Iterative Depth-First Search for Fully Observable Non-Deterministic Planning

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Abstract

Fully Observable Non-Deterministic (FOND) planning models uncertainty through actions with non-deterministic effects. Existing FOND planning algorithms are effective and employ a wide range of techniques. However, most of the existing algorithms are not robust for dealing with both non-determinism and task size. In this paper, we develop a novel iterative depth-first search algorithm that solves FOND planning tasks and produces strong cyclic policies. Our algorithm is explicitly designed for FOND planning, addressing more directly the non-deterministic aspect of FOND planning, and it also exploits the benefits of heuristic functions to make the algorithm more effective during the iterative searching process. We compare our proposed algorithm to well-known FOND planners, and show that it has robust performance over several distinct types of FOND domains considering different metrics.

Introduction

Fully Observable Non-Deterministic (FOND) planning is an important planning model that aims to handle the uncertainty of the effects of actions (Cimatti et al. 2003). In FOND planning, states are fully observable and actions may have non-deterministic effects (i.e., an action may generate a set of possible successor states). FOND planning is relevant for solving other related planning models, such as stochastic shortest path (SSP) planning (Bertsekas and Tsitsiklis 1991), planning for temporally extended goals (Patrizi, Lipovetzky, and Geffner 2013) [Camacho et al. 2017] [Camacho and McIlraith 2019] [Camacho et al. 2018] [De Giacomo and Rubin 2018] [Brafman and De Giacomo 2019], and generalized planning (Hu and Giacomo 2011) [Bonet et al. 2017] [2020]. Solutions for FOND planning can be characterized as strong policies which guarantee to achieve the goal condition in a finite number of steps, and strong cyclic policies which guarantee to lead only to states from which a goal condition is satisfiable in a finite number of steps (Cimatti et al. 2003).

Existing FOND planning algorithms in the literature are based on a diverse set of techniques and effectively solve difficult tasks when the non-determinism of the actions must be addressed. Cimatti et al. (2003) and Kissmann and Edelkamp (2009) have introduced model-checking planners based on binary decision diagrams. Some of the most effective FOND planners rely on standard Classical Planning techniques by enumerating plans for a deterministic version of the task until producing a strong cyclic policy (Kuter et al. 2008) [Fu et al. 2011] [Mun, McIlraith, and Beck 2012] [Muise, McIlraith, and Belle 2014] [Muise, Belle, and McIlraith 2014]. There are also planners that efficiently employ AND/OR heuristic search for solving FOND planning tasks, such as MYND (Mattheij et al. 2010) and GRENDEL (Ramirez and Sardina 2014). Recently, Geffner and Geffner (2018) have proposed a SAT encoding for FOND planning, and an iterative SAT-based planner that effectively handles the uncertainty of FOND planning. Nevertheless, these FOND planners present some limitations. Some of these planners address the non-determinism of the actions more indirectly, whereas others rely on algorithms with sophisticated and costly control procedures, and others do not take advantage of fundamental characteristics of planning models. As a result, such FOND planners are not robust for dealing with some of the non-determinism aspects of FOND planning and task size.

In this paper, we introduce a novel iterative depth-first search algorithm that solves FOND planning tasks and produces strong cyclic policies. Our algorithm is based on two main concepts: (1) it is explicitly designed for solving FOND planning tasks, so it addresses more directly the non-deterministic aspect of FOND planning during the searching process; and (2) it exploits the benefits of heuristic functions to make the iterative searching process more effective. We also introduce an efficient version of our algorithm that prunes unpromising states in each iteration. To better understand the behavior of our proposed iterative depth-first search algorithm, we characterize its behavior through fundamental properties of FOND planning policies.

We empirically evaluate our algorithm over two FOND benchmark sets: a set from IPC (Bryce and Bullot 2008) and [Muise, McIlraith, and Beck 2012], and a set containing new FOND planning domains, proposed by Geffner and Geffner (2018). We show that our algorithm outperforms some of the existing state-of-the-art FOND planners on planning time and coverage, especially for the new FOND domains. We also show that the pruning technique makes our algorithm competitive with existing FOND planners. Our contributions open new research directions in FOND planning, such as the design of more informed heuristic functions, and the development of more effective search algorithms.
Background

**FOND Planning**

A Fully Observable Non-Deterministic (FOND) planning task (Mattmüller et al. [2010]) is a tuple \( \Pi = (V, s_0, s_*, A) \), where \( V \) is a set of state variables, and each variable \( v \in V \) has a finite domain \( D_v \). A partial state \( s \) maps variables \( v \in V \) to values in \( D_v \), \( s[v] \in D_v \), or to a undefined value \( s[v] = \perp \). \( \text{vars}(s) \) is the set of variables in \( s \) with defined values. If every variable \( V \) in \( s \) is defined, then \( s \) is a state. \( s_0 \) is a state representing the initial state, whereas \( s_* \) is a partial state representing the goal condition. A state \( s \) is a goal state if and only if \( s \equiv s_* \). A is a finite set of non-deterministic actions, in which every action \( a \in A \) consists of \( a = (\text{pre}, \text{EFFS}) \), where \( \text{pre}(a) \) is a partial state called pre-conditions, and \( \text{EFFS}(a) \) is a non-empty set of partial states that represent the possible effects of \( a \). A non-deterministic action \( a \in A \) is applicable in a state \( s \) if \( s \equiv \text{pre}(a) \). The application of an effect \( \text{eff} \in \text{EFFS}(a) \) to a state \( s \) generates a state \( s' = \text{SUCC}(s, \text{eff}) \) with \( s'[v] = \text{eff}(v) \) if \( v \in \text{vars}(\text{eff}) \), and \( s'[v] = s[v] \) if not. The application of \( \text{EFFS}(a) \) to a state \( s \) generates a set of successor states \( \text{SUCCS}(s, a) = \{ \text{SUCC}(s, \text{eff}) \mid \text{eff} \in \text{EFFS}(a) \} \). We call \( a \in A \) simple deterministic if \( \text{EFFS}(a) \) has size one.

A solution to a FOND planning task \( \Pi \) is a policy \( \pi \) which is formally defined as a partial function \( \pi : S \rightarrow A \cup \{ \bot \} \), which maps non-goal states of \( S \) into actions, such that an action \( \pi(s) \) is applicable in the state \( s \). A \( \pi \)-trajectory with length \( k - 1 \) is a non-empty sequence of states \( \langle s_1, s_2, \ldots, s_k \rangle \), such that \( s_i^{i+1} \in \text{SUCCS}(s_i, \pi(s_i)) \), \( \forall i \in \{1, 2, \ldots, k - 1 \} \). A \( \pi \)-trajectory is called empty if it has a single state, and thus length zero. A policy \( \pi \) is closed if any \( \pi \)-trajectory starting from \( s_0 \) ends either in a goal state or in a state defined in the policy \( \pi \). A policy \( \pi \) is a strong policy for \( \Pi \) if it is closed and no \( \pi \)-trajectory passes through a state more than once. A policy \( \pi \) is a strong cyclic policy for \( \Pi \) if it is closed and any \( \pi \)-trajectory starting from \( s_0 \) which does not end in a goal state, ends in a state \( s' \) such that exists another \( \pi \)-trajectory starting from \( s' \) ending in a goal state. Note that a strong cyclic policy may re-visit states infinite times, in a cyclic way, but the fairness assumption guarantees that it will almost surely reach a goal state at some point along the execution. The assumption of fairness defines that all action outcomes in a given state will occur infinitely often (Cimatti et al. 2003).

**Determination and Heuristics for FOND Planning**

A determination of a FOND planning task \( \Pi \) defines a new FOND planning task \( \Pi^{\text{DET}} \) where all actions are deterministic. Formally, \( \Pi^{\text{DET}} = (V, s_0, s_*, A^{\text{DET}}) \) is a task where \( A^{\text{DET}} \) is a set of deterministic actions with one action \( a' \) for each outcome \( \text{eff} \in \text{EFFS}(a) \) of all actions in \( a \in A \). A s-plan for \( \Pi^{\text{DET}} \) is a sequence of actions that when applied to \( s \) reaches a goal state. A s-plan is optimal if it has minimum cost among all s-plans. A solution for \( \Pi^{\text{DET}} \) is a s-plan, \( h : S \rightarrow \mathbb{R} \cup \{\infty\} \) maps a state \( s \) to its \( h \)-value, an estimation of the cost of a s-plan. A perfect heuristic \( h^* \) maps a state \( s \) to its optimal cost plan or \( \infty \), if no plan exists. A heuristic is admissible if \( h(s) \leq h^*(s) \) for all \( s \in S \). Delete-relaxation heuristics (Bonet and Geffner 2001; Hoffmann and Neube 2001) can be efficiently used in FOND planning by applying determination (Mattmüller 2013). Other types of heuristics for FOND planning have been proposed in the literature, such as pattern-database heuristics (Mattmüller et al. 2010), and pruning techniques (Winterer, Wehrle, and Zeit [2016], [Winterer et al. 2017]).

**FOND Planners**

One of the first FOND planners in the literature was developed by Cimatti et al. (2003), and it is called MBP (Model-Based Planner). MBP solves FOND planning tasks via model-checking, and it is built upon binary decision diagrams (BDDs). GAMES (Kissmann and Edelkamp 2009), the winner of the FOND plan track at IPC 2008, is also based on BDDs, but GAMES has been shown to be much more efficient than MBP.

MYND (Mattmüller et al. 2010) is a FOND planner based on an adapted version of LAO* (Hansen and Zilberstein 2001), a heuristic search algorithm that has theoretical guarantees to extract strong cyclic solutions for Markov decision problems. NDP (Kuter et al. 2008) makes use of Classical Planning algorithms to solve FOND planning tasks. FIP (Fu et al. 2011) is similar to NDP, but the main difference is that FIP avoids exploring already explored/solved states, being more efficient than NDP. PRP (Muir, McIlraith, and Beck 2012) is one of the most efficient FOND planners in the literature, and it is built upon some improvements over the state relevance techniques, such as avoiding dead-ends states. The main idea of these planners is selecting a reachable state \( s \) by the current policy that is still undefined in the current policy. Then, the planner finds a s-plan with \( \Pi^{\text{DET}} \) and incorporates the s-plan into the policy. The planner repeats this process until the policy is strong cyclic, or it finds out that it is not possible to produce a strong cyclic policy from the current policy, and then it backtracks. Since these planners find s-plan for \( \Pi^{\text{DET}} \) which do not consider the non-deterministic effects, they can take too much time to find that the current policy can not become a strong cyclic policy, or they can add actions to a policy that require much search effort to become a strong cyclic policy.

GRENDEL (Ramirez and Sardinha 2014) is a FOND planner that combines regression with a symbolic fixed-point computation for extracting strong cyclic policies. Most recently, Geffner and Gelfner (2018) developed FONDSAT, an iterative SAT-based FOND planner that is capable to produce strong and strong cyclic policies for FOND planning tasks.

**Iterative Depth-First Search Algorithm for FOND Planning**

In this section, we propose a novel iterative depth-first search algorithm called IDFS that produces strong cyclic policies for FOND planning tasks. IDFS performs a series of bounded depth-first searches that consider the non-determinism aspect of FOND planning during the iterative searching process. IDFS produces a strong cyclic policy in a bottom-up way and only adds an action to the policy if it determines that the resulting policy with the additional action has the potential to become a strong cyclic policy without exceeding the current search-depth bound.
We now present the bound with a greater estimate than with the current $\xi$ actions with unitary cost.

Reachability and strong cyclic policies are set from a state $s$.

**Definition 1.** A policy $\pi$ is a **partial strong cyclic policy** from a state $s$ of a FOND task $T$ for a set $A$ of primary target states and a set $B$ of secondary target states, iff $A$ is reachable from $s$ in $\pi$, and $\pi$ is sinking to $B$. (We omit $A$ and $B$, when the context is clear.)

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### Evaluation Function $F$

A heuristic function $h(s)$ estimates the length of a trajectory from the state $s$ to any goal state. It can assess whether a search procedure can reach a goal state without exceeding a search-depth bound. We define the $f$-value of a state $s$ as $f(s) = g(s) + h(s)$, with $g(s)$ being the search depth from $s_0$ to $s$. In this paper, we assume that all actions have a uniform action cost equal to one.

### The IDFS Algorithm

We now present the IDFS, and Algorithm 1 formally shows its pseudo-code.

**Main Iterative Loop (Lines 1-8)** IDFS performs a series of bounded-depth-first searches, called iterations to solve a FOND planning task $T$. IDFS assumes that $h$ is a heuristic function for the deterministic version of the task $T$. Prior to the first iteration, IDFS initializes the bound with the estimated value of heuristic function $h$ of the initial state $s_0$. At each iteration, IDFS aims to produce a solution by searching to a depth of at most bound. The main loop receives a flag indicating if the iteration produced a solution from state $s_0$. If the flag is solved, then $\pi$ is a strong cyclic policy for task $T$, and IDFS returns it. If the flag is unsolved, then IDFS could not produce a strong cyclic policy for task $T$ with the current bound. Thus, IDFS assigns to bound the value of the global variable nextBound. The value of nextBound is the minimum estimate ($F_{\xi}$ or $g$-value+1) of a generated but not expanded set of successors. If no set of successors with a greater estimate than bound is generated, the main loop returns unsolved. This general strategy of depth-first search bounded by estimates is inspired by the Iterative Deepening A* algorithm by Korf (1985).

**Recursion (Lines 9-34)** IDFS iteratively tries to produce a strong cyclic policy for task $T$ in a bottom-up way, using a recursive procedure called IDFS$_R$. Definition 1 formally defines the concept of partial strong cyclic policy, which we use to explain the behavior of IDFS.

**Definition 1.** A policy $\pi$ is a **partial strong cyclic policy** from a state $s$ of a FOND task $T$ for a set $A$ of primary target states and a set $B$ of secondary target states, iff $A$ is reachable from $s$ in $\pi$, and $\pi$ is sinking to $B$. (We omit $A$ and $B$, when the context is clear.)

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**Algorithm 1: IDFS**

// Main Iterative Loop.

```plaintext
1 IDFS($s_0$):
2   bound := $h(s_0)$, nextBound := $\infty$
3   while bound $\leq |S|$ do
4     flag, $\pi$ := IDFS$_R(s_0, \emptyset, \emptyset, \emptyset)$
5     if flag = solved then
6       return $\pi$
7     nextBound := nextBound, bound := $\infty$
8 return unsolved
```

// Recursion.

```plaintext
9 IDFS$_R(s, Z, Z_*, \pi)$:
10   // Base Cases.
11   if $s = s_0$ or $\pi(s) = \emptyset$ or $s \in Z_*$ then
12      return solved, $\pi$
13   if $s \in (Z \setminus Z_*)$ then
14      return unsolved, $\pi$
15 // Evaluate Actions.
16   for $a \in \text{applicableActions}(s)$ do
17     if $F_{\xi}(\text{Succs}(s, a)) > \text{bound}$ and $Z_* = \emptyset$ then
18       nextBound := min{nextBound, $F_{\xi}(\text{Succs}(s, a))}$
19       continue // Next action.
20     if $g(s) + 1 > \text{bound}$ then
21       nextBound := min{nextBound, $g(s) + 1$}
22       continue // Next action.
23 // Fixed Point.
24   $Z_\ast := Z_*, \pi' := \pi, M := \text{Succs}(s, a), M_* := \emptyset$
25   repeat
26     ReachFixedPoint := true
27     for $s' \in (M \setminus M_*)$ do
28       flag, $\pi' :=$ IDFS$_R(s', Z \cup \{s\}, Z_\ast, \pi')$
29       if flag = solved then
30         $M_* := M_* \cup \{s\}$
31         $Z_\ast := Z \cup \{s\}$
32         ReachFixedPoint := false
33 until ReachFixedPoint
34 if $M_* = M$ then
35   $\pi'(s) := a$
36 return solved, $\pi'$
```

- $A$ is reachable from $s$ in $\pi$ iff $s \in A$ or there is a $\pi$-trajectory starting from $s$ ending in a state of $A$ that does not include a state of $B \setminus A$.
- $\pi$ is sinking to $B$ iff any $\pi$-trajectory either goes through a state of $B$ or ends in a state $s'$, such that exists another $\pi$-trajectory starting from $s'$ ending in a state of $B$.

IDFS$_R$ aims to produce a partial strong cyclic policy from state $s$ of a FOND task $T$ by searching to a depth of at most the current bound. IDFS$_R$ takes as input four arguments: the state $s$, the set $Z$, the set $Z_*$, and a policy $\pi$. These arguments are set to empty in each iteration of the main iterative loop. The set $Z$ contains the ancestors of state $s$. The policy $\pi$ is the policy that IDFS has built up to the moment of the current call of IDFS$_R$. The set $Z_* \subseteq Z$ contains all ancestors of state $s$ that are not in $\pi$ and are ancestors of states in $\pi$. Note that, in this case, IDFS$_R$ has found a trajectory to a goal state for
all states in $Z_s$. If $\text{IDFS}_R$ returns SOLVED, then the returned policy is a partial strong cyclic policy from state $s$. The sets $A$ and $B$ of the partial strong cyclic policy are $A = S_s \cup S_0 \cup Z_s$ (primary target states) and the set $B = A \cup Z_s$ (secondary target states). $S_s$ is the set $\{ s \mid \pi(s) \neq 1 \}$, and $S_0$ the set $\{ s \mid s = s_0 \}$ of goal states. Since $A = B = S_s$ in the call of $\text{IDFS}_R$ in the main loop, the returned partial strong cyclic policy from state $s_0$ is a strong cyclic policy for task $\Pi$.

Consider the FOND planning task example of Figure 1 in which, $s_0$ is the initial state, $s_5$ is the only goal state, and there are three nondeterministic actions, applied in states $s_1$, $s_3$, and $s_{10}$. In the example, the current call of $\text{IDFS}_R$ is evaluating the state $s_{10}$ (with the current recursion path is in bold). In this call, the received policy $\pi$ contains the states $s_2$, $s_3$, $s_4$, $s_5$, and $s_8$ (in purple), i.e., $S_s = \{ s_2, s_3, s_4, s_5, s_8 \}$. The ancestors of state $s_{10}$ are the states $S_0$, $s_1$, and $s_5$, i.e., $Z_s = \{ s_0, s_1, s_5 \}$. The former two are in $Z_s = \{ s_0, s_1 \}$ (in green). Thus, the set $B$ of secondary target states includes states of $A$ and the state $s_9$.

**IDFS$_R$ Base Cases (Lines 10-13)** $\text{IDFS}_R$ first checks whether the current state $s$ of the recursion is either a primary target state ($s = s_0$, or $s \in Z_s$, or $\pi(s) = 1$), or a state $s \in Z_s$ which is not a primary target state. If the first case occurs, $\text{IDFS}_R$ returns SOLVED. If the second case occurs, it returns UNSOLVED. Both cases return policy unmodified.

**IDFS$_R$ Evaluate Actions (Lines 14-20)** If the base cases do not address the state $s$, $\text{IDFS}_R$ proceeds to attempt to solve it (Line 14). To optimize the search, $\text{IDFS}_R$ evaluates first the applicable actions with least $F_{\max}(\text{SUCCS}(s, a))$ and discards actions with $F_{\max}(\text{SUCCS}(s, a)) > \text{bound}$ and $Z_s = \{ \}$ (Line 15), then the set of successor states is discarded, and $F_\pi(\text{SUCCS}(s, a))$ is assigned to nextBound (Line 16) if nextBound was greater than it.

$\text{IDFS}_R$ verifies whether $Z_s = \{ \}$ because it aims to find at least one trajectory from $s$ to a primary target state in $A = S_s \cup Z_s \cup Z_{\pi(s)}$. Note that $F_\pi(\text{SUCCS}(s, a))$ aggregates $f$-values that only estimate the solution depth from $s_0$ through $\text{SUCCS}(s, a)$ to goal states. Thus, $F_\pi(\text{SUCCS}(s, a))$ can only be used to estimate the solution depth to a primary target state when $Z_s = \{ \}$, since it implies $A = S_s$.

If $Z_s = \{ \}$ the $g$-value the successor states $\text{SUCCS}(s, a)$ can be used to estimate the solution depth to a primary target state. In this case, if $g(n) + 1$ is greater than the current bound, the set of successor states is discarded, and $g(n) + 1$ is assigned to nextBound if nextBound was greater than it. If neither $F_\pi(\text{SUCCS}(s, a))$ nor $g(s)$ prevent the search to proceed, $\text{IDFS}_R$ evaluates the successor states $\text{SUCCS}(s, a)$.

**IDFS$_R$ Fixed Point (Lines 21-33)** $\text{IDFS}_R$ recursively descends into the successor states $\text{SUCCS}(s, a)$ of $s$ to determine whether it should or not add the mapping $s \rightarrow a$ to the policy $\pi$. Namely, it adds the mapping $s \rightarrow a$ to $\pi$ only if all the recursive calls on states of $\text{SUCCS}(s, a)$ returned SOLVED (Lines 31–32). If not, it discards the possibility of using the action $a$ on $s$, and proceeds to the next action.

Consider again the FOND planning task example of Figure 1. Assume that $\text{IDFS}_R$ reaches the point to evaluate the successor states $s_{11}$ and $s_{12}$ of $s_{10}$. Note that for $s_{10}$ the set of $A$ primary target states is $\{ s_0, s_1, s_2, s_3, s_4, s_5, s_6, s_8 \}$, and the set $B$ of secondary target states is $\{ s_0, s_1, s_2, s_3, s_4, s_5, s_6, s_8 \}$. $\text{IDFS}_R$ aims to produce a policy $\pi'$ such that $A$ is reachable from $s_{10}$ in $\pi'$, and $\pi'$ is sinking to $B$. To ensure that, $\text{IDFS}_R$ must find a trajectory from $s_{10}$ to a state in $A$ that does not include a state of $B \setminus A$. Thus, $\text{IDFS}_R$ analyzes all successors of $s_{10}$ to find such a trajectory. Before finding this trajectory, the arguments $\pi' := \pi, Z' := Z \cup \{ s \}$ and $Z'_s := Z_s$, passed to $\text{IDFS}_R$ when evaluating states $s_{11}$ and $s_{12}$ remaining unchanged.

Suppose the first recursive call evaluates $s_{12}$, thus the primary targets states for $s_{12}$ are $A$. Since $s_9$ is an ancestor of $s_{12}$ and $s_9 \notin A$, there is no trajectory from $s_{12}$ to a state of $A$ that does not include a state of $B \setminus A$. Thus, the recursive call will fail and return UNSOLVED. Next, $\text{IDFS}_R$ will proceed to the other successor state of $s_{10}$, namely $s_{11}$. If the recursive call on $s_{11}$ fails because of the bound, the algorithm will have analyzed all successors of $s_{10}$ without having any progress, as the set of successors states “already solved” $\mathcal{M}_s$ would not have changed, and thus a fixed-point would be reached, resulting in the action being discarded.

Suppose the recursive call on state $s_{11}$ does not fail, and it returns SOLVED. Then, the returned policy is a partial strong cyclic policy from $s_{11}$ for the set of primary states $A'$ and the set of secondary states $B'$. Since $A' = A$ and $B' = B \cup \{ s \}$, $\text{IDFS}_R$ now evaluates $s_{12}$ again, but now with a modified $A'$. Since we have already a trajectory from $s_{10}$ to $A$, now $A'$ includes also $s_9, s_{10}$ and $s_{11}$, and $B' = A'$. The recursive call on $s_{12}$ returns SOLVED because there is a trajectory to $A'$. The new policy extended with $s_{10} \rightarrow a$ is a partial strong cyclic policy from $s_{10}$ for $A$ and $B$, and can be returned with the flag SOLVED.

**IDFS$_R$ End (Line 34)** In case none of the actions $a \in \text{APPLICABLE}\text{ACTIONS}(s)$ are able to generate a partial strong cyclic from $s$ to $A$ and $B$, $\text{IDFS}_R$ returns UNSOLVED.

**IDFS Pruning** We now present an extended version of $\text{IDFS}$ called $\text{IDFS Pruning}$ (IDFPS). Algorithm 2 presents the pseudo-code of $\text{IDFS}$. In essence, $\text{IDFS}$ is similar to $\text{IDFS}$, and the main difference is that it prunes states during the searching process. $\text{IDFS}_R$ considers that a state $s$ is
Algorithm 2: IDFS Pruning (IDFSP)

1. \text{IDFS}(s_i) : 
2. \text{bound} = h(s_i), \text{nextBound} = \infty, \mathcal{X} = \emptyset
3. \text{while} \text{bound} \leq |S| \text{ do}
4. \text{flag, } \pi = \text{IDFSP}_R(s_i, \emptyset, \emptyset, \emptyset)
5. \text{if} \text{flag} = \text{SOLVED} \text{ then}
6. \quad \text{return } \pi
7. \quad \text{bound} = \text{nextBound}, \text{nextBound} = \infty, \mathcal{X} = \emptyset
8. \text{return UNSOLVED}
9. \text{IDFSP}_R(s, \mathcal{Z}, \mathcal{Z}_s, \pi) : 
10. \quad \leftarrow \text{Lines 10-13 of Algorithm 1.}
11. \text{if } s \in \mathcal{X} \text{ then}
12. \quad \text{return UNSOLVED, } \pi
13. \text{PROMISING} \leftarrow \text{FALSE}
14. \text{for } a \in \text{APPLICABLE ACTIONS}(s) \text{ do}
15. \quad \leftarrow \text{Lines 15-20 of Algorithm 1.}
16. \quad \text{REACHED FIXED POINT} \leftarrow \text{TRUE}
17. \quad \text{for } s' \in (\mathcal{M} \times \mathcal{M}_s) \text{ do}
18. \quad \text{flag, } \pi' = \text{IDFSP}_R(s', \mathcal{Z} \cup \{s\}, \mathcal{Z}_s', \pi')
19. \quad \text{if } \mathcal{M} \cap \mathcal{X} \neq \emptyset \text{ then}
20. \quad \quad \text{break}
21. \quad \text{if } \text{flag} = \text{SOLVED} \text{ then}
22. \quad \quad \mathcal{M}_s' \leftarrow \mathcal{M}_s \cup \{s't\}
23. \quad \quad \text{REACHED FIXED POINT} \leftarrow \text{FALSE}
24. \quad \text{if } \mathcal{M} \cap \mathcal{X} \neq \emptyset \text{ then}
25. \quad \quad \text{break}
26. \quad \text{if } \text{REACHED FIXED POINT} \text{ then}
27. \quad \quad \text{PROMISING} \leftarrow \text{FALSE}
28. \quad \text{until } \text{REACHED FIXED POINT}
29. \quad \leftarrow \text{Lines 31-33 of Algorithm 1.}
30. \text{if } \text{PROMISING} = \text{FALSE} \text{ then}
31. \quad \mathcal{X} = \mathcal{X} \cup \{s\}
32. \text{return UNSOLVED, } \pi

promising if \( s \in A \) or at least one of its applicable actions \( a \) reaches the fixed point when evaluating the set of successor states \( \mathcal{M} \triangleq \text{SUCCS}(s, a) \). If the state \( s \) is not promising, \( \text{IDFSP}_R \) adds the state \( s \) into the global set \( \mathcal{X} \). \( \text{IDFSP}_R \) sets \( \mathcal{X} \) to empty before each iteration. \( \text{IDFSP}_R \) has one additional base case that returns \( \text{UNSOLVED} \) if state \( s \in \mathcal{X} \) (Lines 10–11). During the fixed-point computation, \( \text{IDFSP}_R \) verifies if at least one of the states in \( \mathcal{M} \triangleq \text{SUCCS}(s, a) \) is in \( \mathcal{X} \) and stops the fixed-point computation if it is. This pruning method helps the search because it avoids repeated evaluation of states that generate successors that can not be part of the policy with the current bound.

Minimal Critical-Value in FOND Planning

We now introduce key properties about the set of strong cyclic policies of a FOND task II that are important to characterize the behavior of IDFS. A FOND planning task II has a set of strong cyclic policies \( \mathcal{P}(II) \) – if II is unsolvable, then \( \mathcal{P}(II) = \emptyset \). Figure 2a shows the state-space of a FOND planning task II, with eight states, three deterministic actions, and two non-deterministic actions – namely \( \{a, b\} \). This task has only two strong cyclic policies, \( \pi_0 = \{s_0 \triangleright c, s_4 \triangleright b, s_5 \triangleright d, s_6 \triangleright c, s_7 \triangleright e\} \) and \( \pi_1 = \{s_0 \triangleright a, s_2 \triangleright c, s_3 \triangleright d\} \). Figures 2b and 2c show respectively the part of the state-space reachable from \( s_0 \) using each policy. We use these state-spaces to present the concept of critical-values of policies (Definition 2).

Definition 2. The critical-value \( cv_\pi = \text{CV}(\pi) \) of a policy \( \pi \) is the value of the length of the longest \( \pi \)-trajectory \( \{s^1, s^2, \ldots, s^k\} \) with \( s^1 = s_0 \) and no \( i < j \leq k - 1 \) with \( s^i = s^j \).

The \( cv_\pi \) of \( \pi_0 \) is generated by \( \{s_0, s_4, s_5, s_6, s_7, s_4\} \), therefore \( cv_\pi = 5 \). The \( cv_\pi \) of \( \pi_1 \) is generated by \( \{s_0, s_2, s_3, s_0\} \), therefore \( cv_\pi = 3 \). Definition 3 introduces the concept of minimal critical-value \( cv^* \) of a FOND planning task II.

Definition 3. The minimal critical-value \( cv^* \) of a FOND planning task II is equal to \( \min_{\pi \in F(II)} cv_\pi \).

Thus, the \( cv^* \) of the FOND planning task II in the Figure 2a is \( cv^*(II) = \min \{cv_\pi(\pi_0), cv_\pi(\pi_1)\} = \min \{5, 3\} = 3 \). Definition 3 considers all strong cyclic policies of the task II, which are, in general, unavailable. Therefore, we usually do not know the value of \( cv^*(II) \). Nevertheless, we prove that if IDFS uses \( F_{\text{min}} \) and an admissible heuristic function for the deterministic version of the task II, IDFS will search to a depth of at most \( cv^* \). Thus, if II is solvable, IDFS will return a strong cyclic policy before the search starts evaluating states at a depth greater than \( cv^* \).

Theoretical Properties

In this section, we present a proof idea that shows that if a FOND planning task II is solvable, IDFS returns a strong cyclic policy by searching to a depth of at most \( cv^*(II) \), and if II is unsolvable, IDFS identifies it correctly. Theorem 1 bounds the behavior of the IDFS algorithm by the structure of the FOND planning task II.
Theorem 1. Given a FOND planning task II, an admissible heuristic function \( h \) for a deterministic version of II, and IDFS using \( F_{\min} \). If II is solvable, then IDFS returns a strong cyclic policy \( \pi \) by searching to a depth of at most \( e^v(II) \). If II is unsolvable, then IDFS returns UNSOLVABLE.

Proof Idea. If II is solvable, then there is a strong cyclic policy \( \pi \) which has \( cv(\pi) = e^v(II) \). Suppose state \( s \) is part of the policy \( \pi \), IDFS \( F_{\min} \) analyzes all actions applicable on \( s \), including the action that is part of the policy \( \pi(s) \), with incremental search depths and using \( F_{\min} \) and heuristic \( h \) when possible. Since \( s \) is in the policy \( \pi \), IDFS \( F_{\min} \) can, by the construction of the algorithm, find a policy that includes \( s \) searching to a depth of at most \( e^v(II) \). Because task II is solvable and \( s_0 \) is in any policy including policy \( \pi \), IDFS returns a strong cyclic policy by searching to a depth of at most \( e^v(II) \). IDFS \( F_{\min} \) only returns SOLVED for a state \( s \) using action \( a \) if all its successors in SUCCS(\( s, a \)) return SOLVED. Thus, if a FOND planning task II is unsolvable, IDFS returns UNSOLVABLE. IDFS always terminates because the state-space size limits the number of iterations of the main loop.

Experiments and Evaluation

We now present the set of experiments we have conducted to evaluate the efficiency of our IDFS algorithm for solving FOND planning tasks. We compare our algorithm to state-of-the-art FOND planners, such as FRP (Muise, McIlraith, and Beck, 2012), MYND (Mattmüller et al., 2010), and FOND-SAT (Geffner and Gelfond, 2018). We have implemented our algorithm using part of the source code of MYND. We use the delete relaxation heuristic functions for the deterministic version of the planning task as proposed by Mattmüller (2013).

As a result, we have a FOND planner called PALADINUS.

We empirically evaluate IDFS using two distinct benchmark sets: IPC-FOND and NEW-FOND. IPC-FOND contains 379 planning tasks over 12 FOND domains from the IPC (2008) and (Muise, McIlraith, and Beck, 2012). The NEW-FOND benchmark set (Geffner and Gelfond, 2018) introduces FOND planning tasks that contain several trajectories to goal states that are not part of any strong cyclic policy. NEW-FOND contains 211 tasks over five FOND domains, namely DOORS, ISLANDS, MINER, TW-SPIKY, and TW-TRUCK. Note that 25 out of 590 tasks are unsolvable, namely, 25 FOND planning tasks of FIRST-RESP, a domain of IPC-FOND.

We have run all experiments using a single core of a 12 core Intel(R) Xeon(R) CPU E5-2620 v3 @ 2.40GHz with 16GB of RAM, with a memory limit of 4GB, and set a 5 minute (300 seconds) time-out per planning task. We evaluate the planners, when applicable, using the following metrics: number of solved tasks, i.e., coverage (C), time to solve (T) in seconds, average policy size (|\( \pi \)|), initial bound and the final bound (respectively, \( b_l \) and \( b_R \)), and the number iterations (i). Apart from the coverage (C), all results shown in Tables 1-5 are calculated over the intersection of the tasks solved by all planners in the respective table.

### IDFS with Admissible Heuristic Functions

We start our evaluation by presenting a comparison of IDFS using \( h_{\text{BLIND}} \) and \( h_{\text{MAX}} \) with the evaluation function \( F_{\min} \). This comparison evaluates how useful the information of the heuristic function is for IDFS concerning search efficiency. We evaluate this with the following metrics: the number of solved tasks, the time to solve, and the number of iterations required to solve the task — fewer iterations mean that IDFS reaches faster the depth where it finds a strong cyclic policy. Table 6 summarizes the results for all 17 FOND domains of the used benchmark sets, showing the performance of IDFS when using \( F_{\min} \) with \( h_{\text{MAX}} \) and \( h_{\text{BLIND}} \), denoted as IDFS (\( F_{\min}, h_{\text{MAX}} \)) and IDFS (\( F_{\min}, h_{\text{BLIND}} \)).

| Domain (#) | IDFS (\( F_{\min}, h_{\text{BLIND}} \)) | IDFS (\( F_{\min}, h_{\text{MAX}} \)) |
|------------|----------------------------------|----------------------------------|
|            | \( C \) | \( T \) | \( |\pi| \) | \( b_l/b_R \) | \( i \) | \( C \) | \( T \) | \( |\pi| \) | \( b_l/b_R \) | \( i \) |
| DOORS (#15) | 11 | 30.1 | 1486.7 | 0.0/8.0 | 8.0 | 11 | 10.9 | 1486.7 | 7.0/8.0 | 2.0 |
| ISLANDS (#60) | 29 | 18.7 | 4.9 | 0.0/4.9 | 4.9 | 60 | 0.1 | 4.9 | 4.9/4.9 | 1.0 |
| MINER (#51) | 0 | - | - | - | - | 40 | - | - | -/2 | - |
| TW-SPIKY (#11) | 4 | 18.5 | 26.0 | 0.0/22.0 | 22.0 | 9 | 3.7 | 25.0 | 8.0/22.0 | 15.0 |
| TW-TRUCK (#74) | 13 | 23.4 | 13.8 | 0.0/10.8 | 10.8 | 26 | 0.6 | 13.2 | 4.2/10.8 | 7.7 |
| Sub-Total (#211) | 57 | 22.6 | 382.5 | 0.0/11.4 | 11.4 | 146 | 3.8 | 382.4 | 6.1/11.4 | 6.4 |
| ACROBATICS (#8) | 4 | 2.3 | 14.0 | 0.0/14.0 | 14.0 | 8 | 0.1 | 14.0 | 3.8/14.0 | 11.3 |
| BEAM-WALK (#11) | 8 | 29.2 | 254.0 | 0.0/254.0 | 254.0 | 8 | 9.5 | 254.0 | 127.5/254.0 | 127.5 |
| BW-ORIG (#30) | 10 | 17.4 | 13.5 | 0.0/7.5 | 7.5 | 10 | 4.2 | 12.4 | 2.8/7.5 | 5.7 |
| BW-2 (#15) | 5 | 38.0 | 14.4 | 0.0/9.4 | 9.4 | 5 | 4.9 | 14.2 | 2.8/9.4 | 7.6 |
| BW-NEW (#40) | 6 | 26.8 | 8.0 | 0.0/5.5 | 5.5 | 6 | 2.5 | 8.0 | 2.2/5.5 | 4.2 |
| CHAIN (#10) | 2 | 62.8 | 42.0 | 0.0/28.0 | 28.0 | 10 | 0.1 | 42.0 | 28.0/28.0 | 1.0 |
| EARTH-OBS (#40) | 8 | 3.9 | 19.3 | 0.0/9.6 | 9.6 | 9 | 0.4 | 18.3 | 4.9/9.6 | 6.0 |
| ELEVATORS (#15) | 4 | 44.0 | 12.0 | 0.0/11.3 | 11.3 | 5 | 1.5 | 11.3 | 4.8/11.3 | 7.5 |
| FAULTS (#55) | 18 | 14.8 | 28.4 | 0.0/7.3 | 7.3 | 19 | 7.7 | 21.6 | 2.0/7.3 | 6.3 |
| FIRST-RESP (#100) | 20 | 22.5 | 5.7 | 0.0/5.7 | 5.7 | 23 | 3.7 | 6.3 | 2.6/5.7 | 4.0 |
| TRI-TW (#40) | 3 | 23.2 | 22.0 | 0.0/15.0 | 15.0 | 3 | 13.4 | 22.0 | 4.0/15.0 | 12.0 |
| ZENO (#15) | 0 | - | - | -/2 | - | - | - | -/2 | - |
| Total (#590) | 145 | 25.0 | 131.0 | 0.0/27.5 | 27.5 | 255 | 3.7 | 130.3 | 13.9/27.5 | 14.6 |

Table 1: IDFS comparison with \( F_{\min}, h_{\text{BLIND}} \) vs \( h_{\text{MAX}} \).

2PALADINUS code: https://github.com/ramonpereira/paladinus
(F_{min}, h^{\text{BLIND}}), respectively.

**IDS (F_{min}, h^{\text{MAX}})** solves in total 255 tasks, whereas **IDS (F_{min}, h^{\text{BLIND}})** solves 145 tasks. Both **IDS (F_{min}, h^{\text{MAX}})** and **IDS (F_{min}, h^{\text{BLIND}})** identified the 25 tasks of **FIRST-RESP** as unsolvable. **IDS (F_{min}, h^{\text{BLIND}})** exceeded the time limit to solve all tasks of **MINER** and **ZENO**. Table 1 shows that **IDS (F_{min}, h^{\text{MAX}})** always uses fewer iterations to solve the same tasks when compared to **IDS (F_{min}, h^{\text{BLIND}})**, and it also shows that, in general, **IDS (F_{min}, h^{\text{MAX}})** is much faster even considering the cost of computing the heuristic function.

Figure 3a shows the planning time comparison between **IDS (F_{min}, h^{\text{MAX}})** and **IDS (F_{min}, h^{\text{BLIND}})**. Overall, **IDS (F_{min}, h^{\text{MAX}})** outperforms **IDS (F_{min}, h^{\text{BLIND}})** with respect to planning time among most planning tasks, especially over the NEW-FOND benchmarks (blue diamond in Figure 3a). Thus, we conclude that, in general, **IDS** benefits from using the information of the heuristic function.

**IDS vs. IDS Pruning** We now evaluate our **IDS** algorithm using \( h^{\text{ADD}} \) with \( F_{\text{max}} \) and \( F_{\text{min}} \). We also compare the versions of **IDS** with and without pruning. Tables 2 and 3 show the results for the four variations of **IDS** with \( h^{\text{ADD}} \). Note that all four variations of **IDS** with \( h^{\text{ADD}} \) solved more tasks than both **IDS (F_{min}, h^{\text{MAX}})** and **IDS (F_{min}, h^{\text{BLIND}})**. Such
empirical results show that using a more informative heuristic has a significant impact on the results. IDFS ($F_{\min}, h^{ADD}$) solved 80 tasks more than IDFS ($F_{\min}, h^{MAX}$). IDFS ($F_{\max}, h^{ADD}$) outperforms the other variants in terms of coverage and planning time. However, the average final bound $b_F$, and the average number of iterations $i$ for the intersection of the solved tasks are higher for variations with $F_{\max}$ compared to the variations with $F_{\min}$. Also, the pruning variants (IDFSP) are far superior to the variants without pruning.

**Comparison with other FOND Planners** Finally, we conclude our evaluation by comparing the best variation of our algorithm (IDFSP ($F_{\max}, h^{ADD}$)) with the state-of-the-art in FOND planning, i.e., the PRP, MYND, and FONDSAT planners. Table 4 shows the coverage results of IDFSP with both $F_{\min}$ and $F_{\max}$ using using different heuristic functions ($h^{MAX}, h^{FF}$, and $h^{ADD}$) against the other FOND planners over both benchmark sets. Note that IDFSP solved more tasks than the other planners. Namely, by comparing IDFSP with PRP and MYND, note that IDFSP with $h^{ADD}$ outperforms PRP and MYND (in terms of solved tasks) with any of the three used heuristics.

Table 5 shows a detailed comparison between the best-evaluated variation of our algorithm against the best-evaluated variations of PRP, MYND, and FONDSAT. IDFSP ($F_{\max}, h^{ADD}$) outperforms all the other FOND planners in terms of solved tasks and planning time. Our best algorithm performed better than PRP and MYND over the NEW-FOND benchmarks. FONDSAT also performed well for solving FOND planning tasks over the NEW-FOND benchmarks, as Geffner and Geffner (2018) have shown. When comparing the FOND planners in terms of policy size ($|\pi|$), on average, FONDSAT is the planner that returns more compact policies. However, we note that our algorithm and MYND do not compact the policies using partial states, whereas PRP and FONDSAT do. Apart from some tasks for DOORS, FAULTS, and FIRST-RESP, IDFSP ($F_{\max}, h^{ADD}$) has returned policies that are as compact as the ones returned by PRP and FONDSAT, see $|\pi|$ in Table 5.

Figures 3b, 3c, and 3d show a comparison among the FOND planners. Figure 3b shows a comparison between IDFSP and PRP using different heuristic functions ($h^{MAX}, h^{FF}$). Figure 3c shows a comparison between IDFSP and MYND using different heuristic functions ($h^{MAX}, h^{ADD}$). Figure 3d shows a comparison between IDFSP and FONDSAT using different heuristic functions ($h^{MAX}, h^{ADD}$).
We have developed a novel iterative depth-first search algorithm that efficiently solves FOND planning tasks. It considers more explicitly the non-determinism aspect of FOND planning, and uses heuristic functions to guide the searching process. We empirically show that our algorithm can outperform existing planners concerning planning time and coverage.

As future work, we intend to investigate how to use the information gathered during previous iterations to make the following iterations of the searching more efficient. We also aim to investigate how to design more informed heuristic functions for FOND planning. We aim to study the problem of designing algorithms to extract dual policy solutions, when fairness is not a valid assumption (Camacho and McIlraith 2016; Gefen and Gefen 2018; Rodriguez et al. 2021). We also aim to investigate how to design domains and FOND planning tasks that better capture the most significant characteristics of FOND planning. These domains and tasks can be used to evaluate new planners.

Table 5: Comparison with PRP, MYND, and FONDSAT.

| Domain (#)          | IDFSP ($F_{\text{max}}$, $h^{ADD}$) | PRP ($h^S$) | MYND ($h^{ADD}$) | FONDSAT |
|---------------------|--------------------------------------|-------------|------------------|---------|
|                      | $C$  | $T$ | $\pi$            | $C$  | $T$ | $\pi$ | $C$  | $T$ | $\pi$ | $C$  | $T$ | $\pi$ |
| DOORS (#15)         | 13   | 0.34 | 670.0           | 12   | 0.13 | 16.0           | 9    | 6.77 | 670.0 | 10   | 23.48 | 16.0 |
| ISLANDS (#60)       | 60   | 0.10 | 6.5            | 27   | 0.08 | 7.5            | 12   | 11.06 | 6.83 | 46   | 4.38  | 7.5  |
| MINER (#51)         | 51   | -    | -              | 9    | -    | -              | 0    | -    | -    | 28   | -    | -    |
| TW-SPIKY (#111)     | 10   | 0.13 | 25.0           | 1    | 17.4 | 23.0           | 1    | 0.33  | 25.0 | 3    | 97.07 | 23.0 |
| TW-TRUCK (#74)      | 44   | 2.97 | 21.27          | 17   | 20.34 | 19.36           | 12   | 12.94 | 13.82 | 67   | 4.51  | 12.18 |
| Sub-Total (#211)    | 178  | 0.88 | 180.69         | 66   | 9.49 | 16.47          | 36   | 7.77  | 178.91 | 154  | 32.36 | 14.67 |
| ACROBATICS (#8)     | 8    | 0.05 | 8.33           | 8    | 9.43 | 9.33           | 8    | 0.02  | 8.33 | 3    | 3.04  | 9.33 |
| BEAM-WALK (#111)    | 11   | 0.02 | 11.0           | 11   | 0.86 | 12.0           | 10   | 0.02  | 11.0 | 2    | 1.37  | 12.0 |
| BW-ORG (#30)        | 29   | 0.10 | 12.2           | 30   | 0.06 | 11.7           | 15   | 0.10  | 11.6 | 10   | 15.02 | 11.1 |
| BW-2 (#15)          | 15   | 0.12 | 13.2           | 15   | 0.08 | 14.4           | 6    | 0.23  | 17.6 | 5    | 24.71 | 12.2 |
| BW-NEW (#40)        | 21   | 0.08 | 8.33           | 40   | 0.05 | 7.83           | 9    | 0.08  | 8.5  | 6    | 14.85 | 7.5  |
| CHAIN (#10)         | 10   | 0.05 | 27.0           | 10   | 0.1  | 28.0           | 10   | 0.07  | 27.0 | 1    | 218.39 | 28.0 |
| EARTH-OBS (#40)     | 25   | -    | -              | 40   | -    | -              | 25   | -    | -    | 0    | -    | -    |
| ELEVATORS (#15)     | 8    | 0.07 | 19.43          | 15   | 0.05 | 17.71          | 10   | 1.11  | 18.57 | 7    | 19.01 | 15.86 |
| FAULTS (#55)        | 55   | 0.14 | 120.66         | 55   | 0.06 | 11.48          | 53   | 0.95  | 67.55 | 29   | 38.05 | 11.48 |
| FIRST-RESP (#100)   | 46   | 34.68 | 103.16       | 75   | 0.62 | 10.22          | 58   | 8.65  | 10.95 | 44   | 27.86 | 9.57 |
| TR-MTW (#40)        | 8    | 0.08 | 22.0           | 32   | 0.1  | 23.0           | 40   | 0.04  | 34.0 | 3    | 51.42 | 16.0 |
| ZENO (#15)          | 8    | 1.01 | 27.0           | 15   | 0.13 | 23.67          | 5    | 0.44  | 22.67 | 3    | 137.64 | 16.33 |
| Total (#590)        | 422  | 2.38 | 93.12          | 412  | 2.91 | 13.84          | 289  | 4.77  | 90.17 | 276  | 45.22 | 13.03 |

Figure 4: Solved tasks throughout the range of run-time.
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