QUANTUM HALL PHYSICS IN STRING THEORY*

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In certain backgrounds string theory exhibits quantum Hall-like behavior. These backgrounds provide an explicit realization of the effective non-commutative gauge theory description of the fractional quantum Hall effect (FQHE), and of the corresponding large $N$ matrix model. I review results on the string theory realization of the two-dimensional fractional quantum Hall fluid (FQHF), and describe new results on the stringy description of higher-dimensional analogs.

1. Introduction

Two of the most exciting developments in physics in the last 20 years have been the (experimental) discovery of the fractional quantum Hall effect (FQHE) [1], and the (theoretical) discovery of superstring theory [2]. The two disciplines, and even more so their practitioners, could not have less to do with each other however. Or so it seemed. In this lecture I will describe configurations in superstring theory which exhibit the fractional quantum Hall effect, thereby establishing a connection between these two fields of physics. This connection suggests a new direction for string theory, namely as an effective theory of the FQHE. In no way do I mean to imply that string theory should replace the Coulomb Hamiltonian as the microscopic physics underlying the FQHE. I simply propose that string theory, in the particular configurations described below, may present an improvement over other theories as an effective long-wavelength description of the FQHE. String theory in fact implies the effective gauge theory of the FQHE. In particular, I will show that the string theory picture provides a derivation of Susskind’s recent proposal that the ground state of the FQHF is described by a non-commutative Chern-Simons (NCCS) gauge theory [3]. This, together with

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the direct derivation of the quantization of the inverse filling fraction, and
the fractionally charged quasiparticles, serves as evidence for my proposal.

Most of this lecture follows work with John Brodie and Yuji Okawa [4],
and a continuing collaboration with John Brodie [5].

2. Branes and the 2d FQHE

2.1. The D0-brane quantum Hall fluid

The configuration for the two-dimensional FQHF consists of a D2-brane
in the background of an even number $2k$ of D8-branes, and a $B$-field $b$
along the D2-brane (Figure 1a). The D8-brane background implies that
the low-energy effective spacetime theory is massive IIA supergravity [6],
where the cosmological constant is given by the RR 0-form field strength
$G_0 = k$ [7]. The $B$-field has two effects: it induces D6-brane charge in the
D8-branes, and D0-brane charge in the D2-brane. This is a consequence of
the Chern-Simons term in the $D_p$-brane world-volume theory,
\[ S_{\text{CS}} = \int C \wedge e^{F+B}, \]
where $C$ represents a formal sum of all the odd-degree RR potentials. The
induced D0-brane and D6-brane charge densities are therefore given by
$\rho_0 = b$ and $\rho_6 = 2kb$, respectively. The D6-branes are a source of a uniform
magnetic RR field perpendicular to the D2-brane, $G_2 = dC_1 = kb$. We
therefore end up with a system of charged particles, the D0-branes, moving
in two dimensions, i.e. in the D2-brane, in a uniform background magnetic
field. The Landau level filling fraction is given by
\[ \nu = \frac{\rho_0}{G_2} = \frac{1}{k}. \]

Furthermore, it can be shown that the two-dimensional statistics of the
D0-branes are fermionic for odd $k$ and bosonic for even $k$. This configuration
therefore describes the primary Laughlin state of the FQHF at filling
fraction $1/k$ [8], with the D0-branes playing the role of the electrons.

2.2. The D2-brane effective gauge theory

The above system can also be studied from the point of view of the D2-
brane world-volume. The low energy dynamics of the D2-brane is described
by the three-dimensional world-volume gauge theory,
\[ S_{D2} = S_{\text{DBI}} + S_{\text{CS}} + \frac{k}{4\pi} \int A \wedge F, \]
where $S_{DBI}$ is the Dirac-Born-Infeld action, and the additional Chern-Simons term is a result of integrating out massive fermions coming from the D2-D8 strings. In order to decouple the world-volume theory from the bulk we need to scale the various parameters and fields in a particular way as we take the low-energy limit $\alpha' \to 0$. There is actually some freedom here, and we choose the following scaling behavior:

$$b \sim 1, \ C_n \sim (\alpha')^{n/2}, \ g_s \sim (\alpha')^{3/2+\epsilon}, \ G_{ij} \sim (\alpha')^{2+\delta} \ (i,j=1,2),$$

(4)

where $\delta > \epsilon > 0$. Apart from the contributions of the background D2-brane and D0-brane charges, the action reduces to

$$S_{D2} = \frac{k}{4\pi} \int A \wedge F + \frac{1}{2\pi} \int C_1 \wedge F.$$  

(5)

What we have obtained in (5) is known as the effective hydrodynamic gauge theory of the quantum Hall fluid [9, 10]. The world-volume gauge field $A$ plays the role of the hydrodynamic gauge field, which describes the fluctuations of the fluid, and the RR field $C_1$ plays the role of the electromagnetic gauge field. The second term corresponds simply to the coupling of the charge to the external electromagnetic field. The special properties of the fluid are encoded in the first term, which is an ordinary Abelian CS action.

The scaling behavior described above is a generalization of the Seiberg-Witten limit [11], which corresponds to $\delta = \epsilon = 0$. In this case the world-volume gauge theory is most naturally expressed as a non-commutative gauge theory, in terms of a new gauge field $\hat{A}$, and a new kind of product called the Moyal star product. In our case the CS action is re-expressed as
a non-commutative CS action,

\[ \hat{S}_{NCCS} = \frac{k}{4\pi} \int \left( \hat{A} \star d\hat{A} + \frac{2i}{3} \hat{A} \star \hat{A} \right) . \]  

This reproduces Susskind’s improved proposal that, in order to capture the graininess of the quantum Hall fluid, one needs to elevate the effective hydrodynamic gauge theory to a non-commutative gauge theory [3].

2.3. The quasihole/quasiparticle excitations

The lowest lying excitations of the fractional quantum Hall fluid are fractionally charged states which carry a unit of magnetic flux. These are known as quasiparticles or quasiholes, depending on the sign of the charge and flux. We create such an excitation in the string theory picture by taking an additional D6-brane across the D2-brane (Figure 1b). The D6-brane is completely orthogonal to the D2-brane, in other words the two branes are “linked” in the sense of [12]. This move has two physical consequences: it changes the \( G_2 \) flux by one unit, and it creates a string between the D6-brane and the D2-brane. The end of the string actually carries a fractional D0-brane charge \( 1/k \) in the D2-brane. This can be shown by extending Strominger’s charge conservation argument [13] to D-branes in massive IIA supergravity [5]. This means that the D0-brane density is now

\[ \rho_0(x) = \left( B + \frac{1}{kA} \right) - \frac{1}{k} \delta^{(2)}(x) , \]  

where \( A \) is the area of the D2-brane. The shift in the uniform component of the density is due to the change in \( G_2 \), and this ensures that the filling fraction of the fluid remains \( 1/k \). The localized component is the fractionally charged quasiparticle (or rather quasihole in this case).

2.4. The matrix model

The role of the electrons in our quantum Hall fluid is played by D0-branes. We can therefore describe the fluid alternatively in terms of a large \( N \) D0-brane matrix model, which in the scaling limit (4) reduces to

\[ S_{D0} = \int dt \text{Tr} \left[ G_2 X^1D_0X^2 - kA_0 + i\psi^\dagger_0D_0\psi_0 \right] . \]  

The first term is the Lorentz interaction of the D0-branes with the RR 2-form field strength, the second term is a one-dimensional CS term which comes from the coupling of the D0-branes to the RR 0-form field strength,
and the last term corresponds to the D0-D8 fermions. This is precisely the matrix model proposed by Polychronakos as an alternative formulation of the NCCS theory of the FQHF [14]. The allowed D0-brane configurations are solutions of the constraint

$$G_2[X^1, X^2] + i\psi^\dagger \psi = ik\mathbf{1}.$$  \hfill (9)

A D2-brane is a large $N$ solution for which $\text{Tr}[X^1, X^2] = iA$. In particular, the ground state of the FQHF is given by

$$[X^1, X^2] = \frac{iA}{N}\mathbf{1}, \quad \psi^\dagger \psi = 0,$$  \hfill (10)

and a FQHF with a quasihole excitation is given by

$$[X^1, X^2] = \frac{iA}{N+\frac{1}{k}} \left(1 + \frac{1}{k}|0\rangle\langle 0|\right), \quad \psi^\dagger \psi = -|0\rangle\langle 0|.$$  \hfill (11)

### 3. Branes and higher-dimensional FQHFs

Higher dimensional analogs of the FQHE have recently been proposed. These include non-Abelian particles moving on $S^4$ in a background $SU(2)$ instanton gauge field [15], as well as ordinary charged particles on $CP^3$ [16], and more generally $CP^n$ [17], in a background $U(1)$ magnetic field. In the large volume limit the latter system becomes a planar $2n$-dimensional quantum Hall fluid with a uniform magnetic field in each of the $n$ independent planes. The main properties of these generalized QHFs are:

1. Quantized inverse filling fraction $\nu = 1/k^n$, $k$ odd.
2. Fractionally charged quasiholes/quasiparticles, charge $\pm \nu$.
3. Brane excitations with fractional statistics.

String theory provides a natural generalization of the planar QHF to four and six dimensions, by replacing the D2-brane with a D4 or D6-brane, respectively. Let me focus on the four-dimensional case, the generalization to six dimensions should be straightforward. The configuration consists of a D4-brane in the background of $2k$ D8-branes and two non-trivial components of the $B$-field, $b, b'$, along the two planes of the D4-brane. This will induce both D2-branes and D0-branes in the D4-brane, and D6-branes and D4-branes in the D8-branes (Figure 2a). The 4-dimensional analog of the Landau level filling fraction is given by the ratio of the D0-brane density and the product of the two RR magnetic fields due to the D6-branes,

$$\nu = \frac{\rho_0}{G_2 G_2'} = \frac{bb'}{(kb)(kb')} = \frac{1}{k^2}.$$  \hfill (12)
which agrees with property 1 above.

\begin{equation}
S_{D4} = \frac{k}{24\pi^2} \int A \wedge F^2 + \frac{1}{(2\pi)^2} \int C_1 \wedge F^2 + \frac{1}{2\pi} \int C_3 \wedge F.
\end{equation}

This is our proposal for the effective gauge theory of the four-dimensional quantum Hall fluid at filling fraction $1/k^2$. As in the two-dimensional case, $A$ corresponds to the hydrodynamic gauge field, and $C_1$ to the electromagnetic gauge field. The new ingredient here is the 3-form gauge field $C_3$, whose field strength $G_4$ was also part of the background. This field did not appear in the original proposals for the higher-dimensional QHFs, but it is a very natural extension.

3.1. Quasimembranes

The 3-form couples naturally to the membrane excitations of the 4-dimensional QHF [16]. Let me briefly describe how these membranes appear in the stringy construction. Consider a process similar to the one discussed in the context of the quasiparticles in the two-dimensional case, namely a D6-brane moving across the D4-brane (Figure 2b). The D6-brane is “linked” with the horizontal D2-branes in the D4-brane, so strings are created between the D6-brane and these D2-branes. One ends up with a
2-dimensional array of strings, each of which carries a $1/k$ D0-brane charge in the D4-brane. This array forms a membrane-like object in the D4-brane. It turns out that this object also carries $1/k$ charge under $C_3$. It therefore corresponds to a fractional D2-brane, in the same sense that the end of a single string corresponds to a fractional D0-brane. We therefore call it a quasimembrane. Quasimembranes come in two varieties, depending on whether the D6-brane is linked with the horizontal or vertical D2-branes.

4. Where do we go from here?

This program can be extended in a number of directions. We have considered planar QHFs of infinite extent corresponding to infinite branes. It would be interesting to construct other geometries, as well as finite systems. For example, a QHF on a strip should correspond to a D2-brane suspended between two NS5-branes. Such a system should exhibit massless edge excitations, in addition to the massive quasiparticles. We would also like to understand how the hierarchy of filling fractions arises in the stringy picture. There are a couple of different phenomenological models of the hierarchy [18, 19], and the question is which, if any, is realized by the stringy QHF.

Brane realizations of gauge theories in string theory have led to many new insights into the dynamics of gauge theories, especially through the large $N$ gauge/gravity duality. The FQHE can also be described by an (effective) gauge theory - one which we were able to realize using branes in string theory. Is there a FQHE/gravity duality? To answer this question one must first find the supergravity solution corresponding to the brane configuration I described. This has not been done yet. The real test of the usefulness of the string theory/QHE connection is whether string theory teaches us something new about the fractional quantum Hall effect. This is yet to be determined.

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