Mechanical properties and structural features of novel Fe-based bulk metallic glasses with unprecedented plasticity

Weiming Yang1,2, Haishun Liu1, Yucheng Zhao1, Akihisa Inoue3,4, Kemin Jiang3, Juntao Huo3, Haibo Ling5, Qiang Li5 & Baolong Shen2

1School of Mechanics and Civil Engineering, State Key Laboratory for Geomechanics and Deep Underground Engineering, School of Sciences, China University of Mining and Technology, Xuzhou 221116, People’s Republic of China, 2School of Materials Science and Engineering, Southeast University, Nanjing 211189, People’s Republic of China, 3Key Laboratory of Magnetic Materials and Devices, Ningbo Institute of Materials Technology & Engineering, Chinese Academy of Sciences, Ningbo 315201, People’s Republic of China, 4Department of Physics, King Abdulaziz University, Jeddah 21589, Saudi Arabia, 5School of Physics Science and Technology, Xinjiang University, Urumqi, Xinjiang 830046, People’s Republic of China.

Fe-based bulk metallic glasses (BMGs) have attracted great attention due to their unique magnetic and mechanical properties, but few applications have been materialized because of their brittleness at room temperature. Here we report a new Fe50Ni30P13C7 BMG which exhibits unprecedented compressive plasticity (>20%) at room temperature without final fracture. The mechanism of unprecedented plasticity for this new Fe-based BMG was also investigated. It was discovered that the ductile Fe50Ni30P13C7 BMG is composed of unique clusters mainly linked by less directional metal-metal bonds which are inclined to accommodate shear strain and absorbed energy in the front of crack tip. This conclusion was further verified by the X-ray photoelectron spectroscopy and ultraviolet photoelectron spectroscopy experiments of Fe80-xNixP13C7 (x = 5, 10, 20, 30) and Fe72-xNixB20Si4Nb4 (x = 5, 7.2, 14.4, 21.6, 28.8) glassy systems. The results also indicate a strong correlation between the p-d hybridization and plasticity, verifying that the transition from brittle to ductile induced by Ni addition is due to the change of bonding characteristics in atomic configurations. Thus, we can design the plasticity of Fe-based BMGs and open up a new possible pathway for manufacturing BMGs with high strength and plasticity.

Fe-based bulk metallic glasses (BMGs) have attracted great research development interests ever since their first synthesis in 19951 because of the combination of superior chemical2, magnetic and mechanical properties3–6, and the low cost of manufacture7,8. Especially, after the discovery of Fe-based BMGs, they were considered to possess promising applications as magnetic, structural and surface coated materials9–7. However, they usually fracture catastrophically when deformed at room temperature, often undergoing only a few percent of plastic strain in compressions10–15, which limits their widespread applications16. Meanwhile, the catastrophic fracture of these BMGs is one of the well documented phenomena in materials science and engineering, but little research has been done to understand the mechanism and the possible improvement for the fracture17. Therefore, it would be important from a commercial perspective to enhance the plasticity of Fe-based BMGs at room temperature. The understanding of the deformation mechanism has also become a major goal over the recent decades18. Experimentally, the emerging strategy to couple the attractive properties of BMGs with plasticity is to introduce a second phase19, which reflects and/or absorbs shear bands. This strategy seems particularly successful when the spacing of the second phase coincides with the critical crack length of the metallic glassy matrix phase20. On the other hand, higher Poisson’s ratio is suggested to be one of the important factors in designing a glassy alloy to alleviate the brittleness21. However, these hypotheses have not been fully verified, and the method for controlling the evolution of shear bands in BMGs to improve their plasticity remains a challenge. Theoretically, several structural models such as free volume22, shear transformation zone23 and efficient cluster packing theories24,25 have been proposed to explain the plastic flow and fracture; whereas these models in terms of packing based on atomic size and configuration have failed to interpret the nature of atomic bonding in BMGs, which is indispensable for a better understanding of their mechanical behavior involved in brittle to ductile transition. Recently, theoretical calculations have illuminated that the hybrid character of interatomic bonds of multi-compositions may contribute to the intrinsic plasticity versus brittleness of BMGs by controlling the bond...
mobility\textsuperscript{17,26,27}. In contrast, the order parameter obtained from experiments to characterize the nature of bond mobility and its evolution on alloying in BMGs is still lacking.

In this paper, we investigate the mechanical properties and bonding characters of Fe\textsubscript{80-x}Ni\textsubscript{x}P\textsubscript{13}C\textsubscript{7} (x = 0, 10, 20, 30) and Fe\textsubscript{72-x}Ni\textsubscript{x}B\textsubscript{20}Si\textsubscript{4}Nb\textsubscript{4} (x = 0, 7.2, 14.4, 21.6, 28.8) BMGs. We also obtained X-ray photoelectron spectroscopy (XPS) and ultraviolet photoelectron spectroscopy (UPS) signatures to examine the change in bonding states during brittle to ductile transition in these Fe-based BMGs. Based on these results, we further derive that the plasticity versus brittleness properties of Fe-based BMGs can be understood essentially in terms of their distinctly different bonding natures. The derived conclusion provides a compelling approach to distinguish BMGs from an electronic structure point of view, and can be regarded as complementary to the common views focused on atomic configuration.

**Results**

**Unprecedented plasticity of Fe-based BMGs.** Figure 1 (a) shows the typical true stress-strain curves of as-cast Fe\textsubscript{80-x}Ni\textsubscript{x}P\textsubscript{13}C\textsubscript{7} (x = 0, 10, 20, 30) BMG rods with a diameter of 1.0 mm subjected to compressive deformation. It can be seen that the partial substitution of Ni for Fe dramatically enhances the plasticity of the Fe\textsubscript{80}P\textsubscript{13}C\textsubscript{7} BMG and the Fe\textsubscript{50}Ni\textsubscript{30}P\textsubscript{13}C\textsubscript{7} BMG rod exhibits unprecedented plasticity. It is generally considered that Fe-based BMGs are brittle at room temperature\textsuperscript{13,16}. However, this measured compressive plastic strain value of Fe\textsubscript{50}Ni\textsubscript{30}P\textsubscript{13}C\textsubscript{7} reaches as high as 22%, which is extremely larger than those (normally less than 5%)\textsuperscript{10,13,15,16,28} for all the previously reported Fe-based BMGs. It is notable that the present plastic strain value even exceeds those of the typical ductile Pt\textsubscript{57.5}Cu\textsubscript{14.7}Ni\textsubscript{5.3}P\textsubscript{22.5} (about 20%)\textsuperscript{29} and Cu\textsubscript{17.5}Zr\textsubscript{17.5}Al\textsubscript{5} (about 18%)\textsuperscript{30} BMGs. As shown in the inset, the amplified section of Fe\textsubscript{50}Ni\textsubscript{30}P\textsubscript{13}C\textsubscript{7} glassy stress-strain curve clearly shows strain-hardening characteristics in conjunction with serrated phenomenon, which is attributed to the unique structure correlated with atomic-scale inhomogeneity, leading to an inherent capability of extensive shear band formation, interactions, and multiplication of shear bands\textsuperscript{30}. This kind of stress-strain curve behavior can be recognized only for ductile BMGs\textsuperscript{31}. Specifically, under an applied bending load, the Fe\textsubscript{50}Ni\textsubscript{30}P\textsubscript{13}C\textsubscript{7} BMG rod is capable of undergoing plastic bending in the absence of fracture as similar to flexible metals such as Al and Cu, as shown in Fig. 1 (b). Previously, such unprecedented high plasticity and flexibility can be obtained only in supercooled liquid state of Fe-based BMGs at high temperatures\textsuperscript{32}. The reproducibility of the mechanical properties in the present work is quite good, indicating that the Fe\textsubscript{50}Ni\textsubscript{30}P\textsubscript{13}C\textsubscript{7} BMG with unprecedented plasticity is available as novel engineering materials.

The XRD and DSC traces obtained from the as-cast Fe\textsubscript{50}Ni\textsubscript{30}P\textsubscript{13}C\textsubscript{7} BMG rod are also shown in Fig. 1 (c). The XRD pattern displays broad diffraction maxima, which is the characteristic of glassy structure. The DSC trace exhibits one endothermic event characteristic of the glass transition from glassy solid into supercooled liquid, followed by a crystallization exothermic reaction, which enables it to confirm the glassy nature of the alloy rod. Meanwhile, the HRTEM image and their corresponding selected area electron diffraction (SAED) pattern are shown in Fig. 1 (d). No crystalline phase appears in the HRTEM and the SAED pattern consists of a single diffraction halo without sharp diffraction rings. All these results confirm that the

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**Figure 1** (a) Compressive true stress-strain curves of Fe\textsubscript{80-x}Ni\textsubscript{x}P\textsubscript{13}C\textsubscript{7} (x = 0, 10, 20, 30) BMGs at room temperature. The inset is the enlarged section of Fe\textsubscript{50}Ni\textsubscript{30}P\textsubscript{13}C\textsubscript{7} BMG. (b) The images of a plastically bent Fe\textsubscript{50}Ni\textsubscript{30}P\textsubscript{13}C\textsubscript{7} BMG rod with 1 mm diameter. (c) XRD and DSC traces of as-cast Fe\textsubscript{50}Ni\textsubscript{30}P\textsubscript{13}C\textsubscript{7} glassy rod. (d) HRTEM image of the Fe\textsubscript{50}Ni\textsubscript{30}P\textsubscript{13}C\textsubscript{7} glassy rod. The inset is the related SAED pattern.
rod specimens are composed of a fully glassy structure, and the concept of composites can be excluded from the causes of the unprecedented plasticity.

Figure 2 | (a) SEM image showing the Fe50Ni30P13C7 glassy rod which was unloaded before failure in compression. (b) and (c) Micrographs of high magnification showing regions I and II in (a), respectively. (d) SEM side-view images of another compression specimen. (e) SEM observation of the fracture features for Fe50Ni30P13C7 glassy rod after failure. (f) Top view; (g) and (h) are the enlarged fracture features of the A and B in (e), respectively; (h) and (i) are the magnifications of vein patterns.

The morphology of Fe50Ni30P13C7 BMG. Figure 2 shows SEM images of the Fe50Ni30P13C7 surface prior to failure subjected to compression test. Localized main shear bands appear in a near-elliptical morphology and end up at the sample side, which are characterized by a shear angle of about 44° to the compressive axis [see Fig. 2 (a)]. The longitudinal slip distance is around 0.4 mm, being consistent well with the plastic strain (>20%) in the stress-strain curve. As can be seen in Fig. 2 (b), the enlarged region I exhibits an obvious shear-deformation-induced slip in the compressive specimen. Around the existing primary shear band [enlarged region II, see Fig. 2 (c)], it is noted that several remarkable cracks and scattered shear bands are emerged. The spontaneous formation of such cracks without instable propagation gives us a hint that the Fe50Ni30P13C7 BMG holds a definite resistance to the catastrophic failure. Meanwhile, quite uniform multiple shear bands are observed in the side-view SEM image of the same specimen, as shown in Fig. 2 (d). These shear bands are not straight in shape but rather interconnect or intersect with each other. Many small ledges, kinks, bifurcations, and branches along the shear bands can also be observed, indicating the high resistance ability to the propagation of shear bands.

We further examined the fracture surfaces of the Fe50Ni30P13C7 BMG rods. As shown in Fig. 2 (e), there are three distinguishable fracture morphologies: the shear plane of the main shear band (area A); the basic cleavage surface (area B); and the mirror-like region (area C). In area A, the fracture surfaces show well-defined feather-like vein patterns with an average scale near 20 μm [Fig. 2 (f)] and river-like vein patterns [Fig. 2 (g)]. The vein patterns often reflect the local viscous flow [Fig. 2 (h) and (i)] of the glassy metals and occur only in those BMGs with good malleability33. Applying the theory of plastic fracture to BMGs, the fracture toughness $K_C$ can be estimated using $r_p = (1/6πr)^{1/2}$, where $r_p$ is the plastic zone area size and $σ_y$ is the yield strength. The values of $r_p$ and $σ_y$ for the Fe50Ni30P13C7 BMG are around 20 μm and 2250 MPa, respectively, thus the $K_C$ is calculated to be nearly 50 MPa m$^{1/2}$, which is much larger than that of previous reported Fe-based BMGs44-46.

The deformation behavior comparison of Fe-based BMGs. In order to understand the deformation behavior of Fe80-xNixP13C7 and Fe72-xNixB20Si4Nb4 BMGs, typical mechanical properties of engineering fracture strength and compressive plastic strain have been compared by adjusting the Ni content. As shown in Fig. 3 (a), the plastic strength increases monotonically with increasing Ni content for both BMG systems. Although the value of plastic strains in the precursor alloys Fe72B20Si4Nb4 (~2%) and Fe50P13C7 (~1.5%) is similar, it increases significantly from 1.5% to 22% with Ni addition in Fe80-xNixP13C7 system, while the plastic strain in Fe72-xNiB20Si4Nb4 system seems to be independent to the Ni content, as shown in Fig. 3 (b).

Discussion
It is believed that the interatomic bonds in atomic configurations contribute to the flexibility or mobility of BMGs, which controls the intrinsic plasticity versus brittleness properties26,35. The characteristic of interatomic bonds is essentially determined by the valence electrons ($d$, $p$, and $s$)36. Since the strength and plasticity of the bonds in BMGs are related to the amount of charge in the overlap region of the atomic orbitals, the absence of charge polarization will require more energy to shear the atoms. Therefore, the ductile metal-metal bonds imply more $s$ wave function participation in the bonding and high degrees of bond mobility37. For metal-metalloid bonds, the $p$ electrons could transfer from the metalloid elements and fill the $d$ shells of transition metal, leading finally to the formation of $p-d$ hybrid bonding38. The $p-d$ hybrid bonds are strongly covalent and thus should resist shear while the $s$-like bonds are relatively weak and thus more easily accommodate shear. Hence, BMGs are expected to exhibit ductile nature if the electronic configurations become mostly $s$-like and have more likely uniform valence charge density, because the bonding in this case will be more non-directional39. In other words, during the shear moving of atoms, the $p-d$ hybrid bonds are more prone to break due to the obvious change.
of atomic orbital overlap, while non-directional $s$ character bonds with good mobility can absorb more energy at crack tip as atoms can yield long distance, dissipate larger amount of fracture energy and exhibit more plasticity. The bonds resulted from the overlap of $p$-$d$ electrons have directionality and are localized in particular bonds. When the BMG is stressed, the electrons remain localized until the stress is sufficient enough to expel them from a bond. Hence, rather than a smooth response to stress, the BMG behaves discontinuously as each bond is broken. As the BMGs are deformed, the bonding charge flows smoothly in and out, allowing the electrons to hop across bond to bond, which introduces high ductility in this kind of BMGs. In sum, the metal-metalloid bonds are strongly covalent and thus could resist shear, while the metal-metal bonds are weakened and thus more easily accommodate shear.

The completely different trend in plastic strain with Ni substitution in Fe$_{80-x}$Ni$_x$P$_{13}$C$_7$ and Fe$_{72-x}$Ni$_x$B$_{20}$Si$_4$Nb$_4$ systems is investigated on the basis of their atomic configurations. The fundamental building blocks are solute-centered clusters rather than individual atoms, with solute or minor atoms at the center surrounded by solvent or majority atoms in BMGs. The $B$-centered prism-like clusters are embedded in a bcc-like Fe-Si solid solution in Fe-Si-B-Nb system, as shown in Fig. 4 (a). However, the P-centered antiprism-like and C-centered prism-like clusters can be embedded in Fe$_{72-x}$Ni$_x$B$_{20}$Si$_4$Nb$_4$ system, as illustrated in Fig. 4 (d). In this system, metalloid atoms do not occupy the nearest-neighbor site, and the regions between clusters are empty or occupied by Ni atoms. As the Ni content increasing, the amount of metal-metal bonds linking the clusters becomes more and more. The less directional metal-metal bonds enable easily accommodation of shear strain and absorption energy in the front of crack tip. Therefore, different from the Fe$_{80-x}$Ni$_x$B$_{20}$Si$_4$Nb$_4$ system, the plastic strain of Fe$_{80-x}$Ni$_x$P$_{13}$C$_7$ system is lower than that of Fe$_{72-x}$Ni$_x$B$_{20}$Si$_4$Nb$_4$ glassy system.

Above conclusions were further verified by analyzing the density of states (DOS) of valence electrons in BMGs. We obtained the XPS and UPS spectra of Fe$_{80-x}$Ni$_x$P$_{13}$C$_7$ and Fe$_{72-x}$Ni$_x$B$_{20}$Si$_4$Nb$_4$ glassy systems. In order to get at least relative information about the DOS, the spectra were normalized in such a way that the ratio of the areas below the curves is proportional to the total number of valence electrons ($d$, $p$ and $s$). This procedure was carried out after

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**Figure 4** Schematic illustrations for the structure of Fe-(Ni)-B-Si-Nb and Fe-(Ni)-P-C BMGs. The purple dashed circles indicate clusters.
obvious difference with Ni content addition in Fe$_{72-x}$Ni$_x$B$_{20}$Si$_4$Nb$_4$ alloys$^{28}$, as shown in Fig. 5 (a). However, the peaks have no noticeable peak shifts, which shift from 1.30 eV to 1.62 eV, and the peaks become more and more pronounced when they shift to higher binding energy with Fe replacing by Ni, which shift from 1.30 eV to 1.62 eV, and the peaks become more pronounced as the Ni content increases. To summarize, from the perspective of chemical effects, a high Ni environment would suppress the deformation behaviors of the present Fe-based BMGs. The present knowledge gives us a guideline for future syntheses of tough Fe-based BMGs with large plasticity.

The bonding character also can be quantified by the study of charge transfer$^{44}$, which can be assessed through the magnetic properties in ferromagnetic BMGs$^{46}$. Figure 6 shows the comparisons of both Fe$_{80-x}$Ni$_x$P$_{13}$C$_7$ and Fe$_{72-x}$Ni$_x$B$_{20}$Si$_4$Nb$_4$ glassy systems. The saturation magnetization as a function of Ni composition in these systems. The Curie temperature increases through a broad maximum at $x = 7.2$ for the Fe$_{72-x}$Ni$_x$B$_{20}$Si$_4$Nb$_4$ series and then decreases dramatically as $x$ increases. In Fe$_{80-x}$Ni$_x$P$_{13}$C$_7$ system, the Curie temperature also goes through a broad maximum, but decreases slowly as $x$ increases. Curie temperature of ferromagnetic BMGs can be expressed as$^{47}$

$$T_C = (1-x)2S(S+1) + \sum_{n=1}^{6} \frac{1}{3K_B} Z_n J_n(x)$$

where $S$ is spin moment, $k_B$ the Boltzmann's constant, $J_n$ the exchange interaction by 3$d$ electrons, $Z_n$ the number of atoms in the $n$th n.n. shell, and $J_n$ the indirect interaction between $n$th n.n. spins; its concentration dependence arises because s electron density of the alloy changes with solute and/or solvent concentration and valence. The $J_n$ affected by 3$d$ localization electrons can only be interacted in one atomic distance, whereas the $J_n$ affected by s non-localization electrons can be interacted in six atomic distances. It is known that the number of holes in the down-spin band of 3$d$ metal atoms is primarily determined by the number of the nearest neighbor atoms. Here, the numbers of 3$d$ band electrons for Fe and Ni elements are 6 and 8, respectively. In both systems, the $J_8$ decreases with increasing Ni content in a similar way$^{46}$. Thus, it is concluded that $J_8$ by s electrons increases with increasing Ni content, especially in the Fe$_{80-x}$Ni$_x$P$_{13}$C$_7$ system. Also, it indicates more s electrons would change into bonding states and thus strongly participates in bonding in Fe$_{80-x}$Ni$_x$P$_{13}$C$_7$ system with Ni addition, which consists well with above analysis.

In summary, an unprecedented plasticity was obtained for the Fe$_{80-x}$Ni$_x$P$_{13}$C$_7$ BMG. The plasticity is attributed to the nucleation and formation of multiple and homogeneous distributed shear bands throughout the whole BMG due to its unique atomic configuration. It is suggested Fe-based BMGs with large plasticity are formed when the clusters are linked with metal-metal bonds that exhibit high degrees of bond mobility and the shear bands are easily accommodated. The XPS and UPS results are consistent with the observed deformation behaviors of the present Fe-based BMGs. The present knowledge gives us a guideline for future syntheses of tough Fe-based BMGs which have high potential of commercial applications.

**Methods**

The Fe$_{80-x}$Ni$_x$P$_{13}$C$_7$ and Fe$_{72-x}$Ni$_x$B$_{20}$Si$_4$Nb$_4$ BMGs with a diameter of 1.0 mm were prepared by water quenching and copper mold casting, respectively. The nature of the Fe-based samples was ascertained by D8 Advance X-ray diffraction (XRD) with Cu Kα radiation. NETZSCH DSC-404 differential scanning calorimetry (DSC) with a...
heating rate of 0.67 K/s, and high resolution transmission electron microscopy (HRTEM) using a Tecnai F20 microscope. 

The specimens for compression test were cut out from the as-cast rods, and each end was polished to make it parallel to each other prior to the compression test. The mechanical behavior of at least 10 as-cast samples with a diameter of 1.0 mm and an aspect ratio of 2:1 was examined under uniaxial compression using an Instron testing machine. The compression rate was 5 × 10⁻⁴ s⁻¹. The tests were carried out in a constant-crosshead-displacement-rate controlled manner. The lateral and fracture surface morphologies were examined by scanning electron microscopy (SEM).

The bonding states were evaluated by XPS using a Kratos AXIS ULTRA DLD instrument with a monochromatic Al Kx X-ray source (1486.6 eV). The power was 120 W and the X-ray spot size was set to be 700 × 300 μm. The pass energy of the XPS analyzer was set at 20 eV. The base pressure of the analysis chamber was better than 5 × 10⁻¹⁰ Torr. All spectra were calibrated using the binding energy (BE) of C 1s (284.8 eV) as a reference, ultraviolet source (He I 21.2 eV) and He II 40.8 eV and etching condition (beam energy 2 kV, extractor current 100 μA/axial size 4 mm).

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