The Level of Care Needs of Patients With Cancer and the Time-dependent Burden on Their Family Caregivers in Home Palliative Care: A Cross-Sectional Study

Naoko Otsuki
Osaka University: Osaka Daigaku

Ryohei Yamamoto (mailto:yamamoto@hacc.osaka-u.ac.jp)
Osaka University: Osaka Daigaku  https://orcid.org/0000-0003-2610-9824

Yukihiro Sakaguchi
Kwansei Gakuin University: Kansei Gakuin Daigaku

Kento Masukawa
Tohoku University: Tohoku Daigaku

Tatsuya Morita
Seirei Mikatahara Hospital: Seirei Mikatahara Byoin

Yoshiyuki Kizawa
Kobe University: Kobe Daigaku

Satoru Tsuneto
Kyoto University: Kyoto Daigaku

Yasuo Shima
Tsukuba Medical Center Hospital

Sakiko Fukui
Tokyo Medical and Dental University: Tokyo Ika Shika Daigaku

Mitsunori Miyashita
Tohoku University: Tohoku Daigaku

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Title page

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Authors: Naoko Otsuki, RN, MS,1 Ryohei Yamamoto, MD, PhD,2 Yukihiro Sakaguchi, PhD,3 Kento Masukawa, RN, MS,4 Tatsuya Morita, MD, PhD,5 Yoshiyuki Kizawa, MD, PhD,6 Satoru Tsuneto, MD, PhD,7 Yasuo Shima, MD, PhD,8 Sakiko Fukui, RN, PhD,9 and Mitsunori Miyashita RN, PhD,10

Affiliations:

1Division of Health Science, Osaka University Graduate School of Medicine. 1-7 Yamadaoka, Suita, Osaka, JAPAN. E-mail: otsuki.chn@tmd.ac.jp

2Health and Counseling Center, Osaka University, 1-17 Machikaneyama, Toyonaka, Osaka, JAPAN. Department of Nephrology, Osaka University Graduate School of Medicine. 2-2 Yamadaoka, Suita, Osaka, JAPAN. Health Promotion and Regulation, Department of Health Promotion Medicine, Osaka University Graduate School of Medicine. 2-2 Yamadaoka, Suita, Osaka, JAPAN. E-mail: yamamoto@hacc.osaka-u.ac.jp
3Kwansei Gakuin University School of Human Welfare Studies. 1-1-155, Uegahara, Nishinomiya, JAPAN. E-mail: sakaguchi@kwanset.ac.jp

4Department of Palliative Nursing, Health Sciences, Tohoku University Graduate School of Medicine. 2-1 Seiryo-machi, Aoba-ku, Sendai, JAPAN. E-mail: kmasukawa.24@med.tohoku.ac.jp

5Division of Palliative and Supportive Care, Seirei Mikatahara Hospital. 3453 Mikatahara, Kita, Hamamatsu, JAPAN. E-mail: tmorita@sis.seirei.or.jp

6Kobe University Graduate School of Medicine. 7-5-1, Kusunoki-cho, Chuo-ku, Kobe, JAPAN. E-mail: ykizawa@med.kobe-u.ac.jp

7Department of Human Health Sciences, Kyoto University Graduate School of Medicine. 53 Kawaharacho, Shogoin, Sakyo-ku, Kyoto, JAPAN. E-mail: tsuneto@kuhp.kyoto-u.ac.jp

8Department of Palliative Medicine, Tsukuba Medical Center Hospital. 1-3-1, Amakubo, Tsukuba, Ibaragi, JAPAN. E-mail: shima@tmch.or.jp

9Tokyo Medical and Dental University Graduate School of Health Care Sciences. 1-5-45, Yushima, Bunkyo-ku, Tokyo, JAPAN. E-mail: fukuisakiko.chn@tmd.ac.jp

10Department of Palliative Nursing, Health Sciences, Tohoku University Graduate School of Medicine. 2-1 Seiryo-machi, Aoba-ku, Sendai, JAPAN.
Abstract

**Purpose:** Although home care improves patients' quality of life (QOL), several studies have suggested that home care lowers the QOL and mortality of family caregivers. To alleviate the deleterious impact of home care on caregivers, the major burdens on caregivers and the clinical characteristics of the caregivers vulnerable to the major burden needs to be clarified.

**Method:** A survey questionnaire was distributed to 710 family caregivers of patients with cancer in Japan, and 342 valid responses were obtained (valid response rate: 48.2%). The Burden Index of Caregivers was used to identify the major burden on caregivers. To assess the associations of the patients' care needs level and other
clinically relevant factors with the major burden, a multivariable-adjusted logistic regression model was used.

**Results:** The time-dependent burden was identified as a major burden. An adjusted model showed a non-linear association between the care needs level and the time-dependent burden, in which the caregivers of the patients who required moderate care needs level had the highest time-dependent burden [adjusted odds ratio of none, mild, moderate, and severe care needs levels: 0.50 (95% confidence interval 0.07–2.12), 1.08 (0.43–2.57), 1.87 (1.01–3.52), and 1.00 (reference), respectively]. Additionally, older patients and younger caregivers were significantly associated with a time-dependent burden.

**Conclusion:** The time-dependent burden was highest in caregivers at the moderate care needs level and younger caregivers. An imbalance between the demand and supply of care services may be improved by considering the clinical characteristics of both patients and caregivers.

**Keywords:** care needs level, burden, home palliative care, family caregiver, patient with cancer.
Declarations

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Ethics approval: The study protocol of JHOPE was approved by the ethics committee of Tohoku University Hospital and all participating institutions, and the protocol of the present study was approved by the Osaka University Hospital.
All family caregivers gave informed consent to JHOPE4.

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Introduction

The aging society has increased the number of people requiring advanced medical care in many developed countries[1]. In Japan, rapid population aging since 1970 has produced the world’s leading super-aging society[2]. To support the aging society in Japan, home care has been primarily promoted in the long-term care insurance system established in 2000[3–5], because home care improves patients’ quality of life (QOL)[6]. However, previous studies have reported that family caregivers had a high mortality rate and low QOL, suggesting that home care might have detrimental effects on family caregivers. The self-perceived burden is a potential determinant of the effect of home care on family caregivers because family caregivers with mental strain had a higher mortality rate than those without mental strain[7] and the self-perceived burden was associated with the QOL of family caregivers[8].

To develop an effective strategy to alleviate the deleterious impact of home care on family caregivers, the major domains of the burden on family caregivers should be clarified before considering how to support them. Although multiple studies have reported the overall burden on family caregivers, commonly using the Caregiver Reaction Assessment (CRA), the Zarit Burden Inventory, and the Caregiver Burden Index, few studies have reported the major domain of the burden on family caregivers.
Among five domains of the CRA that include the impact of schedule, caregiver's esteem, lack of family support, impact on health, and impact on finances, caregivers’ esteem was identified as the major burden on family caregivers of patients with lung cancer in the US (n = 158) and those with multiple cancers in Norway (n = 281), while the impact of schedule was identified as the major burden on family caregivers of patients with lung cancer in Taiwan (n = 150). To identify the family caregivers at a high risk of the major burden, clinical characteristics of the patients and the family caregivers associated with the major burden are very useful, although previous studies did not report them. One of the potential factors associated with the major burden may be patients' functional status because multiple studies have reported a linear dose-dependent association between the patients' functional status and the overall burden on the family caregivers.

The aims of the present cross-sectional study that included 342 family caregivers of patients receiving home palliative care for multiple cancers in Japan, were, first, to identify the major burden on the family caregivers and, second, to clarify the clinical characteristics of the caregivers who were vulnerable to the major burden. The results of the present study could provide clinically useful evidence to establish an
effective strategy to alleviate the major burden on caregivers of patients receiving home
palliative care for cancer in the Japanese home care system.

Materials and Methods

Study population

The Japan Hospice and Palliative Evaluation Study 4 (JHOPE4) is a cross-
sectional study that assesses the quality of palliative care for patients with cancer using
the information provided by their bereaved family caregivers. JHOPE4 included 17,147
adult patients aged ≥ 20 years with palliative care of ≥ 3 days who died of cancer
between 2014 and 2017 and their main family caregivers. JHOPE4 collected
information from the family caregivers on the physical and mental status of both
patients and their family caregivers, the quality of palliative care evaluated by the
family caregivers, and the burden on the family caregivers[14]. To assess the burden on
the family caregivers who cared for patients who died at home, the present study
included 1,050 family caregivers of patients who received home palliative care and died
at home, after excluding 16,097 family caregivers of patients who died in acute
hospitals or at inpatient palliative care units. Based on the pre-specified research
questions, 1,050 family caregivers were grouped into three clusters. Questionnaires used
in the present study were sent to 710 (67.6%) family caregivers in clusters 1 and 2, and 495 (47.1%) family caregivers responded. After excluding 153 (14.6%) family caregivers with missing data, the present study finally included 342 (32.6%) family caregivers of patients with cancer.

**Measurements**

The clinical characteristics of the patients with cancer during the home palliative care period included the age, sex, type of cancer, home palliative care period, and care needs level certified at the end of the home care period. The types of cancer were classified into four groups as follows: lung; upper and lower gastrointestinal tracts including esophageal, gastric, and colorectal cancer; liver, biliary tract, and pancreas; and others[15]. Care needs level was based on the degree of care required as by the patients per the Japanese long-term care insurance system, consisting of 7 levels as follows: requiring support 1 and 2 and requiring long-term care 1, 2, 3, 4, and 5[1, 4, 5, 16, 17]. The care needs level is determined according to the patient’s physical and mental conditions with activities of daily living and instrumental activities of daily living, and physicians’ medical diagnoses and generally updated every 6–12 months[5, 17]. The care needs level is divided into requiring support and requiring long-term care, in which requiring support provides preventive benefits and requiring long-term care
provides long-term benefits[18]. According to the patients' care needs levels, we categorized 342 family caregivers into the following four groups because of a limited number of the patients in some levels: Mild, requiring support 1 (n = 13) and 2 (n = 15) and requiring long-term care 1 (n = 24); Moderate, requiring long-term care 2 (n = 65) and 3 (n = 60); Severe, requiring long-term care 4 (n = 74) and 5 (n = 69); and None, no certification for long-term care (n = 22). We combined requiring support 1–2 and requiring long-term care 1 into the mild group because the patients at these levels had similar functional status[19].

The information that was collected from family caregivers included age, sex, relationship with the patients, mental condition, and self-perceived burden during the home care period. The self-perceived burden on the family caregivers was measured using the Burden Index of Caregivers (BIC) questionnaire[20] that included ten questions in five domains (time-dependent, emotional, spiritual, physical, and service-related burden) and a single question for total burden. For example, the time-dependent domain includes two questions as follows: “I cannot freely leave the house because of caregiving” and “I do not have enough time for myself because of caregiving” with 5 possible answers of “never (score 0),” “almost never (1),” “sometimes (2),” “often (3),” and “always (4).” Other questions with the same five possible answers are listed in
Supporting Table 1. The present study used ten questions in five domains of caregiving to assess the clinical impact of each domain on family caregivers. The score of each domain was the sum of two component questions (score 0–4) with a range of 0–8. The family caregivers had a burden for a domain if they answered "often" or "always" to at least one of two questions related to the domain. The mental status of the caregiver was determined by a question “While the patient was under care, how was your mental health condition?” with four possible answers of “good (score 1),” “average (2),” “bad (3),” and “very bad (4).”

Statistical analyses

The clinical characteristics of the patients with cancer and their family caregivers were compared among the four groups of the care needs level, using the chi-squared test and the Kruskal–Wallis test, as appropriate.

To clarify the association between the patients' care needs level and the caregivers' burden, we first identified the major burden on the family caregivers among the five BIC domains, according to the proportion of the family caregivers with the burden of each domain. Second, to assess the association between the care needs level and the major burden, unadjusted and adjusted logistic regression models were used, including the major burden as an independent variable. Adjusted models included the
age of patients and family caregivers, sex of patients and family caregivers, type of
cancer of the patients, family caregivers’ relationship with patients, the mental condition
of family caregivers, and the home care period as independent variables. The Hosmer–
Lemeshow test was used to assess the goodness of fit of the logistic regression models.

Continuous variables were expressed as mean ± standard deviation or median
(25%–75%), as appropriate, and categorical variables were expressed as numbers
(proportions). We used R statistical software, version 3.6.3, (R Development Core
Team, 2020) for analysis. All statistical tests were two-sided and the values of P < 0.05
were considered statistically significant.

Results

The clinical characteristics of 342 included and 153 excluded patients with
cancer and their family caregivers were compared, and it was found that the
c characteristics were comparable (P ≥ 0.05 for all variables considered) (Supporting
Table 2). The clinical characteristics of the 342 pairs stratified by care needs level are
listed in Table 1. No significant differences were observed in all variables among 22
(6.4%), 52 (15.2%), 125 (36.5%), and 143 (41.8%) participants for the care needs level
of none, mild, moderate, and severe, respectively, suggesting that their clinical
characteristics were similar among the care needs levels. The median age of the patients for the care needs level of none, mild, moderate, and severe was found to be 73 (59–80), 76 (70–83), 78 (68–87), and 76 (68–84) years, respectively, and that of the family caregivers was found to be 65±11, 65±12, 62±12, and 62±12 years, respectively, indicating that the caregivers were approximately 10 years younger than participants.

To identify the major burden on family caregivers, the proportion of the answers to each BIC question is described in Figure 2. Because of the small number of caregivers who answered “always” and “often,” they were categorized into a single ≥ often group. The proportion of the ≥ often group was 15.8%, 19.9%, 2.0%, 2.9%, 2.6%, 2.3%, 5.8%, 4.1%, 3.2%, and 0.9% in BIC questions 1–10, respectively, indicating that the time-dependent domain consisting of questions 1 and 2 was a major burden for the family caregivers [n = 77 (22.5%)]. To assess the dose-dependent association between the care needs level and the time-dependent burden, the proportion of the answers to BIC questions 1 and 2 in each care needs level is described in Figures 3a and 3b, respectively. In both questions, the proportion of the ≥ often group was found to be lowest in the none group (4.5% and 4.5% in questions 1 and 2, respectively) and highest in the moderate care needs level (20.0% and 28.0% in questions 1 and 2, respectively). The time-dependent burden, defined as answering ≥ often to BIC question 1 and/or 2,
was found to be least common in the none care needs level and most common in the moderate care needs level (Figure 3c), indicating a non-linear association between the care needs level and the time-dependent burden.

The association between the patients' care needs level and caregivers' time-dependent burden was further assessed using the unadjusted and multivariable-adjusted logistic regression models, including the time-dependent burden as an independent variable. The unadjusted logistic regression models showed that the care needs level was significantly associated with the time-dependent domain [unadjusted odds ratio that was obtained for the none, mild, moderate, and severe care needs level: 0.43 (0.07–1.60), 1.02 (0.44–2.24), 1.88 (1.07–3.33), and 1.00 (reference), respectively], along with the age of patients and family caregivers (Table 2). Even after adjusting for the age and sex of patients and family caregivers, types of cancer, family caregivers' relationship with patients, mental status of family caregivers, home care period, the family caregivers and the patients at moderate care need level were found to have a significantly higher prevalence of the time-dependent domain, compared with those in patients with severe care needs level [0.50 (0.07–2.12), 1.08 (0.43–2.57), 1.87 (1.01–3.52), and 1.00 (reference), respectively], ascertaining the non-linear association between the care needs level and the time-dependent burden. Besides the care needs
level, older patients and younger caregivers were found to be significantly associated
with the time-dependent burden as indicated in the multivariable-adjusted model.

Discussion

The present multicenter cross-sectional study that included 342 family
caregivers with patients receiving home palliative care for multiple cancers, clarified
that, first, the time-dependent burden was the major burden on the family caregivers
and, second, the patients' care needs levels were associated with the time-dependent
burden in a non-linear fashion.

Because we found a good correlation between the care needs level and the
Barthel index, an indicator of the functional status of the patient (Pearson’s r = -0.70),
especially in patients aged 65–74 years (r = -0.80), the second finding of the present
study strongly suggested that the patients’ functional status was associated with the
time-dependent burden in a non-linear fashion. Multiple studies have reported a linear
dose-dependent association between patients' functional status and caregiver burden in
Italy[8], US[12], Singapore[21], and South Korea[22]. The non-linear association that
was found in the present study might indicate an imbalance between demand (care
needs level) and supply (care provided) in the long-term care system in Japan,
suggesting that family caregivers of patients with moderate care needs level (requiring long-term care 2–3) needed more support than those with severe care needs level. In Japan, based on the patients' care needs level, the long-term care system provides the patients who require a level of long-term care 1 with the light use of care services (home help, assistive device), those who require the level of long-term care 2 with day care with rehabilitation, those who require a level of long-term care 3 with short-stay respite care, and those who require the level of long-term care 4 and 5 with compound use of visiting services\[23\]. To improve the imbalance between the demand and supply in the Japanese long-term care system, more frequent use of day care and short-stay respite care, and the use of visiting services might be effective for patients who require the level of long-term care 2–3.

One of the contributors to the heavier time-dependent burden on younger caregivers than older caregivers in the present study might be employment status (Table 2). An Iranian cross-sectional study showed that employed caregivers had a remarkably higher self-perceived burden than retired caregivers, suggesting that employment status affected the burden of caregivers\[24\]. In the present study, younger employed caregivers probably had longer working hours and, therefore, experienced greater difficulty in sparing time required for the care of the patient than older caregivers,
leading to a high time-dependent burden. Another contributor to the high time-dependent burden on younger caregivers maybe household work because younger caregivers have a larger amount of household work than older caregivers[25]. To ease the time-dependent burden on younger caregivers, the Family Medical Leave Act in the US[26] has provided care services according to the caregiver's burden status. In contrast, the Japanese long-term care system has provided care services based on the patient's condition, not the caregiver's burden status. To ameliorate the imbalance between the demand and supply of care services, the Japanese long-term care system should provide care services according to both the patient's condition and the caregivers' time-dependent burden.

The present study has several limitations. First, because the self-perceived burden of the family caregivers in the present cross-sectional study was assessed after the patients, who they cared for, died, a theory-based recall bias might affect the self-perceived burden of the family caregivers[27]. Caregivers with a tendency to believe that they had a burden during the home care period were likely to overestimate their past burden in a retrospective survey. A real-time self-perceived burden on family caregivers during the home care period should be strictly assessed. Second, the trajectories of the family caregivers' burden and the patients' care-needs level were not
included in the present study because of the nature of its cross-sectional study design. A longitudinal study design should be used to estimate the association more precisely.

Third, the present study mainly included patients with moderate to severe care needs levels [requiring long-term care 2–5, n = 268 (78.4%)]. Although the time-dependent burden was comparable between mild and severe care needs levels [adjusted odds ratio of care needs level 1 vs. 3, 1.21 (0.48–2.93)], their clinical impact should be verified in a larger cohort.

In conclusion, the present study identified the time-dependent burden as the major burden on the family caregivers of patients with cancer and clarified the non-linear association between the care needs level and the time-dependent burden, suggesting an imbalance between care needs and care supply in the Japanese long-term care system. To develop a practical long-term care system, long-term care should be provided to each patient according to the clinical characteristics of both the patients and their family caregivers.

**Declarations**
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| Care needs level* | None | Mild | Moderate | Severe |
|------------------|------|------|----------|--------|
| Number           | 22   | 52   | 125      | 143    |
| Age of patients, year | 73 (59–80) | 76 (70–83) | 78 (68–87) | 76 (68–84) |
| Male patients, n (%) | 15 (68.2) | 32 (61.5) | 72 (57.6) | 93 (65.0) |
| Age of family caregivers, year | 65±11 | 65±12 | 62±12 | 62±12 |
| Male family caregivers, n (%) | 6 (27.3) | 18 (34.6) | 32 (25.6) | 32 (22.4) |
| Type of cancer, n (%) | | | | |
| Lung                | 4 (18.2) | 13 (25.0) | 19 (15.2) | 31 (21.7) |
| Upper and lower gastrointestinal tracts | 6 (27.3) | 14 (26.9) | 28 (22.4) | 34 (23.8) |
| Liver, biliary system, and pancreas | 8 (36.4) | 12 (23.1) | 33 (26.4) | 26 (18.2) |
| Others              | 4 (18.2) | 13 (25.0) | 45 (36.0) | 52 (36.4) |
| Caregiver’s relationship with patient, n (%) | | | | |
| Spouse              | 13 (59.1) | 31 (59.6) | 57 (45.6) | 72 (50.3) |
| Child               | 5 (22.7) | 18 (34.6) | 53 (42.4) | 60 (42.0) |
| Son/daughter in law | 1 (4.5)  | 2 (3.8)  | 9 (7.2)   | 5 (3.5)   |
| Parents             | 0 (0.0)  | 1 (1.9)  | 1 (0.8)   | 0 (0.0)   |
| Siblings            | 2 (9.1)  | 0 (0.0)  | 4 (3.2)   | 2 (1.4)   |
| Others              | 1 (4.5)  | 0 (0.0)  | 1 (0.8)   | 4 (2.8)   |
| Home care period, months | 0.5 (0.3–1.8) | 1.2 (0.6–2.5) | 1.4 (0.7–3.0) | 1.1 (0.6–3.0) |
| The period from the date of death to date of response, months | 14.4 (9.0–22.3) | 15.4 (9.7–23.1) | 13.8 (11.0–19.3) | 16.0 (10.4–24.9) |
| Cluster 1, n (%)    | 13 (59.1) | 27 (51.9) | 68 (54.4) | 67 (46.9) |
| Cluster 2           | 9 (40.9)  | 25 (48.1) | 57 (45.6) | 76 (53.1) |
| Mental condition of family caregiver | 2 (1.3–3.0) | 2 (2.0–3.0) | 2 (2.0–3.0) | 2 (1.5–3.0) |
| Burden Index of Caregiver, domains | | | | |
| Time-dependent burden | 2.5 (2.0–4.0) | 2.0 (2.0–4.0) | 4.0 (2.0–5.0) | 3.0 (2.0–4.0) |
| Emotional burden    | 0.5 (0.0–2.0) | 1.0 (0.0–2.0) | 1.0 (0.0–2.0) | 1.0 (0.0–2.0) |
| Spiritual burden    | 1.0 (0.0–2.0) | 1.5 (0.0–2.0) | 2.0 (0.0–2.0) | 1.0 (0.0–2.0) |
| Physical burden     | 1.5 (0.0–2.0) | 2.0 (0.0–3.0) | 2.0 (0.0–3.0) | 1.0 (0.0–3.0) |
| Service burden      | 1.5 (0.0–2.0) | 1.0 (0.0–2.0) | 1.0 (0.0–2.0) | 1.0 (0.0–2.0) |

Mean±standard deviation; median (25%–75%)
P ≥ 0.05 for all variables

*Mild care needs level included requiring support 1, requiring support 2 and requiring long-term care 1. Moderate care needs level included requiring long-term care 2 and...
requiring long-term care. Severe care needs level included requiring long-term care 4 and requiring long-term care 5.
Table 2. Contributors to time-dependent burden of caregivers

|                                | Unadjusted Model |          | Adjusted Model* |          |
|--------------------------------|-----------------|----------|-----------------|----------|
|                                | OR (95% CI)     | P        | OR (95% CI)     | P        |
| Age of patient                 |                 |          |                 |          |
| < 65                           | 0.47 (0.18–1.11)| 0.096    | 0.21 (0.05–0.80)| 0.026    |
| 65–74                          | 0.78 (0.39–1.55)| 0.478    | 0.65 (0.23–1.78)| 0.400    |
| 75–84                          | 1.14 (0.59–2.21)| 0.693    | 1.00 (0.43–2.35)| 0.995    |
| ≥ 85                           | 1.00 (reference)| 1.00 (reference)| 1.00 (reference)| 1.00 (reference)|
| Female patient                 | 1.60 (0.95–2.67)| 0.074    | 2.01 (0.98–4.35)| 0.061    |
| Age of family caregiver        |                 |          |                 |          |
| < 55                           | 3.00 (1.28–7.67)| 0.015    | 4.91 (1.13–22.49)| 0.036    |
| 55–64                          | 1.82 (0.79–4.63)| 0.180    | 2.96 (0.89–10.45)| 0.082    |
| 65–74                          | 1.33 (0.56–3.42)| 0.539    | 1.74 (0.62–5.25)| 0.305    |
| ≥ 75                           | 1.00 (reference)| 1.00 (reference)| 1.00 (reference)| 1.00 (reference)|
| Female family caregiver        | 1.57 (0.86–3.02)| 0.156    | 1.85 (0.85–4.22)| 0.132    |
| Care needs level               |                 |          |                 |          |
| None                           | 0.43 (0.07–1.60)| 0.274    | 0.50 (0.07–2.12)| 0.404    |
| Mild                           | 1.02 (0.44–2.24)| 0.956    | 1.08 (0.43–2.57)| 0.863    |
| Moderate                       | 1.88 (1.07–3.33)| 0.029    | 1.87 (1.01–3.52)| 0.048    |
| Severe                         | 1.00 (reference)| 1.00 (reference)| 1.00 (reference)| 1.00 (reference)|
| Home care period, per 4 weeks  | 1.00 (0.94–1.05)| 0.958    | 0.98 (0.91–1.03)| 0.513    |

CI, confidence interval; OR, odds ratio

*Adjusting for age of patient (year), age of family member (year), patient and family member sex, type of cancer (lung; upper and lower gastrointestinal tracts; liver, biliary system, and pancreas; and others) in the patient, family member’s relationship with patient (spouse, child, son/daughter in law, parents; siblings, and others), mental status of family members, home care period (week), the period from the date of death to date of response (month), and clusters (1 and 2).
Figure legends

**Figure 1.** Flow diagram of inclusion and exclusion of study participants. JHOPE4, Japan Hospice and Palliative Evaluation study 4.

**Figure 2.** Proportion of the answers to each BIC question. BIC, Burden Index of Caregivers.

**Figure 3.** Proportion of the answers to BIC question 1 and 2 in each care needs level. BIC, Burden Index of Caregivers.
Figure 1

Flow diagram of inclusion and exclusion of study participants. JHOPE4, Japan Hospice and Palliative Evaluation study 4.
Figure 2

Proportion of the answers to each BIC question. BIC, Burden Index of Caregivers.
Figure 3

Proportion of the answers to BIC question 1 and 2 in each care needs level. BIC, Burden Index of Caregivers.

Supplementary Files

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- coidisclosure.pdf
- supplementarytable1.pdf
- supplementarytable2.pdf