Open access resources for genome-wide association mapping in rice

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Increasing food production is essential to meet the demands of a growing human population, with its rising income levels and nutritional expectations. To address the demand, plant breeders seek new sources of genetic variation to enhance the productivity, sustainability and resilience of crop varieties. Here we launch a high-resolution, open-access research platform to facilitate genome-wide association mapping in rice, a staple food crop. The platform provides an immortal collection of diverse germplasm, a high-density single-nucleotide polymorphism data set tailored for gene discovery, well-documented analytical strategies, and a suite of bioinformatics resources to facilitate biological interpretation. Using grain length, we demonstrate the power and resolution of our new high-density rice array, the accompanying genotypic data set, and an expanded diversity panel for detecting major and minor effect QTLs and subpopulation-specific alleles, with immediate implications for rice improvement.
rice (*Oryza sativa*) is a staple food that feeds 3 billion people\(^1\)\(^-\)\(^2\). It has the largest, publicly available, single-species germplasm collection in the world\(^3\), and a rich ‘omics’ toolkit. Rice was the first crop plant to be fully sequenced\(^4\), has over 3,000 re-sequenced varieties\(^5\)\(^-\)\(^8\), de novo assemblies within three subpopulations\(^9\)\(^-\)\(^11\) and BAC-based sequence assemblies for 12 related *Oryza* species\(^12\). With these resources in hand, the challenge is to utilize this information to understand and predict how genotypic variation gives rise to the abundance of phenotypic variation that we see in nature and in agriculture.

Genome-wide association studies (GWAS) offer a powerful strategy for understanding the genetic basis of complex traits. This approach has been particularly productive for rice, where major associations have been detected for important traits, including grain size, grain weight, flowering time, disease resistance and abiotic stress tolerance, among others\(^13\)\(^-\)\(^16\). Hundreds of genes governing traits of interest have been cloned and new, powerful tools are currently being deployed to achieve targeted genome editing\(^17\)\(^-\)\(^19\). In applied breeding programs, major-effect loci discovered via quantitative trait loci (QTL) mapping and GWAS have been successfully introgressed from wild and exotic genetic resources into elite, high-yielding varieties via marker-assisted selection\(^20\)\(^-\)\(^22\).

Rice has been particularly amenable to GWAS largely because of its evolutionary and domestication history. The wild ancestor of Asian rice, *O. rufipogon*, is a partially (35%) outcrossing species complex broadly dispersed across tropical South, South-East and Eastern Asia. It consists of locally or regionally adapted subpopulations with both perennial and annual forms\(^3\)\(^-\)\(^3\). The domestication of *O. sativa* began \(\sim\) 10,000–12,000 years ago, and human selection was accelerated by inbreeding which retained and fixed many favourable alleles of large effect. The unique combinations of locally adapted allele complexes in different subpopulations provide ideal characteristics for GWAS, including both universal and population-specific large effect alleles, extended linkage disequilibrium (LD) within some subpopulations that is advantageous for mapping with low marker coverage, rapid LD decay within other subpopulations offering improved mapping resolution and an abundance of well-partitioned genetic variation among subpopulations. These properties, in combination with a small, tractable genome (380 Mb), and the ability to produce immortal panels comprised of thousands of inbred, homozygous individuals, make it possible to evaluate the same traits in different environments simultaneously, and to evaluate a variety of different traits on the same germplasm over time. The ability to make controlled matings and to generate large populations each generation provides a powerful system for validating loci and for harnessing their value by introgressing favourable alleles into other genetic backgrounds.

Here, we report the development of an association mapping panel consisting of 1,568 diverse inbred rice varieties and a genotyping data set generated using a high-density rice array (HDRA) comprised of 700,000 single-nucleotide polymorphisms (SNPs). The HDRA represents the most densely populated genotyping array available for any organism at this time, based on the number of SNPs/kb interrogated across the genome. We explore the value of these resources for GWAS using grain length as the phenotype, and use numerous analytical techniques to identify significant associations that uncover both major and minor QTLs. Further, we demonstrate distinct advantages of cross-population mapping where we gain power by directly accounting for large effect alleles from within the mapping model. We show how this may lead to the identification of population-specific haplotypes, which are of particular value to the plant breeder.

The GWAS resources and data sets generated in this project are designed to facilitate the collection of phenotypic information on a wide range of traits and characteristics (see companion paper by Crowell et al.\(^24\)) and to rapidly and efficiently convert that information into focused hypotheses about causal genes and QTL locations. They also provide a bridge between genomics and applied plant breeding. With increasing accuracy over time, data sets generated for different purposes and at differing levels of resolution can be leveraged against each other via shared haplotypes, making it feasible to undertake cross-population imputation of both genotype and phenotype and to predict the phenotypic outcomes from genotypic data. GWAS results can also be incorporated as fixed variables into genomic selection models to improve the accuracy of genomic estimated breeding values\(^25\)\(^-\)\(^27\).

These resources are part of a larger rice diversity research platform being developed by the international rice research community. All the materials, data sets and tools reported here are available as open-source products. Together these resources provide the basis for further exploration of phenotype-genotype relationships in rice and for expediting variety development in this important food crop.

### Results

**The high-density rice array.** The HDRA assays 700,000 SNPs (average call rate \(= 93.5\%\)), or \(\sim 1\) SNP every 0.54 kb across the rice genome. It was designed to efficiently capture most of the haplotype variation observed in a discovery panel consisting of 16M SNPs (generated by sequencing 125 rice genomes at \(\sim 7\times\) genome coverage) and to maximize the inclusion of non-synonymous SNPs (see Supplementary Note 1). An estimated 45% of HDRA SNPs map within genes, covering all 39,045 unique, non-TE rice gene models (http://rice.plantbiology.msu.edu/),

### Table 1 | Summary of polymorphisms detected by the HDRA in the different rice subpopulations.

|                | O. sativa | IND | AUS | TRJ | TEJ | ARO |
|----------------|-----------|-----|-----|-----|-----|-----|
| Total accessions | 1,571     | 498 | 187 | 360 | 240 | 38  |
| Phenotyped accessions\(a\) | 1,146     | 335 | 160 | 256 | 190 | 31  |
| Polymorphic SNPs | 671,906   | 619,988 | 547,928 | 551,746 | 470,318 | 392,943 |
| Non-synonymous polymorphic SNPs | 109,814 | 100,762 | 88,093 | 89,863 | 78,259 | 62,560 |
| SNPs MAF >0.05 | 439,280   | 336,740 | 328,393 | 208,055 | 170,904 | 290,068 |
| SNPs MAF >0.10 | 300,769   | 223,094 | 238,308 | 120,538 | 94,826 | 195,271 |
| Private SNPs\(b\) | —         | 25,718 | 10,961 | 9,322 | 4,916 | 3,383 |

**MAF:** major allele frequency; **SNP:** single-nucleotide polymorphism.

\(a\)Accessions phenotyped for grain length.

\(b\)Private SNPs are the SNPs segregating only in the indicated subpopulation.
while 55% of SNPs map to intergenic regions (Supplementary Fig. 1). Non-synonymous SNPs, which represent ~16% of all informative SNPs on the HDRA (Table 1), are found in 91% of unique, non-TE gene models. Of the genic SNPs, 55% are distributed within exons, 36% within introns, 3% within 5'-untranslated regions (UTRs) and 6% within 3'-UTRs. Of the intergenic SNPs, 50% are located in putative regulatory regions (PPRs) within 2 kb of a transcriptional start site.

Genetic structure of the rice diversity panel. Using the HDRA, we assayed SNP variation in a collection of 1,568 diverse accessions of O. sativa (Supplementary Data 1) and the resulting genotypic data set was analyzed for population structure using fastSTRUCTURE. The optimum number of subpopulations was determined to be K = 5. The five subpopulations were identified as aus, indica, tropical japonica, temperate japonica and aromatic (or Kashmiri japonica, Group V), with aus and indica comprising the INDICA varietal group, and tropical japonica, temperate japonica and aromatic comprising the JAPONICA varietal group. (We use upper-case letters to indicate the two major varietal groups, INDICA and JAPONICA, and lower-case letters to indicate subpopulations.) Using a cutoff of 70% ancestry, accessions were classified into one of the five major subpopulation groups, or into one of three admixture classes: admixed INDICA (aus-indica), admixed JAPONICA (tropical japonica-temperate japonica-aromatic) or admixed INDICA-JAPONICA (Supplementary Data 1).

We applied principal component analysis to summarize global genetic variation in the diversity panel (Fig. 1a). The top three principal components (PCs) explain 40% of the genetic variation, where the first PC separates the INDICA and JAPONICA varietal groups (23.58% of the variation), the second PC separates the aus and the indica subpopulations (10.44%), while the third PC separates the three JAPONICA subpopulations (6.06%). LD decays rapidly with distance in all subpopulations (Fig. 1b), with indica exhibiting the most rapid LD decay and temperate japonica the most extended LD.

The design of the HDRA provides a rich selection of shared and private SNPs distributed across the subpopulations of O. sativa and its closest wild relatives, O. rufipogon/O. nivara, with emphasis on efficiency of haplotype detection. A total of 671,906 SNPs detected polymorphism within the subpopulations of O. sativa, ~8% were private to individual subpopulations (Table 1), and 23,748 SNPs were private to the Asian wild progenitors. When subpopulation statistics were compared, the largest number of private and shared SNPs was detected within indica, the smallest was detected in aromatic and the other subpopulations had intermediate numbers of informative SNPs on the array (Table 1; Supplementary Data 2). These figures are consistent with sequence-based estimates of relative subpopulation diversity.5,15.

There is a non-linear, decreasing relationship between the number of lines assayed and SNPs detected, indicating that the same SNPs are being assayed over and over again as the panel size increases. The diversity panel developed by the NIAS, by far the smallest collection of lines, is very efficient for its size in capturing a broad range of diversity, while the size of the two larger rice diversity panels, RDP1 (refs 16,33) and RDP2 (first introduced here; Supplementary Data 1) enhance the resolution of GWAS primarily by assaying new recombinants.

Given that diversity is partitioned into deeply differentiated subpopulations in O. sativa, we further explored the genetic relationships between pairs of subpopulations by examining 2D site frequency spectra. The majority of SNPs that are at low frequency in indica are also found at low frequency in aus, though indica-specific and aus-specific SNPs are also detected (Fig. 2a).

Similarly, SNPs that are at low frequency in tropical japonica are also usually observed at low frequency in temperate japonica, with few exceptions (Fig. 2b). However, we see a very different picture when we compare the INDICA and JAPONICA varietal groups, where the sites that are at moderate-to-high frequency in one of the subpopulations are found at markedly lower frequencies in the other (Fig. 2c). Nonetheless, a common set of 291,132 SNPs (43%) segregate cross all 5 subpopulations of O. sativa, while 418,502 SNPs segregate in the INDICA varietal group and 295,984 SNPs segregate in the JAPONICA varietal group. This made it possible to perform GWAS using different subsets of germplasm, though many SNP frequencies vary significantly across the different populations. Interestingly, the frequency distribution of synonymous and non-synonymous SNPs is very similar across groups, with slightly more non-synonymous SNPs occurring at low frequency, but a good distribution of both across the entire site frequency spectra (Supplementary Fig. 2). The observed SNP frequencies and distributions within and between subpopulations have important implications for the way GWAS is conducted in rice.

Improved GWAS resolution using a larger number of lines. In this study we investigated the potential to resolve both major- and minor-effect GWA-QTLs using the new HDRA genotypic data set and grain length as a phenotype. Grain length is a highly heritable phenotype, with broad sense heritability of 0.976. A major QTL for grain size was previously identified on rice chromosome 3 in a number of studies across different genetic backgrounds. 

Figure 1 | Genetic structure of the rice diversity panel. (a) PPCA showing global genetic variation in the rice diversity panel. Left: first and second PCs; right: second and third PCs. (b) LD as a function of distance between SNP markers in each O. sativa subpopulation. The circles in a and lines in b are coloured based on subpopulation assignments from FastSTRUCTURE: IND—indica (red); AUS—aus (yellow); TEJ—temperate japonica (blue); TRJ—tropical japonica (green); ALL—all subpopulations combined (black). Purple circles: aromatic; Grey circles: admixed lines.
backgrounds and environments\textsuperscript{24,35}. The gene underlying this QTL, GRAIN SIZE 3 (GS3, Os03g0407400), has been cloned and characterized, and the most common functional polymorphism is a C\textgreater{}A transition that occurs in the second exon of the gene. Using genetic transformation, Takeno-Kai et al.\textsuperscript{36} demonstrated that the wild-type allele (C) confers short grain and the derived allele (A) confers long grain in natural populations. Other mutations in the same gene have also been shown to affect grain length but they occur at low frequency in O. sativa, making them unlikely to be detected using GWAS\textsuperscript{37}.

Rice chromosome 5 carries two closely linked genetic loci associated with grain size that have been positionally cloned and functionally characterized, namely GRAIN WIDTH 5 (GW5) and GRAIN SIZE 5 (GS5, LOC_Os05g06660)\textsuperscript{38,39}. For GW5, the functional polymorphism is a 1,212 bp deletion in the reference genome (cv. Nipponbare) that removes the only exon of the gene, resulting in a short, wide, heavy grain\textsuperscript{40}. For GS5, polymorphisms in the promoter region leading to differential gene expression were associated with grain size\textsuperscript{38}. In addition, a vast number of QTL regions have been mapped, and 14 genes associated with grain shape and size have been cloned\textsuperscript{41–55} (Supplementary Data 4). Although the function of many of these genes is still poorly understood, they provide us with clear, true-positive loci where common alleles affecting grain size can serve as the basis for assessing the mapping power and resolution of the HDRA.

Using the HDRA on the RDP1 panel alone, or the RDP1 and 2 panels together, we see two major GWAS peaks associated with grain length, one on chromosome 3 and one on chromosome 5 (Fig. 3a). Zoom-in plots of the peaks on chromosome 3 confirm that the same peaks are detected, and SNP-3.16732086 is the most significant SNP (MS-SNP) in both cases (Fig. 3b). With RDP1 alone, the MS-SNP explains 15.9% of the variation (Fig. 3b). The highly significant P values are because of the fact that SNP-3.16732086 directly interrogates the functional polymorphism in exon 2 of GS3, while the second and third MS-SNPs map 11.3 kb (SNP 3.16718013) and 16.8 kb (SNP 3.16712500) upstream of the transcriptional start site of GS3, and explain 6% and 5.8% of the phenotypic variation, respectively (Supplementary Data 3). Interestingly, in addition to SNP-3.16732086, there are several HDRA SNPs that map within the GS3 gene itself (coloured green in Fig. 3a,b) but have significance levels and allele effects similar to SNPs that are farther away. This is because of the fact that, despite being within the causal gene, they are not in perfect LD with the functional SNP that is responsible for grain length.

Turning our attention to the peak on chromosome 5, a cursory comparison of the two plots suggests that they detect a GWA peak in roughly the same region (Fig. 3c). Using the HDRA on RDP1, a cluster of significant SNPs forms a dense plateau across a \sim 300 kb region, while the resolution is greatly improved with the larger panel of germplasm (RDP1 and 2).

The grain length genes GS5 and GW5 are located 1.9 Mb apart on rice chromosome 5. The known functional polymorphism associated with GW5 corresponds to a 1.2 kb deletion in the reference genome that deletes the GW5 gene\textsuperscript{40}. The deletion is located between LOC_Os05g09510 and LOC_Os05g09520 (Fig. 4). When we verified the distance of the MS-SNPs to GW5 and GS5, the MS-SNP maps only 11 kb away from the GW5 deletion (Fig. 4) and all the MS-SNPs cluster in the vicinity of the functional deletion affecting GW5; no peak was observed at GS5 (Fig. 3c). This is consistent with the fact that the functional polymorphisms at GS5 are known to be rare in rice germplasm\textsuperscript{39} and provides evidence that GWAS can distinguish levels of statistical support for two \textit{a priori} candidate genes that are only 2 Mb apart.

We previously reported a GWA study in the RDP1 using a custom-designed genotyping array with 44,100 SNPs (44 K array)\textsuperscript{16}. Although the 44 K array also detected the same two major peaks on chromosomes 3 and 5 (Supplementary Fig. 3), significant SNPs are sparse and tend to have lower P values than those detected by the HDRA.

GWAS models with SNP covariates. To further explore the relationships among QTLs for grain length, we re-ran GWAS analyses for the HDRA on RDP1 and 2 and included the MS-SNPs (one from each major peak on chromosomes 3 and 5) as co-variates in the GWA models. When all samples were evaluated together, additional peaks were detected on all 12 rice chromosomes, with the most significant located on chromosome 10 (Fig. 5a). The MS-SNP defining the chromosome 10 peak also had the highest per cent phenotypic variation explained (PVE), but not the largest allele effect in \textit{ALL} with two SNP covariates (Supplementary Data 3).

We also performed GWAS independently for the two varietal groups, \textit{INDICA} (\textit{aus} + \textit{indica} + admixed \textit{aus-indica}) and \textit{JAPO-NICA} (temperate japonica, tropical japonica, aromatic + admixed temperate-tropical-aromatic); Fig. 5b,c), as well as for each subpopulation individually (Supplementary Fig. 4). From a bird’s eye view, the same major GWAS peaks on chromosomes 3 and 5 were detected in the joint \textit{INDICA} and joint \textit{JAPO-NICA} analyses
as described previously. Peaks were defined as regions containing at least one SNP at $P \leq 1.0 \times 10^{-5}$ and at least two additional SNPs ($P < 1.0 \times 10^{-4}$) located within a 100-kb window on either side of the MS-SNP. The functional SNP in the GS3 gene (SNP 3.16732086) on chromosome 3 was consistently identified as the MS-SNP with the highest PVE and allele effect in all analyses, while on chromosome 5, different but closely linked SNPs (mapping within ~12 kb of each other) identified the same peak in different analyses (Fig. 5, Supplementary Data 3). When the two MS-SNPs (from chromosomes 3 and 5) were used as covariates in each analysis, significant GWAS-peaks for grain length were detected in 12 regions of the genome in ALL, 13 regions in the joint INDICA analysis, and 2 regions in the joint JAPONICA analysis (Fig. 5, Supplementary Data 3). One of the 13 peaks identified in INDICA (on chromosome 8) and both of the peaks detected in JAPONICA were also identified in ALL, but no peaks were shared between INDICA and JAPONICA. This can be explained by the differences in allele frequencies, allele effects and per cent variance explained by the SNPs associated with grain length in each group.

An examination of the peaks in each of the individual subpopulations revealed novel features (Supplementary Fig. 4a–e). In the indica and tropical japonica subpopulations, the two major peaks on chromosomes 3 and 5 were clearly visible, while in aus, a minor peak was identified several kb away from GS3 and no peak was observed near GW5. In temperate japonica, a very wide peak was observed 1.4 Mb to the left of GS3 along with a minor peak at GW5. These results suggest the possibility that different causal genes in the GS3 region may contribute to the observed phenotypic variation in the aus and temperate japonica subpopulations. When SNP covariates were applied to the subpopulation-specific analyses, we discovered additional minor peaks associated with grain length in each. Notably, aus had twice as many significant minor peaks as indica (along with higher average allele effect and PVE values and indica had twice as many as either tropical or temperate japonica (Supplementary Data 3)). Half of the minor peaks in the subpopulation-specific analyses overlapped with those observed in ALL or in the joint-INDICA or joint-JAPONICA GWAS, but the other half were novel, providing interesting targets for further research and use in breeding. The
GWAS results discussed here can be visualized at high resolution using our interactive browser and GWAS Viewer, as described in Supplementary Note 2.

In many cases, GWAS peaks were found to encompass previously described genes or QTLs associated with grain length or shape (Supplementary Data 4). A minor peak on chromosome 3 in the indica subpopulation co-localizes with POSITIVE REGULATOR OF GRAIN LENGTH 1 (PGL1); PGL1 encodes an atypical non-DNA-binding bHLH that interacts in vivo with ANTAGONIST OF PGL1 (APG) and increases grain length and weight in transgenic rice. PGL1-APG potentially represents a new grain length- and weight-controlling pathway. A minor peak on chromosome 2 in aus co-localizes with qLWR2, a QTL for length-to-width ratio detected in a cross between an indica japonica variety, Kitaake, and encodes a protein member of the kinesin 13 family. On chromosome 8, a peak detected in all subpopulations. The SNPs with significant P values for the GW5 interaction define a region on chromosome 4 containing 14 genes. Among these, the most notable is a gene encoding a C2H2 zinc-finger transcription factor (LOC_Os04g08034; Supplementary Fig. 5). RNA-Seq data indicate that this gene is expressed in inflorescences pre and post-emergence, as well as the seed (embryo and endosperm; http://rice.plantbiology.msu.edu/cgi-bin/ORF_infoPage.cgi?orf=LOC_Os04g08034). Further investigation on the potential impact of this gene (or region) on grain length is warranted.

Harnessing the power of subpopulation-specific GWAS. Further examination of the peaks in each subpopulation demonstrates the value of GWAS as a road map for gene discovery. Here we highlight an example where a clear peak in the GS3 region was observed in the aus subpopulation (Supplementary Fig. 4). The MS-SNP in aus (SNP-3.16709886) is located 18 kb to the left of GS3 (Fig. 6a), two gene models away in an intergenic region, while the previously reported functional polymorphism in exon 2 of GS3 (SNP-3.16732086) is fixed for the wild-type allele in aus, and therefore not significant. The aus MS-SNP-3.16709886 is also significant in all and in joint INDICA when SNP-3.16732086 (the GS3 FNP) is included as a covariate in the GWAS model (Fig. 6a, second panel; Fig. 5a,b). These results suggest that SNP-3.16709886 tags variation for grain length that is independent of the known GS3 allele. The mutation in exon two of GS3 confers long grain, while four additional mutations in GS3 (all occurring in exon 5) confer shorter grain. Interestingly, the derived allele at the aus MS-SNP is associated with longer grains (Fig. 6).

Our GWAS results point to a potentially novel source of variation in or near GS3 in the aus subpopulation. To better characterize variation in the region we constructed extended haplotypes (EHs) based on HDRA genotypes for the 1,146 rice lines phenotyped for grain length (Fig. 6b). The EHs encompass a 190 kb region extending beyond the perimeter of the aus-specific peak as defined by its three MS-SNPs. Similar to what we have described previously, three well-differentiated major haplotype groups are observed in the region: japonica, aus and indica (according to the subpopulation in which each occurs at highest frequency). The most common temperate japonica haplotypes, EH1 and EH2 (83%) carry the wild-type allele at SNP-3.16732086, the common tropical japonica haplotypes, EH7, EH6 and EH5 (87%) carry the derived (long grain) GS3 allele (indicated in orange in Fig. 6b), while the aromatic accessions are...
with 0 (SNP-3.16732086 and SNP-5.5371749). HDRA on RDP1 and 2 surrounding candidate grain length genes are coloured red. Red vertical lines indicate the position of candidate genes and/or QTL regions associated with grain length. HDRA on RDP1 and 2 ALL, with 0 (a.g) and 2 SNP covariate (b.h): SNP-3.16732086 and SNP-5.5371749; HDRA on RDP1 and 2 INDICA, with 0 (c.l) and 2 SNP covariate (d.j): SNP-3.16732086 and SNP-5.5359497; HDRA on RDP1 and 2 JAPONICA, with 0 (e.k) and 2 SNP covariate (f.f): 3.16732086 and SNP-5.5366680.

Figure 5 | GWAS for grain length in the Indica and Japonica varietal groups and using SNP covariates. Manhattan plots (a-f) and quantile-quantile plots (g-1) for GWAS using the HDRA on RDP1 and 2. On each Manhattan plot, the x-axis shows the SNPs along each chromosome; y-axis is the −log10 (P value) for the association. Significant SNPs (with P values at or above the 10% FDR threshold) are coloured blue; significant SNPs within a 24 kb window surrounding candidate grain length genes are coloured red. Red vertical lines indicate the position of candidate genes and/or QTL regions associated with grain length. HDRA on RDP1 and 2 ALL, with 0 (a.g) and 2 SNP covariate (b.h): SNP-3.16732086 and SNP-5.5371749; HDRA on RDP1 and 2 INDICA, with 0 (c.l) and 2 SNP covariate (d.j): SNP-3.16732086 and SNP-5.5359497; HDRA on RDP1 and 2 JAPONICA, with 0 (e.k) and 2 SNP covariate (f.f): 3.16732086 and SNP-5.5366680.

almost evenly split between two haplotypes carrying the wild-type and derived alleles at GS3 (EH1 and EH8, respectively). The mutation marked by SNP-3.16732086 is known to have occurred in a japonica background then introgressed into indica (EH7 and EH18), but it is notably rare in aus and was not observed in any of the aus varieties in our panel.

The common aus haplotype is EH10 (62%) and the common indica haplotype is EH19 (40.9%). Two aus accessions carry the common japonica haplotype EH1, 12 accessions carry EH3 and two carry the recombinant EH9 haplotype, while 40 additional aus varieties are distributed among seven aus-specific haplotypes: three with the derived allele at SNP-3.16709886 (EH15/16/17) and four with the wt allele (EH11–14). These seven haplotypes are similar to EH3, but are differentiated by specific recombination break points within the aus background. Varieties carrying EH15/16/17 have significantly longer grains than those carrying the common aus haplotype EH10 (Fig. 6c,d), and even more so than lines carrying EH9/11/12/13/14, which are genetically similar across the region.

All 24 aus varieties that carry the derived MS-SNP (SNP-3.16709886) also carry a second SNP (indicated by the left red star on Fig. 6b). One short-grained aus variety was found to carry the second MS-SNP but not the derived allele at SNP-3.16709886 (EH14). The third MS-SNP (indicated by the right red star on Fig. 6b) is present in all 22 aus varieties carrying EH15/16, but not in the 2 lines carrying EH17. The recombination break points in EH16 on the left and in EH17 on the right allow us to define a 112-kb candidate region underlying this novel association with grain length (indicated by a bracket in Fig. 6b). The target region contains 13 gene models and includes GS3 and its regulatory region. Additional random mutations across the japonica-like region of introgression are observed in many of the aus lines documented here (Supplementary Data 5). None of these mutations are informative in the context of this analysis, though they do suggest that the introgression is not a recent event.

Lastly, it is interesting to note that there is significant variation for grain length among the varieties carrying the common aus haplotype, EH10. This variation is the source of the minor GWAS-QTLs detected when SNP-3.16709886 is used as a covariate in the aus subpopulation-specific GWAS (Supplementary Fig. 4, Supplementary Data 3).
Discussion
In this study, we set out to design a publicly available GWAS mapping platform that comprises genotype information on a set of accessions that will help to understand and dissect the genetic architecture of economically important traits in this crucial crop. We purified a total of 1,568 rice accessions and developed a 700,000-SNP array (HDRA) that assays one SNP approximately every 0.54 kb across the rice genome and is enriched for SNPs in and around genes.

In terms of SNP density, the HDRA offers more SNPs per kb than the highest density commercial human genotyping array (Illumina Human OMNI 5-4 BeadChips; Illumina, San Diego, CA, USA) and surpasses the largest publicly available genotyping platform in any crop species, namely a maize array with 600,000...
SNPs, or 1 SNP per 4 kb (ref. 59). While array technology has represented the gold standard in genotyping for many years, and is advantageous for generating high-quality data and efficiently processing large numbers of samples, it has inherent ascertainment bias owing to the emphasis on common SNPs in the discovery panel. While the decreasing cost and continued technical improvements in the throughput and quality of next-generation sequencing platforms is rapidly displacing the use of fixed arrays for genotyping, high-quality array data, such as that reported here, provides a valuable framework of diversity information into which new re-sequencing information can be readily integrated. In keeping with this potential, the recent re-sequencing of several thousand rice genomes promises to readily integrated. In keeping with this potential, the recent re-sequencing of several thousand rice genomes promises to enhance the utility of the resources and analysis tools described in this study. Though the rice varieties sequenced to date do not overlap significantly with the RDP1 and 2 plant materials reported here, haplotype information derived from the re-sequencing data can be readily integrated with the HDRA SNP data set to enhance the resolution of the genotypic data set, providing new opportunities to identify candidate genes and functional polymorphisms underlying many of the QTLs identified by GWAS.

The ability to identify both large- and small-effect QTL associated with a phenotype of interest is of great interest to breeders. By including the most significant SNP(s) from each experiment as a covariate(s) in the GWA model, we demonstrate our ability to identify both common and subpopulation-specific alleles. The high heritability of grain length suggests that this trait may be conditioned by only a few genes of large effect, but the range of variation for grain size detected in this collection of germplasm (4.2–7.3 mm) and the combination of both natural and artificial selection that have influenced trait expression in different subpopulations and environments, hint at greater complexity. Here, we demonstrate that, in addition to well-characterized genes of large effect, our approach allows for the detection of numerous genetic factors of small effect that contribute to the highly valued grain quality characteristics that distinguish local rice varieties, supported by the fact that several peaks co-localize with known QTL regions and candidate genes related to grain shape and/or size. In cases where well-characterized grain size genes do not co-locate with GWAS peaks, it is presumably because the functional alleles at these loci occur at low frequency in our diversity panel, or have immeasurably small allele effects in the context of other loci segregating for grain length. GWAS peaks identified in regions where no QTL for grain size has been mapped to date provide new opportunities for gene discovery.

Grain length is one of many traits for which different cultures and commercial markets show strong and often opposing preferences. In Japan, Korea and northern China, short grain rice is strongly preferred. These areas grow almost exclusively temperate japonica varieties that have near universally short, wide grains and are adapted to cooler seasons and the long days of higher latitudes. Long, slender grains are preferred in South Asia and parts of South East Asia where indica varieties are grown; short, thin grains are prized in traditional aus-growing regions such as Bangladesh and southeastern parts of India, and very long, thin, aromatic grains are the specialty of the basmati and sadiri-growing provinces of India, Pakistan, Afghanistan and Iran. Knowledge about specific genes and alleles that contribute to grain quality and yield in different genetic backgrounds will assist rice breeders in fine-tuning their selection for superior grain quality, and has implications for other crops where grain morphology is also highly valued.

In a companion paper by Crowell et al., we explore the potential to use the rice diversity panel and accompanying HDRA SNP data set to dissect the genetics of panicle architecture on field-grown plants using a high-resolution imaging system as the basis for phenotyping. We demonstrate the potential to build ‘association networks’ based on GWAS analysis, and to use phenotypic covariates to dissect multi-trait GWA-peaks, with direct implications for applied plant breeding.

In addition to the SNP data set, genetic resources and analytical strategies presented here, we have also developed a suite of bioinformatics tools to facilitate the analysis of GWAS data (Fig. 7, Supplementary Note 2, Supplementary Software 1). These tools are comprised of a GWAS Viewer, Allele Finder and Genome Browser. The GWAS Viewer is a web-based interactive tool for visualization and analysis of GWAS data; the Allele Finder is a tool for querying and retrieving large genotype data sets in a user-friendly graphical interface; and our local mirror of the UCSC Genome Browser facilitates the analysis of HDRA and other SNP data sets in a genomic context. Together, these resources represent an open-source research platform that will empower the plant community to explore the genetics underlying a wide array of complex traits, providing new opportunities for geneticists and breeders to collectively understand and better utilize the wealth of natural variation that is conserved in gene banks around the world.

### Methods

**Germlasm.** The germplasm collections from which accesses used in this study were drawn are summarized in Supplementary Data 1. They consist of three different collections: (i) the RDP1 representing 433 accesses (423 accessions with seed for distribution) from 78 different countries, as described previously; (ii) the RDP2 representing 1,445 accesses from 92 different countries, described here for the first time; and (iii) accesses contributed by The National Institute of Agrobiological Sciences (NIAS) consisting of 75 accessions from 19 different countries. The subpopulation distribution of lines analyzed for GWAS differed for

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**Figure 6 | The aus-specific peak on chromosome 3.** (a) Zoom-in of chromosome 3 (16.6–16.8 Mb) showing GWAS peaks over GS3 using the HDRA with RDP1 and 2 ALL (top), ALL with 2 SNP covariates (centre), and the aus subpopulation (bottom). The aus MS-SNP (SNP-3.16709886) is indicated by a red circle and the ALL MS-SNP (SNP-3.16732086) by an orange circle. Dashed lines showing (a,b) indicate the position of the aus MS-SNP (red), the GS3 FNP (orange), and the second and third MS-SNPs in aus (grey). (b) Extended haplotype (EH) analysis across the peak region in RDP1 and 2. Top track shows the position of each SNP used in the analysis, connected by lines to the haplotype table below. Gene models are represented by green arrows; GS3 by an orange arrow. SNPs connected by a grey line are located in intergenic regions; those connected by a black line fall within genes. Rows in the haplotype table correspond to consensus haplotype groups constructed based on HDRA SNP data. Blue cells represent SNPs identical to the Nipponbare reference; yellow cells represent variant alleles; dashed yellow cells represent heterozygotes and white represent missing data. The unique specific MS-SNP is highlighted in red and the GS3 FNP in orange. The second and third aus MS-SNPs are indicated by red stars under the haplotype table. The number and subpopulation identity of varieties carrying each haplotype are indicated in the table. EHs are represented as a consensus and minor variation is present within each group. For clarity, low-frequency recombinant haplotypes are not displayed (except for those aus recombinant haplotypes informative for the present analysis). (c) Quantile boxplots showing phenotypic distribution (average grain length) for varieties carrying the common aus haplotype, varieties with introgression carrying the reference allele at SNP-3.16709886 (EH9, 11, 12, 13 and 14); and with introgression carrying the derived allele at SNP-3.16709886 (EH15, 16 and 17). The ends of the box represent the 75th and 25th quantiles (d) Representative aus accessions with short and long grain phenotypes: Left to right: Ghorbahi/NSFTV326 (EH10), Phudugey/NSFTV131 (EH17), Jamir/NSFTV328 (EH12) and PTB-30/NSFTV360 (EH15). Scale bar, 2 mm.
Figure 7 | Data visualization tools. The GWAS Viewer (1) and the Allele Finder (2) were developed by the Rice Diversity Project to facilitate interpretation of GWAS results and the HDRA genotype data set. A local instance of the UCSC Genome Browser (3) was also created. All are available for public use at www.ricediversity.org. Users can select up to six plots to display in the main GWAS Viewer pane (4) by making selections in the control panel at the left (5). Points display SNP ID, position and P value when moused-over. Clicking on a data point (6) provides similar information in a pop-up window, along with a hyperlink to the Genome Browser. Users can highlight and zoom-in on a particular region by holding a click and dragging over (7,8) the region. When zoomed-in the IRGSP-1.0/MSU7 genome annotation features are displayed as grey triangles (9) and can be moused-over or clicked on for more information. Users can enter up to 100 MSU7 LOC IDs in the ‘Add Candidate Genes’ box (10) for display on a selected plot as orange triangles. A synchronized-zoom feature is available (11). Print or download an image by clicking at the top right of the plot (12), or click on the ‘Print All Charts’ button to print or download all plots displayed in the current session. Our local instance of the UCSC Genome Browser has several tracks of data (13) in addition to the IRGSP-1.0/MSU7 annotation; these include the RAP-DB genome annotation(56), positions of SNPs on the Rice Diversity HDRA, the 44 K (ref. 17) and 1,536 (ref. 57) SNP chips and their functional annotations, and SNP sets from the Rice OPA breeder’s mini-chips52. The Allele Finder tool allows the user to quickly find, examine and interpret specific regions of HDRA genotype data set. Enter a genome region of interest (14), select masking and display options (15), a data set and output format (16), select germplasm groups or subpopulations (17), and click the submit button. Along with the allele data the query results (18) display position information, reference and alternate allele, functional information, LOC_Os IDs, and predicted amino acid changes.
the three diversity panels. Briefly, RDP1 consisted of 20% indica, 13% aus, 25% temperate japonica, 25% tropical japonica, 3% aromatic and 18% admixed accessions; the RDP2 consisted of 35% indica, 11% aus, 12% temperate japonica, 23% tropical japonica, 2% aromatic and 17% admixed accessions; the NIAS panel consisted of 39% indica, 23% aus, 15% temperate japonica, 5% tropical japonica, 0% aromatic and 18% admixed accessions (Supplementary Data 1.2).

Seeds were originally obtained from (i) the International Rice Genebank Collection at the International Rice Research Institute (IRRI) in the Philippines (http://irri.org/our-work/seeds), (ii) the USDA-ARS National Small Grains Collection (NSGC), Aberdeen, ID, USA, which is part of the National Plant Germplasm System (www.ars-grin.gov/npgs) and (iii) the NIAS Genebank in the National Germplasm Collection in Tsukuba, Japan (http://www.gene.affrc.go.jp).

Because of the observed heterogeneity in the gene bank accessions, all materials were purified for two generations via single seed descent before genotyping. The SNPs were assigned to functional categories. SNPs were classified as UTR5, UTR3, exonic, intronic based with each feature’s locus using the UCSC Browser’s liftOver tool (https://genome.ucsc.edu/util.html). For those SNPs that overlapped an exon, further analysis was performed using Galaxy’s tool. Assignment of SNP states and the absolute value of $D’$ (Lewontin, 1964) was calculated between all pairs of markers, using only lines with pairwise complete data. As measures of LD, we calculated $r^2$ (the square of the correlation coefficient between SNP genotypes) and the absolute $r$ (Leventin, 1965). The latter measure is more robust to allele frequency fluctuations than $r^2$. As we calculated LD, we noted the distances between SNP markers. We then assigned the distances to 500 bins and calculated mean LD within each band of distances.

**Phenotyping for grain length.** Three replicates of the complete set of samples were grown in the 2011 wet season in IRRI fields in the Philippines, using standard crop management practices for irrigation and pest control. A subset of 129 accessions that spanned the range in grain lengths observed during 2011 was also grown during the dry season of 2012 at IRRI and grain length measured on these varieties was used to estimate broad sense heritability using the following equation:

$$H^2 = \frac{\sigma^2_g}{\sigma^2_g + \sigma^2_{ge} + \frac{\sigma^2}{\sigma^2_e}}$$

where $\sigma^2_g$ is the genotypic variance, $\sigma^2_{ge}$ is the genotype by environment interaction variance, $\sigma^2_e$ is the experimental error variance, $r$ is replaced by $D’$. For both the full set (2011) and the subset (2012), grain was harvested at maturity and stored for 6 weeks under controlled relative humidity, to equilibrate for moisture content. A sample of paddy (10 g) was dehulled (THU35A 250V 50Hz Test Husker, Satake), and polished by placing grains into 10 ml capsules with fused aluminum oxide (1 grain in a 1 mm mesh). Grain length was measured on an average of 75 individual grains from each of three biological replicates, with a Cervitec Grain Inspector 1625 (FOSS Analytical, Hoganas, Sweden; Callicuencia et al. 2009) at the Grain Quality and Nutrition Center at IRRI.

**Genome-wide association studies.** GWAS were run using a linear-mixed model in EMMAX vs beta-07Mar2010 (ref. 67), which takes underlying population structure into account by including a kinship matrix as a covariate. The germplasm samples used for generating the 1,135,222 SNP data set and all resulting genome-wide genotyping results were grown in 2011, and are subsets of the RDP collections consisting of 406 accessions from RDP1 and 1,087 from RDP2 (Supplementary Data 1). The genotype data was limited to include only lines with phenotypes for the trait of interest (average seed length), and SNPs with MAF >0.05 in each subpopulation, as well as at least 3 minor allele count and 30% maximum missing data. These lines were included in the calculation of the kinship matrix. When the analysis was run across all available lines in the panel, SNPs present at MAF >0.05 in individual subpopulations were combined and three additional PC covariates were added to the model. No PC covariates were used in analyses within subpopulations (indica, aus, temperate changes and tropical japonica). A significance threshold of 0.10% false discovery rate (R implementation of Benjamini and Hochberg) was calculated. A GWAS pipeline for matching germplasm data and phenotypes, filtering and further data processing was built in Python 2.6 using R scripts for making plots, and is available for download and use at ricedevelopment.org.

**Allele effects, percent variation explained and epistasis scans.** To calculate PVE and report this for all tests in the EMMAX results, we modified EMMAX C source code to perform this calculation using the model term variance that EMMAX already extracts to conduct the t-test for significance and report Pvalues for the tested marker. We also modified EMMAX to calculate the total per cent variance explained of the model (including the random effects term) for every test as well as the additive genetic variance explained (without the random effects term). For total fixed effect genetic variance explained (relevant when two or more marker terms are included—see below), we extracted the residuals from an initial model fit with dummy marker terms substituted with random genotypes. For total fixed effect genetic variance explained, relevant when two or more marker terms are included—see below), we extracted the residuals from an initial model fit with dummy marker terms substituted with random genotypes to produce the same degrees of freedom as each model of interest and calculated the variance we subtracted this value to get the variance explained by the kinship matrix random effects term. In the model tests including fixed genetic effect terms, we subtracted this value from the total model variance explained to obtain the variance explained by the additive genetic effects of the markers. In the additive linear mixed model genotype variables were coded as $AA = -1$, $AB = 0$, $BB = 1$. Allele effects correspond to the regression coefficient. This is the expected absolute change in grain length for each B (non-reference) allele with twice this value equal to the expected grain length difference between the BB and AA homozygotes. This corresponds to the standard additive effects quantitative genetic model, where the dominance deviation $d$ is constrained to be $d = 0$ (co-dominant loci).

To perform a GWAS analysis for possible epistatic interaction effects with SNPs detected as highly significant in our single-marker scans, we modified further...
modified the EMMAX to allow specific markers to be specified on the command line as fixed model covariates. The modified EMMAX would then select these markers out of the input data and include the genotypes at these SNP(s) (more than one may be specified) as additive fixed-effect independent model terms in addition to markers being tested one-by-one in the genome-wide scan (the ‘scan marker’). Allele effect size, P value and PVE were reported for these terms in every test as well as for all genotypes values for the scan marker. As expected, fixed terms specified in the way generated similar allele effects, P values and PVEs as they did in their single marker test in the initial GWAS scan. Finally, we tested interactions of scan markers with these fixed covariate terms by modifying EMMAX source to calculate an epistatic interaction term as −1 for AA:AA; 0 for AA:BB and BB:BB and +1 for BB:BB; and 0 for any combination including a heterozygote genotype. This interaction term, as well as the independent effect term(s) for the specified markers, was inserted into the mixed-model fixed effect matrix (along with the scan marker). As with the independent covariate SNP terms, interaction effect size, P value and PVEs were output for all genome-wide tests.

Haplotype analysis across GS3 region. EHs spanning a 190 kb region flanking GS3 and the aox-specific peak in the region were constructed on the 1,146 O. sativa accessions from RDPI and 2 genotyped with the HDRA and phenotyped for grain height. The HDRA carries a total of 424 total SNPs in the 190 kb region. SNPs with a MAF > 0.05 and < 3% missing data were initially selected. SNPs were then filtered based on a frequency test; only SNPs with a significant frequency difference between the INMCA and JAPONICA varietal groups (P value cutoff of 1e−06). The final set of SNPs used in constructing the haplotype map in Fig. 6 consisted of 76 SNPs. The complete SNP data set for the region is available in Supplementary Data 5.

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Author contributions

S.R.M., M.H.W. and J.M. designed the experiments; M.H.W. designed the HDRA array and methodology for calling genotypes and quality control procedures; J.M., P.K., M.H.W., A.J.G., L.G.M. and S.R.M. analyzed and/or interpreted data; M.H.W., C.-W.T., G.D.C., F.A.P., P.R.K.-F. and Y.S. performed data management; C.-W.T. and N.S. performed genotyping; K.L.M., N.S., M.E.B.N., S.M.Q.M., S.E.H., H.L., K.E., M.Y., G.E. and A.M. provided germplasm and/or germplasm management; G.E. and M.F. performed phenotyping; G.D.C., F.A.P. and D.A.B. developed database and bioinformatics tools; S.R.M. and L.G.M. wrote the manuscript.

Additional information

Accession codes: SNP information has been deposited in the NCBI dbSNP database (batch ID 106204) and the GEO database (accession ID: GSE71553).

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Corrigendum: Open access resources for genome-wide association mapping in rice

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