Full-length transcript sequencing of human and mouse cerebral cortex identifies widespread isoform diversity and alternative splicing

Graphical abstract

Highlights

- There is widespread transcript diversity in the cortex and many novel transcripts
- Some genes display big differences in isoform number between human and mouse cortex
- There is evidence of differential transcript usage between human fetal and adult cortex
- There are many novel isoforms of genes associated with human brain disease

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In brief

Leung et al. use long-read sequencing to annotate RNA isoforms in the human and mouse cortex. They identify novel transcripts and evidence for differential transcript usage between the fetal and adult cortex. Their data confirm the importance of alternative splicing as a mechanism underpinning gene regulation in the brain.
SUMMARY

Alternative splicing is a post-transcriptional regulatory mechanism producing distinct mRNA molecules from a single pre-mRNA with a prominent role in the development and function of the central nervous system. We used long-read isoform sequencing to generate full-length transcript sequences in the human and mouse cortex. We identify novel transcripts not present in existing genome annotations, including transcripts mapping to putative novel (unannotated) genes and fusion transcripts incorporating exons from multiple genes. Global patterns of transcript diversity are similar between human and mouse cortex, although certain genes are characterized by striking differences between species. We also identify developmental changes in alternative splicing, with differential transcript usage between human fetal and adult cortex. Our data confirm the importance of alternative splicing in the cortex, dramatically increasing transcriptional diversity and representing an important mechanism underpinning gene regulation in the brain. We provide transcript-level data for human and mouse cortex as a resource to the scientific community.

INTRODUCTION

Alternative splicing (AS) is a post-transcriptional regulatory mechanism producing multiple RNA isoforms from a single mRNA precursor. In eukaryotes, AS dramatically increases transcriptomic and proteomic diversity from the coding genome and is an important mechanism in the developmental control of gene expression. The mechanisms involved in AS include the use of alternative first (AF) and last (AL) exons, exon skipping (SE), alternative 5′ (A5′) and A3′ splice sites, mutually exclusive exons (MX), and intron retention (IR) (Wang et al., 2008). These phenomena are common, influencing the transcription of >95% of human genes (Pan et al., 2009). Because alternatively spliced transcripts from a single gene can produce proteins with different functions (Eksi et al., 2013; Yang et al., 2016), there is increasing interest in their role in human disease (Wang and Cooper, 2007). Of note, the correction of AS deficits has been shown to have therapeutic benefit in several disorders including spinal muscular atrophy (Wan and Dreyfuss, 2017). AS appears to be particularly important and prevalent in the central nervous system (CNS) (GTEx Consortium, 2015), where it impacts neurodevelopment (Mazin et al., 2013), aging (Tollervey et al., 2011), and key neural functions (Raj and Blencowe, 2015). AS is a common feature of many neuropsychiatric and neurodegenerative diseases (Mills and Janitz, 2012), with recent studies highlighting splicing differences associated with autism (Parikshak et al., 2016).
A

Number of Reads (Thousand)

0 200 400 600 800

0 10 20 30 40 50

CCS Read Length (kb)

Human Cortex

Mouse Cortex

B

Isosforms (%)

0 5 10 15 20

Distance to Annotated CAGE Peak (bp)

Human Cortex

Mouse Cortex

C

Number of isoforms (Thousand)

0 5 10 15 20 25

0.00 0.25 0.50 0.75 1.00

Coding probability (CPAT)

D

Number of isoforms (Thousand)

0 1 2 3

0 2500 5000 7500

Nucleotide length (bp)

E

Genes (%)

0 10 20 30 40

1 2-3 4-5 6-7 8-9 >=10

Number of Isoforms

Human Cortex

Mouse Cortex

F

(legend on next page)
Characterizing the full complement of isoforms across tissues and development is important for understanding transcriptional variation in health and disease. For example, transcript-level annotation can be used to improve the understanding of the functional consequences of rare genetic variants (Cummings et al., 2020). However, efforts to fully characterize RNA isoform diversity are constrained by standard RNA sequencing (RNA-seq) approaches, which generate short reads that cannot span full-length transcripts (Steijger et al., 2013). Recent advances in long-read sequencing have addressed these challenges; Pacific Biosciences (PacBio) single-molecule real-time (SMRT) sequencing and Oxford Nanopore Technologies (ONT) nanopore sequencing can generate reads >10 Kb, enabling direct assessment of alternatively spliced transcripts (Amarasinghe et al., 2020).

In this study, we systematically characterize RNA isoform diversity in the cerebral cortex, a key region of the brain involved in perception, cognition, and consciousness. We first use the PacBio isoform sequencing (Iso-Seq) approach (Gordon et al., 2015) to generate full-length cDNA sequences from the human and mouse cortex. We identify widespread transcript diversity with the detection of novel transcripts not previously described in existing genomic annotations, including in genes associated with neuropsychiatric and neurodegenerative disease. We subsequently use ONT nanopore sequencing and short-read RNA-seq to validate and complement our Iso-Seq data. We find widespread evidence of different AS events and examples of fusion genes representing read-through transcription between adjacent genes. A comparison of human and mouse cortex identified species-specific transcript diversity, and a comparison of fetal and adult human cortex highlighted developmental changes in AS and transcript expression. Our data confirm the importance of AS in the cortex, dramatically increasing annotated transcriptional diversity and representing an important mechanism underpinning gene regulation in the brain. Our transcript annotations and sequencing data are available as a resource to the research community via browsable tracks and a searchable transcript visualization database.

RESULTS

Methodological overview

An overview of the methods and datasets used in our study is given in Figure S1. PacBio Iso-Seq data were generated on RNA isolated from human cortex tissue (n = 7) dissected from fetal (n = 3, mean age = 16 weeks post-conception [WPC]), and adult (n = 4, mean age = 61.8 years, range = 24–89 years) donors (Table S1). Raw reads were processed using the Iso-Seq pipeline (Gordon et al., 2015), mapped to the genome, and clustered using cDNA Cupcake followed by SQANTI2 (Tardaguila et al., 2019) annotation (Table S2). In parallel, we generated a mouse cortex Iso-Seq dataset (n = 12, mean age = 5 months, range = 2–8 months; Table S1) and also profiled tissue from two additional human brain regions (hippocampus and striatum). Rarefaction curves confirmed that our coverage of RNA isoform diversity is representative of the population of transcripts present (Figures S2A–S2F). All downstream analyses and statistics reported in our manuscript are based on the subset of SQANTI2-filtered transcripts unless otherwise indicated, although the extended (unfiltered) datasets are available as genome browser tracks as a resource. To validate the transcripts identified using Iso-Seq, we generated short-read RNA-seq data (human: n = 3; mouse: n = 12) and additional full-length transcriptome data using nanopore sequencing (ONT) in a subset of samples (human: n = 2; Table S1). Taken together, our analysis represents the most comprehensive characterization yet undertaken of full-length transcripts and transcript diversity in the human and mouse cortex.

Iso-Seq identifies widespread transcript diversity in the human cortex

We obtained a total of 3.30 M (million) circular consensus sequence (CCS) reads from the human cortex samples (Table S3), with the majority of reads 2 to 3 kb in length (mean length = 2.46 kb; Figure 1A; Figures S3A–S3C), corresponding to the mean length of mRNA in the human genome (Piovesan et al., 2019). Following stringent quality control (QC), these reads mapped to 12,910 “annotated” genes (Table 1) with expression patterns reflecting those expected for the cortex; using the Human Gene Atlas database (Kuleshov et al., 2016), the 500 most abundantly expressed genes were most enriched for “prefrontal cortex” (odds ratio = 5.99, adjusted p = 9.18 × 10−5) (Table S4). In total, we identified 32,802 unique transcripts (mean length = 2.77 kb, SD = 1.29 kb, range = 0.104–11.8 kb) in the human cortex (Table 1); as expected, these were enriched near Cap Analysis Gene Expression (CAGE) peaks from the FANTOM5 dataset (Lizio et al., 2019) (median distance from a CAGE peak = 1 bp with 25,762 [78.5%] of transcripts located within 50 bp of a CAGE peak) (Figure 1B) and were also located proximally to annotated transcription start sites and transcription termination sites (Figures S4B and S4C). Using the Coding-Potential Assessment Tool (CPAT) (Wang et al., 2013) to characterize open reading frames (ORFs) among detected transcripts, we identified a high level of coding potential: 29,998 (91.5%) of the...
forms; Figure 1F). Gene Ontology (GO) analysis showed that the
by more than ten isoforms (n = 205 [1.58%]) (Figure 1E).
more than one isoform, and a notable proportion characterized
half of all detected genes (n = 7,155 [55.2%]) characterized by
odds ratio = 31.8, adjusted p = 2.39
genes in human cortex was “pre-mRNA binding” (human cortex:
most enriched molecular function among the 100 most isoformic
(lncRNA) gene involved in synaptic plasticity (Tan et al., 2017),
MEG3,
7.97
correlated with both gene length (corr = 0.19, p = 1.52
3
10
4
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32,745 transcripts) in the human cortex, the majority were char-
tected transcripts were predicted to be protein-coding (Figure
1C) with an average ORF length of 1,327 nucleotides (Figure
1D). A wide range in the number of multi-exonic RNA iso-
forms was identified per gene (n = 1–40; Table S5), with over
half of all detected genes (n = 7,155 [55.2%]) characterized by
more than one isoform, and a notable proportion characterized
by more than ten isoforms (n = 205 [1.58%]) (Figure 1E).
MEG3, a maternally expressed imprinted long non-coding RNA
(lncRNA) gene involved in synaptic plasticity (Tan et al., 2017),
displayed the greatest isoform diversity in human cortex (40 iso-
forms; Figure 1f). Gene Ontology (GO) analysis showed that the
most enriched molecular function among the 100 most isoformic
genes in human cortex was “pre-mRNA binding” (human cortex:
ods ratio = 31.8, adjusted p = 2.39 \times 10^{-3} (Table S4), an inter-
esting observation given the role that RNA-binding proteins
(RBPs) themselves play in regulating tissue-specific patterns of
AS (Fu and Ares, 2014). The number of detected isoforms was
correlated with both gene length (corr = 0.19, p = 1.52 \times
10^{-106}; Figure S5A) and the number of exons (corr = 0.24, p = 
7.97 \times 10^{-155}; Figure S5E), with these relationships being stronger
among “highly expressed” (> 2.5 Log10 TPM) transcripts per
million (TPM) genes (correlation between isoform number and
gene length = 0.49; p = 1.39 \times 10^{-34}; correlation between iso-
form number and number of exons = 0.45, p = 7.42 \times 10^{-28}; Fig-
ures S5C and S5G), reflecting the additional sensitivity for de-
tecting transcripts of highly expressed genes.

**Novel transcripts were detected for a large proportion of
expressed genes in the human cortex**
Among full-length transcripts annotated to known genes (n =
32,745 transcripts) in the human cortex, the majority were char-
acterized either as a complete full splice match (FSM: n = 17,080
[52.2%]) or incomplete splice match (ISM: n = 3,752 [11.4%]) to
existing annotations in GENCODE (hg38) (Figure 2B; Table S6). A
significant proportion of transcripts, however, represented
“novel” transcripts not present in existing annotation databases
(Table S7): 11,913 transcripts (36.4%) associated with 5,327
(41.5%) genes were classified as “novel” (mean size = 2.84 kb,
SD = 1.2 kb, range = 0.104–11.2 kb, mean number of exons =
11.1) (Figure 2B; Figure S6A). Most of these novel transcripts
contained a combination of known donor and acceptor splice
sites and were classified as “novel in catalog” (NIC: n = 8,721,
73.2% of all novel transcripts of known genes). The remaining
novel transcripts were predominantly classified as “novel not
in catalog” (NNC), with at least one novel donor or acceptor
site (n = 3,021, 25.4% of all novel transcripts of known genes).
Novel transcripts were generally less abundant than annotated
transcripts (Mann-Whitney-Wilcoxon test: W = 1.10
107,p<
108,p<
108,p<
108,p<
108,p<

### Table 1. An overview of the whole-transcriptome Iso-Seq datasets generated on human and mouse cerebral cortex

|                  | Human cortex | Mouse cortex | Adult cortex | Fetal cortex |
|------------------|--------------|--------------|--------------|-------------|
| Unique genes     | 12964        | 14684        | 11021        | 9679        |
| Annotated genes (%) | 12910 (99.58) | 14482 (98.62) | 10987 (99.69) | 9660 (99.8) |
| Novel genes (%)  | 54 (0.42)    | 202 (1.38)   | 34 (0.31)    | 19 (0.2)    |
| Isoforms         | 32802        | 46626        | 22048        | 18612       |
| Genes with >1 isoform (%) | 7155 (55.19) | 9266 (63.1)  | 5003 (45.4)  | 4200 (43.39) |
| Genes with >10 isoforms (%) | 205 (1.58) | 466 (3.17)   | 66 (0.6)     | 50 (0.52)   |
| Protein-coding transcripts (%) | 30411 (92.71) | 43530 (93.36) | 20537 (93.15) | 17464 (93.83) |
| Non-protein-coding transcripts (%) | 2391 (7.29) | 3096 (6.64)  | 1511 (6.85)  | 1148 (6.17) |
| Known transcripts (FSM, ISM) (%) | 20832 (63.51) | 23530 (50.47) | 15659 (71.02) | 13177 (70.8) |
| Novel transcripts (%) | 11970 (36.49) | 23096 (49.53) | 6389 (28.98) | 5435 (29.2) |
| FSM (%)          | 17080 (52.07) | 19803 (42.47) | 13007 (58.99) | 11346 (60.96) |
| ISM (%)          | 3752 (11.44)  | 3727 (7.99)   | 2652 (12.03) | 1831 (9.84) |
| NIC (%)          | 8721 (26.59)  | 13763 (29.52) | 4464 (20.25) | 4315 (23.18) |
| NNC (%)          | 3021 (9.21)   | 8721 (26.59)  | 1796 (8.15)  | 1041 (5.59) |
| Genic genomic (%) | 35 (0.11)     | 62 (0.13)     | 20 (0.09)    | 8 (0.04)    |
| Antisense (%)    | 31 (0.09)     | 119 (0.22)    | 22 (0.1)     | 7 (0.04)    |
| Fusion (%)       | 136 (0.41)    | 297 (0.64)    | 74 (0.34)    | 51 (0.27)   |
| Intergenic (%)   | 26 (0.08)     | 104 (0.22)    | 13 (0.06)    | 13 (0.07)   |
| Genic intron (%) | 0 (0)         | 0 (0)         | 0 (0)        | 0 (0)       |

FSM = full splice match; ISM = incomplete splice match; NIC = novel in catalogue; NNC = novel not in catalogue.
Figure 2. A large proportion of cortical transcripts are not described in existing annotations
(A) A transcript was classified as “FSM” if it aligned with the reference genome with the same splice junctions and contained the same number of exons; “ISM” if it contained fewer 5’ exons than the reference genome; “NIC” if it represented a novel transcript containing a combination of known donor or acceptor sites; and “NNC” if it represented a novel transcript with at least one novel donor or acceptor site.

(B) Approximately half of all transcripts identified in the human cortex were FSM, with a large proportion of transcripts assigned as being novel (NIC, NNC).

(C and D) Distribution of (C) ORF length and (D) coding probability of transcripts by category. A similar ORF length and CPAT probability score profile was observed for FSM, NIC, and NNC transcripts. Equivalent plots for mouse cortex can be found in Figures S7C and S7D.

(E) Shown is a UCSC genome browser track of VT11A in the human cortex. Interrogation of human protein data identified a peptide (NELGDDGNSSENQLIK, highlighted blue) that confirmed inclusion of a novel exon.
and NNC transcripts. Briefly, using the ORFs predicted from CPAT, we assembled a cortex-specific full-length protein database and searched the results against a bottom-up proteomics dataset generated from adult and fetal human brain cortex samples. We found examples of novel peptides, each mapping uniquely to one or more novel transcript(s), providing evidence for the stable translation of these isoforms in the cortex (Table S8; Figure 2E) shows a peptide assigned to a novel transcript of VIT1A—a gene encoding a soluble N-ethylmaleimide-sensitiv factor attachment protein receptor with neuron-specific functions (Tang, 2020)—providing evidence for translation of a protein isoform with a novel exon.

Overall patterns of transcript diversity are similar between human and mouse cortex

We generated a parallel Iso-Seq dataset on mouse cortex, obtaining 5.66 M CCS reads with similar size profiles (mean length = 2.57 kb; Figure 1A; Figure S2B) to those seen in human cortex. These reads mapped to 14,482 annotated genes (Table 1), with the 500 most abundantly expressed genes being primarily enriched for “cerebral cortex” genes in the Mouse Gene Atlas database (Kuleshov et al., 2016) (odds ratio = 6.07, adjusted p = 6.8 × 10−17, Table S4). We identified 46,626 unique transcripts (mean length = 3.18 kb, SD = 1.68 kb, range = 0.083–15.9 kb) in the mouse cortex (Table 1), which were again enriched near CAGE peaks (median distance from CAGE peak = −1 bp, 35,262 [75.6%] transcripts located within 50 bp of a CAGE peak; Figure 1B). A wide range in the number of multi-exonic RNA isoforms was also identified per gene (1 to 86) (Table S5), with a similar distribution to that observed in the human cortex (n = 9,266 genes [63.1%] with more than one isoform, n = 466 [3.17%] with more than ten isoforms) (Figure 1E). The number of detected DNA isoforms was also correlated with both gene length (corr = 0.25, p = 1.33 × 10−197; Figure S5B) and exon number (corr = 0.25, p = 4.02 × 10−193, Figure S5F), with a stronger relationship observed among “highly expressed” genes (Figures S5D and S5H). As in the human cortex, we identified a large proportion of novel transcripts associated with known genes (n = 22,873 [49.3%], mean size = 3.28 kb, SD = 1.61 kb, range = 0.182–15.0 kb, mean number of exons = 12.4), with the vast majority identified as either NIC (n = 13,763 [60.2%]) or NNC (n = 8,751 [38.3%]) (Figure S6A). They were also less abundant (W = 3.66 × 108, p < 2.23 × 10−308), longer (W = 2.37 × 109, p = 2.13 × 10−45), and had more exons (W = 1.94 × 108, p < 2.23 × 10−308) than already known transcripts (Figures S6C–S6H), with the majority (n = 17,252 [75.4%]) mapping to within 50 bp of an annotated CAGE peak (Figure S4A). Finally, predicted coding potential across different transcript categories reflected those observed in human cortex (Figures S7A–S7D).

A subset of genes is characterized by major differences in transcript diversity between human and mouse cortex

Although previous studies have highlighted evidence of major splicing diversity between human and mouse (Ule and Blencowe, 2019), we found that among multi-exonic genes, for which transcripts were detected in both human and mouse cortex (n = 10,202 genes; Figure S8A), the number of isoforms detected for each gene was significantly correlated between species (corr = 0.51, p < 2.23 × 10−328; Figure S8C). There was a stronger relationship among highly expressed genes (> 2.5 Log10 TPM in both species, corr = 0.64, p = 1.21 × 10−25; Figure S8E), a possible reflection of a deeper sequencing coverage of these genes. Despite the overall stability in cortical RNA isoform diversity between human and mouse, there were striking exceptions for specific genes (Table S5). SORBS1 (Figures 3A and 3B) and ARP21 (Figures S9A and S9B) had the largest absolute difference in numbers of isoforms detected between human and mouse. LPAR2 had the highest relative number of isoforms detected in human cortex (n = 12 isoforms; Figure S9C) compared to mouse cortex (1 isoform; Figure S9D) Figures S9, whereas Tmem191c had the highest relative number of isoforms in mouse cortex (n = 30 isoforms; Figure 3C) compared to human cortex (1 isoform; Figure 3D).

Comparisons with short-read RNA-Seq data and nanopore sequencing confirms the accuracy and sensitivity of Iso-Seq

Although Iso-Seq is accurate at characterizing RNA diversity (Wang et al., 2019), its sensitivity for quantifying gene expression has not been systematically explored. We generated highly parallel RNA-Seq data on a subset of samples (Table S9), finding a strong correlation between gene-level expression quantified using the two methods in both datasets (human fetal cortex: n = 9,221 genes, corr = 0.54, p < 2.23 × 10−308, mouse cortex: n = 13,923 genes, corr = 0.71, p < 2.23 × 10−308, Figures S10A and S10C). To further assess the quantitative accuracy of Iso-Seq, we included External RNA Controls Consortium (ERCC) spike-in control molecules into our mouse cDNA libraries. Among the detected ERCC transcripts, we found a near-perfect correlation between full-length Iso-Seq reads and the actual amount of control used (corr = 0.98, p = 1.42 × 10−41; Figure S10F), highlighting the power of Iso-Seq to accurately quantify the abundance of highly expressed transcripts. The vast majority of unique splice junctions identified in our Iso-Seq data were supported by RNA-seq in both human (n = 89,975 [99.4%] junctions) and mouse (n = 152,872 [98.1%] junctions). For transcripts that could be recapitulated in the matched RNA-seq data, there was a significant correlation between transcript expression levels quantified using both sequencing
Figure 4. Examples of fusion transcripts in the cortex
(A) A fusion transcript incorporating exons from ELAC1 and SMAD4 in the human cortex.
(B) Two read-through transcripts incorporating exons from MAPK3 and GDPD3 in the human cortex. Of note, one of the fusion transcripts is characterized by intron retention, as observed in another novel isoform of MAPK3.
(C) A fusion transcript incorporating exons from FOXG1 and LINC01551 in the human cortex.
(D) A fusion transcript incorporating exons across three pseudogenes in the human cortex.

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approaches (human cortex: n = 17,583 transcripts, corr = 0.40, p < 2.23 × 10−308, mouse cortex: n = 41,488 transcripts, corr = 0.48, p < 2.23 × 10−308, Figures S10B and S10D), further highlighting that transcript abundance can be reliably quantified using Iso-Seq.

Using our Iso-Seq data as a scaffold, we generated a reference-guided transcriptome assembly from our mouse cortex RNA-seq data using Stringtie (Pertea et al., 2015). Many of the isoforms reconstructed from RNA-seq reads appeared to represent incomplete fragments of full-length transcripts identified in Iso-Seq. Overall, isoforms assembled using RNA-seq reads had a significantly shorter mean length (RNA-seq: 2.31 kb versus Iso-Seq: 3.18 kb, t = 71.9, p < 2.2 × 10−16), lower average number of exons (RNA-seq: 7.30 versus Iso-Seq: 10.8, t = 76.7, p < 2.2 × 10−16), and were less likely to be located within a CAGE peak (RNA-seq: 34.0% versus Iso-Seq: 71.9%, Fisher’s exact test = p < 2.2 × 10−16, odds ratio = 4.97) (Figures S11A and S11B). Importantly, more than 50% of isoforms robustly detected using Iso-seq could not be readily recapitulated using standard RNA-seq, highlighting the advantage of long-read sequencing for characterizing isoform diversity (Figure S11C). Finally, a large proportion of novel transcripts identified using Iso-Seq (n = 6,417 [53.78%]) were also detected with ONT nanopore sequencing (40.7 M reads) from a subset of samples.

Several cortex-expressed transcripts represent fusion events between neighboring genes

Transcriptional read-through between two or more adjacent genes can produce “fusion transcripts” that represent an important class of mutation in several types of cancer (McCourtney et al., 2019). Although fusion events are thought to be rare (Akiva et al., 2006), we found evidence of fusion transcripts in both the human (n = 136 fusion transcripts [0.41% of all transcripts] associated with 108 genes [0.83% of total genes]); mouse cortex (n = 297 fusion transcripts [0.64% of all transcripts] associated with 218 genes [1.48% of total genes]) (Figure 4A–4E). A number of these genes were associated with more than one fusion transcript (human: n = 22 genes [20.3% of fusion genes]; mouse: n = 53 genes [24.3% of fusion genes]), and we identified examples of fusion transcripts encompassing more than two genes, e.g., a fusion transcript incorporating exons from three adjacent pseudogenes in the human cortex AC138649.4-AC138649.1-PDCD6IPP1 (Figure 4D). The vast majority of the fusion transcripts identified were supported by RNA-seq data generated on both mouse (n = 282 [95%] transcripts) and human fetal (n = 51 [100%] transcripts) cortex. We also confirmed a significant proportion (n = 46 [33.8%] transcripts) of the human cortex fusion events using our ONT nanopore data. Several of the fusion transcripts identified in the human (n = 4 [2.94% of all fusion transcripts]) and mouse cortex (n = 11 [3.7% of all fusion transcripts]) were predicted as potential “conjoined genes” in the ConjoinG database (Prakash et al., 2010). Although the majority of fusion events were specific to the human or mouse datasets, we found evidence of potential protein-coding fusion transcripts incorporating exons from SMIM17 (Smim17) in both species (Figure 4E; Figures S12A–S12D).

Identification of novel cortex-expressed genes using long-read sequencing

Although the vast majority of transcripts identified in both the human and mouse cortex were assigned to annotated genes (human: 99.8% of total transcripts; mouse: 99.5% of total transcripts), a small number represent expression from potentially novel genes (human: n = 57 novel transcripts mapping to 54 novel genes; mouse: n = 223 novel transcripts mapping to 202 novel genes) (Figure 4F; Table S10). These novel genes were either intergenic or antisense to existing annotated genes and were all multi-exonic (human: mean length = 2.09 kb, SD = 1.01 kb, range = 0.254–4.9kb, mean number of exons = 2.9; mouse: mean length = 1.75 kb, SD = 1.21 kb, range = 0.098–6.86 kb, mean number of exons = 2.5). Most transcripts from these novel genes were predicted to be non-coding (human: n = 34 [59.7%] transcripts; mouse: n = 143 [64.1%] transcripts), were generally shorter (human: W = 1.18 × 10^5, p = 7.71 × 10−5; mouse: W = 7.79 × 10^6, p = 5.22 × 10−45), and less abundant than transcripts of annotated genes (human: W = 5.28 × 10^5, p = 1.72 × 10−18; mouse: W = 2.29 × 10^6, p = 1.5 × 10−75). Although the majority of these novel genes did not show high sequence homology with other genomic regions, BLAST analysis revealed that 18 (31.6%) of the human cortex novel-gene transcripts and 31 (13.9%) of the mouse cortex novel-gene transcripts showed relatively high similarity (greater than 500 bp, more than 90% identity) to other genomic regions (Table S11). Of the 57 novel-gene transcripts identified in the human cortex, 27 (47.4%) demonstrated evidence of transcription in data from the GTEx consortium (CHESS v2.2 annotation) (Pertea et al., 2018). Further evidence of transcription from a large proportion of the human novel-gene transcripts (n = 28 [49.1%]) was provided by our ONT nanopore sequencing dataset. We used the FANTOM5 CAGE dataset to show that around a quarter of the novel-gene transcripts (human: n = 14 [24.6%]; mouse: n = 58 [26.0%]) were located within 50 bp of a CAGE peak (Table S10). There was an enrichment of antisense transcripts among those mapping to novel genes (human cortex: n = 31 transcripts [54.4%] mapping to 28 novel genes; mouse cortex: n = 119 transcripts [53.4%] mapping to 97 novel genes) (Table S10). The majority of these antisense novel genes were found within an annotated gene (human: n = 25 [89.2% of antisense novel genes], mouse: n = 95 [97.9% of antisense novel genes]), with a relatively large proportion of these sharing exonic regions (human: n = 12 [48%], mouse: n = 72 [74.2%]) reflecting sense-antisense (SAS) pairs (Galante et al., 2007). Finally, there were several striking examples of antisense novel genes overlapping two known genes in the mouse cortex (Figure S4F).

(E) Fusion transcripts with exons from SMIM17/Smim17 were identified in both human and mouse cortex. Additional examples of overlapping fusion transcripts between human and mouse cortex are shown in Figures S12A–S12D.
(F) An example of a novel antisense transcript spanning Serpina1e and Serpina11 in the mouse cortex. Transcripts are colored based on SQANTI2 classification categories (blue = FSM; cyan = ISM; red = NNC; orange = NIC).
Many transcripts map to lncRNA genes with a subset containing predicted ORFs

Although the majority of transcripts were classified as protein-coding by the presence of an ORF, a relatively large number of transcripts were annotated as encoding IncRNA (human: n = 1,197 transcripts associated with 792 genes; mouse: n = 1,141 transcripts associated with 734 genes). These IncRNA transcripts were shorter than non-IncRNA transcripts (human: mean length of IncRNA transcripts = 2.32 kb [SD = 1.14 kb, range = 0.107–11.8 kb], W = 2.28 ± 0.083–15.9 kb], mean length of non-IncRNA transcripts = 2.22 kb [SD = 1.36 kb, range = 0.148–49 kb], mean length of non-IncRNA transcripts = 3.21 kb [SD = 1.68 kb, range = 0.083–15.9 kb], W = 3.52 ± 8.24 × 10⁻⁰⁹). As reported previously they also contained fewer exons (Statello et al., 2021) (human: W = 3.31 × 10⁻⁰⁷, p < 2.23 × 10⁻³⁰⁸; mouse: W = 4.56 × 10⁻¹⁰, p < 2.23 × 10⁻³⁰⁸), with a dramatic enrichment of monexonic molecules (Kuo et al., 2017) (human: n = 348 [29.1%]; mouse: n = 273 [23.9%]) compared to non-IncRNA transcripts (human: n = 583 [1.85%]; mouse: n = 914 [2.02%]) (Figures S13A–S13D). They were also characterized by lower transcript expression than non-IncRNA transcripts (Statello et al., 2021; Liu et al., 2016) (human: W = 2.27 × 10⁻²⁶, p = 9.44 × 10⁻⁶⁵; mouse: W = 3.16 × 10⁻¹⁰, p = 5.67 × 10⁻⁴⁰), with fewer isoforms identified per IncRNA gene compared to non-IncRNA genes (human: mean n = 1.51 versus 2.6, W = 6.63 × 10⁻⁶, p = 1.21 × 10⁻⁸⁰; mouse: mean n = 1.55 versus 3.29, W = 7.40 × 10⁻⁶, p = 5.76 × 10⁻¹⁰¹) (Figures S13E–S13H). A small proportion of these annotated IncRNA transcripts contained a putative ORF (human: n = 235 [19.6%]; mouse: n = 153 [13.4%]), supporting recent observations that some IncRNA have potential protein coding capacity (Kageyama, Kondo and Hashimoto, 2011), although the majority of such ORFs are unlikely to code for proteins (Guttman et al., 2013); of note, these ORFs were shorter than those identified in non-IncRNA transcripts (human: mean length = 133 bp versus 441 bp, W = 1.41 × 10⁻¹⁰, p = 3.12 × 10⁻²²; mouse: mean length = 139 bp versus 519 bp, W = 1.75 × 10⁻⁶, p = 8.33 × 10⁻¹⁹⁹).

AS events make a major contribution to RNA isoform diversity in the cortex

AS, the process by which different combinations of splice sites within a mRNA precursor are selected to produce variably spliced mRNAs, is the primary mechanism underlying transcript diversity in eukaryotes (Park et al., 2018) and a major source of transcriptional diversity in the CNS (Raj and Blencowe, 2015). Numerous types of AS have been described (Figure 5A), and we used both SUPPA2 (Trincado et al., 2018) and custom analysis scripts to identify transcripts associated with (1) SE, (2) MX, (3) AF and AL exons, (4) A3’ and A5’ splice sites, and (5) IR in our cortical Iso-Seq data. The overall frequency of these specific AS events was similar in human and mouse cortex, with AF and SE being the most prevalent AS events in both species (human: AF = 8,546 [32.2%] events associated with 4,879 [37.6%] genes, SE: 5,776 [22.0%] events associated with 3,446 [26.6%] genes; mouse: AF = 12,853 [31.9%] events associated with 6,476 [44.1%] genes, SE = 8,686 [21.6%] events associated with 4,570 [31.1%] genes) (Figures 5B and 5C; Figure S14A; Table S12). Using publicly available human brain proteome data, we found evidence of translated isoforms with novel SE events (Table S8); for example, we identified a novel peptide that was annotated to RELCH that spanned across exons 2 and 4 but skipped exon 3 (Figure 5F).

IR is a relatively common form of AS in the cortex that is associated with reduced expression and nonsense-mediated mRNA decay (NMD)

IR, the process by which specific introns remain unspliced in polyadenylated transcripts, is the least understood AS mechanism but is hypothesized to be an important mechanism of transcriptional control in the brain (Jacob and Smith, 2017; Ameur et al., 2011). We found evidence for IR in a relatively large proportion of genes (IR-genes) in both the human (n = 5,231 IR-transcripts associated with 2,566 [19.8%] detected genes) and mouse cortex (n = 6,803 IR transcripts associated with 3,375 [23.0%] genes) (Table S13), with IR-genes themselves enriched for biological processes related to mRNA splicing in human cortex (odds ratio = 3.24, adjusted p = 3.28 × 10⁻¹³) and mRNA processing in mouse cortex (odds ratio = 2.97, p = adjusted 7.74 × 10⁻¹³; Table S4). The majority of IR-transcripts were supported by matched short-read RNA-seq data from both human (n = 2,713 [97.5%] IR-transcripts) and mouse cortex samples (n = 6,454 [94.9%] IR-transcripts). Most IR-genes were found to express more than one IR-transcript (human cortex: n = 1,463 [72%] IR-genes; mouse cortex: n = 1,872 [72.4%] IR-genes), with MEG3 having the largest number of IR-transcripts in human cortex (30 isoforms [75% of MEG3 isoforms]; Figure 1F) and Entr1 having the largest number of IR-transcripts in mouse cortex (31 isoforms [91.2% of Entr1 isoforms]). A small number of genes were found to only express transcripts characterized by IR (Table S14) (human: n = 197 [7.68%] of genes with IR-transcripts, 1.52% of total detected genes); mouse: n = 150 [4.44% of genes with IR-transcripts, 1.02% of total detected genes]. Overall, there was considerable overlap in the list of IR-genes detected between human and mouse cortex (Figure S15A), with 1,078 homologous genes showing evidence of IR in both the human and mouse cortex.

Figure 5. Alternative splicing (AS) events make a major contribution to transcript diversity in the cortex

(A) An overview of the different types of AS considered in our analysis.
(B) Alternative first (AF) exon use is the most prevalent AS event in both the human cortex and mouse cortex (Figure S14A).
(C) The majority of human cortex-expressed genes are predominantly characterized by AF and SE.
(D) AF events are supported by RNA-seq data. The differing lengths of first exon of CELF2 in human cortex correspond to differing RNA-seq coverage.
(E) A large proportion of AS events in human and mouse cortex are characterized by more than one type of splicing event.
(F) Shown is a UCSC genome browser track of RELCH with a novel peptide (VAEHEVPLQER, highlighted blue) spanning across exons 2 and 4 of RELCH while skipping exon 3, confirming exon skipping in a novel transcript.
(G) A novel peptide (GAELAGIGVGLR, highlighted blue) confirms translation of a retained intronic region observed in a transcript of RGS11.
human (48.4% of IR-genes) and mouse (35.3% of IR-genes). Importantly, a larger proportion of lowly expressed genes showed evidence for IR than highly expressed genes in both human (<2.5 Log10 TPM, n = 2,269 [88.4%] genes; >2.5 Log10 TPM, n = 297 [11.6%] genes) and mouse (<2.5 Log10 TPM, n = 3,039 [90.04%] genes; >2.5 Log10 TPM, n = 336 [9.96%] genes; Figure S15G) cortex, corroborating previous analyses suggesting that IR is associated with reduced transcript abundance (Braunschweig et al., 2014). Although most IR-containing transcripts are associated with reduced protein expression, IR-transcripts can produce a stable protein, especially if the intron is relatively short and does not disrupt the translational frame (Grabski et al., 2021). For example, we found evidence for a novel translated IR event involving the 4th intron in RGS11 in our analysis of MS-based human brain proteomic data (Figure 5G).

NMD acts to reduce transcriptional errors by degrading transcripts containing premature stop codons (Hug, Longman and Cáceres, 2015) and is one mechanism by which IR can influence gene expression (Pan et al., 2006). Overall, >10% of transcripts mapping to annotated genes were predicted to undergo NMD (NMD-transcripts), characterized by the presence of an ORF and a coding sequence (CDS) end motif before the last junction (human cortex: n = 4,430 [13.4%] transcripts associated with 2,323 [18%] of annotated genes; mouse cortex: n = 6,014 [13.0%] transcripts associated with 2,945 [20.3%] of annotated genes). These NMD-transcripts were found to be less abundant than non-NMD-transcripts: human: mean expression of NMD-transcripts = 15.2 TPM, SD = 63.0 TPM, mean expression of non-NMD-transcripts = 33.1 TPM, SD = 261 TPM, W = 4.40 × 10^7, p = 3.59 × 10^-14; mouse: mean expression of NMD-transcripts = 11.2 TPM, SD = 85.0 TPM, mean expression of non-NMD-transcripts = 23.1 TPM, SD = 143.1 TPM, W = 8.72 × 10^7, p = 6.15 × 10^-156).

NMD was found to be particularly enriched among IR-transcripts that were predicted to be protein-coding (human: n = 1,930 [38.7%] IR-transcripts associated with 1,104 [8.55%] genes; mouse: n = 2,341 [36.2%] IR-transcripts associated with 1,380 [9.53%] genes), and transcripts with both IR and predicted NMD were particularly lowly expressed (human: W = 4.77 × 10^5, p = 3.81 × 10^-12; mouse: W = 7.50 × 10^6, p = 1.67 × 10^-42). Only a small number of genes were associated with transcripts where IR and NMD were mutually exclusive (human: n = 163 [1.26%] genes; mouse: n = 277 [1.91%] genes; Figures S15C–S14F), providing additional support for the hypothesized relationship between these two transcriptional control mechanisms (Ge and Porse, 2014).

Developmental changes in cortical RNA isoform abundance

Our human cortical iso-Seq dataset included samples derived from both fetal and adult donors, and as expected, there was considerable overlap in the set of genes detected in each (total overlap = 8,111 [84.0% of fetal annotated genes, 73.8% of adult annotated genes]; Figure S5B). Using the Human Gene Atlas database (Kuleshov et al., 2016), we found that the 500 most abundant genes in the fetal cortex dataset were most significantly enriched for “fetal brain” (odds ratio = 6.98, adjusted p = 6.75 × 10^{-20}), and those in the adult cortex were most significantly enriched for “prefrontal cortex” genes (odds ratio = 6.75, adjusted p = 1.27 × 10^{-28}; Table S4). In total, we detected 18,592 transcripts mapping to 9,660 annotated genes in the fetal cortex (mean length = 2.90 kb, SD = 1.30 kb, range = 0.132–11.8 kb) and 22,013 transcripts mapping to 10,987 annotated genes in the adult cortex (mean length = 2.53 kb, SD = 1.18 kb, range = 0.104–10.0 kb) (Table S6). Overall patterns of RNA isoform diversity were similar between fetal and adult cortex with a similar number of genes characterized by more than one isoform (fetal: n = 4,200 [43.5%]; adult: 5,003 [45.5%]). A strong correlation was observed between the number of isoforms detected in fetal and in adult human cortex datasets (corr = 0.53, p < 2.23 × 10^{-30}), which was stronger among highly expressed genes (>2.5 Log10 TPM in both fetal and adult cortex, corr = 0.72, p = 2.54 × 10^{-42}; Figures S8D and S8F). Despite these similarities, there were some notable exceptions with certain genes characterized by large differences in isoform number between fetal and adult cortex: SEPT4 had the highest relative number of isoforms detected in adult cortex compared to fetal cortex (34 versus 2 isoforms) (Figure 3E), whereas CELF3 had the highest relative number of isoforms in fetal cortex compared to adult cortex (11 versus 1 isoforms) (Table S15). SEPT4, RAP1GAP (adult cortex: n = 25 isoforms; fetal cortex, n = 3 isoforms), and RUNX1T1 (adult cortex: n = 5 isoforms; fetal cortex: n = 21 isoforms) had the largest absolute difference in isoform numbers detected between human fetal and adult cortex (Figure S16). A similar proportion of novel isoforms were detected in both fetal (n = 5,415 [29.1%] transcripts associated with 3,027 [31.3%] annotated genes) and adult cortex (n = 6,354 [28.9%] associated with 3,468 [31.6%] annotated genes), with 1,670 genes characterized by novel transcripts in both fetal cortex (55.2% of genes with novel transcripts) and adult (48.1% of genes with novel transcripts) cortex. Characterization of ORFs using CPAT revealed a similar distribution of predicted coding potential across different transcript categories between adult and fetal cortex (Figure S7).

We identified 206 transcripts (associated with 189 genes) that were classified as “fetal-specific” and not detected in the adult cortex, and 185 transcripts (associated with 174 genes) that were classified as “adult-specific.” We also identified examples of significant differential transcript usage—a switch of dominant isoform expression—between fetal and adult cortex (Table S16). RTN4, which encodes a neurite outgrowth inhibitor specific to the CNS (GrandPré et al., 2000), was characterized by the largest expression difference in dominant transcripts between adult- and fetal-specific isoforms (Figure S17A).

A similar frequency of AS events was observed in the human adult and fetal cortex (adult: 4,963 unique AS genes with 14,793 AS events; fetal: 4,231 unique AS genes associated with 11,955 AS events) (Figure S14B; Table S12), with considerable overlap between both datasets (2,812 annotated genes [56.6% of AS genes in adult cortex, 66.5% of AS genes in fetal cortex]) of significant differential transcript usage—a switch of dominant isoform expression—between fetal and adult cortex (Table S16). RTN4, which encodes a neurite outgrowth inhibitor specific to the CNS (GrandPré et al., 2000), was characterized by the largest expression difference in dominant transcripts between adult- and fetal-specific isoforms (Figure S17A).
gene transcription in the brain (Nellore et al., 2016). Furthermore, although genes with IR-transcripts were generally more lowly expressed, they were more highly expressed in the fetal than the adult cortex (W = 1.01 × 10^6, p = 7.71 × 10^-7).

**Differential transcript usage across human fetal brain regions**

We next generated Iso-Seq data on two additional fetal brain regions (hippocampus and striatum) from matched donors (Table S1). Although the sequencing depth for these additional brain regions was lower than that of the fetal cortex (Table S17), we were able to explore fetal transcriptional differences across fetal hippocampus, striatum, and cortex using a merged dataset (incorporating 24,989 transcripts annotated to 11,072 genes). As expected, there was considerable overlap in genes detected across the three fetal brain regions (2,312 transcripts associated with 2,096 genes with TPM > 20), although a notable subset of transcripts was uniquely expressed in each brain region (cortex: n = 122; hippocampus: n = 25; striatum: n = 58 with TPM > 20). We further identified robust evidence for differential transcript usage across brain regions for a subset of genes (cortex and hippocampus: n = 9 genes; cortex and striatum: n = 10 genes; striatum and hippocampus n = 18 genes) (Table S18). For example, APLP1 was found to express different isoforms in the cortex and hippocampus; a ~2.0 kb transcript consisting of 16 exons (ENST00000586861.5) was detected in the hippocampus, whereas a ~2.3 kb novel transcript also consisting of 16 exons was detected in the cortex (Figure S17B).

**Widespread isoform diversity in genes associated with brain disease**

AS has been increasingly implicated in health and disease and is recognized to play a prominent role in brain disorders hypothesized to involve the cerebral cortex including autism, SZ, and AD. There has been considerable progress in identifying genes associated with these disorders using genome sequencing and genome-wide association study (GWAS) approaches (Tam et al., 2019). However, the full repertoire of RNA isoforms transcribed from these genes in the cortex has not been systematically characterized. First, we used the human GWAS catalog database (Kuleshov et al., 2016) to interrogate the most transcriptionally diverse genes in the human cerebral cortex, finding them to be enriched for genes implicated in relevant GWAS datasets (“AD (late onset)”: odds ratio = 10.06, p = 0.004; “autism spectrum disorder or SZ”: odds ratio = 1.94, p = 0.083; “SZ”: odds ratio = 2.70, p = 0.005; Table S4). Second, we assessed RNA isoform diversity in genes robustly associated with AD (three familial AD genes [Bekris et al., 2010] and 59 genes nominated from a recent GWAS meta-analysis [Andrews et al., 2020; Sims et al., 2020]), autism (393 genes nominated as being category 1 [high confidence] and category 2 [strong candidate] from the SFARI Gene database, https://gene.sfari.org/), and SZ (339 genes nominated from the a recent GWAS meta-analysis [Pardiñas et al., 2018]). Among disease-associated genes detected in the cortex, we found evidence for considerable isoform diversity (human cortex: 2,016 transcripts were mapped to 610 disease-associated genes; mouse cortex: 3,218 transcripts were mapped to 670 disease-associated genes; Table S19). The vast majority of disease-associated genes detected in the cortex were characterized by more than one RNA isoform in both the human (n = 420 [68.9%] genes) and mouse (n = 538 [80.3%] genes) cortex. TCF4 (autism- and SZ-associated) was the most “isoformic” disease gene in both human (n = 33 isoforms) and mouse (n = 57 isoforms) cortex; of note, both genes have been shown to be key members of transcriptional networks associated with neuropsychiatric disease (Li et al., 2018). Importantly, a large number of the transcripts mapping to disease-associated genes had not been previously annotated in existing databases in human (n = 790 [39.2%] isoforms) and mouse (n = 1,825 [56.7%] isoforms) cortex, identifying novel transcripts that may have potential relevance to understanding neurodegenerative and neuropsychiatric disorders. Interestingly, transcripts from disease-associated genes were characterized by a relatively high level of IR in the human cortex (AD: n = 9 [27.3%]; autism: n = 62 [19.6%]; SZ: n = 75 [26.0%]), with a large proportion of these annotated IR-transcripts being predicted for NMD (AD: n = 4 [44.4% of IR-genes]; autism: n = 24 [38.7% of IR-genes]; SZ: n = 29 [38.6%]; Table S20). There are known links between fusion transcripts and disease (Oliver et al., 2019), and a number of disease-associated genes were involved in fusion events (autism: n = 8, e.g., ELAC1-SMAD4; Figure 4A; SZ: n = 5, e.g., GDPD3-MAPK3; Figure 4B; autism- and SZ-associated: n = 1, e.g., FOXG1-LINC01551; Figure 4C), Given the hypothesized role of neurodevelopment and aging in autism, SZ, and AD, it is notable that we found large differences in isoform diversity between human adult and human fetal cortex for many disease-associated genes (Table S20).

**DISCUSSION**

We used long-read Iso-Seq to characterize full-length cDNA sequences and generate detailed maps of AS in the human and mouse cortex. We identify considerable RNA isoform diversity among expressed genes in the cortex across both species, including many novel transcripts not present in existing genome annotations. The majority of these isoforms have high coding potential, with the analysis of cortical proteomic data confirming the translation of several novel transcripts. Of note, we detect full-length transcripts from several previously unannotated genes in both the human and mouse cortex and many examples of fusion transcripts incorporating exons from multiple genes. Although global patterns of isoform diversity appear to be similar between both species, we identified some notable exceptions, with certain genes showing species-specific transcriptional complexity. Furthermore, we identify some striking developmental changes in transcript diversity, with certain genes characterized by differential transcript usage between fetal and adult cortex. Importantly, we show that genes associated with autism, SZ, and AD are characterized by considerable RNA isoform diversity, identifying novel transcripts that might play a role in pathology. Our data confirm the importance of AS in the cortex and highlight its role as an important mechanism underpinning gene regulation in the brain.

Our findings highlight the power of long-read sequencing approaches for transcriptional profiling. By generating reads spanning entire transcripts, it is possible to systematically
characterize the repertoire of expressed RNA isoforms and fully assess the prevalence of AS. To our knowledge, our analysis represents the most comprehensive characterization of full-length transcripts and isoform diversity in the cerebral cortex yet undertaken. Several findings are particularly notable. First, we highlight that existing gene annotations are incomplete and that novel transcripts are likely to exist for a large proportion of expressed genes. Our data show examples of novel exons and even entire genes not currently annotated in existing databases. Importantly, it has been shown that such incomplete annotation has a disproportionate impact on our understanding of Mendelian and complex neurogenetic disorders (Zhang et al., 2020).

Our resource enhances our understanding of the repertoire of expressed transcripts in the cerebral cortex. Second, we show that read-through transcripts (or gene fusion transcripts)—formed when exons from two genes fuse together—occur at detectable levels in the cortex. Although many of these fusion transcripts appear to be associated with NMD, some have the potential to be translated into proteins or may have a regulatory effect at the RNA level. Despite gene-fusion transcripts having a well-documented role in several human cancers (Futreal et al., 2004), the systematic analysis of gene fusion and read-through transcripts has been limited to date given the limitations of existing short-read sequencing technologies (Haas et al., 2019). Our data support recent data suggesting that read-through transcripts occur naturally (Mehani et al., 2020) and suggest that some fusion transcripts may have protein-coding potential, with important implications for brain disease. Third, we are able to highlight the significant extent to which AS events contribute to isoform diversity in the cortex. In particular, we show that IR is a relatively common form of AS in the cortex that is associated with reduced expression and NMD. Importantly, IR was more prevalent in the human fetal cortex than adult cortex, supporting previous studies that implicate a role of IR in the developmental regulation of gene transcription in the brain (Arneur et al., 2011). Finally, we highlight major developmental changes in cortical isoform abundance in the human brain. In particular, we identify striking examples of transcript usage between fetal and adult cortex and also significant differences in isoform expression between different regions of the human brain.

Our results should be interpreted in the context of several limitations. First, we profiled tissue from a relatively small number of human and mouse donors. Although we found highly consistent patterns of AS across these biological replicates and rarefaction curves confirmed our sequencing dataset was close to saturation, we were unable to explore inter-individual variation in AS. Recent studies have highlighted considerable evidence for genetic influences on isoform diversity in the human cortex, with splicing quantitative trait loci (sQTL) widely implicated in health and disease (Takata, Matsumoto and Kato, 2017). Future work will aim to extend our analyses to larger numbers of samples to explore population-level variation in transcript abundance in the cerebral cortex and differences associated with pathology. Second, despite the advantages of long-read sequencing approaches for the characterization of novel full-length transcripts, these methods are often assumed to be less quantitative than traditional short-read RNA sequencing methods (Zhao et al., 2019). We implemented a stringent QC pipeline and undertook considerable filtering of our data, finding high consistency across biological replicates and validating our findings using complementary approaches (i.e., nanopore sequencing, RNA-seq, and by comparison to existing genomic databases). We show that transcriptional profiles generated using Iso-Seq reflect those expected from the tissues we assessed (i.e., the cerebral cortex), and we found a strong correlation with both gene- and transcript-level expressions measured using short-read RNA-seq on the same samples. We also observed a strong correlation between expected and detected levels of ERCC spike-in control molecules, highlighting the power of Iso-Seq to accurately quantify the abundance of highly expressed transcripts. Given that we have adopted stringent QC approaches, many true transcripts from our final dataset—particularly lowly-expressed transcripts—are likely to have been filtered out. Our analyses therefore probably underestimate the extent of RNA isoform diversity in the cerebral cortex so we also provide a less conservatively filtered dataset for download from our online track hub. Third, our analyses were performed on “bulk” cortex tissue containing a heterogeneous mix of neurons, oligodendrocytes, and other glial cell types. It is likely that these different cell types express a specific repertoire of RNA isoforms, and we are not able to explore these differences in our data. Of note, novel approaches for using long-read sequencing approaches in single cells will enable a more granular approach to exploring transcript diversity in the cortex. Although such approaches are currently limited by technological and analytical constraints, a recent study used long-read transcriptome sequencing to identify cell-type-specific transcript diversity in the mouse hippocampus and prefrontal cortex (Joglekar et al., 2021). Finally, although we explored the extent to which novel transcripts contained ORFs, the extent to which they are actually translated and contribute to cortical proteomic diversity is not known.

In summary, our data confirm the importance of AS and AF exon usage in the cerebral cortex, dramatically increasing transcriptional diversity and representing an important mechanism underpinning gene regulation in the brain. We highlight the power of long-read sequencing for completing our understanding of human and mouse gene annotation, and our transcript annotations, isoform data, and Iso-Seq analysis pipeline are available as a resource to the research community.

**STAR METHODS**

Detailed methods are provided in the online version of this paper and include the following:

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AUTHOR CONTRIBUTIONS

A.R.J. and S.K.L. conducted long-read sequencing experiments. A.R.J., I.C., and K.M. conducted short-read RNA-seq experiments. J.P.D. provided technical assistance with sample preparation. N.J.B. provided fetal cortex RNA samples. K.M. advised on library preparation and aspects of sequencing. Z.A. provided mouse cortex tissue. J.M., E.H., L.S., and E.L.D. obtained funding. J.M. and A.R.J. designed the study. A.R.J. and S.K.L. undertook primary data analyses and bioinformatics, with analytical and computational input from E.H., P.O., and M.J.G. G.M.S., B.T.J., and E.D.J. undertook analysis of protein isoform data. C.J. helped with development of the data visualization tool. M.J.G., S.P., L.S., E.L.D., D.A.C., and N.J.B. helped interpret the results. A.R.J., S.K.L., and J.M. drafted the manuscript. All authors read and approved the final submission.

DECLARATION OF INTERESTS

Z.A. and D.A.C. were full-time employees of Eli Lilly & Company, Ltd., and E.T. was a full-time employee of PacBio at the time this work was performed. All other authors declare no competing interests.

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## STAR★METHODS

### KEY RESOURCES TABLE

| REAGENT or RESOURCE | SOURCE | IDENTIFIER |
|---------------------|--------|------------|
| **Biological samples** |  |  |
| Human brain tissue | This paper | N/A |
| Mouse brain tissue | This paper | N/A |
| **Critical commercial assays** |  |  |
| TruSeq Stranded mRNA Sample Prep Kit | Illumina | Cat#20020595 |
| SMARTer PCR cDNA Synthesis Kit | Clontech | Cat#634925 |
| SMRTbell Template Prep Kit | Pacific Biosciences | Cat#100-222-300 |
| Sequel Binding Kit | Pacific Biosciences | Cat#101-029-000 |
| PCR Barcoding Kit | Oxford Nanopore Technology | Cat#SQK-PCB109 |
| **Deposited data** |  |  |
| Raw Human Iso-Seq data | This paper | SRA: PRJNA664117 |
| Raw Mouse Iso-Seq data | This paper | SRA: PRJNA663877 |
| Visualization of detected full-length transcripts (Genome browser track hub) | This paper | http://genome.ucsc.edu/cgi-bin/hgTracks?hubUrl=http://genome.exeter.ac.uk/hub/hub.txt |
| Isoform Viewer Resource | This paper | http://genome.exeter.ac.uk/build/index.html |
| FANTOM5 CAGE database | (Lizio et al., 2019) | https://fantom.gsc.riken.jp/5/ |
| Intropolis junction database | Nellore et al., 2016 | https://github.com/nellore/intropolis |
| Human reference genome Release 38, GRCh38 | GENCODE | https://www.gencodegenes.org/human/ |
| Mouse reference genome Release 22, GRCm38 | GENCODE | https://www.gencodegenes.org/mouse/release_M22.html |
| MS-based human adult and fetal proteomic dataset | Kim et al., 2014 | PXD000561 |
| RNA-Seq Mouse | Castanho et al., 2020 | N/A |
| RNA-Seq Fetal | This Paper | N/A |
| PolyA motif list | Elizabeth Tseng | https://github.com/Magdoll/SQANTI2 |
| **Software and algorithms** |  |  |
| Iso-Seq3 | Wang et al., 2016 | https://github.com/PacificBiosciences/IsoSeq |
| Minimap2 | Li, 2018 | https://github.com/lh3/minimap2 |
| Cupcake | Elizabeth Tseng | https://github.com/Magdoll/cDNA_Cupcake |
| SQANTI2 | Tardaguila et al., 2018 | https://github.com/Magdoll/SQANTI2 |
| TAMA | Kuo et al., 2017 | https://github.com/GenomeRIK/tama |
| SUPPA2 | Trincado et al., 2018 | https://github.com/comprna/SUPPA |
| Pychopper/Pinfish | Oxford Nanopore Technologies | https://github.com/nanoporetech/pipeline-pinfish-analysis |
| CPAT | Wang et al., 2013 | https://github.com/liguowang/cpat |
| MetaMorpheus | Solntsev et al., 2018 | https://github.com/smith-chem-wisc/MetaMorpheus |
| STAR | Dobin et al., 2013 | https://github.com/alexdobin/STAR |
| Stringtie | Pertea et al., 2015 | https://github.com/gpertea/stringtie |
| Kallisto | Bray et al., 2016 | https://github.com/pachterlab/kallisto |

(Continued on next page)
RESOURCE AVAILABILITY

Lead contact
Further information and requests for resources and reagents should be directed to and will be fulfilled by the Lead Contact, Professor Jonathan Mill (J.mill@exeter.ac.uk).

Materials availability
This study did not generate any new unique reagents.

Data and code availability
Raw PacBio Iso-Seq data have been deposited in the Sequence Read Archive (SRA) database (https://www.ncbi.nlm.nih.gov/sra) under accession numbers PRJNA664117 (human cortex) and PRJNA663877 (mouse cortex). UCSC genome browser tracks of our processed Iso-Seq data (filtered and unfiltered) together with a visual database of cortical isoforms are available at: http://genome.exeter.ac.uk/BrainIsoforms.html.

All original code supporting this study is available at https://github.com/SziKayLeung/Whole_Transcriptome_Paper (https://doi.org/10.5281/zenodo.5588498).

Any additional information required to reanalyze the data reported in this work paper is available from the Lead Contact upon request.

EXPERIMENTAL MODEL AND SUBJECT DETAILS

Adult human prefrontal cortex tissue (n = 4) was obtained from the MRC London Neurodegenerative Diseases Brain Bank (https://www.kcl.ac.uk/neuroscience/facilities/brain-bank). Demographic (including gender and age) data for each donor is detailed in Table S1. Subjects were approached in life for written consent for brain banking, and all tissue donations were collected and stored following legal and ethical guidelines (NHS reference number 08/MRE09/38; the UK Human Tissue Authority HTA license number 12293). Fetal human brain tissue (n = 3) from three brain regions (frontal cortex, hippocampus, and striatum) was obtained from the Human Developmental Biological Resource (HDBR) (https://www.hdbr.org). Ethical approval for the HDBR was granted by the Royal Free Hospital research ethics committee under reference 08/H0712/34 and HTA material storage license 12220. Mouse entorhinal cortex tissue was dissected from twelve female mice in accordance with the UK Animals (Scientific Procedures) Act 1986 and with approval of the local Animal Welfare and Ethical Review Board. Mice were bred and delivered to Eli Lilly and Company (Windlesham, UK) by Envigo (Loughborough, UK), where animals were housed under standard conditions (constant temperature and humidity) with a 12h light/dark cycle in individually ventilated cages (up to 5 animals per cage). All animal procedures were carried out at Eli Lilly and Company, in accordance with the UK Animals (Scientific Procedures) Act 1986 and with approval of the local Animal Welfare and Ethical Review Board.

METHOD DETAILS

Brain samples
For each human sample, ~20mg of flash frozen tissue was homogenized in Trizol (Thermo Fisher Scientific, UK) and RNA was isolated using Direct-zol columns (Zymo, USA). For each mouse sample, RNA was isolated using the AllPrep DNA/RNA Mini Kit (QIAGEN, UK) from ~5mg tissue. RNA samples were quantified using the Nanodrop 1000 spectrophotometer and RNA integrity numbers (RIN) derived using a Bioanalyzer 2100 (Agilent, UK). Additional details on mouse breeding conditions can be found in, and further details on each individual sample used in this study are provided in Table S1.
Whole transcriptome Iso-seq library preparation and SMRT sequencing

First strand cDNA synthesis was performed on ~1 μg RNA using the SMARTer PCR cDNA Synthesis Kit (Clontech, UK), with the addition of External RNA Controls Consortium (ERCC) standards (Pine et al., 2016) to a subset of mouse cortex samples (n = 10), followed by PCR amplification with PrimeSTAR GXL DNA Polymerase (Clontech, UK). Optimal PCR cycle number was determined through collection of 5 μl aliquots during every two cycles of a test PCR and assessment using 1% agarose gel electrophoresis. Large-scale PCR was subsequently performed using the optimal number of cycles and the resulting amplicons divided into two fractions and purified with 0.4X and 1X Ampure PB beads (PacificBio, USA). Quantification and size distribution of each fraction was then determined using the Qubit DNA High sensitivity assay (Invitrogen, UK) and Bioanalyzer 2100 (Agilent, UK). The two fractions were recombined at equimolar quantities and library preparation performed using SMRTbell Template Prep Kit v1.0 (PacificBio, USA). Sequencing was performed on the PacBio Sequel 1M SMRT cell. Samples were processed using either the version 3 chemistry (parameters: diffusion loading at 50Pm, pre-extension 4 hours, Capture time 20 hours) or version 2.1 chemistry (parameters: magbead loading at 50Pm with a 2 hour pre-extension and 10 hour capture).

RNA-seq library preparation, sequencing and data processing

RNA from a human fetal and human adult cortex sample was prepared using the Oxford Nanopore Technologies (ONT) sequencing platform. Extracted RNA was converted to cDNA using Maxima H Minus RT (Thermo Fisher Scientific) and amplified with 15 cycles of PCR using Takara LA Taq (Clontech). Quantification and size distribution were then determined using the Qubit DNA High sensitivity assay (Invitrogen) and Bioanalyzer 2100 (Agilent), and library preparation was performed using ONT’s PCR barcoding kit (SQK-PCB109). Sequencing was then performed on the ONT PromethION platform using a FLO-PR002 flow cell, and base-called using Guppy (v4.0). Resulting fastq files were processed through the Pychopper/Pinfish (https://github.com/nanoporetech/pipeline-pinfish-analysis) pipeline to produce both raw and polished transcripts sequences.

QUTATION AND STATISTICAL ANALYSIS

SMRT sequencing quality control (QC) and data processing

QC of raw reads was performed using SMRT Link Portal v7.0, with subsequent analysis using the Iso-Seq3.1.2 pipeline (Wang et al., 2016). Briefly, CCS reads were generated from a minimum of 1 pass (Iso-Seq3 CCS, v3.4.1). Primers and SMRT adapters were then removed using Lima (v1.9) to generate full-length (FL) reads, followed by removal of artificial concatemers reads and trimming of polyA tails in Iso-Seq3 Refine. Full-length, non-chimeric (FLNC) reads were then collapsed, according to default parameters in Iso-Seq3 Cluster, to high-quality transcripts. Cupcake’s collapse_isosforms_by_sam.py script was subsequently applied with the following parameters “-c 0.85 -i 0.95–dun-merge-5-shorter” to reduce redundancy (https://github.com/Magdoll/cDNA_Cupcake). High-quality, full-length transcripts were then mapped to the human (hg38, GENCODE v31) or mouse (mm10, GENCODE vM22) reference genome using minimap2 (v2.17) (Li, 2018) with the following parameters “-ax splice -uf–secondary=no -CS -O6,24 -B4.”

RNA-seq QC and data processing

Raw RNA-Seq sequencing reads, with Phred (Q) ≥ 35, were trimmed (ribosomal sequence removal, quality threshold 20, minimum sequence length 35) using fastxqmcf (v1.0), yielding a mean untrimmed read depth of ~20 million reads/sample. Subsequent filtered reads were then mapped to the human (hg38) or mouse (mm10) reference genome using STAR (v1.9) (Dobin et al., 2013). Gene and transcript expression were determined by aligning merged RNA-Seq reads to RNA isoforms (Cupcake collapsed) from Iso-Seq data sets using Kallisto (v0.46.0) (Bray et al., 2016) with default parameters as input to SQANTI2 (https://github.com/Magdoll/SQANTI2). Using mouse RNA-Seq reads, a transcriptome assembly was generated using Stringtie (v2.1.4) (Pertea et al., 2015) with mouse reference GENCODE gtf (vM22), annotated and filtered with SQANTI2 (v7.4) using default parameters.

Transcriptome annotation and filtering

After filtering for partial isoforms including 5’ degradation products using TAMA’s script (tama_remove_fragment_models.py) with default parameters (Kuo et al., 2017), isoforms detected using SMRT sequencing were characterized and classified using SQANTI2 (v7.4) (Tardaguila et al., 2018) in combination with GENCODE (human v31, mouse vM22) comprehensive gene annotation, FANTOM5 CAGE peaks (Lizio et al., 2019) (human – hg38, mouse – mm10), polyA motifs, Intropolis junction dataset (Nellore et al., 2016) or STAR output junction file, FL read counts (abundance file), and Kallisto counts from mouse and human fetal RNA-Seq data. An isoform was classified as FSM if it aligned with reference genome with the same splice junctions and contained the same number of exons, ISM if it contained fewer 5’ exons than reference genome, NIC if it is a novel isoform containing a combination of known donor or acceptor sites, or NNC if it is a novel isoform with at least one novel donor or acceptor site. Depictions of RNA isoform classifications can be
found in Figure 2A. Potential artifacts such as reverse transcription jumps or intrapriming of intronic lariats were filtered out using the SQANTI2 filter script with an intrapriming rate of 0.6. Identification of fusion transcripts, intron retention, polyA motifs and proximity to splice sites (A5), alternative 3’ splice sites (A3) using custom scripts based on splice junction coordinates. Classification of isoforms as lncRNA (long non-coding RNA) was performed by using SQANTI2 in combination with GENCODE (human - v31, mouse - vM22) long non-coding RNA gene annotation. ORFs were predicted using the CPAT program (v3.0.2) using all default parameters and transcripts were predicted as protein-coding if the coding potential score was >= 0.364 for human and > 0.44 for mouse (Table S21).

Proteomic analysis of novel isoforms
MS-based proteomics data were previously collected on adult and fetal brain (Kim et al., 2014) (PXD accession PXD000561). In this dataset, SDS-PAGE or basic RPLC fractions were analyzed on a micro-capillary RPLC interfaced to a LTQ-Orbitrap Velos or Elite mass spectrometer. We downloaded the proteomics files (raw Thermo format) and searched the results against a protein database derived from the CPAT ORF predictions on the Iso-Seq adult brain dataset. Standard proteomic analysis of the tryptic and multi-protease datasets was performed using the free and open-source search software program MetaMorpheus (v0.0.316) (Solntsev et al., 2018). The search was conducted with a contaminants database, included in MetaMorpheus, which contains 264 common contaminant proteins frequently found in MS samples.

All spectra files were first converted to MzML format with MSConvert (centroid mode) prior to analysis with MetaMorpheus. All peptide results reported employ a 1% False Discovery Rate (FDR) threshold after target-decoy searching. The output results tables were analyzed using custom python scripts to determine if each identified peptide was present in the GENCODE reference, or, if not, was considered novel. For novel peptide analysis, we manually examined the fragmentation mass spectra (MS2 scans) to confirm the quality of the peptide identification.

Comparison of RNA-Seq and Iso-Seq expression data
Gene and transcript expression between Iso-Seq and RNA-Seq were compared for human and mouse cortex using SQANTI2 output, with Kallisto expression file as input. Iso-Seq gene expression was determined with the summation of associated transcript FL read counts, with mono-exonic transcripts removed, and normalized to TPM (calculated from FL read counts/total transcriptome counts * 1,000,000). RNA-Seq gene and isoform expression was determined from alignment of RNA-Seq reads to Iso-Seq isoforms, generated from Cupcake scripts, using Kallisto. For more stringent investigation of the relationship between the gene length, number of exons (determined by representative longest transcript) and the number of transcripts, an Iso-Seq gene expression threshold (>= 2.5 Log10 TPM) was applied. This threshold was selected based on the gene expression that gave the most statistically-significant correlation between human and mouse isoform number (Table S22).

Comparison of Iso-Seq transcripts with those identified using ONT nanopore sequencing
The human cortex Iso-seq dataset was subsetted to transcripts of interest using the Linux grep command. The resulting GTF file was then examined for overlap with other datasets using Gffcompare (Pertea and Pertea, 2020) (https://ccb.jhu.edu/software/stringtie/gffcompare.shtml). Comparisons were made to raw ONT nanopore reads generated in this study.

Gene ontology analysis
EnrichR (Kuleshov et al., 2016) based gene enrichment analysis was performed on three sets of analyses: i) the top 500 most abundantly expressed genes, ii) the top 100 most isoformic genes and iii) genes with intron-retained transcripts in human and mouse Iso-Seq cortical datasets. Iso-Seq gene expression was calculated as described above from summation of associated transcript FL read counts within the SQANTI2 classification file. The functional categories examined were: GO_Biological_Process, GO_Cellular_Component, GO_Molecular_Function, Panther_2016, Human_Gene_Atlas, ARCHS4_Tissues and GWAS_Catalogue_2019. Mouse_Gene_Atlas was used for the mouse transcriptome.

Comparison of human and mouse cortical transcripts
For appropriate comparison of the Iso-Seq datasets, the mouse gene names were converted to the equivalent homologous human gene names according to mouse genome informatics syntenic gene list (http://www.informatics.jax.org/downloads/reports/HOM_MouseHumanSequence.rpt), considering only mouse-specific homologous genes. 17,042 genes were identified from the list as homologous, of which 267 genes from the human homologous list and 282 genes from the mouse homologous list were removed due to cross genome annotation. This gene set was then used to determine the relationship between human and mouse cortex of Iso-Seq gene expression and number of identified isoforms (Table S5). BLAST analysis of human and novel genes was performed against respective reference genomes (human: hg38, mouse: mm10).
Comparison of different human Iso-Seq datasets
All human cortex samples (human adult = 4 samples, human fetal = 3 samples) and fetal samples (cortex = 3 samples, hippocampus = 2 samples, striatum = 2 samples) were merged and subsequently processed to generate two comprehensive annotated datasets. Full-length read counts from each individual sample and associated SMRT cell were extracted from Cupcake’s read_stat.txt file and normalized to TPM (calculated from FL read counts/total transcriptome counts * 1,000,000) * 1,000,000). Testing for differential transcript expression between human fetal and adult samples was then performed with a Wilcoxon rank sum test (p < 0.05). Differential transcript usage was assessed by identifying instances where at least two transcripts within a gene showed exclusive differential transcript expression in fetal or adult samples respectively, as well as a difference in TPM > 20. Testing for differential transcript usage between fetal brain regions consisted of data from only two SMRT cells per brain region, limiting the power of a Wilcoxon rank sum test. Instead, differential transcript expression and differential transcript usage was based on the following criteria: a minimum fold change of 4 in mean TPM levels and an absolute difference > 20 TPM between respective brain regions.

Validation of transcriptome landscape
The presence of CAGE peaks near novel transcripts were checked, with liftOver (https://genome.ucsc.edu/cgi-bin/hgLiftOver) performed on the mouse dataset to convert mm9 to mm10 genome coordinates.

Generation of web resources
Gene transfer format files (GTF) from SQANTI2 were processed to a bigGenePred (https://genome.ucsc.edu/goldenPath/help/bigGenePred.html) followed by bed and bigBed format (https://genome.ucsc.edu/goldenPath/help/hubQuickStartSearch.html) to construct the hub. Further annotation enhancements were made to the bigBed files through an R script to extract SQANTI2-defined structural categories and associated gene names to relabel and color individual transcripts. Separate coloring schemes were also used to indicate the level of expression of each identified transcript.

ADDITIONAL RESOURCES
UCSC genome browser tracks of our processed Iso-Seq data (filtered and unfiltered) together with a visual database of cortical isoforms are available at http://genome.exeter.ac.uk/BrainIsoforms.html and http://genome.exeter.ac.uk/build/index.html.