Estimating Reflectance Layer from a Single Image: Integrating Reflectance Guidance and Shadow/Specular Aware Learning

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Abstract

Estimating the reflectance layer from a single image is a challenging task. It becomes more challenging when the input image contains shadows or specular highlights, which often render an inaccurate estimate of the reflectance layer. Therefore, we propose a two-stage learning method, including reflectance guidance and a Shadow/Specular-Aware (S-Aware) network to tackle the problem. In the first stage, an initial reflectance layer free from shadows and specularities is obtained with the constraint of novel losses that are guided by prior-based shadow-free and specular-free images. To further enforce the reflectance layer to be independent of shadows and specularities in the second-stage refinement, we introduce an S-Aware network that distinguishes the reflectance image from the input image. Our network employs a classifier to categorize shadow/shadow-free, specular/specular-free classes, enabling the activation features to function as attention maps that focus on shadow/specular regions. Our quantitative and qualitative evaluations show that our method outperforms the state-of-the-art methods in the reflectance layer estimation that is free from shadows and specularities. Code is at: https://github.com/jinyeying/S-Aware-network.

Introduction

Reflectance layer estimation is a fundamental task in computer vision. It is a part of intrinsic image decomposition, which decomposes an input image into the reflectance layer and the shading layer (Barrow et al. 1978). The reflectance layer provides useful information for real-world applications, e.g., surface retexturing (Garces et al. 2012), relighting (Liu et al. 2020a), object compositing (Bi, Han, and Yu 2015). Unfortunately, reflectance layer estimation from a single image is an inherently ill-posed problem.

Several methods have been proposed to deal with the problem. Non-deep learning methods, e.g., (Bell, Bala, and Snavely 2014) impose priors on the estimated reflectance layer. Supervised learning methods, e.g., (Das, Karaoglu, and Gevers 2022), while effective, still suffer from problems, particularly those related to shadows and specularities. Some existing unsupervised learning methods learn from time-lapse data (Li and Snavely 2018b; Liu et al. 2020a), multi-view data (Yi, Tan, and Lin 2020) or sets of unpaired images (Liu et al. 2020b).

Among these methods, only few consider shadows (Baslamisli et al. 2021a,b) and specular highlights (Yi, Tan, and Lin 2020). However, they suffer from a few drawbacks. First, most of them are trained only on synthesized datasets, and thus their accuracy depends heavily on the quality of the synthesized data. Second, while these methods work well in some cases, they tend to fail in handling large shadow or specular regions, as shown in Fig. 1. Third, most of these methods assume that reflectance changes cause abrupt image intensity changes, while shading changes cause smooth image intensity changes (Land and McCann 1971). However, shadows, which should belong to the shading layer, can also cause abrupt intensity changes in the real world, which goes against this assumption.

In this paper, our goal is to decompose a diffuse reflectance layer that is free from shadows and specularities. To achieve this, we introduce a two-stage network based on reflectance guidance and a Shadow/Specular-Aware (S-Aware) network. The first stage is to obtain an initial diffuse reflectance layer free from shadows and specularities. To achieve this, we introduce novel losses constrained by prior-based shadow-free (Finlayson, Drew, and Lu 2004) and specular-free images (Tan and Ikeuchi 2005).
Once the initial reflectance layer is obtained, we feed it into the S-Aware network, which identifies shadow/specular regions by modulating the activation features as attention maps. To enable the S-Aware network to self-learn the shadow/specular regions, a Shadow/Specularity Classifier (S-Classifier) is employed to distinguish the first-stage estimated reflectance layer from the input image. Specifically, by distinguishing shadows from shadow-free or specularities from specular-free, the activation features form shadow/specular attention. Our attention mechanism modulates the activation weights with encoded features that capture spatially varying regions. Once our network can focus on shadow/specular regions, it can refine the reflectance layer to be free from shadows and specularities. In summary, here are our contributions:

- To the best of our knowledge, our method is the first single-image diffuse reflectance layer estimation network that performs robustly even in the presence of shadows and specularities. This differs from existing intrinsic image decomposition methods, which tend to fail to remove shadows and specularities from the reflectance layer.
- We introduce a reflectance guidance framework that provides reliable guidance for our network to learn the reflectance layer. Our novel losses constrain the reflectance layer free from shadows and specularities, based on prior-based shadow-free and specular-free images.
- We propose an S-Aware network to modulate activation features as shadow/specular attention. Our network automatically learns to focus on these regions, since our S-Classifier distinguishes shadows from shadow-free or specularities from specular-free.

Our quantitative and qualitative evaluations show that our method outperforms the state-of-the-art methods in various datasets for suppressing shadows and specularities in the reflectance layer, including: 4 intrinsic datasets, 2 shadow datasets and 1 specular dataset.

**Related Work**

**Reflectance Layer Estimation** The Retinex algorithm (Land and McCann 1971) assumes reflectance changes cause large gradients, while shading variation corresponds to small gradients. Subsequently, various priors, e.g., sparse reflectance, reflectance colors, textures, depth are utilized to regularize the reflectance layer. However, with only hand-crafted constraints, these methods are not adaptive enough, and scene-specific parameters are hard to cover real-world shadows and specularities. Moreover, the assumption that shading changes cause smooth image intensity changes is not likely to cover the large shading change, such as shadow regions.

In recent years, many deep-learning-based methods have been introduced (Garces et al. 2022), and most of them adopt supervised learning (Narihira, Maire, and Yu 2015; Baslamisli, Le, and Gevers 2018; Fan et al. 2018; Das, Karaoglu, and Gevers 2022). The challenge of applying learning-based methods is the lack of a variety of real images with ground truth. Synthetic datasets e.g., (Butler et al. 2012; Shi et al. 2017; Li and Snavely 2018a; Baslamisli et al. 2021a; Han et al. 2022) highly depend on the quality of the rendering techniques and 3D models. Poor rendering quality will make the network fail to handle real images in the testing stage, due to the gaps between the synthetic and real image domains.

Real image datasets e.g., (Grosse et al. 2009; Bell, Bala, and Snavely 2014; Kovacs et al. 2017a) are either too limited, lack diversity, or have highly sparse annotations. To address the limitations of supervised learning methods, a few unsupervised learning methods are proposed. They mainly focus on images with static reflectance and varied illumination (Lettry, Vanhoey, and Van Gool 2018; Ma et al. 2018; Li and Snavely 2018b; Liu et al. 2020a). Unlike multi-image approaches, unpaired translation (Liu et al. 2020b) and internal self-similarity (Zhang et al. 2021) provide more solutions. However, most existing methods have artefacts in the reflectance layer, particularly on the shadow and specular regions. Unlike existing methods, our network estimates the reflectance layer that is free from shadows and specularities.

**Shadow and Specularity Removal** A few intrinsic image decomposition methods (Baslamisli et al. 2021b,a; Zhang et al. 2021) attempt to address shadows, and other methods (Shi et al. 2017; Yi, Tan, and Lin 2020; Li et al. 2020) attempt to solve specular highlights separation using a large-scale synthetic data in training. However, the accuracy of the rendering limits the performance of the methods on the real-world shadows and specularities.

Note that, there are a few shadow/specularity removal methods that do not decompose the input image to the intrinsic layers, such as (Kawakami, Ikeuchi, and Tan 2005; Jin, Sharma, and Tan 2021; Guo et al. 2023; Jin et al. 2022b) for shadow removal, and (Tan, Nishino, and Ikeuchi 2003; Tan and Ikeuchi 2005; Yang, Wang, and Ahuja 2010; Shen and Zheng 2013; Guo, Zhou, and Wang 2018) for specularity removal. While these methods work to some extent, the problems of shadow/specular removal are still open problems.

**Proposed Method**

Fig. 2 shows our pipeline to estimate the reflectance layer that is free from shadows/specularities. First, we obtain the initial reflectance layer by employing reflectance guidance, and then refine the reflectance layer using the Shadow/Specular-Aware (S-Aware) network.

**Intrinsic Image Reflectance Guidance**

We estimate the reflectance layer $R$, given an input image $I$ and governed by $I = R \odot S$, where $\odot$ is the element-wise multiplication. $S$ is the shading layer. Note that, shadows naturally belong to the shading layer, as they are phenomena caused by light (instead of by objects). While specularities are caused by surface reflectance (Tan 2021), and thus should belong to the reflectance layer. However, many applications assume diffuse only reflectance, and hence the reflectance layer that is independent from specularities is more desirable. Therefore, given an input image, our goal is to obtain the diffuse reflectance layer that is free from both
Figure 2. Our framework consists of two stages: reflectance guidance and Shadow/Specular-Aware (S-Aware). In the first stage, to obtain the initial reflectance layer $R_i$, we propose the novel shadow-free $L_{sf}^R$ (see Fig. 3) and specular-free $L_{hf}^R$ losses (see Fig. 4). In the second stage, the initial reflectance layer and the input image are fed to the S-Aware network to obtain the final reflectance output $R_f$. Our S-Aware network is represented by $G_s$ (contain an S-Classifier $C_{cls}$). By distinguishing shadows from shadow-free, or specularities from specular-free, our network automatically learns the activation weights $w_s$. Multiplying $w_s$ to modulate the encoded features $F$, obtain S-Aware attention $A_s$, which focuses on shadow/specular regions.

Figure 3. Using the shadow-free loss $L_{sf}^R$, our first stage reflectance network learns to remove shadows in the reflectance layer $R_i$. Shadow-free image $\rho_{sf}^c$ do not have shadow regions (see the red dashed rectangles).

Figure 4. Using the specular-free loss $L_{hf}^R$, our first stage reflectance network learns to remove specularities in the reflectance layer $R_i$. Specular-free image $\zeta_{hf}^c$ is free from specularities (see the red dashed rectangles).

shadows and specular highlights. Throughout this paper, reflectance layer refers to the diffuse reflectance layer. We employ encoder-decoder ($E_r$-$D_r$ and $E_s$-$D_s$, with subscript $r$, $s$ stands for reflectance, shading) to simultaneously decompose the input image $I$ into an initial reflectance layer $R_i$ and an initial shading layer $S_i$, as shown in Fig. 2.

Due to the ill-posed nature of our problem, we propose to utilize the shadow-free image (Finlayson, Drew, and Lu 2004) and the specular-free image (Tan and Ikeuchi 2005) as priors to guide the first stage decomposition network. For this guidance, we propose two novel losses: shadow-free loss $L_{sf}^R$ and specular-free loss $L_{hf}^R$.

**Shadow-Free Loss** To obtain a shadow-free reflectance layer, we compute a shadow-free image in the log-chromaticity space (Finlayson, Drew, and Lu 2009). In this space, pixels belonging to the same reflectance surface form a single line, where the line is dependent on light colors (implying that shadow and non-shadow pixels of the same reflectance will lie on the same line). Entropy minimization can capture this line and generate a grayscale image free from shadows (Fig. 5b). Then, we compute a colored shadow-free image, $\rho_{sf}^c$, by instilling the illumination colors back (Drew, Finlayson, and Hordley 2003) (Fig. 5c).

Guided by the colored shadow-free image, $\rho_{sf}^c$, our reflectance network learns to obtain the shadow-free reflectance layer through the shadow-free loss, defined as:

$$L_{sf}^R = |\sigma_e(R_i) - \rho_{sf}^c|_1, \quad (1)$$

where $\sigma_e$ is the chromaticity (Jin et al. 2022a), $\sigma_e(x) = \frac{I_c(x)}{I_r(x)+I_g(x)+I_b(x)}, \ c \in \{r, g, b\}$ represents each RGB color channel.

**Specular-Free Loss** Tan and Ikeuchi (Tan and Ikeuchi 2005) define a specular-free image as an image that has an identical geometric profile to the diffuse component of the
Since reflectance gradients \( \nabla R_i \) are sparse in the gradient domain, while shading gradients \( \nabla S_i \) are smooth (Bonneel et al. 2014) in the intensity domain, to separate the two uncorrelated layers, we use the gradient separation loss (Zhang, Ng, and Chen 2018):

\[
\mathcal{L}_{R_i}^{\text{grad}} = \sum_{n=1}^{3} \| \tanh(\lambda_R |\nabla R_i^{jn}|) \odot \tanh(\lambda_S |\nabla S_i^{jn}|) \|_F,
\]

where \( \| \cdot \|_F \) is the Frobenius norm, \( \odot \) is the Hadamard multiplication. \( R_i^{jn} \) and \( S_i^{jn} \) represent \( R_i \) and \( S_i \) downsampled by a factor of \( 2^{n-1} \), \( n = 3 \), using bilinear interpolation, the parameters \( \lambda_R = \sqrt{\| \nabla R_i^{jn} \|_F / \| \nabla S_i^{jn} \|_F} \), \( \lambda_L = \sqrt{\| \nabla R_i^{jn} \|_F / \| \nabla S_i^{jn} \|_F} \) are normalization factors to balance gradient magnitudes of the reflectance layer and the shading layer.

**Shading Smooth Loss** To impose smooth constraints on the shading layer, we employ a smooth loss that computes the horizontal and vertical gradients:

\[
\mathcal{L}_{S_i}^{\text{smooth}} = \| \nabla(S_i) \|_1.
\]

**Reflectance Sparse Gradient Loss** Unlike the shading layer, the gradients of the reflectance layer are sparse (Land and McCann 1971). To pursue this, we develop a regularization term made by the reweighted \( l_p \) norm (Emmanuel J. Candès 2008) to punish the gradients:

\[
\mathcal{L}_{R_i}^{\text{sparse}} = \omega |\nabla(R_i)|_1; \quad \omega = \frac{1}{|\nabla(R_i)|^{1-p} + \epsilon},
\]

where \( \epsilon \) is a small positive number that stabilizes the numerical calculation, \( p \) is a parameter that determines the extent of the sparsity, \( p = 0.5 \), and \( \omega \) is regarded as a constant that is not involved in the back-propagation optimization.

![Figure 5](image1.png) ![Figure 6](image2.png) ![Figure 7](image3.png)
We multiply each of the above-mentioned loss functions with its respective weight, where in our experiments, we empirically set $\lambda_{R}^{s}, \lambda_{R}^{d}, \lambda_{R}^{blur}$ to 1, since they are in the same scale. We also empirically set $\lambda_{S}^{smooth} = 0.5, L_{parse} = 0.01$.

### Shadow/Specular-Aware Network

To further refine the reflectance layer, in the second stage, our S-Aware network focuses on the shadow/specular regions. As shown in Fig. 2, the architecture of the S-Aware network is represented by $G_s$ (the subscript $s$ stands for shadow/specular). It has an encoder $E_s$, a decoder $D_s$, and a shadow/specularity classifier (S-Classifier) $C_{cls}$. The input to the S-Aware network is the initial reflectance layer $R_i$, and the original input image $I$. The output is the refined reflectance image $R_f$, which is our final output.

We train our S-Classifier to predict the two-category classification probability and to judge whether the encoded features come from a certain class. The classification confidence score is the likelihood of the input image belonging to the shadow/shadow-free or specular/specular-free classes. To be more specific, the encoder $E_s$ generates the encoded features $F$. Inspired by the class activation mapping (Zhou et al. 2016; Kim et al. 2019; Jin, Yang, and Tan 2022), we multiply the features with classification weights $w^s$, learned by our S-Classifier. Then, we obtain the shadow/specular-activated features, denoted as $A^s$, defined as:

$$A^s = \frac{1}{m} \sum_{i=1}^{m} w^s_i F_i,$$

where $m$ is the total number of feature maps. The activated features indicate the importance of the regions to distinguish the shadows from shadow-free, or specularities from specular-free. The S-Classifier $C_{cls}$ performs a two-category classification, in which the loss is based on the following equation:

$$L_{cls} = -\mathbb{E}_{x \sim I}[\log(C_{cls}(x))] + \mathbb{E}_{x \sim R}[\log(1 - C_{cls}(x))].$$

For this classification task, the activated features generate a form of attention that focuses on the shadow/specular regions, as shown in Fig. 7 and Fig. 8.

### Spatially Varying Regions

In a spatially varying dataset shown in Fig. 8, the shadow/specular regions of the scenes constantly change, while the diffuse reflectance of the objects in the static scene always remains the same. To help our network focus on the large shadow/specular regions in the spatially varying dataset to remove them, we use feature statistics and the normalization layer to capture the spatial-variant property of shadows/specularities. Since the mean and variance of the features encode the statistics of an image, aligning them implies transferring the style of the image.

Instance Normalization (IN) (Huang and Belongie 2017) is used in unsupervised style transfer (Liu et al. 2020b):

$$\phi = \frac{\mu - \mu'(\phi)}{\sqrt{\sigma^2(\phi) + \epsilon}},$$

where $\mu'$ and $\sigma^2$ are the channel-wise mean and standard deviation, and $\phi$ is the activation of the previous convolutional layer. Unlike IN, layer normalization (LN) (Ba, Kiros, and Hinton 2016) captures the average and variance of each pixel among a batch of input data:

$$\phi = \frac{\phi - \mu(\phi)}{\sqrt{\sigma^2(\phi) + \epsilon}},$$

where $\mu$ and $\sigma$ are the layer-wise mean and the standard deviation. Our S-Aware network dynamically adjusts the ratio $\nu$ (where $\nu \in \{0, 1\}$) between IN and LN operations: $\text{LIN}(\gamma, \beta, \nu) = \gamma (1 - \nu) \cdot \phi + \nu \cdot \phi'$, where $\gamma$ and $\beta$ are parameters generated by the fully connected layer. $\phi$, $\phi'$ are the channel-wise, layer-wise normalization functions, respectively.

### Adversarial and Translation Losses

Our S-Aware network, $G_s$, is coupled with a discriminator $D_{sf}$. We use the least-square GAN (LSGAN) adversarial losses to stabilize our network training:

$$L_{adv}(G_s, D_{sf}) = \mathbb{E}[(D_{sf}(I) - 1)^2] + \mathbb{E}[(D_{sf}(R_f))^2].$$

When the S-Aware network, $G_s$, takes the original input, it outputs the reflectance layer. We enforce the following loss:

$$L_{trans}(G_s) = ||G_s(I) - R_f||_1.$$  \hspace{1cm} (6)

### Diffuse Loss

We learn the reflectance layer also from the diffuse reflectance component $I_d$, where $I_d = R_d \odot S$ with $R_d$ is the true diffuse reflectance layer. For images suffered only from shadows, the diffuse reflectance component is the image itself, where $I_d = I$. For images suffered from specular highlights, the diffuse reflectance component can be computed: $I_d = I - I_s$ (Shafer 1985; Tan and Ikeuchi 2005), where $I_s$ is the specular reflection component. Some real (Yi, Tan, and Lin 2020) and synthetic datasets (Shi et al. 2017) provide the information of the diffuse reflection component and the corresponding image together, which can be used in our training. Hence, we define our diffuse loss as:

$$L_{diff}(G_s) = ||R_f \odot S_i - I_d||_1.$$  \hspace{1cm} (7)

### Overall Loss

We multiply each loss function with its respective weight, and sum them together to obtain our overall loss function. The weights of the losses, $L_{cls}, L_{adv}, L_{trans}$ are empirically set to 5, 1, 5 in our experiments. The weights of $L_{diff}$ are 1, since they are in the same scale.

### Experimental Results

**Reflectance Layer Estimation on Shadows** To evaluate our method, we use 2 real (MIT Intrinsic, IW) and 2 synthetic intrinsic image decomposition datasets (MPI-Sintel, ShapeNet), and 2 shadow datasets (SRD, USR). Fig. 9 and
Fig. 9 shows our results on the real image USR shadow (Hu et al. 2019) and MIT Intrinsic dataset (Grosse et al. 2009). Fig. 12 shows our results on the synthetic datasets MPI-Sintel (Butler et al. 2012) and ShapeNet (Chang et al. 2015). Most SOTA methods perform poorly in separating shadows from the reflectance layer. When the images have shadow regions, these methods cannot estimate the information under the shadow regions. Our results are free from shadows.

We make a fair comparison with SOTA intrinsic image decomposition methods: PIENet (Das, Karaoglu, and Gevers 2022), UIDNet (Zhang et al. 2021), ShadingNet (Baslamisli et al. 2021a), Physics (Baslamisli et al. 2021b), USI3D (Liu et al. 2020b); optimization-based method: STAR (Xu et al. 2020), inverse rendering method: InverseRenNet (Yu and Smith 2019), etc.

**Reflectance Layer Estimation on Specularities** To evaluate our method, we use 1 synthetic intrinsic image decomposition dataset and 1 real specular dataset. Fig. 11 shows our results on real (Yi, Tan, and Lin 2020) and synthetic specular ShapeNet dataset (Shi et al. 2017). Existing methods perform poorly in separating specularities from the reflectance layer. To fairly compare to specular methods, we include learning-based intrinsic image decomposition methods: Yi (Yi, Tan, and Lin 2020), ShapeNet (Shi et al. 2017), inverse rendering method: Li (Li et al. 2020), etc. We also in-
Table 1. Results for IIW dataset (Bell, Bala, and Snavely 2014) using WHDR (Bell, Bala, and Snavely 2014). * denotes use ground truth supervision in training and post-processed to benefit the WHDR score.

| Methods          | Training set | WHDR%↓ |
|------------------|--------------|--------|
| STAR (2020)      | –            | 32.90  |
| IIW (2014)       | –            | 20.64  |
| ShapeNet (2017)  | ShapeNet (2.5M) | 59.40 |
| IntrinsicNet (2018) | ShapeNet (20K) | 32.10 |
| CGI (2018a)      | SUNCG        | 26.10  |
| InvRen (2019)    | MegaDepth    | 21.40  |
| PIE-Net (2022)   | NED+IIW      | 18.50  |
| Fan et al. (2018)* | IIW          | 14.45  |
| Yi (2020)        | CustomerPhotos | 51.10 |
| Physics (2021b)  | ShapeNet (20K) | 28.90 |
| Ma et al. (2018) | IIW          | 28.04  |
| IIDWW (2018b)    | BigTime      | 20.30  |
| USI3D (2020b)    | CGI+IIW      | 18.69  |
| UIDNet (2021)    | –            | 18.21  |
| Ours             | IIW          | 17.97  |

Further study the effectiveness of shadow-free loss ($L_{hf}^i$) and specular-free loss ($L_{sf}^i$). We use shadow/specular-free images in our losses to constrain our initial estimate. We also use other losses to constrain our initial estimate. Hence, the output of our first stage can outperform specular/shadow-free images. For the second stage, we compare our results with and without S-Aware design. That means we remove the S-Classifier and the classification loss. The corresponding quantitative results are shown in Table 2.

**Conclusion**

In this paper, we have proposed a two-stage network to estimate the reflectance layer free from shadows and specularities. With the reflectance guidance and the S-Aware network, our method can robustly separate shadows and specularities out of the reflectance layer. We propose novel shadow-free and specular-free losses to estimate the initial reflectance layer. To further refine the reflectance layer, we integrate a classifier into our network, enabling our method to focus on shadow/specular regions. Experimental results have confirmed that our method is effective and outperforms the state-of-the-art reflectance layer estimation methods.

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