Effectiveness of chitosan-propolis nanoparticle against *Enterococcus faecalis* biofilms in the root canal

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Abstract

Background To determine the antibacterial effect of chitosan-propolis nanoparticle (CPN) as an intracanal medicament against Enterococcus faecalis biofilm in root canal.

Methods 240 extracted human teeth were sectioned to obtain 6mm of the middle third of the root. The root canal was enlarged to an internal diameter of 0.9mm. The specimens were inoculated with E. faecalis for 21 days. Following this, specimens were randomly divided into eight groups ( n=30 ) according to the intracanal medicament placed: group I: saline, groupII: chitosan, group III: propolis100 µg/ml (P100), group IV: propolis 250 µg/ml (P250), group V: chitosan-propolis nanoparticle 100µg/ml (CPN100), group VI: chitosan-propolis nanoparticle 250 µg/ml (CPN250), group VII: calcium hydroxide(CH) and group VIII: 2% chlorhexidine (CHX) gel. Dentine shavings were collected at 200 and 400 µm depths, and total numbers of CFUs were determined at the end of day one, three and seven. The non-parametric Kruskal Wallis and Mann-Whitney tests were used to compare the differences in reduction of CFUs between all groups and probability values of P < 0.05 were set as the reference for statistically significant results. The scanning electron microscope (SEM) and confocal laser scanning microscopy (CLSM) were also performed after exposure to CPNs. The effectiveness of CPNs were also evaluated against E. faecalis isolated obtained from patients having failed root canal treatment.

Results Reduction in the number of colony-forming units was statistically significant in all groups compared to saline (p <.05). On day one and three, at 200 and 400-µm, CPN250 showed significant reduction of CFUs compared to all other groups (p <.05), while CPN100 was significantly better than other groups (p <.05) except CPN250 and CHX. On day seven, at 200-µm CPN250 showed significant reduction of CFUs compared to all other groups (p <.05) except CPN100 and CHX, while at 400 µm CPN250 showed similar effectiveness as CPN100, CH and CHX. SEM and CLSM images also showed the maximum
reduction of *E. faecalis* with CPN250.

Conclusion CPN250 was the most effective in reducing *E. faecalis* colonies on day one, three at both depths and at day seven CPN250 was equally effective as CPN100 and CHX.

**Background**

The primary objectives of root canal therapy are to remove infection and prevent reinfection in the root canal system [1]. Persistence of micro-organisms inside the root canal system is the most common reason for the failure of root canal therapy [2]. Microbiota in the root canal system are found in highly organized and complex entities known as biofilms [3,4]. The complexity, variability of root canal system along with the nature of biofilm makes the root canal disinfection extremely challenging [5, 6]. Bacteria in the biofilms are particularly resistant to treatment due to their resistance to penetration by anti-microbials and express more virulent phenotypes when growing inside biofilms than as planktonic forms [4]. Within a biofilm, a wide variety of bacteria are found forming a multi-species community however, *E. faecalis* has been one of the most persistent intraradicular infections compared with untreated chronic periapical periodontitis [7-9]. It is a gram-positive, facultative anaerobic bacterium that can survive in harsh conditions due to its ability to create biofilm, compete with other microorganisms, invade dentinal tubules, and resist nutritional deprivation [10-15]. Therefore, the successful outcome of endodontic treatment depends on controlling the intra-radicular microbial biofilm by effective instrumentation and disinfection using various irrigants/medicaments. Instrumentation alone cannot effectively debride the root canals specially due to the complex morphology of the root canal system [16], moreover, bacteria can penetrate deep into dentinal tubules upto 1500 µm of the root canal [17-19]. Conventional root canal formulations like gel, solution and other form of intracanal medicaments are inaccessible to bacteria because they have limited penetrability into the
dentinal tubules [20]. Though, a number of antibiotics and surfactants are being widely used in the treatment of biofilms however, the current trend is towards identification of natural products in disinfection. The flavonoids from propolis ethanolic extracts are proven to have antibacterial property [21]. Bees use propolis to reinforce their hive walls and protect the hives from infection. It is a green-brown, brown or black colour resinous, balsamic substance with sharp bitter flavour and a sweet, agreeable aroma. It is composed of resin, balsams, essential oils, flavonoids, phenols, aromatic compounds, wax, pollen, amino acids, vitamins and minerals [22]. Similarly, chitosan a cationic biopolymer has been of a great interest in the recent past mainly due to its low toxicity and bio-adhesive properties. It’s positive charge allows the complex formation with oppositely charged molecules, interacting readily with negatively charged compounds. Such complexes may be used as delivery systems for incorporating a number of bioactive compounds to reduce biofilm bacteria [23, 24]. Along with the disinfecting action, the size of nanoparticles plays an important role in the antibacterial activity. Studies have reported that smaller size particles show higher antibacterial activity than the macro scaled ones [25, 26]. Since most nanoparticles used in for treating biofilm mediated infections contain metals or drugs [27] nanoformulations with natural products may provide broader potential for therapy. Therefore, the aim of this study was to evaluate the antibacterial effect of such products including chitosan-propolis nanoparticle (CPN) against *E. faecalis* biofilm in root canal dentinal tubules at depths of 200 and 400 micrometers and compare with routinely used intracanal medicaments such as calcium hydroxide (CH) and chlorhexidine (CHX).

**Methods**

**Preparation of Ethanolic extracts of Malaysian propolis**

Malaysian propolis was collected from bee farm, Pahang, Malaysia with the following
geographical coordinates: north latitude 3.8126°, east latitude 103.3256° and height of 12 m above sea level. There was no permission required to collect Propolis.

The extraction method used in this study was similar to the method explained by Jacob et al. [28]. Propolis was manually cut into small pieces, 40 grams were weighed using a weighing balance (Pyrometro, Malaysia) and divided equally into four pieces of ten grams each. Just after, in a flask, 20% (w/v) extract of propolis was prepared using 80 % ethanol under constant agitation in a rotary shaker (Certomat Model S II, Sartorius, Goettingen, Germany) at 200 rpm, 37°C for 48 hours. This was later centrifuged (Eppendorf Model 5810 R, Hamburg, Germany) at 3000 rpm for 15 mins, filtered through Whatman no.1 filter paper and subjected to reduced pressure using a rotary evaporator (Buchi Rotavapor R-215, Flawil, Switzerland) at the set pressure 175 mBar, temperature 52 °C and speed 95 rpm to remove the solvent. The ethanolic extract of propolis was then stored in a glass container and left for three days to allow evaporation of the residual solvent resulting in extracts of propolis (final weight/initial weight x 100). Stock solutions of 1 mg/mL of the extracts were prepared to use in further experiments. Saline with 0.1% DMSO was used to prepare the stock solution of propolis. To study the content of Malaysian propolis, reversed phase high performance liquid chromatography (RP-HPLC) analysis was carried out. The flavonoids such as pinocembrin (5.90 µg/ml), kaempferol (5.88 µg/ml) and quercetin (1.43 µg/ml) were identified to be in the highest concentration in Malaysian propolis [29].

CPN were prepared by ionotropic gelation of chitosan with sodium TPP according to the method reported by Koukaras et al. [30]. Stock solutions of 0.2% w/v of chitosan and 0.15% w/v sodium TPP were prepared by mixing in 1% v/v acetic acid and distilled water, respectively. The pH of both solutions was adjusted to between pH 5.0 and 5.5 by adding acetic acid. The different concentrations of ethanol extract of propolis was dissolved in
chitosan solution with continuous stirring. The chitosan solutions containing propolis was added into the TPP solution and continuously stirred at 400-600 rpm at 37°C. The nanoparticles were formed spontaneously due to ionic interaction. Following this, the formed nanoparticles were separated by centrifugation at 11,000 rpm for 25 minutes and the supernatants were discarded. CPN were resuspended in purified water for further characterization.

In this study, CPN (0.2% w/v chitosan and 1 mg/mL propolis) was used with an average particle size of 107.74 ± 0.53 nm, zeta potential of 45.2, polydispersity index of 0.225, and encapsulation efficiency of 88.8%. The shape of nanoparticles was observed using transmission electron microscopy. It was spherical in shape with a smooth surface similar to study done by Ong et al. [29].

Microorganism

A single colony of *E. faecalis* (ATCC 29212) was used in this study. The medium used was tryptic soy broth (TSB) (BD DifcoTM, NJ, USA).

Dentine block specimens

In this study, the experiments were carried out in extracted human tooth model, a modification of Haapasalo & Orstavik tooth model in which bovine teeth were used. This provided a better simulation to clinical settings to assess the antibacterial effectiveness of intracanal medicaments in the dentinal tubules. This protocol is similar to study done by Chua et al. [31]

A total of 240 sound human teeth, including maxillary anterior teeth and mandibular canines with complete root formation were included in this study. The teeth were cleaned and stored in saline during all procedures to avoid dehydration. A low-speed diamond disc (Bredent®, Wittighausen, Senden, Germany) mounted on a milling machine under water cooling was used to section the teeth between cementoenamel junction and the apical
third of the root to obtain 6mm of the middle third of the root. Pesso Reamer no. 2 (Mani®, Utsunoniya, Tochigi, Japan) in a low-speed hand piece (Kavo, Charlotte, North Carolina, USA) was used to standardise the internal diameter of root canals to 0.9 mm (Appendix 1). The dentine blocks were subjected to sonic irrigation (EndoActivator, Dentsply, Weybridge, Surrey, UK) using 5.25% NaOCl (Clorox®, Oakland, California, USA) and then 17% EDTA (Calasept®, Nordiska Dental, Ängelholm, Skåne Country, Sweden) for two minutes to remove smear layer. The dentine block specimens were thoroughly rinsed with sterile saline after each irrigation. Following this, the dentine blocks were sterilised by autoclave (LTE®, Oldham, Lancashire, UK) at 121°C for 20 minutes. In order to prevent any contact of *E. faecalis* and medicament with the external surface, nail varnish was applied to the outer surface of the specimen. Petri dishes containing wax with a flat surface were prepared, and surface was disinfected using 70% ethanol and later air dried in a sterile biosafety cabinet before use. All experiments were done in the laminar hood after the ultraviolet sterilization. The dentine block specimens were placed upright with the apical ends fixed to the petri dishes with wax, using a thin small square of sterilised parafilm (Parafilm M®, Brand, Wertheim, Baden-Württemberg, Germany) obliterating the apical orifice to prevent any softened wax from entering the root canals.

*E. faecalis* Inoculation

*E. faecalis* were suspended in 20.0 ml of TSB. The cell suspension was adjusted to match the turbidity of 1.5x10^8 CFUs mL-1 (equivalent to 0.5 McFarland standards) (Appendix 1). The *E. faecalis* inoculum were transferred into the dentine block specimens using sterile 5.0mL syringes (Terumo®, Somerset, New Jersey, USA) with 30-gauge needles (Terumo, Somerset, New Jersey, USA) in a sterile laminar flow hood. The coronal part of the dentine blocks was then sealed immediately using parafilm (Parafilm M®, Brand, Wertheim, Baden-Württemberg, Germany). Following this inoculation, the dentine block specimens
were incubated for 21 days at 37°C. The *E. faecalis* inoculum was replenished every three
days to prevent the dead bacteria.

Intracanal medicament placement

Following the inoculation period, 240 dentine blocks were randomly divided into eight
groups (n=30) according to the intracanal medicament placed: group I: saline, group II:
chitosan, group III: propolis 100 µg/ml (P100), group IV: propolis 250 µg/ml (P250), group
V: chitosan-propolis nanoparticle 100 µg/ml (CPN100), group VI: chitosan-propolis
nanoparticle 250 µg/ml (CPN250), group VII: calcium hydroxide (CH) and group VIII: 2%
chlorhexidine gel (CHX) (Consepsis V®, Ultradent, UT, USA).

Each group was further divided into three subgroups based on the time period (day one,
three and seven) of the intracanal medicament placed. The intracanal medicaments were
placed in the canal using a sterile 5.0mL syringes (Terumo®, NJ, USA) and gel etchant
needle tip (Kerr®, CA, USA) until the canals were completely filled. Thereafter, the coronal
orifices were sealed using Parafilm (Parafilm M®, Brand, Wertheim, Germany). The blocks
were kept in incubator at 37°C for the experimental period of one, three and seven days.

Dentinal shavings collection

At the end of one, three and seven days, the dentine blocks were removed from the petri
dishes and the canals were dried with sterile paper points. Samples of dentinal shavings
were collected from all groups after one day of exposure, after three days of exposure and
after seven days of exposure. Dentinal shavings were collected using Pesso reamer
(Mani®, Utsunoniya, Tochigi, Japan) size no. 4 equivalent to 1.3 mm diameter followed by
size no. 6 equivalent to 1.7 mm diameter using a low speed handpiece (Kavo®, Charlotte,
North Carolina, USA). Only one stroke was made to standardize the volume of dentinal
debris collected.

Antimicrobial assessment
The collected dentinal shavings were transferred into a micro-centrifuge tube (Axygen, NY, USA) containing 1ml sterile TSB. A sterile microtip was used to take 100µl of broth containing dentinal shavings and transferred to another tube containing 900µl sterile TSB. The content of each tube was then serially diluted from $10^{-1}$ until $10^{-4}$. Subsequently, 300µl of the diluted dentinal shavings was streaked uniformly using a L-shaped glass rod and triplicated. These plates were incubated at 37°C for 24 hours. Following the incubation, the colonies were counted, and readings were tabulated.

Total numbers of CFUs were calculated to determine the remaining viable microbial population. The SPSS computer software version 21.0 (SPSS Inc., Chicago, Illinois, USA) was used to perform statistical analysis. Mean CFUs were compared between the groups and subgroups. Additionally, mean difference in CFUs between the groups based on different time periods and dentinal tubules depths was compared.

The data distribution was assessed for normality and was found that it did not follow a normal distribution. Therefore, non-parametric tests including Kruskal-Wallis test and Mann Whitney U test were used to compare CFUs between the groups and subgroups of intracanal medicaments and endodontic irrigants at different time periods and depths of dentinal tubules. Probability values of $p < 0.05$ were set as the reference for statistically significant results.

SEM Analysis

Dentinal blocks (n=3 per group) were prepared using the same method as mentioned above under the dentine block specimens for SEM analysis before and after treatment. *E. faecalis*; ATCC 29212 was cultured in 10 ml TSB added with 8% sucrose with pH 7.4 and a minimal amount of xylitol (0-2%) at 37°C for 48 hours. This broth was incubated at 37°C for 24 hours. After centrifugation using 4000 rpm for 15 minutes, each cell pellet was
washed thrice with sterile phosphate buffered solution (0.01 M, pH 7.2). Thereafter, it was re-suspended in 10 ml of the growth medium to adjust its concentration similar to 0.5 McFarland units (10^8 cells/mL) before use. The bacterial inoculum was mixed in five millilitres of TSB and transferred into to root canal using sterilised syringes for a period of 21 days. After 21 days, intracanal medicaments were placed according to the groups mentioned above. Two parallel grooves were created using a diamond disc onto the external surfaces of the dentin specimen in mesio-distal direction to facilitate a split fracture. Final splitting was done using chisel and hammer. Following this, all specimens were dehydrated in ascending grades of ethanol for 20 minutes each and immediately transferred into the pressure chamber of the critical point drying machine (CPD 30; Leica). All specimens were mounted on aluminium stubs using double-sided conductive tape and 30 nm-thick layer gold sputtering was done for two minutes. Following this, the specimens were examined using SEM (Philips/FEI XL30 FEG SEM) at an accelerated voltage of 5kV at different magnifications and images were evaluated. Different magnifications and images were observed to evaluate the qualitative reduction of E. faecalis. Four-score scale system based on percentage of residual isolated microbial cells was used to assess the microbial coverage on SEM images of the canal walls [32]. The scores were defined as clean dentine or residual isolated microbial cells covering less than 5% of the dentine, covering 5% - 33% of the dentine, 34% - 66% of dentine and 67% - 100% of the dentine.

CLSM Analysis

This analysis was conducted to evaluate the effectiveness of CPN250 and CPN100 as intracanal medicaments by assessing the viability profile. The proportion of live and dead bacteria was determined by fluorescent staining followed by imaging. The protocol used in this study was similar to done by Dawood et al. [33]

After the disinfection solution regimen, the specimen (n=1 in each group) was rinsed in
0.1% by weight fluorescein for 24 hours. Specimen were thereafter rinsed with deionised water and examined using CLSM (Leica Fluoview FV 1000, Olympus, Tokyo, Japan) equipped with a 60×/1.4 NA oil immersion lens using 488 nm argon/helium and a 633 nm krypton ion laser illumination in reflection as well as fluorescence modes. Reflected and fluorescence signals were detected using a photomultiplier tube to a depth of 20 μm and then converted to single-projection images for better visualisation and qualitative analysis. Stacks of fluorescent images of the biofilm were obtained and examined using BioimageL software (v.2.0. Malmö, Sweden). This software provides information on the structure of the biofilm, including green-stained indicating live bacteria and red-stained indicating dead bacteria and volume on a two-dimensional x-y section based on colour segmentation algorithms written in MATLAB.

*E. faecalis* isolates from patients with failed root canal treatment

Patient selection

Ten patients aged between 20 and 60 years were selected from those who attended the IMU Oral Health Centre, Kuala Lumpur, Malaysia, needing endodontic retreatment. A detailed medical and dental history were obtained from each patient. Patients who have systemic disease or have received antibiotic treatment during the last three months were excluded from the study to minimise any risk of bias. Ten teeth from ten different patients with failed root canal treatment were included in this experiment. Failure of root-canal treatment was determined on the basis of clinical examination such as presence of pain, tenderness, swelling, sinus opening and mobility and radiographical examinations such as persistence of periapical lesion and root resorption.

Sampling procedure

After explaining the complete process of investigation including the method of sample collection, a written informed consent was obtained. Thereafter, the retreatment
procedure was carried out. An access cavity was prepared under syringe irrigation using sterile high-speed diamond bur. Root-filling material was removed by rotary instrumentation and K-files (Dentsply-Maillefer, Ballaigues, Switzerland) in a crown-down technique without the use of chemical solvent, accomplished by irrigation with sterile saline. Following this, a sterile paper point (Dentsply-Maillefer, Ballaigues, Switzerland) was then introduced into the full length of the canal and retained in position for one minute for sampling. Culture procedure was done using the selective *E. faecalis* plates (Slanetz Bartley Agar (m-Enterococcus A.), Liofilchem, Italy) and the CFUs were grown. *E. faecalis* were suspended in 20.0 ml of TSB. The cell suspension was adjusted to match the turbidity of 1.5 x 10^8 CFUs mL-1 (equivalent to 0.5 McFarland standards). One ml of *E. faecalis* suspension was transferred into the Eppendorf tube and 50 microlitre of each medicament according to these eight groups Group I: Saline, Group II: Chitosan, Group III: P100, Group IV: P250, Group V: CPN100, Group VI: CPN250, Group VII: CH and Group VIII: 2% CHX were added in the suspension. After day one, three and seven, the content of each tube was serially diluted. 300µl of the diluted shavings was streaked evenly using a L-shaped glass rod and triplicated. Thereafter, these plates were incubated at 37°C for 24 hours, CFUs were counted and readings were tabulated.

**Results**

The control group showed viable *E. faecalis* at all experimental times, confirming the efficiency of the methodology.

On day one, at 200 μm depth of dentinal tubules, 1.7x10^2 CFUs were observed for CPN250 when compared to saline (4.8x10^7), 2% CHX (5.3x10^5), CPN100 (9.4x10^5), P250 (1.9x10^6), P100 (2.1x10^6), CH (3.3x10^6) and chitosan (1.0x10^7).

Similarly, at 400 μm depth of dentinal tubules, 5.5x10^2 CFUs were observed for CPN250.
when compared to saline (3.2x10^7), 2% CHX (1.0x10^6), CPN100 (1.3x10^6), P250 (2.0x10^6), CH (2.0x10^6), P100 (2.1x10^6) and chitosan (1.0x10^7).

On day three, at 200 µm depth of dentinal tubules, CPN250 showed 3.9x10^3 CFUs when compared to saline (3.5x10^7), 2% CHX (1.3x10^5), CPN100 (4.3x10^5), P250 (1.0x10^6), CH (1.1x10^6), P100 (1.2x10^6) and chitosan (4.1x10^6). Similarly, at 400 µm depth of dentinal tubules, CPN250 showed 1.4x10^3 CFUs when compared to saline (3.1x10^7), 2% CHX (2.8x10^5), CPN100 (5.9x10^5), P100 (1.1x10^6), P250 (1.1x10^6), CH (1.3x10^6) and chitosan (6.1x10^6).

On day seven, at 200 µm depth of dentinal tubules, CPN250 and CPN100 showed no colony when compared to 2% CHX (2.5x10^1), CH (1.8x10^3), P250 (3.1x10^3), P100 (4.4x10^3), saline (3.2x10^7) and chitosan (9.3x10^5).

On day seven at 400 µm depth of dentinal tubules, CPN250 showed no colony when compared to CPN100 (8.2x10^2), 2% CHX (5.5x10^2), CH (1.9x10^3), P250 (3.3x10^3), P100 (3.9x10^3), saline (2.8x10^7) and chitosan (8.9x10^5).

Comparison in between experimental groups on day one, three and seven at 200 µm and 400 µm are shown in Figure 1 and 2 to appreciate the reduction in CFUs.

On statistical analysis, the mean reduction in CFUs was found to be significant (p<0.05) in all the groups when compared to the saline group at all times and all depths. On day one and day three, at 200 and 400 µm depths of the dentinal tubules, CPN250 showed significant mean reduction of CFUs (p<0.05) when compared to all other groups. Furthermore, on day one and day three, at 200 and 400 µm, CPN100 showed statistically significant mean reduction of CFUs (p<0.05) when compared to other groups except CPN250 and 2% CHX (Table 1 and 2). However, on day seven, at 200 µm depth, CPN250
showed statistically significant mean reduction of CFUs when compared to all other groups (p <0.05) except CPN100 and 2% CHX while at 400 µm, no significant difference was observed in between CPN250, CPN100, CH and 2% CHX (Table 3).

Comparison of mean rank of all groups at day one, three and seven at 200 and 400 µm depths is shown in Table 4 and Table 5.

Therefore, among all three time intervals CPN250 and CPN100 were most effective at day seven when compared to day one and three.

SEM images verified the presence of thick biofilm of bacteria when treated with saline.

Maximum reduction of CFUs was observed with CPN250 and 2% CHX (>90 %) at 1, 3 and 7 days. CPN100, CH, P250 and P100 showed the presence of bacteria (40-50%) after 1 day however, it reduced (10-20%) after 7 days of exposure (Figure 3).

CLSM images also showed the amount of dead cells in dentin was highest with CPN 250 (almost 100 %) compared to CPN100 (>40%) and saline (all live cells) (Figure 4).

Antibacterial effect of CPN as an intracanal medicament in clinical samples

Reduction in the number of CFUs was statistically significant in all groups compared to the control group (p<0.05). On day one, three and seven CPN250 and CHX showed no growth of *E. faecalis* while CPN100, CH, P100 and P250 showed complete eradication after 3 and 5 days (Figure 5).

Discussion

The eradication of bacteria by endodontic treatment from the root canal has been reported as difficult mainly due to the root canal complexity and biofilm formation (6). The success of endodontic treatment depends on the chemomechanical disinfection that eliminates the vital or necrotic pulp tissue, kills microorganisms in the root canal system and disrupts microbial biofilm. This eliminates the etiological factors responsible for endodontic infection. Therefore, root canal instrumentation is always accompanied with
copious irrigation to achieve chemical, mechanical and biological effects [34]. Furthermore, the use of a biocompatible intracanal medicament having optimal antimicrobial effectiveness in-between appointments may reduce or eradicate bacteria in the root canal and thereby significantly increasing the successful endodontic outcome [35]. In this study the extracted tooth model developed by Haapasalo & Orstavik was modified to include natural human teeth as specimens, thereby provided a better simulation to the clinical settings to assess the efficacy of intracanal medicaments in the disinfection of dentinal tubules [36]. Mid root dentin blocks of the root canal were maintained to a standard of 6.0mm in height and 0.9mm in diameter to ensure placing a constant amount of bacteria during inoculation, and intracanal medicaments. The samples were tested at two depths of dentinal tubules, 200 µm and 400 µm, because intracanal medicament such as calcium hydroxide is known to penetrate only upto 200-300 µm [37].

The present study determined the effective duration of various intracanal medicaments tested at three different time intervals because time-dependent antimicrobial effect can be useful in clinical practice to efficiently disinfect the root canal system [38, 39]. *E. faecalis* was chosen as it has been one of the most prevalent microorganisms isolated from root canal failed cases and has been reported to range between 24% to 77% [7-9], moreover, it can penetrate deep into dentinal tubules and adheres to host cells or abiotic surfaces leading to biofilm formation [15]. In this study, the effectiveness of intracanal medicaments were assessed against the 21 days’ mature biofilm because it has been shown that mature *E. faecalis* biofilms in dentin canals at 21 days are more resistant to disinfecting solutions than young biofilms [40]. Quantitative analysis of bacteria in the dentine tubules was done to define a log reduction in CFU in infected dentine before and after the application of intracanal medicaments. CFU methodology has been widely used for microbiological analysis of bacteria inside the dentinal tubules. Although it was able to
provide a reading of the bacterial colony that had invaded the dentinal tubules, it was unable to analyse spatial distribution and viability of the bacteria. In the present study *E. faecalis* mono-species biofilm has been used which is in accordance with Swimberghe *et al.* [41] who presented an outline of laboratory root canal biofilm model systems and critically appraised the factors that constitute these models. The authors observed that most of the included studies (86%) used mono-species biofilm. *E. faecalis* was the most frequently used test species in 92% of the mono-species studies and 79% of all studies. Human dentine was the most frequently used substratum in 88% of the studies with different incubation time ranging from one to seventy days. Furthermore, bacterial culturing was found to be the most common quantification method used followed by microscopy techniques.

In the present study, CPN250 showed significant reduction of colony forming units compared to all other groups, however, on day 7 at 200 μm CPN100 and CHX showed similar effect as CPN250, while at 400 μm CPN100, CH and CHX showed similar effect as CPN250. This can be supported by the fact that reduction in the particle size of CPN 250 allows better penetration in to the dentinal tubules and enhances its efficacy [27, 42]. Del Carpio-Perochena *et al.* also found that incorporating nanoparticles could potentially be beneficial when using interappointment intracanal medications because of their ability to kill bacteria in short- and long-term exposure [43]. Furthermore, factors such as zeta potential, poly dispersity index, encapsulation of nanoparticles and rate of release of the active ingredients attribute to the antibacterial effectiveness. Zeta potential is referred to as surface electrostatic potential that strongly affects the stability of nanoparticles.

Typically, stabilised nanoparticles should have zeta potential of ±30 mV [44-46]. In the present study, CPN had high value (45.2 mV) of the zeta potential that allowed a stable and dispersed suspension which prevented the occurrence of aggregation of the
nanoparticles in a short period of time. Polydispersity index is an indicator of the size
distribution of nanoparticles. Polydispersity index of CPN in this study was found to be
0.225 ± 0.011 signifying a low size profile and homogenous distribution. A polydispersity
index that is equal to one signifies a solution having a broad and variable nanoparticle
size distribution [47]. In the present study, the encapsulation efficiency of CPN was found
to be 88% that represents the drug carrying capacity of nanoparticles.

The concentrations chosen for this research such as CPN 100 and CPN 250 correspond to
the minimum inhibitory concentrations and minimum bactericidal concentrations of
propolis used in other similar studies [29, 48, 49]. Additionally, Bueno-Silva et al. [50]
found the minimal inhibitory concentrations of propolis varying from 15.6 to 125 µg/mL
and bactericidal concentrations varying from 31.2 to 500 µg/mL. Furthermore,
concentration of propolis influences the effectiveness of CPN in reducing *E. faecalis* CFUs
as shown in this study. This finding is consistent with the study conducted by Pimenta et
al. [51] where 40% of Brazilian brown propolis was more effective than 20% of its
concentration against *E. faecalis*. Kim et al. [52] conducted a study to determine the
optimal concentration of Korean propolis against *Streptococcus mutans* and reported that
propolis at concentrations more than 35 µg/ml has antimicrobial activity against 90% of
mutans streptococci strains. Nonetheless, optimal concentration of brown propolis against
*E. faecalis* is not known yet [50]. However, other factors such as type of the raw material,
plant source, temperature zone, season, time and geographic location influence the
composition, characteristics and biological properties of propolis [53-55].

Seidel et al. [56] studied antibacterial activity of propolis from different climatic zones and
observed high antibacterial activity in propolis obtained from wet-tropical rainforest-type
climate. Bueno-Silva et al. [50] evaluated the effect of seasons on the chemical
antibacterial property and chemical composition of Brazilian red propolis. The authors
observed the highest antimicrobial activity of propolis collected in between January to May month, a period characterised by a tropical climate with rains and high relative humidity. They further suggested that the season of collection influences the quantitative chemical composition of propolis thereby affecting its biological properties.

Ethanol extracts of propolis showed high antibacterial property in this study. This could be due to presence of high level of flavonoids including pinocembrin, kaempferol and quercetin in Malaysian propolis. Similarly, Chaillou and Nazareno [57] demonstrated strong antimicrobial activity of Argentian propolis due to the presence of high content of pinocembrin, a dihydroxy flavanone in propolis. Pinocembrin, quercetin, kaempferol and other flavonoids act on the microbial membrane or cell wall site, causing functional and structural damages [21,22, 35].

Though, P100 and P250 in this study showed antibacterial effect but it was not as effective as CPN that can be explained due to their poor penetrability in the dentinal tubule but when prepared as nanoparticles it enhances drug stability, treatment efficacy and penetration power compared to a pure drug solution [42, 43].

In this study, 2% CHX gel showed higher effectiveness than other groups except CPN250 against E. faecalis at dentinal tubule depths of 200 and 400µm on day one, three and seven. This is in accordance with studies conducted by Kandaswamy et al. [35], Neelkantan et al. [38] and Gomes et al. [58] where 2% CHX has been reported to be more effective than CH against E. faecalis. 2% of CHX gel is bactericidal and remains in contact with dentinal tubules showing property of substantivity which inhibits re-infection for a duration of at least 12 weeks [59, 60].

CH showed less effectiveness than CPN and 2% CHX on day one and three at both depths however, it was as effective as CPN and 2% CHX on day seven. CH releases hydroxyl ions resulting in a highly alkaline environment that damages the microbial cytoplasmic
membrane, inhibits enzyme activity and disrupts the cellular metabolism of microorganisms [61]. These results are in accordance with other studies where CH was found to be less effective than CHX [38, 58] and propolis [62] against *E. faecalis*.

Evans *et al.* [63] have demonstrated various mechanisms involved in the resistance of *E. faecalis* to calcium hydroxide such as proton pump activity of *E. faecalis* that offers resistance to high pH of Calcium hydroxide. In this study, chitosan alone was not effective against *E. faecalis* however, as a carrier for CPN it showed the best results. Chitosan is a natural cationic polysaccharide derived by N-deacetylation of chitin exhibiting adhesiveness, biocompatibility and biodegradability [37,38] therefore can be used in various endodontic applications [64].

This research project is one of its kind as CPN has never been tested before. Furthermore, in this research, along with the extracted teeth, *E. faecalis* isolates were obtained from patients with failed root canal treatment to evaluate the effectiveness of CPN.

For further analysis, this study has used SEM for all groups and CLSM only for saline, CPN100, CPN250 as intracanal medicament. This is the first project in which CLSM was performed to evaluate the antibacterial effectiveness of CPN and saline. However, CLSM analysis for the remaining groups can be conducted in future.

Future recommendations

The antimicrobial effectiveness of CPN250 as an intracanal medicament should be evaluated against polymicrobial biofilm and its disruption in future studies. To further strengthen the evidence, future animal studies and clinical trials are warranted. The antimicrobial effect of CPN250 can also be compared with other nanoparticles such as silver and gold in future studies.

Antimicrobial activity of Malaysian propolis has not been studied in depth therefore, further research is required to understand and elucidate its mechanism of action,
especially at the cellular level.

**Conclusion**

CPN250 was the most effective in reducing *E. faecalis* CFUs on day one and day three at both 200 and 400 μm dentinal tubule depths and at day seven CPN250 was equally effective as CPN100 and 2% CHX. Therefore, CPN250 can be proposed as a potential intracanal medicament to be used in future.

CPN100 was more effective in reducing *E. faecalis* CFUs than saline, chitosan, P100 and P250, on day one, three and seven at both depths and at day seven, CPN100 was equally effective as CPN 250, CH and 2% CHX.

CPN250 and CPN100 as intracanal medicaments were the most effective on day seven in reducing *E. faecalis* CFUs when compared to day one and day three.

Additionally, CPN250 and CPN100 were found to be effective as intracanal medicaments in reducing *E. faecalis* isolates obtained from patients with failed root canal treatment.

**List Of Abbreviations**

| Abbreviation | Description |
|--------------|-------------|
| CFU          | Colony Forming Units |
| CH           | Calcium Hydroxide |
| CHX          | Chlorhexidine |
| CLSM         | Confocal Laser Scanning Microscopy |
| CPN100       | Chitosan-Propolis Nanoparticle 100 μg/ml |
| CPN250       | Chitosan-Propolis Nanoparticle 250 μg/ml |
| *E. faecalis*| *Enterococcus faecalis* |
| P100         | Propolis 100 μg/ml |
| P250         | Propolis 250 μg/ml |
| SEM          | Scanning Electron Microscope |
Declarations

**Ethics approval and consent to participate**

This study was approved by the IMU Joint-Committee on Research and Ethics and written consent was obtained from patients to participate in this study.

**Consent to publish**

Consent to publish is not applicable to this manuscript.

**Availability of data and materials**

The data analyzed during this present study are available from corresponding author on request.

**Competing interests**

The authors declare that they have no competing interests.

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**Authors’ contributions**

AP conceived the original idea, designed and performed the experiment. HK, SR and AP supervised, contributed in the interpretation of the results and helped in writing the manuscript. All authors have read, reviewed and approved the manuscript.

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Tables

Table 1 Mean difference in CFUs between the groups on day one at 200 and 400 µm depth.

| Groups   | Saline | Chitosan | P 100  | P 250  | CPN 100 | CPN 250 | CH    | 2% CHX |
|----------|--------|----------|--------|--------|---------|---------|-------|--------|
|          |        |          |        |        |         |         |       |        |
| Saline   |        |          | 2.52 x10^6* | 3.31 x10^6* | 3.33 x10^6* | 3.44 x10^6* | 3.53 x10^6* | 3.20 x10^6* | 3.48 x10^6* |
| Chitosan |        |          |        | 7.92 x10^5* | 8.10 x10^5* | 9.13 x10^5* | 1.00 x10^6* | 6.76 x10^5* | 9.54 x10^5* |
| P100     |        |          |        |        | 1.77 x10^4 | 1.21 x10^5* | 2.15 x10^5* | -1.15 x10^5 | 1.61 x10^5* |
| P250     |        |          |        |        |          | 1.03 x10^5* | 1.97 x10^5* | -1.33 x10^5 | 1.43 x10^5* |
| CPN100   |        |          |        |        |          |         | 9.42 x10^4* | -2.37 x10^5* | 4.02 x10^4* |
| CPN250   |        |          |        |        |          |          |          | -3.31 x10^5* | -5.39 x10^4* |
| CH       |        |          |        |        |          |          |          |           | 2.77 x10^5* |
| 2% CHX   |        |          |        |        |          |          |          |           |          |


Table 1 Mean difference in CFUs between the groups on day one at 200 and 400 \(\mu\)m depth continued.

| Groups   | Saline | Chitosan | P 100 | P 250 | CPN 100 | CPN 250 | CH    | 2% CHX |
|----------|--------|----------|-------|-------|----------|----------|-------|--------|
| Saline   | -      | 2.23 \(\times\) 10^6* | 3.07 \(\times\) 10^6* | 3.08 \(\times\) 10^6* | 3.16 \(\times\) 10^6* | 3.29 \(\times\) 10^6* | 3.09 \(\times\) 10^6* | 3.19 \(\times\) 10^6* |
| Chitosan | -      | -        | 8.45 \(\times\) 10^5* | 8.56 \(\times\) 10^5* | 9.26 \(\times\) 10^5* | 1.06 \(\times\) 10^5* | 8.60 \(\times\) 10^5* | 9.59 \(\times\) 10^5* |
| P100     | -      | -        | -     | 1.00 \(\times\) 10^4 | 8.06 \(\times\) 10^4* | 2.17 \(\times\) 10^5* | 1.41 \(\times\) 10^4 | 1.13 \(\times\) 10^5* |
| P250     | -      | -        | -     | -     | 7.05 \(\times\) 10^4* | 2.07 \(\times\) 10^5* | 4.02 \(\times\) 10^3 | 1.03 \(\times\) 10^5* |
| CPN100   | -      | -        | -     | -     | -        | 1.37 \(\times\) 10^5* | -6.65 \(\times\) 10^4* | 3.28 \(\times\) 10^4* |
| CPN250   | -      | -        | -     | -     | -        | -        | -2.03 \(\times\) 10^5* | -1.04 \(\times\) 10^5* |
| CH       | -      | -        | -     | -     | -        | -        | -     | 9.94 \(\times\) 10^4* |
| 2% CHX   | -      | -        | -     | -     | -        | -        | -     | -      |

* statistically significant (\(p<0.05\)), the number denotes mean difference of CFUs between the group in the row and the group in the column (- value denotes the group in the row is better than the group in the column)

Table 2 Mean difference in CFUs between the groups on day three at 200 and 400 \(\mu\)m depth.

| Groups   | Saline | Chitosan | P 100 | P 250 | CPN 100 | CPN 250 | CH    | 2% CHX |
|----------|--------|----------|-------|-------|----------|----------|-------|--------|
| Saline   | -      | 3.11 \(\times\) 10^6* | 3.41 \(\times\) 10^6* | 3.42 \(\times\) 10^6* | 3.49 \(\times\) 10^6* | 3.53 \(\times\) 10^6* | 3.41 \(\times\) 10^6* | 3.52 \(\times\) 10^6* |
| Chitosan | -      | -        | 2.90 \(\times\) 10^5* | 3.05 \(\times\) 10^5* | 3.71 \(\times\) 10^5* | 4.15 \(\times\) 10^5* | 2.97 \(\times\) 10^5* | 4.02 \(\times\) 10^5* |
| P100     | -      | -        | -     | 1.50 \(\times\) 10^4 | 8.10 \(\times\) 10^4* | 1.24 \(\times\) 10^5* | 6.36 \(\times\) 10^3 | 1.11 \(\times\) 10^5* |
| P250     | -      | -        | -     | -     | 6.60 \(\times\) 10^4* | 1.09 \(\times\) 10^5* | -8.67 \(\times\) 10^4 | 9.63 \(\times\) 10^4* |
| CPN100   | -      | -        | -     | -     | -        | 4.35 \(\times\) 10^4* | -7.46 \(\times\) 10^4* | 3.03 \(\times\) 10^4* |
| CPN250   | -      | -        | -     | -     | -        | -        | -1.18 \(\times\) 10^5 | -1.31 \(\times\) 10^4* |
| CH       | -      | -        | -     | -     | -        | -        | -     | 1.05 \(\times\) 10^5* |
| 2% CHX   | -      | -        | -     | -     | -        | -        | -     | -      |
Table 2 Mean difference in CFUs between the groups on day three at 200 and 400 µm depth continued.

| Groups    | Saline | Chitosan | P 100    | P 250    | CPN 100 | CPN 250 | CH  | 2% CHX |
|-----------|--------|----------|----------|----------|---------|---------|-----|--------|
| Saline    | -      | 2.56 X10^6* | 3.06 X10^6* | 3.07 X10^6* | 3.12 X10^6* | 3.18 X10^6* | 3.05 X10^6* | 3.15 X10^6* |
| Chitosan  | -      | 5.02 X10^5* | 5.04 X10^5* | 5.59 X10^5* | 6.18 X10^5* | 4.85 X10^5* | 5.90 X10^5* | -       |
| P100      | -      | -        | 2.46 X10^3 | 5.72 X10^4+ | 1.16 X10^5* | -1.68 X10^4 | 8.83 X10^4* | -       |
| P250      | -      | -        | -         | 5.47 X10^4* | 1.14 X10^5* | -1.92 X10^4 | 8.59 X10^4* | -       |
| CPN100    | -      | -        | -         | -         | 5.92 X10^4* | -7.40 X10^4* | 3.11 X10^4* | -       |
| CPN250    | -      | -        | -         | -         | -         | -1.33 X10^5* | -2.80 X10^4* | -       |
| CH        | -      | -        | -         | -         | -         | -         | -       | -      |
| 2% CHX    | -      | -        | -         | -         | -         | -         | -       | -      |

* statistically significant (p<0.05), the number denotes mean difference of CFUs between the group in the row and the group in the column (- value denotes the group in the row is better than the group in the column)

Table 3 Mean difference in CFUs between the groups on day seven at 200 and 400 µm depth.

| Groups    | Saline | Chitosan | P 100    | P 250    | CPN 100 | CPN 250 | CH  | 2% CHX |
|-----------|--------|----------|----------|----------|---------|---------|-----|--------|
| Saline    | -      | 3.14 X10^6* | 3.23 X10^6* | 3.23 X10^6* | 3.24 X10^6* | 3.24 X10^6* | 3.23 X10^6* | 3.23 X10^6* |
| Chitosan  | -      | -        | 9.33 X10^4* | 9.35 X10^4* | 9.38 X10^4* | 9.38 X10^4* | 9.36 X10^4* | 9.38 X10^4* |
| P100      | -      | -        | 1.32 X10^2 | 4.42 X10^2* | 4.42 X10^2* | 2.55 X10^2* | 4.40 X10^2* | -       |
| P250      | -      | -        | -         | 310*      | 3.10 X10^2* | 1.22 X10^2 | 3.07 X10^2* | -       |
| CPN100    | -      | -        | -         | -         | 0        | -1.87 X10^2* | -2.5  | -       |
| CPN250    | -      | -        | -         | -         | -        | -1.87 X10^2* | -2.5  | -       |
| CH        | -      | -        | -         | -         | -        | -         | -       | 1.85 X10^2* |
| 2% CHX    | -      | -        | -         | -         | -        | -         | -       | -      |
Table 3 Mean difference in CFUs between the groups on day seven at 200 and 400 µm depth continued.

Mean difference in CFUs day seven at 400 µm depth

| Groups       | Saline     | Chitosan   | P 100     | P 250     | CPN 100   | CPN 250   | CH  | 2% CHX |
|--------------|------------|------------|-----------|-----------|-----------|-----------|-----|--------|
| Saline       | -          | 3.10 X10^6* | 3.19 X10^6* | 3.19 X10^6* | 3.19 X10^6* | 3.19 X10^6* |     |        |
| Chitosan     | -          | -          | 8.93 X10^4* | 8.93 X10^4* | 8.97 X10^4* | 8.96 X10^4* |     |        |
| P100         | -          | -          | -          | 6.00       | 3.95 X10^2+ | 3.12 X10^2* | 2.00 X10^2 | 3.40 X10^2+ |
| P250         | -          | -          | -          | -          | 3.35 X10^2+ | 2.52 X10^2* | 1.40 X10^2 | 2.80 X10^2* |
| CPN100       | -          | -          | -          | -          | -          | -1.95 X10^2* | -55  |        |
| CPN250       | -          | -          | -          | -          | -          | -1.12 X10^2 | 27.5 |        |
| CH           | -          | -          | -          | -          | -          | -          | -    | 1.40 X10^2 |
| 2% CHX       | -          | -          | -          | -          | -          | -          | -    |        |

* statistically significant (p<0.05), the number denotes mean difference of CFUs between the group in the row and the group in the column (- value denotes the group in the row is better than the group in the column)

Table 4 Comparison of mean rank in between groups at day one, three and seven, 200 µm.

| Time | Group | Saline | Chitosan | P100 | P250 | CPN100 | CPN250 | CH | 2% CHX | p value |
|------|-------|--------|----------|------|------|--------|--------|-----|--------|---------|
| Day 1 | 75.50 | 65.50  | 47.80    | 43.70| 24.50| 19     | 45.50  | 20.90| <0.001 |
| Day 3 | 75.50 | 65.50  | 48.80    | 42.20| 25.20| 5.50   | 45.50  | 16.50| <0.001 |
| Day 7 | 75.50 | 65.50  | 47.30    | 42.20| 19   | 5.50   | 34.60  | 15.80| <0.001 |

p<0.001=statistically significant

Table 5 Comparison of mean rank in between groups at day one, three and seven, 400 µm.

| Time | Group | Saline | Chitosan | P100 | P250 | CPN100 | CPN250 | CH | 2% CHX | p value |
|------|-------|--------|----------|------|------|--------|--------|-----|--------|---------|
| Day 1 | 75.50 | 65.50  | 46.50    | 44.20| 25.80| 24.55  | 42.80  | 23.30| <0.001 |
| Day 3 | 75.50 | 65.50  | 44.65    | 43.35| 24.50| 5.50   | 48.20  | 18.20| <0.001 |
| Day 7 | 75.50 | 65.50  | 44.05    | 40.30| 19   | 5.50   | 31.80  | 16.80| <0.001 |

p<0.001=statistically significant
Figures

**Figure 1**

Comparison of CFUs in between experimental groups on day one, three and seven at 200 µm
Figure 2

Comparison of CFUs in between experimental groups on day one, three and seven at 400 µm
Figure 3

SEM images of all groups showing reduction in E. faecalis except saline group showing large amounts of E. faecalis. On day one, three and seven saline group showed the highest E. faecalis coverage of 67-100 % on SEM images of the canal wall. On day one, CPN250 and 2% CHX showed the least E. faecalis coverage of 5-33% while CH showed 34-66%. On day three CPN250, 2% CHX and CPN100 showed the least E. faecalis coverage of less than 5% while CH showed 5-33%.

On day seven, CPN250, CPN100, 2%CHX and CH showed less than 5% of E. faecalis coverage.
CLSM of E. faecalis infected dentinal blocks treated by saline (control), CPN100 and CPN250 after viability staining. CLSM image depicting green fluorescent staining indicating live bacteria in saline group, mix of green and red fluorescent staining indicating live bacteria and dead bacteria in CPN100 group and complete red fluorescent staining indicating all dead bacteria in CPN250.

Comparison of CFUs in-between CPN and other intracanal medicaments on day one, three and seven against E. faecalis isolates from patients with failed root canal treatment.
