Detection of New Delhi metallo-beta-lactamase enzyme gene \textit{bla}\textsubscript{NDM-1} associated with the Int-1 gene in Gram-negative bacteria collected from the effluent treatment plant of a tuberculosis care hospital in Delhi, India

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Abstract

\textbf{Background}. Organisms possessing the \textit{bla}\textsubscript{NDM-1} gene (responsible for carbapenem resistance) with a class-1 integron can acquire many other antibiotic resistance genes from the community sewage pool and become multidrug-resistant superbugs. In this regard, hospital sewage, which contains a large quantity of residual antibiotics, metals and disinfectants, is being recognized as a significant cause of antimicrobial resistance (AMR) origination and spread across the major centres of the world and is thus routinely investigated as a marker for tracing the origin of drug resistance. Therefore, in this study, an attempt has been made to identify and characterize the carbapenem-resistant microbes associated with integron genes amongst the organisms isolated from the effluent treatment plant (ETP) installed in a tertiary respiratory care hospital in Delhi, India.

\textbf{Methods}. One hundred and thirty-eight organisms belonging to \textit{Escherichia}, \textit{Klebsiella}, \textit{Pseudomonas} and \textit{Acinetobacter} spp. were collected from the incoming and outgoing sewage lines of the ETP. Carbapenem sensitivity and characterization was performed by the imipenem and imipenem-EDTA disc diffusion method. Later DNA extraction and PCR steps were performed for the Int-1 and \textit{bla}\textsubscript{NDM-1} genes.

\textbf{Results}. Of the 138 organisms, 86 (62.3\%) were imipenem-resistant ($P<0.05$). One hundred and twenty-four (89.9\%) organisms had one or both of the genes. Overall, the \textit{bla}\textsubscript{NDM-1} gene (genotypic resistance) was present in 71\% (98/138) of organisms. 53.6\% (74/138) organisms were double gene-positive (\textit{bla}\textsubscript{NDM-1} + Int-1), of which 40 were producing the metallo-beta-lactamase enzyme, making up almost 28.9\% (40/138) of the collected organisms.

\textbf{Conclusion}. The current study strengthens the hypothesis that Carbapenem resistant organisms are in a high-circulation burden through the human gut and hospital ETPs are providing an environment for resistance origination and amplification.

\section*{INTRODUCTION}

Carbapenems (carbapenem, meropenem, imipenem) are currently our last option antimicrobial drugs in the battle against infections. Many times carbapenemase enzyme-producing Gram-negative bacteria are of superbug nature, wherein they are resistant to not only carbapenems but also to monobactums [1] and cephalosporins [2]. Many of the common nosocomial infectious agents, such as \textit{Klebsiella pneumoniae}, \textit{Pseudomonas aeruginosa} and \textit{Acinetobacter baumannii}, are now presenting as superbugs and lead to complicated, difficult-to-treat infections of the urinary tract, blood, brain, lungs and wounds [3–7].

Structurally, either serine or zinc metal ion is present at the active sites of carbapenemase enzymes. Zinc-containing carbapenemase, like New Delhi metallo-\(\beta\)-lactamase (NDM), is also known as metallo-\(\beta\)-lactamase (MBL) or metallo-carbapenemase [8]. It was first isolated in an Indian-origin Swedish patient who travelled to India in 2008 [9] and has since then been identified in many countries, including Brazil, India and Southeast Asia [10–13]. There are many variants
of NDM enzymes (e.g. NDM-1, 2, 3, 4 [14–16]) and the genes encoding them (bla_{NDM-1,2,3}). Bacterial strains which are positive for bla_{NDM} (genotypic resistance marker gene) and also produce NDM enzymes are particularly dangerous because, (1) There is no routine standard phenotypic test for MBL detection; (2) Consequently there is a possibility of high prevalence of unrecognized asymptomatic carriers amongst general population; (3) There is lack of effective antibiotics against NDM-1-positive superbugs; and (4) Plasmids from these bacteria can undergo wide rearrangement and thus can cause widespread horizontal transmission of the antibiotic resistance gene (bla_{NDM}) [17–20].

Many of the commensal bacterial strains become pathogenic and antibiotic-resistant after acquiring the bla_{NDM} gene. The association of this gene with DNA structures called gene cassettes and integrons is one of the possible explanations for its rampant spread [21]. Gene cassettes consist of DNA segments responsible for various functions such as antibiotic resistance, toxin–antitoxin, capsule, efflux systems, and many more. These are captured and expressed by other bacterial DNA segments (called integrons) that occur in bacteria from all environments and play an essential role in bacterial adaptation. There are many classes of integron [22], of which the classically studied class-1 integrons are the most mobile [23] and particularly widespread, occurring in anywhere from 10 to 50% of commensal bacteria in humans, including in infants who have not yet been exposed to antibiotics [24–26]. Amongst the various cassette–integron systems identified, one for antibiotic resistance are the most studied. Class-1 integrons consist of specific genes such as int-1 (which codes for the integrase enzyme), Pc (promotor), qac and sul at the 5’ and 3’ conserved regions. Various regions present in-between these two conserved regions have a site for the insertion of incoming antibiotic resistance gene cassettes. An integron may move between species and lineages either quickly or over evolutionary periods [27] and may acquire several gene cassettes placed one after another in a sequence analogous to songs on a music cassette tape. The association of cassettes with integrons helps in its recombination and expression according to the surrounding antibiotic, disinfectant or metal ion pressure. Moreover, the location of the integron–gene cassette system on plasmids makes their replication and horizontal transfer very easy [27]. It is to be emphasized that gene cassette expression is not entirely dependent on integrons; and expression may also occur through some non-integron-based promoter gene. Nevertheless, the insertion of a bla_{NDM}–carrying gene cassette into a class-1 integron is a troublesome situation, as this integron can eventually acquire many other antibiotic resistance genes from the surroundings and convert a mono-drug-resistant bacterium into a multidrug-resistant superbug that is challenging to treat.

Human excreta have large number of susceptible and resistant bacteria, many of which contain integrons and resistance gene cassettes. The possibility of resistant bacteria being naturally selected over susceptible bacteria and transferring an integron-associated carbapenem resistance gene (via horizontal transfer) to non-pathogenic bacteria is much greater in the case of hospital sewage, where antimicrobial drugs are present in high amounts. Studies have shown that for any given antimicrobial, almost 30–90% of the ingested drug is excreted unchanged from the gut [28]. Moreover, since these antibiotics and the resistance genes have long half-lives in the environment [29, 30], and are also difficult to remove during water treatment, they can eventually make their way into the food chain. Therefore sewage from health care institutes is now viewed as a significant cause of environmental contamination and as a marker for tracing the origin of resistance [31, 32]. Globally hospital sewage sampling is an attractive option over direct patient sampling for surveillance because it is representative of a large population and requires no consent with respect to ethical concerns [33–36]. The coexistence of integron and bla_{NDM} genes in the sewage and environment is worth attention and is relevant in the context of antimicrobial resistance emergence across the globe [37]. However, in the context of the Indian subcontinent, it is yet not clear how bla_{NDM} gene-positive strains producing MBL enzymes emerged and spread rampantly [38].

The National Institute of Tuberculosis and Respiratory diseases (NITRD) in Delhi, India is a tertiary respiratory care hospital with particular focus on tuberculosis treatment. Here the wastewater generated during bathing and washing activities is mixed with sewage water and pumped into the incoming line of a membrane-based ETP. Some amount of treated sewage water goes into horticulture activities within the campus, and the remaining is pumped away into the main outgoing municipality sewage line of the city. Patients at NITRD receive every kind of antimicrobial agent (antibacterial, antifungal, antitubercular and antiviral drugs) during their hospital stay. Here, at a rough estimate, around 32% of the organisms isolated from the lower respiratory tract samples are carbapenem-resistant phenotypically. Thus, this scenario presents an exciting opportunity to study carbapenem-resistance characteristics in an exclusive cohort of a tuberculosis care hospital. Therefore, a study was conceptualized in an attempt to identify integron-1-associated carbapenem resistance gene (bla_{NDM}) in four distinct Gram-negative bacteria (Escherichia, Klebsiella, Pseudomonas and Acinetobacter), belonging to the incoming and outgoing streams of the ETP. These four organisms are not only abundantly present in the human gut, but are also known agents of nosocomial infections.

**METHODS**

**Sample collection and organism identification**

The study was conducted in the Department of Microbiology, NITRD, Delhi over 1 year from March 2018 to February 2019 wherein two sample collection days were randomly selected from each month. In this way, the total number of randomly selected days was 24. On any sample collection day, 100 ml sewage water samples were collected from the middle of the incoming and outgoing streams of the ETP after it had worked to full capacity for at least 30 min. Collected
wastewater samples were streaked on 5% sheep blood agar and MacConkey agar. The colonies of four common nosocomial infective agents, *Escherichia*, *Klebsiella*, *Pseudomonas* and *Acinetobacter*, were then identified by Gram staining and biochemical reactions based on standard protocols [39]. Organisms were later stocked in 20% glycerol broth solution [40]. Simultaneously, cultures of freshwater routinely supplied in hospital for drinking, washing and bathing purposes were also put up as controls to look for any residual presence of these organisms.

**Phenotypic detection of carbapenemase (serine carbapenemase/MBL)**

All the organisms were tested against imipenem (10 µg) and an imipenem-EDTA (10/750 µg) drug combination using the disc diffusion method. With the imipenem disc, organisms with zone diameters of ≥23 mm, 20–22 mm and ≤19 mm were labelled as sensitive, intermediate and resistant, respectively. Then, the zone diameters of the above-identified resistant organisms were compared with the diameters of the combined imipenem-EDTA disc. Zone differences of >7 mm and <7 mm were taken to be indicative of the presence of presumptive metallo β-lactamase (MBL) enzyme and serine β-lactamase (SBL) enzymes, respectively (Fig. 1) [41]. Due to resource constraints, these organisms were not tested for susceptibility against other routine antimicrobial drugs.

**Identification of integron-1 gene and blaNDM-1 gene**

This was done for all the collected organisms. The DNA extraction was performed according to methods described in the literature [42]. Briefly, two bacterial colonies were picked up from overnight growth on blood agar plates. These were placed in a test tube containing 25 µl of autoclaved double distilled water and heated at 95 °C for 10 min in a water bath. Tubes were then centrifuged for 10 min at 10000 r.p.m. The supernatant was collected and used for PCR. Uniplex touch-down PCR was set up with 25 µl of master mix solution containing 15 µl of nuclease-free water, 2.5 µl of 10× PCR buffer, 0.5 µl of 25 mM MgCl₂, 0.5 µl of template DNA, 2 µl of 10 mM dNTP, 2 µl 10 µM forward primer, 2 µl of 10 µM reverse primer and 0.5 µl of 5 U/µl Taq DNA polymerase. DNA amplicons obtained were run on 1% agar gel. PCR was set up using primers for integron-1 gene (FP- 5′-CGTAATGGCTCATCACGATC-3′; RP- 5′-TCCACGCATCGTCAGGC-3′) with a touch-down range from 58 to 54 °C and for *blaNDM-1* gene (FP- 5′-GGTTTGCGATCTGGTTTGATC-3′; RP- 5′-CCGGATGCGTCATCACGACT-3′) with a touch-down range from 54 to 48 °C. The expected amplicon sizes were 280 and 621 bp for integron-1 and *blaNDM-1* genes, respectively [43]. For optimization of PCR, ribonuclease-free water was included as a negative control. Non-specific band amplicons were expected during PCR as the collected samples were environmental in nature, but were ignored because no high-end sequencing techniques were used.

Statistical analysis was performed using SPSS version 20 (IBM, New York, NY, USA) and chi-square test was performed. A *P*-value of <0.05 was considered to be indicative of a statistically significant difference.

**RESULTS**

Forty-eight sewage samples were gathered over the entire study period, 24 each from the incoming and outgoing sewage lines of the EFP. From these, 138 organisms belonging to *Escherichia* spp., *Klebsiella* spp., *Pseudomonas* spp. and *Acinetobacter* spp. were identified; 78 were from incoming line and 60 from outgoing line (*P*<0.05) (Table 1). None of the control plates inoculated with freshwater grew any of the above four genera of organisms.

Of the above 138 organisms, 62.3% (86/138) were phenotypically carbapenem-resistant, with 42 belonging to the incoming stream and 44 belonging to the outgoing stream. Only 37.7% (52/138) of organisms were found to be carbapenem-sensitive (*P*<0.05) (Table 2). In the incoming wastewater stream, the numbers of resistant organisms in decreasing order were: *Klebsiella* spp., 23.1% (18/78); *Pseudomonas* spp., 17.9% (14/78); *Escherichia* spp., 10.3% (8/78); and *Acinetobacter* spp., 2.6% (2/78). By comparison, the numbers of resistant organisms in the outgoing stream were: *Pseudomonas* spp., 35% (21/60); *Klebsiella* spp., 16.6% (10/60); *Escherichia* spp., 11.7% (7/60); and *Acinetobacter* spp., 10% (6/60). Based on zone diameter differences between imipenem and combined imipenem-EDTA discs, 46.4% (64/138) of organisms were labelled as presumptive MBL enzyme-positive, and 15.9% (22/138) as presumptive serine-β-lactamase (SBL enzyme-positive). While the percentages of MBLs and SBLs in the incoming stream were 42.3% (33/78) and

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**Fig. 1.** Enhancement of zone of inhibition by >7 mm with imipenem-EDTA antibiotic disc compared to imipenem disc alone (phenotypically positive for MBL enzyme).
11.5% (9/78), respectively, these increased to 51.7% (31/60) and 21.7% (13/60) in the outgoing stream. Overall, there was a significant association between the presence of the resistance phenotype and increase in the zone diameter difference of >7 mm \( (P < 0.05) \).

When these 138 organisms were tested for the presence of Int-1 and \( \text{bla}_{NDM-1} \) genes, 89.9% (124/138) of organisms had either or both of them (Table 2), while 10.1% (14/138) of organisms had none of them. 18.4% (26/138) had only Int-1 gene, 17.4% (24/138) had only \( \text{bla}_{NDM-1} \) gene, and 53.6% (74/138) strains were double-gene-positive. Of the 98 (71% of 138) \( \text{bla}_{NDM-1} \) positive genotypically resistant organisms, 52 (37.7% of 138) organisms came from the incoming untreated stream \( [66.7% (52/78)] \) and 46 (33.8% of 138) from the outgoing treated stream \( [76.7% (46/60)] \). All the organisms contributed to this 10% increase of genotypically resistant organisms in outgoing stream, except \( \text{Escherichia} \) spp. Of the 74 (53.6% of 138) double-gene-positive organisms (i.e. \( \text{bla}_{NDM-1} \) gene associated with Int-1 gene), 38 organisms (27.5% of 138) belonged to the incoming stream \( [48.7% (38/78)] \) and 36 (26.1% of 138) to the outgoing stream \( [60% (36/60)] \).

On comparing phenotypic sensitivities with genotypic resistance patterns, 65 (47.1% of 138) organisms were phenotypically imipenem resistant and also had \( \text{bla}_{NDM-1} \) gene. Of these 65 organisms, 13 (9.4% of 138) had only \( \text{bla}_{NDM-1} \) gene (of which 6 were from the incoming stream and 7 from the outgoing stream). The remaining 52 (37.7% of 138) organisms had both the genes (Int-1 with \( \text{bla}_{NDM-1} \)) of which 26 belonged to the incoming stream and the remaining 26 to the outgoing stream. 21 organisms (15.2% of 138) showed discordance by being phenotypically resistant but \( \text{bla}_{NDM-1} \) gene-negative. Amongst the 52 (37.7% out of 138) phenotypically sensitive organisms, 19 were \( \text{bla}_{NDM-1} \) gene-negative but 33 were \( \text{bla}_{NDM-1} \) gene-positive. Overall, phenotypic/genotypic result concordance was seen in 60.8% (84/138) of organisms, wherein organisms were either imipenem-sensitive without the \( \text{bla}_{NDM-1} \) gene or were imipenem-resistant with the \( \text{bla}_{NDM-1} \) gene.

### DISCUSSION

Injudicious use of antimicrobial agents has made antimicrobial resistance (AMR) among commensal gut microbes a significant problem. Hospital effluent treatment plants (ETPs) act as resistance amplifiers by not only naturally selecting resistant microbes in the presence of a large quantity of residual antimicrobials drugs, but also by spreading resistance mechanisms to non-pathogenic naïve organisms by horizontal gene transfer. Such microbes make their way through the food chain into the human gut, further amplifying the problem of AMR among commensal gut microbes.

The magnitude of this problem can be inferred from the results of the current study, where a large number of the organisms present in the incoming untreated wastewater stream showed resistance to imipenem, a carbapenem antibiotic, indicating the presence of \( \text{bla}_{NDM-1} \) genes. However, the presence of these genes alone does not guarantee resistance, as demonstrated by the discordant results observed in some organisms. This highlights the importance of both phenotypic and genotypic testing in determining the resistance status of bacteria. Overall, the study underscores the need for stringent regulations and effective waste management practices to prevent the spread of AMR and its associated health risks.
[62.3% (86/138)] collected from an ETP were found to be phenotypically resistant to a carbapenem drug (imipenem), with resistant organisms being found in substantial numbers in both the incoming [48.8% (42/86)] and outgoing [51.2% (44/86)] wastewater streams. Moreover, the spread of resistance from around half the incoming stream organisms [53.8% (42/78)] to around three-quarters of the outgoing stream organisms [73.3% (44/60)] further emphasizes the point that resistance amplification does occur in sewage through some kind of gene transfer; possibly horizontal gene transfer (P<0.05). In a similar survey of effluents from several geographically dispersed wastewater plants in the USA, 20.2% of the isolates were imipenem-resistant [44]. Studies from developing countries such as China and Brazil have reported 30–60% prevalence of carbapenem-resistant organisms [45–47].

In the current study, large numbers of bacteria were genotypically resistant by being bla<sub>NDM-1</sub> gene-positive [71% (98/138)]. The figure was 66.7% (52/78) in the incoming stream but increased to a massive 76.7% (46/60) in the outgoing stream. Further, in many of these bacteria, this gene was found to be associated with the integron-1 gene [75.5% (74/98)], a combination which significantly increases the possibility of bacteria being either already a multidrug-resistant superbug or becoming one shortly. An 11% increase in the prevalence of such double-gene-positive organisms (bla<sub>NDM-1</sub> + Int-1 gene) while moving from the incoming stream [48.7% (38/78)] to the outgoing stream 60% (36/60) (P<0.05) shows that there is an ongoing process of active horizontal gene transfer, albeit not a significant one. In a similar study, led by Rice University, scientists found significant levels of NDM-1 enzyme and bla<sub>NDM-1</sub> gene in the effluent released to the environment and even higher levels in dewatered sludge applied to soils [48]. Some studies have shown that the majority of the resistant microbes found in clinical sewage settings grow and persist in association with surface biofilms found on membranes and pipes of ETPs and not in the free planktonic state [49]. An 11% increase in the prevalence of double-gene-positive organisms in the current study could have happened because the ETP system has a selective survival advantage over susceptible strains even at sub-MIC levels, and such low concentrations can be found in wastewaters due to the dilution effect [55–59]. Amongst these phenotypically resistant multigene-positive organisms, around three-quarters [77.9% (40/52)] were presumptively metallo-beta-lactamase-positive and the remainder [23% (12/52)] were presumptively serine-beta-lactamase enzyme-positive. Such a high percentage of genotypically confirmed metallo-beta-lactamase-producing bacteria is a matter of concern, creating an endemic scenario leading to the level of an outbreak.

The current study has increased importance as the city of Delhi where it was performed has been projected to be a focus of superbug origination, and such surveillance studies can support the war against antimicrobial resistance by helping surveillance policy development. The present study is more of a prevalence study wherein an attempt has been made to determine the burden of phenotypic and genotypic resistance in the sewage. Complementing data by showing the linear relationship between antibiotic use in the hospital and the enrichment of resistance genes would have meant collecting a large number of samples and utilizing many resources, and thus was not attempted. It is to be understood that often PCR from environmental samples is ambiguous and sometimes shows non-specific band amplification. Although the lack of resources and technological expertise did limit the use of high-end 16SrRNA gene PCR amplification and sequencing technologies, the basic microbiological techniques implemented do complement the data presented during phenotypic and resistance gene identification. To the best of our knowledge, this is the first study from a selected urban tuberculosis/respiratory specialist hospital of a developing country, and provides an insight into the burden of carbapenem-resistant organisms carrying integron-1-associated metallo-beta-lactamases genes. Although there is currently no known correlation between the resistance mechanisms of anti-tubercular drugs and other common antibacterial drugs, the question of whether the resistance-determining regions for these two drug groups have any commonalities may become a topic of further investigation. The current study strengthens the hypothesis that superbugs are in a high-circulation burden through the human gut and hospital ETPs are providing the environment for resistance origination and amplification.

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Conflicts of interest

The authors declare that there are no conflicts of interest.

Ethical statement

No ethical approval was required because the work was performed on sewage samples.

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