A novel approach to 3D bone creation in minutes

3D ULTRASOUND

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Aims
The objective of this study is to assess the use of ultrasound (US) as a radiation-free imaging modality to reconstruct 3D anatomy of the knee for use in preoperative templating in knee arthroplasty.

Methods
Using an US system, which is fitted with an electromagnetic (EM) tracker that is integrated into the US probe, allows 3D tracking of the probe, femur, and tibia. The raw US radiofrequency (RF) signals are acquired and, using real-time signal processing, bone boundaries are extracted. Bone boundaries and the tracking information are fused in a 3D point cloud for the femur and tibia. Using a statistical shaping model, the patient-specific surface is reconstructed by optimizing bone geometry to match the point clouds. An accuracy analysis was conducted for 17 cadavers by comparing the 3D US models with those created using CT. US scans from 15 users were compared in order to examine the effect of operator variability on the output.

Results
The results revealed that the US bone models were accurate compared with the CT models (root mean squared error (RMSE): femur, 1.07 mm (SD 0.15); tibia, 1.02 mm (SD 0.13). Additionally, femoral landmarking proved to be accurate (transepicondylar axis: 1.07° (SD 0.65°); posterior condylar axis: 0.73° (SD 0.41°); distal condylar axis: 0.96° (SD 0.89°); medial anteroposterior (AP): 1.22 mm (SD 0.69); lateral AP: 1.21 mm (SD 1.02)). Tibial landmarking errors were slightly higher (posterior slope axis: 1.92° (SD 1.31°); and tubercle axis: 1.91° (SD 1.24°)). For implant sizing, 90% of the femora and 60% of the tibiae were sized correctly, while the remainder were only one size different from the required implant size. No difference was observed between moderate and skilled users.

Conclusion
The 3D US bone models were proven to be closely matched compared with CT and suitable for preoperative planning. The 3D US is radiation-free and offers numerous clinical opportunities for bone visualization rapidly during clinic visits, to enable preoperative planning with implant sizing. There is potential to extend its application to 3D dynamic ligament balancing, and intraoperative registration for use with robots and navigation systems.

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Introduction
Osteoarthritis (OA) is a degenerative, progressive condition that affects the joints of approximately 50 million adults and 300,000 children in the USA. It most commonly occurs in the knees, hips, spine, and small joints of the hands and feet. Nearly half of all Americans will develop symptoms of knee OA during their lifetime. Joint pain, in general, is a major public health problem, responsible for significant costs and disability in the USA. Due at least in part to underlying OA, the direct and indirect (lost work) cost was $322 billion between 2012 and 2014.

Imaging of the knee is important to help manage OA. Radiographs are important for diagnosis and surgical planning and postoperative evaluation of patients with knee OA. However,
radiographs are used while acknowledging the inherent risk of radiation exposure to the patient and clinical staff. MRI can also be used to image the knee, however its role is more limited due to longer scan time, increased cost, and incompatibility with some implants.

A major challenge for conservative management of joint pain is the lack of low-cost, accurate, radiation-free imaging. A low cost in office imaging modality to visualize joints accurately would represent a significant musculoskeletal innovation. Radiographs acquired during clinical visits are deemed the standard method for assessing joint health and are considered inexpensive, but show only joint space and osseous anatomy in a single plane. Multiple radiographs are required to view bone in different planes, but all visual assessment is conducted in 2D. Radiographs are unable to visualize directly articular cartilage, synovial bursae, menisci, ligaments, and other soft tissues involved in the development of OA. In addition, radiograph-based imaging systems (CT, radiograph, and fluoroscopy) expose patients to radiation.3-6

Ultrasound (US) is radiation-free and is widely accepted as a means of imaging soft tissues and joints, but not without limitations. Common US imaging techniques do not image the joint space adequately due to difficult-to-interpret 2D planar images with a limited field of view and penetration, which limits their use in orthopaedics.

As medicine moves towards patient-centred and value-based practice, preoperative planning provides valuable information to surgeons, especially when combined with 3D imaging. Potential advantages of 3D pre-surgical planning include higher accuracy compared with 2D templating,7 and greater cost-effectiveness by reducing requested instruments and intraoperative time.7 3D imaging such as CT has been shown to improve surgical outcomes in different joints by enabling a more accurate diagnosis and surgical planning compared to 2D radiographs.8

CT and MRI are currently used in orthopaedics for reconstruction of patient-specific 3D bone models. However, little research has been conducted on the use of US in the 3D patient-specific modelling of bones and is limited to the use of brightness (B-)mode US images rather than the radiofrequency (RF) data which carry more accurate information. Barratt et al9 and Chan et al10 have researched the instantiation of femoral and pelvic 3D models using B-mode US. They manually extracted the bone contours from B-mode US images. Principal component analysis (PCA)-based statistical deformable models (SDMs) were then used to reconstruct patient-specific bone models. Performing the experiments on three cadavers, a mean reconstruction root mean squared error (RMS) of 3.5 mm was achieved. The limitations of this work are the manual segmentation of the US images, the use of a bone-implanted reference probe, and the high reconstruction error.

Kilian et al11 investigated the reconstruction of the distal femoral bone model using tracked B-mode US. One cadaveric distal femur was used to test the developed system and an optical motion tracking system was used for the US probe’s motion tracking. The reconstruction error was specified to be less than 1 mm with local error values exceeding 2 mm at the trochlear groove and femoral condyles.

The objective of this study was to use an office-based, fully automated, real-time, noninvasive imaging system to reconstruct 3D knee models using RF US without the requirement to use a bone-implanted reference probe. In addition, we examine the accuracy of the system compared with CT and assess the feasibility of its use for preoperative planning. It is hypothesized that 3D US can be an effective alternative to radiographs for preoperative imaging during the initial preoperative appointment, making it more convenient for the surgeon and the patient.

Methods

Overview. In this study, we used a diagnostic US system directly accessing the US raw RF signals and integrated with an electromagnetic (EM) tracking system. Figure 1 outlines the system components which consist of an US unit, EM GPS transmitter, two EM sensors which are skin-mounted to the femur and
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Clinical workflow of model’s creation using ultrasound and surgical planning.

Table I. Femoral and tibial landmarks used in comparison.

| Femoral landmarks                  | Tibial landmarks                  |
|------------------------------------|-----------------------------------|
| Transepicondylar axis (TEA)        | Posterior slope axis (PSA)        |
| Posterior condylar axis (PCA)      | Third tubercle axis (TTA)        |
| Distal condylar axis (DCA)         | Anatomical axis (TAA)             |
| Anterior cortex point              | Anterior cortex point             |
| Anterior medial point              | Anterior medial point             |
| Anterior lateral point             | Anterior lateral point            |
| Anatomical axis (FAA)              | Anatomical axis (TAA)             |

For example, parts of the tibial plateau are concealed by the femoral condyle and part of the trochlear groove is occluded by the patella. To fill those gaps, a statistical interpolation step is performed using statistical shape modelling (SSM).12-14 During this iterative process, the surface of the bone is constructed from the point clouds guided by the deformable SSM acting as a 3D signal filter until the surface matches the 3D US point clouds.15 The final relaxation step is applied to ensure the output models match the exact geometry of the 3D US point clouds. This step ensures abnormal anatomy and osteophytes are also captured in the final model. The output of the reconstruction algorithm is 3D patient-specific femoral and tibial bone models that match exactly the 3D US point clouds in areas where the data are available and approximate the anatomy in areas where the bones were occluded.  

Experiments. A total of 17 cadavers were used to assess the accuracy of reconstruction compared with CT. Cadaveric specimens were thawed to room temperature and were scanned in a...
manner to replicate the clinical use of the system. 3D US was used to scan each cadaveric knee, flexed at 60°. The EM trackers were attached to the femur and tibia. The scan and clinical workflow of the system are outlined in Figure 3. The user began by scanning the femur, followed by the tibia. The 3D images created of the bones for each cadaver were then exported to the surgical planner where femoral and tibial landmarks were automatically calculated. A list of landmarks used in the analysis can be found in Table I. Next, an analysis was performed to assess the differences between the 3D bone models generated from CT (using the process of segmentation) and those created using US. A statistical evaluation was conducted on various parameters to assess the error.

**Error analysis.** Comparison between the US- and the CT-generated 3D bone models was performed as follows: register CT to US model using iterative closest point (ICP); compute the landmarks outlined in Table I for the CT models, in addition to the mechanical axis which was calculated as the line joining the femoral head and joint centre; compute surface-to-surface statistics between each pair of CT and US models by finding the distance between each point on the US model and the closest point on the CT surface (use the statistics to compute the RMS error); for each set of models, an anatomical coordinate system was established. The mechanical axis of the CT data was used to define the proximal/distal direction.

The following differences in landmarks and orientation of relevant clinical axes were calculated: for femoral transepicondylar axis (TEA) and posterior condylar axis (PCA) (difference in varus/valgus); for distal condylar axis (difference in varus/valgus); medial anteroposterior (AP) distance (MAP); lateral AP distance (LAP); difference in tibia posterior slope axis (PSA); difference in internal/external rotation of the medial one-third of the tibial tubercle to posterior cruciate ligament (PCL) attachment point (third tubercle axis (TTA)); calculate the difference in implant size between the US and CT models for both femur and tibia.

**Interobserver study.** Usability of the system and the effect of user experience level on the accuracy of the system was evaluated using 15 users with varying US experience (unskilled (no previous US experience and introductory anatomy knowledge) to expert (previous US experience and in depth knowledge of anatomy)). Each user completed at least one complete scan of a knee phantom. Surgical landmarks were then calculated on the output femoral and tibial models for each user and the ground truth phantom CT models. The angular differences between femur (TEA, PCA, and FAA) and tibia (TTA and TAA) were then calculated.

**Statistical analysis.** Given the nature of our analysis, we choose to use the one-sample t-test for equivalence to examine the equivalency between the 3D models created from the US system and those created from CT. An equivalency interval of 1.5 mm was adopted which is an acceptable margin of error for preoperative planning application and intraoperative guidance. PASS 2020 software (NCSS, USA) was used to perform power analysis using the independent-samples t-test equivalence procedure using an α of 0.05 on both femoral and tibia RMS error.

For the accuracy, analysis descriptive statistics were calculated including mean and standard deviation (SD) for each measurement.

To examine the output of the interobserver study, NCSS 2020 was used to perform one-way analysis of variance (ANOVA) using Kruskal-Wallis ANOVA on ranks and the Kruskal-Wallis multiple-comparison z-value test. The level of statistical significance was set at a p-value < 0.05.

**Results**

The accuracy comparison performed on 17 cadaveric specimens revealed that US bone models were comparable with CT models with a power value of 99% and 100% for femur and tibia, respectively. The mean for femur RMS error was found to be 1.07 mm (SD 0.15), and 1.02 mm (SD 0.13) for the tibia. Figure 4 and Figure 5 show examples of a surface-to-surface error map for the femur and tibia, respectively, for a cadaveric specimen. Differences in the orientation of surgical axes in the femur and tibia for the 17 cadavers (Table II) were found to be TEA axis: 1.07° (SD 0.65°); PCA: 0.73° (SD 0.41°); and DCA: 0.96° (SD 0.89°). In addition, examining the difference, MAP was 1.22 mm (SD 0.69), whereas LAP was 1.21 mm (SD 1.02). Tibial landmarking errors were slightly higher than those noted for the femur with mean difference in posterior slope axis of 1.92° (SD 1.31°) and 1.91° (SD 1.24°) for the one-third tubercle axis. Figure 6 shows the comparison of landmarks for one of the cadaveric specimens between CT and US. In all, 90% of the femora and 60% of the tibiae were
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Table II. The mean difference in landmarks for femur and tibia (n = 17 cadavers) between the CT and ultrasound-generated models.

| Measurement | Mean difference (SD) |
|-------------|----------------------|
| Femur       |                      |
| TEA, °      | 1.07 (0.65)          |
| PCA, °      | 0.73 (0.41)          |
| DCA, °      | 0.96 (0.89)          |
| MAP, mm     | 1.22 (0.69)          |
| LAP, mm     | 1.21 (1.02)          |
| Tibia       |                      |
| PSA, °      | 1.92 (1.31)          |
| TTA, °      | 1.91 (1.24)          |

DCA, distal condylar axis; LAP, lateral anteroposterior length; MAP, medial anteroposterior length; PCA, posterior condylar axis; PSA, posterior slope axis; SD, standard deviation; TEA, transepicondylar axis; TTA, third tubercle axis.

Discussion

In this work we presented a real-time knee imaging system for automatic reconstruction of patient-specific 3D bone models using US, and explored the potential clinical impact of the system by evaluating surface and landmark discrepancies with those found on CT scanning. With surface discrepancies near 1 mm and clinical landmarks well localized, the models from the system performed well, with all components from the surgical planning exercise correct to within one size, when using the CT scans as the reference standard. Additionally, the effect of user experience on the outcome of the system was evaluated and showed no statistical difference in the output models between users with different levels of experience. It has been demonstrated that even segmentation from CT is prone to variability, which is why it is important to achieve consistency in results across users.

The accuracy of this system is in line with clinical need, specifically to support surgical planning, as demonstrated by the accuracy of the experiment results. In addition, surface error accuracy has demonstrated the potential to use the system to guide placement of injections. Another benefit of this system is that the entire workflow of imaging, bone reconstruction, and planning could be performed in one visit and improve efficiency. One of the criticisms for 2D US pertains to the fact that well trained, experienced sonographers must be used because the transducer is held by hand during most US procedures. The details of acquisition, including the view angle, significantly influence both the field of view and the image quality, which makes operator experience the main factor in imaging modality effectiveness. With an automated guided reconstruction, the system eliminated the need for operator interpretation of the B-mode images, which is a major step in simplifying the procedure. The encouraging results of the interobserver study suggest the potential to expand the use of the system to a wider group of clinicians with variable expertise.

Limitations of this work include use of cadaveric specimens only, which are different in temperature to a patient population. This discrepancy must be accounted for and may affect US accuracy. Some previous studies have even attempted to calibrate for speed of sound when in an unknown medium. Additionally, cadavers may vary greatly in terms of tissue quality and are likely not representative of an osteoarthritic patient population in obesity or level of bone pathology.

Another limitation was the use of a single linear US transducer. Switching to a lower-frequency curvilinear transducer may yield better results as it would provide a signal capable of penetrating deeper into the tissue. This is important for evaluating the posterior aspects of the femur. The intraobserver study sample size was limited to five individuals per group; an increase in the number of participants in each group in future studies would provide greater statistical power.
While our study does not specifically address the dynamic imaging element of the system, we have shown promising results for establishing a radiation-free, on-site imaging system for building 3D models of the knee and have shown that those models are sufficient for pre-surgical planning. It is unlikely that radiographs, CT, and MRI will be entirely replaced by the US system, but we believe we have demonstrated that there is an opportunity to reduce the use of ionizing radiation. Additionally, further development may enable a preparative appreciation of the perirarticular soft-tissues. Future work seeks to improve the system by increasing accuracy through various methods, including upgrading the imaging and tracking systems, and using US harmonics to enhance the bone contour detection. Various software improvements have been undertaken to increase the reproducibility, such as automatically detecting when a scan has been completed sufficiently to yield acceptable results.

Use of US has thus far been limited in the field of joint replacement. The system outlined may offer a clinically applicable, non-ionizing radiation method for imaging bone.

Take home message
- 3D ultrasound (US) offers numerous clinical opportunities for bone creation in minutes during their office visit, surgeon- patient preoperative planning, 3D dynamic ligament balancing and intraoperative registration for use with robots and navigation systems.
- Bone models created from 3D US are accurate when used compared to CT.

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