Semantic Content Prediction for Generating Interviewing Dialogues to Elicit Users’ Food Preferences

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Abstract

Dialogue systems that aim to acquire user models through interactions with users need to have interviewing functionality. In this study, we propose a method to generate interview dialogues to build a dialogue system that acquires user preferences for food. First, we collected 118 text-based dialogues between the interviewer and customer and annotated the communicative function and semantic content of the utterances. Next, using the corpus as training data, we created a classification model for the communicative function of the interviewer’s next utterance and a generative model that predicts the semantic content of the utterance based on the dialogue history. By representing semantic content as a sequence of tokens, we evaluated the semantic content prediction model using BLEU. The results demonstrated that the semantic content produced by the proposed method was closer to the ground truth than the semantic content transformed from the output text generated by the retrieval model and GPT-2. Further, we present some examples of dialogue generation by applying model outputs to template-based sentence generation.

1 Introduction

Traditionally, dialogue systems have been characterized in terms of whether they are task- or non-task-oriented. In task-oriented dialogue systems, such as an airline ticket reservation system (Hemphill et al., 1990), eliciting specific information from the user, such as the date, time, and destination of the flight, is an important functionality for completing the task. However, in non-task-oriented dialogue systems, the system does not have a clear goal of eliciting information from the user, and the content of the dialogue is free.

In this study, as another type of dialogue system, we focus on interviewing systems, in which the goal is to acquire a user model through a flexible flow of dialogue. Specifically, we propose a method for interviewing a user’s preference for food. To generate such dialogues, the system must be able to generate appropriate questions to elicit the user’s preferences for food while touching on various topics in the food domain, such as how to eat, how to cook, etc., without limiting the content of the dialogue as a task-oriented dialogue does.

One possible approach for achieving the requirements discussed above is end-to-end neural network, where dialogue generation is the task of predicting the next utterance using dialogue history as input (Vinyals and Le, 2015; Serban et al., 2016). This method is widely used to generate open-domain dialogues, such as chitchats. However, it requires a large amount of dialogue data to learn the model. Otherwise, less informative and contextually inappropriate utterances are frequently generated. To overcome this drawback, we propose a method that first determines the intention and semantic content of the interviewer’s next utterance and then combines these to generate questions from the interviewer.

Figure 1 shows the proposed approach. First, we trained two models. The first is a classification model that takes the dialogue history as input and determines the interviewer’s intention for the next utterance. The second is a generator model, which...
also takes the dialogue history as input and outputs the semantic content of the utterance, including the target (e.g., dish or ingredient) mentioned in the utterance and its related information (e.g., taste or how to eat). Next, a template for sentence generation is selected based on these two outputs, and they are applied to the selected template to generate sentences. Compared to learning a model that directly generates a surface expression, the models for predicting the intent and semantic content of an utterance can be learned using a smaller amount of data. Additionally, because the content of an utterance is determined based on the context obtained from the dialogue history, appropriate utterances that are related to the preceding utterances can be generated.

The contributions of this study are as follows:

- Collection of 118 text-based dialogues for interviewing food preferences.
- Proposal of an annotation schema for utterance intention and semantic content of utterances, and creation of a dataset with these annotations.
- Creation of a classification model for utterance intention and a generative model of semantic content of utterances.
- Demonstration of the effectiveness of the proposed method using an automated evaluation method.
- Presentation of examples of dialogues generated by the proposed method, and discussion of the quality of the dialogues.

2 Related Work

Task-oriented dialog systems are typically designed to collect information from users. For example, previous studies have proposed an airline ticket reservation system (TIS) (Hemphill et al., 1990), a restaurant reservation system (Henderson et al., 2014), and interview systems to collect information, such as public opinion polls and class evaluation interview systems (Johnston et al., 2013; Stent et al., 2006). In these systems, the purpose of the dialogue is to obtain information to accomplish a predefined task.

Meanwhile, chitchat does not have a clear goal as a task-oriented dialogue does, but this type of dialogue has the potential to elicit a variety of information from the user. For example, the system asks follow-up questions such as “Please tell me more about the keyword” by using a keyword from the user’s preceding utterance. To improve such interviewing functionality, relevant topics and questions should be selected and the dialogue strategies should be modified. To address these issues, we propose a method to determine the target object and semantic content of the system response based on the dialogue context.

Previous studies on dialogue generation have proposed different techniques to generate task- and non-task-oriented dialogue. Early studies on generating open-domain chitchat proposed DNN-based techniques to generate system responses by exploiting the data-driven approach (Sordoni et al., 2015a; Vinyals and Le, 2015; Serban et al., 2016). Recent studies have proposed incorporating useful information (that is relevant to the domain) and responses into the model, thus improving the quality of generated responses (Li et al., 2018). Some studies have exploited word-based information, such as nouns extracted from the user’s preceding utterances and a set of keywords predicted to be used in the response (Serban et al., 2017; Xu et al., 2021). Other studies have used knowledge ontologies, including commonsense (Wu et al., 2020; Zhang et al., 2020; Moon et al., 2019; Galetzka et al., 2021). However, these end-to-end methods, in which training models directly generate system responses, require a large amount of training data, and our corpus was not sufficiently large for this approach.

In traditional task-oriented dialogue systems, the information required to achieve the dialogue goals is limited to the task domain. Therefore, the internal state of the system is defined as a slot–value pair, and the system generates responses through the following modules: a) understanding the user’s utterance, b) determining the system action (e.g., the intention and the slot–value as the utterance content) based on the internal state, and c) generating a response sentence from the system action. The action of the system is determined by rule-based, statistical-based (Young et al., 2010), deep learning (Chen et al., 2019) and reinforcement learning approaches (Sankar and Ravi, 2019).

In this study, we exploited the approach described above, which represents the interviewer’s utterance as structured semantic content composed of the intent of the utterance, the objects mentioned in the utterance, and their attributes and values. We created a machine learning model to predict these types of information and generate responses based
on the determined actions.

3 Data Collection and Dataset Making

This study aims to generate interview dialogues that elicit information about users’ food preferences. For this purpose, we collected role-play conversations between an interviewer and a customer and constructed a corpus from the collected conversations.

3.1 Interview Dialogue Collection

Subject pairs were created with participants recruited by crowdsourcing. One subject was assigned the role of an interviewer and the other, the role of a customer. They conducted a text-based chat session in Japanese on the web. After typing an utterance and pressing the send button, the message was added to the chat screen. They were also instructed to take turns sending the messages.

The participants playing as interviewers were requested to engage in conversations to elicit food preferences from customers. The participants playing as customers were asked to indicate their food preferences. We allowed the customers to respond to their real preferences or to pretend to be someone else.

After the dialogue, each participant answered a questionnaire. The interviewers were asked to describe the client’s food preferences obtained from the conversation, and the dishes they would like to recommend to the customer. The customers were asked to describe the food preferences they expressed in the dialogue. They were also asked to describe the dishes they would like the interviewer to recommend to them.

To create a dialogue model capable of generating responses that considered the interviewer’s dialogue strategy and dialogue history, we requested the participants to input at least 20 turns from each party and 40 turns in total. This was a task completion requirement.

3.2 Annotation

Structured semantic labels were assigned to classify the interviewees’ utterances and understand their semantic content. Following the idea of structured semantic labels discussed in the Dialogue Act annotation (Bunt et al., 2012), we represented each utterance as a combination of communicative function and semantic content.

More specifically, a dialog consists of messages sent by the user in the chat, and one message may include multiple sentences. We annotated each sentence in interviewer’s message. To annotate sentences in the interviewer’s message in our corpus collected in Section 3.1, we first defined labels for communicative function and semantic content.

Communicative Function:

We defined 32 labels for the communicative functions based on those for SWBD-DAMSL (Jurafsky, 1997) and Meguro et al. (2014). We used SWBD-DAMSL to label backward utterances, including understanding, answer, and agreement (Appendix A). For self-disclosure (SD) and questions (Q), we used labels defined in the Meguro et al. (2014) as references and added new labels such as preferences, experiences, and habits. For the preference labels, we added the polarity: positive, negative, and neutral.

Semantic Content:

The semantic content expresses the meaning of a sentence, whereas the communicative function specifies the intention of a sentence, as discussed above. In our corpus, many of the interviewer’s questions referred to the name of the dish and its ingredients, tastes, recipes, and how to eat. Based on this observation, we defined semantic content as a combination of utterance objects (e.g., dishes and ingredients) and their attributes (e.g., tastes and cooking methods).

Figure 2 shows the structure of the semantic content and list of values for <verb>, <ObjectType>, and <ObjectAttribute>. Two examples of semantic content were assigned to an interviewer sentence.

In Example A “I ate hot curry” in Figure 2, the verb is “eat” and its object is “hot curry”. The object is the first argument (argument_1) of the verb:eat, and the relationship between this verb and the object is expressed as a verb frame.

verb frame:
<verb>: We defined five verbs that are frequently used in conversations regarding food. They consider direct objects as arguments. We also defined negative forms for them by adding “!”

Object-features:

We defined four types of features for an object. These are ObjectType, ObjectName, ObjectAttribute, and AttributeValue. These are
called the object features. The “hot curry” is an object of the verb ‘eat’. It contains a set of features: ObjectType='Dish', ObjectName='curry', ObjectAttribute='taste', and AttributeValue='hot'. We simply expressed this set as (Dish, curry, taste, hot). Details of the object features are presented below.

<ObjectType>: We defined 10 object types: Dish, Ingredient, and Drink. Each name begins with a capital letter. For example, “Dish” is assigned as the ObjectType value for curry, "Ingredient" for carrot, and "Genre+Cuisine" for Indian food.

<ObjectName>: This feature indicates the name of the target object in an interviewer’s sentence.

<ObjectAttribute>: As shown in Example-A in Figure 2, there are many detailed questions and utterances about the target object, such as the taste of the food, its recipe, and how to eat it. We believe that such information is important for food preferences. To include it in the semantic content, we defined the attributes of objects with a specific ObjectType. The values of these attributes are described later in this study.

<AttributeValue>: The value for the ObjectAttribute is specified in this section. A set of possible values is not defined, and the value is freely specified, as in ObjectName.

For example, the ObjectType of "hot curry" is a 'Dish', and ObjectType='Dish' can take an ObjectAttribute (see Figure 2, Allowed to take <ObjectAttribute>?: Yes). Then, “hot” belongs to “taste”, which is defined as an ObjectAttribute. As a result, "hot curry" is interpreted as an object feature. ObjectType='Dish', ObjectName='curry', ObjectAttribute='taste', AttributeValue='hot'.

When the interviewer’s utterance is a question, such as a Yes/No question or WH question, the object of the question is indicated as a ‘?’ . For example, in the WH question, "What taste of curry do you like!", the AttributeValue for ObjectAttribute='taste' is the target of this question. In this case, the semantic content is described as [like, [(Dish, curry, taste, ?)]].

For a Yes/No question, where (default) values are already assigned, the features are described as ObjectName+? and AttributeValue+?. For example, the semantic content for “Do you like curry hot?” is described as [like, [(Dish, curry, taste, hot?)]]

Some sentences, such as "Steak is good" (Example-B in Figure 2), express an evaluation of the target object. In such a case, “think” is assigned to (<verb>), and two arguments are used; the object information is described in argument_1 and the evaluation in (argument_2). In this example, argument_2 describes a pair of values: “Evaluation” and the (<EvaluationValue>) denoting the value of the evaluation. Thus, (argument_2) is [Evaluation, good].
4 Models

With the goal of building a dialogue system that generates the interviewer’s appropriate questions to acquire the customer’s food preferences, we present two machine learning models in this section for communicative function prediction and semantic content generation.

4.1 Semantic Content Generation (SCG)

As part of the interviewing system, we created a Semantic Content Generation (SCG) model that generates the semantic content of the interviewer’s next sentence. The model takes the history of messages of both the interviewer and customer as input and predicts the semantic content of the last sentence in the next interviewer’s message. The representation of semantic content follows the annotation scheme described in Section 3.2.

To train the SCG model, we used a pre-trained Japanese language model 2 of the Transformer-based GPT-2 model (Radford et al., 2019), which is commonly used for conversation generation and fine-tuned it using our own small dataset described in Section 3.1.

Figure 3 illustrates GPT-2 fine tuning to create the SCG model. Each sample of the training data is a pair of dialogue context and semantic content of the interviewer’s next sentence. As the dialogue context, messages preceding the prediction target sentence are concatenated. The end of each context message is indicated by [SEP] special token. The maximum number of context messages is five. This sequence is concatenated with the semantic content of the prediction target (the interviewer’s sentence) and fed to GPT-2.

The semantic content is represented as a sequence of tokens: verb, object-features, and evaluation description if necessary. Example-1 in Figure 3 shows an example of object-features consisting of ObjectAttribute and AttributeValue, in which the semantic content of the interviewer’s next sentence is “[like, [(Dish, pasta, type-of, ?)]]” (original sentence: “What kind of pasta do you like?”). The verb, ObjectType, ObjectName, ObjectAttribute, and AttributeValue are concatenated into a sequence. Each of these is separated by a [SEP]. Additionally, the <s> and </s> tokens indicate the beginning and end of each sample, respectively. In Example-2, the semantic content contains the evaluation part: “[think, [(Dish, steak)], [Evaluation, good]]” (original sentence: “Steak is good.”), where the second argument [Evaluation, good] is added.

Each input sequence is tokenized by the tokenizer, and GPT-2 optimizes the model weights by minimizing the negative log-likelihood for the next-token prediction.

4.2 Communicative Function Prediction (CFP)

This section proposes a Communicative Function Prediction (CFP) model that predicts the communicative function label to specify the intention of the next interviewer’s message, such as self-disclosure and questions.

A fine-tuning approach was employed to train the CFP model. We used the BERT (Devlin et al., 2019) Japanese pre-trained model3.

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1When the next interviewer message consists of multiple sentences, the semantic content of the last sentence is used as the prediction target. This is because the main assertion of the message is often made in the last sentence.

2japanese-gpt2-small: https://huggingface.co/rinna/japanese-gpt2-small

3BERT base Japanese: https://huggingface.co/cl-tohoku/bert-base-japanese-whole-word-masking
As demonstrated in Figure 4, the input is a dialogue context consisting of multiple previous messages concatenated using [SEP]. This sequence is the same as that used to train the SCG model in Section 4.1. Using this sequence as the input, we trained a model that predicted the communicative function label of the interviewer’s next message.

We use the representation of the final layer of the special classification token ([CLS]), which is placed at the beginning of the input, as the input for a downstream classification task. As described in Section 5.1, the communicative function classifier predicts 7 labels, reduced from the 32 labels presented in Section 3.2.

5 Experiments and Evaluation

5.1 Detail of Dataset

Table 1(top) lists the details of the corpus collected in Section 3. Table 1(bottom) shows the number of instances that was used to train the CFP and SCG models. The dataset was divided into train/validation/test sets at a ratio of 7:1:2.

Although we defined 32 communication function labels in the original dataset, many of them were not frequently observed. Thus, we merged the labels whose frequency was lower than 20% of all samples and used the seven labels listed in Table 2 in this experiment.

We calculated the inter-coder reliability using three dialogues annotated by two coders. For the seven labels of communicative function, Cohen’s kappa was 0.75, which indicated substantial agreement. For semantic content, which is a combination of verb and object-features, the percentage of agreement was 0.72. Because we achieved a sufficient agreement level, the remaining data were annotated by either coder.

Table 2: Merged communicative function labels

| SD-Fact&Experience | Q-Fact&Experience |
|--------------------|-------------------|
| Q-Habit            | Q-Preference-Neutral |
| Q-Preference-Positive | Reply          |
| Other              |                   |

5.2 Baselines

We compared the proposed models with two baseline models: the retrieval model and text generation model.

Retrieval Model: We simply applied a technique used in information retrieval to a response selection, as proposed in (Ritter et al., 2011; Sordoni et al., 2015b). The customer’s message and the interviewer’s response to it were paired as an input–response pair. In the response selection process, among all pairs, the one whose input sentence had the highest similarity to the customer’s input was selected, and the response part of this pair was used as the system’s (interviewer’s) response. The sentence vector was a hidden representation of the [CLS] token obtained from BERT, and cosine similarity was used to calculate the sentence similarity.

Text Generation Model: A GPT-2 language model was trained using pairs of dialogue context and the next interviewer’s sentence. The difference from the SCG model is that the dialogue context was paired with the text (not the semantic content) of the interviewer’s response. Therefore, this model generated an interviewer’s response text rather than

Table 1: Details of the interview dialogue corpus collected (top) and number of instances used to train the CFP and SCG models (bottom).

|                      | train/validation/test |
|----------------------|-----------------------|
| # dialogues          | 118                   |
| # messages           | 4871                  |
| - interviewer        | 2471                  |
| - customer           | 2400                  |
| # sentences          | 8921                  |
| - interviewer        | 4647                  |
| - customer           | 4274                  |

Messages that were not related to the task (e.g., greetings at the beginning of the task, gratitude at the end of the task) were excluded from the dataset.
Table 3: Average BLEU-4 scores. Numbers in parentheses indicate the length of the dialogue history in the best model using the validation dataset. In the retrieval model, the length of the dialogue history was set to one.

| Model           | BLEU-4 score (standard deviation) |
|-----------------|-----------------------------------|
| Retrieval       | 11.5 (20.6)                       |
| Text Generation (N=4) | 13.0 (22.3)               |
| SCG (Proposed) (N=3)     | 17.3 (24.7)                    |

the semantic content of the sentence.

5.3 Automated Evaluation for SCG

To evaluate the output produced by the models, we conducted an automated evaluation using the BLEU with respect to the semantic content. For this purpose, we treated the semantic content of the target interviewer’s sentence as a sequence of words (e.g., “like[SEP]Dish[SEP]pasta[SEP]type-of[SEP]?”) and used it as the ground truth.

For the SCG model, the BLEU score was calculated by comparing the generated semantic content with the ground truth. For the retrieval model, the semantic content annotation for the response part was compared to the ground truth. For the text generation model, the semantic content was assigned by annotating the generated message and comparing it with the ground truth to calculate the BLEU score.

As an evaluation of semantic content consisting of a combination of the verb and object-features, we show the average of BLEU scores using 4-grams in the test set in Table 3. The proposed model achieved the highest BLEU score. We changed the dialogue context length from 1 to 5 and found that a model with a dialogue context length of three achieved the best performance in the validation dataset. These results suggest that the proposed SCG model performed the best in reproducing the semantic content of the interviewer’s message.

5.4 Performance of CFP

We evaluated the performance of the CFP model by setting the length of the context to three as this setting performed best in the SCG model. The results showed that the model performance for the seven-classes classification was 0.39 in accuracy and 0.30 in weighted average of the F1 score.

5.5 Samples of Generated Response

In this section, we present examples of the responses generated by our interview system. We first describe the template-based response-generation mechanism and then discuss examples of interview generation.

Template-based Response Generation

As shown in Figure 1, the system receives outputs from the SCG and CFP models and generates the interviewer’s responses using the template-based generation method.

Suppose that the outputs from the two prediction models are as follows:

communicative function label: Q-Preference-Positive

semantic content: like[SEP]Dish[SEP]pasta[SEP]type-of[SEP]?

By referring to this information: communicative function=Q-Preference-Positive’, verb=’like’, ObjectAttribute=’type-of’, and AttributeValue=’?’, the system selects a template: “[ObjectName] no Shurui de Nani ga Sukidesuka?” (in English, ”What kind of {ObjectName} do you like?”). Then, a response sentence is generated by replacing [ObjectName] with the value ‘pasta’.

Discussion on Generated Responses

Table 4 presents the sequence of five context utterances and the interviewer’s utterance which follows the context. “Human” is the real interviewer utterance (ground truth). “Retrieval,” “Text Generation,” and “Proposed” are the outputs by the methods examined in our experiment.

In Dialogue-1 in Table 4, the interviewer utterance generated by the retrieval model asks whether the user eats vegetables. This utterance is not appropriate because in previous-3, the customer had already said that he/she eats vegetables. By contrast, the proposed model generated a question to elicit more information according to the current context of the hot-pot dish by asking the favorite ingredients for the dish.

In Dialogue-2 in Table 4, all three models failed to generate an utterance about the current topic focus (cheese), but the retrieval and text generation models still successfully generated a natural response. However, the utterance generated by the proposed model appears to be abrupt. This is because the selected template was not appropriate or expressive. Providing more templates and improving the template selection mechanism are necessary to generate more expressive responses.
6 Conclusion

In this study, we created a dialogue model to interview the food preferences of users. Text-based dialogues between an interviewer and customer were collected, and the communicative function and semantic content of the interviewer’s utterances were annotated. Using this dataset, we created models to predict the communicative function of the interviewer’s utterances and generate semantic content. The outputs of these two models were then applied to template-based response generation to produce a response. In the model evaluation for generating semantic content, the proposed model outperformed the two baseline models, retrieval and generative, in the automatic evaluation using BLEU-4.

As future work, we will improve the response generation mechanism to generate a variety of expressions because the current template-based response generation may not be sufficient in its expressiveness. For example, one of the ideas would be presenting candidates such as Japanese, Chinese, and Italian when asking about preferences for a genre and asking the user to select one. It would also be useful to predict the user’s preference based on the dialog history and user information and generate questions such as “Do you prefer Chinese to Italian? Thus, by using question content (e.g., genre) and related vocabulary and knowledge (Chinese and Italian as examples of genre), the question variation can be increased. Another possibility is to automatically extract or determine the response templates through machine learning, but this is a challenging task.

Further, a user study should be conducted, as it is known that automatic evaluation using BLEU does not always correlate with human evaluation (Liu et al., 2016). In the user study, users interact with the system, and then they evaluate the quality of the responses generated from the system, and judge whether the system effectively elicits information from the user.

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A Communicative Function Set
## Communicative function set

| **SELF-DISCLOSURE (SD-)** | Provide own information and opinions about food. |
|---------------------------|--------------------------------------------------|
| SD-Fact&Experience        | e.g., I ate pasta yesterday.                     |
| SD-Preference-Positive    | e.g., I like oranges.                            |
| SD-Preference-Negative    | e.g., I don’t like fish.                         |
| SD-Preference-Neutral     | e.g., Coriander is iffy.                         |
| SD-Habit                  | e.g., I often drink coffee.                      |
| SD-Desire                 | e.g., I want to eat pizza.                       |
| SD-Plan                   | e.g., I will have sushi tonight.                 |
| SD-Other                  |                                                  |

| **QUESTION (Q-)**         | Ask questions about their food information and opinions. |
|---------------------------|----------------------------------------------------------|
| Q-Fact&Experience         | e.g., What did you eat for breakfast?                   |
| Q-Preference-Positive     | e.g., What is your favorite dish?                       |
| Q-Preference-Negative     | e.g., What food do you dislike?                         |
| Q-Preference-Neutral      | e.g., Can you eat apples?                               |
| Q-Habit                   | e.g., Do you eat eggs often?                            |
| Q-Desire                  | e.g., What do you want to eat for dinner?                |
| Q-Plan                    | e.g., What are you planning to eat for dinner?           |
| Q-Other                   |                                                          |

| **Proposal**              | Recommendations. e.g., Chocolate is recommended.       |
|---------------------------|---------------------------------------------------------|
| Acknowledge               | Encourage the conversational partner to speak. e.g., Huh. Yes. |
| Appreciation              | Express understanding. e.g., Okay. I understand.        |
| Repeat                    | Repeat the partner’s utterance.                         |
| Summarize&Reformulate     | Paraphrasing, evaluating, and summarizing the partner utterance. |
| Exclamation               | Express emotion utterance. e.g., Oh.                    |
| Accept&Agree&Sympathy     | Expressing affirmation or agreement.                    |
| Partial Accept            | Partially expressing affirmation or agreement.          |
| Maybe                     | Ambiguous utterance. e.g., Maybe so.                    |
| Partial Reject            | Partially express denial or disagreement.               |
| Reject&Non-Sympathy       | Express denial or disagreement.                         |
| Greeting                  | Greeting. e.g., Hello.                                  |
| Thanks                    | Express thanks. e.g., Thank you.                        |
| Apology                   | Express apologies. e.g., Excuse me.                     |
| Filler                    | Utterance that fills in the pauses when stuck. e.g., Umm. Well. |
| Other                     | Other utterances.                                       |

We defined the labels with reference SWBD-DAMSL (Jurafsky, 1997) and Meguro et al. (2014)’s dialogue acts.