Measurement of Moments of the Hadronic-Mass and -Energy Spectrum in Inclusive Semileptonic $\bar{B} \to X_e \ell^- \nu$ Decays

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Corwin,54 K. Honscheid,54 H. Kagan,54 R. Kass,54 J. P. Morris,54 A. M. Rahimi,54 J. J. Regensburger,54 Q. K. Wong,54 N. L. Blount,55
We present a measurement of moments of the inclusive hadronic-mass and -energy spectrum in semileptonic $B \rightarrow X_c \ell \nu$ decays. This study is based on a sample of 232 million $\Upsilon(4S) \rightarrow BB$ decays recorded by the $\BABAR$ detector at the PEP-II $e^+e^-$-storage rings. We reconstruct the semileptonic decay by identifying a lepton in events tagged by a fully reconstructed hadronic decay of the second $B$ meson. We report preliminary results for the moments $\langle m^k_X \rangle$ with $k = 1, \ldots , 6$ and
(n^k_X) with k = 2, 4, 6 and n^k_X = m^k_X c^4 - 2\bar{A}E_X + \tilde{A}^2, with m_X the mass of the hadronic system, E_X its energy, and \tilde{A} a constant of 0.65 GeV, for different minimal lepton momenta between 0.8 and 1.9 GeV/c measured in the B-meson rest frame. These are predicted in the framework of a Heavy Quark Expansion (HQE), which allows the extraction of the total semileptonic branching fraction, the CKM matrix element |V_{cb}|, and the quark masses m_b and m_c, together with the dominant non-perturbative HQE parameters. We find as preliminary results |V_{cb}| = (41.88 \pm 0.81) \cdot 10^{-3} and m_b = (4.552 \pm 0.055) GeV/c^2.

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I. INTRODUCTION

Measurement of moments of the hadronic-mass [1–5] and lepton-energy [4, 6, 7] spectra in inclusive semileptonic decays \( B \to X_c \ell^- \nu \) have been used to determine the non-perturbative QCD parameters describing these decays and the CKM matrix element |V_{cb}|.

Combined fits to these moments and moments of the photon-energy spectrum in B \( \to X_s \gamma \) decays [8–11] in the context of Heavy Quark Expansions (HQE) of QCD have resulted in precise determinations of |V_{cb}| and m_b, the mass of the b quark. Specifically, they are reported to be |V_{cb}| = (42.0 \pm 0.2 \pm 0.7) \cdot 10^{-3} and m_b = (4.59 \pm 0.03 \pm 0.03) GeV/c^2 in the kinetic mass scheme [12] and |V_{cb}| = (41.4 \pm 0.6 \pm 0.1) \cdot 10^{-3} and m_b = (4.68 \pm 0.03) GeV/c^2 in the 1S scheme [13].

Lepton-energy moments are known with very good accuracy, but the precision of the hadronic-mass and photon-energy moments is limited by statistics. Therefore, we present here an updated measurement of the hadronic-mass moments \( \langle m_X^k \rangle \) with \( k = 1, \ldots, 6 \) based on a larger dataset than previously used [2]. In addition we present measurements of the mixed hadron mass-energy moments \( \langle n^k_X \rangle \) with \( k = 2, 4, 6 \) as proposed by Gambino and Uraltsev [14]. All moments are presented for different cuts on the minimum energy of the charged lepton. The mixed moments use the mass \( m_X \) and the energy \( E_X \) of the X_c system in the B meson rest frame of \( B \to X_c \ell^- \nu \) decays,

\[
\langle n^k_X \rangle = m^k_X c^4 - 2\bar{A}E_X + \tilde{A}^2, \tag{1}
\]

with a constant \( \tilde{A} \), here fixed to be 0.65 GeV as proposed in [14]. They allow a more reliable extraction of the higher-order non-perturbative HQE parameters and thus they are expected to increase the precision on the extraction of |V_{cb}| and the quark masses m_b and m_c.

We perform a combined fit to the hadronic mass moments, measured moments of the lepton-energy spectrum, and moments of the photon energy spectrum in decays B \( \to X_s \gamma \). The fit extracts values for |V_{cb}|, the quark masses m_b and m_c, the total semileptonic branching fraction B(B \( \to X_c \ell^- \nu \)) and the dominant non-perturbative HQE parameters. These are \( \mu^2_{2b} \) and \( \mu^2_{2c} \), parameterizing effects at \( \mathcal{O}(1/m_b^2) \), and \( \rho^D_{B} \) and \( \rho^D_{LS} \), parameterizing effects at \( \mathcal{O}(1/m_b^3) \).

II. BABAR DETECTOR AND DATASET

The analysis is based on data collected with the BABAR experiment [15] at the PEP-II asymmetric-energy e^-e^- storage rings [16] at the Stanford Linear Accelerator Center between October 1999 and July 2004.

The BABAR tracking system used for charged particle and vertex reconstruction has two main components: a silicon vertex tracker (SVT) and a drift chamber (DCH), both operating within a 1.5-T magnetic field of a superconducting solenoid. The transverse momentum resolution is 0.47% at 1 GeV/c. Photons are identified in an electromagnetic calorimeter (EMC) surrounding a detector of internally reflected Cherenkov light (DIRC), which associates Cherenkov photons with tracks for particle identification (PID). The energy of photons is measured with a resolution of 3% at 1 GeV. Muon candidates are identified with the use of the instrumented flux return (IFR) of the solenoid. The detector covers the polar angle of 30° < \theta < 140° in the center of mass (c.m.) frame.

The data sample consists of about 210.4 fb^-1, corresponding to (232 \pm 3) \times 10^9 decays of \( \Upsilon(4S) \to BB \). We use Monte Carlo (MC) simulated events to determine background distributions and to correct for detection acceptance and resolution effects. The simulation of the BABAR detector is realized with GEANT4 [17]. Simulated B meson decays are generated using EvtGen [18]. Final state radiation is modeled with PHOTOS [19].

The simulations of \( B \to X_c \ell^- \nu \) decays use a parameterization of form factors for \( B \to D^* \ell^- \nu \) [20], and models for \( B \to D\pi \ell^- \nu, D^* \pi \ell^- \nu \) [21] and \( B \to D\pi \ell^- \nu, D^* \pi \ell^- \nu \) [22].
III. RECONSTRUCTION OF SEMILEPTONIC DECAYS

A. Selection of Hadronic $B$-Meson Decays

The analysis uses $\Upsilon(4S) \to B\bar{B}$ events in which one of the $B$ mesons decays to hadrons and is fully reconstructed ($B_{\text{reco}}$) and the semileptonic decay of the recoiling $B$ meson ($B_{\text{recoil}}$) is identified by the presence of an electron or muon. While this approach results in a low overall event selection efficiency of only a few per mille, it allows for the determination of the momentum, charge, and flavor of the $B$ mesons. To obtain a large sample of $B$ mesons, many exclusive hadronic decays are reconstructed [23]. The kinematic consistency of these $B_{\text{reco}}$ candidates is checked with two variables, the beam-energy-substituted mass $m_{\text{ES}} = \sqrt{s}/4 - \vec{p}_{\text{miss}}^2$ and the energy difference $\Delta E = E_B - \sqrt{s}/2$. Here $\sqrt{s}$ is the total energy in the c.m. frame, $\vec{p}_B$ and $E_B$ denote the c.m. momentum and c.m. energy of the $B_{\text{reco}}$ candidate. We require $\Delta E = 0$ within three standard deviations, which range between 10 and 30 MeV depending on the number of hadrons used for the reconstruction. For a given $B_{\text{reco}}$ decay mode, the purity is estimated as the signal fraction in events with $m_{\text{ES}} > 5.27 \text{ GeV}/c^2$. For events with one high-momentum lepton with $p_T^\ell \geq 0.8 \text{ GeV}/c$ in the $B$-meson rest frame, the purity is approximately 78%.

B. Selection of Semileptonic Decays

Semileptonic decays are identified by the presence of one and only one electron or muon above a minimum momentum $p_T^\ell_{\text{min}}$ measured in the rest frame of the $B_{\text{recoil}}$. Electrons are selected with 94% average efficiency and a hadron misidentification rate in the order of 0.1%. Muons are identified with an efficiency ranging between 60% for momenta $p = 1 \text{ GeV}/c$ in the laboratory frame and 75% for momenta $p > 2 \text{ GeV}/c$ and a hadron misidentification rate between 1% for kaons and protons and 3% for pions. Efficiencies and misidentification rates are estimated from selected samples of electrons, muons, pions, and kaons. We impose the condition $Q_\ell Q_\ell < 0$, where $Q_\ell$ is the charge of the lepton and $Q_b$ is the charge of the $b$-quark of the $B_{\text{reco}}$. This condition is fulfilled for primary leptons, except for $B^0\bar{B}^0$ events in which flavor mixing has occurred. We require the total observed charge of the event to be $|Q_{\text{tot}}| = |Q_{B_{\text{reco}}} + Q_{B_{\text{recoil}}}| \leq 1$, allowing for a charge imbalance in events with low momentum tracks or photon conversions. In cases where only one charged track is present in the reconstructed $X_c$ system, the total charge in the event is required to be equal to zero.

C. Reconstruction of the Hadronic System

The hadronic system $X_c$ in the decay $\bar{B} \to X_c \ell^- \nu$ is reconstructed from charged tracks and energy depositions in the calorimeter that are not associated with the $B_{\text{reco}}$ or the charged lepton. Procedures are implemented to eliminate fake tracks, low-energy beam-generated photons, and energy depositions in the calorimeter originating from hadronic showers faking the presence of additional particles. Each track is assigned a specific particle type, either $\bar{\nu}$, $K^\pm$, or $\pi^\pm$, based on combined information from the different BABAR subdetectors. The four-momentum of the reconstructed hadronic system $P_X$ is calculated from the four-momenta of the reconstructed tracks $P_{i,\text{trk}}$, reconstructed using the mass of the identified particle type, and photons $P_{i,\gamma}$ by $P_X = \sum_{i=1}^{N_{\text{trk}}} P_{i,\text{trk}} + \sum_{i=1}^{N_{\gamma}} P_{i,\gamma}$. The hadronic mass $m_X$ is calculated from the reconstructed four-momenta as $m_X = \sqrt{P_X^2}$.

The four-momentum of the unmeasured neutrino $\nu_\ell$ is estimated from the missing four-momentum $P_{\text{miss}} = P_{\Upsilon(4S)} - P_{B_{\text{reco}}} - P_{X_c} - P_\ell$. Here, all four-momenta are measured in the laboratory frame. To ensure a well reconstructed hadronic system, we impose criteria on the missing energy, $E_{\text{miss}} > 0.5 \text{ GeV}$, the missing momentum, $|\vec{p}_{\text{miss}}| > 0.5 \text{ GeV}/c$, and the difference of both quantities, $|E_{\text{miss}} - c| |\vec{p}_{\text{miss}}| < 0.5 \text{ GeV}$. After having selected a well reconstructed $B_{\text{reco}}$ and having imposed the selection criteria on $E_{\text{miss}} - c|\vec{p}_{\text{miss}}|$, 4.7% of signal decays and 0.3% of background decays are retained.

Starting from a kinematically well defined initial state additional knowledge of the kinematics of the semileptonic final state is used in a kinematic fit to improve the overall resolution and reduce the bias of the measured values. The fit imposes four-momentum conservation, the equality of the masses of the two $B$ mesons, and constrains the mass of the neutrino, $P_{\nu}^2 = 0$. The resulting average resolutions in $m_X$ and $n_X^2$ are 0.355 GeV/c$^2$ and 1.31 GeV$^2$, respectively. The overall biases of the kinematically fitted hadronic system are found to be $-0.096 \text{ GeV}/c^2$ and $-0.08 \text{ GeV}^2$, respectively. We require the fit to converge, thus ensuring that the constraints are fulfilled.

The background is composed of $e^+e^- \to q\bar{q}$ ($q = u, d, s, c$) events (continuum background) and decays $\Upsilon(4S) \to B^+B^-$ or $B^0\bar{B}^0$ in which the $B_{\text{reco}}$ candidate is mistakenly reconstructed from particles coming from both $B$ mesons in the event (combinatorial background). Missing tracks and photons in the reconstructed hadronic system are not considered an additional source of background since they only affect its resolution. The effect of missing particles in the reconstruction is taken care of by further correction procedures. To quantify the amount of background in the $m_{\text{ES}}$ signal region we perform a fit to the $m_{\text{ES}}$ distribution of the $B_{\text{reco}}$ candidates. We parametrize the background using an empirical threshold...
changing background contributions in different situations. It is composed of charmless semileptonic decays signal and background contributions. Determined in a signal-free region of the $m_{ES}$ sideband, the function is parameterized with a modified Gaussian function [25] peaked at the mean c.m. energy, and $\chi$ is a free parameter defining the curvature of the function. The background shape is determined in a signal-free region of the $m_{ES}$ sideband, $5.21 \leq m_{ES} \leq 5.289$ GeV/c$^2$. Figure 1 shows the $m_{ES}$ distribution for $p_T^\ell \geq 0.8$ GeV/c together with the fitted signal and background contributions.

Residual background is estimated from MC simulations. It is composed of charmless semileptonic decays $B \rightarrow X_\ell \ell^{-}\tau^+$, hadrons misidentified as leptons, secondary leptons from semileptonic decays of $D^{(*)}$, $D^+_s$ mesons or $\tau$ either in $B^0\bar{B}^0$ mixed events or produced in $b \rightarrow c\tau\bar{\nu}$ transitions, as well as leptons from decays of $J/\psi$, and $\psi(2S)$. The simulated background spectra are normalized to the number of $B_{\text{reco}}$ events in data. We verify the normalization using an independent data control sample with inverted lepton charge correlation, $Q_b Q_\ell > 0$.

IV. HADRONIC MASS MOMENTS

We present measurements of the moments $\langle m_X^k \rangle$, with $k = 1, \ldots, 6$, of the hadronic mass distribution in semileptonic $B$ meson decays $B \rightarrow X_\ell \ell^{-}\tau^+$. The moments are measured as functions of the lower limit on the lepton momentum, $p_T^\ell_{\text{min}}$, between 0.8 GeV/c and 1.9 GeV/c calculated in the rest frame of the $B$ meson.

A. Selected Event Sample

The selected event sample contains about 21.5% background. For $p_T^\ell \geq 0.8$ GeV/c we find a total of $15085 \pm 146$ signal events above a combinatorial and continuum background of $2429 \pm 43$ events and residual background of $1696 \pm 19$ events. For $p_T^\ell \geq 1.9$ GeV/c we find $2006 \pm 53$ signal events above a background constituted of $271 \pm 17$ and $248 \pm 7$ combinatorial and residual events, respectively. Figure 2 shows the kinematically fitted $m_X$ distributions together with the extracted background shapes for $p_T^\ell \geq 0.8$ GeV/c and $p_T^\ell \geq 1.9$ GeV/c.

B. Extraction of Moments

To extract unbiased moments $\langle m_X^k \rangle$, additional corrections have to be applied to correct for remaining effects that can distort the measured $m_X$ distribution. Contributing effects are the limited acceptance and resolution of the $B_{\text{reco}}$ detector resulting in unmeasured particles and in misreconstructed energies and momenta of particles. Additionally measured particles not originating from the hadronic system and final state radiation of leptons contribute, too. We correct the kinematically fitted $m_X$ by applying correction factors on an event-by-event basis using the observed linear relationship between the.

\begin{equation}
\frac{dN}{dm_{ES}} \propto m_{ES}\sqrt{1-x^2}e^{-x(1-x^2)},
\end{equation}

where $x = m_{ES}/m_{ES,\text{max}}$, $m_{ES,\text{max}} = 5.289$ GeV/c$^2$ is the kinematic endpoint approximated by the mean c.m. energy, and $\chi$ is a free parameter defining the curvature of the function.
moments of the measured mass $\langle m_{X,\text{reco}}^k \rangle$ and moments of the true underlying mass $\langle m_{X,\text{true}}^k \rangle$. Correction functions are constructed from MC simulations by calculating moments $\langle m_{X,\text{reco}}^k \rangle$ and $\langle m_{X,\text{true}}^k \rangle$ in several bins of the true mass $m_{X,\text{true}}$ and fitting the observed dependence with a linear function.

Studies show that the bias of the measured $\langle m_{X,\text{reco}}^k \rangle$ is not constant over the whole phase space but depends on the resolution and total multiplicity of the reconstructed hadronic system, $N_{X_c}$. Therefore, correction functions are derived in three bins of $N_{X_c}$, three bins of $E_{\text{miss}} - c|\vec{p}_{\text{miss}}|$, as well as in twelve bins of $p_t^*$, each with a width of 100 MeV/c. Due to limited number of generated MC events, the binning in $N_{X_c}$ and $E_{\text{miss}} - c|\vec{p}_{\text{miss}}|$ is abandoned for $p_{t,\text{min}} \geq 1.7$ GeV/c. Overall we construct 75 correction functions for each order of moments. Figure 3 shows examples of correction functions for the moment $\langle m_{X}^2 \rangle$ in three bins of $p_t^*$ as well as in nine bins of $E_{\text{miss}} - c|\vec{p}_{\text{miss}}|$ and $N_{X_c}$.

For each event $i$ the corrected mass $m_{X,\text{calib},i}^k$ is calculated by inverting the linear function,

$$m_{X,\text{calib},i}^k = \frac{m_{X,\text{reco},i}^k - A(E_{\text{miss}} - c|\vec{p}_{\text{miss}}|, N_{X_c}, k, p_t^*)}{B(E_{\text{miss}} - c|\vec{p}_{\text{miss}}|, N_{X_c}, k, p_t^*)},$$

with $A$ the offset and $B$ the slope of the correction function. Background contributions are subtracted by applying weight factors $w_i$ dependent on $m_{X,\text{reco}}^k$, to each corrected hadronic mass, whereby each weight corresponds to the fraction of signal events expected in the corresponding part of the $m_{X,\text{reco}}$ spectrum. This leads to the following expression used for the calculation of the moments:

$$\langle m_{X}^k \rangle = \frac{\sum_{i=1}^{N_{ev}} w_i m_{X,\text{calib},i}^k}{\sum_{i=1}^{N_{ev}} w_i} \times C_{\text{calib}} \times C_{\text{true}}. \quad (4)$$

The factors $C_{\text{calib}}$ and $C_{\text{true}}$ are dependent on the order $k$ and minimal lepton momentum $p_{t,\text{min}}^*$ of the measured moment. They are determined in MC simulations and correct for small biases observed after the calibration. The factors $C_{\text{calib}}$ account for the bias of the applied correction method and range between 0.985 and 0.996. For $\langle m_{X}^6 \rangle$ we observe larger biases ranging between 0.897 and 0.970 for the lowest $p_{t,\text{min}}^*$ between 0.8 GeV/c and 1.2 GeV/c, respectively. The residual bias correction factor $C_{\text{true}}$ accounts for differences in selection efficiencies for different hadronic final states and QED radiation in the final state that is included in the measured hadron mass and distorts the measurement of the lepton’s momentum. The effect of radiative photons is estimated by employing PHOTOS. Our correction procedure results in moments which are free of photon radiation. The residual bias correction $C_{\text{true}}$ is estimated in MC simulations and typically ranges between 0.994 and 1.014. For the moments $\langle m_{X}^5 \rangle$ and $\langle m_{X}^6 \rangle$ slightly higher correction factors are determined ranging between 0.994 and 1.023 as well as 0.994 and 1.036, respectively.

This procedure is verified on a MC sample by applying the calibration to measured hadron masses of individual semileptonic decays, $B \rightarrow D \ell^- \nu$, $B \rightarrow D^* \ell^- \nu$, four resonant decays $B \rightarrow D^{*+} \ell^+ \nu$, and two non-resonant decays $B \rightarrow D^* \pi \ell \nu$. Figure 4 shows the corrected moments $\langle m_{X}^2 \rangle$ and $\langle m_{X}^3 \rangle$ as functions of the true moments for minimal lepton momenta $p_t^* \geq 0.8$ GeV/c. The dashed line corresponds to $\langle m_{X,\text{calib}}^k \rangle = \langle m_{X,\text{true}}^k \rangle$. The calibration reproduces the true moments over the full mass range.

C. Systematic Studies

The principal systematic uncertainties are associated with the modeling of hadronic final states in semileptonic $B$-meson decays, the bias of the calibration method, the subtraction of residual background contributions, the modeling of track and photon selection efficiencies, the identification of particles, as well as the stability of the results. The obtained results are summarized in Tables A.I and A.II for the measured moments $\langle m_{X}^k \rangle$ with $k = 1 \ldots 6$ and selection criteria on the minimum lepton momentum ranging from $p_t^* \geq 0.8$ GeV/c to $p_t^* \geq 1.9$ GeV/c.

1. Modeling of Signal Decays

The uncertainty of the calibration method with respect to the chosen signal model is estimated by changing the composition of the simulated inclusive hadronic spectrum. The dependence on the simulation of high mass hadronic final states is estimated by constructing correction functions only from MC simulated hadronic events with hadronic masses $m_{X,\text{true,cut}} < 2.5$ GeV/c$^2$, thereby removing the high mass tail of the simulated hadronic mass spectrum. The model dependence of the calibration method is found to contribute only little to the total systematic uncertainty. We estimate the model dependence of the residual bias correction $C_{\text{true}}$ by changing the composition of the inclusive hadronic spectrum, thereby omitting one or more decay modes. We associate a systematic uncertainty to the correction of the observed bias of the calibration method $C_{\text{calib}}$ of half the size of the applied correction.

We study the effect of differences between data and MC in the multiplicity and $E_{\text{miss}} - c|\vec{p}_{\text{miss}}|$ distributions on the calibration method by changing the binning of the correction functions. The observed variation of the results is found to be covered by the statistical uncertainties of the calibration functions.
FIG. 3: Examples of calibration functions for \( m_X \) in bins of \( N_{X,\text{true}} \), \( E_{\text{miss}} - E_{\text{miss}} \mid \beta_{\text{miss}} \), and \( p_T^\ell \). Shown are the extracted \( \langle m_{X,\text{rec}} \rangle \) versus \( \langle m_{X,\text{true}} \rangle \) in bins of \( m_{X,\text{true}} \) for \( 0.8 \leq p_T^\ell < 0.9 \text{ GeV/c} \) (●), \( 1.4 \leq p_T^\ell < 1.5 \text{ GeV/c} \) (○), and \( p_T^\ell \geq 1.9 \text{ GeV/c} \) (■). The results of fits of linear functions are overlaid as solid lines. A reference line with \( \langle m_{X,\text{rec}} \rangle = \langle m_{X,\text{true}} \rangle \) is superimposed (dashed line). There is only one calibration function with \( p_T^\ell \geq 1.9 \text{ GeV/c} \) constructed but plotted for better comparability in each bin.

2. Background Subtraction

The branching fractions of background decays in the MC simulation are scaled to agree with current measurements [26]. The associated systematic uncertainty is estimated by varying these branching fractions within their uncertainties. At low \( p_T^\ell_{\text{min}} \), most of the studied background channels contribute to the systematic uncertainty, while at high \( p_T^\ell_{\text{min}} \), the systematic uncertainty is dominated by background from decays \( B \to X_u \ell^+ \nu \). Contributions from \( J/\psi \) and \( \psi(2S) \) decays are found to be negligible.

The uncertainty in the combinatorial \( B_{\text{rec}} \) background subtraction is estimated by varying the lower and upper limits of the sideband region in the \( m_{ES} \) distribution up and down by 2.5 MeV/c\(^2\). The observed effect is found to be negligible.

3. Detector-Related Effects

We correct the MC simulation for differences to data in the selection efficiencies of charged tracks and photons, as well as identification efficiencies and misidentification rates of various particle types. The corrections are extracted from data and MC control samples.

The uncertainty of the photon selection efficiencies is found to be 1.8% per photon independent of energy, polar angle and multiplicity. The systematic uncertainty in track finding efficiencies is estimated to be 0.8% per track. We add in quadrature the statistical uncertainty of the control samples that depend on energy and polar angle of the track as well as the multiplicity of tracks in the reconstructed event. The misidentification of \( \pi^\pm \) mesons as leptons is found to affect the overall normalization of the corresponding background spectra by 8%.

While the latter two uncertainties give only small contributions to the total systematic uncertainty, the uncer-
tainty associated with the selection efficiency of photons is found to be the main source of systematic uncertainties.

4. Stability of the Results

The stability of the results is tested by dividing the data into several independent subsamples: $B^\pm$ and $B^0$, decays to electrons and muons, different run periods of roughly equal data-sample sizes, and two regions in the $E_{\text{miss}} \mp c|\vec{p}_{\text{miss}}|$ spectrum, $-0.5 \leq E_{\text{miss}} - c|\vec{p}_{\text{miss}}| < 0 \text{ GeV}$ and $0 \leq E_{\text{miss}} - c|\vec{p}_{\text{miss}}| < 0.5 \text{ GeV}$, characterized by different resolutions of the reconstructed hadronic system. No significant variations are observed.

The stability of the result under variation of the selection criteria on $E_{\text{miss}} - c|\vec{p}_{\text{miss}}|$ is tested by varying the applied cut between $|E_{\text{miss}} - c|\vec{p}_{\text{miss}}|$ and $0.2 \text{ GeV}$ and $1.4 \text{ GeV}$. For most of the measured moments the observed variation is covered by other known systematic detector and MC simulation effects. In cases where the observed variation is not covered by those effects, we add an additional contribution to the systematic uncertainty of the measurement that compensates the observed difference.

5. Simulation of Radiation

We check the impact of low energetic photons by removing EMC neutral energy deposits with energies below 100 MeV from the reconstructed hadronic system. The effect on the measured moments is found to be negligible.

D. Results

The measured hadronic mass moments $\langle m_X^k \rangle$ with $k = 1 \ldots 6$ as functions of the minimal lepton momentum $p_{\ell,\text{min}}$ are depicted in Fig. 5. All measurements are correlated since they share subsets of selected events. Tables A.I and A.II summarize the numerical results. The statistical uncertainty consists of contributions from the data statistics and the statistics of the MC simulation used for the construction of the correction functions, for the subtraction of residual background, and the determination of the final bias correction. In most cases we find systematic uncertainties that exceed the statistical uncertainty by a factor of 1.5.

V. Mixed Hadronic Mass- and Energy-Moments

The measurement of moments of the observable $n_X^2$, a combination of the mass and energy of the inclusive $X_\nu$ system, as defined in Eq. 1, allow a more reliable extraction of the higher order HQE parameters $\rho^2$, $\rho^2_2$, $\rho^2_3$, and $\rho^2_4$. Thus a smaller uncertainty on the standard model parameters $|V_{cb}|$, $m_b$, and $m_c$ could be achieved.

We present measurements of the moments $\langle n_X^2 \rangle$, $\langle n_X^4 \rangle$, and $\langle n_X^6 \rangle$ for different minimal lepton momenta between 0.8 GeV/c and 1.9 GeV/c calculated in the $B$-meson rest frame. We calculate the central moments $\langle (n_X^2 - \langle n_X^2 \rangle)^2 \rangle$, $\langle (n_X^4 - \langle n_X^4 \rangle)^2 \rangle$, and the moments $\langle (n_X^2 - 1.35 \text{ GeV})^2 \rangle$ and $\langle (n_X^2 - 1.35 \text{ GeV}^2)^2 \rangle$ as proposed in [14].

Due to the structure of the variable $n_X^2$ as a difference of two measured values, its measured resolution and bias are larger than for the mass moments and the sensitivity to $E_{\text{miss}} - c|\vec{p}_{\text{miss}}|$ is increased wrt. to $m_X$. The overall resolution of $n_X^2$ after the kinematic fit for lepton momenta greater than 0.8 GeV/c is measured to be 1.31 GeV$^2$ with a bias of -0.08 GeV$^2$. We therefore introduce stronger requirements on the reconstruction quality of the event. We tighten the criteria on the neutrino observables. The variable $E_{\text{miss}} - c|\vec{p}_{\text{miss}}|$ is required to be between 0 and 0.3 GeV. Due to the stronger requirement on $E_{\text{miss}} - c|\vec{p}_{\text{miss}}|$ the individual variables $E_{\text{miss}}$ and $|\vec{p}_{\text{miss}}|$ have less influence on the resolution of the reconstructed hadronic system. Therefore, the cuts on the missing energy and the missing momentum in the event are loosened to $E_{\text{miss}} > 0 \text{ GeV}$ and $|\vec{p}_{\text{miss}}| > 0 \text{ GeV/c}$, respectively, as they do not yield significant improvement on the resolution of $n_X^2$, and do not increase the ratio of signal to background events.

The final event sample contains about 22% of background events. The background is composed of 12% continuum and combinatorial background and 10% decays of the signal $B$ meson other than the semileptonic decay $B \rightarrow X_\nu \ell^- \nu$. Combinatorial and continuum background is subtracted using the sideband of the $m_{E\gamma}$ distribution, as described above. The residual background events, con-
FIG. 5: Measured hadronic mass moments $\langle m_k^X \rangle$ with $k = 1 \ldots 6$ for different selection criteria on the minimal lepton momentum $p_{\ell,min}^*$. The inner error bars correspond to the statistical uncertainties while the full error bars correspond to the total uncertainties. The moments are highly correlated.

taining a correctly reconstructed $B_{\text{reco}}$ meson, are subtracted using MC simulations. The dominant sources are pions misidentified as muons, $B \rightarrow X_c e^- \bar{\nu}$ decays, and secondary semileptonic decays of $D$ and $D_s$ mesons.

The measured $n_X^2$ spectra for cuts on the lepton momentum at $p_{\ell}^* \geq 0.8$ GeV/c and $p_{\ell}^* \geq 1.9$ GeV/c are shown together with the background distributions in Fig. 6. We measure $7827 \pm 108$ (1278 $\pm$ 42) signal events for $p_{\ell}^* \geq 0.8$ (1.9) GeV/c, respectively.

A. Extraction of Moments

To extract unbiased moments $\langle n_X^k \rangle$, effects that distort the $n_X^2$ distribution need to be corrected. These are the limited detector acceptance, resulting in a loss of particles, the resolution of measured charged particle momenta and energy depositions in the EMC, as well as the radiation of final-state photons. These photons are included in the measured $X_c$ system and thus lead to a modified energy and mass measurement of the inclusive system. In the case of radiation from the lepton, the lepton’s measured momentum is also lowered w.r.t. its initial momentum. The measured moments are corrected for the impact of these photons.

As described before, we find linear relationships correcting the measured means $\langle n_{X,\text{reco}}^k \rangle$ to the true means $\langle n_{X,\text{true}}^k \rangle$ described by first order polynomials. These functions vary with the measured lepton momentum, the measured $E_{\text{miss}} - c|\vec{p}_{\text{miss}}|$, and the measured multiplicity of the inclusive $X_c$ system. The curves are therefore derived in three bins of $E_{\text{miss}} - c|\vec{p}_{\text{miss}}|$ and three bins of the multiplicity for each of the 12 lepton momentum bins of 100 MeV/c. We also find differences for events containing an electron or a muon and therefore derive separate correction functions for these two classes of events. The measured $n_X^k$ value is corrected on an event-by-event basis using the inverse of these functions:

$$n_{X,\text{calib}}^k = \frac{n_{X,\text{reco}}^k - A(E_{\text{miss}} - c|\vec{p}_{\text{miss}}|, N_{X_c}, k, p_{\ell}^*)}{B(E_{\text{miss}} - c|\vec{p}_{\text{miss}}|, N_{X_c}, k, p_{\ell}^*)}. \quad (5)$$

Here $A$ and $B$ are the offset and the slope of the calibration function and differ for each order $k = 2, 4, 6$ and for each of the abovementioned bins. Figure 7 shows calibration curves for the moments $\langle n_X^k \rangle$ ($k = 2, 4, 6$), integrated over all multiplicity bins and bins in $E_{\text{miss}} - c|\vec{p}_{\text{miss}}|$, for three different bins of $p_{\ell}^*$. These calibration curves are extracted separately for events containing an electron or muon. Differences are mainly visible in the low momentum bin.

To verify this calibration procedure, we extract the moments of $n_X^k$ of individual exclusive $B \rightarrow X_c e^- \bar{\nu}$ modes on a MC sample and compare the calibrated moments to the true moments. The result of this study for the moments $\langle n_X^k \rangle$ is plotted in Fig. 8, confirming that the extraction method is able to reproduce the true moments. Small biases remaining after calibration are of the order of 1% for $\langle n_X^2 \rangle$ and in the order of few percent for $\langle n_X^4 \rangle$ and $\langle n_X^6 \rangle$ and are corrected and treated in the systematic uncertainties.
Background contributions are subtracted applying $n_X^2$-dependent weight factors $w_i(n_X^2)$ on an event-by-event basis, leading to the following expression for the moments:

$$\langle n_X^k \rangle = \sum_{i=1}^{N_{ev}} w_i(n_X^2) \cdot n_X^{k, \text{calib}, i} \times C(p_i^*, k)$$ \hspace{1cm} (6)

The bias correction factor $C(p_i^*, k)$ depends on the minimal lepton momentum and the order of the extracted moments. It is derived on MC simulations and corrects for the small bias remaining after the calibration.

### B. Systematic Studies

The main sources of systematic uncertainties have been identified as the simulation of the detector efficiency to detect neutral clusters. The corresponding effect from charged tracks is smaller but still contributes to the uncertainty on the moments. Their impact has been evaluated by randomly excluding neutral or charged candidates from the $X_c$ system with a probability of 1.8% for the neutral candidates and 0.8% for the charged tracks, corresponding to the systematic uncertainties of the efficiency extraction methods. For the tracks we add in quadrature the statistical uncertainties from the control samples to the 0.8% systematic uncertainty. The uncertainty arising from the differences between data and MC in the $E_{\text{miss}} - c|p_{\text{miss}}|$ distributions is evaluated by changing the selected region of $E_{\text{miss}} - c|p_{\text{miss}}|$ to $[0.0, 0.2] \text{ GeV}$ and $[0.0, 0.4] \text{ GeV}$. To evaluate the uncertainty due to the binning of the calibration curves in the multiplicity, we randomly increase the measured multiplicity used for the choice of the calibration curve by one with a probability of 5% corresponding to observed differences between data and MC.

Smaller uncertainties arise from the unknown branching fractions of the background decay modes. Their branching fractions are scaled to agree with recent measurements [26] and are varied within their uncertainties. The MC sample is corrected for differences in the identification efficiencies between data and MC for various particle types. The uncertainty on the background due to pions misidentified as muons is evaluated by changing the MC corrections within the statistical uncertainties of these data control samples. While the background shape does not vary, the amount decreases up to 8%. For the estimate of the uncertainty due to particle identification, we propagate this variation into the extracted moments.

A similar variation procedure is applied for the branching fractions of the exclusive signal modes, varying them several times randomly within 10% for the $D^*$, 15% for the $D$, 50% for the individual $D^{**}$ modes and 75% for the non-resonant modes. The inclusive rate for the decays $\overline{B} \rightarrow X_c \ell^{-} \nu_\ell$ is conserved by rescaling all other modes. In addition, all $D^{**}$ (non-resonant) modes are scaled in common, again randomly within 50%, keeping the inclusive decay rate $\overline{B} \rightarrow X_c \ell^{-} \nu_\ell$ constant by rescaling the non-resonant ($D^{**}$) modes only. Experimental uncertainties on the signal branching fractions are fully covered by these variations [26]. This dependence of the extraction method results in changes of the calibration curve and bias correction, however the impact on the moments measured on data is small. We conservatively add half of the bias correction remaining after calibration to the uncertainty related to the extraction method.

The stability of the results has been tested by splitting the data sample into several independent subsamples: $B^\pm$ and $B^0$, decays to electrons and muons, and different run periods of roughly equal data-sample sizes. No significant variations are observed.

### C. Results

Figure 9 shows the results for the moments $\langle n_X^2 \rangle$, $\langle n_X^4 \rangle$, $\langle n_X^6 \rangle$, and the central moments $(\langle n_X^k - \langle n_X^2 \rangle \rangle_k^k)$ and $(\langle n_X^2 - 1.35 \text{ GeV}^2 \rangle_k^k)$ for $k = 2$ and $3$ as a function of the $p_i^*$ cut. The moments are highly correlated due to the overlapping data samples. The full numerical results...
In most cases we obtain systematic uncertainties slightly exceeding the statistical uncertainty.

VI. DETERMINATION OF $|V_{cb}|$ AND THE QUARK MASSES $m_b$ AND $m_c$

At the parton level, the weak decay rate for $b \rightarrow c\ell \nu$ can be calculated accurately; it is proportional to $|V_{cb}|^2$ and depends on the quark masses, $m_b$ and $m_c$. To relate measurements of the semileptonic $B$-meson decay rate to $|V_{cb}|$, the parton-level calculations have to be corrected for effects of strong interactions. Heavy-Quark Expansions (HQEs) [27–29] have become a successful tool for calculating perturbative and non-perturbative QCD corrections [30–34] and for estimating their uncertainties.

In the kinetic-mass scheme [14, 35–39], these expansions in $1/m_b$ and $\alpha_s(m_b)$ (the strong coupling constant) to order $\mathcal{O}(1/m_b^3)$ contain six parameters: the running kinetic masses of the $b$- and $c$-quarks, $m_b(\mu)$ and $m_c(\mu)$, and four non-perturbative parameters. The parameter $\mu$ denotes the Wilson normalization scale that separates effects from long- and short-distance dynamics. The calculations are performed for $\mu = 1$ GeV [40]. We determine these six parameters from a fit to the moments of the hadronic-mass and electron-energy [6] distributions in semileptonic $B$ decays $B \rightarrow X_c\ell^-\nu$ and moments of the photon-energy spectrum in decays $B \rightarrow X_c\gamma$ [10, 11].

In the kinetic-mass scheme the HQE to $\mathcal{O}(1/m_b^3)$ for the rate $\Gamma_{SL}$ of semileptonic decays $B \rightarrow X_c\ell^-\nu$ can be expressed as [35]...
The leading non-perturbative effects arise at $O(1/m_b^2)$ and are parameterized by $\mu^2(\mu)$ and $\mu_G^2(\mu)$, the expectation values of the kinetic and chromomagnetic dimension-five operators. At $O(1/m_b^2)$, two additional parameters enter, $\rho(\mu)$ and $\rho_{LS}(\mu)$, the expectation values of the Darwin and spin-orbit dimension-six operators, respectively. The ratio $r = m_c^2/m_b^2$ enters in the tree level phase-space factor $z_0(r) = 1 - 8r + 8r^3 - r^4 - 12r^2 \ln r$ and in the function $d(r) = s^2 \ln r + 34/3 - 32r/3 - 8r^2 + 32r^3/3 - 10r^4/3$. The factor $1 + A_{ew}$ accounts for electroweak corrections. It is estimated to be $1 + A_{ew} \approx (1 + \alpha/\pi \ln M_Z/m_b)^2 = 1.014$. The quantity $A_{pert}$ accounts for perturbative contributions and is estimated to be $A_{pert}(r, \mu) \approx 0.908$ [35].

The performed fit uses a linearized expression for the dependence of $|V_{cb}|$ on the values of heavy-quark parameters, expanded around a priori estimates of these parameters [35]:

$$\frac{|V_{cb}|}{0.0417} = \sqrt{\frac{B_{3\gamma}}{2.55}} \times [1 + \alpha(\mu) \ln (m_b/m_\mu)]$$

$$\times [1 - 0.06(m_b - 4.60) + 0.39(m_c - 1.15) + 0.013(\mu^2 - 0.40) + 0.09(\rho_{LS}^3 - 0.20) + 0.15(\rho_{LS}^2 - 0.35) - 0.01(\rho_D^3 + 0.15)].$$

Here $m_b$ and $m_c$ are in GeV/$c^2$ and all other parameters of the expansion are in GeV$^6$; $\tau_B$ refers to the average lifetime of $B$ mesons produced at the $\Upsilon(4S)$ and is given in ps. HQEs in terms of the same heavy-quark parameters are available for hadronic mass, electron-energy, and photon-energy moments. Predictions for these moments are obtained from an analytical calculation. We use these calculations to determine $|V_{cb}|$, the total semileptonic branching fraction $B$, the quark masses $m_b$ and $m_c$, as well as the heavy-quark parameters $\mu^2$, $\mu_G^2$, $\rho_D^3$, and $\rho_{LS}^3$, from a simultaneous $\chi^2$ fit to the measured moments and partial branching fractions, all as functions of the minimal lepton momentum $p_{\ell,\text{min}}^*$ and minimal photon energies $E_{\gamma,\text{min}}$. 

**FIG. 9:** Measured moments $\langle n^2 \rangle$ (a), $\langle n^2 \rangle$ (b), $\langle n^2 \rangle$ (c), and the central moments $\langle (n^2 - C)^2 \rangle$ with $C = \langle n^2 \rangle$ (●) and $C = 1.35$ GeV$^2$ (○) (d), and $\langle (n^2 - C)^2 \rangle$ with $C = \langle n^2 \rangle$ (●) and $C = 1.35$ GeV$^2$ (○) (e) for different cuts on the lepton momentum $p_{\ell}^*$. The error bars indicate the statistical and the total errors, respectively. Please note the logarithmic scale on the $y$-axis in plots (d) and (e). The moments are highly correlated.
A. Extraction Formalism

The fit method designed to extract the HQE parameters from the moments measurements has been reported previously [12, 41]. It is based on a $\chi^2$ minimization,

$$\chi^2 = (\hat{M}_{\text{exp}} - \hat{M}_{\text{HQE}})^T C_{\text{tot}}^{-1} (\hat{M}_{\text{exp}} - \hat{M}_{\text{HQE}}).$$  \hspace{1cm} (9)

The vectors $\hat{M}_{\text{exp}}$ and $\hat{M}_{\text{HQE}}$ contain the measured moments included in the fit and the corresponding moments calculated by theory, respectively. Furthermore, the expression in Eq. 9 contains the total covariance matrix $C_{\text{tot}}$ defined as the sum of the experimental, $C_{\text{exp}}$, and theoretical, $C_{\text{HQE}}$, covariance matrices (see Section VI C).

The total semileptonic branching fraction, $B(B \to X_{\ell}\ell^-\bar{\nu}_\ell)$, is extracted in the fit by extrapolating measured partial branching-fractions, $B_{p_{\ell}^{\text{min}}}(B \to X_{\ell}\ell^-\bar{\nu}_\ell)$, with $p_{\ell}^2 \geq p_{\ell}^{\text{min}}$, to the full lepton energy spectrum. Using HQE predictions of the relative decay fraction

$$R_{p_{\ell}^{\text{min}}} = \frac{\int_{p_{\ell}^{\text{min}}} dE_{\ell}^* \frac{d\Gamma_{\text{HQE}}}{dE_{\ell}}}{\int_0 dE_{\ell}^* \frac{d\Gamma_{\text{HQE}}}{dE_{\ell}}},$$ \hspace{1cm} (10)

the total branching fraction can be introduced as a free parameter in the fit. It is given by

$$B(B \to X_{\ell}\ell^-\bar{\nu}_\ell) = \frac{B_{p_{\ell}^{\text{min}}}(B \to X_{\ell}\ell^-\bar{\nu}_\ell)}{R_{p_{\ell}^{\text{min}}}}.$$ \hspace{1cm} (11)

The total branching fraction can be used together with the average $B$-meson lifetime $\tau_B$ to calculate the total semileptonic rate proportional to $|V_{cb}|^2$,

$$\Gamma_{SL} = \frac{B(B \to X_{\ell}\ell^-\bar{\nu}_\ell)}{\tau_B} \propto |V_{cb}|^2.$$ \hspace{1cm} (12)

By adding $\tau_B$ to the vectors of measured and predicted quantities, $\hat{M}_{\text{exp}}$ and $\hat{M}_{\text{HQE}}$, $|V_{cb}|$ can be extracted from the fit as an additional free parameter using Eq. 8.

The non-perturbative parameters $\mu_G^2$ and $\rho_G^3$ have been estimated from $B$-$B^*$ mass splitting and heavy-quark sum rules to be $\mu_G^2 = (0.35 \pm 0.07) \text{GeV}^2$ and $\rho_G^3 = (-0.15 \pm 0.10) \text{GeV}^3$ [12], respectively. Both parameters are restricted in the fit by imposing Gaussian error constraints.

B. Experimental Input

The combined fit is performed on a subset of available moment measurements with correlations below 95% to ensure the invertibility of the covariance matrix. Since the omitted measurements are characterized by high correlations to other measurements considered in the fit they do not contribute significant additional information and the overall sensitivity of the results is not affected. All results are based on the following set of moment measurements, 27 in total:

- Lepton energy moments measured by BABAR [6]. We use the partial branching fraction $B_{p_{\ell}^{\text{min}}}$ measured for $p_{\ell}^2 \geq 0.6, 1.0, 1.5 \text{GeV}/c$ and the moments $\langle E_{\ell}\rangle$ for $p_{\ell}^2 \geq 0.6, 0.8, 1.0, 1.2, 1.5 \text{GeV}/c$. The lepton energy moments $\langle E_{\ell}\rangle$ are used at the minimal lepton momentum $p_{\ell}^2 \geq 0.6, 1.0, 1.5 \text{GeV}/c$ and $\langle m_{\ell}^2 \rangle$ at $p_{\ell}^2 \geq 0.8, 1.2 \text{GeV}/c$.
- Hadronic mass moments are used as presented in this paper. We select the following subset for the fit: $\langle m_X^2 \rangle$ for $p_{\ell}^2 \geq 0.9, 1.1, 1.3, 1.5 \text{GeV}/c$ and $\langle m_X^4 \rangle$ for $p_{\ell}^2 \geq 0.8, 1.0, 1.2, 1.4 \text{GeV}/c$.
- Photon energy moments measured in $B \to X_s\gamma$ decays are taken from [10] and [11]: $\langle E_{\gamma}\rangle$, $\langle m_{\gamma}^2 \rangle$ for the minimal photon energy $E_{\gamma} \geq 1.9, 2.0 \text{GeV}$ and $\langle E_{\gamma}^2 \rangle$ for $E_{\gamma} \geq 1.9 \text{GeV}$.

In addition we use $\tau_B = f_0 \tau_0 + (1 - f_0) \tau_{\pm}$ = $(1.585 \pm 0.007) \text{ps}$, taking into account the lifetimes [26] of neutral and charged $B$ mesons, $\tau_0$ and $\tau_{\pm}$, and their relative production rates, $f_0 = 0.491 \pm 0.007$ [26], the fraction of $B^0 \bar{B}^0$ pairs.

C. Theoretical Uncertainties

As discussed in [12] and specified in [14] the following theoretical uncertainties are taken into account:

The uncertainty related to the uncalculated perturbative corrections to the Wilson coefficients of non-perturbative operators are estimated by varying the corresponding parameters $\mu_G^2$ and $\rho_G^3$ by 20% and $\rho_{LS}^3$ by 30% around their expected values.

Uncertainties for the perturbative corrections are estimated by varying $\alpha_s = 0.22$ up and down by 0.1 for the hadronic mass moments and by 0.04 for the lepton energy moments around its nominal value.

Uncertainties in the perturbative corrections of the quark masses $m_b$ and $m_c$ are addressed by varying both by 20 MeV/c$^2$ up and down around their expected values.

For the extracted value of $|V_{cb}|$ an additional error of 1.4% is added for the uncertainty in the expansion of the semileptonic rate $\Gamma_{SL}$ [35, 39]. It accounts for remaining uncertainties in the perturbative corrections to the leading operator, uncalculated perturbative corrections to the chromomagnetic and Darwin operator, higher order power corrections, and possible non-perturbative effects in the operators with charm fields. This uncertainty is not included in the theoretical covariance matrix $C_{\text{HQE}}$ but is listed separately as a theoretical uncertainty on $|V_{cb}|$.

For the predicted photon energy moments $\langle E_{\gamma}\rangle$, additional uncertainties are taken into account. As outlined in [36], additional uncertainties of 30% of the applied bias correction to the photon-energy moments and half the difference in the moments derived from two different distribution-function ansätze have to be considered. Both contributions are added linearly.
The theoretical covariance matrix $C_{\text{HQE}}$ is constructed by assuming fully correlated theoretical uncertainties for a given moment with different lepton momentum or photon energy cutoff and assuming uncorrelated theoretical uncertainties for moments of different orders and types. The additional uncertainties considered for the photon energy moments are assumed to be uncorrelated for different moments and photon energy cutoffs.

The fit results for the standard model and HQE parameters are summarized in Table I. We find as preliminary results $|V_{cb}| = (41.88 \pm 0.81) \cdot 10^{-3}$ and $m_b = (4.552 \pm 0.055) \text{GeV}/c^2$. The results are in good agreement with earlier determinations [12, 13], showing slightly increased uncertainties due to the limited experimental input used in this fit.

Figure 11 shows the $\Delta \chi^2 = 0$ contours in the $(m_b, |V_{cb}|)$ and $(m_b, \mu^2)$ planes. It compares the standard fit including photon energy moments, and a fit based on moments from semileptonic $B \rightarrow X_c \ell^+ \nu$ decays only, clearly indicating the significance of the constraints from the $B \rightarrow X_c \gamma$ decays for both $|V_{cb}|$ and $m_b$.

**VII. SUMMARY**

We have reported preliminary results for the moments $\langle m_X^k \rangle$ with $k = 1, \ldots, 6$ of the hadronic mass distribution in semileptonic $B$-meson decays to final states containing a charm quark. In addition we have presented preliminary results for a first measurement of the moments $\langle \bar{n}_X^k \rangle$ for $k = 2, 4, 6$ with $\bar{n}_X^k$, a combination of mass and energy of the hadronic system $X_c$. The results for the mass moments agree with the previous measurements [1–5] but tend in general to higher values, between 1% and 2% for $\langle m_X \rangle$ and $\langle m_X^4 \rangle$, respectively, relative to the previous $\mathit{BaBar}$ measurement [2]. The increased data sample compared to the previous $\mathit{BaBar}$ measurement led...
to significantly smaller statistical uncertainties which are smaller than the systematic uncertainties.

We have made a combined fit in the kinetic scheme to the hadronic mass moments, measured moments of the lepton-energy spectrum [6], and moments of the photon energy spectrum in decays $B \to X_s \gamma$ [10, 11]. The combined fit yields preliminary results for $|V_{cb}|$, the quark masses $m_b$ and $m_c$, the total semileptonic branching fraction $B(B \to X_c \ell \nu)$, and the dominant non-perturbative HQE parameters in agreement with previous determinations. We obtain $|V_{cb}| = (41.88 \pm 0.81) \cdot 10^{-3}$ and $m_b = (4.552 \pm 0.055)$ GeV/c$^2$.

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TABLE A.1: Results for the moments $\langle m_X^k \rangle$ with $k = 1 \ldots 3$ for different cuts on the minimal lepton momentum $p_{T}^{L}$ with absolute statistical and systematic uncertainties. Individual errors sources are specified due to modeling of the signal events, the calibration procedure, the background subtraction, detector efficiencies and resolution, and stability of moment measurements. Minimum lepton momenta cuts are given in GeV/c. Moments and uncertainties are given in ( GeV/c$^3$)$^k$.

| k  | $p_{T,min}$ (GeV/c) | $\langle m_X^k \rangle$ | $\sigma_{stat}$ | $\sigma_{sys}$ | Signal Model | $C_{calib}$ | BG subtr. | Detector | Stability |
|----|-----------------|-----------------|---------------|---------------|-------------|------------|----------|----------|-----------|
| 1  | 0.8 2.0958      | ±0.0083         | ±0.0121       | ±0.0045       | 0.0044      | 0.0044     | 0.0095   | 0.0000   |
|    | 0.9 2.0920      | ±0.0075         | ±0.0107       | ±0.0039       | 0.0042      | 0.0042     | 0.0082   | 0.0000   |
|    | 1.0 2.0872      | ±0.0072         | ±0.0099       | ±0.0038       | 0.0041      | 0.0041     | 0.0070   | 0.0009   |
|    | 1.1 2.0796      | ±0.0072         | ±0.0099       | ±0.0036       | 0.0041      | 0.0041     | 0.0066   | 0.0000   |
|    | 1.2 2.0717      | ±0.0075         | ±0.0104       | ±0.0035       | 0.0043      | 0.0043     | 0.0067   | 0.0032   |
|    | 1.3 2.0661      | ±0.0078         | ±0.0128       | ±0.0032       | 0.0045      | 0.0045     | 0.0067   | 0.0077   |
|    | 1.4 2.0583      | ±0.0081         | ±0.0128       | ±0.0028       | 0.0048      | 0.0048     | 0.0065   | 0.0075   |
|    | 1.5 2.0518      | ±0.0080         | ±0.0121       | ±0.0025       | 0.0053      | 0.0053     | 0.0071   | 0.0045   |
|    | 1.6 2.0433      | ±0.0089         | ±0.0128       | ±0.0025       | 0.0060      | 0.0060     | 0.0079   | 0.0000   |
|    | 1.7 2.0378      | ±0.0105         | ±0.0162       | ±0.0024       | 0.0073      | 0.0073     | 0.0080   | 0.0091   |
|    | 1.8 2.0379      | ±0.0139         | ±0.0168       | ±0.0025       | 0.0089      | 0.0089     | 0.0096   | 0.0075   |
|    | 1.9 2.0350      | ±0.0179         | ±0.0225       | ±0.0020       | 0.0121      | 0.0121     | 0.0121   | 0.0107   |
| 2  | 0.8 4.497       | ±0.038          | ±0.056        | ±0.022        | 0.016       | 0.018      | 0.046    | 0.000    |
|    | 0.9 4.430       | ±0.032          | ±0.048        | ±0.020        | 0.014       | 0.016      | 0.038    | 0.000    |
|    | 1.0 4.407       | ±0.032          | ±0.041        | ±0.019        | 0.014       | 0.015      | 0.030    | 0.000    |
|    | 1.1 4.368       | ±0.031          | ±0.039        | ±0.018        | 0.011       | 0.014      | 0.029    | 0.000    |
|    | 1.2 4.330       | ±0.031          | ±0.041        | ±0.017        | 0.016       | 0.014      | 0.027    | 0.015    |
|    | 1.3 4.299       | ±0.032          | ±0.051        | ±0.015        | 0.018       | 0.015      | 0.027    | 0.033    |
|    | 1.4 4.263       | ±0.033          | ±0.049        | ±0.014        | 0.020       | 0.016      | 0.026    | 0.031    |
|    | 1.5 4.231       | ±0.031          | ±0.045        | ±0.012        | 0.021       | 0.018      | 0.027    | 0.019    |
|    | 1.6 4.186       | ±0.034          | ±0.046        | ±0.012        | 0.026       | 0.020      | 0.031    | 0.000    |
|    | 1.7 4.157       | ±0.040          | ±0.056        | ±0.011        | 0.024       | 0.024      | 0.031    | 0.030    |
|    | 1.8 4.151       | ±0.051          | ±0.058        | ±0.011        | 0.029       | 0.030      | 0.036    | 0.025    |
|    | 1.9 4.128       | ±0.065          | ±0.077        | ±0.008        | 0.035       | 0.040      | 0.045    | 0.031    |
| 3  | 0.8 9.67        | ±0.15           | ±0.21         | ±0.09         | 0.05        | 0.06       | 0.17     | 0.00     |
|    | 0.9 9.54        | ±0.13           | ±0.17         | ±0.08         | 0.04        | 0.05       | 0.14     | 0.00     |
|    | 1.0 9.45        | ±0.11           | ±0.14         | ±0.07         | 0.04        | 0.04       | 0.10     | 0.00     |
|    | 1.1 9.30        | ±0.10           | ±0.13         | ±0.07         | 0.03        | 0.04       | 0.10     | 0.00     |
|    | 1.2 9.16        | ±0.10           | ±0.13         | ±0.07         | 0.04        | 0.04       | 0.09     | 0.04     |
|    | 1.3 9.04        | ±0.10           | ±0.16         | ±0.06         | 0.05        | 0.04       | 0.08     | 0.11     |
|    | 1.4 8.92        | ±0.10           | ±0.15         | ±0.05         | 0.05        | 0.04       | 0.08     | 0.10     |
|    | 1.5 8.79        | ±0.10           | ±0.13         | ±0.04         | 0.06        | 0.05       | 0.08     | 0.06     |
|    | 1.6 8.62        | ±0.10           | ±0.13         | ±0.04         | 0.06        | 0.05       | 0.09     | 0.00     |
|    | 1.7 8.51        | ±0.11           | ±0.15         | ±0.04         | 0.06        | 0.06       | 0.09     | 0.07     |
|    | 1.8 8.47        | ±0.14           | ±0.15         | ±0.04         | 0.05        | 0.07       | 0.10     | 0.05     |
|    | 1.9 8.37        | ±0.18           | ±0.20         | ±0.03         | 0.10        | 0.10       | 0.13     | 0.06     |
TABLE A.II: Results for the moments $\langle m_X^k \rangle$ with $k = 4 \ldots 6$ for different cuts on the minimal lepton momentum $p^*_l$ with absolute statistical and systematic uncertainties. Individual errors sources are specified due to modeling of the signal events, the calibration procedure, the background subtraction, detector efficiencies and resolution, and stability of moment measurements. Minimum lepton momenta cuts are given in GeV/c. Moments and uncertainties are given in (GeV/c$^2$)$^k$.

| $k$ | $p_{l_{\text{min}}}$ | $\langle m_X^k \rangle$ | $\sigma_{\text{stat}}$ | $\sigma_{\text{sys}}$ | Signal Model | $C_{\text{calib}}$ | BG subtr. | Detector | Stability |
|-----|---------------------|------------------------|----------------------|---------------------|-------------|----------------|-----------|----------|-----------|
| 4   | 0.8                 | 21.58 ±0.52            | ±0.72                | 0.30                | 0.12        | 0.21             | 0.61      | 0.00     |           |
|     | 0.9                 | 20.98 ±0.41            | ±0.58                | 0.26                | 0.09        | 0.15             | 0.48      | 0.00     |           |
|     | 1.0                 | 20.69 ±0.37            | ±0.44                | 0.26                | 0.09        | 0.12             | 0.32      | 0.00     |           |
|     | 1.1                 | 20.17 ±0.33            | ±0.40                | 0.24                | 0.06        | 0.11             | 0.29      | 0.00     |           |
|     | 1.2                 | 19.70 ±0.30            | ±0.39                | 0.23                | 0.09        | 0.10             | 0.26      | 0.13     |           |
|     | 1.3                 | 19.28 ±0.29            | ±0.46                | 0.19                | 0.10        | 0.10             | 0.23      | 0.32     |           |
|     | 1.4                 | 18.89 ±0.29            | ±0.43                | 0.17                | 0.11        | 0.10             | 0.22      | 0.29     |           |
|     | 1.5                 | 18.45 ±0.27            | ±0.36                | 0.15                | 0.12        | 0.11             | 0.23      | 0.18     |           |
|     | 1.6                 | 17.89 ±0.27            | ±0.34                | 0.13                | 0.14        | 0.12             | 0.25      | 0.00     |           |
|     | 1.7                 | 17.51 ±0.31            | ±0.37                | 0.12                | 0.13        | 0.14             | 0.25      | 0.14     |           |
|     | 1.8                 | 17.33 ±0.38            | ±0.37                | 0.11                | 0.11        | 0.17             | 0.27      | 0.09     |           |
|     | 1.9                 | 16.98 ±0.46            | ±0.49                | 0.08                | 0.24        | 0.24             | 0.34      | 0.04     |           |
| 5   | 0.8                 | 49.83 ±1.97            | ±2.47                | 1.02                | 0.40        | 0.70             | 2.10      | 0.00     |           |
|     | 0.9                 | 47.33 ±1.40            | ±1.91                | 0.90                | 0.22        | 0.47             | 1.60      | 0.00     |           |
|     | 1.0                 | 46.40 ±1.23            | ±1.39                | 0.87                | 0.21        | 0.36             | 1.00      | 0.00     |           |
|     | 1.1                 | 44.67 ±1.01            | ±1.23                | 0.80                | 0.10        | 0.29             | 0.87      | 0.00     |           |
|     | 1.2                 | 43.22 ±0.93            | ±1.15                | 0.74                | 0.19        | 0.25             | 0.75      | 0.35     |           |
|     | 1.3                 | 41.84 ±0.82            | ±1.32                | 0.62                | 0.21        | 0.24             | 0.64      | 0.92     |           |
|     | 1.4                 | 40.69 ±0.82            | ±1.21                | 0.54                | 0.23        | 0.23             | 0.59      | 0.84     |           |
|     | 1.5                 | 39.21 ±0.73            | ±0.99                | 0.45                | 0.25        | 0.25             | 0.60      | 0.53     |           |
|     | 1.6                 | 37.46 ±0.73            | ±0.88                | 0.39                | 0.30        | 0.27             | 0.68      | 0.00     |           |
|     | 1.7                 | 36.31 ±0.78            | ±0.89                | 0.35                | 0.25        | 0.32             | 0.66      | 0.26     |           |
|     | 1.8                 | 35.68 ±0.96            | ±0.87                | 0.31                | 0.20        | 0.39             | 0.68      | 0.00     |           |
|     | 1.9                 | 34.57 ±1.14            | ±1.17                | 0.23                | 0.58        | 0.53             | 0.84      | 0.00     |           |
| 6   | 0.8                 | 119.64 ±6.56           | ±10.42               | 4.19                | 6.19        | 2.28             | 6.89      | 0.00     |           |
|     | 0.9                 | 109.40 ±4.49           | ±7.80                | 3.85                | 4.13        | 1.43             | 5.19      | 0.00     |           |
|     | 1.0                 | 106.41 ±3.85           | ±5.80                | 3.83                | 2.86        | 1.03             | 3.11      | 0.00     |           |
|     | 1.1                 | 100.70 ±3.22           | ±4.73                | 3.34                | 1.87        | 0.80             | 2.66      | 0.00     |           |
|     | 1.2                 | 96.71 ±2.75           | ±3.99                | 2.95                | 1.47        | 0.60             | 2.17      | 0.00     |           |
|     | 1.3                 | 92.56 ±2.45           | ±3.77                | 2.43                | 1.07        | 0.55             | 1.67      | 2.01     |           |
|     | 1.4                 | 89.20 ±2.28           | ±3.42                | 2.10                | 0.65        | 0.54             | 1.67      | 1.94     |           |
|     | 1.5                 | 84.54 ±1.99           | ±3.03                | 1.84                | 0.58        | 0.56             | 1.63      | 1.58     |           |
|     | 1.6                 | 79.35 ±1.90           | ±2.53                | 1.62                | 0.60        | 0.61             | 1.75      | 0.90     |           |
|     | 1.7                 | 76.01 ±2.01           | ±2.58                | 1.73                | 0.46        | 0.71             | 1.68      | 0.37     |           |
|     | 1.8                 | 73.97 ±2.34           | ±3.07                | 2.41                | 0.35        | 0.87             | 1.66      | 0.00     |           |
|     | 1.9                 | 70.67 ±2.71           | ±4.76                | 3.91                | 1.34        | 1.17             | 2.04      | 0.00     |           |
TABLE A.III: Results for $\langle n_{X}\rangle$ for $k = 2, 4, 6$ for all cuts $p_T^\ell$. The systematic uncertainties are grouped in four categories having related sources: rec. efficiency is the sum of neutral and charged reconstruction efficiency differences data/MC, data/MC mismod. contains the errors from $E_{\text{miss}} - c[\vec{p}_{\text{miss}}]$ differences and multiplicity differences, B bg. decays sums all contributions from the variation of the residual background component (including the fake lepton background), and signal model sums the impact of the variation of the signal decay branching fractions and the error related to the bias correction.

| $k$ | $p_T^\ell$ [GeV/c] | $\langle n_{X}\rangle$ | $\sigma_{\text{stat.}}$ | $\sigma_{\text{sys.}}$ | rec. data/MC | $\sigma_{\text{mismod.}}$ | $\sigma_{\text{bg. decays}}$ | $B_{\text{signal model}}$ |
|-----|-----------------|-----------------|-----------------|-----------------|-------------|-----------------|-----------------|-----------------|
| 2   | 0.8             | $1.456 \pm 0.048 \pm 0.090$ | 0.054 | 0.071 | 0.010 | 0.009 |
|     | 0.9             | $1.447 \pm 0.040 \pm 0.053$ | 0.038 | 0.035 | 0.010 | 0.006 |
|     | 1.0             | $1.440 \pm 0.035 \pm 0.056$ | 0.042 | 0.035 | 0.008 | 0.008 |
|     | 1.1             | $1.395 \pm 0.032 \pm 0.054$ | 0.038 | 0.035 | 0.006 | 0.014 |
|     | 1.2             | $1.376 \pm 0.030 \pm 0.051$ | 0.035 | 0.034 | 0.004 | 0.012 |
|     | 1.3             | $1.356 \pm 0.029 \pm 0.049$ | 0.032 | 0.034 | 0.004 | 0.011 |
|     | 1.4             | $1.327 \pm 0.027 \pm 0.047$ | 0.032 | 0.034 | 0.004 | 0.009 |
|     | 1.5             | $1.321 \pm 0.028 \pm 0.046$ | 0.030 | 0.033 | 0.004 | 0.008 |
|     | 1.6             | $1.318 \pm 0.028 \pm 0.044$ | 0.027 | 0.034 | 0.004 | 0.007 |
|     | 1.7             | $1.295 \pm 0.030 \pm 0.044$ | 0.027 | 0.034 | 0.005 | 0.006 |
|     | 1.8             | $1.270 \pm 0.035 \pm 0.045$ | 0.028 | 0.034 | 0.006 | 0.006 |
|     | 1.9             | $1.193 \pm 0.040 \pm 0.043$ | 0.021 | 0.033 | 0.007 | 0.015 |
| 4   | 0.8             | $3.32 \pm 0.46 \pm 0.69$ | 0.34 | 0.59 | 0.08 | 0.12 |
|     | 0.9             | $3.24 \pm 0.35 \pm 0.26$ | 0.17 | 0.16 | 0.07 | 0.10 |
|     | 1.0             | $3.15 \pm 0.25 \pm 0.27$ | 0.19 | 0.16 | 0.05 | 0.11 |
|     | 1.1             | $2.73 \pm 0.20 \pm 0.24$ | 0.13 | 0.16 | 0.04 | 0.12 |
|     | 1.2             | $2.60 \pm 0.16 \pm 0.23$ | 0.11 | 0.16 | 0.01 | 0.12 |
|     | 1.3             | $2.44 \pm 0.13 \pm 0.22$ | 0.09 | 0.16 | 0.02 | 0.11 |
|     | 1.4             | $2.32 \pm 0.12 \pm 0.20$ | 0.07 | 0.16 | 0.01 | 0.10 |
|     | 1.5             | $2.26 \pm 0.11 \pm 0.21$ | 0.08 | 0.16 | 0.01 | 0.11 |
|     | 1.6             | $2.13 \pm 0.09 \pm 0.10$ | 0.06 | 0.07 | 0.00 | 0.05 |
|     | 1.7             | $1.94 \pm 0.09 \pm 0.10$ | 0.05 | 0.07 | 0.00 | 0.05 |
|     | 1.8             | $1.82 \pm 0.09 \pm 0.11$ | 0.06 | 0.06 | 0.00 | 0.06 |
|     | 1.9             | $1.58 \pm 0.09 \pm 0.08$ | 0.05 | 0.06 | 0.00 | 0.03 |
| 6   | 0.8             | $12.52 \pm 4.21 \pm 5.80$ | 2.83 | 4.95 | 0.63 | 0.82 |
|     | 0.9             | $12.00 \pm 3.21 \pm 1.74$ | 1.13 | 1.05 | 0.55 | 0.59 |
|     | 1.0             | $10.98 \pm 2.05 \pm 1.75$ | 1.22 | 1.04 | 0.35 | 0.61 |
|     | 1.1             | $7.25 \pm 1.34 \pm 1.32$ | 0.63 | 1.04 | 0.22 | 0.47 |
|     | 1.2             | $6.48 \pm 0.97 \pm 1.25$ | 0.54 | 1.03 | 0.09 | 0.46 |
|     | 1.3             | $5.60 \pm 0.75 \pm 1.17$ | 0.35 | 1.03 | 0.08 | 0.41 |
|     | 1.4             | $5.12 \pm 0.56 \pm 1.09$ | 0.17 | 1.03 | 0.03 | 0.33 |
|     | 1.5             | $4.85 \pm 0.49 \pm 1.11$ | 0.19 | 1.02 | 0.02 | 0.39 |
|     | 1.6             | $4.02 \pm 0.32 \pm 0.28$ | 0.16 | 0.18 | 0.02 | 0.15 |
|     | 1.7             | $3.38 \pm 0.26 \pm 0.25$ | 0.12 | 0.18 | 0.01 | 0.13 |
|     | 1.8             | $3.02 \pm 0.23 \pm 0.29$ | 0.14 | 0.17 | 0.01 | 0.17 |
|     | 1.9             | $2.44 \pm 0.20 \pm 0.21$ | 0.11 | 0.17 | 0.02 | 0.05 |

TABLE A.IV: Results for $\langle (n_{X} - \langle n_{X} \rangle)^2 \rangle$ for all measured cuts on $p_T^\ell$.

| $p_T^\ell$ [GeV/c] | $\langle (n_{X} - \langle n_{X} \rangle)^2 \rangle$ | $\sigma_{\text{stat.}}$ | $\sigma_{\text{sys.}}$ |
|-----------------|-----------------|-----------------|-----------------|
| 0.8             | 1.20 | 0.34 | 0.57 |
| 0.9             | 1.15 | 0.25 | 0.28 |
| 1.0             | 1.08 | 0.16 | 0.21 |
| 1.1             | 0.78 | 0.12 | 0.17 |
| 1.2             | 0.71 | 0.10 | 0.16 |
| 1.3             | 0.60 | 0.08 | 0.14 |
| 1.4             | 0.56 | 0.07 | 0.13 |
| 1.5             | 0.52 | 0.06 | 0.14 |
| 1.6             | 0.39 | 0.05 | 0.08 |
| 1.7             | 0.27 | 0.05 | 0.08 |
| 1.8             | 0.21 | 0.05 | 0.09 |
| 1.9             | 0.16 | 0.06 | 0.09 |
TABLE A.V: Results for $\langle (n_\chi^2 - 1.35 \text{ GeV}^2)^2 \rangle$ for all measured cuts on $p_\ell^*$. 

| $p_\ell^*$ [GeV/c] | $\langle (n_\chi^2 - 1.35 \text{ GeV}^2)^2 \rangle$ [GeV$^2$] | $\sigma_{\text{stat.}}$ | $\sigma_{\text{stat.} + \text{sys.}}$ |
|-------------------|--------------------------|----------------|------------------|
| 0.8              | 1.21                    | 0.40           | 0.69             |
| 0.9              | 1.16                    | 0.30           | 0.35             |
| 1.0              | 1.09                    | 0.20           | 0.28             |
| 1.1              | 0.78                    | 0.15           | 0.22             |
| 1.2              | 0.71                    | 0.12           | 0.20             |
| 1.3              | 0.60                    | 0.09           | 0.18             |
| 1.4              | 0.56                    | 0.08           | 0.16             |
| 1.5              | 0.52                    | 0.07           | 0.16             |
| 1.6              | 0.39                    | 0.05           | 0.06             |
| 1.7              | 0.27                    | 0.05           | 0.04             |
| 1.8              | 0.21                    | 0.03           | 0.05             |
| 1.9              | 0.19                    | 0.02           | 0.02             |

TABLE A.VI: Results for $\langle (n_\chi^2 - \langle n_\chi^2 \rangle)^3 \rangle$ for all measured cuts on $p_\ell^*$. 

| $p_\ell^*$ [GeV/c] | $\langle (n_\chi^2 - \langle n_\chi^2 \rangle)^3 \rangle$ [GeV$^3$] | $\sigma_{\text{stat.}}$ | $\sigma_{\text{stat.} + \text{sys.}}$ |
|-------------------|--------------------------|----------------|------------------|
| 0.8              | 4.19                     | 2.38           | 3.92             |
| 0.9              | 3.99                     | 1.85           | 1.99             |
| 1.0              | 3.33                     | 1.13           | 1.36             |
| 1.1              | 1.26                     | 0.66           | 0.84             |
| 1.2              | 0.96                     | 0.43           | 0.67             |
| 1.3              | 0.68                     | 0.34           | 0.59             |
| 1.4              | 0.57                     | 0.22           | 0.53             |
| 1.5              | 0.51                     | 0.18           | 0.53             |
| 1.6              | 0.18                     | 0.12           | 0.17             |
| 1.7              | 0.18                     | 0.11           | 0.16             |
| 1.8              | 0.19                     | 0.12           | 0.19             |
| 1.9              | 0.16                     | 0.15           | 0.21             |

TABLE A.VII: Results for $\langle (n_\chi^2 - 1.35 \text{ GeV}^2)^3 \rangle$ for all measured cuts on $p_\ell^*$. 

| $p_\ell^*$ [GeV/c] | $\langle (n_\chi^2 - 1.35 \text{ GeV}^2)^3 \rangle$ [GeV$^3$] | $\sigma_{\text{stat.}}$ | $\sigma_{\text{stat.} + \text{sys.}}$ |
|-------------------|--------------------------|----------------|------------------|
| 0.8              | 4.57                     | 3.31           | 5.58             |
| 0.9              | 4.33                     | 2.54           | 2.85             |
| 1.0              | 3.62                     | 1.59           | 2.04             |
| 1.1              | 1.36                     | 0.98           | 1.33             |
| 1.2              | 1.01                     | 0.66           | 1.08             |
| 1.3              | 0.69                     | 0.52           | 0.94             |
| 1.4              | 0.53                     | 0.36           | 0.82             |
| 1.5              | 0.46                     | 0.29           | 0.80             |
| 1.6              | 0.14                     | 0.16           | 0.22             |
| 1.7              | 0.13                     | 0.10           | 0.16             |
| 1.8              | 0.14                     | 0.09           | 0.16             |
| 1.9              | 0.08                     | 0.09           | 0.15             |