The effect of Schroth’s three-dimensional exercises in combination with respiratory muscle exercise on Cobb’s angle and pulmonary function in patients with idiopathic scoliosis

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Objective: The objective of this study was to investigate the effects of Schroth’s three-dimensional exercises in combination with respiratory muscle exercise (SERME) on Cobb’s angle and functional movement screen (FMS).

Design: Randomized controlled trial.

Methods: Fifteen subjects with scoliosis were randomly assigned to two groups. Eight subjects were assigned to the experimental group and seven subjects were assigned to the control group. The experimental group underwent SERME using SpiroTiger (Idiag, Switzerland), while the control group performed only the Schroth’s three-dimensional exercises (SE). Both groups performed exercises for one hour per day, three times a week for eight weeks. Cobb’s angle, pulmonary function (forced vital capacity, forced expiratory volume at one second, and peak expiratory flow) and FMS were measured before and after the experiment.

Results: After intervention, the SERME group showed a significant difference in Cobb’s angle, FMS scores, and pulmonary function as compared to before intervention ($p<0.05$). In the SE group, there was a statistically significant difference in Cobb’s angle, pulmonary function, and FMS scores compared to before intervention ($p<0.05$). The SERME group showed a significant difference in Cobb’s angle and peak expiratory flow in pulmonary function compared to the SE group ($p<0.05$).

Conclusions: The results suggest that SERME could be a more effective intervention for improvement of the Cobb’s angle and pulmonary function for scoliosis patients.

Key Words: Breathing exercises, Pulmonary ventilation, Scoliosis

Introduction

Without proper treatment, scoliosis could lead to functional deterioration led by asymmetry of the body, muscle imbalance, back pain and severe respiratory disturbance, resulting in the deformation of body image along with loss of self-esteem. In particular, since the rib is connected to the vertebral body, the rotation of the vertebral body could cause the convex surface of the curvature to form the hump on the posterior trunk while the curved concave surface forms the hump on the anterior trunk. Scoliosis causes an interference with respiration and asymmetric breathing occurs on the convex and concave surfaces. The deformation of the trunk limits the mobility of the thorax, which in turn, reduces the volume of breathing!

As for general treatments of scoliosis, manual therapy and therapies using slings and balls [1,2] are available. The Schroth’s three-dimensional exercises (SE), developed by Katharina Schorth in Germany, is considered to be more effective that these manual treatments because they are three-dimensional (3D) [3-5]. SE requires a patient with scoliosis to recognize 3D changes shown in the patient, and
restores the spinal structure and function by correcting the trunk. The most significant factor of this exercise, differentiating it from other therapies, is that it consists of rotational angular breathing (RAB), which uses the rotation of the deformed rib with breathing [5].

In the previous studies on SE, it was reported that after seven days of the new power Schroth’s exercise, there was a significant decrease in the Cobb’s angle from 28.7° to 13.7° [6]. Also, after 6 months of SE for a girl with scoliosis, the Cobb’s angle was decreased from 14° to 10° [7]. For patients with scoliosis, breathing expanding the thorax and diaphragmatic breathing corrects the pattern of breathing and increases the mobility of the thorax and functions of the lungs [8].

Functional movement screen (FMS) determines the extent of joint motions, flexibility, strength, balance, coordination, and proprioceptive sensation and it is constructed with seven standard functional movements. The primary purpose of the FMS is to test an individual’s ability to perform essential body movements.

The previous studies on scoliosis have focused on static postures and there are only limited numbers of studies on functional postures, such as the SE. Therefore, this study aims to investigate the effects of the Schroth’s three-dimensional exercises in combination with respiratory muscle exercise (SERME) on Cobb’s angle, pulmonary function, and functional movements in persons with scoliosis.

**Methods**

**Participants**

As for subjects of this study, 15 patients diagnosed with scoliosis with a Cobb’s angle of 10° [9,10] or above indicated by orthopedic surgeons of hospital N, located in Daegu were selected as candidates. The selection criteria of the participants were as follows: patients with a Cobb’s angle of 10° or above, no treatment experience for the last three months, and no orthopedic problems other than scoliosis. The exclusion criteria were as follows: patients with asthma or other pulmonary diseases, central nervous system disease, or history of spinal surgery. All subjects were suitably notified of the testing process and signed the research agreement. Approval from the Konyang University Institutional Review Board (IRB No. 2016-034) was obtained.

**Experimental procedures**

In this study, a preliminary interview was conducted with the questionnaire to evaluate the general characteristics. Eight participants were randomly assigned to a group administering SERME and seven participants were assigned to the other group administering only SE. As for the treatment group applied SERME. The Cobb’s angle, pulmonary function and FMS were measured before and after 8 weeks of the exercise program for one hour per day, three times a week.

A preparatory exercise was conducted for 5 minutes followed by respiratory muscle exercise (RME) that was performed using the Spiro tiger for 10 minutes. Afterwards, SE was conducted for 40 minutes. The control group underwent a preparatory exercise for five minutes, followed by exercising on a fixed bicycle for 10 minutes, performed only the SE for 40 minutes, and then concluded with a five-minute finishing exercise. The group prescribed with SERME performed the preparation exercise for five minutes before the exercise and then, the RME in the sitting position for 10 minutes, followed by SE for 40 minutes and the finishing exercise for five minutes. In the SE group, the five-minute preparation exercise, 10-minute fixed bicycle exercise, 40-minute SE, and five minutes finishing exercise were performed (Tables 1, 2).

The exercise was performed using SE designed in accordance with the curvature of the individuals, and was performed with the 3D RAB of SE while performing each exercise. The self-correction exercise was given so the patient could reconstruct the 3D spinal movement independently [11]. The RME was performed in a sitting posture for two to three times before starting the exercise to allow the patient to understand the procedure and then, it was performed in two sets, with five minutes for each set. Respiration was performed by expanding the concave part of the scoliosis curve at the time of inspiration and shrunken at the convex part of the curve at the time of exhalation.

The SE was categorized into two types: thoracic type and lumbar type. In the case of the thoracic type, subjects were requested to exercise while performing RAB in which the concave surface of the thoracic vertebra was depressed for inhalation and the convex surface was pushed outwards in three-dimensions (ventral, cranial, and medial). On the other hand, in the case of the lumbar type, subjects were required to perform RAB in which the concave surface of the lumbar vertebra was used for inhaling and the convex surface was pushed outwards in three-dimensions (ventral, cranial, and
Table 1. Method of Schroth’s three-dimensional exercises

| Composition | Method |
|-------------|--------|
| Bewusstes Gehen | For gait control training, walking at a slow pace with the physiologic gait pattern for hip, knee, ankle, and foot, prolonged single stance phase while swinging the unloaded limb for and back during normal breathing. 4 set×10 m |
| Stretching the Lumbar part | Sidelying on lumbar convex site, passive support a towel or pillow under the apex of the curve. While holding your leg downwards Stretch. Keep waist from falling off the floor. |
| Stretching the Thoracic part | Sidelying on thoracic convex site, passive support a towel or pillow under the apex of the curve. While holding your arms upwards Stretch. Keep waist from falling off the floor. |
| Schultergegenzug in Sitting & Sprossenheber in Sitting | In sitting the pelvis should be higher than the knees. The arm of the concave site of thoracic curve is extended 135° forward and abduction 35° horizontally while the opposite arm is held at 90° and 90° outward and holds the rod. The body should be fixed so that it does not move. Convex site of thoracic shoulder posterior and caudally, external rotation in order to derote the rip hump by pressing the inferior tip of scapula forward (ventral, cranial, and medial direction) and this time keep your waist in the same direction. Raising your feet 3 cm from the floor to the pushing arm side. Be careful not to bend the body at this time. |
| Schultergegenzug in Side-lying | In the sidelying position on the lumbar curve site with rice bags and chur above the head and then arm of lumbar curve site outstretched in front of body. Upper leg extended and foot resting on a stool. And then push with the flexed elbow slightly laterally in order to adjust the scapular in an abduction position and to achieve a deflexion for the cervical thoracic curvature. Convex site of thoracic shoulder posterior and caudally, external rotation in order to derote the rip hump by pressing the inferior tip of scapula forward. |
| Schultergegenzug in prone | In the prone position, push the ribs in the direction of the main exercise (ventral, cranial, and medial direction) without bending the torso. The concave site of the chest pushes backward and the waist pushes forward (ventral, cranial, and medial direction). |
| Muscle cylinder | Leaning toward the lumbar convex site. Stand on the leg (knee) of the lumbar convex site. Extend the leg of the thoracic convex site and place either on chair or on the floor. The arm of lumbar concave site is open shoulder 90° abduction and ext. rotation. At this time, Lumbar convex site is pushed to the opposite direction by static movement. Fix the body so that it does not move. 4 set×6 rep |
| Moving the ribs | Supine position and flexed knees in order to fix the pelvis in a posterior tilted position. Repeated forward movements with the thoraco-lumbar junction while normal breathing. Sometime therapist give support under the spinal processes and feel the emerging movement. |
Table 2. Schroth’s three-dimensional exercises program

| Exercise sequence                  | Schroth’s three-dimensional exercises program | Frequency       |
|------------------------------------|-----------------------------------------------|-----------------|
| Warm-up (15 min)                   | Bewusstes gehen                               | 4 set×10 m      |
|                                    | Respiratory muscle exercise (Spirotiger or Cycle) | 10 min          |
| Stretching & exercise (40 min)     | Stretching the lumbar part                    | 10 sec×15 time  |
|                                    | Stretching the thoracic part                  | 10 sec×15 time  |
|                                    | Schultergegenzug in sitting                   | 4 set×6 rep     |
|                                    | Sprossenheber in sitting                     | 4 set×6 rep     |
|                                    | Schultergegenzug in side-lying               | 4 set×6 rep     |
|                                    | Schultergegenzug in prone                    | 4 set×6 rep     |
| Cool down (5 min)                  | Moving the ribs                               | 4 set×12 rep    |

Outcome measurement

Cobb’s angle
Cobb’s angle was measured with a radiograph. Radiographs were taken on the full spine at AP view by a radiological technologist with the knees together, the waist and chest extended, the body weight evenly spread onto both feet, and both arms raised while holding one’s breath after exhaling a bit. The thoracic or lumbar angles were measured using the Cobb’s angle measurement tool stored in the computer. Measurements were made on the Cobb’s angle, the angle formed between a line drawn parallel to the superior endplate of one vertebra and a line drawn parallel to the inferior endplate of the vertebra [12].

Pulmonary function measurement
The measurements of pulmonary functions were taken in a sitting position using CardioTouch 3000S (Bionet, Seoul, Korea). Before commencing the measurement, the patient was requested perform normal breathing for three times, and then to inhale the air as much as possible, and then to exhale as fast as possible to measure the maximal-effort expiratory spirogram. With the maximal-effort expiratory spirogram, the forced vital capacity (FVC), forced expiratory volume at one second (FEV1), and the ratio between FEV1 and FVC was obtained. In addition, airway resistance was measured using the peak expiratory flow (PEF).

Functional movement screen
FMS was used to evaluate the quality of functional movements. It is used to evaluate seven movements with the lowest score of one and highest score of three points given if the individual experiences pain. The total score possible is 21 points. The subscale of the FMS consists of a deep squat movement pattern, hurdle step movement pattern, inline lunge movement pattern, shoulder mobility reaching movement pattern, active straight leg raise movement pattern, active standing movement pattern, and active sitting movement pattern.
trunk stability pushup movement pattern, and rotary stability movement pattern. FMS had a good intra-rater reliability (ICC=0.6) [13].

Statistical analysis

All statistical analyses were performed using IBM SPSS Statistics ver. 18.0 (IBM Co., Armonk, NY, USA). As for general characteristics of the subjects, descriptive statistics were used. For all dependent variables, normal distribution of data was confirmed by the Shapiro-Wilks test. The comparison of the participants before and after the experiment in terms of the Cobb’s angle, pulmonary function and FMS changes was conducted with the paired t-test, and the independent t-test was conducted for comparative analysis of the test group and the control group for the Cobb’s angle and FMS scores. The statistical significance was assumed to be \( \alpha = 0.05 \).

Results

The general characteristics of subjects of this study were as follows. There were no significant differences in the age, height, weight, and Cobb’s angle between the SERME and SE groups (Table 3). In the SERME group, there was a significant difference in Cobb’s angle, FMS scores and pulmonary functions after intervention (\( p<0.05 \)). In the SE group, there was a significant difference in Cobb’s angle, pulmonary functions and FMS score after intervention (\( p<0.05 \)). The SERME group showed a significant difference in Cobb’s angle and peak expiratory flow in pulmonary functions compared to SE group (\( p<0.05 \); Table 4).

Discussion

In this study, 15 patients with idiopathic scoliosis with Cobb’s angle of 10° or above have participated. They were examined for any changes shown on the Cobb’s angle, pulmonary function (FVC, FEV1, FEV1/FVC, and PEF) and FMS scores.

In the SERME group, there were significant changes in FVC, FEV1, and PEF before and after exercise. Also, the intergroup analysis has shown that there were significant differences in PEF between before and after the exercise. Changes in posture may affect cardiovascular, digestive, respiratory, and musculoskeletal systems and should therefore be considered as important in medical management and

Table 3. General characteristics (N=15)

| Characteristic          | SERME group (n=8) | SE group (n=7) | t (p)  |
|-------------------------|-------------------|---------------|-------|
| Gender                  | 6/2               | 4/3           | -     |
| (female/male)           |                   |               |       |
| Age (yr)                | 17.75 (4.71)      | 15.57 (2.70)  | 1.075 (0.30) |
| Height (cm)             | 164.38 (8.90)     | 162.71 (2.93) | 0.498 (0.63) |
| Weight (kg)             | 50.75 (7.96)      | 52.43 (8.38)  | -0.398 (0.70) |
| Cobb’s angle (°)        | 24.49 (8.32)      | 27.16 (12.44) | -0.495 (0.63) |

Values are presented as mean (SD).

SERME: Schroth’s three-dimensional exercises in combination with respiratory muscle exercise. SE: Schroth’s three-dimensional exercise.

Table 4. Comparative analysis of Cobb’s angle, functional movement screen and pulmonary functions (N=15)

| Characteristic          | SERME group (n=8) | SE group (n=7) | t (p)  |
|-------------------------|-------------------|---------------|-------|
| Cobb’s angle (°)        |                   |               |       |
| Pre                     | 24.49 (8.32)      | 27.16 (12.44) | -0.495 |
| Post                    | 20.23 (7.54)      | 24.47 (12.45) | 0.810  |
| Difference              | -4.26 (1.36)      | 2.69 (1.11)   | 2.415* |
| Functional movement screen (score) |                   |               |       |
| Pre                     | 10.25 (1.91)      | 11.14 (2.48)  | -0.788 |
| Post                    | 15.50 (1.31)      | 14.43 (1.90)  | 1.286  |
| Difference              | -5.25 (1.83)      | 3.29 (2.36)   | -1.814 |
| Pulmonary function      |                   |               |       |
| FVC (L)                 |                   |               |       |
| Pre                     | 2.73 (0.63)       | 2.97 (0.77)   | -0.661 |
| Post                    | 3.06 (0.51)       | 2.75 (0.83)   | 0.872  |
| Difference              | -0.33 (0.35)      | 0.21 (0.76)   | -1.818 |
| FEV1 (L)                |                   |               |       |
| Pre                     | 2.32 (0.58)       | 2.38 (1.05)   | -0.162 |
| Post                    | 2.71 (0.41)       | 2.33 (0.83)   | 1.135  |
| Difference              | -0.39 (0.30)      | 0.05 (0.64)   | -1.772 |
| FEV1/FVC (%)            |                   |               |       |
| Pre                     | 85.11 (9.44)      | 78.22 (26.76) | 0.684  |
| Post                    | 88.87 (4.89)      | 84.64 (12.94) | 0.861  |
| Difference              | -3.76 (13.12)     | -6.41 (25.09) | 0.262  |
| PEF (L)                 |                   |               |       |
| Pre                     | 3.98 (1.49)       | 4.85 (2.89)   | -0.749 |
| Post                    | 5.28 (0.96)       | 5.02 (2.84)   | 0.242  |
| Difference              | -1.30 (0.87)      | -0.17 (0.68)  | -2.769* |

Values are presented as mean (SD).

SERME: Schroth’s three-dimensional exercises in combination with respiratory muscle exercise, SE: Schroth’s three-dimensional exercise, FVC: forced vital capacity, FEV1: forced expiratory volume at one second, FEV1/FVC (%): a ratio between FEV1 and FVC, PEF: peak expiratory flow.

\( p<0.05 \).
physical therapy [14]. Correction and respiratory therapy should be performed because scoliosis patients have weakened respiratory muscles due to curvature of the thoracic spine, which reduces cardiopulmonary function [15]. Orthodontic treatment and thoracic flexibility enhancement exercises should be performed to remove the compression of the lungs due to deformation and flexibility of the thorax [16]. SE could support the restoration of the respiratory muscles by rotating the twisted rib cage to the opposite direction [17,18]. The original idea of this test was to assess the qualitative aspects of a movement with a simple scoring system, rather than diagnosing and measuring fixed joint movements. In this study, the post-exercise FMS score was significantly increased in the SERME and SE group, which could be led by the improvement balance of the trunk through exercise.

This study has several limitations. First, since the number of participants was limited, it would be rather impractical to generalize the findings of this study. Also, since a short-term intervention was used in this study, it would be necessary to have the follow-up to monitor the long-term effects of the given exercise. Therefore, further studies would be necessary with long-term intervention of the SERME in a larger group of scoliosis patients.

In this study, it was possible to validate the therapeutic effects of SE and the concurrent administration of SERME, but there was a greater effect shown in the SERME group, showing that the SERME group is more effective than SE. In other words, both exercise therapies could produce a significant therapeutic effect in patients with scoliosis in terms of Cobb’s angle, but the concurrent administration of SERME could be more effective for patients with spinal scoliosis in terms of pulmonary function and FMS.

**Conflict of Interest**

The authors declared no potential conflicts of interest with respect to the authorship and/or publication of this article.

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