Symptom-based case definitions for COVID-19: Time and geographical variations for detection at hospital admission among 260,000 patients

Joaquin Baruch1 | Amanda Rojek1,2 | Christiana Kartsonaki3 | Bharath K. T. Vijayaraghavan4,5 | Bronner P. Gonçalves1 | Mark G. Pritchard1 | Laura Merson1,6 | Jake Dunning1 | Matthew Hall7 | Louise Sigfrid1 | Barbara W. Citarella1 | Srinivas Murthy8 | Trokon O. Yeabah6,9 | Piero Olliaro1 | ISARIC Clinical Characterisation Group

1ISARIC, Pandemic Sciences Institute, University of Oxford, Oxford, UK
2Centre for Integrated Critical Care, University of Melbourne, Melbourne, Australia
3MRC Population Health Unit, Clinical Trials Service Unit and Epidemiological Studies Unit, University of Oxford, Oxford, UK
4Apollo Hospitals, Chennai, India
5The George Institute for Global Health, New Delhi, India
6Infectious Diseases Data Observatory, Centre for Tropical Medicine and Global Health, University of Oxford, Oxford, UK
7Big Data Institute, University of Oxford, Oxford, UK
8Faculty of Medicine, University of British Columbia, Vancouver, Canada
9National Public Health Institute of Liberia, Paynesville, Liberia

Abstract

Introduction: Case definitions are used to guide clinical practice, surveillance and research protocols. However, how they identify COVID-19-hospitalised patients is not fully understood. We analysed the proportion of hospitalised patients with laboratory-confirmed COVID-19, in the ISARIC prospective cohort study database, meeting widely used case definitions.

Methods: Patients were assessed using the Centers for Disease Control (CDC), European Centre for Disease Prevention and Control (ECDC), World Health Organization (WHO) and UK Health Security Agency (UKHSA) case definitions by age, region and time. Case fatality ratios (CFRs) and symptoms of those who did and who did not meet the case definitions were evaluated. Patients with incomplete data and non-laboratory-confirmed test result were excluded.

Results: A total of 263,218 of the patients (42%) in the ISARIC database were included. Most patients (90.4%) were from Europe and Central Asia. The proportions of patients meeting the case definitions were 56.8% (WHO), 74.4% (UKHSA), 81.6% (ECDC) and 82.3% (CDC). For each case definition, patients at the extremes of age distribution met the criteria less frequently than those aged 30 to 70 years; geographical and time variations were also observed. Estimated CFRs were similar for the patients who met the case definitions. However, when more patients did not meet the case definitions, the CFR increased.

Conclusions: The performance of case definitions might be different in different regions and may change over time. Similarly concerning is the fact that older patients often did not meet case definitions, risking delayed medical care. While
epidemiologists must balance their analytics with field applicability, ongoing revision of case definitions is necessary to improve patient care through early diagnosis and limit potential nosocomial spread.

**KEYWORDS**
case definitions, COVID-19, hospitalisation
Leading public health bodies publish case definitions during disease outbreaks to standardise data collection and inform policy and clinical practice. For, coronavirus disease 2019 (COVID-19), the World Health Organization (WHO), the European Centre for Disease Prevention and Control (ECDC), UK Health Security Agency (UKHSA, formerly Public Health England, PHE) and the Centers for Disease Control (CDC), United States, have all developed independent case definitions.\textsuperscript{1-4}

At the point of hospital admission, case definitions are used in various ways. They are used to triage suspected patients and isolate them from shared waiting rooms while waiting for laboratory test results to prevent contamination.\textsuperscript{5} Even in well-resourced healthcare settings, testing turnaround times can be several hours—during which patients are at increased risk of nosocomial transmission if they are not correctly triaged. Case definitions are also used to determine the threshold for laboratory testing in settings where universal testing at hospital admission is not routine or possible. Early during an epidemic, when diagnostic tests may not be available, or in low-resource areas with limited laboratory diagnostic capacity, using the most appropriate case definition for the local context can aid in making a clinical diagnosis and determine treatment.

Resource allocation may also be impacted by case definitions when they are used to count the number of suspected cases that a healthcare service receives. Similarly, clinical case definitions have been developed for post-COVID-19 condition (‘long COVID’),\textsuperscript{6} given that no laboratory diagnostic test exists. If case definitions perform poorly, this can lead to an unacceptable rate of misdiagnosis that risks patient and staff safety.

Clinical case definitions for infectious diseases usually have two components: clinical (e.g., symptoms at the time of presentation) and epidemiological (e.g., contact with a confirmed case). Epidemiological criteria are viewed as dynamic, changing throughout epidemics; for example, in the early months of the COVID-19 pandemic, many institutions made frequent updates to their travel history criteria as COVID-19 spread. At the same time, the extent to which clinical presentation is a dynamic phenomenon is complex. The clinical presentation of disease may evolve for reasons including increased knowledge of the clinical characteristics, a relative change in the attack rate in different population groups over time, the influence of new variants and modifying effects of vaccines. Other contributing factors are geographical variation in presenting symptoms due to differences in populations’ age pyramids, healthcare access, the prevalence of comorbidities and cultural variations in expressions of symptoms. Concurrent case definitions with different criteria will inherently complicate reporting, benchmarking and research data harmonisation.

Therefore, our objective was to evaluate temporal and geographical patterns in the proportion of hospitalised COVID-19 patients meeting frequently used COVID-19 case definitions in the ISARIC (International Severe Acute Respiratory and Emerging Infections Consortium)\textsuperscript{7} database.

2 | METHODS

2.1 | Population, setting and study design

The study population consisted of hospitalised patients with laboratory-confirmed SARS-CoV-2 infection reported to the ISARIC database by partner institutions between January 2020 and December 2021. The study design of this prospective, multicountry, cohort study has been described elsewhere.\textsuperscript{7} To be eligible, patients had to have complete information on age, date of admission, country and symptoms on admission. Patients with incomplete outcomes, for example, lost to follow-up or ongoing care, were removed from analyses of case fatality ratio (CFR) by case definition (see below).

2.2 | Variables and outcomes

We calculated the percentages of patients meeting case definitions developed by international (WHO, ECDC) and national (UKHSA, US-CDC) health agencies and how these varied with time (by quarter and year), age (in 10-year groups) and region (according to the World Bank classification [https://data.worldbank.org/country]), restricted to Europe and Central Asia, South Asia, and East Asia and Pacific. To avoid bias in reporting of patients, regions with less than 8000 patients were excluded. All case definitions are listed in Appendix S1. Because case definitions evolved over time, patients were assessed using the case definition in place when they were admitted (Appendix S1).

Briefly, current case definitions were as follows:

CDC: Acute onset or worsening of at least two of the following symptoms or signs: fever (measured or subjective), chills, rigours, myalgia, headache, sore throat, nausea or vomiting, diarrhoea, fatigue, congestion or runny nose. OR Acute onset or worsening of any one of the following symptoms or signs: cough, shortness of breath, difficulty breathing, olfactory disorder, taste disorder, confusion or change in mental status, persistent pain or pressure in the chest, pale, grey or blue-coloured skin, lips or nail beds, depending on skin tone, inability to wake or stay awake.

ECDC: At least one of the following symptoms: cough, fever, shortness of breath, sudden onset of anosmia, ageusia or dysgeusia.

WHO: Acute onset of fever AND cough; OR Acute onset of any three or more of the following: Fever, cough, general weakness/fatigue, headache, myalgia, sore throat, Coryza, dyspnoea, anorexia/nausea/ vomiting, diarrhoea and altered mental status.

UKHSA: New continuous cough, or, temperature $\geq 37.8^\circ\text{C}$, or, loss of, or change in, anosmia or taste ageusia.

2.3 | Statistical analysis

Model-adjusted CFR for patients meeting or not meeting each case definition were estimated using logistic regression with marginal standardisation methods.\textsuperscript{8} For each model (WHO, ECDC, UKHSA and US-
CDC), dead or discharged alive was modelled as an outcome. Case definition result (met/not met), time (by quarter and year), age (in 10-year groups), sex and region (according to the World Bank classification) were used as fixed effects. Lastly, symptoms and comorbidities were explored descriptively to evaluate the clinical presentation among those who did not meet the case definitions.

3 | RESULTS

3.1 | Inclusion and demographics

The database analysed includes 263,218 patients, representing 42% of all patients with confirmed Sars-CoV-2 infection in the ISARIC database (Figure 1, including reasons for exclusion). Patients included in the analyses and the percentage of those meeting each case definition are shown in Figure 1. The median age was 67 (interquartile range = 29); 43.7% of patients were female. Of these, 238,102 (90.4%) were from Europe and Central Asia, 17,043 (6.5%) from South Asia and 8,073 (3.1%) from East Asia and the Pacific. The highest proportion of patients presented during the last quarter of 2020 (23.8%), followed by the second quarter of 2020 (21.7%). The lowest number of patients had data recorded during the second quarter of 2021 (3.6%).

3.2 | Case definitions

Figure 2 depicts the distribution of patients among the different datasets and how the different case definitions overlap at identifying patients. As seen in panel A, 191,294 patients were present in all datasets, whereas as seen in panel B, that 108,407 patients met all four case definitions. The proportion of patients meeting the case definitions ranged from 56.8% (WHO) to 82.3% (CDC) and varied by geographical region. East Asia and Pacific presented the lowest percentages (33% to 54%, with WHO the lowest and ECDC the highest). The highest percentages were observed for Europe and Central Asia (59% to 84%, with WHO the lowest and CDC the highest). The proportion of patients meeting the case definitions also varied by age.

Overall, the age curve followed a bell-shape pattern (Figure 3A), with the lowest proportion of patients meeting definitions at the extremes of the age distribution (except under 10s). However, the same pattern was not evident when assessing this relationship stratified by region. The bell-shape pattern applies to Europe and Central Asia but differs in the two other geographical regions. A consistently high number of patients met the case definition across all age groups in South Asia, except for the WHO definition.

Temporal variations were observed for all case definitions (Figure 4A), with all case definitions displaying a U-shaped pattern. Although WHO’s remained with a low percentage of patients meeting the case definitions between quarters 2 and 4, 2020, the nadir was observed for all case definitions in quarter 4 (Figure 4A). For all case definitions, progressively higher percentage of patients meeting the case definition increased throughout 2021, reaching a stable point by the end of the year (Figure 4A).

Age variability was primarily present during the first year and progressively decreased over time; most age categories presented a relatively similar percentage of patients meeting the case definitions during the last three quarters of 2021 (Figure 4B). For example, for CDC, the between-age group differences were close to 30% at the beginning of the pandemic, whereas this was close to 10% during the last quarter of 2022. In addition, although male patients met case definitions more commonly than females, this difference was minimal—the largest observed difference was 4.8 percentual points for WHO’s.

3.3 | CFRs and symptoms

Depending on the case definition dataset (CDC, ECDC, UKHSA and WHO), between 87% and 88% of the study population had a recorded outcome (death or discharge). Model-adjusted CFRs were similar across case definitions for those who met the criteria but not among those who did not (Table 1). Among those who did not meet the case definitions, variations were between 18.2% (US-CDC) and 23.1% (WHO), increasing with the proportion of patients not meeting the criteria (Table 1).
Patients’ symptoms and comorbidities for those who met and did not meet the case definitions are presented in Appendix S2. Overall, the three most common symptoms among those who did not meet the case definitions were shortness of breath, cough and confusion for WHO; confusion, dehydration and fatigue for ECDC; fever, dehydration and confusion for CDC; and shortness of breath, confusion and fatigue for UKHSA. Notably, when assessing the case definition with the lowest number of patients meeting their case definition (WHO’s), 34.2% presented with shortness of breath, 15.5% with a history of fever, 15.5% with fatigue and 24.3% with cough. These results indicate that many patients with single symptoms might not meet the case definition for criteria that require a combination of symptoms rather than a fixed number of them (AND vs. OR). In contrast to symptoms, comorbidities were relatively similar across those who met and those who did not meet the case definitions, except for obesity (Appendix S2).

4 | CONCLUSIONS

4.1 | Key results

Our analysis of the global ISARIC dataset shows that the clinical presentation of COVID-19 in hospitalised patients changes across time and geographic regions and that performance of case definitions may be improved by adapting to these variations. Although our findings that patients’ age and comorbidities influence presenting symptoms are consistent with other research, it remains concerning that case
definitions are least able to identify patients who are most vulnerable to severe outcomes. These patients might risk receiving delayed medical care, or inadvertent cohorting with patients who do not have the disease (including in settings such as nursing homes) and risk further transmission. Our key finding is that the stage of the pandemic and its evolution across geographical regions influences clinical presentation. Similarly, case definitions with complex rules (e.g., AND vs. OR) might not identify patients with common symptoms like cough, fever and shortness of breath.

Our temporal analysis found a decrease in patients meeting the clinical criteria of the case definitions from late 2020 to early 2021. Evolving patient symptoms over time could be caused by changes in circulating variants, varying incidence of co-infections or varying presentations in different age groups whose infection rates also vary over time. Even though ISARIC aims at enrolling patients hospitalised due to COVID-19, site-specific changes to recruitment or data collection practices may also have significant impact.

For example, a potential confounder is that the decrease in patients meeting the case definitions correlated with a surge in cases in the countries that contributed most heavily to our analysis. When COVID-19 incidence or test availability is high, testing protocols may be more liberal, and more patients with atypical or asymptomatic disease may be captured (e.g., a patient involved in a road accident is admitted and found to have incidental COVID-19). On the other hand, admission policies may lead to only severe patients being admitted when the transmission is high. The decision to admit patients may also vary depending on what level of care is available at the time. It is less likely that the patterns observed during 2020 could be due to vaccine uptake; few of the patients in our analyses will have had access to vaccination during late 2020.

The observed differences in the proportion of patients meeting case definitions across regions might reflect population-level differences in symptom profiles. Alternative explanations include cultural reticence in reporting symptoms, varying criteria for hospital admission (such as countries that used hospitals as isolation facilities for patients with milder disease during early 2020), time from symptom onset to admission (in shorter times, patients will report fewer symptoms), whether patients with milder disease had access to community-based or alternative services or the number and nature of the patients contributing to data from the different regions. In
addition, how clinical case definitions vary by geographical region suggests that their adoption by governments and public health institutions in regions other than those from where they were generated (for ECDC, PHE and CDC) might require adaptation to the local context. The objective was to evaluate if there were temporal and geographical differences in the proportion of hospitalised COVID-19 patients meeting frequently used COVID-19 case definitions, and our findings suggest that to meet the needs of hospital systems, frequent validation against comprehensive natural history data should occur to account for these differences. Furthermore, these definitions should better meet the needs of patients most vulnerable to death from the disease. However, a difficulty with making frequent updates or increasing their complexity to improve their accuracy (e.g., by introducing age-specific definitions) is that definitions become unwieldy to use—while the actual benefit of the tool is often in being a fast aid to decision-making. Therefore, epidemiologists and social scientists must balance statistical accuracy with operational efficacy.

In the case of emerging infections, continued revisions of case definitions need to be carried out, as many countries with the highest incidence of emerging infections rely upon international definitions for suspected cases and test eligibility. One solution may be to advocate for local adaptations of case definitions (WHO endorses this). For example, in the case of COVID-19, 56% of the 25 countries that account for ~85% of global cases have added lack of taste or smell to their suspected case definition. Although local adaptations are necessary, this also means that the denominator used when comparing regions would be different. For example, an analysis of different versions of the case definition issued by the National Health Commission in China early during the pandemic estimated that the proportion of detected cases would have increased by over sevenfold if the final version had been implemented earlier.

Presently, some organisations are using the same case definitions for public health surveillance and clinical use, but others (such as CDC) emphasise that their surveillance definitions should not be used for clinical diagnosis. Further research is required to determine if splitting these definitions to calibrate their specific needs is worth the additional costs, resources and complexity, or whether the clinical utility can be enhanced in other ways that do not detract from use in surveillance activities. There are promising reports of artificial intelligence-driven COVID-19 triage; however, the implementation of such technology in regions without electronic healthcare systems or effective data sharing frameworks will be difficult.

As a general epidemiological principle, higher detection rates (increased sensitivity) will come at the expense of lower specificity, a well-known dilemma for diagnostic tests. However, the decision to modify such cut-off points at a local level must be weighted based on disease incidence, positive/negative predicted values and the relative cost of a false clinical-diagnosis result. In a high-incidence situation, a case definition with high sensitivity (and therefore a high positive predicted value) may positively affect a hospital triage system, as non-suspected patients will be isolated from suspected patients, and COVID-19 patients will receive early treatment. Yet, in a low-incidence scenario, the cost of reducing specificity might lead to a large number of non-COVID-19 patients being isolated with COVID-19 patients while awaiting diagnosis, risking nosocomial transmission. To fully understand these patterns, test-negative studies (i.e., including non-COVID-19 patients) must be conducted to evaluate decision-making processes while weighing the probabilities of consequences of our public health guidelines.

4.2 | Strengths and limitations

Our analysis has significant strengths; notably, we use the world’s largest international dataset on hospitalised COVID-19 patients. In addition, we included definitions from multiple leading health agencies, including those globally (WHO) and regionally (ECDC) implemented. We decided not to restrict our analysis to a single organisation’s case definition to focus on general advocacy for improved definitions rather than auditing the performance of an organisation. Several limitations were also present. First, our study is focused on hospitalised patients; however, it is expectable that if these patients do not meet the symptom-based definitions, community cases would not meet them either. Second, variation in data collection methods between countries (electronic health records vs. questionnaire based), our ability to assess only the symptom component of these definitions and the subjective nature of symptoms themselves could have impacted our analysis. To account for this, the ISARIC COVID-19 platform was launched with a standardised questionnaire. Third, although a large proportion of patients were excluded from the analyses due to incomplete data, we do not expect a selection bias, as most countries were represented in both the initial and the final database. And fourth, although it is well known that COVID-19 can often be asymptomatic, which would mean that patients would not meet the case definitions, all of our patients were hospitalised. In conclusion, early diagnosis of COVID-19 in hospitals is essential to limit the nosocomial spread of disease and provide early, adequate case management to patients, especially where diagnostic capacity is low. Common case definitions for COVID-19 vary by geographical region and time. We expect geographical and temporal changes to continue due to variations in population structures in different geographic regions, hospital practices, differential vaccine uptake and new variants. Therefore, clinical case definitions should be frequently interrogated to reflect clinical reality.

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Informed consent practices were implemented in each site according to local requirements. Participating country and site according to local requirements. Informed consent practices were implemented in each site according to the requirements approved by the local ethics committee.

AUTHOR CONTRIBUTIONS

ISARIC Clinical Characterisation Group: Writing – review and editing (equal). Joaquin Baruch: Conceptualisation (lead); data curation (supporting); formal analysis (lead); investigation (equal); methodology (equal); validation (equal); visualisation (equal); writing – original draft (lead); writing – review and editing (lead). Amanda Rojek: Conceptualisation (equal); investigation (equal); methodology (equal); writing – original draft (lead); writing – review and editing (lead). Christiana Kartsonaki: Conceptualisation (equal); formal analysis (supporting); methodology (supporting); visualisation (supporting); writing – review and editing (equal). Bharath K. T. Vijayaraghavan: Conceptualisation (equal); methodology (equal); writing – original draft (equal); writing – review and editing (equal). Bronner P. Gonçalves: Conceptualisation (equal); investigation (equal); methodology (equal); visualisation (equal); writing – original draft (equal); writing - review and editing (equal). Laura Merson: Funding acquisition (equal); investigation (equal); methodology (equal); project administration (equal); writing – original draft (equal); writing – review and editing (equal). Mark G. Pritchard: Conceptualisation (equal); methodology (equal); writing – original draft (equal); writing – review and editing (equal). Matthew Hall: Conceptualisation (equal); writing – review and editing (equal). Louise Sigfrid: Conceptualisation (equal); writing – review and editing (equal). Jake Dunning: Funding acquisition (equal); writing – original draft (equal); writing – review and editing (equal). Barbara W. Citarella: Data curation (equal); methodology (equal); writing – review and editing (equal). Srinivas Murthy: Methodology (equal); writing – review and editing (equal). Trokon O. Yeabah: Writing – review and editing (equal). Piero Olliaro: Conceptualisation (equal); funding acquisition (equal); investigation (equal); methodology (equal); project administration (equal); supervision (equal); writing – original draft (equal); writing – review and editing (equal).

PEER REVIEW

The peer review history for this article is available at https://publons.com/publon/10.1111/irv.13039.

DATA AVAILABILITY STATEMENT

The majority of this database is available to external researchers via application to our Data Access Committee at https://www.iddo.org/covid19/data-sharing/accessing-data.

ORCID

Joaquin Baruch https://orcid.org/0000-0002-0806-3183
Amanda Rojek https://orcid.org/0000-0002-3948-0756
Christiana Kartsonaki https://orcid.org/0000-0002-3981-3418
Bharath K. T. Vijayaraghavan https://orcid.org/0000-0002-1801-0667
Mark G. Pritchard https://orcid.org/0000-0003-1726-8989
Laura Merson https://orcid.org/0000-0002-4168-1960

ETHICS

The ISARIC-WHO Clinical Characterisation protocol was approved by the World Health Organization Ethics Review Committee (RPC571 and RPC572). Local ethics approval was obtained for each participating country and site according to local requirements. Informed consent practices were implemented in each site according to the requirements approved by the local ethics committee.
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SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.

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