Sensitivity analysis of the artificial neural networks in a system for durability prediction of forging tools to forgings made of C45 steel

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Abstract
The article presents the results of a sensitivity analysis of artificial neural networks developed for a system which predicts the durability of forging tools used in the selected hot die forging process. The developed system makes it possible to calculate the geometric loss of the examined tool for the given values of its operating parameters (number of forgings, tool temperature at selected points, type of the applied protective layer, pressure and path of friction) and estimates the intensity of the occurrence of typical mechanisms of tool destruction, i.e. thermo-mechanical fatigue, mechanical wear, abrasive wear and plastic deformation. Nine neural networks operate in the developed system. Five of them determine the geometric loss of the material used for tools operating with protective layers, including a nitrided layer, a pad welded layer and three hybrid layers, i.e. AlCrTiSiN, Cr/CrN and Cr/AlCrTiN. Four networks make calculations determining the intensity of the occurrence of typical destructive mechanisms. The developed sensitivity analysis allows for each neural network to show which input parameters are most important and have the greatest impact on the explained variables. This is determined based on the network error analysis in the case of elimination of individual variables from the input data. The greater the network error calculated after rejecting an input variable relative to the error obtained for the network with all the input variables, the more sensitive the network to the lack of this variable. The best compliance was obtained for the first developed set of networks regarding the geometric loss of material, while the lowest compliance was obtained for the second developed set of networks regarding the applied protective layers, and in particular for plastic deformation and mechanical fatigue, probably due to the smallest size of these sets in the knowledge base. The obtained results of this analysis are important for the system operation, i.e. supporting the technologist’s decision in the selection of such process parameter values that will increase the die’s lifetime.

Keywords Durability of forging tools · Loss of material, artificial neural network · Sensitivity analysis

1 Introduction
In forging companies producing hot die forgings, the durability of forging tools is an important and at the same time complex scientific and economic issue. This is due to the significant contribution of the cost of forging tools to the total production costs calculated per one forging item. Therefore, durability is often expressed in the number of geometrically and qualitatively correct forgings obtained from a single forging tool. It is currently estimated that the cost of one forging consumes on average 30% of the tool cost, depending on the durability value (the number of forgings produced), but this value can go up to as much as even 60% in some extreme cases of intricate steel forgings or forgings made from austenitic steel. It means that the higher the durability, the lower the
The wear process of forging instrumentation is also complicated and difficult to analyse, especially that it is affected by many, often simultaneously occurring, phenomena and destructive mechanisms, such as thermo-mechanical fatigue, abrasive wear, plastic deformation, mechanical fatigue and oxidation [2–4]. The contribution of individual mechanisms varies depending on the type of the die (its shape and size, thermal and thermo-chemical treatment) as well as the working conditions (type of forging machine, lubrication, temperature of tool and forged material, etc.). Moreover, the contribution of individual mechanisms to the total wear of a given tool may also change during operation. Usually, a grid of fatigue cracks appears at the beginning, followed by abrasive wear, tempering of the material and its local plastic deformation [5]. The phenomena of thermal fatigue and combined thermal and mechanical fatigue also appear [6–8], but abrasive wear is not necessarily dominant in this case. Hence, thoroughly analysing the wear of forging tools and predicting their life is a very important issue and a huge challenge for technologists, tool designers, process engineers and forging companies, as well as many research centres [9, 10]. Numerous studies described in the technical literature are devoted, on the one hand, to research works which make it possible to increase the tool life by various techniques of surface engineering [11–16] and, on the other, to improvements of the technical and technological process parameters [17], optimization of the tool shape [18], conversion of the tool material to a material more resistant to the effect of destructive mechanisms [19, 20], or implementation of proper heat treatment [21].

One could also not overestimate the possibility of using modern information technologies (IT) for the support of the processing operations. A development of the IT field can be noticed both in the aspect of the increased possibilities of measurement and storage of a very big number of technological parameters and with respect to providing many new methods and algorithms for the processing of the latter [22]. This makes it possible to construct computer systems which enable a partial replacement of the costly and time-consuming material experiments performed by way of computer simulations. In the literature, one can find many publications describing computer systems developed to support the forging processes [22–34]. The main goal of all these works is to investigate the financial and ecological aspects of materials production, combined with the scientific and cognitive goals aiming at a continuous improvement of the forging process technology and development of new solutions and technologies [1]. In [28], an artificial neural network was developed. It was used to determine the relationship between the mechanical properties and deformation as well as the technological parameters of a titanium alloy. On the other hand, in work [29], based on the measurement data, an optimal model of parameters for the hot forming process of the Ti-6Al-4V alloy was developed, owing to the use of a combination of artificial neural networks and genetic algorithms. In turn, fuzzy logic was used to write the rules for the knowledge base of a special decision system [30], as well as to develop an expert system to forecast the results of the finite element method analysis (FEM), while solving the problem of rubber cylinder compression [31]. In paper [32], an expert system was developed to forecast the loads and axial stresses during forging, while paper [33] presents the use of the finite element method and an intelligent system for the prediction of the values of pressure during a radial forging process.

The investigations carried out by the authors, both in the area of analysing the forging tool durability, including many-year studies of industrial forging processes, and the long-term research related to the analysis of the formal methods of creating computer systems supporting these processes, have led to the development of an expert system which predicts the durability of forging tools and makes it possible to calculate the value of the geometrical loss of the analysed tool for the predetermined parameter values of its work. The system can also estimate the intensity of the occurrence of typical destruction mechanisms. During the work on this system, many formal methods of computer knowledge representation were considered. The basis for its creation was the results of a many-year industrial research collected in the elaborated database, and so the analysis was performed mainly with the use of formal methods, which, in their nature, make it possible to model a phenomenon based on the collected data. As the results of materials experiments are incomplete and burdened with error, the investigations were carried out with the use of fuzzy logic [34], which works perfectly with uncertain and incomplete knowledge as well as neuro-fuzzy algorithms ANFIS [35]. The best matching and the smallest error were, however, achieved with the use of artificial neural networks. The works [24–26] present a methodology of system creation, the parameters and architectures of the developed networks as well as an analysis of the results obtained by means of the elaborated system.

This study concerns a sensitivity analysis of artificial neural networks developed for a system predicting the durability of forging tools used in hot die forging processes. There are nine neural networks in the system subjected to the analysis.

A sensitivity analysis of the developed neural networks gives the opportunity to assess the input variables in terms of the importance of their impact on the output variable and indicate insignificant variables.

This work is a completion of the research performed by the authors. It makes it possible to confirm the importance of the variables applied in the neural networks as well as to sequence them starting from the most important ones to the ones of the least impact. Such information can turn out crucial for the users of the system (technologists), whose task is to optimize the working...
The developed set of training data included a collection of about 900 knowledge records. Figure 2 presents a fragment of the developed set, while the details related to the knowledge acquisition are described in ref. [24, 25]. The input (explanatory) variables included the number of produced forgings, the pressures, the temperature on selected surfaces of the tool, the friction path and the type of protective layer deposited on the tool surface. The output (explained) variables included the geometric loss of the tool material and the percentage contribution of the four main destructive mechanisms.

Fig. 1  A simplified diagram of the system structure
developed. The details related to the network design are described in ref. [24].

When developing these types of systems, a mutual agreement is required between the process engineer or technologist and the knowledge engineer designing the phenomenon model. Often, based on analyses using IT tools, the process engineer finds out new, so far overlooked dependencies, which are of key importance.

1.4 Description of selected forging tool and hot die forging process

For the research, the forging tool used in the hot forging process of a lid-type (cover) forging was selected (Fig. 3a). This process is realized on a crank press P-1800T (number of strokes per min: 70), with the nominal force 18 MN, in three forging operations; upsetting, roughing and finishing forging (Fig. 3b). The elements (lid-type forging) were forged from steel C45, from cylindrical billets with the dimensions: $D = 55 \text{ cm}$, $L = 95 \text{ mm}$ and mass $M = 1.77 \text{ kg}$. The input material is heated up to 1150 °C in an induction furnace. The tools are preheated to the temperature of around 220 °C. The forging, after the forging process, as well as normalizing and machining, is a component of the gearbox in truck cars, as a type of seal at the exit of the drive shaft of the gearbox.

All the tools in this process are made of WCL steel (1.2343). After the heat treatment, they are subjected to nitriding or different surface engineering processes, after which the hardness of the surface layer is at the level of: about 1100 HV (after nitriding) and over 3000 HV (after nitriding + PVD coating. The research concentrated on the tools assigned for the second operation (preliminary forging), which exhibited the lowest hardness, and a detailed analysis was performed on the punch of the upper die (Fig. 4).

This manuscript presents a sensitivity analysis of the artificial neural networks for the developed decision support system, for the analysed forging tool (punch) shown in Fig. 4, after different surface engineering methods have been applied.

1.5 The main aim of the study

The mathematical model in the form of a neural network is called the “black box” model. There are, however, several methods that allow us to assess the impact of the selected input

![Fig. 3](image-url) A view of a ready element (upper image); a hot forging directly after forging, piercing and trimming (lower image), and b the press station with successive forging operations
variables included in the neural network model on the output variable. One of these methods is the sensitivity analysis.

It happens very often that input variables are not independent, so deleting one variable does not always have to cause a loss of model quality, because information about this variable is stored in the values of another variable. Therefore, to check the significance of the input parameters of the developed networks adopted for the analysis (number of forgings, pressure, tool temperature, friction path, type of protective surface layer), a decision was made to carry out these studies.

The most common measure of the network quality is mean squared error (MSE), and it is minimized during the network training process. The measure of the network sensitivity is the so-called quotient of error increase—it indicates how many times the network error will increase after a given variable is removed in relation to the network error with all the analysed variables.

The sensitivity analysis of the developed nine neural networks gives the opportunity to assess the input variables in terms of the importance of their impact on the output variable and also makes it possible to indicate those variables that can be omitted without a loss of network quality as well as the key variables, which are not worth ignoring.

This work proves that all the input variables selected for the analysis are significant. Additionally, they have been ranked in terms of their significance and this can be very useful for technologists and process engineers using the developed system.

1.6 Neural networks determining the geometric loss of material

Five neural networks determine the geometric loss of material for tools operating with protective layers, such as a nitrided layer, a pad welded layer and hybrid layers, i.e. AlCrTiSiN, Cr/CrN and Cr/AlCrTiN. The comparison and basic characteristics of these networks are presented in Table 1. In the training process, each network adopted the training cases typical of the tool operating with a given protective layer. Column 2 (Table 1) presents the number of training cases for each of the developed networks. In the developed networks, the variable metric method, which includes the Broyden-Fletcher-Goldfarb-Shanno (BFGS) method, was adopted as a training algorithm. For each network presented in Table 1, the number of epochs in which the training process was completed was given next to the name of the training algorithm (column 4). The next columns (columns 5 and 6) specify the types of functions that were used to activate the neurons in the hidden layer and in the output layer of the network—tangensoidal, linear, logistic and exponential functions were used here.

In columns 7–9 of Table 1, the results of the linear correlation coefficient calculations are presented for each of the sets (training, test and validation sets). Additionally, the last column (column 10) presents correlation charts for the validation set. The Pearson’s linear correlation coefficient ($R^2$) was determined from Formula (1).

$$R^2 = \frac{\sum_{i=1}^{n} (\hat{y}_i - \bar{y})^2}{\sum_{i=1}^{n} (y_i - \bar{y})^2}$$

where $y_i$ is the actually observed value, $\hat{y}_i$ is the theoretical value of output variable determined on the basis of the model and $\bar{y}$ is the arithmetic mean of empirical values of the output variable.

Diagrams of the adopted neural networks to determine the geometric loss of material (wear) for tools operating with protective layers are shown in Fig. 5.

1.7 Neural networks determining the intensity of the occurrence of typical destructive mechanisms

Four neural networks determine the intensity of the occurrence of typical destructive mechanisms, i.e. thermal and mechanical fatigue, mechanical wear, abrasive wear and plastic
Table 1  A set of neural networks calculating the value of wear

| Network identifier | Number of cases | Network architecture | Training algorithm | Activation (Hidden) | Activation (Output) | Training set | Test set | Validation set | Correlation charts for validation set |
|--------------------|-----------------|----------------------|--------------------|--------------------|---------------------|--------------|---------|----------------|-------------------------------------|
| ANN-1-1 Nitrided layer (GN) | 156 | MLP 4-5-1 | BFGS 170 | Exponential | Exponential | 0.957 | 0.951 | 0.874 | ![Correlation charts](image) |
| ANN-1-2 Pad welded layer | 98 | MLP 4-6-1 | BFGS 41 | Exponential | Exponential | 0.967 | 0.890 | 0.956 | ![Correlation charts](image) |
| ANN-1-3 Hybrid layer GN/CrN | 279 | MLP 4-5-1 | BFGS 78 | Tanh | Linear | 0.900 | 0.964 | 0.923 | ![Correlation charts](image) |
| ANN-1-4 Hybrid layer GN/AlCrTiN | 193 | MLP 4-10-1 | BFGS 126 | Exponential | Linear | 0.918 | 0.956 | 0.882 | ![Correlation charts](image) |
| ANN-1-5 Hybrid layer GN/AlCrTiSiN | 168 | MLP 4-10-1 | BFGS 74 | Tanh | Logistic | 0.957 | 0.987 | 0.952 | ![Correlation charts](image) |

Fig. 5 Diagrams of adopted neural networks to determine the geometric loss of material (wear) for tools operating with protective layers: a nitrided layer, b pad welded layer, c hybrid layers AlCrTiSiN, d hybrid layers Cr/CrN and e hybrid layers Cr/AlCrTiN
Deformation. Diagrams of the neural networks calculating the intensity of the occurrence of destructive mechanisms are shown in Fig. 6. Basic characteristics of these networks are presented in Table 2.

It should be noted that the “layer type” variable is treated here as one of the input variables, so these networks are characterized by five neurons in the input layer. In developing the source data used to design these networks, it has been assumed that each mechanism is represented by a number within the range from 0 to 1. Value 0 means that the given mechanism of destruction does not occur in a given case. Value 1 means that there is only one mechanism of destruction. In each case, the sum of the values assigned to all four destruction mechanisms can be 1. Thus, each of the developed networks, i.e. ANN-2, ANN-3, ANN-4 and ANN-5, determines an approximate percentage contribution of each mechanism to the tool destruction.

The studies of the system creation process and the results generated therefrom are described in detail in ref. [24, 25]. The research described in this work is devoted to the sensitivity analysis of neural networks implemented in the system. Based on the developed networks, in addition to predicting the values of individual explained (output) variables, it is possible to estimate which input variables have the greatest impact on the result.

### 1.8 Sensitivity analysis

The adopted method of sensitivity analysis of the input variables is implemented after the network training process is completed and the network error is determined (Error) - Formula (2).

\[
\text{Error} = \frac{1}{n} \sum_{i=1}^{n} \left( y_i - \hat{y}_i \right)^2
\]

where \( y_i \) is the actually observed value and \( \hat{y}_i \) is the theoretical value of output variable determined on the basis of the model.

The level of significance of input variables can be assessed by way of eliminating them from the network input, re-implementing the training process and determining a new network error (Error). If a certain amount of data is rejected, an increase in the network error should be expected. Therefore, the basic measure of network sensitivity is the quotient \( W \) of the error obtained at the network startup for a data set without one variable \( \text{Error}_i \) and the error obtained for a dataset with all the variables \( \text{Error} \) (3).

\[
W = \frac{\text{Error}_i}{\text{Error}}
\]

The analysis shows what loss is incurred when a specific variable is rejected (Fig. 7). The greater the network error after the

### Table 2 A set of neural networks calculating the intensity of the occurrence of destructive mechanisms

| Network identifier                  | Network architecture | Training algorithm | Activation (hidden) | Activation (output) | Training set | Test set | Validation set |
|------------------------------------|----------------------|--------------------|---------------------|---------------------|--------------|----------|----------------|
| ANN-2 thermo-mechanical fatigue    | MLP 5-11-1           | BFGS 428           | Tanh                | Linear              | 0.916        | 0.871    | 0.871          |
| ANN-3 abrasive wear                | MLP 5-6-1           | BFGS 182           | Tanh                | Exponential         | 0.889        | 0.874    | 0.874          |
| ANN-4 plastic deformation          | MLP 5-6-1           | BFGS 115           | Tanh                | Tanh                | 0.824        | 0.896    | 0.776          |
| ANN-5 mechanical fatigue           | MLP 5-3-1           | BFGS 11            | Exponential         | Logistic            | 0.788        | 0.699    | 0.700          |
variable is rejected compared to the original error (for a network with all the input variables), the more sensitive the network to the lack of this variable. If the error quotient is 1 or less, then deleting the variable does not affect the network quality and even improves it. After conducting a sensitivity analysis for all the analysed variables, they can be ranked in order of importance [27].

2 The results of sensitivity analysis

2.1 Sensitivity analysis of neural networks determining the geometric loss

The results of the sensitivity analysis of neural networks determining the geometric loss of tools operating with different surface layers are presented in Table 3. For each of the variables, the coefficient $W$ was calculated as the quotient of the network error obtained at the network startup for a data set without one variable and the error obtained for a data set with all the variables. The results are summarized in Table 3 and illustrated in Fig. 8.

In the case of the geometric loss determined by the ANN-1-1 network for the nitrided layer and the ANN-1-2 network for the pad welded layer, the tool temperature has the greatest impact on the results, which means that tools with a nitrided layer and a pad welded layer are the most sensitive to changes under thermal conditions. This confirms the observations made in industrial practice showing that these surface treatment variants applied to forging tools do not provide a resistance to temperature but rather to abrasive wear. In the case of the ANN-1-3 network for the GN/CrN hybrid layer and the ANN-1-4 network for the GN/AlCrTiN hybrid layer, the friction path has the greatest impact on the obtained results. As in the previous variants, these networks are more sensitive to the forged material movement along the tool. It should also be noted that the second factor affecting the network sensitivity is temperature. Therefore, in industrial forging processes, tools with applied hybrid layers, in particular with hybrid layers containing chromium, are resistant to temperature changes, but less resistant to abrasive wear.

The results obtained for the ANN-1-5 network (GN/AlCrTiSiN) are very interesting because they indicate that the use of the GN/AlCrTiSiN hybrid layer on forging tools makes them sensitive to temperature, unlike tools coated with the GN/CrN and GN/AlCrTiN hybrid layers. Moreover, the second factor affecting sensitivity is pressure and not the path of friction, which, in the ranking of sensitivity factors, is placed after pressure. Probably, in the case of the ANN-1-5

| ANN-1-1 Nitrided layer (GN) | ANN-1-2 Pad welded layer | ANN-1-3 Hybrid layer | ANN-1-4 Hybrid layer | ANN-1-5 Hybrid layer |
|-----------------------------|--------------------------|----------------------|----------------------|----------------------|
| Temperature                 | 67.29                    | Temperature          | 120.00               | Friction path        | 21.353               | Temperature          | 36.126               |
| Friction path               | 41.05                    | Pressure              | 86.41                | Temperature          | 18.025               | Temperature          | 19.394               |
| Pressure                    | 6.70                     | Number of forgings    | 26.42                | Number of forgings   | 10.574               | Pressure              | 17.023               |
| Number of forgings          | 6.04                     | Friction path         | 22.64                | Pressure              | 10.037               | Number of forgings   | 9.667                |
|                            |                          |                      |                      |                      |                      |                      | 5.157                |
network, the addition of Si to the hybrid layer makes this layer slightly harder compared to the previous two types of layers, which is further confirmed by the results of hardness tests. This, in turn, confirms that this type of thermo-chemical treatment, by making the tools more sensitive to temperature, provides a protection against thermal and thermo-mechanical fatigue which is slightly inferior to the layers examined in the ANN-1-3 and ANN-1-4 networks, while making them more resistant to abrasive wear.

2.2 Sensitivity analysis of neural networks determining the intensity of the occurrence of destructive mechanisms

The results of the sensitivity analysis of neural networks (ANN-2, ANN-3, ANN-4, ANN-5) determining the intensity of the occurrence of destructive mechanisms such as thermo-mechanical fatigue, abrasive wear, plastic deformation and mechanical fatigue are presented in Table 4. Based on the calculated coefficient \( W \), the input variables occurring in these networks have been ranked according to their significance for each network.

In the case of the ANN-2 neural network, which determines the intensity of the occurrence of the mechanism of thermo-mechanical fatigue, it can be seen that the most significant variables are temperature and pressure, i.e. precisely those physical quantities that, also during industrial forging processes, most effectively encourage the occurrence of this mechanism. The results obtained for the ANN-3 network, which determines the intensity of the occurrence of abrasive wear, indicate that the greatest significance is exhibited by the path of friction, followed by temperature and pressure. However, translating these results into the forging process, it seems that, compared to temperature, pressure is slightly more responsible for the intensity of this mechanism. In the case of forging tools, high pressure at large friction distances increases the abrasive wear, while high temperature is more conducive to the local tempering of tool material, as a consequence of which there is an increase in plastic deformation. As regards the results of the sensitivity analysis performed for the networks determining the occurrence of plastic deformation

Table 4 The results of the sensitivity analysis of neural networks determining the intensity of the occurrence of destructive mechanisms

| ANN-2 thermo-mechanical fatigue | ANN-2 abrasive wear | ANN-4 plastic deformation | ANN-5 mechanical fatigue |
|------------------------|-------------------|--------------------------|-------------------------|
| Variable               | W                 | variable                 | variable               | W                           |
| Temperature            | 8,4098            | Temperature              | 1,0020                 | Pressure                   |
| Pressure               | 6,6410            | Temperature              | 7,2546                 | Friction path              |
| Number of forgings     | 4,4217            | Pressure                 | 6,2056                 | Number of forgings         |
| Friction path          | 1,9293            | Pressure                 | 2,0225                 | Friction path              |
| Layer                  | 1,2053            | Layer                    | 1,1743                 | Layer                      |
|                        |                   |                          |                        |                            |
(ANN-4) and mechanical fatigue (ANN-5), the order in which individual factors exert their influence is somewhat puzzling, because, as it has already been mentioned, the greatest impact on plastic deformation in the industrial process is demonstrated by high temperature, followed by pressure and path of friction. In forging processes, the occurrence of mechanical fatigue is mainly caused by high pressure, and, to a lesser extent, by the number of forgings, because, in the case of sharp edges or right angles in the tool, microcracks may initially appear as a result of stress concentration. Over time, i.e. with the increase in the number of produced forgings, propagation and further development of cracks occurs, leading to complete tool breakage. When the results obtained for the last two networks (ANN-4 and ANN-5) are analysed, it can be seen that they are incompatible with the tool behaviour observed during its operation in industrial forging processes. However, looking at the values of the coefficient W, one can see that they are similar and almost at the same level. Therefore, for these cases, it is difficult to speak about differences in the level of significance. The results show, which is also in agreement with the quality parameters of these two networks (Table 2), that they are characterized by the least favourable matching parameters and thus by the largest predictive error. Additionally, as the analysis of the source data showed, in the training process, these networks had the least numerous training sets. Therefore, the training process should be carried out again on a new complete training set.

2.3 Summary

The paper presents the results of a sensitivity analysis and assessment of the developed nine sets of neural networks in terms of the significance of selected key process parameters and their impact on the size of the geometric loss of tool material and the occurrence of major destructive mechanisms. The sensitivity analysis was carried out to identify the most important input parameters, which made it possible to rank them in the order from the most significant to the least significant for the results obtained.

Based on the experience of expert technologists in industrial forging processes, a verification of the obtained results was performed. It was found that, in the case of the first five networks regarding the geometric loss of the forging tool (ANN-1-1 to ANN-1-5), the obtained results were consistent with reality and confirmed the observations and analyses of experts in industrial processes. The situation was similar in the case of the next two networks regarding the intensity of the occurrence of the main destructive mechanisms (ANN-2 and ANN-3). In the case of the last two networks (ANN-4 and ANN-5) regarding the intensity of the occurrence of plastic deformation and mechanical fatigue, the obtained results deviated from reality, although, as the research has shown, the values of the sensitivity indicators determined for individual process parameters were almost at the same level, which can be explained by the small training data sets used in these networks.

The results of the sensitivity analysis obtained in this work can be very useful for technologists and process engineers, whose task is to optimize the operating parameters of the process to make the forging tool life as long as possible. Moreover, based on the obtained results, it can be concluded that the developed sets of neural networks were designed correctly. It should be emphasized and remembered that the correctness of the obtained results must be verified and confirmed under industrial conditions. Therefore, continuous cooperation, exchange of knowledge and experience, and information flow between knowledge engineers, IT specialists and process technologists or engineers are necessary.

The results of the research and analysis can be very helpful in the construction of various IT tools, such as the useful decision support systems, which can successfully support engineers and technologists working in R&D departments of companies and industrial plants involved in the production of die forgings.

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