Super-stability in the student-project allocation problem with ties

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Abstract
The Student-Project Allocation problem with lecturer preferences over Students (SPA-S) involves assigning students to projects based on student preferences over projects, lecturer preferences over students, and the maximum number of students that each project and lecturer can accommodate. This classical model assumes that each project is offered by one lecturer and that preference lists are strictly ordered. Here, we study a generalisation of SPA-S where ties are allowed in the preference lists of students and lecturers, which we refer to as the Student-Project Allocation problem with lecturer preferences over Students with Ties (SPA-ST). We investigate stable matchings under the most robust definition of stability in this context, namely super-stability. We describe the first polynomial-time algorithm to find a super-stable matching or to report that no such matching exists, given an instance of SPA-ST. Our algorithm runs in $O(L)$ time, where $L$ is the total length of all the preference lists. Finally, we present results obtained from an empirical evaluation of the linear-time algorithm based on randomly-generated SPA-ST instances. Our main finding is that, whilst super-stable matchings can be elusive when ties are present in the students’ and lecturers’ preference lists, the probability of such a matching existing is significantly higher if ties are restricted to the lecturers’ preference lists.

Keywords Student-project allocation · Stable matching · Super-stability · Polynomial-time algorithm · Empirical evaluation

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1 Introduction

The Student-Project Allocation problem (SPA) (Abraham et al. 2007; Chiarandini et al. 2019; Manlove 2013) is a many-one matching problem which involves three sets of entities: students, projects and lecturers. Each project is proposed by one lecturer and each student is required to rank a subset of these projects that she finds acceptable, in order of preference. Further, each lecturer may have preferences over the students that find her projects acceptable and/or the projects that she offers. Typically there may be capacity constraint on the number of students that each project and lecturer can accommodate. The goal is to find a matching, i.e., an assignment of students to projects based on the stated preferences such that each student is assigned to at most one project, and the capacity constraints on projects and lecturers are not violated.

Applications of SPA can be found in many university departments, for example, the School of Computing Science, University of Glasgow (Kwanashie et al. 2015), the Faculty of Science, University of Southern Denmark (Chiarandini et al. 2019), the Department of Computing Science, University of York (Kazakov 2001), and elsewhere (Anwar and Bahaj 2003; Calvo-Serrano et al. 2017; Harper et al. 2005). In this work, we will concern ourselves with a variant of SPA that involves lecturer preferences over students, which is known as the Student-Project Allocation problem with lecturer preferences over Students (SPA-s) (Abraham et al. 2007; Manlove 2013). This variant falls under the category of bipartite matching problem with two-sided preferences. In this context, it has been argued that a natural property for a matching to satisfy is that of stability (Roth 1984, 1990, 1991). Informally, a stable matching ensures that no student and lecturer would have an incentive to deviate from the matching by forming a private arrangement involving some project.

The classical SPA-S model assumes that preferences are strictly ordered. However, this might not be achievable in practice. For instance, a lecturer may be unable or unwilling to provide a strict ordering of all the students who find her projects acceptable. Such a lecturer may be happier to rank two or more students equally in a tie, which indicates that the lecturer is indifferent between the students concerned. This leads to a generalisation of SPA-S which we refer to as the Student-Project Allocation problem with lecturer preferences over Students with Ties (SPA-ST).

If we allow ties in the preference lists of students and lecturers, three different stability definitions naturally arise. Suppose $M$ is a matching in an instance of SPA-ST. Informally, we say that $M$ is weakly stable, strongly stable or super-stable if there is no student and lecturer such that if they decide to form an arrangement outside the matching, respectively,

(i) both of them would be better off,
(ii) one of them would be better off and the other would be no worse off,
(iii) neither of them would be worse off.

With respect to this informal definition, a super-stable matching is also strongly stable, and a strongly stable matching is also weakly stable. These concepts were first defined and studied by Irving (1994) in the context of the Stable Marriage problem.

1 For further reading on the classification of matching problems, we refer the interested reader to Manlove (2013).
with Ties (SMT), and subsequently extended to the Hospitals/Residents problem with Ties (HRT) (Irving et al. 2000, 2003) (where HRT is the special case of SPA-ST in which each lecturer offers only one project, and the capacity of each project is the same as the capacity of the lecturer offering the project; and SMT is a restriction of HRT where the capacity of each hospital is 1).

Considering the weakest of the three stability concepts mentioned above, every instance of SPA-ST admits a weakly stable matching (this follows by breaking the ties in an arbitrary fashion and applying the stable matching algorithm described in Abraham et al. (2007) to the resulting SPA-S instance). However, such matchings could be of different sizes (Manlove et al. 2002). Thus opting for weak stability leads to the problem of finding a weakly stable matching that matches as many students to projects as possible—a problem that is known to be NP-hard (Iwama et al. 1999; Manlove et al. 2002), even for the so-called Stable Marriage problem with Ties and Incomplete lists (SMTI), which is an extension of SMT in which the preference lists need not be complete. However, we note that a $\frac{3}{2}$-approximation algorithm was described in Cooper and Manlove (2018a) for the problem of finding a maximum size weakly stable matching, given an instance of SPA-ST.\(^2\)

Although a super-stable matching can be elusive, it avoids the problem of finding a maximum size weakly stable matching, because, as we will show in this paper, analogous to the HRT case (Irving et al. 2000): (i) all super-stable matchings have the same size; (ii) finding one or reporting that none exists can be accomplished in linear-time; and (iii) if a super-stable matching $M$ exists then all weakly stable matchings are of the same size (equal to the size of $M$), and match exactly the same set of students. Furthermore, Irving et al. (2000) argued that super-stability is a very natural solution concept in cases where agents have incomplete information. Central to their argument is the following proposition, stated for HRT in (Irving et al. 2000, Proposition 2), which extends naturally to SPA-ST as follows (see Sect. 2.2 for a proof).

**Proposition 1** Let $I$ be an instance of SPA-ST, and let $M$ be a matching in $I$. Then $M$ is super-stable in $I$ if and only if $M$ is stable in every instance of SPA-S obtained from $I$ by breaking the ties in some way.

In a practical setting, suppose that a student $s_i$ has incomplete information about two or more projects and decides to rank them equally in a tie $T$, and a super-stable matching $M$ exists in the corresponding SPA-ST instance $I$. Then $M$ is stable in every instance of SPA-S (obtained from $I$ by breaking the ties) that represents the true preferences of $s_i$. Consequently, we will focus on the concept of super-stability in the SPA-ST context.

Unfortunately not every instance of SPA-ST admits a super-stable matching. This is true, for example, in the case where there are two students, two projects and one lecturer, the capacity of each project is 1, the capacity of the lecturer is 2, and every preference list is a single tie of length 2; any matching will be undermined by some student $s_i$ and the lecturer involving a project that $s_i$ is not assigned to. Nonetheless, it should be clear from the discussions above that a super-stable matching should be preferred in practical applications when one does exist.

\(^2\) This approximation algorithm finds a weakly stable matching that is at least two-thirds the size of a maximum weakly stable matching.
Related work Irving et al. (2000) described an algorithm to find a super-stable matching given an instance of HRT, or to report that no such matching exists. However, merely reducing an instance of SPA-ST to an instance of HRT and applying the algorithm described in Irving et al. (2000) to the resulting HRT instance does not work in general (we explain this further in Sect. 2.3). Other variants of SPA in the literature involve lecturer preferences over their proposed projects (Iwama et al. 2012; Manlove et al. 2018; Manlove and O’Malley 2008), lecturer preferences over (student, project) pairs (Abu El-Atta and Moussa 2009), and no lecturer preferences at all (Kwanashie et al. 2015) (see Chiarandini et al. 2019 for a more detailed survey in this latter case). A similar model known as the Student-Project-Resource Matching-Allocation problem (SPR) was recently considered in Ismaili et al. (2019). This model is different from SPA-S in the following ways: (i) in SPA-S, the capacity of each project is fixed by the lecturer offering it, while in SPR, the capacity of each project is determined by the resources allocated to it; (ii) in SPA-S, each lecturer has a fixed capacity on the total number of students that can be assigned to her projects, while in SPR, there is no notion of lecturer capacity.

Our contribution In this paper, we describe the first polynomial-time algorithm to find a super-stable matching or to report that no such matching exists, given an instance of SPA-ST—thus solving an open problem given in Abraham et al. (2007), Manlove (2013). Our algorithm is student-oriented because it involves the students applying to projects. Moreover, the algorithm returns the student-optimal super-stable matching, in the sense that if the given instance admits a super-stable matching then our algorithm will output a solution in which each assigned student has the best project that she could obtain in any super-stable matching that the instance admits. We also present the results of an empirical evaluation based on an implementation of our algorithm that investigates how the nature of the preference lists would affect the likelihood of a super-stable matching existing, with respect to randomly-generated SPA-ST instances. Our main finding from the empirical evaluation is that super-stable matchings are very elusive with ties in the students’ and lecturers’ preference lists. However, if the preference lists of the students are strictly ordered and only the lecturers express ties in their preference lists, the probability of a super-stable matching existing is significantly higher.

The remainder of this paper is structured as follows. We give a formal definition of the SPA-S problem, the SPA-ST variant, and the super-stability concept in Sect. 2. We describe our algorithm for SPA-ST under super-stability in Sect. 3. Further, Sect. 3 also presents our algorithm’s correctness results and some structural properties satisfied by the set of super-stable matchings in an instance of SPA-ST. In Sect. 4, we present the experimental results obtained from our algorithm’s empirical evaluation. Finally, Sect. 5 presents some concluding remarks and potential direction for future work.

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3 From a theoretical perspective, the likelihood of a stable matching existing has been explored for the Stable Roommates problem—a non-bipartite generalisation of the Stable Marriage problem (Pittel and Irving 1994).
2 Preliminary definitions and results

2.1 Formal definition of SPA-S

An instance $I$ of SPA-S involves a set $S = \{s_1, s_2, \ldots, s_n\}$ of students, a set $P = \{p_1, p_2, \ldots, p_m\}$ of projects and a set $L = \{l_1, l_2, \ldots, l_k\}$ of lecturers. Each student $s_i$ ranks a subset of $P$ in strict order, which forms $s_i$’s preference list. We say that $s_i$ finds $p_j$ acceptable if $p_j$ is in $s_i$’s preference list, and we denote by $A_i$ the set of projects that $s_i$ finds acceptable. Each lecturer $l_k \in L$ offers a non-empty set of projects $P_k$, where $P_1, P_2, \ldots, P_n$ partitions $P$. Also, $l_k$ ranks in strict order of preference those students who find at least one project in $P_k$ acceptable, which forms $l_k$’s preference list. We say that $l_k$ finds $s_i$ acceptable if $s_i$ is in $l_k$’s preference list, and we denote by $L_k$ the set of students that $l_k$ finds acceptable.

For any pair $(s_i, p_j) \in S \times P$, where $p_j$ is offered by $l_k$, we refer to $(s_i, p_j)$ as an acceptable pair if $s_i$ and $l_k$ both find each other acceptable, i.e., if $p_j \in A_i$ and $s_i \in L_k$. Each project $p_j \in P$ has a capacity $c_j \in \mathbb{Z}^+$ indicating the maximum number of students that can be assigned to $p_j$. Similarly, each lecturer $l_k \in L$ has a capacity $d_k \in \mathbb{Z}^+$ indicating the maximum number of students that $l_k$ is willing to supervise. We assume that for any lecturer $l_k$,

$$\max\{c_j : p_j \in P_k\} \leq d_k \leq \sum\{c_j : p_j \in P_k\},$$

i.e., the capacity of $l_k$ is (i) at least the highest capacity of the projects offered by $l_k$, and (ii) at most the sum of the capacities of all the projects $l_k$ is offering. We denote by $L_k^1$, the projected preference list of lecturer $l_k$ for $p_j$, which can be obtained from $L_k$ by removing those students that do not find $p_j$ acceptable (thereby retaining the order of the remaining students in $L_k$).

An assignment $M$ is a subset of $S \times P$ such that $(s_i, p_j) \in M$ implies that $s_i$ finds $p_j$ acceptable. If $(s_i, p_j) \in M$, we say that $s_i$ is assigned to $p_j$, and $p_j$ is assigned $s_i$. For convenience, if $s_i$ is assigned in $M$ to $p_j$, where $p_j$ is offered by $l_k$, we may also say that $s_i$ is assigned to $l_k$, and $l_k$ is assigned $s_i$.

For any student $s_i \in S$, we let $M(s_i)$ denote the set of projects that are assigned to $s_i$ in $M$. For any project $p_j \in P$, we denote by $M(p_j)$ the set of students that are assigned to $p_j$ in $M$. Project $p_j$ is undersubscribed, full or oversubscribed in $M$ according as $|M(p_j)|$ is less than, equal to, or greater than $c_j$, respectively. Similarly, for any lecturer $l_k \in L$, we denote by $M(l_k)$ the set of students that are assigned to $l_k$ in $M$. Lecturer $l_k$ is undersubscribed, full or oversubscribed in $M$ according as $|M(l_k)|$ is less than, equal to, or greater than $d_k$, respectively.

A matching $M$ is an assignment such that each student is assigned to at most one project in $M$, each project is assigned at most to one student in $M$, and each lecturer is assigned at most $d_k$ students in $M$ (i.e., $|M(s_i)| \leq 1$ for each $s_i \in S$, $|M(p_j)| \leq c_j$ for each $p_j \in P$, and $|M(l_k)| \leq d_k$ for each $l_k \in L$). If $s_i$ is assigned to some project in $M$, for convenience we let $M(s_i)$ denote that project. In what follows, $l_k$ is the lecturer who offers project $p_j$. 
Definition 1 (Stability) Let $I$ be an instance of $SPA-st$, and let $M$ be a matching in $I$. We say that $M$ is stable if it admits no blocking pair, where a blocking pair is an acceptable pair $(s_i, p_j) \in (S \times P) \setminus M$ such that (a) and (b) holds as follows:

(a) either $s_i$ is unassigned in $M$ or $s_i$ prefers $p_j$ to $M(s_i)$;
(b) either (i), (ii) or (iii) holds as follows:

(i) each of $p_j$ and $l_k$ is undersubscribed in $M$;
(ii) $p_j$ is undersubscribed in $M$, $l_k$ is full in $M$ and either
   (1) $s_i \in M(l_k)$, or
   (2) $l_k$ prefers $s_i$ to the worst student in $M(l_k)$;
(iii) $p_j$ is full in $M$ and $l_k$ prefers $s_i$ to the worst student in $M(p_j)$.

To find a stable matching in an instance of $SPA-S$, two linear-time algorithms were described in Abraham et al. (2007). The stable matching produced by the first algorithm is student-optimal (i.e., each assigned student has the best-possible project that she could obtain in any stable matching) while the one produced by the second algorithm is lecturer-optimal (i.e., each lecturer has the best set of students that she could obtain in any stable matching). The set of stable matchings in a given instance of $SPA-S$ satisfy several interesting properties that together form what we will call the Unpopular Projects Theorem [analogous to the Rural Hospitals Theorem for HR Irving et al. 2000], which we state as follows.

Theorem 1 (Abraham et al. 2007) For a given instance of $SPA-S$, the following holds:

1. each lecturer is assigned the same number of students in all stable matchings;
2. exactly the same students are unassigned in all stable matchings;
3. a project offered by an undersubscribed lecturer is assigned the same number of students in all stable matchings.

As we will see later in this paper, when ties are present in the preference lists of students and lecturers, the set of super-stable matchings also satisfy each of the properties in Theorem 1.

2.2 Ties in the preference lists

We now define formally the generalisation of $SPA-S$ in which the preference lists can include ties. In the preference list of lecturer $l_k \in L$, a set $T$ of $r$ students forms a tie of length $r$ if $l_k$ does not prefer $s_i$ to $s_{i'}$ for any $s_i, s_{i'} \in T$ (i.e., $l_k$ is indifferent between $s_i$ and $s_{i'}$). A tie in a student’s preference list is defined similarly. For convenience, henceforth, we consider a non-tied entry in a preference list as a tie of length one. We denote by $SPA-ST$ the generalisation of $SPA-S$ in which the preference list of each student (respectively lecturer) comprises a strict ranking of ties, each comprising one or more projects (respectively students).

An example $SPA-ST$ instance $I_1$ is given in Fig. 1, which involves the set of students $S = \{s_1, s_2, s_3, s_4, s_5\}$, the set of projects $P = \{p_1, p_2, p_3\}$ and the set of lecturers $L = \{l_1, l_2\}$, with $P_1 = \{p_1, p_2\}$ and $P_2 = \{p_3\}$. Ties in the preference lists are indicated by round brackets.

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In the context of SPA-ST, we assume that all notation and terminology carries over from Sect. 2.1 as defined for SPA-S with the exception of stability, which we now define. When ties appear in the preference lists, three levels of stability arise (as in the HRT context Irving et al. 2000, 2003), namely weak stability, strong stability and super-stability. The formal definition for weak stability in SPA-ST follows from the definition for stability in SPA-S (see Definition 1). Moreover, the existence of a weakly stable matching in an instance $I$ of SPA-ST is guaranteed by breaking the ties in $I$ arbitrarily, thus giving rise to an instance $I'$ of SPA-S. Clearly, a stable matching in $I'$ is weakly stable in $I$. Indeed a converse of sorts holds, which gives rise to the following proposition.

**Proposition 2** Let $I$ be an instance of SPA-ST, and let $M$ be a matching in $I$. Then $M$ is weakly stable in $I$ if and only if $M$ is stable in some instance $I'$ of SPA-S obtained from $I$ by breaking the ties in some way.

**Proof** Let $I$ be an instance of SPA-ST and let $M$ be a matching in $I$. Suppose that $M$ is weakly stable in $I$. Let $I'$ be an instance of SPA-S obtained from $I$ by breaking the ties in the following way. For each student $s_i$ in $I$ such that the preference list of $s_i$ includes a tie $T$ containing two or more projects, we order the preference list of $s_i$ in $I'$ as follows: if $s_i$ is assigned in $M$ to a project $p_j$ in $T$ then $s_i$ prefers $p_j$ to every other project in $T$; otherwise, we order the projects in $T$ arbitrarily. For each lecturer $l_k$ in $I$ such that $l_k$'s preference list includes a tie $X$, if $X$ contains students that are assigned to $l_k$ in $M$ and students that are not assigned to $l_k$ in $M$ then $l_k$'s preference list in $I'$ is ordered in such a way that each $s_i \in X \cap M(l_k)$ is preferred to each $s_i' \in X \setminus M(l_k)$; otherwise, we order the students in $X$ arbitrarily. Now, suppose $(s_i, p_j)$ forms a blocking pair for $M$ in $I$. Given how the ties in $I$ were removed to obtain $I'$, this implies that $(s_i, p_j)$ forms a blocking pair for $M$ in $I$, a contradiction to our assumption that $M$ is weakly stable in $I$. Thus $M$ is stable in $I'$.

Conversely, suppose $M$ is stable in some instance $I'$ of SPA-S obtained from $I$ by breaking the ties in some way. Now suppose that $M$ is not weakly stable in $I$. Then some pair $(s_i, p_j)$ forms a blocking pair for $M$ in $I$. It is then clear from the definition of weak stability and from the construction of $I'$ that $(s_i, p_j)$ is a blocking pair for $M$ in $I'$, a contradiction.

As mentioned earlier, super-stability is the most robust concept to seek. Only if no super-stable matching exists in the underlying problem instance should other forms of stability be sought in a practical setting. Thus, for the remainder of this paper, we focus on super-stability in the SPA-ST context.
Definition 2 (Super-stability) Let $I$ be an instance of SPA-ST, and let $M$ be a matching in $I$. We say that $M$ is super-stable if it admits no blocking pair, where a blocking pair is an acceptable pair $(s_i, p_j) \in (S \times P) \setminus M$ such that (a) and (b) holds as follows:

(a) either $s_i$ is unassigned in $M$ or $s_i$ prefers $p_j$ to $M(s_i)$ or is indifferent between them;
(b) either (i), (ii), or (iii) holds as follows:

(i) each of $p_j$ and $l_k$ is undersubscribed in $M$;
(ii) $p_j$ is undersubscribed in $M$, $l_k$ is full in $M$ and either
   (1) $s_i \in M(l_k)$, or
   (2) $l_k$ prefers $s_i$ to the worst student/$s$ in $M(l_k)$ or is indifferent between them;
(iii) $p_j$ is full in $M$ and $l_k$ prefers $s_i$ to the worst student/$s$ in $M(p_j)$ or is indifferent between them.

It may be verified that the matching $M = \{(s_3, p_2), (s_4, p_3), (s_5, p_1)\}$ is super-stable in Fig. 1. Clearly, a super-stable matching is also weakly stable. Moreover, the super-stability definition gives rise to Proposition 1, which can be regarded as an analogue of Proposition 2 for super-stability, restated as follows.

Proposition 1 Let $I$ be an instance of SPA-ST, and let $M$ be a matching in $I$. Then $M$ is super-stable in $I$ if and only if $M$ is stable in every instance of SPA-S obtained from $I$ by breaking the ties in some way.

Proof Let $I$ be an instance of SPA-ST and let $M$ be a matching in $I$. Suppose that $M$ is super-stable in $I$. We want to show that $M$ is stable in every instance of SPA-S obtained from $I$ by breaking the ties in some way. Now, let $I'$ be an arbitrary instance of SPA-S obtained from $I$ by breaking the ties in some way, and suppose $M$ is not stable in $I'$. This implies that $M$ admits a blocking pair $(s_i, p_j)$ in $I'$. Since $I'$ is an arbitrary SPA-S instance obtained from $I$ by breaking the ties in some way, it follows that in $I$: (i) if $s_i$ is assigned in $M$ then $s_i$ either prefers $p_j$ to $M(s_i)$ or is indifferent between them, (ii) if $p_j$ is full in $M$ then $l_k$ either prefers $s_i$ to a worst student in $M(p_j)$ or is indifferent between them, and (iii) if $l_k$ is full in $M$ then either $s_i \in M(l_k)$ or $l_k$ prefers $s_i$ to a worst student in $M(l_k)$ or is indifferent between them. This implies that $(s_i, p_j)$ forms a blocking pair for $M$ in $I$, a contradiction to the super-stability of $M$.

Conversely, suppose $M$ is stable in every instance of SPA-S obtained from $I$ by breaking the ties in some way. Now suppose $M$ is not super-stable in $I$. This implies that $M$ admits a blocking pair $(s_i, p_j)$ in $I$. We construct an instance $I'$ of SPA-S from $I$ by breaking the ties in the following way: (i) if $s_i$ is assigned in $M$ and $s_i$ is indifferent between $p_j$ and $M(s_i)$ in $I$ then $s_i$ prefers $p_j$ to $M(s_i)$ in $I'$; otherwise we break the ties in $s_i$’s preference list arbitrarily, and (ii) if some student, say $s_i'$, different from $s_i$ is assigned to $l_k$ in $M$ such that $l_k$ is indifferent between $s_i'$ and $s_i'$ in $I$ then $l_k$ prefers $s_i$ to $s_i'$ in $I'$; otherwise we break the ties in $l_k$’s preference list arbitrarily. Thus $(s_i, p_j)$ forms a blocking pair for $M$ in $I'$, i.e., $M$ is not stable in $I'$, a contradiction to the fact that $M$ is stable in every instance of SPA-S obtained from $I$ by breaking the ties in some way.

The following proposition, which is a consequence of Propositions 1 and 2, and Theorem 1, tells us that if a super-stable matching $M$ exists in $I$ then all weakly stable
matchings in $I$ are of the same size (equal to the size of $M$) and match exactly the same set of students.

**Proposition 3** Let $I$ be an instance of SPA-ST, and suppose that $I$ admits a super-stable matching $M$. Then the Unpopular Projects Theorem holds for the set of weakly stable matchings in $I$.

**Proof** Let $I$ be an instance of SPA-ST. Let $M$ be a super-stable matching in $I$ and let $M'$ be a weakly stable matching in $I$. Then by Proposition 2, $M'$ is stable in some instance $I'$ of SPA-S obtained from $I$ by breaking the ties in some way. Also $M$ is stable in $I'$ by Proposition 1. By Theorem 1, each lecturer is assigned the same number of students in $M$ and $M'$, exactly the same students are unassigned in $M$ and $M'$, and a project offered by an undersubscribed lecturer is assigned the same number of students in $M$ and $M'$. Hence, the Unpopular Projects Theorem holds for the set of weakly stable matchings in $I$. \hfill \qed 

### 2.3 Cloning from SPA-ST to HRT does not work in general

As mentioned earlier, Irving et al. (2000) described a polynomial-time algorithm to find a super-stable matching or report that no such matching exists, given an instance of HRT. The authors referred to their algorithm as Algorithm HRT-Super-Res. One might assume that reducing a given instance of SPA-ST to an instance of HRT (using a “cloning” technique) and subsequently applying Algorithm HRT-Super-Res to the resulting instance would solve our problem. However, this is not always true. In what follows, we describe an obvious method to clone an instance of SPA-ST to an instance of HRT, and we show that applying the super-stable matching algorithm described in Irving et al. (2000) to the resulting HRT instance does not work in general.

A method to derive an instance $I'$ of HRT from an instance $I$ of SPA-ST was described by Cooper and Manlove (2018b). We explain this method as follows. The students and projects involved in $I$ are converted into residents and hospitals respectively in $I'$, i.e., each $s_i \in S$ becomes $r_i$ in the cloned instance, and each $p_j \in P$ becomes $h_j$. Residents inherit their preference lists naturally from students, i.e., if $r_i$ corresponds to $s_i$ then the preference list of $r_i$ in $I'$ is $A_i$, with each project in $A_i$ being replaced by the associated hospital. Hospitals inherit their preference lists from the projected preference list of the associated project according to the lecturer offering the project, i.e., if $p_j$ corresponds to $h_j$ (where $p_j$ is offered by $l_k$) then the preference list of $h_j$ in $I'$ is $L_{l_k}^j$, with each student in $L_{l_k}^j$ being replaced by the associated resident. Each hospital also inherits its capacity from the project, i.e., for each $h_j$ associated with $p_j$, the capacity of $h_j$ is $c_j$.

Let $l_k$ be an arbitrary lecturer in $I$. In order to translate $l_k$’s capacity into the HRT instance, we create $n$ dummy residents\footnote{The dummy residents created for each hospital will offset the difference between the corresponding lecturer capacity and the total capacity of her proposed projects.} for each hospital $h_j$ corresponding to a project $p_j \in P_k$, where $n$ is the difference between the sum of the capacities of all the projects in $P_k$ and the capacity of $l_k$ (recall that $\sum_{p_j \in P_k} c_j \geq d_k$). The preference list for each of these dummy residents will be a single tie consisting of all the hospitals corresponding
to a project in $P_k$. Further, the preference list for each hospital corresponding to a project in $P_k$ will include a tie in its first position consisting of all the dummy residents associated with $l_k$.

Next, we describe how to map between matchings in $I$ and in $I'$. Let $M$ and $M'$ be a matching in $I$ and in $I'$ respectively. Let $r_i$ be the resident associated with $s_i$ and let $h_j$ be the hospital associated with $p_j$. If $s_i$ is assigned in $M$ to project $p_j$, then $r_i$ is assigned in $M'$ to hospital $h_j$. To illustrate the cloning technique described above, we give an example instance $I$ of SPA-ST in Fig. 2 as well as the corresponding cloned hrt instance $I'$ in Fig. 3. Also, we give an intuition as to why this technique will not work in general.

With respect to Figs. 2 and 3, each resident $r_1$, $r_2$ and $r_3$ in $I'$ corresponds to student $s_1$, $s_2$ and $s_3$ in $I$, respectively; and the preference list of each resident is adapted from the preference list of the associated student. Also, each hospital $h_1$, $h_2$ and $h_3$ in $I'$ corresponds to project $p_1$, $p_2$ and $p_3$ in $I$, respectively. The preference list of hospitals $h_1$ and $h_2$ is $L^1_1$ and $L^2_1$ respectively, since $l_1$ is the lecturer that offers both $p_1$ and $p_2$. Similarly, the preference list of hospital $h_3$ is $L^3_2$, since $l_2$ is the lecturer that offers $p_3$. Further, for lecturer $l_1$ who offers both $p_1$ and $p_2$, since $c_1 + c_2 = 2 > 1 = d_1$, we add one dummy resident $r_{d_1}$ to the cloned instance. The preference list of $r_{d_1}$ is a single tie consisting of $h_1$ and $h_2$; and the preference list of both $h_1$ and $h_2$ includes $r_{d_1}$ in first position.

The reader can easily verify that matching $M = \{(s_1, p_1), (s_3, p_3)\}$ is super-stable in the SPA-ST instance $I$ illustrated in Fig. 2. Now, following our description of how to map between matchings in $I$ and in $I'$, a matching in $I'$ is $M' = \{(r_{d_1}, h_2), (r_1, h_1), (r_3, h_3)\}$, with $(s_1, p_1) \in M$ corresponding to $(r_1, h_1) \in M'$ and $(s_3, p_3) \in M$ corresponding to $(r_3, h_3) \in M'$. Clearly, $M'$ is not super-stable in $I'$ as $(r_{d_1}, h_1)$ forms a blocking pair. In fact, the hrt instance $I'$ admits no super-stable matching. The justification for this is as follows: irrespective of the hospital that the dummy resident $r_{d_1}$ is assigned to in any matching obtained from $I'$, $r_{d_1}$ will block this matching via the other hospital tied in her preference list (since the hospital would be better off taking on $r_{d_1}$, and $r_{d_1}$ would be no worse off).
One way to avoid this problem would be to strictly order the hospitals in \( r_{d_1} \)'s preference list; however, the order in which the hospitals appear will lead to different possibilities. For instance: if \( r_{d_1} \) prefers \( h_1 \) to \( h_2 \), the reader can verify that the corresponding HRT instance admits no super-stable matching; however, if \( r_{d_1} \) prefers \( h_2 \) to \( h_1 \), again the reader can verify that the corresponding HRT instance admits the super-stable matching \( \{(r_{d_1}, h_2), (r_1, h_1), (r_3, h_3)\} \). The downside of this strategy is that there is no obvious reason as to why \( r_{d_1} \) should prefer \( h_2 \) to \( h_1 \) in the cloned HRT instance in Fig. 3 by merely looking at the original SPA-ST instance in Fig. 2. Hence, in order to make this technique work in general, we will need to generate every HRT instance obtained by ordering the dummy residents’ preference lists in some way. This is exponential in the problem instance.

3 An algorithm for SPA-ST under super-stability

In this section we present our algorithm for SPA-ST under super-stability, which we will refer to as Algorithm SPA-ST-super. Before we proceed, we briefly describe Algorithm HRT-Super-Res (Irving et al. 2000). The algorithm involves a sequence of proposals from the residents to the hospitals. Each resident proposes in turn to all of the hospitals tied together at the head of her preference list, and all proposals are provisionally accepted. If a hospital \( h \) becomes oversubscribed then none of \( h \)'s worst assignees nor any resident tied with these assignees in \( h \)'s preference list can be assigned to \( h \) in any super-stable matching—such pairs \((r, h)\) are deleted from each other’s preference lists. If a hospital \( h \) is full then no resident strictly worse than \( h \)'s worst assignees can be assigned to \( h \) in any super-stable matching—again such \((r, h)\) pairs are deleted from each other’s preference lists. The proposal sequence terminates once every resident is either assigned to a hospital or has an empty preference list. At this point, if the constructed assignment of residents to hospitals is super-stable in the original HRT instance then the assignment is returned as a super-stable matching. Otherwise, the algorithm reports that no super-stable matching exists.

We note that our algorithm is a non-trivial extension of Algorithm HRT-Super-Res for HRT (Irving et al. 2000). Due to the more general setting of SPA-ST, Algorithm SPA-ST-super requires some new ideas (precisely lines 27–34 of the algorithm on page 14), and the proofs of the correctness results are more complex than for the aforementioned algorithm for HRT. We give definitions relating to the algorithm in Sect. 3.1. We give a description of our algorithm in Sect. 3.2, before presenting it in pseudocode form. In Sect. 3.3, we illustrate an execution of our algorithm with respect to an example SPA-ST instance. We present the algorithm’s correctness results in Sect. 3.4. Finally, in Sect. 3.5, we show that the set of super-stable matchings in an instance of SPA-ST satisfy analogous properties to those given in Theorem 1.

3.1 Definitions relating to the algorithm

First, we present some definitions relating to the algorithm. In what follows, \( I \) is an instance of SPA-ST, \((s_i, p_j)\) is an acceptable pair in \( I \) and \( l_k \) is the lecturer who offers
Further, if \((s_i, p_j)\) belongs to some super-stable matching in \(I\), we call \((s_i, p_j)\) a super-stable pair.

During the execution of the algorithm, students become provisionally assigned to projects. It is possible for a project to be provisionally assigned a number of students that exceed its capacity. This holds analogously for a lecturer. The algorithm proceeds by deleting from the preference lists certain \((s_i, p_j)\) pairs that cannot be super-stable. By the term delete \((s_i, p_j)\), we mean the removal of \(p_j\) from \(s_i\)’s preference list and the removal of \(s_i\) from \(L_{p_j}^l\) (the projected preference list of lecturer \(l_k\) for \(p_j\)). In addition, if \(s_i\) is provisionally assigned to \(p_j\) at this point, we break the assignment. If \(s_i\) has been deleted from every projected preference list of \(l_k\) that she originally belonged to, we will implicitly assume that \(s_i\) has been deleted from \(l_k\)’s preference list. By the head of a student’s preference list at a given point, we mean the set of one or more projects, tied in her preference list after any deletions might have occurred, that she prefers to all other projects in her list.

For project \(p_j\), we define the tail of \(L_{p_j}^l\) as the least-preferred tie in \(L_{p_j}^l\) after any deletions might have occurred (recalling that a tie can be of length one). In the same fashion, we define the tail of \(L_k\) (the preference list of lecturer \(l_k\)) as the least-preferred tie in \(L_k\) after any deletions might have occurred. If \(s_i\) is provisionally assigned to \(p_j\), we define the successors of \(s_i\) in \(L_{p_j}^l\) as those students that are worse than \(s_i\) in \(L_{p_j}^l\). An analogous definition holds for the successors of \(s_i\) in \(L_k\).

### 3.2 Description of the algorithm

We now describe our algorithm, shown in pseudocode form in Algorithm 1. Algorithm SPA-ST-super begins by initialising an empty set \(M\) which will contain the provisional assignments of students to projects (and implicitly to lecturers). We remark that such assignments can subsequently be broken during the algorithm’s execution. Also, each project is initially assigned to be empty (i.e., not assigned to any student).

The while loop of the algorithm involves each student \(s_i\) who is not provisionally assigned to any project in \(M\) and who has a non-empty preference list applying in turn to each project \(p_j\) at the head of her list. Immediately, \(s_i\) becomes provisionally assigned to \(p_j\) in \(M\) (and to \(l_k\)). If, by gaining a new student, \(p_j\) becomes oversubscribed, it turns out that none of the students \(s_i\) at the tail of \(L_{p_j}^l\) can be assigned to \(p_j\) in any super-stable matching—such pairs \((s_t, p_j)\) are deleted. Similarly, if by gaining a new student, \(l_k\) becomes oversubscribed, none of the students \(s_i\) at the tail of \(L_k\) can be assigned to any project offered by \(l_k\) in any super-stable matching—the pairs \((s_t, p_u)\), for each project \(p_u \in P_k\) that \(s_t\) finds acceptable, are deleted.

Regardless of whether any deletions occurred as a result of the two conditionals described in the previous paragraph, we have two further (possibly non-disjoint) cases in which deletions may occur. If \(p_j\) becomes full, we let \(s_r\) be any worst student provisionally assigned to \(p_j\) (according to \(L_{p_j}^l\)), and we delete \((s_t, p_j)\) for each successor \(s_t\) of \(s_r\) in \(L_{p_j}^l\). Similarly if \(l_k\) becomes full, we let \(s_r\) be any worst student provisionally assigned to \(l_k\), and we delete \((s_t, p_u)\), for each successor \(s_t\) of \(s_r\) in \(L_k\) and for each...
project $p_u \in P_k$ that $s_t$ finds acceptable. As we will prove later, none of the (student, project) pairs that we delete is a super-stable pair.

At the point where the while loop terminates (i.e., when every student is provisionally assigned to one or more projects or has an empty preference list), if some project $p_j$ that was previously full ends up undersubscribed, we let $s_r$ be any one of the most-preferred students (according to $L^1_j$) who was provisionally assigned to $p_j$ during some iteration of the algorithm but is not assigned to $p_j$ at this point (for convenience, we henceforth refer to such $s_r$ as the most-preferred student rejected from $p_j$ according to $L^1_j$). If the students at the tail of $L_k$ (recalling that the tail of $L_k$ is the least-preferred tie in $L_k$ after any deletions might have occurred) are no better than $s_r$, it turns out that none of these students $s_t$ can be assigned to any project offered by $l_k$ in any super-stable matching—the pairs $(s_t, p_u)$, for each project $p_u \in P_k$ that $s_t$ finds acceptable, are deleted. The while loop is then potentially reactivated, and the entire process continues until every student is provisionally assigned to a project or has an empty preference list, at which point the repeat-until loop terminates.

Upon termination of the repeat-until loop, if the set $M$, containing the assignment of students to projects, is super-stable relative to the given instance $I$ then $M$ is output as a super-stable matching in $I$. Otherwise, the algorithm reports that no super-stable matching exists in $I$.

### 3.3 Example algorithm execution

We illustrate an execution of Algorithm SPA-ST-super with respect to the spa-st instance shown in Fig. 1 (page 7). We initialise $M = \{\}$, which will contain the provisional assignment of students to projects. For each project $p_j \in \mathcal{P}$, we set $\text{full}(p_j) = \text{false}$ ($\text{full}(p_j)$ will be set to $\text{true}$ when $p_j$ becomes full, so that we can easily identify any project that was full during an iteration of the algorithm and ended up undersubscribed). We assume that the students become provisionally assigned to each project at the head of their list in subscript order. Table 1 illustrates how this execution of Algorithm SPA-ST-super proceeds with respect to $I_1$.

### 3.4 Correctness of algorithm SPA-ST-super

We now present a series of results concerning the correctness of Algorithm SPA-ST-super. The first of these results deals with the fact that no super-stable pair is deleted during an execution of the algorithm. In what follows, $I$ is an instance of spa-st, $(s_i, p_j)$ is an acceptable pair in $I$ and $l_k$ is the lecturer who offers $p_j$.

**Lemma 1** If a pair $(s_i, p_j)$ is deleted during an execution of Algorithm SPA-ST-super, then $(s_i, p_j)$ does not belong to any super-stable matching in $I$.

In order to prove Lemma 1, we present Lemmas 2 and 3.

**Lemma 2** If a pair $(s_i, p_j)$ is deleted within the while loop during an execution of Algorithm SPA-ST-super then $(s_i, p_j)$ does not belong to any super-stable matching in $I$.
Table 1 An execution of Algorithm SPA-ST-super with respect to Fig. 1

| While loop iterations | Student applies to project | Consequence                                                                 |
|-----------------------|-----------------------------|-----------------------------------------------------------------------------|
| 1                     | $s_1$ applies to $p_1$      | $M = \{(s_1, p_1)\}$. $\text{full}(p_1) = \text{true}$.                   |
| 2                     | $s_2$ applies to $p_1$      | $M = \{(s_1, p_1), (s_2, p_1)\}$. $p_1$ becomes oversubscribed. The tail of $L_1^1$ contains $s_1$ and $s_2$—thus we delete the pairs $(s_1, p_1)$ and $(s_2, p_1)$ (and we break the provisional assignments). |
|                       | $s_2$ applies to $p_3$      | $M = \{(s_2, p_3)\}$. $\text{full}(p_3) = \text{true}$.                   |
| 3                     | $s_3$ applies to $p_2$      | $M = \{(s_2, p_3), (s_3, p_2)\}$.                                         |
| 4                     | $s_4$ applies to $p_2$      | $M = \{(s_2, p_3), (s_3, p_2), (s_4, p_2)\}$. $\text{full}(p_2) = \text{true}$. |
| 5                     | $s_5$ applies to $p_3$      | $M = \{(s_2, p_3), (s_3, p_2), (s_4, p_2), (s_5, p_3)\}$. $p_3$ becomes oversubscribed. The tail of $L_3^2$ contains only $s_2$—thus we delete the pair $(s_2, p_3)$ (and we break the provisional assignment). |
| 6                     | $s_4$ applies to $p_3$      | $M = \{(s_3, p_2), (s_5, p_3), (s_4, p_3)\}$. $p_3$ becomes oversubscribed. The tail of $L_3^3$ contains only $s_5$—thus we delete the pair $(s_5, p_3)$. |
| 7                     | $s_5$ applies to $p_1$      | $M = \{(s_3, p_2), (s_4, p_3), (s_5, p_1)\}$.                              |

The first iteration of the while loop terminates since every unassigned student (i.e., $s_1$ and $s_2$) has an empty preference list. At this point, $\text{full}(p_1)$ is true and $p_1$ is undersubscribed. Moreover, the student at the tail of $L_1^1$ (i.e., $s_4$) is no better than $s_1$, where $s_1$ was previously assigned to $p_1$ and $s_1$ is also the most-preferred student rejected from $p_1$ according to $L_1^1$; thus we delete the pair $(s_4, p_2)$. The while loop is then reactivated.

Again, every unassigned student has an empty preference list. We also have that $\text{full}(p_2)$ is true and $p_2$ is undersubscribed; however no further deletion is carried out in line 34 of the algorithm, since the student at the tail of $L_1^1$ (i.e., $s_4$) is better than $s_4$, where $s_4$ was previously assigned to $p_2$ and $s_4$ is also the most-preferred student rejected from $p_2$ according to $L_1^3$. Hence, the repeat-until loop terminates and the algorithm outputs $M = \{(s_3, p_2), (s_4, p_3), (s_5, p_1)\}$ as a super-stable matching. It is clear that $M$ is super-stable in the original instance $I_1$. 
Algorithm 1 Algorithm SPA-ST-super

Input: SPA-ST instance $I$
Output: a super-stable matching $M$ in $I$ or "no super-stable matching exists in $I"
1: $M \leftarrow \emptyset$
2: for each $p_j \in P$ do
3: $\text{full}(p_j) = \text{false}$
4: repeat
5: while some student $s_i$ is unassigned and has a non-empty preference list do
6: for each $p_j$ at the head of $s_i$’s preference list do
7: $l_k \leftarrow$ lecturer who offers $p_j$
8: /* $s_i$ applies to $p_j$ */
9: $M \leftarrow M \cup \{(s_i, p_j)\}$ /* provisionally assign $s_i$ to $p_j$ (and to $l_k$) */
10: if $p_j$ is oversubscribed then
11: for each student $s_t$ at the tail of $L_k^j$ do
12: delete $(s_t, p_j)$
13: else if $l_k$ is oversubscribed then
14: for each student $s_t$ at the tail of $L_k^j$ do
15: for each project $p_u \in P_k \cap A_l$ do
16: delete $(s_t, p_u)$
17: if $p_j$ is full then
18: $\text{full}(p_j) = \text{true}$
19: $s_r \leftarrow$ worst student assigned to $p_j$ according to $L_k^j$ {any if $>1$}
20: for each successor $s_t$ of $s_r$ on $L_k^j$ do
21: delete $(s_t, p_j)$
22: if $l_k$ is full then
23: $s_r \leftarrow$ worst student assigned to $l_k$ according to $L_k^j$ {any if $>1$}
24: for each successor $s_t$ of $s_r$ on $L_k^j$ do
25: for each project $p_u \in P_k \cap A_l$ do
26: delete $(s_t, p_u)$
27: for each $p_j \in P$ do
28: if $p_j$ is undersubscribed and $\text{full}(p_j)$ is true then
29: $l_k \leftarrow$ lecturer who offers $p_j$
30: $s_r \leftarrow$ most-preferred student rejected from $p_j$ according to $L_k^j$ {any if $>1$}
31: if the students at the tail of $L_k$ are no better than $s_r$ then
32: for each student $s_t$ at the tail of $L_k$ do
33: for each project $p_u \in P_k \cap A_l$ do
34: delete $(s_t, p_u)$
35: until every unassigned student has an empty preference list
36: if $M$ is super-stable in $I$ then
37: return $M$
38: else
39: return "no super-stable matching exists in $I"

Proof Without loss of generality, suppose that the first super-stable pair to be deleted within the while loop during an arbitrary execution $E$ of the algorithm is $(s_i, p_j)$, which belongs to some super-stable matching, say $M^*$. Suppose that $M$ is the assign-

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(1) \( p_j \) is oversubscribed. Suppose that \((s_i, p_j)\) is deleted because some student (possibly \( s_i \)) became provisionally assigned to \( p_j \) during \( E \), causing \( p_j \) to become oversubscribed. If \( p_j \) is full or undersubscribed at point \( \frac{1}{2} \), since \( s_i \in M^*(p_j) \setminus M(p_j) \) and no project can be oversubscribed in \( M^* \), then there is some student \( s_r \in M(p_j) \setminus M^*(p_j) \) such that \( l_k \) prefers \( s_r \) to \( s_i \) or is indifferent between them. We note that \( s_r \) cannot be assigned to a project that she prefers to \( p_j \) in any super-stable matching. Otherwise, since \( p_j \) must have been in the head of \( s_r \)'s preference list when she applied, this would mean that a super-stable pair was deleted before \((s_i, p_j)\). Thus either \( s_r \) is unassigned in \( M^* \) or \( s_r \) prefers \( p_j \) to \( M^*(s_r) \) or \( s_r \) is indifferent between them. Clearly, for any combination of \( l_k \) and \( p_j \) being full or undersubscribed in \( M^* \), it follows that \((s_r, p_j)\) blocks \( M^* \), a contradiction.

(2) \( l_k \) is oversubscribed. Suppose that \((s_i, p_j)\) is deleted because some student (possibly \( s_i \)) became provisionally assigned to a project offered by lecturer \( l_k \) during \( E \), causing \( l_k \) to become oversubscribed. At point \( \frac{1}{2} \), none of the projects offered by \( l_k \) is oversubscribed in \( M \), otherwise we will be in case (1). Similar to case (1), if \( l_k \) is full or undersubscribed at point \( \frac{1}{2} \), since \( s_i \in M^*(p_j) \setminus M(p_j) \) and no lecturer can be oversubscribed in \( M^* \), it follows that there is some project \( p_j' \in P_k \) and some student \( s_r \in M(p_j) \setminus M^*(p_j) \) such that \( l_k \) prefers \( s_r \) to \( s_i \) or is indifferent between them. We consider two subcases.

(i) If \( p_j' = p_j \) then \( s_r \neq s_i \). Moreover, as in case (1), either \( s_r \) is unassigned in \( M^* \) or \( s_r \) prefers \( p_j' \) to \( M^*(s_r) \) or \( s_r \) is indifferent between them. For any combination of \( l_k \) and \( p_j' \) being full or undersubscribed in \( M^* \), we have that \((s_r, p_j')\) blocks \( M^* \), a contradiction.

(ii) If \( p_j' \neq p_j \). Assume firstly that \( s_r \neq s_i \). Then as \( p_j' \) has fewer assignees in \( M^* \) than it has provisional assignees in \( M \), and as in (i) above, \((s_r, p_j')\) blocks \( M^* \), a contradiction. Finally assume \( s_r = s_i \). Then \( s_i \) must have applied to \( p_j' \) at some point during \( E \) before \( \frac{1}{2} \). Clearly, either \( s_i \) prefers \( p_j' \) to \( p_j \) or \( s_i \) is indifferent between them, since \( p_j' \) must have been in the head of \( s_i \)'s preference list when \( s_i \) applied. Since \( s_i \in M^*(l_k) \) and \( p_j' \) is undersubscribed in \( M^* \), it follows that \((s_i, p_j')\) blocks \( M^* \), a contradiction.

(3) \( p_j \) is full. Suppose that \((s_i, p_j)\) is deleted because \( p_j \) became full during \( E \). At point \( \frac{1}{2} \), \( p_j \) is full in \( M \). Thus at least one of the students in \( M(p_j) \), say \( s_r \), will not be assigned to \( p_j \) in \( M^* \), for otherwise \( p_j \) will be oversubscribed in \( M^* \). This implies that either \( s_r \) is unassigned in \( M^* \) or \( s_r \) prefers \( p_j \) to \( M^*(s_r) \) or \( s_r \) is indifferent between them. For otherwise, we obtain a contradiction to \((s_i, p_j)\) being the first super-stable pair to be deleted. Since \( l_k \) prefers \( s_r \) to \( s_i \), it follows that \((s_r, p_j)\) blocks \( M^* \), a contradiction.

(4) \( l_k \) is full. Suppose that \((s_i, p_j)\) is deleted because \( l_k \) became full during \( E \). We consider two subcases.

(i) All the students assigned to \( p_j \) in \( M \) at point \( \frac{1}{2} \) (if any) are also assigned to \( p_j \) in \( M^* \). This implies that \( p_j \) has one more assignee in \( M^* \) than it has provisional assignees in \( M \), namely \( s_i \). Thus, some other project \( p_j^* \in P_k \) has fewer assignees in \( M^* \) than it has provisional assignees in \( M \), for otherwise \( l_k \) would be oversubscribed in \( M^* \). Hence there exists some student \( s_r \in
It is clear that $s_r \neq s_t$, since $s_t$ plays the role of $s_t$ at some for loop iteration in line 24 of the algorithm. Also, $s_r$ cannot be assigned to a project that she prefers to $p_{j'}$ in $M^*$, as explained in case (1). Moreover, since $p_{j'}$ is undersubscribed in $M^*$ and $l_k$ prefers $s_r$ to $s_t$, it follows that $(s_r, p_{j'})$ blocks $M^*$, a contradiction.

(ii) Some student, say $s_r$, who is assigned to $p_j$ in $M$ is not assigned to $p_{j'}$ in $M^*$, i.e., $s_r \in M(p_j) \setminus M^*(p_j)$. Since $s_r$ cannot be assigned in $M^*$ to a project that she prefers to $p_j$ and since $l_k$ prefers $s_r$ to $s_t$, it follows that $(s_r, p_j)$ blocks $M^*$, a contradiction.

\[\square\]

**Lemma 3** If a pair $(s_i, p_j)$ is deleted in line 34 of Algorithm SPA-ST-super then $(s_i, p_j)$ does not belong to any super-stable matching in $I$.

**Proof** Without loss of generality, suppose that the first super-stable pair to be deleted during an arbitrary execution $E$ of the algorithm is $(s_i, p_j)$, which belongs to some super-stable matching, say $M^*$. Then by Lemma 2, $(s_i, p_j)$ was deleted in line 34 during $E$. Let $l_k$ be the lecturer who offers $p_j$. Suppose that $M$ is the assignment during the iteration of the repeat-until loop where $(s_i, p_{j'})$ was deleted.

Let $p_{j'}$ be some other project offered by $l_k$ which was full during a previous repeat-until loop iteration and subsequently ends up undersubscribed in the current repeat-until loop iteration, i.e., $p_{j'}$ plays the role of $p_j$ in line 28. Suppose that $s_{j'}$ plays the role of $s_r$ in line 30, i.e., $s_{j'}$ is the most-preferred student rejected from $p_{j'}$ according to $L^j_k$ (possibly $s_{j'} = s_j$). Moreover, $s_{j'}$ was provisionally assigned to $p_{j'}$ during a previous repeat-until loop iteration but $(s_{j'}, p_{j'}) \notin M$ in the current repeat-until loop iteration. Thus $(s_{j'}, p_{j'})$ has been deleted before the deletion of $(s_i, p_{j'})$ occurred; and thus, $(s_{j'}, p_{j'}) \notin M^*$, since $(s_i, p_{j'})$ is the first super-stable pair to be deleted. Further, $l_k$ either prefers $s_{j'}$ to $s_i$ or is indifferent between them, since $s_i$ plays the role of $s_i$ at some for loop iteration in line 32.

We remark that no student who is provisionally assigned to some project in $M$ can be assigned to a project better than her current assignment in any super-stable matching. For otherwise, this would mean a super-stable pair must have been deleted before $(s_i, p_j)$, since each student who is assigned in $M$ applies to projects in the head of her preference list. So, either $s_{j'}$ is unassigned in $M^*$ or $s_{j'}$ prefers $p_{j'}$ to $M^*(s_{j'})$ or $s_i$ is indifferent between them. By the super-stability of $M^*$, $p_{j'}$ is full in $M^*$ and $l_k$ prefers every student in $M^*(p_{j'})$ to $s_{j'}$; for otherwise, $(s_{j'}, p_{j'})$ blocks $M^*$, a contradiction.

Let $l_{z_0} = l_k$, $p_{t_0} = p_{j'}$ and $s_{q_0} = s_{j'}$. Just before the deletion of $(s_i, p_j)$ occurred, $p_{t_0}$ is undersubscribed in $M$. Since $p_{t_0}$ is full in $M^*$, there exists some student $s_{q_1} \in M^*(p_{t_0}) \setminus M(p_{t_0})$. We note that $l_{z_0}$ prefers $s_{q_1}$ to $s_{q_0}$; for otherwise, $(s_{j'}, p_{j'})$ blocks $M^*$, a contradiction. Let $p_{t_1} = p_{t_0}$. Since $(s_i, p_j)$ is the first super-stable pair to be deleted, $s_{q_1}$ is assigned in $M$ to a project $p_{t_2}$ such that $s_{q_1}$ prefers $p_{t_1}$ to $p_{t_1}$. For otherwise, as each student applies to projects at the head of her preference list, that would mean $(s_{q_1}, p_{t_1})$ must have been deleted before $(s_i, p_j)$, a contradiction. We note that $p_{t_2} \neq p_{t_1}$, since $(s_{q_1}, p_{t_2}) \in M$ and $(s_{q_1}, p_{t_1}) \notin M$. Let $l_{z_1}$ be the lecturer who offers $p_{t_2}$. By the super-stability of $M^*$, either (i) or (ii) holds as follows:
(i) $p_{t_2}$ is full in $M^*$ and $l_{z_1}$ prefers the worst student/s in $M^*(p_{t_2})$ to $s_{q_1}$;
(ii) $p_{t_2}$ is undersubscribed in $M^*$, $l_{z_1}$ is full in $M^*$, $s_{q_1} \not\in M^*(l_{z_1})$ and $l_{z_1}$ prefer the worst student/s in $M^*(l_{z_1})$ to $s_{q_1}$.

Otherwise $(s_{q_1}, p_{t_2})$ blocks $M^*$. In case (i), there exists some student $s_{q_2} \in M^*(p_{t_2}) \setminus M(p_{t_2})$. Let $p_{t_3} = p_{t_2}$. In case (ii), there exists some student $s_{q_2} \in M^*(l_{z_1}) \setminus M(l_{z_1})$. We note that $l_{z_1}$ prefers $s_{q_2}$ to $s_{q_1}$. Now, suppose $M^*(s_{q_2}) = p_{t_3}$ (possibly $p_{t_3} = p_{t_2}$). It is clear that $s_{q_2} \not\in s_{q_1}$. Applying similar reasoning as for $s_{q_1}, s_{q_2}$ is assigned to $M$ to a project $p_{t_4}$ such that $s_{q_2}$ prefers $p_{t_4}$ to $p_{t_3}$. Let $l_{z_2}$ be the lecturer who offers $p_{t_4}$. We are identifying a sequence $\langle s_{q_i} \rangle_{i \geq 1}$ of students, a sequence $\langle p_{t_i} \rangle_{i \geq 1}$ of projects, and a sequence $\langle l_{z_i} \rangle_{i \geq 1}$ of lecturers, such that, for each $i \geq 1$

1. $s_{q_i}$ prefers $p_{t_{2i}}$ to $p_{t_{2i-1}}$,
2. $(s_{q_i}, p_{t_{2i}}) \in M$ and $(s_{q_i}, p_{t_{2i-1}}) \in M^*$,
3. $l_{z_i}$ prefers $s_{q_{i+1}}$ to $s_{q_i}$, also, $l_{z_i}$ offers both $p_{t_{2i}}$ and $p_{t_{2i+1}}$ (possibly $p_{t_{2i}} = p_{t_{2i+1}}$).

First we claim that for each new project that we identify, $p_{t_{2i}} \neq p_{t_{2i-1}}$ for $i \geq 1$. Suppose $p_{t_{2i}} = p_{t_{2i-1}}$ for some $i \geq 1$. From above $s_{q_i}$ was identified by $l_{z_{i-1}}$ such that $(s_{q_i}, p_{t_{2i-1}}) \in M^* \setminus M$. Moreover $(s_{q_i}, p_{t_{2i}}) \in M$. Hence we reach a contradiction. Clearly, for each student $s_{q_i}$ that we identify, for $i \geq 1$, $s_{q_i}$ must be assigned to distinct projects in $M$ and in $M^*$.

Next we claim that for each new student $s_{q_i}$ that we identify, $s_{q_i} \neq s_{q_j}$ for $1 \leq i < j$. We prove this by induction on $i$. For the base case, clearly $s_{q_2} \neq s_{q_1}$. We assume that the claim holds for some $i \geq 1$, i.e., the sequence $s_{q_1}, s_{q_2}, \ldots, s_{q_i}$ consists of distinct students. We show the claim holds for $i+1$, i.e., the sequence $s_{q_1}, s_{q_2}, \ldots, s_{q_i}, s_{q_{i+1}}$ also consists of distinct students. Clearly $s_{q_{i+1}} \neq s_{q_i}$ since $l_{z_i}$ prefers $s_{q_{i+1}}$ to $s_{q_i}$. Thus, it suffices to show that $s_{q_{i+1}} \neq s_{q_j}$ for $1 \leq j \leq i - 1$. Now, suppose $s_{q_{i+1}} = s_{q_j}$ for $1 \leq j \leq i - 1$. This implies that $s_{q_j}$ was identified by $l_{z_j}$ and clearly $l_{z_j}$ prefers $s_{q_j}$ to $s_{q_{j-1}}$. Now since $s_{q_{i+1}}$ was also identified by $l_{z_i}$ to avoid the blocking pair $(s_{q_i}, p_{t_{2i}})$ in $M^*$, it follows that either (i) $p_{t_{2i}}$ is full in $M^*$, or (ii) $p_{t_{2i}}$ is undersubscribed in $M^*$ and $l_{z_i}$ is full in $M^*$. We consider each cases further as follows.

(i) If $p_{t_{2i}}$ is full in $M^*$, we know that $(s_{q_i}, p_{t_{2i}}) \in M \setminus M^*$. Moreover $s_{q_i}$ was identified by $l_{z_{i+1}}$ because of case (i). Furthermore $(s_{q_{j-1}}, p_{t_{2i}}) \in M \setminus M^*$. In this case, $p_{t_{2i+1}} \neq p_{t_{2i}}$ and we have that

$$(s_{q_i}, p_{t_{2i+1}}) \in M \setminus M^* \quad \text{and} \quad (s_{q_{i+1}}, p_{t_{2i+1}}) \in M^* \setminus M,$$

$$(s_{q_{j-1}}, p_{t_{2i+1}}) \in M \setminus M^* \quad \text{and} \quad (s_{q_j}, p_{t_{2i+1}}) \in M^* \setminus M.$$

By the inductive hypothesis, the sequence $s_{q_1}, s_{q_2}, \ldots, s_{q_{j-1}}, s_{q_j}, \ldots, s_{q_i}$ consists of distinct students. This implies that $s_{q_j} \neq s_{q_{j-1}}$. Thus since $p_{t_{2i+1}}$ is full in $M^*$, $l_{z_j}$ should have been able to identify distinct students $s_{q_j}$ and $s_{q_{i+1}}$ to avoid the blocking pairs $(s_{q_{j-1}}, p_{t_{2i+1}})$ and $(s_{q_i}, p_{t_{2i+1}})$ respectively in $M^*$, a contradiction.

(ii) $p_{t_{2i}}$ is undersubscribed in $M^*$ and $l_{z_i}$ is full in $M^*$. Similarly as in case (i) above, we have that

$$s_{q_i} \in M(l_{z_i}) \setminus M^*(l_{z_i}) \quad \text{and} \quad s_{q_{i+1}} \in M^*(l_{z_i}) \setminus M(l_{z_i}),$$

$$s_{q_{j-1}} \in M(l_{z_i}) \setminus M^*(l_{z_i}) \quad \text{and} \quad s_{q_j} \in M^*(l_{z_i}) \setminus M(l_{z_i}).$$
Since $s_{q_i} \neq s_{q_{j-1}}$ and $l_{z_i}$ is full in $M^*$, $l_{z_i}$ should have been able to identify distinct students $s_{q_j}$ and $s_{q_{i+1}}$ corresponding to students $s_{q_{j-1}}$ and $s_{q_i}$ respectively, a contradiction.

This completes the induction step. As the sequence of distinct students and projects we are identifying is infinite, we reach an immediate contradiction. \(\square\)

Lemmas 2 and 3 immediately give rise to Lemma 1. The next lemma will be used as a tool in the proof of the remaining lemmas.

**Lemma 4** Let $M$ be the assignment at the termination of Algorithm SPA-ST-super and let $M^*$ be any super-stable matching in $I$. Let $l_k$ be an arbitrary lecturer: (i) if $l_k$ is undersubscribed in $M^*$ then every student who is assigned to $l_k$ in $M$ is also assigned to $l_k$ in $M^*$; and (ii) if $l_k$ is undersubscribed in $M$ then $l_k$ has the same number of assignees in $M^*$ as in $M$.

**Proof** Let $l_k$ be an arbitrary lecturer. First, we show that (i) holds. Suppose otherwise, then there exists a student, say $s_i$, such that $s_i \in M(l_k) \setminus M^*(l_k)$. Moreover, there exists some project $p_j \in P_k$ such that $s_i \in M(p_j) \setminus M^*(p_j)$. By Lemma 1, $s_i$ cannot be assigned to a project that she prefers to $p_j$ in $M^*$. Also, by the super-stability of $M^*$, $p_j$ is full in $M^*$ and $l_k$ prefers the worst student/s in $M^*(p_j)$ to $s_i$.

Let $l_{z_0} = l_k$, $p_{l_0} = p_j$, and $s_{q_0} = s_i$. As $p_{l_0}$ is full in $M^*$ and no project is oversubscribed in $M$, there exists some student $s_{q_1} \in M^*(p_{l_0}) \setminus M(p_{l_0})$ such that $l_{z_0}$ prefers $s_{q_1}$ to $s_{q_0}$. Let $p_{l_1} = p_{l_0}$. By Lemma 1, $s_{q_1}$ is assigned in $M$ to a project $p_{l_2}$ such that $s_{q_1}$ prefers $p_{l_2}$ to $p_{l_1}$. We note that $s_{q_1}$ cannot be indifferent between $p_{l_2}$ and $p_{l_1}$; for otherwise, as each student applies to projects at the head of her preference list, since $(s_{q_1}, p_{l_1}) \notin M$, that would mean $(s_{q_1}, p_{l_1})$ must have been deleted during the algorithm’s execution, contradicting Lemma 1. It follows that $s_{q_1} \in M(p_{l_2}) \setminus M^*(p_{l_2})$.

Let $l_{z_1}$ be the lecturer who offers $p_{l_2}$. By the super-stability of $M^*$, either (i) or (ii) holds as follows:

(i) $p_{l_2}$ is full in $M^*$ and $l_{z_1}$ prefers the worst student/s in $M^*(p_{l_2})$ to $s_{q_1}$;
(ii) $p_{l_2}$ is undersubscribed in $M^*$, $l_{z_1}$ is full in $M^*$, $s_{q_1} \notin M^*(l_{z_1})$ and $l_{z_1}$ prefers the worst student/s in $M^*(l_{z_1})$ to $s_{q_1}$.

Otherwise $(s_{q_1}, p_{l_2})$ blocks $M^*$. In case (i), there exists some student $s_{q_2} \in M^*(p_{l_2}) \setminus M(p_{l_2})$. Let $p_{l_3} = p_{l_2}$. In case (ii), there exists some student $s_{q_2} \in M^*(l_{z_1}) \setminus M(l_{z_1})$. We note that $l_{z_1}$ prefers $s_{q_2}$ to $s_{q_1}$. Now, suppose $M^*(s_{q_2}) = p_{l_3}$ (possibly $p_{l_3} = p_{l_2}$). It is clear that $s_{q_2} \neq s_{q_1}$. Applying similar reasoning as for $s_{q_1}$, student $s_{q_2}$ is assigned in $M$ to a project $p_{l_4}$ such that $s_{q_2}$ prefers $p_{l_4}$ to $p_{l_3}$. Let $l_{z_2}$ be the lecturer who offers $p_{l_4}$. We are identifying a sequence $(s_{q_i})_{i \geq 1}$ of students, a sequence $(p_{l_i})_{i \geq 1}$ of projects, and a sequence $(l_{z_i})_{i \geq 1}$ of lecturers, such that, for each $i \geq 1$

1. $s_{q_i}$ prefers $p_{l_{2i}}$ to $p_{l_{2i-1}}$,
2. $(s_{q_i}, p_{l_{2i}}) \in M$ and $(s_{q_{i+1}}, p_{l_{2i+1}}) \in M^*$,
3. $l_{z_i}$ prefers $s_{q_{i+1}}$ to $s_{q_i}$; also, $l_{z_i}$ offers both $p_{l_{2i}}$ and $p_{l_{2i+1}}$ (possibly $p_{l_{2i}} = p_{l_{2i+1}}$).

Following a similar argument as in the proof of Lemma 3, we can identify an infinite sequence of distinct students and projects, a contradiction. Hence, if $l_k$ is
undersubscribed in $M^*$ then every student who is assigned to $l_k$ in $M$ is also assigned to $l_k$ in $M^*$.

Next, we show that (ii) holds. By the first claim, any lecturer who is full in $M$ is also full in $M^*$, and any lecturer who is undersubscribed in $M$ has as many assignees in $M^*$ as she has in $M$. Hence

$$\sum_{l_k \in \mathcal{L}} |M(l_k)| \leq \sum_{l_k \in \mathcal{L}} |M^*(l_k)|.$$  \hfill (1)

We note that if a student $s_i$ is unassigned in $M$, by Lemma 1, $s_i$ is unassigned in $M^*$. Equivalently, if $s_i$ is assigned in $M^*$ then $s_i$ is assigned in $M$. Let $S_1$ denote the set of students who are assigned to at least one project in $M$, and let $S_2$ denote the set of students who are assigned to a project in $M^*$; it follows that $|S_2| \leq |S_1|$. Further, we have that

$$\sum_{l_k \in \mathcal{L}} |M^*(l_k)| = |S_2| \leq |S_1| \leq \sum_{l_k \in \mathcal{L}} |M(l_k)|.$$ \hfill (2)

From Inequalities (1) and (2), it follows that $|M(l_k)| = |M^*(l_k)|$ for each $l_k \in \mathcal{L}$. \hfill $\Box$

The next three lemmas deal with the case that Algorithm SPA-ST-super reports the non-existence of a super-stable matching in $I$.

**Lemma 5** If a student is assigned to two or more projects at the termination of Algorithm SPA-ST-super then $I$ admits no super-stable matching.

**Proof** Let $M$ be the assignment at the termination of the algorithm. Suppose for a contradiction that there exists a super-stable matching $M^*$ in $I$. Suppose that a student is assigned to two or more projects in $M$. Then either (a) any two of these projects are offered by different lecturers or (b) all of these projects are offered by the same lecturer.

Firstly, suppose (a) holds. Then some lecturer has fewer assignees in $M^*$ than in $M$. Suppose not, then

$$\sum_{l_k \in \mathcal{L}} |M^*(l_k)| \geq \sum_{l_k \in \mathcal{L}} |M(l_k)|.$$ \hfill (3)

Let $S_1$ and $S_2$ be as defined in the proof of Lemma 4, it follows that $|S_2| \leq |S_1|$. Hence,

$$\sum_{l_k \in \mathcal{L}} |M^*(l_k)| = |S_2| \leq |S_1| < \sum_{l_k \in \mathcal{L}} |M(l_k)|.$$ \hfill (4)

since some student in $S_1$ is assigned in $M$ to two or more projects offered by different lecturers. Inequality (4) contradicts Inequality (3). Hence, our claim is established. As some lecturer $l_k$ has fewer assignees in $M^*$ than in $M$, it follows that $l_k$ is undersubscribed in $M^*$, since no lecturer is oversubscribed in $M$. In particular, there exists...
some project \( p_j \in P_k \) and some student, say \( s_i \), such that \( p_j \) is undersubscribed in \( M^* \) and \((s_i, p_j) \in M \setminus M^* \). Since \((s_i, p_j) \in M \), then \( p_j \) must have been in the head of \( s_i \)'s preference list when \( s_i \) applied to \( p_j \) during the algorithm’s execution. By Lemma 1, either \( s_i \) is unassigned in \( M^* \) or \( s_i \) prefers \( p_j \) to \( M^*(s_i) \) or \( s_i \) is indifferent between them. Hence \((s_i, p_j) \) blocks \( M^* \), a contradiction.

Next, suppose (b) holds. Then \(|S_1| \leq \sum_{l_k \in \mathcal{L}} |M(l_k)|\). As in case (a), since \(|S_2| \leq |S_1| \), it follows that

\[
\sum_{l_k \in \mathcal{L}} |M^*(l_k)| \leq \sum_{l_k \in \mathcal{L}} |M(l_k)| .
\]

Suppose first that \(|M^*(l_k)| < |M(l_k)|\) for some \( l_k \in \mathcal{L} \). Then \( l_k \) has fewer assignees in \( M^* \) than in \( M \), and following a similar argument as in case (a) above, we reach an immediate contradiction. Hence, \(|M^*(l_k)| = |M(l_k)|\) for all \( l_k \in \mathcal{L} \). For each \( l_k \in \mathcal{L} \), we claim that every student who is assigned to \( l_k \) in \( M \) is also assigned to \( l_k \) in \( M^* \). Suppose otherwise. Let \( l_{z_1} \) be an arbitrary lecturer in \( \mathcal{L} \). Then there exists some student \( s_{q_1} \in M(l_{z_1}) \setminus M^*(l_{z_1}) \). Let \( M(s_{q_1}) = l_{p_1} \). By Lemma 1, \( s_{q_1} \) is assigned in \( M^* \) to a project \( p_{t_1} \) such that \( s_{q_1} \) prefers \( p_{t_1} \) to \( p_{t_1} \). Clearly, \( p_{t_1} \) is not offered by \( l_{z_1} \), since \( s_{q_1} \in M(l_{z_1}) \setminus M^*(l_{z_1}) \). We also note that \( s_{q_1} \) cannot be indifferent between \( p_{t_1} \) and \( p_{t_1} \). Otherwise, the argument follows from (a), since \( s_{q_1} \) is assigned in \( M \) to two projects offered by different lecturers, and we reach an immediate contradiction. By the super-stability of \( M^* \), either (i) or (ii) holds as follows:

(a) \( p_{t_1} \) is full in \( M^* \) and \( l_{z_1} \) prefers every student in \( M^*(p_{t_1}) \) to \( s_{q_1} \);  
(b) \( p_{t_1} \) is undersubscribed in \( M^* \), \( l_{z_1} \) is full in \( M^* \) and \( l_{z_1} \) prefers every student in \( M^*(l_{z_1}) \) to \( s_{q_1} \).

Otherwise, \((s_{q_1}, p_{t_1}) \) blocks \( M^* \). In case (i), there exists some student \( s_{q_2} \in M^*(p_{t_1}) \setminus M(p_{t_1}) \). Let \( p_{t_3} = p_{t_2} \). In case (ii), there exists some student \( s_{q_2} \in M^*(l_{z_1}) \setminus M(l_{z_1}) \). We note that \( l_{z_1} \) prefers \( s_{q_2} \) to \( s_{q_1} \), and clearly \( s_{q_2} \neq s_{q_1} \). Let \( M^*(s_{q_2}) = p_{t_1} \) (possibly \( p_{t_3} = p_{t_1} \)). Applying similar reasoning as for \( s_{q_1} \), student \( s_{q_2} \) is assigned in \( M \) to a project \( p_{t_4} \) such that \( s_{q_2} \) prefers \( p_{t_4} \) to \( p_{t_3} \). We are identifying a sequence \( \langle s_{q_i} \rangle_{i \geq 1} \) of students, a sequence \( \langle p_{t_i} \rangle_{i \geq 1} \) of projects, and a sequence \( \langle l_{z_i} \rangle_{i \geq 1} \) of lecturers, such that, for each \( i \geq 1 \)

1. \( s_{q_i} \) prefers \( p_{t_{2i}} \) to \( p_{t_{2i-1}} \),  
2. \((s_{q_i}, p_{t_{2i}}) \) is \( M \) and \((s_{q_i}, p_{t_{2i-1}}) \) is \( M^* \),  
3. \( l_{z_i} \) prefers \( s_{q_{i+1}} \) to \( s_{q_i} \); also, \( l_{z_i} \) offers both \( p_{t_{2i}} \) and \( p_{t_{2i+1}} \) (possibly \( p_{t_{2i}} = p_{t_{2i+1}} \)).

Following a similar argument as in the proof of Lemma 3, we can identify an infinite sequence of distinct students and projects, a contradiction.

Now, let \( s_i \) be an arbitrary student such that \( s_i \) is assigned in \( M \) to two or more projects offered by a lecturer, say \( l_k \). Then \( s_i \in M^*(l_k) \). Moreover, there exists some project \( p_j \in P_k \) such that \((s_i, p_j) \in M \setminus M^* \). We claim that \( p_j \) is undersubscribed in \( M^* \). Suppose otherwise. Let \( l_{z_0} = l_k \), \( p_{t_{0}} = p_j \) and \( s_{q_0} = s_i \). Then there exists some student \( s_{q_1} \in M^*(p_{t_0}) \setminus M(p_{t_0}) \), since \( p_{t_0} \) is not oversubscribed in \( M \) and \( s_{q_0} \in M(p_{t_0}) \setminus M^*(p_{t_0}) \). Again, by Lemma 1, \( s_{q_1} \) is assigned in \( M \) to a project \( p_{t_1} \) such that \( s_{q_1} \) prefers \( p_{t_1} \) to \( p_{t_0} \). Let \( l_{z_1} \) be the lecturer who offers \( p_{t_1} \). Following a similar
argument as in the proof of Lemma 3, we can identify a sequence of distinct students and projects, and as this sequence is infinite, we reach a contradiction. Hence our claim holds, i.e., \( p_j \) is undersubscribed in \( M^* \). Finally, since \( s_i \) cannot be assigned to any project that she prefers to \( p_j \) in \( M^* \) and since \((s_i, p_j) \in M^*(l_k)\), we have that \((s_i, p_j)\) blocks \( M^* \), a contradiction.

**Lemma 6** If some lecturer \( l_k \) becomes full during some execution of Algorithm SPA-ST-super and \( l_k \) subsequently ends up undersubscribed at the termination of the algorithm, then \( I \) admits no super-stable matching.

**Proof** Let \( M \) be the assignment at the termination of the algorithm. Suppose for a contradiction that there exists a super-stable matching \( M^* \) in \( I \). Let \( l_k \) be the lecturer who became full during some execution of the algorithm and subsequently ends up undersubscribed in \( M \). By Lemma 4, \(|M(l_k)| = |M^*(l_k)|\) and thus \( l_k \) is undersubscribed in \( M^* \). At the point in the algorithm where \( l_k \) became full (line 22), we note that none of the projects offered by \( l_k \) is oversubscribed. Since \( l_k \) ended up undersubscribed in \( M \), it follows that there is some project \( p_j \in P_k \) that has fewer assignees in \( M \) at the termination of the algorithm than it had at some point during the algorithm’s execution, thus \( p_j \) is undersubscribed in \( M \).

We claim that each project offered by \( l_k \) has the same number of assignees in \( M^* \) as in \( M \). Suppose otherwise, then there is some project \( p_t \in P_k \) such that \(|M^*(p_t)| < |M(p_t)|\); thus \( p_t \) is undersubscribed in \( M^* \), since no project is oversubscribed in \( M \). It follows that there exists some student \( s_r \in M(p_t) \setminus M^*(p_t) \). By Lemma 1, \( s_r \) is either unassigned in \( M^* \) or prefers \( p_t \) to \( M^*(s_r) \). Since \( l_k \) is undersubscribed in \( M^* \), \((s_r, p_t)\) blocks \( M^* \), a contradiction. Hence \(|M^*(p_t)| \geq |M(p_t)|\). Moreover, since \(|M(l_k)| = |M^*(l_k)|\), we have that \(|M(p_t)| = |M^*(p_t)|\) for all \( p_t \in P_k \).

Hence \( p_j \) undersubscribed in \( M \) implies that \( p_j \) is undersubscribed in \( M^* \). Moreover, there is some student \( s_i \) who was provisionally assigned to \( p_j \) at some point during the execution of the algorithm but \( s_i \) is not assigned to \( p_j \) in \( M \). Thus, the pair \((s_i, p_j)\) was deleted during the algorithm’s execution, so that \((s_i, p_j) \notin M^* \) by Lemma 1. It follows that either \( s_i \) is unassigned in \( M^* \) or \( s_i \) prefers \( p_j \) to \( M^*(s_i) \) or \( s_i \) is indifferent between them. Hence, \((s_i, p_j)\) blocks \( M^* \), a contradiction.

**Lemma 7** If the pair \((s_i, p_j)\) was deleted during some execution of Algorithm SPA-ST-super, and at the termination of the algorithm \( s_i \) is not assigned to a project better than \( p_j \), and each of \( p_j \) and \( l_k \) is undersubscribed, then \( I \) admits no super-stable matching.

**Proof** Suppose for a contradiction that there exists a super-stable matching \( M^* \) in \( I \). Let \((s_i, p_j)\) be a pair that was deleted during an arbitrary execution \( E \) of the algorithm. This implies that \((s_i, p_j) \notin M^* \) by Lemma 1. Let \( M \) be the assignment at the termination of \( E \). By the hypothesis of the lemma, \( l_k \) is undersubscribed in \( M \). This implies that \( l_k \) is undersubscribed in \( M^* \), by Lemma 4. Since \( p_j \) is offered by \( l_k \), and \( p_j \) is undersubscribed in \( M \), it follows from the proof of Lemma 6 that \( p_j \) is undersubscribed in \( M^* \). Further, by the hypothesis of the lemma, either \( s_i \) is unassigned in \( M \), or \( s_i \) prefers \( p_j \) to \( M(s_i) \) or is indifferent between them. By Lemma 1, this is true for \( s_i \) in \( M^* \). Hence \((s_i, p_j)\) blocks \( M^* \), a contradiction.
The next lemma shows that the final assignment may be used to determine the existence, or otherwise, of a super-stable matching in $I$.

**Lemma 8** If at the termination of Algorithm SPA-ST-super, the assignment $M$ is not super-stable in $I$ then no super-stable matching exists in $I$.

**Proof** Suppose $M$ is not super-stable in $I$. If some student $s_i$ is assigned to two or more projects in $M$ then $I$ admits no super-stable matching, by Lemma 5. Hence every student is assigned to at most one project in $M$. Moreover, since no project or lecturer is oversubscribed in $M$, it follows that $M$ is a matching. Let $(s_i, p_j)$ be a blocking pair for $M$, then $s_i$ is either unassigned in $M$ or prefers $p_j$ to $M(s_i)$ or is indifferent between them. Whichever is the case, $(s_i, p_j)$ has been deleted. Let $l_k$ be the lecturer who offers $p_j$. In what follows, we will identify the point in the algorithm at which $(s_i, p_j)$ was deleted, and consequently, we will arrive at a conclusion that no super-stable matching exists.

Firstly, suppose $(s_i, p_j)$ was deleted as a result of $p_j$ being full or oversubscribed (on lines 12 or 21). Suppose $p_j$ is full in $M$. Then $(s_i, p_j)$ cannot block $M$ irrespective of whether $l_k$ is undersubscribed or full in $M$, since $l_k$ prefers the worst assigned student/s in $M(p_j)$ to $s_i$. Hence $p_j$ is undersubscribed in $M$. As $p_j$ was previously full, each pair $(s_t, p_u)$, for each $s_t$ that is no better than $s_i$ at the tail of $L_k$ and each $p_u \in P_k \cap A_j$, would have been deleted on line 34 of the algorithm. Thus, if $l_k$ is full in $M$ then $(s_i, p_j)$ does not block $M$. Suppose $l_k$ is undersubscribed in $M$. If $l_k$ was full at some point during the execution of the algorithm then $I$ admits no super-stable matching, by Lemma 6. Hence $l_k$ was never full during the algorithm’s execution. Recall that each of $p_j$ and $l_k$ is undersubscribed in $M$. As $(s_i, p_j)$ is a blocking pair of $M$, $s_i$ cannot be assigned in $M$ to a project that she prefers to $p_j$. Hence $I$ admits no super-stable matching, by Lemma 7.

Next, suppose $(s_i, p_j)$ was deleted as a result of $l_k$ being full or oversubscribed (on lines 16 or 26), $(s_i, p_j)$ could only block $M$ if $l_k$ is undersubscribed in $M$. If this is the case then $I$ admits no super-stable matching, by Lemma 6.

Finally, suppose $(s_i, p_j)$ was deleted (on line 34) because some other project $p_j'$ offered by $l_k$ was previously full and ended up undersubscribed on line 28. Then $l_k$ must have identified the most-preferred student, say $s_r$, who was previously assigned to $p_j'$ but subsequently got rejected from $p_j'$. At this point, $s_i$ is at the tail of $L_k$ and $s_i$ is no better than $s_r$ in $L_k$. Moreover, every project offered by $l_k$ that $s_i$ finds acceptable would have been deleted from $s_i$’s preference list at the for loop iteration in line 34. If $p_j$ is full in $M$ then $(s_i, p_j)$ does not block $M$. Hence $p_j$ is undersubscribed in $M$. If $l_k$ is full in $M$ then $(s_i, p_j)$ does not block $M$, since $s_i \not\in M(l_k)$ and $l_k$ prefers the worst student/s in $M(l_k)$ to $s_i$. Hence $l_k$ is undersubscribed in $M$. Again by Lemma 7, $I$ admits no super-stable matching.

Since $(s_i, p_j)$ is an arbitrary pair, this implies that $I$ admits no super-stable matching.

The next lemma shows that Algorithm SPA-ST-super may be implemented to run in linear time.
Lemma 9 Algorithm SPA-ST-super may be implemented to run in $O(L)$ time and $O(n_1 n_2)$ space, where $n_1$, $n_2$, and $L$ are the number of students, number of projects, and the total length of the preference lists, respectively, in $I$.

**Proof** The algorithm’s time complexity depends on how efficiently we can execute the operation of a student applying to a project and the operation of deleting a (student, project) pair, each of which occur once for any (student, project) pair. It turns out that both operations can be implemented to run in constant time, giving Algorithm SPA-ST-super an overall complexity of $\Theta(L)$, where $L$ is the total length of all the preference lists. In what follows, we describe the non-trivial aspects of such an implementation. We remark that the data structures discussed here are inspired by, and extend, those detailed in Abraham et al. (2007, Section 3.3), for Algorithm SPA-student.

For each student $s_i$, build an array $\text{position}_{s_i}$, where $\text{position}_{s_i}(p_j)$ is the position of project $p_j$ in $s_i$’s preference list. For example, if $s_i$’s preference list is $(p_2\ p_5\ p_3) p_1$ then $\text{position}_{s_i}(p_5) = 2$ and $\text{position}_{s_i}(p_1) = 6$. In general, position captures the order in which the projects appear in the preference list when read from left to right, ignoring any ties. Represent $s_i$’s preference list by embedding doubly linked lists in an array $\text{preferences}_{s_i}$. For each project $p_j \in A_i$, $\text{preferences}_{s_i}(\text{position}_{s_i}(p_j))$ stores the list node containing $p_j$. This node contains two next pointers (and two previous pointers)—one to the next project in $s_i$’s preference list (after deletions, this project may not be located at the next array position), and another pointer to the next project $p_{j'}$ in $s_i$’s preference list, where $p_{j'}$ and $p_j$ are both offered by the same lecturer. Construct the latter list by traversing through $s_i$’s preference list, using a temporary array to record the last project in the list offered by each lecturer. Use virtual initialisation (described in Brassard and Bratley 1996, p. 149) for these arrays, since the overall $O(n_1 n_2)$ initialisation may be too expensive.

To represent the ties in $s_i$’s preference list, build an array $\text{successor}_{s_i}$. For each project $p_j$ in $s_i$’s preference list, $\text{successor}_{s_i}(\text{position}_{s_i}(p_j))$ stores the $\text{true}$ boolean if $p_j$ is tied with its successor in $A_i$ and $\text{false}$ otherwise. After the deletion of any (student, project) pair, update the successor booleans. As an illustration, with respect to $s_i$’s preference list given in the previous paragraph, $\text{successor}_{s_i}$ is the array $[\text{true}, \text{true}, \text{false}, \text{false}, \text{true}, \text{false}]$. Now, suppose $p_3$ was deleted from $s_i$’s preference list, since $\text{successor}_{s_i}(\text{position}_{s_i}(p_3))$ is $\text{false}$ and $\text{successor}_{s_i}(\text{position}_{s_i}(p_5))$ is $\text{true}$, set $\text{successor}_{s_i}(\text{position}_{s_i}(p_5))$ to $\text{true}$ (since $p_5$ is the predecessor of $p_3$). Clearly using these data structures, we can find the next project at the head of each student’s preference list, find the next project offered by a given lecturer on each student’s preference list, as well as delete a project from a given student’s preference list in constant time.

For each lecturer $l_k$, build two arrays $\text{preference}_{l_k}$ and $\text{successor}_{l_k}$, where $\text{preference}_{l_k}(s_i)$ is the position of student $s_i$ in $l_k$’s preference list, and $\text{successor}_{l_k}(\text{preference}_{l_k}(s_i))$ stores the position of the first strict successor (with respect to position) of $s_i$ in $L_k$ or a null value if $s_i$ has no strict successor\(^5\). Represent $l_k$’s preference list (i.e., $L_k$) by the array $\text{preference}_{l_k}$, with an additional pointer, $\text{last}_{l_k}$. Initially, $\text{last}_{l_k}$ stores the index of the last position in $\text{preference}_{l_k}$. To represent the ties in $l_k$’s preference list, build an array $\text{predecessor}_{l_k}$. For each $s_i \in L_k$,

\(^5\) For example, if $l_k$’s preference list is $s_5 \ (s_3\ s_1\ s_6)\ s_7 \ (s_2\ s_8)$ then $\text{successor}_{l_k}$ is the array $[2\ 5\ 5\ 6\ 0\ 0]$. 

\[ 
\text{Pre} 
\]
predecessor\_l_k (\text{preference}_{l_k}(s_i)) stores the \texttt{true} boolean if \(s_i\) is tied with its predecessor in \(\mathcal{L}_k\) and \texttt{false} otherwise.

When \(l_k\) becomes full, make \(\text{last}_{l_k}\) equivalent to \(l_k\)’s worst assigned student through the following method. Perform a backward traversal through the array \text{preference}_{l_k}, starting at \(\text{last}_{l_k}\), and continuing until \(l_k\)’s worst assigned student, say \(s_i'\), is encountered (each student stores a pointer to their assigned project, or a special null value if unassigned). Deletions must be carried out in the preference list of each student who is worse than \(s_i'\) on \(l_k\)’s preference list (precisely those students whose position in \text{preference}_{l_k}\) is greater than or equal to that stored in \text{successor}_{l_k}(\text{preference}_{l_k}(s_i'))\).

When \(l_k\) becomes oversubscribed, we can find and delete the students at the tail of \(l_k\) by performing a backward traversal through the array \text{preference}_{l_k}, starting at \(\text{last}_{l_k}\), and continuing until we encounter a student, say \(s_i'\), such that \(\text{predecessor}_{l_k}(\text{preference}_{l_k}(s_i'))\) stores the \texttt{false} boolean. If \(l_k\) becomes under-subscribed after we break the assignment of students encountered on this traversal (including \(s_i'\)) to \(l_k\), rather than update \(\text{last}_{l_k}\) immediately, which could be expensive, we wait until \(l_k\) becomes full again. The cost of these traversals taken over the algorithm’s execution is thus linear in the length of \(l_k\)’s preference list.

For each project \(p_j\) offered by \(l_k\), build the arrays \text{preference}_{p_j}, \text{successor}_{p_j} and \text{predecessor}_{p_j} corresponding to \(\mathcal{L}_j^k\), as described in the previous paragraph for \(\mathcal{L}_k\). Represent the projected preference list of \(l_k\) for \(p_j\) (i.e., \(\mathcal{L}_j^k\)) by the array \text{preference}_{p_j}, with an additional pointer, \(\text{last}_{p_j}\). These project preference arrays are used in much the same way as the lecturer preference arrays.

Since we only visit a student at most twice during these backward traversals, once for the lecturer and once for the project, the asymptotic running time remains linear.

Lemma 1 shows that there is an optimality property for each assigned student in any super-stable matching found by the algorithm, whilst Lemma 8 establishes the correctness of Algorithm SPA-ST-super. The following theorem collects together Lemmas 1, 8 and 9.

**Theorem 2** For a given instance \(I\) of SPA-ST, Algorithm SPA-ST-super determines, in \(O(L)\) time and \(O(n_1n_2)\) space, whether or not a super-stable matching exists in \(I\). If such a matching does exist, all possible executions of the algorithm find one in which each assigned student is assigned to the best project that she could obtain in any super-stable matching, and each unassigned student is unassigned in all super-stable matchings.

Given the optimality property established by Theorem 2, we define the super-stable matching found by Algorithm SPA-ST-super to be student-optimal.

\footnote{For efficiency, we remark that it is not necessary to make deletions from the preference lists of lecturers or projected preference lists of lecturers for each project the lecturer offers, since the while loop of Algorithm SPA-ST-super involves students applying to projects in the head of their preference list.}
In this section, we consider properties of the set of super-stable matchings in an instance of \( \text{spa-st} \). We show that the Unpopular Projects Theorem for \( \text{spa-s} \) (see Theorem 1) holds for \( \text{spa-st} \) under super-stability.

**Theorem 3** For a given instance \( I \) of \( \text{spa-st} \), the following holds:

1. each lecturer is assigned the same number of students in all super-stable matchings;
2. exactly the same students are unassigned in all super-stable matchings;
3. a project offered by an undersubscribed lecturer has the same number of students in all super-stable matchings.

**Proof** Let \( M \) and \( M^* \) be two arbitrary super-stable matchings in \( I \). Let \( I' \) be an instance of \( \text{spa-s} \) obtained from \( I \) by breaking the ties in \( I \) in some way. Then by Proposition 1, each of \( M \) and \( M^* \) is stable in \( I' \). Thus by Theorem 1, each lecturer is assigned the same number of students in \( M \) and \( M^* \), exactly the same students are unassigned in \( M \) and \( M^* \), and a project offered by an undersubscribed lecturer has the same number of students in \( M \) and \( M^* \). \( \square \)

To illustrate this, consider the \( \text{spa-st} \) instance \( I_2 \) given in Fig. 4, which admits the super-stable matchings \( M_1 = \{ (s_3, p_3), (s_4, p_2), (s_5, p_3), (s_6, p_2) \} \) and \( M_2 = \{ (s_3, p_3), (s_4, p_3), (s_5, p_2), (s_6, p_2) \} \). Each of \( l_1 \) and \( l_2 \) is assigned the same number of students in both \( M_1 \) and \( M_2 \), illustrating part (1) of Theorem 3. Also, each of \( s_1 \) and \( s_2 \) is unassigned in both \( M_1 \) and \( M_2 \), illustrating part (2) of Theorem 3. Finally, \( l_2 \) is undersubscribed in both \( M_1 \) and \( M_2 \), and each of \( p_3 \) and \( p_4 \) has the same number of students in both \( M_1 \) and \( M_2 \), illustrating part (3) of Theorem 3.

### 4 Empirical evaluation

In this section, we evaluate an implementation of Algorithm \( \text{SPA-ST-super} \). We implemented our algorithm in Python,\(^7\) and performed our experiments on a system with dual Intel Xeon CPU E5-2640 processors with 64GB of RAM, running Ubuntu 17.10. For our experiment, we were primarily concerned with the following question: how does the nature of the preference lists in a given \( \text{spa-st} \) instance affect the existence of a super-stable matching?

\(^{7}\) https://github.com/sofiatolaosebikan/spa-st-super
4.1 Datasets

When generating random datasets, there are clearly several parameters that can be varied, such as the number of students, projects and lecturers; the lengths of the students’ preference lists as well as a measure of the density of ties present in the preference lists. We denote by $t_d$, the measure of the density of ties present in the preference lists. In each student’s preference list, the tie density $t_{d_s}$ ($0 \leq t_{d_s} \leq 1$) is the probability that some project is tied to its successor. The tie density $t_{d_l}$ in each lecturer’s preference list is defined similarly. At $t_{d_s} = t_{d_l} = 1$, each preference list comprises a single tie while at $t_{d_s} = t_{d_l} = 0$, no tie would exist in the preference lists, thus reducing the problem to an instance of SPA-s.

4.2 Experimental setup

For each range of values for the aforementioned parameters, we randomly generated a set of SPA-st instances, involving $n_1$ students (which we will henceforth refer to as the size of the instance), $0.5n_1$ projects, $0.2n_1$ lecturers and $1.5n_1$ total project capacity which was randomly distributed amongst the projects. The capacity for each lecturer $l_k$ was chosen uniformly at random to lie between the highest capacity of the projects offered by $l_k$ and the sum of the capacities of the projects that $l_k$ offers. In each set, we measured the proportion of instances that admit a super-stable matching.

It is worth mentioning that when we varied the tie density on both the students’ and lecturers’ preference lists between 0.1 and 0.5, super-stable matchings were very elusive, even with an instance size of 100 students. Thus, for the purpose of our experiment, we decided to choose a low tie density.

4.2.1 Correctness testing

To test the correctness of our algorithm’s implementation, we implemented an Integer Programming (IP) model for super-stability in SPA-ST (see Appendix 1) using the Gurobi optimisation solver in Python (see Footnote 7). We randomly generated 10,000 SPA-ST instances, each consisting of 100 students and a constant ratio of projects, lecturers, project capacities and lecturer capacities as described above. Also, each student’s preference list was fixed at 10, with a tie density of 0.1. With this setup, we verified consistency between the outcomes of our implementation of Algorithm SPA-ST-super and our implementation of the IP-based algorithm in terms of the existence or otherwise of a super-stable matching.

4.2.2 Experiment 1

In our first experiment, we examined how the length of the students’ preference lists affects the existence of a super-stable matching. We increased the number of students

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Footnote 7: We remark that the parameter space was chosen to ensure that projects could typically accommodate more than one student, that the total capacity of the projects exceeded the number of students, and that each lecturer typically offered multiple projects, without reflecting any specific real-world application.
The result, which is displayed in Fig. 5, shows that as we varied the length of the preference list, there was no significant uplift in the number of instances that admitted a super-stable matching. In most cases, we observed that the proportion of instances that admit a super-stable matching is slightly higher when the preference list length is 50 compared to when the preference list length is 5. The result also shows that the proportion of instances that admit a super-stable matching decreases as the number of students increases. Further, we recorded the time taken for our algorithm’s implementation to terminate, and as can be seen in Table 2, for an instance size of 1000 and preference list length 50, the algorithm terminates in approximately 0.4 second.

4.2.3 Experiment 2

In our second experiment, we investigated how the variation in tie density in both the students’ and lecturers’ preference lists affects the existence of a super-stable matching. To achieve this, we varied the tie density in the students’ preference lists $t_{ds}$ ($0 \leq t_{ds} \leq 0.05$) and the tie density in the lecturers’ preference lists $t_{dl}$ ($0 \leq t_{dl} \leq 0.05$), both in increments of 0.005. For each pair of tie densities in $t_{ds} \times t_{dl}$,
we randomly-generated 1000 SPA- ST instances for various values of $n_1$ ($100 \leq n_1 \leq 1000$) in increments of 100. For each of these instances, we maintained the same ratio of projects, lecturers, project capacities and lecturer capacities as in Experiment 1. Considering our discussion from Experiment 1, we fixed the length of each student’s preference list at 50.

The result displayed in Fig. 6 shows that increasing the tie density in both the students’ and lecturers’ preference lists reduces the proportion of instances that admit a super-stable matching. In fact, this proportion reduces further as the size of the instance increases. When ties occur only in the lecturers’ preference lists, we found that a significantly higher proportion of instances admit a super-stable matching—about 74% of the randomly-generated SPA- ST instances involving 1000 students admitted a super-stable matching. The confidence interval for this value is (0.71, 0.77). However, the reverse is the case when ties occur only in the students’ preference lists. We have no explanation for this outcome.
5 Discussion and concluding remarks

In this paper, we have described a linear-time algorithm to find a super-stable matching or report that no such matching exists, given an instance of \textsc{spa- st}. We established that for instances that do admit a super-stable matching, our algorithm produces the student-optimal super-stable matching, in the sense that each assigned student has the best project that she could obtain in any super-stable matching. We leave open the formulation of a lecturer-oriented counterpart to our algorithm.

Further, we carried out an empirical evaluation of our algorithm’s implementation. The purpose of our experiments was to investigate how the nature of the preference lists affects the existence (or otherwise) of super-stable matchings in an arbitrary instance of \textsc{spa- st}. Based on the instances we generated randomly, the experimental results suggest that as we increase the size of the instance and the density of ties in the preference lists, the likelihood of a super-stable matching existing decreases. There was no significant uplift in this likelihood even as we increased the lengths of the students’ preference lists. When the ties occur only in the lecturers’ preference lists, we found that a significantly higher proportion of instances admit a super-stable matching. However, the reverse is the case when the ties occur only in the students’ preference lists.

Given that there are typically more students than lecturers in practical applications, it could be that only lecturers are permitted to have some form of indifference over the students that they find acceptable, whilst each student might be able to provide a strict ordering over what may be a small number of projects that she finds acceptable. Further evaluation of our algorithm could investigate how other parameters (e.g., the popularity of some projects, or the position of the ties in the preference lists) affect the existence of a super-stable matching. It would also be interesting to examine the existence of super-stable matchings in real \textsc{spa- st} datasets.

From a theoretical perspective, the following are other directions for future work. Let \( I \) be an arbitrary instance of \textsc{spa- st}.

1. Can we formalise the results on the probability of a super-stable matching existing in \( I \)? As mentioned in Section 1, this question has been partially explored for the Stable Roommates problem (Pittel and Irving 1994).

2. Is there a characterisation of the set of super-stable matchings in \( I \) in terms of a lattice structure? It is known that the set of super-stable matchings in an instance of \textsc{smt} forms a distributive lattice under the dominance relation (Manlove 2002; Spieker 1995). To generalise this structural result for \textsc{spa- st}, ideas from Manlove (2002) and Spieker (1995) would certainly be useful.

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Appendix A: An IP model for super-stability in SPA-ST

A.1 Introduction

In this section, we describe an IP model for super-stability in SPA-ST. Although a super-stable matching in an instance of SPA-ST can be found in polynomial-time (as illustrated by Theorem 2), our reason for this is purely experimental. Let $I$ be an instance of SPA-ST involving a set $S = \{s_1, s_2, \ldots, s_{n_1}\}$ of students, a set $P = \{p_1, p_2, \ldots, p_{n_2}\}$ of projects and a set $L = \{l_1, l_2, \ldots, l_{n_3}\}$ of lecturers. We construct an IP model $J$ of $I$ as follows. Firstly, we create binary variables $x_{i,j} \in \{0, 1\}$ ($1 \leq i \leq n_1$, $1 \leq j \leq n_2$) for each acceptable pair $(s_i, p_j) \in S \times P$ such that $x_{i,j}$ indicates whether $s_i$ is assigned to $p_j$ in a solution or not. Henceforth, we denote by $S$ a solution in the IP model $J$, and we denote by $M$ the matching derived from $S$ in the following natural way: if $x_{i,j} = 1$ under $S$ then $s_i$ is assigned to $p_j$ in $M$, otherwise $s_i$ is not assigned to $p_j$ in $M$.

A.2 Constraints

In this section, we give the set of constraints to ensure that the assignment obtained from a feasible solution in $J$ is a matching, and that the matching admits no blocking pair.

Matching constraints

The feasibility of a matching can be ensured with the following three set of constraints.

\[ \sum_{p_j \in A_i} x_{i,j} \leq 1 \quad (1 \leq i \leq n_1), \quad (5) \]
\[ \sum_{i=1}^{n_1} x_{i,j} \leq c_j \quad (1 \leq j \leq n_2), \quad (6) \]
\[ \sum_{i=1}^{n_1} \sum_{p_j \in P_k} x_{i,j} \leq d_k \quad (1 \leq k \leq n_3). \quad (7) \]

Note that Inequality (5) ensures that each student $s_i \in S$ is not assigned to more than one project, while Inequalities (6) and (7) ensure that the capacity of each project $p_j \in P$ and each lecturer $l_k \in L$ is not exceeded.

Given an acceptable pair $(s_i, p_j)$, we define $\text{rank}(s_i, p_j)$, the rank of $p_j$ on $s_i$’s preference list, to be $r + 1$, where $r$ is the number of projects that $s_i$ prefers to $p_j$. Clearly, projects that are tied together on $s_i$’s preference list have the same rank. Given a lecturer $l_k \in L$ and a student $s_i \in L_k$, we define $\text{rank}(l_k, s_i)$, the rank of $s_i$ on $l_k$’s preference list, to be $r + 1$, where $r$ is the number of students that $l_k$ prefers to $s_i$. 
Similarly, students that are tied together on \(l_k\)'s preference list have the same rank. With respect to an acceptable pair \((s_i, p_j)\), we define \(S_{i,j} = \{p_{j'} \in A_i : rank(s_i, p_{j'}) < rank(s_i, p_j)\}\), the set of projects that \(s_i\) prefers to \(p_j\). Let \(l_k\) be the lecturer who offers \(p_j\). We also define \(T_{i,j,k} = \{s_{i'} \in \mathcal{L}_k^i : rank(l_k, s_{i'}) < rank(l_k, s_i)\}\), the set of students that are better than \(s_i\) on the projected preference list of \(l_k\) for \(p_j\). Finally, we define \(D_{i,k} = \{s_{i'} \in \mathcal{L}_k : rank(l_k, s_{i'}) < rank(l_k, s_i)\}\), the set of students that are better than \(s_i\) on \(l_k\)'s preference list.

In what follows, we fix an arbitrary acceptable pair \((s_i, p_j)\) and we enforce constraints to ensure that \((s_i, p_j)\) does not form a blocking pair for the matching \(M\). Henceforth, \(l_k\) is the lecturer who offers \(p_j\).

**Blocking pair constraints** First, we define \(\theta_{i,j} = 1 - x_{i,j} - \sum_{p_{j'} \in S_{i,j}} x_{i,j'}\). Intuitively, \(\theta_{i,j} = 1\) if and only if \(s_i\) is unassigned in \(M\), or \(s_i\) prefers \(p_j\) to \(M(s_i)\) or is indifferent between them. Henceforth, if \((s_i, p_j)\) forms a blocking for \(M\) then we refer to \((s_i, p_j)\) as a blocking pair of type (i), type (ii) or type (iii), according as \((s_i, p_j)\) satisfies condition (i), (ii), or (iii) of Definition 2, respectively. We describe the constraints to avoid these types of blocking pair as follows.

**Type (i).** First, we create a binary variable \(\alpha_j\) in \(J\) such that if \(p_j\) is undersubscribed in \(M\) then \(\alpha_j = 1\). We enforce this condition by imposing the following constraint:

\[
c_j \alpha_j \geq c_j - \sum_{i' = 1}^{n_1} x_{i', j}, \quad (8)
\]

where \(\sum_{i' = 1}^{n_1} x_{i', j} = |M(p_j)|\). If \(p_j\) is undersubscribed in \(M\) then the RHS of Inequality (8) is at least 1 and this implies that \(\alpha_j = 1\), otherwise \(\alpha_j\) is not constrained. Next, we create a binary variable \(\beta_k\) in \(J\) such that if \(l_k\) is undersubscribed in \(M\) then \(\beta_k = 1\). We enforce this condition by imposing the following constraint:

\[
d_k \beta_k \geq d_k - \sum_{i' = 1}^{n_1} \sum_{p_{j'} \in P_k} x_{i', j'}, \quad (9)
\]

where \(\sum_{i' = 1}^{n_1} \sum_{p_{j'} \in P_k} x_{i', j'} = |M(l_k)|\). If \(l_k\) is undersubscribed in \(M\) then the RHS of Inequality (9) is at least 1 and this implies that \(\beta_k = 1\), otherwise \(\beta_k\) is not constrained. The following constraint ensures that \((s_i, p_j)\) does not form a type (i) blocking pair for \(M\).

\[
\theta_{i,j} + \alpha_j + \beta_k \leq 2. \quad (10)
\]

**Type (ii).** We create a binary variable \(\eta_k\) in \(J\) such that if \(l_k\) is full in \(M\) then \(\eta_k = 1\). We enforce this condition by imposing the following constraint:

\[
d_k \eta_k \geq \left(1 + \sum_{i' = 1}^{n_1} \sum_{p_{j'} \in P_k} x_{i', j'}\right) - d_k. \quad (11)
\]
If $l_k$ is full in $M$ then the RHS of Constraint (11) is at least 1 and this implies that $\eta_k = 1$, otherwise $\eta_k$ is not constrained. Next, we create a binary variable $\delta_{i,k}$ in $J$ such that if $s_i \in M(l_k)$, or $l_k$ prefers $s_i$ to a worst student in $M(l_k)$ or is indifferent between them, then $\delta_{i,k} = 1$. We enforce this condition by imposing the following constraint.

$$
d_k \delta_{i,k} \geq \sum_{i' = 1}^{n_1} \sum_{p_{j'} \in p_k} x_{i',j'} - \sum_{s_i' \in D_{i,k}} \sum_{p_{j'} \in p_k} x_{i',j'}.
$$

Note that if $s_i \in M(l_k)$ or $l_k$ prefers $s_i$ to a worst student in $M(l_k)$ or $l_k$ is indifferent between them, then the RHS of Constraint (12) is at least 1 and this implies that $\delta_{i,k} = 1$, otherwise $\delta_{i,k}$ is not constrained. The following constraint ensures that $(s_i, p_j)$ does not form a type (ii) blocking pair for $M$.

$$
\theta_{i,j} + \alpha_j + \eta_k + \delta_{i,k} \leq 3.
$$

**Type (iii).** Next we create a binary variable $\gamma_j$ in $J$ such that if $p_j$ is full in $M$ then $\gamma_j = 1$. We enforce this condition by imposing the following constraint.

$$
c_j \gamma_j \geq \left(1 + \sum_{i' = 1}^{n_1} x_{i',j}\right) - c_j.
$$

where $\sum_{i' = 1}^{n_1} x_{i',j} = |M(p_j)|$. If $p_j$ is full in $M$ then the RHS of Inequality (14) is at least 1 and this implies that $\gamma_j = 1$, otherwise $\gamma_j$ is not constrained. Next, we create a binary variable $\lambda_{i,j,k}$ in $J$ such that if $l_k$ prefers $s_i$ to a worst student in $M(p_j)$ or is indifferent between them, then $\lambda_{i,j,k} = 1$. We enforce this condition by imposing the following constraint.

$$
c_j \lambda_{i,j,k} \geq \sum_{i' = 1}^{n_1} x_{i',j} - \sum_{s_i' \in T_{i,j,k}} x_{i',j}.
$$

Note that if $l_k$ prefers $s_i$ to a worst student in $M(p_j)$ or is indifferent between them, then the RHS of Inequality (15) is at least 1 and this implies that $\lambda_{i,j,k} = 1$, otherwise $\lambda_{i,j,k}$ is not constrained. The following constraint ensures that $(s_i, p_j)$ does not form a type (iii) blocking pair for $M$.

$$
\theta_{i,j} + \gamma_j + \lambda_{i,j,k} \leq 2.
$$
A.3 Variables

We define a collective notation for each set of variables involved in $J$ as follows:

$$
A = \{\alpha_j : 1 \leq j \leq n_2\}, \quad B = \{\beta_k : 1 \leq k \leq n_3\},
$$

$$
N = \{\eta_k : 1 \leq k \leq n_3\}, \quad A = \{\chi_{i,k} : 1 \leq i \leq n_1, 1 \leq j \leq n_2, 1 \leq k \leq n_3\}.
$$

A.4 Objective function

On one hand, every super-stable matchings are of the same size, and thus nullifies the need for an objective function. On the other hand, optimization solvers require an objective function in addition to the variables and constraints in order to produce a solution. The objective function given below involves maximising the summation of all the $x_{i,j}$ binary variables.

$$
\max_{i=1}^{n_1} \sum_{p_j \in A_i} x_{i,j}.
$$

Finally, we have constructed an IP model $J$ of $I$ comprising the set of integer valued variables $A, B, N, X, \Gamma, \Delta$, and $A$, the set of Inequalities (5)-(16) and an objective function (17). Note that $J$ can then be used to construct a super-stable matching in $I$, should one exist.

A.5 Correctness of the IP model

Given an instance $I$ of spa-st formulated as an IP model $J$ using the above transformation, we present the following lemmas regarding the correctness of $J$.

Lemma 10 A feasible solution $S$ to $J$ corresponds to a super-stable matching $M$ in $I$.

Proof Assume firstly that $J$ has a feasible solution $S$. Let $M = \{(s_i, p_j) \in S \times P : x_{i,j} = 1\}$ be the assignment in $I$ generated from $S$. We note that Inequality (5) ensures that each student is assigned in $M$ to at most one project. Moreover, Inequalities (6) and (7) ensures that the capacity of each project and lecturer is not exceeded in $M$. Thus $M$ is a matching. We will prove that Inequalities (8)-(16) ensures that $M$ admits no blocking pair.

Suppose for a contradiction that there exists some acceptable pair $(s_i, p_j)$ that forms a blocking pair for $M$, where $l_k$ is the lecturer who offers $p_j$. This implies that either $s_i$ is unassigned in $M$ or $s_i$ prefers $p_j$ to $M(s_i)$ or is indifferent between them. Thus $\sum_{p_j' \in S_i} x_{i,j'} = 0$. Moreover, since $s_i$ is not assigned to $p_j$ in $M$, we have that $x_{i,j} = 0$. Thus $\theta_{i,j} = 1$.

Now suppose $(s_i, p_j)$ forms a type (i) blocking pair for $M$. Then each of $p_j$ and $l_k$ is undersubscribed in $M$. Thus $\sum_{i'=1}^{n_1} x_{i',j} < c_j$ and $\sum_{i'=1}^{n_1} \sum_{p_j' \in P_k} x_{i',j'} < d_k$. This
implies that the RHS of Inequality (8) and the RHS of Inequality (9) is strictly greater than 0. Moreover, since \( S \) is a feasible solution to \( J \), \( \alpha_j = \beta_k = 1 \). Hence, the LHS of Inequality (10) is strictly greater than 2, a contradiction to the feasibility of \( S \).

Now suppose \((s_i, p_j)\) forms a type (ii) blocking pair for \( M \). Then \( p_j \) is undersubscribed in \( M \) and as explained above, \( \alpha_j = 1 \). Also, \( l_k \) is full in \( M \) and this implies that the RHS of Inequality (11) is strictly greater than 0. Since \( S \) is a feasible solution, we have that \( \eta_k = 1 \). Furthermore, either \( s_i \in M(l_k) \) or \( l_k \) prefers \( s_i \) to a worst student in \( M(l_k) \) or \( l_k \) is indifferent between them. In any of these cases, the RHS of Inequality (12) is strictly greater than 0. Thus \( \delta_{i,k} = 1 \), since \( S \) is a feasible solution. Hence the LHS of Inequality (13) is strictly greater than 3, a contradiction to the feasibility of \( S \).

Finally, suppose \((s_i, p_j)\) forms a type (iii) blocking pair for \( M \). Then \( p_j \) is full in \( M \) and thus the RHS of Inequality (14) is strictly greater than 0. Since \( S \) is a feasible solution, we have that \( \gamma_j = 1 \). In addition, \( l_k \) prefers \( s_i \) to a worst student in \( M(p_j) \) or is indifferent between them. This implies that the RHS of Inequality (15) is strictly greater than 0. Thus \( \lambda_{i,j,k} = 1 \), since \( S \) is a feasible solution. Hence the LHS of Inequality (16) is strictly greater than 2, a contradiction to the feasibility of \( S \). Hence \( M \) admits no blocking pair; hence, \( M \) is a super-stable matching in \( I \).

**Lemma 11** A super-stable matching \( M \) in \( I \) corresponds to a feasible solution \( S \) to \( J \).

**Proof** Let \( M \) be a super-stable matching in \( I \). First we set all the binary variables involved in \( J \) to 0. For each \((s_i, p_j) \in M\), we set \( x_{i,j} = 1 \). Since \( M \) is a matching, it is clear that Inequalities (5) - (7) is satisfied. For any acceptable pair \((s_i, p_j) \in (S \times \mathcal{P}) \setminus M\) such that \( s_i \) is unassigned in \( M \) or \( s_i \) prefers \( p_j \) to \( M(s_i) \) or is indifferent between them, we set \( \theta_{i,j} = 1 \). For any project \( p_j \in \mathcal{P} \) such that \( p_j \) is undersubscribed in \( M \), we set \( \alpha_j = 1 \) and thus Inequality (8) is satisfied. For any lecturer \( l_k \in \mathcal{L} \) such that \( l_k \) is undersubscribed in \( M \), we set \( \beta_k = 1 \) and thus Inequality (9) is satisfied.

Now, for Inequality (10) not to be satisfied, its LHS must be strictly greater than 2. This would only happen if there exists some \((s_i, p_j) \in (S \times \mathcal{P}) \setminus M\), where \( l_k \) is the lecturer who offers \( p_j \), such that \( \theta_{i,j} = 1 \), \( \alpha_j = 1 \) and \( \beta_k = 1 \). This implies that either \( s_i \) is unassigned in \( M \) or \( s_i \) prefers \( p_j \) to \( M(s_i) \) or is indifferent between them, and each of \( p_j \) and \( l_k \) is undersubscribed in \( M \). Thus \((s_i, p_j)\) forms a type (i) blocking pair for \( M \), a contradiction to the super-stability of \( M \). Hence, Inequality (10) is satisfied.

For any lecturer \( l_k \in \mathcal{L} \) such that \( l_k \) is full in \( M \), we set \( \eta_k = 1 \). Thus Inequality (11) is satisfied. Let \((s_i, p_j)\) be an acceptable pair such that \( p_j \in P_k \) and \((s_i, p_j) \notin M\). If \( s_i \in M(l_k) \) or \( l_k \) prefers \( s_i \) to a worst student in \( M(l_k) \) or is indifferent between them, we set \( \delta_{i,k} = 1 \). Thus Inequality (12) is satisfied. Suppose Inequality (13) is not satisfied. Then there exists \((s_i, p_j) \in (S \times \mathcal{P}) \setminus M\), where \( l_k \) is the lecturer who offers \( p_j \), such that \( \theta_{i,j} = 1 \), \( \alpha_j = 1 \), \( \eta_k = 1 \) and \( \delta_{i,k} = 1 \). This implies that either \( s_i \) is unassigned in \( M \) or \( s_i \) prefers \( p_j \) to \( M(s_i) \) or is indifferent between them. In addition, \( p_j \) is undersubscribed in \( M \), \( l_k \) is full in \( M \) and either \( s_i \in M(l_k) \) or \( l_k \) prefers \( s_i \) to a worst student in \( M(l_k) \) or is indifferent between them. Thus \((s_i, p_j)\) forms a type (ii) blocking pair for \( M \), a contradiction to the super-stability of \( M \). Hence Inequality (13) is satisfied.

Finally, for any project \( p_j \in \mathcal{P} \) such that \( p_j \) is full in \( M \), we set \( \gamma_j = 1 \). Thus Inequality (14) is satisfied. Let \( l_k \) be the lecturer who offers \( p_j \) and let \((s_i, p_j)\) be an acceptable pair. If \( l_k \) prefers \( s_i \) to a worst student in \( M(p_j) \) or is indifferent between
them, we set $\lambda_{i,j,k} = 1$. Thus Inequality (15) is satisfied. Suppose Inequality (16) is not satisfied. Then there exists some $(s_i, p_j) \in (S \times P) \setminus M$ such that $\theta_{i,j} = 1$, $\gamma'_{j} = 1$ and $\lambda_{i,j,k} = 1$. This implies that either $s_i$ is unassigned in $M$ or $s_i$ prefers $p_j$ to $M(s_i)$ or is indifferent between them. In addition, $p_j$ is full in $M$ and $l_k$ prefers $s_i$ to a worst student in $M(p_j)$ or is indifferent between them. Thus $(s_i, p_j)$ forms a type (iii) blocking pair for $M$, a contradiction to the super-stability of $M$. Hence, Inequality (16) is satisfied. Hence $S$, comprising the above assignments of values to the variables in $A \cup B \cup N \cup X \cup \Gamma \cup \Delta \cup \Lambda$, is a feasible solution to $J$.

The following theorem is a consequence of Lemmas 10 and 11.

**Theorem 4** Let $I$ be an instance of SPA-ST and let $J$ be the IP model for $I$ as described above. A feasible solution to $J$ corresponds to a super-stable matching in $I$. Conversely, a super-stable matching in $I$ corresponds to a feasible solution to $J$.

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