Why the Co-based 115 compounds are different?: The case study of GdMIn$_5$ (M=Co, Rh, Ir)

Jorge I. Facio, 1,2 D. Betancourth, 1,2 Pablo Pedrazzini, 1,2 V. F. Correa, 1,2 V. Vildosola, 3,2 D. J. García, 1,2 and Pablo S. Cornaglia 1,2

1Centro Atómico Bariloche and Instituto Balseiro, CNEA, 8400 Bariloche, Argentina
2Consejo Nacional de Investigaciones Científicas y Técnicas (CONICET), Argentina
3Departamento de Materia Condensada, GIyA, CNEA (1650) San Martín, Provincia de Buenos Aires, Argentina

The discovery in 2001 of superconductivity in some heavy fermion compounds of the RMIn$_5$ (R=4f or 5f elements, M=Co, Rh, Ir) family, has triggered enormous amount of research pointing to understand the physical origin of superconductivity and its relation with magnetism. Although many properties have been clarified, there are still crucial questions that remain unanswered. One of these questions is the particular role of the transition metal in determining the value of critical superconducting temperature ($T_c$). In this work, we analyse an interesting regularity that is experimentally observed in this family of compounds, where the lowest Néel temperatures are obtained in the Co-based materials. We focus our analysis on the GdMIn$_5$ compounds and perform density-functional-theory based total-energy calculations to obtain the parameters for the exchange coupling interactions between the magnetic moments located at the Gd$^{3+}$ ions. Our calculations indicate that the ground state of the three compounds is a C-type antiferromagnet determined by the competition between the first- and second-neighbor exchange couplings inside GdIn$_3$ planes and stabilized by the couplings across MIn$_2$ planes. We then solve a model with these magnetic interactions using a mean-field approximation and Quantum Monte Carlo simulations. The results obtained for the calculated Néel and Curie-Weiss temperatures, the specific heat and the magnetic susceptibility are in very good agreement with the existent experimental data. Remarkably, we show that the first neighbor interplane exchange coupling in the Co-based material is much smaller than in the Rh and Ir analogues due to a more two dimensional behaviour in the former. This result explains the observed lower Néel temperature in Co-115 systems and may shed light on the fact that the Co-based 115 superconductors present the highest $T_c$.

I. INTRODUCTION

The family of compounds RMIn$_5$ (M=Co, Rh, Ir), where R is a rare earth, presents a rich variety of electronic and magnetic properties ranging from heavy fermion behavior and anomalous superconductivity to complex magnetic states. These properties are closely related to the strong correlations on the R 4f electrons and to the quasi two-dimensionality of the Fermi surface. These materials crystallize in a tetragonal structure that can be viewed as alternating MIn$_2$ and RIn$_3$ planes stacked along the c-axis (see Fig. 1), where the role of the transition metal M connecting the RIn$_3$ planes is central to determine the stability of the low temperature phase.

The most puzzling features occur in the Ce-based compounds which present heavy fermion behavior at $T \lesssim 20$ K. Correlation effects induce an enhancement of the electronic specific heat coefficient up to $1000\text{mJ/mol K}^2$ for CeCoIn$_5$ which is an ambient pressure superconductor below $T_C=2.3\text{K}$\cite{Facio}. CeIrIn$_5$ has its superconducting transition at $T_C=0.4\text{K}$ while CeRhIn$_5$ is an antiferromagnet at ambient pressure with a Néel temperature $T_N=3.8\text{K}$\cite{Facio}. For $P>P_c=1.77\text{GPa}$ the antiferromagnetic state of CeRhIn$_5$ is replaced by a superconducting state which coexists with magnetic order\cite{Facio}. The less studied PuCoIn$_5$ and PuRhIn$_5$ compounds are heavy fermion superconductors and the highest superconducting temperature is also obtained for the Co-based compound with $T_C=2.5\text{K}$, while the reported value for PuRhIn$_5$ is $T_C=1.1\text{K}$\cite{Facio}.

The metallic RMIn$_5$ (R=Nd, Sm, Gd, Tb, Dy, Ho, Er, and Tm, M=Co, Rh, Ir) compounds which order antiferromagnetically, show an interesting pattern in their transition metal by blue spheres and the rare earth by grey spheres.

FIG. 1. (Color online) Crystal structure for the RMIn$_5$ compounds. The In atoms are represented by red spheres, the transition metal by blue spheres and the rare earth by grey spheres.
peratures while those based on Co order at a temperature 30%–50% lower. In this article we address the above mentioned regularity and, to that aim, we focus on our analysis on the magnetic behavior of the R=Gd compounds. The Gd-115 compounds are particularly appealing to study the role of the transition metal d electrons on the magnetism, because of their relative simplicity. In these compounds the Gd$^{3+}$ ions are expected to be in a $S = 7/2$, $L = 0$ multiplet, and the crystal-field splitting effects are therefore expected to be much smaller than in Ce and other $L \neq 0$ rare earth analogues. Moreover, these materials do not show heavy fermion behavior which further simplifies the analysis. A deeper understanding of the behavior of the magnetic 115 compounds when the transition metal M is replaced may help assess the stability of the superconducting state in the Ce-115 and Pu-115 compounds. The superconductivity in these materials seems to be deeply associated with the magnetic properties and the highest superconducting temperatures are obtained for the Co-based compounds.

Total-energy calculations of the GdMIn$_5$ compounds, based on Density-functional-theory (DFT), indicate a ground state with magnetic moments localized at the Gd$^{3+}$ ions and allowed us to estimate the strength of the Gd-Gd magnetic interactions. We solved the resulting magnetic model to obtain the magnetic contribution to the specific heat, the magnetic susceptibility, and the Néel and Curie-Weiss temperatures. The excellent agreement obtained with the available experimental data validates our model and the calculated magnetic interaction parameters. As we show below, the fact that the GdCoIn$_5$ compound has a lower transition temperature than its Rh and Ir counterparts, can be associated with its strongly suppressed magnetic coupling between Gd$^{3+}$ ions located at different GdIn$_3$ planes.

The reduced value for the interplane exchange coupling obtained in GdCoIn$_5$ is mainly due to a suppression of the hybridization between the Co 3d and the Gd$^{3+}$ 5d orbitals that mediate the interplane RKKY interaction between the Gd$^{3+}$ ion magnetic moments. A toy model considering a single effective d orbital on the transition metal M and the Gd$^{3+}$ ions is able to qualitatively explain the behaviour of the interplane exchange coupling. The parameters for the model were calculated from a Wannier orbital analysis and roughly estimated from the average energy and the total width of the Co an Gd bands with dominating 3d and 5d character, respectively.

The results from the two approaches lead to the same conclusions.

The rest of the paper is organized as follows: In Sec. [II] we determine the magnetic structure and the coupling constants of the magnetic Hamiltonian for the three GdMIn$_5$ compounds and solve the model in the mean-field approximation and numerically using Quantum Monte Carlo (QMC). In Sec. [III] we summarize our main results and conclusions.

### II. MAGNETIC PROPERTIES

In this Section we analyze the magnetic structure of the GdMIn$_5$ compounds. We propose a simple Hamiltonian to describe their magnetic properties and determine the model parameters through DFT calculations. To describe the temperature dependence of the magnetic properties we first treat the magnetic Hamiltonian in the mean-field approximation which allows a simple interpretation of the experimental data. We then include quantum fluctuations in a simplified model to obtain a quantitative description of the low temperature ($T \lesssim T_N$) experimental data.

#### A. Technical details of the DFT calculations

The total-energy calculations were performed using the generalized gradient approximation (GGA) of Perdew, Burke and Ernzerhof for the exchange and correlation functional as implemented in the Wien2K code [20,21]. A local Coulomb repulsion was included in the Gd 4f shell and treated using GGA+U which is a reasonable approximation for these highly localized states. GGA+U has been also used in previous calculations of compounds of the RMIn$_5$ family [22,23]. Due to the localized character of the 4f electrons, the fully localized limit was used for the double counting correction [24]. We described the local Coulomb and exchange interactions with a single effective local repulsion $U_{eff} = U - J_H = 6eV$ as in bulk Gd [25,27]. The APW+local orbitals method of the WIEN2K code was used for the basis function. 1200 k-points were used in the irreducible Brilouin zone for the full optimization of the crystal structures, and 440 k-points for the $2 \times 2 \times 2$ supercell total-energy calculations of the different magnetic configurations.

| M\R  | Ce | Nd | Sm | Gd | Tb | Dy | Ho | Er | Tm | Pu |
|------|----|----|----|----|----|----|----|----|----|----|
| Co   | 2.3$^a$ | 8$^i$ | 11.9$^f$ | 30$^i$ | 30.2$^i$ | 20$^i$ | 10.5$^i$ | < 2$^e$ | 2.6$^f$ | 2.5$^e$ |
| Rh   | 3.8$^b$ | 11.6$^j$ | 15$^f$ | 39.9$^k$ | 45.5$^l$ | 28.1$^m$ | 15.8$^m$ | 34$^w$ | 36$^k$ | 1.1$^w$ |
| Ir   | 0.4$^o$ | 13.7$^i$ | 14.3$^l$ | 42$^i$ | 41.4$^o$ | – | – | – | – | – |

**TABLE I.** (Color online) Néel and superconducting transition temperatures for RMIn$_5$ compounds at ambient pressure. All temperatures are in K and bold numbers correspond to the superconducting transition temperature. Superscript letters correspond to References: $a =$ [1], $b =$ [1], $c =$ [7], $d =$ [8], $e =$ [9], $f =$ [10], $g =$ [4], $h =$ [11], $i =$ [12], $j =$ [13], $k =$ [14], $l =$ [15], $m =$ [16], $n =$ [5], $p =$ [17], $q =$ [18], and $r =$ [2].
B. Magnetic structure of the ground state and coupling constants

We explored different static magnetic configurations for the magnetic moments which are presented in Fig. 2. The lowest energy configuration for the three Gd compounds is antiferromagnetic (AF3) which corresponds to the measured structure in GdRhIn$_5$ via resonant x-ray diffraction experiments, NdRhIn$_5$ in neutron diffraction experiments and the inferred structure of DyRhIn$_5$ and HoRhIn$_5$ in magnetization experiments. This magnetic configuration is associated with the competition of the first-neighbour $K_0$ and second neighbour $K_1$ antiferromagnetic exchange couplings that lead to ferromagnetic chains in the GdIn$_3$ plane and an antiferromagnetic interplane coupling $K_2$ that leads to an antiferromagnetic configuration between GdIn$_3$ planes. The total energy for each magnetic configuration is presented in Table II.

We assume that the magnetic interaction between the magnetic moments on the Gd$^{3+}$ ions can be described with the following Hamiltonian:

$$\mathcal{H} = \sum_{i\neq j} K_{ij} \mathbf{J}_i \cdot \mathbf{J}_j \quad (1)$$

where $K_{ij}$ is the exchange coupling between the magnetic moments $\mathbf{J}_i$ and $\mathbf{J}_j$, and depends on the intraplane and interplane distances between Gd atoms. As it is indicated in Fig. 2, $K_{ij}$ is equal to $K_0$ for nearest neighbors and $K_1$ for next-nearest-neighbors inside the GdIn$_3$ plane, and correspondingly to $K_2$, $K_3$ and $K_4$ for the interplane couplings. The dominating Gd-Gd magnetic exchange interactions are due to a RKKY coupling between the Gd’s magnetic moments through exchange coupling with the conduction electrons.

In the absence of an applied magnetic field, the contribution per Gd atom to the total energy due to the magnetic interactions described in Eq. (1) for the different configurations of Fig. 2 is given by:

$$E_{AF1}^{\alpha}/J^2 = -2K_0 - 2K_1 - K_2 - 4K_3 - 4K_4$$

$$E_{AF2}^{\alpha}/J^2 = -2K_0 + 2K_1 + K_2 - 4K_3 - 4K_4$$

$$E_{AF3}^{\alpha}/J^2 = -2K_0 + 2K_1 + K_2 - 4K_3 - 4K_4$$

$$E_{AF4}^{\alpha}/J^2 = -2K_1 - K_2 + 4K_3$$

$$E_{AF5}^{\alpha}/J^2 = 2K_0 + 2K_1 - K_2 - 4K_3 - 4K_4$$

where $J = 7/2$ is the angular momentum of the Gd$^{3+}$ ion 4f electrons. The energy differences between magnetic configurations calculated from first principles can be combined with Eqs. (2) to obtain the coupling parameters $K_i$ solving a system of 5 linear equations. The results for the $K_i$ are presented in Table III and show some remarkable features. On the one hand, the interplane coupling $K_2$ is a factor ~ 3 smaller in GdCoIn$_5$ than in GdRhIn$_5$ and GdIrIn$_5$, while the other sizable couplings do not change significantly. On the other hand, $K_3$ and $K_4$ are much smaller than $K_2$ so that $K_2$ dominates the interplane coupling in the Rh and Ir compounds. This implies a more two-dimensional behavior of the magnetism in GdCoIn$_5$ than in GdRhIn$_5$ and GdIrIn$_5$, and, as we will see below, explains the lower Néel temperature observed in the Co-based compound.
C. Toy model for the interplane coupling $K_2$

The exchange couplings calculated in the previous Section stem from a RKKY mechanism mediated by the conduction electrons. The Gd$^{3+}$ 4f electrons couple with the Gd$^{3+}$ 5d conduction electrons with a magnetic exchange coupling $J_{fd}$ that for a related material has been estimated to be $J_{fd} \sim 75\text{meV}$ (see Ref. [32]). The almost empty Gd$^{3+}$ 5d orbitals have a small hybridization with the partially occupied transition metal d orbitals (see Fig. 3). With these ingredients we construct a toy model, to describe the behavior of the RKKY coupling $K_2$, with parameters that could be estimated from experimental data. We consider a single effective level to represent the transition metal d orbitals and another for the Gd$^{3+}$ 5d orbitals. While the In 5p orbitals contribute to the conduction electron bands, their inclusion in the toy model does not change qualitatively the results and will not be considered here. To calculate the exchange coupling $K_2$ we consider two Gd atoms coupled via a single transition metal atom and calculate the energy of the parallel ($E_P$) and antiparallel ($E_{AP}$) configurations for the Gd$^{3+}$ 4f magnetic moments. The coupling is estimated as $K_2 \sim (E_{AP} - E_P)/2J^2$. The model hamiltonian is

$$H_2 = \sum_\sigma E_d d_\sigma^+ d_\sigma + E_c \sum_\sigma c_\sigma^+ c_\sigma + t \sum_\sigma (d_\sigma^+ c_\sigma + h.c.) \quad (3)$$

where $d_\sigma^+$ ($c_\sigma^+$) creates an electron with spin projection $\sigma = \pm$ along the z-axis on the Gd$^{3+}$ 5d (M d) effective orbital. The exchange coupling between the 4f and 5d Gd$^{3+}$ electrons is taken as a static field on the Gd$^{3+}$ 5d effective orbital making its energy spin dependent: $E_{d\sigma} = E_d \pm \sigma \Delta$ where the + (−) sign corresponds to the Gd$^{3+}$ 4f magnetic moment being parallel (antiparallel) to the z-axis, and $\Delta = J J_{fd}$. The model can be readily diagonalized and to lowest order in $t$ and $\Delta$:

$$K_2 \sim \frac{2\Delta^2 t^4}{J^2(E_c - E_d)^2} \quad (4)$$

where we have assumed that $t$ is a small parameter. The parameters $E_d$ and $E_c$ can be roughly estimated from the central weights of the bands with the highest Gd$^{3+}$ 5d and M d character, respectively. To obtain the ratio of hybridization parameters $t$ for two given compounds, we assumed it to be proportional to the ratio of the total bandwidths of the M d bands in the corresponding compounds (see Fig. 3 for the estimation of the width of the M d bands). The main assumption here is that the interplane and interplane hybridizations change in the same proportion when the transition metal is changed. The estimation of the parameters from experimental data requires the measurement of the M d and Gd f partial DOS that could be obtained from resonant photoemission spectroscopy experiments.

The parameter $E_d \sim 3\text{eV}$ is nearly the same for the three compounds, while the level energy of the Co 3d orbital ($E_{Co} \sim -1.1\text{eV}$) is higher than the corresponding to Rh 4d ($E_{Rh} \sim -2.5\text{eV}$) and Ir 5d ($E_{Ir} \sim -2.6\text{eV}$). The hybridization $t$ is estimated (see Fig. 3) to be a $\sim 40\%$ smaller in GdCoIn$_5$ than in the Rh and Ir compounds. The model reproduces approximately the value of the ratios between the couplings $K_2$ of the three compounds. The reduced value of the $K_2$ exchange couplings in the Co-based compound is associated with a reduced hybridization $t$ compared to the Rh and Ir compounds which is partially compensated for the larger value of $E_c$ in GdCoIn$_5$. The coupling $K_2$ is expected to have similar values for GdRhIn$_5$ and GdIrIn$_5$ as the two materials have similar effective parameters and hybridization $t$. Similar results are obtained estimating the parameters from a Wannier orbital analysis projecting the Hamiltonian on the partially occupied Gd$^{3+}$ 5d and M d bands.

D. Finite temperatures

In this Section we analyze the validity of the model Hamiltonian given by Eq. (1) and the calculated exchange coupling parameters (see Table III) to describe the magnetic degrees of freedom in the GdMIn$_5$ compounds. We solve the magnetic Hamiltonian using different approximations to obtain the magnetic susceptibility and the magnetic contribution to the specific heat and compare with the experimental data in the literature. We solved the magnetic Hamiltonian given by Eq. (1) in the mean field (MF) approximation considering 8 independent Gd$^{3+}$ magnetic moments. The model presents a paramagnetic to C-type antiferromagnet transition as the temperature is lowered below $T_{N}^{MF} = J(J+1)/8 \left(4K_1 + 2K_2 - 8K_4\right)$. Figure 4b) presents the magnetic contribution to the specific heat which shows a
The magnetic susceptibility is presented in Fig. 4b). At temperatures $T > T_N$, $\chi$ has a Curie-Weiss behavior and decreases with decreasing temperature for $T < T_N$: $\chi = (g_1s\mu_B)^2J(J+1)/3(T+\theta)$ where $\theta = J(J+1)/4(4K_0 + 4K_1 + 2K_2 + 8K_3 + 8K_4)$. The values of $\theta$ for the GdMIn$_5$ compounds using the calculated exchange couplings are presented in Table III. They present a good quantitative agreement with the experimental results. Figure 4 presents a comparison between the mean-field and the experimental results for the magnetic susceptibility of GdRhIn$_5$ as a function of the temperature. There is an excellent agreement at temperatures above the Néel temperature where the material presents a Curie-Weiss behavior (see inset to Fig. 5). The Néel temperature is overestimated which, as we will see below, is a consequence of ignoring quantum fluctuations and the source of the low temperature disagreement between the mean-field magnetic susceptibility and the experimental data.

Although the mean-field solution is consistent with the experimental results it does not show some features in the specific heat like the power law behaviour of $C/T$ at small $T$ (related with spin waves) nor the lambda divergence at the transition, and overestimates the transition temperature. To improve the description of the physical properties including quantum fluctuations we resort to a simplified magnetic model. We consider $J = 7/2$ magnetic moments on a cubic lattice interacting through a first-neighbour antiferromagnetic exchange coupling $K_{eff} = \frac{3k_B T_{eff}^MF}{J(J+1)}/z$, where $z = 6$ is the number of neighbours. At the mean-field level the simplified model reproduces the transition temperature and the specific heat of the full model in the complete temperature range. It does not reproduce, however, the Curie-Weiss temperature which, within the mean field approximation, is now equal to $-T_N$. The effective model can be solved numerically using Quantum Monte Carlo simulations in a finite size cluster. We considered sizes ranging from $L = 6$ up to $L = 30$ in a cubic lattice of $L \times L \times L$ sites and used a finite size scaling analysis to extrapolate to $L \rightarrow \infty$. Thermalization and measurements where performed with a temperature dependent number of sweep steps, ranging
between $10^5$ and $10^6$ steps. The Quantum Monte Carlo simulations were performed using the ALPS library, in particular the “loop algorithm which allows the inclusion of external magnetic fields.

The results for the magnetic contribution to the specific heat are presented in Fig. 6 together with the experiment data. The experimental $C_{\text{em}}$ was obtained subtracting the theoretically obtained electronic and phonon contributions. The phonon contribution was corrected for anharmonic effects (see Refs. 8). We used a slightly reduced coupling $0.93 J_{\text{eff}}$ in order to match the experimental transition temperature of GdCoIn$_5$. For a given coupling strength, the mean field solution overestimates de transition temperature by $\sim 50\%$. The QMC results based on the DFT calculated magnetic interaction parameters predict a transition temperature within a $10\%$ of the experimental observation for the three GdMIn$_5$ compounds. An excellent experiment-theory agreement is obtained in the full temperature range of $C_{\text{vm}}/T$, including the high temperature tail, the lambda transition, the plateau and the Schottky-like anomaly. For temperatures close to $T_N$ the finite size effects are maximal and are corrected using a finite size scaling (see inset to Fig. 6). At low temperatures ($T \lesssim 10\mathrm{K}$) the error in the numerical calculations increases. To complete the description, we perform a spin-wave analysis. The antiferromagnetic spin waves in a cubic lattice have a dispersion relation

$$\omega(q) = 2J_{\text{eff}} T \sqrt{9 - (\cos q_x + \cos q_y + \cos q_z)^2},$$

and the specific heat is

$$C_{\text{sw}}(T) = R \frac{\partial}{\partial T} \int_0^\infty \omega(q)n_b[\omega(q)]d^3q,$$

where $n_b(\omega)$ is the Bose-Einstein distribution and $R$ is the universal gas constant. In the $T \to 0$ limit we have the expected temperature behavior $C_{\text{sw}}(T \to 0) = R \frac{32\pi^3}{15(2\pi)^7} \left( \frac{T}{J_{\text{eff}}} \right)^3$. The resulting $C_{\text{sw}}$ allows us to extend the QMC results to low temperatures and is presented with a blue dashed style line in Fig. 6.

III. SUMMARY AND CONCLUSIONS

We analyzed the role of the transition metal in the RMIn$_5$ family of compounds. We focused our analysis on the GdMIn$_5$ ($\mathrm{M}=\mathrm{Co, Rh, Ir}$) which order magnetically at low temperatures. Based on DFT calculations we obtained the parameters of a magnetic Hamiltonian that we solved in the mean-field approximation and numerically through quantum Monte Carlo calculations. We obtained an excellent agreement with the experimental transition and the Curie-Weiss temperatures, as well as with the specific heat in the full temperature range. Our results show that the source of the diminished Néel temperature observed in the Co-based 115 compounds, compared to the Rh- or Ir-based compounds is associated with a reduced exchange coupling between the magnetic moments of the Gd$^{3+}$ ions located on different GdIn$_3$ planes. This reduced interplane RKKY coupling is associated with a reduced hybridization between the Gd 5$d$ and the Co 3$d$ electrons. We believe that the reduced coupling between planes may be the source of reduced $T_N$ on other compounds of the series. Our results indi-
cate that the magnetism in the Co-based materials has a more two-dimensional character than in their Rh and Ir counterparts. This behavior may also help understand the larger superconducting transition temperatures observed in the Co-based Ce-115 and Pu-115 compounds, compared with the Rh- or Ir-based counterparts.

Future work includes extending our theoretical and experimental analysis to the Tb-115 compounds that present sizable crystal-field effects.

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A detailed analysis of the phonon contribution to the specific heat in these compounds will be presented elsewhere.