The prognostication of development of respiratory tract bacterial diseases for children of early age

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A – research concept and design; B – collection and/or assembly of data; C – data analysis and interpretation; D – writing the article; E – critical revision of the article; F – final approval of the article

The purpose of this study was definition of influence of the modified factors in development of acute pneumonia in children of early age and development of the method of prognostication of disease development.

Materials and methods. 89 children of early age with acute bronchopulmonary pathology whose average age was 1.3 ± 0.2 years (47 children with acute bacterial bronchitis and 42 children with acute community-acquired pneumonia) were examined. Also we tested the level of 25-hydroxyvitamin D (25(OH)D), hBPI, cathelicidin LL-37, lactoferrin in blood serum with the help of immunoenzymometric analysis. The significant factors that are most associated with the development of acute pneumonia were revealed using factor analysis. For prognostication of probability of development of an acute pneumonia the equation of logistic regression was used. Determination of quality of the received model of prognostication was conducted by means of the ROC analysis and index of AUC. For assessment of the discriminating ability of model the Gini index was calculated.

Results. By results of conducted factor analysis 5 significant factors determining the main part of predictors of development of an acute pneumonia in children of early age, patients with acute inflammatory bronchopulmonary pathology were allocated. The conducted correlation analysis of variables revealed existence of interrelations between prenatal, anamnestic and immune factors which entered further mathematical model of probability of development of an acute pneumonia in children of early age. The statistical importance of the created model was confirmed by Omnibus Test, the diagnostic information content was estimated according to a ROC curve that confirmed high quality of model.

Conclusion. On the basis of the conducted factor analysis the most priority predictors of development of an acute pneumonia in children of early age with acute bronchopulmonary pathology who were further included to the mathematical prognostic model of probability of development of the specified disease were allocated.

Key words: inflammatory diseases of the bronchopulmonary system, pneumonia, statistical factor analysis, infants, logistic models.

Висновки. На підставі факторного аналізу визначено основні фактори, що діють на розвиток гострої пневмонії в дітей раннього віку, якіх хворіли на гостру бронхопульмональну патологію.

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In recent years pneumonia which is the sixth most important reason of child mortality in the world continues to keep the relevance among diseases of respiratory organs [1]. It is one of the most frequent reasons of hospitalization of children up to 5 years old it demands timely diagnostics and the most effective treatment [2,3]. Influence of pre-morbid factors in development of acute pneumonia among children, such as the burdened obstetric and gynecologic anamnesis, pathology of the perinatal and intranatal periods, early artificial feeding is of great importance that can act as risk factor for most of severe diseases and a possible lethal outcome [4,5]. It is necessary to notice that factors which are not connected directly with development of the disease or its activator, but which are capable to worsen the course of a disease have no less influence on development of pneumonia.

It is known that the epithelium of respiratory tracts produces a number of protective factors – antimicrobial peptides among which cathelicidin LL-37 has the greatest protective properties, lactoferrin and hBPI [43,44]. Importance of value of antimicrobial peptides is beyond their classical local antimicrobic activity, their broad biological influence shows that these effector proteins provide communication between a congenital and adaptive immunity [45,46]. It does not raise doubts that the acquired defects of synthesis of antimicrobial peptides, especially against the background of deficiency of vitamin D promote increase in sensitivity to an infection and colonization conditionally by pathogenic microflora which promotes more severe disease and development of bacterial complications [47].

The predictive importance of both endogenous and exogenous risk factors of development of sharp pneumonia in children of early age, their influence on the nature of a course of a disease and its consequences are ambiguous, which demands additional studying.

**Purpose**

The purpose of this study was definition of influence of the modified factors in development of acute pneumonia in children of early age and development of a method of prognostication of disease development.

**Materials and methods**

Respect for ethical aspects. The 89 children with acute bronchopulmonary pathology among whom there are 47 children with acute bacterial bronchitis and 42 children with acute community-acquired pneumonia entered into group of observation (whose average age was 1.3 ± 0.2 years). Verification of the diagnosis was carried out according to clinical protocols of diagnostics and treatment of an acute bronchitis and acute pneumonia at children [7,8]. The assessment of the severity of the condition of patients was carried out in the first 24 hours from the moment of the admission to the hospital, in children with an acute bronchitis according to ABSS scale and in children with an acute pneumonia according to criteria of PRESS scale [9,10].

**Methods.** Microbiological inspection of material of smears from a nasopharynx of the children with acute inflammatory bronchopulmonary pathology was conducted to purpose of antibacterial therapy, in the bacteriological COMPACT VITEK 2 analyzer (BioMérieux, France) with use of the software of AES: Global CLSI-based Phenotypic.

For the assessment of risk development of acute pneumonia in children of early age calculation of the relative risk (RR) in tables of associativity 2 × 2, with determination of 95 % of confidence intervals (95 % CI) was carried out. Informative signs with RR value more than 1.0 were referred to number of the most significant factors. For the analysis of influence of risk factors of pneumonia development in children of early age with acute inflammatory bronchopulmonary pathology, we analyzed the obstetric and gynecologic anamnesis of mothers, pathology of perinatal and neonatal periods, the nature of feeding of children of the first year. Also data of the anamnesis of a disease taking into account hospitalization term in a hospital and terms of purpose of antibacterial therapy were considered. By means of an enzyme immunoassay we examined the serum content of 25-hydroxyvitamin D (25(OH)D), human bactericidal/permeability-increasing protein (hBPI), cathelicidin LL-37, lactoferrin. The results of researches of these peptides are published in the previous works [48,49].

**Reliability of data and statistical analysis.** The mathematical analysis and statistical data processing were
carried out with use of the licensed Statistica 13.0 software package (StatSoft Inc., NuJPZ8041382130ARCN10-J). For the purpose of identification of factors which are most connected with development of acute pneumonia factor analysis was used. For definition of factorial loading of the studied indicators the Spearman correlation is chosen. The most meaningful factors in model investigated by means of criterion of “scree” and Kaiser criterion. For sampling rates with high factor loadings of the complex (more than 0.7) the method of orthogonal rotation VARIMAX was used. The analysis with use of rotation of VARIMAX is made taking into account results of the initial analysis and using the variance for describing main component data array [11].

For forecasting of probability of development of an acute pneumonia the equation of logistic regression was used:

\[ p = \frac{1}{1 + \exp(-z)}, \]

where \( z = a_0 + a_1 \times x_1 + a_2 \times x_2 + \ldots + a_n \times x_n; \]

\( x_1, \ldots, x_n \) – independent variables, \( a_0, \ldots, a_n \) – index of regression

If the calculated \( p \) value equaled 0.5 or more, then this patient was assigned to a group of children with the largest probability of development of pneumonia. The quality of the constructed model was estimated on their sensitivity and specificity [12]. Statistical significance of the model was evaluated for Omnibus Test (universal coefficient test) and categorical predictor validity of the test.

For identification of factors which most degree are connected with risk of development of acute pneumonia in children of early age, the method of a genetic algorithm was used [13]. Determination of quality of the received model of forecasting was carried out by means of the ROC-analysis and an indicator of AUC – a numeral index of the area under a ROC-curve [14]. For the assessment of the discriminating ability of model the Gini index was calculated by the formula:

\[ \text{Gini} = 2 \times (\text{AUC} - 1) \times 100 \]  

The interrelation between separate factors was estimated by means of coefficient of pair correlation of Pearson.

### Results

The conducted analysis of a microbiological culture from nasopharynx of children with acute inflammatory bronchopulmonary pathology showed that in the group of children with acute pneumonia in 64.4 % (21 cases) the etiologic factor is the Streptococcus pneumoniae. Almost half less common gram-negative pathogen is Haemophilus influenza – 28.5 % (12 cases). The 4.7 % (2 cases) it was Klebsiella pneumonia, in 1 case (2.4 %) occurred cryptocenic pathogen.

For identification of the most informative criteria influencing the development of pneumonia in children of early age with acute inflammatory bronchopulmonary pathology factor analysis which allowed to allocate the main factors of risk of development of the specified pathology was conducted.

| Table 1. The size of general dispersion of variables, that is presented by the first 5 factors |
|-----------------------------------------------|------------|-----------------|-----------------|
| The factor | Eigenvalues | % of total dispersion | The cumulative % |
| 1 | 2.251 | 18.760 | 18.760 |
| 2 | 1.924 | 16.040 | 34.801 |
| 3 | 1.651 | 13.765 | 48.566 |
| 4 | 1.501 | 12.514 | 61.081 |
| 5 | 1.255 | 10.461 | 71.542 |

| Table 2. Design of factorial loads of indicators that were studied, for the children of early age with acute bronchopulmonary pathology |
|-----------------------------------------------|-------------|-------------|-------------|-------------|-------------|
| The indicators | The factor loadings |
| 1 | 2 | 3 | 4 | 5 |
| The low birth weight | -0.793 |  |  |  |  |
| The hospitalization time | -0.918 |  |  |  |  |
| The late starting prescription of antibacterial treatment | -0.911 |  |  |  |  |
| The severity of disease | -0.770 |  |  |  |  |
| The character of feeding | -0.702 |  |  |  |  |
| Vitamin D | 0.883 |  |  |  |  |
| Lactoferrin | 0.717 |  |  |  |  |
| Cathelicidin LL-37 | -0.810 |  |  |  |  |
| hBPI | -0.795 |  |  |  |  |
| Hemoglobin level | 0.841 |  |  |  |  |
| The sex of child | -0.745 |  |  |  |  |

23 significant factors of development of acute pneumonia for the children of early age were selected by us, among them there were such modified factors as the course data of perinatal period, character of nature feeding of child of the first year, data of anamnesis and severity of the disease, level of haemoglobin in peripheral blood, maintenance in the blood serum of vitamin D and antimicrobial peptids (lactoferrin, LL-37, hBPI) and such not modified factor, as sex of child.

Factor analysis was conducted with the use of rotation of VARIMAX taking into account the results of initial analysis and allowed to determine possible to identify five main components (Table 1). Actually the number of components exceeded 1.0, and their total contribution to general variation was 71.5 %. It specified on that these factors determine basic part of predictors of development of acute pneumonia for children from the groups of observation (Table 1).

On the next stage by means of method of main components the matrix of the factor loading was determined (Table 2).

According to the obtained data, the 1st factor which described 18.7 % of the total variations, had a high degree of loading, which includes the indexes of term of hospitalization (factor loading – 0.918, RR 1.94, 95 % CI 1.30–2.92) and the late starting prescription of antibacterial treatment (factor loading – 0.911, RR 1.64, 95 % CI 1.07–2.53).

The data we received look logically, if to take into account that the late appeal of patients seeking care and ill-timed setting of antibacterial therapy on the pre-hospital stage come forward to one of reasons of severe course of the disease [16,29].

It is known at the same time, that the timely set of diagnosis and early rational etiotropic treatment are the predictors of favourable end of pneumonia [16].
The structure of the second factor which described 16.0 % of a total variation included data of the perinatal period, a sex of the child and the nature of feeding in the first year (factorial loadings -0.793, RR 3.10, 95 % CI 1.85–5.19, -0.745, RR 1.79, 95 % CI 1.07–3.04 and -0.702, RR 1.64, 95 % CI 1.07–2.43 respectively). Population prospective research showed that the risk of origin of lower respiratory tract infections in children aged up to 6 months receiving completely natural feeding, was lower, than in group of the children who were on the mixed feeding since 4 months and in comparison with children receiving only milk mixes [17]. Also such unmodified factor as the sex of the child mattered, data of foreign researchers confirm that almost 2 times more frequently acute episodes of pneumonia occur among boys, especially in the age of 1 year [10,18].

The third factor, described as “antimicrobial”, was presented by the indexes of maintenance in serum blood cathelicidin (factor loading 0.810, RR 1.80, 95 % CI 1.25–2.62) and hBPI (factor loading 0.795, RR 1.77, 95 % CI 1.09–2.88) with total variation of 13.7. Data of Jahani S (2015) show that in the conditions of deficit of hBPI there is a decline of expression of cathelicidine LL37, lactoferrin and lysozyme, which, in turn, results in more considerable colonization of pathogenic microflora and can result in more severe course of the disease [19].

With a total variation of 12.5 % there is the level of vitamin D and lactoferrin in the blood serum (factorial loading 0.883, RR 1.84, 95 % CI 1.16–2.93 and 0.717, RR 3.86, 95 % CI 2.35–6.75 respectively). Today in literature many proofs of influence of vitamin D on the immune system are saved up. According to M. Mamani et al. (2017) children aged till 18 years with heavy deficiency of vitamin D are 4.16 times more inclined to development of community-acquired pneumonia in comparison with peers with higher level of the specified vitamin [1]. Wayse et al. (2004) in his study of 150 children under 5 years showed that that one of the factors associated with more severe course of acute inflammatory infections of the lower respiratory tract was serum 25 (OH) D less than 10 ng/ml (OR = 11) [6]. Also, one more marker that reflects reactivity of an organism to inflammatory processes and acts as an index of activity of the T-cellular link of immunity is lactoferrin [20]. Data from M. P. Rogan et al (2006) and Singh PK (2000) confirm the synergistic effect of bacterial neutralization of lactoferrin with other immune proteins, in particular SLPI, LL-37 and vitamin D [21,22]. That is, it is possible to assume that insufficient activation of lactoferrin in inflammatory process will lead bronchopulmonary system to more severe disease and development of complications, especially against the background of deficiency of other markers of protection.

To the fifth factor hemoglobin level indicators in peripheral blood and severity of the disease (factorial loading 0.841, RR 2.19, 95 % CI 1.25–3.84 and -0.770, RR 1.75, 95 % CI 1.06–2.88 respectively) with a total variation of 10.4% entered. It is known that anemia has negative impact on development of pneumonia and more severe disease is the reason [23]. The risk of development of pneumonia in children with anemia increases approximately by four times in comparison with children who have no anemic syndrome [24]. Anemia and low oxygen saturation of hemoglobin promote reduction of oxygen delivery to tissues. Patients with anemia suffer from hypoxia during pneumonia more, than patients without anemia [25,26].

For specification of structural interrelations of the factors which are most influencing development of pneumonia in the children with acute diseases of bronchopulmonary system we carried out correlation analysis of variables. By results of the analysis of a matrix of a rank correlation in the group of children with acute bronchopulmonary diseases, existence of certain interrelations between prenatal, anamnestic and immune factors was set (Table 3).
As can be seen from data of table 3, meaningful cross-correlation connections were set between maintenance of vitamin D in the blood serum and level of antimicrobial peptides (lactoferrin, $r = 0.44$, $P < 0.05$ and hBPI, $r = 0.47$, $P < 0.05$), that looked fully logically, its well-proven in fact, that the vitamin of D has direct influence on the expression of antimicrobial peptides, and its deficit worsens local protective reactions [15]. According to our data intercommunication was also between the terms of setting of antibacterial therapy and level of vitamin D ($r = 0.57$, $P < 0.05$), lactoferrin ($r = 0.40$, $P < 0.05$) and hBPI ($r = 0.38$, $P < 0.05$) in the blood serum. The obtained data look logical, if to take into account circumstance that antimicrobial peptides together with antibacterial preparations can show a synergistic effect in regard to pathogenic causative agents and promote effective etiotropic therapy [27,28].

The next stage of the work was creation of mathematical model of probability of development of acute pneumonia for the children of early age with the use of method of logistic regression on the basis of estimation of influence of the distinguished most meaningful modified risk of development of the indicated pathology factors among children with acute inflammatory bronchopulmonary pathology (Table 4).

As a result of the conducted analysis 5 factor signs were selected: the level of vitamin D (X1), lactoferrin (X2) and hBPI in the blood serum (X3), day of hospitalization (X4) and terms of setting of antibacterial therapy (X5) from the beginning of disease.

The logistic regression equation of probability of acute pneumonia development in children with acute bronchopulmonary pathology looked like this: $P = 1 / (1 + \exp((-3.997 – 0.025 \times X1 + 0.230 \times X2 + 0.001 \times X3 + 0.391 \times X4 + 0.297 \times X5)))$.

When obtaining the value of $P \geq 0.5$ – development of acute pneumonia is predicted.

In Table 5 there is classification ability of model that was determined from data of scientific selection and made up 79.3 %. The statistical significance of the model was confirmed by the universal criteria model coefficients (Omnibus Test) – $\chi^2 = 34.553$; df = 5; $P = 0.001$. The sensitivity of model appeared equal 78.3 %, and specificity – 80.5 %. The coefficient of predictive categorial validity of the test made $r = 0.58$.

The diagnostic informational content of the received model was estimated on display data from the ROC-curve (receiver-operator characteristic curve) (Fig. 1).

The area under a ROC-curve (AUC), that displays a diagnostic informational content of the received model, took the value of AUC = 0.85.

In Table 5 the classification table of the calculated probability of development of acute pneumonia in children of early age was shown. Predictors that are directly not connected with development of acute pneumonia are of special interest, but have an impact development of local inflammation in a bronchopulmonary system. In particular, vitamin D role as a key marker of inflammation and a predictor of severity of inflammatory process in a respiratory tract is confirmed with numerous researches. So, J. D. Chalmers et al. in the work revealed a feedforward between the lowered level of 25-OHD in blood serum and the chronic course of inflammatory respiratory diseases. [30]. In other research that was conducted with participation of children of early age with acute infection of respiratory tracts associative relationship between the level of vitamin D and 7-fold risk of development of acute disease was also revealed [31]. These and other scientific works led to a new era of understanding of value of vitamin D in a pathogeny of an immune response on colonization by bacterial pathogens of a respiratory tract.

The interrelation of vitamin D with such antimicrobial peptides as lactoferrin and hBPI attracts attention. Direct influence of vitamin D on synthesis of antimicrobial peptides, that are the primary agents in antimicrobial protection of a respiratory epithelium, is proved [32].

### Discussion

The results of the conducted factor analysis are of interest to definition of key predictors for prognostication of possibility of acute pneumonia development in children of early age with inflammatory diseases of bronchopulmonary system. The method of binary logistic regression allowed to identify predictors that were not used in earlier mathematical models and that allowed with the largest probability to predict development of acute pneumonia.

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particular, in a number of researches correlation relations were found between levels of lactoferrin and vitamin D for patients with chronic diseases of a broncho-pulmonary system and also their synergy action against gram-negative bacteria [33–35]. Also, along with vitamin D, hBPI peptide has potent endotoxin neutralizing effect and antimicrobial activity, and it is abundantly expressed in the respiratory tracts [36,37]. This antimicrobial peptide has direct cytotoxic action not only in relation to gram-negative bacteria, but also to some strains of gram-positive bacteria [38]. Today there is no clear understanding of a role of the specified peptide in the pathogenesis of development of local inflammation in a respiratory tract, however its influence on mucociliary clearance and also its direct participation in a local immune response at the majority of pulmonary diseases is proved. [39,40].

Thus, most scientific researches confirm an important role of the specified peptides in regulation of inflammatory reactions to pathogens [41,42]. And though the questions concerning mechanisms of immunomodulatory action are not absolutely studied yet, the general ability of antimicrobial peptides to participate in preventing of bacterial colonization and also a direct role in inflammation mechanisms is proved [33].

Thus, the mathematical model created by us allows defining with high probability group of patients with high risk of development of pneumonia in inflammatory pathology of a bronchopulmonary system. It will provide the direction on deeper additional examination for the purpose of prevention of complications and a lethal outcome.

Conclusions

1. The conducted factor analysis allowed to define predictors of risk of acute pneumonia development in children of early age on the basis of which predictive model of pathological process forming was created. The most priority contribution to the development of acute pneumonia belonged to the data in the perinatal period, the child's sex, nature of infant feeding in the first year of life, data history and severity of the disease, hemoglobin levels in the peripheral blood serum levels of vitamin D, and antimicrobial peptides (lactoferrin, LL-37, hBPI).

2. The established logistic regression model has good predictive efficiency and confirms the role of the level of vitamin D (RR 1.84, 95% CI 1.16−2.93), lactoferrin (RR 3.98, 95% CI 2.35−6.75) and hBPI (RR 1.75, 95% CI 1.06−2.88) in blood serum and also the late request of patients for medical care (RR 1.94, 95% CI 1.30−2.92) and late appointment of antibacterial therapy (RR 1.64, 95% CI 1.07−2.53) in the occurrence of acute pneumonia in children.

3. The received results allow to determine the probability of development of pneumonia in the children of early age with a disease of acute respiratory infection with probability to 79.3 % by individual values of the established risk factors.

Prospects further researches. The obtained results require additional study in further, which in turn will contribute to improving the quality of prevention of bacterial respiratory diseases in young children.
Acute respiratory tract infection: a randomized, double-blinded trial

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