PASTIS: Bayesian extrasolar planet validation – II. Constraining exoplanet blend scenarios using spectroscopic diagnoses

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ABSTRACT
The statistical validation of transiting exoplanets proved to be an efficient technique to secure the nature of small exoplanet signals which cannot be established by purely spectroscopic means. However, the spectroscopic diagnoses are providing us with useful constraints on the presence of blended stellar contaminants. In this paper, we present how a contaminating star affects the measurements of the various spectroscopic diagnoses as a function of the parameters of the target and contaminating stars using the model implemented into the PASTIS planet-validation software. We find particular cases for which a blend might produce a large radial velocity signal but no bisector variation. It might also produce a bisector variation anticorrelated with the radial velocity one, as in the case of stellar spots. In those cases, the full width at half-maximum variation provides complementary constraints. These results can be used to constrain blend scenarios for transiting planet candidates or radial velocity planets. We review all the spectroscopic diagnoses reported in the literature so far, especially the ones to monitor the line asymmetry. We estimate their uncertainty and compare their sensitivity to blends. Based on that, we recommend the use of BiGauss which is the most sensitive diagnosis to monitor line-profile asymmetry. In this paper, we also investigate the sensitivity of the radial velocities to constrain blend scenarios and develop a formalism to estimate the level of dilution of a blended signal. Finally, we apply our blend model to re-analyse the spectroscopic diagnoses of HD 16702, an unresolved face-on binary which exhibits bisector variations.

Key words: methods: data analysis – techniques: radial velocities – techniques: spectroscopic – binaries: spectroscopic – planetary systems.

1 INTRODUCTION

One of the main objectives of detecting new extrasolar planets is to constrain theories of planet formation, migration and evolution (e.g. Mordasini et al. 2009). Exoplanet discoveries are therefore used to build up our general knowledge about planetary systems. It is therefore crucial that these exoplanets are indeed bona fide planets. However, periodic signals with a relatively low amplitude compatible with a planetary nature are not necessarily planets (Cameron 2012).

Indeed, many astrophysical non-planetary scenarios (the so-called false positives) can mimic the signal of a planet. All techniques are affected: the radial velocity (RV) planetary signals might be mimicked by stellar activity (e.g. Queloz et al. 2001) or nearly face-on binaries (Díaz et al. 2012; Wright et al. 2013); the photometric transit signals of a planet can be mimicked by various configurations of eclipsing binaries (e.g. Morton & Johnson 2011; Santerne et al. 2013a); false-positive scenarios might also produce misinterpreted direct imaging and microlensing exoplanet detections (Zurlo et al. 2013; Hwang et al. 2013, respectively). In some cases, the source of the signal is a planet, but the amplitude of the signal is diluted by the presence of a brighter star in the system (e.g. Santos et al. 2002).

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Therefore, the derived properties of the planets (without accounting for the contaminating light) might be significantly different, hence limiting the accuracy of statistical analysis based on planet detections (e.g. Howard et al. 2012; Fressin et al. 2013; Petigura, Howard & Marcy 2013).

It is therefore fundamental to secure the planetary nature of a detection. This is especially important for transiting exoplanets, for which a substantial fraction of transit-like events are not of planetary origin (e.g. Almenara et al. 2009; Moutou et al. 2009; Santerne et al. 2012b; Coughlin et al. 2014). One way to establish the planetary nature of a transiting candidate is through high-resolution spectroscopy and RV measurements (Konacki et al. 2003). Spectroscopic observations can efficiently screen out eclipsing binaries as well as triple systems (Santerne et al. 2012b). Then, to establish the planetary nature of a candidate, it is commonly considered that a transit candidate has to satisfy all the following requirements: (1) an RV variation is significantly detected in phase with the transit ephemeris; (2) the inferred mass is compatible with that of a planet; (3) no significant correlation is found between this RV variation and the asymmetry of the line profile (the bisector); (4) the signal is stable in time and amplitude (Santos et al. 2014).

This technique works relatively well for systems where the reflex motion of the host can be observed with current instrumentation. However, for the thousands of potential small planets that the Kepler space telescope detected (e.g. Batalha et al. 2013), the reflex motion is too small to be observed (Santerne et al. 2013b), therefore limiting the establishment of their planetary nature. Other non-spectroscopic methods exist to measure the mass of a transiting planet, such as the relativistic Doppler-boosting effect (Mazeh & Faigler 2010) and through dynamical interaction with other planets in the system (Holman et al. 2010). However, these techniques are limited to very short orbital period planets or multiple-planet systems (Shporer et al. 2011; Boué et al. 2012, respectively).

An alternative solution has been proposed by Torres et al. (2011), called the planet validation. This technique consists in evaluating the probability of each scenario to produce the observed data. If the planet scenario turns out to be significantly the most likely scenario, then the planet is considered as validated statistically. However, as demonstrated by Díaz et al. (2014), if the transit signal has a signal-to-noise ratio (SNR) below 150, it is not possible to validate the nature of a transiting exoplanet based only on the photometric data. In such configuration, the validation relies on the accuracy of the a priori information. This is clearly a limitation for the exploration of planets like the Earth since the statistics in this population is extremely low. To overcome this limitation, the solution is to increase the statistical weight of the data compared with the priors in the validation procedure, by modelling data from different techniques providing different constraints on the various scenarios.

The objective of this paper is to show how spectroscopic diagnoses can be used to constrain false-positive scenarios in the context of the validation of planets performed with the PASTIS software 1 (Díaz et al. 2014). For that, we explore the effects of a blended star on the measurement of the RV, the full width at half-maximum (FWHM) and the line-asymmetry diagnoses already defined in the literature (e.g. the bisector inverse slope, BIS). These diagnoses are commonly used to disentangle planetary signals from stellar activity in RV data (see e.g. Dumusque et al. 2012, and reference therein). These diagnoses have already been used by, e.g., Santos et al. (2002), Torres et al. (2004, 2005), Díaz et al. (2012), Wright et al. (2013), and recently by Santerne et al. (2014) to analyse blended RV of double-line binary systems mimicking planets or brown dwarfs.

Note that the impact of stellar contamination on precise RV has already been studied by Cunha et al. (2013) in the context of the preparation of the ESPRESSO spectrograph. Previously, the effect of a contaminating star has also been studied by Torres et al. (2005) to constrain the false-positive scenario of OGLE-TR-56 which mimic both a transit and RV signals of a planet. In this paper, we extend the work done by these authors, including all the spectroscopic diagnoses. We also investigate how these diagnoses change as a function of the parameters of the target and contaminating star. Finally, we propose a formalism to estimate the amplitude of an RV signal as a function of the parameters of the blended components.

We first explore in Section 2 the impact of a blended contaminant on precise RV and the line-profile diagnoses. Then, we present in Section 3 the sensitivity of RV data to constrain blend scenarios. In Section 4, we test our PASTIS RV-blend model by re-analysing an unresolved nearly face-on binary, HD 16702. We report some caveats in Section 5. Finally, we draw our conclusions in Section 6 and discuss how these RV constraints complement the photometric ones in the context of planet validation.

Several appendices are available at the end of this paper. In Appendix A, we review how to compute the various spectroscopic diagnoses used in this paper. In Appendix B, we describe our method to simulate the line profile of a blended stellar system. In Appendix C, we present the computation time as well as the numerical precision and accuracy to measure each line-profile diagnosis. Finally, in Appendix D, we provide the parameters used to analyse the system HD 16702 with PASTIS, together with their prior and posterior distributions.

2 EFFECT OF A CONTAMINATING STAR ON THE SPECTROSCOPIC DIAGNOSES

2.1 Presentation of the spectroscopic diagnoses

At the resolution of most spectrographs used to measure precise RVs of stars (40 000–120 000), an unblended and unsaturated weak line profile of a slow-rotating star could be approximated by a Gaussian function. Averaging thousand of such lines using the cross-correlation function is characterized by a given location in the RV space, a constraint and accuracy to measure each line-profile diagnosis. This function is characterized by a given location in the RV space, a constraint and an FWHM. An asymmetry in the observed line profile can be produced by, e.g., the convective blue shift, pulsations, stellar spots (Dumusque, Boisse & Santos 2014) and contaminating stars. To monitor the line asymmetry, several diagnoses have been defined in the literature. They are detailed in Appendix A of this paper and illustrated in Fig. A1. For clarity, we list them here.

(i) BIS (Queloz et al. 2001): it consists in computing the velocity span between the average of the top portion of the bisector and the bottom portion of the bisector, within some defined limits.

(ii) $V_{\text{asym}}$ (Boisse et al. 2011b): it consists in fitting a Gaussian profile to the top and bottom parts of the line profile. The asymmetry definition is defined as the difference between the two velocities.

(iii) BiGauss (Nardetto et al. 2006): it consists in fitting an asymmetric Gaussian profile to the line profile (see equation A7). The

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1 Planet Analysis and Small Transit Investigation Software.
asymmetry diagnosis is defined as the difference between the RV found with the symmetric and asymmetric Gaussian profiles.

(iv) $V_{\text{asy}}$ (Figueira et al. 2013): it consists in computing the difference of spectral information (as defined by Bouchy, Pepe & Queloz 2001) contained in the blue and red wings of the line profile.

(v) $W_{\text{span}}$ (this work, see Appendix A): it consists in fitting a Gaussian profile to the red and blue wings of the line profile. The asymmetry diagnosis is defined as the difference between the two velocities.

These diagnoses can be measured directly on each individual stellar line available in the spectrum, as long as their profiles have been correctly reproduced by the spectrograph and are not perturbed by an external source like other unresolved lines, or the spectrum of a calibration lamp, an iodine cell, the Earth atmosphere or the Sun light reflected by the Moon. To improve their precision, those diagnoses can be measured on the averaged line profile which is obtained by computing the weighted CCF (Baranne et al. 1996; Pepe et al. 2002). Note that this technique conserves the line-profile shape only if the observed spectrum is correlated with a binary, box-shaped mask (Eggenberger & Udry 2010). The correlation with a non-box-shaped mask such as a master-spectrum template (Anglada-Escude & Butler 2012) or a synthetic model might smooth out the diagnosis variation, if any, and thus decrease the efficiency of the method presented here.

2.2 Blend simulation

To test the effect of a contaminating star, we simulated, as described in Appendix B, the line profile of a target star with an RV of 0 km s$^{-1}$, an FWHM of 10 km s$^{-1}$ and a contrast of 30 per cent. Those values are typical for a main-sequence star with solar metallicity and a slow rotation observed at high resolution ($R \gtrsim 40\,000$). We then blended this main line profile with a contaminating one with an FWHM of 70, 100 and 130 per cent of the target FWHM, an RV shift, $\phi$, from the target star ranging from $\phi = 0$ to 35 km s$^{-1}$ and a contrast of 30 per cent. We fixed a flux ratio between the target and the blend lines of 1–100. These values have been chosen to illustrate the effect of a blend on precise spectroscopic diagnosis. Changing their value will not change the general behaviour presented here, it will just rescale all the values. For each pair of RV shift and FWHM of the blended star, we measured the RV, contrast, FWHM as well as the five line-asymmetry diagnoses presented in Section 2.1 and in Appendix A. The results are displayed in Fig. 1. The dashed, solid and dot–dashed lines represent the measured diagnoses as a function of the RV shift between the two stars for an FWHM of the blend of 7, 10 and 13 km s$^{-1}$, respectively.

We also check the correlation between the measured diagnoses and the measured RV. The correlation plots are displayed in Fig. 1. We discuss the results of these simulations in the Sections 2.3–2.6.

2.3 Effect on the RV

The effect of a contaminating star on the measurement of the RV is displayed in Fig. 1. Such perturbation is qualitatively similar to the Rossiter–McLaughlin anomaly, where a faint fraction of the line profile is ‘subtracted’ by the eclipsing object. This Rossiter–McLaughlin anomaly has been described analytically, e.g., in Boué et al. (2013, equation 15), assuming Gaussian profiles and a small eclipsing object (i.e. a planet) compared with the stellar radius. On the opposite, here, the faint line of a contaminant is added to the target one. If we assume that both the target and the contaminant have Gaussian profiles and a flux ratio small enough (i.e. the contaminant is much fainter than the target), we can approximate...
the RV-blend anomaly behaviour as the opposite of the Rossiter–McLaughlin anomaly. Thus, following Boué et al. (2013), we can describe the radial velocity-blend anomaly ($\xi_{RV}$) by the equation

$$\xi_{RV}(\phi) = \left(\frac{2\sigma^2}{\sigma_1^2 + \sigma_2^2}\right)^{3/2} \phi f \exp\left\{-\frac{\phi^2}{2(\sigma_1^2 + \sigma_2^2)}\right\}.$$  

(1)

where $\sigma_1$ and $\sigma_2$ are the Gaussian widths of the two line profiles, which depend on $\omega \sin i$, and the instrumental resolution, $\phi$ is the radial velocity shift between them and $f$ is the flux ratio.

Using equation (1), we can determine the RV shift that maximizes the perturbation ($\phi_{max}$) by computing the zero of its first derivative:

$$\frac{\partial \xi_{RV}(\phi)}{\partial \phi} = 0 \implies \phi_{max} = \pm \sqrt{\sigma_1^2 + \sigma_2^2}.$$  

(2)

There, a contaminating star affects the measurement of the RV in two different ways, depending on whether $|\phi|$ is lower or greater than $|\phi_{max}|$. If $|\phi| < |\phi_{max}|$, the observed RV will be correlated with the RV variation of the blending star. On the opposite, if $|\phi| > |\phi_{max}|$, the observed RV will be anti-correlated with the RV variation of the contaminating star. Note that by definition of the Rayleigh criterion, if $|\phi| > |\phi_{max}|$, the two line profiles are spectroscopically resolved and if $|\phi| < |\phi_{max}|$, they are spectroscopically unresolved.

Now, if we compute the maximum amplitude of $\xi_{RV}$ when $\phi = |\phi_{max}|$ in equation (1), we find that

$$\xi_{RV}(\phi_{max}) = 2\sqrt{2} \frac{\sigma_1}{\sigma_1^2 + \sigma_2^2} f \exp\left\{-\frac{1}{2}\right\}.$$  

(3)

This equation shows that the larger $\sigma_1$ is, due to stellar rotation or to lack of instrumental resolution, the larger is the RV-blend anomaly signal.

The RV-blend anomaly displayed in Fig. 1 is similar to the one found by Cunha et al. (2013), except that we do not found the secondary anomaly reported by these authors at large RV separation, which is caused when the contaminant leaves the cross-correlation domain. For larger separation, the lines are resolved and this technique does not provide constraints on planet validation.

### 2.4 Effect on the FWHM

The FWHM is affected by the presence of a contaminant. In the cases that we simulated here, the variation in FWHM is about two times larger than the one in RV (see Fig. 1). As for the RV, the FWHM varies in case of blended star in two different regimes: the FWHM is correlated with $\phi$ for small RV separation and anti-correlated for large RV separation. The maximum of the FWHM-blend anomaly occurs at a different value of $\phi$. If the two stars have similar RVs ($\phi \approx 0$), a small RV variation of the contaminant will not produce a large variation of the FWHM value. The variation is even fainter if the contaminating star is rotating fast.

### 2.5 Effect on the line contrast

The contrast of the line profile is also affected by the contaminating stars. Compared with the other diagnoses, the contrast presents only small variations. Moreover, the contrast can be perturbed by several instrumental systematics such as residuals of the CCD dark current correction, non-linearity of the CCD, sky luminosity variation caused by the Moon, twilight, or city lights or even sky transparency related to the humidity and the presence of clouds. Therefore, the contrast of the line profile is not a good diagnosis to constrain blended components. The contrast might still be used to highlight unseen stars in a spectrum (e.g. O-type stars) which would not present many stellar lines apart from the Balmer lines, but would dilute substantially the spectrum of the contaminant. In this case, a narrow line profile with a low contrast could be observed, and the low-contrast anomaly might be used to reveal the presence of a massive or very fast rotating star. However, in extremis, this could also be mimicked by a metal-poor star. For these reasons, we will not mention it anymore in the rest of the paper.

### 2.6 Effect on the line asymmetry

It is well known that a contaminating star affects the asymmetry of the line of the target star if their RV separation is small enough. All the asymmetry diagnoses present a similar anomaly curve, with different amplitude, except for $V_{asy}$ which presents an anomaly curve with an opposite sign (note the reversed Y-axis in Fig. 1 for the $V_{asy}$ diagnosis). This opposite shape of the $V_{asy}$ anomaly curve is due to its different definition compared with other diagnoses (see Appendix A for more details about their definition). Compared to the other diagnoses, we observe three different regimes of the asymmetry-anomaly curves presented in Fig. 1, depending on the FWHM of the contaminating star ($\text{FWHM}_2$), compared with the target star ($\text{FWHM}_1$).

1. (i) $\text{FWHM}_1 < \text{FWHM}_2$: if the contaminating star is rotating faster than the target star (see the dot–dashed line in Fig. 1), the anomaly curves of the BIS, $V_{span}$, $W_{span}$, BiGauss and $V_{asy}$ present a shape that is similar to the RV-anomaly curve. The main difference is that the maximum anomaly is reached for an RV separation of about twice $\phi_{max}$ (see Fig. 1). Such separation difference in the maximum of the anomalies is the cause of the ‘figure eight loop’ seen in the correlation between the line asymmetry and the RV (see Fig. 1). This shape of the BIS–RV correlation plot has already been observed by Boisse et al. (2011b) for stellar activity, but with an opposite sign (see for example their fig. 6).

2. (ii) $\text{FWHM}_1 \approx \text{FWHM}_2$: if the two stars have similar FWHMs (see the solid line in Fig. 1), the asymmetry diagnoses are not very sensitive to a contaminant with a small RV separation. This configuration could be quite common when the FWHMs of both stars are dominated by the instrumental resolution and not by stellar rotation. A contaminating star with the same FWHM as the target star and with an RV variation up to a few km s$^{-1}$ around the target systemic RV will only slightly affect the BIS, $V_{span}$, $W_{span}$, BiGauss and $V_{asy}$. In this blind zone, the observed RV and asymmetry diagnoses might not produce a significant correlation (see also Section 2.9).

3. (iii) $\text{FWHM}_1 > \text{FWHM}_2$: if the target star is rotating faster than the contaminating star (see the dashed line in Fig. 1), all asymmetry diagnoses present three regimes: for small values of $\phi$, the measured asymmetry is anti-correlated with $\phi$ and thus, with the observed RV. Then, for intermediate values of $\phi$, the asymmetry diagnoses are correlated with the observed RV. Finally, as in case (i), when the two stars have a large RV separation, the asymmetry diagnosis is anti-correlated with $\phi$ but correlated with the observed RV.

### 2.7 Photon noise uncertainty

The various asymmetry diagnoses presented in the previous section are not of equal sensitivity to blend scenarios. The diagnosis that presents a maximum of sensitivity to a contaminant is $W_{span}$, then BiGauss and BIS, and finally $V_{span}$. This has to be compared with the sensitivity to noise in the line profile. For that, we estimated...
the photon noise uncertainty in the various diagnoses. We took a solar spectrum observed on the blue sky with an SNR greater than 150 by the HARPS (Mayor et al. 2003) and SOPHIE (Perruchot et al. 2008; Bouchy et al. 2009b) spectrographs. For SOPHIE, we used two different spectra, one for each instrumental configuration: the high-resolution (HR, $R \sim 75,000$) and high-efficiency (HE, $R \sim 39,000$) modes. For comparison, HARPS has a resolution of $R \sim 110,000$. We add white noise to these spectra at the level of 10, 5, and 1 per cent of the flux in each pixel, that we generated 100 times. We ended with 400 spectra for each instrument. We cross-correlated all these spectra using a G2V numerical mask and measured all the diagnoses. We then computed the standard deviation of all the diagnoses for each noise level. They all exhibit a clear correlation with the RV photon noise. We fitted this correlation with a 1D polynomial and report in Table 1 the value of the slope, for each diagnosis and each instrument. Therefore, these values should be used to scale the diagnosis uncertainty, given the RV photon noise such as

$$\sigma_k = \varepsilon_k \sigma_{RV},$$ (4)

with $\sigma_k$ is the photon noise uncertainty of the diagnosis $k$, $\varepsilon_k$ the scaling coefficient listed in Table 1 and $\sigma_{RV}$ the radial velocity uncertainty. Note however that these values only represent the photon noise uncertainty. These diagnoses are also sensitive to instrumental effects (e.g. Boisse et al. 2010; Boisse et al. 2011a; Díaz et al. 2012; Santerne et al. 2014) which might produce important additional red noise. Note that the typical photon noise reached by SOPHIE and HARPS can be measured on stars in the magnitude range 12–16 is presented in Santerne et al. (2011) and compared with the expected RV amplitude of the Kepler candidates in Santerne et al. (2013b).

We find that the photon noise uncertainty of the FWHM is about a factor of 2 larger than the RV, as for BIS and BiGauss. This agrees with the values usually assumed (e.g. Queloz et al. 2009). As claimed by Boisse et al. (2011b), $V_{\text{span}}$ is less noisy than BIS by a factor of about 25 per cent. However, the new line-asymmetry diagnosis we present in this paper is less robust to noise than BIS, and its photon noise uncertainty is larger by a factor of about 3. The dimensionless diagnosis $V_{\text{asy}}$ presents a high-value uncertainty, which is difficult to interpret. By comparing the amplitude of the $V_{\text{asy}}$-blend effect in Fig. 1 with the one of the RV, we realized that it exhibits a variation $\Delta V_{\text{asy}}/\Delta RV \approx 3 \times 10^7$ km s$^{-1}$ larger than the one in RV. However, its photon noise uncertainty scaling coefficient, $\varepsilon_{V_{\text{asy}}}$, is at the level of a few $10^{10}$ km s$^{-1}$. Therefore, the diagnosis $V_{\text{asy}}$ seems to be more sensitive to noise than to line-profile asymmetry. Based on that, we do not recommend using $V_{\text{asy}}$ to monitor line-profile asymmetries. This is apparently not similar for the contrast, which exhibits in the simulation of Section 2.2 an RV-normalized variation at the level of $\Delta$ contrast/$\Delta$ RV = 34 per cent km s$^{-1}$ while its photon noise scaling coefficient is at the level of $\varepsilon_{\text{contrast}} = 10$ per cent km s$^{-1}$. However, the contrast is affected by numerous systematic effects discussed in Section 2.5, which make this diagnosis not reliable for the purpose of this paper.

| Spectrograph | FWHM | BiGauss | BIS | $V_{\text{span}}$ | $W_{\text{span}}$ | $V_{\text{asy}}$ | Contrast |
|-------------|-----|--------|-----|-----------------|-----------------|---------------|----------|
| HARPS       | 2.0 | 2.1    | 2.0 | 1.5             | 5.8             | $5.6 \times 10^{10}$ | 11.3     |
| SOPHIE HR   | 2.5 | 2.3    | 2.3 | 1.6             | 6.8             | $3.6 \times 10^{10}$ | 10.2     |
| SOPHIE HE   | 2.5 | 2.7    | 2.6 | 1.8             | 8.4             | $2.5 \times 10^{10}$ | 7.0      |

Figure 2. Comparison of the blend curves for all diagnoses but $V_{\text{asy}}$ presented in this paper. The simulation is the one described in Section 2.2, for a contaminating star with an FWHM of 7 km s$^{-1}$. The amplitude of the anomaly curves has been divided by the photon noise coefficients for HARPS from Table 1.

2.8 Comparison of the diagnosis sensitivity

We report five different line-asymmetry diagnoses that present different sensitivity to blend and to the photon noise. To compare them, we normalized the anomaly curves produced in Section 2.2 with the photon noise coefficients of Table 1. We find that the most sensitive diagnoses to line-profile asymmetry are BiGauss and $W_{\text{span}}$ (see Fig. 2). They are followed by BIS and $V_{\text{span}}$. Being a dimensionless diagnosis, $V_{\text{asy}}$ is difficult to compare with the others ones. However, as discussed in the previous section, $V_{\text{asy}}$ is more sensitive to noise than line-profile asymmetry. Thus, we do not consider it further in the end of the paper. Compared to $W_{\text{span}}$ and $V_{\text{span}}$, BiGauss does not need a high oversampling of the line profile for their fitting procedure to be stable (see Appendix C). BiGauss is also the fastest one to compute at a given fit precision. Even if we did not compare those diagnoses in the case of stellar activity, we expect the same results concerning their sensitivity to line-profile asymmetry. For all these reasons, we recommend using BiGauss to monitor line-profile asymmetry.

We also see in Fig. 2 that the RV, FWHM and asymmetry diagnoses exhibit different blend anomaly curves, except when the two stars are completely separated spectroscopically. The FWHM and the line-profile asymmetry diagnoses do not have a zero slope for the same value of $\phi$. Therefore, by combining both the FWHM and the line-profile asymmetry diagnoses, one should be able to identify unambiguously a blended contaminant, if enough precision on those diagnoses is reached.

2.9 Illustration with a planet orbiting a companion star

To illustrate the effect of a contaminating star, we arbitrarily simulated a binary system composed of a primary star of 1 $M_\odot$ and a secondary star of 0.6 $M_\odot$ in a long-period orbit. In the $V$ band, the flux ratio between the two stars is of about 1:30 for main-sequence stars with solar metallicity. We assumed a systemic RV shift between the two stars of $\phi_0 = 1$ km s$^{-1}$. We then assumed that the
at half of the initial period. In the present simulation, this residual signal has an amplitude of about 0.1 per cent of the one of the blended signal (hence 0.15 cm s$^\text{−1}$). This P/2 residual signal should be maximum for $\phi_0 = 0$ and $K_2 = \phi_{\text{max}}$ [equation (2) – here $K_2 \approx 6 \text{ km s}^{-1}$ which would correspond to a 5 $M_{\text{Jup}}$ brown dwarf at 5 d]. In this particular case, the blended RV signal exhibits an amplitude of about 100 m s$^\text{−1}$ and the residual signal has a relative amplitude of 6 per cent (hence 6 m s$^\text{−1}$, for $\text{FWHM}_1 = 10 \text{ km s}^{-1}$). If $K_2 > \phi_{\text{max}}$ or if the reflex motion of the secondary star does not always satisfy $|\phi| < \phi_{\text{max}}$ along the orbit, then the blended signal is clearly not a Keplerian, as already illustrated in Torres et al. (2005). Note that, as found by Wright et al. (2013), this residual signal could also be at the second harmonic, hence at P/3.

We also display in Fig. 3 (bottom panels) the correlations between the relative RV and the relative FWHM, BIS, $V_{\text{span}}$, $W_{\text{span}}$, BiGauss and $V_{\text{asy}}$. We do not display here the contrast since it presents only a variation at the level of $\sim 0.3$ per cent, which is undetectable with current instrumentation. The blended signal revealed a positive-slope correlation between RV and BIS, $V_{\text{span}}$, $W_{\text{span}}$ and BiGauss for $\text{FWHM}_1 < \text{FWHM}_2$ and a negative-slope correlation otherwise. If the two stars present a similar FWHM, then the correlation between the aforementioned line-asymmetry diagnoses and the RV is very weak, which might be indistinguishable from noise. Only the FWHM might present a clear correlation with the RV in this case. This shows that it is possible to have a false-positive scenario which produces the RV variation compatible with a planet but no correlation between the RV and the line-profile asymmetry diagnoses. It is also possible that a false-positive scenario produces a negative-slope correlation between these two quantities, which might be misinterpreted as stellar activity. In both cases, the FWHM correlates with the RV. Thus, the FWHM is a good diagnosis that should be used in complement to other line-asymmetry diagnoses to constrain blend scenarios and infer the planetary nature of a signal.

### 3 SENSITIVITY OF RVs TO BLENDS

#### 3.1 Dilution of the RV signal

The presence of a blended contaminant dilutes any RV variation (Buchhave et al. 2011; Cunha et al. 2013). As example, we simulated in the previous section a 50 m s$^{-1}$ signal which was seen, after dilution by another star, as being less than 2 m s$^{-1}$. To quantify this dilution in RV, we evaluated the ratio between the amplitude of the blended RV variation (i.e. the one that would be observed) and the real one. In the approximation of low flux ratio and Gaussian profiles, we can use equation (1) to estimate the blended RV variation, $\Delta \xi_{\text{RV}}$, given the RV variation of the contaminating star, $\Delta \phi$ (i.e. a variation of RV offset). In other words, $\Delta \xi_{\text{RV}}$ is the radial velocity variation that would be observed while $\Delta \phi$ is the true one. We can therefore describe the radial velocity dilution factor $\Delta \xi_{\text{RV}} / \Delta \phi$ as

\[
\frac{\Delta \xi_{\text{RV}}}{\Delta \phi} \approx \frac{\partial \xi_{\text{RV}}}{\partial \phi} \quad \text{for } \Delta \phi \to 0
\]  

\[\frac{\partial \xi_{\text{RV}}}{\partial \phi} = 2\sqrt{2} \left( \frac{\sigma_1}{\phi_{\text{max}}} \right)^3 f \left( 1 - \left( \frac{\phi}{\phi_{\text{max}}} \right)^2 \right) \times \exp \left( -\frac{1}{2} \left( \frac{\phi}{\phi_{\text{max}}} \right)^2 \right). \]

Fig. 4 displays the isocountours of $\partial \xi_{\text{RV}} / \partial \phi$ as a function of $\phi / \phi_{\text{max}}$ and $f$, for $\text{FWHM} = \sigma_1 \times 2\sqrt{2 \ln(2)} = 10 \text{ km s}^{-1}$. Note that these
analytical results are perfectly reproduced by our numerical simulation for all $f < 1$. Three regimes are clearly visible.

(i) $\phi \ll \phi_{\text{max}}$: if the two line profiles are unresolved, the RV dilution scales as $\Delta \xi_{\text{RV}} / \Delta \phi \propto f$. If the two line profiles are resolved, the RV variation will be anti-correlated with the contaminant variation. If a particular epoch of the orbit is constrained by other means (e.g. the transit epoch is known thanks to photometric data), it would result in a blended RV variation in anti-phase (as reported among the CoRoT detections; e.g. Cabrera et al. 2009; Carone et al. 2012; Cavarroc et al. 2012; Erikson et al. 2012), or with a negative amplitude (e.g. Marcy et al. 2014).

We assume now the opposite case, where the brightest component has an RV variation $\Delta \phi'$ and the faintest component has a constant RV in time. In the referential of the brightest star, this RV variation will be seen as an RV variation of the secondary star $\Delta \phi$ such as $\Delta \phi' = -\Delta \phi$; hence, the RV dilution will be

$$\frac{\Delta \xi_{\text{RV}}}{\Delta \phi} = 1 - \frac{\Delta \xi_{\text{RV}}}{\Delta \phi} \approx 1 - \frac{\Delta \xi_{\text{RV}}}{\Delta \phi} \quad \text{for} \quad \Delta \phi \rightarrow 0, \quad (7)$$

with $\Delta \xi_{\text{RV}}$ the blended radial velocity variation given a real variation of the main star of $\Delta \phi'$. The same approximations are used in this equation as for equation (5). We validated this analytical expression with a numerical simulation. Therefore, following the three regimes displayed in Fig. 4, we find that if a planet-host is contaminated by a secondary star, the blended RV variation will be

(i) smaller than the real variation if the two stars are unresolved ($\phi \ll \phi_{\text{max}}$);
(ii) unaffected if the two stars are shifted exactly by $\phi_{\text{max}}$;
(iii) greater than the real variation if the two stars are resolved ($\phi > \phi_{\text{max}}$).

An illustration of the first case is the WASP-85 A and B binary system which hosts a transiting planet (Brown et al. 2014). In this system, the unblended HARPS RVs present a larger amplitude than the blended SOPHIE and CORALIE data. Note however that the formalism developed here cannot be applied to this case since the flux ratio between the two stars is not low enough. This system could have been resolved though numerical simulation, as done in the PASTIS software, but the expected variation of BiGauss and FWHM is at the level of 1.5 and 10 m s$^{-1}$ for SOPHIE (respectively), which is much below the precision on this star. Other illustrations of RV dilution by an unresolved contaminant are Kepler-14 (Buchhave et al. 2011) and KOI-1257 (Santerne et al. 2014). As discussed in the latter paper, since nearly half the stars are in binary system, there might be much more diluted RV cases than we have found so far.

3.2 RV sensitivity to physical companions

In the previous section, we presented the dilution of a given RV signal as a function of the flux ratio and the RV shift between the blended stars. To interpret this RV dilution as a sensitivity to blends, we related the flux ratio to the physical properties of the blended stars. In that case, we tested the sensitivity of RVs to a companion star, i.e. bounded with the primary star. For this scenario, we considered a primary star with a mass of $M_1 = 1 M_\odot$ and a secondary one with $M_2 \in [0.1; 1.0] M_\odot$. We set that both stars have solar properties ([Fe/H] = 0 dex, age = 5 Gyr), the same distance, a sin$i$ of 3 km s$^{-1}$ and a systemic RV shift of $\phi_0 = 4$ km s$^{-1}$. We simulated a faint RV variation of the secondary star, caused by the presence of a sub-stellar, non-emitting, companion. The RV variation ($\Delta \phi$) of this secondary star is kept relatively small (we assumed a circular $1 M_{\text{Jup}}$ companion at 365 d of period; hence, $K_2 < 130$ m s$^{-1}$).

We tested this scenario for the SOPHIE spectrograph (Perruchot et al. 2008; Bouchy et al. 2009b), for which the line profile has been calibrated by Boisse et al. (2010). We used these calculations (also reported in Appendix B) to simulate this system for the two instrumental configurations: the HE mode with a spectral resolution of $\sim 39 000$ and the HR mode with a spectral resolution of $\sim 75 000$. We also considered the two masks G2 and K5 that are used to compute the CCF in the online pipeline. For each $M_2$, instrumental mode and mask, we simulated the CCFs of both stars, added them and measured the blended RV variation $\Delta \xi_{\text{RV}}$ that we divided by $\Delta \phi$. For that, we used the Dartmouth evolutionary tracks (Dotter et al. 2008) to estimate the stellar parameters (radius, $T_{\text{eff}}$, luminosity) and the BT-SETTL (Allard, Homeier & Freytag 2012) spectral atmosphere models to estimate the flux of both stars within the spectrograph bandpass (assumed to be similar to Johnson $V$) and their ($B - V$) colour index needed to derive their CCF parameters (see Appendix B).

We display in Fig. 5 (upper panel) the ratio $\Delta \xi_{\text{RV}} / \Delta \phi$ as a function of the mass of the blended secondary star, host of the sub-stellar companion, for both instrumental modes and correlation masks. In this configuration and with a 1 m s$^{-1}$ precision in the RV, we can constrain the signature of a blended $K_2 = 100$ m s$^{-1}$ RV signal on a companion with a mass down to $\sim 0.5 M_\odot$. To detect the same signal on a 0.3 $M_\odot$, one needs a precision at the level of a few 10 cm s$^{-1}$. As expected, the blended RV variation decreases as the secondary star becomes smaller and thus fainter in the $V$ band (the flux ratio decreases). We observe that for $M_2 \ll M_1$, the RV signal is larger in the mask K5 than in the mask G2. This is the so-called mask effect already reported in Bouchy et al. (2009a). The K5 mask has more lines than the G2 one. Thus, the CCF of a blended G2+K5 spectrum will present a slightly larger flux ratio if correlated using all the lines of a K5 star compared with the lines of a G2 star. Since a larger flux ratio implies a larger blended signal, the K5 mask is more sensitive to K and M dwarfs than the G2 mask. The difference
Figure 5. Relative amplitude of a blended radial velocity variation, $\Delta \xi_{\text{RV}}/\Delta \phi$, as a function of the mass of the blend host $M_2$ for the considered scenario (see the text). Upper panel: the four lines display this relative amplitude for both the SOPHIE HE (black lines) and HR modes (grey lines) using cross-correlation mask of a G2 (solid lines) and K5 dwarf (dashed lines). This assumes $\phi_0 = 4$ km s$^{-1}$. Lower panel: isocontours of this relative amplitude as a function of the blend mass $M_2$ and the distance modulus difference $\Delta d$ (see the text), for the SOPHIE HE mode and the G2 mask. The hatched region represents contaminant stars brighter than the target in the V band.

of RV as derived by the two masks therefore provides constraints on the mass of the contaminant star compared with the one of the primary star.

In Fig. 5, we also observe that the HE mode of SOPHIE is more sensitive to blends than the HR mode. In equation (6), the factor $\delta \xi_{\text{RV}}/\delta \phi$ scales as $1 - (\phi/\phi_{\text{max}})^2$ for $\phi < \phi_{\text{max}}$ (unresolved components). Since the instrumental resolution of the HE mode is lower than the HR one, the Gaussian widths of the stars are larger in HE than in HR, which makes $\phi_{\text{max}}$ larger, and thus $\delta \xi_{\text{RV}}/\delta \phi$ is also larger. Therefore, decreasing the instrumental resolution increases asymptotically the sensitivity of RVs to unresolved stellar components. On the other hand, for $\phi \gg \phi_{\text{max}}$ (i.e. resolved stellar components), the factor $\delta \xi_{\text{RV}}/\delta \phi$ is dominated by the term exp ($-1/2(\phi/\phi_{\text{max}})^2$). In that case, increasing the instrumental resolution also increases the sensitivity of RVs to resolved stellar components.

To constrain the presence of a stellar contaminant in RV data, a good test is either to use spectrographs with different instrumental resolutions or to artificially decrease the instrumental resolution by convolving the spectra with a Gaussian profile of increasing width. Then, if the amplitude of the RV signal varies significantly with the instrumental resolution, the line profile is thus blended. Note that the opposite is not always true: since this is an asymptotic effect, no amplitude variation as a function of the instrumental resolution does not imply that the line profile is unblended. At the most extreme case, when the two stellar components have perfectly the same RV ($\phi = 0$), the factor $\delta \xi_{\text{RV}}/\delta \phi$ scales as $(\sigma_1/\sigma_1 + \sigma_2^2)^{3/2}$. So, in that case, if both $\sigma_1$ and $\sigma_2$ are dominated by the instrumental resolution and not by the stellar rotation or if both stars have the same $\sin i$, the factor $\delta \xi_{\text{RV}}/\delta \phi$ is independent of the instrumental resolution. However, if only one star is rotating fast compared with the instrumental resolution, this test should be conclusive, even if the stars have a similar systemic RV.

Note that high-precision spectrographs in the near-infrared, such as SPIRou (Delfosse et al. 2013) or CARMENES (Quirrenbach et al. 2014), should also provide further constraints on the presence of low-mass stars, by improving the flux ratio between the primary and the lower mass companion stars.

3.3 RV sensitivity to background companions

A similar test can be done by relaxing the assumption that the primary and contaminating stars are bounded. This scenario has to assume that both stars are chance-aligned in both the plane of the sky and in the RV space, which is quite unlikely (Cunha et al. 2013), even though we can quantify the constraints that the RVs could provide. For that we repeat the previous simulation by allowing the distance ratio between the two stars to vary in the range $\Delta d \in [-6; +6]$, with $\Delta d$ the distance modulus difference as defined in Fressin et al. (2011):

$$\Delta d = 5 \log_{10} \left( \frac{D_1}{D_2} \right),$$

where $D_1$ and $D_2$ are the distances of the primary and contaminating stars, respectively. For this test, we set $\phi_0$ to zero for simplicity, which gives optimistic values of the RV constraints. The lower panel of Fig. 5 shows the isocontours of $\Delta \xi_{\text{RV}}/\Delta \phi$ as a function of the mass of the host star (i.e. the contaminating star) and the distance modulus difference $\Delta d$ for the G2 mask. Since $\phi_0 = 0$, both instrumental modes give the same constraints here. In this configuration, with a 1 m s$^{-1}$ precision on SOPHIE, one could constrain a perfectly chance-aligned system with $K_2 = 100$ m s$^{-1}$ on a 1 M$_\odot$ host with $\Delta d \leq 5$ or a 0.7 M$_\odot$ host with $\Delta d \leq 2$. This map of the RV sensitivity to blends could be compared with the constraints on background systems provided, e.g., by transit photometry, if any. From the photometric constraints on the possible false-positive parameters, one could estimate the expected amplitude of the RV signal of such false positive using this technique. Then, it would be possible to optimize the RV follow-up of transit candidates as a function of the precision of the available spectrographs and their expected efficiency to further constrain, or reject, a given false-positive scenario. This could permit to minimize the needs of RV spectrograph to screen out false positives of the future transit space missions TESS (Ricker et al. 2014) and PLATO (Rauer et al. 2014).

4 BENCHMARKING THE BLEND MODEL: THE CASE OF HD 16702, A NEARLY FACE-ON BINARY

To validate our model of blended spectroscopic diagnosis, we tested it on one case which has other, independent constraints. This case is the system HD 16702 revealed in Díaz et al. (2012). Observed by SOPHIE, this system exhibits an RV signal compatible with a brown dwarf companion. A careful analysis of the line bisector only, with a preliminary version of this model, revealed that the system is a nearly face-on binary. The same authors also reported the marginal detection of an astrometric signature in the Hipparcos data compatible with this scenario. Recently, Kolbl et al. (2015) developed a new technique to detect faint components in the Keck/HIRES spectra. They applied this new technique to HD 16702 and confirmed
Posterior distribution of the \( D_1 \) of the secondary star in the \( \pm 2012 \sim V \). Note that this uncertainty is only the statistical Gaia \( i \) and \( 2012 \upsilon \). The data are plotted together with the best model found in the \textsc{pastis} analysis and their residuals. For clarity, an arbitrary offset has been set to the K5 data and models in the three plots.

We display in Fig. 6 the phase-folded data considered in this analysis together with the best-fitting model. While the RV and BiGauss present a significant variation, the FWHM does not present a clear variation. This absence of significant variation is still used in the fitting procedure to constrain the \( \upsin \) of the secondary star. In Díaz et al. (2012), only the bisector was analysed and it was not possible to break the degeneracy between the FWHM of the secondary and its mass (see fig. 9 in Díaz et al. 2012). In this analysis, our model was able to constrain the \( \upsin \) of the secondary star, as displayed in Fig. 7. This was possible thanks to the additional constraints from the FWHM. We also ran the same analysis but for each correlation mask independently and found similar results, with slightly larger uncertainties as expected. Since the degeneracy between the mass of the secondary star and its \( \upsin \) is broken, we can further constrain the mass to a value of \( M_2 = 0.35 \pm 0.03 \, M_\odot \). Note that this uncertainty is only the statistical one. Uncertainties from the stellar models or from the blend model related to the calibration of the CCF are not taken into account here. This value is fully compatible with the values found by Díaz et al. (2012) and Kolbl et al. (2015) of \( M_2 = 0.40 \pm 0.05 \, M_\odot \) and \( M_2 \sim 0.3 \, M_\odot \) (respectively). This mass is also fully compatible with the one derived by the \textit{Hipparcos} astrometry of \( M_2 = 0.55 \pm 0.14 \, M_\odot \), which was used as prior for this analysis (see Table D1). Astrometric measurements from the \textit{Gaia} space telescope (Prusti 2012) will permit us to confirm this result. This allows us to refine the inclination of the binary to \( i_{\text{BP}} = 9.4 \pm 0.5 \). The RV-blend model we developed in the framework of the \textsc{pastis} validation tool is therefore able to reproduce perfectly the spectroscopic data of this blended, nearly face-on binary.

5 CAVEATS

We would like to point out a few caveats in our model and results presented in this paper.

(i) The calibration of the SOPHIE CCF that we are using is correct for \((B-V)\) in the range of \([0.43; 0.98]\) while we are using it also for stars outside this domain. We assumed that it could be extrapolated without a significant impact on our results. We think this is not a limitation of our model because the parameters of the simulated line profile have only a second-order effect compared with the flux ratio. A new calibration of the SOPHIE CCFs with a wider range of \((B-V)\) is mandatory to overcome this caveat. Note that calibrating the CCFs with masks corresponding to F and M dwarfs would improve the mask effect and thus the capability of this model to constrain higher or lower mass stars, respectively. Calibrating the CCF of other spectrographs in the visible but with different spectral resolution, such as HARPS, HARPS-N, CORALIE, or in...
the near-infrared could also improve the constraints. Note also that at a given \((B-V)\) and \([\text{Fe/H}]\), the surface gravity should also change the parameters of the CCF, which is not accounted for in the current calibration. The CCFs of evolved stars should also be considered for the calibration.

(ii) When we analyse the RV, FWHM and line-asymmetry diagnosis from different correlation masks simultaneously within the \textsc{pastis} software, we compute the likelihood for each individual data set and multiply them to get the marginal likelihood. This assumes that the data sets are independent from each other, which might not be the case. We assume however that this independence of the data set, if any, does not affect significantly our results.

(iii) We model the line profile of the stars with a Gaussian profile. However, as soon as the stars are rotating faster than the instrumental resolution, their line profile differs significantly from a Gaussian profile. Our approximation might lead to over- or underestimation of the spectroscopic diagnoses. Nevertheless, even if the values significantly change, we expect that the overall behaviour of the spectroscopic diagnoses is not affected by stellar rotation.

6 CONCLUSION AND DISCUSSION

In this paper, we present the RV model developed to constrain exoplanet blend scenarios with the \textsc{pastis} software. Using this model, we investigated how a blended stellar contaminant can perturb the measurement of the various spectroscopic diagnoses of a target star. We estimated the photon noise precision of these spectroscopic diagnoses which allowed us to conclude that BiGauss, as defined by Nardetto et al. (2006), is the most sensitive diagnosis to line-profile asymmetry. By exploring the parameters of both the target and contaminating star, we find that a contaminant might produce different FWHMs and bisector variations but similar RV signals. In particular, if both stars have the same FWHM, their blended line profile does not exhibit strong asymmetry and cannot be monitored with the bisector-like diagnoses. However, in that case, the FWHM should still present large variations that could be detected with stabilized high-resolution spectrographs. Therefore, the absence of bisector variation alone cannot be used to firmly establish the nature of a planet detected by RV. Both precise bisectors and FWHMs should not exhibit variation to exclude most astrophysical false-positive scenarios.

More interestingly, we find that if the FWHM of the contaminating star is smaller than the target one, the blended line bisectors will be anti-correlated with the RVs. This anti-correlation is usually produced by, and interpreted as, spots on the stellar surface (Queloz et al. 2001; Boisse et al. 2011b; Boisse, Bonfils & Santos 2012; Dumusque et al. 2014). Therefore, a bona fide planet in a blended binary could reproduce the signal in the RV, FWHM and bisector of an active star. Such a system would produce a true-negative scenario, which might still be identified using other spectroscopic diagnoses like the variation of the S-index or of the log(\(R'_{\text{HK}}\)).

Our simulation also confirms the results of Wright et al. (2013), that the blended signal of a circular RV variation is not strictly circular. The residuals of a blended circular orbit exhibit another signal at the first or second harmonic (P/2 or P/3, respectively) with a relative amplitude up to a few per cent of the initial blended signal.

In Section 3, we developed a new formalism to estimate the amplitude of the RV dilution caused by a blended (brighter or fainter) star. It shows that, for example, a contaminating eclipsing binary spectroscopically unresolved with the target star (i.e. their RV shift is smaller than their joint profile widths) might mimic an RV signal in phase with the eclipse ephemeris. On the other hand, if the two stars are resolved (i.e. their RV shift is greater than their joint profile widths), the blended RV signal would be in anti-phase with the eclipse ephemeris. This formalism also revealed that if an RV variation of a target star is blended with another fainter component, the latter one would actually boost or dilute the amplitude of the signal, depending on whether the two stars are resolved or not (respectively).

Using this formalism, we also discussed the sensitivity of the SOPHIE spectrograph to detect physical and background companions to the target star. By comparing the two instrumental configurations of the SOPHIE spectrograph, we realized that the instrumental configuration with the lowest spectral resolution was more sensitive to unresolved contaminants than the configuration with the highest spectral resolution. This is however the opposite for a resolved contaminant. Therefore, by using different spectrographs with different spectral resolutions, one might constrain the presence of a contaminating star. Comparing the amplitude of signals from spectrographs with different spectral resolutions provides another test to detect and constrain the presence of a stellar contaminant blended in the line profile of the target star. This can be done also by convolving the observed high-resolution spectra with a Gaussian profile of increasing widths.

The method described in this paper makes use of the spectroscopic diagnoses measured precisely on high-resolution spectra. For that, the spectrograph should reproduce as closely as possible the line profile. This is the case of stabilized, fibre-fed spectrographs like SOPHIE and HARPS. The various diagnoses could be measured simultaneously with the RV with no extra observational cost. The detection of line-profile variations at the early stage of the observation could actually avoid wasting telescope time observing false positives rather than planets. However, with slit-fed spectrographs, such as HIRES®Keck, the shape of the line profile is correlated with the slit illumination profile, which strongly limits the measurement of precision spectroscopic diagnoses (Wright et al. 2013). The use of the iodine cell allows one to monitor the instrumental profile needed to measure precision RVs (Butler et al. 1996), but limits the determination of the line-profile shape. In such a case, applying the method described here is impossible, and other observations are needed to firmly rule out blend scenarios, which has an extra observational cost. For these reasons, stabilized fibre-fed spectrographs are more suitable for RV surveys and the follow-up of transiting planet candidates.

It was already known that high-resolution spectroscopy can constrain the presence of resolved systems down to a few magnitudes fainter than the target star (e.g. Borucki et al. 2012). We show in this paper that it is also the case for spectroscopically unresolved systems. However, in the latter case, one should however analyse carefully the line-profile variation. In the context of validation of small transiting exoplanets, this provides complementary constraints on photometry, which cannot exclude bright contaminants. Some examples of constraints provided by high-precision transit photometry can be found in, e.g., Torres et al. (2011, 2015), Fressin et al. (2011, 2012a, 2012b) and Borucki et al. (2012, 2013). In all these cases, photometric constraints cannot reject the presence of a planet transiting a background, chance-aligned star brighter than \(\Delta d \approx 6\), which is the domain where spectroscopy is the most sensitive. In the scenario of a background system, it is quite unlikely that such a system would be chance-aligned in both the plane of the sky and the RV space.

This is not the case for a contaminant physically bounded with the target star, which has a high probability of having a small RV shift. Since the physical companion is most likely blended with the...
target star, searching for a second set of resolved lines in the spectra, as done in the aforementioned papers, is not expected to provide strong constraints on this kind of false-positive scenario. Therefore, without a more careful check of their line-profile variation, as presented in this paper, some of the planets validated in these papers might actually be larger planets transiting a star physically bound with the target. This is still true even if a significant RV variation is detected (Santerne et al. 2014).

The spectroscopic diagnoses we presented here and the technique developed by Kolbl et al. (2015) can constrain false-positive scenarios which are blended in both the plane of the sky and the RV space. Another way to constrain blend contaminant would consist in searching for abnormal stellar lines that do not correspond to the spectral type of the target star. Indeed, if a blended contaminant has a different effective temperature than the target star, there might be some lines (e.g. molecular lines) that are only visible in the spectrum of the coolest component. By checking for extra lines in the spectrum of a target star, one could also constrain the presence of a contaminating star. This can also be done by cross-correlating the observed spectrum with the mask of a M dwarf for which all the lines that are common in both M-dwarf and G-dwarf masks have been removed. By doing that, if the difference of $T_{\text{eff}}$ is large enough, the resulting CCF should only present the contribution from the contaminating star.

As a benchmark test for the blend model we used in this paper, we analyse with PASTIS the SOPHIE data of the HD 16702 system. This system is a blended nearly face-on binary which exhibits a large RV variation and bisector variations, but no significant FWHM variation. Our model was able to reproduce all the data and provide constraints on the primary star that are compatible with the ones derived with the SOPHIE data of the HD 16702 system. This is still true even if a significant RV variation is detected (Santerne et al. 2014).

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2015 PASTIS: Bayesian extrasolar planet validation 2347

MNRS 451, 2337–2351 (2015)
APPENDIX A: THE SPECTROSCOPIC DIAGNOSES

A1 RV, FWHM and contrast

The line profile (Ψ) of a dwarf star with a low \( \upsilon \sin i \) can be described by a Gaussian profile (at the spectral resolution of RV-dedicated spectrographs, i.e. between 40 000 and 120 000):

\[
G(\lambda) = c_0 \left[ 1 - e^{\left( -\frac{1}{2} \left( \frac{x - x_0}{\sigma} \right)^2 \right)} \right],
\]

where \( x \) is the RV, \( c_0 \) is the flux in the continuum, \( c \) is the contrast of the line, \( x_0 \) is the RV of the line and \( \sigma \) represents the width of the line. For fast-rotating stars, the line profile can be modelled following the procedure described in Santerne et al. (2012a) and references therein. For Gaussian profiles, the FWHM is related to \( \sigma \) by

\[
\text{FWHM} = \sigma \times 2\sqrt{\ln 2} \approx \sigma \times 2.3548.
\]

By fitting the line profile by a Gaussian function, one can therefore measure the RV, the contrast and the FWHM of the stellar line profile.

A2 BIS

To monitor the asymmetry of the line profile, several diagnoses have been defined. Toner & Gray (1988) defined the bisector as ‘the mid-points of horizontal line segments bounded by the sides of the line profile’. This series of mid-points are not convenient to interpret numerically for each observation. Some diagnoses were therefore suggested in order to describe the asymmetry of the line profile in a single value. This was the case of the bisector curvature, suggested by Gray (1997) which consists in computing the ‘velocity span of the top portion minus the velocity span of the bottom portion of the bisector’ (Gray & Hatzes 1997). Since this method considers only one measurement in the top and bottom parts of the bisector, it is very sensitive to the noise present in the line profile. To improve this line-asymmetry diagnosis, Queloz et al. (2001) used the BIS defined as the velocity span between the average of the top and bottom parts of the bisector:

\[
\text{BIS} = BIS_{\text{top}} - BIS_{\text{bottom}},
\]

where \( BIS_{\text{top}} \) is the average of the bisector between 60 and 90 per cent of the total contrast of the line profile and \( BIS_{\text{bottom}} \) is the average of the bisector between 10 and 40 per cent of the total contrast of the line profile, where 100 per cent corresponds to the continuum and 0 per cent to the minimum of the line profile (see Fig. A1). We find that several different limits have been used in previous studies for the top part of the BIS: 55–85 per cent according to Queloz et al. (2001), 55–90 per cent according to...
Dall et al. (2006) and 60–90 per cent according to Figueira et al. (2013). Since different BIS definitions will lead to different results, a unique definition of how to compute the BIS should be defined to avoid wrong interpretation when comparing BIS data. We propose here to keep the values 60–90 per cent as definitive values for BIS computation since they are symmetric with the bottom values around the half-maximum of the line.

A3 $V_{\text{span}}$

To improve the precision of the bisector, Boisse et al. (2011b) suggested the $V_{\text{span}}$ diagnosis. Instead of computing the average mid-point of the horizontal line segment which can be noisy for low-SNR spectra, the idea is to compute the RV difference measured by fitting two Gaussian functions, one to the top and one to the bottom part of the line profile. The Gaussian fit is expected here to be less sensitive to noise in the stellar line than the traditional bisector. The limits between the top and the bottom of the line profile are defined as the ±1σ limit from the measured RV ($x_0$). The two sub-line profiles ($\Psi_{\text{top}}$ and $\Psi_{\text{bottom}}$) are thus defined as (see Fig. A1)

$$\Psi_{\text{top}} = \Psi([-\infty, x_0 - 1\sigma] \cup [x_0 + 1\sigma, +\infty]) \quad (A4)$$

$$\Psi_{\text{bottom}} = \Psi([-\infty, x_0 - 3\sigma] \cup [x_0 - 1\sigma, x_0 + 1\sigma] \cup [x_0 + 3\sigma, +\infty]). \quad (A5)$$

The diagnosis $V_{\text{span}}$ is therefore defined as

$$V_{\text{span}} = x_{\text{top}} - x_{\text{bottom}}, \quad (A6)$$

where $x_{\text{top}}$ and $x_{\text{bottom}}$ are the radial velocities measured to the top of the line profile ($\Psi_{\text{top}}$, equation A4) and to the bottom of the line profile ($\Psi_{\text{bottom}}$, equation A5), respectively.

A4 BiGauss

Nardetto et al. (2006) defined another diagnosis (called BiGauss) to monitor line asymmetry of pulsating stars. It consists in fitting an asymmetric Gaussian function to the line profile (see Fig. A1):

$$G_{\text{asy}}(x) = \begin{cases} 
0 & \text{if } x < x_0 \\
1 - c \exp \left( -\frac{1}{2} \left( \frac{x-x_0}{\sigma(1-A)} \right)^2 \right) & \text{if } x \geq x_0,
\end{cases} \quad (A7)$$

where $A$ is the percentage of asymmetry (relatively to $\sigma$). The BiGauss diagnosis is defined as the difference between the RV measured with a symmetric Gaussian function (equation A1) and the asymmetric BiGaussian function (equation A7), as a proxy of the line asymmetry:

$$\text{BiGauss} = x_0 |A=0 - x_0| |A\neq0| \quad (A8)$$

A5 $V_{\text{asy}}$

A fourth diagnosis was defined recently by Figueira et al. (2013) to monitor asymmetry in line profiles caused by stellar activity. This diagnosis, called $V_{\text{asy}}$, consists in computing the differential spectral information, as defined by Bouchy et al. (2001), between the red and the blue wings of the line profile:

$$V_{\text{asy}} = \sum_i \left( W_{i}^{\text{red}} - W_{i}^{\text{blue}} \right) \times W_{i} \quad (A9)$$

where $W_{i}$ are the weight of the point computed at the flux level $i$ in the line profile (equation 8 of Bouchy et al. 2001):

$$W_{i} = \frac{\lambda_{i}^{2} \left( \frac{\Delta \Psi_{i}}{\sigma_{D}} \right)^{2}}{\Psi_{i} + \sigma_{D}^{2}}, \quad (A10)$$

where $\lambda_{i}$ and $\Psi_{i}$ are the wavelength and flux of the spectra, respectively, and $\sigma_{D}^{2}$ the detector readout noise. $W_{i}^{\text{blue}}$ and $W_{i}^{\text{red}}$ are the blue and red line-profile wing information (respectively), and $W_{i}$ their average. If computed in the RV space on the CCF, equation (A10) needs to be changed to (Figueira et al., in preparation)

$$W_{i}^{\text{CCF}} = \frac{c^{2} \left( \frac{\Delta \text{CCF}_{i}}{\Delta \text{SNR}_{i}} \right)^{2}}{\text{CCF}_{i} + \sigma_{D}^{2}}, \quad (A11)$$

where RV, and CCF$_{i}$ are the radial velocity and the flux in the CCF, respectively, and $c$ is the speed of light.

A6 $W_{\text{span}}$

Four methods have been developed so far to estimate the asymmetry of a spectral line, because it is a key diagnosis to correct RVs from stellar activity (Boisse et al. 2011b), from blended contaminants (Santos et al. 2002; Díaz et al. 2012) and from instrumental effects (Boisse et al. 2010). Therefore, more sensitive is the diagnosis to asymmetry, better the correction or the constraints are expected. For that propose, we define here a fifth diagnosis to monitor line asymmetry. It consists in fitting two Gaussian functions to the blue and red wings of the line profile (see Fig. A1):

$$\Psi_{\text{blue}} = \Psi([-\infty, x_0] \cup [x_0 + 3\sigma, +\infty]) \quad (A12)$$

$$\Psi_{\text{red}} = \Psi([-\infty, x_0 - 3\sigma] \cup [x_0, +\infty]). \quad (A13)$$

We can thus define the asymmetry measurement of this fifth diagnosis, which we call $W_{\text{span}}$:

$$W_{\text{span}} = x_{\text{blue}} - x_{\text{red}}, \quad (A14)$$

where $x_{\text{blue}}$ and $x_{\text{red}}$ are the radial velocities derived from the fit of the blue ($\Psi_{\text{blue}}$, equation A12) and red ($\Psi_{\text{red}}$, equation A13) wings of the line profile, respectively.

In Fig. A1, we illustrate these five diagnoses by modelling the line profile of a star, with an FWHM of 10 km s$^{-1}$ and a contrast of 30 per cent. We contaminated it with a star with a line FWHM of 10 km s$^{-1}$, a contrast of 3 per cent and shifted in RV by $+10$ km s$^{-1}$ from the main star. This example was chosen to present a huge line asymmetry, which can be seen by eye.

APPENDIX B: CCF MODELLING

To simulate CCFs, we use equations B.1–B.5 from Boisse et al. (2010) for SOPHIE. We first compute the FWHM of the Gaussian function from the $\upsilon$sin$i$ of the star following the equation:

$$\text{FWHM} = \sqrt{\frac{\upsilon \sin{i}}{\sigma_{0}} + A^{2} \sigma_{0}^{2}} \cdot \frac{2}{\sqrt{2 \ln(2)}}, \quad (B1)$$

where $A$ is a scaling factor listed in Table B1. $\sigma_{0}$ is related to the colour index ($B - V$) in the range 0.4–1.3, similar to FGK dwarfs:

$$\sigma_{0} = \alpha - \beta (B - V) + \gamma (B - V)^{2} - \delta (B - V)^{3}, \quad (B2)$$
Table B1. Coefficients of equations (B1) and (B2).

| Instrument – mode | $\alpha$ | $\beta$ | $\gamma$ | $\delta$ | $A$ |
|-------------------|----------|---------|---------|---------|-----|
| SOPHIE – HE       | 10.52    | 22.56   | 22.37   | 6.95    | 1.64 |
| SOPHIE – HR       | 9.90     | 22.56   | 22.37   | 6.95    | 1.73 |

where the coefficients $\alpha$, $\beta$, $\gamma$ and $\delta$ are given in Table B1. We then compute the expected contrast $C$ of the CCF as

$$C = \frac{W}{2\sqrt{\pi} \cdot \text{FWHM}} \cdot 2^{\sqrt{2\ln(2)}},$$

(B3)

with $W$ the area of the CCF, expressed as a function of the metallicity [Fe/H] and the colour index $(B – V)$:

$$\log W = \frac{[\text{Fe/H}] + a_s - b_s (B - V)}{c_s},$$

(B4)

where the coefficients $a_s$, $b_s$, $c_s$ are listed in Table B2. These relations were calibrated for $0.43 \leq (B - V) \leq 0.98$ and for $-0.47 \leq [\text{Fe/H}] \leq 0.44$ for SOPHIE.

The line profiles are assumed to have a Gaussian profile. We therefore compute the average line profile for each star considered (CCF) as follows:

$$\text{CCF}_i(x) = F_i \left[ 1 - C_i \cdot \exp \left( -\frac{(x - x_0)^2}{2 \cdot \frac{\text{FWHM}}{2\sqrt{\pi}}} \right) \right],$$

(B5)

where $F_i$ is the flux of star in the continuum integrated within the spectrograph bandpass that we assumed to be equivalent to the Johnson $V$ band for SOPHIE. The blended synthetic CCF is therefore

$$\text{CCF}(x) = \frac{\sum \text{CCF}_i(x)}{\sum F_i}.$$  

(B6)

We then use this synthetic CCF to measure the various spectroscopic diagnoses by fitting it with a Levenberg–Marquardt algorithm as implemented into the $\text{SCIPY}$ package (optimize.leastsq).

**APPENDIX C: COMPUTATION TIME AND NUMERICAL PRECISION AND ACCURACY OF THE MODELS**

Measuring the diagnoses to a large sample of observations or through a large MCMC analysis takes time. The computation speed as well as the precision and accuracy of the fitting procedure should be part of the equation when defining the best diagnosis to use. These elements should also depend on the number of data points sampling the line profile. To quantify them, we simulated a blended Gaussian line profile. We defined a target line profile with an FWHM of 10 km s$^{-1}$, a contrast of 30 per cent and a contaminating line profile with an FWHM of 12 km s$^{-1}$, a contrast of 30 per cent, a flux ratio of 1/100 compared with the target star and a constant RV shift of 10 km s$^{-1}$. We used a different sampling rate for the simulation of this blended line profile, ranging from 10 cm s$^{-1}$ to 1 km s$^{-1}$. Then, for each sampling size, we simulated 100 times the same system, by shifting the blended line profile randomly within a uniform distribution with a width of 1 km s$^{-1}$. By doing that, the blended line profile was sampled differently in all the simulations. On these synthetic line profiles we measured the various spectroscopic diagnoses aforementioned as well as the time taken to compute them using the optimize.leastsq module embedded in the $\text{SCIPY}$ package in Python2.7. We used the default values for this function, except for the xtol parameter that we set to $10^{-5}$. This experiment was done with an Intel Xeon CPU cadenced at 2.27 GHz. Note that the evaluation of the symmetric and asymmetric Gaussian functions (used to derive the RV, the FWHM, BiGauss, $V_{\text{span}}$ and $W_{\text{span}}$) is implemented within $\text{PASTIS}$ in a C++ module, together with their Jacobian functions, in order to speed up the fitting procedure.

Fig. C1 displays the mean time to compute the various diagnoses as a function of the sampling size. Note that this simulation has been performed with a line profile sampled from $-50$ to $50$ to $270$.

**Figure C1.** Top panel: average time to measure the different spectroscopic diagnoses on a noiseless line profile as a function of the sampling size (see the text for details). Middle and bottom panels: relative precision (middle panel) and accuracy (bottom panel) of the asymmetry diagnoses on a noiseless blended line profile as a function of the sampling size. This assumes a line profile with an FWHM of 10 km s$^{-1}$. The RV and FWHM are not displayed here since they are at the level of the numerical noise.
Table D1. Free parameters of the HD 16702 analysis with their prior and posterior distributions. For the posterior, we report only the median value and its 68.3 per cent uncertainty. $U(a, b)$ represents a uniform prior between $a$ and $b$; $N(\mu, \sigma^2)$ represents a normal distribution with a mean of $\mu$ and a width of $\sigma^2$; $\mathcal{N}_U(\mu, \sigma^2, a, b)$ represents a normal distribution with a mean of $\mu$ and a width of $\sigma^2$ and limited by a uniform distribution between $a$ and $b$.

| Parameter | Prior | Posterior |
|-----------|-------|-----------|
| **Primary star** | | |
| Effective temperature $T_{\text{eff}}$ (K) | $N(5908; 25)$ | $5909 \pm 26$ |
| Surface gravity log $g$ (g cm$^{-2}$) | $N(4.46; 0.03)$ | $4.45 \pm 0.03$ |
| Iron abundance [Fe/H] (dex) | $N(-0.12; 0.03)$ | $-0.12 \pm 0.03$ |
| Equatorial velocity $v\sin i_1$ (km s$^{-1}$) | $N_U(2.56; 1; 0; 20)$ | $3.42 \pm 0.04$ |
| Systemic RV $\gamma$ (km s$^{-1}$) | $U(0; 10)$ | $4.576 \pm 0.004$ |
| **Secondary star** | | |
| Initial mass $M_2$ ($M_\odot$) | $N_U(0.55; 0.14; 0.1; 20)$ | $0.35 \pm 0.03$ |
| Equatorial velocity $v\sin i_2$ (km s$^{-1}$) | $U(0.5; 15)$ | $6.4 \pm 2.1$ |
| **Binary orbit** | | |
| Orbital period $P$ (d) | $N(72.8322; 0.2)$ | $72.839 \pm 0.003$ |
| Periastron epoch $T_P$ (BJD − 2450000) | $N(4983.45; 12)$ | $4983.20 \pm 0.14$ |
| Eccentricity $e$ | $U(0; 1)$ | $0.138 \pm 0.002$ |
| Argument of periastron $\omega$ (°) | $U(0; 360)$ | $256.0 \pm 0.7$ |
| Inclination $i_{\text{orb}}$ (°) | $U(0; 90)$ | $9.4 \pm 0.5$ |
| **Data-related parameters** | | |
| Radial velocity offset G2−K5 $\Delta_{\text{RV}}$ (m s$^{-1}$) | $U(-200; 200)$ | $-1 \pm 5$ |
| Radial velocity jitter G2 $\sigma_{\text{RVG2}}$ (m s$^{-1}$) | $U(0; 1000)$ | $15 \pm 3$ |
| Radial velocity jitter K5 $\sigma_{\text{RVK5}}$ (m s$^{-1}$) | $U(0; 1000)$ | $15 \pm 3$ |
| BiGauss offset G2 $\gamma_{\text{BG2}}$ (m s$^{-1}$) | $U(-200; 200)$ | $-1 \pm 3$ |
| BiGauss offset K5 $\gamma_{\text{BGK5}}$ (m s$^{-1}$) | $U(-200; 200)$ | $-71 \pm 3$ |
| BiGauss jitter G2 $\sigma_{\text{BG2}}$ (m s$^{-1}$) | $U(0; 1000)$ | $3 \pm 3$ |
| BiGauss jitter K5 $\sigma_{\text{BGK5}}$ (m s$^{-1}$) | $U(0; 1000)$ | $4 \pm 4$ |
| FWHM offset G2−K5 $\Delta_{\text{FWHM}}$ (m s$^{-1}$) | $U(-200; 200)$ | $7 \pm 6$ |
| FWHM jitter G2 $\sigma_{\text{FWHM2}}$ (m s$^{-1}$) | $U(0; 1000)$ | $8 \pm 6$ |
| FWHM jitter K5 $\sigma_{\text{FWHMK5}}$ (m s$^{-1}$) | $U(0; 1000)$ | $9 \pm 6$ |

+50 km s$^{-1}$ around the target star. Decreasing or increasing the wavelength or RV range is expected to scale down or up (respectively) these results. As expected, the computation time increases exponentially when decreasing the sampling size. The diagnoses BIS and $V_{\text{asy}}$ which do not require any minimization algorithms are the fastest ones. The diagnoses $V_{\text{span}}$ and $W_{\text{span}}$ which require two fitting procedure take twice more time than the measurement of the RV, FWHM and BiGauss, as expected.

The precision and accuracy of the fitting model should also depend on the number of points in the line profile. Thus, we also investigated the relative precision and accuracy of our models as a function of the sampling size. We estimated the precision of the diagnoses by computing the rms of the measurements for the 100 simulations aforementioned. The accuracy has been estimated by comparing the median value of the 100 simulations at different sampling size with the one with a sampling size of 10 cm s$^{-1}$. We therefore assumed here that the 10 cm s$^{-1}$ sampling has a negligible noise, compared with the other simulations. We then normalized the precision and accuracy with the median value of the asymmetry found for a sampling size of 10 cm s$^{-1}$, to derive the relative precision and accuracy. Fig. C1 displays the relative precision and accuracy to measure the various asymmetry diagnoses as a function of the sampling of the line profile. We find that BiGauss is the most precise and accurate diagnosis to measure even with relatively poor sampling of the line profile. On the other hand, $V_{\text{span}}$ and $W_{\text{span}}$ need a good sampling of the line profile to be measured precisely and accurately. This behaviour could be explained by the fact that the BiGauss diagnosis consists in fitting a continuous function, while $V_{\text{span}}$ and $W_{\text{span}}$ consist in fitting two discontinuous functions. BIS and $V_{\text{asy}}$ follow the same precision and accuracy trend, and are dominated by the numerical noise produced by the interpolation of the line profile. The sampling of the line profile needs to be increased by a factor of more than 10 for BIS and $V_{\text{asy}}$ to reach the same precision and accuracy as BiGauss. Given the computation time, the relative precision and accuracy, the sensitivity of the diagnoses to blends (Fig. 1) and to noise (see Section 2.7), BiGauss is the most efficient spectroscopic diagnosis to constrain blended contamination.

**APPENDIX D: TABLE OF THE PARAMETERS FOR THE PASTIS ANALYSIS OF THE SYSTEM HD 16702**

This paper has been typeset from a TeX/LaTeX file prepared by the author.