A reafferent and feed-forward model of song syntax generation in the Bengalese finch

Alexander Hanuschkin · Markus Diesmann · Abigail Morrison

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Abstract Adult Bengalese finches generate a variable song that obeys a distinct and individual syntax. The syntax is gradually lost over a period of days after deafening and is recovered when hearing is restored. We present a spiking neuronal network model of the song syntax generation and its loss, based on the assumption that the syntax is stored in reafferent connections from the auditory to the motor control area. Propagating synfire activity in the HVC codes for individual syllables of the song and priming signals from the auditory network reduce the competition between syllables to allow only those transitions that are permitted by the syntax. Both imprinting of song syntax within HVC and the interaction of the reafferent signal with an efference copy of the motor command are sufficient to explain the gradual loss of syntax in the absence of auditory feedback. The model also reproduces for the first time experimental findings on the influence of altered auditory feedback on the song syntax generation, and predicts song- and species-specific low frequency components in the LFP. This study illustrates how sequential compositionality following a defined syntax can be realized in networks of spiking neurons.

Keywords HVC · Reafferent · Feed-forward network · Efference copy · Syntax generation · Synfire chains · Bengalese finch · Spike synchrony · Motor control · Compositionality

1 Introduction

Several experimental studies have shown that the song of the Bengalese finch relies on auditory feedback. The Bengalese finch typically produces a set of ordered sequences of syllables, but after deafening this song syntax is disrupted, i.e. the sequence becomes more random and unstable (Okanoya and Yamaguchi 1997; Woolley and Rubel 1997, 1999; Watanabe and Aoki 1998). In a subsequent experiment Woolley and Rubel (2002) reversibly deafened Bengalese finches and showed that normal vocal behavior can be restored when hearing is restored. They argued that a template of the song exists independently of auditory input. Yamada and Okanoya (2003) reported that the song syntax is also reversibly changed if the Bengalese finch is singing in a helium atmosphere. More recently,
studies have demonstrated that the vocal motor control system of the Bengalese Finch relies on real time auditory feedback (Sakata and Brainard 2006) and that the HVC activity (caudal nucleus of the ventral hyper pallium or high vocal center, nowadays used as proper name) is influenced instantaneously by feedback perturbation (Sakata and Brainard 2008). These experimental findings suggest an auditory reafferent signal to be necessary for the generation of correct song syntax.

Early experimental studies on the songbird suggested that HVC neurons projecting to the pre-motor nucleus RA (robust nucleus of the arcopallium) only encode for the temporal structure within the song and that a sequence of fixed motor commands is replayed within the RA (Yu and Margoliash 1996; Hahnloser et al. 2002; Nottebohm 2002). Recent results using moderate local cooling (Long and Fee 2008; Glaze and Troyer 2008) have changed our understanding of this motor pathway. Temporal coding not only of the song elements but on all timescales (motif, syllable, note) is located in the HVC; whilst the RA serves to encode the HVC commands into firing rates suitable for the muscles needed to control the vocal output (Yu and Margoliash 1996; Fee et al. 2004). During singing the HVC neurons projecting to RA (HVC<sub>RA</sub>) show bursting activity that is time locked with sub-millisecond precision to the stereotyped song motif (Hahnloser et al. 2002).

How the HVC<sub>RA</sub> neurons are able to generate the activity pattern with sub-millisecond precision can be explained by two different approaches. The first hypothesis explains the pattern by rhythmic drive to the HVC<sub>RA</sub> neurons from an afferent nucleus, based on studies suggesting temporal structuring originating from the Uva (nucleus uvaeformis) or NIf (nucleus interface of the nidopallium) (McCasland 1987; Williams and Vicario 1993; Yu et al. 1994; Coleman and Vu 2005). Several model studies are based on this assumption (Troyer and Doupe 2000a; Drew and Abbott 2003; Katahira et al. 2007; Yamashita et al. 2008; Gibb et al. 2009b). The second hypothesis is that the activity pattern originates from circuits intrinsic to the HVC. Models of neural circuits known as synfire chains that are composed of divergently and convergently connected feed-forward structures can reliably produce activity patterns on a sub-millisecond time scale (Abeles 1991; Herrmann et al. 1995; Diesmann et al. 1999). Mooney and Prather (2005) reported convergent and divergent connection structures in the HVC, which provides supporting evidence for the involvement of such assemblies in song production. Additionally, a recent experiment has revealed that the subthreshold activity pattern originates from circuits intrinsic to the HVC.

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The major shortcoming in predefining the song syntax in the HVC connectivity is that it is static, whereas multiple experimental findings demonstrate that the syntax can be transiently altered, and even entirely lost and regained. An alternative approach is based on the assumption that syntax generation is controlled by a state signal. This signal can be either an efference copy of the motor command (e.g. within HVC; Troyer and Doupe 2000b), a neural feedback signal (e.g. from the brain stem; Gibb et al. 2009a) or a reafferent cue (e.g. from the auditory system; Sakata and Brainard 2006).

Here, we investigate for the first time the reafferent hypothesis in a functional network model. To account for the findings on both undisturbed and disturbed song syntax, we combine the feed-forward model of Jin (2009) with the reafferent hypothesis of Sakata and Brainard (2006) to a unified model. We present a functional network model of spiking neurons with feed-forward circuits in HVC and a reafferent state signal that reproduces key experimental findings on Bengalese Finches. We assume all-to-all interconnections between the chains in HVC that all transitions between syllables are possible. A specific syntax is then generated by priming the transition sites of the synfire chains with auditory feedback generated from the perception of the bird’s own song (BOS). This mechanism is called reafference (the afference evoked...
by the efference; Holst and Mittelstaedt (1950) and has been suggested to explain the influence of the auditory feedback by Sakata and Brainard (2006).

Our model is able to reproduce the normal sequence generation and the main findings of experiments on auditory feedback disturbance of the Bengalese finch. In the absence of the auditory feedback more random sequences of syllables are produced, whilst perturbing the auditory feedback by playing the bird a syllable different from the one sung results in a stereotyped change in sequencing (Okanoaya and Yamaguchi 1997; Watanabe and Aoki 1998; Sakata and Brainard 2006). Moreover, by postulating synaptic plasticity within the model we can reproduce the finding that syntax is gradually lost over a period of days after deafening, but is regained when hearing is restored (Woollely and Rubel 2002).

In our model we investigate two plasticity hypotheses to explain the gradual loss of syntax. If the transition sites between the synfire chains are plastic, an imprinting of the more frequently used syllable transitions occurs. After feedback suppression the syntax is approximately maintained by the imprinted structure until it is eroded. If plastic outgoing connections of HVCRA realize an efference copy of the song syntax, the causal relationship between the efferent and reafferent signals can be learned. In the absence of the reafferent signal, the efference copy stabilizes the song syntax for a period of time. Interactions of an efference copy with a reafferent signal have previously been reported, for example in the electric sense of the weakly electric fish (Bell 1981).

From a theoretical point of view our study investigates the compositionality of sequences. The syllables of the song are elementary building blocks, called primitives, which are combined following a defined syntax. Previous studies have investigated the generation of sequences of primitives without syntax, i.e. any primitive can follow any other primitive (Chang and Jin 2009; Schrader et al. 2010). A syntax can be imposed on the selection of the next primitive by using a higher level controller (Yamashita and Tani 2008), a pre-defined connectivity within the same level (Jin 2009; Schrader et al. 2010; Hanuschkin et al. 2010c), or a combination of both. Here, we generate the syntax using a combination of a pre-defined all-to-all connectivity between the primitive syllables encoded in HVC together with higher level signals from the auditory system. The all-to-all connectivity allows the system to realize any syntax defined in terms of transitions between pairs of syllables; the control signal consists of the system’s reafferent input and constrains the set of possible syntaxes to a specific one.

The paper is organized as follows; in the last part (Section 1.1) of this introduction we briefly review the relevant songbird anatomy and the neurophysiological details of the HVC neurons. In Section 2 we explain the concept of synfire chains, the details of the numerical simulations and the evaluation of song syntax. In Section 3 we introduce the model and demonstrate its functional consequences. Experimental predictions arising from our study and its limitations and possible future extensions are presented in Section 4.

Preliminary results have been published in abstract form (Hanuschkin et al. 2010a, b, 2011).

1.1 Songbird anatomy

In the adult songbird three main brain regions play key roles in the production and learning of songs. The motor pathway consists of two nuclei: HVC and RA. The anterior forebrain pathway (AFP) resembles a basal ganglia/thalamus equivalent structure and consists of three nuclei: Area X (Area X of the medial striatum), which projects to DLM (dorsolateral thalamic nucleus) which in turn projects to LMAN (lateral magnocellular nucleus of the anterior nidopallium). The two pathways are interconnected; Area X receives input from HVC and LMAN projects to RA and back to Area X. Both Area X and LMAN receive input from dopamine neurons (Brainard and Doupe 2002; Gale and Perkel 2010). The AFP is necessary for song learning but not for reproduction of the learned song (Bottjer et al. 1984; Sohrabji et al. 1990; Scharff and Nottebohm 1991), however it is responsible for variability in syllable structure (Sakata et al. 2008; Hampton et al. 2009). The third brain region related to the song is the sensory input area consisting of field L (avian primary forebrain auditory area; roughly equivalent to mammalian primary auditory cortex) which projects to HVC via CM (caudal mesopallium) and NIf (Roy and Mooney 2009). NIf and HVC also receive dopaminergic input (Brainard and Doupe 2002). For a review of songbird anatomy and its revised nomenclature, see Brainard and Doupe (2002) and Jarvis et al. (2005).

The HVC neurons can be subdivided into three populations with distinct morphological and neural properties: interneurons (HVCi) and neurons that project to RA (HVCRA) or Area X (HVCX) (Dutart et al. 1998; Mooney 2000). Experiments by Mooney and Prather (2005) on the HVC network structure revealed projections from HVCRA to HVCX via HVCi and divergent connections from HVCi to HVCRA and HVCX. Furthermore, they describe convergent and divergent connection structures. Activity synchrony
between the population of interneurons and HVCX, and weak synchrony between individual HVC1 neurons has been observed during singing (Kozhevnikov and Fee 2007). All three HVC populations increase their firing rate in response to BOS playback due to common excitatory input from NIf or CM (Cardin et al. 2005; Rosen and Mooney 2006; Shaevitz and Theunissen 2007; Bauer et al. 2008; Roy and Mooney 2009; Akutagawa and Konishi 2010) but exhibit differentiated sub-threshold activity. HVCX neurons show hyper-polarization (Lewicki 1996) while HVCRA neurons exhibit BOS-specific depolarization (Mooney 2000). The different sub-threshold behaviors can be explained by the local HVC interaction of direct inhibition from HVC1 and indirect inhibition of the HVCX neurons by the HVCRA neurons (Mooney 2000; Rosen and Mooney 2003; Mooney and Prather 2005; Rosen and Mooney 2006). It should be noted that nearly all studies on the HVC network structure (Lewicki 1996; Mooney 2000; Rosen and Mooney 2003; Mooney and Prather 2005; Rosen and Mooney 2006; Kozhevnikov and Fee 2007; Poirier et al. 2009) were carried out with zebra finches and that the role of local inhibition in the HVC might be more elaborate in the Bengalese finch, due to its more variable song syntax (Sakata and Brainard 2008).

2 Materials and methods

2.1 Synfire chains

The concept of a convergent and divergent connected feed-forward structure that allows the propagation of synchronous spiking activity was originally introduced to explain precise spike timing patterns in cortical tissue (Abeles 1991). In its simplest realization, neurons are organized in successive pools and each neuron is connected to all neurons in the following pool. The resultant structure of neuronal assemblies is known as a synfire chain (SFC). Activity volleys reliably propagate along a synfire chain under quite general conditions (Herrmann et al. 1995; Diesmann et al. 1999; Goedcke and Diesmann 2008). Here, we consider chains of 20 pools containing 100 neurons each, which is similar to the number of neurons estimated to be active at each moment during song (Fee et al. 2004; Fiete et al. 2010). The number of feed-forward connections made by each neuron is governed by a dilution factor $p = 0.5$ (Abeles et al. 2004). This is illustrated schematically in Fig. 1(a). The activation period of a chain is around 160 ms, which is comparable to the characteristic length of birdsong syllables (Brainard and Doupe 2001; Leonardo and Fee 2005; Sakata and Brainard 2006). Additionally, each SFC neuron makes connections to random targets in a population of inhibitory neurons, which in turn globally inhibits the synfire chains with short synaptic delays (Jin 2009). The neurons in the final pool of each chain make feed-forward connections to the initial pools of each of its potential successor chains. This fundamental architecture is shown in Fig. 1(b). Due to the global inhibition, activity in a single chain is the unique attractor so reliable switching from one synfire chain to exactly one of its potential successor chains is assured (Chang and Jin 2009). By applying additional priming
in the form of an excitatory stimulus to the initial pool of a chain, the probability of selecting that chain during competition can be increased.

The synfire chain connectivity is described in detail in Table 1, the corresponding parameters are specified in Table 3.

2.2 Neuron and synapse model

We perform numerical simulations of leaky integrate-and-fire model neurons (Lapicque 1907; Abbott 1999) with post synaptic currents (PSCs) described by the alpha-function. This neuron model reproduces the basic features of cortical nerve cells and can be efficiently simulated (Rotter and Diesmann 1999; Plesser and Diesmann 2009). This enables us to simulate large networks with biologically realistic connectivity, which is necessary for the investigation of collective phenomena such as the propagation of ensemble firing of neuronal groups.

In the experiments involving synaptic plasticity the synaptic weights were altered according to an additive

Table 1 Summary of model structure after Nordlie et al. (2009)

| Model summary |
|----------------|
| Populations | One network of interconnected synfire chains and recurrent connected interneurons (HVC), one network of recurrent connected neurons (auditory network; AN) |
| Connectivity | HVC: excitatory feed-forward (FF) connections within each chain and between final and initial groups of all pairs of chains, excitatory connections to interneurons, recurrent inhibitory connections within group of interneurons, global random inhibition from the interneurons to the chains. AN: balanced networks of excitatory and inhibitory neurons with random connectivity. HVC to AN: excitation from the individual chains. AN to HVC: selective excitatory connections to the first pool of the chains (priming). All connections realized using random divergent (RD) or random convergent (RC) wiring. |
| Neuron model | Leaky integrate-and-fire (IaF), fixed voltage threshold, fixed absolute refractory time |
| Synapse model | Static α-current or spike-timing dependent plasticity with α-current |
| Input | Independent fixed-rate Poisson spike trains to all neurons |
| Measurements | Spike activity, membrane potential, synaptic weights |

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| Name | Source | Target | Pattern |
|------|--------|--------|---------|
| FF   | E_{i,j} | E_{i+1,j} + I_{i+1,j} | RD, 1 \rightarrow C_{Ex}, weight J_{RA,RA}, delay d_{RA,RA} |
| CH_{conn} | E_{20,j} | E_{1,j} + I_{i,j} | RC, C_{Ex} \rightarrow 1, weight J_{RA,RA} or plastic, delay d_{RA,RA} |
| CH_{inh} | E_{i,j} | I | RD, 1 \rightarrow C_{RA,1}, weight J_{RA,1}, delay d_{RA,1} |
| l_{global} | I | E_{i,j} | RD, 1 \rightarrow C_{I,RA}, weight J_{I,RA}, delay d_{I,RA} |
| l_{recurrent} | I | I | RC, C_{I,1} \rightarrow 1, weight J_{I,1}, delay d_{I,1} |
| E_{reat} | E_{i,j} | E_{i,j} | RC, C_{reat} \rightarrow 1, weight J_{reat}, delay d_{reat} |
| E_{prime} | E_{i,j} | E_{i,j} | RC, C_{prime} \rightarrow 1, weight J_{E,AN}, delay d_{AN} |
| E_{E,E} | E_{i,j} | E_{i,j} | RC, C_{E,AN} \rightarrow 1, weight J_{E,AN}, delay d_{AN} |
| E_{E,I} | E_{i,j} | I_{i,j} | RC, C_{E,AN} \rightarrow 1, weight J_{E,AN}, delay d_{AN} |
| E_{I,E} | I_{i,j} | E_{i,j} | RC, C_{I,AN} \rightarrow 1, weight J_{I,AN}, delay d_{AN} |
| E_{I,I} | I_{i,j} | I_{i,j} | RC, C_{I,AN} \rightarrow 1, weight J_{I,AN}, delay d_{AN} |
| E_{c,c} | E_{i,j} | E_{i,j} | Not connected by default; RC, C_{c,c} \rightarrow 1, plastic weight J_{c,c}, delay d_{c,c} |

Connections marked with stars might be plastic as described in Section 3.2.
spike-timing dependent plasticity (STDP) rule (Song et al. 2000). The change of weight is defined as

\[ \Delta w = \lambda \begin{cases} -e^{-|\Delta t|/\tau_+} & \text{if } \Delta t \leq 0 \\ e^{-|\Delta t|/\tau_-} & \text{if } \Delta t > 0 \end{cases} \]

where \( \lambda \) defines a step size and \( \tau_+ \) and \( \tau_- \) are the time constants of the STDP window for potentiation and depression, respectively. The time difference \( \Delta t = t_{\text{post}} - t_{\text{pre}} \) is given by the timing of the post-synaptic and pre-synaptic spikes. Between spike times, the synaptic weights decay exponentially with time constant \( \tau_{\text{decay}} \):

\[ w(t) = w_0 e^{-t/\tau_{\text{decay}}} \]

Synapses are bounded within a range \([W_{\text{min}}, W_{\text{max}}]\).

All simulations were performed using NEST revision 1.9.8718 (see www.nest-initiative.org and Gewaltig and Diesmann 2007) with a computational step size of 0.1 ms. Simulations were carried out using a single or multiple cores of a 24×SUN X4140 2 quad core machine (AMD Opteron Processor 2218, 2.6 GHz, 8 GB) in parallel.

To avoid synchrony artefacts (Hansel et al. 1998), all simulations without plasticity were performed employing precise simulation techniques with the bisecting method in a globally time driven framework (Morrison et al. 2007b; Hanuschkin et al. 2010d). A description of the neuronal and synaptic dynamics and the corresponding parameters are provided in Tables 2, 4 and 5. To allow other researchers to perform their own experiments, at the time of publication we are making a module available for download at www.nest-initiative.org containing all relevant scripts.

### 2.3 Analysis of stochastic sequences

We use the song definition of Woolley and Rubel (1997) who define a stereotype set of separated acoustic

### Table 2 Summary of model dynamics after Nordlie et al. (2009)

#### Neuron models

| Name | HVC\(_{\text{RA}}, \text{HVC}\(_1\) and auditory neurons (precise) |
|------|-----------------------------------------------------|
| Type | Leaky integrate-and-fire, \( \alpha \)-current input |
| Subthreshold dynamics | \( \tau_m \dot{V}(t) = -V(t) + RI(t) \) if \( t > t^* + \tau_{\text{ref}} \) |
| | \( V(t) = V_0 \) else |
| | \( I(t) = I_0 + \sum_k (t - \tilde{t}_k) e^{-\frac{t - \tilde{t}_k}{\tau_+}} H(t - \tilde{t}_k), \) where \( H(x) \) is the Heaviside step function |

#### Spiking

- If \( V(t^-) < V_{\text{th}} \wedge V(t^+) \geq V_{\text{th}} \)
  - 1. calculate retrospective threshold crossing with bisectioning method (Hanuschkin et al. 2010d)
  - 2. set \( t^* = t + \Delta_{\text{offset}} \)
  - 3. emit spike with time stamp \( t^* \)

#### Synapse Model

| Name | STDP synapse |
|------|--------------|
| Type | Simple STDP with additive update rule for potentiation and depression. Exponential decay of weights. |
| Spike pairing scheme | All-to-all (for nomenclature see Morrison et al. 2008) |
| Pair-based update rule | \( \Delta w^+ = \lambda e^{-|\Delta t|/\tau_+} \) if \( \Delta t > 0 \Delta w^- = -\lambda e^{-|\Delta t|/\tau_-} \) else \( \Delta t \): temporal difference between post- and presynaptic spikes |
| Weight dependence | Fixed upper \( W_{\text{max}} \) and lower \( W_{\text{min}} \) bounds. Exponential decay: \( w(t) = w_0 e^{-t/\tau_{\text{decay}}} \). |

#### Inputs

| Type | Target | Description |
|------|--------|-------------|
| Poisson generator | \( SFC_x \) | Independent for all targets, rate \( v_x \), weight \( J_x \) |
| Poisson generator | \( \text{IN} \) | Independent for all targets, rate \( v_{\text{IN,ext}} \), weight \( J_{\text{IN,ext}} \) |
| Poisson generator | \( J_{\text{AN}} \) | Independent for all targets, rate \( v_{\text{AN}} \), weight \( J_{\text{E,AN}} \) |

#### Measurements

Spikes are emitted when an action potential is detected.
elements to be one syllable and each song to consist of several syllables. This is, the song starts with an introductory note ‘i’ followed by a sequence of syllables ‘ABC...’. For alternative definitions, see Okanoya and Yamaguchi (1997), Sakata and Brainard (2006), Katahira et al. (2007).

To evaluate the syntax of the song produced by our model, we consider transitions between syllables. For a specific syntax, a transition between two syllables can be deterministic, stochastic or forbidden. We use two measures to characterize the song structure. The sequence stereotype score \( S \) is defined as the average of sequence linearity and sequence consistency (Scharff and Nottebohm 1991; Woolley and Rubel 1997). The sequence linearity is given by the ratio between the number of different syllables per bout and the number of transition types per bout. The sequence consistency is given by the ratio of the sum over allowed transitions per bout and the sum over total transitions per bout.

Another measure used to describe the syllable sequencing is the transition entropy

\[
H_j = - \sum_{i=1}^{N} p_{ij} \log_2 p_{ij},
\]

where \( p_{ij} \) is the probability that when syllable \( j \) occurs it is followed by syllable \( i \) (Sakata and Brainard 2006). The average transition entropy \( \bar{H} \) is given by the average over all \( H_j \).

### 3 Results

#### 3.1 A reafferent and feed-forward model of song syntax generation

The feed-forward model of the Bengalese finch HVC recently proposed by Jin (2009) is motivated by the resemblance of synfire activity to the activity of HVCRA.

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**Fig. 2** A reafferent and feed-forward model of song syntax generation (a) Combination of reafferent and feed-forward models. Each syllable (open circle) is connected in a feed-forward fashion (black arrows) to every syllable in the motor system, potentially allowing all syllable transitions. During syllable output the syllable is perceived by the auditory system (Aau), which responds by priming the activation of the next syllable in the motor system (blue arrows). The reafferent connections realize a specific song syntax; here, the perception of syllable ‘A’ results in excitatory input to syllables ‘A’ and ‘B’, thus sequences ‘AA’ and ‘AB’ will occur, but not ‘AC’ or ‘AD’. (b) Transition diagram for an example of Bengalese finch song syntax (Jin 2009). Each syllable is represented by a node, the black arrows connecting the nodes indicate possible transitions. (c) HVC interconnectivity. Each syllable is represented by a synfire chain. The final group of each chain makes feed-forward connections to the initial group of every chain, as shown for syllable ‘A’ in (a). (d) Auditory priming. Each synfire chain makes excitatory connections to the corresponding auditory sub-network. Each auditory sub-network makes excitatory connections to specific synfire chains to realize a song syntax. Color coding and syntax as in (b).
neurons: each syllable is represented as a synfire chain, and excitatory connections from the final pools of chains to the initial pools of specific other chains dictate the song syntax. If a chain projects to only one other chain, stereotypical sequences are produced (e.g. ‘CD’ in Fig. 2(b)), whereas if multiple successor chains are activated, this results in stochastic branching (e.g. ‘AA’ or ‘AB’). Here, we consider only distinguishable states and the transitions between them; an even better fit to the statistics of song syntax can be obtained by including hidden states in the first order Markov process (Jin 2009; Katahira et al. 2010).

However, the feed-forward model neither explains the loss of syntax of the Bengalese after deafening and the recovery of the song syntax after the auditory feedback is restored (Okanoya and Yamaguchi 1997; Woolley and Rubel 1997, 1999; Watanabe and Aoki 1998), nor the instantaneous modifications of syntax due to auditory feedback perturbations (Sakata and Brainard 2006, 2008). These findings suggest a sparse song template of syllables where the song syntax is generated by reafferent cues of the auditory system (Sakata and Brainard 2006).

To account for the findings on both undisturbed and disturbed song syntax, we combine the feed-forward model of Jin (2009) with the reafferent hypothesis of Sakata and Brainard (2006) to a unified model as illustrated in Fig. 2(a). To produce the syntax shown in Fig. 2(b), 4 synfire chains \{SFC_A, SFC_B, SFC_C, SFC_D\} as described in Section 2.1 code for the four different syllables \{A, B, C, D\}. The final pool of each synfire chain projects to the initial pool of every chain with the same feed-forward excitatory connectivity as within the chains, thus potentially allowing all syllable transitions (see Fig. 2(c)). As in the model proposed by Jin (2009), the excitatory neurons are reciprocally connected with the population of fast spiking HVC_I interneurons with short synaptic delays. The activity of the HVC_I interneurons (referred to in the following as the inhibition network, IN) results in dominant global inhibition that stabilizes the HVC network. Supra-threshold input to the neurons of the synfire chain network leads to the spontaneous ignition of synfire activity, but the numerous fast connections from IN to the synfire chains suppress the synfire activity in all but one chain. Consequently, activity in a single chain is the only attractor in the network and ensures reliability of the switching.

The reafferent hypothesis suggests that the vocal output determines or influences the next vocalization mediated by immediate auditory feedback. For the sake of simplicity, we reduce the complex auditory system of the finch to a single network which we will call auditory network (AN) in the following. The auditory network consists of a set of four balanced networks (Brunel 2000) representing auditory perceptions of the four syllables \{A_au, B_au, C_au, D_au\}. As the spiking activity of individual HVC_RA neurons is locked to specific syllables (Hahnloser et al. 2002), we approximate the reafferent signal to the auditory system by the HVC_RA activity, assuming a long propagation delay to account for the production and perception of the syllable. Thus, when syllable \(i\) is sung excitatory connections from SFC_\(i\) to \(i_{au}\) lead to an increase in firing rate in the auditory subnetwork \(i_{au}\). The excitatory neurons of the auditory sub-networks project to the first pool of the synfire chains selected for priming. The priming of individual synfire chains modifies the switching probabilities (Jin 2009; Hanuschkin et al. 2010c) such that only one of the primed synfire chains can win the competition. The interaction between the synfire chains representing the syllables and the auditory network is illustrated in Fig. 2(d).

The interactions between the networks comprising the reafferent and feed-forward model are illustrated in Fig. 3. A tabular description of our model is given in
3.2 Synaptic plasticity

In the absence of auditory feedback the Bengalese finch loses the song syntax within days. This implies that the song system of the finch does not exclusively rely on auditory feedback for the generation of the song syntax. We extend our network model to realize two different hypotheses of how the syntax is gradually lost.

The first hypothesis is that the song syntax is imprinted on the synfire chain network (SFCN). We therefore incorporate spike-timing dependent plasticity (STDP) at the transition sites between the synfire chains as illustrated in Fig. 4(a). The syntax is determined by the priming from the auditory network (see Fig. 2). For example, in the syntax given in Fig. 2(b), the transition from ‘A’ to ‘B’ occurs often, whereas the transition from ‘A’ to ‘C’ is not observed. Consequently, the synaptic strengths between SFC_A and SFC_B are potentiated, imprinting the sequence ‘AB’, but the synaptic strengths between SFC_A and SFC_C are depressed. If the reafferent priming is removed, the sequence ‘AB’ is initially more likely but occasionally ‘AC’ occurs. After a period of time the imprinting vanishes, resulting in a more random syntax.

The second hypothesis is based on the argument that an efference copy of the song has to be present to allow learning of the song syntax (Troyer and Doupe 2000a). We propose that such an efference copy could also be used to keep the song syntax stable after the auditory feedback is disrupted. We do not elaborate on the site of the efference copy, but simply postulate that the auditory input converges with the efference copy in the auditory network. We therefore assume plastic connections from the SFCN to the auditory network as illustrated in Fig. 4(b). The correlation between the activity in the synfire chains representing the syllables and the elevation of activity in the corresponding auditory sub-networks causes these connections to be potentiated. Consequently, if the auditory input is removed, activity in an individual synfire chain still results in elevated activity in the corresponding auditory

| Name     | Value | Description                                                                 |
|----------|-------|-----------------------------------------------------------------------------|
| C_Es     | 93    | Number of feed-forward connections from each excitatory neuron               |
| J_RA,RA  | 65 pA | Synaptic weight in feed-forward connections                                   |
| d_RA,RA  | 3 ms  | Synaptic transmission delay                                                  |
| C_RA,1   | 50    | Number of connections from each of HVC_RA to the pool of interneurons        |
| J_RA,1   | 60 pA | Synaptic weight                                                              |
| d_RA,1   | 0.1 ms| Synaptic transmission delay                                                  |
| C_I,RA   | 720   | Number of connections from each inhibitory HVC_I neuron to the HVC_RA neurons|
| J_I,RA   | −50 pA| Synaptic weight                                                              |
| d_I,RA   | 0.1 ms| Synaptic transmission delay                                                  |
| C_I,1    | 10    | Number of connections from the pool of HVC_I neurons to each HVC_I neuron    |
| J_I,1    | −5 pA | Synaptic weight                                                              |
| d_I,1    | 1 ms  | Synaptic transmission delay                                                  |
| C_reaff  | 20    | Reafferent input: connections from the HVC_RA neurons of one syllable to each HVC_I neuron|
| J_reaff  | 30 pA | Synaptic weight                                                              |
| d_reaff  | 40 ms | Synaptic transmission delay                                                  |
| C_prime  | 250   | Priming: number of connections from the pool of the auditory subnetwork to each neuron of the first pool of the chain to prime |
| C_E,AN   | 33    | Number of connections drawn from the pool of excitatory neurons              |
| C_I,AN   | 8     | Number of connections drawn from the pool of inhibitory neurons              |
| J_E,AN   | 3.33 pA| Synaptic weight                                                              |
| J_I,AN   | −20.81 pA| Synaptic weight                                                           |
| d_AN     | 1 ms  | Synaptic transmission delay                                                  |
| C_e.c.   | 0 or 10| Efference copy: number of connections drawn from the pool of SFCs neurons in the implementation with efference copy |
| J_e.c.   | −     | Synaptic weight (plastic)                                                   |
| d_e.c.   | 3 ms  | Synaptic transmission delay                                                  |
Table 4 Specification of neuron model parameters

| Name                        | Value | Description                                      |
|-----------------------------|-------|--------------------------------------------------|
| Neuron model of HVCRA       |       |                                                  |
| $\tau_m$                    | 20 ms | Membrane time constant                           |
| $C_m$                       | 250 pF| Membrane capacitance                             |
| $V_{th}$                    | 20 mV | Fixed firing threshold                           |
| $V_0$                       | 0 mV  | Resting potential                                |
| $V_{reset}$                 | $-50$ mV | Reset potential                                  |
| $\tau_{ref}$                | 5 ms  | Absolute refractory period                       |
| $\tau_a$                    | 3 ms  | Rise time of post-synaptic current               |
| $I_e$                       | 0 pA  | External DC current applied to each neuron       |
| Neuron model of HVC$i$      |       |                                                  |
| $\tau_m$                    | 5 ms  | Membrane time constant                           |
| $C_m$                       | 250 pF| Membrane capacitance                             |
| $V_{th}$                    | 20 mV | Fixed firing threshold                           |
| $V_0$                       | 0 mV  | Resting potential                                |
| $V_{reset}$                 | 0 mV  | Reset potential                                  |
| $\tau_{ref}$                | 0.5 ms| Absolute refractory period                       |
| $\tau_a$                    | 1 ms  | Rise time of post-synaptic current               |
| $I_e$                       | 800 pA| External DC current applied to each neuron       |
| Neuron model of the auditory neurons | | |
| $\tau_m$                    | 20 ms | Membrane time constant                           |
| $C_m$                       | 250 pF| Membrane capacitance                             |
| $V_{th}$                    | 20 mV | Fixed firing threshold                           |
| $V_0$                       | 0 mV  | Resting potential                                |
| $V_{reset}$                 | 0 mV  | Reset potential                                  |
| $\tau_{ref}$                | 2 ms  | Absolute refractory period                       |
| $\tau_a$                    | 5 ms  | Rise time of post-synaptic current               |
| $I_e$                       | 100 pA| External DC current applied to each neuron       |

The categories correspond to the model specification in Tables 1 and 2.

Both hypotheses can be realized with an additive STDP rule (Song et al. 2000) with additional depression on a long timescale. The details of the plasticity model

Table 5 Specification of synapse parameters and applied input

| Name                        | Value | Description                                      |
|-----------------------------|-------|--------------------------------------------------|
| STDP synapse model (imprinting) |       |                                                  |
| $\lambda$                   | $10^{-3}$ | Learning rate                                    |
| $\tau_{decay}$              | $10^4$ s | Decay time constant                              |
| $\tau_+$                    | 15 ms | Time constant of potentiation window             |
| $\tau_-$                    | 20 ms | Time constant of depression window (unused)      |
| $W_{max}$                   | 75 pA | Upper bound of weights                           |
| $W_{min}$                   | 65 pA | Lower bound of weights                           |
| $W_{start}$                 | 65 pA | Start value of weights                           |
| STDP synapse model (efference copy) |       |                                                  |
| $\lambda$                   | $10^{-3}$ | Learning rate                                    |
| $\tau_{decay}$              | $5 \cdot 10^3$ s | Decay time constant                              |
| $\tau_+$                    | 20 ms | Time constant of potentiation window             |
| $\tau_-$                    | 20 ms | Time constant of depression window               |
| $W_{max}$                   | 80 pA | Upper bound of weights                           |
| $W_{min}$                   | 0 pA  | Lower bound of weights                           |
| $W_{start}$                 | 35 pA | Start value of weights                           |
| Input                       |       |                                                  |
| $\nu_x$                     | 7 kHz | External Poisson rate                            |
| $J_x$                       | 26 pA | Synaptic weight                                  |
| $\nu_{IN,ext}$              | 2 kHz | External Poisson rate                            |
| $J_{IN,ext}$                | 28 pA | Synaptic weight                                  |
| $\nu_{AN}$                  | 2.9 kHz | External Poisson rate                           |

The categories correspond to the model specification in Tables 1 and 2.
are described in Section 2.2 and the parameters are given in Table 5.

3.3 Song generation

The reafferent and feed-forward model generates sequences of syllables with a syntax determined by the excitatory priming connections from the auditory network. A 5 s example of a generated sequence following the song syntax in Fig. 2(c) is shown in Fig. 5(a). Reliable switching is achieved throughout the simulation in accordance with experimental findings that the combination of syllables is a rare error in intact bird (Woolley and Rubel 1997). The syllable distribution is $p_A = 0.17$, $p_B = 0.17$, $p_C = 0.33$ and $p_D = 0.33$. In order to quantify the quality of the song produced by the model, we calculate the stereotype score and the average transition entropy as described in Section 2.3. For the syntax shown in Fig. 2(c) the allowed transitions are ‘AA’, ‘AB’, ‘BB’, ‘BC’, ‘BD’, ‘CD’, ‘DC’ and ‘DA’. A perfect reproduction of the example song syntax would therefore result in $S^* = \frac{1}{2}\left(\frac{4}{3} + 1\right) = 0.75$. The measured sequence score $S = 0.79$ is slightly larger than this because the sequence ‘BD’ does not occur during the recorded period (see Fig. 5(c)). The average transition entropy is $\hat{H} = 0.68$. As some sequences occur more frequently than others (e.g. ‘DC’ is observed more often than ‘DA’), the average transition entropy is less than the value that would be obtained if all transitions allowed by the song syntax were equally probable ($\hat{H} = 1.04$). Both measures indicate that the probabilities between allowed transition are unbalanced. This result emerges naturally from the random network connectivity and is characteristic of bird song (Katahira et al. 2007).

Figure 5(b) shows the modulation in the firing rate in the auditory and HVC networks during song production. The instantaneous firing rate is estimated from the spike data using a Gaussian filter ($\sigma = 5$ ms). The firing rate of the auditory sub-networks follows the generated syllables since it is driven by the reafferent input. The song initiation is triggered by a synfire activity ignition in the HVC network which results in a brief increase in firing rate of the HVC network. Furthermore, at each SFC transition site (i.e. change from one syllable to the other) a local increase in firing rate results from the competition between the chains. These dynamical features of the model activity are in good agreement with experimental findings that HVC activity modulation is locked to the structure of the song: before song initiation the activity rises and at the beginning of each syllable an increase in firing rate is observed (Sakata and Brainard 2008). The synfire chains in our model have an activation period of 160 ms resulting in periodic modulations with a frequency of approximately 6 Hz. We have shown in a model of the motor cortex of the monkey that such modulations evoked by synfire chain competition may be observable in mesoscopic signals such as the LFP (Hanuschkin et al. 2010c).

Figure 5(d) shows a 5 s example of a generated sequence with no auditory feedback. For example, after the syllable ‘C’ is sung there is no auditory perception of the syllable and thus no increase in the firing rate of the auditory sub-network $C_{au}$ (compare Fig. 5(b) and (e)). As a consequence, the temporal cue for the
next element in the song sequence is missing. All chains are activated by the final pool of SFC\textsubscript{C} due to the all-to-all feed-forward connectivity illustrated in Fig. 2(c). As syllable ‘D’ is not primed by the auditory network, all synfire chains compete to follow syllable ‘C’. Since activity of a single chain is the only attractor, only a single one will be selected. Due to the heterogeneities in the connectivity some sequences are still more likely than others, and some sequences may never occur. As a result of the increased number of possible sequences, the original song syntax is lost. Figure 5(f) shows the transition probabilities measured over the 5 s song sample. The upper panel of Fig. 5(d) highlights the occurrence of the forbidden transitions ‘BA’, ‘CA’, ‘CB’, ‘CC’ and ‘DB’. The average transition entropy $H = 0.77$ is increased with respect to the previous example with auditory priming and the sequencing stereotype is decreased to $S = 0.53$. This shows that the generated sequence has much less structure: a purely random syntax would result in $S = \frac{1}{2} \left( \frac{4}{15} + \frac{8}{15} \right) = 0.375$. This example reproduces the experimental findings on song syntax a few days after deafening (see reviews by Konishi 2004; Woolley 2008). On restoring the auditory pathway, the original song syntax is regained as in Fig. 5(b), in agreement with experimental findings (Woolley and Rubel 2002).

3.4 Gradual loss of syntax

After deafening the Bengalese finch song becomes distorted within 7 days (Okanoya and Yamaguchi 1997; Watanabe and Aoki 1998; Woolley and Rubel 1999). In this section we test the ability of two different hypotheses to reproduce this behavior (see Section 3.2).

We first consider the case that the feed-forward connections from the final pool of each synfire chain to the initial pools of every synfire chain are plastic such that transitions that occur often tend to strengthen those connections, whereas transitions that do not occur often lead to weakened connections (Fig. 4(a)). In other words, song repetition results in imprinting the song structure on the transition sites between the synfire chains encoding the individual syllables. The details of the spike-timing dependent plasticity rule with additional decay term can be found in Section 3.2 and the parameters in Table 5. In Fig. 6(a) the average synaptic weight for each transition SFC\textsubscript{a} $\rightarrow$ SFC\textsubscript{j} is shown for a time period of 12,000 s. In the presence of auditory
feedback the weights converge reflecting the song syntax, i.e. transitions allowed by the syntax have stronger synaptic weights than those of forbidden transitions (compare the right panel of Figs. 6(a) and 2(b)). For example, the average weights from the final pool of SFC_A split into two groups. The average strengths of the synapses to the initial pools of SFC_A and SFC_B are greater than the average strengths of the synapses to the first pools of SFC_C and SFC_D, reflecting the song syntax which permits the sequences ‘AA’ and ‘AB’ but forbids the sequences ‘AC’ and ‘AD’. The outgoing synaptic weights from SFC_C are small compared to the outgoing connections from the other chains. This is a result of the uneven syllable distribution during auditory feedback ($p_A = 0.27 \pm 0.02$, $p_B = 0.37 \pm 0.02$, $p_C = 0.06 \pm 0.02$, $p_D = 0.30 \pm 0.01$) due to the unbalanced random connectivity of the network. Figure 6(b) shows the development of the sequencing stereotype and the average transition entropy. While the auditory feedback is present, the sequencing stereotype $S_t = 0.75 \pm 0.02$ shows that the song syntax is produced accurately ($S_t = 0.75$ for perfect syntax). The average transition entropy reaches a stable value ($\hat{H} = 0.58 \pm 0.08$) around 2,000 s, the time at which the weights converge.

At $t_{uf} = 4,000$ s the auditory feedback is suppressed. After this point the average synaptic weights slowly begin to equalize, since in the absence of the priming cue transitions that were previously forbidden can now occur by chance (see for example Fig. 5(d)). The sequencing stereotype decreases gradually and can be fitted with a power law ($\gamma(t-t_{uf}) = k t^n$ with $k = 0.97$ and $n = -0.07$) and the average transition entropy increases rapidly. This indicates a gradual loss of syntax. When the auditory feedback is no longer suppressed ($t > 8,000$ s) the original song syntax is completely and instantaneously restored with $S_t = 0.73 \pm 0.02$ and $\hat{H} = 0.54 \pm 0.04$. The sequencing stereotype is marginal lower than before the deafening. This is explained by minor differences in the converged average synaptic weights in the feed-forward connections after the deafening interlude (compare the outgoing synaptic weights of SFC_B before and after auditory feedback suppression period in Fig. 6(a)).

Note that the slow weight decay term in the synaptic plasticity model (Eq. 2) is a necessary condition for the symmetry breaking in the outgoing connections of the synfire chains and also for the gradual loss of syntax. This is because the neurons in the synfire chains only fire when a volley of activity travels through the chain. Hence, the only activity pattern that occurs between a neuron in the final pool of one chain and a neuron in the initial pool of a successor chain is a pre-synaptic spike before a post-synaptic spike (i.e. $\Delta t > 0$). This pattern results in an increase in synaptic strength; in the absence of an additional decay term all synaptic weights converge to the maximum value $W_{max}$.

The second hypothesis to be tested is that additional plastic excitatory connections exist between the synfire chains encoding the syllables and the corresponding auditory sub-networks (Fig. 4(b)). Through song repetition the connections are strengthened, thus realizing an efference copy of the song in the auditory network. For the sake of simplicity, we assume the same synaptic plasticity model as above. Figure 7(a) shows that in the presence of auditory feedback, the average synaptic weights converge to stable values. The sequencing stereotype $S_t = 0.749 \pm 0.003$ and the average transition entropy $\hat{H} = 0.81 \pm 0.02$ show that the song syntax in Fig. 2(b) is followed accurately (perfect syntax production: $S_t = 0.75$) with nearly balanced transition probabilities (perfect symmetry: $\hat{H} = 1.04$) (see Fig. 7(b)). At $t_{uf} = 8,000$ s the feedback is suppressed. The connection strengths decrease and finally converge.
to lower values. The sequencing stereotype gradually decreases and the average transition entropy gradually increases. Both tendencies can be fitted with power laws $y(t) = kt^p$ with $k = 0.73$, $n = -0.01$, and $k = 0.77$, $n = 0.02$, respectively. Additionally, the syllable distribution rapidly changes from $p_A = 0.45 \pm 0.03$, $p_B = 0.17 \pm 0.01$, $p_C = 0.14 \pm 0.01$, $p_D = 0.24 \pm 0.01$ before deafening to $p_A = 0.31 \pm 0.02$, $p_B = 0.17 \pm 0.01$, $p_C = 0.18 \pm 0.02$, $p_D = 0.33 \pm 0.01$ afterwards. These results indicate that the efference copy hypothesis is also capable of accounting for the gradual loss of syntax.

### 3.5 Auditory feedback perturbations

In our reafferent model of the songbird the auditory feedback is responsible for the correct sequence generation. By additional and specific excitation from the auditory network, the SFCs in the HVC network are primed to be active in the desired sequence (see Fig. 8(a), (b)). However, at the stochastic branching points of the song the auditory feedback does not completely determine the next syllable, it simply reduces the competition to the syllables allowed by the given song syntax. In this section, we investigate the behavior of the model when the auditory network is disturbed resulting in inconsistent priming cues.

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**Fig. 7** Gradual loss of syntax with efference copy connections. (a) Mean synaptic weights of the efference copy connections from each synfire chain (SFCA, blue; SFCB, red; SFCc, cyan; SFCd, yellow) to the corresponding auditory sub-networks. The auditory feedback is suppressed at $t_d = 8,000$ s and restored at $16,000$ s (vertical black lines). (b) Sequencing stereotype ($S$, red dots) and average transition entropy ($H$, black dots) evaluated in bins of 100 s. The red and black curves are power law fits to the data.

**Fig. 8** Effects of auditory feedback perturbations on song syntax. (a) Song production without feedback perturbations. Lower panel: spiking activity of the different auditory sub-networks (AN: Aau, blue; Bau, red; Cau, cyan; Dau, yellow), the HVC1 neurons (IN, gray) and the HVCRA synfire chains (black) when auditory feedback is present. Upper panel: generated sequence of syllables: the sequence ‘BDCDC’ is generated. (b) Average Gaussian filtered firing rate of HVC1 neurons and neurons of the different auditory sub-networks. Color coding as in (a), (c) As in (a, b) with altered auditory feedback. From $t = 250$ ms until $550$ ms additional input to the auditory network Dau is applied to mimic the additional perception of syllable ‘D’ (indicated by bold red letter). The syllable sequence is changed to ‘BCCDC’. The sequence ‘BD’ has been changed to ‘BC’ and the forbidden transition ‘CC’ has been introduced. (e, f) As in (a, b) but for a brief burst of noise (10 ms) applied at $t = 450$ ms to all auditory sub-networks (indicated by bold red exclamation marks). The song is briefly interrupted. (g) Probabilities of transition from syllable ‘D’ to ‘A’ (blue), ‘B’ (red), ‘C’ (cyan) and ‘D’ (yellow) as a function of the signal-to-noise ratio (SNR) controlled by additional synaptic input to Aau, Bau and Cau. Vertical dashed lines indicate three regimes with different characteristic ratios of forbidden to allowed transitions.
Sakata and Brainard (2006) observed that perturbing the auditory feedback by playing the bird a syllable different from the one sung results in a stereotyped change in sequencing. The effect of altered auditory feedback (AAF) can easily be demonstrated in our model by stimulating a specific auditory sub-network with additional Poisson input. This can activate an auditory feedback cue for a syllable that would not be activated in the usual song sequence. In Fig. 8(e), (d) the auditory sub-network $D_{au}$ is stimulated with an excitatory Poissonian spike train at 1 kHz with a synaptic strength of 5 pA to mimic the additional perception of syllable ‘$D$’. In the syntax given in Fig. 2(b) the sequence ‘CC’ is not possible in the presence of the correct auditory feedback because the auditory sub-network $C_{au}$ just primes $SFC_D$. Due to the additional input to $D_{au}$, chains $SFC_A$ and $SFC_C$ are also primed so the selection of $SFC_C$ becomes possible (compare Fig. 8(a) and (c)). The syntax alteration induced by an additional and unique syllable playback of sufficient amplitude is entirely determined by the pre-defined syntax transition diagram (Fig. 2(b)).

An alternative hypothesis for how AAF induces syntax change is that the feedback reduces the signal-to-noise ratio (SNR) in the auditory perception of the bird, such that the bird cannot unambiguously identify which syllable it perceived. We simulate this by providing an additional stimulus to the auditory subnetworks $A_{au}$, $B_{au}$ and $C_{au}$ (excitatory Poissonian spike train at 1 kHz for 200 ms with a variable synaptic strength $\mu$) whenever syllable ‘$D$’ is sung. We estimate the transition probabilities for a 10 s song excerpt (compare Fig. 5(a)) where the syllable ‘$D$’ is sung 20 times, averaged over 2 trials. The SNR is given by the ratio between the firing rate of $D_{au}$ and the mean firing rate of the auditory subnetworks $A_{au}$, $B_{au}$ and $C_{au}$. Increasing the synaptic weight $\mu$ decreases the SNR and reveals distinct regions of characteristic transition changes (Fig. 8(g)). In the first region (I) the probability of the primary transition decreases while the probability of the second transition increases. In the second region (II) forbidden transitions arise with finite probability. The right border of this region is given by $SNR = 1$, i.e. the point at which all auditory subnetworks receive the same mean excitatory input ($\mu \approx 3.75$ pA). Increasing the strength of the AAF further has the net effect of suppressing the allowed transitions from ‘$D$’ as defined in Fig. 2(b), such that forbidden transitions become more likely than allowed transitions.

Experimental findings suggest that a strong feedback perturbation results in the song being broken off and restarted later (Cynx and von Rad 2001; Sakata and Brainard 2006). In Fig. 8(c), (f) we test the response of the system to a brief burst of noise to the auditory system. All neurons in the auditory sub-networks receive additional Poissonian input at 10 kHz with a synaptic strength of 25 pA for 10 ms. The song is immediately interrupted and restarts after a period of a few milliseconds due to the subthreshold drive to the $HVC_{RA}$ neurons. These results show that feedback perturbances to the auditory network change the sequence ordering and result in violation of the song syntax as observed in experiment.

### 4 Discussion

The discovery of the sub-millisecond precision of the sparse $HVC_{RA}$ activity pattern by Hahnloser et al. (2002) led to the hypothesis of a chain-like activity generation within $HVC_{RA}$ by Fee et al. (2004). This hypothesis has been recently strengthened by a report that the subthreshold dynamics of the $HVC_{RA}$ neurons in the freely behaving zebra finch exhibits features characteristic of chain-like structures (Long et al. 2010). Here, intrinsic HVC connectivity gives rise to the generation of the activity pattern within HVC rather than a rhythmically external drive. Additionally, Cynx (1990) and Seki et al. (2008) reported that a flash of light interrupts ongoing song at discrete locations in the song which almost always fall between song syllables. As it is difficult to interrupt the stable and reliable propagation of synfire activity within a chain, this observation supports the theory that individual syllables are represented by such feed-forward networks. This hypothesis has been influential in subsequent modeling studies of the HVC (Li and Greenside 2006; Jin et al. 2007; Jin 2009).

A feed-forward model of the HVC of the Bengalese finch was recently proposed by Jin (2009). This model accounts for the sparse sequences of $HVC_{RA}$ activity and is able to produce the song syntax of Bengalese finches with a fixed inter-chain connectivity. Moreover, Jin (2009) showed that priming can lead to changes in branching probabilities, however the influence of external areas that could generate such a priming signal was not explicitly modeled. Experimental studies on the effect of auditory feedback perturbances on the song syntax suggest a direct influence of the auditory feedback on syllable sequencing in the Bengalese finch (Woolley and Rubel 1997, 2002; Okanoya and Yamaguchi 1997; Watanabe and Aoki 1998; Sakata and Brainard 2006, 2008; Woolley 2008) and also in the zebra finch (Nordeen and Nordeen 1992, 2010; Leonardo and Konishi 1999; Lombardino and Nottebohm 2000; Cynx
The spiking of individual HVCRA neurons is time locked with sub-millisecond precision to distinct song syllables (Hahnloser et al. 2002), motivating our key assumption of feed-forward HVC circuitry. However, whether the observed spiking pattern originates from such intrinsic structures or are rhythmically driven from outside HVC (e.g., Uva) remains an unresolved question (Fee et al. 2004). As we use predefined synfire chains, our study also implicitly assumes that such structures can be developed by the brain. Some studies on the basis of Hebbian synaptic plasticity have reported the development of feed-forward sub-networks (Izhikevich et al. 2004; Buonomano 2005; Doursat and Bienenstock 2006; Jun and Jin 2007; Masuda and Kori 2007; Hosaka et al. 2008; Liu and Buonomano 2009; Waddington et al. 2010), but these findings have so far not been verified by investigations of large-scale model networks with biologically realistic numbers of synapses per neuron (Morrison et al. 2007a; Kunkel et al. 2010). Recently, Fiete et al. (2010) showed that the combination of STDP with heterosynaptic plasticity in a small network generates wide chains with a length distribution similar to the one estimated in the zebra finch HVC. This finding further supports our assumption that HVCRA neurons are organized into synfire chains.

The neural activity of the HVCRA is characterized by zero firing rate in the absence of singing or playback of BOS (Hahnloser et al. 2002). We have therefore made the assumption of robust winner-takes-all chain switching on the basis of dominant global inhibition (Chang and Jin 2009). An advantage of this approach is that the presence of superthreshold drive to the HVCRA neurons assures ongoing song activity. Previously, we have also shown reliable switching from one to several potential successor chains in a model of the motor cortex by combining mutual cross-inhibition and global inhibition (Hanuschkin et al. 2010c). In this case, global dominant inhibition is not a plausible assumption, because the motor cortex is characterized by asynchronous and irregular neural activity (Burns and Webb 1976; Softky and Koch 1993; van Vreeswijk and Sompolinsky 1996; Ponce-Alvarez et al. 2009) which can be reproduced by balanced, rather than dominant, inhibition (Brunel 2000).

We further assume that many biological details of the neurons are not critical for the network function. In general, a specific network behavior can be achieved by completely different parameter sets (Prinz et al. 2004). In our model of the HVC, the feed-forward network structure (reviewed in Kumar et al. 2010) is crucial whereas the precise details of the neuron model are not. It has been shown that synfire chains can reliably propagate pulse packages in the presence of noise (Diesmann et al. 1999; Goedeke and Diesmann 2008), an intra-dilution rate (Hayon et al. 2005), distributed delays (private observation) or unreliable synapses (Guo and Li 2010) and for various forms of neural models such as leaky integrate-and-fire neurons with conductance- or current-based synapses (Kumar et al. 2006; Schrader et al. 2010), intrinsic bursting neurons (Teramae and Fukai 2008) or compartmental bursting neurons (Jin et al. 2007). Hence, we do not model the neurons in the HVC or the auditory network neurons in detail because our level of description is sufficient to draw conclusions on the functional level of the song syntax production.

We have not included the AFP in our model, which is the second major pathway in the song system. The AFP is crucial for song learning in young birds, since lesion of LMAN leads to an early crystallization of the song whereas lesion of Area X prevents song stabilization (Bottjer et al. 1984; Sohrabji et al. 1990; Scharff and Nottebohm 1991). In the adult zebra finch it has been reported that the activity in LMAN drives variability in either syllable structure in isolation (Kao et al. 2005; Aronov et al. 2008; Horita et al. 2008) or together with changes in song sequencing (Brainard and Doupe 2000; Olveczky et al. 2005; Nordeen and Nordeen 2010). However, in the Bengalese finch only changes in syllable structure have been experimentally verified (Kao and Brainard 2006; Hampton et al. 2009). Furthermore, as song sequencing is fixed in the adult zebra finch, it is difficult to draw conclusions from studies on zebra finch as to the role of LMAN in the variability of sequences (Hampton et al. 2009). As we are investigating song
sequencing and not song learning, these experimental results motivate our model assumption that the AFP is not responsible for the song syntax generation in the adult Bengalese finch and thus need not be modeled.

We assume that the song sequence is controlled directly by the means of state cues delivered by the auditory system. The state cue consists of only the last syllable produced, based on the assumption that the song syntax can be fully characterized by a first order Markov process. This assumption has been used explicitly in several model studies (Katahira et al. 2007; Jin 2009) and implicitly in numerous transition diagrams (e.g. Yamada and Okanoya 2003; Sakata and Brainard 2006; Wohlgemuth et al. 2010). Recent investigations of the song syntax statistics reveal additional hidden states and adaptation in the first order Markov process (Jin 2009; Katahira et al. 2010; Jin and Kozhevnikov 2010). Common excitatory drive to the HVC from NIf or CM in response to BOS has been found experimentally (Rosen and Mooney 2006; Roy and Mooney 2009). In our model these reafferent signals are directly used to generate the correct song syntax via priming the synfire chain transition sites. Indirect reafferent influence on local circuits within the HVC or on a possible brain stem feedback loop are not investigated because they would neither change the function of the model nor deliver further insight into the song system based on current knowledge.

We test two different hypotheses of how synaptic plasticity could generate a gradual loss of syntax when the auditory feedback is depressed. We either assume plasticity in the HVC RA synapses to imprint the song syntax or the interaction of an efference copy with the reafferent signal. The latter is realized in the model by assuming additional plastic connections from the HVC to the auditory network. An efference copy in field L and CM neurons of zebra finches has recently been found by Keller and Hahnloser (2009). An additional efference copy could also be situated in the HVC itself (Troyer and Doupe 2000a); recent experiments on swamp sparrows indicate that an efference copy is established in the connections from HVC RA to HVCX via HVCI (Prather et al. 2008, 2009). The specific site of the interaction of the efference copy or alternatively the brain stem feedback with the reafferent signal does not alter the conclusions we draw from our model. In both cases the synaptic plasticity is modeled by additive STDP with fixed upper and lower bound of the weights in order to prevent runaway excitation or the disconnection of the chains. A weight-dependent STDP rule would behave similarly, as the results do not depend on symmetry breaking properties; only the causal relation-ship of the pre- and post-synaptic activity plays a role. STDP coupled to hard synaptic weight boundaries has been shown to prevent the destabilization of network activity by Hebbian plasticity (Abbott and Nelson 2000; Turrigiano and Nelson 2004). Additionally, we assume an exponential decay of weights over time. This is needed to introduce depression, as typically only the pre-before-post spiking pattern is found in the activity of feed-forward networks.

4.2 Reproduction and predictions of experimental findings

By combining the reafferent and feed-forward model it is possible to reliably produce a predefined song syntax that is stored in the excitatory afferent connections from auditory sub-networks to the HVC. In our model each syllable is represented by the synfire activity propagating through one chain in the HVC network; the transformation into sounds via RA and the vocal organs is not modelled. Consequently, the reafferent signal consists of temporal rather than spectral cues, which is in accordance with experimental findings (Woolley and Rubel 1999). The population activity of the HVCI neurons in our simulated network is modulated with song structure as observed in experiments by Kozhevnikov and Fee (2007) and Sakata and Brainard (2008).

Our model reproduces for the first time the loss of song syntax when the auditory feedback is suppressed and its recovery when the auditory feedback is restored (see Section 3.3) as observed in experiments (e.g. reviewed in Woolley 2008). The model song exhibits an increase in transition entropy (Sakata and Brainard 2006) and a decrease in sequence score (Woolley and Rubel 1997) in the absence of auditory feedback. We test two hypotheses for how plasticity in the model could result in a gradual loss of syntax after the auditory feedback is suppressed (see Section 3.4). Both hypotheses, imprinting of song syntax in the HVC or maintaining an efference copy in additional connections from the HVC to the auditory network, are able to reproduce the experimental observation that the song syntax deteriorates over a long period after deafening (Okanoya and Yamaguchi 1997; Watanabe and Aoki 1998; Woolley and Rubel 1999).

In Section 3.3 we have shown that the heterogeneities in the HVC connectivity result in sequences where some transitions are more likely than others, a typical song property (Katahira et al. 2007). When the auditory feedback is suppressed these heterogeneities prevent the song syntax from becoming completely randomized. This effect has also been reported in
The syntax can be changed online with altered auditory feedback (see Section 3.5) resembling experimental findings by Sakata and Brainard (2006, 2008). These experimental studies report the occurrence of novel transitions in response to selective AAF, and also a decrease of primary transition and an increase of secondary transition probabilities. The former effect can be reproduced by our model if an additional syllable is overlaid on the sung syllable. To reproduce the second effect, it is necessary to lower the signal-to-noise ratio of the sung syllable by stimulating the auditory subnetworks of all syllables. These results suggest that in the experiment the bird cannot classify the artificial syllable playback uniquely and that multiple, conflicting syllable responses in BOS selective neurons are generated by the stimulus. An experimental prediction arising from these results is that the type and amount of transition probability changes depend on the value of the signal-to-noise ratio and that distinct regions of different AAF effects can be defined. To observe these regions experimentally, the auditory feedback would have to be manipulated in such a way that the bird’s classification of the feedback into distinct syllables can be controlled. For example, artificial syllables could be generated by gradually superimposing syllables of the BOS or using a combination of notes from different syllables.

We previously reported that synfire chain competition of motor primitives can lead to low frequency oscillations in collective signals such as the LFP (Hanuschkin et al. 2010c). The frequency of these oscillations depends on the characteristic length of competing motor primitives. Experiments have already revealed modulations of the HVC activity with song structure (Kozhevnikov and Fee 2007; Sakata and Brainard 2008). Our model predicts the existence of a low frequency component of the LFP that is characteristic for a given song bird species, where the characteristic frequency is given by the inverse of the characteristic song syllable length. Assuming that the mean syllable length is a fair approximation of the modal syllable length, for the zebra finch (mean syllable duration $\sim$100 ms, Brainard and Doupe 2001; Leonardo and Fee 2005) we predict a 10 Hz component and in the case of the Bengalese finch (mean syllable duration $\sim$64 ms, Sakata and Brainard 2006) a 15 Hz component. However, a sharp peak is not to be expected, due to the distribution of syllable lengths (Seki et al. 2008; Fiete et al. 2010) and masking of the effect as a result of the spatial distribution of neurons coding for different syllables and the activity of HVC$_X$ neurons. Moreover, the amplitude of the low frequency component will be reduced in the presence of a strong priming bias or
imprinting, as this reduces the competition between the chains. We therefore predict that the low frequency component has a greater amplitude in deafened birds. The discovery of a species characteristic low frequency component would be strong supporting evidence for our model assumption of competing synfire chains for motor pattern construction and hence may shed light on the mechanism of motor pattern generation in general.

4.3 Limitations and extensions

The investigation of auditory responses in the HVC revealed common BOS selective excitation from NIF or CM which results in sparse bursts of HVCRA and HVCX neurons, depolarized HVCRA neurons, and hyperpolarized HVCX neurons (Rosen and Mooney 2006; Roy and Mooney 2009). Due to the superthreshold drive to the HVCRA and the auditory input to only the initial pools of the synfire chains, no spontaneous BOS selective spiking responses occur in our model. In order to reproduce the results of the playback of BOS and delayed BOS more accurately, we would need to model the drive from the auditory network in a more elaborate fashion. For example, projections to neurons within the chains in addition to the priming projections would elicit HVCRA activity without synfire ignition.

Sakata and Brainard (2008) showed that the HVC1 activity decreases in response to feedback perturbations. This is not observed in our simulations, since the auditory input to the HVCRA is excitatory and followed by an increase in HVC1 activity. This leads to an increase of the total HVC activity. The effect introduced by the feedback perturbations is marginal but strong in the case of a flash of sound (compare Fig. 8(a), (c), (e)). However, decrease in total HVC activity could be reproduced if the HVCX neurons were included in the model, as they are suppressed by increasing HVC1 activity. Alternatively, a modulation of the external drive to the HVC neurons could be assumed.

While pools of neurons in the HVC1 do increase their firing rate in response to specific syllables, the model does not create neurons that are selective for temporal order (Lewicki and Konishi 1995). This feature selectivity has been shown for a model with large time constants in the HVC1 neurons and an appropriately chosen connectivity of the HVC network (Drew and Abbott 2003); it is likely that temporal order selectivity would naturally emerge from our network model if we also made these assumptions. Recently, Nishikawa et al. (2008) reported population coding of the song element sequences rather then temporal order selective neurons in the HVC. We have yet to determine whether such syllable sequence selective assemblies could be extracted from our simulated neuron populations.

We made the assumption that the Bengalese song syntax can be fully characterized by a first order Markov process. However, it has recently been shown that a partially observable Markov model (POMM) provides a better account of the higher-order state dependencies of transition probabilities observed in the Bengalese song syntax (Jin 2009; Katahira et al. 2010). The POMM is an extension of a first order Markov model introducing hidden states which provide multiple encodings of the same syllable but with different transition probabilities. Hidden states are indistinguishable for the observer and can only be deduced from an analysis of the transitions. The model presented here can be trivially extended from a first order Markov process to the POMM. Moreover, it could be extended to include adaptation; Jin and Kozhevnikov (2010) showed that when adaptation is introduced to the POMM the reproduction of the song syntax statistics is improved further and the number of hidden states is substantially reduced.

The sequencing stereotype \( S \), and the average transition entropy \( \hat{H} \) quantify the song syntax generated by the model. Even though both measures are widely used in experimental literature (e.g. Scharff and Nottebohm 1991; Woolley and Rubel 1997; Sakata and Brainard 2006) it should be noted that the measures are prone to wrong syllable characterization. Deafening tends to alter the syllable structure, and so it is challenging to find a consistent and unambiguous characterization of syllables in such protocols (e.g. Lombardino and Nottebohm 2000; Woolley and Rubel 2002; Horita et al. 2008). A careful examination of song data after deafening with respect to the change of transition probabilities with the assumption of an underlying adaptive POMM might give better insight. Such data would also allow the extension of the presented model to reproduce loss and restoration of syntax on biologically realistic time scales.

Our model predicts that the duration of individual song syllables remain constant after deafening. A shortening of syllables has been reported (Brainard and Doupe 2001), but a more recent study did not reproduce these findings (Nordeen and Nordeen 2010). Similarly, reduction of song tempo as a result of altered auditory feedback has been observed (Sakata and Brainard 2006). Such effects could be reproduced by manipulating the external drive to the neurons of the synfire chain (Wennekers and Palm 1996). Dropping syllables and the emergence of new or unrecognizable syllables have previously been reported (Woolley and
Rubel 1997; Watanabe and Aoki 1998; Leonardo and Konishi 1999; Horita et al. 2008), but are not observed in our model even though the activation probability can become low. Increasing the total number of syllables would probably result in the loss of some syllables after deafening.

We postulate synaptic plasticity in the HVC to account for the gradual loss of syntax. The plastic connections either imprint the song structure within HVC or construct an efference copy of the song. Both hypotheses reproduce the loss of syntax over a period of time. Unfortunately, in the current study we cannot further distinguish between these hypotheses. Even though the trajectories of and during hair cell recovery (Woolley and Rubel 2002) is not reproduced by the current study but could easily be modeled by increasing the strength of auditory feedback gradually rather than abruptly.

It has been shown that lesion of the Uva in the zebra finch evokes changes in the syllable sequencing (Williams and Vicario 1993). The original syllable sequencing recovers after a period of time if the Uva is only unilaterally lesioned (Coleman and Vu 2005). These and other experiments on interhemispherical interaction suggest that the Uva delivers nonauditory feedback information during singing (Wild 1994; Vu et al. 1994). Our model does not include the Uva influence on the song syntax generation because we assume the influence of auditory feedback to be the major source of priming in the Bengalese finch. In further extensions to our model an additional source of priming from the Uva to the HVC network via NIf would have to be incorporated.

In the model a constant suprathreshold drive is applied to the HVC network. This ensures ongoing synfire activity; the presence of dominant global inhibition creates a strong competition between the chains that results in robust winner-takes-all competition (Jin 2009).

However, this approach introduces some limitations because the drive has to be controlled from outside HVC. The reproduction of behavior states such as periods of silence, sleep, habituation to AAF (Sakata and Brainard 2006) or even the relevance of the social context (Jarvis et al. 1998; Sakata et al. 2008) or motivation is beyond the scope of the presented model. By introducing a variable drive to the HVC such behavior states could be incorporated in the model. This drive could originate in other functional brain areas, such as the Uva or the auditory network (Rosen and Mooney 2006; Akutagawa and Konishi 2010) and may also be controlled by neuromodulation (Dave et al. 1998; Sakata et al. 2008), context-dependent gene expression (Jarvis et al. 1998) and hormones (Pröve 1974).

A further limitation is the lack of defined song initiation and termination by introductory notes and terminal states respectively. Additional drive to a synfire chain representing the initial note would ensure that the song always starts at that point, but the termination would have to be controlled by reducing the common external drive to the HVC. The constant high drive also results in shorter interruptions following bursts of noise than are observed experimentally (Sakata and Brainard 2006), which further demonstrates that the constant drive is over-simplified.

The finding that zebra finch song is most interruptable between song syllables (Cynx 1990) fits nicely to the concept that individual syllables are represented by propagating synfire activity, because the interruption of such activity is generally difficult. Recently, Seki et al. (2008) showed that flashes of light also tend to interrupt the Bengalese finch song between syllables, with high probability transitions exhibiting greater robustness. However, modeling the HVC with synfire chains that are driven by a suprathreshold input masks the robustness of the synfire propagation, as any failure in propagating activity leads to a direct restart of the same or another chain in the network.

An alternative approach to the suprathreshold drive is to apply a subthreshold drive to the individual neurons that raises their average membrane potential to the proximity of the threshold. Synfire activity in the network is initiated by applying a synchronous stimulus to the initial pool of an individual chain or a set of competing chains. In a model of the motor cortex of a monkey performing 2-dimensional movements, we have shown that robust competition can be achieved in such a network, but that it requires fine tuning of the mutual cross-inhibition between competing chains. The working regime can be enlarged by priming signals, but the probability that activity fails to propagate from a chain to one of its successor chains rises with increasing number of possible successor chains (Hanuschkin et al. 2010c). In such a network, the song would automatically stop after some repetitions of the song motif and it would predict that substantially shorter songs are produced in the absence of auditory priming. Additional predictions of such an approach would be that interruptions occur between syllables (Cynx 1990; Seki et al. 2008), that altered auditory feedback is most effective at a specific delay (Sakata and Brainard 2006).
and that combinations of syllables can occur in some circumstances (Woolley and Rubel 1997).

In the investigation of the song syntax generation in the adult Bengalese finch presented here we have not touched upon the question of the acquisition of the song syntax of the juvenile bird. Our key assumption is that the song syntax is stored in the afferent connections from the auditory system to the HVC. This syntax is probably learned through the interplay of AFP, auditory network and synaptic plasticity within HVC. Dopaminergic input to NIf, HVC and the AFP nuclei Area X and LMAN has been reported (Brainard and Doupe 2002; Gale and Perkel 2010), which could represent a reinforcement signal (Hollerman and Schultz 1998; Potjans et al. 2011). Our model could therefore be extended to incorporate dopaminergic reinforcement signals to investigate song syntax learning. A similar approach to learning syllable structure has already yielded promising results (Fiete et al. 2007).

In summary, our findings support the theory that song syntax memory after song crystallization is stored in the connections from auditory related areas to the HVC. Our combined model is not only capable of reproducing a variety of experimental findings and generating specific predictions for future experiments, but also lends itself to extensions that allow many other aspects of song learning and production to be studied.

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