A chemical genetic screen reveals a role for proteostasis in capsule and biofilm formation by Cryptococcus neoformans

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INTRODUCTION

While relatively common in bacterial pathogens, few fungal pathogens of humans produce an extracellular capsule. An important exception is the opportunistic fungal pathogen, Cryptococcus neoformans. Under certain environmental conditions, for example, the elevated temperature of 37°C, alkaline pH, low-iron conditions, reduced nutrient availability, and elevated CO₂ levels, C. neoformans synthesizes large amounts of polysaccharides that are used to assemble a capsule surrounding the cell body [1, 2].

C. neoformans is naturally found in the environment and is taken up by humans through inhalation of desiccated yeast cells or spores [3]. In most individuals, the fungus is efficiently neutralized by the host immune system. However, in immunocompromised persons, C. neoformans can establish a pulmonary infection of the lungs. In a significant number of cases, the fungus can disseminate from the lungs to the brain and cause life-threatening meningoencephalitis [4]. It is estimated that 223,100 people become infected with C. neoformans each year and that 181,100 patients die from these infections [5]. Strikingly, C. neoformans is responsible for 15% of all AIDS-associated deaths.

At both common infection sites - the lungs and the brain - C. neoformans is primarily present as heavily encapsulated yeast cells [6]. In fact, many studies have demonstrated that capsule formation is a major virulence factor in this fungus [7-9]. The capsule protects cells from oxidative, thermal, enzymatic, and pH stresses, and it protects the fungus from attack by host immune cells [10]. Capsule formation is the most important virulence factor in C. neoformans but the fungus also produces additional virulence factors including the black pigment melanin, phospholipases, and urease [11]. Moreover, C. neoformans can form biofilms on medical devices such as catheters [12]. Biofilms display enhanced resilience towards antibiotic treatment, and are composed of cell aggregates encased in a matrix of extracellular polysaccharides composed of glucuronoxylomannan (GXM), xylose, mannose, and glucose [13, 14]. Capsular polysaccharide appears to be required for normal biofilm formation by C. neoformans. Pioneering
studies by the Casadevall laboratory (Johns Hopkins School of Public Health, USA) have shown that a capsule-deficient cap59Δ mutant was unable to form biofilms [15]. Complementation of the deletion strain with a CAP59 wild-type copy restored biofilm formation. The cryptococcal capsule is primarily composed of GXM [16]. GXM is also shed by C. neoformans during infection and has immune-modulatory properties [17]. As mentioned, GXM is a component of the biofilm exopolymeric matrix (EPM), and, therefore, it appears that capsule GXM-shedding may contribute to biofilm formation [12]. However, the processes involved in cryptococcal biofilm formation seem to be more complex, because exogenously supplied polysaccharide did not rescue biofilm formation by the acapsular cap59Δ mutant [15]. Nevertheless, enzyme-linked immunosorbent assay-based spot assays demonstrated that C. neoformans biofilms are established via the release of capsular polysaccharide by surface-attached cryptococcal cells [15]. Moreover, the binding of shed polysaccharide to solid surfaces was shown to generate a biofilm EPM [15]. GXM is a constituent of the EPM, however other sugars not found in capsule GXM, such as ribose and fucose, are also part of the cryptococcal biofilm EPM [18]. This suggests that C. neoformans biofilms also include polysaccharides other than GXM.

The key role of capsule formation in virulence is illustrated by the fact that C. neoformans mutants with absent or defective capsule formation are either avirulent or reduced for virulence in mice [19-21]. Therefore, understanding the detailed mechanisms of capsule formation in C. neoformans, and identifying compounds or drugs that block capsule formation has been the focus of intensive research in the field of cryptococcal pathogenicity. An important pathway that regulates capsule formation in C. neoformans is the cyclic adenosine monophosphate-protein kinase A
(cAMP-PKA) pathway [22]. PKA is composed of the catalytic subunit, Pka1, and the regulatory subunit, Pkr1. Deletion of PKA1 results in acapsular C. neoformans cells, while deletion of PKR1 leads to hypercapsular cells [23]. Using both the pka1Δ and pkr1Δ mutant, we have previously performed a transcriptomic analysis of these strains grown under capsule-inducing conditions and compared their transcriptomes to a wild-type control [24]. These studies identified an array of PKA-regulated factors and pathways potentially contributing to capsule formation including, for example, the heat shock response, vesicle trafficking machinery, and inositol metabolism. As part of further mechanistic studies of inositol metabolism, we used the US Food and Drug Administration (FDA)-approved bipolar disorder drug, lithium. Lithium is known to inhibit inositol metabolism in yeast and, strikingly, exposing C. neoformans to lithium chloride (LiCl) potently inhibited capsule formation in a dose-dependent manner [24]. However, it is not known whether inositol metabolism really is affected by LiCl treatment in C. neoformans, and whether other pathways might also be affected by LiCl and contribute to the anti-capsule activity.

Here, we have analyzed the effects of LiCl on C. neoformans by performing a chemical genetics screen. We found that, similar to studies in yeast, lithium has pleiotropic effects in C. neoformans and targets multiple pathways and systems, including ion homeostasis, inositol metabolism, the cAMP-PKA pathway, the high osmolarity glycerol (HOG)-pathway, and DNA-repair systems. Strikingly, we discovered that the ubiquitin/proteasome system was also significantly affected by LiCl treatment and represented a central hub of the response to this alkali metal. A combination of genetic and inhibitor studies confirmed a role for proteostasis in virulence factor production by C. neoformans. Taken together, our results confirm that proteostasis may represent a novel and attractive antifungal drug target, and that the combination of drugs such as lithium and proteasome inhibitors may be developed further for treatment of infections with this deadly fungus.

RESULTS

Lithium inhibits capsule and biofilm formation

We previously demonstrated that the C. neoformans pka1Δ mutant shows increased sensitivity to lithium compared to the wild type on solid YPD medium [24]. Our first objective of the current study was to analyze the impact of a range of different lithium chloride (LiCl) concentrations on growth of the pka1Δ mutant in liquid YPD medium. We found that concentrations of 50 mM, 100 mM, 150 mM, and 200 mM LiCl resulted in significantly reduced growth of pka1Δ compared to the wild-type control (Figure 1A). We also included a pkr1Δ mutant in this screen and observed no difference in growth compared to the wild type. These results confirm that Pka1 is required for proper tolerance towards elevated LiCl concentrations. Because the most significant difference in growth between the pka1Δ mutant and the wild type was detected at 100 mM LiCl, we chose this concentration for our subsequent experiments on capsule and biofilm formation.

Capsule formation by both the wild-type strain and pkr1Δ mutant was strongly inhibited in capsule-induction medium (CIM) supplemented with 100 mM LiCl (Figure 1B). Importantly, supplementation of CIM with 100 mM NaCl only moderately reduced capsule formation by both strains, indicating that LiCl may exert its anti-capsule effect by (a) mechanism(s) distinct from mere induction of ionic stress. As expected, quantification of cell and capsule sizes revealed a larger cell diameter and greater capsule thickness for pkr1Δ cells compared to wild-type cells under control conditions (Figure S1 and Figure 1C). Exposure to NaCl did not affect the cell sizes of both strains, but moderately inhibited capsule production (Figure 1C). Confirming our microscopic observations, LiCl strongly suppressed capsule formation by both strains, with pkr1Δ cells still forming slightly larger capsules compared to the wild-type strain (Figure 1C). These results indicate that lithium inhibits capsule production involving (a) mechanism(s) distinct from ionic stress.

FIGURE 2: Phosphoglucomutase does not appear to be a major target of lithium in C. neoformans. (A) Growth curve analysis for C. neoformans H99s in YNB medium containing either 2% glucose or 2% galactose as sole carbon source at 30°C in the absence (control) or presence of lithium chloride (LiCl). Solid lines represent the means of results from two independent experiments, each performed in quadruplicate, and shaded areas represent the standard errors of the means. (B) Relative growth data from panel A. Results were plotted relative to the untreated controls. Note that cells grown in galactose were less sensitive to elevated LiCl concentrations compared to cells grown in glucose. Glu, glucose; Gal, galactose.
Because similar polysaccharides are present in both the capsule and in the extracellular matrix of *C. neoformans* biofilms, and because GXM-shedding is essential for biofilm formation, we hypothesized that LiCl may also affect cryptococcal biofilm production. Indeed, we found that LiCl reduced *in vitro* biofilm formation by wild type, *pka1Δ*, and *pkr1Δ* cells in a dose-dependent manner (Figure 1D). We noted that biofilms formed by wild type and *pkr1Δ* cells displayed similar morphologies of clustered cells separated by extracellular matrix. However, cells within biofilms formed by the *pka1Δ* mutant appeared to be smaller in size and spatially closer together (Figure 1D). Quantification by an XTT reduction assay confirmed that LiCl dose-dependently reduced biofilm formation by all three strains (Figure 1E). Compared to the wild-type strain, the *pka1Δ* and *pkr1Δ* mutants were more sensitive to LiCl, and a concentration of 100 mM LiCl completely prevented biofilm formation by these two strains (Figure 1E). In contrast, 100 mM NaCl had no significant effect on biofilm formation by wild type and *pkr1Δ* cells compared to control conditions (Figure S2A and S2B).

**Phosphoglucomutase does not appear to be a major target of lithium in *C. neoformans***

Previous studies have demonstrated that lithium potently inhibits phosphoglucomutase in the baker’s yeast *Saccharomyces cerevisiae* [25]. As a key component of galactose metabolism, phosphoglucomutase catalyzes the reversible isomerization of glucose-1-phosphate to glucose-6-phosphate. *S. cerevisiae* cells grown in YNB medium with 2% galactose as sole carbon source display dramatically enhanced sensitivity towards elevated LiCl concentrations compared to cells grown in medium with 2% glucose [25, 26]. Similarly, the major human fungal pathogen *Candida albicans* is exquisitely more sensitive to LiCl when grown with 2%...
galactose as the sole source of carbon compared with glucose [27]. We therefore hypothesized that phosphoglucomutase may also be a major target of lithium in *C. neoformans*. To investigate this, we performed growth curve analyses of the *C. neoformans* wild-type strain cultured in YNB medium containing either 2% glucose or 2% galactose and supplemented with different concentrations of LiCl. Under control conditions, fungal cells cultured in glucose grew better than those cultured in galactose (Figure 2A). LiCl inhibited the growth of *C. neoformans* in both media in a dose-dependent manner. However, in contrast to the findings described above for *S. cerevisiae* and *C. albicans*, galactose-grown *C. neoformans* cells, if anything, appeared to be less susceptible to LiCl compared to their glucose-grown counterparts (Figure 2A). We next plotted the relative growth values of these experiments for easier comparison. This approach clearly revealed that galactose-grown *C. neoformans* cells were indeed less susceptible to elevated lithium.

**FIGURE 4:** A STRING analysis reveals that multiple cellular pathways and activities are regulated by lithium in *C. neoformans*, including the ubiquitin/proteasome system. The corresponding proteins of the 292 mutants found to be hypersensitive or hypertolerant to lithium were subjected to a STRING analysis using default settings. Network edges are based on confidence with line thickness indicating the strength of data support. Network clusters are color-coded and labeled. Note that the network was built based on data from *C. neoformans* strain JEC21. In some cases, the corresponding gene names for *C. neoformans* strain H99 are indicated. HOG, high osmolarity glycerol; PKA, protein kinase A; RAS, rat sarcoma.
A chemical genetics screen identifies genes associated with altered lithium tolerance

Having established that lithium blocks capsule and biofilm formation by *C. neoformans*, and that phosphoglucomutase does not appear to be a major target of lithium in *C. neoformans*. Consequently, lithium likely targets alternative factors or pathways in cryptococcal cells.

A chemical genetics screen identifies genes associated with altered lithium tolerance

Having established that lithium blocks capsule and biofilm formation by *C. neoformans*, and that phosphoglucomutase may not be a major target of lithium in this fungus, we next aimed to obtain a global overview of the cellular effects of LiCl by performing a comprehensive chemical genetics screen. We used three large *C. neoformans* mutant knockout libraries including the 2008 and 2015 CNKO libraries constructed by the laboratory of Dr. Hiten Madhani, and the transcription factor (TF) mutant library constructed by the group of Dr. Yong-Sun Bahn [7, 28]. In total, our screen identified 292 mutants with an altered tolerance to lithium. These strains included, for example, the known capsule-deficient mutant cap64Δ, the secretion-defective mutants rvs167Δ and sec5Δ, and the ubiquitin/proteasome system-associated mutants rub1Δ, ubp15Δ, rnf14Δ, aos1Δ and yuh1Δ (Figure 3A). Screening the 2015 CNKO library led to the identification of further known and predicted capsule-defective mutants, including cas9Δ, cas32Δ, cas34Δ, and uxs1Δ (Figure 3B). Furthermore, mutants with defects in the cAMP/PKA pathway (pka2Δ, pde2Δ), inositol metabolism (itr5Δ, itr6Δ and CNAg_02001Δ), ion homeostasis (ena1Δ and hog1Δ), endoplasmic reticulum - plasma membrane tethering (tcb2Δ), and the ubiquitin/proteasome system (hel1Δ, ubp33Δ, and rpt1Δ) were identified (Figure 3B). Finally, screening the TF-library led to the identification of further mutants previously published to have impaired capsule production. For example, rim101Δ, ada2Δ, and nrg1Δ were all shown to be hypertolerant to lithium (Figure 3C). Interestingly, when we analyzed the total numbers of hypersensitive and hypertolerant mutants identified from the three libraries, we found that ~1/3 of strains were hypersensitive to lithium (Table S1), while the remaining majority, ~2/3, were hypertolerant (Table S2, Figure 3D). In total, our screen identified 292 mutants with an altered response to lithium.

Lithium affects multiple pathways

In order to obtain a more detailed overview of the pathways and activities affected by lithium, we next conducted a STRING-analysis for the corresponding protein sequences of
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the 292 deletion mutants identified in our screen. Strikingly, this analysis revealed that most of the pathways known to be affected by lithium in yeast cells were also impacted in C. neoformans (Figure 4) [29-31]. Common regulated functions included the PKA pathway, the HOG pathway, inositol and trehalose metabolism, and DNA repair mechanisms. Furthermore, we identified carbon and energy metabolism, several protein kinases, the SET complex, and the ubiquitin/proteasome system to be affected by lithium in C. neoformans (Figure 4). We noticed that the capsule-associated mutants cap64Δ, cos9Δ, cos32Δ, cos34Δ, and uxs1Δ did not assemble into specific clusters, likely due to their largely unknown cellular functions.

Next, we performed a gene ontology (GO) term analysis for enrichment of molecular functions in our set of lithium-targeted factors. This analysis revealed significant enrichment of ion and anion-specific binding, ion transport, and ion channel activity, indicating that, as expected, lithium perturbs ion homeostasis (Figure S5). Further enriched functions comprised transcription factor activities and RNA-related processes, again fitting with previously described effects of lithium on yeast cells [29, 32]. Importantly, we also detected a significant, 5-fold enrichment of the GO term category ‘ubiquitinyl hydrolase activity’, confirming that the ubiquitin/proteasome system is a likely target of lithium in C. neoformans (Figure S5). Because proteostasis is an emerging theme in cryptococcal capsule regulation, we decided to focus our further analyses on this cellular pathway [33].

Proteostasis contributes to capsule and biofilm formation by C. neoformans

Having demonstrated that lithium inhibits capsule and biofilm formation, and affects the ubiquitin/proteasome system, we next analyzed whether individual mutants associated with this system may have dysregulated capsule and biofilm production. In total, our chemical genetics screen identified 16 ubiquitin/proteasome-associated mutants (Table 1). An in silico analysis revealed that the corresponding genes encode proteins that are part of almost all stages of the ubiquitin/proteasome system. Specifically, we identified
two ubiquitin-like molecules, two E1-activating enzymes, six E3-ligases, two proteasome-associated proteins, and four deubiquitinating enzymes (Figure 5). Notably, the abundance of three of these proteins (Nedd8, Rpt1, and CNAG_00180) was previously shown to be regulated by PKA (Table 1). We confirmed that the 16 proteasome-associated mutants were affected in their response to elevated lithium levels by performing serial spot dilution assays on solid YPD medium supplemented with 100 mM LiCl. Confirming our results for these strains in liquid media (Table 1), we found that some strains displayed enhanced sensitivity to lithium, while others appeared to be as resistant as the respective wild-type controls (Figure S6).

Next, we analyzed the capacity of each individual mutant to form capsule. Strikingly, we found that all 4 mutants from the 2008 CNKO library, and 9 out of 12 mutants from the 2015 CNKO library had significantly reduced capsule sizes (Figure 6A and 6B). We also performed in vitro biofilm assays for the ubiquitin-associated mutants in medium supplemented with or without 50 mM LiCl and found that 2 of the 4 mutants from the 2008 CNKO collection, and 8 out of 12 mutants from the 2015 CNKO collection produced significantly altered biofilms (reduced or enhanced) under either control or lithium-exposed conditions compared to the wild-type controls (Figure 6C). In summary, these results indicate that the ubiquitin/proteasome system contributes to normal capsule and biofilm production in C. neoformans.

The proteasome inhibitor bortezomib potentiates lithium-mediated capsule inhibition

The ubiquitin/proteasome system was a prominent cellular component affected by lithium in our screen (Figure 4). We have previously shown that the proteasome inhibitor bortezomib (BTZ) inhibits capsule production by C. neoformans in a dose-dependent manner [33]. Here, we hypothesized that if lithium indeed targets the cryptococcal proteasome, then

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**TABLE 1.** Ubiquitin/proteasome-associated mutants with altered growth in presence of lithium.

| CNKO - collectiona | Plate | Well | CNAG - numberb | Function / Predicted functionc | Rel. LiCl - growthd |
|---------------------|-------|------|----------------|------------------------------|---------------------|
| 2008 5 B5           | CNAG_02164 | hypothetical protein, ubiquitin-ligase domain | 2.8 |
| 2008 7 F4           | CNAG_02827 | ubiquitin-like protein Nedd8 (Rub1) | 0.7 |
| 2008 11 A1          | CNAG_04493 | ubiquitin carboxyl-terminal hydrolase 48 (Ubp15) | 3.6 |
| 2008 11 C9          | CNAG_06342 | SUMO activating enzyme (AOS1) | 3.9 |
| 2015 1 E8           | CNAG_04816 | hypothetical protein, Ubiquitin domain profile | 1.5 |
| 2015 3 A11          | CNAG_04159 | E3 ubiquitin-protein ligase (Hel1) | 0.3 |
| 2015 4 A5           | CNAG_07541 | proteasome assembly chaperone 2 | 1.3 |
| 2015 8 G2           | CNAG_01746 | E3 ubiquitin-protein ligase RNF14 | 1.2 |
| 2015 10 B3          | CNAG_02395 | ubiquitin carboxyl-terminal hydrolase 9/13 (Ubp13) | 1.4 |
| 2015 13 F3          | CNAG_03777 | hypothetical protein, E3 ubiquitin-protein ligase domain | 0.4 |
| 2015 15 C12         | CNAG_03807 | E3 ubiquitin-protein ligase CCNP1IP1 | 0.4 |
| 2015 15 F5          | CNAG_06983 | hypothetical protein, ubiquitin carboxyl-terminal hydrolase | 0.4 |
| 2015 17 B1          | CNAG_07719 | 26S protease regulatory subunit 7 (RPT1) | 0.2 |
| 2015 19 H2          | CNAG_00986 | activating enzyme of the ubiquitin-like proteins (Uba4) | 1.7 |
| 2015 20 F11         | CNAG_00171 | E3 ubiquitin-protein ligase PEX2 | 4.1 |
| 2015 20 G3          | CNAG_00180 | ubiquitin carboxyl-terminal hydrolase L3 (Yuh1) | 3.6 |

aCNKO, Cryptococcus neoformans knockout, bProteins in bold were previously shown to be PKA-regulated [33], cPredicted functions are based on data retrieved from FungiDB, dRelative (rel.) lithium chloride (LiCl) growth is expressed as normalized fold change of mutant growth in YPD medium supplemented with 100 mM LiCl compared to growth in YPD medium alone. A value > 1 indicates that a mutant was more tolerant to LiCl compared to the mean of the remaining mutants of an individual 96-well plate, while a value < 1 indicates hypersensitivity towards LiCl.
using sub-inhibitory concentrations of BTZ together with LiCl should potentially result in strong inhibition of capsule production. Indeed, for the wild-type strain and the hyper-capsular mutant pkr1Δ, we found that combining 25 mM LiCl with either 25 µM or 50 µM BTZ resulted in significantly reduced capsule sizes compared to treatment of the cells with the individual compounds alone (Figure 7A and Figure 7B). The combination of LiCl and 50 µM BTZ led to a significant reduction of capsule formation in the wild-type strain, while the combination of LiCl with 25 µM or 50 µM BTZ led to significantly reduced capsule sizes in the pkr1Δ mutant (Figure 7B). Interestingly, analysis of the data for relative capsule thickness revealed that capsule formation by the pkr1Δ mutant was more strongly affected by LiCl treatment, and less affected by BTZ compared to the wild-type strain (Figure 7C). Taken together, these results indicate that LiCl may target the proteasome as part of its activity to reduce capsule production.

The lithium-mimetic drug ebselen inhibits capsule formation
Lithium is an important drug for treatment of patients with bipolar disorder. However, at higher concentrations, lithium has considerable toxic side effects. A recent study identified the repurposed drug ebselen (EBS) as a clinically safe lithium mimetic and antioxidant agent [34]. Assuming a similar mode-of-action for both lithium and EBS, we analyzed whether a combination of sub-inhibitory concentrations of lithium and EBS would result in potentiated inhibition of capsule formation. Indeed, we found that wild type and pkr1Δ cells exposed to both drugs produced significantly reduced capsule sizes compared to exposure to each drug alone (Figure 8A and Figure 8B). Similar to our findings for the combination of LiCl and BTZ, analysis of the relative capsule thickness revealed that capsule formation by pkr1Δ was more strongly affected by LiCl and less affected by EBS compared to the wild-type strain (Figure 8C). In summary, these findings demonstrate that EBS has strong potency in
inhibiting capsule biosynthesis. Next, we hypothesized that EBS might also target multiple cellular targets including the proteasome system similar to lithium. We therefore exposed C. neoformans wild type or pkr1Δ mutant cells to EBS, or BTZ alone, or to a combination of EBS and BTZ. The exposure to both drugs resulted in significantly reduced capsule formation by the wild-type strain compared to the single drug treatments (Figure 8D and Figure 8E). However, the drug combination only significantly reduced capsule formation by the pkr1Δ mutant compared to the BTZ-only treatment, and not compared to the EBS-only treatment (Figure 8E). Analysis of the relative capsule thickness revealed that capsule formation by the pkr1Δ mutant was less susceptible to both BTZ and EBS, compared to the wild-type strain (Figure 8F). In summary, these results demonstrate that EBS potently inhibits capsule formation in a process that may involve the cAMP/PKA pathway and the ubiquitin/proteasome system.

Clinically relevant concentrations of lithium and EBS impact cryptococcal capsule and/or biofilm formation in vitro
In order to obtain insight into the global effects of lithium on cellular pathways and activities, we have employed relatively high concentrations of lithium (25 mM to 100 mM LiCl, see experiments described above). However, due to potentially toxic side effects, the final plasma concentrations of lithium used clinically are in the range of only 0.5 mM to 1.2 mM [35, 36]. We therefore next evaluated the impact of
using a clinically relevant dose of 1 mM LiCl on *C. neoformans* capsule and biofilm formation. Interestingly, we found that this concentration of lithium significantly reduced capsule formation by *C. neoformans* wild-type cells compared to untreated control cells (Figure 9A and Figure 9B). Cryptococcal biofilm formation however was not affected by this low dose of LiCl (Figure S7A and Figure S7B).

Although EBS has not yet been approved by the FDA, initial animal trials have used safe EBS doses of 50 - 200 mg kg\(^{-1}\) [37, 38]. We therefore investigated whether a relatively low concentration of 20 µg ml\(^{-1}\) EBS would impact *in vitro* biofilm production by *C. neoformans* wild-type cells. Importantly, we found that this dose of EBS strongly inhibited biofilm formation (Figure 9C and Figure 9D). As mentioned above, EBS has been described as a lithium-mimetic drug [34], and we demonstrated that a concentration of 25 µM EBS (~ 6.85 µg ml\(^{-1}\)) significantly reduced capsule formation by *C. neoformans* and had additive activity with BTZ (Figure 8). Because our results established a role of the ubiquitin/proteasome system in mediating tolerance to LiCl, we next analyzed a potential role of proteostasis in regulating the response to EBS. However, using our set of 16 ubiquitin/proteasome-associated mutants (Table 1), we found that the growth response of these strains differed between exposure to EBS or LiCl (Figure S8). That is, all of the mutants showed reduced growth in the presence of EBS (Figure S8), including those that were more tolerant to LiCl (e.g., CNAG_00171 and CNAG_00180; Table 1). Together, these results demonstrate that clinically relevant concentrations of LiCl or EBS have the capacity to reduce *C. neoformans* capsule and/or biofilm formation in vitro. Furthermore, EBS does not appear to evoke the same cellular response compared to lithium with regard to the ubiquitin/proteasome system.

**DISCUSSION**

Identifying new targets and novel approaches to target fungal virulence factors are two important aspects of current efforts to discover antifungal drugs [39-42]. These approaches are complemented by strategies to identify new drugs, and repurpose existing drugs as possible antifungals [43, 44]. Lithium is an FDA-approved drug used to treat persons suffering from bipolar disorder, a brain disorder formerly known as manic depression that causes extreme mood swings. In this study, we have found that lithium potently blocks capsule and biofilm formation by the human fungal pathogen *C. neoformans*, in part via targeting PKA and the ubiquitin/proteasome system (Figure 9E).
ubiquitin/proteasome system is a major mechanism by which eukaryotic proteins are targeted for degradation [45, 46]. Proteins that are destined for degradation are tagged with ubiquitin in a sequential process involving E1 ubiquitin-activating enzyme, E2 ubiquitin-conjugating enzyme and E3-ubiquitin ligase. Ubiquitin-tagged proteins are then transferred to the proteasome, a multimeric machine in the form of a barrel, through which proteins are pulled and enzymatically degraded [45].

Our finding of an involvement of proteostasis in capsule formation is in full agreement with results from our previous studies in which we analyzed the PKA-regulated \textit{C. neoformans} proteome and identified the ubiquitin/proteasome system as a major component regulating capsule biosynthesis [33]. In our present study we independently confirmed these results and showed that exposing cells to lithium and simultaneously targeting proteostasis drastically reduces capsule production. Moreover, mutants with defects in the ubiquitin/proteasome system produced significantly smaller capsules compared to a wild-type control. These results provide further proof for the emerging theme of the role of proteostasis in cryptococcal capsule formation. The exact mechanistic underpinnings of proteostasis-mediated capsule regulation however remain to be discovered. For example, it is currently unknown which proteins or pathways may be targeted by the proteasome system during capsule formation.

Hypertonic solutes such as sodium chloride (NaCl) have previously been shown to inhibit capsule formation by \textit{C. neoformans}. Using a high concentration of 1 M NaCl, Jacobson \textit{et al.} demonstrated strong inhibition of capsule due to osmotic stress [47]. To investigate whether osmotic stress may also contribute to capsule inhibition by lithium, we studied the effects of a NaCl concentration of 100 mM that matched the treatments with LiCl. Our results indicated that although NaCl indeed reduced capsule formation, this reduction was moderate compared to the effect of lithium. Moreover, 100 mM NaCl had no effect on biofilm formation by wild-type cells. However, we detected an impact of lithium on osmotic stress-related factors such as Hog1, Ena1, and Rim101 in our chemical genetics screen. Taken together these results indicate that although lithium appears to affect ionic homeostasis in \textit{C. neoformans}, osmotic stress does not appear to be the major reason for the observed inhibitory activities on capsule and biofilm formation. Despite being widely used to treat bipolar disorder, the precise molecular function(s) exerted by lithium are still largely unknown. However, some factors and pathways have been identified as lithium targets in yeast cells, including the enzyme phosphoglucomutase which catalyzes the reversible conversion of glucose-1-phosphate and glucose-6-phosphate and is required for galactose metabolism [25, 26]. Furthermore, lithium has been shown to block the yeast-to-hypal transition in \textit{C. albicans} via a mechanism involving inhibition of phosphoglucomutase [27]. Therefore, we initially hypothesized that lithium may also target this enzyme in \textit{C. neoformans}. However, our analysis using galactose-grown cells exposed to lithium demonstrated that phosphoglucomutase does not appear to be a major target of lithium in \textit{C. neoformans}. These results point to fundamental differences in the cellular response to this alkali metal between \textit{C. neoformans} and both \textit{C. albicans} and \textit{S. cerevisiae}. The results may also reflect differences in galactose metabolism between the species.

In order to illuminate general effects of lithium on \textit{C. neoformans}, we initially used a relatively high concentration of 100 mM LiCl. Importantly, our growth assays revealed that this LiCl concentration reduced overall growth by approximately 20-30% compared to control conditions without lithium. However, the same lithium concentration reduced capsule and biofilm formation by approximately 70-80%. Therefore, our observation of lithium-mediated virulence factor suppression cannot be explained by simple growth inhibition and instead points to lithium-specific effects.

We used a chemical genetics approach to obtain a more global view of the pathways and factors affected by lithium, and identified several functions including the cAMP/PKA pathway, inositol and trehalose metabolism, DNA repair systems, protein kinases, and the HOG pathway. Importantly, all of these pathways and functions have been previously described as lithium targets [29, 30]. Surprisingly however, we discovered that the ubiquitin/proteasome system represented a central pathway affected by lithium in \textit{C. neoformans}. This result is quite interesting given that an impact of lithium on the proteasome has previously been described in a murine leukemia cell line [48].

We have found that lithium inhibited both capsule and biofilm formation. Interestingly, several polysaccharides and sugars that constitute the capsule are also found as part of the extracellular biofilm matrix [13, 14]. We therefore hypothesize that lithium may target a common cellular process, such as possibly proteostasis, that is required for proper assembly of both structures. Indeed, we demonstrated that ubiquitin/proteasome-associated mutants were dysregulated in their ability to form both normal capsules and biofilms. Moreover, to the best of our knowledge, the ubiquitin/proteasome system has not been previously associated with biofilm formation and we hypothesize that proteostasis may contribute to normal biofilm production by \textit{C. neoformans}. In support of this hypothesis, a recent study examining the proteome of \textit{C. neoformans} biofilms identified proteins associated with proteolysis to be specifically upregulated in biofilm cells compared to planktonic cells [49].

The PKA-pathway is known to regulate capsule formation [22, 23], and we have previously analyzed the PKA-regulated transcriptome of \textit{C. neoformans}. Lithium was used to block inositol metabolism and was found to inhibit capsule formation in a dose-dependent manner [24]. However, whether inositol metabolism was the only pathway targeted by lithium was unknown. In the current study, we have confirmed that lithium inhibits capsule formation by \textit{C. neoformans} and that mutants defective in components of the PKA pathway have altered susceptibility. We also obtained evidence that lithium indeed impacts inositol metabolism, among many other cellular targets. Importantly, we discovered that lithium also dysregulates the ubiquitin/proteasome system. Using a combination of both lithium and
the proteasome-inhibiting drug, bortezomib, or the lithium-mimetic drug, ebselen, we demonstrated additive inhibition of capsule formation. Moreover, we established that lithium also inhibits biofilm formation and that mutants in the PKA pathway show differential inhibition. Together, these results link the PKA pathway and proteostasis to the virulence factor-inhibitory activity of lithium.

We noticed that biofilms formed by pka1Δ mutant cells displayed a different morphology compared to wild-type biofilms. Biofilm cells of the pka1Δ mutant were smaller in size and were in closer spatial proximity to each other. We hypothesize that a defect in polysaccharide secretion required for assembly of the extracellular matrix in the pka1Δ mutant may be responsible for this observation.

We identified an involvement of the PKA pathway in regulating the response to BTZ or LiCl, or a combination of both. Since it is known that lithium targets PKA as one of its functions, and that PKA regulates proteostasis [33], these results fit with our proposed model of lithium effects (Figure 9E).

EBS has recently been identified as a safe, lithium-mimetic drug, and it is currently in clinical trials for different (non-fungal) disorders [34]. Because EBS showed additive activity with BTZ and LiCl, it may have similar cellular targets as lithium. However, these targets appear to be independent of the ubiquitin/proteasome system, because our mutants with defects in components of the proteasome system displayed divergent growth profiles when comparing growth in the presence of LiCl or EBS. EBS has previously been demonstrated to inhibit cryptococcal growth at relatively low concentrations and may therefore represent an attractive lead compound for development of a novel antifungal agent [50].

We demonstrated that a clinically relevant, low concentration of LiCl significantly reduced C. neoformans capsule formation in vitro. This result raises the possibility that this low dose of lithium could have clinical relevance for treatment of cryptococcal infections, especially when combined with additional drug therapy. However, in vivo lithium efficacy would need to be verified first. The low dose of 1 mM LiCl was not effective in preventing biofilm formation, which is likely due to the intrinsically enhanced resistance of biofilm cells to antimicrobial compounds.

In summary, results of this study link the capsule-inhibiting activity of LiCl to the ubiquitin/proteasome system and suggest that a combination of LiCl and BTZ or EBS may be effective in treating cryptococcosis in the clinic.

MATERIALS AND METHODS

Strains and growth conditions

C. neoformans variety grubii strain H99S (serotype A), strain H99C, and strain KN99α were used as wild-type controls. Other strains used in this study are listed in Table S3. Fungal strains were routinely maintained on YPD agar [1% yeast extract, 2% bacto-peptone, 2% D-glucose, 2% agar]. Overnight cultures were grown in liquid YPD medium in a shaking incubator at 30°C and 180 rpm.

To study the effect of different carbon sources on growth, we used YNB minimal medium [0.17% yeast nitrogen base, 0.5% ammonium sulfate] supplemented with either 2% glucose (YNB-Glu) or 2% galactose (YNB-Gal). A microplate reader (Infinite M200, Tecan) was used for automated growth curve analyses. Overnight fungal cultures were washed twice in sterile phosphate-buffered saline (PBS), and 2 × 10⁷ cells were exposed to 0, 50 or 100 mM LiCl in a final volume of 200 µl YNB-Glu or YNB-Gal in 96-well microtiter plates. Plates sealed with sterile adhesive foil to prevent the evaporation of medium. Growth of the strains was then recorded by measuring the OD₆₀₀ in 30 min intervals for up to 96 hours. Unless noted otherwise, all chemicals were obtained from Sigma-Aldrich.

Lithium dose-response growth assay

Overnight fungal cultures were diluted 1:40 into YPD medium supplemented with 0, 50, 100, 150, 200, 250, 300, 350, or 400 mM LiCl, and 200 µl were pipetted into wells of a 96-well microtiter plate. The plate was covered with a sterile adhesive foil and incubated at 30°C for 72 h. The OD₆₀₀ was then measured using a microplate reader (Infinite M200, Tecan).

Serial spot dilution assay

Overnight fungal cultures were washed twice in PBS and cell numbers adjusted to 2 × 10⁶ cells ml⁻¹. Next, 10-fold serial dilutions were prepared and five microliters (covering a range of 10⁻⁸ - 10⁻¹⁰ cells) were spotted onto YPD agar (control) or YPD agar supplemented with 100 mM LiCl. Plates were then incubated at 30°C or 37°C for three days before being photographed.

Lithium chloride screening

To obtain insight into the global cellular effects of lithium, we performed a chemical genetic screen using the C. neoformans 2008 and 2015 CNKO mutant collections from the Madhani laboratory (distributed via the Fungal Genetics Stock Center, http://www.fgsc.net/), and the transcription factor (TF) mutant library constructed by the Bahn laboratory [28]. The 2008 and 2015 CNKO libraries consist of 1,180 and 2,111 mutants, respectively. The TF-mutant library consists of 322 mutants with deletions in 155 different TFs (with at least two independent deletion strains per TF). In this study, we screened two independent mutants each for 154 of these TFs (a total of 308 mutants) and results are presented as the mean of both mutants. The knockout mutants from the three libraries were grown overnight in sterile, polystyrene, flat-bottom, 96-well microtiter plates (Greiner) in YPD at 30°C. Cultures were then diluted 1:40 in YPD or YPD supplemented with 100 mM LiCl, and plates were incubated in a plastic bag (to avoid medium evaporation) at 30°C for 72 h. The OD₆₀₀ was then measured for each plate using a microplate reader (Infinite M200, Tecan). To obtain relative growth values (expressed as either percent or log₂-ratios), we divided the values obtained for growth in YPD medium-only by the values for growth in YPD medium containing LiCl. The 2008 and 2015 CNKO mutants significantly affected by lithium were identified by calculating the mean log₂-ratio of growth for all mutants of an individual 96-well plate and applying a cutoff of ± 1.5-fold the standard deviation (SD). For the smaller TF-library, the mean and ± 1.5-fold SD cutoffs were calculated for mutants of all four plates combined instead of for individual plates.

In silico analysis

In order to analyze our set of lithium-regulated genes, we used the STRING (Search Tool for Retrieval of Interacting Genes/Proteins) program [51]. Because the STRING tool utilizes the C.
novos var. neoformans JEC21 database as reference, we first retrieved the JEC21 orthologues for each respective C. neoformans H99 gene using BLAST (Basic Local Alignment Search Tool) programs at FungiDB and JGI (Joint Genome Institute, http://genome.jgi.doe.gov/). The STRING network was built by applying the default settings, including all active interaction sources and a medium confidence value of 0.4. We then used the network ‘k-means’-function to analyze the results for potential clusters.

The gene ontology (GO) analysis for our set of genes identified in the lithium screens was performed using FungiDB (http://fungidb.org/). Genes were analyzed for GO enrichment in molecular functions using a cutoff of \( P < 0.05 \) and then ordered according to fold-enrichment.

**Capsule formation**

To investigate capsule formation, stationary phase fungal cultures were washed twice in PBS and 40 µl of cells were added to 3 mL low-iron capsule induction medium \([\text{CIM}; 5 \text{ g L}^{-1}\text{glucose}, 5 \text{ g L}^{-1}\text{L-asparagine}, 0.4 \text{ g L}^{-1}\text{K}_{2}\text{HPO}_4, 0.25 \text{ g L}^{-1}\text{CaCl}_2 \times 2 \text{ H}_2\text{O}, 0.08 \text{ g L}^{-1}\text{MgSO}_4 \times 7 \text{ H}_2\text{O}, 4.78 \text{ g L}^{-1}\text{HEPES}, 1.85 \text{ g L}^{-1}\text{NaHCO}_3; \text{dissolved in chelox 100 resin-treated water, pH 7.4}]\) supplemented with or without \(100 \text{ mM lithium chloride or 100 mM NaCl}\). Samples were incubated at 30°C and 180 rpm for 48 h. Fungal cells were then stained with India ink to visualize the polysaccharide capsule by DIC microscopy.

For some capsule formation assays, we employed a 96-well plate-based approach. Overnight fungal cultures were diluted 1:40 into CIM or CIM supplemented with either 25 mM LiCl, 25 µM or 50 µM BTZ, 25 µM EBS, or a combination of those. Next, 200 µl per well were added to a sterile 96-well microtiter plate and plates were incubated at 30°C and 180 rpm for 48 h. Each sample was mixed by pipetting up and down, and sub-samples were stained with India ink. Capsule formation was then analyzed by DIC microscopy.

**Inhibitors**

For inhibitor studies, the 26S proteasome inhibitor bortezomib (BTZ, New England BioLabs), and the lithium-mimetic drug ebosen (EBS, Sigma-Aldrich) were used. BTZ and EBS were adjusted to 5 mg mL\(^{-1}\) in dimethyl sulfoxide (DMSO), aliquoted to small volumes (to avoid multiple freeze-thaw cycles) and kept at -20°C for long-term storage. In our experiments, final DMSO concentrations were < 0.4%.

**Biofilm formation**

Biofilm assays were performed based on previously published protocols [14, 52], with minor modifications. Briefly, overnight fungal cultures were washed three times in PBS and resuspended in Dulbecco’s modified eagle medium (DMEM, Gibco) at a final concentration of \(10^5\) cells mL\(^{-1}\). For some experiments, DMEM was supplemented with 1 mM, 50 mM, or 100 mM LiCl, 100 mM NaCl, 20 µg mL\(^{-1}\)EBS, or a combination of these chemicals. Next, 200 µl of fungal cells were transferred into individual wells of sterile, polystyrene, flat-bottom, 96-well microtiter plates (Corning). Wells with medium only were included as controls. To minimize evaporation, 200 µl sterile water was added to the wells surrounding the test wells. Plates were incubated at 37°C and 5% CO\(_2\) for 48 h.

**XTT assay**

For biofilm analysis, the 2,3-bis(2-methoxy-4-nitro-5-sulphophenyl)-5-[(phenylaminecarbonyl)-2H-tetrazolium hydroxide (XTT) reduction assay was used according to previously published protocols with minor modifications [14, 53]. An XTT stock solution was prepared in water (1 mg mL\(^{-1}\)), filter sterilized through a 0.2 µm pore-size filter, aliquoted, and stored at -20°C until use. Prior to each assay, XTT solution was thawed and mixed with a 0.4 mM menadione solution at a ratio of 20:1. Biofilms were washed twice with 100 µl PBS per well to remove unadhered cells, and 200 µl XTT-menadione solution (158 µl PBS mixed with 40 µl XTT solution and 2 µl menadione solution) were added per well. Plates were incubated in the dark for 3.5 h at 37°C. Next, 100 µl of supernatant were transferred to a fresh 96-well plate and the OD\(_{492}\) determined in a microplate reader (Infinite M200, Tecan). Values for medium-only controls were subtracted from final sample readings.

**Microscopy**

Biofilm formation was analyzed using an inverted microscope (Olympus CX41). Differential interference contrast (DIC) microscopy was performed with an Axio Imager 2 microscope (Zeiss), and micrographs were captured with an ORCA-flash 4.0 LT camera (Hamamatsu) and analyzed with the ZEN software (Zeiss). Quantification of cell diameters and capsule thickness was performed according to Figure S1 using Fiji [54].

**Statistics**

Data were visualized and statistically analyzed using GraphPad Prism version 7.0 (GraphPad Software, USA). Statistical tests were performed by two-way analysis of variance (ANOVA) followed by a Bonferroni correction, or by two-tailed, unpaired Student’s t-test as indicated in the figure legends. \(P\)-values of ≤ 0.05 were considered to be significant.

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CONFLICT OF INTEREST

The authors declare that they have no conflicts of interest.

SUPPLEMENTAL MATERIAL

All supplemental data for this article are available online at www.microbialcell.com.

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Cryptococcus neoformans

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