Breakdown into nanoscale of graphene oxide: Confined hot spot atomic reduction and fragmentation

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Nano-graphene oxide (nano-GO) is a new class of carbon based materials being proposed for biomedical applications due to its small size, intrinsic optical properties, large specific surface area, and easy to functionalize. To fully exploit nano-GO properties, a reproducible method for its production is of utmost importance. Herein we report, the study of the sequential fracture of GO sheets onto nano-GO with controllable lateral width, by a simple, and reproducible method based on a mechanism that we describe as a confined hot spot atomic fragmentation/reduction of GO promoted by ultrasonication. The chemical and structural changes on GO structure during the breakage were monitored by XPS, FTIR, Raman and HRTEM. We found that GO sheets starts breaking from the defects region and in a second phase through the disruption of carbon bonds while still maintaining crystalline carbon domains. The breaking of GO is accompanied by its own reduction, essentially by the elimination of carboxylic and carbonyl functional groups. Photoluminescence and photothermal studies using this nano-GO are also presented highlighting the potential of this nanomaterial as a unique imaging/therapy platform.

Nowadays, one of the most prompt methods to prepare graphene oxide (GO) or reduced graphene oxide (rGO) is through the wet chemical exfoliation of graphite. This approach has the advantage of large scale production, procedure simplicity, high efficiency and low cost. The exfoliation of graphite in solution occurs through extensive intercalation of oxygen moieties on the aromatic structure. This increases the interplanar carbon distance and consequently weakening the interactions between adjacent carbon planes.

The high degree of oxidation of the obtained GO grants this material with a high hydrophilic character which allows to obtain very stable aqueous colloidal suspensions. The maximum degree of GO oxidation synthetized by diverse methodologies available is between 1.8 < C/O < 2.5 ratio, usually denominated threshold oxidation degree (TOD). The surface chemistry of GO is generally described by Lerf-Klinowski model as a planar hexagonal carbon structure with hydroxyl and epoxy groups on the plane and carbonyl and carboxylic on the periphery. Recently, Tour et al. suggested a dynamic structural model for GO in aqueous solution, they observed that functional groups are constantly developing and transforming by constant reactions with water. Besides, GO can be easily converted into graphene by removing a majority of the oxygen functional groups and restoring the aromatic structure through chemical or thermal reduction methods. However, the diversity of several functional groups at the GO surface could be highly desirable to build up new hybrid materials. Usually two different approaches have been used for the functionalization of GO, covalent or non-covalent just depending on the final properties desired. The functionalized GO with unique and specific controlled properties has been used to build up biological platforms, biosensors and biodevices.

Nano-GO, also labeled as graphene quantum dots (GQDs) can be synthetized by two different approaches: top-down or bottom-up. Usually top-down methods consist on “cutting” graphene in very small pieces, under 100 nm on lateral dimensions, which could be performed by chemical ablation, electrochemical oxidation or oxygen plasma treatment. Bottom-up methods involves the synthesis of nano-GO through molecular precursors.

But why “nano” Graphene Oxide? As well known, materials at nanoscale level can reveal new properties, as well as an enhancement on the properties that they already have on bulk. In case of graphene, the 2D structure of...
carbon atoms with lateral width below 100 nm and atomic thickness incomes high values of specific surface area and higher chemical reactivity. In fact, the morphology of nano-GO is very beneficial for interaction with biological systems.

It was also observed that under certain critical limit size, GO can show permanent photoluminescence, arising from quantum confinement effects. The photoluminescence of nano-GO is becoming a subject of research for bioimaging, biosensing, catalysis, photovoltaic cells and organic light emitting diodes. On the other hand, GO structure also allows strong light absorption capacity in the near-infrared region (NIR) which makes it an excellent candidate for tumour cells ablation via photothermal treatment (Hyperthermia) or an effective near infrared light driven photocatalyst for selective oxidation. Importantly, photoluminescence and NIR absorption depends on the lateral dimensions of graphene materials, but nevertheless is convenient to distinguish nano-GO materials by the high extend of lateral dimensions which enhances the appearance of these properties.

Today impressive achievements have been made at the cross section between nanotechnology and biotechnology by employing carbon nanomaterials. Nano-GO is one of the most promising nanoparticles, the above referred features of nano-GO highlights its potential and points out the importance to further explore this nanomaterial in the emerging area of nanomedicine.

This work reports a new simple, reproducible and low time consuming methodology for the synthesis of controlled size nano-GO. Chemical and structural analysis of the resulting materials allowed us to propose a mechanism to explain the GO breaking process by ultrasound in aqueous solution. The structural analysis of the final nano-GO showed that it consists on the dispersion of small domains of crystalline carbon on a matrix of amorphous carbon. The photoluminescent and NIR absorption properties that arise from this specific structure were also studied to evaluate the potential of nano-GO for bio-applications as trackable hyperthermia nanoparticles. This new material allows combining photoluminescence and NIR absorption, being from the biomaterials point of view a very interesting perspective for the development of new anticancer therapeutic concepts.

**Results and Discussion**

Characterization of GO breakage process. The preparation of nano-GO starts from the sequential breakage of GO sheets prepared according to a previous method reported by the authors. By combining mechanical stirring with ultra-sonication it is possible to control the size of sheets over the time. The initial GO average size (GO1) was ~390 nm. After 30 minutes of treatment the sheets average size decreased to ~200 nm (GO2), after 60 minutes to ~140 nm (GO3), after 90 minutes to ~60 nm (GO4) and finally after 180 min to ~38 nm (GO5), as determined by dynamic light scattering (DLS) (Figure 1). The analysis of the inset on Figure 1 show that the size distribution of GO sheets decreases over the time of treatment, providing more accurate values at the end of the process. As it is already well known DLS analysis considers the hydrodynamic size of spherical particle models on suspension. In reality, the conformation of GO in aqueous solution depends on the chemical environment, such as ionic strength and pH, varying the graphene structure from folding, bending and scrolling. Raymond et al. demonstrated by experimental data and molecular simulations that the planar structure of GO is less stable than bent structure. They consider that GO is organized in two different small domains, one’s hydrophobic (sp² regions) and other hydrophilic (sp³ regions, positive or negative charged, depending on pH) and that this dual nature promotes the bending of GO on aqueous solution to achieve the most stable conformation state. These outcomes suggest that the present DLS measurements are valid, considering that the more stable GO structure in aqueous solution is an approximation of spherical particles instead of a completely planar structure. In the dried state, the GO sheets present very irregular 2D morphology (Figure 2, GO1) result from the random (irregular) chemical exfoliation of graphite. The breakage process of GO through sonication in aqueous solution showed an increase of density of small spherical particles with processing time, with more uniform particle size distribution (Figure 2, GO3). After purification of sample GO5 by centrifugation that promotes the removal of some remaining GO big flakes in solution, the average size of determined by AFM is ~50 nm with narrow particle size distribution (Figure 2, GO5), with a process yield of around 70%. The AFM analysis, confirms a decrease of the areas of the GO sheets during the synthesis process of nano-GO, being 2.30 × 10⁶ (GO1), 0.80 × 10⁶ (GO3) and 0.06 × 10⁶ nm² (GO5) (Figure 2).

HRTEM Images of starting material (GO1) exhibits a rough surface (Figure 3a), showing a quasi-atomic wrinkly structure at high magnification (Figure 3b). It is reported that during the drying process of GO, some solvents, in particular water, exerts surface tensions effects due to high affinity to GO oxidized domains, that can exacerbate the wrinkled structure. Beyond that, the structural defects on GO also have an important contribution on the structure deformation. A schematic representation of this structure is inserted (Figure 3c). In accordance to AFM analysis, the HRTEM analysis of the GO5 sample shows small spherical shape nanosheets with planar structure (Figure 3d). In fact, it is not well understood why the conformation of graphene particles at nanoscale is preferentially spherical, probably some thermodynamic constrains are responsible for that behaviour. Indeed, it is well known that the spherical shape of nanoparticles allows minimal surface energy and surface stress when an interface between a solid and a fluid is considered. High magnification images of GO5 sample shows that the disruption occurs by graphitization (Figure 3d and 3e). The interlayer spacing measured on the nano-GO borders is 0.34 Å (Figure 3f), which is in accordance to the value reported for graphitic materials.

An evaluation of the structural quality of the samples by comparing the Raman spectra of the samples after size reduction, was assessed by analysing the typical D and G bands of carbon structures (Figure S1 at supplementary information). Nano-GO Raman spectra showed the typical shape for the 488 nm excitation wavelength. At smaller GO sizes, there is a slight broadening of the FWHM of D peak, indicating that there is an increase of disorder or defects as expected due to the increase of GO edges with size reduction. Nevertheless, G and D bands do not shift as a function of the nano-GO size and I(D)/I(G) study as integrated areas ratio, gives...
approximately stationary results for all samples suggesting that the breaking into smaller sizes does not alter the probability of finding a six fold ring on the sheets, result which is in agreement with the TEM findings.

The GO breakage occurs by applying an external source of energy that promotes the rupture of the chemical bonds, thereby facilitating its processing into nano-GO. In fact, the energy bond of the GO chemical structure depends on the oxidation state of the carbon atoms, for example C=C bond requires 579 kJ/mol and C-O bond requires 383 kJ/mol to disrupt. The distribution of the structural defects (sp² carbon bonds) on the aromatic structure of GO determines therefore the appearance and propagation of cracks and consequently the final particle size.

The GO chemical structure dynamically changes during the breaking process. An important reduction on the absorption of the -OH stretching vibrations at 3420 cm⁻¹ and vibrations correspondent to carboxylic groups (-COOH) at 1627 cm⁻¹ is observed in the FTIR spectra, with the decrease of particle size (Figure 4). Interestingly, the decrease of GO particle size corresponds to an increase of the absorption bands of symmetric, asymmetric and scissoring stretching of –CH₂ at 2920, 2850 and 1470 cm⁻¹. Regions of spectral overlap involving mostly C-O and C=O contributions (850–1500 cm⁻¹) are very difficult to analyse in relation to the difference of intensities. GO samples were further characterized by XPS, in order to obtain complementary information about the chemical structures resultant from the sequential breakage process.
(Table 1 and Figure S2). The binding energy of \(\sim 285.0\) eV is attributed to the C=C, C-C and C-H bonds, the binding energies at \(\sim 287.0\) eV were assigned to the O-C-O and C-OH bonds and the binding energies at \(\sim 288.7\) eV \(^{36,37}\). The samples GO1, GO2 and GO3 present a similar C/O ratio of 1.6. However, the XPS data obtained for these 3 samples (Table 1) shows a growing conversion of C-O-C/ C-OH groups onto C=O/COOH groups with treatment time. In the case of GO4 and GO5 samples the C/O ratio increases to 1.7 and 1.8 respectively, indicating a loss of oxygen containing groups and accordingly an increase of C-H bonds (verified by FTIR analysis) and restructuration of C-C and C=C bonds. Zhang et al claim that a size reduction of GO implies an increase of oxygen functional groups on its surface \(^{38}\), however our results for small particles (under 63.7 nm) show the opposite effect.

**Mechanism of GO breakage.** Based on the data obtained, we postulate a possible mechanism for the breakage of GO sheets through ultrasounds. Starting from the already identified GO structure, we propose that after an initial phase of breakage, smaller sheets of GO are obtained through formation and propagation of cracks over defects zones, in particular regions with the sp\(^3\) bonds like -C=OH and O-C=O. This is schematically represented in Figure 5, where the colored lines represent the breaking areas. The final stages of breakage GO into smaller nanoparticles consist essentially in the elimination of oxygen functional groups (COOH and C=O) and the formation of -CH\(_2\) bonds, thereby allowing more hydrophobic chemical bonds (579 kJ/mol for C-O and 357 kJ/mol for C-C)\(^{34}\).

**Photoluminescence of nano-GO.** The optoelectronics properties of nano-GO are extremely dependent on its chemical structure (relative fraction of the sp\(^2\)-hybridized domains and density of oxygen functional groups) and morphology (size, shape and number of layers)\(^{35}\). In fact, the correct manipulations of GO structure during the synthesis process provide opportunities for tailoring its optoelectronic properties.

Figure 6 shows the emission spectra of GO5 (solution) under distinct excitation wavelengths. Under UV excitation (300–350 nm) the spectra reveal a broad band (full-width-at-half maximum \(\sim 300\) nm) covering the entire visible spectral range. The spectra reveal a main broad band between 500 and 800 nm peaking in the red spectral region at \(\sim 680\) nm and a low relative component at \(\sim 440\) nm. At higher excitation wavelengths (450–475 nm) only the former component could be detected. Analogous emission components were recently reported for as-prepared GO suspended in water\(^{41}\). Moreover, the band within 500–800 nm also resembles that observed for PEGylated nano-GO\(^{39}\), oxygen-plasma treated\(^{42}\) and reduced GO being, tentatively, ascribed to the presence of induced defect-related levels in the \(\pi-\pi^*\) bandgap\(^{43}\). The low-wavelength component at \(\sim 440\) nm is usually attributed to the restructuration of sp\(^2\) onto sp\(^3\) domains on GO\(^{44}\). The excitation spectra were monitored within the main band (550 and 650 nm) revealing a broad component (300–600 nm) with a linear plateau within 350–580 nm, whose fwhm increases as the monitoring wavelength increases (inset in Figure 6), similarly to that previously found for GO in water\(^{41}\).

**NIR absorption of nano-GO.** The temperature variation of nano-GO aqueous solutions with distinct concentration values (0, 0.5000, 0.0500, 0.0050 and 0.0005 mg/mL) was measured under irradiation with a laser emitting at 980 nm, as illustrated in the scheme in Figure S5 (supplementary information). In order to determine the solution temperature variation induced by the absorption of the NIR radiation the following preliminary experience was performed in water (in absence of GO). The temperature of the water without nano-GO (0 mg/mL) was monitored under the same experimental conditions as the ones used to monitor the temperature variation in the solutions containing different concentrations of nano-GO (Figure 7).

The influence of the nano-GO concentration on the temperature variation was evaluated by irradiating at 980 nm at an intensity of 1.0 \(\times 10^4\) W m\(^{-2}\) for four selected solutions with different concentrations (0.5000, 0.0500, 0.0050 and 0.0005 mg/mL) during 1200 s (Figure 7). The temperature displays a non-linear variation, whose maximum temperature value attainable depends on the nano-GO concentration. In particular, a maximum variation of the temperature of the GO solutions under NIR irradiation (\(\Delta T_{\text{GO}}\)) is 283.15 K for 0.5000 mg/mL, and 280.15 K for 0.0500 mg/mL, 278.15 K for 0.0050 and 275.15 K for 0.0005 after 600 s of irradiation time (Figure 7).
small concentration value nano-GO (0.0005 mg/mL) should be considered a critical value for increasing the temperature of the solution.

Figure 8 shows the temperature change for the nano-GO solution with lower concentration (0.0005 mg/mL) as function of the laser irradiation time at different laser intensities. The data collected showed effectively that it is possible to achieve high temperature rates by increasing the laser power, achieving a final $\Delta T_{\text{GO}}$ of approximately 289.15 K for laser power irradiation at $2.0 \times 10^5$ W m$^{-2}$.

$$T(t) = T_f + (T_0 - T_f) \exp \left( -\frac{hA}{c} t \right)$$

(1)

where $T_0$ is the temperature before irradiation (298.15 ± 0.1 K), $T_f$ is the maximum reached temperature due to irradiation, $h$ is the heat transfer coefficient in units of W.K$^{-1}$.m$^{-2}$ that depends on the injected thermal power and on the thermal losses to the exterior, $A$ is the area for which the heat transfers, and $c$ is the heat capacity. Equation (1) is solution of the Newton’s law of cooling and Tables S1 and S2 (Supplementary Information) list the parameters values resulting from the fit to the data in Figures 7 and 8, respectively.

We should note that from the $(hA)/c$ determined for water ($3.03 \times 10^{-3}$ s$^{-1}$, Table S1), and considering an effective area of $A = (3 \times 5 \times 10^{-6}$ m$^2$ (Figure S5), the water $h$ parameter is $\sim 844$ W m$^{-2}$ K$^{-1}$. This value is in good agreement with those known for water/CNTs free convective heat transfer coefficient.

Focusing our analysis on Figure 8, we note that although the maximum temperature reached increases with increasing laser power, the ratio $(hA)/c$ is independent of the laser power, which is expected, since those two parameters depend on material. A distinct case occurs for the data in Figure 7. In this case, the ratio $(hA)/c$ depends on the concentration of GO. In particular, as the concentration of GO increases from 0.0005 to 0.005 mg/mL it is observed an increase of the $(hA)/c$.

Table 1 | Results of XPS analysis of the C1s content of the surfaces of different GO samples

| Chemical Bonds | GO1 | GO2 | GO3 | GO4 | GO5 |
|----------------|-----|-----|-----|-----|-----|
| $C=\pi-C/C/CH$ | 285.0 | 33.3 | 285.0 | 32.7 | 285.0 | 36.9 |
| $C-O/C/COH$   | 287.1 | 51.5 | 287.1 | 50.3 | 287.0 | 40.0 |
| $C=O/COOH$    | 288.5 | 11.9 | 288.6 | 14.4 | 288.2 | 20.8 |
| C/O ratio     | 1.6  | 1.6  | 1.6  | 1.6  | 1.7  | 1.8  |

Figure 5 | Schematic representation of the breakage mechanism of GO onto nano-GO. The inset correspond to the mechanism confined hot spot atomic reduction of GO for the reduction of carboxylic and carbonyl groups. Hydroxyl and epoxy groups can probably be eliminated by this mechanism though the transformation onto carbonyl groups. Inset shows the hot spot atomic reduction reactions of GO.
higher GO concentration this ratio decreases. This behavior may be rationalized as follows. For low GO concentrations, the heat transfer coefficient $h$ is limited by the water thermal conductivity ($k_w$), therefore, independent of the GO concentration. As the GO amount in solution increases from 0 to 0.0005, the solution heat capacity decreases because $C_{GO}$ (1.7 $\text{JK}^{-1}$) is smaller than that of the water ($C_w$ = 4.18 $\text{JK}^{-1}$). When the relative fraction of GO ($f$) increases (at higher GO concentrations), $h$ will depend on the GO concentration, and the heat transfer coefficient $h$ will be proportional to the thermal conductivity of the mixture ($k_{\text{mix}}$), which vary within the interval: $k_w(1-f) \leq k_{\text{mix}} \leq k_w f^{1-k_{CO}}$.

In summary, we propose a facile and simple approach for the synthesis of nano-GO with controlled size based on the breakage of GO sheets in aqueous solution by ultra-sonication. The analyses of the size, morphology and surface chemistry of the GO sheets in function of ultra-sonication time, suggests that the breakage of the GO sheets starts from the defect regions present on the initial structure (oxygen functional groups and structural defects). At a certain critical size limit of approximately 60 nm, the structure starts to disrupt by the carbon bonds (double and single). The final nano-GO obtained with a mean size less than 50 nm is constituted by small domains of crystalline carbon dispersed on a matrix of amorphous carbon and adopt a preferential spherical shape after the breakage process. The final stages of GO breaking into smaller nanoparticles consist essentially in the elimination of oxygen functional groups (COOH and $C=O$) in accordance to XPS and FTIR results. These oxygen functional groups elimination from GO surface could be explained by a mechanism that we define as a confined hot spot atomic reduction of GO promoted by ultrasonication process.

The photoluminescence studies of the final nano-GO reveals a broad band (full-width-at-half maximum ~ 300 nm) in the visible spectral range (500–800 nm) with a maximum at ~680 nm and a smaller component around 440 nm. Besides, photothermal studies were performed by irradiating nano-GO water solutions in the NIR spectral regions. The influence of the nano-GO concentration on the solution temperature variation was evaluated through irradiation (980 nm; intensity of $1.0 \times 10^5 \text{Wm}^{-2}$) of four selected solutions (0.5000, 0.0500, 0.0050 and 0.0005 mg/mL). The solution temperature displays a non-linear variation depending on the nano-GO concentration. A critical value of 0.0005 mg/mL of nano-GO solution is needed to obtain a gain on energy transference from the particles to the solution. Indeed, an increase of the gain of energy transference can be achieved by increasing the laser irradiation intensity. The data collected show that it is possible to achieve high temperature rates by increasing the laser power, reaching a final $\Delta T_{GO}$ of approximately 16°C for a laser power irradiation of $2.0 \times 10^5 \text{Wm}^{-2}$.

Nano-GO has the central properties to be a unique imaging/therapy platform with unprecedented opportunities able for the developing advanced theranostic nano-agents.
Methods

Synthesis of nano-GO. GO was prepared by the chemical exfoliation of graphite (Graphite powder, <45 µm, >99.9%, Sigma-ALdrich) following the method described in our previous work42. Briefly, it consists on the reaction of graphite flakes with concentrated H2SO4 and KMnO4 in order to obtain individual sheets in an oxidized state. The resultant suspension was extensively washed with distilled water by filtration and centrifugation and finally subjected to dialysis to remove ionic contaminants. The resulting GO was dried by lyophilisation to avoid aggregation. Nano-GO preparation was based on the breakage of GO by combined mechanical aging and ultra-sonication processes. GO was re-suspended in water and subjected to different ultra-sonication/stirring times. Five sets of different time treatments were applied, giving rise to five GO suspensions, herein referred to as GO1 (0 minutes), GO2 (30 minutes), GO3 (60 minutes), GO4 (90 minutes) and GO5 (180 minutes). The final material (GO5) was centrifuged (12000 rpm/15 min.) to remove possible remaining GO bigger flakes in the solution.

Nano-GO structural characterization. The surface chemistry of the different lyophilized nano-GOs was analysed by FTIR (spectrum were recorded from KBr pellets (Aldrich, 99%, FT-IR grade) using a Mattson 7000 FT-IR spectrometer with resolution 8 and 256 interferograms) and XPS (VG Scientific ESCALAB 200 A; UK). The size of nano-GO particles was measured by dynamic light scattering analyses (DLS) using a Zeta Sizer Nano Series (Malvern), all the samples were measured at the temperature of 25°C and pH of 6.

Micro-Raman experiments were performed at room temperature using the 488 nm line of an Ar + laser with an incident power of 1 mW. The light was focused and collected with an Olympus microscope (X20 objective) and a “super-notch-plus” filter from Kaiser was used to eliminate elastic light. The scattered light was analyzed with a cooled Peltier coupled to a CCD.

The morphological analysis of nano-GO was performed using an atomic force microscope (AFM) (VEECO Multimode; USA) and a high resolution transmission electron microscope (HR-TEM) (JEOL 2200F; Japan) operated at 200 kV.

Nano-GO photoluminescence measurements. The photoluminescence spectra were recorded at room temperature with a modulator double grating excitation spectrophotofluorimeter with a TRIAX 320 emission monochromator (Fluorolog-3, Horiba Scientific) coupled to a 9829 Hamamatsu photomultiplier, using a front face acquisition mode. The excitation source was a 450 W Xe arc lamp. The emission spectra were corrected for detection and optical spectral response of the spectrophotofluorimeter and the excitation spectra were corrected for the spectral distribution of the lamp intensity using a photodiode reference detector.

Nano-GO photothermal measurements. The influence of the absorption in the NIR spectral region by the nano-GO on the solution temperature was performed using a laser emitting at 980 nm (Crylaser Lc, DL980 3W-O:TO). The laser power was quantified using a Coherent power meter (Field Maxx-TOP) coupled to a Coherent high-sensitivity silicon photodiode optical sensor (diameter of 7 mm, OP-2 VIS). The temperature was monitored using a thermocouple thermometer (Barnant 100, model 608–2820) with a resolution of 273.25 K. Schematic representation of apparatus used to measure the temperature is shown in Figure S5 (supplementary information).

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Author contributions
G.G., M.V. & P.A.A.P.M. conceived and designed the experiments. I.B. performed the AFM experiments. A.A. did the Raman analysis. N.E. performed the XPS analysis. R.F. and I.C. made the photoluminescence and photothermal studies. J.G. involved in discussion and correction of the manuscript. All authors participated equally in discussions, analysis of the results and writing of the manuscript.

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