Effect of Lactobacillus Fermentum as a Probiotic Agent on Bone Health in Postmenopausal Women

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Background: Probiotics are live microorganisms that confer health benefits on the host. Many animal studies have shown that among the probiotics, lactobacilli exert favorable effects on bone metabolism. Herein, we report the results of a randomized controlled trial performed to investigate the effect of Lactobacillus fermentum (L. fermentum) SRK414 on bone health in postmenopausal women.

Methods: The bone turnover markers (BTMs) and bone mineral density (BMD) in participants in the study group (N = 27; mean age, 58.4 ± 3.4 years) and control group (N = 26; mean age, 59.5 ± 3.4 years) were compared during a 6-month trial. BTMs were measured at pretrial, 3 months post-trial, and 6 months post-trial, while BMD was measured at pre-trial and 6 months post-trial. Changes in the gut microorganisms were also evaluated.

Results: Femur neck BMD showed a significant increase at 6 months post-trial in the study group (P = 0.030) but not in the control group. The control group showed a decrease in osteocalcin (OC) levels (P = 0.028), whereas the levels in the study group were maintained during the trial period. The change in L. fermentum concentration was significantly correlated with that in OC levels (r = 0.386, P = 0.047) in the study group at 3 months post-trial.

Conclusions: Probiotic (L. fermentum SRK414) supplementation was found to maintain OC levels and increase femur neck BMD during a 6-month trial in postmenopausal women. Further studies with a larger number of participants and a longer study period are required to increase the utility of probiotics as an alternative to osteoporosis medication.

Key Words: Bone density · Osteocalcin · Osteoporosis, postmenopausal · Probiotics

INTRODUCTION

Osteoporosis is a chronic progressive bone disease characterized by decreased bone mass and quality that can lead to fragility fractures.[1] Postmenopausal osteoporosis is one of the most common forms of this condition. Hormonal deficiency, a drop in the level of estrogen, causes an imbalance between bone formation and resorption.[2] There are medications for treating osteoporosis. Despite the beneficial effects of these drugs on bone metabolism, their use is hindered by problematic side effects, including abnormal reactions in organs susceptible to estrogen, gastrointestinal trouble, atypical fractures, and injection site pain.[3]

Vitamin D and calcium are widely used as alternatives to prescribed drugs. However, studies have reported that calcium supplementation causes gastrointestinal symptoms and renal stones, and paradoxically, vitamin D might increase the risk
of falls and fractures.[4-7] Therefore, alternative supplements are required.

Probiotics have recently come into the spotlight because they have favorable effects on bone metabolism. The Food and Agriculture Organization and World Health Organization define probiotics as live microorganisms that, when administered in adequate amounts, confer a health benefit on the host.[8] Although the exact mechanism of action of probiotics remains unclear, several studies have suggested that beneficial bacteria not only modify the composition of the metabolic activity of the gut microbiota but also modulate immunity at local and systemic levels.[9,10] Probiotic modulation of the gut microbiota can lead to modulation of gut serotonin causing bone formation by increasing calcium absorption and decreasing bone resorption.[11]

Promising results have been reported in animal studies in which probiotics were administered to affect bone metabolism.[12] However, inconsistent outcomes in human studies have hindered the use of probiotics to increase bone mineral density (BMD) by balancing bone metabolism. Appropriate selection of probiotic microorganisms is needed to cause the intended effects in the human body. Lee CS and, Kim SH from Korea University showed the anti-inflammatory and anti-osteoporotic potential of Lactobacillus fermentum (L. fermentum) SRK414 in an animal study. Therefore, in this study, we aimed to investigate the effects of L. fermentum SRK414 on bone health after 6 months of administration in postmenopausal women. We hypothesize that L. fermentum SRK414 would increase the level of bone turnover markers (BTMs) and possibly increase BMD as well.

**METHODS**

1. **Study participants**
   
   This prospective, randomized, double-blind, placebo-controlled study was approved by the Institutional Review Board (IRB) of our hospital (IRB no. B1904-532-004). This study was conducted from August 2019 to June 2020. Informed consent was obtained from all participants following a detailed explanation. Inclusion criteria were as follows: (1) older than 55 years; (2) postmenopausal women defined as not having had menstruation for more than 12 months from natural cause; (3) all of the T-scores were higher than –2.5, including femur neck, femur total, and lumbar spine; (4) those not on osteoporosis medication. Exclu-
sion criteria were as follows: (1) postmenopausal women with pathological gynecologic or endocrinologic causes; (2) those who were already taking osteoporosis medication; (3) steroid use or any other medication that could affect bone metabolism; (4) those with gastrointestinal disease, renal disease, or other diseases that could affect bone metabolism; and (5) dropouts during follow-up.

Power analysis and sample size calculation were performed based on a study by Nilsson et al. 2018. N-terminal telopeptide level in the lactobacillus treatment study and control groups were used; average in the study and control groups were 0.35 (standard deviation [SD], 3.8) and 4.6 (SD, 7.3), respectively. An α level of 0.05 and β error probability of 0.2 were used in the G*Power test. A sample size of 23 patients in each group was recommended; however, considering a follow-up loss rate of 40%, we collected 38 patients in each.

2. Randomization of the participants and group assignment

Participants were randomly assigned to 2 groups, i.e., the study group and control group, using a double-blinded process. Each participant was randomly assigned to a group using a randomization program. Those in the study group took a probiotics capsule (L. fermentum SRK414, $4.0 \times 10^9$ CFU),[13] and the control group took a placebo capsule (microcrystalline cellulose) twice a day before meals for 6 months. The placebo capsules had the same appearance and smell as the probiotics capsules. Both types of capsules weighed 280 mg and were refrigerated at 4 to 8°C. All participants were advised not to take any other osteoporosis drugs, and not to change their routine diet or physical activity.

3. Data collection

Demographic data and intake adherence rates were recorded. All the participants were instructed to report any adverse side effects during capsule intakes. Only those patients with a compliance rate higher than 70% were included in the data analysis. All participants underwent dual energy X-ray absorptiometry (DXA; GE Lunar, Madison, WI, USA) examination at pretrial and post-trial 6 months. DXA was performed by a single experienced examiner in the radiological department to reduce errors, and DXA reports were also performed by an experienced radiologist. BMD was measured at the femur neck, total femur, and total lumbar spine. BTMs were examined at pretrial, post-trial 3 months, and post-trial 6 months, including serum C-terminal telopeptide (CTX), osteocalcin (OC), bone-specific alkaline phosphatase, alkaline phosphatase, and estrogen. Each blood sample was obtained at 10 AM to exclude the possible effect of diurnal variation in BTMs. Gut microbiota concentration was measured using next-generation sequencing at pre-trial, post-trial 3 months, and post-trial 6 months.

4. Statistical analysis

Descriptive statistics including mean and SD, were conducted for all the datasets. Data normality was analyzed using the Shapiro-Wilk test. The study and control groups were compared using the t-test for continuous variables using both intention to treat (ITT) and per protocol (PP) analysis. To identify the within-group difference between pre-trial and post-trial 3 months, and post-trial 6 months in the control and study groups, a repeated measures ANOVA test and paired t-test were adopted. The correlation between changes in BTMs and BMD was investigated using Pearson’s correlation coefficient. All statistical analyses were performed using SPSS version 20.0 (IBM Corp., Armonk, NY, USA), and statistical significance was set at P value of less than 0.05 (2-sided).

RESULTS

A total of 53 patients (27 in the study group and 26 in the control group) were included in the analysis. Out of 17 participants who were excluded, 3 participants were on steroid medication, 1 participant was on antibiotics treatment, 6 participants had gastrointestinal disease, 3 participants had gynecologic disease, and 4 participants had endocrinologic disease. Five dropouts occurred during the study. Some participants complained of gastrointestinal problems including constipation and fullness in the stomach. However, these symptoms were not severe and occurred in both the study and control groups (Fig. 1). The baseline characteristics of age, height, BMD, and BTMs were not significantly different between the study and control groups, except for body weight and body mass index (BMI) both in ITT and PP analysis (Table 1, 2).

Although BMD did not show a significant change at post-trial 6 months in the control group, femur neck BMD showed a significant increase at post-trial 6 months in the study
group (P=0.030 in PP analysis P=0.043 in ITT analysis) (Table 3, 4). In the control group, OC significantly decreased during the 6-months trial period (P=0.028 both in ITT and PP analysis) while there was no significant change in CTX. In the study group, neither OC nor CTX showed any significant change over the 6-months study period (Table 5, 6).  
No significant difference in BTM was observed between the study and control groups at post-trial 3 months. In addition, there were no significant differences in BTM or BMD at post-trial 6 months. The OC of the study group tended to be greater than that of the control group at post-trial 6 months but the difference did not reach statistical significance (P=0.091 in PP analysis P=0.072 in ITT analysis) (Table 7, 8).

The L. fermentum concentration in the gut at post-trial 6 months differed between the study group (mean, 0.008257; SD, 0.040) and the control group (mean, 0.0000017; SD, 0.000), but the difference was not statistically significant (P=0.301). The change in L. fermentum concentration was significantly correlated with that of OC (r = 0.386, P = 0.047) at post-trial 3 months in the study group. However, no significant correlation was found between the change in L. fermentum and that in BMD or BTMs in the study group during the overall 6-months trial.

Fig. 1. Flow chart showing the selection of participants included in the final analysis. F/U, follow-up.

Table 1. Comparison of baseline characteristics between the study and control groups (per protocol)

| Variables          | Study group (N = 30) | Control group (N = 28) | P-value |
|--------------------|----------------------|------------------------|---------|
| Age (yr)           | 58.4 ± 3.4           | 59.5 ± 3.4             | 0.230   |
| Height (cm)        | 157.2 ± 5.3          | 156.4 ± 4.7            | 0.570   |
| Weight (kg)        | 60.1 ± 6.4           | 56.3 ± 5.1             | 0.020   |
| BMI (kg/m²)        | 24.3 ± 2.6           | 23.0 ± 2.0             | 0.041   |
| DXA                |                      |                        |         |
| Femur neck (g/cm²) | 0.688 ± 0.11         | 0.685 ± 0.09           | 0.906   |
| Femur total (g/cm³)| 0.841 ± 0.12         | 0.817 ± 0.11           | 0.421   |
| L-spine total (g/cm³) | 0.900 ± 0.10     | 0.876 ± 0.15           | 0.472   |
| Bone turnover markers |                  |                        |         |
| CTX (ng/mL)        | 0.57 ± 0.22          | 0.57 ± 0.16            | 0.693   |
| OC (ng/mL)         | 21.72 ± 8.01         | 21.50 ± 6.55           | 0.910   |
| BAP (U/L)          | 16.37 ± 5.90         | 15.43 ± 3.59           | 0.492   |
| ALP (IU/L)         | 77.11 ± 17.39        | 79.92 ± 14.14          | 0.522   |
| Calcium (mg/mL)    | 9.49 ± 0.23          | 9.61 ± 0.23            | 0.060   |
| Phosphorus (mg/mL) | 3.79 ± 0.35          | 3.95 ± 0.08            | 0.130   |
| 25(OH)D (ng/mL)    | 34.97 ± 13.62        | 36.57 ± 15.84          | 0.695   |

The data is presented as mean ± standard deviation.

BMI, body mass index; DXA, dual energy X-ray absorptiometry; CTX, C-terminal telopeptide; OC, osteocalcin; BAP, bone-specific alkaline phosphatase; ALP, alkaline phosphatase; 25(OH)D, 25-hydroxy-vitamin D.

Table 2. Comparison of baseline characteristics between the study and control groups (intention to treat)

| Variables          | Study group (N = 30) | Control group (N = 28) | P-value |
|--------------------|----------------------|------------------------|---------|
| Age (yr)           | 58.4 ± 3.1           | 60.1 ± 4.1             | 0.084   |
| Height (cm)        | 157.1 ± 5.0          | 155.9 ± 4.9            | 0.402   |
| Weight (kg)        | 59.8 ± 6.0           | 55.7 ± 5.5             | 0.009   |
| BMI (kg/m²)        | 24.2 ± 2.3           | 22.9 ± 2.2             | 0.036   |
| DXA                |                      |                        |         |
| Femur neck (g/cm²) | 0.690 ± 0.11         | 0.677 ± 0.09           | 0.634   |
| Femur total (g/cm³)| 0.845 ± 0.11         | 0.811 ± 0.10           | 0.244   |
| L-spine total (g/cm³) | 0.895 ± 0.10     | 0.876 ± 0.14           | 0.537   |
| Bone turnover markers |                  |                        |         |
| CTX (ng/mL)        | 0.59 ± 0.21          | 0.58 ± 0.17            | 0.897   |
| OC (ng/mL)         | 22.02 ± 7.91         | 21.52 ± 6.33           | 0.792   |
| BAP (U/L)          | 16.15 ± 5.67         | 15.71 ± 3.95           | 0.301   |
| ALP (IU/L)         | 76.97 ± 16.62        | 81.36 ± 15.31          | 0.738   |
| Calcium (mg/mL)    | 9.51 ± 0.26          | 9.60 ± 0.22            | 0.060   |
| Phosphorus (mg/mL) | 3.79 ± 0.35          | 3.95 ± 0.39            | 0.160   |
| 25(OH)D (ng/mL)    | 36.10 ± 13.35        | 36.93 ± 16.15          | 0.104   |

The data is presented as mean ± standard deviation.

BMI, body mass index; DXA, dual energy X-ray absorptiometry; CTX, C-terminal telopeptide; OC, osteocalcin; BAP, bone-specific alkaline phosphatase; ALP, alkaline phosphatase; 25(OH)D, 25-hydroxy-vitamin D.
This double-blind randomized controlled trial evaluated the changes in BTMs and BMD after 6 months of probiotic administration in postmenopausal women. Most of the measurements did not significantly between the 2 groups. However, considering the small number of cases and short-term follow-up period, *L. fermentum* SRK414 was found to have a potentially beneficial effect on OC and BMD of the femur neck during the trial period.

A significant within-group increase in BMD of the femur neck was observed in the study group, while no significant difference was observed in the control group after 6 months of the administration. However, there was no significant between-group difference in the pre- and post-trial BMDs. Considering the short time period, and the fact that an in-
crease in BMD was found only in the femur neck, we are unable to conclude that the femur neck BMD increased after drug administration. However, considering the spontaneous loss of 1% to 2% BMD in postmenopausal women, the BMD results of this study could be meaningful.[14] Furthermore, femur neck fractures could be more morbid than osteoporotic spine fractures, as the former frequently require surgical management.[15]

In previous animal studies, significant changes in BMD have been observed in the probiotics treatment group. [12,16] However, previous human studies have reported inconsistent effects of probiotics on BMD in the lumbar spine and hip. Jafarnejad et al. [17] showed no significant change in BMD after supplementation with probiotics whereas Lambert et al. [18] reported a beneficial effect on BMDs of the lumbar spine and femur neck. Another study revealed increased BMD of the lumbar spine and decreased BMD of the femur neck.[19] Our study is partially in agreement with previous studies, as only the femur neck BMD in the study group showed a significant within-group increase. These unpredictable results are attributed to the diversity of ethnic groups, sample size, characteristics of normal gut flora, constituents, and administration of probiotic strains or doses. Furthermore, most of the studies, including ours, were performed within 12 months, which might be too short to evaluate the change in BMD because probiotics are expected to have less efficacy than osteoporosis medications.

Contrary to previous studies that showed a significant decrease in CTX with probiotic administration, our study showed no significant change in CTX in both the control or study groups. However, compared to the decrease in OC levels in the control group, OC levels were maintained in the study group. [17,18,20] Furthermore, a significant correlation between the changed concentration of L. fermentum SRK414 and OC was observed at 3 months post-trial. In most cases, bone formation and resorption markers are coupled and change in the same direction. This finding could imply that L. fermentum SRK414 enhances bone formation more than resorption via other complicated mechanisms. OC is produced by osteoblast and osteocyte cells during bone formation.[21] OC is currently in the spotlight showing its capacity beyond bone metabolism in organs like myofibrillar fiber as well as muscle mass.[20] OC regulates bone quality by aligning biological apatite parallel to collagen fibrils, which is important for bone strength to load the direction of the long bones.[22] Further study is needed to determine the mechanism of OC. Additionally, the relationship between the effect of L. fermentum SRK414 on OC and increased femur neck BMD needs further study.

Although the mechanism of action between bone metabolism and probiotics has not yet been elucidated, ani-

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**Table 7. Comparison of BMD and bone turnover marker between the study and control groups during follow-up (per protocol)**

| Variables          | Study group (N=27) | Control group (N=26) | P value |
|--------------------|--------------------|----------------------|---------|
| Post-trial 3 months| CTX (ng/mL)        | 0.58 ± 0.22          | 0.58 ± 0.14 | 0.650 |
|                    | OC (ng/mL)         | 21.83 ± 9.87         | 21.63 ± 6.88 | 0.933 |
|                    | BAP (U/L)          | 14.88 ± 4.37         | 14.90 ± 3.92 | 0.890 |
|                    | ALP (IU/L)         | 80.48 ± 19.63        | 84.27 ± 16.87 | 0.455 |

Post-trial 6 months

| Variables          | Study group (N=27) | Control group (N=26) | P value |
|--------------------|--------------------|----------------------|---------|
|                    | Femur neck BMD     | 0.72 ± 0.15          | 0.69 ± 0.08 | 0.337 |
|                    | Femur total BMD    | 0.83 ± 0.12          | 0.81 ± 0.01 | 0.496 |
|                    | L-spine total BMD  | 0.89 ± 0.10          | 0.88 ± 0.15 | 0.715 |
|                    | CTX (ng/mL)        | 0.57 ± 0.24          | 0.54 ± 0.16 | 0.486 |
|                    | OC (ng/mL)         | 21.06 ± 6.97         | 18.01 ± 5.85 | 0.091 |
|                    | BAP (U/L)          | 15.68 ± 5.38         | 16.15 ± 4.45 | 0.738 |
|                    | ALP (IU/L)         | 80.56 ± 19.43        | 88.19 ± 20.57 | 0.171 |

The data is presented as mean ± standard deviation. CTX, C-terminal telopeptide; OC, osteocalcin; BAP, bone-specific alkaline phosphatase; ALP, alkaline phosphatase; BMD, bone mineral density.

**Table 8. Comparison of BMD and bone turnover marker between the study and control groups during follow-up (intention to treat)**

| Variables          | Study group (N=29) | Control group (N=26) | P value |
|--------------------|--------------------|----------------------|---------|
| Post-trial 3 months| CTX (ng/mL)        | 0.59 ± 0.21          | 0.56 ± 0.14 | 0.606 |
|                    | OC (ng/mL)         | 21.89 ± 9.51         | 21.63 ± 6.88 | 0.912 |
|                    | BAP (U/L)          | 15.10 ± 4.30         | 14.90 ± 3.92 | 0.856 |
|                    | ALP (IU/L)         | 81.24 ± 19.15        | 84.27 ± 16.87 | 0.539 |

Post-trial 6 months

| Variables          | Study group (N=29) | Control group (N=26) | P value |
|--------------------|--------------------|----------------------|---------|
|                    | Femur neck BMD     | 0.72 ± 0.15          | 0.69 ± 0.08 | 0.340 |
|                    | Femur total BMD    | 0.84 ± 0.16          | 0.81 ± 0.01 | 0.399 |
|                    | L-spine total BMD  | 0.89 ± 0.10          | 0.88 ± 0.15 | 0.798 |
|                    | CTX (ng/mL)        | 0.58 ± 0.23          | 0.54 ± 0.16 | 0.405 |
|                    | OC (ng/mL)         | 21.23 ± 7.03         | 18.01 ± 5.85 | 0.072 |
|                    | BAP (U/L)          | 15.75 ± 5.19         | 16.15 ± 4.45 | 0.763 |
|                    | ALP (IU/L)         | 80.85 ± 18.76        | 88.19 ± 20.57 | 0.173 |

The data is presented as mean ± standard deviation. CTX, C-terminal telopeptide; OC, osteocalcin; BAP, bone-specific alkaline phosphatase; ALP, alkaline phosphatase; BMD, bone mineral density.
mal studies have suggested that probiotic bacterial species can regulate pro-inflammatory cytokines (tumor necrosis factor-α, interleukin [IL]-6, IL-17, and receptor activator of nuclear factor-κB ligand) affecting osteoclast recruitment and activation in estrogen deficiency setting.[23-26] Other studies have reported that gut microbiota can mediate the gastrointestinal absorption of bone-related nutrients and stimulate the production of intestinally derived estrogens.[27,28] It is also supported by another study reporting that the probiotic effect on BMD was dependent on the postmenopausal period.[19] However, the maintenance of bone formation markers (OC) by probiotics shown in our study requires further investigation.

Osteoporosis is a common consequence of aging, and osteoporotic fractures can lead to substantial morbidity, mortality, and economic burden.[29-31] Although there are many effective pharmacological agents that could prevent osteoporotic fractures, low adherence and persistence are problematic, with less than 50% of the prescribed individuals on medication for one year.[32,33] Non-adherence is associated with an increased risk of fracture and higher medical costs.[33,34] In this context, probiotic agents are considered promising alternatives to pharmacological agents, and the results of this study could be promising. Our study showed a satisfactory compliance rate (Fig. 1) and benefits in BTMs and the femur neck with a low dose of *L. fermentum* SRK414 (4.5 × 10^9 CFU) compared to other probiotic studies (usually over 1.0 × 10^10 CFU). Further studies testing different doses of probiotics would help determine optimal dose for bone health.

This study has several limitations. First, the short-term follow-up period limits the generalizability of the study results. Second, there was a significant difference in BMI between the control group and the study group. This could have also affected the results of the study. Third, only one bacterial composition with a single dose was used in this study. Various doses of multiple species of probiotics would provide more information for choosing the most effective probiotics for bone health. Fourth, the condition of the normal gut flora, nutrition intake, and activities could affect the action of probiotics on bone metabolism. However, these possible confounding factors could not be controlled in this study.

This study has the following strengths. First, although there have been other human trials, there has not been a study on probiotics in South Korea. This is the first randomized control study on probiotics in South Korea. Race and nationality could be contributing factors to the mechanism and effect of probiotics on humans. Second, with the introduction of a supplement, safety is more important than efficacy. The fact that no major adverse events were recorded throughout the study shows the safety of *L. fermentum* probiotics on South Koreans. Third, we selected women with postmenopausal osteoporosis from natural causes which were not on osteoporosis medication. Postmenopausal osteoporosis is one of the most common causes of osteoporosis; thus, demonstrating the effects of probiotics on the participants is significant. Fourth, we directly measured the gut microbiota concentration of *L. fermentum* and evaluated the relationship between concentration, BMD, and BTM variables. Therefore, we were able to rule out other biases and observe the direct effect of probiotic concentration on bone metabolism. The clinical relevance of this study is that the results showed the safety and beneficial effect of probiotics on South Koreans.

In conclusion, probiotic (*L. fermentum* SRK414) supplementation maintained OC and femur neck BMD without any serious side effects during a 6-months trial in postmenopausal women. Further studies with a larger number of participants and a longer study period are required to increase the utility of probiotics as an alternative agent to osteoporosis medication.

**DECLARATIONS**

**Ethics approval and consent to participate**

The study protocol conformed to the ethical guidelines of the World Medical Association Declaration of Helsinki and was approved by the Institutional Review Board (IRB no. B1904-532-004).

**Conflict of interest**

No potential conflict of interest relevant to this article was reported.

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