Abstract

Berkeley conducts 7 SETI programs at IR, visible and radio wavelengths. Here we review two of the newest efforts, Astropulse and Fly’s Eye.

A variety of possible sources of microsecond to millisecond radio pulses have been suggested in the last several decades, among them such exotic events as evaporating primordial black holes, hyper-flares from neutron stars, emissions from cosmic strings or perhaps extraterrestrial civilizations, but to-date few searches have been conducted capable of detecting them. The recent announcement by Lorimer et al. of the detection of a powerful ($\approx 30$ Jy) and highly dispersed ($\approx 375$ cm$^{-3}$ pc) radio pulse in Parkes multi-beam survey data has fueled additional interest in such phenomena.

We are carrying out two searches in hopes of finding and characterizing these $\mu$s to ms time scale dispersed radio pulses. These two observing programs are orthogonal in search space; the Allen Telescope Array’s (ATA) “Fly’s Eye” experiment observes a 100 square degree field by pointing each 6m ATA antenna in a different direction; by contrast, the Astropulse sky survey at Arecibo is extremely sensitive but has $\frac{1}{3,000}$ of the instantaneous sky coverage. Astropulse’s multibeam data is transferred via the internet to the computers of millions of volunteers. These computers perform a coherent de-dispersion analysis faster than the fastest available supercomputers and allow us to resolve pulses as short as 400 ns. Overall, the Astropulse survey will be 30 times more sensitive than the best previous searches. Analysis of results from Astropulse is at a very early stage.

The Fly’s Eye was successfully installed at the ATA in December of 2007, and to-date approximately 450 hours of observation has been performed. We have detected three pulsars (B0329+54, B0355+54, B0950+08) and six giant pulses from the Crab pulsar in our diagnostic pointing data. We have not yet detected any other convincing bursts of astronomical origin in our survey data.

Keywords: SETI, radio transients, Allen Telescope Array, ATA, Arecibo

1. Introduction

The Berkeley SETI group conducts seven searches at visible, IR and radio wavelengths, covering a wide variety of signal types and spanning a large range of time scales: SETI@home searches for radio signals with time scales ranging from mS to seconds. SEVENDIP searches for nS time scale pulses at visible wavelengths. ASTROPULSE and Fly’s Eye search for dispersed uS and mS time scale radio pulses from extraterrestrial civilizations, pulsars, or evaporating primordial black holes. SERENDIP and SPOCK search for continuous narrow band signals in the radio and optical bands respectively. DYSON searches for infrared excess from advanced civilizations that use a lot of energy.

SETI@home II, ASTROPULSE and SERENDIP V are radio sky surveys at the 300 meter Arecibo telescope. Commensal observations have been conducted almost continuously for the past ten years and are ongoing. Most beams on the sky visible to the Arecibo telescope have been observed four or more times. We rank SERENDIP and SETI@home candidate signals based on the number of independent observations, the strength of the signals, the closeness of the signals in frequency and sky position, and the proximity to stars, planetary systems, galaxies, and other interesting astronomical
objects. SETI@home uses the CPU power of volunteered PCs to analyze data. Five million people in 226 countries have participated. Combined, their PCs form Earth’s second most powerful supercomputer, averaging 482 TeraFLOPs and contributing over two million years of CPU time. Here we describe two of the newest SETI searches, Astropulse and Fly’s Eye.

2. SETI Pulse Searches

One common assumption of SETI is that an alien civilization wishing to make contact with others would broadcast a signal that is easily detected and easily distinguished from natural sources of radio emission. One way of achieving these goals is to send a narrow band signal. By concentrating the signal power in a very narrow frequency band, the signal can be made to stand out among the natural, broad-band sources of noise. By the same token, signals leaked from a civilization’s internal communications may be narrow band, but would be significantly weaker than a direct attempt at extraterrestrial contact.

Because of this, radio SETI efforts have concentrated on detecting narrow band signals. When searching for narrow band signals it is best to use a narrow search window (or channel) around a given frequency. The wider the channel, the more broadband noise is included in addition to any signal. This broadband noise limits the sensitivity of the system. Early systems used analog technology to create narrow bandpass filters that could observe at a single frequency channel. More recent systems use massive banks of dedicated fast Fourier transform (FFT) processors to separate incoming signals into up to a billion channels, each of width ~1 Hz.

There are, however, limitations to this technique. One limitation is that extraterrestrial signals are unlikely to be stable in frequency due to accelerations of the transmitter and receiver. For example, a receiver listening for signals at 1.4 GHz located on the surface of the earth undergoes acceleration of up to 3.4 cm/s² due to the earth’s rotation. This corresponds to a Doppler drift rate of 0.16 Hz/s. If uncorrected, an alien transmission would drift out of a 1 Hz channel in about 6 seconds, effectively limiting the maximum integration time to 6 seconds. Because of the inverse relationship between maximum frequency resolution and integration time ($\Delta f = \frac{1}{\Delta t}$), there is an effective limit to the frequency resolution that can be obtained without correcting the received signal for this effect.

There are other parameters of the signal that are unknown, for example: At what frequency will it be transmitted? What is the bandwidth of the signal? Will the signal be pulsed, if so at what period? Fully investigating a wide range of these parameters requires innovative instruments and enormous computing power. Another possibility that, until now, has not been included in SETI searches is that rather than directing large amounts of power into a narrow frequency band, an extraterrestrial intelligence might direct large amounts of power into a narrow time window by sending a short duration wide-band pulse. A wide band signal has the advantage of reducing the importance of the choice of observation frequency, and the unavoidable interstellar dispersion of a broad-band pulse reduces the confusion between terrestrial and extraterrestrial pulses. In an ionized medium, high frequencies propagate slightly faster than low frequencies at radio frequencies. Therefore RF pulses will be dispersed in frequency during their travel through the interstellar medium. The dispersion across a bandwidth $\Delta f$ is given by

$$\delta t = (8.3 \mu s) \frac{\Delta f (\text{MHz})}{f (\text{GHz})} DM$$

where dispersion measure $DM$ is defined as $\int_0^L n_d dl$ and is usually quoted in units of cm$^{-3}$ pc.

It is possible that a civilization intentionally creating a beacon for extraterrestrial astronomers would choose to create “pulses” which have a negative DM. Natural dispersion always causes higher frequency components to arrive first. A signal in which the low frequencies arrive first would stand out as obviously artificial. As a check on this, as well as to establish our background noise limit, we examine both positive and negative dispersion cases. Unfortunately, sensitive detection of broad-band pulses at an unknown dispersion measure also requires enormous computing power.

We are currently conducting two searches for these dispersed radio pulses. Astropulse at Arecibo Observatory and Fly’s Eye at the Allen Telescope Array (ATA). The Allen Telescope Array is a joint project of the SETI Institute and the University of California, Berkeley [3].

2.1. Pulses from Extraterrestrial Intelligence

Astropulse and Fly’s Eye consider the possibility that extraterrestrials communicate using high intensity and wideband but short timescale pulses. It turns out that both the conventional (narrowband) method of data transfer assumed by SETI@home and the wideband, short timescale method assumed by Astropulse and Fly’s Eye require the same amount of energy to send a message.

In each case, the energy required to send one bit of information is proportional to the energy (per area) required to send the minimum detectable signal. And this
energy is the same for the two methods, so energy considerations cannot rule out short timescale pulses as a medium for extraterrestrials’ communication.

SETI@home’s sensitivity in searching for narrowband signals is given by:

$$\frac{\alpha \sigma T_{\text{sys}}}{G \sqrt{N_{\text{pol}} B_{\text{int}}}}$$

(2)

where $\alpha = 2$ is a loss from 1-bitting the data twice, $\sigma = 24$ is the threshold above noise, $T_{\text{sys}} = 28$ K is the system temperature, $G = 10$ K Jy$^{-1}$ is the gain, and $N_{\text{pol}} = 1$ is the number of polarizations.

SETI@home searches for narrowband signals with a bandwidth of 0.075 Hz, and its longest integration time is $(0.075 \text{ Hz})^{-1} = 13.4$ s. To compute the energy (per area) required to send one bit, multiply by $B_{\text{int}} = 1$ to get $134 \cdot 10^{-26}$ J m$^{-2}$.

On the other hand, Astropulse and Fly’s Eye are searching for short pulses, so the sensitivity of these experiments will be given in Jansky microseconds, by a slightly different formula:

$$\frac{\alpha \sigma T_{\text{sys}} \sqrt{t_{\text{int}}}}{G \sqrt{N_{\text{pol}}} B}$$

(3)

where the variables have the meanings as before, except that now $t_{\text{int}}$ is the timescale of the pulse and $B$ the entire bandwidth.

For Astropulse, $t_{\text{int}}$ is 0.4 $\mu$s and $B = 2.5$ MHz. Also, $\alpha = 1.4$ because Astropulse 1-bits the data only once, $N_{\text{pol}} = 2$, and $\sigma = 21.5$. The resulting sensitivity is 24 Jy $\mu$s. To compute the energy per area required to send one bit, multiply by $B = 2.5 \cdot 10^9$ Hz to get $60 \cdot 10^{-26}$ J m$^{-2}$.

For both narrow frequency and broadband pulse cases, the expression for the minimum detectable energy has the form

$$\frac{\alpha \sigma T_{\text{sys}} \sqrt{B_{\text{int}}}}{G \sqrt{N_{\text{pol}}}}$$

(4)

with $\sqrt{B_{\text{int}}} = 1$, so similar answers are expected.

Because these energies are comparable, we have a chance to detect ETI communications in this new regime.

2.2. Pulses from Evaporating Black Holes

Another intriguing possible source of short duration pulses comes from a suggestion by Martin Rees in 1977 [8] that primordial black holes, evaporating via the Hawking Process, could emit a large electromagnetic signature. According to Hawking [4], a black hole of mass $M$ emits radiation like a blackbody with a temperature $T_{\text{BH}}$ given by:

$$T_{\text{BH}} = \frac{\hbar c^3}{8 \pi k G M} = 10^{-6} \left(\frac{M_\odot}{M}\right) K$$

(5)

This radiation emanates from the black hole event horizon and comes completely from the black hole’s mass. For a non-accreting black hole, Stefan-Boltzmann yields a lifetime of:

$$\tau_{\text{BH}} = 10^{10} \text{years} \left(\frac{M}{10^{12} \text{kg}}\right)^3$$

(6)

For stellar mass black holes, this theory predicts lifetimes of order $10^{34}$ years, much too long to ever expect to observe. However, some cosmologies predict the creation of numerous small ($M \sim 10^{12} \text{g}$) primordial black holes in the early universe, which according to theory could be evaporating now [3].

The specific mechanism by which the evaporating black hole produces a strong radio pulse has not been fully elucidated, but in short, it is thought that the process is similar to the EMP that accompanies supernova explosions. In such a process, a highly conductive plasma fireball expanding into an ambient magnetic field can exclude the field and create an electromagnetic pulse. For typical values of the interstellar magnetic field, this pulse would be peaked near 1 GHz [1].

An observation of these pulses would not only provide a significant confirmation of Hawking radiation, but would also give strong evidence of the existence of primordial black holes.

3. Fly’s Eye: Searching for Bright Pulses with the ATA

The Allen Telescope Array has several advantages over other telescopes worldwide for performing transient searches, particularly when the search is for bright pulses. The ATA has 42 independently-steerable dishes, each 6m in diameter. The beam size for individual ATA dishes is considerably larger than that for most other telescopes, such as VLA, NRAO Green Bank, Parkes, Arecibo, Westerbork and Effelsberg. This means that the ATA can instantaneously observe a far larger portion of the sky than is possible with other telescopes. Conversely, when using the ATA dishes independently, the sensitivity of the ATA is far lower than that of other telescopes.

The Fly’s Eye instrument was purpose built to search for bright radio pulses of millisecond duration at the
ATA. The instrument consists of 44 independent spectrometers using 11 CASPER IBOBs. Each spectrometer processes a bandwidth of 210MHz, and produces a 128-channel power spectrum at a rate of 1600Hz (i.e. 1600 spectra are outputted by each spectrometer per second). Therefore each spectrum represents time domain data of length $1/1600Hz = 0.000625s = 0.625ms$, and hence pulses as short as 0.625ms can be resolved\(^1\).

We have to-date performed roughly 400 hours of observing with the Fly’s Eye. Figures 1 and 2 show the beam pattern and sky coverage using all 42 antennas.

3.1. System Architecture

The overall architecture of the Fly’s Eye system is shown in Figure 3. Each IBOB can digitize four analogue signals, and 11 IBOBs are provided so that 44 signals can be processed. The ATA has 42 antennas, each with two polarization outputs. A selection\(^2\) of 44 of the available 84 signals is made, and these are connected to the 44 iADC inputs. The IBOBs are connected to a control computer and a storage computer via a standard Ethernet switch.

3.2. Fly’s Eye Offline Processing

The analysis required for the Fly’s Eye experiment is, in principle, fairly simple – we wish to search over a

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\(^1\)Pulses of duration $<0.625ms$ can also be detected provided that they are sufficiently bright, but their length cannot be determined with a precision greater than the single spectrum length.

\(^2\)The selection is made with consideration for the goal of maximising field-of-view – in practice we selected at least one polarization signal from every functioning antenna.
wide range of dispersion measures to find large individual pulses. Specifically our processing requires that all the data be dedispersed with dispersion measures ranging from 50 cm$^{-3}$ pc to 2000 cm$^{-3}$ pc. At each dispersion measure the data needs to be searched for 'bright' pulses.

The processing chain is in practice significantly more complicated than this description suggests. Processing is performed on compute clusters, with input data formatted, divided and assigned to worker nodes for processing. In the worker node flow, the data is equalized, RFI rejection is performed, and finally a pulse search is performed through the range of dispersion measures. The results are written to a database where they can be subsequently queried. The key feature of the results is a table that lists, in order of decreasing significance, the pulses that were found and the dispersion measures they were located at.

Average power equalization is performed on the frequency spectrum equalized values $P'_i(t)$. We compute the average power over all frequency channels for a single integration (time sample $t$). The power average is defined as $\bar{P}(t) = \frac{1}{N} \sum_{i=0}^{N-1} P'_i(t)$. $N$ is the number of channels (for Fly’s Eye this is always 128). The motivation for why it is possible to normalize the power is that we expect pulses to be dispersed over many time samples, so this procedure should not remove extraterrestrial pulses.

Our strategy for mitigating constant narrowband RFI is simply to identify the channels that are affected, and to exclude them from further processing. This channel rejection is typically performed manually by looking at a set of spectra and identifying obviously infected channels, which are then automatically excluded in subsequent processing runs.

Intermittent RFI is often quite difficult to automatically distinguish from genuine astronomical pulses, and we followed a conservative approach to try to ensure that we do not accidentally excise dispersed pulses. Our statistic for intermittent RFI is the variance of a single channel over a 10 minute data chunk, $\sigma_i^2 = \left( \frac{1}{T_0} \sum_{t=0}^{T_0-1} (P''_i(t)) \right)^2 - \left( \frac{1}{T_0} \sum_{t=0}^{T_0-1} P''_i(t) \right)^2$. Curve-fitting determines a $\sigma_i^2$ outside which it is likely that channel $i$ contains time-varying RFI. Future reprocessing will likely use a more robust method, such as that based on a kurtosis estimator \[7\].

Our final RFI mitigation technique is manual – in
our results it is easy to see high-$\sigma$ hits that are a result of RFI: these hits appear as simultaneous detections at many dispersion measures.

3.3. Detection of Giant Pulses from the Crab Nebula

A suitable test of transient detection capability is to observe the Crab pulsar and attempt to detect giant pulses from it.

Figure 5 shows a diagnostic plot generated from a one-hour Crab observation. The data is an incoherently summed set from the 35 best inputs. The diagnostic plot was generated after the raw data had been dedispersed using a range of dispersion measures from 5 to 200. The Crab pulsar has dispersion measure $\approx 57$ cm$^{-3}$ pc, so three giant pulses from the Crab pulsar can be easily identified in the lower plot. The giant pulses appear only at the expected dispersion measure, whereas wideband RFI appears across a wide range of dispersion measures.

Figure 6: A giant pulse from the pulsar in the Crab nebula. This pulse was detected in a 60-minute dataset taken on 22 December 2007. The dispersion of the pulse, correctly corresponding to DM = 56.78 cm$^{-3}$ pc, is clearly visible.

4. Astropulse

4.1. Algorithm

Astropulse uses a unique approach to the problem of searching for dispersed pulses. This problem is well known to pulsar astronomers, and many solutions have been devised over the years. The traditional method of searching for pulses of unknown dispersion measure (DM) is through incoherent de-dispersion, which is basically a filter bank - for each channel, the power is measured and integrated, then appropriate delays are added to compensate for the dispersion. This can be made very efficient through the use of a binary tree type algorithm. We use a coherent de-dispersion algorithm, which preserves the phase of the signal, thus increasing sensitivity. It also allows us to have much better time resolution (down to the band limit at 0.4 $\mu$s) than incoherent methods, whose theoretical maximum time resolution is determined by the individual channel bandwidths. (In practice, the time resolution for pulsar searches is typically 100 $\mu$s or greater.) The problem with coherent de-dispersion is that it is very computationally intensive. We are able to afford this by implementing the pulse search in a distributed computing environment.

The coherent de-dispersion process is basically a convolution. The time domain data needs to be convolved with an appropriate chirp function in order to remove the dispersion smearing effect.

\[
\delta t = \exp(2\pi i(\nu t) \Delta t)
\]

Here, $\delta t$ is the amount of time stretching caused by dispersion over a bandwidth of $\Delta \nu$, which can be determined from equation[1].

\[
f_{\Delta t}(t) = \exp(2\pi i\nu t) \exp(\frac{t}{\Delta \nu})
\]
The most efficient way to do this is through the use of FFT convolution. Here is a brief description of the Astropulse detection algorithm:

1. Take 13.4 s time-domain data, perform FFT on 32k-sample chunks. Overlap chunks by 50% to recover pulses which span a chunk boundary. Save results on disk for later use.
2. For each $DM$,
   (a) Multiply frequency domain data by correct chirp.
   (b) Inverse FFT to go back to the time domain.
   (c) Threshold the (de-dispersed) time data, recording strong pulses.
   (d) Co-add adjacent bins, and threshold to look for broad pulses.
   (e) Search repeating pulses by using a folding or harmonic search algorithm.
3. Repeat for next $DM$.
4. When $DM$ range is covered, start at step 1 for next 13.4 s of data.

The optimal step size for $DM$ is to step by the amount of dispersion which would cause 1 extra time sample length of stretching. Using the above expression for $\delta t = 0.4 \mu s$, this gives us a $DM$ resolution of 0.055 pc cm$^{-3}$. We want to cover $DM$s from 55 pc cm$^{-3}$ to 830 pc cm$^{-3}$, which corresponds to 14,000 $DM$ steps at this resolution. ($DM$s smaller than about 55 are inaccessible to us, or to any search that employs one-bit sampling.)

The maximum $DM$ we wish to search determines the length of the FFTs. We want to look at $DM$ up to 830 pc cm$^{-3}$, which will cover most of the galaxy. Using the same formula, this is a time stretch of $\delta t = 5600 \mu s$, or about 14,000 samples. Our FFT size should be at least twice this big, so we will use 32k point FFTs.

A FFT takes about $5N \log N$ floating point operations (FLOPs) to compute, where $N$ is the number of points in the FFT. We are doing $N = 2^{15}$ point FFTs, and we need to do one inverse transform for each $DM$, plus one original forward transform. In addition, there are another $N$ multiplies per $DM$ for the chirp function, and a factor of 2 for the 50% overlap. This makes our total number of operations for a 32k sample chunk of data:

$$N_{ops} = 2(N_{DM} + 1)N \log N + 2N_{DM}N \approx 1.8 \times 10^{10}$$

To calculate how much computation we would need to analyze the data in real time, divide the above number by 0.013 s (length of 32k samples). We need another factor of 14 from multiple beams (7) and multiple polarizations (2). Inefficiencies in memory allocation processes may contribute another factor of 5. In all, 100 TeraFLOP/s or more may be required. For comparison, an average desktop PC can compute at a speed of about 1 GigaFLOP/s.

This analysis does not take into account the amount of computation required for folding. Astropulse folds on two different time scales, spending enough time on these to triple the overall computation requirement.

This computation would take far too long on one computer, or even on a modest sized Beowulf cluster. Our solution to the problem is to distribute the data publicly to volunteers who download a program which will analyze it on their home computers. This is set up as a screen saver, which will turn on and start computing when their computers would otherwise be idle.

This approach has been remarkably successful for SETI@home, which has an average computation rate of about 40 TeraFLOP/s.

We are able to implement this though the BOINC software platform, also developed by our group at Berkeley. The package, the Berkeley Open Infrastructure for Network Computing (BOINC), is a general purpose distributed computing framework. It takes care of all the “management” aspects inherent to a distributed computing project - keeping records of user accounts, distribution of data and collection of results over the network, error checking via redundant processing, sending out updates of the science code, etc. Many lessons learned over the course of running the original SETI@home project went into BOINC, so that new groups wishing to start computing projects don’t have to “re-learn” these.

An interesting aspect of running a public distributed computing project is that it has education and public outreach automatically built into it. People invest their computer time into the project, and as a result become interested in learning more about the science. SETI@home has been enormously successful in this regard - the website averages 1.25 million hits per day, and the project has spawned many internet discussion groups and independent websites. It is also used as part of the science curriculum by thousands of K-12 teachers nationwide.

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