Fault-Tolerant Control in a Peak-Power Reduction System of a Traction Substation with Multi-String Battery Energy Storage System

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Abstract: This paper introduces the concept of fault-tolerant control (FTC) of a multi-string battery energy storage system (BESS) in the dynamic reduction system of a traction substation load (DROPT). The major task of such a system is to reduce the maximum demand for contracted peak power, averaged for 15 min. The proposed concept, based on a multi-task control algorithm, takes into account: a three-threshold power limitation of the traction substation, two-level reduction of available power of a BESS and a multi-string structure of a BESS. It ensures the continuity of the maximum peak power demand at the contracted level even in the case of damage or disconnection of at least one chain of cells of the battery energy storage (BES) or at least one converter of the power conversion system (PCS). The proposed control strategy has been tested in a model of the system for dynamic reduction of traction substation load with a rated power of 5.5 MW. Two different BESS implementations have been proposed and several possible cases of failure of operations have been investigated. The simulation results have shown that the implementation of a multi-string BESS and an appropriate control algorithm (FTC) may allow for maintenance of the major assumption of DROPT, which is demanded power reduction (from 3.1 MW to 0.75 MW), even with a reduction of the BESS available power by at least 25% and more in the even in fault cases.

Keywords: fault-tolerant control; traction substation; battery energy storage system; peak-power reduction; demanded 15 min peak power; averaged 15 min power; three-threshold power limitation

1. Introduction

1.1. Energy Storage Systems in Traction Application

The basic cost incurred by the traction substation operator is usually the fee for the consumed energy [1], but also the fee for 15 min average power demand [2] as the fixed operating expenditure cost (OPEX) is considered [3]. Sometimes, for instance in Korea, the energy and power demand fee is charged additionally with the time-of-use tariff (TOU) [4], while the local distribution network operator (for example in Thailand) may also charge the traction substation operator with a service fee and a power factor fee [5].

There are various ways to limit the maximum instantaneous power and the demanded 15 min averaged power of traction substation. One of them may be the optimization of rolling stock movement by the introduction of an appropriate control algorithm based on the simplified Newton-Raphson method employs a set of current-balance equations at each electrical node [6]. Another effective way to reduce peak power demand, even by 40%, could be the speed in motion and start-stop moments control of a given vehicle, based on two approaches: “Service Headway Braking” (SHB) and “Extending Stopping Distance Interval” (ESDI) [7], as well and the development of operational strategies and design strategies to reduce the energy consumption of overhead lines [8]. A good method of improving the energy efficiency (by 8%) is the effective use of regenerative energy by...
dwell time optimization in urban rail transit using genetic algorithm [9], based on the traffic schedule of traction vehicles. It is also expected that the implementation of an on-board (OBESS) and a way-side (WESS) [10] or a track-side (TESS) [11] energy storage system could have a large impact on instantaneous as well as on demanded power reduction.

In the case of the OBESS structure, electric double-layer capacitors (EDLC) [11] are increasingly used. According to [5] the implementation of an OBESS with the peak demand reduction strategy may support reduction of the peak power by 63.49% and energy saving by 15.56% in a DC traction substation. A good and proven solution is also the implementation of flywheel energy storage (FES) [12]. On the other hand the use of some battery technologies, for example, nickel metal hydride (NiMH) technology, may be associated with a potential risk of cell explosion [11]. Although the implementation of a WESS [13] or TESS based on supercapacitor (SC) technology also has a positive effect on the peak power reduction [13], and the off-board location allows for a freer use of a wider group of energy storage (ES) technologies, especially lithium-ion (Li-ion) [14]. A very important aspect is also the optimal determination of the WESS location [15], which in turn may lead to a wider application, such as:

- voltage stabilization;
- energy saving;
- load levelling;
- peak power reduction [16].

The implementation of the energy storage system (ESS) in the traction substation as a part of a dynamic reduction system of the traction substation load (DROPT) [17] allows for the reduction of instantaneous power as well as for reduction of the demanded power, which directly reduces the fixed operating costs. Such a system can also limit voltage fluctuation and voltage drops on both the AC and DC sides [17]. Apart from partial peak power limitation, energy storage systems can also be used to accumulate regenerative energy and, in extreme cases, also to reserve power supply [18].

There are many papers considering energy storage systems, including their control and sizing in railway applications. For example the author of the article [19] proposes the control and operation of a single-phase 13-stage power conversion system (PCS) which, together with the energy storage (ES), is used to reduce the peak power of a high-speed railway substation (HSRS). In [20] two concepts of ESS implementation and their impact on the 15 min power demand limitation and reduction in energy consumption limitation have been considered. The authors have researched the SC energy storage and the more effective hybrid energy storage system (HESS) consisting of SC and the LFP (lithium iron phosphate battery—LiFePO\textsubscript{4}), in which the SC is used for the utilization of regenerative energy, while the LFP battery is used to compensate the 15 min power demand of the TS in order to reduce power demand charge Both variants were also researched taking into account the payback time of the ESS investment. The Simple Payback Time (SPBT) of ESS implementation decreased from 15.9 to 10.8 years, using SC with LFP. In order to minimize the peak power of the traction substation and to better use the capacity of a BESS in urban rail transport, the article [21] proposes a strategy based on dynamic control of energy transfer that is based on state of charge (SoC) regulation. The paper [22] presents a control strategy based on the SoC tracking in order to better use the ES capacity to reduce the peak power. For the dimensioning, location, optimal operation of the ESS and the appropriate energy management strategy, the authors of the article [23] introduce an optimization method that combines a genetic algorithm and a simulation platform of the urban rail power system. In [17], presented the BESS sizing method of a DROPT system determined by using a one-threshold power limitation. The proposed BESS dimensioning method and its implementation in the TS structure allows to significantly reduce the contracted power demand of a TS from 3 MW to 0.8 MW. Further, the article [24] proposes a method with a two-threshold limitation allowing for more optimal BESS parameters of the DROPT system determination and shorting the SPBT of BESS by reducing the required BESS power
from 5.26 MW to 2.26 MW and maintaining similar properties as DROPT system presented in [17].

The literature review mostly presents the aspects such as: methods of limiting peak power, methods of reduction the maximum demand for contracted peak power and energy consumption in the railway traction substation or in the traction vehicle equipped with an on-board, implementations of wayside or stationary energy storage system. The reviewed literature is focused methods of optimal dimensioning, selection of location of these systems and optimal selection of their storage technology. There are very important aspects discussed widely, but focusing only on the steady or dynamic state control in normal operating conditions, without focusing on emergency or failure state of BESS. It causes that their implementation in the TS structure may not fulfill their basic task. Due to the fact that there is still a lack for energy storage solution implemented in the dynamic reduction system of traction substation load which has been investigated in the case of fault or emergency cases, the fault tolerant control (FTC) method for BESS implemented in a TS has been proposed in this paper.

1.2. Contribution of the Paper

This paper presents the concept of the fault-tolerant control of a dynamic reduction system in a traction substation load (DROPT-FTC). The proposed control strategy uses a three-threshold power limitation method and enables the realization of the major task of DROPT, which is the limitation of the power demand even in the case of damage to the PCS segment or the BES chain. The proposed concept is based on the knowledge previously obtained in BESS dimensioning and control, using the one-threshold power limitation method presented in [17] and two-threshold power limitation method presented in [24]. In order to present and to test this concept, the real measurement data (load power flow, TS supply side power flow, charge and discharge power profile of BESS) obtained in a selected substation in which previously the DROPT system was implemented have been used. Whereas the optimally selected BESS, that is, power and energy have been determined previously for implementing DROPT system in a selected TS, using the one-threshold power limitation method [17] and the 15 min averaging method presented in [25].

The main contributions of this paper are:

- Presentation of the new control strategy concept of the DROPT-FTC system that tolerates damage of PCS or BES segments;
- Description of the decision algorithm and control method;
- Analysis of the DROPT-FTC system in several cases of single components failure;
- Determination of the range of the power limitation of the DROPT-FTC system in the event of failure of the BESS components which still allows for the maintenance of the assumed limitation of the demanded power.

1.3. Structure of the Paper

After the introduction, in the Section 2, the facility is analysed and the BESS operational parameters of the fully active DROPT-FTC system are presented. Section 3 presents the graph and describes the proposed control algorithm of a multi-string BESS, which allows for operational continuity in a fault event. Section 4 introduces two proposals of the structure of the BESS of the DROPT-FTC. In Section 5 the analysis of the two proposed structures of DROPT-FTC for several operational scenarios with BESS component failure (PCS or BES) have been commented on. Section 6 presents an analysis of the DROPT-FTC system maintenance reliability in the event of failure compared to a system with full power and energy availability. The article ends with Section 7, containing a short review and main conclusions, and Section 8 shows plans for future research.
2. Traction Substation with DROPT-FTC System

2.1. Basic Description of Proposed System

The structure of a traction substation (TS) system with the proposed DROPT-FTC system has been shown in Figure 1. The DROPT-FTC system is connected to the direct voltage (DC) network at the point of common coupling (PCC), and consists of three basic modules:

- several parallel-connected DC/DC converters of the PCS system (multi-converter PCS);
- several parallel-connected BES link chains (multi-chain BES);
- BESS-SCADA master controller.

![Simplified diagram of traction substation with DROPT-FTC system.](image)

The master BESS-SCADA controller is responsible for: (i) controlling the PCS-CC controller of the PCS system; (ii) processing of the information obtained from the battery management system (BMS); (iii) voltage and current measurements processing; (iv) realization of the main control algorithm and (v) information exchange with the external systems.

The two major tasks of the DROPT-FTC system are:

- reduction and maintenance of the demanded (contracted) 15 min peak power \( P_{\text{lim Dem AC avg}(15-\text{min})} \) at the assumed and adjusted level;
- reduction of instantaneous power \( P_{\text{AC avg}(1-s)} \) (full effectiveness possible only in failure-free state of DROPT-FTC).

The basic parameters of the TS and the DROPT-FTC system have been introduced in Table 1. The presented data refers to the real object (one of the traction substations in Western Poland) where the dynamic load reduction system of a traction substation (DROPT [17]) was successfully implemented at the beginning of 2021 and where the FTC control is planned to be implemented to improve system reliability in the cases of PCS or BES partial failure.

![Table 1.](image)
and \( \text{SoC}^{\max}_{\text{BES}} = 90\% \) being assumed [28]. It strictly results from cycle life characteristic of NMC (Nickle Manganese Cobalt) cells implemented in DROPT system. Although this assumption reduces the available energy \( E^{\text{DROPT-FTC(max)}}_{\text{BES}} \) by half in comparison to the rated energy \( E^{\text{DROPT-FTC(R)}}_{\text{BES}} \) of BES of the DROPT-FTC system, it guarantees a longer lifetime of the battery cells [29] in an application with a high number of very dynamic power flow cycles [27]. The operation and lifetime of the DROPT has been planned for least 15 years [24].

During the development process of DROPT system the investment costs as well as period of proper operation were taken into account. The optimal BES parameters were obtained for the above minimum and maximum SoC threshold.

Table 1. Basic parameters of TS and DROPT-FTC.

| TS                  | DROPT-FTC             |
|---------------------|-----------------------|
| \( p_T^R \) (MW)   | \( p_\text{Dem}_{\text{AC_avg}}(15\text{-min}) \) (MW) | \( p_{\text{lim}}^\text{max} \) (MW) | \( p_{\text{BESS}} \) (MW) | \( E_{\text{DROPT-FTC(R)}} \) (MWh) | \( E_{\text{DROPT-FTC(max)}} \) (MWh) | \( \text{SoC}^{\max}_{\text{BES}} \) (%) | \( \text{SoC}^{\min(n)}_{\text{BES}} \) (%) |
| 6.30               | 3.10                  | 0.75                     | 5.50                     | 1.80                     | 0.90                     | 90                     | 40                     |

2.2. Load Reduction with DROPT-FTC in Normal State

The typical (measured) weekly power profiles of instantaneous \( P_{\text{AC_avg}}(1\text{-s}) \) (1 s averaged) and the 15 min average \( P_{\text{AC_avg}}(15\text{-min}) \) powers on the AC side of above mentioned traction substation are shown in Figure 2. The real measurements have been recorded using power quality recorders and the analysis of the measurement data \( (P_{\text{AC_avg}}(1\text{-s})) \) was performed in the RStudio using a 15 min average method [25] in accordance with the EN standards [30,31].

![Figure 2. Typical weekly power profiles on AC side of traction substation.](image)

The daily power profiles of \( P_{\text{AC_avg}}(1\text{-s}) \) and \( P_{\text{AC_avg}}(15\text{-min}) \) in normal state have been shown in Figures 3 and 4 (with DROPT-FTC system inactive and with DROPT-FTC system activated respectively).
As can be seen in Figure 4 in a normal state, without any failure in PCS and in BESS, the instantaneous power on the AC side of TS has been reduced below the adjusted level (0.75 MW) using power balancing in PCC. The deficit in load power has been covered by power $P_{BESS}^d$ delivered from the energy storage side (Figure 5).

During the traffic hours power balancing in PCC leads to discharging of energy storage (BESS) down to 40% of SoC. BESS is charged back to 90% at night hours when the train traffic drops down. The daily state of charge $SoC_{BESS}$ profile of BESS corresponding to daily power profile (Figure 5) has been introduced in Figure 6.
Figure 6. Daily state of charge SoC_BES profile of BESS in normal state of DROPT-FTC.

3. Fault-Tolerant Control of Multi-String Battery Energy Storage System

3.1. Adaptation of Power Limits in DROPT-FTC

Referring to the structure and principal of operation of the DROPT system presented in [17] and based on the one-threshold or two-threshold limitation of instantaneous power [24], the DROPT-FTC system based on a three-threshold limitation has been proposed. This system in the (n-1) status, when one PCS or one chain of BES cells is damaged or disconnected, enables the keeping of the major assumption, which is to maintain the demanded power $P_{\text{lim}}$ of the traction substation below the adjusted limit. Moreover, the proposed control strategy and the BESS structure may allow the maintenance of the major assumption even in the status (n-2).

The proposed method with a three-threshold reduction strategy has been introduced in Figure 7.

Figure 7. Adaptation of power limits in DROPT-FTC system: (a) three-threshold power limits; (b) average power in considered cases; (c) state of charge variation in the case of $\text{SoC}(n)$; (n) $\forall$ n, n-1, n-2.

In the considered DROPT-FTC system the below listed parameters have the following meaning:

- $P_{\text{lim}}^n_{\text{BESS}}$—power limit of BESS in a one-threshold reduction strategy in the normal state of the BESS, when all of PCS and BES strings are available;
- $P_{\text{lim}}^{n-1}_{\text{BESS}}$—power limit of BESS in a two-threshold reduction strategy in a state of limited availability of the BESS, when at least one PCS or BES string is damaged or disconnected;
- $P_{\text{lim}}^{n-2}_{\text{BESS}}$—power limit of BESS in three-threshold reduction strategy in state of limited availability of the BESS, when at least two PCSs or BES strings are damaged or disconnected;
• $p_{\text{lim}}^{\text{Dem}}$ — demanded power limit, parameter controlled by DROPT-FTC system, maximum value of 15 min averaged power $p_{\text{lim}}^{\text{Dem, AC, avg}(15-\text{min})}$.

• $p_{\text{AC, avg}(1-s)}^n$, $p_{\text{AC, avg}(1-s)}^{n-1}$, $p_{\text{AC, avg}(1-s)}^{n-2}$ — instantaneous powers on the AC side of traction substation: in normal state, $n-1$ state and $n-2$ state respectively.

The three-threshold power reduction strategy could be provided as follows:

• one-threshold reduction strategy, of so-called “bottom-up adaptation” [17,24], in which the maximum instantaneous power $\max p_{\text{AC, avg}(1-s)}$ is reduced by balancing DC load power $P_{\text{DC, load}}$ with the BESS available power $P_{\text{BESS}}$ to be below the level:

$$P_{\text{AC, avg}(1-s)}^n \leq P_{\text{lim}}^{\text{Dem, AC, avg}(15-\text{min})} = p_{\text{lim}}^{\text{Dem}}.$$

• two-threshold reduction strategy, of so-called “top-down adaptation” [24] in which the maximum instantaneous power $\max p_{\text{AC, avg}(1-s)}$ is reduced by balancing DC load power $P_{\text{DC, load}}$ with the available power $P_{\text{BESS}}^{n-1}$ to be below the level:

$$P_{\text{AC, avg}(1-s)}^{n-1} > p_{\text{lim}}^{\text{Dem, AC, avg}(15-\text{min})} = p_{\text{lim}}^{\text{Dem}}.$$

• three-threshold reduction strategy, of so-called “top-down adaptation” in which the maximum instantaneous power $\max p_{\text{AC, avg}(1-s)}$ is reduced by balancing DC load power $P_{\text{DC, load}}$ with the available power $P_{\text{BESS}}^{n-2}$ to be below the level:

$$P_{\text{AC, avg}(1-s)}^{n-2} \gg p_{\text{lim}}^{\text{Dem, AC, avg}(15-\text{min})} = p_{\text{lim}}^{\text{Dem}}.$$

Summarizing the above considerations and dependencies:

- the first-threshold is directly proportional to $p_{\text{lim}}^{\text{Dem}}$.
- the second-threshold depends on the available power of BESS when one PSC or BES fails.
- the third-threshold is depends on the available power of BESS when two PCS or BES fails.

In the one-threshold reduction strategy, the balancing with available power of BESS reduces the maximum instantaneous power $\max p_{\text{AC, avg}(1-s)}$ on the AC side of TS equal to $\max P_{\text{DC, load}}$ of the TS below the level $p_{\text{lim}}^{\text{Dem, AC, avg}(15-\text{min})}$, while in the two-threshold and three-threshold reduction strategies, the reduced available power of BESS still allows the maintenance of $p_{\text{lim}}^{\text{Dem, AC, avg}(15-\text{min})}$ at a very similar level, but does not allow the reduction of the instantaneous power below this level.

3.2. Control Algorithm of the DROPT-FTC

The simplified graph of the multi-function control algorithm is shown in Figure 8. This algorithm allows the control of the DROPT-FTC system in the state of its partial failure, with the single PCS or BES segments being damaged or disconnected. The basis of its functionality rests on the knowledge about the state or status of each PCS or BES segment. This knowledge is translated into available power or energy by the algorithm performing specific functions based on a few major dependencies:

$$\begin{aligned}
\begin{cases}
  p_{\text{lim}}^{\text{BESS}} = f(P_{\text{PCS}}(n)); \forall n, n-1, n-2 \\
  p_{\text{lim}}^{\text{BESS}} = f(P_{\text{BESS}}(n)); \forall n, n-1, n-2 \\
  E_{\text{BESS}}^{\text{DROPT-FTC(max)}} = f(E_{\text{BESS}}(n)); \forall n, n-1, n-2 \\
  E_{\text{BESS}} = f(P_{\text{BESS}}(n)); \forall n, n-1, n-2 \\
  E_{\text{BESS}}^{\text{DROPT-FTC(max)}} = f(SoC_{\text{BESS}}(n)); \forall n, n-1, n-2
\end{cases}
\end{aligned}$$
Figure 8. Simplified flowchart of multi-function, fault-tolerant control algorithm of DROPT-FTC system.

In the different states with full or limited availability of DROPT-FTC elements the algorithm also performs the following functions:

\[
\begin{align*}
    P_{\text{c}}^{\text{BES}} &= f(SoC_{\text{BES}}(n)); \forall n, n-1, n-2 \\
    P_{\text{c}}^{\text{BESS}} &= f(P_{\text{BESS}}(n)); \forall n, n-1, n-2 \\
    P_{\text{c}}^{\text{BESS}} &= f(P_{\text{BESS}}(n)); \forall n, n-1, n-2 \\
    P_{\text{d}}^{\text{BESS}} &= f(P_{\text{BESS}}(n)); \forall n, n-1, n-2 \\
    P_{\text{d}}^{\text{BESS}} &= f(SoC_{\text{BESS}}^{\text{min}}(n)); \forall n - 2
\end{align*}
\]

(2)

where: \( P_{\text{c}}^{\text{BES}} \) is the charge power of BES; \( P_{\text{d}}^{\text{BESS}} \) is the discharge power of BESS.
In the proposed algorithm the loss of available energy of BES is compensated by gradual adjustment of minimum discharge limitation $SoC_{min}^{BES}$ of BES according to:

$$\begin{align*}
SoC_{min(n)}^{BES} &= 40\% SoC_{max}^{BES}; \forall n \\
SoC_{min(n-1)}^{BES} &= 30\% SoC_{max}^{BES}; \forall n - 1 \\
SoC_{min(n-2)}^{BES} &= 20\% SoC_{max}^{BES}; \forall n - 2
\end{align*}$$

The $SoC$ parameter is not measurable in practical applications. In simulation research presented in this paper the well-known and simple method based on coulomb counting (CC) [32] has been used to estimate $SoC$ instantaneous value. In each considered state of DROPT system the $SoC$ value has been calculated in relation to available capacity of BES. All simulations performed in RStudio use the real load data obtained in a real object with the parameters presented in Table 1.

The strategy of gradual limitation of the minimum level $SoC_{min}^{BES}$ from 40% down to 20% allows an increase in the available energy $E_{max}^{BES}$ in case of disconnection or damage of the BESS segments.

Referring to the above, the most important aspect of the DROPT-FTC system is the dynamic change of the depth of discharge ($DoD$) (4) parameter, which is directly influenced on the maximum available energy $E_{max}^{BES}$ as a result of gradual change of $SoC_{min}^{BES}$:

$$DoD = SoC_{max}^{BES} - SoC_{min}^{BES}(n); \forall n, n-1, n-2.$$  

The $SoC_{min}^{BES}$ thresholds of BES in a states n, n-1, n-2 and $SoC_{max}^{BES}$ of the DROPT-FTC system were determined in the following ways. Firstly, we took into account knowledge about cyclic life [33] and the possibility of permanent damage to cells of BES in Li-ion technology [28]. The $SoC_{min}^{BES} = 40\%$ threshold for the system operation in a failure-free state was established with the aim of obtaining the highest possible lifecycles [34] of BESS implemented in a DROPT system that works with large and frequently changing load, where BESS needs to be kept at a high state of discharge power rates ($C_{rate} \geq 3C$) [35]. The $SoC_{max}^{BES} = 90\%$ threshold was adopted in order to avoid excessive degradation of BES cells [28] and, as indicated in the paper [36], in order to obtain the total energy throughput for $DoD$ of 10%. Such a model for determining the $SoC$ thresholds was adapted for the real DROPT system implemented in TS in Western Poland, the basic data of which are presented in Section 2.1, and for which the preliminary research was carried out in paper [17]. The $SoC_{min(n-2)}^{BES} = 20\%$ threshold for the system operation in a failure state was established to increase the available energy $E_{max}^{BES}$ in case of failure state of the BESS segments. This threshold is not recommended to be exceeded due to a reduction in the lifetime of BES by increasing the degradation of cells and the possibility of its permanent damage [28]. It is especially in dynamic charge/discharge states [29], which can significantly reduce the period of proper operation of DROPT system [17] by reduction BES service life. Thus the control algorithm also provides checking of the lower $SoC$ limit $SoC_{min}^{BES} \geq 20\% SoC_{max}^{BES}$ and similarly also the upper $SoC$ limit $SoC_{max}^{BES} \leq 90\% SoC_{max}^{BES}$.

$SoC_{min}^{BES}$, $SoC_{min(n-1)}^{BES}$, $SoC_{min(n-2)}^{BES}$ and $SoC_{max}^{BES}$ limits are implemented in the simulation model, where $SoC_{max}^{BES}$ and $SoC_{min(n-1)}^{BES}$ remain constant values, while $SoC_{min}^{BES}$ and $SoC_{min(n-2)}^{BES} = 20\%$ are automatically adjusted in case of the available energy reduction due to damage or disconnection of one PCS or BES (status n-1) or two PCS or BES (status n-2).

Such functionality will not lead to a significant reduction in the volume of the cycling life, because damage or disconnection of a given BESS segment occurs rarely and additionally the service response time to the removal of a defect is a maximum of 24 h and results directly from the contract between the investor and integrator of the implemented DROPT system.
The control strategy (Figure 8) provides a gradual adjustment of the charging power \( P_{\text{BESS}}^{(2)} \) depending on the physical state of the BESS (of \( n; n-1; n-2 \) status), gradual decrease of minimal SoC level \( SoC_{\text{BESS}}^{\text{min}} \) and the available power \( P_{\text{BESS}} \) (in case of \( n; n-1; n-2 \) status). The available power of BESS and the charging power values are selected from the data table. The maximum value \( P_{\text{BESS}}^{(n)} = 0.420 \text{ MW} \) was determined as a result of optimization of the real DROPT system implemented in the TS in Western Poland operating in a fault-free state. The charging power \( P_{\text{BESS}}^{(n-1)} \) and \( P_{\text{BESS}}^{(n-2)} \) thresholds have been established as a result of many simulation research.

4. Case Study of the DROPT-FTC System

4.1. Available Power and Energy of Battery Energy Storage System

The power and available energy of the DROPT-FTC system is proportional to the power of the PCS and the energy of the BES, and depends on the quantity of active PCS segments and BES cell chain. The control strategy (Figure 8) provides a gradual adjustment of the charging power \( P_{\text{BESS}}^{(2)} \) depending on the physical state of the BESS (of \( n; n-1; n-2 \) status), gradual decrease of the SoC minimal level \( SoC_{\text{BESS}}^{\text{min}} \) and the available power \( P_{\text{BESS}} \) (in case of \( n; n-1; n-2 \) status). Multi-string BESS allows the operation of DROPT-FTC in the event of a fault, but the available BESS power \( P_{\text{DROPT-FTC}}^{\text{BESS}} \) (5) is directly proportional to the sum of the power of the available active segments of PCS:

\[
P_{\text{DROPT-FTC}}^{\text{BESS}} = P_{\text{PCS}}(n),
\]

where:

\[
P_{\text{PCS}}(n) = P_{\text{PCS}}(1) + P_{\text{PCS}}(2) + P_{\text{PCS}}(3) + \ldots + P_{\text{PCS}}(m),
\]

and (1); (2); \ldots; (m) represent successive segments of PCS.

As it can be concluded from (6), the more \( P_{\text{PCS}} \) components leads to the less impact on the power reduction of the DROPT-FTC system.

The available energy \( E_{\text{DROPT-FTC}}\) (7) value is directly proportional to the sum of the energies of the available active strings of BES (8) connected to individual PCS segments:

\[
E_{\text{DROPT-FTC}}^{\text{BESS}} = E_{\text{BES}}(n),
\]

where:

\[
E_{\text{BES}}(n) = E_{\text{BES}}(1) + E_{\text{BES}}(1) + E_{\text{BES}}(1) + \ldots + E_{\text{BES}}(m),
\]

and (1); (2); \ldots; (m) represent successive link chains of BES.

As it follows from the dependences (8), the more \( E_{\text{BES}} \) components, the less impact on the reduction of the available energy of the DROPT-FTC system has the lack of availability of single BES chain or PCS segment.

Taking into account the above, a multi-stringing of DROPT-FTC structure could reduce the impact on the power availability and available energy in the event of a single segment failure may slightly affect the contracted level of the demanded power \( P_{\text{lim}}^{\text{Dem, AC, avg}(15-\text{min})} \) of the traction substation.

4.2. Multi-String Energy Storage System

In order to verify the proposed control strategy presented in Section 3, two BESS structure were proposed (Figures 9 and 10). The simulation research were performed for both structure. The simulated structures do not directly reflect the actual real DROPT system implemented in the TS in Western Poland. They are intended to investigate if the FTC control strategy could have similar effect in different solutions.
Figure 9 shows an example of a three-string BESS structure with a rated power $P_{\text{BESS}} = 5.5 \text{ MW}$. Available power and energy have been divided between three asymmetrical segments. In this case, we took into account PCS segments with a rated power of 2.0 MW and 1.5 MW, while the BES available energy was divided proportionally to the power of a given chain of cells in order to maintain the same value of the C-rate:

$$C_{\text{rate}} \approx \frac{P_{\text{PCS}}}{P_{\text{BES}}}.$$  

Figure 9. Illustration of the generalized parameter adaptation algorithm in DROPT-FTC with a three-string asymmetrical BESS.

Figure 10 shows in turn an example of the four-string BESS structure with the same rated power $P_{\text{BESS}} = 5.5 \text{ MW}$, but the available power and energy have been divided between four symmetrical segments. In this case PCS segments have a rated power of 1.375 MW each. The available energy of BES was divided proportionally to the power of a given chain of cells in order to maintain the same value of the C-rate:

$$C_{\text{rate}} \approx \frac{P_{\text{PCS}}}{E_{\text{BES}}}.$$  

Figure 10. Illustration of the generalized parameter adaptation algorithm in DROPT-FTC with a four-string symmetrical BESS.

5. Analysis of the DROPT-FTC with proposed Fault-Tolerant Algorithm

5.1. Basic Parameters for Simulation Research

Table 2 shows the basic parameters of the proposed three-string asymmetrical BESS (Figure 9), whereas in Table 3 shows the basic parameters of the proposed four-string symmetrical BESS (Figure 10).

Table 2. Parameters of the proposed three-string BESS.

| Parameters | $\sum_{\text{PCS (1)}}$ | $\sum_{\text{PCS (2)}}$ | $\sum_{\text{PCS (3)}}$ | $\sum_{\text{BES (1)}}$ | $\sum_{\text{BES (2)}}$ | $\sum_{\text{BES (3)}}$ |
|------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| $P_{\text{PCS (MW)}}$ | 5.500 | 2.000 | 2.000 | 1.500 | - | - |
| $E_{\text{BES (MWh)}}$ | - | - | - | 1.800 | 0.655 | 0.655 |

Table 3. Parameters of the proposed four-string BESS.

| Parameters | $\sum_{\text{PCS (1)}}$ | $\sum_{\text{PCS (2)}}$ | $\sum_{\text{PCS (3)}}$ | $\sum_{\text{PCS (4)}}$ | $\sum_{\text{BES (1)}}$ | $\sum_{\text{BES (2)}}$ | $\sum_{\text{BES (3)}}$ | $\sum_{\text{BES (4)}}$ |
|------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| $P_{\text{PCS (MW)}}$ | 5.500 | 1.375 | 1.375 | 1.375 | - | - | - | - |
| $E_{\text{BES (MWh)}}$ | - | - | - | - | 1.800 | 0.450 | 0.450 | 0.450 |

The basic parameters of three- and four-string BESS used in the simulation research have been introduced in Table 4. Several possible scenarios have been considered (from CASE IA to CASE III C) for DROPT-FTC system structure shown in Figures 9 and 10 and when one or two segments (PCS or BES) were damaged or disconnected. In the analysis it has been assumed: (n) is the normal state of PCS and BES, (n-1) means failure or disconnection of single PCS or BES component and (n-2) stands for two-segment failure. It has also been assumed the efficiency of the BESS system at the level of $\eta \sim 96\%$, therefore the available power has been limited to the level of 96% (10) in relation to the powers given in Table 2 and 3 for each of the proposed scenarios.
Figure 9 shows an example of a three-string BESS structure with a rated power $P_{\text{DROPT-FTC BESS}} = 5.5 \text{ MW}$. Available power and energy have been divided between three asymmetrical segments. In this case, we took into account PCS segments with a rated power of 2.0 MW and 1.5 MW, while the BES available energy was divided proportionally to the power of a given chain of cells in order to maintain the same value of the factor $C$-rate:

$$C\text{-rate} = \frac{P_{\text{BES}}}{E_{\text{BES}}} \approx \frac{P_{\text{PCS}}}{E_{\text{BES}}} \quad (9)$$

Figure 10 shows in turn an example of the four-string BESS structure with the same rated power $P_{\text{DROPT-FTC BESS}} = 5.5 \text{ MW}$, but the available power and energy have been divided between four symmetrical segments. In this case PCS segments have a rated power 1.375 MW each. The available energy of BES was divided proportionally to the power of a given chain of cells in order to maintain the same value of the $C$-rate (9).

5. Analysis of the DROPT-FTC with Proposed Fault-Tolerant Algorithm

5.1. Basic Parameters for Simulation Research

Table 2 shows the basic parameters of the proposed three-string asymmetrical BESS (Figure 9), whereas in Table 3 shows the basic parameters of the proposed four-string symmetrical BESS (Figure 10).

| Parameters | ∑PCS | PCS (1) | PCS (2) | PCS (3) | ∑BES | BES (1) | BES (2) | BES (3) |
|------------|------|---------|---------|---------|------|---------|---------|---------|
| $P_{\text{PCS}}$ (MW) | 5.500 | 2.000   | 2.000   | 1.500   | -    | -       | -       | -       |
| $E_{\text{BES}}$ (MWh) | -     | -       | -       | -       | 1.800 | 0.655   | 0.655   | 0.490   |

| Parameters | ∑PCS | PCS (1) | PCS (2) | PCS (3) | PCS (4) | ∑BES | BES (1) | BES (2) | BES (3) | BES (4) |
|------------|------|---------|---------|---------|---------|------|---------|---------|---------|---------|
| $P_{\text{PCS}}$ (MW) | 5.500 | 1.375   | 1.375   | 1.375   | 1.375   | -    | -       | -       | -       | -       |
| $E_{\text{BES}}$ (MWh) | -     | -       | -       | -       | -       | 1.800 | 0.450   | 0.450   | 0.450   | 0.450   |

The basic parameters of three- and four-string BESS used in the simulation research have been introduced in Table 4. Several possible scenarios have been considered (from CASE I$_A$ to CASE III$_C$) for DROPT-FTC system structure shown in Figures 9 and 10 and when one or two segments (PCS or BES) were damaged or disconnected. In the analysis it has been assumed: (n) is the normal state of PCS and BES, (n-1) means failure or disconnection of single PCS or BES component and (n-2) stands for two-segment failure. It has also been assumed the efficiency of the BESS system at the level of $\eta \approx 96\%$, therefore the available power has been limited to the level of 96% (10) in relation to the powers given in Tables 2 and 3 for each of the proposed scenarios.

$$P_{\text{max BESS}}(n) = 96\% P_{\text{DROPT-FTC BESS}}(n) ; \forall n, n-1, n-2. \quad (10)$$
Table 4. Basic parameters of three- and four-string BESS used in simulation research.

| Parameters      | Base | Normal (%) | CASE IA (n-1) | CASE Ib (n-1) | CASE Ic (n-2) | CASE IIa (n-1) | CASE IIb (n-1) | CASE IIIa (n-1) | CASE IIIb (n-1) | CASE IIIc (n-2) |
|-----------------|------|------------|---------------|---------------|---------------|---------------|---------------|----------------|----------------|----------------|
| P_{BESS}^{max} (MW) | 5.500 | 5.260 | 3.840 | 3.840 | 1.920 | 3.360 | 3.360 | 3.960 | 3.960 | 2.630 |
| E_{BESS}^{max} (MWh) | 1.800 | 0.900 | 0.786 | 0.916 | 0.458 | 0.687 | 0.802 | 0.810 | 0.945 | 0.630 |
| SoC_{BESS}^{max} (%) | 100 | 90 | 90 | 90 | 90 | 90 | 90 | 90 | 90 | 90 |
| SoC_{BESS}^{min(n)} (%) | 0 | 40 | - | - | - | - | - | - | - | - |
| SoC_{BESS}^{min(n-1)} (%) | - | - | 30 | - | - | 30 | - | 30 | - | - |
| SoC_{BESS}^{min(n-2)} (%) | - | - | - | 20 | 20 | - | 20 | - | 20 | 20 |
| P_{BESS}^{min} (MW) | - | 0.420 | 0.400 | 0.380 | 0.380 | 0.400 | 0.380 | 0.400 | 0.380 | 0.380 |

For systems (n); (n-1); (n-2) the BESS efficiency of η~96% has been took into account.

5.2. Result of Simulation Research

The simulations of DROPT-FTC, in several cases defined in Table 4, has been performed to verify the effectiveness of the proposed FTC algorithm. The influence of partial failure of a single or two segments of BESS on instantaneous power on the AC side of TS as well as on the minimal SoC level of BES have been considered in each scenario.

Figure 11 shows the simulation results of a three-string BESS in CASE IA for (n-1) state, where: P_{BESS}^{max} = 3.840 MW, and SoC_{BESS}^{min(n-1)} = 30%, P_{BESS}^{max} = 0.786 MWh, P_{BESS}^{c(n-1)} = 0.400 MW, P_{Dem}^{lim} = 0.750 MW.

Figure 12 shows the simulation results of three-string BESS in CASE Ib for (n-1) state, where: P_{BESS}^{max} = 3.840 MW, and SoC_{BESS}^{min(n-2)} = 20%, P_{BESS}^{max} = 0.916 MWh, P_{BESS}^{c(n-2)} = 0.380 MW, P_{Dem}^{lim} = 0.750 MW.
Figure 12 shows the simulation results of three-string BESS in CASE IB for (n-1) state, where:
\[ \text{P}_{\text{BESS max}} = 3.840 \text{ MW}, \]
\[ \text{SoC}_{\text{BES min}(n-2)} = 20\%, \]
\[ \text{E}_{\text{BES max}} = 0.916 \text{ MWh}, \]
\[ \text{P}_{\text{BES c}(n-2)} = 0.380 \text{ MW}, \]
\[ \text{P}_{\text{Dem lim}} = 0.750 \text{ MW}. \]

Figure 13 shows the simulation results of three-string BESS in CASE IC for (n-2) state, where:
\[ \text{P}_{\text{BESS max}} = 1.920 \text{ MW}, \]
\[ \text{SoC}_{\text{BES min}(n-2)} = 20\%, \]
\[ \text{E}_{\text{BES max}} = 0.458 \text{ MWh}, \]
\[ \text{P}_{\text{BES c}(n-2)} = 0.380 \text{ MW}, \]
\[ \text{P}_{\text{Dem lim}} = 0.750 \text{ MW}. \]

Figure 14 shows the simulation results of three-string BESS in CASE II_A for (n-1) state, where:
\[ \text{P}_{\text{BESS max}} = 3.360 \text{ MW}, \]
\[ \text{SoC}_{\text{BES min}(n-1)} = 30\%, \]
\[ \text{E}_{\text{BES max}} = 0.687 \text{ MWh}, \]
\[ \text{P}_{\text{Dem lim}} = 0.750 \text{ MW}. \]
Figure 14 shows the simulation results of three-string BESS in CASE IIA for (n-1) state, where:

\[ P_{\text{BESS}}^{\text{max}} = 3.360 \text{ MW}, \]
\[ \text{SoC}_{\text{BES}}^{\text{min(n-1)}} = 30\% , \]
\[ E_{\text{BES}}^{\text{max}} = 0.687 \text{ MWh}, \]
\[ P_{\text{BES}}^{\text{c(n-1)}} = 0.400 \text{ MW}, \]
\[ P_{\text{Dem}}^{\text{lim}} = 0.750 \text{ MW}. \]

Figure 15 shows the simulation results of a three-string BESS in CASE IIB for (n-1) state, where:

\[ P_{\text{BESS}}^{\text{max}} = 3.360 \text{ MW}, \]
\[ \text{SoC}_{\text{BES}}^{\text{min(n-2)}} = 20\% , \]
\[ E_{\text{BES}}^{\text{max}} = 0.802 \text{ MWh}, \]
\[ P_{\text{BES}}^{\text{c(n-2)}} = 0.380 \text{ MW}, \]
\[ P_{\text{Dem}}^{\text{lim}} = 0.750 \text{ MW}. \]

Figure 16 shows the simulation results of a four-string BESS in CASE IIIA for (n-1) state, where:

\[ P_{\text{BESS}}^{\text{max}} = 3.960 \text{ MW}, \]
\[ \text{SoC}_{\text{BES}}^{\text{min(n-1)}} = 30\% , \]
\[ E_{\text{BES}}^{\text{max}} = 0.810 \text{ MWh}, \]
\[ P_{\text{BES}}^{\text{c(n-1)}} = 0.400 \text{ MW}, \]
\[ P_{\text{Dem}}^{\text{lim}} = 0.750 \text{ MW}. \]
Figure 16 shows the simulation results of a four-string BESS in CASE III A for (n-1) state, where:

\[ P_{\text{BESS}}^{\text{max}} = 3.960 \text{ MW}, \]

\[ \text{SoC}_{\text{BES}}^{\text{min}(n-1)} = 30\% , \]

\[ E_{\text{BES}}^{\text{max}} = 0.810 \text{ MWh}, \]

\[ P_{\text{BES}}^{\text{c}(n-1)} = 0.400 \text{ MW}, \]

\[ P_{\text{Dem}}^{\text{lim}} = 0.750 \text{ MW}. \]

Figure 17 shows the simulation results of a four-string BESS in CASE III B for (n-1) state, where:

\[ P_{\text{BESS}}^{\text{max}} = 3.960 \text{ MW}, \]

\[ \text{SoC}_{\text{BES}}^{\text{min}(n-2)} = 20\% , \]

\[ E_{\text{BES}}^{\text{max}} = 0.945 \text{ MWh}, \]

\[ P_{\text{BES}}^{\text{c}(n-2)} = 0.380 \text{ MW}, \]

\[ P_{\text{Dem}}^{\text{lim}} = 0.750 \text{ MW}. \]

Figure 18 shows the simulation results of four-string BESS in CASE III C for (n-2) configuration, where:

\[ P_{\text{BESS}}^{\text{max}} = 2.630 \text{ MW}, \]

\[ \text{SoC}_{\text{BES}}^{\text{min}(n-2)} = 20\% , \]

\[ E_{\text{BES}}^{\text{max}} = 0.630 \text{ MWh}, \]

\[ P_{\text{BES}}^{\text{c}(n-2)} = 0.380 \text{ MW}, \]

\[ P_{\text{Dem}}^{\text{lim}} = 0.750 \text{ MW}. \]
6. Discussion of the Simulation Results

Section 5 presents the simulation research results of multi-string BESS (Figures 9 and 10) being the major part of DROPT-FTC system, controlled by the control algorithm shown in Figure 8 and responsible for BESS control in failure-free (n) and in emergency (n-1 and n-2) states. Taking into account the parameters of the real DROPT system with a rated power 5.5 MW and rated energy 1.8 MWh, a three-string BESS structure (Figure 9) with an asymmetrical distribution of power (two PCS with a rated power of 2.0 MW and one 1.5 MW) and a four-string BESS structure (Figure 10) with a symmetrical distribution of power (four PCS with a rated power equal to 1.375 MW) were proposed and researched. The real available power was limited to 96%, according to PCS systems efficiency, while the available energy of BESS in relation to the rated energy was limited by the SoC\textsuperscript{max} of BES and SoC\textsuperscript{min}(n) thresholds.

Figure 11 (CASE I\textsubscript{A}) and Figure 14 (CASE I\textsubscript{A}) show the simulation research results carried out with the control with a two-stage discharge level reduction (SoC\textsuperscript{min}(n-2)), which in fact means increasing the available energy E\textsuperscript{min}(n-2) of three-string BESS, while the Figure 16 (CASE III\textsubscript{A}) of four-string BESS. For these cases, the reduced available energy E\textsuperscript{max}(n-1) was compensated by lowering the minimum discharge level to SoC\textsuperscript{min}(n-1).

Figure 13 (CASE I\textsubscript{C}) shows the simulation research results carried out with the control with a three-stage discharge level reduction strategy and a two-level BESS available power reduction P\textsuperscript{n-2}\textsubscript{BESS} of three-string BESS, while in Figure 18 (CASE III\textsubscript{C}) of a four-string BESS. For these cases, the reduced available energy E\textsuperscript{max}(n-1) was compensated by lowering the minimum discharge level to SoC\textsuperscript{min}(n-1).

Additionally, in a system with a two-stage discharge level reduction strategy and a one-level BESS available power reduction P\textsuperscript{n-2}\textsubscript{BESS} an analysis was performed with a two-stage discharge level reduction (SoC\textsuperscript{min}(n-2)), which in fact means increasing the available energy E\textsuperscript{max}(n-1). Figure 12 (CASE I\textsubscript{B}), Figure 15 (CASE II\textsubscript{B}) and Figure 17 (CASE III\textsubscript{B}) show the simulation research results in such cases.

The results of simulation research have been summarized in Table 5.
Table 5. Comparison of the demanded 15 min power level of selected cases of proposed DROPT-FTC structures in three operating status.

| Parameters                      | Base       | Three-String BESS | Four-String BESS |
|---------------------------------|------------|-------------------|------------------|
|                                 | Normal (n) | CASE I_A (n-1)    | CASE I_B (n-1)   | CASE I_C (n-2) | CASE II_A (n-1) | CASE II_B (n-1) | CASE III_A (n-1) | CASE III_B (n-1) | CASE III_C (n-2) |
| $P_{\text{max, BES}}$ (MW)      | 5.260      | 3.840             | 3.840            | 1.920          | 3.360          | 3.360            | 3.960            | 3.960            | 2.630            |
| $E_{\text{max, BES}}$ (MWh)     | 0.900      | 0.786             | 0.916            | 0.458          | 0.687          | 0.802            | 0.810            | 0.945            | 0.630            |
| $\text{SoC}_{\text{max, BES}}$ (%) | 90         | 90                | 90               | 90             | 90             | 90               | 90               | 90               | 90               |
| $\text{SoC}_{\text{min, BES}}$ (%) | 40         | 30                | 20               | 20             | 30             | 20               | 20               | 20               | 20               |
| $P_{\text{BES}}$ (MW)           | 0.420      | 0.400             | 0.380            | 0.380          | 0.400          | 0.380            | 0.400            | 0.380            | 0.380            |
| $P_{\text{lim, Dem}}$ (MW)      | 0.743      | 0.731             | 0.728            | 0.839          | 0.739          | 0.732            | 0.731            | 0.723            | 0.754            |

Bolded values do meet the assumption $P_{\text{lim, Dem, AC, avg(15−min)}} \leq P_{\text{lim, Dem}}$. Bolded and underlined value do not meet the assumption. Bolded and double underlined value can meet the assumptions.

As can be concluded from the data presented in Table 5, it is possible to maintain the reduced level of the demanded power $P_{\text{lim, Dem, AC, avg(15−min)}}$ on the AC side of the traction substation at the assumed level of 0.75 MW in several considered scenarios when the DROPT-FTC system operates in emergency states.

The two-threshold reduction strategy (CASE I_A, CASE II_A, CASE III_A) fulfills the assumption quite easily, in the event of the failure state of only one segment of BESS. A more complicated situation seems to be in cases when two segments of BESS are damaged or disconnected. In such cases, a three-threshold reduction strategy is involved in DROPT-FTC system. The simulations showed that in a three-string BESS, with two PCS or BES damaged or disconnected, when the available power is equal to $P_{\text{BES}} = 1.92$ MW (CASE I_C) and the available energy compensated by reduction $\text{SoC}_{\text{min}(n-2)} = 20\%$ is equal to $E_{\text{BES}} = 0.458$ MW, using the proposed control strategy the result does not meet the assumption because $P_{\text{lim, Dem, AC, avg(15−min)}} = 0.839$ MW this value is higher than the assumed level of 0.75 MW. Definitely better results have been obtained in a four-string BESS, where the available power was equal to $P_{\text{BES}} = 2.630$ MW (CASE III_C) and the available energy compensated by reduction $\text{SoC}_{\text{min}(n-2)} = 20\%$ was equal to $E_{\text{BES}} = 0.63$ MW. The demanded power reached the value $P_{\text{lim, Dem, AC, avg(15−min)}} = 0.754$ MW and was only slightly higher than assumed.

According to the simulation research results, carried out for extreme case (CASE III_C) we conclude that the proposed control strategy implemented in the four-string BESS structure meets our expectations. In this case, the available power of a BESS equal to 2.63 MW in the event of failure of two BESS segments (two PCS or BES) is half of the rated power (5.26 MW in a fully operational BESS). However, implementation of the control strategy in the three-string BESS structure does not meet our assumptions, because the value of available power in the failure event of two PCS or BES segments (CASE I_C) is too low, and even increasing of the available energy $E_{\text{max, BES}}$ by reducing the minimum BES discharge level $\text{SoC}_{\text{min, BES}}$ from 40% to 20% does not bring the expected result. Thus, the limitation of the available power of a BESS to a half of rated power is the limit for the implementation of the proposed control method. In such a case it allows maintaining of the contracted, demanded power $P_{\text{lim, Dem, AC, avg(15−min)}}$ at the assumed level of 0.75 MW.

Operational Expenditure

In the considered TS fixed operating cost $\text{OPEX}_{\text{TS}}$ related to contracted capacity fee $P_{\text{lim, Dem, AC, avg(15−min)}}$ that is $\text{OPEX}_{\text{TS}}(P_{\text{lim, Dem, AC, avg(15−min)}})$, is approximately 2445 EUR/MW (each month) and could be changed only once a year. So in the case of the consid-
ered traction substation with the rated power \( P_{\text{lim, Dem, AC, avg}(15−\text{min})} = 0.75 \text{ MW} \), the operating cost of the TS is approximately equal \( \text{OPEX}_{\text{TS}}(P_{\text{lim, Dem, AC, avg}(15−\text{min})}) \approx 1834 \text{ EUR (each month)} \).

Each breach of the contracted power limit in the time window exceeding 10 min (in accordance with the standard EN 50160: 2010 [37]) leads to a situation in which the TS operator is obliged to pay a contractual penalty to the local Distribution System Operator (DSO). The proposed control algorithm and multi-string structure of DROPT-FTC allows operation in emergency states with or without significant exceeding of contracted power as well as to keeping operating costs \( \text{OPEX}_{\text{TS}} \) at constant level.

7. Conclusions

In this paper the concept of fault tolerant control (FTC) of a multi-string battery energy storage system (BESS) being a part of the dynamic power reduction of traction substation load (DROPT) was proposed and verified. The proposed concept is based on a multi-task control algorithm and takes into account: a three-threshold power limitation of the traction substation (TS) and two level reduction of available power of BESS. The proposed control strategy allows to realize the major task, that is, to maintain the contracted, demanded power \( P_{\text{lim, Dem, AC, avg}(15−\text{min})} \) of the considered TS at the required level of 0.75 MW, in the event of failure of one or two PCS or BES segments.

In order to verify the proposed control method, two BESS structure were proposed and verified, three-string and four-string. On the basic of the simulation research results, we can unequivocally state that the proposed control strategy is feasible in four-string BESS in emergency operation state even when two PCS or BES segments are in a failure state. In the three-string, asymmetrical BESS it is valid only when one PCS or BES is in a failure state.

The proposed control method and threshold values can be optimal for BESS objects with an even number of PCS segments (with the same power each) and BES (with the same energy), for example in the presented four-string structure. This method can bring more favourable results if all BES are connected to common DC bus and successively separated by connectors.

The proposed concept of FTC may be an extension control strategy to the those one previously implemented in real DROPT system (without FTC) and may be used in all DROPT systems. However each object (each traction substation with DROPT) should be considered individually for instance taking into account specific value of demanded power \( P_{\text{lim, Dem, AC, avg}(15−\text{min})} \), BESS rated power and energy, and need to determine the individual thresholds in the FTC method.

According to the authors of this paper, multi-string BESS and the corresponding fault tolerant control make it possible to avoid additional charges (contractual penalties) related to exceeding \( P_{\text{lim, Dem, AC, avg}(15−\text{min})} \) and in extreme cases to limit exceeding the this power, which will slightly increase the \( \text{OPEX}_{\text{TS}}(P_{\text{lim, Dem, AC, avg}(15−\text{min})}) \), especially that the service response time to the removal of a defect is a maximum of 24 h and results directly from the contract between the investor and integrator of the implementation of BESS in the TS system.

8. Future Work

The analysis of the proposed DROPT-FTC, the proposed control algorithm and two BESS structures give us an idea of the possibility of its continuous operation in an emergency state and the behaviour of the main purpose, which is the reduction of demanded 15-min power. The presented analysis gives us information about the possibility of using a modernized control algorithm based on self-adaptation, which will be the subject and topic of the future paper.
Author Contributions: M.S. was the principle author tasked with coordinating and writing the article; proposed a control strategy of the DROPT-FTC system and performed the simulation on real measurement data; M.J., J.K., Ł.P. performed measurement of power flow and analysed the object; M.J., J.K. proposed the DROPT system; S.W. verification of the experimental research results. The authors equally contributed to the creation of the proposed system. All authors have read and agreed to the published version of the manuscript.

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Abbreviations and Nomenclature

| Abbreviation          | Description                                                                 |
|-----------------------|-----------------------------------------------------------------------------|
| DROPT                 | Dynamic Reduction of Traction Substation Load                               |
| DROPT-FTC             | Dynamic Reduction of Traction Substation Load with Fault-Tolerant Control |
| BESS                  | Battery Energy Storage System                                               |
| BES                   | Battery Energy Storage                                                     |
| ES                    | Energy Storage                                                              |
| BMS                   | Battery Management System                                                   |
| PCS                   | Power Conversion System                                                     |
| PCS-CC                | Power Conversion System—Converter Control                                  |
| SCADA                 | Supervisory Control and Data Acquisition                                    |
| CU                    | Control Unit                                                                |
| TS                    | Traction Substation                                                         |
| TR                    | Transformer                                                                 |
| RC                    | Rectifier                                                                   |
| L                     | Choke                                                                       |
| PCC                   | Point of Common Coupling                                                    |
| AC                    | Alternating Current                                                         |
| DC                    | Direct Current                                                              |
| \(P_{\text{lim Dem AC avg}}\) | Reduced level of demanded, 15 min averaged power |
| \(P_{\text{lim Dem AC avg}}\) | Demanded, 15 min averaged power |
| \(P_{\text{lim Dem AC avg}}\) | Demanded power limit—control parameter |
| \(P_{\text{lim Dem AC avg}}\) | BESS power of DROPT-FTC system (summarised power of all PCS) |
| \(P_{\text{lim Dem AC avg}}\) | Rated energy of DROPT-FTC system |
| \(P_{\text{AC avg}}\) | AC power flow—supply side of TS |
| \(P_{\text{AC avg}}\) | Instantaneous AC power flow, averaged 1 s (1 s) |
| \(P_{\text{DC load}}\) | DC load power of TS with DROPT-FTC |
| \(P_{\text{max DC load}}\) | Maximum value of DC load power |
| \(P_{\text{n BESS}}\) | Current BESS power |
| \(P_{\text{max n BESS}}\) | Maximum available power of BESS in case of n; n-1; n-2 status |
| \(P_{\text{max n BESS}}\) | Maximum available power of BESS in case of n status |
| \(P_{\text{max n BESS}}\) | Maximum available power of BESS in case of n-1 status |
| \(P_{\text{max n BESS}}\) | Maximum available power of BESS in case of n-2 status |
| \(P_{\text{n-1 BESS}}\) | Current BESS power level, according to n; n-1; n-2 status |
| \(P_{\text{n-2 BESS}}\) | Current BESS power level, according to n; n-1; n-2 status |
| Symbol | Description |
|--------|-------------|
| $\text{P}_{\text{PCS}}$ | Total power of available PCS systems, according to n; n-1; n-2 status |
| $\text{P}_{\text{BESS}}$ | Power taken from BESS |
| $\text{P}_{\text{BESS}}^{(n)}$ | Charging power of BESS, according to n; n-1; n-2 status |
| $\text{P}_{\text{BESS}}^{(n-1)}$ | Maximum charging power of BESS in case of n status |
| $\text{P}_{\text{BESS}}^{(n-2)}$ | Maximum charging power of BESS in case of n-1 status |
| $E_{\text{BESS}}^{(n)}$ | Current BESS energy level, according to n; n-1; n-2 status |
| $E_{\text{BESS}}^{\text{max}}$ | Maximum available energy of BESS in case of n status |
| $E_{\text{BESS}}^{\text{max}}^{(n-1)}$ | Maximum available energy of BESS in case of n-1 status |
| $E_{\text{BESS}}^{\text{max}}^{(n-2)}$ | Maximum available energy of BESS in case of n-2 status |
| $\text{Soc}_{\text{BESS}}$ | Current State of Charge of BESS |
| $\text{Soc}_{\text{BESS}}^\text{max}(n)$ | Maximum level of state of charge (100%) |
| $\text{Soc}_{\text{BESS}}^\text{max}(n-1)$ | Maximum level of state of charge (it should not exceed 90% for Li-Ion technology) |
| $\text{Soc}_{\text{BESS}}^\text{max}(n-2)$ | Minimum level of state of charge, according to n; n-1; n-2 status |
| $\text{Soc}_{\text{BESS}}^{\text{min}(n)}$ | Minimum level of state of charge in case of n status |
| $\text{Soc}_{\text{BESS}}^{\text{min}(n-1)}$ | Minimum level of state of charge in case of n-1 status |
| $\text{Soc}_{\text{BESS}}^{\text{min}(n-2)}$ | Minimum level of state of charge in case of n-2 status |
| $\text{SoC}_{\text{BESS}}^{(n)}$ | State of Charge of BESS, according to n; n-1; n-2 state |
| $\text{OD}_{\text{BESS}}$ | Depth of Discharge of BESS |
| C-rate | A measure of the rate at which a battery is being charge or discharge |
| OPEXTS | Operational expenditure of Traction Substation |
| SPBT | Simple Payback Time |
| TOU | Time-of-use |
| OBESS | On-Board Energy Storage System |
| WESS | Wayside Energy Storage System |
| TESS | Trackside Energy Storage System |
| HESS | Hybrid Energy Storage System |
| HSR | High-Speed Railway Substation |
| SHB | Service Headway Breaking |
| ESDI | Extending Stopping Distance Interval |
| NiMH | Nickel Metal Hydride |
| LFP | Lithium Iron Phosphate |
| NMC | Nickel Manganese Cobalt |

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