Objectives: Antimicrobial resistant extended-spectrum-β-lactamase-producing Enterobacteriaceae (ESBL-PE) have been shown to be present in healthy communities. This study examined healthy children from the rural Andean village of Llano del Hato, Mérida, Venezuela, who have had little or no antibiotic exposure to determine the prevalence of fecal carriage of ESBL-producing Escherichia coli (ESBL-EC).

Methods: A total of 78 fecal samples were collected in healthy children aged from 1 to 5 years. ESBL-EC were selected in MacConkey agar plates with cefotaxime and further confirmed by the VITEK 2 system. ESBL were phenotypically detected and presence of bla genes and their variants were confirmed by molecular assays. Determination of phylogenetic groups was performed by PCR amplification. Risk factors associated with fecal carriage of ESBL-EC-positive isolates were analyzed using standard statistical methods.

Results: Of the 78 children studied, 27 (34.6%) carried ESBL-EC. All strains harbored the blaCTX-M-15 allele. Of these, 8 were co-producers of blaTEM-1, blaTEM-5, blaSHV-5 or blaSHV-12. Co-resistance to aminoglycosides and/or fluoroquinolones was observed in 9 strains. 51.9% of ESBL-EC isolates were classified within phylogroup A. A significant, positive correlation was found between age (≥2.5 - ≤5 years), food consumption patterns and ESBL-EC fecal carriage.

Conclusion: This is the first study describing the high prevalence of fecal carriage of ESBL-EC expressing CTX-M-15- among very young, healthy children from a rural Andean village in Venezuela with scarce antibiotic exposure, underlining the importance of this population as a reservoir.

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resistant *E. coli* strains to several antibiotics. Hence, fecal *E. coli* is regarded as a useful indicator of the spread of acquired antibiotic resistance genes in the community [1-4]. In Venezuela, spread of ESBL-PE in hospitals, food-producing healthy animals, and food products have been described [8-13], but little information on the fecal carriage of ESBL-PE in healthy children living in rural or remote communities and with scarce or absent antibiotic exposure is known [14]. The main aim of this study was to investigate the prevalence, phenotypic resistance patterns, and genetic characteristics of commensal ESBL-producing *E. coli* (ESBL-EC) in healthy children from a rural Andean village in Venezuela.

### Materials and Methods

#### 1. Ethics statement

The study was approved by the Committee for Medical and Health Research Ethics of Faculty of Medicine and the Council of Scientific, Humanistic, Technological and Arts (CDCHTA) of the University of The Andes (ULA), Mérida, Venezuela. Written informed consent was obtained from the parents or guardians on behalf of all children before the study started.

#### 2. Study design and population

The study was carried out in the Llano del Hato community, a rural village located in the Municipality of Rangel in the state of Mérida, Venezuela, at 3,538 meters above sea level, at latitude 08° 47.5’ north and longitude 70° 52.4’ west. The population is distributed in 9 rural sectors: El Cabildo, Romeral, Centro, Prado, Lagunita, La Curba, Los Positos, Mesita del Salado and Peña Colorada. The average annual temperature ranges from 2°C to 15°C and has two well-defined climatic seasons: a rainy season between March and October, and a dry season during the rest of the year. The closest permanent health care facility is about 12 km away but due to road conditions, it is usually reached using off-road vehicles, by horse, or by walking. The population is estimated at 438 inhabitants and children under 5 years represent 21.7% (95/438) of that population. The main economic activity is agriculture, with the principal crops being potato, carrots and garlic.

Between January to July 2015, during a community service study, previously planned by the Faculty of Pharmacy and Bioanalysis of the University of The Andes, 78 children aged from 1 year to 5 years (42 male and 36 female) were selected in a routine clinical check-up with normal findings. The sample size was calculated as described by Lee [19]. Multivariate logistic regression analysis was used to determine risk factors associated with the fecal carriage of ESBL-EC and results are presented as odds ratios (ORs) with 95% confidence intervals (CIs). Statistical significance was set at *p* < 0.05. Cramer’s V was utilized to determine the effect size or strength of the association between 2 nominal variables and interpretation of the results was carried out as described by Lee [19]. Multivariate analysis of principal components and hierarchical clustering was performed using Past v3.06 program according to Hammer et al [20]. The dendrogram was generated from clinical and epidemiological variable analyses, as well as resistance patterns and genetic characteristics of ESBL-EC isolated in healthy children using the paired Group Algorithm (UPGMA) and Gower similarity index.

### 3. Microbiological analysis

Fecal samples were collected after natural evacuation and an aliquot was immediately inoculated in transport medium and sent to the Molecular Microbiology Laboratory of the University of The Andes at room temperature. Processing started within 6 hours of sample collection. Fecal samples were streaked onto MacConkey agar (Oxoid, Ltd., Basingstoke, UK) with and without ceftazidime (2 mg/L), and aerobically incubated a 37°C for 24 h. When a sample showed positive growth on the selective medium, at least 3 lactose-fermenting pink colonies resembling *E. coli* were selected for subsequent characterization. *E. coli* strains were further confirmed by the VITEK 2 Compact system (bioMérieux, Marcy-l’Etoile, France). For the purposes of this work, only one strain of *E. coli* for each child was selected.

Antibiotic susceptibility was determined by minimum inhibitory concentration using the VITEK 2 Compact system (AST-GN-299 susceptibility cards) according to the Clinical and Laboratory Standards Institute (CLSI) guidelines [16]. ESBL expression was confirmed by the disc diffusion method on Mueller Hinton agar (Oxoid) using ceftazidime (30 µg) and cefotaxime (30 µg) with and without clavulanic acid (10 µg), as recommended by the CLSI [16]. *E. coli* ATCC 25922 and *Klebsiella pneumoniae* ATCC 700603 were used as quality control strains.

### 4. Molecular analysis of ESBL-producing *E. coli* strains

DNA was extracted using the X-trem preparation kit (Biotec, Granada, Spain). *bla*<sub>TEM</sub>, *bla*<sub>SHV</sub> and group *bla*<sub>CTX-M</sub> genes were detected by PCR based on previously described primers and protocols [17]. All amplification products were purified (AccuPrep1 PCR Kit; Bioneer, Daejeon, South Korea) and nucleotide sequencing was performed using a 3730XL Genetic Analyzer (Applied Biosystems, Carlsbad, CA). Nucleotide and amino acid sequence alignments were analyzed using the Basic Local Alignment Search Tool (BLAST) suite of programs (https://blast.ncbi.nlm.nih.gov/Blast.cgi). The phylogenetic group of each strain was determined according to Clermont et al [18].

### 5. Statistical analysis

Data was analyzed using the SPSS version 21 software (IBM Corporation, NY, USA). Categorical data was compared using the Chi-square test with Yates correction. Multivariate logistic regression analysis was used to determine risk factors associated with the fecal carriage of ESBL-EC and results are presented as odd ratios (ORs) with 95% confidence intervals (CIs). Statistical significance was set at *p* < 0.05. Cramer’s V was utilized to determine the effect size or strength of the association between 2 nominal variables and interpretation of the results was carried out as described by Lee [19]. Multivariate analysis of principal components and hierarchical clustering was performed using Past v3.06 program according to Hammer et al [20]. The dendrogram was generated from clinical and epidemiological variable analyses, as well as resistance patterns and genetic characteristics of ESBL-EC isolated in healthy children using the paired Group Algorithm (UPGMA) and Gower similarity index.

### Results

#### 1. Characteristics of the study population and risk factors for fecal carriage

Of the 78 children included in this study, 42 (53.8%) were males and 36 (46.2%) were females. The median age was 2.4 years (IQR 1.1-3.3). Table 1 shows the demographic and epidemiological details of children from whom *E. coli* strains were isolated. Of the 78 fecal samples studied, 27 (34.6%) carried ESBL-EC strains.
Of the 44 children aged 1-2.5 years, only 7 were ESBL-EC positive (15.9%), compared with the group aged ≥2.5 years - ≤5 years, where 20 of 34 children (58.8%) were significantly associated with the carriage of ESBL-EC ($p=0.0002$; $OR=7.551$; 95% CI: 2.621-21.754). Also, a variety of food consumption was an important factor associated with the risk of carrying ESBL-EC. Children who were breastfed had a lower risk (6 of 37; 16.2%) of carriage of ESBL-EC than those who consumed other food types (21 of 41; 51.2%) ($p=0.0026$; $OR=5.425$; 95% CI: 1.865-15.778). Five out of 6 children who received antibiotics within the last 3 months had a higher risk of ESBL-EC carriage ($p=0.0304$; $OR=11.364$; 95% CI: 1.252-103.11). The Cramer's V values confirmed a relatively strong effect size (0.447) of the variable ‘≥ 2.5 - ≤5 years,’ and a moderate effect (0.367) of the variable ‘food consumption variety’ as favorable conditions for carrying ESBL-EC. On the other hand, Cramer's V values for antibiotic consumption (0.296) or hospital admission (0.124) had a low effect (0.296) on the fecal carriage of ESBL-EC in the study population (Figure 1).

### Table 1. Demographic and epidemiological characteristics associated with ESBL-producing E. coli from healthy children from a rural Andean village in Venezuela.

| Characteristics                              | Detection of ESBL n (%) | Multivariate             |
|----------------------------------------------|-------------------------|--------------------------|
|                                              | Positive | Negative | OR (95% CI) | $p$    |
| Gender                                       |          |          |             |        |
| Male                                         | 14 (51.9)| 28 (54.9)| 1           |        |
| Female                                       | 13 (48.1)| 23 (45.1)| 1.130 (0.444-2.880)| 0.9853|
| Age                                          |           |           |             |        |
| 1 - ≤ 2.5                                    | 7 (25.9) | 37 (72.6)| 1           |        |
| ≥ 2.5 - ≤5                                   | 20 (74.1)| 14 (27.4)| 7.551 (2.621-21.754)| 0.0002|
| Variety of food in weekly diet                |           |           |             |        |
| Still breast-feeding                          | 6 (22.2) | 31 (60.8)| 1           |        |
| Two or more different type of animal-origin food | 21 (77.8)| 20 (39.2)| 5.425 (1.865-15.778)| 0.0026|
| Hygiene habit (hand washing)                  |           |           |             |        |
| Water                                        | 5 (18.5) | 22 (43.1)| 1           |        |
| Water and soap                               | 22 (81.5)| 29 (56.9)| 3.338 (1.091-10.213)| 0.0543|
| Household crowding                           |           |           |             |        |
| No                                           | 9 (33.3) | 23 (45.1)| 1           |        |
| Yes                                          | 18 (66.7)| 28 (54.9)| 0.609 (0.230-1.609)| 0.4455|
| Hospital admission in the last year           |           |           |             |        |
| No                                           | 22 (81.5)| 46 (90.2)| 1           |        |
| Yes                                          | 5 (18.5) | 5 (9.8)  | 2.091 (0.548-7.985)| 0.4597|
| Antibiotic consumption within the last 3 months |       |          |             |        |
| No                                           | 22 (81.5)| 50 (98.0)| 1           |        |
| Yes                                          | 5 (18.5) | 1 (2.0)  | 11.364 (1.252-103.11)| 0.0304|

### 2. Antibiotic susceptibility phenotype

Antimicrobial susceptibility of 78 E. coli strains isolated from healthy children from the Llano del Hato community is shown in Table 2. Antibiotic-resistant E. coli were detected in 34 (43.6%) of the 78 strains studied. Resistance to wide-spectrum cephalosporins was observed in more than 33% of strains, while resistance to fluoroquinolones and aminoglycosides spanned from 5.1% to 7.7%. The intermediate susceptibility phenotype was observed only with amikacin, nalidixic acid and ciprofloxacin. All strains were susceptible to piperacillin/tazobactam, carbapenems, tigecycline and colistin.

Phenotypic assays to screen potential-ESBL producers revealed 27 (34.6%) strains with ESBL activity. Of these, 17 (63%) were
exclusive producers of ESBL, and 10 ESBL-EC strains showed co-resistance to, 1 or 2 antimicrobial classes (aminoglycosides and fluoroquinolones). All intermediate susceptibility phenotypes were observed in non-ESBL-EC. Single resistance to nalidixic acid was only detected in one non-ESBL-EC strain (Table 3).

3. ESBL genotypes and phylogenetic groups of ESBL-EC

Molecular characterization of ESBL-EC strains revealed the presence of at least 3 bla genes. All 27 ESBL-EC strains harbored the bla<sub>CTX-M-15</sub> allele. Of these, 8 strains co-produced other β-lactamases, 3 (11.1%) co-produced TEM-1b, 2 (7.4%) respectively co-produced TEM-5 and SHV-5, and 1 (3.7%) co-produced SHV-12. Nineteen (70.4%) strains only produced CTX-M-15. Most ESBL-EC strains (14/27; 51.9%) were classified as exclusive producers of ESBL, and 10 ESBL-EC strains showed co-resistance to, 1 or 2 antimicrobial classes (aminoglycosides and fluoroquinolones). All intermediate susceptibility phenotypes were observed in non-ESBL-EC. Single resistance to nalidixic acid was only detected in one non-ESBL-EC strain (Table 3).

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Fecal carriage distribution of ESBL-EC isolates, according to the presence of at least 3 bla genes. All 27 ESBL-EC strains harbored the bla<sub>CTX-M-15</sub> allele. Of these, 8 strains co-produced other β-lactamases, 3 (11.1%) co-produced TEM-1b, 2 (7.4%) respectively co-produced TEM-5 and SHV-5, and 1 (3.7%) co-produced SHV-12. Nineteen (70.4%) strains only produced CTX-M-15. Most ESBL-EC strains (14/27; 51.9%) were classified within phylogenetic group A, 8 (29.6%) were members of group B2, 3 (11.1%) of group B1, and 2 (7.4%) of group D (Table 4).
was composed of 21 (77.8%) children and the minor cluster by 6 (22.2%) children. Regardless of the epidemiological variables studied, no particular population distribution was observed in relation to the phenotypic or molecular characteristics of \( E. \ coli \) isolates, except 2 subgroups of 4 and 2 children, respectively, located in the major cluster, which presented very similar epidemiological characteristics (100%) and also had CTX-M-15-producing \( E. \ coli \) from the phylogenetic group A as a common characteristic.

**Discussion**

Although \( E. \ coli \) isolates producing ESBLs have previously been reported in healthy people, the majority of subjects analyzed, lived in cities [1,3]. At present, there is little information about isolation rates of ESBL-PE in healthy children from rural or remote communities with scarce or absent antibiotic exposure, as well as risk factors associated with fecal carriage [3,7,21]. To our knowledge, this is the first study describing the prevalence of ESBL-EC fecal carriage among very young, healthy children from a rural Andean village in Venezuela. Results showed a high prevalence (34.6%) of fecal carriage by ESBL-EC in 1 year to 5-year-old healthy children from the Llano del Hato community, which is comparable to the prevalence of 34.3% found among community and hospitalized children in Dar es Salaam, Tanzania [22], worse than values reported in healthy children from Gipuzkoa, Spain (24.0%) [23], and almost 3 times higher than those reported in healthy children in resource-limited settings from Latin American (12%) [24], but lower than prevalence described in asymptomatic rural children in Taian, China (73.9%) [2].

The rapid spread of the genotype CTX-M-15 has been the subject of worldwide concern in the microbiology community [1,5]. In this study, molecular characterization of CTX-M-type ESBL revealed that 27 strains of ESBL-EC carried \( \text{bla}_{\text{CTX-M-15}} \). Of these, 8 were co-producers of other ESBLs such as \( \text{bla}_{\text{TEM-3}} \), \( \text{bla}_{\text{TEM-5}} \), \( \text{bla}_{\text{SHV-5}} \) or \( \text{bla}_{\text{SHV-12}} \). The high prevalence of the CTX-M-15-like genotype further confirms that the CTX-Ms is the dominant enzyme among carriers, both in Venezuela and worldwide [1,11,25]. The strains were distributed in the main phylogenetic groups A, B1, B2 and D, but more than half belonged to phylogroup A. Previous studies performed in Spain [23], France [6] and India [26] reported a higher frequency of phylogroup A in strains of CTX-M-producing \( E. \ coli \) isolated from asymptomatic carriers. Specific association between phylogenetic groups and variants of CTX-M have been suggested, particularly \( \text{bla} \) genes encoding CTX-M-14 and CTX-M-15 which were considered to be driven by epidemic \( E. \ coli \) strains belonging to phylogroup B2 [27]. However, of the 27 CTX-M-15-producing \( E. \ coli \) strains in this study, only 8 isolates belonged to phylogroup B2. ESBL-producing isolates, especially CTX-M-producing \( E. \ coli \), exhibit a worrying trend where there is an increasing number of \( E. \ coli \) strains with co-resistance to other classes of antibiotics [1,5]. In this study, the resistance to aminoglycosides and fluoroquinolones in ESBL-producing strains was higher than in non-ESBL-producers. However, the number of fluoroquinolone-resistant strains may be greater if molecular tests had been used for screening. Clinical isolates harboring plasmid-mediated quinolone resistance (PMQR) determinants did not show any significant change in their minimum inhibitory concentration when compared with isolates susceptible to nalidixic acid [13]. Hence, it is necessary to increase test sensitivity and optimize the screening procedures when strains that might contain such resistance determinants are studied. In this regard, it is important to note that in recent decades, an increase in resistance to fluoroquinolones associated with expanded-spectrum cephalosporins in \( E. \ coli \) has been reported in Latin America [5]. Multidrug resistance profiles involving non-\( \beta \)-lactam antibiotics in ESBL-producing isolates may also contribute to the increase in colonization pressure [28]. Considering the origin of the strains studied here, we believe that the source of resistance to fluoroquinolones should not be directly related to the use of quinolones, since these drugs are not prescribed in pediatric patients. However, fluoroquinolones are widely used in veterinary medicine and poultry production, and fluoroquinolone-resistant strains could indeed be transmitted to humans through the food chain [3,13,28].

Several studies have reported that age is a risk factor for ESBL carriage, but the impact of this epidemiological variable on ESBL fecal carriage varies within the age range of the studied population and also the study settings [22]. Although this work included young children with a limited age range of 2.5 years to ≤5 years, this group was significantly associated with ESBLs carriage, and 7 times more likely to be colonized with ESBL-EC than the 1 year to ≤2.5 year group. This finding bears a direct relation to the fact that older children have more varied eating habits, which include different food types. There is considerable evidence demonstrating the role of the food chain in the colonization and spread of ESBL-EC in community settings [4,13,28]. Multivariate analysis revealed a positive association between food consumption patterns and high prevalence of ESBL-EC fecal carriage in healthy children. According to the data recorded in this study, foods regularly consumed by these children included dairy products and meats, mostly home-made or acquired by exchange with nearby villages. Although CTX-M-15 has spread pandemically in humans, this enzyme has recently been reported in food-producing animals in South America [29]. In this regard, previous studies examining the presence of ESBL-PE in dairy products and meats marketed in Venezuela have determined the impact of food products both as reservoirs, and dissemination mechanisms of these strains [12,13,30].

Unlike other studies [31], we showed that before hospitalization or previous use of antimicrobial drugs, there were risk factors for fecal carriage of ESBL-EC in healthy children that had little impact. Also, it was not evident that other variables such as gender, hand washing and household crowding played a major role in the individual carriage of ESBL-EC. These results highlight that additional factors influence dissemination of ESBL-EC in healthy children from the Llano del Hato community and emphasizes how limited resistance control strategies are based exclusively on antibiotic restriction policies. Clemente et al. [32] pointed out that functional resistance genes probably are a feature of the human microbiome, even in the absence of exposure to commercial antibiotics. Moreover, resistance genes are primed for dissemination upon exposure to pharmacological levels of antibiotics. Results in this study seem to fit these hypotheses because ESBLs, and particularly CTX-M genes, could be introduced into the community and then disseminated among the population by cross-transmission, as it has been suggested in other studies [7,21]. In this respect, it is important to point out that resistance patterns observed in the Llano del Hato community were globally comparable to those reported in previous studies conducted in urban areas of Mérida State [10,11,25].

In general, the majority of children with ESBL-EC fecal carriage constituted a heterogeneous population according to epidemiological variable analysis. The genetic characteristics of ESBL-EC strains did not determine a particular distribution in the studied population. Only 6 children with fecal carriage of CTX-M-15-producing \( E. \ coli \) (phylogroup A) shared highly
similar characteristics. This is probably because these children were members of close family groups. These results indicate that the epidemiology of colonization with ESBL-producing *E. coli* is complex and that explaining the dynamics of acquisition and transmission requires complex longitudinal studies.

Our study had several limitations. We did not determine the presence of plasmids encoding the ESBLs, which could possibly have improved the understanding of the dynamics of ESBL propagation, nor did we determine the presence of virulence factors in the isolates. These studies will be performed shortly. Conversely, the degree of person-to-person contact between relatives was not investigated in detail and, consequently we were unable to assess whether the different types of contact were associated with transmission risks.

In conclusion, the prevalence of ESBL-EC fecal carriage among healthy children from Llano del Hato is alarmingly high, underlining the importance of this population as a reservoir. CTX-M-15 was the predominant ESBL, which coexisted in some strains with other ESBLs (*bla*<sub>TEM-1</sub>, *bla*<sub>TEM-5</sub>, *bla*<sub>SHV-5</sub> or *bla*<sub>TEM-12</sub>). A significant, positive correlation was found between age, food consumption patterns and ESBL-EC fecal carriage. Local, national and worldwide research is crucial, since ESBL-EC fecal carriage contributes to a considerable circulating pool of resistance genes. The prevalence of ESBL-EC fecal carriage in the Llano del Hato community might thus provide valuable information for understanding dissemination in rural villages with low levels of antibiotic exposure.

**Conflicts of Interest**

No potential conflict of interest relevant to this article was reported.

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