ABSTRACT

We have measured the reaction of O + H$_3^+$ forming OH$^+$ and H$_2$O$^+$. This is believed to be one of the key gas-phase astrochemical processes initiating the formation of water molecules in dense molecular clouds. For this work, we have used a novel merged fast-beams apparatus that overlaps a beam of H$_3^+$ onto a beam of ground-term neutral O. Here, we present cross-section data for forming OH$^+$ and H$_2$O$^+$ at relative energies from $\approx$3.5 meV to $\approx$15.5 and 0.13 eV, respectively. Measurements were performed for statistically populated O($^3P$) in the ground term reacting with hot H$_3^+$ (with an internal temperature of $\approx$2500-3000 K). From these data, we have derived rate coefficients for translational temperatures from $\approx$27 K to $\approx$10$^5$ K for the formation of OH$^+$ and $\sim$10$^5$ K for the formation of H$_2$O$^+$. In order to convert these results to a thermal rate coefficient suitable for astrochemistry, we have added the results together for both exit channels and scaled the summed data on statistically populated oxygen to thermally populated oxygen. For this we have used theory as a guide, thereby accounting for the temperature dependence of the O fine-structure levels. Our results are in good agreement with two independent flowing afterglow measurements at a temperature of $\approx$300 K, and with a corresponding level of H$_3^+$ internal excitation. This good agreement suggests that the internal excitation of the H$_3^+$ does not play a significant role in this reaction. The Langevin rate coefficient is in reasonable agreement with the experimental results at 10 K but a factor of $\sim$2 larger at 300 K. The two published classical trajectory studies using quantum-mechanical potential energy surfaces lie a factor of $\sim$1.5 above our experimental results over this 10-300 K range.

Key words: astrobiology – astrochemistry – ISM: molecules – methods: laboratory: molecular – molecular data – molecular processes

1. INTRODUCTION

The genesis of life is believed to depend, in part, on the presence of water. Hence, understanding interstellar formation of H$_2$O is an important subject for astrochemistry and astrobiology (Klippenstein et al. 2010). Gas-phase formation of water in both diffuse and dense molecular clouds is predicted to involve reactions of neutral O with H$_3^+$ (Smith & Spanel 1995) via

$$\text{O}^3P + \text{H}_3^+ (\text{A}^1\text{A}^1) \rightarrow \text{OH}^+ + \text{H}_2, \quad (1)$$

$$\rightarrow \text{H}_2\text{O}^+ + \text{H.} \quad (2)$$

Through a series of subsequent hydrogen abstraction reactions with the abundant H$_2$, the ionic products of Reactions (1) and (2) go on to form H$_3$O$^+$. Dissociative recombination of H$_3$O$^+$ with electrons results in several possible neutral products, one of which is H$_2$O. The exact branching ratio for this specific outgoing channel was uncertain for a long time, but has recently been measured to be $\sim$20% (Novotný et al. 2010). At this point, one of the largest remaining uncertainties in the kinetics of the gas-phase formation of water appears to be the lack of reliable rate coefficients for Reactions (1) and (2).

These two reactions also play a role in our understanding of diffuse and dense molecular clouds. The chemistry of these clouds is driven in part by the cosmic-ray ionization rate of H$_2$ (CRIR), $\zeta$. The temperatures of these environments are too cold for neutral–neutral reactions to overcome typical activation barriers. Instead, gas-phase chemistry proceeds largely by ion–neutral reactions (Herbst & Klemperer 1973; Smith & Spanel 1995), a process that is initiated by cosmic-ray ionization. The CRIR in diffuse clouds can be inferred using the OH$^+$ and H$_2$O$^+$ abundances. These are affected by Reactions (1) and (2) as described by Hollenbach et al. (2012). In dense clouds $\zeta$ is constrained using the measured H$_3^+$ abundance, which is also affected by Reactions (1) and (2), as discussed in Klippenstein et al. (2010). Hence, constraining the astrophysical conditions in molecular clouds requires reliable data for these two reactions.

Published theoretical calculations for these reactions have been carried out using the classical Langevin method (e.g., Milligan & McEwan 2000). Classical trajectory studies using quantum-mechanical potential energy surfaces (PESs) have also been published by Bettens et al. (1999) and Klippenstein et al. (2010). These latter two semiclassical results show reasonable agreement with one another. No fully quantum-mechanical calculations exist, as such calculations for ion–neutral reactions involving four or more atoms are at the limit of current theoretical capabilities (Althorpe & Clary 2003; Bowman et al. 2011).

Our previous experimental work for the analogous reactions (O’Connor et al. 2015)

$$C^3P + \text{H}_3^+ (\text{A}^1\text{A}^1) \rightarrow \text{CH}^+ + \text{H}_2, \quad (3)$$

$$\rightarrow \text{CH}_2^+ + \text{H}, \quad (4)$$

suggests that both the classical and semiclassical methods are likely to overestimate the rate coefficient for Reaction (1), underestimate it for Reaction (2), and incorrectly predict the
We present and discuss our results in Sections 3 and 4, respectively. The astrochemical implications are discussed in Section 4.3. Here we describe briefly our experimental apparatus and method. We present and discuss our results in Sections 3 and 4, respectively. The astrochemical implications are discussed in Section 4.3. A summary is given in Section 6.

2. EXPERIMENTAL APPARATUS AND METHOD

A detailed description of the ion–neutral merged-beams apparatus used for the present results can be found in O’Connor et al. (2015). Here we provide a brief description of the experiment and method, highlighting mainly those aspects that are new or specific to the present study.

2.1. General

Using a Cs-ion sputter source followed by a Wien filter, we formed a $^{16}$O$^-$ beam at a kinetic energy of $E_0 \approx 28$ keV ($\approx 1.75$ keV/amu). This generated a pure beam of O ($^3P$) as the oxygen anion possesses only a single bound term (Rienstra-Kiracofe et al. 2002). The target material used in the source was Al$_2$O$_3$.

The neutral O beam was produced via photodetachment of the anion inside a floating cell. The kinetic energy of the resulting O beam was controlled by varying the floating cell voltage $U_f$. The cross section for photodetachment of O$^-$ has been measured by Lee & Smith (1979). The electron affinity of oxygen is 1.461 eV (Rienstra-Kiracofe et al. 2002). Using our 808 nm (1.53 eV) laser with $\approx 1.8$ kW of power, we estimated that approximately 2% of the anions were converted to ground-term O($^3P$). The photon energy and number density were both too low to detach into higher terms.

Similar to the work of Scheer et al. (1998) on photodetachment of C$^-$, we expect to statistically populate all three levels in the ground term of the atomic oxygen. The $J = 1$ and 0 fine-structure levels lie above the $J = 2$ ground level by 19.6 meV and 28.1 meV, respectively. The thermal population of the $J$-levels can be calculated using the partition functions

$$u_J = \frac{g_J e^{(-E_J/k_B T)}}{\sum_J g_J e^{(-E_J/k_B T)}}. \tag{5}$$

Here $g_J = 2J + 1$ is the statistical weight of level $J$, $k_B$ is the Boltzmann constant, and $T$ is the temperature. These populations are presented in Figure 1, where one can see that they become statistical at temperatures above $\sim 1000$ K. In Section 4.2 we explain how we use our results with statistically populated ground-level O to generate thermal rate coefficients.

The molecular beamline begins with a duoplasmatron source from which we extracted a beam of cations and used a Wien filter to select for H$_3^+$ by charge-to-mass ratio. The beam energy of $E_{\text{H}_3^+} \approx 5.29$ keV ($\approx 1.75$ keV/amu) was chosen to match the velocity of the oxygen anion beam.

The formation mechanism of H$_3^+$ in a duoplasmatron leads to substantial internal excitation. In our earlier work of C on H$_3^+$ (O’Connor et al. 2015), we inferred an internal temperature of $\sim 2500$ K. However, we found good agreement between our thermal rate coefficient results at 1000 K and the mass-scaled results of Savić et al. (2005), who studied C on D$_2^+$. Since the work of Savić et al. (2005) used D$_2^+$ with an internal temperature of 77 K, the good agreement between their results and those of O’Connor et al. (2015) implies that the internal excitation of the H$_3^+$ does not significantly influence reactions of the type $X + H_3^+ \rightarrow XH_n^+ + H_3-n$ for $n = 1$ or 2. We expect that this will also be the case for O on H$_3^+$ and will return to this issue in Section 4.3.

In order to improve the H$_3^+$ beam quality in the interaction region, we have modified the beamline just before the cylindrical deflector used to merge the cation beam onto the neutral beam. To be specific, we installed a set of XY steerers and adjusted the location of the one-dimensional (1D) electrostatic lens before the merger. To compensate for the lack of vertical focusing in the cylindrical deflector, we used one pair of vertical steerer plates for focusing. One of the horizontal steering plates was used to adjust the angle of the beam going into the 1D lens and cylindrical deflector. All of the other steerer plates were grounded. Additionally, the 1D lens was moved closer to the cylindrical deflector so that we could focus the beam at the appropriate location inside the deflector in order to generate a parallel beam at the exit (i.e., at the beginning of the interaction region). The resulting H$_3^+$ current in the interaction region was typically $\sim 225$ nA, corresponding to a typical number density of $\sim 10^5$ cm$^{-3}$.

In the interaction region, the kinetic energy of any product ions formed was essentially the sum of $E_O$ plus the product of the H$_3^+$ kinetic energy per amu ($\approx 1.75$ keV/amu) times the mass in amu transferred from the H$_3^+$. For O at 28 keV, the kinetic energy of the product was 29.75 keV for forming OH$^+$, Reaction (1), and 31.5 keV for H$_2$O$^+$, Reaction (2). At the end of the interaction region, the beams continued into a chicane, which directed the H$_3^+$ into a Faraday cup where the current was recorded. The daughter products were directed through the chicane and continued into an electrostatic energy analyzer. This consisted of a series of three 90° cylindrical deflectors with voltages optimized to direct the desired product ions into a channel electron multiplier (CEM). This final analyzer also served to discriminate against the dominant charged particle background, which was due to the $\sim 28$ keV O$^+$ formed by stripping of the O beam on the residual gas downstream of the
cation beam merger. The transmittance from the interaction region to the CEM was measured to be $T_a = 0.74 \pm 0.02$. Here and throughout all uncertainties are quoted at an estimated 1σ statistical confidence level.

2.2. Neutral Detector

The neutral beam current $I_0$ is monitored by measuring the secondary negative particle emission from a target inside a neutral particle detector (Bruhns et al. 2010b) and is given by

$$I_0 = \frac{I_{ND}}{\gamma T_n}.$$  \hspace{1cm} (6)

Here $I_{ND}$ is the current measured on the neutral detector, $\gamma$ is the secondary negative particle emission coefficient, and $T_n$ is the transmission into the detector. Typical values for $I_0$ are $\sim 23 \text{nA}$, as measured in amperes, with a statistical-like uncertainty of 5%. These currents correspond to particle number densities of $\sim 10^9 \text{cm}^{-3}$.

We determined $\gamma$ using collisional detachment of $O^-$ on helium introduced into the chicane by a leak valve. This converts a portion of the initial O$^+$ beam to O and O$^-$. The positive and negative currents, $I_{O^+}$ and $I_{O^-}$, respectively, were measured in a Faraday cup, called the upper cup, which is situated behind a hole in the outer plate of the middle cylindrical deflector of the final analyzer. Because of the design of the final analyzer we can measure either $I_{O^+}$ or $I_{O^-}$ in the upper cup simultaneously with $I_{ND}$, but not all three together. The transmittance from the interaction region into the upper cup was measured to be $T_u = 0.64 \pm 0.04$.

The total particle flux is assumed to be conserved at any given helium pressure $p$. This gives

$$I_0(p = 0) + I_0(p = 0) + I_0(p = 0) = I_0(p) + I_0(p) + I_0(p),$$  \hspace{1cm} (7)

where collisional detachment on the residual gas in the system generates non-zero currents of O and O$^-$ at $p = 0$. All of the currents are taken as positive quantities. In terms of measured quantities, we can rewrite Equation (7) as

$$\frac{I_{O^+}^u(0)}{T_u} + \frac{I_{ND}(0)}{\gamma T_n} + \frac{I_{O^-}^u(0)}{T_u} = \frac{I_{O^+}^u(p)}{T_u} + \frac{I_{ND}(p)}{\gamma T_n} + \frac{I_{O^-}^u(p)}{T_u},$$  \hspace{1cm} (8)

where $I^u$ stands for the currents measured in the upper cup. Rearranging this yields

$$\gamma = -\frac{\Delta I_{ND}(p)}{I_{O^+}^u(p) + \Delta I_{O^-}^u(p)} T_n$$  \hspace{1cm} (9)

where $\Delta I(p) = I(p) - I(0)$. Typically we measure $\Delta I_{O^+}^u$ and $\Delta I_{ND}$ at set of pressures $p_k$. Due to the coarse control on our leak valve, we measure $\Delta I_{O^+}^u$ and $\Delta I_{ND}$ at a slightly different set of pressures $p_k$. Here we were able to match the pressures $p_i$ and $p_k$ to better than 3%. This small difference introduces an insignificant uncertainty into our $\gamma$ determination. So we can convert these $p_k$ results to those for $p_i$ using

$$\Delta I_{O^+}^u(p_i) = \Delta I_{O^+}^u(p_k) \frac{\Delta I_{ND}(p_i)}{\Delta I_{ND}(p_k)}$$  \hspace{1cm} (10)

which we can then substitute into Equation (9).

To determine $\gamma$, we measured $I_{O^+}^u$ and $I_{ND}$ simultaneously over intervals of $\sim 250$ s. First, no gas was introduced in the chicane ($p = 0$). Next, we measured with a helium pressure of $p_i$. Lastly, we measured again without helium. For the currents measured without gas, we used the average of the before and after measurements. This allows us to take into account the fluctuations of the O$^+$ beam during the measurement. For each current measured at high pressure, we took the average of the current over the $250$ s interval. We repeated this pattern to measure $I_{ND}$ and $I_{O^+}^u$ at $p_k$. Over the several months of our O$^+$ measurement campaign, we monitored $\gamma$ periodically and found $\gamma = 2.6 \pm 0.3$. The uncertainty in $\gamma$ is treated as a systematic error.

2.3. Beam Overlap and Relative Energies

The overlap integral of the two beams has been determined using a combination of beam profile measurements in the interaction region and geometric modeling, as described in Bruhns et al. (2010a) and O’Connor et al. (2015). A typical average bulk misalignment between the two beams of 0.38 mrad was determined from the measured beam profiles. This represents a factor-of-two reduction compared to our C + H$^+_2$ work and is a result of the modifications of the cation beamline described in Section 2.1.

The average relative energy $\langle E_r \rangle$, along with the corresponding energy spread, was determined from the beam profiles measurements and a Monte Carlo simulation of the beam trajectories described in O’Connor et al. (2015). Figure 2 shows the resulting values for $\langle E_r \rangle$ and the corresponding uncertainty. Based on these simulations, the lowest average relative energy achieved here is $\approx 3.5 \text{meV}$, corresponding to an effective translational temperature of $\approx 27 \text{K}$ (as derived from a Maxwell–Boltzmann fit of the velocity distribution). We also find a Gaussian distribution for the interaction angle with a mean value of $\langle \theta \rangle = 0.71 \pm 0.34 \text{mrad}$. These improvements over O’Connor et al. (2015) are a direct result of the reduced bulk misalignment between the two beams.
2.4. Merged-beams Rate Coefficient

The measured merged-beams rate coefficient is the product of the cross section \( \sigma \) and the relative velocity \( v_r \) convolved with the energy spread of the experiment and is given by

\[
\langle \sigma v_r \rangle = \frac{S}{T_g T_n} \frac{e^2 v_n v_i}{\eta n_l l} \frac{1}{L \langle \Omega(z) \rangle}.
\]

Here \( S \) is the signal count rate; \( T_g \) and \( T_n \) are the geometrical transmittance of the grid in front of the CEM; \( \eta \) is the efficiency of the CEM; \( e \) is the elementary charge; \( v_n \) and \( v_i \) are the laboratory velocities of the neutral and molecular ion beams, respectively; \( I_n \) and \( I_i \) are the neutral and ion beam currents, respectively; \( L \) is the length of the interaction region; and \( \langle \Omega(z) \rangle \) is the overlap integral of the two beams in the interaction region. Typical values of all these parameters and their uncertainties are listed in Tables 1 and 2. Details about the associated uncertainties as well as the data acquisition procedure can be found in O’Connor et al. (2015).

3. EXPERIMENTAL RESULTS

Our results for the merged-beams rate coefficient are presented in Figure 3 as a function of \( \langle E_i \rangle \) for Reactions (1) and (2). Data were collected for values of merged-beam rate coefficient down to \( \sim 1 \times 10^{-10} \text{ cm}^2 \text{ s}^{-1} \), below which the decreasing signal-to-noise ratio made the required data acquisition times prohibitively long. From these, and using our calculated experimental energy spread, we can extract the cross section for each reaction for statistically populated ground-term O. We then generate translational temperature rate coefficients for each reaction by convolving the extracted cross section with a Maxwell–Boltzmann distribution describing the reaction center-of-mass velocity distribution. However, the internal energies of the reactants are not in thermal equilibrium. In Section 4.2 we describe how we can convert our translational temperature results into a thermal rate coefficient relevant for astrochemistry where the internal energies of the reactants are typically in thermal equilibrium.

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Table 1

| Source                  | Symbol | Value      | Uncertainty (%) |
|-------------------------|--------|------------|-----------------|
| Signal rate             | \( S \) | 1–15 Hz    | \( \leq 4 \)    |
| O velocity              | \( v_n \) | \( 5.8 \times 10^7 \text{ cm s}^{-1} \) | \( < 1 \)     |
| \( \text{H}_3^+ \) velocity | \( v_i \) | \( 5.8 \times 10^7 \text{ cm s}^{-1} \) | \( < 1 \)     |
| O current               | \( I_n \) | 23 nA      | 5               |
| \( \text{H}_3^+ \) current | \( I_i \) | 225 nA     | 5               |
| Overlap factor          | \( \langle \Omega(z) \rangle \) | 2.7 cm\(^{-2} \) | 10              |

Statistical-like uncertainty (single run) 13

Note. The confidence level for each value is taken as equivalent to a 1\( \sigma \) confidence level. The total statistical-like uncertainty is calculated by treating each individual uncertainty as a random sign error and adding all in quadrature.

Table 2

| Source                          | Symbol | Value     | Uncertainty (%) |
|---------------------------------|--------|-----------|-----------------|
| Analyzer transmission          | \( T_n \) | 0.74      | 3               |
| Grid transmission               | \( T_g \) | 0.90      | 1               |
| Neutral transmission            | \( T_n \) | 0.94      | 2               |
| Neutral detector calibration    | \( \gamma \) | 2.6       | 12              |
| CEM efficiency                  | \( \eta \) | 0.99      | 3               |
| Interaction length              | \( L \) | 121.5 cm  | 2               |

Total systematic uncertainty 13

![Figure 3](image-url)

Figure 3. Experimental rate coefficients \( \langle \sigma v_r \rangle \) as a function of the average relative energy \( \langle E_i \rangle \) are shown for Reaction (1) by the filled circles and for Reaction (2) by the open squares. The error bars signify the 1\( \sigma \) statistical uncertainties. Empirical fits to the experimental data using Equation (12) are shown by the solid lines. The vertical lines at the top of the graph show the threshold energies for the competing Reactions (16)–(19) of 1.77, 4.34, 4.36, and 6.16 eV, respectively.

3.1. Cross Sections

The cross section \( \sigma \) can be extracted from our data using the fitting function

\[
\sigma_x = \frac{a_0 + a_1 \langle E_i \rangle ^{1/2} + b_1 E + b_2 E^2 + b_4 E^4}{E^{2/3}}.
\]

Here \( x \) refers to either Reaction (1) or (2), \( \sigma_x \) is in units of \( \text{cm}^2 \text{ s}^{-1} \), and \( E \) is in eV. This function includes a term with an \( E^{-2/3} \) behavior at low energies that was chosen to match the predicted \( T^{-1/3} \) dependence in the thermal rate coefficient at low temperatures due to the charge-quadrupole interaction (Klippenstein et al. 2010). The other powers of \( E \) have been arbitrarily chosen to match the higher energy dependence in the measured merged-beams rate coefficients.

To fit our measured data, we multiplied Equation (12) by \( v_r \) and convolved the product with the experimental relative velocity distribution. The best fits to the data are shown in Figure 3 by the solid lines. Tables 3 gives the best fit parameters of the cross section for each reaction. The accuracy of the fit for Reaction (1) is better than 7\%, and that for Reaction (2) is better than 15\%. Figure 4 shows the experimentally derived cross sections for each reaction. The results have been extrapolated to kinetic energies below...
yield approximate high-temperature limits of \( \sim 10^5 \) and \( 10^3 \) K for the derived translational temperature rate coefficients.

### 4. DISCUSSION

Figure 6 shows the asymptotic energy limits of various O + H$_3^+$ reaction pathways. Reaction (1) is exoergic by \( \approx 0.66 \) eV and Reaction (2) by \( \approx 1.70 \) eV (Milligan & McEwan 2000). The energies are given for all parent and daughter products in their ground symmetries.

#### 4.1. Merged-beams Rate Coefficients

We have derived the translational temperature rate coefficient for Reaction (1) as

\[
O(3P) + H_3^+(\ell A') \rightarrow OH^+(3\Sigma^-) + H_2(3\Sigma_g^+). \tag{14}
\]

OH$^+$ can also be formed via the endoergic reaction

\[
O(3P) + H_3^+(\ell A') \rightarrow OH^+(3\Sigma^-) + H(2S) + H(2S) - 3.82 \text{ eV}. \tag{15}
\]

The energy dependence of our measured merged-beams rate coefficient for Reaction (1) has a somewhat similar behavior to that measured for Reaction (3) seen by O’Connor et al. (2015). The merged-beams rate coefficient starts by increasing as the relative energy grows. This behavior could be due to the increasing number of ro-vibrational channels becoming energetically accessible in the reaction products or to the opening-up of new electronic states in the intermediate reaction complex. Then, starting at about \( \approx 0.9 \) eV, the energy of the highest value of the merged-beams rate coefficient, there is a suggestion of a complex structure that was not seen in O’Connor et al. (2015). (All of the structures discussed here appear at lower energies than expected, by about 0.9 eV, as we explain in the following paragraph.) First the competing endoergic channel

\[
O + H_3^+ \rightarrow OH + H_2^+ - 1.77 \text{ eV} \tag{16}
\]

appears to open up, resulting in a reduction in the measured merged-beams rate coefficient. This is followed at \( \sim 3 \) eV by the opening-up of another channel for forming OH$^+$, namely Reaction (15), causing a compensating increase in the signal. At higher energies, three additional competing channels open up:

\[
O + H_3^+ \rightarrow O + H^+ + H_2 - 4.34 \text{ eV}, \tag{17}
\]

\[
\rightarrow O^+ + H + H_2 - 4.36 \text{ eV}, \tag{18}
\]

\[
\rightarrow O + H + H_2^+ - 6.16 \text{ eV}. \tag{19}
\]
These are followed by a dramatic drop with increasing energy, which we attribute to the competing endoergic reaction pathways taking up all the flux of the reaction.

The shift in these structures to energies lower than the known thresholds most likely comes from the molecular nature of the H$_3^+$, with its range of possible ro-vibrational levels contributing to the process (as explained in more detail in O’Connor et al. 2015). This leads to a smearing-out of the thresholds with the relative energy, blurring them together for the above-listed endoergic reactions. So we take the highest measured value of the merged-beams rate coefficient, at a relative energy of 0.89 eV, as the opening-up of Reaction (16), which would be 1.77 eV for cold H$_3^+$. The lower-than-expected energy in the initial decrease of the merged-beams rate coefficient is probably due to the energy available from the internal excitation of H$_3^+$ in our experiment. The $\sim$0.87 eV energy difference lies within the $\sim$0.5–1 eV range inferred from theoretical calculations (Anicich & Futrell 1984) and photodissociation measurements (X. Urbain, private communication). Using the H$_3^+$ molecular partition function of Kyllänpää & Rantala (2011) and specifically their Equation (8), this energy difference gives an internal temperature of $\sim$3000 K. This is slightly higher than, but still consistent with, the H$_3^+$ internal excitation of $\sim$2550 K inferred in our C + H$_3^+$ work, which used the same H$_3^+$ ion source (O’Connor et al. 2015).

4.1.2. $O + H_3^+ \rightarrow H_2O^+ + H$

Our merged-beams rate coefficient for Reaction (2) decreases with increasing relative energy. Bettens et al. (1999) describe the formation of H$_2$O$^+$ as a two-step process. First a complex is formed where the oxygen atom extracts H$^+$ from H$_3^+$ to form an OH$^+$ ion adjacent to the remaining H$_2$. Then the OH$^+$ and H$_2$ undergo a relative rotation, after which the OH$^+$ extracts a hydrogen atom from the H$_2$. This entire process takes time and could explain the decrease seen in the rate coefficient. As the relative energy increases, the time available for the reaction also decreases. Clearly though, further theoretical and experimental studies are needed to better understand the process.

At $\sim$0.1 eV, we observe a strong decrease in the rate coefficient, similar to that of Reaction (4) seen by O’Connor et al. (2015). The endoergic Reactions (15)–(19) are the probable explanation for this drop. The shift to a lower relative energy is also likely due to the internal excitation of H$_3^+$.

4.2. Converting Translational Temperature to Thermal Rate Coefficients

In order to convert our translational temperature rate coefficients into a thermal rate coefficient, we follow the approach outlined in O’Connor et al. (2015). This method enables us to correct our results for statistically populated fine-structure levels in the atomic O into data relevant for thermally populated fine-structure levels. In this, we follow the approximations made by Klippenstein et al. (2010), in their calculations for the O + H$_3^+$ collision system, namely that the reaction proceeds adiabatically and that surface crossings and intersystem transitions are both unimportant.

Another assumption that we make is that the internal excitation of the H$_3^+$ does not affect the reaction. Theoretically, this approach is supported by the long-range character of the entrance PES being dictated by the polarization of the fine-structure state of the oxygen in the field of a point charge.

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**Table 4**

Fit Parameters for the Kinetic Temperature Rate Coefficient for Reactions (1) and (2) in Units of cm$^3$ s$^{-1}$ for $T$ in K, Using Equation (13)

| Reaction | $a_0$ | $a_{1/2}$ | $a_1$ | $b_{1/2}$ | $b_1$ | $b_{3/2}$ |
|----------|-------|-----------|-------|-----------|-------|-----------|
| (1)      | $5.1142 \times 10^{-10}$ | $2.6568 \times 10^{-11}$ | $9.8503 \times 10^{-15}$ | $1.6747 \times 10^{-2}$ | $-9.9613 \times 10^{-3}$ | $1.1006 \times 10^{-4}$ |
| (2)      | $4.2253 \times 10^{-10}$ | $\cdots$ | $\cdots$ | $3.4977 \times 10^{-3}$ | $-1.4126 \times 10^{-4}$ | $6.3584 \times 10^{-5}$ |

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**Figure 5.** Experimentally derived translational temperature rate coefficients vs. temperature for Reactions (1) and (2) are shown by the black solid lines. The sum in quadrature of the systematic uncertainty and the fitting accuracy is denoted by the shaded region. The red dashed lines are extrapolations to lower temperatures with the systematic uncertainty (shaded region) taken as constant using that of the lowest measured energy.

**Figure 6.** Energy level diagram for various relevant O + H$_3^+$ reaction pathways, given in eV. Values for H$_2$O$^+$, H$_2$O$^+ + H$, and OH$^+$ + H$_2$ are given by Milligan & McEwan (2000); the others are derived from Huber & Herzberg (1979), Röhse et al. (1994), Liu et al. (2009), and Kramida et al. (2014).
symmetries vs. temperature.

Experimentally, it is supported by the good agreement between our $C + H_3^+$ results on internally excited $H_3^+$ and the mass-scaled results of Savić et al. (2005) for $C$ on $D_3^+$ with an internal temperature of 77 K. It is also supported by the good agreement that we find below between our thermal $O + H_3^+$ results and those of Fehsenfeld (1976) and Milligan & McEwan (2000) for $H_3^+$ with an internal temperature of 300 K.

Taken all together, these approximations enable us to derive temperature-dependent multiplicative scaling factors to convert our translational temperature results into thermal results. For this we use the theoretical study of Gentry & Giese (1977) for ground-term atomic oxygen in the presence of a positive charge. Bettens & Collins (2001) and Klippenstein et al. (2010) expanded on the calculations of Gentry & Giese (1977), which found that the nine states in the $O(3P)$ manifold are split into three attractive and six repulsive surfaces for long-range separation of the reactants. The $M_J=0$ and $\pm 1$ components of the five-fold degenerate $^3P_2$ ground state correlate with the attractive $^3\Sigma$ PES, while the $M_J=\pm 2$ components of the $^3P_2$ and all components of $^3P_1$ and $^3P_0$ correlate with the repulsive $^3\Pi$ surface. The partition functions for the attractive $^3\Sigma$ and non-reactive $^3\Pi$ PES shown in Figure 7 are given by

$$u_\Sigma = \frac{3}{5} u_2$$

$$u_{\Pi} = u_0 + u_1 + \frac{2}{5} u_2$$

with $u_f$ defined by Equation (5).

The theoretical approach outlined above provides information only for the total reaction probability, i.e., the sum of Reactions (1) and (2). We are aware of only one published experimental study for the branching ratios for forming OH$^+$ and $H_2O^+$, namely the results of Milligan & McEwan (2000) at 300 K. How those results scale with temperature is uncertain. Indeed, O’Connor et al. (2015) found a significant temperature dependence for the branching ratio in the analogous $C + H_3^+$ reaction.

Unfortunately, here we lack the necessary information on the branching fractions for the individual fine-structure components of O. Hence we cannot follow the approach of O’Connor et al. (2015) and convert our measured branching fractions for statistically populated fine-structure levels into those for thermally populated levels. But we are able to convert the summed translational temperature rate coefficients into a thermal rate coefficient. To do this we sum our translational temperature results for Reactions (1) and (2) and then multiply the result by $3\mu_\Sigma$. This factor of 3 takes into account the fact that in our measurement only one-third of the O fine-structure levels contribute to the reaction process. The resulting summed thermal rate coefficient is shown in Figure 8.

We can also use this theoretical approach to generate a temperature-dependent Langevin rate coefficient. The classical Langevin rate coefficient of $1.3 \times 10^{-15}$ cm$^3$ s$^{-1}$ (Milligan & McEwan 2000) considers all of the $O + H_3^+$ symmetries involved in the reaction process to be attractive. We can convert this value to a temperature-dependent thermal rate coefficient by multiplying it by the partition function $u_\Sigma$. Both the unmodified and modified Langevin rate coefficient are shown in Figure 8.

**4.3. Thermal Rate Coefficients**

Our experimentally derived thermal rate coefficient for $O + H_3^+$ forming either OH$^+$ or $H_2O^+$ is in good agreement with the unmodified Langevin rate coefficient at 10 K. At this temperature, the modified Langevin rate coefficient lies a factor of $\approx 1.6$ below our experimental results. This is not surprising since the charge-quadrupole interaction responsible for the growth of the rate coefficient at low temperatures is absent from the Langevin model. As the temperature increases, the unmodified rate coefficient becomes increasingly discrepant with the experimental results, growing to a factor of $\approx 2$ times larger at 300 K. Conversely the modified rate coefficient comes into reasonable agreement with our results, lying just outside the experimental error bars, but matching the general temperature dependence.
The semiclasrical results of Bettens et al. (1999) and those of Klippenstein et al. (2010), both shown in Figure 8, each display a trend similar to our experimentally derived thermal rate coefficient. However, both sets of values lie outside our experimental error bars. The results of Bettens and Collins are a factor of \( \approx 1.7 \) larger at 10 K and a factor of \( \approx 1.3 \) larger at 300 K, while those of Klippenstein et al. (2010) are factors of \( \approx 1.4 \) and 1.7 larger at 10 and 300 K, respectively. The cause of the discrepancy is not clear. For the analogous summed thermal rate coefficient for \( \text{C} + \text{H}_3^+ \), reasonable agreement was found between our results and the calculations of Bettens & Collins (1998, 2001).

Our derived thermal rate coefficient is in good agreement with the experimental results from Fehsenfeld (1976) at 300 K. In his flowing afterglow experiment, he was unable to distinguish the products of the reaction and thus gave only an overall thermal rate coefficient for \( \text{O} + \text{H}_3^+ \). Milligan & McEwan (2000) measured the rate coefficients of Reactions (1) and (2) at 295 K. We compare with their summed results and find that their error bar overlaps with ours. So even though their results are a factor of \( \sim 1.8 \) times larger than ours, the agreement seems reasonable enough, given the challenge of monitoring the atomic oxygen density in the flowing afterglow method.

Comparing the experimental thermal results for \( \text{O} + \text{H}_3^+ \) at \( \approx 300 \) K, we find good agreement between our work with hot \( \text{H}_3^+ (\approx 3000 \text{ K}) \) and the two published measurements on cold \( \text{H}_3^+ (\approx 300 \text{ K}) \). Similarly good agreement was found at a temperature of \( \approx 1000 \text{ K} \) between our \( \text{C} + \text{H}_3^+ \) and the mass-scaled results of Savić et al. (2005) for \( \text{C} + \text{D}_3^+ \), which had an internal temperature of 77 K. Taken together, these experimental results suggest that for reactions of the type \( \text{X} + \text{H}_3^+ \), the internal excitation of the \( \text{H}_3^+ \) does not play a significant role in determining the total rate coefficient for reacting, summed over all possible outgoing channels, at the temperatures where comparisons could be made with trap and flow tube measurements. We hypothesize that this behavior is due, in part, to the reaction being driven primarily by the long-range portion of the PESs for the reaction systems, where the internal excitation of the molecule is not yet felt.

Another concern that one might have with using the C results, in part, to support our argument above is the potential energy differences of 3.96 and 7.88 eV, respectively, between the incoming channels and the \( \text{CH}_3^+ \) and \( \text{H}_3\text{O}^+ \) complexes through which the reactions proceed. However, these potential energy differences are for molecules with an \( \text{XH}_3 \) geometry where the \( \text{X} \) is in the center of the triangle formed by the three \( \text{H} \) nuclei. That would require a dramatic rearrangement of the incoming \( \text{X} \cdot \text{H}_3^+ \) collision system. The more applicable potential energies to consider are those corresponding to the more readily accessible geometry of \( \text{XH}^+ \cdot \text{H}_2 \). Hence, the appropriate energy comparison is of the potential energy released for the various exit channels. These differ by only 0.26 eV for forming \( \text{CH}^+ \) and \( \text{OH}^+ \), and by only 0.52 eV for \( \text{CH}_2^+ \) or \( \text{H}_2\text{O}^+ \). These small differences seem unlikely to be an issue.

Lastly, \( \text{H}_3^+ \) has a singlet electronic state and a tenuously bound triplet state, which is readily dissociated in our hot ion source. Hence the entire \( \text{H}_3^+ \) population has the same spin symmetry. Thus any spin conservation in the reaction affects the entire \( \text{H}_3^+ \) population in the same way, as opposed to a molecule with a population divided between two or more different spin multiplicities. Clearly, though, further experimental and theoretical work is needed to more solidly understand the physics of the reaction and to investigate the role played by the internal excitation of the \( \text{H}_3^+ \).

### 5. Astrochemical Implications

We have used the gas-phase astrochemical code Nahoon (Wakelam et al. 2012) and the 2014 version of the KInetic Database for Astrochemistry (KIDA; Wakelam et al. 2015) in order to investigate some of the astrophysical implications of our findings, particularly for the gas-phase chemistry of dense molecular clouds. Though a complete study is beyond the scope of this paper (for example, we leave for future study the effects of surface or ice chemistry as well as any depletion effects), these preliminary models do give some insight into the impact of our results. Following Wakelam et al. (2015), the specific cloud parameters used were a hydrogen nuclei density of \( n_\text{H} = 2 \times 10^4 \text{ cm}^{-3} \), a CRIR of \( \zeta = 10^{-17} \text{ s}^{-1} \), and a visual extinction of \( A_V = 30 \). We also adopted the initial abundances given in their paper.

For these simulations we have taken our experimentally derived thermal rate coefficient for \( \text{O} + \text{H}_3^+ \) for forming either \( \text{OH}^+ \) or \( \text{H}_2\text{O}^+ \) and implemented it in Nahoon/KIDA. Since the available fitting functions within Nahoon/KIDA were unable to accurately fit our thermal rate coefficient, we have fit it using the recommended function of Novotný et al. (2013), namely

\[
k(T) = A \left( \frac{300 \text{ K}}{T} \right)^n + T^{-3/2} \sum_{i=5}^{4} c_i \exp(-T_i/T). \tag{22}
\]

The resulting fit parameters are given in Table 5. The deviations of the fitted \( k \) from the data are less than \( \sim 0.5\% \) over the reported temperature range of 1–10^4 K.

We have implemented our thermal rate coefficient in Nahoon/KIDA, modifying Nahoon to handle the above equation. Since we do not know the branching ratios for the formation of \( \text{OH}^+ \) and \( \text{H}_2\text{O}^+ \), we have run simulations first assuming a branching ratio of 100\% for forming \( \text{OH}^+ \) and later assuming 100\% for forming \( \text{H}_2\text{O}^+ \). This is justified as both ions are predicted to be highly transitory, rapidly reacting with the abundant \( \text{H}_2 \) in the cloud to form \( \text{H}_2\text{O}^+ \) via hydrogen abstraction,

\[
\text{OH}^+ \rightarrow \text{H}_2\text{O}^+ \rightarrow \text{H}_2\text{O}^+. \tag{23}
\]
As we expected, we found no significant difference in our model results for either branching ratio assumption.

5.1. Formation of Water

To investigate the impact of our results on the formation of water, we have calculated the H$_3$O$^+$ and H$_2$O abundances for a dense molecular cloud at a temperature of 10 K. Over most of the lifetime of a cloud, H$_3$O$^+$ is the dominant gas-phase precursor of water, forming H$_2$O via dissociative recombination

$$e^- + H_3O^+ \rightarrow H_2O + H.$$  

(24)

Figure 9 shows the ratios of the predicted abundances using our results relative to those from the unmodified Nahoon/KIDA, which uses the theoretical rate coefficient results of Klippenstein et al. (2010).

At a cloud age of 10$^2$ years, the abundances of both H$_3$O$^+$ and H$_2$O are reduced by $\approx$15%. These changes can be traced back to our reduced rate coefficient for forming OH$^+$ or H$_2$O$^+$, which go on to H$_3$O$^+$ via hydrogen abstraction and then water via dissociative recombination. Naively, one might expect the H$_3$O$^+$ and H$_2$O abundances to be reduced by the ratio of our rate coefficient relative to that of Klippenstein et al. (2010), which is $\approx$0.72 at 10 K. However, our lower rate coefficient results in a reduced destruction rate for the H$_3^+$ and thus a higher predicted abundance, giving an abundance ratio at 10$^2$ years of $\approx$1.18 compared to the unmodified Nahoon/KIDA results. Multiplying these two factors together explains the $\approx$15% reduction seen at short times.

At longer times, the observed reductions in H$_3$O$^+$ and H$_2$O become much more significant. Identifying the cause of this, though, is complicated, due to an increase in the complexity of the relevant chemical network. As an example, we discuss here the intermediate times between $\sim$10$^{3.5}$ and 10$^{5.9}$ years. During this time, along with reaction (24), two additional important channels for forming water open up, namely

$$C_3 + H_3O^+ \rightarrow H_2O + C_3H^+ \quad (25)$$

and

$$HCN + H_2O^+ \rightarrow H_2O + HCNH^+.$$  

(26)

Indeed, these two channels are predicted to dominate over dissociative recombination for part of this epoch. At longer times, dissociative recombination again comes to dominate.

New channels for forming H$_2$O$^+$ also open up between $\sim$10$^{3.5}$ and 10$^{5.9}$ years, including

$$H_2O + H_3^+ \rightarrow H_2 + H_2O^+ \quad (27)$$

and

$$H_2O + HCO^+ \rightarrow CO + H_2O^+.$$  

(28)

However, these reactions lead to destruction of H$_2$O as well, since only a fraction of the resulting H$_2$O$^+$ gets converted back into water. We find that with the modified chemistry, the larger decrease seen in the relative H$_2$O abundance (compared to that for H$_3$O$^+$) can be traced back, in part, to the increased H$_3^+$ abundance during this epoch, leading to an enhancement in HCO$^+$ formation via

$$CO + H_3^+ \rightarrow HCO^+ + H_2.$$  

(29)

The resulting HCO$^+$ then leads to an increased reduction in the water abundance via reaction (28) for the modified chemistry.

5.2. Cosmic-ray Ionization Rate

In dense molecular clouds, the CRIR $\zeta$ can be constrained through observations of the H$_3^+$ column density (e.g., McCall et al. 1999; Klippenstein et al. 2010; Oka 2013). The H$_3^+$ is formed in a two-step process, beginning with the ionization of H$_2$ by cosmic rays; other ionization processes of H$_2$ are insignificant. At typical dense cloud densities of $n_1 \sim 10^4$ cm$^{-3}$, the resulting H$_3^+$ rapidly reacts with a neutral H$_2$ molecule, in less than a day (Oka 2013), meaning that H$_3^+$ generation is completely determined by the CRIR, with a formation rate versus time $t$ of

$$\left[ \frac{dn_{H_3^+}}{dt} \right]_{form} = \zeta n_{H_2}, \quad (30)$$

where $n_{H_2}$ is the number density of molecular hydrogen. The dominant destruction mechanisms of H$_3^+$ in dense clouds are binary chemical reactions with other species, primarily neutral since the electron abundance is too low for dissociative recombination to play a significant role. Thus we can write the destruction rate as

$$\left[ \frac{dn_{H_3^+}}{dt} \right]_{dest} = \sum_i k_i n_i n_{H_3^+}, \quad (31)$$

where $k_i$ is the thermal rate coefficient for the reaction of species $i$ with H$_3^+$ and $n_i$ is the number density of species $i$.

In the quasi-equilibrium of dense molecular clouds, we can equate Equations (30) and (31), giving

$$\zeta n_{H_2} = \sum_i k_i n_i n_{H_3^+}. \quad (32)$$

As described in McCall et al. (1999), Klippenstein et al. (2010), and Oka (2013), the properties of dense clouds enable us to
make the approximation

\[ N_{H_i^+} = L n_{H_i^+}, \]

where \( N_{H_i^+} \) is the observed column density of \( H_i^+ \) and \( L \) the absorption path length through the cloud. Combining Equations (32) and (33) and rearranging gives

\[ \zeta L = \left( \frac{N_{H_i^+}}{n_{H_i^+}} \right) \sum_i k_i n_i. \]  

The quantity \( \zeta L \) can thus be constrained by a combination of the observed \( H_i^+ \) column density and \( n_{H_i^+} \) number density and astrochemical calculations of the individual terms contributing to the summation over species. Previous studies of Equation (32) have limited the number of species considered. For example, McCall et al. (1999) considered only reactions with CO, Klippenstein et al. (2010) also included O, and Oka (2013) added to these N2 and electrons. Here we have used the modified Nahoon/KIDA to calculate \( \sum_i k_i n_i \) for the most significant species as well as for all species. For comparison, we have also calculated the unmodified Nahoon/KIDA results for all species. Figures 10 and 11, respectively, show our results for cloud ages of \( 10^5 \) and \( 10^6 \) years over the temperature range of 10–400 K. These times lie within the range of commonly inferred cloud ages.

At \( 10^5 \) years and below \( \sim 160 \) K, the most important reactants with \( H_i^+ \) are CO, O, C, H2O, and the HCN/HNC isomers, in descending order of importance. The contributions of each of these species are shown by the various colored solid lines in Figure 10 and the total \( \sum_i k_i n_i \) due to all species by the solid black line. Above \( \sim 250 \) K, the abundance of C decreases dramatically and reactions with C become unimportant. It is also interesting to note that the structure due to CO largely gets washed out by the contributions of O, C, and H2O. Additionally, the structures seen in the total \( \sum_i k_i n_i \) due primarily to the HCN/HNC isomers between \( \sim 120 \) and 250 K and due to water above 250 K. The increase due to H2O is a result of the higher temperatures enabling neutral–neutral reactions leading to water to become important. Using the unmodified Nahoon/KIDA yields a total summation shown by the black dashed line, which is up to \( \sim 15\% \) larger than the modified value.

At \( 10^6 \) years and below \( \sim 240 \) K the most important reactants with \( H_i^+ \) are CO, O, and N2, in decreasing order of importance. The contributions of each of these species are shown by the various colored solid lines in Figure 11 and the total \( \sum_i k_i n_i \) due to all species by the solid black line. Above \( \sim 240 \) K, the abundance of O decreases dramatically and reactions with O become unimportant. Conversely, the abundance of H2O increases as neutral–neutral reactions leading to water become important. Using the unmodified Nahoon/KIDA yields a total summation shown by the black dashed line, which is up to \( \sim 8\% \) larger than the modified value.

These findings indicate that constraints to the value \( \zeta L \) depend, in part, on using a complete chemical model, knowing the age and temperature of the observed cloud, and using accurate reaction rate coefficients. The calculated abundances \( n_i \) also depend on the adopted values for \( \zeta \) and \( n_{H_i^+} \) in the astrochemical model, implying that one will have to iterate the model in order to achieve convergence with the observations for the quantity \( \zeta L \). Our experimentally derived thermal rate coefficient reported here helps to improve the reliability of this approach to determining \( \zeta L \), but it is clear that there are many additional parameters that also need to be well constrained.

6. SUMMARY

Using a novel merged fast-beams apparatus, we have measured the cross sections for \( O + H_i^+ \) forming OH and H2O+. Our measurements were performed for statistically populated \( O(3P_J) \) in the ground term and internally hot \( H_i^+ \) (\( \sim 2500–3000 \) K). Using theory as a guide to account for the temperature dependence of the O fine-structure \( J \)-levels, we have converted our results into a thermal rate coefficient for forming either OH+ or H2O+. The good agreement that we find with the two published flowing afterglow measurements at a temperature of \( \sim 300 \) K (and a corresponding level of \( H_i^+ \) internal excitation) suggests that the \( H_i^+ \) internal excitation does not significantly affect the thermal rate coefficient for this
reaction. The Langevin value is in good agreement with our results at 10 K but a factor of 2 higher at 300 K. The two published semiclassical results lie a factor of \( \sim 1.5 \) above our results over this temperature range. We have implemented our results in the astrochemical code Nahoon to explore some of the astrophysical implications of our results. For example, for dense clouds at 10 K, we find a reduction in the predicted water abundance by up to nearly 40% at certain times in the lifetime of the cloud.

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