Regression From Prediabetes to Normal Glucose Regulation and Prevalence of Microvascular Disease in the Diabetes Prevention Program Outcomes Study (DPPOS)

OBJECTIVE
Regression from prediabetes to normal glucose regulation (NGR) was associated with reduced incidence of diabetes by 56% over 10 years in participants in the Diabetes Prevention Program Outcomes Study (DPPOS). In an observational analysis, we examined whether regression to NGR also reduced risk for microvascular disease (MVD).

RESEARCH DESIGN AND METHODS
Generalized estimating equations were used to examine the prevalence of aggregate MVD at DPPOS year 11 in people who regressed to NGR at least once (vs. never) during the Diabetes Prevention Program (DPP). Logistic regression assessed the relationship of NGR with retinopathy, nephropathy, and neuropathy, individually. Generalized additive models fit smoothing splines to describe the relationship between average A1C during follow-up and MVD (and its subtypes) at the end of follow-up.

RESULTS
Regression to NGR was associated with lower prevalence of aggregate MVD in models adjusted for age, sex, race/ethnicity, baseline A1C, and treatment arm (odds ratio [OR] 0.78, 95% CI 0.65–0.78, P = 0.011). However, this association was lost in models that included average A1C during follow-up (OR 0.95, 95% CI 0.78–1.16, P = 0.63) or diabetes status at the end of follow-up (OR 0.92, 95% CI 0.75–1.12, P = 0.40). Similar results were observed in examination of the association between regression to NGR and prevalence of nephropathy and retinopathy, individually. Risk for aggregate MVD, nephropathy, and retinopathy increased across the A1C range.

CONCLUSIONS
Regression to NGR is associated with a lower prevalence of aggregate MVD, nephropathy, and retinopathy, primarily due to lower glycemic exposure over time. Differential risk for the MVD subtypes begins in the prediabetes A1C range.
The Diabetes Prevention Program Outcomes Study (DPPOS) is the follow-up of the Diabetes Prevention Program (DPP), which was a randomized controlled clinical trial examining whether it is possible to prevent or delay the onset of diabetes. The study continues to follow participants—now for nearly 20 years—to determine the enduring impact of the formerly randomized treatments (intensive lifestyle intervention, metformin, or placebo) on microvascular disease, cardiovascular disease, cancer, and aspects of aging (1). To date, the DPPOS has provided evidence that vascular disease can be reduced when diabetes is prevented or delayed, with particular effectiveness of lifestyle therapy in women (21% lower composite microvascular disease vs. placebo) (1) and metformin in men (11% lower presence of coronary artery calcium vs. placebo) (2). The many anticipated outcomes of the DPPOS may ultimately determine whether prediabetes is recognized as simply an earlier form of diabetes.

Current management of prediabetes is largely limited to behavior modification to facilitate weight loss—not necessarily normalization of plasma glucose concentration. This is relevant, as a post hoc analysis from the DPPOS revealed a 31% increased risk for diabetes in people who did not regress from prediabetes to normoglycemia despite being randomized to the intensive lifestyle modification arm (vs. those who did regress who had been randomized to placebo) (3). It is likely that this group represents people at a more advanced stage in their disease course and who are therefore less responsive to the lifestyle intervention, thus highlighting the need to follow plasma glucose concentration during preventive interventions. The increase in diabetes risk was in direct contrast to a 56% reduction in diabetes incidence for collective participants who had restored normoglycemia at least once during the DPP compared with those who never did. Further, regression was associated with a protective cardiovascular phenotype despite the use of fewer medications for blood pressure and lipids (4).

Hence, the current analysis sought to examine whether regression from prediabetes to normoglycemia is also associated with a lower prevalence of aggregate microvascular disease and whether this would be due to, or independent of, lower cumulative glycemic exposure. We also sought to compare the impact of regression to normoglycemia and the relative contribution of glycemia to retinopathy, nephropathy, and neuropathy, individually.

**RESEARCH DESIGN AND METHODS**

**Participants**

The DPP was a randomized controlled trial performed at 27 research centers in the U.S. that enrolled overweight or obese adults with prediabetes determined on one occasion. BMI ≥24 kg/m², elevated fasting glucose 95–125 mg/dL (5.3–6.9 mmol/L)—for all but those at the American Indian centers, for whom it was <125 mg/dL (<6.9 mmol/L)—and 2-h plasma glucose levels of 140–199 mg/dL (7.8–11.0 mmol/L) were required entry criteria into DPP; by American Diabetes Association criteria (5), 100% had impaired glucose tolerance with 85% also having impaired fasting glucose. The DPPOS is the follow-up of DPP and includes 2,775 persons (85% also having impaired fasting glucose 95–125 mg/dL (5.3–6.9 mmol/L) for all but those at the American Indian centers, for whom it was <125 mg/dL (<6.9 mmol/L)—and 2-h plasma glucose levels of 140–199 mg/dL (7.8–11.0 mmol/L) were required entry criteria into DPP; by American Diabetes Association criteria (5), 100% had impaired glucose tolerance with 85% also having impaired fasting glucose. The DPPOS is the follow-up of DPP and includes 2,775 persons (85% of the original cohort as of data lock) on 2 January 2014 (1). Detailed methods have previously been published (6,7), and the protocol is available at http://www.bsc.gwu.edu/dpp. Institutional review boards at each center approved the protocol, and all participants gave written informed consent prior to participation.

**Interventions**

During the DPP, participants were randomized to 1) an intensive lifestyle intervention (low-fat diet and exercise >150 min/week for a goal of 7% body weight reduction), 2) metformin 850 mg twice daily, or 3) matching double-blind placebo. Median follow-up during DPP was 3.2 years followed by a 10-month “bridge” period (8) during which all participants, including those who had been randomized to the intensive lifestyle intervention arm during DPP, were offered group-based lifestyle sessions prior to the start of DPPOS (7). Open-label metformin was also continued in participants initially randomized to metformin during DPP but was discontinued when progression to diabetes required management outside of the protocol or for reasons of safety and/or tolerability. Median follow-up in DPPOS was 15 years (range 14–17) from randomization to the closing date of this analysis (2 January 2014).

**Outcomes**

The primary outcome of the DPP and DPPOS is the development of diabetes, defined as fasting plasma glucose ≥126 mg/dL (≥7.0 mmol/L [checked semianually]) and/or 2-h glucose ≥200 mg/dL (≥11.1 mmol/L [checked annually]) during a 75-g oral glucose tolerance test (OGTT) (5). Once diabetes is confirmed on a second OGTT, the participant is classified as having diabetes irrespective of subsequent plasma glucose values. Normal glucose regulation (NGR) (aka normoglycemia) is defined as fasting plasma glucose levels <100 mg/dL (5.6 mmol/L) and 2-h plasma glucose levels <140 mg/dL (7.8 mmol/L) at least once on an annual OGTT during the active intervention phase of DPP.

Prevalence of aggregate microvascular disease is a coprimary outcome of DPPOS. During the follow-up in DPPOS, microvascular disease was defined as follows:

1) retinopathy: diagnosed on seven-field stereoscopic fundus photography by Early Treatment of Diabetic Retinopathy Study (ETDRS) score ≥20 in either eye, or treatment of retinopathy with laser photocoagulation or intravitreal injections, assessed once during DPPOS in 2012 or 2013, and/or

2) nephropathy: measured as absence of light touch sensation (<8 of 10 applications detected on the dorsum of the great toe) measured with a 10-g Semmes-Weinstein monofilament (9), assessed annually throughout DPPOS, and/or

3) nephropathy: assessed by albuminuria ≥30 mg/g creatinine in a spot urine collection on two consecutive tests, or an estimated glomerular filtration rate <45 mL/min/1.73 m² on two consecutive tests, based on annual serum creatinine using the Chronic Kidney Disease Epidemiology Collaboration (CKD-EPI) equation (10), or renal failure (end-stage renal disease, dialysis, or transplantation, assessed annually throughout DPPOS). Participants who had met the nephropathy criteria previously and were taking blood pressure–lowering medication(s) at the final assessment were considered to have reached the nephropathy outcome even if they did not meet albuminuria or
estimated glomerular filtration rate criteria at that time.

For improvement of our detection of the outcomes, the current analysis defines the aggregate microvascular disease outcome as the occurrence of one or more of the microvascular disease subtypes as opposed to the average prevalence of the three subtypes as has been used to define the microvascular disease outcomes previously (1). We also examined each component of the aggregate microvascular disease outcome individually.

**Potential Effect Modifiers, Mediators, and Confounders**

We examined the influence of several factors that could potentially modify or confound the association between NGR status and microvascular disease. There was no interaction between regression to NGR and treatment group on aggregate microvascular disease (possibly due to small numbers); hence, subsequent analyses were not stratified. Sequential models thus adjusted for baseline age, sex, race/ethnicity, and treatment group, conceptualized as potential confounders, as well as average A1C or diabetes development during follow-up, conceptualized as potential mediators. The follow-up period was defined starting at the time of randomization until the time microvascular outcomes were assessed in DPPOS year 11 (14–17 years postrandomization). A time-to-event analysis could not be done due to differences in ascertainment of the microvascular disease subtypes as opposed to the average prevalence of the three subtypes as never achieved NGR during DPP. Participants who did not regress to NGR were older, were more likely to have hypertension and/or dyslipidemia at baseline, and were more likely to develop diabetes (data not shown) and had higher average A1C over time (Table 1) compared with those who attained NGR status during DPP.

**Association of Regression to NGR (Ever Versus Never) During DPP With Prevalent Microvascular Disease in DPPOS**

Regression to NGR was associated with lower odds of aggregate microvascular disease in the first three models (model 1, odds ratio [OR] 0.70, 95% CI 0.59–0.84, \( P < 0.001 \); model 2, OR 0.77, 95% CI 0.64–0.92, \( P = 0.005 \); and model 3, OR 0.78, 95% CI 0.65–0.95, \( P = 0.011 \) (Table 2). However, the significance of this association was lost in models 4 and 5, which included average A1C over time (OR 0.95, 95% CI 0.78–1.16, \( P = 0.63 \)) or diabetes status at follow-up (OR 0.92, 95% CI 0.75–1.11, \( P = 0.40 \)) (Table 2), respectively. Similar results were observed for the association between regression to NGR and outcomes) or on the models exploring the mediation by A1C or diabetes status (data not shown). Generalized additive models were used, and cubic, smoothing splines (i.e., degrees of freedom = 4) were fitted, to estimate the prevalence of aggregate and individual microvascular outcomes at DPPOS year 11, as a smooth function of average A1C levels during DPPOS follow-up.

**RESULTS**

**Baseline Characteristics**

Baseline characteristics of participants who were ever versus never able to restore NGR during DPP are shown in Table 1. Overall, approximately one-third of participants returned to NGR at some point during DPP, and this did not differ by sex, race/ethnicity, or the use of aspirin or hormone-replacement therapy at baseline, except that more American Indians achieved NGR. The latter finding likely relates to the lower fasting glucose criteria for enrollment in this group. Consistent with our previous results (3,4,11), participants who did not regress to NGR were older, were more likely to have hypertension and/or dyslipidemia at baseline, and were more likely to develop diabetes (data not shown) and had higher average A1C over time (Table 1) compared with those who attained NGR status during DPP.

| Table 1—Baseline characteristics of people who never versus ever achieved NGR during DPP |
|-----------------------------------------------|
| **Race**                                      |
| White (sample size 2,181)                     |
| 1,206 (55)                                   |
| 562 (53)                                     |
| Black                                        |
| 437 (20)                                     |
| 208 (20)                                     |
| Hispanic                                     |
| 346 (16)                                     |
| 162 (15)                                     |
| Asian                                        |
| 101 (5)                                      |
| 41 (4)                                       |
| American Indian                              |
| 91 (4)                                       |
| 80 (8)                                       |
| **Sex**                                      |
| Male                                         |
| 714 (33)                                     |
| 329 (31)                                     |
| Female                                       |
| 1,467 (67)                                   |
| 724 (69)                                     |
| **Medical history at baseline DPP**           |
| Hypertension*                                |
| 496 (23)                                     |
| 183 (17)                                     |
| Dyslipidemia                                 |
| 793 (36)                                     |
| 345 (27)                                     |
| Hormone-replacement use                      |
| 521 (24)                                     |
| 239 (27)                                     |
| Age                                          |
| 51.1 ± 10.8                                  |
| 49.7 ± 10.5                                  |
| Baseline A1C (%)                             |
| 5.96 ± 0.51                                  |
| 5.81 ± 0.47                                  |
| Average A1C during follow-up                 |
| 6.10 ± 0.70                                  |
| 5.76 ± 0.49                                  |
| Aspirin (frequency/week)                     |
| 1.92 ± 1.55                                  |
| 1.90 ± 1.49                                  |

Data are n (%) or means ± SD. *Hypertension defined as being on a blood pressure–lowering medication, systolic blood pressure ≥140 mmHg, and/or diastolic blood pressure ≥90 mmHg.
and prevalence of nephropathy and retinopathy, individually (Table 2). There was no interaction between diabetes status and microvascular disease in the univariate model employing generalized estimating equations; hence, no subsequent analyses were conducted stratifying by diabetes status.

### Association Between Average A1C Over Time and Prevalent Aggregate Microvascular Disease

Because lack of diabetes and lower A1C during follow-up explained, in large part, the association between regression to NGR and lower prevalence of microvascular disease, additional investigation was undertaken to model the associations between average A1C during the follow-up and aggregate microvascular disease, as well as for A1C and the subtypes (below). The smoothed relationship (and pointwise 95% CIs) between average A1C levels during follow-up and aggregate microvascular disease prevalence at DPPOS year 11 is plotted in Fig. 1A. As shown, the risk of aggregate microvascular disease increases from 10% to nearly 80% across the A1C range 4–11%. The slope of the A1C × aggregate microvascular disease curve was not different comparing A1C < 6.5% (normoglycemia and prediabetes) vs. ≥ 6.5% (diabetes) ranges, indicating no inflection point ($P = 0.763$).

### Association Between Average A1C Over Time and Prevalent Microvascular Disease Subtypes

Prevalence of nephropathy increased steadily across the A1C range studied, reaching an approximate prevalence of 40% at a 11% A1C (Fig. 1B). Prevalence of retinopathy was < 10% for A1C < 6% but rose steeply after an A1C of 6%, reaching an estimated prevalence of 65% at an 11% A1C (Fig. 1C). Prevalence of peripheral neuropathy was ~ 12% and not different across A1C 4–11% (Fig. 1D). The average A1C over the DPP and DPPOS follow-up (included in this analysis) is shown in Fig. 2.

### CONCLUSIONS

A number of landmark trials have convincingly demonstrated that diabetes can be prevented or delayed in people with prediabetes (reviewed in 12). An emerging body of evidence suggests that complications can also be prevented in people with prediabetes receiving early intervention aimed at reducing body weight, lipids, blood pressure, and/or plasma glucose (13–15). Results from the current analysis add to this growing body of literature by demonstrating that regression from prediabetes to normoglycemia (assessed by both fasting and 2-h plasma glucose concentrations) is associated with a lower prevalence of aggregate microvascular disease, as well as nephropathy and retinopathy, individually, due to lower cumulative glycemic exposure over time.

Limiting cumulative glycemic exposure remains central in diabetes care. The current results demonstrate that this is also true for people with prediabetes who have not or will not develop overt diabetes. Despite the mild dysglycemic state that defines prediabetes, we observed a 22–30% lower prevalence of aggregate microvascular disease in participants with prediabetes who regressed to normoglycemia (models 1–3). This finding was explained by a lower

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**Table 2—Impact of ever versus never regressing to NGR in DPP on microvascular outcomes in DPPOS**

| Aggregate MVD | OR | Lower limit | Upper limit | P |
|---------------|----|-------------|-------------|---|
| Model 1: univariate (unadjusted) | 0.704 | 0.588 | 0.843 | <0.001 |
| Model 2: adjusted for age, sex, race/ethnicity, baseline A1C | 0.765 | 0.635 | 0.922 | 0.005 |
| Model 3: model 2 adjustments + treatment group | 0.784 | 0.649 | 0.947 | 0.011 |
| Model 4: model 3 adjustments + average A1C during follow-up | 0.953 | 0.783 | 1.160 | 0.629 |
| Model 5: model 3 adjustments + diabetes status at end of follow-up | 0.916 | 0.750 | 1.121 | 0.395 |
| Nephropathy | | | | |
| Model 1: univariate (unadjusted) | 0.638 | 0.500 | 0.813 | <0.001 |
| Model 2: adjusted for age, sex, race/ethnicity, baseline A1C | 0.695 | 0.542 | 0.892 | 0.004 |
| Model 3: model 2 adjustments + treatment group | 0.689 | 0.535 | 0.888 | 0.004 |
| Model 4: model 3 adjustments + average A1C during follow-up | 0.808 | 0.623 | 1.049 | 0.109 |
| Model 5: model 3 adjustments + diabetes status at end of follow-up | 0.887 | 0.678 | 1.161 | 0.384 |
| Retinopathy | | | | |
| Model 1: univariate (unadjusted) | 0.660 | 0.500 | 0.880 | 0.004 |
| Model 2: adjusted for age, sex, race/ethnicity, baseline A1C | 0.675 | 0.508 | 0.898 | 0.007 |
| Model 3: model 2 adjustments + treatment group | 0.672 | 0.504 | 0.897 | 0.007 |
| Model 4: model 3 adjustments + average A1C during follow-up | 0.850 | 0.630 | 1.147 | 0.288 |
| Model 5: model 3 adjustments + diabetes status at end of follow-up | 0.738 | 0.544 | 1.001 | 0.051 |
| Neuropathy | | | | |
| Model 1: univariate (unadjusted) | 0.810 | 0.610 | 1.070 | 0.131 |
| Model 2: adjusted for age, sex, race/ethnicity, baseline A1C | 0.950 | 0.700 | 1.280 | 0.738 |
| Model 3: model 2 adjustments + treatment group | 1.024 | 0.754 | 1.392 | 0.878 |
| Model 4: model 3 adjustments + average A1C during follow-up | 1.144 | 0.834 | 1.571 | 0.404 |
| Model 5: model 3 adjustments + diabetes status at end of follow-up | 1.055 | 0.761 | 1.464 | 0.747 |
average A1C over time (model 4) and lower risk for diabetes (model 5). Microvascular complications can and do occur in people with prediabetes (16–18), and the collective evidence has been deemed sufficient to result in treatment guidelines for people with prediabetes (19–21)—largely resembling those for diabetes itself. Guidelines put forth by the American Association of Clinical Endocrinologists specifically advocate for the restoration of normoglycemia and multiple risk factor intervention for the prevention of atherosclerotic cardiovascular disease in people with prediabetes (22). Altogether, the paradigm of treating prediabetes to prevent complications is directly akin to our goals for people with diabetes and argues against the notion of a “pre” disease.

Benchmarks of care for diabetes are based on the A1C level where the classic microvascular complications emerge (23). Importantly, however, controversy exists as to whether the relationship between A1C and microvascular disease is continuous (24) or curvilinear (16), casting a degree of ambiguity on the timing for intervention. For example, the DETECT-2 Collaboration did observe an inflection point between glycemic measures and retinopathy in people without diabetes (17), whereas long-term follow-up of people with early diabetes in the UK Prospective Diabetes Study (UKPDS) shows the presence of micro- and macrovascular disease across
the A1C range, including what is now considered the at-risk “prediabetes” A1C range (i.e., 5.7–6.4%) (25), according to the American Diabetes Association (26). The current analysis is consistent with the latter observation, as we found an ~10% increase in the probability of having aggregate microvascular disease at DPPOS year 11 (roughly from 25 to 35%) (Fig. 2A) when A1C changes from A1C 5.7–6.4%, with no clear inflection point. Likewise, the relationship between average A1C over time and nephropathy also appeared relatively linear. Together, it may be time to revisit whether prediabetes is actually an earlier form of diabetes (27).

It is alluring to imagine an A1C threshold below which patients are fully protected from diabetes complications (28). This quest has proven less straightforward than is widely acknowledged. Accordingly, a number of previous reviews have revisited the A1C threshold where diabetes-related microvascular complications occur (25,29–31). Even for retinopathy (which experts believe to be the most diabetes-specific complication), when A1C thresholds are calculated, they range widely from 5.2 to 7.8% (30). Kowall and Rathmann (30) cite a number of reasons for the discrepant findings including differing criteria for and method of defining retinopathy, statistical methodology, population ethnicity influence on A1C, and the use of nonstandardized A1C assays. Results from the DPPOS provide valuable longitudinal data in a well-described cohort. The current analysis highlights different relationships between the microvascular disease subtypes and A1C over time. For example, prevalence of nephropathy showed a linear increase over the A1C range, whereas the relationship between retinopathy and A1C was curvilinear, and no relationship was seen for neuropathy and A1C from 4 to 11%. This observation suggests that neuropathy—as assessed by sensation to light touch—is less related to hyperglycemia (or the accompanying metabolic milieu) compared with retinopathy and possibly nephropathy. It is also consistent with the relatively poor sensitivity and specificity for A1C to predict neuropathy highlighted in a recent review (30). In the era of precision medicine, these findings may have implications for the timing of glucose-lowering intervention based on someone’s risk for a particular microvascular disease subtype.

Despite the risk for diabetes-related complications for people with prediabetes, goals for this patient population are largely limited to diabetes prevention via lifestyle modification (32). For example, the DPP inspired the Centers for Disease Control and Prevention (CDC)-funded National Diabetes Prevention Program (NDPP). The NDPP aims to make the lifestyle curriculum developed for DPP available to the public to prevent diabetes in the U.S. population. One major shortfall, however, is the fact that few NDPP programs follow plasma glucose level or A1C (33) leaving the only true metric for success the national diabetes incidence rate. In contrast, pursuit of regression from prediabetes to normoglycemia—by way of lifestyle modification with or without medical therapy—is measurable and actionable in a clinical setting and can reduce the risk for both diabetes and diabetes-related complications, even if only transiently.

Collection and analysis of data from the DPPOS continue to provide valuable insights into the natural history and impact of preventive efforts on the development of diabetes and its complications. Nevertheless, several limitations of our current analysis warrant mention. This analysis is post hoc conducted postintervention during observational follow-up, and results should be viewed as hypothesis generating. Associations do not confirm causation. For example, people with prediabetes may spontaneously regress to normoglycemia due to inherently lower risk for diabetes rather than, or in addition to, a treatment response (34). We did not analyze the glycemic status of people confirmed as having diabetes who also could have later regressed to prediabetes or NGR. Thus far, prevalence of complications, especially for the individual components of microvascular disease, has been very low, and the complications data were ascertained with different schedules. This is in part because the average A1C over follow-up has been relatively low (i.e., only seven participants have average A1C >10% during follow-up). In addition, the lower sensitivity of our methods of detection for some of the microvascular disease end points complicate direct comparisons of individual microvascular disease outcomes.

Presence of microvascular disease was not an exclusion to enroll into DPP.

In conclusion, regression from prediabetes to normoglycemia is associated with a lower prevalence of aggregate microvascular disease, nephropathy, and retinopathy due to lower glycemic exposure over time. The current analysis also highlights different relationships between the microvascular disease subtypes and glycemia over time. Timing for glucose-lowering intervention(s) may well need to change as tools are developed to determine individual risk for microvascular disease and its subtypes.

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