Photo-induced persistent inversion of germanium in a 200-nm-deep surface region

T. Prokscha¹, K. H. Chow², E. Stilp¹, A. Suter¹, H. Luetkens¹, E. Morenzoni¹, G. J. Nieuwenhuys¹, Z. Salman¹ & R. Scheuermann¹

¹Paul Scherrer Institute, Laboratory for Muon Spin Spectroscopy, CH-5232 Villigen PSI, Switzerland, ²Department of Physics, University of Alberta, Edmonton T6G 2E1, Canada, ³Physics Institute, University of Zurich, 8057 Zurich, Switzerland, ⁴Kamerlingh Onnes Laboratory, Leiden University, 2300 RA Leiden, The Netherlands.

The controlled manipulation of the charge carrier concentration in nanometer thin layers is the basis of current semiconductor technology and of fundamental importance for device applications. Here we show that it is possible to induce a persistent inversion from n- to p-type in a 200-nm-thick surface layer of a germanium wafer by illumination with white and blue light. We induce the inversion with a half-life of 12 hours at a temperature of 220 K which disappears above 280 K. The photo-induced inversion is absent for a sample with a 20-nm-thick gold capping layer providing a Schottky barrier at the interface. This indicates that charge accumulation at the surface is essential to explain the observed inversion. The contactless change of carrier concentration is potentially interesting for device applications in opto-electronics where the gate electrode and gate oxide could be replaced by the semiconductor surface.

In photo-conductors, photo-diodes and photo-transistors illumination causes the generation of free charge carriers in normally depleted regions of the device. The working principle in these devices is different from a MOSFET (metal-oxide-semiconductor field-effect-transistor) where an applied electric field at the gate electrode causes inversion from the p-type gate to n-type in order to switch the transistor into a "current on" state. Recent developments on nanowire transistors could offer an alternative to MOSFETs without the need of layer inversion and junctions. Charge coupled devices are another important class of photo sensitive devices where inversion of a p-type semiconductor is the basis for its functionality: a gate bias drives the semiconductor substrate to deep depletion and photo-generated holes are removed from the surface region, while electrons are accumulated until surface charge saturation is reached. In a range of the depletion width (up to ~300 nm) the semiconductor substrate becomes inverted. In technologically important transparent conducting oxides, such as In₂O₃ or SnO₂, intrinsic or photo-induced charge accumulation at surfaces or interfaces changes near-surface carrier concentrations, i.e. the electronic properties. Examples are the n-type conductivity of In₂O₃, the photo-induced Schottky barrier in photorefractive materials with SnO₂ electrodes, or the enhancement of photocurrents in SnO₂ nanowires by the decoration with metallic nanoparticles.

Changes in charge-carrier concentration and dopant type in the bulk are usually detected by macroscopic measurements (e.g. conductivity, Hall-effect, capacitance-voltage profiling (C-V)) which also require the presence of junctions and leads. Scanning probe microscopy techniques allow to carry out time-resolved local mapping of photo-generated charge carriers with lateral resolutions in the sub-hundred nanometer range and temporal resolution of less than hundred μs⁻¹. Here, the presence of photo-induced charge carriers is detected indirectly by changes of the resonance frequency of a cantilever or by changes of the potential offset between a cantilever and the sample surface. By contrast, the positively charged muon μ⁺ represents a very sensitive local probe to detect variations in charge-carrier concentrations as a function of temperature and doping in semiconductors without the need of electrical contacts or the application of electric fields/currents. In this context local probe means that the implanted μ⁺, which comes to rest at an interstitial site, is directly interacting with the free charge carriers in its local, nanometer sized environment.

In this paper we demonstrate that we can use illumination to controllably generate persistent charge carriers beneath the surface of nominally undoped, commercial n-type Ge wafers. These charge carriers are detected at
tunable depths <200 nm with low-energy muon spin rotation (LE-
\(\mu SР\))\(^{3,4}\) using the LE-\(\mu SР\) spectrometer (LEM) at the Swiss Muon
Source S\(\text{pS}\) at PSI. Our results imply that the photo-generated elec-
trons are trapped in surface states which causes the semiconductor
near-surface region to convert from n- to p-type. This demonstrates
that it is in principle possible to create a configuration similar to a
MOSFET without using gate electrodes and gate voltages.

A low-energy \(\mu^+\) beam with tunable energies in the keV range
allows depth-dependent investigations of near-surface regions on a
nanometer scale, as shown in Fig. 1. This is different to conventional
bulk \(\mu SР\) studies, where energetic muon beams with an energy of
typically 4 MeV are used to stop the muons deep inside the sample at
depths of the order of hundred micrometer. Note that muon
implantation energies <14 keV result in average muon stopping
depths that are well-matched with the absorption profile of light in
Ge. In a semiconductor or insulator it can capture one or two elec-
trons to form the different charge states of muonium [\(\mu^+ e^-\)],
mass of \(\mu^+ \approx 1/9\) proton mass]. Depending on factors such as the
dopant concentration and Mu formation energy it occurs in one of
two, possibly coexisting, charge states Mu\(^+\), Mu\(^0\) or Mu\(^-\), analogous
to hydrogen\(^{\pm}\). The muon decays with a lifetime of 2.2 \(\mu s\) into a
positron and two neutrinos. The detection of the various Mu states
occurs by observation of the anisotropic distribution of decay posi-
trons which are preferentially emitted along the \(\mu^+\) spin direction.
This anisotropic decay is the basis for the muon spin rotation tech-
nique (\(\mu SР\))\(^5\). In \(\mu SР\) experiments on semiconductors, where the
muonium has been often used as a light pseudo-isotope of isolated
hydrogen, the different Mu charge states can be distinguished by
their different spin precession signatures in a magnetic field \(B\)
applied transverse to the initial muon spin direction. In case of a
Mu\(^+\) or a Mu\(^0\) state the muon spin precesses with a frequency \(\omega_p = \gamma_p B\),
where \(\gamma_p = 135.54\) MHz/T is the gyromagnetic ratio of the
muon. This precession appears as an oscillation in the recorded
muon decay histograms of several positron detectors surrounding
the sample. The amplitude \(A\) of this oscillation is the muon decay
asymmetry and is proportional to the polarization of the correspond-
ing Mu state. In Mu\(^+\) the hyperfine coupling causes the muon spin to
precess at frequencies that are much higher than \(\omega_p\). This allows one
to clearly distinguish Mu\(^0\) states from Mu\(^+\) and Mu\(^-\). The latter are
often referred as \(\text{diamagnetic}\) Mu\(^0\) which means a \(\mu^+\) without
unpaired electrons nearby, while Mu\(^+\), with an unpaired electron in
the vicinity of the \(\mu^+\), is referred to as being \(\text{paramagnetic}\). In an
experiment where Mu\(^0\) and Mu\(^+\) coexist, but the frequencies of Mu\(^+\)
are too high to be resolved, the observed decay asymmetry \(A_D\) will be
less than the maximal value. This reduction of \(A_D\) is commonly called
a “missing” fraction. The presence of free charge carriers usually
causes depolarization of the Mu state (i.e. a damping of \(A\) as a func-
tion of time) either by electron or hole capture, or by spin-exchange
collisions with conduction electrons, as we will discuss in detail later.
Hence, investigations of the depolarization of Mu can provide
detailed information regarding the concentration and the type of free
charge carriers in the sample.

**Results**

The samples were nominally undoped commercial Ge (100) wafers
from CrysTec GmbH (Berlin, Germany) and MTI corporation
(Richmond CA, USA), two side epi polished. The CrysTec sample
with a thickness of 0.15 mm was a mosaic of nine \(10 \times 10 \text{ mm}^2\)
pieces, with a nominal resistivity \(\rho = 30 \text{\Omega cm}\) (charge carrier density
\(n \approx 5 \times 10^{15} \text{ cm}^{-3}\)), as specified by the supplier. Four pieces of the
Ge wafers have been sputtered with Au to create a nominally 20-nm
thin Au layer on top of the Ge wafers. The MTI sample had a
thickness of 0.5 mm and a diameter of 1”, with \(\rho = 50–60 \text{\Omega cm}\)
(\(n \approx 3 \times 10^{15} \text{ cm}^{-3}\)). The samples were glued with conductive silver
on a silver coated aluminum sample plate of the cryostat. The sample
size assures that more than 95% of the muon beam (12 mm full-
width-at-half-maximum) is hitting the sample. The light was generated
with two sources (for details, see Methods): i) 33 commercial white
and blue LEDs mounted in series on a ring inside the radiation shield
of the sample cryostat with a maximum intensity of 10 mW/cm\(^2\),
or ii), a commercial blue LED point source outside the vacuum
chamber for higher intensities up to 80 mW/cm\(^2\). For the white
LEDs we measured a light transmission of 40–50% through the
20-nm Au film. For this measurement we used a Au-coated trans-
parent ZnO wafer which was prepared simultaneously with the Ge
samples. In the spectral range of 440–600 nm with about 70% of the
total luminous intensity, one can estimate the transmission to be in

![Image](80x88 to 265x303)

**Figure 1** | Schematic of experimental setup and muon stopping and light absorption profiles in germanium. Muon stopping profiles at the indicated implantation energies were calculated with the Monte-Carlo code TrimSP\(^{29,30}\) from 1 keV to 20 keV. A light absorption coefficient \(\alpha \approx 5 \times 10^3\text{ cm}^{-1}\) has been assumed for the used blue light sources with peak wavelengths of 470 nm and 405 nm\(^\pm\), corresponding to photon energies of 2.6 and 3.0 eV, respectively. The pressure at the sample was \(< 10^{-8}\) mbar.
the range of 28–39% with a peak at 500 nm. The higher measured transmission indicates that the real thickness of the Au film is ~15 nm.

The $\mu$SR signal in Ge at a mean depth of 85 nm is shown in Fig. 2a. The asymmetry spectrum at 220 K after cooling from room temperature without illumination shows precession of the weakly depolarizing diamagnetic Mu signal with amplitude $A_D$. Under illumination the precession signals exhibit two components at 220 K (Fig. 2b): a fast depolarizing with $\sim 70\%$ of $A_D$, and a slow component with a fraction of $\sim 30\%$. The asymmetry spectra are well fitted19 with the following functions:

$$A_D(t) = A_s \exp(-\Lambda_s t) \cos(\omega_MT + \phi)$$ (without light, and at $T > 280$ K),

$$A_D(t) = [A_T \exp(-\Lambda_T t) + A_B \exp(-\Lambda_B t)] \cos(\omega_MT + \phi)$$ (during and after illumination),

where $A_T$ is the asymmetry of the of muons in the $\text{Mu}_{\text{T}}^-$ state at the tetrahedral interstitial site, and $A_B$ is the asymmetry of muons in the $\text{Mu}_{\text{BC}}^-$ state at a bond center site between two Ge host atoms: the $T$ and the BC sites are the two crystallographic positions of Mu in

![Figure 2](image-url)
Without light and at \( T > 280 \) K, both states are indistinguishable and have nearly the same “slow” depolarization rate \( \Lambda_s \), and the “slow” asymmetry \( \Lambda_s = (A_{T^-} + A_{BC^-}) \) is the sum of the asymmetries at the two different sites. \( \phi \) is the angle of the muon spin at \( t = 0 \) with respect to the positron detector. Under illumination the appearing “fast” component with depolarization rate \( \Lambda_f \) is attributed to reactions of photo-generated holes with the \( \text{Mu}_T^- \) state. By comparison with previous bulk \( \mu\)SR studies of photoexcited Ge\( ^{17,18} \), which show analogous signals, the two components of equation (2) are believed to be a consequence of the following two reactions: i) \( \text{Mu}_{BC^-}^0 + e^- \rightarrow \text{Mu}_{BC}^- \), and ii), \( \text{Mu}_{T^-}^0 + h^+ \rightarrow \text{Mu}_{T}^0 \), where electron \( (e^-) \) or hole \( (h^+) \) capture, respectively, lead to neutralization of the corresponding diamagnetic state, i.e. conversion to the paramagnetic neutral state. Thermal activation is responsible for the reverse reactions. For i) the reverse reaction is expected to be much faster at 220 K (thousands of MHz) than the carrier capture rate and the hyperfine frequency of \( \text{Mu}_{BC}^0 \) (−100 MHz), see Methods. These rates imply that the loss of muon spin coherence is negligible while the muon is in the paramagnetic state, resulting in a slow depolarization of the \( \text{Mu}_{BC}^- \) signal \( (A_{BC}^-) \). For ii), on the contrary, the ionization rate at 220 K is of the order of the hyperfine frequency of \( \text{Mu}_{T}^0 \) (2360 MHz). In this case, hole capture of \( \text{Mu}_T^- \) is competing with thermal activation, causing a significant loss of coherence in the \( \text{Mu}_T^0 \) precession signal \( (A_T^-) \), see Methods. Furthermore, the fast rate \( \Lambda_f \) can be used as a measure of the free hole concentration \( p \), \( \Lambda_f \propto p, \Lambda_f = \rho \cdot v_p \cdot g_h \), where \( \Lambda_f \) is the hole capture rate, \( v_p \) is the hole velocity, and \( g_h \) is the hole capture cross section of \( \text{Mu}_T^0 \).

The behavior of \( \Lambda_f \propto p \) and \( \Lambda_s \) as a function of temperature, illumination, and history, shown in Fig. 2c, constitutes the main findings of this work. On lowering the temperature from 290 K without illumination the depolarization rate \( \Lambda_s \) slowly decreases, as is typically observed for low doped n-type Ge\(^{16,18}\). In this case the asymmetry spectra are fit by a single “slow” component, where \( \Lambda_s \) is caused by cyclic charge state transitions involving conduction electrons (but not holes)\(^{17}\), and by nuclear magnetic dipolar fields of the \( ^{29}\)Ge isotope. Turning on the light at 220 K leads to the appearance of the “fast” component. Illumination with white light of intensity 10 mW/cm\(^2\) for about 5 h saturates the depolarization rate \( \Lambda_f \) to a value of ~17 \( \mu\)s\(^{-1}\). Using blue light \((\lambda = 470 \) nm\) at the same intensity the saturation occurs earlier (after 2 h). The saturation value of \( \Lambda_f \), which is proportional to the photo-induced hole concentration, can be further increased by using shorter wavelength light of 405 nm and increased intensity of 80 mW/cm\(^2\), where saturation is already obtained after 35 min. From \( \Lambda_f \sim 40 \mu\)s\(^{-1}\) we estimate a hole concentration of \(-1.6 \times 10^{14}/\)cm\(^2\), see Methods. The most intriguing result is the persistence of the “fast” component. For example, after the light is turned off at 220 K for ~12 h, \( \Lambda_f \) decreases only to 50% of its value directly after illumination, see Fig. 3a. The persistence can be explained by trapping of photo-generated electrons in empty surface states (see Discussion): the negative charging of the surface inverts the surface region from n-type to p-type through accumulation of excess free holes. It is only after warming the samples to 280 K that the fast component quickly disappears, and only a single “slow” component \( (\Lambda_s) \) remains. This indicates that a thermally activated process liberates the electrons from the surface states, thus leading to recombination with the free holes and a “resetting” of the sample to its original state. In the temperature range between 270 K and 280 K \( \Lambda_s \), i.e. the hole carrier concentration, quickly disappears. An Arrhenius plot of \( \Lambda_s \) in this temperature range allows to estimate an activation energy of 1.1 ± 0.3 eV for this process, see Fig. 3b. The error is the standard deviation of the fitted value of the activation energy. The much slower decrease of \( \Lambda_f \) between 220 K and 270 K is caused by the thermally activated increase of the \( \text{Mu}_T^- \) formation rate \( (\text{ionization of } \text{Mu}_T^0) \), so that the muon spends less and less time in the depolarizing \( \text{Mu}_T^0 \) state when the temperature is raised, see Methods.

The whole cycle described above is reproducible. This photoinduced persistent change of charge carrier concentration is very well reproduced in complementary resistance measurements, see Fig. 4. We also measured \( \Lambda_s \) as a function of implantation energy between 4 and 25 keV, corresponding to mean/maximum depths of 25/50 nm and 155/210 nm, and found no depth dependence. This indicates that the hole concentration does not change at least up to a depth of ~200 nm. This can be explained by the expected thickness of the accumulation layer for a carrier concentration of ~\( 10^{14}/\)cm\(^2\), which is in the order of one micrometer, see Methods. In bulk \( \mu\)SR studies on Ge and Si no persistent photo-induced effects have been reported\(^{18,22-24}\).

Discussion

An explanation for the trapping of photo-generated electrons at the surface is provided by a model which assumes the presence of surface acceptor states located close to the valence band edge in Ge. These states - dangling bonds or other defects - can be easily filled, building a negative surface charge which drives the surface to inversion\(^{20,21}\). The ease of inversion appears to be an intrinsic property of Ge surfaces, as confirmed by our present observation of inversion in

**Figure 3** Germanium (100), fast depolarization rate \( \Lambda_f \), CrysTec sample. (a), \( \Lambda_f \) at temperature \( T = 220 \) K as a function of time after terminating the illumination with white LEDs at 10 mW/cm\(^2\) for 5 h. The solid line is a fit of the exponential function \( \exp(-t/\tau_f) \), where \( t \) is the time and \( \tau_f \) the time constant of the decrease of \( \Lambda_f \). The fit yields \( \tau_f = 1.67 ± 0.5 \) h, which corresponds to a half-life of about 12 h. The error indicates the standard deviation of the fit result. (b), Arrhenius plot of \( \Lambda_f \) between 270 K and 280 K. Fit function is \( \Lambda_f(T) = C \exp(E_a/k_B T) \), where \( C \) is a constant, \( E_a = 1.1 ± 0.3 \) eV is the activation energy, and \( k_B \) is the Boltzmann constant.
wafers from different suppliers. The model is further supported by our investigations of n-type samples with higher doping levels of $n \sim 4 \times 10^{14} /\text{cm}^3$ and $n \sim 5 \times 10^{17} /\text{cm}^3$. Whereas the first sample shows persistent inversion, no light-induced effects are observed for the latter. This appears to be reasonable for the sample with $n \sim 5 \times 10^{17} /\text{cm}^3$ since the free electron concentration by doping is at least two orders of magnitude larger than the photo-induced carrier concentration, resulting in a rapid recombination of the photo-generated holes. On the other hand, one can expect that photo-generated electrons are still trapped in the surface acceptor states, so that the negative surface charge still could generate an accumulation of holes and a depletion of electrons at the surface. According to the model of Refs. 20, 21 this is inhibited for doping levels of $n \gtrsim 10^{16} /\text{cm}^3$, in agreement with our data. It can be explained by the position of the Fermi level at the surface: for $n \gtrsim 10^{16} /\text{cm}^3$ the level is above the surface acceptor states causing these states to be filled with electrons. Therefore, photo-induced electrons can’t be trapped anymore at the surface in this case. Photo-induced inversion is also destroyed by building a Schottky-barrier at the surface. After sputtering a 20-nm-thick Au layer on top of the Ge samples – thin enough to enable 40–50% of white light to penetrate the Au film – we did not observe any photo-induced change of the depolarization rate: the data are well fitted by a single “slow” component, equation (1), and the depolarization rates $\lambda_z$ agree with the “no light” data in Fig. 2c. This means that photo-induced hole accumulation at the Au/Ge interface is completely suppressed. Strong band bending at the interface probably causes a fast separation/depletion of photo-generated charge carriers from the region where the muons are stopping, inhibiting hole accumulation at the interface. This further supports the interpretation that charge accumulation at the Ge surface is driving the inversion.

In summary we have shown that a semiconductor surface can be persistently inverted by photo-excitation, very similar to charge accumulation in a near-surface layer in electric field transistors. It is conceivable that – by proper tailoring of the surface – charge carrier concentrations can be manipulated over a larger range than presented in this work, which is potentially interesting for future applications in opto-electronic devices.

**Methods**

**Used light sources.** For the 33 commercial LEDs mounted in series on a ring inside the radiation shield two types of LEDs were used: white (Avago HLMP-CW36-UX00, peak wavelengths at 460 nm and 560 nm) and blue (Kingbright L-7113QBC-D) with a peak wavelength of 470 nm and spectral line full-width-at-half-maximum (FWHM) of 50 nm. The maximum current/voltage rating at room temperature is 30 mA/3.3 V. The viewing angles are $2\theta_{1/2} = 30^\circ$ for the white, and $2\theta_{1/2} = 16^\circ$ for the blue LEDs, where $\theta_{1/2}$ is the angle with respect to the optical center line where the luminous intensity is 1/2 of the center line value, i.e. the light is emitted in forward direction in a narrow cone. The maximum intensity at the sample for this setup is 10 mW/cm². For higher intensities up to 80 mW/cm² a LED point source with four LEDs (bluepoint LED by Hönle UV technology, 405 nm peak wave length, spectral width 9 nm FWHM) is installed 1.9 m upstream of the sample outside the vacuum chamber. The light is focussed by two optical lenses through a vacuum viewport onto the sample.

**Ionization, charge capture and carrier concentrations.** Thermal activation or ionization of Mu impurities is usually described by an Arrhenius process with the ionization rate $\lambda_z = \Lambda_z \exp(-E_z/kT)$, where $\Lambda_z$ is the “attempt” frequency and $E_z$ the activation energy. For $\Lambda_z$ in Ge $E_z \sim 145 \text{ meV}$, and $\Lambda_z = 2.9 \times 10^{11} /\text{s}$. It follows $\lambda_z \sim 14000 \text{ MHz}$ at 220 K. The electron capture rate $\lambda_e = n_e \sigma_e = 230 \text{ MHz}$ is much smaller. Here, $\sigma_e \sim 2.5 \times 10^{-12} \text{ cm}^2$ is the electron capture cross section, $n_e = 2.7 \times 10^{10} \text{ cm}^{-3}$ is the electron density in the dark. A necessary condition to avoid loss of spin coherence in the precession of diamagnetic $\mu_0^-$, if it forms from a paramagnetic $\mu_0^+$ precursor – is that $\Lambda_e \gtrsim \Lambda_B^\mu_0^+$, where $\Lambda_B^\mu_0^+ \sim 100 \text{ MHz}$ is the hyperfine coupling of $\mu_0^+$. In this case, the lifetime of the neutral state is short enough to ensure that the muon spin precession due to the hyperfine field of the electron can be neglected. Even if there is a sizeable electron capture rate of $\mu_0^+$ to $\mu_0^-$, as it is the case here, ionization of $\mu_0^+$ is sufficiently fast to avoid loss of polarization, i.e. the depolarization rate of the $\mu_0^-$ signal is small.

For $\mu_0^+$ with $E_z \sim 170 \text{ meV}$, the corresponding ionization rate $\Lambda_z \sim 3700 \text{ MHz}$ at 220 K is of the order of the hyperfine coupling $\Lambda_B^\mu_0^+ = 2360 \text{ MHz}$ of $\mu_0^+$. Hole capture of $\mu_0^-$ causes therefore a temporal loss of polarization which leads to the observed $\Lambda_z \sim 40 \text{ s}^{-1}$ at 220 K. Using a Monte-Carlo simulation of cyclic charge state transitions between $\mu_0^+$ and $\mu_0^-$ states we find that a hole capture rate of $\Lambda_h^\mu_0^- \sim 50 \text{ MHz}$ at 220 K is required to obtain the experimentally observed $\lambda_z$, i.e. 

$\Lambda_z^{\mu_0^-} = 0.8 \Lambda_B^\mu_0^+ (220 \text{ K}) \sim \rho \Lambda_h^\mu_0^-$. Knowing $\rho$, we can estimate the photo-induced hole concentration $\rho$ by using the value of $\Lambda_h^\mu_0^-$ from the simulation and the known hole velocity $v_H$ in Ge. We derive the hole capture cross section $\sigma_h^\mu_0^-$ from bulk
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T.P., K.H.C. and R.S. proposed the present study. T.P. organized the research project. T.P., A.S., H.L., E.M., G.J.N. and Z.S. contributed to the development and maintenance of the low-energy μSR setup. T.P., K.H.C., E.S., H.L., G.J.N. and R.S. were involved in the μSR experiments. E.S. and A.S. performed the resistance measurements. T.P. performed data analysis and drafting of the text. T.P., K.H.C., E.S., A.S., H.L., E.M., Z.S. and R.S. contributed to revisions of the text.

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