Hybrid Automaton Control of Three Phase Reduced Switch Shunt Active Power Filter Connected Photovoltaic System

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Abstract: In this paper, a new controller design method based on hybrid automaton approach is proposed to solve the problem of controlling a three-phase reduced switch shunt active power filter (SAPF) connected to photovoltaic system. The control objective is twofold: (i) ensuring a satisfactory power factor correction (PFC) by compensating the harmonic and reactive currents absorbed by the nonlinear load; (ii) regulating the voltage in the output of the photovoltaic panels to a reference provided by the MPPT block in order to guarantee the power exchange between the photovoltaic source and three-phase electrical power grid. The considered control objectives are dealt with using a new two-loop cascaded controller. The hybrid automaton approach is applied in the inner loop, which ensures the operation modes of switching design in order to ensure a unity power factor. A proportional-integral (PI) regulator is used in the outer-loop to ensure the tight regulation of the voltage across the photovoltaic panels with a well-known P&O algorithm (MPPT). Finally, it is demonstrated through simulation results under Matlab/Simulink SimPowerSystems environment that the proposed automaton model and its controller can achieve the desired objectives.

Keywords: Shunt active power filter, PV system, Hybrid automaton model, MPPT, PI regulator, PFC.

1. INTRODUCTION

The increasing use of electronics loads into commercial and industrial applications have become major causes of the degradation of power quality due to its highly nonlinear structure. These nonlinear loads change the sinusoidal nature of the AC power current by drawing harmonic and reactive currents in the system which results in several effects including the distortion of the voltage waveform at the point of common coupling (PCC) and worsen power factor correction (PFC) performances (S. Echalih et al., 2019b).

Various studies proved the high dynamic performance of shunt active power filter (SAPF) to meet the harmonic standards. Many efforts have been made to develop the classical topologies for three-phase SAPF (Rahmani et al., 2010). In this way, the reduced switch SAPF, which presents reduced switch number converter less than a conventional full-bridge converter (Abouelmajhoub et al., 2018) has the advantages by using the minimum of semiconductors to offer increased speed and fewer control functions which results a higher filtering capability. The PV panels have received much attention with many feasible applications. SAPF connected photovoltaic systems are widely used as a better choice to transfer the real power to the nonlinear load and support reactive and harmonic power simultaneously, which can reduce the usage of electricity from the power grid (Z. Hekss et al., 2019b). A nonlinear control of single-phase SAPF connected PV systems based on sliding mode approach is addressed in (Z. Hekss et al., 2019a). The solar PV modules are characterized by a non-linear behavior that depending on environmental conditions such as solar irradiation and environment temperature which make difficult to predict the voltage and current to guarantee the maximum power production. According to the literature review, many various MPPT algorithms have been developed for PV power generation. P&O algorithm is presented in (Ishaque et al., 2014) as a reference method due to its efficacy and its ease of implementation. In most previous work, the most existing controllers are designed under the averaged nonlinear model for single-phase and three-phase SAPF whose principle is based on calculating average values of all the variables over one sampling period. A nonlinear control using Lyapunov technique is addressed to ensure PFC objective of reduced-part three-phase SAPF in (Abouelmajhoub et al., 2018). Recently, a hybrid automaton model is presented as a new switching design for power electronics systems (Senesky et al., 2003). The Hybrid automaton model allows the system to use powerful tools of the controller, which makes the possibility to take into account the continuous and discrete evolutions jointly. A new hybrid automaton control is introduced in (S. Echalih et al., 2019a), which the topology of SAPF based on interleaved buck converter.

In this paper, we propose a new approach of a control strategy that does not require an averaging model for three-phase reduced switch SAPF supplied by a photovoltaic generator and associated with nonlinear load. The control strategy consists of two loops: an inner loop of current control and an outer loop of voltage control. The controller inner-loop is designed using
the hybrid automaton in order to achieve a perfect power factor correction as possible. The outer loop is designed using a proportional-integral PI regulator to guarantee the MPPT issue with a well-known P&O algorithm for the regulation of DC-link capacitor voltage.

The paper is organized as follow: In section 2, the mathematical model and system description are described. Section 3 is devoted to the controller design of the system. The controller tracking performances are illustrated by numerical simulation showing the effectiveness of the proposed topology and controller in section 4. Finally, the paper ends with a conclusion in section 5.

2. SYSTEM DESCRIPTION AND MODELING

This section describes the modeling of three-phase reduced switch SAPF integrated with PV system as shown in Fig. 1. The system is composed of a three-phase supply voltage which in include an internal impedance formed by a resistors and an inductors \((r_{gj}, L_{gj} ; j = 1, 2, 3)\), nonlinear load and two photovoltaic panels connected to the three-phase power grid via a SAPF, which has a reduced switch number. A three-phase two-level IGBT based half-bridge inverters are employed to function as the SAPF as two identical capacitors values of energy storage \(C_p\) placed on the DC side.

In the AC side, the SAPF through a filtering impedance \((R_fj, L_{fj} ; j = 1, 2, 3)\) is connected in parallel with a nonlinear load to the grid power. The nonlinear load consists of a full bridge rectifier with the resistive-inductive load.

\[ v_{gj}(t) = E_g \sin \left( \omega_g t - \frac{2\pi}{3} (j - 1) \right); j = 1, 2, 3 \]  

where \(E_g\) and \(\omega_g\) denote respectively, the amplitude and the angular frequency of the three phase power grid. The resulting load currents \((i_{lj}(t) ; j = 1, 2, 3)\) are given by their Fourier expansion:

\[ i_{lj}(t) = \sum_{h=1}^{\infty} I_{lh} \sin(h(\omega_g t + \varphi_{jh})); j = 1, 2, 3 \]  

where \(I_{lh}\) and \(\varphi_{jh}\) denote, respectively, the amplitude and the phase of the harmonic current of order \(h\).

2.1 Photovoltaic System:

In this work, we consider two identical photovoltaic array modules of 1STH-215-P type, built of \(N_p\) strings of photovoltaic modules connected in parallel. Each string consists of \(N_m\) modules connected in series. The corresponding electrical characteristics of 1STH-215-P module at nominal operating conditions \((G=1000 \, W/m^2, T = 25^\circ C)\) are listed in Table 1. The corresponding maximum power points (MPP) M1 to M3 are shown in Table 2.

![Diagram of three phase reduced switch shunt active power filter connected to photovoltaic system.](image)

Table 1. PV electrical parameters

| Parameter                          | Symbol | Value  |
|------------------------------------|--------|--------|
| Maximum power                      | \(P_m\) | 213.15 W |
| Short circuit current              | \(I_{sc}\) | 7.84 A |
| Open circuit voltage               | \(V_{oc}\) | 36.3 V |
| Maximum power voltage              | \(V_m\) | 29 V |
| Maximum power current              | \(I_m\) | 7.35 A |
| Cells per module                   | \(N_{cell}\) | 60 |

Table 2. Maximum power points (MPP)

| MPP   | Irradiation Conditions (W/m²) | Maximum Voltage (V) | Maximum Power (W) |
|-------|-------------------------------|---------------------|-------------------|
| M1    | 1200                          | 747.5               | 6253.84           |
| M2    | 1000                          | 754                 | 5541.9            |
| M3    | 800                           | 758.94              | 4466.28           |

2.2 Instantaneous Model

This model takes into account the instantaneous states of the system. The switching functions \(\mu_1\) and \(\mu_2\) of the inverter taking values in the finite set \(\{0, 1\}\) as follows:

\[ \mu_1 = \begin{cases} 
1 & \text{if } S_1 \text{ is ON and } S_3 \text{ is OFF} \\
0 & \text{if } S_1 \text{ is OFF and } S_3 \text{ is ON} 
\end{cases} \]

\[ \mu_2 = \begin{cases} 
1 & \text{if } S_2 \text{ is ON and } S_4 \text{ is OFF} \\
0 & \text{if } S_2 \text{ is OFF and } S_4 \text{ is ON} 
\end{cases} \]

Applying Kirchhoff’s laws, the instantaneous model of the three-phase reduced switch SAPF-PV is described by the differential equations as follows:

\[ L_g \frac{d}{dt} \begin{bmatrix} i_{g1} \\ i_{g2} \\ i_{g3} \end{bmatrix} = -R_g \begin{bmatrix} i_{g1} \\ i_{g2} \\ i_{g3} \end{bmatrix} + \begin{bmatrix} v_{g1} \\ v_{g2} \\ v_{g3} \end{bmatrix} - \begin{bmatrix} v_{pcc1} \\ v_{pcc2} \\ v_{pcc3} \end{bmatrix} \]  

\[ L_f \frac{d}{dt} \begin{bmatrix} i_{f1} \\ i_{f2} \\ i_{f3} \end{bmatrix} = -R_f \begin{bmatrix} i_{f1} \\ i_{f2} \\ i_{f3} \end{bmatrix} + \begin{bmatrix} v_{f1} \\ v_{f2} \\ v_{f3} \end{bmatrix} - \begin{bmatrix} v_{pcc1} \\ v_{pcc2} \\ v_{pcc3} \end{bmatrix} \]  

\[ C_p \frac{d}{dt} \begin{bmatrix} v_{pcc1} \\ v_{pcc2} \\ v_{pcc3} \end{bmatrix} = \begin{bmatrix} i_{1} \\ i_{2} \end{bmatrix} \]  

The power converter undergoes the following equations:

\[ \begin{bmatrix} i_{1} \\ i_{2} \end{bmatrix} = \begin{bmatrix} i_{pv1} \\ i_{pv2} \end{bmatrix} + \begin{bmatrix} -\mu_1 & -\mu_2 \\ 1 - \mu_1 & 1 - \mu_2 \end{bmatrix} \begin{bmatrix} i_{f1} \\ i_{f2} \end{bmatrix} \]  

13031
\[
\begin{align*}
\begin{pmatrix}
\nu_{f1} \\
\nu_{f2} \\
\nu_{f3}
\end{pmatrix}
&= 
\begin{pmatrix}
\nu_{f1o} \\
\nu_{f2o} \\
\nu_{f3o}
\end{pmatrix}
+ 
\begin{pmatrix}
\nu_{on} \\
0 \\
0
\end{pmatrix} 
(4b)
\end{align*}
\]

\[
\begin{align*}
\begin{pmatrix}
\nu_{f1o} \\
\nu_{f2o} \\
\nu_{f3o}
\end{pmatrix}
&= 
\begin{pmatrix}
\mu_1 & \mu_1 - 1 & 0 \\
\mu_2 & \mu_2 - 1 & 1
\end{pmatrix}
\begin{pmatrix}
\nu_{pv1} \\
\nu_{pv2}
\end{pmatrix} 
(4c)
\end{align*}
\]

Adding the three equations in (4b) and taking into account that the system of voltages \(\nu_{f1}, \nu_{f2}, \nu_{f3}\) is balanced, one gets:

\[
\nu_{on} = -\frac{1}{3}(\mu_1 + \mu_2)\nu_{pv1} + (\mu_1 + \mu_2 - 2)\nu_{pv2} 
(4d)
\]

Substituting (4d) in (4b) and using (4c) equations, one deduces that:

\[
\begin{align*}
\begin{pmatrix}
\nu_{f1} \\
\nu_{f2} \\
\nu_{f3}
\end{pmatrix}
&= 
\begin{pmatrix}
\frac{2}{3}\mu_1 - \frac{2}{3}\mu_2 \\
-\frac{2}{3}\mu_1 + \frac{2}{3}\mu_2 - 1 \\
-\frac{2}{3}\mu_1 - \frac{2}{3}\mu_2 + 2
\end{pmatrix}
\begin{pmatrix}
\nu_{pv1} \\
\nu_{pv2}
\end{pmatrix} 
(4e)
\end{align*}
\]

This model is useful to build up the evolution at every moment of all the variables including the state of the switch (discrete location). However, the hybrid modeling is considered as one of the most suitable modeling methods to capture both continuous and discrete dynamics of power converters, whose behavior is described by continuous nonlinear differential equations, and by an automaton, with discrete-event dynamics behaviour (Benmansour et al., 2007) (Benmiloud et al., 2016).

### 2.3 Hybrid Model

The IGBT based inverter features four operation modes or four discrete states due to the switching action of \((\mu_1, \mu_2)\) which are symbolled as \(q_i (i = 1, 2, 3, 4)\). The state equations of each mode are described by affine continuous-time space-state equation as follow:

\[
\dot{x} = f_q(x) = A_q x + B_q i; \quad i = 1, 2, 3, 4
\]

where the state of the system is defined as:

\[
x = \begin{bmatrix} f_{i1}, f_{i2}, v_{pv1}, v_{pv2} \end{bmatrix}^T
\]

where \((f_{i1}, f_{i2})\) are the filter currents and \((v_{pv1}, v_{pv2})\) are the output voltages, which are equal to the capacitor voltages. Thus, the SAPF-PV system can be represented in terms of state equations correspond to a specific topology of converter for each mode, as follows:

Mode \((q = q_1)\), corresponds to \((\mu_1 = 0, \mu_2 = 0)\). The dynamic equations of the continuous variables are given by:

\[
f_{q1}(x) = \begin{bmatrix}
\frac{-R_f}{L_f} & 0 & 0 & \frac{-2}{3L_f} \\
0 & \frac{-R_f}{L_f} & 0 & \frac{1}{3L_f} \\
0 & 0 & 0 & 0 \\
1 & 1 & 0 & 0
\end{bmatrix} x - \begin{bmatrix}
v_{pv1} \\
v_{pv2}
\end{bmatrix} 
(7a)
\]

Mode \((q = q_2)\), corresponds to \((\mu_1 = 0, \mu_2 = 1)\). The dynamic equations of the continuous variables are given by:

\[
f_{q2}(x) = \begin{bmatrix}
\frac{-R_f}{L_f} & 0 & \frac{1}{3L_f} & \frac{-2}{3L_f} \\
0 & \frac{-R_f}{L_f} & 2 & \frac{1}{3L_f} \\
0 & 0 & 0 & 0 \\
1 & 0 & 0 & 0
\end{bmatrix} x - \begin{bmatrix}
v_{pv1} \\
v_{pv2}
\end{bmatrix} 
(7b)
\]

Mode \((q = q_3)\), corresponds to \((\mu_1 = 1, \mu_2 = 0)\). The dynamic equations of the continuous variables are given by:

\[
f_{q3}(x) = \begin{bmatrix}
\frac{-R_f}{L_f} & 0 & \frac{2}{3L_f} & \frac{1}{3L_f} \\
0 & \frac{-R_f}{L_f} & -\frac{1}{3L_f} & -\frac{2}{3L_f} \\
-1 & 0 & 0 & 0 \\
0 & 1 & 0 & 0
\end{bmatrix} x - \begin{bmatrix}
v_{pv1} \\
v_{pv2}
\end{bmatrix} 
(7c)
\]

Mode \((q = q_4)\), corresponds to \((\mu_1 = 1, \mu_2 = 1)\). The dynamic equations of the continuous variables are given by:

\[
f_{q4}(x) = \begin{bmatrix}
\frac{-R_f}{L_f} & 0 & \frac{1}{3L_f} & 0 \\
0 & \frac{-R_f}{L_f} & 1 & 0 \\
-1 & 0 & 0 & 0 \\
0 & 0 & 0 & 0
\end{bmatrix} x - \begin{bmatrix}
v_{pv1} \\
v_{pv2}
\end{bmatrix} 
(7d)
\]

According to the following model, the hybrid automaton control of SAPF-PV system will be developed in the next section.

### 3. CONTROLLER DESIGN OF THE SYSTEM

In this section, we aim at designing a new controller design that will be able to ensure the two main objectives mentioned previously: (i) forcing the three phase grid currents to be a sinusoidal waveforms and in phase with the three phase grid voltages (PFC) by means of controlling SAPF-PV; (ii) regulating the DC-link voltage to track its reference provided by the MPPT block. The controller structure is based on two cascaded loops where its schematic diagram is shown in Fig.2.

#### 3.1 Current Inner Loop Design

The power factor correction objective means that currents \((i_{g1}, i_{g2}, i_{g3})\) delivered by the three phase power grid must be sinusoidal signals and in phase with the grid voltages \((v_{g1}, v_{g2}, v_{g3})\) by tracking a reference signals \((v_{gref j}; j = 1, 2, 3)\). Indeed, the currents \(i_{ref 1}\) and \(i_{ref 2}\) injected by three-phase shunt active power filter should follow the best as possible the references \(i_{ref 1}, i_{ref 2}\) and \(-(i_{ref 1} + i_{ref 2})\) respectively where:

![Controller structure of reduced switch SAPF](image_url)
At this point, the parameter $\beta$ is any real parameter that is allowed to be time-varying. The inner control loop will now be designed using the hybrid automaton, which is formulated below for the three-phase shunt active power filter. According to the paper (Benmansour et al., 2007), a hybrid automaton can be defined by the following system:

$$\dot{x} = f(x, u)$$

where $x$ is a state variable, $f(x, u)$ is a function that describes the dynamics of the system, and $u$ is an input vector.

3.2 Voltage outer loop design

The objective of this work is to design the voltage outer loop of the photovoltaic system. The output of the voltage outer loop is used to regulate the voltage in the AC grid.

3.2.1 PV generator reference voltage

In this work, the MPPT algorithm is applied to the reference of the outer loop control DC using the P&O algorithm due to its simplicity of implementation and a fewer measured parameter, where it needs two input signals which are $i_{pp}$ and $v_{pv}$ to provide the voltage $v_{pv_{ref}}$ which the photovoltaic voltage must track. The algorithm steps are described as shown in flowchart in the paper (Ishaque et al., 2014).

3.2.2 DC bus voltage regulation

Now, we aim to generate a tuning law for the ratio $\beta$ in such a way that the output photovoltaic voltage $v_{pv} = v_{pp1} + v_{pp2}$ will be regulated to a given reference signal $v_{pv_{ref}} = v_{pp1ref} + v_{pp2ref}$ using a PI controller.

- Relation between $\beta$ and $v_{pp}$:

The first step in designing such a loop is to establish a relationship between $\beta$ (the control signal) and the output voltage $v_{pv}$. This is the subject of the following proposition.

**Proposition 1:** Considering the three-phase reduced switch SAPF integrated with photovoltaic system described by its hybrid model (7a-d) and using the energy conservative law $(P_L = P_g + P)$ under the following assumptions:

- The current inner loop is faster than the voltage outer loop (i.e. $i_j = \beta y_{ij}; j = 1, 2, 3$).
- $(y_{ij}, L_{y1}, L_{y2}, L_{f1}, L_{f2}, j = 1, 2, 3)$ are negligible.
- The two PV panels have the same characteristics.

Then, we have the following results:

The output voltage $v_{pv}$ varies in response to the signal $\beta$ by the following equation:

$$C_{pv} \frac{dv_{pv}}{dt} = \frac{1}{v_{pv}} \left( 3\beta E_g^2 - \frac{3}{2} E_g L_{L1} \cos \varphi_1 \right)$$

where $I_{L1}$: Load instantaneous fundamental phase current.

$$\varphi_1$$: Phase difference between grid voltage and grid current.

1) The squared-voltage $y = v_{pv}^2$ is described by the following first order time-varying linear equation:

$$\frac{dy}{dt} = \frac{1}{C_{pv}} \left( 3\beta E_g^2 - \frac{3}{2} E_g L_{L1} \cos \varphi_1 \right)$$

- Squared output voltage regulation:

The signal $\beta$ stands as a control input in the first-order system, and the squared voltage $y = v_{pv}^2$ tracks a given reference signal $y' = v_{pv_{ref}}^2$. To this end, a PI regulator is considered:

$$\beta = k_p + k_i \int \left( y - y' \right)$$
where the regulator parameters \((K_p, K_i)\) are any positive real
constants. In closed-loop system, the dynamic behaviour of the y is given by the following equation:

\[
y = G(s)P_L - F(s)y^*
\]  

(17)

with

\[
G(s) = \frac{2zP_r}{C_p + 6E_0^2k_pk_s + 6E_0^2k_i}
\]

\[
F(s) = \frac{6E_0^2k_pk_s + 6E_0^2k_i}{C_p + 6E_0^2k_pk_s + 6E_0^2k_i}
\]

Furthermore, if \(\varepsilon \to 0\), the squared voltage \(y\) converge to its
reference value \(y^*\). Specifically, one has:

\[
\lim_{s \to 0} y = \lim_{t \to \infty} y = y^*
\]

(18)

Then, the DC bus voltage regulation is actually achieved.

### 4. SIMULATION RESULTS

In this section, the performance of the proposed reduced
switch SAPF connected to the photovoltaic system utilizing
the new controller method based on a hybrid automaton
model is numerically evaluated in Matlab/Simulink with the
proposed parameters as shown in Table 3. The simulation
work is conducted under balanced and distorted conditions,
which involve a nonlinear load at standard climatic conditions
\((G=1000 \ W/\ m^2 \ \& \ T=25^\circ C)\) and with changes in solar
irradiation conditions. The total harmonic distortion (THD) of
grid current mitigated by SAPF-PV system are also measured
to evaluate the performance of the proposed controller, which
its values are summarized in Table 4.

#### Table 3. The parameters of the system and the controller

| Parameter                      | Symbol | Value       |
|-------------------------------|--------|-------------|
| Three phase power grid       | \(v_p\) | 230 V/ 50 Hz|
|                              | \(v_p\) | 2 mΩ        |
|                              | \(L_p\) | 0.2 mH      |
| Nonlinear load                | \(L\)  | 500 mH      |
|                              | \(R\)  | 15 Ω        |
|                              | \(L_o\) | 5 mH        |
| Photovoltaic system           | \(N_p\) | 1           |
|                              | \(N_s\) | 26          |
| Reduced switch SAPF           | \(R_f\) | 8 mΩ        |
|                              | \(L_f\) | 3 mH        |
|                              | \(C_{pv}\) | 6 mF  |
| Voltage regulator             | \(K_p\) | 4x10^7      |
|                              | \(K_i\) | 1x10^4      |

#### 4.1 Control performance at standard climatic conditions

The simulation results obtained at standard climatic conditions
are shown in Figs 4-8. Fig. 4 show the three-phase load current
waveforms, which are clearly presents a harmonic distortion
due to nonlinear load, which create harmonic currents in a
three-phase power system. Figs. 5-8 show the excellent
robustness of the proposed controller. The available real power
extracted from the photovoltaic generator is transferred to
the power system, which shows that the regulation of the voltage

across the photovoltaic panels tracks its maximum reference
provided by the MPPT block as shown in Fig. 5. Fig. 6 shows
that the filter current injected in the power grid converges
to its reference value with a good accuracy. Fig. 7 clearly
indicates that the grid current has sinusoidal waveform with a
lower THD value and kept in phase with grid voltage. Then
Fig. 8 shows that the evolution of control signal \(\beta\) takes
costant value after the transient period, which kept the unitary
power factor.

#### 4.2 Control performance with changes in solar irradiation

The performances of the designed controller in the above
section are checked considering the changing of irradiation
conditions with the obtained results as presented in Figs 9-10.
Firstly, the irradiance \(G\) has decreased from \(1 kW/m^2\) to
\(0.8 kW/m^2\) at 0.7s while the temperature is kept constant
\(T=25^\circ C\). It is seen that the controller keeps the whole system at
the optimal operation conditions. The real power extracted
from each photovoltaic panels is decreased between 5541.9 \(W\)
and 4466,28 \(W\) corresponding to the maximum points M2 and
M3 of (Table 2), where the amplitude of the grid current is
increased and kept in phase with the grid voltage as shown in
Fig. 9. Secondly, the irradiation is changed from 0.8 \(kW/m^2\)
to \(1.2 kW/m^2\) at 1.3s while the temperature is kept constant.
The photovoltaic power from each panels is increased between
4466,28 \(W\) and 6253,84 \(W\) corresponding to M3 and M1 (see Table 2),
where the grid current is decreased and also proves the
PFC achievement as shown in Fig.10, which shows that the
maximum power provided by the photovoltaic panels is totally
injected into the electrical power grid. This is further
demonstrated by Fig. 11, that the control signal \(\beta\) always takes
a constant value each time the power factor correction is
ensured.
In this paper, a new controller design method has been developed using the hybrid automaton approach for three-phase SAPF supplied by photovoltaic system and associated with nonlinear load. The simulation results obtained in this work show that the proposed controller provides better performance under different operating conditions for which it was designed. The hybrid automaton model is successfully controlling the system to achieve lower THD values, which comply with the international IEEE standard. The regulation of the voltage across the photovoltaic panels provides perfect MPPT with P&O algorithm. Finally, it is formally established that the control objectives are actually achieved, including PFC requirement, extracting a maximum power from the PV array and DC-link voltage regulation.

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