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Cariprazine for acute and maintenance treatment of adults with schizophrenia: an evidence-based review and place in therapy

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Abstract: Cariprazine is an oral antipsychotic approved in the US and EU for the treatment of schizophrenia. Cariprazine differs from other antipsychotics in that it is a dopamine D3- and D2-receptor partial agonist, with tenfold higher affinity for D3 receptors than for D2 receptors. Cariprazine is metabolized in two steps by CYP3A4 to didesmethyl-cariprazine (DDCAR). DDCAR has a long half-life of 1–3 weeks and is the predominant circulating active moiety. Efficacy and safety in persons with acute schizophrenia were assessed in four similarly designed, short-term, randomized, placebo-controlled clinical trials in nonelderly adults, with three studies considered positive and yielding a number needed to treat vs placebo for response (change from baseline $\geq 30\%$ in Positive and Negative Syndrome Scale total score) of ten for the approved dose range of cariprazine 1.5–6 mg/day. The most common adverse reactions were extrapyramidal symptoms (15% and 19% for 1.5–3 and 4.5–6 mg/day, respectively, vs 8% for placebo) and akathisia (9% and 12.5% for 1.5–3 and 4.5–6 mg/day, respectively, vs 4% for placebo). For the approved dose range, rates of discontinuation because of an adverse event were lower overall for patients receiving cariprazine vs placebo (9% vs 12%). Weight and metabolic profile appear favorable. Cariprazine does not increase prolactin levels or prolong the electrocardiographic QT interval. Cariprazine has also been found to be effective for the maintenance treatment of schizophrenia by delaying time to relapse when compared with placebo (HR 0.45). A 26-week randomized clinical trial evidenced superiority of cariprazine over risperidone for the treatment of predominantly negative symptoms in patients with schizophrenia. Cariprazine is also approved in the US for the acute treatment of manic or mixed episodes associated with bipolar I disorder in adults and is being studied for the treatment of bipolar I depression and major depressive disorder.

Keywords: cariprazine, didesmethyl-cariprazine, dopamine-receptor partial agonist, schizophrenia, dopamine D3 receptor

Introduction

Schizophrenia, a relatively common and chronic psychotic disorder, is notable for its marked heterogeneity in disease course and response to treatment, as well as differences among currently available psychopharmacological interventions. New medications are welcomed, in the hope that they can address the shortcomings of prior drugs in terms of both therapeutic targets and tolerability profile.

Cariprazine is an antipsychotic medication that received initial approval by the US Food and Drug Administration (FDA) in 2015 and approval by the European Medicines Agency in 2017. Although cariprazine is the third dopamine-receptor partial agonist antipsychotic to become generally available, it differs from the other two, aripiprazole...
and brexipiprazole, in several respects. This paper reviews the pharmacology, mode of action, and pharmacokinetics of cariprazine and the published peer-reviewed evidence supporting its use in persons with schizophrenia.

**Literature search**

A literature search was conducted on July 4, 2018, using the US National Library of Medicine’s PubMed resource. Other sources of information included product labeling and the author’s prior published reviews. Searching for “cariprazine” using PubMed yielded 125 records, of which 15 were original reports of clinical trial data in persons with schizophrenia, and no appreciable affinity has been noted for the histamine H1 receptor.

**Pharmacology, mode of action, and pharmacokinetics of cariprazine**

Current FDA-approved pharmacological interventions for schizophrenia focus on antagonism or partial agonism at the dopamine D2 receptor and, in the case of second-generation (atypical) antipsychotics, antagonism at the serotonin 5HT2A receptor. However, the antipsychotics differ in terms of their pharmacodynamic profile by secondary binding characteristics at other receptors, with some of these affinities often being more robust (ie, associated with a lower binding constant or K_i) than for dopamine D2 or serotonin 5HT2A receptors. Cariprazine is a dopamine D2 and D3-receptor partial agonist with tenfold higher affinity for D3 receptors than for D2 receptors (K_i for D3 receptors 0.085 nM vs 0.49 nM and 0.69 nM for the two types of D2 receptors assayed). Intrinsic activity of cariprazine at dopamine D2 receptors is numerically lower than that for aripiprazole. Additional pharmacodynamic characteristics include partial agonism at the serotonin 5HT2B, 2A, and 1A receptors with high and moderate binding affinity (K_i 0.58 and 18.8 nM, respectively) and moderate affinity for the histamine H1 receptor, also as an antagonist (K_i 23.2 nM). Lower binding affinity has been noted for the serotonin 5HT2C and α1A-adrenergic receptors (K_i 134 and 155 nM, respectively), and no appreciable affinity has been noted for cholinergic muscarinic receptors (IC50 >1,000 nM).

The three commercially available dopamine-receptor partial agonists (aripiprazole, brexipiprazole, and cariprazine) have differing receptor-binding profiles, making them distinct molecular entities (see Table 2 located in reference 8).

A substantial amount of preclinical data is available supporting the potential therapeutic benefit of targeting dopamine D2 receptors. Theoretically, antagonism at D2 autoreceptors can enhance dopaminergic neurotransmission, especially in such brain areas as the prefrontal cortex, where dopamine release appears to be controlled by D3 receptors. With disinhibition of dopamine release, cortical circuits can be “tuned” to improve cognition, mood, and negative symptoms. In this process, acetylcholine release in the prefrontal cortex may be enhanced as well, which could also contribute to procognitive actions.

The pharmacokinetic profile of cariprazine is markedly different than that of other currently marketed antipsychotics. Although extensive metabolism by CYP3A4 (and to a lesser extent by CYP2D6) is not unusual, the ultimate active metabolite, dihydroxy-cariprazine (DDCAR), has a long half-life, described in product labeling as 1–3 weeks. Therefore, DDCAR is the predominant circulating active moiety. Following a single dose of 1 mg cariprazine, DDCAR remains detectable at 8 weeks postdose. This has important implications in terms of dosing and interpretation of clinical trial results, which will be discussed later. DDCAR has an in vitro receptor-binding profile similar to cariprazine (K_i 0.057 nM for dopamine D2 receptors and 1.41 and 2.64 nM for the two types of D2 receptors assayed), however, intrinsic activity at the dopamine D2 receptor for DDCAR has been reported to be about half that for cariprazine.

Additional details regarding the pharmacokinetic profile of cariprazine can be found in a report of a multicenter, randomized, open-label, parallel-group, fixed-dose (3, 6, or 9 mg/day) study of 28-week duration (≤4-week observation, 12-week open-label treatment, and 12-week follow-up), where cariprazine was administered once daily to 38 adult patients with schizophrenia. Steady state was reached within 1–2 weeks for cariprazine and DDCAR (the intermediate active metabolite that gets transformed to DDCAR), 4 weeks for DDCAR, and 3 weeks for total active moieties. Cariprazine and DDCAR levels decreased >90% within 1 week after the last dose, in contrast to DDCAR, which had decreased ~50% at 1 week. Total active moieties decreased ~90% within 4 weeks. In this study, the terminal half-lives of cariprazine, DDCAR, and DDCAR ranged 32–68, 30–38, and 314–446 hours (~2–3 weeks), respectively. The effective half-life (calculated from time to steady state) of total active moieties was...
approximately 1 week. Exposure was dose proportional over the range of 3–9 mg/day. The product label notes that mean concentrations of DCAR and DDCAR are approximately 30% and 400%, respectively, of cariprazine concentrations by the end of 12-week treatment.\textsuperscript{10} Figure 1 illustrates the key points regarding concentrations of cariprazine, DCAR, and DDCAR over time.

Time to peak concentration of cariprazine is 3–6 hours.\textsuperscript{10,16} Administration of a single dose of 1.5 mg cariprazine with a high-fat meal does not significantly affect maximum concentration or area under the concentration curve of cariprazine or DCAR.\textsuperscript{10} Cariprazine and its major active metabolites are highly bound (91%–97%) to plasma proteins.\textsuperscript{10}

Drug–drug interactions involving a strong CYP3A4 inhibitor will necessitate reduction of the cariprazine dose by half (for patients already taking 4.5 mg/day, dosage should be reduced to 1.5 or 3 mg/day, and for patients taking 1.5 mg daily, the dosing regimen should be adjusted to every other day).\textsuperscript{10} When initiating cariprazine in a person already on a strong CYP3A4 inhibitor, the patient should be administered 1.5 mg on days 1 and 3, with no dose administered on day 2. From day 4 onward, the dose should be administered at 1.5 mg/day, then increased to a maximum dose of 3 mg/day. When the CYP3A4 inhibitor is withdrawn, cariprazine dosage may need to be increased.\textsuperscript{10} Concomitant use of cariprazine and a CYP3A4 inducer has not been evaluated and is not recommended, because the net effect on the active drug and metabolites is unclear.\textsuperscript{10}

No dosage adjustment is required in the presence of CYP2D6 inhibitors or in persons who are poor CYP2D6 metabolizers.\textsuperscript{10} For patients with mild–moderate hepatic or renal impairment, no dosage adjustment is required. Cariprazine has not been studied in patients with severe hepatic or renal impairment, and is thus not recommended for such patients.\textsuperscript{10} No dosage adjustment for cariprazine is required based on age, sex, race, or smoking status.\textsuperscript{10} Although doses of cariprazine ≤12 mg/day have been assessed in the clinical trials described herein, the recommended maximum dose is 6 mg/day, because of a dose-related increase in certain adverse reactions, particularly at doses >6 mg/day.\textsuperscript{10}

**Efficacy and safety in acute schizophrenia**

Four similarly designed, short-term, randomized, placebo-controlled clinical trials in nonelderly adults with acute exacerbations of schizophrenia have been conducted and published,\textsuperscript{14–17} of which three are included in section 14 of the product label and considered supportive of efficacy.\textsuperscript{10,15–17} Table 1 provides an overview of all four studies. For consistency, statistical outcomes based on the mixed-effect model for repeated measures are presented for all studies, even though it was the primary method of analysis for only the two phase III studies.\textsuperscript{16,17}

In the three supportive pivotal trials,\textsuperscript{15–17} the mean age of participants was 38 years, ~70% were male, and ~40% were white. Mean body-mass index was 26 kg/m\textsuperscript{2}. A little more than 50% of subjects were in the US. The mean baseline Positive and Negative Syndrome Scale (PANSS) total score was about 97. All tested doses of cariprazine – 1.5, 3, 4.5, 6, 3–6, and 6–9 mg/day – were superior to placebo on reduction in PANSS total score, the primary outcome measure for each of the trials. Patients were also assessed using the Clinical Global Impression – severity (CGI-S) score, which was the key secondary end-point measure. Cariprazine was consistently superior to placebo on this outcome as well. A pooled analysis of CGI-S scores examining shifts, such as from extremely or severely ill (CGI-S ≥5) to mildly ill or better (CGI-S ≤3), demonstrated an advantage for cariprazine over placebo (OR 3.4, 95% CI 1.5–7.9).\textsuperscript{26} Of clinical relevance are observed effect sizes for antipsychotic response, as defined by change from baseline ≥30% in PANSS total score.\textsuperscript{15–17} Pooling together data for the approved dose range of cariprazine (1.5–6 mg/day) revealed a number needed to treat (NNT) vs placebo of ten (95% CI 7–19);\textsuperscript{11} however, in one trial the NNT vs placebo for response was as robust as six.\textsuperscript{15} Indirect comparisons with other antipsychotics

![Figure 1](https://www.dovepress.com/)

*Figure 1* Plasma concentration at trough (mean ± SE)–time profile during and following 12-weeks of treatment with cariprazine 6 mg/day.  
**Notes:** Reproduced from the product label.\textsuperscript{13} DDCAR is the predominant circulating moiety, representing about 70% of the total exposure. When treatment is discontinued, DDCAR concentrations persist longer than for cariprazine or DCAR.  
**Abbreviations:** CAR, cariprazine; DDCAR, didesmethyl-cariprazine; total CAR, summed concentration of cariprazine, DCAR, and DDCAR.
Randomized Comments on dose titration and tolerability

Fixed-dose 1.5, Flexible dose Placebo: Placebo: Fixed-dose 3, Placebo:

Table 1 Completed, randomized, placebo-controlled, phase II/III double-blind clinical trials of cariprazine for acute schizophrenia

| Study | Randomized to receive treatment | Cariprazine dose (and dose of active control if applicable) | Completion rate (%) | LS mean change at week 6 in PANSS total score (MMRM analysis) | Responder rates\* | Comments on dose titration and tolerability |
|-------|---------------------------------|-------------------------------------------------------------|-------------------|-------------------------------------------------------------|------------------|-----------------------------------------------|
| Durgam et al.\(^4\) | NCT00404573, RGH-MD-03, phase II | Flexible dose ranges 1.5–4.5 and 6–12 mg/day | 54 | Placebo: −1.300 1.5–4.5 mg/day: −1.799 6–12 mg/day: −1.683 6–12 mg/day: 35% NNT vs placebo as calculated: 9 and 25, respectively | Placebo: 31% 1.5–4.5 mg/day: 43% 6–12 mg/day: 35% NNT vs placebo as calculated: 9 and 25, respectively | Cariprazine dosing was initiated at 1.5 mg/day for all patients. Dose adjustments were based on investigator judgment of response and tolerability. In the low-dose group, cariprazine could be up titrated to 3 mg starting on day 3 and to a maximum dose of 4.5 mg starting on day 5. In the high-dose group, cariprazine dosage could be increased to 3 mg starting on day 3, 6 mg starting on day 5, 9 mg starting on day 7, and to a maximum dose of 12 mg by day 9. In patients with tolerability issues, reduction to a previous dose or a drug holiday of 1–2 days was allowed. Patients were required to be hospitalized for at least 3 weeks after randomization. AEs reported in ≥5% of the patients in either cariprazine group and at least twice the rate seen with placebo were akathisia (both groups), restlessness (1.5–4.5 mg/day), tremor (1.5–4.5 mg/day), back pain (1.5–4.5 mg/day), and EPS (6–12 mg/day). This was a failed study. Because there was no active comparator, it is not possible to state definitively that the study failed because of a high placebo response; however, the authors of the study noted that this study was conducted only at study centers in the US, whereas subsequent trials were conducted at both US and non-US study centers, and that increasing placebo response and decreasing treatment effect over time have been observed in schizophrenia trials conducted in the US. |
| Durgam et al.\(^5\) | NCT00694707, RGH-MD-16, phase II | Fixed-dose 1.5, 3, 4.5 mg/day (risperidone dose 4 mg/day) | 64 | Placebo: −1.3 1.5 mg/day: −21.3 3 mg/day: −21.5 4.5 mg/day: −23.8 Risperidone: −29.3 P-values for difference from placebo: ≤0.01, ≤0.01, ≤0.001, and ≤0.001, respectively | Placebo: 18.9% 1.5 mg/day: 31.4% 3 mg/day: 35.7% 4.5 mg/day: 35.9% Risperidone: 43.5% NNT vs placebo as calculated: 8, 6, 6, and 5, respectively | Cariprazine was initiated at 1.5 mg/day and increased by 1.5 mg until the target dose was reached (day 2 or 3), and risperidone was initiated at 2 mg/day and increased to 4 mg/day on day 3. Patients were required to be hospitalized for at least 4 weeks after randomization. AEs reported in ≥5% of the patients in any cariprazine group and at least twice the rate seen with placebo was akathisia in the 6 mg/day group. A 48-week open-label treatment extension was available to responders (NCT00839852; RGH-MD-17).\(^21\) |
| Durgam et al.\(^7\) | NCT01104766, RGH-MD-04, phase III | Fixed-dose 3, 6 mg/day (aripiprazole dose 10 mg/day) | 67 | Placebo: −1.43 3 mg/day: −20.2 6 mg/day: −23.0 Aripiprazole: −21.2 P-values for difference from placebo: ≤0.01, ≤0.01, and ≤0.001, respectively | Placebo: 19.5% 3 mg/day: 24.5% 6 mg/day: 31.8% Aripiprazole: 30.0% NNT vs placebo as calculated: 20, 9, and 10, respectively | Cariprazine was initiated at 1.5 mg/day, and dosing was increased by 1.5 mg/day until the target dose was achieved (day 2 and day 4 for cariprazine 3 and 6 mg/day, respectively). Patients were required to be hospitalized for at least 4 weeks after randomization. AE reported in ≥5% of the patients in either cariprazine group and at least twice the rate seen with placebo was akathisia in the 6 mg/day group. A 48-week open-label treatment extension was available to completers (NCT01104792; RGH-MD-11).\(^21\) |
| Study                | Cariprazine Dose | Placebo (%) |
|----------------------|------------------|-------------|
| Kane et al.          | 1.5 mg/day       | 24.8%       |
| NCT01104779, RGH-MD-05, phase III | 3-6 mg/day       | 25.9%       |
|                      | 6-9 mg/day       | 34.7%       |

**Notes:** All trials 6 weeks’ duration. Response reported using definition of ≥20% improvement from baseline in PANSS total score at week 6 in Durgam et al. and using definition of ≥30% improvement from baseline in PANSS total score at week 6 for the other three studies.

**Abbreviations:** AEs, adverse events; EPS, extrapyramidal symptoms; LS, least squares; MMRM, mixed-effect model for repeated measures; NNT, number needed to treat; PANSS, Positive and Negative Syndrome Scale.

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Cariprazine was initiated at 1.5 mg on day 1 and 3 mg on days 2 and 3. The 3–6 mg/day group remained at 3 mg until the end of week 2 of double-blind treatment. Starting on day 4, the 6–9 mg/day group received 6 mg until the end of week 2 of double-blind treatment. In cases of inadequate response (<20% improvement from baseline on PANSS total score and Clinical Global Impressions – severity score ≥4), cariprazine dose was increased at the end of week 2. In the 3–6 mg/day group, patients received 4.5 mg/day for days 14–15 and 6 mg/day thereafter. In the 6–9 mg/day group, patients received 7.5 mg/day for days 14–15 and 9 mg/day thereafter. Patients who did not qualify as inadequate responders or had significant tolerability issues did not receive a dose increase. Dosage was fixed from the end of week 3 to week 6. Patients were required to be hospitalized for at least 4 weeks after randomization.

AEs reported in ≥5% of patients in either cariprazine group and at least twice the rate seen with placebo were akathisia (both groups), restlessness (6–9 mg/day), EPS (both groups), dyspepsia (6–9 mg/day), constipation (3–6 mg/day), tremor (both groups), vomiting (6–9 mg/day), weight increase (6–9 mg/day), and diarrhea (3–6 mg/day). A 48-week open-label treatment extension was available to completers (NCT01104792; RGH-MD-11).

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Safety and tolerability data collected during the four acute trials in schizophrenia included the incidence of adverse events, vital signs, electrocardiography, and movement disorder scales. For cariprazine doses of 1.5 mg/day, rates of discontinuation because of an adverse event were overall lower for patients receiving cariprazine vs placebo (9% vs 12%).

As per the product label, there was no single adverse reaction leading to discontinuation that occurred at a rate ≥2%.
in cariprazine-treated patients and at least twice the rate of placebo. A pooled analysis of safety and tolerability is available using three modal daily dose groups (ie, most frequent dose taken by a patient during double-blind treatment): 1.5–3, 4.5–6, and 9–12 mg/day. The overall incidence of treatment-emergent adverse events vs placebo was similar for cariprazine 1.5–3 mg/day, but higher for cariprazine 4.5–6 and 9–12 mg/day, with a dose–response relationship observed for akathisia, extrapyramidal symptoms (EPS), and diastolic blood pressure. Regarding the latter, a shift from normotensive to stage I hypertension was observed in 2.0% of patients receiving placebo compared with 1.1%, 2.8%, and 6.8% for patients receiving 1.5–3, 4.5–6, and 9–12 mg/day of cariprazine, respectively. Patients in the modal dose >6 mg/day group showed a higher likelihood for weight increase, as well as higher rates of CPK and transaminase elevations. These observations on doses >6 mg/day resulted in the FDA approving a recommended dose range for schizophrenia of 1.5–6 mg/day.

From the pooled data, mean changes in metabolic parameters were generally similar in cariprazine-treated and placebo-treated patients. No prolactin level increase or QTc value >500 ms was noted. The incidence of orthostatic hypotension was similar for placebo (12.3%) and cariprazine (13.4%). No syncopal episodes were reported. Weight increase with cariprazine overall was 1.1 kg compared with 0.3 kg for placebo-treated patients. Weight increase ≥7% occurred in 9.2% of cariprazine-treated patients and 4.7% of placebo-treated patients at any time during double-blind treatment, for a calculated number needed to harm (NNH) vs placebo of 23 (95% CI 15–46). Within the recommended dose range of 1.5–6 mg/day, mean weight gain was ≤1 kg for cariprazine, and proportions with ≥7% increase in weight were 7.6% and 7.7% for the 1.5–3 and 4.5–6 mg/day groups, respectively, yielding a NNH vs placebo of 35 (95% CI 18–443), compared to placebo. Shift rates for fasting glucose normal (100 mg/day/L) to high (>126 mg/day/L) were 6.7% for placebo compared with 7.4%, 9.8%, and 2.7% of patients receiving modal doses of cariprazine 1.5–3, 4.5–6, and 9–12 mg/day, respectively, and thus did not follow a dose response. Difficult to interpret without more context is an absolute increase for fasting glucose ≥10 mg/day/L, found in 35.2%, 41.3%, 49.8%, and 50.3% of patients receiving placebo or modal doses of cariprazine 1.5–3, 4.5–6, and 9–12 mg/day, respectively. As found in a large epidemiological study, elevated fasting glucose level within the normal range can be an independent predictor of cardiovascular disease in men and of type 2 diabetes mellitus in both women and men.

Table 2 is a list of spontaneously reported adverse events associated with the use of cariprazine (incidence ≥5% in any single cariprazine modal dose group and cariprazine incidence greater than placebo) observed in acute trials in schizophrenia and reported in product labeling and the published pooled analysis, together with their respective values for NNH vs placebo. As per the product label, the most common adverse reactions (incidence ≥5% and double or more the rate of placebo) for patients with schizophrenia were EPS and akathisia. Within the dose range of 4.5–6 mg/day, NNH values vs placebo were as strong as 9 (95% CI 7–14) for EPS and 12 (95% CI 9–18) for akathisia. The product label notes that adverse events may first appear several weeks after the initiation of treatment, probably because plasma levels of cariprazine and its major metabolites accumulate over time.

Published tolerability data focusing on only cariprazine 1.5 mg/day are limited to one of the acute clinical trials. Table 3 provides NNH values vs placebo for adverse events reported in that trial using two methods: comparison with the placebo group from that study alone and comparison with placebo groups pooled across the four acute studies. In general, cariprazine 1.5 mg/day appears well tolerated. The strongest NNH values observed were for constipation when compared with placebo from the single study (NNH 16, 95% CI 9–133) and akathisia when compared with placebo data pooled from all four available studies (NNH 19, 95% CI 10–209). The NNH value for akathisia for the 1.5 mg/day dose is about the same as for the 1.5–3 mg/day modal dose group (Table 2).

A meta-analysis is available of the tolerability and safety profile of cariprazine in the management of any mental disorder. Included in the meta-analysis are data from nine randomized clinical trials of up to 8 weeks in duration, including
### Table 2: Potentially relevant adverse events associated with the use of cariprazine

| Adverse event          | Placebo (n=584) | Cariprazine modal dose group | Cariprazine modal dose group | Overall (n=1,317) |
|------------------------|----------------|-----------------------------|-----------------------------|------------------|
|                        | n (%)          | NNH (95% CI)                | n (%)                       | NNH (95% CI)     |
|                        | n (%)          | NNH (95% CI)                | n (%)                       | NNH (95% CI)     |
|                        | n (%)          | NNH (95% CI)                | n (%)                       | NNH (95% CI)     |
| Extrapyramidal symptoms| 45 (7.7)       | 14 (10–30)                  | 108 (18.8)                  | 9 (7–14)         | 41 (20.2)      | 8 (6–16)      | 229 (17.4) | 11 (8–15) |
| Headache               | 74 (12.7)      | 65 (11.3)                   | 37 (18.2)                   | 18 (NS)          | 148 (1.1)     | NA            |           |           |
| Insomnia               | 64 (11.0)      | 74 (12.9)                   | 23 (11.3)                   | 270 (NS)         | 162 (1.2)     | 75 (NS)       |           |           |
| Somnolence             | 32 (5.5)       | 46 (8.0)                    | 21 (10.3)                   | 40 (NS)          | 95 (7.2)      | 58 (NS)       |           |           |
| Akathisia              | 21 (3.6)       | 72 (12.5)                   | 28 (13.8)                   | 10 (7–20)        | 149 (1.3)     | 13 (10–19)   |           |           |
| Constipation           | 29 (5.0)       | 19 (12–38)                  | 12 (9–18)                   | 21 (11–348)      | 90 (6.8)      | 54 (NS)       |           |           |
| Abdominal pain         | 28 (4.8)       | NA                          | 45 (7.4)                    | 39 (NS)          | 57 (4.3)      | NA            |           |           |
| Nausea                 | 29 (5.0)       | 27 (4.3)                    | 15 (7.4)                    | 39 (NS)          | 57 (4.3)      | NA            |           |           |
| Restlessness           | 18 (3.1)       | 22 (12–236)                 | 20 (9.9)                    | 21 (11–236)      | 90 (6.8)      | 54 (NS)       |           |           |
| Anxiety                | 24 (4.1)       | 33 (5.7)                    | 11 (5.4)                    | 43 (NS)          | 65 (4.9)      | 54 (28–3,643)|           |           |
| Hypertension           | 6 (1.0)        | 73 (NS)                     | 64 (33–2,325)               | 19 (12–53)       | 41 (3.1)      | 48 (30–119)  |           |           |
| Vomiting               | 20 (3.4)       | 29 (5.0)                    | 11 (5.4)                    | 51 (NS)          | 62 (4.7)      | 78 (NS)       |           |           |
| Dizziness              | 12 (2.1)       | 91 (NS)                     | 30 (15–2,279)               | 36 (21–141)      | 56 (4.3)      | 46 (27–164)  |           |           |
| Toothache              | 21 (3.6)       | 38 (20–340)                 | 11 (5.4)                    | 43 (NS)          | 65 (4.9)      | 54 (28–3,643)|           |           |
| Weight increase        | 8 (1.4)        | 27 (4.7)                    | 6 (3.0)                     | NA               | 64 (4.9)      | 134 (NS)      |           |           |

**Notes:** Incidence ≥5% in any cariprazine group and greater than placebo observed in acute trials for schizophrenia. Data from Earley et al.\(^{24}\) NNH values calculated by the author.

**Abbreviations:** CI, confidence interval; NA, not applicable (adverse-event rate higher for placebo); NNH, number needed to harm; NS, not significant at the p < 0.05 threshold and thus the 95% CI is not shown.
the four acute studies in patients with schizophrenia,14-17 the three pivotal studies for acute mania,53-55 and a study in bipolar depression,60 as well as a study in major depressive disorder,61 for a total of 4,324 subjects. Consistent with the data already presented for short-term studies in acute schizophrenia, the risk of discontinuation due to adverse events for cariprazine was similar to that for placebo (RR 1.13, 95% CI 0.77–1.66). Across all the studies, cariprazine was associated with higher risks of EPS-related events than placebo, including risk of akathisia (RR 3.92, 95% CI 2.83–5.43), tremor (RR 2.43, 95% CI 1.53–3.79), and restlessness (RR 2.17, 95% CI 1.93–3.40). The cariprazine-treatment group was more likely to have clinically significant weight gain (RR 1.68, 95% CI 1.12–2.52), but no statistically significant differences in results were found in other metabolic parameters or cardiovascular-related events. There were no statistically significant effects on prolactin level.

### Longer term safety

A single-arm, open-label, extension study evaluated the longer term safety and tolerability of cariprazine 1.5–4.5 mg/day in 93 patients with schizophrenia.21 Participants had completed the acute study that examined fixed doses of cariprazine 1.5, 3, and 4.5 mg/day,15 and were also required to have responded to treatment (as defined by CGI-S ≤3 and ≥20% reduction in PANSS total score) in that study. Approximately 50% of patients completed the 48 weeks of open-label treatment. Cariprazine 4.5 mg/day was the final dose for 70% of patients and was also the modal dose in 67.7%: 24.7% and 7.5% of patients had modal daily doses of 3 and 1.5 mg/day, respectively. Common adverse events included were akathisia (14%), insomnia (14%), and weight increase (12%); 11% discontinued due to adverse events, none being akathisia or weight gain, and one patient discontinued because of insomnia. Mean changes in metabolic parameters were generally small and not clinically relevant. No patients shifted from normal/borderline levels of total or LDL cholesterol to high levels. Shifts from normal HDL-cholesterol levels to low levels occurred in about 23% of patients. About 14% of patients shifted from normal/borderline to high levels of triglycerides and about 4% with normal fasting glucose levels at baseline shifted to high levels. About 29% of patients had an increase in fasting glucose ≥10 mg/dL. Mean body weight increased by 1.9 kg from the start of the lead-in study to the end of the extension study. Potentially clinically significant weight gain (≥7% increase from lead-in baseline) was experienced by 33% of patients, and 5% experienced weight increase ≥15%. Most patients who experienced ≥7% weight increase were normal or underweight at baseline. There were no discontinuations associated with change in metabolic parameters or body weight. Prolactin elevation or clinically significant changes in cardiovascular parameters were not observed. No patient had a QTc increase ≥60 ms or a postbaseline value >500 ms. There were no clinically significant changes in ophthalmologic parameters, including intraocular pressure, color discrimination, visual acuity, or lens opacity. An adverse event of cataract was noted; however, this resolved during the study, was not felt to represent an actual pathological event, and was likely due to variability on the part of the examiner.

### Table 3: Potentially relevant adverse events associated with the use of cariprazine 1.5 mg/day as observed in Durham et al.56

| Adverse event       | Cariprazine 1.5 mg/day (n=145) | Placebo group (n=151) | Pooled placebo group (n=584) |
|---------------------|-------------------------------|-----------------------|-----------------------------|
|                     | n (%)                         | n (%)                 | NNH (95% CI)                | n (%)                         | NNH (95% CI)                |
| Extrapyramidal symptoms | 13 (9.0)                     | 7 (4.6)               | 24 (NS)                     | 45 (7.7)                     | 80 (NS)                     |
| Headache            | 16 (11.0)                     | 16 (10.6)             | 229 (NS)                    | 74 (12.7)                    | NA                          |
| Insomnia            | 15 (10.3)                     | 11 (7.3)              | 33 (NS)                     | 64 (11.0)                    | NA                          |
| Somnolence or sedation | 7 (4.8)                      | 5 (3.3)               | 66 (NS)                     | 32 (5.5)                     | NA                          |
| Akathisia           | 13 (9.0)                      | 7 (4.6)               | 24 (NS)                     | 21 (3.6)                     | 19 (10-209)                 |
| Constipation        | 14 (9.7)                      | 5 (3.3)               | 16 (9–133)                  | 29 (5.0)                     | 22 (NS)                     |
| Nausea              | 7 (4.8)                       | 5 (3.3)               | 66 (NS)                     | 29 (5.0)                     | NA                          |
| Anxiety             | 6 (4.1)                       | 5 (3.3)               | 121 (NS)                    | 24 (4.1)                     | 3,529 (NS)                  |
| Vomiting            | 4 (2.8)                       | 5 (3.3)               | NA                          | 20 (3.4)                     | NA                          |
| Dizziness           | 5 (3.4)                       | 3 (2.0)               | 69 (NS)                     | 12 (2.1)                     | 72 (NS)                     |
| Weight increased    | 3 (2.1)                       | 1 (0.7)               | 72 (NS)                     | 8 (1.4)                      | 143 (NS)                    |

**Note:** Data for pooled placebo group was taken from Earley et al56 and NNH values were calculated by the author.

**Abbreviations:** CI, confidence interval; NA, not applicable (adverse-event rate higher for placebo); NNH, number needed to harm; NS, not significant at the p<0.05 threshold and thus the 95% CI is not shown.
A second open-label study evaluated the long-term safety and tolerability of cariprazine 3–9 mg/day in 586 patients with schizophrenia.22 Participants included both new patients (n=235) and patients who had completed one of the two phase III acute studies (n=351).16,17 Approximately 39% of patients completed the 48 weeks of open-label treatment. The most frequent modal daily dose was cariprazine 6 mg/day (50.9%), followed by 9 mg/day (25.3%) and 3 mg/day (22.9%). Common adverse events included akathisia (16%), headache (13%), insomnia (13%), and weight increase (10%); 12.5% discontinued due to adverse events, with <1% discontinuing due to akathisia. Mean cholesterol and triglyceride levels decreased; however, an increase of almost 5 mg/day/L in glucose was observed. Shifts from normal/borderline to high cholesterol levels were observed in about 5% of patients, shifts from normal/borderline to high LDL-cholesterol levels were observed in about 3% of patients, shifts from normal to low HDL-cholesterol levels were observed in about 12% of patients, shifts from normal/borderline to high triglyceride levels were observed in about 8% of patients, and for fasting glucose, shifts from normal/impaired to high levels were observed in about 6% of patients. Mean body weight increased by 1.5 kg from the start of the lead-in study to the end of the extension study. About 26% of patients had ≥7% increase from baseline in body weight, with patients categorized as underweight at baseline having the highest percentage of clinically significant weight gain (40%).

Prolactin elevation or clinically significant changes in cardiovascular parameters were not observed. No retinal toxicity or cataracts were observed. One (0.2%) patient had a postbaseline QTcF value >500 ms, and three (0.5%) patients had QTcB postbaseline values >500 ms. An increase from baseline >60 ms in QTcF or QTcB values occurred in two (0.3%) and seven (1.2%) patients, respectively. Pooled data from both 48-week open-label safety studies21,22 are reported separately in a third publication.23 The pattern of results remain the same as described earlier.

Relapse prevention
A supplemental new-drug application for cariprazine for the maintenance treatment of adults with schizophrenia was approved by the FDA in November 2017,69 based on a placebo-controlled, randomized withdrawal study in nonelderly adult patients with schizophrenia.19,20 See also Table 4. To participate in the trial, patients were required to be acutely ill at screening. During the 20-week open-label treatment phase, patients received cariprazine 3–9 mg/day (starting at 1.5 mg/day on day 1). In order to be randomized to either continue cariprazine or to receive placebo, patients were required to meet prespecified stability criteria. Once randomized, the double-blind phase consisted of 26–72 weeks of fixed-dose treatment. The primary efficacy outcome was time to first relapse during the double-blind phase. Relapse was defined as meeting any of several operational criteria (worsening of symptom scores, psychiatric hospitalization, aggressive/violent behavior, or suicidal risk). A total of 264 patients of 765 (34.5%) completed the open-label phase, and 200 patients were randomized. Demographic and baseline characteristics of the participants entering the open-label phase were similar to those for the acute short-term trials described earlier. Baseline PANSS score at the start of the double-blind phase was 51. At randomization, 14 patients were taking cariprazine 3 mg/day, 37 patients were taking 6 mg/day, and 50 patients were taking 9 mg/day. Based on Kaplan–Meier analysis, time to relapse was significantly longer for patients who continued cariprazine than for patients randomized to placebo (HR 0.45, 95% CI 0.28–0.73). Observed relapse rates were 24.8% for cariprazine vs 47.5% for placebo, for a NNT of five (95% CI 3–11).

The study protocol had the provision that subjects should meet the specified relapse criterion at a second assessment to be conducted 4–7 days after first meeting the criterion; however, the principal investigator had the discretion not to perform this second assessment based on safety reasons. Therefore, a sensitivity analysis that ensured that the first date of relapse was consistently applied was conducted in response to an FDA request,20 and the results of this are contained in product labeling10 (HR 0.52, 95% CI 0.33–0.82; Figure 2). Revised observed relapse rates were 29.7% for cariprazine vs 49.5% for placebo, for a NNT of six (95% CI 3–16). These NNT values are similar to what has been reported for other first-line second-generation antipsychotics.70

As reported in the original analysis,10 the 25th percentile for time to relapse was 92 days in the placebo group and 224 days in the cariprazine group. The 50th percentile (median) was 296 days for the placebo group and could not be calculated for the cariprazine group, because of the low number of relapse events. Of note, between-group separation of the curves did not occur until around day 50, possibly because of the long half-life of cariprazine (and specifically DDCAR), lending some extended protection against the risk of relapse, similar to what was observed when examining this phenomenon in similarly designed randomized-withdrawal studies conducted for paliperidone extended release oral vs 1-month paliperidone palmitate vs 3-month paliperidone palmitate.71
Table 4 Completed, longer term, randomized, controlled, phase III double-blind clinical trials of cariprazine for schizophrenia

| Study | Randomized, n | Cariprazine dose (and dose of active control if applicable) | Comments, including regarding dose titration and tolerability |
|-------|----------------|-------------------------------------------------|--------------------------------------------------|
| Durgam et al, NCT01412060, RGH-MD-06 | 200 | Flexible-dose range 3–9 mg/day About 50% of all cariprazine patients were receiving 9 mg/day at randomization, 37% 6 mg/day, and 14% 3 mg/day | The aim of this study was to assess longer term maintenance treatment with cariprazine. The study included 20 weeks of open-label treatment with cariprazine for all patients, followed by a variable-length randomized phase where stable patients received either cariprazine or placebo. Cariprazine was started at 1.5 mg/day and increased to 3 mg/day on day 2. For patients with inadequate response and no significant tolerability issues, dosage increases were allowed on day 6 (6 mg/day [interim increase to 4.5 mg/day on day 4]) and day 10 (9 mg/day) if needed. Dose decreases to 3 or 6 mg/day were allowed for significant tolerability issues. During double-blind treatment, cariprazine was administered at the same fixed dose as in the stabilization phase. Patients were required to be hospitalized during screening and for the first 2 weeks in the run-in phase. The most commonly observed AEs during the open-label phase were akathisia (19%), insomnia (14%), and headache (12%). During open-label treatment, akathisia and other EPS AEs (excluding akathisia or restlessness) each led to discontinuation in ~1% of patients. No EPS-related AEs led to discontinuation during double-blind treatment. |
| Németh et al, EudraCT 2012-005485-36, RGH-188–005 | 461 | Fixed dose 4.5 mg/day (risperidone dose 4 mg/day) | The aim of this study was to assess the efficacy of cariprazine on negative symptoms. From randomization (day 0) to day 6, patients received cariprazine 1.5 mg/day or risperidone 2 mg/day. On days 7–13, patients received 3 mg/day of their respective study drug, and on day 14, patients received the target dose of cariprazine 4.5 mg/day or risperidone 4 mg/day. Antipsychotic treatment taken during the lead-in period was downtitrated during this period and discontinued on day 14. The dose of the double-blind study medication could be decreased to 3 mg/day in case of poor tolerability. In cases of impending psychotic deterioration, the dose could be increased to 6 mg/day. The mean dose for cariprazine was 4.2 mg/day and that for risperidone 3.8 mg/day. AEs (e.g., insomnia, akathisia, worsening of schizophrenia, headache, anxiety) were reported in 54% of patients treated with cariprazine and 57% of patients treated with risperidone. The most common AE for cariprazine was akathisia (8%), which was also observed for 5% of persons randomized to risperidone. |

Abbreviations: AEs, adverse events; EPS, extrapyramidal symptoms.
The most commonly observed adverse events during the open-label phase were akathisia (19%), insomnia (14%), and headache (12%). During open-label treatment, akathisia and other EPS adverse events (excluding akathisia or restlessness) each led to discontinuation in ~1% of patients, while no EPS-related adverse events led to discontinuation during double-blind treatment. Changes from baseline in lipid parameters at the end of open-label and double-blind treatment were generally not clinically relevant. There were no clinically relevant mean changes in blood pressure, and no patient had a QTc of >500 ms. Weight gain $7% was reported in 11% of open-label patients, and in 32% of placebo-treated patients and 27% of cariprazine-treated patients during double-blind treatment.

Negative symptoms
Cariprazine’s European product label, the summary of product characteristics,\(^72\) includes support for cariprazine’s efficacy for the treatment of predominantly negative symptoms in patients with schizophrenia based on a 26-week double-blind randomized study comparing cariprazine 4.5 mg/day with risperidone 4 mg/day in 460 nonelderly adult patients.\(^27\) See also Table 4. There was no placebo control. In this study, patients were required to have a PANSS negative-factor score\(^73\) (NFS) $24, with single-item scores of at least moderate on selected symptoms, such as blunted affect, passive/apathetic social withdrawal, and lack of spontaneity and flow of conversation. Excluded were patients with a hospital admission or an acute exacerbation of schizophrenia within the last 6 months prior to the study, a PANSS positive-factor score $19, significant positive- or negative-symptom fluctuations (ie, instability) during the prospective lead-in period, treatment with clozapine in the 12 months prior to the study, moderate–severe depressive symptoms, clinically relevant parkinsonian symptoms, or treatment with antidepressant medications and/or anticholinergic medications used to treat abnormal movements. After randomization, patients were uptitrated in 2 weeks to the target dose of cariprazine 4.5 mg/day or risperidone 4 mg/day, but at the end of week 3 and at every subsequent visit, the dose of the double-blind study medication could be decreased to 3 mg/day in cases of poor tolerability. After randomization, patients were uptitrated in 2 weeks to the target dose of cariprazine 4.5 mg/day or risperidone 4 mg/day, but at the end of week 3 and at every subsequent visit, the dose of the double-blind study medication could be decreased to 3 mg/day in cases of poor tolerability. After randomization, patients were uptitrated in 2 weeks to the target dose of cariprazine 4.5 mg/day or risperidone 4 mg/day, but at the end of week 3 and at every subsequent visit, the dose of the double-blind study medication could be decreased to 3 mg/day in cases of poor tolerability. After randomization, patients were uptitrated in 2 weeks to the target dose of cariprazine 4.5 mg/day or risperidone 4 mg/day, but at the end of week 3 and at every subsequent visit, the dose of the double-blind study medication could be decreased to 3 mg/day in cases of poor tolerability. After randomization, patients were uptitrated in 2 weeks to the target dose of cariprazine 4.5 mg/day or risperidone 4 mg/day, but at the end of week 3 and at every subsequent visit, the dose of the double-blind study medication could be decreased to 3 mg/day in cases of poor tolerability. Mean age was 40 years, and 57% of patients were male. Overall, 77% of patients completed the double-blind treatment period. The mean daily dose for cariprazine was 4.2 mg and that for risperidone 3.8 mg. The modal dose (excluding the uptitration period) was the target dose (ie, 4.5 mg/day
for cariprazine and 4 mg/day for risperidone) for 95% of patients treated with either antipsychotic. Cariprazine was superior to risperidone on both the primary (PANSS-NFS) and key secondary outcomes (Personal and Social Performance Scale). PANSS-NFS responder rates at week 26 (as defined by ≥20% decrease in baseline score) were 69% for cariprazine vs 58% for risperidone, resulting in a NNT of nine (95% CI 5–44). For outcome variables analyzed to assess pseudospecific effects (change from baseline for PANSS positive symptoms, depression, and parkinsonian symptoms), changes were small and similar for cariprazine and risperidone. These results thus excluded indirect effects related to positive, depressive, or EPS improvement as a factor in negative-symptom improvement. Quality-adjusted life-year gain was also modeled, and resulted in an estimated quality-adjusted life-year gain of 0.029 per patient after 1 year of treatment.28 The most common adverse event for cariprazine was akathisia (8%), which was also observed for 5% of persons randomized to risperidone.

**Conclusion**

Cariprazine is notable for being a dopamine-receptor partial agonist with a tenfold higher affinity for dopamine D2 vs D3 receptors;10,13,36 this differs from other available antipsychotics, and has theoretical advantages in people with schizophrenia based on preclinical data. This is also supported by a single study27 demonstrating superiority over risperidone in the treatment of predominantly negative symptoms in patients with schizophrenia; however, the effect size was small and the study requires replication. Cariprazine is also approved in the US for the acute treatment of manic or mixed episodes associated with bipolar I disorder in adults.10,26,33–39 An active clinical development plan includes studies in bipolar I depression60 and major depressive disorder.61

Cariprazine also differs from other oral antipsychotics in terms of the extended half-life of its major active metabolite – DDCAR.10,18 DDCAR is the predominant circulating moiety, representing about 70% of the total exposure. An extended half-life carries important implications for dosing, as rapid increases in dose may be premature and possibly result in poorer tolerability. A long half-life also makes the interpretation of the short-term acute trials more difficult, as steady state is not reached for some time and changes in dose will not be fully reflected in plasma for several weeks.10 However, a long half-life may provide a degree of protection when doses are occasionally missed. In the randomized-withdrawal relapse study,19 between-group separation of the curves did not occur until around day 50. Of note, cariprazine is the only antipsychotic with instructions allowing dosing every other day (1.5 mg/day for coadministration with a CYP3A4 inhibitor). Under usual circumstances for patients with schizophrenia, the recommended dose range is 1.5–6 mg once daily, with a starting dose of 1.5 mg once daily with or without food. The product label does not provide guidance as to a preferred time of day when cariprazine should be administered. As described in detail earlier, the maximum

Table 5 NNH vs placebo for approved first-line oral second-generation antipsychotics in adults, as observed in acute short-term studies for schizophrenia

| Antipsychotic                     | NNH for weight gain ≥7% | Rank order | NNH for somnolence adverse events | Rank | NNH for akathisia adverse events | Rank | Average of ranking values |
|-----------------------------------|--------------------------|------------|-----------------------------------|------|---------------------------------|------|---------------------------|
| Cariprazine (to 6 mg/day)         | 34                       | 3          | 100b                              | 1    | 15                              | 8    | 4.0                       |
| Brexpiprazole                     | 17                       | 7          | 50b                              | 2    | 112                             | 3    | 4.0                       |
| Aripiprazole                      | 21                       | 5          | 20b                              | 4    | 25                              | 7    | 5.3                       |
| Risperidone (to 8 mg/day)         | 18                       | 6          | 13                               | 8    | 15                              | 8    | 4.7                       |
| Olanzapine                        | 6                        | 10         | 7b                               | 11   | 25                              | 7    | 9.3                       |
| Quetiapine immediate release      | 6                        | 10         | 10b                              | 10   | NA                              | 1    | 7.0                       |
| Quetiapine extended release       | 22                       | 4          | 7                                | 11   | 188                             | 2    | 5.7                       |
| Ziprasidone                       | 16                       | 8          | 15                               | 7    | 100                             | 4    | 6.3                       |
| Paliperidone                      | 35                       | 2          | 42                               | 3    | 39                              | 5    | 3.3                       |
| Iloperidone                       | 10                       | 9          | 16                               | 6    | NA                              | 1    | 5.3                       |
| Asenapine                         | 35                       | 2          | 17                               | 5    | 34                              | 6    | 4.3                       |
| Lurasidone                        | 67                       | 1          | 11                               | 9    | 10                              | 9    | 6.3                       |

Notes: *Table adapted (NNH values extracted),10 with permission; ‡somnolence, sedation, hypersomnia; †reported in product labeling for schizophrenia and bipolar mania pooled together.*

Abbreviations: NA, not applicable (no difference or rate with medication lower than rate with placebo); NNH, number needed to harm.
recommended daily dose is 6 mg based on observations made during the short-term controlled trials, where dosages >6 mg daily did not confer increased effectiveness sufficient to outweigh dose-related adverse reactions.

Overall tolerability is promising, with the risk of discontinuation due to adverse events lower than that observed for placebo in the acute trials for schizophrenia.14–17 Elevations in prolactin were not observed, and no clinically relevant effects on the electrocardiographic QT interval were evident. As with all second-generation antipsychotics, monitoring individual patients for alterations in weight and metabolic shifts is necessary.24 Using data from prior analyses,8,11 Table 5 provides ranking of NNH values for clinically relevant weight gain, adverse events of somnolence, and adverse events of akathisia vs placebo for all first-line second-generation oral antipsychotics, as observed in short-term studies in adults for schizophrenia and calculated from product labeling. Except for akathisia, cariprazine appears to have favorable (ie, higher) NNH values than some of the other agents. Of interest are the NNH values for somnolence, where cariprazine appears best in class with a ranking of 1. When contrasting the three available dopamine-receptor partial agonists, the order of propensity for weight gain appears to be brexpiprazole > aripiprazole > cariprazine, propensity for somnolence aripiprazole > brexpiprazole > cariprazine, and propensity for akathisia cariprazine > aripiprazole > brexpiprazole. These indirect comparisons will need to be confirmed by appropriately designed head-to-head clinical trials.8 The averages of the ranking values are also shown (lower numbers are best) and give an idea of overall tolerability for each antipsychotic. Although most of the antipsychotics have similar average ranking values, an individual patient’s concerns for each of the different tolerability items would likely influence the choices considered acceptable, and in any event weighed against efficacy considerations for that individual patient.5 An additional caveat is that the adverse-event rates reported here do not take into account severity or duration of the event: short-lived and perhaps easily manageable adverse events will be less likely problematic than adverse events such as weight gain, which can be persistent and more difficult to ameliorate. Another caveat is that adverse-event rates, and thus the NNH values derived from them, can vary by therapeutic indication.25-26

In general, despite the availability of many antipsychotics for the treatment of schizophrenia, this disorder is complex and often difficult to treat. As noted, antipsychotics vary in terms of tolerability and safety concerns,2 and patients themselves differ in terms of preexisting risk factors and comorbidities, which make drug selection challenging.5,77 Having an additional choice is welcome.

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