Mirror Protected Multiple Nodal Line Semimetals and Material Realization

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The conventional \( k \cdot p \) method fails to capture the full and essential physics of carriers. Therefore, a systematical method to construct the effective models for the Fermi surfaces with multiple nodal rings or lines is highly desirable and is crucial in unveiling the physical properties and facilitating the material realization.

In this paper, we show a systematical procedure to construct the effective lattice models of the Fermi surfaces with mirror symmetry protected multiple nodal line structures in terms of sine and cosine functions. The effective models and corresponding inequivalent Fermi surfaces for systems with a pair of given perpendicular nodal rings encircling a common point are obtained. We also tabulate the relevant realization conditions. In addition, we first point out that the \( \beta \) phase of ternary nitrides \( X_2GeN_2 (X = \text{Ca, Sr, Ba}) \) can be candidates for one kind of such novel Fermi surfaces.

Symmetry Analysis and Effective Model.— Symmetries usually play a crucial role in the investigation of topological semimetals [71]. For example, the four-fold degenerate Dirac points for Dirac semimetals require the coexistence of inversion symmetry (\( \mathcal{P} \)) and time reversal symmetry (\( \mathcal{T} \)) [72], while the Weyl nodes for Weyl semimetals demand either \( \mathcal{P} \) or \( \mathcal{T} \) broken. Roughly, 3D nodal line semimetals can be classified into two classes by symmetries [4]. In the first class, the coexistence of \( \mathcal{P} \) and \( \mathcal{T} \) allows a snake like nodal line to appear in alkaline-earth compounds BaSn\(_2\) family [38]. The other is that a nodal line exists in the mirror invariant plane where two bands with the opposite eigenvalues of mirror or glide mirror cross with each other such as LaN [56] and PbTaSe\(_2\) [49].

Here, we would like to give a general method to construct the effective lattice Hamiltonian for mirror symmetry protected nodal line fermions in centrosymmetric systems with some mirror or glide mirror symmetries. Note that SOC is ignored in the following discussions. We start from the case with two nodal rings that encircle a common point and are protected by two orthogonal mirror planes. To be specific, we suppose that these two rings, centering on the \( \Gamma \) point, lie in \( k_x = 0 \) and \( k_y = 0 \).
The coefficients \( \tau \) where \( \tau = G - W \) with \( r = x, y, z \) and \( G = 0 \) or \( \pi \). Under the basis in which both \( H(k) = G \) and \( M_r \) are diagonal, we can use a diagonal matrix, i.e. \( M_r = \text{diag} \{ m_{cr,G}, m_{cr,G} \} \) in the mirror invariant plane, where \( m_{cr,G} = \pm 1 \) and the subscripts \( c \) and \( v \) denote the conduction band and valence band, respectively. After a straightforward calculation, one gets \( g_{1,2}(G + q_r) \) are even or odd in \( q_r \) when \( m_{cr,G}/m_{cr,G} = 1 \) or \(-1 \), while \( g_3(G + q_r) \) is even in \( q_r \) and independent of the value of \( m_{cr,G}/m_{cr,G} \).

When \( G = 0 \), a nodal ring in the \( k_r = 0 \) plane requires \( m_{cr,0}/m_{cr,0} = -1 \), i.e. \( M_r = \tau_3 \), with \( r = x, y \). However, the matrix form of \( M_r \) still keeps undetermined. Next, we consider two separate cases: \( m_{cr,0}/m_{cr,0} = 1 \) (Case A) and \( m_{cr,0}/m_{cr,0} = -1 \) (Case B). For Case A, one has \( M_{x,y} = \tau_3 \) and \( M_z = \tau_0 \), indicating that \( g_{1,2}(k) \) are odd in \( k_{x,y} \) but even in \( k_z \), and \( g_3(k) \) is even in \( k_r \). It is known that any period function can be expanded as a linear combination of sine and cosine functions. Thus, the periodic functions \( g_j(k) \) with \( j = 1, 2, 3 \) can be expanded as

\[
g_j(k) = \prod_{r=x,y,z} \left[ \sum_{n} C_{j,r,n} \sin(nk_r/\xi_r) \right] \times \sum_{m} C_{j,z,m} \cos(nk_z/\xi_z),
\]

\[
g_j(k) = \prod_{r=x,y,z} \left[ \sum_{n} C_{j,r,n} \cos(nk_r) \right],
\]

where \( m \) and \( n \) are nonnegative integers and \( \xi_r = \frac{2\pi}{m_{cr,0}/m_{cr,0} + 1} \) specifies the independent parities of \( g_{1,2}(k) \) nearby \( k_r = 0 \) and \( k_r = \pi \) [73]. Similarly, for Case B with \( M_r = \tau_3 \), the general form of \( g_j(k) \) with \( j = 1, 2 \) read

\[
g_j(k) = \prod_{r=x,y,z} \left[ \sum_{n} C_{j,r,n} \sin(nk_r/\xi_r) \right].
\]

Meanwhile, since \( g_3(k) \) is even in \( k_r \) and independent of the value of \( m_{cr,0}/m_{cr,0} \), \( g_3(k) \) in Case B takes the same form as Case A. Note that \( g_1(k) \) does not essentially differ from \( g_3(k) \) except some constant coefficients.

**Nodal Line Structures.**—Considering a single nodal ring in the \( k_x = 0 \) plane, one then has the effective Hamiltonian in this plane, \( H(k) = g_3(k) \tau_3 \). Let us expand \( g_3(k) \) in terms of \( \cos(nk_r) \) and keep the leading terms with \( n = 0 \) and \( 1 \),

\[
g_3(k_x = 0) \approx m_0 + C'_1 \cos k_y + C'_2 \cos k_z + C'_3 \cos k_y \cos k_z.
\]

Since non-zero \( C'_3 \) as well as different values of \( C'_1 \) and \( C'_2 \) only modifies the shape of the nodal ring such that one

**FIG. 1.** (a) Schematic diagram of a \( M_x \) protected nodal ring in the \( k_x = 0 \) plane. A band crossing point \( \Gamma \) appears on any in-plane \( k \)-path, \( \ell \), that connects the \( \Gamma \) point and the \( Z \) (Y, M) point. (b)-(l) Birds-eye view of the possible nodal line structures obtained from Eqs. (9)-(6) by setting \( m = -1 \) in centrosymmetric systems with mirror symmetries. Here we set \( t = -8, -2 \) and 0 for the three independent regions of \( t \): I, II, and III, respectively.
could further simplify \( g_3 ( k_x = 0 ) \) to \( m + \cos k_y + \cos k_z \). The corresponding energy spectrum of the Hamiltonian reads \( \pm | g_3 ( k_x = 0 ) | \), which can be labeled by the eigenvalues of \( M_k = \tau_3 \), i.e., \( \pm \text{sgn} [ g_3 ( k_x = 0 ) ] \). In the \( k_x = 0 \) plane, one has the values of \( g_3 ( k_x = 0 ) \) at different high symmetry points in the BZ as \( g_3 ( \Gamma ) = 2 + m \), \( g_3 ( Z ) = m \), \( g_3 ( M ) = -2 + m \) and \( g_3 ( Y ) = m \). It is clear that when \(-2 < m < 0 \), only \( g_3 ( \Gamma ) \) is positive, the mirror eigenvalues labeling the valence band and conduction bands switch partners by evolving smoothly from \( \Gamma \) to \( Z \) (\( M \), \( Y \)) along any in-plane \( k \)-path \( \ell \). Consequently, a band crossing point (\( P \)) on \( \ell \) always occurs. Therefore, there exists a nodal ring that separates the \( \Gamma \) point and the other time-reversal invariant points in the \( k_x = 0 \) plane, as shown in Fig. 1(a). Furthermore, the corresponding Hamiltonian in the \( k_y = 0 \) plane can be obtained in a similar way.

Since \( g_3 ( k ) \) should reduce to be \( m + \cos k_y + \cos k_z \) in the \( k_x, y = 0 \) plane, we express \( g_3 ( k ) \) as follows:

\[
g_3 = \frac{1}{t+1} \left( C + \sum_{r=x,y,z} \cos k_r + t \cos k_x \cos k_y + t \cos k_z \right) \tag{4}
\]

with \( C = m (t+1) - 1 \) and \(-2 < m < 0 \). In addition, one can expand \( g_{1,2} ( k ) \) and keep the leading terms, for \textit{Case A},

\[
g_{j} ( k ) = \left[ \cos \frac{k_x}{\xi_z} + C_{j,z} \right] \prod_{r=x,y} \sin \frac{k_r}{\xi_r}, \tag{5}
\]

with \( C_{j,z} = s_j (2 - \xi_z) \) and \( j = 1, 2 \). Similarly, for \textit{Case B} one has

\[
g_{j} ( k ) = \prod_{r=x,y,z} \sin \frac{k_r}{\xi_r}. \tag{6}
\]

It is clear that a one-dimensional nodal line structure can exist in the \( k_x = G \) plane only when \( g_{1,2} ( G + q_r ) \) are odd functions in \( q_r \). Thus, one has \( \mathcal{H} ( k ) = g_3 ( k ) \tau_3 \) in all of such mirror invariant planes. As discussed above, the nodal line structures in these planes can be well-defined by \( \text{sgn} [ g_3 ( k ) ] \). Some straightforward calculations show that only \( \text{sgn} [ g_3 ( \pi, \pi, 0 ) ] \) and \( \text{sgn} [ g_3 ( \pi, \pi, \pi ) ] \) keep adjustable. Specifically, one has \( \text{sgn} [ g_3 ( \pi, \pi, 0 ) ] < 0 \) for \(-1 < t < \frac{(2-m)}{(2+m)} \) and \( \text{sgn} [ g_3 ( \pi, \pi, \pi ) ] > 0 \) for \( \frac{(4-m)}{m} < t < -1 \). It gives rise to three independent regions of \( t \): (I) \( t < \frac{(4-m)}{m} \) or \( \frac{(2-m)}{(2+m)} \), (II) \( \frac{(4-m)}{m} < t < -1 \), and (III) \(-1 < t < \frac{(2-m)}{(2+m)} \). Summarily, the effective Hamiltonians of the possible nodal line structures can be well characterized by a set of parameters as \( \{ \frac{m_{x,y,0}}{m_{x,y} \xi}; \xi_{x,y}; \xi_3; R ( t ) \} \), where \( R ( t ) \) stands for the three regions of \( t \) above. Hence, one gets 24 kinds of effective Hamiltonians as well as eleven nodal line structures in Figs. 1(b)-1(l). From the viewpoint of the building block, one could recognize three basic blocks among these nodal line structures: a pair of perpendicular nodal rings near the \( \Gamma \) point in every figure or near the corners in the \( k_z = 0 \) plane in Figs. 1(i) and 1(l), a single nodal ring in each mirror invariant plane perpendicular to the \( k_z \) direction, and a pair of nodal line penetrating each surface BZ parallel to the \( k_z \) direction as reported in [35]. We tabulate all the lattice Hamiltonians and the corresponding nodal line structures in Table I. It is one of the main results in this work.

**Material Realization.**—Let us turn to discuss the concrete material realization of these nontrivial Fermi surfaces. In fact, the nodal line structure in Fig. 1(d), has been predicted in Cu$_3$PdN [54, 55], rare earth monopnictide LaN [56], 3D graphene networks [57], and CaTe [58]. In this work, we first demonstrate that \( \beta \) phase of ternary nitrides X$_2$GeN$_2$ (X = Ca, Sr, Ba) whose crystal structures are depicted in Fig. 2(a) possess the novel nodal line structure in Fig. 1(b). \( \beta $-\text{Sr}_2\text{GeN}_2 \) had been synthesized experimentally in 2005 [9]. And our first-principles calculations of phonon spectra show that \( \beta $-\text{Ca}_2\text{GeN}_2 \) and \( \beta $-\text{Ba}_2\text{GeN}_2 \) are dynamically stable [75]. We shall take \( \beta $-\text{Sr}_2\text{GeN}_2 \) as an example to investigate the nontrivial nodal line structure in \( \beta $-X$_2$GeN$_2 \).

The energy band structure of \( \beta $-\text{Sr}_2\text{GeN}_2 \) without the SOC effect is depicted in Fig. 2(b) [75]. The band-project analysis reveals that both the valence band and conduction band are mainly composed by \( p_x \) and \( p_z \) orbits of Ge and N atoms, indicating a tiny SOC effect. Further band structure calculations demonstrate that there are two nodal rings in IZTY and TYX$_{A_1}$ plane, respectively. The Fermi surface in Fig. 2(c) gives a visual

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**Table I. Parameters \( \{ \frac{m_{x,y,0}}{m_{x,y} \xi}; \xi_{x,y}; \xi_3; R ( t ) \} \) of 24 kinds of effective lattice models and corresponding Fermi surfaces.** Because several effective Hamiltonians with different parameters may correspond to a common Fermi surface, only eleven inequivalent nodal line structures exist as shown in Fig. 1(b)-1(l).

| \( \frac{m_{x,y,0}}{m_{x,y} \xi} \) | \( \xi_{x,y} \) | \( R ( t ) \) |
|---|---|---|
| 1 | 1 | I |
| 1 | 1 | II |
| 1 | 1 | III |
| 1 | 1 | II |
| 1 | 1 | III |
| 1 | 1 | II |
| 1 | 1 | II |
| 1 | 1 | II |
| 1 | 1 | I |
| 1 | 1 | I |

Fermi Surface: (b) (b) (b) (c) (g) (h) (i) (d) (e) (e) (f) (j) (k) (l)
The remarkable feature of a topological nodal ring is the existence of Fermi surfaces at 20 meV relative to the Fermi level. A surface flat band resides to each other near the Y point in the first BZ. Nevertheless, since both of the two nodal rings perpendicular to (001) surface, the isoenergy band contours of (001) surface will be a cross just as shown in Fig. 2(f). Thus, the detection of the surface states in this system would be strongly affected by its crystal orientation.

**Conclusion.**—In summary, we introduced a systematical method to build the effective Hamiltonians of 3D multiple nodal line semimetals. It has been shown that there exist eleven distinct kinds of symmetry protected nodal line structures in systems with inversion symmetry and perpendicular crystalline mirror planes when SOC is ignored. These corresponding effective Hamiltonians can be fully determined by four parameters. In addition, we first found that $\beta$-$X_2$GeN$_2$ ($X = \text{Ca, Sr, Ba}$) are candidates for 3D semimetals with the novel nodal line structure in Fig. 1(b).

It should be emphasized that our method can be applicable to bosonic systems as well, such as photons and phonons. The recent experimental advances of nodal line and nodal chain semimetal in photonic crystals [76, 77] would inspire the investigation of these new nodal line structures in bosonic systems. Furthermore, our idea can be generalized to systems with and without $\mathcal{T}$.

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**SUPPLEMENTARY MATERIAL FOR “MIRROR PROTECTED MULTIPLE NODAL LINE SEMIMETALS AND MATERIAL REALIZATION”**

This supplemental material contains the first-principles calculations of the band structures of $\beta$–$X_2$GeN$_2$ ($X = \text{Ca, Sr, Ba}$), the impact of the SOC effect, constructions of the effective Hamiltonians, and the dynamical stability.

**Methods and Material.** — To clearly understand the electronic properties of $\beta$–$X_2$GeN$_2$, we performed first-principles calculations by using the Vienna $ab$-initio simulation package (VASP) based on the generalized gradient approximation (GGA) [1] in the Perdew–Burke–Ernzerhof (PBE) exchange-correlation functional [2, 3]. A Γ-centered k-mesh $7 \times 7 \times 5$ is used to sample the Brillouin zone. The nonlocal Heyd–Scuseria–Ernzerhof hybrid functional calculations (HSE06) [4, 5] are also used to check the band structures. The maximally localized Wannier functions (MLWF) [6, 7] projected from the bulk Bloch wave functions and wann_tools [8] are used to extract the topological surface states.

The crystal structure of $\beta$–$X_2$GeN$_2$ is shown in Fig. 3(a)–Fig. 3(b). This crystal structure belongs to the Cmce space group (No. 64). The lattice parameters $a = b = 6.369$ Å and $c = 12.312$ Å are fully optimized. The angle between lattice vectors $a$ and $b$ is about 129.3°. This crystal structure possesses three independent symmetries, i.e. one mirror symmetry $\mathcal{M}_{ab}$: $(x_1, x_2, x_3) \rightarrow (-x_2, -x_1, x_3)$ and two glide mirror symmetries $\mathcal{M}_{ab}$: $(x_1, x_2, x_3) \rightarrow (x_2 + 1/2, x_1 + 1/2, x_3 + 1/2)$ and $\mathcal{M}_c$: $(x_1, x_2, x_3) \rightarrow (x_1 + 1/2, x_2 + 1/2, -x_3 + 1/2)$, where $x_i$ is the position under the basis $\langle a, b, c \rangle$. Combining these three symmetries in different means, one could have identity symmetry, inversion symmetry, one twofold rotation symmetry, and two twofold screw symmetries. In addition, the corresponding bulk and surface Brillouin zones are depicted in Fig. 3(c).

![Figure 3](image-url)

FIG. 3. (a) Side-view and (b) Top-view of the crystal structure of $\beta$–$X_2$GeN$_2$ ($X = \text{Ca, Sr, Ba}$). All the atoms can be found in the (110) plane. Here, the balls in sapphire, purple and gray represent $X$, Ge and N atoms, respectively. (c) Bulk Brillouin zone is given in sapphire, and the projected surface Brillouin zones of (110) and (110) planes are given in shadow.

**Energy Band Structures.** — As discussed in the main text, the energy band structure of $\beta$–$X_2$GeN$_2$ obtained by GGA-PBE indicates this family material can be candidates for multiple nodal line semimetals consisting of two perpendicular nodal rings encircling the Y point. However, the GGA-PBE calculation sometimes can not produce the precise band gap. Hence, we perform HSE06 calculations to check the band structures of $\beta$–$X_2$GeN$_2$. The HSE06 results in Fig. 4(b)–4(d) demonstrate that $\beta$–$\text{Ca}_2\text{GeN}_2$ supports the multiple nodal line structure. The existences of multiple nodal line structures in $\beta$–$\text{Ba}_2\text{GeN}_2$ and $\beta$–$\text{Sr}_2\text{GeN}_2$ require a slight compress strain, e.g. 2%.
The doubly degenerate conduction or valence band should be labeled by $m$. We only need to expand lattice model Hamiltonian Fig. 1(b) in the main text. Based on the eigenvalues of the mirror symmetries from the first-principles calculations, family of materials even with the SOC effect can be regarded as nodal line semimetals as proposed in the main text. The results indicate that the band crossing along the two nodal rings gapped out slightly (less than 10 meV). Hence, the $\beta$ a small correction to the band structures of $\beta$-Ba$_2$GeN$_2$ will be gapped out when the SOC effect is taken into account. 

SOC Effect. — We turn to discuss impacts of the SOC effect on the energy band structures of $\beta$–X$_2$GeN$_2$. Since a mirror operation acts both on the spatial and the spin spaces, a mirror operation, e.g. $M_z$ can flips the $x$ and $y$ components of spin but the spin component along the mirror axis remains intact. Hence, the eigenvalue of mirror symmetry $M_z$ reads $m_z^2 = (-1)^S$, where $S = 1/2$ and 1 refer to the cases with and without SOC effect, respectively. The doubly degenerate conduction or valence band should be labeled by $m_z (i, -i)$. Since the energy bands with the same eigenvalues of mirror symmetry would repulse each other. As a result, the mirror symmetry protected nodal line when the SOC effect is neglected will be gapped out when the SOC effect is taken into account.

$$\mathcal{H}(k) = g_0(k) \tau_0 + g_1(k) \tau_1 + g_2(k) \tau_2 + g_3(k) \tau_3,$$

and remain the second order terms in $k_\alpha$ with $\alpha = x, y, z$. In the case with parameters $\{1; 1; 1; \text{III}\}$, the corresponding coefficients are given as

$$g_1(k) = g_2(k) = \sin k_x \sin k_y, \quad g_3 = \cos k_x + \cos k_y + \cos k_z + m. \quad (8)$$

Moreover, the time-reversal symmetry takes the form as $\hat{T} = K \tau_0$, and $K$ is the complex conjugate operator. $\hat{O} \mathcal{H}(k) \hat{O}^{-1} = \mathcal{H}(-k)$ leads to $g_2 = 0$, where the $\hat{O}$ is the inversion operation or time-reversal operation. Then, the remaining coefficients of the effective $k \cdot p$ Hamiltonian are

$$g_0 = a_0 + a_1 k_x^2 + a_2 k_y^2 + a_3 k_z^2, \quad g_1 = b k_x k_y, \quad g_3 = d_0 + d_1 k_x^2 + d_2 k_y^2 + d_3 k_z^2, \quad (9)$$
TABLE II. Parameters of the $k \cdot p$ Hamiltonian fitted by the first-principles calculations. The percentages in this Table indicate the strength of compress strain.

| System/ Method/ Strain | $a_0$ (eV) | $a_1$ (eV $\cdot \text{Å}^2$) | $a_2$ (eV $\cdot \text{Å}^2$) | $a_3$ (eV $\cdot \text{Å}^2$) | $b$ (eV) | $d_0$ (eV $\cdot \text{Å}^2$) | $d_1$ (eV $\cdot \text{Å}^2$) | $d_2$ (eV $\cdot \text{Å}^2$) | $d_3$ (eV $\cdot \text{Å}^2$) |
|------------------------|------------|-----------------|-----------------|-----------------|--------|-----------------|-----------------|-----------------|-----------------|
| $\beta$–Sr$_2$GeN$_2$ / GGA/ 0% | 0.045 | -6.28 | -0.25 | -0.28 | -4.25 | -0.15 | 0.54 | 23.57 | 1.67 |
| $\beta$–Ba$_2$GeN$_2$ / HSE06/ 2% | 0.069 | 38.94 | -0.58 | -0.17 | -8.16 | -0.09 | 0.69 | 134.4 | 1.87 |
| $\beta$–Sr$_2$GeN$_2$ / HSE06/ 2% | 0.063 | -11.39 | -0.39 | -0.34 | -4.74 | -0.10 | 0.57 | 35.78 | 2.03 |
| $\beta$–Ca$_2$GeN$_2$ / HSE06/ 0% | 0.052 | -9.74 | -0.18 | -0.42 | -5.88 | -0.25 | 0.64 | 49.08 | 2.21 |

with $k_x = k_1 + k_2$, $k_y = k_2 - k_1$ and $k_z = k_3$. Here these parameters $a_j$, $b$, and $d_j$ with $j = 0, 1, 2, 3$ are used to fit the band structures of real materials and given in Table II. The corresponding Fermi surface from this $k \cdot p$ Hamiltonian is shown in Fig. 2(d) in the main text.

In the $k_x = 0$ plane and $k_y = 0$ plane, we can get two functions, i.e. $d_2 k_y^2 + d_3 k_z^2 = -d_0$ and $d_1 k_x^2 + d_3 k_z^2 = -d_0$ from the equality of the two eigenvalues of the Hamiltonian. When $d_3 d_0 < 0$ with $j = 1, 2, 3$, each of the two functions can determine an ellipse, giving rise to two nodal rings in the $\mathcal{M}_y$ and $\mathcal{M}_x$ planes. Moreover, the two ellipses cross with the $k_3$ axis at $D_{1,2} = \left(0, 0, \pm \sqrt{-d_0/d_3}\right)$ points. In other words, the two nodal rings connect each other at $D_{1,2}$ located above and below the Y point in Fig. 2(c) in the main text.

**Dynamical Stability.**—In fact, $\beta$–Sr$_2$GeN$_2$ had been successfully synthesized in experiments [9]. So far, there is no experimental synthesis of $\beta$–Ca$_2$GeN$_2$ and $\beta$–Ba$_2$GeN$_2$. Here, we would like to study the stability of $\beta$–Ca$_2$GeN$_2$ and $\beta$–Ba$_2$GeN$_2$ by calculating their phonon spectra. As shown in Fig. 6, no imaginary frequency point in the phonon spectra suggests that both two materials are dynamically stable and may be synthesized in laboratories.

![FIG. 6. Phonon spectra of $\beta$–Ba$_2$GeN$_2$ in (a) and $\beta$–Ca$_2$GeN$_2$ in (b) indicate their stabilities.](image-url)

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