Audio-vestibular outcomes after transmastoid plugging of superior semicircular canal dehiscence

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Abstract

Objective: To compare pre- and postoperative outcomes in patients who underwent transmastoid plugging of a superior semicircular canal dehiscence.

Design: Retrospective cohort study

Setting: Subjective as well as objective outcomes in patients with superior semicircular canal dehiscence plugged using the transmastoid approach between 2012 and 2015 in Radboud University Medical Centre.

Main outcome measure: The vestibular signs, auditory signs, dizziness handicap inventory (DHI) questionnaire, cervical vestibular evoked myogenic potential test (cVEMP), pure tone audiogram, speech audiogram and electro-nystagmography were documented.

Results: In total 13 patients were included for analysis, with a mean age of 52.7 years. Nine patients received pre- and postoperative cVEMPs, showing a significant postoperative increase of cVEMP thresholds. In seven patients, pre- and postoperative DHI scores were available showing a significant decrease of their dizziness handicap. No significant pre-postoperative differences were found for all other audio-vestibular tests. No serious complications occurred, and the mean hospital stay was 1.4 day.

Conclusion and recommendation: Transmastoid approach for plugging the dehiscence of the superior canal resulted in significant improvement of cVEMP thresholds and DHI scores. Plugging the dehiscence using the transmastoid approach is recommended, since it is associated with a short hospital stay and low rate of adverse events.

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Methods

Subjects

A retrospective study was performed at the Radboud University Medical Centre, Nijmegen, The Netherlands between 2012 and 2015, including all patients (n=14) that were treated by plugging the SSCD using the TMA. Data regarding patient characteristics, occurrence of complications, hospital stay, dizziness handicap inventory (DHI), pre- and post-operative clinical findings (including signs and symptoms) and audio-vestibular test results were extracted from hospital records. The DHI is a 25- item questionnaire to quantify the impact of dizziness on everyday life (mild 0-30 points, moderate 31-60 points, and severe 60-100 points) and is divided into three domains: functional, emotional and physical [12,13]. All postoperative measurements were obtained at least 2 months after the surgical intervention. The final diagnosis was based on clinical signs and symptoms, audio-vestibular test results and confirmation by a CT-scan. In patients with bilateral SSCD, surgical treatment was performed at the most affected side.

Surgical technique

A transmastoid approach to the SSCD was performed in all patients to plug the dehiscence. All surgical procedures were performed under general anesthesia by two surgeons. After post-auricular incision, mastoidectomy with atticotomy was performed. Subsequently, the horizontal semicircular canal was identified and the superior semicircular was blue lined. The anterior and the posterior crus of the superior canal were carefully opened and immediately plugged with soft periosteum, which was fixed with fibrin glue. Hereafter, the superior part of the canal was further opened and the superior part of the canal including the dehiscence were blocked with a mixture of bone dust and fibrin glue.

The wound was closed in layers with absorbable sutures and mastoid compression dressing was applied.

Audiometric assessment

Audiometric tests, i.e. tone and speech audiometry, were performed pre- and post-operatively in a soundproof booth. Air-conduction (AC) thresholds were determined for 250, 500, 1000, 2000, 4000 and 8000 Hz pure tones. To calculate the ABG, bone conduction (BC) thresholds were determined for 500, 1000, 2000, 4000 Hz; Pure Tone Average (PTA) as defined as the mean auditory threshold of 500, 1000, 2000 and 4000 Hz. Pre- and post-operative speech reception threshold (SRT) in dB nHL and speech recognition score (SRS) in percentage correct phoneme identification were obtained in all patients.

Vestibular assessment

Pre- and post-operatively vestibular function was evaluated by electronystagmographical (ENG) recordings. Besides assessment of vestibulo-ocular motor movements, rotary chair testing (velocity- step test, VST) and caloric irrigational testing were used to evaluate the presence of possible asymmetrical directional preponderance and/or vestibular preponderance [14]. For the VST, the patient was seated in a rotary chair. To obtain a horizontal position of the horizontal semicircular canals, the head was horizontally tilted 30° forwards. To provoke a nystagmus, the chair was accelerated with 2°/s 2 to 0.25 Hz. After a plateau time of 60 seconds, the chair was suddenly stopped with a deceleration of 200°/s 2, inducing a physiological response, i.e. a nystagmus in opposite direction. The maximum slow phase velocity of the nystagmus and time constant were recorded. This was done for both directions to calculate the possible presence of the nystagmus' directional preponderance (asymmetry). For the low frequencies, reactivity and possible vestibular preponderance of individual horizontal semicircular canals were determined by caloric testing using bithermal (30° and 44° Celsius, respectively) water irrigation of the external auditory canal [14]. Additionally, a video Head Impulse Test (vHIT) was performed to evaluate the individual function of the semicircular canals in the higher frequency domain, i.e. around 4-6 Hz [15]. For this, the patient had to focus on a dot on the wall while the examiner made a short but rapid movement (impulse) with the head in the plane of each individual semicircular canal. The eye movements were recorded by a video-camera with a sampling frequency of 100 Hz (vHIT Ulmer, Synapsys, France). A gain of >0.7 for vertical and >0.8 for horizontal semicircular canal was defined as normal.

Cervical vestibular evoked myogenic potential (cVEMP) thresholds were obtained for both sternocleidomastoid muscles (SCM), pre- and post-operatively. The patient was instructed to sit in an upright position and was instructed to unilaterally contract the sternocleidomastoid muscle by turning the head to one side. The ipsilateral inhibitory response was evoked by an auditory stimulus of a 500 Hz tone burst (2 ms rise-fall and plateau time) of a total length of 6 ms. The SCM inhibitory response (i.e. peak-to-peak response of the P13-N23) was recorded using surface electrodes, placed at the SCM (+) referred to the ipsilateral mastoid M1/2 (-) with the ground electrode at Fpz. The cVEMP thresholds were obtained with tone bursts of 500 Hz in descending steps from 100 dB nHL to 50 dB nHL, reducing the stimulus level in 5 db steps until no response was identified. The cVEMP threshold was defined as the point at which a peak-peak response of the P13-N23 was just visible (i.e. > 2x standard deviation of the RMS of running noise level). A threshold ≤85 dB nHL was interpreted as abnormal.

Statistical methods

Data was analysed with the Statistical Package for Social Sciences (IBM SPSS Statistics for Windows. 210.0 ed. Armonk, NY: IBM Corp.; 2012) was used and a p-value below 0.05 was considered as statistically significant. A paired samples T-test was applied to assess the pre- and post-operative difference on cVEMP and audiometric test results.

Results

Subjects

Between 2012 and 2015, 14 patients underwent surgical treatment for SSCD syndrome. One patient was treated by plugging through MFA, hence excluded for further analyses, resulting in a study cohort of 13 patients (Table 1). In 7 patients, the surgical procedure was performed on the right side and in 6 patients on the left side. Two patients had bilateral SSCD (#2 and #5), who underwent surgery on the most affected side. The mean hospital stay was 1.4 days with a range of 1 to 2 days. None of the patients had post-operative complications at 12 months follow up.

Subjective assessment

The pre-operative signs and symptoms of the included patients are presented in table 1. In general, all patients were satisfied post-operatively. However, one patient (#7) did not experience an improvement of aural fullness and hyperacusis complaints, but the main complaint of vertigo was totally resolved post-operatively. In 2 patients (#5 and #9), tinnitus complaints increased post-operatively. The DHI scores were available for 7 patients, which showed a significant improvement from 42 points pre-operatively to 22 points.
post-operatively (p < 0.05). A significant improvement was obtained in the physical domain (p < 0.05); improvement in the other two domains were not statistically significant. No difference was observed between surgeons.

**Objective tests**

**Audiometric assessment**

There were no significant differences between the pre- and post-operative audiometric tests, i.e. SDS (98.3% pre- versus 97.6% postoperatively), SRT (31.0 dB nHL pre- versus 27.9 dB nHL postoperatively), PTA (32.5 dB nHL pre- versus 33.8 dB nHL postoperatively) and mean ABG (16.5 dB nHL pre- versus 13.9 dB nHL postoperatively) (p>0.05). The largest ABG's pre- and post-operatively and the largest gain post-operatively in the ABG's were found for the postoperatively) (p>0.05). The largest ABG's pre- and post-operatively and mean ABG (16.5 dB nHL pre-versus 13.9 dB nHL postoperatively), PTA (32.5 dB nHL pre- versus 33.8 dB nHL postoperatively), SRT (31.0 dB nHL pre- versus 27.9 dB nHL postoperatively) and mean SDS (98.3% pre- versus 88.7% postoperatively) (p>0.05). In one patient (#6), the sensorineural hearing loss was increased from a low frequencies, e.g. for 500 Hz 22.3 dB nHL vs 16.5 dB nHL (Table 2).

**Vestibular assessment**

Pre- and post-operative vestibular test results are presented in table 3.

Pre-operatively vestibular test results of the semicircular canals were not available in 4 patients (#1, #2, #3 and #9); post-operative results were not available in 3 patients (#5, #10 and #13). In all patients, pre-operative vHIT gains were normal for all three semicircular canals and the caloric test results showed normal results for both horizontal canals of both ears. One patient showed hyperreflexia and one patient showed hyporeflexia in the VST pre-operatively. Post-operative vHIT showed in two patients lower vestibulo-ocular reflex (VOR) gains (i.e. < 0.7) in the anterior canal, and in one patient no response was found in caloric test post-operatively. Cervical VEMPs were found in 10 patients preoperatively, and 10 patients postoperatively. For pre- vs. postoperative analyses, only patients with pre- and postoperative cVEMP thresholds were included, i.e. #3, #4, #7 to #13 (n=9). In four patients, the pre- and/or post-operative cVEMP results were not available. Pre-operatively, the contralateral ears show an average

| Patient no. | #1  | #2  | #3  | #4  | #5  | #6  | #7  | #8  | #9  | #10 | #11 | #12 | #13 |
|-------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| Age [yrs]   | 46  | 42  | 53  | 57  | 39  | 66  | 50  | 66  | 55  | 44  | 45  | 45  | 57  |
| Gender      | F   | M   | M   | M   | F   | M   | M   | M   | F   | M   | M   | M   | F   |
| SSCD Side   | L B | R   | L   | R   | L   | R   | R   | L   | L   | R   | L   | L   | R   |
| Operated Side | L  | R   | L   | R   | L   | R   | L   | R   | L   | R   | L   | L   | R   |
| Dizziness   | +   | -   | +   | +   | -   | +   | -   | +   | +   | -   | +   | +   | -   |
| Hyperacusis | +   | -   | +   | -   | -   | +   | -   | -   | -   | +   | +   | -   | -   |
| Autophony   | +   | +   | +   | +   | +   | +   | +   | +   | +   | +   | +   | +   | +   |
| Tinnitus    | +   | -   | +   | +   | +   | +   | +   | +   | +   | +   | +   | +   | +   |
| Tinnitus    | -   | +   | +   | +   | +   | +   | +   | +   | +   | +   | +   | +   | +   |
| Aural fillness | -   | -   | +   | -   | -   | -   | -   | -   | -   | -   | -   | -   | -   |
| Instability  | -   | +   | -   | +   | -   | -   | -   | -   | -   | -   | -   | -   | -   |
| Vertigo     | -   | -   | -   | -   | -   | -   | -   | -   | -   | -   | -   | -   | -   |
| Valsalva    | -   | -   | -   | -   | -   | -   | -   | -   | -   | -   | -   | -   | -   |
| Tullio      | -   | -   | +   | -   | -   | -   | -   | -   | -   | -   | -   | -   | -   |
| Hennepert sign | +   | -   | +   | -   | -   | -   | -   | -   | -   | -   | -   | -   | -   |
| Average ABG (dBnHL) | 13  | 5   | 10  | 10  | 36  | 15  | 18  | 13  | 19  | 14  | 10  | 23  | 35  |
| Mean PTA (dBnHL) | 20  | 13  | 18  | 40  | 39  | 38  | 25  | 24  | 26  | 70  | 15  | 49  | 48  |

**Table 1. Patient characteristics**

**Table 2. Pre- and postoperative audiometrical thresholds and speech perception scores**
Table 3. Pre- and postoperative vestibular results.

| Patient no. | VST      | Calorics | sHIT    | cVEMP [dBnHL] | VST      | Calorics | sHIT    | cVEMP [dBnHL] |
|-------------|----------|----------|---------|--------------|----------|----------|---------|--------------|
| #1          | NA       | NA       | NA      | NA (NA)      | Normal   | Normal   | NA      | NA (NA)      |
| #2          | NA       | NA       | NA      | NA (NA)      | Normal   | No Response | NA      | NA (NA)      |
| #3          | NA       | NA       | NA      | 65 (75)      | Normal   | Normal   | Normal   | Normal (100) |
| #4          | Normal   | Normal   | NA      | 65 (100)     | Normal   | Normal   | Normal   | Normal (100) |
| #5          | Normal   | Normal   | NA      | 45 (70)      | NA       | NA       | NA      | NA (NA)      |
| #6          | Normal   | Normal   | Normal  | NA (NA)      | Normal   | Normal   | Normal   | Normal (100) |
| #7          | Normal   | Normal   | Normal  | 75 (85)      | Normal   | Normal   | Normal   | Normal (100) |
| #8          | Normal   | Normal   | Normal  | 60 (100)     | Normal   | Normal   | Normal   | Normal (100) |
| #9          | NA       | NA       | NA      | 80 (100)     | Normal   | Normal   | Normal   | Normal (100) |
| #10         | Light hyporeflexia | Normal | Normal | 95 (100) | NA | NA | NA | 100 (100) |
| #11         | Normal   | Normal   | Normal  | 70 (97)      | Normal   | Normal   | Normal   | Normal (95)  |
| #12         | Normal   | Normal   | NA      | 70 (90)      | Normal   | Normal   | Low Gain | 90 (85)  |
| #13         | Normal   | Light hyperreflexia | Normal | 100 (92) | NA | NA | NA | 100 (100) |
| mean        |          |          |         | 73 (92)      |          |          |         | 99 (95)    |

VST: velocity-step test; Calorics: caloric testing; sHIT: video Head Impulse Test; cVEMP: cervical Vestibular Evoked Myogenic Potential; NA: Not available. cVEMP thresholds are shown in dB nHL for the affected ipsilateral (in bold) and contralateral side (between brackets).

**Discussion**

In this retrospective cohort study, we compared the pre- and postoperative subjective complaints and objective tests after plugging of the SSCD through TMA. The typical signs and symptoms for SSCD were also identified in our patient population pre-operatively [2,3].

Since the DHI is a questionnaire to quantify the impact of dizziness on everyday life, we used the test to measure the complaints of the included patients [12,13]. In all patients the DHI scores improved post-operatively. Crane et al. [16] reported a significant improvement of 59% of the mean score post-operatively in patients in which the dehiscence is plugged through MFA (p < 0.05). Bogle et al. [11] reported in patients with a DHI score >30, a significant improvement of the DHI score after plugging through TMA. In our cohort, a significant improvement of the DHI score was achieved in all patients, including patients with a DHI score <30. Bogle et al. [11] did not report data of...
the DHI subdomains. However, Crane et al. [16] reported a significant improvement in all domains in the patients plugged through MFA. Similar to Crane et al. [16] this study observed an improvement in all domains, although only statistically significant in the physical domain. Besides the improvement of the total DHI scores, the present study showed that, in general, all other complaints diminished in all patients.

Hospital stay after surgical treatment is a commonly used criterion in comparing different techniques. In our cohort, the mean hospital stay post-operatively was 1.4 days with a maximum stay of 2 days. Mean hospital stay after plugging the SSCD through MFA varied from 2.5 to 7.8 days, and one study even reported a mean stay at the intensive care unit of 2.7 days [7,17,18].

Testing the VEMP has proven to be a highly sensitive tool to diagnose SSCD [19-22]. Even though VEMP test shows no significant correlation with vestibular and most auditory symptoms or their severity [23]. All patients in our cohort showed a normalization of the cVEMP thresholds post-operatively. Different types of outcome measures for cVEMP tests are described in the literature: normal hearing level (nHL), sound pressure level (SPL) and peak sound pressure level (pSPL) [17,18,21,24-26]. In the present study we have used dB nHL and thresholds below 90 dB are suggestive for SSCD. The cut-off thresholds suggestive for SSCD varies between ±75 (SPL) and ±90 dB (nHL) in the literature, but currently there is no consensus for abnormal cut-off thresholds [17,18,24,25,27]. Similar to this study, Thomeer et al. [18] used SPL and 90 dB cut-off point for abnormal thresholds and reported an improvement of thresholds in all patients. In 54% of the patients, normalization of thresholds was obtained by plugging the SSCD through the MFA approach [18]. Two studies accomplished 100% normalization of the thresholds by plugging and resurfacing the SSCD through MFA or TMA, although these studies include a total of 10 patients combined [18,27]. Resurfacing of the SSCD is the repair of the continuity of the bone overlying the canal using bone, fascia or cartilage. The recurrence rate of SSCD is higher when only resurfacing is performed compared to plugging of SSCD, therefore according to the literature, this technique is less often applied to treat SSCD [28].

A limitation of our study is the absence of additional ocular VEMP data, since it was reported that oVEMPs might have slightly better sensitivity and specificity to diagnose SSCD: cVEMP between 80%-100%, while sensitivity and specificity for oVEMPs are more than 90% [22]. Recently, clinical applications and methodological pitfalls of both c- and o-VEMPs are reported by Rosengren et al. [29] showing that also oVEMPs do play a substantial role in the diagnosis of SSCD [19].

Another shortcoming of the study is that cVEMP and DHI data was not available for all 13 patients, so although statistical differences were found, our analyses are based on a limited population.

Pre-operative vestibular tests other than cVEMP, including VST, calorics and vHIT, were normal in most of the patients (>70%). In the pre-operative VST, calorics and vHIT, there was no difference between the affected and contralateral sides. Results of vestibular tests, other than cVEMP, seem to lack sensitivity to diagnose SSCD. No significant difference was observed in this study between the pre- and post-operative vestibular test results of the semicircular canals. However, one subject showed no caloric responses postoperatively, but normal vestibular reactivity to rotary chair testing. Besides the fact that it is known that caloric irrigation is sensitive to many external confounders (e.g. inefficient irrigation, thick bone), based on the available data we do not have an explanation for the absence of this response. The fact that two subjects showed lower gains in the vHIT, might be explained by the surgical intervention of this semicircular canal: it is very plausible that plugging the anterior semicircular canal obviously led to a hypofunction, reflected as a decrease of the vestibulo-ocular reflex gain of this specific canal.

The present study observed the largest ABG’s in the low frequencies, as described in other SSCD patients [3]. The largest post-operative gain in the ABG’s was in the low frequencies and the mean change of the ABG and the PTA was minimal. Post-operative change of the ABG, PTA, SDS and SRT results were similar to other studies in which plugging was performed through MFA or TMA [10,17,18,21,24,30-32]. Two of the more serious adverse events of surgical treatment of SSCD are sensorineural hearing loss (SNHL) (5-40%) and facial paralysis (4.2-6.1%) [7,18,21,24,27,31,33,34]. In this study, one patient had a post-operative PTA change of >10 dB, without prior surgery to the affected ear. It is not clear what the underlying reason could be since no surgical complications were reported. In patients treated with plugging the dehiscence through MFA, SNHL is more often described, compared to plugging through TMA [7,10,35]. Other serious complications, such as facial paralysis and CSF leakage were not observed in our cohort but is more often described in patients plugged through MFA [31,34]. Furthermore, a higher recurrence rate of disease was described in the patients treated through MFA compared to TMA [36]. In this study cohort, no revision surgery was needed. Since the different types of surgical treatment of SSCD do not show significant hearing improvement and/or the risk for postoperative hearing loss, surgical intervention is recommended in highly disabled patients by vestibular symptoms.

Conclusion
This study, although based on a small number of patients, shows no difference between pre- and post-operative audio-vestibular semicircular canal tests, but a significant improvement of cVEMP test results when the dehiscence is plugged in SSCD patients through TMA. Postoperatively, cVEMP thresholds were found at normal (i.e. higher) stimulation levels, confirming the reported decrease of subjective complaints. Patients plugged through TMA have a relatively short hospital stay and a low adverse event, such as facial paralysis and SNHL. Therefore, for the occlusion of the dehiscence in patients with SSCD, we recommend the transmastoid approach (TMA).

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