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Evaluating the ability of the NLHA2 and artificial neural network models to predict COVID-19 severity, and comparing them with the four existing scoring systems

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1. Introduction

Since December 8th, 2019, several cases of pneumonia in combination with severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) have been reported in Wuhan, Hubei Province, China\textsuperscript{[1,2]}. The early clinical symptoms of Coronavirus Disease 2019 (COVID-19) include fever, cough, and fatigue, which is similar to the manifestation of community-acquired pneumonia (CAP)\textsuperscript{[1,3]}. Moreover, COVID-19 is a highly infectious respiratory tract disease that lacks effective therapy...
In the absence of timely intervention, some patients will rapidly develop severe pneumonia, acute respiratory distress syndrome (ARDS), and may even die [3]. Furthermore, inappropriate intervention as well as delayed diagnosis or admission to the ICU may escalate the risk of severe pneumonia and worsen prognosis [4]. Therefore, an effective severity scoring model would benefit the treatment of patients with COVID-19.

Recent studies have evaluated the application of certain scoring systems (PSI, CURB-65, HNC-LL, A-DROP, SMARTCOP, MuLBSTA, NEWS2 and qSOFA) to COVID-19 [7–10]. However, the conclusions of these studies contradict one another. The purpose of this study was to identify a suitable scoring system to assist with the management and treatment of patients with COVID-19 while ensuring safety.

Previous studies have found that the existing pneumonia prediction models may not be ideal for screening severe COVID-19 [7–10]. In addition to traditional biological methods, advances in computer

### Abbreviations

| Abbreviation | Definition |
|--------------|------------|
| NLHA2        | A prediction model designed by our team with five covariates: neutrophil count, lymphocyte count, hemoglobin, alkaline phosphatase, and alcohol consumption |
| SARS-CoV-2   | Severe acute respiratory syndrome coronavirus 2 |
| COVID-19     | Coronavirus Disease 2019 |
| ARDS         | Acute respiratory distress syndrome |
| CAP          | Community-acquired pneumonia |
| ROC          | Receiver operating characteristic |
| AUC          | Area under curve |
| LR           | Likelihood ratio |
| KNN          | K-nearest neighbor |
| ANN          | Artificial neural network |
| EPV          | Event per variable |
| HR           | Heart rate |
| RR           | Respiratory rate |
| RBC          | Red blood cell |
| Hb           | Hemoglobin |
| HCT          | Hematocrit |
| PLT          | Blood platelets |
| ESR          | Erythrocyte sedimentation rate |
| CRP          | C-reactive protein |
| PCT          | Procalcitonin |
| SAA          | Serum amyloid A |
| ALT          | Alanine amino transferase |
| AST          | Aspartate amino transferase |
| GGT          | Gamma-glutamyl transpeptidase |
| AKP          | Alkaline phosphatase |
| Wuhan Leishenshan Hospital: 258 |  |
| Shanghai Fifth People’s Hospital: 37 |  |
| Hubel Jingzhou Jiangling People’s Hospital: 34 |  |
| Participants assessed for eligibility |  |
| Excluded: Younger than 18 years: 33 |  |
| 296 Enrolled in the study |  |
| 238 General Ward Care |  |
| 58 ICU Admission |  |
| Data set splitting |  |
| Training Set: 197 |  |
| Validation Set: 99 |  |
| Logistic Regression Model |  |
| Artificial Neural Network Prediction Model |  |

![Flowchart of the COVID-19 patients-screening process.](image-url)
investigate patients with confirmed COVID-19 diagnoses. We compared the efficiency and predictive accuracy of four established scoring systems. Each patient was classified into one of the following two groups based on outcomes: the ICU-Admission group, which included patients who were treated in the ICU or who died; and the General-Ward-Care group, which included all other patients.

The ethics commissions of Fudan University Affiliated Shanghai Fifth People’s Hospital and Hubei Jingshao Jiangling People’s Hospital approved this study. All patients provided informed consent before participating in the study. The patient screening process is outlined in Fig. 1.

### 2.2. Data collection

Infections were confirmed using nucleic acid amplification testing (NAAT), such as reverse transcription polymerase chain reaction (RT-PCR). Data on demographic characteristics, pre-existing comorbidities, initial vital signs, routine laboratory test results, admission diagnoses, and variables necessary to determine the PSI, CURB-65, SMARTCOP and modified-MuLBSTA scores were prospectively collected for all eligible patients [21,22,25–27].

### 2.3. Missing data imputation

Missing data is common in clinical studies. Deleting missing data is a simple way to deal with it, but can cause information loss and sample size reduction. In this study, we imputed missing data with probable values predicted from available data to preserve all cases [28]. Imputation was performed using the KNN (K-Nearest Neighbor) algorithm with k = 10. The ‘DmWR2’ R package was used to conduct this work.

### 2.4. Splitting data into training and testing sets

To conduct self-validation of the constructed prediction models, we randomly split the dataset into two subgroups: the training and testing sets. The training dataset was used for model building, while the testing set was used for model effect validation. Patients were divided between the groups at a 2:1 ratio, respectively. Supplementary Table 2 summarizes the basic distribution of demographic data.

### 2.5. Logistic regression model

To ensure practical operability of the scoring system, we selected easily accessible data as target variables, including demographic information, past medical history, smoking and drinking history, and blood indexes. First, we converted these variables into categorical variables. We performed univariate logistic regression for each variable to identify factors that predict overall outcome. Variables with \( P < 0.1 \) were further investigated using multivariate modeling. Multivariate regression analysis was carried out using a stepwise procedure, and the number of variables in the final model was determined by referring to event-per-

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### Table 1

Baseline characteristics, complications, and scores for patients with COVID-19 pneumonia.

| General Ward Care \( (N = 238) \) | ICU Admission \( (N = 58) \) | \( P \) Value |
|---------------------------------------------|-------------------------------|-----------|
| **Median Age (IQR) – yrs** | 49.0 (37.3–59.0) | 66.0 (56.0–76.0) | <0.0001 |
| **Gender – count (%)** | | | |
| Male | 117 (49.2) | 36 (62.1) | 0.078 |
| Female | 121 (50.8) | 22 (37.9) | |
| **Smoker – count (%)** | 5 (2.1) | 7 (12.1) | <0.01 |
| **Consumes Alcohol – count (%)** | 20 (8.4) | 20 (34.5) | <0.0001 |
| **Tumor – count (%)** | | | |
| 8 (3.4) | 6 (10.3) | 0.057 |
| **Chronic Liver Disease – count (%)** | | | |
| 8 (3.4) | 10 (17.2) | <0.001 |
| **Congestive Heart Failure – count (%)** | 15 (6.3) | 10 (17.2) | <0.05 |
| **Cerebrovascular Disease – count (%)** | 17 (7.1) | 18 (31.0) | <0.0001 |
| **Diabetes – count (%)** | 30 (12.6) | 22 (37.9) | <0.0001 |
| **Hypertension – count (%)** | 71 (29.8) | 25 (43.1) | 0.053 |
| **Chronic Renal Disease – count (%)** | 3 (1.3) | 3 (5.2) | |
| **Tumor – count (%)** | 8 (3.4) | 6 (10.3) | 0.057 |
| **Nursing Home Resident – count (%)** | 1 (0.4) | 4 (6.9) | <0.01 |
| **SCI – count (%)** | 26 (10.9) | 8 (13.8) | |
| **PMI – count (%)** | 5 (2.1) | 7 (12.1) | |
| **PSI Grade – count (%)** | | | |
| 1 | 112 (47.1) | 3 (5.2) | |
| 2 | 61 (25.6) | 0 (0.0) | |
| 3 | 48 (20.2) | 3 (5.2) | |
| 4 | 13 (5.5) | 30 (51.7) | |
| 5 | 4 (1.7) | 22 (37.9) | |
| **CURB-65 – count (%)** | | | |
| 0-1 | 191 (80.3) | 22 (37.9) | |
| 2 | 37 (15.5) | 29 (50.0) | |
| 3 | 9 (3.8) | 6 (10.3) | |
| 4-5 | 1 (0.4) | 1 (1.7) | |
| **SMARTCOP – count (%)** | | | |
| 0 | 37 (15.5) | 0 (0.0) | <0.0001 |
| 1 | 151 (63.4) | 17 (29.3) | |
| 2 | 37 (15.5) | 31 (53.4) | |
| 3 | 10 (4.2) | 7 (12.1) | |
| 4-6 | 3 (1.3) | 3 (5.2) | |
| **Modified MuLBSTA (IQR) – points** | 3.0 (3.0–4.0) | 8.0 (6.3–9.0) | <0.0001 |

IQR: interquartile range.
Fig. 2. The PSI scoring system had the best prediction effect. (A) Violin plots illustrating the four scores of each patient in both groups. Differences between groups were assessed using the Wilcoxon Rank Sum Test. *P* < 0.05 was considered statistically significant. (B) Distribution of risk scores in the General-Ward and ICU cohorts. Dotted lines represent dividing boundaries for scoring groups. Patients were sorted on the horizontal axis according to their scores. (C) ROC curves verifying the predictive performance of the four risk scoring systems in the COVID-19 cohort.
variables (EPV). The range of EPV was limited to 5–10 to reduce the risk of over-fitting [29,30]. Each significant variable was assigned a weighted point based on its β coefficient value.

2.6. Artificial neural network model

Significant variables from univariate regression were selected for artificial neural network modeling. The ‘RSNNS’ R package was used to build a multilayer perceptron (MLP) model. Modeling parameters were confirmed empirically. The importance of each variable was calculated using the connection weighted algorithm published by Olden et al. [31]. The Olden calculation was performed with the ‘NeuralNetTools’ R package.

2.7. Statistical analysis

For categorical variables in our study, the Chi-Square Test (with or without Yate’s correction) or Fisher’s Exact Test was used for univariate comparison. To describe the distribution of numerical variables, we applied either the mean ± SD (standard deviation) or median ± IQR (interquartile range) depending on the results of normality testing. We compared the differences among numerical variables using the ANOVA test, Welch’s Test, or Wilcoxon Rank-Sum Test depending on the results of normality and homogeneity of variance testing.

The predictive values of PSI, CURB-65, SMARTCOP and MuLBSTA were assessed in two dimensions of discrimination. Discrimination was determined using the area under the curve (AUC) with a 95% CI. The best cut-off value in each scoring system was confirmed by the Youden index. The cut-off value was used to divide cases into the low-risk and high-risk groups. To further assess the discrimination of a scoring model deemed to be effective, the bootstrap method (2000 replicates) is recommended to obtain a better estimate of AUC. Brier score calculation and Hosmer-Lemeshow goodness of fit testing were performed for calibration assessment.

All tests were two-tailed. \( P < 0.05 \) was considered statistically significant. Computations were carried out with R version 4.0.2.

3. Results

3.1. Patient population

A total of 329 patients with COVID-19 met the inclusion criteria for our cohort study. The enrolled cases consisted of 258 patients from Wuhan Leishenshan Hospital, 37 patients from Shanghai Fifth People’s Hospital, and 34 patients from Hubei Jingzhou Jiangling People’s Hospital. Of the total 329 patients, 33 patients withdrew from this study because they didn’t meet our inclusion criteria. Additionally, 58 patients were transferred to the ICU because of worsening situation (Fig. 1).

3.2. Patient characteristics

The characteristics of the 296 consecutive admissions are summarized in Table 1. The percentage of male subjects was 49.2% in the General-Ward-Care group and 62.1% in the ICU group (\( P = 0.078 \)). The median age of patients in the ICU-Admission group (66 years) was significantly higher than that of the General-Ward group (49 years) (\( P < 0.0001 \)). Rates of cigarette smoking (\( P < 0.01 \)) and drinking (\( P < 0.0001 \)) were higher in the ICU-Admission group, and patients who originally lived in nursing homes were more likely to develop severe COVID-19 (\( P < 0.01 \)). These three characteristics suggest that patients with severe COVID-19 may have had poor health before the onset of the pandemic. Furthermore, patients in the severe group had higher rates of liver diseases (17.2% versus 3.4%, \( P < 0.001 \)), congestive heart failure (17.2% versus 6.3%, \( P < 0.05 \)), diabetes (37.9% versus 12.6%, \( P < 0.0001 \)), chronic renal diseases (27.6% versus 4.6%, \( P < 0.0001 \)), and cerebrovascular diseases (31.0% versus 7.1%, \( P < 0.0001 \)). No other characteristics significantly differed between the two groups.

3.3. Statistical evaluation of the PSI, CURB-65, SMARTCOP, and MuLBSTA scoring systems

Table 1 briefly describes the overall distribution of the scores and differences between groups. Patients in the ICU group had higher PSI scores (119.5 versus 72, \( P < 0.0001 \)) and higher PSI grades (\( P < 0.0001 \)). The CURB-65, SMARTCOP, and modified MuLBSTA scoring systems shared the same conclusion (\( P < 0.0001 \)). Violin plots were used to visualize the scores of the two groups (Fig. 2A). The predictive accuracies of the PSI, CURB-65, SMARTCOP, and MuLBSTA scoring systems are shown in Fig. 2B. We noticed that all four systems were able to identify patients admitted to the ICU to some degree, but none were able to accurately distinguish between the two groups of patients.

We performed ROC analysis and calculated AUC values to assess the discriminative power of the four systems (Fig. 2C, Table 2, Supplementary Table 1). The AUC was highest for the PSI scoring system (AUC = 0.861; 95% CI: 0.816–0.898), followed by the MuLBSTA system (AUC = 0.761, 95% CI: 0.708–0.808), SMARTCOP system (AUC = 0.770, 95% CI: 0.718–0.817), and CURB-65 system (AUC = 0.712, 95% CI: 0.657–0.763). Ultimately, the PSI scoring system was considered the best choice for discriminating the severity of outcomes among patients according to our ROC analysis (Fig. 2C). The sensitivity and specificity values corresponding to each cut-off value are shown in Supplementary Table 1. The PSI scoring system with a cut-off value of 97 points yielded the best sensitivity (82.35%) and specificity (89.67%). The corresponding highest positive likelihood ratio of 4.37 and lowest negative likelihood ratio of 0.19 further validated the sensitivity and specificity of this system. Detailed data on the four scoring systems are included in Supplementary Table 1.

3.4. Logistic regression model: NLHA2 scoring

We split the original dataset into the training set (\( N = 197 \)) and the testing set (\( N = 99 \)). Then, we compared baseline data between the two groups. Median age, gender ratio, smoker count, and other variables did not significantly differ between the two groups, suggesting that grouping was objective and random (Supplementary Table 2). Univariate regression analysis was performed for every variable, which resulted in 35 pre-selected variables with \( P < 0.1 \) (Fig. 3, Table 3). We used stepwise multivariate regression to reanalyze the above variables and build a prediction model. The number of events was defined as the number of ICU admissions in the training set (\( N = 32 \)), which limited the number of selected variables to less than six (EPV: 5–10). The final

| Table 2 | ROC comparisons between PSI, CURB-65, SMARTCOP, and MuLBSTA. |
|---------|----------------------------------------------------------|
|         | AUC          | 95% CI         | -LR     | \( P \) Value | Sensitivity (%) | Specificity (%) |
| PSI     | 0.861        | 0.816–0.898   | 0.19    | \(< 0.0001\) | 84.48           | 80.67           |
| CURB-65 | 0.712        | 0.657–0.763   | 0.47    | \(< 0.0001\) | 62.07           | 80.25           |
| SMARTCOP| 0.770        | 0.718–0.817   | 0.37    | \(< 0.0001\) | 70.69           | 78.99           |
| MuLBSTA | 0.761        | 0.708–0.808   | 0.13    | \(< 0.0001\) | 91.38           | 64.29           |

ROC: receiver operating characteristic curve; AUC: area under curve; 95% CI: 95% confidence interval; LR: likelihood ratio.
Fig. 3. Results of univariate logistic regression. Risk factors are colored red whereas protection factors are colored blue. The green box represents nonsignificant differences.
**Table 3**
Univariate logistic regression analysis.

| Variable                      | Odds Ratio | 95% CI           | P Value |
|-------------------------------|------------|------------------|---------|
| Gender – Male vs Female       | 0.5909     | 0.3282–1.0640    | 0.0796* |
| Age                           | 1.0800     | 1.0546–1.1106    | <0.0001*** |
| HR > 115/min                  | 3.6478     | 1.0729–12.4025   | 0.0382* |
| RR > 25/min                   | 2.7306     | 1.1299–6.5989    | 0.0257 ** |
| SeD < 90%                     | 3.6478     | 1.0729–12.4025   | 0.0382* |
| Disturbance of Consciousness  | 2.7123     | 0.8532–8.6229    | 0.0099* |
| Nursing-Home Resident         | 17.5556    | 1.9236–160.2215  | 0.0111** |
| Hypertension                  | 1.7819     | 0.9863–3.2120    | 0.0547 |
| Tumor                         | 3.3173     | 1.1037–9.9705    | 0.0327 |
| Chronic Liver Disease         | 5.9896     | 2.2472–15.9644   | <0.0001*** |
| Congestive Heart Failure      | 3.0972     | 1.3122–7.1043    | 0.0099** |
| Cerebrovascular Disease       | 5.8500     | 2.7813–12.3043   | <0.0001*** |
| Chronic Renal Disease         | 7.8615     | 3.4100–18.1239   | <0.0001*** |
| Diabetes                      | 4.2570     | 2.2029–8.1496    | <0.0001*** |
| Smoker                        | 6.3961     | 1.9517–20.9611   | 0.0027 ***|
| Consumes Alcohol              | 5.7368     | 2.8229–11.6586   | <0.0001*** |
| Neutrophil Count > 7 × 10^9/L | 14.9829    | 6.5773–34.1104   | <0.0001*** |
| Lymphocyte Count < 0.8 × 10^10/L | 13.0000 | 6.3726–26.5199 | <0.0001*** |
| Monocyte Count >0.8 × 10^9/L  | 4.7303     | 2.1321–10.4948   | 0.0001*** |
| RBC < 3.5 × 10^12/L           | 6.2121     | 3.3002–11.6934   | <0.0001*** |
| Hb > 110 g/L                  | 9.4597     | 4.9651–18.0229   | <0.0001*** |
| HCT <36% or ≥55%              | 6.8463     | 3.6274–12.9215   | <0.0001*** |
| PLT > 300 × 10^9/L            | 1.1245     | 0.5378–2.3515    | 0.7551 |
| ESR > 20 mm/h                 | 5.2900     | 2.6653–10.4993   | <0.0001*** |
| CRP > 10 mg/L                 | 7.9900     | 4.2590–14.9885   | <0.0001*** |
| PCT > 0.1 mg/mL               | 10.5341    | 5.5100–20.1394   | <0.0001*** |
| SAA > 10 mg/L                 | 4.0079     | 2.2010–7.3012    | <0.0001*** |
| ALT > 40U/L                   | 1.1077     | 0.5732–2.1407    | 0.7609 |
| AST > 40U/L                   | 2.9882     | 1.5045–9.3367    | 0.0018** |
| GGT > 50U/L                   | 2.9671     | 1.6311–5.3996    | 0.0004*** |
| AKP >125U/L                   | 10.6892    | 8.4513–23.5494   | <0.0001*** |
| Total Bile Acid >20 μmol/L    | 5.9896     | 2.2472–15.9644   | 0.0003** |
| Albumin <35 g/L               | 9.0502     | 4.7788–17.1935   | <0.0001*** |
| Aspartate transaminase (AST)  | 1.4941     | 0.8068–2.7607    | 0.1999 |
| Alanine aminotransferase (ALT)| 2.9743     | 1.4200–6.2298    | 0.0039** |
| Total Cholesterol >5.98 mmol/l| 0.8143     | 0.1735–3.8215    | 0.7945 |
| Glycated Hb >1.21 mmol/L      | 0.6490     | 0.3269–1.1604    | 0.1448 |
| Blood Glucose > 7 mmol/l      | 7.5000     | 3.9216–14.3437   | <0.0001*** |
| Pleural Effusion              | 11.6786    | 5.8626–23.2643   | <0.0001*** |
| Multi-locale involvement       | 6.3505     | 1.493–27.0115    | 0.0123 |

HR: heart rate; RR: respiratory rate; RBC: red blood cell; Hb: hemoglobin; HCT: haematocrit; PLT: platelet; ESR: erythrocyte sedimentation rate; CRP: C-reactive protein; PCT: procalcitonin; SAA: serum amyloid A; ALT: alanine aminotransferase; AST: aspartate aminotransferase; GGT: gamma-glutamyl transpeptidase; AKP: alkaline phosphatase.

*: <0.05; **: <0.01; ***: <0.001; ****: <0.0001; #: <0.1.

3.5. Artificial neural network prediction model

A total of 42 variables were included in the input layer of the network. We set the number of hidden layers to two and the number of nodes to 10 and eight, according to the results of several previous training trials (Fig. 5A). The importance of each variable was calculated using Olden’s method (Fig. 5B, Supplementary Table 3). Excitingly, our model demonstrated fantastic prediction effects (Fig. 5C and D). The AUC values for the training and testing sets were 1.000 and 0.907, respectively. The specificity of the model was 100% for the training set and 88.46% for the testing set (Fig. 5D, Table 5). The AUC values (training: 1.000; testing: 0.907) and bootstrap AUC values (training: 1.000; testing: 0.957) were significantly higher than those for the NHLA2 scoring system. Furthermore, the model also performed better in terms of both Hosmer-Lemeshow goodness of fit testing and Brier score analysis (training: P = 1.000; testing: P = 1.000) (Table 6).

4. Discussion

This study enrolled a total of 296 patients who were diagnosed with COVID-19 from Feb. 21, 2020 to Dec. 28, 2021. The primary COVID-19 outbreak involved so many patients occurred in December of 2019 and spread globally to many different countries [32,33]. Compared to CAP, COVID-19 shows rapid progression, high infectivity, and significant mortality in the advanced stage of the disease [34,35]. Since no specialized medication has been discovered to treat SARS-CoV-2 infection, our healthcare system is in great need of a valid scoring metric for doctors to determine whether to provide aggressive medical intervention. This study aimed to develop an efficient, safe, and dependable model to discriminate the severity and predict the outcomes of patients with COVID-19.

In this study, we restricted enrollment to patients aged 18 years or older because the WHO provides different recommendations on COVID-19 severity classification and management for adults versus children. In addition, the application of several scoring systems (e.g. PSI or CURB-65) in adult patients with community-acquired pneumonia is widely recognized. However, factors such as advanced age, tumor, hypertension and other chronic diseases hardly exist in pediatric pneumonia patients, which engendered controversy when those scoring systems applied to children. Considering the above reasons, we only included adult patients for analysis. We first summarized baseline data and found that the General-Ward-Care and ICU-Admission groups were significantly different in terms of many variables: Age, Smoking, Consumes Alcohol, Nursing home residence, and several comorbidities. This finding would suggest that the underlying health status of patients may influence the inflammation intensity and severity of COVID-19 after infection. Previous studies have also found that patients with underlying diseases are more susceptible to SARS-CoV-2 infection, which can result in severe and even fatal respiratory diseases [36]. The immune status of the patient may also influence the development of disease after infection. However, we did not consider the impact of immunodeficiency on the clinical manifestations of COVID-19 in this study since all participants were free of AIDS and had no long-term history of immunosuppressive drug use.

In our study, we identified PSI as the best existing system to determine a patient’s risk. The PSI model had excellent discrimination and calibration. Patients with a PSI grade ≥ 2 are considered to have a stronger probability of admission to the ICU and higher mortality. The PSI scale, derived from the PORT (Pneumonia Patient Outcomes Research Team) Project, is a prediction system based on data from more than 10,000 adults with CAP and categorizes patients into five classes in terms of death risk [37]. Recently, some articles have described the
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The value of PSI scoring in predicting COVID-19 severity. For example, García Clemente et al. determined that PSI is the best predictor for mortality (AUC = 0.874) among the four scoring systems, but not for risk of ICU admission (AUC = 0.620) [9]. In Fan’s research, PSI and CURB-65 were found to share an AUC of 0.85 during clinical comparison, which was greater than the AUC values of the other scoring systems [8]. By British Thoracic Society (BTS) guidelines, patients with CURB-65 scores of 0 are recommended to receive outpatient treatment [38]. According to a comparison of two systems (PSI and CURB-65) performed by Aujesky et al., CURB-65 is becoming a potential alternative to PSI due to its ease of use. However, the effectiveness and safety of CURB-65 are ambiguous, which makes its utilization less valuable [5]. Both our research and the article published by Nguyen et al. support this conclusion under the conditions of either CAP or COVID-19 [7]. In our study, there were no deaths in the low-risk group – this supports the safety of the PSI classification system, especially considering that the

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**Fig. 4.** The NLHA2 model built using multivariate regression effectively predicts who is likely to be admitted to the ICU. (A) Forest plot for multivariate regression. (B) Violin plots showing the NLHA2 scores of the patients (training or testing dataset) in both groups. Differences between groups were assessed using the Wilcoxon Rank Sum Test. P < 0.05 was considered statistically significant. (C) Distribution of the NLHA2 scores in the General-Ward and ICU cohorts. The dotted line represents the cut-off value of 2.5 points. (D) ROC curve analysis verifying the prediction performance of the NLHA2 scoring system in the COVID-19 cohort. ROC analysis was also performed for each individual variable.
In our study, 213 patients had a CURB-65 score equal to 0 or 1, of whom 21 (CURB-65 score = 0 or 1) in the research from Nguyen et al. [7]. In our study, 213 patients had a CURB-65 score equal to 0 or 1, of whom 21 were admitted to the ICU or transferred to superior hospitals. The severity of the CURB-65 system was not satisfactory, and the results of ROC analysis (AUC = 0.712, Youden Index = 0.42) indicated weaker discrimination. In this study, we also analyzed the two other scoring systems: SMARTCOP and MuLBSTA. The SMARTCOP method is useful for predicting the risk of intensive respiratory or vasopressor support (IRVS) among patients with CAP, where IRVS is one of the criteria for ICU admission. However, our research concluded that SMARTCOP is not applicable to COVID-19 severity (AUC = 0.770, Youden Index = 0.50), which is similar to the findings of García Clemente et al. (AUC = 0.749). The MuLBSTA system was designed as a mortality prediction tool for patients with viral pneumonia, and researchers have recently considered its value of application to COVID-19 [39]. Additionally, the study from Xu et al. found that the MuLBSTA scoring system was extremely effective in predicting mortality (AUC = 0.956) and ICU admission (AUC = 0.875) [10]. However, similar to our results, the AUC values were only 0.773 for mortality and 0.777 for ICU admission in the paper from García Clemente et al. [9]. In our research, the modified-MuLBSTA scoring system also did not perform well (AUC = 0.761). The traditional version of MuLBSTA includes an item on bacterial infection testing, which is rarely used in patients with COVID-19. As a result, Iijima et al. created the modified version of the model, which substitutes GRP level for bacterial infection status. Further studies or meta-analyses are required to better understand the value of applying MuLBSTA to the prediction of severe outcomes among patients with COVID-19.

Given the unsatisfactory status of the existing scoring systems, we attempted to construct new models for predicting COVID-19 severity through logistic regression and ANN. Our logistic regression model has simple and feasible application to clinical practice, considering it only contains five variables. Furthermore, the internal validation of this dataset showed excellent prediction results (AUC = 0.857, Youden Index = 0.65). The five items in the NLHA2 model are easy to acquire, which is conductive to the model’s clinical application and external validation.

In contrast to the NLHA2 model, the ANN model more accurately predicted ICU admission. It correctly classified every patient in the training set and more than 90% of patients in the testing set (testing set: AUC = 0.907; Youden Index = 0.84). However, it was difficult to apply the ANN model because of the black box portion of the network (a complicated relationship network that cannot be completely understood). With this in mind, we may need to assess each patient’s status using the R environment until we develop a visualized version of the scoring system. Despite the model’s high predictive accuracy, its complexity limits its clinical application value.

To validate the models, we carried out Hosmer-Lemeshow goodness of fit testing and Brier score analysis, which are important tests but generally neglected in other studies. In summary, our results indicate that the NLHA2 and ANN models both have the potential to predict COVID-19 severity, but more research is required to confirm our conclusions.

This study has several limitations that future studies should address. First, we hope to dynamically monitor the scores of hospitalized patients to explore the characteristics of their scores regarding the course of disease progression. Second, we plan to compare our prediction results with physician judgment to investigate the stability and utility of the models. Finally, and most importantly, the current virus strain is significantly different from the former versions. However, the emergence of mutant strains does not render past models completely worthless. Since the data we used to build our scoring models were based on clinical manifestations of the patient rather than the characteristics of the virus variants, it should be widely applicable to different strains. This is especially likely considering that we found the MuLBSTA system performed well in predicting COVID-19 (Fig. 2A–C) even though it was originally designed to predict mortality among patients with unspecified viral pneumonia [39,40]. Furthermore, the SARS-CoV transcriptome shows high similarity to the transcriptome of SARS-CoV-2, and the same is true for different strains of SARS-CoV-2 [12,41]. Therefore, although our results were mainly based on the analysis of wild-type strains, they may also be of value to the Omicron variant. Given these points, future studies are required for the external validation of our models.

5. Conclusion

In conclusion, we identified the PSI scoring system as the best existing system for predicting ICU admission among patients with wild-type SARS-COV-2 infection with COVID-19, while the newly-

Table 4

| Variable                  | Odds Ratio | 95% CI       | P Value |
|---------------------------|------------|--------------|---------|
| Consumes Alcohol          | 18.3858    | 2.9637–114.0589 | 0.0018**|
| Neutrophil Count > 7 x 10^9/L | 56.5353   | 8.8100–362.7963 | <0.0001****|
| Lymphocyte Count <0.8 x 10^12/L | 13.8600   | 3.4904–55.0364 | 0.0002***|
| ≤1 h: < 110 g/L           | 13.8036    | 2.9011–65.6786 | 0.001** |
| AKP ≥125U/L               | 14.8870    | 3.3092–66.9708 | 0.0004***|

Risk Score = 3*[Drink]+4*[Neutrophil Count]+2.5*[Lymphocyte Count]+2.5*[Hb]+2.5*[AKP].

Table 5

|                  | AUC         | 95% CI       | -LR  | +LR  | P Value   | Sensitivity (%) | Specificity (%) | Cut-off |
|------------------|-------------|--------------|------|------|-----------|-----------------|-----------------|--------|
| NLHA2 – Training Set | 0.959       | 0.920–0.982  | 0.04 | 5.71 | <0.0001   | 96.87           | 83.03           | >2.5   |
| NLHA2 – Testing Set | 0.857       | 0.772–0.919  | 0.29 | 8.89 | <0.0001   | 73.08           | 91.78           |        |
| ANN – Training Set | 1.000       | 0.981–1.000  | –    | –    | <0.0001   | 100.00          | 100.00          | –      |
| ANN – Testing Set  | 0.907       | 0.832–0.956  | 0.05 | 8.31 | <0.0001   | 95.89           | 88.46           | –      |

NLHA2: the logistic regression model with five variables mentioned in Table 4; ANN: artificial neural network.
Fig. 5. The ANN model can predict the severity of COVID-19 patients with great accuracy. (A) Network for the ANN model. The colors of circles (variables) were determined by importance. The red line represents a positive correlation whereas the blue line represents a negative correlation. The thickness of the line represents the correlation index. (B) The importance of each variable was calculated using Olden’s connection-weighted algorithm. (C) Distribution of the predicted ICU admissions in the General-Ward and ICU cohorts. (D) ROC curve analysis verifying the prediction performance of the ANN Model in the COVID-19 cohort.
designed models (NLHA2 and ANN) performed better and could be valuable for predicting the severity of COVID-19. This study will provide a new approach for the development of prognostic evaluation system in a novel respiratory viral epidemic.

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**CRediT authorship contribution statement**

Yue Dong: Writing – original draft, Software, Methodology, Investigation, Formal analysis. Kai Wang: Writing – original draft, Methodology, Data curation. Xu Zou: Supervision, Data curation. Xiaoping Tan: Investigation, Data curation. Yi Zang: Formal analysis, Writing – review & editing. Xinyu Li: Data curation. Xiaoting Ren: Data curation. Jindong Shi: Writing – review & editing, Validation, Data curation, Conceptualization. Xiaohua Chen: Data curation. Yingying Zeng: Writing – review & editing, Validation, Data curation, Conceptualization. Jindong Shi: Writing – review & editing, Validation, Supervision, Funding acquisition, Data curation, Conceptualization.

**Declaration of competing interest**

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: Jindong Shi reports financial support was provided by the China Public Health Union Project.

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**Appendix A. Supplementary data**

Supplementary data to this article can be found online at https://doi.org/10.1111/j.micpath.2022.105735.

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