Tuning the exchange interaction by an electric field in laterally coupled quantum dots

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Received 23 December 2008, in final form 15 April 2009
Published 7 May 2009
Online at stacks.iop.org/JPhysCM/21/235601

Abstract

The effect of an external electric field on the exchange interaction has been studied by an exact diagonalization method for two electrons in laterally coupled quantum dots (QDs). We have performed a systematic study of several nanodevices that contain two gate-defined QDs with different shapes and sizes located between source and drain contacts. The confinement potential is modeled by two potential wells with a variable range and softness. In all the considered nanodevices, the overall dependence of exchange energy $J$ on electric field $F$ is similar, i.e. for low fields $J$ increases with increasing $F$, while for intermediate fields $J$ reaches a maximum and then abruptly falls to zero if $F$ exceeds a certain critical value. However, the $J(F)$ dependence also shows certain characteristic properties that depend on the nanodevice geometry. We have found that the low- and intermediate-field behavior can be accurately parameterized by a linear function $J(F) = \alpha F + \beta$, where $\alpha$ is independent of the nanodevice geometry and softness of the confinement potential. We have shown that the linear relation appears only if the tunnel coupling between the QDs is weak, i.e. the interdot separation is sufficiently large. This relation becomes nonlinear for the strong interdot coupling. For specific nanodevices we have found that the $J(F)$ dependence exhibits a plateau in a broad electric-field regime. The properties of the exchange energy found in the present paper can be applied to all electrical manipulation of electron spin qubits.

(Some figures in this article are in colour only in the electronic version)

1. Introduction

Exchange interaction is one of the most characteristic quantum effects in many-electron systems. In natural atoms and molecules, it leads to a singlet–triplet splitting of energy levels and is responsible for the binding of atoms into molecules. In solids, it gives rise to the covalent bonding of elemental semiconductors and ferromagnetic properties of metals. In the absence of external fields, the exchange interaction in natural atomic systems is determined by the charges of the nuclei and the number of electrons and is essentially fixed. Man-made solid-state analogs of atomic systems, namely quantum dots (QDs), also called artificial atoms, and coupled QDs (artificial molecules) can be fabricated in various designed shapes and sizes. One can also change the depth and range of the potential confining the electrons. This gives us a unique opportunity of engineering the quantum states of electrons confined in the QDs and tuning the exchange interaction. The exchange interaction between electrons in QDs has been proposed as an effective mechanism for changing the electron spin, i.e. for performing quantum logic operations on spin qubits [1, 2]. This mechanism seems to be very promising in quantum information processing with solid-state nanodevices.

The investigation of the exchange interaction in the QD-based nanodevices allows us to elaborate the methods of controlling and tuning this interaction, which in turn leads to the controlled manipulation of the electron spin qubits [1–4]. Recently, the exchange-interaction-induced spin swap operations in coupled QDs have been simulated by a direct solution of a time-dependent Schrödinger equation [5]. The coherent manipulation of spin qubits in lateral QDs has been studied experimentally by Petta et al [4], Elzerman et al...
[6, 7] and Hayashi et al [8]. Hatano et al [9] determined the tunnel and exchange couplings in laterally coupled vertical QDs. The quantum logic operations can also be performed in nanowire double QDs [10].

The exchange energy is defined as

\[ J = E_T - E_S, \]  

where \( E_T \) and \( E_S \) are the lowest triplet and singlet energy levels, respectively. In the physics of solid-state nanodevices, exchange energy (1) plays a twofold role: (i) it determines the strength of the exchange interaction (Heisenberg interaction), which can swap the spin qubits [1–3, 5] and (ii) \( J \) can be treated as the exchange splitting (singlet–triplet splitting) that allows us to distinguish the different spin states of the electron system [4]. In both cases, we should know how to tune \( J \) by applying external fields.

In the coupled QD system, the exchange energy was calculated by several groups [2, 3, 11–27]. The effect of an external magnetic field was investigated in papers [2, 3, 18, 17, 21, 22]. A magnetic field, applied perpendicularly to the plane of the electron movement, lowers the energy of the triplet state, which decreases the exchange splitting. The asymmetry of the QDs gives rise to an enhancement of the exchange interaction [17, 22]. The size effects in the exchange coupling were studied in papers [23, 25, 27]. The increasing size of the coupled QD system leads to the decrease of the exchange energy [23, 25, 27]. For two identical elliptic QDs Zhang et al [22, 24] calculated the exchange energy as a function of aspect ratio \( r = R_y/R_x \), where \( R_x (R_y) \) is the extension of the QD in the \( x(y) \) direction. They obtained the increase of \( J \) with increasing \( r \) for \( r \leq 1.5 \) [22] and the sharp variation of \( J \) as a function of interdot detuning for \( r \geq 3 \) [24].

The influence of an external electric field on the exchange energy was studied in papers [3, 26] for vertically coupled self-assembled QDs. Burkard et al [3] assumed the harmonic confinement potential and calculated the exchange energy for the vertically coupled QDs using the Heitler–London and Hund–Mulliken techniques. These results [3] show the monotonic decrease of the exchange energy with the increasing in-plane electric field. Pedersen et al [23] calculated the exchange energy for the harmonic double-dot confinement potential using the numerically exact approach and showed the failure of standard approximations (i.e. Heitler–London, Hund–Mulliken and Hubbard) even for simple model systems. In the present paper, we have elaborated a numerical procedure that provides accurate results for the lowest-energy states of the two electrons in laterally coupled QDs. Using this method, we have performed a systematic study of QD nanodevices with different geometry and confinement potential profile. In this study, we have applied the confinement potentials with a different softness, i.e. we have taken into account a variable smoothness of the QD interface [28]. We have investigated a large class of realistic potentials with finite depth: from the soft Gaussian potential to the hard rectangular-like potential [27, 29].

A high fidelity of quantum logic operations on spin qubits can be achieved if the exchange interaction is possibly strong. This leads to the problem of designing such a nanodevice, in which the exchange interaction is maximal. A possibility of tuning the exchange interaction with the help of external fields is another important issue of quantum computing in solid-state nanodevices. In the present paper, we focus on the electric-field-induced tuning of the exchange interaction in laterally coupled QDs. We note that the electronic properties of the electrostatically gated QDs [30, 31] can be modified by changing the voltages applied to the gates, which changes the potential confining the electrons in the nanodevice. This leads to another method of exchange interaction tuning by changing the gate voltages. In the present paper, we have also investigated this method by studying the effect of the variable range and softness of the confinement potential on the exchange energy. In the gate-defined QDs [6, 7], the range and softness of the confinement potential are determined by the voltages applied to the gates [30–32].

The present paper is organized as follows: in section 2, we briefly describe the theoretical model and the computational method used in the calculations. Section 3 contains the numerical results, section 4 a discussion and section 5 the conclusions and summary. The details of the computational approach are presented in the appendix.

2. Theory

We study the system of two electrons confined in two laterally coupled QDs and subjected to a static homogeneous electric field. The lateral QDs are usually created in a quasi-two-dimensional electron gas by applying suitably chosen voltages to the gates, which are placed on the surface of the nanodevice above the plane in which the electrons move [6, 7, 4]. Therefore, we have assumed two-dimensional (2D) motion of the electrons. Figure 1 displays (a) the geometry of the nanostucture and (b) the confinement potential profile in the \( x \) direction. We assume that the potential energy of the electron in the single QD is described by the power-exponential function [29]

\[ U_\mu (\mathbf{r}) = -U_{0\mu} \exp\left\{-(x-x_{0\mu})^2/R_{\mu x}^2 + (y-y_{0\mu})^2/R_{\mu y}^2\right\}, \]  

(2)

where the index \( \mu \) labels the QDs (\( \mu = 1 \) and \( r \) for the left and right QD, respectively), \( U_{0\mu} \) is the potential well depth (\( U_{0\mu} > 0 \)), \( \mathbf{r} = (x, y) \), \( r_{0\mu} = (x_{0\mu}, y_{0\mu}) \) is the position of the QD center and \( R_{\mu x} (R_{\mu y}) \) is the range of the confinement potential in the \( x (y) \) direction, i.e. it determines the extension of the QD in the corresponding direction. The parameter \( p (p \geq 2) \) describes the softness of the confinement potential at the QD boundaries, i.e. the smoothness of the QD interfaces [28]. For \( p = 2 \) we deal with the soft Gaussian potential, while for \( p \geq 4 \) the potential can be treated as ‘hard’. In particular, for \( p \to \infty \) potential energy (2) takes on a rectangular shape. The form (2) of the confinement potential energy allows us to model a large variety of QDs with different shapes, sizes and interface smoothness.

For the coupled QDs the confinement potential is the sum of single QD confinement potentials (2):

\[ U_{\text{conf}} (\mathbf{r}) = U_1 (\mathbf{r}) + U_2 (\mathbf{r}). \]  

(3)
The x axis is directed along the straight line connecting the centers of both the QDs (cf figure 1(a)). Here, we take \( x_{\text{ll}} = -x_0 \) and \( y_{\text{ll}} = y_0 = 0 \). The QDs are separated by the potential barrier, i.e. the distance \( d \) between the QD centers is larger than \( R_0 + R_s \).

We investigate the nanodevice which consists of coupled QDs placed between the left and right metal contacts (cf figure 1(b)). The electrodes are separated by a finite distance \( L \) and a static external voltage \( V \) is applied between them. In the semiconductor region, the electrodes generate the homogeneous electric field \( \mathbf{F} = (-F, 0, 0) \), where \( F = V/L \). The distance \( L \) between the boundaries of the electrodes is related to other geometric parameters as follows: \( L \geq d + 2(R_0 + R_s) \). In an electric field \( \mathbf{F} \), each electron possesses an additional potential energy \( \Delta U(\mathbf{r}) \) given by

\[
\Delta U(\mathbf{r}) = \begin{cases} 
0 & \text{for } x < -L/2, \\
-eFx - eV/2 & \text{for } |x| \leq L/2, \\
-eV & \text{for } x > L/2. 
\end{cases}
\] (4)

In the present calculations, we measure the energy with respect to the electrochemical potential \( \mu_l \) of the left contact, i.e. we set \( \mu_l = 0 \). In contrast to papers [21, 26], in which the infinite range of electric field \( \mathbf{F} \) was assumed, we assume a more realistic spatial distribution of the electric field with finite range. Formula (4) gives the profile of the electron potential energy in the electric field created by external voltage \( V \), which—in real nanodevices—is applied between the source and drain contacts separated by the finite distance. Formulæ (2)–(4) set up a model of the nanodevice (figure 1), which consists of the left (l) and right (r) metal electrodes, and the semiconductor material, in which both the QDs are embedded. The QDs are separated by the barrier potential region. The total potential energy of the single electron is given by

\[
U(\mathbf{r}) = U_{\text{conf}}(\mathbf{r}) + \Delta U(\mathbf{r}).
\] (5)

The vertical lines show the boundaries of the left (l) and right (r) electrode. The parameters of the nanodevice in panel (b):

\[ R_0 = R_0 = 20 \text{ nm}, \ R_s = 20 \text{ nm}, \ R_0 = 40 \text{ nm}, \ d = 80 \text{ nm}, \ p = 10 \text{ and } V = 60 \text{ mV}. \]

**Figure 1.** (a) Schematic of the coupled QDs. Solid (green) curves correspond to the extensions of the QDs. (b) Profile of confinement potential energy \( U \) plotted as a function of \( x \) for \( y = 0 \) with (solid red curve) and without (dashed blue curve) the external electric field. In the effective mass approximation, the Hamiltonian of the two-electron system in the coupled QDs is

\[
\mathbf{H} = h_1 + h_2 + \frac{e^2}{4\pi \varepsilon_0 \varepsilon_s |r_{12}|^2},
\] (6)

where \( h_j \) (\( j = 1, 2 \)) is the one-electron Hamiltonian, \( \varepsilon_0 \) is the electric permittivity of the vacuum, \( \varepsilon_s \) is the static relative electric permittivity of the semiconductor, \( r_{12} = |r_1 - r_2| \) is the electron–electron distance and \( r_j \) is the position vector of the \( j \)-th electron. The one-electron Hamiltonian has the form

\[
h_j = \hbar^2 \nabla_j^2 + U(r_j),
\] (7)

where \( m_e \) is the electron effective band mass. We assume that the electron effective mass and the static electric permittivity do not change across the QD boundaries. This assumption is well satisfied for the GaAs-based electrostatic QDs [30, 31].

We solve the two-electron eigenvalue problem by a configuration interaction (CI) method, which is performed in a few steps. First, we find one-electron orbital wavefunctions \( \phi_i(x, y) \) using the expansion in a multi-center Gaussian basis (see the appendix). In the second step, we transform the one-electron orbitals \( \phi_i(x, y) \) into the discrete representation \( \psi_{mn}^{\sigma} = \phi_i(x_m, y_n) \) on the two-dimensional grid \( (x_m, y_n) \). More details of this method are given in the appendix. Augmenting the one-electron orbitals by the eigenfunctions \( \chi_\sigma \) of the \( z \) component of the electron spin we obtain one-electron spin orbitals \( \psi_{\sigma v}^{\text{spin}} \), where \( v \) is the set of orbital quantum numbers and \( \sigma \) is the spin quantum number. Spin orbitals \( \psi_{\sigma v}^{\text{spin}} \) are used in a construction of Slater determinants. In the final step, we construct the two-electron wavefunction as a linear combination of \( N_5 \) Slater determinants and solve the two-electron eigenvalue equation by the exact diagonalization. All the potential energy matrix elements (including the electron–electron interaction energy) have been calculated with high

\[ J. \text{Phys.: Condens. Matter} \ 21 \ (2009) \ 235601 \] A Kwasiowski and J Adamowski
3. Results

Two lateral QDs form 16 geometric configurations that differ from each other by their relative size (large/small QD), shape (circular/elliptic QD), position with respect to the electrodes (left/right QD) and orientation with respect to the electric field (ellipse axis parallel/perpendicular to $F$). In this paper, we present the results for the four most characteristic configurations (cf insets of figures 2–5). The preliminary results for the two circular QDs with the same radius have been presented in paper [33]1. Figures 2–4 show the results for the nanodevices, in which the right QD is larger than the left one. We remind ourselves that the left (right) QD is located near the electrode with the higher (lower) potential energy of the electron (cf figure 1). These results (figures 2–

1 This paper deals with the two circular QDs with the same radius. These preliminary results have been obtained with smaller numbers of Gaussian functions (A.1) and Slater determinants.

precision by the numerical quadrature subroutines. We have performed test calculations for $N_S = 64, 81, 100, 144$ and 169 and obtained a good convergence for the lowest-energy levels. A good compromise between the numerical accuracy and computer time has been found for $N_S = 81$; therefore, the majority of calculations have been performed with 81 Slater determinants. Finally, we calculate the lowest singlet ($E_S$) and triplet ($E_T$) energy levels, and exchange interaction energy $J$ (equation (1)). In the calculations, we have used the material parameters of GaAs, i.e. $\varepsilon_s = 12.4$ and $m_e = 0.067m_0$, where $m_0$ is the free-electron rest mass, and fix the depth ($U_{0l} = U_{0r} = 30$ meV) of the confinement potential. The present calculations have been performed for circular and elliptic QDs with aspect ratios [22] $r = 0.5, 1$ and 2. The exchange energy has been calculated as a function of external electric field $F$ for different shapes, sizes and geometric configurations of the coupled QDs.

Figure 2. Exchange energy $J$ as a function of electric field $F$ and softness parameter $p$ for two coupled circular QDs with $R_l = R_r = 20$ nm, $R_x = R_y = 40$ nm and $d = 80$ nm. Inset: schematic of the nanodevice.

Figure 3. Exchange energy $J$ as a function of electric field $F$ and softness parameter $p$ for coupled circular and elliptic QDs with $R_l = R_r = 20$ nm, $R_x = 40$ nm, $R_y = 20$ nm and $d = 80$ nm. Inset: schematic of the nanodevice.

Figure 4. Exchange energy $J$ as a function of electric field $F$ and softness parameter $p$ for coupled circular and elliptic QDs with $R_l = R_r = 20$ nm, $R_x = 40$ nm, $R_y = 20$ nm and $d = 80$ nm. Inset: schematic of the nanodevice.

Figure 5. Exchange energy $J$ as a function of electric field $F$ and softness parameter $p$ for coupled circular and elliptic QDs with $R_l = R_r = 20$ nm, $R_x = R_y = 20$ nm and $d = 80$ nm. Inset: schematic of the nanodevice.
have been obtained for the left circular QD with fixed size, i.e. $R_{1x} = R_{1y} = 20$ nm, and for the different shapes and sizes of the right QD: circular (figure 2), $y$-elongated elliptic (figure 3) and $x$-elongated elliptic (figure 4). We have found that—in these nanodevices (cf the insets of figures 2–4)—the general electric-field dependence of the exchange energy is similar. In the low-field regime, the exchange energy takes on either small (figure 2) or zero (figures 3 and 4) values, at higher fields, increases with the electric field, and—in the intermediate-field regime—exhibits a cusp followed by a broad plateau region (figures 2 and 3), in which $J(F)$ takes on maximal values. At the sufficiently high electric field, the $J(F)$ curve possesses the second cusp and abruptly falls to zero. Figures 2–4 also show another general property of the exchange energy: the maximal values $J_{\text{max}}$, reached in the plateau region, increase with decreasing $p$, i.e. with the increasing softness of the confinement potential. However, the detailed $J(F)$ dependence is different for each of the nanodevice geometries considered.

The details of the low-field exchange energy behavior are different in nanodevices with circular (figure 2) and elliptic (figures 3–5) QDs. For the circular QDs (figure 2) the exchange energy is non-zero in the absence of an electric field. In the nanodevice shown in the inset of figure 2, the electrons in the singlet state occupy the right QD with quite a large probability already for $F = 0$. Even the weak electric field causes both the electrons to become entirely localized in the right QD, i.e. the double QD system starts to act as a single QD [33] (see footnote 1). If one of the QDs is elliptic (figures 3–5), the exchange interaction vanishes in the low-field regime, i.e. for $0 \leq F \leq F_{\alpha}$, it becomes non-zero at $F = F_{\alpha}$ and increases linearly with $F$ for $F_{\alpha} \leq F \leq F_{c1}$. At $F = F_{c1}$ the $J(F)$ dependence exhibits the first cusp, above which $J(F)$ is nearly constant (cf the plateau regions in figures 2 and 3) or changes slowly with electric field (cf figure 4). The exchange energy reaches maximal values $J_{\text{max}}$ for $F_{c1} \leq F \leq F_{c2}$, exhibits the second cusp at $F = F_{c2}$ and rapidly vanishes for $F > F_{c2}$. For the nanodevice with the larger $x$-elongated QD (figure 4) the exchange energy shows variable behavior in the interval $F_{c1} \leq F \leq F_{c2}$ depending on the confinement potential softness. According to figure 4, $J$ decreases with increasing $F$ for $p = 2$, is nearly constant for $p = 3$, and increases with increasing $F$ for $p \geq 4$. In the nanodevices shown in the insets of figures 3 and 4, in the low-field regime, the electrons are localized in different QDs, i.e. the overlap of the corresponding one-electron wavefunctions vanishes, which leads to the vanishing exchange interaction. If the electric field exceeds the critical value $F_{\alpha}$, the electrons in the singlet state are entirely localized in the right QD, while the electrons in the triplet state become more and more localized in the right QD. This leads to the increase of the exchange energy in the interval $F_{\alpha} \leq F \leq F_{c1}$. The plateaus on the $J(F)$ dependence (figures 2–4) result from the fact that both the electrons are localized in the right QD and this localization is almost unchanged in the interval $F_{c1} \leq F \leq F_{c2}$. For the sufficiently strong electric field the exchange interaction is equal to zero since one of the electrons tunnels out of the QD system and is absorbed in the right electron reservoir.

Figure 5 displays the results for the nanodevice with the left QD larger than the right one. For $F \leq F_{\alpha}$ there is no exchange interaction. If the electric field $F$ exceeds $F_{\alpha}$, the exchange energy becomes non-zero and increases as a linear function of the electric field. After reaching the maximum at $F = F_{c1}$, the exchange energy exhibits a sharp cusp and falls to zero. In the nanodevice shown in the inset of figure 5, the plateau region does not exist, which means that $F_{c1} = F_{c2}$.

The results of figures 2–5 show that—in the low- and intermediate-field regime—the exchange energy is a linear function of the electric field and can be parameterized as follows:

$$J(F) = \alpha F + \beta.$$  \hspace{1cm} (8)

In the nanodevices depicted in the insets of figures 3–5, the linear parameterization (8) is valid in the electric-field interval $\Delta F_{\text{linear}} = F_{c1} - F_{\alpha}$. The parameter $\beta$ depends on the softness of the confinement potential and the geometry of the nanodevice. In general, $\beta$ increases with increasing $p$ and—for the nanodevice shown in the inset of figure 5—takes on the values from $-8.56$ meV for $p = 2$ to $-6.86$ meV for $p = 100$. The results of figures 3–5 show that the parameter $\alpha$ is independent of the confinement potential softness and the geometry of the nanodevice. It takes on a nearly constant value $\alpha \simeq 7.73$ (meV (kV/cm)$^{-1}$) for all the nanodevices studied in the present work. The physical interpretation and possible applications of the linear dependence (equation (8)) will be discussed in section 4.

The results presented in figures 2–5 can be explained if we consider the spatial localization of electrons. It is convenient to illustrate the distribution of the electrons in the coupled QDs with the help of the electron density $\varrho(\mathbf{r})$ defined as

$$\varrho(\mathbf{r}) = \sum_{j=1}^{3} \langle \Psi | \delta(|\mathbf{r} - \mathbf{r}_j|) | \Psi \rangle,$$  \hspace{1cm} (9)

where $\Psi = \Psi(\mathbf{r}_1, \mathbf{r}_2)$ is the two-electron wavefunction.

Figures 6 and 7 display the contours of electron density $\varrho$ on the $x$–$y$ plane for the nanodevices shown in the insets of figures 3 and 5. The results displayed in figures 6 and 7 allow us to trace the changes of electron localization in the two-electron system confined in the two coupled QDs, which result from the action of the external electric field. In the absence of the electric field, the electrons are localized in the different QDs and there is no overlap between their wavefunctions (cf figures 6 and 7 for $F = 0$). Therefore, the exchange interaction between the electrons vanishes. If we apply an external electric field, the electrons start to tunnel through the potential barrier from the left to the right QD and interact via the exchange coupling. In this regime, the increasing electric field causes the fast linear increase of the exchange energy (figures 3 and 5). We note that—even in the low electric-field regime—the electron distribution considerably changes in the singlet state, but is only slightly distorted in the triplet state (cf figures 6 and 7 for $F = 0$ and $F > 0$). If the right QD is sufficiently large (figure 6), the increasing electric field causes both the electrons to become localized in this QD in either spin state. In the singlet state, the electrons are localized in the central region of the right QD, while in the triplet state...
Figure 6. Contours of electron density for singlet (left panels) and triplet (right panels) states on the $x$--$y$ plane for different values of the external electric field $F$ (in kV cm$^{-1}$). The darker color corresponds to the larger electron density. Thin solid curves correspond to the sizes of the QDs. The left QD has a circular shape with $R_{l x} = R_{l y} = 20$ nm and the right QD has an elliptic shape with $R_{r x} = 20$ nm, $R_{r y} = 40$ nm; the other parameters take on the values $p = 10$ and $d = 80$ nm.

The electron density exhibits two maxima clearly separated in the $y$ direction (cf figure 6 for $F = 1.52$ kV cm$^{-1}$). In this field regime, the exchange energy reaches the largest values.

Figure 7. Contours of electron density for singlet (left panels) and triplet (right panels) states on the $x$--$y$ plane for different values of the external electric field $F$ (in kV cm$^{-1}$). The darker color corresponds to the larger electron density. Thin solid curves correspond to the sizes of the QDs. The left QD has an elliptic shape with $R_{l x} = 20$ nm and $R_{l y} = 40$ nm, while the right QD has a circular shape with $R_{r x} = R_{r y} = 20$ nm; the other parameters take on the values $p = 10$ and $d = 80$ nm.

The double occupancy of the right QD and the separation of the triplet electrons in the $y$ direction do not change over a rather broad range of the electric field, which leads to the...
occurrence of the plateau on the \( J(F) \) plot (figure 3). If the electric field exceeds the critical value \( F_{c2} \), one of the electrons tunnels through the right triangular barrier out of the right QD and the exchange interaction rapidly falls to zero (cf figure 6 for \( F = 3.16 \text{ kV cm}^{-1} \) and figure 7 for \( F = 2.40 \text{ kV cm}^{-1} \)). The tunneling electron is absorbed in the electron reservoir of the right electrode. The tunneling events are determined by the position of the electron energy levels with respect to the continuum energy edge of the right electrode, i.e. electrochemical potential \( \mu_r = -eV \). For \( F \leq F_{c2} \) the one-electron energy levels lie below \( \mu_r = -eV \). This means that the corresponding electron states are bound. For \( F = F_{c2} \) the one-electron energy levels reach \( \mu_r \), i.e. the electrons cease to be bound and form resonant states. Therefore, in the strong-field regime, the electrons tunnel via these resonant states through the right barrier to the right contact.

The nanodevice with the left QD larger than the right one (figure 7) shows a similar electric-field behavior to that obtained for the nanodevice with the left QD smaller than the right one (figure 6) in the regime of low and intermediate electric fields, i.e. \( J \) increases as a linear function of \( F \). However, after reaching the maximum value \( J_{\text{max}} \) for \( F = F_{c1} \), the exchange energy rapidly falls to zero, i.e. the \( J(F) \) dependence becomes qualitatively different from that shown in figure 3. This behavior results from the rapid change in electron localization that occurs at \( F = F_{c1} \). For \( F \leq F_{c1} \) the electrons in the triplet state are localized in the different QDs. For the triplet state, the increase of the electric field above \( F_{c1} \) does not generate the double occupancy of the right QD but leads to the immediate tunneling of one of the electrons to the right electron reservoir. In the electric-field regime \( F > F_{c1} \), the right QD in this nanodevice cannot be occupied by the two electrons in the triplet state, which causes that the exchange interaction vanishes. We have found that for a sufficiently strong electric field the energy of the first excited one-electron state exceeds the electrochemical potential of the right contact, i.e. the resonant state is formed. In this case, we are dealing with the resonant tunneling via the first excited one-electron state. We note that this one-electron state yields the largest contribution to the triplet two-electron wavefunction.

We have also studied the dependence of the exchange energy on the softness of the confinement potential. Figure 8 shows the maximum exchange energy \( J_{\text{max}} \) as a function of the softness parameter \( p \). The maximal value \( J_{\text{max}} \) is taken for \( F = 0.7576 \text{ kV cm}^{-1} \), i.e. in the plateau region, for the nanodevice shown in the inset of figure 3. We see that \( J_{\text{max}} \) decreases if \( p \) increases, i.e. if the confinement potential becomes more hard. This dependence can be approximated by the exponential function:

\[
J_{\text{max}}(p) = A_1 \exp(-C_1 p) + B_1, \tag{10}
\]

where \( A_1 = 2.4740 \text{ meV} \), \( B_1 = 1.4059 \text{ meV} \) and \( C_1 = 0.2091 \). The exponential parameterization (equation (10)) results from the fact that—for the fixed confinement potential ranges—the effective quantum capacity of the QDs increases with increasing \( p \) (cf inset of figure 8). If \( p \) increases, the electrons localized in the right QD become more separated from each other, which leads to the exponential decrease of the overlap of electron wavefunctions, which in turn gives rise to the exponential decrease of the exchange energy.

![Figure 8. Maximum exchange energy \( J_{\text{max}} \) as a function of softness parameter \( p \) for electric field \( F = 0.7576 \text{ kV cm}^{-1} \). Dots show the results of numerical calculations and the solid curve shows the fitted exponential function (equation (10)). The parameters of the nanodevice: \( R_{tx} = R_{ty} = 20 \text{ nm}, R_{x} = 20 \text{ nm}, R_{y} = 40 \text{ nm} \) and \( d = 80 \text{ nm} \). Inset: total potential energy \( U \) of the electron as a function of \( x \) and \( p \) for \( y = 0 \) and for fixed \( F = 0.7576 \text{ kV cm}^{-1} \).](image)

For a possible experimental realization of the model nanodevices studied in the present paper it is interesting to find a direct dependence of the exchange energy on the size of the nanodevice. For this purpose we have calculated the maximum exchange energy when scaling all the linear dimensions of the coupled QD system. We consider the nanodevice displayed in the inset of figure 3, for which the exchange energy takes on the maximal values in the broad plateau region (cf figure 3 for \( p = 10 \)). We have defined the size scaling factor as \( s = R_{\text{actual}}/R_{\text{initial}}, \) i.e. \( s \) is equal to the ratio of the actual linear dimension \( R_{\text{actual}} \) to its initial value \( R_{\text{initial}} \). As the reference nanodevice with initial values of the linear dimensions we take that with \( R_{tx} = R_{ty} = 20 \text{ nm}, R_{x} = 20 \text{ nm}, R_{y} = 40 \text{ nm} \) and \( d = 80 \text{ nm} \) (cf figure 3). The calculations of \( J_{\text{max}} \) have been performed for the set of nanodevices characterized by \( s \) times enlarged confinement potential ranges, i.e. \( sR_{tx}, sR_{ty}, sR_{x}, \) and \( sR_{y} \) and interdot distance \( sd \). In the calculations, we fix the strength \( F \) of the electric field, i.e. we have to scale accordingly the interelectrode distance \( L \rightarrow sL \) and the applied voltage \( V \rightarrow sV \). The numerical results are displayed in figure 9 by the full (red) dots. In the interval \( 1 \leq s \leq 5 \) these results can be parameterized by the exponential function:

\[
J_{\text{max}}(s) = A_2 \exp(-C_2 s) + B_2, \tag{11}
\]

where \( A_2 = 9.9040 \text{ meV}, B_2 = 0.0710 \text{ meV} \) and \( C_2 = 1.8784 \) (cf the solid curve in figure 9). The exponential dependence (equation (11)) is similar to that given by equation (10) and can also be interpreted as resulting from the size effect. If the total size of the nanodevice increases (cf inset of figure 9), the overlap between the one-electron wavefunctions decreases exponentially with increasing \( s \). Also the localization of electrons in the QDs becomes weaker if the total size of
the nanodevice grows. We note that parameterization (11) is valid for \( s \leq 5 \) only. If the size of the nanodevice is sufficiently large, i.e. the size scaling factor exceeds \( s \approx 5 \), the exchange energy rapidly falls to zero. The disappearance of the exchange interaction in the large-size nanodevice results from the delocalization of electrons, which can be explained using the potential energy profiles (cf inset of figure 9). In order to keep the electric field constant when enlarging the nanodevice size \( s \) times we have to apply an \( s \) times higher voltage. This leads to the lowering of electrochemical potential \( \mu_t = -eV \) of the right contact. Simultaneously, the energy of the electrons localized in the right QD grows with respect to \( \mu_t \). We have checked that, for \( s \approx 5 \), the first excited-state one-electron energy level exceeds the electrochemical potential of the right contact. Moreover, the triangular barrier near the right contact becomes more and more penetrable for the electrons if the size of the nanodevice increases. In these conditions, one of the electrons tunnels out of the right QD to the right reservoir and the exchange interaction vanishes.

4. Discussion

The results of figures 3–5 show that—in the nanodevice which consists of the elliptic QD—the static homogeneous electric field applied in the coupled QD region can switch on and off the exchange interaction. In the nanodevice which consists of two circular QDs (figure 2), the exchange interaction is non-zero at \( F = 0 \) and the increasing electric field can only switch off the exchange interaction. This behavior (figure 2) is similar to that observed in the single QD [33] (see footnote 1). In the nanodevices shown in the insets of figures 2–4, the exchange energy exhibits a plateau in a broad electric-field regime. This plateau ends up at the critical electric field \( F_{c2} \), above which the exchange energy abruptly vanishes. If the electric field exceeds \( F_{c2} \), one of the triplet electrons tunnels from the right QD to the right contact and the triplet state ceases to be bound. Therefore, in this field regime, we cannot speak about the exchange interaction. The electrons in the singlet state become unbound if the electric field exceeds \( F_{c2} \) by an amount \( \Delta F_{s} \). We have found that \( \Delta F_{s} \approx \Delta F_{linear} \), i.e. \( \Delta F_{s} \) is approximately equal to the width of the linear \( J(F) \) dependence (equation (8)).

The critical electric field \( F_{c2} \) increases with increasing \( p \) (cf figures 2–4). Simultaneously, the increasing \( p \) leads to the decreasing maximum value of the exchange energy reached in the plateau region (cf figures 2 and 3). Both these effects result from the increasing effective size of the QDs. For fixed parameters \( R_{0}, \mu_{t}, R_{0}, \) and \( R_{r} \), the effective size of the QD increases with increasing \( p \), i.e. increasing hardness of the confinement potential (cf inset of figure 9). This leads to the decreasing overlap between the electron wavefunctions and the weaker electron localization, which in turn causes the decline of the exchange energy. Moreover, if the effective size of the QDs is larger, we have to apply the stronger electric field in order to liberate one of the electrons from the right QD, which gives rise to the increase of \( F_{c2} \).

The critical electric field \( F_{c0} \), below which \( J = 0 \) and above which \( J > 0 \), decreases with increasing \( p \) (cf figures 2–5). This dependence results from the decreasing effective thickness of the potential barrier separating both the QDs with increasing \( p \) (cf inset of figure 9). If the barrier is thinner, the electrons tunnel through it with the larger probability and the right QD becomes doubly occupied at the lower electric field.

In the low- and intermediate-field regime, the nanodevices with the laterally coupled QDs possess an important property:
the exchange energy is a linear function of the electric field, i.e. it can be conveniently tuned by changing the external voltage. In the nanodevice with the small right QD (cf figure 5), the increasing electric field switches on the exchange interaction at \( F = F_{c0} \), leading to the linear increase of \( J \) in a broad regime of \( F \) and switches it off at \( F = F_{c1} \). Recently, the linear dependence of the exchange interaction energy on the electric field has been found in vertically coupled self-assembled QDs [26]. In the present paper, we have obtained this linear dependence for the laterally coupled QDs with different geometric configurations and different softness of the confinement potential (cf figures 2–5). The electric-field regime \( \Delta F_{\text{linear}} \) of this linear dependence is considerably broader in the nanodevices that contain the small right QD (figure 5) than in the nanodevices with the large right QD (figures 2–4). According to figure 5, \( \Delta F_{\text{linear}} \) extends to \( \sim 0.8 \text{ kV cm}^{-1} \). For comparison, in figures 2–4, \( \Delta F_{\text{linear}} \) \( \simeq 0.2 \text{ kV cm}^{-1} \). The larger width of the interval \( \Delta F_{\text{linear}} \) obtained for the nanodevice depicted in the inset of figure 5 causes that the maximum value \( J_{\text{max}} \) of the exchange energy is considerably larger than that for the nanodevices shown in figures 2–4. In the former case, \( J_{\text{max}} \) reaches 6 meV.

In order to get a more deep physical insight into the linear \( J(F) \) dependence, we have investigated the behavior of the lowest singlet and triplet energy levels. We have found that—in the regime \( 0 \leq F \leq F_{c2} \)—the field dependence of these energy levels can be very accurately parameterized by the linear functions (figure 10)

\[
E_{S,T}^{(i)}(F) = \alpha_{S,T}^{(i)} F + \beta_{S,T}^{(i)},
\]

where \( i = 0, 1, 2 \). The parameters \( \alpha_{S,T}^{(i)}, \beta_{S,T}^{(i)} \) take on different values in the different regimes of the electric field, i.e. \( [F_{c0}, F_{c1}], [F_{c1}, F_{c2}] \), which we label (0), (1) and (2), respectively, but are independent of \( F \) within each regime. In the low-field regime, i.e. for \( 0 \leq F \leq F_{c0} \), \( \alpha_{S}^{(0)} = \alpha_{T}^{(0)} \) and \( \beta_{S}^{(0)} = \beta_{T}^{(0)} \), which leads to the zeroing of the exchange energy, i.e. the singlet–triplet degeneracy. In the intermediate-field regime, i.e. for \( F_{c0} \leq F \leq F_{c1} \), the singlet–triplet degeneracy is lifted. In this field regime, \( \alpha_{S}^{(1)} < \alpha_{T}^{(1)} \) and \( \beta_{S}^{(1)} > \beta_{T}^{(1)} \). Therefore, we obtain \( \alpha = \alpha_{S}^{(1)} - \alpha_{T}^{(1)} > 0 \) and \( \beta = \beta_{S}^{(1)} - \beta_{T}^{(1)} < 0 \), which leads to the linear \( J(F) \) dependence (equation (8)).

It is interesting that—for the nanodevices shown in the insets of figures 2 and 3—linear parameterization (12) is also valid in the regime of rather strong electric fields, i.e. for \( F_{c1} \leq F \leq F_{c2} \). The values of the parameters \( \alpha_{S,T}^{(2)} \) and \( \beta_{S,T}^{(2)} \) are different from those obtained for the lower fields, but are approximately constant within this field regime. In field regime (2), \( \alpha_{S}^{(2)} \simeq \alpha_{T}^{(2)} \) and \( \beta_{S}^{(2)} \simeq \beta_{T}^{(2)} \), which given rise to the plateau of the exchange energy (cf figures 2–4) with \( J_{\text{max}} \simeq \beta_{S}^{(2)} - \beta_{T}^{(2)} = \text{const} \).

We have also found another interesting feature of linear parameterization (8). The parameter \( \alpha = \Delta J / \Delta F \) that determines the rate of changes of the exchange energy with the electric field in field regime (1) (cf equation (8)) takes on almost the same values for all the nanodevices described by the parameters quoted in the captions of figures 2–5. The parameter \( \alpha \) is independent of the softness of the confinement potential and the geometry of the nanodevice (cf figures 2–5). Considering all the \( J(F) \) dependences displayed in figures 2–5, i.e. studying several different nanodevices, we have found that \( \alpha = 7.73 \pm 0.13 \text{ (meV kV/cm)}^{-1} \). In the lateral QDs, the linear \( J(F) \) dependence is a non-trivial property that cannot be explained by the non-degenerate first-order perturbation theory [26]. This effect occurs in the intermediate-field regime, in which the electron wavefunctions are considerably distorted with respect to those for \( F = 0 \) (cf figures 6 and 7). In particular, we note the abrupt change of the singlet-state localization in the low- and intermediate-field regime. Moreover, we have found that, even for the same geometric configuration of the QDs and in the same electric-field regime, the linear \( J(F) \) dependence disappears if the tunnel coupling between the QDs is sufficiently strong. In order to show this effect, we have considered the two nanodevices with the geometric configuration shown in the inset of figure 10. In figures 10 and 11, subscripts \( w \) and \( s \) correspond to the results for the weak and strong interdot tunnel coupling, respectively. Figure 10 displays the results for the two nanodevices characterized by different separations \( d \) between the QD centers and the same values of all other parameters. For \( d = 80 \text{ nm} \), i.e. for the weak interdot tunnel coupling, the singlet and triplet energies as well as the exchange energy are linear functions of the electric field. We also observe the cusp on the curve \( E_{T\text{w}}(F) \), which leads to the corresponding cusp on the curve \( J(F) \). In the low electric-field regime and for the weak tunnel coupling, the exchange interaction vanishes due to the singlet–triplet degeneracy. For \( d = 40 \text{ nm} \), i.e. for the strong tunnel coupling, a completely different \( J(F) \) behavior has been obtained. In this case, the singlet-state energy is a nonlinear function of the electric field, which leads to the nonlinear \( J(F) \) dependence. However, the triplet energy \( E_{T\text{w}} \) is a piecewise linear function of \( F \) with the cusp shown by the arrow in figure 10. In the low electric-field regime.
The effect of the gates.

The parameters of the confinement potential we have investigated are determined by the voltages applied to the gates. This means particularly, its shape, range, potential well depth and softness, by the gates. Therefore, the QD confinement potential, in induced by the inhomogeneous electric field, which is created of the nanodevice, i.e. at

For \( F \) the triplet states, the jump of \( \langle x_c \rangle \) at \( F = F_{c1} \) originates from the abrupt change of the localization from single to double occupancy of the right QD.

5. Conclusions and summary

The results of the present paper allow us to discuss the effect of external electric fields of different origins on the electronic properties of QD nanodevices. The electric fields can be created by the different electrodes which surround the QD region. In a direct way, we have investigated the effect of the static homogeneous electric field, which is usually created by the source and drain electrodes. In an indirect way, we have also studied the effect of the gate electrodes. We are able to determine the effect of the gates, since in the nanodevices, i.e. at

In the present paper, we focus on the exchange interaction, which plays an important role in the manipulation of spin qubits [1–3, 5]. The exchange energy can be effectively tuned by changing the external electric field, i.e. changing the voltages applied to the electrodes. By increasing the electric field (bias voltage) we can switch on/off the exchange interaction. The critical values of the electric field, for which the on/off switching occurs, depend on the softness of the confinement potential, i.e. can be changed by changing the gate voltages. We have found the QD nanodevices that exhibit the plateau of the exchange energy versus electric-field dependence. In the plateau regime, the exchange energy is maximal. Therefore, the on/off switching of the exchange interaction occurs between \( J = 0 \) and \( J_{\text{max}} \). We have determined the critical electric fields and optimal nanodevice parameters for which this switching is the most effective.

We have shown that—in the nanodevices with the weakly coupled lateral QDs at moderate electric fields—the exchange energy is a linear function of the electric field. We have found that the parameter \( \alpha = \Delta J/\Delta F \) that determines the rate of changes of \( J(F) \) is nearly the same for different nanodevices. The constancy of \( \alpha \) suggests that this parameter is universal for a large class of nanodevices based on laterally coupled QDs, provided that the interdot tunnel coupling is weak. We have also demonstrated that for the sufficiently strong interdot tunnel coupling the \( J(F) \) dependence becomes nonlinear.

The numerical method (see the appendix) applied in the present paper is convenient for calculations of few-electron states in QDs in the external electric field. Among several advantages (cf the appendix) of this approach, we would like to underline the most important one. Namely, this numerical procedure possesses the following physical property: in the low- and intermediate-field regime, it allows us to describe bound states of electrons in an electric field of infinite range, which acts in the real nanodevices. Let us mention that in an electric field of infinite range, assumed in the other papers on this subject [3, 21, 26], one always deals with non-stationary states.

In summary, we have shown how to control and tune the exchange interaction with the help of the external electric field. We have taken into account an electric field of infinite range, which allows us to investigate real nanodevices. We have also applied the realistic profile for the potential confining the electrons in the QDs. We have shown that the external electric field can switch on/off the exchange interaction. The linear variation of the exchange energy with the electric field can be applied to an effective tuning of the exchange interaction by the external voltage. Since the exchange interaction changes the electron spin states, the present results should be helpful in designing nanodevices with an all-electrical mechanism of spin qubit processing.

Acknowledgments

We are grateful to Tomasz Chwiej and Bartłomiej Szafran for fruitful scientific discussions. This paper has been supported in part by the Polish Ministry of Science and High School Education in the framework of grant no. N N202 173835.
Appendix. Computational method

We give a description of the numerical method applied in the present paper in order to obtain the one-electron wavefunctions in the discrete representation that is convenient in two-electron calculations. This method has been recently used in calculations of electron states in coupled circular QDs [33] (see footnote 1) and quantum rings [34]. For the sake of completeness we provide here a detailed description of this method and discuss its advantages.

First, we set up the computational box (figure A.1) for the given geometric parameters of the QD nanodevice. Usually, we take on its extension $L_x = 400$ nm and $y$ extension $L_y = 140$ nm. Next, we solve the one-electron Schrödinger equation by variational means. For this purpose we apply the multi-center Gaussian basis defined inside the computational box:

\[ g_{ij}(x,y) = \exp[-\gamma_1(x-x_i)^2 - \gamma_2(y-y_j)^2], \]  
(A.1)

where $\gamma_1$ and $\gamma_2$ are the variational parameters.

The centers of Gaussians $(x_i, y_j)$, where $i = 1, \ldots, I$ and $j = 1, \ldots, J$, form a grid within a large rectangle, which encompasses both the QDs, i.e. the edges of the rectangle are longer than the double range of the confinement potential in each direction (cf figure A.1). The Gaussians (A.1) are chosen to cover the region of electron localization in the QDs and the region of the right electrode to which the electrons are shifted. The variational wavefunction $\phi_v(x, y)$ for the one-electron state $\nu$ is taken in the form of a linear combination of Gaussians (A.1):

\[ \phi_v(x, y) = C_v \sum_{i=1}^{I} \sum_{j=1}^{J} c_{ij}^\nu g_{ij}(x, y), \]  
(A.2)

where $C_v$ is the normalization constant. The values of $I$ and $J$ are chosen according to the actual size of the nanodevice and extend up to $I_{\text{max}} = 50$ and $J_{\text{max}} = 33$. This means that we have at our disposal 1650 Gaussians (A.1), which ensures the high accuracy of one-electron solutions. The matrix elements of the one-electron Hamiltonian (7) are calculated in basis (A.1) as follows: the matrix elements of the kinetic energy are calculated analytically and the matrix elements of the potential energy are calculated by a numerical quadrature. Solving the generalized eigenvalue problem of Hamiltonian (7) in basis (A.1) we obtain the linear parameters $c_{ij}^\nu$ and energy eigenvalues $E_{\nu}$ for one-electron states $\nu = 1, \ldots, N_{\nu}$. The values of the nonlinear variational parameters $\gamma_1$ and $\gamma_2$ are determined from the minimization of the ground-state energy $E_0$. We have checked that $\gamma_1$ and $\gamma_2$ change only slightly when minimizing the excited-state energy; therefore, we take $\gamma_1$ and $\gamma_2$ to be the same for each state $\nu$.

In the last step, we define the fine grid $(x_m, y_n)$, where $m = 1, \ldots, M$ and $n = 1, \ldots, N$, and find the discrete representation of the one-electron wavefunctions by setting the $M \times N$ matrix:

\[ \phi_{\nu}^{mn} = \phi_{\nu}(x_m, y_n). \]  
(A.3)

The one-electron wavefunctions in representation (A.3) are used to construct the Slater determinants and next we perform the CI calculations. In the two-electron calculations, we have used $N_{\nu} = 13$ one-electron states to construct up to $N_S = 169$ Slater determinants. The number of mesh points was $M \times N = 123 \times 91$.

We would like to emphasize the following advantages of the present approach. (i) It allows us to solve the electron eigenproblem in the real nanodevice, in which the electric field has a finite range, i.e. the voltage is applied between the electrodes separated by a finite distance. This numerical feature possesses an important physical consequence: if the electric field is too strong, we are dealing with the well-defined stationary bound states. It is commonly assumed that the electric field possesses an infinite range, i.e. instead of the form (4) the potential energy has the form $\Delta U = -eFx$ for $-\infty < x < +\infty$. In this case, the electron states are unbound for arbitrary $F$. This assumption leads to serious problems with the physical interpretation of the calculated states and does not allow for a rigorous description of the real finite-size nanodevices. (ii) It can be applied to arbitrary confinement potential and inhomogeneous electric field. In particular, this approach can be easily extended to multiple coupled QDs with more than two QDs. (iii) The present approach can be extended to few-electron systems with more than two electrons and to three-dimensional (3D) nanostructures.

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