Factors associated with functional loss among community-dwelling Mexican older adults

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Introduction: Functional status decline is related to many negative outcomes.

Objective: To explore the relationship of sociodemographic, medical, and psychological factors with the incidence of functional status decline in Mexican older adults.

Materials and methods: Data from the 2012 and 2015 waves of the Mexican Health and Aging Study (MHAS) survey were analyzed. Participants with previous functional status decline at baseline were excluded. We assessed functional status decline individually with activities of daily living (ADL) and instrumental ADL (IADLs) in an individual way.

Results: Age was associated with functional limitations in ADL. Being male had an association with limitations for IADL. A poor financial situation and lower education related to higher limitations for ADL. Furthermore, pain, comorbidities, and depression were found to be independently associated with limitations in ADL. IADL limitation was associated with age, poor education, comorbidities, and depression, as well as cognitive impairment.

Conclusions: We found that factors such as age, financial status, educational level, pain, and the number of comorbidities were associated with the incidence of functional status decline. Pain had a greater association in the 3-year functional ADL decline incidence when compared with cognitive impairment. Studying functional decline by domains allowed us to find more detailed information to identify factors susceptible to intervention with the aim to reduce the incidence of functional status decline and dependence.

Keywords: Elderly; public health; pain; activities of daily living.
The number of people over 60 years worldwide is expected to double by 2050. In Latin America, this growth is projected to be up to 80% and it is expected that by this year, 21% of the population will be 60 years or older and rise to almost 36% by 2100; these populations are also aging at a faster rate than North American and European populations (1-4). However, this growth comes with challenges, such as the increase of geriatric syndromes, chronic diseases, and sensory deficits, which have repercussions in terms of independence and functionality (3).

Functionality can be defined as the ability of individuals to meet their needs autonomously, independently, and satisfactorily (5). Activities of daily living (ADL), divided into basic ADL and instrumental ADL (IADL), have been broadly used as a measure of functional status (6,7). ADL include activities for basic functioning, self-care mobility, and survival, whereas IADL include activities that are necessary to live in a community such as managing money, cooking, or shopping for groceries (8). Facing issues when performing such activities is closely related to frailty and disability which leads to frequent hospitalizations, nursing home admission, depression, morbidity, and death (9). The decline in just one of the ADL has detrimental effects on the quality of life and generates a large degree of dependence, which is currently a public health issue due to its negative consequences and constantly growing prevalence (10,11).

The functional status decline among adults aged 45 and older is higher in low-income countries compared to high-income countries (10). Moreover, people with limitations in ADL and disability experience worse labor market outcomes, have a higher likelihood of being poor and of having a lower educational level than those without functional disability. A decline in functional status increases health care costs due to the need for a permanent caregiver, specialized health care, and institutionalization (12,13). Additionally, subjects with limitations in ADL face a number of obstacles including environmental and institutional barriers, which prevent their full and equal participation in all aspects of life. Older people with functional limitations are among the most adversely affected, as they have to face even greater barriers in society (11).

The importance of evaluating the ability to perform activities of daily living in the older age group has been widely emphasized in geriatric medicine and, consequently, several instruments to assess functionality are available (14). Functional status depends on multiple non-modifiable factors such as sex and age. Nevertheless, many factors contributing to functional status decline are preventable or modifiable, which should be taken into consideration in the design of public health policies (15).

According to the World Health Organization, 80% of people with disabilities live in low-income nations like Latin American countries. The SABE survey showed there is a higher prevalence of comorbidities and limitations in ADL in the region. Furthermore, associations between the functional status decline measured with limitations in ADL and IADL and the number of comorbidities and sociodemographic factors (age, being female, fewer years of schooling) have been reported (4,16). Other factors related to functional status decline include socioeconomic status, which was found to be higher among those with a lower socioeconomic condition in a Chilean cohort (17). The higher incidence and prevalence of obesity is correlated with cardiovascular disease and does affect functional status in the region (4).

Given the importance of understanding functional decline, our aim in the present study was to determine factors that may be associated with the
incidence of functional status decline in a longitudinal three-year analysis of community-dwelling Mexican older adults.

Materials and methods

Sample

We conducted a secondary analysis of the Mexican Health and Aging Study (MHAS). The MHAS is a longitudinal study in five waves designed using probabilistic sampling to obtain a national representative sample of the Mexican population aged 50 years or older (18). The study includes data regarding socio-demographic aspects, health-related issues, accessibility to health services, cognitive performance, functional status, and financial resources.

The two waves conducted in 2012 and 2015 were analyzed to assess the three-year functional decline incidence. The total sample used for the current study consisted of 12,880 subjects. For each activity, subjects with preexisting functional impairment in 2012 were excluded. The criteria for the selection of the subjects are shown in figure 1.
have a good financial situation and subjects answering “fair” or “poor” were categorized as having a poor one. Marital status was also dichotomized as not in a relationship or in a relationship (single, divorced, separated, or widowed were considered as not in a relationship whereas married or any other union was considered to be in a relationship).

**Other independent variables.** The cognitive function was evaluated using the Cross-Cultural Cognitive Examination test (CCCE). The CCCE has a maximum score of 80 points and includes the evaluation of several cognitive domains such as primary verbal memory, selective attention, secondary verbal memory, executive function and motor control, and visual memory (23). We used a translated version of this test (24). Cut-off points for cognitive impairment were set using the 10th percentile by sex and educational level. We dichotomized the variable using the aforementioned cut-off (25).

Comorbidities were considered as a single continuous variable. The variable was created based on the sum of the six most frequent comorbidities (arthrosis, respiratory issues, previous heart attack or infarction, diabetes, hypertension, and stroke). The data on comorbidities were obtained through self-report.

As for depression, the MHAS screening questionnaire was used to assess depressive symptoms including nine questions with yes/no answers: Within the past week, was the respondent (1) Depressed?, (2) Experiencing difficulty performing?, (3) Experiencing restless sleep?, (4) Happy?, (5) Lonely?, (6) Enjoying life?, (7) Sad?, (8) Feeling tired?, (9) Energetic? The cut-off value for depressive symptoms was defined as a score of ≥5 points (26). Finally, we also dichotomized the variable categorizing those with ≥5 points as having depressive symptoms.

**Statistical analysis**

Results from the descriptive analyses were expressed as frequencies and percentages for categorical variables while standard deviation (SD) and means were used for continuous variables. Then, we performed a bivariate comparative analysis of the differences in the incidence of functional impairment in ADL. The chi-squared and t tests were used where appropriate. Finally, we did a multivariate analysis using problems in ADL and IADL as dependent variables and adjusting for confounding factors such as age, sex, financial situation, marital status, education, comorbidities, depression, cognitive impairment, number of medical visits, and presence of pain. Next, we obtained odds ratios (OR) with 95% confidence intervals (CI) where p values lower than 0.05 (p<0.05) were considered statistically significant. The data were analyzed using Stata 14™ for Mac OS.

**Ethical issues**

This study is a secondary analysis of the MHAS study approved by the Pontificia Universidad Javeriana, Bogotá, Colombia. MHAS approval was sought and obtained from the Institutional Review Board of the University of Texas Medical Branch, and the Mexican Instituto Nacional de Estadística y Geografía and Instituto Nacional de Salud Pública. All study subjects signed an informed consent. The study followed the ethical guidelines of the Declaration of Helsinki.
Results

The cohort baseline details are provided in table 1. The prevalence of functional limitation by activity is detailed in figure 2. The mean age of the sample was 63.88 ± 9.21 years and the majority of subjects were female (56.01%). Figure 2 shows the transition of ADL and IADL limitations between 2012 and 2015: getting in/out of bed (ADL) had the highest incidence (3%) while taking medications was the IADL with the highest incidence (1.7%).

In the bivariate analysis, statistically significant differences were found in the incidence of functional status decline evaluated by ADL and IADL (table 2). Finally, we performed a multivariate analysis (table 3) to evaluate the association between the factors explored in 2012 and the development of limitations for ADL and IADL three years later. Several factors were found to be independently associated with functional limitations for IADL. Age was associated with the inability to prepare meals (OR=1.08; 95% CI: 1.07-1.10; p=0.023) and managing money (OR=1.04; 95% CI: 1.01-1.01; p=0.016). Higher education was inversely associated with the inability to prepare meals (OR=0.80; 95% CI: 0.68-0.94; p=0.008), taking medications (OR=0.83; 95% CI: 0.72-0.97; p=0.021), and managing money (OR=0.93; 95% CI: 0.87-0.99; p=0.036). Comorbidities (OR=1.62; 95% CI: 1.21-2.17; p<0.001) and depression (OR=2.51; 95% CI: 1.40-4.49; p=0.002) were associated with functional loss in managing money. Finally, cognitive impairment was associated with disability in preparing meals (OR=3.94; 95% CI: 1.01-15.30; p=0.047).

Table 1. Sample description

| Sociodemographics | Age (mean, SD) | Gender | Financial situation | Baseline comorbidities | Pain | Medical visits last year | Baseline IADL limitation | Baseline ADL limitation |
|-------------------|----------------|--------|---------------------|------------------------|------|-------------------------|--------------------------|------------------------|
| Sociodemographics |                |        |                     |                        |      |                         |                          |                        |
| Age (mean, SD)    | 63.88 (9.21)   |        |                     |                        |      |                         |                          |                        |
| Gender            |                |        |                     |                        |      |                         |                          |                        |
| Male              | 56.66 (43.99)  |        |                     |                        |      |                         |                          |                        |
| Female            | 72.14 (56.01)  |        |                     |                        |      |                         |                          |                        |
| Financial situation|                |        |                     |                        |      |                         |                          |                        |
| Good              | 28.41 (22.08)  |        |                     |                        |      |                         |                          |                        |
| Poor              | 100.28 (77.92) |        |                     |                        |      |                         |                          |                        |
| Marital status    |                |        |                     |                        |      |                         |                          |                        |
| Single            | 9.65 (18.51)   |        |                     |                        |      |                         |                          |                        |
| In a relationship | 42.48 (81.49)  |        |                     |                        |      |                         |                          |                        |
| Education (years) | 7.32 (5.73)    |        |                     |                        |      |                         |                          |                        |
| Baseline comorbidities | 0.86 (0.89) |        |                     |                        |      |                         |                          |                        |
| Pain              | 48.59 (37.75)  |        |                     |                        |      |                         |                          |                        |
| Medical visits last year |        |        |                     |                        |      |                         |                          |                        |
| None              | 3.501 (27.18)  |        |                     |                        |      |                         |                          |                        |
| One or more       | 93.79 (72.82)  |        |                     |                        |      |                         |                          |                        |
| Baseline IADL limitation |        |        |                     |                        |      |                         |                          |                        |
| Preparing meals   | 3.73 (3.05)    |        |                     |                        |      |                         |                          |                        |
| Managing money    | 2.42 (1.89)    |        |                     |                        |      |                         |                          |                        |
| Taking medications| 2.19 (1.72)    |        |                     |                        |      |                         |                          |                        |
| Shopping for groceries | 8.71 (6.93) |        |                     |                        |      |                         |                          |                        |
| Baseline ADL limitation |        |        |                     |                        |      |                         |                          |                        |
| Dressing          | 11.10 (8.62)   |        |                     |                        |      |                         |                          |                        |
| Bathing           | 3.07 (4.06)    |        |                     |                        |      |                         |                          |                        |
| Eating            | 2.09 (2.77)    |        |                     |                        |      |                         |                          |                        |
| Transferring in and out of bed | 8.06 (10.65) |        |                     |                        |      |                         |                          |                        |
| Toileting         | 5.47 (7.24)    |        |                     |                        |      |                         |                          |                        |

IADL: Instrumental activities of daily living (mean, SD) or (n, %); ADL: Activities of daily living
Figure 2. A. ADL and B. IADL disability transition between 2012 and 2015

Table 2. Bivariate analysis for instrumental activities of daily living limitations incidence

| IADL                        | Preparing meals | Managing money | Taking medications | Shopping for groceries |
|-----------------------------|-----------------|----------------|--------------------|----------------------|
| **Sociodemographics**       |                 |                |                    |                      |
| Age                         | 69.81 (9.64)    | 71.38 (10.26)  | 71.59 (9.83)       | 68.33 (9.58)         |
| Gender                      |                 |                |                    |                      |
| Male                        | 106 (2.39)      | 95 (1.79)      | 130 (2.51)         | 202 (4.09)           |
| Female                      | 238 (3.65)      | 194 (2.90)     | 218 (3.28)         | 500 (8.32)           |
| **Financial situation**     |                 |                |                    |                      |
| Good                        | 42 (1.70)       | 39 (1.46)      | 53 (2.02)          | 91 (3.62)            |
| Poor                        | 302 (3.56)      | 249 (2.67)     | 295 (3.21)         | 609 (7.23)           |
| **Marital status**          |                 |                |                    |                      |
| Single                      | 287 (3.97)      | 241 (3.02)     | 306 (3.89)         | 581 (8.22)           |
| In a relationship           | 57 (1.52)       | 48 (1.10)      | 42 (1.06)          | 121 (3.12)           |
| **Education**               |                 |                |                    |                      |
| Education                   | 5.47 (3.99)     | 5.26 (5.23)    | 6.98 (13.22)       | 5.68 (4.51), 4.97-6.40, <0.001 |
| **Pain**                    |                 |                |                    |                      |
| None                        | 193 (4.79)      | 147 (3.30)     | 181 (4.07)         | 395 (10.23)          |
| One or more                 | 121 (0.88)      | 117 (0.97)     | 128 (1.01)         | 124 (1.01)           |
| **Comorbidities**           |                 |                |                    |                      |
| None                        | 1.21 (0.88)     | 1.17 (0.97)    | 1.28 (1.01)        | 1.24 (1.01)          |
| One or more                 | 171 (4.66)      | 153 (3.81)     | 172 (4.32)         | 335 (9.63)           |
| **Depression**              |                 |                |                    |                      |
| None                        | 23 (6.53)       | 14 (3.54)      | 25 (6.35)          | 40 (11.56)           |
| One or more                 | 63 (1.91)       | 58 (1.84)      | 58 (1.84)          | 139 (4.47)           |
| **Cognitive impairment**    |                 |                |                    |                      |
| None                        | 76 (2.51)       | 63 (1.91)      | 58 (1.84)          | 139 (4.47)           |
| One or more                 | 268 (3.38)      | 226 (2.60)     | 290 (3.34)         | 563 (7.18)           |

IADL: Instrumental activities of daily living for which help is required or subjects were unable to perform.
ADL: Basic activities of daily living for which help is required or subjects were unable to perform

Medical visits last year

Cognitive impairment

Marital status

Variable

Preparation meals

Managing money

Taking medications

Shopping for groceries

Age

Gender (male)

Good financial situation

Comorbidities

Depression

Cognitive impairment

Medical visits last year

Variable

Preparation meals

Managing money

Taking medications

Shopping for groceries

Age

Gender (male)

Good financial situation

Comorbidities

Depression

Cognitive impairment

Medical visits last year

As for ADL, pain was associated with problems for dressing (OR=1.05; 95% CI: 1.02-1.08; p<0.01), getting in and out of bed (OR=1.48; 95% CI: 1.01-2.16; p=0.041), and toileting (OR=1.30; 95% CI: 1.06-1.58; p=0.011). Age was associated with limitations in dressing (OR=1.05; 95% CI: 1.03-1.08; p<0.001), bathing (OR=1.07; 95% CI: 1.01-1.16; p=0.037), eating (OR=1.05; 95% CI: 1.01-1.10; p=0.014), and toileting (OR=1.04; 95% CI: 1.03-1.05; p<0.001). A good financial situation was related to a lower chance of toileting limitations.
(OR=0.64; 95% CI: 0.48-0.87; p=0.005). In addition, comorbidities were associated with a functional decline in dressing (OR=1.30; 95% CI: 1.07-1.57; p=0.008), and in toileting (OR=1.33; 95% CI: 1.20-1.47; p<0.001). Likewise, depression was associated with difficulties getting in and out of bed (OR=1.61; 95% CI: 1.11-2.34; p=0.012) and toileting (OR=1.23; 95% CI: 1.01-1.50; p=0.043).

**Discussion**

The significant factors associated with ADL disability were pain, age, poor finances, comorbidities, depression, and frequent medical visits whereas age, poor education, depression, comorbidities, and cognitive impairment were identified as risk factors for IADL disability.

We report that pain significantly affected the ADL, specifically dressing, getting in/out of bed, and using the toilet, in other words, ADL that require more range of movement. Additionally, cognitive decline was only associated with difficulties in preparing meals, which is a complex activity. However, cognitive impairment did not affect ADL the same way pain did suggesting that pain may have a very important and faster impact on functional status decline, which manifests in the individual’s basic daily functioning even before cognitive impairment.

Regarding socio-demographic factors, female gender, age, financial situation, and lower education were found to be risk factors for the development of functional status decline. These have been addressed by previous studies showing similar significant associations with the total functional capacity (6,16,27-32). Nevertheless, we did not find significant associations between sex and functional status decline.

Studies in other populations have identified the presence of pain as an important risk factor for functional decline. The risk of disability increases in subjects with daily pain and with the pain severity level (33). Additionally, pain reduces the range of joint movement, which also limits daily functioning (34-36). Therefore, it is relevant to assess and manage pain in older adults with the aim of promoting physical functioning.

Previous studies in Latin American populations have reported the impact of a poor socioeconomic status and limitations in ADL shown by toileting limitation in our results. Older age, lower education, and the number of comorbidities have been reported to be important factors for functional status decline (16), which we found to impact both ADL and IADL (17). Moreover, female gender has been found to be related to fewer limitations for IADL, which is probably due to the social role of women in household chores (27). However, we did not find statistically significant associations. Previous studies have also mentioned the impact of socioeconomic conditions on functional status decline (17), which is similar to our findings for one of the ADL.

Previous reports using MHAS data from the first three waves (2001 to 2012) showed that 42.8% of participants with no limitations in 2001 remained free from limitations in 2012 (37,38). Furthermore, they also remained free of associations of functional decline with age, female gender, and having depression. These studies analyzed the functional decline in terms of basic and instrumental activities. Nonetheless, our study provides more information regarding each activity individually and a larger number of factors. Additionally, we found associations between some of the factors that were not included in previous studies (such as pain and years of education) and functional decline.
Studying functionality from a domain point of view is relevant since impairment in any of the ADL or IADL generates a certain degree of dependence and it is something that functional scales do not show at a first glance. This more detailed view allowed us to identify conditions and factors that are usually under-assessed, for example, pain (37).

Our study has some limitations. First of all, it is based on self-reports, which allows for potential memory bias. Moreover, the number of comorbidities that were taken into account was limited. The severity of pain was not assessed and the association between pain and functional decline could be derived from an underlying disease not directly addressed. Sociodemographic factors like education are related to health access in communities and, as such, to the treatment and prevention of disability. Moreover, this is a secondary analysis of a study that was not specifically designed to resolve our hypothesis.

On the other hand, our study has several strengths: it is based on one of the largest surveys available in Latin America aimed at the study of older adults. Also, we used data from a country-representative sample of older adults in México. Furthermore, our results are consistent with the existing literature. Finally, this is one of the few studies exploring the association of different factors with functional decline in Latin America.

The functional status decline was associated with factors such as age, educational level, comorbidities, depression, pain, female gender, and having a poor financial situation. When comparing pain with cognitive impairment, the first one had a greater influence in the three-year incidence of functional basic ADL decline.

Some of the significant risk factors of functional impairment can be potentially modified or prevented and, therefore, they can be used as targets for preventing disability in the old age. For instance, socioeconomic disparities have an impact on functional status, so reducing inequalities can prevent disability in the older age (4). Low education, a high number of comorbidities, and common risk factors such as obesity and a high prevalence of cardiovascular diseases in these populations could be other starting points for interventions aiming to prevent functional status decline (4,16). Our findings show that functional decline is not merely a direct consequence of aging but rather arises from other factors that sometimes are forgotten. We recommend further research addressing factors related to disability in local communities to design possible interventions for preventing functional loss in older adults.

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