as \( t_v = t_v^l = t_v^{l_1}r ; \)

(3) If \( 1 \geq r \), \( t_v \) has two possible divisions which are denoted as \( t_v = t_v^{1-L} = t_v^{l_1}t_v^{l_2}r ; \) and \( t_v^{1-R} = t_v = t_v^{r_1}t_v^{r_2} ; \) respectively.

To case (1)

\[ MI(t_v) = MI(t_v^l) = \log \frac{p(t_v^{l_1}t_v^{l_2}r)}{p(t_v^{l_1})p(t_v^{l_2})p(t_v^{r})} \]  

To case (2),

\[ MI(t_v) = MI(t_v^r) = \log \frac{p(t_v^{r_1}t_v^{r_2})}{p(t_v^{r_1})p(t_v^{r_2})} \]  

To case (3),

\[ MI(t_v^{1-L}) = \log \frac{p(t_v^{l_1}t_v^{l_2})}{p(t_v^{l_1})p(t_v^{l_2})} \]

\[ MI(t_v^{1-R}) = \log \frac{p(t_v^{r_1}t_v^{r_2})}{p(t_v^{r_1})p(t_v^{r_2})} \]

Before computing the mutual information of the validating term, we above all identify to which type it belongs among the above case (1) to (3) and then adopt the corresponding equation (11-13). Similarly, we still apply equation (10) to deal with data sparseness problem.

2.2.2 Context Entropy

It is assumed that \( t_v \) is a validating term. Its left
context is denoted as \( \alpha = \{\alpha_1, \alpha_2, \ldots, \alpha_l\} \), and its right context is denoted as \( \beta = \{\beta_1, \beta_2, \ldots, \beta_r\} \). The left context entropy, right context entropy and context entropy of the validating term \( t_v \) is defined in (14).

\[
\begin{align*}
Entr_L(t_v) &= -\sum_{\alpha_i \in \alpha} p(\alpha_i | t_v) \log p(\alpha_i | t_v); \\
Entr_R(t_v) &= -\sum_{\beta_i \in \beta} p(t_v | \beta_i) \log p(t_v | \beta_i); \\
Entr(t_v) &= \min\{Entr_L(t_v), Entr_R(t_v)\}
\end{align*}
\]

Table 1 lists the joint validation rules combining mutual information and context entropy criterions. \( th_{mi} \) and \( th_{entr} \) are thresholds we designate to mutual information and context entropy, respectively.

The wrong candidates are removed from the dictionary. The other three types of terms are saved into the candidate dictionary again. After validating terms, concept word generation is restarted again and the concept word acquisition goes into the next iteration.

2.3 The HIO Algorithm

The HIO Algorithm is illustrated in Fig.3.

| MI \( t_v \) | Entr \( t_v \) | Term type \( t_v \) |
|-------------|-------------|------------------|
| \( \geq th_{mi} \) | \( \geq th_{entr} \) | correct candidate |
| \( \geq th_{mi} \) | \( < th_{entr} \) | over-segmented |
| \( < th_{mi} \) | \( \geq th_{entr} \) | over-combined |
| \( < th_{mi} \) | \( < th_{entr} \) | wrong candidate |

We adopt a 400M Chinese corpus extracted from web pages as the experimental corpus. Before running the HIO method, a series of preprocessing operations are performed, which involve recognizing special unknown words such as numeric-type words, English words, etc., acquiring concept words matching with specific context patterns, using forward maximum matching method to initially segment the corpus and estimating the initial probability of terms.

We set the inner iteration to 10 times and the outer iteration to 5 times. When completing the HIO operations, we randomly select 2000 sentences from this corpus. After filtering out many common words such as auxiliary words, adjectives and adverbs, we get many concept words which have higher precision and recall rates as listed in Table 2.

Table 2. The Experimental Result

| length | Count | P (%) | R (%) |
|--------|-------|-------|-------|
| 2      | 7782  | 92.2  | 83.4  |
| 3      | 2234  | 86.1  | 69.0  |
| 4      | 1627  | 89.3  | 70.4  |
| 5      | 1893  | 94.7  | 60.3  |
| \( \geq 6 \) | 856  | 91.6  | 51.1  |
| Sum.   | 14392 | 91.2  | 73.1  |

Fig. 4. The Precision and Recall w.r.t. the Inner and Outer Iteration

We get 5387 unknown words in total 14392 terms, among which there are 833 bi-gram words, 1593 tri-gram words, 1049 four-gram words, 1196 five-gram words, 716 six- and over-six-gram words.
Fig. 4 shows the effect of the inner and outer iteration on the precision and recall rate of concept word acquisition. It is observed that the precision and recall rate are both increased with the increase of iteration times.

There are two types of errors produced in HIO: commission error and omission error (Yang and Li, 2004). A commission error is that an acquired term is actually not a concept word, but the HIO considers it as a concept word. The reason is that every component of this term is common words and often occurs simultaneously. An omission error is that the HIO misses a concept word in the corpus. The reason is that one component of this word is more commonly used than the rest and the statistical feature of their combination is not prominent. However, the error distribution we get is contrary to the result of Yang and Li (2004). The number of omission errors exceeds that of commission errors, especially in tri-gram concept word.

4. Conclusions and Future Work

This paper proposes a hierarchical inner and outer iteration method (HIO) for concept word acquisition. It can deal with the problem of over-segmentation, over-combination and data sparseness produced in the process of acquisition. Its prominent features involve:

1. The HIO method is the combination of the inner and outer iteration, which can increase the precision and recall rate of concept words acquisition simultaneously.
2. Concept word generation and validation are uniform and consistent in the HIO method.
3. The EM-CLS algorithm can classify candidate terms as well as estimate their probability distribution.
4. The Viterbi-C/S can perform segmenting and combining operations on terms while segmenting corpus.
5. HIO uses Katz smoothing to lessen data sparseness effect on concept word acquisition.

Now we are going on a series of research on knowledge acquisition from text. The acquired knowledge types include concepts and their relations. Concept word acquisition is fundamental, which can provide essential support for other work in KAT research. We are also developing methods for acquiring relations, including isa, part-of and co-title.

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