Characterizing Planar Tanglegram Layouts and Applications to Edge Insertion Problems

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Abstract
Tanglegrams are formed by taking two rooted binary trees \( T \) and \( S \) with the same number of leaves and uniquely matching each leaf in \( T \) with a leaf in \( S \). They are usually represented using layouts that embed the trees and matching in the plane. Given the numerous ways to construct a layout, one problem of interest is the Tanglegram Layout Problem, which is to efficiently find a layout that minimizes the number of crossings. This parallels a similar problem involving drawings of graphs, where a common approach is to insert edges into a planar subgraph. In this paper, we explore inserting edges into a planar tanglegram. Previous results on planar tanglegrams include a Kuratowski Theorem, enumeration, and an algorithm for finding a planar layout. We build on these results and characterize all planar layouts of a planar tanglegram. We then apply this characterization to construct a quadratic-time algorithm that inserts a single edge optimally. Finally, we generalize some results to multiple edge insertion.

Mathematics Subject Classifications: 05C05, 05C10, 05C30

1 Introduction
Let \( T \) and \( S \) be two rooted binary trees with leaves respectively labeled as \( \{ t_i \}_{i \in I} \) and \( \{ s_j \}_{j \in J} \), where \( I, J \subseteq \mathbb{N} \) are finite index sets of the same size. If we let \( \phi : I \rightarrow J \) be a bijection, then we can denote a tanglegram as \( (T, S, \phi) \), where \( \phi \) indicates that \( t_i \) is matched with \( s_{\phi(i)} \). A layout of a tanglegram draws \( T, S, \) and the edges \((t_i, s_{\phi(i)})\) in the plane such that \( T \) is planarly embedded left of the line \( x = 0 \) with all leaves on \( x = 0 \), \( S \) is planarly embedded right of the line \( x = 1 \) with all leaves on \( x = 1 \), and the edges

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(t_i, s_{\phi(i)}) are drawn using straight lines. See Figure 1 for examples. A crossing is any pair of edges (t_i, s_{\phi(i)}) and (t_j, s_{\phi(j)}) that intersect in the layout, and the crossing number of a tanglegram \((T, S, \phi)\), denoted \(\text{crt}(T, S, \phi)\), is the minimum number of crossings over all layouts of \((T, S, \phi)\). The Tanglegram Layout Problem attempts to efficiently find a layout that achieves the crossing number.

Figure 1: Two layouts for the same tanglegram, one with six crossings and one with no crossings.

Tanglegrams initially arose in biology and computer science. Biologists use binary trees to model evolution and tanglegrams to model relationships between species. Finding optimal layouts helps determine how two species may have co-evolved [16]. Applications in computer science include clustering, decomposition of programs into layers, or analyzing the difference in hierarchy between similar programs or different versions of the same program [3]. Combinatorial interest in tanglegrams developed more recently. Matsen et al. formalized tanglegrams as mathematical objects and described connections with phylogenetics [16]. Billey, Konvalinka, and Matsen then enumerated tanglegrams and constructed an algorithm to generate them uniformly at random [2]. Subsequently, Konvalinka and Wagner studied the properties of random tanglegrams [13], Ralaivaosaona, Ravelomanana, and Wagner counted planar tanglegrams [17], and Gessel counted several variations of tanglegrams using combinatorial species [8].

The crossing number of a tanglegram has connections with the crossing number of a graph \(G\), denoted \(\text{cr}(G)\), which is the minimum number of crossings over all drawings of \(G\). Determining if \(\text{cr}(G) \leq k\) for \(k \in \mathbb{N}\) is NP-complete [7], and the same is true for determining if \(\text{crt}(T, S, \phi) \leq k\) [6]. Some of the known results in graph drawing have analogous results in tanglegram layouts, and some have approached the Tanglegram Layout Problem by translating what we know about graphs to tanglegrams. Czabarka, Székely, and Wagner recently used the well-known Kuratowski Theorem characterizing planarity of graphs to construct a Tanglegram Kuratowski Theorem characterizing planar tanglegrams, which are tanglegrams with crossing number zero [5]. Prior to this, Lozano et al. constructed their Untangle Algorithm for drawing a planar layout of a planar tanglegram [15]. Anderson et al. recently proved that removing a between-tree edge \((t_i, s_{\phi(i)})\) from a tanglegram reduces the crossing number by at most \(n - 3\), and they produced a family of tanglegrams to show that this bound is sharp [1]. They also found that the maximum crossing number over all
tanglegrams asymptotically approaches \( \frac{1}{2} \binom{n}{2} \), where \( n \) is the number of leaves in each tree.

Given the difficulty of minimizing crossings in graph drawings, some have studied approximating the minimum number of crossings rather than finding it exactly. One approach to this is edge insertion. The Edge Insertion Problem for graphs starts with a graph \( G \) and an edge \( e \in G \) such that \( G \setminus \{e\} \) is planar, and attempts to find an embedding of \( G \) into the plane so that the drawing of \( G \setminus \{e\} \) is planar and the number of crossings from \( e \) is minimized. This problem is well studied. A linear-time algorithm exists to solve it, and some bounds have been found relating an optimal drawing of \( G \) and a solution to the Edge Insertion Problem for \( G \setminus \{e\} \) with \( \{e\} \) inserted [9, 10]. The Edge Insertion Problem generalizes to the Multiple Edge Insertion Problem, where we insert several edges \( \{e_1, \ldots, e_n\} \) into planar \( G \setminus \{e_1, \ldots, e_n\} \) optimally, and current approximation algorithms for graph drawing still use multiple edge insertion with planar subgraphs [4].

Given the role of edge insertion and planar subgraphs, it is plausible that edge insertion can play a similar role for tanglegram layouts. In this paper, we consider the tanglegram versions of the insertion problems for graphs, which we now state.

**Problem (Tanglegram Single Edge Insertion).** Given a tanglegram \( (T, S, \phi) \) and a planar subtanglegram \( (T_I, S_{\phi(I)}, \phi|_I) \) induced by \( I = [n] \setminus \{i\} \) for \( i \in [n] \), find a layout of \( (T, S, \phi) \) that restricts to a planar layout of \( (T_I, S_{\phi(I)}, \phi|_I) \) and has the minimal number of crossings possible.

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We will start by characterizing the planar layouts of a planar tanglegram. For a planar tanglegram \( (T, S, \phi) \), we will define a leaf-matched pair \( (u, v) \) as a pair of internal vertices \( u \in T \) and \( v \in S \) whose descendant leaves are matched by \( \phi \), and we will define an operation called a paired flip. These pairs of internal vertices have the property that their descendant leaves are matched by \( \phi \). By adding steps to the Untangle algorithm by Lozano et al. for drawing a planar layout of a planar tanglegram, we construct ModifiedUntangle (Algorithm 1), which also identifies leaf-matched pairs and stores them in a set \( L \), obtaining the following result.

**Theorem 1.** Let \( (T, S, \phi) \) be a planar tanglegram, and let \( \mathcal{P}(T, S, \phi) \) denote its collection of planar layouts. Let the output of ModifiedUntangle\((T, S, \phi)\) be the layout \((X, Y)\) and set of leaf-matched pairs \( L \). Every \((X', Y') \in \mathcal{P}(T, S, \phi)\) can be obtained by starting with \((X, Y)\) and performing a sequence of paired flips at the leaf-matched pairs in \( L \).

Letting \( \text{size}(T, S, \phi) \) be the number of leaves in \( T \) or \( S \), we then consider the generating function

\[
F(x, q) = \sum_{\text{planar } (T, S, \phi)} x^{\text{size}(T, S, \phi)} q^{\{\text{leaf-matched pairs of } (T, S, \phi)\}}.
\] (1)

The coefficient of \( x^n q^k \) is the number of tanglegrams of size \( n \) with \( k \) leaf-matched pairs. When \( \text{size}(T, S, \phi) \geq 2 \), the roots of \( T \) and \( S \) always form a leaf matched pair. A tanglegram
is irreducible if this is the only leaf-matched pair. We let

\[ H(x) = \sum_{\text{irreducible planar } (T,S,\phi)} x^{\text{size}(T,S,\phi)}, \]  

where we use the convention that the coefficient of \( x^2 \) is \( \frac{1}{2} \), as in [17, Proposition 8]. These generating functions have a relationship, which we can use to find coefficients of \( x^n q^k \) in \( F(x,q) \).

**Theorem 2.** The generating function \( F(x,q) \) satisfies the relation

\[ F(x,q) = x + q \cdot H(F(x,q)) + \frac{q \cdot F(x^2,q^2)}{2}. \]  

Afterwards, we use our characterization of planar layouts to solve the Tanglegram Single Edge Insertion Problem. After considering various cases, we construct **Insertion** (Algorithm 5) and show the following result.

**Theorem 3.** The **Insertion** Algorithm solves the Tanglegram Single Edge Insertion Problem in \( O(n^2) \) time and space, where \( n \) is the size of the tanglegram.

Finally, we consider Tanglegram Multiple Edge Insertion. Similar to the corresponding graph theory problems, Single Edge Insertion can be solved efficiently, but Multiple Edge Insertion is significantly more difficult.

**Theorem 4.** The Tanglegram Multiple Edge Insertion Problem is NP-hard.

Nevertheless, we generalize some of our results from **Insertion** to construct our **MultiInsertion** algorithm. While the space required will still be \( O(n^2) \), the runtime is potentially exponential, depending on where vertices and edges are inserted, as well as how many leaf-matched pairs there are. Nevertheless, in certain situations, **MultiInsertion** efficiently solves the Tanglegram Multiple Edge Insertion Problem.

We start in Section 2 by outlining terminology, notation, and previous results. In Section 3, we give our **ModifiedUntangle** Algorithm, establish Theorem 1, and then show the relation in Theorem 2. In Section 4, we give our **Insertion** Algorithm and prove Theorem 1.3. In Section 5, we prove Theorem 1.4 and generalize some of our results from Section 4 to the Tanglegram Multiple Edge Insertion Problem. We conclude by discussing future work in Section 6.

## 2 Preliminaries

In this section, we begin by defining rooted binary trees and outlining the terminology and notation that we will use. We then introduce our notation for tanglegram layouts as ordered lists of leaves in the two trees. Afterwards, we define subtanglegrams, which correspond to subgraphs in graph theory. Note that removing vertices or edges in a tanglegram does not produce another tanglegram, so we give a description of the steps...
needed to construct subtanglegrams. An example of our notation and terminology will be
given in Example 8, and the reader is encouraged to refer to this example as they read
this section. We conclude with some known results.

A rooted binary tree $T$ is a tree in which every vertex has either zero or two children,
and where a designated vertex called the root, denoted $\text{root}(T)$, is allowed to have degree
two. A vertex that has children is called an internal vertex, and a vertex with no children
is called a leaf. If $v$ has children $v_1$ and $v_2$, we call $v$ the parent of $v_1$ and $v_2$. We say
vertex $v_1$ is a descendant of $v_k$ or $v_k$ an ancestor of $v_1$ if there is a sequence of vertices
$v_1, v_2, \ldots, v_k$ such that $v_{i+1}$ is the parent of $v_i$ for $i = 1, 2, \ldots, k - 1$, and we use the
notation $v_1 < v_k$ or $v_k > v_1$ to denote this. When needed, we use a subscript with the
name of a tree to specify ancestry in that tree, such as $v_k >_T v_1$.

For an internal vertex $v \in T$, the subtree rooted at $v$ is the tree formed by all vertices $u$
with $u \leq v$, and this subtree then has $v$ as its root. Using subtrees, we can represent trees
using the nested lists notation from Section 2.3.2 of [12], where each set of parentheses
represents a subtree. Unless otherwise stated, we will index leaves with $[n] = \{1, 2, \ldots, n\}$,
and usually we will omit labels for internal vertices.

All trees are considered up to isomorphism, so in particular, relabeling vertices does
not produce a different tree. Given an internal vertex $v \in T$, a flip at vertex $v$ is the
operation that interchanges the order of the children for all $u \leq v$. Pictorially, if we start
with a drawing of a tree $T$, a flip at $v \in T$ reflects the subtree rooted at $v$, which motivates
the name “flip.” Notice that each flip has order two, all flips commute with one another,
and for any rooted binary tree $T$, flips generate all trees isomorphic to $T$.

Tanglegrams $(T, S, \phi)$ are formed from a pair of rooted binary trees $T, S$ and a bijection
$\phi$ matching their leaves. The size of $(T, S, \phi)$ is the common number of leaves in $T$ or $S$.
We will call the edges in $T$ and $S$ tree edges and call the edges induced by $\phi$ between-tree
edges. For any vertex $u \in T$, we use $\text{Lf}(u)$ to denote the leaves $\ell \in T$ such that $\ell \leq_T u$, and
similarly for $\text{Lf}(v)$ when $v \in S$. As with trees, we consider tanglegrams up to isomorphism.
Relabeling vertices or replacing $T$ and $S$ with isomorphic trees does not produce a new
tanglegram, provided that $\phi$ is modified appropriately. See [16] for more details. Notice
that for any tanglegram $(T, S, \phi)$, flips will generate all tanglegrams isomorphic to $(T, S, \phi)$,
as they generate all isomorphisms of the underlying trees.

Our notation for tanglegram layouts builds on the notation used in [15]. In any layout,
the number of crossings is completely determined by the order of the leaves in the two
trees and the bijection $\phi$ matching these leaves, as the between-tree edges $(t_i, s_{\phi(i)})$ and
$(t_j, s_{\phi(j)})$ intersect when $t_i$ is embedded above $t_j$ and $s_{\phi(i)}$ is embedded below $s_{\phi(j)}$. Since
we are primarily interested in the number of crossings rather than specific coordinates of
the plane embedding, we give the following definition.

**Definition 5.** Let $(T, S, \phi)$ be a tanglegram drawn in the plane with a given layout. The
leaf order of the given layout is a pair of ordered lists $(X, Y)$, where $X$ and $Y$ respectively
list the leaves of $T$ and $S$ in order of appearance from top to bottom in the layout.

One can view the leaf order of a layout $(X, Y)$ as an equivalence class of layouts, where
two layouts are equivalent if they draw the leaves of $T$ and $S$ in the same order from top
to bottom. To recover a layout from the ordered lists \((X, Y)\), one can draw the leaves listed in \(X\) and \(Y\) from top to bottom respectively on \(x = 0\) and \(x = 1\), and then use the information from \(T, S\), and \(\phi\) to draw the trees and between-tree edges. Flips generate all trees isomorphic to \(T\) or \(S\), so they can act on leaf orders \((X, Y)\) to obtain all possible leaf orders, where a flip at an internal vertex \(u\) acts on \((X, Y)\) by reversing the order of the elements in \(\text{Lf}(u)\) in the appropriate list \(X\) or \(Y\). Throughout this paper, we abuse terminology and refer to this pair of lists \((X, Y)\) also as a tanglegram layout.

We will often decompose \(X\) and \(Y\) into concatenated lists \((X_1, X_2, \ldots, X_m, Y_1, Y_2, \ldots, Y_n)\), where each \(X_i\) or \(Y_j\) is some ordered collection of consecutive leaves. We do not impose any restrictions on \(X_i\) and \(Y_j\) beyond the fact that they must contain consecutive leaves, but usually we will use \(X_i\) or \(Y_j\) to represent the leaves in \(\text{Lf}(u)\) or \(\text{Lf}(v)\) for some \(u \in T\) or \(v \in S\). If we start with the layout \((X_1, \ldots, X_i, \ldots, X_m, Y)\) and the sublist \(X_i\) contains the elements in \(\text{Lf}(u)\), we will denote the layout after a flip at \(u\) as \((X_1, \ldots, X'_i, \ldots, X_m, Y)\), where the bar indicates that the sublist \(X_i\) is reversed. Note that while this notation using lists is convenient, the reader should visualize \((X, Y)\) as embeddings into the plane, and when possible, we will include drawings to help illustrate the arguments that we make using these ordered lists.

Finally, we will define induced subtrees and induced subtanglegrams using a similar definition as in [5]. Notice that layouts \((X', Y')\) of subtanglegrams correspond to taking sub-lists in layouts \((X, Y)\) of the original tanglegram.

**Definition 6.** Let \(T\) be a tree with leaves indexed by \([n]\). For any \(I \subseteq [n]\), the **rooted binary subtree induced by** \(I\), denoted \(T_I\), is formed by taking the minimal subtree of \(T\) containing the leaves indexed by \(I\) and suppressing all internal vertices that have only one child.

**Definition 7.** Let \((T, S, \phi)\) be a tanglegram with the leaves of \(T\) and \(S\) indexed by \([n]\). For any \(I \subseteq [n]\), the **subtanglegram induced by** \(I\) is the tanglegram \((T_I, S_{\phi(I)}, \phi|_I)\), that is, the tanglegram formed from the induced subtrees \(T_I\) and \(S_{\phi(I)}\) with leaves matched using the restriction \(\phi|_I\).

**Example 8.** Consider the tanglegram \((T, S, \phi)\) with

\[
T = (((t_1, t_2), t_3), (t_4, t_5)) \quad S = (((s_1, (s_2, s_3)), s_4), s_5)
\]

The leaf orders are given in the layout \((t_1t_2t_3t_4t_5, s_1s_2s_3s_4s_5)\). A flip at the root of \(((s_1, (s_2, s_3)), s_4)\) results in the layout \((t_1t_2t_3t_4t_5, s_4s_3s_2s_1s_5)\). By taking sub-lists of \((X', Y')\) based on the elements in \(\{1, 2, 4, 5\}\) and \(\phi(\{1, 2, 4, 5\}) = \{1, 2, 3, 4, 5\}\), we obtain the layout \((t_1t_2t_4t_5, s_4s_3s_2s_1)\) for the subtanglegram \((T_{\{1,2,4,5\}}, S_{\phi(\{1,2,4,5\})}, \phi|_{\{1,2,4,5\}})\) of \((T, S)\).

We conclude this section with known results that will be used in our work. These results were previously mentioned, and we include them below for ease of citation. Recall that \(\text{cr}(T, S, \phi)\) is the minimum number of crossings over all layouts of a tanglegram \((T, S, \phi)\).
Theorem 9. [6, Theorem 3] The Tanglegram Layout Problem is NP-hard.

Theorem 10. [1, Theorem 3] Let \((T,S,\phi)\) be a tanglegram of size \(n\) and let \((T_I,S_{\phi(I)},\phi|_I)\) be an induced subtanglegram of size \(n-1\). Then \(\text{crt}(T,S,\phi) - \text{crt}(T_I,S_{\phi(I)},\phi|_I) \leq n - 3\).

Theorem 11. [1, Theorem 5] If \((T,S,\phi)\) is a tanglegram of size \(n\), then \(\text{crt}(T,S,\phi) < \frac{1}{2}(\frac{n}{2})\).

3 Characterization of planar tanglegram layouts

In this section, we will start by giving our ModifiedUntangle algorithm, based on the Untangle algorithm by Lozano et al. in [15]. Our additional steps involve the set \(L\). We will describe the significance of elements in this set, and then use it to characterize all planar layouts of a planar tanglegram. We conclude this section with our enumerative result on planar tanglegrams.

3.1 ModifiedUntangle Algorithm

The Untangle Algorithm by Lozano et al. starts by computing a table of Boolean values \(P\), where \(P[u,v]\) is True for \(u \in T, v \in S\) if a descendant of \(u\) is matched with a descendant of \(v\) by \(\phi\). It begins with the ordered lists \(X = (\text{root}(T))\) and \(Y = (\text{root}(S))\) and then refines these lists by replacing vertices with their children in an order chosen based on the Boolean table \(P\). They call these ordered lists of vertices \((X,Y)\) from each step partial layouts, as they prescribe the order that some of the vertices in the tanglegram are drawn. Untangle terminates when the lists in \((X,Y)\) contain only leaves of \(T\) and \(S\), respectively. It then outputs this pair of lists \((X,Y)\), which is an actual tanglegram layout. We give our modified version of Untangle below, which has additional steps involving a set \(L\). Removing these steps yields the original Untangle algorithm. We will explain the
significance of the set $L$ in the next subsection.

**Algorithm 1: ModifiedUntangle** (based on [15, Algorithm 2])

**Input:** planar tanglegram $(T, S, \phi)$ with leaves $\{t_1, \ldots, t_n\}$ and $\{s_1, \ldots, s_n\}$

**Output:** a planar layout $(X, Y)$ of $(T, S, \phi)$, list of leaf-matched pairs $L \subseteq T \times S$

1. $P :=$ Boolean table with $P[u, v] = False \ \forall \ \text{vertices } u \in T, v \in S$
2. set $P[t_i, s_{\phi(i)}] = True \ \forall \ i \in [n]$
3. recursively set $P[u, v] = True$ for internal vertices $u \in T, v \in S$ if there exists $u' \leq_T u, v' \leq_S v$ with $P[u', v'] = True$
4. $X := (\text{root}(T)), Y := (\text{root}(S))$ as ordered lists
5. $E := \{(\text{root}(T), \text{root}(S))\}$ as a set of edges
6. $L := \emptyset$
7. **while** $X \cup Y$ contains an internal vertex of $T$ or $S$ **do**
8. $u :=$ internal vertex of $T \cup S$ with highest degree in the bipartite graph $G = (X, Y, E)$
9. **if** $u \in X$ **then**
10. **if** $u$ has degree 1 in $G$ **then**
11. update $L := L \cup (u, v)$, where $v$ is the unique neighbor of $u$ in $G$
12. update $X, E := \text{Refine}(X, Y, u, E, P)$
13. **else if** $u \in Y$ **then**
14. **if** $u$ has degree 1 in $G$ **then**
15. update $L := L \cup (v, u)$, where $v$ is the unique neighbor of $u$ in $G$
16. update $Y, E := \text{Refine}(Y, X, u, E, P)$
17. **return** $(X, Y), L$

**Algorithm 2: Refine** (based on [15, Algorithm 3])

**Input:** ordered lists of vertices $(A, B), u \in A$, edges $E$ on $A \cup B$, Boolean table $P$

**Output:** $A, E$ after $u$ has been replaced with its children

1. $u_1, u_2 :=$ children of $u$ in $T \cup S$
2. **for** $j \in [m]$ such that $(u, b_j) \in E$ where $B = (b_1, \ldots, b_m)$ **do**
3. update $E := E \setminus \{(u, b_j)\}$ // delete edges involving $u$
4. **for** $i \in \{1, 2\}$ **do**
5. if $P[u_i, b_j] = True$ then
6. update $E := E \cup \{(u_i, b_j)\}$ // insert edges involving $u_1$ or $u_2$
7. $k := \max\{j \in [m] : (u_1, b_j) \in E\}$ // last vertex in $B$ adjacent to $u_1$
8. **if** $j > k$ for all $(u_2, b_j) \in E$ **then**
9. replace $u$ with $u_1u_2$ in $A$
10. **else**
11. replace $u$ with $u_2u_1$ in $A$
12. **return** $A, E$
Figure 3: A visualization of the Refine algorithm. Draw the inputs as a bipartite graph $G = (A, E, B)$ shown on the left, where the vertices are drawn from top to bottom based on the order of elements in $A$ and $B$. To refine the vertex $u$ of highest degree, consider the embedding shown in the middle with $u_1$ drawn above $u_2$, where new edges involving $u_1$ and $u_2$ are drawn using the Boolean table $P$. Since this drawing has crossings, Refine will replace $u$ with $u_2u_1$. Notice that in this case, drawing $u_2$ above $u_1$ results in a planar drawing, as shown on the right.

A description of the steps in Refine is shown in Figure 3. Note that Refine does not actually check if drawing $u_2$ above $u_1$ results in a planar embedding. This is because planarity of $(T, S, \phi)$ guarantees that at least one of these embeddings will be planar, provided we made appropriate choices at previous refinements. Details are addressed in the proof of the next theorem. Since results from this proof will be relevant for our work, we repeat it below for the convenience of the reader. Note that Lemma 13 is stated more generally than in [15]. This extra generality will be useful in the next subsection.

**Definition 12.** A partial layout $(X, Y)$ for a tanglegram is called **promising** if it can be extended to a planar layout by successively replacing vertices with their children in some order.

**Lemma 13** ([15], Lemma 3). Let $(X, Y)$ be a promising partial layout of a planar tanglegram $(T, S, \phi)$, and let $E$ be the set of edges on $X \cup Y$ generated using the Boolean table $P$, that is, for all $u \in X$ and $v \in Y$, $(u, v) \in E$ if and only if $P[u, v] = True$. Let $u$ be a vertex of highest degree in the bipartite graph $(X, E, Y)$.

(a) If $\deg(u) = 1$, then replacing $u$ with $u_1u_2$ or $u_2u_1$ results in a promising partial layout.

(b) If $\deg(u) > 1$, then either replacing $u$ with $u_1u_2$ or replacing $u$ with $u_2u_1$ results in a promising partial layout, but not both.

In particular, if $(X, Y)$ is promising at the beginning of an iteration of the while loop in Untangle, then it is promising at the end.

**Proof.** Without loss of generality, we will assume $u \in T$, as the result when $u \in S$ is done similarly. We let $(X_1, Y)$ and $(X_2, Y)$ be the partial layouts obtained by replacing $u$ with $u_1u_2$ or $u_2u_1$, respectively. Since $(X, Y)$ is promising, at least one of these partial layouts must be promising, so assume that $(X_1, Y)$ is promising.
First, suppose \( \text{deg}(u) = 1 \) in \((X, E, Y)\). Since \( u \) is a vertex of maximum degree, the unique neighbor of \( u \), denoted \( v \), also has degree 1. Notice that since \( u \) and \( v \) have degree 1, \( \text{Lf}(u) \) and \( \text{Lf}(v) \) must be matched by \( \phi \). Extend \((X_1, Y)\) to a planar layout \((X', Y')\) of \((T, S, \phi)\), where \( \text{Lf}(u_1) \) appears before \( \text{Lf}(u_2) \). If we perform a flip at \( u \) and a flip at \( v \), then we obtain a layout where \( \text{Lf}(u_2) \) appears before \( \text{Lf}(u_1) \), as shown in Figure 4. Notice that this is a planar layout that can be obtained by replacing \( u \) with \( u_2u_1 \) instead, implying \((X_2, Y)\) is also promising. We see that regardless of how we replace \( u \) with \( u_1 \) and \( u_2 \), the resulting partial layout is promising.

![Figure 4: Starting with the layout \((X', Y')\) on the left with \( \{t_i\}_{i=1}^k \) and \( \{s_i\}_{i=1}^k \) denoting leaves, performing flips at \( u \) and \( v \) produces another planar layout.](image)

Next, suppose that \( \text{deg}(u) > 1 \). As before, we suppose \((X_1, Y)\) is promising. Let \( E' \) be the edges on \( X_1 \cup Y \) constructed using the Boolean table \( P \), and for \( i = 1, 2 \), we let \( N(u_i) \) denote the set of neighbors of \( u_i \) in \((X_1, E', Y)\). We claim that \( N(u_1) \Delta N(u_2) \neq \emptyset \), where \( \Delta \) denotes the symmetric difference of two sets. To see this, suppose that \( N(u_1) = N(u_2) \). If \( |N(u_1)| = |N(u_2)| = 1 \), then this would imply \( \text{deg}(u) = 1 \) in \((X, E, Y)\), which by assumption cannot be the case. Hence, \( |N(u_1)| = |N(u_2)| \geq 2 \). Then there exists some pair of vertices \( v_1, v_2 \in N(u_1) = N(u_2) \) that are each adjacent to both \( u_1 \) and \( u_2 \). However, this implies a crossing occurs in both \((X_1, E', Y)\) and \((X_2, E', Y)\), as shown in Figure 5. Then by construction of the Boolean table \( P \), there exist some \( t_{1,1}, t_{1,2} \in \text{Lf}(u_1) \) and \( t_{2,1}, t_{2,2} \in \text{Lf}(u_2) \) where each \( t_{i,j} \in \text{Lf}(u_i) \) is matched to some \( s_{i,j} \in \text{Lf}(v_j) \). Regardless of any refinements of \((X, Y)\), the resulting layout will have either the crossing \((t_{1,2}, s_{1,2})\) and \((t_{2,1}, s_{2,1})\), or the crossing \((t_{1,1}, s_{1,1})\) and \((t_{2,2}, s_{2,2})\). Since \((X_1, Y)\) is assumed to be promising, it must be that \( N(u_1) \neq N(u_2) \).

![Figure 5: Arrangements of the vertices \( u_1, u_2, v_1 \) and \( v_2 \) when \( N(u_1) = N(u_2) \) and \( \text{deg}(u) \geq 2 \).](image)

With \( N(u_1) \Delta N(u_2) \neq \emptyset \) established, we let \( v \in N(u_1) \Delta N(u_2) \). First, we assume that \( v = v_1 \in N(u_1) \setminus N(u_2) \). Since \((X_1, Y)\) is promising, it must be that drawing \((X_1, E', Y)\)
with vertices in the orders indicated by $X_1$ and $Y$ produces no crossings. Then $v_1$ must appear before any $v_2 \in N(u_2)$ in the list $Y$. Furthermore, for any $v_2 \in N(u_2)$, if we interchange $u_1$ and $u_2$, then the edges $(u_1, v_1)$ and $(u_2, v_2)$ will intersect. Regardless of our future refinements, there will exist some leaves $t_i \in \text{Lf}(u_1)$, $t_j \in \text{Lf}(u_2)$, $s_{\phi(i)} \in \text{Lf}(v_1)$, $s_{\phi(j)} \in \text{Lf}(v_2)$ such that $(t_i, s_{\phi(i)})$ and $(t_j, s_{\phi(j)})$ intersect, which implies $(X_2, Y)$ cannot be promising. A similar argument applies when $v = v_2 \in N(u_2) \setminus N(u_1)$.

Now consider the while loop in Untangle. The algorithm uses Refine on a vertex $u$ of highest degree in $(X, E, Y)$, which replaces $u$ with $u_1$ and $u_2$. If $\deg(u) = 1$, then (a) shows that $(X, Y)$ is promising regardless of the choice at $u$. If $\deg(u) > 1$, Refine replaces $(X, Y)$ with $(X_1, Y)$ or $(X_2, Y)$ based on whichever bipartite graph $(X_1, E', Y)$ or $(X_2, E', Y)$ does not have crossings, and our proof of (b) shows that this results in a promising partial layout.

**Theorem 14.** [15, Theorem 1] For any planar tanglegram $(T, S, \phi)$, the Untangle algorithm terminates in a planar layout $(X, Y)$.

**Proof.** If $(T, S, \phi)$ is planar, then $(X, Y) = (\text{root}(T), \text{root}(S))$ in line 4 is promising. By Lemma 13, $(X, Y)$ is promising after each iteration of the while loop. This loop terminates when $(X, Y)$ contains only leaves of $T$ and $S$, which must then be a planar layout.

**Remark 15.** In the proofs of Lemma 13 and Theorem 14, the arguments hold regardless of which vertex of highest degree $u$ is selected. In fact, we do not even need to select the vertex of highest degree at every step in Untangle. We specified a vertex of highest degree for simplicity. As long as Untangle does not use Refine on a vertex in $(X, E, Y)$ with degree one while its neighbor has degree more than one, the algorithm will still output a planar layout for a planar tanglegram.

**Lemma 16.** [15, Lemma 4] Untangle runs in $O(n^2)$ time and space, where $n$ is the size of the tanglegram.

**Remark 17.** The additional steps in ModifiedUntangle involve the set $L$, which has size at most $n - 1$. Hence, for a planar tanglegram of size $n$, Theorem 14 and Lemma 16 also imply that ModifiedUntangle terminates in a planar layout $(X, Y)$ and runs in $O(n^2)$ time and space.

### 3.2 Leaf-matched pairs and paired flips

We now consider the additional steps involving the set $L$ in ModifiedUntangle algorithm. While the Untangle algorithm produces a planar layout for planar tanglegrams, one might ask how to generate all of them. As noted in the proof of Lemma 13, if $(X, Y)$ is a planar layout of $(T, S, \phi)$, then one method to generate additional planar layouts is using flips at vertices $u \in T$ and $v \in S$ where $\text{Lf}(u)$ and $\text{Lf}(v)$ are matched by $\phi$. We give a name for these pairs of vertices and the operation involving a flip at both vertices, followed by an example in Figure 6. Then we show that ModifiedUntangle identifies these pairs in the set $L$. 


Figure 6: A paired flip at \((u,v)\) maps each layout to the other one.

Definition 18. Let \((T,S,\phi)\) be a tanglegram with layout \((X,Y)\). A pair of internal vertices \((u,v)\) with \(u \in T\) and \(v \in S\) is a leaf-matched pair of \((T,S,\phi)\) if \(\text{Lf}(u)\) and \(\text{Lf}(v)\) are matched by \(\phi\). A paired flip at \((u,v)\) is the operation on \((T,S,\phi)\) corresponding to a flip at \(u\) and a flip at \(v\). This maps \((X,Y)\) to the layout \((X',Y')\), where \(X'\) is the image of \(X\) after a flip at \(u\) and \(Y'\) is the image of \(Y\) after a flip at \(v\).

Lemma 19. Let \((T,S,\phi)\) be a planar tanglegram. A pair of internal vertices \((u,v)\) is a leaf-matched pair of a planar tanglegram \((T,S,\phi)\) if and only if at some step of the ModifiedUntangle algorithm, the internal vertices \(u \in T\) and \(v \in S\) appear as adjacent degree one vertices in \((X,E,Y)\). Hence, the set \(L\) returned by ModifiedUntangle is the set of leaf-matched pairs of \((T,S,\phi)\).

Proof. Suppose the internal vertices \(u \in T\) and \(v \in S\) appear as adjacent degree one vertices during some step of the ModifiedUntangle algorithm. Since both vertices have degree one, the construction of the edges in \((X,E,Y)\) using the Boolean table \(P\) in line 4 of Refine implies that \(\text{Lf}(u)\) and \(\text{Lf}(v)\) are matched under \(\phi\), and thus \((u,v)\) is a leaf-matched pair.

Conversely, suppose \((u,v)\) is a leaf-matched pair. If \(u\) and \(v\) are the root vertices of \(T\) and \(S\), then these appear as degree one vertices at the first step of ModifiedUntangle. Otherwise, at some step of the algorithm, either \(u\) or \(v\) will appear for the first time in a partial layout \((X,Y)\), and without loss of generality, we assume it is \(u \in X\). Since \(v\) has not appeared in a partial layout yet, there is some vertex \(v' \in Y\) that is an ancestor of \(v\). Then \(\text{Lf}(u)\) is matched with a proper subset of \(\text{Lf}(v')\) in the tanglegram \((T,S,\phi)\), so \(\deg(v') > 1\) in \((X,E,Y)\). From line 8 of ModifiedUntangle, we see that \(v'\) will be replaced with its children before \(u\) is. Repeating this argument, \(v\) will eventually appear before we use Refine on \(u\), and at this time, \(u\) and \(v\) will be adjacent degree one vertices since they are a leaf-matched pair of \((T,S,\phi)\).

We know that given a planar layout \((X,Y)\) of \((T,S,\phi)\), paired flips will generate additional planar layouts, but we do not yet know that they generate all possible planar
layouts. It may be possible that some appropriate choice of flips not equivalent to a sequence of paired flips also results in a planar layout. We will show that this is in fact not the case. Our proof for this uses Lemma 13, which holds for all promising partial layouts, not just those considered in ModifiedUntangle.

First, notice that for a tanglegram \((T, S, \phi)\) of size \(n\), ModifiedUntangle starts with a promising partial layout \((X_1, Y_1) = (\text{root}(T), \text{root}(S))\). At each step, it replaces an internal vertex of \(T\) or \(S\) using Refine. Since a tree on \(n\) leaves has \(n - 1\) internal vertices, the algorithm uses Refine a total of \(2n - 2\) times. Thus, it produces a sequence of promising partial layouts \(\{(X_k, Y_k)\}_{k=1}^{2n-1}\) that terminates at \((X, Y) = (X_{2n-1}, Y_{2n-1})\). We give a name for such a sequence.

**Definition 20.** Let \((T, S, \phi)\) be a tanglegram with layout \((X, Y)\). We call \(\{(X_k, Y_k)\}_{k=1}^{2n-1}\) a partial sequence for \((X, Y)\) if

- for \(k = 1, 2, \ldots, 2n - 1\), \((X_k, Y_k)\) is a partial layout,
- \((X_1, Y_1) = (\text{root}(T), \text{root}(S))\),
- \((X_{2n-1}, Y_{2n-1}) = (X, Y)\), and
- for \(k = 1, 2, \ldots, 2n - 2\), the partial layout \((X_{k+1}, Y_{k+1})\) is obtained from \((X_k, Y_k)\) by refining some vertex \(u \in X_k \cup Y_k\), that is, replacing \(u\) with its children in an appropriate order.

A partial sequence is promising if all \((X_k, Y_k)\) are promising partial layouts, or equivalently, if \((X, Y) = (X_{2n-1}, Y_{2n-1})\) is a planar layout.

If \((X', Y')\) is another planar layout of \((T, S, \phi)\), we can use \(\{(X_k, Y_k)\}_{k=1}^{2n-1}\) to find a promising partial sequence \(\{(X'_k, Y'_k)\}_{k=1}^{2n-1}\) for \((X', Y')\). We do this by constructing each \((X'_k, Y'_k)\) as follows.

- Draw the trees \(T\) and \(S\) with leaves from top to bottom in the order described by \((X', Y')\).
- For each \(u \in X_k\), contract the subtree of \(T\) rooted at \(u\) to the vertex \(u\) itself. Do the same for each \(v \in Y_k\), and call the resulting trees \(T_k\) and \(S_k\).
- Let \(X'_k\) be the leaves of \(T_k\) listed from top to bottom, and similarly for \(Y'_k\) and \(S_k\).

An example of these steps is shown below in Figure 7. Note that by construction, \((X_k, Y_k)\) and \((X'_k, Y'_k)\) contain the same vertices, but possibly in different orders. We now show that \(\{(X'_k, Y'_k)\}_{k=1}^{2n-1}\) constructed in this manner is a promising partial sequence for \((X', Y')\) and then use this to establish Theorem 1.

**Lemma 21.** The sequence \(\{(X'_k, Y'_k)\}_{k=1}^{2n-1}\) is a promising partial sequence for \((X', Y')\).
Thus, we can obtain the electronic journal of combinatorics replacing Boolean table a corresponding promising partial sequence graphs \{partial sequence for \} produces another layout in clear that if Proof of Theorem 1. partial sequence for all conditions in Definition 20 are satisfied, so we see that \( T \) contains its two children \( u \) produces \((u,v)\) and \((v,u)\) from top to bottom gives us the partial layout \((X_k,Y_k')=(u_3u_2,v_5v_4v_2)\).

Proof. By construction, each \((X_k',Y_k')\) is a partial layout, \((X_1',Y_1')=(\text{root}(T),\text{root}(S))\), and \((X_{2n-1}',Y_{2n-1}')=(X',Y')\). It remains to show that refining a vertex \( u \in X_k' \cup Y_k' \) produces \((X_{k+1}',Y_{k+1}')\). Denote the vertex refined in \((X_k,Y_k)\) to obtain \((X_{k+1},Y_{k+1})\) as \( u_k \), and without loss of generality, we assume \( u_k \in X_k \). This implies that \((X_k',Y_k')\) and \((X_{k+1}',Y_{k+1}')\) have almost the same vertices, except \((X_k',Y_k')\) contains \( u_k \), while \((X_{k+1}',Y_{k+1}')\) contains its two children \( u_{k,1} \) and \( u_{k,2} \). By our construction using contractions, the tree \( T_k \) can be obtained from \( T_{k+1} \) by contracting the two children of \( u_k \) onto the vertex itself. Thus, we can obtain \((X_k',Y_k')\) from \((X_{k+1}',Y_{k+1}')\) by replacing the adjacent children of \( u_k \) with \( u_k \) itself. Then we can also obtain \((X_{k+1}',Y_{k+1}')\) from \((X_k',Y_k')\) by refining \( u_k \). Thus, all conditions in Definition 20 are satisfied, so we see that \( \{(X_k',Y_k')\}_{k=1}^{2n-1} \) is a promising partial sequence for \((X',Y')\).

Proof of Theorem 1. By Lemma 19, \( L \) is the set of leaf-matched pairs of \((T,S,\phi)\). It is clear that if \( (u,v) \in L \), then starting with \((X,Y)\) and performing a paired flip at \( (u,v) \) produces another layout in \( \mathcal{P}(T,S,\phi) \), so the same is true if we perform any sequence of paired flips starting with \((X,Y)\). We show that all planar layouts can be obtained this way.

Let \((X',Y')\) \( \in \mathcal{P}(T,S,\phi) \) be distinct from \((X,Y)\). Let \( \{(X_k,Y_k)\}_{k=1}^{2n-1} \) be the promising partial sequence for \((X,Y)\) produced in ModifiedUnravel, with corresponding bipartite graphs \( \{(X_k,E_k,Y_k)\}_{k=1}^{2n-1} \). By Lemma 21, we can use this sequence for \((X,Y)\) to construct a corresponding promising partial sequence \( \{(X_k',Y_k')\}_{k=1}^{2n-1} \) for \((X',Y')\) where \((X_k,Y_k)\) and \((X_k',Y_k')\) contain the same vertices, though possibly in different orders. Thus, if we use the Boolean table \( P \) to construct edges \( E'_k \) on the vertices in \( X_k \cup Y_k' \), then \( E'_k = E_k \). Since \((X',Y') \neq (X,Y)\), there must be some minimal \( m \) such that \((X_m+1,Y_{m+1}) \neq (X_m'+1,Y_{m+1}')\). Without loss of generality, we assume the refined vertex at this step was \( u \in X_m \) and that \textbf{Refine} replaced \( u \) with \( u_1u_2 \) to obtain \((X_{m+1},Y_{m+1})\), while \((X_{m+1}',Y_{m+1}')\) requires replacing \( u \) with \( u_2u_1 \).

Consider the degree of \( u \) in the bipartite graph \((X_m,E_m,Y_m) = (X_m',E_m,Y_m')\). If \( \text{deg}(u) \geq 2 \), then Lemma 13 implies that \((X_{m+1},Y_{m+1})\) is not promising, which is not
case since \( \{ (X'_k, Y'_k) \}_{k=1}^{2n-1} \) is a promising partial sequence for \((X', Y')\). Thus, it must be that \( \deg(u) = 1 \). Since \texttt{ModifiedUntangle} always refines a vertex of highest degree, this implies that all vertices in \((X_m, E_m, Y_m) = (X'_m, E'_m, Y'_m)\) must have degree 1.

Let \( v \in Y_m \) be the unique neighbor of \( u \) in \((X_m, E_m, Y_m)\). Once we replace \( u \) with its children, notice that \( v \) will be the unique vertex in \((X_{m+1}, E_{m+1}, Y_{m+1})\) with \( \deg(v) \geq 2 \). Thus, after \texttt{ModifiedUntangle} replaces \( u \) with \( u_1u_2 \) to obtain \((X_{m+1}, Y_{m+1})\), it will replace \( v \) with its children in some order to obtain \((X_{m+2}, Y_{m+2})\).

Lemma 19 implies that \((u, v) \in L\), so we let \((\tilde{X}, \tilde{Y}) \in \mathcal{P}(T, S, \phi)\) be \((X, Y)\) after a paired flip at \((u, v)\). Using \( \{(X_k, Y_k)\}_{k=1}^{2n-1} \), we again use Lemma 21 to construct a promising partial sequence \( \{(\tilde{X}_k, \tilde{Y}_k)\}_{k=1}^{2n-1} \) for \((X, Y)\). By construction, \((\tilde{X}_k, \tilde{Y}_k) = (X_k, Y_k)\) for all \( k \leq m \), and because of the paired flip at \((u, v)\), we see that \((\tilde{X}_k, \tilde{Y}_k) = (X'_k, Y'_k)\) for all \( k \leq m + 1 \). Furthermore, the preceding paragraph implies that \((\tilde{X}_{m+2}, \tilde{Y}_{m+2})\) is obtained from \((\tilde{X}_{m+1}, \tilde{Y}_{m+1})\) by refining the vertex \( v \). Since \( \deg(v) = 2 \) in \((\tilde{X}_{m+1}, \tilde{E}_{m+1}, \tilde{Y}_{m+1})\), Lemma 13 implies that a unique choice for the children of \( v \) results in a promising partial layout, and thus it must be that \((\tilde{X}_{m+2}, \tilde{Y}_{m+2}) = (X_{m+2}, Y_{m+2})\).

If \((\tilde{X}, \tilde{Y}) \neq (X', Y')\), we can repeat the above argument. Eventually, this process terminates in a planar layout \((\tilde{X}, \tilde{Y})\) obtained from a sequence of paired flips starting at \((X, Y)\), where \((\tilde{X}_k, \tilde{Y}_k) = (X'_k, Y'_k)\) for all \( k \). Hence, we see that \((X', Y') = (\tilde{X}, \tilde{Y})\), and any \((X', Y') \in \mathcal{P}(T, S, \phi)\) can be obtained using a sequence of paired flips starting with \((X, Y)\).

\(\square\)

**Remark 22.** A tanglegram is irreducible if its only leaf matched pair is given by the roots of the two trees. For irreducible tanglegrams \((T, S, \phi)\) of size at least three, Theorem 1 implies that there are exactly two planar layouts, which must be mirror images of one another. This specific case was established and used by Ralaivaosaona, Ravelomanana, and Wagner to enumerate planar tanglegrams [17, Proposition 5].

We now define an undirected graph called the flip graph of a planar tanglegram. Theorem 1 gives us a corollary about this graph. By using the outputted layout from \texttt{ModifiedUntangle} and considering all subsets of \( L \), one could in principle find all planar layouts of a planar tanglegram \((T, S, \phi)\) and produce the flip graph of a tanglegram, though there may be exponentially many planar layouts.

**Definition 23.** Let \((T, S, \phi)\) be a planar tanglegram. Define the flip graph of \((T, S, \phi)\) as \( \Gamma(T, S, \phi) = (V, E) \) with vertices \( v_{(X,Y)} \in V \) corresponding to planar layouts \((X, Y)\), and edges \((v_{(X,Y)}, v_{(X',Y')}) \in E \) if \((X', Y') \) can be obtained from \((X, Y)\) by a paired flip at some leaf-matched pair \((u, v)\) of \((T, S, \phi)\).

**Corollary 24.** The flip graph of a planar tanglegram is connected.

### 3.3 Enumeration of planar tanglegrams by number of leaf-matched pairs

In this subsection, we show an enumerative result for the number of planar tanglegrams of size \( n \) with \( k \) leaf-matched pairs. Recall the generating functions \( F(x, q) \) and \( H(x) \)
from (1) and (2). We first give a definition, and then establish our generalization of [17, Theorem 1].

**Definition 25.** The irreducible component of a tanglegram \((T, S, \phi)\), denoted \(\text{Irr}(T, S, \phi)\), is the irreducible tanglegram formed by contracting each non-root leaf-matched pair of \((T, S, \phi)\) to a single pair of matched leaves.

**Proof of Theorem 2.** Equation (3) is equivalent to

\[ F(x, q) = x + q \cdot \left( H(F(x, q)) - \frac{F(x, q)^2}{2} \right) + q \cdot \frac{F(x, q)^2 + F(x^2, q^2)}{2}, \quad (4) \]

so we establish this relation instead. The term \(x\) accounts for the unique tanglegram of size 1, which has no leaf-matched pairs. For the remaining tanglegrams, we can form each tanglegram \((T, S, \phi)\) by starting with its irreducible component \(\text{Irr}(T, S, \phi)\) and replacing matched leaves with planar tanglegrams (possibly of size 1). We consider two cases depending on the size of \(\text{Irr}(T, S, \phi)\).

First, consider tanglegrams with size \(\text{size}(\text{Irr}(T, S, \phi)) \geq 3\). As noted in the proof of [17, Theorem 1], these tanglegrams do not have any symmetry. The generating function \(q \cdot [H(x) - x^2/2]\) counts irreducible planar tanglegrams of size \(n \geq 3\). A term \(qx^n\) corresponds to a planar irreducible tanglegram of size \(n\), and replacing a pair of matched leaves with a planar tanglegram corresponds to replacing \(x\) with \(F(x, q)\). Hence, \(q \cdot [H(F(x, q)) - F(x, q)^2/2]\) enumerates tanglegrams with irreducible component of size \(n \geq 3\).

Second, suppose size \(\text{size}(\text{Irr}(T, S, \phi)) = 2\). These tanglegrams are formed by starting with the unique planar tanglegram of size two corresponding to the term \(qx^2\) and replacing the two pairs of leaves with two planar tanglegrams \(\{(T_1, S_1, \phi_1), (T_2, S_2, \phi_2)\}\), where the order is not relevant. The generating function \(F(x, q)^2\) would count ordered pairs of planar tanglegrams. This correctly counts the case when \((T_1, S_1, \phi_1)\) and \((T_2, S_2, \phi_2)\) are the same, but counts all other cases twice. To remedy this over-counting, we can add \(F(x^2, q^2)\), which counts the pairs where \((T_1, S_1, \phi_1)\) and \((T_2, S_2, \phi_2)\) are the same, and then divide the result by two to account for the order not being relevant. Hence, \(q \cdot \frac{F(x, q)^2 + F(x^2, q^2)}{2}\) enumerates tanglegrams with irreducible component of size two. Combined, we obtain (4).

\[
\begin{array}{ccccccc}
 n, k & 1 & 2 & 3 & 4 & 5 & 6 & \text{total} \\
 2 & 1 & & & & & 1 \\
 3 & 1 & 1 & & & & 2 \\
 4 & 5 & 4 & 2 & & & 11 \\
 5 & 34 & 28 & 11 & 3 & & 76 \\
 6 & 273 & 239 & 102 & 29 & 6 & 649 \\
 7 & 2436 & 2283 & 1045 & 325 & 73 & 11 & 6173 \\
\end{array}
\]

*Table 1: Coefficients of \(x^n q^k\) in \(F(x, q)\) for \(2 \leq n \leq 7\).*

Note that substituting \(q = 1\) results in the original relation given in [17, Theorem 1]. Using this result and the coefficients of \(H(x)\) from [17], it takes a computer-algebra
system only a few seconds to generate several coefficients of $F(x, q)$. We collect some of these coefficients in Table 1. See [11, A349409] for more terms. The corresponding planar tanglegrams for $n = 4$ are shown in Figure 8.

4 The Tanglegram Single Edge Insertion Problem

In this section, we solve the Tanglegram Single Edge Insertion Problem. For convenience of the reader, we restate the problem below.

**Problem (Tanglegram Single Edge Insertion).** Given a tanglegram $(T, S, \phi)$ and a planar subtanglegram $(T_I, S_{\phi(I)}, \phi|_I)$ induced by $I = [n] \setminus \{i\}$ for $i \in [n]$, find a layout of $(T, S, \phi)$ that restricts to a planar layout of $(T_I, S_{\phi(I)}, \phi|_I)$ and has the minimal number of crossings possible.

Since planar layouts of $(T_I, S_{\phi(I)}, \phi|_I)$ are relevant for this problem, we can apply our work from Section 3. We use the notation $(T, S, \phi|_I) = (T, S, \phi) \setminus \{(t_j, s_{\phi(j)})\}_{j \notin I}$ for a tanglegram with some between-tree edges removed. Note that $(T, S, \phi|_I)$ is well-defined as an input into ModifiedUntangle, motivating this notation. Letting $(X, Y), L = \text{ModifiedUntangle}(T, S, \phi|_I)$, we will show that $(X, Y)$ does restrict to a planar layout of $(T_I, S_{\phi(I)}, \phi|_I)$ whenever it is a planar subtanglegram. However, $L$ may contain pairs $(u, v)$ where $u \in T$ or $v \in S$ are not vertices in $(T_I, S_{\phi(I)}, \phi|_I)$. One simple example of this situation is shown in Figure 9.

To find the leaf-matched pairs of the subtanglegram, one could input $(T_I, S_{\phi(I)}, \phi|_I)$ itself into ModifiedUntangle, and then relate the outputs to $(T, S, \phi)$, though this would require extending the outputted layout of $(T_I, S_{\phi(I)}, \phi|_I)$ to a layout for $(T, S, \phi)$. Alternatively, we can directly construct the set of leaf-matched pairs of $(T_I, S_{\phi(I)}, \phi|_I)$ using $L$.

**Definition 26.** Let $(T, S, \phi)$ be a tanglegram of size $n$, and let $I \subseteq [n]$. For any pair of vertices $u \in T$, $v \in S$ such that $\text{L}(u) \cap T_I$ is paired with $\text{L}(v) \cap S_{\phi(I)}$ by $\phi|_I$, define their...
Figure 9: When used on \((T, S, \phi_{\{1, 2, 3\}})\) shown on the left, ModifiedUntangle will add \((u, v)\) to \(L\) on the first step of the algorithm. However, \(u\) is not a vertex of the subtanglegram \((T_{\{1, 2, 3\}}, S_{\{1, 3, 4\}}, \phi_{\{1, 2, 3\}})\), shown on the right.

Suppose we are refining a vertex of highest degree \(u\) by assumption. We claim that \((u, v)\) is still promising if \((u, v)\) is adjacent degree one vertices in a bipartite graph \((X_j, E_j, Y_j)\) during some step of ModifiedUntangle. The edges \(E_j\) are constructed using the Boolean table \(P\), and because of the restriction \(\phi_j\), \(P[u, v] = True\) if and only if some element of \(\text{Lf}(u) \cap T_I\) is matched with an element of \(\text{Lf}(v) \cap S_{\phi(I)}\) by \(\phi_I\). From this, we see that \(u\) and \(v\) being adjacent degree one vertices in \((X_j, E_j, Y_j)\) implies \(\text{Lf}(u) \cap T_I\) and \(\text{Lf}(v) \cap S_{\phi(I)}\) are matched by \(\phi_I\).

**Lemma 27.** Suppose \((T, S, \phi)\) is a tanglegram with planar subtanglegram \((T_I, S_{\phi(I)}, \phi_I)\) induced by \(I \subseteq [n]\), and let \((X, Y), L = ModifiedUntangle(T, S, \phi_I)\). If \((u, v) \in L\), then \(\text{Lf}(u) \cap T_I\) and \(\text{Lf}(v) \cap S_{\phi(I)}\) are matched by \(\phi_I\).

**Proof.** Since \((u, v) \in L\), we know \(u\) and \(v\) appeared as adjacent degree one vertices in a bipartite graph \((X_j, E_j, Y_j)\) during some step of ModifiedUntangle. The edges \(E_j\) are constructed using the Boolean table \(P\), and because of the restriction \(\phi_j\), \(P[u, v] = True\) if and only if some element of \(\text{Lf}(u) \cap T_I\) is matched with an element of \(\text{Lf}(v) \cap S_{\phi(I)}\) by \(\phi_I\). From this, we see that \(u\) and \(v\) being adjacent degree one vertices in \((X_j, E_j, Y_j)\) implies \(\text{Lf}(u) \cap T_I\) and \(\text{Lf}(v) \cap S_{\phi(I)}\) are matched by \(\phi_I\).

**Lemma 28.** Suppose \((T, S, \phi)\) is a tanglegram with planar subtanglegram \((T_I, S_{\phi(I)}, \phi_I)\) induced by \(I \subseteq [n]\), and let \((X, Y), L = ModifiedUntangle(T, S, \phi_I)\).

(a) The layout \((X, Y)\) restricts to a planar layout of \((T_I, S_{\phi(I)}, \phi_I)\).

(b) The set \(L(I)\) is the set of leaf-matched pairs of \((T_I, S_{\phi(I)}, \phi_I)\).

**Proof.** To prove (a), we use a similar argument as in Theorem 14. Call \((X, Y)\) promising if it extends to a planar drawing of \((T, S, \phi_I)\). The initial partial layout of roots is promising by assumption. We claim that \((X, Y)\) is still promising after an iteration of Refine. Suppose we are refining a vertex of highest degree \(u \in X\). It is possible that when we use Refine on \(u\) to replace it with its children \(u_1\) and \(u_2\), the Boolean table values \(P[u_i, v]\) are false for all \(v \in Y\), and this occurs precisely when all between-tree edges incident to leaves in \(\text{Lf}(u_i)\) have been removed. If this occurs, we assume without loss of generality that it occurs for \(u_1\). Since \((X, Y)\) was promising, it extends to some planar drawing, and if we interchange the subtrees rooted at \(u_1\) and \(u_2\), we obtain another planar drawing.

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since leaves in \( \text{Lf}(u_i) \) are not incident to any of the between-tree edges. Thus, replacing \( u \) with \( u_1u_2 \) or \( u_2u_1 \) both result in a promising partial layout. For all other cases, the same arguments from Lemma 13 apply, and thus \text{ModifiedUntangle} terminates in a drawing of \((T, S, \phi|_T)\) that is planar. Restricting to just the leaves in \( I \), this must be a planar layout of \((T_I, S_{\phi|_I}, \phi|_I)\). For (b), we let \((X', Y'), L' = \text{ModifiedUntangle}(T_I, S_{\phi|_I}, \phi|_I)\), so we must show that \( L(I) = L' \). For the remainder of this proof, we will use \( \text{Lf}(u) \) to denote the leaves of \( u \) in \( T \) and use \( \text{Lf}(u) \cap T_I \) to denote the leaves of \( u \) in \( T_I \). We use a corresponding notation for vertices \( v \in S \).

We claim that \( L(I) \subseteq L' \). Let \((u_{red}, v_{red}) \in L(I)\) for some \((u, v) \in L\). By Definition 26 and Lemma 27, \( \text{Lf}(u) \cap T_I = \text{Lf}(u_{red}) \cap T_I \) is matched with \( \text{Lf}(v) \cap S_{\phi|_I} = \text{Lf}(v_{red}) \cap S_{\phi|_I} \) by \( \phi|_I \) and \( |\text{Lf}(u_{red}) \cap T_I| = |\text{Lf}(v_{red}) \cap S_{\phi|_I}| > 1 \). Also recall from Definition 26 that \( u_{red} \) is the minimal \( u' \preceq_T u \) with \( \text{Lf}(u) \cap T_I = \text{Lf}(u') \cap T_I \). Note that this \( u_{red} \) cannot be a leaf in \( T \) since we know \( |\text{Lf}(u) \cap T_I| = |\text{Lf}(u_{red}) \cap T_I| > 1 \). Now let \( T_1 \) and \( T_2 \) be the subtrees of \( T \) such that root\((T_1)\) and root\((T_2)\) are the children of \( u_{red} \). Then the definition of \( u_{red} \) implies that \( \text{Lf}(\text{root}(T_1)) \cap T_I \) and \( \text{Lf}(\text{root}(T_2)) \cap T_I \) are both proper, nonempty subsets of \( \text{Lf}(u_{red}) \cap T_I \), and from this, we conclude that nonempty subtrees \( T'_1 \subseteq T_I \) and \( T'_2 \subseteq T_2 \) appear in the minimal subtree containing \( \{ t_i \}_{i \in I} \). This implies that \( u_{red} \) must appear in the minimal subtree containing \( \{ t_i \}_{i \in I} \), as it is the minimal vertex that is an ancestor of the vertices in \( T_1 \cup T_2 \). Furthermore, this also implies that \( u_{red} \) has a child in \( T_1 \) and a child in \( T_2 \). Since \( u_{red} \) has two children in the minimal subtree containing \( \{ t_i \}_{i \in I} \), it will not be suppressed when forming \( T_I \). We conclude that \( u_{red} \) is an internal vertex of \( T_I \) and a similar argument shows \( v_{red} \) is an internal vertex of \( S_{\phi|_I} \). Combined, we see that \( u_{red} \) and \( v_{red} \) are internal vertices of \((T_I, S_{\phi|_I}, \phi|_I)\), and \( \text{Lf}(u_{red}) \cap T_I \) is matched with \( \text{Lf}(v_{red}) \cap S_{\phi|_I} \) by \( \phi|_I \). Thus, \((u_{red}, v_{red}) \in L' \), and we conclude that \( L(I) \subseteq L' \).

To finish proving (b), we must show that \( L(I) \supseteq L' \). Consider any leaf-matched pair \((u', v') \in L'\). Observe that \( u' = u_{red}' \) and \( v' = v_{red}' \) since \( u' \) and \( v' \) are vertices in \((T_I, S_{\phi|_I}, \phi|_I)\). During \text{ModifiedUntangle} \((T, S, \phi|_I)\), either \( u' \) or \( v' \) appears first in some partial layout \((X_j, Y_j)\), so we assume without loss of generality that it is \( u' \in X_j \). Since \( v' \) has not appeared yet in a partial layout, some vertex \( y' \geq_S v' \) must be in \( Y_j \). Since \( \text{Lf}(u') \cap T_I \) is matched with a subset of \( \text{Lf}(y') \cap S_{\phi|_I} \) by \( \phi|_I \), we know that \( y' \) is the unique neighbor of \( u' \) in \((X_j, E_j, Y_j)\), and if \( \deg(y') \geq 1 \) in \((X_j, E_j, Y_j)\), then \( y' \) will be reined before we refine \( u' \). Eventually, we will obtain some partial layout \((X_k, Y_k)\) where some \( w' \geq_S v' \) will appear in \( Y_k \) with \( \deg(w') = 1 \) in \((X_k, E_k, Y_k)\), and hence \( u' \) and \( w' \) are unique neighbors of some one another in \((X_k, E_k, Y_k)\). After this occurs, \text{ModifiedUntangle} will add \((u', w')\) to \( L \) before it refines \( u' \) or \( w' \). Since \((u', v') \in L' \), we know \( \text{Lf}(u') \cap T_I \) is matched with \( \text{Lf}(v') \cap S_{\phi|_I} \). Since \( u' \) and \( w' \) had degree one in \((X_k, E_k, Y_k)\), Lemma 27 implies \( \text{Lf}(u') \cap T_I \) is also matched with \( \text{Lf}(u') \cap S_{\phi|_I} \) by \( \phi|_I \), and therefore \( \text{Lf}(v') \cap S_{\phi|_I} = \text{Lf}(w') \cap S_{\phi|_I} \). Since \( v_{red}' = v' \), we conclude that \( u_{red}' = v_{red}' = v' \), and thus \((u', v') = (u'_{red}', w'_{red}') \in L(I) \).

\[\Box\]

4.1 Preserving subtanglegram planarity and reducing crossings

Throughout this subsection, fix \((T, S, \phi)\) as a tanglegram of size \( n \), and fix \( I = [n] \setminus \{ i \} \) for some \( i \in [n] \). Let \( L(I) \) be the leaf-matched pairs of \((T_I, S_{\phi|_I}, \phi|_I)\), and let \((X, Y)\) be
a layout of \((T, S, \phi)\) that restricts to a planar layout of \((T_I, S_{\phi(I)}, \phi|_I)\). We also use the notation
\[ u_0 = \text{parent of } t_i \in T \quad \text{and} \quad v_0 = \text{parent of } s_{\phi(i)} \in S, \]
which are also the unique internal vertices in \((T, S, \phi)\) that are not in \((T_I, S_{\phi(I)}, \phi|_I)\). To solve the Tanglegram Single Edge Insertion Problem, we pursue the following questions:

(1) What operations on \((X, Y)\) produce another layout \((X', Y')\) that is also planar when restricted to \((T_I, S_{\phi(I)}, \phi|_I)\)?

(2) How can we efficiently find the operation(s) that correspond to a solution to the Tanglegram Single Edge Insertion Problem?

In this section, we answer (1) and establish some results that will lead to (2). A complete answer to (2) requires some cases, which we detail in Section 4.2. Before we answer (1), we start with a definition. While a special case of this definition is sufficient for answering (1), the extra generality will be useful later in Section 5.

**Definition 29.** Let \(T\) be a tree, let \(u \in T\) be an internal vertex, and let \(u_1, u_2\) be the children of \(u\). A **subtree switch** (sometimes abbreviated **switch**) at \(u\) is the operation on \(T\) that interchanges the two subtrees rooted at \(u_1\) and \(u_2\), while maintaining the relative order of all leaves within each subtree. Note that a subtree switch is equivalent to a flip at \(u\) and then a flip at each child of \(u\) that is not a leaf.

**Lemma 30.** Suppose \((X, Y)\) and \((X', Y')\) are both layouts of \((T, S, \phi)\) that restrict to a planar layout of \((T_I, S_{\phi(I)}, \phi|_I)\). Then \((X', Y')\) can be obtained from \((X, Y)\) using a sequence of the following operations:

- paired flips at \((u, v) \in L(I)\), and
- subtree switches at \(u_0\) and \(v_0\).

**Proof.** Note that flips at internal vertices \(u \in T\) and \(v \in S\) generate all trees isomorphic to \(T\) and \(S\), and all of these flips commute with one another. Hence, sequences of flips at internal vertices generate all layouts of a tanglegram. From this, we know that some sequence of flips \(f_1, \ldots, f_m\) at vertices in \(T\) and \(S\) maps \((X, Y)\) to \((X', Y')\), and all of these flips commute with one another. We can assume that we do not flip at any vertex twice, as all flips commute and have order two.

Recall that \(u_0\) is the parent of \(t_i\) and \(v_0\) is the parent of \(s_{\phi(i)}\). Let \(u'\) be the child of \(u_0\) that is not \(t_i\), and let \(v'\) be the child of \(v_0\) that is not \(s_{\phi(i)}\). Note that these may or may not be internal vertices. If \(u'\) is an internal vertex, define \(g\) to be a flip at \(u'\). Otherwise, define \(g\) as the identity map. Similarly, define \(h\) to be a flip at \(v'\) or the identity map, respectively corresponding to when \(v'\) is an internal vertex or a leaf.

First, suppose that none of the flips \(f_1, \ldots, f_m\) are flips at \(u_0\) and \(v_0\). Then we can restrict the layouts \((X, Y)\) and \((X', Y')\) to \((T_I, S_{\phi(I)}, \phi|_I)\) and consider \(f_1, \ldots, f_m\) as a sequence of flips in the subtanglegram \((T_I, S_{\phi(I)}, \phi|_I)\). Since the restrictions of \((X, Y)\) and
$(X', Y')$ are planar layouts of $(T_I, S_{\phi(I)}, \phi|_{T_I})$. Theorem 1 implies that $f_1, \ldots, f_m$ must be equivalent to a sequence of paired flips at elements in $L(I)$.

Now suppose that some $f_j$ corresponds to a flip at $u_0$, but no flip at $v_0$ occurs. Since all flips commute, we can assume without loss of generality that this is $f_1$. Then another sequence of flips that maps $(X, Y)$ to $(X', Y')$ is

$$f_1, g, g, f_2, \ldots, f_m,$$

as all flips have order 2. The composition $g \circ f_1$ is a subtree switch at $u_0$ that maps $(X, Y)$ to a layout $(X'', Y'')$ that is also planar when restricted to $(T_I, S_{\phi(I)}, \phi|_{T_I})$. The sequence $g, f_2, \ldots, f_m$ maps $(X'', Y'')$ to $(X', Y')$, and none of the flips involve the vertices $u_0$ and $v_0$. By the preceding paragraph, this sequence must be equivalent to a sequence of paired flips at elements in $L(I)$. We can use a similar argument when some $f_j$ corresponds to a flip at $v_0$ and no flip at $u_0$ occurs.

Finally, if flips at both $u_0$ and $v_0$ occur, then we assume without loss of generality that these flips are $f_1$ and $f_2$, respectively. We then consider the sequence

$$f_1, g, f_2, h, g, h, f_3, \ldots, f_m,$$

which is equivalent to $f_1, \ldots, f_m$ since all flips commute and have order 2. Using similar reasoning, $g \circ f_1$ and $h \circ f_2$ are subtree switches, and $g, h, f_3, \ldots, f_m$ correspond to a sequence of paired flips at elements in $L(I)$.

**Lemma 31.** Let $(u, v) \in L(I)$, and let $(X', Y')$ be the image of $(X, Y)$ after a paired flip at $(u, v)$.

(a) If $u \not>_{T} t_i$ and $v \not>_{S} s_{\phi(i)}$, then $(X, Y)$ and $(X', Y')$ have the exact same crossings.

(b) If $u >_{T} t_i$ and $v >_{S} s_{\phi(i)}$, then $(X, Y)$ and $(X', Y')$ have the exact same crossings.

**Proof.** For (a), we suppose $u \not>_{T} t_i$ and $v \not>_{S} s_{\phi(i)}$. Suppose $(t_i, s_{\phi(i)})$ crosses some but not all of the edges between $\text{Lf}(u)$ and $\text{Lf}(v)$. Then $t_i$ appears in the middle of the leaves in $\text{Lf}(u)$, or $s_{\phi(i)}$ appears in the middle of the leaves in $\text{Lf}(v)$. These respectively contradict the assumptions $u \not>_{T} t_i$ and $v \not>_{S} s_{\phi(i)}$, as the leaves in $\text{Lf}(u)$ and $\text{Lf}(v)$ must appear consecutively in any layout. From this, we conclude that $(t_i, s_{\phi(i)})$ either crosses all or none of the edges between $\text{Lf}(u)$ and $\text{Lf}(v)$. Then a paired flip at $(u, v)$ does not affect any crossings.

For (b), suppose that for the pair $(u, v)$, both $u >_{T} t_i$ and $v >_{S} s_{\phi(i)}$. Then all crossings of $(T, S, \phi)$ are contained in the subtanglegram with trees rooted at $u$ and $v$. A paired flip at $(u, v)$ reflects this subtanglegram, preserving all of the crossings. Visualizations of these arguments are shown in Figure 10.

The preceding lemmas suggest that we should focus on subtree switches at $u_0$ and $v_0$, as well as the leaf-matched pairs $(u, v) \in L(I)$ where exactly one of $u >_{T} t_i$ or $v >_{S} s_{\phi(i)}$ is true. Motivated by these observations, we define the following sets:

$$L(I)_T = \{(u, v) \in L(I) : u >_{T} t_i, v \not>_{S} s_{\phi(i)}\},$$

$$L(I)_S = \{(u, v) \in L(I) : u \not>_{T} t_i, v >_{S} s_{\phi(i)}\}.$$
Figure 10: Lemma 31 shows that paired flips at \((a, w), (v, x), (c, y)\) do not change any crossings. Notice that a paired flip at \((d, z)\) affects crossings involving the edges between \(L_I(d)\) and \(L_I(z)\).

The two preceding lemmas then imply the following result concerning solutions to the Single Edge Insertion Problem.

**Corollary 32.** A solution to the Tanglegram Single Edge Insertion Problem can be obtained by starting at \((X, Y)\) and performing a sequence of the following operations:

- paired flips at \((u, v) \in L(I)_T \cup L(I)_S\), and
- subtree switches at \(u_0\) and \(v_0\).

**Proof.** Let \((X_{min}, Y_{min})\) correspond to a solution of the Tanglegram Single Edge Insertion Problem. By Lemma 30, we can obtain \((X_{min}, Y_{min})\) from \((X, Y)\) using a sequence \(f_1, f_2, \ldots, f_m\) of paired flips at \((u, v) \in L(I)\) and subtree switches at \(u_0\) and \(v_0\). Some of these \(f_j\) may correspond to paired flips at \((u, v) \in L(I)\) where both or neither of \(u >_T t_i, v >_S s_{\phi(i)}\) are true. We can assume these paired flips correspond to \(f_{k+1}, \ldots, f_m\) for some \(0 \leq k \leq m\), as all flips commuting implies that paired flips and subtree switches also commute. By Lemma 31 with induction, if we only perform \(f_1, f_2, \ldots, f_k\), then we obtain another layout \((X'_{min}, Y'_{min})\) that has the exact same crossings as \((X_{min}, Y_{min})\). Thus, \((X'_{min}, Y'_{min})\) also solves the Tanglegram Single Edge Insertion Problem, and the result follows. \(\square\)

From Corollary 32, we see that solving the Tanglegram Single Edge Insertion Problem reduces to finding specific sequences of paired flips at \((u, v) \in L(I)_T \cup L(I)_S\) and subtree switches at \(u_0, v_0\). Identifying the correct sequences will require some cases. Before we consider these cases, note that the sets \(\{u \in T : (u, v) \in L(I)_T\}\) and \(\{v \in S : (u, v) \in L(I)_S\}\) are linearly ordered since they form subsets of the ancestors of \(t_i\) and \(s_{\phi(i)}\), respectively. In particular, this implies that \(u >_T u_0\) for all \((u, v) \in L(I)_T\), and \(v >_S v_0\) for all \((u, v) \in L(I)_S\) since \(u_0\) and \(v_0\) are respectively the
parents of \( t_i \) and \( s_{\phi(i)} \). Additionally, whenever \( L(I)_T \) and \( L(I)_S \) are nonempty, each set has a unique “maximal” element, which we denote

\[
(u_{T_{\max}}, v_{T_{\max}}) = \text{unique } (u, v) \in L(I)_T \text{ such that } u \geq_T u' \text{ for all } (u', v') \in L(I)_T,
\]

\[
(u_{S_{\max}}, v_{S_{\max}}) = \text{unique } (u, v) \in L(I)_S \text{ such that } v \geq_S v' \text{ for all } (u', v') \in L(I)_S.
\]

Some examples are shown in Figure 11. We conclude this section with one final lemma describing the implications of ancestry relations between \( u_0 \) and \( u_{S_{\max}} \), or \( v_0 \) and \( v_{T_{\max}} \).

**Figure 11**: Examples of \( u_{S_{\max}} \) and \( v_{T_{\max}} \).

**Lemma 33.** The following properties hold when the appropriate vertex \( u_{S_{\max}} \) or \( v_{T_{\max}} \) exists.

(i) Suppose \( u_0 >_T u_{S_{\max}} \), and let \( (u, v) \in L(I) \). If \( u >_T t_i \), then \( v >_S s_{\phi(i)} \). Hence, \( L(I)_T = \emptyset \).

(ii) Suppose \( u_0 \not>_{T} u_{S_{\max}} \). Then \( u_0 \not>_{T} u \) for any \( (u, v) \in L(I)_S \).

(iii) Suppose \( v_0 >_S v_{T_{\max}} \), and let \( (u, v) \in L(I) \). If \( v >_S s_{\phi(i)} \), then \( u >_T t_i \). Hence, \( L(I)_S = \emptyset \).

(iv) Suppose \( v_0 \not>_{S} v_{T_{\max}} \). Then \( v_0 \not>_{S} v \) for any \( (u, v) \in L(I)_T \).

**Proof.** For (i), suppose \( u_0 >_T u_{S_{\max}} \), and consider \( (u, v) \in L(I) \) with \( u >_T t_i \). Since \( u_0 \) is the parent of \( t_i \), this implies that \( u >_T u_0 \). Combined with the assumption, we conclude

\[
u >_T u_0 >_T u_{S_{\max}}.
\]

Then in the induced subtree \( T_i \), we know \( u >_{T_i} u_{S_{\max}} \). This implies a corresponding relation for their leaf-matched vertices \( v \) and \( v_{S_{\max}} \) in the induced subtree \( S_{\phi(i)} \), so \( v >_{s_{\phi(i)}} v_{S_{\max}} \). Since the ancestry relations on \( S_{\phi(i)} \) are restrictions of the relations on \( S \), this implies \( v >_{S} v_{S_{\max}} \). We know \( v_{S_{\max}} >_{S} s_{\phi(i)} \) by the definition of \( L(I)_S \), so we conclude that

\[
v >_{S} v_{S_{\max}} >_{S} s_{\phi(i)}.
\]

For (ii), suppose \( u_0 \not>_{T} u_{S_{\max}} \). Assume by contradiction that \( u_0 >_{T} u \) for some \( (u, v) \in L(I)_S \). Then by the definition of \( (u_{S_{\max}}, v_{S_{\max}}) \), we know that \( v_{S_{\max}} >_{S} v \), and
therefore $u_{\text{Smax}} >_T u$ by the same argument involving $T_I$ and $S_{\phi(I)}$ in the proof of (i) above. We see that $u_{\text{Smax}}$ and $u_0$ are both ancestors of $u$, and the assumption $u_0 >_T u_{\text{Smax}}$ then implies

$$u_{\text{Smax}} >_T u_0 >_T u$$

since the ancestors of $u$ are linearly ordered. However, $u_0$ is the parent of $t_i$, so this implies $u_{\text{Smax}} >_T t_i$, contradicting $(u_{\text{Smax}}, v_{\text{Smax}}) \in L(I)_S$. Results (iii) and (iv) follow by similar arguments.

4.2 Insertion Algorithm

In the previous section, we established that a solution to the Single Edge Insertion Problem only requires us to consider $L(I)_T, L(I)_S, u_0,$ and $v_0$. Now we wish to efficiently find a sequence of paired flips and subtree switches that solves the Tanglegram Single Edge Insertion Problem. Our approach for finding such a sequence will be different depending on where $u_0$ and $v_0$ are inserted. The three cases will be $u_0 >_T u_{\text{Smax}}, v_0 >_S v_{\text{Tmax}},$ or when neither of these is true, and an example of each of each case was previously shown in Figure 11. In this subsection, we solve the three different cases, and then we will combine our results to form the Insertion algorithm that solves the Tanglegram Single Edge Insertion Problem.

We start with the case $u_0 >_T u_{\text{Smax}}$. Lemma 33 implies $L(I)_T$ is empty, so we can focus our attention on $v_0, u_0,$ and $L(I)_S = \{(u_j, v_j)\}_{j=1}^m$, where elements have been indexed so that $v_1 <_S v_2 <_S \ldots <_S v_m$. Below we give an algorithm for this first case of single edge insertion. Notice that we do not consider a flip or switch at any vertex until we have considered all ancestors of that vertex, and we make these flip and switch choices based on edges in a set we call $E(u_0), E(v_0),$ and $E(v_j)$ for $j = 1, 2, \ldots, m$. These sets track the crossings that can be affected by an operation at that vertex and cannot be affected by operations at descendants of that vertex. An example of the algorithm is shown in Figure 12.

![Figure 12: If ModifiedUntangle$(T, S, \phi|_I)$ returns the layout on the left, then Algorithm 3 would first perform a subtree switch at $u_0$ to obtain the layout in the middle. Then it would not perform a paired flip at $(u_2, v_2)$, would perform a paired flip at $(u_1, v_1)$, and would not perform a subtree switch at $v_0$, returning the layout on the right with one crossing.](image-url)
Algorithm 3: Insertion Case $u_0 >_T u_{S_{\text{max}}}$

Input: tangledram $(T, S, \phi)$, index $i$ s.t. $(T_i, S_{\phi(i)}, \phi|_I)$ is planar for $I = [n] \setminus \{i\}$  
Output: layout of $(T, S, \phi)$ that restricts to a planar layout of $(T_i, S_{\phi(i)}, \phi|_I)$

// Step 1: initialize the algorithm.
1 $(X, Y), L := \text{ModifiedUntangle}(T, S, \phi|_I)$
2 construct $L(I)$ from $L$ using Definition 26
3 $u_0 := \text{parent of } t_i$, $v_0 := \text{parent of } s_{\phi(i)}$
4 $L(I)_S := \{(u, v) \in L(I) : u \neq_T t_i, v >_S s_{\phi(i)}\}$

// Step 2: construct edge sets.
5 linearly order $L(I)_S = \{(u_j, v_j)\}_{j=1}^m$ so that $v_1 <_S v_2 <_S \ldots <_S v_m$
6 $E(u_0) := \text{between-tree edges with an endpoint in } Lf(u_0) \setminus Lf(u_m)$
7 $E(v_0) := \text{between-tree edges with an endpoint in } Lf(v_0) \setminus \{s_{\phi(i)}\}$
8 for $j = 1, 2, \ldots, m$, do

9 $E(v_j) := \text{between-tree edges with an endpoint in } Lf(v_j) \setminus Lf(v_{j-1})$

// Step 3: use paired flips and subtree switches.
10 if $(t_i, s_{\phi(i)})$ crosses more than half of the edges in $E(u_0)$ in the layout $(X, Y)$, then
11 \quad update $X := \text{SubtreeSwitch}(X, u_0)$
12 for $j = m, \ldots, 2, 1$, do
13 \quad if $(t_i, s_{\phi(i)})$ crosses more than half of the edges in $E(v_j)$ in the layout $(X, Y)$, then
14 \quad \quad update $(X, Y) := \text{PairedFlip}((X, Y), (u_j, v_j))$
15 if $(t_i, s_{\phi(i)})$ crosses more than half of the edges in $E(v_0)$ in the layout $(X, Y)$, then
16 \quad update $Y := \text{SubtreeSwitch}(Y, v_0)$
17 return $(X, Y)$

Lemma 34. Algorithm 3 solves the Tanglegram Single Edge Insertion Problem when $L(I)_S \neq \emptyset$ and $u_0 >_T u_{S_{\text{max}}}$.

Proof. By Lemma 28, the initial layout $(X, Y)$ of $(T, S, \phi)$ in Step 1 of Algorithm 3 restricts to a planar layout of $(T_i, S_{\phi(i)}, \phi|_I)$, and $L(I)$ is the set of leaf-matched pairs of $(T_i, S_{\phi(i)}, \phi|_I)$. The assumption $u_0 >_T u_{S_{\text{max}}}$ with Lemma 33 implies $L(I)_T = \emptyset$. Corollary 32 with $L(I)_T = \emptyset$ implies that starting with $(X, Y)$, some sequence of paired flips at $(u_j, v_j) \in L(I)_S$ and subtree switches at $u_0$ and $v_0$ solves the Tanglegram Single Edge Insertion Problem. The algorithm makes choices in the order $u_0, (u_m, v_m), \ldots, (u_1, v_1), v_0$, so we will show that our choice at each step can extend to a solution to the Single Edge Insertion Problem, and thus the algorithm terminates at a solution.

The algorithm starts with $u_0$. Some operations at $u_0, (u_j, v_j) \in L(I)_S$, and $v_0$ produce a solution $(X_{\min}, Y_{\min})$ to the Single Edge Insertion Problem. This layout contains sublists for the elements in $Lf(u_0)$ and the leaves paired with them, which have the form either $(t_i, X_1UX_2, Y_1VY_2)$ or $(X_1UX_2t_i, Y_1VY_2)$, where $U, V$ is an ordering of $Lf(u_m), Lf(v_m)$ and $X_1, X_2, Y_1, Y_2$ order the remaining leaves. We focus on the case $(t_i, X_1UX_2, Y_1VY_2)$.
which is also illustrated in Figure 13. We call this new layout illustrated in Figure 13, as the other case follows by similar reasoning. Notice that $E(u_0) = \text{Edges}(X_1) \cup \text{Edges}(X_2)$, where $\text{Edges}(X_i)$ is the set of between-tree edges with a leaf in $X_i$.

Beginning with $(t_i, X_1U X_2, Y_1V Y_2)$, if we perform a subtree switch at $u_0$, notice that we can also perform a paired flip at $(u_m, v_m)$ to obtain

$$(t_i, X_1U X_2, Y_1V Y_2) \xrightarrow{u_0 \text{ subtree switch}} (X_1U X_2t_i, Y_1V Y_2) \xrightarrow{(u_m, v_m) \text{ paired flip}} (X_1U X_2t_i, Y_1V Y_2),$$

which is also illustrated in Figure 13. We call this new layout $(X', Y')$. Notice that crossings between $(t_i, s_{\phi(i)})$ and $\text{Edges}(U)$ are the same in $(X_{\text{min}}, Y_{\text{min}})$ and $(X', Y')$, so the choice of subtree switch at $u_0$ does not prevent minimization of crossings in $\text{Edges}(U)$. However, while $(t_i, s_{\phi(i)})$ crosses $\text{Edges}(X_1)$ in $(X_{\text{min}}, Y_{\text{min}})$, it crosses $\text{Edges}(X_2)$ in the layout $(X', Y')$, and these crossings cannot be affected by choices in $L(I) \cup \{v_0\}$. Thus, the choice at $u_0$ that extends to a solution to the Tanglegram Single Edge Insertion Problem must be one that minimizes crossings in $E(u_0) = \text{Edges}(X_1) \cup \text{Edges}(X_2)$, so the algorithm’s choice at $u_0$ in lines 10-11 extends to a solution.

Now consider paired flips $(u_j, v_j) \in L(I) \cup \{v_0\}$. Suppose that we made choices that extend to a solution to the Single Edge Insertion Problem at $u_0$ and any $(u_{j'}, v_{j'}) \in L(I) \cup \{v_0\}$ with $v_{j'} >_S v_j$. Then we know that some choices at $(u_j, v_j), \ldots, (u_1, v_1)$, and $v_0$ lead to a solution to the Single Edge Insertion Problem. This solution contains an ordering of $\text{Lf}(u_j), \text{Lf}(v_j)$. If $j \geq 2$, denote this ordering as $(X_1U X_2, Y_1V Y_2)$ with $U, V$ an ordering of $\text{Lf}(u_{j-1}), \text{Lf}(v_{j-1})$ as shown on the left in Figure 14. If we perform a paired flip at $(u_j, v_j)$, then we can also perform a paired flip at $(u_{j-1}, v_{j-1})$ to obtain

$$(X_1U X_2, Y_1V Y_2) \xrightarrow{(u_j, v_j) \text{ paired flip}} (X_2U X_1, Y_2V Y_1) \xrightarrow{(u_{j-1}, v_{j-1}) \text{ paired flip}} (X_2U X_1, Y_2V Y_1).$$

If $j = 1$, the ordering of $\text{Lf}(u_1), \text{Lf}(v_1)$ is either $(X_0, Y_1s_{\phi(i)}V Y_2)$ or $(X_0, Y_1V s_{\phi(i)}Y_2)$, where $V$ is an ordering of $\text{Lf}(v_0) \setminus \{s_{\phi(i)}\}$. Performing a paired flip at $(u_1, v_1)$ and a subtree switch at $v_0$ respectively results in $(X_0, Y_2s_{\phi(i)}V Y_1)$ or $(X_0, Y_2V s_{\phi(i)}Y_1)$.

In all cases, choosing to perform a paired flip at $(u_j, v_j)$ does not prevent minimization of crossings between $(t_i, s_{\phi(i)})$ and $\text{Edges}(V)$. Thus, the choice at $(u_j, v_j)$ that extends to
Remark 35. When we consider whether or not to perform a subtree switch at $u_0$, it is possible that $|X_1| = |X_2|$, and in this case, both choices at $u_0$ extend to a solution to the Tanglegram Single Edge Insertion Problem. Similarly, when $|Y_1| = |Y_2|$, both choices of whether or not to perform a paired flip at $(u_j, v_j)$ in lines 13-14 extends to a solution. Using induction, we conclude that the sequence of paired flips at elements in $L(I)_S$ extends to a solution.

Finally, for $v_0$, we must minimize crossings involving $(t_i, s_{0(i)})$ and between-tree edges with an endpoint in $Lf(v_0)$. This is precisely what the algorithm does with the set $E(v_0)$. Combined, we conclude that Algorithm 3 solves the Tanglegram Single Edge Insertion Problem when $u_0 >_{T} u_{S_{\text{max}}}$.

Remark 36. One can analogously construct an Insertion Case $v_0 >_{S} v_{T_{\text{max}}}$ algorithm for the situation $L(I)_T \neq \emptyset$ and $v_0 >_{S} v_{T_{\text{max}}}$. We include the algorithm in the Appendix as Algorithm 8. Proof of its effectiveness follows from a similar argument to the one for Lemma 34.

We now consider the remaining case, where $u_0 \not>_{T} u_{S_{\text{max}}}$ and $v_0 \not>_{S} v_{T_{\text{max}}}$. In this case, Lemma 33 implies $u_0 \not>_{T} u$ for any $(u, v) \in L(I)_S$ and $v_0 \not>_{S} v$ for any $(u, v) \in L(I)_T$. We linearly order $L(I)_S = \{(u_j, v_j)\}_{j=1}^{k}$ and $L(I)_T = \{(u_j, v_j)\}_{j=k+1}^{k+m}$ so that $v_0 <_{S} v_1 <_{S} v_2 <_{S} \ldots <_{S} v_k$ and $u_0 <_{T} u_{k+1} <_{T} u_{k+2} <_{T} \ldots <_{T} u_{k+m}$. Then we define the $E$ sets in a similar way as before. For $j \in \{1, 2, \ldots, k\}$, define

$$E(v_j) := \text{between-tree edges with an endpoint in } Lf(v_j) \setminus Lf(v_{j-1}),$$

and for $j \in \{k + 2, \ldots, k + m\}$, define

$$E(u_j) := \text{between-tree edges with an endpoint in } Lf(u_j) \setminus Lf(u_{j-1}).$$

Finally, define

$$E(u_{k+1}) := \text{between-tree edges with an endpoint in } Lf(u_{k+1}) \setminus Lf(u_0),$$

a solution must be the one that minimizes crossings in $E(v_j) = \text{Edges}(Y_1) \cup \text{Edges}(Y_2)$, as these crossings cannot be affected by operations at $(u_{j-1}, v_{j-1}), \ldots, (u_1, v_1)$, and $v_0$. Then the algorithm’s choice at $(u_j, v_j)$ in lines 13-14 extends to a solution. Using induction, we conclude that the sequence of paired flips at elements in $L(I)_S$ extends to a solution.

Finally, for $v_0$, we must minimize crossings involving $(t_i, s_{0(i)})$ and between-tree edges with an endpoint in $Lf(v_0)$. This is precisely what the algorithm does with the set $E(v_0)$. Combined, we conclude that Algorithm 3 solves the Tanglegram Single Edge Insertion Problem when $u_0 >_{T} u_{S_{\text{max}}}$.

Remark 35. When we consider whether or not to perform a subtree switch at $u_0$, it is possible that $|X_1| = |X_2|$, and in this case, both choices at $u_0$ extend to a solution to the Tanglegram Single Edge Insertion Problem. Similarly, when $|Y_1| = |Y_2|$, both choices of whether or not to perform a paired flip at $(u_j, v_j) \in L(I)_S$ extend to a solution. In these situations, we choose not to perform the subtree switch or paired flip for efficiency reasons.

Remark 36. One can analogously construct an Insertion Case $v_0 >_{S} v_{T_{\text{max}}}$ algorithm for the situation $L(I)_T \neq \emptyset$ and $v_0 >_{S} v_{T_{\text{max}}}$. We include the algorithm in the Appendix as Algorithm 8. Proof of its effectiveness follows from a similar argument to the one for Lemma 34.
$E(v_0) := \text{between-tree edges with an endpoint in } \text{Lf}(v_0) \setminus \{s_{\phi(i)}\},$

$E(u_0) := \text{between-tree edges with an endpoint in } \text{Lf}(u_0) \setminus \{t_i\}.$

The next lemma gives us a key property concerning these sets. We wish to use the $E$ sets to minimize crossings, and this lemma will show that we do not need to worry about these sets intersecting in most cases.

**Lemma 37.** Suppose $u_0 \not\rightarrow_T u_{s_{\text{max}}}$ and $v_0 \not\rightarrow_S v_{t_{\text{max}}}$. Then $E(u_j) \cap E(v_{\ell}) \neq \emptyset$ can only occur when $j = \ell = 0$.

**Proof.** Suppose $E(u_j) \cap E(v_{\ell}) \neq \emptyset$ for some $j, \ell \neq 0$, that is, there exists $(u_j, v_j) \in L(I)_T$, $(u_\ell, v_\ell) \in L(I)_S$, and a between-tree edge $(t, s)$ such that $t \in \text{Lf}(u_j) \setminus \text{Lf}(u_{j-1})$ and $s \in \text{Lf}(v_\ell) \setminus \text{Lf}(v_{\ell-1})$. The assumption $t \in \text{Lf}(u_j) \setminus \text{Lf}(u_{j-1})$ implies $u_j >_T t$. Since $(u_j, v_j) \in L(I)_T$, the definition of $L(I)_T$ implies that $u_j >_T t_i$ and $v_j \not\rightarrow_S s_{\phi(i)}$. Furthermore, $(u_j, v_j)$ is a leaf-matched pair of $(T_I, S_{\phi(i)}, \phi|_I)$, so $u_j >_T t$ implies $v_j >_S s$. Combined, we see that $u_j >_T t, u_j >_T t_i, v_j >_S s,$ and $v_j \not\rightarrow_S s_{\phi(i)}$.

Using similar reasoning, the assumption $s \in \text{Lf}(v_\ell) \setminus \text{Lf}(v_{\ell-1})$ implies $v_\ell >_S s$, and $(u_\ell, v_\ell) \in L(I)_S$ implies $v_\ell >_S s_{\phi(i)}$. Since the ancestors of $s$ are linearly ordered, the fact that $v_\ell$ is an ancestor of both $s$ and $s_{\phi(i)}$ while $v_j$ is only an ancestor of $s$ implies $v_\ell >_S v_j$, giving us the situation illustrated in Figure 15. This implies $u_\ell >_T u_j >_T t_i$, which contradicts $(u_\ell, v_\ell) \in L(I)_S$.

![Figure 15: The proof of Lemma 37 (i).](image)

Now suppose that $E(u_0) \cap E(v_j) \neq \emptyset$ for some $j \neq 0$, that is, there exists some $(u_j, v_j) \in L(I)_S$ and some between-tree edge $(t, s)$ with $t \in \text{Lf}(u_0) \setminus \{t_i\}$ and $s \in \text{Lf}(v_j) \setminus \text{Lf}(v_{j-1})$. Since $t \in \text{Lf}(u_0) \setminus \{t_i\}$, we see that $u_0 >_T t$. Using the fact that $(u_j, v_j)$ is a leaf-matched pair of $(T_I, S_{\phi(i)}, \phi|_I)$, $v_j >_S s$ implies $u_j >_T t$. Since ancestors of $t$ are linearly ordered, either $u_j >_T u_0$ or $u_j <_T u_0$. Using our assumption $u_0 \not\rightarrow_T u_{\text{S_{max}}}$ with Lemma 33, we conclude that $u_j >_T u_0 >_T t_i$, which contradicts $(u_j, v_j) \in L(I)_S$. The case $E(u_j) \cap E(v_0) \neq \emptyset$ for $j \neq 0$ is ruled out by similar reasoning. □
Algorithm 4 will first perform a paired flip at \((u_3,v_3)\). Afterwards, it will not perform a paired flip at \((u_2,v_2)\) or \((u_1,v_1)\). Since \(E(u_0) \cap E(v_0) = \emptyset\), the algorithm will perform subtree switches at \(u_0\) and \(v_0\), returning the layout shown on the right.

We now define an algorithm to solve the remaining cases of the Tanglegram Single Edge Insertion Problem. As before, we consider flips at all ancestors of a vertex before considering the vertex itself, and make flip and switch choices according to crossings in the \(E(u_j)\) and \(E(v_j)\) sets. An example of the algorithm is shown in Figure 16.

**Lemma 38.** Algorithm 4 solves the Tanglegram Single Edge Insertion Problem when \(u_0 \not\in T u_{S_{\text{max}}}\) and \(v_0 \not\in S v_{T_{\text{max}}}\), where we also consider \(I(S) = \emptyset\) and \(I(T) = \emptyset\), respectively, as cases of \(u_0 \not\in T u_{S_{\text{max}}}\) and \(v_0 \not\in S v_{T_{\text{max}}}\).

**Proof.** Using the same argument as in Lemma 34, starting at \((X,Y)\) in Step 1 and performing some sequence of paired flips at elements in \(L(I) \cup L(I)\) and subtree switches at \(u_0, v_0\) solves the Tanglegram Single Edge Insertion Problem. Algorithm 4 first considers the elements of \(L(I)\) in the order \((u_{k+m}, v_{k+m}), \ldots, (u_{k+1}, v_{k+1})\) and then elements of \(L(I)\) in the order \((u_k, v_k), \ldots, (u_1, v_1)\). Similar to Lemma 34, we show that our choice at each element of \(L(I)\) in this order extends to a solution to the Tanglegram Single Edge Insertion Problem.

Consider \((u_j, v_j) \in L(I)\), and assume that our choices at all previously considered vertices extend to a solution to the Single Edge Insertion Problem. Some choice of operations at \((u_{j'}, v_{j'}) \in L(I)\) with \(u_{j'} =_T u_j, (u_i, v_i) \in L(I)\), \(u_0\), and \(v_0\) solves the Single Edge Insertion Problem. This gives us a layout \((X_{\min}, Y_{\min})\) that includes an ordering of \(L(u_j)\), \(L(v_j)\). When \(j \neq k + 1\), this ordering has the form \((X_t U X_2, Y_t V Y_2)\) with \(U, V\) an ordering of \(L(u_{j-1}), L(v_{j-1})\) as shown in Figure 17. If we perform a paired flip at \((u_j, v_j)\), then we can perform a paired flip at \((u_{j-1}, v_{j-1})\) to obtain the ordering \((X_2 U X_1, Y_2 V Y_1)\) on \(L(u_j), L(v_j)\). Letting \(E(U)\) be the between-tree edges with an endpoint in \(U\), we see that the original layout \((X_{\min}, Y_{\min})\) and the new layout have the same crossings between \((t_i, s_{\phi(i)})\) and \(E(U)\). Thus, regardless of our choice at \((u_j, v_j)\), we can minimize crossings in \(E(U)\).

When \(j = k + 1\), the original ordering has the form \((X_t U X_2, Y_0)\) or \((X_t U t_i, X_2, Y_0)\)
**Algorithm 4: Insertion Case** \( u_0 \not\forall_T u_{S_{\max}} \) and \( v_0 \not\forall_S v_{T_{\max}} \\

**Input:** tanglegram \((T, S, \phi), \) index \( i \) s.t. \((T_i, S_{\phi(i)}, \phi_{|I})\) is planar for \( I = [n] \setminus \{i\} \)

**Output:** layout of \((T, S, \phi)\) that restricts to a planar layout of \((T_i, S_{\phi(i)}, \phi_{|I})\)

// Step 1: initialize the algorithm.
1. \((X,Y), L := \text{ModifiedUntangle}(T, S, \phi_{|I})\)
2. construct \(L(I)\) from \(L\) using Definition 26
3. \(u_0 := \text{parent of } t_i, v_0 := \text{parent of } s_{\phi(i)}\)
4. \(L(I)_S := \{(u,v) \in L(I) : u \not\forall_T t_i, v \not\forall_S s_{\phi(i)}\}\)
5. \(L(I)_T := \{(u,v) \in L(I) : u \forall_T t_i, v \not\forall_S s_{\phi(i)}\}\)

// Step 2: construct edge sets.
6. linearly order \(L(I)_S = \{(u_j, v_j)\}_{j=1}^k\) so that \(v_1 <_S v_2 <_S \ldots <_S v_k\)
7. linearly order \(L(I)_T = \{(u_j, v_j)\}_{j=k+1}^{k+m}\) so that \(u_{k+1} <_T u_{k+2} <_T \ldots <_T u_{k+m}\)
8. for \(j = 1, 2, \ldots, k, \) do
   9. \[ E(v_j) := \text{between-tree edges with an endpoint in } Lf(v_j) \setminus Lf(v_{j-1}) \]
10. for \(j = k + 2, k + 3, \ldots, m, \) do
11. \[ E(u_j) := \text{between-tree edges with an endpoint in } Lf(u_j) \setminus Lf(u_{j-1}) \]
12. \[ E(u_{k+1}) := \text{between-tree edges with an endpoint in } Lf(u_{k+1}) \setminus Lf(u_0) \]
13. \[ E(v_0) := \text{between-tree edges with an endpoint in } Lf(v_0) \setminus \{s_{\phi(i)}\} \]
14. \[ E(u_0) := \text{between-tree edges with an endpoint in } Lf(u_0) \setminus \{t_i\} \]

// Step 3: use paired flips in \(L(I)_T\) and \(L(I)_S\).
15. for \(j = k + m, \ldots, k + 2, k + 1, \) do
16. if \((t_i, s_{\phi(i)})\) crosses more than half of the edges in \(E(u_j)\) in the layout \((X,Y), \)
   then
17. \[ \text{update } (X,Y) := \text{PairedFlip}((X,Y),(u_j, v_j)) \]
18. for \(j = k, \ldots, 2, 1, \) do
19. if \((t_i, s_{\phi(i)})\) crosses more than half of the edges in \(E(v_j)\) in the layout \((X,Y), \)
   then
20. \[ \text{update } (X,Y) := \text{PairedFlip}((X,Y),(u_j, v_j)) \]

// Step 4: use subtree switches at \(u_0\) and \(v_0\).
21. if \(E(u_0) \cap E(v_0) = \emptyset\), then
22. if \((t_i, s_{\phi(i)})\) crosses the edges in \(E(u_0)\), then
23. \[ \text{update } X := \text{SubtreeSwitch}(X, u_0) \]
24. if \((t_i, s_{\phi(i)})\) crosses the edges in \(E(v_0)\), then
25. \[ \text{update } Y := \text{SubtreeSwitch}(Y, v_0) \]
26. return \(X, Y\)
27. else
28. \[ X', Y' := \text{SubtreeSwitch}(X, u_0), \text{SubtreeSwitch}(Y, v_0) \]
29. return a layout in \(\{(X,Y), (X',Y'), (X,Y'), (X',Y')\}\) with fewest crossings.
with $U$ an ordering of $Lf(u_0) \setminus \{t_i\}$. If we make a paired flip at $(u_{k+1}, v_{k+1})$, we can perform a subtree switch at $u_0$ to respectively obtain $(X_2 t_i U X_1, V_0)$ or $(X_2 U t_i X_1, V_0)$. In either case, the resulting layout will again have the same crossings between $(t_i, s_{\phi(i)})$ and Edges$(U)$, so regardless of our choice at $(u_{k+1}, v_{k+1})$, we can still minimize crossings in Edges$(U)$.

In both of the above cases, notice that the crossings involving $E(u_j) = \text{Edges}(X_1) \cup \text{Edges}(X_2)$ are affected, as $(t_i, s_{\phi(i)})$ will either cross Edges$(X_1)$ or Edges$(X_2)$. These crossings cannot be affected by paired flips at any $(u_{j'}, v_{j'}) \in L(I)_T$ with $j' < j$, nor by a subtree switch at $u_0$. Additionally, by Lemma 37, $E(u_j)$ does not intersect $E(v_\ell)$ for $(u_\ell, v_\ell) \in L(I)_S$, nor does it intersect $E(v_0)$. Then $E(u_j)$ does not contain any edges with a leaf in $Lf(v_\ell)$ for $\ell = 0, 1, \ldots, k$, and crossings in $E(u_j)$ cannot be affected by any of the choices at $(u_\ell, v_\ell) \in L(I)_S$ and $v_0$. Combined, we conclude that the choice at $(u_j, v_j)$ that extends to a solution to the Single Edge Insertion Problem must be the one that minimizes crossings in $E(u_j)$, which is precisely what the algorithm does in lines 16-17. Using induction, the sequence of choices in $L(I)_T$ extends to a solution.

Using a similar argument, we obtain the same conclusion for the choices of paired flips in $L(I)_S$. After making appropriate choices of paired flips for elements in $L(I)_T$ and $L(I)_S$, we know some combination of subtree switches at $\{u_0, v_0\}$ solves the Tanglegram Single Edge Insertion Problem. When $E(u_0) \cap E(v_0) = \emptyset$, the choices at $u_0$ and $v_0$ affect different crossings, and the algorithm chooses to minimize crossings in each set. When $E(u_0) \cap E(v_0) \neq \emptyset$, the algorithm checks all four possible layouts in lines 28-29 and returns a layout with minimal crossings. In all cases, the output must be a solution to the Tanglegram Single Edge Insertion Problem.

Using these algorithms and results, we now define a combined algorithm that solves the Tanglegram Single Edge Insertion Problem below. The initial steps are similar to the previous algorithms. We then check for the conditions corresponding to each case, and proceed appropriately.

**Proof of Theorem 3.** Effectiveness of the Insertion Algorithm follows from the cases considered in Step 2 combined with Lemma 34, Remark 36, and Lemma 38, so it remains

![Figure 17: The effect of a paired flip at $(u_j, v_j)$ and $(u_{j-1}, v_{j-1})$ when $j \geq 2.$](image)
Algorithm 5: Insertion

Input: tanglegram \((T, S, \phi)\), index \(i\) s.t. \((T_i, S_{\phi(i)}, \phi|_I)\) is planar for \(I = [n] \setminus \{i\}\)

Output: layout of \((T, S, \phi)\) that restricts to a planar layout of \((T_i, S_{\phi(i)}, \phi|_I)\)

1. \((X, Y), L := \text{ModifiedUntangle}(T, S, \phi|_I)\)
2. construct \(L(I)\) from \(L\) using Definition 26
3. \(u_0 := \text{parent of } t_i, v_0 := \text{parent of } s_{\phi(i)}\)
4. \(L(I)_S := \{(u, v) \in L(I) : u \not\in T t_i, v > s_{\phi(i)}\}\)
5. \(L(I)_T := \{(u, v) \in L(I) : u > T t_i, v \not\in T s_{\phi(i)}\}\)

// Step 2: consider cases.

6. use (6) to define \(u_{S_{\text{max}}}\) when \(L(I)_S \neq \emptyset\) and \(v_{T_{\text{max}}}\) when \(L(I)_T \neq \emptyset\)
7. if \(L(I)_S \neq \emptyset\) and \(u_0 > T u_{S_{\text{max}}}\), then
   8. proceed from Step 2 of Algorithm 3
9. else if \(L(I)_T \neq \emptyset\) and \(v_0 > S v_{T_{\text{max}}}\), then
   10. proceed from Step 2 Algorithm 8
11. else
   12. proceed from Step 2 Algorithm 4

It can be shown that the algorithm runs in \(O(n^2)\) time and space. In Step 1, ModifiedUntangle runs in \(O(n^2)\) time and space by Remark 17. Additionally, constructing \(L(I)\) takes \(O(n^2)\) time and space, as \(L\) has size at most \(n\), and for every \((u, v) \in L\), there are at most \(n\) descendants of \(u\) and \(v\). Lines 4-5 take \(O(n)\) time since the list \(L(I)\) also has size at most \(n\). Defining \(u_{S_{\text{max}}}\) and \(v_{T_{\text{max}}}\) and then checking the conditions in lines 7 and 9 also take \(O(n)\) time since \(L(I)_T\) and \(L(I)_S\) have size at most \(n\).

Now in Step 2 of all three cases, ordering the lists \(L(I)_T\) and \(L(I)_S\) can be done in \(O(n \log n)\) time. Next, each of the \(E\) sets can be calculated in \(O(n)\) time and space, and we perform these calculations at most \(n\) times for a total of \(O(n^2)\) time and space. The paired flip and subtree switch choices in Step 3 of Algorithm 3, 8, or 4 run in \(O(n)\) time each since counting crossings involving \((t_i, s_{\phi(i)})\) can be done in linear time, and performing paired flips and subtree switches can also be done in linear time. We make at most \(n\) paired flip and subtree switch choices for a total of \(O(n^2)\) time. In Algorithm 4, we may also consider four layouts corresponding to combinations of \(\{u_0, v_0\}\) in lines 28-29. Since each layout takes \(O(n^2)\) space and crossings can be counted in \(O(n^2)\) time by checking all \(\binom{n}{2}\) pairs of edges, these remaining steps also take \(O(n^2)\) time and space. Thus, the Insertion algorithm runs in \(O(n^2)\) time and space, regardless of the case. \(\square\)

If there exists a solution to the Tanglegram Layout Problem for \((T, S, \phi)\) such that all crossings involve a single edge, then Insertion can be used to find a solution to the Tanglegram Layout Problem. In particular, one can use Insertion \((T, S, \phi, i)\) over all possible \(i\) to verify if \(\text{crt}(T, S, \phi) = 1\). However, in general, the solution to the Single Edge Insertion Problem and the Layout Problem can differ by arbitrarily many crossings.
Definition 39. Let \((T, S, \phi)\) be a tanglegram of size \(n\). For any \(i \in [n]\) such that \((T_i, S_{\phi|I}, \phi|I)\) with \(I = [n] \setminus \{i\}\) is planar, define \(\text{crtei}(T, S, \phi, i)\) to be the common number of crossings in any solution to the Tanglegram Single Edge Insertion Problem. Define
\[
\text{crtei}(T, S, \phi) = \min_i \{\text{crtei}(T, S, \phi, i)\},
\]
where the minimum is taken over all well-defined choices of \(i\).

Corollary 40. For any \(k \in \mathbb{N}\), there exists a tanglegram \((T, S, \phi)\) and such that
\[
\text{crtei}(T, S, \phi) - \text{crt}(T, S, \phi) = k.
\]

Proof. For \(k = 1\), consider the tanglegram \((T, S, \phi)\) with two layouts in Figure 18. Direct application of \(\text{ModifiedUntangle}\) or the Tanglegram Kuratowski Theorem in [5] shows that the induced subtanglegram on \([n] \setminus \{i\}\) is planar only when \(i = 2\), and hence \(\text{crtei}(T, S, \phi) = \text{crtei}(T, S, \phi, 2)\). \(\text{Insertion}((T, S, \phi), 2)\) outputs the first layout in Figure 18 with three crossings, which is a solution to the Tanglegram Single Edge Insertion Problem by Theorem 3. Hence, \(\text{crtei}(T, S, \phi) = 3\). Theorem 3 also implies that if \(\text{crt}(T, S, \phi) = 1\), then for some choice of \(i \in [6]\), \(\text{Insertion}((T, S, \phi), i)\) will have one crossing. Since this not the case, the second layout in Figure 18 implies \(\text{crt}(T, S, \phi) = 2\). Combined, we see that \(\text{crtei}(T, S, \phi) - \text{crt}(T, S, \phi) = 1\).

Figure 18: The output of \(\text{Insertion}((T, S, \phi), 2)\) and another layout for the same tanglegram.

For \(k > 1\), form the size \(k + 5\) tanglegram \((T', S', \phi')\) by starting with \((T, S, \phi)\) and replacing the edge \((t_4, s_3)\) with a planar tanglegram \((\tilde{T}, \tilde{S}, \tilde{\phi})\) of size \(k\). Since \((T', S', \phi')\) contains \((T, S, \phi)\) as a subtanglegram, we know \(\text{crt}(T', S', \phi') \geq 2\), as any layout of \((T', S', \phi')\) with fewer than two crossings would restrict to a layout of \((T, S, \phi)\) with fewer than two crossings. We can take the second layout in Figure 18 and replace \((t_4, s_3)\) with a planar layout of \((\tilde{T}, \tilde{S}, \tilde{\phi})\) to obtain a layout of \((T', S', \phi')\) with exactly 2 crossings, so \(\text{crt}(T', S', \phi') = 2\).

Since \((T_{[6]\setminus\{i\}}, S_{\phi|_{[6]\setminus\{i\}}}, \phi|_{[6]\setminus\{i\}})\) is planar only when \(i = 2\), the same statement is true for \((T'_{[k+5]\setminus\{i\}}, S'_{\phi|_{[k+5]\setminus\{i\}}}, \phi'|_{[k+5]\setminus\{i\}})\), as the tanglegram \((T'_{[k+5]\setminus\{i\}}, S'_{\phi|_{[k+5]\setminus\{i\}}}, \phi'|_{[k+5]\setminus\{i\}})\)
contains \((T_{[6]\setminus\{i\}}, S_{\phi([6]\setminus\{i\})}, \phi|_{[6]\setminus\{i\}})\) as an induced subtanglegram. Starting with the first layout in Figure 18, construct the layout \((X', Y')\) for \((T', S', \phi')\) by replacing \((t_4, s_3)\) with a planar layout of \((\bar{T}, \bar{S}, \bar{\phi})\). Notice that \((X', Y')\) has exactly \(k + 2\) crossings and restricts to a planar layout of \((T'_{[k+\{3\}\setminus\{2\}}, S'_{\phi([k+\{3\}\setminus\{2\})}, \phi'|_{[k+\{3\}\setminus\{2\})}\). Furthermore, notice that the only leaf-matched pairs of \((T'_{[k+\{3\}\setminus\{2\}}, S'_{\phi([k+\{3\}\setminus\{2\})}, \phi'|_{[k+\{3\}\setminus\{2\})}\) are the roots of the two trees and leaf-matched pairs of \((\bar{T}, \bar{S}, \bar{\phi})\), so Corollary 32 implies that a solution to the Tanglegram Single Edge Insertion Problem for \((T', S', \phi')\) and \(i = 2\) can be obtained by starting with \((X', Y')\) and using subtree switches at the parents of \(t_2\) and \(s_5\). However, these subtree switches increase the number of crossings, so \((X', Y')\) itself must be a solution to the Single Edge Insertion Problem. Hence, \(\text{crtei}(T', S', \phi') = \text{crtei}((T', S', \phi'), 2) = k + 2\), and therefore \(\text{crtei}(T', S', \phi') - \text{cr}(T', S', \phi') = k\).

5 Multiple edge insertion

In this section, we consider the Tanglegram Multiple Edge Insertion Problem, which we restate below for convenience of the reader. Similar to the corresponding problem for graphs, this problem is NP-hard, which we now show.

**Problem (Tanglegram Multiple Edge Insertion).** Given a tanglegram \((T, S, \phi)\) and a planar subtanglegram \((T_I, S_{\phi(I)}, \phi|_I)\) induced by \(I \subseteq [n]\), find a layout of \((T, S, \phi)\) that restricts to a planar layout of \((T_I, S_{\phi(I)}, \phi|_I)\) and has the minimal number of crossings possible.

**Proof of Theorem 4.** By Theorem 11, the crossing number of any tanglegram \((T, S, \phi)\) of size \(n\) is strictly less than \(\frac{1}{2} \binom{n}{2}\). Then in an optimal layout of a tanglegram \((T, S, \phi)\), there must exist some edges \((t_i, s_{\phi(i)})\) and \((t_j, s_{\phi(j)})\) that do not cross. If we solve the Multiple Edge Insertion Problem for all index sets \(I\) of size two, one of them will be \(I = \{i, j\}\). After all \(\binom{n}{2} = O(n^2)\) iterations, one of these layouts will be a solution to the Tanglegram Layout Problem, which is NP-hard by Theorem 9.

5.1 Iterated single edge insertion

We start by giving an algorithm that inserts a single edge at a time using the approach from the **Insertion** algorithm. While this will not solve the Tanglegram Multiple Edge Insertion Problem, it has two advantages. First, the algorithm will run in polynomial time. Second, Theorem 10 implies that if \((T_I, S_{\phi(I)}, \phi|_I)\) is a size \(n - 1\) subtanglement of the size \(n\) tanglegram \((T, S, \phi)\), then \(\text{cr}(T, S, \phi)\) and \(\text{cr}(T_I, S_{\phi(I)}, \phi|_I)\) differ by at most \(n - 3\). This can be used to obtain a general bound on a tanglegram’s crossing number as a function of the number of edges that we remove to obtain a planar subtanglement. The algorithm we give in this subsection will achieve this bound.

**Lemma 41.** Let \((T, S, \phi)\) be a size \(n\) tanglegram, and let \(I \subseteq [n]\). If \((T_I, S_{\phi(I)}, \phi|_I)\) is planar, then \(\text{cr}(T, S, \phi) \leq \frac{(n-|I|)(n+|I|-5)}{2}\).
Proof. We assume without loss of generality that \( I = \{1, 2, \ldots, k\} \), as otherwise we can relabel the tanglegram. Using the assumption \( \text{crt}(T_i, S_{\phi(I)}, \phi|_I) = 0 \) with Theorem 10,

\[
\text{crt}(T, S, \phi) = \sum_{j=k+1}^n \left[ \text{crt}(T_{[j]}, S_{\phi([j])}, \phi|_{[j]}) - \text{crt}(T_{[j-1]}, S_{\phi([j-1])}, \phi|_{[j-1]}) \right] \\
\leq \sum_{j=k+1}^n (j - 3) = \frac{(n - k)(n + k - 5)}{2}.
\]

We now give an algorithm that starts with a planar layout of \((T_i, S_{\phi(I)}, \phi|_I)\) and inserts one edge at a time, with operations chosen in a similar manner as in Insertion. As before, we restrict ourselves to paired flips and subtree switches, though we perform a paired flip at each \((u, v) \in L(I)\) at most once to ensure we achieve the bound in Lemma 41.

---

**Algorithm 6: IteratedInsertion**

**Input:** tanglegram \((T, S, \phi)\), index set \( I \) such that \((T_i, S_{\phi(I)}, \phi|_I)\) is planar

**Output:** layout of \((T, S, \phi)\) that restricts to a planar layout of \((T_i, S_{\phi(I)}, \phi|_I)\)

1. \((X, Y), L(I) := \text{ModifiedUntangle}(T_i, S_{\phi(I)}, \phi|_I)\) \(J := I\), \(M := L(I)\)
2. while \([n] \setminus J \neq \emptyset\), do
   3. \(i := \text{smallest element of} \ [n] \setminus J\) // Choose an edge to insert.
   4. extend \((X, Y)\) to a layout of \((T_{J \cup \{i\}}, S_{\phi(J \cup \{i\})}, \phi|_{J \cup \{i\}})\)
   5. \(M_T := \{(u, v) \in M : u >_T t_i, v \not\in_S s_{\phi(i)}\}\) // Perform paired flips at \(M_T\)
   6. \(M_S := \{(u, v) \in M : u \not\in_T t_i, v >_S s_{\phi(i)}\}\) // these pairs of vertices.
   7. update \(M := M \setminus (M_T \cup M_S)\) // Prevent future flips in \(M_T \cup M_S\).
   8. \(u_0, v_0 := \text{parents of} \ t_i, s_{\phi(i)} \text{ in} \ (T_{J \cup \{i\}}, S_{\phi(J \cup \{i\})}, \phi|_{J \cup \{i\}})\)
   9. proceed from Step 2 of Insertion with \(L(I)_T, L(I)_S\) respectively replaced by \(M_T, M_S\), and obtain \((X, Y)\) after operations at \(M_T, M_S, u_0, v_0\)
10. update \(J := J \cup \{i\}\)
11. return \((X, Y)\)

---

**Theorem 42.** Let \((T, S, \phi)\) be a size \(n\) tanglegram and \(I \subseteq [n]\) such that \((T_i, S_{\phi(I)}, \phi|_I)\) is planar. IteratedInsertion finds a layout of \((T, S, \phi)\) with at most \(\frac{(n - |I|)(n + |I| - 5)}{2}\) crossings in \(O(n^3)\) time and \(O(n^2)\) space.

**Proof.** We start by proving the run-time and space claims. Line 1 runs in \(O(n^2)\) time and space. By construction, the while loop will have at most \(n - |I|\) iterations since we add an element to \(J\) at the end of each iteration. Line 4 can be done in \(O(n^2)\) time and space by starting with the trees \(T_{J \cup \{i\}}\) and \(S_{\phi(J \cup \{i\})}\) and performing operations until the leaves of \(T_J\) and \(S_{\phi(J)}\) appear in the order indicated by \((X, Y)\). The remaining steps of the while loop also run in \(O(n^2)\) time since Insertion runs in \(O(n^2)\) time, for a combined total of \(O(n^3)\) time. Since we re-use the same variables \(X, Y, J, M, M_T, M_S\), we also see that the algorithm runs in \(O(n^2)\) space since Insertion runs in \(O(n^2)\) space by Theorem 3.
We now generalize our results from Section 4 and then give an algorithm for solving leaves in $S_{\mathcal{T},\mathcal{S},\phi}$ the Tanglegram Multiple Edge Insertion Problem. For this subsection, fix a tanglegram $T_i, S_{\phi(I)}, \phi|_I$ is planar, the layout $(X,Y)$ originally obtained from ModifiedUntangle($T_i, S_{\phi(I)}, \phi|_I$) in line 1 has 0 crossings. We show that during each iteration of the while loop, the algorithm adds at most $|J| - 3$ crossings. This would imply that the total number of crossings at the end of IteratedInsertion is bounded by the sum from the proof of Lemma 41, implying the claim.

We consider two cases for each iteration of the while loop. First, suppose that in this iteration of the loop, the sets $M_T$ and $M_S$ are empty. Then the algorithm only considers subtree switches at $u_0$ and $v_0$, the parents of $t_i$ and $s_{\phi(i)}$. In particular, we do not affect the relative ordering of any vertices in $(T_j, S_{\phi(J)}, \phi|_J)$, and thus we do not affect any previous crossings. Some combination of subtree switches will produce at most $|J| - 3$ of the $|J| - 1$ possible crossings. Proceeding from Step 2 of Insertion will lead to using Algorithm 4, which will consider subtree switches at $u_0$ and $v_0$ before returning the option with the fewest number of crossings. Thus, the algorithm adds at most $|J| - 3$ new crossings from this iteration, all involving edge $(t_i, s_{\phi(i)})$.

Next, suppose that $M_T \cup M_S$ is nonempty, and let $(u,v) \in M_T \cup M_S$. By Lemma 28, $(u,v)$ is a leaf-matched pair of $(T_i, S_{\phi(I)}, \phi|_I)$. Since $(u,v)$ was not previously considered in the algorithm, it must be that for all previously inserted edges $(t,s)$, both or neither of $u >_T t$ and $v >_S s$ are true. From this, we see that crossings between $(t,s)$ and edges in $(T_i, S_{\phi(I)}, \phi|_I)$ are not affected by paired flips at $(u,v)$. Furthermore, for any other previously inserted edge $(t',s')$, we also know that either both or neither of $u >_T t'$ and $v >_S s'$ are true. In all cases, whether or not $(t,s)$ and $(t',s')$ intersect is not affected by a paired flip at $(u,v)$. Thus, all previously existing crossings are unaffected, and we only create new crossings involving the current edge $(t_i, s_{\phi(i)})$. Using the same argument as in the previous paragraph, proceeding from Step 2 of Insertion will add at most $|J| - 3$ new crossings, all of which involve edge $(t_i, s_{\phi(i)})$.

5.2 MultiInsertion Algorithm

We now generalize our results from Section 4 and then give an algorithm for solving the Tanglegram Multiple Edge Insertion Problem. For this subsection, fix a tanglegram $(T, S, \phi)$ of size $n$, and fix $I \subseteq [n]$ such that $(T_i, S_{\phi(I)}, \phi|_I)$ is a planar subtanglegram. Let $(X,Y)$ be a layout of $(T, S, \phi)$ that restricts to a planar layout of $(T_i, S_{\phi(I)}, \phi|_I)$, and let $L(I)$ be the set of leaf-matched pairs of $(T_i, S_{\phi(I)}, \phi|_I)$. Recall that for $u \in T$ and $v \in S$, $\text{Lf}(u)$ and $\text{Lf}(v)$ respectively denote the descendants of $u$ and $v$ that are leaves in $T$ and $S$. For $J \subseteq [n]$, we will abuse notation by using $\text{Lf}(u) \cap J$ or $\text{Lf}(v) \cap \phi(J)$ to denote the leaves in $\text{Lf}(u)$ or $\text{Lf}(v)$ that are indexed by $J$ or $\phi(J)$, respectively. Letting $I^c$ denote the complement of $I$, define the following sets:

\[ L(I)_0 = \{(u,v) \in L(I) : \text{Lf}(u) \cap I^c \text{ is matched with } \text{Lf}(v) \cap \phi(I)^c \text{ by } \phi\} \]
\[ L(I)_T = \{(u,v) \in L(I) : |\text{Lf}(u) \cap I^c| = 1 \text{ and } |\text{Lf}(v) \cap \phi(I)^c| = 0\} \]
\[ L(I)_S = \{(u,v) \in L(I) : |\text{Lf}(u) \cap I^c| = 0 \text{ and } |\text{Lf}(v) \cap \phi(I)^c| = 1\}, \]
\[ L(I)_1 = L(I)_0 \cup L(I)_T \cup L(I)_S \]
\[ M(I) = \{\text{internal vertices of } (T, S, \phi) \text{ that are not in } (T_i, S_{\phi(I)}, \phi|_I)\} \]
Figure 19: The subtanglegram induced by $I = \{1, 2, 4, 5, 8, 9, 10, 11\} \subseteq [11]$ is shown in black. By (9), we see that an example of these sets is shown in Figure 19.

Let $L = \{(u_1, v_1), (u_2, v_2), (u_3, v_3), (u_4, v_4)\}$ and $M = \{u_5, u_6, u_7, v_5, v_6, v_7\}$. Using (9), we partition $L$ into $L(I)_0 = \{(u_4, v_4)\}$, $L(I)_T = \emptyset$, $L(I)_S = \{(u_1, v_1), (u_2, v_2)\}$, and $L(I)_1 = \{(u_3, v_3)\}$.

Notice that $L(I)_0$, $L(I)_T$, $L(I)_S$, and $L(I)_1$ partition the set $L(I)$. When $|I| = n - 1$, the sets $L(I)_0$, $L(I)_T$ and $L(I)_S$ reduce to the same ones defined in Section 4, and $L(I)_1 = \emptyset$. An example of these sets is shown in Figure 19.

**Lemma 43.** Let $(X', Y')$ be the image of $(X, Y)$ after any sequence of paired flips at elements in $L(I)$ and subtree switches at elements of $M(I)$. Then $(X', Y')$ also restricts to a planar layout of $(T, S_{\phi(I)}, \phi|_I)$.

**Proof.** It suffices to show the claim when $(X', Y')$ is the image of $(X, Y)$ after a single subtree switch or paired flip. If we start with $(X, Y)$ and perform a flip at $(u, v) \in L(I)$, then restricted to the subtanglegram $(T, S_{\phi(I)}, \phi|_I)$, this has the same effect as performing a paired flip on a planar layout of $(T, S_{\phi(I)}, \phi|_I)$. Thus, $(X', Y')$ is still planar when restricted to $(T, S_{\phi(I)}, \phi|_I)$.

Now suppose we start with $(X, Y)$ and perform a subtree switch at $u \in M(I)$ to obtain $(X', Y')$. Without loss of generality, we assume $u \in T$, as $u \in S$ is done similarly. Then we can decompose $(X, Y) = (X_1 U_1 U_2 X_2, Y)$ and $(X', Y') = (X_1 U_2 U_1 X_2, Y)$, where $U_1$ and $U_2$ order the leaves of the subtrees rooted at the children of $u$. Since $u$ is not a vertex of $(T, S_{\phi(I)}, \phi|_I)$, Definitions 6 and 7 imply that either

1. $u$ is not in the minimal subtree of $T$ containing the leaves indexed by $I$, or
2. $u$ is one of the internal vertices with one child that is suppressed when forming $T_I$ from $T$.

In the case of (1), the entire subtree rooted at $u$ does not appear in $T_I$. Then both $U_1$ and $U_2$ are deleted when we restrict $(X, Y)$ and $(X', Y')$ to $(T_I, S_{\phi(I)}, \phi|_I)$, and both layouts restrict to the same layout of the subtanglegram. In the case of (2), the entire subtree rooted at one of the children of $u$ does not appear in $T_I$, so either $U_1$ or $U_2$ is deleted.
when \((X, Y)\) and \((X', Y')\) are restricted to \((T_I, S_{\phi(I)}, \phi|_I)\). Again, \((X', Y')\) and \((X, Y)\) will restrict to the exact same layout of \((T_I, S_{\phi(I)}, \phi|_I)\) as \((X, Y)\). In both cases, since \((X, Y)\) restricts to a planar layout of \((T_I, S_{\phi(I)}, \phi|_I)\), so does \((X', Y')\).

**Lemma 44.** Let \((X', Y')\) be the image of \((X, Y)\) after a paired flip at \((u, v) \in L(I)_0\). Then \((X, Y)\) and \((X', Y')\) have the same crossings.

**Proof.** Since \((u, v) \in L(I)_0\), notice that both or neither of \(u >_T t_i\) and \(v >_S s_{\phi(i)}\) are true for any \(i \notin I\). If \(u >_T t_i\) and \(v >_S s_{\phi(i)}\), a paired flip at \((u, v)\) flips the induced subtanglegram on the subtrees rooted at \(u\) and \(v\), preserving all crossings in \((T, S, \phi)\) that involve \((t_i, s_{\phi(i)})\). Otherwise, if \(u \not> T t_i\) and \(v \not> S s_{\phi(i)}\), then \((t_i, s_{\phi(i)})\) cross all or none of the edges between the subtrees rooted at \(u\) and \(v\), and a paired at \((u, v)\) does not affect these crossings. 

**Lemma 45.** A solution to the Tanglegram Multiple Edge Insertion Problem can be obtained by starting at \((X, Y)\) and performing a sequence of subtree switches at elements in \(M(I)\) and paired flips at elements in \(L(I)_T \cup L(I)_S \cup L(I)_1\).

**Proof.** Let \((X_{\min}, Y_{\min})\) be a solution to the Multiple Edge Insertion Problem. Some composition of flips \(f_m \circ \ldots \circ f_2 \circ f_1\) maps \((X, Y)\) to \((X_{\min}, Y_{\min})\), as flips generate all trees isomorphic to \(T\) and \(S\). All of these flips commute and have order 2, so we can also assume that all \(f_i\) are distinct, i.e., no flips occur at any vertex more than once.

If none of the flips in \(\{f_1, f_2, \ldots, f_m\}\) involve vertices in \(M(I)\), then restricting \((X, Y)\) and \((X_{\min}, Y_{\min})\) to the subtanglegram \((T_I, S_{\phi(I)}, \phi|_I)\), these flips are equivalent to a sequence of flips mapping one planar layout of \((T_I, S_{\phi(I)}, \phi|_I)\) to another. By Theorem 1, these flips must be equivalent to a sequence of paired flips at elements in \(L(I)\). Since all flips commute, we can commute paired flips involving \((u, v) \in L(I)_0\) to be the last ones performed. By Lemma 44, paired flips at \((u, v) \in L(I)_0\) do not affect any crossings, so we can obtain another solution to the Multiple Edge Insertion Problem by excluding them, leaving only the paired flips at elements in \(L(I) \setminus L(I)_0 = L(I)_T \cup L(I)_S \cup L(I)_1\).

Now suppose some flip in \(\{f_1, f_2, \ldots, f_m\}\) involves an element in \(M(I)\). Without loss of generality, assume \(f_1\) is a flip at \(u \in M(I)\), \(u \in T\), and no flips occur at any \(u' > T u\). Now define \(g_1\) and \(h_1\) to be flips at the children of \(u\), or treat these as the identity if the corresponding child is a leaf. Notice that the composition

\[
\text{composition at } u \text{ is leaf} = f_m \circ \ldots \circ f_2 \circ h_1 \circ g_1 \circ h_1 \circ g_1 \circ f_1
\]

also maps \((X, Y)\) to \((X_{\min}, Y_{\min})\). The composition \(h_1 \circ g_1 \circ f_1\) is a subtree switch at \(u\), and the remaining operations \(g_1, h_1, f_2, \ldots, f_m\) do not involve flips at any \(u' \in M(I)\) with \(u' \geq T u\). If \(g_1\) or \(h_1\) are equivalent to any of the \(f_i\), then we commute flips and replace \(f_i \circ g_1\) or \(f_i \circ h_1\) with the identity. We will find that \(f_m \circ \ldots \circ f_2 \circ h_1 \circ g_1\) is equivalent to \(f_k \circ \ldots \circ f_2 \circ f_1\), and in this second composition, there are no repeated flips at any vertex, and no flips occur at \(u' \in M(I)\) with \(u' \geq T u\). If some \(f_i\) involves a flip at a vertex in \(M(I)\), then we iterate this argument. Since we choose a maximal vertex \(u \in M(I)\) at each iteration, this process will eventually terminate. We will then have a sequence of
subtree switches mapping \((X, Y)\) to some layout \((X', Y')\), and the remaining flips mapping \((X', Y')\) to \((X_{\min}, Y_{\min})\) do not involve vertices in \(M(I)\). The conclusion then follows from the preceding paragraph.

We now focus on \(M(I)\) and define subsets \(M(I)_0\), \(M(I)_T\), and \(M(I)_S\) that allow us to generalize our results from Insertion. For any leaves \(t_i\) and \(s_{\phi(i)}\) with \(i \notin I\), we let \(P(t_i)\) and \(P(s_{\phi(i)})\) denote their respective parents. We define \(M(I)_0\) to contain all \(P(t_i)\) and \(P(s_{\phi(i)})\) for \(i \notin I\), such that

- \(Lf(P(t_i)) \cap I^c = \{t_i\}\),
- \(Lf(P(s_{\phi(i)})) \cap I^c = \{s_{\phi(i)}\}\), and
- there exists \((u, v) \in L(I)\) with either \(P(t_i) \triangleright_T u\) and \(v \triangleright_S P(s_{\phi(i)})\), or \(P(s_{\phi(i)}) \triangleright_S v\) and \(u \triangleright_T P(t_i)\).

Now define \(M(I)_T\) and \(M(I)_S\), which generalize additional situations from our Insertion algorithm. For any \(t_i \in T\) with \(i \notin I\), define \(A(t_i)\) to be the minimal distance ancestor \(u\) of \(t_i\) such that \(Lf(u) \cap I \neq \emptyset\). Now define \(M(I)_S\) to contain all \(P(s_{\phi(i)}) \in M(I) \setminus M(I)_0\) for \(i \notin I\) such that \(Lf(P(s_{\phi(i)})) \cap I^c = \{s_{\phi(i)}\}\), and either

- \(Lf(A(t_i)) \cap I \) and \(Lf(P(s_{\phi(i)})) \cap I\) do not contain matched leaves, or
- there exists \((u, v) \in L(I)\) such that \(A(t_i) \triangleright_T u\) and \(v \triangleright_S P(s_{\phi(i)})\). In this case, note that these properties imply \((u, v) \notin L(I)_0\).

Similarly, define \(A(s_{\phi(i)})\) to be the minimal distance ancestor \(v\) of \(s_{\phi(i)}\) such that \(Lf(v) \cap \phi(I) \neq \emptyset\). Define \(M(I)_T\) to contain all \(P(t_i) \in M(I) \setminus M(I)_0\) for \(i \notin I\) such that \(Lf(P(t_i)) \cap I^c = \{t_i\}\), and either

- \(Lf(P(t_i)) \cap I\) and \(Lf(A(s_{\phi(i)})) \cap I\) do not contain matched leaves, or
- there exists \((u, v) \in L(I)\) such that \(u \triangleright_T P(t_i)\) and \(A(s_{\phi(i)}) \triangleright_S v\). Again, \((u, v) \notin L(I)_0\).

Finally, we define \(M(I)_1 = M(I) \setminus (M(I)_0 \cup M(I)_T \cup M(I)_S)\) to be the remaining inserted vertices so that \(M(I)_0\), \(M(I)_T\), \(M(I)_S\), and \(M(I)_1\) partition \(M(I)\). An example of these sets is shown in Figure 20.

We now define a natural partial order \(\preceq\) on \(P = L(I)_T \cup L(I)_S \cup L(I)_1 \cup M(I)\) using the partial orders on \(T\) and \(S\). This will be useful for both determining what crossings to focus on at each element, as well as the order in which we should consider the elements when performing subtree switches and paired flips.

- For \((u_1, v_1), (u_2, v_2) \in P \cap L(I)\), \((u_1, v_1) \preceq (u_2, v_2)\) if \(u_1 \preceq_T u_2\) and \(v_1 \preceq_S v_2\).
Figure 20: For the subtanglegram induced by $I = \{1, 2, 3, 4, 6, 7, 10, 11\} \subseteq [11]$ shown in black, $M(I)$ is partitioned into $M(I)_0 = \{u_1, v_1\}$, $M(I)_T = \emptyset$, $M(I)_S = \{v_2, v_3\}$, and $M(I)_1 = \{u_2, u_3\}$.

- For $(u_1, v_1) \in P \cap L(I), u \in P \cap T$, $(u_1, v_1) \preceq u$ if $u_1 \leq_T u$, and $u \preceq (u_1, v_1)$ if $u \leq_T u_1$.
- For $(u_1, v_1) \in P \cap L(I), v \in P \cap S$, $(u_1, v_1) \preceq v$ if $v_1 \leq_S v$, and $v \preceq (u_1, v_1)$ if $v \leq_S v_1$.
- For $u_1, u_2 \in P \cap T$, $u_1 \preceq u_2$ if $u_1 \leq_T u_2$.
- For $v_1, v_2 \in P \cap S$, $v_1 \preceq v_2$ if $v_1 \leq_S v_2$.
- Finally, take the transitive closure of the above relations.

Recall that for partially ordered sets, we say $z \in P$ covers $x \in P$ if $x \prec z$ and there do not exist any $y \in P$ with $x \prec y \prec z$, i.e., $z$ is larger than $x$ and there are no elements between them. We use the notation $x \succ y$ or $y \prec x$ to denote the covering relation.

For the elements in $L(I)_T, L(I)_S, M(I)_0, M(I)_T,$ and $M(I)_S$, we now define generalizations of the $E(u_j)$ and $E(v_j)$ sets from Insertion. These sets, which we denote $\text{Crs}(u, v)$, $\text{Crs}(u)$, or $\text{Crs}(v)$, will determine what crossings we should consider at the corresponding elements. Using the notation $e_j$ for the between-tree edge $(t_j, s_{\phi(j)})$, we organize these definitions in Table 2.

Finally, recall that a linear extension of a partially ordered set $P$ is a bijective map $F: P \rightarrow \{1, 2, \ldots, |P|\}$ such that $F(w) \geq F(w')$ in the usual order on $\mathbb{N}$ whenever $w \succeq w'$, or equivalently, a total ordering of $P$ that respects the partial ordering. We can use a linear extension on $P$ with respect to $\preceq$ defined above to determine the order that we perform operations, as by construction of $\preceq$, the order $F^{-1}(|P|), \ldots, F^{-1}(2), F^{-1}(1)$ guarantees that we consider all ancestors of a vertex in $T$ or $S$ before we consider the vertex itself. We now give an example of the sets in Table 2, followed by our MultiInsertion algorithm and an example of its application.
We index elements in the electronic journal of combinatorics Example 46.

\[
P(t_i) \in M(I)_0 \quad \text{there exists } (u, v) \in L(I) \text{ with } \quad \{ (e_i, e_j) : t_j \in Lf(P(t_i)) \setminus Lf(u) \text{ where } P(t_i) \succ (u, v) \}
\]

\[P(s_{\phi(i)}) \in M(I)_0 \quad \text{there exists } (u, v) \in L(I) \text{ with } \quad \{ (e_i, e_j) : s_{\phi(j)} \in Lf(P(s_{\phi(i)})) \setminus \{ s_{\phi(i)} \} \}
\]

\[P(t_i) \in M(I)_0 \quad \text{there exists } (u, v) \in L(I) \text{ with } \quad \{ (e_i, e_j) : t_j \in Lf(P(t_i)) \setminus \{ t_i \} \}
\]

\[P(s_{\phi(i)}) \in M(I)_0 \quad \text{there exists } (u, v) \in L(I) \text{ with } \quad \{ (e_i, e_j) : s_{\phi(j)} \in Lf(P(s_{\phi(i)})) \setminus Lf(v) \text{ where } P(s_{\phi(i)}) \succ (u, v) \}
\]

\[P(t_i) \in M(I)_T \quad \text{there exists } (u, v) \in L(I) \text{ with } \quad \{ (e_i, e_j) : t_j \in Lf(P(t_i)) \setminus \{ t_i \} \}
\]

\[P(s_{\phi(i)}) \in M(I)_S \quad \text{there exists } (u, v) \in L(I) \text{ with } \quad \{ (e_i, e_j) : s_{\phi(j)} \in Lf(P(s_{\phi(i)})) \setminus \{ s_{\phi(i)} \} \}
\]

\(\text{Table 2: Crossing sets for elements in } L(I)_T, L(I)_S, M(I)_0, M(I)_T, \text{ and } M(I)_S.\)

\textbf{Example 46.} Consider the tanglegram in Figure 21, and let \(I = \{1, 2, 4, 5, 8, 9, 10, 11\}.\) We index elements in \(\mathcal{P}\) by their images under a linear extension, so

\[
\mathcal{P} = \{v_1, (u_2, v_2), v_3, v_4, (u_5, v_5), u_6, (u_7, v_7), u_8, u_9\}.
\]

Observe that \(L(I)_T = \emptyset, \ L(I)_S = \{(u_2, v_2), (u_5, v_5)\}, \ L(I)_1 = \{(u_7, v_7)\}, \ M(I)_0 = \emptyset, \ M(I)_T = \{u_6\}, \ M(I)_S = \{v_1, v_3, v_4\}, \text{ and } \ M(I)_1 = \{u_8, u_9\}. \) Table 2 states \(\text{Crs}(v_1) = \{(e_7, e_{11})\}, \ \text{Crs}(u_2, v_2) = \{(e_7, e_{10})\}, \ \text{Crs}(v_3) = \{(e_3, e_8), (e_3, e_9)\}, \ \text{Crs}(v_4) = \{(e_6, e_2)\}, \ \text{Crs}(u_5, v_5) = \{(e_6, e_1)\}, \text{ and } \ \text{Crs}(u_6) = \{(e_3, e_1), (e_3, e_2)\}.
\]

\[\text{Figure 21: Two layouts for the same tanglegram.}\]
Algorithm 7: MultiInsertion

\textbf{Input:} tanglegram \((T, S, \phi)\), index set \(I\) such that \((T_I, S_{\phi(I)}, \phi|_I)\) is planar

\textbf{Output:} layout of \((T, S, \phi)\) that restricts to a planar layout of \((T_I, S_{\phi(I)}, \phi|_I)\)

1. \((X, Y), L := \text{ModifiedUntangle}(T, S, \phi|_I)\)
2. construct \(L(I)\) from \(L\) using Definition 26
3. construct \(M(I), M(I)_0, M(I)_T, M(I)_S, M(I)_1, L(I)_0, L(I)_T, L(I)_S,\) and \(L(I)_1\)
4. calculate \(\text{Crs}(w)\) for all \(w \in L(I)_T \cup L(I)_S \cup M(I)_0 \cup M(I)_T \cup M(I)_S\) using Table 2
5. \(F :=\) linear extension of \(\mathcal{P} = L(I)_T \cup L(I)_S \cup L(I)_1 \cup M(I)_0 \cup M(I)_T \cup M(I)_S\) with respect to partial order \(\preceq\)
6. \((X_{min}, Y_{min}) := (X, Y)\)
7. for \(C \subseteq L(I)_1 \cup M(I)_1\), do
8. \quad \((X', Y') := (X, Y)\)
9. \quad for \(j = |\mathcal{P}|, \ldots, 2, 1\), do
10. \quad \quad if \(|F^{-1}(j) \in C \cap L(I)_1|\) or \(|F^{-1}(j) \in L(I)_T \cup L(I)_S|\) and more than half of the crossings in \(\text{Crs}(F^{-1}(j))\) occur in \((X', Y')|\), then
11. \quad \quad \quad update \((X', Y') := \text{PairedFlip}((X', Y'), F^{-1}(j))\)
12. \quad \quad else if \(|F^{-1}(j) \in C \cap M(I)_1 \cap T|\) or \(|F^{-1}(j) \in M(I)_T \cup M(I)_0 \cap T|\) and more than half of the crossings in \(\text{Crs}(F^{-1}(j))\) occur in \((X', Y')|\), then
13. \quad \quad \quad update \(X' := \text{SubtreeSwitch}(X', F^{-1}(j))\)
14. \quad \quad else if \(|F^{-1}(j) \in C \cap M(I)_1 \cap S|\) or \(|F^{-1}(j) \in M(I)_S \cup (M(I)_0 \cap S)|\) and more than half of the crossings in \(\text{Crs}(F^{-1}(j))\) occur in \((X', Y')|\), then
15. \quad \quad \quad update \(Y' := \text{SubtreeSwitch}(Y', F^{-1}(j))\)
16. \quad if \((X', Y')\) has fewer crossings than \((X_{min}, Y_{min})\), then
17. \quad \quad update \((X_{min}, Y_{min}) := (X', Y')\)
18. return \((X_{min}, Y_{min})\)

Example 47. Consider the tanglegram \((T, S, \phi)\) and linear extension of \(\mathcal{P}\) from Example 46. Suppose that the output of \(\text{ModifiedUntangle}(T, S, \phi|_I)\) is the layout on the left in Figure 21. \textit{MultiInsertion} will consider \(2^{|L(I)\cup M(I)|} = 8\) iterations in the for loop in line 7. In each iteration, it produces another layout, and ultimately the algorithm returns the layout encountered that has the fewest number of crossings. In the iteration \(C = \emptyset\), the algorithm will perform a subtree switch at \(v_4\), a paired flip at \((u_2, v_2)\), and a subtree switch at \(v_1\), resulting in a layout with seven crossings shown on the right in Figure 21. Note that this is not a solution to the Multiple Edge Insertion Problem since the iteration with \(C = \{(u_7, v_7)\}\) will produce a layout with fewer crossings.

Lemma 48. In some iteration of the for loop in line 7 of \textit{MultiInsertion}, the resulting layout \((X', Y')\) is a solution to the Tanglegram Multiple Edge Insertion Problem.

\textit{Proof.} By Lemma 45, starting with \((X, Y)\) in line 1 and performing some sequence of paired flips and subtree switches at elements in \(\mathcal{P}\) produces a solution. In some iteration of the for loop in line 7, the choices at elements in \(L(I)_1 \cup M(I)_1\) all extend to a solution.
We will assume that we are in this iteration, and we will show that the choice at each element in \( L(I)_T \cup L(I)_S \cup \text{Min}(I)_0 \cup (I)_T \cup M(I)_S \) extends to a solution, provided that all prior choices extend to a solution.

Consider \((u, v) \in L(I)_T\). By definition of \( L(I)_T\), \(u\) is an ancestor of a single inserted leaf \(t_i\) and \(v\) is not an ancestor of any inserted leaves. Thus, the choice at \((u, v)\) can only affect the crossings \(C = \{(e_i, e_j) : t_j \in \text{Lf}(u)\}\). Applying the same arguments as in Lemma 34 and 38, if we perform a paired flip at \((u, v)\), then we can perform either a paired flip or a subtree flip at the element covered by \((u, v)\) to obtain the same crossings in \(C \setminus \text{Crs}(u, v)\). The case of a paired flip is illustrated in Figure 22. From this, we see that the choice at \((u, v)\) that extends to a solution must be one that minimizes crossings in \(\text{Crs}(u, v)\), which is what \textbf{MultiInsertion} does. The case \((u, v) \in L(I)_S\) follows by a similar argument.

![Figure 22: The effect of a paired flip at \((u, v) \in L(I)_T\) and \((u', v')\) with \((u, v) \succ (u', v')\)](image)

Now consider \(P(t_i), P(s_{\phi(i)}) \in M(I)_0\). Our choices at \(P(t_i), P(s_{\phi(i)})\) can only affect crossings between \((t_i, s_{\phi(i)})\) and edges in \((T_i, S_{\phi(I)}, \phi|_I)\) with an endpoint in \(\text{Lf}(P(t_i))\) or \(\text{Lf}(P(s_{\phi(i)}))\). In the case that there is a leaf-matched pair \((u, v) \in L(I)\) with \(P(t_i) \succ_T u\) and \(v \succ_S P(s_{\phi(i)})\), we can use the same arguments from Lemma 34 with \(P(t_i)\) in place of \(u_0\) and \(P(s_{\phi(i)})\) in place of \(v_0\) to conclude that \textbf{MultiInsertion} makes choices that extend to a solution. The case when there is a leaf-matched pair \((u, v) \in L(I)\) such that \(P(s_{\phi(i)}) \succ_S v\) and \(u \succ_T P(t_i)\) is done similarly.

Finally, consider \(P(t_i) \in M(I)_T\). Notice that the choice at \(P(t_i)\) can only affect the crossings \(\text{Crs}(P(t_i)) = \{(e_i, e_j) : t_j \in \text{Lf}(P(t_i)) \setminus \{t_i\}\}\), where all such \(t_j\) must be leaves in the subtrianglegram \((T_i, S_{\phi(I)}, \phi|_I)\), since \(\text{Lf}(P(t_i)) \cap I^c = \{t_i\}\). We claim that our future choices at elements in \(P\) do not affect these crossings.

- Any leaf-matched pairs \((u, v) \in L(I)\) with \((u, v) \succ P(t_i)\) have already been considered based on the linear extension, and there are no leaf-matched pairs \((u, v) \in L(I)\) with \(P(t_i) \succ (u, v)\).
- If we perform subtree switches at \(u \in M(I) \cap T \setminus \{P(t_i)\}\), then the resulting layouts restrict to the same layout of \((T_{L\cup I}, S_{\phi(L\cup I)}, \phi|_{L\cup I})\). Hence, the same crossings occur in \(\text{Crs}(P(t_i))\).
Remark 50. Thus, a choice at \( P(t_i) \) that extends to a solution must be one that minimizes crossings in \( \text{Crs}(P(t_i)) \), which is precisely what the algorithm does. The case \( P(s_{\phi(i)}) \in M(I)_S \) follows by a similar argument.

**Theorem 49.** \textit{MultiInsertion} solves the Tanglegram Multiple Edge Insertion Problem in \( O(2^{L(I)_1 \cup M(I)_1} n^2) \) time and \( O(n^2) \) space.

**Proof.** By Lemma 43, \textit{MultiInsertion} only encounters layouts that restrict to planar layouts of \( (T_I, S_{\phi(I)}, \phi_I) \). By Lemma 48, \textit{MultiInsertion} encounters a solution \( (X_{\text{min}}, Y_{\text{min}}) \) to the Multiple Edge Insertion Problem in some iteration of the for loop in line 7. From lines 16-17, we see that the algorithm stores the layout with the fewest number of crossings considered over all iterations of the for loop, so it will return either \( (X_{\text{min}}, Y_{\text{min}}) \) or another layout with the same number of crossings. Thus, the output of \textit{MultiInsertion} is a solution to the Multiple Edge Insertion Problem.

For the run-time and space claims, first note that lines 1-6 can all be completed in \( O(n^2) \) time. The for loop in line 7 then runs for \( 2^{L(I)_1 \cup M(I)_1} \) iterations. The for loop in line 9 has at most \( 2n \) steps, and each step takes \( O(n) \) time, as all of the if and else if conditions can be checked in \( O(n) \) time, and paired flips and subtree switches take \( O(n) \) time. The remaining steps after line 16 take \( O(n^2) \) time for a total of \( O(2^{L(I)_1 \cup M(I)_1} n^2) \) time. For the space claim, notice that storing all of the sets and layouts takes \( O(n^2) \) space.

**Remark 50.** In the special case that \( |I| = n - 1 \), the set \( L(I)_1 \) is empty and \( |M(I)_1| \leq 2 \). Here, \textit{MultiInsertion} reduces to a less efficient version of \textit{Insertion} that still runs in \( O(n^2) \) time.

6 Future work

In Section 3, we defined the flip graph of a planar tanglegram. While paired flips will generate all vertices in this graph, it is possible that some flips do not produce a new layout, as tanglegrams are considered up to isomorphism on \( T \) and \( S \). One simple example of this is the unique tanglegram of size 2, where a paired flip at the roots of both trees does not produce a new layout. As such, we pose the following problem.

**Problem 1.** For any planar tanglegram \( (T, S, \phi) \), characterize the flip graph \( \Gamma(T, S, \phi) \). In particular, determine the number of vertices, the number of edges, and the degree of any vertex.
Billey, Konvalinka, and Matsen previously gave an algorithm for generating tanglegrams uniformly at random [2]. We propose a corresponding problem for the case of planar tanglegrams. Since there is a bijection between irreducible planar tanglegrams and pairs of triangulations with no common diagonal from [17], solving this problem may lead to solutions to other open problems.

**Problem 2.** Construct an efficient algorithm generating planar tanglegrams uniformly at random.

Finally, we pose a problem about using MultiInsertion to approximate the tanglegram crossing number, where the bound is modeled after one in [4]. For any tanglegram $(T, S, \phi)$, this also requires finding a planar subtanglegram $(T_I, S_{\phi(I)}, \phi|_I)$, and from Corollary 40, we know that we do not necessarily want a subtanglegram of maximum size. Additionally, we insist on an efficient algorithm, so we must modify MultiInsertion at the vertices in $L(I)_1 \cup M(I)_1$.

**Problem 3.** Use MultiInsertion to construct an efficient algorithm that finds a tanglegram layout with at most $O(\text{crt}(T, S, \phi) \cdot \text{poly}(\log n))$ crossings, where $n$ is the size of $(T, S, \phi)$ and $\text{poly}(x)$ is some polynomial in $x$.

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Algorithm 8: Insertion Case \( v_0 > S v_{T_{\text{max}}} \)

**Input:** tanglegram \((T, S, \phi)\), index \(i\) such that \((T_I, S_{\phi(I)}, \phi|_I)\) is planar for \(I = [n] \setminus \{i\}\)

**Output:** layout of \((T, S, \phi)\) that restricts to a planar layout of \((T_I, S_{\phi(I)}, \phi|_I)\)

// Step 1: initialize the algorithm.
1. \((X, Y), L := \text{ModifiedUntangle}(T, S, \phi|_I)\)
2. construct \(L(I)_T\) from \(L\) using Definition 26
3. \(u_0 := \text{parent of } t_i, \ v_0 := \text{parent of } s_{\phi(i)}\)
4. \(L(I)_T := \{(u, v) \in L(I) : u > T t_i, v \not\in S s_{\phi(i)}\}\)

// Step 2: construct edge sets.
5. linearly order \(L(I)_T = \{(u_j, v_j)\}_{j=1}^m\) so that \(u_1 <_T u_2 <_T \ldots <_T u_m\)
6. \(E(v_0) := \text{between-tree edges with an endpoint in } Lf(v_0) \setminus Lf(v_m)\)
7. \(E(u_0) := \text{between-tree edges with an endpoint in } Lf(u_0) \setminus \{t_i\}\)
8. for \(j = 1, 2, \ldots, m,\) do
9. \(E(u_j) := \text{between-tree edges with an endpoint in } Lf(u_j) \setminus Lf(u_{j-1})\)

// Step 3: use paired flips and subtree switches to change crossings.
10. if \((t_i, s_{\phi(i)})\) crosses more than half of the edges in \(E(v_0)\) in the layout \((X, Y),\) then
11. update \(Y := \text{SubtreeSwitch}(Y, v_0)\)
12. for \(j = m, \ldots, 2, 1,\) do
13. if \((t_i, s_{\phi(i)})\) crosses more than half of the edges in \(E(u_j)\) in the layout \((X, Y),\) then
14. update \((X, Y) := \text{PairedFlip}((X, Y), (u_j, v_j))\)
15. if \((t_i, s_{\phi(i)})\) crosses more than half of the edges in \(E(u_0)\) in the layout \((X, Y),\) then
16. update \(X := \text{SubtreeSwitch}(X, u_0)\)
17. return \((X, Y)\)