Exclusive Leptoproduction of Real Photons on a Longitudinally Polarised Hydrogen Target

The HERMES Collaboration

Abstract: Polarisation asymmetries are measured for the hard exclusive leptoproduction of real photons from a longitudinally polarised hydrogen target. These asymmetries arise from the deeply virtual Compton scattering and Bethe-Heitler processes. From the data are extracted two asymmetries in the azimuthal distribution of produced real photons about the direction of the exchanged virtual photon: \( A_{UL} \) with respect to the target polarisation and \( A_{LL} \) with respect to the product of the beam and target polarisations. Results for both asymmetries are compared to the predictions from a generalised parton distribution model. The \( \sin \phi \) and \( \cos(0\phi) \) amplitudes observed respectively for the \( A_{UL} \) and \( A_{LL} \) asymmetries are compatible with the sizeable predictions from the model. Unexpectedly, a \( \sin(2\phi) \) modulation in the \( A_{UL} \) asymmetry with a magnitude similar to that of the \( \sin \phi \) modulation is observed.

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1. Introduction

Generalised Parton Distributions (GPDs) \cite{1,2,3} encompass the familiar parton distribution functions and form factors within a unified description of the nucleon. The study of the concept of GPDs has shown that they may provide a way to investigate the contribution of quark orbital angular momentum to the spin of the nucleon \cite{2}. In a frame in which the proton moves quickly in the “longitudinal” direction, GPDs contain correlated information on the monodimensional distribution of quark momentum fractions in that direction with their two-dimensional spatial distributions in the transverse plane \cite{4}. Access to GPDs can be achieved through the measurement of cross-sections and asymmetries in the exclusive production of photons and mesons leaving an intact nucleon \cite{5}. There are four chiral-even quark GPDs for the nucleon at leading twist: $H$, $E$, $\tilde{H}$, and $\tilde{E}$. The $H$ and $E$ distributions are quark helicity-averaged distributions, whereas the $\tilde{H}$ and $\tilde{E}$ distributions involve quark helicity differences. The $H$ and $\tilde{H}$ distributions conserve nucleon helicity, while the $E$ and $\tilde{E}$ distributions are associated with a change in nucleon helicity.

Appearing in the descriptions of exclusive leptoproduction of photons or mesons, GPDs are dependent on four kinematic variables: $x$, $\xi$, $t$, and $Q^2$. The variables $x$ and $\xi$ are the average and half the difference of the longitudinal parton momenta as fractions of the “infinite” nucleon momentum in the initial and final states respectively, in a frame in which the initial proton moves quickly. The average fraction $x$ is not directly experimentally accessible and the skewness variable $\xi$ is related to the Bjorken scaling variable $x_B = \frac{-q^2}{2p\cdot q}$ as $\xi \simeq \frac{x_B}{2x_B}$ in the Bjorken limit where the virtuality of the exchanged photon $Q^2 \equiv -q^2 \to \infty$, while $x_B$ and $t$ are fixed. Here, $p$ is the four-momentum of the target nucleon and $q$ is the four-momentum of the virtual photon. There is currently no consensus as to how to define $\xi$ precisely in terms of experimental observables at finite $Q^2$; the results are reported as projections in $x_B$. The Mandelstam $t$ variable is defined as the squared momentum transfer to the target nucleon, i.e. $t = (p - p')^2$ where $p'$ is the four-momentum of the recoiling nucleon. The dependence of GPDs on $Q^2$ is implicit because GPDs are subject to quantum chromodynamics evolution with $Q^2$, which has been calculated perturbatively to leading order and next-to-leading order in the strong coupling constant $\alpha_s$ \cite{1,2,3,6,7}.

The Deeply Virtual Compton Scattering (DVCS) process ($lp \to l\gamma p$) is the simplest of those currently measurable that provide access to GPD-related information. At leading-order, a quark in the nucleon absorbs the virtual photon $\gamma^*$ (which mediates the electromagnetic interaction between the incident lepton $l$ and the target nucleon $p$) radiated by the incident lepton, and itself radiates a detectable real photon. This combination of initial ($lp$) and final ($l\gamma p$) states appears in two experimentally indistinguishable processes, the DVCS and Bethe-Heitler (BH) processes. Unlike in the DVCS process, in the BH process the real photon is radiated from the initial or the scattered lepton.

In this paper, asymmetries in the distribution of photons produced from a hydrogen target by a positron beam are measured, where both the beam and target are polarised parallel or antiparallel to the beam direction. The extracted asymmetry amplitudes are compared to those predicted by a GPD model \cite{8}.

The four-fold differential cross-section for exclusive leptoproduction of real photons,
neglecting target polarisation components transverse to the direction of the virtual photon, is given as

\[
\frac{d\sigma}{dx_B \, dQ^2 \, d|t| \, d\phi} = \frac{x_B \, e^6 \, |\tau|^2}{32(2\pi)^4 \, Q^4 \, \sqrt{1 + \epsilon^2}},
\]  

(1.1)

where \( e \) is the elementary charge, the angle \( \phi \) is defined as the azimuthal angle between the plane containing the directions of the incident and scattered lepton trajectories and the plane containing the trajectories of the virtual and real photons \( B \), and \( \epsilon \equiv 2x_B \frac{m}{Q} \), in which \( M \) is the mass of the nucleon. The square of the scattering amplitude \( |\tau|^2 \) can be written as

\[
|\tau|^2 = |\tau_{BH}|^2 + |\tau_{DVCS}|^2 + \tau_{BH}^* \tau_{DVCS}^T + \tau_{BH}^T \tau_{DVCS}. 
\]  

(1.2)

Although the squared-DVCS amplitude \( |\tau_{DVCS}|^2 \) is small relative to the squared-BH amplitude \( |\tau_{BH}|^2 \) in the kinematic range of the HERMES experiment \( B \), information on GPDs can be accessed via the Interference term denoted \( I \), which arises from the interference of the scattering amplitudes of the two processes \( B \). To leading order in the electromagnetic coupling constant \( \alpha_{em} \), the Fourier expansion of these quantities for a charged lepton beam and a target nucleon, where both the beam and the target are longitudinally polarised, reads:

\[
|\tau_{BH}|^2 = \frac{K_{BH}}{P_1(\phi)P_2(\phi)} \left\{ \sum_{n=0}^{2} c_{n,\text{unp}}^{BH} \cos(n\phi) + P_\ell \sum_{n=0}^{1} c_{n,\text{LP}}^{BH} \cos(n\phi) \right\}, 
\]  

(1.3)

\[
|\tau_{DVCS}|^2 = K_{DVCS} \left\{ \sum_{n=0}^{2} c_{n,\text{unp}}^{DVCS} \cos(n\phi) + P_\ell s_{1,\text{unp}}^{DVCS} \sin \phi 
+ P_\ell \left[ \sum_{n=0}^{1} c_{n,\text{LP}}^{DVCS} \cos(n\phi) + \sum_{n=1}^{2} s_{n,\text{LP}}^{DVCS} \sin(n\phi) \right] \right\}, 
\]  

(1.4)

\[
I = \frac{-\epsilon_\ell K_1}{P_1(\phi)P_2(\phi)} \left\{ \sum_{n=0}^{3} c_{n,\text{unp}}^{1} \cos(n\phi) + P_\ell \sum_{n=1}^{2} s_{n,\text{unp}}^{1} \sin(n\phi) 
+ P_\ell \left[ \sum_{n=0}^{2} c_{n,\text{LP}}^{1} \cos(n\phi) + \sum_{n=1}^{3} s_{n,\text{LP}}^{1} \sin(n\phi) \right] \right\}. 
\]  

(1.5)

Here \( P_1(\phi) \) and \( P_2(\phi) \) are lepton propagators of the BH process, \( P_\ell \) and \( \epsilon_\ell \) respectively represent the longitudinal polarisation and the charge of the lepton beam in units of the elementary charge and \( P_\ell \) represents the longitudinal polarisation of the target. The subscript unp (LP) denotes coefficients for an unpolarised (longitudinally polarised) target. The terms \( K_{BH}, K_{DVCS} \) and \( K_1 \) are kinematic factors: \( K_{BH} = 1/\left( x_B^2 \cdot t \cdot (1 + \epsilon^2)^2 \right) \), \( K_{DVCS} = 1/Q^2 \) and \( K_1 = 1/(x_B \cdot y \cdot t) \), where \( y \) is the fraction of the beam energy carried by the virtual photon in the target rest frame. The lepton propagators and BH coefficients \( c_{n,(\text{unp})(\text{LP})}^{BH} \) can be calculated in QED with the latter also having a dependence on \( F_1 \) and \( F_2 \), the Dirac and Pauli form factors of the nucleon. The Fourier coefficients \( c_{n,(\text{unp})(\text{LP})}^{DVCS} \) and \( s_{n,(\text{unp})(\text{LP})}^{DVCS} \) arising from the squared-DVCS term relate to a bilinear combination of GPDs,
whereas the Fourier coefficients $c_{n,(\text{unp})|\text{LP}}$ and $s_{n,(\text{unp})|\text{LP}}^I$ from the Interference term relate to a linear combination of GPDs.

Two asymmetries in the azimuthal distribution of real photons for positron scattering from a longitudinally polarised proton target are presented in this paper: one single-spin asymmetry $A_{UL}$ arising from the longitudinal polarisation of the target averaged over all beam polarisation states, and one double-spin asymmetry $A_{LL}$ arising from the longitudinal polarisation of both beam and target. These can be written as

\[
A_{UL}(\phi, e_\ell) \equiv \frac{[\sigma^{+\to}(\phi, e_\ell) + \sigma^{-\to}(\phi, e_\ell)] - [\sigma^{-\to}(\phi, e_\ell) + \sigma^{+\to}(\phi, e_\ell)]}{[\sigma^{+\to}(\phi, e_\ell) + \sigma^{-\to}(\phi, e_\ell)] + [\sigma^{-\to}(\phi, e_\ell) + \sigma^{+\to}(\phi, e_\ell)]}
\]

\[
= \frac{1}{P_1(\phi)P_2(\phi)} \left[ K_{\text{DVCS}} \sum_{n=1}^2 s_{n,\text{LP}}^{\text{DVCS}} \sin(n\phi) - \frac{e_\ell K_I}{P_1(\phi)P_2(\phi)} \sum_{n=1}^3 s_{n,\text{LP}}^{I} \sin(n\phi) \right]
\]

\[
A_{LL}(\phi, e_\ell) \equiv \frac{[\sigma^{+\to}(\phi, e_\ell) + \sigma^{-\to}(\phi, e_\ell)] - [\sigma^{-\to}(\phi, e_\ell) + \sigma^{+\to}(\phi, e_\ell)]}{[\sigma^{+\to}(\phi, e_\ell) + \sigma^{-\to}(\phi, e_\ell)] + [\sigma^{-\to}(\phi, e_\ell) + \sigma^{+\to}(\phi, e_\ell)]}
\]

\[
= \frac{K_{\text{BH}}}{P_1(\phi)P_2(\phi)} \sum_{n=0}^1 c_{n,\text{LP}}^{\text{BH}} \cos(n\phi) + K_{\text{DVCS}} \sum_{n=0}^1 c_{n,\text{LP}}^{\text{DVCS}} \cos(n\phi) - \frac{e_\ell K_I}{P_1(\phi)P_2(\phi)} \sum_{n=0}^2 c_{n,\text{LP}}^{I} \cos(n\phi)
\]

where $\sigma$ denotes the cross-section from Eq. [1.1] $\to (\leftarrow)$ represents the beam helicity state parallel (anti-parallel) to the beam momentum, and $\leftarrow (\rightarrow)$ represents the target polarisation state parallel (anti-parallel) to the beam momentum. In the case of positron scattering, $e_\ell = 1$.

2. Experiment and Event Selection

Data were collected in 1996 and 1997 with the HERMES spectrometer [12] using a longitudinally polarised 27.6 GeV positron beam incident on a longitudinally polarised hydrogen gas target [13] in the HERA lepton storage ring at DESY. The integrated luminosity of the data sample analysed in this paper is approximately 50 pb$^{-1}$ [14]. The average beam and target polarisations for the data are presented in Table 1. A brief description of the event selection is given in the following paragraphs. More details can be found in Refs. [15, 16, 17].

Exactly one charged track identified as a positron and one photon were required within the acceptance of the spectrometer. The kinematic requirements imposed on each event are $1 \text{GeV}^2 < Q^2 < 10 \text{GeV}^2$, $0.03 < x_B < 0.35$, $W > 3 \text{GeV}$, and $\nu < 22 \text{GeV}$, where $W$ is the invariant mass of the initial $\gamma p$ state and $\nu$ is the energy of the virtual photon in the target rest frame as determined from measurements of the energies of the positron before and after scattering.
Photons were identified by selecting a single signal cluster in the electromagnetic calorimeter with no associated track in the rest of the spectrometer. The cluster was required to register an energy deposition of at least 5 GeV in the calorimeter in order to reduce background, and to register at least 1 MeV in the preshower detector in order to improve the resolution of the energy measurement.

The polar angle between the virtual and real photons was required to be between 5 mrad and 45 mrad. The lower limit on this requirement was imposed in order to ensure that the azimuthal angle \( \phi \) remains well-defined within the finite resolution of the spectrometer – it excludes very few events from the data sample. The upper limit on the requirement was determined by Monte Carlo (MC) studies [18], which indicated that the data set above this value is dominated by background from the Bethe-Heitler process producing resonant states of the proton and from semi-inclusive meson production.

As the recoiling proton was not detected, events were selected by requiring that the squared missing-mass \( M^2_X = (q + p - q')^2 \) of the \( e p \rightarrow e \gamma X \) reaction corresponded to the squared proton mass within the spectrometer resolution, where \( q' \) is the four-momentum of the real photon. An “exclusive region” in the missing-mass distribution was determined from MC simulations described in Ref. [19]. Corrections were applied to account for shifts in the \( M^2_X \) distributions between data samples for different years.

The value of \( t \) was determined from the kinematics of the scattered lepton and the real photon under the assumption of \( ep \rightarrow ep\gamma \) in order to avoid using the measurement of the energy of the real photon, which was subject to the largest experimental uncertainty. The requirement \(-t < 0.7 \text{ GeV}^2\) was imposed in the exclusive region to further reduce background contamination [18].

### 3. Experimental Extraction of Asymmetry Amplitudes

This paper presents Fourier amplitudes of the asymmetries of Eqs. 1.6 and 1.8, rather than the related Fourier coefficients of the cross-section, which appear in Eqs. 1.3–1.5. The link between them is clarified in the following section.

Section 2 describes the selection of an event yield \( \mathcal{N} \). Its expectation value can be written as

\[
\langle \mathcal{N} (P_\ell, P_z, \phi, e_\ell) \rangle = \mathcal{L} (P_\ell) \eta(\phi) \sigma_{UU}(\phi, e_\ell) [1 + P_z A_{UL}(\phi) + P_z P_z A_{LL}(\phi) + P_\ell A_{LU}(\phi)] ,
\]

where \( \mathcal{L} \) is the integrated luminosity, \( \eta \) the detection efficiency and \( \sigma_{UU} \) denotes the cross-section for an unpolarised beam and an unpolarised target. The beam helicity asymmetry

| Year | Luminosity [pb\(^{-1}\)] | Beam Polarisation | Target Polarisation |
|------|------------------|------------------|------------------|
|      |                  | \( P_\ell < 0 \) | \( P_\ell > 0 \) | \( P_z < 0 \) | \( P_z > 0 \) |
| 1996 | 12.6 ± 1.0       | -                | 0.514 ± 0.017    | -0.759 ± 0.042 | 0.759 ± 0.042 |
| 1997 | 37.3 ± 3.2       | -0.531 ± 0.018   | 0.497 ± 0.017    | -0.850 ± 0.032 | 0.850 ± 0.032 |

**Table 1:** The integrated luminosities in pb\(^{-1}\) of the data sets, with average beam and target polarisations.
\( A_{LU} \) is not considered in this paper since the dataset presented here is a subset of data previously analysed with respect to the beam helicity \([20]\). In analogy to the decomposition of the cross-section in Eqs. 1.3–1.5, the asymmetries defined in Eqs. 1.6 and 1.8 can be decomposed as

\[
A_{UL}(\phi) \simeq \sum_{n=1}^{3} A_{UL}^{\sin(n\phi)} \sin(n\phi) + A_{UL}^{\cos(0\phi)},
\]

(3.2)

\[
A_{LL}(\phi) \simeq \sum_{n=0}^{2} A_{LL}^{\cos(n\phi)} \cos(n\phi).
\]

(3.3)

The azimuthal asymmetry amplitudes \( A \) in Eqs. 3.2 and 3.3 are extracted from the data using the maximum likelihood fitting method \([19, 21]\), with each amplitude containing a combination of the Fourier coefficients from Eqs. 1.3–1.5, with the exception of \( A_{UL}^{\cos(0\phi)} \). This term has no physical meaning. It is included only as a test of the normalisation of the function and is expected to be zero.

Previously published measurements with transversely polarised \([19]\) and unpolarised targets \([20, 22]\) were made with both electron and positron beams. The beam-charge dependence of the contribution from the Interference term to the cross-section then allowed the separation of the squared-DVCS and Interference terms via charge difference and charge average asymmetries. However, the longitudinally polarised hydrogen data set at HERMES was taken solely with a positron beam, so the separation of squared-DVCS and Interference terms is not possible.

The asymmetry amplitudes in Eqs. 3.2 and 3.3 are distinguished mainly by the Fourier coefficients in the numerators of Eqs. 1.7 and 1.9 (see the first two columns in Table 2). The extracted amplitudes may also be influenced by the \( \phi \)-dependent lepton propagators and/or the other \( \phi \)-dependent terms in the denominators of Eqs. 1.7 and 1.9.

Correlations between the asymmetry amplitudes determined by the fit were found to be small, and likelihood ratio tests for higher-order terms show a null result. Therefore, only the terms shown in Eqs. 3.2 and 3.3 were fitted to the data, and the result of that fit is presented in this paper.

The correspondences between the individual asymmetry amplitudes from Eqs. 3.2 and 3.3 and the Fourier coefficients in the decomposition of the differential cross-section (within a kinematic factor) from Eqs. 1.3–1.5, which are interpretable within the GPD framework, are clarified in Table 2. The relation of these Fourier coefficients to GPDs is encompassed in \( C \)-functions \([11, 23, 24, 25]\). These functions depend upon combinations of Compton Form Factors (CFFs), which are convolutions of GPDs with hard scattering kernels, and consequently have real and imaginary parts. Furthermore, the CFF information contained in the \( C \)-functions enters with various degrees of suppression. Higher twist terms are, in general, suppressed by powers of \( 1/Q \) compared to leading twist (twist-2). There are two \( C \)-functions that appear at twist-2 in the observables reported in this paper, \( C_{LP}^{C} \) and \( C_{LP}^{DVCS} \). While the latter is a bilinear combination of CFFs and their complex conjugates, the former is written
Table 2: The correspondences between the asymmetry amplitudes extracted from the data set and the Compton form factor dependent Fourier coefficients of the differential cross-section. The subscript T refers to C-functions that involve gluon transversity $\gamma_T$ and are further suppressed by $\frac{1}{Q^2}$.

\[
C_{LP}^1 = \frac{x_B}{2 - x_B} \left( F_1 + F_2 \right) \left( \mathcal{H} + \frac{x_B}{2} \mathcal{E} \right) + F_1 \widetilde{\mathcal{H}} - \frac{x_B}{2 - x_B} \left( \frac{x_B}{2} F_1 + \frac{t}{4M^2} F_2 \right) \mathcal{E},
\]

where the dominant summand is $F_1 \widetilde{\mathcal{H}}$ at HERMES kinematic conditions. The $C_{LP}^1$-function is the only $C$-function that is dominated by CFF $\widetilde{\mathcal{H}}$, and therefore GPD $\widetilde{\mathcal{H}}$. Consequently, the asymmetry amplitudes shown in Table 2 that provide access to $C_{LP}^1$ offer the best possibility to constrain $\widetilde{\mathcal{H}}$.

Examination of Table 2 reveals three asymmetry amplitudes ($A_\text{cos}(0\phi)$, $A_\text{sin}\phi$, $A_\text{cos}\phi$) that have leading-twist contributions from the $C_{LP}^1$-function, via the Fourier coefficients ($c_{0,LP}^1$, $s_{1,LP}^1$, $c_{1,LP}^1$) in the numerators of Eqs. (7) and (9). The $A_\text{cos}(0\phi)$ amplitude receives an additional twist-2 contribution from the $C_{LP}^{\text{DVCS}}$-function, stemming from the $c_{0,LP}^{\text{DVCS}}$ Fourier coefficient. The $A_\text{cos}(0\phi)$ and $A_\text{cos}\phi$ amplitudes also receive a contribution from BH coefficients, $c_{0,LP}^{\text{BH}}$ and $c_{1,LP}^{\text{BH}}$ respectively. The tangled mix of contributions to these amplitudes increases the difficulty of extracting information related to CFFs and therefore GPDs from it. The $A_\text{sin}\phi$ and $A_\text{cos}\phi$ asymmetry amplitudes each receive contributions at the twist-2 level from the $C_{LP}^1$-function, with a twist-3 contribution from the $C_{LP}^{\text{DVCS}}$-function. The dominance of the Interference term over the squared-DVCS term, combined with the additional $\frac{1}{Q^2}$-suppression arising from the twist-3 nature of the $C_{LP}^{\text{DVCS}}$ contribution to these asymmetry amplitudes, makes $A_\text{sin}\phi$ and $A_\text{cos}\phi$ the simplest of the asymmetry amplitudes considered in this paper from which to extract GPD-related information. More specifically, it is expected that these measurements can be used to constrain the values of the CFF $\mathcal{H}$. The $A_\text{cos}\phi$ amplitude is sensitive to the imaginary part of the CFF $\mathcal{H}$, whereas the $A_\text{sin}\phi$ amplitude is sensitive to the real part of the same CFF.
The higher order Fourier components of the asymmetries receive twist-3 or gluon-transversity contributions, or a combination thereof; the \( A_{UL}^{\sin(2\phi)} \) and \( A_{LL}^{\cos(2\phi)} \) both have twist-3 contributions from the imaginary and real parts of \( C_{LP}^I \), respectively. The \( A_{UL}^{\sin(2\phi)} \) amplitude also has a contribution from the gluon-transversity-dependent \( C_{DVCS}^{T,LP} \) \cite{11}, while the \( A_{UL}^{\sin(3\phi)} \) amplitude depends on a gluon-transversity function from the interference term, \( C_{T,LP}^I \). Both gluon-transversity functions are suppressed by \( \frac{\alpha_s}{\pi} \) compared to the quark leading-twist case and are expected to be very small. To date these gluon contributions have not been included in any model predictions for any target state.

4. Background Correction and Systematic Uncertainties

The extracted asymmetry amplitudes are corrected in each kinematic bin for background contributions from semi-inclusive and exclusive neutral meson production. The method is described in Ref. \cite{19} and the corrected asymmetry amplitude \( A_{corr} \) is given by

\[
A_{corr} = \frac{A - f_{semi}A_{semi} - f_{excl}A_{excl}}{1 - f_{semi} - f_{excl}}.
\] (4.1)

The fractional contributions to the data yield from semi-inclusive neutral mesons \( f_{semi} \) and exclusive pions \( f_{excl} \) are estimated from MC simulations \cite{8}. The average semi-inclusive contribution is 3.1\%, with the greatest variation being across the projection in \( x_B \), from 1.1\% to 8.0\%. The exclusive neutral pion contribution is less than 0.7\% in every kinematic bin. This estimate of the exclusive fraction is supported by calculations from another model \cite{26}, and a data search for corresponding events at HERMES \cite{27}.

The background asymmetry amplitude from the semi-inclusive process \( A_{semi} \) is extracted from data by reconstructing neutral pions from the two-photon decay, requiring a fractional energy \( E_{\pi^0}/\nu > 0.8 \) and an invariant mass \( 0.10 \text{ GeV} < M_{\gamma\gamma} < 0.17 \text{ GeV} \). The asymmetry amplitude from the exclusive background \( A_{excl} \) cannot be extracted from data due to the small yield of exclusive neutral pions. Thus they are assumed to be zero with an uncertainty of \( \pm \frac{2}{\sqrt{12}} \), corresponding to one standard deviation from a uniform distribution in the range \([-1, 1]\). Half the effect of the total background correction is assigned as a systematic uncertainty. The statistical uncertainties of the background fractions and asymmetry amplitudes appearing in Eq. 4.1 are propagated through to the final statistical uncertainty of the amplitude.

The resulting corrected asymmetry amplitudes presented in this paper contain contributions from the elastic BH/DVCS processes and processes in which the proton is excited to a resonant state. An MC simulation using a parametrisation of the form factor for the resonance region from Ref. \cite{28} is used to estimate the fractional contribution of this resonance process and the individual cross-sections for single-meson decay channels are calculated with the MAID2000 program \cite{29}. The contribution to the exclusive sample averages 13\%, with the greatest variation of between 5.6\% and 33.6\% being across the \( t \)-range covered in this analysis. We assign no systematic uncertainty due to this contribution and no correction is applied; this contribution is considered to be part of the signal.
The extracted asymmetries are also subject to systematic uncertainties arising from the combined effects of detector misalignment, acceptance, smearing and finite bin width, in addition to the background correction described previously. The systematic uncertainty originating from the combined contribution is estimated from a simulation of the spectrometer using a MC generator based on GPD parametrisation detailed in Ref. [10]. The resultant uncertainty is denoted “Detector/Binning Effects” and is shown in Table 3.

Finally, we assign a systematic uncertainty due to the year-dependent shift in the exclusive region of the missing-mass distribution. We choose to assign one quarter of the effect that the shift has on the extracted asymmetry amplitudes.

No systematic uncertainty is assigned due to luminosity differences because the luminosity does not depend on the target polarisation and beam polarisation dependent weights are assigned to each event in the extraction. Possible uncertainties arising from extra QED vertices are neglected as the effects have been estimated to be negligible for HERMES [30].

The magnitudes of all the contributions discussed in this section are given in Table 3.

Table 3: Results for the asymmetry amplitudes extracted over the kinematic range covered by HERMES, with their statistical and systematic uncertainties. For the latter, the various systematic uncertainty contributions are also presented. Not included are scale uncertainties of 4.2% (5.3%) arising from the target (beam and target) polarisation measurements.

| Amplitude | $A \pm \delta_{\text{stat.}} \pm \delta_{\text{syst.}}$ | Missing Mass Shift | Background | Detector/Binning Effects |
|-----------|---------------------------------------------|-------------------|------------|--------------------------|
| $A_{UL}^{\sin \phi}$ | -0.073 ± 0.032 ± 0.007 | 0.002 | 0.006 | 0.002 |
| $A_{UL}^{\sin(2\phi)}$ | -0.107 ± 0.032 ± 0.008 | 0.000 | 0.002 | 0.007 |
| $A_{UL}^{\sin(3\phi)}$ | 0.015 ± 0.032 ± 0.009 | 0.000 | 0.001 | 0.009 |
| $A_{LL}^{\cos(0\phi)}$ | 0.122 ± 0.044 ± 0.004 | 0.001 | 0.003 | 0.003 |
| $A_{LL}^{\cos \phi}$ | -0.047 ± 0.062 ± 0.029 | 0.002 | 0.003 | 0.028 |
| $A_{LL}^{\cos(2\phi)}$ | 0.067 ± 0.062 ± 0.007 | 0.004 | 0.003 | 0.004 |

5. Results and Model Comparison

Figures 1 and 2 respectively show the asymmetry amplitudes $A_{UL}^{\sin(n\phi)}$ and $A_{LL}^{\cos(n\phi)}$ integrated over the HERMES acceptance as well as projected across the kinematic variables $-t$, $x_B$ and $Q^2$. All values are summarised in Table 4. It should be noted that, within the HERMES acceptance, $\langle x_B \rangle$ and $\langle Q^2 \rangle$ are highly correlated (see Table 4) and it is not possible to disentangle the dependences of the asymmetries on these quantities. The measurements are also subject to scale uncertainties from the measurements of the beam and/or target polarisations. These scale uncertainties are given in the captions to the figures. The fractional contribution to the data set from resonant state production (i.e. “Reson. frac.”) is estimated from MC simulations and shown in the bottom row of Figs. 1 and 2. It is not known how this contribution may affect the values of the extracted asymmetries and since
here it is not experimentally separable from the non-resonant data, it is treated as a part of the signal.

\[
\phi \sin UL A -0.2 0
\]
\[
\phi \sin (2UL A -0.2 0 0.2 0.2)
\]
\[
\phi \sin (3UL A -0.2 0 0.2 0.3)
\]

**Figure 1:** Amplitudes of the target-spin asymmetry \( A_{UL} \) sensitive to a combination of the Interference and squared-DVCS terms, for positrons incident on longitudinally polarised protons, as projections in \(-t, x_B,\) and \(Q^2\). The leftmost column shows the asymmetry values when the extraction is performed in a single bin across the entire kinematic range of the data set. The error bars (open red bands) show the statistical (systematic) uncertainties and the solid blue bands represent the predictions from the “VGG Regge” GPD model described in Refs. [8, 31]. There is an additional 4.2% scale uncertainty due to the precision of the measurement of the target polarisation. The fractional contributions from resonance production estimated from an MC model are presented in the bottom panel.

All amplitudes presented correspond to Fourier coefficients described in Ref. [11] relating to the twist-2 and twist-3 CFFs shown in Table 2 with the caveat that this relationship may be complicated by various \(c_n\) terms in the denominators of Eqs. 1.7 and 1.9.

The first harmonic of the \( A_{UL} \), when the extraction is performed in a single bin from all kinematics, exhibits the value \( A_{UL}^{\sin \phi} = -0.073 \pm 0.032 \) (stat.) \(\pm 0.007 \) (syst.). The kinematic projections provide no evidence of strong dependences on \(-t, x_B,\) or \(Q^2\). This asymmetry amplitude receives a mixture of twist-2 and twist-3 contributions, as shown in Table 2. The primary contributor is \( C_{LP}^1 \), which is twist-2 and is expected to dominate the twist-3
Figure 2: Amplitudes of the double-spin asymmetry $A_{LL}$ sensitive to the Interference, squared-DVCS and squared-BH terms in the scattering amplitude, for polarised positrons incident on longitudinally polarised protons, as projections in $-t$, $x_B$, and $Q^2$. The leftmost column shows the asymmetry values when the extraction is performed in a single bin across the entire kinematic range of the data set. The error bars (open red bands) show the statistical (systematic) uncertainties and the solid blue bands represent the theoretical predictions from the “VGG Regge” GPD model described in Ref. [8, 31]. There is an additional 5.3% scale uncertainty due to the precision of the measurement of the beam and target polarisations. The fractional contributions from resonance production estimated from an MC model are presented in the bottom panel.
the different beam charge used and to bring the angular definitions used by CLAS into concordance with the Trento convention used by HERMES [9]. The single bin extraction value evaluated by CLAS has approximately three times larger magnitude than that evaluated by HERMES, whereas the $A_{UL}^{\sin(2\phi)}$ asymmetry amplitude at CLAS is consistent with zero in contrast to the non-zero value extracted by HERMES. It should be noted that CLAS measurements are taken with a different beam charge and at larger average values of $x_B$ and $t$ than HERMES, resulting in a different sensitivity to CFFs $\mathcal{H}$ and $\tilde{\mathcal{H}}$ as can be deduced from Eq. 3.4.

The $A_{UL}^{\sin(3\phi)}$ and consistency test $A_{UL}^{\cos(0\phi)}$ amplitudes were found to be consistent with zero over the kinematic range of the HERMES experiment. The former receives contributions from the leading-twist $C_{1,LP}^{I_T}$-function.

The results from the extraction of the double-spin asymmetry are presented in Fig. 2. The twist-2 amplitude $A_{LL}^{\cos(0\phi)}$ is found to be $0.115 \pm 0.044 \pm 0.004$ when the extraction is performed in a single bin across the entire kinematic range of the data set. No dependences are observed in the data across projections in $-t$, $x_B$, or $Q^2$. This asymmetry amplitude receives contributions from the squared-DVCS and Interference terms in the scattering amplitude, as shown in Table 2. However, it also receives a dominating contribution from the $c_{BH}^{2,LP}$ Fourier coefficient as shown in Eq. 1.9. It is therefore expected to be positive and non-zero, as confirmed by the data.

The first harmonic of the double-spin asymmetry $A_{LL}^{\cos(2\phi)} = -0.054 \pm 0.062 \pm 0.029$ is compatible with zero when the extraction is performed in a single bin across the entire kinematic range of the data set. It exhibits no dependences on $-t$, $x_B$, or $Q^2$. It is expected to receive contributions from twist-2 and twist-3 terms, as shown in Table 2, and therefore may be non-zero at HERMES kinematics. Its value is dominated by the $c_{BH}^{0,LP}$ term arising from the squared-BH term in the expansion of the scattering amplitude.

All asymmetry amplitudes in Figs. 1 and 2 are presented in comparison with calculations [31], labelled “VGG Regge”, from the GPD model described in Ref. [8]. Predictions from this model have been compared previously with HERMES asymmetries with respect to beam helicity and beam charge [20] and for a transversely polarised target [19] with limited success for certain choices of parameters. It remains the only predictive model available for comparison with data taken on a polarised target. The model is an implementation of the double distribution concept [1, 3] where the kernel of the double distribution contains a profile function that determines the dependence on $\xi$, controlled by a parameter $b$ [34]. The $b$ profile parameter can be set in the range $b \in [1, \infty)$, where the GPD is independent of $\xi$ in the limit $b \rightarrow \infty$. In this model, the $\xi$-dependence and the $x$-dependence are entangled, but the $t$-dependence is factorised. Here a Regge-inspired hypothesis for the $t$-dependence is used.

The model is used to calculate the differential cross-sections for the electroproduction
of real photons for each beam and target polarisation state. These differential cross-sections are used to construct asymmetries with which to compare the data. The cross-sections and therefore the asymmetries contain no provision for the production of resonant states of the target proton. The width of the theory bands in Figs. 1 and 2 originates from the variation of the unknown b profile parameters. These free parameters are independently controllable for valence and sea quarks, and can be used as a fit parameter for the extraction of GPDs from hard lepton production data. The other parameters of the model are chosen from those that best describe data that were previously published by HERMES.  

Figure 1 shows that the reported amplitude $A_{UL}^{\sin \phi}$ of the $A_{UL}$ single-spin asymmetry is well described by the model, which predicts the kinematic trend and the magnitude of this amplitude with reasonable accuracy. However, the relatively large amplitude $A_{UL}^{\sin(2\phi)}$ is not described by the model, which predicts that the amplitude should be small and of opposite sign. Although Ref. [32] alludes to a large exclusive pion background in the CLAS kinematic region, which could cause a large $\sin(2\phi)$ amplitude, as previously stated a data search at HERMES [27] showed no such contamination. The model [8] itself suggests that any background due to exclusive pions should be small and this is supported by a different set of calculations from a different model [26]. This disagreement between the data and the model for this amplitude is surprising and could be interpreted as an unexpectedly large contribution from the gluon twist-2 amplitudes, which are not included in the GPD model shown.

Figure 2 shows that the predictions made by the model regarding the magnitude and trends of the amplitudes of the $A_{LL}$ asymmetry mostly agree with the data. It describes the positive, slightly increasing trend for the $A_{LL}^{\cos(\phi)}$ amplitude observed in the data across all three variables. The prediction of the model for values of the $A_{LL}^{\cos \phi}$ is compatible with the data within the uncertainties of the extraction. The model predicts a small value for $A_{LL}^{\cos(2\phi)}$ across all kinematic projections which is compatible with zero within experimental uncertainty.

The model is successful in predicting four of the five experimental asymmetries presented in this paper. However, the same model failed to predict previously published HERMES results [20] for the beam helicity asymmetry. A further caveat is that the model does not include any effect from the resonance contribution to the data set.

6. Summary

Data on the hard exclusive electroproduction of real photons from the 1996 and 1997 years of operation of the HERMES experiment are analysed. Two asymmetries in the azimuthal distribution of lepton produced photons from a longitudinally polarised proton target are presented. The $\sin \phi$ modulation $A_{UL}^{\sin \phi}$ of the single-spin asymmetry $A_{UL}(\phi)$ is found to be small and negative, with a weak kinematic dependence. The asymmetries are compared to calculations from the only available GPD model [8, 31] for these processes on a longitudinally polarised target. The asymmetry amplitude is broadly compatible with the theoretical predictions from the model. The $A_{UL}^{\sin(2\phi)}$ amplitude is found to be of opposite sign and larger than expected. The double-spin asymmetry $A_{LL}(\phi)$ is extracted...
The single-spin asymmetry defined in Eq. 1.6, extracted at HERMES and CLAS with the average kinematic values for the respective datasets. The sign of the CLAS sin φ asymmetry is determined by the GPD model. Both of the asymmetries have dominant contributions from the real part of the target (beam and target) polarisations.

### Table 4: Results of the $A_{UL}^{\sin\phi}$, $A_{UL}^{\sin(2\phi)}$ and $A_{UL}^{\sin(3\phi)}$ amplitudes of the single-spin asymmetry (top) and $A_{LL}^{\cos(n\phi)}$, $A_{LL}^{\cos\phi}$, and $A_{LL}^{\cos(2\phi)}$ amplitudes of the double-spin asymmetry (bottom) with statistical and systematic uncertainties and average kinematics for polarised hydrogen data. These are shown integrated over the HERMES acceptance and for each $-t$, $x_B$, and $Q^2$ bin. The correlation between $\langle x_B \rangle$ and $\langle Q^2 \rangle$ can be observed in the average kinematic values for each bin. There is an additional scale uncertainty of 4.2% (5.3%) affecting the $A_{UL}^{\sin(n\phi)}$ ($A_{LL}^{\cos(n\phi)}$) amplitudes due to the uncertainty in the measurement of the target (beam and target) polarisations.

### Table 5: The single-spin asymmetry defined in Eq. 1.4, extracted at HERMES and CLAS with the average kinematic values for the respective datasets. The sign of the CLAS sin φ result has been inverted to become consistent with the different angular definitions used by HERMES and the different beam charge used in the two measurements, as described in the text.

for the first time. It is found to be consistent with the small asymmetry values predicted by the GPD model. Both of the asymmetries have dominant contributions from the real or imaginary parts of the CFF $H_0$, and thus provide potentially the best access to the GPD $\tilde{H}$ at HERMES kinematics.
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