Role of Endoscopy in Primary Sclerosing Cholangitis

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INTRODUCTION

Primary sclerosing cholangitis (PSC) is a progressive disease of the bile ducts that usually results in chronic liver disease often requiring liver transplantation. Endoscopy remains crucial to the care of these patients, although magnetic resonance cholangiopancreatography has replaced endoscopic retrograde cholangiopancreatography (ERCP) as the primary imaging modality for diagnosis. For detection of dysplasia or cholangiocarcinoma, ERCP with intraductal sampling remains compulsory. Moreover, dominant strictures play an important part in the disease development, and management by balloon dilatation or stenting could contribute to long-term prognosis. In addition, endoscopy offers management for adverse events such as bile leaks and anastomotic strictures after liver transplantation. Finally, the special phenotype of inflammatory bowel disease associated with PSC as well as the frequent occurrence of portal hypertension mandates close follow-up with colonoscopy and upper endoscopy. With the emergence of novel techniques, the endoscopist remains a key member of the multidisciplinary team caring for PSC patients.

Keywords: Cholangiocarcinoma; Endoscopic retrograde cholangiopancreatography; Endoscopy; Primary sclerosing cholangitis
DIAGNOSIS OF PSC

PSC is most often diagnosed during surveillance of liver function tests in subjects (particularly young males) with ulcerative colitis. Less frequently, patients may present with suggestive symptoms, particularly itching and/or jaundice and fatigue. In this setting, abdominal ultrasound is often performed, but subsequent magnetic resonance cholangiopancreatography (MRCP) is usually indicated to detect and better delineate bile duct changes.

The typical radiological findings of PSC include multiple short strictures of intra- and/or extrahepatic bile ducts causing a bead-like appearance (Fig. 1). The utility of MRCP assessment in disease prognostication at diagnosis is promising. With typical MRCP findings, additional imaging measures for the diagnosis of PSC are rarely needed, although mimicking conditions resulting in secondary sclerosing cholangitis may need to be excluded (Table 1).

Comparative studies have shown MRCP to be comparable to ERCP in the primary diagnosis of PSC and is generally sufficient. ERCP is only required in very select cases for imaging and diagnosis. If the index of suspicion is high but the MRCP is inconclusive, or is contraindicated, ERCP may add accuracy to the ductal delineation, although liver biopsy may offer better assessment of parenchymal and small duct disease. Moreover, MRCP is sometimes suboptimal, due to technical challenges, lack of expertise, or artefacts, some of which may be sufficiently addressed by repeating the MRCP or utilizing a better quality instrument such as 3 Tesla magnetic resonance imaging. Finally, the occasional patient may not be able to undergo MRCP due to claustrophobia or the presence of metallic implants. In these select cases, ERCP should be considered to establish the diagnosis, given the important long-term consequences for the patient.

Secondary sclerosing cholangitis may be indistinguishable from PSC radiologically, and prove to be a diagnostic challenge (Table 1). In these cases, clinical history and other adjunctive diagnostic modalities, including serology or liver biopsy, may be helpful. ERCP rarely adds to the diagnostic matrix in these cases, but the distinction toward alternative explanations of the biliary changes is obviously important since the prognosis as well as the therapeutic options will be quite different. In addition, the concern of subsequent development of CCA appears to be limited to the PSC patients as none of the other conditions have been shown to be associated with cancer development.

In small duct PSC the ducts are macroscopically normal, so the diagnosis, by definition, is not picked up by MRCP or ERCP, but rather by liver biopsy when the index of suspicion is high. Some of these patients will later develop classic PSC ductal changes, particularly those with the concomitant inflammatory bowel disease (IBD) phenotype.

Thus, while ERCP may be of importance to diagnose concomitant disease or complications related to PSC (stones, casts, CCA, postoperative complications), its role in primary diagnostics and differential diagnoses is limited.

SURVEILLANCE OF PSC

Development of CCA is a major concern in PSC patients, with a lifetime risk of 10%–20%. Up to 50% of CCA develop in the first year of the diagnosis of PSC. Compared to an age-adjusted normal population, the risk is 400-fold increase in PSC patients. PSC patients are also at an increased risk of gallbladder malignancies and hepatocellular cancer.

The use of ERCP primarily for CCA surveillance purposes is controversial and is not routinely offered in most centers. Rather, most centers rely on a combination of regular assessment with clinical status, non-interventional imaging, liver biochemistry, and serum CA-19-9 levels, as outlined in current guidelines. The success of this strategy in the early detection of CCA is poor, but the expense and risk of regular ERCP in asymptomatic patients precludes its use as a surveillance tool. Usually, clinical and/or biochemical deterioration leads to MRCP which may or may not show a worsening of ductal changes. Conversely, the presence of ductal disease, even severe disease, by MRCP in the absence of clinical and biochemical changes is typically an insufficient indication for en-
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Endoscopic intervention; instead, it is the combination of ductal changes with clinical or biochemical worsening that mandates intervention. In addition to ERCP, other imaging, including computer tomography and positron emission tomography may be warranted to detect mass lesions, pathological lymph nodes, or other non-ductal pathology.

Thus, when ERCP is required in the investigation for possible CCA, it is primarily the combined utility of additional diagnostics, such as intraductal sampling and cholangioscopic assessment, that justifies the procedure, while contrast-based cholangiography rarely adds to the conclusions of a high-quality MRCP in this setting.

Intraductal sampling, typically of new or worsening strictures, has traditionally been based on brush cytology. This method is well established, usually easy to perform, and should be considered mandatory. However, the sensitivity for brush cytology alone is probably below 50%, although better results have been reported recently. Novel ways to score cytological findings have been proposed that may increase sensitivity, but negative results mandate close monitoring and/or repeat sampling. However, the specificity of brush cytology is high and the finding of dysplastic cells has important prognostic significance. The dysplasia-carcinoma sequence is so well established in this population that referral for evaluation for transplantation based on dysplastic duct cytology alone prior to the development of CCA should be strongly considered.

The addition of fluorescence in-situ hybridization (FISH) analysis of the brush material may add to the diagnostic value, but the final role of this quite labor-intensive technique is still undefined, and the added accuracy is probably moderate. Interestingly, in a study of serial FISH analyses in PSC patients, > 50% of positive FISH results reverted to normal in subsequent analyses, mandating caution in assessment based on positive FISH alone. Recently, 346 biliary brushings and biopsies were studied by gene sequencing with a CCA-specific panel, showing a sensitivity of 73%, with 100% specificity for CCA.

Other potential ancillary diagnostics derived from ERCP include biliary aspirate and fluoroscopy-guided transpapillary biopsies. The latter may be of value for the diagnosis of CCA in general, but the added value when brush cytology is also performed appears limited. In addition, it should be noted that the specific anatomy in PSC patients limits the applicability of transpapillary forceps biopsies markedly, allowing access to distal strictures only. Bile aspirate may add to the cytological assessment, although it is rarely sufficient to make a diagnosis of cancer; however, novel tumor marker characterization in aspirated bile holds some promise.

### Cholangioscopy

Although endoluminal direct imaging of the bile ducts has been available for decades by so-called "mother-baby” dual endoscopy techniques, the cost, complexity, and fragility of the instruments have precluded mainstream application. The introduction of the single operator cholangioscope (SpyGlass™ Direct Visualization System [Boston Scientific, Marlborough, MA, USA]) has been a game-changing technology, particularly in its revised digital version, rapidly establishing a

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**Table 1. Primary Sclerosing Cholangitis and Other Conditions with Similar Cholangiographic Findings (Adapted from European Society of Gastrointestinal Endoscopy)**

| Diagnosis                          | Cholangiographic features                                                                 | Additional factors                                      |
|-----------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------|
| PSC                               | Multifocal intra- and extrahepatic strictures “beaded” appearance, diverticular outpouchings, diffuse distribution | Male, young age debut, concomitant IBD                  |
| Infectious/ascending cholangitis   | Multiple intrahepatic bile duct strictures, stones, biliary abscesses                        | Anatomic variants, previous EPT, hepaticojenostomy/duodenostomy |
| Ischemic cholangitis               | Proximal intrahepatic bile duct strictures, bile duct necrosis, bilomas, abscesses, biliary casts | Hepatic surgery, vascular anastomoses, ischemic disasters |
| Caustic/toxic cholangitis          | Proximal regional intrahepatic bile duct strictures, bile duct necrosis, bilomas, abscesses, biliary cast | Topical chemotherapy, hydatid disease therapy, cyst ablation injection therapy |
| Immunodeficiency-related cholangitis| Stricture of the distal common bile duct, papillitis, acalculous cholecystitis               | AIDS, CVID, other immunodeficiency                      |
| IgG4-related cholangitis           | Multifocal central bile duct strictures, bile duct wall thickening with visible lumen       | Autoimmune pancreatitis, sialadenitis, sclerosing mesenteritis, IBD |

AIDS, acquired immunodeficiency syndrome; CVID, common variable immunodeficiency; EPT, endoscopic papillotomy; IBD, inflammatory bowel disease; PSC, primary sclerosing cholangitis.
role in the negotiation of complex pancreatobiliary situations (Fig. 2A, B). Visual assessment as well as directed histology sampling with the minute SpyBite™ biopsy forceps (Boston Scientific) are promising techniques in the characterization of biliary strictures.

For PSC assessment specifically, the evidence is as yet limited. Most studies report biliary strictures in general, sometimes with a small subgroup of PSC patients, and again, the ductal aberrations with multiple narrow strictures limit the visualization as well as the navigation with the cholangioscope. However, the visualization of tiny ducts also facilitates wire access to otherwise difficult segments of the biliary tree.

Majeed and co-workers reported their extensive PSC material from Sweden, where sequential diagnostic modalities were applied. A total of 209 transplanted PSC patients were assessed, and despite the selection bias of this inclusion, the explanted livers offered superb gold standard for the definite diagnosis. Single brushing had a sensitivity of 57% for either CCA or high-grade dysplasia, while the addition of FISH increased this to 84%, repeated brushings to 82%, and repeated brushings plus cholangioscopy, which would be the typical scenario, had a sensitivity of 100% in this study.

Looking at transplanted patients only may not tell the whole story. Indeed, in another recent report from the Mayo Clinic, Kaura et al. found an added utility of FISH and cholangioscopy, but with a combined sensitivity of only 69.2% and no added value of single-operator cholangioscopy-guided biopsies for the subgroup with PSC (36 of the 92 included patients).

Visual assessment of indeterminate strictures may also add to the diagnostic process and even more with newer generation cholangioscopes. At this stage, more experience and data are needed to accurately utilize this information, and for now, and parallel to other GI tract diagnostics, histological examination of tissue is still required. Fortunately, the current cholangioscope offers targeted biopsies of worrisome strictures rather than blind brushings. In a recent prospective trial of 61 patients, cholangioscopic assessment of indeterminate strictures significantly impacted the surgical decision-making regarding resection and transplantation. This was true even among the subgroup with PSC, despite a sensitivity of only 52%–63.6% of combined visualization and biopsy, likely due to the high specificity (100%) and a relatively high negative predictive value (83.6%). In another retrospective trial including 40 PSC patients, the sensitivity was 64%, with a lower accuracy than brush cytology, and with a limited clinical impact (change of management in 17% of patients only). Fortunately, the SpyBite™ forceps has been recently improved, and better sample quality is to be expected in the near future.

Other ancillary techniques

Endoscopic ultrasound (EUS) is well established for hepatobiliary diagnostics, and intraductal ultrasound (IDUS), confocal laser endomicroscopy (CLE), and optical coherence tomography (OCT) have been introduced for probe-based
mural imaging of the bile duct. Encouraging data have been reported in small series, but they still remain largely experimental emerging mainly from expert centers, while data on PSC are scarce or non-existent. Accordingly, the recent guidelines from the Endoscopic Society for Gastrointestinal Endoscopy (ESGE) and the European Association for the Study of the Liver (EASL) do not recommend the use of these techniques in routine PSC work.9

The abovementioned techniques have mainly been used for the evaluation of indeterminate strictures. EUS is excellent for suspected stone disease and suspected ampullary tumors. However, in a small study from Australia, EUS with fine needle aspiration was also able to accurately characterize indeterminate strictures in 23/40 patients, avoiding costly subsequent cholangioscopic procedures in almost half of the patients.30 Adding EUS sampling to ERCP tissue acquisition may also be of value, particularly if a mass lesion is observed.31

IDUS has been in use for a long time but it has not gained widespread popularity. Despite this, a potential role for IDUS in the difficult distinction between PSC and IgG4-related disease has been suggested.32 With regard to CLE and OCT, these are both methods in development, in terms of technology, as well the interpretation and classification of images.33-36 Combining CLE with cholangioscopic sampling may represent a way to speed up stricture characterization.37 Rapid improvement in computer-based imaging in general is likely to improve the output of these methods in the future,35 although the cost remains a concern.

BILIARY TREATMENT

Dominant strictures

Dominant strictures (DS) play a considerable role in the decision making in PSC patients. Traditionally, DS have been defined as “stenosis of the common bile duct with a diameter of ≤ 1.5 mm and/or stenosis of the hepatic duct with a diameter of ≤ 1.0 mm.”38 In a Scandinavian prospective study with a 10-year follow-up, extrahepatic and intrahepatic DS were seen in 24% and 18% of the patients, respectively.39 In another study from the UK with a mean follow-up period of 10 years, 63% of the patients presented with DS.40 The variability of these estimates demonstrates the difficulties with the current DS definition. Moreover, the definition fails to take into consideration the upstream and downstream caliber of the ducts, and also the role of biochemical or clinical consequences of documented DS.

DS are associated with worse outcomes, partly via the complications of obstruction, particularly septic cholangitis, partly via their strong association with the development of CCA.40 Consequently, both diagnostic and therapeutic measures are taken when DS are diagnosed. The management of DS is the primary role of ERCP in PSC patients. The short term benefits of stricture therapy in relieving obstruction and improving biochemistry are well documented, although the long-term consequences in terms of (transplantation free) survival remain somewhat obscure. No randomized trial will ever be conducted to answer this question, and model-based comparisons indicating a survival benefit41 are debatable.42 Intermittent major episodes of obstruction and inflammation contribute further to deterioration of liver function and hasten the time to cirrhosis. Some centers have proposed scheduled, prophylactic dilation of DS to prevent complications. In one major study, 268 patients with DS were offered either scheduled ERCP and dilatation, or procedures based on clinical symptoms. In this study, 51% of scheduled ERCP patients were transplant-free at 5 years, compared to 29% in the clinically indicated ERCP group.43 Nevertheless, most centers do not offer scheduled procedures at this stage.

The main modalities for endoscopic therapy of DS are balloon dilation and plastic stents. Both have been shown to be effective, but standardization of the methods (caliber, time, repetition) is still lacking, although short-term stenting is likely preferable to long-term.38 Comparative trials are also lacking; a recent randomized controlled trial showed comparable efficacy between the two, but it was prematurely discontinued due to an unacceptable risk of adverse events in the stent arm, particularly pancreatitis and cholangitis.44 Since the number of subjects was small and adverse events surprisingly frequent, more data are needed to verify this finding. However, the current tendency in practice is to initiate therapy with balloon dilation, and to move to short-term stenting only in cases of early recurrence.

Fully covered self-expanding metal stents (C-SEMS) are increasingly utilized in the therapy of benign biliary strictures in general. Their use in PSC is so far limited, and although smaller caliber or otherwise adapted stents may change this, their main utility of longer patency may not be a critical factor in PSC patients. Currently, their main role is likely in the handling of post-transplant complications, and in the palliation of malignant duct obstruction.

Adverse events

There is a general agreement that complications from ERCP are more common in PSC patients. Although a number of large series failed to document such an increase in risk,45-47 the recent European guidelines still conclude that such a risk exists.9 Accordingly, most countries centralize the endoscopic procedures in PSC patients to specialized centers of competence. Sphincterotomy has been reported to add to the risk,
but it should be considered that the majority of these patients will return for repeat procedures, and in that setting, a previous sphincterotomy (for easier access) was shown to be protective. In our practice, we tend to perform sphincterotomy in PSC patients where cannulation is considered difficult, in order to prevent traumatic ampullary inflammation resulting in obstruction. It is generally recommended to give prophylactic antibiotics for all ERCP procedures in PSC patients, aligned with the idea of incomplete drainage of the bile ducts being expected in this condition. Otherwise, general measures to reduce the risk of pancreatitis (rectal non-steroidal, anti-inflammatory drugs and pancreatic stents) remain the standard of care for PSC patients.

Finally, it should be mentioned that the development of cirrhosis probably adds to the overall risk of complications. In a recent report from India on ERCP in cirrhotic patients, a MELD score > 18 or Child-Pugh class C were independent risk factors, with an overall mortality of 8.5% from ERCP.

Endoscopy for post-transplant complications

Given the substantial lifetime need for a liver transplant, post-transplant care for PSC patients represents a significant component of the endoscopic efforts. Similar to transplants for other causes early on, handling of anastomotic leaks and ischemic strictures represents the majority of early challenges. Later, it is primarily anastomotic concerns that mandate endoscopic interventions. Anastomotic strictures can be dilated or stented, while wide anastomotic orifices may be documented endoscopically but may need surgical revision if ascending infections becomes a concern. In PSC patients, the frequent use of Roux-Y reconstruction represents additional complexity of the ERCP procedures, but the introduction of device-assisted enteroscopy allows endoscopic handling of the majority of these cases. Access to the biliary anastomosis may be difficult by routine endoscopy, requiring single or double-balloon assistance. In addition, the surgical notes should be available where possible to better delineate the altered anatomy, and to better predict the position of the bilioenteric anastomosis.

Balloon dilation of anastomotic strictures, plastic stenting in select cases, and even C-SEMS are sometimes mandated, given a sufficient length of the donor common hepatic duct to allow anchoring of the stent. These patients are often referred back to the transplant center, where the strategy selection should be a multidisciplinary discussion between the surgeon, radiologist, hepatologist, and the endoscopist. Specifically, MRCP images may be misleading with regards to the cause of the patient’s symptoms.

Recurrent PSC in the transplanted liver is a concern that may be underreported, but may be as high as 20%–25% within a 10-year period. This is especially relevant given the young age of the disease debut. The endoscopic care of this entity is similar to that of the primary disease.

OTHER ENDOSCOPIC UTILITIES

Colonoscopy

The prevalence of IBD in PSC patients varies, but reaches 80% in some countries in Northern Europe. The particular phenotype of PSC-IBD mandates special caution and care. Firstly, the risk of cancer development is substantially higher than in regular ulcerative colitis, despite the disease often being completely asymptomatic, at least at the time of detection. In a large Dutch study, the risk of IBD-associated colorectal cancer was increased 10-fold compared to non-PSC-associated IBD. Also, the time to cancer development appears to be shorter, and often with an unknown period of disease at the time of diagnosis. Thus, complete ileocolonoscopy is mandatory at time of making the PSC diagnosis, especially since rectal sparing and right-sided preponderance are commonly found. If no colitis is found, repeat colonoscopy is recommended at 5-yearly intervals. If even mild colitis is found, annual surveillance is recommended with targeted and/or segmental biopsies.

Handling of polyps or dysplasia follows the general guidelines for general long-term surveillance of ulcerative colitis: isolated adenomas within normal mucosa are resected as other adenomas, while verified dysplasia in flat mucosa indicates a field effect which often mandates colectomy to remove foci of malignancy.

Finally, after liver transplantation, surveillance needs to be maintained. In a large Scandinavian study of transplanted PSC patients with up to 25 years follow-up, 25% of the patients developed colorectal neoplasia, with a hazard ratio of 4.2 of developing neoplasia after transplantation, as opposed to before.

Upper gastrointestinal endoscopy for portal hypertension complications

Advanced PSC results in portal hypertension and cirrhosis, leading to the development of gastro-esophageal varices. Bleeding from varices is a common complication that can precede decompensated or clinically apparent cirrhosis. With regards to surveillance endoscopy, a Mayo risk score above 0.86 or an elevated aspartate/alanine aminotransferase ratio has been shown to be significantly associated with the presence of varices at initial endoscopy. However, for those patients being followed regularly, the same study found platelet counts below 205,000/µL and rising total bilirubin over 1.7 mg/dL were in-
indications of disease progression and best associated with the detection of new varices.53

The Baveno VI criteria predict a low risk of varices in patients with more than 150,000/µL blood and with liver stiffness measurements below 20 kPa; thus, it is considered safe to not perform variceal surveillance endoscopy in this group.54 Radiological evaluation of liver stiffness can be significantly affected by acute inflammation, and as a result, liver stiffness readings need to take into account the clinical context. As MRCP is often performed in patients with PSC for surveillance or assessment, the use of concomitant magnetic resonance elastography is a convenient option. Indeed, global liver stiffness by magnetic resonance has been correlate well with evidence of portal hypertension and varices.55

For centers without easy access to liver fibrosis measurement, a platelet count cut off of 150,000 µL/L has been clinically validated in cholestatic liver diseases, and provides a simple, non-invasive indication of liver disease progression in PSC warranting surveillance endoscopy.56,57

The indications for variceal therapy, and the considerations of methods, follow-up, and concomitant/alternative pharmacotherapy with beta-blockers are identical to liver cirrhosis with portal hypertension from other etiologies.

**FUTURE ROLE OF ENDOSCOPY IN PSC**

The risks and other challenges of ERCP mandate optimization of its use both in general, and also in PSC patients. Therapy in DS will likely persist, but more data on stenting versus balloon dilation, as well as specifics within both methods (calibers, time, intervals, combination therapy) are required. Furthermore, the development of other therapeutic modalities is promising. Radio frequency ablation is gaining popularity for the treatment of malignant strictures and may prove helpful even in benign disease, possibly with novel improvements like combination with laser diffuser instruments.58 After 20 years of stents and balloons, innovation in therapeutic endoscopy for management of this difficult disease is needed. In addition, developments in ancillary investigations are improving outcomes, and ERCP-based tissue sampling gives endoscopy a unique opportunity to play a substantial role in translational medicine. Improved analyses of brush material and better intraductal biopsies will help to improve clinical decision making, while identification of tumor markers in bile may support our diagnostic matrix in the future.59,60 ERCP in these patients will remain challenging and should be increasingly centralized to transplant or other high-competence centers with high volumes of PSC patients.

**CONCLUSIONS**

Endoscopy plays a central and active role in the management of patients with PSC and has the potential to delay disease progression, reduce and relieve duct obstruction, diagnose and treat biliary malignancies, and manage post-transplant complications. These patients have a high risk of biliary, hepatic, and colonic malignancies that warrant surveillance by endoscopic and other imaging modalities. The multi-system nature of PSC requires a wholistic approach to patient care with endoscopy as a central part of this approach.

**Conflicts of Interest**

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