Asteroid phase curves from ATLAS dual-band photometry

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Abstract

Asteroid phase curves are used to derive fundamental physical properties through the determination of the absolute magnitude $H$. The upcoming visible Legacy Survey of Space and Time (LSST) and mid-infrared Near-Earth Object Surveillance Mission (NEOSM) surveys rely on these absolute magnitudes to derive the colours and albedos of millions of asteroids. Furthermore, the shape of the phase curves reflects their surface compositions, allowing for conclusions on their taxonomy. We derive asteroid phase curves from dual-band photometry acquired by the Asteroid Terrestrial-impact Last Alert System telescopes. Using Bayesian parameter inference, we retrieve the absolute magnitudes and slope parameters of 127,012 phase curves of 94,777 asteroids in the photometric $H$, $G_1$, $G_2$- and $H$, $G_1^∗$-systems. The taxonomic complexes of asteroids separate in the observed $G_1$, $G_2$-distributions, correlating with their mean visual albedo. This allows for differentiating the X-complex into the P-, M-, and E-complexes using the slope parameters as alternative to albedo measurements. Further, taxonomic misclassifications from spectrophotometric datasets as well as interlopers in dynamical families of asteroids reveal themselves in $G_1$, $G_2$-space. The $H$, $G_1^∗$-model applied to the serendipitous observations is unable to resolve target taxonomy. The $G_1$, $G_2$ phase coefficients show wavelength-dependency for the majority of taxonomic complexes. Their values allow for estimating the degree of phase reddening of the spectral slope. The uncertainty of the phase coefficients and the derived absolute magnitude is dominated by the observational coverage of the opposition effect rather than the magnitude dispersion induced by the asteroids’ irregular shapes and orientations. Serendipitous asteroid observations allow for reliable phase curve determination for a large number of asteroids. To ensure that the acquired absolute magnitudes are suited for colour computations, it is imperative that future surveys densely cover the opposition effects of the phase curves, minimizing the uncertainty on $H$. The phase curve slope parameters offer an accessible dimension for taxonomic classification, correlating with the albedo and complimentary to the spectral dimension.

1 Introduction

The absolute magnitude $H$ of asteroids is defined as their apparent Johnson V-band magnitude observed at zero degree solar phase angle and reduced to 1 AU distance from both the Sun and Earth, averaged over a full period of their rotation. The phase angle $\alpha$ is the angle between the Sun, the asteroid, and the observer. The reduced magnitude $V(\alpha)$ is calculated from the observed apparent magnitude $m$ as

$$V(\alpha) = m + 5 \log(r\Delta),$$

where $r$ is the distance between the asteroid and the Sun at the epoch of observation and $\Delta$ the respective distance between the asteroid and Earth. $V(\alpha)$ is referred to as the phase curve, and, by definition, $H = V(0)$.

The inference of principal physical parameters of minor bodies requires accurate knowledge of their absolute magnitudes. Their diameter $D$ and visual geometric albedo $p_v$ are related to $H$ by (Harris & Lagerros, 2002)

$$\log_{10} D = 3.1236 - 0.2H - 0.5 \log_{10} p_v .$$

Any uncertainty in $H$ enters logarithmically in the derivation of the physical properties. The diameters and visual albedos of more than 100,000 Main Belt asteroids observed with NASA's Wide-field Infrared Survey Explorer (WISE) carry 20% and 40% accuracy, under the assumption that the referenced absolute magnitudes are accurate (Masiero et al., 2011; Nugent et al., 2015). NASA's planned Near-Earth Object Surveillance Mission (NEOSM, previously NEOCam, Grav et al., 2019) aims to extend this catalogue by an order of magnitude, thereby vastly increasing the demand for accurate determinations of $H$.

Deriving $H$ in different wavelength bands further offers the consolidation of asteroid photometry obtained at different epochs for colour computation and subsequent taxonomic classification. This is vital for the upcoming Legacy Survey of Space and Time (LSST) executed at the Vera C. Rubin Observatory. The LSST aims to provide catalogues of photometric variability and colours for millions of minor bodies (Jones et al., 2009). The latter necessitates either quasi-simultaneous multi-band observations of a single target or reduction of the observed magnitudes to zero phase angle (Szabó et al., 2004; Warner et al., 2009). Given the numerous competing science cases of the LSST and its planned operations with two filters per night at most, the Solar System science community cannot rely on the realization of the required observation cadence alone. Instead, $H$ must be derived in each band by fitting the observed phase curves to obtain the colours.

The definition of $H$ requires asteroid magnitudes at zero degree phase angle. This is practically difficult to achieve, hence $H$ is instead extrapolated from photometric observations acquired close to opposition, but at non-zero phase angles, by means of phase curve modelling. We summarize here the most basic modelling advances and refer to Muinonen et al. (2002) and Li et al. (2015) for detailed reviews.

In first order, an asteroid’s apparent brightness increases linearly with decreasing phase angle. The slope of the phase curve is...
dictated by mutual shadowing of the surface particles, which in turn depends on the surface properties like shape, roughness and porosity. When observing an asteroid close to opposition, a nonlinear brightness surge occurs, referred to as opposition effect (Gehrels, 1956). In 1985, the International Astronomical Union (IAU) adopted the $H$, $G$-magnitude system, where $G$ describes the overall slope of the phase curve (Bowell et al., 1989). The $H$, $G$-system successfully describes phase curves in large ranges of the phase space, however, it fails to reproduce the opposition effect, especially for exceptionally dark or bright objects (Belskaya & Shevchenko, 2000). In 2010, the $H$, $G$ system was extended by Muinonen et al. (2010) to the three-parameter $H$, $G_1$, $G_2$-system,

$$V(\alpha) = H - 2.5 \log_{10}[G_1\Phi_1(\alpha) + G_2\Phi_2(\alpha) + (1 - G_1 - G_2)\Phi_3(\alpha)],$$

(3)

where the $\Phi_i$ are basis functions describing the linear part (subscripts 1 and 2) and the opposition effect (subscript 3). For low-accuracy and sparsely-sampled phase curves, the authors propose the $H$, $G_{12}$-system, later refined by Penttilä et al. (2016) to the $H$, $G_{12}$-system, where

$$G(1, G_2) = \begin{pmatrix} 0 \\ 0.53513350 \end{pmatrix} + G_{12} \begin{pmatrix} 0.84293649 \\ -0.53513350 \end{pmatrix}.$$  

(4)

Taking into account the physical constraint that asteroids get fainter with increasing phase angle, we confine the $G_1$, $G_2$-space using Equation 3 to

$$G_1, G_2 \geq 0, \quad 1 - G_1 - G_2 \geq 0.$$  

(5a, 5b)

We gain physical interpretability of the phase coefficients by expressing the photometric slope $k$ between 0 deg and 7.5 deg following Muinonen et al. (2010) as

$$k = -\frac{1}{5\pi} \frac{30 G_1 + 9 G_2}{G_1 + G_2},$$  

(6)

and the size of the opposition effect $\zeta - 1$ as

$$\zeta - 1 = \frac{1 - G_1 - G_2}{G_1 + G_2}.$$  

(7)

where $\zeta$ is the ratio of the amplitude of the opposition effect and the background intensity. $k$ is in units of mag/deg, while $\zeta - 1$ gives the contribution of the opposition effect to the absolute magnitude in units of mag. Belskaya & Shevchenko (2000) showed that the opposition effect and the photometric slope correlate with the albedo. The former peaks for moderate-albedo asteroids, while minor bodies with high- and low-albedo asteroids display smaller opposition effects. $k$ is proportional to the albedo, with dark asteroids exhibiting steeper phase curves than bright minor bodies.

The derivation of accurate phase curve parameters requires multiple observational campaigns at different solar elongations of a single target. The observations need to account for the modulation of the apparent magnitude by the asteroid’s irregular shape and rotation, in addition to possible offsets due to varying aspect angles when combining data from distinct apparitions. Examples of targeted campaigns can be found in Shevchenko et al. (1997, 2002, 2008, 2016). The number of asteroids with accurate and reduced phase curves available remains in the lower hundreds due to the requirements of extensive telescope time and asteroid shape models.

To obtain catalogues of phase curve parameters in the order of magnitude required for future large-scale surveys, serendipitous asteroid observations need to be exploited. Oszkiewicz et al. (2011) determined the $H$, $G_1$, $G_2$- and $H$, $G_{12}$-model values of more than 500,000 asteroids by combining observations from different observatories. Since the publication of this catalogue, the number of known minor planets has increased almost two-fold. We aim to extend this effort while taking note of two caveats of the analysis. First, the fitted $H$, $G_1$, $G_2$- and $H$, $G_{12}$-model were not constrained as in Equation 5b, resulting in 52% of the reported slope parameters lying outside the physical range. Furthermore, the authors combined observations from different wavebands, applying average asteroid colour-indices to unify the data. However, the slopes and band widths of asteroid spectra increase with increasing phase angle (e.g., Shkuratov et al., 2002; Sanchez et al., 2012), resulting in wavelength-dependent phase curves. Therefore, we refrain from combining observations acquired in different wavebands.

Utilising serendipitous observations offers the advantage of large catalogues, however, the derived phase curves are subject to several undesirable effects. The majority of observations reported to the Minor Planet Centre (MPC) is collected by large-scale surveys aiming to monitor the near-Earth environment. To identify asteroids on collision trajectories with Earth, these surveys favour observing asteroids in quadrature rather than opposition. This introduces a bias towards observations at the maximum observable phase angle for asteroid populations with superior orbits to that of Earth. In addition, the light curve modulation introduced by rotation and apparition effects can be reduced using accurate targeted observations, e.g. by means of a Fourier analysis to derive the shape of the light curve and by treating observations from multiple oppositions separately. For non-targeted observations, the comparatively large photometric uncertainty inhibits such a reduction. Furthermore, the corresponding increase in required observations would decrease the size of the available sample, diminishing the statistical significance of the resulting catalogue. As a consequence, serendipitously observed phase curves exhibit stochastic fluctuations, translating into larger uncertainties on the fitted phase coefficients.

In this work, we derive the phase curve parameters of serendipitously observed asteroids. In Section 2, we describe the observations at hand and the Bayesian parameter inference approach. The fitted phase curve parameters are summarized in Section 3. The taxonomic interpretability of the $G_1$, $G_2$-parameters and their wavelength-dependency are outlined in Section 4. We illustrate these results with the taxonomy of asteroid families in Section 5. In Section 6, we quantify the effect of various sources of uncertainties and limited phase curve coverage at opposition on the derived phase curve parameters. The conclusions are presented in Section 7.

1https://wiki.helsinki.fi/display/PSR/Asteroid+absolute+magnitude+and+slope

2https://minorplanetcenter.net
2 Methodology

2.1 Selection of serendipitous observations

The MPC observations database contains 246 million asteroid observations as of March 2020. We aim to acquire densely sampled phase curves for a large, unbiased corpus of asteroids. At the same time, we seek to quantify the inherent effects of the asteroids’ shape-induced light curve modulation on the phase curve parameters. We therefore attempt to exclude possible sources of systematic effects rigorously. These derive foremost from non-homogenous photometry between different observatories. Differences in the filter transmission, reduction pipeline, or stellar catalogues introduce discrepancies in the reported magnitudes of asteroids.

Instead, we choose to utilise observations from a single observatory, maximising the likelihood of consistent data treatment. In recent years, both the Panoramic Survey Telescope and Rapid Response System (Pan-STARRS, Hodapp et al. (2004)) and the Asteroid Terrestrial-impact Last Alert System (ATLAS, Tonry et al. (2018)) have placed among the top five contributors to the MPC in terms of number of observations. Comparing the ephemerides at the epoch of observation of several thousand asteroids observed by the surveys, we find that the bias towards observation at asteroid quadrature is less pronounced in the ATLAS catalogues. In addition, ATLAS has acquired dual-band photometry of a large number of asteroids at comparable phase angles, offering an excellent dataset to investigate the wavelength-dependency of the phase curves. Hence, we make use of observations by ATLAS, referring the reader to Vereš et al. (2015) for a derivation of $H, G$-parameters using Pan-STARRS observations.

2.2 ATLAS

ATLAS is a NASA-funded sky-survey aiming to observe near-Earth asteroids (NEAs) on impactor trajectories with the Earth. It was designed with a focus on a high survey speed per unit cost (Tonry, 2011). Two independent 0.5 m telescopes located at Haleakala and Mauna Loa in Hawaii are in operation since 2015 and 2017 respectively, achieving multiple scans of the northern sky every night. Each telescope observes a 30 deg$^2$ field-of-view. By March 2020, ATLAS has discovered 426 NEAs, including 44 potentially hazardous ones. $^3$ Standard observations are carried out in two filters, a bandpass between 420 - 650 nm termed cyan and a bandpass between 560 - 820 nm termed orange. The transmission curves of these filters are depicted in Figure 1. The observed asteroid astrometry and photometry are reported to the MPC.

We received dual-band photometry of 180,025 distinct asteroids from the ATLAS collaboration. A third of the objects was observed at phase angles below 1 deg. The observations were acquired between June 2015 and December 2018. We extend this database by including ATLAS observations from 2019 reported to the MPC.

The original database contained 26.8 million observations, to which we add 8 million using the MPC database. The required ephemerides are retrieved using the IMCCE’s Miriade tool.$^4$

![Figure 1: Transmission curves of the cyan and orange filters used by the ATLAS survey (Tonry et al., 2018). Data from the Filter Profile Service of the Spanish Virtual Observatory (Rodrigo et al., 2012).](http://svo2.cab.inta-csic.es/svo/theory/fps3/)

$^{3}$http://atlas.fallingstar.com
$^{4}$http://vo.imcce.fr/webservices/miriade/

2.3 Phase parameter inference

Fitting scattering model functions to phase curves is notoriously ambiguous and the results do not necessarily describe the observed surface, especially in the case of observations where the shape-induced light curve modulation has not been subtracted (Karttunen & Bowell, 1989; Kaasalainen et al., 2003). We choose a computationally expensive Bayesian parameter inference with Markov chain Monte Carlo (MCMC) simulations to fit the $H, G_1, G_2$ and $H, G_{12}$-models, allowing to examine the posterior distributions of the phase curve parameters. To differentiate between the absolute magnitudes obtained with these two models, we use the subscript $H_2$ for $H, G_{12}$.

For both absolute magnitudes $H$ and $H_{12}$, we choose a weakly informative, normally distributed prior,

$$p(H), p(H_{12}) = N(\mu = 10, \sigma = 100),$$

where $N(\mu, \sigma)$ describes the Gaussian normal distribution with mean $\mu$ and standard deviation $\sigma$. The mean and standard deviation are set to approximate a uniform distribution over the relevant absolute magnitude parameter space. Alternatively, informative prior distributions could be derived from the distribution of the absolute magnitude of Main-Belt asteroids, up to the limiting magnitude of ATLAS (m~19, Tonry et al., 2018), or from computing least-squares fits of the $H, G$-model to each phase curve and using the acquired $H$ and its uncertainty as moments of the Gaussian distribution.

To quantify the effect of the prior choice, we computed the $H, G_1, G_2$- and $H, G_{12}$-model fits for 100 randomly chosen phase curves.
using the three outlined priors. The distribution of \( H \) for Main-Belt objects is approximated with a Gaussian distribution with \( \mu = 17.2 \) and \( \sigma = 1.6 \). The resulting distributions of the model parameters \( H \) and \( H_{12} \) show negligible variation with averaged differences below 0.01, only the prior based on the \( HG \)-model yields larger \( H \)-values with an averaged difference of 0.06 as it limits the size of the opposition effect. The quantification supports the choice of the weakly informative choice, though the prior based on the Main-Belt magnitudes would have been equally acceptable.

Following the slope parameter constraints in \( \text{Equation 5} \), we choose uniform distributions between 0 and 1 as prior probabilities for \( G_1 \), \( G_2 \), and \( G_{12}^* \),

\[
p(G_1), p(G_2), p(G_{12}^*) = U(0, 1].
\] (9)

Note that this choice in priors does not necessarily lead to \( G_1 \) and \( G_2 \) satisfying constraint \( 5b \). To accommodate for this, we remove solutions where \( 1 - G_1 - G_2 < 0 \) from the MCMC samples.

In the following, we collectively describe the parameters of the respective photometric model using \( \Theta \).

We define the likelihood function by assuming that the observed apparent magnitudes \( m_n \), at phase angle \( \alpha \), follow a normal distribution with the true apparent magnitude as mean and a standard distribution \( \sigma_{n,i} \), dictated by the asteroid’s light curve modulation and the observation accuracy,

\[
p(m_n|\Theta) = N(\mu = m_{n,i}, \sigma = \sigma_{a,i}).
\] (10)

With the given prior probabilities, likelihood function and data, the posterior probability distribution \( p(\Theta|m) \) is defined. However, we cannot derive it analytically and need to approximate it by means of MCMC simulations.

We use the pymc3 python package (Salvatier et al., 2016)\(^6\) to perform these simulations. The photometric models are implemented in the ebpy package (Mommert et al., 2019)\(^7\). As best-fit parameters, we use the mean values of the respective parameter’s posterior probability distribution. The uncertainties are given by the bounds of the 95\% highest density interval (HDI) of the posterior distributions.

In \( \text{Figure 2} \), we depict the parameter inference for the phase curve of \( (20) \text{Massalia} \), as observed by Gehrels (1956), who first noted the opposition effect on the surface of an asteroid using these targeted observations. The resulting \( H, G_1, G_2 \)- and \( G_{12}^* \)-model fits are displayed, including the 1D- and 2D distributions of the \( G_1 \) and \( G_2 \) Markov chain Monte Carlo samples.

In comparison, we show the effect of serendipitous observations on the parameter inference in \( \text{Figure 3} \), which depicts the \( H, G_1, G_2 \)- and \( H, G_{12}^* \)-model fits to observations of \( (442) \text{Eichsfeldia} \) by ATLAS in cyan. The same range of reduced magnitudes is given on the y-axis as in \( \text{Figure 2} \). The light curve modulation yields a large dispersion in reduced magnitude at each phase angle, which is reflected in the dispersion of MCMC \( G_1, G_2 \)-samples, depicted in the plot inset. We further observe that the posterior distribution tends towards the unphysical \( G_2 < 0 \)-range, likely due to the step in reduced magnitude around 27 deg phase angle.

\( \text{Figure 3} \) shows that the two photometric models arrive at similar slopes, while the size of the opposition effect and the inferred absolute magnitudes vary considerably \( (H = 9.87, H_{12} = 9.98) \). This highlights the restricted nature of the \( H, G_{12}^* \)-model; the \( G_1, G_2 \)-parameters of \( (442) \text{Eichsfeldia} \) in cyan are \((0.64, 0.05)\),

\[\text{https://docs.pymc.io/}\]

\[\text{https://ebpy.readthedocs.io/}\]
which is distant from the linear $G_1$, $G_2$-relation of $G_{12}$. Hence, the $H$, $G_{12}$-model cannot adequately describe the opposition effect of the phase curve.

3 Results

In this section, we present the phase curve parameters acquired by applying the $H$, $G_1$, $G_2$- and $H$, $G_{12}$-models to the serendipitous ATLAS observations. Erasmus et al. (2020) investigate the taxonomic interpretability of the cyan-orange colour to identify asteroid family members. We highlight the differences in the absolute magnitudes derived with the $H$, $G_1$, $G_2$- and $H$, $G_{12}$-model.

3.1 Sample selection and data availability

From the 180,025 asteroids observed by ATLAS, we select the ones with at least one observation at a phase angle $\alpha$ below three degree, $\alpha_{\text{min}} \leq 3$ deg, to ensure an adequate description of the opposition effect. This decreases the sample size to 124,072 asteroids, rejecting almost a third of the available sample. We choose this limit based on the significant importance of the opposition effect on the phase curve parameters, and after simulating different degrees of incomplete phase curve coverage towards opposition, refer to Section 6. We further apply lower limits on the number of observations, $N \geq 50$, and the maximum phase angle of observation, $\alpha_{\text{max}} \geq 10$ deg, to remove sparsely-sampled phase curves. The final sample consists of 94,777 unique asteroids, 36,441 observed in cyan and 90,571 observed in orange.

We provide the $H$, $G_1$, $G_2$- and $H$, $G_{12}$-model parameters for all 127,012 fitted phase curves in an online catalogue publicly available at the Centre de Données astronomiques de Strasbourg (CDS). The format of the catalogue is described in A. For 43 phase curves, the $H$, $G_1$, $G_2$-model failed to fit the phase curve, meaning that not a single of the 48,000 MCMC samples satisfied Equation 5. By visually inspection, we found that large magnitude dispersions, insufficient sampling, or strong apparition effects lead to unphysical shapes of the phase curves, where the magnitude decreased with increasing phase angle. An example is given in Figure 10 in Section 6 for the phase curve of (250) Bettina, exhibiting a particularly strong apparition effect.

3.2 Phase curve parameters in cyan and orange

We display the absolute magnitudes $H$ and $H_{12}$ in cyan and orange derived from the model fits on the left hand side of Figure 4. It is apparent that the absolute magnitudes from the $H$, $G_{12}$-model are lower on average. This is highlighted in the right hand side of Figure 4, where we display the histograms of the difference $H - H_{12}$ for all objects. Both in cyan and in orange, the distributions peak around 0.1 mag and extend up to 1 mag absolute difference.

The origin of these discrepancies can be seen in Figure 5. On the left hand side, we give the 2D kernel density estimator (KDE) distribution fitted to the $G_1$, $G_2$-pairs of the whole sample in cyan and orange using a Gaussian kernel. The black 1 $\sigma$-contour gives the KDE level at which 68% of the summed probabilities is contained in the area, resembling the 1 $\sigma$-level of a Gaussian distribution.

The catalogue is available at the CDS via anonymous ftp to cdsarc.u-strasbg.fr (150.79.128.5) or via http://cdsarc.u-strasbg.fr/viz-bin/qcat?VII/288.

The catalogue is available at the CDS via anonymous ftp to cdsarc.u-strasbg.fr (150.79.128.5) or via http://cdsarc.u-strasbg.fr/viz-bin/qcat?VII/288. Figure 4: Left: The distribution of absolute magnitudes $H$ (black) and $H_{12}$ (white) derived from ATLAS phase curves of 94,777 asteroids using the $H$, $G_1$, $G_2$- and $H$, $G_{12}$-models respectively, for phase curves observed in cyan (top) and orange (bottom). For readability, magnitudes below 10 (0.8% of the sample) are not shown. Right: The difference in the absolute magnitude derived with the two models, for phase curves in cyan (top) and orange (bottom).
values up to about 0.9, where the number rises again. These tendencies of $G_{12}^*$ towards the limiting 0 and 1 values indicate that a large number of phase curves lies outside the defining relation, hence, they cannot be represented appropriately by the model. We point out that we observe phase curves fitted with $H$, $G_1$, $G_2$ on the edges of $G_1$, $G_2$-space as well, though in a much smaller ratio. As mentioned in Section 2, we attribute these to stochastic magnitude variations leading to unphysical shapes of the observed phase curves.

3.3 Suitability of $H$, $G_{12}^*$ for taxonomic classification using non-targeted observations

The different results between $H$, $G_1$, $G_2$ and $H$, $G_{12}^*$ are expected as the $H$, $G_1$, $G_2$ is more flexible due to the third photometric parameter. Muinonen et al. (2010) stress that the main advantage of the $H$, $G_{12}$-model with its reduced parameter space is its predictive power when utilized with sparsely-sampled phase curves. Indeed, giving non-targeted, sparse observations, and a prior knowledge on the target taxonomy, Penttilä et al. (2016) show that the absolute magnitude can be estimated using class-specific fixed slope parameters in the fitting procedure.

However, regarding a taxonomic classification based on the parameters of the $H$, $G_{12}$-model, we conclude here that neither the absolute magnitudes nor the slope parameter are sufficiently reliable. The discrepancy between $H$ and $H_{12}$ prevents classification based on the absolute magnitude. To compare, we compute the colours of the asteroid taxonomic classes in the Sloan Digital Sky Survey (SDSS) using the spectral templates of the classes from DeMeo & Carry (2013). For each colour, we compute the average difference between the complexes, resulting in 0.03 mag ($u-g$), 0.03 mag ($g-r$), 0.04 mag ($r-i$), and 0.02 mag ($i-z$). The inaccuracies introduced by the $H$, $G_{12}$ - model are on average greater than these differences, preventing taxonomic classification. As outlined in Subsection 3.2, we regard the $G_{12}$-parameter insufficient for any conclusion on the surface composition as well.

4 Taxonomy

In the following, we evaluate the taxonomic information content of the phase curve parameters, focusing on $G_1$, $G_2$-values derived from the serendipitous phase curves. We illustrate the distributions of the asteroid complexes and quantify their similarities in cyan and orange. Further evaluated are their wavelength-dependency and the ability to solve degeneracies of asteroid spectra using phase curve parameters.

The $G_1$, $G_2$- and $G_{12}$-distributions of different complexes have been studied by Oszkiewicz et al. (2011) and Shevchenko et al. (2016). We do not further explore the $G_{12}$-parameter following the conclusion of Section 3.

4.1 Importance of observing the opposition effect

In a first iteration, we performed the following analysis on all acquired phase curves, using the limits on $\sigma_{\text{min}}$, $\sigma_{\text{max}}$, and $N$ as outlined in Subsection 3.1. However, we noticed large dispersions in the arising $G_1$, $G_2$-distributions of the complexes, which showed a clear trend with respect to the number $N$ of observations in each phase curve. $G_1$, $G_2$-parameters derived from phase curves with low $N$ dispersed more from the center of the distributions than the ones from more densely covered phase curves.

The vital role of the opposition effect both for determining the absolute magnitude $H$ and the taxonomic interpretation of the phase curve has been pointed out in the previous sections. Its non-linear dependence on the phase angle and the inherent magnitude dispersion of the serendipitous observations (refer to Section 6) require a dense coverage of observations to accurately describe the brightness surge. As ATLAS aims to observe asteroids on impact trajectory, only 7.3% of the 24 million observations analysed here have been acquired of asteroids at $\alpha \leq 3$deg, i.e. close to opposition, see Figure B.1. For $N = 50$, this corresponds to 3-4 observations covering the most important part of the phase curve. We therefore evaluated the trade off between dispersion introduced in $G_1$, $G_2$-space by phase curves with insufficient sampling of the opposition effect and by small sample numbers in less common asteroid taxa. Through visual inspection of the resulting complex distributions, we settled on $N = 125$ as limit for the following analyses, decreasing the initial sample size of 127,012 by more than half, down to 61,184. We stress that this large number of required observations stems from the science goal of the observatory providing the data; future large scale surveys like LSST can derive accurate phase

\footnote{https://www.sdss.org/}

\footnote{The template spectra are retrieved from \url{http://smass.mit.edu/busdemeoclass.html}.}
curves from fewer observations provided the opposition effect is in the focus of the observation schedule.

4.2 Complex mapping

We retrieve previous taxonomic classifications from various references for 19,708 objects, in addition to reference albedo values for 14,384 of these classified asteroids. The albedos are employed to identify misclassifications and to separate classes into different complexes as outlined below. We collected these values from numerous sources and refer the reader to A and the online catalogue of the phase curve parameters for details.

The majority of classifications follow the Bus- or Bus-DeMeo-schemes (Bus & Binzel, 2002; DeMeo et al., 2009), which are performed on low-resolution asteroid reflectance spectra. As the phase curve parameters \textit{a priori} contain less taxonomic information than spectra and to increase the size of the subsamples, we map the classes into broader taxonomic complexes. In the Bus-DeMeo taxonomy, there are 25 classes spanning a space of 13 complexes which are designated by unique letters of the alphabet (Binzel et al., 2019). We map the asteroids onto these complexes based on their previous classifications. For classes which have been defined in previous taxonomies but are no longer present in the Bus-DeMeo one, we choose the current complex resembling the previous class the most. As an example, the F-type defined in Tholen (1984) is mapped onto the B-complex.

Previous taxonomies like Tedesco et al. (1989) differentiate the X-type asteroids into low-albedo P-types, medium-albedo M-types, and high-albedo E-types. Asteroids with the same spectral shape but lacking albedo measurement are grouped into the X-types. As the albedo was dropped in subsequent taxonomies, so was the differentiation of the X-type classes. Given the correlation of the phase curve parameters with the albedo (e.g., Belskaya & Shevchenko, 2000; Penttilä et al., 2016; Belskaya & Shevchenko, 2018), we expect the X-type asteroids to separate in \( G_1, G_2 \)-space. Therefore, we map asteroids classified in the X-complex into the \( \rho_V \)-space. \( \rho_V \)-values towards flatter phase curves and larger \( G_2 \)-parameters of the X-complex are likely made up objects belonging to the denoting complex (i.e. Ad is made up of A- and D-type asteroids). In a subsequent analysis step, we investigate the class ratios in these combinations using the phase curve parameters. We choose the VISTA-MOVIS sample rather than the SDSS sample by Carvano et al. (2010) as the degeneracy in near-infrared cannot be resolved without additional information such as the phase curve parameters. For the ambiguous SDSS results, additional observations in the visible could suffice to resolve the classifications.

4.3 Distribution of taxonomic complexes in \( G_1, G_2 \)-space

The 2 D KDE distributions fitted to the \( G_1, G_2 \)-parameters of the phase curves of the 15 complexes are shown in Figure 6, both in \textit{cyan} and \textit{orange}, with a black contour marking the KDE level at which 68\% of the summed probability is encompassed. The complexes are depicted in increasing order of their average visual albedo. It is readily apparent that the albedo-dependence of the opposition effect and photometric slope as described by Belskaya & Shevchenko (2000) is present in the ATLAS observations as well; with increasing average visual albedo, the distribution centers shift from large \( G_1 \)-values towards medium- and finally large \( G_2 \)-values, i.e. towards flatter phase curves and smaller opposition effects. We further find good agreement with

| Class | \( \Sigma \) | \( N \) | \( \rho_V \) | \( \sigma_{\rho_V} \) |
|-------|--------|-----|----------|---------|
| P, PC, PD, X, XC | | | | |
| XD, XL, Xc, Xe, Xk, Xt | \( \rightarrow P \) | 593 | 0.05 | 0.02 |
| D, DP | \( \rightarrow D \) | 425 | 0.06 | 0.02 |
| Cgh, Ch | \( \rightarrow C \) | 266 | 0.06 | 0.06 |
| B, F, FC | \( \rightarrow B \) | 523 | 0.08 | 0.06 |
| C, CB, CD, CF, CG, CL, CO, Cb, Cg, Cgx | | | | |
| Co | \( \rightarrow C \) | 3,670 | 0.09 | 0.09 |
| T | \( \rightarrow T \) | 62 | 0.12 | 0.06 |
| M, X, XD, XL, Xc, Xe, Xk, Xt | \( \rightarrow M \) | 660 | 0.15 | 0.05 |
| K | \( \rightarrow K \) | 586 | 0.18 | 0.09 |
| L, LQ, Ld | \( \rightarrow L \) | 776 | 0.19 | 0.09 |
| O | \( \rightarrow O \) | 5 | 0.21 | 0.10 |
| S, SQ, SV, Sa, Sk, Sl, Sp, Sq, Sqw, Sr, Srw, Sv, Sw | \( \rightarrow S \) | 8,875 | 0.26 | 0.08 |
| A, AQ | \( \rightarrow A \) | 69 | 0.28 | 0.09 |
| Q, QO, QV | \( \rightarrow Q \) | 185 | 0.28 | 0.11 |
| V, Vw | \( \rightarrow V \) | 1,412 | 0.36 | 0.11 |
| E, X, XD, Xc, Xe, Xn, Xt | \( \rightarrow E \) | 46 | 0.46 | 0.16 |
| X, XD, XL, Xe, Xk, Xt | \( \rightarrow X \) | 202 | - | - |
Figure 6: Depicted are the $G_1$, $G_2$-distributions for several taxonomic asteroid complexes comprising 19,708 objects, derived from serendipitous phase curves observed by ATLAS in cyan and orange. The complexes are sorted in increasing order of their average visual albedo. The distributions are represented by 2D Gaussian kernel density estimators (KDE) fitted to the $G_1$, $G_2$-pairs. The black contours give the KDE level at which 68% of the summed probabilities is encompassed, resembling the 1σ-level of a Gaussian distribution. Further given are the number of asteroids $N$ in each complex and waveband as well as the two-sample 2D Kolmogorov-Smirnov $p$-values computed between the distributions in cyan and orange for each complex.
Table 2: For each complex, we provide the number \( N \) of analysed phase curves as well as the geometric center \( C \) and area \( A \) of the 95%-probability contour in cyan (subscript \( c \)) and orange (subscript \( o \)). The areas are multiplied by 1,000 for notation purposes. Further given are the photometric slope parameter \( k \), the size of the opposition effect \( \zeta - 1 \), and the Kolmogorov-Smirnov \( p \)-values for each complex in this study. \( k \) and \( \zeta - 1 \) are calculated using the \( G_1, G_2 \)-pairs of the geometric centers, following Equation 6 and Equation 7.

| \( \Sigma \) | \( N_c \) | \( N_o \) | \( C_c \) | \( C_o \) | \( A_c \) | \( A_o \) | \( k_c \) | \( k_o \) | \( \zeta - 1_c \) | \( \zeta - 1_o \) | \( p \) |
|---|---|---|---|---|---|---|---|---|---|---|---|
| P | 255 | 576 | (0.80, 0.05) | (0.83, 0.06) | 4.0 | 6.4 | -1.82 | -1.81 | 0.16 | 0.12 | 0.00 |
| D | 179 | 419 | (0.77, 0.17) | (0.72, 0.20) | 8.5 | 10.6 | -1.67 | -1.62 | 0.06 | 0.09 | 0.00 |
| Ch | 125 | 255 | (0.77, 0.05) | (0.76, 0.07) | 4.1 | 5.2 | -1.84 | -1.80 | 0.22 | 0.21 | 0.02 |
| B | 172 | 519 | (0.82, 0.06) | (0.77, 0.08) | 4.5 | 8.0 | -1.82 | -1.79 | 0.14 | 0.17 | 0.00 |
| C | 965 | 3,609 | (0.82, 0.06) | (0.83, 0.06) | 6.2 | 5.0 | -1.81 | -1.82 | 0.13 | 0.13 | 0.00 |
| T | 30 | 62 | (0.65, 0.19) | (0.53, 0.24) | 6.3 | 7.5 | -1.61 | -1.49 | 0.18 | 0.29 | 0.66 |
| M | 203 | 642 | (0.19, 0.34) | (0.07, 0.42) | 9.0 | 7.5 | -1.05 | -0.77 | 0.92 | 1.02 | 0.00 |
| K | 147 | 566 | (0.18, 0.40) | (0.06, 0.48) | 8.6 | 6.6 | -0.99 | -0.72 | 0.71 | 0.87 | 0.00 |
| L | 176 | 758 | (0.16, 0.37) | (0.06, 0.47) | 9.0 | 6.7 | -0.96 | -0.73 | 0.89 | 0.89 | 0.00 |
| S | 2,076 | 8,702 | (0.08, 0.46) | (0.04, 0.51) | 6.4 | 3.5 | -0.76 | -0.67 | 0.87 | 0.81 | 0.00 |
| A | 17 | 68 | (0.30, 0.39) | (0.05, 0.57) | 7.5 | 6.2 | -1.16 | -0.68 | 0.46 | 0.60 | 0.22 |
| Q | 14 | 184 | (0.36, 0.44) | (0.05, 0.52) | 9.2 | 4.6 | -1.18 | -0.70 | 0.25 | 0.74 | 0.02 |
| V | 254 | 1,371 | (0.10, 0.56) | (0.04, 0.58) | 6.5 | 3.2 | -0.78 | -0.67 | 0.50 | 0.60 | 0.00 |
| E | 19 | 43 | (0.33, 0.45) | (0.06, 0.48) | 8.0 | 8.8 | -1.14 | -0.73 | 0.29 | 0.86 | 0.23 |
| X | 31 | 200 | (0.11, 0.45) | (0.06, 0.52) | 9.0 | 5.6 | -0.83 | -0.70 | 0.81 | 0.73 | 0.04 |

the \( G_1, G_2 \) -parameters extracted from targeted campaigns by Shevchenko et al. (2016) and Penitilli et al. (2016). The medium- and high-albedo S-, M-, and E-types populate regions of small photometric slopes, while low-albedo B-, C-, D-, and P-types present much larger slopes. Overall, the intermittent region around \( G_1=0.5 \) is sparsely populated; only the K- and T-complexes in both wavebands and the L-, and M-complexes in cyan present large probabilities there. We summarize the distributions in Table 2, giving the \( G_1, G_2 \)-coordinates of the geometric center of the 95%-probability-level contour for each complex. Further stated are the sizes of the areas encompassed by the 95% - probability contours, approximating the dispersion of the complexes in \( G_1, G_2 \)-space after outlier rejection.

The strong disparity in the distributions of the E- and P-complexes shows that the phase coefficients present a reliable distinction between members of the X-complex, independent on reference albedo measurements. For the complexes where we discriminate based on on albedo, i.e. the P-, M-, E-, and D-type, we see large tails in the 1\( \sigma \)-distributions, which we attribute to remaining misclassifications. Asteroid albedo measurements carry uncertainties around 17.5% (Masiero et al., 2018), suggesting that the P-, M-, and E-complexes are overlapping due to these interlopers. The C- and D-types present broad distributions, specifically in the orange samples. This indicates a substantial fraction of misclassifications in the literature. The majority of classifications is retrieved from visible photometry based on the SDSS. As noted in Subsection 4.2 and Carvano et al. (2010), asteroids can display ambiguous spectral features of several taxonomies, leading to mixing of high- and low-albedo classifications (misclassification of X- to C-types and S- to D-types). This hypothesis is further supported by the distribution of the Ch-complex. The classification of hydrated C-types is subject to more scrutiny than the more general C-types, hence we expect a much smaller fraction of misclassifications. Indeed, we observe less dispersed \( G_1, G_2 \)-distributions in the lower-albedo regime for the Ch-complex. Finally, the contamination of the C-complex prevents a conclusion on the ability to observe hydration in slope parameter space.

We conclude that the parameters of phase curves carry substantial taxonomic information, even for serendipitously acquired observations. Several observational requirements need to be fulfilled, such as a dense coverage of the opposition effect. Nevertheless, this promises a classification dimension as insightful as the albedo while being more accessible to the observer.

4.4 Wavelength-dependency

Phase reddening describes the steepening of the spectral slope and a change in the bandwidths of asteroid spectra with increasing phase angle. The effect is non-linear, see Sanchez et al. (2012). As the asteroid spectra are phase-angle dependent, it follows that their phase curves in turn are wavelength-dependent, resulting in varying \( G_1, G_2 \)-parameters. Carvano & Davalos (2015) investigate the phase-angle dependency of taxonomic classifications of asteroids in the visible wavelength-regime. They find that the taxonomic complexes are affected to different degrees; objects presenting the 1\( \mu \text{m}\)-olivine/pyroxene-band show stronger correlations between spectral slope and phase angle than asteroids lacking the absorption feature.

The wavelength-dependency of the \( G_1, G_2 \)-parameters is underlying to the question of whether it is admissible to combine observations acquired in different wavelength-regimes to overcome incomplete phase curve coverage. Though the overlap of the ATLAS cyan and orange filters decreases the apparent wavelength-dependency (refer to Figure 1), the dataset at hand offers a prime opportunity to investigate the dependency using similar asteroid samples, phase curve coverages, and apparent magnitude reduction pipelines.

We regard the \( G_1, G_2 \)-distributions acquired in cyan and orange as two independent samples. The Kolmogorov-Smirnov (KS) \( p \)-value statistic evaluates the probability of the null hypothesis that the underlying distribution of the two compared samples is identical (Peacock, 1983). In general, provided the two
Figure 7: The two-sample 2D Kolmogorov-Smirnov p-values estimating the similarity between the observed $G_1$, $G_2$-pair distributions of the 15 asteroid taxonomic complexes in cyan (upper left) and orange (lower right). Values above 0.2 indicate that the two paired complexes may have the same underlying distribution in $G_1$, $G_2$-space. Values below 0.01 are not shown for readability.

compared samples are sufficiently large, p-values above 0.2 indicate a strong similarity, while values below 0.2 reject the null hypothesis. The results are given in Figure 6 above the $G_1$, $G_2$-distribution orange of each complex as well as in Table 2. Most complexes present p-values equal or close to zero, i.e. they show wavelength-dependency. The A-, E-, and T-complex are above the 0.2-threshold. They are the three smallest samples, however, and their $G_1$, $G_2$-distributions are noticeably different. We therefore conclude that combining observations acquired in different wavebands should be strictly avoided. Additional support for the wavelength dependency of the phase curves can be derived from the Euclidean distance of the $G_1$, $G_2$-pairs in cyan and orange for objects observed in both bands. Computing the distances yields a distribution with mode at 0.127, in good agreement with the displacements of the complex centroids centers between the two wavebands given in Table 2.

Differences in the slopes of the phase curves observed at different effective wavelengths lead to spectral reddening which is proportional to the phase angle of observation. This is of particular importance for the ESA Gaia mission, which is scheduled to release asteroid spectra obtained at large solar elongation in its third data release in 2021 (Delbo et al., 2012). The acquired $G_1$, $G_2$-distributions describing the shapes of the phase curves allows us to quantify the amount of spectral reddening per degree phase angle for each taxonomic complex between the effective wavelengths of the cyan and orange bands. The spectral slope in units of $\%$/100 nm is given by

$$S_S = \frac{f_o - f_e}{\lambda_0 - \lambda_e} \cdot 10^4,$$

(11)

where $f_e$ and $f_o$ are the observed reflectance in cyan and orange, and $\lambda_e = 518$ nm and $\lambda_o = 663$ nm are the effective wavelengths. By relating the reflectances to the apparent magnitudes using the Pogson scale, we can express the spectral slope as

$$S_S = \frac{f_s(10^{-0.4(m_o-m_e)} - 1)}{\lambda_0 - \lambda_e} \cdot 10^4.$$

(12)

Normalizing the reflectance at $\lambda_e$ gives $f_e = 1$, and the remaining variable is the difference $m_o - m_e$, which we can derive using the phase curves $m_s(\alpha)$ and $m_e(\alpha)$,

$$\Delta m = m_o(\alpha, H_o, G_{1,0}, G_{2,0}) - m_e(\alpha, H_e, G_{1,e}, G_{2,e}).$$

(13)

4.5 Identification of interlopers with $G_1$, $G_2$

The $G_1$, $G_2$-parameters offer an additional dimension to taxonomic classification, which is predominantly done in spectral space. The combination of both dimensions allows to identify interlopers and misclassifications.

Using the 2D- KS statistic, we compute the p-values to quantify the resemblance of the asteroid taxa in $G_1$, $G_2$-space. In Figure 7, we display the heatmap of the two-sample 2D KS p-values quantifying the similarity of the distributions. The intersections on the upper left hand side compare the distributions in cyan, while the orange waveband comparison is depicted on the lower right hand side. The average visual albedo increases towards the upper right. Complex-combinations yielding p-values below 0.01 are left blank for readability.

Two trends are visible in the heatmap. First, high-albedo complexes tend to show more resemblance to each other than low-albedo complexes, where only the P- and B-complexes in cyan show strong likeness. Second, the complexes present larger p-values in cyan, where nine pairings cross the 0.2-threshold, prohibiting the rejection of the null hypothesis, as opposed to two pairs in orange. Both pairs, the K-, L- and the A-, E-complexes, cannot be distinguished in either waveband.

The degeneracies in phase curve parameter space appears reversed to the degeneracies in spectral feature space. High-albedo objects depict distinct absorption band properties in band depth, width, and wavelength, which allows for differentiation even in low-resolution data. Low-albedo types are separated based on their spectral slopes, which is in general less certain (Marset et al., 2020). The phase parameters offer a complimentary classification space.

We apply this conclusion to four classes reported by Popescu et al. (2018) in the VISTA-MOVIS based classification. As outlined in subsection 4.2, the near-infrared photometry presents several degenerate classes, of which we show the $G_1$, $G_2$-pairs in Figure 8. To estimate the ratios of the different taxa, we compute the distance in $G_1$, $G_2$-space for each object to the center coordinates of the complexes and assign the object to the complex it is closer to. This is a simple test and proper interloper identification should be performed accounting for the complete complex distributions; nevertheless, it is used as a proof of concept here. As we are working with center coordinates derived from statistical ensembles, we may misclassify single objects. However, the derived probabilities should hold for the entire samples.
The resolution of degeneracies is effective for the classes at opposite ends of the albedo spectrum, which here are the A-D- and D-S combinations. We retrieve the same ratios for both wavebands in these combinations, three-quarters of A-types in the D-S combinations. We intend this as a proof-of-concept of the results in Section 4 rather than a full analysis of the implications.

The identification of asteroid families requires accurate parameter derivation and large number statistics to discern their members from the background of minor bodies (Milani et al., 2014). It is an interplay of their dynamical parameter space, specifically the proper orbital elements, and their physical parameters such as albedos and colors (Ivezić et al., 2002; Parker et al., 2008; Masiero et al., 2013). The phase curve coefficients represent a large corpus of physical quantities when derived from serendipitous observations. Oszkiewicz et al. (2011) compute family-specific phase curves by fitting family members with constant $G_1$, $G_2$-parameters, minimizing a global $\chi^2$ in a grid search and describing the quality of all fits simultaneously to arrive at the best fit for the family collective. The resulting $G_1$, $G_2$-values are concentrated towards medium photometric slopes and opposition effect sizes for all 17 families in the study, among which is the high-albedo (4) Vesta-family. We interpret this as indication that the simultaneous treatment of all family members suppresses the inherent information on family taxonomy and fraction of interlopers.

The distribution of family members in $G_1$, $G_2$-space can yield insights on the nature of their parent body or bodies. Unimodal distributions suggest a homogeneous taxonomy, e.g., from a homogeneous single parent body or from compositionally similar parent bodies of overlapping families. A heterogeneous taxonomy in either case would give rise to multimodal distributions in $G_1$, $G_2$-space, as would the presence of a considerable fraction of interlopers. Finally, the superposition of distinct families in orbital space could be reflected in their phase coefficients in the case of different taxonomic nature.

We retrieve the proper orbital elements (semi-major axis $a_p$, eccentricity $e_p$, and orbital inclination angle $i_p$, refer to Milani 

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Figure 9: Illustrated are the $G_1$, $G_2$-parameters of several asteroid families, plotted in proper orbital elements space as semi-major-axis versus eccentricity (top) and versus inclination angle (bottom). The phase curves were observed by ATLAS in orange. The proper orbital elements and family memberships are provided by AstDyS-2 (Milani et al., 2014). The colour-coding of the $G_1$, $G_2$-space for both figures is given in the inset of the right-hand plot.

Table 3: Asteroid families with the number of members, the geometric centers $C$ of the 95% probability-level contour, the area $A$ of the 1 $\sigma$-contour, as observed by ATLAS in cyan (subscript $c$) and orange (subscript $o$). The areas $A$ are multiplied by 1,000 for notation purposes. Further given are the taxonomic classifications of the families and their references.

| Family | $N_c$ | $N_o$ | $C_c$ | $C_o$ | $A_c$ | $A_o$ | Class | Reference |
|--------|-------|-------|-------|-------|-------|-------|-------|-----------|
| (4) Vesta | 229 | 1647 | (0.07, 0.50) | (0.04, 0.55) | 162 | 106 | V | Zappalà et al. (1990) |
| (5) Astraea | 59 | 524 | (0.11, 0.48) | (0.07, 0.48) | 197 | 156 | S | Huaman et al. (2017) |
| (10) Hygiea | 101 | 473 | (0.75, 0.11) | (0.08, 0.44) | 191 | 184 | C | Carruba (2013) |
| (15) Eunomia | 383 | 1647 | (0.11, 0.43) | (0.06, 0.49) | 183 | 170 | S | Nathues (2010) |
| (24) Themis | 528 | 1218 | (0.80, 0.05) | (0.73, 0.08) | 96 | 151 | C | Mothé-Diniz et al. (2005) |
| (93) Minerva | 114 | 539 | (0.08, 0.49) | (0.07, 0.49) | 159 | 170 | S | Mothé-Diniz et al. (2005) |
| (135) Hertha | 264 | 1777 | (0.36, 0.34) | (0.07, 0.49) | 172 | 131 | S | Dykhuis & Greenberg (2015) |
| (158) Koronis | 502 | 1333 | (0.06, 0.46) | (0.03, 0.52) | 122 | 71 | S | Tholen (1984) |
| (170) Maria | 100 | 472 | (0.19, 0.41) | (0.05, 0.47) | 228 | 169 | S | Zappalà et al. (1997) |
| (221) Eos | 697 | 2732 | (0.13, 0.36) | (0.04, 0.44) | 174 | 134 | K | Masiero et al. (2014) |

Knežević (1992); Knežević & Milani (2000)) for 93,200 asteroids observed by ATLAS as well as their family memberships from the Asteroids - Dynamic Site 2 (AstDyS-2) (Milani et al., 2014). We select all families of which more than 500 members have been observed by ATLAS, either in cyan or in orange, after applying the limit of $N \geq 150$ on the sample from Section 3. 10 families pass the required number of observed members: (4) Vesta, (5) Astraea, (10) Hygiea, (15) Eunomia, (24) Themis, (93) Minerva, (135) Hertha, (158) Koronis, (170) Maria, and (221) Eos. The distributions of the families in $a_p - e_p$ and $a_p - i_p$-space are shown in Figure 9. Each dot represents an asteroid, colour-coded by its $G_1$, $G_2$-values. The illustrated sample is restricted to phase curves observed in orange to show the larger subsample while eliminating the wavelength-dependency. We quantify the $G_1$, $G_2$-distributions for the families as done in Section 4 for the taxonomic complexes. The 2D KDEs are depicted in Figure D.3, split into cyan and orange. We summarize them in Table 3, giving the area of the 1 $\sigma$-contour and the geometric center of the 95% probability-level contour. The former is indicative of the fraction of interlopers or the taxonomic heterogeneity of the parent bodies, while the latter characterizes the $G_1$, $G_2$-values of the core family members. In addition, we state reference taxonomic classifications of the families.

Three families show strong uniformity, both visually in Figure 9 and in their small area sizes in Table 3. (4) Vesta is the archetype of the high-albedo taxonomic class, the V-types (Zappalà et al., 1990), in agreement with the large $G_2$ values of its core member center positions.

(24) Themis is a C-type family with known low-albedo interlopers such as the B-type subfamily (656) Beagle (Mothé-Diniz et al., 2005; Fornasier et al., 2016). While in cyan these complexes appear indistinguishable, the blue B-types separate from

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12https://newton.spacedys.com/astdys2/

13Note that the (8) Flora family is not present in AstDyS-2 as it does not differentiate sufficiently from the background in the hierarchical clustering method used, see Milani et al. (2014).
the red C-types towards the orange wavelength-regime, refer to Table 2. We are not able to resolve this shift using the classified B- and C-types in the (24) Themis family subsample, nevertheless, phase curves from targeted observations might detect this difference (Shevchenko et al., 2016).

(158) Koronis is one of the largest families in terms of number and we confirm here its homogeneous S-type taxonomy (Tholen, 1984).

The C-type family (10) Hygiea shows a considerable fraction of objects with high albedos in cyan, as well as objects with high albedos in orange. Carruba (2013) have identified S- and X-type interlopers in the family. We further attribute this partially to remaining phase curves with insufficient opposition effect coverage, as the distribution shifts towards C-type objects with increasing $N$.

The C-type asteroid (93) Minerva is the namesake of an S-type family (Mothé-Diniz et al., 2005).

We note that the family centers given in Table 3 are not compatible with the results of Oszkiewicz et al. (2011); the geometric centers of the families extend more towards the upper $G_1$- and $G_2$-values as seen by Penttilä et al. (2016). We attribute this to our treatment of each family member separately, allowing for a differentiated look into the $G_1$, $G_2$-distributions, specifically separating the core family members and potential interlopers.

As in Subsection 4.5, we conclude here that the $G_1$, $G_2$-space is well suited for interloper detection, adding a physical parameter space to asteroid families that can confirm dynamical identification and strengthen the definition of families. This, in turn, improves their age estimates (Spoto et al., 2015).

6 Error sources in serendipitous phase curves

Reduced phase curves from targeted campaigns are available for in the order of 100 asteroids. To increase the number of asteroids with available phase coefficients, exploiting serendipitous asteroid observations is necessary. The uncertainty $\sigma$ of the reduced magnitudes in serendipitous phase curves is a propagation of uncertainties arising from their 3D-shape and from the observational parameters themselves,

$$\sigma \propto \sqrt{\sigma_{PHOT}^2 + \sigma_{REC}^2 + \sigma_{SYS}^2 + \sigma_{ROT}^2 + \sigma_{APP}^2}, \quad (14)$$

where $\sigma_{PHOT}$ is the photometric uncertainty of a single observation, $\sigma_{REC}$ refers to the loss in precision when magnitudes are reported in a truncated format, $\sigma_{SYS}$ is introduced by varying photometric systems used either in different observatories or by an observatory over time, and $\sigma_{ROT}$ and $\sigma_{APP}$ are amplitude modulations introduced by the asteroid’s shape, specifically the asteroid’s rotation and the change in aspect angle over different apparitions respectively. These uncertainties, disperse the observed reduced magnitudes, leading to broader posterior distributions (i.e. uncertainties) of the phase curve parameters as seen in Figure 3. Equation 14 is a non-exhaustive list, though the dominating error sources are encompassed.

Following the discussions in Section 3 and Section 4, the coverage of the opposition effect further affects the derived $H$, $G_1$, $G_2$-parameters. As opposed to the factors in Equation 14, its impact can be minimized by a carefully set observation schedule.

In the following, we examine the order of magnitude of each uncertainty listed in Equation 14 and different degrees of coverage of the opposition effect, intending to identify the dominating uncertainty and means to minimize it.

6.1 Photometric Uncertainty and Precision

In the most basic form, any observed magnitude carries an uncertainty e.g. due to random photon noise. These cannot be avoided and are present also in targeted campaigns. In the ATLAS observations, the mean photometric error is 0.14 mag, with a standard deviation of 0.08 mag depending largely on the apparent magnitude of the target. The LSST aims at $\sigma_{PHOT} \sim 0.01$ mag for single exposures of objects with a magnitude in $r$ of 21 (LSST, 2009).

When working with serendipitous observations, however, this precision is frequently truncated to 0.1 mag either when the observations are reported to or retrieved from the MPC. This adds an uncertainty of $\sigma_{REC} = 0.1/ \sqrt{12}$ mag on top of $\sigma_{PHOT}$, where the $\sqrt{12}$ divisor comes from the standard deviation of the uniform distribution. Once the new data pipeline accepting the updated observation report format has been put into place by the MPC, this source of error will be removed (Chesley et al., 2017).

6.2 Photometric systems

There are systematic magnitude offsets which have to be taken into account when combining magnitudes observed by different observatories, or even data from a single observatory which underwent recalibration. A more in-depth look is done by Oszkiewicz et al. (2011). The differences in the photometric systems are not always apparent, e.g. when retrieving observations from the MPC.

As a baseline estimation of $\sigma_{SYS}$, we use the example of the SDSS and Pan-STARRS systems. Both share the g, r, i, and z filters, though there are slight differences in their throughputs. Computing the average differences in apparent magnitude of the
mean spectra for the 24 taxonomic classes in (DeMeo et al., 2009) gives \( \sigma_{\text{SYS}} \) values of 0.09 mag, 0.01 mag, 0.02 mag, and 0.08 mag for the four filters respectively. For bright asteroids, this is in the order of \( \sigma_{\text{PHOT}} \).

In Subsection 4.1, we highlight the importance of densely sampled phase curves. We see here that achieving a large number of observations by combining data from different photometric systems is a trade-off between increased phase curve coverage and introduced dispersion in apparent magnitude.

### 6.3 Asteroid rotation and apparition effect

The light curve of an asteroid is modulated by its 3 D-shape rotating around its spin axis. The rotation imprints a periodic modulation of the apparent magnitude over the rotation period, which is typically in the order of a few hours (Warner et al., 2009). In addition, the varying aspect angles over different apparitions of the asteroid introduce offsets in the observed magnitude, effectively shifting the whole phase curve along the \( \gamma \)-axis, hence biasing the determination of the absolute magnitude \( H \).

Figure 10 shows an example of both effects affecting the phase curve of (250) Bettina, as observed by ATLAS in orange. The epoch of observation is colour-coded. Four distinct apparitions can be seen in the observations, leading to shifts in the reduced magnitudes which give the impression of two superimposed phase curves being displayed. On top of the apparition effect, we see the magnitude dispersion within the apparitions, introduced in part by the asteroid’s spin.

The strength of the magnitude modulation due to rotation and change in aspect angle depend on the shape of the asteroids and the viewing geometry. The Database of Asteroid Models from Inversion Techniques (DAMIT) \(^{15}\) provides shape models for 2,407 asteroids (Durech et al., 2010). We use these shape models to quantify the effect of the rotational modulation (\( \sigma_{\text{ROT}} \)) and of the varying aspect angle (\( \sigma_{\text{APP}} \)).

For each asteroid, we compute its triaxial dimensions \( (a > b > c) \) and assimilate its shape to a smooth ellipsoid in the following. Under this assumption, the modulation of the apparent magnitude due to spin and 3D shape writes

\[
m = -2.5 \log \left( \pi abc \cdot \left( \left( \frac{\cos \beta \cos \lambda}{b} \right)^2 + \left( \frac{\cos \beta \sin \lambda}{a} \right)^2 + \left( \frac{\sin \beta}{c} \right)^2 \right)^{0.5} \right),
\]

with \( \lambda, \beta \) being the longitude and latitude of the subobserver point (Surdej & Surdej, 1978; Ostro & Connelly, 1984).

We generate a full-rotation synthetic light curve every 10 days over an entire orbital revolution around the Sun, effectively probing the range of Sun-target-observer geometries. As serendipitous observations randomly occur over the rotation period, the measured magnitude is offset from the average value. We estimate this offset \( \sigma_{\text{ROT}} \) by computing the root mean square residuals of each light curve to its average.

The influence of the varying aspect angle is computed from the difference \( \sigma_{\text{APP}} \) between the average magnitude of each light curve and a light curve taken while the observer is located within the equatorial plane of the asteroid.

The distributions of the changes in apparent magnitude for both effects are shown in Figure 11. \( \sigma_{\text{ROT}} \) is in general larger than \( \sigma_{\text{APP}} \), with a median value of 0.11 mag compared to 0.07 mag respectively. For \( \sigma_{\text{APP}} \), the situation is analogous to \( \sigma_{\text{SYS}} \); it can be removed by avoiding the combination of observations from different apparitions of the target. Nevertheless, the benefits of adding more samples of the phase curve may outweigh the downsides of increased magnitude dispersion. In Figure 10, the parameter inference failed when applied to all observations, while we could retrieve two phase curves of (250) Bettina after splitting the apparitions in pairs of two.

### 6.4 Effect of magnitude dispersion on \( H, G_1, G_2 \)

To quantify how the magnitude dispersion due to the uncertainties listed in Equation 14 affect the \( H, G_1, G_2 \)-model parameters, we simulate the (20) Massalia phase curve by Gehrels (1956) in Figure 2 as serendipitous observations. Using the order of magnitudes for the uncertainties derived above (\( \sigma_{\text{PHOT}} = 0.1 \) mag, \( \sigma_{\text{PREC}} = 0.1/ \sqrt{2} \) mag, \( \sigma_{\text{SYS}} = 0.05 \) mag, \( \sigma_{\text{ROT}} = 0.11 \) mag, and \( \sigma_{\text{APP}} = 0.07 \) mag), we compute the propagated uncertainty and simulate 100 phase curves of (20) Massalia with \( N = 6 \) at the same phase angles as the original observations, but randomly displaced following a Gaussian distribution with the mean value of the original magnitude observed at the respective phase angle and the standard deviation of the propagated uncertainty \( \sigma \). For these 100 phase curves, we compute the \( H, G_1, G_2 \)-parameters and the differences to the parameters of the original phase curve,

\[
\Delta \Theta = \Theta_i - \Theta, \quad i \in \{1, \ldots, 100\},
\]

where \( \Theta \) refers to the \( H, G_1, G_2 \)-parameters. The mean difference and the median value of the absolute difference of the resulting distributions are given in the first row of Table 4. The former indicates systematic parameter shifts with respect to the original values, while the latter indicates the spread of the differences. We choose the median rather than the standard deviation to be able to compare the results to the non-Gaussian distributions we obtain in the next subsection.

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\(^{14}\)http://alcedef.org/
\(^{15}\)http://astro.troja.mff.cuni.cz/projects/damit
Table 4: The distributions of the differences between the 100 phase curves with simulated noise ($\sigma$) and the $H$, $G_1$, $G_2$-parameters of the targeted (20) Massalia observations by Gehrels (1956). The same is given for the truncated ATLAS phase curves, with $i$ deg describing the dropout degree. $\mu$ refers to the mean values of the distributions, while $\sigma$ gives the median of the absolute differences.

|       | $\mu_{\Delta H}$ | $\sigma_{\Delta H}$ | $\mu_{\Delta G_1}$ | $\sigma_{\Delta G_1}$ | $\mu_{\Delta G_2}$ | $\sigma_{\Delta G_2}$ |
|-------|-------------------|----------------------|---------------------|----------------------|-------------------|----------------------|
| $\sigma$ | 0.04              | 0.11                 | 0.07                | 0.08                 | -0.01             | 0.10                 |
| 1 deg  | 0.00              | 0.07                 | -0.00               | 0.04                 | 0.01              | 0.01                 |
| 2 deg  | -0.00             | 0.12                 | -0.01               | 0.07                 | 0.01              | 0.02                 |
| 3 deg  | -0.01             | 0.15                 | -0.02               | 0.09                 | 0.02              | 0.03                 |
| 4 deg  | -0.00             | 0.18                 | -0.03               | 0.09                 | 0.03              | 0.03                 |
| 5 deg  | 0.02              | 0.21                 | -0.03               | 0.10                 | 0.05              | 0.05                 |

The simulated phase curves on average show larger $H$ and $G_1$, i.e., they are steeper while depicting smaller opposition effect sizes. While the opposition effect in the original observations is sampled sufficiently, the stochastic nature of the simulated observations is reflected in the large median values of the absolute differences of all three parameters. This highlights the need for dense sampling to allow for restricting the parameter space; the offset in $\mu_{\Delta H}$ in the simulated phase curves renders taxonomic classification from the computed colours inconclusive.

6.5 Opposition effect coverage

When relying on serendipitous asteroid observations, the coverage of the opposition effect is pre-determined by the survey footprint. This dependence of the scientific yield in terms of asteroid phase curves, colours, or taxonomies on the solar elongation coverage should be taken into account early on.

To quantify the influence of insufficient phase angle coverage, we select all ATLAS phase curves in cyan and orange with $a_{\text{min}} \leq 1$ deg and $N \geq 50$ of asteroids with shape models present in DAMIT. 917 phase curves of 720 asteroids fulfill these criteria. Next, we reduce the spin- and apparition-induced magnitude dispersion in the phase curves using shape models and the light curve generation software \(^{16}\) provided by DAMIT. This increases the probability that in the following simulations, we observe the influence of the opposition effect coverage rather than the magnitude dispersion on the $H$, $G_1$, $G_2$-parameters. However, the photometric noise cannot be removed by essence and some residuals arise from the non-ideal fit of the photometry by the shape-induced light curve.

The mean minimum phase angle of the reduced subset is 0.6 deg. We remove observations below [1, 2, 3, 4, 5] deg phase angle and compute the $H$, $G_1$, $G_2$-model fit. The relevance of the opposition effect can then be quantified by looking at the difference of the $H$, $G_1$, $G_2$-parameters of the complete and the truncated phase curves. In Table 4, we display the difference in the parameters of the truncated phase curves and the complete phase curves, defined analogously to Equation 16 with $i$ referring to the truncation angle. With diminishing coverage of the opposition effect, the error on $H$ increases up to 0.2 mag in these simulations. Following Equation 2, this translates to an error of 17% on the derived asteroid albedo. Further, we observe systematic deviations of the $G_1$, $G_2$-distributions for C-type and S-type asteroids (red). The solid white line displays the 1 $\sigma$-level of the distribution using the complete ATLAS phase curves, the black solid, dash-dotted, and dotted contours the distributions using the phase curves truncated at 1 deg, 3 deg, and 5 deg respectively.

Figure 12: The effect of insufficient phase curve coverage at low angles on the $G_1$, $G_2$-parameters. Shown are the 2D fitted kernel density estimators of the $G_1$, $G_2$-distribution for C-type and S-type asteroids (red). The solid white line displays the 1 $\sigma$-level of the distribution using the complete ATLAS phase curves, the black solid, dash-dotted, and dotted contours the distributions using the phase curves truncated at 1 deg, 3 deg, and 5 deg respectively.

7 Conclusion

We perform phase curve parameter inference using serendipitous asteroid observations for a large number of minor bodies. The ATLAS observatory provided us with dual-band photometry for more than 180,000 objects, of which we selected about 95,000 based on the sampling statistics of their phase curves. As ATLAS continues to survey the night sky, we will be able to add an increasing number of asteroids to this analysis.

Our results show that the $H$, $G_1$, $G_2$-model parameters contain significant taxonomic information of the target surface, provided the opposition effect is densely sampled. The close correlation between the $G_1$, $G_2$-parameters and the albedo allows to use serendipitously observed phase curves as accessible albedo proxy for hundreds of thousands of asteroids with the upcoming LSST and NEOSM surveys. The taxonomic complexes separate significantly in the phase-coefficient space to study ensembles of asteroids such as asteroid families, while the large tails of the distributions prevent classification of single objects from $G_1$, $G_2$ alone.

We find evidence for a wavelength-dependency of the phase coefficients. Provided the taxonomy is known, the derived slope parameters of the complexes allow for estimating the degree of phase reddening in the slopes of asteroid spectra.

We quantified the sources of uncertainties of serendipitously ac-

\(^{16}\)https://astro.troja.mff.cuni.cz/projects/damit/pages/software_download
quired phase curves and their effect on the $G_1$, $G_2$ parameters. By simulating incomplete phase curves at low phase angles, we highlight the importance of observations close to opposition ($\leq 1$ deg) to determine the fundamental absolute magnitude $H$, used to derived properties such as albedo, colours, and taxonomic class.

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A Online catalogue

We briefly describe the structure of the online catalogue submitted to the CDS of phase curve parameters. The results are provided in a CSV-formatted table consisting of 127,012 rows, with each row providing the parameters of a single asteroid in a single ATLAS observation band. About a third of the asteroids appear in twice, once for cyan and once for orange. The column names and brief descriptions are given in Table A.1. For 43 $H, G_1, G_2$-model fits, no MCMC sample was within the physical range of the $G_1, G_2$-parameters. These fits are considered failed and the parameters are empty in the catalogue provided online.

Table A.1: Structure of the online catalogue providing the phase curve parameters.

| Column   | Description                              | Column   | Description                              |
|----------|------------------------------------------|----------|------------------------------------------|
| number   | Asteroid number                          | rms12    | RMS of $H, G_{12}$-model fit             |
| name     | Asteroid name or designation             | h_up     | Upper 95% HDI value of $H$               |
| band     | ATLAS observation band, either cyan or orange | h_low    | Lower 95% HDI value of $H$               |
| class    | Reference taxonomic classification for    | g1_up    | Upper 95% HDI value of $G_1$             |
| scheme   | Taxonomic scheme of reference            | g1_low   | Lower 95% HDI value of $G_1$             |
| ref_tax  | Code to identify taxonomy reference       | g2_up    | Upper 95% HDI value of $G_2$             |
| ap       | Proper semi-major-axis from AstDyS-2     | g2_low   | Lower 95% HDI value of $G_2$             |
| ep       | Proper eccentricity from AstDyS-2        | h12_up   | Upper 95% HDI value of $H_{12}$          |
| ip       | Proper inclination from AstDyS-2         | h12_low  | Lower 95% HDI value of $H_{12}$          |
| N        | Total number of observations             | g12_up   | Upper 95% HDI value of $G_{12}$          |
| phmin    | Minimum phase angle of observations      | g12_low  | Lower 95% HDI value of $G_{12}$          |
| phmax    | Maximum phase angle of observations      | albedo   | Reference albedo                         |
| h        | Fitted $H$ of $H, G_1, G_2$-model        | err_albedo | Uncertainty of reference albedo          |
| g1       | Fitted $G_1$ of $H, G_1, G_2$-model      | ref_albedo | Code to identify albedo reference       |
| g2       | Fitted $G_2$ of $H, G_1, G_2$-model      | family_number | Family number from AstDyS-2 |
| rms      | RMS of $H, G_1, G_2$-model fit           | family_name | Family name from AstDyS-2          |
| h12      | Fitted $H_{12}$ of $H, G_{12}$-model     | family_status | Family status from AstDyS-2   |
| g12      | Fitted $G_{12}$ of $H, G_{12}$-model     |          |                                          |

B Observation bias towards large phase angles for impactor detection

Figure B.1: The black line shows the kernel density estimation of the distribution of asteroid phase angles at the epoch of observations, for all 20.7 million ATLAS observations analysed in this work. We further show the kernel density estimation of the distribution of the maximum observable phase angles of all asteroids in the sample, derived from their proper semi-major axis $a_p$ as $\alpha_{\text{max}} = 2/\sin^{-1}(1/(2a_p))$. 

\[ \alpha_{\text{obs}} \]
\[ \alpha_{\text{max}} \]
Figure C.2: The $G_{12}^*$-distributions for several taxonomic complexes of asteroids, derived from serendipitous observations by ATLAS in cyan and in orange. We give the sample sizes $N$ and the two-sample Kolmogorov-Smirnov p-value between the cyan and orange $G_{12}^*$-distributions of the complexes. The $H$, $G_{12}^*$-model is not suited for the D-, E-, and P-complexes, hence they are displayed with a dotted linestyle.
D $G_1$, $G_2$ of families

Figure D.3: Depicted are the $G_1$, $G_2$-distributions of the members of 10 asteroid families observed by ATLAS in cyan (blue) and orange (red). The black contours illustrate the 1σ-levels of the fitted 2D kernel density estimators. The number of phase curves in each family and band is given by $N$. 