Breathers and rogue waves on the double-periodic background for the reverse-space-time derivative nonlinear Schrödinger equation

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Abstract In the present investigation, the breathers and rogue waves on the double-periodic background are successfully constructed by Darboux transformation using a plane wave seed solution. Firstly, the Darboux transformation for the reverse-space-time derivative nonlinear Schrödinger equation is constructed. Secondly, periodic solutions, breathers, double-periodic solutions, breathers on the periodic and double-periodic background are derived by n-fold Darboux transformation. Thirdly, the higher-order rogue waves on the periodic and double-periodic background are constructed by semi-degenerate Darboux transformation. In addition, the dynamic behaviors of the solutions are plotted to show some interesting new solution structures.

Keywords Reverse-space-time derivative nonlinear Schrödinger equation · Darboux transformation ·

1 Introduction

Nonlinear evolution equations play an important role in integrable systems, and due to the applications of their solutions [1,2], many well-known mathematicians and physicists did some significant work [3–7]. Breathers and rogue waves are the important solutions of nonlinear evolution equations. There have been a lot of studies about breathers and rogue waves in recent years [8–16].

The derivative nonlinear Schrödinger equation (DNLS) [18–21] is given by

\[ iq_t - q_{xx} + i(q^2q^*)_{x} = 0, \]  

where the complex function \( q = q(x, t) \) denotes the wave envelope and * denotes the complex conjugation. Equation (1) arises in the study of circular polarized Alfvén waves in plasma [22], propagating parallel to the magnetic field [23], which is one of the most important integrable systems in mathematics and physics. Recently, the equation is also used to describe large-amplitude magnetohydrodynamic waves [24,25] of plasmas, nonlinear optics, the sub-picosecond and femtosecond pulses in single-mode optical fiber [26–28]. The DNLS and nonlocal DNLS equations are reduced from the Kaup–Newell system [29,30] and are Lax integrable. There generate many new physical phenomena and have important physical significance when nonlocal terms are added to nonlinear equations.
In recent years, many researchers have studied nonlocal DNLS equations from different viewpoints and perspectives. For example, in [31], the global bounded solutions of the nonlocal DNLS equation have been obtained from zero seed solution by Darboux transformation (DT) [32–37]. Furthermore, solutions and connections of nonlocal DNLS equations have been studied in [38]. In [39], the periodic bounded solutions of the second-type nonlocal DNLS equation from zero seed solutions have been studied. The \( PT \)-symmetric, reverse-time, and reverse-space-time nonlocal DNLS equations are integrable infinite-dimensional Hamiltonian dynamical systems, which were first proposed by Ablowitz and Musslimani [40, 41]. The general N-solitons in these three nonlocal nonlinear Schrödinger equations are presented by Yang in [42]. To investigate the connections between solutions at reverse-space-time points \((x, t)\) and \((-x, -t)\), we need to consider the reverse-space-time reduction. The reverse-space-time DNLS equation is as follows:

\[
 iq_t - q_{xx} + i(q^2 q(-x, -t))_x = 0,
\]

where the symmetry reductions are nonlocal both in space and time. The reverse-space-time DNLS equation has many physical applications in optics, ocean water waves, quantum entanglement and an unconventional system of magnetics, etc. [17, 43, 44]. For eq. (2), the evolution of the solution at location \((x, t)\), depends both on the local position \((x, t)\) and the distant position \((-x, -t)\). This implies that the states of the solution at distant opposite locations are directly related, reminiscent of quantum entanglement in pairs of particles [42]. The solution of reverse-space-time DNLS equation can extend the solution of the local equation to a more general case and deepen the physical research on the mechanism of ocean rogue waves. These results would also be useful for understanding the corresponding rational soliton phenomena in many fields of nonlocal nonlinear dynamical systems such as nonlinear optics, Bose–Einstein condensates, ocean and other relevant fields [42, 45, 46].

In general, it is extremely nontrivial to construct the rogue waves on a periodic background which is usually associated with complicated Jacobi elliptic functions [47–54], \( PT \) symmetry [55], integrable equations with variable coefficients [56, 57], or vector integrable equations [58]. In [59, 60], the rogue waves on the periodic background have been constructed by using odd-order semi-degenerate DT. In this article, we mainly study the breathers and the rogue waves on the double periodic background by using even-fold DT and even-order semi-degenerate DT, respectively. This is an effective new method to construct the solutions on double-periodic background without using Jacobi elliptic functions. It is of great physical significance to study rogue waves on a double-periodic background. For example, the rogue waves on the double-periodic background in the hydrodynamical experiments are possible due to the rogue waves on the continuous wave background observed in laser optics and water tanks [61]. Rogue waves on the double-periodic background could be relevant to diagnostics of rogue waves on the ocean surface and understanding the formation of random waves due to modulation instability [62].

In this work, we construct the breathers and rogue waves on the periodic background by odd-fold DT and odd-order semi-degenerate DT, respectively. This is the first time to extend this method to reverse-space-time nonlocal equations. Remarkably, using a plane wave seed solution, the breathers and rogue waves on the double-periodic background are first successfully constructed by even-fold DT and even-order semi-degenerate DT, respectively. By taking the dynamics analysis of the first-order rogue waves on double-periodic background, we show two types of structures: the two peaks and four peaks. The interesting thing can also be seen from the dynamic figures of the second-order rogue waves on the double-periodic background. There are two types of structures: one peak and two peaks. We shall also show the transformation process of double-periodic background and plane wave background in this study. These results have not been reported to our best knowledge.

The organizational structure of this paper is as follows. In Sect. 2, the determinant representation of the \( n \)-fold DT formula is given. In Sect. 3, using a plane wave seed solution, the periodic solution, breathers on the periodic background are given by odd-fold DT. The double-periodic solution, breathers and breathers on the double-periodic background solution are given by even-fold DT. In Sect. 4, we construct higher-order rogue waves on the periodic background and double-periodic background by semi-degenerate DT formula. The final section is devoted to conclusion.
2 DT of the reverse-space-time DNLS equation

2.1 Lax pair of the reverse-space-time DNLS equation

Starting from the Kaup–Newell system [63, 64], when the reduction condition is \( r(x, t) = -q(-x, -t) \), the spectral problem (3) and (4) can be transformed to

\[
Q^{[1]} = \begin{pmatrix} 0 & q^{[1]}(-x, -t) \\ -q^{[1]}(-x, -t) & 0 \end{pmatrix},
\]

\[
V_3^{[1]} = 2Q^{[1]}, \quad V_2^{[1]} = IQ^{[1][2]},
\]

\[
V_1^{[1]} = Q^{[1][3]} + IQ^{[1][4]} \sigma
\]

\[
= \begin{pmatrix} iq_1(x, -x, -t) + q(-x, -t)q[1] & 0 \\ 0 & -iq_1(x, -x, -t) + q(-x, -t)q[1] \end{pmatrix}.
\]

Lax pair of the reverse-space-time DNLS equation can be obtained as follows:

\[
\Psi_x = (i\sigma \lambda^2 + Q \lambda) \Psi = U \Psi,
\]

\[
\Psi_t = (2i\sigma \lambda^4 + V_3^2 + V_2^2 + V_1 \lambda) \Psi = V \Psi,
\]

with

\[
\Psi = \begin{pmatrix} \phi \\ \phi \end{pmatrix}, \quad \sigma = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix},
\]

\[
Q = \begin{pmatrix} 0 & q \\ -q(-x, -t) & 0 \end{pmatrix},
\]

\[
V_3 = 2Q, \quad V_2 = IQ^{[2]}, \quad V_1 = Q^{[3]} + IQ^{[4]} \sigma
\]

\[
= \begin{pmatrix} iq_1(x, -x, -t) + q(-x, -t)q[1] & 0 \\ 0 & -iq_1(x, -x, -t) + q(-x, -t)q[1] \end{pmatrix}.
\]

Equation (2) can be derived from the integrable condition \( U_t - V_x + [U, V] = 0 \) of Lax pair (3) and (4).

Introducing \( \Psi_j = \begin{pmatrix} \phi_j \\ \phi_j \end{pmatrix} = \begin{pmatrix} \phi_j(x, t, \lambda_j) \\ \phi_j(x, t, \lambda_j) \end{pmatrix} \), \( j = 1, 2, \ldots \), which is the eigenfunction of the Lax pair (3) and (4) associated with \( \lambda = \lambda_j \). The eigenfunction admits the following symmetry condition:

\[
\begin{pmatrix} \phi(x, t; \lambda_j) \\ \phi(x, t; \lambda_j) \end{pmatrix} = \begin{pmatrix} \phi(-x, -t; \lambda_j) \\ \phi(-x, -t; \lambda_j) \end{pmatrix}.
\]

2.2 n-fold DT of reverse-space-time DNLS equation

DT has unique advantages in constructing solutions due to pure algebraic construction. In this section, the DT for Eq. (2) will be introduced. Under gauge transformation

\[
\Psi^{[1]} = T \Psi,
\]

the spectral problem (3) and (4) can be transformed to

\[
\Psi_x^{[1]} = (i\sigma \lambda^2 + Q^{[1]} \lambda) \Psi^{[1]} = U^{[1]} \Psi^{[1]},
\]

\[
\Psi_t^{[1]} = (2i\sigma \lambda^4 + V_3^{[1]} \lambda^3 + V_2^{[1]} \lambda^2 + V_1^{[1]} \lambda) \Psi^{[1]} = V^{[1]} \Psi^{[1]}.
\]

Here,

\[
\Psi_x^{[1]} = (i\sigma \lambda^2 + Q^{[1]} \lambda) \Psi^{[1]} = U^{[1]} \Psi^{[1]},
\]

\[
\Psi_t^{[1]} = (2i\sigma \lambda^4 + V_3^{[1]} \lambda^3 + V_2^{[1]} \lambda^2 + V_1^{[1]} \lambda) \Psi^{[1]} = V^{[1]} \Psi^{[1]}.
\]

After derivation, we get the following conclusion:

\[
T_x = U^{[1]} T - T U,
\]

\[
T_t = V^{[1]} T - T V.
\]

Furthermore, the following identity can be deduced:

\[
U_x^{[1]} - V_x^{[1]} + [U^{[1]}, V^{[1]}] = T (U_t - V_x + [U, V]) T^{-1}.
\]

Due to the matrix \( T \) is nonsingular, the zero curvature equation \( U_t - V_x + [U, V] = 0 \) is equivalent to \( U_x^{[1]} - V_x^{[1]} + [U^{[1]}, V^{[1]}] = 0 \). This implies that in order to make spectral problem Eq. (3) is invariant under the gauge transformation Eq. (6), it is important to find a matrix \( T \) so that \( U^{[1]} \) and \( V^{[1]} \) have the same forms as \( U \) and \( V \). At the same time, the old solutions \( (q, q(-x, -t)) \) in spectral matrices \( U \) and \( V \) are mapped into new solutions \( (q[1], q[1](-x, -t)) \) in transformed spectral matrices \( U^{[1]} \) and \( V^{[1]} \).

In general, if the Darboux matrix \( T \) is a polynomial of the parameter \( \lambda \), for simplicity, we take \( T \) as

\[
T = \begin{pmatrix} a_1 & b_1 \\ c_1 & d_1 \end{pmatrix} \lambda + \begin{pmatrix} a_0 & b_0 \\ c_0 & d_0 \end{pmatrix}.
\]

Substituting the Darboux matrix \( T \) into Eq. (8) and Eq. (9), the onefold DT formula can be derived by comparing the coefficient in terms of \( \lambda \)

\[
q[1] = \frac{a_1}{d_1} q - 2i \frac{b_0}{d_1}.
\]

We also can deduced that \( b_1 = c_1 = 0 \), \( a_1 \) and \( d_1 \) are undetermined functions about \( x \) and \( t \). \( a_0, b_0, c_0 \) and \( d_0 \) are constants. In order to obtain the specific expression
of the elements in the matrix $T$, for simplicity, let $a_0 = d_0 = 0$, then

$$T_1 = \begin{pmatrix} a_1 & 0 \\ 0 & d_1 \end{pmatrix} \lambda + \begin{pmatrix} 0 & b_0 \\ c_0 & 0 \end{pmatrix}. \quad (12)$$

In particular, taking $b_0 = c_0 = \lambda_1$, the onefold DT formula is given by the eigenfunction $\Psi_1$ associated with $\lambda_1$ as follows:

$$q[1] = \left( \frac{\psi_1}{\varphi_1} \right)^2 q + 2i \frac{\psi_1}{\varphi_1} \lambda_1. \quad (13)$$

After $n$ times iterations based on the onefold Darboux matrix (12), the form of $n$-fold Darboux matrix is as follows:

$$T_n = \sum_{i=0}^{n} P_i \lambda_i^i,$$

where $P_0$ is a constant matrix and $P_i (1 \leq i \leq n)$ is a matrix function about $x$ and $t$. Using the same derivation method as the onefold DT formula yields

$$q[n] = \frac{a_n}{d_n} q - 2i \frac{b_{n-1}}{d_n}. \quad (14)$$

Furthermore, the determinant representation of $a_n$, $d_n$, and $b_{n-1}$ can be given by the kernel problem of DT matrix $T_n$, i.e.,

$$T_n|_{\lambda=\lambda_k} \Psi_k = \sum_{i=0}^{n} P_i \lambda_k^i \Psi_k = 0, \quad k = 1, 2, \ldots, n. \quad (15)$$

Then, the concrete expression of the new solutions $q[n]$ can be seen in the following.

**Theorem 1** The new solutions $q[n]$ given by the following $n$-fold DT formula of Eq. (2)

$$q[n] = \frac{W_{11}^2}{W_{21}^2} q + 2i \frac{W_{11} W_{12}}{W_{21}^2}, \quad (16)$$

where $W_{11}$, $W_{12}$, and $W_{21}$ have different forms depending on the parity of $n$.

**When $n = 2k$**, 

$$W_{11} = \begin{pmatrix} \lambda_1^{n-1} & \lambda_1^{n-2} & \cdots & \lambda_1 \varphi_1 \\ \lambda_2^{n-1} & \lambda_2^{n-2} & \cdots & \lambda_2 \varphi_2 \\ \vdots & \vdots & \ddots & \vdots \\ \lambda_n^{n-1} & \lambda_n^{n-2} & \cdots & \lambda_n \varphi_n \end{pmatrix} \begin{pmatrix} \lambda_1^2 \varphi_1 \varphi_1 & \lambda_2^{n-2} \varphi_1 \varphi_1 & \cdots & \lambda_1 \varphi_1 \varphi_1 \\ \lambda_1^2 \varphi_2 \varphi_2 & \lambda_2^{n-2} \varphi_2 \varphi_2 & \cdots & \lambda_2 \varphi_2 \varphi_2 \\ \vdots & \vdots & \ddots & \vdots \\ \lambda_1^2 \varphi_n \varphi_n & \lambda_2^{n-2} \varphi_n \varphi_n & \cdots & \lambda_n \varphi_n \varphi_n \end{pmatrix}.$$
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\[
q[2] = \frac{-2i(\lambda_1^3 - \lambda_3^3)\psi_1\psi_2 - \frac{1}{3}\lambda_2\phi_1\psi_2 + \frac{1}{3}\lambda_2 q\phi_2\psi_1]}{(\lambda_1\phi_1\psi_2 - \lambda_2\phi_2\psi_1)^2},
\]

(20)

\[
\phi_k = \psi_{11k} + \psi_{21k} + \psi_{12k}(-x, -t) + \psi_{22k}(-x, -t),
\psi_k = \psi_{12k} + \psi_{22k} + \psi_{11k}(-x, -t) + \psi_{21k}(-x, -t).
\]

(17)

Using eigenfunction (17) and symmetry condition (5), we can get some interesting new solutions.

When \(n = 1\): For simple, let \(\lambda_1 = i\beta_1\), then

\[
|q[1]|^2 = \frac{[(i R_1 - \omega_1)e^{\omega_2 + \omega_3} - (i R_1 + \omega_1)e^{\omega_3}][(\omega_3 + \omega_4)e^{\omega_2 + \omega_3} - \omega_4]}{[(i R_1 + \omega_1)e^{\omega_2} - i R_1 + \omega_1]^2},
\]

(18)

where

\[
\omega_1 = 2\beta_1^2 + 2\beta_1 c + a,
\omega_2 = R_1[(−2\beta_1^2 - c^2 + a)t + x],
\omega_3 = 4\beta_1^3 + 2\beta_1^2 c + (2a - 2c^2)\beta_1 - ac,
\omega_4 = i R_1(2\beta_1 + c),
\omega_5 = iac^2 - iac^2 - iac,
\]

\[
R_1 = \sqrt{4\omega_1^2 - (2\beta_1^2 + a)^2}.
\]

\[
\lim_{t \to \infty} |q[1]|^2 = c^2 - 2a,
\]

and the trajectory of the solution (18) is \(x = (2\beta_1^2 + c^2 - a)t\). According to these results, we can control the structure of the solution (18) by adjusting the parameters.

1. When \(c^2 > 2a\), Eq. (18) can generate soliton solutions. More profound, we find that Eq. (18) can generate a dark soliton when \(c^2 > 2a > 0\),

\[
\frac{c}{2} + \frac{\sqrt{c^2 - 2a}}{2} > \beta_1 > \frac{c}{2} - \frac{\sqrt{c^2 - 2a}}{2}
\]

or \(c^2 > 0 > 2a\), \(\frac{c}{2} - \frac{\sqrt{c^2 - 2a}}{2} > \beta_1 > -\frac{c}{2} + \frac{\sqrt{c^2 - 2a}}{2}\) (see Fig. 1a).

Equation (18) can generate a bright soliton if \(c^2 > 2a > 0\), \(-\frac{c}{2} + \frac{\sqrt{c^2 - 2a}}{2} > \beta_1 > -\frac{c}{2} - \frac{\sqrt{c^2 - 2a}}{2}\) or \(c^2 > 0 > 2a\), \(-\frac{c}{2} - \frac{\sqrt{c^2 - 2a}}{2} > \beta_1 > -\frac{c}{2} + \frac{\sqrt{c^2 - 2a}}{2}\).

(see Fig. 1b). Equation (18) can generate periodic solutions when \(\beta_1\) belongs to other intervals.

2. \(c^2 < 2a\), \(\forall \beta_1 \in R\), Eq. (18) also generate periodic solution (see Fig. 1c).

For \(n = 2\), the twofold DT formula (16) of the reverse-space-time DNLS equation implies a solution

\[
\begin{bmatrix}
\phi_1 \\
\phi_2
\end{bmatrix} = \begin{bmatrix}
\psi_1(-x, -t; \lambda_2) \\
\phi_1(-x, -t; \lambda_2)
\end{bmatrix}.
\]

(21)

We can derive breathers and double-periodic solution according to different reduced methods of spectrum parameter \(\lambda_1\) and \(\lambda_2\) as follows.

Case 1: \(\lambda_2 = \pm \lambda_1^4\), now \(q[2]\) is a breathers. For simplicity, we take \(\lambda_2 = -\lambda_1^4 = -\alpha_1 + i\beta_1\) and \(\text{Im}(-a^2 - 4\lambda_1^4 - 4\lambda_3^4 (c^2 - a)) = 0\). Then,

\[
\lim_{t \to \infty} |q[2]|^2 = c^2,
\]

and the center trajectory equation of solution \(q[2]\) can be calculated as \(x = 4(\beta_1^2 - \alpha_1^2)t\). We can know that the solution evolves periodically along the straight line with a certain angle of \(x\) axis and \(t\) axis when \(\beta_1^2 \neq \alpha_1^2\) from the above analysis (see Fig. 2a).

And when \(\beta_1^2 = \alpha_1^2\), the classical Ma breathers (time periodic breather) are shown in Fig. 2b, and the Akhmediev breathers (space periodic breather) are shown in Fig. 2c.

Next, we construct rogue wave \(q_1\) by letting the period of the breathers tend to be infinity. Let \(c \to -2\beta_1\) in \(q[2]\), then

\[
|q_1|^2 = \frac{m_1 t^4 + m_2 t^3 + m_3 t^2 + m_4 t + m_5}{m_6 t^4 + m_7 t^3 + m_8 t^2 + m_9 t + m_{10}},
\]

(22)

\[
m_1 = 262144(\alpha_1^2 \beta_1^6 + 2\alpha_1^2 \beta_1^{12} + \beta_1^{18}),
\]

\[
m_2 = 262144(\alpha_1^{10} \beta_1^6 - \alpha_1^6 \beta_1^{10} + \alpha_1^4 \beta_1^{12} - \beta_1^{16}),
\]

\[
m_3 = (32768(3\alpha_1^8 \beta_1^6 + \alpha_1^6 \beta_1^{10} - 4\alpha_1^4 \beta_1^{14} + \alpha_1^2 \beta_1^{12} + 3\beta_1^{14})x^2 - 6144(\alpha_1^6 \beta_1^{14} - 6\alpha_1^4 \beta_1^{16} + \beta_1^{18})),
\]

\[
m_4 = (16384(\alpha_1^6 \beta_1^6 + \alpha_1^2 \beta_1^{10} - \alpha_1^2 \beta_1^{10} - \beta_1^{12})x^3
\]

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**Fig. 1** Dynamics of dark soliton, bright soliton and periodic solution generated from nonzero seed solution: a) \( a = 1, c = \sqrt{3}, \beta_1 = -\frac{\sqrt{3}}{2} \); b) \( a = 1, c = \sqrt{3}, \beta_1 = \frac{\sqrt{3}}{3} \); c) \( a = 1, c = \sqrt{3}, \beta_1 = \frac{3}{2} \).

After calculation and analysis, we know that the maximum amplitude of the rogue wave \( q_r \) is 3 times compared with the asymptotic plane wave at infinity. The minimum amplitude of \( |q_r|^2 \) occurs at \( \left( \frac{27a_1^2}{16b_1^2(4a_1^2 + b_1^2)(a_1^2 + b_1^2)^2}, \frac{3}{256b_1^4(4a_1^2 + b_1^2)(a_1^2 + b_1^2)^2} \right) \) and \( \left( \frac{27a_1^2}{16b_1^2(4a_1^2 + b_1^2)(a_1^2 + b_1^2)^2}, \sqrt{\frac{3}{256b_1^4(4a_1^2 + b_1^2)(a_1^2 + b_1^2)^2}} \right) \), which equals to \( \frac{108(3a_1^4 - 2a_1^2b_1^2 + 4a_1^2b_1^2)}{169a_1^4 - 56a_1^2b_1^2 + 64a_1^2b_1^2 - 8a_1^2b_1^2} \). The rogue wave \( |q_r| \) with specific parameter \( \alpha_1 = \frac{1}{2} \) and \( \beta_1 = 1 \) is shown in Fig. (2d). From the graph of the rogue wave \( |q_r| \), we can see that the rogue wave \( q_r \) has a single peak with two caves on both sides of the peak. The optical pulse \( q_r \) only exists locally with all variables and disappears as time and space go far.

Case 2: \( \lambda_1 = i\beta_1, \lambda_2 = i\beta_2 \) and \( \beta_2 \neq \pm \beta_1, q(2) \) is represented as a double-periodic wave solution which is similar to the Jacobi elliptic function-type seed solution. From Fig. 3, we can see clearly that there are two periodic waves with different directions in the double-
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Fig. 3  Dynamics of double-periodic solution with: \( a = 1, \ c = 1, \ \beta_1 = \sqrt{2}, \ \beta_2 = \sqrt{2} \)

(a) General breathers on the periodic background  
(b) Ma breathers on the periodic background  
(c) Akhmediev breathers on the periodic background

Fig. 4  Dynamics of breathers on the periodic background: 
(a) \( a = 1, \ c = 1, \ \alpha_1 = 1, \ \beta_1 = \frac{1}{3}, \ \beta_3 = \sqrt{2} \);  
(b) \( a = 1, \ c = 1, \ \alpha_1 = 1, \ \beta_1 = 1, \ \beta_3 = \sqrt{2} \);  
(c) \( a = 5, \ c = \sqrt{5}, \ \alpha_1 = 1, \ \beta_1 = 1, \ \beta_3 = \sqrt{2} \);

periodic wave solution, and when the two waves with different directions are superimposed on each other, a higher wave peak can be generated. From a visual perspective, it seems that several parallel breathers are generated under the period background.

We find that the periodic solution can be generated by first-fold DT. The breathers and double-periodic solutions can be constructed respectively according to second-fold DT. Therefore, we consider constructing the breathers on the periodic background by odd-fold DT, and constructing the breathers on the double-periodic background by even-fold DT.

For \( n = 3 \), set \( \lambda_2 = -\lambda_1^* = -\alpha_1 + i\beta_1, \ \lambda_3 = i\beta_3 \) and \( a = 2\alpha_1^2 - 2\beta_1^2 + c^2 \). Parameter values have a great influence on the propagation direction of the breathers.

When \( \beta_1^2 \neq \alpha_1^2 \), solution \( q[3] \) is a general breather solution on periodic background (see Fig. 4a). When \( \beta_1^2 = \alpha_1^2 \), the classical Ma breathers on the periodic background are shown in Fig. 4b, and the Akhmediev breathers on the periodic background are shown in Fig. 4c. There are some interesting phenomena: Under the perturbation of the periodic background, the crest of the Ma breathers is cut, and the phase shift occurs at the center of the breathers.

For \( n = 4 \), set \( \lambda_2 = -\lambda_1^* = -\alpha_1 + i\beta_1, \ \lambda_3 = i\beta_3, \ \lambda_4 = i\beta_4 \) and \( \beta_4 \neq \pm \beta_3 \). The breathers on a double-periodic background are generated by formula (16). Similar to \( n = 2 \), we also let \( a = 2\alpha_1^2 - 2\beta_1^2 + c^2 \). When \( \beta_1^2 \neq \alpha_1^2 \), we can construct the general breathers on the double-periodic (see Fig. 5a). Under the disturbance
of double-periodic background, the propagation direction of general breathers usually produces shift. When $\beta_1^2 = \alpha_1^2$, the Ma breathers and Akhmediev breathers can be constructed by adjusting spectrum parameters. As for the Ma breathers on the double-periodic, due to the great influence of the double-periodic background, the image of Ma breathers solution looks like it disappears in the double-periodic background (see Fig. 5b). The Akhmediev breathers on the double-periodic background is shown in Fig. 5c. Visually, it looks like a breather with a higher amplitude is generated under the several parallel breathers background.

In addition, if we set $\lambda_2 = -\lambda_3^* = -\alpha_1 + i\beta_1$ and $\lambda_4 = -\lambda_5^* = -\alpha_3 + i\beta_2$. When $\beta_2^2 = \alpha_2^2 = \beta_1^2 - \alpha_1^2$, we can construct the velocity resonance of two pairs of breathers (see Fig. 6a). Otherwise, we can construct the elastic collision of two pairs of breathers (see Fig. 6b).

4 Higher-order rogue waves on the double-periodic background

Note that the eigenfunction is degenerated when $\lambda = \pm \frac{1}{2} \sqrt{2a - c^2} - \frac{i}{2}c$. In this case, the higher-order rogue waves can be derived. Combined with the methods of constructing the periodic and double-periodic background in the previous section, we will give the solutions of higher-order rogue waves on the periodic and double-periodic background in this section. Since periodic can be derived by odd-fold DT, both breathers and double-periodic solution can be obtained by even-fold DT. We can construct higher-order rogue waves on the periodic by odd-order semi-degenerate DT and higher-order rogue waves on the double-periodic by even-order semi-degenerate DT.

4.1 Semi-degenerate DT formula

**Theorem 2** Let $\lambda_2 = -\lambda_3^* = -\frac{1}{2} \sqrt{2a - c^2} - \frac{i}{2}c$. When $n = 2k$, set $\lambda_{n-1} = i\beta_{n-1}, \lambda_n = i\beta_n$ and $\beta_{n-1} \neq \pm \beta_n$. When $n = 2k + 1$, set $\lambda_n = i\beta_n$, then the semi-degenerate DT formula can be obtained as follows:

$$q_n = \frac{W_{11}^2 W_{12}^2}{W_{21}^2} q + 2i \frac{W_{11} W_{12}^2}{W_{21}^2}.$$  (23)

When $n = 2k,$

| $W'_{11}$ | $\varphi_{1,1}^{n-1,1}$ | $\phi_{1,2}^{n-1,2}$ | $\varphi_{1,3}^{n-1,3}$ | $\ldots$ | $\varphi_{1,1}^{n,1}$ | $\phi_{1,2}^{n,2}$ | $\varphi_{1,3}^{n,3}$ | $\ldots$ | $\varphi_{1,1}^{n+1,1}$ | $\phi_{1,2}^{n+1,2}$ | $\varphi_{1,3}^{n+1,3}$ | $\ldots$ |
|------------|------------------------|------------------------|------------------------|----------|------------------------|------------------------|------------------------|----------|------------------------|------------------------|------------------------|----------|
| $W'_{12}$ | $\varphi_{2,1}^{n-1,1}$ | $\phi_{2,2}^{n-1,2}$ | $\varphi_{2,3}^{n-1,3}$ | $\ldots$ | $\varphi_{2,1}^{n,1}$ | $\phi_{2,2}^{n,2}$ | $\varphi_{2,3}^{n,3}$ | $\ldots$ | $\varphi_{2,1}^{n+1,1}$ | $\phi_{2,2}^{n+1,2}$ | $\varphi_{2,3}^{n+1,3}$ | $\ldots$ |
| $W'_{21}$ | $\varphi_{1,1}^{n-1,1}$ | $\phi_{1,2}^{n-1,2}$ | $\varphi_{1,3}^{n-1,3}$ | $\ldots$ | $\varphi_{1,1}^{n,1}$ | $\phi_{1,2}^{n,2}$ | $\varphi_{1,3}^{n,3}$ | $\ldots$ | $\varphi_{1,1}^{n+1,1}$ | $\phi_{1,2}^{n+1,2}$ | $\varphi_{1,3}^{n+1,3}$ | $\ldots$ |
Breathers and rogue waves on the double-periodic background

Fig. 5 Dynamics of breathers on the double-periodic background with:
(a) $a = \frac{7}{5}, c = 1, \alpha_1 = 1, \beta_1 = \frac{1}{2}, \beta_3 = \sqrt{2}, \beta_4 = \frac{\sqrt{2}}{2}$; 
(b) $a = 5, c = \sqrt{5}, \alpha_1 = 1, \beta_1 = 1, \beta_3 = \sqrt{5}, \beta_4 = \frac{\sqrt{2}}{2}$; 
(c) $a = 1, c = 1, \alpha_1 = 1, \beta_1 = 1, \beta_3 = \sqrt{2}, \beta_4 = \frac{\sqrt{2}}{2}$

Fig. 6 Dynamics of velocity resonance and elastic collision of breathers with:
(a) $a = \frac{7}{25}, c = \frac{1}{2}, \alpha_1 = \frac{1}{2}, \beta_1 = \frac{1}{2}, \alpha_3 = \frac{3}{5}, \beta_3 = \frac{3}{5}$
(b) $a = \frac{361}{800}, c = \frac{19}{20}, \alpha_1 = -\frac{1}{2}, \beta_1 = \frac{1}{2}, \alpha_3 = \frac{3}{5}, \beta_3 = \frac{3}{5}$
When $n = 2k + 1$, 

$$W_{11} = \begin{pmatrix}
\varphi_{1,n-1,1} & \varphi_{1,n-2,1} & \varphi_{1,n-3,1} & \cdots & \varphi_{1,1,1} & \varphi_{1,0,1} \\
\varphi_{2,n-1,1} & \varphi_{2,n-2,1} & \varphi_{2,n-3,1} & \cdots & \varphi_{2,1,1} & \varphi_{2,0,1} \\
\vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\
\varphi_{1,n+1,k} & \varphi_{1,n+2,k} & \varphi_{1,n+3,k} & \cdots & \varphi_{1,n,k} & \varphi_{1,n-1,k} \\
\varphi_{2,n+1,k} & \varphi_{2,n+2,k} & \varphi_{2,n+3,k} & \cdots & \varphi_{2,n,k} & \varphi_{2,n-1,k} \\
\lambda_n^{n-1} \varphi_n & \lambda_n^{n-2} \varphi_n & \lambda_n^{n-3} \varphi_n & \cdots & \lambda_n \varphi_n & \varphi_n
\end{pmatrix}$$

$$W_{12} = \begin{pmatrix}
\varphi_{1,n-1,1} & \varphi_{1,n-2,1} & \varphi_{1,n-3,1} & \cdots & \varphi_{1,1,1} & \varphi_{1,0,1} \\
\varphi_{2,n-1,1} & \varphi_{2,n-2,1} & \varphi_{2,n-3,1} & \cdots & \varphi_{2,1,1} & \varphi_{2,0,1} \\
\vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\
\varphi_{1,n+1,k} & \varphi_{1,n+2,k} & \varphi_{1,n+3,k} & \cdots & \varphi_{1,n,k} & \varphi_{1,n-1,k} \\
\varphi_{2,n+1,k} & \varphi_{2,n+2,k} & \varphi_{2,n+3,k} & \cdots & \varphi_{2,n,k} & \varphi_{2,n-1,k} \\
\lambda_n^{n-1} \varphi_n & \lambda_n^{n-2} \varphi_n & \lambda_n^{n-3} \varphi_n & \cdots & \lambda_n \varphi_n & \varphi_n
\end{pmatrix}$$

$$W_{21} = \begin{pmatrix}
\varphi_{1,n-1,1} & \varphi_{1,n-2,1} & \varphi_{1,n-3,1} & \cdots & \varphi_{1,1,1} & \varphi_{1,0,1} \\
\varphi_{2,n-1,1} & \varphi_{2,n-2,1} & \varphi_{2,n-3,1} & \cdots & \varphi_{2,1,1} & \varphi_{2,0,1} \\
\vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\
\varphi_{1,n+1,k} & \varphi_{1,n+2,k} & \varphi_{1,n+3,k} & \cdots & \varphi_{1,n,k} & \varphi_{1,n-1,k} \\
\varphi_{2,n+1,k} & \varphi_{2,n+2,k} & \varphi_{2,n+3,k} & \cdots & \varphi_{2,n,k} & \varphi_{2,n-1,k} \\
\lambda_n^{n-1} \varphi_n & \lambda_n^{n-2} \varphi_n & \lambda_n^{n-3} \varphi_n & \cdots & \lambda_n \varphi_n & \varphi_n
\end{pmatrix}$$

**Proof** Define the new function $\Psi[i, j, k]$ as follows:

$$\lambda^j \Psi = \Psi[i, j, 0] + \Psi[i, j, 1] \varepsilon + \Psi[i, j, 2] \varepsilon^2$$

$$+ \cdots + \Psi[i, j, k] \varepsilon^k + \cdots$$

where $\varepsilon$ is a small parameter, $\Psi[i, j, k] = \frac{1}{k!} \frac{\partial^k}{\partial \lambda^k} [(\lambda_1 + \varepsilon)^j \Psi(\lambda_1 + \varepsilon)]$.

When $n = 2k$, set $\lambda_1 = \frac{1}{2} \sqrt{2a - c^2 - \frac{1}{2} ic} + \varepsilon_1, \lambda_2 = -\frac{1}{2} \sqrt{2a - c^2 - \frac{1}{2} ic} + \varepsilon_1, \lambda_{2k-3} \rightarrow \lambda_1$ and $\lambda_{2k-2} \rightarrow \lambda_2, 2 \leq k \leq \frac{n}{2}$. Then using the expansion equation (24) to the elements in the first column of $W_{11}$ (remain the elements of the $(2k-1)$-th and $2k$-th row unchanged), we have

$$\lambda_1^{n-1} \varphi_1 = \varphi[1, n-1, 1],$$

$$\lambda_1^{n-1} \varphi_2 = \varphi[2, n-1, 1],$$

$$\lambda_3^{n-1} \varphi_3 = \varphi[1, n-1, 1] + \varphi[1, n-1, 2] \varepsilon,$$

$$\lambda_4^{n-1} \varphi_4 = \varphi[2, n-1, 1] + \varphi[2, n-1, 2] \varepsilon,$$

$$\vdots$$

$$\lambda_{n-3}^{n-1} \varphi_{n-3} = \varphi[1, n-1, 1] + \varphi[1, n-1, 2] \varepsilon$$

$$+ \cdots + \varphi[1, n-1, k-1] \varepsilon^{k-1},$$

$$\lambda_{n-2}^{n-1} \varphi_{n-2} = \varphi[2, n-1, 1] + \varphi[1, n-1, 2] \varepsilon$$

$$+ \cdots + \varphi[2, n-1, k-1] \varepsilon^{k-1}.$$
Breathers and rogue waves on the double-periodic background

Fig. 7 Dynamics of first-order rogue waves on the periodic background: \(a = 1, c = 1, \beta_3 = \frac{1}{10}, a = 1, c = 1, \beta_3 = -\frac{1}{10}\) and \(a = 1, c = 1, \beta_3 = 0\).

When \(n = 6\), we can obtain the second-order rogue waves on the double-periodic background by (23). Similar to the first-order rogue wave on double-periodic background, the selection of parameters also have effect both on the amplitude of the double-periodic background and rogue waves. The positions of the second-rogue waves also show the connections between reverse-space-time points \((x, t)\) and \((-x, -t)\). Compared with first-order rogue waves on the double-periodic background, the difference is that there are one peaks on the double-periodic background when we take \(a = 1, c = 1, \beta_5 = 0.1\) and \(\beta_6 = \frac{\sqrt{2}}{2}\) (see Fig. 10a). And there are two peaks on the double-periodic background when we take \(a = 1, c = 1, \beta_5 = 0.1\) and \(\beta_6 = \frac{\sqrt{2}}{2}\) (see Fig. 10b). Seeing it visually in three dimensions, we can find that the energy centered on the rogue wave and gradually dissipated to a steady state. When \(\beta_5 = -\beta_6\), the second-order rogue waves on the double-periodic background will convert to the second-order rogue waves on the plane wave (see Fig. 10c).
addition, compared with the first-order rogue waves, second-order rogue waves have higher amplitude.

5 Conclusion

In our present investigation, we constructed the breathers and rogue waves on the double-periodic background for Eq. (2), which are first generated by plane wave seed solution. The general breathers, Ma breathers and Akhmediev breathers on double-periodic background were generated by even-fold DT. Due to the influence of the double-periodic background, the image of Ma breathers solution looks like it disappears in the double-periodic background, and the propagation direction of general breathers produces shift.

By using the even-order semi-degenerate DT, we derived the first-order and second-order rogue waves on the double-periodic background. Due to the reverse-space-time reduction conditions, the positions of rogue wave solutions show connections between reverse-space position and reverse-time points \((x, t)\) and \((-x, -t)\). For the first-order rogue waves on the double-periodic background, we find that there are two peaks or four peaks when we adjust the parameters. There are one peak or two peaks on the double-periodic background when adjusting parameters of second-order rogue waves. Second-order rogue waves
have higher amplitude than first-order rogue waves. Significantly, the double-periodic background converts to the plane wave background when $\beta_{n-1} = -\beta_n$.

We generated the general breathers, Ma breathers and Akhmediev breathers by odd-fold DT. There are some interesting phenomena: the crest of the Ma breathers is cut, and the phase shift occurs at the center of the general breathers with the perturbation of periodic background. The first-order and second-order rogue waves on the periodic background were derived by odd-order semi-degenerate DT formula, respectively. When $\beta_n > 0$, the rogue wave patterns are located in the area where the periodic pattern reaches its amplitude. However, when $\beta_n < 0$, the rogue wave patterns locate in the middle of two amplitude trajectories of the periodic pattern, which looks like that the rogue wave is generated by the interaction of two waves of the periodic pattern. The higher-order rogue waves have a high amplitude peak on the center distributed with some lower peaks and even numbers of caves.

Moreover, as we remarked in the introduction, rogue waves on the periodic and double-periodic background have some important uses and applications in many diverse areas of mathematics and physics. Therefore, the results which are presented in this article are also of great physical significance. For example, the rogue
waves on the periodic and double-periodic background can be relevant to diagnostics of rogue waves on the ocean surface and understanding the formation of random waves due to modulation instability.

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Data availability All data generated or analyzed during this study are included in this published article.

Declarations

Conflict of interest The authors declare that there are no conflict of interests regarding the publication of this paper.

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