The formation of rings and gaps in wind-launching non-ideal MHD discs: three-dimensional simulations

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\section*{ABSTRACT}
Previous axisymmetric investigations in two dimensions (2D) have shown that rings and gaps develop naturally in non-ideal magnetohydrodynamic disc–wind systems, especially in the presence of ambipolar diffusion. Here, we extend these 2D simulations to three dimensions (3D) and find that rings and gaps are still formed in the presence of a moderately strong ambipolar diffusion. The rings and gaps form from the same basic mechanism that was identified in the 2D simulations, namely, the redistribution of the poloidal magnetic flux relative to the disc material as a result of the reconnection of a sharply pinched poloidal magnetic field lines. Thus, the less dense gaps are more strongly magnetized with a large poloidal magnetic field compared to the less magnetized (dense) rings. The rings and gaps start out rather smoothly in 3D simulations that have axisymmetric initial conditions. Non-axisymmetric variations arise spontaneously at later times, but they do not grow to such an extent as to disrupt the rings and gaps. These disc substructures persist to the end of the simulations, lasting up to 3000 orbital periods at the inner edge of the simulated disc. The longevity of the perturbed yet still coherent rings make them attractive sites for trapping large grains that would otherwise be lost to rapid radial migration due to gas drag. As the ambipolar diffusivity decreases, both the disc and the wind become increasingly turbulent, driven by the magnetorotational instability, with tightly wound spiral arms becoming more prominent in the disc.

\textbf{Key words:} accretion, accretion discs – (magnetohydrodynamics) MHD – protoplanetary discs – ISM: jets and outflows.

\section*{1 INTRODUCTION}
State-of-the-art observational facilities are providing increasingly stringent constraints on the physical properties of circumstellar discs. Specifically, the Atacama Large Millimeter/submillimeter Array (ALMA) has shown that a large number of circumstellar discs have detailed radial and azimuthal substructures (ALMA Partnership et al. 2015; Andrews et al. 2016; Cieza et al. 2016; Isella et al. 2016; Nomura et al. 2016; Pérez et al. 2016; Zhang et al. 2016; Fedele et al. 2017, 2018; van der Plas et al. 2017; Dipierro et al. 2018). How the various observed structures form remains undetermined, though a number of promising physical mechanisms have been proposed, including planet–disc interactions (Dong, Zhu & Whitney 2015; Bae, Zhu & Hartmann 2017; Dong et al. 2017), rapid pebble growth at the condensation fronts of abundant volatile species (Zhang, Blake & Bergin 2015), the pileup of volatile ices in sintering zones just outside snow lines (Okuzumi et al. 2016), sharp changes in the disc viscosity at the boundaries of non-turbulent ‘dead zones’ (Flock et al. 2015; Ruge et al. 2016), magnetic self-organization through zonal flows (Béthune, Lesur & Ferreira 2016, 2017; Krappe et al. 2018; Riols & Lesur 2018), variable magnetic disc winds (Suriano et al. 2017, 2018), outward dust migration forced by preferential gas removal from the inner disc through a disc wind (Takahashi & Muto 2018), and the secular gravitational instability (Takahashi & Inutsuka 2014, 2016). The disc substructures (i.e. rings and gaps, spirals, vortices) have an undoubtedly important influence on the concentration and growth of dust grains in the discs around young stars. They could, for example, prevent the fast radial migration of large grains through discs by trapping grains in the pressure maxima they create, possibly even early-on in the disc lifetime.

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There is growing evidence that large-scale magnetic fields thread circumstellar discs. These magnetic fields could be responsible for launching disc winds (e.g. Bjerkeli et al. 2016; Banzatti et al. 2018) and driving accretion via a laminar magnetic wind torque (e.g. Bai & Stone 2013; Simon et al. 2013b). The motivation to explore how magnetic fields can create disc substructures is clear, though the idea that magnetic flux can concentrate in localized regions of discs is not new. For example, magnetic self-organization is seen in discs the form of ‘zonal flows’, or radially banded regions of sub- and super-Keplerian rotation. These flows are generated by large-scale variations in the magnetic stresses in MRI turbulent discs, and the resulting radial variations in density and magnetic flux are long-lived as the pressure gradient is balanced by the Coriolis force (Johansen, Youdin & Klahr 2009). Subsequent numerical studies have shown that zonal flows can be driven by the anisotropic nature of the non-ideal Hall (Kunz & Lesur 2013; Bai 2015; Béthune et al. 2016) and ambipolar diffusivities (Bai & Stone 2014; Simon & Armitage 2014; Béthune et al. 2017). Similarly, zonal flows can develop at the edge of an MRI ‘dead zone’ in response to the creation of a density/pressure maximum inside the slowly accreting dead zone (Dzyurkevich et al. 2010; Flock et al. 2015; Ruge et al. 2016). These regions of the disc can also be unstable to the Rossby wave instability, forming vortices which last for tens of local orbital periods. Finally, the formation of radial density structures due to a strong poloidal magnetic field that drives a magnetocentrifugal wind (Blandford & Payne 1982) was demonstrated by Moll (2012) in local 2D (shearing-box) simulations.

So far, the formation of radial substructures via magnetohydrodynamic (MHD) disc winds have only been explored in two dimensions (2D), which necessitates that the structures are axisymmetric (e.g. Suriano et al. 2017, 2018, herein Paper I, Paper II, respectively). In the 2D simulations of Paper II, the formation of rings and gaps on observable scales ($r \sim 10$ au) proceeds due to the effects of ambipolar diffusion (AD), where AD is the most important non-ideal MHD effect. The rings and gaps are naturally produced in the presence of a significant poloidal magnetic field and a relatively strong ambipolar diffusion, from which a relatively laminar disc–wind system develops (see also earlier work by Wardle & Koenigl 1993; Ferreira & Pelletier 1995; Li 1996). The mechanism is driven by reconnection of the highly pinched poloidal magnetic field in a thin mid-plane current sheet where the reconnection leads to the weakening of the poloidal field in some regions, which accrete more slowly and form rings, and field concentration in others, which accrete efficiently and open gaps.

This work explores the formation of rings and gaps in circumstellar discs by magnetic disc winds in the presence of ambipolar diffusion in three dimensions (3D), the logical next step to determine whether and if so, how substructures develop in magnetically coupled disc–wind systems. We find that prominent rings and gaps are still formed in 3D, apparently through the same mechanism of a thin mid-plane current sheet leading to reconnection and, therefore, the redistribution of the poloidal magnetic flux relative to disc matter. However, the reconnection of the field need not be restricted to the meridian ($r\theta$)-plane. Unlike the 2D (axisymmetric) case, the reconnection of the toroidal ($\phi$) component of the magnetic field is allowed, and can in principle lead to interesting non-axisymmetric structures.

The rest of the paper is organized as follows. In Section 2, we describe the simulation set-up, including the initial and boundary conditions. The results of the simulations are presented in Sections 3 and 4. Section 3 focuses on a high-resolution reference simulation where the AD Elsasser number at the inner edge of the disc is $\Lambda_0 = 0.25$ (see Section 2.2 for a description of this quantity). Other lower resolution simulations with different AD Elsasser numbers and magnetic field strengths are discussed in Section 4. We discuss the implications of this work in Section 5 and summarize the main results in Section 6.

2 SIMULATION SET-UP

The simulation set-up is nearly identical to Paper II, except that the assumption of axisymmetry is now removed. We will summarize the main features of the set-up here, and refer the reader to Paper II for details. We use the ZEUS/TW code (Krasnopolsky, Li & Shang 2010) to solve the time-dependent non-ideal MHD equations in spherical polar coordinates $(r, \theta, \phi)$ including ambipolar diffusion (see equations 1–4 of Paper II, with the Ohmic resistivity, $\eta_o$, set to zero).

2.1 Initial conditions: disc, corona, and magnetic field

We start with a cold, geometrically thin, magnetized disc, surrounded by a hotter corona that is in hydrostatic equilibrium with the disc surface. We characterize the disc by the dimensionless parameter $\varepsilon = h r = c / v_K = 0.05$, where $h$ is the disc scale height, $c$, the isothermal sound speed, and $v_K$ is the Keplerian speed, which is fixed by the stellar mass (taken to be 1 $M_\odot$). We set the initial disc (half) opening angle to $\theta_0 = \arctan(2\varepsilon) = 5.7^\circ$. Within the disc defined by (twice) this opening angle, we prescribe a density distribution

$$\rho_d(r, \theta, \phi) = \rho_0 \left( \frac{r}{r_0} \right)^{-3/2} \exp\left(-\frac{\cos^2 \theta}{2\varepsilon^2}\right),$$

where the subscript ‘0’ refers to values on the disc mid-plane at the inner radial boundary $r_0 = 1$ au. We choose a fiducial density scaling of $\rho_0 = 1.3 \times 10^{-10}$ g cm$^{-3}$, corresponding to a relatively large initial disc mass of about 0.1 $M_\odot$ for the 100 au disc. It is possible to rescale the simulation to other choices of $\rho_0$, keeping dimensionless quantities such as the plasma-$\beta$ fixed. For example, if we reduce the density scaling $\rho_0$ by a factor of 10 (to $1.3 \times 10^{-11}$ g cm$^{-3}$), both the disc mass and mass accretion rate would be reduced correspondingly by the same factor of 10 and the magnetic field strength by a factor of $\sqrt{10}$ if the stellar mass is kept unchanged. The density distribution of the corona is set by pressure balance across the disc surface (see equation 9 of Paper II). As before, we choose an adiabatic index close to unity ($\gamma = 1.01$) so that the temperature of a given fluid parcel stays nearly constant as it moves around in the simulation domain.

The initially axisymmetric magnetic field is computed from the magnetic flux function $\Psi$ using the following equations:

$$B_r = \frac{1}{r \sin \theta} \frac{\partial \Psi}{\partial \theta},$$

$$B_\theta = \frac{1}{r \sin \theta} \frac{\partial \Psi}{\partial r}.$$ 

Following Zanni et al. (2007), we adopt

$$\Psi(r, \theta) = \frac{4}{3} \frac{r_0^3}{r^3} B_{\psi,0} \left( \frac{r \sin \theta}{r_0} \right)^{3/4} \frac{0.5^{5/4}}{(0.5^2 + \cot^2 \theta)^{7/4}},$$

which describes a large-scale, ordered, poloidal magnetic field that threads the disc mid-plane vertically and bends gradually outwards away from the mid-plane (Stepanovs & Fedt 2014). The field...
strength scaling, \(B_{\text{ref}}\), is set by specifying the initial plasma-\(\beta\) (the ratio of the thermal to magnetic pressure) on the disc mid-plane, which is \(10^3\) for all but one simulation.

### 2.2 Ambipolar diffusion

The coefficient for ambipolar diffusion can in principle be computed through detailed chemical networks (e.g. Bai & Goodman 2009). As a first step towards a comprehensive model, we will simply parametrize the density of ions as

\[
\rho_i = \rho_{i,0} f(\theta) \left( \frac{\rho}{\rho_0} \right)^{\alpha_{\text{AD}}},
\]

where

\[
f(\theta) = \begin{cases} 
\exp\left(\frac{\cos^2(\theta+\theta_0)}{2\pi} \right) & \theta < \pi/2 - \theta_0 \\
1 & \pi/2 - \theta_0 \leq \theta \leq \pi/2 + \theta_0 \\
\exp\left(\frac{\cos^2(\theta-\theta_0)}{2\pi} \right) & \theta > \pi/2 + \theta_0.
\end{cases}
\]

The function \(f(\theta)\) is chosen to mimic the increase in ionization by high-energy photons (UV and X-rays) from the central young star in addition to cosmic rays near the disc surface (e.g. Umeyashii & Nakano 1981; Perez-Becker & Chiang 2011; Glassgold, Lizano & Galli 2017). For simplicity, we adopt \(\alpha_{\text{AD}} = 0.5\), the value expected in the case where the volumetric cosmic ray ionization rate is balanced by the recombination rate of ions and electrons, under the constraint of charge neutrality (i.e. \(n_e n_i \propto n_i^2\gamma_i\), where \(\gamma_i\) is the cosmic ray ionization rate per hydrogen nucleus; see page 362 of Shu 1992). An obvious future improvement of this work is to include a more self-consistent treatment of the ionization, perhaps by varying the density scaling along the lines of, e.g. Wang, Bai & Goodman (2018).

The magnitude of ambipolar diffusion is often characterized by the dimensionless ambipolar Elsasser number,

\[
\Lambda = \frac{\gamma_i \rho_i}{\Omega_r},
\]

where \(\gamma_i\) is the frictional drag coefficient between ions and neutrals. Physically, the Elsasser number is the collision frequency of a neutral particle in a sea of ions of density \(\rho_i\), normalized to the Keplerian orbital frequency. Therefore, the larger the Elsasser number is, the better coupled the bulk neutral disc material is to the ions (and the magnetic field attached to them). For our reference simulation (Section 3), we choose \(\Lambda_0 = 0.25\) at the inner boundary on the disc mid-plane, but we will vary this parameter to gauge its effects on the coupled disc–wind system (Section 4). Note that with our choice of \(\alpha_{\text{AD}} = 0.5\), the Elsasser number is proportional to radius as \(r^{1/2}\), i.e. the disc material at larger radii is better coupled to the magnetic field than at smaller radii in the simulations. Specifically, we have \(\Lambda = 1.4\) at 10 au and 7.9 at 100 au for the reference simulation. For the simple scaling of \(\rho_i = C_0 \theta_0^{1/2}\) given in Shu (1992) where \(C = 3 \times 10^{-18} c /10^{-10} s^{-1/2} \ g^{1/2} \ cm^{-3/2}\) and \(\gamma_i = 3.5 \times 10^{13} \ cm^3 \ g^{-1} \ s^{-1}\), the Elsasser number in the reference simulation corresponds to a cosmic ray ionization rate of \(\dot{\zeta} = 1.8 \times 10^{-18} s^{-1}\) for the fiducial disc mass of 0.1 M\(_{\odot}\). For a less massive disc of 0.01 M\(_{\odot}\), the cosmic ray ionization rate would need to be increased by a factor of 10, to \(\dot{\zeta} = 1.8 \times 10^{-17} s^{-1}\), to keep the dimensionless Elsasser number unchanged.

### 2.3 Grid

The equations are solved for \(r \in [1, 100]\) au, \(\theta \in [0, \pi]\), and \(\phi \in [0, 2\pi]\) with a resolution of \(n_r \times n_\theta \times n_\phi = 300 \times 270 \times 270\) for a high-resolution reference run. A ‘ratioed’ grid is used in the radial direction such that \(dr_{i+1}/dr_i\) is constant and \(r_{i+1} = r_i + dr_i\). The grid spacing at the inner edge is set as \(dr_0 = 2.0 \ r_0 d\theta_{\text{mid}}\). The \(\theta\) grid is separated into three 60 \(\theta\) blocks, the middle of which, from \(\theta = 60^\circ\) to \(120^\circ\), is uniform with 180 cells for a resolution of \(0.33^\circ\) per cell or 17 cells from the disc mid-plane to the initial disc surface at two scale heights. The first and last \(\theta\) grid blocks are ratioed grids with the cell size matched to the resolution of the middle block at their boundary and increasing towards the poles where the cells reach a maximum width of \(3.4^\circ\). The \(\phi\) grid is uniform with 270 cells. This results in the cells at the inner boundary on the mid-plane being a box with dimensions of 2:1:4 in the \(r: \theta: \phi\) directions. For a parameter survey, we perform several additional simulations for a range of AD coefficients and a weaker magnetic field strength but with a lower resolution of \(n_r \times n_\theta \times n_\phi = 200 \times 180 \times 180\) to lower the computational costs.

### 2.4 Boundary conditions

Both the inner and outer radial boundaries use the standard outflow condition, where scalar quantities and the \(r\) and \(\phi\) components of vector quantities are copied from the last active grid cell into ghost zones. The radial components of the vector quantities that are directed towards the active simulation domain are set to zero in the ghost zones. However, \(B_\phi\) is set to zero on the inner radial boundary, as it is taken to be non-rotating. The reflection boundary condition is used on the polar axis and the \(\theta\) grid is shifted slightly off of \(\theta = 0\) to avoid the coordinate singularity. The \(\phi\) component of the magnetic field is also set to zero on the polar axis.

In the polar regions where the field lines have footpoints on the non-rotating inner boundary rather than threading the rotating disc, matter can easily stream along the magnetic field lines towards the inner radial boundary due to the gravity of the central star. This evacuates the polar region leading to such a large Alfvén speed that the simulation time step becomes prohibitively small. We limit the Alfvén speed in the problematic cells by adding mass, which sometimes creates high densities in the polar region (see Fig. 1). Most of the added mass falls quickly into the central hole and does not strongly affect the disc away from the polar region.

### 3 Reference simulation

We run a small suite of 3D simulations to examine the formation of substructure in discs that are threaded by a large-scale, ordered poloidal magnetic field. The simulations differ in either the Elsasser number (i.e. the magnetic coupling), the magnetic field strength, or the grid resolution (see Table 1 for a list of models). This section first focuses on the high-resolution simulation (termed the ‘reference’ simulation hereafter, which has an Elsasser number at the inner edge of the disc of \(\Lambda_0 = 0.25\) and a mid-plane plasma-\(\beta\) of \(10^3\). Other lower resolution simulations with a range of Elsasser number and a different value of the magnetic field strength will be discussed in the next section.

#### 3.1 The coupled disc–wind system: a global view

In order to make a connection between these 3D simulations and the 2D (axisymmetric) simulations presented in Paper II (where the simulation results have relatively intuitive physical interpretations), we start our discussion with azimuthally averaged physical quantities, especially the poloidal components of the magnetic field, \(B_r\) and \(B_\theta\). A great advantage of the axisymmetric simulations is that these two field components can be expressed in terms of a magnetic flux.
This figure shows azimuthally averaged snapshots of the density field lines, which are azimuthally averaged (or ‘effective’) poloidal magnetic function $\Psi$. It is easy to show that they can again be expressed in terms of a scalar function $\Psi$ through equations (2) and (3). This makes it easy to define poloidal magnetic lines – lines of constant magnetic flux function $\Psi$. The poloidal field lines play an important role in our analysis of the 2D (axisymmetric) simulations, particularly in illustrating the radial pinching and reconnection of the magnetic field lines (e.g. see figs 1, 7, and 8 of Paper II). For 3D simulations that are generally non-axisymmetric, the poloidal field components $B_r$ and $B_\phi$ at any given point can no longer be expressed in terms of a scalar function. As a result, analysing the behaviour of the magnetic field becomes much more challenging. Nevertheless, if we define two azimuthally averaged poloidal field components $\overline{B}_r$ and $\overline{B}_\phi$, it is easy to show that they can again be expressed in terms of a scalar function $\overline{\Psi}$ through equations (2) and (3), respectively, just as in the axisymmetric case. Physically, $2\pi \overline{\Psi}$ at any given point is the total magnetic flux enclosed within a circle centred on the axis that passes through that point; it has the same physical meaning as $2\pi \Psi$ in the axisymmetric case. We will take advantage of this property of the azimuthally averaged poloidal field components in the spherical polar coordinate system adopted in this work, and plot together with other azimuthally averaged quantities contours of constant $\overline{\Psi}$, which are azimuthally averaged (or ‘effective’) poloidal magnetic field lines.

Table 1. Model parameters.

| Resolution | $\beta$ | $\Lambda_0$ | |
|------------|---------|-------------|-----------------|
| Reference  | $10^3$  | 0.25        | $300 \times 270 \times 270$ |
| ad-els0.05 | $10^3$  | 0.05        | $200 \times 180 \times 180$ |
| ad-els0.25 (low-res reference) | $10^3$  | 0.25        | $200 \times 180 \times 180$ |
| ad-els1.25 | $10^3$  | 1.25        | $200 \times 180 \times 180$ |
| ad-els$\infty$ (ideal) | $10^4$  | $\infty$    | $200 \times 180 \times 180$ |
| beta1e4   | $10^4$  | 0.25        | $200 \times 180 \times 180$ |

Fig. 1 gives a global perspective of the reference simulation. This figure shows azimuthally averaged snapshots of the density (colour map), effective poloidal magnetic field lines (white), and poloidal velocity unit vectors (black) out to $R = 70$ au at four times ($t/t_0 = 0, 500, 1000, 1500$, where $t_0 = 1$ yr is the orbital period at the inner edge of the disc). It shows that a wind is launched over most of the disc surface, except very close to the polar axis. Upon first inspection, the magnetic field in the disc has two distinct modes of evolution separated at about $r \sim 30$ au in the second frame shown in Fig. 1 ($t/t_0 = 500$). Within this radius, the magnetic field is dragged radially inwards near the mid-plane leading to a sharp radial pinch. This is caused by the vertical steepening of a mid-plane current sheet due to AD as described in detail in Paper II (see their section 3.3.1). The current peaks where the toroidal magnetic field changes sign positive below the disc to negative above the disc and the effects of AD further steepen the magnetic gradient near the magnetic null (Brandenburg & Zweibel 1994). This is the same phenomenon that leads to the magnetic reconnection of the poloidal magnetic field in 2D. Outside of $r \sim 30$ au, ‘channel-flow like’ structures are evident. This is because the disc material is better coupled to the magnetic field at larger radii, since the radial dependence of the Elsasser number goes as $\Lambda \propto r^{3/4}$. The demarcation radius between these two types of disc accretion moves outwards with time, because it takes time for the current layers created at the disc surfaces to migrate towards and converge at the disc mid-plane as the induced toroidal magnetic pressure gradient from the winding of the initially poloidal field grows (see Section 3.2). By the last frame shown in Fig. 1 at $t/t_0 = 1500$, the magnetic field lines are radially pinched by the mid-plane accretion layer out to approximately 40 au.

To further illustrate the overall structure of the disc and its connection to the wind, Fig. 2 shows several $\phi$-averaged quantities of the disc–wind system. Panel (a) shows the poloidal velocity where the fastest accretion through the disc is limited to a thin current layer near the mid-plane with accretion speeds on the order of $10$ m s$^{-1}$. Above and below this accretion layer, the poloidal velocity is directed outwards and the velocity is approximately one order of magnitude less than in the accretion layer. Also in the thin accretion layer, the value of plasma-$\beta$ peaks at values approaching...
Figure 2. The reference simulation at a representative time $t/t_0 = 1500$. The panels show the following $\phi$-averaged quantities: (a) the logarithm of the poloidal velocity (cm s$^{-1}$) with poloidal velocity unit vectors; (b) the logarithm of plasma-$\beta$; (c) the ratio of the toroidal to the poloidal magnetic field strength with the effective poloidal field lines (grey lines; the cyan line highlights a representative effective field line with a mid-plane footpoint at a radius of 20 au); (d) the differential mass accretion rate integrated over $\phi$, i.e. $d\dot{M}_{\text{acc}}/d\theta = \int_0^{2\pi} \rho v_r r^2 \sin \theta \, d\phi$, normalized to $\dot{M}_0 = r_0^2 \rho_0 c_s$.

$10^4$ (Fig. 2b). Similarly, the mass accretion rate is largest in this thin layer as shown in Fig. 2(d), which plots the $\phi$-integrated radial mass accretion rate per unit polar angle, $d\dot{M}_{\text{acc}}/d\theta = \int_0^{2\pi} \rho v_r r^2 \sin \theta \, d\phi$.

Again, it is clear that the disc mass moves outwards above and below the mid-plane accretion layer and further extends to a tenuous disc wind beyond the disc surfaces. The fast accretion takes place through a strong current layer, where the $\phi$-component of the magnetic field changes sign. The field reversal leads to a low value of $B_\phi$ in the accretion layer, which is the reason why its plasma-$\beta$ is high. It can be seen more clearly in Fig. 2(c), which plots the ratio of the toroidal to the poloidal magnetic field. We see that the magnetic field is pinched radially inwards where the toroidal magnetic field changes sign from positive below the disc to negative above the disc. Also, we see a variation in the ratio $B_\phi/B_p$ (i.e. the degree of magnetic field twisting) as a function of radius, where the poloidal magnetic field lines concentrate in some regions (where the ratio is relatively low) while the toroidal magnetic field is more dominant in others (where the ratio is higher). The cause of this alternating pattern of different degrees of field twisting will be discussed in the next section.

Fig. 3 illustrates the properties of the disc and the disc–wind transition region more quantitatively, along a representative effective poloidal field line with a mid-plane footpoint radius of $20 \text{ au}$ at time $t/t_0 = 1500$ (shown as the cyan line in Fig. 2c). Panel (a) of Fig. 3 shows the distribution of the mass density along the field line, showing that the initially large (two orders of magnitude) drop in density between the initial disc and corona is completely erased by the wind, which connects smoothly to the disc. The two filled circles mark the locations of the sonic points along the field line above and below the disc. Panel (b) shows the distributions of the ratios of the thermal energy density and the energy densities of the total magnetic field and the poloidal field component, respectively. It is clear that the winding of the initial poloidal field has increased the magnetic energy in the disc considerably (by two orders of magnitude) over most of the disc, although it remains well below the thermal energy within the boundary of the initial disc (marked by the vertical dot–dashed lines in the figure). The magnetic energy becomes comparable to the thermal energy at $\sim 3$ scale heights, and completely dominates the thermal energy beyond the sonic points (by a factor of 30 or more). Panel (c) shows that the flow acceleration to produce the magnetically dominated supersonic wind starts to take off above $\sim 4$ scale heights (see the red curve in the panel). Below this height, the material in the disc and the base of the wind expands slowly at a highly subsonic speed, except in a thin layer close to the mid-plane, where it accretes at a much higher speed.
Figure 3. Azimuthally averaged quantities along a $\phi$-averaged poloidal magnetic field line plotted as a function of $z$ at $t/t_0 = 1500$. The magnetic field line passes through the disc mid-plane at a radius of $r = 20$ au and it is highlighted in Fig. 2(c) in cyan. The panels plot: (a) the density for $t/t_0 = 0$ (red dashed line), 1500 (solid black line), and the hydrostatic equilibrium distribution given the mid-plane density at $t/t_0 = 1500$ (blue dotted line); (b) plasma-$\beta$ for the total (black) and poloidal (red) magnetic field strength; (c) the poloidal components of the neutral (solid lines) and ion velocities (dashed lines); (d) the magnetic field components. The sonic point, where the poloidal velocity is equal to the adiabatic sound speed, is marked by yellow circles and the initial disc height, $z = \pm 2h_0$, is shown by the vertical dashed black lines.

The simultaneous existence of accretion and expansion is one of the key features of the reference coupled disc–wind system. This is expected since the angular momentum extracted by the magnetic torque from the mid-plane accreting layer is given to the layers above and below it, causing them to expand subsonically initially and eventually as a supersonic wind. Note that the ions have significantly different velocities than neutrals because of ambipolar diffusion (as in the 2D simulations of Paper II). In particular, their radial velocity is larger than that of neutrals, because the radial component of the magnetic force is directing outwards, as required for the wind acceleration. Similarly, in the $\theta$-direction, the ions in the wind are moving faster away from the disc plane than the neutrals, again in response to the wind-driving magnetic force in that direction. The driving force comes from the gradient of the magnetic pressure that is dominated by the toroidal field component $|B_\phi|$, which decreases away from the disc mid-plane everywhere, except near the mid-plane, where it reverses polarity sharply. This sharp reversal of $B_\phi$ is the engine that drives the fast mid-plane accretion and the resultant pinching of the poloidal magnetic field (see the red line of panel d for the sharp change in the sign of $B_\phi$ across the mid-plane).

Even though the bulk of the disc material is slowly expanding (as shown in Figs 2a, d, and 3c), the disc as a whole is accreting rapidly. This is illustrated in Fig. 4, which shows both the mass accretion through the disc at a representative radius $r = 20$ au ($|\pi/2 - \theta| < \theta_0$; black line) and the mass outflow rate through a sphere of the same radius excluding the disc region (red line). The mass accretion rate fluctuates with time and settles to a value of $\sim 10^{-6} M_\odot \text{yr}^{-1}$ at later times (for the fiducial choice of the disc mass of $0.1 M_\odot$). The mass outflow rate approaches approximately $5 \times 10^{-7} M_\odot \text{yr}^{-1}$. Therefore, more mass is accreted inwards through the disc than is ejected away in the wind at this radius. As discussed earlier, the mass accretion and mass-loss rates should scale linearly with the adopted disc mass.

Fig. 5 shows the vertical distribution of the azimuthally averaged Maxwell stress tensor, $T_i = -B_i B_\phi/4\pi$ for $i = R, z$ normalized to the mid-plane gas pressure (similar to the $\alpha$ parameter of Shakura & Sunyaev 1973). The $R\phi$ component of the stress tensor is...
3.2 The formation of rings and gaps in the disc

Central to the formation of rings and gaps is the thin mid-plane current sheet. The creation of the current sheet starts near the upper and lower surfaces of the disc as the differential rotation between the disc and the initially static disc corona rapidly induces a toroidal magnetic field there. The toroidal magnetic field is responsible for driving an outward (positive) radial current, \( J_r \approx \frac{c}{2\pi r} J_\phi \), in the disc since \( B_\phi \) increases from negative to positive as the polar angle \( \theta \) increases from above to below the disc mid-plane. The resulting Lorentz force, \( F_L \propto J_\phi B_\phi \) (associated with the magnetic pressure gradient in the \( \theta \)-direction), pushes the ions (and the toroidal magnetic field lines tied to the ions) towards the mid-plane, thus moving the surface current layers closer together until they combine at the disc mid-plane to form a single current sheet where \( B_\phi \) reverses polarity. The magnetic pressure gradient is further steepened at the magnetic null due to the effects of AD (Brandenburg & Zweibel 1994), creating a thin mid-plane current layer. Finally, the Lorentz force exerted due to the radial current (\( F_L \propto J_\phi B_\phi \)) is in the \( -\phi \) direction, draining angular momentum in the current layer leading to strong accretion and the inward pinch of the poloidal magnetic field there. The eventual reconnection of the radial magnetic field as it is dragged inwards leads to the creation of a poloidal magnetic field loop, thereby leaving the region that it encloses devoid of vertical (or poloidal) magnetic flux. In neighbouring regions, however, the poloidal magnetic field concentrates. The disc mass then grows in the regions where the vertical magnetic flux is lowest (rings) and the neighbouring regions with larger poloidal magnetic flux can drive faster accretion through them. This phenomenon is the essence of the reconnection-driven ring and gap formation mechanism described in section 3.3 of Paper II based on 2D axisymmetric simulations (see their figs 6 and 7). It is also apparent in our 3D reference simulation, as illustrated in Fig. 2(c), which shows the eventual magnetic field configuration of alternating bands of toroidal and poloidal magnetic field concentrations and the radial pinch in the magnetic flux contours at the current layer where \( B_\phi = 0 \).

The end result of the reconnection of a radially pinched magnetic field is the redistribution of the poloidal magnetic flux relative to the disc material, as illustrated in Fig. 6. The top panel shows the \( \phi \)-averaged density distribution (colour map) and the effective poloidal field lines (black lines). It is clear that the poloidal field lines are distributed very unevenly, especially inside the disc. Specifically, the field lines are concentrated in some regions but spread out in others. The corresponding strong variation of the vertical magnetic field strength at the disc mid-plane is quantified in Fig. 6(b) (red line). For comparison, the surface density distribution is also plotted in the same panel (black line). It is clear that the surface density is strongly anticorrelated with the vertical field strength, especially in the region around 10 au, where the contrast between the dense, weakly magnetized rings and the more diffuse but more strongly magnetized gaps is the largest. This anticorrelation starts at early times when the rings and gaps are still nearly axisymmetric, so it is likely created by the same mechanism as in the 2D (axisymmetric) simulations, namely, the redistribution of poloidal magnetic flux relative to disc material through reconnection. The anticorrelation persists to later times (such as that shown in Fig. 6) when the rings and gaps become more non-axisymmetric (see the next subsection).

As the resolution of this 3D simulation is \( \sim 30 \) per cent lower than that of the 2D simulations in Paper II and the effective poloidal magnetic field lines are drawn by integrating over the azimuthal angle, it is more difficult to observe the field lines in the act of
reconnecting (as in fig. 7 of Paper II). None the less, the close similarity between the 2D and 3D simulations, especially in the severe radial pinching of the poloidal magnetic field in a thin fast-accreting layer and the anticorrelation between the disc surface density and poloidal field strength, leaves little doubt that the same mechanism is at work in both 2D and 3D. We should note that this is not the only mechanism involving magnetic fields that can create rings and gaps; such substructures are observed in shearing box simulations without a prominent mid-plane current sheet (e.g. Mollrings and gaps; such substructures are observed in shearing box simulations without a prominent mid-plane current sheet (e.g. Moll

3.3 Non-axisymmetric disc substructure

3.3.1 Magnetic fields

The vertical magnetic field strength at the mid-plane, $B_{z,\text{mid}}$, has prominent radial substructure as illustrated in Fig. 6(b). Substantial substructure in $B_{z,\text{mid}}$ develops in the azimuthal direction as well, as shown in Fig. 7. Since the disc is initially assumed to be axisymmetric, it is not surprising that the rings and gaps remain relatively axisymmetric at early times when their amplitudes are still low, as in panel (a) of Fig. 7 ($t/t_0 = 500$). By the time shown in panel (b) ($t/t_0 = 1000$), the rings and gaps have grown much more prominent. Many of these (magnetic) rings have also become significantly non-axisymmetric, with some parts brighter than others. The azimuthal variation is particularly striking in the intermediate range of radii, between ~15 and 40 au, where some of the rings appear to be frayed into multiple threads. The frayed ringlets occasionally merge together, creating wider structures. The fraying and merging continue at later times, creating rich non-axisymmetric substructures that are illustrated in panel (c) at $t/t_0 = 1250$ and in panel (d) at $t/t_0 = 1500$. The formation and evolution of the substructures can be seen more clearly in the supplementary movie online, which shows the occasional development of short spurs on the rings that are subsequently sheared into flocculent spirals by differential rotation.

Figure 6. The reference simulation at a representative time $t/t_0 = 1500$. The top panel plots the logarithm of the density (colour map) and the azimuthally averaged magnetic flux contours (or effective poloidal field lines, black lines). The bottom panel shows the azimuthally averaged surface density (black) and vertical magnetic field at the disc mid-plane (red) normalized respectively by their initial distribution. (See the supplementary material in the online journal for an animated version of panel (a) of this figure.)

How the non-axisymmetric substructures are created is unclear. Presumably the azimuthal variation develops from the numerical noise associated with the finite simulation grid since the initial conditions are axisymmetric and there is no explicit perturbation added. It may be amplified by several potential instabilities in the system, both inside the disc and in the wind. First, the amplification could be due to the development of non-axisymmetric modes of the MRI (Balbus & Hawley 1992), particularly at relatively large radii where the ambipolar Elsasser number is larger and axisymmetric (‘channel flow’) modes are clearly visible (see e.g. Fig. 1). Secondly, it could be caused by the wind, which is dominated by a toroidal magnetic field (see Figs 2c and 3d) that is prone to kink instabilities (e.g. Anderson et al. 2006). Thirdly, there is a substantial radial velocity difference between the mid-plane accretion layer and the rest of the disc, which could be prone to Kelvin–Helmholtz instability, as it is thought to play a role in turning the axisymmetric ‘channel flows’ in 2D into a more turbulent (non-axisymmetric) flow in 3D (Goodman & Xu 1994; Hawley, Gammie & Balbus 1995). Finally, since there is a sharp reversal of the toroidal magnetic field across the accretion layer, it is possible that reconnection of the sharply pinched toroidal field plays a role in producing the prominent azimuthal variations shown in Fig. 7. The last possibility is particularly intriguing, because the sharp reversal of toroidal magnetic field lies at the heart of the proposed mechanism for the formation of rings and gaps in 2D.

One concern is that the reconnection of the toroidal magnetic field in 3D, if efficient enough, may shut down the ring and gap formation mechanism identified in 2D altogether. This is because reconnection of the toroidal field can erode the radial component of the current density $J_r \propto \partial B_z/\partial \theta$ and thus weaken the magnetic torque $(\propto J_r B_\phi)$ that drives the fast mid-plane accretion. However, as mentioned earlier, Figs 2(c) and 3(d) demonstrate explicitly that the toroidal field $B_\phi$ in the 3D simulation still changes sign sharply over a short vertical distance, and Fig. 2(d) shows that fast mid-plane accretion still exists and can drag the poloidal field lines into a sharply pinched configuration prone to reconnection (see also Fig. 1). Indeed, the vertical gradient of the toroidal field near the mid-plane in the 3D simulation is just as sharp as, if not sharper than, that in its 2D counterpart, as shown in Fig. 8. The mass accretion rate in the 3D simulation is also comparable to that in its 2D counterpart over most of the disc (Fig. 9), indicating that reconnection of $B_\phi$, if present, does not weaken the magnetic torque that drives the significant disc accretion. These broad similarities indicate that, although reconnection of the toroidal magnetic field is expected in 3D and may play a role in the development of non-axisymmetric substructure, it does not suppress the mechanism of ring and gap formation through the radial reconnection of the poloidal field lines completely. The end result is that the prominent rings and gaps in the distribution of the mid-plane vertical magnetic strength $B_{z,\text{mid}}$ in axisymmetric simulations are strongly perturbed but not completely disrupted in 3D (see Fig. 7c and d and especially Fig. 6b).
3.3.2 Surface density

Azimuthal variation develops in the surface density distribution as well, as illustrated in Fig. 10, which plots the surface density distributions for the same four representative times as in Fig. 7. Several features stand out in the figure. First, there is a plateau of enhanced surface density (relative to the initial distribution) near the centre within a radius of ~5 au that is apparent in all four panels. It corresponds to the region where repeated reconnection of the poloidal magnetic field is clearly visible even after azimuthal averaging. The reconnection weakens...
Figure 10. Spatial variation of the disc surface density normalized to its initial value for the reference simulation at four representative times: (a) $t/t_0 = 500$, (b) 1000, (c) 1250, and (d) 1500. They show clearly that the initially axisymmetric rings of enhanced surface density are perturbed but not disrupted in 3D. (See the supplementary material in the online journal for an animated version of this figure.)

the poloidal magnetic field relative to the matter (see Fig. 6b), making it easier for the mass to accumulate there. This behaviour is consistent with the proposed mechanism of dense ring formation through reconnection of the poloidal field lines, although this region may be affected by its proximity to the inner boundary. The (bright) plateau is surrounded by a prominent (dark) gap at a radius of approximately 6 au, where the surface density is depleted relatively to the initial value by almost an order of magnitude at the end of the simulation (see Fig. 6b, black line). Inside this low surface density gap, there is a local bunching of the poloidal magnetic field lines, as shown in Fig. 6(a), which leads to an increase of the poloidal field strength well above its initial value (Fig. 6b, red line). It corresponds to the bright nearly axisymmetric ring at $r \approx 6$ au in the distribution of the mid-plane vertical field strength seen in Fig. 7. The same pattern repeats itself at later times for a larger radius of $\approx 15$ au, where there is again a persistent axisymmetric gap of depleted surface density (Fig. 10b, c, and d) coincident with an axisymmetric ring of enhanced poloidal magnetic field (Fig. 7b, c, and d). Just interior to this low-surface density gap, there is a bright ring of enhanced surface density where the poloidal field strength is much weaker than in its neighbouring gap. The persistence of these complete, nearly axisymmetric, rings and gaps (in the distributions of both surface density and the vertical field strength at the disc mid-plane) over tens to hundreds of local orbital periods is strong evidence for the stability of such substructures in 3D, at least in the inner disc region of the reference simulation (within $\approx 15$ au).

In the disc region of intermediate radius range between $\approx 15$ and 40 au, the rings and gaps start out nearly axisymmetric (see panel a of Fig. 10), but become more clumpy and distorted at later times (see panels b–d). In particular, a well-defined bright clump (of enhanced surface density) starts to develop out of a fainter arc on the ring at a radius of $\approx 18$ au around $t/t_0 = 700$. It completes approximately three orbits before fading away into a faint arc that is still visible at the time $t/t_0 = 1000$ shown in panel (b) (the arc at approximately 7 o’clock angle). The formation and disappearance of the bright clump can be seen most clearly in the movie of the disc surface density distribution in the online journal. Although exactly why this clump forms is unclear, it could be related to the Rossby wave instability (e.g. Lovelace et al. 1999; Armitage 2015). In any case, the clump saturates at a relatively moderate amplitude before decaying away, and its formation perturbs but does not disrupt the parent ring. As is the case for the ‘magnetic rings’ shown in Fig. 7, some of the gas rings fray into multiple thinner ringlets, while others merge into wider structures. The dynamic evolution of the (significantly non-axisymmetric) surface density structures in this region mirrors that in the mid-plane vertical magnetic field $B_{z,\text{mid}}$. In particular, the flocculent spirals that were already visible in the $B_{z,\text{mid}}$ distribution become more prominent. Indeed, there is a strong anticorrelation between the surface density and $B_{z,\text{mid}}$ all over the disc, as previously discussed in Section 3.2.

To further illustrate the longevity and coherency of the disc substructures, Fig. 11 plots the space–time diagrams for the azimuthally averaged vertical magnetic field at the disc mid-plane, the azimuthally averaged surface density, and the surface density...
Figure 11. The colour contours show the azimuthally averaged (a) vertical magnetic field strength at the disc mid-plane and (b) surface density, and the surface density at two representative azimuthal directions, (c) $\phi = 0^\circ$ and (d) $\phi = 90^\circ$, all as a function of radius and time. Both the vertical magnetic field and surface density are normalized to their initial radial distributions.

along two representative azimuthal directions ($\phi = 0^\circ$ and $90^\circ$), all normalized to their initial radial distributions. Panel (a) shows that, although there is some evidence for merging (e.g. near $\sim 7$ au), the ‘magnetic rings’ and gaps stay relatively well-defined in both space and time. This is also true for the azimuthally averaged gas rings and gaps, most of which remain distinct throughout the simulation (panel b), despite the fact that there is substantial time variation in such substructures along a given azimuthal direction (panels c and d). The disc substructures are long-lived enough (at least $\sim 1000$ inner orbital periods) to be effective as potential dust traps.

4 PARAMETER SURVEY

We have carried out five simulations in addition to the reference run (Table 1). Model ad-els0.25 is a repeat of the reference simulation but with a lower resolution ($200 \times 180 \times 180$ instead of $300 \times 270 \times 270$). It is done to both explore the resolution effects and for direct comparison with simulations with other physical parameters, which are carried out at a lower resolution compared with the reference simulation because of constraints on computational resources. It will sometimes be referred to as ‘the low-res reference run’ below. Model ad-els0.05 is five times more diffusive than the low-res reference run (with a characteristic AD Elsasser number $\Lambda_0 = 0.05$ instead of 0.25), model ad-els1.25 is five times less diffusive (or better magnetically coupled), and model ad-els$\infty$ does not have any ambipolar diffusion at all (i.e. ideal MHD). In addition, model beta1e4 has a weaker initial magnetic field than the low-res reference run, with an initial mid-plane plasma-$\beta$ of $10^4$ rather than $10^3$. We will start with a discussion of the low-res reference simulation, which serves a benchmark against which other simulations of the same resolution are compared.

4.1 Low-res reference run

The low-res reference run is broadly similar to the reference run. It forms both magnetic ($B_{z,mid}$) and gas rings and gaps that are nearly axisymmetric at early times. Non-axisymmetric variations, including fraying/merging of the rings and clumping within rings, develop at later times but saturate at a relatively moderate amplitude such that the rings and gaps become strongly perturbed but not disrupted. There is a strong anticorrelation between the poloidal magnetic field and the disc surface density, as in the reference case. The main difference is that it takes longer for the non-axisymmetric variations to develop in the lower resolution model compared to the reference model. This difference is illustrated in Fig. 12 for a representative time $t/t_0 = 1500$. It is clear that the higher resolution model has far more structures in the distributions of both the mid-plane vertical magnetic field and the surface density, especially in
Figure 12. Spatial variation of the vertical magnetic field strength at the mid-plane (top row) and the disc surface density (bottom row) normalized to their respective initial distribution for the high-resolution reference simulation (left column) and its low-resolution counterpart (model ad-els0.25, right column) at a representative time $t/t_0 = 1500$.

the middle radius range between $\sim 15$ and 40 au. This is the region where, in the reference model, the poloidal field lines are most sharply pinched near the mid-plane and the toroidal field reverses its direction quickly over a short vertical distance, as illustrated in Figs 6(a) and 8, respectively. The lower resolution in model ad-els0.25 may have reduced the sharpness of the field pinching, and therefore the likelihood of reconnection, in both the radial and azimuthal direction, which are the main drivers of the radial structures (rings and gaps) and possibly the azimuthal variations, respectively. Another difference is that the lower resolution simulation has a larger region of a ‘dipole-like’ closed poloidal magnetic field that extends several au beyond the inner boundary; the disc dynamics in this region may be affected by how the boundary is treated. The advantage of the lower resolution is that it allows the simulation to reach a later times ($3000 \ t_0$ versus $1500 \ t_0$). As we will show in the next subsection, more substructures do develop at later times in the lower resolution reference model, making it more closely resemble the higher resolution reference model. We conclude that the development of prominent disc substructures is a robust feature of the coupled disc–wind system, although their detailed properties are resolution dependent.

4.2 Strength of magnetic coupling

The effects of different levels of ambipolar diffusion are illustrated in Fig. 13. The left two columns of Fig. 13 show the ‘edge-on’ plots of the azimuthally averaged poloidal density and magnetic field lines and the mass accretion rate per unit polar angle. The right two columns show the ‘face-on’ views of the vertical magnetic field strength at the disc mid-plane and gas surface density. The panels in Fig. 13 are plotted at a common representative time of $t/t_0 = 2500$ for all five of the low-resolution models. The first impression is that systems with different levels of ambipolar diffusion have the following in common: they all are actively accreting with outflows of various types, and substantial substructures develop in all discs. There are, however, significant differences. In the most diffusive case (model ad-els0.05), both the disc and the wind are largely laminar and mirror-symmetric above and below the mid-plane (panel a), with the disc accretion confined to the mid-plane (panel b). Prominent rings and gaps form in both the distributions of the mid-plane vertical field (panel c) and surface density (d) within a radius of about 20 au. They remain nearly axisymmetric until the end of the simulation ($t/t_0 = 3000$). As the ambipolar diffusivity decreases by a factor of 5 to the reference value used in model ad-els0.25, the wind and disc remain largely laminar, although the accretion layer has migrated close to the bottom surface of the disc within a radius of about 20 au (panel f), leads to a significant asymmetry about the disc mid-plane at $\theta = 90^\circ$. Nevertheless, complete (as opposed to partial) rings and gaps that close on themselves are still formed in this region in the distributions of both mid-plane vertical field (panel g) and surface density (panel h). At larger radii, the rings and gaps appear somewhat less well-
Figure 13. The simulations from the top row to the bottom row: ad-els0.05, ad-els0.25, ad-els1.25, ideal, beta1e4 at $t/t_0 = 2500$. Plotted are azimuthally averaged density and poloidal field lines (first column), mass accretion rate per unit polar angle (second column), normalized mid-plane vertical field strength (third column), and surface density (fourth column).
defined, especially in the distribution of mid-plane vertical field, with some rings containing multiple strands and others appearing as tightly wound spirals (panel g). This contrast in appearance between rings and gaps in the inner and outer parts of the disc becomes more pronounced as the ambipolar diffusivity decreases by another factor of 5 to the value used in model ad-els1.25. In this better magnetically coupled system, the motions of both the disc and outflow become more chaotic (panels i and j). In particular, the mass accretion is no longer confined to the mid-plane region (panel j). As in the well-coupled 2D (axisymmetric) simulations (Paper II), highly variable ‘avalanche’ accretion streams develop near the disc surface, which dominate the dynamics in the disc envelope (e.g. Zhu & Stone 2018). In particular, they induce a strong asymmetry in the top and bottom half of the disc envelope, with rapid accretion in the top half (reminiscent of the funnel-wall accretion; Takasao et al. 2018) but rapid expansion in bottom half (panel i). Nevertheless, rather regular substructures are still formed in the disc surface density, especially in the inner part (within about 20 au), where well-behaved rings and gaps are still evident (panels k and l). At larger radii, the substructures appear more spiral-like, especially in the surface density distribution (panel l); the spirals can be seen even more clearly in the animation of the figure in the online journal. The difference in appearance between the inner and outer parts of the disc is broadly similar to that in the more magnetically diffusive model ad-els0.25, but the outer disc of the less diffusive model exhibits a more spiral-like appearance.

Even more pronounced spirals develop in the completely coupled (ideal MHD) model ad-els∞. The gas motions are chaotic in both the disc and the envelope (panels m and n), driven presumably by the MRI in the disc and its variants (‘avalanche accretion streams’) in the envelope. As in the moderately well-coupled case of model ad-els1.25, there is a strong asymmetry in the top and bottom half of the simulation, which is unsurprising given the rather turbulent state of the system. The ideal MHD disc is full of substructures (panels m and n), but they are less coherent than those in model ad-els1.25. In particular, the substructures in the distribution of the mid-plane vertical magnetic field appear more clumpy, with most of the ‘blobs’ of enhanced $B_{\text{mid}}$ smeared into arcs rather than complete rings (panel o). The difference in the disc surface density substructure is even more striking: they are not prone to disruption by the non-axisymmetric modes of the MRI, which are suppressed by ambipolar diffusion in this limit. Azimuthal variation is still possible because of, e.g., reconnection of the oppositely directed toroidal magnetic field across the accretion layer. Again, this reconnection is intrinsically non-axisymmetric but appears to only perturb rather than destroy the rings and gaps.

At a more fundamental level, it is perhaps not too surprising that the magnetic diffusivity can make the disc substructures more ordered and coherent. Magnetic diffusivity is expected to reduce disorder in the magnetic field and a more ordered magnetic field configuration is ultimately responsible for the creation of more coherent disc substructures such as rings and gaps. This notion is consistent with the radially demarcated pattern observed in the moderately well-coupled model ad-els1.25, where well-defined rings and gaps are produced in the more-diffusive inner disc (as measured by the dimensionless Elsasser number, which scales with radius as $\Lambda \propto r^{3/2}$ initially) and flocculent spirals in the better-coupled outer disc. It is also consistent with the trend that, as the ambipolar diffusivity increases from model ad-els1.25 to model ad-els0.25, rings and gaps become more important relative to flocculent spirals (compare panels h and i of Fig. 13).

Similarly, one might also expect that the ring and gap spacing produced from the reconnection of the poloidal magnetic field at the mid-plane current sheet will be set by the diffusive processes in the disc. For example, in the Sweet–Parker theory of slow reconnection (Parker 1957; Sweet 1958; see also the review by Zweibel & Yamada 2009), the length scale over which the magnetic field will reconnect is $l_{\text{rec}} \propto S^{1/2}$, where the Lundquist number is $S \sim h_{\text{A}}/h$. Thus, in the less diffusive outer disc regions of these simulations, we should expect to see a general trend of the ring and gap separations increasing as a function of radius. This seems to be the case as seen in the right two columns of Fig. 13, but the result may be complicated by the logarithmic radial grid spacing, and it is difficult to draw any firm conclusions from the simulations with varying Elsasser numbers. Further investigation is required to evaluate more differential rotation, coupled with relatively fast accretion, would lead to widespread spirals, as seen in model ad-els∞. As the ambipolar diffusivity increases, the MRI (and its variant ‘avalanche accretion streams’) should be weakened and eventually suppressed. In the limit where the MRI is suppressed, active accretion is still possible provided that the ambipolar diffusivity is not too large (there would not be any accretion and structure formation if the magnetic field is completely decoupled from the matter). Accretion is confined to the disc mid-plane region, driven by angular momentum removal from the largely laminar disc wind (e.g. Fig. 13a and b) and aided by the steepening of the radial ($J_r$) current sheet through ambipolar diffusion via the Brandenburg–Zweibel mechanism (Brandenburg & Zweibel 1994). The mid-plane accretion would drag the poloidal field lines into a sharply pinched configuration, leading to reconnection and the eventual formation of rings and gaps through the mechanism discussed earlier (and in Paper II). Once formed, such substructures can be long lived because they are not prone to disruption by the non-axisymmetric modes of the MRI, which are suppressed by ambipolar diffusion in this limit.

$^2$The fast accretion in the ideal MHD case can be inferred from Fig. 13(p), which shows a lower surface density (and thus more mass depletion) than the more magnetically diffusive cases.

$^3$For example, the reference simulation is initialized such that the Elsasser number is greater than unity for radii $r \gtrsim 6.3$ au at the disc mid-plane ($\theta = \pi/2$) and for radii $r \gtrsim 21$ au at the disc surface ($\theta = \pi/2 \pm \theta_0$), before $\rho_i$ increases rapidly towards the poles and $\Lambda \rightarrow \infty$. 

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3For example, the reference simulation is initialized such that the Elsasser number is greater than unity for radii $r \gtrsim 6.3$ au at the disc mid-plane ($\theta = \pi/2$) and for radii $r \gtrsim 21$ au at the disc surface ($\theta = \pi/2 \pm \theta_0$), before $\rho_i$ increases rapidly towards the poles and $\Lambda \rightarrow \infty$. 

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thoroughly the conjecture that the ring and gap spacing is controlled by the detailed microphysics of the non-ideal MHD effects.

4.3 Magnetic field strength

Finally, we explore briefly the effects of the initial magnetic field strength with model beta1e4, which has the same physical parameters and resolution as the low-res reference model ad-els0.25 except for an initial plasma-β of \(10^4\) instead of \(10^3\). Unlike its more strongly magnetized counterpart, the magnetic structure above and below the disc is strongly affected by accretion streams (panel q of Fig. 13). Nevertheless, the dynamics inside the disc appear more similar, with the accretion concentrating near the mid-plane and expansion outside the thin accretion layer (panel r). Rings and gaps are still formed in both vertical field strength and surface density, but they are far less prominent than their counterparts in the more strongly magnetized reference model (compare panels s and q and panels t and h). The weaker substructure in \(B_{z\text{mid}}\) is likely because the magnetic field strength is too weak to drive mid-plane accretion vigorous enough to pinch the poloidal field lines to the point of reconnection. The latter comes about because it is harder for a weaker field to move the gas around and, therefore, to create prominent substructure in the disc surface density. Nevertheless, there is still an anticorrelation between the surface density and vertical field strength at the disc mid-plane, indicating that the same mechanism for ring and gap formation is at work even in the more weakly magnetized case.

5 DISCUSSION

5.1 Poloidal magnetic fields and magnetic diffusivity-regulated disc accretion

One of the key assumptions of all but one of the simulations presented in the paper is that the disc is threaded by an ordered poloidal magnetic field corresponding to an initial mid-plane plasma-β \(= 10^3\) of \(10^3\). This is considered rather strong in the context of disc dynamics. It is natural to ask whether it is feasible for discs to have such a field in the context of star formation. The strength of the magnetic field in star formation is usually measured by the dimensionless mass-to-flux ratio, \(\lambda\), defined as the ratio of the magnetic field threading a given region to the mass of the same region, normalized to the critical value \((2\pi G)^{1/2}\) (Nakano & Nakamura 1978; Shu & Li 1997). If \(\lambda < 1\), the magnetic field would be able to support the region against gravitational collapse by itself. Only the so-called magnetically supercritical regions with \(\lambda > 1\) can collapse and form stars. Zeeman observations have shown that the dense star-forming cores of molecular clouds typically have a dimensionless mass-to-flux ratio of a few after correcting for projection effects (\(\lambda_{\text{core}} \sim 2\); Troland & Crutcher 2008). Therefore, the magnetic field threading a typical low-mass star-forming core of 1 \(M_\odot\) would be roughly \(\Psi_{\text{core}} \sim 1.6 \times 10^{30} \text{ G cm}^2\). This is the maximum amount of magnetic flux that is in principle available to the disc. It is much larger than the magnetic field threading the disc in our reference simulation, which is \(\Psi_{\text{disc}} = 1.6 \times 10^{28} \text{ G cm}^2\) (using the fiducial density scaling that yields a relatively massive disc of 0.1 \(M_\odot\); it would be smaller by a factor of \(\sqrt{10}\) for a 0.01 \(M_\odot\) disc). Therefore, there is no lack of magnetic flux that can, in principle, be dragged into the disc. Indeed, the problem is the opposite. It is well-established that if a large fraction of the core magnetic flux is deposited in the vicinity of the forming protostar, it would prevent the formation of a rotationally supported disc completely, leading to the so-called magnetic braking catastrophe (see Li et al. 2014 for a review). In any case, a tiny fraction (one per cent or less) of the magnetic flux from the parental core is enough to provide the degree of disc magnetization adopted in our simulation, although how this fraction is determined physically is an outstanding question in the field of disc formation and evolution.

If a poloidal magnetic field corresponding to \(\beta = 10^3\) is indeed present in the disc, it would drive a rather active accretion. In the high-resolution reference model, the disc accretion rate is of order \(10^{-6} M_\odot \text{ yr}^{-1}\) for a 0.1 \(M_\odot\) disc (see Figs 4 and 9); it would be reduced by a factor of 10 for a 0.01 \(M_\odot\) disc. Such rates are too large for the relatively evolved T Tauri (or Class II) discs, but are typical of younger discs around Class 0 and I protostars (e.g. Yen et al. 2017). One may expect such actively accreting protostellar discs to be highly turbulent, if turbulence induced by the MRI (or some other means) is responsible for the accretion. This is indeed the case for our ideal MHD simulation (model ad-els\(\infty\)) and, to a lesser extent, the most strongly coupled model with ambipolar diffusion (model ad-els1.25). However, strong turbulence is not required for active accretion. This is where the ambipolar diffusivity (and other magnetic diffusivities) comes into play. It is well known that ambipolar diffusion tends to weaken, or even suppress, the MRI (e.g. Blaes & Balbus 1994; Bai & Stone 2011, 2013; Simon et al. 2013a; see Turner et al. 2014 for a review). It is consistent with the trend that we find in our parameter survey (Section 4 and Fig. 13). What is perhaps less obvious at first sight is that the damping of the (MRI-induced) turbulence by ambipolar diffusion (or other means, such as Ohmic dissipation; see e.g. Paper I) does not necessarily lead to a shutdown of the disc accretion. Rather, accretion proceeds through another mode: laminar disc accretion driven by a laminar disc wind (Paper II; Riols & Lesur 2018). In hindsight, it is perhaps not too surprising that ordered, laminar disc winds can drive fast disc accretion. Indeed, early semi-analytic non-ideal MHD models of coupled disc–wind systems tend to run into the opposite problem: if a magnetocentrifugal disc wind is to explain the fast jets observed in young stars, it would require a strong disc magnetization corresponding to \(\beta \sim 1\) and would drive the disc to accrete at an uncomfortably high level with accretion velocities comparable to the disc sound speed (see e.g. Wardle & Koenigl 1993, their fig. 1; Ferreira & Pelletier 1995; Li 1996). The disc accretion speed can be reduced by weakening the magnetic field strength (i.e. increasing plasma-β), but for a weakly magnetized disc with \(\beta > 1\) the wind is no longer driven magnetocentrifugally (as beaks on a rigid wire; Blandford & Payne 1982). Instead, the wind is driven by the pressure gradient from a predominantly toroidal magnetic field (see e.g. Bai et al. 2016, the discussion in Section 3.1, and Figs 2c and 3d for illustration). Despite this, kink instabilities are widely expected in such toroidally dominated magnetic field configurations, there is no clear evidence for their presence in our 3D simulations. The net result is that in the presence of a relatively large ambipolar diffusivity, both the wind and the actively accreting disc are largely laminar. This is very different from the dynamical state of the traditional disc driven turbulent by MRI, as already recognized in the literature (see Armitage 2015 for a review; his section 5.4.4). This difference is expected to have implications for

\(^4\)Note, however, that the two views of wind acceleration (centrifugal versus magnetic) are mathematically equivalent, as discussed in detail in section 4.1 of Spruit (1996).
the dust dynamics and size evolution, which are closely tied to the gas dynamics.

5.2 Actively accreting, highly structured, laminar protostellar discs

An actively accreting laminar disc does not have to be featureless, however. For example, Pcala et al. (2016) have shown that the dust in the disc of HL Tau must be settled to a vertical extent of only 1 au at radii as far out as 100 au, implying that the turbulent $\alpha$ parameter must be on the order of $10^{-4}$. As HL Tau is the archetype for accreting protoplanetary discs with axisymmetric substructure, it is now clear that turbulence in circumstellar discs is not a prerequisite for active accretion.

We have shown through both 2D (axisymmetric, Paper II) and 3D simulations (this paper) that two important types of disc features develop naturally in the wind-launching AD-dominated non-ideal MHD disc: (1) mass accretion concentrated to a thin layer near the disc mid-plane (see e.g. Fig. 2d) and (2) the formation of rings and gaps. We attribute the former mostly to ambipolar diffusion, which weakens the MRI and thus allows a laminar flow to develop in the first place. Furthermore, it helps steepen the vertical gradient of the toroidal magnetic field $B_\phi$ (via the Brandenburg & Zweibel (1994) mechanism) and, thus, the radial component of the current density, which is responsible for driving the disc accretion through a magnetic torque. The latter follows naturally from the former, which enables the sharp pinching of the poloidal field lines in the radial direction. This, in turn, leads to magnetic reconnection at the heart of ring and gap formation, through the redistribution of the poloidal magnetic flux relative to the disc material. The sharp pinching of magnetic field lines is also present in the azimuthal direction. This could in principle lead to the reconnection of the sharply reversed toroidal magnetic field, the driver of the fast mid-plane accretion. The reconnection of toroidal magnetic field lines at the disc mid-plane should be captured in these 3D simulations, however, we have shown that the formation of both types of AD-induced substructures – the fast mid-plane accretion layer and axisymmetric rings and gaps – are still possible in 3D.

There are several implications for highly structured yet laminar accreting discs. First, the lack of strong turbulence in the disc, especially above and below the mid-plane accretion layer, makes it easier for the dust grains to settle towards the mid-plane. This is expected to be particularly significant for protostellar discs that accrete at a high rate; such discs were expected to be more turbulent in the traditional picture of MRI-driven accretion. Secondly, the dust grains that have settled to the mid-plane can in principle be moved quickly inwards by the fast gas accretion in the thin layer near the mid-plane through dust-gas drag. This is in addition to the natural tendency for dust grains to drift inwards relative to the gas due to their faster orbital speeds and the resultant ‘head-winds’ in the $-\hat{\phi}$ direction. The dust accretion is expected to be faster through the low-surface density gaps than in the rings both because the gas accretion speed there tends to be higher and because the radial dust-gas drift speed increases with the Stokes number for grains in the size range most relevant to radio observations (of order 1 cm or less). The Stokes number is inversely proportional to the gas surface density and thus larger (and closer to unity) in the gaps (e.g. Armitage 2015, their section 7.1). Thirdly, and perhaps most importantly, such fast radially migrating dust grains are not expected to be lost from the laminar outer part of the disc quickly. They should be parked in the overdense rings where the gas accretion speed is lower and the gas pressure is at a local maximum in the radial direction; pressure maxima are well-known dust traps (Whipple 1972; see also section 8.2 of Armitage 2015).

An important point is that the rings (and their associated clumps) are produced through our mechanism early in the process of star formation, when the bulk of the gas and dust is processed through the disc. Indeed, the rings (and gaps) are an inevitable by-product of the localized mid-plane accretion driven by the disc wind in an AD-dominated disc threaded by a global poloidal field. If the grains can grow and settle quickly in the disc during the early (Class 0 and I) phases, it may be possible to start trapping the grains much earlier than the protoplanetary (Class II or later) phase, when much more dust is available. The notion of a highly structured yet laminar protostellar disc opens up the possibility that planets (and perhaps planets) could form early in discs during the Class 0 and I phases of star formation.

In addition, the thermal structure of the disc is expected to be affected by these novel disc features, especially in the thin mid-plane accretion layer, where the release of the gravitational binding energy is concentrated and the heating by ambipolar diffusion and magnetic reconnection is the most intense. The thermal structure could thus have important implications for the disc chemistry (including snow lines) that should be explored in the future. Furthermore, the concentration of magnetic diffusion and reconnection in a thin mid-plane accretion layer has implications on how the poloidal magnetic flux is transported throughout the disc, which is an important unsolved problem in disc formation and evolution (e.g. Bai & Stone 2017).

6 SUMMARY

We have carried out a set of 3D non-ideal MHD simulations of magneto-actively coupled discs–wind systems with different values of ambipolar diffusivity and magnetic field strength. Our main conclusions are as follows:

(i) We illustrated the formation of prominent rings and gaps in 3D in the presence of a moderate level of ambipolar diffusion (with an ELSasser number of order unity at 10 au) and a relatively strong magnetic field (corresponding to $\beta = 10^3$). These disc substructures are formed through the same mechanism identified previously in the axisymmetric case: the redistribution of the poloidal magnetic flux relative to the disc material via the reconnection of highly pinched poloidal magnetic field lines in the radial direction. The redistribution is shown clearly in the anticorrelation of the disc surface density and the vertical magnetic field strength at the mid-plane, with gaps of enhanced surface density less strongly magnetized compared to the gaps.

(ii) The rings and gaps that develop from the axisymmetric initial conditions adopted in the 3D reference simulation remain nearly axisymmetric at early times. Significant azimuthal variations do develop at later times, especially at relatively large radii where the magnetic field is better coupled to the bulk disc material. The variations may be caused by the development of azimuthal modes of the magnetorotational instability (or other instabilities) and/or reconnection of a highly pinched magnetic field in the toroidal direction. They do not grow to such an extent as to disrupt the rings and gaps completely, however. The largely coherent rings of enhanced surface density persist to the end of the reference simulation, which lasts for 1500 orbits at the inner disc edge.

(iii) We demonstrated through a set of simulations that there are two modes for the coupled disc–wind system in 3D depending on the level of ambipolar diffusivity, as in the axisymmetric (2D) case.
In magnetically well-coupled systems with zero or low diffusivities, both the disc and the wind become chaotic by the development of the so-called avalanche accretion streams, a variant of the magnetorotational instability. Highly variable, clumpy substructures develop in the distributions of both the poloidal magnetic field and surface density, particularly in the form of tightly wound spiral arms. As the ambipolar diffusion increases, both the disc and the wind become more laminar, with the disc accretion concentrating in a mid-plane layer and the disc substructures becoming more coherent with more axisymmetric rings and gaps. The disc substructures also depend on the initial magnetic field strength, becoming less prominent in a more weakly magnetized system.

(iv) The wind-driven laminar mode of disc accretion in the presence of relatively strong ambipolar diffusion has implications for dust dynamics and grain-size evolution. The lack of turbulence would allow dust grains to settle towards the mid-plane more easily, and the fast gas accretion in the mid-plane current layer may advect the settled grains inwards quickly. The radially migrating grains may be trapped, however, in the dense rings that develop naturally in such systems. It will be interesting to quantify how the grains settle, migrate, and grow in a laminar yet highly structured disc, especially during the early phases of star formation.

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Ring and gap formation in 3D

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SUPPORTING INFORMATION

Supplementary data are available at *MNRAS* online.

**Figure 1.** The azimuthally averaged density (colour contours) in the 3D reference simulation.

**Figure 6.** The azimuthally averaged density (colour map) and the $\phi$-integrated magnetic flux contours (or effective poloidal field lines, black lines) in the reference simulation.

**Figure 7.** Spatial variation of the vertical magnetic field strength at the mid-plane normalized to its initial value for the reference simulation.

**Figure 10.** Spatial variation of the disc surface density normalized to its initial value for the reference simulation.

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