Gravity in the 3+1-split formalism: I. Holography as an initial value problem

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Abstract
We present a detailed analysis of the 3+1-split formalism of gravity in the presence of a cosmological constant. The formalism helps in revealing the intimate connection between holography and the initial value formulation of gravity. We show that the various methods of holographic subtraction of divergences correspond just to different transformations of the canonical variables, such that the initial value problem is properly set up at the boundary. The renormalized boundary energy–momentum tensor is a component of the Weyl tensor.

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1. Introduction
An enormous amount of work in the past decade has been devoted to holographic studies of four-dimensional quantum field theories. The basic setup has been the AdS\(_5\)/CFT\(_4\) correspondence, whereby various five or higher-dimensional gravity models (related or not to supergravity) provide information for strongly coupled, largely supersymmetric, four-dimensional gauge theories. In this way, fundamental quantum field theory properties such as symmetry breaking, confinement and finite-temperature phase transitions may be viewed as the holographic images of certain properties of gravitational theories.

However, relatively less work has been devoted to holographic studies of four-dimensional gravity theories, mainly due to the lack of understanding of their three-dimensional boundary counterparts. Nevertheless, recently there has been a sharp rise in interest in AdS\(_4\)/CFT\(_3\) holography. This is partly due to the set of ideas regarding the holographic description of three-dimensional condensed matter systems; see, for example, [1–5] and references therein. Moreover, important additional motivation to study the AdS\(_4\)/CFT\(_3\) correspondence comes...
from the recent emergence of various proposals regarding three-dimensional theories that
describe M2 branes [6–10].

Apart from attracting all that recent interest, AdS\(_4\)/CFT\(_3\) has for some time now been
singled out as a new holographic paradigm as it possesses some special properties not shared
by its better known AdS\(_5\)/CFT\(_4\) counterpart. Its most distinctive property is that it gives rise to
a holographic map of the electric–magnetic duality of Yang–Mills, and also of the \textit{generalized}
\textit{electric–magnetic duality} of linearized gravity and higher-spin gauge fields in four dimensions.
Some of the salient features of the three-dimensional boundary systems, such as quantum-
Hall type dualities [11–13] and the possibility of an exact holography [14–16], are intimately
connected to it [17, 18].

We believe that all the above is strong motivation to revisit four-dimensional gravity with
a cosmological constant and analyze in depth its holographic description. We embark on
this endeavor in the present and a companion work [19] based on the 3+1-split formalism of
[20]. The latter was instrumental in the proof [20] of electric–magnetic duality of linearized
gravity in (A)dS\(_4\). We believe that the economy and the familiar physics picture drawn by the
3+1-split formalism (e.g. the introduction of the ‘electric’ and ‘magnetic’ gravitational fields)
make it very well suited for studies in the AdS\(_4\)/CFT\(_3\) correspondence.

The 3+1-split formalism is a hybrid of the standard ADM construction [21], and hence
it can be used to set up an initial value formalism for gravity. We should clarify from the
beginning, however, that the initial value formalism relevant to holography is physically
different—and in many cases simpler since causality issues do not arise—from the standard
initial value formalism that evolves the data on a Cauchy surface along real time. We comment
further in section 3. Perhaps expectedly, we find that in the presence of a cosmological constant,
setting up the initial value problem in the boundary is equivalent to a holographic description\(^4\).

The upshot of our work is the demonstration that all known methods of holographic removal
of divergences, namely holographic renormalization [22, 23] and Kounterterms [24, 26]
correspond to just different transformations of the canonical variables, such that the initial
value problem is properly set up at the boundary. These transformations are canonical when
they are implemented on the restricted phase space defined by constraints. We identify the
\textit{initial boundary velocity} with a component of the Weyl tensor. Holographically the latter
gives the boundary energy–momentum tensor. In the companion paper [19], we discuss the
notion of self-duality in gravity with a cosmological constant and show the relevance of the
three-dimensional gravitational Chern–Simons theory for self-dual configurations.

We begin in section 2 with a detailed presentation of the 3+1-split formalism for gravity
with Lorentzian signature. We define our variables and explain our gauge-fixing choices. We
end up with a compact form of the equations of motion and zero-torsion constraints. Section
3 contains the formal setup of the initial value problem for four-dimensional gravity with
a cosmological constant. In section 4, we detail the Fefferman–Graham (FG) expansion in
the 3+1-split formalism. We identify the proper boundary data, namely the \textit{initial position}
and \textit{initial velocity}. We also note that the various terms in the FG expansion correspond to
boundary geometrical data. In section 5, we explain why holography can be viewed as an
initial value formulation of gravity in the boundary. We show that the two different methods
of holographic removal of divergences correspond to certain transformations of the canonical
variables, such that a proper initial value problem is set up at the boundary. We conclude in
section 6. Three appendices contain useful relations for the Weyl tensor; a brief presentation

\[^{4}\text{Throughout the present and the companion work [19] ‘holography’ is a broader notion (i.e. the mapping of generic bulk data to the boundary) while AdS/CFT has a more specific meaning (the holographic mapping between specified bulk and boundary theories). Away from a string/M-theory setup it is not clear if generic bulk gravitational data can be encoded by non-gravitational QFTs, nevertheless the issue is not yet settled.}\]
of the first-order formalism for Yang–Mills theories, and also the holographic description of Schwarzschild and Taub-NUT AdS black holes.

2. Details on the 3+1-split formalism

In this section, we present a concise version of the 3+1-split formalism of [20] for gravity in the presence of a non-zero cosmological constant. We consider a Lorentzian manifold $\mathcal{M}$ and take the Einstein–Hilbert action with a cosmological constant in the first-order Palatini formalism as

$$S_{EH} = -\frac{1}{32\pi G} \int_{\mathcal{M}} \epsilon_{abcd} \left( R^{ab} + \frac{\Lambda}{2} \epsilon^{a} \right) e^{c} \wedge e^{d}. \tag{1}$$

This is thus equivalent to the standard second-order gravitational action,

$$S_{\text{2nd}} = -\frac{1}{16\pi G} \int d^{4}x \sqrt{-g} \left( R + 6 \frac{\Lambda}{\ell^{2}} \right),$$

and hence the cosmological constant is related to the parameter $\Lambda_{\text{cosm}}$ as $\Lambda_{\text{cosm}} = -3\Lambda$. The curvature and torsion 2-forms are defined in terms of the vielbein $e^{a}$ and spin connection $\omega^{ab}$ as

$$R^{ab} = d\omega^{ab} + \omega^{a} \wedge \omega^{b}, \quad T^{a} = de^{a} + \omega^{a}_{\beta} \wedge e^{\beta}.$$ 

We define $\eta^{ab} = \text{diag}(\sigma_{\perp}, +, +, \sigma_{3})$, where $\sigma_{\perp} = -1$, $\sigma_{3} = 1$ and set $\Lambda = \sigma_{\perp}/\ell^{2}$ such that $\Lambda < 0$ ($\Lambda > 0$) yields the de Sitter (anti de Sitter) vacuum. It is supposed that the manifold can be foliated by slices $\Sigma_{t}$ indexed by a function $t$ which is either a time coordinate if $\sigma_{\perp} = -1$ or a radial coordinate if $\sigma_{\perp} = +1$. Consequently, we split the vielbein and the spin connection into

$$e^{0} = N dt, \quad e^{a} = N^{a} dt + \tilde{e}^{a}, \quad \omega^{0a} = q^{0a} dt + \sigma_{\perp} K^{a}, \quad \omega^{ab} = -\epsilon^{ab}_{\gamma} (Q^{\gamma} dt + B^{\gamma}). \tag{2}$$

Using (2) and (3) and some lengthy but straightforward calculations [20], the action (1) can be brought into the form

$$S_{EH} = -\frac{\sigma_{\perp}}{8\pi G} \int_{\mathcal{M}} dt \wedge \left\{ -K_{a} \wedge \Sigma^{a} + N \tilde{W}_{a} \wedge \tilde{e}^{a} + \sigma_{\perp} \hat{Q} \wedge K_{\beta} \wedge \tilde{e}^{\beta} + \sigma_{\perp} q^{0a} \hat{D} \Sigma_{a} - N^{a} \epsilon_{a\beta\gamma} \hat{D} \hat{K}^{\beta} \wedge \tilde{e}^{\gamma} \right\} = -\frac{1}{8\pi G} \int_{\partial\mathcal{M}} (q^{0a} dt + \sigma_{\perp} K^{a}) \wedge \Sigma_{a}, \tag{4}$$

where $\hat{Q} \equiv Q_{a} \tilde{e}^{a}$. We have introduced the 2-form, $\tilde{W}_{a} \equiv \rho_{a} + \frac{1}{2} \sigma_{\perp} K^{b} \wedge K^{a} \wedge \frac{1}{\ell^{2}} \Sigma_{a}$, and have defined the oriented surface element as $\Sigma^{a} \equiv 2 \tilde{e}^{a} = \frac{1}{2} \epsilon^{a}_{\alpha\beta\gamma} \rho^{\alpha} \tilde{e}^{\beta} \wedge \tilde{e}^{\gamma}$, with $\hat{D}$ the three-dimensional Hodge dual defined in terms of $\tilde{e}^{a}$ only. The three-dimensional component of the curvature 2-form,

$$\rho_{a} \equiv \hat{D} B_{a} + \frac{1}{2} \epsilon_{a\beta\gamma} B^{\beta} \wedge B^{\gamma},$$

5 Throughout this work, Latin indices run as $a, b, c, \ldots = 0, 1, 2, 3$, and Greek indices as $\alpha, \beta, \gamma, \ldots = 1, 2, 3$. 

is made out of $B^a$ only. Moreover, $\mathcal{D}$ denotes a covariant derivative with respect to the 1-form field $B^a$ as

$$\mathcal{D}V^a = \tilde{d}V^a + \epsilon_{a\beta\gamma}B^\beta \wedge V^\gamma,$$

if $V^a$ is a generic vector-valued 1-form (with respect to either $SO(3)$ or $SO(2,1)$ depending on whether $\sigma_\perp = \mp 1$, respectively) defined on $\Sigma_t$. Comparing the action (4) to the Yang–Mills action (B.2) in appendix B motivates calling the vector-valued 1-forms $K^a$ and $B^a$ the ‘electric’ and ‘magnetic’ fields, respectively.

The boundary term in (4) is exactly minus the usual Gibbons–Hawking term $[27] S_{GH}$. Hence, the action $S = S_{EH} + S_{GH}$ is stationary on shell when $\delta \tilde{e}^a = 0$ in the boundary, i.e. it provides a good Dirichlet variational principle with respect to the vielbein. The form of the action (4) appears to indicate that the proper conjugate dynamical variables are $\Sigma^a$ (or, equivalently, $\tilde{e}^a$) and $K^a$. We will see later that the proper identification of the dynamical variables is slightly more involved than this. The remaining fields $\{N, N^a, q^{0a}, \tilde{Q}, B^a\}$ enter the action as Lagrange multipliers of the following constraints:

\begin{align*}
8\pi G\sigma_\perp \frac{\delta S}{\delta N} &= \tilde{W}_a \wedge \tilde{e}^a = 0, \quad (6) \\
-8\pi G\sigma_\perp \frac{\delta S}{\delta N^a} &= -\epsilon_{a\beta\gamma}\mathcal{D}K^\beta \wedge \tilde{e}^\gamma = 0, \quad (7) \\
-8\pi G\sigma_\perp \frac{\delta S}{\delta q^{0a}} &= \sigma_\perp \mathcal{D}\Sigma_a = \sigma_\perp \epsilon_{a\beta\gamma}\tilde{T}^\beta \wedge \tilde{e}^\gamma = 0, \quad (8) \\
-8\pi G\sigma_\perp \frac{\delta S}{\delta \tilde{Q}} &= \sigma_\perp K^a \wedge \tilde{e}^a = 0, \quad (9) \\
-8\pi G\sigma_\perp \frac{\delta S}{\delta B^a} &= N\tilde{T}^a + (\tilde{d}N + \sigma_\perp K^\beta N^\beta - \tilde{q}) \wedge \tilde{e}^a = 0, \quad (10)
\end{align*}

where $\tilde{q} \equiv q^{0a}\tilde{e}^a$. The exterior multiplication of (10) by $\epsilon_{a\beta\gamma}\tilde{e}^\gamma$ gives, by virtue of (8),

$$\tilde{d}N + \sigma_\perp K^\beta N^\beta - \tilde{q} = 0, \quad (11)$$

and hence we obtain the zero-torsion condition,

$$\tilde{T}^a = \mathcal{D}\tilde{e}^a = 0. \quad (12)$$

In other words, the magnetic field $B^a$ is a Lagrange multiplier which is algebraically related to the vielbein via the vanishing of torsion (12). This is exactly analogous to electromagnetism and gives an important hint regarding the relevance of torsion to holography and gravitational duality [28].

Next, we use diffeomorphisms and local Lorentz rotations to fix some of the Lagrange multipliers.

$\{N, N^a, q^{0a}\}$-fixing. Using suitable diffeomorphisms we can fix $N = 1$ and $N^a = 0$. In order to set $N = 1$ it is sufficient to choose, in a certain neighborhood, the proper ‘time’ of a family of ‘timelike’ geodesics as the new time coordinate. Moreover, in order to have $N^a = 0$, it is sufficient to choose as new spatial coordinates the coordinates that parametrize the surfaces orthogonal to the family of geodesics we have chosen above. All that means that the spacetime metric can be cast into the Gaussian normal form

$$ds^2 = \sigma_\perp dt^2 + h_{ij}(t, \tilde{x}) d\tilde{x}^i d\tilde{x}^j,$$

which is also suitable for discussing holography. If we use now (11) we obtain that $q^{0a} = 0$.

6 The coordinate $t$ is actually a time coordinate only if $\Lambda \leq 0$. Otherwise it is a spatial coordinate, but the arguments that we have given above do not change.
\{Q^a\}-fixing. These can be fixed by a suitable local Lorentz rotation. Recall that \(\omega\) is an \(so(3, 1)\)-valued connection, while the vielbein \(e\) is a vector under \(SO(3, 1)\) rotations. Under a generic finite local Lorentz transformation \(g \in SO(3, 1)\), they transform as

\[
e \mapsto e' = ge,
\]
\[
\omega \mapsto \omega' = g\omega g^{-1} + g d g^{-1}.
\]

If we want to preserve our choice of the vielbein, say \(e^0 = N \, dt\), it turns out that \(g^0_\alpha = 0\). As a consequence, we restrict our interest to the subgroup of local transformations given by

\[
L = \begin{cases} 
SO(3) & \text{if } \sigma_\perp = -1 \\
SO(2, 1) & \text{if } \sigma_\perp = +1
\end{cases} \subset SO(3, 1).
\]

Then, from the second equation in \((3)\) we see that \(Q_a\) can be gauge fixed to zero by a suitable \(g \in L\) such that

\[
-e^a \rho_\gamma Q^\gamma = (g^{-1})^a_\gamma g_\rho^\gamma.
\]

A residual gauge freedom, \(t\)-independent \(L\)-rotations on the fields, remains nevertheless.

With the \(N = 1\) and \(N^a = q^{0a} = Q^a = 0\) gauge fixing, the equations of motion read

\[
-8\pi G \sigma_\perp \frac{\delta S}{\delta K^a} = -\epsilon_{a\rho\gamma}(\dot{e}^\rho + K^\rho) \wedge \dot{e}^\gamma = 0,
\]

\[
-8\pi G \sigma_\perp \frac{\delta S}{\delta \dot{e}^a} = \dot{W}_a + \frac{2}{\ell^2} \Sigma_a + \epsilon_{a\rho\gamma} \dot{e}^\rho \wedge \dot{K}^\gamma = 0.
\]

Equation \((13)\) actually implies that

\[
\dot{e}^a + K^a = 0.
\]

Gathering all together, the equations describing any classical gravitational background in 4D are the zero torsion conditions:

\[
K^a \wedge \dot{e}^a = 0, \quad \ddot{\dot{D}} \dot{e}^a = 0, \quad \dot{e}^a + K^a = 0,
\]

and Einstein’s equations

\[
\dot{W}_a \wedge \dot{e}^a = 0, \quad \epsilon_{a\rho\gamma} \ddot{D} K^\rho \wedge \dot{e}^\gamma = 0,
\]

\[
\dot{W}_a + \epsilon_{a\rho\gamma} \left(K^\rho + \frac{1}{\ell^2} \dot{e}^\rho \right) \wedge \dot{e}^\gamma = 0.
\]

An important role is played by the quantity \(\dot{W}_a\) defined in \((5)\) which is a component of the on-shell Weyl tensor\(^7\):

\[
W_{a b} = R_{a b} + \Lambda e^a \wedge \dot{e}^b.
\]

Within our formalism and gauge fixing the on-shell Weyl tensor reads

\[
\sigma_\perp W^{0a} = dt \wedge \left(K^a + \frac{1}{\ell^2} \dot{e}^a \right) + \ddot{D} K^a,
\]

\[
W^a = \sigma_\perp \frac{1}{2} \epsilon_{a \rho \gamma} W^{\rho \gamma} = dt \wedge \dot{B}^a + \dot{W}^a.
\]

\(^7\) Details are given in appendix A.
3. The initial value formulation of gravity

The 3+1-split formalism can be nicely used toward the initial value formulation of general relativity which deals with the definition of a well-posed Cauchy problem for Einstein equations. Here we refer to the standard notion of an initial value problem, describing the time evolution of a set of initial data on a Cauchy spacelike surface, only for a positive or vanishing cosmological constant. In the case of a negative cosmological constant, the 3+1-split formalism describes instead the evolution of certain data on a Lorentzian hypersurface along a spacelike transverse (radial) coordinate. We gather these two physically distinct problems into the same conceptual framework of the ‘initial value problem’ since the mathematics endowed in the 3+1-split formalism makes us act in this way naturally. Firstly, the setup is the right one: we deal with a four-dimensional manifold sliced by three-dimensional submanifolds $\Sigma_t$, parametrized by the coordinate $t$, which are naturally endowed with a metric structure defined by a vielbein $\tilde{e}^\alpha$ and torsionless spin connection $B^\alpha$ whose curvature $\rho^\alpha$ is the Riemann on the slice. Picking up a particular $t_0$, the submanifold $(\Sigma_{t_0}, \tilde{e}^\alpha_{t_0}, B^\alpha_{t_0})$ can suitably play the role of ‘initial position’ in the Cauchy problem.

Moreover, an additional symmetric (see the first equation of (15)) field $K^\alpha$ exists and, by virtue of the third equation of (15), it is related to the velocity of the immersion of the vielbein toward the transverse $t$-direction. Hence its value on the slice $\Sigma_{t_0}$ must play the role of ‘initial velocity’ or extrinsic curvature in geometrical terms.

The remaining equations are the ‘dynamical equation’—the third of (16)—which involves the ‘acceleration’, i.e. the derivative of the $K^\alpha$, and the ‘integrability conditions’—the first two equations of (16)—which are algebraic in the sense that the coordinate $t$ appears as a parameter and hence they are valid on any slice.

Using our definitions, we can thus reformulate theorem 10.2.2 in [29] (chapter 10, p 264) in the presence of a cosmological constant.

**Theorem** (Initial value formulation). Consider a three-dimensional smooth manifold $\Sigma$ with signature $(3,0)$ (when $\sigma_\perp = -1$) or $(2,1)$ (when $\sigma_\perp = 1$), together with a metric structure defined by a vielbein $e^\alpha$ and its torsionless spin connection $b^\alpha$, say $\tilde{D}_b e^\alpha = 0$, and a 1-form $\kappa^\alpha$ satisfying a symmetry constraint $\kappa^\alpha \wedge e^\alpha = 0$. If the metric structure $(e^\alpha, b^\alpha)$ and the additional field $\kappa^\alpha$ satisfy the following conditions:

\[ \tilde{w}_\alpha \wedge e^\alpha = 0, \quad \epsilon_{\alpha\beta\gamma} \tilde{D}_b \kappa^\beta \wedge e^\gamma = 0, \]  

(19)

where

\[ \tilde{w}_\alpha = \tilde{D}_b \alpha + \frac{1}{2} \epsilon_{\alpha\beta\gamma} b^\beta \wedge b^\gamma - \frac{1}{2} \epsilon_{\alpha\beta\gamma} k^\beta \wedge k^\gamma + \frac{1}{2} \epsilon_{\alpha\beta\gamma} \kappa^\beta \wedge \kappa^\gamma, \]

then there exists a unique four-dimensional spacetime $(M, g)$ of signature $(3,1)$ satisfying the following properties:

(i) The metric $g$ is given by

\[ g = \sigma_\perp dt \otimes dt + \tilde{e}^\alpha \otimes \tilde{e}_\alpha, \]

where $\lim_{t \to t_0} \tilde{e}^\alpha = e^\alpha$. Moreover, at any slice we define the torsionless spin connection $B^\alpha$ of $\tilde{e}^\alpha$, with $\lim_{t \to t_0} B^\alpha = b^\alpha$. The extrinsic curvature $K^\alpha$ of the foliation also satisfies $\lim_{t \to t_0} K^\alpha = k^\alpha$.

8 Symmetry and, later on, trace properties of various 1-forms refer to their components, e.g. for the vector-valued 1-form $V^\alpha = V^\alpha \rho \tilde{e}^\rho$, the symmetry and trace properties refer to $V^\alpha \rho$. 

(ii) \((\mathcal{M}, g)\) satisfies Einstein’s equations with a cosmological constant \(\Lambda_{\text{cosm}} = -\frac{3\sigma_\perp}{\ell^2}\), which means that \((\tilde{e}^a, B^a, K^a)\) satisfy the integrability conditions (19) on any slice \(\Sigma\), (the first two of (16)) and the dynamical equation (the third of (16)).

(iii) Every other spacetime \((\mathcal{M}', g')\) satisfying (i)–(iii) can be mapped isometrically into a subset of \((\mathcal{M}, g)\). Furthermore, \((\mathcal{M}, g)\) satisfies the domain of dependence property (as explained in the chapter of the textbook we referred to before, but we do not enter into details here).

Note that the standard initial value formulation corresponds to the limit for the vanishing cosmological constant. In this case \(t\) is the real time, and \(\Sigma = \Sigma_t\) is a Cauchy surface; moreover, the spacetime \((\mathcal{M}, g)\) is globally hyperbolic. In the other cases the global hyperbolicity ceases to be a necessary condition; for instance, if \(\sigma_\perp = 1\), global hyperbolicity of the four-dimensional spacetime is lost (see [30, 31] for a discussion of the simple AdS example).

The idea is to extend this kind of description to the boundary. This is a particular slice, \(\partial \mathcal{M} = \Sigma_{\infty}\), which is reached when the transverse coordinate \(t\) takes its boundary value (typically \(t = \pm \infty\)). In other words, the boundary is the slice that can \(t\)-evolve only backward (forward). Any bulk solution induces a three-dimensional metric on the slices \(\Sigma_t\) for every \(t\). However, given a bulk solution only a conformal class of metrics can be specified at the boundary [32, 35]. One can then pick a particular representative boundary metric by choosing a defining function. Hence the correct ‘initial position’, as we are putting it, is given by a certain conformal class. Different bulk geometries arise by \(t\)-evolution by giving some ‘initial velocity’ to the initial conformal data. Nevertheless, the ‘initial velocity’ need not be conformally invariant.

Since our initial value problem is formulated at the boundary it should somehow be related to holography. Indeed, in the following subsection, we will show that the different methods of holographic renormalization correspond to different ways of setting up an initial value problem at the boundary, i.e. different ways to define the appropriate ‘initial boundary velocity’.

4. The Fefferman–Graham expansion in the 3+1-split formalism

The Fefferman–Graham expansion of the metric [32, 33] has proven to be the most efficient method in holographic applications [23]. Here we present a detailed transcription of the FG expansion to all our 3+1-split quantities. In doing so, we discover that the coefficients in the FG expansion are intimately related to the geometrical data of the boundary.

In the FG expansion the vielbein is expanded in powers of \(e^{-t/\ell}\) as

\[ \tilde{e}^a = e^{t/\ell} E^a(x) + e^{-t/\ell} \sum_{k=0}^{\infty} F_{[k+2]}(x) e^{-kt/\ell}. \]  

In the absence of sources the finite term in the above expansion (20) is missing [34], hence we neglect it right from the beginning. We will be interested in the boundary at \(t = +\infty\), where \(E^a\) is a representative of the conformal class of boundary vielbeins. Recall that \(t\) is related to the standard Poincaré patch radial coordinate \(r \in [0, \infty)\) as \(r/\ell = e^{-t/\ell}\). Picking then a particular defining function we can refer to \(E^a\) as the boundary vielbein.

The electric and the magnetic fields are obtained by solving equations (15). From the third equation in (15) we find

\[ K^a = -\frac{1}{\ell} e^{t/\ell} E^a + \frac{1}{\ell} \sum_{k=0}^{\infty} (k + 1) F_{[k+2]}(x) e^{-kt/\ell}. \]  

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The electric and the magnetic fields are obtained by solving equations (15). From the third equation in (15) we find

\[ K^a = e^{t/\ell} E^a - \frac{1}{\ell} e^{t/\ell} E^a + \frac{1}{\ell} \sum_{k=0}^{\infty} (k + 1) F_{[k+2]}(x) e^{-kt/\ell}. \]  

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The first equation of (15) determines the symmetry properties of the components of the FG expansion. The first few orders yield

\[ F^\alpha_{[2]} \wedge E_\alpha = F^\alpha_{[3]} \wedge E_\alpha = F^\alpha_{[4]} \wedge E_\alpha = 0. \tag{22} \]

The magnetic field has the expansion

\[ B^\alpha = \sum_{k=0}^\infty B^\alpha_{[k]} e^{-kt/\ell}, \]

and has a finite \( t \to \infty \) limit. Its various coefficients in the expansion are implicitly obtained by solving the second equation of (15), and the first few orders give

\[ D[0] E_\alpha = B^\alpha_{[1]} = 0, \tag{23} \]

\[ D[0] F^\alpha_{[2]} + \epsilon^\alpha_{\beta\gamma} B^\beta_{[2]} \wedge E^\gamma = 0, \tag{24} \]

\[ D[0] F^\alpha_{[3]} + \epsilon^\alpha_{\beta\gamma} B^\beta_{[3]} \wedge E^\gamma = 0, \tag{25} \]

\[ D[0] F^\alpha_{[4]} + \epsilon^\alpha_{\beta\gamma} B^\beta_{[2]} \wedge F^\gamma_{[2]} + \epsilon^\alpha_{\beta\gamma} B^\beta_{[4]} \wedge E^\gamma = 0, \tag{26} \]

where \( D[0] \) is the three-dimensional covariant exterior derivative made with the leading order magnetic field \( B^\alpha_{[0]} \). From (23) we learn that \( B^\alpha_{[0]} \) is the torsionless spin connection of the boundary vielbein \( E_\alpha \). By taking the exterior multiplication of (24)–(25) with \( E_\alpha \) we find

\[ \epsilon_{\alpha\beta\gamma} B^\alpha_{[2]} \wedge E^\beta \wedge E^\gamma = 0, \quad \epsilon_{\alpha\beta\gamma} B^\alpha_{[3]} \wedge E^\beta \wedge E^\gamma = 0, \]

and hence the components \( B^\alpha_{[2]} \) and \( B^\alpha_{[3]} \) of the magnetic field are traceless.

Next, we need to solve Einstein’s equations, order by order in the FG expansion. To do that we first compute the components of the Weyl tensor as

\[ \dot{K}^\alpha + \frac{1}{\ell^2} \bar{\epsilon}^\alpha = -\frac{1}{\ell^2} \sum_{k=0}^\infty [(k + 2)^2 - 1] F^\alpha_{[k+3]} e^{-2(k+2)t/\ell}, \tag{27} \]

\[ \ddot{D} K^\alpha = -e^{-t/\ell} \frac{2}{\ell} \epsilon^\alpha_{\beta\gamma} B^\beta_{[2]} \wedge E^\gamma - e^{-3t/\ell} \frac{3}{\ell} \epsilon^\alpha_{\beta\gamma} B^\beta_{[3]} \wedge E^\gamma + O(e^{-3t/\ell}), \tag{28} \]

\[ B^\alpha = -\frac{1}{\ell} \sum_{k=0}^\infty (k + 2) B^\alpha_{[k+2]} e^{-(k+2)t/\ell}, \tag{29} \]

\[ \bar{W}^\alpha = \rho^\alpha_{[0]} + \frac{2}{\ell^2} \epsilon^\alpha_{\beta\gamma} F^\beta_{[2]} \wedge E^\gamma + e^{-t/\ell} \frac{3}{\ell^2} \epsilon^\alpha_{\beta\gamma} F^\beta_{[3]} \wedge E^\gamma \]

\[ + e^{-2t/\ell} \left[ D[0] B^\alpha_{[2]} + \frac{4}{\ell^2} \epsilon^\alpha_{\beta\gamma} F^\beta_{[4]} \wedge E^\gamma \right] + O(e^{-3t/\ell}). \tag{30} \]

Hence, Einstein’s equations yield:

Equation \( \epsilon_{\alpha\beta\gamma} \ddot{D} K^\beta \wedge \bar{\epsilon}^\gamma = 0 \). This is the equivalent of Gauss law, and to leading and subleading order simply imposes the symmetry properties of the components of the magnetic field,

\[ B^\alpha_{[2]} \wedge E_\alpha = 0, \quad B^\alpha_{[3]} \wedge E_\alpha = 0. \tag{31} \]
Equation $\bar{W}_a + \epsilon_{a\beta\gamma} \left( \bar{K}^\beta + \frac{1}{e} \bar{e}^\beta \right) \wedge \bar{e}^\gamma = 0$. This is what we have called the dynamical equation. It reveals that the various coefficients in the FG expansion correspond to geometrical quantities of the boundary. The equation reads

$$
\rho^a_{[0]} + \frac{2}{\ell^2} \epsilon^a_{\rho\gamma} F^\beta_{[4]} \wedge E^\gamma + e^{-2t/\ell} \left[ D_{[0]} B^a_{[2]} - \frac{4}{\ell^2} \epsilon^a_{\rho\gamma} F^\beta_{[4]} \wedge E^\gamma \right] + O(e^{-3t/\ell}) = 0.
$$

(32)

To leading order it gives

$$
\rho^a_{[0]} + \frac{2}{\ell^2} \epsilon^a_{\rho\gamma} F^\beta_{[2]} \wedge E^\gamma = 0,
$$

(33)

(wrt the boundary vierbein $E^\gamma$) and hence it shows that $F^a_{[2]}$ is proportional to the boundary Schouten tensor, whose details are given in appendix A. This follows from the fact that the three-dimensional equation, $\Lambda_a + \epsilon_{a\beta\gamma} F^\beta \wedge E^\gamma = 0$, can be solved for the 1-form $F^a$ in terms of the Hodge dual of the 2-form $\Lambda_a$, provided $E^\gamma$ is a vielbein and hence invertible. Explicitly, if $F^a = F^a_\beta E^\beta$, we have that

$$
-\frac{2\sigma}{\ell^2} F^a_{[2]} = (3) S^a = \text{Ric}^a - \frac{R}{4} E^a,
$$

where $\text{Ric}^a = E_\beta \partial^a \rho^\alpha$ and $R = E_\beta \partial \text{Ric}^a$. For the same reason, from (24) $B^a_{[2]}$ is given in terms of the Hodge dual of the boundary Cotton–York tensor. Since $B^a_{[2]}$ is symmetric and traceless, we obtain

$$
B^a_{[2]} = -\sigma \frac{\ell^2}{4} \partial D^a_{[0]} F^a_{[2]} = \frac{\ell^2}{2} F^a_{[2]} C^a,
$$

where $C^a = D^a_{[0]} (3) S^a$. In three dimensions the Cotton–York tensor is the only irreducible conformally invariant tensor [36]. It vanishes if and only if the metric is conformally flat. Since $F^a_{[2]}$ and $B^a_{[2]}$ are related to each other, the $e^{-2t/\ell}$-component of (32) relates $B^a_{[2]}$ to $F^a_{[4]}$ as

$$
F^a_{[4]} - \text{tr}(F_{[4]} E^a) = \sigma \frac{\ell^2}{4} \partial D^a_{[0]} F^a_{[2]} = \sigma \frac{\ell^4}{8} \partial D^a_{[0]} \partial C^a.
$$

(34)

Moreover, the three-dimensional spatial component of the Weyl tensor reads

$$
\bar{W}^a = e^{-t/\ell} \frac{3}{\ell^2} \epsilon^a_{\rho\gamma} F^\beta_{[3]} \wedge E^\gamma + e^{-2t/\ell} \frac{8}{\ell^2} \epsilon^a_{\rho\gamma} F^\beta_{[4]} \wedge E^\gamma + O(e^{-3t/\ell}),
$$

(35)

and thus vanishes at the boundary. Equation $\bar{W}^a \wedge \bar{e}^a = 0$. This is an algebraic equation whose leading and subleading terms set zero the traces of the matrices $F^a_{[3]\rho}$ and $F^a_{[4]\rho}$, since it gives

$$
\frac{3}{\ell^2} \epsilon_{a\rho\gamma} F^a_{[3]} \wedge E^\beta \wedge E^\gamma + e^{-t/\ell} \frac{8}{\ell^2} \epsilon_{a\rho\gamma} F^a_{[4]} \wedge E^\beta \wedge E^\gamma + O(e^{-2t/\ell}) = 0.
$$

(36)

As a result (34) is modified to

$$
F^a_{[4]} = \sigma \frac{\ell^4}{8} \partial D^a_{[0]} \partial C^a.
$$

A nice consequence of the above results is that the whole Weyl tensor vanishes at the boundary:

$$
W^a_{\beta\gamma\lambda\mu} = 0.
$$

From the relationship between the on-shell Weyl tensor and the curvature one can easily find the asymptotic behavior of the latter, e.g., in order to define asymptotic charges.
Not all coefficients of the FG expansion are determined in terms of the boundary geometrical data $E^a$ and $B^a_{[0]}$. The quantity $F_{[3]}^a$ is an independent coefficient. Actually, it is only possible to say that it is symmetric,

$$F_{[3]}^a \wedge E^a = 0,$$

traceless,

$$\epsilon_{a\beta\gamma} F_{[3]}^\alpha \wedge E^\beta \wedge E^\gamma = 0,$$

and that it obeys a conservation law

$$\epsilon_{a\beta\gamma} D_{[0]} F_{[3]}^\beta \wedge E^\gamma = 0.$$

In a holographic setup this function determines the vacuum expectation value of the energy–momentum tensor in the boundary conformal field theory.

The fact that the general solution to Einstein’s equations requires two different sets of undetermined data, $\{E^a, F_{[3]}^a\}$, is clearly related to the initial value problem of general relativity. In particular, we can naturally associate $E^a$ with the boundary initial position and $F_{[3]}^a$ with the boundary initial velocity of the well-posed Cauchy problem that describes the transverse ‘propagation’ of the boundary geometrical data toward the 4D bulk. In that sense, the vev of the energy–momentum tensor of the boundary CFT can be viewed as an initial velocity.

5. Renormalization methods versus transformations of the canonical variables

Having in mind the holographic application of our results we focus henceforth on the case where $\sigma_\perp = 1$, namely the case where our bulk configurations are asymptotically anti de Sitter. However, we will continue using $\sigma_\perp$, in order to keep track of the signature dependence of our results which can be used in applications, other than holography, involving asymptotically de Sitter spacetimes.

In the 3+1-split formalism described in section 3, it appears that the two canonically conjugate fields that describe ‘position’ and ‘velocity’ are given by $\{\tilde{e}^a, K^a\}$. This is correct on any slice $\Sigma_t$ other than the boundary, i.e. with $t \neq \infty$, where these quantities are finite. However, to define the correct geometrical data on the boundary one needs to multiply $\tilde{e}^a$ and $K^a$ by $e^{-t/\ell}$ and then take the $t \to \infty$ limit [35]. In this case, from (20) and (21) one finds that

$$K^a = -\frac{1}{\ell} \tilde{e}^a + O(e^{-t/\ell}),$$

hence it would seem that the boundary geometrical data extracted form $\tilde{e}^a$ and $K^a$ are proportional to each other. In fact, both the vielbein $\tilde{e}^a$ and the extrinsic curvature $K^a$ could suitably play the role of the boundary initial position. The question is what plays the role of the boundary initial velocity. Looking at the expansions of the fields given in the previous section we note that the three-dimensional component of the on-shell Weyl tensor $\tilde{W}^a$ has the expansion

$$\tilde{W}^a = e^{-t/\ell} \frac{3}{\ell^2} \tilde{e}^a_{\beta\gamma} F_{[3]}^\beta \wedge E^\gamma + O(e^{-2t/\ell}).$$

Defining the 1-form $P^a$ as

$$\tilde{W}^a = \sigma_\perp \tilde{e}^a_{\beta\gamma} P^\beta \wedge \tilde{e}^\gamma$$

its leading behavior is given by $F_{[3]}^a$, and hence it could nicely play the role of the boundary initial velocity. To see that, note that on any slice $\Sigma_t$, $P^a$ is symmetric $P^a \wedge \tilde{e}^a = 0$, due to
the Bianchi identity, and traceless $\bar{P}_\alpha \wedge \bar{e}^\alpha = 0$, by virtue of the first of (16). In fact we have $P^\alpha = \bar{\omega}^\alpha$ and hence

$$P^\alpha = \bar{\omega}^\alpha.$$

Also note that $P^\alpha$ is not, in general, conserved for $t \neq \infty$, but it becomes conserved at the boundary due to

$$\lim_{t \to +\infty} e^{t/\ell} \epsilon_{\alpha\beta\gamma} K^\alpha \wedge \bar{e}^\beta \wedge \bar{e}^\gamma = 0.$$

The above discussion implies that both pairs of conjugate variables,

$$\{\bar{e}^\alpha, P^\alpha\}, \quad \{\bar{K}^\alpha, P^\alpha\},$$

(39)

can equivalently describe an initial value formulation at the three-dimensional boundary. It appears therefore that the boundary is the point where holographic renormalization methods meet the initial value formulation. Namely, starting from a Dirichlet problem where $\delta \bar{e}^\alpha = 0$ at the boundary, i.e. where $\bar{e}^\alpha$ is the boundary initial position, one needs to make sure that the boundary initial velocity is $P^\alpha$. This can be achieved by a transformation of the canonical momentum such that $K^\alpha \mapsto P^\alpha$. We will show below that this procedure coincides with the standard holographic renormalization (i.e. [22, 23]). On the other hand, one could have started with a Dirichlet problem where $\delta K^\alpha = 0$ at the boundary i.e. $K^\alpha$ being the boundary initial position. This is equivalent to not adding the Gibbons–Hawking term in the Einstein–Hilbert action. Again, one needs to make sure that the boundary initial velocity is given by $P^\alpha$, and this can be achieved by the transformation $\bar{e}^\alpha \mapsto P^\alpha$, or equivalently $\Sigma^\alpha \mapsto \bar{W}^\alpha$. We will demonstrate below that this second procedure coincides with the method of Kounterterms [24–26] where the infinities are cancelled by the addition of the Euler density.

To be explicit, the essence of holography is the evaluation of the on-shell gravitational action which is then identified with (minus) the generating functional of connected diagrams of a boundary conformal field theory in the leading saddle point approximation. The boundary values of bulk fields are interpreted as external sources for boundary conserved currents. For pure gravity in the bulk, we have schematically

$$S_{\text{os}}[E^\alpha] = -W_{\text{QFT}}[E^\alpha].$$

(40)

Since $E^\alpha$ plays the role of an external source in the boundary, the variation $\delta S_{\text{os}}$ must be zero for fixed $E^\alpha$. This is equivalent to the statement of ensuring a well-posed Dirichlet problem for the vielbein, hence a natural starting point for holography is the gravitational action $S = S_{\text{EH}} + S_{\text{GH}}$. Schematically, indicating $\{\lambda_i\} = \{N, N^\alpha, q^{0\alpha}, Q^\alpha\}$ the Lagrange multipliers providing the constraints $C_i$ respectively, the gravitational action reads

$$S = \frac{\sigma_+}{8 \pi G} \int_M \left[ \epsilon_{\alpha\beta\gamma} K^\alpha \wedge \bar{e}^\beta \wedge d \bar{e}^\gamma - \lambda_i C_i \right].$$

(41)

Its on-shell variation reads

$$\delta S_{\text{os}} = \frac{\sigma_+}{8 \pi G} \int_M \epsilon_{\alpha\beta\gamma} K^\alpha \wedge \bar{e}^\beta \wedge \delta \bar{e}^\gamma,$$

(42)

and hence the presence of the Gibbons–Hawking term ensures that only the variation with respect to the vielbein survives.

However, one could have not added the Gibbons–Hawking term, in which case we would consider simply the Einstein–Hilbert action (4) which schematically reads

$$S_{\text{EH}} = -\frac{\sigma_+}{8 \pi G} \int_M \left[ \Sigma^\alpha \wedge d K^\alpha + \lambda_i C_i \right].$$

(43)
Its on-shell variation is given by
\[ \delta S_{EH}|_{os} = -\frac{\sigma_{\perp}}{8\pi G} \int_{\partial\mathcal{M}} \Sigma_a \wedge \delta K^a. \]  
(44)

Since \( \tilde{e}^a \) and \( K^a \) are proportional to each other at the boundary, both the starting points (42) and (44) correspond to the same Dirichlet problem, and hence are expected to correspond to the same boundary physics. Moreover, in both cases we need to ensure that the initial boundary velocity is the same, given by the boundary value of \( P^a \), and here lies the difference between the two cases; we need different transformations to achieve that. We will show below that the two different transformations leading to the same boundary initial velocity correspond to the standard holographic renormalization [22, 23] and to the Kounterterms method [24–26], respectively.

5.1. Holographic renormalization

The problem that we need to take care of in (42) can be phrased in two different ways; we can either say that the 2-from \( \epsilon_{a\beta\gamma} K^\beta \wedge \tilde{e}^\gamma \) is not a well-defined initial velocity or we can say that (42) diverges at the boundary. These two points of view are essentially equivalent. Indeed, by virtue of definition (5) and expansions (37), (38) we note that the quantity \( \tilde{W}'_a \), defined as
\[ \epsilon_{a\beta\gamma} K^\beta \wedge \tilde{e}^\gamma \equiv \ell \tilde{W}'_a - \ell \rho_a - \frac{2}{\ell} \Sigma_a, \]  
(45)

has the same near boundary expansion as \( \tilde{W}_a \), namely
\[ \tilde{W}'_a = e^{-t/\ell} \frac{3}{\ell^2} \epsilon_{a\beta\gamma} F^\beta_{[3]} \wedge E^\gamma + O(e^{-2t/\ell}). \]  
(46)

Hence, our strategy is to implement the transformation (45) at the level of the action. Then we will be sure that the new canonical momentum \( \tilde{W}'_a \) will give on shell the proper boundary initial velocity.

We implement the transformation (45) on the restricted phase space defined by the constraints, i.e. the fields appearing in (45) satisfy the constraints. Then, the insertion of (45) into (41) modifies the gravitational action, when we set to zero the constraints, as
\[ S = \frac{\sigma_{\perp} \ell}{8\pi G} \int_{\mathcal{M}} \tilde{W}'_a \wedge d\tilde{e}^a - \frac{\sigma_{\perp} \ell}{8\pi G} \int_{\partial\mathcal{M}} \left[ B_a \wedge \tilde{e}^a \wedge dt + \rho_a \wedge \tilde{e}^a + \frac{1}{3\ell^2} \epsilon_{a\beta\gamma} \tilde{e}^a \wedge \tilde{e}^\beta \wedge \tilde{e}^\gamma \right]. \]  
(47)

The first term inside the brackets of (47) vanishes by virtue of the third of (15) together with the second of (16). Hence, the transformation (45), when implemented on the restricted phase space defined by the constraints, modifies the action by boundary terms. In fact, one should be able to show that the constraints are not modified and hence that (45) is a proper canonical transformation. The two boundary terms are geometrical quantities, namely the curvature and the volume form defined on the slice. They coincide with \textit{minus} the original counterterms used in the context of holographic renormalization [22, 23]. We can subtract them to be left with the so-called renormalized action \( S'_{\text{ren}} \), whose on-shell variation yields at the boundary
\[ \delta S'_{\text{ren}}|_{os} = \frac{3\sigma_{\perp} \ell}{8\pi G \ell} \int_{\partial\mathcal{M}} \epsilon_{a\beta\gamma} F^\beta_{[3]} \wedge E^\gamma \wedge \delta E^\gamma + O(e^{-2t/\ell}). \]  
(48)

The holographic interpretation of (48) is that the expectation value of the boundary energy–momentum tensor is related to the Hodge dual of the Weyl tensor as
\[ \tau_a \equiv \frac{\delta S'_{\text{ren}}}{\delta E^a} = \frac{3\sigma_{\perp} \ell}{8\pi G \ell} \epsilon_{a\beta\gamma} F^\beta_{[3]} \wedge E^\gamma = \frac{\sigma_{\perp} \ell}{8\pi G} \lim_{t \to +\infty} e^{t/\ell} \tilde{W}'_a. \]
and hence explicitly
\[
\langle T_{ij} \rangle_s = \frac{3}{8\pi G \ell} F^{3}_{ij}.
\] (49)

Recall that \( F^{3}_{\alpha\beta} \) is traceless, symmetric and conserved, as the energy–momentum tensor of a three-dimensional CFT should be.

### 5.2. Kounterterms

We can now try to set up an initial value formalism for gravity starting with the Einstein–Hilbert action, without adding to it the Gibbons–Hawking term. In this case, we would need to make the transformations,

\[
\Sigma_{\alpha} = \ell^2 W_{\alpha} - \ell^2 \rho_{\alpha} + \frac{\ell^2}{2} \epsilon_{\alpha\beta\gamma} K^\beta \wedge K^\gamma,
\] (50)

into (43), setting to zero the constraints. We get

\[
S_{EH} \mapsto S'_{EH} = -\sigma \perp \frac{\ell^2}{8\pi G} \int_M [\tilde{W}_{\alpha} \wedge dK^\alpha + \tilde{D}K_{\alpha} \wedge dB^\alpha]
+ \sigma \perp \frac{\ell^2}{8\pi G} \int_{\partial M} \left[ \rho_{\alpha} \wedge K^\alpha - \frac{1}{6} \epsilon_{\alpha\beta\gamma} K^\alpha \wedge K^\beta \wedge K^\gamma \right],
\] (51)

where, in the boundary integral, we have already dropped a term proportional to

\[
\int_{\partial M} \dot{B}_{\alpha} \wedge K_{\alpha} \wedge dt
\]
which vanishes since the leading term of the integrand is proportional to \( e^{-t/\ell} \). The second term in the first line of (51) gives the on-shell contribution \( \int_M \tilde{D}K_{\alpha} \wedge \delta B^\alpha \), which vanishes identically at the \( t = \infty \) boundary since the integrand is proportional to \( e^{-t/\ell} \) (note that the magnetic field is finite in the boundary). Dropping this term we are left with the two boundary contributions in the second line of (51). Again, one should be able to show that the constraints do not change and that the transformation above is canonical.

Remarkably, the boundary term we are left with in (51) is exactly minus the Euler density:

\[
\chi = -\sigma \perp \frac{\ell^2}{64\pi G} \int \epsilon_{abcd} R^{ab} \wedge R^{cd}
\]

\[
= -\sigma \perp \frac{\ell^2}{8\pi G} \int_{\partial M} \left[ \rho_{\alpha} \wedge K^\alpha - \frac{1}{6} \epsilon_{\alpha\beta\gamma} K^\alpha \wedge K^\beta \wedge K^\gamma \right],
\] (52)

hence adding it to (51) we would obtain the on-shell action

\[
S_{\text{ren}}|_{\text{os}} = S'_{EH} + \chi = -\sigma \perp \frac{\ell^2}{8\pi G} \int_M \tilde{W}_{\alpha} \wedge dK^\alpha.
\] (53)

As shown above, the variation of (53) gives exactly the previous result (48) and hence the stress tensor is the same as in (49).

We conclude that the two procedures, holographic renormalization and Kounterterms, can be equivalently used to set up an initial value formulation for gravity in the \( t = \infty \) boundary and—as we propose—can be used equivalently for its holographic description. At this point, we also note that the Kounterterm method is intriguingly connected with the geometrical MacDowell–Mansouri formulation of gravity [37–41]. Indeed, the sum of the Einstein–Hilbert action plus the Euler density with the exact coefficient given in (52) is the MM action

\[
S_{MM} = -\sigma \perp \frac{\ell^2}{64\pi G} \int \epsilon_{abcd} W^{ab} \wedge W^{cd}.
\] (54)
The 2-form $W_{ab}$ coincides on shell with the Weyl tensor which, as discussed at the end of appendix A, plays the role of the Lorentz component of the curvature of a $so(3, 2)(so(4, 1))$-valued connection for $\sigma_{\perp} = 1$ ($\sigma_{\perp} = -1$). Hence, (54) coincides on shell with the renormalized action (53), and it also gives a procedure to compute finite conserved quantities associated with spacetimes [24].

6. Conclusions

We presented a detailed analysis of gravity in the 3+1-split formalism having in mind applications to AdS$_4$/CFT$_3$ holography. The formalism allows for the setup of an initial value problem at the $t = \infty$ boundary. We presented the explicit Fefferman–Graham expansion of the various quantities involved and noted that their coefficients correspond to geometrical boundary data. Armed with our explicit results, we have argued that the holographic description of gravity can alternatively be considered as the formulation of an initial value problem at the boundary. In this context, we have shown that holographic renormalization and the Kounterterm method both correspond to certain transformations of the canonical variables. In the companion work [19] we will discuss the emergence of gravitational Chern–Simons in the boundary of four-dimensional gravity and also the consequences of self-duality in the case of Euclidean signature. We believe that our techniques and results can provide the basis for extensive studies in AdS$_4$/CFT$_3$ holography. Finally, our approach has many similarities with the past work on quantum gravity$^9$, in particular on its holographic formulation [43–45], and therefore it may be useful in linking the two fields.

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Appendix A. Weyl’s conformal tensor

Consider a four-dimensional manifold $\mathcal{M}$ endowed with a metric structure described by a vielbein $e^a$ and a torsionless $so(3, 1)$-valued connection $\omega^{ab}$. The Riemann tensor, given explicitly as the curvature of the Lorentz connection, $R_{ab} = d\omega^{ab} + \omega^{ac} \wedge \omega_{cb}$, can be decomposed into the following parts which are irreducible representations of the full Lorentz group

$$R_{ab} = C_{ab} + E_{ab} + G_{ab}, \quad \text{(A.1)}$$

where

$$E_{ab} = e^a \wedge F^b, \quad G_{ab} = \frac{R}{12} e^a \wedge e^b \quad \text{(A.2)}$$

with $F^a = \text{Ric}^a - \frac{R}{4} e^a$ the traceless part of the Ricci tensor $R^a_b$, $\text{Ric}^a = R^a_b e^b$ the Ricci 1-form and $R = e_a \left[ \text{Ric}^a \right] e^a = R^a_a$ the scalar curvature. This decomposition defines the Weyl conformal tensor $C_{ab}$: it is called ‘conformal’ since its components do not change under

$^9$ We thank Lee Smolin for bringing these works to our attention.
conformal transformations. It is possible to define the Weyl tensor in any dimensions $D$ (actually for $D > 3$) in the following way:

$$C^{ab} = R^{ab} - e^a \wedge S^b + e^b \wedge S^a,$$

where

$$S^a \equiv \frac{1}{D-2} \left[ \text{Ric}^a - \frac{R}{2(D-1)} e^a \right]$$

is the Schouten tensor. Besides the standard symmetries enjoyed by the Riemann tensor, the Weyl tensor has the additional feature of being completely traceless,

$$e^a \wedge (3) C^{ab} = 0,$$

and hence in four dimensions it has ten independent components. In three dimensions it turns out that the Weyl tensor vanishes identically and thus the Riemann tensor is given entirely in terms of the Schouten tensor:

$$(3) R^{ab} = e^a \wedge (3) S^b - e^b \wedge (3) S^a,$$

with

$$(3) S^a = \text{Ric}^a - \frac{R}{4} e^a.$$

Let us go back to the original definition (A.1), given in the case of a four-dimensional manifold. When we consider $so(3, 1)$-valued 2-forms $\Lambda^{ab} = \frac{1}{2} \Lambda^{a}{}^{cd} e^c \wedge e^d$, such as any term in (A.1), we can deal with two different notions of Hodge duality: one concerning the flat, tangent indices

$$\hat{*} \Lambda^{ab} = \frac{1}{2} \epsilon^{ab} \Lambda^{cd} e^c \wedge e^d,$$

and one concerning curved, spacetime indices

$$* \Lambda^{ab} = \frac{1}{2} \Lambda^{ab} \epsilon^{cd} (e^c \wedge e^d) = \frac{1}{4} \Lambda^{ab} e^c \epsilon^{cd} e^e \wedge e^f.$$  \hspace{1cm} (A.3)

The two notions, in general, have nothing to do with each other. But, from the definitions we gave in (A.2), it turns out that

$$\hat{*} C^{ab} = * C^{ab}, \quad \hat{*} E^{ab} = -* E^{ab}, \quad \hat{*} G^{ab} = * G^{ab}.$$

If Einstein’s equations hold, in the absence of any source term, $\text{Ric}^a = (R/2 + 3 \Lambda) e^a$, the $E^{ab}$ component of the Weyl tensor vanishes and hence the on-shell Riemann tensor reads $R^{ab} = C^{ab} - \Lambda e^a \wedge e^b$, having the property $\hat{*} R^{ab} = * R^{ab}$. So the tensor $W^{ab} = R^{ab} + \Lambda e^a \wedge e^b$ we used throughout the paper can be reasonably called the on-shell Weyl tensor.

This tensor has another interesting geometric interpretation. The fundamental fields in gravity, say the vielbein and the spin connection, can be assembled into a single Lie-algebra-valued connection. For the case of four-dimensional gravity with a non-vanishing cosmological constant (the case with a vanishing cosmological constant can then be recovered by an Inonu–Wigner contraction) we consider the Lie group $G = SO(3, 2)$ or $G = SO (4, 1)$, depending on whether $\sigma_\perp = \pm 1$ respectively, whose algebra $g$ is generated by the standard four-dimensional Poincaré generators, $P_a$ and $J_{ab}$, with $a, b = 0, 1, 2, 3$, with the introduction of a non-commutativity between translations

$$[P_a, P_b] = -\Lambda J_{ab}.$$

Picking a $g$-valued connection $A$, it is natural to interpret its components along generators as $A = e^a P_a - \frac{1}{2} \omega^{ab} J_{ab}$, where $e^a$ is the vielbein and $\omega^{ab}$ the spin connection. Its curvature $F = dA + A \wedge A$ can thus be written as $F = T^a P_a - \frac{1}{2} W^{ab} J_{ab}$, where $T^a$ is the standard definition for the torsion and $W^{ab} = R^{ab} + \Lambda e^a \wedge e^b$ precisely. So that $W^{ab}$ has a geometric interpretation: it is the component of the curvature of a $g$-valued connection along Lorentz transformations.
Within this last context one should pay special attention to the Bianchi identities, since the G-covariant exterior derivative is different from the simple Lorentz-covariant one due to the presence of the translations. In particular, the Bianchi identity reads \( \nabla F = 0 \), where \( \nabla F = dF + A \wedge F - F \wedge A \), whose components read
\[
\nabla F |_p = DT^a - W^a b \wedge \epsilon^b = 0, \\
\nabla F |_J = DW^{ab} + \Lambda e^a \wedge T^b - \Lambda \epsilon^a \wedge T^b = 0,
\]
where \( D \) is the Lorentz-covariant part of the full \( \nabla \). An interesting fact is that it is not possible to have a configuration with vanishing \( W_{ab} \) and non-vanishing torsion \( T^a \), the condition \( W_{ab} = 0 \) being even more restrictive than \( R_{ab} = 0 \).

Appendix B. Lorentzian Yang–Mills theory in the first-order formalism

We want to develop the first-order formalism for a generic Yang–Mills (YM) theory for some Lie group \( G \). Call \( A = \varphi dt + \tilde{A} \) the \( g \)-valued connection and \( F = dt \wedge E + \tilde{F} \), with \( E_i = F_{i} = 0 \), a \( g \)-valued 2-form which, on shell, shall give the curvature of the potential \( A \), say \( F = dA + A \wedge A \). Pick a manifold \( \mathcal{M} \), endowed with a metric structure \( g \) providing the standard Hodge dual operator \( ^\ast \). Therefore, we have for the field \( \mathcal{F} \)
\[
^\ast \mathcal{F} = dt \wedge B + \tilde{\mathcal{F}},
\]
where
\[
B_i = -g_{ijk}(g^{il}E^k + \frac{1}{2}\tilde{F}^{jk}),
\]
\[
{\tilde{\mathcal{F}}}_{ij} = -g_{ijk}(g^{lm}E^k - g^{jk}E^l + g^{il}g^{km}\tilde{F}_{lm}),
\]
where \( \epsilon_{ijk} = \epsilon_{ijkm} \) are the three-dimensional Levi-Civita symbols. It is always possible to choose well-adapted coordinates in order to set \( g_{ij} = \sigma \) and \( g_{ji} = 0 \). In this way, the metric on \( \mathcal{M} \) can be written as
\[
\mathrm{d}s^2 = \sigma \mathrm{d}t^2 + h_{ij}(t, \mathrm{x}) \mathrm{d}x^i \mathrm{d}x^j,
\]
and hence the dual of \( \mathcal{F} \) simplifies to
\[
B = {\tilde{\mathcal{F}}} = \sigma {\tilde{\mathcal{F}}}. \tag{B.1}
\]
Picking an Ad-invariant, symmetric, non-degenerate bilinear form \( \langle \cdot, \cdot \rangle \) on the algebra, the action shall read
\[
S = \int_{\mathcal{M}} \frac{1}{2} \langle \mathcal{F} \wedge {\ast \mathcal{F}} \rangle + \langle \mathcal{F} \wedge {\ast \mathcal{F}} \rangle
\]
\[
= \int_{\mathcal{M}} \mathrm{d}t \wedge \left[ \langle \mathcal{F} \wedge {\ast \mathcal{F}} \rangle - \frac{1}{2} \langle \mathcal{F} \wedge {\ast \mathcal{F}} \rangle + \langle \mathcal{F} \wedge B \rangle + \langle \mathcal{F} \wedge B \rangle \right]
\]
\[
- \int_{\partial \mathcal{M}} \mathrm{d}t \wedge \langle \varphi, {\tilde{\mathcal{F}}} \rangle,
\]
where the last term is actually a boundary term. Equivalently, if we performed the transformation to bring the metric into the preferred form, the action would read
\[
S = -\sigma \int_{\mathcal{M}} \mathrm{d}t \wedge \left[ -\langle \mathcal{F} \wedge {\ast \mathcal{F}} \rangle + \frac{1}{2} \langle \mathcal{F} \wedge {\ast \mathcal{F}} \rangle - \langle \mathcal{F} \wedge B \rangle \right]
\]
\[
- \int_{\partial \mathcal{M}} \mathrm{d}t \wedge \langle \varphi, {\ast \mathcal{F}} \rangle + \sigma \int_{\partial \mathcal{M}} \mathrm{d}t \wedge \langle \varphi, {\ast \mathcal{F}} \rangle. \tag{B.2}
\]

\( ^{10} \) Note that, in this case, the determinant of the four-dimensional metric reduces to \( \sqrt{-g} = \sqrt{-\sigma} \).
It is easy, at this point, to give some interpretations to the fields. $\varphi$ plays the role of a Lagrange multiplier for the constraint $\nabla^\perp E = 0$, the Gauss law, which is obtained by varying the action with respect to $\varphi$ itself. The dynamical fields, conjugate to each other, are given by the potential $\tilde{A}$ and the electric field $E$, while the magnetic field is some external field. The Lagrange multiplier can be fixed to zero by a gauge transformation, say a certain $g \in G$ such that $\varphi = g^{-1} \dot{g}$. Hence we are left with a residual gauge symmetry given by group elements $\tilde{g} \in G$ such that $\dot{\tilde{g}} = 0$. Therefore, within this gauge fixing, the equations of motion read

$$\frac{\delta S}{\delta \tilde{E}} = \dot{\tilde{A}} - E = 0,$$  \hspace{1cm} \text{(B.3)}
$$\frac{\delta S}{\delta \tilde{A}} = -\sigma_\perp(\dot{\tilde{E}}) + \tilde{\nabla}B = 0,$$  \hspace{1cm} \text{(B.4)}
$$\frac{\delta S}{\delta \dot{B}} = \dot{\tilde{A}} + \tilde{A} \wedge \dot{\tilde{A}} + \sigma_\perp \dot{B} = 0,$$  \hspace{1cm} \text{(B.5)}

plus the Gauss law

$$\tilde{\nabla}^\perp E = 0.$$  \hspace{1cm} \text{(B.6)}

If we want to write them only in terms of the curvature it is easy to see that (B.5) implies the Bianchi identity $\tilde{\nabla}^\perp B = 0$, while combining (B.3) and (B.5) we get $\sigma_\perp(\dot{\tilde{E}}) + \tilde{\nabla}E = 0$.

It is easy to see that if we define the complex $g$-valued 1-form $E \equiv E + iB$, the equations can be nicely written as

$$\tilde{\nabla}^\perp E = 0,$$  \hspace{1cm} \text{since the equations are linear and holomorphic in } E.

The great benefit we acquire is that this form makes explicit the ‘global’ duality invariance of the equations of motion

$$E \mapsto E' = e^{i\theta} E,$$  \hspace{1cm} \text{since the equations are linear and holomorphic in } E.

**Appendix C. Example: holography of black holes in AdS$_4$**

As an application of our ideas we consider the holographic description of the standard Schwarzschild AdS$_4$ and also Taub-NUT-AdS$_4$ black holes with a negative cosmological constant. For a positive cosmological constant they are still solutions to Einstein’s equations but they describe cosmological spacetimes. Our aim is to identify the right initial values—‘position’ and ‘velocity’—which, by the arguments given in the previous section, is equivalent to finding the boundary metric and energy–momentum tensor.

We start with the metric

$$ds^2 = \sigma_\perp \frac{dr^2}{V(r)} - \sigma_\perp V(r) d\tau^2 + r^2 d\Omega_3^2$$  \hspace{1cm} \text{(C.1)}

that gives the standard Schwarzschild AdS$_4$ black holes for $\sigma_\perp = 1$. The difference with the previous section is the presence of the nontrivial Lapse function $N(r)^2 = V(r)^{-1}$ \footnote{See (2) for a definition of the function $N$. Here it has not been gauge fixed to 1.} where

$$V(r) = \sigma_\perp \kappa - \frac{2M}{r} + \frac{r^2}{\ell^2}.$$  \hspace{1cm} \text{(C.2)}
The term \( d\Omega_2^\kappa \) in (C.1) describes the metric of the horizon which is \( S^2, \mathbb{R}^2 \) or \( H^2 \) for \( \kappa = 1, 0, -1 \), respectively. Using stereographic projections the horizon metric can be written in terms of complex coordinates \( \{ w, \bar{w} \} \), with \( w = x + iy \), as
\[
d\Omega_2^\kappa = e^{i\bar{w}} dw d\bar{w}, \quad e^{i\bar{w}} = (1 + \kappa |w|^2/4)^{-1}.
\]
The vielbein is given by
\[
e^0 = V(r)^{-1/2} dr, \quad \tilde{e}^3 = V(r)^{1/2} dr, \quad \tilde{e}^* = re^{i\bar{w}} dw.
\]
Solving for the vanishing of the torsion constraints we obtain the electric field
\[
K^3 = -(\frac{M^r}{r^2} + \frac{r}{\ell^2}) dz, \quad K^* = -V(r)^{1/2} e^{i\bar{w}} dw,
\]
and the magnetic field
\[
B^3 = -i(\partial_{\bar{w}} dw - \bar{\partial} w d\bar{w}), \quad B^* = 0.
\]
It turns out that the magnetic field is fixed from the boundary, while the electric field depends on the radial coordinate, describing the extrinsic curvature of the metric \( \tilde{e}^\alpha \) on the slices \( \Sigma_r \) at fixed radial coordinate.

The three-dimensional component of the Weyl tensor reads
\[
\tilde{W}^{3} = -i\sigma_\perp M^r \tilde{e}^* \wedge \tilde{e}^*, \quad \tilde{W}^* = -\frac{1}{2\pi^2} \tilde{e}^3 \wedge \tilde{e}^*,
\]
and its three-dimensional Hodge dual \( \mathcal{P}^\alpha = \tilde{\mathcal{W}}^\alpha \) hence reads
\[
\mathcal{P}^{3} = -\sigma_\perp \frac{2M^r}{\ell^3} \tilde{e}^3, \quad \mathcal{P}^* = \sigma_\perp \frac{M^r}{\ell^3} \tilde{e}^*,
\]
which is manifestly symmetric (it is actually diagonal) and traceless.

However, since the metric is not given in the FG form due to the presence of a nontrivial lapse function, we cannot directly read from the above results the proper initial data. In general, one is not able to compute exactly the diffeomorphism \( r = r(t) \), where \( t \) is the transverse coordinate bringing the metric into the FG form; nevertheless, we present below a general argument in order to compute the boundary data in some simple cases. Consider a metric of the form
\[
d\sigma^2 = \sigma_\perp N(\rho)^2 d\rho^2 + h_{ij}(\rho, \vec{x}) \, dx^i dx^j,
\]
where \( N(\rho) = 1 + \zeta(\rho) \) with \( \zeta(\rho) \to 0 \) as \( \rho \to \infty \). For instance, this can be achieved in (C.1) by simply defining \( r/\ell = e^{\rho/\ell} \). It is clear that if there exists a transformation \( t = t(\rho) \) such that
\[
e^{i\ell/\ell} = e^{\rho/\ell}[1 + \epsilon(\rho)],
\]
with
\[
\lim_{\rho \to \infty} \epsilon(\rho) = 0,
\]
the boundary data can be easily extracted by looking at the leading \( \rho \to \infty \) behavior of the vielbein \( \tilde{e}^\alpha \) and of \( \mathcal{P}^\alpha \). The point now is to understand under which circumstances such a transformation (C.6) does exist. To bring (C.5) in the FG form one needs to solve for
\[
N(\rho) \, d\rho = dt \quad \Rightarrow \quad \frac{\zeta(\rho)}{\ell} = \frac{\epsilon'(\rho)}{1 + \epsilon(\rho)}.
\]
Then, by virtue of (C.7) the leading term of (C.8) is given by \( \epsilon' = \zeta/\ell \). Furthermore, in order for (C.7) to be true, we need to ask for \( \lim_{\rho \to \infty} \int \zeta(\rho) \) to be finite.
In our case, we have that \[ \int \zeta(\rho) \propto e^{-2\rho/\ell} \] as \( \rho \to \infty \) and hence the boundary data can be easily computed. The boundary vielbein reads
\[
E^3 = d\tau, \quad E^* = e^\nu \, dw, 
\]
and describes a conformally flat cylinder \( \mathbb{R} \times B_\kappa \) with the base manifold \( B_\kappa \) being \( S^2, \mathbb{R}^2 \) or \( H^2 \) for \( \kappa = 1, 0, -1 \), respectively. Also, \( F_{[3]} \) is given by
\[
F_{[3]}^3 = -\frac{2M\ell_3}{3} E^3, \quad F_{[3]}^* = \frac{M\ell_3}{3} E^*. \tag{C.9}
\]
As a consequence, the vacuum-expectation value of the stress tensor of the dual theory simply reads
\[
\langle T_{33} \rangle_s = \frac{\sigma}{4\pi G}, \quad \langle T_{\cdot\cdot} \rangle_s = \frac{M\ell}{8\pi G}. \tag{C.10}
\]
Hence we conclude that these black holes are generated by the evolution along the radial coordinate of the cylinder \( \mathbb{R} \times B_\kappa \), which is a simple example of a conformally flat manifold, with initial velocity (C.9) determined by the black hole mass \( M \).

We consider now a generalization of the previous case given by the Taub-NUT-AdS black hole [42],
\[
ds^2 = \sigma \frac{dr^2}{V(r)} - \sigma V(r)(d\tau + \sigma)^2 + (r^2 + n^2)e^{2\nu} \, dw \, d\bar{w},
\]
where the lapse function is modified by the NUT charge \( n \) to be
\[
V(r) = \left( \sigma \kappa + \frac{4n^2}{\ell^2} \right) r^2 - n^2 = \frac{2Mr}{r^2 + n^2} + \frac{r^2 + n^2}{\ell^2}. \tag{C.11}
\]
The shift 1-form \( \sigma \) is given by
\[
\sigma = -i\sigma \kappa e^\nu (\bar{w} \, dw - w \, d\bar{w}).
\]
The metric of the horizon is still given by \( e^\nu = (1 + \kappa |w|^2/4)^{-1} \) with \( \kappa = 1, 0, -1 \). The presence of the shift 1-form \( \sigma \) introduces a non-staticity in the spacetime since the symmetry under \( \tau \mapsto -\tau \) that we had in the previous case is lost. The vielbein \( \tilde{e}^\mu \) on the slices \( \Sigma_r \) is now given by
\[
\tilde{e}^3 = V(r)^{1/2}(d\tau + \sigma), \quad \tilde{e}^* = (r^2 + n^2)^{1/2}e^\nu \, dw,
\]
and the electric and magnetic fields read
\[
K^3 = -\frac{1}{2} V'(r)V(r)^{-1/2}\tilde{e}^3, \quad K^* = -\frac{r}{r^2 + n^2} V(r)^{1/2}\tilde{e}^*, \quad
\]
and
\[
B^3 = i\frac{K}{4} e^\nu (\bar{w} \, dw - w \, d\bar{w}) - \frac{n}{r^2 + n^2} V(r)^{1/2}\tilde{e}^3, \quad B^* = \frac{n}{r^2 + n^2} V(r)^{1/2}\tilde{e}^*.
\]
The Hodge dual of the three-dimensional spatial component of the on-shell Weyl tensor reads
\[
\varphi^3 = -\sigma \kappa F(r)\tilde{e}^3, \quad \varphi^* = \sigma \kappa F(r)\tilde{e}^*, \tag{C.12}
\]\nwhere
\[
F(r) = \frac{Mr(r^2 - 3n^2) + n^2(\sigma \kappa + \frac{4n^2}{\ell^2})(3r^2 - n^2)}{(r^2 + n^2)^3}.
\]
To extract the proper boundary data we use the above general argument that applies in this case too. Setting as before \( r/\ell = e^{\rho/\ell} \) the boundary data are read from the leading terms of the expansions of \( \tilde{e}^\mu \) and \( P^\mu \). The boundary vielbein is given by

\[
E^3 = d\tau + \sigma, \quad E^* = e^{\tilde{e}^\rho} du,
\]

which is a sort of a nontrivial line bundle with the base manifold the same \( B_\kappa \) as in the previous black hole case. Despite being conformally flat the boundary manifold is rather nontrivial due to the presence of the NUT charge. Its most striking feature is the presence, for some values of the NUT parameter, of closed timelike curves (CTCs) \[42\]. In order to see this, consider spherical coordinates on the base \( B_\kappa \) and hence we have

\[
d\Omega^2 = d\vartheta^2 + f_\kappa(\vartheta)^2 d\phi^2, \quad \sigma = 4nf_\kappa(\vartheta/2)^2,
\]

where

\[
f_\kappa(\vartheta) = \begin{cases} 
\sin \vartheta & \text{if } \kappa = 1 \\
\vartheta & \text{if } \kappa = 0 \\
\sinh \vartheta & \text{if } \kappa = -1.
\end{cases}
\]

In all three cases, \( \phi \) is an angular coordinate and we can see that

\[
g_{\phi\phi} = f_\kappa(\vartheta)^2 - 16n^2 \ell^2 f_\kappa(\vartheta/2)^4
\]

becomes negative for certain values of \( \vartheta \) and \( n/\ell \). For these values the vector \( \partial_\phi \), which generates closed curves parametrized by the angle \( \phi \), becomes timelike. In particular, for \( \kappa = -1 \) this three-dimensional spacetime is a 3D slice of the Gödel spacetime \[42\], but it encodes all the features of such a manifold. Therefore, these bulk metrics could be used to study three-dimensional quantum field theories (at least endowed with conformal invariance) on spacetimes with causal pathologies. For \( F^{[3]} \) we obtain the following results:

\[
F^{[3]} = - \frac{2M \ell^2}{3} E^3, \quad F^{* [3]} = \frac{M \ell^2}{3} E^*,
\]

and hence the tangent components of the boundary energy–momentum tensor are the same as before \( C.10 \). What changes here is the background where the NUT charge introduces a nontriviality, nevertheless keeping it conformally flat. From the initial value problem point of view, these spacetimes are generated by the evolution of the 3D metric described by \( (C.13) \) with the same initial velocity as before.

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