Hippocampal subfield CA2+3 exhibits accelerated aging in Alcohol Use Disorder: A preliminary study

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ABSTRACT

The profile of brain structural dysmorphology of individuals with Alcohol Use Disorders (AUD) involves disruption of the limbic system. In vivo imaging studies report hippocampal volume loss in AUD relative to controls, but only recently has it been possible to articulate different regions of this complex structure. Volumetric analysis of hippocampal regions rather than total hippocampal volume may augment differentiation of disease processes. For example, damage to hippocampal subfield cornu ammonis 1 (CA1) is often reported in Alzheimer's disease (AD), whereas deficits in CA4/dentate gyrus are described in response to stress and trauma. Two previous studies explored the effects of chronic alcohol use on hippocampal subfields: one reported smaller volume of the CA2+3 in alcohol-dependent subjects relative to controls, associated with years of alcohol consumption; the other, smaller volumes of presubiculum, subiculum, and fimbria in alcohol-dependent relative to control men.

The current study, conducted in 24 adults with DSM5-diagnosed AUD (7 women, 53.7 ± 8.8) and 20 controls (7 women, 54.1 ± 9.3), is the first to use FreeSurfer 6.0, which provides state-of-the-art hippocampal parcellation, to explore the sensitivity of hippocampal subfields to alcoholism. T1- and T2- images were collected on a GE MR750 system with a 32-channel Nova head coil. FreeSurfer 6.0 hippocampal subfield analysis produced 12 subfields: parasubiculum; presubiculum; subiculum; CA1; CA2+3; CA4; GC-ML-DG (Granule Cell (GC) and Molecular Layer (ML) of the Dentate Gyrus (DG)); molecular layer; hippocampus-amygdala-transition-area (HATA); fimbria; hippocampal tail; hippocampal fissure; and whole volume for left and right hippocampi. A comprehensive battery of neuropsychological tests comprising attention, memory and learning, visuospatial abilities, and executive functions was administered.

Multiple regression analyses of raw volumetric data for each subfields by group, age, sex, hemisphere, and supratentorial volume (svol) showed significant effects of svol (p < .04) on nearly all structures (excluding tail and fissure). Volumes corrected for svol showed effects of age (fimbria, fissure) and group (subiculum, CA1, CA4, GC-ML-DG, HATA, fimbria); CA2+3 showed a diagnosis-by-age interaction indicating older AUD individuals had a smaller volume than would be expected for their age. There were no selective relations between hippocampal subfields and performance on neuropsychological tests, likely due to lack of statistical power.

The current results concur with the previous study identifying CA2+3 as sensitive to alcoholism, extend them by identifying an alcoholism-age interaction, and suggest an imaging phenotype distinguishing AUD from AD and stress/trauma.

1. Introduction

A number of in vivo imaging studies have now reported hippocampal volume loss in Alcohol Use Disorders (AUD) relative to controls (Beresford et al., 2006; Cardenas et al., 2007; Kurth et al., 2004; Le Berre et al., 2014; Sullivan et al., 1995; Wilhelm et al., 2008). A recent meta-analysis of 23 studies suggests that problematic alcohol use is associated with significantly smaller hippocampal volume (Wilson et al., 2017); correlations between gray-matter volumes and memory impairments, however, have not always been forthcoming (cf., Pitel et al., 2014). One explanation for the lack of structure/function relations may be that hippocampal subregions have unique cellular and...
molecular compositions and distinct connectivity profiles that contribute to their differential roles in cognitive and disease processes (Small et al., 2011), often overlooked when examining the structure as a whole (Poppenk and Moscovitch, 2011).

Postmortem histological evaluation of the hippocampus reveals its heterogeneity and complexity. Functionally specialized but tightly interconnected subfields of the hippocampus – the subiculum (subdivided into parasubiculum, presubiculum, and subiculum proper), the Cornu Ammonis (CA) sectors 1–4, and dentate gyrus – play unique roles learning and memory. Thus, improved in vivo MRI-based delineation of hippocampal subregions may permit selective differentiation of hippocampal-based neurocognitive processes in health (Middlebrooks et al., 2017) and disease (Small, 2014). Available evidence suggests that different neurodegenerative disorders affect selective hippocampal subfield volumes: CA1 is frequently reported as atrophied in Mild Cognitive Impairment (MCI) and Alzheimer’s disease (AD) (Braak and Braak, 1997; Khan et al., 2015; Saito and Murayama, 2007; Shim et al., 2017; West et al., 2004), but not in other forms of dementia (e.g., Dementia with Lewy Bodies) (Lietal., 2016; Maketal., 2016). Relative to 2017; Westetal., 2004), but not in other forms of dementia (e.g., De-Braak, 1997; Khanetal., 2015; Saito and Murayama, 2007; Shimetal., 2017; West et al., 2004), but not in other forms of dementia (e.g., Dementia with Lewy Bodies) (Li et al., 2016; Mak et al., 2016). Relative to controls: CA4/dentate gyrus volume is compromised in trauma and mentiaawithLewy Bodies)(Lietal., 2016; Maketal., 2016). Relativeto

2. Methods

2.1. Participants

The Institutional Review Boards of Stanford University and SRI International approved this study. Written informed consent was obtained from all participants in accordance with the Declaration of Helsinki by the signing of consent documents in the presence of staff. Subjects were 24 alcoholics (17 male/7 female) and 20 controls (13 male/7 female) ages 39–74 years (control 54.1 ± 9.3, AUD 53.7 ± 8.8). AUD participants were referred from local outpatient and treatment centers, or recruited during presentations in clinics by project staff and by distribution of flyers at community events. Healthy, control parti-

Table 1

| Characteristics of the study groups: mean ± SD/frequency count. |
|---------------------|---------------|----------------|
| Control (n = 20)    | AUD (n = 24)  | p-Value*      |
| N (men/women)       | 13/7          | 17/7          | .68        |
| Age (years)         | 54.1 ± 9.3    | 53.7 ± 8.8    | .90        |
| Handedness (Right/Left) | 19/1        | 20/4          | .23        |
| Ethnicitya (Caucasian/African American/Asian) | 8/5/7 | 14/9/1 | .03        |
| Body Mass Index     | 25.0 ± 3.6    | 28.9 ± 5.7    | .01        |
| Socioeconomic Statusb | 16.2 ± 2.3  | 13.0 ± 1.8    | .0001      |
| Beck Depression Index (BDI) | 5.2 ± 5.2  | 14.7 ± 10.0   | .001       |
| WTARIQ (predicted full scale) | 102.3 ± 11.2 | 96.3 ± 10.2   | .08        |
| AUD onset age       | ~              | 21.7 ± 6.6    | n.a.       |
| Days since last drink | ~            | 105.7 ± 94.5  | n.a.       |
| Lifetime alcohol consumption (kg) | 53.6 ± 81.2 | 179.8 ± 1638.0 | <.0001    |
| Alcohol consumption years | ~              | 32.0 ± 9.6    | n.a.       |
| CIWA score          | ~              | 23.2 ± 17.7   | n.a.       |
| Smoker (never/past/current) | 18/0/2    | 4/6/14        | .0001      |
| Nicotine (daily)    | 1.1 ± 3.3     | 4.6 ± 3.9     | .008       |

t-tests used on continuous variables (e.g., age); χ² tests on nominal variables (e.g., handedness).

a Self-defined.

b Lower score = higher status.

* Bold are statistically significant.
Laboratory evaluation identified 2 controls and 4 AUD subjects to be seropositive for hepatitis C virus (HCV) infection. DSM5-diagnosed past substance use disorders (SUD) among the AUD group with severity ranging from mild to severe included 1 women and 10 men for cannabis, 10 men for stimulants, 10 men for cocaine, 1 women and 5 men for opiates, and 2 men for hallucinogens.

Additional data collected for each participant included a blood draw and a comprehensive neuropsychological test battery. Blood samples (~40 cc) were collected and analyzed by Quest Diagnostics for complete blood count with differential, comprehensive metabolic panel, and human immunodeficiency virus (HIV) and hepatitis C (HCV) screening. Participants also completed a comprehensive neuropsychological battery to assess attention, memory and learning, visuospatial abilities, and executive functions. Raw test scores were statistically corrected for age on the control group [mean and standard deviation for control group = 0 ± 1], allowing averaging across tests. Composite scores were then calculated as the mean of all Z-scores of tests comprising each of the functional domains.

Attention:
- Wechsler Memory Scale-Revised (WMS-R) block forward total
- WMS-R block forward span

Memory and learning:
- Wechsler Adult Intelligence Scale (WAIS) digit symbol incidental recall of symbols
- WAIS digit symbol incidental recall of numbers
- California Verbal Learning Test (CVLT) short delay free recall
- CVLT long delay free recall
- Montreal Cognitive Assessment (MOCA) delayed recall
- WMS-R logical memory story A raw score
- WMS-R logical memory story B raw score

Visuospatial abilities:
- Rey-Osterrieth copy raw score
- WMS-R visual reproduction item 1 raw score
- WMS-R visual reproduction item 2 raw score

Executive functions:
- Controlled Oral Word Association Test (F + A + S total)
- Semantic fluency (inanimate objects, animals)
- WAIS digit symbol total time to complete set
- WAIS digit symbol standard score at 90s
- MOCA abstraction score

2.2. MRI acquisition and analysis

Scanning was performed on a GE MR750 system (General Electric Healthcare, Waukesha, WI) with a 32-channel Nova head coil. T1- and T2-weighted scans were collected. T1-weighted scans were cerebral spinal fluid (CSF)-nullified MPRAGE (3D spoiled gradient echo with an inversion pulse) with prospective motion correction (PROMO, repetition time (TR)/echo time (TE)/inversion time (TI)/time between inversions (TS) = 8 ms/3.5 ms/1100 ms/3.0 s, flip angle = 9, filed of view (FOV) = 18 cm, 200 x 200 matrix, 1 mm thickness, 210 slices). T2-weighted scans were 3D fast spin echo with variable refocusing flip angle (T2 Cube) and PROMO (TR/TE: 3500 ms/62 ms, echo train length 84, FOV = 18 cm, 224 x 224 matrix, 1 mm thickness, 210 slices).

T1- and T2-weighted images from each subject were first processed via an established pipeline (Pfefferbaum et al., 2018). The pipeline affinely aligned T2-weighted to the T1-weighted images via FLIRT epi_reg (FSL V5.0.6) (Jenkinson et al., 2002; Jenkinson and Smith, 2001) and then denoised, corrected for image inhomogeneity, performed skull stripping, and segmented both scans. Following initial analysis, the processed and aligned T1- and T2-weighted images were given as input, and hippocampal subfield segmentation was generated via the FreeSurfer 6.0 hippocampal subfields module (Iglesias et al., 2015). An estimate of supratentorial brain volume was computed using the SRI24 atlas (Rohlfing et al., 2008) in the same pipeline.

Hippocampal subfield analysis produced 12 subfields: parasubiculum; presubiculum; subiculum; Cornu Ammonis (CA) 1; CA3; CA4; GC-ML-DG (Granule Cell (GC) and Molecular Layer (ML) of the Dentate Gyrus (DG)); molecular layer of hippocampus; hippocampus-amygdala-transition-area (HATA); fimbria; hippocampal tail; and hippocampal fissure, for left and right hippocampi (Fig. 1).

![Fig. 1. Axial, coronal, and sagittal slices through the hippocampus demonstrating parcellation of a fully processed set of images from a 65 year-old control man. Subfields are color-coded.](image-url)
2.3. Statistical analysis

Demographic analysis used Chi-square tests for categorical variables and t-tests for continuous variables. Subregion analysis initially included a multiple regression for each volume by diagnosis, age, sex, hemisphere, and supratentorial volume (svol). This analysis showed significant effects of svol ($p < .04$) on nearly all structures (Supplementary Table 1). Thus, data were corrected for svol: each participant's data were entered into a linear regression (lm in R with subfield as a function of supratentorial volume (i.e., svol)); then, the average subfield volume for the whole data set was added to the lm residuals to preserve volume scale. This procedure essentially removed sex effects (women having smaller brains and smaller hippocampi than men). Subfield volumes corrected for svol were then entered into multiple regressions including diagnosis, age, sex, hemisphere, and diagnosis-by-age interaction (Table 2). Two-group t-tests evaluated differences in DSM5 scores, blood analytes, and behavioral performance. In the AUD group only, nonparametric Spearman's $\rho$ evaluated relations between volumes of hippocampal subfields and clinical and cognitive measures.

3. Results

As expected, the total lifetime alcohol consumption of the AUD group (1798.3 kg) was far greater than that of the controls (53.6 kg). Further, the AUD group had fewer years of education, lower socio-economic status, and lower scores on the Wechsler Test of Adult Reading (WTAR), which yields a full-scale premorbid IQ estimate, than controls (Table 1).

The AUD group performed worse than controls on all 4 neuropsychological composite scores: attention ($t = -2.5$, $p = .02$), learning and memory ($t = -4.7$, $p < .0001$), visuospatial abilities ($t = -5.2$, $p < .0001$), and executive functions ($t = -5.3$, $p < .0001$) (Fig. 2).

Fig. 3 presents scatter plots of each region by diagnosis to permit estimation of relative size of each left and right subfield. Fig. 4 presents diagnosis-by-age plots for each bilateral hippocampal subfield. Using a directional family-wise Bonferroni correction of $p \leq .008$, the multiple regression (i.e., diagnosis, age, sex, hemisphere, and diagnosis-by-age interaction) on svol-corrected hippocampal subfields demonstrated that fimbria was smaller ($p < .0001$) and the fissure was bigger ($p < .0001$) with older age; the fimbria showed nominal effects of sex ($p = .04$, smaller in men); and six subfields were smaller in AUD than healthy controls: subiculum ($p = .0003$), CA1 ($p = .004$), CA4 ($p = .008$), GCM-ML-DG ($p = .0007$), HATA ($p = .006$), and fimbria ($p = .0001$). CA2+3 showed diagnosis-by-age interactions ($p = .03$) such that older AUD participants had a smaller volume than would be expected for their age.

Although evaluated, there were no significant correlations between volumes of hippocampal subregions and any of the demographic, alcohol-related, SUD-related, or behavioral variables distinguishing the two groups (including HCV status, BDI scores, CIWA scores, number of alcohol-related seizures, etc.). Supplementary Fig. 1 shows preliminary evidence that hippocampal subfields (i.e., fimbria and fissure) are selectively compromised by AUD-related seizures. In 4 alcoholics that reported AUD-related seizures, the hippocampal fimbria tended to be smaller ($p = .12$), and the hippocampal fissure (a CSF-filled space) was larger ($p = .02$) than in alcoholics reporting to seizures. Supplementary Fig. 2 suggests that in alcoholics, better performance on the WMS-r visual recognition 2 raw score is associated with a larger hippocampal fimbria volume ($p = .09$).

![Fig. 2. Scatterplots of control (white circles) and AUD (black square) performance on neuropsychological composite scores; mean and SD indicated by lines.](image-url)
4. Discussion

This study identified hippocampal subfields sensitive to age (fimbria and fissure), AUD (CA1, CA4, GC-ML-DG, HATA, and fimbria), and their interaction (CA2+3). Older age was associated with a smaller fimbria and a larger fissure. Although a number of studies provide evidence for hippocampal subfield volume compromise with older age, results are inconsistent (Daugherty et al., 2016; Kurth et al., 2017; Malykhin et al., 2017; Zheng et al., 2019). Reports include associations between older age and smaller volumes of subiculum and dentate gyrus (Malykhin et al., 2017); cornu ammonis, subiculum, and HATA, but not entorhinal cortex (Kurth et al., 2017); and all subfields, but not parasubiculum (Zheng et al., 2018). Such disparate observations suggest that more work is required in this area to permit differentiation between normal and pathological aging.

The fimbria showed nominal effects of sex (i.e., not statistically significant): despite svol correction, men had smaller volumes than women. Hippocampal subfields are influenced by both age and sex during early childhood (Riggins et al., 2018). In a study including individuals aged 4–22 years, subfield volumes CA2/3, CA4/DG, presubiculum, subiculum, and CA1 (but not fimbria and hippocampal fissure) were bigger in boys than girls, driven by participants under 13 years of age (Krogsrud et al., 2014). In a similar study of individuals aged 8–26 years, no sex differences were found in hippocampal subregion volumes (Tannnes et al., 2018). As with the aging findings, research using consistent methods for hippocampal segmentation might help resolve sexually dimorphic differences in hippocampal subfield volumes.

Whole hippocampus volume deficits in AUD men and women have now been reported by a number of neuroimaging groups (e.g., Beresford et al., 2006; De Bellis et al., 2000; Durazzo et al., 2011; Le Berre et al., 2014; Makris et al., 2008). In the current study, CA1, CA4, GC-ML-DG, HATA, and fimbria were smaller in AUD relative to controls, and CA2+3 showed an age-by-alcoholism interaction. Hippocampal subfield vulnerability in AUD has previously been supported by a controlled, longitudinal study of alcoholics soon after withdrawal and then again after 2 weeks of sobriety (Kuhn et al., 2014). Significant volume deficits specific to CA2+3 observed at the initial MRI resolved to control levels 2 weeks later. Greater CA2+3 volume deficits were related to more severe withdrawal symptoms and duration of drinking. Although both the Kuhn study and the current results highlight the relevance of CA2+3 to chronic alcoholism, the time points of evaluation and the results are in fact different: whereas Kuhn et al., 2014 report reversibility of the volume deficit with 2 weeks of abstinence, the alcoholics included in the current study were sober for a minimum of 3 months (i.e., 105.7 ± 94.5 days since last drink). It is important to note that the statistics used in the two studies were different: the Kuhn et al., 2014 study was longitudinal and demonstrated a group-by-time interaction for the CA2+3 subfield, while the present results show a group-by-age interaction. Alternatively, the discrepancy might be due to the limited accuracy of hippocampal segmentation in the Kuhn et al., 2014 study due to low, 1mm³ spatial resolution of their acquired images and the use of Freesurfer 5.2 for hippocampal segmentation, which had several limitations (e.g., poor resolution of atlas images, poor translation with histological studies Iglesias et al., 2015; Mueller et al., 2018; Schoene-Bake et al., 2014).

The only other in vivo hippocampal subfield analysis, conducted in AUD participants abstinent from alcohol for 3–60 months, was cross-sectional and found significant volume deficits in AUD relative to controls in the left and right subiculum, left presubiculum, and fimbria in addition to the whole hippocampus (Lee et al., 2016). Consistent with the latter study, the current results demonstrate that several hippocampal subregions including subiculum and fimbria were smaller in AUD than controls.

A postmortem study reporting hippocampal volume deficits without neuronal loss implicated hippocampal white matter as the target of alcoholism (Harding et al., 1997). Other factors potentially contributing to alcoholism-related hippocampal volume reductions are glial cell loss (Korbo, 1999), synaptic loss (Freund and Ballinger, 1991), or impeded incorporation of newly formed neurons (He et al., 2005; Le Maitre et al., 2018; Nixon and Crews, 2004). However, considering the report of substantial hippocampal volume recovery within 2 weeks of...
Fig. 4. Age-by-diagnosis plots of each svol-corrected and left + right summed hippocampal subfield for controls (white circles) and AUD participants (black squares).
abstinence (cf., Kuhn et al., 2014) and other support for considerable brain recovery in sobriety (Sullivan and Pfefferbaum, 2005), tissue volume expansion during abstinence from alcoholism is unlikely due to neuronal regeneration (cf., Rakic, 2002).

In contrast to the reported correlation between smaller CA2+3 and duration of regular alcohol consumption (Kuhn et al., 2014), the present study revealed no correlations between hippocampal subregion volumes and demographic, alcohol-related, or neuropsychological performance variables (cf., Lee et al., 2016). Indeed, none of the quantified volumes significantly correlated with relevant measures. This is likely due to the small sample size of the current study. In 5035 dementia- and stroke-free persons from the Rotterdam Study, aged over 45 years, smaller volumes of the fimbria, presubiculum, and subiculum showed strong associations with poor performance on several cognitive domains, including executive but excluding memory functions (Evans et al., 2018). These results are encouraging as preliminary evidence from this study (i.e., Supplementary Figs 1 and 2) suggests that the fimbria is sensitive to AUD-related seizures and visual recognition (i.e., but not memory).

5. Conclusions

To summarize, the present results provide initial evidence of hippocampal subfields sensitive to age, alcoholism, and their interaction and suggest that CA2+3 can potentially distinguish AUD from AD. A larger sample studied longitudinally may help reveal functions related to hippocampal subfield volumes.

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Declarations of interest

None.

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