Occurrence of \textit{bla}_{NDM-1}-Positive \textit{Providencia} spp. in a Pig Farm of China

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Abstract: Antibiotics have been extensively used to ensure the productivity of animals on intensive livestock farms. Accordingly, antimicrobial-resistant organisms, which can be transmitted to humans via the food chain, pose a threat to public health. The Enterobacterium antimicrobial resistance gene, \textit{bla}_{NDM-1}, is a transmissible gene that has attracted widespread attention. Here, we aimed to investigate the prevalence of Enterobacteriaceae carrying \textit{bla}_{NDM-1} on an intensive pig farm. A total of 190 samples were collected from a pig farm in Hunan Province, China. Resistant isolates were selected using MacConkey agar with meropenem and PCR to screen for \textit{bla}_{NDM-1}-positive isolates. Positive strains were tested for conjugation, antimicrobial susceptibility, and whole-genome sequencing. Four \textit{bla}_{NDM-1}-positive \textit{Providencia} strains were obtained, and multidrug resistance was observed in these strains. The structure carrying \textit{bla}_{NDM-1} did not conjugate to \textit{E. coli} J53 after three repeated conjugation assays. This suggests that, in intensive farming, attention should be focused on animal health and welfare to reduce the frequency of antibiotic usage. Carbapenem-resistant Enterobacteriaceae in the breeding industry should be included in systematic monitoring programs, including animal, human, and environmental monitoring programs.

Keywords: antimicrobial resistance; \textit{bla}_{NDM-1}; carbapenem-resistant Enterobacteriaceae; \textit{Providencia}; pig farm

1. Introduction

Antimicrobial resistance (AMR) is recognized as one of the most serious threats to human and animal health [1–3]. These concerns are amplified by the rapid increase in carbapenem-resistant Enterobacteriaceae (CRE), which can carry and spread resistance genes [4]. Infections by CRE are associated with significant morbidity and mortality [5], as well as substantial economic loss.

The mechanisms underlying carbapenem resistance in CRE are complex and are known to involve the production of the enzyme New Delhi metallo-beta-lactamase (NDM-1), a carbapenemase encoded by the \textit{bla}_{NDM-1} gene [6]. Since the first report of a strain of \textit{bla}_{NDM-1}-positive \textit{Klebsiella pneumoniae} from India in 2008 [7], many Enterobacteriaceae containing \textit{bla}_{NDM-1} have been reported worldwide [8–10]. Several \textit{bla}_{NDM-1}-positive isolates belong to the Enterobacteriaceae genus \textit{Providencia}, which consists of several gram-negative opportunistic pathogenic strains [11]. \textit{Providencia} spp. have been reported to cause diarrhea in
humans, especially in developing countries, and are a common cause of travel diarrhea [12]. Moreover, *Providencia* spp. have been found in a variety of organisms, including birds, fish, and fruit flies, and can be isolated directly from water [13–17]. The discovery of *bla*<sub>NDM-1</sub> in *Providencia* is concerning because these bacteria are naturally resistant to tetracycline and colistin, making the clinical treatment of these infections extremely difficult [18]. In the past several years, *bla*<sub>NDM-1</sub>-positive *Providencia* spp. have been found in patients from Peru [19], China [20], and Australia [21], most of whom were hospitalized. These strains are usually isolated from samples of human urine, blood, and sputum [22].

Furthermore, expression of *bla*<sub>NDM-1</sub> and the presence of its carrier bacteria have been detected in non-clinical samples, such as chickens, pigs, cattle, dogs, cats, swallows, and flies, and transmission of Enterobacteriaceae carrying *bla*<sub>NDM-1</sub> along the food chain has been reported [4,23]. Thus, there is a precedent for monitoring *bla*<sub>NDM-1</sub>-carrying bacteria in livestock and poultry farms. While investigations on the presence of *bla*<sub>NDM-1</sub>-positive *Providencia* in pigs are scarce [24], the monitoring of zoonotic indicators on pig farms has shown that AMR is common [25]. Therefore, we collected 190 fecal and environmental samples from a large-scale pig farm in Hunan Province, China, to investigate the prevalence of *bla*<sub>NDM-1</sub>-positive bacterial strains. Here, we report the identification of four *bla*<sub>NDM-1</sub>-positive *Providencia* isolates in pigs.

2. Results

2.1. Identification of *bla*<sub>NDM-1</sub>-Positive Isolates

Ten strains were screened using meropenem (0.5 µg/mL): three strains were obtained from environmental samples from three different rooms (breeding rooms, pregnancy rooms, and delivery rooms), and six strains were obtained from fecal samples (three from the piglet rooms and three from fattening rooms). PCR analysis of these 10 samples revealed four *bla*<sub>NDM-1</sub>-positive bacterial strains. Three of the four *bla*<sub>NDM-1</sub>-positive strains (20Q122mw, 20Q124mw, and 20Q126mw) were obtained from pig fecal samples collected from the piglet room. The other *bla*<sub>NDM-1</sub>-positive strain (20Q171mw) was obtained from a pig fecal sample collected from a fattening room. Bacterial 16S rRNA sequencing identified strains 20Q122mw and 20Q124mw as *Providencia rettgeri* and strains 20Q126mw and 20Q171mw as *P. stuartii*.

2.2. Antimicrobial Susceptibility Profiles and Conjugation Experiments

The multidrug resistance of the four *bla*<sub>NDM-1</sub>-positive *Providencia strains* was assessed by performing drug sensitivity tests (Table 1). Multidrug-resistant bacteria were defined as those resistant to three or more classes of antibiotics [26]. The minimum inhibitory concentrations (MICs) of florfenicol and colistin against the *bla*<sub>NDM-1</sub>-positive strains exceeded 128 µg/mL, which indicated high resistance. Resistance to florfenicol can be attributed to the presence of the amphenicol resistance gene, floR, in both *P. rettgeri* and *P. stuartii* (Table 2). Meanwhile, resistance to colistin likely corresponds to the natural antimicrobial resistance of *Providencia* since no resistance genes against colistin have been previously found [27]. Although all four *bla*<sub>NDM-1</sub>-positive strains exhibited multidrug resistance, some differences in the resistance profiles between the *P. rettgeri* and *P. stuartii* strains were observed. In particular, a difference was observed in the susceptibility to gentamicin, wherein the *P. stuartii* strains were resistant to gentamicin up to a concentration of 16 µg/mL, whereas the *P. stuartii* strains were sensitive to 0.25 µg/mL gentamicin.

No strains with successful conjugation were obtained after three repeat tests.
### Table 1. Susceptibility of blaNDM-1-positive Providencia strains to antimicrobial agents.

| Strains   | Sites         | Species | Source      | MIC (μg/mL) | MEM | AMK | FFC | CL | TGC | GEN | CIP | CTX | CEF | TCY | PTZ |
|-----------|---------------|---------|-------------|-------------|-----|-----|-----|----|-----|-----|-----|-----|-----|-----|-----|
| 20Q122mw  | Piglet room   | P. rettgeri | Pig feces   | 4 4 128 16 16 2 32 |     |     |     |    |     |     |     |     |     |
| 20Q124mw  | Piglet room   | P. rettgeri | Pig feces   | 4 4 128 16 16 2 32 |     |     |     |    |     |     |     |     |     |
| 20Q126mw  | Piglet room   | P. stuartii | Pig feces   | 4 4 128 16 16 2 32 |     |     |     |    |     |     |     |     |     |
| 20Q171mw  | Fattening room| P. stuartii | Pig feces   | 4 4 128 16 16 2 32 |     |     |     |    |     |     |     |     |     |

MIC, minimum inhibitory concentration; MEM, meropenem; AMK, amikacin; FFC, florfenicol; CL, colistin; TGC, tigecycline; GEN, gentamicin; CIP, ciprofloxacin; CTX, cefotaxime; CEF, cefotiofur; TCY, cefotiofur; PTZ, piperacillin-tazobactam.

### Table 2. Genetic characterization of carbapenem-resistant Providencia strains.

| Strains   | Source      | Species | Sequencing Platforms | Size (bp) | GC Content | Antibiotic Resistance Genes |
|-----------|-------------|---------|----------------------|-----------|------------|-----------------------------|
| 20Q122mw  | Pig feces   | P. rettgeri | Illumina Hiseq      | 4,612,974 | 40.3%      | blaNDM-1, blaTEM-116, msr(E), aph(3')-Ia, adaA1, aac(6')-Ib-cr, aadA2, dfrA12, sul1, tet(D), mph(E), qnrA1, ARR-3, qacE, floR, catB3 |
| 20Q124mw  | Pig feces   | P. rettgeri | Illumina Hiseq      | 4,607,625 | 40.3%      | blaNDM-1, msr(E), aac(6')-Ib-cr, aph(3')-Ia, adaA2, adaA1, tet(D), qnrA1, sul1, dfrA12, dfrA1, ARR-3, mph(E), qacE, catB3, floR |
| 20Q126mw  | Pig feces   | P. stuartii | Nanopore minION    | 4,712,152 | 40.7%      | blaNDM-1, blaOXA-10, blaTEM-116, ant(2''')-Ia, adaA1, aph(3')-Ia, aac(6')-Ib-cr, aph(3')-Ib, aph(6')-Id, aac(6')-Ib3, dfrA1, sul1, sul2, ARR-3, mph(E), msr(E), qnrD1, tet(B), qacE, catB8, catB3, floR, lnu(G) |
| 20Q171mw  | Pig feces   | P. stuartii | Illumina Hiseq      | 4,665,324 | 40.6%      | blaNDM-1, blaOXA-10, blaTEM-116, ant(2''')-Ia, adaA1, aph(3')-Ia, aac(6')-Ib-cr, aph(3')-Ib, aph(6')-Id, aac(6')-Ib3, dfrA1, sul1, sul2, ARR-3, mph(E), msr(E), qnrD1, tet(B), qacE, catB8, catB3, floR, lnu(G) |

### 2.3. WGS Analysis

The distribution of drug resistance genes in the four Providencia strains was analyzed by using whole genome sequencing. In addition to the beta-lactam resistance genes blaNDM-1, blaOXA-10, and blaTEM-116, the four blaNDM-1-positive strains carried several common resistance genes (Table 2). In the two P. rettgeri strains, resistance genes against aminoglycosides (aph(3')-Ia, adaA1, aac(6')-Ib-cr, and aadA2), macrolides (msr(E) and mph(E)), trimethoprim (dfrA1 and dfrA12), and sulfonamides (sul1) were identified. Interestingly, we detected more resistance genes in the P. stuartii strains than in the P. rettgeri strains; these included resistance genes against aminoglycosides (aph(6')-Id, aph(3')-Ia, adaA1, aac(6')-Ib3, aph(3')-Ib, aac(6')-Ib-cr, and ant(2''')-Ia), macrolides (msr(E) and mph(E)), trimethoprim (dfrA1), and sulfonamides (sul1 and sul2). Some of these resistance genes were distributed around blaNDM-1. BlaNDM-1 in all four strains were found on the same fragment containing the QacE-sul1-ISAba125-CatB-ARR-3-QacE-sul1 cassette (Figures 1 and 2), suggesting that the transmission of this fragment occurred in the pig farm.
Figure 1. Genomic environment of $\text{bla}_{\text{NDM-1}}$ in $P.\text{rettgeri}$ and $P.\text{stuartii}$ strains. Genes are represented as arrows, which indicate their transcription orientations and relative lengths.

Figure 2. Comparison of the whole-genome sequences of $\text{Providencia}$ spp. strains with the sequence of plasmid $\text{pNDM-PM58}$ (GenBank accession number KP662515.1).

In order to facilitate the comparison between human and pig isolates, a $\text{Proteus mirabilis}$ plasmid isolated from the urine of a patient at the Zhengzhou University Hospital in China was analyzed (Figure 2) [28]. The $\text{Proteus mirabilis}$' plasmid, named $\text{pNDM-PM58}$ (GenBank accession number KP662515.1), was 12,146 bp in size. We found that the $\text{QacE-sul1-IS91-trpF-ble-bla}_{\text{NDM-1}}$-$\text{ISAb125CatB-ARR-3-QacE-sul1}$ cassette array of $\text{Providencia}$ was the same as that of $\text{pNDM-PM58}$, except for the $\text{trpF}$ gene, wherein the $\text{pNDM-PM58}$ had a complete $\text{trpF}$ gene than $\text{Providencia}$. Moreover, similar to the $\text{bla}_{\text{NDM-1}}$-positive $\text{Providencia}$ strains, $\text{P. mirabilis}$ exhibited resistance to meropenem and some cephalosporins.
However, pNDM-PM58 obtained from humans exhibited significant resistance to amikacin (at >64 µg/mL), whereas the four blaNDM-1-positive Providencia strains were sensitive to amikacin (at 4 µg/mL).

3. Discussion

To the best of our knowledge, this study is the first to identify blaNDM-1 in Providencia spp. isolated from pigs. These strains were obtained from pig fecal samples from a pig farm in China, specifically from piglet and fattening rooms. As members of the Enterobacteriaceae family, the discovery of blaNDM-1-positive Providencia in food-producing animals is of concern. Enterobacteriaceae harboring blaNDM-1 are resistant to many antibacterial agents and cause problems in the treatment of many bacterial infections in animals and humans. Genetic segments containing blaNDM-1 can conjugate with different bacteria via mobile genetic elements, leading to the spread of multidrug resistance [29–31]. Although the four blaNDM-1-positive Providencia strains identified in this study had inserted sequences around blaNDM-1, they did not conjugate with E. coli J53 after three repeated conjugation assays. This might be due to preferential conjugation effects between bacteria; for example, the insert sequences of the IS30 family were observed to preferentially insert into the inverted repeat sequence of similar regions than with themselves [32]. It was possible that the conditions of our conjugation tests did not meet the conditions for transfer or that transfer to E. coli J53 was not compatible with the blaNDM-1-positive Providencia strains.

Among the various bacterial genera present in the samples collected in this study, blaNDM-1 was only detected in Providencia strains. Therefore, Providencia strains were probably the dominant population for blaNDM-1 transmission in this pig farm. These isolates were collected from different rooms (piglet and fattening rooms) and identified as different species (P. rettgeri and P. stuartii); however, all isolates harbored the same blaNDM-1 segment. This suggests that horizontal gene transfer is a probable route of transmission in this farm. Furthermore, whole genome sequencing analyses identified consistent clusters of highly genetically-related isolates (20Q122mw and 20Q124mw; 20Q126mw and 20Q171mw), suggesting the possibility of blaNDM-1 vertical transmission.

On intensive pig farms, personnel disinfection is usually strictly enforced to ensure the health of animals, and meropenem use is not allowed. However, blaNDM-1-positive Providencia strains were detected. Thus, it is possible that the presence of blaNDM-1 on this pig farm might have originated from Providencia harboring blaNDM-1 in wild hosts, such as wild birds, flies, and mice. Preventing exposure to wild host species may help prevent the transfer of blaNDM-1-carrying strains to pigs.

In addition, we noted some interesting observations while analyzing the genetic environment near blaNDM-1. Compared to the pNDM-PM58 plasmid of P. mirabilis isolated from a human sample, a similar segment (QacE-sul1-1591-trpF-ble-blaNDM-1-ISAba125) was found in the blaNDM-1-positive Providencia strains. While a similar segment shared by different bacteria is not necessarily proof of horizontal gene transfer as they might originate from different sources [33], this segment that contains blaNDM-1 has the potential to spread between humans and animals.

4. Materials and Methods

In December 2020, 190 fecal (n = 137) or environmental (n = 53) samples were collected from a pig farm in Hunan Province, China. Pig fecal samples were collected from the following areas: breeding rooms (n = 28), pregnancy rooms (n = 27), delivery rooms (n = 44), piglet rooms (n = 26), and fattening rooms (n = 12). Environmental samples, including from pig drinking water, sewage, and dirt, were collected from the following areas: breeding rooms (n = 9), pregnancy rooms (n = 11), delivery rooms (n = 18), piglet rooms (n = 9), and fattening rooms (n = 6). All samples were collected using sterile swabs and were then suspended in 1 mL phosphate-buffered saline. These samples were stored in iceboxes and transported to the laboratory at the end of sampling. MacConkey agar (Landbridge, Beijing, China) supplemented with 0.5 µg/mL meropenem (Meilun Biotechnology Co.,
Ltd., Dalian, China) and vancomycin (30 µg/mL, Meilun Biotechnology Co. Ltd., Dalian, China) was used to screen for antibiotic-resistant bacterial strains in the samples. PCR and electrophoresis assays were performed to verify whether the filtered isolates contained bla<sub>NDM-1</sub> [34]. The 16S rRNA sequencing was performed to confirm the presence of the bacterial species by Tsingke Biotechnology Company (Changsha, China).

The antimicrobial sensitivity of bla<sub>NDM-1</sub>-positive isolates for 11 antibiotics was assessed. The agar dilution method was used for meropenem, amikacin, florfenicol, tigecycline, gentamicin, ciprofloxacin, cefotaxime, ceftiofur, tetracycline, and piperacillin-tazobactam (Meilun Biotechnology Co. Ltd., Dalian, China), whereas the broth microdilution method was used for colistin (Meilun Biotechnology Co. Ltd., Dalian, China), according to the guidelines of the Clinical and Laboratory Standards Institute (CLSI) (https://clsi.org, 1 October 2021). *Escherichia coli* ATCC 25922 was used as the control strain.

Subsequently, bla<sub>NDM-1</sub>-positive strains were used as donor bacteria and sodium azide-tolerant *E. coli* J53 as receptor bacteria. The donor and receptor bacteria were mixed at a ratio of 1:3 in LB broth (Landbridge, Beijing, China), and the mixture was spread onto microporous membranes affixed to Mueller Hinton agar (Landbridge, Beijing, China) at 37 °C for 18 h. The colonies on the membranes were then diluted in LB broth and evenly coated onto MacConkey agar plates containing 1 µg/mL meropenem and 400 µg/mL sodium azide. The cultures were incubated at 37 °C for 18 h. Then, the strains were filtered using these cultures. Conjugation assays were performed in triplicate.

DNA from all bla<sub>NDM-1</sub>-positive isolates was extracted using the TIANamp Bacteria DNA Kit (Tiangen Biotech Co., China), according to the manufacturer’s instructions. WGS of 20Q122mw, 20Q124mw, and 20Q171mw was performed using the Illumina HiSeq sequencing platform (Illumina, San Diego, CA, USA) by Annoroad Gene Technology (Beijing, China). Meanwhile, genomic DNA sequencing of strain 20Q126mw was performed using the Nanopore MinION (100-fold average read depth). Raw sequences were assembled using SPAdes 3.11 (Bankevich et al., 2012) and annotated using RAST (http://rast.nmpdr.org/, accessed on 8 January 2022). AMR genes were searched in the Center for Genomic Epidemiology database (www.genomicepidemiology.org, accessed on 8 January 2022). The Basic Local Alignment Search Tool (BLAST) of the National Center for Biotechnology Information (NCBI) (https://blast.ncbi.nlm.nih.gov/Blast.cgi, accessed on 16 January 2022) was used to analyze the alignments of similar sequences. The genetic environment of bla<sub>NDM-1</sub> was investigated using Easyfig 2.2.5 [35]. The BLAST Ring Image Generator (BRIG 0.9516) was used to generate a comparative genomic circle map [36].

### 5. Conclusions

In this study, four strains of bla<sub>NDM-1</sub>-positive *Providencia* spp. were found in the feces of swine. These four strains carried the same segments of bla<sub>NDM-1</sub> and were isolated from different farming rooms. Furthermore, the segments were similar to that of *Proteus mirabilis*, which was isolated from a patient in Zhengzhou, China, thereby indicating that these segments of bla<sub>NDM-1</sub> can be transmitted between humans and animals. In order to reduce the risk of AMR transmission, the conditions in pig farms should be further optimized to minimize the use of antibiotics. AMR monitoring of intensive farms should be maintained to keep humans and animals safe.

**Author Contributions:** X.C. and J.L. designed the research. W.C., Z.L., H.L. and J.Y. collected the data. W.C., J.Z. and X.L. analyzed and interpreted the data. W.C. drafted the manuscript, W.C., H.L., Z.L., Z.S., J.Y. and T.L. revised the article. All authors have read and agreed to the published version of the manuscript.

**Funding:** This research was funded by the Hunan Provincial Natural Science Foundation of China (2021JJ40234) and the China Postdoctoral Science Foundation (2020M682569).

**Institutional Review Board Statement:** Not applicable.

**Informed Consent Statement:** Not applicable.
Data Availability Statement: All genome sequences have been deposited in the GenBank database under the BioProject accession number SAMN26356030, SAMN26356031, SAMN26356032 and SAMN26356033.

Conflicts of Interest: The authors declare no conflict of interest.

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