Research Article

On the Number of Spanning Trees of Graphs

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Received 29 August 2013; Accepted 24 December 2013; Published 10 February 2014

Academic Editors: C. D. Fonseca and A. Jaballah

Volume 2014, Article ID 294038, 5 pages

http://dx.doi.org/10.1155/2014/294038

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We establish some bounds for the number of spanning trees of connected graphs in terms of the number of vertices \( n \), the number of edges \( m \), maximum vertex degree \( \Delta_1 \), minimum vertex degree \( \delta \), first Zagreb index \( (\mu_1) \), and Randić index \( (R_{-1}) \).

1. Introduction

Let \( G \) be a simple connected graph with \( n \) vertices and \( m \) edges. Let \( V(G) = \{v_1, v_2, \ldots, v_n\} \) be the vertex set and \( E(G) = \{e_1, e_2, \ldots, e_m\} \) be the edge set of \( G \). If any two vertices \( v_i \) and \( v_j \) of \( G \) are adjacent, that is, \( v_i v_j \in E(G) \), then we use the notation \( v_i \sim v_j \). For \( v_i \in V(G) \), the degree of the vertex \( v_i \), denoted by \( d_i \), is the number of the vertices adjacent to \( v_i \). Let \( \Delta_1, \Delta_2 \), and \( \delta \) be the maximum, the second maximum, and the minimum vertex degree of \( G \), respectively.

Let \( M_1 = M_1(G) = \sum_{i=1}^n d_i^2 \) be the first Zagreb index \([1]\) and \( R_{\alpha} = R_{\alpha}(G) = \sum_{i\neq j} (d_i d_j)^\alpha \) the general Randić index \([2]\) of the graph \( G \), where \( \alpha \neq 0 \) is a fixed real number. Note that the Randić index \( R_{-1} = R_{-1}(G) = \sum_{i \neq j} 1/d_i d_j \) is also well studied in the literature. For more details on \( R_{-1} \), see \([3, 4]\).

Let \( K_n, K_{p,q} \) \((p + q = n)\), and \( S_n \) denote the complete graph, the complete bipartite graph, and the star graph of order \( n \), respectively. Let \( G - e \) be the graph obtained by deleting the edge \( e \) from the graph \( G \) and let \( G \) be the complement of \( G \). Let \( G_1 \cup G_2 \) be the vertex-disjoint union of the graphs \( G_1 \) and \( G_2 \). The graph \( G_1 \vee G_2 \) is obtained from \( G_1 \cup G_2 \) by adding all possible edges from vertices of \( G_1 \) to vertices of \( G_2 \); that is, \( G_1 \vee G_2 = G_1 \cup G_2 \) \([5]\).

The Laplacian matrix of the graph \( G \) is the matrix \( L(G) = D(G) - A(G) \), where \( A(G) \) and \( D(G) \) are the \((0, 1)\)-adjacency matrix and the diagonal matrix of the vertex degrees of \( G \), respectively. The normalized Laplacian matrix of \( G \) is defined as \( L = D(G)^{-1/2} L(G) D(G)^{-1/2} \), where \( D(G)^{-1/2} \) is the matrix which is obtained by taking \((-1/2)\) power of each entry of \( D(G) \). The Laplacian eigenvalues and the normalized Laplacian eigenvalues of \( G \) are the eigenvalues of \( L(G) \) and \( L \), respectively. Let \( \mu_1 \geq \mu_2 \geq \cdots \geq \mu_n \) be the Laplacian eigenvalues and \( \lambda_1 \geq \lambda_2 \geq \cdots \geq \lambda_n \) the normalized Laplacian eigenvalues of \( G \). Note that \( \mu_n = 0, \lambda_n = 0 \), and the multiplicities of these zero eigenvalues are equal to the number of connected components of \( G \); see \([6, 7]\). For more details on Laplacian and normalized Laplacian eigenvalues, see \([6, 8–10]\). The number of spanning trees, \( t(G) \), of the graph \( G \) is equal to the total number of distinct spanning subgraphs of \( G \) that are trees. This quantity is also known as the complexity of \( G \) and given by the following formula in terms of the Laplacian eigenvalues \([5]\):

\[
t(G) = \frac{\prod_{i=1}^{n-1} \mu_i}{n^{n-1}}. \tag{1}
\]

It is well known that the number of spanning trees of \( G \) is also expressed by the normalized Laplacian eigenvalues as \([5, 6]\):

\[
t(G) = \left( \frac{\prod_{i=1}^{n} d_i}{2m} \right)^{n-1} \prod_{i=1}^{n-1} \lambda_i. \tag{2}
\]

Now, we give some known upper bounds on \( t(G) \):

(1) Grimmett \([11]\):

\[
t(G) \leq \frac{1}{n} \left( \frac{2m}{n-1} \right)^{n-1}, \tag{3}
\]

(2) Grone and Merris \([12]\):

\[
t(G) \leq \left( \frac{n}{n-1} \right)^{n-1} \left( \frac{\prod_{i=1}^{n} d_i}{2m} \right), \tag{4}
\]
(3) Nosal [13]: for r-regular graphs,
\[ t(G) \leq n^{n-2} \left( \frac{r}{n-1} \right)^{n-1}, \]  
(5)

(4) Cvetković et al. (see [5, page 222]):
\[ t(G) \leq n^{n-2} \left( 1 - \frac{2}{m} \right)^{m}, \]  
(6)

where \( m \) is the number of edges of \( G \).

(5) Das [14]:
\[ t(G) \leq \left( \frac{2m - \Delta - 1}{n - 2} \right)^{n-2}, \]  
(7)

(6) Zhang [15]:
\[ t(G) \leq (1 + (n - 2)a)(1 - a)^{n-2} \left( \frac{2m}{n-1} \right)^{n-1}, \]  
(8)

where \( a = ((n(n-1) - 2m)/2mn(n-2))^{1/2} \).

(7) Feng et al. [16]:
\[ t(G) \leq \left( \frac{\Delta + 1}{n} \right) \left( \frac{2m - \Delta - 1}{n - 2} \right)^{n-2}, \]  
(9)

\[ t(G) \leq \left( \frac{M_1 + 2m - (\Delta + 1)^2}{n - 2} \right)^{(n-2)/2}, \]  
(10)

(8) Li et al. [17]:
\[ t(G) \leq \Delta \left( \frac{2m - \Delta - 1 - \Delta}{n - 3} \right)^{n-3}, \]  
(11)

(9) Bozkurt [18]:
\[ t(G) \leq (1 + (n - 2)b)(1 - b)^{n-2} \left( \frac{n}{n-1} \right)^{n-1} \left( \frac{\prod_{i=1}^{n} d_i}{2m} \right). \]  
(12)

where \( b = ((n - 1 - \Delta)/n(n-2)\Delta_1)^{1/2} \).

(10) Das et al. [19]:
\[ t(G) \leq \frac{1}{2m} \Delta \left( \frac{2m - \Delta - 1 - \Delta}{n - 2} \right)^{n-2} \left( \frac{n}{n-1} \right)^{n-1}, \]  
\[ t(G) \leq \frac{1}{4n(n-3)}(\Delta_1 + \Delta_2 + 1)^2(2m - \Delta_1 - \Delta_2 - 1)^{n-3}, \]  
\[ t(G) \leq \frac{1}{n} \left( \frac{4m^2 - (M_1 + 2m)}{(n-1)(n-2)} \right)^{(n-1)/2}. \]  
(13)

In [11] Grimmet points out that (3) generalizes (5). Grone and Merris [12] observed that, by the application of arithmetic-geometric mean inequality, (4) leads to (3). Das [14] stated that (7) is sharp for \( S_n \) or \( K_n \), but (3), (4), (5), and (6) are sharp for only \( K_n \). In [17] Li et al. indicated that (11) is sharp for \( S_n \), \( K_n \) if \( G \equiv (K_1 \cup K_{n-2}) \) or \( K_n - c \), but (3) is sharp for only \( K_n \) and (7) and (9) are sharp for \( S_n \) or \( K_n \). However, Das et al. [19] proved that (11) is not true for \( K_n \).

In [15, 16, 18] the authors showed that (8) is better than (3), (9) is better than (7) and (10), and (12) is better than (4). For more bounds and the relations between the number of spanning trees and the structural parameters of graphs such as connectivity, chromatic number, independence number, and clique number, see [17, 19].

We organize this paper in the following way. In Section 2, we give some previously known results which will be needed later. In Section 3, we obtain some bounds for the number of spanning trees of connected graphs in terms of the number of vertices \( n \), the number of edges \( m \), maximum vertex degree \( \Delta_1 \), minimum vertex degree \( \delta \), first Zagreb index \( (M_1) \), and Randić index \( (R_1) \). We also showed that some of our results on connected bipartite graphs improve the bounds (9) and (10) for these graphs.

### 2. Lemmas

In this section, we give some useful lemmas which will be used later. Firstly, we introduce an auxiliary quantity for a graph \( G \) as
\[ \alpha = \frac{1}{2} \left[ \Delta_1 + \delta + \sqrt{(\Delta_1 - \delta)^2 + 4\Delta_1} \right], \]  
(14)

where \( \Delta_1 \) and \( \delta \) are the maximum and the minimum vertex degree of \( G \), respectively.

The result in the following lemma is also known as Kober’s inequality.

**Lemma 1** (see [20]). Let \( x_1, x_2, \ldots, x_N \) be nonnegative numbers and let
\[ \beta = \frac{1}{N} \sum_{i=1}^{N} x_i, \quad \gamma = \left( \prod_{i=1}^{N} x_i \right)^{1/N} \]  
(15)

be their arithmetic and geometric means, respectively. Then
\[ \frac{1}{N(N-1)} \sum_{i,j} (\sqrt{x_i} - \sqrt{x_j})^2 \leq \beta - \gamma \leq \frac{1}{N} \sum_{i,j} (\sqrt{x_i} - \sqrt{x_j})^2. \]  
(16)

Moreover, equality in (16) holds if and only if \( x_1 = x_2 = \cdots = x_N \).

**Lemma 2** (see [21]). Let \( G \) be a graph with \( n \) vertices and normalized Laplacian matrix \( L \) without isolated vertices. Then
\[ \sum_{i=1}^{n} \lambda_i = \text{tr} (L) = n, \]  
(17)

\[ \sum_{i=1}^{n} \lambda_i^2 = \text{tr} (L^2) = n + 2 \sum_{i \neq j} \frac{1}{d_i d_j}. \]
Lemma 3 (see [8]). Let $G$ be a graph with $n$ vertices and without isolated vertices. Then $\lambda_1 = \lambda_2 = \cdots = \lambda_{n-1}$ if and only if $G$ is a complete graph $K_n$.

Lemma 4 (see [8]). Let $G$ be a connected graph with $n > 2$ vertices. Then $\lambda_2 = \lambda_3 = \cdots = \lambda_{n-1}$ if and only if $G \cong K_{p,q}$.

Note that, the Laplacian eigenvalues of a bipartite graph $G$ coincide with its signless Laplacian eigenvalues, that is, eigenvalues of the signless Laplacian matrix $D(G) + A(G)$ [9, 10, 22]. Thus, one can arrive at the following result.

Lemma 5 (see [23, 24]). Let $G$ be a connected bipartite graph with $n \geq 3$ vertices and let $\Delta_1$ be the maximum vertex degree of $G$. Then

$$\mu_1 \geq \alpha \geq \Delta_1 + 1$$

with either equalities if and only if $G$ is a star graph $S_n$.

Lemma 6 (see [9]). Let $G$ be a graph with $n$ vertices. Then $\mu_1 \leq n$, with equality if and only if $G$ is disconnected.

Lemma 7 (see [14]). Let $G$ be a connected graph with $n \geq 3$ vertices. Then $\mu_2 = \mu_3 = \cdots = \mu_{n-1}$ if and only if $G \cong K_n$ or $G \cong K_{n,1}$.

3. Main Results

Recently, Das et al. [19] established upper and lower bounds on $t(G)$ applying Kober’s inequality to Laplacian eigenvalues of a connected graph $G$. We now consider Kober’s inequality for the normalized Laplacian eigenvalues of $G$ in order to present some bounds on $t(G)$.

Theorem 8. Let $G$ be a connected graph with $n$ vertices, $m$ edges, and Randić index $R_{-1}$. Then

$$t(G) \leq \left( \frac{\prod_{i=1}^{n} d_i}{2m} \right) \times \left( \frac{1}{(n-1)(n-2)} \left( n^2 - (n+2R_{-1}) \right) \right)^{(n-1)/2},$$

$$t(G) \geq \left( \frac{\prod_{i=1}^{n} d_i}{2m} \right) \times \left( \frac{1}{(n-1)} \left( n^2 - (n+2)R_{-1} \right) \right)^{(n-1)/2}.$$  \hspace{1cm} (19) \hspace{1cm} (20)

Moreover, equalities in (19) and (20) hold if and only if $G \cong K_n$.

Proof. Taking $N = n - 1$, $x_i = \lambda_i^2$, and $i = 1, 2, \ldots, n-1$ in Lemma 1, we get

$$\sum_{i<j} (\lambda_i - \lambda_j)^2 = \frac{\sum_{i=1}^{n-1} \lambda_i^2}{n-1} - \left( \prod_{i=1}^{n-1} \lambda_i \right)^2 = \frac{\sum_{i<j} (\lambda_i - \lambda_j)^2}{n-1}.$$  \hspace{1cm} (21)

By the proof of Theorem 7 in [19] and Lemma 2, we have

$$\sum_{i<j} (\lambda_i - \lambda_j)^2 = (n-1) \sum_{i=1}^{n-1} \lambda_i^2 - \left( \sum_{i=1}^{n-1} \lambda_i \right)^2 = (n-1) \left( n + 2 \sum_{i<j} \frac{1}{d_i d_j} \right) - n^2 = (n-1) \left( n + 2R_{-1} \right) - n^2.$$  \hspace{1cm} (22)

Then, combining (21) with this and (2), we get

$$\frac{(n-1)(n+2R_{-1}) - n^2}{(n-1)(n-2)} \leq \frac{n + 2R_{-1} - \frac{2mt(G)}{\prod_{j=1}^{n} d_j}^{2/(n-1)}}{n-1} \leq \frac{(n-1)(n+2R_{-1}) - n^2}{n-1}.$$  \hspace{1cm} (23)

This implies that

$$\left( \frac{2mt(G)}{\prod_{j=1}^{n} d_j} \right)^{2/(n-1)} \leq \frac{1}{(n-1)(n-2)} \left( n^2 - (n + 2R_{-1}) \right),$$

$$\left( \frac{2mt(G)}{\prod_{j=1}^{n} d_j} \right)^{2/(n-1)} \geq \frac{n^2}{n-1} - \left( \frac{n-2}{n-1} \right)(n + 2R_{-1}).$$  \hspace{1cm} (24)

Hence we obtain the first part of the theorem. Now we suppose that the equalities in (19) and (20) hold. Then, by Lemma 1, we have $\lambda_1 = \lambda_2 = \cdots = \lambda_{n-1}$. Therefore, from Lemma 3, we get that $G \cong K_n$.

Conversely, we can easily see that the equalities in (19) and (20) hold for the complete graph $K_n$.

We now consider the above theorem for connected bipartite graphs.

Theorem 9. Let $G$ be a connected bipartite graph with $n > 2$ vertices, $m$ edges, and Randić index $R_{-1}$. Then

$$t(G) \leq \left( \frac{\prod_{i=1}^{n} d_i}{m} \right) \times \left( \frac{1}{(n-2)(n-3)} \left( (n-2)^2 - (n + 2R_{-1} - 4) \right) \right)^{(n-2)/2},$$

$$t(G) \geq \left( \frac{\prod_{i=1}^{n} d_i}{m} \right) \times \left( (n-2)^2 - \left( \frac{n-3}{n-2} \right)(n + 2R_{-1} - 4) \right)^{(n-2)/2}.$$  \hspace{1cm} (25)

Moreover, equalities in (25) hold if and only if $G \cong K_{p,q}$.
Since \( G \) is bipartite, we also have \( \lambda_1 = 2 \) [6]. Then, by Lemma 2, we get

\[
\sum_{2 \leq i < j \leq n-1} (\lambda_i - \lambda_j)^2 \leq (n-2) \left( \sum_{i=2}^{n-1} \lambda_i \right)^2 - \left( \sum_{i=2}^{n-1} \lambda_i \right) \sum_{i=2}^{n-1} \lambda_i \frac{1}{d_i d_j} < n-2 \sum_{i=2}^{n-1} \lambda_i \frac{1}{d_i d_j} - (n-2)^2.
\]

Therefore, combining (26) with this and (2), we arrive at

\[
\frac{(n-2)(n+2R_{1-4})-(n-2)^2}{(n-2)(n-3)} \leq (n-2) \left( \sum_{i=2}^{n-1} \lambda_i \right)^2 - \left( \sum_{i=2}^{n-1} \lambda_i \right) \sum_{i=2}^{n-1} \lambda_i \frac{1}{d_i d_j} = \frac{n+2R_{1-4}}{n-2} - \frac{\left( mt(G) \right)^2}{\prod_{i=2}^{n-1} d_i}. \tag{28}
\]

This implies that

\[
\frac{\left( mt(G) \right)^2}{\prod_{i=2}^{n-1} d_i} \leq \frac{1}{(n-2)(n-3)} \left( (n-2)^2 - (n+2R_{1-4}) \right), \tag{29}
\]

\[
\left( \frac{mt(G)}{\prod_{i=2}^{n-1} d_i} \right)^2 \geq (n-2) \left( \frac{n-3}{n-2} \right)(n+2R_{1-4}).
\]

Hence we get the inequalities (25). Now we suppose that the equalities in (25) hold. Then, by Lemma 1, we have \( \lambda_2 = \lambda_3 = \cdots = \lambda_{n-1} \). Therefore, by Lemma 4, we conclude that \( G \cong K_{p,q} \).

Conversely, we can easily see that the equalities in (25) hold for the complete bipartite graph \( K_{p,q} \). \( \square \)

We now present the improvement of the results obtained in [16] for bipartite graphs.

\textbf{Theorem 10.} \textit{Let \( G \) be a connected bipartite graph with \( n \geq 3 \) vertices and \( m \) edges and let \( \alpha \) be given by (14). Then}

\[
t(G) \leq \left( \frac{\alpha}{n} \right) \left( \frac{2m - \alpha}{n-2} \right)^{n-2}, \tag{30}
\]

\textit{with equality if and only if} \( G \cong S_n \).

\textbf{Proof.} From (1) and Lemmas 5–7, one can prove (30) in a similar way to the proof of Theorem 1.1 in [16]. \( \square \)

\textbf{Remark 11.} \textit{From Lemma 5, we have} \( \mu_1 \geq \alpha \geq \Delta_1 + 1 \). Then by the proof of Theorem 1.1 in [16], one may conclude that (30) improves (9) for bipartite graphs.

\textbf{Theorem 12.} \textit{Let} \( G \) \textit{be a connected bipartite graph with} \( n \geq 3 \) \textit{vertices,} \( m \) \textit{edges, and first Zagreb index} \( M_1 \) \textit{and let} \( \alpha \) \textit{be given by (14). Then}

\[
t(G) \leq \left( \frac{M_1 + 2m - \alpha^2}{n-2} \right)^{(n-2)/2}, \tag{31}
\]

\textit{with equality if and only if} \( G \cong S_n \).

\textbf{Proof.} From (1) and Lemmas 5–7, the proof of (31) can be easily given in a similar way to the proof of Theorem 1.2 in [16]. \( \square \)

\textbf{Remark 12.} \textit{From Lemma 5, we have} \( \mu_1 \geq \alpha \geq \Delta_1 + 1 \). Then by the proof of Theorem 1.2 in [16], one may conclude that (31) improves (10) for bipartite graphs.

\textbf{Remark 13.} \textit{From Lemma 5, we have} \( \mu_1 \geq \alpha \geq \Delta_1 + 1 \). Then by the proof of Theorem 1.2 in [16], one may conclude that (31) improves (10) for bipartite graphs.

\textbf{Remark 14.} \textit{By using the similar manner in [16], one can easily show that (30) is better than (31). Moreover, if we can obtain a new bound} \( \mu_1 \geq \alpha' \geq \Delta_1 + 1 \), \textit{then we can improve the bounds (30) and (31).}

\textbf{Example 15.} \textit{Let} \( G \) \textit{be a graph with vertex set} \( V(G) = \{v_1, v_2, v_3, v_4, v_5\} \) \textit{and edge set}

\[
E(G) = \{e_1 = v_1 v_2, e_2 = v_1 v_3, e_3 = v_2 v_4, e_4 = v_2 v_5, e_5 = v_4 v_5\}. \tag{32}
\]

\textit{For this graph,} \( t(G) \) \textit{is equal to 3. At rounded three decimal places, the bounds (8), (9), (11), (12), (13), and (19) give} \( t(G) \leq 5.659 \), \( t(G) \leq 6.400 \), \( t(G) \leq 6.250 \), \( t(G) \leq 5.224 \), \( t(G) \leq 5.859 \), \( t(G) \leq 7.200 \), \( t(G) \leq 6.422 \), \textit{and} \( t(G) \leq 5.104 \), \textit{respectively. This shows that the bound (19) is the best among the mentioned upper bounds for} \( t(G) \). \textit{But in general sense, they are not comparable.}

\textbf{Conflict of Interests}

The authors declare that there is no conflict of interests regarding the publication of this paper.

\textbf{Acknowledgments}

The authors are partially supported by TUBITAK and the Office of Selçuk University Research Project (BAP).

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