1. INTRODUCTION

The Southern Ocean is a region of high physical and biological variability (Hempel 1985, Constable et al. 2003). Its diverse biota, adapted to extreme environmental conditions, respond quickly to ecosystem perturbations (Flores et al. 2012a, Rintoul et al. 2012, De Broyer et al. 2014, McBride et al. 2014). Climate change may affect organisms and populations physiologically and by altering their habitats. Under-
ing these habitat effects facilitates understanding the effects on biological variables such as population distribution, abundance and movement patterns, and biomass production. Possible shifts in the distribution of commercially harvested Antarctic krill *Euphausia superba* (henceforth krill) populations in response to climate variability present a key challenge to effective management.

The Commission for the Conservation of Antarctic Marine Living Resources (CCAMLR) has established precautionary catch limits on the krill fishery in most of the areas where fishing has occurred, but these catch limits apply to large statistical subareas. It is now 3 decades since the CCAMLR stated its ambition to advance from a precautionary approach to a feedback management system capable of continuously adjusting krill conservation measures in response to new knowledge on krill stocks and associated species (CCAMLR 1991a). However, monitoring of krill stocks and krill-dependent species has been too limited to provide a satisfactory knowledge base to assess the level of risk associated with krill fisheries and respond quickly to changing indices of ecosystem components—including updated, lifecycle-sensitive, and spatially relevant information on krill distribution, abundance, flux, and trophic interactions (Krafft et al. 2015, 2018, BAS 2018, Santa Cruz et al. 2018). CCAMLR has recognized the need for revision of current management approaches as urgent (CM 51-07-2016; CCAMLR 2016b).

This review seeks to answer 2 main questions: (1) What are the potential cumulative effects of climate change on the distribution and abundance of Antarctic krill? (2) What are the prospects for changing CCAMLR’s approach to krill fisheries management to accommodate ongoing and future climate-related changes in the stock? We synthesize the results of studies published in peer-reviewed journals to provide an overview of changes in the physical and biological environment and examine how these changes affect the distribution and abundance of krill. Based on CCAMLR reports and documents, we examine how climate change has been addressed within this organization, with an emphasis on its ecosystem-based risk assessment of krill fisheries and its advances toward a feedback management system capable of responding to climate variability.

2. KRILL BIOLOGY AND PHYSICAL ENVIRONMENT

2.1. Biology

Antarctic krill (Fig. 1, Table 1) is a large (up to 65 mm), long-lived (5–7 yr lifecycle) euphausiid species that is abundant, widely distributed, and ecologically important in the Southern Ocean. It can form large swarms, sometimes reaching densities of 10,000–30,000 ind. m$^{-3}$ (Hamner et al. 1983). Its biology and ecology have been reviewed many times: in multi-authored publications (e.g. Everson 2000, Siegel 2016); in numerous scientific publications (e.g. Bargmann 1945, Marr 1962, Cuzin-Roudy & Amsler 1991, Atkinson et al. 2004, 2008, 2019, Kawaguchi & Nicol 2007, 2020, Siegel & Watkins 2016, Cox et al. 2018); and as a popular science book (Nicol 2018). Rather than repeat what has already been reported, this section focuses on aspects of krill biology which make it vulnerable to climate-related changes in its physical environment.

Antarctic krill is a cold-adapted stenothermic species mainly inhabiting waters <3.5°C; sudden water temperature changes might impact its physiological performance and behavior (Daly 1998, Flores et al. 2012a, Krafft & Krag 2015). During the course of its complicated life cycle, krill inhabits benthic, surface, and pelagic environments structured by sea-ice extent and concentration, water temperatures, and circulation patterns (Nicol 2006, Nicol & Raymond 2012). Its annual and lifecycle phases occur in close association with sea ice, where it feeds on ice algae and finds shelter from predators (Quetin & Ross 2001, Brierley et al. 2002, Smelaecek & Nicol 2005) (Fig. 2).

Piñones & Fedorov (2016) identified 3 critical periods of the krill early lifecycle during which environmental conditions exert a dominant control over survival: (1) development of larvae into the first feeding stage;
stage at the end of austral summer; (2) accumulation of sufficient lipid reserves during late summer and fall, allowed by food availability; and (3) enduring the first winter, when under-ice habitat provides both food (algae) and shelter (Fig. 2). Temperature and depth of Circumpolar Deep Water control success of the descent−ascent phase of the krill reproductive cycle (Quetin & Ross 1984, Hofmann & Hüsrevoğlu 2003); temperature also moderates the extent of sea ice (Daly 1990, Ross & Quetin 1991, Meyer et al. 2002). The winter under-ice population is dominated by larvae and juvenile krill feeding on the available ice algae. Consequently, sea-ice retreat, particularly in winter, can become a dominant driver of krill population decline (Flores et al. 2012a,b, Piñones & Fedorov 2016). Projected reduction in sea-ice coverage (~80% by 2100) may reduce krill spawning grounds in important habitats such as along the west Antarctic Peninsula in the southwest Atlantic sector (Hofmann et al. 1992, Fach et al. 2002, 2006, Thorpe et al. 2004, 2007, Atkinson et al. 2008, Piñones et al. 2013, Piñones & Fedorov 2016).

2.2. Physical environment

Circulation in the Southern Ocean is dominated by the eastward-flowing Antarctic Circumpolar Current (ACC). Closer to the coast, the Antarctic Coastal Current flows westward around the continent. The other
major physical feature of this system is the annual advance and retreat of sea ice (Constable et al. 2003). Parts of the Southern Ocean warmed considerably during the second half of the 20th century, with greater temperature increases in some regions than those of the global ocean (Fig. 3) (Levitus et al. 2000, 2005, Gille 2002, 2008, Whitehouse et al. 2008, Schmidtko et al. 2014, Swart et al. 2018). Particularly the Atlantic sector of the Southern Ocean, where most krill is located, has experienced rapid upper-ocean warming (Meredith & King 2005, Whitehouse et al. 2008), loss of winter sea ice (Parkinson 2002), and great inter-annual variability in chlorophyll a (chl a) concentrations (Constable et al. 2003). Summer foraging sites for krill in the Atlantic sector have experienced sea surface temperature (SST) increase of up to 0.2°C per decade, and projections indicate that further widespread increase of 0.27–1.08°C per decade may occur by the late 21st century (Fig. 3) (Hill et al. 2013). This warming trend is not spatially uniform, however; certain parts of the Southern Ocean are cooling (Gille 2008, Schmidtko et al. 2014).

Off the continental shelf, Circumpolar Deep Water has warmed in most regions (Gille 2008, Schmidtko et al. 2014), with similar warming below 2000 m (Purkey & Johnson 2012, Desbruyères et al. 2016). Temperature increase in the Antarctic Bottom Water, together with a freshening (Azaneu et al. 2013, Juliion et al. 2013), has resulted in a contraction of its volume (Purkey & Johnson 2012, Azaneu et al. 2013). On the shelf, Schmidtko et al. (2014) found a complex pattern of temperature trends in Antarctic Continental Shelf Bottom Water, with regional patterns of warming along most of the Antarctic Peninsula and in the Bellingshausen and Amundsen Seas, and cooling in the southern Weddell Sea.

SST and specific isotherms are often used to identify positions of the ACC front. Following such definitions, observed warming implies a potential poleward shift of the ACC and its fronts (Gille 2008, Cristofari et al. 2018). However, fronts are more complex than their SST expression, and more advanced analyses have not revealed such a shift (Gille 2014, Freeman et al. 2016, Chapman 2017, Chambers 2018). Future projections of ACC strength, meandering, and position involve considerable uncertainty (Meijers et al. 2012, 2019, Meijers 2014). Any such changes in the ACC, as well as changes in ocean temperatures, might influence the volume and stability of Antarctic sea ice (Gille 2002).

By reducing the area of sea-ice formation near the Antarctic Peninsula and other critical regions of the Southern Ocean, climate change is reducing the feeding potential for krill and, consequently, its recruitment and overall production (Walther et al. 2002, Flores et al. 2012a,b). The central role of krill in Southern Ocean food webs makes understanding how climate affects its abundance and distribution a prerequisite for effective management of commercial fisheries. Particularly, the rapid rate of changes underway in the Antarctic marine ecosystem necessitates better predictions of how inter-annual variability in environmental conditions may influence krill production and affect krill-dependent species.

3. CLIMATE-CHANGE IMPACTS ON SPATIAL DISTRIBUTION AND ABUNDANCE OF KRILL

After nearly a century of observations, the general patterns of krill occurrence and distribution have been determined. Krill distribution exhibits considerable spatial variability, both inter- and intra-annual, with juveniles and adults forming large swarms (Nicol et al. 2012, Siegel & Watkins 2016, Ryabov et al. 2017, Atkinson et al. 2019). They perform large horizontal and vertical migrations (from surface to >3000 m depth) (Morris et al. 1983, Kawaguchi & Nicol 2007, De Broyer et al. 2014). However, less is
known of the precise migration patterns, as much of the Southern Ocean is still poorly sampled. There is concern over possible long-term changes in krill distribution and abundance as a result of climate change and harvesting, and how to distinguish these variables from each other in time and space (Siegel & Watkins 2016).

Richardson (2008) suggested that mechanisms related to climate change and the retreat of sea ice will primarily impact krill in 3 ways:

1. Water temperature in correlation with sea ice coverage appears to be the driving factor for krill density (Trathan et al. 2003, Wiedenmann et al. 2008, 2009, Wiedenmann 2010). In warming regions of the Southern Ocean, a negative relationship between increasing surface temperature and krill density has already been observed (Trathan et al. 2003, Atkinson et al. 2019).

(2) There may be changes in the timing of important events in the krill lifecycle (phenology), such as the timing of spawning or hatching (Wiedenmann 2010).

(3) Levels of abundance may change, mediated largely through variable food supply. However, detecting long-term trends in abundance and attributing them to climate variation is more difficult than detecting the changes described above (Wiedenmann 2010).

Other potentially important mechanisms include:

(4) The effect higher temperatures have on individual growth. Krill grow through a series of molts, and both the time between molts and growth increment per molt are inversely temperature-dependent (Quetin et al. 2003, Atkinson et al. 2006, Kawaguchi et al. 2006, Tarling et al. 2006, Wiedenmann 2010, Bellard et al. 2012).

(5) The direct impact of the changing seasonal cycle of light on krill physiological processes, such as initiation of production of oocytes (Spiridonov 1995, Quetin et al. 2007).

3.1. Impacts on horizontal distribution

Mackintosh (1973) indicated 5 to 6 krill stocks around the Antarctic continent but suggested that these areas of higher krill density should not be regarded as isolated populations. Latogurski (1979) speculated that krill associated with the 3 main gyre systems around the continent might be regarded as independent populations (Duan et al. 2016, Siegel & Watkins 2016), but the vast population size and huge genome make it difficult to detect separate krill stocks. Deagle et al. (2015) reported that studies of krill genetic and genomic data had not indicated genetic structuring of krill by sites around Antarctica. In contrast, Clarke et al. (2021) indicated that krill-associated bacterial communities are geographically structured.

The horizontal distribution of krill is affected by advection and retention due to ocean currents, eddies, and sea-ice drift, depending on hydrodynamic forces and stage in the krill lifecycle (Nicol 2006, Mori et al. 2017). Larval and juvenile krill are passively advected by prevailing currents. Although adult krill are strong swimmers, capable of going against the currents, their movements are influenced by the flow regime around individuals and swarms (Tarling & Thorpe 2014, Reiss et al. 2017). Within a flow regime where surface current speeds can reach up to ca. 100 cm s⁻¹ (Smith et al. 2010, Tarling & Thorpe 2014), individual adult krill can maintain speeds of no more than 15 cm s⁻¹ without increasing metabolic rate (Kils 1981); this may limit their capacity to control their location within highly advective environments. Krill swarms sustain speeds of 20 cm s⁻¹ (Hamner 1984, Tarling & Thorpe 2014); this may help to maintain swarm coherence in the face of dispersive surface currents (Zhou & Dorland 2004).

Fig. 4. Observed distribution and concentration of Antarctic krill (ind. m⁻² within each 5° longitude by 2° latitude grid cell, ND: no data, 0*: no Antarctic krill recorded in the available data). (Source: modified figure and description used with permission from Atkinson et al. 2008 and Hill et al. 2013)
3.1.1. Ocean warming and habitat quality

The habitat used by krill comprises more than half of the approximately 32 million km$^2$ area of the entire Southern Ocean south of the Polar Front (Mackintosh 1973, Siegel & Watkins 2016). The horizontal distribution of krill is uneven, however, with more than half of the circumpolar population occurring in the Atlantic sector (Atkinson et al. 2004) (Fig. 4). The largest concentrations and highest densities (observed and predicted) occur around the Antarctic Peninsula, in the Scotia and Weddell Seas — particularly in the Polar Front zone and the Southern ACC Front — and from the continental coast to the northern limit of the Polar Front in the whole eastern sector (Marr 1962, Atkinson et al. 2004, Nicol 2006, De Broyer et al. 2014, Siegel & Watkins 2016, Silk et al. 2016). Data from comparable net and acoustic surveys indicate that average krill densities in the South Atlantic may be 10 times higher than off East Antarctica (30–150°E) (Nicol et al. 2000a,b, Nicol 2006); this region, with its convoluted coastline and many island groups, offers more suitable habitat for krill (Nicol 2006, Atkinson et al. 2008). Despite high concentrations in the Atlantic sector, the habitat used by krill comprises more than half of the approximately 32 million km$^2$ area of the entire Southern Ocean south of the Polar Front (Mackintosh 1973, Siegel & Watkins 2016).

The circumpolar distribution of krill has been observed from the continent to the northern limit of the Polar Front, although in most of their range they are far to the south. The only region where krill was — both observed and predicted to be — absent in the entire Polar Front Zone lies between 60 and 150°E (De Broyer et al. 2014). In this region, sea ice retreats almost completely to the coast during summer, and hydrographic conditions are different. Low concentrations of silicates (which do not favor diatom blooms) and climate-induced changes in the mixed-layer depth (which affect both spatial distribution of production and phytoplankton community structure) are likely driving factors behind the reduced occurrence of krill in this region, as the best habitat conditions generally occur near the continental shelf (Flores et al. 2012a).

Suitable krill habitat is linked to various processes — seasonal sea-ice dynamics, frontal zones, and mixing associated with bathymetry (Siegel 2005, Murphy et al. 2007), spring light regime, and supply of critical nutrients like nitrates and iron — supporting the production of chl a, an important indicator of the presence and concentration of phytoplankton (Atkinson et al. 2004). At the physiological level, high phytoplankton concentration can sometimes compensate for the negative effects of temperature (Pörtner 2012). This is demonstrated by elevated krill abundance and favorable growth rates observed at South Georgia. This area is near the northern limit of the species’ range; it has relatively high and physiologically stressful temperatures, but also has very high food concentrations (Atkinson et al. 2008). Qualitative analyses of krill habitats have consistently shown that spatio-temporal variability is a common feature of krill populations and that krill habitat cannot be simply described using a small number of variables (Jarvis et al. 2010, O’Brien et al. 2011, Young et al. 2014).

Diatom blooms provide an essential food for the lipid metabolism of krill (Mayzaud et al. 1998): energy transfer from these spring phytoplankton blooms is essential for sexual differentiation in gonads during the late furculia phase of larval development (Cuzin-Roudy 1987a,b); maturation into adulthood, the onset of successive reproductive cycles during summer (Cuzin-Roudy 1993, 2000); and maintaining high fecundity during summer (Cuzin-Roudy & Labat 1992, Ross & Quetin 2000).

Employing models that explicitly include the interacting ecological effects of temperature and food availability is a useful step towards fuller consideration of the multiple interacting effects of climate change on the abundance and distribution of krill (Stock et al. 2011, Pörtner 2012, Hill et al. 2013).

3.1.2. Poleward shift

Modeling studies to predict the fate of krill under different warming scenarios seem to be in general agreement, forecasting both a reduction and a poleward shift of the available krill habitat for spawning and growth (Hofmann et al. 1992, Hill et al. 2013, Cuzin-Roudy et al. 2014, CCAMLR 2015, Piñones & Fedorov 2016). The Cuzin-Roudy et al. (2014) model of habitat suitability explained 63% of variance and has been used to infer the presence of krill in regions where sampling data are limited (Fig. 5). The results show high probability of occurrence almost everywhere south of the Polar Front, and low probability north of it (Cuzin-Roudy et al. 2014). Habitat modeling also indicates that, at high latitudes, horizontal distribution and spawning may extend to areas of suitable habitat where krill has not been observed in the past, including in the Indian Ocean and Pacific sectors (Atkinson et al. 2008).
However, less attention has been paid to actual measurement of latitudinal shifts in the range of krill distribution. Using mixed models and a data time-series derived from the KRILLBASE project (Atkinson et al. 2017), Atkinson et al. (2019) found that within the main population center, Antarctic krill distribution has shifted southward (~440 km) over the past 90 yr (Fig. 6a). They linked this response to variation in the Southern Annular Mode (SAM); this index is strongly correlated with both sea-ice extent and water-mass distribution. High SAM values appear to be associated with low krill densities during the modern era (1976 to present) and across the southwest Atlantic sector (Atkinson et al. 2019). It is likely that the SAM influences annual recruitment of small (<30 mm) krill to the population through its influence on factors that determine high or low phytoplankton production: air and sea temperature (Clarke et al. 2007), duration and extent of sea-ice cover (Siegel & Loeb 1995), cloud cover, wind condi-

Fig. 5. Antarctic krill modeled habitat suitability using presence/absence data and environmental variables. (Source: figure and description used with permission from Cuzin-Roudy et al. 2014)
Fig. 6. Southward contraction of krill distribution within the SW Atlantic sector. (a) Kernel analysis visualizing hotspots of krill density in the SW Atlantic sector during the Discovery sampling era (1926–1939) and the first and second halves of the modern era, based on the area sampled heavily across all 3 periods. Blue isobaths denote the 1000 m boundary between shelf and oceanic habitats. Within each map, the kernel analysis identifies relative hotspot areas of high density, signified by the intensity of red shading. For a quantitative analysis, the histograms denote the mean density of krill in 6 comparable 2.5° latitude bands with >50 stations sampled in each era. Note changes in scale. Thick blue lines across maps and histograms indicate the center of krill density (i.e. density-weighted mean latitude). (b) Trends in log_{10}-transformed mean standardized krill density north and south of 60°S. Small points represent the densities in underlying records; large dots represent the annual means of these data, weighted by the number of stations per record. Pink dots represent seasons with <50 stations (average 27 compared to an overall average of 123 stations per season). Solid blue trend lines were fitted using simple linear regression (p < 0.001, p < 0.01 adjusted R^2 = 0.52, 0.22 for north and south of 60°S, respectively). (Source: figure and description used with permission from Atkinson et al. 2019)
tions (Wiedenmann et al. 2008), currents/circulation patterns, stratification, and advection (Flores et al. 2012a, Renner et al. 2012, Youngs et al. 2015).

The ongoing trend towards positive SAM — most notably around the Antarctic Peninsula and peripheral seas (Kwok & Comiso 2002) — means warmer, windier, and cloudier weather, and loss of sea ice within the Southwest Atlantic sector, all of which negatively affect krill feeding conditions. This adversely affects early spawning in spring, early larvae in summer, and later larval stages which need early forming, complex, and well-illuminated marginal sea ice to promote survival (Meyer et al. 2017). Atkinson et al. (2019) reported that krill densities near the northern range limit have declined sharply: the population has become more concentrated in the south, where continental shelf habitat is more extensive. They noted that krill density shows a strongly negative trend north of 60° S and a weaker trend further south (Fig. 6b) and argued that SAM appears to be the clearest predictor at the whole Southwest Atlantic scale. The El Niño–Southern Oscillation (ENSO) is also identified as a driver of krill dynamics near the Antarctic Peninsula (Loeb et al. 2009). The interplay between SAM and ENSO strongly affects advection patterns and outflow from the northwestern Weddell Sea — influencing the advection of nutrients, phytoplankton, and krill towards either the western Antarctic Peninsula or towards South Georgia via the South Orkney Islands (Loeb et al. 2009, Renner et al. 2012, Youngs et al. 2015).

The findings of Atkinson et al. (2019) (Fig. 6) agree with predictions of poleward shifts in species distribution made by the Intergovernmental Panel on Climate Change (IPCC 2007). Uncertainties remain, however. For example, recent studies by Cox et al. (2018, 2019) — based on the same KRILLBASE dataset used by Atkinson et al. (2019) and Hill et al. (2019) — found no evidence of long-term decline in krill density or biomass, nor did they report a poleward contraction of distribution in the Southwest Atlantic sector. Contrasting results from these 2 studies regarding long-term changes in krill density and biomass may be due to fundamental differences in how these researchers pre-processed and transformed the data prior to submitting them to their respective modeling approaches, how log transformations were carried out, and statistical treatment of datasets. A fuller assessment of temperature effects might consider how the relationship between SST and the temperatures experienced by krill throughout the water column changes over time and space. The environmental effects are likely to be more complex than a simple poleward shift in distribution in response to increasing temperatures. Coastal embayments and high-latitude shelves may serve as refuges for growth but are unlikely to provide appropriate habitats for spawning (Hofmann & Hüsrevoğlu 2003), or connectivity for subpopulations (Siegel 2005).

3.1.3. Diminished krill habitat

If a poleward shift in krill distribution has occurred, as argued by Atkinson et al. (2019), this is likely the coping response of a physiologically stressed organism to a rapidly changing environment. Such adjustments in species habitat may not meet the requirements for a population to persist, due to complex interactions among animal behavior, advection, and retention to maintain populations in specific regions (Hofmann & Murphy 2004). Various aspects of the changed environment (e.g. temperature, availability and quality of food) will affect individual growth, reproductive success, survival rate, and recruitment success, as well as our ability to fully determine habitat requirements (Walther et al. 2002, Quetin et al. 2007).

One obvious aspect of a poleward shift in krill distribution is the inferred contraction into diminished habitat space — due to the meridians converging most rapidly at high latitudes — while further retreat is blocked by the continent itself (Atkinson et al. 2019). Such a shift may also involve declines in biomass and quality of phytoplankton food resources (Montes-Hugo et al. 2009), with negative impacts on feeding conditions, spawning success, and survival of larvae. The exact mechanisms are likely to vary with latitude (Meyer et al. 2017).

Quetin et al. (2007) noted 2 additional potentially important aspects of sustainable habitat relative to a poleward shift in krill distribution. Firstly, changes in latitude determine the seasonal cycle of light, and variation in the timing and amount of energy input into the ecosystem. The timing of ice formation at a specific latitude is crucial to the amount of food available to larval krill in their winter ice habitat. However, due to the differences in day length and sun angle, the amount of solar energy reaching the Earth’s surfaces in autumn and winter is significantly less at higher latitudes. For organisms that can survive the autumn and winter with some light, but not total darkness, this decrease in light input may be critical. Secondly, the changing seasonal light cycle might directly impact krill physiology. This area of research on krill ecology has not received much attention.
However, over the latitudinal range where krill are found, there may be differences related to seasonal shifts in the day/night light cycle: in behaviors such as the periodicity of diel vertical migration (Gaten et al. 2008); or in the timing of physiological processes such as the initiation of oocyte production (Spiridonov 1995).

3.2. Impacts on vertical distribution

Krill was long considered an epipelagic species, with the bulk of its biomass centered within the upper 150 m (Demer & Hewitt 1995, Lascara et al. 1999), exhibiting diel vertical migrations of limited amplitude (Godlewksa 1996), and seasonal variability in vertical distribution and abundance (Lascara et al. 1999). Early reports of krill occasionally descending to great depths were viewed as novel findings (Marr 1962, Lancraft et al. 1989, Daly & Macaulay 1991). Routine krill surveys have generally focused only on the upper 200 m (Hewitt et al. 2004a,b, Siegel 2005); the general lack of documented evidence of downward migration can be explained by limited sampling capabilities at depth.

More recent studies indicate that krill–benthos interactions may be widespread, with the numbers observed at the seabed varying from a few individuals to dense swarms (Schmidt et al. 2011). Schmidt et al. (2011) showed that adult krill may occur in low-temperature benthic habitats year-round in shelf and oceanic waters throughout their circumpolar distribution (Gutt & Siegel 1994, Clarke & Tyler 2008, Schmidt et al. 2011, Cleary et al. 2016). Additionally, net and acoustic data from the Scotia Sea showed that during summer, between 2 and 20% of the population can be found at depths between 200 and 2000 m, and that large aggregations can form above the seabed.

3.2.1. Benthic feeding

It has long been reported that krill respond to changing conditions at the surface, with respect to food availability and the risk of predation, by migrating vertically in the water column (Russell 1927). Going deeper is likely to reduce food intake (De Robertis 2002, Burrows & Tarling 2004) due to intra-specific interference and competition (Morris et al. 1983, Hamner & Hamner 2000, Ritz 2000, Cresswell et al. 2009). However, benthic migrations may well be a critical life strategy that increases resource partitioning within the population and contributes to the flexibility and overall success of the species (Schmidt et al. 2011).

Early acoustic measurements were largely restricted to depths ranging from 10 to 200 m, and net collections were derived from tows over the upper 120 m. Consequently, krill abundance in deeper waters cannot be estimated using these datasets. Lascara et al. (1999) suggested that the downward migration of krill, either as individuals or aggregations, to depths typically not sampled by nets and acoustics could explain estimates of reduced krill abundance during the fall and winter. The extent to which krill regularly inhabit depths below 200 m as an overwintering strategy remains a question for future research, but further details and observations of downward migration have been reported more recently.

The krill found at depth are usually adults (Schmidt et al. 2011) with strong swimming abilities (Kils 1981, Hamner et al. 1983, Huntley & Zhou 2004) that enable them to migrate substantial distances within relatively short time periods. Although seabed feeding is thought to have lower energetic benefit, especially when combined with long-distance migrations, it is probable that body length and wet weight of adult krill confer a substantial potential for vertical migrations (Schmidt et al. 2011).

Studies of benthic-deposit feeders have shown that high-quality organic matter can be available on the seabed even in winter (Smith & DeMaster 2008). The presence of benthic ‘food banks,’ where phytoplankton is accumulated, temporarily buried, and slowly degraded, make the seabed an attractive and attainable alternative feeding ground (Smith et al. 2006). Krill can use these food banks efficiently because they are adapted to feeding on surfaces (Hamner et al. 1983), and their high mobility gives them an advantage in locating patchy food sources (Schmidt et al. 2011). Cresswell et al. (2009) and Schmidt et al. (2011) also concluded that vertical feeding migrations by krill are flexible (facultative) and may be induced by suboptimal feeding in surface waters. Predicted future decreases in levels of chl a in important local/regional krill habitats would likely lead to increasing occurrence of seabed foraging (Smith et al. 2006).

3.2.2. Vertical shift

It is evident that deep migrations and foraging on the seabed are significant aspects of krill ecology. Kawaguchi et al. (1986) used a light trap to document...
Krill feeding on detritus on the seabed during the dark period. Clarke & Tyler (2008) further challenged the traditional view of krill being an epipelagic species with images taken from a remotely operated vehicle which showed krill feeding at the seabed at depths down to 3500 m, and recent observations indicate that a substantial proportion of the population may be found below the upper 200 m epipelagic zone (Schmidt et al. 2011, Siegel & Watkins 2016).

Fatty acid and microscopic analyses of stomach content confirm 2 different foraging habitats for krill: the upper ocean, where phytoplankton is the main food source; and deeper water or the seabed, where detritus and copepods are consumed (Schmidt et al. 2011, 2014). Local differences in the vertical distribution indicate that reduced feeding success in surface waters can drive these vertical migrations, as can variations in predation pressure from air-breathing predators. Krill caught in upper waters retain signals of benthic feeding, suggesting a frequent and dynamic exchange between surface and seabed (Schmidt et al. 2011). Moreover, juvenile and larval krill may be important resources for chaetognaths and other invertebrates deeper in the water column (Trathan et al. 2003).

Seabed foraging behavior in krill may prove essential to the future success of this stenothermal species in a warming climate. Schmidt et al. (2011) considered factors potentially influencing the occurrence of krill swarms well below the population center to include food availability, predator avoidance, and transit to greater depths. Inherently, feeding success near the surface may be low due to food shortage or predator avoidance. Unfavorable surface conditions can occur close to land, where the impact from air-breathing predators is high, or far from land, where phytoplankton concentrations are relatively low even in summer. In both zones, the larger portions of the krill population in the deepest stratum of ship-based acoustic detection (200–300 m), compared to those found at intermediate distances from land, indicate that under such conditions some krill migrate away from surface waters to feed at depth. At intermediate distances from land, predation risk is usually reduced, and moderate to high phytoplankton abundances favor a shallow krill distribution (Schmidt et al. 2011).

Questions regarding the proportion of the circum-polar krill population engaging in deep migrations and benthic feeding have implications not only for ensuring reliable estimates of stock size, but also regarding the overarching effects of climate change on Southern Ocean ecosystems. The Antarctic sea-bed has traditionally been regarded as cold and thermally stable, with little spatial or seasonal variation in temperature. An analysis conducted by Clarke et al. (2009) highlighted aspects of the spatial and depth distribution of bottom temperatures which have not yet been integrated into discussions of the ecology or physiology of Antarctic benthic organisms, including krill. Noteworthy here is the striking difference between the thermal environment of the continental shelf seabed west of the Antarctic Peninsula and that of continental shelves around Antarctica. Clarke et al. (2009) found that deep-sea seabed temperatures are coldest in the Weddell Sea, becoming progressively warmer to the east. There is a distinct latitudinal gradient in the difference between seabed temperatures on the shelf and in the deep sea, with the deep sea being warmer by up to ~2°C at high latitudes and colder by ~2°C around sub-Antarctic islands. These differences may have important consequences for the benthic ecology and biogeographic assemblage composition of benthic fauna. Better understanding of past evolutionary history is needed, as well as of the potential impact of future regional climate change on krill production, with consideration of both vertical and horizontal shifts in its distribution (Clarke et al. 2009).

3.2.3. Benthic–pelagic coupling and nutrient cycling

The vertical fluxes involved in this seabed-feeding behavior are important for the coupling of benthic and pelagic food webs and cycling of the iron needed for phytoplankton production (Schmidt et al. 2011). The regular appearance of krill in the stomachs of demersal fish and brittle stars indicates their role as a food source for benthic predators. Thus, on their downward migration, krill contribute to the export of carbon and nutrients from surface water to the deep ocean—due to their excretion, defecation, and consumption by predators. Conversely, the occurrence of benthos-derived food in the stomachs of krill sampled in the upper 200 m water column indicates that, on returning from the depths, krill also reintroduce consumed benthic material back into surface waters. Even if some gut content is lost during transit, benthic feeding by krill and their subsequent return to surface waters may lead to a net upward flux of certain nutrients and trace metals (Schmidt et al. 2011).

Atkinson et al. (2009) estimated total circumpolar biomass of krill to be 379 Mt (based on standardized trawl-net survey sampling data) and 117 Mt (unstan-
standardized data). These estimates are within the range of acoustics-based estimates of 60–420 Mt (Nicol et al. 2000b, Siegel 2005). It is also estimated that krill contain up to 260 nmol iron per stomach when returning from seabed foraging; about 5% of this iron is labile and potentially available to phytoplankton (Schmidt et al. 2011). For this reason, it is important to know the proportion of the circumpolar krill population engaging in deep-sea migrations and benthic feeding in order to obtain reliable estimates of stock size and to anticipate the overarching effects of climate change on Southern Ocean ecosystems. Even if only a small part of this massive krill population migrates between surface and seabed, there will be consequences relating to the redistribution of organic matter and nutrients when feeding locations of migrants differ from the locations where excretion, defecation, or consumption occurs. This will have implications for benthic–pelagic coupling and nutrient cycling within Southern Ocean food webs (Schmidt et al. 2011). Survey-based assessments of biomass have failed to account for krill deeper in the water column. Regrettably, such critical background information on deep-sea migrations and benthic feeding by krill, i.e. causes, nutritional benefit, and percentage of the population involved, is still limited, and has not been incorporated into krill energy budgets (Fach et al. 2006), life-history models (Nicol 2006), or stock assessments (Siegel 2005, Schmidt et al. 2011).

4. INTERACTION WITH OTHER ENVIRONMENTAL CHANGES

As described above, the high mobility of krill, combined with its narrow range of temperature tolerance and its dependence on sea-ice habitat during critical life stages, imply that the warming underway in regions of the Southern Ocean may impact the migratory patterns and spatial distribution of this keystone species within Antarctic food webs. Such shifts in krill distribution in response to climate change will act in concert with other environmental changes to impact krill distribution and abundance. These include ongoing ocean acidification (Flores et al. 2012a, Kawaguchi et al. 2013a,b), still-elevated levels of ultraviolet radiation (Newman et al. 1999, Flores et al. 2012a), and increasing abundance and distribution of salps (Atkinson et al. 2004).

Flores et al. (2012a) described the potentially cumulative negative impacts of ocean warming on krill populations, as summarized in Fig. 7. They suggested

![Conceptual representation of cumulative impact of climate change on the Antarctic krill lifecycle](https://example.com/krill_lifecycle.png)

**Fig. 7.** Conceptual representation of cumulative impact of climate change on the Antarctic krill lifecycle in a typical habitat under projected scenarios for the 21st century. Key processes are represented by green arrows. Processes under pressure of ocean warming, CO2 increase, and sea-ice decline are represented by red hatching; the solid red arrow indicates high risk of life-cycle interruption. The ecological position of krill may change from a present state (a keystone species with long-established reproduction cycles) to a future state, in which it faces different food sources and new competitors, demanding that it adapt its lifecycle to altered habitat conditions within new spatial boundaries. (Source: figure and description used with permission from Flores et al. 2012a)
that until the ozone layer has fully recovered, UV radiation will be an additional environmental stressor on krill and Antarctic ecosystems; and that recruitment, driven largely by the winter sea-ice-dependent survival of larval krill, is the population parameter most susceptible to climate change. In this section, we explore these and other potential impacts on the krill resource, including new habitat boundaries via horizontal and vertical shifts in krill distribution; new competitors via the increasing distribution and abundance of salps; and increased predation pressure following a potential return of the great whales.

4.1. Ocean acidification

Loss of sea ice and high rates of primary production over the continental shelves, coupled with increased ocean–atmosphere gas exchange (CO₂), mean that the Southern Ocean will be among the first to become undersaturated with respect to aragonite (Fabry et al. 2008, 2009, McNeil & Matear 2008, Feely et al. 2009, Orr et al. 2009, Weydmann et al. 2012, Kim & Kim 2021). This will likely have biochemical and physiological effects on krill at different life phases, although the level of ocean acidification at which severe effects can be expected is unclear (Orr et al. 2005, Fabry et al. 2008, Flores et al. 2012a).

Krill eggs sink from the surface to hatch and develop at 700–1000 m. Present pCO₂ values at this depth range (~550 μatm pCO₂) are already much higher than at the surface. Kawaguchi et al. (2013b) reported that under the RCP 8.5 scenario, krill in most habitats would suffer at least 20% lower hatching success by 2100, with reductions of up to 60–70% in the Weddell Sea; and that the entire habitat may become unsuitable for hatching by the year 2300, leading to collapse of the krill population. There is clearly a need to improve our largely qualitative assessments of krill habitat (e.g. sea-ice impacts on recruitment) by integrating quantified relationships.

Model projections following RCP scenarios indicate that much of the current habitat for krill will have reached damagingly high pCO₂ levels of >1000 μatm by the year 2100 under RCP 8.5, or by 2300 under RCP 6.0. These projections identify the Weddell and Haakon VII Seas off East Antarctica, and from the eastern Ross Sea to the western Antarctic, as areas with potentially high pCO₂ values where krill egg-hatching is most likely to be at risk (Fig. 8) (Kawaguchi et al. 2013b).

Kawaguchi et al. (2011) demonstrated through experiments that krill embryos develop normally within a range of up to 1000 μatm pCO₂. At 2000 μatm pCO₂, however, their development is almost completely inhibited and can be affected at concentrations as low as 1250 μatm (Kawaguchi et al. 2013a). Ericson et al. (2018) found that adult krill were able to survive, grow, store fat, mature, and maintain respiration rates when exposed to near-future ocean acidification conditions (1000–2000 μatm pCO₂), indicating that adult krill may have enhanced resilience.

Model-based projections of CO₂ concentrations in seawater indicate that, by the year 2100, surface-water partial pressure of CO₂ (pCO₂) levels may reach 584 and 870 μatm in the Scotia Sea and the Weddell Sea, respectively (Midorikawa et al. 2012). At greater depths, pCO₂ levels may exceed 1000 μatm by 2100, even reaching nearly ~1400 μatm in the Weddell Sea region at depths of 300–500 m (Kawaguchi et al. 2011, Flores et al. 2012a). Variations in future seawater pCO₂ levels around the Antarctic continent could be highly heterogeneous: seasonally, regionally, in surface waters, and at depth (McNeil & Matear 2008). Some of the greatest increases are projected for areas where a large portion of the krill population occurs (S. Kawaguchi et al. unpublished data). Because pCO₂ levels generally increase with depth, krill making extensive vertical migrations will spend much of their lives exposed to higher and more variable levels of ocean acidification than will organisms living primarily in surface waters (Kawaguchi et al. 2011).

Projections based on IPCC (2007) modeling scenarios indicate that Southern Ocean surface pCO₂ levels may rise to 1400 pCO₂ within this century, but extreme levels approaching 2000 μatm are unlikely. Inherent limitations of such predictions—relative to seasonal and regional variability, experimental approaches, availability of observational data at different depths, and incorporating the effects of climate change—limit the ability to estimate current and/or predict future pCO₂ levels (McNeil & Matear 2008). Moreover, quantitative assessment of the impact of ocean acidification on the growth potential of krill remains a key knowledge gap (Veytia et al. 2020), and whether Southern Ocean pCO₂ will reach levels detrimental to krill remains an open question (Kawaguchi et al. 2010).

Because detrimental conditions may develop before the end of this century (Kawaguchi et al. 2013b), it is important to continue sustained observations of krill population and condition parameters at circum-polar scales throughout the lifecycle, to detect potential future effects of ocean acidification (Flores et al. 2012a). Current regular acoustic monitoring is limited to the most fishery-intensive areas.
4.2. Increased ultraviolet radiation

Despite the success of the Montreal Protocol in phasing out global emissions of ozone-depleting substances (ODS) (Farman et al. 1985), ozone depletion over the Antarctic has remained particularly high. Given the long lifetimes of many ODS in the atmosphere, this situation is expected to continue for several decades (WMO 2011, Williamson et al. 2014).

Ultraviolet B (UVB) radiation (280–320 nm) is the most harmful variant to reach the Earth’s surface, and damaging irradiances have been observed to penetrate to biologically significant sea depths (Holm-Hansen et al. 1989, Gieskes & Kraay 1990, Karentz & Lutze 1990, Smith et al. 1992, Marchant 1994). Due to the key role of krill in the Southern Ocean ecosystem, it is important to determine whether increased UVB due to ozone depletion is having detrimental effects on the population. Wild-caught krill have been observed to contain proportions of mycosporine-like amino acids (MAAs) (Karentz et al. 1991, Dunlap & Yamamoto 1995). These MAAs are produced by algae in re-

Fig. 8. Circumpolar risk maps of krill hatching success under projected future $p$CO$_2$ levels. Hatching success under the RCP 8.5 emission scenario for (a) 2100 and (b) 2300, and under the RCP 6.0 emission scenario for (c) 2100 and (d) 2300. Note the different color scales on each panel. Southernmost black line shows the northern branch of the Southern Antarctic Circumpolar Current Front; northernmost line shows the middle branch of the Polar Front. (Source: figure and description used with permission from Kawaguchi et al. 2013b)
response to ultraviolet irradiation; subsequently, they are consumed en masse by krill (Newman et al. 2000).

Newman et al. (1999) presented results from laboratory studies indicating that krill are extremely susceptible to levels of UV irradiation penetrating to depths of up to 10 m in clear Antarctic waters. They found that the mortality of juvenile krill was accelerated at relatively low levels of UVB radiation, and that krill are intolerant to photosynthetically active radiation (PAR). PAR and ultraviolet A (UVA) treatments both reduced krill activity, and the addition of UVB wavelengths caused further reductions. Notably, a subsequent laboratory study indicated that krill may be able to avoid regions of high UVB radiation, thereby reducing exposure to and risk of UVB-induced damage (Newman et al. 2003).

In the coming decades, UV radiation is likely to be an additional environmental stressor on krill and Antarctic ecosystems (Flores et al. 2012a). The direct impact of UVB on the krill population may occur through genetic damage (Jarman et al. 1999, Dahms et al. 2011), physiological effects (Newman et al. 1999, 2000), or behavioral reactions (Newman et al. 2003). Indirect effects may arise through declines in primary productivity caused by increased UV radiation and changes in food-web structure.

### 4.3. Growing competition from salps

Salps (mainly *Salpa thompsoni*) tolerate warmer water than krill and occupy extensive lower-productivity regions of the Southern Ocean (Foxton 1966, Le Févre et al. 1998, Nicol et al. 2000a, Pakhomov et al. 2002). The occurrence of salps is reported to be increasing in the southern part of their range approaching the Antarctic continent (Fig. 9) (Atkinson et al. 2004). These planktonic tunicates are important components of marine food webs and are major consumers of production at lower trophic levels. While salps feed efficiently on a wide range of plankton (Foxton 1956), they may not efficiently transfer that energy up to higher levels of the food web (Loeb et al. 1997). The consequences of their trophic dynamics and changes in their abundance and distribution are likely to have major effects on the pelagic food web and on pelagic–benthic coupling, through the sedimentation of particulate matter (Raskoff et al. 2005).

As obligate filter feeders, salps tend to prefer oceanic regions with lower food concentrations (Le Fèvre et al. 1998, Pakhomov et al. 2002). Thus, lower productivity across most of the ACC means that suitable habitat for salps is much larger than for krill.

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Fig. 9. Krill, salps, and their food. (a) Mean (November–April) chl a concentration, 1997–2003. (b) Mean krill density (6675 stations, 1926–2003). (c) Mean salp density (5030 stations, 1926–2003). \[
\log_{10}(\text{no. krill m}^{-2}) = 1.2 \log_{10}(\text{mg chl a m}^{-3}) + 0.83 \quad (R^2 = 0.051, p = 0.017, n = 110 \text{ grid cells}).
\]

Historical mean positions are shown for the PF, Southern ACC Front (SACCF), SB, and northern 15% sea-ice concentrations in February and September (1979–2004 means). PF: Polar Front; SB: Southern Boundary. (Source: figure and description used with permission from Atkinson et al. 2004)
(Atkinson et al. 2004), and studies have found some competition between these 2 species (Loeb et al. 1997). With shorter lifecycles than krill and explosive population growth rates, salps can respond to environmental variation over shorter timescales (Foxton 1966, Le Févre et al. 1998, Pakhomov et al. 2002). This, together with rising temperatures and reduced sea-ice coverage, heightens the potential for further increases in salp abundance.

The western Antarctic Peninsula — a key spawning and nursery area for krill — is warming quickly, and winter sea-ice duration is shortening (Parkinson 2002). Deep-ocean temperatures have increased (Gille 2002), and decreases in pre-1970s circumpolar sea-ice distribution have been found at several locations (Clarke & Harris 2003, Curran et al. 2003). A study of temporal trends (inter-annual variability) in krill and salp density indicated that salp densities increased south of the Southern Boundary over the entire time-series (Atkinson et al. 2004). In contrast, densities for krill in the SW Atlantic sector have declined significantly since 1976. Although salps and krill usually occur in different water masses, salps occupy larger habitats than krill and are less affected by ongoing temperature changes. Further studies are needed to clarify the competitive elements in the relationship between these species. As the mechanisms underlying these changes are uncertain, any future predictions must be made with caution (Atkinson et al. 2004).

4.4. Potential return of the great whales

The removal of large whales from the Southern Ocean stands as one of the most dramatic and destructive exploitations of natural resources carried out by mankind. It is estimated that the abundance of large baleen whales decreased by 68% (range: 3–99.6%) during the 1900s (Christensen 2006). For major krill predators combined (sei, fin, blue, and humpback whales), the estimated decrease was over 90%. Rough estimates (using relationships presented by Reilly et al. 2004) indicate that the pre-exploitation krill consumption by these large baleen whales combined was 0.85 Mt d⁻¹, or (assuming a 120 d residence time) about 103 Mt yr⁻¹. Using combined population abundance estimates of predominantly krill-feeding whale species in 2001, the corresponding estimate of krill consumption by whales was 0.08 Mt d⁻¹, or 10 Mt yr⁻¹. Although these are very rough estimates, they indicate the potential impact of consumption by pre-exploitation whale populations on krill stocks.

The rate of whale stock recovery in the Southern Ocean has varied among species, but many stocks are now well on their way. In the case of humpback whales, the current population size in the Scotia Sea is estimated to be ~91% of pre-exploitation levels, and the predicted size by 2030 is ~98.8% of pre-exploitation levels. It is safe to assume that similar recoveries have occurred elsewhere in the Southern Ocean. Using the rough estimates presented above, continued recovery of this species alone could represent an increase in annual krill consumption of almost 1 Mt yr⁻¹ from today through 2030. Assuming all baleen whales recover at similar rates, krill consumption by these large predators would be expected to increase dramatically over the coming decades. In contrast, a recent article by Tulloch et al. (2019) used a coupled climate and ecosystem model to predict future changes in krill and whale abundance in the Southern Ocean. Their model suggests that while many whale stocks may increase over the short term, predicting longer-term trends is more uncertain and problematic.

It should be noted that increasing population trends in other marine mammal species predating heavily on krill, notably Antarctic fur seals *Arctocephalus gazella* in South Georgia and other regions of the Southern Ocean, will also impact the krill population status (Hucke-Gaete et al. 2004).

5. IMPLICATIONS FOR THE MANAGEMENT OF KRILL FISHERIES

Ongoing environmental change will influence the lifecycle of krill and its spatial distribution. It is critical that the rate of climate-related changes not outpace the capacity to ensure sustainable management of the krill fisheries (Jacquet et al. 2010, Schiermeier 2010, Trathan & Agnew 2010, Flores et al. 2012a, Constable et al. 2014).

This section briefly reviews the institutional framework for managing krill fisheries and its capacity to adapt to ongoing and future climate-related changes to the marine ecosystem. We focus on the rising attention paid to climate change within the decision-making and advisory bodies of CCAMLR; the need for regularly updated assessments of risks posed by fishing under a changing climate, based on monitoring of the ecosystem; and finally the advances made toward an adaptive management system capable of adjusting management actions in response to the best available information on the status of krill and its predators.
5.1. Institutions and fisheries

The Convention on the Conservation of Antarctic Marine Living Resources (UNTS 1329-22301; United Nations 1980) was adopted amidst concerns that expanding fisheries could have substantial negative impacts on the Southern Ocean ecosystem. Its objective set forth in Article II is ‘the conservation of Antarctic marine living resources’ (p. 49), requiring that any harvesting operations ‘shall be conducted in accordance with […] the following principles of conservation: (1) Prevention of decrease in the size of the any harvested population to levels below those which ensure its stable recruitment […] (2) Maintenance of the ecological relationships between harvested, dependent, and related populations […] and the restoration of depleted populations […] (p. 49); and (3) Prevention of changes or minimization of the risk of changes in the marine ecosystem which are not potentially reversible after two or three decades […]’ (p. 50).

Present-day krill catch levels (≈450 000 t in 2019/20) have not reached the current catch limit for the Southwest Atlantic sector (620 000 t yr\(^{-1}\)) and are taken largely by Norway, South Korea, and China in an ‘Olympic-style’ (no national or vessel quota) fishery; Ukraine, Chile, and in some years Russia and Japan also participate (CCAMLR 2018). Established fisheries exist in East Antarctica (Food and Agriculture Organization Subareas 58.4 subdivisions 1 and 2) and the southwest Atlantic (Subareas 48.1–4), although since the early 1990s, harvesting has been concentrated in the Scotia Sea and western Antarctic Peninsula (Fig. 10).

In the management of this fishery, CCAMLR’s ecosystem objective implies an obligation to also consider impacts on krill-dependent species, including penguins and other sea birds, fish, seals, and whales (Hill et al. 2016, Watkins et al. 2016). The institutional framework for pursuing this objective comprises the decision-making Commission and the advisory Scientific Committee (SC-CAMLR), both with subsidiary bodies, plus a Secretariat.

The Commission meets annually and adopts, by consensus, legally binding Conservation Measures. For krill, the Commission has set maximum removals from each statistical subarea where the fishery occurs, placed stringent restrictions and data-collection requirements on exploratory fisheries in new areas, and obliged Members to notify the Secretariat of vessels planning to participate in the krill fishery. Members must also report regularly on catch and effort and ensure that their vessels adhere to all krill-specific or general regulations on matters such as vessel marking, gear restrictions, and bycatch mitigation.

The Scientific Committee is charged with promoting cooperation on research with respect to Antarctic marine living resources and to advise the Commission on measures for implementing the objectives of the Convention (Article XV). This advice derives from assessments conducted by 5 working groups: Ecosystem Management and Monitoring (EMM), responsible for krill, including predator–prey interactions and how they relate to environmental features; Acoustic Survey and Analysis Methods (ASAM); Statistics, Assessments and Modelling (SAM); Incidental Mortality Associated with Fishing (IMAF); and Fish Stock Assessment (FSA), responsible for targeted finfish resources, mostly toothfish. Sources of data include scientific surveys, the CCAMLR Ecosystem Monitoring Programme (CEMP), commercial catch reports, and a Scheme of International Scientific Observers (SISO).

The Convention’s spatial ambit (southward of the Antarctic Polar Front, Article I) and placement in a
larger institutional complex (the Antarctic Treaty System [ATS], including the Convention on the Conservation of Antarctic Seals; see Stokke & Vidas 1996) are conducive for ecosystem-based management. Among the major krill predators, only whales are managed by an institution outside the ATS: the International Whaling Commission. CCAMLR also cooperates with that institution as it does with the Commission for the Conservation of Southern Bluefin Tuna, which manages a stock with some occurrence in northern parts of the CCAMLR Area. However, since the last meeting between CCAMLR and the IWC in 2008 (SC-CAMLR 2008, Annex 12), there has been very little consideration given to the recovery and distribution of cetacean krill predators in terms of krill fisheries management.

5.2. Climate change and CCAMLR

A recent review of responses to climate change by regional fisheries management bodies found that CCAMLR has been more explicit than other organizations on the need to take climate change into consideration, adding, however, that none of them had advanced substantially toward integrating climate impacts into their research and regulatory activities (Rayfuse 2019). References to climate change and its potential impacts on the Southern Ocean ecosystem are traceable in Scientific Committee reports since 1989 (SC-CAMLR 1989, Annex 2) but their frequency and prominence were low well into the 2000s. Like for other fisheries management bodies (Sumby et al. 2021), a turning point occurred in 2007, which coincides with the publication of the IPCC Fourth Assessment Report as well as the International Polar Year (2007–2008) with its many climate-related projects. That year, the Commission ‘urged Members to develop and maintain long-term scientific monitoring programs studying the krill-based ecosystem as these will allow the Scientific Committee to investigate the effects of climate change as well as the effects of the fishery’ (CCAMLR 2007, p. 14). The Commission also noted that climate-change impacts could be upgraded to a separate agenda item for the Scientific Committee (CCAMLR 2007), thereby reinforcing expectations of concrete advice on the matter. The year after, it endorsed 3 work areas designated by the Scientific Committee with a view to examining (1) the robustness of stock assessments and scientific advice to the rising uncertainty accompanying climate change; (2) the need for improved monitoring programs of harvested and associated species to provide robust and timely indicators of climate change impacts; and (3) whether climate-change uncertainty implies modification of management objectives or performance indicators (CCAMLR 2008).

Subsequent progress in these 3 work areas has been uneven. Within the first 2, on robustness and monitoring, the Scientific Committee soon advised that climate change has the potential to induce rapid change within ecosystems and that distinguishing climate impacts from fisheries impacts would likely require that existing CEMP sites for ecosystem monitoring be supported by data collection in reference areas with no fishing (SC-CAMLR 2009). The Commission responded promptly: it adopted Resolution 30/XXVIII, urging Members and others to increase their consideration of the impacts of climate change in the Southern Ocean to better inform CCAMLR management decisions, and endorsed a review of CEMP (CCAMLR 2009a). More than a decade later, however, that CEMP review is still forthcoming, awaiting consensus within the Commission on a new krill management procedure (SC-CAMLR 2018)—which in practice requires successful completion of the third designated work area, on possible modification of management objectives and performance indicators (see Section 5.4).

Since 2015, the Commission has examined climate-change impacts on conservation as a separate agenda item, involving controversy over 2 issues in particular: a proposed addition to Resolution 30/XXVIII, requesting that all papers submitted to the Scientific Committee or the Commission should include a climate-change implications statement; and a proposed Climate Change Response Work Program (CCRWP) modeled on one implemented by the Committee for Environmental Protection Antarctic Treaty’s Environmental Protocol (CCAMLR 2017). The controversy over climate-change statements has revolved around the scientific value of requiring such statements also in CCAMLR papers that do not examine time-series of climate data (e.g. CCAMLR 2018b). Critics of the CCRWP have focused on its proposed mechanism for identifying and revising climate-change responsive goals and actions by the Commission and the Scientific Committee, arguing that it might duplicate activities in other forums and bypass assessment by the Scientific Committee and its working groups (e.g. CCAMLR 2018b).

Although climate change has been subject to rising attention in CCAMLR, the agreed approach has been to deal with its implications not by climate-specific requirements or structures, but by seeking to improve the general institutional capacity to detect
and respond to any detrimental impacts of harvesting. With respect to the krill fisheries, as the remainder of this section shows, those efforts have revolved around risk assessment procedures and the Commission’s long-standing aspiration to move closer to a feedback management system.

5.3. Toward ecosystem risk assessment

The harvesting pressure on Antarctic krill in the Southwest Atlantic sector, where the fishery is concentrated, has never exceeded 1% of the estimated spawning-stock biomass in this area. Assessments of risk have therefore focused less on replenishment of the krill stock than on any impacts that reduced abundance may have on krill-dependent predators in the local areas where fisheries occur.

Catch reports from the commercial krill fisheries, required by CCAMLR on a haul-by-haul basis at gradually finer spatiotemporal scales, are the main sources of data on the distribution of harvesting operations. Several factors, including the patchiness of these operations compared to the distribution of the stock and the scarce knowledge held on the mechanisms and patterns of krill flux (movement), limits the use of catches per unit effort for stock-assessment purposes (SC-CAMLR 1989, Santa Cruz et al. 2018), so abundance estimates derive mostly from standardized net and acoustic surveys (Meyer et al. 2020). For cost reasons, large, area-scale surveys have been rare events—for the Southwest Atlantic sector, they were only conducted in 2000 and 2019.

Regional biomass estimates, in contrast, as part of local monitoring programs in the main fishing areas have been sufficiently regular to provide time-series data revealing very wide fluctuations in local abundance such as in the Bransfield Strait and north of the South Shetland Islands where interannual differences can be as large as 2–3 orders of magnitude (Reiss 2008). Knowledge of such fluctuating abundance in fisheries hotspots has made the question underlying most of the krill management discussions in CCAMLR even more pressing: To what extent do krill fisheries put local predators at risk?

A major response to that question was the establishment in 1985 of CEMP, focused on selected life-history stages of land-based seals, penguins, and several other sea bird species with restricted mobility during the foraging season (Agnew 1997, Kock et al. 2007). A review of that program nearly 2 decades later, however, found it "unlikely that the existing design of CEMP, with the data available to it, would be sufficient to distinguish between ecosystem changes due to harvesting of commercial species and changes due to environmental variability, whether physical or biological" (SC-CAMLR 2003, p. 8).

Ecosystem-based risk assessment of the krill fisheries therefore requires data on fisheries, on krill abundance at various scales (to account for flux), and on local predator requirements in fisheries hotspots (Krafft et. al 2015)—all collected and analyzed in ways that allow evaluation of functional relationships (Kawaguchi & Nicol 2020, Meyer et al. 2020). When examining advances in the ecosystem risk assessment underlying Scientific Committee advice on krill, it is instructive to focus on a few particularly important decisions by the Commission:

(1) The advice to set a first precautionary catch limit on krill in the Southwest Atlantic sector (CM 30/X-1991; CCAMLR 1991b) was motivated by concerns that localized overfishing might negatively affect predator populations, fueled by fine-scaled fisher reports indicating concentration near colonies of foraging penguins and seals (SC-CAMLR 1991). The basis for setting this catch limit was data on krill abundance derived from surveys conducted in the pre-CCAMLR era; the first and second international BIOMASS experiments (BIOMASS 1986).

(2) A second important krill Conservation Measure (CM 46/XI-1992; CCAMLR 1992) subdivided the catch limit among subareas of the Southwest Atlantic, largely proportionally to distribution estimates from the pre-CCAMLR Area survey (SC-CAMLR 1992). Implementing that subdivision, however, would only be required if total catch in heavily fished subareas reached a ‘trigger level’ of 620 000 t, corresponding to the highest recorded annual catch in each subarea. Whereas predator demand formed the basic rationale for the catch limit as well as the trigger, the report from the scientific deliberations made only a single reference to CEMP predator monitoring, by then underway for 7 yr—namely that despite such monitoring ‘it is currently impossible to estimate total consumption for all krill predators in the subareas’ (SC-CAMLR 1992, p. 15).

(3) The next major step in krill conservation (CM 32/XIX-2000; CCAMLR 2000b) was taken immediately after the CCAMLR 2000 synoptic krill survey of the Southwest Atlantic sector: based on improved acoustic analysis methods, greater knowledge on krill life history, and a concomitant improvement in stock assessment methods, the Commission raised the precautionary catch limit for the area and spatially allocated it at the subarea level based on survey estimates of the stock distribution. Importantly,
the Commission also upgraded the trigger level from a threshold obliging further subdivision to an area-level interim catch limit, applicable until a subdivision of the much higher precautionary catch limit (currently at 5.61 Mt) is agreed (CCAMLR 2000a).

(4) To facilitate more resolved risk assessment and Conservation Measures, the Scientific Committee 2 yr later proposed a number of small-scale management units (SSMUs)—distinguishing in each subarea between 1 pelagic area and 1 or more land-based predator areas (SC-CAMLR 2002). However, disagreement on the feasibility and scientific merit of various options for subdividing the krill catch limit among them has so far prevented consensual advice on the matter. Static or dynamic options under longstanding evaluation by the Scientific Committee require fine-scaled distribution estimates of, respectively, (i) historical catches; (ii) krill biomass, as used already at the subarea level; (iii) predator demand; (iv) krill biomass minus predator demand; (v) dynamic predator-based indices of krill availability; or (vi) ecosystem responses to structured fishing, in which harvesting effort rotates among SSMUs (e.g. SC-CAMLR 2004; see also Hewitt 2004b).

(5) The most recent substantive update of Conservation Measures related to krill fishing (CM 51-07-2009; CCAMLR 2009b) allocated the trigger level among 4 subareas (48.1–4) in the Southwest Atlantic, again largely based on survey-derived estimates of the standing krill stock (CCAMLR 2009a). Driving the subdivision was advice by the Scientific Committee, based on improved modeling of functional relationships among fisheries, krill, and spatially restricted predators which indicated that even the relatively low trigger-based catch limit might not suffice to protect predators should the fishery become more concentrated near foraging areas (SC-CAMLR 2009, Meyer et al. 2020). The Conservation Measure subdividing the trigger level was time limited and has been renewed several times: that currently in force expires in November 2021 (CM 51-07-2016; CCAMLR 2016b).

This brief review of the major decisions on krill thus far brings out the progress and limitations in CCAMLR’s risk assessment. The 2009 decision to subdivide the trigger level drew upon multispecies modeling parameterized in accordance with the best available knowledge at that time on processes linking fisheries and ecosystem response, using spatially resolved data on variations in krill and predator abundance (Watters et al. 2013). The limitations are equally evident, however: neither the catch limit (based on historical fishing maxima) nor its subdivision (based on estimates of krill distribution from the 2000 survey) reflect updated information from ongoing krill surveys and monitoring of predator abundance and reproductive performance. Important advances in understanding the krill-centric ecosystem had driven the decision to subdivide the trigger-based catch limit but not the substance of that decision.

A dynamic, whole-ecosystem, data-driven procedure that can support adaptive management of krill is still a work in progress (Kawaguchi & Nicol 2020, Meyer et al. 2020), yet 3 moves by the Scientific Committee since the latest regulatory update deserve attention. In 2013, it consolidated a staged approach envisaging catch limits above the trigger level advised based on information that incorporates a steadily broader range of observation series, including multiple-scaled krill surveys and CEMP-based quantification of predator demand (SC-CAMLR 2013). A second move was to develop a Risk Assessment Framework for providing advice on how to spatially distribute future catch levels to spread and moderate risks to predators (SC-CAMLR 2016). A third move was agreed immediately after the 2019 Area 48 Survey had demonstrated that commercial fishing vessels could effectively collect large-scale scientific data on krill (SC-CAMLR 2019a). The Scientific Committee adopted a detailed work plan to collate data layers and analyses from a wide range of past, ongoing, and enhanced monitoring and research activities—including the 2 large-scale area surveys, annual regional krill surveys and predator monitoring, and tracking of land-based and pelagic predators (SC-CAMLR 2019b).

5.4. Politics of feedback management

When adopting the first precautionary catch limit on krill in 1991, the Commission noted that although a precautionary approach was better than a reactive one, some form of ‘feedback management, which involves the continuous adjustment of management measures in response to information, is to be preferred as a long-term strategy’ (CCAMLR 1991a, p. 15). The Scientific Committee quickly pointed out that the information requiring response concerned ‘interactions among krill, krill predators, the fishery and the environment’ (SC-CAMLR 1992, p. 21).

For many years, as shown above, progress toward an approach that can support such feedback management was constrained by technology, analytical methods and monitoring design issues and by insufficient frequency and regularity of surveys and monitoring of
krill and krill-dependent species. Scientific uncertainty concerning the impacts of krill harvesting on local ecosystems has contributed to longstanding disagreement among CCAMLR Members on when to subdivide catch limits among smaller management units in the Southwest Atlantic (e.g. CCAMLR 2016a). Finer subdivision is controversial because small management units imply less flexibility for fishing vessels to deploy their harvesting capacities efficiently. Even with the current much larger management units (4 subareas in the Southwest Atlantic sector), subdivision of the trigger-based catch limit regularly results in closures in parts of the operational area well before the season ends. Importantly though, static management measures requiring consensus to modify are unlikely to keep pace with the dynamic changes to the marine ecosystem and may thus hamper rather than promote the ability to react adaptively.

The balance between environmental protection and rational use of natural resources has been a central issue in CCAMLR from the outset (e.g. Press et al. 2019), most visibly in recent controversies over proposed marine protected areas (MPAs) (e.g. Sykora-Bodie & Morrison 2019, Brooks et al. 2020). Both concerns are enshrined in CCAMLR’s objective since, according to Article II, ‘[f]or the purposes of this Convention, the term ‘conservation’ includes rational use’ (UNTSl 1329-22301; United Nations 1980, p. 49). In the Scientific Committee’s staged approach toward feedback management, the balance between protection and rational use is seen in the link between prospects for higher catch limits and adoption of decision rules to adjust Conservation Measures based on more reliable krill and predator data (SC-CAMLR 2013). Advances in ecosystem risk assessment, notably the development of a theoretical model for the risk assessment framework, the 2019 Area 48 synoptic survey, and agreement on a detailed work plan to collate multiple data streams, have clearly improved the prospects for moving that process further.

Moving from this first to the second stage in the process toward feedback management calls for skillful balancing of protection and rational use, since legally binding decisions by CCAMLR require consensus (Article XII). In fact, consensus is necessary even for remaining in the first stage, since the present subdivision of the trigger level expires in November 2021 (CM 51-07-2016; CCAMLR 2016b). From a governance standpoint, a consensus rule has the obvious disadvantage that decisions are easily blocked. The accompanying advantage, however, is that Members are compelled to search for solutions that accommodate strongly held concerns of others.

In CCAMLR, the consensus-seeking approach typically begins informally at the scientific working-group level so that, by the time an issue reaches the Commission, any disagreement has been aired and noted prior to the formal deliberations (Everson 2017). While this procedure holds no guarantee of consensus, it allows those who put forward a proposed Conservation Measure to adjust it in ways more acceptable to all. According to the Rules of Procedure of the Scientific Committee, its reports to the Commission ‘shall reflect all the views expressed at the Committee on the matters discussed’ (Rule 3, SC-CAMLR 1983, p. 51); this ensures a high degree of transparency regarding positions taken and arguments made by Members on controversial matters.

The active engagement of leading krill-fishing states to develop the scientific work plan supporting a feedback management strategy for the krill fisheries (SC-CAMLR 2019a) is conducive to obtain consensus because these states cannot be suspected of seeking to dilute the rational-use part of CCAMLR’s conservation objective. A related and similarly conducive circumstance is the positive attitude expressed by important segments of the krill-fishing industry. Members of the Association of Responsible Krill Harvesting Companies (ARK) take more than 80% of the krill catch in the CCAMLR Area; their support advancing feedback management includes active engagement in scientific workshops and stakeholder meetings on the matter and providing vessel hours free of charge for the 2019 Area 48 Survey (SC-CAMLR 2018). This association, which holds observer status within CCAMLR, also self-regulates harvesting activities by enacting voluntary restrictions zones seasonally near breeding colonies of krill predators (CCAMLR 2016a). Among the drivers for these supportive activities is that major krill-fishing companies have obtained certification from a leading private governance institution, the Marine Stewardship Council (MSC), which now certifies more than 10% of the world’s capture fisheries. MSC certification improves access to major markets for some of the most lucrative krill applications, such as nutrients and pharmaceuticals. Measures required or recommended by the MSC to renew existing certificates align well with the feedback management agenda: reduction of bycatch and localized harvesting pressure, and better knowledge of the effects of the krill fisheries on the ecosystem (e.g. Hønneland et al. 2020, Roel & Ríos 2020; see also Nicol et al. 2012).

Another circumstance favoring progress toward feedback management is the substantial increase in krill catches over the past 5 yr. This rise derives from
gradually stronger markets for an expanding range of krill-based products and possibly also from more efficient gear; notably, deployment of continuous pumping technology in part of the fishing fleet. The recent rise in catches is steeper than expected: industry sources cited by Kawaguchi & Nicol (2020) found it unlikely that catches would exceed 350,000 t in the Southwest Atlantic, yet a catch close to 450,000 t was already reported in the 2019/2020 season (CCAMLR 2020). This development makes it more probable that commercially viable krill-harvesting could exceed the trigger level for the Southwest Atlantic sector. Lifting that trigger level will require consensus within the Commission on a mechanism to spatially allocate the higher Precautionary Catch Limit among smaller management units (CM 51-01-2010; CCAMLR 2010) — or on some other adaptive solution acceptable to all Members.

In summary, a combination of institutional, political, and economic considerations yields some optimism regarding the ongoing effort to move closer to a feedback management system for krill. Members emphasizing the protection part of CCAMLR’s conservation objective have strong incentives to accommodate those leaning toward rational use — because without a new consensus decision, even the existing level of spatial distribution of fisheries will expire. Conversely, fishing states envisaging a continued rise in capacity and demand know that the catch limit will stay at 620,000 t unless all Members agree otherwise. Whatever the exact location of one’s preferred balance between protection and rational use, status quo is becoming steadily less attractive as a long-term option.

6. CONCLUSIONS

Our review findings indicate that during the present century, Antarctic and Southern Ocean marine ecosystems are being subjected to climate-driven increases in ocean temperatures and changes in the extent and seasonality of sea ice. This rapidly warming climate, in concert with other environmental changes, i.e. increased UV radiation and ocean acidification (pCO₂), and changes in food-web structure, is altering the habitat, distribution, and abundance of krill.

Some reports indicate that changes in the horizontal distribution of krill have already occurred, notably a poleward contraction implying a reduction in available habitat for spawning and growth, but uncertainties remain. The limited observations of changes in vertical distribution indicate that a substantial proportion of the krill population occurs at depths lower than those measured by current survey sampling techniques, and that large aggregations can form above the seabed. Projected future changes include a sustained increase in ocean temperature and changes in sea ice and chl a. The effect of reduced sea ice and chl a concentrations is likely to be more significant than the direct effects of temperature increase and will cause some degradation of krill environments. This has caused, and will likely continue to cause, shifts in the spatial range (horizontal and vertical) of Antarctic krill stocks, by exacerbating the physiological challenges and reducing food availability.

The cumulative effects of these environmental changes will imply a significant, but as yet unknown, reduction in habitat suitable for krill spawning, hatching, larval survival, and juvenile growth. Uncertainties as to the extent of krill deep-sea migrations and benthic feeding have implications for reliable assessment of krill-stock biomass. It is important to know the proportion of the circumpolar krill population engaging in deep-sea migrations and benthic feeding to obtain reliable estimates of stock size and to anticipate the overarching effects of climate change on Southern Ocean ecosystems. A flow chart with the main findings from our review, connecting these environmental changes to the management of krill fisheries, is presented in Fig. 11.

Changing environmental conditions and prospects for future increases in the krill catch makes it even more pressing to overcome the long-standing impasse among CCAMLR Members on the development of an adaptive management system for the krill fisheries; one in which regularly updated information on krill and krill-dependent species forms the basis for risk assessment and, if necessary, adjustment of Conservation Measures. Progress towards such a system has been constrained by inadequate monitoring activities and lack of consensus on how to allocate catch levels spatially in order to spread and moderate risks to predators.

Recent developments reviewed here seem promising in both regards. In 2016, the Scientific Committee endorsed a conceptual model for the risk assessment framework. Three years later, the large-scale Area 48 Krill Survey enabled an updated stock assessment for the Southwest Atlantic sector where krill fishing is concentrated; and also in 2019, the Scientific Committee specified a comprehensive work plan to enable advice on spatial distribution of future catch limits based on a range of past, present, and planned monitoring activities.
Adoption of krill regulations with finer spatial resolution than found in current Conservation Measures requires consensus among CCAMLR Members—which in turn calls for mutual accommodation among parties to a decade-long debate over how to balance the protection and the rational-use parts of CCAMLR's conservation objective. We have noted several grounds for optimism regarding the prospects for such accommodation and for further progress toward adaptive krill management. First, the upcoming expiry of the Conservation Measure that distributes the trigger level among subareas in the Southwest Atlantic sector renders status quo less attractive to all parties to the protection−rational use debate. Members concerned that greater concentration of the fishery would undermine the protection of local predator stocks now have firm incentives to seek solutions that are palatable also to those emphasizing rational use. Conversely, Members concerned that the interim catch limit of 620,000 t will soon be a real constraint on harvesting operations have more compelling reasons than before to develop or endorse a procedure for spatial distribution because without it, they cannot hope to lift that limit. Secondly, leading fishing states and the companies responsible for most of the krill catch have actively promoted the advances recently made in monitoring and risk assessment procedures, helping to reduce concerns among some Members that a revised and adaptive krill management procedure might undermine the rational-use objective of CCAMLR.

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