Orbital effect of in-plane magnetic field on quantum transport in chaotic lateral dots

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We show how the in-plane magnetic field, which breaks time-reversal and rotational symmetries of the orbital motion of electrons in a heterostructure due to the momentum-dependent inter-subband mixing, affects weak localisation correction to conductance of a large-area chaotic lateral quantum dot and parameteric dependences of universal conductance fluctuations in it.

A high sensitivity of phase-coherent transport through quantum dots to external perturbations has recently enabled one to transform studies of mesoscopic effects\cite{2,3} into a spectroscopic tool for detecting tiny energetic changes in the electron gas\cite{4} and for studying electron dephasing and inelastic relaxation rates\cite{5,6}. A convenient object\cite{4}, once used as a mesoscopic thermometer\cite{5}, consists of a lateral semiconductor dot weakly coupled to the reservoirs via two leads, \( l \) and \( r \), each with \( N_{l,r} \geq 1 \) open conducting channels, and, therefore, quantum conductances \( g_{l,r} = \frac{2e^2}{h} N_{l,r} \). Information concerning fine energetic characteristics of single-particle electron states in a dot can be extracted from the variance and parametric correlations of universal conductance fluctuations (UCF), \( \delta g = g - \langle g \rangle \), measured as random oscillations of the dot conductance, \( g \) around the mean value, \( \langle g \rangle = g_{l,r}(g_l + g_r) \), upon variation of a perpendicular magnetic field\cite{2,3}, the Fermi energy\cite{8} or the dot shape\cite{2}.

Energetic resolution of such a spectroscopy is set by the level broadening of single-particle states in a particular device, which is limited by the carrier escape into the leads,

\[
\tau_{\text{esc}}^{-1} = (N_l + N_r) \Delta / h,
\]

where \( \Delta = 2\pi \hbar^2 / mS \) is the mean level spacing of single-particle states of spin-polarized electrons with mass \( m \) in a dot with area \( S \). The use of larger dots with weaker coupling to the leads increases the sensitivity of the dot conductance to the variation of external parameters. The use of larger dots also enables one to assess directly the low excitation energy characteristics of the 2D electron gas, since the electron properties in 1\(\times\)10\(^4\)\,m\(^2\)-area dots containing \( 10^3 \cdots 10^4 \) particles are less affected by the confinement effects. Recently, large area dots were used for studying spin-polarisation of a 2D electron gas\cite{4}. In order to enhance coupling between a magnetic field and electron spin, J.Folk \textit{et al}\cite{4} used a magnetic field finely tuned to be orientated exactly parallel to the plane of 2D electrons. One observed a strong suppression of the variance \( \langle \langle \delta g^2(B_{||}) \rangle \rangle \) by an in-plane field \( B_{||} \) interpreted in terms of a spin-orbit coupling in the 2D gas\cite{4}. This feature has also been accompanied by an observation\cite{4} of such a negative weak localisation (WL) magnetoresistance caused by an in-plane field \( B_t \) that would relate to the time-reversal symmetry breaking in the orbital motion of electrons. In the present publication, we assess to which extent one can reduce the influence of an ideally in-plane tuned magnetic field on quantum transport in lateral semiconductor dots to spin effects alone, that is, we determine the range of fields \( B_{||} \) that would affect WL and UCF’s in experimentally studied devices\cite{4}, in addition to spin-related phenomena.

The influence of an in-plane magnetic field on the orbital motion of carriers in a heterostructure or quantum well is the result of a finite width, \( \lambda_z \), of a 2D layer, and it has been discussed previously in various contexts\cite{11,12}. The Lorentz force generated by a planar field on electrons moving across \( \vec{B}_{||} \) within the 2D plane mixes up the electron momentum, \( \vec{p} \), dependent subband mixing and, therefore, in a modification of the 2D dispersion, \( E(p) \rightarrow E(\vec{B}_{||}, \vec{p}) \). In particular, (a) the 2D electron mass increases in the direction perpendicular to \( \vec{B}_{||} \), whereas (b) in heterostructures which have no inversion symmetry in the form of confining potential, \( \vec{B}_{||} \) also lifts the \( \vec{p} \rightarrow -\vec{p} \) symmetry in the dispersion law\cite{11}: \( E(\vec{B}_{||}, \vec{p}) - E(\vec{B}_{||}, -\vec{p}) \propto (\vec{p} \cdot [\vec{B}_{||} \times \vec{l}_z])^3 \neq 0 \).

The latter change in dispersion has potential to reduce the fundamental symmetry of chaotic dot from orthogonal (\( o \)) to unitary (\( u \)), as a perpendicular magnetic field would do. Below, we show that the efficiency of time-reversal symmetry breaking by an in-plane magnetic field can be characterized using the rate \( \tau_{\text{esc}}^{-1} B_{||}^{-1} \sim b B_{||}^6 + a B_{||}^2 \) described in Eq. \( \ref{eq:1} \). A similar conclusion has recently been made in Ref\cite{13}. Without spin-orbit effects, this parameter would determine the value of the WL correction, \( g_{\text{WL}}(B_{||}) \equiv \langle \langle \delta g^2(B_{||}) \rangle \rangle - \langle \langle g \rangle \rangle _u \) and of the variance of UCF, \( \langle \langle \delta g^2(B_{||}) \rangle \rangle _u \), as compared to their nominal values, \( g_{\text{WL}}(0) \equiv \langle \langle g \rangle \rangle _o - \langle \langle g \rangle \rangle _u \) and \( \langle \langle \delta g^2 \rangle \rangle _u \)\cite{13}:

\[
\langle \langle \delta g^2(B_{||}) \rangle \rangle _o \equiv \langle \langle \delta g^2 \rangle \rangle _u \left\{ 1 + \frac{\tau_{\text{esc}}^{-1}}{\tau_{\text{esc}}^{-1}} \right\}^{-1} ; \quad \langle \langle \delta g^2(B_{||}) \rangle \rangle = \langle \langle \delta g^2 \rangle \rangle _u \left\{ 1 + \frac{\tau_{\text{esc}}^{-1}}{\tau_{\text{esc}}^{-1}} \right\}^{-2} .
\]
The latter parameters can be studied from the UCF’s fingerprints measured in the ‘shape of a dot’ space in multigate devices \[\underline{3}\], or by varying the Fermi energy in backgated dots. The rise in the mass anisotropy upon the increase of $B_{\parallel}$ would also manifest itself: as a change in the UCF pattern. A varying dispersion relation for electrons studied at different fields, $B_{\parallel}$ and $B_{\perp}$, can be characterized using the rate $\tau^{-1}_{\text{esc}}(B_{\parallel}, B_{\perp}) \propto (B_{\parallel}^2 - B_{\perp}^2)^{2}$ in Eq.\[\underline{3}\], which can be used to describe auto-correlation properties of a full $B_{\perp}$-dependent UCF pattern,

$$\frac{\langle \delta g(B_{\parallel}) \delta g(B_{\perp}) \rangle_u}{\langle \delta g^2 \rangle_u} = \left[ 1 + \frac{\tau^{-1}_{\text{esc}}(B_{\parallel}, B_{\perp})}{\tau^{-1}_{\text{esc}}} \right]^{-2}. \quad (2)$$

In the presence of an in-plane magnetic field, the effective 2D Hamiltonian for electrons in a heterostructure with a potential profile $V(z)$ can be obtained from the 3D Hamiltonian,

$$\hat{H}_{3D} = -\frac{\hbar^2 \partial^2}{2m} + V(z) + \left(-ih\nabla - \frac{e}{\hbar}\mathbf{A} \right)^2 + u(\mathbf{r}, z), \quad (3)$$

using the plane wave representation, $\Psi_{\mathbf{p}} = e^{i\vec{p} \cdot \vec{r}/\hbar} \varphi_{\mathbf{p}}(z)$ for the lowest subband electrons. Here, $\mathbf{A} = (z - z_0) \vec{B}_{\parallel} \times \vec{r}_{\perp}$ is the vector potential, $z_0 = (0|z|0)$ is the center of mass position of the electron wave function $|0\rangle \equiv \varphi_{\mathbf{p}}(z)$ in the lowest subband for $B_{\parallel} = 0$, and $u(\mathbf{r}, z)$ is a combination of Coulomb potential of impurities and lateral potential forming the quantum dot. Due to mixing between subbands $|0\rangle$ and $|n > 0\rangle$ by an in-plane magnetic field, $z$-dependent components $\varphi_{\mathbf{p}}(z)$ are different for different in-plane momenta, $\mathbf{p}$, and we use both the perturbation theory analysis \[\underline{10}\] and a numerical self-consistent-field technique to find $\varphi_{\mathbf{p}}(z)$ and the energy $E(\vec{B}_{\parallel}, \mathbf{p})$ for each plane wave state.

For a weak or intermediate-strength magnetic field $\vec{B}_{\parallel}$, the effective 2D Hamiltonian takes the form

$$\hat{H}_{2D} = -\frac{\hbar^2 \partial^2}{2m} - p^2_{\perp} \gamma(B_{\parallel}) + p^2_{\parallel} \beta(B_{\parallel}) + u(\mathbf{r}). \quad (4)$$

In Eq.\[\underline{4}\], $\mathbf{p} = -ih\nabla - \frac{e}{\hbar}\vec{a}(\mathbf{r})$ is a purely 2D momentum operator, and $p_{\perp} = \vec{p} \cdot (\vec{B}_{\parallel} \times \vec{r}_{\perp})/\vec{B}_{\parallel}$ is its component perpendicular to $\vec{B}_{\parallel}$. Two additional terms in the free electron dispersion part of $\hat{H}_{2D}$ are the result of the $p_{\perp}$-dependent inter-subband mixing. The first of them lifts rotational symmetry by anisotropic mass enhancement \[\underline{11}\]. It increases the 2D density of states and, for a 2D gas with a fixed sheet density, it reduces the Fermi energy calculated from the bottom of the 2D conduction band, $E_F(B_{\parallel}) = E_F^0 - \gamma(B_{\parallel})/2 p_{\perp}^2$. A cubic term in $\hat{H}_{2D}$ is related to the time-reversal symmetry breaking by $B_{\parallel}$. Note that, depending on the choice of a gauge, one may also generate a linear $p_{\perp}$ term, but this one can be eliminated by a trivial gauge transformation. A perturbation theory analysis of this problem is discussed in footnote \[\underline{16}\], and for a moderate field it results in the parametric dependences $\gamma \sim m^{-1} (\lambda_z/\lambda_B)^4$ and $\beta \sim (\lambda_z/m\hbar)(\lambda_z/\lambda_B)^6$.

To obtain quantitative estimates for parameters $\gamma$ and $\beta$, we evaluated the electron dispersion at in-plane magnetic fields using a full self-consistent numerical method. The quantum well confining potential $V(z)$ was constructed using the nominal growth parameters of the sample studied in \[\underline{4}\] which was a Al$_{0.4}$Ga$_{0.66}$As/GaAs heterojunction. $V(z)$ also included Hartree and exchange-correlation potentials generated by the free carriers in the quantum well. The Hartree potential was derived from the $z$-dependent 3D density of electrons by numerical solution of the Poisson equation. The exchange-correlation term was calculated within the local-density approximation \[\underline{7}\]. A flat-band boundary condition was used, i.e., we assumed that the electric field produced by donors in the (Al,Ga)As barrier is screened out in the GaAs buffer-layer by the 2D electron gas. In each loop of the self-consistent procedure we solved numerically the Schrödinger equation with the Hamiltonian in Eq.\[\underline{8}\] to get the 3D electron density, neglecting $u(\mathbf{r}, z)$. Then, a new $V(z)$ was constructed, which entered the next loop of the procedure until the self-consistency condition was achieved. The numerically obtained dependences of $\gamma$ and $\beta$ on the in-plane magnetic field for an electron sheet density of $2 \times 10^{11}$ cm$^{-2}$ are shown in insets of Fig.\[\underline{4}\]a) and b). At low fields, $\gamma \sim B_{\parallel}^2$ and $\beta \sim B_{\parallel}^3$, as anticipated in the perturbation theory treatment. The proportionality coefficients are plotted in Fig.\[\underline{4}\]a) and b) versus the electron sheet density. Both the effective mass renormalization in the quadratic term of energy dispersions and the time-reversal symmetry breaking cubic term are larger at lower 2D electron gas densities, due to a weaker confining electric field (i.e., longer $\lambda_z$).

In $\hat{H}_{2D}$ in Eq.\[\underline{4}\], disorder is incorporated in the form of a scattering potential $u(\mathbf{r}) \approx (0|u(\mathbf{r}, z)|0)$. This can be characterized by the value of the mean free path, $l \gg \hbar/p_{\mathbf{F}}$, or a momentum relaxation time $\tau$ related to the diffusion coefficient $D = v_{\mathbf{F}}^2/\tau/2$. The modification of the electron density of states by $B_{\parallel}$ only slightly affects the value of the electron mean free path. The presence of a parallel field also changes the symmetry of Born amplitudes of scattering between plane waves $\Psi_{\mathbf{p}} = e^{i\vec{p} \cdot \vec{r}/\hbar} \varphi_{\mathbf{p}}(z)$, $f_{\mathbf{pp}} = \langle \Psi_{\mathbf{p}}|u(\mathbf{r}, z)|\Psi_{\mathbf{F}} \rangle$. Due to the momentum-dependent subband mixing, $f_{\mathbf{pp}}$ acquires an addition, $f_{\mathbf{pp}}' = f_{\mathbf{pp}}(0) \left(1 + (p_{\perp} + p_{\perp}') B_{\parallel} \zeta \right)$, where $\zeta = \frac{e}{mc} \sum_{n \geq 1} \frac{1}{n} |\langle 0|u(\mathbf{p} - \mathbf{p}', z)|n\rangle| |\langle n|\mathbf{p} |0\rangle|$, which is equivalent to the presence of a random gauge field in the effective 2D Hamiltonian \[\underline{13}\],

$$\bar{a} = 2[\vec{B}_{\parallel} \times \vec{r}_{\perp}]_{z} \sum_{n \geq 1} \frac{1}{\varepsilon_n - \varepsilon_0} |\langle 0|u(\mathbf{p} - \mathbf{p}', z)|n\rangle| |\langle n|\mathbf{p}|0\rangle|.$$
with $z$-dependent scattering potential, which in the presence of an in-plane magnetic field generates a random effective perpendicular field component, $b_\perp = [\text{rot}]\vec{a}$. In systems, where scattering is dominated by Coulomb centers behind a spacer and is almost independent of $z$, a smaller effect may be taken into account, $\delta a = \eta|\vec{B}_\parallel \times \vec{l}_z|(\vec{B}_\parallel \times \vec{l}_z)\cdot \nabla^2 u(\vec{r})$. However, $\delta a$ has a negligible influence on the quantum transport characteristics of 2D electrons, as compared to the effect of dispersion.

In the present paper, we study quantum transport characteristics of chaotic dots by modelling them as 2D disordered billiards with a short-range disorder. It has been shown before that the results obtained for a zero-dimensional limit of diffusive systems, $\tau_{\text{esc}} \gg L^2/D$ and $L > l$, are universally applicable to the description of WL and UCF's in a broad variety of quantum chaotic billiards [24], even in ballistic ones [22]. We also used a semi-classical diagrammatic language to calculate two-particle correlation functions, Cooperons $P_C(\omega; \vec{R}, \vec{R}')$ and diffusons $P_d(\omega; \vec{R}, \vec{R}')$ [23]. These correlation functions emerge in the form of ladder diagrams from the perturbation theory analysis upon averaging over disorder the Kubo-formula conductance. Schematically, the form of a weak localisation correction and of the variance and correlation function of UCF can be represented as $g_{\text{WL}}(B_\parallel) \propto \int d\vec{R}W(\vec{R})P_C(\omega; \vec{R}, \vec{R})$ and $\langle \delta g(B_{\parallel1})\delta g(B_{\parallel2}) \rangle \propto \int d\vec{R}d\vec{R}'W(\vec{R})W(\vec{R}') \sum_{\text{d.c.}} \left| P_{d,c}(\omega; \vec{R}, \vec{R}') \right|^2$, where $\omega = E_F(B_{\parallel1}) - E_F(B_{\parallel2})$, and $E_F(B_{\parallel})$ is the Fermi energy of the 2D gas calculated from the bottom of the 2D conduction band determined in Eq. [3]. Dispersionless weight factors $W(\vec{R})$ both take care of the particle number conservation upon diffusion inside a dot [22] and incorporate coupling parameters to the leads. In the zero-dimensional limit, both $g_{\text{WL}}$ and $\langle \delta g(B_{\parallel1})\delta g(B_{\parallel2}) \rangle$ are dominated by the lowest Cooperon (diffusion) relaxation mode, $\tau_{\text{esc}} + \tau_{\text{diff}}^{-1}$ determined by the interplay of the escape to the reservoirs and the Cooperon (diffusion) suppression by time-reversal symmetry breaking (the difference in condition of quantum diffusion). The latter effect can be analyzed for an infinite system, where the derivation is simplified by the use of Fourier representation for Cooperons (diffusions).

Using the Fourier form, the equation for the diffusion (Cooperon) correlation function, $\Pi \cdot P_{d(c)}(\omega, \vec{q}) = \tau^{-1}$, can be obtained from the analysis of a kernel ($h = 1$),

$$\Pi(\omega, \vec{q}) = 1 - \int \frac{d\vec{p}d\vec{p}'}{2\pi iD} G_{\parallel B_{\perp1}}^R(\varepsilon, \vec{p}) G_{\parallel B_{\perp2}}^A(\varepsilon - \omega, \pm|\vec{p} - \vec{q}|),$$

where sign ‘+/-’ is related to diffusion (Cooperon), respectively. Disorder-averaged retarded and advanced single-particle Green functions, $G_{\parallel A}^R$ correspond to different values of $B_{\parallel1}$. $G_{\parallel A}^R$ were calculated perturbatively with respect to all terms containing $B_{\parallel1}$, which relies on the assumption that within the relevant parametric regime the variation of the energy, $\delta E(p_\parallel)$, induced by $B_{\parallel1}$ is small in comparison with the scattering rate, $\delta E \ll h/\tau$. The result has the form of the diffusion equation,

$$[-i(\omega + \delta) - D\nabla^2 + \tau^{-1}_d] P_d = \delta(\vec{R} - \vec{R}').$$

It contains $\omega = \omega + \delta$ with $\delta = p_F^2\left[\gamma(B_{\parallel1}) - \gamma(B_{\parallel2})\right]/2h$ and the rate

$$\tau_d^{-1} = \frac{\tau p_F^4}{8\hbar^2} \left[\gamma(B_{\parallel1}) - \gamma(B_{\parallel2})\right]^2 + \frac{2\gamma^2}{\tau} \frac{p_F^2}{2} [B_{\parallel1} - B_{\parallel2}]^2$$

(6)

The first term in Eq. (6) comes from the deformation of a Fermi circle by $B_{\parallel1}$, the second takes into account the field effect upon the scattering of plane waves. Eq. (6) also contains the difference between the electron kinetic energies in two measurements of conductance, $\omega = E_F(B_{\parallel1}) - E_F(B_{\parallel2})$, each of them shifted, $E_F(B_{\parallel1}) = E_F^0 - \frac{1}{2}p_F^2\gamma(B_{\parallel})$ with respect to the Fermi energy, $E_F^0$ in the electron gas with the same sheet density at $B_{\parallel} = 0$. The latter fact is important, since, for lateral dots where electron density is fixed, one should substitute $\omega = \omega + \delta = 0$, so that only the $B_{\parallel}$-dependent anisotropy of the electron wavelength along the Fermi line affects the interference pattern of current carriers.

The Cooperon equation derived after the calculation of the integral in $\Pi(\omega, \vec{q})$ can be represented in the form

$$[-i\omega + D(-i\nabla - \vec{q})^2 + \tau_C^{-1} + \tau_{\text{diff}}^{-1}] P_C = \delta(\vec{R} - \vec{R}').$$

(7)

It contains an additional decay rate, $\tau^{-1}_C(B_{\parallel1}, B_{\parallel2})$,

$$\tau_C^{-1} = \frac{\tau p_F^6}{8\hbar^2} \left[\frac{\beta(B_{\parallel1}) + \beta(B_{\parallel2})}{2}\right]^2 + \frac{\zeta^2 p_F^2}{2\tau} [B_{\parallel1} + B_{\parallel2}]^2$$

$$\tau_{B_{\parallel1}}^{-1} = \tau_C^{-1}(B_{\parallel1}, B_{\parallel2}),$$

(8)

which accounts for dephasing between electrons encircling the same chaotic trajectory in reverse directions, the result of lifting the time-reversal symmetry by an in-plane magnetic field. This parameter is relevant for describing WL correction in Eq. (7), and also the $o \rightarrow u$ crossover in conductance fluctuations.

To mention, a shift in the Cooperon gauge in Eq. (7), $\vec{q} = \frac{1}{2}[B_{\parallel1} + B_{\parallel2}]\vec{B}_\parallel \times \vec{l}_z|B_{\parallel1} + B_{\parallel2}|/B_{\parallel}$, is the result of the following artifact: cubic term in the effective electron dispersion not only lifts the inversion symmetry of the line $E(B_{\parallel1}, \vec{p}) = E_F(B_{\parallel1})$, but also shifts its geometrical centre with respect to the true bottom of the 2D conduction band. Since in conductance calculations only electrons with $E = E_F$ matter, such a shift would be eliminated by choosing a slightly modified initial gauge, which can now be corrected by applying a gauge transformation $P_C(\vec{q}) = e^{i\vec{R} \cdot \vec{p}} P_C$ directly to the Cooperon. One may say that, here, the phase-coherent transport is only affected by the $B_{\parallel}$-induced ($\vec{p} \rightarrow -\vec{p}$)-asymmetric distortion of the Fermi circle into an oval, but not by a shift of such an oval in the momentum space.

The effect of time-reversal symmetry breaking by the in-plane field described in Eq. (8) has a field dependence.
$\tau_{B_\parallel}^{-1} \sim bB_\parallel^6 + aB_\parallel^2$. In a ballistic billiard, or in a heterostructure with $z$-independent scattering potential, it would be dominated by the unusual $B_\parallel^6$-dependence (also found in ref. [13]) that we attribute to the effect of cubic term generated by $B_\parallel^2$ in the 2D electron dispersion, thus giving rise to a relatively sharp crossover between ‘flat’ regions related to orthogonal and unitary symmetry regimes. For a large-area ($8\mu m^2$) quantum dot with electron density $2 \times 10^{11} cm^{-2}$ studied by J.Folk et al. [14], we estimated the crossover field as $B_\parallel = 0.6 \pm 0.8$ T. When studying the crossover, one has to take into account that the in-plane field also causes fluctuations in conductance, without breaking time-reversal symmetry, as described by Eqs. (4). For the same parameters of a structure, we estimated the field where such a random dependence would appear as $B_\parallel \sim 0.3$ T, and the result in Eq. (4) suggests that, for a perfectly in-plane field orientation, variation of the UCF fingerprint is faster at higher fields.

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$$\delta_2 \approx -\frac{p_\ell^2}{m_0} \sum n_{\geq 1} \frac{(0|z|k)(k|z|n)(n|z|0)}{\varepsilon_n - \varepsilon_0} \approx \frac{p_\ell^2}{m} \left( \frac{\lambda_z}{\lambda_B} \right)^4,$$

$$\delta_3 \approx \frac{p_\ell^4}{m^2} \sum_{k,n \geq 1} \frac{(0|z|k)(k|z|n)(n|z|0)}{\varepsilon_n - \varepsilon_0} \sim \frac{p_\ell^2 \lambda_z}{mh} \left( \frac{\lambda_z}{\lambda_B} \right)^6,$$

where $\lambda_B = \sqrt{\hbar c / eB_\parallel}$ is the magnetic length.

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**FIG. 1.** Calculated dependence of parameters $\gamma$ and $\beta$ on the sheet density of 2D electrons. Insets show the effect of $B_\parallel$ on symmetric, $|E(p_\perp) + E(-p_\perp)|/2$ and antisymmetric, $|E(p_\perp) - E(-p_\perp)|/2$ parts of the 2D electron dispersion in a broader range of $B_\parallel$, where a perturbative expansion is not applicable.