First Year Performance of The IceCube Neutrino Telescope

IceCube Collaboration

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Abstract

The first sensors of the IceCube neutrino observatory were deployed at the South Pole during the austral summer of 2004-05 and have been producing data since February 2005. One string of 60 sensors buried in the ice and a surface array of 8 ice Cherenkov tanks took data until December 2005 when deployment of the next set of strings and tanks began. We have analyzed these data, demonstrating that the performance of the system meets or exceeds design requirements. Times are determined across the whole array to a relative precision of better than 3 nanoseconds, allowing reconstruction of muon tracks and light bursts in the ice, of air-showers in the surface array and of events seen in coincidence by surface and deep-ice detectors separated by up to 2.5 km.
1 Introduction

Concepts for building a detector large enough to study neutrinos from astrophysical sources have evolved since the early days of experimental neutrino physics nearly 50 years ago [1,2]. First successes occurred with the observations of neutrinos from SN1987A [3,4,5] and of solar neutrinos [6,7,8,9,10,11]. Efforts to build a detector large enough to identify the less abundant but higher energy neutrinos produced by hadronic interactions in cosmic-ray sources began with DUMAND [12]. Neutrino telescopes currently in operation are NT200+ (Baikal) [13] and AMANDA [14]. These detectors work by observing a large volume of clear water or ice to detect Cherenkov light from relativistic charged particles produced in neutrino interactions in or near the target volume.

Several arguments lead to the conclusion that a fiducial volume of at least a cubic kilometer is needed to observe neutrinos from high-energy astrophysical sources [15]. Several groups are exploring use of optical Cherenkov radiation in water or ice to detect neutrinos [16,17,18,19]. Neutrino telescopes deep in the ice can be calibrated using a surface air-shower array, that can improve the rejection of the background associated with cosmic-rays, and allow the study of cosmic-rays. Projects based on other techniques (horizontal air-showers, radio and acoustic signals from neutrino-induced events) are also being developed [20]. The latter generally have energy thresholds several orders of magnitude higher than the 50-100 GeV range of ice and water Cherenkov detectors.

IceCube builds on the successful deployment and operation since 1996 of the AMANDA neutrino telescope [21]. AMANDA consists of 677 optical sensors distributed on 19 strings instrumenting a volume of more than $10^7$ m$^3$ at a depth between 1500 and 2000 meters in the ice at the South Pole. In addition to its larger volume, IceCube differs from AMANDA in two significant ways. First, in the IceCube sensors, the signals are digitized in the optical sensors to minimize loss of information from degradation of analog signals sent over long distances. Second, a free-running 20 MHz oscillator in each IceCube sensor serves as a local clock and provides time stamps (at 20 MHz and 40 MHz) for internal operations in the sensor, including timing the arrival of photons. The clock drift is less than 2 ns per second. This local clock is calibrated automatically relative to a master clock on the surface. All time-stamps are converted to Universal time (UTC) at the central counting station.

The IceCube neutrino observatory will consist of 4800 optical sensors or Digital Optical Modules (DOMs) installed on 80 strings between 1450 m and 2450 m below the surface [22]. The In-Ice array is complemented by a surface array, called IceTop. IceTop will consist of 160 ice-tanks, in pairs, near the top of each string. Each tank has two DOMs for redundancy and extended dynamic
This paper describes the performance of the first string and eight surface tanks that were installed between November 2004 and January 2005. Section 2 introduces the design, production, deployment and configuration of the detector. Detailed descriptions of the digital optical module and its electronics, the timing method, the surface detectors and data acquisition will be given in separate papers. After the overview, we describe the calibration of gain and timing (section 3). Then follow three sections that deal in turn with reconstruction of muons in the deep string, reconstruction of air-showers with IceTop and analysis of coincidences with externally triggered detectors, including AMANDA. We summarize results of the first season in a concluding section and describe the plan for completion of the IceCube construction project.

2 Overview of the Detector

The design of IceCube calls for 80 strings each instrumented with 60 DOMs capable of detecting signals over a wide dynamic range, from a single photon to several thousands arriving within a few microseconds of each other [22]. Strings will be deployed in a triangular grid pattern with a characteristic spacing of 125 m enclosing an area of 1 km$^2$. Each hole cable, which carries 60 DOMs, is connected to a surface junction box placed between the two IceTop tanks. The IceTop DOMs are also connected to the surface junction box. A cable from the surface junction box to the central counting house carries all DOM cables and service wires. Signals are digitized and time stamped in the modules. Times and waveforms from several modules are used to reconstruct events from the Cherenkov light emitted by charged particles in the deep ice and in the IceTop tanks.

2.1 The Digital Optical Module (DOM)

The Digital Optical Module is the fundamental detector element of IceCube. It consists of a 25 cm diameter R7081-02 Hamamatsu Photo-multiplier Tube (PMT) and a suite of electronics board assemblies contained within a 35.6 cm-diameter glass pressure housing (Fig. 1). The DOM achieves high accuracy and a wide dynamic range by internally digitizing and time-stamping the photonic signals and transmitting packetized digital data to the surface. This scheme, which was first demonstrated in AMANDA String-18 [23], allows each DOM to operate as a complete and autonomous data acquisition system. Each DOM can independently perform functions such as PMT gain calibration, time calibration with the master clock system (section 3), data packaging and
response to remote commands for change of configuration.

Fig. 1. A schematic view of an IceCube Digital Optical Module.

Since the DOMs are inaccessible once deployed in the deep ice, they have been designed to operate reliably for 15 years in a cold, high-pressure environment. High-reliability commercial parts were used where possible, and all sub-assemblies underwent a rigorous screening process involving functional and environmental stress testing, and/or inspection before being integrated into a DOM. In the remote environment, energy is expensive, so power minimization is another key concern. The DOM derives its internal power, including the PMT high voltage, from the nominal ±48V DC supplied by the cable. Under normal operating conditions, power consumption is about 3.5 Watts/DOM. All communication with a pair of In-Ice DOMs occurs over a single twisted copper pair; this includes power distribution, bidirectional data transmission, and timing calibration signals.

Most of the electronics reside on the Main Board (MB), which holds the analog front-end and two digitizer systems. The fast digitizer system uses a 128-sample switched-capacitor array, implemented in a custom Analog Transient Waveform Digitizer (ATWD) chip, which can run between 200 and 700 megasamples per second (MSPS). The ATWD sampling frequency is controlled with a digital-to-analog converter (DAC). A calibration procedure measures the ATWD sampling rate by capturing the MB oscillator 20MHz signal and counting the ATWD bins between oscillation cycles at several sampling frequencies, resulting in a linear relation between sampling frequency and DAC setting. Two ATWDs are used in a ping-pong fashion to minimize dead-time. The slow digitizer system uses a commercial flash ADC, operating at 40 MSPS digitizer, and allows a capture window of 6.4µs.

The digital functions on the MB are performed by a 400K-gate Field Programmable Gate Array (FPGA) containing a 32-bit ARM™ CPU, 8 MBytes
of flash storage, and 32 MBytes of random access memory. Aside from a small non-volatile boot-up program, the operating parameters of the DOM, FPGA code, and ARM software are all remotely reconfigurable. Timing on the Main Board is controlled by a 20 MHz quartz oscillator, which is doubled to 40 MHz.

Within a DOM, data acquisition is initiated when the PMT signal exceeds a programmable threshold, typically 0.3 photo-electrons (PE) for DOMs in the ice. This trigger is given a coarse time stamp by the 40 MHz local clock. The trigger initiates acquisition of data by the ATWD and capture of data from the 40 MSPS ADC, 10 bit parallel output pipeline ADC. The ATWD collects 128 samples of 10-bit data, and the commercial ADC collects 256 samples of 10-bit data. To capture the entire waveform, before and after the trigger was issued, the signal to the ATWD is delayed 75 ns, using a 11.2 m long strip-line on a separate, dedicated circuit board. The delayed signal is split among three (of 4) input channels of each one of the ATWDs with gains differing by successive factors of 8. In this manner the digitizers cover the entire dynamic range of the PMT, which is linear up to currents of 400 PE/15 ns. The fourth ATWD channel is used for calibration and monitoring.

The ATWD sampling speed is variable and is currently set at 3.3 ns/sample, allowing acquisition of 422 ns long waveforms. The precise timing of a signal is determined from the waveform referenced to the coarse time stamp. This is supplemented by timing information from the 40 MSPS ADC, which continually samples the amplified and shaped output of the PMT.

The DOMs can operate in one of several local coincidence modes, to reduce the noise-trigger-related data traffic to the surface. String 21 currently operates in a nearest-neighbor local coincidence mode, in which a local coincidence pulse is transmitted from a DOM to its immediate neighbors, above and below, whenever its discriminator fires. The DOM transmits its data to the surface only when it receives a local coincidence pulse within 800 ns of the trigger, signaling that at least one of its neighbors also had a trigger.

The flasher-board is an optical beacon integrated into each DOM. It contains 12 gallium nitride LEDs pointing radially outward from the DOM, 6 of which horizontally and the other 6 pointing upwards at an angle of 48°. Each LED is capable of producing $1 \times 10^7$ to $1 \times 10^{10}$ photons per pulse with peak wavelength in the range of 400 nm - 420 nm at a repetition rate up to 610 Hz. Special operating modes allow an arbitrary ensemble of DOMs to be scheduled to emit optical beacon signals into the ice for (1) calibration of local coincidence, timing and geometry; (2) inter-string timing and geometry calibration; (3) verification of optical properties of the ice; (4) linearity calibration of surrounding DOMs; (5) high energy cascade calibration; etc.
A more detailed description of the operation of the DOM and its components is given elsewhere [24,25].

2.2 Production and testing

IceCube DOMs are assembled at three different facilities worldwide. Each site produces complete DOMs from the incoming materials and tests them. The total production rate from all three assembly sites is in excess of 50 DOMs per week.

Because it is impossible to replace a bad unit or access it for repairs, careful testing is essential. The DOMs are tested in three stages. Before final assembly the separate subsystem components are tested. Fully assembled DOMs must then pass a series of performance tests in special dark freezer laboratories where up to 120 DOMs can be tested simultaneously. The tests mimic the temperatures, the data acquisition procedures and the optical signals that the DOMs will experience in the field. The DOMs are tested at four different temperature settings: room temperature, -45 °C and -20°C, which correspond to the temperatures of the highest and lowest DOM in the string, and -55°C, which corresponds to the surface temperature in winter of the IceTop DOMs. The freezing and warming cycle is repeated twice. The entire test cycle takes 3 weeks. DOMs that pass all the testing are shipped to the South Pole, where they are retested inside their shipping crates before deployment in the ice.

In addition to certifying DOMs as good for deployment, the testing measures critical performance characteristics for all DOMs in a controlled laboratory setting [26]. In particular, short laser pulses create single PE events which reveal a time resolution between 2 and 2.5 ns, with 8% of hits in a tail extending to 60 ns [25]. The late pulsing is a known PMT effect [27], and is due to electrons that were elastically scattered on the dynode, and have longer flight time in the PMT. This delay is less than expected for late arriving photons due to ice scattering (see Fig. 19).

2.2.1 Data acquisition software

The DAQ testing software consists of Java-based code interacting with software and firmware running on the DOMs. The Java software runs simultaneously on multiple host computers, which are tied together using Java’s Remote Method Invocation. DOM configuration is done using an XML file that defines global run and individual DOM parameters. There are about 50 settable parameters per DOM. Examples of these parameters include the length of the run, high voltage setting, ATWD sampling rate, the number of samples to read out for each ATWD channel, the DOM trigger mode operation, the discrim-
inator set point, flasher-board setting, etc. Standard settings for each DOM are stored in a master database. Each DOM has a unique electronic read-back identifier, which is mapped both to a string number and to a position, as well as to a simple-to-remember name.

Perl-based experiment control scripts run on a special designated computer called a string processor. The string processor communicates with the DOMs using a designated DOMhub computer that can configure each of the DOMs according to XML configuration files. Three data streams are open for each DOM for hit records, monitor records and time calibration records and the data collected for each DOM is written to three corresponding files. The GPS event time is added to each record.

The same software was also used for DAQ at the South Pole during the first year of operation discussed in this paper. After a South Pole run was completed, the data were filtered in a software. The In-Ice filter required 8 DOMs that launched within two microseconds. This reduced the data volume from about 30 GBytes to less than 4 GBytes per day. The reduced data were transmitted to the northern hemisphere for further processing.

Fig. 2. IceCube in 2005 - Surface map of IceTop and String-21 with AMANDA and SPASE.

Fig. 3. Schematic diagram of String-21 and two associated tanks.
2.3 Configuration in 2005

In December and January 2004/2005 the first IceCube string was deployed along with four IceTop stations (eight tanks containing a total of 16 DOMs). This string is at location 21 of 80 on the IceCube plan and is therefore referred to as “String-21”. Fig. 2 shows a surface map of the 2005 configuration, including the 19 AMANDA strings [14] and the 30 stations of the South Pole Air-Shower Experiment (SPASE) [28]. The four IceTop stations enclose an area of approximately 1.7 × 10^4 m^2.

2.3.1 Drilling and deployment

Strings of modules are deployed into holes drilled with hot water. The most critical and demanding component of the IceCube deployment process is the forced hot water drill system. The plant for producing the drill water comprises a total of 15 buildings that host the hot water heaters, generators, pumps and storage tanks. These buildings are set up in a temporary equipment camp during each season.

A supply hose from the equipment camp to the drill site connects to the hose winch, which can support a single 2.5 km long drill hose. The nozzle of the hot water drill is connected to the end of the drill hose and lowered into the hole at the rate ice is melted by the hot water. A return hose carries cool water from the hole back to the heating system. Cables for both drilling and deployment are fed through a hole in the roof of a tower operating structure, which is a building located over the hole. The tower is heated and contains a control room for drilling and deployment operations. A water-filled hole is prepared to a depth of 2.5 km with a minimum diameter of 60 cm. This is wide enough to insure a 45 cm diameter cylinder of water remains when the string of DOMs is later deployed. The drill hose is removed and rewound on the hose winch, and the tower is then available for deployment.

After building up the equipment site and assembling the tower and drill system for the first time, the hole was ready for string deployment on January 27, 2005. The deployment of String-21 took a total of 18 hours. The following day, the string was connected to the junction box, and commissioning began.

Soon after deployment the PMT rates rose dramatically because of triboluminescence caused by stresses in the ice forming near the DOMs as the water in the borehole refroze. The time for the water in the hole to refreeze varied with depth, from 5 days at 1.5 km to more than two weeks at 2.5 km. After the refreeze was complete, DOM rates decreased to a typical noise level of 650 Hz. When a dead-time interval is enforced to remove pulsing correlated in time within the same PMT, the noise is reduced further. This is shown in Fig. 4.
Such a low noise rate is favorable for searches for low energy neutrinos from stellar collapse, the detection of which would follow from an observation of a simultaneous increase in the overall counting rate [29].

![Graph showing noise rates when after-pulsing is suppressed. DOMs are numbered in sequence from 1 (highest) to 60 (lowest).](image)

**Fig. 4.** Noise Rates when after-pulsing is suppressed. DOMs are numbered in sequence from 1 (highest) to 60 (lowest).

### 2.3.2 The In-Ice Configuration

String-21 consists of a 2540 meter cable, 60 DOMs, pressure sensors, thermistors, and a dust logger to measure the optical properties of ice throughout the deployment [30], as shown schematically in Fig. 3. The 60 DOMs are spaced 17 meters apart on the deepest 1000 meters of the string.

The actual depth of the deepest DOM on the string (2435 m) was determined with data from the two high-resolution pressure sensors, spaced 1000 m apart, together with a laser survey of the distance from the surface to the water level in the borehole. The pressure reading from the deeper pressure sensor, located just above the next-to-deepest DOM, provided the absolute depth of the string, which is defined as the depth of the deepest DOM. The pressure was corrected for the compressibility of the water in the hole, which was measured with data from both pressure sensors by requiring that the difference in depth reading be independent of depth and in agreement with the nominal spacing of the sensors (except for a small difference due to cable stretching). The coordinates of the DOMs higher up the string were then determined by adding the vertical spacing between modules, which were measured during deployment with a laser ranger. Depths of individual DOMs are determined to an accuracy of 50 cm.
2.3.3 *IceTop Tanks*

About 25 m from the top of each string there will be an IceTop station. There are two tanks per station separated by 10 m. The 80 IceTop stations will form an air-shower array with a nominal grid spacing matching the 125 meter string spacing. The spacing between tanks at a station is chosen to maximize the probability that single-station hits are caused by small cosmic-ray showers that contain only one muon capable of penetrating to the deep detector. (A single-station event is defined as one in which there is a coincidence between the two tanks at one station with no hits in adjacent stations.) Such cosmic-ray induced muons are the main background for a neutrino telescope.

Tanks are deployed in shallow trenches so that, after backfilling, the tank tops are initially at the surface (to minimize buildup of drifting snow). At the bottom of the trench between each pair of tanks is a surface junction box to which the associated IceTop DOMs and hole cable are connected before backfilling. Tanks are cylindrical with 1.8 m diameter. The depth of ice in the tank is 90 cm. Standard survey techniques are used to determine the tanks position to within 5 cm.

Each tank is viewed by two downward facing DOMs with their lower hemispheres embedded in the ice. There are two DOMs per tank for flexibility and redundancy. Operating the two PMTs in a tank at different gains allows an increase in dynamic range beyond what is available for a single gain setting. Alternatively, the DOMs may be adjusted to the same gain to give a more uniform tank response to incident particles. In the data described here, one DOM was operated at a gain of approximately $5 \times 10^6$ and the other at approximately $5 \times 10^5$. With this setting, the signals generated by single through-going muons lie within the range of linear response for both the high-gain and low-gain DOMs.

2.3.4 *Cabling*

The cable system was designed to maintain a Faraday shield around the system and to provide optimum performance subject to constraints imposed by the conditions of delivery and deployment.

The cable system consists of the following main components: Surface to DOM Cable Assembly, Surface Junction Box Assembly, IceTop Cables, Surface Cable Assembly and Counting House Cable Assemblies. The cables meet at the Surface Junction Box, which is made of stainless steel and has a removable lid. The Surface to DOM Cable Assembly is the largest and longest cable in the system. It provides power and communications to the DOMs and all other In-Ice sensors from the Surface Junction Box. To reduce the weight and cost of the cabling, each pair of adjacent DOMs communicates with the surface over
a single twisted pair. Two twisted pairs are combined to form a “quad”. The cable is 2540 meters in length, contains 18 quads, four bundles of 3 twisted pairs, and two 25 kN strength members. One of the strength members runs down the center of the cable and the other is woven into the outer sheath.

There are 30 breakouts, each providing two connection points for DOMs. A breakout is made by slicing open the outer sheaths of the cable, cutting quads and service wires, attaching the wires to connectors, and re-sealing the cable. The connectors used for DOM connections are waterproof to 10,000 psi (680 atm). For the connections on the Surface Junction Box end of the cable, the quads and twisted pair bundles are attached to military specifications, round, metal shell connectors.

Since the DOMs all communicate independently, cross-talk is a potential source of errors in communication and timing. Cross-talk suppression requires careful mechanical assembly of the cable, as mechanical asymmetries or imperfections in the twisted quad configuration introduce cross-talk. Care is also required during deployment to avoid distorting the cable elements. The requirements for the cable are that near-end and far-end cross-talk be suppressed by more than 50 dB and 30 dB, respectively.

2.4 Data Acquisition Hardware

The South Pole host machines comprise DOMHubs, which are standard industrial Single Board Computers, and a string processor, which is a commercially available server computer. Each DOMHub is customized with +48 Volt and -48 Volt switching regulated AC-DC single output power supplies, to supply 96 Volts to the DOMs. Wire pairs servicing DOMs are connected to custom PCI cards inside the DOMHubs called DOR (DOM Readout) cards. The DOR cards provide power, communications and time calibration signals to the DOMs. Each DOR card can be connected to two power and communication wire pairs. Each wire pair is connected to two adjacent DOMs on a string (one terminated and one unterminated to optimize communications). IceTop DOMs are served by a single wire pair. A DOMHub with a full complement of eight DOR cards can read out up to 32 DOMs In-Ice or 16 IceTop DOMs. The DOR cards used in 2005 have been replaced with a new version capable of handling 2 quads (8 DOMs), such that each DOMHub serves one complete string.

Each DOMHub is equipped with a custom PCI card called a DSB (DOR Service Board) card. The DSB card distributes the GPS time string to each of the DOR cards in a DOMHub. These signals are used to maintain timing across the array.
3 Calibration of gains and timing

Several steps are necessary to transform the DOM readouts to waveforms in physical units of charge and time. Section 3.1 describes the DOM specific calibration of the ATWD sampling frequency and voltage scale and the measurement of the different gains of the three ATWD channels. Section 3.2 will describe the PMT gain calculation. The entire procedure is carried out by DOM-Cal, a software package executed on the embedded DOM CPU. In section 3.3 the time calibration between the different DOMs and an absolute reference time are described. Section 3.4 describes calibration with flashers, and in section 3.5 we discuss the calibrated waveforms measured by a DOM.

3.1 The ATWD and Amplifier Calibration

The DOM ATWD bin readout scales linearly with input signal voltage; however, each ATWD bin has a unique slope (V/ADC-counts) and intercept. DOM-Cal measures this response by varying ATWD bias voltage and applying a linear fit to the resulting amplitudes for each bin (0-127) of each signal channel (0-2) for both ATWDs. Each ATWD bin can be digitized to a depth of 10 bits.

The DOM front-end amplifier gains for each channel are 16x, 2x, and 0.25x, providing complete dynamic range for signals generated by the PMT. The amplifier gains vary due to component tolerances and must be determined precisely for PMT gain calibration. Amplifier calibration is a two step process: The absolute gain of the high-gain channel is determined first, then the lower-gain channels are calibrated relative to the high-gain channel. The high-gain channel is calibrated by injecting artificial Single Photo Electron (SPE) like pulses into the DOM PMT input and comparing peak amplitude from the ATWD to the true peak amplitude of the pulse. The amplifier gain is given by the mean peak ratio for a large sample of pulses. ATWD sampling speed is maximized during the procedure to minimize waveform integration error.

To calibrate the lower-gain channels, DOM-Cal uses PMT signals from light pulses generated by a LED on the DOM MB. ATWD data is acquired and calibrated both for the channel with the unknown gain and the previous channel, whose gain was already calibrated. The gain of the next channel is the gain of the previous channel times the ratio of the pulse integrals of the two channels. LED pulse ranges are chosen to avoid ATWD saturation in the higher-gain channel, yet still provide significant amplitude in the lower-gain channel to minimize integration error. Fig. 5 and Fig. 6 show the relation between the gains of two ATWD channels.
3.2 Calibration of the PMT gain

Both DOM acceptance testing and detector operation require the DOMs to operate at a specified gain. Each PMT has a unique gain response to anode-photocathode voltage; therefore, it is necessary to characterize this response and calculate the voltage yielding a specified gain for each DOM.

The uncorrelated noise in the optical module results from thermal background of the photocathode, which is significantly reduced at the cold temperatures, and by radioactive decay of isotopes contaminating the glass pressure sphere. The electrons from the beta decays produce photons by Cherenkov radiation and by scintillation. This results in a significant rate of SPE signals. The spectrum of PMT signals is shown in Fig. 7.

At each high voltage setting, several thousand PMT waveforms are acquired with a special low discriminator threshold. Each waveform is integrated around the peak. The total charge is obtained by dividing the integrated value by the load impedance and the ATWD sampling frequency. The charge spectrum is fitted to a Gaussian + exponential function. The mean SPE charge is proportional to the mean of the Gaussian component. The gain is the SPE charge divided by the electron charge. This procedure is repeated for high voltage settings between 1200V and 1900V in 100V increments. A linear fit of \( \log_{10}(gain) \) to \( \log_{10}(V) \) is performed. This fit is later used to compute the PMT voltage yielding a desired gain. The gains of the PMTs on String-21 were set at \( 10^7 \).
3.3 Timing calibration

The time calibration of the DOM is based on a procedure called Reciprocal Active Pulsing [24], in which the phase and frequency of each DOM’s free running local oscillator (20 MHz) are determined relative to a master GPS-controlled oscillator at the surface by transmitting a fast bipolar pulse at known intervals from the DOR card to the DOM. After receiving this pulse the DOM ”reciprocates” and sends an identical fast bipolar pulse after a known delay interval to the DOR card. The time calibration waveforms are produced and digitized by the same DACs and ADCs (operating at 20 MSPS) used for digital communication. The 2.5 km long cable slows the rise time of the original fast calibration pulse from a few nanoseconds at the source to a microsecond or more at the receiving end. Fig. 8 shows the received time-calibration waveforms.

The accuracy of this calibration method arises from the reciprocity or symmetry of the pulsing system, in which the pulses generated at each end have the same shape and the dispersed, attenuated pulses received at each end have the same shape. In this limit, the one-way transit time is equal to one-half the round-trip time minus the known delay, regardless of which feature of the waveform (e.g., leading edge or crossover) is taken as the fiducial mark. Reciprocity is achieved because the calibration signals follow the same electronic path through the same components for both transmission and reception. Reciprocity is also verifiable, because the calibration waveforms are digitized in both the DOM and DOR; these waveforms can be compared to determine any differences in shape that might introduce systematic errors.
The round-trip travel times for different DOMs depend on the electrical length of the cable connecting the DOR and DOM. This is illustrated in Fig. 9. The transit times increase for deeper sensors on the string (DOMs with numbers 1-60), and are similar to each other for the surface DOMs (shown as numbers 61-76). At present, calibrations are done automatically every three seconds, although longer intervals up to ten seconds may be used in the future. The variation in the round-trip travel time from one calibration to the next provides the basic measurement of the precision of the time calibration procedure. The best resolution is obtained using crossover timing, for which the typical round-trip resolution is less than 2 ns RMS (Fig. 10).

An LED on the main board is used to measure the photo-electron transit time in the PMT and other delays in the photon signal path. The statistical and systematic precision with which photon arrival times can be determined depends on additional factors, such as PMT performance and waveform analysis. This is measured in experiments using flasher signals and down-going muons to be described in subsequent sections.

3.4 Time resolution studies using the DOM flashers

The LEDs on the flasher-boards were used to measure the photon transit (or delay) time for the reception of a large light pulse at the closest DOM above the one flashing. Fig. 11 shows the distribution of delay times for DOM 46 when DOM 47 was flashing. The mean time delay is given by the light travel time from the flasher to the receiving DOM, together with a small electronics offset. The RMS variation of the time delay, shown in Fig. 12 for 58 DOM pairs, should reveal any imprecision or drifts associated with the clock calibration procedure (§ 3.3). There is also a small contribution from scattering in the ice; the RMS values are smallest for the DOMs located in clearer ice.
time delay \[ \text{ns} \]

entries

1

10

10

10

10

10

10

10

0 10 20 30 40 50 60 70 80 90 100

time resolution measured with flashers \[ \text{ns} \]

entries

10

10

0 0.5 1 1.5 2 2.5 3 3.5 4 4.5 5

Fig. 11. Photon arrival time delay at DOM 46 when DOM 47 is flashing in clear ice. The mean and rms values are indicated.

Fig. 12. RMS variation of time delay measured with flashers for 58 DOM pairs on the IceCube string.

3.5 Waveform reconstruction

A typical calibrated waveform captured by a String-21 DOM is shown in Fig. 13. Different waveform features, such as time, amplitude, width and area of the primary and secondaries pulses can be used for reconstruction. These waveforms can be described well by a decomposition procedure that yields an ensemble of single photon hit times. The procedure is based on iteratively fitting a waveform with a function that is a sum of a constant and a progressively larger number of terms each describing a single photo-electron response with allowed variations in amplitude and width. An alternative method applies a Bayesian unfolding algorithm to the waveform with single photo-electron response as a smearing function. Both methods give photon arrival times within 0.5 ns of each other.

In contrast to the data generated by muons in the ice, the air shower signals in the surface tanks are much bigger and require different procedures. Fig. 14 shows the signals from an air-shower seen by all sixteen DOMs in the array, as a function of time relative to the first DOM hit. Each panel shows traces from two different tanks at the station. The top row shows the waveforms seen by the high-gain DOMs \(5 \times 10^6\) in each tank while the bottom row shows the low-gain \(5 \times 10^5\) data. In the event shown here the DOMs have not yet been adjusted to give the same response for a given number of photo-electrons. The integrated waveforms correspond to several hundreds of photoelectrons from the light produced by many particles in the shower front hitting the tank in quick succession.
4 Track reconstruction in IceCube

Reconstruction of the tracks of muons, whether of downward muons from cosmic-ray interactions in the atmosphere or neutrino-induced muons, is essential for a neutrino telescope. Pions and kaons created by interactions of cosmic-rays in the atmosphere give rise both to downward atmospheric muons and to upward-moving muons from interactions of atmospheric neutrinos. Comparison of these two closely related and well-understood fluxes therefore offers an important consistency check on detector simulation and response. The abundant atmospheric muons also provide a useful beam for calibration of timing and geometry.

4.1 Muon track reconstruction

A maximum likelihood algorithm for one-string track reconstruction employing the full waveform unfolding method of Section 3.5 was used to reconstruct the In-Ice data. The likelihood function was built using an approximation to the photon arrival time distribution for multi-layered ice first introduced for the AMANDA reconstruction in [36], and refined in [37]. The approximation can be used for ice with variable properties (i.e., depth-dependent scattering and absorption) if scattering and absorption coefficients are averaged between the photon emission and reception points in ice [37].

The scattering and absorption values used are based on in situ measurements with AMANDA [38]. This measurement was extrapolated to deeper ice using ice core data collected at Vostok station and Dome Fuji locations in Antarctica, scaled to the location of AMANDA using an age vs. depth relation [39]. Figure 15 shows the resulting scattering coefficient as a function of depth. The
scattering depth profile was further confirmed between 1300 and 2100 m at much higher resolution with data collected by a dust measuring device (dust logger) used during the IceCube string deployment [30].

![Graph showing effective scattering coefficient as a function of depth in the ice.](image)

Fig. 15. The effective scattering coefficient for light at 400 nm, the sensitivity maximum for IceCube DOMs, as a function of depth in the ice (from Ref. [38]).

For muon tracks, reconstructed parameters are the zenith angle, distance of the closest approach to the string, depth and time of closest approach to the string, and an estimate of the average photon density along the muon track. The latter is correlated with the average muon energy. Reconstruction of the azimuthal angle is not possible with a single string because of the cylindrical symmetry about the string.

The track-fitting algorithm was tested on a simulated data sample of down-going muons and was found to reconstruct it rather well (given that only one string was used [40]). Figure 16 compares the true zenith angle with the reconstructed zenith angle of simulated events. The RMS resolution of the muon tracks with an event hit multiplicity of 8 or more is 9.7°. The resolution improves rapidly as the multiplicity increases, reaching 1.5° for multiplicity of more than 30 hits. This is similar to the one-string IceCube prototype analysis results [23].

![Graph showing zenith angle difference distribution.](image)

Fig. 16. Zenith angle difference distribution of reconstructed and simulated tracks.

![Graph showing hit multiplicity distribution.](image)

Fig. 17. Hit multiplicity distribution of muon events in data and simulation.

Figure 17 compares the hit multiplicity distribution for 8 hours of data and
a similar amount of simulated data. The zenith angle distribution of the reconstructed tracks in data is compared to the simulated data in Fig. 18. The simulated data used in Figs. 16-18 were produced with a standard AMANDA simulation, which does not account for detailed differences in trigger logic, ice conditions and sensors of the deeper IceCube String-21. As the IceCube simulation matures, the apparent discrepancy observed in Fig. 18 is expected to become smaller.

4.2 Candidate Neutrino Search with a Single IceCube string

About 99% of the muon data collected with the IceCube string in 2005 was reconstructed and searched for upward-going muon candidates possibly induced by neutrinos.

A set of parameter cuts was applied to remove more uncertain, harder to reconstruct tracks, e.g., those that passed far from the string. These were satisfied by 70% of reconstructed tracks, corresponding to about 164 days of run time.

Additional cuts were developed to remove most of the remaining misreconstructed tracks by rejecting events for which the most likely upgoing hypothesis was comparable to the most likely downgoing hypothesis.

Two upgoing tracks were found with high hit multiplicity, one with 35 and the other with 50 out of 60 DOMs hit. These two events are reconstructed as muons traveling up with nadir angles of $1.16 \pm 0.06^\circ$ and $0.87 \pm 0.05^\circ$ degrees from the vertical, respectively. (The stated errors are statistical only; systematic errors may be larger.) Residual arrival times, i.e., time delays from reconstructed unscattered photon expectation, are plotted in Fig. 19 for one of these events. Almost every hit DOM received a direct (unscattered) hit, and
many also recorded late (scattered) photons, more so in the dustier ice, and less in the clearer ice. Observation of two nearly vertical upward muons with String-21 in six months is consistent with expectations based on preliminary simulations [19].

Several events with multiplicities ranging from 8 to 11 were reconstructed as traveling up at larger angles. Confirmation of such events as upward-going neutrino-induced muons would require more information, such as will be available from adjacent strings in the future.

4.3 Systematic timing offset study with downgoing muons

To measure systematic timing offsets in the IceCube string we repeated 60 times the single-string reconstruction for one day of data. Each of the 60 DOMs was successively removed during the reconstruction, and the time delays of the hits in each DOM with respect to the expected direct (unscattered) hit times from the reconstructed tracks (called time residuals) were evaluated. The residual time distributions are consistent with our expectation, with a narrow peak of nearly unscattered photons and a long tail of photons delayed by scattering, as illustrated for a particular DOM in Fig. 20. The maxima of such distributions indicate the time residuals of the most probable hits.

Systematic displacement of time residuals from zero could indicate errors in the time calibration procedure and possible variations in internal delays in different modules. They could also be influenced by uncertainties in the DOM positions and by photon scattering in the ice. The peaks in residual distributions of most DOMs are within 3 ns of each other, i.e., the DOM clock times for the whole In-Ice array (currently 60 DOMs) are calibrated to within 3 ns of each other (with a mean of 1.1 ns and rms of 2.4 ns). DOMs 35-43 are
located in dustier ice (the region between 2000 m and 2140 m in Fig. 15) and have the highest residuals; DOMs 53-60 are located in the clearest ice (the region between 2300 m and 2430 m) and have the lowest residuals (Fig. 21). (Negative residuals are an artifact of the fitting procedure.)

Fig. 20. Distribution of time residuals of photons arriving at a DOM from nearby tracks reconstructed with the rest of the string.

Fig. 21. Plot of the peak of the distribution of time residuals for all DOMs on String-21. (Figure 20 is the distribution for DOM 15.)

5 Air-showers in IceCube

As an air-shower detector, IceCube will have an unprecedented area for detecting high-energy muons in the deep detector in coincidence with the shower front at the surface. Typical muons observed at 2 km depth have energies of 400 GeV or more at production in the atmosphere. The signal from the shower front at the surface is primarily due to electrons and photons with energies below 1 GeV, with an additional contribution from muons in the GeV energy range. The current four stations and one string are already adequate to demonstrate the reconstruction of air-showers in IceCube.

5.1 Tank calibration and performance

Two types of data were taken with IceTop in 2005: calibration files and event triggers. For IceTop, the calibration files consist of tank events with no coincidence requirement, as illustrated in Fig. 22. The figure shows the charge spectrum for the high-gain DOM in one IceTop tank. The spectrum for the low-gain DOM has a similar shape. The characteristic spectrum is a combination of a steeply falling spectrum of electrons and $\gamma$-rays converting in the tank with a peak due to muons traversing the tank. There is also a contribution from small air-showers, which becomes increasingly important in the high-energy tail of the distribution. A muon penetrating 90 cm of ice (the vertical height of the ice layer) typically deposits approximately 190 MeV of energy in
Fig. 22. Charge spectrum of signals in the high-gain DOM of tank A at station 39 (a) and the corresponding average muon waveform (b). These data were taken without any coincidence requirement on the DOMs in order to obtain the spectrum of muons, electrons and converting photons for calibration of each tank. The shaded region indicates the muon peak.

The detector (more or less depending on its zenith angle). The muon peak is broadened by the angular distribution of the muons, by corner-clippers, and by fluctuations in energy deposition, as well as by statistics of photon collection. The steady flux of muons with its characteristic peak provides an ideal means of calibrating and monitoring a water (or ice) Cherenkov detector [31,32].

The single tank rate corresponding to the threshold of 100 photo-electrons in Fig. 22 is approximately 1.5 kHz. Approximately 1 kHz of this is from muons. This rate is consistent with a previous measurement using a muon telescope [33]. We use the peak of the inclusive muon distribution at approximately 240 PE for calibration. Each PE corresponds to a nominal energy deposition of

\[ \frac{190\text{MeV}}{240\text{PE}} \approx 0.8 \text{MeV/PE}. \]

We can assess the uniformity of response of a tank to a given deposition of energy by comparing the response of the two DOMs in the tank to the same events. Differences in response can occur for several reasons, including small deposits of energy farther from one DOM than the other, direct hits on the photocathode, etc. The in-tank fluctuations in response are measured using one high-gain and one low-gain DOM, so this study is limited to the range of common linear response. The result is shown in Fig. 23a for a sample of air-showers. (Both panels show difference in charge divided by average charge,
Fig. 23. (a) Fluctuations in tank response to signals measured by comparing the response of two DOMs in the same tank to air-showers. (b) Shower-front fluctuations measured by comparing response of two tanks at the same station to air-showers. so the results are confined by definition to lie between −2 and +2.)

To decide whether the tank response is sufficiently uniform, we compare the response of two tanks at the same station to the same set of air-shower events in Fig. 23b using the low-gain DOM in each tank. To the extent that fluctuations in single tank response are small compared to differences between tanks, this is a measure of fluctuations in the air-shower front. This result shows that uniformity of tank response is satisfactory because fluctuations in response of a tank to a particular deposition of energy are small compared to intrinsic fluctuations in the shower front.

5.2 Shower reconstruction

Reconstruction of the direction and size of an air-shower proceeds from the arrival times of the shower front at the detectors on the ground together with a measure of the number of particles or amount of energy deposited in each detector. As evident from Fig. 23, typical air-shower signals produce hundreds or thousands of photoelectrons in IceTop tanks. Some examples of the resulting waveforms are shown in Fig. 14. The waveforms occasionally show structure that may reflect contributions of individual particles or groups of particles.

Several algorithms were developed to estimate the arrival time of the first particle in the shower front at an IceTop tank (leading edge). The most robust algorithm looks for the first pair of bins in the waveform with values above a fixed threshold between which the increment in voltage is locally at maximum (i.e. the steepest rise point). The intersection of the tangential line going through these points with the baseline is taken as an estimate of the leading
The sum of charges in all bins of the waveform above the threshold is taken as an estimate of total charge in the waveform. The time of the leading edge of the waveform can be determined to significantly better accuracy than

![Graph](image)

**Fig. 24.** Reconstructed directions of showers measured with the surface array: (a) zenith angle. (A fit to the $\sec \theta$ law typical of atmospheric showers is shown.) (b) azimuth.

From February to July 2005 the IceTop trigger required 10 DOMs within $2 \mu$sec with signals above a voltage threshold equal to ten times the peak voltage of a single photoelectron. The trigger rate with this setting is approximately 0.7 Hz, about 40% of which involve all four stations, while the remainder have hits in only three stations. With only four stations, many of the triggers are from showers with cores outside the perimeter of the array, where the core location accuracy is poor. For the initial analysis we fit a plane wave to the shower front. By selecting showers with apparent cores (as determined by weighting the tank locations by the observed signals) within 45 m of the geometric center of the 4-station array, we obtain a subset enriched in “contained” events. Distributions of zenith and azimuth for this subset are shown in Fig. 24.

To obtain the most accurate possible determination of shower direction requires accounting for the delay of the leading edge behind a plane perpendicular to the trajectory of the cascade, which increases with core distance. Reconstruction accuracy is also limited by distribution of arrival times of the first particle in the shower front, which depends on shower size and distance from the shower core, and on accuracy of location of the core. More refined fits to shower direction and core location will become appropriate in future seasons when the array is larger.
Fig. 25. (a) Shower-size spectrum in units equivalent to total visible energy deposited in the 8 tanks; (b) Times of 3 specific DOMs on String-21 in coincident events relative to the first IceTop DOM in the event. The peak near 0 is the time a specific IceTop DOM is hit.

Figure 25a shows the size-spectrum of showers measured by the IceTop array for a sample of events with apparent core location within 45 m of the center of the array. Shower size at the ground is measured here in terms of total visible energy summed over all tanks, based on the calibration with muons discussed above.

Studies of the cosmic-ray energy spectrum and composition require detailed simulations which are not yet available. A crude estimate using the ratio of the total area of the array to the total area of the 8 tanks leads to an estimate of total $N_\mu \sim 4 \times 10^4$ at 10 GeV visible energy, which corresponds to showers with primary energy of 1 PeV. The visible energy spectrum of Fig. 25a has a power low behavior at high energy and a characteristic threshold shape at low energy. Given the spacing of the stations, IceTop with a 4-station threshold is expected to be 50% efficient at an energy of 300 TeV. This is consistent with the measurement shown in Fig. 25a, which includes 3-station hits as well as 4-station hits.

5.3 Single station coincidences with String-21

Air-showers containing one or more muons with energy sufficient to reach the deep detector can be detected in coincidence by IceTop and String-21. Because of the steep cosmic-ray spectrum, most of these coincident events will produce signals at only one IceTop station. In a small fraction three or more IceTop stations will be hit, satisfying the IceTop trigger described above.
Events in which both tanks at one station are hit with no hits in any other stations are highly correlated with single muons at string depth and therefore of special interest for calibration and background tagging. The rate of single-station coincidences with String-21 triggers is 0.05 Hz. By comparison with a preliminary simulation, this rate is consistent with expectation if String-21 sees vertical muons out to 30 m with its present 8-fold coincidence requirement; this 30 m radius is consistent with the measured In-Ice muon rate. As the array grows, the rate of such tagged single muons will increase quadratically, allowing a large sample of tagged background events to be accumulated. It will be possible to tag about 5% of the In-Ice muons, including some accidental coincidences within a 2 $\mu$sec trigger window.

Because of the large spacing between the DOMs in IceTop and those in String-21, the coincident events allow us to verify the absolute timing of IceCube on the kilometer scale, using a selection of tagged, nearly vertical muons. Fig. 25b is a distribution of times for events in which at least one of 3 specific DOMs on String-21 (1, 25 and 55) is hit in coincidence with a specific high gain DOM in a surface tank. (DOM 1 is at the top of String-21 and DOM 60 at the bottom.) Times on String-21 are measured relative to the time of the first signal in IceTop (which may occasionally be earlier than the time of the specific IceTop DOM plotted). By definition, there are at most 4 entries for each event. The systematic decrease in population of the deeper DOMs on String-21 is an expected consequence of muons ranging out between the top and bottom of the string.

5.4 Air-showers in coincidence with String-21

Showers with 3 or 4 stations hit contain sufficient information so their directions can be reconstructed independently of String-21. The rate of such events is 0.002 Hz, approximately 0.3% of the total rate of air-showers in IceTop. Given the angular distribution shown in Fig. 24a, this fraction corresponds to detection of all trajectories that pass within approximately 60 meters of String-21, which is comparable to the size of the muon bundles at a depth of 2000 m. Comparison between the direction assigned to the same events independently by IceTop and by String-21 (zenith angle only) can be used to calibrate the pointing and angular resolution of the deep detector.

To explore this approach, we selected coincident In-Ice and IceTop events with a combined hit multiplicity of at least 8 In-Ice +10 IceTop hits collected during the year 2005. Selected showers were reconstructed with both the IceTop shower reconstruction and the one-string muon track reconstruction discussed in section 4.1. The resulting zenith angle distributions are compared in Fig. 26. Directions obtained with the string reconstruction are systematically closer
to the vertical than those obtained with a simple plane fit algorithm to the IceTop showers. Using the shower curvature parameterization of [41], obtained for SPASE (located nearby at the same atmospheric depth and having similar dimensions to the 4-station IceTop array) brings string and IceTop reconstruction results in agreement as shown in Fig. 26 and Fig. 27.

![Graph showing zenith angle distribution and difference](image)

**Fig. 26.** Zenith angle distribution of string-reconstructed tracks (solid) and IceTop-reconstructed coincident showers (dotted for simple plane $\chi^2$ fit and dashed for curvature-corrected likelihood reconstruction).

**Fig. 27.** Zenith angle difference distribution between string-reconstructed tracks and IceTop-reconstructed coincident showers.

### 6 Coincidences of String-21 with AMANDA and SPASE

Coincidences with two existing nearby detectors allow further exploration of the response of the IceCube string. The center of AMANDA is 328 m from String-21, and most AMANDA modules are between 1500 and 2000 m deep, as shown in Fig. 28. The center of SPASE is 248 m from the top of String-21, so trajectories through SPASE and String-21 have zenith angles between $5^\circ$ and $10^\circ$.

When these data were taken, neither AMANDA nor SPASE was directly connected to IceCube. As a consequence, coincidences with these detectors were found using the event times from their independent GPS clocks. In the January 2006, the SPASE, AMANDA, and IceCube triggers were connected to permit coincident triggering, and a system for synchronizing the reference times of the three detectors was installed. The detectors will be integrated further in coming years.
Figure 29 shows the zenith and azimuthal distributions for AMANDA coincidences with String-21. There are two peaks in the azimuthal distribution separated by 180° depending on which detector the muon hits first. The relative population of the two peaks is determined by the convolution of the geometry of the two detectors with the steeply peaked zenith angle distribution of atmospheric muons. IceCube is deeper than AMANDA, so most muons go through AMANDA first. The corresponding shift in the zenith angle distribution is apparent in the scatter plot, which is shown in Fig. 30.
6.2 String-21 and SPASE

The SPASE scintillator array has a detector spacing of 30 meters and hence a threshold for air-showers about an order of magnitude lower than IceTop. It can therefore extend the IceCube primary composition analysis to lower energy. The SPASE data acquisition system was upgraded at the end of 2002, and analysis of coincidences with AMANDA-II is currently underway to extend the investigation carried out with AMANDA-B10 before 2002 [35].
shows the showers that are seen by both SPASE and String-21.

7 Summary and Plan for Completion

We have deployed and operated one string and eight surface detectors of the IceCube Neutrino Observatory in 2005. The separation between the digital optical modules on the surface and those near the bottom of the string in the deep ice is 2.5 km, comparable to the scale projected for the finished IceCube. Successful reconstruction of coincident cosmic-ray events with few nanosecond accuracy therefore demonstrates that the full detector will work as planned.

All 76 PMTs in the DOMs are working. Remote calibration of the timing and amplitude of the digital optical modules is carried out successfully as part of the normal operation of the detector. Tests with downward cosmic-ray muons and with artificial flashers demonstrate that timing accuracy at the level of a few nanoseconds is maintained over all the DOMs. These accurate calibrations have allowed the reconstruction of the first upward-going candidate neutrino induced events with unambiguous time patterns on more than 30 DOMs. The zenith angle distribution of atmospheric muons has been reconstructed with String-21 and the time residuals for each DOM have been measured using muons. The ability to reconstruct waveforms with few nanosecond resolution will be a powerful tool for analysis of complex events.

The presence of a surface array above a neutrino telescope is a unique feature of IceCube. It will enable an exploration of the cosmic-ray spectrum using the combined measurement of the electromagnetic and muon components of atmospheric showers at energies from below the knee up to $10^{18}$ eV with unprecedented statistics and energy resolution. Charge spectra of the DOMs in the 8 IceTop tanks exhibit a muon peak at about 240 PE indicating a nominal energy deposition of 0.8 MeV/photoelectron, and the data indicate a uniform response as a function of the deposited energy in the tanks. The direction of showers has been reconstructed and the expected $\sec(\theta)$ dependence is observed.

During the austral summer of December 2005 - January 2006 eight more strings of 60 DOMs each have been deployed in the ice along with 12 additional surface stations. After the new strings are commissioned, the number of operating DOMs with 10 inch PMTs in IceCube will be comparable to the total number of 8 inch PMTs in the AMANDA modules. Because of the larger spacing the effective volume of the combined neutrino detector will be twice that of AMANDA II and somewhat larger for higher energy events ($>10$ TeV). This will allow the experiment to start physics analysis, and in a few years the discovery of the first astrophysical neutrinos is a realistic possibility. With
sixteen stations on the surface the combined detector will also be sensitive to cosmic-rays up to $10^{17}$ eV.

The construction is scheduled to advance at a rate of 14 or more strings and tank stations per year in successive seasons until the detector reaches its final configuration in 2011. The plan calls for 4800 In-Ice DOMs on 80 strings, distributed in a volume of 1 km$^3$ in a triangular pattern with 125 m lateral spacing and at depths from 1450 to 2450 m. The surface array will comprise 160 frozen water tanks each containing 2 DOMs, which constitute 80 stations arranged in pairs associated with each string.

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