The Caries Management System: are preventive effects sustained postclinical trial?

Evans RW, Clark P, Jia N. The Caries Management System: are preventive effects sustained postclinical trial?. Community Dent Oral Epidemiol 2016; 44: 188–197. © 2015 The Authors. Community Dentistry and Oral Epidemiology Published by John Wiley & Sons Ltd.

This is an open access article under the terms of the Creative Commons Attribution License, which permits use, distribution and reproduction in any medium, provided the original work is properly cited.

Abstract – Objectives: To report, at two and 4 years post-trial, on the potential legacy of a 3-year randomized controlled clinical trial (RCT) of the Caries Management System (CMS) at private general dental practices. The CMS was designed to reduce caries risk and need for restorative care. Methods: Nineteen dental practices located in city, urban, and rural locations in both fluoridated and nonfluoridated communities participated in the RCT. Eight practices were lost to follow-up post-trial; however, baseline mean DMFT balance between CMS and control practices was maintained. At the control practices, caries management following usual practice continued to be delivered. The patient outcome measure was the cumulative increment in the DMFT index score, and the practice outcome measures included the practice-mean and practice-median increments of patient DMFT index scores. In covariable analysis (patient-level unit of analysis), as the patients were clustered by practices, mean DMFT increments were determined through multilevel modeling analysis. Practice-mean DMFT increments (practice-level unit of analysis) and practice-median DMFT increments (also practice level) were determined through general linear modeling analysis of covariance. In addition, a multiple variable logistic regression analysis of caries risk status was conducted. Results: The overall 4-year post-trial result (years 4–7) for CMS patients was a DMFT increment of 2.44 compared with 3.39 for control patients (P < 0.01), a difference equivalent to 28%. From the clinical trial baseline to the end of the post-trial follow-up period, the CMS and control increments were 6.13 and 8.66, respectively, a difference of 29% (P < 0.0001). Over the post-trial period, the CMS and control practice-mean DMFT increments were 2.16 and 3.10 (P = 0.055) and the respective increments from baseline to year 7 were 4.38 and 6.55 (P = 0.029), difference of 33%. The practice-median DMFT increments during the 4-year post-trial period for CMS and control practices were 1.25 and 2.36 (P = 0.039), and the respective increments during the period from baseline to year 7 were 2.87 and 5.36 (P < 0.01), difference of 47%. Minimally elevated odds of being high risk were associated with baseline DMFT (OR = 1.17). Patients attending the CMS practices had lower odds of being high risk than those attending control practices (OR = 0.23, 95% CI = 0.06, 0.88). Conclusion: In practices where adherence to the CMS protocols was maintained during the 4-

Key words: cariology; dental services research; non-surgical treatment; preventive dentistry; risk assessment

R. Wendell Evans, Sydney Dental School, Population Oral Health, The University of Sydney, Sydney, NSW, Australia, 2Lilly Corporate Center, Eli Lilly and Company, Indianapolis, IN, USA

Submitted 7 July 2015; accepted 26 October 2015
The landmark longitudinal study of Backer Dirks demonstrated that dental caries is a dynamic disease characterized by varying rates of progression, arrest, and remineralization (1). This should have pointed dentistry toward a more conservative approach to caries management. The longitudinal study of Rugg-Gunn indicated that over-treatment of caries could be reduced if the threshold for surgical intervention was set at the enamel cavitation stage, yet the radical surgical approach prevailing in the 1960s has generally continued despite overwhelming research outputs in caries prevention and treatment in the succeeding half century (2, 3). Recently, Baelum has pleaded for a biological approach to caries treatment, one that addresses causative factors and their control rather than the mechanical approach of merely eradicating individual lesions as they arise (4).

The Caries Management System
The Caries Management System (CMS) was inspired by Axelsson et al. (5, 6) who demonstrated that caries incidence in children and young and older adults could be reduced to near zero levels and that such outcome could be sustained for decades. We realized that dental practices did not adequately and comprehensively address caries prevention. The CMS protocols were designed to deliver two clinical outcomes: to prevent caries incidence and to arrest existing noncavitated lesions thus preventing their progression to cavities and consequent need for restorative treatment.

The guiding treatment philosophy is that noncavitated lesions should not be restored, rather they should be actively arrested, remineralized, and monitored.

The CMS comprises a set of protocols (covering risk assessment, diagnosis, risk management, monitoring, and recall) that bring together evidence-based caries preventive methods in a systematic framework (7, 8). It specifies how they should be delivered to patients who are at different levels of caries risk. Treatment set out in the protocols is risk-specific; therefore, each patient’s caries risk must be determined at the outset. Briefly, for new patients, those at high risk present with cavities; medium-risk patients present with teeth exhibiting signs of enamel breakdown (ICDAS stage 3 lesions) or approximal lesions as shown in bitewing radiographs where lesion depth is confined to the outer third of dentine; and low-risk patients are those who present with radiolucencies of lesser depth or with clinical signs of not greater than ICDAS stage 2 lesions. Thereafter, patients are rated as high risk if they develop more than one new caries lesion per year; medium-risk patients develop one new lesion per year, or have progression of existing lesions; and low-risk patients are those with lesion incidence of fewer than one per year.

The CMS focus is on the management of patient behavior change (oral hygiene coaching, selection of healthy diet components, and encouragement to restrict between-meal exposures to sugar-containing foods and beverages) and the nonsurgical clinical treatment of noncavitated lesions. The nonsurgical clinical care entails fluoride varnish applications to prevalent noncavitated lesions, the frequency of which is risk-determined; 3-monthly applications for high-risk patients and 6 monthly for medium risk (7, 9). Sealants are used both preventively and therapeutically (10). Caries risk reduction is monitored on the basis of lesion activity, that is, from direct clinical and radiographic observations on lesion incidence and progression. Surgical treatment of cavities, in terms of caries control, does not control the disease but does eliminate lesions and sites for plaque buildup (11).

The Monitor Practice Program
The Monitor Practice Program (MPP) began as a multicenter cluster randomized controlled clinical trial designed to test the hypothesis that the evidence-based CMS protocols, tested previously in a hospital setting, would reduce risk of dental caries experience in patients attending privately operated general dental practices (Clinical Trial Registry No. ISRECTN67374556) (12, 13).

The MPP was planned and implemented initially as a 3-year trial, and during this period, investigator contacts occurred frequently to monitor the transition to the CMS protocols and to monitor outcomes (13, 14). At year 3, by intention to treat, caries incidence (the D component of the DMFT index) among patients on the CMS protocols was 31% less than among controls, and the overall year post-trial follow-up period, patients continued to benefit from a reduced risk of caries and, therefore, experienced lower needs for restorative treatment.
DMFT increment among CMS patients was 21% less than in controls (15). A cost-effectiveness evaluation, from the perspective of the dentist provider, was conducted (16). This showed substantial effects (numbers of avoided DMFT increments in CMS patients) for modest additional costs above those incurred by control patients. Sensitivity analyses demonstrated that cost-effectiveness was improved for high-risk patients and for those treated by hygienists.

The success of the clinical trial prompted preparation for a continuation of the program for the threefold purpose of determining whether the new mode of practice would be sustained post-trial in the absence of monitoring visits (a real-life situation as opposed to conditions operating under a controlled clinical trial); to assess cost-effectiveness over a longer term; and to conduct a qualitative evaluation (15).

The purpose of this article was to report on the potential legacy of the trial, that is, whether or not the beneficial effect was sustained, at two and 4 years post-trial, among patients attending CMS practices.

### Methods

**Practice and patient recruitment**

Twenty-two practices were recruited and randomized to participate in the controlled clinical trial; 12 to intervention and 10 to control. Immediately post-randomization, three practices withdrew (Fig. 1) (13). Typically, the first 50 consecutive patients who consented to participate were enrolled. At the control practices, caries management following usual practice would continue to be delivered. Practices were located in city, urban, and rural locations in both fluoridated and nonfluoridated communities.

**Practice and patient loss**

Immediately post-trial, three CMS practices withdrew (retirement of the calibrated dentist, practice sale, and loss of interest (Figs 1 and 2). In addition, one control practice was lost due to practice sale. At year 5 (2 years post-trial), all patients attending three CMS practices were excluded because they were treated by noncalibrated dentists. After year 5, one control dentist ceased practicing.

### Randomisation of 22 dental practices

| Intervention 12 practices | Control 10 practices |
|---------------------------|-----------------------|
| The Caries Management System CMS | Usual care |
| • 4 urban practices | • 4 urban practices |
| • 3 city practices | • 2 city practices |
| • 5 rural practices | • 4 rural practices |

Post randomisation one practice withdrew.

| Year 3 follow-up at 10 practices | Year 3 follow-up at 9 practices |
|----------------------------------|----------------------------------|
| • 411 patients followed to year 3 | • 400 patients followed to year 3 |
| • 41 patients lost to follow-up | • 50 patients lost to follow-up |

After year 3, one practice withdrew.

| Year 5 follow-up at 4 practices | Year 5 follow-up at 8 practices |
|----------------------------------|----------------------------------|
| Subsequent to practice loss at years 3 and 5 and patient loss since year 3: 63 patients followed | Subsequent to practice loss at year 3 and patient loss since year 3: 239 patients followed |

After year 5, one practice withdrew.

| Year 7 follow-up at 4 practices | Year 7 follow-up at 7 practices |
|----------------------------------|----------------------------------|
| • 52 patients followed | • 162 patients followed |
| • 11 patients lost since year 5 | • 77 patients lost since year 5 |

Fig. 1. Study flow diagram.
Potential bias
As a consequence of practice and patient loss, a potential to bias the post-trial follow-up emerged (Table 1). At baseline, the mean DMFT scores of the CMS and control patients were equal at 8.5 and 8.4, respectively (Table 2). However, for those in both study arms continuing to years 5 and 7, the baseline scores were in balance (CMS 12.2 and control 12.6); the lost patients had lower caries experience.

Patient recall and data collection
Dentists were requested to recall patients for monitoring and related treatment prior to the dates set for data collection at two and 4 years of post-trial (five and 7 years postbaseline), respectively. If, at year 7, patients had not attended recall appointments or received further treatment after year 3, they were deemed to be lost to follow-up at year 5. Data were not collected in relation to patient behavior change. Only treatment-related data were taken directly from patient files at each practice by the researchers and entered electronically for later analysis. As such, the researchers were not blinded to either practice allocation or clinical outcome. For the purposes of the post-trial analysis, all interventions reported during years 4 and 5 and during years 6 and 7 were registered as occurring at year 5 and year 7, respectively (last observation carried forward, LOCF).

Determination of DMFT increment
Tooth surface data pertaining to each patient were inspected and both DMFS and DMFT increments were calculated. During the 3-year clinical trial, the

---

**Table 1. Frequency distribution of practices by location and fluoridation status**

| Follow-up period | Intervention | City | Urban | Rural | Totala | Fluoridated |
|------------------|--------------|------|-------|-------|--------|-------------|
|                   |              |      |       |       |        | Yes | No |
| Baseline to year 3| CMSb         | 2    | 3     | 5     | 10     | 8   | 2 |
|                   | Control      | 2    | 3     | 4     | 9      | 7   | 2 |
| Years 4 and 5     | CMS          | 1    | 2     | 1     | 4      | 4   | 0 |
|                   | Control      | 2    | 3     | 3     | 8      | 7   | 1 |
| Years 4-7         | CMS          | 1    | 2     | 1     | 4      | 4   | 0 |
|                   | Control      | 1    | 3     | 3     | 7      | 6   | 1 |

a Twenty-two practices were randomized at baseline but three withdrew prior to patient recruitment.

b Caries Management System.

---

**Table 2. Caries Management System arm and Control arm mean baseline DMFT balance by cohort**

| Cohort                              | Practice numbers | Total patients | Caries Management System | Control |
|-------------------------------------|------------------|----------------|--------------------------|---------|
|                                     | n    | Mean | SD | n    | Mean | SD | Probabilitya |
| All patients on enrollment          | 19   | 452  | 8.5 | 450  | 8.4  | 8.6 | 0.82         |
| All patients who continued to year 3| 19   | 811  | 9.2 | 400  | 12.0 | 8.4 | <0.0001      |
| All patients who continued to year 5| 12   | 302  | 12.1 | 239 | 11.7 | 8.1 | 0.74         |
| All patients who continued to year 7| 11   | 214  | 12.2 | 162  | 12.6 | 7.9 | 0.74         |

a Wilcoxon test comparing CMS against Control.
D component of the increment score was monitored and recorded separately. An approximal tooth surface was registered as D if bitewing radiolucencies were disclosed in enamel or dentine during monitoring visits. Both D incidence and progression were reported at years 2 and 3 but since monitoring visits ceased after year 3, the D component of the DMFT scores could not be reported. During the post-trial period, it was assumed that any replacement fillings and first time fillings were consequent to decay and, therefore, the D increment was reflected in F, where the DMFT increment was estimated as M+F during years 4–7. During the clinical trial, care was taken where possible to register replacement fillings as decay-related events only if they were consequent to decay, that is, broken fillings or fillings that were replaced for other reasons were not registered as F increments at the patient level (14). However, in the post-trial analyses, F was calculated as the sum of all restoration-related events: first time fillings, crowns, replacement fillings including replacement crowns, root fillings, bridge units, denture units, and implants. This post-trial policy was justified in that the long-term economic evaluation should capture the impact of the totality of restorative care needed consequent to treatment emanating from an original caries diagnosis.

Data analysis
The patient outcome measure was the cumulative increment in the DMFT index score and the practice outcome measures included the practice-mean and practice-median increments of patient DMFT index scores. Comparisons of baseline mean DMFT estimates between CMS and control patients were assessed using the Wilcoxon rank-sum test. In covariable analysis (patient-level unit of analysis), as the patients were clustered by practices, mean DMFT increments were determined through multilevel modeling analysis. Two significant risk factors, in addition to intervention, were jointly associated with DMFT increments: age on enrollment and baseline DMFT. In addition, practice-mean DMFT increments (practice-level unit of analysis) and practice-median DMFT increments (also practice level) were determined through general linear modeling analysis of covariance.

A multiple variable logistic regression analysis of caries risk status was conducted in which risk was dichotomized as high risk (those with increments of one or fewer per year). Odds ratios were adjusted for age on enrollment, baseline DMFT, fluoridation status, practice location, and intervention, which were the four significant risk factors found based on our data.

All analyses were conducted using SAS (version 9.3) software (SAS Institute Inc., Cary, NC, USA).

Ethics
Approval was obtained from the University of Sydney Human Research Ethics Committee to monitor the post-trial effect, and then dentists and patients were invited to give their informed consent to continue their participation.

Results
Two years post-trial, 509 patients were lost to follow-up leaving 302 on protocol or under usual care. After 4 years, the effect of the post-trial legacy was assessed in relation to only 214 remaining patients –52 attending four CMS practices (12% of the baseline number) and 162 patients attending seven control practices (36% of the baseline number) (Fig. 1).

Patient-level DMFT increments
The year 5 (2-years post-trial) mean DMFT increments for CMS and control patients (adjusted for baseline DMFT and baseline age) were 1.21 and 1.53 (P = 0.32), respectively (Table 3). During the second 2-year period post-trial (years 6–7), the respective mean increments of 1.23 and 1.80 differed significantly (P < 0.05). The overall 4-year post-trial DMFT increments (years 4–7) for CMS and control patients were 2.44 and 3.39 (P < 0.01), respectively; a difference equivalent to 28%. Finally, the effect of the CMS protocols on patients as measured from the clinical trial baseline to the end of the post-trial follow-up (year 7) was a DMFT increment of 6.13 which was 29% less than the increment of 8.66 for control patients (P < 0.0001).

Practice-level estimates of DMFT increments
The caries experience of patients, expressed as both practice-mean and practice-median DMFT increments, are also reported in Table 3. During the first 2-year post-trial period (years 4–5), the difference between the practice-mean increments across the practice types was not significant (P = 0.41). Also, the difference during the second
Are preventive effects sustained postclinical trial?

Table 3. Post-trial patient-level and practice-level DMFT\textsuperscript{a} increments and related statistics by treatment period and intervention – Caries Management System (CMS) versus Control – LOCF\textsuperscript{b}

| Treatment period          | Intervention | Practice numbers | N   | Median | Mode | Max | Adjusted mean\textsuperscript{c} | SE  | Probability\textsuperscript{d} | % Difference\textsuperscript{d} |
|---------------------------|--------------|------------------|-----|--------|------|-----|-------------------------------|-----|-------------------------------|-------------------------------|
| First post-trial period   | CMS          | 4                | 63  | 0      | 0    | 10  | 1.21                          | 0.29 | 0.3234                        | 20.9                          |
|                           | Control      | 8                | 239 | 1      | 0    | 10  | 1.53                          | 0.18 |                               |                               |
| Second post-trial period  | CMS          | 4                | 52  | 1      | 0    | 5   | 1.23                          | 0.24 | 0.0385                        | 31.7                          |
|                           | Control      | 7                | 162 | 1      | 0    | 24  | 1.80                          | 0.15 |                               |                               |
| Post-trial period         | CMS          | 4                | 52  | 1      | 0    | 10  | 2.44                          | 0.31 | 0.0075                        | 28.0                          |
|                           | Control      | 7                | 162 | 2      | 0    | 27  | 3.39                          | 0.20 |                               |                               |
| Baseline – year 3         | CMS          | 6                | 63  | 1      | 0    | 11  | 3.99                          | 0.55 | 0.0064                        | 37.7                          |
|                           | Control      | 8                | 239 | 2      | 0    | 22  | 5.44                          | 0.39 |                               |                               |
| Baseline – year 5         | CMS          | 4                | 63  | 2      | 0    | 19  | 4.95                          | 0.55 | 0.0012                        | 25.8                          |
|                           | Control      | 8                | 239 | 3      | 0    | 27  | 6.67                          | 0.39 |                               |                               |
| Baseline – year 7         | CMS          | 4                | 52  | 3      | 0    | 19  | 6.13                          | 0.58 | <0.0001                       | 29.2                          |
|                           | Control      | 7                | 162 | 5      | 3    | 32  | 8.66                          | 0.41 |                               |                               |

| Practice mean             | SE           | Probability\textsuperscript{d} | % Difference\textsuperscript{d} | Practice median | IQR   | Probability\textsuperscript{d} | % Difference\textsuperscript{d} |
|---------------------------|--------------|-------------------------------|-------------------------------|----------------|-------|-------------------------------|-------------------------------|
| First post-trial period   | CMS          | 4                | 1.07 | 0.27  | 0.4111 | 18.3 | 0.50                          | 1.00 | 0.7248                        | 19.3                          |
|                           | Control      | 8                | 1.31 | 0.21  | 0.4011 | 18.3 | 0.62                          | 1.75 |                               |                               |
| Second post-trial period  | CMS          | 4                | 1.08 | 0.30  | 0.0821 | 35.3 | 0.75                          | 1.00 | 0.9215                        | –5.6                          |
|                           | Control      | 7                | 1.67 | 0.25  | 0.3093 | 35.3 | 0.71                          | 1.75 |                               |                               |
| Post-trial period         | CMS          | 4                | 2.16 | 0.43  | 0.0555 | 30.3 | 1.25                          | 0.38 | 0.0394                        | 47.0                          |
|                           | Control      | 7                | 3.10 | 0.36  | 0.6010 | 30.3 | 2.36                          | 1.00 |                               |                               |
| Baseline – year 3         | CMS          | 6                | 1.55 | 0.40  | 0.0130 | 43.2 | 0.10                          | 1.25 | 0.3384                        | 93.3                          |
|                           | Control      | 8                | 2.73 | 0.36  | 0.1293 | 43.2 | 1.50                          | 2.25 |                               |                               |
| Baseline – year 5         | CMS          | 4                | 3.33 | 0.79  | 0.1872 | 25.6 | 2.50                          | 2.38 | 0.3666                        | 23.1                          |
|                           | Control      | 8                | 4.48 | 0.63  | 0.3021 | 25.6 | 3.25                          | 3.00 |                               |                               |
| Baseline – year 7         | CMS          | 4                | 4.38 | 0.85  | 0.0290 | 33.1 | 2.87                          | 1.75 | 0.0056                        | 46.5                          |
|                           | Control      | 7                | 6.55 | 0.71  | 0.6940 | 33.1 | 5.36                          | 4.38 |                               |                               |

Bold indicates significance ($P < 0.05$).
\textsuperscript{a}Calculated as $M+F$ where $F =$ sum of all (i) first time fillings, (ii) crowns, (iii) repeat fillings including repeat crowns, (iv) root fillings, (v) bridge units, (vi) denture units, and (vii) implants.
\textsuperscript{b}Last observation carried forward.
\textsuperscript{c}Mean values are adjusted for baseline DMFT and baseline age. SE of adjusted mean.
\textsuperscript{d}Relate to CMS versus Control differences.

2-year period (years 6–7) was not significant ($P = 0.08$). Over the entire post-trial period, the CMS practice-mean DMFT increment of 2.16 was 30% lower than the control mean increment of 3.10; however, the difference was at the margin of statistical significance only ($P = 0.0555$). Over the 7-year period from baseline, the difference at year 7 of 33% related to CMS and control mean increments of 4.38 and 6.55, respectively ($P = 0.029$). The practice-median DMFT increments were lower than the practice-mean increments (Table 3). During each of the 2-year post-trial periods, the practice-median increments were not significantly different across practice types. On the other hand, there was a significant 47% difference between the median increments during the 4-year post-trial period (years 4–7) at which point the respective CMS and control practice medians...
were 1.25 and 2.36 ($P = 0.039$). During the periods from baseline to years 3, 5, and 7, a difference between the practice-median increments was significant in the case of the baseline to year 7 median only; the respective CMS and control median estimates being 2.87 and 5.36, a 47% difference ($P < 0.006$).

**Analysis of risks factors**

Minimally elevated odds (reported as adjusted odds ratios in Table 4) of being high risk were associated with baseline DMFT (OR = 1.17). Patients attending the CMS practices had lower odds of being high risk than those attending control practices (OR = 0.23, 95% CI = 0.06, 0.88). Neither age on enrollment nor current exposure to fluoridated water was significantly associated with reduced odds of being high risk.

**Discussion**

This study has demonstrated that during the post-trial period, the reduced need for restorative care was sustained among patients attending dental practices that implemented the CMS nonsurgical preventive treatment protocols for caries control. Mean patient needs for restorative treatment during this period were 28% fewer than those under usual care at control practices. Further, during the 7-year postbaseline period, the mean need for such treatment among CMS patients was 29% fewer than that of control patients. At the practice level, the mean number of restorations placed by CMS dentists was 30% fewer (at the margin of statistical significance only) than that of control dentists during the post-trial period, and 33% fewer during the 7-year period from baseline. The CMS practice-median number of restorative interventions during both the post-trial and 7-year periods was substantially fewer (47%) than at control practices. These results align with those of Featherstone et al. (17) who found that systematic monitoring and professional applications of fluoride and other anticaries measures reduced caries incidence and need for restorative intervention among caries active adult patients.

The patient-level analysis found most of the differences in the treatment effects discussed in the previous paragraph significant at level 0.05, especially all those treatment effects of main interest, whereas the practice-level analysis failed to reach significance in most of the differences in the treatment effects. It is noted, however, that patient-level significance should imply that practice level is also significant. The main reason for the larger $P$-values in the practice-level analysis is purely statistical; there were only four data points in the CMS arm and seven data points in the control arm, which makes the sample size too small to detect a significant effect. Also, it would be more appropriate to develop a statistical method to incorporate the estimated variances of the practice-level means into the analysis, which could not be fulfilled using standard procedures such as in SAS. Hence, we kept our analysis as simple as possible, hoping that the reader would focus on the more reliable patient-level analysis, for which the sample size was much larger.

As far as we are aware, there are no other practice-based randomized controlled trials of preventive protocols with which to compare our results. Nevertheless, it is realistic to speculate that similar results might be realized if preventive treatment was to be adopted as standard practice for caries control. The fact that this study was conducted in the real-life setting of private dental practice lends additional strength to any generalization of the findings.

The main limitation to the interpretation of this study outcome is severe patient attrition. Initially, this study was planned as a 3-year clinical trial and powered accordingly with provision for dropouts (3). When the opportunity arose to continue the study, we were left with the numbers in-hand who continued to years 5 and 7. In real-life dentistry, both patients and dentists come and go. In Australia, population mobility, as assessed in the 2008 household survey, was such that 43% had moved house in the last 5 years (18). Attrition was due to a combination of loss of patients within continuing practices, practice withdrawals, and practice exclusions. The lost practices in both arms of the study were distributed across the full range of the year 3

**Table 4. Logistic regression analysis of high caries risk status.**

| Risk factor            | OR   | CI95          |
|------------------------|------|--------------|
| Age on enrollment      | 1.022| 0.998, 1.046 |
| Baseline DMFT          | 1.168| **1.099, 1.242** |
| Fluoride history       | 0.154| 0.018, 1.307 |
| Intervention (CMS)     | 0.228| **0.059, 0.880** |

Bold indicates significance ($P < 0.05$).

*aDichotomized as high risk versus low or medium risk.

*bCurrent exposure to water fluoridation. Comparator reference = Noncurrent exposure.

*cComparator reference = Control (usual care).
practice-based mean DMFT increments (Fig. 2), and hence the practice profiles in both study arms were preserved. Three CMS practices were excluded due to patient treatment by non-calibrated dentists. At these practices, a high incidence of restoration of previously nonrestored teeth was found and it is likely that many of the restored lesions were probably arrested lesions. This regrettable outcome is not surprising given the long-standing caries treatment philosophy favoring early restorative intervention. As the focus of the post-trial follow-up was to determine whether continuing patients on protocol would sustain reduced caries risk, these practices were, therefore, excluded. While the lost patients had, on average, lower baseline DMFT scores than those continuing, baseline DMFT equality across both study arms was maintained. The dropout due to population mobility, classed as missing completely at random, does not introduce significant bias theoretically. Two of the three lost practices were also missing completely at random. The remaining lost CMS practice was due to loss of interest and may (not necessarily) introduce over-optimistic bias. Nevertheless, severe attrition demands that caution be exercised when interpreting the study outcome.

It is presumed that the dentists who elected to join the study and were randomized to CMS or control, were preventively oriented and, therefore, constitute a biased sample. Less preventively oriented dentists might, when erring on the side of ‘safety’, adopt an earlier rather than a later stage of lesion development as their threshold for surgical intervention. We were unable to monitor caries lesion incidence during the post-trial follow-up, hence our assumption that F increments to DMFT scores reflected D incidence may not be the case. It is possible that the observed lower mean DMFT increments in CMS practices during the RCT and the post-trial period were due entirely to a change in diagnostic/treatment threshold of the participating dentists and without any effect due to caries preventive behavior changes by the patients themselves. However, the effect favoring CMS patients was more likely due to a combination of change in diagnostic/treatment threshold and lesion arrest due to intensive fluoride therapy, and decreased lesion incidence due to the preventive approach of the CMS. This outcome could also be reached without the benefits of home preventive activities by patients. Similarly, the observed reduction in caries risk may be a misinterpretation wherein caries risk itself may not have changed; rather the decrease in restorative need being due to changed practice by CMS dentists who refrained from restoring early lesions. This alone leads to more patients being classified as low risk.

While the CMS protocols have a joint focus on behavioral and clinical management, the control of dental plaque and sugar exposure were not monitored by the researchers during the MPP. Hence, the extent to which control of these factors affected the clinical outcome is not known and represents a weakness in the study. As it is desirable that unnecessary restorative care is avoided, this result is best achieved through the joint preventive efforts of both patient and dentist to reduce caries risk and need for restorative intervention. Nevertheless, best clinical practice alone can go a long way to eliminate need for restorative intervention.

It was believed that caries could be controlled via the invasive practice of restorative treatment of caries lesions. Griffin et al. (19) exposed the inherent flaw in this approach in their review of epidemiologic studies conducted in four industrialized countries. They revealed that the annual coronal caries increment was 0.86 (CI95 0.66–1.07) surfaces per year. Under the practice of erring on the side of safety as a justification for early surgical intervention, the risk of over-treatment is serious. Data from Japan indicate that 74% of dentists would intervene surgically on enamel lesions in high-risk patients and that 47% would do so in low risk patients (20). Similar intentions were expressed by dentists in Victoria, Australia (21). In Australia, data from the most recent national survey of randomly selected dentists indicate that on any 1 day, equal numbers of enamel and dentine lesions are restored (22). However, Brown et al. (23) showed that in a population, described as ‘representing a typical, contemporary, caries active adult population with fluoride exposure’, half of non-cavitated lesions reversed during a 33 month period, around one quarter remained stable, around one-fifth oscillated between progressing and regressing, and only 8.3% progressed to cavitation. These results highlight the potential for lesion monitoring and active arrestment/preventive strategies as the new paradigm for caries control. Anusavice noted that if modern management is to be successful, caries risk must be monitored and lesions under treatment must also be monitored to track their activity and to adjust treatment when indicated (24). A major concern of the restorative approach, in addition to overtreatment, is the concomitant loss of tooth structural integrity. The need to preserve
dental tissues should be the guiding principle in future caries management (25). Provided that patients attend regularly for preventive treatment according to risk-specific monitoring schedules, their need for invasive restorative treatment with its attendant life-long maintenance requirements is unnecessary and avoidable (7, 26). Gilbert et al. (27) have reported that dentists who belong to practice-based research networks, and who are following evidence-based protocols become, in time, less invasive in their treatment approach. Recall for monitoring and ongoing preventive treatment ensures that most new incident lesions should be identified, arrested, and remineralized. However, research outcomes alone from the studies reported here do not ensure that they will be translated into practice. Bader identified three critical areas that govern dental practice: interaction between dentists’ characteristics and biases; the environment of dental practice and its effects on treatment decisions; and dentists incorporation of the values of their patients into their treatment decisions (28). Our qualitative evaluation of the MPP indicates that dentists value the benefits of noninvasive practice including that of practice building and patients highly value the main benefit of a reduced need for restorative treatment (29, 30). Further, the economic evaluation shows that preventive practice is economically sustainable (15, 16).

It is concluded that in practices where adherence to the CMS protocols was maintained during the 4-year post-trial follow-up period, patients experienced lower needs for restorative treatment. The outcome of this clinical trial and its sustained legacy should stimulate dental schools and the profession-at-large to reshape their approach to caries management. As restorative care is not appropriate for non-cavitated lesions, the persistence of a restorative approach to caries control results in unnecessary invasive procedures, and brings into question the ethics of continuing this practice.

Acknowledgements

The authors declare no conflict of interest. We sincerely thank the participating dentists and their patients. This study received funding from the Oral Health Foundation, University of Sydney; the National Health and Medical Research Council (project grants 402466 and 632715); the Dental Board of New South Wales, and the Australian Dental Research Foundation. The support of the Australian Dental Association (NSW) and Colgate Oral Care is gratefully acknowledged. We are grateful for helpful comments and insight by Dr Chester Douglass during the development of this paper. Finally, we thank Drs Alexandra Sbaraini and Brad Curtis for their assistance throughout.

References

1. Backer Dirks O. Posteruptive changes in dental enamel. J Dent Res 1966;45:503–11.
2. Rugg-Gunn AJ. Approximal carious lesions. A comparison of the radiological and clinical appearances. Br Dent J 1972;133:481–4.
3. Selwitz RH, Ismail AI, Pitts NB. Dental caries. Lancet 2007;369:51–9.
4. Baelum V. Caries management: technical solutions to biological problems or evidence-based care? J Oral Rehab 2008;35:135–51.
5. Axelsson P, Nystrom B, Lindhe J. The long-term effect of a plaque control program on tooth mortality, caries and periodontal disease in adults. Results after 30 years of maintenance. J Clin Periodontol 2004;31:749–57.
6. Axelsson P, Lindhe J. The effect of a plaque control program on gingivitis and dental caries in schoolchildren. J Dent Res (Spec Iss C) 1977;56:c142–8.
7. Evans RW, Pakdaman A, Dennison PJ, Howe ELC. The Caries Management System – an evidence-based preventive strategy for dental practitioners. Application for adults. Aust Dent J 2008;53:83–92.
8. Evans RW, Dennison PJ. The Caries Management System: an evidence-based preventive strategy for dental practitioners. Application for children and adolescents. Aust Dent J 2009;54:381–9.
9. American Dental Association Council on Scientific Affairs. Professionally applied topical fluoride: evidence-based clinical recommendations. J Am Dent Assoc 2006;137:1151–9.
10. Griffin SO, Oong E, Kohn W, Vidakovic B, Fooch BF, CDC Dental Sealant Systematic Review Work Group. The effectiveness of sealants in managing caries lesions. J Dent Res 2008;87:169–174.
11. Kidd EAM. Clinical threshold for carious tissue removal. Dent Clin N Am 2010;54:541–9.
12. Sbaraini A, Evans RW. Caries risk reduction in patients attending a caries management clinic. Aust Dent J 2008;53:340–8.
13. Curtis B, Evans RW, Sbaraini A, Schwarz E. Recruitment and standardization of a group of Australian dentists for a multipractice study on dental caries prevention. Aust Dent J 2007;52:106–11.
14. Curtis B, Evans RW, Sbaraini A, Schwarz E. The Monitor Practice Program: is non-invasive management of dental caries in private practice effective? Aust Dent J 2008;53:306–13.
15. Curtis B, Warren E, Polliccino C, Evans RW, Sbaraini A, Schwarz E. The Monitor Practice Program: is non-invasive management of dental caries in private practice cost-effective? Aust Dent J 2011;56:48–55.
16. Warren E, Polliccino C, Curtis B, Evans RW, Sbaraini A, Schwarz E. Modeling the long-term cost-effectiveness of the Caries Management System in an Australian population. Value Health 2010;13:750–60.
17. Featherstone JDB, White JM, Hoover CI, Rapozo-Hilo M, Weintraub JA, Wilson RS et al. A random-
ized clinical trial of anticaries therapies targeted according to risk assessment (Caries management by risk assessment). Caries Res 2012;46:118–29.

18. Australian Bureau of Statistics. How often do people move? available at: http://www.abs.gov.au/AUSSTATS/abs@.nsf/Lookup/4102.0Main+Features30Dec+2010 [last accessed 6 June 2015].

19. Griffin SO, Griffin PM, Swann JL, Zlobin N. New coronal caries in older adults: implications for prevention. J Dent Res 2005;84:715–20.

20. Kakudate N, Sumida F, Matsumoto Y, Manabe K, Yokoyama Y, Gilbert GH et al. Restorative treatment thresholds for proximal caries in dental PBRN. J Dent Res 2012;91:1202–8.

21. Tan PBL, Evans RW, Morgan MV. Caries, bitewings, and treatment decisions. Aust Dent J 2002;47:138–41.

22. Brennan DS, Balasubramanian M, Spencer AJ. Treatment of caries in relation to lesion severity: implications for minimum intervention dentistry. J Dent 2015;43:58–65.

23. Brown JP, Amaechi BT, Bader JD, Shugars D, Vollmer WM, Chen C et al. The dynamic behavior of the early dental caries lesion in caries-active adults and implications. Community Dent Oral Epidemiol 2015;43:208–16.

24. Anusavice KJ. Present and future approaches for the control of caries. J Dent Educ 2005;69:538–54.

25. Ismail AI, Tellez M, Pitts NB, Ekstrand KR, Ricketts D, Longbottom C et al. Caries management pathways preserve dental tissues and promote oral health. Community Dent Oral Epidemiol 2013;41:e12–40.

26. Young DA, Featherstone JDB. Caries management by risk assessment. Community Dent Oral Epidemiol 2013;41:1–12.

27. Gilbert GH, Gordon VV, Funkhouser EM, Rindal DB, Fellows JL, Qvist V et al. Caries treatment in a dental practice-based research network: movement toward stated evidence-based treatment. Community Dent Oral Epidemiol 2012;41:143–53.

28. Bader JD, Shugars DA. What do we know about how dentists make caries-related treatment decisions? Community Dent Oral Epidemiol 1997;25:97–103.

29. Sbaraini A. What factors influence the provision of dental care by general dental practitioners? Br Dent J 2012;212:E18.

30. Sbaraini A, Carter SM, Evans RW, Blinkhorn A. Experiences of dental care: what do patients value? BMC Health Serv Res 2012;12:177.