The Rationale, Design, and Initial Efficacy of SystemCHANGE™-HIV: A Systems-Based Intervention to Improve Physical Activity in People Living with HIV

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Abstract

The purpose of this paper was to describe SystemCHANGE™-HIV, a novel self-management intervention for people living with HIV (PLWH) and provide evidence of its initial efficacy to improve physical activity. The rationale and design of the SystemCHANGE™-HIV intervention were reviewed. Intervention detail, including its historical use, learning exercises and content, were provided. Forty PLWH participated in this pilot study, using a randomized clinical trial design. Intervention participants increased their physical activity by 309 Metabolic Equivalence minutes per week, compared to the control condition. Additionally, 70% of intervention participants increased their physical activity, whereas 65% of control subjects either had no change or experienced a decrease in physical activity. SystemCHANGE™-HIV is an innovative intervention for PLWH, representing a new paradigm in health behavior change. Findings support its potential to increase physical activity in PLWH. Future work should refine and rigorously test the effect of this intervention.

Keywords: Self-Management; HIV; Intervention

Introduction

People living with HIV (PLWH) are having longer life expectancies and experiencing increased morbidity and mortality associated with chronic co-morbidities such as cardiovascular disease, cancer, pulmonary and musculoskeletal disorders [1-7]. This longevity is due to the development and widespread use of highly effective HIV anti-retroviral therapy (ART). Recent epidemiological studies of PLWH treated with ART found that 19% of all deaths in PLWH were caused by non-AIDS malignancies, 16% from AIDS, 9% from non-AIDS infections and 6.5% from myocardial infarctions [8]. Research supports the relationship between improved cardiovascular disease, diabetes, infections and even cancer outcomes with self-management behaviors, or the daily decisions and subsequent behaviors people make to manage their illnesses and promote health [9-11], with most of the evidence targeting physical activity [12,13]. Interventions to improve physical activity in PLWH may have a significant, positive impact on both HIV disease progression and the development and progression of chronic co-morbidities.

While most self-management interventions for PLWH have focused on HIV medication adherence, recent evidence suggests that physical activity can also ameliorate the burden of chronic co-morbidities and are important targets of self-management interventions [3,12,14]. Studies on non-HIV infected adults and children have targeted physical activity as a means to improve self-management [15]. Reported outcomes included improved health status and decreased depression among persons with heart failure [16,17], decrease in body weight, BMI, and abdominal circumference in morbidly obese adults [18], and decreased pain and disability among adults with knee osteoarthritis [19]. While interventions targeting an increase in physical activity in PLWH have been found to be efficacious, almost all of these interventions have relied on exercise programs supervised by a trainer or exercise physiologist in controlled settings, not at home or in the community where the PLWH lives [6,20]. An important feature of self-management interventions is that they are able to be conducted by the individual daily, in their home environment [10], leaving an important gap in the literature describing how to improve and sustain physical activity in PLWH in a manner consistent with a self-management approach.

Purpose

Recognizing this need, we assessed the feasibility of a new, systems-based self-management intervention for PLWH called SystemCHANGE™-HIV. The purpose of our paper is twofold: 1) To provide a description of the rationale and design of the SystemCHANGE™-HIV intervention including its theoretical framework, an overview of SystemCHANGE™ paradigm, the history and content of the SystemCHANGE™-HIV intervention, and give an example of how the SystemCHANGE™ paradigm was used to develop an intervention to increase physical activity for PLWH; 2) To provide evidence of its initial efficacy to improve physical activity in 40 PLWH.

Rationale and design of the SystemCHANGE™-HIV intervention

Theoretical framework: Previous physical activity interventions were developed in accordance with behavior change theories (theory of reasoned action, health belief model, theory of planned behavior, social cognitive theory) that emphasized the roles of
individual motivation, self-efficacy, and individual-level barriers in changing behavior. After decades of research, “these theories have changed little and have typically been found to explain only a small percentage of variability in physical activity behavior.”[21]

Instead, we used the Socioecological Model to develop the SystemCHANGE™-HIV intervention. Socioecological factors at the individual, interpersonal, and environmental levels of one’s ecosystem are critical when modifying self-management behaviors [22-24]. These factors include individual factors such as clinical signs and symptoms, interpersonal factors such as peer and family relationships and household exercise and dietary routines, and environmental factors such as the physical setting of one’s home, workplace, shopping centers and recreational spaces (walk ability and layout, food displays, and safety). The influence these multi-level factors exert on one’s daily routine make the Socioecological Model an excellent theoretical framework when designing a self-management intervention. The Socioecological Model is based on findings that a single cause of health behaviors is unlikely, that processes leading to these behaviors involve combinations of factors at multiple environmental levels. This multi-level approach (individual, interpersonal, and environmental) has been effective in combating smoking, preventing HIV, and encouraging physical activity [25-28]. Recently, scholars have called for greater emphasis on these socioecological factors in programs for PLWH, [29-32] a population often characterized by a chaotic personal environment [33].

There has been considerable work exploring the best ways to facilitate health behavior change in PLWH. Most of these self-management programs in adult PLWH have been based on cognitive-behavioral skill-building [34-37]. These interventions have had moderate success in decreasing symptom severity and increasing self-efficacy, relaxation exercises, and ART adherence [34,35,37]. Factors contributing to their success include self-monitoring, goal-setting, problem-solving, and frequent contact [35,37]. However, beneficial effects have been difficult to sustain [37]; programs were not used extensively in “real-life” settings and were not supported of behavior change, would be the most likely to improve and maintain self-management behavior in PLWH. After surveying existing interventions, the SystemCHANGE™ intervention paradigm was consistent with our conclusion.

Based on this work, we used the SystemCHANGE™ paradigm to develop an intervention that would assist both individual PLWH and their families/support network/households to change the daily systems (routines, events, circumstances) in their lives by focusing on the multi-level system factors, and not the cognitive-behavioral factors, that affect self-management. We developed the intervention to include strategies but that these were often neglected by the traditional medical treatment plan, which tended to emphasize biomedical interventions. Participants’ desired interventions to improve these strategies that were tailored to their specific needs and context, including many socioecological barriers [46-49].

There is variation in the economic status of PLWH in developed countries, it is largely a disease of poverty with people below the poverty line, being 2.3 times as likely to be infected with HIV compared to people in the same community who lived above the poverty line [47]. Limited resources lead to socioecological barriers which can impact health [48]. These barriers include being a mobile population with inconsistent housing, having low employment rates, having few assets which can provide resources for social improvement, managing the burden of living with a stigmatized disease, and dealing with stress arising from complex family, legal, and financial troubles. Given these barriers, we determined that an intervention emphasizing the role of the multi-level environment, consistent with the Socioecological Model, including the development of the interpersonal and physical environment that would be supportive of behavior change, would be the most likely to improve and maintain self-management behavior in PLWH. After surveying existing interventions, the SystemCHANGE™ intervention paradigm was consistent with our conclusion.

The SystemCHANGE™ program was originally designed to facilitate sustained exercise behavior in patients undergoing cardiac rehabilitation. It used structured intervention sessions to make small changes at the individual, interpersonal, and environmental levels which could be self-monitored and incorporated into daily routines. The behavior change content and the strategies to facilitate sustained behavior change were the same employed in previous studies on SystemCHANGE™ [43]. Consistent with this previous work, our intervention taught a set of strategies emphasizing systems thinking, and that change is best accomplished by conducting a series of small, self-designed experiments to test ideas to improve health by modifying their immediate environment to change daily routines. The most successful ideas, based on the outcomes of these self-designed experiments, are then implemented, and the participant monitors the
changes to assess sustainability of the health improvement strategy. Changes to the SystemCHANGE™ intervention were made to adapt it to the needs of PLWH.

These additional changes included discussions on how to maintain immune function and the impact of HIV stigma on behavior, which were not part of the original intervention program. Other logistical modifications were made including increasing the number of group sessions (from 5-10) and holding the sessions weekly instead of every other week following the assumption that regular meetings maintained for longer periods may improve outcomes. The five additional sessions added to the SystemCHANGE™-HIV intervention were based on previous work describing the context and strategies of HIV self-management, and its impact on the health of PLWH [46]. These sessions included content describing how to improve sleep hygiene, the relationship between mental wellness and HIV stigmatization, how to increase personal time, and how to use spiritual wellbeing to improve self-management. The five initial sessions of SystemCHANGE™-HIV closely followed the content of the original exercise-based SystemCHANGE™ studies. A detailed description of the intervention content is provided in table 2.

Using the SystemCHANGE™-HIV intervention to increase physical activity

We include an example of how someone can use the SystemCHANGE™ principles and intervention to achieve a specific behavior goal relating to improving physical activity. The SystemCHANGE™ strategies first encourage participants to identify a specific goal relating to a behavior they wished to change. The second step is to systematically obtain a detailed understanding of one's own current routines in order to have a clear idea of what environmental barriers exist to meeting the chosen goal. For an example, while keeping detailed diaries of their daily routines to overcome these barriers.

The third step is to design and implement short trials of possible steps for improvement. In this example, participants incorporated stretching and walking into their morning routines. The timing of the stretching intentionally coincided with another part of the participant's daily routine-taking their medication to help trigger them to complete this activity. Another participant began taking brisk walks in the morning, often to visit a nearby relative, resulting in increased activity and increased socialization. The fourth step is to self-monitor outcomes
of these trials. In the SystemCHANGE™-HIV intervention this was done by documenting exercise in personal logs provided by the researchers. The goal of the personal logs was to make participants aware of their exercise behaviors and empower individuals to make changes by being actively engaged in the self-monitoring process. The fifth step was to evaluate the success of the changes by graphically displaying and visually analyzing the data, which was accomplished through creating and displaying posters documenting the SystemCHANGE™-HIV process. The last step in the SystemCHANGE™ paradigm is to make provisions for maintaining improvements.

In our exercise example, if stretching and walking did not become part of daily routine, the participants may have wanted to use an individual-level cue such as a timer or alarm to remind them it was time to exercise. At the interpersonal level, participants could use the members of their household to maintain improvements by exercising with them (as several in our study did), to exercise at set points in the household routine (such as stretching or walking up and down stairs after dinner) or by parking further away or walking to a farther bus stop when members of the household run errands. At the environmental level, participants could use strategies such as campaigning for sidewalks in their neighborhood to encourage more walking.

Consistent with the socioecological framework, participants should employ strategies at multiple levels of the framework in order to improve and maintain their physical activity, which participants did. One example of using strategies from multiple levels of the socioecological framework was a participant who set an alarm three mornings a week (individual), to get up and drive to meet a friend (interpersonal) at a nearby park (environmental) in order to walk for about 45 minutes each morning, several days a week.

By using the above strategies and disease-specific content, participants were taught how to modify their immediate environment so that they successfully increased their physical activity, despite wavering motivation. Because the individual participants, and not the research team, determined and evaluated their own behavior change, this intervention allowed for individual tailoring, cultural adaptation, and validation. Participants were taught how to identify these behavioral events, track data about these events, and evaluate potential changes. Strategies to facilitate improvements in physical activity included flow charts of daily routines, worksheets identifying a range of the ecological causes and effects on the desired behavioral change, self-defined experiments to test change, diaries to track self-reported data about cause/effect on behavior, and individual storyboards to present one’s journey toward change (Table 2). In summary, the SystemCHANGE™-HIV intervention is theoretically-grounded in the socioecological model, consistent with the SystemCHANGE™ paradigm and historical use of the paradigm, while also being tailored to the unique needs of PLWH. We will next discuss the results of a small pilot study testing the initial efficacy of the SystemCHANGE™-HIV intervention on increasing physical activity in PLWH.

Initial efficacy of the SystemCHANGE™-HIV intervention

To assess the initial efficacy of the SystemCHANGE™-HIV intervention, a pilot study utilizing a randomized clinical trial design was conducted in February-April, 2011.

Materials and Methods

Sample and participant selection

The randomized clinical trial was approved by the Institutional Review Board at University Hospitals, Case Medical Center. We recruited participants through Infectious Disease clinics, AIDS Service Organizations, and an HIV Research Registry, all based in Northeast Ohio. Participants met the following inclusion criteria: being ≥18 years of age, speaking fluent English, and having a confirmed HIV diagnosis. We had no exclusion criteria. As a pilot study intending only to explore initial efficacy of this newly-adapted intervention, we planned to enroll approximately 40 subjects, estimating 10% attrition. Forty-three subjects were screened using a standardized telephone screening to ensure they met the inclusion criteria, enrolled in the study and attended the first appointment.

Procedures

At the first scheduled appointment, 43 participants completed the baseline assessment, were randomized to either an intervention (SystemCHANGE™-HIV intervention) or control group (where they received a copy of and were oriented to the HIV Symptom Management Strategies: A Manual for People Living with HIV/AIDS). Those randomized to the intervention group immediately commenced with the first of ten weekly group SystemCHANGE™-HIV intervention sessions. Each of the weekly sessions was led by a trained interventionist and followed the topics and content described in Table 2. All participants were instructed to return for a follow-up assessment immediately following the conclusion of the intervention (10 weeks), were sent a reminder letter, and received a phone call from a research assistant reminding them of the date and time of this next assessment. Forty of the 43 enrolled subjects returned for the follow-up assessments (93% retention). Participants were paid $50 for completion of each of their assessments. A more detailed discussion of the procedures used in testing the initial efficacy of the SystemCHANGE™-HIV intervention is reported elsewhere [45].

Measurement

Our physical activity outcome was assessed using the 7-item International Physical Activity Questionnaire-Short Form (IPAQ-SF) - a widely used and extensively validated measure of self-reported physical activity [49]. In a large, international study (n=1,974, 12 countries), the IPAQ-SF was found to have good test-retest reliability (pooled r=0.70), concurrent validity (pooled r=0.67), and criterion validity against accelerometer (pooled r=0.30) [50]. The IPAQ-SF measures duration, frequency and intensity of self-reported physical activity over the past 7 days. Data were aggregated and analyzed using the recommended truncated methodology to account for over-reporting and scored as a continuous outcome reported in Metabolic Equivalent (MET) minutes per week.

Metabolic Equivalent (MET) minutes are a standardized measure of energy expenditure due to physical activity [51]. MET is the ratio of energy expenditure during physical activity to the rate of energy expended at rest. One MET is the rate of energy expenditure while a person is at rest; whereas a 3 MET activity expends 3 times the energy used by the one at rest. For example, if a person did a 3 MET activity for 30 minutes, he or she has done 3 x 30 (or 90) MET minutes of physical activity. These MET minutes are then summed over a 7-day period to obtain the value of MET minutes per week. The U.S. Department of Health and Human Services recommends that people get 500 to 1,000 MET-minutes of activity per week for a substantial mortality benefit but this response is dose-dependent, meaning the more MET minutes achieved, the greater the benefit [51]. Given that MET-minutes are the recommended standard reporting unit of energy expenditure, and that
the IPAQ-SF has a validated scoring method transforming the results into MET-minutes per week, we reported our change in physical activity outcome in MET-minutes per week.

Demographic and clinical covariates were assessed using a brief 26-item demographic survey. It included questions on age, gender, race, ethnicity, education, employment status, income level, and health insurance. Participants also consented to allow the research team to abstract the data from their medical chart to obtain information on comorbid health conditions and medication history.

Descriptive statistics were used to summarize participant's demographic and clinical characteristics. All analyses maintained subjects in the original treatment groups (intent to treat). The treatment effect on physical activity was estimated using ANCOVA models looking at the difference between baseline and end-of-study responses. Our analyses were adjusted for age, sex, and years since HIV diagnosis. These covariates were chosen a priori as they have been shown to affect physical activity in PLWH [6].

Results

Forty adults living with HIV completed both the baseline and follow-up physical activity assessment using the IPAQ-SF. The pilot study was not powered for statistical significance; however, lessons learned from this study will inform larger studies which can statistically validate the findings. The demographic characteristics of our sample are reported in table 3. In determining the initial efficacy of the SystemCHANGE™-HIV intervention on physical activity, we found that those in the intervention group increased their physical activity by 300 Metabolic Equivalent (MET) minutes per week, compared to those in the control group (p=0.743). After adjusting for baseline physical activity level, age, sex, and years since HIV diagnosis, those in the intervention had an average of 380 MET minutes per week increase in physical activity, compared to those in the control group (p=0.687). This is equivalent to approximately a 2 hour increase in walking per week. Also, in reviewing individual difference scores, we found that 70% (n=14) of participants in the intervention group increased their weekly physical activity, whereas 65% (n=13) of participants in the control group either had no change or experienced a decrease in physical activity. We were also interested in estimating the differential effects of physical activity by baseline physical activity level in order to help determine which population of PLWH might benefit the most from the SystemCHANGE™-HIV intervention and found that those with a baseline physical activity of less than 2,000 MET minutes per week had the greatest benefit (an increase of 1180 MET Minutes per week; p=0.260). Complete results, including all subgroup analyses, are in table 4, Supplemental table 1, and figure 1.

Discussion

SystemCHANGE™ is a novel evidence-based intervention paradigm which teaches participants to self-identify, self-monitor, and meet their own health goals. These goals are then met by making small changes to one’s environment so that ultimately, this goal is integrated into the daily routines of the individual. The unique focus of this paradigm is on the multi-level environment. An intervention approach, focusing on the impact that environment has on the spectrum of health decisions and behaviors, is a new approach to behavior change in PLWH, where most interventions have been theoretically grounded in the social cognitive

Table 3: Demographic and Clinical Characteristics of Participants

|           | Control Group (n=22) | Intervention Group (n=21) |
|-----------|----------------------|---------------------------|
| Mean Age (+/-SD), years | Frequency (%) | Frequency (%) |
| Male      | 47.8 (6.4) | 49.1 (7.4) |
| Female    | 9 (40.9) | 9 (42.9) |
| African American | 18 (90.0) | 18 (85.7) |
| White/Angelo | 2 (10.0) | 2 (9.5) |
| Other     | 0 | 1 (4.8) |
| Marital Status | Single | 17 (77.3) | 17 (81.0) |
|           | Divorced | 4 (18.2) | 2 (9.5) |
|           | Other     | 1 (4.5) | 2 (9.5) |
| Education Level | 11th grade or less | 7 (31.8) | 6 (28.6) |
|           | High School or higher | 15 (68.2) | 15 (71.4) |
| Annual Income | No monthly income | 5 (22.7) | 3 (14.3) |
|           | $1-$599 | 6 (27.3) | 4 (19.1) |
|           | $600-$999 | 9 (40.9) | 13 (61.9) |
|           | $1000 or more | 2 (9.1) | 1 (4.8) |
| Currently Works for Pay | Currentley Working | 3 (13.6) | 1 (4.8) |
| Has Permanent Housing | 17 (77.3) | 21 (100) |
| Has Health Insurance | 17 (77.3) | 20 (95.2) |
| Type of Health Insurance | Medicaid | 9 (40.9) | 11 (52.4) |
|           | Medicare | 2 (9.1) | 3 (14.3) |
|           | Private, not by work | 3 (13.6) | 2 (9.5) |
|           | Other     | 1 (4.5) | 1 (4.8) |
| Mean Years Since HIV Diagnosis (+/- SD) | 13 (7.2) | 14 (5.8) |
| Currently Taking HIV Medication | 21 (95.5) | 20 (95.3) |
| Have Comorbid Health Conditions | 18 (81.8) | 20 (95.2) |

Table 4: Mean change in outcome variables by treatment assignment (n=40)

| Endpoint                                  | Control Group | Intervention Group | Adjusted for baseline score and group assignment | Further adjusted for demographic variables |
|-------------------------------------------|---------------|--------------------|-----------------------------------------------|------------------------------------------|
| Physical Activity (MET Minutes/Week)      | n             | Mean ∆ (+/- SD) 4  | n Mean ∆ (+/- SD) Intervention – Control p-value | Intervention – Control p-value             |
| Physical Activity (baseline <10,000 MET Minutes/Week) | 20            | 760 (2820)         | 20 1140 (3470) 300 (-1560, 2160) 0.743 | 380 (-1520, 2280) 0.687 |
| Physical Activity (baseline <5,000 MET Minutes/Week) | 19            | 300 (2890)         | 20 1140 (3470) 510 (-1370, 2380) 0.587 | 450 (-1490, 2380) 0.640 |
| Physical Activity (baseline <2,000 MET Minutes/Week) | 17            | 1370 (2350)        | 17 1820 (3040) 450 (-1490, 2380) 0.640 | |
| Physical Activity (baseline <250 MET Minutes/Week) | 13            | 930 (1790)         | 14 2090 (3140) 1180 (-930, 3280) 0.260 | 8 |
| Physical Activity (baseline <5,000 MET Minutes/Week) | 9             | 1120 (1880)        | 8 1400 (1710) 230 (-1690, 2160) 0.799 | 230 (-1690, 2160) 0.799 |

4 Adjusted for age, sex, and yrs since HIV diagnosis.
who are the least physically active at baseline may require a larger dose of the intervention, perhaps in the form of increased group sessions or additional consultation with a behavior change specialist. However, this trend towards an increase in physical activity in the intervention group, compared to the control group, does suggest initial efficacy of the SystemCHANGE™-HIV intervention to improve physical activity.

This pilot study has limitations which must be considered. First, out outcomes were assessed via self-report of physical activity. To address this limitation we used a common, well-validated measure of physical activity, the IPAQ-SF. Additionally, we adopted the recommended truncated scoring procedure to address potential over-reporting of physical activity. However, our results could be more precisely assessed by using another, more objective measure of physical activity, preferably one that does not rely on subject recall. Potential assessment methods to be considered in future studies include accelerometry, heart rate monitoring, and ecological momentary assessments. Additionally, participants were, on average, middle-aged, often experiencing comorbid health conditions, and were experienced living with their HIV disease. While these demographics reflect a growing population of those living with HIV in the United States [3] and worldwide [8], it is important to recognize that these intervention effects may not be applicable to young people or those who are more recently diagnosed with HIV. Additional research specifically examining ways to increase physical activity in these populations is warranted. This research should consider the impact of social networks and support which exert great influence on the behavior of youth.

In conclusion, these results, with high variability in a small sample, indicate the need to further refine and rigorously test this intervention. If shown to be efficacious, this intervention could improve physical activity and other important self-management outcomes in an efficient and comprehensive manner in PLWH. Further research in the field of exercise and HIV could lead to a significant impact for the 1.2 million PLWH in the United States, and perhaps, the 32 million PLWH around the globe. With the widespread use of antiretroviral therapies HIV is quickly becoming a chronic disease in developed countries; understanding how to improve this chronic disease through exercise may help us understand ways to make crucial changes in lifestyle among other populations burdened with non-communicable disease. These changes, in turn, can make the difference between a healthy and productive life and one continually punctuated by episodes of illness. Globally, as PLWH begin to manage HIV over many decades and experience more chronic diseases, it is crucial to explore any paradigm which may help modify behavior to improve their overall health, not just slow HIV disease progression.

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