A comparative study between local bone graft with or without cages in unilateral posterior lumbar interbody fusion (PLIF): a retrospective study

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Research article

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Abstract

Objective

A retrospective study of the clinical and radiological results between local bone graft with a cage and without cage in patients treated with unilateral fixation and posterior lumbar interbody fusion surgery.

Methods

A total of 52 patients who underwent PLIF in our institution were evaluated from January 2015 to January 2018. 30 of these patients received PLIF with local bone graft combined with using one cage, and 22 patients received PLIF with local bone graft without using cage. The clinical data and perioperative complications of the two groups were recorded. X-ray were taken before, after operation and at the end of follow-up to calculate the height of intervertebral disc and the fusion rate. SUK’s criteria were used to evaluate the quality of spinal fusion at the follow-up time. The results between the cage and non-cage group were compared.

Results

There was no statistical difference in baseline data between the two groups, and the mean follow-up time was 18.43 months in cage group and 17.50 months in non-cage group ($P = 0.553$). In additions, the significant difference was not found in the comparison of perioperative evaluation data between the two groups, such as operation time ($P = 0.299$), blood loss ($P = 0.342$) and incidence of complications ($P = 1.000$). Furthermore, the significant difference of VAS score cannot be found in preoperation ($P_{\text{leg}} = 0.731$, $P_{\text{lowback}} = 0.786$), postoperation ($P_{\text{leg}} = 0.534$, $P_{\text{lowback}} = 0.725$) and the final follow-up ($P_{\text{leg}} = 0.654$, $P_{\text{lowback}} = 0.362$) between the two groups. The same results were also obtained in the comparison of ODI index ($P_{\text{pre}} = 0.682$, $P_{\text{final}} = 0.712$) and intervertebral height ($P_{\text{post}} = 0.363$, $P_{\text{final}} = 0.094$). The final fusion rates were 96.7% (cage group) and 86.4% (non-cage group) respectively, and there was no statistical difference ($P = 0.553$).

Conclusion

Local bone graft has the same advantages as a cage in unilateral PLIF. Comparing with local bone graft using cage, we believe that the local bone graft is a more ideal way in unilateral PLIF, and decrease operation cost.

Introduction
Degenerative disease of lumbar intervertebral disc is a common disease in adults\textsuperscript{[1]}, and often leads to symptoms such as low back, lower extremity pain or sensory disorders. Some patients with severe symptoms often need surgical treatment\textsuperscript{[2]}. According to related studies, degenerative lumbar disc herniation is caused by the decrease of water content of intervertebral disc and the decrease of tensile strength of annulus fibrosus\textsuperscript{[3]}. Lumbar interbody fusion is suitable for patients with pain or vertebral instability\textsuperscript{[4]}. Posterior lumbar interbody fusion (PLIF) has always been considered as a classical surgical method for the treatment of this lumbar degenerative disease\textsuperscript{[5]}. In order to perform posterior lumbar interbody fusion (PLIF), most of the bones needed in traditional fusion come from autogenous iliac bone\textsuperscript{[6]}. However, autogenous iliac bone transplantation often brings many complications, among which long-term pain in the ilium is the most frequently reported\textsuperscript{[7]}. In recent years, intervertebral fusion cage has been widely used, and it has been widely reported in the relevant literature that good clinical results have been obtained\textsuperscript{[8]}. However, the non-absorbable character of cage itself may increase the risk of long-term complications and even require surgical intervention to remove implants\textsuperscript{[9,10]}. In order to avoid these problems, Simmons et al.\textsuperscript{[6,11–13]} adopted local bone graft instead of grafting with cage and achieved the same effect.

The purpose of this study was to determine whether local bone grafting and cage bone grafting have the same effect in the treatment of lumbar disc herniation with unilateral PLIF.

**Materials And Methods**

A total of 52 patients who underwent PLIF in our institution (the affiliated hospital of the college) were evaluated from January 2015 to January 2018. The study protocol was ethically approved by the Human Research Ethics Committee of the institution. Prior written informed consent was obtained from all study participants. 30 of these patients received PLIF with local bone graft combined with using one cage, and 22 patients received PLIF with local bone graft without using cage. Explain the disadvantages and advantages of the operation to the patient before the operation, and the patient will choose the operation method. The clinical data of the patients were recorded and displayed in Table 1. Inclusion criteria: disc hernia result in persistent low back pain and/or sciatica after routine conservative treatment (more than 6 months); Unilateral lumbar disc herniation confirmed by imaging examination; Medical records and imaging images are complete and reliable.

**Surgical procedure**

All patients were treated with general anesthesia and prone position after complete anesthesia. The soft tissue was cut layer by layer until the spinous process and bilateral laminae were fully exposed. The spinous process and lamina were resected with an osteotome to destroy the superior and inferior articular process joints and cartilage of the vertebral body. The removed lamina and spinous process were cut into small bone slices by the assistant. In cage group, bone slices were first implanted into the intervertebral disc space, and then cage filled with local bone transplantation was implanted into the intervertebral disc.
space. In the non-cage group, the smaller bone pieces were inserted into the intervertebral disc space, and then the large blocks were implanted. Finally, pedicle screws were placed and connecting rods were installed.

**Follow-ups and radiological assessment**

The operation time, blood loss and the complications were noted after operation. One week after operation, the height of intervertebral space was recorded by X-ray, and VAS score were collected at the same time. The degree of intervertebral fusion was assessed by dynamic X-ray at the final follow-up, and the SUK's criteria[^14] were used to evaluate the quality of spinal fusion (Table 1).

Table 1 The criteria for judging bone graft fusion

| Grade  | Description                                                                 |
|--------|-----------------------------------------------------------------------------|
| Excellent | continuous trabecular formation could be seen in the fusion segment, the relative motion of the dynamic position X-ray was less than 4 mm |
| good    | fuzzy bone trabeculae could be seen in the fusion segment, the relative activity of the dynamic position X-ray was less than 4 mm |
| poor    | no bone trabeculae were found in the fusion segment, the relative activity of the dynamic position X-ray > 4 mm |

**Statistical analysis**

Statistical analysis was performed by using SPSS 24.0, and all data were expressed as mean ± standard deviation (SD). The data of VAS score, ODI index, intervertebral height, operation time and blood loss were statistically analyzed by Student's t test. The incidence of complications and fusion rate were calculated by Fisher exact probability test. A value of \( P < 0.05 \) was considered as statistically significant.

**Results**

There was no significant difference in clinical baseline data such as age(\( t = 1.448, P = 0.157 \)), sex(\( \chi^2 = 0.071, P = 0.790 \)), BMI (\( t = 0.500, P = 0.619 \)), diseased segment(\( \chi^2 = 0.180, P = 0.964 \)) and preoperative pain score(VAS score of low back pain: \( t = 0.273, P = 0.786 \); VAS score of leg: \( t = 0.346, P = 0.731 \)) between the two groups. The follow-up period ranged from 11 to 32 months (18.43 ± 5.86) in cage group and 12 to 30 months (17.50 ± 5.14) in non-cage group respectively. The difference was not found to be significant between the two groups (\( P = 0.553 \)). The fusion rates of the two groups were 96.7% (cage) and 86.4% (non-cage) respectively, and there was no statistical difference between the two group (\( P = 0.299 \)) (Table 2).

Table 2. Demographic characteristics of the two groups

[^14]: SUK's criteria
|                          | Cage group (n = 30) | Non- cage group (n = 22) | P   |
|--------------------------|---------------------|--------------------------|-----|
| Age (years)              | 53.33 ± 10.19       | 59.00 ± 16.15            | 0.157|
| Gender                   |                     |                          |     |
| Male                     | 18                  | 14                       | 0.790|
| Female                   | 12                  | 8                        |     |
| Segment                  |                     |                          |     |
| L3/4                     | 4                   | 3                        | 0.964|
| L4/5                     | 14                  | 11                       |     |
| L5/S1                    | 12                  | 8                        |     |
| BMI (kg/m²)              | 24.05 ± 2.87        | 24.39 ± 1.63             | 0.619|
| Follow-up time (months)  | 18.43 ± 5.86        | 17.50 ± 5.14             | 0.553|
| Incidence of complications (%) | 3.3%               | 4.5%                     | 1.000|
| Fusion rate (%)          | 96.7%               | 86.4%                    | 0.299|

**Operation time and blood loss**

The mean operation time was 73.80 ± 9.60 min (ranged 48.00–96.00 min) with an average blood loss volume of 292.33 ± 20.63 ml (ranged 250.00-330.00 ml) in cage group. The average operation time was 70.91 ± 10.08 min (ranged 42.00–90.00 min) and the blood loss volume were 304.55 ± 25.95 ml (ranged 240.00-340.00 ml) in non- cage group. There was no significant difference in the above data between the two groups (P = 0.299 and P = 0.064).

**Complications, VAS score and the height of intervertebral space**

One complication occurred in each of the two groups, and both of them were superficial wound infection. No significant difference was found for the perioperative complications between the two groups (P = 1.000). The mean VAS score of low back pain was 3.90 ± 0.88 (ranged 2.00–5.00) and leg pain was 3.03 ± 1.03 (ranged 1.00–6.00) in cage group. The average VAS score of low back pain was 4.00 ± 1.15 (ranged 1.00–6.00) and leg pain was 3.23 ± 1.19 (ranged 1.00–5.00) in non- cage group. There was no significant difference in the above VAS score between the two groups (P = 0.725 and P = 0.534). Same as before, no significant difference was found for the height of intervertebral space between the cage (10.26 ± 0.66 mm) and non- cage group (10.09 ± 0.68 mm). The mean VAS score of final follow-up of low back pain was 0.97 ± 0.67 (ranged 0.00–2.00) and leg pain was 1.07 ± 0.52 (ranged 0.00–2.00) in cage group. The average VAS score of low back pain was 1.13 ± 0.64 (ranged 0.00–2.00) and leg pain was 1.00 ±
0.53 (ranged 1.00–2.00) in non-cage group. There was no significant difference in the final follow-up VAS score between the two groups ($P = 0.362$ and $P = 0.654$). Meanwhile, the significant difference was not found for the ODI index and intervertebral space height among the cage (ODI: 11.83 ± 3.28, intervertebral height: 9.91 ± 0.47 mm) and non-cage group (ODI: 11.50 ± 3.08, intervertebral height: 9.65 ± 0.61 mm).

**Discussion**

Posterior lumbar interbody fusion (PLIF) is an effective surgical method for the treatment of degenerative lumbar disc herniation[5]. In 1991, Hambly et al.[15] took the lead in using unilateral pedicle screw combined with autogenous bone graft fusion to treat patients with lumbar degeneration, and the final follow-up of the patients with bone graft fusion rate was 85%. A number of subsequent studies have shown that unilateral pedicle screw fixation is weaker than bilateral fixation, but it can effectively reduce the stress shielding of fusion segments and create more favorable conditions for fusion. and it also has obvious advantages in operation time, blood loss, hospitalization cost, etc.[16]

The effective fusion of spinal intervertebral bone graft in PLIF operation is an important index to evaluate the long-term effect. some studies suggest that successful intervertebral bone graft fusion will bring better functional results and better overall satisfaction to patients[17, 18]. Christensen et al.[8, 18] believe that once unstable segmental fusion is successful, the symptoms of low back pain can be significantly alleviated. In spinal fusion surgery, a suitable graft material is often needed to induce the formation of new bone at the operative site[19]. With the development of medical biomaterials technology, interbody fusion cage has been widely used in clinic, and the purpose of cage is to provide a sufficient fusion environment for bone graft, so as to accelerate postoperative rehabilitation and fusion[20]. Cage can be easily sterilized and stored, which greatly reduces the risk of diseases transmitted by allogeneic bone transplantation. In the case of vertebral body collapse caused by intervertebral disc degeneration, interbody fusion with cage can effectively share the load of the anterior column of the vertebral body and restore the height of the intervertebral space[21]. Although numerous analyses have confirmed that the use of cage has achieved good clinical results, cage still has many inherent shortcomings. Such as the insertion of fusion cage to reduce the available contact area of bone fusion, increase the probability of infection, increase the cost of hospitalization and so on. Closkey[22] suggest that in order to achieve good bone graft fusion effect, more than 30% of the endplate surface area should be in direct contact with the local bone, and the use of cage often reduces the bone contact area in the fusion area. Studies have also shown that cage is a foreign body that may increase the risk of infection or immune problems in patients[23]. Lazennec[24] has reported the risks of cage subsidence and corrosion in long-term animal model studies. In addition, cage is still an obstacle to use in developing countries such as China because of its high cost. However, the traditional intervertebral bone graft materials are often taken from the patient's autogenous ilium. although this method has the advantages of low surgical cost and increased bone contact area, some studies have pointed out that this method is often accompanied by a serious incidence of donor sites. Up to 6%[23] of patients have persistent pain associated with the donor site of
the transplanted bone\cite{7}. Simmons\cite{11} suggested that the laminae and spinous processes removed during the operation be given to a qualified technician who cuts them into corticocancellous pieces measuring 2–4 mm, ensuring that each chip has a cancellous side. These chips are implanted into the intervertebral disc space. Recent studies have also shown that local bone fragments obtained by laminectomy are used as bone grafts for PLIF, resulting in the same fusion rate as autogenous iliac bone\cite{6,12}. However, some people do not agree with this view. Abdul\cite{21} found that the increase of intervertebral disc height and VAS score in the intervertebral fusion cage group was significantly better than that in the local bone graft group in the treatment of lumbar degenerative spondylolisthesis(\textdegree/\textdegree) with PLIF operation.

Though the similar clinical results were obtained from both of the groups for single level PLIF in the present study, we still believe that local bone grafts without cages have more advantages than those with cages. The main reasons are as the following: The non-use of cage can significantly reduce the cost of surgery for patients, which is particularly important for many developing countries. Although cage has good biocompatibility, it is still a foreign matter and has potential risks.

In conclusion, local bone graft has the same advantages as bone graft fusion cage in unilateral PLIF. Comparing with local bone graft using a single cage, we believe that the purely local bone graft is a more ideal way in unilateral PLIF.

**Abbreviations**

- Posterior lumbar interbody fusion (PLIF)
- Visual analog scale (VAS)
- Oswestry disability index (ODI)
- Body mass index (BMI)

**Declarations**

**Ethics approval and consent to participate**

This study has been approved by the Institutional Review Board at the Affiliated Hospital of North Sichuan Medical College. Each author certifies that all investigations were conducted in accordance with ethical principles. The participant involved in the study gave their informed consent and signed and informed consent form.

**Consent for publication**

Written consent to publish this information was obtained from study participants. Proof of consent to publish from study participants can be requested at any time.
Availability of data and materials

All data analyzed during this study are included within the manuscript. The datasets used and/or analyzed during this study are available from the first author on reasonable request.

Competing interests

The authors announce that they do not have any competing interests.

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Authors’ contributions

JFF, QC and JTH designed this study. JFF, QC and YY were responsible for gathering, analyzing and interpreting data, and writing the manuscript. JTH, QSZ and KXL provided the valuable case, performed the operation and made contributions to revising the manuscript for crucial intellectual content. The final version of the text has been reviewed and approved by all authors.

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Figures

Figure 1
Cage group: Pictures A, B and C show the preoperative intervertebral space and herniated disc. D, E and F show the X-ray images of the postoperative and the final follow-up respectively.

Non-cage group: Pictures H, I and J show the preoperative intervertebral space and herniated disc. K, L and M show the X-ray images of the postoperative and the final follow-up respectively.

**Figure 2**

Non-cage group: Pictures H, I and J show the preoperative intervertebral space and herniated disc. K, L and M show the X-ray images of the postoperative and the final follow-up respectively.
Figure 3

Box-plot showed that there was no statistical difference in operation time and blood loss between Cage and non-Cage group.
Figure 4

There was no significant difference of VAS score in preoperation, postoperation and the final follow-up between Cage and non-Cage group.

Figure 5

There was no significant difference of ODI and intervertebral height between Cage and non-Cage group.