Optimal Protocols for Nonlocality Distillation

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Forster, Winkler, and Wolf recently showed that weak nonlocality can be amplified by giving the first protocol that distills a class of nonlocal boxes (NLBs) [Phys. Rev. Lett. 102, 120401 (2009)]. We first show that their protocol is optimal among all non-adaptive protocols. We next consider adaptive protocols. We show that the depth 2 protocol of Allcock et al. [Phys. Rev. A 80, 062107, (2009)] performs better than previously known adaptive depth 2 protocols for all symmetric NLBs. We present a new depth 3 protocol that extends the known region of distillable NLBs. We give examples of NLBs for which each of Forster et al.’s, Allcock et al.’s, and our protocol performs best. The new understanding we develop is that there is no single optimal protocol for NLB distillation. The choice of which protocol to use depends on the noise parameters for the NLB.

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Popescu and Rohrlich [1] proposed the hypothetical nonlocal box (NLB) that attains the maximum value for the CHSH inequality [2] without allowing for communication between spatially separated Alice and Bob. It is natural to ask whether a theory of reality can be maximally nonlocal. Should we expect that there exists another physical theory that allows for such correlations? To understand why certain correlations are not allowed by quantum physics it is necessary to understand the implications of having such a correlation source.

Wim van Dam showed that a perfect nonlocal box implies trivial communication complexity for boolean functions, i.e. any boolean function may be computed by a single bit of communication between Alice and Bob [3]. This was extended by Brassard et al. to include nonlocal boxes that work correctly with probability greater than $\frac{3+\sqrt{5}}{6} \approx 0.908$ [4].

It was recently shown by Pawłowski et al. [5] that all strategies that violate Tsirelson’s bound [6] also violate the principle of information causality which states that the transmission of n classical bits can cause an information gain of at most n bits. It is not known whether this is also true for nonlocal strategies that are prohibited by quantum physics but do not violate Tsirelson’s bound.

Is it possible to show that these results hold for all non-quantum correlations? A positive answer would imply that quantum mechanics restricts correlation sources that result in a world in which surprisingly powerful information processing procedures would be possible. One attempt to solve this question is via nonlocality distillation protocols. The idea is to consider whether it is possible for the players to concentrate the nonlocality in n copies of an imperfect nonlocal source to form a stronger nonlocal correlation source. In this sense it may be considered similar to entanglement distillation.

Many of the known entanglement distillation protocols cannot be utilized for nonlocality distillation since the former are allowed to utilize both local operations and classical communication (LOCC) whereas the latter are restricted to only local operations without any communication. Discussion of this approach and related results can be found in [7–12].

Compared to entanglement distillation, nonlocality distillation protocols are a recent development. The first protocol for distilling nonlocality was found by Forster, Winkler, and Wolf [9]. They gave a non-adaptive protocol, which we define as a protocol in which each NLB takes as input the original input to Alice and Bob, and they derived an expression for the maximum value their distillation protocol can achieve. As our first result, we show that their protocol is optimal among all non-adaptive protocols by proving a matching lower bound.

Brunner and Skrzypczyk [10] next gave the first depth 2 adaptive protocol which distills to a larger value than Forster et al.’s protocol for some NLBs. Their protocol can be used to distill to the asymptotic optimal value of 4 for NLBs that err in exactly one of the four input cases, a class of NLBs which [9] coins correlated NLBs.

This was next followed by Allcock et al. [12] who gave an alternative depth 2 adaptive protocol. We show here that the Allcock et al. protocol distills the class of two parameter (symmetric) NLBs considered in [10] to a value strictly bigger than the protocol in [10] attains, except in the case of correlated NLBs for which both protocols distill to the optimal value 4.

We then present a novel depth 3 protocol that performs even better for some NLBs. Our protocol distills some NLBs that were not previously known to be distillable, and it thus extends the known region of distillable NLBs.

We finally show that for some NLBs, Forster et al.’s original protocol sometimes can distill to a value larger than both Allcock et al.’s and our protocols. The picture that emerges is that there is no known single optimal protocol for NLB distillation. Which protocol to apply depends on the parameters of the given NLB. We conclude that our understanding of nonlocality distillation is still in its infancy and there is still plenty to be discovered about nonlocal boxes.
Consider two spatially separated parties Alice and Bob who receive input bits \( x \) and \( y \) from a uniform distribution. For the CHSH inequality, the players are required to produce output bits \( a \) and \( b \), respectively, such that \( a \oplus b = xy \). The matrix \( \mathbf{p} \), with its rows indexed by \( xy \) and columns by \( ab \), gives the probability with which Alice and Bob output \( a \) and \( b \) on inputs \( x \) and \( y \), respectively. In addition to positivity and normalization, the no-signalling conditions are enforced on \( \mathbf{p} \), so the local marginal distribution of Alice is independent of the output of Bob and vice versa. The value that the CHSH inequality takes for a strategy \( \mathbf{p} \) is given by

\[
V(\mathbf{p}) = \sum_{a \otimes b = xy} p_{ab|xy} - \sum_{a \otimes b \neq xy} p_{ab|xy}.
\]

The perfect nonlocal box is defined to output a uniform distribution over the bits \( a \) and \( b \) on inputs \( x \) and \( y \) such that \( a \oplus b = xy \). We consider the following general NLB as a correlation resource for the CHSH inequality

\[
\mathbf{p} = \frac{1}{4} \begin{pmatrix}
1 + \delta_1 & 1 - \delta_1 & 1 - \delta_1 & 1 + \delta_1 \\
1 + \delta_2 & 1 - \delta_2 & 1 - \delta_2 & 1 + \delta_2 \\
1 + \delta_3 & 1 - \delta_3 & 1 - \delta_3 & 1 + \delta_3 \\
1 + \epsilon & 1 - \epsilon & 1 - \epsilon & 1 + \epsilon
\end{pmatrix},
\]

where the parameters \( \delta_1, \delta_2, \delta_3 \), and \( \epsilon \) are in \([-1, 1]\). To remove redundancy and focus on the key terms in the distribution, we shall write the NLB as

\[
\frac{1}{4} (1 + \delta_1, 1 + \delta_2, 1 + \delta_3, 1 + \epsilon)^T.
\]

A single usage of the NLB gives us a value of

\[
V(\mathbf{p}) = \delta_1 + \delta_2 + \delta_3 - \epsilon.
\]

We are interested in distilling this NLB resource \( \mathbf{p} \) such that the distilled NLB attains a greater value than the original value \( V(\mathbf{p}) \). A distillation protocol takes as input the original two input bits \( x, y \) of Alice and Bob and \( n \) identical copies of a NLB \( \mathbf{p} \), and it outputs two bits \( a \) and \( b \). See Figure 1. The protocol specifies what each of Alice and Bob input to each of the \( n \) NLBs. Alice’s input \( x_1 \) to the first NLB can depend only on her original input bit \( x \). Her input \( x_2 \) to the second NLB can depend on her original input bit \( x \) and her output \( a_1 \) of the first NLB, and so forth. After receiving all her \( n \) output bits \( a_1, a_2, \ldots, a_n \), Alice then outputs a bit \( a \) that can depend on \( x \) and \( a_1, a_2, \ldots, a_n \). Similarly, Bob’s input \( y_1 \) to the first NLB can depend only on his original input bit \( y \). His input \( y_2 \) to the second NLB can depend on his original input bit \( y \) and his output \( b_1 \) of the first NLB, and so forth. He also outputs a bit \( b \) which may depend on \( y \) and \( b_1, b_2, \ldots, b_n \). The goal is for Alice and Bob to have that \( a \oplus b = xy \).

We assume, as is common in communication complexity, that both players know the four parameters \( \delta_1, \delta_2, \delta_3, \epsilon \) that specify their NLB. We also assume that Alice and Bob give their \( n \) input bits to the \( n \) NLBs in the same order. This is strictly not necessary for the model to be well-defined and to be of interest. In this paper, however, we do not consider that more general model.

We refer to \( n \), the number of NLBs, as the depth of the protocol. We note that for any depth \( n \) protocol, there is a depth \( n + 1 \) protocol that achieves the same value: this can for instance be obtained by Alice and Bob each inputting an arbitrary bit to NLB number \( n + 1 \) and disregarding the output bits \( a_{n+1} \) and \( b_{n+1} \). When we thus talk about the class of depth \( n \) protocols, this includes protocols equivalent to all protocols of depth less than \( n \). Conversely, for some depth \( n \) protocols, there exists a depth \( n - 1 \) achieving the same value.

The goal of NLB distillation is given \( n \) identical NLBs to obtain a NLB that achieves as high a value as possible.

We say a protocol is non-adaptive if each of the \( n \) NLBs takes as input the original input bits \( x \) and \( y \) received by Alice and Bob. See Figure 2. A non-adaptive protocol allows for parallelism and can be implemented by each of Alice and Bob inputting their \( n \) inputs to the \( n \) NLBs in parallel. A non-adaptive protocol can be implemented in a single round. In contrast, in an adaptive
distillation protocol, the two players may choose to use the output of previous NLBs to determine the input to later NLBs. Restricting the number of rounds is well-studied in communication complexity and other related settings. In classical communication complexity, the seminal paper [12] connects bounded round protocols to circuits to prove a lower bound on the circuit complexity of the computational problem of graph connectivity. In quantum communication complexity, two early results on protocols of bounded rounds are [14, 15].

**Parity is Optimal.** Forster et al. [9] derived an expression for the maximum value their distillation protocol can achieve. We show that the parity protocol (Figure 2) is an optimal non-adaptive distillation protocol. We show this by first determining the expression for the value attained by the parity protocol over NLBs. We then show that the value attainable by any non-adaptive protocol over NLBs is never greater than the value attained by the parity protocol over NLBs such that 1 ≤ k ≤ n.

**Theorem 1.** Forster et al.’s parity protocol is optimal among all non-adaptive distillation protocols.

The upper bound is a simple generalization of Theorem 2 in [12] which can be proved by considering the parity of the number of heads obtained by flipping a coin with bias δ k a number of k times.

**Lemma 2.** The parity protocol over NLBs attains the value of \(\delta_1^3 + \delta_2^3 + \delta_3^3 - \epsilon^n\) for the CHSH inequality.

We now give a matching lower bound by showing that the value obtained by any other non-adaptive distillation protocol is upper bounded by the value obtained by the parity protocol over a chosen number of NLBs.

**Lemma 3.** The value attainable by any non-adaptive protocol using at most n NLBs is upper bounded by \(\max_{1 \leq k \leq n} |\hat{\delta}_1^k + \hat{\delta}_2^k + \hat{\delta}_3^k - \epsilon^k|\).

**Proof of Lemma 3.** Let the n bit pairs \((a_i, b_i)\) be the output of the n NLBs that Alice and Bob obtain for inputs \(x, y\), respectively. See Figure 2. The pair \((a_i, b_i)\) is drawn from \(\{00, 01, 10, 11\}\) with respect to the distribution \(\mu = \frac{1}{2}\{1 + \delta, 1 - \delta, 1 - \delta, 1 + \delta\}\), where \(\delta\) is the bias for the row corresponding to the inputs received by the players. For inputs \(x, y\), let \(A, B \subseteq \{0, 1\}^n\) be the set of strings for which Alice and Bob’s final output is 1, respectively.

Given that Alice and Bob input bits \(x\) and \(y\) into the NLBs, the probability that they receive bit strings \(a\) and \(b\) of length \(n\), respectively, from the NLBs is given by

\[
p_{ab|xy} = \prod_{i=1}^{n} \left(1 - \delta + \frac{\delta}{2} [a_i = b_i]\right)
\]

where \([a_i = b_i] = 1\) if \(a_i = b_i\) and 0 otherwise. The probability of obtaining output 11 is given by

\[
q_{A,B}(1) = \frac{1}{4^n} \sum_{a \in A} \sum_{b \in B} (1 - \delta)^{|a \oplus b|} (1 + \delta)^{n - |a \oplus b|}
\]

Here \(\chi_z(a \oplus b) = (-1)^z(a \oplus b)\) is a character for the group \(\mathbb{Z}_{2^n}\) and \(f\) and \(g\) are +1 when \(s\) and \(t\) are in \(A\) and \(B\), respectively, and −1 otherwise. To see that the second equation follows from the first, expand the term \((-1)^{s} (1 - \delta)^{|a \oplus b|} (1 + \delta)^{n - |a \oplus b|}\) in its 2\(n\) terms and then rewrite each of those as the evaluation of \(a \oplus b\) on one of the 2\(n\) characters \(\chi_z\). For the second last equality, notice that the sum \(\sum_{s} \frac{1}{2^n} \chi_z(s) f(s)\) equals the Fourier coefficient \(\hat{f}_z\).

The probability of obtaining output 00 is the same as the expression for 11, except that the sign in front of
The depth of their protocol. The mapping for Allcock
For inputs
\( V \) and 
\( \delta \)
\( \delta \)
\( n \)
\( \delta \)
\( \delta_1, \delta_2, \delta_3, \) and \( \epsilon \).

\[
V(p) = \left( \sum_i (2r(\delta_i) - 1) \right) - 2(2r(\epsilon) - 1)
\]

\[
= \sum_{z \in \{0,1\}^n} \hat{f}_z \hat{g}_z \left( \delta_1 |z| + \delta_2 |z| + \delta_3 |z| - \epsilon |z| \right)
\]

\[
\leq \sum_{z \in \{0,1\}^n} \left| \hat{f}_z \cdot |\hat{g}_z| \cdot \delta_1 |z| + \delta_2 |z| + \delta_3 |z| - \epsilon |z| \right|
\]

\[
\leq \max_k \left| \delta_1 k + \delta_2 k + \delta_3 k - \epsilon k \right| \sum_{z \in \{0,1\}^n} |\hat{f}_z| \cdot |\hat{g}_z|
\]

where the last inequality follows from \( \hat{f}_z \) and \( \hat{g}_z \) being normalized functions.

We conclude that Forster et al.’s parity protocol is an optimal non-adaptive protocol. We note that Alice and Bob perform identical operations in the parity protocol. In contrast, when allowing for adaptive protocols, an optimal protocol does not necessarily imply that Alice and Bob perform identical operations.

Adaptive Distillation Protocols. Brunner and Skrzypczyk [10] consider an adaptive distillation protocol of depth two that asymptotically distills correlated NLBs to the maximum value of 4. We refer to their protocol as the adaptive parity protocol. The class of correlated NLBs is given by

\[
\frac{1}{4} \begin{pmatrix}
2 & 0 & 0 & 2 \\
2 & 0 & 0 & 2 \\
2 & 0 & 0 & 2 \\
1 + \epsilon & 1 - \epsilon & 1 - \epsilon & 1 + \epsilon
\end{pmatrix},
\]

where \( \epsilon \in [-1, 1] \) and \( \delta_1 = \delta_2 = \delta_3 = 1 \). The value attained by this protocol is \( \frac{1}{4} (13 - 4\epsilon - \epsilon^2) \). We briefly present a depth \( k \) version of the above protocol, which also illustrates the intuition behind why it works. The players input their bits \( x \) and \( y \) to the first NLB. The input to the \( i \)th NLB, for \( i > 1 \), is given by the logical AND of the original input bit and the parity of the \( i-1 \) output bits obtained from the previous NLBs. The final output for a depth \( k \) protocol is the parity of their \( k \) output bits received from the NLBs. Let \( p = \frac{1 + \delta}{2} \).

**Theorem 4.** The depth \( k \) adaptive parity protocol attains the value \( 4 \left( 1 - p \left( p + \frac{1}{2} \right)^{k-1} \right) \) on correlated NLBs.

**Proof.** For inputs \( xy \in \{00, 01, 10\} \) we always obtain output bits \( a \) and \( b \) with even parity. For the case when \( xy \) is equal to 11, consider the first NLB which outputs bits with odd parity. Let this be the \( i \)th NLB with output bits \( a_i \) and \( b_i \) for \( i \geq 1 \). This implies that the input to the \( (i+1) \)th NLB has odd parity and this guarantees that all NLBs at depth greater than \( i \) output even parity. Therefore, the final output parity will be odd due to \( a_i \) and \( b_i \). This implies that for \(-1 \leq \epsilon < 1\), the protocol asymptotically distills all the corresponding NLBs arbitrarily close to a perfect NLB. For \( k = 2 \) we obtain

\[
\rho_{00|11} = p \left( p + \frac{1}{2} \right),
\]

which implies a ratio of \( p + \frac{1}{2} \) between the probability of the distilled and original NLBs. For a depth \( k \) protocol, the probability to obtain odd parity output, given \( xy = 11 \), is \( 1 - 2p \left( p + \frac{1}{2} \right)^{k-1} \). This leads to a distilled NLB that attains the required value.

Here we consider the more general class of symmetric NLBs given by \( \delta_1 = \delta_2 = \delta_3 \), which we represent by

\[
\frac{1}{4} \begin{pmatrix}
1 + \delta \\
1 + \delta \\
1 + \delta \\
1 + \epsilon + \delta - \epsilon - 1 + \epsilon
\end{pmatrix},
\]

These NLBs correspond to the two parameter family of states considered by Brunner and Skrzypczyk [10]. All correlated NLBs are symmetric, but not vice-versa. To specify a depth 2 protocol for symmetric NLBs, we only need to provide the mapping for \( \delta \). Brunner and Skrzypczyk’s protocol gives the following mapping

\[
\frac{1}{4} \begin{pmatrix}
1 + \delta \\
1 + \delta \\
1 + \delta \\
1 + \epsilon + 3\delta - \delta - \epsilon
\end{pmatrix}.
\]

The value attained is \( \frac{1}{4} (12\delta^2 + \delta - 3\epsilon\delta - \epsilon - \epsilon^2) \). Allcock et al. [12] next gave a protocol that now show performs better than the above protocol for the entire class of symmetric NLBs. We use the representation in Figure 3 of their protocol. The mapping for Allcock et
FIG. 4: NLBs distilled by the protocols. The outermost curve (red in the online version) represents Allcock et al.’s depth 2 adaptive protocol. The curve in the middle (blue in the online version) is our new depth 3 protocol, and the innermost curve (black in the online version) is the depth 2 adaptive parity protocol. The region above the black dotted curve that goes through the point \( \delta = \cos(\pi/9) \approx 0.94 \) and \( \epsilon = \frac{4}{3} \) represents distributions that are obtainable within quantum theory. The vertical line is \( \delta = 35/37 \). The two outermost curves cross at the point \( \delta = 35/37 \) and \( \epsilon = -21/37 \).

Allcock et al.’s protocol is

\[
\frac{1}{4} \left( 1 + \frac{1 + \epsilon}{1 + \epsilon} \right) \mapsto \frac{1}{4} \left( \frac{1 + \epsilon^2}{1 + \epsilon^2} \right). \tag{5}
\]

The value attained by this protocol is \( \frac{1}{4} \left( 11 \delta^2 + 2 \delta - 2\epsilon \delta - 2\epsilon - 2\epsilon^2 \right) \). The first, third, and forth entries on the right hand side in Eq. 5 are identical to the corresponding entries obtained by Brunner and Skrzypczyk’s protocol given in Eq. 4. The second entry is strictly greater than \( \frac{1 + \epsilon^2}{1 + \epsilon^2} \) whenever \( \epsilon < \delta < 1 \). If \( \delta = 1 \), the second entry is the same as in Eq. 4. If \( \delta \leq \epsilon \), the output distribution of the NLB can be simulated by quantum mechanics and does thus not represent nonlocality (see [5, 14]). We conclude that the Allcock et al. protocol is strictly better than Brunner and Skrzypczyk’s protocol for all symmetric non-correlated NLBs. Further, Allcock et al.’s protocol distills some NLBs that are not distillable by Forster et al.’s and Brunner and Skrzypczyk’s protocols as shown in Figure 4.

**New depth 3 protocol.** Similar to the non-adaptive case, we may ask whether Allcock et al.’s protocol is an optimal adaptive protocol for general NLBs. Since that protocol maps out of the class of symmetric NLBs, we cannot use the above arguments to show optimality for general NLBs and arbitrary depth protocols. We also find that a local permutation of the protocol performs better for certain non-symmetric NLBs [17]. The inputs to the second NLB in this protocol are given by

\[
f_2 = xa_1 \text{ and } g_2 = 1 \oplus y \oplus b_1. \tag{6}
\]

The permutation does not simply interchange the roles of Alice and Bob and is dependent on the biases \( \delta_2 \) and \( \delta_3 \) as shown in Table I. Numerical simulations indicate that one of these two protocols always performs better or as well as the adaptive parity protocol for the entire class of non-quantum NLBs. Table II presents different choices of NLB parameters, such that no single depth 2 NLB protocol is always optimal. There even exist situations for which the non-adaptive parity protocol performs better than the depth 2 adaptive protocols.

We may consider a generalization of the Allcock et al.’s protocol to arbitrary depth \( n \), where the input to the \( k \)th NLB, for \( k > 1 \) is given by

\[
f_k = x \oplus \bigoplus_{i=1}^{k-1} a_i \text{ and } g_k = y \left(1 \oplus \bigoplus_{i=1}^{k-1} b_i\right). \tag{7}
\]

We find that this does not yield an optimal protocol, since for depth 3 a better protocol exists, that uses the same inputs to the first two NLBs as in Figure 3 and with inputs to the third NLB given by

\[
f_3 = a_2(a_1 \oplus 1) \oplus (a_1 \oplus a_2 \oplus a_1a_2) \text{ and }
g_3 = 1 \oplus b_1 \oplus b_2 \oplus b_1b_2 \oplus y(1 \oplus b_2 \oplus b_1b_2). \tag{8}
\]

This protocol attains the following value for symmetric NLBs

\[
\frac{1}{16} \left( 39\delta^3 + \delta^2 (\epsilon + 16) + \delta \left( 1 - 16\epsilon - 8\epsilon^2 \right) - \epsilon \right). \tag{9}
\]

Table I: Values for NLB distillation protocols of depth 2. Column \( P \) is the value of the nonlocal box itself, \( P_\delta \) is non-adaptive parity, \( P_{BS} \) is adaptive parity, \( P_A \) is the protocol of Allcock et al. and \( P_{perm} \) is its local variant given in Eq. 6.

| \( \delta_1 \) | \( \delta_2 \) | \( \delta_3 \) | \( \epsilon \) | \( P \) | \( P_\delta \) | \( P_{BS} \) | \( P_A \) | \( P_{perm} \) |
|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| 0.92            | 0.92            | 0.92            | -0.22           | 2.4908          | 2.9639          | **2.9867**      | 2.9867          |                  |
| 0.96            | 0.84            | 0.96            | 0.24            | 2.522           | 2.9412          | **2.5932**      | 2.4600          |                  |
| 0.96            | 0.96            | 0.84            | 0.24            | 2.522           | 2.9412          | **2.5932**      | 2.4600          |                  |
| 0.96            | 0.96            | 0.96            | 0.60            | 2.28            | **2.4048**      | 2.3328          | 2.3364          | 2.3364          |

Table II: Values for NLB distillation protocols of various depths. Column \( P \) is the value of the nonlocal box itself, \( P_\delta \) is optimal-depth non-adaptive parity, \( P_{BS} \) is adaptive parity, \( P_A \) is the protocol of Allcock et al., \( P_{ BS } \) are our generalizations thereof to depths 3 and 6, respectively, and \( P_{ new } \) is the protocol given by Eq. 5 below.
each bounded by one of the three similar curves represents the NLBs that are distilled by each of the three protocols. The outermost curve (red in the online version) is Allcock et al.’s depth 2 protocol. The curve in the middle (blue in the online version) represents our depth 3 protocol, and the innermost curve (black in the online version) represents adaptive parity of depth 2.

Interestingly, the lower boundary of $\delta$ for which Allcock et al.’s protocol distills symmetric NLBs is exactly $\frac{3 + \sqrt{6}}{6}$. Further, $\frac{3 + \sqrt{6}}{6}$ is also the lower boundary value of $\delta$ for which Forster’s protocol distills symmetric NLBs. No known protocol distills symmetric NLBs with $\delta \leq \frac{3 + \sqrt{6}}{6}$.

Our new depth 3 protocol extends the known region of distillable NLBs. When $\delta > \frac{35}{37}$, our new protocol distills for a value of $\epsilon$ strictly smaller than what is distillable by Allcock et al.’s protocol. When e.g. $\delta = 3.95$ and $\epsilon = -0.607$, our protocol distills as the only protocol among the protocols discussed in this paper. Further, our new protocol attains a higher value for some NLBs within its distillable region. The values in Table II again reinforce the notion that there is no single optimal NLB distillation protocol.

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