Entropy bound of a charged object and electrostatic self-energy in black holes

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Abstract

Without pretending to any rigour, we find a general expression of the electrostatic self-energy in static black holes with spherical symmetry. We determine the entropy bound of a charged object by assuming the existence of thermodynamics for these black holes. By combining these two results, we show that the entropy bound does not depend on the considered black hole.

1 Introduction

By arguing from the generalized second law of thermodynamics for the Schwarzschild black hole, Bekenstein [1] conjectured in 1981 the existence of an upper bound on the entropy of any neutral object. This derivation was immediately criticized by Unruh and Wald [2] which point out that quantum effects produce a buoyancy force on the box containing the matter since this one is accelerated and, as a consequence, the generalized entropy always increases even if the entropy bound is not verified. Recently, Bekenstein and Mayo [3], Hod [4] and then Linet [5] derived an upper bound on the entropy of any charged object, initially found by Zaslavskii [6] in another context, by requiring the validity of thermodynamics of Reissner-Nordström or Kerr-Newman black holes linearized with respect to the electric charge. In this proof, it is essential to take into account the electrostatic self-energy of the charged object in the Schwarzschild or Kerr black holes. As in the neutral case, Shimomura and Mukohyama [7] criticized this derivation for the same reasons.

In this paper, we are not going to discuss these criticisms but we give understanding of the relation between the entropy bound of a charged object, as obtained in the original method of Bekenstein, and the electrostatic self-energy in static black holes with spherical symmetry. We suppose that the electromagnetic field is a test field minimally coupled to the

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metric. Without pretending to any rigour, we find a general expression of the electrostatic self-energy in these black holes. Then, we assume the existence of thermodynamics for such black holes. In the neutral case, we obtain immediately the upper bound on the entropy of the object. In the charged case, we obtain an entropy bound which is independent on the choice of the black hole thanks to the found expression of the electrostatic self-energy.

The plan of the work is as follows. In section 2, we recall basic definitions about the static black holes with spherical symmetry. We study the electrostatics in these black holes in section 3. The purpose of this section is to conjecture the general expression of the electrostatic self-energy. The derivation of the entropy bound is fulfilled in section 4. We add in section 5 some concluding remarks.

2 Static black holes with spherical symmetry

We consider a static black hole with spherical symmetry which contains for example a $U(1)$-field $A_\mu^Q$ with charge $Q$ and eventually other fields having no charge. The spacetime is asymptotically flat. The black hole is characterized by its mass $m$ and for instance the parameter $Q$. In the notations of Visser [8], there exists a coordinate system $(t, r, \theta, \varphi)$ in which the metric can be written as

$$ds^2 = -e^{-2\phi(r)} \left(1 - \frac{b(r)}{r}\right) dt^2 + \left(1 - \frac{b(r)}{r}\right)^{-1} dr^2 + r^2 d\theta^2 + r^2 \sin^2 \theta d\varphi^2 \quad (1)$$

where $b$ and $\phi$ are two functions of the radial coordinate $r$ with the condition $\phi(\infty) = 0$. The horizon $r = r_H$ is defined by the equation $b(r_H) = r_H$ with the assumptions that $\phi$ and its derivative are finite at $r = r_H$. So, metric (1) is valid for $r > r_H$. The quantity $b(\infty)/2$ is the mass $m$ of the black hole.

Since there exists a Killing horizon $\mathcal{H}$ at $r = r_H$, we have the generalization of the Smarr formula [8]

$$m = \frac{\kappa A}{4\pi} - \frac{1}{4\pi} \int_\Sigma dS_\mu R_\mu^\nu \xi^\nu \quad (2)$$

where $\xi^\nu$ is the timelike Killing vector and $\Sigma$ a spacelike hypersurface, which starts from $\mathcal{H}$ to reach the spatial infinity, with the surface element $dS_\mu$. $A$ is the area $4\pi r_H^2$ of the horizon $\mathcal{H}$. The quantity $\kappa$ is the surface gravity of the horizon defined by $\xi^\alpha \nabla_\alpha \xi^\beta = \kappa \xi^\beta$ at $r = r_H$. For metric (1), this latter definition gives the expression

$$\kappa = \frac{e^{-\phi(r_H)}}{2r_H} \left(1 - \frac{db}{dr}(r_H)\right) \quad (3)$$

which would be a function of $m$ and $Q$. It will hereafter be assumed that $b'(r_H) < 1$ to get $\kappa > 0$.

For a black hole in any gravitational field theory, it is possible in general to define a function $S_{bh}$ of $m$ and $Q$ which satisfies the first law of the black hole mechanics [10, 11, 12].
Discarding a variation of $Q$, we limit ourselves to consider the first law

$$dm = \frac{\kappa}{2\pi} dS_{bh} \quad \text{when} \quad dQ = 0. \quad (4)$$

Within the Euclidean approach in quantum field theory at finite temperature \[15\], it is clear that $\kappa/2\pi$ is the Hawking temperature. So, $S_{bh}$ is well interpreted as the entropy of the black hole. In general, $S_{bh} \neq A/4$. In the system containing a black hole and matter with entropy $S$, we assume the generalized second law of thermodynamics

$$dS_{bh} + dS \geq 0. \quad (5)$$

Metric (1) can support an electrostatic test field with spherical symmetry corresponding to an electric charge $q$ inside the horizon such that $|q| \ll m$ and $|q| \ll |Q|$. The electromagnetic potential $A_\mu$, distinct from $A_\mu^{(Q)}$, has only a non-vanishing component $A_0$ which satisfies the electrostatic equation $\partial_r (r^2 e^{\phi(r)} \partial_r A_0) = 0$ for $r > r_H$. From this and the Gauss theorem, we obtain the solution

$$A_0(r) = qa(r) \quad \text{with} \quad a(r) = \int_r^\infty \frac{e^{-\phi(r)} dr}{r^2} \quad (6)$$

whose electric field has a regular behaviour at the horizon. We denote $a_H = a(r_H)$.

We admit that a charged black hole with parameters $m$, $Q$ and electric charge $q$ exists since the electrostatic test field $qa$ is regular at the horizon of metric (1). The variation of the mass $dm$ of the solution to another solution resulting from a small amount $dq$ is given by

$$dm = \frac{\kappa}{2\pi} dS_{bh} + qa_H dq \quad \text{when} \quad dQ = 0 \quad (7)$$

where $qa_H dq$ represents the electrostatic energy at the horizon of the charge $dq$ in the exterior field $qa$. We can rewrite first law (7) under the useful form

$$dS_{bh} = \frac{2\pi}{\kappa} dm - \frac{2\pi}{\kappa} qa_H dq \quad \text{when} \quad dQ = 0. \quad (8)$$

We now give the example of the Reissner-Nordström black hole for a $U(1)$-field $A_\mu^{(Q)}$ minimally coupled. It is described by metric (1) with

$$b^{(RN)}(r) = 2M - \frac{Q^2}{r} \quad \text{and} \quad \phi^{(RN)}(r) = 0. \quad (9)$$

Its mass $m$ is $M$. The horizon is located at $r_H = r_+ = M + \sqrt{M^2 - Q^2}$. The entropy $S_{bh}$ is $4\pi r_H^2$ and the surface gravity is

$$\kappa^{(RN)} = \frac{\sqrt{M^2 - Q^2}}{(M + \sqrt{M^2 - Q^2})^2}. \quad (10)$$

The electrostatic potential $a$ is $1/r$ and consequently $a_H = 1/r_H$. 

3
3 Electrostatics in black holes

We consider an electric test charge $e$ held fixed in metric (1), satisfying $|e| \ll m$ and $|e| \ll |Q|$. Since $e$ and $Q$ are two different types of local charge, we can linearly determine the electrostatic field generated by the charge $e$ without taking into account the backreaction. For a point charge $e$ located at $r = r_0$, $\theta = \theta_0$ and $\varphi = \varphi_0$, the electrostatic potential $V$ obeys the electrostatic equation

$$\frac{\partial}{\partial r} \left( r^2 e^{\phi(r)} \frac{\partial}{\partial r} V \right) + e^{\phi(r)} \left( 1 - \frac{b(r)}{r} \right)^{-1} \left[ \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial}{\partial \theta} V \right) + \frac{1}{\sin^2 \theta} \frac{\partial^2}{\partial \phi^2} V \right] = -4\pi e \delta(r - r_0) \delta(\cos \theta - \cos \theta_0) \delta(\varphi - \varphi).$$

(11)

The physical solution to equation (11) must have a regular behaviour at the horizon and no electric flux through the sphere of radius $r_H$. The electric flux $F_{rH}[V]$ for $R > r_H$ has to have the value

$$F_{rH}[V] = -\int_{r=R} \frac{r^2 e^{\phi(r)} \partial_r V \sin \theta d\theta d\phi}{4\pi e} = \begin{cases} 4\pi e & R > r_0 \\ 0 & R < r_0. \end{cases}$$

(12)

Moreover, we must add the exterior potential $qa$ to the potential $V$.

The solution to equation (11) is expressed as the sum of the elementary solution in the Hadamard sense, denoted $V_C$, and a homogeneous solution. By virtue of the spherical symmetry of metric (1), we induce that the homogeneous term is proportional to $a$. Moreover, the operator in equation (11) is self-adjoint and consequently the expression of $V$ is symmetric in $r$ and $r_0$. Thus, $V$ has necessarily the form

$$V = V_C(r, r_0, \theta, \phi) + sea(r_0)a(r).$$

(13)

The potential $V_C$ has the Coulombian form at the neighbourhood of the point charge $e$. The parameter $s$, having the dimension of length, is to be determined in function of $m$ and $Q$ by taking into account the global condition (12).

We now turn to the example of the Reissner-Nordström metric characterized by $M$ and $Q$. The electrostatic potential $V$ generated by an electric charge $e$ at $r = r_0$ and $\theta = 0$ has already determined in closed form [16]

$$V^{(RN)}(r, \theta, \phi) = V_C^{(RN)}(r, \theta, \phi) + \frac{eM}{rr_0},$$

(14)

where $V_C^{(RN)}$ has the expression

$$V_C^{(RN)} = \frac{e}{rr_0} \frac{(r - M)(r_0 - M) - (M^2 - Q^2) \cos \theta}{[(r - M)^2 + (r_0 - M)^2 - 2(r - M)(r_0 - M) \cos \theta - (M^2 - Q^2) \sin^2 \theta]^{1/2}}$$

(15)

having a singularity at $r = r_0$ and $\theta = 0$. It should be a multiple of the elementary solution derived in isotropic coordinates by Copson [17]. The electric flux $F_{rH}[V_C^{(RN)}]$ through the sphere of radius $r_H$ is

$$F_{rH}[V_C^{(RN)}] = -4\pi e \frac{M}{r_0}$$

(16)
in accordance with the introduction of the homogeneous term into solution (14). In the Reissner-Nordström black hole, we see that \( s = M \).

In general, it is not possible to find an exact solution to equation (11). However, we are going to consider two cases in which we can determine the parameter \( s \) appearing in form (13).

### 3.1 Analysis near of the horizon in the case \( \phi = 0 \)

In the case of metric (1) with \( \phi = 0 \), the electrostatic equation (11) can be written near the horizon as

\[
\frac{\partial}{\partial r} \left( r^2 \frac{\partial}{\partial r} V \right) + \frac{r_H}{(1 - b'(r_H))(r - r_H)} \left[ \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial}{\partial \theta} V \right) \frac{1}{\sin^2 \theta} \frac{\partial^2}{\partial \varphi^2} V \right] \\
\approx -4\pi e \delta(r - r_0) \delta(\cos \theta - \cos \theta_0) \delta(\varphi - \varphi_0) \tag{17}
\]

for \( r \) and \( r_0 \) near \( r_H \). In the other hand, the electrostatic equation in a Reissner-Nordström black hole is

\[
\frac{\partial}{\partial r} \left( r^2 \frac{\partial}{\partial r} V^{(RN)} \right) + \frac{r^2}{(r-r_-)(r-r_+)} \left[ \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial}{\partial \theta} V^{(RN)} \right) + \frac{1}{\sin^2 \theta} \frac{\partial^2}{\partial \varphi^2} V^{(RN)} \right] \\
= -4\pi e \delta(r - r_0) \delta(\cos \theta - \cos \theta_0) \delta(\varphi - \varphi_0). \tag{18}
\]

Near \( r = r_+ \), equation (18) coincides with equation (17) if the parameters \( M \) and \( Q \) of the Reissner-Nordström metric are such that

\[
r_H = r_+(M, Q) \quad \text{and} \quad 1 - \frac{db}{dr}(r_H) = \frac{2\sqrt{M^2 - Q^2}}{r_+(M, Q)}. \tag{19}
\]

The elementary solution in the Hadamard sense is uniquely determined in this neighbourhood and therefore we allow \( V_C \approx V^{(RN)}_C \) for \( r \) and \( r_0 \) near \( r_H \) to be true. Hence from result (16), we deduce

\[
\mathcal{F}_{r_H}[V_C] \approx -4\pi e \frac{M}{r_0} \tag{20}
\]

where \( M \) will be determined from (19). In the limit where \( r_0 \to r_H \), we get

\[
\mathcal{F}_{r_H}[V_C] = -4\pi e \left[ 1 - \frac{1}{2} \left( 1 - \frac{db}{dr}(r_H) \right) \right]. \tag{21}
\]

We are now in a position to determine the parameter \( s \) in form (13) for a black hole with \( \phi = 0 \) since \( V \) must further satisfy the global condition (12). Taking into account the electric flux (21), we obtain \( s \) under the form

\[
s = \frac{1}{a_H} (1 - \kappa r_H) \tag{22}
\]

where \( \kappa \) is given by (3) with \( \phi = 0 \). We notice that \( a_H = 1/r_H \).
3.2 A particular black hole with $\phi \neq 0$

It is desirable to adopt a metric which allows us to find an exact solution to the electrostatic equation (11) in the case $\phi \neq 0$, without referring to any gravitational theory of gravity. A trivial example is a metric which is conformal to a Reissner-Nordström metric. Since equation (11), $\kappa$ and $a_H$ are conformally invariant then we find again formula (22).

In order to study a non-trivial case, we put the following metric

$$ds^2 = -\left(1 - \frac{\alpha}{R}\right)^2 \left(1 - \frac{2m}{R}\right) dt^2 + \left(1 - \frac{2m}{R}\right)^{-1} dR^2 + R^2 \left(1 - \frac{\alpha}{R}\right) (d\theta^2 + \sin^2 \theta d\varphi^2)$$

(23)

in the coordinate system $(t, R, \theta, \varphi)$. We take $0 < \alpha < 2m$. Metric (23) is defined for $R > 2m$ and $R = 2m$ is a horizon. So, metric (23) describes a black hole. We can easily go to form (1) of the metric by performing the change of radial coordinate $r = \sqrt{R(R - \alpha)}$ and we verify that $\phi \neq 0$.

The electrostatic potential $V^{(P)}$ due to an electric charge $e$ located at $(R_0, \theta_0, \varphi_0)$ is governed by the equation

$$\frac{\partial}{\partial R} \left[ (R - \alpha)^2 \frac{\partial V^{(P)}}{\partial R} \right] + \frac{R - \alpha}{R - 2m} \left[ \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial V^{(P)}}{\partial \theta} \right) \right] + \frac{1}{\sin^2 \theta} \frac{\partial^2 V^{(P)}}{\partial \varphi^2} = -4\pi e \delta(r - r_0) \delta(\cos \theta - \cos \theta_0) \delta(\varphi - \varphi_0).$$

(24)

We define a function $X$ by setting $V^{(P)} = XR/(R - \alpha)$. From (24), we obtain

$$\frac{\partial^2}{\partial R^2} X + \frac{2}{R} \frac{\partial}{\partial R} X + \frac{1}{(R - \alpha)(R - 2m)} \left[ \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial X}{\partial \theta} \right) \right] + \frac{1}{\sin^2 \theta} \frac{\partial^2 X}{\partial \varphi^2} = -4\pi e \frac{r_0}{R_0(R_0 - \alpha)} \delta(r - r_0) \delta(\cos \theta - \cos \theta_0) \delta(\varphi - \varphi_0)$$

(25)

which coincides with equation (18). So, the elementary solution in the Hadamard sense to equation (24) is

$$V_C^{(P)} = \frac{R}{R - \alpha} \frac{R_0}{R_0 - \alpha} V_C^{(RN)}(R, \theta, \varphi)$$

(26)

for the parameters $M$ and $Q$ of the Reissner-Nordström background such that

$$2m + \alpha = 2M \quad \text{and} \quad 2m\alpha = Q^2.$$  

(27)

The electric flux at the infinity of $V_C^{(P)}$ can be calculated from expression (15) of $V_C^{(RN)}$

$$\mathcal{F}_\infty[V_C^{(P)}] = 4\pi e \frac{R_0}{R_0 - \alpha}.$$  

(28)
In the case of metric (23), the electrostatic potential $a$ given by (6) has the expression $1/(R - \alpha)$. By substracting $4\pi e$ from (28), we find thereby $s = M - \alpha$. With the value of $M$ deduced from (27), we therefore have the expression of the parameter $s$

$$s = m - \frac{\alpha}{2}.$$  \hspace{1cm} (29)

The surface gravity $\kappa$ can be calculated by using the radial coordinate $R$ and we find

$$\kappa^{(P)} = \frac{1}{2(2m - \alpha)}.$$  \hspace{1cm} (30)

We have $a_H = 1/(2m - \alpha)$ and so $\kappa^{(P)}/a_H = 1/2$.

From general result (22) for black holes in the case $\phi = 0$ and this particular case (29) with $\phi \neq 0$, we conjecture that the parameter $s$ can be expressed in terms of $a_H$ and $\kappa$ by the formula

$$s = \frac{1}{a_H} \left( 1 - \frac{\kappa}{a_H} \right).$$  \hspace{1cm} (31)

4 Entropy bound of a charged object

We now consider a charged object with a mass $\epsilon$, an electric charge $e$ and a radius $\ell$ located at the position $(r_0, \theta_0, \varphi_0)$ in metric (1) characterized by the parameters $m$ and $Q$. We suppose that this object has its own gravitational field negligible, i.e. $\epsilon \ll m$ and $\epsilon \ll |Q|$ and that its electric field satisfies the conditions of validity for a test field mentioned in the previous section. The energy $\mathcal{E}$ of the charged object is the sum of the energy obtained by integrating on the energy-momentum of matter and the energy of the electromagnetic field.

The energy of the electrostatic field $V + qa$ in the background metric is given by

$$W_{em} = -\frac{q}{4\pi} \int \sqrt{-g} g^{00} g^{ij} \partial_i V \partial_j a d\rho d\theta d\varphi$$

$$-\frac{1}{8\pi} \int \sqrt{-g} g^{00} g^{ij} \partial_i V \partial_j V d\rho d\theta d\varphi$$  \hspace{1cm} (32)

which $V$ is governed by (14) with the global condition (12). The first term in expression (32) is the electrostatic energy $W_{\text{elect}}$ of the charge $e$ in the exterior field $qa$. By performing an integration by part, we obtain

$$W_{\text{elect}} = q e a(r_0)$$  \hspace{1cm} (33)

since the integral on the sphere of radius $r_H$ vanishes according to (12). The second term in expression (32) is infinite for a point charge. The divergence has a Coulombian type resulting from the part $V_C$ of the potential $V$ expressed in form (13). It should be incorporated at the mass of the charge. Nevertheless, it remains a finite part from the homogeneous term which leads to the electrostatic self-energy $W_{\text{self}}$

$$W_{\text{self}} = \frac{1}{2} e^2 s^2 (a(r_0))^2.$$  \hspace{1cm} (34)
With formulas (33) and (34), we obtain
\[ E = \epsilon \sqrt{-g_{00}(r_0)} + qea(r_0) + \frac{1}{2} e^2 s[a(r_0)]^2. \]  

(35)

The last state in which the charged object is just outside the horizon is defined by the position \( r_0 \) which is related to \( \ell \) by the formula
\[ \ell \sim 2(r_0 - r_H)^{1/2}r_H^{1/2} \frac{1}{[1 - b'(r_H)]^{1/2}} \]  

(36)

by assuming that the proper radial distance \( \ell \) is very small. Its energy \( E_{last} \) has expression (35) calculated for \( r_0 \) determined by (36). We find thereby
\[ E_{last} \sim \kappa \epsilon \ell + qe a_H + \frac{1}{2} s e^2 a_H^2. \]  

(37)

We are now in a position to use the original method of Bekenstein for finding the entropy bound for the entropy \( S \) of this object. We consider in fact this charged object in the black holes defined by metric (1), characterized by \( m \) and \( Q \), plus the electrostatic test field \( qa \), characterized by the electric charge \( q \). To obtain the expression of \( S_{bh}(m, Q, q) \) of the charged black hole linearized with respect to \( q^2 \), we perform the integration of the first law (8) under the form

\[ S_{bh}(m, Q, q) \approx S_{bh}(m, Q) - \pi \kappa a_H q^2. \]  

(38)

The generalized entropy of the state just outside the horizon is \( S_{bh}(m, Q, q) + S \). When the charged object falls in the horizon, the final state is a black hole with the new parameters
\[ m_f = m + E_{last} \quad q_f = q + e \quad \text{and} \quad Q_f = Q. \]  

(39)

However, the entropy reduces to \( S_{bh}(m_f, Q_f, q_f) \) in this final state. Now, we write down the generalized second law (8) of thermodynamics
\[ S_{bh}(m_f, Q_f, q_f) \geq S_{bh}(m, Q, q) + S. \]  

(40)

The increase of entropy linear in \( E_{last} \) can be calculated from the first law (8). However, we want to keep the terms in \( e^2 \) and this is why we use the linearized expression (38). We obtain
\[ dS_{bh} = \frac{\pi}{\kappa} \left( 2E_{last} - e^2 a_H - 2eq a_H \right). \]  

(41)

By inserting (37) into (41), we thus have
\[ dS_{bh} = 2 \pi \epsilon \ell + \pi e^2 (sa_H - 1)a_H \frac{1}{\kappa}. \]  

(42)

With the help of (12), the generalized second law (11) gives the desired entropy bound of the charged object
\[ S \leq 2 \pi \epsilon \ell + \pi e^2 (sa_H - 1)a_H \frac{1}{\kappa}. \]  

(43)
which seems to be dependent on the parameters of the considered black hole.

With our conjecture (31) on the value of $s$, we obtain the entropy bound

\[ S \leq 2\pi \left( \epsilon \ell - \frac{1}{2} e^2 \right) \]  

(44)

already derived from thermodynamics of the Schwarzschild black hole.

5 Conclusion

We have assumed the existence of thermodynamics of static black holes with spherical symmetry but fortunately without having has to know the expression of the entropy $S_{bh}$ in terms of the parameters of the black holes. Then, we have found the upper bound (43) on the entropy of a charged object which is dependent on the expression of the electrostatic self-energy. In the neutral case, it reduces immediately to the usual entropy bound [1].

The crucial point is the determination of the electrostatic self-energy (54), i.e. the value of the parameter $s$ appearing in form (13). We have obtained very strong indications that $s$ can be expressed in terms of the surface gravity $\kappa$ and the value $a_H$ of the electrostatic potential at the horizon. We have found formula (31):

\[ s = \frac{1}{a_H} \left( 1 - \frac{\kappa}{a_H} \right) \].

A rigorous proof of this result is beyond our scope. By admitting this result, we then obtain an entropy bound for a charged object where the parameters of the black hole disappear as in the Schwarzschild case [3, 4].
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