Safety Evaluations of Topical Vitamin K1 for Epidermal Growth Factor Receptor Inhibitor-Induced Rash

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Abstract

Papulopustular rash occurs in 80% of patients receiving Epidermal Growth Factor Receptor Inhibitor therapy for cancer. Topical vitamin K1, a phosphatase inhibitor, could reduce epidermal growth factor receptor inhibitor-induced rash. This preliminary safety study evaluated a novel topical 0.1% vitamin K1 product for potential use in patients with epidermal growth factor receptor inhibitor-induced rash. Ten healthy subjects applied topical 0.1% vitamin K1 to healthy and tape stripped skin every 12 hours for five doses. Vitamin K1 levels were measured by ELISA in serum and plasma at 0, 26, and 50 hours. Vitamin K1 levels ranged between 0 to 8.22 ng/ml. Bivariate correlative analyses showed no association between mean vitamin K1 concentration and time (r=0.008, p=0.930). Using a range of vitamin K1 concentrations (2.5 to 100 ng/ml) that encompassed and exceeded the levels detected in our healthy subjects, we evaluated the ability of vitamin K1 to reverse cetuximab-induced Epidermal Growth Factor Receptor inhibition in A549 lung cancer cells. Vitamin K1 did not significantly change phosphorylated-Epidermal Growth Factor Receptor levels in cetuximab-treated cells (p>0.670). In conclusion, systemic vitamin K1 levels up to 100 ng/ml would not interfere with epidermal growth factor receptor inhibitor therapy. Our next step is to test the effectiveness of our 0.1% topical VK1 formulation for Epidermal Growth Factor Receptor Inhibitor-induced rash in patients receiving epidermal growth factor receptor inhibitor therapy in a randomized, placebo-controlled, blinded study.

Keywords: Epidermal Growth Factor Receptor Inhibitor; Rash; Vitamin K1; Topical

Introduction

Epidermal Growth Factor Receptor Inhibitor (EGFRI) therapy is a common and successful cancer treatment, often combined with chemotherapy [1]. Patients receiving these treatments commonly experience skin rash, pruritus, tenderness and inflammation of hair follicles (folliculitis), along with diarrhea and other symptoms [2]. A characteristic papulopustular rash occurs in 75-90% of patients receiving EGFRI therapy (eg, cetuximab and erlotinib) [3], often dose-dependent, and usually presents during the first 2 weeks of therapy [3-5]. The discomfort and physical appearance of the rash can affect patients’ quality of life and adherence to treatment [3-6]. Interests in this papulopustular rash have increased over time due to its possible relationship with treatment response and patient survival. These data were first reported by Cunningham D, et al. [7] followed by a meta-analysis conducted by Perez-Soler R, et al. [8,9], who concluded that rash can be a surrogate marker of effective inhibition and activity of EGFRI-targeted agents. Several publications have further supported these meta-analysis results [3,10-14]. Therefore, the need to manage EGFRI-induced skin rash in a more optimal manner is warranted.

The current management approach of the EGFRI-induced rash is primarily symptomatic control [3,10-12,15-17]. The need for treatments to relieve the physical and emotional discomfort, particularly in patients with advanced-stage cancer and poor prognosis, is substantial [16]. Investigators have assessed a number of therapeutic interventions for managing the EGFRI-induced rash, including oral and topical antibiotics, topical or systemic corticosteroids, topical retinoids, antihistamines, and pregabaline [3,9,14,17-19]. However, minimal success of these therapeutics suggests a different underlying mechanism for EGFRI rash compared to acne or allergic reactions. Scientific evidence supports a central role of EGFRI signalling in keratinocytes to maintain skin homeostasis and other potential underlying mechanisms of EGFRI-induced rash [20].

Vitamin K, a fat-soluble vitamin consisting of phytomenadione (K1), menaquinones (K2), and menadione (K3), is involved in the clotting cascade and shown to activate EGFR by inhibition of EGFR-related phosphatase. Vitamin K1 (VK1) is a naturally occurring analog of the synthetic vitamin K3. A dose-finding studies by Hana...
Biosciences found 0.1% menadione topical lotion to be most tolerable compared to 0.2% and 0.05% [21]. Clinical trials conducted with 0.1% VK1 topical treatment for EGFR-induced rash had positive outcomes for both prophylactic and treatment indications. In the prophylactic setting, 0.1% VK1 cream reduced the intensity and frequency of cetuximab-induced cutaneous toxicity in cancer patients in two of three research studies [16,22-24]. In the treatment setting, improvements of cutaneous toxicity were reported by both research groups in Slovenia and Italy [15,17]. Since the topical VK1 cream used in the previously published studies is not available in the United States, our team formulated a topical 0.1% VK1 product that demonstrated minimal permeability using an ex vivo porcine skin model [25]. In the current investigation, we conducted an in vivo pharmacokinetic study in healthy human subjects using this topical formulation to evaluate systemic penetration of VK1 and tolerability of the product. To further substantiate the safety of the product, we explored the potential for these systemic VK1 levels to reverse cetuximab-induced EGFR inhibition in lung cancer cells.

Materials and Methods

Study population and design

The two-phase, pharmacokinetic pilot study was approved by Chapman University institutional review board and University of Rochester research subjects review board. All subjects provided informed consent. We evaluated the systemic absorption of VK1 from topical application of a 0.1% VK1 product in 10 healthy subjects. Since skin inflammation can alter the absorption rate of substances applied to the skin, we evaluated the extent of systemic absorption of the topical VK1 product in healthy skin and inflamed skin. In Phase 1, subjects applied the product to skin on the inner side of the forearm every 12 hours for five applications (Figure 1). The fingertip unit technique was used to standardize the application of the topical product. Blood samples (i.e., serum and plasma) were collected at three time points: 1) baseline (before the initiation of VK1 application); 2) two hours after third application (i.e., 26 hours); and 3) two hours after fifth application (i.e., 50 hours). Two weeks later, in Phase 2, skin inflammation was induced in the opposite forearm using the tape stripping method [26,27]. Briefly, tape stripping was performed ten times on an 8 × 4 cm² area (i.e., ~2% BSA) using scotch tape (Scotch Magic Tape 810, 3M Company, St Paul, MN) [27-29]. Subjects applied the 0.1% VK1 product to the tape stripped skin on the inner forearm every 12 hours for five applications in the same manner as Phase 1. Blood samples were collected as described in Phase 1. At each blood collection, serum was collected in 5ml SST tubes and plasma in 5ml K2-EDTA tubes. For serum, blood clotted for 30 minutes to two hours at room temperature or overnight at 4°C. Serum and plasma were separated by centrifugation, aliquoted, and frozen at -80°C.

Topical 0.1% VK1 product

Topical 0.1% VK1 was prepared as previously described [25]. Briefly, the topical product was a water in oil lotion (Formulation L.W/O23), consisting of surfactant system sorbitan monoooleate/ polyoxyethylene 23 lauryl ether and 74% lipid content (sesame oil, white petrolatum, cetaryl alcohol, and isopropyl myristate). Synthetic VK1 (phytonadione; Skin Actives Scientific, Gilbert, AZ) was compounded to the strength of 0.1% in the above lotion. Among the library of formulations studied, the selected formulation had a viscosity of 357 ± 17 and the lowest in vitro transdermal absorption rate at 12 hours (1.75%) and 24 hours (10.97%) [25]. Our topical formulation indicated reasonable stability at ambient temperatures showing 98.1% VK1 in the topical product after four months of storage away from light.

VK1 ELISA assay

VK1 concentrations were measured in plasma and serum samples using Human VK1 ELISA (MBS267859, MyBioSource.com, San Diego, CA) following the manufacturer's protocol. The detection range of this ELISA kit was 0.156ng/ml to 10ng/ml with sensitivity (minimum detectable concentration) of 0.05ng/ml. The intra- and inter-assay precisions are ± 8% and ± 12%, respectively. Samples were run without dilution in duplicate for two separate runs (i.e., each sample measured four times). Absorbance was read at 450nm. All standard curve coefficients of determinations (r²) were ≥ 0.99 for valid and reliable VK1 concentration calculations for serum and plasma samples. Negative values, representing undetectable VK1 levels by the ELISA kit, were designated “0” for analyses.

In vitro experiments with A549 lung cancer cells

In vitro experiments were performed to determine the effect of several doses of VK1 on EGFR activation following cetuximab treatment in A549 lung cancer cells (Figure 2).

Step 1: A549 cells (courtesy of Dr. Jacob Finkelstein at University of Rochester Medical Center) were cultured in RPMI media containing 10% heat-inactivated fetal bovine serum (FBS) and penicillin/streptomycin/glutamine at 37°C (5% CO2). Cells were plated at 2 × 105 cells/well in 12-well plates and grown overnight.

Step 2: Cells were starved in 1% media overnight at 37°C followed by various treatment regimens using cetuximab, VK1, and EGF in 300μl of 1% media.

Step 2.1: Cetuximab treatment: Cells were treated with 55 or 160μg/ml of cetuximab (2mg/ml, provided by the Investigational Drug Pharmacy at University of Rochester Medical Center) in 1% media to simulate standard treatment plasma concentrations because the peak plasma levels from standard cetuximab cancer treatment of 100mg/m² and 250mg/m² were 54.7μg/ml and 158.1μg/ml, respectively [30].

Step 2.2: VK1 treatment: VK1 dosing of 2.5,5,10,25,50,75, or 100ng/ml included the highest concentration detected in the blood after topical 0.1% VK1 application (i.e., 8ng/ml). Chapman University supplied the same Human VK1 (2mg/ml oil/ethanol solution) used in the topical product which was diluted in Tween 80 for treatment concentrations.

Step 2.3: EGF treatment: The EGF dose (200ng/ml) provided the best detection of phosphorylated-EGF (p-EGFR) in 1% media.
Human EGF (1mg/ml, Gibco #PHG0211L) was diluted in 1% FBS/ PBS for treatment concentrations. As shown in figure 2, cells were: 1) treated with cetuximab for 1.5 hours at 37°C; 2) treatment VK1 for 1 hour at 37°C, and 3) treated with EGF for 15 minutes at 37°C.

**Step 2.4: Vehicle Controls:** In order to control for vehicles used for VK1 and EGF treatments, similar concentrations of Tween 80 (0.3μl or 0.1%) and 1% FBS/PBS solution (0.6μl or 0.2%) were added to each well. Control conditions included untreated, cetuximab alone, VK1 alone, and EGF alone.

**Step 3:** After incubations, cell lysates were collected using the ELISA Kit Lysis Buffer containing protease and phosphatase inhibitors. P-EGFR and total EGFR were measured using the semi-quantitative human phospho-EGFR (y1068) and Total EGFR ELISA kit (RayBiotech, Norcross, GA), following the manufacturer's protocol. Lysates (100μl) were run in duplicate on two separate runs (i.e., each sample was measured four times). Absorbance was read at 450nm. Absorbance readings were adjusted for background (i.e., blank wells) prior to analyses. The absorbance level represented the level of p-EGFR (i.e., higher absorbance=more p-EGFR). Total EGFR was used as a control to ensure equal amounts of EGFR in each well.

**Statistical Analysis**

All statistical analyses were performed using JMP14 Pro at 0.05 level of significance. Univariate Analysis of Variance (ANOVA) was used to examine the differences in and effects on mean concentration of VK1 over time (0 hour, 26 hours, or 50 hours) for each blood sample type (serum vs plasma) and for each skin model (healthy skin vs inflamed skin). Bivariate correlative analyses were used to evaluate the relationship between VK1 concentrations over time. ANOVA was used to examine difference in mean p-EGFR concentrations in A549 cells across various treatment conditions.

**Results**

A total of 11 health subjects were enrolled with 10 evaluable. One subject was excluded due to mild (Grade 1) skin irritation upon application of the VK1. The skin irritation was self-limiting and resolved within 24 hours. The majority of evaluable subjects were Caucasian females (9/10; 90%) between 22-65 years of age.

**Systemic blood concentration of VK1 following topical application**

The extent of systemic plasma and serum concentrations of VK1 from the topical 0.1% VK1 application in healthy skin and tape stripped skin of the 9 out of 10 evaluable subjects (Subject 101-110) are shown in figure 3. Subject 110’s serum samples were unusable due to hemolysis. VK1 was detectable in all plasma and serum samples, except four serum samples where VK1 levels were not measurable (Figure 3). The concentration of VK1 ranged from 0.067 to 8.22ng/ml in plasma and 0 to 4.79ng/ml in serum. Application of the topical formulation did not significantly affect the VK1 concentrations in plasma or serum for either healthy or tape stripped skin. This was evident by similar VK1 concentrations at baseline (0 hr) and at two hours following the third (26 hr) and fifth (50 hr) doses of the formulation (Figure 3). Although not statistically significant, mean VK1 levels in serum were higher in tape stripped skin compared to healthy skin (1.14 [0.59,
1.68] vs 1.07 [0.53, 1.61], p=0.859. However, overall mean plasma VK1 concentrations were significantly greater than mean serum concentrations (1.87 [1.43,2.32] ng/ml vs 1.10 [0.66,1.55] ng/ml, p=0.017). No change in mean VK1 plasma levels was observed over time in healthy or taped stripped skin (p=0.890 and p=0.985; Figure 3a). Similar findings were observed in VK1 serum levels over time in healthy skin or taped stripped skin (p=0.972 and p=0.826; Figure 3b). Further, correlative analyses showed no correlation between mean VK1 concentration and time (Pearson r=0.008, p=0.930). Overall, there was minimal systemic VK1 penetration following five topical applications of 0.1% VK1 product to healthy or tape stripped skin.

**Effect of VK1 on cetuximab-treated A549 cells**

Using a range of VK1 concentrations that encompassed the levels detected in our healthy subjects, we evaluated the ability of VK1 to reverse cetuximab-induced EGFR inhibition in A549 lung cancer cells to ensure the safety of the 0.1% VK1 topical product in patients receiving EGFRi therapy. As expected, addition of EGF to A549 cells significantly increased p-EGFR by four-fold and EGF treatment of cetuximab-treated A549 cells resulted in significantly lower p-EGFR levels (Figure 4). EGF alone showed significantly higher levels of p-EGFR than cetuximab-treated cells with or without VK1 (Figure 4). VK1 treatment of cetuximab-treated cells did not significantly increase p-EGFR. These data suggest that VK1 concentrations up to 100ng/ml do not reverse cetuximab-induced inhibition of EGFR. These results suggest that this topical 0.1% VK1 formulation can be used for preliminary evaluations in patients with EGFRi-induced rash.

**Discussion**

The EGFRi-induced papulopustular skin reaction negatively impacts patient’s quality of life and often results in dose reductions or discontinuation of treatment. A systematic review by Brown J, et al. identified 20 different EGFRi-induced rash management regimens [3]. Overall, Grade 1 rashes are treated with topical antibiotics or corticosteroids, Grade 2 rashes are treated with oral antibiotics and antihistamines, and Grade 3 or higher rashes are mitigated with oral corticosteroids, dose reductions, and treatment delays [3]. Although, there is questionable clinical evidence supporting the use of corticosteroids, they are often used as standard care for rash management. A recently published study reported the potential for a doxycycline foam to prevent the onset of the EGFRi rash; however, a larger confirmatory study is required [31]. Better interventions for EGFRi-induced rash management are necessary in order to improve patient's quality of life and treatment effectiveness.

Topical VK1, a phosphatase inhibitor, has been identified as a potential therapeutic option for EGFRi-induced rash due to its ability to abrogate EGFR inhibition by reversing activated EGFR dephosphorylation and favouring re-activation of EGFR signalling in the skin [19]. However, the need to avoid this activity systemically is critical because of the potential to reverse the anti-cancer activity of the EGFRis. In 2013, Tomkova H, et al. showed that pre-treatment with VK1 cream before EGFRi infusion did not prevent the onset of the rash [24]. In 2018, Hofheinz RD, et al. showed that topical VK1 combined with doxycycline reduced rash severity by WoMo criteria, but not percentage of affected skin surface, in the EVITA trial [23]. In a post-hoc analysis of the EVITA trial, Gaiser MR, et al. showed that the topical VK1 cream reduced the incidence and severity of cetuximab-induced rash in women [22]. While the clinical efficacy data are encouraging, none of these studies conducted safety measures to ensure the absence of systemic penetration. Because the topical VK1 (Reconval K1) used in these studies is not available in the United States, our group formulated multiple topical VK1 products with varying absorption rates in porcine skin [25]. The current study selected the formulation with the most optimal skin penetration based on in vitro Franz diffusion cell model evaluations. Our results showed that the systemic levels from topical application of the selected 0.1% VK1 product did not interfere with cetuximab inhibition of EGFR in A549 lung cancer cells. We tested VK1 concentrations ten times higher than the highest detectable VK1 plasma level (i.e., 8.22ng/ml) to ensure that systemic VK1 would not interfere with cetuximab inhibition of EGFR.

Tape stripping is a common technique used in dermatologic research to evaluate skin barrier function and penetration of topically applied drugs [28,29]. Tape stripping is known to disrupt the skin barrier and increase inflammation. This model was used to simulate inflamed areas of EGFRi-induced rash in patients. To best simulate the skin barrier disruption from EGFRi therapy, we used ten tape strips prior to each topical application (i.e., every 12 hours). The repeated tape stripping every 12 hours prevented full recovery of the skin barrier, which usually takes 24 hours [27,32], creating a chronically impaired skin barrier. Further, Chandra F, et al. showed that EGFRi therapy causes increased transepidermal water loss (TEWL) of 11.5g/m²h [33]. Although we did not measure TEWL in this study, ten tape strips have been shown to result in similar TEWL levels (e.g., 9.1g/m²h) [34]. Additionally, forearm skin is thinner than face skin (1.26-1.3mm vs 1.5-1.7mm), suggesting our methods produced barrier disruption similar to EGFRi therapy. We did not observe greater VK1 penetration in tape stripped skin demonstrating minimal systemic penetration from this VK1 formulation even with removal of stratum corneum. A limitation of this preliminary study is the 2%body surface area (BSA) to which the topical VK1 was applied compared to the pastulopustular rash which can cover over 30% BSA [3]. Assuming the drug follows linear pharmacokinetics, one can expect the plasma concentration to increase by a factor of 30/2 or 15 fold if 30% BSA were treated. Therefore, if the mean concentration in this study measured at 1.87ng/mL with 2% BSA application, the predicted concentration would be 28ng/mL (1.87 × 15) for a 30% BSA treatment with similar to EGFRI therapy. We did not observe greater VK1 penetration in tape stripped skin demonstrating minimal systemic penetration from this VK1 formulation even with removal of stratum corneum. A limitation of this preliminary study is the 2%body surface area (BSA) to which the topical VK1 was applied compared to the pastulopustular rash which can cover over 30% BSA [3]. Assuming the drug follows linear pharmacokinetics, one can expect the plasma concentration to increase by a factor of 30/2 or 15 fold if 30% BSA were treated. Therefore, if the mean concentration in this study measured at 1.87ng/mL with 2% BSA application, the predicted concentration would be 28ng/mL (1.87 × 15) for a 30% BSA application with an upper 95%
confidence limit of 35ng/ml (2.32 × 15). As discussed above, our in vitro A549 lung cancer cell study showed that VK1 at 100ng/ml did not reverse cetuximab inhibition of EGFR (Figure 4). Even if the maximum concentration of 8.22ng/ml was used to predict plasma concentration for a 30% BSA application, the predicted concentration would be 123ng/ml. However, all subjects, except one subject, had VK1 plasma levels lower than 6.0ng/ml, which would estimate a 90ng/ml VK1 plasma concentration for a 30% BSA application. These predicted concentrations are most likely an overestimation of the actual concentrations because major portions of the measured VK1 concentrations after the topical application belonged to the endogenous VK1 present in the pre-treatment samples (Figure 3). Indeed, no significant differences were observed between the plasma or serum VK1 concentrations in samples before and after treatment with our formulation (Figure 3). Nevertheless, future pharmacokinetic studies in a patient cohort with EGFR-inhibited rash would provide critical information regarding the systemic VK1 absorption following application of our formulation with increased BSA percentages. Based on our results, our 0.1% topical VK1 product appears safe for further pharmacokinetic studies in patients with low grade EGFR-inhibited rash.

The majority of healthy subjects in our pharmacokinetic safety study showed normal range of VK1 levels in plasma and serum (0.1-4.2ng/ml) following topical application to healthy or inflamed skin [35]. Two subjects (Subjects 105 and 106) showed elevated VK1 levels at baseline (i.e.>4.2ng/ml), which could be a reflection of their diet or unknown medical abnormality. Subjects were not required to abstain from VK1-containing food (i.e., dark green leafy vegetables) or maintain a food diary. Based on our in vitro assays, these increased levels of VK1 would not interfere with EGFR (i.e., cetuximab) therapy.

Another limitation in the study was the lack of effect observed with VK1 in A549 cells. We expected VK1 to increase p-EGFR in cetuximab-treated A549 cells; however, we were unable to identify a VK1 concentration that reversed cetuximab inhibition of EGFR. Most likely, a much higher VK1 concentration (i.e., >100ng/ml) is necessary to increase p-EGFR. In 2011, Perez-Soler R, et al. published that vitamin K3 (i.e., menadione) increased baseline EGFR phosphorylation through phosphatase inhibition; however this paper was later retracted [19]. Therefore, it remains unclear if VK1 would phosphorylate EGFR or if the VK1 dose is required for this effect. Other publications report anti-inflammatory effects of VK1 in skin without description of a direct mechanism [20,36,37]. Furthermore, intake of 250mg of VK1 did not interfere with warfarin, suggesting systemic drug interference requires high VK1 levels [38]. Additional research is required for better understanding of the mechanistic effects of VK1 in skin.

Overall, our results suggest that our 0.1% topical VK1 cream has minimal systemic penetration and is expected to be safe for use in patients receiving EGFR therapy. The amount of VK1 systemically absorbed through topical application did not interfere with cetuximab-inhibition in A549 cells. Systemic VK1 levels up to 100ng/ml would not interfere with EGFR therapy. Our next step will be to test the effectiveness of our 0.1% topical VK1 formulation for EGFR-inhibited rash in patients receiving EGFR in a randomized, placebo-controlled, blinded study.

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