Effects of exergames training on postural balance in patients who had a chronic stroke: study protocol for a randomised controlled trial

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ABSTRACT

Introduction Exergames training, as an additional therapy to standard care, has been widely used for motor recovery after patients who had a stroke, and it is a valuable and positive tool in the rehabilitation of this population. This study describes a single-blind randomised clinical trial that will aim to investigate the effects of exergames training on postural balance in patients with chronic stroke.

Methods and analysis Forty-two individuals with chronic stroke (>6 months), aged 20–75 years, will be randomised into two groups: the experimental group, which will be subjected to an exergame protocol, and control group, which will undergo a kinesiotherapy protocol. Both protocols are based on postural balance. The intervention will consist of 40-minute sessions two times per week for 10 consecutive weeks. The volunteers will be evaluated before the treatment, at the end of the interventions and 8 weeks thereafter. The primary outcome will be postural balance (Berg Balance Scale, Functional Reach Test, Timed Up and Go test and Centre of Pressure variables) and secondary outcomes will include gait (6 m timed walk and Kinova Software), cortical activation patterns (electroencephalography Emotiv EPOC), functional independence (Functional Independence Measure), quality of life (Stroke-Specific Quality of Life Scale) and motivation (Intrinsic Motivation Inventory).

Ethics and dissemination This protocol was approved by the Ethics Committee of the Federal University of Rio Grande do Norte (number 3.434.350). The results of the study will be disseminated to participants through social networks and will be submitted to a peer-reviewed journal and scientific meetings.

Trial registration number Brazilian Registry of Clinical Trials (RBR-78v9hx).

INTRODUCTION

According to the WHO, cerebrovascular disease was the leading cause of death worldwide in 2016. Of those deaths, 5.78 million were directly attributed to stroke, making it the main non-communicable cause of death. In Brazil, stroke resulted in approximately 100 000 deaths in 2014, and data indicate that approximately 568 000 affected individuals suffer from severe disability, making stroke the leading cause of disability in adults.

Following stroke, various aspects of balance function are altered, such as delay in regaining the ability to assume the standing posture, loss of balance, asymmetry between the right and left limbs, increased postural sway and decreased weight bearing on the affected side. Postural balance is important for functional tasks such as sitting, sit-to-stand and walking. Dysfunction leads to alterations in weight distribution patterns, causing the paretic leg to take less load. These changes increase the risk of falling, cause difficulties in executing functional activities and cause reduction in performance of daily living activities, leading to a consequent reduction in social participation, which can aggravate the clinical situation.

Underuse of the impaired limb results in suppression of the cortical representation of the affected limb and further inhibition of its...
use. The existence of cortical neural resources specialised in capturing changes in postural stability, which have been detected by changes in electroencephalography (EEG), supports the idea that postural adjustments are not only due to muscle responses to disorders but also due to cortically controlled intentional movements that may be altered following stroke.

One major component of stroke rehabilitation is exercise therapy, and motor skill learning is particularly attractive because practice-induced improvement of sensorimotor performance supports the development of new aptitudes, providing the flexibility to adapt to changing conditions.

From this perspective, exergame training has been widely used in rehabilitation with the aim of improving sensorial, cognitive, psychological and motor function. They have been characterised as experiences that simulate a real environment in which the user can interact with the scenario created by the game through the involvement of multisensory aspects. Exergames applications have the potential to apply relevant concepts of neuroplasticity, such as repetition, intensity and task-oriented training of the paretic extremity, and may entrain several brain areas involved in motor planning and learning, thus leading to an enhanced motor performance in rehabilitation.

There are some evidences to suggest the effectiveness of exergames in improving upper limb function and balance as an additional therapy to standard care in patients who had a stroke. Therefore, therapy based on exergames is a valuable and positive tool for the rehabilitation of this population.

A meta-analysis by Lee et al found moderate evidence to support the effect of exergame training on improved lower limb function, including balance and gait, to a similar degree as upper limb function in patients who had a chronic stroke, suggesting that this technique may be used as a complementary treatment method alongside traditional rehabilitation therapy. However, most of the studies in this meta-analysis increased the overall treatment time by adding exergame training to conventional treatment, which may be the reason for the observed outcomes.

Considering the above evidence, it is paramount to investigate the isolated effectiveness of exergames rehabilitation and its contributions to positive changes in postural balance in patients who had a stroke, as this may provide additional evidence for the rehabilitation process in this population. From this perspective, it is hypothesised that training based on exergames improves postural balance, cortical activation, functionality, quality of life and motivation of patients who had a chronic stroke.

The purpose of this study to investigate the effects of exergame training on postural balance in patients who had a chronic stroke and to explore changes in cortical activation patterns, functionality, quality of life and motivation.

Methods and Analysis

Design
A single-blind randomised controlled clinical trial that follows the recommendations of the Standard Protocol Items: Recommendations for Interventional Trials will be carried out (figure 1). Participants will be randomised to receive the exergame protocol (experimental group (EG)) and kinesiotherapy protocol (control group (CG)) (figure 2).

Participants
The study population will consist of 42 patients who had a chronic stroke living in the city of Natal or nearby. A volunteer selection will be carried out at the stroke patient care centres in the city. The selection can also be carried out via spontaneous demand by the voluntary search of patients who had a stroke after project advertisement on social media. After this, the first telephone contact will be made to clarify any questions from the participants and the first screening for inclusion will be performed.

Inclusion and exclusion criteria
The participants will be selected according to the following criteria: (1) first episode of unilateral stroke (ischaemic or haemorrhagic); (2) postural balance deficits (Berg Balance Scale score (BBS))<45; (3) injury
time ≥ 6 months; (4) age between 20 and 75 years; (5) at maximum level 2 of the modified Ashworth Scale to assess the spasticity of the paretic lower limb22; (6) good cognitive status based on the Mini-Mental State Examination (MMSE) (≥ 21 for illiterate, ≥ 24 for literate)23; (7) ability to walk without personal assistance indoors (Functional Ambulation Category (FAC)) scores ≥ 324; (8) clinically stable, with no history of epilepsy or seizures in the last 6 months; (9) not having signs of unilateral neglect or sensory or global aphasia as assessed by National Institute Health Stroke Scale (NIHSS))25; (10) no uncorrected hearing and/or visual impairments; (11) not participating in a balance treatment protocol and (12) ability to understand and obey simple motor commands.

Exclusion criteria will include (1) presenting other clinical conditions affecting balance and (2) pregnancy.

Sample size
Using an online calculator26 and based on previous study values (51.0±4.6 and 46.2±5.7)27, a total sample of 42 participants (21 in EG and 21 in CG) will be sufficient to detect a clinically important difference between the groups on the BBS. A statistical power of 80%, an alpha of 5% and a loss rate of 10% were considered for the sample calculation.

Randomisation and blinding
A randomisation sequence will be generated by a computer28 in 3 blocks of 12 participants and 1 block of 6 participants, allowing participants to be equally distributed between the two groups. This stage will be conducted by a researcher who is not involved in the study, they will keep the randomisation list confidential until the end of the study and will organise the allocation in sequentially numbered opaque envelopes. These envelopes will be sealed, and the randomisation sequence will be enforced using colour coding for the study groups (blue and red), that will correspond to the protocol that will be executed. The contents of each envelope will be revealed at the beginning of each patient’s training by the study therapists responsible for the intervention to maintain allocation confidentiality. The same therapists involved in CG training will perform training in the EG. The researcher responsible for evaluations will be blinded to all intervention groups. The only variables that will be collected during the training will be evaluated by the study therapists (non-blind). Statistical analysis will be performed by a blind researcher who will treat the groups according to colour, and the equivalence between groups and colours will be revealed on completion of the statistical analysis. The main researcher will have access to the final trial dataset; this researcher will decide on terminating the trial. All information about participants will be confidentiality before, during and after the trial.

Evaluation procedures
The researchers will be trained before data collection procedures to ensure reliability of measurements, and the participants will be submitted to assessment using all the instruments mentioned below.

Measures
Sample characterisation measures
- Cognition: The MMSE is a validated instrument in Brazil used to assess cognitive function. The total score ranges from 0 to 30 points, and the higher the score, the better the cognitive ability. Values are interpreted according to educational status. Good cognitive status is considered with scores of 24 points higher for literate persons and 19 or higher for illiterate persons.23
- Ability to walk: This will be evaluated by the FAC, which is a sensitive and reliable instrument for gait evaluation in patients who had a stroke with hemiparesis24 and ranks the ability to walk according to the amount of physical support required for the task. The score can vary from 0 (unable to walk or needs the help of two therapists) to 5 (independent in locomotion).
- Spasticity: The modified Ashworth scale allows the subjective assessment of muscle tone and classifies the affected segments from 0 (normal tone) to 5 (rigid affected part).22
- Clinical and demographic data: Personal, anthropometric, demographic and pathological data (including, injury time, paretic side, stroke type), and clinical history (history of falls, physical therapy treatment and previous use of exergames) will be collected.
- Neurological impairment: NIHSS is a specific instrument to assess the severity of stroke via 10 items and has been reported to have excellent validity and reliability.25

Figure 2  The schematic study design.
Outcome measures
All outcome measures will be assessed in both intervention groups. The primary outcome measures considered in this study are as follows:

Postural balance
- BBS: It is a valid and reliable instrument for measuring both the static and dynamic aspects of balance in people after stroke. BBS scores range from 0 to 56, and values below 45 points are predictive of falls, indicating a significant change in balance. In the present study, test scores with the paretic limb positioned behind will be used in item 13 and unipodal support over the paretic limb will be used in item 14, minimising the ceiling effect in individuals with better balance.
- Functional Reach Test: It assesses a patient’s stability by measuring the maximum forward distance an individual can reach while standing in a fixed position. It is widely used to identify the risk of falling. Displacements<15cm indicate patient fragility and risk of falls.
- Timed Up and Go test: It is a valid instrument for assessing mobility and functional balance involving power, speed and agility. Performing the test within 10s is considered normal for healthy, independent adults without the risk of falls. Values from 11s to 20s are expected for disabled or frail elderly people with partial independence and a low risk of falls. Values>20s suggest significant physical mobility deficits and risk of falls.
- Centre of Pressure (CoP) variables: Data for total displacement, anteroposterior and mediolateral velocity of the CoP will be assessed using the gold standard equipment for balance assessment, the force platform (FP). The Bertec model 4060 connected to an external amplifier (Bertec AM651X) will be used.

The secondary outcome measures considered in this study are as follows:

Cortical activation pattern
Alpha and beta waves will be evaluated based on their relationship with the motor learning process, using the Emotiv EPOC portable 14 sensor EEG device, a gyroscope capable of detecting changes in the movement performed.

Gait kinematic analysis
The spatiotemporal and angular gait variables will be evaluated using the 6-metre timed walk test (6MTW) and Kinovea software.
- 6MTW: It is a valid and reliable test for the assessment of the walking ability of patients who had a stroke. Gait speed should be self-selected and considered comfortable and usual for the participant. Studies show variation in mean habitual speed (0.45–0.78 m/s) of gait in individuals with hemiparesis.
- Software Kinovea: Kinematic evaluation will be performed during gait video capture (6MTW) using the Sony DCR-DVD850 digital camera, 02.7/6.7 cm LCD (liquid crystal display) screen and 60× optical zoom. Data will later be exported to Kinovea V.0.8.15 software for paretic lower limb angle and gait speed analysis. This is a public domain video editing and analysis software that is valid, reliable and capable of accurately measuring distances up to 5m from the object.

Functional independence
The Functional Independence Measure (FIM) scale is used because of its reliability, validity, precision and feasibility criteria. It is composed of 18 items, including motor and cognitive items. Here the patient’s answers are valued from 1 (total dependent) to 7 (complete independence), and the total punctuation ranges between 18 and 126. For this research, the FIM will be applied exclusively to the motor items, limiting the minimum score to 13 and the maximum to 91 points.

Quality of life
Quality of life perception will be assessed through a quality-of-life assessment scale for stroke (Stroke-Specific Quality of Life Scale). It is valid and reliable in assessing the quality of life after stroke in the Brazilian population and has 49 items distributed over 12 domains.

Motivation
The Intrinsic Motivation Inventory is a multidimensional measurement with six subscales used to assess the subjective experiences of participants when developing an activity and attends to the reliability and validity criteria. According to the inventory, instruction participants ranked their agreement with each statement on a Likert scale of 1 (‘not at all true’) to 7 (‘very true’).

Participant monitoring measures
Participants will be monitored during interventions using the following measures:
- Cardiovascular parameter variables: heart rate (HR) will be checked using a portable oximeter and blood pressure using a sphygmomanometer (Visomat Comfort III, Incoterm, São Paulo, Brazil) on the non-paretic arm.
- Adverse symptoms, perceived effort and pain: information regarding headache, vomiting and dizziness will be collected. Quantification of perceived effort and pain will be used as indicators to monitor exercise tolerance through the CR-10 (Category-Ratio Scale) Borg Scale modified by Foster et al.

Adverse events
Additional information such as hospitalisations, falls, out-of-routine medical consultation, medication change, new diagnosis and the presence of adverse events will be collected during the follow-up.

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Interventions

The protocols in both groups will be performed individually through 40-minute sessions two times per week for 10 weeks (total of 20 sessions), totalling 13 hours of intervention.12 17 46 The same outcome measures and motivation will be collected again at the end of the interventions (post-training) and after 8 weeks of the end of the interventions (follow-up). All participants will be instructed not to perform any other physical activity that works on body balance during the study period.

During each session, absences, manifestations of adverse symptoms and occurrence of imbalance and/or falls will be recorded. Intervenational modifications will be performed according to the patient’s level of adaptation involving optimisation of time or number of repetitions and/or rest time enlargement, and all will be registered.

Both groups will begin their protocols with adapted lower limb strength training for 10 min47 48 as described in table 1. Each exercise should be performed with respect to the patient’s level of adaptation and evolution will occur in the 6th and 13th sessions using the materials described.

Control group

Participants in the CG will receive a kinesiotherapy protocol (30 min) (table 2), focusing on balance based on previous studies and that promotes stimuli similar to the EG, selected so they demand identical motor sensors in both intervention environments, real and virtual.47–50 Two progressions will happen, in the 6th and 13th sessions.

Experimental group

Participants in the EG will receive seven Wii Fit Plus exergames on the Nintendo Wii (30 min) (table 3). This will use the Wii Balance Board accessory, a multimedia projector and the Wii Remote Controller. Initially, participants will have a moment to adapt to Nintendo Wii and its components. It is expected that the participants in this group will be able to deal satisfactorily with the games used in the protocol after adaptation. Otherwise, they will enter the non-adherence criteria.

The games were pre-established with focus on balance, and demands similar to that of the kinesiotherapy protocol: saccadic stimulation, visuovestibular cephalic movement, proprioceptive stimulus, dynamic balance training, static gait, ankle and hip strategies, fine CoP control, stimulus optokinetic, double task (motor) and

### Table 1 Lower limb strengthening exercises

| Exercise                        | Evolution                          | Materials used                                                                 | Sets*     |
|---------------------------------|------------------------------------|--------------------------------------------------------------------------------|-----------|
| 1. Get up and sit on a chair    | Surface change                     | 1 or 2 mats to create an unstable surface (H: 3 × W: 43 × L: 93 cm)            | 2 sets of 60 s with 30 s of rest |
| 2. Go up and down steps         | Increase the step height; add weight | Larger step and 1 kg shin pad                                                  |           |
| 3. Strengthening of hip extensors| Add weight                         | 1 kg and 2 kg shin pad                                                         |           |
| 4. Tiptoe rise                   | Add weight                         | 1 kg and 2 kg shin pad                                                         |           |

Source: Adapted from Allet et al.47

### Table 2 Kinesiotherapeutic protocol exercises

| Exercise                                      | Evolution                                         | Materials used                                      | Sets*     |
|-----------------------------------------------|----------------------------------------------------|----------------------------------------------------|-----------|
| 1. Gait training on a stable surface          | Gait training on an unstable surface using mats; addition of shin pads of 1 kg | Mat and 1 kg shin pads†                              | 2 sets of 3 min |
| 2. Laterolateral weight transfer and discharge| Addition of 1 and 2 mats, respectively             | Mat                                                | 3 sets of 60 s |
| 3. Anteroposterior weight transfer and discharge| Addition of 1 and 2 mats, respectively             | Mat                                                | 3 sets of 60 s |
| 4. Laterolateral cephalic movement with eyes open| Same movement with eyes closed. Added an exercise mat| Mat                                                | 3 sets of 60 s |
| 5. Anteroposterior cephalic movement with eyes open| Same movement with eyes closed. Added an exercise mat| Mat                                                | 3 sets of 60 s |
| 6. Dissociation of scapular and pelvic girdles| Addition of 1 and 2 mats, respectively             | Mat                                                | 3 sets of 2 min |

Source: Adapted from Nascimento et al49; Soares and Sachelli48; Allet et al47 and Ribeiro et al.50

*For each series performed, the participant will be entitled to 30 s of rest.
†The mats (height: 3 x width: 43 x length: 93 cm) will be used to create an unstable surface
motor coordination. All scores obtained in games will be noted. Progression will occur on adaptation of the patient, two evolutions will occur, in the 6th and 13th sessions.

Adherence
Participants will be contacted by telephone to confirm assessment and training sessions to avoid sample loss. Strategies to improve adherence include making up for missed sessions and interventions of motivated professionals. Regardless of the protocol, the criteria for non-adherence will be considered as follows: (1) absence >30% of the intervention, consecutively and without make-up sessions; (2) presenting persistent pain or severe discomfort (headache, vomiting, dizziness, etc), which prevents continuity in performing the proposed protocol in future sessions (or both); (3) presenting haemodynamic instability: decompensation of systemic arterial pressure (systolic and diastolic values >200 mm Hg and 110 mm Hg, respectively) and HR above the submaximal values allowed during the training maintained even after pauses, calculated by means of the formula (HR_sub =0.75 × (220–age)) and (4) those who did not adapt to the proposed intervention.

Data acquisition
For data collection of the CoP variables, six static balance tests will be performed on the FP based on their complexity variation and common use in the literature: bipodal support on a stable surface with eyes open and eyes closed for 30 s each; unipodal support of paretic limbs on a stable surface with eyes open and eyes closed for 30 s each; unipodal support of non-paretic limb on a stable surface with eyes open and eyes closed for 10 s each. The distance between the patients' feet will be standardised and in unipodal support tasks, the contralateral knee may be slightly flexed and there may be no contact between the raised and support legs. Each test can have one successful attempt and a maximum of three unsuccessful attempts. The attempt is considered invalid if the participant moves their support leg or touches the floor with the contralateral leg.

For gait analysis during 6MTW, the camera will be positioned perpendicular to the plane of motion, at a height of 1 m and 3 m away from the subject to capture the gait pattern of the hemiparetic side, and will be considered as a complete gait cycle. Markers will be placed on the main bone references of the paretic lower limb (greater trochanter of the femur, lateral tibial condyle, lateral fibular malleolus, fifth metatarsal head and lateral calcaneal bone tuberosity) for further analysis.

For encephalographic recording during the FP static balance and walking tests, the Emotiv EPOC headset will be positioned on the user's head according to the international placement in the 10–20 positioning system following the manufacturer's specifications.

Data processing
The Bertec Model 4060 platform will be synchronised with Qualisys Motion Capture Systems (Qualisys Medical AB, 411 13 Gothenburg, Sweden), and through that system software, Qualisys Track Manager, data for CoP will be collected and converted to MATLAB compatible files.
Because it is a protocol study, reporting and attrition biases do not apply because the participants will not be blind to the proposed interventions; the assessor will be blind; high risk of performance bias will exist. Dropout data, considering the last data obtained from the participants, will be used to calculate the effect size between the control and the EG, and the partial $\eta^2$ for intragroup analyses. Intention-to-treat analysis will be performed for dropout data, considering the last data obtained from the participant.

### Risk of bias and study limitation

The present study has a low risk of selection bias due to randomisation and concealment of the allocation of participants; low risk of detection bias as the outcome assessor will be blind; high risk of performance bias because the participants will not be blind to the proposed therapies; reporting and attrition biases do not apply because it is a protocol study.

The proposed follow-up time (8 weeks) can be considered a potential (minor) study limitation; it is not verified whether motor and neurophysiological changes resulting from the proposed intervention will be maintained over a long term (1 year). However, it is suggested that the effect of treatment with Nintendo Wii can be maintained for at least 2 months after the intervention, with improvements in motor recovery.

### Patient and public involvement

Patients were not involved in the design of this trial, establishing the research question, or developing recruitment procedures. At the end of the study, the results will be reported to the participants in the form of a lecture, showing the effects found in the studied variables. The results of the study will be disseminated to participants through social networks and will be submitted to a peer-reviewed journal and scientific meetings.

### Ethics and dissemination

This research was approved by the Research Ethics Committee of the Federal University of Rio Grande do Norte, with protocol number 3.434.350 on 3 July 2019. Participants will be informed of the study objectives, its risks and benefits and when eligible for inclusion, if they agree to participate, must sign the informed consent before the study begins. They will be free to abandon the study at any time without the obligation to give any explanation.

There will be prior contact with individuals through social networks, when all information about the study will be presented as well as the Resolution No 466/2012 of the Brazilian National Health Council of 2012, which provides guidelines and standards for research involving human participants. In case any negative effects occur, participants who suffer harm from trial participation will receive physical assistance according to the injury. The study results will be disseminated to participants through social networks and will be submitted to peer-reviewed journals and scientific meetings.

### Protocol amendments

Protocol amendments will be documented with descriptions of the change and the date of the change.

### Study status

Subject recruitment is underway, started in November 2019, but the first inclusion was in January 2020. To date, 8 patients were enrolled in the study. The recruitment period spans till January 2021. The goal is to include 21 patients per treatment group, each patient completing the rehabilitation programme and evaluation before and after, and 8 weeks later.

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**Contributors** NPOSB led the study design and wrote the manuscript. BFdLF, CSPdM, TSR, TFC and FAdCC have made substantial contributions to the design of the study. NPOSB and BFdLF participate in participants’ recruitment and data collection. All authors reviewed and approved the manuscript.

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