A study on a minimally broken residual TBM-Klein symmetry with its implications on flavoured leptogenesis and ultra high energy neutrino flux ratios

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Abstract. We present a systematic study on minimally perturbed neutrino mass matrices which at the leading order give rise to Tri-BiMaximal (TBM) mixing due to a residual $\mathbb{Z}_2 \times \mathbb{Z}_2^{\mu\tau}$ Klein symmetry in the neutrino mass term of the low energy effective seesaw Lagrangian. Considering only the breaking of $\mathbb{Z}_2^{\mu\tau}$ with two relevant breaking parameters ($\epsilon_4^\prime, \epsilon_6^\prime$), after a comprehensive numerical analysis, we show that the phenomenologically viable case in this scenario is a special case of TM1 mixing. For this class of models, from the phenomenological perspective, one always needs large breaking (more than 45%) in one of the breaking parameters. However, to be consistent the maximal mixing of $\theta_{23}$, while more than 35% breaking is needed in the other, a range $49.4^\circ−53^\circ$ and $38^\circ−40^\circ$ could be probed allowing breaking up to 25% in the same parameter. Thus though this model cannot distinguish the octant of $\theta_{23}$, non-maximal mixing is preferred from the viewpoint of small breaking. The full $\mathbb{Z}_2 \times \mathbb{Z}_2^{\mu\tau}$ symmetry leads to a degeneracy in the eigenvalues of right handed (RH) neutrino mass matrices ($M_R$). Nevertheless, breaking of $\mathbb{Z}_2^{\mu\tau}$ which is also necessary to generate nonzero $\theta_{13}$ in this model, lifts that degeneracy. Thus unlike the standard $N_1$-leptogenesis scenario where only the decays and other interactions due to the lightest RH neutrino $N_1$ are relevant, here all the RH neutrinos contribute to the process of baryogenesis via leptogenesis due to the small mass splitting controlled by the $\mathbb{Z}_2^{\mu\tau}$ breaking parameters. Including flavour coupling effects (In general, which have been partially included in all the leptogenesis studies in perturbed TBM framework), we solve flavour dependent coupled Boltzmann equations for heavy neutrino as well as the light leptonic number densities to compute the final baryon asymmetry $Y_B$. Thus our analysis and results pertaining to a successful leptogenesis are more accurate than any other studies in existing literature that deal with perturbed TBM scenario. Finally, in the context of recent discovery of the ultra high energy (UHE) neutrino events at IceCube, assuming UHE neutrinos originate from purely astrophysical sources, we obtain prediction on the neutrino flux ratios at neutrino telescopes.

Keywords: baryon asymmetry, leptogenesis, neutrino theory

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1 Introduction

The structure of the leptonic mixing matrix $U_{PMNS}$ has always been the center of attraction in the flavour model building landscape. Until the experimental discovery of a nonvanishing value of the reactor mixing angle $\theta_{13}$ [1, 2], it was the paradigm of Tri-BiMaximal (TBM) Ansatz of $U_{PMNS}$ [3, 4], that dominated almost for a decade [5–19] with the prediction $\theta_{13} = 0$, particularly in the approaches of model building with the discrete non-Abelian symmetries such as $A_4$, $S_4$ etc. [20–23]. Now the neutrino mixing parameters, particularly two mass squared differences and three mixing angles have entered in the precision era. Thus, as far as the TBM mixing is concerned, it has been rendered outdated at least by the nonvanishing value of $\theta_{13}$. However, due to its other two predictions, $\tan \theta_{23} = 1$ and $\sin \theta_{12} = 1/\sqrt{3}$ which are still close to their respective experimental best-fit values, the TBM mixing cannot be just overthrown from the way in search for an viable flavour model of neutrino masses and mixing. Several theories for the modification of TBM mixing in terms of perturbations to the TBM mass matrix have been proposed [24–51]. A brief recall of few of the existing theories which deal with schemes of the modified TBM mixing would be worthwhile in our context. Refs. [24–35] has discussed the consequences of perturbation to the effective $M_{\nu}^{G_{TB M}}$ but with less emphasis on the high energy symmetries. On the other hand, in some of the works in
refs. [36–51], a high energy symmetry group $A_4$ is perturbed softly such that the effective residual symmetries are unable to generate the exact TBM mixing. Alternative moderated versions, such as TM1 [52, 53], TM2 [52, 54, 55] mixing with an additional prediction on the Dirac CP phase $\delta$, have also been considered to comply with the existing neutrino oscillation data. Elaborate descriptions of direct models mainly focusing on TM1 and TM2 mixings have been given in references [56–58] and [59, 60].

Besides all these, there exists a residual symmetry approach [61–69]. In [61–63] it has been shown that a $3 \times 3$ neutrino Majorana mass matrix with nondegenerate eigenvalues always enjoys a $Z_2 \times Z_2$ residual Klein symmetry accompanied with a diagonal charged lepton mass matrix which is further protected by a $Z_n$ symmetry for $n > 2$. Thus, given a neutrino mixing matrix, one can always construct the corresponding residual Klein symmetry generators for each of the $Z_2$ symmetries. For a mixing of TBM kind, these generators are found to be identical to the generators of the $S_4$ group in a three dimensional irreducible representation [63]. The diagonal $Z_3$ type symmetry acts as the residual symmetry in the charged lepton sector while the other two generate a TBM-Klein symmetry with one of them being the $\mu \tau$ interchange symmetry [70–80]. In [81–84], the consequences of a Scaling-Klein symmetry have also been worked out.

It is clear that the vanishing value of $\theta_{13}$ in TBM mixing is caused due to the existence of the $\mu \tau$ interchange symmetry as one of the TBM-Klein symmetry generators. Thus to be consistent with the oscillation data, one has to relax the constraints arising from the exact $\mu \tau$ symmetry. One way is to consider a $\mu \tau$ flavoured nonstandard CP symmetry (CP$^{\mu \tau}$) [85–91] instead of an exact $\mu \tau$ symmetry with the other TBM-Klein generator being completely broken. Introduction of such a symmetry leads to a co-bimaximal ($\theta_{23} = \pi/4, \cos \delta = 0$) mixing [92]. This approach has drawn a lot of attention [93–106]$^1$ after the recent hint from T2K about a maximal Dirac CP violation [108]. Following this approach, alternatives to TBM mixing have been proposed recently in [109] and [110]. In both the papers, the $\mu \tau$ interchange symmetry has been replaced by a $\mu \tau$ CP symmetry keeping the remaining generator of the TBM-Klein symmetry intact. This further makes the CP$^{\mu \tau}$ more predictive with the added predictions of the unbroken TBM generator. In our present work we follow this direction, i.e., we keep a generator of the residual TBM-Klein symmetry unbroken and study modifications of the $\mu \tau$ interchange symmetry. However, instead of replacing the $\mu \tau$ interchange symmetry by CP$^{\mu \tau}$, we have shown how minimal the breaking of the former could be to be consistent with the recent global fit neutrino oscillation data [111] or in other words, we have performed a study on the goodness of the $\mu \tau$ symmetry while keeping the other $Z_2$ of the TBM-Klein symmetry unbroken. Unlike in [109, 110], here a nonmaximal value of $\theta_{23}$ is also allowed. We show that among the two relevant breaking parameters, one should always be large (more than 0.45). However, to be consistent with the other global fit data, whilst a maximality or a near maximality in $\theta_{23}$ requires large breaking (more than 0.35) in the other, a range $49.4^\circ - 53^\circ$ or $38^\circ - 40^\circ$ could be probed if we allow the same breaking parameter up to 0.25 (to be consistent with neutrino oscillation global fit data, at least 16% breaking of the $\mu \tau$ symmetry is required in our scenario). Thus our model could be tested shortly in the experiments such as NO$\nu$A [112]. We have studied the breaking of the $\mu \tau$ interchange symmetry from the Lagrangian level of the Type-I seesaw. This in turn has allowed us to explore a scenario of leptogenesis with quasi-degenerate heavy RH neutrinos and to work out the consequences pertaining to a successful leptogenesis in this scheme.

$^1$For a comprehensive review see ref. [107].
Without loss of any generality we choose to work in the diagonal basis of charged leptons where the right handed neutrino mass matrix is also diagonal unless it is perturbed. The Lagrangian for the neutrino mass terms (Dirac+Majorana) is denoted as

$$\mathcal{L}^\nu_N = \bar{l}_L(m_D) l_R N_R l_i + \frac{1}{2} N_R^T (M_R) \delta_{im} N_R^m + h.c., \quad (1.1)$$

where $l_L = \begin{pmatrix} \nu_L & e_L \end{pmatrix}^T$ is the SM lepton doublet of flavour $\alpha$. The effective light neutrino mass matrix is then given by the well known Type-I seesaw formula

$$M_\nu = -m_D M_R^{-1} m_D^T. \quad (1.2)$$

A unitary matrix $U$ diagonalizes $M_\nu$ in (1.2) as

$$U^T M_\nu U = M_\nu^\phi \equiv \text{diag} \left( m_1, m_2, m_3 \right), \quad (1.3)$$

where $m_i \ (i = 1,2,3)$ are real positive mass eigenvalues of light neutrinos. Since $M_\ell$ is diagonal, $U$ is simply equivalent to the leptonic PMNS mixing matrix $U_{PMNS}$:

$$U = P_\phi U_{PMNS} \equiv P_\phi \begin{pmatrix} c_{12}c_{13} & e^{i\delta} s_{12}c_{13} & s_{13}e^{-i(\delta + \frac{\pi}{2})} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & e^{i\frac{\pi}{2}} (c_{12}c_{23} - s_{12}s_{13}s_{23}e^{i\delta}) & c_{13}s_{23}e^{i\frac{\pi}{2}} \\ s_{12}s_{23} - c_{12}s_{13}c_{23}e^{i\delta} & e^{i\frac{\pi}{2}} (-c_{12}s_{23} - s_{12}s_{13}c_{23}e^{i\delta}) & c_{13}c_{23}e^{i\frac{\beta}{2}} \end{pmatrix}, \quad (1.4)$$

where $P_\phi = \text{diag} \left( e^{i\phi_1}, e^{i\phi_2}, e^{i\phi_3} \right)$ is an unphysical diagonal phase matrix and $c_{ij} \equiv \cos \theta_{ij}$, $s_{ij} \equiv \sin \theta_{ij}$ with the mixing angles $\theta_{ij} = [0, \pi/2]$. Here we have followed the PDG convention [113] but denote our Majorana phases by $\alpha$ and $\beta$. CP-violation enters in the leptonic sector through nontrivial values of the Dirac phase $\delta$ and Majorana phases $\alpha, \beta$ with $\delta, \alpha, \beta = [0, 2\pi]$.

We first derive the constraint equations emerging from a residual Klein symmetry in the case of a general $\mu\tau$ interchange symmetry and then discuss the implications of those equations to the TBM mixing scheme plus the related modifications. The unbroken TBM generator in this scenario leads to a TM1 and a TM2 type mixing [52]. Since the predicted solar mixing angle ($35.8^0$) for a TM2 type mixing is disfavored at $\sim 3\sigma$ [111], we devote our entire numerical section only to the TM1 type scenario arising in our analysis. Notice that, though the unbroken $Z_2$ leads to a TM1 type mixing, here the existence of another partially broken $\mu\tau$ symmetry makes this scenario more predictive than the pure TM1.

Predominance matter over antimatter has become a proven fact by several experimental observations. All our known structures of universe (like stars, galaxies and clusters) are made up of matter, where as existence of antimatter hasn’t been confirmed yet. The dynamical process of generation of baryon asymmetry from baryon symmetric era of early Universe is known as baryogenesis. Among the various possible mechanisms of baryogenesis, the most interesting and also relevant to our present neutrino mass model is baryogenesis through leptogenesis. For successful generation of baryon asymmetry Sakharov conditions [114] must be satisfied. The necessary CP violation is provided by the complex Yukawa coupling between heavy singlet right handed neutrinos and left handed doublet neutrinos. Existence of Majorana mass term of the right handed neutrinos ensures lepton number violation. Departure from thermal equilibrium is achieved whenever the interaction rate of Yukawa coupling is smaller than the Hubble expansion rate. Thus the model under consideration possesses all the necessary
ingredients to satisfy Sakharov conditions and is able to produce lepton asymmetry at a very high scale which is further converted into baryon asymmetry through Sphaleronic transitions.

In this work we examine qualitatively as well as quantitatively, how efficiently our model can address the low energy neutrino phenomenology and cosmological baryon asymmetry within the same frame work. Therefore Lagrangian parameters once constrained by the oscillation data are used thereafter in the computations of leptogenesis in a bottom up approach. Since we plan to study leptogenesis over a wide range of right handed neutrino mass, we explore the possibilities of both flavour dependent and flavour independent leptogenesis. To track the evolution of the baryon asymmetry from very high temperature down to very low temperature (present epoch) we use network of most general flavour dependent coupled Boltzmann Equations (BEs) where contributions from all three generations of RH neutrinos are taken into account. Implications of nondiagonal right handed neutrino mass matrix have also been dealt with great care.

Recently IceCube detector [115–121] at the south pole has detected ultra high energy (UHE) neutrino events which in turn has opened a new era in neutrino astronomy. Though the present data points those neutrinos to be of extraterrestrial origin, the sources of those neutrinos are still unknown. Assuming the sources to be pure astrophysical (we consider the conventional pp and pγ sources), we calculate the flavour ratios at the neutrino telescope. Due to the broken µτ symmetry in this model, commonly predicted democratic flavour distribution 1:1:1 changes. Thus the prediction of the flavour ratios in this model will be tested hopefully with enhanced statistics in neutrino telescopes such as IceCube.

So, the main and new features of this work could be summarised as follows:

i) Unlike the previous literatures, the model under consideration deals with neither arbitrarily broken TBM [24–35] nor an exact TM1 mixing [52, 53, 56–60]. To be precise, for an arbitrarily broken TBM, both the symmetries in $\mathbb{Z}_2 \times \mathbb{Z}_{\mu\tau}$ are broken softly [24–35] whereas, for an exact or pure TM1 mixing, $\mathbb{Z}_{\mu\tau}$ is broken completely while the other $\mathbb{Z}_2$ remains unbroken. In our scenario, similar to pure TM1 mixing, we keep $\mathbb{Z}_2$ unbroken, however, instead of breaking $\mathbb{Z}_{\mu\tau}$ completely, we restrict to the fact that how minimal the breaking of the $\mathbb{Z}_{\mu\tau}$ could be to be consistent with the existing neutrino data. Thus the scenario is a special case of a pure TM1 mixing and is more predictive than the said mixing due the existence of another partially broken $\mathbb{Z}_{\mu\tau}$. This separates our work from any previous analysis and thus after constraining the model parameter space with neutrino oscillation data, whatever predictions emerge, are entirely novel. Though refs. [122, 123], and ref. [124] share some common ground with this work, we shall point out the distinction in the numerical section where we present a comparative study of this work with the works which look similar a priory.

ii) For the numerical analysis, we perform an exact diagonalization of the neutrino mass matrix which in turn allows us to take into consideration the terms which are higher order in the breaking parameters (terms proportional to $\epsilon^2$ and so on are usually neglected in perturbed TBM analysis). Thus our numerical results are quite robust. If we allow breaking in one of the relevant breaking parameters up to 25%, our minimal breaking scenario prefers non-maximal mixing, e.g., $\theta_{23}$ within the range $\sim (49.4^\circ - 53^\circ)$ and hence a particular range of the Dirac CP phase $\delta$, due an analytical correlation predicted by the unbroken $\mathbb{Z}_2$ (though this correlation is also present in case of a pure TM1 mixing). Thus the goodness of this scenario can easily be tested in the ongoing and forthcoming neutrino experiments.
iii) Within the broken TBM scenarios, in general baryogenesis via leptogenesis has been studied assuming $N_1$-dominated scenario where the heavy neutrino flavour effects are neglected, assuming any asymmetry produced by the heavier neutrinos are significantly washed out by $N_1$ and $N_{2,3}$-washout do not affect asymmetry produced by $N_1$ at the production. In addition, to compute the final $Y_B$, approximate formulae are used which include partial flavour coupling effects (an assumption of ‘$A$’ matrix to be diagonal). In our scenario, due to the typical structure of the symmetry, the RH neutrino masses are very close to each other which compels us to take into account the effects of $N_2$ as well as $N_3$. We solve full flavour dependent Boltzmann equations with full flavour coupling effects and show how depending upon breaking parameters the next to lightest of the heavy RH neutrinos affects the final asymmetry. With best of our knowledge, within the broken TBM framework, such a diligent computation of leptogenesis has not been done before.

iv) Encouraged by the recent discovery of high energy neutrino events at IceCube, we calculate flavour flux ratios at neutrino telescopes which deviates from the democratic flavour distribution 1:1:1. The predicted flavour flux ratios are either testable with enhanced statistics at the neutrino telescopes such as IceCube or could be used as an input to the astrophysical fits [125] to the existing data to test this model.

v) This model also predicts a testable range of the neutrino less double beta decay parameter $|(M_\nu)_{ee}|$.

The rest of the paper is organized as follows: in section 2, we briefly review the basic framework of residual symmetry along with a discussion on the general $\mu\tau$ interchange symmetry which is characterized by a residual $\mathbb{Z}_2^\lambda \times \mathbb{Z}_2^\mu\tau$ Klein symmetry. Given the general setup in section 2, we further focus on the TBM mixing ($\lambda = 1/\sqrt{3}$) and phenomenologically consistent minimal breaking pattern of the residual $\mathbb{Z}_2^\mu\tau$ in section 3. Section 4 is entirely devoted to the study of generation of baryon asymmetry through leptogenesis. Its various subsections deal with rigorous evaluation of CP asymmetry parameters, setting up the chain of Boltzmann equations applicable in different temperature regimes. The extensive numerical study of the viable cases (which includes: constraining the parameters by $3\sigma$ global fit of oscillation data, computation related to the baryogenesis via leptogenesis and prediction of the flavour flux ratios at the neutrino telescopes) is given in section 5. In section 6, we summarize the entire work and try to highlight the salient features of this study towards addressing neutrino oscillation phenomenology along with major issues such as baryon asymmetry of universe.

2 Residual symmetry and its implication on $\mu\tau$ variants

A horizontal symmetry $G_i$ of a neutrino mass matrix is realized through the invariance equation

$$G_i^T M_\nu G_i = M_\nu,$$  \hfill (2.1)

where $G_i$ is an unitary matrix in the neutrino flavour space. Now eq. (2.1) and (1.3) together imply that we can define a new unitary matrix $V_i = G_i U$ such that it also diagonalizes $M_\nu$. The matrix $V$ should then be equal to $Ud_i$:

$$G_i U = Ud_i \equiv U V_i U = d_i \hfill (2.2)$$
with $d_i$ being a diagonal rephasing matrix. For neutrinos of Majorana type, $d_i^2 = 1$. Therefore, $d_i$ can only have entries $\pm 1$. Thus there are now eight possible structures of $d_i$ two of which are a simple unit matrix and its negative. The remaining six can be considered as three different pairs, where the two matrices of a pair are identical to each other apart from an overall relative negative sign. Finally, among these three (pairs) matrices, only two are independent as each $d_i$ always satisfies the relation $d_i = d_j d_k$, where $i, j$ and $k$ can take value 1, 2 and 3. Now $d_i^2 = 1$ implies each $d_i$ define a $\mathbb{Z}_2$ symmetry. Therefore, on account of the relation in (2.2), each $G_i (G_i^2 = 1, \det (G_i) = \pm 1)$ also represents $\mathbb{Z}_2$ symmetry and generates the residual $\mathbb{Z}_2 \times \mathbb{Z}_2$ symmetry (Klein Symmetry) in the neutrino mass term of the Lagrangian. We can now choose the two independent $d_i$ matrices as $d_2 = \text{diag} (-1, 1, -1)$ and $d_3 = \text{diag} (-1, -1, 1)$ for $\det (G_i) = 1$. For $\det G_i = -1$, $d_2$ and $d_3$ would differ from the previous choices only by an overall minus sign.

Given this basic setup, we first discuss the general $\mu \tau$ interchange symmetry in the framework of residual $\mathbb{Z}_2 \times \mathbb{Z}_2$. We then proceed to the discussion of TBM mixing by setting the solar mixing angle $\theta_{12} = \sin^{-1}(1/\sqrt{3})$ in the $\mu \tau$ interchange scheme. A neutrino Majorana mass matrix

$$M_{\mu\tau}^d = \begin{pmatrix} a & b & -b \\ b & c & d \\ -b & d & c \end{pmatrix}$$

(2.3)

invariant under the $\mu \tau$ interchange symmetry is diagonalized as

$$(U_{\nu}^\mu)^T M_{\nu}^\mu U_{\nu}^\mu = M_{d}^{\mu\tau},$$

(2.4)

where

$$U_{\nu}^\mu = \begin{pmatrix} \sqrt{1 - \lambda^2} & \lambda & 0 \\ -\frac{\lambda}{\sqrt{2}} \sqrt{1 - \lambda^2} & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ -\frac{\lambda}{\sqrt{2}} \sqrt{1 - \lambda^2} & -\frac{1}{\sqrt{2}} \sqrt{1 - \lambda^2} & \frac{1}{\sqrt{2}} \end{pmatrix}.$$  

(2.5)

The parameter $\lambda$ is related to the solar mixing angle as $\lambda = \sin \theta_{12}$. Here we choose the appropriate minus signs in $M_{\nu}^\mu$ to be in conformity with the PDG convention [113]. Now from (2.2), $G_2$ and $G_3$ corresponding to $d_2$ and $d_3$ can be calculated as

$$G_2^\lambda = \begin{pmatrix} 2\lambda^2 - 1 & \lambda \sqrt{2} (1 - \lambda^2) & -\lambda \sqrt{2} (1 - \lambda^2) \\ -\lambda \sqrt{2} (1 - \lambda^2) & -\lambda^2 & -(1 - \lambda^2) \\ -\lambda \sqrt{2} (1 - \lambda^2) & -(1 - \lambda^2) & -\lambda^2 \end{pmatrix}, G_3 = \begin{pmatrix} -1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}.$$ 

(2.6)

The relation $d_i = d_j d_k$ makes the construction of $G_1$ simple: $G_1^\lambda = G_2^\lambda G_3$. Thus $G_1^\lambda$ will be of form

$$G_1^\lambda = \begin{pmatrix} 1 - 2\lambda^2 & -\lambda \sqrt{2} (1 - \lambda^2) & \lambda \sqrt{2} (1 - \lambda^2) \\ -\lambda \sqrt{2} (1 - \lambda^2) & -(1 - \lambda^2) & -\lambda^2 \\ \lambda \sqrt{2} (1 - \lambda^2) & -(1 - \lambda^2) & -\lambda^2 \end{pmatrix}.$$ 

(2.7)

Since $G_3$ is basically the $\mu \tau$ interchange symmetry in the flavour basis, we therefore rename the residual Klein symmetry for this $\mu \tau$ interchange case as $\mathbb{Z}_2^\lambda \times \mathbb{Z}_2^{\mu\tau}$. We now implement the $\mathbb{Z}_2^\lambda \times \mathbb{Z}_2^{\mu\tau}$ on the Dirac mass matrix $m_D$ and the Majorana mass matrix $M_R$ of (1.1) as

$$\begin{align*}
(G_2^\lambda)^T m_D^0 G_2^\lambda &= m_D^0, \\
(G_3^T m_D^0 G_3 &= m_D^0, \\
(G_2^\lambda)^T M_R^0 G_2^\lambda &= M_R^0, \\
G_2^\lambda T M_R^0 G_2^\lambda &= M_R^0, \\
G_2^\lambda T M_R^0 G_3 &= M_R^0. \\
(2.8)
\end{align*}$$
Equations in (2.8) automatically imply the $G^1_1$ invariance of $m_D$ and $M_R$ on account of the relation $G^1_1 = G^2_2 G_3$. Now one can work out the constraint equations that arise due the invariance relations in (2.8). A most general $3 \times 3$ mass matrix

$$M^G = \begin{pmatrix} A & B & C \\ D & E & F \\ G & H & I \end{pmatrix}$$

(2.9)

that is invariant under $G^1_{1,2,3}$ (cf. eq. (2.8)) would lead to the following constraint equations: for $G^1_1$ invariance,

$$B + C = \lambda (\sqrt{2(1-\lambda^2)})^{-1} (-H + F + E - I),$$

$$D + G = \lambda (\sqrt{2(1-\lambda^2)})^{-1} (H - F + E - I),$$

$$(D - G + B - C) = \left\{ \sqrt{2(1-\lambda^2)(2\lambda^2 - 1)} \right\}^{-1} \left[ 4\lambda(1-\lambda^2)A + 2\lambda(1-\lambda^2)(H + F - E - I) \right],$$

$$B - C = D - G$$

(2.10)

for $G^2_2$ invariance,

$$B + C = -(2\lambda^{-1})\sqrt{2(1-\lambda^2)}(-H + F + E - I),$$

$$D + G = (2\lambda^{-1})\sqrt{2(1-\lambda^2)}(H - F + E + I),$$

$$(D - G + B - C) = \left\{ \sqrt{2(1-\lambda^2)(2\lambda^2 - 1)} \right\}^{-1} \left[ 4\lambda(1-\lambda^2)A + 2\lambda(1-\lambda^2)(H + F - E - I) \right],$$

$$B - C = D - G$$

(2.11)

and for $G^3_3$ invariance,

$$C = -B, G = -D, E = I, F = H.$$  

(2.12)

Note that since $m_D^0$ is a general complex $3 \times 3$ matrix, one can simply consider the constraint equations derived in (2.10), (2.11) and (2.12). However, since $M_R^0$ is Majorana type, one has to consider a complex symmetric structure for the matrix $M_G$ in (2.9) which would require the replacements $B = D, C = G$ and $F = H$ in the equations (2.10), (2.11) and (2.12). The invariance equations of (2.8) are the consequences of an assumed $\mathbb{Z}_2^\lambda \times Z_2^{\mu \tau}$ symmetry on both the left ($\nu_L$) and the right chiral ($\nu_R$) fields. It is worthwhile to highlight another interesting aspect of this symmetry. The overall invariance of the effective $M_\nu$ that arises from the Type-I seesaw mechanism, can be realized by implementing the residual $\mathbb{Z}_2^\lambda \times Z_2^{\mu \tau}$ on the left-chiral fields only. Since $M_\nu$ arises due to the seesaw relation in (1.2), the invariance condition on $m_D$ alone

$$G^T_1 m_D^0 = -m_D^0$$

(2.13)

implies

$$G^T_1 M_\nu G_1 = M_\nu.$$  

(2.14)

For such an invariance, the determinant of $m_D^0$ would be vanishing and therefore one of the neutrinos will become massless [124]. Proceeding in the similar manner, as in the previous case, we derive the following constraint equations for partial $G^1_{123}$ invariance (cf. eq. (2.13)). For $G^1_1$ invariance we have

$$2(1-\lambda^2)A - \lambda \sqrt{2(1-\lambda^2)}(D - G) = 0,$$

$$2(1-\lambda^2)B - \lambda \sqrt{2(1-\lambda^2)}(E - H) = 0,$$

$$2(1-\lambda^2)C - \lambda \sqrt{2(1-\lambda^2)}(F - I) = 0.$$  

(2.15)
Similarly for $G_2^\lambda$ invariance

\[ 2\lambda^2 A + \lambda \sqrt{2(1 - \lambda^2)} (D - G) = 0, \]
\[ 2\lambda^2 B + \lambda \sqrt{2(1 - \lambda^2)} (E - H) = 0, \]
\[ 2\lambda^2 C + \lambda \sqrt{2(1 - \lambda^2)} (F - I) = 0 \]

(2.16)

and for $G_3^\lambda$ invariance

\[ D = -G, \quad E = -H, \quad F = -I \]

(2.17)

could be obtained. Again the minus signs in the invariance equations are used to be consistent with the PDG convention. Let us now switch to the analysis on TBM mixing which is a trivial generalization of the above discussion with $\lambda = 1/\sqrt{3}$. We write the $\mathbb{Z}_2$ generators for TBM mixing as

\[ G_{1,TBM}^\mu = \frac{1}{3} \begin{pmatrix} 1 & -2 & 2 \\ -2 & 2 & -1 \\ 2 & -1 & -2 \end{pmatrix}, \quad G_{2,TBM}^\mu = \frac{1}{3} \begin{pmatrix} -1 & 2 & -2 \\ 2 & -1 & -2 \\ -2 & -2 & 1 \end{pmatrix}, \quad G_3^{\mu\tau} = \begin{pmatrix} -1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}. \]

(2.18)

Similarly, for $\lambda = 1/\sqrt{3}$, the well known $U_{TBM}$ mixing simply comes out from (2.5) as

\[ U_{TBM} = \begin{pmatrix} \sqrt{\frac{2}{3}} & \sqrt{\frac{1}{3}} & 0 \\ -\sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & \sqrt{\frac{1}{2}} \\ \sqrt{\frac{1}{6}} & -\sqrt{\frac{1}{3}} & \sqrt{\frac{1}{2}} \end{pmatrix}. \]

(2.19)

As mentioned in the introduction, since $G_3^{\mu\tau}$ leads to a vanishing value $\theta_{13}$, the full $\mathbb{Z}_2^\lambda \times \mathbb{Z}_2^{\mu\tau}$ can not be a phenomenologically accepted symmetry of the Lagrangian. To be more precise, the nondegenerate eigenvalue of $d_3$, i.e., $(d_3)_{33} = +1$, fixes the third column of $U_{TBM}^{\mu\tau}$ to $(0, 1/\sqrt{2}, 1/\sqrt{2})^T$ which implies a vanishing value of $\theta_{13}$ while a nonzero value of the latter has been confirmed by the experiments at 3.2$\sigma$ [126]. Thus to generate a nonzero $\theta_{13}$ we break the $\mathbb{Z}_2^{\mu\tau} (G_3^{\mu\tau})$ with small breaking parameters keeping the other residual $\mathbb{Z}_2$s (either $G_{1,TBM}^\mu$ or $G_{2,TBM}^\mu$) intact. In the next section, depending upon the residual symmetries on the neutrino fields and their breaking pattern, we categorize our discussion into three categories.

3 Breaking of $\mathbb{Z}_2^{\mu\tau}$: perturbation to the TBM mass matrices

The residual TBM-Klein symmetry is implemented in the basis where $M_R$ is diagonal. This further leads to degenerate heavy RH neutrinos. We then consider the most general perturbation matrix that breaks only the $\mathbb{Z}_2^{\mu\tau}$ in $M_R$. Since these breaking parameters are responsible for generation of nonzero $\theta_{13}$, the extent of quasidegeneracy between the right handed neutrinos (or in other words the smallness of the breaking parameters) is now dictated by the 3$\sigma$ value of $\theta_{13}$. A systematic discussion of the breaking scheme is presented in the following subsections.

3.1 $G_{1,2}^{TBM}$ and $G_3^{\mu\tau}$ on both the fields, $\nu_L$ and $N_R$

Case 1. $G_{1,TBM}^\mu$ invariance of $M_L$: breaking of $G_3^{\mu\tau}$ in $M_R$. At the leading order, i.e., when the effective light neutrino mass matrix $M_\nu$ respects exact TBM-Klein symmetry, the
most general Dirac mass matrix $m^0_D$ and the Majorana mass matrix $M^0_R$ satisfy the invariance equations

\[
\begin{align*}
(G_1^{TBM})^T m^0_D G_1^{TBM} &= m^0_D, \\
(G_3^{(3)})^T m^0_D G_3^{(3)} &= m^0_D, \\
(G_3^{(3)})^T M^0_R G_3^{(3)} &= M^0_R.
\end{align*}
\]

Now using (2.10), (2.11) and (2.12) one constructs the structures $m^0_D$ and $M^0_R$ as

\[
m^0_D = \begin{pmatrix}
be^{i\beta} - ce^{i\gamma} - a e^{i\alpha} a e^{i\alpha} - a e^{i\alpha} \\
a e^{i\alpha} & b e^{i\beta} & c e^{i\gamma} \\
-a e^{i\alpha} & c e^{i\gamma} & b e^{i\beta}
\end{pmatrix}, \\
M^0_R = \begin{pmatrix}
y & 0 & 0 \\
0 & y & 0 \\
0 & 0 & y
\end{pmatrix}
\]

where $a, b, c, y$ are real positive numbers and $\alpha, \beta, \gamma$ are phase parameters. To generate a viable neutrino mixing we now consider breaking of $G^{(3)}$ in the RH Majorana mass matrix only. We modify $M^0_R$ by adding a complex symmetric perturbation matrix $M^{G_1\epsilon}_R$ that breaks the $G^{(3)}$ but invariant under the transformation

\[
(G_1^{TBM})^T M^{G_1\epsilon}_R G_1^{TBM} = M^{G_1\epsilon}_R
\]

to ensure the overall $G^{TBM}$ invariance of the effective light neutrino $M_\nu$. Now with $\lambda = 1/\sqrt{3}$, (2.10) would imply that a general complex symmetric matrix

\[
M^{GCS}_R = \begin{pmatrix}
\epsilon_1 & \epsilon_2 & \epsilon_3 \\
\epsilon_2 & \epsilon_4 & \epsilon_5 \\
\epsilon_3 & \epsilon_5 & \epsilon_6
\end{pmatrix}
\]

which is invariant under $G^{TBM}$, follows the constraint equations

\[
\begin{align*}
\epsilon_2 &= \frac{1}{4}(3\epsilon_4 + \epsilon_6) - (\epsilon_1 + \epsilon_5), \\
\epsilon_3 &= -\frac{1}{4}(3\epsilon_6 + \epsilon_4) + (\epsilon_1 + \epsilon_5).
\end{align*}
\]

Note that $\epsilon_1$ and $\epsilon_5$ do not break the $G^{(3)}$ symmetry, thus for a simplified discussion we take both of them to be of vanishing values. Thus the perturbation matrix $M^{G_1\epsilon}_R$ can be written as

\[
M^{G_1\epsilon}_R = \begin{pmatrix}
0 & \frac{1}{4}(3\epsilon_4 + \epsilon_6) - \frac{1}{4}(3\epsilon_6 + \epsilon_4) \\
\frac{1}{4}(3\epsilon_4 + \epsilon_6) & \epsilon_4 & 0 \\
-\frac{1}{4}(3\epsilon_6 + \epsilon_4) & 0 & \epsilon_6
\end{pmatrix}.
\]

Now the effective $M_\nu$ which is invariant under $G^{TBM}$ can be written as

\[
M^{G_1\epsilon}_\nu = -m^0_D M^{-1}_R (m^0_D)^T,
\]

where

\[
M_R = M^0_R + M^{G_1\epsilon}_R.
\]

Since $G^{TBM}$ invariance of the effective $M_\nu$ always fixes the first column of the mixing matrix to $(\sqrt{\frac{2}{3}}, -\sqrt{\frac{1}{3}}, \sqrt{\frac{1}{3}})^T$ up to some phases, a direct comparison of the latter with the $U_{PMNS}$ of (1.4) leads to the well known correlation between $\theta_{12}$ and $\theta_{13}$ for a TM1 mixing as

\[
\sin^2 \theta_{12} = \frac{1}{3}(1 - 2 \tan^2 \theta_{13}).
\]

\[\text{(3.9)}\]
To introduce CP violation in a minimal way, it is useful to assume $\beta = \gamma$ \cite{127} in the $m_0^D$ matrix (eq. (3.2)) which after the phase rotation $m_0^D \rightarrow e^{-i\gamma}m_0^D$, can be conveniently parametrized as

$$m_0^D = \begin{pmatrix}
    b - c - a e^{i\beta'} & a e^{i\beta'} & -a e^{i\beta'} \\
    a e^{i\beta'} & b & c \\
    -a e^{i\beta'} & c & b
\end{pmatrix},$$  

(3.10)

where $\beta' = \alpha - \gamma$. With the parametrization of $M_R$ of (3.8) as

$$M_R = M_R^0 + M_R^{G^TBM} = y \begin{pmatrix}
    1 & \frac{1}{3}(3\epsilon_4' + \epsilon_6') & -\frac{1}{3}(3\epsilon_6' + \epsilon_4') \\
    \frac{1}{3}(3\epsilon_4' + \epsilon_6') & 1 + \epsilon_4' & 0 \\
    -\frac{1}{3}(3\epsilon_6' + \epsilon_4') & 0 & 1 + \epsilon_6'
\end{pmatrix},$$  

(3.11)

where $\epsilon_4', \epsilon_6' (= \epsilon_{4,6}/y)$ are dimensionless breaking parameters, we proceed further to calculate the effective light neutrino mass matrix $(M_\nu^G)^{TBM}$. Eq. (3.7) can now be simplified as

$$M_\nu^{G_{TBM}} = m_0^D M_R^{-1} m_0^T = m_0^D y^{-1}(M_R')^{-1} m_0^T = m_0^{0'} (M_R')^{-1} m_0^{0'T},$$  

(3.12)

where $M_R' = (1/y) M_R$ and $m_0^{0'} = (1/\sqrt{y}) m_0^0$, i.e a factor of $(1/\sqrt{y})$ is absorbed in the elements $m_0^{0'}$ matrix however its structure is exactly identical with that of $m_0^D$. To be precise, the modulus parameters $a'$, $b'$ and $c'$ are basically $1/\sqrt{y}$ times the unprimed parameters $a$, $b$ and $c$ respectively. Thus the elements of the matrix $M_\nu^{G_{TBM}}$ now become functions of total six parameters, mathematically which can be represented as

$$(M_\nu^{G_{TBM}})_{ij} = f_{ij}(a', b', c', \epsilon_4', \epsilon_6', \beta')$$  

(3.13)

where $i, j = 1, 2, 3$. Explicit forms of different $f_{ij}$s are given in the appendix A.1.

**Case 2. $G_2^{TBM}$ invariance of $M_R$: breaking of $G_3^{\mu\tau}$ in $M_R$.** In this case we follow the similar prescription as considered in the previous case, i.e., along with the leading order invariance equations

$$(G_2^{TBM})^T m_0^D G_2^{TBM} = m_0^D, \quad (G_2^{TBM})^T M_R G_2^{TBM} = M_R^0, \quad (G_3^{\mu\tau})^T m_0^D G_3^{\mu\tau} = m_0^D, \quad (G_3^{\mu\tau})^T M_R G_3^{\mu\tau} = M_R^0,$$  

(3.14)

we add a perturbation matrix $M_R^{G^TBM}$ to $M_R^0$, where the former satisfies

$$(G_2^{TBM})^T M_R^{G^TBM} G_2^{TBM} = M_R^{G^TBM}.$$  

(3.15)

Now (2.11) with $\lambda = 1/\sqrt{3}$ and $M_\nu^{GCS}$ of (3.4) together lead to the constraint equations

$$\epsilon_2 = \epsilon_6 - \epsilon_5 - \epsilon_1,$$

$$\epsilon_3 = \epsilon_5 - \epsilon_4 + \epsilon_1.$$  

(3.16)
Using the constraint relations in (3.16) we get $G_2^{TBM}$ invariant $M_{GR}^{GCS}$ as

$$M_{GR}^{G2e} = \begin{pmatrix} 0 & \epsilon_6 & -\epsilon_4 \\ \epsilon_6 & \epsilon_4 & 0 \\ -\epsilon_4 & 0 & \epsilon_6 \end{pmatrix},$$

(3.17)

where $\epsilon_{1,5}$ are assumed to have vanishing values due to their blindness towards $\mu \tau$ interchange symmetry. The effective $M_\nu$ which is now invariant under $G_2^{TBM}$ comes out as

$$M_{\nu}^{G2} = -m_D^0 M_R^{-1}(m_D^0)^T,$$

(3.18)

where

$$M_R = M_0^R + M_{GR}^{Gse}.$$  

(3.19)

Note that $G_2^{TBM}$ invariance of $M_\nu$ always fixes the second column of the mixing matrix to the second column of $U_{TBM}$. This leads to the constraint relation between $\theta_{12}$ and $\theta_{13}$ as

$$\sin^2 \theta_{12} = \frac{1}{3}(1 + \tan^2 \theta_{13}).$$

(3.20)

Given a nonvanishing value of $\theta_{13}$, the solar mixing angle $\theta_{12}$ is always greater than $\sin^{-1}(1/\sqrt{3})$ which is disfavored at 3$\sigma$ by the present oscillation data [111]. Therefore, we do not consider this case in our numerical discussion.

### 3.2 $G_1^{TBM}$ on $\nu_L$ and $G_3^{\mu\tau}$ on both the fields, $\nu_L$ and $N_R$

#### Case 1. $G_1^{TBM}$ invariance of $M_\nu$: breaking of $G_3^{\mu\tau}$ in $M_R$

In this case, for the effective $M_\nu$ to be invariant under $G_1^{TBM}$ and $G_3^{\mu\tau}$ at the leading order, the constituent mass matrices follow the invariance equations given by

$$(G_1^{TBM})^T m_D^0 = -m_D^0, \quad (G_3^{\mu\tau})^T m_D^0 G_3^{\mu\tau} = m_D^0, \quad (G_3^{\mu\tau})^T M_R^0 G_3^{\mu\tau} = M_R^0.$$  

(3.21)

Now using (2.15) and (2.12), we find forms of the most general $m_D^0$ and $M_R^0$ that satisfy (3.21):

$$m_D^0 = \begin{pmatrix} ae^{i\alpha} & \frac{1}{2}(be^{i\beta} - ce^{i\gamma}) & \frac{1}{2}(ce^{i\gamma} - be^{i\beta}) \\ be^{i\beta} & he^{i\beta} & ce^{i\gamma} \\ -ae^{i\alpha} & ce^{i\gamma} & be^{i\beta} \end{pmatrix}, \quad M_R^0 = \begin{pmatrix} x & 0 & 0 \\ 0 & y & 0 \\ 0 & 0 & y \end{pmatrix}. $$

(3.22)

For the sake of simplicity, we assume $\beta = \gamma$ and take out the phase $\alpha$ through the rotation $m_D^0 \rightarrow e^{-i\alpha} m_D^0$. Thus $m_D^0$ takes the form

$$m_D^0 = \begin{pmatrix} a & \frac{1}{2}(b-c)e^{i\theta/2} & \frac{1}{2}(c-b)e^{i\theta/2} \\ \frac{1}{2}(b-c)e^{i\theta/2} & he^{i\theta/2} & ce^{i\theta/2} \\ -a & ce^{i\theta/2} & be^{i\theta/2} \end{pmatrix},$$

(3.23)

where $\frac{\theta}{2} = \beta - \alpha$ and $a, b, c$ are all real positive parameters. An arbitrary $G_3^{\mu\tau}$ breaking perturbation matrix could be added to $M_R^0$, since the overall $G_1^{TBM}$ invariance of the effective $M_\nu$ is independent of the form of the RH Majorana mass matrix. We choose the perturbation matrix to be

$$M_R = \begin{pmatrix} 0 & 0 & 0 \\ 0 & \epsilon_4 & 0 \\ 0 & 0 & \epsilon_6 \end{pmatrix}. $$

(3.24)
Thus the effective $M_\nu$ is calculated (using phase rotated $m_D^0$ of eq. (3.23) and broken symmetric $M_R (= M_R^0 + M_\epsilon^R)$) as

$$M^{G_{TBM}}_{\nu_2} = -m_D^0 M^{-1}_R (m_D^0)^T. \quad (3.25)$$

Using the redefinition of the parameters as $a/\sqrt{x} \rightarrow p$, $b/\sqrt{y} \rightarrow q$, $c/\sqrt{y} \rightarrow r$, $\epsilon'_4 \rightarrow \epsilon_4^y$, $\epsilon'_6 \rightarrow \epsilon_6^y \quad (3.26)$

(with $p, q, r, \epsilon_4, \epsilon_6$ being real) the elements of $M^{G_{TBM}}_{\nu_2}$ matrix can be expressed as functions of $p, q, r, \theta, \epsilon'_4, \epsilon'_6$. Explicit functional forms for the elements matrix $M^{G_{TBM}}_{\nu_2}$ can be found in appendix A.2.

In this case also, due to the $G_{TBM}^1$ invariance of $M_\nu$, the relation between $\theta_{12}$ and $\theta_{13}$ is same as that of (3.9). Another interesting point is that $m_D^0$ of (3.22) is of determinant zero due to the imposed $G_{TBM}^1$ symmetry. Thus the matrix $M^{G_{TBM}}_{\nu_2}$ has one vanishing eigenvalue. Since $G_{TBM}^1$ also fixes the first column of the mixing matrix, the vanishing eigenvalue has to be $m_1$ which is allowed by the current oscillation data.

**Case 2.** $G_{TBM}^2$ invariance of $M_\nu$: breaking of $G^\mu_3$ in $M_R$. The effective $M_\nu$ to be invariant under $G_{TBM}^2$ and $G^\mu_3$ at the leading order, the constituent mass matrices follow the invariance equations

$$(G_{TBM}^2)^T m_D^0 = -m_D^0, \quad (G_3^{\mu_3})^T m_D^0, G_3^{\mu_3} = m_D^0, \quad (G_3^{\mu_3})^T M^0_R G_3^{\mu_3} = M^0_R. \quad (3.27)$$

The most general Dirac mass matrix $m_D^0$ and the Majorana mass matrix $M^0_R$ that satisfy (3.27) are of the forms

$$m_D^0 = \begin{pmatrix} -2a & -b + c & -c + b \\ a & b & c \\ -a & c & b \end{pmatrix}, \quad M^0_R = \begin{pmatrix} x & 0 & 0 \\ 0 & y & 0 \\ 0 & 0 & y \end{pmatrix}, \quad (3.28)$$

where we have used (2.16) and (2.12) to find these forms. Similar to the previous case the effective $M_\nu$ can be calculated as

$$M^{G_{TBM}}_{\nu_2} = -m_D^0 M^{-1}_R (m_D^0)^T, \quad (3.29)$$

where

$$M_R = M^0_R + M^\epsilon_R \quad (3.30)$$

with $M^\epsilon_R$ being an arbitrary perturbation matrix. Here also due to the imposed $G_{TBM}^2$ symmetry, the matrix $m_D^0$ of (3.28) has zero determinant which imply the $M^{G_{TBM}}_{\nu_2}$ matrix has one vanishing eigenvalue. Since $G_{TBM}^2$ fixes the second column of the mixing matrix, the vanishing eigenvalue has to be $m_2$ which is not allowed due to a positive definite value of the solar mass squared difference ($\Delta m^2_{21} = m_2^2 - m_1^2$) for both normal and inverted hierarchy. Therefore we discard this case in our analysis.
3.3 $G_{1,2}^{TBM}$ and $G_{3}^{\mu\tau}$ on $\nu_L$ only

In this case the leading order transformations are

\[
(G_{1}^{TBM})^T m_D^0 = -m_D^0, \quad (G_{3}^{\mu\tau})^T m_D^0 = -m_D^0.
\]

The most general effective $M_\nu$ for both the cases lead to two vanishing eigenvalues. Due to this degeneracy in masses, one can not fix the leading order mixing as the TBM mixing matrix, thus the residual symmetry approach breaks down (Due to the arbitrariness of the mixing matrix one cannot reconstruct the corresponding $Z_2$ generators; the $G_i$ matrices). Therefore both of these cases are discarded in our analysis.

4 Baryogenesis through leptogenesis

Baryogenesis via leptogenesis is an excellent mechanism to understand the observed excess of baryonic matter over anti matter. The amount of baryon asymmetry is expressed by the parameter: ratio of difference in number densities of baryons ($n_B$) and anti baryons ($n_{\bar{B}}$) to the entropy density of the universe. The experimentally observed value [128–130] of this baryon asymmetry parameter ($Y_B$) is given by

\[
Y_B = \frac{n_B - n_{\bar{B}}}{s} = 8.55 \times 10^{-11} < Y_B < 8.77 \times 10^{-11}
\]

with $s$ being the entropy density of the universe. In this mechanism, the CP violating and out of equilibrium decays of heavy RH neutrinos [131–133] create an excess lepton asymmetry which is further converted in to baryon asymmetry by nonperturbative sphalerons [134].

4.1 Calculation of CP asymmetry parameter

The part of our Lagrangian relevant to the generation of a CP asymmetry is

\[
-\mathcal{L} = f_{\alpha} N_i^{\dagger \beta} \bar{L}_\alpha \phi N_{Ri} + \frac{1}{2} N_{Ri}^{\dagger} (M_R)_{ij} N_{Rj} + \text{h.c.},
\]

where $L_\alpha = (\nu_{\alpha_L}, \ell_{\alpha_L})^T$ is the left-chiral SM lepton doublet of flavour $\alpha$, while $\bar{\phi} = (\phi^0 - \phi^-)^T$ is the charge conjugated Higgs scaler doublet. It is evident from (4.2) that the decay products of $N_i$ can be $\ell_{\alpha}^\dagger \phi^+, \nu_\alpha \phi^0, \ell_{\alpha}^\dagger \phi^-$ and $\nu_\alpha^C \phi^0$. We are interested in the flavour dependent CP asymmetry parameter $\varepsilon_i^\alpha$ which is given by

\[
\varepsilon_i^\alpha = \frac{\Gamma(N_i \rightarrow L_\alpha \phi) - \Gamma(N_i \rightarrow L_\alpha^C \phi)}{\Gamma(N_i \rightarrow L\phi) + \Gamma(N_i \rightarrow L^\dagger \phi)},
\]

where $\Gamma$ is the corresponding partial decay width and in the denominator a sum over the flavour index $\alpha$ has been considered. A nonvanishing value of $\varepsilon_i^\alpha$ requires the interference between the tree level and one loop decay contributions of $N_i$, since the tree level decay width is given by

\[
\Gamma^\text{tree}(N_i \rightarrow L_\alpha \phi) = \Gamma^\text{tree}(N_i \rightarrow L_\alpha^C \phi^\dagger)
\]

and thus leads to a vanishing CP violation. Before presenting the rigorous formulas of partial decay width and the CP asymmetry parameter, let us point out a subtle issue. Since the computations related to leptogenesis require the physical masses of the RH neutrinos, a
nondiagonal RH neutrino mass matrix should be rotated to its diagonal basis. A Majorana
type RH neutrino mass matrix $M_R$ could be put into diagonal form with a unitary matrix $V$
as
\[ V^\dagger M_R V^* = \text{diag} \left( M_1, M_2, M_3 \right), \]  
(4.5)
where $M_i (i = 1, 2, 3)$ are the eigenvalues of $M_R$. Thus in the diagonal basis of $M_R$, the Dirac
neutrino mass matrix (the neutrino Yukawa couplings) also gets rotated as
\[ f^{N'} = f^N V^* \Rightarrow m_D' = m_D V^*, \]  
(4.6)
where $m_D$ is the Dirac neutrino mass matrix in the nondiagonal basis of $M_R$ and is given by
$m_D = \frac{f_N}{\sqrt{2} v}$ with $v$ being the VEV of the SM Higgs. Accordingly, the tree level decay width
can now be calculated as
\[ \Gamma^{\text{tree}}(N_i \rightarrow f_\alpha \phi) = \Gamma^{\text{tree}}(N_i \rightarrow f'^C_\alpha \phi^\dagger) = (16\pi)^{-1} \left( f^N_{i\alpha} f^{N'}_{i\alpha} \right) M_i. \]  
(4.7)
Along with (4.7), taking into account the contributions from one loop vertex and self energy
diagrams and without assuming any hierarchy of the right handed neutrinos the most general
expression (keeping up to fourth order of Yukawa coupling) of the flavour dependent CP
asymmetry parameter [135] can be calculated as
\[ \varepsilon_i^\alpha = \frac{1}{4\pi v^2} \sum_{j \neq i} \text{Im} \{ \mathcal{H}'_{ij}(m'_D)^{ij}(m'_D)^{ji} \} \left[ f(x_{ij}) + \frac{\sqrt{x_{ij}}(1 - x_{ij})}{(1 - x_{ij})^2 + \frac{\mathcal{H}'_{ij}^2}{16\pi^2 v^4}} \right] \]
\[ + \frac{1}{4\pi v^2} \sum_{j \neq i} \frac{(1 - x_{ij})\text{Im} \{ \mathcal{H}'_{ji}(m'_D)^{ji}(m'_D)^{ij} \}}{(1 - x_{ij})^2 + \frac{\mathcal{H}'_{ji}^2}{16\pi^2 v^4}}, \]  
(4.8)
where $\mathcal{H}' = m'_D m'_D$, $x_{ij} = \frac{M_i^2}{M_j^2}$ and $f(x_{ij})$ is the loop function given by
\[ f(x_{ij}) = \frac{1 - \ln \left( \frac{1 + x_{ij}}{x_{ij}} \right)}{2}. \]  
(4.9)
In the expression of $\varepsilon_i^\alpha$, the term proportional to $f(x_{ij})$ arises from the one loop vertex term
interfering with the tree level contribution. The rest are originating from interference of the one loop self energy diagram with the tree level term. It is also worth clarifying the reason behind the explicit flavour index ‘$\alpha$’ on the CP asymmetry parameter $\varepsilon_i$ in (4.8).
Depending upon the temperature regime in which leptogenesis occurs, lepton flavours may
be fully distinguishable, partly distinguishable or indistinguishable [136–138]. Assuming
leptogenesis takes place at $T \sim M_i$, lepton flavours cannot be treated separately if the
concerned process occurs above a temperature $T \sim M_i > 10^{12}$ GeV. If the said temperature
is lower, two possibilities might arise. When $T \sim M_i < 10^9$ GeV, all three ($e, \mu, \tau$) flavours are
individually active and we need three CP asymmetry parameters $\varepsilon_i^e, \varepsilon_i^\mu, \varepsilon_i^\tau$ for each generation
of RH neutrinos. On the other hand when we have $10^9$ GeV $< T \sim M_i < 10^{12}$ GeV, only
the $\tau$-flavour can be identified while the $e$ and $\mu$ act indistinguishably. Here we need two
CP asymmetry parameters $\varepsilon_i^{(2)} = \varepsilon_i^e + \varepsilon_i^\mu$ and $\varepsilon_i^\tau$ for each of the RH neutrinos. As an aside,

\[ \text{It is to be noted that if the right handed neutrino mass matrix is taken to be diagonal then } V \text{ is a unit }
\]  
matrix and we would have $m_D' = m_D$ and $\mathcal{H}' = H$, where $H = m'_D m_D$. 

\[ -14 - \]
let us point out a simplification for unflavoured leptogenesis which is relevant for the regime $T \sim M_i > 10^{12} \text{ GeV}$. Summing over all $\alpha$,

$$\sum_{\alpha} \text{Im}\{ \mathcal{H}_{ji}(m_D)_{i\alpha} (m_D^\dagger)_{j\alpha}\} = \text{Im}\{ \mathcal{H}_{ji}\mathcal{H}_{ij}\} = \text{Im}\{ \mathcal{H}_{ji}\mathcal{H}_{ij}^\dagger\} = \text{Im}|\mathcal{H}_{ji}|^2 = 0,$$  
(4.10)

i.e. the second term in the r.h.s. of (4.8) vanishes. The flavour-summed CP asymmetry parameter is therefore given by the simplified expression

$$\varepsilon_i = \sum_{\alpha} \varepsilon_i^\alpha$$

$$= \frac{1}{4\pi v^2 \mathcal{H}_{ii}'} \sum_{j \neq i} \text{Im}\{ \mathcal{H}_{ij}^\prime \}^2 \left[ f(x_{ij}) + \frac{\sqrt{x_{ij}}(1-x_{ij})}{(1-x_{ij})^2 + \frac{\mathcal{H}_{ij}^\prime}{16\pi^2 v^2}} \right].$$
(4.11)

It is evident from the thorough discussion of different types of $Z_2^\mu \tau$ breaking schemes presented in section 3, that only two of those may be compatible with the constraints of the neutrino oscillation data. Therefore while performing the computations of leptogenesis, we should take into account only those symmetry breaking patterns which at least have the potential to satisfy oscillation data and in present work those two theoretically relevant options are Case I of both section 3.1 and section 3.2. For the Case I in section 3.1, the RH neutrino mass matrix is diagonal in the TBM limit. However, for the realistic scenario, i.e., in the broken TBM frame work, $M_R$ is off diagonal. On the other hand, for the Case I in section 3.2, $M_R$ is always diagonal. For the time being, let us leave the latter case for the numerical section and discuss here the former, i.e., Case I belonging to section 3.1. Since right handed neutrino mass matrix is nondiagonal in this case, at first we have to diagonalize the $M_R$ matrix and find out the corresponding diagonalizing matrix $V$ which would be used thereafter to rotate the Dirac matrix $m_D$. After a straight forward diagonalization of the $M_R$ matrix in (3.11), the eigenvalues come out to be

$$M_1 = \frac{y}{2} \left(-\epsilon' + \epsilon'_6 + 2\right),$$

$$M_2 = \frac{y}{4} \left(3\epsilon'_4 + 3\epsilon'_6 - \sqrt{7\epsilon'_4^2 - 10\epsilon'_6\epsilon'_4 + 7\epsilon'_6^2 + 4}\right),$$

$$M_3 = \frac{y}{4} \left(3\epsilon'_4 + 3\epsilon'_6 + \sqrt{7\epsilon'_4^2 - 10\epsilon'_6\epsilon'_4 + 7\epsilon'_6^2 + 4}\right).$$
(4.12)

It is clear from (4.12) that the RH neutrino masses are very close to each other, separated only by the breaking parameters. This opens up a possibility of resonant enhancement of the CP asymmetry parameter which may yield the required value of baryon asymmetry $Y_B$ at a very low mass scale. We have checked the condition for resonance very carefully and found that even for the lowest allowed (by oscillation data) value of the breaking parameters it is not possible the meet the resonant condition. This is since, given the neutrino oscillation data, the resonance condition in our model can be translated approximately to

$$\Delta \sim 10^{-15} \text{ M GeV}^{-1},$$
(4.13)

where $\Delta \sim (M_j - M_i)/M_i$ and $M$ is the mass scale of the RH neutrino while unperturbed. If one wants to achieve resonance, say at $M \sim 10^6 \text{ GeV}$, clearly, the scenario is inconsistent

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3Resonance in CP asymmetry parameters is achieved when $1 - x_{ij} \simeq \frac{\mathcal{H}_{ij}^\prime}{4\pi v^2}$. 

---
since in that case $\Delta \sim 10^{-9}$ while as we shall see in the numerical section, the l.h.s. of (4.13) is $\sim 10^{-1}$. Interestingly, in this way, we could also circumvent the effect of RH neutrino flavour oscillation [139] where the same resonance condition leads to an additional CP asymmetry produced by the RH neutrino flavour oscillation [140]. Nevertheless, since the RH neutrino masses are close to each other, we can not treat this scenario to be hierarchical where the asymmetries generated from the RH neutrinos of higher masses can be safely neglected [141]. Therefore we opt for the rigorous method of quasidegenerate leptogenesis where the contribution from all three right handed neutrinos are taken into account [135] and show how the produced asymmetry from each RH neutrino is affected by the other.

Since $M_R$ is a real matrix, the diagonalization matrix $V$ will also be a real. Thus $V$ is now an orthogonal matrix with its different elements in terms of the breaking parameters as

$$V_{11} = \sqrt{\frac{2}{3}},$$

$$V_{12} = \frac{\sqrt{\frac{1}{2}}(-3\epsilon'_4 + \epsilon'_6 + \epsilon'')}{\sqrt{35 (\epsilon'_4)^2 - (50\epsilon'_6 + 13\epsilon'') \epsilon'_4 + \epsilon'_6 (35\epsilon'_6 + 11\epsilon'')}},$$

$$V_{13} = \frac{-3\sqrt{2} \epsilon'_4 + \frac{1}{\sqrt{2}} \epsilon'_6 - \frac{1}{\sqrt{2}} \epsilon''}{\sqrt{35 (\epsilon'_4)^2 + (13\epsilon'' - 50\epsilon'_6) \epsilon'_4 + \epsilon'_6 (35\epsilon'_6 - 11\epsilon'')}},$$

$$V_{21} = -\frac{1}{\sqrt{6}},$$

$$V_{22} = \frac{-5\sqrt{2} \epsilon'_4 + \frac{5}{\sqrt{2}} \epsilon'_6 + \sqrt{2} \epsilon''}{\sqrt{35 (\epsilon'_4)^2 - (50\epsilon'_6 + 13\epsilon'') \epsilon'_4 + \epsilon'_6 (35\epsilon'_6 + 11\epsilon'')}},$$

$$V_{23} = \frac{-5\sqrt{2} \epsilon'_4 + \frac{5}{\sqrt{2}} \epsilon'_6 - \sqrt{2} \epsilon''}{\sqrt{35 (\epsilon'_4)^2 + (13\epsilon'' - 50\epsilon'_6) \epsilon'_4 + \epsilon'_6 (35\epsilon'_6 - 11\epsilon'')}},$$

$$V_{31} = \frac{1}{\sqrt{6}},$$

$$V_{32} = \frac{1}{\sqrt{2}} (\epsilon'_4 + 3\epsilon'_6) \sqrt{35 (\epsilon'_4)^2 - (50\epsilon'_6 + 13\epsilon'') \epsilon'_4 + \epsilon'_6 (35\epsilon'_6 + 11\epsilon'')},$$

$$V_{33} = \frac{1}{\sqrt{2}} (\epsilon'_4 + 3\epsilon'_6) \sqrt{35 (\epsilon'_4)^2 + (13\epsilon'' - 50\epsilon'_6) \epsilon'_4 + \epsilon'_6 (35\epsilon'_6 - 11\epsilon'')} \quad (4.14)$$

where

$$\epsilon'' = \sqrt{7 (\epsilon'_4)^2 - 10 \epsilon'_6 \epsilon'_4 + 7 (\epsilon'_6)^2}. \quad (4.15)$$

**Unflavoured CP asymmetry parameter.** The expression of unflavoured CP asymmetry parameter in (4.11) involves the matrix $H'$ which can further be written as

$$H' = m_D^\dagger m_D' = V^T m_D^\dagger m_D V^* = V^T m_D^\dagger m_D V. \quad (4.16)$$
Taking into account the explicit form of $m_D^0$ given in (3.10), different elements of the $m_D^0 \dagger m_D^0$ can be written as

\[
\begin{align*}
(m_D^0 \dagger m_D^0)_{11} &= 3a^2 + (b - c)^2 - 2\cos(\beta')a(b - c), \\
(m_D^0 \dagger m_D^0)_{12} &= 2\cos(\beta')a(b - c) - a^2, \\
(m_D^0 \dagger m_D^0)_{13} &= 2\cos(\beta')a(b - c) + a^2, \\
(m_D^0 \dagger m_D^0)_{22} &= a^2 + b^2 + c^2, \\
(m_D^0 \dagger m_D^0)_{23} &= a^2 + 2bc, \\
(m_D^0 \dagger m_D^0)_{33} &= a^2 + b^2 + c^2.
\end{align*}
\]

(4.17)

It is clear from the above set of equations that $m_D^0 \dagger m_D^0$ is completely real matrix which in turn dictates that $\mathcal{H}'$ is also a real matrix due to the real nature of the matrix $V$. Since the CP asymmetry parameter in (4.11) is proportional to $\text{Im}\{\mathcal{H}'_{ij}\}$, it can easily be inferred that generation of lepton asymmetry is not at all possible in the unflavoured regime. Now our task is to examine whether we can have nonvanishing values of flavour dependent CP asymmetry parameters so that we can get generate baryon asymmetry in the fully flavoured or partly flavoured regime.

**Flavour dependent CP asymmetry parameters.** The flavoured CP asymmetry parameters of (4.8) can be represented in a little bit simpler form as

\[
\varepsilon_i^\alpha = \frac{1}{4\pi v^2 \mathcal{H}'_{ii}} \sum_{j \neq i} \text{Im}\{\mathcal{H}'_{ij}(m_D^0 \dagger m_D^0)_{ia}(m_D^0)_{aj}\}g(x_{ij}) + \frac{1}{4\pi v^2 \mathcal{H}'_{ii}} \sum_{j \neq i} \text{Im}\{\mathcal{H}'_{ji}(m_D^0 \dagger m_D^0)_{ia}(m_D^0)_{aj}\}g'(x_{ij}),
\]

(4.18)

where $g(x_{ij}) = \left[f(x_{ij}) + \frac{\sqrt{x_{ij}(1-x_{ij})}}{(1-x_{ij})^{\frac{m_{ij}^2}{16\pi^2}}}\right]$ and $g'(x_{ij}) = \frac{(1-x_{ij})}{(1-x_{ij})^{\frac{m_{ij}^2}{16\pi^2}} + \frac{2}{16\pi^2}}$. The $\varepsilon_i^\alpha$ in (4.18) can further be simplified as

\[
\varepsilon_i^\alpha = \frac{1}{4\pi v^2 \mathcal{H}'_{ii}} \sum_{j \neq i} \text{Im}\{(m_D^0 \dagger m_D^0)_{ia}(m_D^0)_{aj}\} \left[\mathcal{H}'_{ij}g(x_{ij}) + \mathcal{H}'_{ji}g'(x_{ij})\right].
\]

(4.19)

Although $\mathcal{H}'$ matrix is a real matrix, $m_D^0 \dagger m_D^0$ matrix contains complex parameters. Since $\varepsilon_i^\alpha$ contains individual elements of $m_D$ matrix, we would have a nonzero imaginary part which leads to a nonvanishing flavoured CP asymmetry parameters and thus nonzero $Y_B$. The full functional forms of these flavoured CP asymmetry parameters ($\varepsilon_i^\alpha, \alpha = e, \mu, \tau$ and $i = 1, 2, 3$) in terms of parameters of $m_D^0$ matrix and the symmetry breaking parameters would be too cumbersome to present here. We calculate all nine of them and use in the Boltzmann equations suitably. Nevertheless, to realize the significance of the phase parameter ($\beta'$), it is useful to simplify further the expression of $\varepsilon_i^\alpha$. For this, let us focus on the first term (the second term would be treated in the same manner) in the r.h.s. of (4.18)

\[
(\varepsilon_i^\alpha)_1 = \frac{1}{4\pi v^2 \mathcal{H}'_{ii}} \sum_{j \neq i} \text{Im}\{\mathcal{H}'_{ij}(m_D^0 \dagger m_D^0)_{ia}(m_D^0)_{aj}\}g(x_{ij}).
\]

(4.20)
It is to be noted that the phase parameter $\beta'$ is contained in the unprimed matrices $(m_D^{\prime})$ and $\mathcal{H}$. The diagonalization matrix $V$ doesn’t depend on $\beta'$. Now $\mathcal{H}$ matrix possesses the phase in its off-diagonal elements in form of $\cos \beta'$. Therefore inversion of sign of $\beta'$ will not affect sign of $\mathcal{H}'_{ij}$. A closer inspection of the elements of the $m_D$ matrix reveals that $\text{Im}\{ (m_D^{\prime})_{ia} (m_D^{\prime})_{aj} \}$ (for $i \neq j$) is bound to be function of $\sin \beta'$ which will appear in the expression of CP asymmetry parameters as an overall multiplicative factor. Thus we can say that phase dependence of the flavoured CP asymmetry parameters is of the form: $\varepsilon_i^a \sim \sin \beta' f(\cos \beta')$. So $\varepsilon_i^a$ is an odd function of the phase $\beta'$, i.e $\varepsilon_i^a(-\beta) = -\varepsilon_i^a(\beta)$.

4.2 Boltzmann equations and baryon asymmetry in different mass regimes

For an evolution down to the electroweak scale, one needs to solve the corresponding Boltzmann Equations (BEs) for the number density $n_a$ of a particle type ‘a’ (in our context, either a right-chiral heavy neutrino $N_a$ or a left-chiral lepton doublet $L_a$). For this purpose it is convenient to define $\eta_a(z) = n_a(z)/n_{\gamma}(z)$ with $z = M_i/T$, $n_{\gamma}(z) = 2M_i^3/\pi^2 z^3$. We follow here the treatment given in ref. [135]. The equations involve decay transitions between $N_i$ and $Q/\nu$ as well as $L_\alpha \phi$ plus scattering transitions $Q u^C \leftrightarrow N_i L_\alpha$, $L_\alpha Q^C \leftrightarrow N_i u^C$, $L_\alpha \nu \leftrightarrow N_i Q$, $L_\alpha \phi \leftrightarrow N_i \nu_u$, $\phi^\dagger V_\mu \leftrightarrow N_i L_\alpha$, $L_\alpha V_\mu \leftrightarrow N_i \phi^\dagger$. Here $Q$ represents the left-chiral quark doublet with $Q^T = (u_L, d_L)$ and $V_\mu$ stands for either $B$ or $W_{1,2,3}$. The number density of a particle of species $a$ and mass $m_a$ with $g_a$ internal degrees of freedom is given by [142]

$$n_a(T) = \frac{g_a m_a^2 T}{2\pi^2} \frac{\varepsilon_a(T)/T}{K_2 \left( \frac{m_a}{T} \right)},$$

(4.21)

$K_2$ being the modified Bessel function of the second kind with order 2. The corresponding equilibrium density is given by

$$n_a^{eq}(T) = \frac{g_a m_a^2 T}{2\pi^2} \frac{\varepsilon_a(T)}{K_2 \left( \frac{m_a}{T} \right)}.$$

(4.22)

Stage is now set up for the usage of the Boltzmann evolution equations given in ref. [135] — generalized with flavour [143, 144]. In making this generalization, one comes across a subtlety: the active flavour in the mass regime (given by the leptogenesis scale $T \sim M_i$) under consideration may not be individually $e$, $\mu$ or $\tau$ but some combination thereof. So instead of $\alpha$ we use a general active flavour index $\lambda$ for the lepton asymmetry. Now we write the relevant BEs as

$$\frac{dn_{L_\lambda}}{dz} = \frac{z}{H(z = 1)} \left[ \frac{1}{\eta_{N_i}} - \sum_{\beta=e,\mu,\tau} \left( \Gamma_{\beta Di} + \Gamma_{Yukawa}^{\beta Si} + \Gamma_{Gauge}^{\beta Si} \right) \right],$$

$$\frac{dn_{L_\lambda}}{dz} = -\frac{z}{H(z = 1)} \left[ \sum_{i=1}^{3} \varepsilon_i^a \left( \frac{1}{\eta_{N_i}} - \sum_{\beta=e,\mu,\tau} \left( \Gamma_{Yukawa}^{\beta Si} + \Gamma_{Gauge}^{\beta Si} \right) \right) \right],$$

(4.23)

In each r.h.s. of (4.23), apart from the Hubble rate of expansion $H$ at the decay temperature, there are various transition widths $\Gamma$ which are linear combinations (normalized to the photon
density) of different CP conserving collision terms \( \gamma^X_Y \) for the transitions \( X \to Y \) and \( \bar{X} \to \bar{Y} \). Here \( \gamma^X_Y \) is defined as
\[
\gamma^X_Y = \gamma(X \to Y) + \gamma(\bar{X} \to \bar{Y})
\] (4.24)

with
\[
\gamma(X \to Y) = \int d\pi_X d\pi_Y (2\pi)^4 \delta^{(4)}(p_X - p_Y) e^{-\frac{\mu_X^2}{T}} |\mathcal{M}(X \to Y)|^2.
\] (4.25)

In (4.25) a short hand notation has been used for the phase space density of different CP conserving collision terms with averaged) over the internal degrees of freedom of the initial and final states. In addition, the squared matrix element in (4.25) is summed (not averaged) over the internal degrees of freedom of the initial and final states.

The transition widths \( \Gamma \) in (4.23) are given as follows:
\[
\Gamma^{\lambda D_i} = \frac{1}{n_\gamma} \gamma^{N_i}_{\lambda \phi^i},
\] (4.27)
\[
\Gamma^{\lambda S_i}_{\text{Yukawa}} = \frac{1}{n_\gamma} \left( \gamma^{N_i}_{Q\phi^C} + \gamma^{N_i}_{u\phi^C} + \gamma^{N_i}_{Q}\right),
\] (4.28)
\[
\Gamma^{\lambda S_i}_{\text{Gauge}} = \frac{1}{n_\gamma} \left( \gamma^{N_i}_{\lambda \phi^i} + \gamma^{N_i}_{\lambda \phi^i} + \gamma^{N_i}_{\lambda \phi^i}\right),
\] (4.29)
\[
\Gamma^{\lambda W_i}_{\text{Yukawa}} = \frac{2}{n_\gamma} \left( \gamma^{N_i}_{Q\phi^C} + \gamma^{N_i}_{u\phi^C} + \gamma^{N_i}_{Q\phi^C} + \frac{\eta_{N_i}}{2\eta_{N_i}} \gamma^{N_i}_{Q\phi^C}\right),
\] (4.30)
\[
\Gamma^{\lambda W_i}_{\text{Gauge}} = \frac{2}{n_\gamma} \left( \gamma^{N_i}_{\lambda \phi^i} + \gamma^{N_i}_{\lambda \phi^i} + \gamma^{N_i}_{\lambda \phi^i} + \frac{\eta_{N_i}}{2\eta_{N_i}} \gamma^{N_i}_{\lambda \phi^i}\right),
\] (4.31)
\[
\Gamma^{\lambda A L=2}_{\text{Yukawa}} = \frac{2}{n_\gamma} \sum_{\beta=e,\mu,\tau} \left( \gamma^{L_{\phi^t}}_{\lambda \phi^t} + 2\gamma^{L_{\phi^t}}_{\lambda \phi^t}\right).
\] (4.32)

The explicit expressions for \( \gamma \) and \( \gamma' \) have been considered here from the appendix B of ref. [135]. The subscripts \( D \), \( S \) and \( W \) stand for decay, scattering and washout respectively. We rewrite the Boltzmann equations in terms of \( Y_N(z) = \eta_{N_i}(z)s^{-1}\eta_{\gamma} \) and certain \( D \)-functions of \( z \) as given in the following.

Consider the first equation in (4.23) to start with. Its second r.h.s. term has been neglected for our assumed scenario leptogenesis due to quasi degenerate RH neutrinos. Unlike the pure resonant leptogenesis [135, 140], here both \( \eta^T_{\gamma} \) and \( \varepsilon^T_{\gamma} \) are each quite small and their product much smaller.\(^4\) Using some shorthand notation, as explained in eqs. (4.36)–(4.38)

\(^4\)In order of magnitude this product is \( 10^{-6} \times 10^{-5} \sim 10^{-11} \), as compared with the first term which is \( O(1) \).
below, we can now write
\[
\frac{dY_{N_i}(z)}{dz} = \{D_i(z) + D_i^{SY}(z) + D_i^{SG}(z)\}\{Y_{eq}^{N_i}(z) - Y_{N_i}(z)\},
\] (4.35)
where
\[
D_i(z) = \sum_{\beta=e,\mu,\tau} D^\beta_i(z) = \sum_{\beta=e,\mu,\tau} \frac{z}{H(z = 1)} \frac{\Gamma^\beta D_i}{\eta_{N_i}(z)},
\] (4.36)
\[
D_i^{SY}(z) = \sum_{\beta=e,\mu,\tau} \frac{z}{H(z = 1)} \frac{\Gamma^{\beta \text{Yukawa}}}{\eta_{N_i}(z)},
\] (4.37)
\[
D_i^{SG}(z) = \sum_{\beta=e,\mu,\tau} \frac{z}{H(z = 1)} \frac{\Gamma^{\beta \text{Gauge}}}{\eta_{N_i}(z)}.
\] (4.38)

Turning to the second equation in (4.23) and neglecting the $\Delta L = 2$ scattering terms, we rewrite it as
\[
\frac{d\eta_{\lambda L}(z)}{dz} = -\frac{3}{4} \sum_{i=1}^{3} \varepsilon^\lambda_i \{D_i(z) + D_i^{SY}(z) + D_i^{SG}(z)\}\{\eta_{eq}^N(z_i) - \eta_{N_i}(z)\}
- \frac{1}{4} \eta_{\lambda L}^r \sum_{i=1}^{3} \left\{ \frac{1}{2} D_i^\lambda(z) z^2 K_2(z) + D_i^{\lambda \text{YW}}(z) + D_i^{\lambda \text{GW}}(z) \right\}
\] (4.39)
with
\[
D_i^{\lambda \text{YW}}(z) = \sum_{\beta=e,\mu,\tau} \frac{z}{H(z = 1)} \frac{\Gamma^{\text{\beta Wi \text{Yukawa}}}}{\eta_{N_i}(z)},
\] (4.40)
\[
D_i^{\lambda \text{GW}}(z) = \sum_{\beta=e,\mu,\tau} \frac{z}{H(z = 1)} \frac{\Gamma^{\text{\beta Wi \text{Gauge}}}}{\eta_{N_i}(z)}.
\] (4.41)

A major simplification (4.39) occurs in our model when one considers a sum over the active flavour $\lambda$ since $\sum_{\lambda} \varepsilon^\lambda_i = 0$ and only the second r.h.s. term contributes to the evolution of $\Sigma_{\lambda} \eta_{\lambda}$. Then the solution of the equation becomes [145]
\[
\Sigma_{\lambda} \eta_{\lambda L}(z) = \Sigma_{\lambda} \eta_{\lambda L}(z = 0) \exp \left[ -\frac{1}{4} \int_0^z W(z')dz' \right],
\] (4.42)
where
\[
W(z) = \frac{1}{2} \sum_{\lambda} \left[ D^\lambda(z) z^2 K_2(z) + D_i^{\lambda \text{YW}}(z) + D_i^{\lambda \text{GW}}(z) \right].
\] (4.43)
Thus any lepton asymmetry cannot be dynamically produced unless we assume a pre-existing lepton asymmetry at $z \to 0$.

To calculate the baryon asymmetry from the lepton asymmetry for the flavoured regimes, it is first convenient to define the variable
\[
Y_{\lambda} = \frac{n^\lambda_L - n^\lambda_{\bar{L}}}{s} = \frac{n^\gamma}{s} \eta_{\lambda L}^r,
\] (4.44)
i.e. the leptonic minus the antileptonic number density of the active flavour $\lambda$ normalized to the entropy density. The factor $s/\eta_\gamma$ is equal to $1.8g_{*s}$ and is a function of temperature.
For $T > 10^2$ GeV, $g_{st}$ remains nearly constant with temperature at a value (with three right chiral neutrinos) of about 112 [134]. sphaleronic processes convert the lepton asymmetry created by the decay of the right chiral heavy neutrinos into a baryon asymmetry by keeping

$$\Delta_\lambda = \frac{1}{2} B - L^\lambda$$

conserved. $Y_{\Delta_\lambda}$, defined as $s^{-1} \{1/3(n_B - n_B) - (n_L - n_L)\}$, and $Y_\lambda$ are linearly related, as under

$$Y_\lambda = \sum_\rho A_{\lambda\rho} Y_{\Delta_\rho},$$

(4.45)

where $A_{\lambda\rho}$ are a set of numbers whose values depend on certain chemical equilibrium conditions for different mass regimes. These are discussed in brief later in the section. Meanwhile, we can rewrite (4.39) as

$$\frac{dY_{\Delta_\lambda}}{dz} = \sum_{i=1}^3 \left[ \varepsilon_i^\lambda \right] \left[ D_i(z) + D_i^{SY}(z) + D_i^{SG}(z) \right] \{Y_{N_i}^{eq}(z) - Y_{N_i}(z)\}
+ \frac{1}{4} \sum_\rho A_{\lambda\rho} Y_{\Delta_\rho} \sum_{i=1}^3 \left\{ \frac{1}{2} D_i^\lambda(z) z^2 K_2(z) + D_i^{\lambda YW}(z) + D_i^{\lambda GW}(z) \right\}. \quad (4.46)$$

We need to solve (4.35) and (4.46) and evolve $Y_{N_i}$ as well as $Y_{\Delta_\lambda}$ up to a value of $z$ where the quantities $Y_{\Delta_\lambda}$ saturate to constant values. The final baryon asymmetry $Y_B$ is obtained [136–138] linearly in terms $Y_{\Delta_\lambda}$, the coefficient depending on the mass regime in which $M_i$ is located, as explained in what follows. Let us then discuss three mass regimes separately.

**$M_i > 10^{12}$ GeV (One flavour regime).** In this case all the lepton flavours are out of equilibrium and thus act indistinguishably leading to a single CP asymmetry parameter $\varepsilon_i = \sum_\lambda \varepsilon_i^\lambda$. As mentioned earlier, $\sum_\lambda \eta_L^\lambda = 0$, therefore $Y_B = 0$ and no baryogenesis is possible in this mass regime.

**$10^9$ GeV < $M_i < 10^{12}$ GeV (Two flavour regime).** In this regime the $\tau$ flavour is in equilibrium and hence distinguishable but $\epsilon$ and $\mu$ flavours cannot be distinguished since they are not in equilibrium. It is therefore convenient to define two sets of CP asymmetry parameters $\varepsilon^\tau$ and $\varepsilon^{(2)} = \varepsilon^\epsilon + \varepsilon^\mu$, the index $\lambda$ takes the values $\tau$ and 2 ($\tau_\perp$, figure 1). The Boltzmann equations lead to the two asymmetries $Y_{\Delta_\tau}$ and $Y_{\Delta_2}$. They are related to $Y_\tau$ and $Y_2 = Y_\epsilon + Y_\mu$ by a $2 \times 2$ flavour coupling A-matrix given by [136–138]

$$A = \begin{pmatrix} -417/589 & 120/589 \\ 30/589 & -390/589 \end{pmatrix}. \quad (4.47)$$

The final baryon asymmetry $Y_B$ is then calculated as

$$Y_B = \frac{28}{79} (Y_{\Delta_2} + Y_{\Delta_\tau}). \quad (4.48)$$

**$M_i < 10^9$ GeV (Three flavour regime).** Here muon flavour comes to an equilibrium thus three lepton flavours are separately distinguishable. Now the flavour index $\lambda$ can just be $\lambda = \epsilon$ or $\mu$ or $\tau$. In this case the $3 \times 3$ A matrix, whose $\lambda, \rho$ element relates $Y_\lambda$ and $Y_{\Delta_\rho}$, is given by [136–138]

$$A = \begin{pmatrix} -151/179 & 20/179 & 20/179 \\ 25/358 & -344/537 & 14/537 \\ 25/358 & 14/537 & -344/537 \end{pmatrix}. \quad (4.49)$$
Now the final baryon asymmetry normalized to the entropy density, is given by

$$Y_B = \frac{28}{79} (Y_{\Delta_e} + Y_{\Delta_\mu} + Y_{\Delta_\tau}).$$

(4.50)

5 Numerical discussion

Before going into detail of the numerical analysis, let us address an important issue first. Unlike the other literatures which also deal with perturbation to the effective light neutrino mass matrix $M_\nu$, here we use an exact diagonalization [146] procedure for the effective $M_\nu$. This in turn allows us to take large\textsuperscript{5} values of the perturbation parameters (this is not allowed in the perturbative diagonalization procedure). Obviously in our numerical analysis we do not go beyond $|\epsilon'| = 1$ which implies a full breaking of the leading order symmetry. Here the numerical analysis is basically a two step process in which at first we constrain the primed parameters (e.g. eq. (3.13)) by the $3\sigma$ experimental limits on the neutrino oscillation observables and then explore the related low energy phenomenology.

As it is mentioned earlier that we should carry out the numerical analysis only for the theoretically viable cases, we proceed to constrain the Lagrangian parameters with the

\textsuperscript{5}Here by ‘large’ we mean a number whose square order can not be neglected.
3σ experimental bounds on the oscillation data for $M_{\nu_1}^{GTBM}$ in (3.7) and $M_{\nu_1}^{GTBM}$ in (3.25). Despite the fact that both the mass orderings for the matrix $M_{\nu_1}^{GTBM}$ in (3.7) and only the normal mass ordering of $M_{\nu_1}^{GTBM}$ in (3.25) are theoretically allowed, given the present global fit oscillation constraints [111], the upper bound 0.17 eV [129, 130] on the sum of the light neutrino masses $\Sigma m_i$ and non zero values of both the breaking parameters, the latter case is disfavoured along with the inverted ordering for the former. Therefore the detailed discussions on numerical analysis is based entirely on the phenomenological consequences and outcomes for the normal mass ordering case of $M_{\nu_1}^{GTBM}$.

We then turn to the computation of baryogenesis via leptogenesis. Note that the calculation of the CP asymmetry parameters as well as the other involved decay and scattering process require full information of the Lagrangian elements, i.e., the parameters of $m_D$ as well as $M_R$. For this purpose, we first fix the elements of $m'_D$ that correspond to the lowest values of the breaking parameters $\epsilon_{1,6}'$ consistent with the oscillation data. Then varying the unperturbed values of RH neutrino masses (here the relevant unperturbed parameter is $y$ only) we generate the parameters of $m_D$ using the relation $m_D = m'_D \sqrt{y}$. Thus for the fixed values of the primed parameters and the corresponding breaking parameters, we are able to calculate $Y_B$ for each of the chosen values of unperturbed RH neutrino masses and hence the elements of $m_D$. Since $Y_B$ has an upper and a lower limit we end up with an upper and a lower limit on the RH neutrino masses also. Again, a subtle point should be noted that since there are large number values for $|\epsilon_i| < 1$ consistent with the oscillation data, we are obliged to take the simultaneous minimum values of the breaking parameters for the computation of baryon asymmetry. However, we also discuss how the large values of the breaking parameters could affect the final asymmetry. A detail numerical discussion is now given in what follows.

5.1 Fit to neutrino oscillation data and predictions on low energy neutrino parameters

For numerical computation, we use the explicit analytic formulae for the light neutrino masses and mixing angles originally obtained in ref. [146] for a general $3 \times 3$ complex symmetric matrix. Thus after knowing the neutrino mass matrix elements $(M_\nu)_{ij}$ in terms of the model parameters $(a', b', c', \epsilon_{1}', \epsilon_{6}')$ we insert them in to the generalised formula for masses and mixing angles obtained in ref. [146] (which in principle enable us to express those oscillation observables in terms of the model parameters) and do a random scanning of the parameters using the experimental constraints on masses and mixing angles. We do not present here the explicit forms of the equations since they are quite lengthy due the complicated structure of the mass matrix as shown in appendix A.1. In any case, as we said, given the general forms of those equations in ref. [146], one has just to insert the mass matrix parameters in those equations and do a random scanning of those parameters. In our case, we vary the model parameters $(a', b', c')$ within a range $\sim 0.01 \rightarrow 0.1$, the phase parameter $\beta$ within the interval as $-\pi < \beta' < \pi$ and the breaking parameters in the range $-1 < \epsilon_{1,6}' < 1$. Then all the parameters are constrained using the $3\sigma$ global fit of neutrino data and $\sum m_i$. As shown in the upper panel of figure 2, although $a', b'$ and $c'$ are allowed almost throughout the given range ($0.01 \rightarrow 0.1$), their all possible combinations in the given range are not allowed.\footnote{Notice that all the plots in figure 2 and figure 3 are two dimensional projections of the six dimensional coupled parameter space allowed by the oscillation data. It is difficult to infer an one to one analytic correlation due to the random shapes of the projections. However, the narrow disallowed strip in figure 3 could easily be understood, since that corresponds to $\epsilon_{1}' \approx \epsilon_{6}'$ which means, we essentially have one independent breaking parameter and one cannot fit all the oscillation constraints with one breaking parameter in this model.}
The phase $\beta'$ remains unconstrained, i.e. all possible values in the interval ($-\pi \to \pi$) are allowed. The breaking parameters get significant restriction which is depicted in figure 3. One crucial observation regarding the allowed parameter space should be mentioned here. The constrained parameter space is totally symmetric with respect to the sign of phase $\beta'$, i.e., in other words, if the constrained parameter space contains a certain set of points $(a', b', c', \epsilon'_4, \epsilon'_6, \beta')$ then the set $(a', b', c', \epsilon'_4, \epsilon'_6, -\beta')$ must belong to the same constrained parameter space. Given the constraints from the neutrino oscillation global fit data, we find it very difficult to fit maximal or near maximal values of $\theta_{23}$ with small breaking parameters.

For example, to fit $\theta_{23}$ to 48°, one needs 54% and 38% breaking in $\epsilon'_4$ and $\epsilon'_6$ for $\beta' = \pm 104^\circ$. On the other hand a maximal value of $\theta_{23}$ requires 55% and 43% breaking on the same breaking parameters for $\beta' = \pm 90^\circ$. However, if we restrict ourselves to consider breaking in one of the parameters up to 25% while keeping the other more than 40% but less than 50%, we can fit the value of $\theta_{23}$ between, e.g., $49.4^\circ - 53^\circ$ (lower panel, figure 2). For example, the most simultaneous minimal values of $\epsilon'_4$ and $\epsilon'_6$ correspond to 16% and 48% breaking in the respective parameters $(\epsilon'_4, \epsilon'_6)$. With this choice of values we can fit $\theta_{23}$ to a value $\sim 53^\circ$. We have used these minimal values of the breaking parameters in the leptogenesis calculation also. Nevertheless, as one can see, to fit $\theta_{23}$ to its maximality or near maximality, this spatial case of TM1 mixing under consideration requires large breaking. On the other hand, a sizeable departure from maximality in the second octant or in the first octant, could be fitted better with relatively small breaking parameters. The matrix $M_{\nu_1}^{GTBM}$ also possesses testable prediction on the neutrinoless double beta decay parameter.
Figure 3. Constrained values of breaking parameters. (the point indicated by the arrow is the lowest allowed pair of breaking parameters).

Figure 4. Plot in the left side: variation of $\delta$ with $\theta_{23}$ for different values of $\theta_{13}$. Plot in the right side: variation of $\delta$ with $\theta_{13}$ for different values of $\theta_{23}$ where the green band represents the latest $3\sigma$ range for $\theta_{13}$.

$|M_{ee}|$ (here $(M^{TBM}_{\nu 1})_{11}$) 3 meV-35 meV. Significant upper limits on $|M_{ee}|$ are available from ongoing search experiments for $\beta\beta$ decay. KamLAND-Zen [147] and EXO [148] had earlier constrained this value to be < 0.35 eV. Nevertheless, the most impressive upper bound till date is provided by GERDA phase-II data [149]: $|M_{ee}| < 0.098$ eV. Though the aforementioned experiments cannot test this model, predictions of our model could be probed by the combined GERDA + MAJORANA experiments [150]. The sensitivity reach of other promising experiments such as LEGEND-200 (40 meV), LEGEND-1K (17 meV) and nEXO (9 meV) [151] are also exciting to probe our predictions. One of the significant result of the matrix $M^{G^1TBM}_{\nu 1}$ is its prediction on the Dirac CP violating phase $\delta$. Since the $G^1TBM$ symmetry fixes the first column of $U_{PMNS}$ to the first column of $U_{TBM}$ (cf. eq. (2.19)), using the equality $|U_{\mu 1}^{TBM}| = |U_{\tau 1}^{TBM}|$ and the relation in (3.9) one can calculate

$$\cos \delta = \frac{(1 - 5 \sin \theta_{13}^2) (2 \sin \theta_{23}^2 - 1)}{4 \sqrt{2} \sin \theta_{13} \sin \theta_{23} \sqrt{(1 - 3 \sin \theta_{13}^2) (1 - \sin \theta_{23}^2)}}$$

(5.1)

This is clear from (5.1) that the indicated maximality in the Dirac CP phase from T2K [108] would arise for a maximal atmospheric mixing. One can also track $\delta$ for nonmaximal values of $\theta_{23}$ (this has recently been hinted by NO$\nu$A at $2.6\sigma$ [112]) as shown in the figure 4. However, please note that, this prediction comes from the residual unbroken $Z_2$ symmetry thus this is also present in a pure TM1 mixing. But, as we indicate, in our model, for small values of
breaking parameters, it is not possible to reproduce the entire $3\sigma$ range of $\theta_{23}$. In left panel of figure 5, we present a probability distribution of $\delta$ allowing one the breaking parameters to vary up to $-0.5$ while the other one to $-0.25$. In this case the most probable value of $\delta$, say $288^\circ$ is disfavoured at $1.25\sigma$ by the present best fit of $\delta$ (NuFIT, 2018). In right panel, the distribution for $\delta$ is presented for the most simultaneous minimal values of $\epsilon_4, \epsilon_6$. Here the most probable value $\sim 292.5^\circ$ is disfavoured at $1.38\sigma$ (NuFIT, 2018).

**5.2 Numerical results for baryogenesis via leptogenesis**

Now we turn to the calculations related to baryogenesis via leptogenesis. The main ingredients to evaluate baryon asymmetry are the flavoured CP asymmetry parameters and decay/scattering terms that appear in the relevant Boltzmann equations. Computation of these quantities require explicit values of the unprimed parameters of $m_D$ and the mass scale of $M_R$. Note that only the primed parameters $(a', b', c', \beta')$ along with two breaking parameters $\epsilon_4', \epsilon_6'$ have been constrained by the oscillation data. Therefore to get the unprimed parameters $a, b, c$ from the primed ones, we need to vary the mass scale $y$ which is a free parameter. Since there are huge number of sets of primed parameters that are consistent with the $3\sigma$ global fit constraints, it is therefore impractical to numerically solve the BEs for each of the sets. For this, we take a fixed set of primed parameters and vary $y$ through a wide range of masses form $10^5\text{ GeV}$ to $10^{12}\text{ GeV}$ to study the phenomena baryogenesis via leptogenesis for each of the mass regimes. Question might arise, which set of the primed parameters should be taken into account for the computation related to baryogenesis? In principle each of the data set for the primed parameters are allowed. Nevertheless, we choose that set of the primed elements which corresponds to the minimum values for the breaking parameters required to fit the oscillation data. In other words, we have readily opted for the minimal symmetry breaking scenario (as already pointed out, the values are $\epsilon_4' = -0.16$ and $\epsilon_6' = -0.48$) to compute the baryon asymmetry in our model. The set of primed parameters

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**Figure 5.** Left: probability distribution of the Dirac CP phase $\delta$ for normal mass ordering allowing the breaking parameters up to $\pm 0.5$. Probability of most probable value of $\delta \sim 288^\circ$ is found upon a numerical integration: $\int_{288}^{288.5} PDF(\delta) \, d\delta = 0.025$. Most probable value $\sim 288^\circ$, is disfavoured at $1.25\sigma$ (NuFIT, 2018). Right: probability distribution of the Dirac CP phase $\delta$ for normal mass ordering with most simultaneous values of the breaking parameters. Probability of most probable value of $\delta \sim 292.5^\circ$ is found upon a numerical integration: $\int_{295}^{295.5} PDF(\delta) \, d\delta = 0.142$. Most probable value $\sim 292.5^\circ$, is disfavoured at $1.38\sigma$ (NuFIT, 2018).
are displayed in table 1. As explained in the theoretical section, the unflavoured leptogenesis scenario ($M_i > 10^{12}$ GeV) is disfavored for our scheme. We therefore present our numerical results for the other two regimes in what follows.

$\tau$-flavoured regime ($10^9$ GeV $< M_i < 10^{12}$ GeV). As explained in the previous section, in this regime the $\tau$ flavour is in equilibrium and thus it has a separate identity but $e$ and $\mu$ are indistinguishable. So practically here we have two lepton flavours ($e + \mu$) (denoted by 2 or $\tau_\nu$) and $\tau$ and correspondingly we have CP asymmetry parameters $\varepsilon_i^\tau (= \varepsilon_i^e + \varepsilon_i^\mu)$ and $\varepsilon_i^\tau$. In the flavoured Boltzmann equations (4.46), lepton flavour index $\lambda$ can take only two values $e + \mu = 2$ and $\tau$. Thus we get two equations involving the differentials of flavoured asymmetries $Y_{\Delta_2}$ and $Y_{\Delta_\tau}$, which have to be solved simultaneously (using $2 \times 2$ A matrix) to get the values of those asymmetries at very low temperature or equivalently at fairly large value of $z(= y/T)$ where these asymmetries get frozen. Those final values of asymmetries are then added up and multiplied by a suitable Sphaleronic conversion factor (cf. (4.48)) to arrive at the observed range of $Y_B$.

Now for the set of the primed parameters given in table 1 we generate the unprimed parameters by varying the mass scale parameter $y$ over the entire range $10^9$ GeV to $10^{12}$ GeV. For every value of $y$ within this range $Y_B$ indeed freezes to a positive value at high $z$, but the correct order of $Y_B$ ($\sim 8 \times 10^{-11}$) is achieved when $y \sim 10^{11}$ GeV. We present only few such values of $y$ and corresponding $Y_B$ in the table 2 for which $Y_B$ is mostly within the experimentally observed range ($8.55 < Y_B \times 10^{11} < 8.77$).

Among all these values we choose $y = 4 \times 10^{11}$ GeV and show the variation of $Y_{\Delta}$ asymmetries and finally $Y_B$ with $z$ in figure 6. It can be understood from table 2 that the value of final baryon asymmetry parameter more or less increases linearly with the mass scale parameter $y$. Thus it is clear that for the observed range of $Y_B$, we should have a lower and an upper bound on $y$. The figure in the right side in the lower panel of figure 6 represents the variation of $Y_B$ with $y$. Two straight lines parallel to the abscissa have been drawn respectively at $Y_B = 8.55 \times 10^{-11}$ and $Y_B = 8.77 \times 10^{-11}$ corresponding to the upper and lower bounds on the observed $Y_B$. The values of $y$ where these two straight lines touches the curve give the highest and lowest allowed value for the mass scale parameter $y$. These values are found to be $y_{low} = 3.93 \times 10^{11}$ GeV and $y_{high} = 4.03 \times 10^{11}$ GeV.

Fully flavoured regime ($M_i < 10^9$ GeV). In this case all three lepton flavours can be distinguished from one another and consequently there are 9 different CP asymmetry parameters ($\varepsilon_i^\alpha, \alpha = e, \mu, \tau$ and $i = 1, 2, 3$) which have to be inserted in suitable places of fully flavour dependent Boltzmann equations (cf. (4.46)). These Boltzmann equations are then solved to obtain the flavoured asymmetry parameters $Y_{\Delta_\alpha}$ which are required to obtain
the final asymmetry parameter $Y_B$. As expected, the CP asymmetry parameters and the final baryon asymmetry parameter are found to be significantly less for the lower masses of right handed neutrinos ($10^7 - 10^8$) GeV. So we try with the highest value of the right handed neutrino mass ($9 \times 10^8$) GeV allowed in this regime. With $y = 9 \times 10^8$ GeV and primed set of parameters as given in table 1, we calculate CP asymmetry and thereafter solving the full set of coupled Boltzmann equations, we compute $Y_B$. It is found that final value of $Y_B$ at high $z$ attains a negative value. It has already been made clear in the second paragraph of numerical discussion that we have a similar set of points (table 1) with every primed parameters unaltered except $\beta' \rightarrow -\beta'$. Following the discussion of the last paragraph in section 4.1, it is easy to understand that the sign of the CP asymmetries will be reversed while they are computed with $-\beta'$ instead of $\beta'$. Therefore as a result the parameter set of table 1 with $\beta' = 98^\circ$ yields a positive value of baryon asymmetry parameter at high $z$, but still it is one order lower than the experimentally observed value of $Y_B$. To be precise, the value of $Y_B$ (at $z \geq 20$) for $y = 9 \times 10^8$ is $Y_B \sim 6.5 \times 10^{-12}$.

**Few remarks on the effect of the two heavier neutrinos ($N_{2,3}$) on the final baryon asymmetry.** As already mentioned in section 4.1, the resonance enhancement and heavy neutrino flavour oscillation are not significant in our scenario. However, due to the small mass splitting between the RH neutrinos, it is expected that dynamics of the heavy neutrinos are
not decoupled since washout due to a particular species of RH neutrino affects the production of the asymmetry due the lighter RH neutrinos, also the asymmetry produced by a heavier one is not fully washed out by the lighter one. This is why we have solved the network of Boltzmann equations where ‘all production’ is affected by ‘all washout’ [135]. This could qualitatively be understood by a simple two RH neutrino scenario considering the simplest form of the Boltzmann equations where only the decays and inverse decays are involved (however for a realistic three RH neutrino scenario, where all the other effects, e.g., effects of scattering, charged lepton flavour effect, flavour coupling etc. are involved, the qualitative picture does not change). In this simplest scenario the solution for the lepton asymmetry $Y_L$ is given by

$$Y_L = -\sum_i \varepsilon_i \kappa_i,$$  \hfill (5.2)

where $\kappa_i$ is the efficiency of production of lepton asymmetry due to ‘$i$’th RH neutrino. An explicit analytical expression for $\kappa_i$ is given by

$$\kappa_i = -\int_0^\infty \frac{dY_i}{dz} e^{-\sum_j \int_0^\infty WID_j(z')dz'} dz,$$  \hfill (5.3)

where ‘WID’ means the inverse decay and has the standard expression [135] in the hot early universe. If the RH neutrinos are strongly hierarchical, two standard expressions for the efficiency factors are given by [145]

$$\kappa_1^f(K_{N_1}) \equiv \kappa_1(K_{N_1}) = \frac{2}{K_{N_1}z_B} \left( 1 - e^{-\frac{K_{N_1}z_B}{2}} \right), \quad \kappa_2^f(K_{N_2}) = \kappa_2(K_{N_2}) e^{-(3\pi/8)K_{N_1}},$$ \hfill (5.4)

where

$$z_B = 2 + 4K_{N_1}^{0.13}e^{2.5K_{N_1}}, \quad K_{N_i} = \frac{|(m_D)_{ii}|^2}{M_i m_*}$$ \hfill (5.5)

with $m_*$ as an equilibrium neutrino mass $\simeq 10^{-3}$ eV. Our task is to show, given our model, whether a numerical integration of (5.3) is consistent with (5.4) or not. If these two equations match, then contribution from the heavier neutrinos are irrelevant, i.e, we are in standard $N_1$ dominated scenario. On the contrary, if they are inconsistent, then we can conclude that contributions from the heavier neutrinos are not negligible.

Let us choose the $\tau_\perp$ or the ‘2’ flavour for a specific example and denote the decay parameters as $K_{N_1}^2 \equiv K_{N_1}$ and $K_{N_2}^2 \equiv K_{N_2}$ for $N_1$ and $N_2$ respectively. It is clear from the figure on the r.h.s. (top) of figure 7, that the efficiency factor of the standard $N_1$ dominated scenario and our model do not match at all. In fact, the efficiency factor $\kappa_1$ decreases as we consider smaller breaking of $\mathbb{Z}_2^{\mu \tau}$. This is simply due to the fact, that as the $\mathbb{Z}_2^{\mu \tau}$ breaking parameters which are also related to the mass splitting of the RH neutrinos, become smaller, in addition to the $N_1$-washout, the washout by $N_2$ also affects the asymmetry production due to $N_1$ decays (In a typical $N_1$ dominated scenario, $N_2$ inverse decay goes out of equilibrium before the $N_1$ decay or inverse decay reaches equilibrium [153]). The blue line represents the $\kappa_1$ for the minimal set of breaking parameters $\epsilon_4 = -0.16$ and $\epsilon_6 = -0.48$ which corresponds to a mass splitting $\Delta = (M_2 - M_1)/M_1 = 0.18$ (cf eq. (4.12)) where we allow $K_{N_1}$ to vary within the obtained range $\sim 3 - 10$ and take $K_{N_2} = 14$. The red dot represents a particular set $(K_{N_1} = 3.89, \quad K_{N_2} = 14)$ which corresponds to the earlier mentioned simultaneous minimal pair of breaking parameters. The green line corresponds to $\Delta = 1$. As one can see, starting from our scenario which corresponds to small breaking parameters, as one approaches to a
Figure 7. Top left: allowed values of the decay parameters. The red point represents value of the decay parameters which correspond to the minimal pair of breaking parameters. Top right. A comparison between the efficiency factor $\kappa_1$ due to the standard $N_1$ dominated scenario and in our model. Bottom: a comparison between the efficiency factor $\kappa_2$ due to the standard $N_1$ dominated scenario and in our model.

pure TM1 mixing which requires complete breaking of the $\mu\tau$ symmetry and hence large breaking parameters, the effect of the next to the lightest of the heavy neutrinos decreases so that the efficiency factor $\kappa_1$ tends to match with its standard expression in a pure $N_1$ dominated or strongly hierarchical case. On the other hand, as one can see from the figure at the bottom in figure 7, for small values of the breaking parameters, the efficiency factor $\kappa_2$ escapes from the exponential washout (cf. eq. (5.4)) due to $N_1$ and increases from its standard value $\kappa_2^f$, thus leaves a non-negligible contribution to the final asymmetry.

5.3 Prediction of flux ratios at neutrino telescopes

Recently IceCube [115–119] has discovered long expected Ultra High Energy (UHE) neutrinos events and thus opened a new era in the neutrino astronomy. IceCube has reported 82 high-energy starting events (HESE) (Including track +shower) which constitute more than $7\sigma$ excess over the atmospheric background and thus points towards an extraterrestrial origin of the UHE neutrinos (for a latest updated result, please see [154]). Also, no significant spatial clustering has been found [155] and the recent data seems to be consistent with isotropic neutrino flux from uniformly distributed point sources and points towards extra galactic nature of the observed events. Nevertheless, the origin of these UHE neutrinos still remains
unknown. Although the HESE events are not consistent\(^7\) with the standard astrophysical 'one component' unbroken isotropic power-law spectrum

\[ \Phi(E_\nu) = \Phi_0 \left( \frac{E_\nu}{100 \text{ TeV}} \right)^{-\gamma} \]  

(5.6)

with \( \gamma \simeq 2 \) (much harder spectrum than the HESE best fit) and also suffer constraints from multi-messenger gamma-ray observation [156], 'two component' explanation of the observed neutrino flux from purely astrophysical sources is still a plausible scenario [125]. Thus with enhanced statistics at the neutrino telescopes and future determination of the flavour composition of UHE neutrinos at the earth would pin point the viability of the astrophysical sources as the origin of the UHE neutrinos. In our model, without going into any fit to the present data, we predict the flavour flux ratios at the earth, assuming the conventional \( pp \) and \( \gamma p \) sources. The dominant source of ultra high energy cosmic neutrinos are \( pp \) (hadronic) collisions in cosmic ray accelerators such as gamma-ray bursts, active galactic nuclei and blazars [157, 158]. In \( pp \) collisions, protons of TeV–PeV range produce neutrinos via the processes \( \pi^+ \rightarrow \mu^+\nu_\mu, \pi^- \rightarrow \mu^-\bar{\nu}_\mu, \mu^+ \rightarrow e^+\nu_e\bar{\nu}_\mu \) and \( \mu^- \rightarrow e^-\bar{\nu}_e\nu_\mu \). Therefore, the ratio of the normalized flux distributions over flavour is

\[ \phi^S_{\nu_e} : \phi^S_{\nu_\mu} : \phi^S_{\nu_\tau} = \phi_0 \left\{ \frac{1}{6} : \frac{1}{3} : \frac{1}{3} : 0 : 0 \right\}, \]  

(5.7)

where the superscript \( S \) denotes 'source' and \( \phi_0 \) denotes the overall flux normalization. For \( \gamma p \) collisions, one has either \( \gamma p \rightarrow X\pi^\pm \) leading to the same flux ratios in eq. (5.7) or the resonant production \( \gamma p \rightarrow \Delta^+ \rightarrow \pi^+n \) and \( \pi^+ \rightarrow \mu^+\nu_\mu, \mu^+ \rightarrow e^+\nu_e\bar{\nu}_\mu \). corresponding normalized flux distributions over flavour

\[ \phi^S_{\nu_e} : \phi^S_{\nu_\mu} : \phi^S_{\nu_\tau} = \phi_0 \left\{ \frac{1}{3} : \frac{2}{3} : 0 \right\}. \]  

(5.8)

In either case, since the Icecube does not distinguish between neutrino and antineutrinos (other than the Glashow resonance: \( \bar{\nu}_e e^- \rightarrow W^- \) at \( E_\nu \simeq 6.3 \) PeV) we take \( \phi^S_l = \phi^S_{\nu_l} + \phi^S_{\bar{\nu}_l} \) with \( l = e, \mu, \tau \) as

\[ \phi^S = \phi^S_{\nu_l} + \phi^S_{\bar{\nu}_l} = \phi_0 \left\{ \frac{1}{3} : \frac{2}{3} : 0 \right\}. \]  

(5.9)

Since the source-to-telescope distance is much greater than the oscillation length, the flavour oscillation probability averaged over many oscillations is given by

\[ P(\nu_m \rightarrow \nu_l) = P(\bar{\nu}_m \rightarrow \bar{\nu}_l) \approx \sum_i |U_{li}|^2 |U_{mi}|^2. \]  

(5.10)

Thus the flux reaching the telescope is given by

\[ \Phi^T_l = \sum_i \sum_m |U_{mi}|^2 |U_{mi}|^2 |U_{ml}|^2 = \frac{\phi_0}{3} \sum_i |U_{li}|^2 ([|U_{ei}|^2 + 2|U_{mi}|^2]. \]  

(5.11)

\(^7\)Using a flavour composition 1:1:1 at the earth and deposited energy 60 TeV-10 PeV, 6-years HESE best fit to the spectral index is \( \gamma = 2.92^{+0.23}_{-0.22} \). However, the 8-years through going muon (TG) data which corresponds to 1000 extraterrestrial neutrinos above 10 TeV, corresponds to a best fit \( \gamma = 2.19 \pm 0.1 \) which is close to the theoretically preferred \( E^{-2} \) spectrum.
which simplifies to

$$\phi_i^T = \frac{\phi_0}{3} \left[ 1 + \sum_i |U_{li}|^2 (|U_{mi}|^2 - |U_{\tau i}|^2) \right] = \frac{\phi_0}{3} \left[ 1 + \sum_i |U_{li}|^2 \Delta_i \right]. \quad (5.12)$$

where $\Delta_i = |U_{\mu i}|^2 - |U_{\tau i}|^2$ and we have used the unitarity of the PMNS matrix i.e., $|U_{ei}|^2 + |U_{\mu i}|^2 + |U_{\tau i}|^2 = 1$. With the above background, one can define flavour flux ratios $R_l (l = e, \mu, \tau)$ at the neutrino telescope as

$$R_l = \frac{\phi_i^T}{\sum_m \phi_m^T} = \frac{1 + \sum_i |U_{li}|^2 \Delta_i}{2 - \sum_i |U_{li}|^2 \Delta_i}, \quad (5.13)$$

where $m = e, \mu, \tau$ and $U$ is as in (1.4). Note that for the exact TBM, $\Delta_i = 0$ and thus $R_e : R_\mu : R_\tau = 1 : 1 : 1$ — this is well known [159–162]. In our model, we find interesting deviation from this democratic flavour distribution at the telescopes. As we show in the figure 8 whilst $R_e$ and $R_\tau$ prefers the values less than the standard value 0.5, $R_\mu$ prefers values greater than 0.5 in this model. In figure 9 we present a Ternary plot for a better visualization of the flavour compositions. Here $\alpha_l = R_l / \Sigma_l R_l$. The red ‘*’ represents the TBM democratic prediction 1:1:1. The green area represents the allowed range of the flavours for 3$\sigma$ interval of the mixing parameters. The blue region (within the green one) is our model prediction. The red ‘+’ is the HESE best fit 0.29:0.50:0.21 [154]. Clearly the present HESE best fit and the flavour composition allowed by standard neutrino oscillation as well as the composition predicted in our model are in tension. Though these could be reconciled well within the HESE 68% CL [154].

5.4 A comparative study with the other works and few final remarks

Though at the end of the introduction section we have tried to focus on the novelty and new results of our work, for the sake of completeness and a quantitative comparison, we would like to expense few lines in this subsection also. As already pointed out in the introduction, in a bottom up approach, starting from the residual symmetry framework, we have studied the goodness of the $\mu\tau$ symmetry under the lamppost of a TM1 symmetry in quite a general way. Though there is sizeable amount work devoted to TBM mixing as we cited in the
Figure 9. The red ‘∗’ represents the TBM democratic prediction 1:1:1. The green area represents the allowed range of the flavours for $3\sigma$ interval of the mixing parameters. The blue region (within the green one) is our model prediction. The red ‘+’ is the HESE best fit 0.29:0.50:0.21 [154].
assume the RH masses are arbitrarily close so that resonant condition could be satisfied in a low RH mass scale whereas in our case as we already point out, one cannot choose the arbitrary mass splitting between the RH neutrinos.

Some final remarks: in this precision era of the low energy neutrino phenomenology, it is a high time for the rigorous computation in any neutrino mass model so that it could be tested in the experiments unambiguously. In this work, we have tried to be as concise as possible in the computation while rigorously studying an unexplored scenario related to the modification to a TBM scheme. We report that, the framework under consideration is not compatible with very small breaking parameters and the neutrino oscillation data. The minimal pair corresponds to $\epsilon_4' = -0.16$ and $\epsilon_6' = -0.48$. Even if we allow breaking in both the parameters up to 40%, our work disfavors maximal mixing. Thus in future, strong statements on $\theta_{23}$ would be an excellent probe to test the goodness of our idea. In addition, whilst an in-depth computation (with the best of our expertise) of baryogenesis via leptogenesis seeks the RH mass scale to be more than $10^{11}$ GeV, validity of this framework with relatively small breaking parameters is also testable via its very sharp predictions on the Dirac CP phase as well as UHE neutrino flavour ratios.

6 Conclusion

We have analyzed the broken TBM mass matrices which are invariant under a residual $\mathbb{Z}_2 \times \mathbb{Z}_{\mu\tau}^{\mu\tau}$ (TBM-Klein) symmetry at the leading order. To explore a predictive scenario, we have opted for the minimal breaking scheme where only $\mathbb{Z}_{\mu\tau}^{\mu\tau}$ is broken to generate a nonzero reactor mixing angle $\theta_{13}$. We started with the Type-I seesaw mechanism which contains the Dirac type $m_D$ and Majorana type $M_R$ as the constituent matrices. In the diagonal basis of the charged lepton as well as the RH neutrino mass matrix $M_R$, the implemented residual TBM-Klein symmetry leads to degenerate RH neutrino masses. The $\mathbb{Z}_{\mu\tau}^{\mu\tau}$ is then broken in $M_R$ to lift the mass degeneracy as well as to generate nonvanishing value of $\theta_{13}$. Thus the observed small value of $\theta_{13}$ restricts the level of degeneracy in the RH neutrino masses. Phenomenologically allowed case in our analysis gives rise to a TM1 type mixing and predicts a normal mass ordering for the light neutrinos. Testable predictions on the Dirac CP phase $\delta$ and the neutrinoless double beta decay parameter $|\langle M_\nu \rangle_{11}|$ have also been obtained. Our analysis is also interesting from leptogenesis perspective. Unlike the standard hierarchical $N_1$-leptogenesis scenario, here due to the implemented symmetry and the phenomenologically viable breaking pattern of that symmetry, the baryogenesis via leptogenesis scenario is realized due to quasi degenerate RH neutrinos. It has been clarified by a brief mathematical calculation that other two RH neutrinos ($N_2, N_3$) have sizeable contribution in generating lepton asymmetry. For computation of the final baryon asymmetry we make use of the flavour dependent coupled Boltzmann Equations to track the evolution of the produced lepton asymmetry down to the low temperature scale. Only $\tau$-flavoured leptogenesis scheme is allowed in our analysis. Consistent with the observed range of $Y_B$ a lower and an upper bound on the RH neutrino masses have also been obtained. We also estimate the testable flux ratios of three UHE neutrino flavours (detected at Icecube). At the end we elucidate the novelty and importance of this present work through a comparative study with the existing literature.

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A.1 Explicit algebraic forms of elements of $M^G_{\nu_1}^{TBG}$

Since $M^G_{\nu_1}$ is a symmetric matrix, it has only six independent complex parameters (namely $f_{11}, f_{12}, f_{13}, f_{22}, f_{23}, f_{33}$) each of which contains a common factor $z$ in the denominator given by

$$z = (\epsilon'_4 + \epsilon'_6 - 2) \left( (\epsilon'_4)^2 + 2 (7 \epsilon'_6 + 6) \epsilon'_4 + \epsilon'_6 (\epsilon'_6 + 12) + 8 \right). \quad (A.1)$$

The explicit functional forms of the six independent elements of the $M^G_{\nu_1}$ are as follows

$$f_{11} = (1/z) \left[ -8a'e^{i\beta'f} ((\epsilon'_4)^2 + 2 (5 \epsilon'_6 + 4) \epsilon'_4 + \epsilon'_6 (\epsilon'_6 + 8) + 4) (b' - c') \
+ 4 (a')^2 e^{2i\beta'f} ((\epsilon'_4)^2 + 2 (9 \epsilon'_6 + 8) \epsilon'_4 + \epsilon'_6 (\epsilon'_6 + 16) + 12) \
+ 16 (\epsilon'_4 + 1) (\epsilon'_6 + 1) (b' - c')^2 \right] \quad (A.2)$$

$$f_{12} = (1/z) \left[ -a'e^{i\beta'f} (b' (\epsilon'_4)^2 + 2 (8 \epsilon'_6 + 7) \epsilon'_4 - (\epsilon'_6 - 18) \epsilon'_6 + 16) \
+ c'((\epsilon'_4)^2 - 2 (8 \epsilon'_6 + 9) \epsilon'_4 - \epsilon'_6 (\epsilon'_6 + 14 - 16)) \
+ 2 (a')^2 e^{2i\beta'f} ((\epsilon'_4)^2 + 2 (5 \epsilon'_6 + 4) \epsilon'_4 + \epsilon'_6 (\epsilon'_6 + 8) + 4) \
+ 2 (b' - c') (b' (\epsilon'_4 + 1) (3 \epsilon'_4 + \epsilon'_6) - c' (\epsilon'_4 + 1) (\epsilon'_4 + 3 \epsilon'_6)) \right] \quad (A.3)$$

$$f_{13} = (1/z) \left[ a'e^{i\beta'f} (b' ((\epsilon'_4)^2 - 2 (8 \epsilon'_6 + 9) \epsilon'_4 - \epsilon'_6 (\epsilon'_6 + 14) - 16) \
+ c'((\epsilon'_4)^2 - 2 (8 \epsilon'_6 + 7) \epsilon'_4 - (\epsilon'_6 - 18) \epsilon'_6 + 16)) \
+ 2 (a')^2 e^{2i\beta'f} ((\epsilon'_4)^2 + 2 (5 \epsilon'_6 + 4) \epsilon'_4 + \epsilon'_6 (\epsilon'_6 + 8) + 4) \
+ 2 (b' - c') (b' (\epsilon'_4 + 1) (3 \epsilon'_4 + \epsilon'_6) - c' (\epsilon'_4 + 1) (\epsilon'_4 + 3 \epsilon'_6)) \right] \quad (A.4)$$

$$f_{22} = (1/z) \left[ -8a'e^{i\beta'f} (b' (\epsilon'_6 + 1) (3 \epsilon'_4 + \epsilon'_6) - c' (\epsilon'_4 + 1) (\epsilon'_4 + 3 \epsilon'_6)) \
+ 16 (a')^2 e^{2i\beta'f} (\epsilon'_4 + 1) (\epsilon'_6 + 1) - 2b'c' (3 \epsilon'_4 + \epsilon'_6) \
+ (\epsilon'_4 + 3 \epsilon'_6) - (b')^2 (\epsilon'_4 + 3 \epsilon'_6)^2 - 16 (\epsilon'_6 + 1)) \
- (c')^2 (3 \epsilon'_4 + \epsilon'_6)^2 + 16 (c')^2 (\epsilon'_4 + 1) \right] \quad (A.5)$$

$$f_{23} = (1/z) \left[ 4a'e^{i\beta'f} ((\epsilon'_4)^2 + 6 (\epsilon'_6 + 4) \epsilon'_4 + \epsilon'_6 (\epsilon'_6 + 4)) (b' - c') \
- 16 (a')^2 e^{2i\beta'f} (\epsilon'_4 + 1) (\epsilon'_6 + 1) \
- 2b'c' (5 (\epsilon'_4)^2 + 6 (\epsilon'_6 - 8) \epsilon'_4 + 5 (\epsilon'_6)^2 - 8 \epsilon'_6 - 16) \
- (b')^2 (3 \epsilon'_4 + \epsilon'_6) (\epsilon'_4 + 3 \epsilon'_6) - (c')^2 (3 \epsilon'_4 + \epsilon'_6) (\epsilon'_4 + 3 \epsilon'_6) \right] \quad (A.6)$$

$$f_{33} = (1/z) \left[ -8a'e^{i\beta'f} (b' (\epsilon'_4 + 1) (\epsilon'_4 + 3 \epsilon'_6) - c' (\epsilon'_6 + 1) (3 \epsilon'_4 + \epsilon'_6)) \
+ 16 (a')^2 e^{2i\beta'f} (\epsilon'_4 + 1) (\epsilon'_6 + 1) - 2b'c' (3 \epsilon'_4 + \epsilon'_6) \right] \quad (A.6)$$
\[ \begin{align*}
+ (c' + 3c'_6) - (b')^2 \left( 9 (c'_4)^2 + 2 (3c'_6 - 8) c'_4 + (c'_6)^2 - 16 \right) \\
- (c')^2 \left( (c'_4 + 3c'_6)^2 - 16 (c'_6 + 1) \right). \end{align*} \]

(A.7)

A.2 Explicit algebraic forms of elements of $M_{12}^{G,TBM}$

The elements of the matrix $M_{12}^{G,TBM}$ can be parametrized as

\[
\begin{align*}
(M_{12}^{G,TBM})_{11} &= -p^2 - \frac{r^2 + q^2 - 2qr}{4} e^{i\theta} \left( \frac{2 + c'_4 + c'_6}{(1 + c'_4)(1 + c'_6)} \right), \\
(M_{12}^{G,TBM})_{12} &= -p^2 - e^{i\theta} \left( \frac{r^2}{2(1 + c'_6)} + \frac{q^2}{2(1 + c'_4)} - \frac{qr(2 + c'_4 + c'_6)}{2(1 + c'_4)(1 + c'_6)} \right), \\
(M_{12}^{G,TBM})_{13} &= p^2 + e^{i\theta} \left( \frac{q^2}{2(1 + c'_6)} + \frac{r^2}{2(1 + c'_4)} - \frac{qr(2 + c'_4 + c'_6)}{2(1 + c'_4)(1 + c'_6)} \right), \\
(M_{12}^{G,TBM})_{22} &= -p^2 - e^{i\theta} \left( \frac{q^2}{(1 + c'_4)} + \frac{r^2}{(1 + c'_6)} \right), \\
(M_{12}^{G,TBM})_{23} &= p^2 - e^{i\theta} qr \left( \frac{1}{(1 + c'_4)} + \frac{1}{(1 + c'_6)} \right), \\
(M_{12}^{G,TBM})_{33} &= -p^2 - e^{i\theta} \left( \frac{q^2}{(1 + c'_6)} + \frac{r^2}{(1 + c'_4)} \right), \\
\end{align*} \]

(A.8)

where we define the parameters in eq. (A.8) as

\[
\frac{a}{\sqrt{x}} \to p, \quad \frac{b}{\sqrt{y}} \to q e^{i\theta/2}, \quad \frac{c}{\sqrt{y}} \to r e^{i\theta/2}, \quad c' \to \frac{c'}{y}, \quad c'_4 \to \frac{c'_4}{y}, \quad c'_6 \to \frac{c'_6}{y} \quad (A.9)
\]

with $p, q, r, c_4, c_6$ being real.

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