Fine structure in the cosmic ray spectrum: Further analysis and the next step

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Abstract
An analysis is made of the fine structure in the cosmic ray energy spectrum: new facets of present observations and their interpretation and the next step. It is argued that less than about 10% of the intensity of the helium ‘peak’ at the knee at ~5 PeV is due to just a few sources (SNR) other than the single source. The apparent concavity in the rigidity spectra of protons and helium nuclei which have maximum curvature at about 200 GV is confirmed by a joint analysis of the PAMELA, CREAM and ATIC experiments. The spectra of heavier nuclei also show remarkable structure in the form of ‘ankles’ at several hundred GeV/nucleon. Possible mechanisms are discussed. The search for ‘pulsar peaks’ has not yet proved successful.

1. Introduction

The search for the origin of cosmic rays (CR) is a continuing one. Although many incline to the view that supernova remnants (SNR) are responsible, it is also possible that pulsars contribute and other possibilities include distributed acceleration. To distinguish between them is not a trivial task but is attempted here, by way of studies of the detailed shape, or ‘fine structure’, of the CR spectrum.

Our claim that a ‘single source’ is largely responsible for the characteristic knee in the spectrum [9, 10] has received support from later, more precise, measurements. Very recently [13, 14], we have put forward the case for further fine structure in the energy spectrum in the knee region which appears to be due to the main nuclear ‘groups’: P, He, CNO and Fe, but this awaits confirmation. What is apparent, however, is that there should be such fine structure in the spectrum and that this structure should give strong clues as to the origin of CR.

It is appreciated that there is the danger of ‘over-interpreting the data’ but, in our view, this is the only way that the subject will advance. For many years, new measurements merely confirmed that ‘there is a knee in the CR energy spectrum’ without pushing the interpretation forward. Our own hypothesis met with considerable scepticism initially, and, indeed, there are still some doubters but we consider that the new data are sufficiently accurate for ‘the next step’ to be taken. Only further, more refined data will allow a decision to be made as to whether or not the present claims are valid.

We start by exploring the properties of SNR and pulsars from the CR standpoint and go onto examine the present status of the search for SNR-related structure by way of studying the world’s data on the primary spectra and the relationship of various aspects to our single source model. Particular attention is given to the contribution to the single source peak from the second strongest source and further ‘structure’, in the form of curvature in the energy spectra of the various components. The situation in the region of hundreds of GeV/nucleon is considered. Returning to pulsars, the result of a search for ‘pulsar peaks’ is described.

2. Comparison of SNR and pulsars

2.1. Supernova remnants

Type II SN, which are generally regarded as the progenitors of CR, have a galactic frequency of ~10^{-2} y^{-1} and a typical total energy ~10^{51} erg for each one. Most models (e.g. [51]) yield ~10^{40} erg in CR up to a maximum rigidity of ~3 PV. The differential energy spectrum on injection is of the form \( E^{-\gamma} \) where \( \gamma \sim 2 \) (or a little smaller). At higher rigidities, not of concern here, models involving magnetic field compression via cosmic ray pressure can achieve much higher energies (e.g. [41]).

2.2. Pulsars

An alternative origin of CR above the knee is by way of pulsars which, although being thought to be barely significant below...
1–10 PeV, may well predominate as CR sources at higher energies (e.g. [3]). If there are small contributions below 1 PeV, however, they might give rise to small peaks (see Section 4.2 later). Unlike SNR there are no ‘typical’ pulsars in that their initial periods differ, depending as they do on the rotation rate of the progenitor star. With the conventional value for the moment of inertia of SNR there are no ‘typical’ pulsars in that their initial periods differ, they might give rise to small peaks (see Section 4.2 later). Unlike the most recent spectra is that they extend to higher energies than before, and other indications ([13, 14]).)

The maximum rigidity is given by \( R_{\text{max}} = 6.6 \cdot 10^{16} B_{12} P_{\text{ms}}^2 V \) [17] where, \( B_{12} \) is the effective magnetic field, in \( 10^{12} \) Gauss and, as before, \( P_{\text{ms}} \) is the period in ms. Insofar as there is, presumably, a significant fraction of pulsars with birth periods less than 10 ms, there appears to be no problem in achieving the necessary PeV energies. Indeed, the case has been made for the rest of the CR energy range from PeV to EeV being due to fast pulsars, as already remarked.

The differential energy spectrum of all CR emitted by the pulsar during its age will probably be of the form \( E^{-3} \) due to there being a delta function in energy at a particular instant and the energy falling with time as the pulsar loses rotational energy.

The result of the above is that pulsars cannot easily be involved as the source of particles of energy below the spectral knee at \( \lesssim 3 \) PeV; however, they cannot be ruled out as being responsible for some of the fine structure there.

3. The present status of the fine structure of the energy spectrum

Our first publication claiming evidence for a single source [9] used measurements from nine EAS arrays. Four of the arrays included data above \( \log E \sim 7.5 \) with \( E \) in GeV. A summary of the sharpness \( S \) vs. \( \log(N_E/N_{E_knee}) \) from that work is given in Fig. 1a. The sharpness is given by \( S = -d^2(\log N_E/\log N_0)/d(\log N_0)^2 \) where \( N_E \) is the shower size and \( N_{E_knee} \) is the shower size at the knee position (the sharpness for the energy spectrum has \( N_E \) replaced by \( E \)).

Prominent features in the initial plot are represented by A, B, C and D. ‘A’ was the concavity first referred to by Kempa et al. [18]. ‘B’ was the main peak (knee) and ‘C’ a subsidiary peak; initially, we identified ‘B’ with CNO and ‘C’ with Fe but latterly, following direct measurements to higher energies than before, and other indications, ‘B’ is identified with He and ‘C’ with CNO [12]. ‘D’ is an important minimum identified now as the dip between CNO and Fe. The small peak ‘E’ was not identified in the 1997 work but it is now realised to be coincident with the Fe peak.

An ‘update’ to the structure problem was given in 2001 [10] where we analysed data from 16 arrays. In many cases, spectra were given for different zenith angles; the result was that 40 spectra contributed. The measurements superseded the 1997 results but only to a limited extent because the later measurements did not always cover a much longer period. In that work the difference in intensity from the running mean was determined from the Cerenkov data and from the 40 EAS electron size spectra with the results shown in Fig. 1(b) and (c). We have adopted the same nomenclature for the various features, A, B, C,...,E.

Finally, and most recently, Fig. 1d gives the results from an analysis of 10 more spectra published after 2001 (and studied by Erlykin and Wolfendale [13] and Erlykin et al. [14]). The virtue of these most recent spectra is that they extend to higher energies than most of the previous ones and give good statistical precision for region E (the iron peak). It will be noted that yet another ordinate is used, \( \delta(\log E^2) \), the difference of the intensity from that expected from a ‘conventional model’ – the Galactic Diffusion Model – in which no structure is present, the datum being a smooth gradually steepening spectrum. The lesson to be learned from Fig. 1 is that the succeeding analyses give consistent results for the presence of fine structure in the spectra (size spectra and energy spectra); the difference between features in the various plots is attributed to the non-linear
dependence of shower size ($N_e$) on energy ($E$) and experimental and model 'errors'. The lack of observation of the peak C (CNO) in the latest data presumably arises from both 'statistics' and the fact that the arrays were designed to give higher accuracy at high energies (i.e. regions D and E). Indeed, very recent observations from TUNKA-133 [19] and GAMMA [20], not shown in Fig. 1, give further strong evidence for the iron peak (i.e. 'E' in Fig. 1a).

To be consistent with the analyses of Fig. 1a–c we can examine the $\chi^2$ values for Fig. 1d, too. As remarked above, the new arrays contributing to Fig. 1d were designed to go beyond the knee and, accordingly, the ‘resolution’ of A, B, C and D were not as good. Taking this region first, i.e., $\log(E/E^0)$ from $-1$ to $+1$, a smooth line can be drawn through the points and the reduced $\chi^2$ value determined. It is 1.0, i.e. a reasonable fit, with no evidence for peaks B or C. However, the datum is $A=0$, corresponding to the conventionally expected value, so that there is strong evidence for the excess caused by B plus C (at the many standard deviations level). The problem is the lack of the usual dip between B and C; bearing in mind the fact that the 'zero datum' differs between 1d and the rest, the deficit is at the two standard deviation level, only – a not very serious problem.

Turning to the region above $\log(E/E^0)=1.0$, there is clear evidence from Fig. 1d for the peak 'E'. With respect to the datum it is present at the 4.6 standard deviation level, based on a single point, and about 7 standard deviations if all the points are used. In only Fig. 1a can a comparison be made; here the significance is at the 2.6 standard deviation level.

The conclusion is that all the peaks, B, C and E are very significant. Specifically, for the single points at the appropriate energies/shower sizes, alone, we have, in standard deviations:

- B 7.6, 4.2, 9.4 and 4.4
- C 2.4, 2.6, 3.3 and 3.3
- E 2.6 and 4.0 (7)

4. The search for further structure

4.1. General remarks

'Structure' can be divided into two broad and overlapping categories: sharp discontinuities (fine structure) and slow trends. Anything other than a simple power spectrum with energy-independent exponent can be termed 'structure'.

The main fine structure is the very well-known knee at ~3 PeV, and this will be examined here in more detail from the standpoint of the contribution from not just the single source (SNR) but from the very few most recent/nearest sources. These will have a possible blurring effect on the sharpness of the knee. A related matter for SNR is the expected 'curvature' of the energy spectrum in the energy region where direct measurements for various nuclei have been made (up to about $10^8$ GeV for protons and $10^9$ GeV/nucleus for iron). Such curvature has been seen in our calculations [11], see also Fig. 5 below) for the SNR model in which particles from a random collection of SNR, in space and time, were followed.

Of particular relevance to the pulsar case is the search for sharp discontinuities, such as would arise from specific pulsars.

We start with the possibility of more than one source contributing to the knee.

4.2. Contribution from a 'few' nearby sources

At any one energy there must be, in principle, contributions from very many sources. By dividing the intensity up into contributions from a background formed by the very many sources and the 'single' source (the 'nearest and more recent' - allowing for propagation effects) we have, hitherto, ignored those few other sources which are not too far away nor too old (or too young) to contribute. The situation is similar to the scattering of charged particles passing through an absorber, where there is multiple scattering, single scattering and plural scattering; here, we examine the likely contribution from 'plural' sources. The plural sources have relevance to the sharpness of the knee because their own knees will be at somewhat different energies due to differences in the values of the parameters which determine $R_{max}$ (SN energy, ISM density, magnetic field and degree of field compression, etc.).

In what follows we give the results of running the programmes of Erlykin and Wolfendale [11] again to give the 'strengths' of both the strongest peak (S1) and the second strongest (S2) with the result shown in Fig. 2, where $\log(S2/S1)$ is plotted against $\log(S1)$. The values of S1 were chosen to be at the energy corresponding to the peak in the observed spectrum. The logic is that if the contribution from S2 is small it will be unnecessary to consider the third and so on. It is evident, and understandable, that the mean value of S2/S1 falls as S1 increases, the point being that the larger the S1 value the less frequent it becomes; the 2nd largest is, essentially, a 'typical source'.

Fig. 3 shows the frequency distribution of S2/S1 the mean value is about 7%. The probability of S2/S1 being above 20% – a value where a broadening of the 'single source peak' might be expected to be just significant – is only ~8%.

In an independent approach an analysis has been made of the 24 nearest likely sources identified by Sveshnikova et al. [22]. Of the SNR, only 3 are old enough for the CR to have any chance of arriving at Earth by now; in our model the rest are so young that the CR will still be trapped inside the remnant. Fig. 4 shows the results. It will be noted that Monogem Ring ('our source') is also identified as giving the biggest contribution. We added 'Loop 1', although it was not selected by Sveshnikova et al., as the second, but its spectral shape at high energies is seen to be too steep for it to be relevant.

4.3. The search for 'pulsar-peaks'

If it is assumed that pulsars generate CR of a unique energy at each moment in their lives then the CR energy spectrum should show spikes and if their magnitude can be determined, an estimate could be made of the total pulsar contribution.

![Fig 2. The ratio of S2/S1 vs. S1, where S1 is the peak height of intensity for particles from a single source and S2 is the same from the 2nd strongest source. The weak clustering of points observed at big values of S1 originate from the rare cases when the source is so close to the solar system that the latter is inside the expanding SNR shell. Values b and r are given for the slope of the regression line and correlation coefficient, respectively.](image)
Starting with the experimental data, the precise measurements with the PAMELA instrument [1], can be considered. For protons, the intensities are given with statistical (and other) errors of 3%. Inspection of the fluctuations shows none to be significantly in excess of this value, although there is a small excess at about 50 GeV, which may relate to our earlier claim [15] of a small peak in the AMS data. For the range 10–300 GeV, beyond which the indicated errors become too big, we can take 3% as the upper limit to a spike, the width being the inter-point separation of $D \log E = 0.05$.

Although modelling of the CR spectrum above $\log E = 8.0$ due to pulsars has been made by us [16] and the frequency distribution there can be used to estimate from the few strongest peaks what the total would be, such an analysis has not yet been made in the 10–300 GeV region. All that can be said at this stage is that the total pulsar contribution is very unlikely to be greater than about 10%.

4.4. The 'curvature' in the energy spectrum

In recent years it has become clear that the fine structure of the CR energy spectrum exists not only in the knee region, but also at lower energies. Very precise observations with the PAMELA, CREAM and ATIC instruments (Adriani et al. [1]; Ahn et al. [2]; Panov et al. [21]) for protons, He and other nuclei support the contention of a concavity in the hundreds of GeV region. Specifically, the spectra plotted as $\log(R^2(R))$, where $R$ is the rigidity, have local minima at about 220 GV.

Since the results of these three experiments are very close to each other for the most abundant proton and helium nuclei, we can analyse them together. Fig. 5 shows the actual intensities. We fitted these spectra using the formula of Ter-Antonyan and Haroyan, [23]. It assumes that spectra below and above the minima can be described by power laws with slope indices $\gamma_1$ and $\gamma_2$, respectively and with a smooth transition between these two slopes. The fit comprises five free parameters. The obtained values of the slope indices are $\gamma_1 = 2.79 \pm 0.03$ and $\gamma_2 = 2.69 \pm 0.04$ for protons and $\gamma_1 = 2.64 \pm 0.03$ and $\gamma_2 = 2.56 \pm 0.04$ for helium nuclei, which is in agreement with values found in the constituent experiments of PAMELA, CREAM and ATIC.

Before continuing it is necessary to consider Fig. 5 in some detail. There is the standard problem of the undoubted presence of systematic errors in some or all of the sets of data. For example, the CREAM and ATIC intensities are inconsistent for energies above the ankle. In the event this is not too important in that we need only the value of $\gamma_2$ here, for which the mean line seems appropriate (i.e. we assume that both are equally accurate).

More important is the presence of the ankle. It will be noted that the two sets of data (PAMELA and ATIC) which cover the ankle energy range have similar slope changes, although that for PAMELA is sharper. Presumably, the systematic errors have little effect on the change of slope at the ankle, rather they give a rotation in the whole spectrum from each set of observations.

The joint analysis of these spectra confirms the conclusions made separately by these collaborations that

(i) The spectrum of helium nuclei is flatter than the spectrum of protons;

(ii) The spectrum of He is flatter than the spectrum of protons.

Fig. 3. Frequency distribution of the ratio $S_2/S_1$ of the intensity peak of the 2nd source, $S_2$, to that from the single source, $S_1$, plotted logarithmically (a) and linearly (b).

Fig. 4. Energy spectra from nearby and recent SNR. The SNR are chosen from the set given by Sveshnikova et al. [22] with Loop 1 added. The median spectrum of protons from 50 samples shown in Fig. 5 and denoted as 'total' is given for comparison.

Fig. 5. Rigidity spectra of primary protons and helium nuclei from PAMELA (open circles), CREAM-I (diamonds) and ATIC-2 (stars) experiments. Arrows indicate the positions of the ankles, as determined by the best fitting of these spectra with the Ter-Antonyan and Haroyan's formula above 30 GeV/nucleon, shown by dashed lines. Horizontal dotted lines at the upper end of the arrows indicate the uncertainty interval of the ankle positions. Values of $\gamma_1$ and $\gamma_2$ are given for the slope indices of the spectra below and above the ankle, determined by the same best fit.
(ii) Both proton and helium spectra have a concavity at an energy of hundreds of GeV and change their slope by about $\Delta \gamma = -0.10 \pm 0.05$.

The negative sharpness $S$ of the concavity is very high: for both spectra it is $S = -6$, so that it is a real ‘ankle’ in both cases.

Interestingly an extrapolation of the best fit proton and helium spectra found by the joint analysis of PAMELA, CREAM and ATIC data up to the knee energy of 3–4 PeV, shows that helium becomes the dominant component in the all-particle spectrum, with the fraction reaching a value of about 2/3.

Measurements of the energy spectra of other nuclei also show a consistent concave shape over the common range 30–1000 GeV/nucleon (virtually the same rigidity) from the CNO group to Iron (using the spectra summarised by Biermann et al. [8]) and those considered here, although the degree of concavity is variable. We have quantified the concavity using a sagitta for an assumed circular shape on the plot of $\log(E^2)$ vs. $\log E$, between the limits above. The frequency distribution of $\delta$ from our model involving randomly distributed SNR has been derived from the plots given in Erlykin and Wolfendale [11]; these are for the energy spectra of CR protons – but are applicable for any nucleus, replacing energy by rigidity; the result came from 50 trials, each involving 50,000 SNR (Fig. 6). The concave or convex shape of simulated spectra in the 30–1000 GeV interval depends on the specific pattern of the non-uniform SNR distribution in space and time. However, in all 50 cases variations of spectral shape are very smooth with the maximum (negative) sharpness $S = -0.6$ and the distribution of $\delta$ values shown in Fig. 7. This distribution is symmetric around $\delta = 0$ with about equal numbers of positive and negative sagittas.

The new experimental data are sufficiently precise to enable $\delta$-values to be determined for individual elements and there are shown in Fig. 7. The experimental values for all nuclei including protons and helium are seen to be on the negative side. It is clear that the observed curvature can be hardly explained by the random geometric configuration of CR sources. Indeed, the probability of such or even higher negative curvature for CR nuclei does not exceed 16–20%, particularly when it is realised (see Fig. 6) that many of the random source model spectra with large negative sagittas have shapes which would not fit the measured spectra at energies above 1000 GeV.

The strongest argument against our random source model being the cause of actual sagittas is the detailed structure (and sharpness) of the ankle. In the model, the changes of slope are all smooth (Fig. 6), but in the observations they are not as can be seen in Fig. 5.

The measured spectra for nuclei show similar sharp ankles (e.g. the CREAM data of [2]). Whatever the reason for the ankles, they are a further example of a fine structure of the CR energy spectrum and its origin, which is unlikely to be due solely to the non-uniformity of the SNR space–time distribution, needs a more detailed analysis.

### 5. Cosmic ray abundances

There has been progress concerning the abundances of CR nuclei in the knee region as well as at lower energies and this can be reported.

In our recent paper [14] we showed that the relative abundances for the single source (Monogem Ring) at PeV energies were essentially the same as those of the ambient CR at production, as measured at 1000 GeV/nucleon. This suggests that there is probably only a modest variation from one source (SNR) to another in terms of the relative abundances, although a complication is that the magnitude of the sagitta in the curvature of the spectra in the much lower range 30–1000 GeV/nucleon (see previous section) varies from one nuclear mass to another.

Doubtless there are some (modest) differences between different sources with regard to relative abundances just as there are differences in energetics, maximum rigidities, etc. and these remain to be elucidated by more precise observations. Such abundance variations are expected from a number of causes: Interstellar Medium changes from place to place, as already remarked, the possible role of pulsars in injecting energetic iron nuclei into the SNR shocks, and variable injection of different nuclei from the pre-SN stellar winds and from the SN ejecta.

In parenthesis, it can be remarked that the observed spectra of different elements could be different because the different types of SNR contributing may well have different spatial and temporal distributions. In the limit it could be argued that different nuclei correspond to different spectra in Fig. 6. In this case the difference between the proton and helium spectra in Fig. 5 can be explained, and, in Fig. 7, the average sagitta for C–Fe should be compared with...
a much narrower frequency distribution. The well known flattening of the spectra of the heavier nuclei above the ankle, with respect to $P$ and $He$ may find the explanation in these terms, too, although injection differences give an alternative explanation.

6. Discussion and conclusions

Starting with the problem of ‘plural’ sources in the knee region, i.e. the extent to which the single source has a few accompanying contributors, we conclude that probably less than about 10% of the ‘signal’ represented by the knee is due to other, comparatively nearby, sources. The reduction in sharpness due to this cause is thus expected to be very small.

Continuing with pulsars, as distinct from SNR as the progenitors of CR up to and including the knee, the likelihood of a significant contribution appears to be very small. Concerning ‘the search for pulsar ‘peaks’ at other energies which could be present even if pulsars are not the main sources of CR, the situation is still open. None has been detected so far, although, as we have pointed out [13] it is not impossible that the ‘iron peak’ at ~70 PeV could be due to a pulsar, rather than an SNR. It is in the region beyond the knee (where an examination of published spectra suggests another ‘bump’ in the spectrum above log$E$ = 7.8) where pulsar peaks should be visible if, following Bednarek and Bartosik [3], the bulk of CR in this region come from pulsars. The argument favouring discernable peaks about 10 PV or so is not only that pulsars of unique energy produce sharply peaked energy spectra (delta-functions in simple models) and that there should only be a few such sources (unusually short pulsar periods are required) but that the inevitably short Galactic trapping times also favour fine structure, whatever the sources may be. Precise measurements are not yet available to substantiate this argument.

Turning to curvature in the energy spectrum just before the knee, its presence in the total particle spectrum has been known for a long time (see, for example, [18]) and it is due to the imminent appearance of the peak due to the single source. There is evidence that the curvature is finite at lower energies too, and occurs at similar rigidity for all nuclei. The magnitude of the sagitta is greater than the average of the values found in our earlier Monte Carlo calculations. The PAMELA and CREAM-2 observations of fine structure at 250 GV are very interesting in their own right and suggest the existence of a new, and significant, extra CR component below 200 GV; see the work of Zatsepin and Sokolskaya [24]. (This suggestion is the subject of contemporary work).

Approaching 10^5 GV rigidities the model of Berezhko et al. [5] predicts curvature, see, for example, Berezhko and Völk [6] for a comparison of the measured proton spectrum with that predicted. The model has maximum curvature at about 2000 GeV. At higher rigidities, say >10^6 GV, curvature is observed and is expected because of the onset of nearby sources, with their flatter ($R^{-2}$) injection spectra - which persist in the absence of serious diffusive losses for particles from nearby sources.

The search for fine structure in the CR spectrum will continue to be a profitable one and we regard the search at higher energies than the knee, coupled with very precise measurements below it, as the next step.

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