Fuzzy Neural Network Applied to Gene Expression Profiling for Predicting the Prognosis of Diffuse Large B-cell Lymphoma

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Diffuse large B-cell lymphoma (DLBCL) is the largest category of aggressive lymphomas. Less than 50% of patients can be cured by combination chemotherapy. Microarray technologies have recently shown that the response to chemotherapy reflects the molecular heterogeneity in DLBCL. On the basis of published microarray data, we attempted to develop a long-overdue method for the precise and simple prediction of survival of DLBCL patients. We developed a fuzzy neural network (FNN) model to analyze gene expression profiling data for DLBCL. From data on 5857 genes, this model identified four genes (CD10, AA807551, AA805611 and IRF-4) that could be used to predict prognosis with 93% accuracy. FNNs are powerful tools for extracting significant biological markers affecting prognosis, and are applicable to various kinds of expression profiling data for any malignancy.

Key words: Fuzzy neural network — Microarray — Gene expression profiling — Diffuse large B-cell lymphoma — Prognosis

Diffuse large B-cell lymphoma (DLBCL) accounts for 30–40% of non-Hodgkin’s lymphomas and is well known to include clinically and morphologically heterogeneous groups.1–3) Current chemotherapeutic regimens can cure some patients with DLBCL, but more than half succumb to the disease.4–7) Therefore, identification of high-risk DLBCL groups is crucial and long overdue. Clinical prognostic models such as the International Prognostic Index (IPI; age, performance status, stage, number of extranodal sites and serum lactate dehydrogenase) have been used thus far to establish prognosis for DLBCL patients.8)

The recent development of microarray analysis provides a new tool for establishing the prognoses of various diseases.9, 10) With microarray data, comparison of gene expression in tissues from distinct patient groups and their normal counterparts may lead to a more comprehensive and detailed understanding of the molecular mechanisms of the disease than can be obtained from general parameters such as clinical stage and age of the patient.11, 12) Using microarray analysis, Alizadeh et al. concluded that DLBCL can be divided into two groups, germinal center B-like DLBCL and activated B-like DLBCL.11) The latter has been shown to have a poorer outcome than the former. The predictive value of their model was, however, lower with another DLBCL group.12) This is partly because Alizadeh’s analysis was designed for identifying new DLBCL subtypes, rather than for prognosis. Recently, Shipp et al. published a DNA microarray analysis of DLBCL patients who had received standard chemotherapy, and they created a prediction model based on a supervised classification algorithm. This model identified 13 out of the 6817 genes analyzed as being significant for prognosis.12) However, it would be very beneficial to minimize the number of prognostically significant genes.

Artificial neural network (ANN) analysis is a powerful tool for accurately detecting causal relationships.13) The fuzzy neural network (FNN) is one of the more advanced ANN models, and its most attractive feature is that the causality between input and output variables can be described extremely accurately as linguistic IF-THEN rules from the acquired model. In a previous study, the FNN model was applied to predicting which peptides would bind to the MHC class II molecule to stimulate an immune response, and these peptides were identified from non-binding peptides with an accuracy of more than 90%.14) The physicochemical characteristics of peptides with high binding coefficients were described in terms of IF-THEN rules.

In this study, we have applied the FNN method to the separation of clinical DLBCL patients into two groups, those predicted to survive and those predicted to die. We used the gene expression profiling data published by Alizadeh et al.11) From the expression ratios of only four genes, we succeeded in predicting outcomes for 40 DLBCL patients with 93% accuracy.
MATERIALS AND METHODS

Data preprocessing Expression data of 5857 genes in 40 DLBCL patients were taken from the Stanford Microarray Database (http://genome-www5.stanford.edu/MicroArray/SMD/).\textsuperscript{15) Expression data with a fluorescence intensity 1.4 times greater than that of the background were used without alteration, and those with an intensity level less than 1.4 times the background were treated as being at the background level.\textsuperscript{10) The ratio of expression intensity of each gene over the reference\textsuperscript{11) was used for analysis after having been normalized from 0.1 to 0.9.}

FNN modeling A type-I FNN\textsuperscript{16) was used to establish the relationship between gene expression and clinical outcome. An FNN combines fuzzy reasoning with an artificial neural network. Construction of an FNN with one input unit and one output unit is described in Fig. 1. Gene expression data, that is, normalized ratio of expression intensity is entered into the FNN and then the data are assigned to two components, high and low, which describe the grade of the gene’s expression level. The ‘high’ and ‘low’ membership grades are determined by fuzzy inference. The relationship between the ‘high’ and ‘low’ membership grades and the output is obtained by training the model using training data, as with ANN.\textsuperscript{13, 14, 16, 17) In the present study, the candidate input variables are the 5857 gene expression data points for each patient. To make the FNN model as simple as possible, the computational time for this method would have been prohibitive. To select the input genes in a short time, the selection was carried out by a SWEEP operator method without training. In this method, briefly, the weight parameters $W_c$ and $W_g$ were fixed and $W_f$ was determined by the SWEEP operator method. Since no training was carried out, the method was suitable for the selection of input variables from the large number of input candidates (5857 genes). As a first step, an FNN model with one input unit was created. Expression data for the gene from all 40 patients’ data sets were entered into the FNN model, and the $W_f$ was determined by the SWEEP operator method. We repeated this procedure 5857 times to construct a model for each gene. Then the models were compared for the accuracy with which they predicted patient survival or death, and the gene used in the most accurate model was selected as the “1st gene.” Next, the PIM\textsuperscript{14) was applied. With the “1st gene” fixed, a similar method was used to select the “2nd gene,” which yielded the highest accuracy model in combination with the “1st gene.”
times to construct a model for each gene. Then the accuracy of the models was compared, and the gene used in the model with the highest prediction accuracy was selected as the “1st gene.” Next, the PIM method was applied. Having the “1st gene” fixed, we used a similar method to select the “2nd gene,” which gave the highest accuracy in combination with the “1st gene” (Fig. 2). Having the “1st gene” and the “2nd gene” fixed, we then selected the 3rd gene. We repeated this procedure, increasing the number of input genes one by one. Then, we used training to construct FNN models with the selected genes (Fig. 3).

In the present paper, the threshold value of 0.5 was used for determining the predicted outcome; when the output was more than 0.5, it was considered that the model predicted the death of the patient (Fig. 2). The predicted outcomes were compared with the true outcome, alive or dead, and the training of the model, i.e., the adjustment of the parameters, was done by a back-propagation algorithm. The training ratio and training time were set at 0.1 and 5000 iterations, respectively. The performance of the FNN models were assessed by cross-validation (Fig. 4). In each model, 30 data sets were used for training and 10 for evaluation. Since the number of data sets was not large, the performance of the model depended on which data were used for training. In order to assess the model fairly, such cross-validation was carried out for each.

**Survival analysis and Kaplan-Meier plots** The Kaplan-Meier survival analysis plots were computed using Stat-View for Windows version 5.01 (SAS Institute, Inc., Cary, NC). The differences in survival rates were analyzed by a log-rank test (Mantel-Cox method).

**RESULTS AND DISCUSSION**

**Genes selected for prognosis** An FNN model with four genes as input variables was constructed from data on 5857 genes. These four input genes are listed in Table I in the order in which they were selected. The earlier the input variables were selected, the more important they were as input for the predictions. The model could predict the 4-year survival rate for DLBCL patients with an accuracy of 93%. This value is high compared to that from the hierarchical clustering method, 72%. CD10, a membrane metallo-endopeptidase, was the first variable selected in our analysis. It was more strongly expressed in DLBCL survivors than in those who died. Its expression has also been reported to be associated with a better response to treatment of acute lymphoblastic leukemia (ALL) and neuroblastoma. CD10 is expressed in normal precursor B-cells and follicle center cells. Leukemias and lymphomas originating from those cells, such as ALL and follicular lymphoma, also express CD10. Interestingly, about one-third of DLBCLs are known to express CD10, suggesting that their normal counterpart is the germinal center B-cell. Alizadeh et al. reported that the germinal center B-like DLBCLs showed a better outcome than the activated B-like DLBCLs. We next selected two as-yet unidentified genes, and then IRF-4 (MUM1/LSIRF). IRF-4 was identified as a protooncogene...
activated by chromosome translocation t(6;14)(p25;q32), which juxtaposes the immunoglobulin heavy-chain locus to the IRF-4 gene in multiple myeloma cell lines. The activated B-like DLBCL signature includes high IRF-4 expression and has a poorer prognosis. These findings suggest that IRF-4 expression may confer a growth advantage on the lymphoma cells, thus accounting for their aggressive clinical behavior. AA807551, a hypothetical gene also listed as accession number AL512731 in GenBank, was more strongly expressed in those who died than in the survivors of DLBCLs. However, the biological significance of the expression of this gene, and of AA805611, remains to be explored. For all four genes, differences in expression between the two patient groups showed a P value of less than 0.05 (Table I).

A previous report showed that mutations of the p53 gene were associated with a poor prognosis in aggressive B-cell lymphoma. However, owing to the unavailability of p53 expression data from some patients, we could not include the p53 gene in the analysis.

**Relationships among the selected genes** From the constructed FNN model, the relationship between the input of four genes and the output of the survival score is described as a fuzzy rule, shown in Fig. 5. From this matrix, the following rules are obtained: Patients with low expression of CD10 were predicted to have a poor prognosis in the FNN model. A poor outcome was predicted particularly when CD10 expression was low and IRF-4 expression was high. Fourteen of the patients were identified as having poor prognosis on the basis of these two factors, which corresponds to 67% of all patients with poor prognosis. Patients, No. 5, 24, 33 and 39, are four exceptional cases. Furthermore, the FNN model also identified cases with a poor prognosis despite a high expression ratio of CD10. The correct identification of these cases was obtained by adding the expression information on the other two genes; the outcomes of patients are poor even though CD10 expression is high if AA807751 is high and AA805611 is low. Six patients belong to this causality group without exception.

Our study attained a high prediction accuracy of 93% with the FNN model. This means that three out of 40 patients’ prognoses were incorrectly predicted. These three genes selected by the PIM are shown in the order selected. The P value for each gene, calculated by the Mann-Whitney test, indicates the significance of expression differences between patients with 4-year survival and those without. The predictive accuracy was determined by cross-validation (Fig. 4).

| Order of selection | Selected genes | P value | Predictive accuracy |
|--------------------|----------------|---------|---------------------|
| 1                  | CD10           | 0.008   |                     |
| 2                  | Unknown (AA807551) | 0.002   |                     |
| 3                  | Unknown (AA805611) | 0.032   | 93%                 |
| 4                  | IRF-4          | 0.022   |                     |

The genes selected by the PIM are shown in the order selected. The P value for each gene, calculated by the Mann-Whitney test, indicates the significance of expression differences between patients with 4-year survival and those without. The predictive accuracy was determined by cross-validation (Fig. 4).
patients, Nos. 5, 11 and 24, are indicated with circles in Fig. 5. Patients No. 11 and 24 had intermediate levels of CD10 expression, indicating the patients were considered marginal for survival.

Kaplan-Meier plots Kaplan-Meier survival analyses indicated that the patients predicted alive by the FNN model showed longer survival than the patients predicted dead (Fig. 6). This result indicates the existence of a gene-expression signature in DLBCL associated with a better outcome. Kaplan-Meier plots of overall survival showed the independence of the IPI and the groups based on the FNN model (Fig. 7). Among the patients whom IPI put in the low-risk group, FNN successfully identified those who had poorer prognosis. Patient prognosis was the poorest for patients in the high-risk group by IPI and predicted dead by FNN (Fig. 7B). Thus, the FNN is more informative in combination with clinical presentation. The patients predicted to have the poorest outcome by both the IPI and the FNN should be treated with a therapy other than conventional chemotherapy.

In conclusion, these results indicate that the FNN model is a powerful tool for identification of genes significant for prognosis. The FNN model should be applicable to microarray analysis data obtained from various malignancies.

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