Quality of End-of-Life Care for People with Advanced Non-Small Cell Lung Cancer in Ontario: A Population-Based Study

Catherine L. Goldie 1,*, Paul Nguyen 2, Andrew G. Robinson 3,4,5, Craig E. Goldie 3,5, Colleen E. Kircher 1 and Timothy P. Hanna 2,3,4,5

Abstract: Ensuring high quality end of life (EOL) care is necessary for people with advanced non-small-cell lung cancer (NSCLC), given its high incidence, mortality and symptom burden. Aggressive EOL care can adversely affect the quality of life of NSCLC patients without providing meaningful oncologic benefit. Objectives: (1) To describe EOL health services quality indicators and timing of palliative care consultation provided to patients dying of NSCLC. (2) To examine associations between aggressive and supportive care and patient, disease and treatment characteristics. Methods: This retrospective population-based cohort study describes those who died of NSCLC in Ontario, Canada from 2009–2017. Socio-demographic, patient, disease and treatment characteristics as well as EOL health service quality and use of palliative care consultation were investigated. Multivariable logistic regression models examined factors associated with receiving aggressive or supportive care. Results: Aggressive care quality indicators were present in 50.3% and supportive care indicators in 60.3% of the cohort (N = 37,203). Aggressive care indicators decreased between 2009 and 2017 (57.4% to 45.3%) and increased for supportive care (54.2% to 67.5%). Benchmarks were not met by 2017 in 3 of 4 cases. Male sex and greater comorbidity were associated with more aggressive EOL care and less supportive care. Older age was negatively associated and rurality positively associated with aggressive care. No palliative care consultation occurred in 56.0%. Conclusions: While improvements in the use of supportive rather than aggressive care were noted, established Canadian benchmarks were not met. Moreover, there is variation in EOL quality between groups and use of earlier palliative care must improve.

Keywords: lung cancer; end-of-life care; quality indicators; palliative care; health services research; Canada

1. Introduction

In Canada, lung cancer is one of the most frequently diagnosed cancers and the leading cause of cancer-related death. It is estimated that 29,800 Canadians were diagnosed with lung cancer in 2020 and the 5-year net survival rate was 19% [1]. Lung cancer is also the second most commonly diagnosed cancer in Ontario with approximately 10,592 new cases in 2020 [2]. When lung cancer becomes metastatic, or stage IV, the median survival is less than 1 year [3]. Patients can experience symptoms specific to their disease process (such as chronic cough, dyspnea, or pain) or more generalized symptoms (such as fatigue, loss of appetite, or cachexia). These symptoms can lead to, or exacerbate, psychological symptoms (such as depression and anxiety). Patients with non-small-cell lung cancer (NSCLC) often...
present with advanced disease and a high symptom burden. These symptoms contribute to
distress, suffering and diminished quality of life and require intensive resources. Given the
incurable nature of metastatic, unresectable NSCLC, the goals of therapy should include
an emphasis on patient-oriented outcomes which optimize quality of life and reduce
unnecessarily aggressive end of life (EOL) care and increase supportive EOL care [4–8].

Measures of quality EOL cancer care are explicitly defined as measurable items of
practice performance used to evaluate the quality of care provided by a healthcare orga-
nization [9]. Commonly used and previously identified aggressive EOL outcomes from
administrative data include hospital-centric measures such as visits to the emergency
department (ED) or admission to the hospital or intensive care unit (ICU) in last 30 days of life,
receiving systemic therapy within the last 14 days of life, or death in hospital [10,11]. These
correspond to high healthcare expenditures [12]. Supportive EOL care includes community
care service measures such as physician home visits in the last two weeks of life, or nursing
or personal support worker visits at home in the six months before death [10,11]. These
supportive care services increase patient satisfaction with care while reducing the use of
acute care services and lowering costs to the healthcare system [4,13]. Although there has
been a general upward trend in the aggressiveness of cancer care offered near the EOL
in Ontario and other jurisdictions [14,15], it is known that the timing of palliative care
consultation can attenuate delivery of aggressive care [3,16–19].

Palliative care plays an important role in oncologic practice as it enhances quality of
life at the EOL [10]. It comprises an “approach that improves the quality of life of patients
and their families facing problems associated with life-threatening illness, through the
prevention and relief of suffering by means of early identification and impeccable assess-
ment and treatment of pain and other problems, physical, psychosocial and spiritual” [20].
Specialist palliative care teams focus on symptom assessment and control, advanced care
planning and psychosocial support. Traditionally, palliative care consultation has been
delivered late in the course of the disease, when it is evident that disease-modifying treat-
ments are unsuccessful and patients are hospitalized with a high symptom burden [3,21].
Recent studies have suggested that palliative care services should be provided earlier in
the disease trajectory, close to the diagnosis of incurable lung cancer, through outpatient
settings to enhance quality of EOL care [22–26]. However, there is often insufficient funding
for palliative care services which creates disparities in access [27–29]. Given the changing
context of oncologic and palliative care, there is a need to continuously monitor trends in
aggressive and supportive care offered to patients with advanced NSCLC to determine
whether they are accessing resources to improve their quality of EOL and to inform the
delivery of future healthcare services.

Purpose

The purpose of this study is thus: (1) To describe EOL health service quality indicators
and timing of palliative care consultation provided to patients who were diagnosed with
NSCLC and died from cancer-related causes in Ontario, Canada, and (2) to examine
associations between aggressive and supportive care by patient, disease and treatment
characteristics.

2. Materials and Methods

2.1. Study Population

This was a population-based retrospective cohort study of patients diagnosed with
NSCLC who died from cancer in Ontario, Canada from January 2009–December 2017.
In Ontario (population 14.6 million in 2019), medical care is primarily provided under a
single-payer universal health coverage. NSCLC diagnoses were identified before death
date through the Ontario Cancer Registry (OCR) (starting from 1 January 1964) using
the combination of specified ICD-O-3 morphology and topography codes (Table A1) for
the bronchus and lung body site, which are held securely at ICES (formerly Institute for
Clinical Evaluative Sciences). ICES is an independent, non-profit research institute which
houses a comprehensive high-quality collection of health administrative claims and billing data in the province of Ontario, whose legal status under Ontario’s health information privacy law allows it to collect and analyze healthcare and demographic data, without consent, for health system evaluation and improvement. Patients with other non-NSCL cancer diagnoses, without a valid provincial health card and continuous coverage (5-year lookback) and without a cause of death due to cancer were excluded to minimize the risk of misclassifying treatment information. This study was approved by the Queen’s University Health Sciences Research Ethics Board (#6024258). This study followed the Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) reporting guideline for cohort studies.

2.2. Data Sources

The OCR is a passive cancer registry that records every malignant neoplasm diagnosed in Ontario through a series of probabilistic linkages. The data involved in these linkages include death registry data, pathology reports, cancer center records and hospitalization records. Investigations have indicated a 98% capture rate for all cases of cancer diagnosed in the province of Ontario [30]. All information on all deaths, including the cause of death, registered in Ontario is provided by the Office of the Registrar General-Deaths (ORGD) data. Records of acute hospital inpatient and day surgery admissions and discharges, including transfers and deaths, were collected from the Canadian Institute for Health Information Discharge Abstract Database and Same Day Surgery (CIHI DAD and SDS) data. Emergency department visits were identified using the National Ambulatory Care Reporting System (NACRS). Records and assessments during the occupation of an adult inpatient mental health bed, including transfers and deaths, were collected by the Ontario Mental Health Reporting System (OMHRS). Records of physician consults or assessments in private offices, acute care and long-term care facilities; technical and professional components of diagnostic and therapeutic procedures; and surgical procedures were collected from the Ontario Health Insurance Plan (OHIP). All home care services provided or coordinated by Ontario’s Health Shared Services Ontario (HSSO), including government-funded home and community services, were identified using the Home Care Database (HCD). Records of radiation and systemic therapy were collected within Activity Level Reporting (ALR) data. Records of intravenous drugs approved for delivery in Ontario were documented in the New Drug Funding Program (NDFP) and drug benefits for all adults aged 65+ and those receiving social assistance were collected in the Ontario Drug Benefit (ODB) program. Chronic conditions (i.e., asthma, chronic obstructive pulmonary disease and congestive heart failure) were identified with ICES-derived datasets based on validated algorithms from chart abstraction. These data sets were linked using unique encoded identifiers and were analyzed at ICES.

2.3. Classification of Independent Variables

We stratified our study cohort of NSCLC patients who died of cancer into individuals who did and did not receive palliative intent anticancer treatment (including systemic therapy, radiotherapy or surgical metastasectomy). Though the primary achievement is in most cases palliation and disease control, it is acknowledged that in some cases life is also extended and this may be an important part of the treatment goal. This was accomplished using a combination of reported treatment intent in ALR and time-based rules to separate curative, adjuvant and palliative intent treatment in consultation with oncologists (T.H. and A.R.) and a palliative care physician (C.G.). For patients without any previous or concurrent treatment, palliative intent systemic therapy was identified using specific medications. Palliative intent radiotherapy was identified using the available intent of treatment or body site-dose fractionation course of treatment; palliative intent surgeries were identified based on surgical intervention codes related to resection of intracranial or liver tumours, or spinal cord compression. For patients treated with thoracic tumour resection surgery or curative concurrent chemoradiation (defined as overlapping treatment
courses of systemic therapy with any intent and curative/adjuvant/neoadjuvant intent or palliative/unknown intent plus ≥16 fractions of radiotherapy), systemic therapy, radiotherapy and surgical interventions were considered palliative if any treatment occurred more than 14 weeks after surgery or more than 10 weeks after chemoradiation. Receipt of the first palliative anticancer therapy was selected as the estimated date of advanced incurable NSCLC diagnosis.

Socio-demographics, general health, disease and treatment characteristics, as well as characteristics of palliative care consultation, were described with death date. Socioeconomic status was based on neighborhood household income quintiles. The rurality of patient residence was characterized by the 2008 Rurality Index for Ontario [31]. The Elixhauser comorbidity score was used with 5-year lookback with the CIHI DAD [32]. Diagnostic codes for metastatic cancer and solid tumour without metastases were excluded.

The time from first cancer diagnosis in OCR to death and the best stage at first cancer diagnosis were measured. Complete information on second and subsequent NSCLC primaries were not available for the full study period. Lastly, time from first cancer diagnosis to death, to first palliative treatment and number of days between palliative care consultation and death were measured.

2.4. Classification of Dependent Variables

EOL health service quality indicators were primarily defined by previously established measures [10,11]. Measures of aggressive care included: (1) >1 ED visit, (2) >1 inpatient hospital admission, (3) ICU admission within 30 days of death, (4) systemic therapy within two weeks of death or (5) death in hospital. We also included an additional indicator of (6) mental health symptoms within 30 days of death identified by any hospitalization, ED and physician visits using DAD, OMHRS, NACRS and OHIP records using ICD-10 diagnosis for psychotic, nonpsychotic, substance use disorders and other social maladjustment problems. Measures of supportive care, based on prior measures, were: (1) palliative nursing or personal support worker home visit within 6 months of death or (2) physician home visit within two weeks of death. Denominators for end-of-life indicators differed depending on the eligibility criteria used to derive them. For example, if patients were hospitalized for more than two weeks before death, they would not be able to receive a physician or nursing home visit and were excluded from the percentage calculation of this indicator. The presence of one or more measure of aggressive or supportive care was calculated for each patient. The time between first palliative care consultation and death was determined using OHIP billing codes (A945/C945). These codes identify first palliative care consultation from a physician who spends a minimum of 50 min with them and/or their representative/family. This service includes a psychosocial assessment, comprehensive review of pharmacotherapy, appropriate counselling and consideration of appropriate community services. This coding definition of palliative care consultation was selected because it specifically captures the core activities required to comprehensively assess and respond to a patient’s palliative care needs.

2.5. Statistical Analysis

Socio-demographics, general health, disease and treatment characteristics, as well as timing of palliative care consultation, were summarized and EOL health service quality indicators were described among the whole cohort and those that did and did not receive palliative intent anticancer treatment. Pearson’s chi-squared tests were utilized for descriptive analysis. Multivariable logistic regression models examined factors associated with receiving aggressive or supportive care. As death in hospital was anticipated to be a major contributor to aggressive care, a sensitivity analysis was conducted by creating two composite scores for aggressive care: one including and one excluding the death in hospital indicator.
All variables independently associated with the quality EOL care at a significance level of 20% were added in the stepwise model selection. Temporal trends in outcomes by death year were also described and evaluated by the Cochran–Armitage test. All analyses were performed using the SAS Enterprise Guide 7.15.

3. Results

3.1. Cohort Description and Patient Demographics

In total, 37,203 patients meeting selection criteria were identified as dying from cancer-related causes between 1 January 2009 and 31 December 2017. Figure 1 provides information on identification of the study cohort.

Figure 1. Identification of non-small cell lung cancer (NSCLC) patients who died of cancer-related causes in Ontario from 1 January 2009 to 31 December 2017.
The median age at death was 72 years; 60% were ≥70 years and the interquartile range (IQR) was 64–80 years (Table 1). There were greater proportions living in the lower income quintile neighborhoods, with 24.9% in the lowest quintile and 15.4% in the highest. A total of 62% lived in urban areas. Most patients (59.5%) had chronic obstructive pulmonary disease (COPD) and a mean Elixhauser comorbidity index of 2.0 (±1.9 standard deviation). Most patients in the sample were categorized as having stage IV cancer (54.9%) at first diagnosis and the median number of months between cancer diagnosis and death was 5. There were 47.1% (N = 17,535) of patients that did not receive any palliative intent chemotherapy, radiotherapy, or metastatic surgery treatment. Among those that did (N = 19,668), the mean time from first NSCLC diagnosis (any stage) to first palliative intent treatment was 8.9 (±26.9) months. Amongst the cohort, 56.0% did not receive any palliative care consultation, 18.1% received palliative care consultation within 30 days of death, 7.9% between 31–60 days before death, 10.8% between 61–180 before death and 7.3% more than 180 days before death.

Table 1. Patient socio-demographics, general health, disease and treatment characteristics.

| Characteristics                              | All Patients (N = 37,203) | Palliative Treatment (Systemic Therapy, Radiotherapy, Metastasis Surgery) (N = 19,668) | Non-Palliative Treatment (N = 17,535) |
|---------------------------------------------|---------------------------|--------------------------------------------------------------------------------------------|--------------------------------------|
| **Socio-demographics**                      | Frequency | Percent (%) | Frequency | Percent (%) | Frequency | Percent (%) |
| Age                                         |            |             |           |             |           |             |
| 20–49                                       | 853       | 2.29        | 660       | 3.36        | 193       | 1.10        |
| 50–59                                       | 4382      | 11.78       | 3139      | 15.96       | 1243      | 7.09        |
| 60–69                                       | 9773      | 26.27       | 6299      | 32.03       | 3474      | 19.81       |
| 70–79                                       | 12,337    | 33.16       | 6480      | 32.95       | 5857      | 33.40       |
| 80+                                         | 9858      | 26.50       | 3090      | 15.71       | 6768      | 38.60       |
| Sex                                         |            |             |           |             |           |             |
| Male                                        | 19,701    | 52.96       | 10,408    | 52.92       | 9293      | 53.00       |
| Female                                      | 17,502    | 47.04       | 9260      | 47.08       | 8242      | 47.00       |
| Neighborhood income quintile at death date  |            |             |           |             |           |             |
| Missing                                     | 121       | 0.33        | 52        | 0.26        | 69        | 0.39        |
| 1 (Lowest)                                  | 9277      | 24.94       | 4625      | 23.52       | 4652      | 26.53       |
| 2                                           | 8306      | 22.33       | 4360      | 22.17       | 3946      | 22.50       |
| 3                                           | 7286      | 19.58       | 3921      | 19.94       | 3365      | 19.19       |
| 4                                           | 6485      | 17.43       | 3540      | 18.00       | 2945      | 16.79       |
| 5 (Highest)                                 | 5728      | 15.40       | 3170      | 16.12       | 2558      | 14.59       |
| Place of residence (LHIN) at death date      |            |             |           |             |           |             |
| Erie St. Clair                              | 2329      | 6.26        | 1216      | 6.18        | 1113      | 6.35        |
| South West                                  | 3021      | 8.12        | 1517      | 7.71        | 1504      | 8.58        |
| Waterloo Wellington                          | 1912      | 5.14        | 1019      | 5.18        | 893       | 5.09        |
| Hamilton Niagara Halimand Brant             | 4902      | 13.18       | 2363      | 12.01       | 2539      | 14.48       |
| Central West                                | 1356      | 3.64        | 770       | 3.91        | 586       | 3.34        |
| Mississauga Halton                          | 2081      | 5.59        | 1157      | 5.88        | 924       | 5.27        |
| Toronto Central                             | 2547      | 6.85        | 1406      | 7.15        | 1141      | 6.51        |
| Central                                     | 3251      | 8.74        | 1857      | 9.44        | 1394      | 7.95        |
| Central East                                | 4503      | 12.27       | 2341      | 11.90       | 2222      | 12.67       |
| South East                                  | 2219      | 5.96        | 1220      | 6.20        | 999       | 5.70        |
| Champlain                                   | 3764      | 10.12       | 2138      | 10.87       | 1626      | 9.27        |
| North Simcoe Muskoka                        | 1669      | 4.49        | 785       | 3.99        | 884       | 5.04        |
| North East                                  | 2711      | 7.29        | 1468      | 7.46        | 1243      | 7.09        |
| North West                                  | 878       | 2.36        | 411       | 2.09        | 467       | 2.66        |
| Rurality status at death date               |            |             |           |             |           |             |
| Missing                                     | 26        | 0.07        | 10        | 0.05        | 16        | 0.09        |
| Yes                                         | 5850      | 15.72       | 2906      | 14.78       | 2944      | 16.79       |
| No                                          | 31,327    | 84.21       | 16,752    | 85.17       | 14,575    | 83.12       |
### Table 1. Cont.

| Characteristics | All Patients (N = 37,203) | Palliative Treatment (Systemic Therapy, Radiotherapy, Metastasis Surgery) (N = 19,668) | Non-Palliative Treatment (N = 17,535) |
|-----------------|----------------------------|--------------------------------------------------------------------------------|-------------------------------------|
|                 | Frequency | Percent (%) | Frequency | Percent (%) | Frequency | Percent (%) |
| **Type of environment at death date** | | | | | | |
| NA/Missing      | 429       | 1.15        | 208       | 1.06        | 221       | 1.26        |
| Urban (RIO < 10) | 22,948    | 61.68       | 12,543    | 63.77       | 10,405    | 59.34       |
| Suburban (10 ≤ RIO < 40) | 9,531    | 25.62       | 4,839     | 24.60       | 4,692     | 26.76       |
| Rural (40 ≤ RIO)  | 4,295     | 11.54       | 2,078     | 10.57       | 2,217     | 12.64       |
| **General health characteristics** | | | | | | |
| Chronic comorbidities prior to death | | | | | | |
| Asthma          | 6,445     | 17.32       | 3,172     | 16.13       | 3,273     | 18.67       |
| Chronic obstructive pulmonary disease | 22,152    | 59.54       | 10,801    | 54.92       | 11,351    | 64.73       |
| Congestive heart failure | 6627     | 17.81       | 2,482     | 12.62       | 4,145     | 23.64       |
| Elixhauser comorbidity index * | | | | | | |
| 0–1             | 18,373    | 49.39       | 10,776    | 54.79       | 7,597     | 43.32       |
| 2–3             | 11,854    | 31.86       | 6,000     | 30.51       | 5,854     | 33.38       |
| 4+              | 6,976     | 18.75       | 2,892     | 14.70       | 4,084     | 23.29       |
| **Disease and treatment characteristics** | | | | | | |
| Best stage of first cancer diagnosis | | | | | | |
| Missing         | 4,909     | 13.20       | 962       | 4.89        | 3,947     | 22.51       |
| 0/I             | 2,866     | 7.70        | 1,153     | 5.86        | 1,713     | 9.77        |
| II              | 1,877     | 5.05        | 933       | 4.74        | 944       | 5.38        |
| III             | 7,090     | 19.06       | 4,065     | 20.67       | 3,025     | 17.25       |
| IV              | 20,405    | 54.85       | 12,517    | 63.64       | 7,888     | 44.98       |
| Multiple primaries | 56       | 0.15        | 38        | 0.19        | 18        | 0.10        |
| Number of months from first cancer diagnosis to death | | | | | | |
| 0–<1            | 5,692     | 15.30       | 582       | 2.96        | 5,110     | 29.14       |
| 1–<2            | 4,828     | 12.78       | 1,765     | 8.97        | 2,617     | 14.92       |
| 2–<3            | 3,245     | 8.72        | 1,783     | 9.07        | 1,462     | 8.34        |
| 3–<6            | 5,769     | 15.51       | 3,711     | 18.87       | 2,058     | 11.74       |
| 6–<12           | 5,854     | 15.74       | 4,053     | 20.61       | 1,801     | 10.27       |
| 12+             | 12,261    | 32.96       | 7,774     | 39.53       | 4,487     | 25.59       |
| Number of months from first cancer diagnosis to palliative intent treatment | | | | | | |
| No treatment    | 17,535    | 47.13       | 0         | 0.00        | 17,535    | 100         |
| 0–<1            | 6,777     | 18.22       | 6777      | 34.46       | 0         | 0.00        |
| 1–<2            | 4,809     | 12.93       | 4,809     | 24.45       | 0         | 0.00        |
| 2–<3            | 1,819     | 4.89        | 1,819     | 9.25        | 0         | 0.00        |
| 3–<6            | 1,601     | 4.30        | 1,601     | 8.14        | 0         | 0.00        |
| 6–<12           | 1,460     | 3.92        | 1,460     | 7.42        | 0         | 0.00        |
| 12+             | 3,202     | 8.61        | 3,202     | 16.28       | 0         | 0.00        |
| Prior adjuvant systemic therapy or concurrent chemoradiation | | | | | | |
| Prior to death date | 2,845     | 7.65        | 1,833     | 9.32        | 1,012     | 5.77        |

RIO, Rurality Index for Ontario; LHIN, Local Health Integration Network; * Five-year lookback from death: Total comorbidity score excludes indices for metastatic cancer and solid tumour without metastases.

#### 3.2. End of Life Health Service Quality Indicators

One or more indicators of aggressive care were present in 50.3% and for supportive care indicators were present in 60.3% of the cohort (N = 37,203) (Table 2). The number of patients who received aggressive care decreased over the study period (57.4% to 45.3%) while those receiving supportive care increased (54.2% to 67.5%) (all p < 0.001) (Figure 2a). The composite score for occurrence of any one or more of the aggressive care indicators, excluding death in hospital, was 21.8% and this remained stable across the study period. A total of 21% of patients who received palliative intent treatment had indicators of
aggressive care (when excluding death in hospital) compared to 23.2% of patients who did not receive palliative intent treatment.

Table 2. End of life (EOL) health service quality indicators for all patients and by receipt of palliative intent treatment.

| Outcome | All Patients | Palliative Intent Treatment | No Palliative Intent Treatment | p-Value |
|---------|--------------|-----------------------------|-------------------------------|---------|
|         | Frequency    | % Percent (%)               | Frequency                     | % Percent (%) |   |
| Aggressive care * | 18,692      | 50.26                      | 8962                         | 45.58 | <0.001 |
| Aggressive care £ | 7833       | 21.81                      | 3937                         | 20.59 | <0.001 |
| Supportive care ** | 20,515      | 60.30                      | 13,139                       | 70.30 | <0.001 |
| Death in hospital |          |                            | 9730                         | 55.51 | <0.001 |

Individual indicators of aggressive care

- >1 Emergency department visit ****: 1372 (3.84%) vs. 773 (4.05%) vs. 599 (3.59%) (p < 0.001)
- >1 Hospital inpatient admission ****: 3174 (8.88%) vs. 1524 (7.99%) vs. 1650 (9.90%) (p < 0.001)
- ICU admission ***: 3556 (9.56%) vs. 1311 (6.67%) vs. 2245 (12.80%) (p < 0.001)
- Palliative systemic therapy ***: 1056 (2.84%) vs. 1056 (5.37%) vs. 0 (0.00%) (p < 0.001)

Individual indicators of supportive care

- Palliative nursing or PSW home visit *****: 19,385 (52.15%) vs. 12,562 (63.89%) vs. 6823 (38.97%) (p < 0.001)
- Physician home visit ***: 9070 (27.82%) vs. 5686 (32.05%) vs. 3364 (22.77%) (p < 0.001)
- Mental health symptoms ****: 2786 (7.49%) vs. 1427 (7.26%) vs. 1359 (7.75%) (p < 0.001)
- Number of days from first access to palliative care consultation ¥ to death >180: 2703 (7.27%) vs. 2086 (10.61%) vs. 617 (3.52%) (p < 0.001)
- 61–180: 1075 (4000) vs. 2897 (14.73%) vs. 1103 (6.29%)
- 31–60: 2930 (2930) vs. 1975 (10.04%) vs. 955 (5.45%)
- ≤30: 6725 (18.08%) vs. 3481 (17.70%) vs. 3244 (18.50%)
- None: 20,845 (56.03%) vs. 9229 (46.92%) vs. 11,616 (66.24%)

PSW, Personal Support Worker; * Composite score for occurrence of any one or more of the aggressive care indicators; ** Composite score for occurrence of any one or more of the supportive care indicators; *** Within 2 weeks of death; **** Within 30 days of death; ***** Within 6 months of death; Notes: Denominators for end-of-life indicators differed depending on the eligibility criteria used to derive them; Denominator for physician home visits excludes patients with ≥2-week hospital stay before death; Denominator for emergency department visits and inpatient admissions exclude patients with ≥30-day hospital stay before death; Denominator for palliative nursing or PSW home visit excludes patients with ≥6-month hospital stay before death; Denominators for composite scores for aggressive and supportive care are different due to the patients excluded from their respective individual indicators. £ Composite score for occurrence of any one or more of the aggressive care indicators, except for death in hospital. ¥ OHIP billing codes: A945, C945.

Of the 19,668 patients who received palliative intent anticancer therapy, there was an absolute proportional 8.0% decrease in aggressive care and a 5.0% increase in supportive care over the study period (all p < 0.001). Of the 17,535 patients who did not receive palliative intent anticancer treatment, there was a 14.5% decrease in aggressive care and an 18.5% increase in supportive care (all p < 0.001). The proportion of patients without anticancer treatment decreased from 52.5% in 2009 to 38.1% in 2017 (p < 0.001).

For individual EOL health service quality indicators, the most frequently occurring were palliative nursing or personal support worker home visit within 6 months of death (52.2%), death in hospital (45.0%) and physician home visit within two weeks of death (27.8%). The percentage of palliative nursing or personal support worker home visits and physician home visits increased from 2009–2017, death in hospital decreased, and ED visits, inpatient hospital admissions, ICU admission, systemic therapy within two weeks of death and mental health symptoms within 30 days of death were relatively stable (Figure 2b). Mental health symptoms were documented in 7.5% of the cohort.

The receipt of aggressive and supportive care varied between patients who did and did not receive palliative intent anti-cancer treatment. Among those that had palliative intent treatment (N = 19,668), 45.6% received aggressive care and 70.3% received supportive care whereas 55.5% of patients who did not receive palliative intent treatment (N = 17,535) received aggressive care and 48.1% received supportive care. Amongst the full cohort, the percentage of patients who received palliative care consultation before death increased for all time categories (>180, 61–180, 31–60, ≤30 days) between 2009 and 2017 (Figure 3).
Figure 2. (a) Temporal trends for aggressive and supportive care offered to NSCLC patients who died from cancer-related causes from January 2009 to December 2017 (N = 37,203). (b) Temporal trends for end-of-life health service quality indicators for NSCL cancer patients who died from cancer-related causes from January 2009 to December 2017 (N = 37,203).
When examining EOL health service quality indicators according to patient, disease and treatment characteristics, we found that patients who received aggressive care were slightly younger (70.9 versus 72.7 years), were more likely to be male (odds ratio (OR) = 1.21 (95% confidence interval (CI) 1.16–1.26)), less likely to live in urban (OR = 0.59 (95% CI 0.53–0.66)) or suburban (OR = 0.73 (95% CI 0.66–0.81)) environments compared with rural areas, more likely to have chronic obstructive pulmonary disease (OR = 1.05 (95% CI 1.00–1.10)), congestive heart failure (OR = 1.12 (95% CI 1.05–1.19)) or 2–3 (OR 1.46 (95% CI 1.39–1.54)) or 4+ (OR 1.66 (95% CI 1.55–1.77)) comorbid conditions than patients who did not receive aggressive care (Table 3). Age differences may explain discrepancies in medical care received by cancer patients near death with older patients preferring life-prolonging therapies less likely to receive them than younger patients [33].

Figure 3. Temporal trends for palliative care consultation of NSCL cancer patients who died with cancer-related causes from January 2009 to December 2017 (N = 37,203).

Table 3. Multivariable logistic regression analyses for end of life (EOL) health service quality indicators with socio-demographics, general health, disease and treatment characteristics of NSCL cancer patients who died from cancer-related causes from January 2009 to December 2017 (N = 37,203).

| Characteristics | Aggressive Care | Supportive Care |
|-----------------|----------------|-----------------|
|                 | Yes            | No              | Adjusted Stepwise Model | Yes            | No              | Adjusted Stepwise Model |
| N = 18,692 (%)  | N = 18,499 (%) | Odds Ratio (95% CI) | N = 20,515 (%)   | N = 13,507 (%) | Odds Ratio (95% CI) |
| Death year *    | 2012.56 ± 2.56 | 2012.95 ± 2.54 | 0.96 (0.95–0.97) | 2012.97 ± 2.55 | 2012.53 ± 2.53 | 1.03 (1.02–1.04) |
| Age at death date |                |                |                    |                |                |                    |
| 20–49           | 523 (2.80)     | 330 (1.78)     | Reference          | 514 (2.51)     | 274 (2.03)     |                    |
| 50–59           | 2392 (12.80)   | 1990 (10.76)   | 0.69 (0.59–0.81)   | 2695 (13.14)   | 1382 (10.23)   |                    |
| 60–69           | 5192 (27.78)   | 4576 (24.74)   | 0.56 (0.48–0.66)   | 5656 (27.57)   | 3366 (24.92)   |                    |
| 70–79           | 6217 (33.26)   | 6114 (33.05)   | 0.45 (0.39–0.53)   | 6797 (33.13)   | 4408 (32.63)   |                    |
| 80+             | 4368 (23.37)   | 5489 (29.67)   | 0.31 (0.27–0.36)   | 4853 (23.66)   | 4077 (30.18)   |                    |
| Sex             |                |                |                    |                |                |                    |
| Female          | 8218 (43.97)   | 9277 (50.15)   | Reference          | 9961 (48.55)   | 6164 (45.64)   | Reference          |
| Male            | 10,474 (56.03) | 9222 (49.85)   | 1.21 (1.16–1.26)   | 10,554 (51.45) | 7343 (54.36)   | 0.95 (0.91–1.00)   |
Table 3. Cont.

| Characteristics | Aggressive Care | Supportive Care | Reference |
|-----------------|----------------|----------------|-----------|
| Neighborhood income quintile at death date | Adjusted Stepwise Model | Yes No Adjusted Stepwise Model |
| Yes | No | Adjusted |
| 1 Lowest | 4747 (25.40) | 4528 (24.48) | 4820 (23.50) |
| 2 | 4227 (22.61) | 4079 (22.05) | 4583 (22.34) |
| 3 | 3658 (19.57) | 3623 (19.58) | 4105 (20.01) |
| 4 | 3214 (17.19) | 3268 (17.67) | 3673 (17.90) |
| 5 Highest | 2781 (14.88) | 2945 (15.92) | 3284 (16.01) |
| Place of residence at death date | | | |
| Erie St. Clair | 1012 (5.41) | 1316 (7.11) | Reference |
| South West | 1660 (8.88) | 1360 (7.35) | Reference |
| Waterloo Wellington | 784 (4.19) | 1128 (6.10) | Reference |
| Hamilton Niagara Halimand Brant | 2105 (11.26) | 2797 (15.12) | Reference |
| Central West | 824 (4.41) | 532 (2.88) | Reference |
| Mississauga Halton | 1045 (5.59) | 1360 (7.35) | Reference |
| Toronto Central | 1210 (6.47) | 1373 (7.23) | Reference |
| Central | 1591 (8.51) | 1660 (8.97) | Reference |
| Central East | 2709 (14.49) | 1854 (10.02) | Reference |
| Central West | 1439 (7.77) | 1660 (8.97) | Reference |
| South East | 1439 (7.77) | 1660 (8.97) | Reference |
| Champlain | 1714 (9.17) | 2047 (11.07) | Reference |
| North Simcoe Muskoka | 830 (4.44) | 839 (4.54) | Reference |
| North East | 1599 (8.55) | 1107 (5.98) | Reference |
| North West | 466 (2.49) | 411 (2.22) | Reference |
| Rurality status at death date | | | |
| Yes | 3524 (18.85) | 2322 (12.55) | Reference |
| Type of environment at death date | | | |
| Urban (RIO < 10) | 10,737 (57.44) | 12,209 (66.00) | Reference |
| Suburban (10 ≤ RIO < 40) | 4990 (26.70) | 4536 (24.52) | Reference |
| Rural (40 ≤ RIO) | 2711 (14.50) | 1580 (8.54) | Reference |
| General health characteristics | | | |
| Chronic comorbidities prior to death | | | |
| Asthma | 3283 (17.56) | 3156 (17.06) | 3464 (16.89) |
| Chronic obstructive pulmonary disease | 11,397 (60.97) | 10,743 (58.07) | 11,889 (57.95) |
| Congestive heart failure | 3643 (19.49) | 2984 (16.13) | 3084 (15.03) |
| Elixhauser comorbidity index ** | | | |
| 0–1 | 8389 (44.88) | 9982 (53.96) | Reference |
| 2–3 | 6371 (34.08) | 5478 (29.61) | Reference |
| 4+ | 3932 (21.04) | 3039 (16.43) | Reference |
| Disease and treatment characteristics | | | |
| Number of months from first cancer diagnosis to death | | | |
| Prior adjuvant systemic therapy for thoracic tumour resection or curative concurrent chemoradiation | | | |
| Prior to death date | 1463 (7.83) | 1382 (7.47) | 1752 (8.54) |
| Palliative intent systemic therapy, radiotherapy and metastasis surgery | | | |
| Prior to death date | 8962 (47.95) | 10,700 (57.84) | Reference |
While patients who received aggressive care were more likely to have prior adjuvant chemotherapy or curative concurrent chemoradiation (OR = 1.18 (95% CI 1.08–1.28)), they were less likely to have palliative intent anticancer therapy (OR= 0.87 (95% CI 0.83–0.92)). Finally, patients who had a palliative care consultation earlier were less likely to have aggressive care (e.g., 61–180 days OR = 0.49 (0.46–0.53)). A sensitivity analysis revealed that results were similar when the death in hospital indicator was excluded from the composite score for aggressive care (data not shown). However, less regional variation in aggressive care was observed in the regression analysis after removing death in hospital and there was a greater effect of ‘earlier’ palliative care on reducing aggressive care and slightly less impact of greater time from first cancer diagnosis to death on reducing aggressive care.

Patients who received supportive care were less likely to be men (OR = 0.95 (95% CI 0.91–1.00)), live in an urban versus rural setting (OR = 0.82 (95% CI 0.75–0.89)), have congestive heart failure (OR = 0.88 (95% CI 0.82–0.94), have had prior adjuvant therapy or curative concurrent chemoradiation (OR = 0.83 (95% CI 0.76–0.92)). They had fewer comorbidities and were more likely to have lived in higher income neighborhoods, to have received palliative intent anticancer therapy (OR = 1.49 (95% CI 1.41–1.57)) and access to palliative care consultation before death than patients who did not receive supportive care.

4. Discussion

This population-based study of more than 37,000 individuals who died from NSCLC between 2009 and 2017 demonstrates that patients with advanced NSCLC continue to be heavy users of the healthcare system at the EOL. Four specific points are worth emphasizing. First, the number of patients who received aggressive care decreased considerably over the study period (2009–2017) while patients receiving supportive care increased substantially. This is encouraging as it indicates a positive shift in the quality of EOL care offered to patients with NSCLC. This shift is likely the result of better symptom control, changing practice patterns and the emergence of seminal research demonstrating the efficacy of early palliative care on patient-reported quality of EOL care [3].

Second, Barbera et al. [10] established Canadian benchmarks for EOL quality indicators using 33 healthcare service regions across British Columbia, Alberta, Ontario and Nova Scotia using data from 200,025 cancer deaths. They documented considerable variation in quality indicators across provinces but determined the following benchmark rates using funnel plots to graph each region’s age- and sex-adjusted indicator rates. Benchmark rates from top-performing regions were: single emergency department visit, 34% (our analysis used >1 ER visit); intensive care unit admission, 2%; physician home visit, 34%; home care visits, 63%; and death in hospital, 38%. For all indicators, our cohort did not attain the proposed benchmarks, with only one benchmark reached among the subgroup dying in 2017 (physician home visits).
When comparing individual aggressive care indicators to findings from an international comparative study of lung cancer deaths occurring in 2010 among adults >65 years in developed countries [12], we found that the percentage of patients (N = 4467 decedents) who were admitted to an ICU within 30 days of death were similar (9.56% versus 8.5%) to previous Canadian estimates while our findings documented a lower rate of death in hospital (45.0% versus 54.1%). When considered alongside international data from broadly similar health systems examining EOL quality indicators, patients with metastatic NSCLC did not receive treatment as ideally recommended by the benchmarks [34–36]. For instance, a retrospective cohort study of Australian patients with metastatic NSCLC (N = 6041) conducted between 2003–2010 found that only 5% of the cohort received intensive care and <1% chemotherapy at the EOL while a much larger proportion of patients died in acute hospitals (42%) and had a length of stay of greater than 14 days in the last month of life (61%) [35]. Another study examining lung cancer patients (N = 79,746) who died (between 2010–2011 or between 2015–2016) within a French national hospital database demonstrated a higher rate of aggressive care indicators during the last 30 days of life than was demonstrated in this study [36].

Our study also examined mental health symptoms within 30 days of death. This novel measure was examined because mental health symptoms are frequently underdiagnosed among people dying from advanced cancer and are a significant contributor to suffering, symptom burden and poorer quality of life than patients without these symptoms [37–39]. Prevalence estimates (7.49%) for mental health symptoms in this cohort were lower than have been previously described in longitudinal studies of patients with lung cancer [40,41]. This is likely attributable to differences across treatment settings, tools used to collect information about mental health symptoms and selected research designs.

Compared to a previous report of aggressive care offered to a smaller sample of patients (N = 5855) who died of lung cancer in Ontario (using similar administrative data holdings from ICES in 2002), our findings showed a reduction in the percentage of patients who died in hospital (45.0% versus 59.5%) and received systemic therapy (2.8% versus 4.6%) administered within the last two weeks of life while we noted an increase in ICU admission (9.6% versus 5.5%) in the last two weeks of life [10]. It is not clear why the percentage of patients who were admitted to ICU increased from previous reports and thus further study is warranted. This might be the result of a global increase in ICU capacity across Ontario resulting from expanded billing codes for non-vented patients; conversion of ‘step down’ units to ICUs or increased admission/discharge rates. This finding could also represent an attitude shift in short stay admissions to ICU or optimism surrounding the emergence of new immunotherapies that are associated with significant autoimmune toxicities that may require critical care admission and management [42–44].

Third, our study provides unique insights into socio-demographics, general health, disease and treatment characteristics that impact access to EOL quality indicators. We found that male sex and increased comorbidity were associated with more aggressive EOL care and less supportive care. These clinically and statistically significant differences persisted in adjusted analyses. This sex-based finding is consistent with past research demonstrating that men with cancer receive more aggressive EOL care than women [26,36,45,46]. Possible explanations for these results include the existence of sex differences in the content of patient–physician discussions about EOL care, the way that patients use the information from these discussions and differential communication and treatment preferences [45]. We also found that patients who received aggressive care were more likely to have prior adjuvant systemic therapy or concurrent chemoradiation. There are several potential reasons for this: misclassification, patient, or treatment factors. For example, if a patient had a rapid recurrence and received systemic therapy within the 14-week time-period, they would be misclassified as having adjuvant therapy when its actual intent was palliative; this situation would also indicate more aggressive disease. Alternatively, patterns in patient preferences might exist; those who elect to undergo adjuvant systemic therapy might also
be more likely to pursue aggressive EOL care. This finding might also represent death from complications of adjuvant systemic therapy and/or concurrent therapy. Final, we found that 56% of the cohort did not receive palliative care consultation before death. This is problematic as it is known that patients receiving palliative care consultation have better symptom control, death preparation, less aggressive care and overall quality of death [47,48]. It is encouraging to note that access to palliative care consultation before death increased in all time categories over the study period; moreover, the proportion of early palliative care consultation (defined as >60 days before death) increased from 34% of all consultations for patients dying in 2009, to 44% in 2017 [21]. Timing of referral to palliative care consultation for patients may be influenced by patient preference or lack of availability of palliative care providers and consensus of when referral is most appropriate. We found that receipt of palliative care consultation one month or more before death was associated with less aggressive care compared to patients who did not receive a palliative care consult. This finding is congruent with previous literature [48,49].

Strengths of our study include its use of real-world population-based data. Building an understanding of population-level characteristics of quality EOL care is especially important given the changing context of oncologic and palliative care and associated resource constraints inherent to Ontario’s publicly funded system. For example, there has been a shift toward earlier palliative care consultation and the use of an array of more effective and sometimes more toxic systemic therapies, all of which could alter the EOL experience for people with incurable NSCLC. The linked administrative data sources available from hospitals across the province of Ontario provided perspectives on the whole population of NSCLC patients treated between 2009 and 2017, avoiding selection biases inherent to other research designs.

Limitations

However, our study has important limitations. We did not directly measure quality of life, only measures of health utilization that might correlate with quality of life. We also did not examine the setting in which palliative care consultation was offered and did not evaluate quality of care earlier in the trajectory of palliative care (e.g., after patients we diagnosed as incurable/palliative but before they entered the last few months of life). The Canadian benchmarks for EOL quality indicators we compared our results to were not specifically developed for lung cancer. Furthermore, benchmark measures are metrics used to gauge the quality and performance of a health system but do not imply that care delivered to an individual was inappropriate. For instance, there are circumstances where being admitted to ICU at the EOL or dying in hospital is appropriate clinical care. Canadian EOL quality benchmarks should continue to evolve to reflect patient preferences as well as changes to the structure and function of the healthcare system.

5. Conclusions

This work has significant clinical, resource allocation and policy implications for the treatment of patients with advanced NSCLC. While improvements in the use of supportive rather than aggressive care were noted, established benchmarks were not met by the cohort. There are variations in EOL quality between groups, some of which may be inappropriate and need to be addressed to improve the equity of care. Moreover, we need to make gains in use of earlier palliative care. To optimize the quality of EOL care, we should continuously monitor EOL health service quality indicators against established Canadian benchmarks. Future research must continue to investigate sex-based differences and patient-oriented outcomes to optimize quality of EOL care practices for patients with NSCLC.

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**Institutional Review Board Statement:** This study was approved by the Queen’s University Health Sciences Research Ethics Board (#6024258).

**Informed Consent Statement:** ICES is a prescribed entity for the purposes of section 45 Ontario’s Personal Health Information Privacy Act. This means that health information custodians—such as physicians, hospitals or long-term care homes—are permitted to disclose personal health information about their patients to ICES without consent. This information is for statistical analysis in order to evaluate and monitor aspects of the health system.

**Data Availability Statement:** The dataset from this study is held securely in coded form at ICES. While legal data sharing agreements between ICES and data providers (e.g., healthcare organizations and government) prohibit ICES from making the dataset publicly available, access may be granted to those who meet pre-specified criteria for confidential access, available at www.ices.on.ca/DAS, (accessed on 24 August 2021 (email: das@ices.on.ca)). The full dataset creation plan and underlying analytic code are available from the authors upon request, understanding that the computer programs may rely upon coding templates or macros that are unique to ICES and are therefore either inaccessible or may require modification.

**Conflicts of Interest:** The authors have no conflict of interests to declare.

**Appendix A**

**Table A1.** Morphology and topography codes for identifying non-small cell lung cancer.

| ICD-O-3 | Term                                      |
|---------|-------------------------------------------|
| 8000/3  | Neoplasm, malignant                       |
| 8001/3  | Tumour cells, malignant                   |
| 8004/3  | Malignant tumour, fusiform cell type      |
| 8010/3  | Carcinoma NOS                             |
| 8012/3  | Large cell carcinoma NOS                  |
| 8020/3  | Carcinoma, undifferentiated NOS           |
| 8021/3  | Carcinoma, anaplastic NOS                 |
| 8022/3  | Pleomorphic carcinoma                     |
| 8030/3  | Giant cell and spindle cell carcinoma     |
| 8031/3  | Giant cell carcinoma                      |
| 8032/3  | Spindle cell carcinoma                    |
| 8034/3  | Papillary carcinoma                       |
| 8051/3  | Verrucous carcinoma NOS                   |
| ICD-O-3 | Term |
|---------|------|
| 8052/3 | Papillary squamous cell carcinoma |
| 8070/3 | Squamous cell carcinoma NOS |
| 8070/6 | Squamous cell carcinoma, metastatic NOS |
| 8071/3 | Squamous cell carcinoma, keratinizing NOS |
| 8072/3 | Squamous cell carcinoma, large cell, nonkeratinizing |
| 8073/3 | Squamous cell carcinoma, small cell, nonkeratinizing |
| 8074/3 | Squamous cell carcinoma, spindle cell |
| 8075/3 | Adenoid squamous cell carcinoma |
| 8076/3 | Squamous cell carcinoma, microinvasive |
| 8082/3 | Lymphoepithelial carcinoma |
| 8094/3 | Basosquamous carcinoma |
| 8120/3 | Transitional cell carcinoma NOS |
| 8130/3 | Papillary transitional cell carcinoma |
| 8140/3 | Adenocarcinoma NOS |
| 8140/6 | Adenocarcinoma, metastatic NOS |
| 8141/3 | Scirrhous adenocarcinoma |
| 8143/3 | Superficial spreading adenocarcinoma |
| 8144/3 | Adenocarcinoma, intestinal type |
| 8145/3 | Carcinoma, diffuse type |
| 8190/3 | Trabecular adenocarcinoma |
| 8200/3 | Adenoid cystic carcinoma |
| 8201/3 | Cribriform carcinoma |
| 8210/3 | Adenocarcinoma in adenomatous polyp |
| 8211/3 | Tubular adenocarcinoma |
| 8230/3 | Solid carcinoma NOS |
| 8231/3 | Carcinoma simplex |
| 8250/3 | Bronchioloalveolar adenocarcinoma |
| 8251/3 | Alveolar adenocarcinoma |
| 8260/3 | Papillary adenocarcinoma NOS |
| 8261/3 | Adenocarcinoma in villous adenoma |
| 8263/3 | Adenocarcinoma in tubulovillous adenoma |
| 8290/3 | Oxyphilic adenocarcinoma |
| 8310/3 | Clear cell adenocarcinoma NOS |
| 8323/3 | Mixed cell adenocarcinoma |
| 8330/3 | Follicular adenocarcinoma NOS |
| 8340/3 | Papillary carcinoma, follicular variant |
| 8380/3 | Endometrioid carcinoma |
| 8401/3 | Apocrine adenocarcinoma |
| 8410/3 | Sebaceous adenocarcinoma |
| 8420/3 | Ceruminous adenocarcinoma |
| 8430/3 | Mucoepidermoid carcinoma |
| 8440/3 | Cystadenocarcinoma NOS |
| 8441/3 | Serous cystadenocarcinoma NOS |
| 8442/3 | Serous cystadenoma, borderline malignancy |
| 8462/3 | Papillary serous cystadenoma, borderline malignancy |
| 8470/3 | Mucinous cystadenocarcinoma NOS |
| 8472/3 | Mucinous cystadenoma, borderline malignancy |
| 8480/3 | Mucinous adenocarcinoma |
| 8481/3 | Mucin-producing adenocarcinoma |
| 8490/3 | Signet ring cell carcinoma |
Table A1. Cont.

| ICD-O-3  | Term                                                   |
|---------|--------------------------------------------------------|
| 8490/6  | Metastatic signet ring cell carcinoma                  |
| 8500/3  | Infiltrating duct carcinoma                            |
| 8510/3  | Medullary carcinoma NOS                                |
| 8550/3  | Acinar cell carcinoma                                  |
| 8560/3  | Adenosquamous carcinoma                                |
| 8562/3  | Epithelial-myoepithelial carcinoma                    |
| 8570/3  | Adenocarcinoma with squamous metaplasia                |
| 8572/3  | Adenocarcinoma with spindle cell metaplasia            |
| 8802/3  | Giant cell sarcoma                                     |
| 8980/3  | Carcinosarcoma NOS                                     |

| ICD-O-3  | Term                                                   |
|---------|--------------------------------------------------------|
| C34.0   | Main bronchus                                          |
| C34.1   | Upper lobe, lung                                       |
| C34.2   | Middle lobe, lung (right lung only)                    |
| C34.3   | Lower lobe, lung                                       |
| C34.8   | Overlapping lesion of lung                             |
| C34.9   | Lung, NOS                                              |

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