Blockchain based AI-enabled Industry 4.0 CPS Protection against Advanced Persistent Threat

Ziaur Rahman, Xun Yi, and Ibrahim Khalil

Abstract—Industry 4.0 is all about doing things in a concurrent, secure, and fine-grained manner. IoT edge-sensors and their associated data play a predominant role in today’s industry ecosystem. Breaching data or forging source devices after injecting advanced persistent threats (APT) damages the industry owners’ money and loss of operators’ lives. The existing challenges include APT injection attacks targeting vulnerable edge devices, insecure data transportation, trust inconsistencies among stakeholders, compliant data storing mechanisms, etc. Edge-servers often suffer because of their lightweight computation capacity to stamp out unauthorized data or instructions, which in essence, makes them exposed to attackers. When attackers target edge servers while transporting data using traditional PKI-rendered trusts, consortium blockchain (CBC) offers proven techniques to transfer and maintain those sensitive data securely. With the recent improvement of edge machine learning, edge devices can filter malicious data at their end which largely motivates us to institute a Blockchain and AI aligned APT detection system. The unique contributions of the paper include efficient APT detection at the edge and transparent recording of the detection history in an immutable blockchain ledger. In line with that, the certificateless data transfer mechanism boost trust among collaborators and ensure an economical and sustainable mechanism after eliminating existing certificate authority. Finally, the edge-compliant storage technique facilitates efficient predictive maintenance. The respective experimental outcomes reveal that the proposed technique outperforms the other competing systems and models.

Index Terms—Blockchain, Industry 4.0, Internet of Things, Edge IoT, Advanced Persistent Threat (APT), Deep Transfer Learning (DTL).

I. INTRODUCTION

SINCE the last decade, the world has experienced the latest iteration of the industrial ecosystem called Industry 4.0. This fourth revolution demands the adoption of connected devices and techniques to meet the increasingly growing system protection requirements. The ultimate goals of building such automated connections range from enhancing productivity, reducing costs to boosting revenue. After merging advanced technology such as the Internet of Things (IoT), Artificial Intelligence (AI), etc., the latest industrial infrastructure has laid the foundation for the desired smart factory system, where the convergence happens between machines and humans depending on data. Data generated by edge sensors play a vital role in monitoring the manufacturing process, predicting maintenance, and detecting equipment anomalies. As a critical component, if data fails to comply with the security standard, all the actions associated with data will undoubtedly affect or paralyze the entire industrial ecosystem. The recent security issues published by the Guardian and ABC support the US and Australian claims and concern of stealing their industry copy-right data through cyber espionage by other countries. Even during the worldwide COVID 19 pandemic, Webber has recorded about 50 cyberattacks only in Australia since January 2020, which was 120 in the last two years. The report shows that most attacks targeted large industries such as Bunnings, Alinta Energy, and Toyota, including sensitive health information. The country indulges a 15 billion dollar package in tackling potential threats and unprecedented loss. US Defense (DoD) also funded 8.5 billion in Cybersecurity, with an almost 5 percent increase over the previous year.

On top of the incidents above, security concern has become an inevitable issue that deserves proper addressing inside all processes of the Industry 4.0 system. However, the existing industrial security solutions are mostly designed, relying on the security mechanism in the server-side, trust provided by the trusted third party (TTP) such as cloud and certificate service provider.

Fig. 1: Cyberattacks to inject Advanced Persistent Threat (APT) to the Industry 4.0 CPS via a) IoT Edge b) Cyberspace

A. APT Attack Model and Challenges

Among several other intrusions and malwares injected to IoT edge nodes, the latest ransomware, namely Advanced Persistent Threat (APT) has caught broader attention because of its detective nature. As a stealthy threat actor, an APT strives to control a system network after remaining undetected over a long period. Though attackers target the server-side, currently, several incidents were recorded where the edge-side vulnerability was responsible. Therefore, today’s Industry 4.0 network has to tackle that it has no APT inside the edge servers. As shown by Figure 1, APT may enter into the Industry 4.0 Cyber-physical System (CPS) both via edge and...
server nodes. There are several techniques that focus solely server-side protection \(1, 2\). Similarly some works focus edge protection using collaborative machine learning technique. Undeniably, the system can not be sustainable if there any security loophole at the edge-end \(2\). Existing approaches seem to be utilizing complex machine learning algorithms that requires significant computational capabilities which are often NOT edge complaint. Several works have used server-driven data to evaluate their proposed techniques which may not work at certain circumstances \(3\).

Advanced Persistent Threat (APT) is well funded, organized group that is systematically developed to compromise large-scale information of government and commercial entities. Malware is any malicious software or program designed to damage or disable computer systems or networks. APT is a broad term used to describe a prolonged, more strategic, and targeted attack. However, most malware attacks are target-specific, quick-damaging attacks. Besides, APT can stay undetected for an extended period; on the contrary, anti-malware tools can detect and eradicate malware from the system.

B. Contributions

With a motivation to protect both edge IoT and server-side data transfer the key contributions of the paper are as follows.

- A blockchain based AI-enabled APT detection system is proposed that protect Industrial IoT data from being forged.
- Reusable machine learning method has been incorporated at the IoT edge that secure data before sending it to the cyberspace.
- Consortium blockchain (CBC) brings trust among the participating stakeholders that prevent system from centralized dependency and facilitates sustainable system.
- Certificateless device registration and data transfer technique has been proposed that save costs after eliminating certificate authority and brings collaborative operation.
- Immutable recording of both APT detection and data transaction in the blockchain ledger and storing in the edge-complaint distributed hash table (DHT) ensures higher performance and efficiency. Because of the DHT integration, the respective experimental outcomes reveal that the proposed technique outperforms the other system with competing machine learning models.

C. Organisation

The remainder of this article is organized as follows. Section II include the background and related state of the art literature. Section III explains the proposed model where the necessary evaluation is detailed through subsequent section IV. The final section conclude the future scopes and justify how authors achieves the claims made throughout the work.

II. BACKGROUND AND RELATED WORK

Blockchain is a growing, publicly distributed, and permanent ledger to which transaction events are posted and verified by the peers on the network. The entire process happens without the intervein of any third-party, that makes it so appealing, indeed. Bitcoin is the most common example of

| Public Blockchain | Consortium Blockchain |
|--------------------|-----------------------|
| Energy intesive, Rewards | No Rewards & miner cost, Cheaper |
| Costly | Faster Tx verification & addition |
| Slow Tx check for new blocks | Higher throughput: 3k-20k Tx/s |
| Less throughput: i.e., 4-15 Tx/s | Higher throughput: 3k-20k Tx/s |

Fig. 2: Consortium Blockchain suitability for Industrial IoT

Blockchain where data as transactions are maintained after being confirmed through an incentivized system in which members must compete to complete some proof-of-work like cryptographic challenge. One block is linked with its nearest block by using the hash of that block; therefore, any modification in the block breaks all the previous chain and consensus. The latest block establishes the integrity of the last block.

A. Blockchain suitability for Industry 4.0 CPS

Public blockchain best suits where an utterly untrusted network requires to be safe; however, it is slow and expensive. For example, for setting up a powerful mining node, in reality, is costly, on top of that, it requires enormous energy consumption to process the mining works. Besides, public blockchain can verify only a few transactions per second, which makes it incompatible for the use cases such as industry where plenty of data-transactions need to be done in real-time. On the other hand, consortium or permissioned type of blockchain such as Hyperledger (HLF), Quorum, Corda have a selective setup where only invited members instead of arbitrary participants are allowed to join the network who agreeably trust each other. Here token for incentives/rewards is not mandatory; thus, expenses for mining setup can be avoided to make it adaptable for the real-time and critical system like Industry 4.0 application. As participating nodes are acquainted beforehand, it brings natural protection against ‘Sybil Attacks’. Figure 2 shows that permissioned blockchain (BC) is cheaper, faster and also has higher transaction processing rate. For example, Proof of Work (PoW)-driven BC, i.e. Ethereum has the rate of 4 to 5 transaction per second (Tx/s) where permissioned BC, i.e. HLF Fabric can process about 3,000 to 20,000 Tx/s which in essence make it a inevitable choice for the proposed industry 4.0 edge communication 4.

B. Deep Transfer Learning

Deep Transfer learning (DTL) converges storing knowledge gained while solving one problem and applying it to another, i.e., the knowledge to detect malware top up the knowledge of the model that detects intrusion. Figure 3 depicts how a model transfers/reuses its knowledge to predict a decision in cooperation with another model performing different task. Deep transfer learning (DTL) is given in terms of domains and tasks. Suppose, a domain \(D\) consists of: a feature space \(X\) and a marginal probability distribution \(P(X)\), with \(X = \{x_1, ..., x_n\} \in X\). Let a domain, \(D = \{\{X, P(X)\}\}\) is an example of task with two elements. A label space \(Y\) and and objective predictive function \(f : X \rightarrow Y\). \(f\) predicts the respective label \(f(x)\) of an instance \(x\). This task, denoted by
Fig. 3: Knowledge transfer and prediction technique on different datasets in deep transfer learning (DTL) approach

\[ T = \{Y, f(x)\}, \text{can be obtained from the training dataset} \]

\[ \text{Assuming an input domain } D_S \text{ and a training task } T_S, \text{an} \]

\[ \text{output domain } D_T \text{ as if } D_S \neq D_T \text{ OR } T_S \neq T_T. \text{DTL} \]

\[ \text{improves learning of the target predictive function } f_T(\cdot) \text{ in} \]

\[ D_T \text{ using the knowledge in } D_S \text{ and } T_S. \text{Besides, how to store} \]

\[ \text{edge sensor data deserves illustrations.} \]

C. DHT for Edge Data Storage

Storing data in the DHT and its associated pointer-address into blockchain ledger (BCL) best suits for the IoT edge, i.e., smart energy, implantable medical system, car, or any other industry 4.0 CPS, etc because of its salient features. In our proposed setup, when any external user asks for data access, the key generating and distribution (KGD) authenticates in cooperation with the required number nodes running the consortium blockchain network. It confirms the unique benefits such as traceability, accountability, removing trusted party, decentralized mechanisms, etc. over existing the cloud-driven centralized storage model. The efficient DHT adaptation additionally makes the proposed technique robust, self-organizing, highly scalable, and fault-tolerant against different attacks, i.e., false query injection attacks, APT, Zeroday, etc. The proposed Industry 4.0 CPS data protection suits most of the DHT protocol, however, the demonstration integrates InterPlanetary File System (IPFS) considering the fixed-sized routing, malware and APT attacks. Before storing data, both transaction and devices need to be authenticated.

D. Certificateless Authentication

Suppose there are three \( n = 3 \) parties namely Bob, Elen and Peter in an industry 4.0 setup who agreed to cosign their partial secret \( ps \) before registering a new device into the system. They are connected over a CBC and work as KGD authority. KGD dissipates their public parameter with the connected Industry 4.0 IoT devices.

The partial key is a concept in ordinary certificate-less authenticated encryption (CLAE) and identity-based encryption (IBE) that fixes the key-escrow problem. In the Hypeledger consortium blockchain setup, a built-in Membership Service Provider (MSP) works as a certificate authority (CA). The proposed technique replaces it with a PKI-like key generation centre (KGC), by adopting a Blockchain (BC) consortium collaborating with the Industry 4.0 CPS peers.

- **Key generation:** Purposing to sign a \( ps \) for a number of sensor devices, Bob, Elen and Peter agrees to pick prime numbers \( p \) and group generator \( g \) (e.g. primitive root) as if \( q \mid p \). Private and public key pair are the ring elements of \( \mathbb{Z}_p \). Let their private keys are \( x_1, x_2 \) and \( x_3 \), therefore calculated public keys will be \( y_i = g^{x_i} \) where \( (i = 0, 1, ..., n) \) and aggregated public key \( Y = \prod_{i=1}^{n} y_i \mod p \). The key pairs will be \( \{p, q, g, Y\}, \{x_1, x_2, x_3\} \).

- **Signing data and partial secret:** All cosigners choose random number \( r_i \) such that \( 0 < r < \mathbb{Z}_p \) and compute \( R_i = g^{r_i} \) before finding the \( R = \prod_{i=1}^{n} R_i \mod p \). Suppose \( T \) is the time-stamp including the dynamic edge identity (Eid) formed using all individual sensor ids (ID_i) and other required parameters, then the KGD on Blockchain will find the signature parameter \( c = H(T \| Y \| R \| PS) \) here \( H : M \rightarrow \mathbb{G}_0 \) treated as random oracle in the security analysis and \( PS \) are the KGD generated Partial Secrets (PS) for \( m \) number of industrial IoT devices at a particular time \( t \) that need to be multi-signed \( \text{[3]} \). Then the partial signature will be \( s_i = (r_i + cx_i) \mod q \) and the desired multi-signature will be \( (R, S) \) where \( S = \sum_{i=1}^{n} s_i \mod p \) as demonstrated by Figure 4.

- **Verifying device and data:** The device receives the multi-signature \( (R, S) \) along with the encrypted \( ps \). As public key parameters such as \( \{g, Y\} \) besides \( T \) are already known to the edge sensors, it produces \( c = H(T \| Y \| R \| PS) \) using the same hash algorithm \( H \). The device will accepts \( ps \) before generating its own public-private key pairs \( P_j, S_j \) if and only if it satisfies \( g^S \mod p = R \times Y^c \mod p \).

Fig. 4: Certificateless communication between blockchain consortium (KGD) and Industry 4.0 IoT Edge devices

E. Related Work

Blockchain immutable nature besides its pseudo-anonymity, traceability over the transparent distributed network have made Blockchain an unbeatable tool for Industry 4.0 CPS. Blockchain application found in the domain of copyright protection of digital data/asset, ID verification/provenance (notarization), real state land ownership transfer, smart-taxation immigration, electronic voting, privacy-principle compliance (e.g., GDPR). Authors seemed to be practicing the immense benefits of distributed hash table (DHT) for storing access control and compliance data \( \text{[7]} \). However, besides the high-energy conducive miners’ incentive disputes, the Blockchain network encounters the scalability issues that some existing-works concentrated on and aimed at solving through
plausible remedies [9] [10] [11]. Considering the appealing features of Blockchain, researchers has incorporated AI (i.e. Deep learning, DTL, federated learning, etc.) with it. In an another work authors propose a cloud-based distributed deep learning framework for phishing and Botnet attack detection and mitigation [12]. A group of authors proposed a permissioned edge blockchain to secure the peer-to-peer (P2P) energy and knowledge sharing in framework to maximize edge intelligence efficiency [13]. Where [1] proposes a deep blockchain framework (DBF) designed to offer security-based distributed intrusion detection and privacy-based blockchain with smart contracts in IoT networks.

One of the latest and motivating works proposed a consortium Blockchain based framework to protect Industry 4.0 CPS [4]. There are a number of works studied addressed a novel blockchain-enabled model sharing approach to improve the performance of object detection with cross-domain adaptation for automatic driving systems [14]. Authors addressed a special technique namely Authentication mechanism based on Transfer Learning empowered Blockchain, coined ATLB where blockchains are applied to achieve the privacy preservation for industrial applications [2]. Apart from this a group of researchers proposes a new transfer learning-based secure data fusion strategy (TSDF) for Industry 4.0 like system [15]. Beside focusing reinforced machine learning scheme [16] another group of authors proposed to enable Mobile Multi-user to make optimal offloading decisions based on blockchain transaction states, wireless channel qualities. As studied, several mechanisms appear to have limitations to peer with the Industry 4.0 edge IoT protection, however, they have conceptually motivated us to design our proposed technique.

III. PROPOSED APT PROTECTION MECHANISM

The protection scheme proposed here works in three steps. In the first step a deep transfer learning model gets deployed inside the edge server. The model is trained based on two combined and preprocessed datasets [17] [18] and the trained model is settled down in the edge server. Once edge server is called, it checks if there any advanced persistent threats found within that data. Secondly detection history along with the sensor data are sent to the linked DHT. Before storing the data it needs to check if there any APT injected during the data transfer over the network. In this step a blockchain consortium administers the process and ensure that only the registered and authenticated devices are sending data. In the final step blockchain smartcontract records the data transaction into the shared ledger and store data into the DHT storage. Figure 5 portrays the steps one by one. The captions briefly shows those respectively.

A. Building DTL Model

In order to identify the problems in the IoT environment better, Table 1 gives the topical symbols and descriptions, in which we set the initial model that has enough labeled data to build an efficient intrusion detection model. When the new complex type of cyber-attacks arrives, the detection model is suitable for the new type of cyber-attacks [19].

Source Domain: The domain where the initial model is located. The source domain data (\(D_s : (X_s, Y_s)\)) is the combination of \((X_{s1}, Y_{s1}), (X_{s2}, Y_{s2}), (X_{s3}, Y_{s3}), \ldots, (X_{sn}, Y_{sm})\), in which the class of source domain label data \((Y_s)\) is 0, and 1, where the normal scenario is represented by 1 and the attack scenario is represented by 0.

Target Domain: The domain has a new type of attacks. The target domain data \((D_t : (X_t, Y_t))\) is the combination of \((X_{t1}, Y_{t1}), (X_{t2}, Y_{t2}), (X_{t3}, Y_{t3}), \ldots, (X_{tn}, Y_{tm})\), in which the class of target domain label data \((Y_t)\) is 0 and 1, where the normal scenario is represented by 1 and the attack scenario is represented by 0.

Furthermore, the source domain label \((Y_s)\) and the target domain label \((Y_t)\) contain only “normal” and “attack” data, but attackers in the source domain and target domain may be different. Although the source domain label \((Y_s)\) and the target domain label \((Y_t)\) have the same feature space, their performance in specific features is different. We have used the formula called maximum mean discrepancy (MMD) [20] to measure the difference between the source domain and the target domain.

\[
\text{Distance}(X_s, X_t) = \left\| \frac{1}{n} \sum_{i=0}^{n} \phi(X_{si}) - \frac{1}{m} \sum_{i=0}^{m} \phi(X_{ti}) \right\|^2
\]

According to the dependence of Traditional machine Learning(TML) and DL models, the detection model trained by source domain data \((D_s)\) does not have good detection accuracy when facing target domain data \((D_t)\), and it has been completely confirmed by the subsequent experiment. The TML and DL models need sufficient training data, thus it is difficult to train an efficient APT Detection model model only depending on a small-scale of target domain source data \((D_t)\).

| Description       | Source (s) | Target (t) |
|-------------------|-----------|------------|
| Domain data       | \(D_s : (X_s, Y_s)\) | \(D_t : (X_t, Y_t)\) |
| Domain feature    | \(X_s\)   | \(X_t\)    |
| Domain label      | \(Y_s\)   | \(Y_t\)    |
| Number of domain data | n      | m          |

Therefore, we transfer the knowledge contained in source domain data \((D_s)\) to the target domain through the proposed
DTL-ResNet method and combine the target domain data \((D_t)\) with the same DTL-ResNet method to construct an efficient APT Detection for the target domain to improve the detection accuracy for any heterogeneous IoT ecosystems.

### B. DTL ResNet APT Detection Technique

Figure 6 shows the block diagram of the proposed DTL-ResNet based model for APT Detection, which predominantly includes two parts: the first one is the model training part and the last one is the intrusion detection part. We have applied it to our proposed model after prepossessing the network data. The most significant parameters of this model will be determined through subsequent empirical experiments. The model with an optimal prediction performance on the training set will be selected as the final intrusion detection model for heterogeneous IoT applications. As for the intrusion detection part, we have trained the DTL-ResNet model by randomly selected training dataset and validated the model by validation dataset. The detection performance of the models under the discrete type of parameters are compared. Finally, the optimal performance model has been selected as the final detection model in the field of heterogeneous IoT applications.

![APT Detection flow using DTL ResNet model.](image)

The network architecture which we have selected for the DTL approach is a one-dimensional Fully Convolutional Neural Network (FCN) called \(\text{Com1D}\). Figure 6 shows the architecture of the proposed DTL-ResNet model. The input of the network is the same shape. The one-dimensional convolution layer is used in the first, second and third layers respectively. The first layer is the combination of 128 filters of kernel length 8, the second layer is the combination of 256 filters of kernel length 5, and the third layer is the combination of 128 filters of kernel length 3. The Rectified Linear-Unit (ReLU) activation function is used in the third one-dimensional convolution layer. Each one-dimensional convolution layer is followed by a \(\text{BatchNormalization}\) operation [21]. The combination of these three convolution layers has a stride equal to 1.

The procedure repeat two times for block-2 and block 3 respectively purposing to achieve optimal performance. Each block takes the previous block outputs as inputs for the current block and performs some non-linearity’s to transform it into a multivariate series whose dimensions are defined by the number of filters in each layer. The fourth layer is the combination of a GlobalAveragePooling1D() operation which takes the input of the third block and averages each series. This operation reduces drastically the number of parameters in a deep model while enabling the use of a class activation map [22] which allows an interpretation of the learned features [21]. The output of the gap layer is then fed to a \(\text{softmax}\) classification layer whose number of neurons is equal to the number of classes in the dataset. Other hyper-parameters and data correlation are skipped purposing brevity of the paper. This enabled us to identify the effect of deep transfer learning in the field of APT detection. However, once APT detection successfully run in the edge-server, the detection status is kept encrypted purposing to send it during the data transfer over the consortium blockchain (CBC) network. Suppose, a detection status is \(T_a\) and data transaction is \(T_x\). This will be recorded in the blockchain ledger (BCL). As mentioned earlier the CBC works as KGD which establishes the communication between edge-devices and blockchain peers. Though, existing consortium blockchain i.e., Hyperledger fabric (HLF) [7] works in cooperation with the membership service provider (MSP) which is actually a PKI-driven certificate authority (CA), the proposed technique replaces the need of CA need by facilitating a novel certificateless technique using Elliptic curve powered multisignature (MS), i.e. BLS/Schnorr variant etc. The following subsection explains how it fullfills that requirements.

### C. Blockchain based Certificateless Authentication

Identity-based encryption (IBE) encounters crucial key escrow issues while it emerges with the legacy of Public Key Infrastructure (PKI). As discussed in the previous section certificate-less cryptography (CLC) solved it by introducing the partial secret \((ps)\) concept, derived from a master secret \((ms)\) that keeps the private keys apart from blockchain consortium \((KGD)\) as already mentioned in Figure 4. The \((ps)\) depends on the device identity, which furthermore ensures the mutual dependency instead of sole reliance on trusted TTP, i.e., certificate authority (CA). In Industry 4.0 use case, once a device receives the partial secret \((ps)\), it starts generating public-private key pairs \((Pk, Sk)\) using its identities \((Ids)\). As depicted by the 4 steps \((a : a \rightarrow d : \) interaction between \(A\) and \(B\) of Figure 4, the entire key generation tasks can be divided into the following five (05) consequent processes.

\[
\text{setup}(1^\lambda) \rightarrow (y, ms): \text{ It takes a system’s security parameter } \lambda \text{ and returns the system parameter } y \text{ and master secret } (ms). \]

The algorithm associated with this procedure runs at \((KGD)\). For example, for the customized Schnorr multisignature (MS), \(y\) includes the prime numbers \((p, q)\), group generators \((g)\) i.e. primitive roots etc. It finalizes the after confirming each peer’s own private keys such as \((x_1, x_2, x_3)\), master secret \((ms)\) and public keys such as \((p, q, g, y\) as mentioned in the earlier key generation subsection.

\[
\text{genPS}(y, id_j, ms) \rightarrow (ps_j): \text{ As illustrated by Algorithm 1 the algorithm takes inputs of system parameter } y, \text{ j}'\text{th number}
\]
of identities \(id_j\) that interested to join at a certain time \(t\), along with the previously created master secret \(ms\). Here the device identity, \(id_j \in \{0,1\}^*\), and \(ms\) outputs the \(j\)'th number of partial keys \((ps_j)\) in response. In line with that, it takes the \((id_j)\) and finds device secret value \(x_j \leftarrow (y, id_j)\).

### Algorithm 1: Certificateless device key generation

| Input: | \(id_j\) – identities of the \(j\)'th number of IoT devices  
\(y\) – system parameters | /* prime numbers */ |
| Output: | \((pk, sk)\) – public and private key pairs |

1. setup\((\{y\})\) /* sys param init */
2. for \(id \leftarrow id_j\) do
3. call procedure keyGen \((y, id)\) /* key gen */
4. \(X_j \leftarrow \text{genSk}(y, id)\) /* sense keys */
5. requestSend \((id_j)\) /* request to join */
6. \(ps_j \leftarrow \text{genPS}(id_j)\) /* KGDs gen partial sec */
7. multiSig\((y, id_j, ps_j)\) /* multi-sig */
8. responseReceived \((id_j)\) /* get ps */
9. \(V[0,1, \ldots] \leftarrow \text{verify}()\) /* verify sig */
10. if \(V \leftarrow 1\) then
11. \(sk_j \leftarrow \text{genSk}(y, id_j, x_j)\) /* set pri key */
12. \(pk_j \leftarrow \text{genPk}(y, x_j)\) /* set pub key */
13. end

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**Fig. 7:** Consortium blockchain (hyperledger fabric) data transaction \((T_x)\), verification and recording flow. It has 6 steps, i.e., a: Proposing Tx (registrar & submit Tx), b: Endorsing Tx (run environment & endorse), c: Verifying Tx (validate proposed Tx), d: Aggregating Tx (OSN articulate), e: Committing Tx (broadcast to all), f: Storing Tx (to ledger & DHT)
E. Data Protection and Storing

Once edge sensors are successfully registered to the KGD upon the certificate-less cryptography and multi-signature based authentication, the sensor devices proceed further to send and store data as illustrated by Figure 2. The transaction includes the identity of the IIoT devices along with the action and timestamp at the time (T) of action (ACT). There can be different type of actions such as store data at a specific DHT address (ADS), update previously inserted data or access permission of the particular data. To verify a transaction \( T_x = (ID, T, ACT) \), the blockchain peers have to meet two conditions: i) Either the public key \((PK_x)\) obtained associates with the identity \((ID_x)\), ii) Can the signed transaction \((T_x)\) be verified. Figure 5 illustrates the following steps from 1 to 6.

- **Propose:** Client Edge sensors initiate the process by registering the devices to the Blockchain. It constructs the encrypted transaction proposal \((t_x)\) using \((sk_x)\) and invoke the smart contract and SDK.
- **Endorse:** SDK requests for endorsement, and BC peer verifies \( t_x \) after authenticating the identity \((ID)\) of the particular blockchain peer.
- **Verify:** The verification requires meeting the policy, i.e., business logic. The SC takes a \( t_x \) as input and returns a multi-signed 0 or 1 in response to the SDK apps. \( T_x \) is determined as query function using APIs (i.e OAuth 2.0 REST API). In either case, the SDK apps proceed the \( t_x \) with the required operations such as create, retrieve, update, and delete with the endorsement.
- **Aggregate:** The SDK apps aggregates all consents into single transaction and disseminates those to the Ordering Service Node (OSN). The OSN works on the consensus protocols, i.e., Practical Byzantine Fault Tolerance (PBFT) within Apache Kafka platform.
- **Commit:** The \( t_x \) then relayed to the OSN, associated channel peers confirm each \( t_x \) of the block by specific smart-contract and checking through concurrency control version (CCV). In case any transaction misses the process is identified as invalid or dropped inside that block. Hence a fresh block is committed to the blockchain ledger.

**Algorithm 2:** Secure data transfer and store by BC SC

```plaintext
Input: \( T_x \) - IIoT data transactions
L - access control lists
\( \sigma \) - signatures of the \( T_x \)
ID - identities of the \( j^{th} \) number of IIoT devices
Y - system parameters /* prime numbers,
primitive roots etc */

Output: \((V_{ij}, V_{ij}, S)\) - set & return verification and storing flag \textit{true}

1. \textit{create} \((ID, T_x, \sigma, ADS)\) /* creates \( T_x \) */
2. \textit{signTx} \((Tx, Sk)\) /* sign \( T_x \) */
3. \textit{castTx} \((Tx, \sigma)\) /* broadcasts \( T_x \) */
4. for \( Tx \leftarrow T_x \) /* for all \( n \times T_x \) */
5. do
6. \( V_1 \leftarrow \text{verID} \((ID, PK, Y)\) /* verify \((ID)\) */
7. \( V_2 \leftarrow \text{verTx} \((Tx, ID, PK, \sigma)\) /* verifies \((T_x)\) */
8. if \((V_1 \mid V_2)\) then
9. \( S \leftarrow \text{storeDHT} \((Tx, ID)\) /\* store \( T_x \) */
10. end
11. end

**j) Store:** The Gossip protocol of the OSN broadcasts ledger update across the BC network. Thus pointer is memorized in the ledger, and data-address is securely stored on the offline or online DHT data repository upon IPFS or HL CouchDB. Here, the signature algorithm can be represented as a triple /4-tuple of probabilistic polynomial-time algorithms \((G, S, V)\) or \((G, K, E, D)\) that includes generation \((G)\), signing \((S)\), verification \((V)\), Key-distribution \((K)\), Encryption \((E)\) and Decryption \((D)\) respectively. Besides, the identities \(ID\), here the devices require the Access Control List (ACL) before Transaction \((Tx)\) creation and signing \((\sigma)\). The industry 4.0 devices along with the Edge Gateway are solely responsible to create the ACL list \((L)\) in addition to signature \((\sigma)\) generation and transaction \((T_x)\) publishing. However, the same \( L \) will be required later to access data. If the identities belong to the derived public keys, \( V_1 \leftarrow true \), while the certificate-less signature meets the condition as discussed earlier, \((V_2 \leftarrow true)\). The Blockchain peers do the transaction \((T_x)\) verification in response to the reception. Interchangeable verification procedure works in case of data accessing. Similarly, after data is written to the DHT, the third flag gets set, \((S \leftarrow true)\). Lastl new block is added to the blockchain and subsequently the ledger gets updated including the \( T_x \) Pointers \((Tp)\).

IV. DATASET AND MODEL TRAINING

There are two fundamental steps that applied during the data preparation process based on the combined dataset applied. Some features such as - date, time, and timestamp have been omitted from feature vectors as they may cause to overfit the training data. Furthermore, for some DL and DTL models, the input data shape has been reshaped into three dimensions to feed the models by applying \textit{numpy.reshape} with \textit{swapaxes} and \textit{concatenate} methods. As this dataset originates from multiple heterogeneous sources. So, it is an essential step to combined all the IoT sensors’ data by redundancy and correlation analysis which has been evaluated using the Pearson’s product-moment coefficient equation\([23]\):

\[
r = \frac{\sum_{i=1}^{n}(x_i - \bar{x})(y_i - \bar{y})}{\sqrt{\sum_{i=1}^{n}(x_i - \bar{x})^2} \sqrt{\sum_{i=1}^{n}(y_i - \bar{y})^2}}
\]

where \( n \) is the number of tuples, \( x_i \) and \( y_i \) are the respective values in tuple \( i \), \( \bar{x} \) and \( \bar{y} \) are the respective mean values of \( x \) and \( y \). As datasets originate from different heterogeneous sources, it is an essential step to combined all the IoT sensors’ data by redundancy and correlation analysis. This analysis has measured how strongly one feature, i.e., door state implies the other, i.e., light status. Table II shows the respective correlation analysis where light status (LS), door state (DS), Smartphone signal (PS), temperature condition (TC), pressure (PS), current temperature (CT), humidity (HY), temperature (TE) are in the rows. Similarly thermostat status (TS), motion status (MS), longitude (LG), latitude (LT), fridge temperature (FT), temperature (TE), humidity (HY), current temperature (CT) are in the column, respectively. We have performed these strategies to scale the selected feature values within a range between [0.0,1.0] using a technique called minimum-maximum normalization\([24]\).
$$N_{\text{NormalizedValue}} = \frac{(X - X_{\text{min}})}{(X_{\text{max}} - X_{\text{min}})}$$

Where, X is an original value and $X_{\text{max}}$ and $X_{\text{min}}$ is the maximum and minimum values of the feature, respectively.

| LS | DS | PS | TC | PR | CT | HY | TE |
|----|----|----|----|----|----|----|----|
| 0  | 0  | 0  | 0  | 0.01 | 0 | 0  | 0.03 |
| 0  | 0  | 0  | 0  | 0.01 | 0 | 0  | 0.03 |
| 0  | 0  | 0  | 0  | 0.01 | 0 | 0  | 0.03 |
| 0  | 0  | 0  | 0  | 0.01 | 0 | 0  | 0.03 |
| 0  | 0  | 0  | 0  | 0.01 | 0 | 0  | 0.03 |
| 0  | 0  | 0  | 0  | 0.01 | 0 | 0  | 0.03 |
| 0  | 0  | 0  | 0  | 0.01 | 0 | 0  | 0.03 |
| 0  | 0  | 0  | 0  | 0.01 | 0 | 0  | 0.03 |
| 0  | 0  | 0  | 0  | 0.01 | 0 | 0  | 0.03 |
| 0  | 0  | 0  | 0  | 0.01 | 0 | 0  | 0.03 |

TABLE II: Correlation matrix (with color intensity)

A. Training the DTL ResNet Model

First of all, we have divided the combined dataset into the training data set (80%) and the validation data set (20%) by using the train_test_split method of the scikit_learn library. To avoid the over-fitting problem, this splitting ratio has been considered as the best ratio between the training and the validation dataset [25]. We have used the value of the random_state parameter as true (1), which decided the splitting of data into the training and the validation set randomly. The k-fold cross validation has been used for parameter tuning.

V. APT Detection Evaluation

First of all, we consider the quantitative performance of DTL algorithms. Table III shows the quantitative performance summary of the DTL algorithms, where the proposed ResNet model shows an optimal performance compared to the other DTL algorithms with an accuracy score of 0.87, precision score of 0.88, recall score of 0.86, f1-score of 0.86, and ROC AUC score of 0.83. In this model, we used three hidden-layers where relu is the hidden layer activation function. Also, softmax is used as a network output activation function, and “categorical_crossentropy” is used as a loss function along with adam optimizer.

| Algorithm | Accuracy | Precision | Recall | F1Score | ROC AUC |
|-----------|----------|-----------|--------|---------|---------|
| FCN       | 0.84     | 0.85      | 0.84   | 0.83    | 0.81    |
| LeNet     | 0.80     | 0.82      | 0.80   | 0.79    | 0.76    |
| IncepNet  | 0.80     | 0.86      | 0.80   | 0.81    | 0.73    |
| MCDCNN    | 0.80     | 0.83      | 0.80   | 0.79    | 0.76    |
| CNN       | 0.81     | 0.83      | 0.81   | 0.80    | 0.76    |
| LSTM      | 0.85     | 0.84      | 0.77   | 0.77    | 0.83    |
| MLP       | 0.73     | 0.74      | 0.78   | 0.77    | 0.74    |
| ResNet    | 0.87     | 0.88      | 0.86   | 0.86    | 0.83    |

TABLE III: DTL performance comparison metrics

Fig. 8: The training and validation accuracy of the ResNet model used to detect Advanced Persistent Threat (APT). The experiment performed within an edge compliant setup.

However, for the training phase, the accuracy score (0.78) remains stable in epoch number 66 to 200. On the other hand, for the validation phase, the accuracy score (0.74) remains stable between epoch numbers 141 and 200. The training and validation accuracy of CNN, IncepNet, LeNet, and MCDCNN models remain steady between the epoch number 1 to 200 as shown in figure 8. The accuracy of LSTM and FCN models starts with a score of 0.82 at the beginning. But this score rises gradually with the increase if the epoch number and reaches to approximately 0.86 when the epoch number is 160 and then remains stable between epoch number 161 to 200 for both of the phases. The remarkable point is that the behavior of the training phase almost similar to the validation phase. Figure 8 shows the trend of the accuracy score of both phases for a better understanding of our proposed model. The accuracy of the proposed model jumps rapidly in epoch number 60 and it reaches a peak of point close to 0.87 at epoch number 169. However, According to Figure 8 which remains almost stable up to the early stopping checkpoint with an accuracy of 0.87.

VI. Blockchain Performance Evaluation

A. Platform Setup

The proposed security approach was deployed inside the Caliper evaluation toolkit for IBM Hyperledger Fabric (HLF) v1.4.1. It helps measuring a particular blockchain deployment with a set of previously defined enterprise use cases. IBM discloses that no general tool provides performance evaluation benchmark for Blockchain while releasing initial version of HLF Caliper [7]. The integrated use-cases were customized to
overlapping the industry 4.0 edge requirements for generating data. However, the latest version of the NodeJS Package Manager (NPM 8.0.1), docker and curl were installed to set up the runtime environment inside the Ubuntu 18.04 LTS with 16 GB of memory where python2, make, g++ and git ensure additional SDK supports. A typical configuration for the permissioned blockchain has programs called Test Harness that include client generation and observation and the deployed blockchain System Under Test (SUT) and the RESTful SDK [7].

B. Blockchain Deployment

The RESTful Software Development Kits (SDK) interfaces among the required components setup. There are four (04) steps required to evaluate the performance benchmark, such as i) Starting a local Verdaccio-server for package publishing, ii) The connecting the repository to the server, iii) Installation and binding the CLI from the server side and iv) Hence, running the integration benchmark. The associated ledger works with the initial config.yml file on command line interface (CLI). After the initial configuration, the system was configured for performance benchmark with the tasks, such as a) invoke policy checking functions (READ) and WRITE Tx into the ledger, b) Setup multiple test-cases for about 2 to 35 number of peers representing industry stakeholders and cosigners, c) Allocating workloads from 100 Tx/sec to 1500 Tx/sec among those peers representing the Industry 4.0 edge data population. However, the future scope of this work includes increasing the workload to best suit the higher Industry 4.0 CPS standard.

C. Performance Analysis

The Caliper benchmarking results illustrates the deployed project performance based on four measurement metrics success rate ($\rho$), latency ($\Delta t$ and $L$), throughput ($P$), and resource consumption ($W$) for different test cases. Fig. 9 shows the throughput, success rate and delay, respectively. The associated test-case was run under different number of workload ($W$) ranging from 100 to 1000 workloads. The HLF network occupies two (02) chaincodes, four (04) peer nodes, and three (03) OSNs running on Apache Kafka for Practical Byzantine Fault Tolerance (PBFT) consensus algorithm. As seen in Figure 9(a), the WRITE has 185 at about 200 workload ($W$) with the maximum success rate of 93% and an average delay of 5 seconds. On the other hand, Read operation seems to have a maximum of 470 throughputs on a similar success rate at the maximum workload. The usual delay appears to be almost half of the $W$ delay as $W$ has to incorporate OSNs on Kafka.

The benchmark evaluation explicitly illustrates that the setup configured has lower performance for higher number workload ($W$) though the theoretically solution proves the consortium Blockchain has significant adaptability for higher number of nodes. As investigated the deep inside, the local workload processing bottleneck affects throughput and latency. Hyperledger $T_x$ flow works demands enough responses against the submitted $T_x$ proposals, in case the responses are queued due to network overhead, bandwidth or processing loads consequences the latency raising. On top of that, the general purpose workstation configuration slower the evaluation for higher workloads. Here, Figure 10 portrays the relation between performance and scalability based on the previously executed Read, Write Operations. To avoid further complexity, OSN and peer configuration left resembling to initial setup. However, two test cases run for 300 and 500 workload. As depicted by Figure 10 the HLF platform setup has lower scalability. For the first test-case (300 workload), the throughput and latency respectively reaches 150 tps and 64. However, for the other test-case, it comes with lower throughput and higher latency with respect to the number of nodes ranging from 4 to 32. Caliper toolkit allows to run the node subset that endorse particular chaincodes. The investigation shows that the proposed technique without a certificate can respond within 1 to 16 milliseconds. However, it delays 40 to 242 ms with the default CA of the Hyperledger CBC deployment. The response latency varies with the increase of workloads.

VII. Conclusion

Security of the critical Industry 4.0 Cyber-physical system deserves immense concern as any leakage should outcome devastating financial damages and loss of lives. On top of malware, ransomware Advanced Persistent Threat (APT) has...
been responsible for such loss. Industry 4.0 edge ecosystem wonders for a cooperative trust-building rather than trusting a single entity that the proposed security technique purposely promises to offer through a certificateless mechanism. Admittedly, an inadequate data-protection mechanism can readily challenge the security and reliability of the network. Considering the detection accuracy, the proposed approach has utilized the salient features of the deep transfer learning (DTL) algorithm upon the residual neural network (ResNet) model. After successfully filtering the APT from the edge end, that data is transferred to the associated distributed hash table storage (DHT). Consortium Blockchain (CBC) network ensures the IoT sensor registration, authentication, and validation. The immutable ledger records the data and APT detection transaction. The proposed detection model has an overall accuracy score of about 90% where CBC increases the data transmission rate.

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[11] Ziaur Rahman is a doctoral research scholar in Cybersecurity of RMIT University, Melbourne. He served Mawlania Bhashani Science & Technology University, Bangladesh as an Associate Professor in ICT. He casually served RMIT, Monash, Deakin and Charles Sturt University, Australia. Three (03) articles he coauthored were nominated and received the best paper awards. He is affiliated with the IEEE, ACM, Australian Computer Society. His research interests include blockchain technology, security of the internet of things (IoT), machine learning.

[12] Xun Yi is currently a full Professor of Cybersecurity with the School of Computing Technologies with the School of Science, RMIT University, Melbourne, VIC, Australia. He has published more than 200 research papers in international journals and conference proceedings. His research interests include applied cryptography, computer and network security, mobile and wireless communication security, and data privacy protection. Prof. Yi has ever undertaken program committee members for more than 30 international conferences. Recently, he has led some Australia Research Council Discovery Projects in Data Privacy Protection. From 2014 to 2018, he was an Associate Editor for IEEE TRANSACTIONS ON DEPENDABLE AND SECURE COMPUTING.

[13] Ibrahim Khalil is currently a full Professor with the School of Computing Technologies, RMIT University, Melbourne, VIC, Australia. He received the Ph.D. degree from the University of Bern, Berne, Switzerland, in 2003. Before joining RMIT University, he also worked with EPFL, Lausanne, Switzerland, University of Bern, and Osaka University, Osaka, Japan. He has several years of experience in Silicon Valley-based companies working on large network provisioning and management software. His research interests are in scalable efficient computing in distributed systems, network and data security, secure data analysis, including big data security, steganography of wireless body sensor networks, and high speed sensor streams and smart grids.

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