Abnormal Whisker-Dependent Behaviors and Altered Cortico-Hippocampal Connectivity in Shank3b−/− Mice

Luigi Balasco1, Marco Pagani2, Luca Pangrazzi1, Gabriele Chelini1, Alessandra Georgette Giancone Chama1, Evgenia Shlosman1, Lorenzo Mattioni3, Alberto Galbusera2, Giuliano Iurilli4, Giovanni Provenzano3, Alessandro Gozzi2 and Yuri Bozzi1,5

1CIMeC - Center for Mind/Brain Sciences, University of Trento, 38068 Rovereto, TN, Italy, 2Functional Neuroimaging Laboratory, Center for Neuroscience and Cognitive Systems, Istituto Italiano di Tecnologia, 38068 Rovereto, TN, Italy, 3Department of Cellular, Computational, and Integrative Biology (CIBIO), University of Trento, 38123 Trento, Italy, 4Systems Neurobiology Laboratory, Center for Neuroscience and Cognitive Systems, Istituto Italiano di Tecnologia, 38068 Rovereto, TN, Italy and 5CNR Neuroscience Institute, 56124 Pisa, Italy

Address correspondence to Yuri Bozzi, Center for Mind/Brain Sciences (CIMeC) - University of Trento, Piazza della Manifattura 1, 38068 Rovereto (TN), Italy. Email: yuri.bozzi@unitn.it

Abstract

Abnormal tactile response is an integral feature of Autism Spectrum Disorders (ASDs), and hypo-responsiveness to tactile stimuli is often associated with the severity of ASDs core symptoms. Patients with Phelan-McDermid syndrome (PMS), caused by mutations in the SHANK3 gene, show ASD-like symptoms associated with aberrant tactile responses. The neural underpinnings of these abnormalities are still poorly understood. Here we investigated, in Shank3b−/− adult mice, the neural substrates of whisker-guided behaviors, a key component of rodents' interaction with the surrounding environment. We assessed whisker-dependent behaviors in Shank3b−/− adult mice and age-matched controls, using the textured novel object recognition (tNORT) and whisker nuisance (WN) test. Shank3b−/− mice showed deficits in whisker-dependent texture discrimination in tNORT and behavioral hypo-responsiveness to repetitive whisker stimulation in WN. Sensory hypo-responsiveness was accompanied by a significantly reduced activation of the primary somatosensory cortex (S1) and hippocampus, as measured by c-fos mRNA induction, a proxy of neuronal activity following whisker stimulation. Moreover, resting-state fMRI showed a significantly reduced S1-hippocampal connectivity in Shank3b mutants, in the absence of altered connectivity between S1 and other somatosensory areas. Impaired crosstalk between hippocampus and S1 might underlie Shank3b−/− hypo-reactivity to whisker-dependent cues, highlighting a potentially generalizable somatosensory dysfunction in ASD.

Key words: autism, connectivity, hippocampus, mouse, somatosensory
Introduction

Autism spectrum disorders (ASDs) represent a heterogeneous group of neurodevelopmental disorders characterized by social interactions and communication deficits, accompanied by restricted and stereotyped behaviors (American Psychiatric Association 2013). Several studies also indicate that abnormal sensory processing is a crucial feature of ASD. About 90% of ASD individuals have atypical responses to different types of sensory stimuli (Robertson and Baron-Cohen 2017), and sensory abnormalities (described as both hyper- or hypo-reactivity to sensory stimulation) are currently recognized as diagnostic criteria of ASD (American Psychiatric Association 2013). Several pieces of evidence support the idea that altered sensory perception underlies ASD patients’ ability to interact with the surrounding environment, especially in the presence of novel stimuli and unexpected context (Robertson and Baron-Cohen 2017). Both over- and under-responsiveness to tactile stimuli are frequently observed in ASD and fall under the general term of tactile defensiveness (Mikkelsen et al. 2018; Balasco et al. 2020). Most importantly, abnormal tactile responses to stimulating stimuli correlate with and predict the severity of ASD. Hypo-responsiveness to tactile stimuli is associated with higher severity of ASD core symptoms (Foss-Feig et al. 2012), and touch avoidance during infancy is predictive of ASD diagnosis in toddlers (Mammen et al. 2015).

Phelan-McDermid syndrome (PMS) is a neurodevelopmental disorder characterized by ASD-like behaviors, developmental delay, intellectual disability, and absent or severely delayed speech (Phelan and McDermid 2012). PMS is caused by mutations in the Shank3 gene coding for SH3 and multiple ankyrin repeat domains protein 3 (Leblond et al. 2014; Monteiro and Feng 2017), a crucial component of excitatory postsynaptic density (Naisbit et al. 1999; Jiang and Ehlers 2013). Patients with PMS often show somatosensory processing dysfunction, including oversensitivity to touch and tactile defensiveness (Philippe et al. 2008).

Altered somatosensory responses have been recently described in mouse strains harboring mutations in ASD-relevant genes, suggesting that whisker-dependent behaviors are a good proxy to study somatosensory processing defects relevant for ASD (Balasco et al. 2020; Orefice et al. 2020). Mouse whiskers are used to explore objects (Brecht 2007) and interact with conspecifics (Ahl 1986), thus allowing a detailed representation of the surrounding environment during navigation (Petersen 2007; Diamond et al. 2008).

Mice lacking the Shank3 gene are widely considered as a reliable model to study ASD-like symptoms relevant to PMS (Peça et al. 2011; Jaramillo et al. 2017). Interestingly, Shank3b/−/− mutant mice display aberrant whisker-independent texture discrimination and over-reactivity to tactile stimuli applied to hairy skin (Orefice et al. 2016). More recent findings showed that developmental loss of Shank3 in peripheral somatosensory neurons (that causes over-reactivity to tactile stimuli) also results in ASD-like behaviors in adulthood (Orefice et al. 2019). While deficits of peripheral somatosensory neurons result in aberrant tactile responses and ASD-relevant behaviors in Shank3 mutants, the neural substrates of whisker-dependent behaviors have been poorly investigated in this model.

Based on somatosensory processing deficits reported in PMS patients (Philippe et al. 2008), we hypothesized that similar abnormalities were present in Shank3b/−/− mice. Thus, we investigated the neural substrates of somatosensory responses in adult Shank3b/−/− and control mice. Shank3b/−/− mice showed hypo-reactivity to whisker-dependent cues, accompanied by reduced expression of the immediate-early gene c-fos in the primary somatosensory cortex (S1) and hippocampus. Finally, we used rsfMRI to probe functional connectivity between cortico-hippocampal regions that exhibited a reduced c-fos response. Our results indicate that Shank3b/−/− mice show hypo-connectivity within the somatosensory-hippocampal network.

Materials and Methods

Animals

Animal research protocols were reviewed and approved by the University of Trento animal care committee and Italian Ministry of Health, in accordance with the Italian law (DL 26/2014, EU 63/2010). Animals were housed in a 12 h light/dark cycle with food and water available ad libitum. All surgical procedures were performed under anesthesia and all efforts were made to minimize suffering. Shank3b mutant mice were originally generated by Peça et al. (2011) by targeting the fragment encoding the PDZ domain of SHANK3. These mutants display a complete loss of both Shank3α and Shank3δ isoforms, accompanied by a significant reduction of the putative Shank3γ isofrom. Shank3b mutants were purchased from The Jackson Laboratory and crossed at least five times into a C57BL/6 background. Heterozygous mating (Shank3b+/− × Shank3b+/−) was used to generate the Shank3b+/− and Shank3b−/− littersmates used in this study. PCR genotyping was performed according to the protocol available on The Jackson Laboratory website (www.jax.org). A total of 119 age-matched adult littersmates (63 Shank3b+/− and 56 Shank3b−/−; 3–6 months old; weight = 25–35 g) of both sexes were used. A total of 21 mice (11 Shank3b+/+ and 10 Shank3b−/−) were used for fMRI experiments and 67 mice (36 Shank3b+/+ and 31 Shank3b−/−) were used for behavioral testing. A subset of animals subjected to the WN test (nine Shank3b+/+ and seven Shank3b−/−) was used for c-fos mRNA in situ hybridization. An additional group of six Shank3b+/+ and five Shank3b−/− mice received only sham stimulation and were used as controls for in situ hybridization experiments (no difference in WN scores was detected between genotypes in this additional group of animals). Seven mice (three stimulated and four controls) per genotype were used for the c-fos mRNA study following whisker stimulation under anesthesia. Finally, three mice per genotype were used for awake head-fixed whisker stimulation. Previous studies showed that similar group sizes are sufficient to obtain statistically significant results in fMRI (Haberl et al. 2015; Sforazzini et al. 2016; Pagani et al. 2019), behavioral, and in situ hybridization studies (Tripathi et al. 2009; Provenzano et al. 2014; Chelini et al. 2019). All experiments were performed blind to genotype. Animals were assigned a numerical code by an operator who did not take part in the experiments and codes were associated with genotypes only at the moment of data analysis.

Open Field Test

Mice were habituated to the testing arena prior to the texture discrimination assessment, during two consecutive days (Fig. 1A). During these two sessions, each animal was placed in empty arena (40 cm × 40 cm × 40 cm) and allowed to freely explore for 20 min. The walls of the arena were smooth and gray
colored. Sessions were recorded and mice were automatically tracked using EthoVisionXT (Noldus). Distance traveled and time spent in center/borders were analyzed for both days of test.

**Textured Novel Object Recognition Test**

Whisker-mediated texture discrimination was assed as described (Wu et al. 2013), with minor modifications. Textured novel object recognition (tNORT) was performed in the same arena used for open field testing (Fig 1A). On the third day, cylinder-shaped objects (1.5 cm radius base × 12 cm height) covered in garnet sandpaper were placed into the arena test. The grit (G) of the object (i.e., how rough the sandpaper is) was chosen according to previously published protocols (Wu et al. 2013; Dominguez-Iturza et al. 2019), to favor whisker interaction. A 120 G sandpaper (very fine) was used for the familiar object, whereas 40 G sandpaper (very coarse) was used for the novel object. Many identical objects were created for each grit of sandpaper used in this study to avoid repetitive use of the same object across the testing period. This minimized the possibility that mice recognized one particular object using olfactory cues. In order to avoid possible visual confounders given to the different sandpaper grit, the test was performed in penumbra (4 lux). Adult mice have a very low visual acuity (Schmucker et al. 2005), which does not allow them to discriminate the grit of the two objects at this light intensity. In the first session (learning phase), mice were placed in the testing arena facing away from two identical textured objects (object A and object B; 120 G) and allowed to freely explore the objects for 5 min. This short time was selected to favor the investigation through whiskers. The textured objects were placed in the center of the arena, equidistant to each other and the walls. Mice were then removed and held in a separate transport cage for 5 min. This short time was selected to minimize hippocampal mediated learning (Wu et al. 2013). Prior to the start of the second session, the two objects in the arena were replaced with a third, identically textured object (familiar, 120 G) and a new object with different texture (novel, 40 G). The position of the novel versus the familiar object was counterbalanced and pseudorandomized (i.e., the position of the two objects was exchanged between each mouse and the following one). Mice were then placed back into the arena for the second session (testing phase) and allowed to explore for 5 min. Since mice have an innate preference for novel stimuli, an animal that can discriminate between the textures of the objects spends more time investigating the novel textured object, whereas an animal that cannot discriminate between the textures is expected to investigate the objects equally. The testing arena was cleaned with 70% ethanol between sessions and between animals to mask olfactory cues. The amount of time mice spent actively investigating each of the objects was assessed during both learning and testing phases. Investigation was defined as directing the nose toward the object with a distance of less than 2 cm from the nose to the object or touching the nose to the object. Resting, grooming, and digging next to, or sitting on, the object was not considered as investigation. If an animal did not interact with both objects during the learning phase, it was excluded from tNORT analysis. The activity of the mice during the learning and testing phase was recorded with a video camera centered above the arena and automatically tracked using EthoVisionXT (Noldus). The performance of the mice in the tNORT was expressed by the preference index. The preference index is the ratio of the amount of time spent exploring any one of the two objects in the learning phase or the novel one in the testing phase over the total time spent exploring both objects, expressed as percentage [i.e., A/(B + A) × 100 in the learning session and novel/(familiar + novel) × 100 in the testing session].

**Whisker Nuisance (WN) Test**

WN test was performed as previously described (Balasco et al. 2019; Chelini et al. 2019). Briefly, animals were allowed to habituate for 30 min to a novel empty cage (experimental cage) for 2 days before the test. To facilitate the habituation to the novel environment, home-cage bedding was placed overnight in the experimental cage and removed right before the introduction of the mouse. On test day, mice were acclimated with the experimental environment for 30 min, before the beginning of the testing phase. Testing phase was composed of four sessions, lasting 5 min each. In the first (sham) session, a wooden stick was introduced in the experimental cage, avoiding direct contact with the animal. The following three sessions consisted in stimulating mice’s whiskers by continuously deflecting vibrissae using the wooden stick. To dissect the complexity of behavioral response to mechanical whisker stimulation in freely moving mice, multiple behavioral categories were identified and independently quantified by an investigator blind to the experimental conditions. The identified categories, based on a previous version of the test (Balasco et al. 2019; Chelini et al. 2019), included freezing, guarded behavior, evasion, and response to stick. Freezing was scored when the animal was immobile in a defensive posture (passive guarded behavior: curved back, protracted neck and stretched limbs, or fully hunched posture). Active guarded behavior corresponded to a defensive posture when the animal was not immobile. Evasion was defined as the active avoidance of the stick by either running in the opposite direction or walking backward while keeping eye-contact with the object. Freezing, guarded behavior, and evasion were quantified as the time spent in each behavior. Finally, response to stick was split in two subcategories: climbing and startle. Climbing events were counted each time the animal attempted active exploration of the stick by rearing on the hindlimbs and reaching with the forelimbs; startle was defined as sudden and uncoordinated avoidance movement. Both climbing and startle were quantified as the number of events detected over the total time of observation.

**Whisker Stimulation under Anesthesia**

Mice were anesthetized with an intraperitoneal injection of urethane (20% solution in sterile double-distilled water, 1.6 g/kg body weight) and head-fixed on a stereotaxic apparatus. Urethane anesthesia was chosen as it preserves whisker-dependent activity in the somatosensory cortex (Unichenko et al. 2018). WS protocol consisted in three consecutive sessions (5 min each, with 1 min intervals) of continuous touch of the whiskers with a wooden stick (bilateral stimulation), thus reproducing the stimulation protocol used in the WN test (Chelini et al. 2019).

c-fos mRNA In Situ Hybridization

Mice were killed 20 min after the end of either sham, WN, anesthesia, WS, and head-fixed whisker stimulation and brains were rapidly frozen on dry ice. Coronal cryostat sections (20 μm thick) were fixed in 4% paraformaldehyde and processed for nonradioactive in situ hybridization (Bozzi et al. 2000; Tripathi et al. 2009) using a digoxigenin-labeled c-fos riboprobe. Signal was detected by alkaline phosphatase-conjugated antidigoxigenin
Figure 1. 

Shank3b−/− mice exhibit hypo-locomotion and deficits in texture discrimination. (A) Open field and textured novel object recognition test (tNORT) experimental design. (B and C) Quantification of open field performance by Shank3b+/+ and Shank3b−/− mice. Shank3b−/− mice traveled a significantly shorter distance over the two days of test, as compared to controls (B, ****P < 0.0001, Tukey’s test following two-way ANOVA). Both Shank3b+/+ and Shank3b−/− mice spent significantly more time in borders as compared to the center of the arena (C, ****P < 0.0001, Tukey’s test following three-way ANOVA), but the time spent in center and borders did not significantly differ between genotypes (C, P > 0.05, Tukey’s test following three-way ANOVA). (D–G) Quantification of tNORT performance by Shank3b+/+ and Shank3b−/− mice. Preference index (%) for object B (D) and time spent exploring objects in learning phase (E) did not differ between Shank3b+/+ and Shank3b−/− mice (P > 0.05, Tukey’s test following two-way ANOVA). In the testing phase, Shank3b−/− mice showed a significantly lower preference index (%) for the novel object, as compared to Shank3b+/+ mice (F, ****P < 0.001, Tukey’s test following two-way ANOVA), while spending the same time as controls in exploring objects (G, P > 0.05, Tukey’s test following two-way ANOVA). In D and F, the dashed line represents 50% in the preference index, meaning equal preference for both objects. See Materials and Methods for details about preference index calculation. All plots report the mean values ± SEM; each dot represents one animal. Genotypes are as indicated (n = 17 Shank3b+/+ and 13 Shank3b−/− for open field; n = 16 Shank3b+/+ and 13 Shank3b−/− for tNORT; one Shank3b+/+ mouse was excluded from tNORT analysis as it did not interact with objects during the learning phase).

antibody followed by alkaline phosphatase staining. Brain slices from different experimental paradigms were processed together for c-fos mRNA expression in order to exclude possible batch effects. Sense riboprobes, used as negative control, revealed no detectable signal (data not shown). Digital images from four to eight sections per animal were acquired at the level of the S1/dorsal hippocampus using a Zeiss Axioslager II microscope at 10× primary magnification. Since we could not exclude that in freely moving mice subjected to WN c-fos mRNA induction occurred in S1 subfields different from the whisker-specific
one, the signal was quantified in the whole S1 and not only in the barrel cortex. For consistency, the same quantification was performed following whisker stimulation under anesthesia and in head-fixed awake animals. Brain areas were identified according to the Allen Mouse Brain Atlas (https://mouse.brain-map.org).

Behavioral and In Situ Hybridization Data Analysis
Statistical analyses of behavioral and in situ hybridization data were performed with GraphPad Prism 8.0 software, with the level of significance set at $P < 0.05$. For behavioral experiments, statistical analysis was performed by two-way analysis of variance (ANOVA) followed by Tukey's or Bonferroni's post hoc test for multiple comparisons as appropriate. Three-way ANOVA followed by Tukey's post hoc test was used to quantify open field data. Kolmogorov–Smirnov and unpaired t-test were also used for two groups comparisons. To quantify c-fos mRNA signal intensity, acquired images were converted to 8-bit (gray-scale), inverted, and analyzed using the ImageJ software (https://imagej.net/Downloads). Mean signal intensity was measured in different counting areas drawn to identify S1 cortical layers, hippocampal subfields and other areas of interest. Mean signal intensity was divided by the background calculated in layer 1. Statistical analysis was performed by unpaired t-test or one-way ANOVA followed by Tukey's for post hoc multiple comparisons as appropriate.

Resting-State Functional MRI
Data Collection
Resting-state functional MRI (rsfMRI) time series acquisition in adult male Shank3b+/+ (n = 11) and Shank3b−/− (n = 10) mice has been previously described (Pagani et al. 2019). All functional connectivity analyses reported here were carried out on the rsfMRI scans acquired for the Pagani et al. (2019) study. The protocol for animal preparation employed was detailed in our previous studies (Bertero et al. 2018; Liska et al. 2018; Pagani et al. 2019; Pagani et al. 2021). Briefly, animals were anesthetized with isoflurane (5% induction), intubated and artificially ventilated (2% maintenance). After surgery, isoflurane was discontinued and replaced with halothane (0.7%). Recordings started 45 min after isoflurane cessation. Functional scans were acquired with a 7 T MRI scanner (Bruker Biospin, Milan, Italy) using a 72-mm birdcage transmit coil and a 4-channel solenoid coil for signal reception. For each animal, in vivo anatomical images were acquired with a fast spin echo sequence (repetition time [TR] = 5500 ms, echo time [TE] = 60 ms, matrix 192 × 192, field of view 2 × 2 cm, 24 coronal slices, slice thickness 500 μm). Cocentered single-shot BOLD rsfMRI time series were acquired using an echo planar imaging (EPI) sequence with the following parameters: TR/TE 1200/15 ms, flip angle 30°, matrix 100 × 100, field of view 2 × 2 cm, 24 coronal slices, slice thickness 500 μm for 500 volumes.

Functional Connectivity Data Analysis
Before mapping rsfMRI connectivity, raw data were preprocessed and denoised as previously described (Sforazzini et al. 2016; Michetti et al. 2017; Liska et al. 2018). First, the initial 50 volumes were removed to allow for T1 equilibration effects. Time series were then despiked, motion corrected and registered to a common reference template. Motion traces of head realignment parameters and mean ventricular signal were then used as nuisance covariates and regressed out from each time course. Before functional connectivity mapping, all time-series underwent also band-pass filtering (0.01–0.1 Hz) and spatial smoothing (FWHM = 0.6 mm). Target regions of long-range connectivity alterations in Shank3b−/− mice were mapped using seed-based analysis. Specifically, bilateral seeds of 3 × 3 × 1 voxels were placed in the dorsal hippocampus, S1, and ventral posteromedial nucleus of the thalamus (VPM) of Shank3b+/+ and Shank3b−/− mice to probe impaired functional connectivity between these regions and the rest of the brain. The location of the bilateral seeds employed for mapping are indicated in Figures 5 and 6. Functional connectivity was measured with Pearson’s correlation and r-scores were transformed to z-scores using Fisher’s r-to-z transform before statistics. Voxel-wise intergroup differences for seed-based mapping were assessed using a two-tailed Student's t-test ($t > 2$, $P < 0.05$) and family-wise error (FWE) cluster-corrected using a cluster threshold of $P = 0.01$. To quantify rsfMRI alterations we also carried out on functional connectivity measures in cubic regions of interest (3 × 3 × 1 voxels). The statistical significance of these region-wise intergroup effects was quantified using a two-tailed Student’s t-test ($t > 2$, $P < 0.05$).

Results
Shank3b−/− Mice Show Aberrant Texture Discrimination through Whiskers
Human research suggests that PMS is associated with aberrant sensory responses. To test the presence of a similar dysfunction in Shank3 mutant mice, we first tested Shank3b−/− and control mice in a whisker-dependent version of tNORT (Wu et al. 2013) (Fig. 1A) using sandpaper-wrapped cylinders that differ only in texture (smooth or rough; see Materials and Methods). As a general measure of locomotor activity and generalized anxiety we first assessed Shank3b−/− and control mice in an open field arena in two consecutive days. During both days of testing, Shank3b−/− mice showed a significantly reduced distance traveled (Fig. 1B; two-way ANOVA, Shank3b+/+ vs. Shank3b−/−; main effect of genotype $F_{(1, 56)} = 86.93, P < 0.0001$; main effect of testing days $F_{(1, 56)} = 52.05, P < 0.0001$; post hoc Tukey’s test, Shank3b+/+ vs. Shank3b−/− within day 1 and 2, $P < 0.0001$) and time spent moving (Supplementary Fig. 1) compared to control littermates. Both genotypes showed a significant reduction of distance traveled (Fig. 1B; Tukey’s post hoc following two-way ANOVA, Shank3b+/+ day 1 vs. Shank3b+/+ day 2 and Shank3b−/− day 1 vs. Shank3b−/− day 2; $P < 0.0001$) and time spent moving (Supplementary Fig. 1) between testing days, indicating habituation to the novel environment. Both genotypes also spent a comparable amount of time in center and borders of the open field arena (Fig. 1C) over the two days of habituation (three-way ANOVA, Shank3b+/+ vs. Shank3b−/−; main effect of genotype $F_{(1, 112)} = 0.03368, P = 0.8547$; main effect of days $F_{(1, 112)} = 0.4418, P = 0.5076$; main effect of arena regions $F_{(112, 112)} = 0.8501, P < 0.0001$). Finally, both Shank3b−/− and control mice displayed a preference for borders regions of the arena during both days of testing (Fig. 1C; Tukey’s post hoc following three-way ANOVA, center vs. borders within Shank3b+/+ and center vs. borders within Shank3b−/−; $P < 0.0001$), indicating a similar anxious behavior in both genotypes.

During the tNORT learning phase (Fig. 1D) both genotypes did not show any preference for one of the identical textured objects (unpaired t-test, Shank3b+/+ vs. Shank3b−/−, $P = 0.6219$). During
Shank3b−/− Mice Display Hypo-Reactivity to Repetitive Whisker Stimulation

We next used the whisker nuisance test (WN; Balasco et al. 2019, Chelini et al. 2019) to assess the behavioral responses to whisker stimulation in freely moving Shank3b−/− and control mice. Shank3b−/− and control mice were repetitively stimulated with a wooden stick over three sequential trial sessions of 5 min each following a sham session that allowed to set the baseline of behavioral responses (Fig. 2A). Four different behavioral responses (freezing, guarded behavior, evasion, and response to stick) were quantified (see Materials and Methods). Two-way ANOVA revealed a significant effect of trial for all behaviors analyzed (main effect of trial F(3, 140) = 14.83 for freezing; F(3, 140) = 14.12 for guarded; F(3, 140) = 22.06 for evading; F(3, 140) = 46.09 for climbing; F(3, 140) = 12.60 for startle; P < 0.0001; Figure 2B–E and data not shown), and a significant effect of genotype for evading, climbing, and startle behaviors (main effect of genotype F(1, 140) = 8.33 for evading; F(1, 140) = 9.49 for climbing; F(1, 140) = 6.98 for startle; P < 0.01; Figure 2D, E and data not shown). Overall, the behavioral responses of Shank3b+/+ and Shank3b−/− mice did not differ during the pre-stimulation (sham) session, with the two genotypes showing comparable guarded and evading behaviors when the stick was presented in proximity of the animal’s head, avoiding any contact (Kolmogorov–Smirnov test; Shank3b+/+ vs. Shank3b−/−, P > 0.05; Fig. 2C–E and Supplementary Table 3). Climbing and startle responses to stick were absent in both genotypes during the sham session. However, Shank3b−/− mice displayed significantly longer freezing during the sham session compared with controls (Kolmogorov–Smirnov test, Shank3b+/+ vs. Shank3b−/−; P = 0.006; Fig. 2B and Supplementary Table 3). Importantly, Shank3b+/+ and Shank3b−/− mice spent a comparable time moving during the sham session (Shank3b+/+: 176.7 ± 10.47 s; Shank3b−/−: 171.3 ± 13.76 s; Kolmogorov–Smirnov test; P = 0.9162). In the first trial, both genotypes exhibited significantly higher scores in freezing, guarded, and evading behaviors compared to the sham session, indicating a similar fearful response to whisker stimulation (Bonferroni’s test following two-way ANOVA; trial 1 vs. sham within Shank3b+/+ and Shank3b−/−; P < 0.05; Fig. 2B–D). However, Shank3b−/− mice spent significantly less time in evasion compared to Shank3b+/+ controls, while no difference was observed in freezing and guarded behaviors during trial 1 (Kolmogorov–Smirnov test; Shank3b+/+ vs. Shank3b−/− within trial 1, P > 0.05 for evading, P > 0.05 for freezing and guarded; Fig. 2B–D and Supplementary Table 3). Both genotypes exhibited a significant reduction in freezing, guarded, and evading behaviors from the first to the third trial, indicating habituation to repetitive whisker stimulation (Bonferroni’s test following two-way ANOVA; trial 3 vs. trial 1 within Shank3b+/+ and Shank3b−/−; P < 0.05 for all behaviors; Fig. 2B–D). Moreover, Shank3b−/− mice displayed significantly impaired curiosity toward the stimulus, as indicated by their fewer climbing events compared to controls during trial 3 (Kolmogorov–Smirnov test; Shank3b+/+ vs. Shank3b−/− within trial 3, P = 0.004; Fig. 2E and Supplementary Table 3). Finally, no major differences in behavioral scores were observed between sexes within the two genotypes, with few exceptions (see Supplementary Table 4 for details and statistical analyses). These findings indicate that Shank3b−/− mice are less prone to engage in proactive behaviors in response to invasive whisker stimulation compared to control animals. Thus, lack of Shank3b does not affect the ability of experiencing fear, but drastically disrupts the way the animal responds to a novel and invasive stimulus.

Shank3b−/− Mice Lack c-fos mRNA Induction in S1 and Hippocampus Following Repetitive Whisker Stimulation

Shank3b−/− mice sensory hypo-responsiveness in the WN task led us to investigate the neural substrates of aberrant whisker-dependent behaviors in these mutants. To this aim, we used c-fos mRNA expression as a molecular proxy for neural activity (Filipkowski et al. 2000; Chelini et al. 2019) and quantified in situ hybridization signal intensity in multiple regions of Shank3b−/− and control brains 20 min after either a sham or WN session. Comparable expression of c-fos mRNA was observed in both genotypes following the sham session (Fig. 3A and Supplementary Table 5). Conversely, while WN induced a significant upregulation of c-fos mRNA in Shank3b+/+ S1 (Fig. 3A and Supplementary Fig. 4), no difference between sham and WN mice was detected in Shank3b−/− mice in the same area (Fig. 3C; Tukey’s post hoc following one-way ANOVA, Sham vs. WN in Shank3b+/+ P < 0.0001). c-fos mRNA levels in the hippocampus did not differ between sham and WN animals in both genotypes (Fig. 3B; P > 0.05, one-way ANOVA). No difference in c-fos mRNA expression was detected between the two genotypes in other brain regions analyzed, including the motor cortex (Supplementary Fig. 5).

We next investigated c-fos mRNA induction following whisker stimulation (WS) in lightly anesthetized Shank3b+/+ and Shank3b−/− mice. In situ hybridization experiments showed comparable levels of c-fos mRNA in S1 and hippocampus in both genotypes following anesthesia only (Fig. 4A, B and Supplementary Table 6). WS resulted in marked induction of c-fos mRNA in S1 (Fig. 4A) and hippocampus (Fig. 4B) of Shank3b+/+ but not Shank3b−/− mice, as compared to anesthetized unstimulated controls. Quantification of c-fos mRNA signal intensity confirmed these findings (Fig. 4C and D; Tukey’s post hoc following one-way ANOVA; anesthesia vs. WS P < 0.0001 in Shank3b+/+ S1 and hippocampus; see also Supplementary Fig. 6). WS also induced a significant c-fos mRNA upregulation in the somatosensory thalamus (VPM, ventral posterior medial nucleus, which receives afferents from whiskers via brainstem nuclei and projects to S1 layer 4; Petersen 2007) and amygdala of Shank3b+/+ but not Shank3b−/− mice, as compared to anesthetized unstimulated controls (Supplementary Fig. 7C and D). No difference in c-fos mRNA expression was detected between the two genotypes in other brain regions analyzed (Supplementary Fig. 7A and B).
Figure 2. Shank3b−/− mice display reduced evading and exploratory behaviors following repetitive whisker stimulation in WN. (A) WN experimental design; four different parameters (freezing, guarded behavior, evasion, and climbing in response to stick presentation) were monitored across the sham session (no tactile stimulation) and three different experimental trials of repetitive whisker stimulation (see Materials and Methods for details). (B–D) Time spent in freezing (B), guarded behavior (C), and evading (D), expressed as % over the total time of observation in each session (sham and trials 1–3). (E) Number of climbing events in response to stick presentation, expressed as the total number of events during each session (sham and trials 1–3). All plots report mean values ± SEM. ∗P < 0.05, ∗∗P < 0.01, Komogorov–Smirnov test. Genotypes are as indicated (n = 19 Shank3b+/+ and 18 Shank3b−/−).

To further investigate the whisker-dependent responses of the same brain areas, we next performed a protocol of automated unilateral whisker stimulation in head-fixed awake animals. We found a significant upregulation of c-fos mRNA in the stimulated S1 (contralateral to whisker stimulation) of both genotypes (Supplementary Fig. 8A and B; Tukey’s post hoc following one-way ANOVA, Shank3b+/+ Stim vs. Shank3b−/− Stim P = 0.0128). No differences were found between non-stimulated S1 (ipsilateral to whisker stimulation) of both genotypes (Supplementary Fig. 8A, B; Tukey’s post hoc following one-way ANOVA, Shank3b+/+ No Stim vs. Shank3b−/− No Stim, P = 0.0906). Moreover, quantification of mRNA induction in the stimulated S1 was lower in Shank3b−/− mice compared to Shank3b+/+ controls (Supplementary Fig. 8B; Tukey’s post hoc following one-way ANOVA, Shank3b+/+ Stim vs. Shank3b−/− Stim P = 0.0128). No differences were found between non-stimulated S1 (ipsilateral to whisker stimulation) of both genotypes (Supplementary Fig. 8A, B; Tukey’s post hoc following one-way ANOVA, Shank3b+/+ No Stim vs. Shank3b−/− No Stim, P = 0.0906).
**Figure 3.** Shank3b<sup>−/−</sup> mice lack c-fos mRNA induction in S1 and following WN. (A, B). Representative images of c-fos mRNA in situ hybridization in S1 and hippocampus of Shank3b<sup>+/+</sup> and Shank3b<sup>−/−</sup> mice, 20 min following sham or WN. Scale bars, 500 μM. (C) Quantification of c-fos mRNA signal intensity in S1 following sham and WN. (D) Quantification of c-fos mRNA signal intensity in the hippocampus following sham and WN. Values are expressed as mean signal intensities ±SEM (see Materials and Methods). **** P < 0.0001, Tukey post hoc test following one-way-ANOVA (n = 44 sections from nine Shank3b<sup>+/+</sup> mice and 40 sections from seven Shank3b<sup>−/−</sup> mice following WN and n = 43 sections from six Shank3b<sup>+/+</sup> mice and 37 sections from five Shank3b<sup>−/−</sup> mice following sham). Genotypes and treatments are as indicated. Abbreviations: CA1/2/3, hippocampal pyramidal cell layers; DG, dentate gyrus; Hp, hippocampus; L2–6, S1 cortical layers; S1, primary somatosensory cortex.

c-fos mRNA in the hippocampus revealed a significantly reduced activation in stimulated Shank3b<sup>−/−</sup> compared to stimulated Shank3b<sup>+/+</sup> controls (Supplementary Fig. 8C and D; unpaired t-test P = 0.0001). No difference in c-fos expression was detected between the two genotypes in other brain regions analyzed (Supplementary Fig. 8E–H and Supplementary Table 7).

These findings indicate that in Shank3b<sup>−/−</sup> mice, whisker stimulation does not result in c-fos mRNA induction in both S1 and hippocampus, suggesting an impaired crosstalk between these two areas in Shank3b mutants.

**Reduced Long-Range Functional Connectivity between Hippocampus and S1 in Shank3b<sup>−/−</sup> Mice**

We previously showed that loss of Shank3b leads to profoundly altered cortico-cortical functional coupling (Pagani et al. 2019). To investigate whether the regional deficits observed upon repetitive whisker stimulation could be linked to similarly impaired hippocampus-S1 functional synchronization, we probed rsfMRI connectivity of the dorsal hippocampus, a brain region characterized by a decreased c-fos signal in Shank3b mutants (Figs 3 and 4). Interestingly, rsfMRI mapping revealed markedly reduced functional connectivity between the dorsal hippocampus and S1 (**t** > 2, P < 0.05 and FWER cluster-corrected using a cluster threshold of **P** = 0.01, Fig. 5A). Quantification of rsfMRI signal in regions of interest confirmed impaired functional connectivity between hippocampus and S1 (**t** = 3.57, P = 0.002, Fig. 5B), which, like the dorsal hippocampus, we found not to be activated by sensory stimuli in Shank3b<sup>−/−</sup> mice (Figs 3, 4 and Supplementary Fig. 8). To rule out the presence of connectivity alterations between VPM and S1, and between these two regions and the brainstem trigeminal nucleus (TN), we then carried out seed-based connectivity analysis of the VPM and S1. Seed-based mapping of VPM revealed preserved rsfMRI...
Figure 4. Shank3b−/− mice lack c-fos mRNA induction in S1 and following whisker stimulation under anesthesia. (A, B) Representative images of c-fos mRNA in situ hybridization in the primary somatosensory cortex (A) and hippocampus (B) of Shank3b+/+ and Shank3b−/− mice, 20 min following anesthesia or whisker stimulation under anesthesia. Scale bars, 500 μm. (C, D) Quantification of c-fos mRNA signal intensity in S1 (C) and hippocampus (D) of Shank3b+/+ and Shank3b−/− mice. Values are expressed as mean normalized signal intensities ±SEM. ****p < 0.0001 Tukey post hoc test following one-way-ANOVA (n = 19 sections from three Shank3b+/+ mice and 18 sections from three Shank3b−/− mice following WS and n = 30 sections from four Shank3b+/+ mice and 32 sections from four Shank3b−/− mice following anesthesia). Genotypes and treatments are as indicated. Abbreviations: CA1/2/3, hippocampal pyramidal cell layers; DG, dentate gyrus; Hp, hippocampus; L2–6, S1 cortical layers; S1, primary somatosensory cortex.

Discussion

In this study, we report that Shank3b mutant mice display aberrant whisker-dependent behaviors. This trait is associated with altered response and coupling of circuits involved in sensory processing. Specifically, we found that Shank3b−/− adult mice showed normal exploration through whiskers but reduced texture discrimination in a whisker-dependent task. Shank3b−/− mice showed hypo-responsiveness to repetitive whisker stimulation, accompanied by a significantly reduced c-fos mRNA induction in S1 and hippocampus. Finally, using rsfMRI, we detected decreased long-range functional connectivity between hippocampus and S1 in mutant mice, but preserved functional connectivity with S1 and trigeminal nucleus in Shank3b−/− mice (|t| > 2, P < 0.05 and FWER cluster-corrected using a cluster threshold of P = 0.01, Fig. 6A). Similarly, seed-based mapping of the S1 revealed preserved rsfMRI connectivity with VPM and TN in Shank3b−/− mice (|t| > 2, P < 0.05 and FWER cluster-corrected using a cluster threshold of P = 0.01, Fig. 6B). The presence of unaltered rsfMRI connectivity between the VPM and S1 was confirmed by quantifications in regions of interest (t = 0.68, P = 0.50, Fig. 6C). These findings suggest that the reduced cortical-hippocampal response observed in Shank3b mutants might specifically result from a weakened functional coupling between hippocampal and somatosensory areas.
Figure 5. Impaired functional connectivity between hippocampus and primary somatosensory cortex (S1) in Shank3b−/− mice. (A) Seed-based connectivity maps of the dorsal hippocampus in Shank3b+/+ and Shank3b−/− mice. Red-yellow represents brain regions showing significant rsfMRI functional connectivity with the dorsal hippocampus (HP) in Shank3b+/+ (left) and Shank3b−/− mice (middle). Seed region is depicted in green. Brain regions showing significantly reduced rsfMRI connectivity in Shank3b−/− mutants with respect to Shank3b+/+ control littermates are depicted in blue/light blue (right). (B) Functional connectivity was also quantified in reference volumes of interest (green) placed in S1. Error bars represent SEM. **P < 0.01 (unpaired t-test, n = 11 Shank3b+/+ and 10 Shank3b−/−; each dot represents one animal). Genotypes are as indicated.

coupling between S1 and somatosensory areas of the thalamus and brainstem. We propose that hypo-connectivity and reduced activation of cortico-hippocampal circuits might represent a functional substrate for hypo-reactivity to whisker-dependent cues in Shank3b−/− mice.

Previous studies investigated tactile discrimination in mouse strains harboring ASD-related mutations, including Shank3b mutants (Orefice et al. 2016; Orefice et al. 2019). In these investigations, tNORT was performed after whisker removal to avoid whisking investigations and promote object exploration via paws’ glabrous skin. The reported results suggest that whisker-independent aberrant texture discrimination is a common trait in ASD mouse models (Orefice et al. 2016; Orefice et al. 2019). Mice use their whiskers to explore and navigate the surrounding environment (Ahl 1986; Brecht 2007; Diamond et al. 2008; Diamond and Arabzadeh 2013). Interestingly, whisker-dependent responses are affected in mouse strains bearing mutations in ASD-relevant genes (He et al. 2017; Chelini et al. 2019; Balasco et al. 2020; Chen et al. 2020; Pizzo et al. 2020). Here we sought to investigate the neural substrates of whisker-dependent behaviors in Shank3b−/− adult mice. We first used a version of tNORT specifically designed to favor whisker-mediated object exploration (see and Materials and Methods) (Wu et al. 2013). In this task, the majority (8 out of 13; 61%) of Shank3b−/− mice spent significantly less time exploring the novel (differently textured) object than control mice (Fig. 1F). However, some Shank3b−/− mice (5 out of 13, 39%) spent about 60% of their time exploring the novel object (Fig. 1F), indicating a certain variability in texture-dependent discrimination in these mutants. Together, these results indicate that Shank3b−/− mice do not avoid object interaction through whiskers, yet showing aberrant whisker-dependent texture discrimination. We cannot exclude the possibility that their hypo-locomotor phenotype (Fig. 1B) partially affects whisker-guided exploration, as suggested by the reduced distance traveled and velocity in both learning and testing phases of the tNORT (Supplementary Fig. 3). However, Shank3b−/− mice spent the same amount of time investigating objects as controls (Fig. 1E and G).

We next asked whether Shank3b−/− mice are less prone to engage in proactive behaviors in response to novelty or threats. We thus used the WN test to study Shank3b−/− mice behavior.
Figure 6. Preserved functional connectivity between VPM, S1 and TN in Shank3b−/− mice. (A) Seed-based connectivity maps of the VPM in Shank3b+/+ and Shank3b−/− mice. Red-yellow represents brain regions showing significant rsfMRI functional connectivity with the VPM in Shank3b+/+ (left) and Shank3b−/− mice (middle). Seed region is depicted in green. Intergroup genotype-dependent comparisons show unimpaired connectivity of the VPM in Shank3b−/− mice (right). (B) Seed-based connectivity maps of S1 in Shank3b+/+ and Shank3b−/− mice. Red-yellow represents brain regions showing significant rsfMRI functional connectivity S1 in Shank3b+/+ (left) and Shank3b−/− mice (middle). Seed region is depicted in green. Intergroup genotype-dependent comparisons show preserved functional connectivity of S1 in Shank3b−/− mice (right). (C) Region-based quantifications confirmed that functional connectivity between VPM and S1 was unimpaired in Shank3b−/− mice, as quantified in reference volumes of interest (green) (unpaired t-test, t = 0.68, P = 0.50, n = 11 Shank3b+/+ and n = 10 Shank3b−/− mice, each dot represents one animal). Error bars represent SEM.
in response to active whisker stimulation. This test has been recently used to characterize whisker-dependent behaviors in mice harboring ASD-relevant mutations (Chelini et al. 2019; Pizzo et al. 2020). Here we used a quantitative approach to score behavioral responses to stimulus presentation (freezing, guarded, evading, and stick-climbing behaviors). Shank3−/− mice displayed a significantly reduced avoidance behavior in the WN test, as indicated by their lower score in the evasion and climbing categories (Fig. 2D and E). During the sham session, both genotypes showed comparable responses in evading, guarded, and climbing behavior (Fig. 2C−E), while Shank3−/−/− mice displayed significantly more freezing (Fig. 2B).

Both genotypes showed a comparable fear response (freezing and guarded behaviors) to repetitive whisker stimulation (Fig. 2B and C), suggesting that anxiety does not affect mice’s performance in the WN test. Finally, we detected no major differences in behavioral scores between male and female mice of both genotypes (Supplementary Table 4), in line with other behavioral studies previously performed (Angelakos et al. 2019; Oreifice et al. 2019). As discussed for the tNORT experiment, we cannot completely rule out that behavioral hypo-reactivity of Shank3−/− mice in the WN test is partially due to their hypo-locomotor phenotype. However, it is important to point out that Shank3−/+ and Shank3−/−/− mice spent a comparable time moving during the sham session, and no differences were detected in motor cortex activation between the two genotypes post WN (Supplementary Fig. 5A), suggesting the reduced reactivity to whisker stimulation is not totally due to confounding motor issues. Taken together, our results indicate that Shank3−/−/− mice display reduced evasive responses to active whisker stimulation, suggesting that Shank3−/−/− mice are less prone to engage in proactive behaviors in response to novel, invasive tactile stimuli.

Recent studies showed that repetitive whisker stimulation during the WN test results in the altered expression of the activity marker c-Fos in several brain areas of ASD mouse models (Chelini et al. 2019; Pizzo et al. 2020). Whisker stimulation during WN resulted in c-fos mRNA downregulation in Shank3−/−/− S1 and hippocampus (Fig. 3), but not other brain areas (Fig. 4). Accordingly, whisker stimulation under anesthesia selectively downregulated c-fos mRNA in the same regions of Shank3−/−/− mice (Figs 4 and 5). Recent findings showed that Shank3−/−/− mice exhibit increased sensitivity to whisker stimulation in a vibrissa motion detection task (Chen et al. 2020). Specifically, Shank3−/−/− mutants showed increased sensitivity to weak but not strong stimuli applied to the whiskers. The authors also showed that this hyper-reactivity to weak tactile stimulation was due to increased excitation/inhibition (E/I) balance resulting from increased firing of excitatory neurons and reduced firing of GABAergic interneurons in S1 (Chen et al. 2020). Accordingly, a reduced expression of the GABAergic marker parvalbumin was detected in Shank3−/−/− brains (Filice et al. 2016; Oreifice et al. 2019). Contrary to Chen et al. (2020), we show that Shank3−/−/− mice are hypo-reactive to repetitive whisker stimulation (Fig. 2).

The different experimental protocols used in the two studies (Chen et al. 2020: psychometric, imaging, and electrophysiological measurements following weak whisker stimulation in head-restrained mice; this study: behavioral phenotyping and c-fos mRNA expression analysis following repetitive whisker stimulation in freely moving animals) do not allow us to directly compare the obtained results. We also show that, in Shank3−/−/− mice, whisker-dependent hypo-reactivity is accompanied by the lack of c-fos mRNA induction in S1 and hippocampus (Figs 3, 4, and Supplementary Fig. 8), indicating a possible reduction of E/I balance. Further studies are needed to better characterize the neuronal subtypes showing altered c-fos mRNA regulation in Shank3−/−/− mice. The absence of c-fos mRNA upregulation observed in Shank3−/−/− S1 and hippocampus following repetitive whisker stimulation is consistent with synaptic impairment previously shown in Shank3 mutants (Bozdagi et al. 2010; Yang et al. 2012), and might reflect a diminished neuronal response to repetitive whisker stimulation.

The hippocampus receives inputs from the somatosensory cortex (Lavenex and Amaral 2000), and several studies indicate that many inputs control hippocampal neurons’ responses. CA1 pyramidal cells receive information from the whiskers via the somatosensory thalamus (VPM) and entorhinal cortex (Pereira et al. 2007). Somatosensory stimulation increases DG granule cell firing, while predominantly inhibitory responses occur in CA1 (Bellistri et al. 2013). Hippocampal neurons use afferent somatosensory information to dynamically update spatial maps (Pereira et al. 2007). Accordingly, blockade of tactile transmission by applying lidocaine on the whisker pad decreased the firing rate of hippocampal place cells, resulting in expanding their place fields in the rat (Gener et al. 2013). Synchronized activity between S1 and hippocampus is crucial for the formation of cognitive maps. In the rat, coherence between S1 firing and hippocampal activity increases when the animal collects sensory information through whiskers, and such coherence enhances the integration of somatosensory information in the hippocampus (Grion et al. 2016). In mice, tactile experience enrichment induces c-Fos expression in the hippocampus and improves memory by modulating the activity of DG granule cells that receive sensory information from S1 via the entorhinal cortex (Wang et al. 2020). Chemogenetic activation of DG neurons receiving tactile stimuli results in memory enhancement, while inactivation of DG or S1-innervated entorhinal neurons has opposite effects (Wang et al. 2020). Thus, tactile experience modifies cognitive maps by modulating the activity of the S1 to hippocampus pathway, confirming the importance of this circuit in somatosensory information processing. Within this framework, the observed rsfMRI hypo-connectivity between the dorsal hippocampus and S1 might represent a network substrate for the reduced activation of these areas (Figs 3 and 5) and restricted behavioral responses (Fig. 2) following repetitive whisker stimulation in Shank3−/−/− mice. This conclusion is strengthened by the observation that Shank3−/−/− mice show a preserved connectivity between S1 and thalamic/brainstem somatosensory areas (Fig. 6).

Aberrant responses to whisker stimulation are frequently detected in mice harboring ASD-relevant mutations (Balasco et al. 2020), suggesting that whisker-dependent behaviors are a good proxy for tactile defensiveness that is often observed in ASD (Mikkelsen et al. 2018) and specifically in PMS (Tavassoli et al. 2021). Our findings suggest that impaired crosstalk between hippocampus and S1 might underlie hypo-reactivity to whisker-dependent cues in Shank3−/−/− mice. Further studies are needed to extend these findings to ASD.

Supplementary Material
Supplementary material can be found at Cerebral Cortex online.

Author Contributions
LB designed and performed behavioral and in situ hybridization experiments, analyzed data, and wrote the manuscript; MP performed rsfMRI experiments, analyzed data, and drafted the
paper; LP, AGCC, ES, and LM performed behavioral experiments; GC analyzed data and drafted the paper; AGa provided technical assistance for rsfMRI experiments; GI performed whisker stimulation experiments in head-fixed animals, drafted the paper, and provided funding; GP performed behavioral experiments and edited the manuscript; AGo designed rsfMRI experiments, analyzed data, provided funding, and edited the manuscript; YB performed in situ hybridization experiments, analyzed data, supervised the whole study, provided funding, and wrote the manuscript.

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**Notes**

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