1. Introduction

PH13-8 stainless steel is a martensitic precipitation hardening (PH) steel. It has high strength and hardness with good levels of resistance to both general corrosion and stress corrosion cracking. In addition, the alloy exhibits good ductility and toughness in large sections, and offers a high level of useful mechanical properties under severe environmental conditions superior to PH17-4 and PH15-5 stainless steels. It has been used for many applications, such as landing gear parts, nuclear reactor components and petrochemical applications requiring resistance to stress-corrosion cracking. The commercial treatment that provides the best strength level is the H950 treatment, i.e. austenitisation (Q-treatment) and intercritical anneal (L-treatment), LQ, 2B (QLQL) and 2K (LQLQ) were carried out before aging treatment at 510°C for 4 h (the commercial H950 treatment). Optical and scanning electron microscopies, and X-ray diffraction analysis were employed to study the microstructures of the alloys after different heat treatments. Hardness and Charpy impact strength of the samples were measured.

Results show that significant grain refinement was observed after 2K and 2B treatment, but not after QL and LQ treatments. Such refinement of prior austenite grain did not lead to significant increase of hardness either before or after ageing. The Charpy impact strength of the alloy in aged condition was improved after the four pre-ageing treatments. The formation of a ‘dual-phase’ martensitic structure through intercritical annealing is thought to make the main contribution to the better toughness obtained, with beneficial effects also from grain refinement. All the four treatments offer better combined properties than the commercial treatment, whereas QL and LQ treatments may be cost-competitive. Relationships among heat treatment, microstructure and mechanical properties are discussed.

KEY WORDS: stainless steel; toughness; grain refinement; intercritical annealing; heat treatment.

An intercritical annealing step was introduced in the treatment of a PH13-8 stainless steel to improve the toughness of the alloy in aged condition. Four different treatment cycles, i.e. austenitisation (Q-treatment) and intercritical anneal (L-treatment), LQ, 2B (QLQL) and 2K (LQLQ) were carried out before aging treatment at 510°C for 4 h (the commercial H950 treatment). Optical and scanning electron microscopies, and X-ray diffraction analysis were employed to study the microstructures of the alloys after different heat treatments. Hardness and Charpy impact strength of the samples were measured.

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Such grain refinement increased the strength of the alloy at room temperature, and a significant increase in ultimate tensile strength was observed at elevated temperatures. Recently Guo et al. reported that introducing an intercritical annealing step before the solution treatment of AerMet 100 (Fe–13.4Co–11.1Ni–3.1Cr–1.2Mo–0.23C in wt%), a high strength lath martensitic steel, may result in fine effective grain size. It should be noted that when maraging steels, i.e., martensitic precipitation hardening steels were studied, attempts on grain refinement was through rapid austenitisation procedures, whereas studies on other alloys employed intercritical annealing in their thermal treatments. In the latter cases, even when tempering treatments were included, the purpose was to introduce thermally stable austenite but not to achieve precipitation hardening. The grain size was retained through the tempering step. It is not yet clear how the introduction of intercritical annealing will affect the precipitation kinetics and mechanical properties of precipitation hardening steels.

The aim of the current research was to refine the grain size of PH13-8 stainless steel through the introduction of intercritical annealing treatment. The influence of thermal treatment on grain size, mechanical properties of the alloy before and after ageing, and precipitation kinetics was studied. The relationships among heat treatment, microstructure, and mechanical properties are also discussed.

2. Experimental Procedures

2.1. Alloy Composition and History

The composition of the material studied is given in Table 1 in both wt% and at%. The material was vacuum induction melted plus vacuum arc remelted (VIM/VAR) to a 500 mm ingot, homogenised at 1250°C for 12 h, forged from 1100°C to 280 mm diameter, reheated to 1150°C and forged to 146 mm diameter, cooled to below 90°C, and annealed between 650 and 720°C. They were then solution treated at 1050°C for 2 h followed by water quenching (WQ), with no refrigeration. Blocks of size about 12 × 12 × 12 mm were cut from the material in this condition for this research. A layer of 1 mm was removed from the sample surface to avoid the possible influence of oxygen when specimens were characterised or tested.

2.2. Intercritical Annealing and Experimental Design

The heat treatments that are commonly used to refine the effective grain size of lath martensitic steel are variations on a single theme. The alloy is quenched to martensite, and then reheated to accomplish a partial or complete reversion to the austenite phase. The re-heating causes one of four characteristic reactions, which are labelled in the schematic phase diagram in Fig. 1: tempering (t), intercritical tempering (T), intercritical annealing (L), and austenite formation (Q). Detailed discussion of the four treatments can be referred to in Ref. 13. Intercritical annealing is used to denote a treatment just below $A_{c3}$, in the upper part of the two-phase region.

Differential scanning calorimetry (DSC) is used to measure the transformation temperatures of the PH13-8 stainless steel. The DSC curve at heating rate 20°C/min gives temperatures $A_{c1}$ = 800°C and $A_{c1}$ = 511°C. The intercritical annealing temperature was set as 760°C in the present work, and the austenitisation temperature at 927°C. The grain refinement treatments designed were shown in Table 2. Ageing at 510°C was carried out after these treatments. For Vickers hardness test, samples were aged at 510°C for 1, 4, 8, 15, 30 min, 1, 2, 4 and 8 h. Each sample was sealed in a quartz tube with vacuum for the ageing treatment. The hardness measurement was carried out on a Vickers hardness machine, with 2 kg working load. For Charpy impact test, specimens were aged at 510°C for 4 h (the commercial H950 treatment) in a salt bath. To conserve material, half-size specimens were used for Charpy impact test, which are of $5 \times 10 \times 55$ mm (half of the standard $10 \times 10 \times 55$ mm

| Specimen label | Treatment label | Treatment procedures |
|----------------|-----------------|----------------------|
| 1              | Q               | 0.5 hour at 927°C, followed by water quenching (WQ) |
| 2              | QL              | 0.5 hour at 927°C (WQ), followed by 2 hours at 760°C (AC) |
| 3              | LQ              | 2 hours at 760°C (AC), followed by 0.5 hour at 927°C (WQ) |
| 4              | 2H              | 0.5 hour at 927°C (WQ), 2 hours at 760°C (AC), and 0.5 hour at 927°C (WQ), followed by 2 hours at 760°C (AC) |
| 5              | 2K              | 2 hours at 760°C (AC), 0.5 hour at 927°C (WQ), and 2 hours at 760°C (AC), followed by 0.5 hour at 927°C (WQ) |

Table 1. Chemical composition of the PH13-8 Mo steel.

| Element | C | Al | Cr | Mo | Ni | Ti | Si | Co | Mn | Zr | P | S | N | Fe |
|----------|---|----|----|----|----|----|----|----|----|----|---|---|---|----|
| wt%      | 0.03 | 0.97 | 12.43 | 2.15 | 8.39 | 0.067 | 0.07 | 0.01 | 0.02 | 0.004 | 0.006 | 0.002 | 0.001 | bal. |
| at%      | 0.19 | 2.02 | 13.36 | 1.36 | 7.96 | 0.184 | 0.17 | 0.01 | 0.02 | 0.005 | 0.004 | 0.004 | 0.004 | bal. |

Table 2. Experimental design of the grain refinement heat treatments. All the treatments were followed by ageing at 510°C.
size), with V-notch on the 5×55 mm surface.

Optical microscope (OM) and scanning electron microscope (SEM) were used to record the microstructure. Two types of etching method were attempted: chemical etching and electro-etching. The chemical etchant used was the Fly’s agent (5 grams CuCl₂ in 40 ml HCl acid, 25 ml ethanol, and 30 ml water). Although this etchant could reveal some microstructure feature of the specimens, the grain boundaries could not be observed clearly. Electro-etching was carried out in a solution of 60 ml HNO₃ acid and 40 ml water to reveal the grain boundaries. With platinum cathode, the voltage and current were 0.4 V (DC) and 0.05 A/cm², respectively. The electro-etching time was 5–10 s. High resolution X-ray diffraction (HRXRD) analysis using synchrotron radiation and normal X-ray diffraction (XRD) analysis were used to detect the existence of retained/reverted austenite. For normal XRD analysis, specimens were scanned using a Siemens diffractometer applying Cu Kα₁ radiation (wave length for Kα₁: 1.54056 Å) with a step size 0.02°. HRXRD analysis used radiation of wave length 1.29898 Å, also with a step size 0.02°.

3. Result Analysis

3.1. Hardness and Charpy Impact Strength Measurements

The age hardening kinetics at 510°C after the alloy was subjected to different thermal treatments are displayed in Fig. 2. Each data point is the average of at least six measurements. As can be seen from Fig. 2, the ageing time to reach peak hardness position is significantly shortened to 1 h by QL treatment, but the peak hardness value, HV 482, is lower than alloys after other treatments. The age behaviours of alloys after Q, LQ, 2B and 2K treatments are similar, though the achievable peak hardness values may be different. The Charpy impact strength of samples aged for 4 h at 510°C after different treatments were the average of two measurements; the others were from one measurement. The scatter of the Charpy impact values is about 1–3 J. As Q treatment is a standard procedure before ageing in commercial treatments, the mechanical properties after this treatment were used as reference values in later comparison. Since the hardness value is a good representation of the strength level of the alloy, the change of hardness reveals the change of strength.

As can be seen from Table 3, different heat treatments have little influence on the hardness prior to ageing, though hardness of the specimen after 2K treatment may be considered marginally higher. The alloys of LQ and 2K treatments demonstrated similar ageing behaviour as that of Q treatment. The Q treatment provides the highest hardness value after 4 h at 510°C, though the hardness values of the alloy of LQ and 2K treatments are close considering the range of standard deviations. The hardness values after 4 h at 510°C of the alloy of QL and 2B treatments were lower than those of Q, LQ and 2K treatments. The expected strength/hardness increase through these treatments was not achieved either before or after ageing. However, the Charpy impact strength was indeed significantly improved. Without significant hardness loss, the LQ and 2K treatments provided higher ambient Charpy impact values. The improvement in Charpy impact strength due to QL and 2B treatments was more significant. Even when the loss in hardness is considered, these two treatments still provide a good combination of strength and toughness properties, so do the LQ and 2K treatments.

3.2. Microstructure and XRD Results Analysis

Electro-etching was used to reveal the grain boundaries in the specimens. Microstructures of the specimens after Q, LQ and 2K treatments after electro-etching are shown in
Fig. 3. The grain size estimated by line intercept method is 28, 25 and 15 μm for Q, LQ and 2K treatments, respectively. As can be seen, 2K treatment significantly refines the grain size, whereas the refinement effect of LQ treatment is weak. With such obvious refinement effect of 2K treatment, it is surprising to see that the hardness of this treatment is not significantly higher than that of the Q and LQ treatments prior to ageing treatment, Table 3. Such refinement has no significant effect on the hardness during ageing, unlike that observed for 18Ni 250-grade in a previous work where the beneficial effect of grain refinement on hardness/strength was amplified by ageing.9)

The optical microstructures of electro-etched samples after QL and 2B treatments are shown in Fig. 4. Although the electro-etching did not reveal the grain boundaries, the microstructure of 2B treatment is finer than that of QL treatment. The brighter phase in Fig. 4 does not seem to be austenite, otherwise such an austenite fraction would have been detected by high-resolution X-ray diffraction (HRXRD) analysis using synchrotron radiation. The fact that no reflection peaks for austenite were observed in HRXRD pattern after 2B treatment followed by ageing suggests little austenite retained during the 2B treatment, and no reverted austenite formed during 4 h ageing at 510°C, Fig. 5. The amount of retained/reverted austenite should be no higher than 0.5%.14) The sharp peak at 61° position was from the sample holder.
ageing the QL- and 2B-treated alloys, Table 3, at little expense of hardness. Considering that retained/reverted austenite normally leads to significant hardness drop, such a dual-phase structure gives better combination of strength/hardness and toughness properties.

Scanning electron microscopy (SEM) was used to clearly reveal the microstructure of specimens after different treatments, Fig. 6. Specimens were etched chemically after repolishing the electro-etched surface. A clear lath structure is evident with all the SEM micrographs. It should be noted that Figs. 6(a) and 6(e) show two morphologies. It could be that the granular one shows cross section of laths, although this morphology is rarely seen in practice. QL and 2B treatments generated similar lath martensitic structures, whereas the martensite packs in Fig. 6(d) is finer than those in Fig. 6(b). The grain size of the 2B-treated specimen was estimated as 10 μm from the SEM photo Fig. 6(d), whereas neither optical picture or SEM photo allows an estimation of the grain size in the QL-treated condition. Further discussion on the SEM photos is to be carried out in the following section.

4. Discussion

Result analysis shows that improvement in toughness of the PH13-8 stainless steel was achieved through the introduction of intercritical annealing. However, a few questions remained to be answered, which are discussed below.

4.1. Grain refinement mechanism of intercritical annealing

Intercritical annealing was accomplished by holding the
alloy in a $(\alpha + \gamma)$ two-phase region and then cooling to room temperature. It forms high volume fraction of alloy-rich $\gamma$-phase, reverted austenite and well-tempered, alloy-lean $\alpha_t$. However, the $\gamma$-phase formed at temperatures near $A_{1/2}$ is only slightly enriched in alloy content and largely re-transforms during cooling, producing a ‘dual-phase’ structure that is a mixture of tempered ($\alpha_t$) and fresh martensite ($\alpha'$). While intercritical annealing produces a dual-phase microstructure, it does not significantly refine the grain size, as it can be seen that LQ treatment, Fig. 3(b), shows no clear sign of grain refinement while comparing with Fig. 3(a). The reason is that the austenite precipitated along a lath boundary has a strong tendency to retransform into the particular variant of martensite that defines the surrounding packet. However, the martensite packet is chemically heterogeneous after intercritical annealing. The $\alpha_t$ is relatively lean in solute while $\alpha'$ is relatively rich. Although this treatment does not refine the grain size, the chemical redistribution sets up the microstructure for effective grain refinement in subsequent steps, as can be seen from the refinement effects of 2B and 2K treatments. To understand how intercritical annealing can lead to grain refinement, let L-treated steel be given a reversion Q treatment. On heating into the austenite field, both constituents of the L-treated steel revert to austenite. However, low diffusivity of the substitutional species in the $\gamma$-phase prevents their homogenisation. The ‘dual-phase’ character of the alloy is preserved. On subsequent quenching, the ‘dual-phase’ alloy undergoes a two-step martensitic transformation. The low-alloy constituent transforms first. Since it is constrained by the surrounding austenite during its transformation, it is severely worked, and deforms the austenite in turn. The high alloy phase then transforms, while constrained and deformed by the martensite that has already formed. The result is that the transformation occurs under severe mechanical constraint, which encourages local volumes to transform into the martensite variants that are most compatible with the local stress rather than those that continue the pattern in a martensite packet. The result is a very fine-grained microstructure.

To clarify whether the chemical redistribution resulted from the L-treatment might disappear after the Q treatment, the diffusion distance of elements Ni, Cr and Mo after 2 h at $760^\circ$C or half an hour at $927^\circ$C was calculated. Results are shown in the Table 4. Diffusion distance $x$ was estimated as $x = 3\sqrt{D \cdot t}$, where $D = D_0 \exp\left(-Q/RT\right)$. Different diffusion parameters were used for 760°C (diffusion in $\alpha$-Fe) and $927^\circ$C (diffusion in $\gamma$-Fe), respectively. One can see that the diffusion distance of the three elements after 2 h at 760°C is longer than that after half an hour at 927°C, which means that the element redistribution caused by L-treatment cannot be completely erased by the Q treatment.

### 4.2. Relationships among Heat Treatment, Microstructure, and Mechanical Properties

Introduction of intercritical annealing in the present work does not always lead to grain refinement. As can be seen, LQ treatment does not have grain refinement effect, Fig. 3(b) in comparison with Fig. 3(a). One possible explanation to the non-refinement is that L-treatment may have not set up the chemical redistribution required for grain refinement to take place in subsequent steps. This explanation can be tested by studying the influence of lower temperature and/or longer holding time of L-treatment on grain refinement. However, as to be discussed below, the contribution to the better toughness achieved from grain refinement may not be significant, so further research in this direction was not carried out. Even when significant grain refinement was achieved after 2B and 2K treatments, the hardness values showed little improvement. In fact, when grade 250 maraging steels were studied, Luo et al. reported that both hardness and strength were significantly increased due to grain refinement, whereas Ashur et al. found that the grain size has only minor effect on mechanical properties. The applicability of the Hall–Petch relationship on hardness/strength is difficult to evaluate due to the existence of the two types of morphologies. It is possible that a very small amount of austenite formed between martensite laths after QL and 2B treatments. The amount is too small to be detected even by high-resolution X-ray diffraction analysis using synchrotron radiation, Fig. 5. Nevertheless, the lath structures after QL and 2B treatments do appear thinner and shorter than those after Q, LQ and 2K treatments.

If one compares the toughness of the alloys after Q, LQ and 2K treatments, one can see that grain refinement does not significantly improve the toughness. It is, in fact, when a ‘dual-phase’ exists, especially when L-treatment is the end treatment, that the toughness was significantly increased. Such a ‘dual-phase’ structure acts similar to a mixture of martensite and retained/reverted austenite when toughness is concerned, but offers better hardness/strength. Although one cannot completely exclude the possibility that very small amount of austenite, which is not detectable by high-resolution X-ray diffraction analysis, formed during QL and 2B treatments, its contribution to toughness and hardness/strength should be limited.

Dispersion of precipitates may also influence the strength and toughness of the alloy. There are two types of precipitates in the aged PH13-8 alloys. One type is intermetallic compounds and the other is carbides. The intermetallic compounds contribute to the main strengthening effects, Fig. 3(b) in comparison with Fig. 3(a). One possible explanation to the non-refinement is that L-treatment may have not set up the chemical redistribution required for grain refinement

### Table 4. Diffusion distance of elements Ni, Cr and Mo (a) after 2 h at $760^\circ$C, (b) or half an hour at $927^\circ$C.

| Element | $D_0$ $(10^{-12} \text{m}^2/\text{s})$ | $Q$ (kJ/mol) | $D$ $(\text{m}^2/\text{s})$ | Temp range $^\circ$C | Diffusion distance for 2 hours at $760^\circ$C $(\mu\text{m})$ |
|---------|-----------------|--------------|-----------------|-----------------|-----------------|
| Ni      | 2.41            | 242.2        | 1.37·$10^{-10}$ | 800–900         | 0.99            |
| Cr      | 2.33            | 238.8        | 1.97·$10^{-10}$ | 775–1698        | 1.19            |
| Mo      | 0.663           | 224.2        | 5.06·$10^{-10}$ | 775–1599        | 1.48            |

| Element | $D_0$ $(10^{-12} \text{m}^2/\text{s})$ | $Q$ (kJ/mol) | $D$ $(\text{m}^2/\text{s})$ | Temp range $^\circ$C | Diffusion distance for 0.5 hour at $927^\circ$C $(\mu\text{m})$ |
|---------|-----------------|--------------|-----------------|-----------------|-----------------|
| Ni      | 0.108           | 273.0        | 1.42·$10^{-10}$ | 930–1356        | 0.16            |
| Cr      | 0.169           | 263.9        | 5.52·$10^{-10}$ | 900–1345        | 0.32            |
| Mo      | 0.036           | 239.8        | 1.32·$10^{-10}$ | 1050–1360       | 0.49            |
precipitations. The amount of carbon is kept as low as possible to minimise the formation of carbides. Hochanadel et al. observed M$_4$C$_6$ in a cast PH13-8 grade of H1150 treatment. The M$_4$C$_6$ particle observed is about 70 nm. No analysis on their distribution was carried out presumably due to its low number density. Usually one would not expect the dispersion of such precipitates to affect the properties very much. Even if their influence is not negligible, it will have similar effects on alloys after different treatments, unless the alloy is heated up to 1 038°C or over when the particles dissolve and homogenisation is achieved. In other words, the existence of such precipitates would affect the properties of the Q-treated alloy in the same way as it would for the QL, LQ, QLQL, and LQLQ treated conditions. The existence of such particles may help grain refinement by pinning grain boundaries. However, this is not the main grain refinement mechanism in the present study.

Another fact observed in Fig. 2 is that the maximum hardness is rather low in the QL and QLQL treated specimens. A possible explanation is given below. QL and QLQL treatments result in dual-phase structure. Although both phases are martensitic, they are of slightly different composition. Therefore, the hardness of these two phases may not differ very much, which explains why the prior-ageing hardness values of different treatments are very close, Table 3. However, in the following ageing treatments, the softer martensite may act like retained austenite, i.e. the strength drop due to its existence may be exaggerated by ageing.

4.3 Comparison with Commercial H950 Treatment

PH13-8 alloy after commercial H950 treatment has yield strength 1 449 MPa (210 ksi), HRC hardness 47 (Vickers hardness 471[14]) and Charpy impact strength 27 J. 2B, QLQL, which offers better combined properties than the commercial treatment. QLQL, which offers better combined properties than the usual martensite plus reverted austenite mixture. All the four treatments offer better combined properties than the commercial treatment. QL and LQ treatments may be cost-competitive.

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