Subvarieties of $SU_C(2)$ and $2\theta$-divisors in the Jacobian

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Let $SU_C(2, L)$ denote the projective moduli variety of semistable rank 2 vector bundles with determinant $L \in \text{Pic}(C)$ on a smooth curve $C$ of genus $g > 2$; and suppose that $\deg L$ is even. It is well-known that, on the one hand, the singular locus of $SU_C(2, L)$ is isomorphic to the Kummer variety of the Jacobian; and on the other hand that when $C$ is nonhyperelliptic $SU_C(2, O)$ has an injective morphism into the linear series $|2\Theta|$ on the Jacobian $J^{g-1}_C$ which restricts to the Kummer embedding $a \mapsto \Theta_a + \Theta_{-a}$ on the singular locus. Dually $SU_C(2, K)$ injects into the linear series $|L|$ on $J^{g-1}_C$, where $L = O(2\Theta_\kappa)$ for any theta characteristic $\kappa$, and again this map restricts to the Kummer map $J^{g-1}_C \rightarrow |2\Theta|^\vee = |L|$ on the singular locus. This map to projective space (the two cases are of course isomorphic) comes from the complete series on the ample generator of the Picard group, and (at least for a generic curve) is an embedding of the moduli space. Moreover, its image contains much of the geometry studied in connection with the Schottky problem; notably the configuration of Prym-Kummer varieties.

In this paper we explore a little of the interplay, via this embedding, between the geometry of vector bundles and the geometry of $2\theta$-divisors. On the vector bundle side we are principally concerned with the Brill-Noether loci $W^r \subset SU_C(2, K)$ defined by the condition $h^0(E) > r$ on stable bundles $E$. These are analogous to the very classical varieties $W^r_{g-1} \subset J^{g-1}_C$. Unlike the line bundle theory, however, general results—connectedness, dimension, smoothness and so on—are not known for the varieties $W^r$ (see [6]).

On the $2\theta$ side we shall consider the Fay trisecants of the $2\theta$-embedded Kummer variety, and the subseries $P\Gamma_{00} \subset |L|$ consisting of divisors having multiplicity $\geq 4$ at the origin. This subseries is known to be important in the study of principally polarised abelian varieties [11]; in the Jacobian of a
curve its base locus is the surface \( C - C \subset J_C^0 \) (plus a pair of isolated points in the case \( g = 4 \)) \cite{22}, whereas for a \( \text{ppav} \) which is not a Jacobian it is conjectured that the origin is the only base point (but see \cite{3}).

The organisation and main results of the paper are as follows. In the first two sections we study two families of lines on \( SU_C(2, K) \subset |L| \) (or equivalently \( SU_C(2, \mathcal{O}) \subset |2\Theta| \)), each of dimension \( 3g - 2 \). These are the Hecke lines, coming from vector bundles of odd degree, on the one hand, and lines lying inside \( g \)-dimensional linearly embedded extension spaces (generating the lowest stratum of the Segre stratification), on the other. We prove (1.3 and 1.4) that a line in \( SU_C(2, K) \) lies in both of these families if and only if it intersects the Kummer variety. Moreover, we show (2.1) that every trisecant of the Kummer is such a line, and in particular lies on the moduli space. (This fact is certainly well-known to the experts, but we were not aware of a reference in the literature.) As a corollary we show (2.2) that the Kummer variety has quadrisecant lines if and only if the curve is hyperelliptic.

In section 3 we introduce the subschemes \( W^r \subset SU_C(2, K) \), and as a first step in their study we examine the stratification by \( h^0 \) of spaces of extensions, which will then map rationally into \( SU_C(2, K) \). The natural formulation of this stratification turns out to involve the Clifford index, and as an easy by-product we obtain the inequality (3.7)

\[
h^0(E) \leq g + 1 - \text{Cliff}(C)
\]

for any semistable rank 2 bundle \( E \) with \( \det E = K \).

In section 4 we prove, using a spectral curve construction, that (4.1)

\[
W^2 = P\Gamma_{00} \cap SU_C(2, K)
\]

provided \( C \) is nonhyperelliptic of genus 3 or 4, or nontrigonal of genus 5. On the other hand, we show later on (8.3) that the equality fails for all curves of genus 6.

The remaining four sections of the paper are devoted to examining some of the geometry in detail for each of the cases \( g = 3, 4, 5, 6 \). For genus 3 the moduli space \( SU_C(2, K) \) is embedded in \( \mathbb{P}^7 \) as the unique Heisenberg-invariant quartic singular along the Kummer variety—the so-called Coble quartic. We examine the configuration \( W^1 \subset W \subset \mathbb{P}^6 \), where \( \mathbb{P}^6 \) is the hyperplane spanned by the ‘generalised theta divisor’ \( W = W^0 \). It is known that \( W \) has a unique triple point \( W^2 = P\Gamma_{00} \); we show (5.3) that \( W^1 \) is a
Veronese cone (already to be found in the classical literature [7]) with vertex \(W^2\), and whose generators are trisecants of the Kummer variety corresponding to a natural embedding of \(|K|\) in the parameter space of all trisecants. In addition, we identify the tangent cone of \(W\) at the triple point (5.5): this is nothing but the secant variety of the Veronese surface, with equation \(\det A = 0\) where \(A\) is a symmetric \(3 \times 3\) matrix.

To each nonhyperelliptic curve of genus 4 one can associate a nodal cubic threefold \(\subset \mathbb{P}^4\), which can be described in various ways. The view we adopt here is that it is the rational image of \(\mathbb{P}^3\) via the linear system of cubics containing the canonical curve. There is an identification \(\mathbb{P}^4 \xrightarrow{\sim} \mathbb{P}\Gamma_{00}\), due to Izadi [12], and we prove (6.4) that this restricts to an isomorphism \(\xrightarrow{\sim} W^2\), with the node mapping to \(W^3 = \{g_3^1 \oplus h_3^1\}\), the direct sum of the two trigonal line bundles on the curve. For the proof of this we make use of Izadi’s description of the lines in \(\Gamma\) as pencils of \(2\theta\)-divisors. Note also that by passing to the tangent cone at the origin, \(\mathbb{P}\Gamma_{00}\) may be viewed as a linear system of quartics in canonical space \(\mathbb{P}^3\). We observe (6.11) that projection of the cubic \(W^2\) away the node can be naturally identified with the quotient of \(\Gamma_{00}\) by \(q^2\) where \(q\) is the unique quadric vanishing on the canonical curve.

For genus 5 we show (7.2) that \(W^3\) is a Veronese surface cutting the Kummer variety in the image of a plane quintic. If the curve is nontrigonal this quintic is the discriminant of the net of quadrics containing the canonical curve, while in the trigonal case it is isomorphic to the projection of the canonical curve from a trisecant.

Finally we show (8.1) that for a nontrigonal curve of genus 6 the locus \(W^4\) is a single point, stable if \(C\) is not a plane quintic (this case was also observed by Mukai in [13]), while \(W^4\) is a line not meeting the Kummer in the trigonal case. In the generic case \(W^4\) is the vertex of a configuration of five \(\mathbb{P}^6\)s which form the intersection of \(SU_C(2, K)\) with \(\mathbb{P}\Gamma_{00}\) residual to \(W^2\).

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I Lines

1 The g-plane ruling

For a line bundle $L$ on the curve $C$ we denote by $SU_C(2, L)$ the projective moduli variety of semistable rank 2 vector bundles with determinant $L$; and in particular we shall be concerned with $SU_C(2, K)$. The semistable boundary of this space is the image of $J_{g-1}^C \to SU_C(2, K)$ mapping $L \mapsto L \oplus KL^{-1}$; this is the singular locus when $g > 2$. Throughout the paper we shall view both the moduli space and the Kummer variety—when $C$ is nonhyperelliptic—as lying in the projective space $|2\Theta| = \mathbf{P}^{2g-1}$ in the standard way: by the complete linear series $|L|$ on $SU_C(2, K)$, where $L$ is the ample generator of Pic $SU_C(2, K) \cong \mathbf{Z}$, restricting to $O(2\Theta)$ on the Jacobian. A line on $SU_C(2, K)$ is then an embedded $\mathbf{P}^1$ on which the restriction of $L$ has degree one.

We shall consider the following subvariety of $SU_C(2, K)$ ruled by $g$-planes. For $x \in \text{Pic}^{g-2}(C)$ let $P(x) = PH^1(C, K^{-1}x^2) \cong \mathbf{P}^g$. This parametrises isomorphism classes of extensions

$$0 \to x \to E \to Kx^{-1} \to 0,$$

and thus has a moduli map to $SU_C(2, K)$, which is linear (with respect to $L$) and injective (see also remark 3.3 below). Globally we have a ruling:

$$PU \xrightarrow{\varepsilon} SU_C(2, K)$$

$$\downarrow \mathbf{P}^g$$

$$\text{Pic}^{g-2}(C)$$

where $U = R^1\pi_*K^{-1}\mathcal{N}^2$, with $\mathcal{N} \to C \times \text{Pic}^{g-2}(C)$ a Poincaré bundle and $\pi : C \times \text{Pic}^{g-2}(C) \to \text{Pic}^{g-2}(C)$ the natural projection.

We shall need to make repeated use, in what follows, of the following result of Lange–Narasimhan [14]. Consider any extension

$$0 \to n_0 \to F \to n_0^{-1} \otimes \det F \to 0$$

where $n_0 \subset F$ is a line subbundle of maximal degree. This is represented by a point $f$ of the extension space $PH^1(C, n_0^2 \otimes \det F^\vee) = PH^0(C, Kn_0^{-2} \otimes$
det $F^\vee$, into which the curve $C$ maps via the linear series $|K_n^{-2} \otimes \det F|$. For an effective divisor $D$ on $C$, we shall denote by $\mathcal{D}$ the linear span in $PH^1(C, n_0^{-2} \otimes \det F^\vee)$ of the image of this divisor. Then the following is proposition 2.4 of [14]:

1.1 Lemma. With the above notation there is a bijection, given by $O(D) = n^{-1}n_0^{-1} \otimes \det F$, between:

1. line subbundles $n \subset F$, $n \neq n_0$, of maximal degree; and

2. line bundles $O(D)$ with degree $\deg D = \deg F - 2 \deg n_0$ and such that $f \in \mathcal{D}$.

Let us return now to the $g$-planes $P(x) \hookrightarrow SU_C(2, K)$, where $x \in \text{Pic}^{g-2}(C)$, and the following well-known facts. The curve $C$ maps into $P(x)$ via $|K^2x^{-2}|$, as a special case of the Lange–Narasimhan picture. Moreover, a point of $P(x)$ represents a stable bundle (with $x$ as maximal line subbundle) precisely away from the image of $C$; while a point $q \in C \subset P(x)$ represents the equivalence class of the semistable bundle $x(q) \oplus Kx^{-1}(-q)$. In other words there is a commutative diagram:

\[
\begin{array}{c}
C \xrightarrow{t_x} J_{g-1}^C \\
|K^2x^{-2}| \downarrow \quad \downarrow \\
P(x) \xrightarrow{\varepsilon} SU_C(2, K)
\end{array}
\]

(1)

where $t_x : q \mapsto x(q)$ and the second vertical arrow maps $L \mapsto L \oplus KL^{-1}$.

The incidence relations between $g$-planes of this ruling can be given as follows.

1.2 Proposition. Suppose that $C$ is nonhyperelliptic. For $x, y \in \text{Pic}^{g-2}(C)$ the intersection $P(x) \cap P(y)$ is either empty, or:

1. the secant line $\overline{pq}$ of the curve (in either of $P(x)$ or $P(y)$) if $x \otimes y = K(-p - q)$;

2. the point $x(p) \oplus Kx^{-1}(-p) \in \text{Kum}(J_{g-1}^C)$, if $H^0(C, Kx^{-1}y^{-1}) = 0$ and $x \otimes y^{-1} = O(q - p)$.
Proof. First we note that at any point $E \in P(x)$ away from the curve the residual $g$-planes $P(y)$ through $E$ can be identified, via lemma [1.1], with the set of effective divisors $p + q$ such that $E$ lies on the secant line $pq$; and the line bundles $x, y$ are then related by

\[(2) \quad x \otimes y = K(-p - q).\]

Note that the point $p$ on the curve in $P(x)$ represents the bundle

\[x(p) \oplus Kx^{-1}(-p) = y(q) \oplus Ky^{-1}(-q),\]

i.e. it coincides with the image of $q$ on the curve in $P(y)$; and similarly

$q \in C \subset P(x)$ coincides with $p \in C \subset P(y)$. This shows that condition (2) is equivalent to $pq \subset P(x) \cap P(y)$.

On the other hand, when $C$ is nonhyperelliptic $P(x)$ and $P(y)$ cannot intersect in dimension greater than one: for then a generic point $E$ of the intersection would lie on distinct secant lines $pq$ and $rs$, both satisfying (2), and hence $O(p + q) = O(r + s)$, a contradiction.

The only other possibility for nonempty intersection $P(x) \cap P(y)$ is that this intersection is a single point, in which case it must be a point of the Kummer, and we easily find case 2. \hfill $\square$

Next we recall the Hecke correspondence between $SU_C(2, K)$ and $SU_C(2, K(p))$ where $p \in C$ is a point of the curve. For a stable bundle $F \in SU_C(2, K(p))$ we shall write $l_F \cong P^1 \subset SU_C(2, K)$ for the image of

\[\text{PHom}(F, C_p) \rightarrow SU_C(2, K)\]

\[\phi \mapsto \ker \phi.\]

This is called the Hecke line associated to the bundle $F$. Our aim in the remainder of this section will be to compare Hecke lines in $SU_C(2, K)$ with lines contained in the $g$-planes $P(x)$.

1.3 Theorem. Let $x \in \text{Pic}^{g-2}(C)$. A projective line $l \subset P(x)$ is Hecke if and only if it meets the image of the curve $C \subset P(x)$.

Proof. Suppose that a Hecke line $l_F$ is contained in $P(x)$. This means that for all extensions of sheaves of the form

\[0 \rightarrow E \rightarrow F \rightarrow C_p \rightarrow 0,\]
where $K(p)$ is the determinant of $F$, the kernel $E$ contains $x$ as a line subbundle. This means we have a pencil of homomorphisms $x \hookrightarrow F$, for which we have two possibilities.

Either the image subsheaf is constant and is in the kernel of every homomorphism $F \to \mathbb{C}_p$. Then there is an inclusion of sheaves $x(p) \subset F$, which by stability of $F$ is a line subbundle, i.e. $F$ is an extension

$$0 \to x(p) \to F \to Kx^{-1} \to 0.$$ 

But the space $\mathbb{P}H^1(C, K^{-1}x^2(p))$ of such extensions is the image of the projection of $\mathbb{P}(x) = \mathbb{P}H^1(C, K^{-1}x^2)$ from the point $p \in C \subset \mathbb{P}(x)$, i.e. the set of lines in $\mathbb{P}(x)$ passing through the point $p$. It is not hard to see that the line $l$ corresponding to $F$ in this manner is precisely $l_F$—and we note that every line meeting the curve arises in this way.

Or—the second possibility—the image sheaf is non-constant, in which case we have a subsheaf $x \oplus x \subset F$, with torsion quotient supported on some effective divisor $D$. But then $\det F = K(p)$ implies that $O(D) = Kx^{-2}(p)$. So $\deg D = 3$ and we observe that

$$h^0(C, K^2x^{-2}(-D)) = h^0(C, K(-p)) = g - 1,$$

i.e. that $\dim \mathcal{D} = 1$. In this case the Hecke line $l_F$ is just $\mathcal{D}$ and is trisecant to the curve. \qed

It follows by a dimension count that for $g > 2$ a generic Hecke line in $SU_C(2, K)$ is not contained in any $g$-plane. We shall show next that those that are are precisely the Hecke lines that meet the Kummer variety (i.e. the singular locus).

1.4 Theorem. Let $l_F \subset SU_C(2, K)$ be any Hecke line.

1. There is a canonical surjection from line subbundles $n \subset F$ with $\deg n = g-1$ to points of intersection $l_F \cap \text{Kum}(J_g^{g-1})$ with the Kummer variety, which is bijective if $l_F$ is not a tangent line of the Kummer.

2. The intersection $l_F \cap \text{Kum}(J_g^{g-1})$ is nonempty if and only if $l_F$ is contained in a $g$-plane $\mathbb{P}(x)$ for some $x \in \text{Pic}^g(C)$. If $C$ is nonhyperelliptic and $l_F \cap \text{Kum}(J_g^{g-1})$ has cardinality $k$ then the number of such $g$-planes is $1 + \binom{k}{2}$. 

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1.5 Remarks. (i) We have two irreducible families of lines in $SU_C(2, K)$: the Hecke lines and the lines contained in $g$-planes of the ruling. These families have the same dimension $3g - 2$, and we expect that each is a component of the Hilbert scheme of all lines. Theorems 1.3 and 1.4 would then say that the intersection of these two components consists of the members of each family which meet the Kummer variety.

(ii) The cardinality of $l_F \cap \text{Kum}(J_C^{g-1})$ satisfies $k \leq 4$ if $C$ is hyperelliptic and $k \leq 3$ otherwise; this follows from part 1 and proposition 5.1 of [10]. See also corollary 2.2 below.

**Proof of theorem 1.4.** For $n \subset F$ consider the diagram:

$$
\begin{array}{cccccccccc}
0 & \rightarrow & n & \rightarrow & F & \rightarrow & \text{Kum}(J_C^{g-1}(p)) & \rightarrow & 0 \\
\downarrow & & \downarrow & & \downarrow & & \downarrow & & \\
0 & \rightarrow & E & \rightarrow & \text{Kum}(J_C^{g-1}) & \rightarrow & 0 \\
\phi \downarrow & & \downarrow & & \downarrow & & \\
C_p & \rightarrow & 0 \\
\end{array}
$$

Then if $E = \ker \phi$ we have a surjective sheaf map $E \rightarrow \text{Kum}(J_C^{g-1}) \rightarrow 0$, and hence $n \subset E$. So $E$ is $S$-equivalent to $n \oplus K^{-1}$ and defines a point of intersection $l_F \cap \text{Kum}(J_C^{g-1})$. To see that this is surjective let $n \oplus K^{-1} \in \text{Kum}(J_C^{g-1})$ be a point of intersection with the Hecke line $l_F$. This means there is an exact sequence

$$
0 \rightarrow E \rightarrow F \rightarrow C_p \rightarrow 0
$$

where $E$ is $S$-equivalent to $n \oplus K^{-1}$; i.e. at least one of $n$ or $K^{-1}$ is a line subbundle of $E$, and hence of $F$.

In a moment we shall verify that in this construction we have:

(3) $n \oplus K^{-1} \subset F \quad \iff \quad l_F$ is tangent to $\text{Kum}(J_C^{g-1})$ at $n \oplus K^{-1}$.

This will show that the correspondence is bijective when $l_F$ is not a tangent line.

For part 2, we note first that if $l_F$ is contained in a $g$-plane $P(x)$ then by theorem 1.3 it meets the curve $C \subset P(x)$ and hence the Kummer. For the
converse it suffices, by the preceding construction, to suppose that there is a degree \( g-1 \) line subbundle \( n \subset F \). Then letting \( x = n(-p) \), it follows that \( F \) is represented by a point of the extension space \( PH^1(C, K^{-1}n^2(-p)) = PH^1(C, K^{-1}x^2(p)) \) and hence determines—as in the proof of theorem 1.3—a line \( l \subset P(x) \) through \( p \in C \), which coincides with the Hecke line \( l_F \).

Notice that by lemma 1.1 any residual degree \( g-1 \) line subbundles \( m \subset F \) correspond to points \( q \in C \) by the relation \( K(p-q) = n \otimes m \); and in this case \( l_F \) must be the secant \( pq \subset P(x) \). In particular, \( Kn^{-1} \) is a subbundle of \( F \) if and only if \( l_F \) is the tangent line to \( C \subset P(x) \) at \( p \)—this proves (3).

Finally, if we fix any \( g \)-plane containing \( l_F \) then by proposition 1.2 the residual such \( g \)-planes correspond bijectively to the effective divisors \( p + q \) such that \( l_F = pq \), i.e. to pairs of intersection points of \( l_F \) with the Kummer. And so we obtain \( 1 + \binom{k}{2} \) for the number of such \( g \)-planes. \( \square \)

2 Trisecants of the Kummer variety

Recall that the quotient \( \text{Kum}(J_{g-1}^C) \) of \( J_{g-1}^C \) by the Serre involution is embedded in \( P^{2g-1} \) by the linear system \(|2\Theta|\), and that this embedding extends to the moduli space \( SU_C(2, K) \) containing the Kummer as its singular locus (when \( g > 2 \)). In this embedding the Kummer possesses a unique irreducible 4-dimensional family of trisecant lines, which characterise Jacobians amongst principally polarised abelian varieties. We shall briefly describe this family of trisecants (see [19] or [8]).

The base \( \mathcal{F} \) of the family is the fibre product:

\[
\mathcal{F} \to S^4C \\
\downarrow \quad \downarrow \text{Abel-Jacobi} \\
\text{Pic}^{g-3}(C) \to \text{Pic}^4(C)
\]

where the bottom map sends \( a \mapsto Ka^{-2} \). An element of \( \mathcal{F} \), in other words, is a pair \((a, D) \in \text{Pic}^{g-3}(C) \times S^4C\) such that \( a^2 = O(K - D) \). Writing \( D = p + q + r + s \), one shows that the following three points of \( P^{2g-1} \) are collinear:

\[
\phi(a(q + r)) = \phi(a(p + r)) = \phi(a(q + s)) = \phi(a(r + s)).
\]
We shall refer to the lines of $\mathbb{P}^{2g-1}$ parametrised by $\mathcal{F}$ in this way as the *Fay trisecants*.

2.1 Theorem. *The Fay trisecants are precisely the Hecke lines which are trisecant to the Kummer variety. In particular they all lie on $SU_C(2, K)$.*

Proof. We ask for the condition on a Hecke line $l_F \subset SU_C(2, K)$ for it to be trisecant to the Kummer. Let $\det F = K(p)$. For $l_F$ to meet the Kummer $F$ must have a line subbundle $n \subset F$ of degree $g - 1$. Then by theorem [1.4], $l_F$ is a trisecant if and only if $F$ has two further degree $g - 1$ line subbundles. By stability these are maximal, and so by lemma [1.1] the residual subbundles correspond bijectively to points of $C$ mapping to the extension class of $F$ under

$$C \xrightarrow{|K^2n^2(p)|} \mathbb{P}H^1(C, K^{-1}n^2(-p)).$$

Thus trisecants $l_F$ correspond to nodes of the image curve under the linear series $|K^2n^2(p)|$; and the condition for such a node is that for points $q, r \in C$,

$$h^0(C, K^2n^2(p - q - r)) \geq h^0(C, K^2n^2(p)) - 1 = g - 1;$$

or equivalently

$$h^0(C, K^{-1}n^2(-p + q + r)) \geq 1.\] This in turn is equivalent to $K^{-1}n^2(-p + q + r) = \mathcal{O}(s)$ for some $s \in C$. We conclude that the necessary and sufficient condition for $l_F$ to be a trisecant $\overline{pqr}$ of $C \subset \mathbb{P}(x)$, $x = n(-p)$, is:

$$n^2 = K(p - q - r + s) \quad \text{or equivalently} \quad x^2 = K(-p - q - r + s).$$

One can now verify, using (1), that the points of intersection of $l_F$ with the Kummer—i.e. with the curve $C \subset \mathbb{P}(x)$—are the three points (4) where $a = x(-s)$. □

2.2 Corollary. 1. If $C$ is nonhyperelliptic then no Fay trisecant has more than three intersection points with the Kummer variety.

2. If $C$ is hyperelliptic then all Fay lines are exactly quadrisections.
Proof. By theorems 1.4 and 2.1 every Fay line lies in $\mathbf{P}(x)$ for some $x \in \text{Pic}^{g-2}(C)$. Let $D$ be an effective divisor on $C$ with dim $\mathcal{D} = 1$ in $\mathbf{P}(x)$. This is equivalent to $h^0(C, K^2x^{-2}(-D)) = g - 1$, or, by Riemann-Roch,

$$h^0(C, K^{-1}x^2(D)) = \deg D - 2.$$ 

If $\deg D = 5$ then this says that $|K^{-1}x^2(D)|$ maps $C$ birationally to a plane cubic, which is impossible; while if $\deg D = 4$ then it is equivalent to:

$$Kx^{-2} = \mathcal{O}(D - H)$$

where $H$ is a hyperelliptic divisor. This proves part 1; for part 2 let $\overline{pqr} \subset \mathbf{P}(x)$ be the trisecant constructed in the proof of theorem 2.1 and consider $D = p + q + r + \tau(s)$ where $\tau: C \leftrightarrow C$ is the hyperelliptic involution. Then (6) follows from (3) and we see that $\overline{pqr} = \mathcal{D}$ is a quadrisecant. □
II Loci

3 Brill-Noether loci in $SU_C(2, K)$

Let $W \subset SU_C(2, K)$ be the closure of the locus of stable bundles $E$ for which $H^0(C, E) \neq 0$, i.e. the ‘theta divisor’ for rank 2 bundles. In terms of the map $\phi : SU_C(2, K) \to |2\Theta|^\vee$, $W$ is the unique hyperplane section tangent to the Kummer variety $\text{Kum}(J_C^g-1)$ along the image of the theta divisor $\text{Kum}(\Theta)$.

The Brill-Noether loci are the subschemes

$$W \supset W_1 \supset \cdots \supset W^{g-1} \supset W^g$$

where $W^r$ is the closure of the set of stable bundles $E$ for which $h^0(C, E) \geq r + 1$. (We shall see in a moment that $W^{g+1} = \emptyset$—see proposition 3.7).

3.1 Remark. The local structure of $W^r$ is governed by a symmetric Petri map

$$S^2 H^0(C, E) \to H^0(C, K \otimes \text{ad} E),$$

where $\text{ad} E$ is the bundle of trace-free endomorphisms; as a consequence $W^r$ has expected codimension $\left(\frac{r+2}{2}\right)$ in $SU_C(2, K)$. (See for example [6]).

In addition, it is not hard to show that $W^1$ is the union of all Hecke lines meeting $W^2$. We shall see this illustrated for curves of genus 3 in theorem 5.3.

In order to study the Brill-Noether loci $W^r$ we shall analyse them first in spaces $\text{PExt}^1(K - D, D)$ of extensions

$$(7) \quad 0 \to \mathcal{O}(D) \to E \to \mathcal{O}(K - D) \to 0$$

for some line bundle $\mathcal{O}(D) \in \text{Pic}^d(C)$. Usually, though not always, we shall think of $D$ as an effective divisor; indeed $E$ has sections if and only if it can be expressed as such an extension with $D$ effective.

3.2 Remarks. (i) Note that by semistability $d \leq g - 1$ with equality if and only if $E$ is $S$-equivalent to $\mathcal{O}(D) \oplus \mathcal{O}(K - D)$. Moreover, every $E \in SU_C(2, K)$ is such an extension for some $D \in \text{Pic}^d(C)$ with

$$d \geq \left\lceil \frac{g-1}{2} \right\rceil.$$
This follows from a classical result of Segre and Nagata (see [15]) which says that every ruled surface of genus $g$ has a section with self-intersection at most $g$.

(ii) It will be convenient below to introduce the Clifford index \( \text{Cliff}(D) = \deg D - 2r(D) \), where \( r(D) = h^0(D) - 1 \), into our notation. Recall that the Clifford index \( \text{Cliff}(C) \) of the curve is defined to be the minimum value of \( \text{Cliff}(D) \) for which \( h^i(D) \geq 2 \) for \( i = 0, 1 \) (see [12]). Recall also that

\[
\text{Cliff}(C) \leq \left[ \frac{g - 1}{2} \right]
\]

with equality for generic \( C \).

As in section 1, the curve \( C \) maps into the space of such extensions; and we shall denote the rational coarse moduli map of this space by \( \varepsilon_D \):

\[
C \xrightarrow{|2K-2D|} \text{PExt}^1(K-D,D) \cong \mathbb{P}^{3g-4-2d} \xrightarrow{\varepsilon_D} \mathcal{SU}_C(2, K).
\]

We shall denote by \( \mathcal{I}_C \) the ideal sheaf of the image curve in \( \mathbb{P}^{3g-4-2d} \), and we shall write \( \text{Bl}_C \) for the blow-up along this curve and \( \text{Sec}^n C \) for the variety of its \( n \)-secant \((n-1)\)-planes; although of course the map \( C \to \text{PExt}^1(K-D,D) \) is not necessarily an embedding or even birational.

We shall write

\[
\mathcal{W}_D = \varepsilon_D(\text{PExt}^1(K-D,D)).
\]

Note here that by \( \varepsilon_D(\Omega) \), where \( \Omega \subset \text{PExt}^1(K-D,D) \), we shall always mean the proper transform of \( \Omega \), i.e. the closure in \( \mathcal{SU}_C(2, K) \) of the image of the domain of definition of \( \varepsilon_D \).

3.3 Remark. The rational map \( \varepsilon_D \) has been studied in detail by Bertram and others (see [5]), and resolves to a morphism \( \tilde{\varepsilon}_D \) of the blow-up:

\[
\text{PExt}^1(K-D,D) \leftarrow \text{Bl}_C \leftarrow \text{Bl}_{\text{Sec}_2 C} \leftarrow \cdots \leftarrow \text{Bl}_{\text{Sec}_{g-2-d} C} \downarrow \tilde{\varepsilon}_D \rightarrow \mathcal{SU}_C(2, K).
\]

Moreover, hyperplanes of \( |\mathcal{L}| = |2\Theta|^\vee \) pull back to divisors of \( |\mathcal{I}_C^{g-2-d}(g - 1 - d)| \) on \( \text{PExt}^1(K-D,D) \). We shall need these facts only in the cases \( d = g - 2 \) (already discussed in section 1) and \( d = g - 3 \); in both of these cases \( \varepsilon_D \) comes from the complete series \( |\mathcal{I}_C^{g-2-d}(g - 1 - d)| \).
It is easy to analyse the filtration of each $\mathbf{P} \mathbf{E} \mathbf{x} \mathbf{t}^{-1}(K - D, D)$ by the dimension $h^0(E)$. For any such extension we have

\begin{align}
  h^0(E) &= h^0(D) + h^0(K - D) - \text{rank } \delta(E) \\
  &= g + 1 - \text{Cliff}(D) - \text{rank } \delta(E) 
\end{align}

where $\delta(E) : H^0(K - D) \to H^1(D)$ is the coboundary homomorphism in the cohomology sequence of (7). (Note that (8) gives an upper bound $g + 1 - \text{Cliff}(C)$ on $h^0(E)$; see proposition 3.7 below.)

By Serre duality $\delta(E)$ is an element of $\otimes^2 H^1(D)$, while its transpose $\delta(E)^t$ is the coboundary map for the dual sequence tensored with $K$: $0 \to \mathcal{O}(D) \to K \otimes E^\vee \to \mathcal{O}(K - D) \to 0$. But $K \otimes E^\vee = E$ and so $\delta(E) = \delta(E)^t$. We have therefore constructed a linear homomorphism

$$
\delta : \mathbf{E} \mathbf{x} \mathbf{t}^{-1}(K - D, D) \to S^2H^1(D),
$$

with respect to which $h^0(E)$ satisfies (8). But the rank stratification of $S^2H^1(D)$ coincides with the secant stratification of its embedded Veronese variety

$$
\text{Ver} : \mathbf{P}H \leftrightarrow \mathbf{P}S^2H \\
\xi \mapsto \xi \otimes \xi,
$$

where $H = H^1(D)$. In other words

$$
\text{Sec}^n(\text{VerPH}) = \{ a \in \mathbf{P}S^2H | \text{rank } a \leq n \}
$$

for $n = 1, \ldots, \dim H = r(D) - d + g$. On the other hand, the homomorphism $\delta$ is dual to the multiplication map $S^2H^0(K - D) \to H^0(2K - 2D)$ and so the above Veronese embedding fits into the following commutative diagram:

\[
\begin{array}{ccc}
C & \xrightarrow{|K - D|} & \mathbf{P}H^1(D) \\
|2K - 2D| \downarrow & & \downarrow \text{Ver} \\
\mathbf{P} \mathbf{E} \mathbf{x} \mathbf{t}^{-1}(K - D, D) & \xrightarrow{\delta} & \mathbf{P}S^2H^1(D) \\
\varepsilon_D \downarrow & & \\
\mathcal{W}_D & & 
\end{array}
\]
We now define:

\[ \Omega_D^0 = \mathbf{P} \ker \delta, \]
\[ \Omega_D^n = \delta^{-1}(\text{Sec}^n(\text{Ver} \mathbf{P} H^1(D))), \quad n = 1, \ldots, g - d + r(D). \]

(When it is convenient we shall drop the subscript and write \( \Omega^n = \Omega^n_D \).) Thus if \( \Omega^0 \) is nonempty then \( \Omega^0 \subset \Omega^1 \subset \cdots \subset \Omega^{g-d+r(D)} \subset \mathbf{P} \text{Ext}^1(K - D, D) \) is a sequence of cones with vertex \( \Omega^0 \).

We can therefore state the main conclusion of this section as follows:

\[ h^0(E) = g + 1 - \text{Cliff}(D) - n \quad \text{for } E \in \Omega_D^n \backslash \Omega_D^{n-1}. \]

3.4 Example. \( d = g - 2 \). If \( D \in \text{Pic}^{g-2}(C) \) then \( \mathbf{P}(D) = \mathbf{P} \text{Ext}^1(K - D, D) \) is a \( g \)-plane of the ruling of section 1. The map \( \varepsilon_D : \mathbf{P}(D) \hookrightarrow \mathbf{SU}_C(2, K) \) is a linear embedding, and we shall not distinguish between \( \mathbf{P}(D) \) and its image. In this case (11) says:

\[ \mathcal{W}_D \cap \mathcal{W}^r = \Omega^{2r(D)+2-r} \subset \mathbf{P}(D), \quad r = r(D), \ldots, 2r(D) + 2. \]

The cones \( \Omega^n \) are constructed using \( \delta : \text{Ext}^1(K - D, D) \to S^2 H^1(D) \) where \( \dim \text{Ext}^1(K - D, D) = g + 1 \) and \( \dim H^1(D) = h^0(D) + 1 \).

If \( h^0(D) = 0 \) then \( \dim S^2 H^1(D) = 1 \) and \( \Omega^0 \subset \Omega^1 = \mathbf{P}(D) \) is a hyperplane, on which \( h^0(E) = 1 \). In other words \( \Omega^0 = \mathbf{P}(D) \cap \mathcal{W} \).

If \( h^0(D) = 1 \) then \( \dim S^2 H^1(D) = 3 \). Since \( |K - D| \) is a pencil, \( S^2 H^0(K - D) \to H^0(2K - 2D) \) is necessarily injective and so again \( \delta \) is surjective. In this case, therefore:

\[ \Omega^0 \cong \mathbf{P}^{g-3} \quad \text{on which } h^0(E) = 3, \]
\[ \Omega^1 = \text{quadric of rank } 3, \quad h^0(E) = 2, \]
\[ \Omega^2 = \mathbf{P}(D), \quad h^0(E) = 1. \]

If \( h^0(D) = 2 \) then the series \( |K - D| \) maps \( f : C \to \mathbf{P}^2 \) with degree \( g \); and the homomorphism \( \delta \) is no longer surjective in general. In fact surjectivity fails precisely when \( f \) maps \( C \) onto a conic, which cannot happen if \( g \) is odd, but can occur for a trigonal curve of genus 6, for example: if \( |L| = g_3 \) then
take $D = K - 2L$. In case $\delta$ is surjective we have:

\[
\begin{align*}
\Omega^0 & \cong \mathbb{P}^{g-6} \quad \text{on which } h^0(E) = 5, \\
\Omega^1 & = \text{cone over a Veronese surface in } \mathbb{P}^5, \quad h^0(E) = 4, \\
\Omega^2 & = \text{cone over cubic hypersurface } S^2\mathbb{P}^2 \hookrightarrow \mathbb{P}^5, \quad h^0(E) = 3, \\
\Omega^3 & = \mathbb{P}(D), \quad h^0(E) = 2.
\end{align*}
\]

And so on.

The ‘universal’ case of (11) is the case $D = 0$. This says that $W_r$ is composed of the image of $\Omega^{g-r} \subset \mathbf{P}^1(K, \mathcal{O}) \cong \mathbb{P}^{3g-4}$ together with those of the corresponding cones in the exceptional divisors of the blow-up of remark 3.3:

$$W_r = \bigcup_{D \geq 0 \atop \deg D \leq g-2} \varepsilon_D \Omega^{g-r-\text{Cliff}(D)}.$$ 

Diagram (10) becomes in this case:

\[
\begin{array}{ccc}
C & \xrightarrow{|K|} & \mathbb{P}^{g-1} \\
|2K| \downarrow & & \downarrow \text{Ver} \\
\Omega^{g-r} \subset \mathbb{P}^{3g-4} & \xrightarrow{\delta} & \mathbb{P}S^2H^1(\mathcal{O}) \\
\downarrow & & \varepsilon_0 \downarrow \\
W^r & \subset & W
\end{array}
\]

3.5 Remark. Note that if $C$ is nonhyperelliptic then by Noether’s theorem $\delta$ in (12) is injective. Then $\Omega^n$ is the intersection of $\mathbb{P}^{3g-4} \subset \mathbb{P}S^2H^1(\mathcal{O})$ with the secant variety $\text{Sec}^n(\text{VerP}^{g-1})$, and in particular contains $\text{Sec}^nC \subset \mathbb{P}^{3g-4}$. One can show, in fact, that Green’s conjecture on the syzygies of the canonical curve (see [12]) implies:

$$\Omega^n = \text{Sec}^nC \subset \mathbb{P}^{3g-4} \quad \text{for } n < \text{Cliff}(C).$$

One consequence of this statement is that $\Omega^{\text{Cliff}(C)}$ is the smallest cone in the sequence containing semistable extensions.
We conclude this section with two inequalities. The first, which will be useful later, is due to Mukai ([18], proposition 3.1):

3.6 Lemma. If $|D|$ is base-point-free then for any rank 2 vector bundle $E$ with $\det E = K$ we have $h^0(E(-D)) \geq h^0(E) - \deg D$.

3.7 Proposition. For all semistable bundles $E$ in $SU_C(2, K)$ we have

$$h^0(E) \leq g + 1 - \Cliff(C).$$

3.8 Remark. In particular, this bound becomes:

$$h^0(E) \leq \begin{cases} g & \text{for nonhyperelliptic } C, \\ g - 1 & \text{for } C \text{ not trigonal or a plane quintic}, \\ \ldots \\ [g/2] + 2 & \text{for generic } C. \end{cases}$$

The bound $h^0(E) \leq g$ for nonhyperelliptic curves was observed by Laszlo [17], proposition IV.2.

Proof of proposition 3.7. We may assume $E$ comes from an extension in $\mathbf{P} \Ext^1(K - D, D)$ where, by remark 3.2 (i)

$$\left[ g - 1 \right] \leq \deg D \leq g - 1.$$

The right-hand inequality implies $h^0(D) \leq h^1(D)$ so that if $h^0(D) \geq 2$ then $\Cliff(D) \geq \Cliff(C)$ by definition. If, on the other hand, $h^0(D) \leq 1$ then $\Cliff(D) \geq \deg D \geq \Cliff(C)$ by the left-hand inequality together with remark 3.2 (ii). In either case, therefore, the proposition follows from (8). □

4 $\mathbf{P} \Gamma_{00}$

We shall as usual identify $SU_C(2, K)$ with its image in $|2\Theta| = |L|$ where $L = \mathcal{O}(2\Theta_\kappa) \in \Pic(J_C)$ for any theta characteristic $\kappa \in \vartheta(C)$. Namely, a stable bundle $E \in SU_C(2, K)$ is mapped to the divisor $D_E \in |L|$ defined by

$$D_E = \{ L \in J_C \mid h^0(C, L \otimes E) > 0 \}.$$
On the other hand, one can consider the linear system \( P_{\Gamma_{00}} \subset |L| \) defined by:

\[
P_{\Gamma_{00}} = \{ D \in |L| \mid \text{mult}_0 D \geq 4 \} = \{ D \in |L| \mid C - C \subset \text{supp } D \}.
\]

(13)

For the equivalence of these two definitions see [10] or [22]; one can show, in addition, that \( P_{\Gamma_{00}} \) has codimension \( 1 + \frac{1}{2}g(g + 1) \).

It is easy to verify that the Brill-Noether locus \( W^2 \) is always contained in the subspace \( P_{\Gamma_{00}} \). The main result of this section is a partial converse:

**4.1 Theorem.** \( W^2 \subset P_{\Gamma_{00}} \cap SU_{C}(2, K) \subset W^1 \). Moreover, if \( C \) is nonhyperelliptic of genus 4 or nontrigonal of genus 5 then \( W^2 = P_{\Gamma_{00}} \cap SU_{C}(2, K) \).

**4.2 Remark.** We shall show later that \( W^2 \not= P_{\Gamma_{00}} \cap SU_{C}(2, K) \) for curves of genus 6 (see remark 8.3). For genus 4 the embedding \( W^2 \subset P_{\Gamma_{00}} \) will be described in theorems 6.4 and 6.11.

**4.3 Lemma.** Suppose \( F \) is a semistable vector bundle of rank 2 and degree \( 2d \) where \( 0 \leq d \leq g - 1 \); and \( k \geq 0 \) an integer. Then \( h^0(F) \geq k \) if and only if \( h^0(F(D)) \geq k \) for all \( D \in S^{g-1-d}C \).

Before proving this lemma let us show how it implies theorem 4.1. We suppose that \( C - C \subset D_E \) for a stable bundle \( E \in SU_{C}(2, K) \), and we show first that \( h^0(E) \geq 2 \); by hypothesis \( h^0(E(p - q)) \geq 1 \) for all \( p, q \in C \), so by the lemma we deduce that \( h^0(E(-q)) \geq 1 \) for all \( q \in C \). From this it follows that \( h^0(E) \geq 2 \), since \( h^0(E) \geq 1 \) and equality would imply that every section vanishes at arbitrary \( q \in C \), a contradiction.

Now suppose that \( h^0(E) = 2 \) and consider the evaluation map \( e_q : H^0(E) \to E_q \) for \( q \in C \). Since \( h^0(E(-q)) \geq 1 \) we have rank \( e_q \leq 1 \) for all \( q \in C \), and hence the sections of \( E \) generate a line subbundle \( L \subset E \) with \( h^0(L) = 2 \). But by stability of \( E \) this must satisfy \( \deg L < g - 1 \) so \( C \) admits a \( g_2^{g-2} \). So if \( C \) is nonhyperelliptic of genus 4 or is nontrigonal of genus 5 we obtain a contradiction, and we conclude that \( h^0(E) \geq 3 \).

For the lemma, we shall prove the following equivalent statement. Let \( E \in SU_{C}(2, \mathcal{O}) \) and \( \xi \in \text{Pic}^d(C) \), \( 0 \leq d \leq g - 1 \). Then
(14) \[ H^0(C, \xi \otimes E) \geq k \iff H^0(C, \xi(D) \otimes E) \geq k \forall D \in S^{g-1-d}C. \]

We shall introduce a spectral curve \( q : B = B_s \rightarrow C \) (see [4]). Namely, 
\( B_s \) is the subscheme of the total space of the canonical line bundle \( K \xrightarrow{q} C \) 
with equation \( x^2 = s \), where \( s \in H^0(C, K^2) \) is a generic section. This is a smooth 
double cover of \( C \) of genus \( g_B = 4g - 3 \), and there is a dominant 
rational map of finite degree of the Prym variety on to \( SU_C(2) \):
\[ Q_s = \text{Nm}_q^{-1}(K) \rightarrow SU_C(2) \]
\[ \zeta \mapsto q_\ast \zeta. \]

Moreover, the images of these rational maps cover the moduli space as the section \( s \) varies, 
and so for any \( E \in SU_C(2) \) we may assume that \( E = q_\ast \zeta \) 
for some line bundle \( \zeta \in Q_s \), for suitable \( s \in H^0(C, K^2) \).

By the projection formula the left-hand side of (14) is
\[ H^0(C, \xi \otimes E) = H^0(B_s, L) \quad \text{where} \ L = \zeta \otimes q^\ast \xi. \]
Notice that for \( d < g - 1 \), \( \deg L = 2g - 2 + 2d \leq 4g - 6 = g_B - 3 \), and in particular the Serre dual linear series \( [K_B L^{-1}] \) is base-point-free for generic 
\( \zeta \in Q_s \). By choosing \( s \) generically we may assume, for any given \( E \in SU_C(2) \) 
and \( \xi \in J^d \), that this is the case.

We shall need:

4.4 Lemma. Suppose, for \( q : B \rightarrow C \) a double cover as above, that \( |N| \) is a 
base-point-free linear series on \( B \). Then either \( N = q^\ast N' \) for some \( N' \in \text{Pic} \ C \) 
or
\[ h^0(B, N \otimes q^\ast \mathcal{O}(-x)) = h^0(B, N) - 2 \]
for generic \( x \in C \).

Proof. Write \( q^{-1}(x) = x_1 + x_2 \) with \( x_1 \neq x_2 \). Then \( h^0(B, N) - h^0(B, N(-x_1 - x_2)) \) is the rank of the evaluation map \( H^0(B, N) \rightarrow \mathbb{C}_{x_1} \oplus \mathbb{C}_{x_2} \); 
and either this rank is 2 for generic \( x \in C \) or it is \( \leq 1 \) for all \( x \in C \). In 
the latter case, the base-point-free hypothesis ensures that the image of the 
evaluation map is not contained in either summand; this implies that every 
divisor in \( |N| \) is symmetric, so \( N = q^\ast N' \) as asserted. \( \square \)
We shall want to apply the lemma to $N = K_B L^{-1}$; we begin by observing that this line bundle cannot be symmetric, as follows. Since $K_B = q^* K_C^2$ and $L = \zeta \otimes q^* \xi$, $N = q^* N'$ would imply that $\zeta = q^* \tau$ for some $\tau \in \text{Pic} \, C$. But then

$$E = q_* \zeta = \tau \otimes q_* \mathcal{O}_B = \tau \oplus K_C^{-1},$$

violating semistability.

So finally, consider the right-hand side of (14). By the projection formula this space is

$$H^0(C, \zeta(D) \otimes E) = H^0(B, L \otimes q^* \mathcal{O}(D)).$$

We note that $\deg L \otimes q^* \mathcal{O}(D) = 4g - 4 = g_B - 1$, so by Riemann-Roch

$$h^0(C, \zeta(D) \otimes E) = h^0(B, K_B L^{-1} \otimes q^* \mathcal{O}(-D)).$$

We now apply the lemma $e = g - 1 - d$ times to $N = K_B L^{-1}$: this gives, for $D \in S^e C$ generic, $h^0(C, \zeta(D) \otimes E) = h^0(B, K_B L^{-1}) - 2e$.

**Proof of (14).** Assuming the right-hand side we have, by the last remark and by choosing $D$ generically, $h^0(B, K_B L^{-1}) \geq k + 2e$. Consequently

$$h^0(C, \zeta \otimes E) = h^0(B, L) = h^0(B, K_B L^{-1}) + \deg L - g_B + 1 \geq k + 2e + \deg L - g_B + 1 = k.$$

The converse is trivial. $\square$
III Low genera

5 Genus 3

In this section we shall take $C$ to be a nonhyperelliptic curve of genus 3. Then $SU_C(2,K)$ is the Coble quartic associated to the Kummer variety in $P^7$ (see \[20\] and \[4\], §33). It is well-known that in this case the 3-plane ruling $\varepsilon : PU \to SU_C(2,K)$ of section 1 is surjective and has degree 8. This follows easily from remark 3.2 (i) and lemma 1.1.

The behaviour of $\text{h}^0(E)$ in each 3-plane of the ruling is given by example 3.4. Namely, if $\text{h}^0(x) = 0$ then $\mathcal{W} \subset SU_C(2,K)$ cuts $P(x)$ transversally in a 2-plane along which $\text{h}^0(E) = 1$, while:

$$\mathcal{W}^1 = \bigcup_{p \in C} \Omega^1_p, \quad \Omega^1_p = \text{quadric cone} \subset P(p).$$

In a moment we shall show that the vertices $\Omega^0_p$ of these cones all coincide at a single point of $SU_C(2,K)$ (see 5.2 and 5.3).

5.1 Remark. Note that for each $p \in C$ the image of $C$ in $P(p)$ lies on the cone $\Omega^1_p$; and projecting along the generators is the trigonality $f : C \to P^1$ given by the series $|K(-p)|$. (Conversely, one may show that the image of $C$ in a 3-plane $P(x)$ lies on a quadric cone only if $x = \eta(p)$ for some $p \in C$ and some square root of the trivial line bundle, $\eta^2 = \mathcal{O}$.)

We consider now the birational map $\varepsilon_0 : \mathcal{P}\text{Ext}^1(K,\mathcal{O}) = \mathbb{P}^5 \to \mathcal{W} \subset SU_C(2,K)$ and the diagram (12). The map $\delta$ is an isomorphism: its dual $S^2\text{H}^0(K) \to \text{H}^0(2K)$ is surjective by Noether’s theorem and injective since the canonical curve $C \subset \mathbb{P}^2$ is not contained in any quadric. Thus $\Omega^1 \subset \mathbb{P}^5$ is the Veronese surface; and it is well-known that its variety of secant lines $\Omega^2$ is a cubic hypersurface isomorphic to $S^2\mathbb{P}^2$. Thus we have a tower of rational maps, where $\varepsilon_0$ is given by the complete linear series $|\mathcal{I}_C(2)|$ on $\mathbb{P}^5$ (see remark 3.3):
First of all, this allows us to recover the following result of Laszlo [17] and Paranjape–Ramanan [21]. The bundle $V$ appearing here is simply the normal bundle of $C$ canonically embedded in its Jacobian.

**5.2 Proposition.** $W^2$ consists of a single point, i.e. there is a unique stable bundle $V \in SU_C(2, K)$ with $h^0(V) = 3$.

Proof. Since the canonical curve $C \subset \mathbb{P}^2$ has degree 4 any quadric of the series $|I_C(2)|$ either contains the Veronese surface $\Omega^1$ or has no further points of intersection. Thus $\Omega^1$ contracts to a single point $V \in SU_C(2, K)$ under $\varepsilon_0$.

On the other hand, for each $p \in C$ the 3-plane $\mathbb{P}^3_{N_C/P^5}$ is the image of the fibre $\mathbb{P}N_C/P^5$ of the exceptional divisor in the blow-up, by remark 3.3. Making this identification the point $\Omega^2_p \in \mathbb{P}(p)$ is the normal direction of $\Omega^1 = \text{Ver}(\mathbb{P}^2) \supset C$, and is therefore contained in the closure of the image of $\Omega^1$. In other words $\Omega^2_p = \{V\}$, and since we’ve seen that there are no further points of $W^2$, this completes the proof. \qed

We now wish to give a geometric description of $W^1 \subset SU_C(2, K)$, and to this end we consider again the space $F$ of Fay trisecants of the Kummer. Notice that for genus 3 there is a natural inclusion of the canonical series

$$|K| \hookrightarrow F \xrightarrow{J^{|2|}} S^4C$$

given by $D \mapsto (0, D)$ (see section 3). For $D \in |K|$ let us denote the corresponding trisecant by $t_D \subset SU_C(2, K)$. If $D = p + q + r + s$ then by the proof of theorem 2.1, $t_D$ lies in the four 3-planes $\mathbb{P}(p), \mathbb{P}(q), \mathbb{P}(r), \mathbb{P}(s)$; in $\mathbb{P}(p), t_D$ is the trisect line $\overline{pqrs}$, and similarly in the other three spaces. By remark 5.1, on the other hand, this line is a generator of the cone $\Omega^1_p \subset \mathbb{P}(p)$, and conversely every generator is such a trisecant. By (15), therefore, we conclude that
\[ \mathcal{W}^1 = \bigcup_{D \in |K|} t_D \subset SU_C(2, K). \]

From this we obtain the following description of \( \mathcal{W}^1 \).

5.3 Theorem. The subvariety \( \mathcal{W}^1 \subset SU_C(2, K) \subset P^7 \) has the following structure:

1. \( \mathcal{W}^1 \) is a cone over the Veronese surface \( |K| = P^2 \xrightarrow{|O(2)|} P^5 \);
2. \( \mathcal{W}^1 \) has point vertex \( \mathcal{W}^2 \in SU_C(2, K) \);
3. \( \mathcal{W}^1 \) intersects the Kummer variety in the theta divisor \( \text{Kum}(\Theta) \), and projection along the generators of the cone coincides with the 3 to 1 Gauss map \( \text{Kum}(\Theta) \to |K| \).

Proof. We have already seen that each trisecant \( t_{p+q+r+s} \), where \( p + q + r + s \in |K| \), passes through the point \( V \in \mathcal{W}^2 \). Assigning to the divisor \( p + q + r + s \) the tangent direction of \( t_{p+q+r+s} \) at \( V \in SU_C(2, K) \) therefore defines an injective map

\[ \pi : |K| \to PTSU_C(2, K)|_V \cong P^5; \]

for which \( \pi^*O(1) = O(2) \) on the pencils \( |K(−p)| \subset |K| \), and hence on the whole plane. Parts 1 and 2 of the theorem follow straightaway.

From (1) we see that the trisecant \( t_{p+q+r+s} \) meets the Kummer in the three points

\[ \mathcal{O}(p + s) \oplus \mathcal{O}(q + r), \]
\[ \mathcal{O}(q + s) \oplus \mathcal{O}(p + r), \]
\[ \mathcal{O}(r + s) \oplus \mathcal{O}(p + q); \]

which is equivalent to part 3. \( \square \)

5.4 Remark. The Veronese cone of theorem 5.3 appears in the work of Coble ([7], §48). In particular, Coble exhibits a uniquely determined cubic hypersurface in \( P^6 \) which cuts out \( \text{Kum}(\Theta) \) on the cone \( \mathcal{W}^1 \). It would be interesting to interpret this cubic in terms of vector bundles.

Finally, we shall sketch two proofs of the following fact.
5.5 Theorem. At the triple point $W^2 = \{V\}$ the theta divisor $W$ has projectivised tangent cone $PT_V W \cong \Omega^2 = S^2P^2 \subset P^5$.

First proof. Since $V$ is stable we can identify $T_V SU_C(2, K)$ with $H^1(C, \text{ad } V)$. We have already remarked (3.1) that since $\det V = K$ the Petri map factors through the symmetric product $S^2 H^0(C, V) \to H^0(C, K \otimes \text{ad } V)$; and this is dual to a map

$$
\mu : H^1(C, \text{ad } V) \to S^2 H^0(C, V)^\vee \subset \text{Hom}(H^0(C, V), H^1(V)).
$$

By standard Brill-Noether type arguments the tangent cone $T_V W$ is the pull-back under $\mu$ of the homomorphisms with nontrivial kernel (see for example [17]). On the other hand, one can show that $\mu$ is an isomorphism in the present case. The tangent cone is therefore precisely the locus of singular quadratic forms on $H^0(C, V)$, and hence isomorphic to $\Omega^2 = S^2P^2$. □

Second proof (due to B. van Geemen). This exploits the fact that the hypersurface $W \subset P^6$ has degree 4 (since it is a hyperplane section of the Coble quartic), while $V$ is a triple point of $W$ ([17] proposition IV.7). It follows that $PT_V W$ is the complement in $P^5$ of the (Zariski open) image of $W$ under the rational projection map away from the point $V$.

We consider, then, the following diagram:

$$
W \subset P^6
$$

(17)

$$
\varepsilon_0 = \lambda|_{\mathcal{C}(2)} \nearrow \searrow \pi_V
$$

$$
P^5 \xrightarrow{\Delta} P^5
$$

We have seen that $W$ is the (closed) image of $P^5$ under the rational map $\varepsilon_0$ given by the complete linear series of quadrics through the bicanonical curve, contracting $\text{Ver}(P^2)$ down to the point $V$. Thus the rational map $\Delta$ is given by the complete linear series of quadrics containing $\text{Ver}(P^2)$. It is well-known that this can be identified with the inversion map of symmetric $3 \times 3$ matrices (geometrically, it sends a plane conic to its dual conic). $\Delta$ is a birational involution, blowing up the locus $\Omega^1 = \text{Ver}(P^2)$ of rank 1 conics and contracting the exceptional divisor down to the locus $\Omega^2 = S^2P^2$ of rank 2 (dual) conics.

The image of $\Delta$, and hence of $\pi_V|_W$, is therefore the complement of $\Omega^2$ and we are done. □
6 Genus 4

To any nonhyperelliptic curve of genus 4 one can associate in a canonical way a nodal cubic threefold $\subset \mathbb{P}^4$ as follows (see [9]). The canonical curve $C \subset \mathbb{P}^3$ lies on a unique quadric surface $Q \subset \mathbb{P}^3$ and is base-locus of a 4-dimensional linear system of cubics; we define $\subset \mathbb{P}^4$ to be the image of the rational map

$$\lambda_{|I_C(3)|} : \mathbb{P}^3 \to \mathbb{P}^4.$$ 

Let us denote by $g_3^1, h_3^1 \in \Theta \subset \text{Pic}^3(C)$ the two trigonal line bundles on the curve. These satisfy $g_3^1 \otimes h_3^1 = K$ and coincide precisely when the curve has a vanishing theta-null. The quadric surface $Q$ is ruled by trisecants $D \subset \mathbb{P}^3$ of the curve, where $D$ belongs to $|g_3^1|$ or $|h_3^1|$ (and $Q$ is singular precisely when the two pencils coincide); it therefore contracts to a point $t_0 \in \lambda_{|I_C(3)|}$. Any hyperplane through $t_0 \in \mathbb{P}^4$ then pulls back to $Q$ plus a residual hyperplane, and it follows that projection away from the point $t_0$ is the birational inverse of $\lambda_{|I_C(3)|}$:

$$\lambda_{|I_C(3)|} : \mathbb{P}^3 \to \mathbb{P}^4.$$ 

This allows us to see that is a cubic: a general hyperplane $H \subset \mathbb{P}^4 = |I_C(3)|^\vee$ identifies with $\mathbb{P}^3$ under the projection $\pi_{t_0}$, and under this identification its intersection with is the cubic surface corresponding to the point of $|I_C(3)|$ annihilated by $H$.

6.1 Proposition. mult$_{t_0} = 2$ and the projectivised tangent cone at this point is $PT_{t_0} = Q \subset \mathbb{P}^3$.

Proof. That mult$_{t_0} = 2$ follows at once from the fact that the projection $\pi_{t_0} : \mathbb{P}^3$ is birational and deg = 3. On the other hand, let $H \subset \mathbb{P}^4$ be any hyperplane passing through $t_0$ and $H' = \pi_{t_0}(H) \subset \mathbb{P}^3$ its projection. Then $H \cap$ is the cubic surface obtained by blowing up the six points $C \cap H' \subset \mathbb{P}^2$; these six points lie on the conic $Q' = Q \cap H'$ and it is well-known that the resulting cubic surface is nodal with projectivised tangent cone $Q' \subset \mathbb{P}^2$ at the node. \[\square\]
6.2 Remark. From this we can easily write down an equation for: choosing
a simplex of reference with $t_0 \in \mathbf{P}^4$ as one vertex, and the opposite face
 corresponding to a choice of cubic surface $F \in |\mathcal{I}_C(3)|$, the threefold has
equation
$$z_0 Q(z_1, \ldots, z_4) + F(z_1, \ldots, z_4) = 0.$$ 
This is the description given by Donagi.

We shall need next the Fano surface $F()$ of lines on, which is easy to
describe. First note that there is an inclusion
$$i : C \hookrightarrow F() \quad p \mapsto l_p = \text{line joining } t_0 \text{ to } p \in C \subset \mathbf{P}^3.$$ 
In other words, $l_p \subset \mathbf{P}^4$ is the line joining $t_0$ to the point $p$ on the canonical
curve via the projection $\pi_{t_0}$, and it is easy to see that these are precisely the
lines through $t_0 \in \mathbf{P}^4$ which lie on. We now map
$$f : S^2 C \rightarrow F() \quad p + q \mapsto l_{pq} = \text{residual line in } \cap \text{Span}\{l_p, l_q\}.$$ 
(Note that this still makes sense on the diagonal of $S^2 C$: if $p = q$ then
$\text{Span}\{l_p, l_q\}$ is interpreted to mean the 2-plane spanned by $t_0$ and the tangent
to the canonical curve at $p \in C$.)

If the secant line $pq \subset \mathbf{P}^3$ is not on $Q$ then $\lambda|\mathcal{I}_C(3)||pq\rangle = l_{pq}$; whilst if
$pq \subset Q$ then it contracts down to $t_0$, but $l_{pq} = l_r$ where $r \in pq \cap C$ is the
third point of the trisecant. Thus $f$ is a birational morphism and is injective
away from the two curves $C \leftarrow S^2 C$ defined by $r \mapsto g_3^1(-r)$ and $r \mapsto h_3^1(-r)$,
each of which it identifies with $i(C)$:

(19) \hspace{1cm} \text{figure}

Izadi \cite{13} makes use of the lines on to identify $\subset \mathbf{P}^4 \Rightarrow \mathbf{P} \Gamma_{00}$ (theorem \cite{13} below). Namely, for $r \in C$ and for $p + q \in F() \setminus \mathcal{I}(C)$ (which we identify with
the corresponding subset of $S^2 C$ as above) she constructs pencils which we
shall denote by $l'_r, l'_{pq} \subset \mathbf{P} \Gamma_{00}$ respectively. These are characterised by their
base locus: for any $p, q \in C$ let

(20) \hspace{1cm} \Sigma_{pq} = C - C \cup W_2 - p - q \cup p + q - W_2 \subset J_C.
Then the pencil \( l'_r \subset \mathbf{P} \Gamma_{00} \) has base locus \( \Sigma_{pq} \cup \Sigma_{p'q'} \) where \( f^{-1}(i(r)) = \{ p + q, p' + q' \} \), i.e. \( p + q + r \in |g_3^1| \) and \( p' + q' + r \in |h_3^1| \); and the pencil \( l''_{pq} \subset \mathbf{P} \Gamma_{00} \) has base locus \( \Sigma_{pq} \cup \Sigma(X) \) where (in Izadi’s notation—see [3], §7)

\[
\Sigma(X) = \{ s + t - s' - t' \mid s, t, s', t' \in C, \\
h^0(K - p - q - s - t) > 0, \\
h^0(K - p - q - s' - t') > 0 \}.
\]

(In this notation \( X \) denotes a curve of genus 5 in the fibre of the Prym map over \( J_C \); though this will not concern us here.)

Izadi’s result, in our (nonhyperelliptic Jacobian) situation, can then be stated as follows.

**6.3 Theorem.** Let \( C \subset \mathbf{P}^3 \) be a canonical curve of genus 4, and \( \mathbf{P}^4 = |\mathcal{I}_C(3)|^\vee \) be the ambient space of its associated cubic threefold. Then there is a natural identification \( \mathbf{P}^4 \cong \mathbf{P} \Gamma_{00} \) under which \( l_r \cong l'_r, l_{pq} \cong l''_{pq} \) and the node \( t_0 \in \text{maps to } \Theta - g_3^1 \cup \Theta - h_3^1 \).

We now return to consider the Brill-Noether loci in \( S\mathcal{U}_C(2, K) \subset \mathbf{P}^{15} \) and to state our main result. Recall that \( \mathcal{W}^2 = \mathbf{P} \Gamma_{00} \cap S\mathcal{U}_C(2, K) \) (by theorem [4]).

**6.4 Theorem.** If \( C \) is a nonhyperelliptic curve of genus 4 then \( \mathcal{W}^2 \subset S\mathcal{U}_C(2, K) \subset \mathbf{P}^{15} \) is precisely the Donagi-Izadi cubic threefold \( \subset \mathbf{P} \Gamma_{00} = \mathbf{P}^4 \), with node at \( g_3^1 \oplus h_3^1 \in \text{Kum}(J_C^2) \).

**6.5 Remark.** Note that (up to S-equivalence) \( g_3^1 \oplus h_3^1 \) is the unique semistable bundle in \( S\mathcal{U}_C(2, K) \) with \( h^0 = 4 \), and so \( \mathcal{W}^3 \) is by definition empty. This is a consequence of Mukai’s lemma [5]: since \( |g_3^1| \) is base-point-free, \( h^0(E) \geq 4 \) would imply that \( h^0(g_3^1 \otimes E) \geq 1 \), and hence by semistability that \( g_3^1 \subset E \). So \( E \) is S-equivalent to \( g_3^1 \oplus Kg_3^1 = g_3^1 \oplus h_3^1 \).

(In fact, if \( g_3^1 \neq h_3^1 \) then this is an isomorphism since \( h_3^1 \subset E \) by the same argument. If, on the other hand, \( g_3^1 = h_3^1 \) then one can check using the arguments of section 3 that as well as \( g_3^1 \oplus g_3^1 \) there is, up to isomorphism, a unique nonsplit extension \( E \) with \( h^0(E) = 4 \): the space of all such extensions is \( \mathbf{P}(g_3^1) = \mathbf{P} H^0(K)^\vee \), in which the canonical curve lies on a quadric cone. \( E \) is then the extension corresponding to the vertex of the cone.)

Thus it makes sense to view \( \mathcal{W}^3 = \{ g_3^1 \oplus h_3^1 \} \).
6.6 Lemma. Suppose that $C$ is nonhyperelliptic of genus 4 or nontrigonal of genus 5. Then for every stable $E \in \mathcal{SU}_C(2, K)$ with $h^0(E) = 3$ the exterior multiplication map $\phi_E : \wedge^2 H^0(E) \to H^0(K)$ is injective.

Proof. Since every element of $\wedge^2 H^0(E)$ is decomposable, i.e. of the form $s \wedge t$, a nontrivial element of $\ker \phi_E$ would give two independent sections $s, t$ generating a line subbundle $L \subset E$. Then $r(L) \geq 1$ while by stability $\deg L \leq g - 2$, contrary to the hypotheses on $C$. \hfill \square

In the genus 4 case the lemma determines a rational map (defined away from the single point $g_3^1 \oplus h_3^1$) $\pi : \mathcal{W}^2 \to \mathbb{P}^3 = |K|^{\vee}$ sending $E \mapsto \text{im } \phi_E \subset |K|$. In proving theorem 6.4 we shall in fact prove slightly more, namely that the following diagram commutes (and we shall also extend this diagram in theorem 6.11 below):

$$\begin{array}{ccc}
\mathcal{W}^2 & \subset & \mathbb{P}^3 \\
\pi_{\text{tor}} & \downarrow & \uparrow \pi \\
\mathbb{P}^3
\end{array}$$

(22)

6.7 Proposition. For each $p \in C \subset \mathbb{P}^3$ the closure of the fibre $\pi^{-1}(p) \subset \mathcal{W}^2$ is a Hecke line $l_F$ with $\det F = K(p)$. Moreover, $l_F$ is the unique such Hecke line contained in $\mathcal{W}^2$ and passing through the point $g_3^1 \oplus h_3^1$.

Proof. Consider a stable bundle $E \in \pi^{-1}(p)$. By definition the sections of $E$ fail to generate $E$ at the point $p$, and we denote by $D_p \subset E_p$ the line in the fibre at $p$ which is generated by global sections. This line uniquely determines an extension

$$0 \to E \to F \to C_p \to 0,$$

and by construction the coboundary map $\delta : C \to H^1(E)$ vanishes, so that $h^0(F) = 4$ and $H^0(E) \subset H^0(F)$ coincides with the subspace $H^0(F(-p))$. Finally, since $E$ is stable $F$ is stable. This proves the first part of the proposition, with $l_F \subset \mathcal{W}^2$.

Now choose a section $s \in H^0(F)$ not lying in $H^0(E)$. Then $s(p) \neq 0$ and spans a line in the fibre $F_p$; we consider a nonzero homomorphism $u : F \to C_p$ such that this line coincides with $\ker u_p$. Then by construction $\ker u \subset F$ is a semistable bundle with $h^0(\ker u) = 4$ and hence by remark 6.5 $\ker u = g_3^1 \oplus h_3^1$ up to S-equivalence; and this point therefore lies on $l_F$. 28
It remains to show that a Hecke line with these properties is unique. If \( g_3^1 \neq h_3^1 \) then by remark 6.5 \( F \) has subsheaf \( g_3^1 \oplus h_3^1 \), and by \( \mathbb{E} \) lemma 3.2 this determines the Hecke line \( l_F \) uniquely. Alternatively one can argue similarly to the vanishing theta-null case, to which we shall now restrict.

So assume that \( g_3^1 = h_3^1 \). By theorems 1.3 and 1.4 \( l_F \) lies in some 4-plane \( P(x) \), meeting the curve at the image of a point \( q \in C \): thus \( x = g_3^1(-q) \). By the proof of 1.3, either \( p = q \) or \( l_F \) is a trisecant \( D \) where \( \mathcal{O}(D) = Kx^{-2}(p) \).

On the other hand, the second case does not occur for the following reason: by (11) and (12) in section 3, \( h^0 \geq 3 \) in \( P(x) \) precisely along a line \( \Omega_x \), projection away from which maps \( C \) onto a plane conic via the linear series \( |g_3^1(q)| \) with the single base point \( q \). Thus (since \( h^0 \geq 3 \) along \( l_F \)) \( l_F = \Omega_x^0 \) and meets the curve only at one point (with multiplicity 2). Thus \( l_F = \Omega_x^0 \left| g_3^1 - p \right| \) and is uniquely determined.

6.8 Remark. In the case \( g_3^1 \neq h_3^1 \) one can show that \( l_F \) is the intersection of the two 4-planes \( P(g_3^1(-p)) \) and \( P(h_3^1(-p)) \) (in the notation of section 1), and in each space is the tangent line to the curve at the image of \( p \in C \). In the case \( g_3^1 = h_3^1 \) just discussed in the above proof, the curve \( C \subset P(g_3^1(-p)) \) has a cusp at \( p \in C \). The line \( l_F \), passing through \( p \), is not the tangent line but is the vertex of a rank 3 quadric containing the curve.

6.9 Proposition. For each \( p \in C \) the Hecke line of the previous proposition coincides with Izadi’s pencil \( l_p' = l_p = \pi_{i_0}^{-1}(p) \).

Proof. Consider a stable bundle \( E \in \pi^{-1}(p) = l_F \). We shall show that \( E \in l_p' \); since both sets are lines the result will follow.

So we have to show that the divisor \( D_E = \{ L \in J_C | h^0(C, L \otimes E) > 0 \} \) contains the surfaces \( \Sigma_{st} \) and \( \Sigma_{s't'} \) where \( p + s + t \in |g_3^1| \) and \( p + s' + t' \in |h_3^1| \).

(Note that for \( E \in \mathcal{W}^2 \) we have \( D_E \in \mathcal{P}_{i_0}^{\sigma} \) and hence \( C - C \subset D_E \) a priori—see section 4.) Since \( D_E \) is symmetric it is enough to prove that \( W_2 - s - t \subset D_E \), i.e. that \( h^0(E(p + q - s - t)) > 0 \) for all \( p, q \in C \). We shall show that \( h^0(E(-s - t)) \geq 1 \) (and note that by proposition 4.3 this is actually equivalent); and similarly that \( h^0(E(-s' - t')) \geq 1 \).

By hypothesis \( \text{im} \phi_E = H^0(K(-p)) \); and we have a natural 2-dimensional subspace \( V \subset H^0(K(-p)) \), namely

\[
V = H^0(K(-p - s)) = H^0(K(-p - t)) = H^0(K(-p - s - t)).
\]
So consider the subspace \( \phi^{-1}_E(V) \subset \wedge^2 H^0(E) \) and choose sections \( u, v, w \in H^0(E) \) such that \( u \wedge v, u \wedge w \) form a basis of \( \phi^{-1}_E(V) \). Since \( v \wedge w \notin \phi^{-1}_E(V) \) the effective divisor \( (u \wedge w) \in |K| \) is not supported at \( s \) or \( t \); this implies that the sections \( v, w \) generate \( E \) at the points \( s, t \in C \). However, by construction \( s + t \leq (u \wedge v) \) and \( s + t \leq (u \wedge w) \); and we claim that this can only occur if \( u(s) = u(t) = 0 \). For if \( u(s) \neq 0 \), for example, then \( Cu(s) = Cv(s) \) and \( Cu(s) = Cw(s) \) and hence \( s \in \text{supp}(v \wedge w) \), a contradiction. Hence we obtain a nonzero section \( u \in H^0(E(-s - t)) \); and similarly we can do the same for \( H^0(E(-s' - t')) \).

Let us return to the proof of theorem 6.4. In seeking stable bundles with three sections we may consider extensions \( E \in \text{PExt}^1(K - D, D) \) with \( \deg D = 1 \) or 2 (using remark 3.2). If \( E \in \Omega^n \setminus \Omega^{n-1} \) then by (11)

\[
h^0(E) = 5 - \text{Cliff}(D) \cdot n.
\]

Thus either \( D = p \in C \), and \( E \in \Omega^1 \); or \( D = p + q \in S^2C \), and \( E \in \Omega^0_{p+q} \approx \text{P}^1 \). The second case is that of example 3.4; we shall show next that this case exhausts all such bundles.

6.10 Proposition. \( \mathcal{W}^2 = \bigcup_{p+q \in S^2C} \Omega^0_{p+q} \). Moreover, \( \Omega^0_{p+q} \) maps under \( \pi : \mathcal{W}^2 \to \text{P}^3 \) onto the secant line \( \overline{pq} \) if \( p + q \notin i(C) \), while \( \Omega^0_{p+q} = \pi^{-1}(r) \) if \( p + q + r \in |g_3^1| \) or \( |h^1_3| \).

Proof. We first observe (by considering diagram (11)) that the line \( \Omega^0_{p+q} \subset \text{P}(p+q) \) meets the image of the curve if and only if \( f(p+q) \in i(C) \) (see (13)); and in this case meets the curve at a point \( r \in C \) representing the bundle \( g^1_3 \oplus h^1_3 \). By theorem 6.3 \( \Omega^0_{p+q} \) is a Hecke line \( l_F \), where one easily checks that \( \det F = K(r) \). So by the uniqueness statement in 6.7 \( \Omega^0_{p+q} = \pi^{-1}(r) \).

We may now assume, then, that \( E \in \mathcal{W}^2 \) is a stable bundle for which \( \pi(E) \) does not lie on the canonical curve; \( \pi(E) \) then lies on some secant line \( \overline{pq} \subset \text{P}^3 \). This means that \( \text{im } \phi_E \subset H^0(K) \) is a hyperplane, distinct from \( H^0(K(-p)) \) and \( H^0(K(-q)) \) but containing the 2-dimensional subspace \( H^0(K(-p - q)) \). As in the proof of the previous proposition we can find a basis \( u, v, w \in H^0(E) \) such that \( u \wedge v, u \wedge w \) are a basis of \( \phi^{-1}_E H^0(K(-p - q)) \) which \( v \wedge w \) completes to a basis of \( \wedge^2 H^0(E) \). Then we have

\[
p + q \leq (u \wedge v), \quad p + q \leq (u \wedge w),
\]

30
while \( p + q \not\in (v \wedge w) \). As before, it follows from this that \( u(p) = u(q) = 0 \), i.e. \( h^0(E(-p - q)) > 0 \) and so \( E \in \Omega^0_{p+q} \).

**Proof of theorem 6.4.** By propositions 6.7 and theorem 6.3 it suffices to check that the line \( \Omega^0_{p+q} \subset \mathcal{W}^2 \subset \mathbf{P} \Gamma_{00} \) coincides with the pencil \( l'_{pq} \) if \( p + q \in F(\emptyset) \setminus \iota(\mathbf{C}) \), i.e. when \( h^0(g_3^1(-p - q)) = h^0(h_3^1(-p - q)) = 0 \).

Consider a stable bundle \( E \in \Omega^0_{p+q} \). Since \( h^0(E(-p - q)) > 0 \) the symmetric divisor \( D_E \) trivially contains the surfaces \( W_2 - p - q \) and \( p + q - W_2 \); while \( C - C \subset D_E \) since \( E \in \mathcal{W}^2 \). We will show that \( D_E \) also contains the surface \( \Sigma(X) \) (see (21)). Let \( \lambda = \mathcal{O}(s + t - s' - t') \in \Sigma(X) \). By definition we have an exact sequence

\[
0 \to \lambda(p + q) \to \lambda \otimes E \to K\lambda(-p - q) \to 0
\]

with, say, extension class \( f \in \text{Ext}^1(K(-p - q), p + q) = H^0(C, K^2(-2p - 2q))^\vee \).

We have to show that \( h^0(\lambda \otimes E) > 0 \). We can suppose that \( h^0(\lambda(p + q)) = 0 \) (otherwise there is nothing to prove); so by Riemann-Roch \( h^1(\lambda(p + q)) = 1 \). If \( h^0(K\lambda(-p - q)) > 1 \) then \( h^0(\lambda \otimes E) > 0 \) and we are done; so we assume \( h^0(K\lambda(-p - q)) = 1 \). In this case \( h^0(\lambda \otimes E) > 0 \) if and only if the coboundary map

\[
\delta : H^0(K\lambda(-p - q)) \to H^1(\lambda(p + q))
\]

vanishes, which in turn is equivalent to \( \ker f \) containing the image of the multiplication map:

\[
H^0(K\lambda(-p - q)) \otimes H^0(K\lambda^{-1}(-p - q)) \to \ker f \subset H^0(K^2(-2p - 2q)).
\]

In fact we shall check that the image is contained in the subspace \( S^2H^0(K(-p - q)) \subset \ker f \) (see example 3.4).

This last assertion results from the definition (21): we can write

\[
K = \mathcal{O}(p + q + s + t + u + v) = \mathcal{O}(p + q + s' + t' + u' + v'),
\]

for some \( u, v, u', v' \in C \), and hence

\[
K\lambda(-p - q) = K(-p - q - s' - t' + s + t) = \mathcal{O}(u' + v' + s + t), \quad KK^{-1}(-p - q) = K(-p - q - s - t + s' + t') = \mathcal{O}(u + v + s' + t').
\]

By hypothesis these divisors are unique in their linear equivalence classes and we can write their sum as

\[
(u' + v' + s + t) + (u + v + s' + t') = (s + t + u + v) + (s' + t' + u' + v')
\]
where $s + t + u + v$ and $s' + t' + u' + v' \in |K(-p - q)|$. \hfill \qed

We shall conclude this section by giving another interpretation of diagram (22), as follows. First, we shall view $\Gamma_{00} \hookrightarrow S^4 H^0(C, K)$ by assigning to each element the leading terms of its Taylor expansion at $0 \in J_C$; or equivalently by assigning to a divisor its tangent cone at the origin. This map is injective by [13] lemma 2.1.1.

Next we note that there is a distinguished element $q^2 \in S^4 H^0(C, K)$, where $q \in S^2 H^0(C, K)$ is the equation of the quadric $Q \subset \mathbb{P}^3$ containing the canonical curve. Under the above inclusion this comes from the split divisor $\Theta - g_3^1 \cup \Theta - h_3^1 \in \Pi_{00}$.

Third, we identify $\mathbb{P}^3 = \mathbb{P} T_0 J_C$ with the space of translation-invariant vector fields on the Jacobian. One can then map

$$\alpha : \mathbb{P} T_0 J_C \to \mathbb{P}(S^4 H^0(K)/Cq^2)$$
$$D \mapsto qDf - fDq,$$

where $f \in H^0(\mathcal{I}_C(3))$ is any cubic through the canonical curve. It is easy to check that this construction is independent of the choice of $f$; moreover $\alpha$ is an isomorphism onto the subspace $\mathbb{P}(\Gamma_{00}/Cq^2)$, as observed by Beauville–Debarre [3], pages 32–33.

6.11 Theorem. The following diagram commutes:

$$\begin{array}{ccc}
W^2 & \subset & \mathbb{P} \Gamma_{00} & \subset & \mathbb{P} S^4 H^0(K) \\
\pi \downarrow & & \downarrow & & \downarrow \\
\mathbb{P}^3 & \overset{\alpha}{\longrightarrow} & \mathbb{P}(\Gamma_{00}/Cq^2) & \subset & \mathbb{P}(S^4 H^0(K)/Cq^2)
\end{array}$$

Proof. We have to check commutativity of the left-hand square, and since both vertical arrows are linear projections it is sufficient to check commutativity over points $p \in C$ of the canonical curve. For such a point denote by $D_p \in \mathbb{P} T_0 J_C$ the associated constant vector field. By propositions 6.7 and 6.9 the line $\pi^{-1}(p)$ corresponds to the pencil $l_p'$ with base locus $\Sigma_s t \cup \Sigma_{s't'}$, where the points $s, t, s', t' \in C$ are defined by $p + s + t \in |g_3^1|$ and $p + s' + t' \in |h_3^1|$. By tangent cones at the origin, the pencil $l_p'$ corresponds to a pencil of quartics spanned by $qDf - fDq$ and $q^2$, for some $D \in T_0 J_C$ and $f \in H^0(\mathcal{I}_C(3))$. We have to show that $D = D_p$. 32
Since the pencil is uniquely determined by (the tangent cone of) its base locus, it is enough to check that the two quartics \( qDpf - fDpq \) and \( q^2 \) contain the tangent cones of \( C - C \), \( W_2 - s - t \) and \( W_2 - s' - t' \). These tangent cones are the canonical curve \( C \subset \mathbb{P}^3 \) and the two trisecants \( ps\overline{t}, ps'\overline{t}' \subset \mathbb{P}^3 \) respectively. The result now follows easily: \( q \) vanishes on all three curves; while \( f \) (and hence \( fDpq \)) vanishes on \( C \), and—since the two trisecants span the tangent plane to \( Q \) at \( p \)—the derivative \( Dpq \) vanishes on the two lines. \( \square \)

7 Genus 5

Let \( C \) be a curve of genus 5. If \( C \) is nontrigonal then the canonical curve \( C \hookrightarrow \mathbb{P}^4 \) is the complete intersection of a net of quadrics \( |I_C(2)| = \mathbb{P}^2 \), in which the locus \( \Gamma \subset \mathbb{P}^2 \) of singular quadrics is a plane quintic curve, smooth if \( C \) has no vanishing theta-nulls, otherwise having ordinary double points corresponding to quadrics of rank 3 (see [1], page 270).

Let \( \Theta_{\text{sing}} = W_4^1 \) be the singular locus of the theta divisor. This is a curve, and by assigning to each point \( x \in \Theta_{\text{sing}} \) its projectivised tangent cone \( PT_x \Theta = Q_x \) we have a double cover

\[
f : \Theta_{\text{sing}} \to \Gamma \subset \mathbb{P}^2 \quad x \mapsto Q_x = \bigcup_{D \in |D|} D \subset \mathbb{P}^4.
\]

The sheet interchange of \( \Theta_{\text{sing}} \) with respect to this double cover is induced by the Serre involution of \( J^4_C \).

7.1 Lemma. \( f^*O_\Gamma(1) = O_{\Theta_{\text{sing}}}(\Theta) \). Moreover, the induced restriction map \( H^0(J^{g-1}_C, 2\Theta) \to H^0(\Gamma, O(2)) \) is surjective.

Proof. The first part follows from [1]. To prove that the pull-back of hyperplane sections is surjective, it is sufficient to show this on the image of the Kummer map \( J_C \to |2\Theta|, a \mapsto \Theta_a + \Theta_{-a} \). In other words, we consider the rational map

\[
\alpha : J_C \to |O_\Gamma(2)| \cong \mathbb{P}^5
\]

sending \( a \in J_C \) to the divisor whose pull-back to \( \Theta_{\text{sing}} \) is \( (\Theta_a + \Theta_{-a}) \cap \Theta_{\text{sing}} \). (Note that \( \alpha \) is defined away from \( C - C \subset J_C \): this follows from [2], theorem
2.4.) One can show that the map
\[ \beta : J \rightarrow S^{10}(\Theta_{\text{sing}}) \]
\[ a \mapsto \Theta_a \cap \Theta_{\text{sing}} \]
is injective (see, for example, [1], pages 265–268); this implies that \( \alpha \) is a finite map and so we are done.

It follows from this that the image of \( \Theta_{\text{sing}} \) under the Kummer map is a Veronese embedding of \( \Gamma \subset P^2 \):
\[
\Theta_{\text{sing}} \xrightarrow{\text{Kum}} P^{31} \\
f \downarrow \quad \uparrow v \\
\Gamma \subset P^2
\]
where \( v(P^2) \subset P^5 \subset P^{31} \) is a Veronese surface.

7.2 Theorem. For any curve \( C \) of genus 5 the Brill-Noether locus \( W^3 \subset \SU_C(2, K) \) is a Veronese surface intersecting the Kummer variety in the Veronese image of a plane quintic \( \Gamma \subset P^2 \). In particular:

1. If \( C \) is nontrigonal then \( W^3 = v(P^2) \), where \( \Gamma \) is as in (23) and \( v(\Gamma) = \text{Kum}(\Theta_{\text{sing}}) \).

2. If \( C \) is trigonal then \( \Gamma \subset PH^1(g_3^1) \), where \( g_3^1 \) is the (unique) trigonal line bundle, is the projection of the canonical curve away from a trisecant; and its Veronese image cuts the Kummer in the component \( C + g_3^1 \) of \( \Theta_{\text{sing}} \).

Proof of part 2. This is easily dispatched. We first remark that it is well-known that on a curve of genus \( \geq 5 \) a \( g_3^1 \) is unique if it exists; while for a curve of genus 5 the following argument will give another proof of this fact.

Let \( |D| = g_3^1 \); by lemma 3.4 any stable bundle \( E \in \SU_C(2, K) \) with \( h^0(E) \geq 4 \) has line subbundle \( \mathcal{O}(D) \subset E \), so \( E \) belongs to the 5-plane \( P(g_3^1) \) of section 1. By example 3.4 we have seen that \( h^0(E) = 4 \) precisely along a Veronese surface in \( P(g_3^1) \). This intersects the Kummer precisely in the image of the curve—that is, in the Kummer image of \( C + g_3^1 \)—and from diagram (10) this is the projection of the canonical curve as asserted. \( \square \)
From now on we shall assume that the curve $C$ is nontrigonal. Before proving part 1 of the theorem we shall need to make some further observations about the curve $\Gamma$; we consider the map

$$l : S^2 C \to (\mathbb{P}^2)^\vee \quad D \mapsto |I_{C \cup \overline{D}}(2)|. \tag{24}$$

In other words $l(D)$ is the pencil of quadrics containing $C$ and the line $\overline{D}$. Note that the base locus of such a pencil is a quartic del Pezzo surface containing sixteen lines, and so $\deg l = 16$.

For each $D \in S^2 C$ we shall identify the five quadrics

$$l(D) \cap \Gamma = \{Q_1, \ldots, Q_5\}. \tag{25}$$

Projection away from the line $\overline{D} \subset \mathbb{P}^4$ maps the canonical curve $C$ to a 5-nodal plane sextic $C' \subset \mathbb{P}^2$. (Note, again, that the del Pezzo base locus of the pencil $l(D)$ is obtained by blowing up $\mathbb{P}^2$ in the five nodes of $C'$.) Let us denote by $D^{(1)}, \ldots, D^{(5)} \in S^2 C$ the divisors over the five nodes of $C' \subset \mathbb{P}^2$. Then by Riemann-Roch each $|D + D^{(i)}|$ is a $g_1^4$, and hence each

$$Q_i = Q_{D + D^{(i)}} = \bigcup_{D' \in |D + D^{(i)}|} \overline{D}' \quad i = 1, \ldots, 5, \tag{26}$$

is a quadric of rank $\leq 4$ containing the line $\overline{D}$. These are therefore the points of intersection (25).

We now return to the proof of theorem 7.2. We consider stable extensions $E \in \mathbb{P} \text{Ext}^1(K - D, D)$ where (by remark 3.2 (i)) we may take $\deg D = 2$ or 3. For such an extension, by (11),

$$h^0(E) = 6 - \text{Cliff}(D) - n$$

where $E \in \Omega^0_D \setminus \Omega^\ast_D$. So for $h^0(E) = 4$ we must have $n + \text{Cliff}(D) = 2$; if $\deg D = 3$ then this forces $|D| = g_3^3$ contrary to the hypothesis that $C$ is nontrigonal. So the only possibilities we need to consider are $D \in S^2 C$, and then $h^0(E) = 4$ for $E \in \Omega^0_D \subset \mathbb{P} \text{Ext}^1(K - D, D)$.

In this situation diagram (10) becomes:
\[ C \xrightarrow{|K-D|} C' \subset \mathbb{P}^2 \]
\[ |2K-2D| \downarrow \quad \text{Ver} \downarrow \]
\[ \Omega^0_D = \mathbb{P}^1 \subset \mathbb{P}^7 \xrightarrow{\delta} \mathbb{P}^5 \]
where \( C' \subset \mathbb{P}^2 \) is the 5-nodal sextic as above; and in particular \( \delta \) is surjective.

It follows that \( \mathcal{W}^3 = \bigcup_{D \in S^2C} \varepsilon_D \Omega^0_D \subset \mathbb{P}^{31} \), where we observe that each \( \varepsilon_D \Omega^0_D \) is a nonsingular conic. This is because by lemma 3.3 the rational map \( \varepsilon_D \) comes from the (complete) linear series \( |\mathcal{I}_C(2)| \) on \( \mathbb{P}^7 \); while \( \Omega^0_D \) has no intersection with the base locus \( C \) since \( |K-D| \) has no base points—i.e. the canonical curve has no trisecant lines since \( C \) is nontrigonal.

Finally, theorem 7.2 will follow directly once we observe that

\[ \varepsilon_D \Omega^0_D = v(l(D)) \quad \text{for all} \quad D \in S^2C, \]
where \( v \) and \( l \) are as defined in (23) and (24) respectively. To prove (27) it is sufficient, since both sides are nonsingular conics, to show that both contain the five points \( v(Q_1), \ldots, v(Q_5) \) (see (23) and (26)); and so it remains only to check this for \( \varepsilon_D \Omega^0_D \).

First note that an extension \( E \in \Omega^0_D \) fails to be stable (i.e. maps to the Kummer variety) if and only if it lies on \( \text{Sec}^2C \); and there are precisely five such points, which are the intersections of \( \Omega^0_D \) with the secant lines \( D^{(1)}, \ldots, D^{(5)} \), where as before the \( D^{(i)} \in S^2C \) are the nodal divisors over the curve \( C' \subset \mathbb{P}^2 \). The corresponding extensions then contain \( \mathcal{O}(K-D-D^{(i)}) \), respectively, as line subbundles—in other words they map under \( \varepsilon_D \) to the points

\[ \mathcal{O}(D + D^{(i)}) \oplus \mathcal{O}(K-D-D^{(i)}) \in \text{Kum}(J_g^{-1}). \]
By (23) and diagram (23) these are precisely the images \( v(Q_1), \ldots, v(Q_5) \), which completes the proof. \( \square \)

8 Genus 6

By proposition 3.7 we have \( h^0(E) \leq 6 \) for all semistable bundles \( E \) in \( SU_C(2, K) \) on a nonhyperelliptic curve \( C \) of genus 6, and \( h^0(E) \leq 5 \) if \( C \) is not trigonal or a plane quintic.
8.1 Theorem. Let $C$ be a nonhyperelliptic curve of genus 6.

1. If $C$ is not trigonal or a plane quintic then there exists a unique stable bundle $E \in SU_C(2, K)$ with $h^0(E) = 5$; i.e. $W^4 = \{ E \}$.

2. If $C$ is trigonal then $W^4 \cong \mathbb{P}^1$ is a line, along which $h^0(E) = 5$, and does not meet $\text{Kum}(J_{g_{C}}^{g_{C}} - 1)$.

3. If $C$ is a plane quintic then $h^0(E) \geq 5$ if and only if $E$ is in the $S$-equivalence class of the point $g_5^2 \oplus g_5^2 \in \text{Kum}(J_{g_{C}}^{g_{C}})$.

Proof. First suppose that $C$ is not a plane quintic, and observe that if $h^0(E) \geq 5$ and $E$ is semistable then it is necessarily stable: otherwise $E$ fits in an extension (7) with deg $D = 5$ (and with $D$ not necessarily effective). Then $h^0(D) = h^0(K - D) \leq 2$, since $C$ is not a plane quintic, and so $h^0(E) \leq 4$.

On the other hand, for any tetragonal pencil $g_4^1 = |D|$ one can (following Mukai) apply lemma 3.6 to observe that $h^0(E(-D)) \geq 1$ for any such bundle. By stability this means that $\mathcal{O}(D) \subset E$ is a line subbundle, i.e. $E \in \mathbb{P}(D)$, the corresponding 6-plane of the ruling of section 1. By example 3.4, $h^0(E) = 5$ exactly for $E \in \Omega_0^D$; if $C$ is nontrigonal this is a single point, and part 1 is proved.

If $C$ is trigonal then we may take $D = K - 2L$ where $|L| = g_3^1$; $|K - D|$ maps $C \to \mathbb{P}^2$ with degree 3 onto a conic, so in this case ker $\delta$ is 2-dimensional and $\Omega_0^D \subset \mathbb{P}(D)$ is a line. This line does not meet the image of $C$ in $\mathbb{P}(D)$ since $|K - D|$ is base-point-free; so we have proved part 2.

For part 3, first note that by the reasoning of remark 5.5 the only semistable bundle with $h^0(E) = 6$ is $E = g_5^2 \oplus g_5^2$. On the other hand the reasoning of part 1 above yields extensions with $h^0(E) = 5$ in $\Omega_0^D$, for any $|D| = g_4^1$. In this case the tetragonal pencils are precisely the projections from points of the plane quintic, i.e. $D = L - p$ for $|L| = g_5^2$ and some $p \in C$. The map $C \to \mathbb{P}^2$ given by the series $|K - D|$ is projection of the canonical curve (which lies on a Veronese surface) away from the conic in $\mathbb{P}^5$ spanned by $D$, and hence has base-point $p$. The image is thus the plane quintic model of $C$; in particular $\delta$ is surjective and $\Omega_0^D$ is a single point. But because $p \in C$ is a base-point of $|K - D|$, the curve passes through $\Omega_0^D$ at the image of $p$, which is the equivalence class of $g_5^2 \oplus g_5^2$. This proves part 3. \[\blacksquare\]
8.2 Remark. Part 1 of the theorem was observed by Mukai in [18]. Recall that the canonical curve lies on the (del Pezzo) transversal intersection with a $P^5 \subset P^9$ of the Plücker embedded Grassmannian of lines in $P^4$. The bundle $E$ in the theorem is then dual to the restriction to the curve of the tautological bundle on the Grassmannian.

It is well-known that a generic curve of genus 6 possesses five tetragonal pencils, so the point $E$ of part 1 is common to the corresponding five 6-planes of the ruling. It is amusing to see this using the results of section 1.

Let us denote the five by $x_0, \ldots, x_4 \in \text{Pic}^4(C)$; and let us recall how they are related to each other. By Riemann-Roch $|x_0| = g_4^1$ implies that $|Kx_0^{-1}| = g_6^2$. Thus the image of

$$\lambda_{|Kx_0^{-1}|}: C \to P^2$$

is a sextic with four nodes, which we shall denote by $p_1, \ldots, p_4 \in P^2$:

figure

Let $D_i \in S^2 C$, $i = 1, \ldots, 4$, be the nodal divisors, i.e. $p_i = \lambda_{|Kx_0^{-1}|}(D_i)$. If we denote by $H = Kx_0^{-1}$ the hyperplane class on $C$ then by adjunction in the blow-up at the four nodes we have $K = 3H - D_1 - \cdots - D_4$ and hence

$$x_0 = O(2H - D_1 - \cdots - D_4)$$

i.e. $|x_0|$ is cut out by the pencil of conics through the four nodes $p_1, \ldots, p_4$. In this model it is easy to see the remaining four $g_4^1$s: for $i = 1, \ldots, 4$ the pencil $|x_i|$ is cut out by the lines through $p_i \in P^2$. Formally $x_i = O(H - D_i)$, and in particular we deduce that

(28) \[ x_0 \otimes x_i = O(K - D_i). \]

Consider again the five 6-planes $P(x_0), \ldots, P(x_4)$: we have just seen that the bundles $E$ for which $h^0(E) = 5$ are the points $\Omega^0$ in these five spaces. Let us denote these five bundles by $E_i \in P(x_i)$. We are claiming that they all coincide:

(29) \[ E_0 = E_1 = E_2 = E_3 = E_4 \in SU_C(2, K). \]
To see this, let us work in $\mathbf{P}(x_0)$. In example 3.4 we have seen that $\Omega^0 = \{E_0\}$ is the vertex of a Veronese cone $\Omega^1$ containing the image of $C$ (i.e. the intersection of $\mathbf{P}(x_0) \subset SU_C(2, K)$ with $\text{Kum}(J_C^{g-1})$), and that projection away from the vertex maps $C$ to $\mathbf{P}^2$ via the linear system $|Kx_0^{-1}|$. The image of this map is the 4-nodal sextic just noted, and it follows that the four secant lines $D_i \subset \mathbf{P}(x_0)$, $i = 1, \ldots, 4$, where $D_i \in S^2C$ is the $i$-th nodal divisor as above, all pass through the vertex $E_0$. By proposition 1.2 together with (28) it follows that

$$D_i = \mathbf{P}(x_0) \cap \mathbf{P}(x_i), \quad i = 1, \ldots, 4.$$  

Thus $E_0 \in \overline{D_i} \subset \mathbf{P}(x_i)$ for each $i$, and therefore coincides with the unique bundle $E_i \in \mathbf{P}(x_i)$ having five sections—so again we have proved (29).

8.3 Remark. By the proof of theorem 4.1 we have, for a curve of genus 6, $\mathcal{W}^2 \subset \mathcal{P}_0 \cap SU_C(2, K) \subset \mathcal{W}^2 \cup \bigcup_{x \in \mathcal{W}^4} \mathbf{P}(x)$. We have seen that in each such $\mathbf{P}(x)$, $|x| = g^1_4$, we have $h^0(E) \geq 3$ along a cubic cone, which in particular spans $\mathbf{P}(x)$. It follows that

$$\mathcal{P}_0 \cap SU_C(2, K) = \mathcal{W}^2 \cup \bigcup_{x \in \mathcal{W}^4} \mathbf{P}(x),$$

and that this intersection properly contains $\mathcal{W}^2$ since $h^0(E) = 2$ at the generic point of each $\mathbf{P}(x)$. For $C$ generic $\bigcup_{x \in \mathcal{W}^4} \mathbf{P}(x)$ consists of five 6-planes meeting pairwise in ten lines concurrent at the point $\mathcal{W}^4$.

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