Future global productivity will be affected by plant trait response to climate

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Plant traits are both responsive to local climate and strong predictors of primary productivity. We hypothesized that future climate change might promote a shift in global plant traits resulting in changes in Gross Primary Productivity (GPP). We characterized the relationship between key plant traits, namely Specific Leaf Area (SLA), height, and seed mass, and local climate and primary productivity. We found that by 2070, tropical and arid ecosystems will be more suitable for plants with relatively lower canopy height, SLA and seed mass, while far northern latitudes will favor woody and taller plants than at present. Using a network of tower eddy covariance CO2 flux measurements and the extrapolated plant trait maps, we estimated the global distribution of annual GPP under current and projected future plant community distribution. We predict that annual GPP in northern biomes (≥45°N) will increase by 31% (+8.1 ± 0.5 Pg C), but this will be offset by a 17.9% GPP decline in the tropics (−11.8 ± 0.84 Pg C). These findings suggest that regional climate changes will affect plant trait distributions, which may in turn affect global productivity patterns.

Climate change is expected to significantly influence global species distributions in the next decades1,2, which raises the question of how these changes may affect dominant plant community traits and ecosystem productivity. The response of species to climate change can vary from extinction to resilience3. However, plant species may also adapt to climate change by altering their physical traits4,5 or by relocating to regions with more suitable environmental conditions6,7. Increases in shrub dominance in the tundra8 and declines in taller, larger diameter trees in California in the last century, inducing a shift toward oak dominance over historic pine dominance9, provide recent examples of such changes.

Temperature, water supply and solar radiation are primary climatic factors constraining ecosystem productivity at global scales8,9 such that each or a combination of these factors limits vegetation growth within global biomes defined by species with distinctive traits and/or life history strategies. From the ecosystem process perspective, vegetation productivity has increased in recent decades8,10. Plant productivity may be enhanced through direct fertilization effects from increasing atmospheric CO2 concentrations11,12. However, concomitant changes in temperature and rainfall can also alter productivity by extending the growing season in cold regions, while limiting productivity in warmer and drier regions13. A key, unresolved question is how changes in precipitation and temperature will affect species functional traits and what impact changes in traits and plant communities will have on patterns of global productivity.

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Plant traits have been shown to provide important information about ecosystem structure and productivity\(^4\). Plants have distinctive strategies that manifest as functional traits adapted to local habitats and environmental conditions\(^1\)-\(^3\), and yet trade-offs among functional traits can reveal and influence ecosystem processes\(^4\)-\(^5\). Leaf traits such as leaf nitrogen content (N) and SLA (the ratio of leaf area per unit dry mass, m\(^2\) kg\(^{-1}\)) influence canopy photosynthetic capacity\(^6\) and have been shown to improve understanding of key ecosystem processes such as GPP\(^7\),\(^8\). SLA, vegetation height, and seed mass are among the most widely used plant traits in ecological studies and can explain species distributions\(^9\)-\(^11\). The leaf-height-seed (LHS) relationship was proposed to help explain species co-existence strategies: while height and seed mass reflect capabilities to cope with environmental disturbance, SLA distinguishes between competitors and stress-tolerators\(^12\). The LHS relationship is also related to ecosystem function. Leaves with higher SLA generally have higher nutrient (N) concentrations\(^13\)-\(^15\), leading to higher carbon assimilation\(^16\) and respiration\(^17\). Species with higher seed mass are generally found in more productive regions\(^18\)-\(^20\) and can tolerate a higher degree of stresses, while species with lower seed mass need relatively less energy for seed production\(^21\). Taller trees tend to have greater access to light, deploy more canopy leaf area and have higher leaf nitrogen content\(^22\).

Despite the influence of morphological plant traits on ecosystem properties and function, their role in global ecosystem process models is often neglected or not properly captured\(^23\). Many global models use generalized plant functional type (PFT) categories to explain differences in ecosystem function\(^24\). While these functional types are distinguishable using physical plant traits\(^25\), large variability in ecosystem function within individual PFT classes\(^26\)-\(^28\) suggests that such broad categories are insufficient in modeling ecosystem processes such as productivity. Such uncertainty may contribute to the large range of estimated global annual GPP (106–175 Pg C yr\(^{-1}\)) from different models\(^29\)-\(^31\). However, recent attempts to map global plant traits\(^32\), the effect of future climate conditions on community trait patterns\(^33\), and incorporation of plant trait information into earth system models\(^34\),\(^35\) have improved our understanding of climate impacts on plant community patterns and ecosystem productivity.

In this research we characterize the relationships between bioclimatic variables and plant traits using a global plant trait database (TRY)\(^36\). Specifically, we analyze relationships between gridded bioclimatic factors related to precipitation and temperature, and selected key dominant community plant traits. Via analyses of annual GPP derived from 164 globally distributed carbon flux towers, we show that plant community productivity is significantly related to the plant trait observations. We then used selected bioclimatic variables\(^37\) from 17 global Earth System Models (ESMs) of the Intergovernmental Panel on Climate Change (IPCC) Coupled Model Intercomparison Project Phase 5 (CMIP5) based on the Representative Concentration Pathway (RCP) 8.5\(^4\) for the year 2070 to predict changes in key plant traits under projected future climate change. We find that changes in ecosystem suitability favor plants with certain functional traits, and that projected climate change will impact both productivity and underlying community dominant functional traits.

**Results and Discussion**

We used a Generalized Additive Model (GAM)\(^38\) to explain variability in species trait observations (Figure S2) relative to selected bioclimatic variables. The bioclimatic variables selected are based on stepwise variable selection and the best performance [Akaike's Information Criterion (AIC)\(^39\) and lowest Root Mean Squared Errors (RMSE)] indicated from a leave-one-out cross validation analysis. Among the 19 available climatic variables analyzed from the WorldClim database\(^40\), SLA is mainly explained by annual average precipitation, maximum temperature of the warmest month and minimum temperature of the coldest month. Based on covariate analysis\(^41\),\(^42\) the GAM results explain 68.2%, 66.2% and 45.5% of the variance among the SLA, height, and seed mass traits, respectively.

Plant height increases with annual precipitation and levels off under wetter conditions above 2000 mm yr\(^{-1}\). Plant height also increases with the maximum temperature of the warmest month, approaching greatest height near 22 °C (tropical regions) and decreasing in areas with very warm temperatures (above 25 °C). Trees also inhabit boreal forests and other areas with cold winters, but canopy height generally increases as the minimum temperature of the coldest month rises (Figure 1b). Seed mass is most responsive to annual precipitation and the mean temperature of the warmest month. The log10 of seed mass increases from low to moderate precipitation levels, but declines under higher rainfall amounts (exceeding ~2700 mm yr\(^{-1}\)). The mean temperature of the warmest month, which generally represents the growing season, has a positive relationship with seed mass except for regions with very warm summer temperatures (exceeding ~25 °C) that are associated with lower seed mass plants (Figure 1c).

The GAM results explain 68.2%, 66.2% and 45.5% of the variance among the SLA, height, and seed mass observations at the global scale (see Table S3 for regression coefficients of the smoothed functions used in the GAM). Using the global extrapolated plant trait information, we show global distribution of plant traits (Figure 2).

High stress areas (deserts and arid regions) are associated with plants with lower height, which is consistent with reported negative effects of low moisture availability on plant height\(^43\). These areas are also inhabited by plants with lower seed mass, which can promote seed dispersal\(^44\). Temperate evergreen trees that have high canopy height can enhance their water use efficiency by having low SLA, while also having a longer foliar life span and lower autotrophic respiration cost\(^45\). Plants in tropical biomes have relatively high values of the three traits, though this region is dominated by plants with greater height and seed mass.

In order to evaluate how vegetation structure and ecosystem productivity may respond as a result of future climate change, we projected the GAM simulated plant traits using climate variables derived from 17 CMIP5 ESMs (Table S2) for the year 2070 based on the RCP8.5 greenhouse gas concentration trajectory\(^46\). GAM simulations were run on climate variables from each of the 17 ESMs and their ensemble mean. The standard deviation of the...
resulting GAM outputs derived from 17 ESM climate projection was used as a metric of uncertainty in the model projections. Based on the ensemble projection, boreal and arctic regions show the largest change in plant traits relative to other global biomes, with increases in SLA (+10–20%), canopy height (+20–30%), and seed mass (+25–200%) (Fig. 3). Our results also indicate that in the future, tropical regions may be inhabited by plants with an average 1 m² kg⁻¹ (−10%) lower SLA, 5.3 m (−12.5%) lower canopy height, and 0.15 mg (−9.1%) lower log10 seed mass relative to current conditions. These potential changes would not only affect large scale distributions of functional plant traits, but may also affect ecosystem productivity.

We use the GAM framework to explain spatial variation in annual GPP measured from 164 globally distributed flux towers as a function of the selected key plant traits. The resulting model explains 66.4% of the variance in annual GPP among tower sites, resulting in model RMSE performance of 403 g C m⁻² yr⁻¹ (Figure S7). Model validation using leave-one-out cross validation indicates RMSE performance of 431 g C m⁻² yr⁻¹. The GPP model RMSE uncertainty is within approximately 32% of the estimated annual carbon flux. The partial correlation function plots show positive relationships between annual GPP and canopy height and seed mass (Fig. 4). Our results also show that seed mass (r² = 0.48, p < 0.0001) followed by height (r² = 0.2, p < 0.0001), and SLA (r² = 0.13, p = 0.0003) are the best predictors in explaining the variability in ecosystem productivity. Canopy height differentiates between forest and grassland areas, while seed mass distinguishes between plants in more productive (heavier seeds) to less productive regions (lighter seeds). Annual GPP is generally higher in forests than in grassland (high SLA) biomes even though the photosynthetic capacity of forests may be lower. Likewise, while plants with

Figure 1. The estimated global relationships between the selected key plant trait and best performing climatic predictor variables. Smoothed functions were determined from fitted generalized additive models describing relationships between selected climate drivers and key global plant traits, including SLA (a) canopy height (b) and seed mass (c). The models were developed from climate variables and global plant trait observations including 1178, 329 and 520 data points for SLA, seed mass, and height, respectively. Shaded areas denote 95% confidence intervals, while gray dots represent partial residuals.
higher SLA tend to have higher leaf nitrogen content and higher photosynthetic capacity\(^{14}\), the total productivity of grasslands with high SLA is generally less than forested regions with generally lower SLA (e.g. boreal forests).

We used the predicted plant trait maps under future (2070) climate conditions to estimate the potential ecosystem productivity response to these changes. Our estimation of current global GPP indicates that terrestrial ecosystems can acquire \(134.19 \pm 14.3 \text{ Pg C yr}^{-1}\), while our near future (2070) model projections show a \(7.92 \pm 1.66\%\) decline in global GPP to \(123.56 \pm 13.4 \text{ Pg C yr}^{-1}\). This decline coincides with larger offsetting regional changes in productivity. By 2070, annual GPP above 45 degrees N is expected to increase by 31\% \((+8.1 \pm 0.5 \text{ Pg C})\) from current conditions due to greater dominance of trees and shrubs in northern temperate and boreal zones. However, the productivity increase in northern latitudes will be more than offset by a 17.9\% GPP decline in the tropics \((-11.8 \pm 0.84 \text{ Pg C yr}^{-1}\)\) as a result of new environmental conditions that will be suitable for trees with shorter height and lower SLA.

With warmer temperatures in arctic and boreal regions, the length of the growing season is expected to increase, relaxing cold temperature constraints in Arctic ecosystems and promoting higher productivity\(^{8,47}\). These changes also favor greater leaf area and canopy height, thus promoting a general increase in woody shrubs and trees, consistent with reported northern greening trends indicated from long-term satellite observation records\(^{38}\) and increased tundra shrub abundance\(^{6}\). Our results are also consistent with paleo data records showing that during the Pliocene era, when average global temperatures were as high as what is projected for the near future, the high arctic was a suitable habitat for vascular tree species, including larch, spruce, cedar, alder and birch\(^{49}\). Greater tree and shrub dominance in the tundra zone may promote increases in above ground carbon storage over the long-term compared to current conditions. Greater tree and shrub dominance may also alter the land surface albedo in ways that promote further temperature and productivity increases\(^{50}\).

**Figure 2.** Global distribution of the estimated key plant traits. The global distribution of key plant traits (a) Specific Leaf Area (SLA), (b) Height, and (c) Seed Mass (SM) represent dominant overstory condition derived from global plant trait observations\(^{40}\) and gridded climate variables\(^{41}\). Gray margins show latitudinal averages for each trait. The figure was created using the rasterVis library\(^{81}\) in R\(^{77}\).
Warmer temperatures and less precipitation in the tropics indicated from the CMIP5 projections are predicted to lead to shorter trees with lower SLA (Fig. 3) consistent with the strong effect of moisture on plant height and on leaf traits related to water conservation. These results are consistent with reported decreases in SLA of tropical

**Figure 3.** Potential changes in key plant traits as a result of projected near-term climate change. GAM projected changes in SLA (a), canopy height (b) and seed mass (c) under future (year 2070) climate conditions represented by the ensemble mean of 17 CMIP5 climate models and RCP 8.5 scenario relative to current conditions; the standard deviation in estimated plant traits derived from each of the 17 climate model outputs is also shown. Gray margins show latitudinal averages (%) for each trait. The figure was created using the rasterVis library in R.

**Figure 4.** Relationships between annual GPP and the estimated key plant traits. The smoothed functions derived from the fitted generalized additive models (GAMs) show the GPP response to variations in the physical plant traits (summary statistics for the smoothed GAM functions are in Table S5). Shaded areas represent the 95% confidence intervals of the functional relationships. Black dots represent partial residuals.
reduced due to decreasing evapotranspiration rates. These results indicate that the decrease in GPP could be attributed to decreases in water availability rather than changes in plant productivity. Our findings suggest that changes in precipitation patterns and water availability have a significant impact on ecosystem productivity in this region. We also note that the decrease in GPP could be linked to changes in plant community structure, such as shifts in species composition and abundance, which may be influenced by climate change and other environmental factors. Overall, our findings highlight the importance of considering the interplay between precipitation patterns and water availability in understanding ecosystem responses to climate change. Further research is needed to better understand the mechanisms driving these changes and to develop strategies for conserving and managing ecosystems under changing climate conditions.
changes in plant community characteristics over a global domain. Our approach is also based on the assumption of stable trait-environment relationships for both spatial and future projections, which may not hold. Under climate change, species may go extinct or adapt to fill new environmental spaces beyond their current niche, which may in turn alter relationships between plant traits and environmental conditions. Our future trait projection model approach also neglects intraspecific variability and species turnover, and plant physiological responses and succession processes to changes in climate, which may further affect ecosystem processes including productivity. The use of seed mass (SM) as a driving variable to predict productivity is based on the observed strong empirical correlation between SM and GPP, even though SM is more likely to be a response variable rather than a physical driver of productivity changes. Despite these uncertainties, our results indicate that climate change has the potential to alter plant community structure and the global magnitude and distribution of ecosystem productivity. These changes will influence potential climate feedbacks, plant-animal interactions and ecosystem services. The findings and resulting data products from this research also provide spatially explicit plant trait information that may help to better inform the representation of plant traits in global ecosystem models that extend beyond general assumptions of biome level homogeneity.

Methods
We used 19 climatic variables from WorldClim database to explain spatial variability in three major physical plant traits informed by the global plant traits database (TRY). Key plant traits from TRY used in our study included SLA (m² kg⁻¹), tree height (m) and seed mass (mg). The selected WorldClim climatic variables are derived from global average long term (1950–2000) monthly precipitation and mean daily minimum and maximum air temperatures from global weather station records. The climatic variables analyzed included: annual mean temperature and mean diurnal range, isothermality, temperature seasonality, maximum temperature of the warmest month, minimum temperature of the coldest month, temperature annual range, mean temperature of wettest quarter, mean temperature of driest quarter, mean temperature of warmest quarter, mean temperature of warmest month, precipitation of wettest quarter, precipitation of driest quarter, precipitation of warmest quarter and precipitation of wettest quarter. The WorldClim variables are mapped to a global grid at 30 arc-second resolution. These data are spatially interpolated from 47,554 and 24,542 global weather stations for precipitation and temperature, respectively, and have been used extensively for analyzing species habitat relationships and ecological studies. We used 204,504 global observations of seed mass (mg) along with additional observations of SLA (m² kg⁻¹) and tree height (m) to explain the spatial variability of these three major plant traits. We used the site level documentation provided in the global plant traits database including woodiness and growth form information to select dominant species trait representative for each species level plant functional type (PFT) category. We used 19 climatic variables from WorldClim database to explain spatial variability in these three major plant traits. We used the visreg library in the R programming environment to show the relationship between response and explanatory variables in our global GAM. This process revealed the relationship between each explanatory and response variable while other covariates were held fixed. The difference between a generalized linear model (GLM) and the GAM approach is that the GAM adds smoothed non-parametric functions to the parametric part of a GLM, allowing for greater flexibility and improved fit in the model structure:

\[ g(\mu_i) = X_i^T \theta + f_1(X_{i1}) + f_2(X_{i2}) + f_3(X_{i3}) + \ldots \]  

where \( \mu_i \equiv E(Y_i) \) and the response variable \( Y_i \) follows an exponential family distribution; \( X_i \) is the \( i \)th row of the model matrix, and \( \theta \) is a corresponding parameter vector; \( f_i \) are smoothed functions of the covariates in \( X_i \). Because the PFTs are distinguishable using physical plant traits, we used PFT as a dummy variable in the GAM. In order to minimize co-linearity effects in the regression models, among predictor variables with more than 70% correlation, only one variable was retained, and the rest were excluded from the models. In addition to climatic variables, we used soil attributes including soil organic carbon, clay and silt content, and soil pH to test their predictive power in explaining the variance in trait data, and tested the traits models with and without using the soil attribute data. We optimized the models using stepwise variable selection by means of the AIC to choose the best explanatory variable for prediction of the selected plant traits (Table S4). In order to reduce overfitting of the regression models, we reduced the number of nodes in the smoothed functions and used a restricted maximum likelihood estimator.

Future climate projections are available for climatic variables downscaled from global ESM climate simulations from the recent IPCC CMIP5 assessment. We used future ESM climate projections from the RCP 8.5 of the A2 emission scenario (Table S2), where the future climate conditions represent model averages for the
2061–2080 time period centered on year 2070. We used fitted models of the plant traits spanning all vegetated land areas to create global maps of the selected plant traits under current climate (Figure S3), and projected future climate conditions based on each of 17 CMIP5 climate models and their ensemble mean (Figure S5).

We used daily GPP measurements from 164 flux towers from the global FLUXNET network (Table S5) to calculate the annual GPP climatology (g C m⁻² yr⁻¹) for sites representing major global biomes (Figure S4). We explained spatial variability in annual GPP from multi-year observations across the tower sites, using the extrapolated trait maps for current and future climate conditions as explanatory variables (Table S6, Figure S7), and predicted the global annual GPP based on the plant trait distributions (Figure S8) using the GAM. Areas having less than 50 mm of annual precipitation and representing deserts and other barren land were eliminated from the analysis. We also compared the GPP estimates derived from the predicted plant traits information with alternative GAM based GPP predictions derived using only the climate variables (Table S7). Additionally, we compared our GAM predicted annual GPP results with two other global productivity datasets for model verification, including the average annual MODIS MOD17A3 (Collection 5) GPP data record derived at 1-km spatial resolution for the 2000–2014 period⁴⁴ and a global tower observation up-scaled GPP record derived at 0.5 degree spatial resolution from 2000–2011 (MTE GPP)⁵⁵. We also calculated the annual GPP spatial means for each 0.05-degree latitudinal bin from these global datasets, while the GPP estimates for future climate conditions were compared against alternative global GPP projections obtained from five CMIP5 global ESMs (Table S8) derived with and without considering CO₂ fertilization effects.

We acquired global human population data from the NASA Socioeconomic Data and Applications Center (SEDAC)⁶⁰. The SEDAC data provide human population estimates for the year 2000 in each grid cell over the global domain with a 2.5 arc-minute (~0.04 degree) spatial resolution. We classified our global GPP estimates into two regions representing grid cells with at least 5% increase and more than 5% decrease in productivity under projected future (2070) conditions. We then determined the human population densities within each region.

Data availability. All data used in this research are publicly available from the cited literature. Results and data products generated from this research are publicly available for download from NTSG and the University of Montana or through contact with the corresponding author.

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Author Contributions
N.M. implemented the analysis and led in the design and writing of the paper. J.S.K., A.P.B., D.L.R.A., P.M.B., P.B.R., J.K., A.S., M.N., M.O.J., M.Z., S.W.R. contributed to writing and provided feedback on development of the research.

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