Postoperative Outcomes After Degenerative Lumbar Spine Surgery in Rheumatoid Arthritis Patients - a Propensity Score-matched Analysis

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Abstract

Background. Although treatment options for rheumatoid arthritis (RA) have evolved significantly since the introduction of biologic agents, degenerative lumbar disease in RA patients remains a major challenge. Well-controlled comparisons between RA patients and their non-RA counterparts have not yet been reported. The objective of the present study was to compare postoperative outcomes of lumbar spine surgery between RA and non-RA patients by a retrospective propensity score-matched analysis.

Methods. Patients who underwent primary posterior spine surgery for degenerative lumbar disease in our prospective multicenter study group between 2017 and 2020 were enrolled. Demographic data including age, sex, body mass index (BMI), American Society of Anesthesiologists (ASA) physical status classification, diabetes mellitus, smoking, steroid usage, number of spinal levels involved, and preoperative patient-reported outcome (PRO) scores (numerical rating scale [NRS] for back pain and leg pain, Short Form-12 physical component summary [PCS], EuroQOL 5-dimension [EQ-5D], and Oswestry Disability Index [ODI]) were used to calculate a propensity score for RA diagnosis. One-to-one matching was performed and 1-year postoperative outcomes were compared between groups.

Results. Among the 4567 patients included, 90 had RA (2.0%). RA patients in our cohort were more likely to be female, with lower BMI, higher ASA grade and lower current smoking rate than non-RA patients. Preoperative NRS scores for leg pain, PCS, EQ-5D, and ODI were worse in RA patients. Propensity score matching generated 61 pairs of RA and non-RA patients who underwent posterior lumbar surgery. After background adjustment, RA patients reported worse postoperative PCS (28.4 vs. 37.2, p=0.008) and EQ-5D (0.640 vs. 0.738, p=0.03), although these differences were not significant between RA and non-RA patients not on steroids.

Conclusions. RA patients showed worse postoperative outcomes after posterior surgery for degenerative lumbar disease, while steroid-independent RA cases showed equivalent outcomes to non-RA patients.

Background

Rheumatoid arthritis (RA) is a systemic inflammatory disease that involves multiple joints. [1] Synovitis leading to joint destruction is well known to involve major weight-bearing joints such as the knees and ankles. RA also affects the spine by damaging the synovium in facet joints. Classically, atlantoaxial instability is one of the pathognomonic changes seen in RA patients. [2] In recent years, treatment options for RA have significantly evolved. Disease-modifying antirheumatic agents including biologics such as Tissue Necrosis Factor alpha (TNF-α) inhibitors have drastically changed the prognosis of joint destruction. [3] As for spinal pathology, atlantoaxial instability and subsequent basilar invagination are also known to be significantly suppressed following introduction of biologics. [4]

The lumbar spine is not exempt from the destruction seen in RA. [5, 6] RA in the lumbar spine manifests as spinal canal stenosis as well as back pain and spondylolisthesis caused by joint instability. [7] In particular, spondylolisthesis has been reported more frequently in RA patients than in the general
population, possibly due to facet joint erosion. [8, 9] Despite tremendous success in the treatment of cervical spine pathologies in RA, degenerative lumbar disease in RA remains a major challenge. Lumbar spondylopathy has also become a significant burden as a growing issue among RA patients with the improvements in activities of daily living seen in the era of biologics. [10]

In addition to the complexity of spinal pathology, RA patients also suffer a higher rate of complications such as vertebral fractures, surgical site infection and revisions required following spinal surgery. [11–13] This has been attributed to impaired bone strength related to inflammation and steroid usage, immunosuppression due to RA treatment and progressive erosion of the facet joints. While outcomes in RA patients undergoing lumbar spinal surgery have been studied, [11, 12, 14–16] well-controlled comparisons between RA patients and their non-RA counterparts have yet to be reported. Complicating such comparisons is the fact that background health status and the pathologies found in lumbar spondylopathy differ between these two groups. The objective of the present study was to compare postoperative outcomes after lumbar spine surgery between RA and non-RA patients.

**Methods**

**Patient sample and outcome measurements**

Patients > 20 years old who underwent posterior spine surgery as a primary procedure for degenerative lumbar disease in our prospective multicenter study group (the University of Tokyo Spine Group) between 2017 and 2020 were enrolled. Demographic data including age, sex, body mass index (BMI), American Society of Anesthesiologists (ASA) physical status classification, diabetes mellitus, rheumatoid arthritis, smoking, steroid usage, and number of spinal levels involved were investigated. Surgical details including fusion level, operation time, and estimated blood loss were recorded. Patient-reported outcome (PRO) scores were also collected preoperatively by distributing questionnaires including a numerical rating scale (NRS) for back pain, NRS for leg pain, 12-item Short Form (SF-12) physical component summary (PCS), [17] EuroQOL 5-dimension (EQ-5D), [18] and Oswestry Disability Index (ODI). [19] Complications occurring within 30 days after surgery were recorded and categorized as neurological, surgical site infection, hematoma, implant-related, organ damage, respiratory, urinary tract infection, cardiovascular/cerebrovascular, in-hospital death, or other. All patients were encouraged to attend the 1-year follow-up appointment and to complete the same PRO questionnaires.

**Propensity score-matched analysis**

To make comparisons between RA and non-RA patients adjusted for preoperative background factors, propensity score matching was performed. Propensity score-matched analysis is widely used in cohort studies to adjust for confounding biases. [20] With this statistical approach, propensity scores estimate the probability of a patient being diagnosed with RA based on patient characteristics. Propensity scores were calculated from logistic regression models. In the present study, demographic data (age, sex, BMI, ASA classification, diabetes mellitus, smoking, and number of spinal levels involved) as well as preoperative PRO scores (NRS back pain, NRS leg pain, PCS, EQ-5D, and ODI) were used to calculate a
propensity score for RA diagnosis. Next, one-to-one matching was performed to match one patient with RA to another non-RA patient with the same propensity score, representing comparable background characteristics. RA and non-RA patients were gathered to form two groups with similar backgrounds for comparison. One-year postoperative PRO scores and 30-day complication rates were compared between groups.

**Statistical analyses**

All analyses were carried out using the IBM SPSS Statistics version 26 (IBM Corp., Armonk, NY). To analyze differences between groups, a paired \( t \)-test or Wilcoxon signed-rank test was used for continuous variables and McNemar's test was used for categorical variables. For comparisons among three groups, the Kruskal-Wallis test with Dunn-Bonferroni post-hoc testing was used. For all statistical tests, values of \( p < 0.05 \) were considered significant.

**Results**

**Demographics**

Among the 4567 patients included, 90 had RA (2.0%). Demographic data in each group are summarized in Table 1. Compared to non-RA patients, RA patients in our cohort were more likely to be female (20.0% vs. 58.3%, \( p < 0.001 \)), with lower BMI (23.2 kg/m\(^2\) vs. 24.4 kg/m\(^2\), \( p = 0.002 \)), higher ASA classification (\( p = 0.01 \)) and lower current smoking rate (2.2% vs. 10.6%, \( p = 0.01 \)). Among patients who successfully completed preoperative PRO questionnaires, NRS for leg pain (7.3 vs. 6.6, \( p = 0.03 \)), PCS (19.0 vs. 26.1, \( p < 0.001 \)), EQ-5D (0.514 vs. 0.558, \( p = 0.01 \)), and ODI (51.1 vs. 42.1, \( p < 0.001 \)) were all worse in RA patients.
Table 1
Demographic data

|                        | Total       | RA          | Non-RA      | p     |
|------------------------|-------------|-------------|-------------|-------|
| n                      | 4567        | 90          | 4477        |       |
| Age (yrs, mean [SD])   | 70.3 (10.4) | 72.4 (8.0)  | 70.2 (10.5) | 0.09  |
| Sex (male, %)          | 57.5%       | 20.0%       | 58.3%       | < 0.001 |
| BMI (kg/m², mean [SD]) | 24.4 (3.7)  | 23.2 (3.6)  | 24.4 (3.7)  | 0.002 |
| ASA grade (median, range) | 2 (1 - 4)  | 2 (1 - 3)   | 2 (1 - 4)   | 0.01  |
| Diabetes mellitus (%)  | 17.0%       | 20.0%       | 17.0%       | 0.45  |
| Current smoker (%)     | 10.4%       | 2.2%        | 10.6%       | 0.01  |
| Number of spinal levels involved | 2.7 (0.9)  | 2.8 (0.9)   | 2.7 (0.9)   | 0.54  |
| Preoperative PRO (mean [SD]) |           |             |             |       |
| Back pain (NRS)        | 5.5 (3.0)   | 6.0 (3.2)   | 5.5 (3.0)   | 0.14  |
| Leg pain (NRS)         | 6.6 (2.8)   | 7.3 (2.6)   | 6.6 (2.8)   | 0.03  |
| SF-36 (PCS)            | 26.0 (14.3) | 19.0 (13.2) | 26.1 (14.3) | < 0.001 |
| EQ-5D                  | 0.557 (0.157)| 0.514 (0.171)| 0.558 (0.157)| 0.01  |
| ODI                    | 42.2 (17.8) | 51.1 (18.6) | 42.1 (17.7) | < 0.001 |

SD: standard deviation, BMI: body mass index, ASA: American Society of Anesthesiologists Classification, PRO: patient-reported outcome, NRS: numeric rated scale, PCS: physical component summary, EQ-5D: EuroQOL 5-dimension, ODI: Oswestry Disability Index, RA: rheumatoid arthritis. Values are shown in mean with standard deviation or percentage, with exception of ASA grade shown as median and range.

No significant differences were seen in the number of spinal levels involved, but fusion surgery was more common in the RA group (48.9% vs. 33.8%, p = 0.003). Operation time was longer (162 min vs. 140 min, p = 0.01) and estimated blood loss was greater (254 vs. 154 mL, p < 0.001) in the RA group.

A total of 2394 patients (52.4%) completed 1-year postoperative PRO questionnaires, enabling further analysis. Table 2 shows the results for PRO scores with intergroup comparisons. All PRO scores investigated in the present study showed significant postoperative improvement compared to preoperative scores (p < 0.001) for the entire cohort. Comparisons between RA and non-RA patients revealed that postoperative NRS for leg pain, PCS, EQ-5D, and ODI were significantly worse in RA patients. Thirty-day complication rates were higher in the RA group (11.1%) than in the non-RA group (4.5%, p = 0.003), with urinary tract infection as the most frequent complication among RA patients (3.3%).
Table 2
Postoperative outcomes in RA and non-RA patients

|                  | Total   | RA   | Non-RA | p     |
|------------------|---------|------|--------|-------|
| n                | 2394    | 46   | 2348   |       |
| Back pain (NRS)  | 2.8 (2.7) | 3.0 (2.7) | 2.9 (2.7) | 0.76 |
| Leg pain (NRS)   | 3.1 (3.0) | 4.6 (3.1) | 3.1 (3.0) | 0.001|
| SF-36 (PCS)      | 38.2 (14.8) | 28.4 (14.5) | 38.4 (14.8) | < 0.001|
| EQ-5D            | 0.742 (0.188) | 0.634 (0.214) | 0.744 (0.187) | < 0.001|
| ODI              | 20.5 (18.2) | 34.1 (23.0) | 20.2 (18.0) | < 0.001|

NRS: numeric rated scale, PCS: physical component summary, EQ-5D: EuroQOL 5-dimension, ODI: Oswestry Disability Index, RA: rheumatoid arthritis. Values are shown in mean with standard deviation in parentheses.

**Propensity score matching**

Propensity score matching generated 61 pairs of RA and non-RA patients who underwent posterior lumbar surgery. As expected, no differences were evident between groups for any of the factors included in propensity score calculation, and the two groups showed statistically identical baseline characteristics (Table 3). Fusion surgery represented approximately half of surgeries in both groups (49% vs. 46%, p = 0.72).
Table 3
Comparison of background characteristics between propensity score-matched groups

|                          | RA        | Non-RA    | p   |
|--------------------------|-----------|-----------|-----|
| Age (yrs, mean [SD])     | 72.3 (7.8)| 70.3 (11.0)| 0.53|
| Sex (male, %)            | 18.0%     | 18.0%     | > 0.99|
| BMI (kg/m², mean [SD])   | 23.5 (3.6)| 23.6 (3.9)| 0.96|
| ASA grade (median, range)| 2 (1 - 3)| 2 (1 - 3)| 0.78|
| Diabetes mellitus (%)    | 25.0%     | 26.0%     | 0.84|
| Current smoker (%)       | 1.6%      | 1.6%      | > 0.99|
| Number of spinal levels involved | 2.8 (0.8) | 2.7 (0.8) | 0.39|
| Preoperative PRO (mean [SD]) |          |           |     |
| Back pain (NRS)          | 6.2 (3.1) | 5.8 (3.3) | 0.55|
| Leg pain (NRS)           | 7.4 (2.4) | 7.7 (2.5) | 0.26|
| SF-36 (PCS)              | 18.7 (13.0)| 21.6 (15.2)| 0.18|
| EQ-5D                    | 0.509 (0.178)| 0.520 (0.185)| 0.62|
| ODI                      | 52.2 (19.3)| 50.2 (16.9)| 0.43|

SD: standard deviation, BMI: body mass index, ASA: American Society of Anesthesiologists Classification, PRO: patient-reported outcome, NRS: numeric rated scale, PCS: physical component summary, EQ-5D: EuroQOL 5-dimension, ODI: Oswestry Disability Index, RA: rheumatoid arthritis. Values are shown in mean with standard deviation or percentage, with exception of ASA grade shown as median and range.

In this matched cohort, a total of 40 pairs (65.6%) completed 1-year postoperative PRO questionnaires, enabling further analyses. Although similar interventions were performed for the two groups of patients with similar backgrounds, RA patients still reported worse postoperative PCS (28.4 vs. 37.2, p = 0.008) and EQ-5D (0.640 vs. 0.738, p = 0.03) than non-RA patients (Table 4), although 30-day complications rates did not differ significantly between RA and non-RA groups (11.5% vs. 3.3%, p = 0.08).
Table 4
Comparison of postoperative outcomes between propensity score-matched RA and non-RA groups

|                  | RA       | Non-RA    | p   |
|------------------|----------|-----------|-----|
| n                | 40       | 40        |     |
| Back pain (NRS)  | 3.2 (2.7)| 3.5 (2.7) | 0.42|
| Leg pain (NRS)   | 4.7 (3.1)| 3.8 (3.2) | 0.20|
| SF-36 (PCS)      | 28.4 (15.2)| 37.2 (14.4)| 0.008|
| EQ-5D            | 0.640 (0.225)| 0.738 (0.158)| 0.03|
| ODI              | 32.2 (19.9)| 24.6 (17.7)| 0.07|

NRS: numeric rated scale, PCS: physical component summary, EQ-5D: EuroQOL 5-dimension, ODI: Oswestry Disability Index, RA: rheumatoid arthritis. Values are shown in mean with standard deviation in parentheses.

Impact of steroid usage on postoperative outcomes (post-hoc analysis)

Half of the RA group were steroid users, while only one patient in the non-RA group was using steroids (p < 0.001). To clarify the impact of steroid usage on postoperative outcomes, further analysis was conducted to compare outcomes among non-steroid users without RA (n = 39), non-steroid users with RA (n = 20), and steroid users with RA (n = 20). Kruskal-Wallis testing revealed significant differences among the three groups for PCS (p < 0.001), EQ-5D (p = 0.004), and ODI (p = 0.02), despite a lack of significant differences in back or leg pain (p = 0.36 and 0.22). Comparisons of PRO scores are summarized in Figure 1. According to post-hoc analyses, steroid-dependent RA patients showed significantly inferior results to the other two groups in PCS, EQ-5D, and ODI, whereas steroid-independent RA patients showed comparable results to non-RA patients.

Discussion

The present study used propensity score-matched analyses to elucidate the differences in outcomes following lumbar spinal surgery between RA and non-RA patients. This controlled comparative study minimized the risk of biases in terms of patient demographics, allowing us to elucidate the true impact of RA as a diagnosis on postoperative outcomes following lumbar surgery. Our results revealed that RA patients showed worse SF-12 PCS and EQ-5D than the non-RA matched cohort, indicating that RA negatively affected postoperative outcomes. However, steroid-independent RA patients showed comparable outcomes to non-RA patients.

Very few previous studies have reported on the relative equivalence of postoperative outcomes for RA and non-RA patients. Crawford et al. first reported that clinical outcomes after posterolateral lumbar fusion as graded using the Herkowitz and Kurtz scale [21] (excellent, good, fair, and poor) were similar between 19
RA patients and 19 age-, sex-, smoking status-, and spinal level-matched non-RA patients, although RA patients displayed a slightly higher complication rate due to osteopenia and immunosuppression. [11] Gulati et al. showed more detailed outcome measures, including ODI, EQ-5D, NRS for back pain, and NRS for leg pain at 1 year after lumbar decompression surgery, finding no differences between 37 RA patients and 1396 non-RA patients, although the two cohorts were not matched and background characteristics differed. [14] Gulati et al. denied any increased risk of complications in the RA group. In contrast, Kang et al. more recently argued that 40 RA patients displayed lower ODI scores at 1 and 2 years after posterolateral lumbar fusion, compared to a total of 134 age-, sex-, bone mineral density-, smoking-, diabetes-, and spinal level-matched non-RA patients; these differences were attributed to higher complication rates. [16] In summary, the conclusions drawn from previous studies have been inconsistent, with some including non-matched cohorts or patients treated using outdated surgical strategies.

The novelty of the present study lies in the meticulous propensity score-matching process incorporating preoperative PRO measurements. Quality of life in RA patients is inherently impaired due to the destruction of multiple joints along with the associated chronic pain. [22] Postoperative health conditions are thus inevitably affected in a negative manner compared to non-RA patients, and fair comparison between the two groups has remained a huge challenge. The present study achieved inclusion of the largest number of matched pairs with the most statistically robust matching method in the literature. In the present propensity-score matched analysis, our results supported the findings of Kang et al., [16] suggesting unfavorable postoperative outcomes in RA patients even after adjustment for background. Interestingly, however, these differences were missing in comparisons among non-steroid users. These quite encouraging findings for both RA patients and spinal surgeons can be explained by two major hypotheses. First, the side effects of steroid treatment appear closely related to possible complications that could occur after lumbar spinal surgery and thereby negatively affect patient outcomes. Immunosuppression can lead to a higher chance of surgical site infection as well as other types of infectious complication, such as urinary tract infection and aspiration pneumonia. [23] Osteopenia and osteoporosis can result in vertebral fractures in adjacent segments and instrument failure due to screw loosening. [12] Steroid usage has been part of the classic presentation of RA patients and places significant burdens on surgical outcomes in general. Second, as treatment options have markedly increased with the introduction of biologics, steroid usage might be interpreted as a surrogate marker of suboptimal RA control. [24] This may not be the case for all RA patients on steroids, but steroid-independent RA patients as a group might have achieved better disease control, and thus show a lower likelihood of ongoing joint destruction and instability.

Several limitations to the present study must be kept in mind when interpreting these findings. First, the database used in the analysis was obtained retrospectively and some pertinent information related to treatments received by patients was not obtainable. For instance, details of therapy for RA other than steroid usage, such as the use of disease-modifying anti-rheumatic drugs or biologics, were not available. Serological and/or physical proof of RA control, including serum C-reactive protein level, erythrocyte sedimentation rate, and Disease Activity Score in 28 joints, was also not investigated. Therefore, even
though RA patients did show inferior postoperative outcomes, the explanations for these findings remain speculative. In particular, the effects of RA control or treatment options on postoperative outcomes need to be validated in future studies. A second limitation was the percentage of patients who provided completed questionnaires at 1 year postoperatively. Although loss to follow-up is an unavoidable problem in surveillance-based multicenter studies, potentially leading to selection bias, outcomes in non-responders may not be inferior to those of responders. [25] Lastly, the present study focused on mid-term postoperative results and long-term outcomes with more than 2 years of follow-up are yet to be elucidated. A follow-up period of 1 year has been considered appropriate to analyze postoperative results following decompression, as Gulati et al. reported with a similar study design, [14] but instrumentation-related long-term complications such pseudarthrosis and adjacent segment disease ideally need to be investigated for 2 years. [16] Further studies are warranted to elucidate whether RA or its control affect postoperative outcomes over the long term.

Conclusions

In conclusion, a diagnosis of RA was associated with worse postoperative outcomes after posterior surgery for degenerative lumbar disease compared with propensity score-matched non-RA patients. However, steroid-independent RA cases showed comparable outcomes to steroid-independent non-RA patients. Contemporary well-controlled RA patients warrant a reconsideration of risk assessment for spinal surgery, as the previous impression of a high-risk profile may no longer be entirely appropriate.

Abbreviations

RA: rheumatoid arthritis, BMI: body mass index, ASA: American Society of Anesthesiologists, PRO: preoperative patient-reported outcome, NRS: numerical rating scale, SF-12: Short Form-12, PCS: physical component summary, EQ-5D: EuroQOL 5-dimension, ODI: Oswestry Disability Index, TNF-α: Tissue Necrosis Factor alpha

Declarations

Ethics approval and consent to participate

Informed consent was obtained from all participants and approval for this study was given by the institutional review board of the Clinical Research Support Center at the University of Tokyo. All procedures performed in the studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki Declaration and its later amendments or comparable ethical standards

Consent for publication

Not applicable.
Availability of data and materials

The datasets used and/or analysed during the current study are available from the corresponding author on reasonable request.

Competing interests

The authors declare that they have no competing interests.

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Authors' contributions

SK, HN, designed the research, analyzed data, and wrote the manuscript. YM, YT, TD, ST, YO designed the research and supervised the study. YY, AH, YT, MF, TO, NH, RO, HI, MO, SS, SH, KM designed the research and acquired the data. All authors read and approved the final manuscript.

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**Figures**
Figure 1

Comparison of postoperative outcomes among propensity score-matched RA patients on steroids, RA patients not on steroids, and non-RA patients not on steroids. PCS: physical component summary, EQ-5D: EuroQOL 5-dimension, ODI: Oswestry Disability Index, RA: rheumatoid arthritis.