Prognostic nomogram for patients with Nasopharyngeal Carcinoma incorporating hematological biomarkers and clinical characteristics

Jianpei Li*, Shulin Chen*, Songguo Peng, Yijun Liu, Shan Xing, Xia He*, Hao Chen*

State Key Laboratory of Oncology in South China, Collaborative Innovation Center for Cancer Medicine, Sun Yat-sen University Cancer Center, Guangzhou 510060, China.

*Authors contributed equally to this work.

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Abstract

Predictive models for survival prediction in individual cancer patients following the tumor, node, and metastasis (TNM) staging system are limited. The survival rates of patients who share TNM stage diseases are diversified. Therefore, we established a nomogram in which hematological biomarkers and clinical characteristics for predicting the overall survival (OS) of nasopharyngeal carcinoma (NPC) patients were incorporated. The clinicopathological and follow-up data of 690 NPC patients who were histologically diagnosed histologically at the Sun Yat-sen University Cancer Center between July 2007 and December 2011 were retrospectively reviewed. Data was randomly divided into primary (n = 460) and validation groups (n = 230). Cox regression analysis was used to identify prognostic factors for building the nomogram in primary cohorts. The predictive accuracy and discriminative ability of the nomogram were measured by the concordance index (C-index) and decision curve, and were compared with the TNM staging system, Epstein-Barr virus DNA copy numbers (EBV DNA), or TNM stage plus EBV DNA. The results were internally validated by assessment of discrimination and calibration using the validation cohorts at the same institution. Independent factors selected into the nomogram for OS included age [hazard ratio (HR): 1.765; 95% confidence interval (CI): 1.008-3.090], TNM stage (HR: 1.899; 95% CI: 1.023-3.525), EBV DNA (HR: 1.322; 95% CI: 1.087-1.607), lactate dehydrogenase level (LDH) (HR: 1.784; 95% CI: 1.032-3.086), high sensitivity C-reactive protein (hs-CRP) (HR: 1.840; 95% CI: 1.039-3.258), high-density lipoprotein cholesterol (HDL-C) (HR: 0.503; 95% CI: 0.282-0.896), hemoglobin (HGB) (HR: 0.539; 95% CI: 0.309-0.939) and lymphocyte to lymphocyte ratio (LMR) (HR:0.531; 95% CI: 0.293-0.962).The C-index in the primary cohort and validation cohort were 0.800 and 0.831, respectively, and were statistically higher when compared to C-index values for TNM stage (0.672 and 0.716), EBV DNA, or TNM stage plus EBV DNA. The results were internally validated by assessment of discrimination and calibration using the validation cohorts at the same institution. Independent factors selected into the nomogram for OS included age [hazard ratio (HR): 1.765; 95% confidence interval (CI): 1.008-3.090], TNM stage (HR: 1.899; 95% CI: 1.023-3.525), EBV DNA (HR: 1.322; 95% CI: 1.087-1.607), lactate dehydrogenase level (LDH) (HR: 1.784; 95% CI: 1.032-3.086), high sensitivity C-reactive protein (hs-CRP) (HR: 1.840; 95% CI: 1.039-3.258), high-density lipoprotein cholesterol (HDL-C) (HR: 0.503; 95% CI: 0.282-0.896), hemoglobin (HGB) (HR: 0.539; 95% CI: 0.309-0.939) and lymphocyte to lymphocyte ratio (LMR) (HR:0.531; 95% CI: 0.293-0.962). The C-index in the primary cohort and validation cohort were 0.800 and 0.831, respectively, and were statistically higher when compared to C-index values for TNM stage (0.672 and 0.716), EBV DNA (0.668 and 0.688), and TNM stage+ EBV DNA (0.732 and 0.760), P < 0.001 for all. Moreover, the decision curve analyses demonstrated that the nomogram model had a higher overall net benefit compared to the TNM staging system, EBV DNA and TNM stage+ EBV DNA. Next, patients were divided into three distinct risk groups for OS based on total points (TPs) of the nomogram: a low-risk group (TPs ≤ 19.0), an intermediate risk group (19.0 < TPs ≤ 25.5) and a high risk group (TPs > 25.5), respectively. The nomogram predicting prognosis generated for NPC patients had a higher predictive power compared to the TNM staging system, EBV DNA, and TNM stage+ EBV DNA.

Key words: Nasopharyngeal carcinoma, nomogram, prognostic
Introduction

Nasopharyngeal carcinoma (NPC) is a fairly common malignant tumor in Southeast Asia, that is closely related to Epstein-Barr virus (EBV) infection [1]. Radiotherapy is the primary treatment for early stage disease, whereas concurrent chemoradiotherapy is the standard care for advanced NPC[2]. Currently, the Union Internationale Contre le Cancer/American Joint Cancer Committee (UICC/AJCC) TNM staging system is the gold standard for predicting prognosis and guiding treatment options of NPC patients. However, significant heterogeneities of clinical outcomes in NPC patients with a similar TNM stage and receiving similar treatment strategies have been reported [3-4]. These findings indicated that the present staging system is not adequate for prognosis without considering other clinicopathological factors or serum biomarkers. Thus, it is critical to identify reliable prognostic factors to complement the TNM staging system and refine the prediction of outcomes for NPC patients.

Recently, a number of studies have demonstrated that hematological biomarkers, such as systematic inflammation indexes (high sensitivity C-reactive protein (hs-CRP), platelet to lymphocyte ratio (PLR), neutrophil to lymphocyte ratio(NLR), lymphocyte to lymphocyte ratio (LMR)), lipid metabolism indexes (high-density lipoprotein cholesterol (HDL-C), apolipoprotein A-1 (ApoA-1)), nutritional indexes (albumin (ALB), hemoglobin (HGB)), lactate dehydrogenase level (LDH), EBV DNA copy numbers, titers of IgA antibodies against EBV capsid antigen (EBV VCA-IgA, EA-IgA, LDH, hs-CRP, ALB, HDL-C, ApoA-1, HGB, PLR, NLR, LMR, and TNM staging system. The clinical stage was classified according to the seventh edition of the AJCC/UICC TNM staging system.

Continuous variables were transformed into categorical variables. In this study, each 10-fold increase in EBV DNA levels was chosen as the cut-off level, based on a previously published method [8]. Cut-off values for other variables were calculated using the median value of variables and were as follows: age (45 years), LDH (161.6 U/L), hs-CRP (2.03 mg/L), ALB (43.40 g/L), HDL-C (1.17 mmol/L), ApoA-1 (1.28 g/L), HGB (139 g/L), PLR (141.08), NLR (2.60), LMR (3.67), VCA-IgA titer (<1:80, 1:80–1:40, ≥1:80), and EA-IgA titer (<1:10, 1:10–1:20, ≥1:40).

Statistical analyses

Statistical analyses were performed using IBM SPSS Statistical software version 19.0 (IBM Corp., Chicago, IL, USA) and R version 3.4.0 (http://www.R-project.org/). OS was defined as the time from diagnosis to the date of the patient’s death or censored at the date of last follow-up. Survival curves were plotted using the Kaplan-Meier survival analysis method and compared by the log-rank test. Variables achieving significant level of \( P < 0.05 \) in the univariate analyses were subjected to multivariable Cox regression analysis. Independent prognostic factors were determined if a significant effect was observed in the Cox model \( (P < 0.05) \). To maximize the predictive ability of the model, all variables in the
multivariable model were used to establish a prognostic nomogram model (by the package of rms in R). Calibration of the nomogram for 1-, 3-, and 5-year OS were performed by comparing predicted survival with observed survival. The predictive accuracy and discriminative ability of the nomogram were measured by C-index and decision curve and were compared with the TNM staging system, EBV DNA, and TMN stage plus EBV DNA. All statistical tests were two-sided, and \( P < 0.05 \) was considered statistically significant.

## Results

### Patient characteristics and survival

A total of 460 patients from the primary and 230 patients from the validation cohorts were included for analyses. Patient demographic and clinical characteristics are summarized in Table 1. No differences were found between the primary and validation cohorts in terms of age, node stage, TNM stage, EBV DNA, VCA-IgA, EA-IgA, LDH, hs-CRP, ALB, HDL-C, ApoA-1, PLR, NLR, or LMR (\( P = 0.063-1.000 \)). However, parameters including gender, tumor stage, treatment, and HGB were significantly different between the two cohorts (\( P = 0.002-0.045 \)). For primary and validation cohorts, the median follow-up times were 46.3 months (range = 0.7 to 76.0 months) and 69.9 months (range = 2.6 to 87.7 months), respectively. In addition, the 1-, 3-, and 5-year OS were 97.2%, 87.4%, 82.7%, and 95.7%, 82.6%, 74.8% for the primary and validation cohorts, respectively.

### Univariate analysis and multivariate analysis.

Univariate analysis indicated that age (\( P < 0.001 \)), Tumor stage (\( P = 0.002 \)), Node stage (\( P < 0.001 \)), TNM stage (\( P < 0.001 \)), EBV DNA (\( P < 0.001 \)), VCA-IgA (\( P = 0.013 \)), EA-IgA (\( P = 0.010 \)), LDH (\( P < 0.001 \)), hs-CRP (\( P < 0.001 \)), ALB (\( P = 0.036 \)), HDL-C (\( P = 0.001 \)), ApoA-1 (\( P = 0.044 \)), HGB (\( P = 0.001 \)), PLR (\( P = 0.035 \)), NLR (\( P = 0.016 \)) and LMR (\( P < 0.001 \)) were associated with OS of NPC patients. In multivariate analysis for OS with Cox regression, the results showed that the following variables remained independently prognostic: age (\( P = 0.002 \), HR = 1.765; 95% CI: 1.008-3.090), TNM stage (\( P = 0.042 \), HR = 1.899; 95% CI: 1.023-3.525), EBV DNA (\( P = 0.005 \), HR = 1.322; 95% CI: 1.087-1.607), LDH (\( P = 0.038 \), HR = 1.784; 95% CI: 1.032-3.086), hs-CRP (\( P = 0.037 \), HR = 1.840; 95% CI: 1.039-3.258), HDL-C (\( P = 0.020 \), HR = 1.503; 95% CI: 0.282-0.896), HGB (\( P = 0.029 \), HR = 0.539; 95% CI: 0.309-0.939), and LMR (\( P = 0.037 \), HR = 0.531; 95% CI: 0.293-0.962). The detailed results of univariate and multivariate analyses are presented in Table 2.
Table 2. Univariate and Multivariable Analysis for overall survival of the Primary Cohort

| Variable          | Univariate analysis | Multivariate analysis |
|-------------------|---------------------|-----------------------|
|                   | HR (95% CI)         | P         | HR (95% CI)         | P         |
| Gender            |                     |           |                     |           |
| Male/ Female      | 0.886(0.508–1.546)  | 0.670     |                     |           |
| Age(years)        | ≤64                 |           | >64                 |           |
| Tumor stage       |                     |           |                     |           |
| T1/T2/T3/T4       | 2.607(1.566–4.342)  | <0.001    | 1.765(1.008–3.090)  | 0.047    |
| Node stage        |                     |           |                     |           |
| No/No/No2/No3     | 1.613(1.184–2.200)  | 0.002     | 0.943(0.623–1.429)  | 0.783    |
| TNM stage         |                     |           |                     |           |
| I/I/II/III/IV     | 1.858(1.399–2.468)  | <0.001    | 1.176(0.849–1.628)  | 0.330    |
| Treatment         |                     |           |                     |           |
| Radiotherapy/Chemoradiotherapy | 3.083(1.999–4.756)  | <0.001    | 1.899(1.023–3.525)  | 0.042    |
| EBVDNA, copy/mL   |                     |           |                     |           |
| <10/10−9999/10^4 | 1.674(1.409–1.988)  | <0.001    | 1.322(1.087–1.607)  | 0.005    |
| >99999/10−99999/10^4 |           |           |                     |           |
| VCA-IgA           | 1.624(1.109–2.378)  | 0.013     | 0.966(0.576–1.620)  | 0.895    |
| EA-IgA            | 1.486(1.100–2.009)  | 0.010     | 1.055(0.714–1.558)  | 0.788    |
| LDH, U/L          | 2.594(1.558–4.319)  | <0.001    | 1.784(1.032–3.086)  | 0.038    |
| ≤161.6/161.6      |                     |           |                     |           |
| hs-CRP, mg/L      | 3.451(2.019–5.899)  | <0.001    | 1.840(1.039–3.258)  | 0.037    |
| ≤2.03/>2.03       |                     |           |                     |           |
| ALB, g/L          | 0.603(0.376–0.968)  | 0.036     | 1.235(0.739–2.062)  | 0.421    |
| ≤43.4/>43.4       |                     |           |                     |           |
| HDL-C, mmol/L     | 0.446(0.271–0.734)  | 0.001     | 0.503(0.282–0.896)  | 0.020    |
| ≤11.7/>11.7       |                     |           |                     |           |
| APOPA1, g/L       | 0.613(0.381–0.988)  | 0.044     | 0.862(0.488–1.521)  | 0.607    |
| ≤1.28/>1.28       |                     |           |                     |           |
| HGB, g/L          | 0.416(0.252–0.688)  | 0.001     | 0.539(0.309–0.939)  | 0.029    |
| ≤139/>139         |                     |           |                     |           |
| PLR                | 1.674(1.036–2.705)  | 0.035     | 1.265(0.706–2.265)  | 0.430    |
| ≤141.08/>141.08   |                     |           |                     |           |
| NLR                | 1.805(1.114–2.926)  | 0.016     | 0.839(0.455–1.547)  | 0.574    |
| ≤2.60/>2.60       |                     |           |                     |           |
| LMR                | 0.374(0.223–0.627)  | <0.001    | 0.531(0.293–0.962)  | 0.037    |
| ≤3.67/>3.67       |                     |           |                     |           |

Validation of the predictive accuracy of nomograms for OS

In the validation cohorts, the C-index of the nomogram for predicting OS was 0.831 (95% CI, 0.783 – 0.879), and the calibration curve showed a good relation between the nomogram prediction and actual observation in the probability of 1-year, 3-year and 5-year survival (Figure 2d, 2e, and 2f).

Comparison of predictive accuracy between nomogram, EBV DNA, and TMN staging systems

In the primary cohort, the C-index of nomogram was 0.800 (95% CI = 0.751 to 0.849), which was higher than the C-index of TMN stage, EBV DNA and TMN stage + EBV DNA, with values of 0.672 (95% CI = 0.620 to 0.724, P < 0.001), 0.668 (95% CI = 0.604 to 0.732, P < 0.001), and 0.732 (95% CI = 0.675 to 0.789, P < 0.001), respectively. In the validation cohort, significant differences were observed in the C-index between the nomogram and others (0.831 vs. TMN stage: 0.716; EBV DNA: 0.685; and TMN stage + EBV DNA; 0.760; P < 0.001 for all, respectively), and all C-indexes were significantly lower compared to that of the nomogram. The results are presented in Table 3.

The decision curve analysis

In the decision curve analysis, the y-axis indicated the net benefit, which was calculated by summing the benefits (true positives) and subtracting the harms (false positives). The nomogram model (black dotted line) had the highest net benefit when compared with the TNM staging system (red dotted line). The straight line represented the assumption that all patients will die, and the horizontal line represented the assumption that no patients will die. Decision curve analysis showed that the nomogram had a higher net clinical benefit compared to TMN stage, EBV DNA and TMN stage + EBV DNA across a wider range of threshold probabilities for predicting OS in the primary cohort (Figure 3a) and in the validation cohort (Figure 3b), respectively.

Risk stratification of OS

Based on the cut-off values of the total points determined by the X-tile program [9], patients were subdivided into a low-risk group (3.25–19.00), an intermediate-risk group (19.25-25.50), and a high-risk group (25.75-33.75) for OS in primary, and validation cohort. The OS rates among the 3 risk groups in the primary cohort were 93.8%, 76.2%, and 34.9% (p < 0.001, Figure 4a), respectively. Likewise, significant differences were observed for OS in the validation cohort (OS: 91.9% for the low-risk group, 59.9% for the intermediate-risk group, and 26.1% for the high-risk group).
group; \( P < 0.001 \), Figure 4b). This stratification could effectively discriminate the survival outcomes for the three proposed risk groups in both primary and validation cohorts.

**Discussion**

In the present study, we successfully established a nomogram model for predicting OS in patients with NPC, by incorporating hematological risk factors and clinical characteristics. Our nomogram model showed improved prognostic accuracy in NPC patients.

Compared with the current staging system, our model showed predictive accuracy and discriminative ability. Although in previous studies nomograms were established for predicting NPC survival, our study had several advantages compared to other reports. In nomogram models described by Tang et al.\[10\] and Yang et al.\[11\], nomogram models, they not included these common systemic inflammation parameters, such as NLR, PLR and LMR were not considered. Systemic inflammation has been shown to be involved in the initiation, development, and progression of several types of cancers [12-13]. Considering importance of inflammation in the prognosis of cancer, we investigated the prognostic factors including several systemic inflammation parameters, such as NLR, PLR and LMR. Multivariate analysis showed that only LMR was an independent risk prognostic factors in NPC patients. The addition of LMR into our model, combined with other biomarkers provided a good model to predict OS. Moreover, patients were divided into three distinct risk groups for OS based on total points of the nomogram. The Kaplan-Meier survival curves of OS separated very well both in primary cohorts and validation cohort. These findings indicated that maybe a higher total point scores were related to a higher risk of death. Therefore, different treatment strategies and active surveillance could be followed in patients in the three groups.

![Nomogram model predicting 1-, 3- and 5-year OS in NPC patients.](http://www.ijbs.com)

The nomogram was used summing the points identified on the points scale for each variable. The total points projected on the bottom scales indicate the probability of 1-, 3- and 5-year survival. a: The nomogram of primary cohort; b: The nomogram of validation cohort;
Table 3. The C-indexes of nomograms, TNM stage, EBV DNA, and TNM stage + EBV DNA for prediction of OS in the primary cohort and validation cohort

| Factors                        | Primary cohort | Prospective validation cohort |
|--------------------------------|----------------|-------------------------------|
|                                | C-index (95% CI) | P                | C-index (95% CI) | P                |
| Nomograms                      | 0.800 (0.751-0.849) | <0.001          | 0.831 (0.783-0.879) | <0.001           |
| TNM stage                      | 0.672 (0.620-0.724) | <0.001          | 0.716 (0.653-0.779) | <0.001           |
| EBV DNA                        | 0.668 (0.604-0.732) | <0.001          | 0.685 (0.630-0.740) | <0.001           |
| TNM stage + EBV DNA            | 0.732 (0.679-0.789) | <0.001          | 0.760 (0.704-0.816) | <0.001           |

Nomogram: including eight risk factors (Age, TNM stage, pretreatment hs-CRP, LDH, HDL-C, HGB, LMR and EBV DNA); C-index = concordance index; CI = confidence interval; EBV DNA = Epstein-Barr virus DNA; P values are calculated based on normal approximation using function rcorr.cens in Hmisc package.

Based on the multivariate analysis results, we identified and incorporated 8 independent clinical factors into the nomogram, including age, TNM staging system, EBV-DNA, LDH, hs-CRP, HDL-C, HGB and LMR. In line with previous studies, each of these markers were associated with prediction of outcomes in NPC patients. In our nomogram, TNM staging system and EBV DNA contributed the most to predicting OS of NPC patients. It has been widely accepted that the TNM staging system was the most important prognostic factor for NPC patients [14]. Pretreatment of EBV DNA has been shown a useful prognostic factor for the clinical management of NPC, and has been used to evaluate the disease development and treatment effectiveness [15-16]. Liaw et al. was the first to report the relationship between pretreatment with serum LDH and NPC [17]. Until now, many studies have shown that the LDH level at diagnosis is of prognostic significance in NPC patients [17-19]. Serum hs-CRP levels were associated with the acute phase of inflammation, which have been demonstrated to be correlated with a poorer prognosis in NPC patients [20]. Moreover, high-density serum lipoproteins play a fundamental role in supplying cholesterol to tumors, potentially via receptor-mediated mechanisms [21], and predicted outcome in cancer patients [22-23]. Several studies suggested that anemia correlated with a detrimental tumor oxygenation status [24-25], therefore HGB appeared to affect cancer survival. LMR reflected the diverse effects of monocytes and lymphocytes on tumor progression, which was a significant predictor of prognosis in NPC [26].

Many studies have shown that several molecular markers were associated with the survival of NPC patients, including epidermal growth factor receptor (EGFR) [27], long noncoding RNA [28] and microRNAs [29]. However, these prognostic factors were not included in our model, because measuring molecular markers were not routinely tested in our institution. Moreover, the high costs of molecular testing, as well as the resulting increasing patients' economic burden were reasons not to include these prognostic factors. Thus, It was not suitable for the application of basic medical institutions in China. So our nomogram was a simple, inexpensive and easily measurable tool to predict OS of NPC patients.
The nomogram showed excellent discrimination ability, which was better than the TNM staging system, EBV DNA, and TNM stage + EBV DNA. The Harrell’s C-index of the nomogram was 0.800, which was higher than that of the TNM stage (0.672), EBV DNA (0.668), and TNM stage + EBV DNA (0.732) in the primary cohorts. Similar results were found in the validation cohorts. The decision curve analysis results also showed that our newly established nomogram model had a higher overall net benefit, compared to the traditional TNM staging system, EBV DNA, and TNM stage + EBV DNA across a wide range of threshold probabilities. Thus, the above results indicated that our nomogram was a reliable and precise prognostic tool to predict OS in NPC patients.

Although our nomogram represented a helpful tool for clinicians to choose and plan treatment strategies for NPC patients, our study has several limitations. First, like all retrospective study analysis, there may be a potential source for selection bias. Second, our study only evaluated the prognostic values for predicting the OS in NPC patients, and the effect of our nomogram for predicting disease-free survival (DFS) in NPC patients was not evaluated. It was better clinical application that nomogram combined with OS and DFS. Third, our sample size was relatively small and the samples were obtained from a single center. Thus, it is warranted to obtain a larger sample size from other institutes to validate the model.

In conclusion, we have established a predictive nomogram for OS in the NPC patients, which was more accurate and precise in predicting the OS compared with the traditional TNM staging system and EBV-DNA alone. The model is simple, inexpensive, and useful for clinicians in decision-making and individual patient counselling. To confirm our findings, additional studies are required using a larger, multi-center study population.
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Author contributions

All authors contributed to this manuscript, including conception and design (JPL, SLC), acquisition of data (SGP), analysis and interpretation of data (SX), material support (YJL), study supervision (XH, HC), and writing, review and revision of the manuscript (JPL, SLC, SGP, SX, XH, HC).

Competing Interests

The authors have declared that no competing interest exists.

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