Configurational entropy of black hole quantum cores

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Abstract

Two types of information entropy are studied for the quantum states of a model for the matter core inside a black hole geometry. A detailed description is first given of the quantum mechanical picture leading to a spectrum of bound states for a collapsing ball of dust in general relativity with a non-trivial ground state. Information entropies are then computed, shedding new light on the stability of the ground state and the spectrum of higher excited states.

Keywords: configurational entropy, quantum gravity, quantum black holes

(Some figures may appear in colour only in the online journal)

1. Introduction

Quantum aspects of gravitational collapse are among the most investigated topics in contemporary theoretical physics. A quantum theory of gravity is expected to eliminate the singularities predicted by general relativity, in particular, those associated with incomplete geodesics at the final stage of the collapse of regular matter into a black hole [1]. Several methods for removing the singularity in approaches to quantum gravity have been proposed [2–7] and the appearance of a bounce at a minimum radius is generically obtained in semiclassical models...
These results suggest that the role played by matter in the description of black hole formation (and subsequent evolution [11]) is crucial.

The non-linearity of the Einstein equations makes it impossible to study any realistic model for the gravitational collapse analytically, and this furthermore renders the problem of its quantum description intractable in general. For this reason, one can only go back to the study of (over)simplified models obtained by forcing a strong symmetry and unphysical equation of state for the collapsing matter. The prototypical example is given by the gravitational collapse of a ball (a perfectly spherical distribution) of dust (matter with no other interaction but gravity) originally investigated by Oppenheimer and collaborators [12]. A similarly simple case is given by a shell of matter [13] collapsing under its weight or towards a central source. These systems are simple enough to allow for a canonical analysis [14] of the effective action obtained by restricting the Einstein–Hilbert action to metrics satisfying the assumed symmetry properties.

Here, we will carefully reconsider the spectrum of quantum states of the matter core inside a black hole found in [15] by studying the general relativistic model of gravitational collapse of a spherically symmetric ball of dust [12, 16]. The key idea is to quantise the geodesic equation for the areal radius of the ball as an effective quantum mechanical description of the dust ball similar to the usual quantum mechanical description of the hydrogen atom provided by the quantisation of the electron’s position. This approach straightforwardly leads to the existence of a discrete spectrum of bound states 7. More importantly, one finds that the ball in the ground state properly compatible with general relativity has a quantised and macroscopically large surface area, which resembles Bekenstein’s area law [21], so that no singularity ever forms 8. Of course, the areal radius of the ball is not a fundamental degree of freedom for the matter in the collapsing core, which should instead be described by quantum excitations of fields in the Standard Model of particle physics. Although these fundamental degrees of freedom are neglected for the purpose of defining a tractable mathematical problem, we expect that their existence should be encoded in a suitable entropy that can be computed from the states of the effective quantum mechanical theory. Obtaining an estimate of this entropy is precisely the main task of the present work.

Several relevant measures of information entropy have been proposed, mainly in the last decade, and employed to investigate gravitational systems and quantum field theories in the continuum limit. Among them, the configurational entropy (CE) [23] and its differential version (DCE) were shown to play a prominent role, since critical points of the CE and DCE usually correspond to preferred occupied states. Given the configuration of a spatially-localised field, the CE measures the amount of information it contains, as if that configuration were a message written in the alphabet represented by momentum space modes, each mode contributing to the message with specific weighted probabilities. In this description, each physical field configuration presents a distinct quantifiable signature of information entropy, with associated shape complexity [24]. The DCE critical points correspond to those momentum space microstates occupied by the physical system with higher configurational stability, hence pointing to the dominant physical states [25, 26]. In this context, complex physical systems do not only extremise the corresponding dynamical action but they also tend to ‘optimise’ the entropic information. Moreover, these information entropies measure the shape complexity encoded by the probability density of a physical system. The DCE is an effective mathematical tool for understanding pattern formation, and it has in fact been used in a wide variety of cases.

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7 For similar results for thin shells, see [17] (see also [2, 18–20]).
8 The geometry sourced by this quantum core was reconstructed in [22].
such as the study of the stability of AdS black holes [27], the Hawking–Page transition regulated by a critical configurational instability [28], brane-world models [29], neutron and boson stars [30–34]. The DCE provides a criterion for stability which was employed to compute the Chandrasekhar limit and critical stability domains for several stellar distributions [35, 36], the spontaneous emission in hydrogen atoms [37] and to study topological defects [38–41], just to list a few examples.

The main aim of this work is to explore the black hole quantum cores of [15] and to discuss the important role played by the different types of information entropy in extracting relevant knowledge about the spatial profile of the quantum mechanical system. In this context, the information entropy and DCE will be shown to shed new light on the properties of the ground state and the spectrum of higher modes as well. Section 2 is devoted to presenting a refined version of the model for black hole quantum cores from [15], with a derivation of the spectrum of bound states. In section 3, the Shannon entropy [42] is introduced and the DCE and the information entropy underlying the quantum mechanical description of black hole quantum cores are computed and analysed. Section 4 is dedicated to the concluding remarks.

2. Core quantum spectrum

As we recalled in the Introduction, the Oppenheimer–Snyder model is simple enough to allow for a rigorous canonical analysis [7]. However, some key features are more easily obtained by following a simpler approach introduced in [15], which we here review and improve upon.

Let us consider a perfectly isotropic ball of dust with total ADM mass [43] $M$ and areal radius $R = R(\tau)$, where $\tau$ is the proper time measured by a clock comoving with the dust. Dust particles inside this collapsing ball will follow radial geodesics $r = r(\tau)$ in the Schwarzschild space-time metric\(^9\)

$$\text{d}s^2 = -\left(1 - \frac{2G_NM_0}{r}\right)\text{d}t^2 + \left(1 - \frac{2G_NM_0}{r}\right)^{-1}\text{d}r^2 + r^2(d\theta^2 + \sin^2\theta d\phi^2) \; ,$$

where $M_0 = M_0(r)$ is the (constant) fraction of ADM mass inside the sphere of radius $r = r(\tau)$.

In particular, we can consider the outermost (thin) layer of (average) radius $r = R(\tau)$ and mass $\mu = \epsilon M$, where $0 < \epsilon < 1$ is the fraction of the ball mass in the chosen layer. The equation governing the evolution of the radius of this layer is then given by the mass–shell condition for the massive layer of four-velocity $u^a = (i, \dot{R}, 0, 0)$, that is

$$\frac{E_\mu^2}{\mu^2} - \dot{R}^2 + \frac{2G_NM_0}{R} - \left(1 - \frac{2G_NM_0}{R}\right)\frac{L_\mu^2}{R^2\mu^2} = 1 \; ,$$

where $M_0 = (1 - \epsilon)M$ and $E_\mu$ and $L_\mu$ are the conserved momenta conjugated to $t = t(\tau)$ and $\phi = \phi(\tau)$, respectively. For the particular case of radial motion, one must set $L_\mu = 0$ and equation (2.2) reads

$$H \equiv \frac{P^2}{2\epsilon M} - \epsilon(1 - \epsilon) \frac{G_NM_0^2}{R} = \epsilon M \left(\frac{E_\mu^2}{\epsilon^2 M^2} - 1\right) = \mathcal{E} \; ,$$

where $P = \mu \dot{R}$ is the momentum conjugated to $R = R(\tau)$. Notice that equation (2.3) contains the parameter $\epsilon$, and all of the results will therefore depend on the distribution of dust between

\(^9\) We shall always use units with $\epsilon = 1$ and often write the Planck constant $\hbar = \ell_p m_p$ and the Newton constant $G_N = \ell_p^3/m_p^3$, where $\ell_p$ and $m_p$ are the Planck length and mass, respectively.
the outermost layer of mass $\mu = \epsilon M$ and the inner spherical core of mass $M_0 = (1 - \epsilon) M$. This represents a first improvement concerning the more qualitative analysis of [15].

Having established the equation of motion governing the collapse, we apply the canonical quantisation prescription

$$P \mapsto \hat{P} = -i \hbar \partial_R ,$$

which allows us to write equation (2.3) as the time-independent Schrödinger equation

$$\hat{H} \hat{\Psi}_n = \left[ \frac{\hbar^2}{2 \epsilon M} \left( \frac{d^2}{dR^2} + \frac{2}{R} \frac{d}{dR} \right) - \frac{\epsilon (1 - \epsilon) G\epsilon M^2}{R} \right] \hat{\Psi}_n = \mathcal{E}_n \hat{\Psi}_n .$$

The above equation is formally the same as the one for the $s$-states of the hydrogen atom\(^{10}\), so that the solutions are given by the Hamiltonian eigenfunctions

$$\hat{\Psi}_n(R) = \sqrt{\frac{\epsilon^4 (1 - \epsilon)^2 M^9}{\pi \ell_p^2 m_p^6 n^5}} \exp \left( - \frac{\epsilon^2 (1 - \epsilon) M^3 R}{n m_p^2 \ell_p} \right) \bar{L}^1_{n-1} \left( \frac{2 \epsilon^2 (1 - \epsilon) M^3 R}{n m_p^2 \ell_p} \right) ,$$

where $\bar{L}^1_{n-1}$ are Laguerre polynomials and $n = 1, 2, \ldots$, corresponding to the eigenvalues

$$\mathcal{E}_n = - \frac{\epsilon^4 (1 - \epsilon)^2 M^5}{2 m_p^6 n^2} .$$

Note that the normalisation is defined in the scalar product which makes the Hamiltonian $\hat{H}$ Hermitian when acting on the above spectrum, that is

$$\langle \hat{n} | \hat{n}' \rangle = 4 \pi \int_0^{\infty} R^2 \hat{\Psi}^*_n(R) \hat{\Psi}_{n'}(R) dR = \delta_{nn'} .$$

The expectation value of the areal radius on these eigenstates is thus given by

$$\bar{R}_n \equiv \langle \hat{n} | \hat{R} | \hat{n} \rangle = \frac{3 m_p^3 \ell_p \hbar^2}{2 \epsilon^2 (1 - \epsilon) M^5} .$$

As was noted in [15], so far the quantum picture is the same that one would have in Newtonian physics, with the ground state $n = 1$ having a width $\bar{R}_1 \sim \ell_p (m_p/M)^3$ and energy $\mathcal{E}_1 \sim -M (M/m_p)^4$. This state is practically indistinguishable from a point-like singularity for any macroscopic black hole of mass $M \gg m_p$.

In fact, the only general relativistic feature that the model retains is given by the form of $\mathcal{E} = \mathcal{E}(\mathcal{E}_\mu)$ in equation (2.3). By then assuming that the conserved $E_\mu$ remains well-defined for the allowed quantum states, we obtain

$$0 \leq \frac{E^2_\mu}{c^2 M^2} = 1 - \frac{c^2 (1 - \epsilon)^2}{n^2} \left( \frac{M}{m_p} \right)^4 ,$$

which yields the lower bound for the principal quantum number\(^{11}\)

$$n \geq N_M \equiv \epsilon (1 - \epsilon) \left( \frac{M}{m_p} \right)^2 .$$

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\(^{10}\) Perfect isotropy implies that the angular momentum quantum numbers $l = m = 0$. The case of a (slowly and rigidly) rotating ball is left for future development.

\(^{11}\) A similar quantisation for the mass $M$ was found long ago in [44, 45] by studying stable configurations of boson stars. As we recalled in the Introduction, equation (2.11) is also reminiscent of Bekenstein’s area law [21].
Saturating the inequality (2.11) corresponds to the fundamental state of the outer layer, which results in a huge number of states (with \( n < N_M \)) that remain inaccessible for the collapsing ball. Among these states, there is also the one discussed above with \( R_{n=1} \sim 0 \), which means that the singularity is then precluded, as one expects from semiclassical models \([8, 9]\). More precisely, since the only information that one can extract from the wave equation, and confront with a classical quantity, is the areal radius \( \langle R \rangle \), one does not identify the potential singularity with a principal quantum number \( \bar{n} \), rather than using the value of \( R \) corresponding to that \( \bar{n} \).

All quantum states can access \( R = 0 \) a priori, however, since the lower bound for \( \bar{n} \) is much bigger than the one corresponding to \( R \sim R_1 \sim 0 \), the probability that the shell of dust is found in the singularity is practically zero for \( M \gg m_p \).

We recall that there are no reasons for a ball of dust evolving solely under the gravitational force, to stop contracting classically. Quantum mechanics instead shows that, in order to have a well-defined energy spectrum, the proper ground state compatible with general relativity has a width

\[
\sqrt{\bar{n}^2 + 2} \approx \frac{3}{4} (1 - \epsilon) R_H, \tag{2.12}
\]

where \( R_H = 2 G_N M \) is the classical Schwarzschild radius of the ball. Since \( 0 < \epsilon < 1 \), one can conclude that \( R_{\bar{n}} < R_H \) and a finite number of states (2.6) with

\[
\bar{n} = N_M + n \tag{2.13}
\]

and \( n = 0, 1, \ldots \) will exist inside the horizon.

In the above analysis, the parameter \( \epsilon \) remains undetermined. One of the goals of this work is to determine a preferred value from entropic considerations. Before we do that, we notice that the uncertainty in the areal radius is given by

\[
\frac{\Delta \bar{R}}{\bar{R}} \equiv \frac{\sqrt{\langle \bar{n}^2 \rangle - \bar{R}_n^2}}{\bar{R}} = \frac{\sqrt{\bar{n}^2 + 2}}{3 \bar{n}}, \tag{2.14}
\]

which asymptotes to a minimum of \( 1/3 \) for \( \bar{n} \to \infty \). We thus expect that \( N_M \gg 1 \) for the ground state and consequently \( \epsilon (1 - \epsilon) \sim 1 \). For instance, for \( M = M_\odot \simeq 10^{30} \text{kg} \), one finds \( N_M \sim 10^{66} \), which makes it impossible to handle the wavefunction (2.6), either analytically or numerically. We shall therefore limit our investigation to values of \( M \) small enough to allow for simple numerical calculations and extrapolate to larger values of \( M \).

### 3. CE

The CE is a measure of the amount of information carried by physical solutions to the equations of motion of a given theory \([23]\). A way to come up with it is by considering the original formulation of information entropy by Shannon \([42]\): information is measured by the minimum number of bits needed to convert symbols into a coded form, in such a way that the highest transmission rate of messages, consisting of an array of the given symbols, can be communicated through a channel. Shannon then defined a measure of information by the expression

\[
S_{\text{Sh}} = - \sum_{\epsilon \in \mathcal{C}} \log p_{\epsilon} \log p_{\epsilon}, \tag{3.1}
\]
where the sum is taken over the set $C = \{ c_i; \ i = 1, \ldots, N \}$ of symbols with a distribution $p_i = p(c_i)$ determining their occurrence. The amount of information carried by each symbol was determined by Shannon as $I(c_i) = -\log_2 p_i$, so that

$$
\langle I \rangle = \sum_{i \in C} p(c_i) I(c_i) = -\sum_{i \in C} p(c_i) \log_2 p(c_i) = S_{Sh} .
$$

(3.2)

In fact, $\log_2 N$ is the number of bits to describe $N$ symbols and the entropy is the highest possible for a uniform distribution with $p(c_i) = 1/N$, that is $\langle I \rangle = \log_2 N^{12}$.

Shannon entropy can be straightforwardly connected with the Gibbs entropy $S_G$, which generalises the Boltzmann entropy $S_B$ to thermodynamic systems described by microstates that do not necessarily have equal probabilities, that is

$$
S_G = -k_B \sum_a p_a \ln p_a ,
$$

(3.3)

where $k_B$ is the Boltzmann constant and $p_a$ stands for the probability of occurrence of each microscopic configuration $\alpha$ of the system. Besides the overall constant $k_B$, which is needed to give the entropy its natural thermodynamical units, one finds $S_G \sim S_B$, if one can identify $p_a = p(c_i)$ for a given thermodynamical system. Moreover, when $p_a = p$ for all microstates in the given system, $S_G = S_B = k_B \ln(W)$, where $W$ is the number of equiprobable microstates.

The CE is a form of Shannon entropy for static macroscopic systems computed by assuming that the underlying microstates $\alpha$ in $S_G$ are defined by plane or standing waves of wavenumber $\vec{k}$ in flat momentum space, that is

$$
\alpha_{\vec{k}} = e^{i \vec{k} \cdot \vec{x}} .
$$

(3.4)

In order to compute the probability $p(\vec{k})$ of occurrence of each microstate $\alpha_{\vec{k}}$, one can therefore start by taking the Fourier transform $\rho(\vec{k})$ of the probability density $\rho(\vec{x})$ of a given macroscopic system in position space. The probability $p(\vec{k})$ is then defined by a suitable normalisation such that $\sum_{\vec{k}} p(\vec{k}) = 1$. We also recall that the power spectrum $\sum_{\vec{k}} |\rho(\vec{k})|^2 e^{i \vec{k} \cdot \vec{x}}$ is the Fourier transform of the 2-point correlation function and encodes the shape complexity of the probability density.

To introduce the DCE for the spherically symmetric wavefunctions in equation (2.6), we start from the probability density

$$
\rho(R) = 4 \pi R^2 |\Psi_n(R)|^2 ,
$$

(3.5)

with $n \geq 0$ defined in equation (2.13). The spectral Fourier transform of equation (3.5), in spherical coordinates, can be written as

$$
\rho(k) = \frac{1}{k^{3/2}} \int_0^\infty R^{1/2} \rho(R) J_{1/2}(kR) dR ,
$$

(3.6)

where $J_{1/2}$ denotes the Bessel function of order 1/2. In the numerical calculations, an equivalent Hankel transform is implemented, which is proportional to the radially symmetric spherical Fourier transform by a factor of $(2\pi)^{1/2}$. In the computations that follow, the function $\rho(k)$ is therefore given by the Hankel transform of the probability density (3.5) associated with our wavefunctions.

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12 Note that $S_B$ is dimensionless and the base of the logarithm in equation (3.1) is a matter of choice. The binary base 2 measures the information entropy in bits (or Sh for Shannon), but one can also employ the natural logarithm to measure the information entropy in the natural units called nat, with $1 \text{ nat} = (1/\ln 2) \text{ Sh}$. 


From equation (3.6), one can immediately define the so-called information entropy as the continuous version of the Shannon entropy in equation (3.1), which reads

\[ S_{\text{Sh}} = - \int_{0}^{\infty} \rho(k) \ln[\rho(k)] \, dk . \] (3.7)

The continuum limit can be problematic, and alternative expressions for the entropy have thus been conjectured.

Gleiser and Sowinski introduced the DCE by first defining the modal fraction \( \tilde{\lambda}(k) \) as a measure for the relative weight of a given mode in the probability distribution. In our case, the modal fraction is explicitly given by

\[ \tilde{\lambda}(k) = \frac{\rho(k)}{\int_{0}^{\infty} |\rho(k')|^2 \, dk'} \] (3.8)

which measures the weight of each wave mode contributing to the total power spectrum\(^{13}\). The DCE measures the number of bits that are necessary to describe localised physical configurations, whose underlying information has the best compression and maximal channel capacity. It can be defined as the functional

\[ S_{\text{DCE}}[\tilde{\lambda}] = - \int_{0}^{\infty} \tilde{\lambda}(k) \ln[\tilde{\lambda}(k)] \, dk , \] (3.9)

with\(^{14}\)

\[ \tilde{\lambda}(k) = \frac{\tilde{\lambda}(k)}{\tilde{\lambda}_{\text{MAX}}} , \] (3.10)

where \( \tilde{\lambda}_{\text{MAX}} \) is the peak of the momentum distribution \( \tilde{\lambda} = \tilde{\lambda}(k) \). The DCE can therefore be used to estimate the dynamical degree of order regulating the spectral density (3.6) and the configurational stability of the black hole quantum core will be determined by the critical points of the DCE. The higher the DCE, the more delocalised the density, the higher the uncertainty, and the smaller the accuracy in predicting the spatial localisation of the system. One might also speculate that there is a relationship between the DCE and the effect of tracing out degrees of freedom in the present context.

We first computed numerically the Fourier transform (3.6) and the modal fraction (3.8) for the ground state with \( n = 0 \). The resulting DCE is displayed in figure 1, where we include the information entropy for comparison, and all quantities are expressed in units of \( \ell_{\text{p}} \) and \( m_{\text{p}} \) (equivalent to setting \( \hbar = G = 1 \)). The left panel of figure 1 shows that the information entropy \( S_{\text{Sh}} \) is a monotonic increasing function of the mass \( M \), for each fixed value of the parameter \( \epsilon \), and a monotonic decreasing function of \( \epsilon \), for a fixed value of \( M \). A similar profile holds for \( S_{\text{DCE}} \), as shown in the right panel. In absolute values, comparing the two panels of figure 1 makes explicit the subtle distinction between the information entropy (3.7) and the DCE (3.9). Although both entropies have similar qualitative behaviour, their values are different for the same \( M \) and \( \epsilon \). We recall that the outermost thin layer has (average) radius \( R \) and mass \( \mu = \epsilon M \), with \( 0 < \epsilon < 1 \), so that \( \epsilon \) is the fraction of the ball mass in the chosen layer. From figure 1, we can then conclude that the higher the amount of dust in the outer layer, the lower both the information entropy and the DCE.

We next computed the information entropy and the DCE for the first few excited states with \( n > 0 \). The results for \( M = 6, 8 \) and 10 are shown in figure 2, along with the entropies

\(^{13}\) Indeed, the DCE attains its maximum value for a uniform power spectrum.

\(^{14}\) This last step is introduced to ensure that \( \tilde{\lambda}(k) \leq 1 \) for all values of \( k > 0 \).
Figure 1. Information entropy (3.7) (left panel) and DCE (right panel) for ground states ($n=0$) of different mass $M$ and fixed $\epsilon$. Note the different scales on the vertical axes (mass and entropies in Planck units).

Figure 2. Information entropy (left panel) and DCE (right panel) for ground ($n=0$) and excited ($n>0$) states with $\epsilon=0.5$ (mass and entropies in Planck units).

The main result clearly shown in figure 2 is that excited states have higher information entropy and DCE than the respective ground state. Both kinds of entropy steeply increase, which supports the fact that excited states are unstable and will naturally decay into the ground state. It is a general rule of the continuous limit of the Shannon entropy that states with higher information entropy are less stable and can eventually be less predominant from the phenomenological point of view. Moreover, excited quantum states have similarities with the ground state in figure 1, since higher values of $\epsilon$ correspond to lower $S_{SB}$ and $S_{DCE}$, for each fixed value of $\bar{n}$.

It is also interesting to look at the relation between the entropy and the fraction $\epsilon$ of mass in the outermost layer for fixed values of the total mass $M$. For this purpose, it is computationally more convenient to employ the rescaling of parameters described in appendix, and some results
are then displayed in figure 3. It is clear that both Shannon’s entropy and the DCE decrease as the outermost layer contains relatively more mass. This is in agreement with the idea that the (information) entropy measures our ignorance of the microstates of the system: the larger $\epsilon$, the less mass is in the interior and the less information is missed by just considering the state of the outermost layer.

Finally, we look back at the ground state entropies and argue whether Shannon’s information entropy and the DCE in figure 1 follow specific scaling laws. In fact, we can reproduce quite accurately the numerically computed values with several interpolating curves, among which logarithmic ones show the best fit, given by

$$S = \alpha \ln \left( \frac{M}{m_p} \right) + \beta,$$

where the fitting parameters for each curve are displayed in table 1. The fitting curves and their extrapolation to larger values of $M$ are displayed in figure 4. The coefficients of determination\(^{15}\) for the fitting model (3.11) with the parameters in table 1 are all given by $R^2 \approx 0.99$ for the information entropy and $R^2 = 0.98 \pm 0.01$ for the DCE. From figure 4, it looks like $S_{\text{DCE}} \sim M$ for $\epsilon = 0.1$, and for such small values of $\epsilon$ the $R^2$ test returns similar values for both fits. However, for larger values of $\epsilon$, the linear regressions yields a worse $R^2 \approx 0.95$. This suggests that the apparent linear behaviour of the DCE for small $\epsilon$ is just the approximation of a logarithm small $M$ (as we already mentioned, computing the entropies for large $M$ is computationally very intense). The stability of the $R^2$ test for all the curves is a strong indication that equation (3.11) is a good approximation for our results.

Since the mass $M$ is proportional to the volume of the matter core, the above scaling relations are compatible with the interpretation that the number of microscopic degrees of freedom counted by the exponential of the entropy grows with the volume of the ball to some power $0 < \alpha < 1$. This is indeed the expected behaviour for matter and should be contrasted with the Bekenstein area law\(^{21}\), according to which the number of gravitons involved in the geometry sourced by this core\(^{22}\) scales like the horizon area, which is instead proportional to $M^2$ in Planck units. Moreover, our results corroborate the fact that the DCE is an estimator for the number of bits one needs to reconstruct the quantum-mechanical field configuration from

\(^{15}\) We recall that $R^2 \approx 1$ for a good agreement between data and the model.
Table 1. Fitting parameters for information entropy and DCE in the ground states \((n = 0)\).

| \(\epsilon\)   | \(\alpha\)   | \(\alpha\)   | \(\alpha\)   | \(\alpha\)   | \(\alpha\)   |
|---------------|---------------|---------------|---------------|---------------|---------------|
| 0.1           | 0.707         | 0.616         | 0.554         | 0.488         | 0.382         |
| 0.3           | 1.209         | 1.260         | 1.187         | 1.044         | 1.044         |
| 0.5           | 3.190         | 1.438         | 0.874         | 0.837         | 0.621         |
| 0.7           | -3.8717       | -0.085        | 0.385         | -0.297        | -0.297        |
| 0.9           | -3.8717       | -0.085        | 0.385         | -0.297        | -0.297        |

Figure 4. Fitting curves for the information entropy (left panel) and DCE (right panel) for the ground states \((n = 0\), mass and entropies in Planck units).

4. Concluding remarks and discussion

We have investigated the entropy for a matter core inside black holes obtained from the quantum mechanical description of the gravitational collapse of a ball of dust of mass \(M\) introduced in [15]. Improving on that model, we have explicitly considered the wavefunction for the average radius \(R\) of the outermost layer of dust with mass \(\mu = \epsilon M\), for \(0 < \epsilon < 1\), which is again shown to belong to a discrete spectrum similar to the one for the hydrogen atom. Like in [15], the ground state quantum number \(N\) is found to be proportional to \(M^2\) in Planck units. For black holes of astrophysical size, such ground states are therefore described by Laguerre polynomials of a huge order, which makes their analysis very difficult, both analytically and numerically.

In particular, two different types of information entropy were employed to probe this scenario, namely the DCE and the continuous limit of Shannon’s entropy, both of which can only be computed numerically and for relatively small principal quantum numbers \(n = N + n\). We found that both the DCE and Shannon’s entropy increase with the mass, in agreement with the intuition that, the larger the mass the more constituents in the dust core, with consequently larger uncertainty in its microstates. Furthermore, we found that both kinds of entropy for the ground state show a logarithmic dependence on \(M^\alpha\), with \(0 < \alpha < 1\), which can be extrapolated to arbitrarily large values of \(M\). This behaviour is consistent with the notion that the number of matter microstates is proportional to the total mass (hence volume), albeit to a non-trivial power. As gravitational degrees of freedom are instead expected to satisfy Bekenstein’s
area law [9, 21], the matter entropy we computed should be (largely) overcome by the gravitational entropy, at least after the black hole has formed. This topic will have to be investigated more thoroughly in a future work.

The results obtained for both entropies are qualitatively similar in the entire spectrum, showing a (much) higher information entropy for excited states (with \( n > 0 \)) when compared to the ground state (with \( n = 0 \)) for the same mass \( M \). This behaviour agrees with the intuition that excited states of the dust ball are spatially less localised and can be realised by larger numbers of microstates than the ground state. In fact, a higher value of the (Shannon’s) entropy usually signals a larger instability. In this respect, we remark that, in most of the existing literature on Shannon’s entropy applied to quantum-mechanical systems, self-interactions are generally disregarded. In our work, the dust ball self-gravitates, which clearly constitutes a more realistic description and may help to develop new interpretations for the role of Shannon’s entropy in describing quantum aspects of compact astrophysical objects and black holes. Our results are in particular compatible with the classical dynamics of a ball of dust, which is necessarily going to collapse and shrink under its own weight without loss of energy encoded by the ADM mass \( M \). One can then look at the sequence, from top to bottom, of black dots in figure 2 as representing the time evolution of the areal radius \( R(\tau) = \bar{R}_n \) with decreasing values of \( n = N_M + n \) in correspondence with increasing proper time \( \tau \). The existence of a ground state halts this process at a finite macroscopic size \( \bar{R} = \bar{R}_{N_M} \), after which the ball can only shrink further by losing energy \( M \), so that \( N_M \) decreases (hence jumping from a blue dot to the one on its left). It is interesting to note that this behaviour is qualitatively very different from the hydrogen atom. In fact, an electron bound to the nucleus will ‘shrink’ to smaller quantum states necessarily by emitting energy in the form of radiation. The dust ball instead collapses without emitting (gravitational) energy, because of the strict spherical symmetry, until it reaches a minimum (quantum) size.

Finally, looking at the entropy for different values of the fraction \( \epsilon \), we have found that both the information entropy and the DCE are smaller for larger fractions of dust in the outermost layer. Since larger values of the entropy should correspond to more unstable configurations, this result seems to favour the accumulation of matter in the outermost layer, due to quantum pressure. The actual density profile of the final core, however, will have to be further investigated by considering refined models of the collapsing ball, for example with more layers. In fact, a generalisation of the present model including angular momentum small enough for perturbation theory to apply, was recently considered in [46], where it is shown that the main effect of rotation is to increase the size of the bound state (and higher levels consequently). Therefore, we do not expect significant changes in the qualitative behaviour of the entropies for (slowly) rotating systems. Pressure is expected to play a similar role to rotation but including pressure terms in the governing equations results in a much more difficult task. Since pressure gravitates in General Relativity, a detailed analysis is required in order to assess how it affects the size of the ground state.

**Data availability statement**

The data that support the findings of this study are available upon reasonable request from the authors. The data that support the findings of this study are available upon reasonable request from the authors.

\[16\] For a more realistic (less symmetrical and with pressure) model one expects that the collapse is instead always associated with the emission of energy.
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Appendix. Mass rescaling

The quantisation law (2.11) makes it impractical to determine values of the mass $M$ corresponding to integers $\bar{n}$ for generic values of $\epsilon$. For studying the effect of varying $\epsilon$ on the entropies, it is therefore more convenient to rescale the mass as

$$M = \frac{m_p \tilde{M}}{\sqrt{\epsilon (1 - \epsilon)}},$$  \hspace{1cm} \text{(A.1)}$$

so that equation (2.13) is given by $\bar{n} = \tilde{M}^2 + n$ and the wavefunctions (2.6) read

$$\Psi_{\bar{n}}(R) = \left[ \frac{e^{3/2} \tilde{M}^9}{\pi n^5 (1 - \epsilon)^{3/2} \ell_p^3} \right] \exp\left( -\frac{e^{1/2} \tilde{M}^2 R}{\bar{n} (1 - \epsilon)^{1/2} \ell_p} \right) L_{\bar{n} - 1}^{1/2}(2 e^{1/2} \tilde{M}^3 R / \bar{n} (1 - \epsilon)^{1/2} \ell_p).$$  \hspace{1cm} \text{(A.2)}$$

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