Estimating the lifelong health impact and financial burdens of different types of lung cancer

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Abstract

Background: Owing to the high mortality and rapidly growing costs related to lung cancer, it is worth examining the health benefits of prevention for major types of lung cancer. This study attempts to quantify the quality-adjusted life expectancy (QALE), loss-of-QALE, and lifetime healthcare expenditures of patients with different pathological types of lung cancer.

Methods: A national cohort consisting of 66,535 patients with pathologically verified lung cancer was followed for 13 years (1998–2010) to obtain the survival function, which was further extrapolated to lifetime. Between 2011 and 2012, EuroQol 5-dimension questionnaires were used to measure the quality of life (QoL) for 1,314 consecutive, cross-sectional samples. After multiplying the lifetime survival function by the utility values of QoL, we estimated the QALE and loss-of-QALE. We also collected the monthly healthcare expenditures, which included National Health Insurance-reimbursed and out-of-pocket direct medical costs, for 2,456 patients from 2005 to 2012. These values were multiplied by the corresponding survival probabilities to calculate lifetime healthcare expenditures after adjustments with medical care inflation rates and annual discount rates.

Results: The QALE for patients with small cell lung cancer, squamous cell carcinoma, and adenocarcinoma were 1.21, 2.37, and 3.03 quality-adjusted life year (QALY), with the corresponding loss-of-QALE of 13.69, 12.22, and 15.03 QALY, respectively. The lifetime healthcare expenditures were US$ 18,455 ± 1,137, 20,599 ± 1,787, and 36,771 ± 1,998, respectively.

Conclusions: The lifelong health impact and financial burdens in Taiwan are heavier for adenocarcinoma than for squamous cell carcinoma. The cost-effectiveness of prevention programs could be directly compared with that of treatment strategies to improve patient value. And the methodology could be applied to other chronic diseases for resources planning of healthcare services.

Keywords: Lung cancer prevention, Life expectancy, Quality-adjusted life expectancy, Costs, Out-of-pocket money

Background

Over the past two decades, mortality attributed to lung cancer has increased [1], and it has become the leading cause of cancer deaths [1,2]. Owing to the development of new interventions to diagnose and treat lung cancer, lung cancer care costs are continuously rising [3]. Therefore, healthcare providers are now facing an increased burden of caring for lung cancer patients. It is thus worth examining the health benefits of prevention for major types of lung cancer.

For assessing health benefits, both survival and quality of life (QoL) should be taken into consideration, and quality-adjusted life expectancy (QALE) using quality-adjusted life year (QALY) as the unit may be more suitable than estimating survival alone for the purpose of comparison of various types of healthcare services [4-6]. Previous studies mostly have focused on cross-sectional analyses of the economic burden of lung cancer [7,8]. However, lifetime medical costs should be estimated to evaluate the cost-utility of prevention programs. Although
almost all cancer-specific medical costs are reimbursed by the National Health Insurance (NHI) available in Taiwan, there are out-of-pocket medical costs that must be estimated to obtain the lifetime healthcare expenditures attributable to lung cancer. Transportation costs, payments to caregivers, home adaptation due to illness and human capital loss were not taken into consideration in this analysis.

Since treatments and prognoses for different pathological subtypes of lung cancer are different, we hypothesized that they may impact mortality, QoL, and medical costs to different degrees. Based on data from the National Cancer Registry, NHI reimbursements, and National Cheng Kung University Hospital (NCKUH), this study is aimed at quantifying the QALE, loss-of-QALE and lifetime healthcare expenditures that occur in patients with different subtypes of lung cancer that would be regained through successful prevention initiatives.

Methods

The Institutional Review Board of NCKUH approved this study before its initiation (Approval number: ER-100-079), and every patient interviewed provided written informed consent. This study abstracted data from the National Cancer Registry for survival analysis, combined this with the national life tables to extrapolate the survival function to lifetime, collected QoL and costs data from lung cancer patients in NCKUH, and integrated the survival function with the QoL and costs to estimate the life expectancy, QALE, loss-of-QALE, and lifetime healthcare expenditures per case of lung cancer. QALE was estimated using the following equation [9-11]:

\[
QALE = \int E[QoL(t/x)]S(t/x)dt
\]

\[E[QoL(t/x)]\] denotes the expected value of the QoL function for condition \(x\) at time \(t\), and \(S(t/x)\) denotes the survival function for condition \(x\) at time \(t\). The entire design is summarized in Figure 1.

National Cancer Registry for estimation of the survival function

All patients with lung cancer during the period from 1998 to 2007 were abstracted from the National Cancer Registry database. The diagnosis of lung cancer and its subtypes was based on either histology or cytology codes taken from the International Classification of Diseases [12]. The survival status for each patient was verified by linking the patient’s identification information to the National Mortality Registry database. Each patient underwent follow-up from the day of diagnosis until the end of 2010.

Extrapolating the survival to lifetime

After obtaining the survival function of the lung cancer cohort, a method proposed by Huang and Wang [13] was used to extrapolate this curve beyond the end of the follow-up period. This approach assumes that lung cancer generates a constant excess hazard (i.e., mortality) after a certain follow-up period, and its calculation is carried out in three steps. First, we took the hazard functions from the life tables of the National Vital Statistics of Taiwan to generate an age- and sex-matched reference population using the Monte Carlo method and then estimated the survival function. Second, we calculated the survival ratio between the lung cancer cohort and the reference population at each time \(t\) and performed a logit transformation of this. Third, the logit transformation of the ratio was fitted by simple linear regression up to the end of the follow-up period. The estimated regression line, together with the survival function of the reference population beyond the follow-up limit, was used to extrapolate the lifetime survival function of the lung cancer cohort, and thus the life expectancy of the lung cancer cohort (up to 600 months) after diagnosis could be estimated. Expected years of life lost in the lung cancer cohort were defined as the survival difference between the cohort and the reference population. The method described above has been demonstrated to be effective using computer simulations [13], proven mathematically [14], and corroborated by several examples of cancer cohorts [15,16]. The iSQoL statistical package [17] was used to facilitate the computation. To validate the extrapolation method described above, we used the survival data of patients who were diagnosed during the first seven years and then extrapolated them to 13 years. Because these patients were actually followed until the end of 2010, the mean survival duration within the 13-year follow-up period, using the Kaplan-Meier method, was considered as the gold standard. The relative bias was computed to compare the difference in values between the extrapolation and the Kaplan-Meier estimation.

Measuring the QoL from a cross-sectional sample

From May 2011 to April 2012, all consecutive patients with lung cancer from the outpatient oncology, chest surgery, and chest medicine departments of NCKUH were invited to participate in this study. To enrich our sample, we also screened patients admitted to the wards between November 2011 and January 2012. The inclusion criteria included the realization of a lung cancer diagnosis in the participants, the absence of malignancy at another site, and the subjects’ ability to understand and answer the questionnaire. In some individuals, measurements were performed repeatedly; however, each measurement took place 3 months apart.

The EuroQol 5-dimension questionnaire (EQ-5D) [18,19], which is a preference-based generic instrument, was used to estimate the QoL utility values. The five dimensions assessed by EQ-5D are mobility, self care, usual
activities, pain/discomfort, and anxiety/depression, each with three levels of severity. Using the scoring function from the United Kingdom [20], these health state parameters were transformed into a utility value ranging from 0 to 1, in which 0 represents death and 1 indicates perfect health.

The duration-to-date for each measurement was defined as the period between the date the lung cancer diagnosis was made and that of the interview. A kernel-smoothing method (i.e., the moving average of the nearby 10%) was used to estimate the mean QoL function [10,11]. The QoL utility values beyond the follow-up period were assumed to be the same as the average of the last 10% of patients near the end of follow-up.

**Estimating the QALE and loss-of-QALE**

The lifetime survival function of the lung cancer cohort was adjusted using the corresponding mean QoL function to obtain a quality-adjusted survival curve, in which the sum of the area under the curve was the QALE of the lung cancer patients [10]. The loss-of-QALE was calculated by subtracting the area under the quality-adjusted survival curve of the lung cancer patients from that of the reference population. Because the EQ-5D scoring function for Taiwanese residents is still under development, we tentatively assumed the QoL score of the reference population to be 1 [10,13]. However, we also tested the assumption in sensitivity analysis assuming the average QoL values of 0.9 and 0.95 for

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**Figure 1** Flow diagram of the inclusion of subjects and their relevant information for estimation. EQ-5D: EuroQol 5-dimension questionnaire; NCKUH: National Cheng Kung University Hospital; QALE: quality-adjusted life expectancy.
the reference population. We used the iSQoL software [17], which can be downloaded for free, to facilitate the computation.

**Estimating the lifetime healthcare expenditures**

The reimbursement database at NCKUH was used to obtain spending details on medical services for lung cancer patients between January 2005 and December 2012. As these data included not only medical costs paid by the NHI but also out-of-pocket money, most direct medical costs attributable to lung cancer could thus be obtained to calculate the total monthly healthcare expenditures. These were then divided by the effective sample sizes, namely, the number of lung cancer patients who survived that month, to obtain the average monthly healthcare expenditures per case. These values were subsequently multiplied by the corresponding monthly survival probabilities and summed up to obtain the lifetime healthcare expenditures per case. All the payments in different calendar years were adjusted based on the related consumer price indices [21] and made equivalent to those in 2012. To discount costs in future years, we also adjusted the healthcare expenditures, using an annual discount rate of 3%. Because patients might incur expenses outside the hospital, we compared the costs we estimated with the costs reimbursed in the national database by using the same group of patients (2005–2007). The proportion of reimbursement at NCKUH was estimated. A total of 1,002 patients ever cared at the NCKUH were linked with the reimbursement database of NHI, of which 11 cases could not be successfully connected. There were 4 cases (2 cases of small cell lung cancer (SCLC) and 2 cases of non-small cell lung cancer (NSCLC)) with the difference on the date of diagnosis larger than 1,000 days and were not included for the above calculation.

**Results**

The lung cancer cohort from the National Cancer Registry database used to obtain the survival function consisted of 66,535 patients. A total of 964 lung cancer patients visited NCKUH between 2011 and 2012, and 676 patients met the criteria for inclusion. Individuals who declined to answer the questionnaire were excluded, leaving 635 patients. However, 17 of these had incomplete data, and thus the cross-sectional sample for measuring the QoL consisted of a total of 618 patients, and 1,314 QoL measurements were performed. The average number of measurements per person was 2.1. In addition, the reimbursement database in NCKUH included details of healthcare expenditures for 2,456 lung cancer patients from 2005 to 2012. Table 1 summarizes the descriptive statistics of the national cohort for survival function and the NCKUH cohort for healthcare expenditures. By using the same method, patients with NSCLC in the NCKUH cohort were found to have longer life expectancy and greater NHI-reimbursed lifetime healthcare expenditures than those in the national cohort, which can be partially explained by the advancement of NSCLC treatment in the past five years and different frequency distributions of pathological subtypes in the two groups.

**The QALE and loss-of-QALE**

After multiplying the survival probability with the mean QoL at each time \( t \) (duration-to-date), we obtained the quality-adjusted survival curve, as shown in Figure 2. The sum of the shaded area under the curve represents the QALE. Assuming that the QoL of age- and sex-matched referents was 1, the difference between the quality-adjusted survival curve of patients and that of the referents was the loss-of-QALE (Figure 3). The QALE for patients with SCLC and NSCLC was 1.21 and 2.65 QALY, respectively, while the loss-of-QALE for the two groups was 13.69 and 14.08 QALY, respectively. Compared with NSCLC patients with squamous cell carcinoma (SqCC), those with adenocarcinoma had a longer QALE (3.03 vs. 2.37 QALY, \( p < 0.001 \), Table 2). Moreover, we found that the loss-of-QALE of adenocarcinoma patients was significantly greater than that of SqCC patients (15.03 vs. 12.22 QALY, \( p < 0.001 \)), probably because of the younger mean age at diagnosis (65.60 vs. 69.35 years, \( p < 0.001 \)).

**The lifetime healthcare expenditures**

Using the kernel-smoothing method, the average healthcare expenditures per case of patients with SCLC and NSCLC are depicted in Figure 4. It shows that the medical costs reimbursed by the NHI decreased gradually after the diagnosis; however, the out-of-pocket medical costs in the terminal phase of NSCLC increased. Compared NHI-reimbursed costs of the 987 patients in our hospital with those in the national database, we found that healthcare costs of the NCKUH for SCLC and NSCLC patients accounted for 74.3% and 84.1%, respectively, of the total costs reimbursed by the NHI.

Table 2 also shows the lifetime healthcare expenditures per case for patients with different NSCLC subtypes, adjusted for medical care inflation rates up to the year 2012 and for annual discount rates in future years. Compared with SqCC patients, adenocarcinoma patients had greater lifetime healthcare expenditures per case (US$ 36,771 ± 1,998 versus US$ 20,599 ± 1,787), especially in regard to out-of-pocket medical costs (US$ 18,620 ± 1,857 versus US$ 6,350 ± 741). Moreover, we found that both the annual healthcare expenditures and cost-per-QALY attributable to adenocarcinoma were higher than those for SqCC.
Validation of the extrapolation method
There were 4,425 patients with SCLC and 38,509 patients with NSCLC diagnosed during the first seven years, between 1998 and 2004, of which the survival curves were extrapolated to 2010 and compared with the Kaplan-Meier estimates based on the 13-year follow-up (Table 3). The relative biases of the extrapolation ranged between +7.71% (p = 0.122) and +1.29% (p = 0.551).

Discussion
Although the incidence of adenocarcinoma (50.60%) was about twice that of SqCC (25.24%) during the period 1998–2007, according to the data from the National Cancer Registry, the former has not attracted as much attention as the latter, which is associated with cigarette

![Figure 2](image)

**Figure 2** The QALE (quality-adjusted life expectancy) of patients with small cell lung cancer (SCLC, upper panel) and non-small cell lung cancer (NSCLC, lower panel). The survival curves (dashed lines), mean utility functions (dotted lines), and quality-adjusted survival curves (solid lines) of patients with lung cancer are depicted, and the shaded area represents the QALE.

![Figure 3](image)

**Figure 3** The QAS (quality-adjusted survival) curves of patients with squamous cell carcinoma (SqCC), adenocarcinoma and the corresponding referents. The difference between the QAS curve of patients and that of the corresponding referents was the loss-of-QALE (quality-adjusted life expectancy). SqCC patients: solid line; age- and sex-matched referents of SqCC: dotted line; adenocarcinoma patients: long-dashed line; age- and sex-matched referents of adenocarcinoma: short-dashed line.
smoking [22] and has been the subject of much greater preventative efforts for the last few decades. There is a general impression that long-term survival is similarly poor with all types of NSCLC, a view that is corroborated by the results of this study, which found a life expectancy of 2.73 versus 3.46 years for patients with SqCC and adenocarcinoma, respectively (Table 2). However, after adjustment for the age at onset, the latter group suffered from 2.77 (= 14.61 - 11.84) additional years of life lost in comparison with the former, which was equivalent to 2.81 (= 15.03 - 12.22) QALY more loss-of-QALE (Table 2). Moreover, the average healthcare expenditures per life-year for patients with adenocarcinoma were 46.9% (= (13,636 - 9,281)/9,281) higher than that of SqCC patients, while the average lifetime healthcare expenditures were 78.5% (= (36,771 - 20,599)/20,599) higher. As this study is limited to cases with pathological evidence that were followed for 13 years, sex- and age-matched for estimating the life expectancy, and adjusted for the QoL and costs of an actual cohort, the estimation is not confounded by the factors listed above. In addition, the validation of our extrapolation method has shown that the relative biases were all less than 7.71% after 6 years of extrapolation (Table 3). We thus tentatively conclude that, in Taiwan, the lifelong health impact and financial burdens of adenocarcinoma are heavier than those of SqCC and deserve effort with regard to prevention.

Several risk factors for adenocarcinoma have been identified. In addition to cigarette smoking, indoor exposure to radon gas is perhaps one of the most important [23,24]

### Table 2 The QALE (quality-adjusted life expectancy), loss-of-QALE, healthcare expenditures (in US dollars) of lung cancer patients

| Age at diagnosis, mean (SD) years | NSCLC (n = 59,787) | SqCC (n = 16,796) | Adenocarcinoma (n = 33,669) | Other NSCLCs* (n = 9,322) |
|----------------------------------|--------------------|-------------------|-----------------------------|--------------------------|
| Sex, no. of males (%)            | 69.35 (10.63)      | 65.60 (12.84)     | 68.12 (12.11)               |                          |
| Life expectancy, mean (SE) years | 14,423 (85.87)     | 18,075 (53.68)    | 6,625 (71.07)               |                          |
| Expected years of life lost, mean (SE) years | 2.73 (0.02) | 3.46 (0.02) | 1.99 (0.02) | |
| QALE, mean (SE) QALY             | 11.84 (0.03)       | 14.61 (0.03)      | 13.91 (0.03)                |                          |
| Loss-of-QALE, mean (SE) QALY     | 2.37 (0.05)        | 3.03 (0.03)       | 1.74 (0.07)                 |                          |
| Assumed mean utility of referents = 1 | 12.22 (0.05) | 15.03 (0.04) | 14.17 (0.08) | |
| Assumed mean utility of referents = 0.95 | 11.45 (0.05) | 14.16 (0.03) | 13.35 (0.08) | |
| Assumed mean utility of referents = 0.9 | 10.75 (0.05) | 13.25 (0.04) | 12.58 (0.08) | |

#### Figure 4

The healthcare expenditures per case of patients with small cell lung cancer (SCLC, upper panel) and non-small cell lung cancer (NSCLC, lower panel). The medical costs reimbursed by the National Health Insurance (NHI) are depicted in solid lines and out-of-pocket medical costs are depicted in dotted lines.

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SD: standard deviation; SE: standard error; NSCLC: non-small cell lung cancer; QALY: quality-adjusted life year; SqCC: squamous cell carcinoma.

*Large cell carcinoma, adenosquamous cell carcinoma, adenoid cystic carcinoma, mucoepidermoid carcinoma, carcinoid tumor and unspecified carcinoma.
Table 3 Validation of the extrapolated estimates by 13 years of follow-up and the Kaplan-Meier method

| Cohort size | 13-year follow-up Kaplan-Meier estimate, mean (SE) months | Estimate using the extrapolation based on the first 7 years of follow-up, mean (SE) months | Relative bias, % | P value |
|-------------|----------------------------------------------------------|----------------------------------------------------------------------------------------|-----------------|--------|
| SCLC        | 4,425                                                    | 15.42 (0.38)                                                                            | +4.54           | 0.424  |
| NSCLC       | 38,509                                                   | 25.27 (0.24)                                                                            | +2.45           | 0.165  |
| SqCC        | 11,507                                                   | 25.51 (0.43)                                                                            | +2.74           | 0.528  |
| Adenocarcinoma | 20,926                                                | 27.05 (0.30)                                                                            | +1.29           | 0.551  |
| Other NSCLCs | 6,076                                                  | 18.68 (0.44)                                                                            | +7.71           | 0.122  |

SE: standard error; NSCLC: non-small cell lung cancer; SCLC: small cell lung cancer; SqCC: squamous cell carcinoma.
*Comparison using Z-statistic.

and may be easily preventable. The risk of lung cancer among non-smoking women also appears to be associated with cooking fume exposure and lack of ventilation control [25-27]. By carefully studying and controlling these risk factors, more life-years and QALY lost, as well as healthcare expenses, might be saved.

Unlike previous studies that have used internationally-selected life tables together with expert determination of QoL values to calculate the disease burden of lung cancer with the disability-adjusted life year (DALY) unit [28,29], our study used the national life tables of Taiwan and a cross-sectional sample of patients for measurement of Qol to estimate the QALE and loss-of-QALE using QALY. While the DALY method makes international comparisons more feasible, the QALY unit calculated by our method can directly compare different prevention, diagnosis, and treatment strategies, and would probably be more feasible for national health policy decisions. However, the utility values of NSCLC patients reported by our cross-sectional sample were higher than those reported in other studies [30,31], and this may be due to several reasons. First, all patients must be healthy enough to accept our interview invitations and most (85.4%, 528 of 618 patients) of our subjects were recruited from outpatient departments. They were less likely to have any severe adverse effect and would have higher utility scores [30]. In addition, 59.1% (365 of 618 patients) of our subjects did not have any evidence of metastasis, which would make the average scores of our patients be higher than those with 63.2% of metastasis [31]. Second, because insight into the diagnosis of lung cancer was one of the inclusion criteria required by the Institutional Review Board, the utility values of our patients were usually higher [32]. Third, while extrapolating the QoL function to lifetime, it was assumed that patients remained at the same level of QoL near the end of the follow-up period. Such an assumption could result in an overestimated or higher QoL score because actual utility value usually declines with age [33]. Hence, the QALE would be overestimated while the loss-of-QALE would be underestimated.

The lifetime healthcare expenditures for adenocarcinoma, even if we look at the expenditures per life-year, were higher than those for SqCC. This can be at least partially explained by the fact that the patients with adenocarcinoma were 3.75 years younger than those with SqCC and usually received more aggressive forms of treatments, which included newly developed chemotherapeutic agents (such as premetrexed, gefitinib, erlotinib, and so on). Most costs of these treatments were out-of-pocket medical costs, which were administered in the later and/or terminal phase of the disease, as also shown on Figure 4. When estimating the lifetime healthcare expenditures, we adjusted the dollar values throughout the 2005–2012 period to that of 2012. In addition, the current estimates were adjusted for an annual discount rate of 3% in future years. Comparisons with studies from other countries [34,35] reveal that the lifetime healthcare expenditures reimbursed by the NHI in Taiwan seem relatively small. This could be explained by the different prices of medical care in different countries.

Several limitations must be acknowledged in our study. First, the QoL score of the reference population was assumed to be 1, whereas the reference population might include people with other illnesses and, as a result, the loss-of-QALE due to lung cancer might be overestimated. However, we have tested the assumption in sensitivity analysis and assessed its impact on the results (Table 2). In addition, since both reference groups for the two different subtypes of lung cancer were treated in the same way, the difference between them would not be confounded. Second, although the estimated lifetime healthcare expenditures represented not only the medical costs paid by the NHI but also the out-of-pocket money, there were still other expenses paid by the patients and/or their families, including transportation costs, payments made to caregivers, and human capital loss (i.e. the foregone earnings), which we did not take into consideration. Nevertheless, our estimations could be considered as a lower bound and may be useful for future lung cancer prevention programs planned by the related health authorities. Third, as the data abstracted from the National Cancer Registry for survival analysis did not have detailed information of tumor stages, we could not do further subgroup analysis regarding the staging issue. Because about three-fourth of NSCLC and all SCLC patients are inoperable,
the magnitude of potential bias may not be too large. Lastly, the estimation of QALE would have been more accurate if we had obtained the QoL follow-up for every patient in the lung cancer cohort. Future longitudinal studies are indicated to corroborate our results based on cross-sectional samples.

Conclusions

In conclusion, this study successfully estimated the QALE, loss-of-QALE, and the lifetime healthcare expenditures of patients with different lung cancer subtypes. We found that adenocarcinoma produces higher loss-of-QALE and lifetime healthcare expenditures than is seen with SqCC. Future research could focus on the cost-effectiveness of different prevention programs targeted at different types of lung cancer to obtain the cost-per-life year and/or cost-per-QALY, and provide policy recommendations. In addition, the methodology could be applied to other cancers or chronic diseases for resources planning of healthcare services.

Abbreviations

EQ-5D: EuroQol 5-dimension questionnaire; NCKUH: National Cheng Kung University Hospital; NHI: National Health Insurance; NSCLC: Non-small cell lung cancer; QALE: Quality-adjusted life expectancy; QALY: Quality-adjusted life year; QoL: Quality of life; SCLC: Small cell lung cancer; SqCC: Squamous cell carcinoma.

Competing interests

The authors declare that they have no competing interests.

Authors’ contributions

SCY, WWL, JDW conceived and designed the experiments. SCY, WWL, WCS, SYW, HHWC contributed materials. SCY, WWL, YLW performed the experiments. SCY WWL, MCH analyzed the data. SYZ, WWL, JDW wrote the paper. All authors read and approved the final manuscript.

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