Modulation of atypical brain activation during executive functioning in autism: a pharmacological MRI study of tianeptine

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Research

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Abstract

Background

Autism spectrum disorder (ASD) is associated with deficits in executive functioning (EF), and these have been suggested to contribute to core as well as co-occurring psychiatric symptoms. The biological basis of these deficits is unknown but may include the serotonergic system, which is involved in both regulating EF in neurotypical populations and in the pathophysiology of ASD. We previously demonstrated that reducing serotonin by acute tryptophan depletion (ATD) 'normalizes' differences in brain function during performance of EF tasks. However, ATD cannot be easily used in the clinic, and we therefore need to adopt alternative approaches to challenge the serotonin system. Hence, we investigated the role of the serotonergic modulator tianeptine on EF networks in ASD.

Method

We conducted a pharmacological magnetic resonance imaging (phMRI) study to compare the effect of an acute dosage of 12.5 mg tianeptine and placebo on brain activation during two EF tasks (of response inhibition and sustained attention) in 38 adult males; 19 with ASD and 19 matched controls.

Results

Under placebo, compared to controls, individuals with ASD had atypical brain activation in response inhibition regions including inferior frontal cortex, premotor regions and cerebellum. During sustained attention, individuals with ASD had decreased brain activation in right middle temporal cortex, right cuneus and left precuneus. Most of the case-control differences in brain function observed under placebo conditions were abolished by tianeptine administration. Also, within ASD individuals, brain functional differences were ‘normalized’ during response inhibition in inferior frontal and premotor cortices.

Limitations

Our sample only consisted of high functioning male adults. Therefore, our findings may not be generalizable to other autistic subgroups (e.g. children or women).

Conclusions

Our findings provide the first evidence that tianeptine can ‘normalize’ atypical brain activation during EF in adults with ASD. Future studies should investigate whether this shift in the biology of ASD is maintained after prolonged treatment with tianeptine; and if it improves clinical symptoms.

Introduction

Autism spectrum disorder (ASD) is a complex, heterogeneous, neurodevelopmental condition with an estimated population prevalence of ~1:68(1). The cognitive phenotype of ASD includes atypical
executive functioning (EF)\(^{(2, 3)}\), which comprises a range of cognitive processes that are necessary for concentrating and paying and/or switching attention\(^{(4)}\). It has been suggested that core ASD symptoms may contribute to alterations in EF, including response inhibition and sustained attention. For example, restricted, stereotyped and repetitive behaviours (RSRBs) have been associated with abnormalities in inhibitory control\(^{(5)}\), which have frequently been reported in ASD\(^{(6)}\). Abnormalities in sustained attention networks are also thought to at least partially underpin both core (e.g. communication)\(^{(7)}\) and associated (e.g. attention deficit hyperactivity disorder (ADHD)) ASD symptoms\(^{(7)}\). Targeting EF networks may therefore be of clinical value in treating core and associated symptoms in ASD.

Brain regions that are activated during EF tasks have been implicated in ASD. For example, functional differences in inferior and orbitofrontal cortex, caudate, thalamus and cerebellum have been reported in children and adults with ASD as compared to typically developing control subjects during response inhibition tasks\(^{(8–10)}\), which has been further confirmed in a recent meta-analysis of functional MRI (fMRI) studies of cognitive control \(^{(11)}\). Also, fMRI studies using sustained attention tasks have reported significantly less activation in children and adults with ASD\(^{(7, 12)}\) in regions associated with sustained attention, including inferior and middle frontal, parietal, striato-thalamic, and cerebellar regions \(^{(13, 14)}\). The biological basis of these differences is unknown, but the serotonergic system may be involved. For example, in neurotypical populations, increasing brain serotonin levels with a selective serotonin reuptake inhibitor (SSRI) \(^{(15)}\) has been shown to improve performance on a response inhibition task; but also to impair sustained attention \(^{(16)}\), and to reduce brain activation in frontal and subcortical attention networks during a vigilance task \(^{(17)}\).

The serotonergic system has also been implicated in the pathophysiology of ASD by prior genetic, biochemical and neuroimaging studies. For example, SLC6A4 (the serotonin transporter gene) has been linked to the diagnosis of ASD\(^{(18)}\) and hyperserotonemia has been observed in approximately 30% of ASD individuals\(^{(19)}\). Neuroimaging studies have reported a significant reduction in cortical 5-HT2A receptor density\(^{(20)}\) and in the binding of the serotonin transporter in adults with ASD\(^{(21)}\). In addition, more recent evidence for the role of serotonin in modulating EF in ASD includes a report that abnormal brain activation during performance of a Go/No-Go task was ‘normalized’ towards control levels after reducing serotonin by acute tryptophan depletion (ATD)\(^{(9)}\). Moreover, the degree of ‘normalization’ correlated with the severity of RSRBs, suggesting a potential treatment application. However, ATD is an experimental procedure that cannot easily be used in a routine clinical setting. Thus, repurposing a drug that reduces serotonin may provide a novel treatment opportunity that could be (relatively) quickly ‘translated’ to the clinic.

Tianeptine has been reported to, among other actions, enhance reuptake of serotonin\(^{(22, 23)}\) and to have cognitive enhancing abilities\(^{(24, 25)}\). Hence, we tested the impact of tianeptine on brain function during an inhibitory and sustained attention tasks in ASD. Based on the study of ATD in adults with ASD\(^{(9)}\) and tianeptine’s effect on cognitive functions, we hypothesized that abnormalities in brain activation during a Go/No-Go task of response inhibition and a sustained attention task, as measured by functional MRI, would be ‘normalized’ in the ASD group after a single dose of tianeptine. We further hypothesized that the
degree of responsivity would be related to severity of core or associated symptoms. Therefore, we aimed to provide proof of concept that a single dose of tianeptine can ‘shift’ atypical brain activation in ASD towards a more typical profile.

**Materials And Methods**

**Participants**

Nineteen male, right-handed adults with ASD and 19 typically developed (TD) control participants were included in the study (age: ASD mean = 30, SD = 11, TD mean = 27, SD = 9). Two ASD cases and 2 TD controls were excluded from the Go/No-Go task due to significant head movement. The sample size was chosen based on results from our prior experiments targeting serotonin modulation using acute tryptophan depletion(9, 26), which were successful in detecting group differences in BOLD response with sample sizes of $n = 14$. This implies an effect size (expressed in Cohen's d) in excess of 1.2(9, 26). Exclusion criteria included medical disorders that could influence cognitive performance, major mental illnesses other than ASD, genetic disorders associated with ASD, alcohol or substance dependence or taking any medication affecting the serotonergic system (e.g. antidepressants, antipsychotics, benzodiazepines or mood stabilizers). The ASD diagnoses were made by a consultant psychiatrists using ICD-10 research criteria(27) and confirmed using the Autism Diagnostic Interview–Revised (ADI-R)(28) if an informant was available. Current autistic symptoms were measured by the Autism Diagnostic Observation Schedule (ADOS)(29). Intelligence was measured by the Wechsler Abbreviated Scale of Intelligence test (WASI)(30). All participants completed baseline self-reported questionnaires of autistic traits (Autism-Spectrum Quotient)(31), obsessionality (Obsessive-Compulsive Inventory-Revised)(32), and current symptoms of ADHD (Barkley Adult ADHD Rating Scale–IV)(33). Symptoms of anxiety and depression were assessed using The Hamilton Rating Scales for Depression(34) and Anxiety(35). All participants gave written, informed consent after receiving a complete description of the study. The study had National Research Ethics approval following review by the Stanmore Ethics Committee, London, United Kingdom.

**Tianeptine administration procedure**

Participants were required to complete two scanning sessions: one after receiving a single dose of 12.5 mg of encapsulated tianeptine and one after receiving a dose of encapsulated placebo (ascorbic acid), in a randomized, double-blind, crossover design. A list of blinding numbers were produced independently and passed directly to the pharmacy in the outpatient department of the Maudsley Hospital, South London & Maudsley NHS Trust, London UK, using a computerised random number generator with blocked randomisation. The pharmacy used these numbers to blind each dose (placebo; tianeptine) as they were encapsulated. Both subject and researcher(s) were blind to dosing throughout data acquisition. The randomisation and encapsulation was conducted according to Good Medical Practice and in accordance with CONSORT & SPIRIT guidelines. Each dose was given to the participant 1 h prior to scanning, as tianeptine reaches its peak plasma level after approximately 1 h(36). There was
a minimum of eight days between the scans to allow for complete washout of the drug \((t\frac{1}{2}=3\, \text{h}; \text{washout} = 5\,t\frac{1}{2}=15\, \text{h})\). All participants received a screening by a medical doctor before and after the administration of both doses.

**Visual analogue scale**

All participants completed self-report visual analogue scale (VAS) questionnaires prior to drug administration and after the MRI scan. Side-effects potentially associated with tianeptine were measured, including palpitations, nausea, dizziness, attentiveness, anxiety and irritability.

**Go/No-Go inhibition fMRI task**

In order to probe the brain’s response inhibition system, participants engaged in a Go/No-Go task (GNG) during each scanning session\((8, 37)\). During this task, participants made either a motor response on a button box to Go signals or inhibited this response to No-Go signals. In this task, arrows appear pointing to either the left or right side of the screen. The participant responds by pressing the left or right button as fast as possible on a diamond-shaped keypad. Infrequently (12%), arrows pointing to the top (No-Go signals) appear. Subjects have to inhibit any motor response to these stimuli. In 12% of trials, slightly slanted arrows pointing left or right appear and subjects have to respond as fast as they can, in the same way as for Go signals. In order to control for the attentional oddball effect due to the low frequency occurrence of the No-Go trials, No-Go responses were compared to successful oddball trials\((8, 37)\).

**Sustained Attention fMRI task**

In order to probe the brain’s sustained attention network system, the Sustained Attention task (SAT) was performed during each scanning session\((7, 12, 13)\). In this task, participants need to respond via a right hand button response as quickly as possible (i.e. within 1 s) to the appearance of a visual timer counting up in milliseconds. When they press the button the counter show their reaction time in milliseconds. The visual stimuli appear either after short, predictable consecutive delays of 0.5 s (260 stimuli in total), in series of 3–5 consecutive stimuli or after unpredictable time delays of 2, 5 or 8 s (20 each), which are pseudo-randomly interspersed into the blocks of 3–5 delays of 0.5 s. The long, infrequent, unpredictable delays place a higher load on sustained attention, as participants have to wait for them to occur and they do not know the exact time when they will occur \((2\, s, 5\, s\, or\, 8\, s)\) - whereas the short, predictable 0.5 s delays appearing in a row are typically anticipated. Participants learn to estimate the 0.5 s and know that there will be several stimuli appearing in a row\((38)\), placing a higher demand on sensorimotor synchronization\((12)\).

We have previously consistently shown with this task that sustained attention networks are activated during the long relative to the short delays with progressively increasing activation in these networks from 2 s to 8 s \((7, 12, 13)\). Here, we only report on the longest delay that elicits the strongest sustained attention activation, i.e. 8 s vs 0.5 s delays.

**Baseline characteristics and task performance statistical analyses**
Statistical tests were performed using the SPSS software (v23.0)(39). T-tests were used to compare baseline characteristics between groups and multivariate analysis of variance (MANOVA) determined any differences in performance and visual analogue scale outcome measures between group and drug conditions. Analysis of variance (ANOVA) was used to compare largest displacement in head movement between group and drug conditions.

For the GNG task the performance measures included: probability of inhibition (main inhibitory measure), mean reaction time to the Go signal (motor execution measure) and mean reaction time to the oddball signal. For the SAT task the performance measures included: coefficient of variation (variation in reaction time during performance of the task adjusted for reaction time, i.e. standard deviation of reaction time divided by reaction time), mean reaction time, premature responses and omission errors.

fMRI image acquisition

All participants were scanned at the Centre for Neuroimaging Sciences, King’s College London, on a 3-Tesla General Electric Signa HD x Twinspeed scanner (Milwaukee, Wisc.), fitted with a quadrature birdcage head coil. For the fMRI, we acquired T2*-weighted volumes (GNG = 260; SAT = 480) on non-adjacent slices (GNG = 37; SAT = 31) parallel to the anterior-posterior commissure. For GNG, imaging parameters were: TE = 30 ms, TR = 1.8 s, flip angle = 73°, slice thickness = 3.0 mm, in-plane voxel-size = 3.75mm$^2$, slice gap = 0.7 mm and matrix size = 64 x 64 voxels. For SAT they were: TE = 30 ms, TR = 1.5 s, flip angle = 68°, slice thickness = 3.0 mm, in-plane voxel-size = 3.75mm$^2$, slice gap = 1.4 mm, and matrix size = 64 x 64 voxels.

Also, a high resolution gradient echo structural scan was sagitally acquired to be used during normalization of the fMRI data into Talairach space. Imaging parameters were: TE = 30 ms, TR = 3 s, flip angle = 90°, 43 slices, slice gap = 0.3 mm, slice thickness = 3.0 mm, matrix size = 128 x 128 voxels.

fMRI image analysis

The fMRI data were analyzed using the XBAM (version 4) software developed at the King’s College London’s Institute of Psychiatry, Psychology and Neuroscience(40). The associated methods are described in brief in this section and in more detail in the supplementary material section. This non-parametric approach minimizes assumptions involved in image processing and has been previously described(26). Within each run, every volume was realigned to the mean of all the images in the run and then smoothed (in native space) using a Gaussian filter (full-width at half-maximum 8.8 mm). Using a wavelet-based resampling method, a time series analysis was conducted on each individual subject, in order to compute a sum of squares (SSQ) ratio reflecting the BOLD effect. SSQ ratio maps were transformed into standard stereotactic space(41) using a two-stage warping procedure(40). First, an average image intensity map for each individual was computed, then warped onto their structural scan. A second stage process then transformed each of these maps from structural space to Talairach space by maximizing the correlation between the images at each stage. The SSQ ratio maps were then transformed into Talairach space using these same two transformations. Group brain activation maps
were computed for each drug condition with hypothesis testing performed at both the voxel and the cluster level. Using data-driven, permutation-based methods, with minimal distributional assumptions, time series analyses were performed for group maps and inter-group random permutation for within/between-group ANOVAs to compute the distribution of the SSQ ratio under the relevant null distribution hypothesis. Thresholding to the required level of significance was then performed using a two-stage process: first at a voxel-wise \( p \)-value of 0.05, followed by grouping the supra-threshold voxels into 3D clusters and testing their significance against a null distribution of clusters occurring by chance in the permuted data. The cluster-wise \( p \)-value can thus be set in such a way as to yield less than one false positive 3D cluster per map. A group brain activation map was produced for each group (TD, ASD) and medication (placebo, tianeptine) status. Finally, all ANOVA analyses were conducted with voxel level \( p < 0.05 \) and a cluster level \( p < 0.02 \) determined as described above.

**Between group analysis of variance and testing for potential normalization effects**

A main effect of group (ASD, TD) analysis was conducted for the placebo condition for both GNG and SAT.

To investigate whether brain activation differences in the ASD group relative to the control group under placebo changed after tianeptine dose in ASD, a main effect of group analysis was conducted in regions showing a main effect of group under placebo, but now comparing the control group on placebo with the ASD group on tianeptine, to test for potential ‘normalization’ effects.

Furthermore, a within ASD effect of drug analysis was conducted, in regions showing a main effect of group, to investigate whether the degree of change in activation in ASD following tianeptine was significant (‘normalized’).

**Group x drug status interaction analysis of variance**

A two-group (ASD, TD) by two-drug status (placebo, tianeptine) factorial repeated-measures ANOVA was conducted for each task. This analysis investigates how the BOLD response changes in brain regions in each group depending on drug status. The cluster-level threshold was adjusted to \( p < 0.02 \), resulting in less than one false-positive cluster per map.

**Correlations between symptomatology, serotonin and change in functional activations**

Pearson’s correlations were conducted in XBAM to investigate any associations between core symptoms (as measured by the ADI-R and ADOS) and differences in BOLD response between tianeptine and placebo conditions (tianeptine–placebo) within ASD, in regions showing a main effect of group during placebo, during both tasks. The SSQ ratio was extracted for each cluster showing a correlation and plotted versus symptomatology. A False Discovery Rate analysis was conducted to account and correct for multiple comparisons.
Results

Baseline characteristics

The groups did not significantly differ in age and IQ. As expected, control subjects scored significantly lower on baseline autistic traits and symptoms of anxiety, obsessionality, depression, inattention (childhood) and hyperactivity (currently and in childhood). There was no significant difference between groups in current inattention scores (see table 1).

Visual analogue scales

Despite baseline group differences in associated symptomatology (see supplementary table 1), multivariate analysis of variance showed no significant difference after placebo or tianeptine intake in both groups on subjective reports of physical and psychological side effect symptoms including palpitations, nausea, dizziness, attentiveness, anxiety, depression and irritability (see supplementary table 1).

fMRI task performance

Go/No-Go task

Multivariate analysis of variance revealed no significant between-group or within-group differences for the probability of inhibition or mean reaction time to the Go or oddball stimuli (see supplementary table 2).

Sustained Attention task

Multivariate analysis of variance revealed significant differences between ASD and TD during both placebo and tianeptine conditions on mean reaction time and intrasubject variability for the 0.5 and 8s delays. For omission errors there was a significant group difference during the placebo (but not tianeptine) condition for the 0.5s delay. For premature responses, there were significant group differences during both drug conditions for the 0.5s delay. Mean reaction time was slower and intrasubject variability higher in ASD compared to controls. Significantly more omission errors and premature responses were observed in ASD. There were no significant within group differences in performance outcome following tianeptine in both groups. However, when comparing control subjects during placebo with ASD cases during tianeptine the group differences of mean reaction time and intrasubject variability for the 8s delay condition were no longer significant (see supplementary table 3).

Movement
Go/No-Go task

Analysis of variance revealed, for largest head displacement in 3-dimensional space, no significant effect of group ($F(1, 64)=1.64; p=0.21$), drug ($F(1, 64)=1.39; p=0.24$) or group x drug interaction ($F(1, 64)=0.003; p=0.95$) (see supplementary table 4).

Sustained Attention task

Analysis of variance revealed, for largest head displacement in 3-dimensional space, no significant effect of group ($F(3, 72)=3.13; p=0.08$), drug ($F(3, 72)=2.00; p=0.16$) or group x drug interaction ($F(3, 72)=0.22; p=0.64$) (see supplementary table 4).

Within group brain activations

Go/No-Go task

The group activation maps for each group and drug status revealed significant activation during successful inhibition (No Go > Oddball) in inhibitory modulating regions including inferior, medial, middle frontal and premotor cortex and cerebellum (see supplementary figure 1 and supplementary table 5-8).

Sustained Attention task

The group activation maps for each group and drug status revealed significant activation during sustained attention (8s > 0.5s) in superior and middle frontal, superior and middle temporal, occipital and pre- and postcentral cortices and cerebellum (see supplementary figure 2 and supplementary table 9-12).

Between group differences in brain activation during placebo and tianeptine

Go/No-Go task

During placebo, subjects with ASD relative to TD showed a decrease in BOLD signal in the right postcentral cortex ($p=0.009$, cluster size= 144 voxels). By contrast, increased activation in ASD compared to TD was observed in the left inferior frontal cortex/ left insula ($p=0.02$, cluster size= 95 voxels), right premotor cortex ($p=0.01$, cluster size= 111 voxels), right cerebellum ($p=0.02$, cluster size= 81 voxels) and right occipital cortex ($p=0.01$, cluster size= 114 voxels) (see figure 1A and table 2).

In order to test for potential ‘normalization’ effects, subjects with ASD after the tianeptine dose were compared to TD individuals after the placebo dose, focusing on regions where between-group differences under placebo were observed. Nearly all between group differences were abolished, leaving only one small increase in activation in subjects with ASD compared to controls in the right lingual cortex ($p = 0.007$, cluster size = 45 voxels) (see figure 1B and table 2). Subsequently, a within ASD analysis was
conducted to investigate the effect of drug in those regions specifically. A significant ‘normalization’ (decrease in brain activation) was observed in the left insula \((p=0.04, \text{ see figure 2})\) and right precentral cortex \((p=0.01, \text{ see figure 2})\).

**Sustained Attention task**

During placebo, subjects with ASD relative to TD showed decreased BOLD signal in the right middle temporal cortex \((p = 0.02, \text{ cluster size} = 212 \text{ voxels})\), right cuneus \((p = 0.001, \text{ cluster size} = 1082 \text{ voxels})\) and left precuneus \((p = 0.009, \text{ cluster size} = 747 \text{ voxels})\) (see figure 1C, table 2).

In order to test potential ‘normalization’ effects, subjects with ASD during tianeptine were compared to TD during placebo focusing on regions where between-group differences under placebo were observed. Nearly all of these between group differences were no longer observed, leaving only one small decrease in activation in subjects with ASD compared to TD in the right cuneus \((p = 0.003, \text{ cluster size} = 385 \text{ voxels})\) (see figure 1D and table 2). Subsequently, a within ASD analysis was conducted to investigate the effect of drug in those regions specifically. None of the regions ‘normalized’ significantly.

**Group by drug interaction effects**

**Go/No-Go task**

There were significant interaction effects of BOLD signal response between drug status (placebo, tianeptine) and group (ASD, TD) in two clusters including; the right rostromedial frontal cortex (extending into anterior cingulate cortex and caudate; \(p = 0.008, \text{ cluster size} = 357 \text{ voxels}\)) and the cerebellum bilaterally (extending into parahippocampal cortex; \(p = 0.009, \text{ cluster size} = 376 \text{ voxels}\)). In the right rostromedial frontal cortex tianeptine decreased BOLD signal in the TD group whereas it increased BOLD signal in ASD. The opposite was observed in the cerebellum (see figure 3A and table 2).

**Sustained Attention task**

There were significant interaction effects of BOLD signal response between drug status (placebo, tianeptine) and group (ASD, TD) in three clusters. These included the right middle temporal cortex \((p = 0.01, \text{ cluster size} = 204 \text{ voxels})\), right thalamus \((p = 0.001, \text{ cluster size} = 502 \text{ voxels})\) and left middle frontal cortex \((p = 0.02, \text{ cluster size} = 180 \text{ voxels})\). In the right middle temporal cortex tianeptine increased BOLD signal in the TD group whereas it decreased BOLD signal in ASD. The opposite pattern was observed in the right thalamus and left middle frontal cortex where tianeptine decreased activation in the TD group and increased it in ASD (see figure 3B and table 2).

**Pearson’s correlations between functional activations and symptomatology within ASD**
Go/No-Go task

Within ASD, we observed correlations between change in brain activation following tianeptine and the severity of RSRBs. The degree of BOLD signal change between tianeptine and placebo correlated positively with severity of RSRBs in the right precentral cortex (r = 0.90, p < 0.001; at baseline ASD < TD, extending from right postcentral cortex) and negatively in the right cerebellum (r = -0.74, p < 0.02, at baseline ASD > TD). Hence, the more severe an individual’s RSRBs scores were at baseline, the more likely their BOLD signal in right precentral cortex and cerebellum would ‘normalize’ after tianeptine.

No correlations were observed between functional activations and other core or associated symptoms (of depression, anxiety or ADHD), within the ASD group. No correlations between functional activations and symptoms were observed in the TD group.

Sustained Attention task

Within ASD, we observed a correlation between brain activation and communication. Under placebo condition, there was a significant negative correlation between BOLD signal in the right cuneus and ADOS communication scores (r = -0.59, p = 0.01).

No correlations were observed between functional activations and other core or associated symptoms (of depression, anxiety, obsessionality and ADHD) or mean reaction time, within the ASD group. No correlations between functional activations and symptoms or mean reaction time were observed in the TD group.

Discussion

To our knowledge, this is the first study to investigate the effect of tianeptine on brain activation (i.e. BOLD signal change) in adult males with ASD. We observed, under placebo condition, atypical brain activation in ASD during successful completion of the GNG, in inferior frontal cortex, premotor regions and in the cerebellum. During completion of the SAT, we observed reduced brain activation in ASD compared to TD in the right middle temporal cortex, right cuneus and left precuneus. Furthermore, within the ASD group, the degree of atypical brain activation in the right cuneus was associated with ASD symptom severity (ADOS communication domain). Also, ASD cases performed significantly worse in SAT, as compared to TD. Following tianeptine exposure, within ASD, most brain-functional differences during both tasks were no longer observed and were ‘normalized’ during response inhibition in the left inferior frontal and right premotor cortices. Further work is required to investigate if ‘normalization’ of brain response during EF tasks is maintained by prolonged tianeptine treatment.

Also, within individuals with ASD we observed correlations between the degree of change in BOLD signal (tianeptine – placebo) during response inhibition in the cerebellum and precentral cortex and the severity of RSRBs. These results are in line with a previous study of adult males with ASD that employed the same scanning paradigm, but decreased brain 5-HT with ATD(9). This study also reported functional
abnormalities in brain activation in inferior frontal cortex and cerebellum. In addition, increased activation in left inferior frontal cortex in ASD has previously been reported during motor response inhibition using the same GNG, during cognitive interference inhibition\(^8\) and consistently in a meta-analysis of cognitive control fMRI studies \(^11\). Taken together, this provides preliminary evidence suggesting that tianeptine affects brain regions associated with RSRBs, though it is unknown if it can successfully treat RSRBs in ASD.

The findings of decreased brain activation during sustained attention in the right middle temporal cortex, right cuneus and left precuneus differ somewhat from previous fMRI studies using the same task in ASD. In these studies decreased brain activation was observed in prefrontal, parietal, temporal, striato-thalamic and cerebellar regions as well as a negative correlation with brain activation and age in left precuneus and right occipital cortex\(^7, 12\). The dissimilarity in findings may be explained by the different age ranges studied. One study only included children (ages: 11-17 years) with ASD\(^12\) while the other study sample consisted of a mixture of children and adults (ages: 11-35 years)\(^7\). Nonetheless, our findings support the suggestion that individuals with ASD have abnormalities in brain activation during sustained attention – although the specific brain regions affected may be age-dependent.

The observed differences in task performance in ASD included slower mean reaction times and larger intrasubject response variability. This is consistent with what has been seen in previous studies in children and adults with ASD during attention tasks\(^7, 42\). Although tianeptine did not significantly change sustained attention performance in both groups, these performance abnormalities in ASD were no longer significant following tianeptine. This is in line with a study that reported improvement in neurocognitive functions in a neurotypical population after 12 weeks of treatment with tianeptine\(^25\).

Further to the main effects of group, the interaction analysis results showed that, during response inhibition, within the control group, tianeptine decreased brain activation in the rostromedial frontal cortex and caudate, whereas in ASD brain activation was increased. In contrast, within the control group, tianeptine increased brain activation in the cerebellum, whereas it decreased cerebellum activation in ASD. This ‘reversal’ of brain activation may reflect altered functionality of frontal-cerebellar networks. Atypical connectivity of white matter within the cerebellum and its mid-brain and cortical projections have been observed in ASD\(^43, 44\). Studies investigating functional connectivity support these findings, suggesting abnormalities in connections between the cerebellum and both motor and non-motor cortical regions \(45, 46\). Furthermore, the interactions found here are similar to previous reports of the impact of ATD on brain function in ASD compared to controls: the same direction of increases and decreases of brain activation was previously reported in the frontal cortex and cerebellum\(^9\). For the sustained attention task, the interaction analysis revealed three significant clusters where tianeptine shifted brain activation in opposite directions in ASD compared to controls. Within the control group, tianeptine increased brain activation in the right middle temporal cortex, whereas in ASD brain activation was decreased. In contrast, within the control group, tianeptine decreased brain activation in the right thalamus and left middle frontal cortex, whereas it increased brain activation in ASD. All these regions have previously been reported to either show abnormal brain activation and/or functional maturation in
ASD during sustained attention(7). In addition, our results are in line with prior imaging studies in ASD(47, 48). This suggests that brain regions implicated in the abnormal neurodevelopmental trajectory of ASD continue to show differences in adulthood, and this may have implications for treatment response. Taken together, our work and that of others suggest that the neuropharmacological mechanism underpinning response to tianeptine is different in ASD as compared to controls; and therefore treatments commonly used in neurotypical populations may not be as ‘translatable’ to individuals with ASD as currently assumed. Moreover, recent published treatment guidelines in ASD reported that evidence for the effectiveness of selective serotonin reuptake inhibitors (SSRIs) is limited(49). Thus, pharmacological interventions affecting the serotonergic system need to be tested specifically in ASD as similar results to those found in neurotypical populations cannot be assumed.

Whether the impact of both ATD and tianeptine on abnormal brain activation in ASD is explained by the same neurochemical pathway - the serotonergic system - is unknown. Tianeptine was initially considered to be a selective serotonin reuptake enhancer (SSRE) as its acute and long-term administration decreased extracellular 5-HT levels in the brain stem, striatum, cerebral cortex and hippocampus in rats(22, 23). Later studies, however, contradicted these findings - albeit this discrepancy may be explained by technical differences in the micro dialysis techniques employed. Nevertheless, recent evidence in humans demonstrates a reduction in plasma serotonin and increase in platelet serotonin following acute administration of tianeptine, consistent with the effect of enhanced serotonin reuptake(50). In addition, tianeptine has also been shown to; 1) regulate stress-induced glutamate release, 2) modulate plasticity in the amygdala; 3) reverse stress-induced hippocampal dystrophy(51); and 4) be a μ-opioid receptor agonist(52). Given that we only investigated the brain response after a single dose of tianeptine, it is unlikely that our results are due to modulating plasticity or the reversal of dystrophy. However, we cannot rule out the possibility that our results may be partially explained by modulation of the glutamatergic and μ-opioid systems. For example, the glutamatergic system has been widely reported to be abnormal in ASD(53). Also, alterations in the μ-opioid system have been proposed to contribute to ASD(54). Hence, future studies are required to investigate which of the proposed mechanisms underlie the reported modulating effect.

Limitations

We only included high functioning male adults. We therefore cannot generalize our findings to children, females or individuals with intellectual disability. Also, we used a single dose of tianeptine and so we cannot comment on long-term outcome. Nevertheless, this study does provide a first necessary proof of concept for a potential treatment targeting ASD symptomatology. Last, we did not find differences in performance outcome between groups for the GNG. A recent study using a much larger sample (201 ASD cases and 240 controls) employed online GNG and reported deficits in response inhibition that was associated with diagnosis and autistic traits(6). In contrast, lack of performance difference was reported in a similarly sized fMRI study using the GNG (9). Hence, our sample size may be underpowered to detect behavioural data differences. However, the sample size was large enough to detect brain activation...
differences, which have previously been reported to be more sensitive to drug effects than behaviour, including in fMRI studies of ASD(9, 55).

**Conclusions**

We report that tianeptine can abolish most case-control differences in brain function during EF tasks; and within ASD it can ‘normalize’ brain activation deficits associated with RSRB. This suggests a potential utility of tianeptine for targeting core or associated symptoms in ASD. Hence, future trials should investigate whether the shift in brain activation we discovered following a single dosage of tianeptine is maintained after prolonged treatment, and whether this is associated with response to treatment.

**Abbreviations**

Autism spectrum disorder (ASD), executive functioning (EF), restricted, stereotyped and repetitive behaviours (RSRBs), attention deficit hyperactivity disorder (ADHD), functional MRI (fMRI), selective serotonin reuptake inhibitor (SSRI), acute tryptophan depletion (ATD), typically developed control participants (TD), Autism Diagnostic Interview Revised (ADI-R), Autism Diagnostic Observation Schedule (ADOS), Wechsler Abbreviated Scale of Intelligence test (WASI), visual analogue scale (VAS), Go/No-Go task (GNG), Sustained Attention task (SAT), multivariate analysis of variance (MANOVA), Analysis of variance (ANOVA), sum of squares (SSQ), selective serotonin reuptake enhancer (SSRE).

**Declarations**

**Ethics approval and consent to participate**

All participants gave written, informed consent to participate in the study after receiving a complete description of the study. The study had National Research Ethics approval following review by the Stanmore Ethics Committee, London, United Kingdom (14/LO/0663).

**Consent for publication**

This manuscript does not contain any individual's data, so therefore this is not applicable.

**Availability of data and materials**

The dataset used and/or analyzed during the current study are available from the corresponding author on reasonable request.

**Competing interests**
Professor K. Rubia has received speaker's honoraria from Shire and Medice and grants from Lilly and Shire. The other authors report no financial relationships with commercial interests.

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**Authors’ contributions**

RW collected, analysed and interpreted the data, and was the major contributor in writing the manuscript. JF collected, analysed and interpreted the data. AJ analysed and interpreted the data. VG interpreted the data. VS, DM, CM, and SB collected the data. GM, CE, KR, DM and ED interpreted the data. DM and ED were major contributors in writing the manuscript. All authors read and approved the final manuscript.

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Tables

Table 1: Subjects characteristics
|                      | ASD \((n=19)\) | TD \((n=19)\) | \(t\)-test \(p\)-value |
|----------------------|----------------|--------------|------------------------|
| Age                  | 30 ± 11 (19 - 50) | 27 ± 9 (19 - 52) | 0.3                   |
| IQ                   | 113 ± 14 (79 - 139) | 115 ± 10 (88 - 130) | 0.7                   |
| ADI-R - Communication| 17 ± 9          | -            |                        |
| ADI-R - Social Interaction| 14 ± 8      | -            |                        |
| ADI-R - Repetitive Behaviour| 5 ± 2      | -            |                        |
| ADOS - Communication | 3 ± 2          | -            |                        |
| ADOS - Social Interaction| 6 ± 2      | -            |                        |
| AQ                   | 31 ± 11         | 12 ± 7       | <0.001***              |
| HAM-D                | 6 ± 4           | 2 ± 3        | 0.001**                |
| HAM-A                | 8 ± 6           | 3 ± 4        | 0.003**                |
| OCI-R                | 23 ± 13         | 8 ± 9        | <0.001***              |
| GAD-7                | 7 ± 5           | 3 ± 3        | 0.01*                  |
| Barkley Inattention Childhood Self | 3.3 ± 3.0 | 0.7 ± 1.2 | 0.002**               |
| Barkley Hyperactivity Childhood Self | 3.7 ± 2.9 | 1.1 ± 1.9 | 0.004**               |
| Barkley Inattention Currently Self | 1.4 ± 1.8 | 0.7 ± 1.5 | 0.2                  |
| Barkley Hyperactivity Currently Self | 1.2 ± 1.3 | 0.4 ± 0.8 | 0.004**               |

Data in table is shown as mean ± standard deviation (range) \((n=\text{number of participants})\). \(n=19\) for Sustained Attention task and \(n=17\) for Go/No-Go task, which did not significantly affect between-group differences in baseline characteristics, platelet rich plasma serotonin (PRP 5-HT) levels or visual analogue scale measures. Between group \(t\)-test: \(*=p<0.05; \text{**}=p<0.01; \text{***}=p<0.001\); Abbreviations: TD, typically developed controls; ASD, individuals with autism spectrum disorder; ADOS, Autism Diagnostic Observation Scale; ADI-R, Autism Diagnostic Interview-Revised; AQ, Autism Quotient; HAM-D, Hamilton Depression Rating Scale; HAM-A, Hamilton Anxiety Rating Scale; OCI-R, Obsessive-Compulsive Inventory Revised; GAD-7, Generalized Anxiety Disorder Assessment.

**Table 2 Anatomical location and statistics for BOLD activation**
| Region                                                  | X   | Y   | Z   | Cluster p-value | Cluster size |
|---------------------------------------------------------|-----|-----|-----|-----------------|--------------|
| **GO/NO-GO task (n = 17 for both groups)**              |     |     |     |                 |              |
| **ASD placebo vs TD placebo**                          |     |     |     |                 |              |
| *ASD < TD (blue)*                                       |     |     |     |                 |              |
| Right Postcentral Cortex                                | 58  | -19 | 33  | 0.009           | 144          |
| *ASD > TD (red)*                                        |     |     |     |                 |              |
| Right Cerebellum                                        | 29  | -67 | -40 | 0.02            | 81           |
| Right Occipital Cortex                                  | 11  | -96 | -7  | 0.01            | 114          |
| Left Inferior Frontal Cortex/Left Insula                | -40 | 19  | 13  | 0.02            | 95           |
| Right Premotorl Cortex                                  | 43  | -7  | 50  | 0.01            | 111          |
| **ASD tianeptine vs TD placebo**                        |     |     |     |                 |              |
| *ASD < TD (blue)*                                       |     |     |     |                 |              |
| Right Lingual Cortex                                    | 11  | -100| -3  | 0.007           | 45           |
| **Interaction of drug status (placebo, tianeptine) by group (ASD, TD)** |     |     |     |                 |              |
| Cerebellum bilaterally/Limbic area                      | 25  | -19 | -20 | 0.008           | 357          |
| Right Rostromedial Frontal Cortex/Caudate/Cingulate     | 29  | 59  | 7   | 0.009           | 376          |
| **SUSTAINED ATTENTION task (n = 19 for both groups)**  |     |     |     |                 |              |
| **TD placebo vs ASD placebo**                          |     |     |     |                 |              |
| *ASD < TD (blue)*                                       |     |     |     |                 |              |
| Right Middle Temporal Cortex                            | 61  | -7  | -7  | 0.02            | 212          |
| Right Cuneus                                            | 14  | -93 | 3   | 0.0008          | 1082         |
| Left Precuneus                                          | -4  | -63 | 46  | 0.009           | 747          |
| **TD placebo vs ASD tianeptine**                        |     |     |     |                 |              |
| *ASD < TD (blue)*                                       |     |     |     |                 |              |
| Right Cuneus                                            | 14  | -93 | 3   | 0.003           | 385          |
| **Interaction of drug status (placebo, tianeptine) by group (ASD, TD)** |     |     |     |                 |              |
Right Middle Temporal Cortex  |  40  |  -56  |  10  |  0.01  |  204  
Right Thalamus  |  7  |  -11  |  7  |  0.001  |  502  
Left Middle Frontal Cortex  |  -51  |  4  |  46  |  0.02  |  180  

x, y, z = Peak Talairach coordinates. Abbreviations: BOLD, blood-oxygen-level dependent; ASD, Individuals with Autism Spectrum Disorder; TD, Typically Developed Controls.

**Figures**

**Figure 1**

Brain activation map showing abnormally activated regions during response inhibition and sustained attention in ASD that were no longer observed following tianeptine administration; p<0.02 at cluster level. Location of BOLD signal changes between groups. Red: ASD>TD; Blue: ASD<TD. Numeric label = z.
Talairach coordinate. Right hemisphere of brain is on the right side of the image. Abbreviations: BOLD, blood-oxygen-level dependent; ASD, Individuals with Autism Spectrum Disorder; TD, Typically Developed Controls.

**Figure 2**

Significant decrease ('normalization') in brain activation in left inferior frontal and right precentral cortices within ASD following tianeptine administration. Abbreviations: SSQ, sum of squares (statistical measure of BOLD response); *= p<0.05.
Figure 3

Interaction of drug status (placebo, tianeptine) by group (ASD, TD) during EF; p<0.02 at cluster level. Location of BOLD signal for ANOVA interaction. Numeric label = z Talairach coordinate. Box plots: Mean BOLD signal extracted from each interaction cluster. Right hemisphere of brain is on the right side of the image. Abbreviations: SSQ, sum of squares fMRI statistic; BOLD, blood-oxygen-level dependent; ASD, Individuals with Autism Spectrum Disorder; TD, Typically Developed Controls.

Supplementary Files

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- Supplementarymaterial.docx