Obtaining the Full Unitarity Triangle from $B \rightarrow \pi K$ Decays

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We present a method of obtaining the entire unitarity triangle from measurements of $B \rightarrow \pi K$ decay rates alone. Electroweak penguin amplitudes are included, and are related to tree operators. Discrete ambiguities are removed by comparing solutions with independent experimental data. The theoretical uncertainty in this method is rather small, in the range 5–10%.

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Within the standard model (SM), CP violation is due to a complex phase in the Cabibbo-Kobayashi-Maskawa (CKM) quark mixing matrix. It has become standard to parametrize this phase information using the unitarity triangle, whose apex is given by the CKM parameters ($\rho, \eta$), and in which the interior (CP-violating) angles are known as $\alpha, \beta$ and $\gamma$ [1]. One of the most important tasks in high-energy physics is to measure these quantities, and to test whether the SM explanation is correct.

Many methods have been proposed for getting $\alpha, \beta$ and $\gamma$ (or, equivalently, $\rho$ and $\eta$). Most require the measurement of CP-violating asymmetries in hadronic $B$ decays [2]. The most promising of these involve decays which are dominated by a single decay amplitude (e.g. $\pi K$ decays [5]). Unfortunately, this analysis neglects electroweak penguin contributions, with different weak phases, thus spoiling the cleanliness of the methods [2].

A number of years ago, it was shown that an isospin analysis of $B \rightarrow \pi \pi$ decays allows one to remove the penguin “pollution” in $B^0_d(t) \rightarrow J/\psi K_s, \phi K_s$. However, a great many decays receive both tree and penguin contributions, with different weak phases, thus spoiling the cleanliness of the methods [2].

Recently, it was shown that, by using Fierz transformations and SU(3) symmetry, it is possible to relate EWPs to tree operators [14, 11]. In light of this, the $B \rightarrow \pi K$ analysis can be resuscitated and improved. As we will show, the entire unitarity triangle can be obtained from measurements of the $B \rightarrow \pi K$ decay rates alone! In general, the discrete ambiguities can be removed by comparison with experimental data. The theoretical error in this method is rather small, in the range 5–10%.

Using isospin, the $B \rightarrow \pi K$ amplitudes satisfy a quadrilateral relation:

$$A^{\pm 0} + \sqrt{2} A^{0+} = \sqrt{2} A^{00} + A^{-+},$$

where we have defined $A^{ij} \equiv A(B \rightarrow \pi^i K^j)$. The CP-conjugate amplitudes $A^\dagger$ satisfy a similar relation (note: $A^{\pm 0}$ corresponds to $B^- \rightarrow \pi^- K^0$, etc.). It is possible to express all amplitudes in terms of a number of distinct operators. This is equivalent to a description in terms of diagrams [3]. Neglecting the exchange- and annihilation-type diagrams, which are expected to be small for dynamical reasons, but including EWP’s, there are five diagrams which contribute to $B \rightarrow \pi K$ decays [3, 12]: (1) a color-favored tree amplitude $T$, (2) a color-suppressed tree amplitude $C$, (3) a gluonic penguin amplitude $P$, (4) a color-favored electroweak penguin amplitude $P_{EW}$, and (5) a color-suppressed electroweak penguin amplitude $P_{EW}^\prime$ [13]. The $B \rightarrow \pi K$ amplitudes can then be written [12]

$$A^{\pm 0} = P - \frac{1}{3} P_{EW}^C,$$

$$\sqrt{2} A^{0+} = -P - T e^{i\gamma} - C e^{i\gamma} - P_{EW} - \frac{2}{3} P_{EW}^C,$$

$$\sqrt{2} A^{00} = P - T e^{i\gamma} - P_{EW} - \frac{1}{3} P_{EW}^C,$$

$$A^{-+} = -P - T e^{i\gamma} - \frac{2}{3} P_{EW}^C.$$  

Here we have explicitly written the weak phase ($\gamma$), while $P, T$, etc. implicitly include strong phases. To obtain the amplitudes $A^{ij}$ for the CP-conjugate processes, one simply changes the sign of the weak phases. We have assumed that the $b \rightarrow s$ penguin contribution $P$ is dominated by the internal $t$-quark, so that it has no weak phase in the Wolfenstein parametrization [1] (the EWPs’
are known to be dominated by the internal $t$-quark). In this case the amplitudes $A^{t0}$ and $A^{t0}$ are identical.

In the above, the (complex) $B \to \pi K$ amplitudes are written in terms of the six complex theoretical quantities $P$, $T$, $C$, $P_{EW}$, $P_{EW}^C$ and $e^{i\gamma}$. First, suppose that EWP’s are absent. In this case it is possible to invert the expressions for the amplitudes in order to write the theoretical quantities in terms of the magnitudes and relative phases of four of the $A^j$ and $\bar{A}^j$. Now, in order to obtain the relative phases, we must fix the $A$-quadrilateral and the $\bar{A}$-quadrilateral, and know their relative orientations. In order to do this, we need two additional (real) relations involving the $A^j$ and $\bar{A}^j$. In the absence of EWP’s, such relations exist: They are:

$$A^{t0} + \sqrt{2} A^{00} = \bar{A}^{t0} + \sqrt{2} \bar{A}^{00},$$
$$\sqrt{2} A^{00} + \sqrt{2} A^{0+} = \sqrt{2} \bar{A}^{00} + \sqrt{2} \bar{A}^{0+},$$

where $\bar{A}^j \equiv e^{2i\gamma} A^j$. (A third relation, not independent, is $A^{++} + A^{--} = \bar{A}^{++} + \bar{A}^{--}$.) The first equation above indicates that the $A$- and $\bar{A}$-quadrilaterals share a common diagonal, the isospin-3/2 amplitude:

$$A_{3/2} = A^{++} + \sqrt{2} A^{00} = - (T + C) e^{i\gamma}. \quad (4)$$

Obviously, since the diagonals are common to both quadrilaterals, they have the same length. The second relation is used to determine this length. With this knowledge, we can fix the $A$- and $\bar{A}$-quadrilaterals, and determine their relative orientations. Thus, in the absence of EWP’s, it is possible to solve for the six theoretical quantities: $|P|$, $|T|$, $|C|$, two relative strong phases, and $\gamma$. This is the NQ method. 2.

Unfortunately, in the presence of EWP’s, it is no longer possible to do this. 2 12. In this case there are six theoretical quantities, but only five independent amplitudes in Eq. 2. Thus, it is impossible to express the theoretical quantities in terms of the $A^j$ and $\bar{A}^j$. (It is also straightforward to verify that Eqs. 6 above no longer hold if $P_{EW}$ and $P_{EW}^C$ are nonzero.) It therefore appears impossible to obtain weak phase information from $B \to \pi K$ decays.

Fortunately, to a good approximation, the EWP’s are not independent quantities — $P_{EW}$ and $P_{EW}^C$ can be related to $T$ and $C$. Briefly, the argument goes as follows 10 11. The SM effective weak hamiltonian for $B \to \pi K$ decays is:

$$H'_{eff} = G_F \left[ \frac{V_{ub} V_{ts}^* (c_1 O_1 + c_2 O_2)}{\sqrt{2}} - \sum_{i=3}^{10} V_{ib} V_{ts}^* c_i O_i \right] + h.c. \quad (5)$$

In the above, $O_1$ and $O_2$ are $(V - A) \times (V - A)$ tree operators, while $O_7 - O_{10}$ describe the electroweak penguin operators. $O_7$ and $O_8$ have the Lorentz structure $(V - A) \times (V + A)$, while $O_9$ and $O_{10}$ are $(V - A) \times (V - A)$. However, the Wilson coefficients $c_7$ and $c_8$, which multiply $O_7$ and $O_8$, are much smaller than $c_9$ and $c_{10}$ 14:

$$c_7 = 3.49 \times 10^{-4}, \quad c_8 = 3.72 \times 10^{-4}, \quad c_9 = -9.92 \times 10^{-3}, \quad c_{10} = 2.54 \times 10^{-3}. \quad (6)$$

Thus, the EWP’s are approximately given purely by $O_9$ and $O_{10}$. Furthermore, these operators can be Fierz-transformed into $O_1$ and $O_2$, since all have a $(V - A) \times (V - A)$ structure. Therefore the EWP’s are related to the tree operators.

There are two independent relations between EWP’s and tree operators. Ignoring exchange- and annihilation-type diagrams once again, they are given by 11:

$$P_{EW}(B^+ \to \pi^+ K^0) + \sqrt{2} P_{EW}(B^+ \to \pi^0 K^+) = \frac{c_9 + c_{10} (T + C)}{c_1 + c_2 \left| V_{ub} V_{us} \right|},$$
$$P_{EW}(B^0 \to \pi^- K^+) + P_{EW}(B^+ \to \pi^+ K^0) = \frac{1 - c_9 (T - C)}{2 c_1 + c_2 (V_{ub} V_{us})} + \frac{1 - c_9 (T + C)}{2 c_1 + c_2 (V_{ub} V_{us})}. \quad (7)$$

Using the expressions for the $B \to \pi K$ amplitudes given in Eq. 2, these give

$$P_{EW} = \frac{3 c_9 + c_{10}}{4 \left| V_{ub} V_{us} \right|} R(T + C) + \frac{3 c_9 - c_{10}}{4 \left| V_{ub} V_{us} \right|} R(T - C),$$
$$P_{EW}^C = \frac{3 c_9 + c_{10}}{4 \left| V_{ub} V_{us} \right|} R(T + C) - \frac{3 c_9 - c_{10}}{4 \left| V_{ub} V_{us} \right|} R(T - C) \quad (8)$$

where $R \equiv \frac{|V_{ub} V_{us}|}{|V_{tb} V_{ts}|}$. These provide the relations between the diagrams $P_{EW}$ and $P_{EW}^C$ and the tree operators $T$ and $C$.

In Refs. 10 11, a numerical value is taken for $R$. But in our method, this is not necessary. Instead, we keep the general expression

$$\left| \frac{V_{tb} V_{ts}}{V_{ub} V_{us}} \right| = \frac{1}{\lambda^4 \sqrt{\rho^2 + \eta^2}}. \quad (9)$$

As we will see below, this allows us to improve considerably upon the original NQ method.

The $B \to \pi K$ amplitudes are now written in terms of the five (complex) theoretical quantities $P$, $T$, $C$, $e^{i\gamma}$ and $\sqrt{\rho^2 + \eta^2}$. One can invert these expressions to write the theoretical parameters in terms of five independent $A^j$ and $\bar{A}^j$ amplitudes 13. However, as discussed earlier, in order to determine these parameters, one needs two additional (real) relations to fix the two quadrilaterals and their relative orientation. There are several ways to obtain these. One is to note that, at this stage, $e^{i\gamma}$ and $\sqrt{\rho^2 + \eta^2}$ are simply arbitrary complex quantities, and are expressed in terms of the $A^j$ and $\bar{A}^j$. However, there are physical constraints on these parameters. They are:

$$|e^{i\gamma}| = 1, \quad \text{Im} \left( \sqrt{\rho^2 + \eta^2} \right) = 0. \quad (10)$$
These provide the relations necessary to fix the relative orientations of the two quadrilaterals.

Note that, in light of the relations between EWP’s and tree operators, the $A_{3/2}$ amplitude in Eq. (10) is given by

$$A_{3/2} = -(T + C) \left[ e^{i\gamma} + \frac{3 c_9 + c_{10}}{2} c_1 + c_2 \right]. \quad (11)$$

This is one of the diagonals of the $A$-quadrilateral. The corresponding diagonal in the $\bar{A}$-quadrilateral is given by the above expression, but with $\gamma \rightarrow -\gamma$. The relations in Eq. (10) imply that $|A_{3/2}| = |\bar{A}_{3/2}|$, as was true for the case without EWP’s. The magnitudes and relative phases of the $B \rightarrow \pi K$ amplitudes are therefore obtained by measurements of the branching ratios and the construction of the $A$- and $\bar{A}$-quadrilaterals. This allows us to obtain all the theoretical parameters.

The key point is that there is enough information in the $B \rightarrow \pi K$ system to extract the values of seven theoretical parameters: the magnitudes of $P, T$ and $C$, two relative strong phases, and two pieces of weak-phase information (which we take to be $\gamma$ and $\sqrt{p^2 + \eta^2}$) \[10\]. Note that the knowledge of $\gamma$ and $\sqrt{p^2 + \eta^2}$ is sufficient to pin down the shape of the unitarity triangle. Thus, one can obtain the full unitarity triangle (up to discrete ambiguities) from measurements of the $B \rightarrow \pi K$ rates alone.

We now demonstrate numerically how the method works. Ideally, we would use current experimental data. Unfortunately, although the various branching ratios have been measured, no significant partial-rate asymmetries have yet been observed \[11\], and our method requires at least one measurement of direct CP violation. We therefore generate values for the “experimental measurements” by assuming input values for $P, T$, etc. We choose

$$|P| = 1.0, \quad \delta_P = -18.0^\circ, \quad |T| = 0.3, \quad \delta_T = 2.0^\circ,$$

$$|C| = 0.05, \quad \delta_C = 102.0^\circ, \quad \rho = 0.18, \quad \eta = 0.38. \quad (12)$$

With these inputs, we find

$$|A^{+0}| = |\bar{A}^{+0}| = 1.00, \quad |A^{0+}| = 0.86, \quad |\bar{A}^{0+}| = 1.00,$$

$$|A^{00}| = 0.62, \quad |\bar{A}^{00}| = 0.57, \quad |A^{-+}| = 1.07, \quad |\bar{A}^{-+}| = 1.22. \quad (13)$$

Here we have taken the values for $c_9$ and $c_{10}$ given in Eq. (6), along with $c_1 = 1.144$ and $c_2 = -0.308$ \[12\].

Given this “experimental data,” we can solve the system for our seven theoretical unknowns. Since the equations are nonlinear, there will be many discretely-ambiguous solutions. For the “data” in Eq. (13), we find 16 solutions. Half of these yield unitarity triangles which point down, i.e. $\eta < 0$. However, we know from the kaon system that $\eta > 0$ \[17\]. We therefore exclude solutions with $\eta < 0$. The 8 remaining solutions are shown in Table II (Note: we have solved the system for many different inputs [Eq. (12)]. In all cases, we find either 16 or 8 solutions, half of which can be rejected because $\eta < 0$.)

Now, if the SM is correct, there are several constraints which these putative solutions must satisfy. First, CP violation in $B_d^0(t) \rightarrow J/\psi K_s$ has been measured, yielding a world average of $\sin 2\beta = 0.736 \pm 0.049$ \[18\]. Any solution in Table II which does not give a value for $\sin 2\beta$ in its 3$\sigma$ range is excluded. Second, the latest 95% c.l. range for $S = \sqrt{p^2 + \eta^2}$ is $0.356 \leq S \leq 0.452$ \[19\]. An acceptable solution must give a value for $S$ in this range. Finally, some solutions can be eliminated by making the mild theoretical assumption that $|P| > |T| > |C|$. (This constraint is not essential — we find that solutions which do not satisfy this condition generally also violate one of the experimental constraints.)

In the particular case of Table II, the experimental constraints alone eliminate all solutions except (3) (the true solution). Indeed, in almost all of the cases we studied, in which we varied the inputs in Eq. (12), we found that only a single solution remained after imposing the constraints. Thus, it is in fact possible to obtain the full unitarity triangle from measurements of the $B \rightarrow \pi K$ rates alone.

Note that it is also possible to measure independently the indirect CP asymmetry in $B_d^0(t) \rightarrow \pi^0 K_s$:

$$A_{\pi K}^{indir} = \frac{\text{Im} \left( \frac{e^{-2i\beta} A^{00*}}{A^{00}} \right)}{|A^{00}|}. \quad (14)$$

The knowledge of this quantity will provide a crosscheck to the solution(s) found above. If two solutions happen to be found, then one can in principle distinguish between them through the measurement of $A_{\pi K}^{indir}$. And if one finds only a single solution using the above method, $A_{\pi K}^{indir}$ furnishes an independent check of this solution.

It is possible that no solution is found which satisfies all the constraints and independent measurements. This would then be evidence for physics beyond the SM. Indeed, the present measurement of the indirect CP asymmetry in $B_d^0(t) \rightarrow \phi K_s$ may be showing signs of new physics: although the BaBar measurement is in agreement with the SM prediction (within errors), the BELLE measurement disagrees at the level of 3.5$\sigma$ \[18\]. If this discrepancy with the SM is confirmed, this would point specifically to new physics in the $b \rightarrow s$ penguin amplitude \[20\]. Since $B \rightarrow \pi K$ decays also involve $b \rightarrow s$ penguin diagrams, they would also be affected by this new physics. In particular, we would expect to find a discrepancy in the values of the parameters of the unitarity triangle as extracted using the above method and in other, independent measurements (e.g. $\sin 2\beta$).

Although there is some theoretical input in this method, the uncertainty is rather small. There are three sources of theoretical error. First, we ignore annihilation-
TABLE I: The 8 sets of theoretical parameters which reproduce the “experimental data” of Eq. (13). We also give the predicted values of each set for sin 2β, S = √ρ^2 + η^2 and A_{πK}^{indiv}, the indirect CP asymmetry in B^0_d(t) → π^0K_s.

|   | |P| | |T| | |C| | |δP - δT| | |δT - δC| | |ρ| | |η| | |sin 2β| | |S| | |A_{πK}^{indiv}|
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
|1 | 0.96 | 0.42 | 0.33 | -126.5° | -28.0° | -0.56 | 0.17 | 0.21 | 0.59 | -0.41 |
|2 | 0.98 | 1.97 | 1.74 | -21.0° | -149.2° | -7.33 | 0.99 | 0.23 | 7.40 | 0.31 |
|3 | 1.0 | 0.3 | 0.05 | -20.0° | -100.0° | 0.18 | 0.38 | 0.76 | 0.42 | -0.80 |
|4 | 1.01 | 1.90 | 0.43 | -31.9° | -55.0° | -1.91 | 0.18 | 0.12 | 1.91 | -0.09 |
|5 | 1.02 | 1.70 | 0.55 | -46.7° | -26.7° | -0.96 | 0.07 | 0.07 | 0.96 | -0.03 |
|6 | 1.02 | 1.60 | 0.23 | -4.6° | -8.6° | -0.88 | 0.91 | 0.78 | 1.27 | -0.48 |
|7 | 1.08 | 2.05 | 0.59 | -5.1° | -2.4° | -0.68 | 0.37 | 0.42 | 0.77 | 0.24 |
|8 | 1.38 | 3.12 | 1.18 | -5.5° | -0.6° | -0.28 | 0.06 | 0.10 | 0.29 | -0.67 |

and exchange-type diagrams, leading to an error of O(1%) [12]. Second, we neglect the Wilson coefficients c7 and c8 compared to c9 and c10, giving an error of about 4% [see Eq. (8)]. Finally, one must take SU(3)-breaking effects into account in Eq. (8). Ref. [10] estimates such effects, and finds them to be roughly 5%. Thus, depending on how one adds all the uncertainties, the net theoretical error in this method is in the range 5–10%.

Finally, we must note that experimental errors in the B → πK branching ratios may make it challenging to implement this method in practice. However, by performing a fit to all experimental data, including constraints from sin 2β and √ρ^2 + η^2, it should be possible to extract the full unitarity triangle.

In summary, we have presented a method of obtaining the entire unitarity triangle from measurements of B → πK rates alone. It relies on a relation between electroweak penguin amplitudes and tree operators. One can distinguish among discretely-ambiguous solutions by using independent experimental determinations of sin 2β and √ρ^2 + η^2. The theoretical uncertainty is rather small, in the range 5–10%. At present, although B-factories have measured the B → πK rates, no difference between the B and B̄ branching ratios has been observed yet. As soon as one observation of direct CP violation in B → πK decays is made, it should be possible to extract the full unitarity triangle using this method.

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