Glaucoma is characterized by irreversible optic nerve degeneration and is the most frequent cause of irreversible blindness worldwide. Here, the International Glaucoma Genetics Consortium conducts a meta-analysis of genome-wide association studies of vertical cup-disc ratio (VCDR), an important disease-related optic nerve parameter. In 21,094 individuals of European ancestry and 6,784 individuals of Asian ancestry, we identify 10 new loci associated with variation in VCDR. In a separate risk-score analysis of five case-control studies, Caucasians in the highest quintile have a 2.5-fold increased risk of primary open-angle glaucoma as compared with those in the lowest quintile. This study has more than doubled the known loci associated with optic disc cupping and will allow greater understanding of mechanisms involved in this common blinding condition.
Optic nerve degeneration caused by glaucoma is the most common cause of irreversible blindness worldwide. Glaucomatous optic neuropathy is recognized by changes in the morphology of the optic nerve head, or optic disc, caused by loss of retinal ganglion cells and thinning of the retinal nerve fibre layer. In glaucoma, the nerve fibre layer typically thins in the superior and inferior regions of the nerve creating a vertically elongated depression (the cup). The ratio of the cup to the overall nerve size (the disc), called the vertical cup-disc ratio (VCDR), is a key factor in the clinical assessment and follow-up of patients with glaucoma. VCDR has been shown to be heritable with \( h^2 \) scores ranging between 0.48 and 0.66\(^2\). At least seven loci have been associated with VCDR in previous genome-wide association studies (GWAS) and three of these were subsequently implicated in primary open-angle glaucoma (POAG)\(^8\)–\(^11\). So far, the explained variance of open-angle glaucoma by age, sex, intraocular pressure and established POAG genes is still small (4–6%)\(^12\). As with other complex diseases, large sample sizes are needed to ensure sufficient power to fully define the underlying genetic architecture.

Here, we report the largest genome-wide meta-analysis for VCDR, with data from 14 studies from Europe, the United States, Australia and Asia, as part of the International Glaucoma Genetics Consortium. The aim of the study is to identify loci associated with VCDR, and to determine whether these variants are also associated with glaucoma.

We perform the meta-analysis in four stages. In the first stage, we meta-analyse summary data from 10 populations of European ancestry comprising 21,094 individuals. In the second stage, we test the cross-ancestry transferability of the statistically genome-wide-significant associations from the first stage in 6,784 individuals from four Asian cohorts. In the third stage, we examine whether the associations are independent of disc area and/or spherical equivalent. We also combine the genome-wide-significant effects into a genetic risk score and associate this score with the POAG risk in five populations. Finally, we perform gene-based tests and pathway analysis.

We find 10 new loci associated with VCDR, which together increase the risk on POAG 2.5 times. Our findings will help us to unravel the pathogenesis of glaucoma.

Results
Meta-analysis of GWAS. In stage 1, we analysed ~2.5 million HapMap stage 2 single-nucleotide polymorphisms (SNPs)—either directly genotyped or imputed in 21,094 subjects of European ancestry (Supplementary Fig. 1; Supplementary Table 1; Supplementary Methods). The inflation factors (\( \lambda \)) varied between 0.98 and 1.12, implying adequate within-study control of population substructure (Supplementary Table 2; Supplementary Figs 2 and 3). The overall \( \lambda \) was 1.05. This analysis yielded 440 genome-wide-significant SNPs (\( P<5.0 \times 10^{-8} \)) located across 15 chromosomal regions (Table 1; Supplementary Fig. 4a). In stage 2, we investigated the SNP with the strongest association at each region in the Asian populations and found that eight were nominally significant (\( P<0.05 \)) with an effect in the same direction and generally the same order of magnitude (Table 1; Supplementary Fig. 4b). Five of the seven loci that did not reach nominal significance in those of Asian descent had a similar effect in the same direction. Supplementary Table 3 shows the most significant SNPs in Asians within 100,000 base pairs from the most significant associated SNP in Europeans. Meta-analysis of only the Asian populations did not result in new genome-wide-significant findings. The combined analysis of the European and Asian populations resulted in three additional genome-wide-significant associations on chromosomes 1, 6 and 22 (Table 1; Fig. 1). The level of heterogeneity across the samples are shown in Table 1. Of the 18 genome-wide-significant loci, 10 are novel for the VCDR outcome (COL8A1, DSP, EXOC2, PLCE1, ADAMTS8, RPAP3, SALL1, BMP2, HSF2 and CARD10) (Supplementary Fig. 5). There were no significant differences in terms of allele frequencies across the different cohorts (Supplementary Table 4). The effect estimates from the participating cohorts appear not to be influenced by main demographic characteristics, such as mean age and sex ratio (Supplementary Fig. 6).

Adjustment for disc area and spherical equivalent. Four of the 18 genome-wide-significant loci have been previously associated with optic disc area (CDC7/TGFBR3, ATOH7, SALL1 and CARD10)\(^10\)–\(^13\). Because the size of the optic nerve varies between individuals and is correlated to the VCDR\(^14\), we adjusted the association to VCDR for optic nerve (disc) area. This resulted in a reduced effect size and significance (\( P=3.48 \times 10^{-11} \) to \( P=9.0 \times 10^{-3} \)) at the CDC7–TGFBR3 locus, suggesting the VCDR association at this locus is explained primarily by its known association with disc area (Supplementary Table 5a–c). A similar reduction in effect was seen for ATOH7. However, for this locus there remains a significant disc-area-independent effect (\( P=7.28 \times 10^{-5} \)). There was no change in association significance for any of the 10 new loci reported here, suggesting they do not act primarily on disc area.

It is of interest that two genes (SIX6 and BMP2) overlap with those implicated in myopia\(^15\), an important risk factor for POAG\(^16\). The correlation between VCDR and spherical equivalent is low (Supplementary Table 6), and adjusting for spherical equivalent did not lead to any major changes in the effects for these or other loci in European populations (Supplementary Table 7a), suggesting a joint genetic aetiology for POAG and myopia. In Asian cohorts, the direction of effect on VCDR at the chromosome 11 locus (MIR612-SSCA1 region) was not consistent with the European populations (Supplementary Table 7b). However, after adjusting for spherical equivalent the direction of effect on VCDR was similar to both populations. At the BMP2 myopia locus, we observed a large difference in allele frequency between those of European and Asian ancestry (Table 1), which may explain the difference in effect direction.

Risk for POAG. The 18 loci, together with age and sex, explain 5.1–5.9% of the VCDR phenotypic variability in Europeans (measured in the Rotterdam Study I, II and III), of which 1.6–1.8% is explained by the new loci. The phenotypic variability explained by all common SNPs is 41–53% in these cohorts, which is in line with the heritability estimates from family-based studies. In addition to confirming the previously published \( CDKN2BAS \) and \( SIX1/6 \) POAG risk loci, we found nominally significant (\( P<0.05 \)) associations with POAG for six newly identified genetic variants (\( P=8.1 \times 10^{-3} \) from binomial test for chance of seeing six or more such nominally significant associations in 16 tests) (Supplementary Table 8), with odds ratios varying between 0.73 and 1.20. In the combined case-control studies, we found that the sum of all effects of these genes increased the risk of POAG 2.5-fold (Supplementary Table 9) for those in the highest quintile compared with those in the lowest quintile.

Gene-based test. To identify new loci not previously found through individual SNP-based tests, we performed gene-based tests using VEGAS software\(^17\). Because of the smaller number of tests (17,872 genes tested), our gene-based significance threshold is \( P_{\text{gene-based}}<0.05/17,872 = 2.8 \times 10^{-6} \). In addition to the SNPs
Table 1 | Summary of the results of the meta-analyses of genome-wide association studies.

| SNP       | Chr | Position | Nearest Gene | Annotation   | A1 / A2 | MAF     | z-statistic | P value heterogeneity | S.e. | P value heterogeneity |
|-----------|-----|----------|--------------|--------------|---------|---------|-------------|-----------------------|------|----------------------|
| rs4651081 | 1   | 91849997 | CDC7/TFFB90R | intragenic   | a/g     | 0.18    | 0.22        | 8.40E-14              | 0.02 | 3.13E-03             |
| rs2623252 | 3   | 100614445| COLA41       | intragenic   | a/c     | 0.13    | 0.17        | 9.06E-09              | 0.02 | 1.57E-01             |
| rs1799121 | 5   | 576701   | EXOC2        | intragenic   | g/a     | 0.18    | 0.22        | 4.20E-09              | 0.14 | 9.05E-09             |
| rs7805618 | 8   | 23021100 | CDH12/ABAS   | intragenic   | g/a     | 0.13    | 0.21        | 1.32E-09              | 0.15 | 3.13E-03             |
| rs1900005 | 10  | 69560671 | ATP9D1       | intragenic   | a/c     | 0.21    | 0.26        | 7.21E-04              | 0.31 | 3.31E-03             |
| rs7035274 | 10  | 90626296 | PLCE1        | intragenic   | g/a     | 0.15    | 0.22        | 3.10E-04              | 0.04 | 2.10E-04             |
| rs13168   | 11  | 65093827 | SSSC41       | downstream   | a/c     | 0.19    | 0.24        | 4.25E-15              | 0.15 | 2.45E-04             |
| rs4930099 | 11  | 12978535 | ADAMTS8      | intragenic   | c/a     | 0.45    | 0.19        | 4.80E-02              | 0.18 | 2.48E-02             |
| rs11168187| 12  | 48380287 | RRAP3        | intragenic   | g/a     | 0.16    | 0.20        | 3.52E-08              | 0.18 | 4.45E-03             |
| rs19662688| 12  | 82447043 | TMTC2        | intragenic   | c/a     | 0.42    | 0.20        | 3.08E-09              | 0.18 | 4.45E-03             |
| rs4901977 | 14  | 59859829 | SIG1/0.3     | intragenic   | c/a     | 0.31    | 0.38        | 7.86E-01              | 0.53 | 2.64E-07             |
| rs1345467 | 16  | 50038322 | SALL1        | intragenic   | g/a     | 0.27    | 0.21        | 1.38E-01              | 0.13 | 4.13E-01             |
| rs6958556 | 20  | 65050056 | BMP2         | intragenic   | a/c     | 0.42    | 0.30        | 5.38E-01              | 0.37 | 1.98E-02             |
| rs1547014 | 20  | 27134071 | CHEK2        | intragenic   | c/a     | 0.30    | 0.33        | 1.04E-01              | 0.17 | 4.70E-02             |

Chr, chromosome; MAF, minor allele frequency; SNP, single-nucleotide polymorphism.

Summary of SNPs that showed genome-wide-significant (P < 5 × 10^{-5}) association with vertical cup-disc ratio (VCDR) in subjects of European ancestry (stage 1), with results of replication in Asians (stage 2) and the additional SNPs that showed genome-wide-significant (P < 5 × 10^{-5}) association in the combined analysis (stage 3) (*P values were calculated by using the z-statistic*). We tested for heterogeneity between the Asian and European ancestry samples, for which P values are shown (Cochrane’s Q-test). Nearest gene, reference NCBI build 37: A1, reference allele; A2, other allele; MAF, average minor allele frequency; z-statistic, effect size on VCDR based on allele A1; s.e., s.e. of the effect size. The last three rows indicate the SNPs that reached genome-wide significance in the combined analysis, but not in stage 1 or stage 2.

*Note that, for the sake of keeping the same reference allele, MAF values may be > 0.50 in the Asian populations.

**For this SNP, only one Asian study is contributing to the meta-analysis, so the P value for heterogeneity could not be calculated for this SNP in stage 2.

Figure 1 | Manhattan plot of the GWAS meta-analysis for vertical cup-disc ratio in the combined analysis (n = 27,878). The plot shows −log_{10} transformed P values for all SNPs (z-statistic). The red-dotted horizontal line represents the genome-wide significance threshold of P < 5.0 × 10^{-8}; the blue-dotted line indicates P value of 1 × 10^{-5}.

identified as significant (P < 5 × 10^{-8}) in a SNP-based test, we also found two new genes significantly associated with VCDR using the VEGAS gene-based test (Supplementary Table 10). These were REEP5 (P = 7.48 × 10^{-7}) and PITPNB (P = 4.89 × 10^{-10}). PITPNB is ~800 kb from another gene with a significant SNP association (CHEK2, rs1547014) (Supplementary Fig. 7). Although the association signal centred over CHEK2 extends a long distance towards PITPNB, a separate association peak over PITPNB can be observed, which is unrelated (no linkage disequilibrium (LD)) to the CHEK2 peak. The results we obtained using the specified definition of the gene unit were substantially the same when alternative cutoff points from the transcription initiation and end sites were used (Supplementary Table 11). The REEP5 gene showed no association with POAG...
(Supplementary Table 12). The PITPNB gene showed evidence for association with POAG in Australian & New Zealand Registry of Advanced Glaucoma (ANZRAG) \((P=0.03)\) in the gene-based test, with a best single SNP \(P\) value of 0.003, but this was not confirmed in two other studies.

**Pathway analysis.** To test whether gene-based statistics identified were enriched in 4,628 pre-specified Gene Ontology pathways, we performed pathway analysis using Pathway-VEGAS\(^{18}\). We used a pathway-wide significance threshold to be \(1.08 \times 10^{-5} \) \((0.05/4,628)\). The only pathway exceeding the pathway-wide significance level was \('\text{negative regulation of cyclin-dependent protein kinase activity}'\) (Supplementary Table 13). The second top-pathway \('\text{'negative regulation of epithelial cell proliferation'}\) is related to the top pathway, both suggesting retardation of cell growth. The \('\text{negative regulation of cyclin-dependent protein kinase activity}'\) finding was driven not only by the result at the \(CDKN2A\) locus but also by the result at \(APC\), a gene close to \(REEP5\).

**Regulatory elements and expression data.** Six of the 18 most associated SNPs are located in DNase I hypersensitivity sites (Supplementary Table 14). The retinal pigment epithelium has the highest signal of all 125 available cell lines in one of these DNase I hypersensitivity sites. Thus, these results are suggesting that some of the SNPs may have their effect on VCDR by altering regulatory functions. We investigated the expression of the genes implicated in VCDR by these analyses in human ocular gene expression databases or the published literature. Most of these genes are expressed in eye tissues, including the optic nerve (Supplementary Tables 15 and 16).

**Discussion**

This study reports 10 novel loci associated with VCDR, with an additional two loci identified using gene-based testing. Pathway analysis suggests retardation of cell growth as a major biological mechanism. The results for the most associated pathways \('\text{negative regulation of cyclin-dependent protein kinase activity}' and \('\text{'negative regulation of epithelial cell proliferation'}\) are primarily driven by the \(CDKN2A\) and \(CDKN2B\) genes, respectively, but in both pathways the gene-based result at \(APC\) \((P=7.20 \times 10^{-5}\) in Caucasians and \(P=8.80 \times 10^{-3}\) in Asians) also contributes to the pathway result. The \(APC\) gene has previously been reported to be a critical gene regulating retinal pigment epithelium proliferation and development\(^{19}\). These results add to our earlier findings on the role of growth and the transforming growth factor beta (TGFβ) pathways in VCDR\(^{10}\). Various new genes fall into these pathways. The protein encoded by the \(BMP2\) (bone morphogenetic protein 2) gene on chromosome 20 belongs to the TGFβ super-family. Two other new genes regulate apoptosis: \(RPAP3\) (RNA polymerase II-associated protein 3) on chromosome 12\(^{20}\) and \(CARD10\), a gene that was previously found to be associated with disc area\(^{13}\). Another new VCDR association previously associated with disc area is \(SALL1\)\(^{10}\). This gene is implicated in ocular development.

Our findings offer new insights in the aetiology of optic nerve degeneration. \(COL8A1\) (collagen, type VIII, alpha 1) is part of a collagen pathway recently implicated in corneal thickness\(^{18}\), an ocular trait also associated with glaucoma risk. Missense mutations in \(COL8A2\) (collagen, type VIII, alpha2) were found in POAG patients with a very thin central corneal thickness (CCT)\(^{21}\). The collagen SNP (rs2623325) was not significantly associated with CCT \((\beta = -0.044, P=0.19)\); in Asians: \(\beta = 0.007, P=0.89)\) or intraocular pressure (in Caucasians and Asians combined: \(\beta = -0.02, P=0.73)\) in largely the same cohorts\(^{18,22}\), suggesting that the collagen involvement in VCDR is not due to the influence by CCT or intraocular pressure. We also found several genes involved in cellular stress response. \(DUSP1\) (dual specificity phosphatase 1) is the nearest gene to the most strongly associated SNP on chromosome 5. This gene, inducible by oxidative stress and heat shock, may play a role in environmental stress response\(^{23}\), and may also participate in the negative regulation of cellular proliferation. \(HSF2\) (heat shock transcription factor 2), one of the genes at the chromosome 6 locus, also is part of the cellular stress response pathway. Deficiency of this factor causes various central nervous system defects in mice\(^{24,25}\). Another pathway emerging in this study is that of exocytosis. The SNP on the other chromosome 6 locus is located in \(EXOC2\) (exocyst complex component 2). The encoded protein is one of the eight proteins of the exocyst complex\(^{26}\). This multi-protein complex is important for directing exocytic vesicles to the plasma membrane, a mechanism that also has been implicated in neuronal degeneration in the brain\(^{27}\).

Lipid metabolism emerges as another pathway. The gene on chromosome 10, \(PLCE1\) (phospholipase C, epsilon 1), belongs to the phospholipase C family, which plays a role in the generation of second messengers\(^{28}\). Various processes affecting cell growth, differentiation and gene expression are regulated by these second messengers. From a clinical perspective, the findings on \(ADAMTS8\) are of interest. \(ADAMTS\) enzymes have different functions, including the formation and turnover of the extracellular matrix\(^{29}\). Strikingly, a variant in \(ADAMTS10\) has been linked to a form of glaucoma in dogs\(^{30,31}\).

In summary, we have now identified 10 novel loci associated with cupping of the optic nerve, a key determinant of glaucoma. Together, these genetic risk variants increased the risk of POAG in case-control validation studies. Pathway analysis implicated negative regulation of cell growth and cellular response to environmental stress as key pathological pathways in glaucoma, and that novel therapies targeting these pathways may be neuro-protective in glaucoma.

**Methods**

**Study design.** We performed a meta-analysis on directly genotyped and imputed SNPs from individuals of European ancestry in 10 studies, with a total of 21,094 individuals. Subsequently, we evaluated significantly associated SNPs in 6,784 subjects of Asian origin including four different studies and performed a meta-analysis on all studies combined.

**Subjects and phenotyping.** All studies included in this meta-analysis are part of the International Glaucoma Genetics Consortium. The ophthalmological examination of each study included an assessment of the optic nerve head to measure the VCDR (Supplementary Table 17a). Unreliable optic nerve data were excluded.

The meta-analysis of stage 1 was based on 10 studies of European ancestry: Brisbane Adolescent Twin Study, Blue Mountains Eye Study, Erasmus Rucphen Family Study, Gutenberg Health Study (GHS I/GHS II), Glaucoma Genes and Environment (controls only), National Eye Institute Glaucoma Human Genetics Collaboration (NEIGHBOR; controls only), Raine Study, Rotterdam Study (RS-I/RS-II/RS-III), Twins Eye Study in Tasmania and TwinsUK. Stage 2 comprised four Asian studies: Beijing Eye Study, Singapore Chinese Eye Study, Singapore Malay Eye Study and Singapore Indian Eye Study. For each SNP with the strongest association at each locus the association with POAG was tested in five case-control studies: ANZRAG, deCODE, Massachusetts Eye and Ear Infirmary, NEIGHBOR and Southampton.

Information on general methods, demographics, phenotyping and genotyping methods of the study cohorts can be found in Supplementary Tables 1 and 17 and the Supplementary Note. All studies were performed with the approval of their local medical ethics committee, and written informed consent was obtained from all participants in accordance with the Declaration of Helsinki.

**Genotyping and imputation.** Information on genotyping in each cohort and the particular platforms used to perform genotyping can be found in more detail in Supplementary Table 17b. To produce consistent data sets and enable a meta-analysis of studies across different genotyping platforms, the studies performed
genomic imputation on available HapMap Phase 2 genotypes with MACH32 or IMPUTE2, using the appropriate ancestry groups as templates. Each study applied stringent quality control procedures before imputation, including minor allele frequency cutoffs, Hardy–Weinberg equilibrium, genotypic success rate, mendelian inconsistencies, exclusion of individuals with >5% shared ancestry (exception made for family-based cohorts in which due adjustment for family relationship was made) and removal of all individuals whose ancestry as determined through genotype analysis did not match the prevailing ancestry group of the corresponding cohort (Supplementary Note). SNPs with low imputation quality were filtered using metrics specific to the imputation method and thresholds used in previous GWAS analyses. For each cohort, only SNPs with imputation quality scores >0.6 (proper-info of IMPUTE) or R2 >0.6 (MACH) were included into the meta-analysis.

Statistical analysis. In subjects drawn from their respective populations in which the prevalence of glaucomatous changes is relatively low, the correlation between left and right eye is high. Therefore, we used the mean VCDR of both eyes. In cases of missing or unreliable data for one eye, data of the other eye was taken. Each individual study did a linear regression model between the VCDR and the cases of missing or unreliable data for one eye, data of the other eye was taken. Gene-based test using VEGAS was one of the most powerful tests41. We therefore performed gene-based analysis (as in Table 1) and to three of the POAG data sets; ANZRAG, European ancestry populations show different LD patterns, we performed separate analysis for European and Asian ancestry data sets. Meta-analysis was performed separately for European and Asian ancestry data sets. Meta-analysis was performed using the ENCYclopedia Of DNA Elements data in the UCSC Genome Browser to look at DNase I hypersensitivity sites and other functional elements.

Gene expression in human eye tissue. We examined the expression of genes that reached significance in the individual SNP-based test or gene-based test. We used published literature or human ocular gene expression databases (Supplementary Tables 15 and 16).

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