Proximity-induced spin-orbit splitting in graphene nanoribbons on transition-metal dichalcogenides

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We study the electronic structure of heterostructures formed by a graphene nanoribbon (GNR) and a transition metal dichalcogenides (TMD) monolayer using first principles. We consider both semiconducting TMDs and metallic TMDs, and different stacking configurations. We find that when the TMD is semiconducting the effects on the band structure of the GNRs are small. In particular the spin splitting induced by proximity on the GNRs bands is only of the order of few meV irrespective of the stacking configuration. When the TMD is metallic, such as NbSe₂, we find that the spin splitting induced in the GNRs can be very large and strongly dependent on the stacking configuration. For optimal stacking configurations the proximity-induced spin splitting is of the order of 20 meV for armchair graphene nanoribbons and as high as 40 meV for zigzag graphene nanoribbons. This results are encouraging for the prospects of using GNR-TMD heterostructures to realize quasi-one-dimensional topological superconducting states supporting Majorana modes.

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I. INTRODUCTION

Transition metal dichalcogenides (TMDs) [1–8] are a class of systems that in recent years has generated a lot of interest. Among the reasons for the high level of research activity on TMDs is the fact that such materials can be exfoliated to be only few atoms thick [9–11], down to the limit of one monolayer, and the fact that they have strong spin-orbit coupling. Moreover, some TMDs, such as NbSe₂, have recently been shown [7,12–15] to be superconducting even when only one monolayer thick and to have an in-plane upper critical field much larger than the Pauli paramagnetic limit [7,13,15] due to the presence of strong spin-orbit coupling. Studies on van der Waals heterostructures formed by graphene and TMD have shown that the proximity of the TMD can significantly enhance the SOC in the graphene layer [16–26] and that such SOC can also be tuned by varying the twist angle between the TMD and graphene [27,28]. In addition, theoretical results show that in van der Waals heterostructures [29–33] formed by graphene and monolayer NbSe₂ superconducting pairing can be induced into the graphene layer [34]. TMDs therefore possess two of the key ingredients—superconductivity, and spin-orbit coupling—that can be exploited to engineer heterostructures in which it can be possible to realize topological superconducting phases [35–38]. These phases, in quasi-one-dimensional (1D) systems, exhibit Majorana states bound to the two ends of the systems [39]. In turn, Majorana states can be exploited to realize topologically protected quantum bits, the building blocks of a topological quantum computer [38,40]. These considerations make quasi-1D TMD-based systems a very interesting class of systems to study. One possible way to realize quasi-1D TMD-based systems is to “cut” them into ribbons [41–51]. However, so far, it appears to be challenging to realize high-quality TMD ribbons.

In this work we consider a different route: We study the possibility to realize 1D van der Waals systems with strong spin-orbit coupling (SOC) [33,52–55] by combining graphene nanoribbons (GNRs) and 2D TMD systems. Recent advances allow the fabrication of atomically precise GNRs with the desired width and edges’ morphology [56–61]. We find that in GNR-TMD heterostructures, via the proximity effect, the SOC in the GNR can be greatly enhanced leading to 1D systems ideal for spintronics applications and as basic elements to realize, when paired to a superconductor, Majoranas and topologically protected qubits.

We obtain, via \textit{ab initio} calculations, the band structure of armchair GNRs (AGNRs) and zigzag GNRs (ZGNRs) when placed on semiconducting and metallic TMDs monolayers [62,63]. To exemplify the physics for the case in which the TMD is a semiconductor we consider MoSe₂. Molybdenum-based TMDs are among the most-studied semiconductor TMDs. Mo is the lightest transition metal forming semiconductor TMDs, a fact that helps to reduce the resources needed to carry out the calculations that are computationally very expensive due to the large primitive cell required. For the metallic case we consider NbSe₂ that is particularly interesting given that it becomes superconducting at low temperatures with a so-called Ising-pairing [7,13] that it allows to remain superconducting for values of in-plane magnetic fields well beyond the Pauli paramagnetic limit. We find that for the case when the TMD monolayer is semiconducting its effect on the GNRs’s band structures is not very strong. Our results suggest that this should be the case irrespective of the stacking configuration. In particular, we find that the spin splitting induced by the spin-orbit coupling of the TMD into the GNRs’ bands is of the order of few meV. This can be significant toward the goal of using GNRs on TMD to realize quasi-1D heterostructures with topological superconductivity. However, we find that the effect of the TMD on the GNRs’ spectrum is much larger for the case when the TMD is metallic. For the case when the TMD is NbSe₂ we find that, depending on the stacking configuration, the spin splitting can be as large...
FIG. 1. (a) Example of a GNR-TMD heterostructure and corresponding primitive cell used to perform the ab initio calculations. $A_1$, $A_2$ are the lattice constants of the primitive cell. $\theta$ is the twist angle. [(b) and (c)] Primitive cell for an AGNR, and ZGNR, respectively. [(d) and (e)] Low-energy band structure of an isolated $N = 5$ AGNR, and $N = 4$ ZGNR, respectively.

The work is organized as follows: In Sec. II we provide the geometrical characterization of GNR-TMD heterostructures and the details of the method used to obtain the electronic structure, in Sec. III we show the results for the case of GNRs on semiconducting TMDs (MoSe$_2$), in Sec. IV the results for the case of GNRs on metallic TMDs (NbSe$_2$), and, finally, in Sec. V we present our conclusions.

II. METHOD

We consider heterostructures formed by AGNRs or ZGNRs placed on a monolayer TMD [3,64–68] as shown in Fig. 1(a) where the ribbons are shown by the lighter spheres and the TMD monolayer by the darker spheres. To perform the ab initio calculations the system must be periodic in all directions. For this reason an array of GNRs is placed on the TMD with periodic lattice constant $A_2$. For the GNRs the $x$ direction is the longitudinal direction, and for the TMD substrate we denote by $x_s$ the axis formed by the intersection of the TMD plane with one of the mirror symmetry planes perpendicular to it. With these conventions we define the twist angle $\theta$ as the angle between the longitudinal, $x$, axis of the GNR and the $x_s$ axis of the TMD monolayer.

Graphene nanoribbons are of two types depending on the type of edges: armchair nanoribbons shown in Fig. 1(b), and zigzag ribbons shown in Fig. 1(c). The lattice constants for the two types of ribbons are $a_{\text{AGNR}} = \sqrt{3}a_G$, $a_{\text{ZGNR}} = a_G$, for an AGNR and a ZGNR, respectively, with $a_G = 2.46$ Å the graphene lattice constant. In all our calculations, to avoid the effect of dangling bonds, we terminate the edges of the GNRs with hydrogen atoms, shown as small gray spheres in Fig. 1. The band structure of both types of GNRs has a direct gap [69–76]. In graphene the intrinsic spin-orbit coupling is extremely small, so much so that it has been suggested that graphene quantum dots based on AGNRs could be used to realize ideal spin-qubits [77]. For this reason, to obtain the bands shown in Fig. 1, we have neglected corrections due to spin-orbit coupling. In ZGNRs the gap is close to $k = \pi/a_{\text{ZGNR}}$ and is due to electron-electron interactions that favor a ground state in which the electrons are ferromagnetically polarized along the edges and antiferromagnetically between the edges [58,73,74,78–81]. AGNRs can be classified in three distinct groups depending on their chirality [69]. Let $N$ be the width, in terms of carbon-carbon dimers aligned along the longitudinal direction. The three AGNRs’ chirality classes correspond to ribbons with width $N = 3n - 1$, $N = 3n$, $N = 3n + 1$, $n \in \mathbb{N}$. DFT results [71,73,82] show that, contrary to the prediction of simple tight-binding models with constant hopping between the $p_z$ orbitals, all three types of AGNRs have a direct band gap at $k = 0$ and that this gap is much smaller for the class with $N = 3n - 1$. In the rest of the paper we use $N = 3n - 1 = 5$ for AGNRs and $N = 4$ for ZGNRs.

TMD monolayers have an in-plane hexagonal structure as shown in Fig. 2(a). Such a honeycomb lattice is best described as formed by two triangular sublattices: One as 20 meV for armchair nanoribbons and 40 meV for zigzag nanoribbons. This is a very interesting results considering that at low temperature NbSe$_2$ is superconducting and that our estimates show that the interlayer tunneling strength between GNRs and NbSe$_2$ is of the order of 20 meV, much larger than NbSe$_2$ superconducting critical temperature $T_c$. The dark (purple) and larger spheres represent the metal atoms, the green (lighter) and smaller spheres represent the chalcogenide atoms. (c) Band structure of MoSe$_2$. (d) Band structure of NbSe$_2$. 

FIG. 2. [(a) and (b)] Atomic structure of a TMD monolayer. The dark (purple) and larger spheres represent the metal atoms, the green (lighter) and smaller spheres represent the chalcogenide atoms. (c) Band structure of MoSe$_2$. (d) Band structure of NbSe$_2$. 

$\varepsilon - \varepsilon_F$ for AGNRs and $\varepsilon - \varepsilon_F$ for ZGNRs.
All the electronic structures are obtained via \textit{ab initio} density-functional theory (DFT) calculations using the Quantum Espresso package [84]. We use a plane-waves basis with periodic boundary conditions. To perform the DFT calculation the one-dimensional GNR-TMD heterostructure is simulated as a three-dimensional periodic system in which an array of parallel GNRs is placed on the TMD with periodic boundary conditions. To perform the DFT calculation we use in the rest of the paper. Figure 2(c) shows the band structure for a zigzag graphene nanoribbon of width \(N = 4\), i.e., the ribbons’ width that we use in the rest of the paper. Figure 2(c) shows the band structure for MoSe\(_2\) and Fig. 2(d) the one for NbSe\(_2\). MoSe\(_2\) has a direct band gap equal to 1.33 eV, whereas NbSe\(_2\) is metallic.

The key feature of TMDs monolayers is the presence of a strong spin-orbit-induced spin splitting around the \(K\) (\(K’\)) points of the Brillouin zone (BZ). The strength of the SOC can be quantified by the spin splitting at the \(K\) point of the conduction or valence band, whichever is largest. For MoSe\(_2\) the valence band has a spin splitting equal to 189 meV and for the NbSe\(_2\) the conduction band has the largest spin splitting, equal to 156 meV. Table I summarizes the key properties of the TMDs that we consider.

GNR-TMD heterostructures are characterized by a one-dimensional primitive cell that depends on the stacking orientation of the GNR with respect to the TMD. To be able to obtain the bands of the heterostructure from first principles we must restrict ourselves to commensurate stacking configurations. The condition for a commensurate stacking configuration can be expressed as:

\[
m_p a_r e^{i\theta} = a_s [m e^{i\pi/6} + n e^{-i\pi/6}],
\]

where \(a_r\) is the ribbon lattice constant, \(a_s\) is the TMD lattice constant, and \((m_p, m, n)\) are positive integers. Equation (1) implies that the integers \((m_p, m, n)\) must satisfy the equation:

\[
a_r^2 m_p^2 = a_s^2 (m^2 + n^2 + mn).
\]

For a triplet of integers \((m_p, m, n)\) that satisfies Eq. (2) the twist angle \(\theta\) is obtained using Eq. (1) and for the heterostructure we have \(A_1 = m_p a_r [\cos \theta \mathbf{\hat{x}} + \sin \theta \mathbf{\hat{y}}]\), see Fig. 1(a).

Given the large size of the primitive cell of the GNR-TMD heterostructure it would be computationally very expensive to obtain the dependence of the system’s band structure on the twist angle.

Considering that the intrinsic spin-orbit coupling of carbon atoms is extremely small, no matter how the inversion symmetry is broken (by lack of bulk inversion symmetry or by lack of surface inversion symmetry), the corresponding spin splitting of the bands is also very small. As a consequence the only significant SOC-induced spin splitting of the GNR bands in a GNR-TMD heterostructure has to come from the SOC-induced spin splitting of the GNR bands. The latter is due to bulk-inversion asymmetry. As a consequence for a quasi-1D GNR-TMD heterostructure in which the bulk inversion symmetry is restored, as in the case of Fig. 3(a), one expects that the SOC-induced spin splitting of the bands will be much smaller than for a quasi-1D GNR-TMD heterostructure in which the bulk inversion symmetry is preserved, as in the case of Fig. 3(b). In general, for a GNR-TMD structure with bulk inversion symmetry the remaining, unavoidable, surface inversion asymmetry (SIA) can induce some amount of spin splitting. We expect that this will be small compared to the one present when the GNR-TMD does not have bulk inversion symmetry. One of the goals of the calculations and results that we present in the rest of the paper is to verify the accuracy of such expectation.

\begin{table}[h]
\centering
\begin{tabular}{|c|c|c|c|c|}
\hline
System & \(a_s\) (Å) & \(u\) (Å) & \(\Delta^{\uparrow\downarrow}\) (meV) & \(\Delta^{\uparrow\downarrow}\) (meV) \\
\hline
MoSe\(_2\) & 3.33 & 1.674 & 1.33 & 189 & 21 \\
NbSe\(_2\) & 3.48 & 1.679 & — & — & 156 \\
\hline
\end{tabular}
\caption{Structural parameters, band-gap, and spin splittings of the valence band, \(\Delta^{\uparrow\downarrow}\), and conduction band, \(\Delta^{\uparrow\downarrow}\), at the \(K\) (\(K’\)) points.}
\end{table}
TMD can be large. In this case the spin splitting induced into the GNR by the SOC of the TMD will be small. (b) \( \theta = \pi/2 \) case. In this case the inequivalent \( K \) and \( K' \) valleys fold to different points on the dashed line and so they will likely fold to different points on the dashed line and so they will likely fold to different points of the 1D BZ of the GNR-TMD heterostructure. In this case the spin splitting induced into the GNR by the SOC of the TMD will be small.

Based on the arguments above the \( \theta = 0 \) (and the other values of \( \theta \) related to \( \theta = 0 \) by the \( C_{3v} \) point symmetry of the TMD lattice), and the \( \theta = \pi/2 \) (and the other values of \( \theta \) related by \( C_{3v} \) symmetry) stacking configurations should be the ones that minimize, maximize, respectively, the spin splitting in GNRs due to the proximity of the TMD monolayer. For this reason, in the rest of the paper we consider only these two stacking configurations. It should be pointed out, however, that fixing the twist angle does not fix completely the stacking configuration and therefore the symmetry properties of the structure: (i) one needs to further consider the folding of the bands along the direction of the GNR and (ii) by rigidly shifting the ribbon with respect to the substrate, or considering different amounts of strain for the ribbon or the substrate, different stacking configurations with the same twist angle can be realized. As a consequence, different stackings have different properties even if the twist angle is the same. However, as we discuss in the rest of the paper, a lot can be understood about the general properties of GNR-TMD heterostructures by a careful analysis of the results obtained for specific \( \theta = 0 \) and \( \theta = \pi/2 \) stacking configurations.

For the typical processes used to fabricate van der Waals heterostructures—in particular the widely used mechanical exfoliation process—the stacking configuration and the distance between the layers are not the ones corresponding to thermodynamic equilibrium, but the ones corresponding to some metastable configuration fixed by the details of the fabrication process and experimental conditions. As a consequence, confidence about the correct value of the distance between the layers forming a van der Waals system can only be achieved in the presence of experiments. Given that (i) there are no experimental realizations yet of GNR-TMD heterostructures, (ii) it is expected that the value of the distance between GNR and TMD will be strongly dependent on the fabrication process. (iii) One of our main goals is to understand how the twist angle \( \theta \) affects the SOC induced in the GNR by the TMD and to allow such a distance to depend on \( \theta \) would prevent us to understand if and how the twist angle alone affects the key electronic properties of GNR-TMD heterostructures. (iv) The distance between GNR and TMD can be easily varied in experiments, for instance, by applying pressure; we adopt the following pragmatic approach. We perform a full relaxation calculation including van der Waals corrections for graphene-MoSe\(_2\) and graphene-NbSe\(_2\) heterostructures and obtain the values of the distance between graphene and TMD for these systems. We obtained \( d = 3.54 \) Å and \( d = 3.49 \) Å for graphene-MoSe\(_2\) and graphene-NbSe\(_2\), respectively. We verified that these values are consistent with experimental measurements \[87,88\] and previous ab initio results \[19,89\]. We then used these values for the GNR-TMD heterostructures that we considered: \( d = 3.54 \) Å for GNR-MoSe\(_2\) systems, \( d = 3.49 \) Å for GNR-NbSe\(_2\) systems.

The reasons that led us to set the distance between GNR and TMD as described above, are some of the reasons why we did not do a full relaxation calculation to set the stacking between GNR and TMD. In addition to those reasons, we have that the primitive cells necessary to model GNR-TMD systems are very large and so (i) It is computationally very expensive to do full relaxation calculations for all the structures that we need to consider to begin understand the effect of the twist angle on the electronic structure and (ii) in order to keep the number of atoms of the primitive cells below the limit above which the computational costs become prohibitive, we need to allow for some strain of the GNR’s lattice, and so the work to do a full relaxation calculations would be in vain. To be able to carry out the calculations we allowed for up to 6% uniform strain of the GNR’s lattice. Table II shows the parameters for all the structures considered in the remainder of this work.

### Table II. Structural parameters of the GNR-TMD heterostructures studied in this work.

| System         | Structure | \( \theta \) | \( a_{\text{TMD}} \) (Å) | Strain GNR (%) | \( A_1 \) (Å) |
|----------------|-----------|--------------|--------------------------|----------------|---------------|
| AGNR-MoSe\(_2\) | (4,3,3)   | 0            | 3.33                     | 1.5            | 17.3          |
| AGNR-MoSe\(_2\) | (3,−4,4)  | \( \pi/2 \)  | 3.33                     | 4.2            | 13.3          |
| AGNR-NbSe\(_2\) | (3,2,2)   | 0            | 3.48                     | −5.7           | 12.1          |
| AGNR-NbSe\(_2\) | (4,−5,5)  | \( \pi/2 \)  | 3.48                     | 2.1            | 17.4          |
| ZGNR-MoSe\(_2\) | (7,−3,−3) | 0            | 3.33                     | 0.5            | 17.3          |
| ZGNR-MoSe\(_2\) | (4,−3,3)  | \( \pi/2 \)  | 3.33                     | 1.5            | 9.99          |
| ZGNR-NbSe\(_2\) | (5,−2,−2) | 0            | 3.48                     | −2             | 12.05         |
| ZGNR-NbSe\(_2\) | (3,−2,2)  | \( \pi/2 \)  | 3.48                     | −5.7           | 6.96          |

### III. RESULTS: GRAPHENE NANORIBBONS ON SEMICONDUCTING TMD

#### A. AGNRs on semiconducting TMDs

In this section we present the results for the case of AGNRs on MoSe\(_2\). Figures 4(a) and 4(b) show the stacking...
configuration for the case when $\theta = 0, \pi/2$, respectively. These stackings correspond to the parameters shown on the first and second row of Table II, respectively.

Figures 5(a) and 5(b) show the band structure of the AGNR-MoSe$_2$ systems for the stacking configurations shown in Figs. 4(a) and 4(b), respectively. Due to the large band gap of MoSe$_2$ the effect of the TMD proximity on the ribbon's bands are small, and we can clearly identify the two lowest energy bands as the bands for which the electrons are mostly localized in the AGNR. For the $\theta = 0$ configuration the band gap of the AGNR-MoSe$_2$ heterostructure is 4.13% smaller than the band gap, 322 meV, of an isolated AGNR with the same uniform strain (1.5%) as the one used to obtain the commensurate stacking considered. For the $\theta = \pi/2$ the band gap is 4.92% smaller than the gap, 283 meV, of an isolated AGNR. These are relatively small changes that do not affect qualitatively the electronic properties of the ribbon.

An enlargement of the low-energy part of the bands, however, reveals that the AGNR’s valence band, due to the proximity of MoSe$_2$, exhibits a SOC-induced spin splitting of the order of 1 meV, both for the case when $\theta = 0$ and for the case when $\theta = \pi/2$, as shown in Figs. 5(c) and 5(d). The spin splitting is much smaller for the conduction bands, as shown by the darker (blue) lines in Figs. 5(c) and 5(d). This can be understood considering that for the isolated MoSe$_2$ monolayer the SOC is much larger for the valence band states than for the conduction band states.

The spin splitting induced by a semiconducting TMD monolayer on the low-energy bands of an AGNR is not very large, but, being of the order on 1 meV, indicates that the SOC induced by proximity into the ribbon can be significant enough to allow the realization of topological superconducting states if the GNR-TMD structure is paired with a superconductor. The results of Fig. 5 show that to achieve this goal it would be advantageous to hole-dope the ribbon, given that the induced spin-orbit coupling is much larger for the ribbon’s valence band than for the conduction band states.

**B. ZGNRs on semiconducting TMDs**

In Figs. 6(a) and 6(b) the atomic structure of the stacking configurations corresponding to the fifth and sixth row of Table II are shown. The configuration on the left panel corresponds to $\theta = 0$, whereas the one on the right panel corresponds to $\theta = \pi/2$.

As mentioned in the Introduction, in an isolated ZGNRs interactions lead to a ground state in which the spins are aligned ferromagnetically along the edges and antiferromagnetically between the edges. We denote this ground state as FA. Depending on the width of the ribbon the FA state can be very close in energy to a completely ferromagnetic state, the FF state, in which the spins on opposite edges are polarized in the same direction. For isolated ZGNRs that are as narrow as the ones that we consider in this work ($N = 4$) the FA state is favored. The presence of a substrate [90] can change the energy balance and favor the FF state or even a nonmagnetic state (NM) in which the spins at the edges are not polarized.
TABLE III. Energy, per carbon atom, of the FA and FF states for an $N = 4$ isolated ZGNR (third column) and a ZGNR-MoSe$_2$ heterostructure (fifth column). The energy of the NM state for each of the systems is taken as the reference energy with respect to which the energies of the FA and FM states are given. To make the comparison between the case of the isolated ZGNR and the ZGNR-MoSe$_2$ heterostructure more meaningful, the isolated ZGNR is assumed to have the same uniform strain as in the ZGNR-MoSe$_2$ heterostructure, 0.5% for the $\theta = 0$ case, and 1.5% for the $\theta = \pi/2$ case (see Table II).

| $\theta$ | Isolated ZGNR ($N = 4$) with strain | ZGNR-MoSe$_2$ ($N = 4$) |
|---------|---------------------------------|------------------------|
|         | State | $\epsilon/C$ (meV) | State | $\epsilon/C$ (meV) |
| 0       | NM    | 0                 | NM    | 0                  |
| 0       | FA    | $-7.4$            | FA    | $-6.8$             |
| 0       | FF    | $-5.4$            | FF    | $-5.3$             |
| $\pi/2$ | NM    | 0                 | NM    | 0                  |
| $\pi/2$ | FA    | $-6.4$            | FA    | $-5.9$             |
| $\pi/2$ | FF    | $-4.4$            | FF    | $-4.3$             |

For this reason, for all the TMD-ZGNR systems that we considered, we first checked which spin configuration (FA, FF, or NM) is favored.

The third column of Table III shows the energy difference, per atom, between the NM state and the FA, and between the NM and the FF state, for an isolated ZGNR with $N = 4$ and the same amount of strain used to realize the commensurate ZGNR-MoSe$_2$ heterostructures shown in Fig. 6. We see that for the isolated $N = 4$ ZGNR the FA state has always the lowest energy. The fifth column shows the energy difference between NM and FA state and NM and FF state for the ZGNR-MoSe$_2$ heterostructures shown in Fig. 6. We see that the presence of the MoSe$_2$ monolayer modifies the energy difference between FA and NM state, and between FF and NM state, but (for these configurations) not sufficiently to affect the energy ordering of the three possible spin configurations: The FA state is still the most favorable state. Given the results shown in Table III, in the remainder of this section we limit our discussion to the case when the ZGNR is in the FA spin configuration.

Figure 7 shows the band structure of a $N = 4$ ZGNR ribbon on MoSe$_2$ for $\theta = 0$, left panels, and $\theta = \pi/2$, right panels. In Figs. 7(a) and 7(b) the dashed lines show the result when the effects of SOC in MoSe$_2$ are not taken into account, and the solid lines the bands obtained taking into account SOC. The two band structures appear to be qualitatively different, as it can be seen also from the dependence of the band gap on momentum shown in Figs. 7(c) and 7(d). On energy scales of the order of 100 meV; however, the apparent qualitative differences between the $\theta = 0$ and the $\theta = \pi/2$ stacking are simply due to the different folding of the bands. Considering that $A_1 = 7 \alpha_{\text{ZGNR}}$ for the structure with $\theta = 0$, and $A_1 = 4 \alpha_{\text{ZGNR}}$ for the one with $\theta = \pi/2$, we have that in the first case the edge states of the ZGNR with momentum $k = \pm \pi/2$ are folded to the $k = \pm \pi/2$ $(1 - 2/7)$ momentum, whereas in the second case are folded to the $\Gamma$ point, $k = 0$.

To detect more physical differences we need to consider energy scales of the order of 1–10 meV. At these energy scales we observe that MoSe$_2$ induces a $-1.83\%$ change of the band gap, compared to a band gap of 660 meV for isolated (strained) ZGNR, for the $\theta = 0$ configuration, and a $-2.11\%$ gap change for the $\theta = \pi/2$ configuration for which the gap of an isolated ZGNR with the same amount of strain is 648 meV.

For the $\theta = 0$ configuration the spin splitting is completely negligible. On the contrary, for the configuration corresponding to $\theta = \pi/2$ the presence of MoSe$_2$ induces a spin splitting of both the conduction and the valence band of ZGNR, see Figs. 8(a) and 8(b). In particular, Fig. 8(a) shows that a spin splitting is present even when SOC effects are neglected and that such splitting is comparable to the one obtained when SOC are taken into account, Fig. 8(b). The difference in spin splitting between the $\theta = 0$ and $\theta = \pi/2$ configurations is due on the fact that for the $\theta = 0$ stacking MoSe$_2$ does not break (to very good approximation) the sublattice symmetry of the ribbon symmetry, whereas for $\theta = \pi/2$ MoSe$_2$ significantly breaks such symmetry. Because at the edges of ZGNRs spin and sublattice symmetry are locked, the breaking of the sublattice symmetry due to the presence of the substrate induces
a spin splitting [91]. We encountered the same phenomenon when studying the electronic structure of ZGNRs on hexagonal boron nitride (hBN) [90]. The presence of SOC in MoSe2 has a only a small quantitative effect, as it can deduced by comparing Figs. 8(b) to 8(a).

For the stacking configuration considered the spin splitting induced is not zero even for \( k = 0 \), is even under parity, and of the order of 5 meV both when the TMD’s SOC is neglected or not. This shows that for this case the dominant contribution to the spin splitting is not due to SOC. The induced spin splitting is akin to a Zeeman term: It breaks the Kramers degeneracy but it does not favor intraband \( s \)-wave pairing. These results suggest that, to use ZGNR-MoSe2 heterostructures to realize quasi-1D topological superconducting states, in addition to a component providing superconducting pairing, a source of SOC-induced spin polarization of the bands would be necessary.

IV. RESULTS: GRAPHENE NANORIBBONS ON METALLIC TMD

We now consider the case when the substrate is a monolayer of NbSe2, that is metallic at room temperature. The Fermi surface (FS) of NbSe2 is characterized by pockets, around the \( \Gamma' \) point of the BZ and around the \( K \) and \( K' \) points, as shown in Fig. 9.

A. AGNRs on metallic TMDs

Figures 10(a) and 10(b) show the AGNR-NbSe2 heterostructures that we considered for the \( \theta = 0 \) and \( \theta = \pi/2 \) case, respectively. The parameters defining these structures are given by the third and fourth row of Table II.

Figures 11(a) and 11(b) show the bands for the \( \theta = 0 \) and \( \theta = \pi/2 \) AGNR-NbSe2 structures shown in Figs. 10(a) and 10(b), respectively, when SOC effects are neglected. Figures 11(c) and 11(d) show the bands, as solid lines, when SOC is taken into account. To better show the effect of the SOC the bands obtained neglecting SOC are also shown as dashed lines.

Contrary to the case when the TMD is semiconducting, for the case when the TMD is metallic the low-energy band structures is much more intricate due to the coexistence of the folded bands of the substrate with the ones arising from the ribbon. To understand the effect of the metallic TMD substrate on the bands of the ribbon, for each momentum \( k \), we calculated the projection of the corresponding wave function \( |\psi_k\rangle \) onto the ribbon. The square of such projection, that we denote as \( |\langle C|\psi_k\rangle|^2 \), gives the probability that, for the state \( |\psi_k\rangle \) the electron is localized into the ribbon. By requiring \( |\langle C|\psi_k\rangle|^2 > 0.5 \) we can identify which bands are "ribbonlike," i.e., which bands have states that are mostly localized in the ribbon. After having done the projection of the states on the ribbon and identified which states are ribbonlike we can quantify confidently the effect of the metallic TMD substrate on the ribbon’s band structure. In particular we can extract: (i) amount of charge transfer, (ii) ribbon-substrate tunneling strength, and (iii) presence of spin splitting for ribbonlike bands.

Figures 12(a) and 12(b) show which low-energy states have a probability equal or larger than 40% to be localized in the
FIG. 11. [(a) and (b)] Bands for the $\theta = 0$ and $\theta = \pi/2$ AGNR-NbSe$_2$ structures shown in Figs. 10(a) and 10(b), respectively, when SOC effects are neglected. (c) Bands for the $\theta = 0$ structure including SOC, solid lines. Also shown as dashed lines are the bands obtained with no SOC. (d) Same as (c) for the $\theta = \pi/2$ case.

From these figures we see that there is a charge transfer between NbSe$_2$ and the AGNR that results in a $p$-doping of the ribbon. From Fig. 12(a) we see that for the $\theta = 0$ configuration the effective $p$-doping of the AGNR corresponds to a Fermi energy 0.3 eV below the top of the ribbon’s valence band. For the $\theta = \pi/2$ configuration, Fig. 12(b), the charge transfer corresponds to a Fermi energy 0.21 eV below the top of ribbon’s valence band. The non-negligible difference between the values of charge transfer is due to the fact that, to keep the number of atoms of the primitive cell below the limit above which calculations cannot be performed, for the two stacking configurations we had to set different amount of strain for the GNR, as shown in Table II.

From Figs. 12(a) and 12(b) we can quantify the size of the gaps at the “avoided crossings” for the ribbonlike bands. For the $\theta = 0$ configuration we observed gaps at avoided crossing as large as 55 meV, whereas for the $\theta = \pi/2$ case the largest avoided crossings are of the order of 30 meV. From these numbers we can estimate that for the $\theta = 0$ AGNR-NbSe$_2$ structure shown in Fig. 10(a) the effective interlayer tunneling, $t$, at low energies, is of the order of 25 meV, and that $\theta = \pi/2$ AGNR-NbSe$_2$ structure shown in Fig. 10(b) $t \approx 15$ meV.

Figure 13 shows the bands—obtained including SOC—of the AGNR-NbSe$_2$ heterostructure, in the $\theta = 0$ stacking configuration, in a $\pm 100$ meV energy window around the Fermi energy for negative $\epsilon$ [Fig. 13(a)] and positive $\epsilon$ [Fig. 13(b)]. The arrows denote the spin polarization. We see that for the states localized on the ribbon a spin splitting is induced and that the spin polarizations for states with the same energy and opposite momentum are antiparallel. This shows that the induced spin splitting is of the Rashba type. Figures 13(c) and 13(d) show the amplitude of the spin splitting as function of momentum. We see that the spin splitting is of the order of 2 meV, i.e., of the same order of magnitude as the one that we obtained for the case of AGNRs on semiconducting TMDs.
The magnitude of the spin splitting induced into the AGNR by the proximity of NbSe$_2$ is much larger for the $\theta = \pi/2$ stacking configuration, as shown in Fig. 14. Figures 14(a) and 14(b) show the spin splitting of the low-energy bands for which the projection of the wave function onto the ribbons is at least 40%, for positive and negative momenta, respectively. Figures 14(c) and 14(d) show the magnitude of the spin splitting as a function of momentum. We see that for the $\theta = \pi/2$ configuration the spin splitting of the AGNR’s low-energy bands induced by NbSe$_2$ can be as large as 15 meV, an order of magnitude larger than for the $\theta = 0$ configuration. As discussed earlier, see Fig. 3, this is due to the fact that for the $\theta = \pi/2$ configuration the $K$ and $K'$ valleys of the TMD, contrary to the $\theta = 0$ case, do not fold into the same point of the reduced BZ reducing the cancellation of their opposite spin splittings.

The large enhancement of the SOC of the AGNR, and the corresponding large spin splitting of the low-energy bands, induced by the proximity of the metallic TMD, make AGNR-TMD heterostructures with $\theta = \pi/2$ very interesting for the realization of quasi-1D topological superconducting states.

### B. ZGNRs on metallic TMDs

The case of ZGNRs on metallic TMDs monolayers is the most challenging case to consider. This is due to two reasons: (i) the fact that in ZGNRs the Coulomb interaction qualitatively affect the nature of the ground state [73,74,92] and (ii) the fact that the TMD, being metallic, can strongly modify, screen, the Coulomb interaction between electrons in the ZGNR and therefore modify the order, in terms of energy, of the possible ground states. As a consequence, for ZGNR-TMD heterostructures in which the TMD is metallic, the band structure of the ZGNR depend very strongly on the details of the stacking configuration.

To illustrate this fact in this section for each $\theta = 0$ and $\theta = \pi/2$ configuration we consider also a “shifted” one having all the same parameters and differing only for a small rigid shift of the ribbon with respect to the TMD monolayer. The two $\theta = 0$ stacking configurations are shown in Figs. 15(a) and 15(c). Given that the only difference between the two configurations is a shift of the ribbon, they both are characterized by the same $m_p$, $m$, and ribbon’s strain shown in the seventh row of Table II. Similarly, the two $\theta = \pi/2$ stacking

![Figure 15](image)

**FIG. 15.** [(a) and (b)] Crystal structure of the “unshifted” $\theta = 0$, $\theta = \pi/2$, ZGNR-NbSe$_2$ heterostructures for which the ribbon’s FF state is the lowest-energy state. [(c) and (d)] Crystal structure of the “shifted” $\theta = 0$, $\theta = \pi/2$, ZGNR-NbSe$_2$ heterostructures for which the ribbon’s FA state is the lowest-energy state.

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To illustrate this fact in this section for each $\theta = 0$ and $\theta = \pi/2$ configuration we consider also a “shifted” one having all the same parameters and differing only for a small rigid shift of the ribbon with respect to the TMD monolayer. The two $\theta = 0$ stacking configurations are shown in Figs. 15(a) and 15(c). Given that the only difference between the two configurations is a shift of the ribbon, they both are characterized by the same $m_p$, $m$, and ribbon’s strain shown in the seventh row of Table II. Similarly, the two $\theta = \pi/2$ stacking

![Figure 16](image)

**FIG. 16.** (a) Low-energy band structure of the unshifted $\theta = \pi/2$ ZGNR-NbSe$_2$ heterostructure shown in Fig. 15(b) for which the ribbon’s FF state is the lowest-energy one. The dots (yellow and blue) mark the states for which the projection onto the ribbon is larger than 50%. The color of the dots denotes the spin-polarization, as shown by the color bar. (b) Low-energy band structure for an isolated $N = 4$ ZGNR placed in the FF state. As in (a), the color of the bands reflects the spin polarization.

| $\theta$ State | $\epsilon/C$ (meV) | Shift State | $\epsilon/C$ (meV) |
|----------------|---------------------|-------------|---------------------|
| 0              | NM                  | N           | NM                  |
| 0              | FA                  | N           | FA                  |
| 0              | FF                  | N           | FF                  |
| 0              | NM                  | Y           | NM                  |
| 0              | FA                  | Y           | FA                  |
| 0              | FF                  | Y           | FF                  |
| $\pi/2$        | NM                  | N           | NM                  |
| $\pi/2$        | FA                  | N           | FA                  |
| $\pi/2$        | FF                  | N           | FF                  |
| $\pi/2$        | NM                  | Y           | NM                  |
| $\pi/2$        | FA                  | Y           | FA                  |
| $\pi/2$        | FF                  | Y           | FF                  |

**TABLE IV.** Energy (last column), per carbon atom, of the FA and FF states of the ZGNR for the “unshifted” (“Shift = N”) and “shifted” (“Shift = Y”) ZGNR-NbSe$_2$ heterostructures shown in Fig. 15. Here $E_0$ is the energy per carbon atom for the $\theta = 0$ unshifted stacking configuration, and $E_0 = E_0 - 4.36$ meV is the energy per carbon atom for the $\theta = \pi/2$ unshifted stacking configuration. The third column shows the energy for isolated ZGNRs with the same uniform strain as the ZGNRs forming the ZGNR-NbSe$_2$ heterostructures considered.
FIG. 17. [(a) and (b)] Bands for the $\theta = 0$ and $\theta = \pi/2$ ZGNR-NbSe$_2$ shifted structures shown in Figs. 15(c) and 15(d), respectively, when SOC effects are neglected. (c) Bands for the shifted $\theta = 0$ structure including SOC, solid lines. Also shown as dashed lines are the bands obtained with no SOC. (d) Same as (c) for the shifted $\theta = \pi/2$ case.

FIG. 18. Left panels: Projection on to the ZGNR, $|\langle C | \psi_k \rangle|^2$, of the low-energy bands of the shifted ZGNR-NbSe$_2$ heterostructure in the $\theta = 0$ configuration. Right panels: Same as left panels for the shifted $\theta = \pi/2$ configuration.

FIG. 19. [(a)–(c)] Low-energy bands, with no SOC included, of the shifted $\theta = 0$ ZGNR-NbSe$_2$ heterostructure with projection on ribbon and spin polarization of the states. The red dots in the bottom panels show the magnitude of the spin splitting.
due to the fact that the ribbon is in the FF state. In general, when the ZGNR is the FF state, the ferromagnetic ordering induces a very large spin splitting and effects arising from the SOC in the substrate become negligible. For this reason, for ZGNR-TMD heterostructures for which the FF state is favored we have the qualitative result that the spin splitting of the ZGNR’s bands is of the order of few hundreds of meV, and to good approximation, independent of momentum, irrespective of the detail of the stacking configuration. For this reason, for ZGNR-TMD systems for which the FF state is the ribbon’s ground state no further analysis is required to know qualitatively the ZGNR’s band structure.

In the rest of the paper, we focus on the $\theta = 0$ and $\theta = \pi/2$ “shifted” structures, shown in Figs. 15(c) and 15(d), for which the FA state is the ribbon’s ground state. Figures 17(a) and 17(b) show the bands for the $\theta = 0$ and $\theta = \pi/2$ structures, respectively, when SOC effects are neglected. Figures 17(c) and 17(d) of the same figure show the results with SOC. In these figures, to better emphasize the effect of SOC, the bands without SOC are also shown as dashed lines.

Figure 18 shows the low-energy bands for which the projection on the ribbon of the corresponding eigenstates is larger than 40%. Figures 18(a)–18(d) show the results with no SOC, whereas Figs. 18(e)–18(h) show the results with SOC. From these figures we see that, as for the case of AGNR-NbSe$_2$ heterostructures, there is a charge transfer between the ZGNR and NbSe$_2$ that makes the ribbon metallic and hole doped, both for the $\theta = 0$ and the $\theta = \pi/2$ structure. The hole doping correspond to a Fermi energy 30 meV (80 meV) below the top of the valence band for the $\theta = 0$ ($\theta = \pi/2$) structure with and without SOC.

Analysis of Fig. 18 also allows us to identify the avoided crossings between ZGNR’s and TMD’s bands and, by measuring the gaps at this avoided crossings, estimate the strength of the tunneling between a ZGNR and TMD. For both the $\theta = 0$ and $\theta = \pi/2$ configurations we observe gaps ranging between 2 and 10 meV, numbers that suggest a ZGNR–TMD tunneling strength of the order of just few meVs.

The projection of the bands on the ribbon allows us to identify the spin splitting induced on the ribbon’s bands by the presence of the metallic TMD. Figure 19 shows the results for the $\theta = 0$ structure with no SOC. We see that the low-energy ribbon’s bands are spin split even when no SOC is present. As for the case of ZGNR on MoSe$_2$, this is a result of the fact that the substrate breaks the ribbon sublattice symmetry and therefore, given the nature of the FA state, the degeneracy between the spin-polarized states localized at the opposite edges of the ribbon. The fact that the spin splitting is due only to the breaking of the ribbon’s sublattice symmetry can also
be inferred from the fact that states with opposite momentum have the same spin polarization. For the $\theta = 0$ case, with no SOC, the maximum spin splitting is of the order of 0.5 meV.

Figure 20 show the spin splitting of the ribbon’s low-energy bands for the $\theta = \pi/2$ structure with no SOC. As for the $\theta = 0$ case, the breaking of the ribbon’s sublattice symmetry induces a spin splitting of the bands. Again we notice that states with opposite momentum have the same spin polarization. However, for the particular $\theta = \pi/2$ structure considered, we have that the spin splitting, even when SOC is neglected, is much larger than for the $\theta = 0$ structure, $\sim 10$ meV, rather than $\sim 0.5$ meV. This can be assumed to be accidental and just due to differences between the two configurations for the relative alignment of the carbon atoms forming the ribbon and the substrate.

We now consider the case when SOC effects are included. Figure 21 show the results for the $\theta = 0$ configuration obtained taking into account the presence of SOC. We see that the spin splitting is of the order of 2 meV, larger than for the case when no SOC is included. However, we also notice that states with opposite momentum have approximately the same spin polarization. This suggests that the main mechanism by which a nonzero spin splitting is induced into the ZGNR low-energy bands is still the breaking of the sublattice symmetry combined with sublattice-spin lock for the edge state characteristic of the FA ground state.

The situation is different for the $\theta = \pi/2$ stacking configuration. In this case the inclusion of SOC not only significantly enhances the spin splitting of some of the bands, but it changes its nature given that now states with opposite momentum have opposite spin polarization, as shown in Fig. 22. In particular we see that for the conduction band the spin splitting when SOC is included is $\sim 40$ meV instead of $\sim 10$ meV when SOC is not included.

By comparing the results of Fig. 21 with the ones of Fig. 22 we see that the SOC strongly affects the spin splitting of the ZGNR’s bands when $\theta = \pi/2$ and only negligibly when $\theta = 0$. This can be understood from the general principle illustrated by Fig. 3: For $\theta = \pi/2$ stacking configurations the $K$ and $K'$ valleys of the TMD do not fold on the same point of the reduced BZ and therefore the opposite spin splittings at these valleys of the TMD’s bands do not cancel as much as for the case of $\theta = 0$ stacking configurations.

V. CONCLUSIONS

In this work we have studied using first-principles the electronic structure of heterostructures formed by a graphene nanoribbon and a transition metal dichalcogenide monolayer. We have considered both armchair graphene nanoribbons and zigzag graphene nanoribbons on either a semiconducting or a metallic TMD monolayer. We have considered MoSe$_2$ as the exemplary semiconducting TMD, and NbSe$_2$ as the exemplary metallic one.

The presence of the ribbon causes the BZ of the monolayer to fold into a 1D BZ. Depending on the direction along which the ribbon is oriented with respect to the TMD we can have two extreme situations: Either inequivalent or equivalent corners (valleys) of the TMD’s BZ fold to the same point on a line aligned along the 1D BZ of the GNR-TMD heterostructure. In the first case the spin splitting induced into the ribbon will be minimized, in the second case it can be maximum. In our convention the first case correspond to stacking configurations with twist angle $\theta = 0$, and the second case to stacking configurations with $\theta = \pi/2$. Rather than considering several stacking configurations we have focused on comparing the results for $\theta = 0$ and $\theta = \pi/2$ configurations.

For the case when the TMD is a semiconductor we find that its effect on the ribbon’s band is quantitatively small. For armchair graphene nanoribbons the TMD causes a reduction of $\sim 5\%$ of the band gap and a spin splitting of the order of 1 meV, for both the $\theta = 0$ and the $\theta = \pi/2$ stacking configuration. The induced spin splitting is small but it should be observable and possibly large enough to allow the formation of quasi-1D superconducting states in TMD-AGNR heterostructures that incorporate a superconducting layer. For zigzag graphene nanoribbons the induced spin splitting is larger, of the order of 5 meV, for both the $\theta = 0$ and the $\theta = \pi/2$ stacking configuration. In ZGNRs the electron-electron interactions favor the formation of ground states in which the spin are polarized. In isolated ZGNRs the state with the lowest energy is the FA state in which the spin are aligned ferromagnetically along the edges and antiferromagnetically between edges. Given that the atoms at opposite edges belong
to different sublattices in the FA state, at the edges, the sublattice and the spin degrees of freedom are locked. A substrate, just by creating a different electrostatic potential for the two different edges, can break the sublattice symmetry and therefore, when the ZGNR is in the FA state, induce a spin splitting even in the absence of SOC. This is the dominant mechanism by which the spin splittings of \( \theta \) meV that we obtain for ZGNR on MoSe\(_2\) are induced, for both the \( \theta = 0 \) and the \( \theta = \pi/2 \) configuration.

For the case in which the TMD is metallic the effect of SOC is much more pronounced. In this case we notice a significant difference between \( \theta = 0 \) and \( \theta = \pi/2 \) configurations. For AGNRs we find that for the \( \theta = \pi/2 \) configuration the induced spin splitting is almost an order of magnitude larger than for the \( \theta = 0 \) one. For \( \theta = \pi/2 \) we obtain a spin splitting of the order of 20 meV. For ZGNRs we find that the metallic TMD monolayer, depending on the details of the stacking configuration, can favor a ferromagnetic state for the ribbon rather than the FA state. For configurations for which the FA state remains the lowest-energy state, we find that for \( \theta = \pi/2 \) stackings the induced spin splitting can be as large as 40 meV, more than order of magnitude larger than for \( \theta = 0 \) configurations.

One of the challenges in realizing Majorana modes in current quasi-1D superconductor-semiconductor heterostructures is the large number of subbands. As a consequence, to drive the system into a topological phase supporting Majorana modes requires very fine-tuning of external gate voltages [93]. A graphene nanoribbon is only one-atom thick and can be just few atoms wide. As a consequence in GNRs the bands are well separated in energy and to be in a situation in which only one band is at the Fermi energy does not require fine-tuning. However, isolated GNRs have negligible spin-orbit coupling, one of the necessary ingredients to realize topological superconducting state. The results that we present show that a significant spin-orbit coupling can be induced in GNRs by proximitizing them to TMD monolayers, and that the resulting spin splitting of the ribbon’s bands can be made quite large by stacking the ribbons in configurations that preserve bulk inversion asymmetry, i.e., minimize the folding of the opposite valley of the TMD’s bands to the same point of the 1D BZ. These results suggest that GNR-TMD heterostructures might be a promising new platform to realize topological superconducting states supporting Majorana modes as long as the quasi-1D GNR-TMD system can be engineered to have, in the normal phase, an odd number of bands crossing the Fermi energy by tuning the doping, and the strength of external magnetic field.

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