Electron Distribution and Energy Release in Magnetic Reconnection Outflow Regions during the Pre-impulsive Phase of a Solar Flare

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Abstract

We present observations of electron energization in magnetic reconnection outflows during the pre-impulsive phase of solar flare SOL2012-07-19T05:58. During a time-interval of about 20 minutes, starting 40 minutes before the onset of the impulsive phase, two X-ray sources were observed in the corona, one above the presumed reconnection region and one below. For both of these sources, the mean electron distribution function as a function of time is determined over an energy range from 0.1 keV up to several tens of keV, for the first time. This is done by simultaneous forward fitting of X-ray and extreme ultraviolet (EUV) data. Imaging spectroscopy with RHESSI provides information on the high-energy tail of the electron distribution in these sources while EUV images from SDO/Atmospheric Imaging Assembly are used to constrain the low specific electron energies. The measured electron distribution spectrum in the magnetic reconnection outflows is consistent with a time-evolving kappa-distribution with $\kappa = 3.5$–5.5. The spectral evolution suggests that electrons are accelerated to progressively higher energies in the source above the reconnection region, while in the source below, the spectral shape does not change but an overall increase of the emission measure is observed, suggesting density increase due to evaporation. The main mechanisms by which energy is transported away from the source regions are conduction and freestreaming electrons. The latter dominates by more than one order of magnitude and is comparable to typical nonthermal energies during the hard X-ray peak of solar flares, suggesting efficient acceleration even during this early phase of the event.

Key words: acceleration of particles – Sun: corona – Sun: flares – Sun: X-rays, gamma rays

1. Introduction

One of the intriguing aspects of solar flares is how efficiently they energize electrons (e.g., see reviews by Miller et al. 1997; Holman et al. 2011). The location of electron acceleration has been suggested in many observations, beginning with the detection of an above-the-looptop hard X-ray (HXR) source by Masuda et al. (1994), to be close to magnetic reconnection outflow regions in the solar corona. A number of more recent observations support this notion (e.g., Forbes & Acton1996; Shibata 1999; Shibata & Magara 2011; Krucker & Battaglia 2014; Kontar et al. 2017; Chen et al. 2018).

One of the most direct observational signatures of energized electrons is found at X-ray wavelengths. The X-ray bremsstrahlung flux from energetic electrons is proportional to the surrounding density and, as a result, observations of electron energization in the corona are normally difficult to accomplish as the bulk of the HXR emission is produced in the dense lower regions of the solar atmosphere and due to the presence of strong soft X-ray (SXR) emission from hot coronal plasma. Reuven Ramaty High Energy Solar Spectroscopic Imager (RHESSI), Lin et al. 2002 observations using imaging spectroscopy (e.g., Emslie et al. 2003; Battaglia & Benz 2006; Simões & Kontar 2013; Oka et al. 2015) demonstrated the difficulty of observing faint coronal HXR sources in the presence of bright chromospheric footpoint emission. Therefore, X-ray studies of electron energization in the corona are normally done either for dense loops (e.g., Xu et al. 2008; Kontar et al. 2011a; Guo et al. 2012; Jeffrey et al. 2014), or for flares with occulted footpoints (e.g., Krucker & Lin 2008; Bai et al. 2012; Effenberger et al. 2017). An additional opportunity to study electron energization is provided by the pre-impulsive phase of some events. During this phase, often lasting minutes to several tens of minutes, footpoint HXR emission is typically faint or absent while SXR emission increases, indicating heating (e.g., Acton et al. 1992; Battaglia et al. 2009, 2014), even though radio observations of some events suggest the presence of nonthermal particles (e.g., Asai et al. 2006; Alyntsev et al. 2012) already at this stage.

While RHESSI observations provide important X-ray spectral diagnostics of the coronal regions, they have a limitation. Due to the typically steep power-law shape of the accelerated electron spectrum $\propto E^{-\kappa}$ (e.g., Dennis 1985), the total energy is dominated by low energetic electrons, whose signatures are found at photon energies for which the interpretation of the spectrum can be ambiguous and/or to which RHESSI is not sensitive (Kontar et al. 2011b, for a review). Indeed, the typical solar corona temperature is about 0.1 keV, while RHESSI is sensitive to photons above ~3 keV leaving an observational gap at energies between 0.1 and 3 keV. One way to overcome this difficulty is to include extreme ultraviolet (EUV) data. Battaglia & Kontar (2013) demonstrated how the combination of RHESSI data with observations from the Atmospheric Imaging Assembly (AIA) on the Solar Dynamics Observatory (Lemen et al. 2012) allows for inferring the electron distribution over a large energy range from 0.1 keV to several tens of keV. Motorina & Kontar (2015) developed fitting routines to forward fit RHESSI and AIA data simultaneously. With this method, the data from the two instruments are treated as one data set and forward fitted with...
one model distribution. As shown by Battaglia et al. (2015),
this approach leads to a better constraint of the low-energy
electrons, resulting in up to a factor of \( \sim 30 \) lower total energy
content of the electron distribution compared with traditional
X-ray spectroscopy. Using these combined X-ray-EUV
diagnostics, we can characterize the electron distribution
function in the coronal regions of magnetic reconnection
outflow during solar flares. Such observations provide insight
into electron energization in probably turbulent outflows and
serve as important constraints for particle acceleration models
(Drake et al. 2018). This is also crucial for understanding the
overall flare energetics and for determining the magnetic
energy release rate, as the bulk of the energy is contained at
low-energy electrons, below \( \sim 20 \) keV.

Here, we present the first observation of the temporal
evolution of electron distributions in magnetic reconnection
outflows, focusing on the pre-impulsive phase of solar flare
SOL2012-07-19T05:58. The pre-impulsive phase of this flare
is particularly intriguing because two X-ray sources could be
imaged with RHESSI, one above and one below what was
identified as the magnetic reconnection region by Liu et al.
(2013). This is very rare and, combined with observations from
AIA, allows for the study of electron energization in magnetic
reconnection outflow regions over an unprecedented range of
energies. In this work, we further investigate the temporal
evolution of electron energization and energy transport out of
the two sources in the reconnection region, finding that even at
this very early stage of the flare, energy transport by free-
streaming electrons dominates over conductive energy losses
and the overall energy release is considerable. Section 2
describes the data reduction and summarizes the method that
was used for the simultaneous EUV and X-ray analysis. In
Section 3, the dominant energy transport mechanisms are
determined and the energy transport away from the source
region by these mechanisms is calculated and compared. The
results are discussed in Section 4.

2. X-Ray and EUV Observations of SOL2012-07-19T05:58:
Magnetic Reconnection Region

The flare SOL2012-07-19T05:58 happened at the west limb
and its HXR peak (at 30–60 keV) occurred at \( \sim 05:22 \) UT with
the start of the main HXR rise phase at around 05:15 UT. The
event displayed a prolonged pre-impulsive phase observed with
RHESSI as early as 04:34 UT when RHESSI came out of night.
Figure 1 shows lightcurves of the event in three energy bands
of RHESSI and from GOES. Several aspects of the event have
been studied previously, including the temporal and spatial
evolution of the whole flare (Liu et al. 2013; Sun et al. 2014),
the properties of the flaring loop (Morgachev et al. 2014)
and the partition between thermal and nonthermal energies
during the impulsive phase of the event (Oka et al. 2015),
particle acceleration during the impulsive phase (Huang et al. 2016),
as well as the properties of a hot flux rope structure during the
pre-impulsive phase of the flare (Wu et al. 2016) and the CME
that was associated with the event (Patsourakos et al. 2013).
The focus of the present study is on plasma energization during
the pre-impulsive phase of the event near the reconnection region.

Three phases can be distinguished, based on the HXR
lightcurves and images (Figure 1): the first 20 minutes of the
pre-impulsive phase when an HXR source was present in the
high corona, the remaining pre-impulsive phase, and the main
flare phase. Figure 2 displays AIA images from each of the
three phases overlaid with contours of X-ray emission at
different energies. In the left image, the two separate coronal
X-ray sources are clearly visible, one near the top of the EUV
loop and one above it. Following earlier, similar observations
and the detailed analysis by Liu et al. (2013) we interpret these
sources as lying below the reconnection region (henceforth
referred to as source B) and above the reconnection region
(henceforth referred to as source A), respectively. In the second
image, source A is not visible anymore. The third image shows
the flare morphology at the onset of the impulsive flare phase
during which an HXR footprint was observed in addition to
source B. In the following we focus on the pre-impulsive phase.

In the next section, we present observations of electron
energization over an \( \sim 20 \) minute interval of pre-impulsive activity,
starting 50 minutes before the HXR peak of the event.

2.1. RHESSI and SDO/AIA Data Processing

Using the RHESSI data analysis software\(^5\) we generated
CLEAN images over a three minute integration time between
04:34 UT and 04:51 UT, with the last image only having an
integration time of 2 minutes due to an attenuator state change.
The event evolved rather gradually during this phase; therefore,
the long integration time improves count statistics allowing for
finer energy binning used in imaging spectroscopy while the
temporal evolution can still be followed. The spectra of both
source A and source B were extracted each from within a
region that encompasses the whole source. SDO/AIA images
at six wavelength bands (94 Å, 131 Å, 211 Å, 335 Å, 171 Å,
and 193 Å) were averaged over the integration time of the
RHESSI images and the data numbers (DN) extracted from
the same region as the RHESSI spectrum.

2.2. Simultaneous Fits of RHESSI and AIA Data

To infer the time evolution of the mean electron flux spectrum
from both sources we applied the simultaneous fitting method
described by Battaglia et al. (2015) and Motorina & Kontar (2015).

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\(^5\) https://hesperia.gsfc.nasa.gov/rheSSI3/software/software-overview/software-overview/index.html
This method uses the fact that any mean electron flux distribution \( \langle nVF \rangle \) can be described via a differential emission measure (DEM) \( \xi(T) \):

\[
\langle nVF(E) \rangle = \frac{2^{3/2}E}{(\pi m_e)^{3/2}} \int_0^{\infty} \frac{\xi(T)}{(k_B T)^{3/2}} \exp(-E/(k_B T)) dT,
\]

\[\text{[electrons keV}^{-1}\text{s}^{-1}\text{cm}^{-2}].\]

where \( m_e \) is the electrons mass and \( k_B \) is the Boltzmann constant. The detected signal \( g_i \) is given by this DEM multiplied by the detector response of the instrument and the temperature contribution function:

\[
g_i = R_{ij} \xi_j \Delta T_j,
\]

where \( g = (g^{AIA}, g^{RHESSI}) \) are the observed data from AIA in different wavelength channels and RHESSI at different energies, respectively. \( R_{ij} \) is the combined temperature and instrument response matrix that maps the DEM to the observed data values and \( \Delta T_j \) is the temperature bin width. Hence, simultaneous fitting of multi-instrument observations is possible by generating a combined temperature response matrix and forward fitting it with a model DEM. We adopt a DEM model \( \xi(T) \) with the following form:

\[
\xi(T) = \frac{\text{EM}(\kappa - 1.5)^{\kappa - 0.5}}{\Gamma(\kappa - 0.5) T_e} \left( \frac{T_e}{T} \right)^{\kappa + 0.5} \times \exp\left( -\frac{T_e}{T}(\kappa - 1.5) \right),
\]

which is equivalent to an electron flux distribution that represents the kappa-distribution (Battaglia et al. 2015):

\[
\langle nVF(E) \rangle = n^2 V \frac{2^{3/2} \Gamma(\kappa + 1)}{\pi (m_e)^{3/2} (k_B T_e)^{3/2} (\kappa - 1.5)^{3/2} \Gamma(\kappa - 0.5) E/(k_B T_e)} \times \left( 1 + E/(k_B T_e)(\kappa - 1.5) \right)^{\kappa + 1}.
\]

determined by three parameters: emission measure \( \text{EM}, \) kinetic temperature \( T_e, \) and spectral index \( \kappa. \) This is a natural choice for any source in which one expects electron energization out of an initially Maxwellian distribution, as the \( \kappa \)-distribution approaches a Maxwellian distribution for \( \kappa \to \infty \) and is dominated by a power law \( \langle nVF \rangle \propto E^{-\kappa} \) at energies \( E \gg kT. \)

Indeed kappa-distributions have been found in a number of coronal flare sources (e.g., Kašparová & Karlický 2009; Oka et al. 2013; Battaglia et al. 2015). We used the DEM from Equation (3) to fit the AIA DN and RHESSI count spectrum simultaneously as a function of time in each source. To evaluate the reliability of the fit and infer uncertainties of the fit parameters, noise, represented by the error of the measured data points multiplied with a random number from a normal distribution with mean zero and standard deviation one, was added to the data and the process was repeated 20 times. The standard deviation of the resulting parameters was then taken as uncertainty. We note that it is necessary to add one additional fit component to account for a low-temperature component in the DEM with a peak at around 1.4 MK. It was shown by Battaglia & Kontar (2013) that this component is predominantly foreground coronal emission, also during a flare. Hence we will not include it in the further study of the energetics of the reconnection event.

We selected six time intervals and fitted them with the aforementioned DEM function. The time evolution of the fit parameters of the high-temperature DEM component are shown in Figure 3. There is a distinct difference in the evolution of the parameters between source A and source B. In source A, the \( \kappa \) index decreases, and the kinetic temperature increases as a function of time, suggesting more efficient acceleration of electrons to higher energies with time. In source B, \( \kappa \) index and temperature remain constant, while the emission measure increases, suggesting an increase in density or reduced volume of the emitting plasma, but little change in acceleration or heating. The same behavior can be seen in the DEM. It is also reflected in the resulting volume and density weighted mean electron flux spectrum \( \langle nVF(E) \rangle \) as shown in Figure 3. The overall \( \langle nVF(E) \rangle \) is larger for source B, but it rises with...
Figure 3. Time evolution of fit parameters, differential emission measure, and mean electron flux spectrum from simultaneous fits of the $\kappa$-distribution to RHESSI and AIA data. For the source below the reconnection region (left, source B) and above the reconnection region (right, source A). Top to bottom: emission measure, kinetic temperature $T_{\kappa}$, $\kappa$-index, DEM, and mean electron flux spectrum.
time across all energies, suggesting energization of all electrons opposed to source A, where the spectrum changes most notably at higher energies.

3. Energy Release Rate and Energy Transport

The temporal evolution of both sources suggests continuous energy release. The differences in the two sources, namely a progressively harder spectrum of source A in contrast to the overall increase of emission measure and temperature in source B, indicate that in source A a considerable amount of the released energy is converted into accelerated particles while in source B heating and increase in density dominates. In either case energy has to be supplied and will successively be transported away from the source region. To evaluate the energy released during the reconnection, we investigate and compare the dominant means of energy transport: thermal conduction and through free-streaming nonthermal electrons. It should be noted that for the present temperatures and densities, radiative losses are several orders of magnitude smaller than either of the other two mechanisms and can therefore be neglected.

3.1. Source Geometry and Magnetic Connectivity

For the calculation of both types of energy transport, conduction, and free-streaming electrons, certain assumptions regarding the area through which the energy is lost, the source volume, and the distance over which electrons travel have to be made. The EUV images suggest a complex geometry with several loop systems, while the RHESSI X-ray images show two nearly circular sources, depending on photon energy. Assuming that the X-ray sources outline the main electron acceleration regions, we model the sources as spherical in shape and estimate their diameters from the X-ray source areas. In the case of source A, the diameter is estimated 30 arcsec, resulting in a source half-length \( L_{1/2} \approx 10^9 \text{ cm} \) cm and a diameter of 40 arcsec results in a half-length of \( L_{4/3} \approx 1.5 \times 10^9 \text{ cm} \) cm for source B. The volume is calculated from the areas \( V = A^{3/2} \) giving \( 7.2 	imes 10^{27} \text{ cm}^3 \) for source A and \( 1.7 \times 10^{28} \text{ cm}^3 \) for source B, respectively. Figure 4 gives the representation of the assumed geometry and indicates the length scales that were estimated from images.

3.2. Energy Transport by Conduction and Free-streaming Electrons

The conductive flux in classical Spitzer conductivity (Spitzer 1965) can be expressed as:

\[
L_{\text{cond}} = 10^{-6} A_{\text{r}} \frac{T^{7/2}}{l_s}, \quad [\text{erg s}^{-1}],
\]

where \( l_s \) is the temperature scale length in cm, \( T \) is the source temperature in K, and \( A_{\text{r}} \) is the area in cm\(^2\) through which the energy is conducted. In our case, \( l_s \) is assumed to be the source half-length \( L_{1/2} \). The maximum heat flux a plasma can carry is limited. For sufficiently large temperature gradients, such as those that occur in solar flares, the heat flux is expected to reach this limit and saturate (Gray et al. 1977; Gray & Kilkenny 1980). As shown by Campbell (1984) and Battaglia et al. (2009), the reduced conductive flux can be accounted for by multiplying the classical conductive flux with a (temperature dependent) reduction factor \( \rho = A \exp(-2b(\ln R + c^2) < 1 \) with \( A = 1.01, b = 0.05, c = 6.63, \) and \( R = \lambda_{\text{emf}} / l_s \), where \( \lambda_{\text{emf}} \) is the electron mean free path. Note that this treatment is only valid as long as \( R < 1 \), i.e., until the free-streaming regime is reached. In the present case, we do not have a single temperature, but a distribution of temperatures given by DEM \( \xi (T) \). Therefore, we calculate the conductive flux weighted by the DEM, integrated over all temperatures and including the (temperature-dependent) reduction factor \( \rho(T) \):

\[
L_{\text{cond}} = 10^{-6} \frac{A_{\text{r}}}{L_{1/2}} \frac{1}{\text{EM}} \int_0^{T_{\text{emf}}} T^{7/2} \xi(T) \rho(T) dT.
\]

The upper integration limit, \( T_{\text{emf}} \) is the temperature at which the electron mean free path becomes larger than the temperature scale length \( \lambda_{\text{emf}} > L_{1/2} \), where (e.g., Benz 2002):

\[
\lambda_{\text{emf}} = 5.21 \times 10^3 \frac{T_{\text{emf}}^2}{n} \text{[cm]}.
\]

Hence

\[
T_{\text{emf}} = 1.4 \times 10^{-2} \sqrt{nL_{1/2}} \text{[K]},
\]

with the standard expression for the density \( n = \sqrt{\text{EM}/V} \). Electrons with a temperature higher than this threshold can be considered as free-streaming and the energy they carry away...
calculated from the mean electron flux distribution as:

\[ P_{\text{free}} = \int_{E_{\text{cond}}}^{\infty} EF(E) dE = \frac{A_i}{nV} \int_{E_{\text{cond}}}^{\infty} E \langle nV F(E) \rangle dE. \] (9)

The results from these calculations are summarized in Figure 5. The uncertainties are dominated by the source size estimates. The error bars given in the figure result from the assumption of a 20% uncertainty on the source half-length, volume, and area. The density in source A increases from $2.7 \times 10^9$ cm$^{-3}$ to $2.9 \times 10^9$ cm$^{-3}$ and from 2.8 to $3.4 \times 10^9$ cm$^{-3}$ in source B during the course of the flare. The resulting threshold temperature for free-streaming electrons rises from 22.9 to 23.4 MK in source A and from 28 to 31 MK in source B. In electron energy, this corresponds to electron threshold energies of between 2 and 3.5 keV.

### 4. Discussion and Summary

We investigated electron energization and energy loss in the magnetic reconnection outflow regions up to 40 minutes before the impulsive phase of the flare SOL2012-07-19T05:58. As shown in Figure 5, conductive loss rates range between $1.6 \times 10^{27}$–$3.7 \times 10^{29}$ erg s$^{-1}$. The dominant mechanism of energy transport out of the source region is by free-streaming electrons with an average loss rate between $1.8 \times 10^{28}$–$3.5 \times 10^{28}$ erg s$^{-1}$. To put these numbers into perspective, we compare them with some results from the literature. Warmuth & Mann (2016) analyzed the time evolution and energetics of 24 RHESSI flares of GOES classes C to X and found maximum conductive loss rates between $10^{27}$–$10^{30}$ erg s$^{-1}$. Conductive loss rates specifically during the pre-impulsive phase of flares were reported as $10^7$–$10^{10}$ erg cm$^{-2}$ s$^{-1}$ by Battaglia et al. (2009). Assuming a source area of $\approx 5 \times 10^{18}$ cm$^2$, as done in the present study, this amounts to $5 \times 10^{27}$–$5 \times 10^{28}$ erg s$^{-1}$. These values are comparable to the values found in the present study.

A different picture arises when comparing the nonthermal powers. Warmuth & Mann (2016) found maximum nonthermal powers between $8 \times 10^{27}$–$2 \times 10^{29}$ erg s$^{-1}$. Many authors investigated the total energy content, rather than power, hence a comparison is less straightforward. We make an estimate of the nonthermal total energy lost during the 15 minute observation using the average losses during this period and multiplying them by the duration. This results in a total energy carried by free-streaming electrons of $1.7 \times 10^{31}$ erg in source A and $3.5 \times 10^{31}$ erg in source B. Aschwanden et al. (2016) found total nonthermal energies between 1 and $200 \times 10^{31}$ erg analyzing more than 100 GOES M and X-class events. Emslie et al. (2012) found similar numbers for 38 M and X-class flares with nonthermal energies between 0.4 and $6 \times 10^{31}$ erg. These numbers are of the same order as those inferred in the present study, even though they were derived for the flare peak time. This result suggests that even during this early stage of energy release, a lot of energy goes into accelerating electrons. On first glance, this may seem surprising, but it is a direct consequence of the method that was used to infer these energies as it provides good constraints of the low-energy part of the spectrum. As the nonthermal electron spectrum is fairly steep, with typical slopes between 2 to 8, the total power carried by these electrons is determined by the low-energy end of the accelerated population. Our method of simultaneous spectral fitting allows for constraining these energies and, together with the rather low densities in the two analyzed sources, an effective low-energy cutoff as low as 2 keV resulted. This is much lower than the typically used values of around 10 keV and larger. Hence, the values found in these studies have to be considered as lower limits, while the values of the present study give an upper limit. Note that Aschwanden et al. (2016) used an improved method to determine the low-energy cutoff in traditional X-ray spectral fitting, using the warm thick-target bremsstrahlung model by Kontar et al. (2015). Hence, their values are better comparable with the numbers found in this study.

It is interesting to compare the inferred energies with the free magnetic energy. One can calculate the (minimum) magnetic field strength assuming that the amount of free magnetic energy $E_{\text{mag}} = \frac{BV^2}{8\pi}$ is converted. Using the average loss rates calculated above and the respective source volumes, one finds $\sim 250$ Gauss in source A and $\sim 230$ Gauss in source B. This number lies within the range (200 to 600 Gauss) given by Moragachev et al. (2014) right before the impulsive phase of the flare presented.

In summary, we show that considerable electron energization takes place in magnetic reconnection outflow regions up to 40 minutes before the peak of the flare. The observations are broadly consistent with electron acceleration in likely turbulent reconnection outflows as seen via nonthermal line broadening by Kontar et al. (2017). The spectrum of accelerated electrons in the magnetic reconnection outflows is consistent with a kappa-distribution with a power-law tail spectral index between...
The observations also show efficient heating of the reconnection outflows to temperatures of 6–8 MK, suggesting that the successful flare acceleration models should account for both heating and the formation of power-law tails. Both sources show time evolution at scales longer than the energy loss suggesting quasi-stationary energy release of energy. The area above the looptop source shows electron spectrum hardening as the flare progresses. The dominant means of energy loss out of the acceleration region is by free-streaming, low energetic electrons. This not only implies that considerable electron acceleration can take place in flare phases other than the main, impulsive, flare phase, but also demonstrates the importance that the pre-impulsive phase plays in overall flare energetics.

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