THE SPECTRAL EVOLUTION OF CONVECTIVE MIXING WHITE DWARFS,
THE NON-DA GAP, AND WHITE DWARF COSMOCHRONOLOGY

Eugene Y. Chen and Brad M. S. Hansen

1 Department of Astronomy, The University of Texas at Austin, Austin, TX 78712, USA; eyc@mail.utexas.edu
2 Department of Physics and Astronomy, University of California Los Angeles, Los Angeles, CA 90024, USA; hansen@astro.ucla.edu

Received 2012 February 20; accepted 2012 May 15; published 2012 June 15

ABSTRACT

The spectral distribution of field white dwarfs shows a feature called the “non-DA gap.” As defined by Bergeron et al., this is a temperature range (5100–6100 K) where relatively few non-DA stars are found, even though such stars are abundant on either side of the gap. It is usually viewed as an indication that a significant fraction of white dwarfs switch their atmospheric compositions back and forth between hydrogen-rich and helium-rich as they cool. In this Letter, we present a Monte Carlo model of the Galactic disk white dwarf population, based on the spectral evolution model of Chen and Hansen. We find that the non-DA gap emerges naturally, even though our model only allows white dwarf atmospheres to evolve monotonically from hydrogen-rich to helium-rich through convective mixing. We conclude by discussing the effects of convective mixing on the white dwarf luminosity function and the use thereof for Cosmochronology.

Key words: Galaxy: evolution – methods: numerical – white dwarfs

1. THE NON-DA GAP AS A COMPLICATION OF WD COSMOCHRONOLOGY

White dwarfs (WDs) are the endpoint of stellar evolution for low-mass stars. Their spectra can be divided into two broad categories: DA stars (∼75%), whose atmospheric composition is observed to be hydrogen dominated, and non-DA stars (∼25%), whose atmospheric composition is helium-dominated. The evolution of WDs is mainly driven by the cooling of the degenerate core. As a result, the effective temperature ($T_{\text{eff}}$), or equivalently, the luminosity of a WD, can serve as a cosmic clock, indicating the time that has passed since its formation (Fontaine et al. 2001). Analytical and numerical models of WD cooling have been made (e.g., Mestel 1952; Lamb & van Horn 1975; Wood 1992; Althaus & Benvenuto 1997; Hansen 1999; Chabrier et al. 2000; Salaris et al. 2000; García-Berro et al. 2008; Renedo et al. 2010), allowing the use of the white dwarf luminosity function (WDLF) to derive the age of the Galactic disk (Liebert et al. 1988; Winget et al. 1987; Wood 1992) and other stellar populations (Hansen et al. 2002, 2007; Bedin et al. 2005, 2009). The practice is known as WD Cosmochronology (Winget et al. 1987).

However, there are still many complications that impede WD Cosmochronology. Among them are the observations of Bergeron et al. (1997, 2001). In their work, photometric and spectroscopic observations of 152 cool ($4000 \, K < T_{\text{eff}} < 12,000 \, K$) WDs that belong to the local Galactic disk are analyzed with state-of-the-art model atmospheres of pure hydrogen, pure helium, and H/He mixtures. It was shown that the ratio of observed helium-rich stars to hydrogen-rich stars is greatly reduced in the temperature range 5100–6100 K and enhanced both above and below this temperature range (see Figure 1). This temperature range was consequently termed the “non-DA gap” to indicate that few non-DA stars are found within. Furthermore, some so-called “peculiar stars”, whose spectral distributions are better reproduced with hydrogen model atmospheres but which show no $H_\alpha$ lines, are found in the temperature range ∼6000 K to ∼8500 K. The results seemed to portray the following picture of WD spectral evolution as it cools from $T_{\text{eff}} = 12,000 \, K$ to $T_{\text{eff}} = 4000 \, K$. First, a significant fraction of the DA stars (which are the majority of all WDs) switch their atmospheric composition from hydrogen-rich to helium-rich in the temperature range 12,000–8500 K, causing a steady increase of non-DA ratio (with respect to the decrease of $T_{\text{eff}}$). Later, some helium stars start to evolve into peculiar stars (at ∼8500 K), and then into hydrogen-rich stars (at ∼6100 K). The stars remain hydrogen-rich in the “non-DA gap” (6100–5100 K) and transform into helium-rich WDs again at ∼5100 K. The observations introduced an uncertainty in WD Cosmochronology because atmospheric composition significantly affects cooling rate at lower temperatures (e.g., Hansen 1999), yet, all of the previous works on theoretical WDLF were done with only models of fixed atmospheric composition.

Bergeron et al. (1997) proposed an accretion–convective mixing$^5$ model to account for the observations. In their model, hydrogen-rich interstellar medium is first accreted onto the surface of a helium WD at temperatures higher than 6100 K without being spectroscopically visible due to the quenching effects of the high photospheric pressure that characterizes these atmospheres. Such a WD will appear as a peculiar star, until the hydrogen concentration reaches the level at which H$^-$ starts to recombine. At this point, a runaway process will occur and turn the star into a DA star at ∼6100 K. The spectral type of the star remains DA as it cools from 6100 K to 5100 K. Finally, at 5100 K, it is transformed into a helium-rich star again through convective mixing. However, this proposal was later rejected by Malo et al. (1999) as they claim no models in their grids were found to have $H_\alpha$ lines quenched while retaining the spectral energy distribution of a pure hydrogen atmosphere.

An alternative explanation was given in Hansen (1999), where the non-DA gap was suggested to be the consequence of the different cooling rates of hydrogen-rich and helium-rich WDs. However, it was later pointed out in Bergeron et al. (2001) that such a proposal cannot explain the enhancement of the non-DA ratio at temperatures above the blue edge of the gap. As a consequence, there is still no convincing explanation for the non-DA gap and its properties.

---

$^5$ We refer the reader to Section 2 for a review of convective mixing.
It has been established that elemental diffusion is efficient in the WD atmosphere (Muchmore 1984). In the absence of other mechanisms, a WD with only small amounts of hydrogen therefore possesses a photosphere close to pure hydrogen. The leading mechanism to transform a hydrogen-rich (photosphere) WD to a helium-rich WD is through a process called convective mixing, first proposed by Shipman (1972). The idea is as follows. The surface convection zone of a hydrogen WD grows as $T_{\text{eff}}$ decreases. For WDs with an intermediate amount of hydrogen (i.e., the hydrogen layer is optically thick but less massive than $\sim 10^{-6} M_\odot$), the convection zone will eventually reach the underlying helium layer. The helium will thus be brought to the surface through convective motion, changing the photospheric composition.

This mechanism has been investigated extensively, and a number of outcomes have been proposed. Shipman (1972) and Baglin & Vauclair (1973) suggested that a temperature increase accompanies convective mixing. However, the evolution model of Koester (1976) appears to show no such behavior. Besides, while most groups conjectured (based on the size of convection zones in pure hydrogen and helium WDs) that the composition of the resultant photosphere would be close to pure helium, Bergeron et al. (1990), based on observational results, suggested that the convective mixing might result in a H/He mixture whose opacity is still dominated by hydrogen. The work of Chen & Hansen (2011) succeeded in unifying all of the suggested outcome into one framework. Using WD mass ($M_{\text{WD}}$) and total hydrogen mass ($m_H$) as the input parameters, a set of self-consistent, quantitative cooling curves and chemical evolution curves were derived (see, e.g., Figure 2). It was concluded that the temperature increase which accompanies convective mixing only occurs when the post-mixing envelope is convectively coupled to the degenerate core, and that the post-mixing photosphere hydrogen fraction ($X_{\text{surf}}$) is a function of both $M_{\text{WD}}$ and $m_H$. It has been shown that massive WDs with a higher amount of hydrogen are more likely to stay hydrogen-rich after convective mixing.

The convective mixing mechanism has also been deployed in the aforementioned model of Bergeron et al. (1997), in which the authors seemed to implicitly assume no temperature increase and a post-mixing photosphere which can be treated as pure helium. Based on the results of Chen & Hansen (2011), these assumptions require some modifications. The $T_{\text{eff}}$ should increase upon mixing because the WD envelopes are already convectively coupled to the degenerate core. Another feature of the convective mixing WDs that Bergeron et al. did not fully exploit is their faster, post-mixing cooling rate (cf. Figure 2).

In the rest of this Letter, we will demonstrate that the non-DA gap naturally emerges from the improved treatment of convective mixing, without additional mechanisms. In order to do this, we will construct a model realization of the Galactic disk WD population based on the recent determinations of Galactic parameters.

2. CONVECTIVE MIXING AS A MECHANISM OF SPECTRAL EVOLUTION

Figure 1. Simplified version of Figure 21 of Bergeron et al. (2001). Filled circles: H-rich stars, hollow circles: He-rich stars, triangles: peculiar stars. The main atmospheric composition is taken from their Table 2. Complications such as DQ stars, C/H stars, etc., are not presented.

Figure 2. Example of the cooling curves and chemical evolution curves of $0.6 M_\odot$ WDs in Chen & Hansen (2011, Figures 11 and 15, © 2011 RAS). Upper: the cooling curve of the convective mixing WD with $m_H = 10^{-8} M_\odot$ (solid line), that of the thick hydrogen layer WD (dashed line), and that of the pure helium WD (dash-dotted line). Lower: chemical evolution curve of the convective mixing WD. In the other two WDs, no chemical evolution takes place.

3. A MONTE CARLO REALIZATION OF THE GALACTIC WHITE DWARF POPULATION

In this section, we will describe the construction of a Monte Carlo sample of Galactic WDs which includes the treatment of convective mixing of Chen & Hansen (2011). The purpose is to see if this inclusion could account for the non-DA gap of Bergeron et al. (1997, 2001). Specifically, the non-DA to total WD ratio as a function of $T_{\text{eff}}$ will be calculated at a specific Galactic disk age $t_d$. We will also compare the WDLF synthesized from this sample to some other theoretical and observational WDLFs and discuss the cosmological implications.
The Monte Carlo sample is defined by an array (~10^6 entries, one entry per star) that specifies the physical properties of each WD (or its progenitor, if the star is too young to have evolved). Two random numbers, stellar formation time (t_f) and main-sequence stellar mass (M_{MS}), are drawn for each star. As an approximation, we take the star formation rate of our Galaxy to be constant and generate t_f to be uniformly distributed between 0 and t_f. M_{MS} is generated to conform with the initial mass function of Kroupa (2001) with an upper limit of 8 M_☉ (stars with masses > 8 M_☉ are not statistically important). A pre-WD lifespan t_{MS} is assigned to each star based on its M_{MS}, following the formula described in Hurley et al. (2000). A comparison between t_f and (t_f + t_{MS}) is then made: If t_f < (t_f + t_{MS}), the star is not yet a WD and is discarded; if t_f > (t_f + t_{MS}), we further calculate M_{WD} using the relation established by Zhao et al. (2012). We define the difference between the two quantities as the cooling age t_c, i.e., t_c ≡ t_f - t_f - t_{MS}. All physical quantities of a WD can be derived once M_{WD}, t_c, and a WD evolution model grid are specified. Three WD evolution grids are available in this work: the pure helium WD models with m_H being equal to zero, the thin hydrogen layer WD models with m_H = 10^{-9} M_☉, and the thick hydrogen layer WD models with a hydrogen fractional mass q(H) = m_H/M_{WD} = 10^{-4}. All WDs in our sample have a helium fractional mass q(He) = 10^{-2}. The pure helium WD models and thick hydrogen layer WD models are described in Hansen (1999), and the thin hydrogen layer WD models are described in Chen & Hansen (2011). The m_H value we chose for the thin hydrogen layer stars, 10^{-9} M_☉, is a value consistent with asteroseismology studies. The hydrogen fractional mass we chose for the thick hydrogen layer stars and the helium fractional mass we chose for all WDs are the maximum values that can survive the pre-WD evolutionary phases and are considered standard in thick-envelope models (e.g., Michaud & Fontaine 1984; D’Antona & Mazzitelli 1990; Fontaine et al. 2001). It is a simplification to account for all WDs with only the three mentioned model grids. In any real WD sample, either m_H or q(H) should more or less follow a continuous distribution. The m_H or q(H) values we adopt in our work can be viewed as a set of values that characterizes such a distribution.

In the following paragraphs, we show the results of one of our simulations with t_f = 9.5 Gyr. We assume that 15% of the WDs are pure helium WDs, 32% of the WDs are thin hydrogen layer WDs, and 53% of the WDs are thick hydrogen layer WDs. The choice of disk age, t_d = 9.5 Gyr, is consistent with Leggett et al. (1998). The WD-type fractions are chosen to match the spectral type distribution from observations (cf. Figure 4). However, it should be kept in mind that our main purpose is to show the qualitative consistency between our model and observations. No attempts were made to accurately fit for either the Galactic age or the percentage of convective mixing WDs.

Figure 3 shows the distribution of a representative Monte Carlo WD population in the T_eff–M_{WD} space and T_eff–X_{surf} space. We have reduced the number of stars in the sample to avoid the plot being overcongested (we used the full amount of numerical stars in making Figures 4 and 5 and confirmed that the results have already converged with respect to sample size). Following Malo et al. (1999), WDs with X_{surf} < 10^{-3} are classified as helium-rich stars and those with X_{surf} > 10^{-2} are classified as hydrogen-rich stars. Interestingly, a small population of intermediate X_{surf} stars (10^{-3} < X_{surf} < 10^{-2}) are found to cluster around ~8500 K to ~6000 K, which is...
We would still be on the main-sequence track, according to our dotted line, together with observational WDLFs from Liebert et al. (1988; Upper: theoretical CM-WDLF (solid line) and TH-WDLF (dashed line), together with observational WDLFs from Liebert et al. (1988; filled circle) and Leggett et al. (1998; hollow circle). Lower: same theoretical construction compared with observational WDLF from SDSS (Harris et al. 2006).

Two sets of observational data are plotted in Figure 4 in comparison with our numerical results (solid line). The two dotted lines are the upper and lower limits of the non-DA to total WD ratio derived from Figure 11 of Tremblay & Bergeron (2008). The filled circles with error bars are compiled by us from the results of Leggett et al. (1998): instead of binning their WD sample (which is an updated determination of the 43 faintest WDs presented in Liebert et al. 1988) in luminosity, we binned the sample (with \(V_{\text{max}}\) correction) in \(T_{\text{eff}}\). The binning was done for the DA (hydrogen-rich) stars and the non-DA (helium-rich) stars, respectively. In each bin, we calculate the upper limit of the non-DA to total WD ratio by dividing the upper limit of the non-DA density to the lower limit of total WD density (while fixing the non-DA density at its upper limit), and the lower limit of the ratio by dividing the lower limit of the non-DA density to the upper limit of total WD density (while fixing the non-DA density at its lower limit). All of the results are plotted in Figure 4 except the one corresponding to the bin 8000–9000 K, where the result and statistical error are of the same size (because there is only one star in the sample). Our numerical results (solid line) are generated by directly dividing the number of non-DAs to the number of all WDs in each bin (1000 K in size) of our Monte Carlo sample. We can see that a non-DA gap naturally emerges in the range 5000–6000 K as a consequence of convective mixing and the resulting faster cooling rates of post-mixing WDs.

In Figure 5, we examine how this qualitative change in the evolution of individual stars is reflected in the luminosity function of the population as a whole. We compile the WDLF from our Monte Carlo sample which includes convective mixing WDs (CM-WDLF hereafter, solid line), together with that from a sample composed solely of thick hydrogen layer WDs (TH-WDLF hereafter, dash-dotted line) and compare to three different observational WDLFs. The three WDLFs are Liebert et al. (1988, LDM hereafter, for the DA (hydrogen-rich) stars and the non-DA (helium-rich) stars, respectively. In each bin, we calculate the upper limit of the non-DA to total WD ratio derived from Figure 11 of Tremblay & Bergeron (2008). The filled circles with error bars are compiled by us from the results of Leggett et al. (1998): instead of binning their WD sample (which is an updated determination of the 43 faintest WDs presented in Liebert et al. 1988) in luminosity, we binned the sample (with \(V_{\text{max}}\) correction) in \(T_{\text{eff}}\). The binning was done for the DA (hydrogen-rich) stars and the non-DA (helium-rich) stars, respectively. In each bin, we calculate the upper limit of the non-DA to total WD ratio by dividing the upper limit of the non-DA density to the lower limit of total WD density (while fixing the non-DA density at its upper limit), and the lower limit of the ratio by dividing the lower limit of the non-DA density to the upper limit of total WD density (while fixing the non-DA density at its lower limit). All of the results are plotted in Figure 4 except the one corresponding to the bin 8000–9000 K, where the result and statistical error are of the same size (because there is only one star in the sample). Our numerical results (solid line) are generated by directly dividing the number of non-DAs to the number of all WDs in each \(T_{\text{eff}}\) bin (1000 K in size) of our Monte Carlo sample. We can see that a non-DA gap naturally emerges in the range 5000–6000 K as a consequence of convective mixing and the resulting faster cooling rates of post-mixing WDs.

While the non-DA gap is qualitatively apparent in Bergeron et al. (2001), the most up-to-date and quantitative observational data on the non-DA ratio of cold WDs is in the works of Tremblay & Bergeron (2008) and Leggett et al. (1998). In Figure 5, we will directly compare our numerical results with these observations. The data in Bergeron et al. (2001) have a larger sample size; however, detectability information, e.g., the \(V_{\text{max}}\) correction (Schmidt 1975), is absent. Therefore, a quantitative comparison with our work cannot be made at this point.

The CM-WDLF starts to deviate from the TH-WDLF below \(L = 10^{-3}L_\odot\). The value of the CM-WDLF is larger than that of the TH-WDLF between \(L = 10^{-3}L_\odot\) and \(L = 10^{-3.5}L_\odot\) due to the temperature increase that accompanies convective mixing. Below \(L = 10^{-3.5}L_\odot\), however, the situation inverts, because the convective mixing WDs (and the pure helium WDs) have faster cooling rates, resulting in a flatter, less peaked WDLF. The faster cooling rates also enable the WDLF to extend to a fainter luminosity. The observations from LDM and LRB are not sufficiently densely sampled to distinguish between the two different theoretical shapes, but the SDSS sample may have that capability once its properties are more accurately determined (e.g., when the parallax of each star are obtained to determine the distances; currently, the SDSS WDLF is derived...
with photometric distances and an idealized assumption of $\log g = 8.0$ for all WDs is made).

### 4. CONCLUSIONS AND DISCUSSIONS

We have demonstrated that the non-DA gap can be reproduced in a population model by exploiting the spectral evolution model investigated in Chen & Hansen (2011). The non-DA gap can be formed by the combined effects of convective mixing and the higher cooling rates of the post-mixing WDs. An evolution model that transforms DC stars into DA stars, e.g., the one outlined in Bergeron et al. (1997), is not needed to produce the non-DA gap.

Spectral evolution is important to WD Cosmochronology because the cooling rate of a WD is significantly affected by the atmospheric conditions (cf. Figure 2). The rather extended faint tail of the SDSS WDLF could be indicative of the problems faced by theoretical WDLFs made with only thick hydrogen layer WDs.

Convective mixing, the non-DA gap, and WD Cosmochronology are closely related, in that advances in each topic will bring new insight to the others. While this study has demonstrated the relation between them and established the consistency within the available observational results, works on each front, both theoretically and observationally, are not complete. To that end, a spectroscopically confirmed WD sample larger than that of LDM/LRB is needed. Although the sample from SDSS represents an important advancement, it suffers from selection effects and halo WD contamination. The results from future WD surveys will tell us more about the history of our Galaxy.

The authors acknowledge D. E. Winget, M. H. Montgomery, S. O. Kepler, and J. Adamczak for discussion and helpful comments.

### REFERENCES

Althaus, L. G., & Benvenuto, O. G. 1997, *ApJ*, 477, 313
Baglin, A., & Vauclair, G. 1973, *A&A*, 27, 307
Bedin, L. R., Salaris, M., Piotto, G., et al. 2005, *ApJ*, 624, L45
Bedin, L. R., Salaris, M., Piotto, G., et al. 2009, *ApJ*, 697, 965
Bergeron, P., Leggett, S. K., & Ruiz, M. T. 2001, *ApJS*, 133, 413
Bergeron, P., Ruiz, M. T., & Leggett, S. K. 1997, *ApJS*, 108, 339
Bergeron, P., Wesemael, F., Fontaine, G., & Liebert, J. 1999, *ApJ*, 531, L21
Chabrier, G., Brassard, P., Fontaine, G., & Saumon, D. 2000, *ApJ*, 543, 216
Chen, E. Y., & Hansen, B. M. S. 2011, *MNRAS*, 413, 2827
D’Antona, F., & Mazzitelli, I. 1990, *ARA&A*, 28, 139
Fontaine, G., Brassard, P., & Bergeron, P. 2001, *PASP*, 113, 409
García-Berro, E., Althaus, L. G., Córsko, A. H., & Isern, J. 2008, *ApJ*, 677, 473
Hansen, B. M. S. 1999, *ApJ*, 520, 680
Hansen, B. M. S., Anderson, J., Brewer, J., et al. 2007, *ApJ*, 671, 380
Hansen, B. M. S., Brewer, J., Fahlman, G. G., et al. 2002, *ApJ*, 574, L155
Harris, H. C., Munn, J. A., Kilic, M., et al. 2006, *AJ*, 131, 571
Hurley, J. R., Pols, O. R., & Tout, C. A. 2000, *MNRAS*, 315, 543
Koester, D. 1976, *A&A*, 52, 415
Kroupa, P. 2001, *MNRAS*, 322, 231
Lamb, D. Q., & van Horn, H. M. 1975, *ApJ*, 200, 306
Leggett, S. K., Ruiz, M. T., & Bergeron, P. 1998, *ApJ*, 497, 294
Liebert, J., Dahn, C. C., & Monet, D. G. 1988, *ApJ*, 332, 891
Malo, A., Wesemael, F., & Bergeron, P. 1999, *ApJ*, 517, 901
Mestel, L. 1952, *MNRAS*, 112, 583
Michaud, G., & Fontaine, G. 1984, *ApJ*, 283, 787
Muchmore, D. 1984, *ApJ*, 278, 769
Renedo, I., Althaus, L. G., Miller Bertolami, M. M., et al. 2010, *ApJ*, 717, 183
Salaris, M., García-Berro, E., Hernanz, M., Isern, J., & Saumon, D. 2000, *ApJ*, 544, 1036
Schmidt, M. 1975, *ApJ*, 202, 22
Shipman, H. L. 1972, *ApJ*, 177, 723
Tremblay, P. E., & Bergeron, P. 2008, *ApJ*, 672, 1144
Winget, D. E., Hansen, C. J., Liebert, J., et al. 1987, *ApJ*, 315, L77
Wood, M. A. 1992, *ApJ*, 386, 539
Zhao, J. K., Oswalt, T. D., Willson, L. A., Wang, Q., & Zhao, G. 2012, *ApJ*, 746, 144