Intraoperative surveillance of the vertebral artery using indocyanine green angiography and Doppler sonography in craniovertebral junction surgeries

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OBJECTIVE The authors sought to evaluate the usefulness of indocyanine green (ICG) angiography and Doppler sonography for monitoring the vertebral artery (VA) during craniovertebral junction (CVJ) surgery and compare the incidence of VA injury (VAI) between the groups with and without the monitoring of VA using ICG angiography and Doppler sonography.

METHODS In total, 344 consecutive patients enrolled who underwent CVJ surgery. Surgery was performed without intraoperative VA monitoring tools in 262 cases (control group) and with VA monitoring tools in 82 cases (monitoring group). The authors compared the incidence of VAI between groups. The procedure times of ICG angiography, change of VA flow velocity measured by Doppler sonography, and complication were investigated.

RESULTS There were 4 VAI cases in the control group, and the incidence of VAI was 1.5%. Meanwhile, there were no VAI cases in the monitoring group. The procedure time of ICG angiography was less than 5 minutes (mean ± SD 4.6 ± 2.1 minutes) and VA flow velocity was 11.2 ± 4.5 cm/sec. There were several cases in which the surgical method had to be changed depending on the VA monitoring. The combined use of ICG angiography and Doppler sonography was useful not only to monitor VA patency but also to assess the quality of blood flow during CVJ surgery, especially in the high-risk group of patients.

CONCLUSIONS The combined use of ICG angiography and Doppler sonography enables real-time intraoperative monitoring of the VA by detecting blood flow and flow velocity. As the arteries get closer, they provide auditory and visual feedback to the surgeon. This real-time image guidance could be a useful tool, especially for high-risk patients and inexperienced surgeons, to avoid iatrogenic VAI during any CVJ surgery.

https://thejns.org/doi/abs/10.3171/2020.10.FOCUS20770

KEYWORDS vertebral artery injury; complication; monitoring; indocyanine green angiography; Doppler sonography; craniovertebral junction

Iatrogenic VAI (IVAI) is a serious complication of cervical spine surgery, with the potential to cause catastrophic bleeding, permanent neurological impairment, and even death. Most of the VAI cases reported in the literature occurred during surgical exposure or insertion of instrumentation.1,8 Therefore, it is necessary to discuss ways to avoid IVAI. However, there has been scant information about real-time evaluation techniques to monitor VA patency during surgical procedures.
Indocyanine green (ICG) angiography is a novel diagnostic procedure that allows real-time evaluation of blood flow, especially during vascular surgery. Intraoperative ICG angiography has been reported as a valuable addition to prevent vessel injury during cerebrovascular neurosurgery. ICG angiography can monitor blood flow patency, but not blood flow patterns. It is known that Doppler sonography can provide a reliable assessment of blood flow patterns in real time.

The purpose of this study was to evaluate the usefulness of ICG angiography and Doppler sonography for the monitoring of VA patency during posterior cervical spine surgery and compare the incidence of VAI between the groups with and without the monitoring of VA using ICG angiography and Doppler sonography.

Methods

After obtaining approval from our institutional review board, which waived the need for informed consent, patient data were retrospectively reviewed.

Patient Demographics

This is a retrospective study of consecutive patients who had undergone CVJ surgeries between January 2005 and January 2020 at our institute. In total, 344 consecutive patients who underwent CVJ surgeries were enrolled in this study group. All patients underwent 3D CTA preoperatively to evaluate the risk of VAI. In the high-risk group of the VAI, we performed ICG angiography and Doppler sonography intraoperatively to monitor VA patency in patients who underwent surgery between February 2012 and January 2020.

Regarding CVJ surgery, the following factors were found to identify the high-risk VAI group: 1) high-riding VA (HRVA) at the level of the C2 vertebra, 2) narrow C2 pedicle, 3) V3-segment anomaly, and 4) surgeon uncertainty regarding the location of the VA during surgery. An HRVA was defined as an isthmus height of 5 mm or less and/or internal height of 2 mm or less on a sagittal image obtained 3 mm lateral to the cortical margin of the spinal canal wall at C2. A narrow pedicle was defined using the pedicle width, measured on axial CT scans at the levels wherein the cortical margin of the pedicle was clearly observed. A narrow C2 pedicle was considered present when the largest pedicle width was 4 mm or less, because the diameter of commonly used screws is 4.0 or 3.5 mm. Additionally, when the patient’s pathology is related to tumor, infection, rheumatoid arthritis (RA), congenital anomaly, or revision surgery, the surgeon may not be sure where the VA is located.

Three types of V3 segment anomalies have been reported in the literature: persistent intersegmental artery, fenestrated VA, and early branch of the posterior inferior cerebellar artery (PICA). All surgeries were performed by the senior author (J.T.H.). The surgical procedure used for atlantoaxial fixation involved segmental rod-screw fixation using C1 lateral mass screws and C2 pedicle/laminar/pars screws with or without posterior wiring. An occipital plate/screw system was used when occipital fixation was required.

CVJ fixation was performed with the patient in a neutral posture when possible to prevent swallowing difficulties after surgery.

Intraoperative ICG of the VA was performed using a commercially available surgical microscope (OPMI Pentero, Carl Zeiss). Briefly, after the intravenous injection of ICG, the operative field is illuminated with near-infrared light. A real-time angiographic image of the VA, with its arterial, capillary, and venous phases, is seen on the video screen and recorded for further analysis. Playback loops can be repeated as needed. A dose of 0.2 to 0.5 mg/kg is recommended for VA ICG, with a maximum daily dose limit of 5 mg/kg.

ICG and Doppler sonography were used in intraoperative assessment of the exact location of the VA. Also, Doppler probing was performed during subperiosteal muscle dissection around the C1 arch, stepwise drilling, and tapping. Since VA injuries usually occur inside of bone where the VA cannot be visualized, Doppler sonography enables monitoring of the VA by detecting blood flow and blood flow velocity as the arteries get closer and providing auditory and visual feedback to the surgeon. Thus, inadequate lateral deviation of the screw trajectory can be easily detected by intraoperative Doppler sonography because the surgeon can get auditory, visual, and tactile feedback simultaneously when the screw trajectory is directed toward the VA inside the transverse foramen (TF) during C1 and C2 instrumentation. Blood flow velocity in the V3 segment of the VA was recorded before screw fixation as a baseline, of which value was compared with blood flow velocity during and after posterior instrumentation.

Patients were divided into two groups according to whether VA monitoring was performed during surgery: the control group, who underwent surgery without VA monitoring; and the monitoring group, who underwent surgery with VA monitoring. We compared the incidence of VAI between two groups.

All patients underwent postoperative CT to evaluate bone fusion and screw breech into the TF and postoperative status. In the group with a high risk of VAI, we performed CTA to evaluate the VA patency. We also investigated the procedure time of ICG angiography, change of VA flow velocity using Doppler sonography, and complications and limitations of ICG angiography and Doppler sonography.

Statistical Analysis

SPSS software version 17.0 (SPSS Inc.) was used for statistical analysis. We used the chi-square test to assess the difference in disease distribution between the two groups; p < 0.05 was considered statistically significant.

Results

A total of 344 patients were enrolled in this study (Table 1). The mean (± SD) patient age was 58.6 ± 16.7 years, and 166 patients (48.3%) were male and 178 patients (51.7%) were female. The types of surgery were fixation of CVJ (n = 295, 85.8%), treatment of tumor or infection (n = 25, 7.3%), and decompression around CVJ (n = 24, 7.0%).
The causes of CVJ pathologies were instability, infection, tumor, Chiari malformation/syrinx, myelopathy, or congenital anomaly. There was a statistically significant difference in disease distribution between the two groups (Table 2).

Surgery was performed without the aid of intraoperative VA monitoring tools in 262 cases (control group) and with intraoperative VA monitoring tools in 82 cases (monitoring group). Of the 262 patients in the control group, 136 patients (51.9%) were male and 126 patients (48.1%) were female. The types of surgery were CVJ fixation (n = 228, 87.0%), tumor or infection surgery (n = 12, 4.6%), and decompression around the CVJ (n = 22, 8.4%).

The most common pathology of the control group patients was instability (63.7%), followed by myelopathy (20.2%), congenital anomaly (8.4%), tumor (3.1%), Chiari malformation/syrinx (3.1%), and infection (1.5%).

There were 82 patients in the monitoring group (52 females and 30 males) and their mean age was 60.0 ± 17.5 years (range 19–89 years). The most common pathologies in the monitoring group patients were instability (50.0%), congenital malformations (26.8%), tumors (14.6%), myelopathy (7.5%), and infection (1.2%). In the monitoring group with a high risk of VAI, ICG angiography and Doppler sonography were performed. No cases of VAI occurred during surgery in the monitoring group. There were 4 cases of VAI in the control group, and the incidence of VAI in the control group was 1.5%. One VAI patient developed VA occlusion in the C1 TF during C1 screw fixation. The second patient developed VA rupture during C2 pedicle tapping. In this patient, the C2 pedicle screw was inserted to control severe bleeding and occlude the VA in the C2 TF. Fortunately, due to the good contralateral VA flow in both of these patients no neurological complications occurred after VAI. However, the third patient suffered a brainstem infarct due to VA occlusion caused by C2 pedicle screw violation of the C2 TF (Fig. 1), and the fourth patient died of a severe cerebellar/brainstem infarction.

The procedure duration of ICG angiography was less than 5 minutes (mean 4.6 ± 2.1 minutes), and the Doppler sonography data show that the mean VA flow velocity was 11.2 ± 4.5 cm/sec. Preoperative CTA findings were correlated with ICG angiography findings. Combined ICG angiography and Doppler sonography were useful not only to monitor VA patency but also to assess the quality of blood flow during various types of CVJ surgery, especially in the cases with an HRVA (40/82, 48.8%), narrow C2 pedicle (38/82, 46.3%), and V3 segment anomaly (20/82, 24.4%), respectively (Table 3). There were 40 cases (48.8%) with combined risk factors, and 52 cases (63.4%) in the monitoring group had at least one of the following risk factors: HRVA, narrow C2 pedicle, or V3 segment anomaly. It is especially helpful to identify the exact location and flow of VA in patients with V3 segment anomaly or HRVA, and when surgery is performed on tumors or infections around the CVJ. In our patients in the monitoring group there were several cases in which the surgical method had to be changed during the surgery based on the VA monitoring. One case of an adverse skin reaction resulted due to the ICG leakage outside the vessel during the injection.

### Illustrative Cases

**Case 1**

A 66-year-old female patient presented with a hand-tingling sensation and clumsiness with os odontoideum (Fig. 1). Her preoperative imaging study showed a relatively narrow C2 pedicle on the right side. We planned treatment with C1–3 posterior instrumentation and inserted a pedicle screw at C2 and a lateral mass screw at C1 and C3, without any intraoperative adverse events. The patient complained of left-sided weakness 2 weeks after the surgery. Postoperative MRI showed a brainstem infarction from VA occlusion caused by the inferiorly directed C2 pedicle screw.

| Characteristic | Total | Monitoring Group | Control Group |
|---------------|-------|----------------|--------------|
| No. of patients | 344 | 82 | 262 |
| Age, yrs | 58.6 ± 16.7 | 60.0 ± 17.5 | 57.8 ± 16.2 |
| Sex | | | |
| Male | 166 (48.3) | 30 (36.6) | 136 (51.9) |
| Female | 178 (51.7) | 52 (63.4) | 126 (48.1) |
| VAI | 4 (1.2) | 0 | 4 (1.5) |
| Surgery | | | |
| CVJ fixation | 295 (85.8) | 67 (81.7) | 228 (87.0) |
| Tumor/infection | 25 (7.3) | 13 (15.9) | 12 (4.6) |
| Decompression | 24 (7.0) | 2 (2.4) | 22 (8.4) |

Values are presented as number (%) of patients or mean ± SD.

| Pathology | Total (n = 344) | Monitoring Group (n = 82) | Control Group (n = 262) | p Value |
|-----------|----------------|----------------|----------------|---------|
| Instability | 208 (60.5) | 41 (50) | 167 (63.7) | 0.026 |
| Infection | 5 (1.5) | 1 (1.2) | 4 (1.5) | 0.839 |
| Tumor | 20 (5.8) | 12 (14.6) | 8 (3.1) | <0.001 |
| Chiari/syrinx | 8 (2.3) | 0 (0) | 8 (3.1) | 0.398 |
| Myelopathy | 59 (17.2) | 6 (7.3) | 53 (20.2) | 0.007 |
| Congenital anomaly | 44 (12.8) | 22 (26.8) | 22 (8.4) | <0.001 |

Values are presented as number (%) of patients unless otherwise indicated.
Case 2
A 51-year-old female patient presented with neck pain and progressive quadriparesis. The preoperative MRI demonstrated Chiari malformation and a huge syringomyelia at the cervicothoracic spine (Fig. 2). The 3D CTA showed a left V3 segment anomaly. We wanted to identify the exact location of the V3 and V4 segments of the left VA to avoid VAI during foramen magnum decompression, C1 laminectomy, and duraplasty. Intraoperatively, the abnormal course of the left VA was identified by ICG angiography and Doppler ultrasonography, which was highly informative to decide the location of bony decompression/duraplasty and to avoid VA injury. Postoperatively, her neurological disturbances gradually improved.

Case 3
A 66-year-old male patient presented with bilateral arm paresthesia and clumsiness with atlantoaxial instability and os odontoideum (Fig. 3). Preoperative CTA showed that there was a persistent first-intersegmental artery on the left side, and therefore we planned to use different C1 screw fixation techniques than those used in patients without this anomaly. We made the entry point at the C1 posterior arch for the left C1 screw and at the C1 lateral mass for the right C1 screw. Intraoperatively, the precise location of the left V3 segment anomaly was identified using ICG angiography and Doppler ultrasonography to avoid VA injury. Intraoperative Doppler sonography showed that VA flow and velocity significantly changed on the left side during the C1–2 cable fixation. Thus, we had to change the surgical strategy to avoid VA injury and

TABLE 3. Morphological risk factors of VAI in the monitoring group

| Risk Factor               | Monitoring Group (n = 82) |
|---------------------------|---------------------------|
| V3 segment anomaly        | 20 (24.4)*                |
| HRVA                      | 40 (48.8)                 |
| Narrow C2 pedicle         | 38 (46.3)                 |
| Combined                  | 40 (48.8)                 |
| None                      | 30 (36.6)                 |

Values are presented as number (%) of patients.
* Persistent first intersegmental artery in 16 cases, early branch of the PICA in 2 cases, and fenestration in 2 cases.
perform unilateral cable fixation on the right side. Postoperatively, the patient’s neurological disturbances gradually improved without complications.

Case 4
A 74-year-old male patient presented with neck pain and occipital headache. The patient was known to have had RA for several years. A preoperative sagittal reconstructed CT image showed bilateral HRVAs and a narrow C2 pedicle due to rheumatoid mutilation of the left C1–2 facet joint (Fig. 4). In this patient, we planned to use bilateral C2 pars screws due to the HRVA. Intraoperatively, Doppler ultrasonography was used as an additional intraoperative tool, providing real-time identification of the VA and enabling the surgeon to decide the adequate length of the C2 pars screw to preserve the VA inside of the C2. A postoperative sagittal reconstructed CT scan showed that the endpoint of left C2 pars screw is located just behind the C2 TF. During drilling and tapping for the C2 screw, it was important to maintain continuous awareness of auditory feedback (Doppler sonography) as well as tactile feedback to avoid pushing the screw into the C2 TF and damaging the VA inside.

Discussion
Iatrogenic VAI (IVAI) is a rare but potentially serious complication of CVJ surgeries, with the potential to cause fatal bleeding, permanent neurological impairment, and even death.\textsuperscript{1,8} Reported rates of IVAI vary depending on the surgical approach, the level of surgery, and the instrumentation techniques. The incidence of IVAI is much higher in posterior surgery than in anterior cervical surgery.\textsuperscript{22–24} A previous multicenter study showed that the overall occurrence of IVAI was 0.08% in all cervical spine surgeries.\textsuperscript{1} Screw misplacement was correlated to 69% of IVAI cases, 54% of which occurred during the placement of the C1 lateral mass screw or the C2 pedicle screw.\textsuperscript{1} Posterior surgery of the upper cervical spine (C3

Image: FIG. 2. Case 2. A: Preoperative sagittal T2-weighted MR image demonstrating Chiari malformation (white arrow) and a huge syringomyelia at the cervicothoracic spine. B: A 3D CTA image demonstrating a left-sided V3 segment anomaly (arrows) below the C1 arch. C: The patient underwent a suboccipital craniectomy and C1 laminectomy with duraplasty. Intraoperative photograph showing the remaining C1 lamina (white arrow) after posterior decompression and the persistent first intersegmental artery (black arrow) below the C1 arch. D: ICG angiographic image demonstrating a V3 segment anomaly (white arrow) of the VA during duraplasty.
FIG. 3. Case 3. A: Lateral radiographs demonstrate dynamic C1–2 instability and increased atlantodental interval (arrow). B: Axial T2 MR image demonstrating severe cord compression and T2 signal abnormality around the C1–2 level. C: A 3D CTA image demonstrating os odontoideum and the left-sided V3 segment anomaly (white arrow) below the C1 arch. D: Intraoperative photograph demonstrating how to identify the precise location of the VA and how to monitor the VA using Doppler sonography (white arrow) during C1–2 posterior instrumentation. E and F: Intraoperative Doppler sonography images demonstrating a significant difference of the left-sided VA flow and velocity during C1–2 cable fixation. G: Postoperative anteroposterior radiograph demonstrating unilateral cable fixation to avoid VA compression by the cable below the left C1 arch. PI = pulsatility index; RP = peripheral resistance.
The vulnerability of the VA may be changed by certain pathologic conditions, such as tumor, infection, congenital anomaly, or revision surgery. Moreover, intraoperative Doppler sonography is useful for accurately measuring the length of the C2 pars screw so as not to violate the C2 TF. When the surgeon places the C2 pars screw in patients with HRVA, Doppler probing can identify the VA inside the C2 TF, which is useful for determining the length of the screw, enabling the surgeon to prevent over-drilling and selecting an excessively long C2 screw (Fig. 4).

The combined use of ICG angiography and Doppler sonography enables real-time intraoperative monitoring of the VA by detecting vessel patency, blood flow, and flow velocity. As the arteries get closer and blood flow velocity as the arteries get closer and flow characteristics of VA during CVJ surgery.

In general, the risk of VAI may vary for each different pathology and each surgeon. This variation occurs not only because the incidence of significant complications declines with the increase in the surgeon's experience, but also because the VA's status and related complications may be changed by certain pathologic conditions such as a tumor, trauma, congenital anomaly, and infection.

In the present study, the control and monitoring groups differed in terms of pathology and VAI risk. We performed ICG angiography and Doppler sonography only for patients with a high risk of VAI. High-risk groups included patients with the following risk factors: 1) HRVA at the level of the C2 vertebra, 2) a narrow C2 pedicle, 3) a V3 segment anomaly, and 4) surgeon uncertainty regarding the location of the VA during surgery because the patient's pathology was related to tumor, infection, RA, congenital bony anomalies, or revision surgery.

The use of ICG angiography in spinal surgery has also been generally used for spinal dural arteriovenous fistulas, intradural spinal tumors, and intramedullary cavernous malformations. However, ICG angiography has displayed limitations, such as difficulties in visualizing vascular structures in deeper planes or inside of bony structures, as well as the ability to visualize only vessels within the operative microscopic field. Moreover, ICG angiography can only monitor the patency of VA but not the quality of VA blood flow. To overcome these limitations of ICG angiography, we used Doppler sonography in combination for the intraoperative assessment of VA and blood flow patterns, which provided a real-time and repeatable way to detect unexpected stenosis or occlusion of VA by surgical devices.

In general, the risk of VAI may vary for each different pathology and each surgeon. This variation occurs not only because the incidence of significant complications declines with the increase in the surgeon's experience, but also because the VA's status and related complications may be changed by certain pathologic conditions such as a tumor, trauma, congenital anomaly, and infection.

In the present study, the control and monitoring groups differed in terms of pathology and VAI risk. We performed ICG angiography and Doppler sonography only for patients with a high risk of VAI. High-risk groups included patients with the following risk factors: 1) HRVA at the level of the C2 vertebra, 2) a narrow C2 pedicle, 3) a V3 segment anomaly, and 4) surgeon uncertainty regarding the location of the VA during surgery because the patient's pathology was related to tumor, infection, RA, congenital anomaly, or revision surgery.
FIG. 4. Case 4. A: Preoperative sagittal reconstructed CT image showing an HRVA (arrow) and narrow C2 pedicle due to the mutilation of the left C1–2 facet joint caused by the patient’s RA. B: Intraoperative photograph demonstrating the monitoring of the VA around the left C2 TF (arrow). C: Intraoperative Doppler image showing the measurement of flow velocity (cm/sec) and blood flow (ml/min). The mean flow velocity of the left VA is 8.4 cm/sec. D: Intraoperative photograph demonstrating that the tip of the Doppler sonography (arrow) is located inside the C2 screw hole to identify the VA and measure the adequate length of the C2 pars screw to avoid VA injury. E: Intraoperative Doppler sonography image showing no evidence of arterial blood flow inside the screw hole. F: Postoperative sagittal reconstructed CT scan showing that the endpoint of the C2 pars screw is located just behind the C2 TF.
Study Limitations

This study has several limitations that warrant consideration. First, it is limited by its retrospective, single-surgeon design, which may have caused selection bias. Second, the sample size and the incidences of VAI here may be relatively small to draw meaningful conclusions. Third, the total number of patients in each group was biased, and case etiologies of each of the groups were heterogeneous (Table 2), making it difficult to simply compare VAI incidence between the two groups. Fourth, we did not evaluate clinical outcomes. Fifth, the blood flow and velocities of VAs could be different between each patient and each location side of the VAs. Therefore, there is a lack of baseline data for the normal blood flow and velocity of the VAs in this study. Because of these limitations, we suggest that a multicenter, larger-scale study be performed to obtain more accurate information on the value of this combined intraoperative monitoring technique to prevent VAI after CVJ surgery.

Conclusions

Compared with digital subtraction angiography, ICG angiography requires less time, personnel, and equipment, and is safer. Even though quantitative evaluation of vessel flow is not possible with ICG angiography, this shortcoming can be overcome with the combined use of intraoperative Doppler sonography with ICG angiography. Doppler sonography not only enables quantitative analysis of VA blood flow; this tool can also be used to identify the VA even inside the screw trajectory. Thus, combined ICG angiography and Doppler sonography may be a valuable intraoperative monitoring method with which the patency and characteristics of the VA can be assessed, especially in high-risk patients and by inexperienced surgeons, to avoid IVAI during any CVJ surgery.

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Disclosures
The authors report no conflict of interest concerning the materials or methods used in this study or the findings specified in this paper.

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Conception and design: Hong, DH Lee. Acquisition of data: DH Lee, Park, JJ Lee, JB Lee, HJ Lee, Hur. Analysis and interpretation of data: Hong, DH Lee, JB Lee, HJ Lee, Kim, Hur. Drafting the article: DH Lee. Critically revising the article: Hong. Reviewed submitted version of manuscript: Hong, Kim. Approved the final version of the manuscript on behalf of all authors: Hong. Statistical analysis: DH Lee. Administrative/technical/material support: Hong. Study supervision: Hong.

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