Analysis of diagnostic decision in acupuncture from the actual functional dyspepsia patient’s clinical information

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Abstract

Background: Clinical research in acupuncture has been criticized for not reflecting real-world practice in terms of diagnosis and intervention. This study aimed to collect data on the principles of diagnosis and selection of acupoints from Korean medicine doctors (KMDs) and analyze the patterns and priorities in decision-making.

Methods: The study design was based on the data of an actual patient with functional dyspepsia (FD) (according to Rome III criteria) to create simulated patients, and a KMD specialized in gastrointestinal disorders was allocated to collect the clinical information as objectively as possible. Sixty-nine KMDs were recruited to diagnose a simulated patient based on the actual patient's clinical information, in a manner similar to that performed in their clinics.

Results: After the diagnostic procedures were completed, the pattern identification, selected acupoints, reasons for choosing them, and importance of symptoms for deciding their diagnoses were documented. The information needed was clearly distinguishable from those routinely asked in western medicine, and information regarding fecal status, abdominal examination, appetite status, pulse diagnosis, and tongue diagnosis were listed as vital. The doctors identified the patient’s pattern as “spleen-stomach weakness”, “liver qi depression”, or “food accumulation or phlegm-fluid retention”. The most frequently selected acupoints were CV12, LI4, LI3, ST36, and PC6.

Conclusion: There are common acupoints across different patterns, but pattern-specific acupoints were also recommended. These results can provide useful information to design clinical research and education for better clinical performance in acupuncture that reflects real-world practice.

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1. Introduction

Although randomized controlled trial (RCT) is a good research methodology, it often does not provide useful information that can be directly applied in clinical settings. Some researchers have argued that current clinical studies on acupuncture do not reflect the real-world practice, especially regarding diagnostic procedures and interventions.1 They tend to rely on a top-down approach because RCTs outnumber case reports or case series.2 A top-down approach includes the possibility that the research was detached from the actual clinical practice.2 In the early 2000s, there were several studies on how well acupuncture treatment reflects clinical practice.3-6 These studies are highly valuable in determining the crucial aspects in terms of pattern diagnosis and selecting acupuncture points (acupoints). Nevertheless, they have certain limitations because they focus on finding a consensus among only a small number of doctors and researchers. Recently, pattern identification has been included in the International Classification of Diseases
In static and down treatment.

2.1. 2 acupoints in patient making confirmed those psychological treatments. This conducted research in an expected patient’s traditional and complex information. A) revision of this included functional dyspepsia (FD) with a patient of how the FD was treated and made, how acupoints selected, and how all this information leads to the establishment of therapeutic principles. This study aimed to collect data on the principles of diagnosis and selection of acupoints from Korean medicine doctors (KMDs) and analyze patterns and priorities in decision-making based on the data of an actual patient with FD.

2. Methods

This study was conducted to evaluate the pattern diagnosis used when KMDs performed acupuncture therapy using the data of a single FD patient (patient A). In real practice, it is not feasible for a single patient to visit several clinics. Therefore, we collected the patient information prior to the study, and four female researchers were trained to act as simulated patients, fully understanding the information of patient A. The trained researchers were aware of all the collected information from the patient beforehand and conducted several trial simulations with a KMD before the study. This was done so that the study could proceed as realistically as possible, as if the KMDs were diagnosing an actual patient. Next, the researchers visited each clinic and answered questions of each of the KMDs. The KMDs conducted pattern diagnosis, and selected acupoints based on the given clinical information. This study was approved by the Institutional Review Board of the Kyung Hee University Hospital at Gangdong (KHNMC-OH-IRB 2013-018).

2.1. Recruitment of a patient with functional dyspepsia and patient data collection

A patient diagnosed with FD based on Rome III criteria, aged between 20 and 60 years of age, was recruited. We excluded individuals with peptic ulcers or gastroesophageal reflux disease confirmed by esophagogastroduodenoscopy in the past year or those reporting a history of surgery related to the gastrointestinal tract, structural gastrointestinal diseases causing digestive symptoms, consumption of drugs that might affect the gastrointestinal tract, irritable bowel syndrome, or diagnosis of depression (Beck Depression Inventory ≥ 20). The patient signed a consent form agreeing to participate in this study.

To provide KMDs with the necessary information for the diagnosis, we discussed with the experts and conducted several simulations regarding the types and methods of patient information that can be collected. A researcher (KMD specialized in internal medicine) collected the following patient information: physical and demographic characteristics (age, sex, height, weight, blood pressure, pulse, occupation, and educations), chief complaint, present illness, past history, social history, and other states (e.g., appetite, digestion, bowel movement, urine, pitting edema, sweating, thirst, sleep, cold and heat, and fatigue). We videotaped the overall data collection process, including the patient walking into the clinic, verbally stating cardinal symptoms, pulse diagnosis, and abdominal examination process, and then attached the researcher’s documentary explanation. The researcher also recorded the complex, lipid condition, eye and skin condition, and tongue condition (tongue manifestation, color, and fur), and then photographed the entire body, as well as the anterior and lateral side of the face. Additionally, a picture of the tongue was taken using a tongue diagnosis system. Furthermore, additional clinical information was gathered using the following devices and methods: Iris diagnosis [IRS-1000, Seoul, Korea], Ryodoraku (electro-dense measurement of the 12 meridians, SME-5800N, Neomynh, Korea), heart rate variability [HRV analyzer, SA-3000P, MEDICORE, Korea], body composition analysis test [INBODY 720, BIOSPACE, Korea]. Finally, the patient completed the pattern diagnosis questionnaire for constitution (Questionnaire for the Sasang Constitution Classification, QSCC-II), cold and heat, static blood, phlegm-retained fluid, Ping-Wei-San questionnaire and fatigue due to overexertion, which were validated for identification of each pattern. All of the above patient information was prepared to provide information upon KMD’s request if necessary for patient diagnosis.

2.2. Brief case summary

The patient was a 34-year-old female with FD, who mainly complained of heartburn, nausea, belching, and bowel sounds. She usually ate a small amount of food, and the symptoms became worse after meals. Her meal times were usually irregular due to her employment at an office in a stressful environment. She also complained of headache, coldness of the hand and foot, and edema, especially in the morning. Representative characteristics observed were pale and reddened complexion, moist skin and lips, red tongue with white fur, and floating pulse on both pulse examinations. Tenderness of CV17, tenderness or rigidity of CV12 or 13, stiffness of rectus abdominis, and fullness in the chest and left hypochondrium were remarkable observations. Her previous medical history was not remarkable (Fig. 1).

2.3. Simulated diagnostic procedures regarding the pattern diagnosis and selection of acupoints

To recruit KMDs, we randomly selected KMDs from Seoul and Gyeonggi-do Province listed in the “2012 KMDs’ membership list” published by the Association of Korean Medicine and telephoned to ask if they were willing participate. KMDs with more than three years of clinical experience and who had treated an FD patient in the past year were included. We excluded KMDs who did not routinely use acupuncture in practice.

After participants were determined as eligible, each trained simulated patient visited the clinics and underwent the simulated diagnostic procedures concerning the pattern diagnosis and selection of acupoints. The simulated patient first told the KMDs,
“After watching the patient’s video, please diagnose me comfortably through your routine medical practice as if I am an actual patient. If you need any more information regarding the patient, please let me know.” The simulated patient answered the KMDs’ questions as similarly as possible to the actual patient’s description. Once the KMDs obtained all the information needed for diagnosis, they answered questions about the pattern diagnosis for the patient, grounds of the pattern diagnosis, and acupoints that they would use for the treatment.

2.4. Data analysis

To analyze the principles of diagnosis and priorities in decision-making of the KMDs, the following process was carried out. First, hierarchical clustering analysis was performed to identify the rules regarding how medical information was used to decide the patterns. The similarity between the grounds of patterns was evaluated by applying Jaccard distance\(^1\) using diagnostic criteria, and a neighbor-joining tree was generated using the ratio of the size of the symmetric difference based on the diagnosis. Second, we applied the bottom-up hierarchical clustering to determine the similarity based on diagnostic evidence. The phylogenetic tree\(^2\) was generated by hierarchical clustering using KMDs. From the cluster of a KMD, the other KMDs were located to determine if they were included in the same cluster. Due to the diversity of medical terminologies used, three experienced experts qualitatively grouped the patterns as per their original meanings.

The acupoints were analyzed as follows: first, the pictogram was generated by grouping the selected acupoints based on their distribution (upper extremity, lower extremity, chest, abdomen, and back and shoulder); next, the frequency analysis of each acupoint was performed according to the corresponding pattern identification.

3. Results

3.1. Characteristics of the participating Korean medicine doctors

After screening 694 KMDs via telephone, 118 qualified participants agreed to participate. Participation was withdrawn by 49 KMDs during coordination of visitation schedules, while data from 69 KMDs remained for the final analysis. The average age of the participants was 45.6 years, and the average clinical career duration was 17 years. In their routine clinical practice, the participants mainly used traditional Korean Medicine style acupuncture with diagnosis primarily based on patterns (84.1%), while a relatively high percentage (46.4%) stated that they used Sa-am acupuncture, a Korean traditional method of acupuncture based on the theory of five elements. In addition, participants responded that other various types of acupuncture style, including Dong-Si acupuncture, myofascial trigger-point acupuncture, or constitutional acupuncture, as well as pharmacopuncture, or laser acupuncture, were used as their routine treatment methods (Table 1).

3.2. Analyses of pattern identifications

3.2.1. Analysis of the importance of patient information

In addition to chief complains (Fig. 1), the KMDs regarded bowel movement condition including fecal state as the most important patient information in the process of the pattern diagnosis and selection of acupoints (\(n = 44\)). It was followed by abdominal examination (\(n = 38\)), pulse diagnosis (\(n = 32\)), tongue diagnosis (\(n = 27\)), inspection (\(n = 23\)), balance of body shape (\(n = 19\)), sleeping state (\(n = 12\)), condition of urine (\(n = 12\)), warm heat of the hands and feet (\(n = 10\)), and fatigue (\(n = 9\)) in that order (Fig. 2).

They also reported that they needed more information in addition to the information we provided including detailed eating habits (types of food consumed, whether meals were consumed

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### Table 1: Characteristics of the participating Korean medicine doctors

| Age       | Occupation               | Married Status | Blood Pressure (mmHg) | History | Social History | Height (cm) | Weight (kg) | BMI |
|-----------|--------------------------|----------------|-----------------------|---------|----------------|-------------|-------------|-----|
| 34 yrs    | Office worker            | Not married    | 126/80                | n.s.    | alcohol (-) smoking (-) | 153.8 cm    | 44.2 kg     | 18.7 |

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**Fig. 1.** Representative clinical information provided to the physicians.
### Table 1
Characteristics of Traditional Korean Medicine Doctors

| Categories                        | Number (%) |
|-----------------------------------|------------|
| **Age (year)**                    |            |
| 30–39                             | 14 (20.3)  |
| 40–49                             | 40 (58.0)  |
| 50–59                             | 11 (16.0)  |
| More than 60                      | 4 (5.8)    |
| **Gender**                        |            |
| Male                              | 60 (87.0)  |
| Female                            | 9 (13.0)   |
| **Educational status**            |            |
| Bachelor's degree                 | 19 (27.5)  |
| Master's degree                   | 4 (5.8)    |
| Doctor's degree                   | 46 (66.7)  |
| **Clinical experience (year)**    |            |
| 5–10                              | 5 (7.2)    |
| 10–15                             | 24 (34.8)  |
| 15–20                             | 15 (21.7)  |
| More than 20                      | 25 (36.2)  |
| **Korean Medicine specialist**    |            |
| Yes                               | 16 (23.2)  |
| No (general practitioner)         | 53 (76.8)  |
| **Style of acupuncture that participants usually use in clinical practice** | |
| TKM style acupuncture with diagnosis primarily based on patterns | 58 (84.1) |
| Sa-am acupuncture, a Korean traditional method of acupuncture based on the theory of five elements | 32 (46.4) |
| Others                            | 33 (47.8)  |

TKM, traditional Korean Medicine.

Fig. 2. Prioritized information for the diagnosis and selection of acupoints in functional dyspepsia, where the numbers (1–10) indicate the priority rank of information according to the Korean medicine doctors’ responses.
at regular intervals, whether water was consumed before or after meals, preferred drinking water temperature, activities performed after meals, indigestible foods consumed, habit of overeating or eating at night, and causes of aggravated symptoms), information about pressure points [e.g., details of transport points (specific points on the back where the qi of the visceral organs is infused) with tenderness on pressure], more information regarding bowel movement condition and abdominal examination, diagnosis opinion on gastroscopy, breathing rate, images of oral check-up, body type, color of the eye ground, and strength of voice.

3.2.2. Analysis of the pattern diagnosis types

We classified the responses of the pattern diagnoses into three categories: spleen–stomach weakness (SSW; \( n = 53, \) 79\%), liver qi depression (LQD; \( n = 49, \) 73\%), and food accumulation or phlegm-fluid retention (FAPR; \( n = 29, \) 43\%). Among the three categories, 14
KMDs (21%) understood the patient through one pattern diagnosis, whereas 53 KMDs (79%) understood the patient through more than two or more diagnoses (Fig. 3A). Fig. 3B shows the results of hierarchical clustering, showing which patient information was used when the KMDs determined the pattern diagnosis. Furthermore, the results show that the KMDs conducted pattern diagnosis and diagnosed the patient with SSW based on cardinal symptoms, such as eating habits, results of abdominal diagnosis, and body type. They diagnosed the patient with LQD based on pressure pain and constipation observed through abdominal examination. When they diagnosed the patient with FAPR, cardinal symptoms, such as heartburn or nausea and the result of tongue diagnosis formed the basis of the diagnosis. The results of hierarchical clustering analysis based on the diagnostic grounds used by each KMD were classified into three groups for diagnostic reasons (Supplementary Fig. S1).

3.2.3. Analysis of selected acupoints

Overall, acupoints of the lower limbs, upper limbs, and abdomen were commonly used. The acupoints of the abdomen were more commonly used than other pattern diagnoses in the case of SSW. On the other hand, acupoints of the chest, back, and shoulder were used more, and acupoints of the abdomen were less commonly used as compared to the other pattern diagnoses in the case of LQD (Fig. 4).

This study found that a total of 91 different acupoints were recommended across all KMDs. Among these points, CV12, LI4, LR3, and ST36 were frequently used by KMDs (60%, 58%, 51%, and 45%, respectively), which were commonly included in all patterns. Overall, the distinct features of the results were that selection of adjacent acupoints was performed using abdominal acupoints, and selection of distant points was performed using a combination of five transport points and acupoints used in Sa-am acupuncture. When we examined those points from the meridian and collateral views, we determined that the stomach meridian and conception vessel, liver meridian, large intestine meridian, and spleen meridian were the most frequently mentioned (Supplementary Fig. S2).

3.2.4. Analysis of acupoints by pattern diagnosis

Forty-six acupoints were mentioned for treatment of SSW, with CV12 (n = 25), LI4 (n = 18), ST36 (n = 16), LR3 (n = 15), ST43 (n = 12), and ST41 (n = 11) used in descending order of frequency. Nourishing stomach meridian (n = 8) and nourishing spleen meridian (n = 5) were mentioned in Sa-am acupuncture (n = 13) (Fig. 4B). Sixty-five acupoints (n = 197) were mentioned for treatment of LQD, with LI4 (n = 15) and LR3 (n = 14) used the most, and CV17, PC6 (each n = 10), HT7, HT8 (each n = 8), LR1, LR2, ST36 (each n = 7), CV12 (n = 6), CV20, SP4, GB41, and LU8 (each n = 5) mentioned in descending order of frequency. Sedating liver meridian was used in Sa-am acupuncture (n = 3) (Fig. 4C). Forty-three acupoints (n = 87) were used in diagnosing FAPR, with CV12 (n = 9), ST36 (n = 7), LI4 (n = 6), LR3 (n = 5), and ST40 (n = 4) most used among them (Fig. 4D).

4. Discussion

This study was conducted to research how doctors decided which principles of acupuncture therapy they would use in treating a patient with FD. To the best of our knowledge, this is the first study evaluating the diagnostic process of performing a pattern diagnosis, using patient information, and of selecting acupoints for the treatment.

Overall analysis of the clinical data by inspection, listening, inquiry, and palpation to help identify and chose the diagnostic pattern is a key feature of traditional East Asian practice. This could provide an integrative perspective on the etiology, pathology, and treatment methods. In this study, KMDs categorized the FD patient (patient A) into three pattern diagnoses of SSW, LQD, and FAPR. As shown in Fig. 3A, 80% of the KMDs understood that the symptoms were complicated, because they diagnosed the patient as having two or more pattern diagnoses. In traditional Korean medicine (TKM), it is thought that the causes of disease do not act lopsidedly; rather, disease is thought to be a result of the combination of several causes or of the interactions between the causes and body condition. This study is a good example.
eliciting this characteristic of the traditional East Asian medicine. In the case of patient A, the identified pattern diagnoses were not independent, but mutually related and could be converted. There are many direct and indirect causes of the disease, and each pattern diagnosis is interconnected as the disease course progresses from the acute phase to the chronic phase. The pattern diagnosis result for patient A could be differentiated, irrespective of the criteria for the diagnosis being problematic organs, provoking causes, or pathological results. Unlike the classification of diseases, each relationship of pattern diagnosis is parallel and mutually converted rather than exclusive, and these characteristics must be considered when designing clinical trials and developing an education program for clinical performance skills. Regarding the above issues, Kim suggested that Western medicine emphasizes a “perceived object,” and involves diagnosis and treatment by fixing and objectifying the diseases, whereas TKM emphasizes the “experience of perception,” and involves diagnosis and treatment by reflecting upon the phenomenon occurring between the principal and associated symptoms.

By analyzing the characteristics for the selection of acupoints, we found that there were pattern-specific acupoints utilized in addition to the common acupoints used for certain major symptoms. Therefore, the selection of acupoints was determined by combining common acupoints for alleviating the chief symptom, along with specific acupoints to eliminate hypothesized causal factors of the diagnosed pattern. The upper and lower limbs, where special acupoints such as five transport points, source points, and lower sea points of the six bowls are located, were the regions where most acupoints were selected even though they were distant from the actual areas of digestive symptom presentation. The acupoints ST36, CV12, LI4, and LR3, which were frequently selected in our analysis, were consistent with those selected in clinical trials of FD published over the years. Additionally, in our analysis, the five transport points GB41, HT8, HT7, SI5, LR1, and LR2, used for Sa-am acupuncture therapy were more frequently selected in the pattern-specific scenario. Sa-am acupuncture provides basic acupuncture prescriptions to restore balance by applying the engendering and restraining cycle relationships using the five transport points on the 12 meridians. This might reflect the real clinical features of Korean acupuncture.

This study was the first to focus on the process rather than on the clinical outcomes. We observed the natural flow of the diagnostic process by each KMD; thus, it had the advantage of predicting actual clinical features. Actual clinical scenarios and experiences should be reflected in the process of standardization. The diversity of pattern diagnoses or selection of acupoints may result from the holistic view by considering individual differences. Thus, it is important to note that diversity needs to be considered in the standardization process. Furthermore, this study offers many ideas to educate students and evaluate students’ clinical practice ability by connecting clinical skills with textbook education. For example, clinical performance examination (CPX) evaluates subjective decision and practice under clinical situations. This study recorded the actual decision-making processes of KMDs that could be utilized to develop CPX for student education. Additional research, including the process of clinical reasoning and selection of treatment methods, is needed to design actual CPX scenarios.

Although this study utilized the symptoms of real patients to understand the diagnostic process and acupoint selection process in actual Korean Medicine practice better, it possesses a few limitations. First, the design of this study could vary from the actual diagnostic process. The KMDs participated during clinic hours, and the level of activity differed. Some KMDs asked for information such as eating habits, status of diet, or tenderness on the transport points, which were not investigated, and faced difficulty in making the proper diagnosis. Moreover, we used simulated patients rather than the actual patient, so the information was restricted even though we had trained the participants to offer information as realistically as possible. In future research, a more systematic and practical approach is needed to collect actual clinical data. Second, only one FD patient participated in the study, and identical medical information was distributed to approximately 70 KMDs (based on that patient) who provided responses about the diagnosis process; therefore, the results could not be generalized. Moreover, the symptoms of the actual patient were not severe. Finally, this study was not intended to evaluate the effectiveness of acupuncture treatment, but to acquire insight into the complex process of diagnosis and acupoint selection. Further clinical studies are needed to identify which pattern diagnosis and acupoints are appropriate for improved efficacy of acupuncture treatment.

In conclusion, we observed the clinical diagnostic process of KMDs using simulated FD patients based on data from an actual patient. These results can provide useful information to design clinical research and education to improve clinical performance in acupuncture that reflects the real-world practice. Further clinical studies are necessary to reflect the actual practical protocol on other diseases in addition to FD.

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Data availability

Conceptualization: HJP, SYK, SHH, and ISL. Methodology: SYK, SHH, YSB, YC, and HJP. Validation: HJP. Formal analysis: SHH, HJP, YSK, JK, and HL. Investigation: SKK, SJK, and JWP. Data curation: SKK, SJK, and JWP. Writing – original draft: SYK, SHH, and HJP. Writing – review & editing: SYK, and HJP. Visualization: SYK, SHH, and HJP. Supervision: HJP. Project administration: HJP. Funding acquisition: HJP.

The authors declare no conflict of interest.

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This study was approved by the Institutional Review Board of the Kyung Hee University Hospital at Gangdong (KHNM-OC-IRB 2013-018).

The data will be made available upon reasonable request.

Supplementary materials

Supplementary figures associated with this article can be found in the online version, at doi:10.1016/j.imr.2020.100419.

Supplementary Fig. S1 Phylogenetic tree of Korean medicine doctors (KMDs) based on the diagnostic similarity. Hierarchy clustering was created among KMDs (n = 66) who were diagnosed with a similar pattern diagnosis on a similar basis.

Supplementary Fig. S2 Used acupoints based on the meridian (BL, bladder meridian; GB, gallbladder meridian; HT; heart meridian; HT, kidney meridian; LI, large intestine meridian; LR, liver meridian; LU, lung meridian; PC, pericardium meridian; SI, small intestine meridian; SP, spleen meridian; ST, stomach meridian; TE, triple energizer meridian).
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