New results on the multiplicity and centre-of-mass energy dependence of identified particle production in pp collisions with ALICE

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The study of identified particle production in proton-proton (pp) collisions as a function of center-of-mass energy (√s) and event charged-particle multiplicity is a key tool for understanding similarities and differences between small and large collision systems. We report on new measurements of the production of identified particles and their dependence on multiplicity and √s.

Latest results for light flavor hadrons, comprising π±, K±, p(¯p), single-strange (K0, ¯Λ, and Λ), multi-strange (Ξ−, Ξ+, Ω−, and Ω+) particles as well as resonances (K∗0, K∗+, φ), are presented for √s = 5.02, 7, and 13 TeV — measurements for √s = 5.02 TeV pp collisions are reported here for the first time. The measured minimum bias pT spectra and yields were complemented with multiplicity-dependent measurements for single- and multi-strange hadrons at √s = 7 and √s = 13 TeV. Results are compared to measurements at lower collision energies as well as to those in proton-lead (p–Pb) and lead-lead (Pb–Pb) collisions, respectively at √sNN = 5.02 TeV and √sNN = 2.76 TeV.

The results unveil intriguing similarities among collision systems at different center-of-mass energies. The production rates of strange hadrons are found to increase more than those of non-strange particles, showing an enhancement pattern with multiplicity which does not depend on the collision energy. These yield ratios take values which are alike for small systems at comparable multiplicities, and show smooth evolution with multiplicity across all collision systems; they tend to approach those measured in Pb–Pb collisions. Although, the multiplicity dependence of spectral shapes can be qualitatively described by general-purpose Monte Carlo (MC) event generators, the evolution of integrated yield ratios is barely (or not) captured at all by MC model predictions.
1. Introduction

Recent results on particle production at the LHC obtained in high-multiplicity proton-proton (pp) and proton-lead (p–Pb) collisions revealed phenomena which are similar to those seen in Pb–Pb collisions where they are attributed to bulk collective effects [1, 2]. Apart from the peculiar patterns seen in two-particle azimuthal correlations [3, 4], notably, some of these observations are the radial flow signals [5, 6] and the strangeness enhancement [7, 8]. Strangeness enhancement with respect to minimum bias (MB) pp collisions historically has been proposed as a signature of Quark-Gluon Plasma (QGP) formation [9]. However, in a more modern view, the production of strange particles is discussed together with other light flavor hadrons in the context of thermal statistical models and hydrodynamics. The strange to non-strange production rate shows an increasing trend with multiplicity in pp and p–Pb collisions and saturates for most central Pb–Pb collision, in good agreement with thermal model predictions. Here, changing initial state configuration, i.e. the colliding system (pp, p–Pb, Pb–Pb), does not seem to modify relative particle abundances provided that the particle multiplicities are comparable.

On the top of the study performed in multiplicity classes, by changing the collision energy one might get further insight into the particle production mechanism in pp collisions. For this purpose, a comprehensive study of identified particle production has been performed by ALICE using pp data recorded during the LHC Run 2 (2015 – 2018).

2. Analysis details

ALICE [10] is a dedicated heavy-ion experiment at the LHC which is optimised to study the properties of the strongly interacting deconfined medium of quarks and gluons, the QGP created in ultra-relativistic heavy-ion collisions [11].

Besides colliding heavy ions, ALICE provides important contributions to the LHC pp physics program, which is complementary to other LHC experiments due to its capability to measure particle production down to very low transverse momentum ($p_T \simeq 100$ MeV/$c$), and due to its excellent particle identification (PID) capabilities in the central barrel region ($|\eta| < 0.9$) [12].

The most recent measurements presented in these proceedings were obtained from the analysis of a data sample consisting of $\sim 50$ M events of pp collisions at the top LHC energy, $\sqrt{s} = 13$ TeV, recorded by ALICE in 2015. The data were collected using a minimum bias trigger, which required a hit in both V0 scintillator arrays in coincidence with the arrival of proton bunches from both directions along the beam. Events containing more than one primary vertex within 10 cm along the beam axis from the interaction point were considered as pile-up or beam-induced background and are discarded from the analysis. Corrections are calculated from Monte Carlo (MC) simulations, using PYTHIA 8 (Monash 2013 tune) [13] as particle generator along with GEANT 3 [14] for describing particle transport within the ALICE detector.

Identification of light flavor charged hadrons ($\pi^{\pm}$, $K^{\pm}$, $p(\bar{p})$) as well as short lived particles ($K_S^0$, $\Lambda$, $\Xi$ and $\Omega$) and resonances ($K^{*0}$, $\bar{K}^{*0}$, and $\phi$) was performed with similar techniques to those applied in earlier measurements [15, 16] during LHC Run 1 (2009 – 2013).

For the measurement at $\sqrt{s} = 7$ TeV, minimum bias events have been collected in 2010 having low pile-up and requiring at least one charged particle in the pseudorapidity interval $|\eta| < 1$, 

corresponding to about 75% of the total inelastic (INEL) cross-section. Here, particle production is studied as a function of the event activity, meaning that the data sample is divided in event multiplicity classes. The selection in multiplicity classes is performed via the sum of the signal amplitudes of V0 scintillator arrays located at forward (V0A) and backward (V0C) rapidity, commonly referred to as V0M. The average charged particle pseudorapidity density, \( \langle dN_{ch}/d\eta \rangle |\eta|<0.5 \), is estimated within each multiplicity class by the average number of tracks in the central pseudorapidity region |\eta| < 0.5, in order to avoid autocorrelation biases.

3. Results and discussion

Figure 1a presents a comprehensive collection of \( p_T \) distributions for light flavor hadrons measured in INEL pp collisions at \( \sqrt{s} = 13 \) TeV. By comparing \( p_T \) spectra to those measured at \( \sqrt{s} = 2.76 \) TeV, a progressive hardening of the spectral shapes with increasing \( \sqrt{s} \) is observed for all particle species. Shown in Fig. 1b is an example of the ratio of yields for charged pions where the latest measurements at \( \sqrt{s} = 5.02 \) and \( \sqrt{s} = 13 \) TeV are included. These ratios reveal two different \( p_T \) regimes: in the soft regime (\( p_T \lesssim 1 \) GeV/c) neither the magnitudes nor the spectral shapes change significantly with \( p_T \) within uncertainties, whereas in the hard regime the opposite is observed, showing a very significant dependence on \( \sqrt{s} \).

Figure 1: (a) \( p_T \) spectra of light flavor hadrons measured at mid-rapidity (|\( y \)| < 0.5) in minimum bias INEL pp collisions at \( \sqrt{s} = 13 \) TeV. (b) Ratios of \( p_T \) spectra of charge-summed pions in inelastic events at various center-of-mass energies to that at \( \sqrt{s} = 2.76 \) TeV. The statistical and systematic uncertainties are shown as vertical error bars and boxes, respectively. The normalisation uncertainties are indicated by boxes around unity.

The \( p_T \)-differential \( p/\pi \) and \( K/\pi \) particle ratios are shown in Fig. 2 measured in MB INEL pp collisions at different \( \sqrt{s} \), including pp at \( \sqrt{s} = 5.02 \) TeV, reported here for the first time. The baryon-to-meson (\( p/\pi \)) ratios show a modest dependence with \( \sqrt{s} \) in the intermediate \( p_T \) region,
the position of the peak shifts towards higher $p_T$ with increasing $\sqrt{s}$. No such behavior is present for $K/\pi$. For high $p_T$ ($> 10 \text{ GeV}/c$), no evidence of evolution with $\sqrt{s}$ is seen for any of the ratios within uncertainties. It is worth noticing that a minor modification of the $p/\pi$ ratio might be expected due to the moderate increase of $\langle dN_{ch}/d\eta \rangle_{|\eta|<0.5}$ with $\sqrt{s}$ [18].

![Figure 2:](image)

**Figure 2:** Collision energy dependence of the $p_T$-differential $(p + \bar{p})/(\pi^+ + \pi^-)$ (left) and $(K^+ + K^-)/(\pi^+ + \pi^-)$ (right) particle ratios measured in minimum bias pp collisions, superimposed with PYTHIA 8 (Monash 2013) model predictions.

Predictions obtained from the PYTHIA 8 Monte Carlo generator are shown, which are unable to describe simultaneously both ratios in the entire $p_T$ range. Also, the $\sqrt{s}$ evolution of the ratios is not reproduced by the model; $p/\pi$ is overpredicted at high $p_T$, whereas $K/\pi$ is underpredicted in most of the $p_T$ range.

Figure 3 shows the $p_T$-integrated baryon-to-meson $p/\pi$ (Fig. 3a), and hyperon-to-pion $\Omega/\pi$ and $\Xi/\pi$ (Fig. 3b) ratios as a function of $\sqrt{s}$. The $p/\pi$ ratios with respect to earlier results are complemented with latest measurements for $\sqrt{s} = 5.02 \text{ TeV}$ and $\sqrt{s} = 13 \text{ TeV}$ pp collisions, respectively, from ALICE and CMS [19]. While $p/\pi$ shows saturation in the LHC-energy regime, the hyperon-to-pion ratios exhibit a hint of a modest increase from $\sqrt{s} = 7 \text{ TeV}$ to $\sqrt{s} = 13 \text{ TeV}$. To further investigate such an effect, one can attempt to test its dependence on multiplicity regardless of collision energy. In order to do so, the $p_T$-integrated yields of $K_S^0$, $\Lambda + \bar{\Lambda}$, $\Xi^- + \bar{\Xi}^+$, and $\Omega^- + \bar{\Omega}^+$ have been measured in pp collisions at $\sqrt{s} = 7$ and $\sqrt{s} = 13$ TeV as a function of multiplicity [17]. Similar particle abundances are observed at similar multiplicities for the two center-of-mass energies. This suggests that particle production and hadrochemistry are dominantly driven by the event activity, represented by the charged-particle multiplicity, rather than by collision energy.

Model predictions for $K_S^0$ based on the PYTHIA 6 [20] and PYTHIA 8 [13] event generators provide a fairly good description, while EPOS-LHC [21] describes the evolution right [17]. In contrast, none of the models capture the evolution of multi-strange hadron yields as a function of $\langle dN_{ch}/d\eta \rangle_{|\eta|<0.5}$. Moreover, the discrepancies between data and model predictions become larger for baryons with increasing strangeness content.

Further investigations on particle production as a function of multiplicity showed that the relative yield for particles having non-zero net strangeness content to that of pions $(\pi^+ + \pi^-)$ increases [7, 22]. The increase is more pronounced for multi-strange baryons, driven by the strange quark content of the particles alone, regardless of differences in the hadron masses or due to baryon/meson nature of the particle.

The observed increase shows a smooth evolution across different colliding systems, resulting in
similar values in high-multiplicity pp, p–Pb, and peripheral Pb–Pb collisions at similar multiplicities. Among general-purpose MC generators, the DIPSY [23, 24] model describes best the relative increase quantitatively, even though it starts to deviate for triple strange Ω baryon and at the same time fails to predict the p/π ratio. None of the Monte Carlo models are able to reproduce all the observations simultaneously. Studies of particle production as a function of multiplicity and event shapes have been suggested as a promising tool to attempt to increase the discrimination power for models [25].

4. Conclusions

A progressive hardening of the $p_T$ spectral shapes is observed in pp collisions from $\sqrt{s} = 2.76$ TeV to $\sqrt{s} = 13$ TeV. It is shown that the peak position of the $p/\pi$ ratio experiences a modest shift towards higher $p_T$ with increasing $\sqrt{s}$ which is not reproduced by PYTHIA 8.

The evolution of $p_T$-integrated yield ratios with $\sqrt{s}$ shows saturation at LHC energies, however there is a hint of a slight increase in the hyperon-to-pion ratios going from $\sqrt{s} = 7$ to $\sqrt{s} = 13$ TeV. To factorize the impact of $\sqrt{s}$, the integrated hadron yields $dN/dy$ are measured for single-strange and multi-strange particles at these two distinct center-of-mass energies, as a function of event activity. Particle yields show scaling with event activity. Yields are rather similar between different collision energies at comparable multiplicities. This indicates that hadrochemistry is dominantly driven by multiplicity rather than collision energy. The observed scaling behavior is not reproduced by any of the general-purpose MC models.

One of the most striking observations at the LHC, that is the strangeness enhancement in high-multiplicity pp and p–Pb collisions, is not satisfactorily described by the presently available tunes of the most common MC generators.

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