ComSens: Exploiting Pilot Diversity for Pervasive Integration of Communication and Sensing in MIMO-TDD-Frameworks

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Abstract—In this paper, we propose a fully-integrated radar and communication system named ComSens. We utilize two different pilot sequences (one for uplink and one for downlink) with the condition that they must be uncorrelated to each other. Within such a framework, the signal received from the desired objects have uncorrelated pilots. Thus, the base-station is able to distinguish data signal from user and back-scattered signal from object. We assume a time division duplex (TDD) framework. The pilot sequences are designed for MIMO channels. We evaluate channel MSE as a figure of merit for communication system. We also show that the designed pilots are uncorrelated for a range of time lags. Moreover, designed uplink pilot has negligible autocorrelation for a range of time lags leading to an impulse-like autocorrelation for radar sensing.

I. INTRODUCTION

Due to the increasing demand in wireless communication services, achieving higher data rates and more reliable transmissions have become a fundamental goal [1]–[4]. Given the ever-increasing demand for both high-speed data services and accurate remote sensing capabilities, modern wireless systems will increasingly require more efficient strategies for use of the available frequency spectrum [5]–[9]. In particular, the coexistence of communication and radar systems has recently attracted a significant research interest [10]–[14]. For example, different schemes for coexisting communication and radar systems has been proposed; see e.g. [15]–[21] and the references therein. While integrating radar and communication operation in one system has been considered in the literature, such efforts are typically centered around incorporating communication as a secondary operation alongside a primary radar operation. The research in [15] exploits the main lobe of the beam for radar purposes, and the sidelobes (which are of no significance to the radar pulse compression) for data transmission purposes. The research works [16], [17] approach the same problem by devising similar methods to allow comparably low data rates into an already existing radar system.

In this work, we propose an integrated system of communication and sensing (which we call ComSens) that relies on the communication pilot overhead— thus paving the way for pilot design and exploiting pilot diversity to achieve a satisfactory performance in both communication and radar tasks. Note that:

Pilot (or training) based channel estimation is very common [22], [23]. Accurate knowledge of channel state information (CSI) is important for wireless communication systems [24], [25]. Most modern wireless systems acquire the CSI with the assistance of pilot signals (a.k.a. training sequences) that are inserted within the transmit signals periodically [26], [27]. In such scenarios, the transmitter sends training sequences – known to the receiver – enabling the receiver to perform channel estimation on the basis of the received training symbols.

Communication devices are more ubiquitous than radar systems [28], [29]. We note that incorporating the communication signals in the primary radar probing waveforms may not be an efficient fusion of communication and radar systems. In fact, the communication task must play a primary role not only because of the pervasive usage of communication devices, but also the fact that the communication systems typically require a larger capacity of conveying information than radar systems. Additionally, considering the communication operation as the primary lays the ground for making the radar systems ubiquitous (for example having radar capability on cellphones).

A. Contributions

The key departure from prior works on integrated radar-communication systems is that we (a) incorporate a radar system in an already existing communication system, particularly by (b) using the novel idea of designing different training signals such that sensing and communication can co-exist. (c) incorporating such a system in TTD framework in a MIMO system and designing the pilot sequences.

B. Notation

We use bold lowercase letters for vectors/sequences and bold uppercase letters for matrices. $(\cdot)^T$, $(\cdot)^*$ and $(\cdot)^H$ denote the vector/matrix transpose, the complex conjugate, and the Hermian transpose, respectively. $\|x\|_n$ or the $\ell_n$-norm of the vector $x$ is defined as $(\sum_k |x(k)|^n)^{\frac{1}{n}}$ where $\{x(k)\}$ are the entries of $x$. The Frobenius norm of a matrix $X$ (denoted by $\|X\|_F$) with entries $\{X(k,l)\}$ is equal to $\left(\sum_{k,l} |X(k,l)|^2\right)^{\frac{1}{2}}$. Finally, $\mathbb{R}$ and $\mathbb{C}$ represent the set of real and complex numbers, respectively.

II. FUSION OF COMMUNICATION AND RADAR OPERATIONS

In this section, we describe the problem settings and explain our proposed scheme in more details.

A. The Proposed Integration Scheme

The ComSens framework operates by exploiting the two-way communication between the base-station and end-users. Before
discussing the issue of designing the pilot sequences, we will first address how the base-station and end-user exchange messages and sense the environment at the same time and over the same frequency. We consider a model with $M$-user multiple-access-broadcast channel (MABC) as depicted in Fig. 1. Note that such a system model, in which several end-users wish to exchange messages with a central node, or base-station, is a model that captures the behavior of current and future cellular networks. We assume half-duplex end-user nodes that may transmit or receive at a given time, on a given frequency, but not both, leading to the need to describe protocols, or which nodes transmit when. We consider time division duplex (TDD) two-way system as duplex scheme and for multiple access both FDMA and TDMA can be used. For each user, time is devided into forward channel and reverse channel (as in TDD scheme). During the former time, Base-station transmits the packet and during the latter user transmits the packet. The base-station (BS) sends a packet $s$ to the end-user $U$. The end-user can extract its own message after channel estimation using the downlink pilot – labeled $X$. Contrary to most of the current works on integrated radar and communication systems, the data transmission proposed here is similar to the conventional half-duplex transmission. This guarantees a high-data rate to efficiently accommodate downlink traffic. At the same time, the packet $s$ is reflected from objects in the neighborhood. The base-station observes the echo of its own transmit signal, and detects the presence of objects and their distance and relative velocity. With ComSens, the base-station jointly estimates the radar return and extract the uplink message from end-user $U$ after channel estimation using uplink pilot – labeled $Y$. The principal constraint in the performance of radar sensing is the simultaneous reception of the radar echo and uplink packet. Therefore, the main goal of this work is to design the uplink and downlink pilot sequences to be uncorrelated to each other for $k$ time lags. Therefore, if two received signals (from user and object) have arrival time difference ($t_2 - t_1$) of at most $k$, they are distinguishable from each other. On the other hand, if $t_2 - t_1 > k$, the radar signal cannot be recognized and it will be considered as weak interference for communication system. Consequently, our proposed integrated radar system will perform when $t_2 - t_1 \leq k$. Substituting $t_1$ and $t_2$ we have:

$$t_2^{U} - t_1^{Ob} \leq \frac{t_{pr} + k}{2}$$  \hspace{1cm} (1)
where

\[ t_{Ob,U}^{Ob,U} = \frac{d_{Ob,U}^{Ob,U}}{\nu T_s} \]  

(2)

and \( d^U \) and \( d^{Ob} \) are respectively the distance of user and the object from the base-station. \( T_s \) is symbol time in our system and \( \nu \) is the speed of electromagnetic wave in the space. Using Eq. (1, 2) we have

\[ d^{Ob} \leq d^U + \frac{\nu T (t_{pr} + k)}{2} \]  

(3)

2. Practical Scenario: Communication cell towers have a range between 35km to 72km. We consider our user to be (as a medium distance) at the distance \( d^U = 25 \)km of the base-station. Assume that the symbol time \( T_s = 25 \mu s \) and processing time \( t_{pr} = T_s \) where speed of electromagnetic wave \( \nu = 3 \times 10^8 \) m/s, assuming we design our pilots to be uncorrelated for \( k = 4 \). Such a system would have a radar range of 43.75km \((d^{Ob} \leq 43.75\)km).  

C. Channel Model  

We consider the same settings as in [30] and [31]. More precisely, we consider a narrowband block fading point-to-point MIMO channel with \( n_T \) transmit and \( n_R \) receive antennas. Assume that \( P \in \mathbb{C}^{B \times n_T} \) be a matrix whose rows are the pilot sequence at each transmitter antenna. At the training phase, channel can be described as

\[ Y = H P^T + N \]  

(4)

where \( Y \in \mathbb{C}^{n_R \times B} \) is the received sequence, \( H \in \mathbb{C}^{n_R \times n_T} \) is the MIMO channel when \( H(i,j) \) denotes the MIMO channel gain between \( i^{th} \) transmitter and \( j^{th} \) receiver and \( N \in \mathbb{C}^{n_R \times B} \) is the noise matrix. We assume Gaussian noise i.e. \( \text{vec}(N) \sim \mathcal{CN}(0,M) \) where \( M \in \mathbb{C}^{Bn_R \times Bn_R} \) denotes noise covariance matrix. We also assume \( \text{vec}(H) \sim \mathcal{CN}(0,R) \) where \( R \in \mathbb{C}^{n_T n_R \times n_T n_R} \) denotes channel covariance matrix.  

III. PILOT SEQUENCE DESIGN  

In this section, we design the pilot coefficients gathered in the matrix \( P \), in order to produce an accurate estimate of the channel \( H \)–while simultaneously satisfying a set of radar performance criteria. For an accurate channel estimation, one may resort to a minimization of the channel mean-squared error (MSE), expressed as [30], [31]

\[ \text{MSE} = tr \left[ (R^{-1} + (P \otimes I_{n_R})H M^{-1} (P \otimes I_{n_R}))^{-1} \right]. \]  

(5)

Let \( \tilde{P} \triangleq P \otimes I_{n_R} \in \mathbb{C}^{Bn_R \times n_T n_R} \), and note that using the matrix inversion lemma we have

\[ \theta = (R^{-1} + \tilde{P}^H M^{-1} \tilde{P})^{-1} \]  

(6)

\[ = R - R \tilde{P}^H (M + \tilde{PR} \tilde{P})^{-1} \tilde{PR}, \]  

(7)

where \( \text{MSE} = tr[\theta] \). Now let

\[ Q \triangleq \begin{pmatrix} R & R \tilde{P}^H \cr \tilde{P} R & M + \tilde{PR} \tilde{P}^H \end{pmatrix} \in \mathbb{C}^{(B+n_T) n_R \times (B+n_T) n_R}, \]  

(8)

\[ U \triangleq \begin{pmatrix} I_{n_T n_R} & 0_{n_T n_R \times B n_R} \end{pmatrix}^T \in \mathbb{C}^{(B+n_T) n_R \times n_T n_R}, \]  

(9)

and observe that [32],

\[ U H Q^{-1} U = \theta^{-1}. \]  

(10)

In light of the above, the authors in [31] propose a cyclic optimization approach to minimizing the MSE in [3]. Consider an auxiliary variable \( V \in \mathbb{C}^{n_T n_R \times B n_R} \) such that

\[ F(V, P) := tr \left[ V^H Q V \right]. \]  

(11)

The minimizer \( V \) of (11) can be obtained as [33] p. 354

\[ V_* = \begin{pmatrix} I_{n_T n_R} \cr -\left( M + \tilde{PR} \tilde{P}^H \right)^{-1} \tilde{PR} \end{pmatrix} \]  

(12)

By substituting (12) in (11), one can verify that

\[ F(V_*, P) = tr[\theta] = \text{MSE}. \]  

(13)

Therefore, in order to optimize the MSE we can use a cyclic optimization of (11) with respect to \( V \) and \( P \). In particular, it was shown in [31] that the optimization of (11) with respect to \( P \) can be cast at each (cyclic) iteration as:

\[ \min_{P_{n+1} \in \Omega} \left\| P^{(h+1)} - P^{(h)} \right\|_2^2, \]  

(14)
where $P^{(h)}_{DL}$ is constructed from $D^{(h)}$ at each iteration (see [31] for details). For the two-part pilot employed in ComSens, define:

$$P_{DL} := X$$  \hspace{1cm} (15)$$
$$P_{UL} := Y$$  \hspace{1cm} (16)$$

where $X \in C^{B \times n_T}$ is the downlink pilot contributing at both radar and communication modes and $Y \in C^{B \times n_R}$ is the uplink pilot which contributes only in communication mode. Thus, (14) becomes

$$\min_{X,Y \in \Omega} ||X - X_\Sigma||^2_2 + ||Y - Y_\Sigma||^2_2,$$  \hspace{1cm} (17)$$

where the constraint set $\Omega$ is yet to be defined. As indicated earlier, $X$ and $Y$ should have low correlation with each other and $X$ should have an impulse-like auto-correlation. We describe the pilot constraints in three categories:

1) Both pilot sequences should have fixed transmit powers given by

$$||x_q||^2_2 \leq p, \quad 1 \leq q \leq n_T$$  \hspace{1cm} (18)$$
$$||y_l||^2_2 \leq p, \quad 1 \leq l \leq n_R$$  \hspace{1cm} (19)$$

where $x_q$ and $y_l$ are column vectors of $X$ and $Y$ and $p$ is the power upper-bound.

2) To resolve ambiguity between radar reflections and communication signals, pilot sequences (and their time lags up to $k$ lags) should be uncorrelated to each other; i.e. their cross correlation must be zero or very small at least for a number of time lags (forming a zero correlation zone [34], [35]):

$$X^T J_i Y \simeq 0^{n_T \times n_R}, \quad 0 \leq i \leq k,$$  \hspace{1cm} (20)$$

where $J_k \in C^{B \times B}$ is a shift matrix that shifts a matrix by $k$ time lags. Clearly $J_0$ is identity matrix.

3) Radar pilot sequence should be impulse-like; i.e. its auto-correlation must be zero or very small at least for a number of time lags:

$$X^T J_i X \simeq 0^{n_T \times n_T}, \quad 0 \leq i \leq k.$$  \hspace{1cm} (21)$$

Consequently, one can solve the following optimization problem to design our pilot sequences:

$$\min_{X,Y \in \Omega} ||X - X_\Sigma||^2_2 + ||Y - Y_\Sigma||^2_2$$  \hspace{1cm} (22)$$

s.t. $$||x_q||^2_2 \leq p, \quad 1 \leq q \leq n_T;$$
$$||y_l||^2_2 \leq p, \quad 1 \leq l \leq n_R;$$
$$x^T_q J_i y_l \leq \epsilon, \quad 1 \leq i \leq k;$$
$$x^T_q J_i x_q \leq \epsilon, \quad 1 \leq i \leq k;$$

where $\epsilon$ is a very small number (in this paper we use $10^{-5}$) to achieve equality constraints. In order to tackle (22) we can use cyclic optimization [36]. We define:

$$G(X, Y) := ||X - X_\Sigma||^2_2 + ||Y - Y_\Sigma||^2_2$$  \hspace{1cm} (23)$$

Then one can perform a cyclic procedure to minimize $G(X, Y)$ as follows: We start with an initial value $Y = Y^0$. Then we compute $X^i$ by tackling minimization problem in Eq. (24) and $Y^i$ by tackling minimization problem in Eq. (25). More precisely:

$$X^i = \arg\min_{X} G(X, Y^{i-1})$$  \hspace{1cm} (24)$$

s.t. $$||x_q||^2_2 \leq p, \quad 1 \leq q \leq n_T;$$
$$x^T_q J_i y_l \leq \epsilon, \quad 1 \leq i \leq k;$$

$$Y^i = \arg\min_{Y} G(X^i, Y)$$  \hspace{1cm} (25)$$

s.t. $$||y_l||^2_2 \leq p, \quad 1 \leq l \leq n_R;$$
$$x^T_q J_i y_l \leq \epsilon, \quad 1 \leq l \leq n_R;$$

where $1 \leq q \leq n_T$ and $1 \leq l \leq n_R$. Note that since now the second constraint in both (24) and (25) are affine constraints, we replaced them with equality. Eq. (25) is now a convex optimization problem and solvable using convex optimization. However, the third constraint in (24) is not convex. We can rewrite Eq. (24) in form:

$$X^i = \arg\min_{X} G(X, Y^{i-1})$$  \hspace{1cm} (26)$$

s.t. $$||x_q||^2_2 \leq p, \quad 1 \leq q \leq n_T;$$
$$x^T_q J_i y_l \leq \epsilon, \quad 1 \leq i \leq k;$$

the third constraint in (26) is now in quadratic convex form since $(J_i^T m + J_i + 2I_m) x_q \leq 2p$ is a symmetric positive semi-definite matrix. Note that the optimization problem is still the same (since $x^T_q J_i m x_q \leq \epsilon$ and $J_i^T m = J_m$ then $x^T_q J_i^T m x_q \leq \epsilon$ also holds and from the first constraint $x^T_q I_m x_q \leq p$). Now we can follow the steps of the algorithm below to design the pilot sequence.

**Algorithm 1** Cyclic Algorithm For Constrained Pilot Sequence Design

**Step 0:** Initialize $P_{DL}$ and $P_{UL}$ using a random matrix in $\Omega$.

**Step 1:** Compute the minimizer $V$ of (11) using (12).

**Step 2:** Update the current design of $X$ and $Y$ by solving cyclic optimization problem (26) and (25) $\mu$ times (or until convergence).

**Step 3:** Repeat steps 1 and 2 until a stop criterion is satisfied, e.g. $|MSE(m+1) - MSE(m)| < \eta$ for some given $\eta > 0$, where $m$ denotes the outer loop iteration.

**IV. SIMULATION RESULTS**

In this section, we evaluate the performance of the communication method with respect to the channel MSE metric and for the radar mode we illustrate the cross and auto-correlation between two pilot sequences.
Cross-correlation

Autocorrelation

10^{-8}
10^{-6}
10^{-4}
10^{-2}
10^{0}
10^{2}
10^{4}
10^{6}

Time lags
-8 -6 -4 -2 0 2 4 6 8

-8 -6 -4 -2 0 2 4 6 8

-8 -6 -4 -2 0 2 4 6 8

-8 -6 -4 -2 0 2 4 6 8

A. Simulation Settings

We used the exponential model to generate covariance matrices. This model is particularly appropriate whenever a control over correlation is required. For a covariance matrix $C$, we let $[C]_{k,l} = \rho^{|l-k|}$ for $k \leq l$, and $[C]_{k,l} = [C]_{l,k}^*$ for $l < k$, with $|\rho| < 1$ denoting the correlation coefficient. Furthermore, we assume that both the channel matrix $R$ and the noise matrix $M$ follow the Kronecker model, i.e., for covariance matrix $R$ defined as $R = (R_T^T \otimes R_R)$ we suppose $R_{rt} = 0.9 e^{-j\theta_{rt}}$ and $R_{rr} = 0.65 e^{-j\theta_{rr}}$ to construct $R_T$ and $R_R$ (at the transmit side and the receive side, respectively) using exponential model. Also, for covariance matrix of noise $M$ defined as $M = (M_T^T \otimes M_R)$ where $M_T = R_T$, we let $R_{mt} = 0.8 e^{-j\theta_{mt}}$ to construct $M_T$ at the transmitter side. The phase arguments $(\theta_{rt}, \theta_{rr}, \theta_{mt})$ appearing above were chosen randomly as $(0.8349\pi, 0.4289\pi, 0.5361\pi)$.

We also normalize $R$ and $M$ such that $tr\{R\} = 1$ and $tr\{M\} = 1$, and define the pilot sequence-to-noise ratio (SNR) as $\text{SNR} \triangleq \gamma$, and $\gamma = \|P\|_F^2$ denotes the total training energy. We consider $\gamma = B\eta_T$, and set the stop threshold of the iteration loop in Algorithm 1 as $\eta = 10^{-5}$.

B. Channel MSE Metric

We show the performance of the suggested approach for communication purposes using MSE as the figure of merit. We consider a $4 \times 4$ MIMO channel with $B = 8$. The results are shown in Fig. 3. For each power, we have used the proposed method 50 times, using different initializations, and have reported the average of the obtained MSE values. It can be observed from Fig. 3 that the proposed method performs better in each iteration until it converges to the optimal MSE.

C. Radar Pilot Sequence Specifications

To ensure radar part of the system performs properly, our pilot sequence for radar part should have very small auto-correlation for at least a range of time lags so that this pilot sequence have an impulse like shape. In Fig. 4 this auto-correlation is shown. For each lag, auto-correlation level is shown in dB. Fig. 4 shows that auto-correlation levels for time lags 2-8 are almost zero compared to autocorrelation for the first lag. Which gives us the impulse-like correlation for the pilot sequence contributing in sensing mode.

D. Correlation of pilots

The key factor for our system to distinguish between radar signal and communication signal is that two pilot sequences should be uncorrelated with each other for a number of time lags. Fig. 5 shows cross-correlation between two pilot signals for our simulations in dB. As it is obvious from simulations results, correlation between these two signals are really small so they can be assumed uncorrelated.

Fig. 3. MSE comparison of different schemes for a $4 \times 4$ MIMO channel where $B = 8$. In order to demonstrate the improvement of MSE values through the iterations, values of MSE at each iterations is shown.

Fig. 4. Autocorrelation of radar pilot signal $(x_t^T J_i x_l)$ where $1 \leq l \leq 8$, and each $l$ denotes a transmit antenna so we have totally 4 autocorrelation plots in this figure, and also $-8 \leq i \leq 8$ denote time lags

Fig. 5. Cross-correlation of radar and communication pilot signals $(x_t^T J_i y_l)$ where $1 \leq q \leq 8$, and each $q$ denotes different transmit antenna, $1 \leq l \leq 8$, and each $l$ denotes different receive antenna so we have totally 16 cross-correlation plots in this figure, and also $-8 \leq l \leq 8$ denote time lags.
V. CONCLUSION

The idea of designing pilot sequences for a communication system to be able to operate also in an integrated radar mode has been proposed and the protocol and limitations has been explained. We evaluate the channel MSE for communication and radar sensing). The proposed system can perform as a radar communication system to be able to operate also in an integrated radar mode (suitable for impulse-like correlation (for radar sensing). The proposed system can perform as a radar and communication system. Considering the communication operation as the primary also lays the ground for making the radar systems ubiquitous.

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