Self-organization in $^4$He near the superfluid transition in heat flow and gravity

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We investigate the nonlinear dynamics of $^4$He slightly below the superfluid transition by integrating model $F$ equations in three dimensions. When a superfluid is heated from above under gravity, a vortex tangle and sheetlike phase slips both appear near the bottom plate. Then a self-organized superfluid containing high-density vortices and phase slips grows upward, where high-amplitude second sounds are emitted from the self-organized to the ordinary superfluid region. A phase slip sheet often changes into a vortex aggregate and vice versa. The thermal resistance due to these defects produces a constant temperature gradient equal to the gradient of the pressure-dependent transition temperature $T_\lambda(p)$. In this self-organized region, the temperature deviation $T - T_\lambda(p)$ consists of a negative constant determined by the heat flux $Q$ and time-dependent fluctuations. Its time-average is calculated to be 155 nK for $Q = 11.2$ erg/cm$^2$s in good agreement with the experiment (W.A. Moer at al., Phys. Rev. Lett. 78, 2421 (1997)).

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I. INTRODUCTION

The critical phenomena in $^4$He near the superfluid transition have been studied with exceedingly high precision of the temperature $T$ ($\sim 10^{-9}$ deg)$^{-2}$. Such experiments have thus been used to confirm or predict universal relations near the critical point. Moreover, in this system, a number of unique nonequilibrium situations can be found in the nonlinear response regime. In particular, the superfluid transition is very sensitive to applied heat flow $Q$. For example, if $T = T(z)$ is above the transition temperature $T_\lambda$ at one end of the cell and below $T_\lambda$ at the other end, a HeI-HeII interface emerges separating superfluid and normal fluid regions. Here $T$ is nearly constant in the superfluid and has a finite gradient in the normal fluid, so the temperature gradient is almost discontinuous across the interface. In experiments, it emerges when $^4$He in a normal fluid is cooled from a boundary below $T_\lambda$ or when $^4$He in a superfluid is warmed from a boundary above $T_\lambda$. This interface has a thickness proportional to $Q^{-1/2}$ at small $Q$ and is thus a unique singular object. Some authors were also interested in the heat capacity in heat flow near the superfluid transition. Another interesting case is to quench liquid $^4$He from its normal to its superfluid phase by a mechanical expansion. In such phase ordering with a multi-component order parameter, topological singularities (vortices in $^4$He) should be proliferated in an early stage after quenching.

The superfluid transition can also be greatly influenced by gravity due to the pressure dependence of $T_\lambda(p)$. In $^4$He near the transition, the specific heat exhibits a sizable logarithmic singularity, but the isothermal compressibility has almost no singularity, resulting in nearly homogeneous density profiles for not large cell length on earth. Thus the height-dependence of $T_\lambda(p)$ is the main origin of inhomogeneity in equilibrium $^4$He, preventing precise measurements of the critical phenomena in $^4$He. If the $z$ axis is taken in the upward direction on earth, $T_\lambda(p)$ decreases with the height $z$ as

$$T_\lambda(p) = T_{\lambda\text{bot}}(1 + Gz),$$

where $T_{\lambda\text{bot}}$ is the transition temperature at the cell bottom and $G = |dT_\lambda/dp|\rho g/T_\lambda \cong 0.6 \times 10^{-6}$ cm$^{-1}$ at saturated vapor pressure with $g$ being the gravity constant. The origin of the $z$ axis is at the cell bottom. We introduce the local reduced temperature as

$$\tau = T/T_\lambda(p) - 1 = (T/T_{\lambda\text{bot}} - 1) - Gz,$$

which depends on $z$ and represents the distance from the lambda line in the $p$-$T$ phase diagram. Therefore, equilibrium states are noticeably inhomogeneous in the temperature region

$$|\tau| \lesssim Gh,$$

where $h$ is the vertical cell length. Remarkably, in the temperature range $\tau(h) < 0 < \tau(0)$ at homogeneous $T$ (in equilibrium), a gravity-induced two-phase coexistence is possible with an upper region in a superfluid and a lower region in a normal fluid. The thickness $\xi_g$ and the characteristic reduced temperature $\tau_g$ of the gravity-induced interface is determined by the balance $\tau = G\xi$, where $\xi = \xi_0|\tau|^{-2/3}$ is the correlation length with $\xi_{0=1} = 1.4 A$. Hence they are given by

$$\xi_g = \xi_{0=1}(\xi_{0=1}G)^{-2/5}, \quad \tau_g = (\xi_{0=1}G)^{3/5},$$

which are about $10^{-3}$ and $10^{-2}$ cm on earth, respectively. It is worth noting that application of an electric field $E$ or a magnetic field $H$ gives rise to a shift of $T_\lambda$ by an amount proportional to $E^2$ or $H^2$. If such a field is inhomogeneous, it leads to a gradient of $T_\lambda$, as well as the earth gravity.

Furthermore, both gravity $g$ and heat flux $Q$ can be crucial near the lambda line. Hereafter $Q$ will be measured in units of erg/cm$^2$s. If heated from below, they both serve to decrease $\tau$ with increasing the height and...
the interface changes over from the gravity-induced one to the heat-induced one at \( Q \sim 1 \) with increasing \( Q \). (We may determine this crossover \( Q \) by equating the thicknesses of these interfaces.) On the other hand, if heated from above, they can compete to produce new nonequilibrium states. In fact, Moeur et al.\textsuperscript{19–24} realized self-organized superfluid in \(^4\)He heated from above, where the temperature gradient and the gradient of \( T_\lambda(p) \) were balanced as

\[
T_\lambda \frac{dT}{dz} - T_\lambda G = 0, \tag{5}
\]

yielding a homogeneous \( \tau = -\tau_{\text{sos}}(Q) \) with

\[
\tau_{\text{sos}}(Q) \approx 1.0 \times 10^{-8} Q^{0.813} \tag{6}
\]

in the range \( 5 \lesssim Q \lesssim 60 \). This self-organized region extended over a macroscopic region in a 7.4 mm tall, 2 cm diameter cylindrical cell. It is surprising that the distance \( \tau \) to the lambda line was held at exactly an extremely small negative constant over a macroscopic region. Machta et al.\textsuperscript{22} pointed out that \(^4\)He in heat flow is an example of self-organized criticality. However, \(^4\)He in heat flow and gravity stays slightly away from the lambda line, so it is not in a "critical state", to be precise.

Notice that the balance relation (5) itself follows from the vortex resistance in the Gorter-Mellink form \textsuperscript{23},

\[
dT/dz = TB(T)Q^3, \tag{7}
\]

where \( B = B_0|\tau|^{-\sigma} \) with \( B_0 = 5 \times 10^{-20} \) and \( \sigma = 2.23 \) near the transition.\textsuperscript{24} The balance \( B(T)Q^3 = G \) then yields \( \tau = -\tau_{\text{sos}}^* \) with

\[
\tau_{\text{sos}}^* = (B_0 Q^3/G)^{1/\sigma} \approx 1.3 \times 10^{-10} Q^{1.35}. \tag{8}
\]

However, this \( \tau_{\text{sos}}^* \) is smaller than the experimental \( \tau_{\text{sos}} \) in Eq.(6) by one or two orders of magnitude for \( Q \gtrsim 5 \). In previous simulations in one dimension\textsuperscript{22,26}, another kind of singular objects, phase slips, came into play, producing a thermal resistance in accord with the experiment. In the literature, phase slips were theoretically studied in one dimension\textsuperscript{27–29} and have been observed in quasi-one-dimensional superconductors\textsuperscript{30} and in \(^4\)He in narrow apertures.\textsuperscript{31} The self-organized superfluid states are analogous to the resistive states in wire superconductors in electric field; however, in our case, phase slips appear as sheets even for macroscopic cell width. This is because the uniaxial effect of gravity is intensified near the lambda line. Similar self-organization should well be realized in \(^4\)He in the presence of a gradient of electric or magnetic field, for example, around the tip of a charged needle kept cooler than the surrounding liquid \(^4\)He.

Originally, the balance relation (5) was predicted for normal liquid \(^4\)He.\textsuperscript{32} Liquid \(^4\)He exhibits strong critical fluctuations of the complex order parameter slightly above the lambda line, which give rise to strong critical enhancement of the thermal conductivity \( \lambda \).\textsuperscript{1,5,13} For small positive \( \tau \), experimental data of \( \lambda \) may be fitted to the following power law,\textsuperscript{34}

\[
\lambda \equiv \lambda_0 \tau^{-x_\lambda} \quad (x_\lambda \cong 0.45), \tag{9}
\]

where \( \lambda_0 \) is a constant. In terms of \( \tau \) in Eq.(2), the heat conduction equation reads

\[
C_p \frac{d\tau}{dt} = \frac{\partial \tau}{\partial z} \left( \frac{\partial \tau}{\partial z} + G \right), \tag{10}
\]

where the specific heat \( C_p \) is treated to be a constant for simplicity. In steady states, the temperature gradient is \( Q/\lambda \) so that

\[
d\tau/dz = (Q/T_\lambda \lambda_0) \tau^{x_\lambda} - G \tag{11}
\]

If \( \tau > 0 \), the balance in Eq.(4) gives \( \tau = \tau_{\text{sos}}(Q) \) with

\[
\tau_{\text{sos}}(Q) = (T_\lambda \lambda_0 Q/G)^{1/x_\lambda} = 3.8 \times 10^{-9} Q^{-2.22}. \tag{12}
\]

This holds in the region \( L - z \gg \ell_n(Q) \), where \( \ell_n(Q) = \tau_{\text{sos}}(Q)/G \) is the relaxation length. Weichman and Miller\textsuperscript{25} argued that the balance relation (5) is attained only when the correlation length \( \xi = \xi_0 |\tau|^{-2/3} \) is shorter than \( \ell_n(Q) \). From Eq.(4) this local equilibrium condition is rewritten as \( \tau_{\text{sos}} \geq \tau_{\rho} \sim 10^{-9} \). Thus we obtain the upper bound \( Q \lesssim 1 \) needed for the self-organization above the lambda line. For larger \( Q \), the system crosses across the lambda line and a HeI-HeII interface appears. In accord with these results, Moeur et al.\textsuperscript{19} observed self-organized normal fluid states for \( Q \lesssim 1 \). Analyzing the nonlinear diffusive equation (10), Weichman and Miller\textsuperscript{26} also predicted a new thermal wave propagating only upward in self-organized normal states. This wave was observed both above and below the lambda line\textsuperscript{20,21}.

The physical processes in the self-organized superfluid remain quite unclear, since in the experiments near the transition measured was only the temperature and the simulations were one-dimensional. Realistic three-dimensional simulations are needed to investigate how vortices and phase slips come into play. With this purpose, we will present dynamic equations in Sec.II and numerical results in three dimensions in Sec.III.

II. MODEL EQUATIONS

We use the renormalized model F equations\textsuperscript{4,11} accounting for gravity.\textsuperscript{2} The dynamic variables are the complex order parameter \( \psi(r, t) \) and the entropy \( s(r, t) \). The superfluid density \( \rho_s \) and the superfluid momentum density \( J_s = \rho_s \psi \) are proportional to \( |\psi|^2 \) and \( \text{Im}(\psi^* \nabla \psi) \), respectively, where \( \text{Im}(\cdot \cdot \cdot) \) denotes taking the imaginary part. The Fourier components of \( \psi \) and \( s \) have wave numbers smaller than a upper cut-off \( \Lambda \). The fluctuations with wave numbers larger than \( \Lambda \) have already been coarse-grained.\textsuperscript{14,5,13} As \( \Lambda \) is decreased down to the inverse correlation length \( \xi^{-1} \), the renormalization
The effect is suppressed to justify use of the mean-field theory at long wavelengths, where the coefficients of the model are renormalized ones dependent on $\tau$.

In equilibrium superfluid, the average order parameter behaves as $\psi \propto |r|^{1/3}$. Without gravity, we define the correlation length as $\xi = \xi_0 |r|^{-2/3}$ using $\xi_0 = 1.4\AA$. We suppose a reference equilibrium superfluid state, where $\tau = -\tilde{\tau}$, $\psi = \tilde{\psi}$, and $\xi = \tilde{\xi}$ with

$$\tilde{\tau} = 2.5 \times 10^{-8}, \quad \tilde{\xi} = 1.6 \times 10^{-3}\text{cm}. \quad (13)$$

Hereafter we set $\Lambda = \tilde{\xi}^{-1}$ and treat the fluctuations with sizes longer than $\xi$. Those with sizes shorter than $\xi$ are little affected by heat flow. The scaled position $r/\xi$, the scaled time $t/\xi$, the scaled correlation length $\xi/\xi$, and the scaled reduced temperature $\epsilon/\xi$ will be written as $r$, $t$, $\xi$, and $\epsilon$ in the same notation for simplicity, where

$$\tilde{t} \equiv 0.5 \times 10^{-3}\text{s} \quad (14)$$

is the thermal relaxation time on the scale of $\tilde{\xi}$. We introduce the scaled reduced temperatures,

$$A(r, t) = (T/T_{\text{bot}} - 1)/\tilde{r}, \quad (15)$$

where $G = G\tilde{\xi}/\tilde{r} = 0.04$ on earth. The equations for the scaled variables $\Psi(r, t) = \psi/\tilde{\psi}$ and $A(r, t)$ read

$$\frac{\partial}{\partial t} \Psi = i\frac{a}{\bar{a}} \Psi - L^* \left[ \epsilon \xi^{-1/2} - \nabla^2 + \xi^{-1} |\Psi|^2 \right] \Psi, \quad (17)$$

$$\frac{\partial}{\partial t} M = a \text{Im}(\Psi^* \nabla^2 \Psi) + \nabla \cdot \lambda^* \nabla A, \quad (18)$$

where $M$ is the scaled entropy deviation defined by

$$M = A - a^2 \xi^{-1/2} |\Psi|^2/2 \quad (19)$$

and $a$ is a universal number of order unity.

The second term $|\Psi|^2$ represents a decrease of the entropy in the ordered phase. We set $a = 1$ in our simulation. In gravity the correlation length does not exceed $\xi_g$ in Eq.(4), so we define the local correlation length as

$$\xi = \tilde{\xi}_g \tanh(1/\tilde{\xi}_g |\epsilon|^{2/3}), \quad (20)$$

where $\tilde{\xi}_g = \xi_0/\xi = 1/\tilde{G}^{2/5} = 3.62$. Then we obtain two cases of $\xi \cong |\epsilon|^{-2/3} < \xi_g$ and $\xi \cong \xi_g < |\epsilon|^{-2/3}$. The scaled kinetic coefficients are written as

$$L^* = b_\psi \xi^{0.325}, \quad \lambda^* = b_\lambda \xi^{0.675}. \quad (21)$$

We set $b_\psi = 0.2$ and $b_\lambda = 1$ in our simulation.$^2$

For $^4$He near the lambda line, the complete hydrodynamic equations including the dynamic equation for $\psi$ were presented by the Russian group many years ago.$^{33}$ In the model $\Phi^4$, we do not treat the hydrodynamic equation for the mass density $\rho$ and the total momentum density $\mathbf{J} = \rho_n \mathbf{v}_n + \mathbf{J}_s$ neglecting the fluctuations of $\rho$ and $\mathbf{J}$.

**III. SIMULATION RESULTS**

In previous papers,$^{25,26}$ Eqs.(17) and (18) were integrated in one dimension, where phase slips play a major role in self-organized superfluid states, as in wire superconductors in electric field$^{32,33}$. In this work, we present numerical results in three dimensions on a $200 \times 200 \times 200$ grid.
Our case under gravity, $|\Psi|^2$ decreases near the bottom. We observe that undulations on the sheet grow and detach to form vortices. At $t = 2320$, the sheet is ramified into a vortex aggregate. Afterwards, sheetlike phase slips successively appear forming an expanding self-organized superfluid. As well as vortex proliferation, vortex aggregates sometimes fuse into sheetlike phase slips. These temporal changeovers occur on the system scale with large-amplitude second sounds. No clear boundary can be seen between the self-organized and ordinary superfluid regions, but high-density vortices are undergoing large-scale temporal fluctuations in the transition region.

In Fig. 3, the inhomogeneity of $A(r, t)$ is shown in the $x$-$y$ plane at $z = 20$ taken at $t = 940$. It originates from the relation (16) for the entropy and the temperature. That is, if $\rho_s$ increases in some regions, the temperature there tends to increase if the entropy change is slower.

In Fig. 4, time evolution of $A$ is shown at three heights with $x = y = 0$ in a longer time range $10^5 < t < 3.4 \times 10^3$ in the same run producing Figs. 1 and 2. For $t < 2000$ the three points are in an ordinary superfluid and are nearly uniformly heated. Subsequent zigzag temperature changes are due to the passage of platelike defect aggregates. A similar plot was given by Moeur et al. 19, where the temperatures at three thermometers attached to the side wall became flat during the passage of a self-organized region. In our simulation, a train of defects eventually arrived at the top and a normal fluid with a steep temperature gradient emerged from the top.
FIG. 3. (color online). Scaled temperature deviation $A(r, t)$ in the $x$-$y$ plane at $z = 20$ taken at $t = 940$ in the same run as in Fig.1 and Video 1, corresponding to the top plate in Video 1. A phase slip is being created with considerable temperature inhomogeneity, where $\langle A \rangle = -1.22$ and $\langle (A - \langle A \rangle)^2 \rangle = 0.156^2$ with $\langle \cdots \rangle$ denoting the areal average.

FIG. 4. (color online). Scaled temperature deviation $A(r, t)$ at $z = 100, 140, \text{and } 180$ with $x = y = 0$ after application of heat flux from the top in the time range $1000 < t < 3400$ in the same run as in Figs.1 and 2. Inset: $|\Psi|^2$ vs $z$ at $t = 2600$, which is zero at defect points. At this time, self-organized and ordinary superfluid regions are separated at $z \sim 130$.

In Fig.4, the advancing speed of the self-organized region is about 0.1 (in units of $\xi/\tilde{t}$) or 3 cm/s, while it was $2 \times 10^{-3}$ cm/s in one of the experimental data (see Fig.2 of Ref.19). Note that the speed of this phase change is determined by the rate of heat input to the system. To check this aspect, we fixed the bottom heat flux at the top value 1 and resumed the integration using the data at $t = 2500$ in the run producing Figs.1-4. Then we could realize a dynamical steady state with a self-organized superfluid in a lower part and an ordinary superfluid in an upper part. Hereafter the times are 2500 plus those after the restart.

In Fig.5, we display snapshots of the surfaces of $|\Psi|^2 = 1.2$ viewed from two angles in a dynamical steady state at $t = 3840$. Their colors represent the temperature deviation $A$ with the color map below the plates. A sheetlike phase slip can be seen at the middle, while the other defects are aggregates of vortices.

FIG. 5. Surfaces of $|\Psi|^2 = 1.2$ viewed from two angles in a dynamical steady state at $t = 3840$. Their colors represent the temperature deviation $A$ with the color map below the plates. A sheetlike phase slip can be seen at the middle, while the other defects are aggregates of vortices.

In Fig.5, we display snapshots of the surfaces of $|\Psi|^2 = 1.2$ at $t = 3840$ from above and side. The defect structure here is composed of a single sheetlike phase slip in the middle and platelike vortex aggregates. The vortices are highly connected one another forming a network. Some vortices start from the phase slip sheet or from the bottom wall. In this configuration, defects are absent in a layer region above the phase slip plane, but above this layer a vortex aggregate appears to form an interface between the self-organized and ordinary superfluid regions.

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In Fig.6, we present the scaled temperature deviation $A(r, t)$ vs $z$ with $x = y = 0$ at three consecutive times in the dynamical steady state. It shows considerable displacive motions of the defect structure on a time scale of 70. The temperature variations are stepwise at a phase slip and are more gradual across vortex aggregates. In accord with the balance relation (5), the zig-zag curves of $A$ are separated from the line of $\tau = 0$ by 2.5, which is our numerical result of $\tau_{sos}/\tilde{\tau}$. In agreement with this result, the experimental formula (6) yields

$$\frac{\tau_{sos}}{\tilde{\tau}} = 11.2^{0.813}/2.5 = 2.85.$$ (23)

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FIG. 6. (color online). Scaled temperature deviation $A(r, t)$ vs $z$ ($x = y = 0$) at $t = 3770, 3840,$ and $3910$ in a dynamical steady state in the same run as in Fig. 4. Heat fluxes at the top and bottom are fixed at a common value. Significant defect movements can be seen. Line of $\tau = 0$ is also shown. Inset: $A$ vs $z$ at $t = 3830, 3840,$ and $3850$ in the ordinary superfluid region, indicating standing second sound waves.

Note that phase slips in the one-dimensional simulation\textsuperscript{25} already gave $\tau_{sos}$ in agreement with the experiment\textsuperscript{19}. In the inset, we demonstrate the presence of second sounds oscillating on a time scale of 10 (smaller than those in Fig.1) in the upper ordinary superfluid region.

In Fig.7, we show the phase $\theta$ and the gradient amplitude $|\nabla \Psi|^2$ in the $x$-$z$ plane at $y = 0$ using the same data as in Fig.5 at $t = 3840$. These are cross-sectional profiles illustrating the complex defect structure. Around the defects, $\theta$ varies steeply in the upper plate, while peaks and ridges appear in the lower plate. Here we have $|\nabla \Psi|^2 \approx |\Psi|^2 |\nabla \theta|^2 \propto \rho_s v_s^2$ around the defects.

IV. SUMMARY AND REMARKS

In summary, we have investigated the self-organized superfluid state on earth under a fixed downward heat flux $Q = 11.2$ erg/cm$^2$s from the top in a cubic cell of 3.2 mm length. The periodic boundary condition has been imposed in the horizontal directions.

First, at a fixed bottom temperature, we have examined how vortices and phase slips appear near the bottom and how a self-organized region expands upward. Second, by setting the bottom heat flux equal to the top heat flux, we have realized a dynamical steady state in which the self-organized and ordinary superfluids coexist. The space-time fluctuations are highly enhanced, where a sheetlike phase slip often changes into a vortex aggregate and vice versa. In fact, in Fig.5, we can see only a single sheetlike phase slip, while numerous vortex lines form platelike networks. Our numerical value of $\tau$ in Eq.(2) (the distance from the lambda line) in self-organized superfluid states is in good agreement with the experimental $\tau_{sos}$ in Eq.(6).

We estimate the temperature drop at a phase slip as

$$ (\Delta T)_p = Q\ell_p / \lambda(\tau_{sos}), \tag{24} $$

where $\ell_p$ is the thickness of a phase slip ($\sim \xi$) and $\lambda(\tau_{sos})$ is the thermal conductivity in Eq.(9) at $\tau = \tau_{sos}$. If the defects consist of phase slips only, their density $n_p(< \ell_p^{-1})$ per unit length satisfies

$$ n_p(\Delta T)_p = T \lambda G $$

in self-organized superfluid states. Use of Eqs.(9), (12), and (24) yields

$$ n_p \ell_p = G T \lambda(\tau_{sos}) / Q = (\tau_{son} / \tau_{sos})^\lambda. \tag{25} $$

This estimation is consistent with the results in the one-dimensional simulations\textsuperscript{25,26}. Here the required inequality $n_p < \ell_p^{-1}$ holds for $Q > 1$. In accord with this, self-organized superfluid states were observed only for $Q > 1$ in the experiment\textsuperscript{19}. Notice that the vortex aggregates in Fig.5 also give rise to temperature drops comparable to that of a phase slip as in Fig.6.

A self-organized superfluid expands upward if the heat input from the top is larger than the heat output from the bottom. After its arrival at the top, a normal fluid begins to expand downward (not shown in this paper). The-
fore, there can also be coexistence of a self-organized superfluid and a normal fluid. This coexistence was realized in our previous simulation of cooling a normal fluid at the bottom below the transition. In such cooling, a self-organized superfluid region grows upward to reach the top and then an ordinary superfluid region appears to expand downward. On heating or cooling from a boundary wall without gravity or at large Q, a HeI-HeII interface appears and its movement leads to the phase transformation between normal fluid and superfluid.

Phase slips are well-known singular entities observed in quasi-one-dimensional superconductors and \( ^3\)He. However, under an external potential, they can emerge as sheets in three-dimensional geometries. The role of such a potential becomes relevant on approaching the lambda line. Second sounds are also emitted from the boundary walls with appearance of superfluid. Further investigation of these processes is thus needed.

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