Ratchet-Induced Segregation and Transport of Non-Spherical Grains

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We consider through simulations the behavior of elongated grains on a vibrating ratchet-shaped base. We observe differences in layer velocity profile and in net grain velocity for grains that are composed of one, two, or three colinear spheres. In the case of mixtures of different species of grains, we demonstrate layer-by-layer variation in the average velocity as well as layer segregation of species, and show that horizontal separation of the species can be achieved using this geometry. We also find that the addition of a small number of shorter grains to a sample of long grains provides a lubrication effect that increases the velocity of the long grains.

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I. INTRODUCTION

Granular systems display a rich variety of phenomena that are often counterintuitive and in many cases not yet fully understood. A notable example is the size-segregation effect, observable in the Brazil nut problem and related systems. Mixtures of dissimilarly-sized particles can separate by grain when set in motion by a number of methods, including vertical shaking, horizontal shaking, white noise driving, rotating drums, rotating boxes, and shear flow. The segregation, which may be caused by convective grain flow or by local grain rearrangements under gravity, can lead to a stratification of mixtures into nearly homogeneous layers.

Granular media also display a wide range of behavior when vertically vibrated upon an asymmetric sawtooth-shaped base. Lining the base with asymmetric teeth breaks the symmetry of the AC driving force, leading to net horizontal motion from symmetrical, vertical drives. Such rectification of a fluctuating force leads to a ratcheting effect observed in many other systems. Both experiments and simulations of granular ratchets show that the response of a particular type of grain to a ratchet system depends significantly upon the size and shape of the ratchet, the driving force, and the properties of the grains themselves. Whether a particular combination of grain and ratchet will display net motion to the left, to the right, or at all is not apparent by simple inspection, highlighting the need for further study of these systems. Recently, it was shown that this behavior depends upon how the velocity of grains on a ratchet varies vertically, and that specific types of grains interact differently with specific base geometries.

Very recent experiments and simulations have demonstrated that the direction in which spherical grains move on the ratchet depends on the size and elasticity of the grains. Thus two species of grains may move in opposite directions on the same ratchet base. Since the direction of the velocities persists when the different particles are mixed, this effect has been used to segregate mixtures of particles, allowing for the construction of novel granular sieves that may be applicable to practical problems insoluble with more conventional, filter-type sieves.

Although granular ratchets have been successfully modeled in the past using spherical grains, the transport behavior of nonspherical grains on ratchets has not been considered. Since there are many examples of extended or nonspherical grains in nature, understanding their behavior is of great importance, and has attracted considerable attention. In previous studies of anisotropic grains, it was found that the static and dynamic responses of the grains depend strongly upon the degree of anisotropy of the grain. Thus it is of interest to consider the effect of grain elongation on the behavior of grains in a ratchet system.

In this paper we study the average velocity of elongated grains moving on shaken ratchet-shaped substrates. For extended grains we find that the rotation of the grains plays an important role in their transport. We observe both a variation of average grain velocity with depth, and vibration-induced size-dependent stratification of grains. We demonstrate that it is possible to segregate mixtures of extended grains of different lengths by creating conditions where the average velocity of different monolayers of grains is in opposite directions for layers composed of different grains. Finally, we show that shorter grains can provide a lubricating effect for the motion of longer grains in mixtures.

II. SIMULATION

We consider extended grains composed of two or more spheres that share a common axis and are confined to two
dimensions, as in recent experiments. Each sphere that composes an extended grain is a constrained monomer grain, which we refer to as a “sub-grain”. Though it is possible to simulate larger grains with our model, we focus on extended grains composed of one, two or three sub-grains, referred to hereafter as monomers, dimers and trimers. The sub-grains are simulated according to a model for monomer spheres, with the addition of a constraint to maintain the sub-grains of a single grain fixed with respect to each other. The sub-grains composing one extended grain are separated by a distance equal to the relaxed position of the elastic force governing their interaction. This introduces a rotational degree of freedom and corresponding moment of inertia effects. Though dimensional studies have been conducted in the past, a two-dimensional effect and the complexity inherent to additional dimensions is not considered here.

The equation of motion for the monomers or sub-grains is

\[ m_i \ddot{x}_i = f_{\text{el}}^{(i)} + f_{\text{diss}}^{(i)} + f_{\text{shear}} + f_g + f_{\text{fric}} \]

where the gravitational force \( f_g = -0.05 \) and \( f_{\text{fric}} = 0.25 \) is a dissipative frictional force corresponding to the drag against the confining walls in 2D experimental setups. Two grains interact when they are separated by a distance smaller than twice their effective radii, \( r_g = 0.4 \). In this case, the restoration force is given by

\[ f_{\text{el}}^{(i)} = \sum_{j \neq i} k_g m_i \frac{( | \mathbf{r}_{ij} | - r_g ) \mathbf{r}_{ij} }{| \mathbf{r}_{ij} |} \]

where \( k_g = 20 \) is the strength of the restoration spring constant, \( m_i = 1 \) is the mass of a sub-grain and \( \mathbf{r}_{ij} = \mathbf{r}_i - \mathbf{r}_j \) is the vector between two sub-grains at \( \mathbf{r}_i \) and \( \mathbf{r}_j \). The dissipation force due to grain elasticity is

\[ f_{\text{diss}}^{(i)} = - \sum_{j \neq i} \gamma_m \frac{ ( \mathbf{v}_{ij} \dot{r}_{ij} ) \mathbf{r}_{ij} }{| \mathbf{r}_{ij} |^2} \]

where \( \gamma = 0.48 \) is a phenomenological dissipation coefficient and \( \mathbf{v}_{ij} = \mathbf{v}_i - \mathbf{v}_j \) is the relative velocity. The shear friction force has the form

\[ f_{\text{shear}} = - \gamma_s m_i \frac{ ( \mathbf{v}_{ij} \mathbf{t}_{ij} ) \mathbf{t}_{ij} }{| \mathbf{r}_{ij} |^2} \]

where \( \gamma_s = 1.2 \) is the coefficient of shear friction and \( \mathbf{t}_{ij} = (-r_{ij}^x, r_{ij}^y) \) is the vector \( \mathbf{r}_{ij} \) rotated by 90°. The parameters were chosen as a compromise between producing realistic results and relatively short simulation time. The behavior we observed is not qualitatively changed by different parameter choices.

Though molecular dynamics (MD) grains are soft in the sense that they interact via spring forces instead of absolutely defined radii, an effective radii \( r_{\text{eff}} = 0.2 \) of half the minimum range for interaction between two grains can be defined in the case of a strong restoring force. If the effective radii of the grains is taken to correspond to an experimental radius of 1.65 mm and the gravitational acceleration \( g = 0.05 \) corresponds to 9.8 m/s², we can convert the units of the simulation to experimental units. The simulation length unit \( \Lambda = 0.33/0.4 \) cm and the time unit \( \tau = \sqrt{(0.33 F_g)/(mg)} \) s. Taking the mass of a monomer grain to be 0.2 g, the restoration spring constant \( k_g = (20 r_g mg)/(0.35 F_g) \). In this way we can convert the simulated particle velocities to the experimental units of cm/s.

The ratchet sawteeth composing the floor are of a size similar to that of the grains, as in the experiments of Ref. 4. The ratchet profile (see Fig. 1) is parameterized in terms of the number of sawteeth \( N_s \), the tooth height \( h_s \), and the tooth asymmetry \( a_s \), calculated as the width of the left, rising portion of the tooth divided by the total width of one tooth. We take \( N_s = 16 \), \( h_s = 1.25 \) and \( a_s = 0.0 \), corresponding to isosceles right triangle-shaped teeth roughly 1.03 cm wide and 1.03 cm tall. This geometry is commonly used in both experiments and simulations. The angle of the left wall of each tooth is \( \theta_l = \tan^{-1}(h_s/(a_s \Lambda)) \) and the angle of the right wall is \( \theta_r = \pi - \tan^{-1}(h_s/((1 - a_s) \Lambda)) \), where for most simulations the system size \( \Lambda = 20 \). Each region bordered by a falling ratchet wall to the left and and a rising wall to the right is defined to be one cell. Although the position of a grain might vary significantly inside one cell, this motion averages to zero unless the grain travels to a neighboring cell.

We implement the sawtooth base as a virtual wall composed of mirror monomers that provide a normal force away from the wall on each sub-grain approaching within a distance \( r_g \) of the wall. Walls composed of uniformly-spaced monomers tend to leak at high driving amplitudes and were not used. One fixed grain is placed at the tip of each sawtooth to prevent the tip of the sawtooth from lodging between two sub-grains of the longer grains.

We simulate collections of grains consisting of up to 600 sub-grains (corresponding to \( N_n = 600 \) monomers, 300 dimers or 200 trimers). At the beginning of each simulation the grains are dropped upon a vibrating base with an asymmetric sawtooth profile. In the case of mixtures,
FIG. 2. Average horizontal ratchet velocity $\langle v \rangle$ for monomer, dimer and trimer grains as a function of the number of layers of grains $L$. While monomers move to the right, dimers move in the opposite direction. Trimmers are too massive to move at this driving amplitude. As more and more layers of grains are added, all particles slow down, with the heavier particles quenching first. The downward trend of the monomer velocity continues with increased layers, but these points are omitted from the graph due to space. The sawteeth parameters were $N_s = 16$, $h_s = 1.25$, and $a_s = 0.0$, with driving at roughly 69 Hz and $A_d = 0.75$.

The order in which grains of different types are dropped is randomized to avoid artificial stratification. The standard system used is 200 monomers in a 20 x 20 region with periodic boundary conditions in the $x$ (horizontal) direction. For a given parameter set the simulated results were divided into ten portions for statistical purposes, consistent with similar techniques applied to experimental data. Each simulation is between $10^6$ and $10^7$ MD steps in length, corresponding to times of roughly 6.5 to 65 seconds.

We also performed simulations on systems with $\Lambda = 5$, 10, and 40 both to check for finite size effects and to achieve larger layer thicknesses without increasing the number of particles. Since the ratchet effects observed here occur on length scales equal to the size of a single ratchet tooth, varying the size of the system did not affect the results obtained. Reversing the direction of the sawteeth had the expected effect of reversing the direction of the grain velocity.

III. RESULTS

A. Average horizontal velocity

We first consider the dependence of the average grain velocity along the ratchet, $\langle v \rangle = (1/N_n) \sum v_i \hat{x}$, on the number of layers $L$ of grains. To determine $L$, we found the number of layers in the $z$ direction that were occupied by grains for more than 80% of the time, and add the fraction of time the next highest layer was filled. To measure $\langle v \rangle$, we periodically record the horizontal displacement over a given time interval of every particle in the system. We also determine $\langle v \rangle$ for particles of a specific size, and for particles in a given layer, as well as recording the average mass and average number of particles in each layer.

As shown in Fig. 2, $\langle v \rangle$ for monomers initially increases for small numbers of layers, and gradually decreases to zero for large $L$. This behavior is in good agreement with previous experiments and simulations, and is believed to result from three competing effects. For small $L$ the ratchet velocity increases with $L$ because a minimum number of grains is required for every grain to fully explore the ratchet geometry and not become trapped. Because the upper layers tend to move in a direction opposite to that of the lower layers, increasing the number of layers eventually decreases the average velocity. Additionally, increasing the number of particles increases the granular pressure on the lower layers where the greatest movement normally occurs.

Also shown in Fig. 2 are $\langle v \rangle$ for dimer and trimer grains. Each type of grain displays a very different response. Under conditions where the monomers move to the right, dimers move to the left, while the massive trimers lock together and show very little movement. We have also considered grains composed of four and five subgrains, which produce little or no net movement, similar to the behavior of the trimers. Simulations of a single
FIG. 4. Illustration of dimer grain motion at consecutive times. When the dimer strikes the point of a sawtooth at a near-perpendicular angle (panel c) it is propelled backwards into the neighboring cell. The sloping surface of each tooth tends to push the dimer against the rightward point more often than the leftward point, resulting in a net velocity to the left.

The different behaviors of the three grain types is determined both by grain mass and by grain symmetry. Longer grains are more massive and require stronger driving to initiate motion. Figure 3 illustrates that as the amplitude of the driving force is increased, both dimers and trimers can be made to move in the same direction as the monomers. Higher driving amplitudes are also required to maintain the same amount of motion as the number of layers, and thus the total mass of the system, increases.

B. Ratchet mechanism

In the elongated grains, rotation and interactions with the flat walls of the ratchet play an important role in determining the ratcheting behavior. At low driving amplitudes dimers display a ratchet velocity \( \langle v \rangle \) opposite in direction to the average velocity displayed by monomers under similar conditions. To explain this, we illustrate the dimer motion in Fig. 4. Dimers are frequently flattened against the sloping edge of the ratchet both by pressure from above and torque from the moving floor [Fig. 4(a)]. The upward motion of the ratchet then launches the dimer into the air [Fig. 4(b-c)]. If, upon falling, the dimer strikes the point of a sawtooth in a glancing blow involving only one of the two sub-grains, the dimer will be set into a spinning motion but will gain little translational motion, and will generally fall back into the same cell or occasionally move one cell to the right. If instead the midpoint of the dimer collides with the sawtooth point, the dimer receives no torque and does not spin, but is kicked a great distance away from the peak [Fig. 4(c-e)]. These events occur regularly, often kicking the dimer to the neighboring cell on either the left or the right, depending on which sawtooth point the ratchet encountered with the necessary perpendicular orientation [Fig. 4(f)]. Since the sloping surface of each tooth tends to push the dimer against the rightward point more often than the leftward point, a net velocity to the left arises.

At higher driving amplitudes, the dimer is thrown completely over the sawtooth point into the next cell to the right by the sloping teeth. This is the mechanism by which the monomers ratchet, and indicates why at large driving amplitudes all grain types show the same behavior. It is the interaction of the dimer with the sawtooth point that leads to the reversed (leftward) velocity. The significant possibility of being kicked to the right by the sawtooth point explains the relatively small magnitude of the leftward dimer velocity compared to the rightward velocity of the monomers. Changing the mass of the sub-grains, and therefore changing the moment of inertia of
the dimers, reduces or eliminates the net negative velocity, indicating the importance of dimer rotation on this effect.

By comparison, Fig. 5 shows the motion of a trimer at a driving amplitude large enough to produce net grain movement. For the ratchet arrangement considered here, the trimers are large enough to lie across the top of the sawteeth, as in Fig. 5(a). Eventually interactions with other trimers and the moving base work the trimer into the cell [Fig. 5(b)], where the motion of the ratchet swings the trimer until it is aligned vertically, flush with the vertical edge of the sawtooth [Fig. 5(c)]. The next upward kick from the sawtooth can then propel the trimer above the sawtooth [Fig. 5(d)]. If it moves to the left, the trimer lands in the same cell and is eventually aligned and launched again. If the trimer moves to the right, it lands in the cell neighboring to the right and has successfully ratcheted [Fig. 5(e-f)]. Because the trimers are three times as heavy as monomers, a large driving amplitude is necessary for this effect to occur.

Simply increasing the width of the sawtooth so that the trimer grains are the same size relative to the sawtooth as the dimers under conditions in which the dimers moved in the negative direction is not sufficient to make the trimer grains move to the left instead of to the right. Indeed, no change in system geometry produces this effect, since the reversed velocity observed for dimers does not occur for trimers due to the different symmetry of the two grains. Even if a trimer strikes the tip of a sawtooth at the center of mass of the trimer, the grain-grain interactions will shift the sawtooth toward the left or right end of the trimer. Here the sawtooth tip will exert a torque on the trimer, causing the trimer to spin rather than giving the trimer significant translational motion. Only interactions with the flat sides of the teeth produce significant translational motion of the trimers, just as in the case of monomers. For longer grains with an even number of sub-grains, such as quadrimers, reversed velocity still does not appear. Here, it is possible for the sawtooth tip to strike the center of mass of the quadrimer and launch the grain toward the left without torque. It is, however, twice as likely that the sawtooth tip will strike the quadrimer between an end sub-grain and a central sub-grain, rather than between the two central sub-grains, and will thus spin the quadrimer rather than translating it. In this case, any leftward grain motion is swamped by net rightward motion, and no reversed velocity can be observed. Only the dimer grains have the proper symmetry to produce leftward velocity.

C. Stratified velocity by layer

When the average velocity is examined layer-by-layer, as in Fig. 6, clear differences between monomer, dimer and trimer grains appear. The layer in which a particular grain is located is determined by counting the number of grains in the region bounded vertically by the height of the grain and the height of the ratchet below, and horizontally by half the length of the grain both to the left and the right. In this way, the number of layers can be calculated dynamically for grains of any size, although extended grains appear to be located higher than smaller grains due to the larger amount of space present beneath them. For this reason, when studying mixtures it is necessary to confirm layer data by observing animations depicting the actual location and motion of each grain.

Fig. 6(a) shows that the velocity of monomers in the horizontal direction is stratified, with the fastest moving layers located nearest to the ratchet floor. This result agrees with previous studies of spherical particles and is consistent with the fact that grains close to the ratchet teeth have the largest component of their velocity in the horizontal direction, whereas grains that have been thrown well above the teeth have most of their velocity in the vertical direction and show only a small horizontal component of velocity. It is interesting to note that the results for horizontal velocity as a function of the number of layers at higher amplitudes is extremely similar to...
measurements of vertical velocity as a function of layer in confined granular media that display size-segregation phenomena when vibrated.

We find a very different behavior for dimers, however. As shown in Fig. 6(b), the dimer layers nearest the ratchet move slowly, while the higher layers display the largest velocity. This is a result of the dimer transport mechanism, which relies on the interactions of the dimers with the tips of the ratchet sawteeth. Dimers lying below the sawteeth tips are trapped in a ratchet cell and, although they may have significant rotational motion, have very little horizontal velocity. In contrast those dimers with enough horizontal velocity to move to the next cell have been launched by the sawtip teeth, rather than by the floor of the ratchet as in the case of monomers, and thus move in much higher layers.

The velocity profile of the trimers is flat and close to zero, indicating that for this driving amplitude the massive, elongated trimers have locked together. At higher driving amplitudes when the trimer grains begin to move, the velocity profile of the trimers is very similar to that of the monomers.

### D. Mixtures

When different types of grains are mixed, we observe size-segregation phenomena. Fig. 7 shows a snapshot from a simulation of dimer and monomer grains where segregation by size is occurring. The dimers rise to the upper layers while the monomers fall toward the bottom layers. The segregation is independent of the presence of the ratchet floor, as it is also observed when the mixture is vibrated on a flat surface. Since the ratchet floor and the periodic boundary conditions in our system prevent the formation of convection cells, the segregation observed here results when the smaller grains are able to slip around the larger grains and move toward the bottom.

The fact that the fastest monomer motion occurs on the lower layers and the fastest dimer motion occurs on the upper layers, combined with the fact that dimers tend to sit on the top layers and monomers on the bottom layers, suggests that in a mixture the two species could be separated horizontally. Table I shows average grain velocities by species for several combinations of grain sizes. A clear difference in the direction of the grain velocity appears for the mixture of 100 monomers with an equal mass of 50 dimers. The monomers move toward the right at 0.107 cm/s while the dimers move toward the left at 0.123 cm/s. It is important to note that unlike previous work in which segregation effects reduce the relative densities of grains in different regions, the periodic boundary conditions used here keep the relative proportion of monomers to dimers constant. In an actual separation scheme with open boundaries, the grains would be able to separate into homogeneous groups that could then travel even faster in opposite directions.

As a further probe of the segregation effect, Fig. 7 shows the average velocity-by-layer \( \langle v_L \rangle \) and the average mass-by-layer \( \langle m_L \rangle \) for the monomer-dimer mixture. The segregation by layer of the two grains is apparent in the variation of the average mass, and the differences of the average velocities of the layers occupied by different grains makes it clear that the two different types of particles move in opposite directions. The decrease in the average mass at the highest layers reflects that these layers are not always occupied.

In a mixture of monomers and trimers, the monomers act as lubrication for the motion of the trimers. As indicated in Table I, while the monomer velocity has decreased roughly 40% compared to a system of pure monomers due to the presence of the trimers, the trimer velocity has increased nearly ten times compared to a system of pure trimers. The monomers are able to lubricate the system because they are more likely to occupy the lower layers where the trimers might otherwise be trapped. The trimers are then dragged along by the layer of moving monomers beneath them.

Results for a mixture of dimers and trimers are pre-

| Amplitude | \( N_1 \) | \( N_2 \) | \( N_3 \) | \( \langle v \rangle_1 \) | \( \langle v \rangle_2 \) | \( \langle v \rangle_3 \) |
|-----------|-----------|-----------|-----------|----------------|----------------|----------------|
| 0.75      | 200       | -         | -         | 0.762 ± 0.109 cm/s | -              | -              |
| 0.75      | -         | 100       | -         | -              | -0.263 ± 0.0693 cm/s | -              |
| 0.75      | -         | -         | 70        | -              | -              | 0.0173 ± 0.0306 cm/s |
| 1.5       | -         | -         | 70        | -              | -              | 0.955 ± 0.209 cm/s |
| 0.75      | 100       | 50        | -         | 0.107 ± 0.0765 cm/s | -0.123 ± 0.105 cm/s | -              |
| 0.75      | 100       | -         | 35        | 0.474 ± 0.0904 cm/s | -              | 0.134 ± 0.0645 cm/s |
| 0.75      | -         | 50        | 35        | -              | 0.0267 ± 0.0455 cm/s | 0.0274 ± 0.0446 cm/s |
| 1.5       | -         | 50        | 35        | -              | 2.837 ± 0.179 cm/s | 1.018 ± 0.0765 cm/s |

Table I. Ratchet velocity \( \langle v \rangle \) for different grain mixtures. The driving amplitude is indicated in the left column. \( N_n \) is the number of n-mers in a mixture and \( \langle v \rangle_n \) is the corresponding velocity of that grain type. Each mixture contains approximately 200 sub-grains divided evenly by mass among the different grain types. Thus there are fewer dimers and trimers than monomers in a given mixture.
sent for both the driving at the standard amplitude of $A_d = 0.75$ and at a doubled amplitude of $A_d = 1.5$. Due to the inverted velocity profile of the dimers relative to the monomers, the dimers cannot lubricate the motion of the trimers in the same way as monomers do.

![Graph](image)

FIG. 7. Variation of (a) $\langle v_L \rangle$, (b) $\langle m_L \rangle$, with height above ratchet for a mixture of 100 monomers and 50 dimers.

Because the larger trimers migrate to the higher layers, the dimers are trapped in the lower layers where they do not move. By filling the lower layers, the dimers prevent the trimers from becoming trapped, but there is little horizontal motion propagated through the dimers to the trimers to cause movement. The results for a mixture of dimers and trimers at high driving indicates that the two types of particles could still be slowly segregated because the dimers move three times faster than the trimers (as in Fig. 3).

IV. CONCLUSION

Using a model for non-spherical granular media, we have considered the interactions of elongated grains and mixtures of grains with a vibrating ratchet-shaped base. Monomers show a net velocity to the right induced by the asymmetry of the ratchet teeth. Dimers have a net velocity to the left for a range of driving amplitudes in which they interact with the tips of the sawteeth, due to the symmetry of the dimer. At high driving amplitudes all grains show monomer-like behavior. The velocity profile of monomers consists of fast-moving grains in the bottom layer close to the ratchet floor, with slow-moving grains on the upper layers. For dimers, the velocity profile is inverted and the fastest moving grains are in the upper layers. In mixtures of grains, the larger grains move to the top layers, leading to stratification, and the difference in velocity of the grain species makes horizontal segregation of the grain types possible. Such segregation is especially effective in the case of mixtures of monomers and dimers, where the two species move in opposite directions. Finally, we demonstrated that adding monomers to a sample of trimers produces a lubrication effect that significantly increases the velocity of the trimers.

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