Intensity threshold based solid tumour segmentation method for Positron Emission Tomography (PET) images: A review

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ABSTRACT

Accurate, robust and reproducible delineation of tumour in Positron Emission Tomography (PET) is essential for diagnosis, treatment planning and response assessment. Since standardized uptake value (SUV) – a normalized semiquantitative parameter used in PET is represented by the intensity of the PET images and related to the radiotracer uptake, a SUV based threshold method is a natural choice to delineate the tumour. However, determination of an optimum threshold value is a challenging task due to low spatial resolution, and signal-to-noise ratio (SNR) along with finite image sampling constraint. The aim of the review is to summarize different fixed and adaptive threshold-based PET image segmentation approaches under a common mathematical framework. Advantages and disadvantages of different threshold based methods are also highlighted from the perspectives of diagnosis, treatment planning and response assessment. Several fixed threshold values (30%–70% of the maximum SUV of the tumour (SUVmaxT)) have been investigated. It has been reported that the fixed threshold-based method is very much dependent on the SNR, tumour to background ratio (TBR) and the size of the tumour. Adaptive threshold-based method, an alternative to fixed threshold, can minimize these dependencies by accounting for tumour to background ratio (TBR) and tumour size. However, the parameters for the adaptive methods need to be calibrated for each PET camera system (e.g., scanner geometry, image acquisition protocol, reconstruction algorithm etc.) and it is not straightforward to implement the same procedure to other PET systems to obtain similar results. It has been reported that the performance of the adaptive methods is also not optimum for smaller volumes with lower TBR and SNR. Statistical analysis carried out on the NEMA thorax phantom images also indicates that regions segmented by the fixed threshold method are significantly different for all cases. On the other hand, the adaptive method provides significantly different segmented regions only for low TBR with different SNR. From this viewpoint, a robust threshold based segmentation method that will be less sensitive to SUVmaxT, SNR, TBR and volume needs to be developed. It was really challenging to compare the performance of different threshold-based methods because the performance of each method was tested on different data set with different data acquisition and reconstruction protocols along with different TBR, SNR and volumes. To avoid such difficulties, it will be desirable to have a common database of clinical PET images acquired with different image acquisition protocols and different PET cameras to compare the performance of automatic segmentation methods. It is also suggested to report the changes in SNR and TBR while reporting the response using threshold based methods.

1. Introduction

Positron Emission Tomography (PET), a modality of nuclear medicine imaging, shows a very high sensitivity and quantitative capability (picomolar concentrations of radiotracer can be imaged) over other imaging techniques making it suitable for direct in vivo biochemical studies and three-dimensional (3D) whole body imaging (Reader and Zweig, 2001). Availability of wide range of physiologically relevant image contrast also makes PET a flexible imaging modality. Since its introduction in medicine, PET has extensively been used in tumour diagnosis and staging (Czernin et al., 2007; Valk et al., 2004). Recently PET is being used in treatment planning (Ford et al., 2009) and monitoring early response to therapy with development of novel radio tracers (Kenny et al., 2007).

Two PET images feature are conventionally considered to be the most relevant in clinical settings - Standardized uptake value (SUV) and...
metabolic tumour volume (MTV). SUV – a normalized semiquantitative parameter that is derived using the intensity of the PET images. Tumour and surrounding background organs or tissues radiotracer uptake is conventionally represented by SUV. MTV is defined by the volume with similar SUV (Chung et al., 2009). The objectives of PET images segmentation vary based on whether the SUV or MTV is subsequently going to be used for diagnosis, treatment planning or response assessment.

The purpose of delineation of PET lesion varies based on the ultimate utilization of the segmentation ROI. In radiotherapy planning, the objective of PET image is delineation is to have a significant overlap between tumour’s anatomical volume and MTV. On the other hand, for diagnosis and response to therapy assessment in oncological PET, SUV along with MTV and full quantitative (kinetic) parameters are also examined. In clinical practice, SUV is used as a image based biomarker to measure and quantify treatment response (Weber, 2005). In conventional response assessment, generally maximum SUV (SUVmax) representing a single-voxel value is used (Chung et al., 2011). However, response assessment based on SUV value extracted from a single voxel may lead to uncertainty, caused by the presence of high level of PET image noise (Krak et al., 2005). Peak (Wahl et al., 2009) or mean SUV (Nahmias and Wahl, 2008) (SUVpeak, or SUVmean) are proposed as an alternative to SUVmax that are more robust to noise. SUVpeak is normally defined as the average of SUVs centered around a high radiotracer uptake part within a ROI. Whereas SUVmean is the average SUV over all the voxels located within the ROI. Since both SUVpeak and SUVmean represent average SUV values over few voxels, they are less sensitive to image noise. Because of this reason, they are suitable to be used in quantification of treatment response with lower uncertainty (Krak et al., 2005). However, several biological and technical factors along with region of interest (ROI) segmentation and peak definition impact the reproducibility of these parameters (Adams et al., 2010; Cheebsumon et al., 2011b; Vanderheek et al., 2012).

Though manual segmentation of tumour is considered as the gold standard, it is sensitive to inter and intra observer variability (Maroy et al., 2008), particularly when only PET is used for delineation (Breen et al., 2007). Some of the challenges can be circumvented by fusing of CT anatomical information with PET (McKay et al., 2018; Townsend, 2008). However, the manual delineation problem still remains challenging as being underlying physiological edge does not always necessarily relate to anatomical boundary (Greco et al., 2007; Messa et al., 2006).

To reduce the variability arising from manual segmentation, a number of automatic techniques were proposed. They can be categorized broadly into four groups (Zaidi and El Naqa, 2010) - a) thresholding (Erdi et al., 1997), b) variational approaches (El Naqa et al., 2007), c) learning methods (Belhassen and Zaidi, 2010) and d) stochastic modelling-based (Aristophanous et al., 2007). Comprehensive reviews of all these techniques have already been presented by other authors (Foster et al., 2014; Zaidi and El Naqa, 2010).

Among these methods, SUV based threshold is a natural choice for segmenting tumour from background as it is related to the intensity of the image (Erdi et al., 1997) and is widely used due to its simplicity and efficiency in implementation in clinical practice. Several papers were published either to review (Foster et al., 2014; Lee, 2016; Zaidi and El Naqa, 2010) or to compare (Cheebsumon et al., 2011a; Tylski et al., 2010) different thresholded methods. The purpose of this article is it to review threshold-based methods that are generally used in clinical settings using a common mathematical framework. This review article also highlights the advantages and disadvantages of threshold-based PET image segmentation from two different perspectives – response to treatment and radiotherapy planning. A few recommendations are discussed in this paper.

2. Threshold based segmentation

Several studies suggested that threshold based segmentation method is robust to noise and resolution compared to other segmentation methods (e.g., clustering (Belhassen and Zaidi, 2010), image gradient based (Geets et al., 2007) etc.). However, due to low signal-to-noise ratio (SNR), finite image sampling constraint and poor spatial resolution of PET camera, the SUVs within the tumour and its surrounding area are not easily discernible (Figure 1). Because of this reason determination of an optimum threshold value is a difficult task (Schafer et al., 2008).

Threshold based PET image segmentation methods proposed in the literature can be broadly categorized in two classes based on how the optimum threshold value is determined – 1) fixed threshold method and 2) adaptive threshold method. Figure 2 shows the further subgroups.

3. Fixed threshold (TFixed)

In a fixed threshold based PET image segmentation method, a fixed percentage (TFixed) of the SUVmax of the tumour (SUVmax) is used to determine the SUVFixed value and can be given as

\[
SUV_{\text{Fixed}} = T_{\text{Fixed}} \times SUV_{\text{max}}
\]

(Voxel having SUV greater than or equal to SUVFixed are included in the segmented ROI. Generally, the value of TFixed is defined empirically. A fixed empirical threshold value can provide a good separation between the tumour and background and yields a good the segmented volume closely matches the true volume (van Dalen et al., 2007). Theoretically, TFixed Value 50% can provide a good estimated volume for noise free image. However, the SUVmean extracted from this segmented volume is smaller than the true SUVmean due to poor resolution of PET camera and partial volume effects (PVE) specifically for tumour with diameter two or three times smaller than the resolution of the imaging system (Kessler et al., 1984; Soret et al., 2007). The problem becomes even challenging in clinical cases where the true volume is unknown and presence of high noise in PET images. In such cases, unreliable results may be obtained if threshold is determined by using only one maximum pixel (Figure 3).

Erdi et al. (1997) proposed that in case where PVE are negligible, e. g., for volumes (≥4 mL), by considering only tumour to background ratio (TBR) 36–44% fixed threshold values can be used as reasonable approximations. For tumours with volume bigger than 4 ml and TBR more than 5, 40% fixed threshold is the most suitable approximation. For each individual TBR, a functional form can be fitted using the following equation

\[
T_{\text{Fixed}} = A \times \exp \left(\frac{C}{C_0^{\text{exp}}} \right)
\]

V represents volume in mL. A and C values are determined experimentally by fitting Eq. (2) to the measured data and their values vary with TBRs. For bigger volume the method works well as can be seen from Figure 2. Previous investigation hypothesized that T can be a function of V and TBR

\[
T_{\text{Fixed}} = f(V, TBR)
\]

Another study suggested that the TFixed value is independent of TBR and can be determined directly from the size of the tumour as (van Dalen et al., 2007)

\[
T_{\text{Fixed}} = \begin{cases} 
40\% & \text{for } V > 15 \text{ mm diamet tumour } \\
50\% & \text{for } V < 12 \text{ mm diamet tumour}
\end{cases}
\]

Another study suggested that the TFixed value is independent of TBR and can be determined directly from the size of the tumour as (van Dalen et al., 2007)

A range of other TFixed values ranging between 30 – 90 % have been studied and evaluated on patient and phantom. Some of them are summarized in Table 1.

The detail investigation of the fixed threshold reveals that there is no conclusive consensus on the value of the optimum fixed threshold value, TFixed is available and TFixed is not only dependent on the volume and TBR but also scanner specification, reconstruction and data acquisition protocols, noise level etc. Since SNR and Full Width Half Maximum (FWHM) as a measure of image resolution include all these parameters, TFixed can be expressed as
Figure 1. Left: an example PET image of a NEMA thorax phantom. Right: a histogram of the ROI marked by red colour on the left image. Since the histogram is not bimodal it is challenging to determine a threshold level from the histogram.

Figure 2. Overview of intensity threshold-based segmentation methods for PET images.

Figure 3. Top row: PET NEMA thorax phantom with 2:1 and 4:1 tumour to background (TBR) ratio or contrast with 15- and 65-minutes acquisition duration to represent different signal to noise ratio (SNR). Middle row: horizontal profiles through all the six spheres for 2:1. Bottom row: 4:1.
| Segmentation method | Object type Or anatomical location | Radiotracer and Data acquisition duration | Object Size | TBR or Contrast Validation procedure | Reconstruction algorithm parameters | Reference |
|---------------------|-----------------------------------|------------------------------------------|-------------|-------------------------------------|-----------------------------------|-----------|
| 1 T<sub>Fixed</sub> 42% | An elliptical Jaszczak phantom | 15 min | 0.4–5.5 mL | 7.4, 5.5, 3.1, and 2.8 | Validated on phantom study | Hann filter with decay, randoms, scatter, and attenuation correction. | (Erdi et al., 1997) |
|                     | 10 patients 17 primary or metastatic lung lesions | 18F-FDG 45 min | 0.88–76.94 mL | 2.3 to 9.2 | Using standard clinical parameters | | |
| 2 T<sub>Fixed</sub> 34% | Moving phantom | Over 20 min | 22Na 3 sphere with inner diameters of 1.3, 2.9, and 6.6 cm. | N/A | Compared to actual sphere volume | Using an iterative, ordered subsets, expectation maximization algorithm. | (Caldwell et al., 2003) |
| 3 T<sub>Fixed</sub> 30%, 40%, 50%, 60%, 70% and 90% | Non-Small Cell Lung Cancer (NSCLC) patient | 3 min per bed position | N/A | N/A | Compared with the PET relapse volume | Fourier rebinning and attenuation-weighted ordered-subset expectation maximization | (Calais et al., 2015) |
| 4 T<sub>Fixed</sub> 40%, 50%, 60% and 70% | Recurrent High Grade Glioma Patient | T<sub>Fixed</sub> 40 min | N/A | N/A | Compared with MRI | Siemens Biograph 6 PET/CT scanner. Algorithm details not available | (Debus et al., 2018) |
| 5 T<sub>Fixed</sub> 50% and 70% | Phantom and Simulation | 3-5, 7-10, 30-40 min | 10, 15, 20, and 30-mm diameter | 2, 4, and 8 | Validated on phantom study | Four order Sub expectation Maximization (OSEM) with 2 iterations and 16 subsets, with or without a 6-mm Gaussian filter | (Boellaard et al., 2004) |
| 6 T<sub>Fixed</sub> 50% | 40 patients Intact squamous cell carcinoma | 18F-FDG 5 min per bed position | 2–456 mL | N/A | Compared to CTGTV | Using OSEM iterative reconstruction with two iterations and 28 subsets. | (Paulino et al., 2005) |
| 7 T<sub>Fixed</sub> 50% | 101 patient Non-small-cell lung cancer (NSCLC) | 18F-FDG 40 min | N/A | N/A | Compared to CTGTV | Iterative Algorithm (OSEM 180). | (Moureau-Zabotto et al., 2005) |
| 8 T<sub>Fixed</sub> 40%, SUV2.5 | 19 patients Non-small-cell lung cancer (NSCLC) | 18F-FDG Over 45 min | 12–922 mL | N/A | Compared to CTGTV | N/A | (Hong et al., 2007) |
| 9 Manual, T<sub>Fixed</sub> 40%, 50%, TBR | 78 patients Squamous cell carcinoma of the head and neck area | T<sub>Fixed</sub> 16 min for the two bed positions | 17.4–27.9 mL | N/A | Compared to GTVvis | 2D ordered subset Expectation maximization iterative algorithm (four iterations, 16 subsets). | (Schinagl et al., 2007) |
| 10 Manual, SUV2.5 T<sub>Fixed</sub> 40%, TBR | 25 patients Non-small-cell lung cancer (NSCLC) | 18F-FDG | 9.3–666.2 mL | N/A | Validated on phantom study | Iterative reconstruction into 128 × 128 pixels of 5.1 mm | (Nestle et al., 2005) |
| 11 T<sub>Fixed</sub> 43%, SUV2.5 | The Jaszczak deluxe flangeless ECT Phantom | 18F-FDG 3 min per bed | 6 cylinders of diameter (3 at 25 mm and 3 At 8, 12, and 16 mm) and a length of 38.1 mm | 2.5:1 | Validated on phantom study | Ordered subset expectation Maximization (four iterations and eight subsets) | (Day et al., 2009) |
|                     | 18 patients rectal or anal cancer | 18F-FDG 2–4 min per bed position | 10.5–129.5 mL | N/A | | | |
| 12 TBR T<sub>Fixed</sub> 31%–47% | A spherical lucite phantom | T<sub>Fixed</sub> 10 min transmission scans | Six spheres with volumes ranging from 0.5 to 32 mL | 8.7, 6.5, 4.7, 3.0, 2.1, and 1.5 | Validated on phantom study and macroscopic examination. | 3D Filtered Back Projection (FBP) algorithm, a Fourier rebinning (FORE) algorithm and a FORE rebinning algorithm. With an OSEM reconstruction | (van Baardwijk et al., 2007) |
|                     | 33 consecutive patients NSCLC 23 Tumors | 18F-FDG 5-min bed positions (total of seven) | N/A | N/A | | Reconstructed iteratively With a reconstruction increment of 5 mm | |

(continued on next page)
Table 1 (continued)

| Object type | TBR or Contrast Validation procedure | Reconstruction algorithm | Reference |
|-------------|--------------------------------------|--------------------------|-----------|
| 10 tumors from simulated IEC phantom | 18F (1, 2 and 5 min) 10, 13, 17, 22, 28, and 37 mm diameters | N/A | (Vees et al., 2009) |
| 16 patients with high-grade gliomas | 18F-FET | 35 min | (Wanet et al., 2011) |
| 16 patients with esophageal squamous cell carcinomas (ESCC) | 18F-FDG | 35 min | (Wanet et al., 2011) |

\[
T_{\text{Fixed}} = f(V, \text{TBR}, \text{SNR}, \text{FWHM}) \tag{5}
\]

Closed investigation of the PET data also reveals that for smaller lesions, volumes are overestimated if lower \(T_{\text{Fixed}}\) values (<40%) are used and the overestimation amount is very much dependent not only on TBR but also on SNR (Figure 3).

4. Adaptive threshold (\(T_{\text{Adaptive}}\))

To overcome the dependency of fixed threshold-based method on different parameters (V, TBR, SNR and FWHM), several adaptive threshold based PET image segmentation methods were proposed. The main idea of adaptive threshold method is that, the threshold value is independently determined for each individual setting of parameters and hence, it is adaptable (\(T_{\text{Adaptive}}\)). Adaptive threshold can be sub-categorized into four groups – a) simple adaptive threshold, b) adaptive threshold with TBR, c) adaptive threshold with TBR and V and d) adaptive threshold based on background subtraction.

4.1. Simple adaptive threshold

In this case, \(T_{\text{Adaptive}}\) is derived using \(SUV_{\text{maxT}}\) and \(SUV_{\text{Adaptive}}\) similar to Eq. (1)

\[
T_{\text{Adaptive}} = 100 \times \frac{\text{SUV}_{\text{Adaptive}}}{\text{SUV}_{\text{maxT}}} \tag{6}
\]

But in this case, \(SUV_{\text{Adaptive}}\) is dependent on the mean SUV of the tumour (\(SUV_{\text{meanT}}\)) and background (\(SUV_{\text{meanBG}}\)) and given as

\[
SUV_{\text{Adaptive}} = f(SUV_{\text{meanT}}, SUV_{\text{meanBG}}) = (\alpha \times SUV_{\text{meanT}}) + (\beta \times SUV_{\text{meanBG}}) \tag{7}
\]

\(\alpha\) and \(\beta\) values are empirically determined by fitting Eq. (7) to the phantom data containing spheres with different sizes and TBRs. \(T_{\text{Adaptive}}\) values determined in such a way not only depend on the scanner, data acquisition and image reconstruction protocols but also on volume and TBR. \(\alpha\) and \(\beta\) required to be determined for each scanner, reconstruction algorithm, volume and TBR. \(\alpha\) and \(\beta\) values are also dependent on SNR via acquisition durations. An example of regression to determine \(\alpha\) and \(\beta\) for two acquisition durations (15 and 65 min) is shown in Figure 4 (Schaefer et al., 2008) and the values are given in Table 2.

Several proposed values for \(\alpha\) and \(\beta\) are shown in Table 3.

Once \(\alpha\) and \(\beta\) values are chosen using phantom data with known volume and TBR, to determine \(T_{\text{Adaptive}}\) for patient data using Eq. (7), the values of \(SUV_{\text{meanT}}\) and \(SUV_{\text{meanBG}}\) are required to be estimated. \(SUV_{\text{meanT}}\) is derived from the average of the SUVs of those voxels located within the tumour and within the isocentre of 70% of \(SUV_{\text{maxT}}\). \(SUV_{\text{meanBG}}\) is determined by placing a spherical ROI on the background and at a certain distance from the lesion to avoid partial volume effect (Nestle et al., 2005, 2007; Schaefer et al., 2008). If the background is not uniform, the tissue with the highest radiotracer uptake is considered as background (Nestle et al., 2005). The alternative way to calculate the average background value is to fit a Gaussian with the histogram of the ROI with the assumption that 80% voxels of the initial ROI belongs to the background (Tylski et al., 2010).

The level of threshold (\(SUV_{\text{Adaptive}}\)) can also be calculated employing an iterative way by fixing the second term of Eq. (7) to 0.588. In this procedure, it is assumed that either the threshold is not separately effected by the background activity and the sphere volume or there is only indirect effect via their influences on \(SUV_{\text{meanT}}\) (Black et al., 2004)

\[
SUV_{\text{Adaptive}} = (\alpha \times SUV_{\text{meanT}}) + 0.588 \tag{8}
\]

where \(\alpha = 0.307\). The iterative process of the algorithm generally starts with \(T = 0.307\) to calculate \(SUV_{\text{Fixed}}\) to get the initial estimated volume to determine \(SUV_{\text{meanT}}\) as in Eq. (1). This initial \(SUV_{\text{meanT}}\) is then used as to
start the iterative process of determining the new $T_{\text{Adaptive}}$ using Eq. (8). This procedure is repeated until less than one voxel difference two subsequent segmented regions provided by two subsequent iterations are detected.

This is to be noted that none of the above mentioned threshold methods explicitly include TBR in their respective regression formulas. With the decrease in background uptake or increase in tumour uptake, TBR increases as a result $T_{\text{Adaptive}}$ also increases. In other words, $T_{\text{Adaptive}}$ can be considered to be proportional to the radiotracer uptake of both tumour and background. Several different other methods were proposed to explicitly account for TBR (Daisne et al., 2003; Jentzen et al., 2007) and the definition of TBR is very much dependent on the estimations of tumour and background ROIs.

### 4.2. Adaptive threshold with TBR

In this method, $T_{\text{Adaptive}}$ is calculated directly by explicitly accounting for TBR (Daisne et al., 2003)

$$T_{\text{Adaptive}} = \alpha + \left( \beta \times \frac{1}{TBR} \right)$$  (9)

The paper did not mention the values for $\alpha$ and $\beta$. In another work, the values of $\alpha$ and $\beta$ were reported to be 44.1 and 70.4 (Schinagl et al., 2007). Threshold is inversely related to TBR. Similar findings were also reported by other researchers (Erdi et al., 1997).

### 4.3. Adaptive threshold with TBR and V

The volume and TBR are not explicitly accounted for in their respective functional forms for all the above mentioned methods. An analytical expression that accounts for variations in volume to generate different TBR curves can be written as (Jentzen et al., 2007)

$$T_{\text{Adaptive}} = 0.078 + \left( 0.617 \times \frac{1}{TBR} \right) + 0.316$$  (10)

Eq. (10) is derived by fitting it to phantom containing spheres of known volumes. However, for patient data, where the actual volume is unknown, the threshold value is first defined by approximating the TBR. A corresponding suitable TBR curve defined by Eq. (10) is then chosen. The final threshold is determined via iteratively updating both the threshold and volume.

The tumour cross sectional area (A) can also be used instead of the volume (Matheoud et al., 2011).

For $A < 133 \text{ mm}^2$

$$T_{\text{Adaptive}} = \alpha + \left( \beta \times \frac{1}{TBR} \right) + (\gamma \times A)$$  (11)

where $\alpha = 55.94$, $\beta = 56.96$, $\gamma = -0.25$.

For cross sectional area larger than 133 mm$^2$ ($A > 133 \text{ mm}^2$), $T_{\text{Adaptive}}$ can be considered not to be directly related to either volume or area and can be expressed as

$$T_{\text{Adaptive}} = \alpha + \left( \beta \times \frac{1}{TBR} \right)$$  (12)

where $\alpha$ and $\beta$ are reported to be 33.13 and 60.27 respectively.

In another study, threshold is considered to be related to the volume exponentially (Nehmeh et al., 2009). In this case, threshold is proposed to be derived using Monte Carlo simulation along with the background subtracted phantom data

$$T_{\text{Adaptive}} = \alpha + \exp \left( \beta \times (A + \delta) \right)$$  (13)

The parameters resulting from the fits are reported to be $\alpha = 5$, $\beta = 3.568$, $\gamma = 0.197$ and $\delta = -0.1069$. Computed Tomography (CT) data is used to define $V$. 

### Table 3. $\alpha$ and $\beta$ values.

| Reference          | $\alpha$   | $\beta$ | Scanner          | Reconstruction algorithm          |
|--------------------|------------|---------|------------------|-----------------------------------|
| Nestle et al. (2005)| 0.15       | 1.0     | Siemens ECAT ART PET | Iterative reconstruction          |
| Tyziki et al. (2010)| 0.25       | 1.0     | Siemens Biograph PET/CT | OSEM (6 iterations, 8 subsets)    |
| Nestle et al. (2007)| 0.7        | 0.5     | Siemens ECAT ART PET | Iterative reconstruction          |
| Schaefer et al. (2008)| 0.50       | 0.50    | Siemens ECAT ART PET | OSEM (2 iterations, 4 subsets, 2 mm Gaussian filter) |
|                    | 0.67       | 0.60    |                  |                                   |
4.4. Background subtraction based adaptive threshold

The main idea behind background subtraction based adaptive threshold method is to determine the optimum threshold by subtracting background. In this method, threshold is determined based on the percentage level of contrast that eliminates the requirement of volume estimation (Drever et al., 2006)

\[ \text{SUV}_{\text{Adaptive}} = \frac{\%\text{TBR}}{100} \times (\text{SUV}_{\text{maxT}} - \text{SUV}_{\text{meanBG}}) + \text{SUV}_{\text{meanBG}} \]  

(14)

where the %TBR is determined by the difference between maximum intensity within the tumour (SUV\(_{\text{maxT}}\)) and average intensity of the background region (SUV\(_{\text{meanBG}}\)) at each slice location of the phantom.

Another method similar to the method in Eq. (14) has been proposed (Davis et al., 2006)

\[ T_{\text{Adaptive}} = T_{\text{rel}} \times (\text{SUV}_{\text{maxT}} - \text{SUV}_{\text{meanBG}}) + \text{SUV}_{\text{meanBG}} \]  

(15)

In this method, a relative threshold, \(T_{\text{rel}}\) is used instead of %TBR. \(T_{\text{rel}}\) needs to be first determined for estimating \(T_{\text{Adaptive}}\). \(T_{\text{rel}}\) is defined as a fixed ratio of the normalized background subtracted \(\text{SUV}_{\text{maxT}}\) and depends on source diameter. It is generally estimated by setting the background uptake to 0% and maximum activity level to 100% and after subtraction of the background activity. For larger object with greater than 12.5 mm diameter, \(\text{SUV}_{\text{maxT}}\) of single voxel was used. For other cases, average of multiple voxels were used. Eq. (15) has a similar form of Eq. (9) with the only difference that \(\text{SUV}_{\text{Adaptive}}\) needs to be calculated first and can be expressed as

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Table 4. p value of one way ANOVA test.

| Segmentation Group 1 | Segmentation Group 2 | p value (40%) | p value (Adaptive) |
|----------------------|----------------------|---------------|--------------------|
| 1 15 Minutes, TBR 2:1 | 65 Minutes, TBR 2:1 | 0.000         | 0.000              |
| 2 15 Minutes, TBR 2:1 | 15 Minutes, TBR 4:1 | 0.000         | 0.000              |
| 3 15 Minutes, TBR 2:1 | 65 Minutes, TBR 4:1 | 0.000         | 0.000              |
| 4 65 Minutes, TBR 2:1 | 15 Minutes, TBR 4:1 | 0.000         | 0.468              |
| 5 65 Minutes, TBR 2:1 | 65 Minutes, TBR 4:1 | 0.000         | 0.144              |
| 6 15 Minutes, TBR 4:1 | 65 Minutes, TBR 4:1 | 0.854         | 0.856              |

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Figure 5. Representative segmentations of a sphere of a NEMA PET phantom with two different TBR (2:1 – top row and 4:1 – bottom row). Fixed threshold method is sensitive to TBR compared to the adaptive threshold methods.

Figure 6. Box plot of segmentation comparison for different acquisition durations: (a) 40% fixed threshold and (b) adaptive threshold. Segmented regions for fixed threshold is significantly different for all cases. Adaptive method provides significantly different regions only for low TBR.
\[
\text{SUV}_{\text{Adaptive}} = \text{SUV}_{\text{maxT}} \left[ \alpha + \beta \times \frac{1}{\text{TBR}} \right]
\]

where \( \alpha = \text{T}_{\text{ref}} \) and \( \beta = 1 - \text{T}_{\text{ref}} \).

One of the challenging tasks in performance assessment of all these threshold based methods is that they were not evaluated on the same set of patient PET images. On top of it, alpha and beta values are different for each individual model of scanner and image reconstruction protocol. Only a subset of the proposed methods, mainly the adaptive threshold based method that account for the background activity have been evaluated using the same set of data (Tyisky et al., 2010).

Representative segmentations of 40% fixed threshold and an adaptive threshold (Schafer et al., 2008) method is shown in Figure 5 for two different acquisition durations (15 and 65 min) for two different TBR.

An example boxplot of segmentation comparison between 40% fixed threshold and adaptive threshold based segmentation method (Schafer et al., 2008) for two acquisition durations (15 and 65 min) and contrast levels (2:1 and 4:1 TBR) is shown in Figure 6 using dice similarity coefficient (DSC) for 37 mm sphere for 5 realization. The corresponding p values of one way ANOVA test are shown in Table 4.

It is clearly evident form Figure 6 and Table 4 that 40% threshold method provides different segmentation regions depending on the noise levels for TBR 2:1. On the other hand, for TBR 4:1 the segmented regions for two noise levels are not significant (p = 0.854). Pairwise comparison of segmented regions provided by 40% threshold indicates that approximately 83% regions are significantly different (5 out of 6) based on noise and TBR. The adaptive threshold provides much improved results specially with the difference between segmented regions being smaller. However, for low TBR, the segmented regions are still significantly different (p < 0.05) with 50% segmented regions (3 out of 6) being significantly different.

5. Discussion

The challenges of delineation of PET images for treatment response assessment and radiation oncology are different. In radiation oncology, PET images are required to be delineated only once. A more accurate delineation of biological target volume (Gregoire et al., 2007; McKay et al., 2018) and dose distribution (Schwarz et al., 2005) are made possible due to availability of combined PET/CT scanner. On the other hand, PET images are acquired to be delineation multiple times for treatment assessment – 1) at baseline (sometimes more than once for reproducibility) and 2) after the treatment. Based on the solid tumour volume changes on CT images, World Health Organization (WHO) and Response Evaluation Criteria in Solid Tumours (RECIST) criteria recommend four treatment outcomes (Gregoire et al., 2007; Huang et al., 2011), for solid tumour - i) complete response (CR), ii) partial response (PR), iii) stable disease (SD) and iv) progressive disease (PD). PET based response criteria is yet to be established (Huang et al., 2011). In practice, changes in semiquantitative measures of SUVmax, SUVmean or SUVpeak before and after treatment are used for response assessment. However, metabolic volume may increase or shrink without having any significant changes in the SUV values. On the other hand, changes in CT volumes or SUV values do not describe the changes in heterogeneous radiotracer uptake of the tumour due to treatment (Therasse et al., 2000). Determination of a gold standard for evaluating PET segmentation method using anatomical volume delineated using CT and/or MRI, therefore, may not be suitable in case of assessing response to treatment. Tumour delineation is also affected by heterogeneous uptake of radiotracer (Hatt et al., 2011a). Conversely, heterogeneity metric itself is affected by tumour delineation method (El Naga et al., 2009).

Different PET image segmentation methods were proposed over the years ranging from fuzzy logic based methods (Hatt et al., 2009) to more recent deep learning based methods (Chen et al., 2019; Leung et al., 2020). All these methods have their own limitations. One of the major limitations in PET imaging is the variability of radiotracer uptake. Since PET camera acquires in vivo metabolic activity, reproducibility is one of the most challenging tasks in PET imaging unlike other imaging modalities. On the other hand, due to limited number of PET centers around the globe, the available images are not big in numbers for training and if the training set does not contain any specific PET image, there is a high probability of the segmentation method to fail. The image acquisition and reconstruction protocols are also different for different radionuclides and scanner models that makes to have a good training data set more challenging. Also, since deep learning based method works as a black box, it is difficult to identify exactly the cause of such failure. Because of all these reasons, though there are several limitations of threshold based methods, fixed and adaptive threshold are still being used because of its simplicity in implementation and predictability (Le Thiec et al., 2020; Pflaehler et al., 2020; Raynor et al., 2019).

For any specific radionuclide, the dependency of \(T_{\text{fixed}}\) on the size of the tumour can be explained by PVE. Since due to spillover effect (provided that the background is cold), the SUV\(_{\text{maxT}}\) for smaller tumour is always lower compared to the big ones, the value of \(T_{\text{fixed}}\) also becomes smaller. Because of this reason, fixed threshold method generally provides overestimated segmented volumes for smaller objects. Similarly, same fixed threshold will provide overestimated volumes for a tracer with lower uptake. For example \(^{18}\text{F}\)-FLT or PET uptake is generally significantly lower compared to \(^{18}\text{F}\)-FDG and also can vary between patients even between tumours of the same patient (Debus et al., 2018; Kenny et al., 2007). A big range of fixed thresholds (30–70%) is proposed to segment volume with high \(^{18}\text{F}\)-FDG uptake on initial PET/CT scans because of potential radiotherapy dose escalation of target volumes (Calais et al., 2015; van Baardwijk et al., 2007). This big margin indicates that when the tumour uptake is high (high SNR) with a cold background (high TBR), a reasonable fixed threshold can provide a reasonable estimation of the true volume.

On the contrary, fixed threshold fails if SNR and TBR are low. In such case, adaptive threshold provides better alternatives by allowing to adapt the threshold value (\(T_{\text{Adaptive}}\)) to the data. However, inclusion of too many parameters in the functional form to determine \(T_{\text{Adaptive}}\) can make it susceptible to minute changes in the data. A recent study highlights that manual selection of optimal gradient is the most suitable and robust technique in comparison to threshold based segmentation method (Werner-Wasik et al., 2012). Gradient based method is also least affected by variations of physical properties of the PET cameras and different image acquisition and reconstruction protocols. However, gradient based methods are more susceptible to local changes in intensity and a noisy voxel can be detected as a false edge. To overcome the limitation of gradient based method either noise need to be removed using filter before segmentation (Geets et al., 2007) or ROI can be segmented using global gradient information (Tamal, 2019a) or by combining filtering with global gradient information (Tamal, 2019b). Alternatively, multimodal gradient based segmentation can also be considered that can overcome the limitations of either modality (Sbei et al., 2020). With the recent increasing interest in radiomics analysis where textural features are investigated (Aerts et al., 2014; d’Amico et al., 2020), gradient based segmentation method may provide more robust information regarding the texture than intensity threshold method.

The challenges of validation of different automatic segmentation methods are crucial and generally the performance is evaluated in terms of robustness, accuracy and reproducibility (Jannin et al., 2002, 2006). For the purpose of performance assessment, either physical phantom or simulation study where the true volume is known is considered the most suitable option. In real patient studies, identification of gold standard is challenging since the ground truth is never known (Zaidi and El Naga, 2010). Among several options surgical specimen, information from other imaging modalities (e.g., CT or MRI) are occasionally considered (Daigne et al., 2004). These approaches have their own limitations (Lee, 2010; Stroom et al., 2007). On the other hand, several volume overlap measures, e.g., Dice’s similarity index, Hausdorff’s contour-to-contour distances, barycentre distance etc. are used. The accuracy of different
segmentation methods can be validated using different volume overlap and statistical measures with different models of phantom (both physiological and mathematical) representing different simple and complicated clinical cases (Lee, 2010; Zaidi and El Naqa, 2010).

6. Conclusion

A review of threshold methods (both fixed and adaptive) is provided using a common mathematical framework. Fixed threshold-based methods are sensitive to SNR, TBR and size. Since threshold based methods are dependent on noise sensitive SUVmax T, SNR, TBR and volume needs to be developed. It was really this viewpoint, a robust threshold based technique that is not sensitive to changes in TBR and size. However, the regression functions of the adaptive methods sometimes may contain PET system (e.g. scatter, data acquisition protocol, image acquisition and reconstruction protocols etc.) dependent multiple parameters and therefore, it is not straightforward to implement the same procedure to other clinical PET systems to achieve similar results. The performance of adaptive method is also not optimum for smaller volumes with lower TBR and SNR. From this viewpoint, a robust threshold based technique that is not sensitive to SUVmax T, SNR, TBR and volume needs to be developed. It was really challenging to perform the difference of different threshold-based methods because the performance of each method was tested on different data set with different data acquisition and reconstruction protocols along with different TBR, SNR and volumes. To avoid such difficulties, it will be desirable to have a common database of clinical PET images acquired with different image acquisition protocols and different PET cameras to compare the performance of automatic segmentation methods. It is also suggested to report the changes in SNR and TBR while reporting the response using threshold based methods.

Declarations

Author contribution statement

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