A New Device to Mimic Intermittent Hypoxia in Mice

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Introduction

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Abstract

Intermittent hypoxia (hypoxia-reoxygenation) is often associated with cardiovascular morbidity and mortality. We describe a new device which can be used to submit cohorts of mice to controlled and standardised hypoxia-normoxia cycles at an individual level. Mice were placed in individual compartments to which similar gas flow parameters were provided using an open loop strategy. Evaluations made using computational fluid dynamics were confirmed by studying changes in haemoglobin oxygen saturation in vivo. We also modified the parameters of the system and demonstrated its ability to generate different severities of cyclic hypoxemia very precisely, even with very high frequency cycles of hypoxia-reoxygenation. The importance of the parameters on reoxygenation was shown. This device will allow investigators to assess the effects of hypoxia–reoxygenation on different pathological conditions, such as obstructive sleep apnoea or chronic obstructive pulmonary disease.

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Materials and Methods

Gas mixture and Mouse Chamber Design and Set Up

In contrast to previous approaches to the problem, our model is based on laminar flows and infusion of carefully determined gas mixtures. The circuitry of the device is shown in Figure 1A. The movement of an injection-fluid front through the chamber allows a rapid change in the inhaled-gas composition. To achieve this, gas flow conditions are formed by two parallel flow lines: One line supplies air containing 21% oxygen and 79% nitrogen and is fed by a compressor tank [2, Nitrocraft NC LC MS 013 C, capacitance 500 L]; the second line supplies the system with nitrogen (99.5%) provided by a nitrogen compressor tank [2, Nitrocraft NC LC MS 013 C, capacitance 100 L]; the second line supplies the system with nitrogen (99.5%) provided by a nitrogen compressor tank [2, Nitrocraft NC LC MS 013 C, capacitance 100 L]; the second line supplies the system with nitrogen (99.5%) provided by a nitrogen compressor tank [2, Nitrocraft NC LC MS 013 C, capacitance 100 L]. Both tanks are pressurized at an effective pressure level of 7 bars. The two lines are then split into two half-flows using a T-connection (3). For both lines, the first half-flow is directed to a flowmeter with an adjustable valve (4, Brukert, 8711 MFC 10 L/min). The second half-flow is directed through a solenoid DC valve (5, Brukert, 6027 A 4.0 FKM VA). The two half-flows are then joined again using a T-connection (3). To avoid back flow, check valves (6) are placed downstream of the T connections. The two lines are then joined together into a single flow mixture through a Y-connection (7). The gas flow is then driven through a gas regulator (8, Masterflex, Single-Stage Regulator, 98202-03) to reduce the pressure from 7 bars to 0.7 bars. A static mixer (9) is then inserted in order to ensure the homogeneity of the mix. The resulting gas mixture (containing a specified oxygen level) is then driven through the plastic mouse cage (10) divided into 5 independent compartments each designed to fit one mouse (11). The oxygen level is measured by the oxygen sensor (12, Sensortechnic, the oxygen sensor XYA5M with the circuit board ZBXYAF, placed downstream of the cage. Finally the gas mixes are released. The whole system is controlled by a real-time device (14, E.D.&A., High Professional Controller model V5–19). Mouse behaviour is monitored by a specific device (see below). A notebook connected to the system enables the data to be recorded, saved and visualized for further processing.

Computational fluid dynamics (CDF) calculations were performed using the Fine Hexa 2.10 Numeca software. Simulations were performed using a 2D model, thus neglecting the upper (roof) and lower (ground) wall effects. They were performed using a 2D air laminar flow of 5 l/min at 20°C and lower (ground) wall effects. These simulations were performed on empty compartments, thus neglecting the possible influence of animal movement on flow conditions. Given the parameters of the system (flow rate, length and diameter of the pipes, size of the box, used devices) we chose an open loop strategy to assess its efficacy. This control system was divided into two parts: the first part responsible for controlling the oxygen levels between 21 and 13%, and the second part to control them between 12-0 %. The division was forced by a real-time controller able to open the DC valve in a minimum time of 20 ms for all possible sets of instructions. As this time is too long to adequately control the reference signal above the 12% oxygen concentration, a small overshoot on the minimum level of the oxygen was provided by the system. The valves directing the two parts of the system are controlled using the equations below. Taking into account the flow rate conservation law, the flow rate of mixing lines is the sum of the two incoming flow lines:

\[ P = S_1 + S_2 \]  

From mass conservation and concerning a perfect mixing of the two incoming line, for desired flow rate of the product mixing line (P) and desired oxygen level C_d, we can write:

\[ P C_d = S_1 C_1 + S_2 C_2 \]  

Then from equation (1) the flow rate S_1 (l/min) for the normal air line is calculated as:

\[ S_1 = \frac{P(C_d - C_2)}{C_1 - C_2} \]  

Above equations are limited to the oxygen level:

\[ C_2 \leq C_d \leq C_1 \]  

As well as flow rate

\[ P = S_1 + S_2 \leq 20 \text{ l/min} \quad \text{and} \quad S_1, S_2 \leq 10 \text{ l/min} \]  

Where:

- P is the flow rate of the mixing line (product)
- S_1 is the flow rate of the normal air line
- S_2 is the flow rate of the nitrogen line
- C_d is the minimum oxygen concentration of the product line
- C_1 is the oxygen concentration in the normal air line
- C_2 is the oxygen concentration in the nitrogen line

The user has to set the desired flow rate of the product line (P) and a minimum oxygen concentration of the product (C_d). The real-time controller then monitors the oxygen concentration in line 1 (C_1) and line 2 (C_2) as an average of measurements of 1000 values. The controller then monitors the reference signal of the desired oxygen concentration (C_d) and calculates the line 1 (S_1) and the line 2 (S_2) flow rates based on equations (1) and (2). However, as the analogue valves do not respond fast enough to the requested signal (especially at minimum and maximum values), we decided to add DC valves controlling normal air for the first strategy (when the required FIO2 was between 21%-13%, Figure 2 A) and both normal air and nitrogen for the second one (when the required FIO2 was between 12%-0%, Figure 2 B). These valves decrease the time needed to reach critical points of the control signal because they open in advance and for long enough to obtain a minimum nitrogen level and a maximum oxygen level.

The currently firmware that is installed in the system is able to realize the number of cycles between 1 and 9999 with the FIO2 between 21% and 0.5% and the different time periods of 15 s hypoxia - 45 s normoxia, 20 s hypoxia - 60 s normoxia, 30 s hypoxia - 30 s normoxia, 40 s hypoxia - 20 s normoxia. Moreover, it is also possible to do test with different FIO2 (21%, 18%, 15%, 12%, 9%, 6%) during 3 minutes. New experiments were performed in order to answer that question. Thus, to evaluate the system, partial oxygen pressure was measured downstream of the cage (using the oxygen sensor XYA5M with the circuit board ZBXYAF, Sensortechnic™, Munich, Germany). The actual inspiratory fraction of oxygen (FIO2) and the time needed to reach a stable composition (≥1%) were evaluated for different FIO2 values required (21%, 18%, 15%, 12%, 9% and 6%). The actual FIO2 values were very similar.
A biological validation of the model was obtained by tran
cutaneous measurements of the oxygen saturation of arterial
aemoglobin during exposure. All procedures met the standards of
the national Belgian requirements regarding animal care and were
carried out in accordance with the Animal Ethics and Welfare
Committee of the University of Mons. NMRI mice, weighing 30–
54 g, were obtained from an internal animal breeding facility. The
mice were housed using a 12:12 h (light:dark) photoperiod and
were given unrestricted access to food and water except during the
experimental periods. During the experiments, mice were not fed
or watered within the device. Pulse oxygen saturation levels were
recorded using an oximeter placed around the animal's neck. This
device integrates a spectrophotometer and a photoplethysmograph
(Collar Clip™, MouseOx® with Software 6.20; Star™ Life
Sciences Corp. Oakmont, PEN). All efforts were made to minimise
stress and animals were sedated with an intraperitoneal injection
of ketamine (Ketalar® Pfizer, 50 mg/kg of body weight) and
xylazine (Sigma-Aldrich, 10 mg/kg).

In a group of 7 animals, we first evaluated the degree of
desaturation when a stable low oxygen pressure was acutely
applied to the chamber for 3 minutes. The animals were then
exposed to cyclic hypoxia with the different FIO2 values
mentioned above. The duration of the cycle was fixed at 60
seconds. A synchronization signal was first emitted. The hypoxic
phase was then progressively lengthened from 15 to 20, 30 and 40
seconds during four consecutive cycles. The chronology (latency
and time to nadir) and the amplitude of desaturation and
resaturation were, therefore, studied as a function of the duration
of the hypoxic phase. For the 12% FIO2, the measurements were
repeated in positions 1, 3 and 5 of the box. Three cycles of each
hypoxic-phase duration were studied. Finally, as a stable level of
desaturation/resaturation was not reached after 3 cycles in some
conditions, these measurements were repeated during chronic

![Figure 1. A device providing reliable and homogenous gas concentrations in individual mouse rooms. A: Technical scheme of the
circuitry 1) air compressor and tank, 2) nitrogen compressor and tank, 3) T-connectors, 4) flowmeter with an analogue valve, 5) solenoid valve, 6)
check valve, 7) Y-connectors, 8) pressure regulator, 9) static mixer, 10) mouse cage, 11) mouse, 12) oxygen sensor, 13) outlet of gas mixes, 14)
controller with touch panel, 15) device to measure arterial blood oxygen saturation, 16) notebook to control, visualize and save the data. Solid line:
pipes, dashed line: electrical wires. B: Insertion of splitters in the airflow allows gas concentrations to be homogenised within the mouse chambers;
CFD simulation of the gas flow in the mouse box without (a) and with (c) splitters; velocity profiles inside each box without (b) and with (d) splitters
(section cut). doi:10.1371/journal.pone.0059973.g001]
cyclic hypoxia (10-minute duration recordings). All measures were made in triplicate.

Data Analysis and Statistics

The saturation data were submitted to signal extraction to eliminate erratic data (software error code > 3). Data were averaged and are expressed as mean ± SD. Statistical analysis was performed using an ANOVA on rank or a one-way ANOVA followed by a Newman-Keuls test (Sigma Plot 12.3 Software) when appropriate. A p value < 0.05 was considered as significant.

Results

The circuitry of the device is detailed in the material and methods section and schematised in Figure 1A. It ultimately leads into the customised cage divided into 5 compartments each allowing a single mouse. A series of questions was asked to assess the validity of the device design and of the CFD simulations.

Firstly, is it possible to generate similar flows in the five individual compartments? To equally divide the main flow otherwise directed at the central room (Figure 1Ba and 1Bb), five splitter plates were introduced into the device next to the gas inlet. We used an empirical procedure to adjust the plate angles and dimensions and performed CFD simulations for each new splitter plate geometry until division of the flow into 5 smaller components was optimal (Figure 1Bc). Velocity profile analysis (Figure 1Bd) indicated that this ultimately led to the same conditions in all compartments with a difference of no more than ± 10% in each room compared to the mean velocity.

Secondly, does the oximetry reliably mimic the required oxygen signal? To answer this question, oxygen levels were recorded using the oxygen sensor located at the exit of the box. For this purpose, we programmed the oxygen input to be delivered as a square wave signal (Figure 2) for 4 cycles of 60 seconds each. Different cycle parameters in a hypoxia-reoxygenation model) according to different FIO2 values tested. Values are expressed as mean ± SD. Statistical analysis was performed using an ANOVA on rank or a one-way ANOVA followed by a Newman-Keuls test (Sigma Plot 12.3 Software) when appropriate. A p value < 0.05 was considered as significant.

| A | Desired FIO2 (%) | 21% | 18% | 15% | 12% | 9% | 6% |
|---|-----------------|-----|-----|-----|-----|----|----|
| Actual FIO2 (%) | 20.6 ± 0.1 | 17.5 ± 0.3 | 14.6 ± 0.2 | 11.8 ± 0.2 | 8.8 ± 0.2 | 5.9 ± 0.3 |

| B | FIO2 to reach | 18 ± 1% | 15 ± 1% | 12 ± 1% | 9 ± 1% | 6 ± 1% |
|---|---------------|---------|---------|---------|-------|------|
| Time to reach the FIO2 | 4.08 ± 0.9 s* | 10.6 ± 0 s | 9.12 ± 1 s | 9.78 ± 0.9 s | 14.84 ± 4.2 s* |

*Indicates significant difference (p < 0.001) between the time to reach and 30% normoxia, 60 s normoxia, and 60 s normoxia.

Difficulties in changing oxygen levels rapidly are intrinsic to fluid dynamics and related to changes in pneumatic line geometry, viscosity and compressibility of the medium. When the mouse box oxygen concentration was compared to that in the pneumatic low pass-filter in the pneumatic line, the average difference compared to the reference signal was about 5.7 ± 0.6%. This is likely the results of cuts at higher frequencies (an intrinsic property of this kind of filter), which have a big influence on the control loop.

Figure 3 indicates that a significant increase in haemoglobin oxygen saturation was observed for all FIO2 increments from 6% to 21% (p < 0.001) and that there was indeed a linear correlation when haemoglobin saturation was plotted as a function of FIO2. Figure 4 superimposes the traces of FIO2 and haemoglobin oxygen saturation during cyclic hypoxia in a representative animal. The saturation did not reach equilibrium during the hypoxic phase (FIO2 12%). In addition, the longer the hypoxic phase, the lower was the nadir. However, the nadir alone was not sufficient to describe the evolution of saturation. Before continuing, we, therefore, defined three parameters: the latency, which is the time between change in FIO2 and the beginning of the change in saturation; the time to peak, which is the time elapsed between the first and maximal change in saturation; and the amplitude of change, which is the difference in saturation between the first and the maximal change in saturation.

Fourthly, does the system allow a cyclic change in oxygen saturation of arterial haemoglobin? In other words, can we design cycles allowing desaturation/resaturation (two important parameters in a hypoxia-reoxygenation model) according to different FIO2 values? To evaluate the effect of the hypoxic-phase duration on desaturation and resaturation, FIO2 values of 6%, 9% and 12% were applied according to the following cycles: (1) 15 seconds hypoxia – 45 seconds normoxia, (2) 20 seconds hypoxia – 40 seconds normoxia, (3) 30 seconds hypoxia – 30 seconds normoxia,
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Figure 3. Oxygen saturation of haemoglobin as a function of FIO₂. Values of haemoglobin oxygen saturation are measured after 3 minutes of exposure to a FIO₂ and expressed as means ± SD for the different FIO₂ values. Comparisons were made using a one-way ANOVA followed by Newman-Keuls test. *p<0.05. doi:10.1371/journal.pone.0059973.g003

Figure 4. Evolution of haemoglobin oxygen saturation in response to a cyclic change in FIO₂. Traces from a representative animal. Saturation was assessed during four consecutive cycles of 60 s during which the hypoxic phase was progressively lengthened (15, 20, 30 and 40 s). The red line corresponds to FIO₂ in the inspired air, the black line to the transcutaneous measurement of haemoglobin oxygen saturation of the animal. Latency (1); time to peak (2) and amplitude of change in saturation (3). doi:10.1371/journal.pone.0059973.g004

(4) 40 seconds hypoxia – 20 seconds normoxia. A typical result is shown in Figure 5 and quantifications are reported in Table S1.

When the results obtained with the different FIO₂ values and the different durations of the hypoxic phases were compared (Table S1), there were no significant differences in latency. With increasing hypoxic-phase duration, there was a significant difference in the time to peak desaturation and the time to peak resaturation. In addition, the desaturation and resaturation amplitudes were larger as the FIO₂ decreased. As stated in the results section, a decrease in the amplitude of resaturation was observed when the normoxic phase was reduced to 20 s, independently of the applied FIO₂. This indicates that the period of time was not sufficient to achieve a complete resaturation of haemoglobin.

Fifthly, does the homogeneity of the airflow translate into similar haemoglobin saturation levels in the different compartments? To address this question, the mice were placed in the peripheral and central compartments and measurements were repeated with an FIO₂ of 12% (Table S2). Figure 6 shows a representative record of the haemoglobin oxygen saturation obtained on three individual mice in compartments 1, 3 and 5. These results indicate that the profiles were similar for all three mice. The mean (±SD) results of the 7 animals are reported in Table S2. There were no significant differences among mice located in different compartments in terms of latency or time to nadir. Concerning the amplitude of the change in saturation, the results from the first, second and third cycles differed from one another when the hypoxic phase lasted 40 seconds. The values of the three cycles were, therefore, not averaged and comparisons of amplitude of desaturation or resaturation were made for the third cycle. There were no significant differences related to the position in the box.

Finally, do all these parameters persist during a long period of cyclic hypoxia? In order to determine the effect on saturation of a prolonged cyclic exposure, animals were exposed to 30 second hypoxia – 30 second normoxia cycles (at FIO₂ 6%, 9% and 12%) and to 15 second hypoxia – 45 second normoxia cycles (at same FIO₂) for 10 minutes. Figure 7 shows a representative record of the haemoglobin oxygen saturation during cycles alternating 30 second of normoxia and hypoxia. As indicated previously, the amplitude of desaturation was dependent on the FIO₂. When looking at the time effect, the amplitude was the same throughout the 10 cycles at 12% FIO₂ and decreased significantly from the first to the second and from the first to the fourth cycle at 9% and 6% FIO₂, respectively. Thereafter, the amplitude of desaturation remained stable. The decreases in amplitude between the first and
subsequent cycles were, however, limited and reached 4 and 5%, respectively. Regarding cycles alternating 15 second of hypoxia – 45 second normoxia (Figure 8), the results indicated that the amplitude of the 10 cycles remained stable at 12%, 9% and 6% FIO2.

Discussion

The aim of the present work was to provide proof of concept of a device enabling mice to be subjected to standardised, reproducible and fully controlled cycles of intermittent hypoxia. For this purpose, several requirements had to be met:

a. Homogeneity of the Conditions in the Different Compartments

CFD simulations helped us to design the size and geometry of the splitter plates at the inlet of the chamber in order to supply the 5 compartments homogenously. Computed simulations were satisfactory, but it was not possible to introduce all potential parameters (e.g., the presence of a moving animal) into this theoretical model. A biological validation was, therefore, performed. This suggested that the design of the device was adequate because the pattern of desaturation-resaturation was similar in the different compartments, in terms of the timing and the amplitude of desaturation. Animal movements, which may influence the flow, had a negligible effect.

b. Set up of Input Parameters to Achieve Hypoxia and Reoxygenation

The open loop strategy proved to be a good choice because it is easily programmable (easy changes in required parameters, such as oxygen level, cycle length or number) and enables good control of the hypoxia-reoxygenation cycles. There are, nevertheless, some limitations related to mechanical and electronical constraints. Firstly, the analogue flowmeter valve measures flows between 0–10 l/min both for air and nitrogen, thus enabling, at most, a combined flow of 20 l/min to be measured. Secondly, the nitrogen compressor provides nitrogen with a pollution level less than 0.5% only for a maximum flow of 10 l/min. Thirdly, minimum times required for DC valve opening limit the minimum length of the control period and, therefore, the minimum time required to obtain the desired gas concentration. Hence, 3 seconds were needed to achieve concentrations of 20% oxygen starting from a minimal level for the first loop and 4 seconds for the second loop. On the other hand, 18 seconds were needed to downregulate the oxygen level from maximum to minimum levels for the first loop and 11 seconds for the second one. It would be possible to improve these times, but this would submit mice to acoustic stresses that could interfere with the experiments. Nevertheless, these values are sufficient to generate a cyclic variation of oxygen pressure with a rhythm as high as 100 per minute and an FIO2 as low as 6%.

c. In vivo Consequences

When a hypoxic gas mixture was breathed in, the haemoglobin oxygen saturation decreased first rapidly, then more progressively. The nadir observed was proportional to the FIO2 (Figure 5) and

![Figure 5. Representative records of haemoglobin oxygen saturation during hypoxia–reoxygenation with different durations of the hypoxic phase. During the four consecutive 60 s cycles, the hypoxic phase was progressively lengthened (15, 20, 30 and 40 s). The black line corresponds to the oxygen saturation of haemoglobin, the red line to FiO2 in the inspired air. The three graphs represent the cycles with different FiO2 values during the hypoxic phase (A: 12%; B: 9%; C: 6%). doi:10.1371/journal.pone.0059973.g005](image1)

![Figure 6. Representative records of haemoglobin oxygen saturation at different positions in the chamber. The black line corresponds to box 1, the green line to box 3 and the red line to box 5. FiO2: 12% alternating with 21% every 30 s. doi:10.1371/journal.pone.0059973.g006](image2)
was as low as 50% when the FIO2 was only 6%. The nadir was not
dependent on the duration of the hypoxic phase (the shortest
duration tested was 15 sec). In contrast, the amplitude of
resaturation was drastically influenced by the length of the
normoxic phase. When the normoxic phase was 45 seconds (see
Table S1), the amplitude of resaturation was identical to the
amplitude of desaturation meaning that the animal returned to
normal saturation values at the end of the first cycle of hypoxia-
reoxygenation. When reduced to 30 or 20 seconds, the duration of
the normoxic phase was not long enough to allow complete
resaturation and the amplitude of desaturation and resaturation
then differed. During the subsequent cycle, the amplitude of
desaturation thus decreased even if the nadir of saturation was
slightly lower. The swing of oxygen pressure in the body is,
therefore, reduced when the reoxygenation time is too short. This effect was present but limited when the time was 30 seconds or more. Although a rhythm of cyclic hypoxia above 100 per minute is technically feasible, profound hypoxia–reoxygenation is difficult to generate with a frequency greater than 90 per minute.

In the obstructive sleep apnoea syndrome, it has now been well demonstrated that the frequency of apnoea is directly associated with the consequences of the disease (cardiovascular morbidity and mortality). This disease is generally considered as severe when the apnoea-hypopnoea index (the number of desaturation-resaturations) is more than 30 per hour of sleep and this index can reach a value close to 100 [2]. The amplitude of desaturation is also important. It has been shown that the systemic inflammation associated with sleep-disordered breathing is proportional to the nadir of haemoglobin oxygen saturation and nadir values less than 70% are observed [9]. These extreme clinical situations are similar, in terms of cycles of hypoxia-reoxygenation and severity of desaturation, to the ranges achievable with the present device.

In the literature, describing chronic intermittent hypoxia rat models where a fall of the arterial oxyhaemoglobin saturation was induced to levels of ≈ 60 % to 80 % when animals were exposed to FIO2 of 3–5% during 15 seconds [10,11]. Thus, amplitudes of desaturation obtained with our device are comparable with the ranges to be generated as measured by arterial oxygen saturation levels. Thanks to its unique technical approach using laminar flows and infusion of pre-determined gas mixtures, this system can very precisely generate various environments imitating pathologies of different severities. This device has several important perspectives for the future. Indeed, using a murine model, we will be able to mimic sleep apnoea, which can be the result of several factors, affects millions of people, and is independently associated with increased cardiovascular risk. This model will allow us to monitor and analyse phenomena that are known or suspected to be associated with the condition, including production of reactive oxygen species, subsequent oxidative stress, inflammation, and skeletal muscle dysfunction, and also help in emerging studies, for example to investigate the role of hypoxia in the regulation of the immune system.

**Supporting Information**

**Table S1 Evolution of haemoglobin oxygen saturation as a function of the FIO2 and duration of the hypoxic phase.**

(PDF)

**Table S2 Effect of position on the change in haemoglobin oxygen saturation.**

(PDF)

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**Author Contributions**

Conceived and designed the experiments: KJC SC MK AVM KZB AL GC. Performed the experiments: KJC SC. Analyzed the data: KJC SC KZB MV AL GC. Contributed reagents/materials/analysis tools: LV PVA GC. Performed the experiments: KJC SC. Contributed technical assistance.

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