Oscillatory pressure transients after flow interruption during bronchial challenge test in children

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ABSTRACT: The measurement of the conventional interrupter resistance (R_int) is dependent on pressure equilibration between alveolar and airway opening pressure, which is often not achieved in the presence of severe airways obstruction or in small children. The damping properties (d) of postocclusional oscillatory pressure transients after rapid flow interruption can be assessed independent of complete pressure equilibration, and we have previously shown them to be correlated to resistive properties of the respiratory system. We wanted to determine whether these transients were an expression of acoustic properties of the air in the airways, or whether they were caused by an interaction of gas and lung tissue, and whether d was more sensitive than R_int to changes in airway mechanics.

Bronchial challenge tests were carried out with cumulative doses of inhaled carbachol in 10 healthy children (aged 7–14 yrs) and 50 asthmatic children (aged 5–15 yrs). The airflow interruptions were performed with a combined nebulizer-shutter head, allowing resistance measurements with each breath.

The frequency, and the damping factor of the postocclusional pressure transients changed significantly during bronchial challenge in both groups of children. The provocation dose (PD) at which the damping factor (d) of the oscillatory pressure transients increased more than 2 SD above the baseline mean ("variance-based"), PD_vb was lower than the PD_vb of the end-interruption resistance (R_int,EI). These changes in frequency and damping factor were reversible after inhaling salbutamol.

These findings suggest that the damping properties of the postocclusional pressure transients after flow interruption can be used as a sensitive parameter to assess changes in airway mechanics during bronchial challenge test in children in whom pressure equilibration is frequently not achieved during airflow interruption due to airways obstruction.

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frequency respiratory input impedance data \((Z)\) using forced oscillation technique [11]. Both in the power spectrum of \(P_{\text{ao}}(\tau')\) after flow interruption and in the real part of the \(Z\), two relative maxima (antiresonances) can be detected. If measurements are performed using gases of lower density, the antiresonance at \(\sim 180\) Hz shifts to higher frequencies, whereas the antiresonance at \(\approx 80\) Hz does not change in dogs. This indicates that the resonance at \(\approx 180\) Hz is caused by an acoustic wave resonance of the gas in the airways, whereas the antiresonance at \(80\) Hz is independent of gas density and caused by the interaction of tissue inertia and gas compression [11]. In adult humans, Jackson and co-workers [12] found only a single acoustic antiresonance and no tissue resonance. They suggested that the frequency of this acoustic antiresonance is dependent on airway wall compliance.

In a previous work, we also found only a single antiresonance in the power spectrum of \(P_{\text{ao}}(\tau')\) [13, 14]. We have speculated that this single antiresonance is caused by an acoustic phenomenon of the air in the airways. We demonstrated that the damping properties of these oscillations are sensitive to airway mechanics, and change during bronchial challenge test in children [13, 14]. This is especially interesting, since these oscillatory pressure transients are highly damped and independent of complete equilibration between alveolar and airway opening pressure.

As an extension of a previous study [14], we wanted to determine whether the frequency and the damping properties of the postocclusional pressure transients were more sensitive to changing lung mechanics during a bronchial challenge test in children than the conventional interrupter resistance.

Materials and methods

Study subjects

Ten healthy children (4 boys and 6 girls; mean age (±SD) 9.9±2.0 yrs, range 7–14 yrs) undergoing investigations for other purposes, and 50 children with atopic asthma (35 boys and 15 girls; mean age 8.3±2.8 yrs, range 5–15 yrs) seen at the Paediatric Pulmonary Out-patient Clinic of the University Children's Hospital in Berne, Switzerland, were selected as study patients. Standardized case histories and physical examinations were performed by trained paediatricians in collaboration with the family doctor. Inclusion criteria were that the child was free from respiratory symptoms and had not had any signs of viral infections or vaccinations during the previous 6 weeks. Asthma was diagnosed by: a history of recurrent wheezy attacks; elevated immunoglobulin E (IgE) levels (radioimmunosorbent test (PRIST)); positive specific IgE (radioallergosorbent test (RAST)) for one or more allergens; and the documentation of airway obstruction \((Raw)\), which reversed with sympathomimetics. At the time of testing, the patients were in a symptom-free interval, with no signs of asthma, and the last acute asthma episode was at least 2 weeks before examination. Bronchodilator treatment was withheld 12 h before and inhaled steroids or disodium cromoglycate 24 h before the test. None of the patients were on xanthine treatment, systemic steroids, or oral \(\beta_2\)-agonist. All of the subjects’ parents gave their informed consent for the examinations and investigations, and the study was approved by the local Ethics Committee.

Study design

In healthy and asthmatic children, we determined whether the frequency and damping properties of the postocclusional pressure transients changed at lower provocation doses of carbachol than the end-interrupter resistance. After baseline lung function measurements (whole body plethysmography and interrupter technique), cumulative doses of inhaled carbachol were administered and the provocation dose at which each parameter changed significantly from the baseline mean was determined. Following this challenge, salbutamol was given in order to test the reversibility of the induced airway obstruction. For safety reasons, patients with airway resistance of more than 200% of the predicted value at baseline were excluded from the carbachol challenge (and therefore the study).

Baseline lung function tests

Functional abnormalities were evaluated by whole-body plethysmography using an air-conditioned, constant volume, variable pressure whole-body plethysmograph (Jaeger, Würzburg, Germany). The technique has been described previously [15]. Lung function data were expressed as a percentage of predicted values [16]. Functional residual capacity (FRC) at resting level was taken as an estimate of the degree of pulmonary hyperinflation, and \(Raw\) as an estimate of the degree of bronchial obstruction.

The interrupter technique

The airflow interruptions were performed using the Bronchoscreen (Jaeger, Würzburg, Germany), a computerized apparatus with a combined nebulizer-shutter
head, which enables changes in the resistance of the respiratory system (R_{int,EI}) to be measured with each breath. During quiet breathing, this technique uses the opening interrupter method to measure R_{int,EI} [6, 7]. The seated subject (with noseclip in place and the cheeks partly supported by a rubber mouthpiece) breathed air-conditioned ambient air to grow accustomed to the apparatus. The shutter closed within 15 ms. The time of complete airflow interruption was 100 ms. It was triggered 150 ms after the onset of the expiration. The dead space of the apparatus was 0.35 L. The pressure transducer (Honeywell 142 PC 01G; Chesham, Bucks, UK) was connected via a side-port directly to the mouthpiece at a distance of 18 cm from the airway opening. Its frequency response was linear up to 400 Hz. The influence of the mouthpiece on the postocclusional pressure transients has been described previously [14]. The signal-to-noise ratio was less than 1%, which includes the contribution of the mechanical action of the valve (vibrations of the slide valve). The interrupter signal was sampled in real time and analogue/digital converted with 12 bit accuracy at a sampling rate of 1,000 Hz by a Data Translation DT2811 converter (Data Translation, MA, USA). Fast Fourier Analysis was computed in real-time using a portable PC-system (Toshiba 3200, 80286/Co87; Toshiba, Tokyo, Japan). The postocclusional pressure transients P_{ao(t')} of the interrupter signal (fig. 1) were analysed in time domain (1st derivative dP_{ao(t')}/dt of P_{ao(t')}) and frequency domain (Fourier analysis). The theory of mathematical analysis has been described previously [13, 14]. The damping characteristics of the pressure oscillations seen were characterized by an exponential damping factor, d. Values between 0 (undamped) and 1 (critically damped) describe the degree of damping. The frequency f_{FS} was the frequency at the relative maximum in the power spectrum of dP_{ao(t')}/dt. Figure 1 shows an example of the postocclusional pressure transients at baseline, after carbachol and after salbutamol challenge in a 12 year old child.

Bronchial challenge test

Only the interrupter technique was performed during the challenge tests. Ten baseline measurements of R_{int,EI}, d and f_{FS} were performed during inhalation of saline (0.9%, 185%) from the in-built dosimeter. Carbachol chloride in saline buffered to pH 7.0 with sodium bicarbonate (Merck Inc., Darmstadt, Germany), was used in a low concentration solution of 1.25 mg·mL⁻¹ during the first 10 breaths, and then in a higher concentration of 2.5 mg·mL⁻¹ until the end of the test procedure. This protocol was used for safety reasons to immediately detect children reacting to very low doses of carbachol. Generation time for aerosolization was 0.5 s. Multiple nebulizer output measurements revealed a mean output of 44.1±5.5 µg of solution per 10 breaths. Mean values of R_{int,EI} from five breathing cycles and a measure of d and f_{FS} were calculated in relation to the cumulative dose at each level of carbachol. Special care was taken to increase the dose gradually to detect progressive small changes in R_{int,EI}. Using this procedure, a dose-response curve was obtained and the provocation procedure was terminated when R_{int,EI} increased to 185% of the baseline value or earlier if symptoms occurred. We have chosen a relatively high provocation response (185%), because we had rarely observed clinical symptoms in previous studies and wanted to ensure that all new parameters changed significantly. Thereafter, inhalation was switched to a bronchodilator administering 800 µg salbutamol. R_{int,EI}, d and f_{FS} were then reassessed.

Statistical analysis

Mean and standard deviation (sd) and coefficient of variation (CV) were calculated from 10 baseline values prior to bronchial challenge. At every provocation level (125, 250, 500, 750 and 1,000 µg carbachol) averaged data were obtained from five flow interruptions for R_{int,EI}. To avoid a delay in provocation test time, only a single flow interruption for d was performed per provocation dose, because we were limited by the sampling and analysing time. In this way, the time of the whole test was reduced to 2–4 min, a period which was well tolerated by the small children. The so called "variance-based" provocation dose (PD_{vb}) was calculated as described previously [7]. PD_{vb} represents the lowest provocation dose at which a parameter exceeds the baseline mean ±2 sd. This approach to defining the lowest levels of significant provocation was used for the target parameter R_{int,EI}, the damping factor d and f_{FS} obtained from the analysis of the postocclusional pressure transients. The provocation doses calculated were called PD_{vb}(R_{int,EI}), PD_{vb}(d), PD_{vb}(f_{FS}). This statistical approach is only valid because all parameters were derived from the same physiological signal.

Cumulative sensitivity of a parameter (R_{int,EI}, d, f_{FS}) was defined by the number of subjects who showed a change of more than 2 sd from the baseline mean as a ratio of the total number of subjects in the group at each dose. From the maximal value following the carbachol challenge, the response to salbutamol was calculated for R_{int,EI}, d, f_{FS} expressed in standard deviation (of baseline mean). Since data were not normally distributed after carbachol challenge, the response to salbutamol was expressed as group median and range.

Results

Biometric data and baseline measurements

Age, sex-distribution and the baseline values of lung function, including R_{int,El(b)}, d and f_{FS} of the 10 healthy and 50 asthmatic children are summarized in table 1. In general, the intrasubject variability of the baseline measurements was higher in the healthy than in the asthmatic subjects. Relationships between R_{int,El(b)}, d and f_{FS} and plethysmographic data have been described previously [13].

Change of resonance frequency during bronchial challenge test

The antiresonance frequency, f_{FS}, changed inconsistently during the carbachol challenge test. In 12 subjects, f_{FS} increased from baseline value, in 31 children...
it did not change significantly, and in 17 children it decreased significantly (table 2). There was no correlation between the behaviour of \( f_{FS} \) during the carbachol challenge and age, length, baseline airway resistance or FRC, but children with increased \( f_{FS} \) during the carbachol challenge test had significantly lower baseline values for \( f_{FS} \) (fig. 2; \( p<0.001 \) (Wilcoxon test)) than children with unchanged or decreased \( f_{FS} \).

In the group of 29 children in which we observed a significant change in \( f_{FS} \) after carbachol, 18 normalized after salbutamol challenge, i.e. the value of \( f_{FS} \) after salbutamol was within the range of the baseline mean ±2 SD. In six children, we found a partial normalization and in five children we found \( f_{FS} \) values that were, paradoxically, more deviated from baseline than after the carbachol challenge.

Sensitivity of the damping factor in comparison to the \( R_{int,EI} \)

In figure 3, the dynamics of \( R_{int,EI} \) and \( d \), obtained at baseline and at each provocation level with carbachol (125, 250, 500, 750 and 1,000 µg) are presented. During bronchial provocation test \( R_{int,EI} \) and \( d \) increased in healthy and asthmatic children. The increase in \( d \) reflects an increase in damping of the postocclusional pressure transients. Both in healthy and asthmatic children, \( d \) detected significant changes after carbachol at lower doses than the \( R_{int,EI} \) (fig. 3). If the asthmatic children were divided into children with airway obstruction (\( R_{int,EI} >130\% \) pred) and a group with pulmonary hyperinflation (\( FRC >130\% \) pred) at baseline, there was no difference regarding the behaviour of \( d \) and \( R_{int,EI} \) during the carbachol challenge test, therefore these results are not shown graphically. After the methacholine challenge.

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Table 1. – Age, sex-distribution and baseline values of lung function, including \( R_{int,EI} \) (bl), \( d \) and \( f_{FS} \) of the healthy and asthmatic children

| Group      | n  | Sex | Age | FRC | FRC | \( R_{raw} \) | \( R_{w} \) | \( R_{int,EI} \) (bl) | \( d \) | \( f_{FS} \) |
|------------|----|-----|-----|-----|-----|-------------|------------|----------------------|------|----------|
| Healthy    | 10 | 4/6 | 9.9 | 1.51| 97  | 0.34        | 102        | 0.53 (10.3)          | 0.45 (8.5) | 56.1 (4.2) |
|            |    | ±2.0| ±0.36| ±21| ±0.12| ±34        | ±0.13 (8.3) | ±0.14 (3.5)          | ±8.8 (3.9) |
| Asthmatic  | 50 | 35/15| 8.3 | 1.44| 104 | 0.51        | 133        | 0.77 (11.8)          | 0.51 (6.6) | 52.6 (7.2) |
|            |    | ±2.8| ±0.434| ±28| ±0.23| ±54        | ±0.29 (6.9) | ±0.11 (2.8)          | ±7.9 (5.3) |

*: Mean±SD; #: baseline mean±SD, and coefficient of variation (%) in parenthesis, of 10 measurements of the end-interrupter resistance (\( R_{int,EI} \)) and frequency (\( f_{FS} \)) of the postocclusional oscillatory pressure transients after flow interruption. Predicted values from reference values of ZALETAL et al. [16], SS: subjects; M: male; F: female; FRC: functional residual capacity; \( R_{w} \): airway resistance; % pred: percentage of predicted value.

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Table 2. – Number of patients per group in which the frequency of the postocclusional pressure transient (\( f_{FS} \)) changed more than 2 SD after bronchial challenge

| Group      | n  | Carbachol | Salbutamol |
|------------|----|-----------|------------|
|            | +  | NC        | –          |
|            | +  | NC        | –          |
| Healthy    | 10 | 2         | 4          |
|            |    | 4         | 2          |
| Asthmatic  | 50 | 10        | 27         |
|            |    | 13        | 29         |

+: increase; –: decrease; NC: no change.
test, 800 μg of salbutamol was administered. After salbutamol, d improved in the healthy group (group median 8.1 SD; range 2.91–22 SD) and in the asthmatic children (group median 6.22 SD; range 0.3–30 SD), whereas $R_{in,El}$ improved in the healthy group (group median 1 SD; range -14–24 SD) and in the asthmatic group (group median 2.3 SD; range -6.12–28.3 SD). Negative values represent higher $R_{in,El}$ after salbutamol, found in seven subjects.

**Discussion**

This methodological study was undertaken to determine whether the damping properties of postocular pressure transients after rapid flow interruption changed significantly during bronchial challenge test and might, therefore, be an expression of airways obstruction. Firstly, the frequency of the postocular pressure transients changed after bronchial challenge in children, independent of age, weight, height, airway resistance or FRC at baseline. However, the children who had an increase in frequency of the postocular oscillatory pressure transients during bronchial challenge had significantly lower values of $f_s$ at baseline. The change in $f_s$ was at least partly reversible after salbutamol in most of the children. Secondly, the damping properties of the postocular pressure transients after flow interruption changed significantly during bronchial provocation test with carbachol and salbutamol. For healthy and asthmatic children, the damping properties of the postocular pressure transients changed at lower provocation doses than the $R_{in,El}$, which was known to be the most sensitive indicator of the interrupter curve during bronchial challenge test in children [8].

**The nature of the postocular pressure transients**

The frequency and damping properties of the airway opening pressure oscillations were first described by SHEPARD [9] and JACKSON et al. [3] in humans in time domain using a resistance, compliance, inertia (RCI) model, and by ROMERO et al. [10] in frequency domain in tracheotomized dogs. ROMERO et al. [10] found an antiresonance peak in the power spectra at ~80 Hz, which was considered to be due to the interaction of gas compliance and tissue inertia, and a peak at ~150 Hz, which represented the quarter-wave acoustic resonance of air in the airway. ROMERO et al. [10] based his assumption on the similarity of the power spectrum of the postocular pressure transients after flow interruption and the respiratory impedance spectrum measured by forced oscillatory technique, as described by JACKSON and LUTCHEN [11] in dogs. The antiresonance at 80 Hz can be explained by a lumped parameter model [17], which assumes that airway properties and lung tissue properties are lumped together in series and thoracic gas compliance is reflected by a parallel shunt element. The lumped parameter model cannot explain the antiresonance that occurred at 150 Hz in dogs because its frequency depends on gas density [11]. This antiresonance phenomenon is, therefore, an acoustic antiresonance. The frequency of such an acoustic resonance only depends on gas density, the wave propagation velocity in the tube and the tube length [18]. The propagation velocity of the travelling pressure wave in a compliant tube is a function of the tube wall compliance [18]. The frequency of the acoustic antiresonance is, therefore, a function of the airway wall compliance.

The situation in humans seems to be different, as described by JACKSON and co-workers [12] using the forced oscillation technique. In human adults, they found only a single antiresonant frequency in the respiratory input impedance spectrum at ~180 Hz and identified it as an acoustic antiresonance, because its frequency increased during He/O2 gas mixture breathing. In previous work, we also only found a single antiresonant frequency using the interrupter technique [13, 14], and speculated that it is an acoustic resonance phenomenon. However, the absolute value of $f_s$ which we found was lower than that reported by JACKSON and co-workers [12], because the length of the mouthpiece contributes to $f_s$ if it is calculated from the power spectrum analysis of postocular pressure transients after flow interruption [14].

The behaviour of the antiresonance frequency during a carbachol challenge test was very heterogeneous between the different individuals, but in half of the children we were able to measure significant changes in $f_s$. Based on evidence from the previous studies mentioned above, we will discuss our findings with respect to an acoustic antiresonance model. Since it is very unlikely that the length of the airways changes during carbachol challenge test, changes of $f_s$ are probably correlated to changes in airway wall compliance. The relationship between the acoustic resonant frequency and airway wall properties is very complex. The acoustic resonance frequency is correlated with the propagation velocity in a compliant tube [18]. The faster the pressure wave travels, the higher the resonance frequency. The wave propagation velocity in a compliant tube is frequency-dependent [18], and this frequency dependence is not a linear function (fig. 4). We assumed that during carbachol challenge the airway smooth muscle was likely to increase its tone, and, therefore, the airway wall compliance would decrease. If the frequency at baseline is in sector 1, the wave propagation velocity is likely to increase during the challenge (C→C'). If it is between sector 1 and 2 it is unlikely to change and if it is in sector 2 it is likely to decrease during the carbachol challenge. Since we found that $f_s$ was significantly lower in the group of children who increased $f_s$ during the challenge, it is tempting to speculate that $f_s$ must have been in sector 1 in this group, between 1 and 2 in the group in which $f_s$ did not change, and in sector 2 in the group in which $f_s$ decreased. Unfortunately, the physiological model is highly frequency dependent and $f_s$ can increase or decrease during the challenge test. Therefore, we are unable to make a reliable distinction between a true physiological change in airway wall properties and a statistical regression to the mean. We speculate from the data, that, if $f_s$ represents the frequency of an acoustic antiresonance phenomenon, changes in $f_s$ during carbachol might be an expression of changing airway wall properties.
found that $d$ changed significantly during the carbachol challenge in healthy and in asthmatic children. We cannot exclude the possibility of changes in lung volume during carbachol challenge test. However, we would expect pulmonary hyperinflation rather than deflation during a carbachol challenge. We have shown, in a previous study [14], that the damping factor is lower at higher lung volumes, therefore an increase in $d$ during a carbachol challenge is more likely to be associated with airway obstruction. The value of $d$ changed at even lower provocation doses than $R_{int,EI}$. It also significantly reflected changes in airway mechanics after salbutamol challenge. Since PLAGOO et al. [8] showed previously that the $R_{int,EI}$ reflects the changes in airway mechanics the most sensitivity of any of the parameters of the interrupter curve in children, we conclude that the damping factor, $d$, reflects changes in airway mechanics more sensitively, even at lower provocation doses. This makes the provocation test safer and more comfortable. Furthermore $d$ is not dependent on the complete pressure equilibration between alveolar and mouth pressure, which was always an important limitation of the interrupter technique in the past.

**Conclusion**

We examined whether frequency and damping properties of the postocclusional oscillatory pressure transients after airflow interruption were useful in describing airway mechanics in children during bronchial challenge. We found that the frequency of these oscillations changed during carbachol challenge. The behaviour of this frequency during carbachol and salbutamol challenge is highly complex. We proposed an acoustic antiresonance model to explain the changes in frequency due to changes in airway wall properties. However, the current study shows that changes in the frequency of the postocclusional pressure transients cannot be satisfactorily explained by changes in airway wall compliance, since they are so complex that they cannot be distinguished from simple regression to the mean.

We propose that, for future studies of airway wall properties, more sophisticated distributed model analysis of high frequency impedance data requiring the additional assessment of oscillatory flow, should be used. Since airway wall compliance and stability are important factors in the understanding of flow limitation and wheezing disorders, especially in young children and infants [20], we would like to stress the need for such future studies. Nevertheless, the current study showed that the simple analysis of the damping properties of the postocclusional pressure transients is empirically important, since they are more sensitive to changes in airway mechanics during carbachol challenge in children than the end-interrupter resistance, and because they are independent of complete equilibration between alveolar and mouth pressure, a fact that limited the interrupter technique in the past. The clinical importance of the interrupter technique is based on its non-invasiveness and the fact that it does not require the co-operation of the subjects. This makes it particularly interesting in patients with limited co-operation, such as preschool children.

**The limits of the method can be described as follows.**

The physiology of the acoustic antiresonance phenomenon has been discussed because of the likely similarity of the power spectrum of postocclusional pressure transients after flow interruption and high frequency input impedance measurements [10, 13, 14]. However, the antiresonance phenomenon in the power spectrum of the postocclusion pressure transients cannot strictly be compared to the antiresonances which occur in the impedance spectrum using the forced oscillation technique, because in the forced oscillation technique both oscillatory flow and oscillatory pressure are measured.

Using the interrupter technique with a single airway opening pressure channel, the absolute value of the frequency of the acoustic antiresonance is influenced by the length of the mouthpiece up to the shutter [14]. However, relative changes during carbachol challenge should not be crucially influenced by this fact, because the mouthpiece remains constant. The speed of the shutter closure mainly influences the amplitude of the antiresonance [10]. The limitations of this study show that, in future studies, acoustic resonance phenomena in the respiratory system should be modelled using more sophisticated lung models, for example distributed parameter models [19], which could provide a reliable parameter for airway wall compliance. In order to do so, it would be necessary to measure not only the oscillatory pressure, but also the resulting oscillatory flow, and to calculate high frequency respiratory input impedance [19].

**The damping properties of the postocclusional pressure transients**

We previously showed that the damping properties of the postocclusinal pressure transients increased with increasing airway obstruction [14]. This is reflected by an increase in the exponential damping factor, $d$. We
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