Modelling of flow behaviour and dynamic recrystallization during hot deformation of MS-W 1200 using the phase field framework

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Abstract. A new simulation environment is developed to simulate the evolution of microstructure and the corresponding flow stress during rolling. An orientation dependent crystal plasticity hardening model is coupled to grain evolution-, recovery- and recrystallization kinetics within a phase field framework. Hardening and softening kinetics are treated consecutive to differentiate between individual effects. Simulation results are compared to hot compression tests at 1373 K with a strain rate of 1 s⁻¹.

1 Introduction

In order to predict material properties after hot forming of steel, it is necessary to understand the microstructural processes during forming. The demand for microstructure simulations is driven by ecological and economic aspects. The first existing simulations were focused on empirically predicting experimental measurable parameters which characterize the material [1–3]. Following the transition towards more physical based approaches leads to an understanding of the importance of a defined microstructure. With the ability to model complex structures today the phase field method is a well-established way to approach the field of thermomechanical microstructure evolution [4–9]. However to successfully setup a simulation environment it is necessary to differentiate between single effects to weigh the influence.

In this work four major modules were coupled to model the hot forming process: I) Crystal plasticity, II) Recovery, III) Recrystallization, IV) Grain evolution.

2 Experimental section

In order to determine crystal plasticity input parameters hot compression test of MS-W 1200 steel at T = 1373 K and deformation rate \( \dot{\phi} = 1 \text{ s}^{-1} \) were performed on a deformation dilatometer Bähr DIL 805 D. The decrease of the flow stress shown in Fig. 1 after the true strain reaches approx. 0.4, indicate the presence of dynamic recrystallization. Initial austenite grain size was measured by microscopic analysis after quenching and an annealing treatment at \( T_{\text{anneal}} = 823 \text{ K} \) for 900 s. Resulting microstructure analysis shown in Fig. 2 lead to an average grain diameter of \( \bar{d} = 35 \text{ µm} \) [10].

Figure 1. Results of hot compression experiment at \( T = 1373 \text{ K} \) and deformation rate \( \dot{\phi} = 1 \text{ s}^{-1} \).

Figure 2. Initial grain structure with average grain size \( \bar{d} = 35 \text{ µm} \) [10].
3 Modelling section

3.1 Crystal plasticity

The crystal plasticity kinetics is based on a model from Hutchinson [11–13] and were incorporated into the phase field framework by Borukhovich and Engels [14]. Starting with the approach by Borukhovich and Engels in this study we implemented the physically based recovery model by Verdier et al. [15] in order to differentiate between single effects. The different phenomena in question are illustrated in Fig. 3.

\[ \dot{\gamma} = \dot{\gamma}_0 \cdot \left( \frac{\tau}{\tau_c} \right)^{F_{pow}}, \]  
\[ \dot{\tau}_c = H_0 \cdot |\dot{\gamma}|, \]  
\[ \rho = \left( \frac{\tau}{\alpha \mu b} \right)^2, \]

where \( \alpha \) is the Taylor factor, \( \mu \) is the shear modulus and \( b \) is the Burgers vector.

Figure 3. Differentiation of microstructure evolution effects.

3.2 Dynamic recovery

The dynamic recovery model is based on the idea of treating the stress relaxation as an effect of thermally activated annihilation and reorganization of dislocations. The model is derived by expressing the strain rate due to the Orowan relation together with the temperature driven activation criterion for dislocation movement [15]:

\[ \dot{\tau} = \frac{-E_{ph}^2 v_0}{M} \exp \left( \frac{U_0}{k_B T} \right) \sinh \left( \frac{V_{act}}{k_B T} \right), \]  

with the bulk modulus \( E \), Debye frequency \( v_0 \), Taylor factor \( M \), activation energy \( U_0 \), activation volume \( V_{act} \), Boltzmann constant \( k_B \) and temperature \( T \).

3.3 Dynamic recrystallization

The dynamic recrystallization model is divided into two parts: I) nucleation and II) growth.

While the classical nucleation theories consider energy as key factor for the onset of dynamic recrystallization [17–20] a different approach from [21] introduces a minimum amount of work necessary. By identifying the stored deformation energy as work the authors of [22–24] also incorporate the idea of a relaxation as dissipation part and relate nucleation to the rate of hardening. When an external applied work \( \sigma_{c,\dot{\varepsilon}} \) exceeds the internal entropy production, \( P_{\dot{d}} \), another process within the microstructure has to take place which supposed to be the nucleation of new grains with the condition:

\[ \sigma_{c,\dot{\varepsilon}} > P_{\dot{d}} \]  

To catch the exact moment of nucleation one has to analyze the hardening rate over the flow stress where the inflection point indicates the nucleation moment as shown in Fig 4. The advantage lies in the connection to the crystal plasticity kinetic which leads to an implicit orientation dependent recrystallization criterion that is not based on a fixed value but on the individual hardening of each grain within the microstructure.

Figure 4. Schematic illustration of the nucleation criterion for dynamic recrystallization from Poliak [22].
While a new nucleus is created, it exhibits a crystal orientation. In order to take the line of least resistance the most energetically favoured orientation, related to the local stress field and elastic anisotropy, is chosen. The energy distribution for a uniaxial local stress field compared to the crystal orientation is illustrated in Fig. 5. In our test case the minimal elastic energy is established for an alignment of the crystal orientation towards [1 0 0] direction.

Figure 5. Different crystal orientations and the relating local energy for a uniaxial stress fields. Favoured orientation is indicated by the encircled area.

Subsequently to model grain structure evolution an approach from Beck was implemented which states that grains grow faster towards higher deformation areas [25]. This effect is schematically displayed in the following Figure.

Figure 6. Schematic illustration of the stored energy based boundary migration by [25].

The grain growth velocity is determined by driving the force \( \Delta G \) and mobility \( m \):

\[
v_{gb} = \Delta G \cdot m 
\]

while the driving force results from the difference of stored energies at the grain boundary:

\[
\Delta G = \gamma_a - \gamma_b,
\]

with the grain energies \( \gamma_a \) and \( \gamma_b \) being calculated from stored deformation energy \( \gamma_d \), shear modulus \( \mu \), burgers vector \( b \), local volume element \( V \) and dislocation density \( \rho \):

\[
\gamma_d = \frac{1}{2} \mu b^2 \int_V \Delta \rho \, dx
\]

4 Simulation and Results

The simulation was optimized for reasonable simulation time and resolution. The initial grain structure is generated by the OpenPhase code with normal grain size distribution and consists of fourteen grains with different orientations and average grain diameter of \( d=35 \, \mu m \) [26, 27]. The simulation geometry input parameters are given in Tab. 1. The input parameters for the mechanical solver are given in Tab. 2. These parameters cover elasticity and crystal plasticity at the experimental temperature \( T = 1373 \, K \) and strain rate \( \dot{\phi} = 1 \, s^{-1} \). Elastic constants were taken from a reference data collection of Ledbetter and Reed [28]. Plasticity related input was derived from hot compression experiments and the dislocation glide velocity was estimated by a work of Güvenç [9]. Recovery kinetics is characterized by the input parameters shown in Tab. 3. Microstructure evolution and recrystallization kinetics input is given in Tab. 4. The simulation procedure is illustrated in the flowchart in the appendix B. Within each time increment of the simulation the four key modules: I) crystal plasticity, II) recovery, III) recrystallization and IV) grain evolution are called. It is important to note that in our study there are no distinct generations of new grains but rather a continuous nucleation and growth of new grains as long as the nucleation and growth conditions are met. Whether a grain grows or vanishes, forms a specific shape or size is not predetermined, but a result of the systems energy minimization.

4.1 Microstructure evolution

In Fig. 8 the microstructure evolution during the deformation simulation is shown. The color scale indicates the hardening and the shape of the grain boundaries is illustrated by the gray lines. At first for a deformation of \( \varphi = 0.12 \) the initial grain structure can clearly be identified. Small inhomogeneity of hardening between different grains is observed with an increased hardening at the grain boundary areas. The deformation process continues for \( \varphi = 0.25 \) with increasing hardening inhomogeneity. Overall hardening is the dominant factor in the earlier state of deformation while grains get compressed in loading direction and stretched in orthogonal directions which can be clearly seen by comparing the simulation results for \( \varphi = 0.12 \) and \( \varphi = 0.40 \). For the latter it is notable that although no recrystallization can be observed, there are already several nuclei present in the microstructure, which do influence the evolution, but are not yet resolved in the figure. With further deformation and growth of the nuclei, the recrystallization and corresponding softening process can be seen at \( \varphi = 0.52 \). Several grains have
evolved from the substructure and contribute to the grain growth process. The softening effect starts to level out during ongoing deformation due to the dynamic recrystallization and growth of already recrystallized but further deformed grains at $\varphi = 0.65$ and reaches its plateau at $\varphi = 0.80$.

In order to compare the simulation and experimental results the evolution of the flow stress is derived by a homogenization of the critical resolved shear stress over the simulation volume to model the global evolution. The comparison of flow stress evolution between the hot compression experiment and the simulation of MS-W 1200 steel at $T = 1373$ K and strain rate $\dot{\varphi} = 1 \text{s}^{-1}$ is shown in Fig. 7. It is important to note that the obtained flow stress evolution is the result of complex interaction between microstructure evolution and elasto-plastic deformation.

5 Conclusions

A consistent full-field model for hot deformation of the steel MS-W 1200 was developed to capture the effects of crystal plasticity, dynamic recovery, dynamic recrystallization and grain evolution. Incorporated into the phase field framework it is possible to keep track of the microstructure evolution during forming. Hot compression experiments were used to identify initial crystal plasticity parameters. Microscopic analysis was used to parametrize the initial grain structure. The simulated flow stress evolution results show good agreement with the experiment.

**Figure 7.** Comparison of flow stress evolution between experiment and simulation of MS-W 1200 steel at $T = 1373$ K and strain rate $\dot{\varphi} = 1 \text{s}^{-1}$.

**Figure 8.** Simulation results of microstructure evolution of MS-W 1200 steel at $T = 1373$ K and strain rate $\dot{\varphi} = 1 \text{s}^{-1}$. 
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Appendix A

Table 1. Environmental input parameters for simulation.

| Parameter                        | Value         |
|----------------------------------|---------------|
| Simulation edge length           | 100 μm        |
| Average grain diameter           | 35 μm         |
| Simulation resolution            | 100² data points |
| Simulation increments            | N = 200 #     |
| Number of grains                 | 14 #          |
| Boundary conditions              | periodic      |

Table 2. Input parameters for the mechanical solver for temperature T = 1373 K and strain rate $\dot{\varepsilon} = 1$ s⁻¹.

| Parameter                        | Value         |
|----------------------------------|---------------|
| Anisotropic elastic constants    |               |
| C11                              | 160·10⁹ Pa    |
| C12                              | 120·10⁹ Pa    |
| C44                              | 100·10⁹ Pa    |
| Initial critical resolved shear stress | 20·10⁶ Pa |
| Strain rate                      | $\dot{\varepsilon}$ = 1 s⁻¹ |
| Exponent for flow evolution      | Fpow = 2.02   |
| Hardening parameter              | H₀ = 1.1·10¹³ |
| Burgers vector                   | b = 2.56·10⁻¹⁰ M |
| Shear modulus                    | $\mu$ = 20·10⁹ Pa |
| Initial dislocation glide velocity | $v_o$ = 10⁻³ ms⁻¹ |

Table 3. Input parameters for the recovery kinetics.

| Parameter                        | Value         |
|----------------------------------|---------------|
| Debye frequency                  | $\nu_D$ = 5.4·10¹² s⁻¹ |
| Taylor factor                    | a = 0.3       |
| Activation energy                | U₀ = 327300 Jmol⁻¹ |
| Activation volume                | Vₘₗ = 28 b³ m³ |
| Temperature                      | T = 1373 K    |

Table 4. Input parameters for the recrystallization and microstructure evolution kinetics.

| Parameter                        | Value         |
|----------------------------------|---------------|
| Mobility of high angle grain boundaries | m = 1.2·10⁻¹¹ m⁴ s⁻¹ |
| Energy of high angle grain boundary | $\gamma_{gb}$ = 0.6 Jm⁻² |
| Average energy per unit length of dislocation | $U_e$ = 1.31·10⁻⁹ Jm⁻¹ |
| Cut-off radius for nucleation     | $R_{cutoff}$ = 4 μm |
| Mobility factor for nuclei        | $C_m$ = 18.6 |
| Burgers vector                    | b = 2.56·10⁻¹⁰ m |

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Appendix B

1. Generate initial microstructure for timestep n=0
2. Maximum number of timesteps reached?
   - Yes: Exit simulation
   - No: Compute mechanical solution
3. Calculate plastic deformation
   - Yes: Plasticity occurred?
     - No: Update hardening evolution
     - Yes: Calculate recovery
       - Update hardening evolution
4. Nucleate new grains
   - Yes: Recrystallization criterion fulfilled?
     - No: Evolve microstructure
6. Calculate flow stress
   - Flow stress deviation exceeds boundaries?
     - Yes: Write Data
     - No: Increase timestep to n+1
   - No: Adjust parameters
      - Yes: Reload initial microstructure