Modulation of a surface plasmon-polariton resonance by sub-terahertz diffracted coherent phonons

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Coherent sub-THz phonons incident on a gold grating that is deposited on a dielectric substrate undergo diffraction and thereby induce an alteration of the surface plasmon-polariton resonance. This results in efficient high-frequency modulation (up to 110 GHz) of the structure’s reflectivity for visible light in the vicinity of the plasmon-polariton resonance. High modulation efficiency is achieved by designing a periodic nanostructure which provides both plasmon-polariton and phonon resonances. Our theoretical analysis shows that the dynamical alteration of the plasmon-polariton resonance is governed by modulation of the slit widths within the grating at the frequencies of higher-order phonon resonances.

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Creating new devices based on plasmonic nanostructures (PNs) requires development of new physical concepts where the properties of plasmons and their interaction with photons may be controlled externally. Several methods of this "active plasmonics" were reported where the energy and propagation of plasmons were controlled by temperature, optical excitation, electric and magnetic fields. In order to explore the properties of plasmons in nanodevices it is necessary to realize nondestructive control of plasmons on timescales far below 1 ns. In particular, such techniques could be employed in recently developed plasmon lasers (spasers), to enhance their functionality. Only then the advantage of plasmonics as compared traditional integrated electronics may be indeed exploited.

By now there are a number of works where ultrafast control of plasmons in PNs has been demonstrated using femtosecond optical excitation which possess a number of undesirable side effects, like thermal heating or excitation of high-energy electron states. Besides modulation of the PN dielectric function, plasmonic states may be controlled by modulation of the geometrical parameters of the PN, like size of elements or distances between elements. This can be realized by applying uniaxial stress and, for dynamical modulation, acoustic waves may be used. The feasibility of such an acoustic approach for the modulation of plasmonic properties has been already shown in a number of recent works, where THz phonons interact with the plasmon resonance in a very small noble metal particle or in periodic structures but in the frequency range up to 10 GHz.

The aim of the present work is to realize an efficient modulation by sub-THz coherent phonons in a PN which has a narrow band plasmon-polariton resonance in the visible range. The main difficulty in realizing such a task lies in the fact that the typical sizes determining the PN...
properties at optical frequencies are much larger than the wavelength $\Lambda$ of THz phonons (e.g. metal grating periods of $d=100$ to $1000$ nm compared to $\sim 10$ nm phonon wavelength). This difference results in a negligibly small modulation efficiency. In the present work we explore the diffraction of sub-THz phonons by a plasmonic grating. For such a concept the periodic character of the PN has two roles, given by two types of resonances: one is an optical, i.e. plasmon polariton resonance; and the second one is a phonon resonance related to high-order diffraction of near-surface longitudinal acoustic phonons.

The PN used in the present experiments is a gold (Au) grating fabricated on the (111) plane of a Gadolinium Gallium Garnet (GGG) substrate, shown in Fig.1 (a). The grating period $d=400$ nm, thickness of Au stripes $h=80$ nm and width of slits $r=50$ nm were optimized to have distinct spectral lines in the specular optical reflectivity spectra shown in Fig.1(b), recorded for several incidence angles $\alpha$ with the electrical field vector lying in the incidence plane (p-polarization). The dispersion of the spectral position of these lines versus $\alpha$ corresponds well to the solution of the momentum conservation law for the plasmonic wave at the GGG/Au interface:

$$k_0\sqrt{\varepsilon_3}\sin\alpha = \beta + m \frac{2\pi}{d},$$

where $k_0$ is the incident light wave number, $\varepsilon_1$, $\varepsilon_2$, $\varepsilon_3$ are the dielectric constants of gold, GGG, and air, respectively, $\beta = k_0\sqrt{\varepsilon_1 \cdot \varepsilon_2}/(\varepsilon_1 + \varepsilon_2)$, and $m$ is an integer. Comparison with the experimental reflection spectra indicates that the observed resonance features are due to excitation of the first-order surface-plasmon polaritons at the GGG/Au interface, i.e. $m=1$. Details of the optical properties of the studied PN may be found elsewhere.

The experimental scheme is shown in Fig.1 (c). A 50 nm Al film was deposited on the GGG substrate surface opposite to the grating. It was optically excited by femtosecond pump pulses from regenerative amplifiers at 800 nm or 400 nm (frequency doubled) wavelengths. Two laser systems have been used: one with pulse duration 150 fs at a repetition rate 100 kHz and another with 60 fs pulses at 5 kHz repetition rate. The pump laser beam was focused on the grating with a spot diameter of 30 $\mu$m and an energy density per pulse of less than 0.05 mJ/cm$^2$.

The experimental results obtained at cryogenic temperatures ($T=5$ K) are shown in Fig.2. Figure 2 (a) shows...
shows the temporal evolutions of the intensity changes 
\( \Delta I(t)/I_0 = (I(t) - I_0)/I_0 \) for three pump excitation 
densities \( W \) (\( t \) is the time between the arrival of the 
strain pulse at the GGG/Au grating interface and the 
probe pulse, and \( I_0 \) is the stationary intensity of the 
reflected probe beam without the strain pulse). 
Complicated oscillatory behaviors of \( \Delta I(t)/I_0 \) are observed in all 
three curves. The exact evolution of \( \Delta I(t)/I_0 \) depends on \( W \). 
In particular, the changes in reflectivity start 
et earlier for high \( W \) which is in agreement with appearance 
of nonlinear phenomena during strain pulse propag-
ation for \( W > 1 \) mJ/cm\(^2\). The absolute changes of 
reflected intensity reach \( 2 \times 10^{-4} \) for the highest \( W \) and 
depend also on the incidence angle and polarization of 
the probe beam. The curves in Fig.2 (a) are obtained for 
\( p \)-polarized light at \( \alpha = 5^\circ \) when the central wavelength 
of the probe beam lies at 800 nm, i.e. on the short-
wavelength flank of the plasmon-polariton resonance [see 
Fig.1 (b)]. The signal is zero for probing by \( s \)-polarized 
light (electric field perpendicular to the incidence plane) 
for which no plasmon-polariton peaks are observed at any 
\( \alpha \), due to the boundary conditions. Also, \( \Delta I(t)/I_0 = 0 \) 
for both polarizations for \( \alpha = 2^\circ \), because in this case 
the spectral overlap between the polariton and the probe 
spectral lines is negligible. Thus we may conclude that 
the observed signal \( \Delta I(t)/I_0 \) is exclusively resulting from 
modulation of the surface plasmon-polariton resonance 
by the elastic waves excited by the picosecond strain 
pulse.

The amplitude spectra of the modulation signals, 
obtained by fast Fourier transformation of the measured 
\( \Delta I(t)/I_0 \), are shown in Fig.2 (b) for the same \( W \) as in 
Fig.2 (a). For the highest \( W \) (top curve in Fig.2 (b)) 
the spectrum spreads up to \( f = 110 \) GHz. The spectrum 
consists of a number of peaks whose frequencies do not 
depend on \( W \). For lower \( W \), on the other hand, the high 
frequency peaks are less pronounced compared to high 
\( W \) values.

The remarkable result of Fig.2 (b) is the observation of 
an almost equidistant spectral separation between the 
spectral lines for \( f > 30 \) GHz. The central frequencies 
of these spectral lines are well described by

\[
\Delta n = \frac{ns}{d},
\]

where \( n \) is an integer and \( s = 6440 \) m/s is close to the 
LA velocity for waves propagating in GGG along 
the (111) plane. The appropriateness of describing 
the modulation frequencies by Eq.(2) leads us to the 
explanation that the components in the modulation 
spectrum are governed by the LA near-surface waves, 
which are diffracted by the grating and propagate in 
GGG with the sound velocity \( s \) along the \( x \) direction. 
The integer \( n \) corresponds to the interference order of 
the diffracted waves. In other words, the Au grating 
plays the role of a diffraction grating for acoustic waves 
in addition to the plasmon-photon coupling that it 
provides. The diffracted acoustic waves propagating 
along the GGG/grating interface initiates the sub-THz 
modulation of the reflected light intensity in the spectral 
region of the surface-plasmon-polariton resonance. The 
spectral lines which would correspond to transverse 
acoustic (TA) phonons are not observed experimentally. 
If they were present, they would be easily recognized 
due to the smaller TA sound velocity. We attribute 
the absence of TA peaks to the mechanical boundary 
conditions which control the transformation of the 
incident LA strain pulse into diffracted TA waves. It is 
worth to mention that bulk to surface transformation of 
low frequency acoustic waves was shown earlier.

The widths of the spectral lines are almost independent 
on \( n \) up to \( n = 7 \). Such behavior is similar to optical 
diffraction on gratings where the spectral width for 
a certain angle does not depend on the diffraction 
order but is governed by the number \( N \) of coherently 
interfering grating periods. From the experimental 
data, we estimate \( N \approx 3 \) for the number of "active" 
grating periods, corresponding to a lateral size of more than 1 \( \mu m \). This number characterizes the lateral length 
across which coherence between the waves is conserved. 
Although we cannot address this effect quantitatively, 
we note that likely it is determined by the deviation of 
the acoustic field from periodicity in the lateral direction 
due to disorder of the grating.

Now we present the theoretical analysis of the coupling 
between the surface plasmon-polaritons and the near 
surface acoustic waves, which governs the measured 
optical response at high frequencies (\( f > 30 \) GHz). The

\[ |R/R_0| = 10^{-3} \] (top curve) and \[ |R/R_0| = 10^{-4} \] (bottom curve). 
In all curves the central wavelength of the probing beam was \( \lambda = 800 \) nm, the incident angle was \( \alpha = 5^\circ \), and the temperature was \( T = 5 \) K.

FIG. 3. (a) The calculated reflectivity spectrum (solid line) 
and spectrum of the probe pulse with the spectral width 
\( \Delta \lambda = 15 \) nm (dashed line); (b) The calculated differential spectral 
\( \Delta R/R_0 \) when the width of the slit in the Au grating 
changes by \( \Delta r = 0.01 \) nm; (c) The calculated dependence of the 
relative reflected intensity changes for a probe pulse 
centered at 800 nm on the spectral width \( \Delta \lambda \); 
(d) The measured 100 ps fragments of the reflectivity signals for probing with 
\( \Delta \lambda = 1 \) nm (upper curve) and \( \Delta \lambda = 50 \) nm (lower curve).

In all curves the central wavelength of the probing beam was \( \lambda = 800 \) nm, the incident angle was \( \alpha = 5^\circ \), and the temperature was \( T = 5 \) K.
elasticity equations show that the lateral displacement-profile is an odd function of $x$ with respect to the middle of the grating stripe, provided that the grating profile is an even function of $x$. Therefore, we may assume that the main contribution to $\Delta I(t)/I_0$ comes from the coherent modulation of the slit width $r$, while the period $d$ of the grating remains unperturbed. The influence of $r$ on the reflection spectrum can be calculated by the rigorous coupled waves analysis (RCWA) which allows us to model the optical properties of periodic multilayered structures\cite{11,12}.

The calculated, unperturbed reflectivity spectrum $R_0$ for $\alpha = 5^\circ$ along with the probe spectrum is shown in Fig.3 (a). At $\alpha = 5^\circ$ the probe central wavelength is on the shorter-wavelength wing of the $R_0$ spectrum. The RCWA modeling shows that small changes $\Delta r$ of the slit width cause a shift of the plasmonic resonance in the reflectivity spectrum. That is why the change of the reflectivity spectrum $\Delta R(\lambda)/R_0(\lambda) = (R(\lambda) - R_0(\lambda))/R_0(\lambda)$ has positive and negative peaks corresponding to the wings of the plasmonic spectral line in reflection. A calculated spectrum $\Delta R/R_0$ for $\Delta r=0.01$ nm is shown in Fig.3 (b). The measured signal $\Delta I/I_0$ integrated over the probe spectral width is sensitive to the spectral bandwidth of the probe pulse $\Delta \lambda$ (Fig.3 (c)).

The experimentally measured amplitude $\Delta I/I_0 \sim 10^{-4}$ is in good agreement with theoretical calculations, if we assume the lateral displacement to have a magnitude of $\Delta r=0.01$ nm, resulting in a corresponding change of the slit width. For $n=7$, this number corresponds to strain of about $10^{-3}$. Taking into account, that the amplitude of strain in the incident pulse and its spectral width are $\sim 10^{-3}$ and 100 GHz, respectively, we may conclude that the grating gives rise to considerable local enhancement of the acoustic field in the relatively narrow spectral bands near $f_n$.

In order to confirm the calculated dependence of the modulation amplitude on $\Delta \lambda$ shown in Fig.3 (c) we have performed experiments with three different values of $\Delta \lambda$.

The experimental results shown in Fig.2 are obtained for $\Delta \lambda=15$ nm. The signals measured for $\Delta \lambda=1$ nm and $\Delta \lambda=50$ nm are presented in Fig.3 (d). The amplitudes of high-frequency oscillations are 5 times higher for $\Delta \lambda=1$ nm than for $\Delta \lambda=50$ nm. This is in reasonable agreement with theory predicting an order of magnitude difference. This agreement supports our assumption that the coherent modulation of the slit widths is the main mechanism for coupling the surface plasmon-polaritons with the LA near-surface acoustic waves.

Now we turn to discussing the low frequency ($f < 30$ GHz) part of the modulation spectrum, which consists of a series of spectral lines with central frequencies not described by Eq. (2), see Fig.2 (b). For instance, the spectrum has a dip at $f = 16.1$ GHz instead of the expected peak related to the fundamental $(n=1)$ LA near-surface mode. We attribute the spectral lines in the spectral region $f < 30$ GHz to various surface modes excited in the Au grating as observed also in earlier works\cite{20,22}. The exact spectrum of the modulated signals depends on the dispersion of these modes and their interaction with bulk modes in GGG. For instance the dip at $f = 16.1$ GHz may be due to a Fano resonance originating from interaction of the surface modes in the Au grating and LA near-surface modes in GGG.

We performed also experiments at a temperature of 300 K where the frequency components are fully dominant, because high-frequency oscillations in $\Delta I(t)/I_0$ are damped completely due to the strong attenuation of sub-THz LA waves during their propagation through the GGG substrate\cite{33}. The results are presented in Fig.4.

The signals do not depend on $\Delta \lambda$ which means that the interaction mechanism for the low frequency modes with the polariton resonance is different from the one described above for $f > 30$ GHz, where the modulation of the grating slit width is considered as the main perturbation. Comprehensive analysis of the surface modes is beyond the scope of the present paper where we concentrate mostly on the high-frequency part of the modulation spectrum.

In conclusion, we have demonstrated that coherent phonons possess diffraction on the plasmonic grating and this results in efficient modulation of a plasmon-polariton resonance at frequencies $\sim 100$ GHz.

FIG. 4. (a) The time evolution of the reflected intensity at room temperature for $\Delta \lambda=50$ nm (upper curve) and $\Delta \lambda=1$ nm (lower curve), ($\lambda=800$ nm, $\alpha = 5^\circ$, and $W \sim 10$ mJ/cm$^2$). (b) Corresponding fast Fourier transform for $\Delta \lambda=50$ nm.

| Time (ns) | Frequency (GHz) |
|----------|-----------------|
| 0.0      | 0.0             |
| 0.5      | 0.5             |
| 1.0      | 1.0             |
| 1.5      | 1.5             |
| 2.0      | 2.0             |
| 0        | 5               |
| 5        | 10              |

| Reflected intensity change $\Delta I(t)/I_0 \times 10^4$ |
|----------------------------------------------------------|
| 0            |
| -1           |
| 0            |
| 1            |
| 2            |

| Amplitude spectral density (arb. units) |
|----------------------------------------|
| 0                                     |
| 5                                     |
| 10                                    |

-3
-2
-1
0
1
2
0 5 10
Reflected intensity change $\Delta I(t)/I_0 \times 10^4$
Amplitude spectral density (arb. units)
Time (ns) Frequency (GHz)
$\Delta \lambda=50$ nm
$\Delta \lambda=1$ nm
$T=300$ K (a) (b)

$\Delta \lambda=50$ nm
$\Delta \lambda=1$ nm
$T=300$ K (a) (b)
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