Graded cofinite rings of differential operators

FRIEDRICH KNOP
Department of Mathematics, Rutgers University, New Brunswick NJ 08903, USA
knop@math.rutgers.edu

We classify subalgebras of a ring of differential operators which are big in the following sense: the extension of associated graded rings is finite. We show that these subalgebras correspond, up to automorphism, to uniformly ramified finite morphisms. This generalizes a theorem of Levasseur-Stafford on the generators of the invariants of a Weyl algebra under a finite group.

1. Introduction

In this paper we study subalgebras \( A \) of the algebra \( D(X) \) of differential operators on a smooth variety \( X \) which are big in the following sense: using the order of a differential operator, the ring \( D(X) \) is equipped with a filtration. Its associated graded algebra \( \overline{D}(X) \) is commutative and can be regarded as the set of regular functions on the cotangent bundle of \( X \). The subalgebra \( A \) inherits a filtration from \( D(X) \) and its associated graded algebra \( \overline{A} \) is a subalgebra of \( \overline{D}(X) \). We call \( A \) graded cofinite in \( D(X) \) if \( \overline{D}(X) \) is a finitely generated \( \overline{A} \)-module.

Our guiding example of a graded cofinite subalgebra is the algebra of invariants \( D(X)^W \) where \( W \) is a finite group acting on \( X \).

Other examples can be constructed as follows. Let \( \varphi : X \to Y \) be a finite dominant morphism onto a normal variety \( Y \). Then we put

\[
D(X, Y) = \{ D \in D(X) \mid D(O(Y)) \subseteq O(Y) \}.
\]

We show (Corollary 3.6) that this subalgebra is graded cofinite if and only if the ramification of \( \varphi \) is uniform, i.e., the ramification degree of \( \varphi \) along a divisor \( D \subset X \) depends only on the image \( \varphi(D) \).

It should be noted that these two constructions are in fact more or less equivalent. In Theorem 3.1 we show that \( D(X)^W = D(X, X/W) \). Conversely, we show in Proposition 3.3 that \( D(X, Y) = D(\tilde{X})^W \) where \( \tilde{X} \to X \) is a suitable finite cover of \( X \) and \( W \) is a finite group acting on \( \tilde{X} \).
Our main result is that up to automorphisms every graded cofinite subalgebra is of form above:

**1.1. Theorem.** Let $X$ be a smooth variety and $A$ a graded cofinite subalgebra of $D(X)$. Then there is an automorphism $\Phi$ of $D(X)$, inducing the identity on $\overline{D}(X)$, such that $A = \Phi D(X, Y)$ for some uniformly ramified morphism $\varphi : X \to Y$.

The main motivation for this notion came from the following result of Levasseur and Stafford: let $W$ be a finite group acting linearly on a vector space $V$. Then $D(V)^W$ is generated by the $W$-invariant functions $O(V)^W$ and the $W$-invariant constant coefficient differential operators $S^*(V)^W$. For general varieties $X$, there is no notion of constant coefficient differential operators. Since the algebra generated by $O(V)^W$ and $S^*(V)^W$ is clearly graded cofinite our main theorem can be seen as a non-linear generalization of the theorem of Levasseur-Stafford.

Our main theorem has several application concerning generating elements of rings of $W$-invariant differential operators which go beyond the theorem of Levasseur-Stafford. For example, we prove that $D(X)^W$ can be generated by at most $2n + 1$ elements when $V$ is an $n$-dimensional representation of $W$. Moreover, we establish a kind of Galois correspondence for graded cofinite subalgebras. Finally, we determine all graded cofinite subalgebras of $D(A^1)$, the Weyl algebra in two generators.

The proof consists essentially of five steps: 1. We show the aforementioned claim that $D(X, Y)$ is graded cofinite if and only if $\varphi$ is uniformly ramified. 2. Then we show that under these conditions $D(X, Y)$ is a simple ring. Here we follow an argument of Wallach [Wa]. 3. We show that the theorem holds over the generic point of $X$. 4. Then we construct the automorphism $\Phi$. This is the most tedious part of the paper and rests on explicit computations in codimension one. 5. Finally, we paste all this information together by showing that two graded cofinite subalgebras $\mathcal{A} \subseteq \mathcal{A}'$ which coincide generically and for which $\mathcal{A}'$ is a simple ring are actually equal. Here we follow the argument in [LS].

Finally, it should be mentioned that the actual main Theorem 7.1 is more general in that it allows for certain singularities of $X$.

**Acknowledgment:** This work started while the author was guest of the CRM, Montréal, in Summer 1997 and continued during a stay at the University of Freiburg in 2004. The author thanks both institutions for their hospitality. Last not least, the author would like to thank the referee for an excellent job. In particular, the shorter proof of Theorem 3.1 was pointed out by him/her.
2. Graded cofinite subalgebras: definition and base change

All varieties and algebras will be defined over $\mathbb{C}$. Moreover, varieties are irreducible by definition.

Recall that a $\mathbb{C}$-linear endomorphism $D$ of a commutative algebra $B$ is a differential operator of order $\leq d$ if

\[(2.1) \quad [b_0, [b_1, \ldots [b_d, D] \ldots ] = 0 \quad \text{for all} \quad b_0, b_1, \ldots, b_d \in B.\]

Let $\mathcal{D}(B)_{\leq d}$ be the set of differential operators of order $\leq d$ and $\mathcal{D}(B) = \bigcup_d \mathcal{D}(B)_{\leq d}$. Then $\mathcal{D}(B)$ is a filtered algebra, i.e., $\mathcal{D}(B)_{\leq d} \mathcal{D}(B)_{\leq e} \subseteq \mathcal{D}(B)_{\leq d + e}$ for all integers $d$ and $e$. Let $\mathcal{D}(B)$ be its associated graded algebra, i.e., $\mathcal{D}(X) := \oplus_d \mathcal{D}(X)_d$ with $\mathcal{D}(X)_d = \mathcal{D}(X)_{\leq d}/\mathcal{D}(X)_{\leq d-1}$. This is a graded commutative algebra. If $X$ is a variety with ring of functions $\mathcal{O}(X)$ then we define $\mathcal{D}(X) = \mathcal{D}(\mathcal{O}(X))$.

Every subalgebra $A \subseteq \mathcal{D}(X)$ inherits the filtration by $A_{\leq d} = A \cap \mathcal{D}(X)_{\leq d}$. This way, the associated graded algebra $\hat{A}$ is a subalgebra of $\mathcal{D}(X)$ and we define:

**Definition:** A subalgebra $A$ of $\mathcal{D}(X)$ is called graded cofinite if $\mathcal{D}(X)$ is a finitely generated $A$-module.

**Example:** Let $W$ be a finite group acting on $X$ and assume $\mathcal{D}(X)$ to be finitely generated (e.g. $X$ smooth). We claim that $A = \mathcal{D}(X)^W$ is graded cofinite in $\mathcal{D}(X)$. In fact, since $W$ is linearly reductive, we have $\hat{A} = \mathcal{D}(X)^W$ which is well known to be cofinite in $\mathcal{D}(X)$.

The ring $A := A_{\leq 0} = A \cap \mathcal{O}(X)$ is called the base of $A$.

**2.1. Proposition.** Let $A \subseteq \mathcal{D}(X)$ be graded cofinite. Then the base $A$ of $A$ is a finitely generated algebra which is cofinite in $\mathcal{O}(X)$. In other words, if $Y = \text{Spec} A$ then $X \to Y$ is a finite surjective morphism of affine varieties.

**Proof:** Since $\hat{A}$ is cofinite in $\mathcal{D}(X)$, its 0-component $A$ is cofinite in the 0-component $\mathcal{O}(X)$ of $\mathcal{D}(X)$. Now the assertion follows from the following lemma. \(\square\)

**2.2. Lemma.** Let $A \subseteq B$ be an integral extension of commutative $\mathbb{C}$-algebras. Assume $B$ is a finitely generated algebra. Then $A$ is finitely generated as well and $A$ is cofinite in $B$.

**Proof:** This is the Artin-Tate lemma. For a proof see [Ei] p. 143. \(\square\)

In the sequel we need some auxiliary results concerning the behavior of $A$ with respect to extension of scalars. Let $X$ be an affine variety, $B := \mathcal{O}(X)$, and $J \subseteq B$ an ideal. Let $\hat{B}$ be the $J$-adic completion of $B$ and $\hat{X} := \text{Spec} \hat{B}$. Let $\mathcal{D}_c(\hat{X}) \subseteq \mathcal{E}_{\text{cont}}(\hat{B})$ be the
algebra of continuous differential operators on $\hat{X}$. We show that this is also the algebra of differential operators on $X$ with coefficients in $\hat{B}$. More precisely:

2.3. Lemma. Fix $d \geq 0$. Then the left $J$-adic topology and the right $J$-adic topology of $\mathcal{D}(X)_{\leq d}$ coincide. Its completion with respect to this topology equals $\mathcal{D}_c(\hat{X})_{\leq d}$. In particular, the two natural maps

$$\hat{B} \otimes \mathcal{D}(X) \rightarrow \mathcal{D}_c(\hat{X}) \quad \text{and} \quad \mathcal{D}(X) \otimes \hat{B} \rightarrow \mathcal{D}_c(\hat{X})$$

are isomorphisms of filtered vector spaces.

Proof: We recall Grothendieck’s description of $\mathcal{D}(X)$: let $\delta$ be the kernel of the multiplication map $B \otimes_C B \rightarrow B$. It is the ideal of $C := B \otimes B$ generated by all elements of the form $b \otimes 1 - 1 \otimes b$, $b \in B$. Let $\mathcal{P}^d := C/\delta^{d+1}$. This is a $C$-module, i.e., carries a left and a right $B$-module structure. Moreover, it is a finitely generated module with respect to both structures. Now we have $\mathcal{D}(X)_{\leq d} = \text{Hom}_B(\mathcal{P}^d, B)$ where we use the left $B$-module structure of $\mathcal{P}^d$.

Now consider the completed ring $\hat{B}$. Then $\text{End}_\mathcal{C}(\hat{B}) = \text{Hom}_{\hat{B}}(\hat{B} \otimes_C \hat{B}, \hat{B})$. It is easy to see that the continuous endomorphisms correspond exactly to those homomorphisms $\hat{B} \otimes_C \hat{B} \rightarrow \hat{B}$ which extend to the completed tensor product $\hat{C} := \hat{B} \otimes_C \hat{B}$. Thus, $\text{End}_\mathcal{C}^{\text{cont}}(\hat{B}) = \text{Hom}_{\hat{B}}(\hat{C}, \hat{B})$. Let $\hat{\delta}$ be the kernel of $\hat{C} = \hat{B} \otimes_C \hat{B} \rightarrow \hat{B}$ and $\mathcal{P}^d = \hat{C}/\hat{\delta}^{d+1}$. Then $\mathcal{D}_c(\hat{X})_{\leq d} = \text{Hom}_{\hat{B}}(\mathcal{P}^d, \hat{B})$.

Let $K := J \otimes B + B \otimes J \subseteq C$. Then $\hat{C}$ is the $K$-adic completion of $C$. Moreover, $\hat{\delta}$ is the $K$-adic completion of $\delta$. Thus, everything boils down to the following statement: the left $J$-adic, the right $J$-adic, and the $K$-adic topologies of $\mathcal{P}^d$ all coincide.

For $b \in B$ we have $1 \otimes b = b \otimes 1 + c$ with $c = b \otimes 1 - 1 \otimes b \in \delta$. Thus $B \otimes J \subseteq J \otimes B + \delta$ and, for any $n \geq d$,

$$J^n \otimes B \subseteq K^n \subseteq (J \otimes B + \delta)^n \subseteq J^{n-d} \otimes B + \delta^{d+1}.$$

This shows that the left $J$-adic and the $K$-adic topologies of $\mathcal{P}^d$ coincide. The argument for the right $J$-adic topology is the same. 

Now let $A \subseteq \mathcal{D}(X)$ be graded cofinite with base $A$. Let $I \subseteq A$ be an ideal and let $\hat{A}$ be the $I$-adic completion of $A$. Set $J := IB \subseteq B$. Since $J^n = I^n B$, the $I$-adic completion $\hat{B}$ of $B$ is the same as its $J$-adic completion.

2.4. Corollary. Let $\hat{A} \subseteq \mathcal{D}_c(\hat{X})$ be the subalgebra generated by $A$ and $\hat{A}$. Then $\hat{A}$ is a graded cofinite subalgebra of $\mathcal{D}_c(\hat{X})$ with base $\hat{A}$. Moreover, the maps

$$\hat{A} \otimes_A A \rightarrow \hat{A} \quad \text{and} \quad A \otimes_A \hat{A} \rightarrow \hat{A}$$

are isomorphisms of filtered vector spaces.
are isomorphisms of filtered vector spaces.

Proof: Redefine \( \hat{A} \) to be the closure of \( A \) in \( D_c(\hat{X}) \) with respect to either left or right \( J \)-adic topology. Then Lemma 2.3 implies that the maps (2.4) are isomorphisms. In particular, \( \hat{A} \) is an algebra and therefore the algebra generated by \( \hat{A} \) and \( A \).

Now we deduce the same thing for étale morphisms. Again, let \( A \subseteq D(X) \) be graded cofinite with base \( A \). Let \( \tilde{Y} \to Y := \text{Spec} A \) be an étale morphism where \( \tilde{Y} \) is another affine variety. Then also \( \tilde{X} := \tilde{Y} \times_Y X \to X \) is étale. Now put \( B := \mathcal{O}(X), \tilde{A} := \mathcal{O}(\tilde{Y}), \) and \( \tilde{B} := \mathcal{O}(\tilde{X}) = \hat{A} \otimes_A B \). Then

\[
(2.5) \quad \tilde{B} \otimes_{B} D(X) \sim \rightarrow D(\tilde{X}) \quad \text{and} \quad D(X) \otimes_{B} \tilde{B} \sim \rightarrow D(\tilde{X})
\]

are isomorphisms. For a proof see [Mas] Thm. 2.2.10, Prop. 2.2.12 or [Sch2] Thm. 4.2. Both references state that the first isomorphism is an isomorphism of filtered rings, i.e., that there is an isomorphism on the associated graded level. This, in turn, implies the second isomorphism.

2.5. Lemma. Let \( A, A, \tilde{A}, \) and \( \tilde{X} \) be as above. Let \( \tilde{A} \subseteq D(\tilde{X}) \) be the subalgebra generated by \( A \) and \( \tilde{A} \). Then \( \tilde{A} \) is a graded cofinite subalgebra of \( D(\tilde{X}) \) with base \( \tilde{A} \). Moreover, the maps

\[
(2.6) \quad \tilde{A} \otimes A \to \tilde{A} \quad \text{and} \quad A \otimes \tilde{A} \to \tilde{A}
\]

are isomorphisms of filtered vector spaces.

Proof: We start with a general remark. Let \( Z \) be an affine variety. Let \( m_z \subset \mathcal{O}(Z) \) be the maximal ideal corresponding to a point \( z \in Z \). It is known that \( m_z \)-adic completion is exact on finitely generated \( \mathcal{O}(Z) \)-modules. Moreover, a finitely generated \( \mathcal{O}(Z) \)-module \( M \) is 0 if and only if it is so after \( m_z \)-adic completion for every \( z \in Z \). Now let \( N \subseteq M \) be a submodule, \( N' \) another \( \mathcal{O}(Z) \)-module and \( N' 	o M \) an \( \mathcal{O}(Z) \)-homomorphism. Then one sees from the remarks above that \( \varphi \) induces an isomorphism of \( N' \) onto \( N \) if and only if this is so after \( m_z \)-adic completion for every \( z \in Z \).

We apply this to \( Z = \tilde{X} \) and \( M = D(\tilde{X}) \leq d \). Let \( \tilde{x} \in \tilde{X} \) with image \( x \in X \). Since \( \tilde{X} \to X \) is étale, the \( m_{\tilde{x}} \)-adic completion of \( D(\tilde{X}) \leq d \) is the same as the \( m_x \)-adic completion of \( D(X) \leq d \). Thus, Corollary 2.4 implies that the two homomorphisms

\[
(2.7) \quad \tilde{A} \otimes A \leq d \to D(\tilde{X}) \leq d \quad \text{and} \quad A \leq d \otimes \tilde{A} \to D(\tilde{X}) \leq d
\]

are injective with the same image after \( m_{\tilde{x}} \)-adic completion for every \( \tilde{x} \in \tilde{X} \). \( \square \)
2.6. Corollary. Let \( \mathcal{A} \subseteq \mathcal{D}(X) \) be graded cofinite with base \( A \) and let \( S \subseteq A \) be a multiplicatively closed subset defining localizations \( A_S \subseteq B_S \) (with \( B := \mathcal{O}(X) \)). Let \( A_S \subseteq \mathcal{D}(B_S) \) be the subalgebra generated by \( A \) and \( A_S \). Then \( A_S \) is a graded cofinite subalgebra of \( \mathcal{D}(B_S) \) with base \( A_S \). Moreover, the maps \( A_S \otimes_A A \to A_S \) and \( A \otimes_A A_S \to A_S \) are filtered isomorphisms.

**Proof:** If \( S \) is finite then \( A \to A_S \) is an open embedding, in particular étale. It follows from Lemma 2.5 that \( A_S \) has base \( A_S \). For the general case use that \( S \) is the union of its finite subsets and that all objects behave well under inductive limits. \( \square \)

An important consequence is that we can “normalize” graded cofinite subalgebras.

2.7. Corollary. Let \( X \) be normal and \( \mathcal{A} \subseteq \mathcal{D}(X) \) be a graded cofinite subalgebra. Let \( A' \) be the normalization of the base \( A \), regarded as a subalgebra of \( \mathcal{O}(X) \). Let \( \mathcal{A}' \subseteq \mathcal{D}(X) \) be the subalgebra generated by \( A \) and \( A' \). Then \( \mathcal{A}' \) is a graded cofinite subalgebra of \( \mathcal{D}(X) \) with base \( A' \).

**Proof:** Let \( B := \mathcal{O}(X) \). Both algebras \( A \) and \( A' \) have the same quotient field \( K = A_S \) with \( S = A \setminus \{0\} \). Thus we have \( \mathcal{A}' \subseteq A' \cap B \subseteq A_K \cap B = K \cap B = A' \). \( \square \)

**Remark:** It is possible to combine étale base change, localization, and completion. More precisely, we will use this twice in the following situation: let \( \mathcal{A} \subseteq \mathcal{D}(X) \) be graded cofinite with base \( A \) and assume \( X \) and \( Y = \text{Spec} \, A \) to be normal. Let \( \tilde{Y} \to Y \) be étale and \( \tilde{D} \subseteq \tilde{Y} \) a prime divisor. Take \( \hat{A} \) to be the completion of the local ring \( \mathcal{O}_{\tilde{Y}, \tilde{D}} \). Then \( \hat{A} \cong E[t] \) is a discrete valuation ring with \( E = \mathbb{C}(\tilde{D}) \) and \( \hat{B} = \hat{A} \otimes_A \mathcal{O}(X) \) is a finite normal extension. It follows that \( \hat{B} = \hat{B}_1 \times \ldots \times \hat{B}_s \) where each \( \hat{B}_i \cong E_i[t^{1/n_i}] \) with \( n_i \in \mathbb{Z}_{>0} \) and \( |E_i : E| < \infty \). In that case, we have that \( \hat{A} = \hat{A} \otimes_A A = A \otimes_A \hat{A} \) is a graded cofinite subalgebra of \( \mathcal{D}_e(\hat{B}) = \mathcal{D}_e(\hat{B}_1) \times \ldots \times \mathcal{D}_e(\hat{B}_s) \) with base \( \hat{A} \). Finally, we may choose \( \tilde{Y} \to Y \) in such a way that \( E_i = E \) for all \( i \): let \( D \) be the image of \( \tilde{D} \) in \( Y \). Assume the preimage of \( D \) in \( X \) has irreducible components \( D_1, \ldots, D_r \). Then it suffices to require that \( E = \mathbb{C}(\tilde{D}) \) is a splitting field for all the finite extensions \( \mathbb{C}(D_j)|\mathbb{C}(D) \).

3. Certain rings of differential operators

In this section, we are going to construct a certain class of graded cofinite subalgebra (see Corollary 3.6). Later we show that, under mild conditions, all examples are basically of this kind (Theorem 7.1).

For a dominant morphism \( \varphi : X \to Y \) we have \( \mathcal{O}(Y) \to \mathcal{O}(X) \) and we can define the subalgebra

\[
\mathcal{D}(X, Y) := \{ D \in \mathcal{D}(X) \mid D(\mathcal{O}(Y)) \subseteq \mathcal{O}(Y) \}.
\]
Its associated graded algebra is denoted by $\mathcal{D}(X,Y)$.

Now assume that the field extension $\mathbb{C}(X)|\mathbb{C}(Y)$ is finite. This means that there is a non-empty open subset $X_0 \subseteq X$ such that $\varphi : X_0 \to Y$ is étale. Then every differential operator $D$ on $Y$ can be uniquely lifted to differential operator $D_0$ on $X_0$ (see (2.5)). Thus, $\mathcal{D}(X,Y)$ can be also interpreted as the set of $D \in \mathcal{D}(Y)$ such that $D_0$ extends to a (regular) differential operator on $X$. In other words, the diagram

\[
\begin{array}{ccc}
\mathcal{D}(X,Y) & \hookrightarrow & \mathcal{D}(X) \\
\mathcal{D}(Y) & \hookrightarrow & \mathcal{D}(X_0)
\end{array}
\]

is cartesian. Note that the filtrations of $\mathcal{D}(X,Y)$ induced by those on $\mathcal{D}(X)$, $\mathcal{D}(Y)$, and $\mathcal{D}(X_0)$ are the same. Thus we get an analogous diagram of inclusions for the associated graded rings

\[
\begin{array}{ccc}
\mathcal{D}(X,Y) & \hookrightarrow & \mathcal{D}(X) \\
\mathcal{D}(Y) & \hookrightarrow & \mathcal{D}(X_0)
\end{array}
\]

which may not be cartesian, however.

First we show that this class of algebras includes rings of invariant differential operators:

3.1. Theorem. Let $W$ be a finite group acting on $X$. Then

\[
\mathcal{D}(X, X/W) = \mathcal{D}(X)^W \quad \text{and} \quad \mathcal{D}(X, X/W) = \mathcal{D}(X)^W.
\]

Proof: Clearly $\mathcal{D}(X, X/W) \supseteq \mathcal{D}(X)^W$. Conversely, for $D \in \mathcal{D}(X, X/W)$ put $D' = \frac{1}{|W|} \sum_{w \in W} wD$. If $f \in \mathcal{O}(X)^W$ then $(wD)(f) = w(D(w^{-1}f)) = f$. This implies that $D - D'$ is a differential operator which is zero on $\mathcal{O}(X)^W$, hence on all of $\mathcal{O}(X)$. Thus, $D = D' \in \mathcal{D}(X)^W$. The second equality follows from the fact that forming the associated graded algebra commutes with taking $W$-invariants. \qed

Example: Let $X = \mathbb{A}^1$ be the affine line with coordinate ring $\mathcal{O}(X) = \mathbb{C}[x]$ and $W = \mu_n \cong \mathbb{Z}/n\mathbb{Z}$ acting by multiplication. Define $Y \cong \mathbb{A}^1$ by $\mathcal{O}(Y) = \mathbb{C}[t]$ where $t = x^n$. The chain rule yields $\partial_x = nx^{n-1}\partial_t = nt^{1-\frac{1}{n}}\partial_t$. Let $\xi$ and $\tau$ be the symbols of $\partial_x$ and $\partial_t$, respectively. Then $\zeta \in W$ acts on $(x,\xi)$ by $(\zeta^{-1}x, \zeta\xi)$. Moreover, $\xi = nx^{n-1}\tau$. Thus, we have

\[
\begin{array}{ccc}
\mathcal{D}(X,Y) & \hookrightarrow & \mathcal{D}(X) \\
\mathcal{D}(Y) & \hookrightarrow & \mathcal{D}(X_0)
\end{array}
\]

\[
\begin{array}{ccc}
\mathcal{D}(X,Y) & \hookrightarrow & \mathcal{D}(X) \\
\mathcal{D}(Y) & \hookrightarrow & \mathcal{D}(X_0)
\end{array}
\]

\[
\begin{array}{ccc}
\mathcal{D}(X,Y) & \hookrightarrow & \mathcal{D}(X) \\
\mathcal{D}(Y) & \hookrightarrow & \mathcal{D}(X_0)
\end{array}
\]
while the corresponding diagram for the associated graded rings is

\[
\begin{align*}
\mathcal{D}(X, Y) &= \mathbb{C}[t, \tau, t^{n-1}\tau^n] = \mathbb{C}[x^n, x\xi, \xi^n] 
\quad \mapsto \quad \mathbb{C}[x, \xi] = \mathcal{D}(X) \\
\mathcal{D}((X, Y)) &= \mathbb{C}[t, \tau] 
\quad \mapsto \quad \mathbb{C}[x^n, x^{1-n}\xi] 
\quad \mapsto \quad \mathbb{C}[x, x^{-1}, \xi] = \mathcal{D}(X_0)
\end{align*}
\]

In general, not all subalgebras of the form \(\mathcal{D}(X, Y)\) are graded cofinite. To formulate a criterion we introduce the following notions.

**Definition:** Let \(X\) and \(Y\) be normal varieties and \(\varphi : X \to Y\) a finite surjective morphism. Let \(D \subseteq Y\) be a prime divisor and consider the divisor \(\varphi^{-1}(D) = r_1E_1 + \ldots + r_sE_s\) where the \(E_i\) are pairwise distinct prime divisors and \(r_i > 0\). We say that \(\varphi\) is **uniformly ramified over** \(D\) if \(r_1 = \ldots = r_s\). Moreover, \(\varphi\) is uniformly ramified if it is uniformly ramified over every \(D \subseteq Y\). If all the ramification numbers \(r_i\) are 1 for all \(D\) then we call \(\varphi\) **unramified in codimension one**. Equivalently, there is an open subset \(X_0 \subseteq X\) with \(\text{codim}_X(X \setminus X_0) \geq 2\) on which \(\varphi\) is étale.

**3.2. Proposition.** Let \(X \to Y\) be a finite dominant morphism between normal affine varieties which is unramified in codimension one. Then \(\mathcal{D}(X, Y) = \mathcal{D}(Y)\).

**Proof:** Let \(D \in \mathcal{D}(Y)\). Then \(D\) can be uniquely lifted to a differential operator \(D_0\) on the set \(X_0 \subseteq X\) on which \(\varphi\) is étale. Since \(\text{codim}_X(X \setminus X_0) \geq 2\) and since \(X\) is normal we have \(O(X_0) = O(X)\). Hence one can extend \(D_0\) uniquely to all of \(X\) which proves \(\mathcal{D}(Y) \subseteq \mathcal{D}(X, Y)\).

Now we show that uniformly ramified morphisms are just a slight generalization of quotients by finite groups. For this we introduce the following notation: let \(W\) be a finite group acting on a normal variety \(X\). For a prime divisor \(Z \subseteq X\) let \(W_Z \subseteq W\) be the pointwise stabilizer of \(Z\) in \(W\) (the inertia group). This group is always a cyclic group and its order is the ramification number of \(X \to X/W\).

Now assume that \(\varphi : X \to Y\) is a finite surjective morphism between normal varieties. Then the field extension \(\mathbb{C}(X)\big|\mathbb{C}(Y)\) is finite, hence has a Galois cover \(L\) with Galois group \(W\). Let \(H \subseteq W\) be the Galois group of \(L\big|\mathbb{C}(X)\) and let \(\tilde{X}\) be the normal affine variety such that \(O(\tilde{X})\) is the integral closure of \(O(Y)\) in \(L\). Then \(\tilde{X}\) carries a \(W\)-action with \(\tilde{X}/W = Y\) and \(\tilde{X}/H = X\) and we have the diagram

\[
\begin{array}{ccc}
\tilde{X} & \to & \tilde{X}/H = X \\
\downarrow & & \downarrow \varphi \\
\tilde{X}/W = Y & \to & X
\end{array}
\]
3.3. Proposition. Using the notation above, the following statements are equivalent:

i) The morphism $\varphi : X \to Y$ is uniformly ramified.

ii) The morphism $\tilde{X} \to \tilde{X}/H$ is unramified in codimension one.

iii) For all prime divisors $Z$ of $\tilde{X}$ the condition $W_Z \cap H = 1$ holds.

Moreover, under these conditions holds $D(X) = D(\tilde{X})^H$ and $D(X, Y) = D(\tilde{X})^W$.

Proof: The inertia group of $\tilde{X} \to \tilde{X}/H$ at $Z$ is $H \cap W_Z = W_Z \cap H$ which shows the equivalence $\text{ii)} \Leftrightarrow \text{iii)}$.

Let $D$ be the image of $Z$ in $Y$. Then the divisors of $\tilde{X}$ lying over $D$ are precisely the translates $wZ$, $w \in W$. For fixed $w \in W$ let $E$ be the image of $wZ$ in $\tilde{X}/H$. Then $E$ is a prime divisor of $X$ lying over $D$ and every such divisor is of this kind.

The inertia group of $\tilde{X} \to \tilde{X}/H$ and $\tilde{X} \to X/W$ at $wZ$ is $H \cap W_Z = H \cap wW_Zw^{-1}$ and $W_wZ = wW_Zw^{-1}$ respectively. Therefore, the ramification number of $X \to Y$ at $E$ is $[wW_Zw^{-1} : H \cap wW_Zw^{-1}]$. Thus, condition $\text{i)}$ means that the order of $w^{-1}Hw \cap W_Z \cong H \cap wW_Zw^{-1}$ is independent of $w \in W$. This means in turn that all isotropy groups of $W_Z$ acting on $W/H$ have the same order. Now $W_Z$, being cyclic, has at most one subgroup of any given order. Therefore, $\text{i)}$ means that all isotropy groups in $W_Z$ on $W/H$ are the same. We assumed that $L|\mathbb{C}(Y)$ is the Galois cover of $\mathbb{C}(X)|\mathbb{C}(Y)$, i.e., the smallest Galois extension of $\mathbb{C}(Y)$ containing $\mathbb{C}(X)$. This means that $H$ does not contain any non-trivial normal subgroup of $W$, i.e., that the action of $W$ on $W/H$ is effective. We conclude that $\text{i)}$ is equivalent to the statement that for all $Z$ the isotropy groups of $W_Z$ on $W/H$ are trivial. This is precisely the content of $\text{ii)}$.

Finally, $D(X) = D(\tilde{X}, X) = D(\tilde{X})^H$ follows from Proposition 3.2 and Theorem 3.1. Moreover, $D \in D(X, Y)$ implies $D \in D(X) = D(\tilde{X})^H \subseteq D(\tilde{X})$. Hence $D \in D(\tilde{X}, Y) = D(\tilde{X})^W$. This shows $D(X, Y) \subseteq D(\tilde{X})^W$. The opposite inclusion is obvious.

This result makes it easy to construct uniformly ramified morphisms. Take, for example, $W = S^n$ with its standard action on the affine space $\mathbb{A}^n$. Let $H \subseteq S_n$ be a subgroup of odd order (or any other subgroup not containing a transposition). Then the morphism $\mathbb{A}^n/H \to \mathbb{A}^n/S_n$ is uniformly ramified. For any fixed $X$ it appears to be quite difficult to construct uniformly unramified morphisms. For $X = \mathbb{A}^1$, the affine line, see Theorem 7.11 and its proof.

The following technical consequence will be crucial in the proof of Theorem 4.2.

3.4. Corollary. For any uniformly ramified morphism $\varphi : X \to Y$ the inclusion $D(X, Y) \hookrightarrow D(X)$ has a left inverse $\rho : D(X) \to D(X, Y)$ which is a homomorphism of $D(X, Y)$-bi-modules.
Proof: The map \( \rho \) is just the averaging operator \( \frac{1}{|W|} \sum_w w \) restricted to \( H \)-invariants \( \mathcal{D}(\hat{X})^H \rightarrow \mathcal{D}(\hat{X})^W \).

Now we show that uniform ramification is also necessary for \( \mathcal{D}(X, Y) \) to be graded cofinite. In fact, we prove something stronger:

3.5. Theorem. Let \( \varphi : X \rightarrow Y \) be a dominant morphism between normal affine varieties. Assume \( \mathcal{D}(X) \) contains a graded cofinite subalgebra \( A \) with base \( \mathcal{O}(Y) \). Then \( \varphi \) is uniformly ramified.

Proof: That \( \varphi \) is finite follows from Proposition 2.1. Suppose that \( \varphi \) is not uniformly ramified and let \( D \subseteq Y \) be a prime divisor over which \( \varphi \) has non-uniform ramification. Choose \( \hat{Y} \rightarrow Y \) étale and a prime divisor \( \hat{D} \subset \hat{Y} \) which maps to \( D \) as in the example at the end of section 2. Then \( \hat{A} = \hat{A} \otimes A A \) is graded cofinite in \( \mathcal{D}_c(\hat{B}) = \mathcal{D}_c(\hat{B}_1) \times \ldots \times \mathcal{D}_c(\hat{B}_s) \). This means that \( \hat{A} \) is actually graded cofinite in each of the algebras \( \mathcal{D}_c(\hat{B}_i) \).

We have \( \hat{A} = E[t] \) and \( \hat{B}_i = E[t^{1/n_i}] \). Let \( u_2, \ldots, u_m \) be a transcendence basis of \( E \) and put \( u_1 := t \). Let \( \partial_i \) be the associated partial derivatives of \( \hat{A} \). Their symbols are denoted by \( \eta_i \) with the special notation \( \tau := \eta_1 \). Then \( \mathcal{D}_c(\hat{A}) = E[t][\tau, S] \) with \( S = \{\eta_2, \ldots, \eta_m\} \). Similarly to the example after Theorem 3.1 we have \( \mathcal{D}_c(\hat{B}_i, \hat{A}) = E[t][t\tau, t^{n_i-1}\tau^{n_i}, S] \) (see diagram (3.6)).

Since \( \varphi \) is non-uniformly ramified over \( D \) the \( n_i \) are not all equal. Hence, after relabeling we may assume \( n_1 < n_2 \). Then we see that \( \mathcal{D}_c(\hat{B}_2, \hat{A}) \subseteq \mathcal{D}_c(\hat{B}_1, \hat{A}) \) (considered as subrings of \( \mathcal{D}_c(\hat{A}) \)). Since \( \hat{A} \subseteq \mathcal{D}_c(\hat{B}_1, \hat{A}) \cap \mathcal{D}_c(\hat{B}_2, \hat{A}) \) is cofinite in \( \mathcal{D}_c(\hat{B}) \) we conclude that \( \mathcal{D}_c(\hat{B}_2, \hat{A}) \) is cofinite in \( \mathcal{D}_c(\hat{B}_1) \). Now put \( x := t^{1/n_1} \) and let \( \xi \) be the symbol of \( \partial_x \). Then \( \xi = n_1 x^{n_1-1} \tau \) implies

\[
(3.8) \quad n_1 x^{n_1-1} t^{n_2-1} \tau^{n_2} = x^{(n_2-1)n_1 + n_2(1-n_1)} \xi^{n_2} = x^{n_2-n_1} \xi^{n_2}
\]

and therefore

\[
(3.9) \quad \mathcal{D}_c(\hat{B}_2, \hat{A}) = E[t][t\tau, t^{n_2-1} \tau^{n_2}, S] = E[x^{n_2}][x\xi, x^{n_2-n_1} \xi^{n_2}, S] \subseteq E[x][\xi, S] = \mathcal{D}_c(\hat{B}_1).
\]

Now put \( x = 0 \). Then \( \mathcal{D}_c(\hat{B}_2, \hat{A}) \) becomes \( E[S] \) which is clearly not cofinite in \( E[\xi, S] \). □

Definition: The affine variety \( X \) is called \( \mathcal{D} \)-finite if \( \overline{\mathcal{D}}(X) \) is a finitely generated \( \mathbb{C} \)-algebra.

All smooth varieties are \( \mathcal{D} \)-finite. The cubic \( x^3 + y^3 + z^3 = 0 \) is the standard example of a variety which is not \( \mathcal{D} \)-finite (see [BGG]).

3.6. Corollary. Let \( \varphi : X \rightarrow Y \) be a dominant morphism between normal affine varieties and assume \( X \) to be \( \mathcal{D} \)-finite. Then the following are equivalent:
i) $\varphi$ is uniformly ramified.

ii) $\mathcal{D}(X,Y)$ is graded cofinite in $\mathcal{D}(X)$.

**Proof:** If $\varphi$ is uniformly ramified then $\mathcal{D}(X) \subseteq \mathcal{D}(\tilde{X})$ is integral over $\mathcal{D}(X,Y) = \mathcal{D}(\tilde{X})^W$ (notation as in Proposition 3.3). Since $\mathcal{D}(X)$ is finitely generated it is even finite over $\mathcal{D}(X,Y)$. The converse follows from Theorem 3.5.

4. **Simplicity**

In this section we derive a simplicity criterion for the ring $\mathcal{D}(X,Y)$.

**Definition:** A $\mathcal{D}$-finite affine variety $X$ is called $\mathcal{D}$-simple if $\mathcal{D}(X)$ is a simple ring.

It is well known that smooth varieties are $\mathcal{D}$-simple. A curve is $\mathcal{D}$-simple if and only if its normalization map is bijective (see [SS]). Further examples include quotients $X/W$ of smooth varieties by finite groups. More generally, Schwarz conjectured, [Sch3], that any categorical quotient $X//G := \text{Spec} \ O(X)^G$ is $\mathcal{D}$-finite where $G$ is a reductive group and $X$ is a smooth $G$-variety. This has been confirmed in many cases ([Sch1], [Sch2], [VdB2]). It should be added that $\mathcal{D}$-simple varieties are automatically Cohen-Macaulay (Van den Bergh [VdB1]). In particular, for a $\mathcal{D}$-simple variety normality is equivalent to smoothness in codimension one.

4.1. **Lemma.** For an affine variety $Y$ let $I \subseteq \mathcal{D}(Y)$ be a non-zero subspace with $[\mathcal{O}(Y), I] \subseteq I$. Then $I \cap \mathcal{O}(Y) \neq 0$.

**Proof:** Let $0 \neq D \in I$ be of minimal order. From minimality and $[\mathcal{O}(Y), D] \in I$ we get $[\mathcal{O}(Y), D] = 0$, i.e., $D \in \text{End}_{\mathcal{O}(Y)} \mathcal{O}(Y) = \mathcal{O}(Y)$.

4.2. **Theorem.** Let $X \to Y$ be a uniformly ramified morphism between normal affine varieties.

i) $X$ is $\mathcal{D}$-finite if and only if $\mathcal{D}(X,Y)$ is finitely generated.

ii) If $X$ is $\mathcal{D}$-simple then $\mathcal{D}(X,Y)$ is simple.

iii) If $\mathcal{D}(X,Y)$ is simple then $\mathcal{D}(X)$ and $\mathcal{D}(Y)$ are simple.

**Proof:** i) The algebra $S := \mathcal{D}(X)$ is integral over $R := \mathcal{D}(X,Y)$. Thus, if $S$ is finitely generated then $R$ is so as well by Lemma 2.2. Let $L$ be the field of fractions of $S$. Then $L|\mathbb{C}$ is a finitely generated field extension. Thus, if $R$ is finitely generated then its integral closure in $L$ is a finite $R$-module. This implies that $S$ is finitely generated.

ii) Let $I \subseteq \mathcal{D}(X,Y)$ be a non-zero two-sided ideal. By Lemma 4.1 we may choose a non-zero function $f \in I \cap \mathcal{O}(Y)$. Corollary 3.6, states that $\mathcal{D}(X)$ is a finitely generated
annihilator is a two-sided ideal. Let \( k \) be an integer which is strictly larger than the order of every \( D_i \). Then \((\text{ad } f)^k(D_i) = 0\). On the other side we have \((\text{ad } f)^k(D_i) = \sum_{\nu-v=0}^{k} (-1)^{\nu} (k)^{\nu} D_i f^\nu\), hence \( f^k D_i \in \mathcal{D}(X) f \subseteq \mathcal{D}(X) f \). This means that \( f^k \) annihilates the \( \mathcal{D}(X) \)-module \( \mathcal{D}(X) / \mathcal{D}(X) f \). The annihilator is a two-sided ideal and \( \mathcal{D}(X) \) is a simple ring, thus \( \mathcal{D}(X) = \mathcal{D}(X) f \). Applying the retraction \( \mathcal{D}(X) \to \mathcal{D}(X, Y) \) from Corollary 3.4 shows \( \mathcal{D}(X, Y) = \mathcal{D}(X, Y) f = f \).

\[ \text{i)} \quad \text{Assume } X \cap Y \text{ and assume that } I \neq 0 \text{ is a two-sided ideal of } \mathcal{D}(Z). \]

\[ \text{Lemma 4.1 implies that there is } 0 \neq f \in I \cap \mathcal{O}(Z). \quad \text{Since } Z \to Y \text{ is finite we have } L := \mathcal{O}(Z) f \cap \mathcal{O}(Y) \neq 0. \quad \text{Since } L \subseteq I \cap \mathcal{D}(X, Y) \text{ this shows } I \cap \mathcal{D}(X, Y) \neq 0. \quad \text{Hence } 1 \in I \cap \mathcal{D}(X, Y) \subseteq I. \]

\[ \text{4.3. Corollary. Let } X \to Y \text{ be a finite morphism between normal affine varieties which is unramified in codimension one. Then } X \text{ is } \mathcal{D}\text{-simple if and only if } Y \text{ is.} \]

**Proof:** In this case is \( \mathcal{D}(X, Y) = \mathcal{D}(Y) \).

Thus, if one wishes then one may assume in the following that we are always in the situation that \( X \to Y \) is a quotient by a finite group.

### 5. The associated graded algebra

The next result is the beginning of the classification of all graded cofinite subalgebras.

\[ \text{5.1. Lemma. For a field extension } L|C \text{ let } \overline{A} \subseteq L[\xi_1, \ldots, \xi_n] \text{ be a cofinite homogeneous subalgebra. Then its base } K = L \cap \overline{A} \text{ is a field. Moreover:} \]

\[ \text{i)} \quad \text{Assume } \partial_{\xi_1} \overline{A} \subseteq \overline{A} \text{ for all } i = 1, \ldots, n. \quad \text{Then } \overline{A} = K[\xi_1, \ldots, \xi_n]. \]

\[ \text{ii)} \quad \text{Assume } \partial_{\xi_i} \overline{A} \subseteq \overline{A} \text{ just for } i = 1, \ldots, n - 1. \quad \text{Then there is a positive integer } k \text{ and } a_1, \ldots, a_n \in L \text{ such that } K[\xi_1 + a_1 \xi_n, \ldots, \xi_{n-1} + a_{n-1} \xi_n, a_n \xi_n^k] \subseteq \overline{A}. \]

**Proof:** Clearly, \( K \) is cofinite in \( L \). This implies that \( IL \subseteq L \) is a proper ideal whenever \( I \subset K \) is a proper ideal. This forces \( I = 0 \) and implies that \( K \) is a field.

\[ \text{i)} \quad \text{For a multiindex } \alpha \in \mathbb{N}^n \text{ define } \xi_\alpha = \xi_1^\alpha_1 \cdots \xi_n^\alpha_n \text{ and analogously } \partial^\alpha. \quad \text{Let } f = \sum_{\alpha} c_\alpha \xi_\alpha \in \overline{A} \text{ be homogeneous. Then } \partial^\alpha(f) = \alpha! c_\alpha \in L \cap \overline{A} = K \text{ which implies } \overline{A} \subseteq K[\xi_1, \ldots, \xi_n]. \]

For the reverse inclusion it suffices to show \( \xi_i \in \overline{A} \) for all \( i \). Let \( S \) be the intersection of \( \overline{A} \) with \( \langle \xi_1, \ldots, \xi_n \rangle_K \). Then, after a linear change of coordinates, we may assume \( S = \langle \xi_1, \ldots, \xi_m \rangle_K \) for some \( m \leq n \). Since \( \overline{A} \) is cofinite there is a homogeneous \( f \in \overline{A} \) such that the variable \( \xi_n \) occurs in \( f \). Assume the monomial \( \xi_\alpha \) occurs in \( f \) with \( \alpha_n > 0 \). Put
\[ \beta = \alpha - e_n \text{ where } e_n = (0, \ldots, 0, 1). \] Then \( \partial^2(f) \) an element of \( S \) which contains \( \xi_n \). This implies \( m \geq n \) and we are done.

ii) Let \( \pi : L[\xi_1, \ldots, \xi_n] \to L[\xi_1, \ldots, \xi_{n-1}] \) be the projection obtained by setting \( \xi_n = 0 \). Then part i) implies that \( \pi(\mathcal{A}) \) contains \( \xi_1, \ldots, \xi_{n-1} \). Thus \( \mathcal{A} \) contains elements of the form 
\[ \xi_i + a_i \xi_n \text{ for } i = 1, \ldots, n - 1. \]

Now perform the coordinate change \( \xi_i \mapsto \xi_i - a_i \xi_n \) for \( i = 1, \ldots, n - 1 \) and \( \xi_n \mapsto \xi_n \). This is allowed since the partial derivatives \( \partial/\partial \xi_i, i = 1, \ldots, n - 1 \) stay unchanged. So we may assume \( a_1 = \ldots = a_{n-1} = 0 \).

Since \( \mathcal{A} \) is cofinite there is an element \( f = \sum_{\alpha} c_{\alpha} \xi^\alpha \) which contains the variable \( \xi_n \), i.e., \( c_{\alpha} \neq 0 \) and \( \alpha_n > 0 \) for some multiindex \( \alpha \). Assume that \( k := \alpha_n > 0 \) is as small as possible. Put \( \beta := (\alpha_1, \ldots, \alpha_{n-1}, 0) \). Then \( g = \partial^\beta(f) \in \mathcal{A} \) is of the form \( g = a_n \xi^k + h(\xi_1, \ldots, \xi_{n-1}) \).

Moreover, each coefficient of \( h \) appears as a derivative \( \partial^\gamma(g) \) for a convenient multiindex \( \gamma \) with \( \gamma_n = 0 \). This implies \( h \in K[\xi_1, \ldots, \xi_{n-1}] \subseteq \mathcal{A} \) and we are done. \( \square \)

6. Automorphisms

For a normal affine variety \( X \) let \( \Omega(X) \) be the module of Kähler differentials. Then

\[ (6.1) \quad \mathcal{T}(X) = \text{Hom}_{\mathcal{O}(X)}(\Omega(X), \mathcal{O}(X)) \]

is the module of vector fields and we have a canonical isomorphism

\[ (6.2) \quad \mathcal{D}(X) \leq 1 = \mathcal{O}(X) \oplus \mathcal{T}(X). \]

Let \( \bar{\Omega}(X) := \text{Hom}_{\mathcal{O}(X)}(\mathcal{T}(X), \mathcal{O}(X)) \), the double dual of \( \Omega(X) \). Since \( X \) is normal, elements of \( \bar{\Omega}(X) \) can be characterized as those rational 1-forms on \( X \) which are regular in codimension one (or, equivalently, on the smooth part \( X^s \) of \( X \)). Let \( Z(X) \) be the set of \( \omega \in \bar{\Omega}(X) \) with \( d\omega|_{X^s} = 0 \). Our interest in \( Z(X) \) comes from the following well-known

6.1. Lemma. For every \( \omega \in Z(X) \) there is a unique automorphism \( \Phi_\omega \) of \( \mathcal{D}(X) \) with \( \Phi_\omega(f) = f \) for all \( f \in \mathcal{O}(X) \) and \( \Phi_\omega(\xi) = \xi + \omega(\xi) \) for all \( \xi \in \mathcal{T}(X) \). This automorphism induces the identity on \( \bar{\mathcal{D}}(X) \).

Proof: First assume \( X \) to be smooth. Then \( \mathcal{D}(X) \) is generated by \( \mathcal{O}(X) \cup \mathcal{T}(X) \) subject to the relations

\[ (6.3) \quad \xi f - f \xi = \xi(f) \quad \text{and} \quad \xi \eta - \eta \xi = [\xi, \eta]. \]

The first relation is clearly satisfied by \( \Phi_\omega \). The second relation is preserved because of Cartan’s formula

\[ (6.4) \quad 0 = d\omega(\xi, \eta) = \omega([\xi, \eta]) - \xi(\omega(\eta)) + \eta(\omega(\xi)). \]

13
This shows that $\Phi_\omega$ exists. Clearly, it is the identity on $\overline{D}(X)$.

In general, we have shown that $\Phi_\omega(D)$ is a differential operator on the smooth part of $X$. By normality, it is regular on all of $X$ and still induces the identity on $\overline{D}(X)$. \qed

Let $\varphi : X \to Y$ be a finite morphism of normal varieties. Subsequently, we want to study the twists $\mathcal{A} = \Phi_\omega D(X, Y)$ with $\omega \in Z(X)$. Clearly, $\mathcal{A}$ doesn’t determine $\omega$ since $\Phi_\omega D(X, Y) = D(X, Y)$ if $\omega \in Z(Y)$. To pin down a unique $\omega$ we consider the trace map $\text{tr}_{L|K} : L \to K$ where $K$ and $L$ are the function fields $\mathbb{C}(Y)$ and $\mathbb{C}(X)$. This map induces a trace maps $\Omega(L) \to \Omega(K)$ characterized by the property

$$\text{tr}_{L|K}(f \varphi^* \omega) = \text{tr}_{L|K}(f) \omega, \quad f \in L, \omega \in \Omega(K).$$

It commutes with the derivative $d$ and splits, up to the factor $[L : K]$, the inclusion $\Omega(K) \hookrightarrow \Omega(L)$. We define $Z_K(L)$ as the set of $\omega \in \Omega(L)$ with $d\omega = 0$ and $\text{tr}_{L|K} \omega = 0$.

Recall the following property of the trace: let $\partial_K : K \to K$ be a derivation and $\partial_L : L \to L$ its unique extension to $L$. Then

$$\text{tr}_{L|K}(\partial_L f) = \partial_K \text{tr}_{L|K}(f), \quad f \in L.$$

Indeed, we may assume that $L|K$ is Galois with group $\Gamma$. Then $\text{tr}_{L|K} f = \sum_{\gamma \in \Gamma} \gamma(f)$. Since the extension $\partial_L$ is unique, it commutes with $\Gamma$ and the claim follows.

All notions have global counterparts: there are induced trace maps $\mathcal{O}(X) \to \mathcal{O}(Y)$ and $\overline{\Omega}(X) \to \overline{\Omega}(Y)$ (see [Za]). We put $Z_Y(X) = Z_K(L) \cap \overline{\Omega}(X)$.

In the next result, we are classifying graded cofinite subalgebras of $D(X)$ generically:

### 6.2. Proposition

Let $X$ be an affine variety with quotient field $\mathbb{C}(X) = L$ and let $\mathcal{A} \subseteq D(L)$ be a graded cofinite algebra with base $K = \mathcal{A} \cap L$. Then $K$ is a field with $[L : K] < \infty$. Furthermore, there is a unique $\omega \in Z_K(L)$ with $\mathcal{A} = \Phi_\omega D(K)$.

**Proof:** That $K \subseteq L$ is a cofinite subfield is proved in the same way as in Lemma 5.1. Let $u_1, \ldots, u_n \in K$ be a transcendence basis. Then there are unique derivations $\partial_1, \ldots, \partial_n$ of $L$ (or $K$) with $\partial_i(u_j) = \delta_{ij}$. Moreover, these derivations together with $L$ generate the ring $D(L)$. Let $\xi_i$ be the symbol of $\partial_i$. Then we have

$$K \subseteq \mathcal{A} \subseteq \overline{D}(L) = L[\xi_1, \ldots, \xi_n].$$

Observe that $\overline{D}(L)$ is a Poisson algebra and $\mathcal{A}$ is a sub-Poisson algebra. We have $\{f, u_i\} = \partial_i f$ which means that $\mathcal{A}$ is stable under the operators $\partial/\partial \xi_i$. Lemma 5.1 implies $\mathcal{A} = K[\xi_1, \ldots, \xi_n]$. This means in particular that $\mathcal{A}$ contains elements of the form $\delta_i := \partial_i + b_i$ with $b_i \in L$. We may replace $b_i$ by the unique element of $b_i + K$ with trace zero. If $\omega := b_1 du_1 + \ldots + b_n du_n$ then $\text{tr}_{L|K} \omega = 0$. 

---

14
Observe $a_{ij} := [\delta_i, \delta_j] = \partial_i(b_j) - \partial_j(b_i) \in A \cap L = K$. From $\text{tr}_{L|K} a_{ij} = 0$ (see (6.6)) we infer $a_{ij} = 0$. This means $d\omega = 0$ and therefore $\omega \in Z_K(L)$. Since $\delta_i = \Phi_\omega(\partial_i)$ we get $\Phi_\omega D(K) \subseteq A$. From $\mathcal{A} = \overline{D}(K)$ we get $A = \Phi_\omega D(K)$. \hfill \Box

The 1-form $\omega$ from Proposition 6.2 may have poles. Our goal is to show that this won’t happen if it comes from a graded cofinite subalgebra $A$ of $D(X)$. First, a very local version of this result:

**6.3. Lemma.** Let $E$ be a finitely generated field extension of $\mathbb{C}$ and put $B = E[[x]]$ and $A = E[[t]] \subseteq B$ with $t = x^p$ for some integer $p \geq 1$. Let $K = E((t))$ and $L = E((x))$ be the fields of fractions of $A$ and $B$. For $\omega \in Z(L)$ assume that $\text{tr}_{L|K} \omega$ is regular at $t = 0$ and that $A = D_c(B) \cap \Phi_\omega D_c(K)$ is graded cofinite in $D_c(B)$. Then $\omega$ is regular at $x = 0$.

**Proof:** If $p = 1$ then $\omega = \text{tr}_{L|K} \omega$ is regular. Assume $p \geq 2$ from now on. Let $u_1, \ldots, u_{n-1}$ be a transcendence basis of $E$ and put $u_n = x$. Let $\partial_1, \ldots, \partial_n$ be the corresponding differentials of $B$. Put $b_i := \omega(\partial_i) \in L$. Then we have to show $b_i \in B$ for all $i$. We have

$$\omega = \sum_{i=1}^{n-1} b_i du_i + b_n dt^{1/p} = \sum_{i=1}^{n-1} b_i du_i + \frac{1}{p} b_n xt^{-1} dt. \tag{6.8}$$

Hence the condition that $\text{tr}_{L|K} \omega$ is regular means

$$\text{tr}_{L|K} b_1, \ldots, \text{tr}_{L|K} b_{n-1}, t^{-1} \text{tr}_{L|K} x b_n \in A. \tag{6.9}$$

Note also the explicit formula

$$\text{tr}_{L|K} x^d = \begin{cases} px^d = pt^{d/p} & \text{if } p|d \\ 0 & \text{otherwise} \end{cases} \tag{6.10}$$

Let $\xi_i \in \overline{D}_c(B)$ be the symbol of $\partial_i$. Then $\mathcal{A} \subseteq \overline{D}_c(B) = B[\xi_1, \ldots, \xi_n]$ is cofinite. Since $A \subseteq \mathcal{A}$ we have $u_1, \ldots, u_{n-1} \in \mathcal{A}$. As in the proof of Proposition 6.2 this implies that $\mathcal{A}$ is stable under partial differentiation by $\xi_i$, $i = 1, \ldots, n - 1$. Let $\mathcal{A}'$ be the image of $\mathcal{A}$ in $B/xB = E[\xi_1, \ldots, \xi_n]$. Then Lemma 5.1(ii) applied to $\mathcal{A}'$ gives elements $a_{ij} \in \delta_{ij} + xB$, $i, j = 1, \ldots, n - 1$ and $c_i \in B$, $i = 1, \ldots, n - 1$ such that

$$\sum_{j=1}^{n-1} a_{ij} \xi_j + c_i \xi_n \in \mathcal{A} \quad \text{for } i = 1, \ldots, n - 1. \tag{6.11}$$

From $\mathcal{A} \subseteq \Phi_\omega D_c(K)$ we infer (since $\partial_n = px^{p-1} \partial_x$)

$$\mathcal{A} \subseteq \overline{D}_c(K) = K[\xi_1, \ldots, \xi_{n-1}, x^{1-p}\xi_n]. \tag{6.12}$$
This implies in particular $a_{ij} \in K \cap B = A$. Since $A \subseteq \mathcal{A}$ and since the matrix $(a_{ij}) \in M_{n-1}(A)$ is invertible we may assume $a_{ij} = \delta_{ij}$, i.e.,

$$\xi_1 + c_1 \xi_n, \ldots, \xi_{n-1} + c_{n-1} \xi_n \in \mathcal{A} \quad \text{with} \quad c_1, \ldots, c_{n-1} \in x^{1-p} K \cap B = x A.$$  

In the last equation we used $p \geq 2$. Lifting to $\mathcal{A}$ we get operators

$$\delta_i := \partial_i + c_i \partial_n + d_i \in \mathcal{A} \quad \text{with} \quad c_i \in x A, \; d_i \in B, \; i = 1, \ldots, n-1.$$  

Now we use $\Phi_{-\omega}(\mathcal{A}) \subseteq \mathcal{D}_c(K)$. More precisely, from

$$\Phi_{-\omega}(\delta_i) = (\partial_i - b_i) + c_i (\partial_n - b_n) + d_i$$

we get $d_i - b_i - c_i b_n \in K$. Therefore, $b_i \in K + B + A(xb_n)$. From (6.9) we obtain

$$b_i \in B + Axb_n, \quad i = 1, \ldots, n-1.$$  

Now we use that Lemma 5.1(ii) gives us also an element of $\mathcal{A}$ of the form $a \xi_n^k \mod x$ with $a \in B^\times$. From (6.12) we obtain

$$a \in (x^{1-p})^k K \cap B$$

Since $a$ has a non-zero constant term this is only possible if $p$ divides $k$. Then $a \in K \cap B^\times = A^\times$, hence we can make $a = 1$. Summarizing, we have found an operator $D$ in $\mathcal{A}$ of the form

$$D = \partial_n^k + (u_1 \partial_1 + \ldots + u_{n-1} \partial_{n-1} + u) \partial_n^{k-1} + \ldots \text{ with } u_i \in x B, u \in B \text{ and } p | k.$$  

As above we want to use that $\Phi_{-\omega}(D) \in \mathcal{D}_c(K)$. More precisely we want to look at the coefficient of $\partial_t^{k-1}$. Write $\partial_n = f \partial_t$ with $f = px^{p-1} = pt^{1-\frac{1}{p}}$. Using the easily verified formulas

$$\partial_n^k = (f \partial_t)^k = f^k \partial_t^k + \alpha_{k,p} f^{k-1} x^{-1} \partial_t^{k-1} + \ldots \text{ with } \alpha_{k,p} = (p-1)^k$$

$$\partial_t^{k-1} = f^{k-1} \partial_t^{k-1} + \ldots$$

$$\partial_n - b_n = f^k \partial_t^k + f^{k-1} \alpha_{k,p} x^{-1} - k b_n \partial_t^{k-1} + \ldots$$

the coefficient of $\partial_t^{k-1}$ in $\Phi_{-\omega}(D)$ can be computed:

$$f^{k-1} (\alpha_{k,p} x^{-1} - k b_n - u_1 b_1 - \ldots - u_{n-1} b_{n-1} + u) \in K$$

From (6.16) we get elements $v \in B$ with $w \in B^\times$ such that

$$f^{k-1} (\alpha_{k,p} x^{-1} - w b_n + v) \in K$$
Since \((p - 1)(k - 1) \equiv 1 \mod p\) we have \(x/f^{k-1} \in K\). This implies

\[(6.24) \quad wxb_n \in K + B = K + xB = E((x^p)) + xE[x].\]

Let \(d \in \mathbb{Z}\) be the order of zero of \(xb_n\) or, equivalently, \(wxb_n\). If \(d \leq 0\) then \(6.24\) implies \(p|d\). On the other hand, \(\text{tr}(xb_n) \in tE[t]\) (see \((6.9)\)) means that \(xb_n\) doesn’t contain any monomials \(x^d\) with \(p|d\) and \(d \leq 0\). Therefore \(d > 0\), i.e., \(b_n \in B\). Finally, \((6.16)\) implies that the other \(b_i\) are in \(B\) and we are done. \(\square\)

The next statement is similar but much easier to prove:

**6.4. Lemma.** Let \(B = E[[x]]\) with quotient field \(L = E((x))\) and let \(\omega \in Z(L)\). Assume that \(A = \mathcal{D}_c(B) \cap \Phi_\omega \mathcal{D}_c(B)\) is cofinite in \(\mathcal{D}_c(B)\). Then \(\omega \in Z(B)\).

**Proof:** The base of \(A\) is \(E[[x]]\). Thus we have \(u_1, \ldots, u_{n-1}, u_n = x \in A\) and we can apply right away part \(i)\) of Lemma 5.1. Thus we get \(\overline{A} \mod x = E[\xi_1, \ldots, \xi_n]\). The Nakayama lemma implies \(\overline{A} = \overline{\mathcal{D}_c(E[x])}\), hence \(A = \mathcal{D}_c(E[[x]])\). In particular \(\Phi_\omega(\partial_i) = \partial_i + \omega(\partial_i) \in \mathcal{D}(E[[x]])\) means that \(\omega\) is regular. \(\square\)

Now we globalize these local computations:

**6.5. Theorem.** Let \(\varphi : X \rightarrow Y\) be a finite dominant morphism between normal varieties and let \(L, K\) be the fields of rational functions of \(X, Y\) respectively. For \(\omega \in Z_K(L)\) assume that \(A = \mathcal{D}(X) \cap \Phi_\omega \mathcal{D}(K)\) is graded cofinite in \(\mathcal{D}(X)\). Then \(\omega \in Z_Y(X)\), i.e., \(\omega\) is regular on all of \(X\).

**Proof:** Since \(X\) is normal it suffices to prove the regularity of \(\omega\) in codimension one. Let \(D \subseteq Y\) be a prime divisor and choose \(\hat{D} \subseteq \hat{Y} \rightarrow Y\) as in the final remark of section 2. Theorem 3.5 implies that \(\varphi\) is uniformly ramified. Therefore, the rings \(\hat{B}_i\) are all the same, say equal to \(E[[x]]\) with \(x^p = t\). The form \(\omega\) gives rise to forms \(\omega_i\) over \(\hat{B}_i[x^{-1}] \cong E((x))\). From \(A \subseteq \mathcal{D}(X) \cap \Phi_\omega \mathcal{D}(K)\) (with \(K = \mathbb{C}(Y)\)) we get

\[(6.25) \quad \hat{A} \subseteq \mathcal{D}_c(E[[x]])^s \cap (\Phi_{\omega_1} \times \ldots \times \Phi_{\omega_s})\Delta \mathcal{D}_c(E((t)))\]

where \(\Delta\) is the diagonal embedding. Thus \(\hat{A}\) is contained in the set of all \((D_1, \ldots, D_s) \in \mathcal{D}_c(E[[x]])^s\) with

\[(6.26) \quad \Phi_{-\omega_1}(D_1) = \ldots = \Phi_{-\omega_s}(D_s) \in \mathcal{D}_c(E((t))).\]

Solving for \(D_2, \ldots, D_s\) we see that \(\hat{A}\) is contained in

\[(6.27) \quad \mathcal{D}_c(E[[x]]) \cap \Phi_{\omega_2-\omega_1} \mathcal{D}_c(E[[x]]) \cap \ldots \cap \Phi_{\omega_s-\omega_1} \mathcal{D}_c(E[[x]]).\]
In particular, the latter algebra is graded cofinite in \( \mathcal{D}(X) \) which implies, by Lemma 6.4, that \( \delta_i := \omega_i - \omega_1 \) is regular for all \( i \). Then

\[
(6.28) \quad 0 = \text{tr}_{L|K} \omega = s \text{tr}_{E((x))|E((t))} \omega_1 + \sum_i \text{tr}_{E((x))|E((t))} \delta_i.
\]

implies that \( \text{tr}_{E((x))|E((t))} \omega_1 \) is regular. Since clearly \( \hat{A} \subseteq D_c(E[[x]]) \cap \Phi_{\omega_1} D_c(E((t))) \) we deduce from Lemma 6.3 that \( \omega_1 \) itself is regular.

\[\Box\]

7. The main theorem and its applications

The main result of this paper is:

**7.1. Theorem.** Let \( X \) be a normal \( \mathcal{D} \)-simple variety and let \( A \subseteq \mathcal{D}(X) \) be a graded cofinite subalgebra. Then \( A = \Phi_{\omega} \mathcal{D}(X, Y) \) where \( Y = \text{Spec} A \cap O(X) \) and \( \omega \in Z_Y(X) \) unique. The variety \( Y \) is normal and the morphism \( X \to Y \) is uniformly ramified.

**Proof:** Let \( A' \) be the normalization of \( A \) with base \( A' \) (Corollary 2.7). Put \( Y' := \text{Spec} A' \) and let \( L, K \) be the quotient fields of \( X, Y' \), respectively. Theorem 3.5 implies that \( X \to Y' \) is uniformly ramified. We conclude that \( \mathcal{D}(X, Y') \) is simple (Theorem 4.2).

From Proposition 6.2 we get a unique \( \omega \in Z_L(K) \) such that \( A'_K = \Phi_{\omega} \mathcal{D}(K) \). By Theorem 6.5, this \( \omega \) is regular on all of \( X \) and we may replace \( A \) by \( \Phi_{-\omega} A \). Thereby, we get

\[
(7.1) \quad A \subseteq \mathcal{D}(X) \cap \mathcal{D}(K) = \mathcal{D}(X, Y') \subseteq \mathcal{D}(X)
\]

We have \( K \otimes_A A = \mathcal{D}(K) = K \otimes_A \mathcal{D}(X, Y') \). Hence, for every \( D \in \mathcal{D}(X, Y') \) there is \( 0 \neq f \in A \) such that \( fD \in A \). Now (7.1) implies that \( \mathcal{D}(X, Y') \) is a finitely generated \( \mathcal{A} \)-module, both left and right. Thus there is a single \( 0 \neq f \in A \) with \( f\mathcal{D}(X, Y') \subseteq \mathcal{A} \). Likewise, there is \( 0 \neq g \in A \) with \( \mathcal{D}(X, Y')g \subseteq \mathcal{A} \). This implies that \( \mathcal{D}(X, Y')g \mathcal{D}(X, Y') \) is a non-zero two-sided ideal of \( \mathcal{D}(X, Y') \) which is contained in \( \mathcal{A} \). We conclude \( \mathcal{A} = \mathcal{D}(X, Y') \). From this we get \( O(Y) = O(X) \cap A = O(Y') \), hence \( Y = Y' \) is normal.

\[\Box\]

For the applications we start with a well-known cofiniteness criterion:

**7.2. Lemma.** Let \( R = \bigoplus_{d=0}^{\infty} R_d \) be a finitely generated graded \( \mathbb{C} \)-algebra. Let \( F \subseteq R_0 \) be a subset such that \( R_0 \) is finite over \( \mathbb{C}[F] \). Let \( G \subseteq R_{>0} \) be a set of homogeneous elements which has the same zero-set in \( \text{Spec} R \) as \( R_{>0} \). Then the subalgebra generated by \( F \cup G \) is cofinite in \( R \).
Proof: Hilbert’s Nullstellensatz implies that there is \( N > 0 \) with \((R_{>0})^N \subseteq RG\). Since \( R \) is finitely generated there is an \( M \geq N \) with \( R_{>M} \subseteq (R_{>0})^N \). Put \( S := R_{\leq M} \). This is a finitely generated \( R_0 \)-module with \( R = S + RG \). Thus we have

\[
(7.2) \quad R = S + RG = S + SG + RG^2 = \ldots = S + SG + \ldots + SG^{d-1} + RG^d
\]

for all \( d \geq 1 \). Since the minimal degree of an element of \( G^d \) goes to \( \infty \) as \( d \) goes to \( \infty \) we see that \( R = S[G] \), hence is a finitely generated \( R_0[G] \)-module. Thus it is also a finitely generated \( \mathbb{C}[F \cup G] \)-module.

7.3. Theorem. Let \( W \) be a finite group acting on the normal \( \mathcal{D} \)-simple affine variety \( X \). Let \( F \subseteq O(X)^W \) and \( G \subseteq \mathcal{D}(X)^W \) with

i) The normalization of \( \mathbb{C}[F] \) is \( O(X)^W \).

ii) The set of symbols \( \overline{G} \) of \( G \) vanishes simultaneously only on the zero section of the cotangent bundle \( \text{Spec} \mathcal{D}(X) \) of \( X \).

Then \( \mathcal{D}(X)^W \) is, as an algebra, generated by \( F \cup G \).

Proof: Let \( \mathcal{A} \subseteq \mathcal{D}(X) \) be the subalgebra generated by \( F \) and \( G \). Then \( F \) and \( \overline{G} \) meet the assumptions of Lemma 7.2 and we conclude that \( \mathcal{A} \) is graded cofinite in \( \mathcal{D}(X) \).

Let \( \mathcal{A} \) be the base of \( \mathcal{A} \). By Theorem 7.1 it is integrally closed. We have \( \mathcal{A} \subseteq \mathcal{D}(X)^W \) hence \( \mathbb{C}[F] \subseteq \mathcal{A} \subseteq O(X)^W \) which implies \( \mathcal{A} = O(X)^W \). Finally, Theorem 7.1 implies \( \mathcal{A} = \overline{D}(X, X/W) = \overline{D}(X)^W \). From \( \mathcal{A} \subseteq \mathcal{D}(X)^W \) we get \( \mathcal{A} = \mathcal{D}(X)^W \).

As mentioned in the introduction, we obtain the following result of Levasseur-Stafford [LS] as an application:

7.4. Corollary. Let \( V \) be a finite dimensional representation of \( W \). Then \( \mathcal{D}(V)^W \) is generated by the invariant polynomials along with the invariant constant coefficient differential operators.

Observe that even for vector spaces, Theorem 7.3 is more general than the Levasseur-Stafford theorem: it suffices to take invariant functions which generate the ring of invariants only up to normalization and invariant constant coefficient operators which generate all invariant constant coefficient operators up to integral closure. In practice, this leads to much smaller generating sets. For example, we get

7.5. Corollary. Let \( V \) be an \( n \)-dimensional representation of \( W \). Then \( \mathcal{D}(V)^W \) can be generated by \( 2n + 1 \) elements.
Proof: First, choose homogeneous systems of parameters $f_1, \ldots, f_n$ and $d_1, \ldots, d_n$ of $\mathcal{O}(V)^W$ and $\mathcal{O}(V^*)^W$, respectively. Then choose a generator $f_0 \in \mathcal{O}(V)^W$ of the finite field extension $\mathbb{C}(V)^W/\mathbb{C}(f_1, \ldots, f_n)$. Then $F = \{f_0, \ldots, f_n\}$ and $G = \{d_1, \ldots, d_n\}$ satisfy the assumptions of Theorem 7.3.

We need the following

Definition: Let $A \subseteq \mathcal{D}(X)$ be a graded cofinite subalgebra with base $A$ and $Y = \text{Spec } A$. Then $A$ is called untwisted if $A = \mathcal{D}(X, Y)$.

7.6. Proposition. Let $X$ be a normal $\mathcal{D}$-simple affine variety, and $A \subseteq A' \subseteq \mathcal{D}(X)$ graded cofinite subalgebras. If $A$ is untwisted then so is $A'$.

Proof: Let $K$, $K'$, and $L$ be the field of fractions of $A \cap \mathcal{O}(X)$, $A' \cap \mathcal{O}(X)$, and $\mathcal{O}(X)$, respectively. Moreover, let $A_K$ (resp. $A'_K$) be the algebra generated by $A$ and $K$ (resp. $A'$ and $K'$). Choose a transcendence basis $u_1, \ldots, u_n \in K$ and let $\partial_1, \ldots, \partial_n$ be the derivations of $K$, $K'$, and $L$ with $\partial_i(u_j) = \delta_{ij}$. If $A$ is untwisted then $\partial_i \in A_K$. Thus $\partial_i \in A'_K$, which means that $A'$ is untwisted, as well.

Now we derive a Galois correspondence for graded cofinite subalgebras:

7.7. Theorem. Let $X$ be a normal $\mathcal{D}$-simple affine variety and $W$ a finite group acting on $X$. Then the map $H \mapsto \mathcal{D}(X)^H$ establishes a bijective correspondence between subgroups of $W$ and subalgebras of $\mathcal{D}(X)$ containing $\mathcal{D}(X)^W$.

Proof: The only non-trivial thing to show is that every subalgebra $A$ containing $\mathcal{D}(X)^W$ is of the form $\mathcal{D}(X)^H$. Let $A$ be the base of $A$ and $Y = \text{Spec } A$. By Theorem 7.1 and Proposition 7.6 we have $A = \mathcal{D}(X, Y)$. Since $\mathcal{O}(X)^W \subseteq A \subseteq \mathcal{O}(X)$ and since $A$ is integrally closed there is $H \subseteq W$ with $A = \mathcal{O}(X)^H$. Thus $A = \mathcal{D}(X, X/H) = \mathcal{D}(X)^H$.

Remark: The preceding result could have been as well derived from a noncommutative version of Galois theory due to Kharchenko. Recall that a subalgebra $A$ of $\mathcal{D}(X)$ is called an anti-ideal if for any $a \in \mathcal{D}(X)$, $b, c \in A \setminus \{0\}$, $ab, ca \in A$ implies $a \in A$ (see e.g. [Co] §6.6, p.334). This is a non-commutative version of integral closedness. Now Theorem 7.7 follows from Kharchenko’s Galois correspondence ([Co] Thm. 11.7) using the following

7.8. Proposition. Let $X$ be a normal $\mathcal{D}$-simple affine variety. Then every graded cofinite subalgebra of $\mathcal{D}(X)$ is an anti-ideal.

Proof: Let $A \subseteq \mathcal{D}(X)$ be a graded cofinite subalgebra. By Theorem 7.1 we may assume that $A = \mathcal{D}(X, Y)$ for some uniformly ramified morphism $X \to Y$. Now using Proposition 3.3
we have

\[(7.3) \quad \mathcal{A} = \mathcal{D}(\tilde{X})^W \subseteq \mathcal{D}(X) \subseteq \mathcal{D}(\tilde{X}).\]

Is is easy to see (see the proof of [Co] Thm. 11.7) that \(\mathcal{D}(\tilde{X})^W\) is an anti-ideal of \(\mathcal{D}(\tilde{X})\). A fortiori, it is an anti-ideal of \(\mathcal{D}(X)\).

Here is another example of how one can play with Theorem 7.1:

**7.9. Theorem.** Let \(V\) be a finite dimensional representation of \(W\). Then the ring \(\mathcal{D}(V \oplus V^*)^W\) is generated by

\[(7.4) \quad \mathcal{D}(V \oplus 0)^W \cup \mathcal{D}(0 \oplus V^*)^W \cup \{\omega\}.

where \(\omega : V \times V^* \rightarrow \mathbb{C}\) is the evaluation map.

**Proof:** Let \(\mathcal{A}\) be the subalgebra generated by this set. The first two pieces generate the subalgebra \(\mathcal{D}(V \oplus V^*)^W \times W\). Theorem 7.7 implies that \(\mathcal{A} = \mathcal{D}(V \oplus V^*)^H\) for some subgroup \(H\) of \(W \times W\). But the isotropy group of \(\omega\) inside \(W \times W\) is just \(W\) embedded diagonally which implies \(H = W\).

One remarkable feature of subalgebras of non-commutative rings is that they are much scarcer. An argument similar to Theorem 7.7 shows

**7.10. Corollary.** Let \(X\) be normal and \(\mathcal{D}\)-simple and \(\mathcal{A} \subseteq \mathcal{D}(X)\) graded cofinite. Then there are only finitely many intermediate subalgebras.

**Proof:** By applying an automorphism to \(\mathcal{D}(X)\) we may assume \(\mathcal{A}\) to be untwisted: \(\mathcal{A} = \mathcal{D}(X, Y)\). Then every intermediate algebra is untwisted as well hence of the form \(\mathcal{D}(X, Y')\) with \(O(Y) \subseteq O(Y') \subseteq O(X)\) and \(O(Y')\) integrally closed. Galois theory tells us that there are only finitely many of those.

For \(X = \mathbb{A}^1\) one can make things very explicit:

**7.11. Theorem.** Let \(\mathcal{A} \subseteq \mathcal{D}(\mathbb{A}^1) = \mathbb{C}\langle x, \partial_x \rangle\) be graded cofinite. Then there is \(a \in \mathbb{C}, p \in \mathbb{C}[x],\) and \(m \in \mathbb{Z}_{>0}\) such that \(\mathcal{A} = \mathbb{C}\langle u^m, \eta^m \rangle\) where \(u = x - a\) and \(\eta = \partial_x + p(x)\). Moreover, \(p\) may be chosen in such a way that \(xp\) does not contain monomials whose exponent is divisible by \(m\). In that case, the triple \((a, m, p)\) is uniquely determined by \(\mathcal{A}\).

**Proof:** Clearly we may assume \(\mathcal{A}\) to be untwisted. Then we have to determine all uniformly ramified morphism \(\varphi : \mathbb{A}^1 \rightarrow Y\).

First, \(Y\) is a smooth rational curve with \(O(Y)^* = \mathbb{C}^*\) which implies \(Y \cong \mathbb{A}^1\). Thus, \(\varphi\) extends to a morphism \(\varphi : \mathbb{P}^1 \rightarrow \mathbb{P}^1\) such that \(\varphi^{-1}(\infty) = \infty\). Let \(d\) be the degree
of $\varphi$. Assume $\varphi$ is ramified over the points $y_1, \ldots, y_s \in Y$ with ramification numbers $r_1, \ldots, r_s \geq 2$. Then $\varphi^{-1}(y_i)$ will consist of $d/r_i$ points. The ramification number at $\infty$ is $d$. Thus Hurwitz’ formula implies

$$(7.5) \quad -2 = -2d + \sum_{i=1}^{s} \frac{d}{r_i} (r_i - 1) + (d - 1) = (s - 1)d - 1 - \sum_{i=1}^{s} \frac{d}{r_i}$$

From $r_i \geq 2$ we get

$$(7.6) \quad -1 = (s - 1)d - \sum_{i=1}^{s} \frac{d}{r_i} \geq (s - 1)d - \frac{d}{\sum_{i=1}^{s} r_i} \geq (s - 1)d - \frac{d}{2} = \left(\frac{s}{2} - 1\right)d.$$ 

This implies $s = 0$ and $d = 1$, i.e., $\varphi$ is an isomorphism, or $s = 1$ and $r_1 = d$. In the latter case, $\varphi$ is, up to a translation, just the quotient $A^1 \to A^1/\mu_d$.

**Final remark:** The stipulation that our subalgebras are graded cofinite in $D(X)$ is essential. It would be interesting to classify all subalgebras $A$ for which $D(X)$ itself is a finitely generated left and right $A$-module. Take, for example, the affine space $X = A^n$. Then $D(X)$ is the Weyl algebra on which the symplectic group $Sp_{2n}(\mathbb{C})$ acts by automorphisms. Now take any irreducible $2n$-dimensional representation of a finite group $W$ which preserves a symplectic form. Then $A = D(A^n)^W$ will have the required property even though it is not graded cofinite. The point is, of course, that the $W$-action does not preserve the standard filtration. Nevertheless, it preserves the so-called Bernstein filtration for which linear functions have degree one. Therefore, one might want to start with the problem: what are the subalgebras of a Weyl algebra which are graded cofinite with respect to the Bernstein filtration?

8. References

[BGG] Bernstein, J.; Gelfand, I.; Gelfand, S.: Differential operators on a cubic cone. *Uspehi Mat. Nauk* 27 (1972), 185–190

[Co] Cohn, P.: Free rings and their relations. Second edition. (London Mathematical Society Monographs 19) London: Academic Press, Inc. [Harcourt Brace Jovanovich, Publishers] 1985

[Ei] Eisenbud, David: Commutative algebra. With a view toward algebraic geometry. (Graduate Texts in Mathematics 150) New York: Springer-Verlag 1995

[LS] Levasseur, T.; Stafford, J.: Invariant differential operators and an homomorphism of Harish-Chandra. *J. Amer. Math. Soc.* 8 (1995), 365–372

[Mas] Mársson, G.: Rings of differential operators and étale homomorphisms. *MIT Thesis* (1991), homepage.mac.com/gisli.masson/thesis/

[Sch1] Schwarz, G.: Differential operators on quotients of simple groups. *J. Algebra* 169 (1994), 248–273
[Sch2] Schwarz, G.: Lifting differential operators from orbit spaces. *Ann. Sci. École Norm. Sup. (4)* 28 (1995), 253–305

[Sch3] Schwarz, G.: Invariant differential operators. In: *Proceedings of the International Congress of Mathematicians (Zürich, 1994)*. Basel: Birkhäuser 1995, Vol. 1, 333–341

[SS] Smith, S.; Stafford, J.: Differential operators on an affine curve. *Proc. London Math. Soc.* 56 (1988), 229–259

[VdB1] Van den Bergh, M.: Differential operators on semi-invariants for tori and weighted projective spaces. In: *Topics in Invariant Theory*. (M.-P. Malliavin ed.) Lecture Notes in Math. 1478, Berlin: Springer 1991, 255–272

[VdB2] Van den Bergh, M.: Some rings of differential operators for $\text{Sl}_2$-invariants are simple. Contact Franco-Belge en Algèbre (Diepenbeek, 1993). *J. Pure Appl. Algebra* 107 (1996), 309–335

[Wa] Wallach, N.: Invariant differential operators on a reductive Lie algebra and Weyl group representations. *J. Amer. Math. Soc.* 6 (1993), 779–816

[Za] Zannier, U.: A note on traces of differential forms. *J. Pure Appl. Algebra* 142 (1999), 91–97