Chromosome structures: reduction of certain problems with unequal gene content and gene paralogs to integer linear programming

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Abstract

Background: Chromosome structure is a very limited model of the genome including the information about its chromosomes such as their linear or circular organization, the order of genes on them, and the DNA strand encoding a gene. Gene lengths, nucleotide composition, and intergenic regions are ignored. Although highly incomplete, such structure can be used in many cases, e.g., to reconstruct phylogeny and evolutionary events, to identify gene synteny, regulatory elements and promoters (considering highly conserved elements), etc. Three problems are considered; all assume unequal gene content and the presence of gene paralogs. The distance problem is to determine the minimum number of operations required to transform one chromosome structure into another and the corresponding transformation itself including the identification of paralogs in two structures. We use the DCJ model which is one of the most studied combinatorial rearrangement models. Double-, sesqui-, and single-operations as well as deletion and insertion of a chromosome region are considered in the model; the single ones comprise cut and join. In the reconstruction problem, a phylogenetic tree with chromosome structures in the leaves is given. It is necessary to assign the structures to inner nodes of the tree to minimize the sum of distances between terminal structures of each edge and to identify the mutual paralogs in a fairly large set of structures. A linear algorithm is known for the distance problem without paralogs, while the presence of paralogs makes it NP-hard. If paralogs are allowed but the insertion and deletion operations are missing (and special constraints are imposed), the reduction of the distance problem to integer linear programming is known. Apparently, the reconstruction problem is NP-hard even in the absence of paralogs. The problem of contigs is to find the optimal arrangements for each given set of contigs, which also includes the mutual identification of paralogs.

Results: We proved that these problems can be reduced to integer linear programming formulations, which allows an algorithm to redefine the problems to implement a very special case of the integer linear programming tool. The results were tested on synthetic and biological samples.

Conclusions: Three well-known problems were reduced to a very special case of integer linear programming, which is a new method of their solutions. Integer linear programming is clearly among the main computational methods and, as generally accepted, is fast on average; in particular, computation systems specifically targeted at it are available. The challenges are to reduce the size of the corresponding integer linear programming formulations and to incorporate a more detailed biological concept in our model of the reconstruction.

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Background

Introduction

Chromosome structure is a large-scale view on the genome; it can be considered as a very limited model of the genome taking into account only the mutual arrangement of genes (ignoring their length and nucleotide composition) on both DNA strands as well as the chromosome type (linear or circular), including gene names (identifiers) [1, 2]. Instead of the term “chromosome structure”, the terms “genome” or even “genotype” are used sometimes [3–5]. We prefer the term “chromosome structure”, [6], to outline the distinction between the genome as a biological notion and the considered model. Below we consider the DCJ model widely used in studies of this kind, e.g., [3, 7]. The model includes standard DCJ operations: double-, sesqui-, and single-operations; the last ones comprise cut and join operations. They were proposed in [7] and later studied in dozens of publications, for example, in [8–10] where a detailed review of the results and further references are given. The biological mechanisms of the operations are described, e.g., in ([10], chapter 5). Two structures have equal gene content if they have no paralogs and contain the same set of names. In the case of unequal gene content, structures can have paralogs, and supplementary operations are considered: deletion and insertion of a chromosome connected region [4, 11]; these operations were actively studied, e.g., in [4, 8, 12] where further references are given. The popularity of this model stems from the simplicity and elegance of the underlying mathematical constructs as well as from the ability to model many types of genomic rearrangements. Although highly incomplete, such model can be used in many cases, e.g., to reconstruct phylogeny and evolutionary events, to identify gene synteny, regulatory elements and promoters (considering highly conserved elements), etc.; e.g., ref. to [10, 13]. Remind that paralogs are duplicated genes in the same genome, and the problem of their identification in different genomes is hard and important. The role of the structures with paralogs were described in detail, e.g., in [5, 14, 15].

In the context of chromosome structures, three well-known problems are considered. They are formally described in sections 1.3 and 4.1; here their concepts are introduced together with the corresponding references. The distance problem determines the distance between two chromosome structures, i.e., the minimum number of operations required to transform one chromosome structure into another, and the corresponding minimum transformation. Paralogs should be identified so that the resulting structures considered as structures without paralogs have the minimum distance. It is easy to prove that the allowance for paralogs makes the distance problem NP-hard.

A linear-time algorithm was proposed for the distance problem in the absence of paralogs for both equal [3] and unequal [4, 16] gene content. This problem is reduced to integer linear programming formulation (ILP) in [5, 14, 15], where its definition was considerably simplified; specifically, balanced gene content in [5], structure reduction to equal gene content by elimination of unwanted regions with paralogs in [14], and ignoring paralogous genes in [15]. More precisely, in [15] such structures can have paralogs, but after the identification of paralogs, the genes present in one out of both structures (which is a real-life situation) are eliminated and not considered later, which does not seem to be justified in any way. Balanced gene content means the same set of names but with possible paralogs.

In the reconstruction problem a phylogenetic tree with chromosome structures in the leaves is given. It is required to assign structures to inner nodes of the tree to minimize the total distance between terminal structures of each edge. Thus it can be called a small phylogeny problem; the term “reconstruction” is widely used, e.g., in [13]. As previously, unequal gene content and paralogs in all nodes are allowed. Paralogs should be identified such that the total distance for all resulting structures without paralogs is minimum. It is easy to prove that this problem is NP-hard even in the absence of paralogs. Only heuristic algorithms are known for the problem, among which the algorithms in [6, 13, 17] should be noted. These as well as other publications mentioned above present numerous relevant references; it allows us to avoid detailed historical review here due to publication size limitations.

Thus, exact algorithms presented here solve two above problems by reducing them to ILPs. Let us recall that an algorithm is called exact if it is mathematically proved that it always results in a global minimum (hereafter, minimum point) of the minimized function involved in the problem statement. The significance of this reduction stems from the appearance of fast methods solving ILP tasks in recent 20 years (e.g., [18, 19]). Note, many combinatorial problems (possibly including ILP) have low complexity on average but can be pretty hard in some special cases. For example, hard inputs are rare for the simplex algorithm for linear programming [20, 21].
Another example, a simple algorithm for solving almost all instances of the famous set partition problem, that is NP-hard, is also proposed in [22].

Finally, the computation of the distance between two chromosome structures with paralogs was reduced to ILP for circular chromosomes in [17]. Here, we define such reduction for arbitrary structures with unequal gene content and paralogs as well as for the reconstruction of such structures along the phylogenetic tree. The computation of a sequence of operations (for the minimum transformation) was also considered previously, e.g., in [16, 17, 23, 24]. An algorithm with a linear complexity solving the distance problem without paralogs and with preset weights of operations (which minimizes the total weight of sequence of operations) that is not based on reduction to ILP was obtained in [23, 24] as well as in our study prepared for publication.

The statement of the contig problem is given separately in section 4.1 after the first two problems are clarified.

Definitions of notions

The definitions relevant to the distance problem can be found in publications in different modifications or the problem can have no strict definition at all. Accordingly, we will briefly review the relevant definitions.

Chromosome structure is defined as a directed graph composed of non-intersecting paths (of nonzero length) and cycles (including loops). Loops correspond to circular chromosomes comprising a single gene. Each graph edge represents a gene with no account of its length, and the edge is given the name of this gene. The edge direction shows the gene transcription direction. Two extremities of neighboring genes are combined (or merged) into a graph node.

In this context, an edge with an assigned name is referred to as a gene, while a path or cycle is referred to as a chromosome. Repeated names can occur in a structure, they correspond to paralogous genes distinguished by the index j: paralogous genes with name k get full names of the form kj. Full names are unique; a structure with full names only has no paralogs.

Let adjacency denote a pair of merged gene extremities, a node of degree 2 in a structure. Here, the extremity is a 5'- or 3'-end of a gene considering that the term “end” is linked to ends of graph edges.

Hereafter, a and b denote two chromosome structures; a is meant to be transformed into b. A gene present in both a and b is referred to as a common gene; a gene present in only one structure a or b, a special gene; accordingly, there are a- and b-special genes. In the case of unequal gene content, two supplementary operations can be applied to a structure in addition to the standard ones mentioned above: deletion and insertion. The former is the removal of a connected region of a-special genes together with its extremities. Such region can be removed from a circular or linear chromosome (cycle or path); the whole chromosome can be removed as well. If the removed region has neighboring genes on both sides, their extremities are merged. The latter operation, inversely, inserts a connected region of b-special genes; in this case, a chromosome is cut in a node and pairs of the new free ends are merged. More precisely, the region can be inserted into or to a boundary of a chromosome or form a new circular or linear chromosome (cycle or path).

Let us recall the notion of common graph a + b for two structures a and b given in [17] for unequal gene content without paralogs. For equal gene content, such graph was first defined in [25] as the breakpoint graph. For unequal gene content without paralogs, a similar graph was first defined in [12] under the same name. Following [12, 25], a + b will be referred as the breakpoint graph here. Thus, it is an undirected graph without loops whose nodes are conventional, i.e., the extremities of common genes with their names (e.g., 3 or 3), and special, i.e., any maximal by inclusion connected regions of a-special or b-special genes. The latter are referred to as blocks. A block belongs to one of the structures a or b, and the special node corresponding to it is called an a- or a-node, and a set (more precisely, a sequence) of gene names corresponding the block is assigned to it; the latter serves as the special node name. The breakpoint graph edges are as follows. A conventional edge connects two conventional nodes if the extremities corresponding to them are merged in a or b; a special edge connects a conventional node to a special one if the extremity corresponding to a conventional node is merged in a or b with the boundary of the block corresponding to the special node. Double conventional edges are also possible here. A loop in a + b corresponds to a cycle that is a block; stated differently, a special node of this block is connected to itself. A special edge incident to a special node of degree 1 is referred to as a hanging edge.

In any case, the breakpoint graph is undirected and includes non-intersecting connected components: paths including isolated nodes and cycles including loops. Non-hanging special edges occur in it in pairs as edges incident to the same special node; it is convenient to consider such pairs as a double edge; subject to this provision, the alternation of a- and b-edges is preserved. Accordingly, the component size is the quantity of conventional edges in it plus half the quantity of special non-hanging edges. The size of isolated conventional nodes and loops equals 0, while that for isolated special nodes equals −1.

A breakpoint graph is considered final (or of the final form) if all its components are conventional nodes, or cycles without special edges of size (or length) 2, one edge from a and the other from b. If the a, b, c marks are neglected, the final graph a + b has the form c + c for a certain structure c.
Four standard operations are allowed on a breakpoint graph, they correspond to the standard operations on a structure. Let us describe them in brief (for details see [16, 17, 23]). Double-cut-and-paste is the removal of two edges with the same label (e.g., a) and joining four resulting free ends in a new way by two edges with the same label. If this gives rise to an edge with two special nodes (both of which pertaining to either a or b), it is replaced with one special node to which the concatenated sequence of the sequences of two initial special nodes is assigned (Fig. 1a). Hereafter, for the breakpoint graph, an edge removal indicates the removal of only its internal part. Sesqui-cut-and-paste is the removal of an edge and joining in a new way with an edge with the same label of one of its free ends with a conventional free node non-incident to an edge with this label or with a special node of degree not exceeding 1 with the same label (which can be followed by a similar replacement of two special nodes). Join is inserting an edge (say with the label a) between free nodes, where each node is either conventional non-incident to an edge labeled a or special of degree not exceeding 1 with the same label (which can also be followed by the subsequent replacement of the special nodes if any). Cut is the removal of any edge.

In addition, only one supplementary operation on breakpoint graphs is allowed (it corresponds to the deletion operation on a structure): the removal of a special node (i.e., a block). Specifically, if this node s has the degree 2, it is removed and the edges incident to it are combined into one edge labeled as the neighbors of node s (Fig. 1b); if the node has degree 1, it is removed together with the edge incident to it (the conventional node is preserved); and if the node has degree 0 or has a loop, the isolated node and the loop are removed.

In [16, 23], we have reduced the problem of structure a transformation into structure b using the above six operations with allowed unequal gene content (without paralogs) to the problem of their breakpoint graph a + b transformation into the final form using these five operations. For equal gene contents, such transformation was proposed in [25]; for unequal gene contents without paralogs, this idea was implemented in [12].

**Statements of two problems**

Hereafter, the structures can always have unequal gene content and include paralogs. The identification of paralogs (e.g., paralogs of a gene with the name k) means that they are given unique new names k, 1, k, 2, .... This form of paralog identification will be referred to as numbering of paralogs, and new names of the form kj will be referred to as full names (of paralogs of gene k). The numbering makes it possible to establish a partial bijection between two sets of paralogs of gene k that belong to structures a and b, respectively. It is only partial since paralogs can disappear and emerge in the course of transformation (a to b) or evolution. If a gene has no paralogs, we can take that it has no index j or, better, assign it the same fixed index, e.g., 1.

It is important that the definitions of the common and special genes depend on the numbering of all paralogs of all genes, i.e., on the index j. Different paralog numberings in structures a and b can substantially change the breakpoint graph and its transformation to the final form.

At first, we define two problems to solve; the former is the *distance* problem. We are given two structures a and b with different gene content and paralogs. It is required to number paralogs of all genes in the structures to minimize the distance between the resulting structures without paralogs as well as to calculate this distance and to find the minimum sequence of operations.

The latter is the *reconstruction* problem. We are given a root and, generally speaking, non-binary tree T. Structures a1,...,an with different gene content and paralogs are defined in the tree leaves (their quantity is n). It is required to number all paralogs in the leaves and to identify mutually coherent numbered structures (in the inner nodes) with the minimum *total distance* calculated as the sum of distances for all edges of the tree, as well as to calculate the total distance. Only the names k present in the leaves are allowed in the inner nodes, and the upper limit s(k) of the index j is fixed for each k in these a priori unknown structures. Clearly, the appearance of new names in the inner nodes will not decrease the total distance. The distance on each edge is calculated as in the former problem. Arrangement is the assignment of a numbered structure to each node of the tree so that the leaves are assigned the initial predefined structures. Given the arrangement, the node and its structure are not distinguished. The minimum point of the specified function of the total distance in the latter problem is called the minimum arrangement, which is wanted; if there are several minimum points, we consider any one of them. Let F(A) be the total distance at any arrangement A.
Section 2 presents an exact algorithm to solve the distance problem through its reduction to ILP. Section 3 presents an exact algorithm to solve the reconstruction problem by the same reduction if there is a minimum point (a minimum arrangement) for objective function $F'$, such that at the point, for any tree edge and for any circular chromosome at one of the edge ends, there is a gene from this chromosome present at the other end of the edge. This condition is applicable only to the problem of reconstruction and is marked by (*). Without this condition, our algorithm gives only an approximation to the final form if the weights of all standard operations are equal or obeyed some other constraints. This generalization of the reconstruction problem is considered in [23, 24] on the basis of a direct algorithm and also can be reduced to ILP in a similar way as here. The latter more general consideration is omitted here for brevity. We have demonstrated that the problem of finding such total weight and the corresponding sequence of operations in this setup of the problem is reduced to the problem of breakpoint graph transformation to the final form if the weights of all standard operations are equal or obeyed some other constraints [16, 23].

The problem of contigs is to find the optimal concatenations of each given set of contigs providing their unequal gene content and identification of paralogs (see Section 4.1).

**Method and results**

**Solution of the distance problem**

**Linear minimized function and its linear constraints**

Below a reduction algorithm for the distance problem to integer linear programming (ILP) is described. We formulate the objective function $F$, variables and constraints of the ILP task, and also prove the key equality (1) in the Theorem 1.

Let $a$ and $b$ are given chromosome structures with unequal gene content and paralogs. Let us do arbitrarily numberings for gene paralogs as well as for genes without paralogs; the resulting numbered structures will be denoted as $a'$ and $b'$. The numberings are called initial. We will deal only with numbered structures below. Let adjacency denote a pair of merged gene extremities that is a node of degree 2 in $a'$ or $b'$.

Let us introduce Boolean variables $z_{kij}$ to indicate whether genes $ki$ in $a'$ and $kj$ in $b'$ correspond to each other in terms of a partial bijection of paralogs in $a'$ and $b'$; thus $z_{kij} = 1$ if $i$ corresponds to $j$, otherwise $z_{kij} = 0$. Specifically, $\sum_i z_{kij} \leq 1$ for any fixed indexes $k$ and $j$, and analogously for the sum over index $j$. Based on biological considerations, lower bounds can be set on this sum, e.g., $1 \leq \sum_i z_{kij}$ for certain values of $k$.

A gene is called common if it becomes common after paralogs in $b'$ are renumbered according to the $z_{kij}$ values. Specifically, if $z_{kij} = 1$, the gene $kj$ in $b'$ is renamed to $ki$ and becomes synonymous to $ki$ in $a'$, after which the genes out of the $z$-bijection are arbitrarily numbered to keep the structures numbered. Similarly, a gene is called special if it becomes special after renumbering. The structures resulting from such renumbering in $b'$ will be referred to as $a'(z)$ and $b'(z)$. A circular chromosome composed of only special genes will be called special. Circular chromosome will be referred to as 1-circular if it composed of a single gene; otherwise it is $m$-circular. For each circular chromosome $d$ in $a'$, let us define $o(d, a) = \left( \sum_{k, i \in d, j \in b} z_{kij} \right) / n_d$ where $n_d$ is the quantity of genes in $d$. For a linear chromosome $d$, we set $o(d) = 1$; 0 ≤ o(d) ≤ 1. It holds that $d$ is special if and only if $o(d, a) = 0$. The value of $o(d, a)$ indicates the proportion of genes in $d$ that are in $z$-bijection with genes in $b'$. The proportion $o(d, b)$ for a chromosome $d$ in $b'$ is defined similarly. References to $a$ or $b$ are usually omitted.

Let us equalize the gene contents in $a'(z)$ and $b'(z)$ just by adding to $a'(z)$ special $b'(z)$-genes except the genes from special $b'(z)$-chromosomes; a similar addition is made to $b'(z)$. All added genes are combined into circular chromosomes, some from $a'(z)$ and some from $b'(z)$. The resulting chromosomes as well as their genes and gene adjacencies will be referred to as new. New adjacencies are defined by a new variable $t$, which is formally described below. Thus obtained structures referred to as $a''(z, t)$ and $b''(z, t)$ released from special chromosomes (if any) are denoted as $a''(z, t)$ and $b''(z, t)$.

Let us introduce the breakpoint graph

$$G'(z, t) = a''(z, t) + b''(z, t)$$

It is proved as in [12] that the distance between $a''(z, t)$ and $b''(z, t)$ equals $\Phi(z, t)$ for any $z$ and $t$. It follows that, for a fixed $z$, the minimum by $t$ distance between $a''(z, t)$ and $b''(z, t)$ equals min $\Phi(z, t)$; for any $z$, $t_0 = t_0(z)$ defines the value of $t$ corresponding to this minimum. Here

$$\Phi(z, t) = (C_0 + n + s_a + s_b) - C_1 - 0.5 C_2,$$

where $C_0$ is the total number of special chromosomes in $a'(z)$ and $b'(z)$, $C_1$ is the number of cycles in $G'$, $C_2$ is the number of even paths in $G'$, $n$ is the number of common genes in $a'(z)$ and $b'(z)$ counted once, and $s_a$, $s_b$...
$s_k$ are the quantities of new genes in $a'$ ($z,t$) and $b'$ ($z,t$). Even (odd) path is a path of even (odd) length. Notice that natural constraints are imposed on $z$ and $t$ in the definition of $\Phi$. Following [12], it is easy to verify that the distance between $a'$ ($z,t_0$) and $b'$ ($z,t_0$) equals the distance between $a$ ($z$) and $b'$ ($z$) for any $z$. There is no $z$ variable in [12] since paralogs are not considered there; the $t$ variable is not used either. Thus, solving the distance problem requires finding $\min_{z,t} \Phi(z,t)$. By definition, a new adjacency corresponds to the new edge in $G'(z)$; the remaining edges in $G'$ are called old.

Now let us define the variable $t$ which describes new adjacencies. For each pair $s = (gg')$ of different gene extremities in $a'$, we define a Boolean variable $t_{us}$ to indicate whether $g$ and $g'$ form a new adjacency in $b'$ ($z,t$).

Specifically, $t_{us} \leq 1 = \sum_{j} z_{kj}^i$, $t_{us} \leq n_g \cdot o(d_g)$, and $\sum_g t_{gg} \leq 1$, and $\sum_g t_{gg} \geq \big(\sum_g d_g^i\big) - \sum_{j} z_{kj}^i$, where $k,i$ is a gene with the extremity $g$, $d_g$ is the chromosome containing $k,i$, $n_g$ is quantity of genes in $d_g$. Similar variable $t_{us}$ and constraints are defined for extremities in $b'$. Often we will omit the indexes $a$ and $b$ near $t$.

Items 1–3 below describe the summands of the function $\Phi$ by means of equivalent ILP formulation (of minimization). To this end, let us sequentially describe the summands $C_1$, $C_2$ and $C_0 + n * s_a + s_b$ in $\Phi$. Thus, the objective function will be equal to

$$F = \left(\sum_d n_d + \sum_d \left(1 - n_d\right) a_d \sum z_{kj}^i\right) - \sum_k p_k \cdot \left(\sum_i z_{ki}^i - \sum_k t_i\right)$$

where $d$ runs over all chromosomes in $a'$ or $b'$ and $n_d$ is the quantity of genes in chromosome $d$. The summand $\sum_d n_d$ is a constant and has no effect on the minimum value. The variables $a_d, p_k, r_{pm}, f_p$ and their linear constraints will be defined in items 1–3 below. The critical point is the equality

$$\min_{z,t} \Phi(z,t) = \min_{z,t} F(o,z,p,r,l).$$ (1)

1) Here we use the counting cycles idea from [5]. Let us describe the quantity $C_1$ of cycles in the breakpoint graph $G'$. Let us do numbering of all adjacencies ($gg'$) in $a'$ and $b'$ starting from one; and $m_i$ is the number of an adjacency $s$. Let us for each $s$ introduce an integer (non-Boolean) variable $u_s$ with the constraint $0 \leq u_s \leq m_i$. We require that $u_s = 0$ for all adjacencies $s$ in $a'$ from special chromosomes $d$ in $a'$ ($z$); with regard to other constraints, it is expressed as the inequality $u_s = \sum_{k, i=1} m_i \sum z_{kj}^i$ for any circular chromosome $d$. And symmetrically for adjacencies in $b'$.

Two extremities of two genes are defined to be of the same type if both of them are either 5'-ends or 3'-ends and belong to paralogs in different structures. We require that $u_s = 0$ for any adjacency $s$ in $a'$ such that one of its extremities belongs to a common gene and is a boundary of a path in $G'$. Specifically, let $g$ be an extremity of gene $k. i \in a'$ adjacent to any extremity in $s$. For each gene $k,j$ in $b'$ with an extremity of the same type as $g$ that is a boundary of a path in $b'$, the constraint $u_{s} \leq m_{i}(1 - z_{k,j})$ is imposed. The constraints are symmetrical for $b'$.

Further, we require that $u_s = 0$ for any adjacency $s$ in $a'$ such that one of its extremities belongs to a special $a$-gene and is not a boundary of a path through the end of a terminal new edge of a path in $G'$. Specifically, for each extremity $g_1$ in $a'$ that is a boundary of a path in $a'$, we impose that $u_{s} \leq m_{i}(1 - t_{g_{1}})$ where $s$ includes $g$. The constraints are symmetrical for $b'$.

We require that $u_s$ is constant at all edges in a cycle or path in $G'$. Specifically, for each pair of adjacencies $s_1 = (g_1 g_1')$ and $s_2 = (g_2 g_2')$ in $a'$ and $b'$, respectively, with $g$ and $g'$ being of the same type, we impose

$$u_{s_{1}} \leq u_{s_{2}} + m_{i}(1 - z_{k_{j}}), u_{s_{2}} \leq u_{s_{1}} + m_{i}(1 - z_{k_{j}'}).$$

where $k,j$ and $k,j'$ are genes with the extremities $g$ and $g'$. These two constraints ensure that $u_{s_{1}} = u_{s_{2}}$ for two neighboring edges $s_1$ and $s_2$ in $G'$ that are both old edges. For each pair of different adjacencies $s_1 = (g_1 g_2)$ and $s_2 = (g_2 g_3)$ of extremities both in $a'$ or $b'$, we impose that $u_{s_{1}} \leq u_{s_{2}} + m_{i_{1}}(1 - t_{g_{2} g_{3}}), u_{s_{2}} \leq u_{s_{1}} + m_{i_{2}}(1 - t_{g_{2} g_{3}})$. These constraints ensure that $u_{s_{1}} = u_{s_{2}}$ for two edges in $G'$ that are both old edges and spaced by exactly one new edge.

For each adjacency $s$, we define the Boolean variable $p_s$ to indicate whether $u_s$ is equal to its upper bound $m_i$ at the minimum point of the function $F$. Specifically, $p_s \cdot m_i \leq u_s$. Indeed, if $u_s < m_i$, then $p_s = 0$. Otherwise, $p_s$ can take any of two values, but since variables $p_s$ are summands of $F$ with negative coefficients, we have $p_s = 1$.

Since $u_s$ has a constant value on all edges in a cycle and all upper bounds are unequal, there is exactly one edge at the minimum point whose $u_s$ equals its upper bound. Indeed, exactly one of $p_s$ equals 1 in a cycle at the minimum point. In a path, the constraints imply that $u_s = 0$ so that neither of them can reach the maximum; hence, $p_s = 0$ in a path. Considering that any cycle contains at least one old edge, the quantity of variables $u_s$ that reaches its maximum is equal to the quantity of cycles, thus $C_1 = \sum_s p_s$ at the minimum point of $F$.

2) Let us describe the quantity $C_2$ of even paths in the graph $G'$. Let us introduce three-valued (0, 1 or -1) integer variables $r_{ag_2}$ and $r_{bg_2}$ for any gene extremity $g_1$ and $g_2$ in $a'$ and $b'$ such that, at the minimum
point of $F$, the sum of the variables (if $g_1$ and $g_2$ are in $z$-bijection and have the same type, $r_{bg_2}$ is omitted) by the nodes of a path or a cycle in $G'$ equals 1 if it is an even path; otherwise it equals 0. At the minimum point of $F$, it follows from the constraint that the values of $r$ at adjacent nodes in $G'$ are not equal to 1 and 0 or 0 and 1. Specifically, for each adjacency $(g_1, g_2)$ in $a'$ or $b'$, we impose that $r_{ag_1} + r_{ag_2} \leq 0$ or $r_{bg_1} + r_{bg_2} \leq 0$, respectively. For each pair of different extremities $g_1$ and $g_2$ from a $'$ which do not form an adjacency, we impose that $r_{ag_1} + r_{ag_2} \leq 2(1 - t_{ag_1} t_{ag_2})$. Similar constraints are imposed for $b'$. For each pair $(g, g')$ of extremities of the same type from $a'$ and $b'$, respectively, we impose that $-2 \leq r_{ag} - r_{g} \leq 2 \leq r_{ag} - r_{g}$, where $k$ and $k'$ are genes with extremities $g$ and $g'$. These constraints ensure that $r_g + r_{g'} \leq 0$ if $(g, g')$ is an edge in $G'$; also if $g$ and $g'$ are in $z$-bijection, then $r_{ag} = r_{ag'}$. Considering that the variables $r_{g}$ are summands of $F$ with some negative coefficients, they equal 1 at the minimum point at isolated nodes in $G'$. The lengths of cycles in $G'$ are even, and the values of $r_{g}$ in their nodes either alternate between 1 and −1 or constantly equal 0. Therefore, the above sum along a cycle equals 0. The $r_g$ values alternate on non-zero even paths being equal to 1 at the path boundaries; accordingly, the sum along an even path equals 1. On an odd path, such alternation can be interrupted by zero values, but again the sum along its nodes equals 0. Hence, it follows that the sum indicates each even path. For a special chromosome $d$, $\sum_{g \in d} r_g = 0$ at the point of minimum of $F$ since this sum is clearly not greater than 0.

Let us define the sum described in the beginning of item 2. For each extremity $g$ of a gene in $a'$, we define an integer variable $l_g$ which equals $r_{ag}$ if $g$ is an extremity of a common gene, or equals 0 otherwise. This is provided by the constraints

$$-\sum_{j} z_{kij} \leq l_g \leq \sum_{j} z_{kij}, \quad l_g \leq r_{ag} + 2\left(1 - \sum_{j} z_{kij}\right), \quad r_{ag} \leq l_g + 2\left(1 - \sum_{j} z_{kij}\right),$$

where $ki$ is a gene with extremity $g$. Thus, the node $g$ in $G'$, an extremity of a common gene, corresponds to three variables $r_{ag}$, $r_{bg}$, and $l_g$, which take equal values. This allows us to cancel the summands $r_{ag}$ and $-l_g$ when summing up all $r_{ag}$, $r_{bg}$, and $-l_g$. The node $g$, an extremity of a special gene in $a'(z)$, corresponds to two variables $r_{ag}$ and $l_{ag}$, the latter equals 0. The node $g$, an extremity of a special gene in $b'(z)$, corresponds to one variable $r_{bg}$. Therefore, $C_2 = \sum_g r_g - \sum_g l_g$ in a minimum point of $F$.

3) Let us describe the summand $C_0 + n + s_a + s_b$. For each chromosome $d$ in $a'$ or $b'$, we define a Boolean variable $o_d$ to indicate whether this chromosome is special $m$-circular at the minimum point of $F$. Specifically, if $d$ is $m$-circular then $o_d = 1 - o(d)$; if $d$ is a 1-circular or a linear chromosome, then $o_d = 0$. Indeed, $o_d = 0$ follows from the above constraint if $d$ is not special or is special and 1-circular. For a special $m$-circular chromosome $o_d = 1$ at the minimum point of $F$ considering that variables $o_d$ are summands of $F$ with negative coefficients.

Let us show that in a minimum point of $F$ we have

$$C_0 + n + s_a + s_b = \sum_d n_d + \sum_d (1 - n_d) o_d - \sum_{kij} z_{kij},$$

where $d$ runs over all chromosomes in the first sum and over all $m$-circular chromosomes in the second sum, and $n_d$ is the quantity of genes in $d$. The number $n$ is equal to the sum of all $z_{kij}$ values, while the numbers $s_a$ and $s_b$ are equal by the definition as follows: $s_a = n_b - n$ and $s_b = n_a - n$, where $n_a$ and $n_b$ are quantities of genes in structures $a'(z)$ and $b'(z)$, respectively, not in special chromosomes. Thus, $n + s_a + s_b = n_a + n_b - n$. Considering that $C_0 = \sum_d o_d + U$, $n = \sum_{kij} z_{kij}$, and $n_a + n_b = \sum_d (1 - o_d) - U$, where $U$ is the quantity of 1-circular chromosomes, the desired equality is readily derived from the previous equality.

**Theorem 1** For given $a$ and $b$, the minimum paralog numbering and minimum value of the distance are defined by the minimum point of $F$.

**Proof** Let the function $F$ reaches the minimum at the point $x_0$. It follows from items 1–3 that the function $\Phi(z, t)$ calculated at the point $y_0 = (z_0, t_0)$, which is a part of $x_0$ coordinates, equals $F(x_0)$. Such $y_0$ is the minimum for $\Phi(z, t)$. Indeed, if there is $(z, t)$, for which the value of $\Phi(z, t)$ is strictly lower, then $(z, t)$ can be extended to the point where $F$ is equal to $\Phi$, which is impossible. The extension is as follow. The point $(z, t)$ together with given $a'$ and $b'$ uniquely define $G'$; $p$, $r$, $l$ are defined by $G'$; and $o_d$ is defined by $a'(z)$ and $b'(z)$. $\square$

Clearly, the number of variables and constraints in it quadratically depends on the data size of the initial problem.

**Note 1** After solving the ILP task, one can use (as in [16]) the obtained $z$ and the structures $a'(z)$ and $b'(z)$ to find the minimum sequence of operations transforming $a'(z)$ into $b'(z)$.
Examples for the distance problem based on synthetic data

Example 1
Let the structure \( a \) include three circular chromosomes with unidirectional genes: (1, 3); (1, 2, 2); (3, 5, 2, 4) and the structure \( b \) also include three circular chromosomes: (4, 2); (1, 2, 1); (4, 5, 5, 3) with unidirectional genes. Let us introduce the initial numbering: for \( a' \), it is (1.1, 3.1); (2.1, 2.2); (3.2, 4.1); for \( b' \), it is (4.1, 2.1); (1.1, 2.2); (4.2, 5.1, 5.2, 3.1). The ILP program of the Pulp python package returned the following solution: the number of operations transforming \( a' \) into \( b' \) equals 4. At the minimum point, the paralogs in \( b' \) are renumbered as follows: 1.1 to 1.2, 1.2 to 1.1, 2.1 to 2.3, 2.2 to 2.1, 3.1 to 3.2, 5.1 to 5.2, 5.2 to 5.1. The program execution time was about 1.5 h.

Example 2
We are given two structures with the following arrangement of genes on the chromosomes: \( a \): (1, 2, –3, 4, 5, 6), (3), [10], [–7, 8, 9] and \( b \): (1), (2), (9), (4, 6, 3, 5), [8], [–7, 10, 3]. Here minus sign indicates the complementary strand, while round and square brackets indicate circular and linear chromosomes, respectively. The initial numberings are as follows: \( a' \), the gene 3 is 3.1 and 3.2 in the large and small cycles, respectively; \( b' \), the gene 3 is 3.1 and 3.2 in the path and cycle, respectively. The ILP program of the Pulp python package returned the following solution: the number of operations transforming \( a' \) into \( b' \) equals 7. At the minimum point the paralogs in \( b' \) are renumbered as follows: 3.1 to 3.2, 3.2 in the large and small cycles, respectively; initial numberings are as follows: 3.1 to 3.2, 3.2 to 3.1. The program execution time was about 3 h.

Solution of the reconstruction problem
Below a reduction of the algorithm for the reconstruction problem to integer linear programming (ILP) is described. We formulate the objective function \( F' \), variables and constraints of the ILP task, while the Theorem 2 proves that ILP can solve the problem. Let \( T \) be a fixed rooted possibly non-binary tree. Recall that leaf edge link to a tree leaf and inner edge means a non-leaf tree edge. \( T' \)-Edge and \( G''-edge emphasize that this edge belongs to \( T \) and \( G'' \), respectively, but not to any structure. The structure in a node \( x \) is usually denoted by \( x \); in this sense we do not distinguish a node and its structure.

Linear minimized function and its linear constraints
The argumentation is largely the same as in the distance problem fully described in Section 2 above, and it will not be reproduced in detail here. The specialties distinguishing the solution of the reconstruction problem from that of the distance one will be emphasized. Hereafter, \( a \) and \( b \) are nodes and, at the same time, structures in the beginning and end of a \( T \)-edge, respectively; an edge is often designated as \( e = (a, b) \). Let us fix the initial paralog numberings in all given structures assigned to the leaves; they are called initial. For a leaf \( b \), the given initially numbered structure is designated as \( b' \), while any numbered structure is designated as \( u', a' \), and likewise. Let \( M \) denote a set of all full names \( k,i \), where \( 1 \leq i \leq s(k) \). Recall that circular chromosomes composed solely of special genes are called special.

We define the variable \( z_{ukij} \) for each leaf \( u \) and each gene \( k,i \) from \( u' \) and \( k,j \) from \( M \); it equals 1 if \( k,i \) is renamed to \( k,j \); otherwise \( z_{ukij} = 0 \). The existence and uniqueness of \( k,j \) is ensured by the following constraints:

\[
\text{for fixed } k \text{ and } i, \sum_j z_{ukij} = 1; \text{ for fixed } k \text{ and } j, \sum_i z_{ukij} \leq 1.
\]

The index \( u \) is usually omitted.

We define the variable \( y_{vk'i} \) for each inner node \( v \) and each gene \( k,i \) from \( M \); it equals 1 if \( k,i \) is missing from \( v \); otherwise it equals 0. For each inner node \( v \) and each pair \((g, g')\) of different extremities from \( M \), we define the variable \( x_{vgg'} \); it equals 1 if \( g \) and \( g' \) are present and merged in the node \( v \); otherwise it equals 0. The variables \( x_{vgg'} \) are not specified in leaves since their values are fixed there. Specifically, \( \sum_{t \neq g} x_{vgg} \leq 1 - y_{vk'i} \) implies that any extremity \( g \) of any gene \( k,i \) in \( v \) is not merged, where \( g' \) runs over all extremities from \( M \); and the constraint implies that \( \sum_{t \neq g} x_{vgg} \leq 1 \) for any fixed \( v \) and \( g \). The index \( v \) is usually omitted.

In order to avoid degenerate scenarios with empty ancestral structures, we lay the condition that if a gene is absent from an inner node \( v \), it is absent from at least a half of its direct descendants. Specifically, the following constraint is imposed on each name \( k,j \) from \( M \):

\[
y_{vk'i} \leq \frac{1}{\nu} \left[ \sum_v \left( 1 - y_{vk'i} \right) + \sum_v \sum_i z_{vk'ij} \right],
\]

where \( \nu \) is the total number of direct descendants \( v' \) of \( v \) in the first and second sums, \( v' \) runs over the inner nodes and leaves, respectively. This constraint can be simplified for a binary tree:

\[
y_{vk'i} \leq w(v') + w(v''),
\]

where \( v' \) and \( v'' \) are direct descendants of the node \( v \), and \( w(v') = y_{vk'j} \) if \( v'' \) is not a leaf or \( w(v'') = 1 - \sum_i z_{vk'ij} \) otherwise.

As in Section 2 we equalize the gene contents in \( a'(z) \) and \( b'(z) \) where the variable \( z \) defines identical bijections for inner edges. But now we add to \( a'(z) \) all special \( b'(z) \) genes; respectively, to \( b'(z) \) all special \( a'(z) \) genes; we denote obtained structures \( a'(z,t) \) and \( b'(z,t) \). Thus, special chromosomes are not removed. Therefore the
breakpoint graph $G''$ of $a'(z,t)$ and $b'(z,t)$ may be different from the graph $G'$ described in Section 2.

For each edge $e = (a,b)$ and each pair $s = (g,g')$ of different gene extremities from $M$ we define the Boolean variable $t_{eb}$ to make sure that if $t_{eb} = 1$, then $g$ and $g'$ form a new adjacency in $b'(z,t)$. Similar variable $t_{eb}$ is introduced for $a$, but if $b$ is a leaf, $t_{eb}$ is defined only for the extremities present in $b'$. The index $e$ can be omitted. Let $k_j$ be a gene with extremity $g$. For a leaf edge $e$, the constraints are as follows:

\[
l_{eb} \leq 1 - y_{ak_j}, \quad t_{eb} \leq 1 - \sum_{g \in M} \sum_{l \in \text{dom}} z_{bklg} \cdot t_{eblg} \leq 1, \quad \sum_{l \in \text{dom}} t_{eblg} \leq 1 - y_{ak_j} - \sum_{g \in M} t_{eblg} \leq 1 \]

\[
+ y_{ak_j} \cdot z_{bklg} \cdot \sum_{g \in M} t_{eblg} + z_{bklg} \leq 1.
\]

Actually, the last two constraints assume the systems of inequalities for each value of $s$, such that $1 \leq s \leq s(k)$. For an inner edge $e$, we impose that:

\[
l_{eb} \leq 1 - y_{ak_j}, \quad t_{eb} = 1 - \sum_{g \in M} \sum_{l \in \text{dom}} z_{bklg} \cdot t_{eblg} \leq 1, \quad \sum_{l \in \text{dom}} t_{eblg} = 1 - y_{ak_j} \cdot \sum_{g \in M} t_{eblg} + y_{ak_j} \cdot \sum_{g \in M} t_{eblg} \leq 1.
\]

Similar constraints are imposed for $t_{eb}$.

For any leaf edge $e \in T$, let $|M|$ be the quantity of elements in $M$, and $c_e$ be $|M|$ plus the quantity of genes in $b$. The objective function $F'$ (for the task of minimization) equals the sum of two expressions. The first one is the sum

\[
\left( c_e - \sum_{i,k \in M} y_{ak} \cdot \sum_{j \in \text{dom}} y_{akj} \right) - \sum_{g \in M} p_e \cdot 0.5 \left( \sum_{g \in M} r_{eg} \right) \]

calculated over all leaf $T$-edges $e$. The second one is the sum

\[
\left( 2 \cdot |M| - \sum_{i,k \in M} y_{ak} \cdot \sum_{j \in \text{dom}} y_{akj} \right) - \sum_{g \in M} p_e \cdot 0.5 \left( \sum_{g \in M} r_{eg} \right) \]

calculated over all inner $T$-edges $e$. The variables except $y$ and corresponding constraints are defined in the following items 1–3. They correspond to items 1–3 in Section 2, which described the algorithm of reduction for the distance problem.

1) Let $e = (a,b)$ be a $T$-edge and $G''(e) = a'(z,t) + b'(z,t)$. Let us define the variables $u_{a}$ and $p_{aa}$ as well as the constraints ensuring that the number $C_{1}$ of cycles in the graph $G''(e)$ at the minimum point of $F'$ equals $\sum p_{aa}$. Specifically, for each pair $s = (g,g')$ of different extremities from $M$ for an inner edge $e = (a,b)$, we define the integer non-negative variables $u_{a}b_{a}$ and $u_{eb}$, and Boolean variables $p_{a}$ and $p_{ba}$. For a leaf edge $e$ and its $b'$, we define the integer non-negative variable $u_{eb}$ and Boolean variable $p_{eb}$, where $s$ is any adjacency in $b'$. Both variables $u_{a}$ and $u_{eb}$ obey $u_{a} \leq m_{i}$. Here, $m_{i}$ is the number of the mentioned pair $s$, where $s$ runs over all pairs where the variables $u_{a}$ and $u_{eb}$ are defined for any fixed $e \in T$. For Boolean variable $p_{aa}$, we impose that $p_{aa}m_{i} \leq u_{a}$.

Let $e = (a,b)$ be a leaf edge. We impose that $u_{a} \leq m_{a} \cdot x_{a}$ ensuring that $u_{a} = 0$ for any pair $s$ of non-merged extremities from $M$. For $a$, let $s$ include $g$ which is an extremity of a gene $k_j$ from $M$. Each variable $u_{a}$ and each extremity of a gene $k_j$ is defined only for the $S_{1}$ gene with extremity $g$. For any leaf edge $e$, we impose that:

\[
\left( 1 - z_{k_j} \right) \cdot \left( 1 - z_{bklg} \right) \leq 0 \quad \forall g \in M \quad \text{for} \quad \forall k_j \in M.
\]

These constraints ensure that $u_{a} = 0$ if the extremity $g$ belongs to a common gene of $a'(z)$ and $b'(z)$, and in $G'(e)$ we have; $g$ is a boundary of a path and, at the same time, an extremity of an $G''$-edge marked $a$. For $b$, let an adjacency $s$ be $b'$ and includes $g \in k_j$. Each variable $u_{eb}$ and each $1 \leq s \leq s(k)$ are imposed that $u_{eb} \leq m_{b}(1 - z_{kji} + \sum x_{agg})$, where $g'$ is the extremity of a gene $k_j \in M$ of the same type as $g$. These constraints ensure that $u_{eb} = 0$ if $g \in s$, $g$ belongs to a special gene in $a'(z)$ and $g$ in $G'(e)$ is not a boundary of a path but the end of a terminal new $G''$-edge of the path. Each extremity $g_{2}$ in $b'$ that is a boundary of a path in $b'$ is imposed the constraint $u_{eb} \leq m_{b}(1 - t_{bgk_{2}})$, ensuring that $u_{eb} = 0$ if the extremity $g_{2}$ in $b'$, $g$ belongs to a special gene in $b'(z)$ and $g$ in $G'(e)$ is not a boundary of a path but the end of a terminal new $G''$-edge of the path.

Recall that now we consider a leaf edge $e = (a,b)$. Each pair $(s_{1},s_{2})$, where $s_{1} = (g_{1},g_{1})$ and $s_{2} = (g_{2},g_{2})$ is an adjacency from $b'$ where $g$ and $g'$ are of the same type and belongs to paralogs $k_j$ and $k_j'$, imposed the constraints:

\[
u_{a} \leq u_{a} + m_{a} \cdot \left( 1 - z_{k_j} \right) \cdot \left( 1 - z_{bklg} \right)
\]

It follows that $u_{a_{1}} \leq u_{a_{2}}$ for neighboring old $G''$-edges $s_{1}$ and $s_{2}$ of $G'(e)$. Each pair $(s_{1},s_{2})$, where $s_{1} = (g_{1},g_{2})$ and $s_{2} = (g_{2},g_{4})$ are pairs of extremities from $M$, is imposed that
all \( g_1, g_2, g_3, g_4 \) are pairwise different. These constraints ensure that \( u_s = u_t \) for two old \( G^* \)-edges (marked \( a \)) of \( G^*(e) \) spaced by exactly one new \( G^* \)-edge. Each pair \((s_1, s_2)\) where \( s_1 = (g_1, g_2) \) and \( s_2 = (g_3, g_4) \) are different adjacencies from \( b' \) are imposed that

\[
\begin{aligned}
&u_{a1} \leq u_{a2} + m_{a1} (2 - t_{b_2g_3} - x_{g_3g_4}), \quad u_{a2} \leq u_{a1} + m_{a2} (2 - t_{b_2g_3} - x_{g_3g_4}).
\end{aligned}
\]

These constraints ensure that \( u_s = u_t \) for two old \( G^* \)-edges (marked \( b \)) of the graph \( G^*(e) \) spaced by exactly one new \( G^* \)-edge.

For an inner edge \( e = (a, b) \), let us impose that \( u_{a1} \leq m_{a3} x_{a3} \), \( u_{b2} \leq m_{b2} v_{b2} \) ensuring that \( u_{a1} = 0 \) or \( u_{b2} = 0 \) for non-merged \( s = (g, g') \). Each variable \( u_{a1} \) is imposed that

\[
\begin{aligned}
&u_{a1} \leq u_{b2} + m_{a1} (1 - t_{a3g_3}), \quad u_{b2} \leq u_{a1} + m_{a2} (1 - t_{a3g_3}).
\end{aligned}
\]

These constraints ensure that \( u_s = u_t \) for two old \( G^* \)-edges (marked \( b \)) of the graph \( G^*(e) \) spaced by exactly one new \( G^* \)-edge.

The statement that \( C_1 = \sum_e p_e \) at the minimum point, for any \( e \in T \), is proved in the same way as in Section 2.

2) Let us define the variables and constraints ensuring that the quantity \( C_2 \) of even paths in \( G^*(e) \) on an edge \( e = (a, b) \) at the minimum point of \( F' \) equals \( \sum_g r_g - \sum_g l_g \). Let us define for each extremity \( g \) from \( M \) an integer variable \( r_{eag} \) that runs over the values \( 0, +1, -1 \). And similarly for \( b \) if \( b' \) is inner; otherwise only for each extremity \( g \) in \( b' \).

The constraint \(-2(1 - y_{ak}) \leq r_{eag} \leq 2(1 - y_{ak})\) implies that \( r_{eag} = 0 \) for any extremity \( g \) of any gene \( k \). \( i \in M \) missing in \( v \). And similarly for \( b \) if \( b' \) is inner.

Each pair of different extremities \( g_1 \) and \( g_2 \) from \( M \) is imposed that \( r_{eag1} + r_{eag2} \leq 2(1 - x_{g1g2}) \), ensuring that \( r_{eag1} + r_{eag2} \leq 0 \) if these extremities are merged. For an inner edge \( e \), similar constraints are imposed with the index \( a \) replaced by \( b \); otherwise, they are imposed only for each adjacency \( (g_1, g_2) \) from \( b' \) with zero in the right part. It is also imposed that \( r_{eag1} + r_{eag2} \leq 2(1 - x_{g1g2}) \), ensuring that \( r_{eag1} + r_{eag2} \leq 0 \) if \( g_1 \) and \( g_2 \) form a new adjacency.

For an inner edge \( e \), similar constraints are imposed with the index \( a \) replaced by \( b \) and vice versa; otherwise this constraint is imposed only for pairs \( (g_1, g_2) \) of extremities from \( b' \) that do not form an adjacency. For a leaf edge \( e \), each pair \((g, g')\), where extremities \( g \) (of \( k_j \)) and \( g' \) (of \( k_j' \)) are of the same type, \( g \) is from \( M \), and \( g' \) is from \( b' \), the constraint is imposed that

\[
\begin{aligned}
&-2(1 - y_{bkj} - y_{ak}) \leq r_{eag} - r_{ebg} \leq 2(1 - y_{bkj} + y_{ak}),
\end{aligned}
\]

ensuring that \( r_{ebg} = r_{eag} \) for \( z \)-bijection extremities \( g \) and \( g' \) of the same type if \( g \) is present in \( a \). For an inner edge \( e \) and each extremity \( g \in M \) of \( k_i \), we impose that \( r_{eag} \leq r_{ebg} - 2(y_{ak} + y_{bk}) \), \( r_{ebg} \leq r_{eag} + 2(y_{ak} + y_{bk}) \). These constraints ensure that \( r_{eag} = r_{ebg} \) for a gene \( g \) common for \( a' \) and \( b' \).

For each edge \( e \) and gene \( k_j \) from \( M \), we define the Boolean variable \( f_{ekj} \) to indicate whether the gene \( k_j \) is common for \( a' \) and \( b' \). Specifically, for an inner edge \( e \) we impose that

\[
\begin{aligned}
&f_{ekj} = 1 - y_{ak} - y_{bk}, \quad f_{ekj} = 1 - y_{ak} - f_{ekj} = 1 - y_{bk};
\end{aligned}
\]

while for a leaf edge, the variable \( y_{bkj} \) is replaced with \( \sum_i z_{bkj} \) yielding:

\[
\begin{aligned}
f_{ekj} = \sum_i z_{bkj} - y_{ak} - f_{ekj} = \sum_i z_{bkj}.
\end{aligned}
\]

For each extremity \( g \) of gene \( ki \) from \( M \), we define the integer variable \( l_{eg} \), which equals \( r_{eag} \) if \( g \) is an extremity of a common gene in \( a' \) and \( b' \), or equals 0 otherwise. The corresponding constraints are as follows:

\[
\begin{aligned}
f_{ak} \leq l_{eg} - f_{ak}, \quad l_{eg} \leq r_{eag} - l_{eg} + 2(1 - f_{ek}).
\end{aligned}
\]

Now the statement that \( C_2 = \sum_g r_g - \sum_g l_g \) for any \( e \in T \) is proved in the same manner as in the distance problem.

3) On each edge \( e \in T \), where \( e = (a, b) \), each of the first two parentheses in the definition \( F' \) equals the number of common genes in \( a' \) and \( b' \) counted once plus the total number of special genes
in the same structures; this sum will be referred to as \(X\). Indeed, the values of \(c_e - \sum_{i} y_{ak_i} - 2 \cdot |M| - \sum_{i} y_{bk_i} + \sum_{i} y_{ck_i}\) equal to the total number of all genes in \(a\) and \(b\). These values minus \(\sum_{i} f_{kj}\), the number of common genes counted once, gives \(X\).

Let \(\Psi(e, x, y, z)\) be equal to \(C_0 + n + s_a + s_b\) in \(\Phi\) from Section 2. Here, \(\Psi\) is actually considered on the edge \(e = (a, b)\), and the summands are defined as in Section 2. We obtain \(X - \Psi = C_3 - C_0\), where \(C_3\) is the total number of genes in special chromosomes in \(a'(z)\) and \(b'(z)\), and \(C_0\) is the total number of special chromosomes in \(a'(z)\) and \(b'(z)\). We define that \(E = \sum_{o}(C_3-C_0)(e)\). For any arrangement \(A\) and the initial numberings, \(E(A)\) is defined analogously.

Theorem 2 states that our reduction algorithm upon the condition (*) is exact. To this end, let us introduce the definitions. Assumed that the arguments \((x,y,z,t,-f,u,p,r,l)\) of the function \(F^*\) extend the arguments \((x,y,z)\) of the function \(F\), if the variable \(t\) for each \(e\) defines new adjacencies in \(a'(z,t)\) and \(b'(z,t)\) such that the distance between the structures is minimum, and other variables are defined through \(a'(z),b'(z)\), and \(G''\) such that the above constraints as well as the equalities \(C_1 = \sum p_s\) and \(C_2' = \sum g - \sum f_g\) are satisfied for each edge \(e\).

Clearly, there is an extension for each arrangement \(A = (x,y,z)\); any of them is denoted as \(A_e\). Recall that an arrangement \(A\) defines structures \(a\) and \(b\) at the ends of the edge \(e = (a, b)\).

**Theorem 2**

Upon (*), the minimum values of functions \(F^*(A)\) and \(F(x,y,z,t,-f,u,p,r,l)\) are equal. Otherwise, the difference between the minimum values is not greater than the total quantity of special chromosomes in the minimum point of \(F^*\).

**Lemma**

For any structures \(a'(z)\) and \(b'(z)\) we have \(Q_2 = Q_1 + C_3\) where \(Q_1\) and \(Q_2\) are the maximal values of \(C_1 + 0.5 \cdot C_2\) and \(C_1 + 0.5 \cdot C_2\), respectively.

**Proof of lemma**

Let the maximum of \(Q_1\) and \(Q_2\) be reached at the points \(t_0\) and \(t_1\), respectively. We can add to the structures \(a''(z,t_0)\) and \(b''(z,t_0)\) the removed special chromosomes and new chromosomes that are identical to these special chromosomes. Respectively, \(C_3\) cycles of length 2 are added to the breakpoint graph \(a''(z,t_0) + b''(z,t_0)\). Thus, \(Q_2 \geq Q_1 + C_3\).

To prove the inverse relation let us consider the distance \(d\) between \(a'(z)\) and \(b'(z)\). As we know \(d = C_0 + n + s_a + s_b - Q_1 + 0.5 \cdot C_2\). On the other hand, following [12] it is easy to verify that \(d \leq C_0 + n + s_a + s_b + C_3 - Q_3\) where \(C_0\) is the quantity of new chromosomes that remain unchanged under a transformation of \(a'(z, t_0)\) into \(b'(z, t_0)\). Evidently \(C_0 \leq C_0\). Thus, \(Q_2 \leq Q_1 + C_3.\)

**Proof of theorem 2**

For any arrangement \(A\) and edge \(e = (a, b)\), it is valid that \(F^*(A) = \sum_{o}(\Phi(e, t_0))\), where \(\Phi(a, b, t) = C_0 + n + s_a + s_b - C_1 - 0.5 \cdot C_2\) and \(C_0, n, s_a, s_b, C_1\) are defined as in Section 2; \(t_0\) is also defined there. By the Lemma we have on each \(T\)-edge \(e\) that \(C_1 + 0.5 \cdot C_2 = C_1 + 0.5 \cdot C_2 + C_3\). This and item 3 imply that

\[
F^*(A) = F^*(A) + E(A) - C_3 = F^*(A) - C_0(A).
\]

It follows from items 1–2 that any minimum point of the function \(F^*\) is an extension of its coordinates \(x,y,z\). Let \(A_e\) be a minimum point of the \(F^*\). If the condition (*) is satisfied for it, then \(E(A) = 0\); \(A\) is the minimum arrangement since \(C_0(A) \geq 0\) for any \(A\). If (*) is not satisfied, let \(A_e\) be the point of minimum of \(F^*\); \(A^*\) be a minimum arrangement. Then

\[
F^*(A_e) = F^*(A) - C_0(A) \geq F^*(A^*) - C_0(A), F(A_e) \leq F(A^*) \leq F^*(A^*).
\]

The constants \(2 \cdot |M|\) and \(c_e\) can be omitted in the minimization.

Notice that the condition (*) limits special (broadly speaking, circular) chromosomes in the structures, i.e., limits the relationship between the parental structure and its direct descendants. Our computer experiments (data not shown) have demonstrated that the solution of the second problem with \(F^*\) using a heuristic algorithm (described in [17]) differed little from that with \(F^*\) using ILP. Indeed, the evolutionary scenario for mitochondrial chromosome structures generated by the heuristic algorithm in [17] included no special chromosomes.

Clearly, the number of variables and constraints in it cubically depend on the size of the initial data.

**Examples for the reconstruction problem on synthetic data**

**Example 1**

Let us consider a tree \(((c, d),(e, f))\) with four leaf structures and three genes in each structure distributed among circular chromosomes: structure \(c\), \((1, 2, -1); d\), \((1, 1, -2); e\), \((2, 1, -1); f\), \((1, 1, 2).\) Other designations in all examples are as in Section 2.2.

The initial numberings are as follows: \(c\), \((1, 1, 2, -1.2); d\), \((1, 1, 1.2, -2); e\), \((2, 1, 1.2, -1.2); f\), \((1, 1, 1.2, 2).\)
The ILP program of the Pulp python package returned the solution with the total number of operations being 3, one in each edge. The result swaps 1.1 and 1.2 in the leaves e and f; the chromosome (1.1, 1.2, 2) appears in the root; (1.1, 2, −1.2) is ancestral for the nodes c and d and (1, 1, 2, 1.2) is ancestral for the nodes e and f. The program execution time was about 13 h.

Example 2
Let us consider the same tree with five genes in each leaf structure distributed among linear chromosomes: structure c, [2, 3, −4, 1], [1]; d, [3, −4, 1], [1, 2]; e, [1, −2, 3], [1, 4]; and f, [1, −2, 3, 4], [1].

The initial numberings is as follows: the paralogs of gene 1 in each structure have the name 1.1 in the first chromosome and 1.2 in the second chromosome.

The ILP program of the Pulp python package returned the solution with the total number of operations being 6, one in each edge. The result swaps 1.1 and 1.2 in the leaves c and d; the chromosome [1.1, 2, 3, 4, 1.2] appears in the root; [1.1, 2, 3, −4, 1.2] is ancestral for the nodes c and d and [1.1, −2, 3, 4, 1.2] is ancestral for the nodes e and f. The program execution time was about 20 h.

Examples for the reconstruction problem on biological data
The orthologs of plastid and mitochondrial proteins were obtained using our algorithm and databases available at http://lab6.iitp.ru/ppc/ and http://lab6.iitp.ru/mpc/. The mitochondrial, plastid, and bacterial chromosome structures were extracted from genome annotations in GenBank by our script.

Example 1
Let us consider the example from [17], specifically, the tree given in ([17], Figure 4) and the mitochondrial chromosome structures in its leaves listed in ([17], Table 3); which are also given in Table 1 where they are marked by (l) after the species name. The mitochondrial chromosomes belong to the sporozoan class Acanoidasida. The ILP program of the package of Joint Supercomputer Center of the Russian Academy of Sciences (http://www.jscc.ru/eng/index.shtml) returned the solution specified in other lines of Table 1. The program execution time was about 2 days. The resulting reconstruction of the mitochondrial chromosome structures is slightly different from that obtained in ([17], Table 3) using the heuristic algorithm in [17]. The result is close to those obtained in [17]. Specifically, the gene l52 encoding a fragment of the large subunit ribosomal RNA becomes in the inner nodes the separate linear chromosome which likely reflects frequent relocations of the fragment. Although ribosomal RNA genes are rarely fragmented, it is arguable that the small fragments can be highly mobile in this case. The tree generated using protein alignments in apicomplexan parasites [26] is in good agreement with the chromosome structure tree.

Example 2
Let us exemplify the reconstruction for plastid chromosome structures with paralogs in brown algae. They are also given in Table 2 marked by (l) after the species name. The following chromosome structure tree was built: (Ectocarpus_siliculosus, (Fucus_vesiculosus, Sacccharina_japonica)). The tree that was generated using highly conserved elements identified in the complete plastid genomes of all considered species [27] is in good agreement with the chromosome structure one. The reconstruction result is presented in Table 2. The program execution time was about 5 days.

Example 3
Let us exemplify the reconstruction for chromosome structures with paralogs from Rhizobium spp. The corresponding tree generated here using chromosome structures is given in Table 3 in the lines marked by (l) is shown in Fig. 2. The reconstruction result is presented in other lines of Table 3. The program execution time was about 11 days.

Solution of the problem of optimal arrangement of contigs
Let us apply the developed approach to the contig problem, optimal genome assembly from contigs. The biological significance of the problem is discussed in [28].

Contig problem statement
Sequencing results in a set a of contigs (or scaffolds, or sequences of a higher level, etc.), each of which includes several genes with their own direction of transcription. Here, a contig is considered as a path of genes each with a name not necessarily unique (paralogs) and a direction (Fig. 3a). Therefore, a is a structure comprised of paths. Two contigs can be concatenated in four ways considering that a contig is a double-stranded DNA region with undefined beginning and end. A set of contigs can be concatenated into a long path or cycle; these variants are essentially equivalent, and we will consider the second one as in [28]. It is convenient to consider that each contig ends with an extremity of one of its genes.

The contig problem is as follows. We are given two sets a and b of contigs, and it is required to concatenate contigs from a into one cycle and contigs from b into another cycle, and simultaneously find paralog numberings (see Section 1.3) with the minimum distance between the cycles without paralogs (Fig. 3b). Naturally, these cycles are considered as structures comprised of sole cycle each. Similarly to the solution below, a more
Plasmodium reichenowi – Babesia bovis

Theileria annulata – Babesia bovis

Theileria annulata – Theileria parva

Theileria annulata (l)

Theileria parva (l)

Babesia bovis (l)

Plasmodium fragile – Plasmodium berghei

Plasmodium juxtanucleare – Plasmodium berghei

Plasmodium juxtanucleare – Leucocytozoon sabrazesi

Plasmodium juxtanucleare – Plasmodium gallinaceum

Leucocytozoon sabrazesi (l)

Plasmodium berghei (l)

Plasmodium fragile – Plasmodium relictum

Plasmodium reichenowi – Plasmodium relictum

Plasmodium floridense – Plasmodium relictum

Plasmodium floridense (l)

Plasmodium reichenowi – Plasmodium mexicanum

Plasmodium reichenowi – Plasmodium falciparum

Plasmodium reichenowi (l)

Plasmodium falciparum (l)

Plasmodium mexicanum (l)

Plasmodium fragile – Plasmodium simium

Plasmodium fragile – Leucocytozoon fringillinarum

Plasmodium fragile – Plasmodium vivax

Plasmodium fragile – Plasmodium knowlesi

Plasmodium fragile – Leucocytozoon majoris

Plasmodium fragile (l)

Leucocytozoon majoris (l)

Leucocytozoon knowlesi (l)

Plasmodium vivax (l)

Leucocytozoon fringillinarum (l)

Plasmodium simium (l)

If a structure has two chromosomes, they are given on separate lines. Circular and linear chromosomes are marked by (C) and (L), respectively. The symbol * means the complementary chain.

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**Table 1** Reconstruction obtained by reduction to ILP for mitochondrial chromosome structures in sporozoan class Acanthoedia. The data in the tree leaves are in the lines marked by (l) after the species name. It was obtained from genomes represented in GenBank.

| Structure                                      | Chromosomes | Genes                          |
|------------------------------------------------|-------------|--------------------------------|
| Plasmodium fragile – Babesia bovis             |             | *s5 is6 is2 (L) ss4 ss6 ls7 ss3 ls3 ls9 ss2 l4 *cox3 ls8 ss5 ss1 cox1 cytb ls1 (C) |
| Theileria annulata – Babesia bovis             |             | cox1 *cox3 ls1 *ls3 *cytb *ls5 ls4 (L) |
| Theileria annulata – Theileria parva           |             | cox1 *cox3 ls1 *ls3 *cytb *ls5 ls4 (L) |
| Theileria annulata (l)                         |             | cox1 *cox3 ls1 *ls3 *cytb *ls5 ls4 (L) |
| Theileria parva (l)                            |             | cox1 *cox3 ls1 *ls3 *cytb *ls5 ls4 (L) |
| Babesia bovis (l)                              |             | cox1 *cox3 ls1 *ls2 *ls3 *cytb *ls4 ls5 (L) |
| Plasmodium fragile – Plasmodium berghei (l)   |             | ls1 ss4 ss6 ls7 ls6 ss3 ls3 ls9 ss2 l4 *cox3 ls8 ss5 ss1 cox1 cytb ls1 (C) ls2 (L) |
| Plasmodium juxtanucleare – Plasmodium berghei |             | ls1 ss4 ss6 ls7 ls6 ss3 ls3 ls9 ss2 l4 *cox3 ls8 ss5 ss1 cox1 cytb ls2 (C) |
| Plasmodium juxtanucleare – Leucocytozoon sabrazesi |         | ls1 ss4 ss6 ls7 ls6 ss3 ls3 ls9 ss2 l4 *cox3 ls8 ss5 ss1 cox1 cytb ls2 (C) |
| Plasmodium juxtanucleare – Plasmodium gallinaceum |           | ls1 ss4 ss6 ls7 ls6 ss3 ls3 ls9 ss2 l4 *cox3 ls8 ss5 ss1 cox1 cytb ls2 (C) |
| Plasmodium juxtanucleare (l)                  |             | ls1 ss4 ss6 ls7 ls6 ss3 ls3 ls9 ss2 l4 *cox3 ls8 ss5 ss1 cox1 cytb ls2 (C) |
| Plasmodium gallinaceum (l)                    |             | ls1 ss4 ss6 ls7 ls6 ss3 ls3 ls9 ss2 l4 *cox3 ls8 ss5 ss1 cox1 cytb ls2 (C) |
| Leucocytozoon sabrazesi (l)                   |             | ls1 ss4 ss6 ls7 ls6 ss3 ls3 ls9 ss2 l4 *cox3 ls8 ss5 ss1 cox1 cytb ls2 (C) |
| Plasmodium berghei (l)                        |             | ls1 ss4 ss6 ls7 ls6 ss3 ls3 ls9 ss2 l4 *cox3 ls8 ss5 ss1 cox1 cytb ls2 (C) |
| Plasmodium fragile – Plasmodium relictum (l)  |             | ss4 ss6 ls7 ls3 ls3 ls9 ss2 l4 *cox3 ls8 ss5 ss1 cox1 cytb ls1 (C) ls2 (L) |
| Plasmodium reichenowi – Plasmodium relictum   |             | ss4 ss6 ls7 ls3 ls3 ls9 ss2 l4 *cox3 ls8 ss5 ss1 cox1 cytb ls1 (C) ls2 (L) |
| Plasmodium floridense – Plasmodium relictum   |             | ss4 ss6 ls7 ls3 ls3 ls9 ss2 l4 *cox3 ls8 ss5 ss1 cox1 cytb ls1 (C) ls2 (L) |
| Plasmodium floridense (l)                     |             | ss4 ss6 ls7 ls3 ls3 ls9 ss2 l4 *cox3 ls8 ss5 ss1 cox1 cytb ls1 (C) ls2 (L) |
| Plasmodium reichenowi – Plasmodium mexicanum |             | ss3 ls3 ls9 ss2 l4 *cox3 ls8 ss5 ss1 cox1 cytb ls1 ss6 ls7 (L) |
| Plasmodium reichenowi – Plasmodium falciparum |           | ss3 ls3 ls9 ss2 l4 *cox3 ls8 ss5 ss1 cox1 cytb ls1 ss6 ls7 (L) |
| Plasmodium reichenowi (l)                    |             | ss3 ls3 ls9 ss2 l4 *cox3 ls8 ss5 ss1 cox1 cytb ls1 ss6 ls7 (L) |
| Plasmodium falciparum (l)                    |             | ss3 ls3 ls9 ss2 l4 *cox3 ls8 ss5 ss1 cox1 cytb ls1 ss6 ls7 (L) |
| Plasmodium mexicanum (l)                     |             | ss3 ls3 ls9 ss2 l4 *cox3 ls8 ss5 ss1 cox1 cytb ls1 ss6 ls7 (L) |
| Plasmodium fragile – Plasmodium simium (l)   |             | ss4 ss6 ls7 ss3 ls3 ls9 ss2 l4 *cox3 ls8 ss5 ss1 cox1 cytb ls1 ss6 ls7 (L) |
| Plasmodium fragile – Leucocytozoon fringillinarum |           | ss4 ss6 ls7 ss3 ls3 ls9 ss2 l4 *cox3 ls8 ss5 ss1 cox1 cytb ls1 ss6 ls7 (L) |
| Plasmodium fragile – Plasmodium vivax (l)    |             | ls1 ss6 ls7 ls3 ls3 ls9 ss2 l4 *cox3 ls8 ss5 ss1 cox1 cytb ls1 ss6 ls7 (L) |
| Plasmodium fragile – Plasmodium knowlesi (l)  |             | ls1 ss6 ls7 ls3 ls3 ls9 ss2 l4 *cox3 ls8 ss5 ss1 cox1 cytb ls1 ss6 ls7 (L) |
| Leucocytozoon majoris (l)                    |             | ls1 ss6 ls7 ls3 ls3 ls9 ss2 l4 *cox3 ls8 ss5 ss1 cox1 cytb ls1 ss6 ls7 (L) |
| Leucocytozoon knowlesi (l)                   |             | ls1 ss6 ls7 ls3 ls3 ls9 ss2 l4 *cox3 ls8 ss5 ss1 cox1 cytb ls1 ss6 ls7 (L) |
| Plasmodium vivax (l)                         |             | ls1 ss6 ls7 ls3 ls3 ls9 ss2 l4 *cox3 ls8 ss5 ss1 cox1 cytb ls1 ss6 ls7 (L) |
| Leucocytozoon fringillinarum (l)             |             | ls1 ss6 ls7 ls3 ls3 ls9 ss2 l4 *cox3 ls8 ss5 ss1 cox1 cytb ls1 ss6 ls7 (L) |

---

A general case is considered when contigs from a and similarly, from b are concatenated into structures of another fixed shape.

An almost linear (to be precise, \( n \cdot f(n) \), where \( f(n) \) is the inverse Ackermann’s function) algorithm was proposed in [28]; it exactly solves the contig problem on the condition of equal gene content of two sets of contigs (\( n \) genes in each) and without paralogs. Below is the solution of the problem with this condition released based on its reduction to ILP. The presence of paralogs makes the problem NP-hard. In addition, the solution in [28] relies on the algebraic theory of permutation groups, which absolutely differs from our approach and relies on a different distance. Specifically, in the case of equal gene content, our distance (in the terms specified in Sections 1–2) equals \( n - C_1 - 0.5C_2 \), where \( C_1 \) and
is the quantity of cycles and $C_2$ is the quantity of even paths in the breakpoint graph; while the distance used in [28] can be calculated using the same expression but with $C_2$ being the quantity of all paths. We fix arbitrary initial numberings of paralogs, and the structures $a$ and $b$ with fixed numberings are denoted as $a'$ and $b'$.

In the next section the reduction of the contig problem to ILP is presented, which simultaneously determines the numberings and the above mentioned two cycles with the minimum distance between them. The resulting cycles will be referred to as minimum. Our solution for two sets of contigs can be similarly extended to an arbitrary number of sets. In this problem, it is important to discriminate between outer and inner adjacencies. The former merge the extremities of contigs, while the latter merge the extremities of genes within contigs. The contig problem concerns the selection of the outer adjacencies that transform two given sets of contigs into two cycles with the minimum distance between them while the inner adjacencies remain unaltered. However, calculation of the distance between cycles includes the variation of inner adjacencies. The distance calculation allows all six operations mentioned in Section 1. Thus, both adjacency types are used altogether.

Solution of the contig problem

A reduction algorithm for the contig problem to ILP is described below.

For each pair $s = (g_1, g_2)$ of extremities of different contigs in $a'$, we define the Boolean variable $t_{as}$. It equals 1 if $g_1$ and $g_2$ form the outer adjacency; otherwise $t_{as} = 0$. Similarly for $b'$. The usual constraints ensure that each contig extremity is merged with exactly one contig extremity.

For each ordered pair $d = (c_1, c_2)$ of different contigs from either given set $a'$ or $b'$, we define the Boolean variable $v_d$ to indicate whether the contig $c_2$ is concatenated with $c_1$ and is placed after it; the set of all values $v_d = 1$ consistently determines the clockwise order on a required cycle. First, the usual constraints ensure that each contig is concatenated with exactly one contig on either side. The constraint $v_d ≤ t_{a_1} + t_{a_2} + t_{a_3} + t_{a_4}$ (for pairs $s_1, s_2, s_3, s_4$ of extremities of the contigs $c_1$ and $c_2$) provides the relation between the order and the outer adjacencies. Let us define the integer (non-Boolean) variables $w_{as}$ and $w_{bs}$, where $c$ runs over all contigs in $a'$ or $b'$ and $1 ≤ w_c ≤ N$ is the quantity of contigs in the corresponding set). The variable $w_{as}$ numbers all contigs in strictly increasing order according to their position in the cycle, this order is violated only in the last contig. Similarly for $w_{bs}$. For each ordered pair $d = (c_1, c_2)$ of different contigs from either set $a'$ or $b'$, we define the Boolean variable $r_d$ to indicate the contig where this order is violated. It equals 1 if $v_d = 1$ and $w_{c_2} ≤ w_{c_1}$, or 0 otherwise. The corresponding constraints are as follows: $r_d ≤ v_d$, $N - r_d ≤ N - (w_{c_2} - w_{c_1})$, $N - r_d ≥ w_{c_1} - w_{c_2} + 1$. Finally, $\sum d r_d = 1$ ensures that all contigs of the set are concatenated into a single circular chromosome, where they are numbered by the variable $w$ in strictly increasing order.

The further reduction of the contig problem to ILP corresponds to the layout in [17] (or the general case of such reduction was considered in Section 2 above). Namely, let us introduce the Boolean variable $z_{kij}$ where $z_{kij} = 1$ if the gene $k$ in $a'$ corresponds to the gene $kj$ in $b'$; otherwise $z_{kij} = 0$. The standard constraints ensure that $z_{kij}$ defines a partial bijection of $k$-paralogs. If $z_{kij} = 1$, the gene $kj$ in $b'$ is renamed to $ki$ and becomes synonymous to $ki$ in $a'$, after which the genes in the $z$-bijection are arbitrarily numbered to keep the structures numbered. Structures resulting from such renumbering in $b'$, are denoted as $a'(z)$ and $b'(z)$. Adjacencies of the contigs in the cycle are defined by the variable $t$ as in Section 2. The resulting two cycles will be referred to as $a'(z,t)$ and $b'(z,t)$. Notice that these structures

| Table 2 Reconstruction obtained by reduction to ILP for plastid chromosome structures with paralogs in brown algae |

| Ectocarpus siliculosus (l) | rpl32_1 rpl21_1 rps4 rps16 rps1 rpl9 rpl11 rpl12 rps10 tufa rps7 rps12 rps13 rps9 rps13 rpl36 rps5 rps18 rps6 rps8 rpl5 rps20 rpob rpoc1 rpoc2 rps2 rps14 rps18 rpl33 clpc rclb (C) |
| Fucus vesiculosus (l) | rpl19 rpl27 rps34 rps20 rpob rpoc1 rps2 rpl35 rpl20 clpb rps14 clpc rps33 rps18 rps32_2 rps16 rps4 rps1 rpl9 rpl11 rpl12 rps10 tufa rps7 rps12 rps13 rps9 rps13 rpl36 rps5 rps18 rps6 rps8 rpl5 rps20 rpob rpoc1 clpc rpl35 rpl20 clpb |
| Saccharina japonica (l) | rps2 rps2 rps14 rps18 rps20 rps34 rps20 rpob rpoc1 rps2 rpl35 rpl20 clpb rps14 rps18 rps33 clpc rpl32_1 rps17 rpl31 rpl32_2 |
| Inner non-root node | rps2 rps11 rps2 rps12 rps10 tufa rps7 rps12 rps13 rps9 rps13 rps6 rps5 rps18 rps6 rps8 rpl5 rps20 rpob rpoc1 clpc rpl35 rpl20 clpb |
| Tree root | rpl32_1 rpl21_1 rps4 rps16 rps1 rpl9 rpl11 rpl12 rps10 tufa rps7 rps12 rps31 rps9 rpl13 rps13 rps6 rps5 rps18 rps6 rps8 rps14 rps17 rps9 rpl13 rpl12 rps2 rps14 rps32_2 rps18 rps33 clpc rpl35 rpl20 clpb |
## Table 3

Reconstruction obtained by reduction to ILP for chromosome structures in *Rhizobium* spp.

| Rhizobium_N324_CP013630 () | *rpsA* *rpsO* rplT rpsO rpsN rpsL rpsI1 rpsU1 rpsZ *rplR* rplM rplK rplA rplJ rplB rplC rplD rplE rplF rplG rplH rplI rplJ rplK rplL rplM rpsA rpsB rpsC rpsD rpsE rpsF rpsG rpsH rpsI rpsJ rpsK rpsL rpsM rpsN rpsO rpsP rpsQ rpsR rpsS rpsT rpsU rpsV rpsW rpsX rpsY rpsZ | *rplD* rpoC rpoE1 rpoF rpoH1 rpoI rpoJ rpoL rpoM rpoN rpoU rpsO rplT rplA rplB rplC rplD rplE rplF rplG rplH rplI rplJ rplK rplL rplM rplN rplO rplP rplQ rplR rplS rpsA rpsB rpsC rpsD rpsE rpsF rpsG rpsH rpsI rpsJ rpsK rpsL rpsM rpsN rpsO rpsP rpsQ rpsR rpsS rpsT rpsU rpsV rpsW rpsX rpsY rpsZ | *rplG* | *rpsA* *rpsO* rplT rpsO rpsL rpsI rpsU rpsZ | *rplD* rpoC rpoE1 rpoF rpoH1 rpoI rpoJ rpoL rpoM rpoN rpoU rpsO rplT rplA rplB rplC rplD rplE rplF rplG rplH rplI rplJ rplK rplL rplM rplN rplO rplP rplQ rplR rplS rpsA rpsB rpsC rpsD rpsE rpsF rpsG rpsH rpsI rpsJ rpsK rpsL rpsM rpsN rpsO rpsP rpsQ rpsR rpsS rpsT rpsU rpsV rpsW rpsX rpsY rpsZ | *rplG* |
| Rhizobium_phaseoli_strainN261_CP013580 () | *rpsA* *rpsO* rplT rpsO rpsL rpsI rpsU rpsZ | *rplD* rpoC rpoE1 rpoF rpoH1 rpoI rpoJ rpoL rpoM rpoN rpoU rpsO rplT rplA rplB rplC rplD rplE rplF rplG rplH rplI rplJ rplK rplL rplM rplN rplO rplP rplQ rplR rplS rpsA rpsB rpsC rpsD rpsE rpsF rpsG rpsH rpsI rpsJ rpsK rpsL rpsM rpsN rpsO rpsP rpsQ rpsR rpsS rpsT rpsU rpsV rpsW rpsX rpsY rpsZ | *rplG* | *rpsA* *rpsO* rplT rpsO rpsL rpsI rpsU rpsZ | *rplD* rpoC rpoE1 rpoF rpoH1 rpoI rpoJ rpoL rpoM rpoN rpoU rpsO rplT rplA rplB rplC rplD rplE rplF rplG rplH rplI rplJ rplK rplL rplM rplN rplO rplP rplQ rplR rplS rpsA rpsB rpsC rpsD rpsE rpsF rpsG rpsH rpsI rpsJ rpsK rpsL rpsM rpsN rpsO rpsP rpsQ rpsR rpsS rpsT rpsU rpsV rpsW rpsX rpsY rpsZ | *rplG* |
| Rhizobium_N324_CP013630 – Rhizobium_phaseoli_N261_CP013580 | *rpsA* *rpsO* rplT rpsO rpsL rpsI rpsU rpsZ | *rplD* rpoC rpoE1 rpoF rpoH1 rpoI rpoJ rpoL rpoM rpoN rpoU rpsO rplT rplA rplB rplC rplD rplE rplF rplG rplH rplI rplJ rplK rplL rplM rplN rplO rplP rplQ rplR rplS rpsA rpsB rpsC rpsD rpsE rpsF rpsG rpsH rpsI rpsJ rpsK rpsL rpsM rpsN rpsO rpsP rpsQ rpsR rpsS rpsT rpsU rpsV rpsW rpsX rpsY rpsZ | *rplG* | *rpsA* *rpsO* rplT rpsO rpsL rpsI rpsU rpsZ | *rplD* rpoC rpoE1 rpoF rpoH1 rpoI rpoJ rpoL rpoM rpoN rpoU rpsO rplT rplA rplB rplC rplD rplE rplF rplG rplH rplI rplJ rplK rplL rplM rplN rplO rplP rplQ rplR rplS rpsA rpsB rpsC rpsD rpsE rpsF rpsG rpsH rpsI rpsJ rpsK rpsL rpsM rpsN rpsO rpsP rpsQ rpsR rpsS rpsT rpsU rpsV rpsW rpsX rpsY rpsZ | *rplG* |
| Rhizobium_etli_CP001074 () | *rpsA* *rpsO* rplT rpsO rpsL rpsI rpsU rpsZ | *rplD* rpoC rpoE1 rpoF rpoH1 rpoI rpoJ rpoL rpoM rpoN rpoU rpsO rplT rplA rplB rplC rplD rplE rplF rplG rplH rplI rplJ rplK rplL rplM rplN rplO rplP rplQ rplR rplS rpsA rpsB rpsC rpsD rpsE rpsF rpsG rpsH rpsI rpsJ rpsK rpsL rpsM rpsN rpsO rpsP rpsQ rpsR rpsS rpsT rpsU rpsV rpsW rpsX rpsY rpsZ | *rplG* | *rpsA* *rpsO* rplT rpsO rpsL rpsI rpsU rpsZ | *rplD* rpoC rpoE1 rpoF rpoH1 rpoI rpoJ rpoL rpoM rpoN rpoU rpsO rplT rplA rplB rplC rplD rplE rplF rplG rplH rplI rplJ rplK rplL rplM rplN rplO rplP rplQ rplR rplS rpsA rpsB rpsC rpsD rpsE rpsF rpsG rpsH rpsI rpsJ rpsK rpsL rpsM rpsN rpsO rpsP rpsQ rpsR rpsS rpsT rpsU rpsV rpsW rpsX rpsY rpsZ | *rplG* |

For other designations, see Tables 1 and 2
have unequal gene content. Let us define $G = a'(z,t) + b'(z,t)$; this breakpoint graph is composed of cycles. It is close to $G'$ in Section 2, although equal gene contents were considered there. Let us focus on the differences of the current procedure from that in [17] remembering the presence of outer adjacencies.

1) The quantity $B$ of blocks in $G$ is expressed by the variable $x_s$ for each $s$, where $s$ is an inner adjacency or a pair of contigs extremities in $a'$. It equals 1 if $s$ is a boundary of a block in $a'(z,t)$, and 0 otherwise. Similarly for $x_{bs}$ and $b'(z,t)$. Specifically, each $s$ in $a'$ is imposed the constraint $x_s \geq \sum_{i} z_{ki1j} - \sum_{j} z_{i2j} + (t_s - 1)$, where $k_1$ and $l_2$ are genes in $a'$ with these extremities. Similarly for $s$ in $b'$. For an inner adjacency $s$, the summand $t_s - 1$ is omitted. Let the objective function be

$$H = 0.5 \cdot \sum_s x_s + \sum_s y_s - \sum_s p_s.$$

Thus, $B = 0.5 \cdot \sum_s x_s$ at the minimum point of $H$.

2) The sum $S_1$ of integer parts of half-lengths of the maximal connected regions of conventional edges in $G$ is expressed through the Boolean variables $y_{as}$ and $y_{bs}$ for all $s$ as in subsection (1). It equals 0 if $s$ is a boundary or within a block in $a'(z,t)$ or $b'(z,t)$; while for the adjacencies of common genes, $y_{as}$ and $y_{bs}$ on the edges of $G$ alternate within each such region and equal to zero at the ends of odd regions. Specifically, for each pair $s_1$ in $a'$ and $s_2$ in $b'$, where gene $k.i$ is adjacent to gene $k.i_1$ in $s_1$ and gene $k.j$ is adjacent to gene $k.j_2$ in $s_2$ we impose that

$$y_{as} + y_{bs} \geq z_{ki1j} - \sum_{j} z_{i2j} - \sum_{j} z_{k2j2} + (t_{as} - 1) + (t_{bs} - 1),$$

where the summands $t_{as} - 1$ and $t_{bs} - 1$ are omitted for inner adjacencies $s_1$ and $s_2$, respectively. It implies that $y_s$ cannot equal 0 at both neighboring conventional edges. Consequently, it implies that the minimum quantity of unities on the region is reached for the arrangement where zeros alternate with unities starting with zero. Thus, $S_1 = \sum_s y_s$.
3) The quantity $S_2$ of cycles in $G$ composed of conventional edges is expressed in the variables $u_s$ and $p_s$ for $s$ as in subsection (1) (see also section 2 and [17]). For each $s$, we impose that $u_s \leq m_s \sum_j z_{kj}$ (for $s$ from $a'$), or $u_s \leq m_s \sum_j z_{kj}$ (for $s$ from $b'$), where $ki$ is a gene with an extremity from $s$. For each pair $s$ of contig extremities, we impose that $u_s \leq m_s$. In addition, for each pair $s_1$ and $s_2$ that include extremities of genes $ki$ from $a'$ and $kj$ from $b'$, we impose that

$$u_{s_1} \leq u_{s_2} + m_{s_1}(1 - z_{kj}) + m_{s_1}(1 - t_{s_2}), u_{s_2} \leq u_{s_1} + m_{s_2}(1 - z_{kj}) + m_{s_2}(1 - t_{s_1}),$$

where the summand $m_{s_2}(1 - t_{s_2})$ and $m_{s_1}(1 - t_{s_2})$ are omitted for inner adjacencies $s_1$ and $s_2$, respectively. Then $S_2 = \sum_s p_s$ at the minimum point. The proof is similar to the proof that the quantity $C_1$ of cycles in $G'$ equals $\sum_s p_s$ in Section 2.

Therefore, the minimum value of function $H$ equals $B + S_1 - S_2$, which equals the distance between the desired cycles [16]. Indeed, lemma 5 and theorem 6 in [16] suggest that the distance equals $B + S + D - P$ where $B$ is the quantity of special nodes (that is, blocks) in $G$; $S$ equals $S_1$ plus the quantity $S_2$ of such odd regions at a boundary of any path minus $S_2$; $D$ is the sum of defects of components in the graph $G$; $P$ is the quantity of operations, optimized through the interaction of chains in the graph $G$; [16, item 3.4]. Circular $G$ has no paths, hence, $D, S_3, and P$ equal zero.

Clearly, the number of variables and constraints in it quadratically depend on the size of the data.

### Examples for the contig problem on synthetic data

#### Example 1

We are given two sets, $a$ (upper) and $b$ (lower), each composed of three contigs (Fig. 3a). The initial numberings are as follows (left to right): $a'$: [1, 1.3, 1.2], [1.2, 2.1], and [3.2, 2.2]; $b'$: [1, 1.2, 1.3, 1.2], [1.3, 3.1], and [2.2, 3.2]. Other designations in all examples are as in Section 2.2.

The ILP program of the Pulp python package returned the desired minimum cycles for $a'$ and $b'$ (on the left and on the right in Fig. 3b, respectively). The program execution time was about 6 h.

#### Example 2

We are given two sets, $a$: \([-2, 1.3], [5.2, -3], [-2, -4, 3], [-5, 1], [-1, 4]\) and $b$: \([3, -2, -4], [3, -1, 4, 5], [-1, 1], [2, -3, -5], [3, -1, -5], [-4, 2]\). The ILP program of the Pulp python package returned the following minimum cycles for $a$ and $b$ (outer adjacencies are indicated by the symbol “|”): $a$, (1.2, 3.2 | -2.3, -4.2, 3.1 | -1.3, 4.3 | 5.1, 2.1, -3.3 | -5.2, -4.1, 1.1 | -2.2) and $b$, (1.2 | 3.2, -2.3, -4.2 | 3.1, -1.3, -5.3 | 3.4, -1.4, 4.3, 5.1 | 2.1, -3.3, -5.2 | -4.1, 2.2 | -1.1). The program execution time was about 11 h.

### Conclusions

Three problems are considered; all assume unequal gene content and the presence of gene paralogs. These problems are: (1) to determine the minimum number of operations required to transform one chromosome structure into another and the corresponding transformation itself including the paralog identification; (2) to reconstruct along a tree the chromosome structures given in its leaves; (3) to find the optimal arrangements for each given set of contigs, which also includes the paralog identification.

We proved that these problems can be reduced to integer linear programming, which allows an efficient algorithm to redefine the problems to implement integer linear programming tools. The results were tested on synthetic and biological samples.

### Abbreviations

DNA: Deoxyribonucleic acid; ILP: Integer linear programming; RNA: Ribonucleic acid

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### Availability of data and materials

The mitochondrial genomes from Aconoidasida were obtained from GenBank, https://www.ncbi.nlm.nih.gov/genomes/ GenomesGroup.cgi?opt=mitochondrion&taxid=2759. The plastid genomes from brown algae were obtained from GenBank, https://www.ncbi.nlm.nih.gov/genomes/ GenomesGroup.cgi?opt=plastid&taxid=2759#. The genomes from Rhizobium spp. were obtained from GenBank, ftp://ftp.ncbi.nlm.nih.gov/ genomes/genbank/bacteria/. The developed reduction algorithms are implemented in the software programs freely downloadable at [29]. The following free and commercial external packages were applied: Pulp python package available at https://pypihosted.org/PULP; ILP-JCP package available at http://www.jisc.ac.uk/eng/index.shtml (Joint Supercomputer Center of the Russian Academy of Sciences); IBM CPLEX Optimizer available at https://www.ibm.com/software/commerce/optimization/cplex-optimizer/index.html; IBM Decision Optimization on Cloud available at https://www.ibm.com/us-en/marketplace/decision-optimization-cloud#product-header-top.

### Authors’ contributions

The proofs were found by KYG and VAL. The programming and testing of the algorithms and programs were performed by RAG. The reduction of the initial problems to ILP was done jointly by the authors. VAL and KYG wrote the manuscript. All the authors have read and approved the final manuscript.

### Ethics approval and consent to participate

Not applicable.

### Consent for publication

Not applicable.

### Competing interests

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