The Role of Quantitative Electroencephalogram in the Diagnosis and Subgrouping of Attention-Deficit/Hyperactivity Disorder

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Attention-deficit/hyperactivity disorder (ADHD) leads to functional decline in academic performance, interpersonal relationships, and development in school-aged children. Early diagnosis and appropriate intervention can significantly reduce the functional decline caused by ADHD. Currently, there is no established biological marker for ADHD. Some studies have suggested that various indicators from the quantitative electroencephalogram (QEEG) may be useful biological markers for the diagnosis of ADHD. Until the 2010s, theta/beta ratio (TBR) was a biomarker candidate for ADHD that consistently showed high diagnostic value. However, limitations of TBR have recently been reported. Studies have demonstrated that phase-amplitude coupling, especially theta phase-gamma amplitude coupling, are related to cognitive dysfunction and may assist in the diagnosis of ADHD. As yet, the underlying mechanism is not clearly established, and the clinical efficacy of these biomarkers needs to be proven through well-controlled studies. Based on the heterogeneous characteristics of ADHD, subgrouping through QEEG plays a key role in diagnosis and treatment planning. Sophisticated, well-designed studies and meta-analyses are necessary to confirm these findings.

Key Words: Attention-deficit/hyperactivity disorder; Electrophysiology; Child psychiatry; Theta/beta ratio; Theta phase-gamma amplitude coupling; Subgroup.

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INTRODUCTION

According to the Diagnostic and Statistical Manual of Mental Disorders, 5th edition (DSM-5), attention-deficit/hyperactivity disorder (ADHD) is characterized by a persistent lack of attention, hyperactivity, and impulsiveness, causing dysfunction in terms of poor academic performance, interpersonal relationships, and development [1]. In most cultures, the prevalence of ADHD is approximately 5% among children [2]. Among children diagnosed with ADHD, more than 60–85% satisfy the diagnostic criteria of ADHD until adolescence [3,4], and approximately 40–60% of children show symptoms of ADHD even after they become adults [5,6]. However, ADHD-related functional impairment can be significantly reduced by early diagnosis and treatment [7].

ADHD is thought to be caused by defective brain function. However, the pathophysiology of ADHD is currently unclear. ADHD was first defined as a disease in the early 1960s, ADHD was first defined as 'minimal brain damage (MBD)' [8]. MBD was based on the hypothesis that congenital problems in children's brains would lead to symptoms of decreased attention, hyperactivity, and impulsation. Since then, the biological causes of ADHD in children have been actively investigated. The main findings suggest that psychostimulants used to treat ADHD, Psychostimulants, such as methylphenidate and amphetamine, play a role in regulating neurochemicals, such as dopamine and norepinephrine. Researchers are also actively conducting studies to identify the aetiologic or diagnostic markers, including mutation of the dopamine transporter 1 gene (DAT1) or dopamine D4 receptor gene (DRD4) [9], and are investigating decreased activities of the prefrontal cortex via functional magnetic resonance imaging (fMRI) [10]. However, there is currently no established diagnostic biomarker for ADHD. In general, ADHD is diagnosed using DSM-5, and standardized psychological tests, including self-reported scales, intelligence tests, and continuous performance tests [11]. Current methods for the diagnosis of ADHD are criticized for potential over-diagnosis. Additionally, the diagnostic accuracy of ADHD is controversial in patients with comorbidities. Consequently,
recent efforts have been made to study various imaging and neurophysiological tests that can be used to diagnose ADHD with increased accuracy.

Electroencephalogram (EEG) is a non-invasive and safe method that does not expose patients to radiation. It is also relatively cheap and has a high temporal resolution. Therefore, it is used in children and adolescents for both clinical and research purposes. In particular, quantitative EEG (QEEG) provides quantitative measures of brain activity through Fourier transformation, unlike other clinical EEGs used for the diagnosis of epilepsy, monitoring of consciousness during anesthesia, and polysomnography. QEEG is actively utilized for the diagnosis, evaluation, and treatment of various mental disorders, such as depression [12], anxiety disorder [13], schizophrenia [14], substance addiction [15], obsessive-compulsive disorder [16], and ADHD [17]. This review describes the theta/beta ratio (TBR), which is the most studied diagnostic marker of QEEG in children and adolescents with ADHD. TBR and theta phase-gamma amplitude coupling (TGC) are both promising biomarkers. Furthermore, the latest knowledge on subgroup classification using QEEG in children with ADHD has also been addressed.

**DIAGNOSIS**

**Theta/beta ratio: supporting group**

Patients with ADHD tend to show hypoarousal in their brain function and prominent cortical slowing. These features are indicated by increased slow waves and decreased fast waves in the QEEG [18,19]. Lubar [20] suggested that the TBR is a diagnostic marker that can help diagnose ADHD in children. In QEEG, the brain waves are largely divided into four groups after Fourier transformation according to frequency: delta (1–3 Hz), theta (4–8 Hz), alpha (9–12 Hz), and beta (13–25 Hz). In turn, these are used to measure power.

TBR is the ratio of the theta wave to the beta wave. TBR has been suggested as a method for assessing brain function decline with increased sensitivity compared to evaluating the independent increase and decrease in theta and beta waves, respectively. TBR has mostly been assessed in the vertex, the Cz electrode, in the resting state. In a large-scale study of 482 patients, Monasta et al. [17] reported 86% sensitivity and 98% specificity for the diagnosis of ADHD using TBR in the vertex. Snyder and Hall [21] conducted a meta-analysis of studies on TBR and reported that TBR had a high effect size (approximately 3.08 for the diagnosis of ADHD). Additionally, TBR showed 87% sensitivity and 94% specificity for the diagnosis of ADHD in children and adolescents, suggesting that it is more useful than the self-reported scale [22]. In 2013, the Food and Drug Administration (FDA) of the U.S. authorized the Neuropsychiatric Electroencephalograph-Based ADHD Assessment Aid (NEBA) system to assist in the diagnosis of ADHD. The NEBA system was approved for the diagnosis of ADHD in children and adolescents between the ages of 6 and 17 years. The NEBA system measures TBR. However, the FDA states that ADHD cannot be diagnosed using only the NEBA system and recommends the use of the NEBA system to assist in clinical assessment and diagnosis [23,24].

**Theta/beta ratio: opposition group**

Recent literature has reported negativity against the hypoarousal theory as the cause of ADHD. Some studies have also opposed the idea of using increased TBR to assist in the diagnosis of ADHD. The group suggesting the diagnostic value of TBR has argued that an increase in TBR indicates a hypoarousal condition with reduced brain function and that psychostimulants, such as methylphenidate, are effective in treating ADHD, as the patients are in a hypoarousal condition. In contrast, those opposing the diagnostic value of TBR have suggested that TBR has no significant association with skin conductance levels (SCLs) that reflect the arousal of the brain. They argued that a decrease in alpha power is correlated with an increase in SCLs [25,26]. In a study that compared SCLs in ADHD patients with hypoarousal (high TBR) and hyperarousal (high beta) groups, TBR and beta power were not associated with arousal [27]. Based on these findings, the opposing group stated that TBR is associated with cognitive processing capacity rather than hypoarousal. In a follow-up study, TBR was not significantly correlated with the P300 amplitude, which is closely related to the arousal mechanism. Instead, it was correlated with P300 latency, which is closely related to cognitive processing capacity [28]. Moreover, many studies have shown that TBR does not show any statistical significance and has a low accuracy in distinguishing ADHD from healthy controls [29-32]. Among these studies, the largest study compared 562 patients with ADHD and 309 healthy controls. In this study, Cz TBR was not significantly different in ADHD patients versus healthy controls [32]. Based on these findings, a meta-analysis by Arns et al. [33] showed that TBR did not have high reliability regarding ADHD diagnosis, and this was caused by the increase in TBR in the control group due to a decreased sleep time and use of electronic devices.

**Theta/beta ratio: the debate**

In 2015, Snyder, the vice president of the NBA Health Company, who worked in the Research & Development Department, published a large-scale, prospective, triple-blinded, multi-center study, which played a crucial role in TBR being approved by the FDA [34]. In this study, TBR was not used as a simple method to diagnose and distinguish ADHD, but as part of an integrated method that reflected the results of EEG at the dis-
cretion of the clinician. TBR was not statistically significant in the diagnosis of ADHD. However, it was highly effective (Cohen’s d, 1.53) in identifying ‘Criterion E,’ which referred to the differential diagnosis of other disorders and conditions in the DSM-IV-TR-diagnostic criteria. TBR increased the overall accuracy of the diagnosis from 61% to 88%. Snyder reported that the rapid increase in the prevalence of ADHD over time was clearly correlated with a decreased effect size according to the TBR (R²=0.89). This suggests that ADHD has been over-diagnosed in recent years and that ADHD patients with low TBR were being misdiagnosed. Therefore, in patients with other physical or mental disorders that can be mistaken for ADHD, the NEBA system can help in the diagnosis of ADHD at the discretion of the clinician.

The above study was greatly criticized. Loo and Arn [35] pointed out five methodological errors in this study. First, clinicians were instructed to assess ADHD patients as “ADHD-positive” if the primary diagnosis showed clear indications of ADHD. In other cases, the clinicians were instructed to assess as “ADHD-negative.” However, “ADHD negative” included secondary and non-ADHD, which led to errors in the interpretation of the results. Second, “DSM criterion E certainty” is a concept that is not related to the presence or absence of ADHD. The NEBA system had no additional clinical value if not needed to diagnose and distinguish ADHD. Third, discrepancies between the clinicians and the multidisciplinary team were interpreted as ADHD overdiagnosis. However, the two groups did not share the same diagnostic. Such errors were not considered. Fourth, patients who responded well to ADHD medications without side effects were considered to have ADHD. However, this is a circular ADHD argument, and logically flawed. Fifth, the findings of the 2008 study were not replicated in the 2015 study by Snyder et al. [34]. This was explained by an increase in the prevalence of ADHD and subsequent overdiagnosis. However, the findings of Arn et al. [33], showing an increase in TBR in the control group, were not discussed. Therefore, Loo argued that TBR should not be used to diagnose or assist in the diagnosis of ADHD. Furthermore, Arn et al. [36] published an article in 2016, reporting that the FDA approval of the NEBA system was inappropriate. In the article, the authors pointed out the methodological errors and also pointed out the doctrine of the caveat emptor to support their stance that the NEBA system should not be used in clinical settings.

In response, Stein et al. [37] argued that NEBA was not approved by the FDA for the diagnosis of ADHD, but rather as an integration method to assist in diagnosis. Additionally, they highlighted that children with symptoms of ADHD who have a low TBR are likely to have other conditions (head injury, sensory deficit, etc.). Their answers to the errors suggest-

**Theta/beta ratio: tentative conclusions**

Until 2010, TBR was a biomarker candidate for ADHD that consistently showed a high diagnostic value. However, in recent studies, limitations of TBR have been reported. This is, in part, caused by the heterogeneity in ADHD, which is a hallmark of all mental disorders. The universal applicability of TBR is still debated. According to Snyder et al. [34], some children diagnosed with ADHD are misdiagnosed as having other diseases, and that EEG can distinguish ADHD. Therefore, to overcome these limitations, future studies must assess the heterogeneity of ADHD using various biomarkers, including EEG, MRI, and genes.

**Theta phase-gamma amplitude coupling**

Cross-frequency coupling is an index calculated by combining the neural oscillations of two different frequency domains. This reflects the synchronization between the local and global networks of the brain [38]. In particular, the slower oscillation EEG phase often controls the amplitude, frequency, and the faster EEG phase [39]. Phase-amplitude coupling (PAC) is considered a promising biomarker of mental disorders, and it is expected to infer dysfunction from the whole brain network concept instead of simple measurements of the changes in power of a specific frequency [40]. The most actively studied PAC is TGC, which is closely related to general cognitive functions, including working memory [41,42], language [43], and attention [44]. In particular, the increase in resting TGC in patients with schizophrenia is associated with dysfunction of the default mode network [45,46] and has been actively studied.

TGC is also a promising biological marker of ADHD in children. In a study by Kim et al. [47], children with ADHD showed a significantly lower level of TGC compared to the control group of healthy children, in the resting state. In this study, TGC showed a high accuracy of 71.7% compared to that of TBR (58.7%). In addition, children with ADHD showed a rapid decrease in synchronization when they performed mental
In contrast to children without ADHD, who showed no changes in synchronization [48]. This suggested that the normal transition process of suppressing ‘task-negative’ default mode network and activating ‘task-positive’ attentional network does not occur in children with ADHD. Rather, only the ‘task-negative’ default mode network is suppressed in those children. These findings highlighted the potential of TGC as a biological marker to assess problems in attentional set shifting in ADHD.

PAC, including TGC, is an interesting marker for different mental disorders. However, its underlying mechanism has not been fully elucidated. Further research is required to assess the mechanism of PAC as a marker for cognitive function and mental disorders.

**SUBGROUPING**

Patients with ADHD have varying symptom profiles, responses to medications, progress, and prognosis. Therefore, attempts have been made to subgroup ADHD according to symptoms. In the DSM-IV, subtyping was attempted according to inattention and hyperactivity-impulsivity symptoms. However, the term “subtype” in DSM-IV was changed to “current presentation” in the DSM-5. This was to reflect the results of studies showing that clinical symptoms of ADHD change according to lifestyle and development [49,50]. In recent years, studies have actively assessed the heterogeneity of ADHD through dimensional approaches, including research domain criteria (RDoC) and hierarchical taxonomy of psychopathology (HTOP) to overcome the limitations of the DSM diagnosis systems based on clinical symptoms. In particular, machine learning studies have used MRI to subgroup and analyze the characteristics of ADHD [51-53] and these have made rapid progress. Similarly, QEEG has also been suggested as a promising research tool for ADHD subgrouping [54].

In early studies, three subgroups of ADHD were observed using QEEG [55]. The first group was a maturational lag type with increased slow waves and decreased fast waves. During the normal transition from childhood to adolescence, slow waves decreased and fast waves increased in EEG. The maturational lag type suggests that brain development is slower in children with ADHD than in children without ADHD. Differences have been observed in the maturational lag type when comparing children of the same age with and without ADHD, but not when compared with children of younger ages. The second group was the hypoarousal type. In this group, both the theta and beta waves were increased. This translated into an increased TBR. Previous studies that reported on ADHD with increased TBR might have been biased and included many cases of this hypoarousal ADHD type. This subgroup is associated with thalamo-cortical dysrhythmia and shows a similar pattern on the QEEG, which is also observed in different mental disorders [56]. In a recent study, 35% of children with ADHD showed this hypoarousal type with an increased TBR and were clearly distinguished from other subgroups [57].

The third subgroup was the hyperarousal type, observed in 20% of the children with ADHD. It is characterized by hyperactive beta waves and is more common in boys. This subgroup leads to more comorbidities and increased complaints of temper tantrums [58]. This subgroup also showed a poor response to medication [59]. In a recent study, Clarke et al. [60] included subjects with ADHD who had comorbid mental disorders (n=155) and observed a fourth subtype, with increased alpha waves. This group showed a significantly high response to the confused or fog state on the Child Behavior Checklist and demonstrated increased ritualistic behaviors and hyperactivity.

Loo et al. [61] analyzed the QEEG of 781 participants (620 ADHD and 161 control) and classified them into five classes. The five classes were named based on the frequency of the most dominant EEG: delta (30%), theta (23%), alpha (20%), beta (7%), and no spectral elevation (NSE; 20%). The authors observed that the subgroup with prominent delta and theta waves was associated with disruptive behavior and cognitive dysfunction, while those with prominent alpha and beta waves were associated with emotional dysregulation and internalizing behavior. Additionally, the authors stated that in previous studies, comorbid mood disorders were excluded, which led to subgroups with prominent delta and theta waves and subsequently high TBR. The NSE subgroup, which showed no increase in the power spectrum at any frequency band, showed

![Fig. 1. Spectral Power Profiles for EEG-based subgroups. Relative power in the parietal region (P3, Pz, and P4) across the frequency bands for each of the five empirically defined subgroups. Each group is named for the frequency band where the power is significantly elevated above the other clusters. Degrees of freedom for EEG analyses F(4,775). Post hoc results (p < 0.05) for each frequency band were located above the spectral elevation for each frequency band. EEG: electroencephalogram. Adapted from Loo et al. J Child Psychol Psychiatr 2018:59:223-231, with permission of John Wiley and Sons [61].](image-url)
relatively fewer behavioral and cognitive function problems. This subgroup was observed in all ADHD and typically developing control groups. This suggests that the clinical diagnosis of ADHD may show no specific abnormalities on EEG.

Byeon et al. [62] also confirmed the heterogeneity of ADHD by subgrouping using QEEG. In this study, 74 children were divided into three groups: ADHD, not other specified (NOS), and neurotypical (NT), and cluster analysis further divided the participants into four subgroups. In addition to the maturation lag and hypoarousal types, a new type with increased alpha waves was observed. This subgroup contained the highest proportion of NOS (ADHD 30%, NOS 59%, NT 11%), suggesting that childhood depression showed similar symptoms to ADHD and was misdiagnosed.

Based on the findings of studies that subgrouped ADHD using QEEG, ADHD is heterogeneous, and several subtypes can be identified. In particular, the subtype with increased alpha waves. Alpha is presumed to be associated with mood (depression and emotional dysregulation). This is also consistent with the statement of Snyder et al. [34] that low TBR is not pure ADHD, but a condition or comorbidity that has symptoms similar to ADHD. Further research is required regarding

![Diagram showing the difference in topography between the 4 subtypes divided by cluster analysis of quantitative electroencephalogram.](http://www.jkacap.org)

**Fig. 2.** The difference in topography between the 4 subtypes divided by cluster analysis of quantitative electroencephalogram. Adapted from Byeon et al. PLOS ONE 2020;15:e0242566 [62].
the subgroups of ADHD, and these studies are important for clinical diagnosis and treatment of ADHD.

**CONCLUSION**

TBR is the most studied QEEG diagnostic index for ADHD; however, recent studies have argued that TBR is not a valid biological marker, as the control group showed increased TBR and heterogeneity of ADHD. Therefore, new indices, such as TGC, would be useful for diagnosing ADHD, and further studies on such new indices are necessary. A dimensional approach, including RDoC and HiTOP, may help assess the heterogeneity of ADHD, and more specific studies through subgrouping will increase our understanding of ADHD. Systematic and large-scale studies or meta-analyses are necessary to demonstrate a high level of evidence for the use of QEEG in ADHD diagnosis.

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**Table 1. Characteristics of studies on QEEG subgroups in ADHD**

| Study (years) | N     | Clinical characteristics | Number of subgroups | Results |
|--------------|-------|--------------------------|---------------------|---------|
|              | ADHD  | Control | Age | Male (%) |          |         |
| Clarke et al. [55] (2001) | 184   | 40       | 8–12 | 100      | 3        | Cluster 1 (hypoarousal type): 42.3%  
Cluster 2 (maturational lag type): 37.5%  
Cluster 3 (hyperarousal type): 20.2% |
| Clarke et al. [60] (2011)   | 155   | 109      | 7–13 | 100      | 5        | Cluster 1 (hyperarousal type): 23.2%  
Cluster 2* (hypoarousal type): 11%  
Cluster 3 (maturational lag type): 24.5%  
Cluster 4* (hypoarousal type): 24.5%  
Cluster 5: 16.8%  
*Cluster 2 and 4 has a same QEEG profile. Total 35.5% |
| Loo et al. [61] (2018)      | 620   | 161      | 6–18 | 59       | 5        | Delta group: 30%  
Theta group: 23%  
Alpha group: 20%  
Beta group: 7%  
No spectral elevation group: 20% |
| Byeon et al. [62] (2020)    | 59 (32; ADHD NOS) | 15 | 7–12 | 78 | 4 | Cluster 1 (maturational lag type): ADHD (46%), NOS (33%), NT (21%)  
Cluster 2 (childhood depression): ADHD (30%), NOS (59%), NT (11%)  
Cluster 3 (hypoarousal type): ADHD (47%), NOS (40%), NT (13%)  
Cluster 4 (relatively normal): ADHD (13%), NOS (25%), NT (62%) |

ADHD: attention deficit/hyperactivity disorder, NOS: not other specified, NT: neurotypical, QEEG: quantitative electroencephalogram

**Conflicts of Interest**

The authors have no potential conflicts of interest to disclose.

**Author Contributions**

Conceptualization: Su Hyun Bong, Jun Won Kim. Data curation: Su Hyun Bong, Jun Won Kim. Funding acquisition: Jun Won Kim. Investigation: Su Hyun Bong, Jun Won Kim. Project administration: Su Hyun Bong, Jun Won Kim. Supervision: Jun Won Kim. Validation: Su Hyun Bong, Jun Won Kim. Writing—original draft: Su Hyun Bong. Writing—review & editing: Jun Won Kim.

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