Depression Detection Using Stacked Autoencoder From Facial Features And NLP

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Abstract— Depression has become one of the most common mental illnesses in the past decade, affecting millions of patients and their families. However, the methods of diagnosing depression almost exclusively rely on questionnaire-based interviews and clinical judgments of symptom severity, which are highly dependent on doctors’ experience and makes it a labor-intensive work. This research work aims to develop an objective and convenient method to assist depression detection using facial features as well as textual features. Most of the people conceal their depression from everyone. So, an automated system is required that will pick out them who are dealing with depression. In this research, different research work focused for detecting depression are discussed and a hybrid approach is developed for detecting depression using facial as well as textual features. The main purpose of this research work is to design and propose a hybrid system of combining the effect of three effective models: Natural Language Processing, Stacked Deep Auto Encoder with Random forest (RF) classifier and fuzzy logic based on multi-feature depression detection system. According to literature several fingerprint as well as fingerprint recognition system are designed that uses various techniques in order to reduce false detection rate and to enhance the performance of the system. A comparative study of different recognition technique along with their limitations is also summarized and optimum approach is proposed which may enhance the performance of the system. The result analysis shows that the developed technique significantly advantages over existing methods.

Keywords— Depression detection, Facial Features, Natural Language Processing, Stacked Autoencoder, Fuzzy Logic.

I. INTRODUCTION
Depression is a common mental disorder that already affects more than 350 million people worldwide [1]. It will not only make a bad influence on the patients but also on their families. The World Health Organization said that depression will become the second leading cause of illness by the year 2020 [2]. However, the assessment methods of diagnosing depression almost exclusively rely on the patient-reported and clinical judgments of the symptom severity [3]. Current diagnostic techniques of depression have obvious disadvantages, which are associated with the patient denial, poor sensitivity, subjective biases and inaccuracy [4]. Finding an objective, accurate and practical method for depression detection still remains a challenge. Early detection of an individual at risk of depression in initial stages and in mild form is beneficial both for the individual and society. Our study contributes to screening of individuals at risk of this disease. The study provides the original way of depression screening based on an analysis of how the writer uses the language to enable the automatic detection of writer’s risk of depression. Although depression may occur only once during your life, people typically have multiple episodes [6]-[10]. During these episodes, symptoms occur most of the day, nearly every day and may include:

- Feelings of sadness, tearfulness, emptiness or hopelessness
- Angry outbursts, irritability or frustration, even over small matters
- Loss of interest or pleasure in most or all normal activities, such as sex, hobbies or sports
- Sleep disturbances, including insomnia or sleeping too much
- Tiredness and lack of energy, so even small tasks take extra effort
- Reduced appetite and weight loss or increased cravings for food and weight gain
- Anxiety, agitation or restlessness
- Slowed thinking, speaking or body movements
- Feelings of worthlessness or guilt, fixating on past failures or self-blame
- Trouble thinking, concentrating, making decisions and remembering things
- Frequent or recurrent thoughts of death, suicidal thoughts, suicide attempts or suicide
- Unexplained physical problems, such as back pain or headaches

Ideally, machine learning tools for detecting depression should have access to the same information flow used by a doctor during diagnosis [11]-[15]. Consequently, the functionalities used by these classifiers must represent any type of communication: face and gesture, voice, language and language.

A. Visual Indicators
Visual indicators for the analysis of depression, including body movements, gestures, subtle expressions and periodic muscle movements, have been widely studied.

B. Speech Indicators
Recent research has shown that the use of language as a diagnostic and monitoring tool for depression is promising. A person’s vocal production system is very complex, and therefore small cognitive or physiological changes can cause changes in acoustic language. This idea led research to use language as an objective indicator for depression. Depressive language has been systematically associated with a variety of prosodic, origin, training and spectral indicators.

C. Textual Indicators
Early detection of an individual at risk of depression in initial stages and in mild form is beneficial both for the individual and society. Many studies are presented that focuses on discovery of the relationship between linguistic characteristics of a written text and the level of the emotional state of depression. The focus is on non-content (non-semantic) computational linguistic markers of a written text.

II. RELATED WORK
Depression is all about the emotions. Plutchik [5] listed the basic eight types of emotions as mentioned below:

1. Fear is the feeling of being afraid or a feeling of insecurity. It is usually induced by perceived threat or danger. It can cause a change in behavior. It is an unpleasant feeling.
2. Anger is intense negative emotion that leads to a hostile response to perceived provocation, threat or hurt [6]-[9]. It is usually accompanied by the feeling of doing something violent and taking revenge.
3. Sadness is the feeling of despair, grief, disappointment, and sorrow. It changes the behavior of the person to a state where a person feels very bad and sometimes feel like crying.
4. Joy is a positive feeling of happiness and great pleasure. It enables the person to enjoy the moment and try to find good things in everything. It promotes good behavior in a person.
5. Disgust is the feeling of regret and disapproval usually caused by something unpleasant or offensive. It is usually followed by anger.
6. Surprise is a feeling of astonishment when something unexpected happens. It leaves the person unable to believe what he/she perceives.
7. Trust is the feeling of reliability, truth or confidence in someone.
8. Anticipation is the feeling of looking forward positively on something that is about to happen. There are other emotions like acceptance, rage, ecstasy etc [16]-[20]. However, all these emotions are a combination of two or more emotions mentioned above. Affective Computing has been an active area of research in the field of machine learning, it is a broad area.

The authors are well motivated by the work described above and have decided to introduce a depression detection model that correctly and quickly recognizes the person’s mental state [21]-[23]. In the given system, we try to determine a person’s mental state, i.e. whether the person is depressed or normal. Table I gives some existing techniques with their result analysis.

| Author                  | Technique Used                                      | Conclusion                                      |
|-------------------------|-----------------------------------------------------|-------------------------------------------------|
| Kulkarni and Patil [1]  | Fisher vector algorithm and LTrP                    | Accuracy = 87.67                                |
| Shen et al. [3] (2018)  | Deep Neural Network model with Feature Adaptive Transformation & Combination strategy | Accuracy=77%                                   |
| Sharma [5] (2018)       | Deep Learning                                       | Discussed the advantages of deep learning in depression detection |
| Vonikakis et al. [9]    | Geometric features Partial Least Squares regression | RMSE = 0.8316                                   |
| Wang et al. [12] (2013) | Vocabulary rules to calculate the depression inclination | Accuracy=80%                                   |
| Xinyu Wang et al. [13]  | Calculate the probability of a user being depressed | Accuracy=95%                                   |
| Alghowinem et al. [14]  | Active Appearance Models Gaussian Mixture Models Support Vector Machines | Accuracy=70% Accuracy=75%                      |
| Lu-Shih et al. [16] (2011) | Teager energy operator (TEO) | Accuracy=80.5%                                |
Lu-Shih et al. [17] (2009)  | Gaussian mixture models (GMM)  | Accuracy=59.55%
---|---|---
Cohn [18] (2009)  | Active Appearance Modeling (AAM) and Pitch Extraction  | Accuracy=79%

III. METHODOLOGY

In this research work an approach is proposed to develop a depression level detection system using facial features as well as textual features. The algorithm is designed to match with given training dataset that gives matching result in probability. Figure 1 shows the different stages of the proposed biometric recognition system that will be analyzed in the following step by step.

**A. Facial Feature Extraction**

Each subject had a neutral expression and the six basic expressions. The feature points of these facial images of the participants were artificial marked with 68 points which were defined in Xm2vts [22] frontal face data.

**Facial Feature Points Tracking in Video**

In this step, each frames of the videos are analyzed. First, the Viola-Jones face detector was used to detect the human face region in the frame. Then, the current positions of the feature points were obtained by using the AAM on each frame.

**Facial Feature Extraction**

Facial expressions and eyes feature points are selected to detect the depression, including eye pupil movement, blinking frequency, and movement changes of bilateral eyebrows and corners of mouth [24][25].

- **Eyes:** The distance between the left pupil (31, the position of each points). Left inner eye corner point (29). The distance between the right pupil (36). The right inner eye corner point (34).
- **Eyebrows:** The distances between the medial three feature points (23, 24, 25) on left eyebrow and the left inner eye corner point (29) which is invariant relative to the face. The distances of the medial three feature points (17, 18, 19) on the right eyebrow and the right inner eye corner point (34). The distance between the feature point on the left side of the eyebrow (21) and left inner eye corner point (29). The distance between the feature point on the right side of the eyebrow (15) and right inner eye corner point (34).
- **Corners of mouth:** The distances between the nose tip point 67 and six characteristic points (48, 49, 59, 53, 54, and 55) around the corners of mouth. The maximum, minimum and standard deviation of these distances mentioned above are used to measure the degree of facial expression changes.

At the same time, in order to eliminate the difference between different faces and the projection difference caused by the distance from the camera, we divide those maximum, minimum and standard deviation distance values by the mean distance.

A total of 49 statistical features were extracted, which are:

1. Standard deviation/mean, maximum/mean, minimum/mean of the 8 points on eyebrows (3 × 8)
2. Standard deviation/mean, maximum/mean and minimum/mean of 2 eye pupils (3 × 2).
3. Standard deviation/mean, maximum/mean and minimum/mean of the 6 points on eyebrows (3 × 6)
4. Blink frequency of eyes (1 × 1)

II. Textual Feature Extraction

**Data Gathering**

The very first step of proposed research methodology is dataset preparation in which data gathering is first of all performed. Figure 2 represents this step in which manually analysed questionnaire are collected and saved in a dataset format.
Text Pre-processing

As it is necessary to clean the dataset. This process is termed as data preprocessing. This step is necessary to remove unnecessary terms used by an individuals in questionnaire such as comma, full-stop, colons or any special symbols or characters. These terms doesn’t associate any sentiment values. So, to reduce further complexity it is needed to removes such terms. In this research work this step is performed in two steps as stated below:

Some special symbols or characters are removed.
Comma, full stops, colons and semi-colons are removed.

![Diagram](image)

**Figure 2: Data Gathering for Proposed Work**

Textual Feature Extraction

Extraction of some useful information out of these questionnaires is known as feature extraction. This information is a set of features that is very useful for classifiers. Figure 3 illustrates the process of feature extraction for proposed methodology.

![Diagram](image)

**Figure 3: Proposed Flow Diagram of Textual Depression Analysis**

For generation of feature vector associated with depressed or non-depressed score of the questionnaire sentence. A depression dictionary is referred. In this research work sentiment is calculated by summarizing the depressed or non-depressed score of each word in entire sentence. If the non-depressed score of entire sentences is greater than depressed score of entire sentences then the overall sentiment score of that sentence review is non-depressed. Similarly, if the non-depressed score of entire sentences is less than depressed score of entire sentences then the overall sentiment score of that sentence is depressed. The calculation of depressed or non-depressed score of the sentence is determined as follows:

\[
\text{Depressed Score Sentence} = \frac{\text{Sum of Depressed Score of Each Word}}{\text{Total Number of words in sentence}}
\]

(1)

\[
\text{Non-depressed Score Sentence} = \frac{\text{Sum of Non-depressed Score of Each Word}}{\text{Total Number of words in sentence}}
\]

(2)

Stacked Deep Auto Encoder

With the rapid development of unsupervised learning in recent years, the use of untagged data to extract functions with Autoencoder has become an appropriate medium. The Autoencoder model is essentially a multilayer neural network. A deep stacked autocoder is constructed by combining a stacked autocoder that includes a desired number of cascading automatic encoding layers. In Autoencoder networks, the learning phase of the functionalities is not monitored since no labeled data is used. The basic architecture of an unattended auto encoder is a step forward with an input level, often a hidden level and an output level.

An automatic encoder can be used for pre-training or to reduce dimensionality if the architecture has the shape of a bottleneck. For simplicity, consider a car encoder with a hidden layer. The automatic encoder can then learn different display levels by stacking the hidden levels. It is a feature extraction algorithm. Helps find a representation of the data. The functionality generated by the automatic encoders represents the data point better than the points themselves.

![Diagram](image)

**Figure 4: Stacked Deep Auto Encoder**

The main difference between ordinary forward neural network and autoencoder is that an autoencoder’s output is always the same as or similar to its input. The basic formula can be expressed as follows:
\[ F_v = h(x) = \sum W_e \ast X_i + B_i \]  
\[ F_v' = h'(x) = \sum W_d \ast X'_i + B'_i \]

Where
\[ W_e \] = weight matrices of encoder
\[ W_d \] = weight matrices of decoder

An automatic encoder can be considered as a combination of encoder and decoder. The encoder contains an input layer and a hidden layer which converts an input image \( I \) into a characteristic vector \( F_v \). The decoder includes a hidden layer and an output layer that transform feature \( F_v \) to output feature \( F_v' \). tanh activation functions, which is used to activate the unit in each layer.

And transfer function is calculated as :
\[ f(x) = \frac{1}{1 + e^{-y}} \]

Where, \( e \) = error value
The stacked deep autoencoder neural network involves multiple layer of autoencoders neural network and the loss function that is to be minimized as :
\[ loss_{min} = |X - (W_1 \theta (W_2 \theta \ldots \ldots (W_l (f(x)))))| \]

Where, \( W_1, W_2, \ldots, W_l \) = weight function of all autoencoders
\( \theta \) = Decoding function of autoencoders

\( f(x) \) = function to calculate data values at each layer
Fundamentally, this involves the proposed shift from the encoder-decoder paradigm (symmetric) and towards utilizing just the encoder phase (non-symmetric). The reasoning behind this is that given the correct learning structure, it is possible to reduce both computational and time overheads, with minimal impact on accuracy and efficiency.

And finally, all features are combined to form the fusion feature of each facial as well as textual. These features of image as well as textual are fused together for extracting global features and these features are further used for classification of depression. Random forest is used for classification of extracted fused features for personal identification either depressed as well as non-depressed.

C. Fuzzy Rules for Deciding Depression Level
After classification of person either depressed as well as non-depressed, fuzzy rules are designed the level of depression by designing rules. The depression level is designed in three basic level.

- Highly Depressed (high)
- Mildly Depressed (average)
- Non-Depressed (min)

Facedetect (facial classification) have two conditions : min (non-depressed) and max (depressed)
Textualdetect (text classification) have five conditions : min (depression level < 0.3), min_2 (depression level > 0.3 and < 0.5), average (depression level > 0.5 and < 0.7), high_2 (depression level > 0.7 and < 0.9) and high (depression level > 0.9).

Output is determined in three conditions : Highly Depressed (high), Mildly Depressed (average), Non-Depressed (min)

IV. RESULT ANALYSIS
For result analysis simulation is performed using MATLAB platform. In this section, screen shots of simulated features are represented below. The GUI of home page contains two functions, one for facial expression analysis and other for textual feature analysis.

Figure 6: Depression Testing Example 1
In figure 6, a test image is processed while giving a depressed expression and the proposed methodology gives output as depressed.
In figure 7, a test image is processed while giving a non-depressed expression and the proposed methodology gives output as non-depressed. The detection result is shown which processing the hybrid features of depression detection. If the detection level is greater than 90% then it is considered to be in high level of depression, while it is less than 30% then it is considered to be as not-depressed and between them the patient is considered to be as mild depressed.

Table II represents the performance evaluation of proposed stacked autoencoder based on random forest classifier for hybrid depression detection system in terms of accuracy precision rate, recall rate, f_measure and Equal Error Rate (EER).

**Table II: Performance Evaluation of Proposed Algorithm**

| Parameters        | Values |
|-------------------|--------|
| Accuracy          | 94     |
| Precision         | 96     |
| Recall/Sensitivity| 98     |
| Specificity       | 91     |
| F_Measure         | 97     |
| EER               | 0.12   |

Table III represents the comparative performance evaluation with respect to existing work.

**Table III: Comparative Performance Evaluation**

| Techniques | Kulkarni et al. [1] (in %) | Proposed (in %) |
|------------|----------------------------|-----------------|
| Accuracy   | 88                         | 94              |
| Recall/Sensitivity | 94                 | 98              |
| Specificity | 88                         | 91              |

Figure 4.4 represents the comparative accuracy evaluation of proposed stacked autoencoder based random forest classifier with respect to existing work.

Figure 4.5 represents the comparative recall/sensitivity evaluation of proposed stacked autoencoder based random forest classifier with respect to existing work.

Figure 4.6 represents the comparative specificity evaluation of proposed stacked autoencoder based random forest classifier with respect to existing work.

**V. CONCLUSION**

Depression is a serious mental illness, and the current diagnosis process still needs to be conducted by a specially trained psychiatrist or psychologist, usually using a scale and careful observation in communication, which depends on the doctor's
experience. And it's hard for non-psychiatrists to diagnose and treat depression.

Previous research has shown that context can have an impact on speed and accuracy when identifying facial expressions of emotion. Many research work was conducted with facial expression. But this system gives a bias result while differentiating between depressed, happy and sad features. So, in this research work, a hybrid system is developed with facial as well as textual which reduces the drawbacks of facial expression system. The main purpose of this research work is to design and propose a hybrid system of combining the effect of three effective models: Natural Language Processing, Stacked Deep Auto Encoder with Random forest (RF) classifier and fuzzy logic based on multi-feature depression detection system. According to literature several fingerprint as well as fingerprint recognition system are designed that uses various techniques in order to reduce false detection rate and to enhance the performance of the system. The result analysis shows approx. 6% accuracy, 4% recall rate as well as 3% specificity that shows enhancement over existing work. Depression and anxiety disorders are critical problems in modern society. In future study, other multi-modal features and multi-modal fusion strategies will be explored such as EEG signals in order to obtain promising performance.

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