Volume preserving mean curvature flow of revolution hypersurfaces between two equidistants

Esther Cabezas-Rivas · Vicente Miquel

Abstract In a rotationally symmetric space $\mathcal{M}$ around an axis $\mathcal{A}$ (whose precise definition is satisfied by all real space forms), we consider a domain $G$ limited by two equidistant hypersurfaces orthogonal to $\mathcal{A}$. Let $M \subset \mathcal{M}$ be a revolution hypersurface generated by a graph over $\mathcal{A}$, with boundary in $\partial G$ and orthogonal to it. We study the evolution $M_t$ of $M$ under the volume-preserving mean curvature flow requiring that the boundary of $M_t$ rests on $\partial G$ and stays orthogonal to it. We prove that: (a) the generating curve of $M_t$ remains a graph; (b) the flow exists as long as $M_t$ does not touch the rotation axis; (c) under a suitable hypothesis relating the enclosed volume and the area of $M$, the flow is defined for every $t \in [0, \infty[$ and a sequence of hypersurfaces $M_{tn}$ converges to a revolution hypersurface of constant mean curvature. Some key points are: (i) the results are true even for ambient spaces with positive curvature, (ii) the averaged mean curvature does not need to be positive and (iii) for the proof it is necessary to carry out a detailed study of the boundary conditions.

Mathematics Subject Classification (2010) 53C44
1 Introduction and main results

1.1 Background about volume preserving evolution

A family of immersions \( X_t : M \rightarrow \overline{M} \), \( t \in [0, T] \), of an \( n \)-dimensional compact manifold \( M \) into an \((n+1)\)-dimensional Riemannian manifold \((\overline{M}, \overline{g})\) is called a Volume Preserving Mean Curvature Flow (VPMCF) if it is a solution of the equation

\[
\frac{\partial X_t}{\partial t} = (\overline{H}_t - H_t) N_t,
\]

where \( \overline{H}_t \) is the averaged mean curvature \( \overline{H}_t = \frac{\int_M H_t d\mu_t}{|M_t|} \) of the immersion \( X_t \), \( d\mu_t \) is the canonical volume element of the Riemannian manifold \( M_t = (M, X^*t \overline{g}) \), \( |M_t| \) its \( n \)-volume (which we shall call “area”), \( N_t \) the unit normal vector field pointing outward (if each \( X_t(M) \) encloses a domain \( \Omega_t \)) and \( H_t \) the mean curvature of the immersion \( X_t \), with the following conventions: the Weingarten map \( L_t : TM \rightarrow TM \) is given by \( L_t Z = \nabla Z N_t \) and \( H_t \) is its trace. Sometimes we shall also use the notation \( M_t = X_t(M) \).

The presence of the global term \( \overline{H} \) in Eq. 1.1 has two major consequences: (a) it keeps the enclosed volume constant while the area decreases, and (b) makes the usual techniques in geometric flows (e.g. the application of maximum principles) either fail or become more subtle. The resultant evolution problem is particularly appealing -since from (a) it is specially well suited for applications to the isoperimetric problem- and challenging because (b) causes numerous extra complications; for instance, a basic principle for the ordinary mean curvature flow (the comparison principle) fails in general for (1.1), e.g., an initially embedded curve may develop self-intersections (cf. [21]). Hence the present knowledge of this flow is considerably poorer than that of the unconstrained evolution.

The VPMCF has been studied under convexity assumptions for an initial closed hypersurface either within a Euclidean or Hyperbolic ambient space (cf. [6,16], respectively). There is intuitive evidence, as pointed out by G. Huisken in [16], that the preservation of convexity may fail in ambient manifolds with positive curvature. One can also find stability results: if the initial hypersurface is close enough to a model constant mean curvature hypersurface, then it flows to one model (see [1,6,11,19]).

After dealing with convexity assumptions, it is natural to wonder whether there is another natural geometric condition, invariant under (1.1), which still softens the problems caused by the global term. A good choice seems to take the initial \( M \) to be a revolution hypersurface generated by the graph of a function over the axis of revolution of \( M \). This was done for the Euclidean space in [2,3]. Later on, in [7], we considered \( M \) within a wider family of ambient spaces (including the Euclidean and the Hyperbolic ones) for which it still makes sense the notion of revolution hypersurface around an axis \( A \).

The papers [2,3,7] study the evolution under (1.1) of \( M \) as above, whose boundary intersects orthogonally two totally geodesic hypersurfaces \( \pi_{tg} \) orthogonal to \( A \); one also requires that the evolving hypersurface meets \( \pi_{tg} \) orthogonally at each time. When \( \overline{M} \) is not Euclidean, it is imposed that some of its sectional curvatures be negative. It is proved that:

A As long as the evolving hypersurface does not touch \( A \), the flow exists and the generating curve remains a graph over \( A \).

B Under a hypothesis relating the enclosed volume to the area of \( M \), we achieve long time existence, and convergence of a certain sequence \( M_{tn} \) to a revolution hypersurface of constant mean curvature.
In the Euclidean space, the hypersurfaces $\pi_{tg}$ are parallel hyperplanes, so they are at constant distance from each other; however, this is no longer true in the more general ambient spaces studied in [7]. Then it is natural to address the same problem, but considering regions limited by hypersurfaces at constant distance.

The main concern of the present paper will be the proof of the statements corresponding to A and B when changing $\pi_{tg}$ by equidistant limiting hypersurfaces. To understand some interesting issues arising in the new setting (cf. Sect. 1.3), it is important to highlight the following facts about the proofs of A and B in [2,3,7].

1. An isometry of the ambient space allows to extend the problem to another bigger domain with symmetry so that the original boundary points become interior points and the maximum principle applies. Accordingly, the boundary of the evolving hypersurface does not cause any extra complication.

2. We need the non-positivity of some sectional curvatures of the ambient space for our results to work.

3. The geometry of the problem implies that the evolving manifolds have positive averaged mean curvature. This is necessary for proving the preservation of the generating curve as a graph in [7, Theorem 5]. Sometimes, this is also a usual restriction asked to get a friendlier flow behavior (cf. [19]).

1.2 Suitable ambient spaces

Here we give precise definitions of the ambient spaces where we consider the evolution, and also of the concept of revolution hypersurface in them.

**Definition 1** An $(n + 1)$-dimensional rotationally symmetric space (RSS) with respect to a curve $A$ is a Riemannian manifold $(\hat{M}, \hat{g})$ such that there is an action of $SO(n)$ on $(\hat{M}, \hat{g})$ by isometries for which the set of fixed points is the curve $A$. Then $A$ is a geodesic and it is called the rotation axis.

A smoothly embedded hypersurface $X : M \rightarrow \overline{M}$ is said to be a hypersurface of revolution around $A$ if it is invariant under the action of $SO(n)$ on $(\overline{M}, \overline{g})$.

There are natural ways of constructing an RSS by using warped products and spherically symmetric spaces. Recall that a warped product $M \times_f N$ of two Riemannian manifolds $(M, g)$ and $(N, h)$ is given by $(M \times N, g + f^2 h)$, with $f : M \rightarrow \mathbb{R}$ a positive smooth map. A spherically symmetric space $(S, \sigma)$ admits a metric of the form $\sigma = dr^2 + h(r)^2 g_{S^{n-1}}$ with $h(0) = 0$ and $h'(0) = 1$, where $r$ is the distance to a fixed point $O$ in $S$ and $g_{S^{n-1}}$ is the metric of the round unit sphere. Here we shall consider the more standard complete cases:

- [12, Sect. 3.2] $O$ is a pole; then $h$ never vanishes, $S$ is diffeomorphic to $\mathbb{R}^n$ and can be parametrized on $[0, \infty) \times S^{n-1}$;

- [5, p. XV,13] the first positive zero $3$ of $h$ exists ($3 < \infty$); then $h(3) = 0$, $h'(3) = -1$, $S$ is a differentiable sphere and can be parametrized on $[0, 3] \times S^{n-1}$.

In short, $S$ can be regarded as the warped product $I \times_h S^{n-1}$, with $I = [0, 3]$ when $3 < \infty$ and $I = [0, \infty)$ otherwise.

In practice, we consider two kinds of warped products to build up an RSS: $(\hat{M}, \hat{g}) := S \times_f J$, with $f : S \rightarrow \mathbb{R}$ depending only on $r$ or $(\overline{M}, \overline{g}) := J \times_f S$, with $f : J \rightarrow \mathbb{R}$ and $J$ a real interval. The above expression for the metric $\sigma$ yields

$$\hat{g} := (I \times S^{n-1}) \times J, \quad dr^2 + h(r)^2 g_{S^{n-1}} + f(r)^2 dz^2$$

(1.2)
and

$$(\mathcal{M}, \overline{g}) := (J \times I \times S^{n-1}, \ dz^2 + f(z)^2 dr^2 + f(z)^2 h(r)^2 g_{S^{n-1}}).$$

(1.3)

In both cases the action of $SO(n)$ is given by

$$R(z, r, u) = (z, r, Ru),$$

for every $R \in SO(n)$. Obviously $A^+ := J \times \{0\} \times S^{n-1}$ (with $S^{n-1}$ collapsed to a point, because $h(0) = 0$) is part of the rotation axis $A$, which coincides with $A_+$ when $\bar{h} = 0$. If $\bar{h} < \infty$, one has $A = A_+ \cup A_-$, with $A_- := J \times \{\bar{h}\} \times S^{n-1}$ (with $S^{n-1}$ collapsed to a point, because $h(\bar{h}) = 0$).

In [7] we used (1.2) as the ambient space. Here we shall see (cf. Sect. 2) that the hyper-surfaces $z = \text{constant}$ in $(\mathcal{M}, \overline{g})$ are orthogonal to the axis $A_+$ and at constant distance from each other. Then (1.3) is specially suited to consider equidistant hypersurfaces as the boundary of the domain containing the surface to evolve. As we shall show in Remark 3, space forms are special cases of $(\mathcal{M}, \overline{g})$, and specific choices of the functions $f$ and $h$ give also a new situation in the Euclidean space.

We are thus led to consider the following natural setting:

**Setting** $\mathcal{C}$, $(\mathcal{M}, \overline{g})$ is an RSS with rotation axis $A$ and metric $\overline{g}$ as in (1.3) satisfying either $\int_0^\infty h(r)^n dr = \infty$ or $\bar{h} < \infty$. $M \subset \mathcal{M}$ is a smoothly embedded hypersurface of revolution around $A$ generated by the graph of a function $r(z)$ over $A_+$ and contained in the domain $G = \{(z, r, u) \in \mathcal{M} : a \leq z \leq b\}$. It is required that $\partial M$ intersects $\partial G$ orthogonally and that $M$ encloses a $(n + 1)$-volume $V$ inside $G$.

Then we let $M$ flow by (1.1) with the boundary condition that

$$M_t \text{ intersects } G \text{ orthogonally at the boundary for every } t.$$  

(1.4)

1.3 Statement of the main results

Along this paper we shall prove:

**Theorem 1** Let $M_t$ be the solution of (1.1) with initial condition as in the setting $\mathcal{C}$ and boundary condition (1.4), defined on a maximal interval $[0, T]$. Then

(a) The generating curve of the solution $M_t$ of (1.1) remains a graph over $A_+$ for every $t \in [0, T]$.

(b) If $T < \infty$, the singularities at $t = T$ are located on the rotation axis $A$.

(c) There is a constant $C$ depending on $\overline{g}$, $V$, $a$ and $b$ such that if $|M| \leq C$, then $T = \infty$ and there is a sequence of times $t_n \to \infty$ such that $M_{t_n}$ converges to a revolution hypersurface of constant mean curvature in $\mathcal{M}$.

This result not only completes the non-Euclidean version of [2, 3], started in [7], by considering equidistant instead of totally geodesic hypersurfaces as the boundary of the domain containing the evolution. In fact, it also solves the problem for a new situation in the Euclidean space: the case where the boundary hypersurfaces are spheres instead of hyperplanes (see Remark 3 for details).

More surprisingly, this change of the boundary hypersurfaces makes the corresponding results valid for a new and interesting framework: ambient spaces with positive curvature and evolving hypersurfaces with non-necessarily positive $H$. To our knowledge, apart from...
removing the restrictions (2) and (3) of our statements in [7], this is the first time that results for the evolution (1.1) are obtained in a family of ambient spaces of positive curvature including those of constant curvature, and allowing the possibility $\overline{H} < 0$.

Such a new scenario is even more rewarding if we realize that we are in a much harder situation than those in [2, 3, 7]. Indeed, the geometry of the new setting does not allow to use any symmetry as we pointed out in (1), so each step in the proof has the further complication of analyzing what happens at the boundary.

The paper is organized as follows. In Sect. 2 we study the geometry of the ambient space with the metric (1.3) and give some special interesting examples of the setting $E_q$. Section 3 gathers computations of basic quantities for evolving revolution surfaces, standard results about short time existence and basic evolution formulas for our flow. In Sect. 4 we obtain upper bounds for the distance to $A_+$ and for the absolute value of the averaged mean curvature, results that we shall apply in Sect. 5 to prove the preservation of the property of being a graph for the generating curve of the evolving hypersurface. Section 6 is devoted to obtain interior estimates of the heat operator acting on a special function, which is applied in Sect. 7 to get more interior estimates, boundary estimates and uniform bounds for the norm of the Weingarten map. In Sect. 8 we obtain the estimates for the higher order derivatives, concluding with the proof of part (b) of Theorem 1. Finally, in Sect. 9 we prove part (c) of the theorem. Appendix A is devoted to the proof of a computational lemma, and in Appendix B we give two examples of hypersurfaces in the setting $E_q$ with negative averaged mean curvature.

2 More about the geometry of the RSS $(\overline{M}, \overline{g})$

For subsequent arguments, it will be very useful to have explicit expressions for the Levi-Civita connection $\nabla$ of $(\overline{M}, \overline{g})$. Given a local orthonormal frame $\{e_i\}_{i=2}^n$ for the unit sphere $S^{n-1}$ with the standard metric and the vector fields $\partial_r, \partial_z$ associated to the coordinates $r$ and $z$ of $\overline{M}$, it follows from the expression of $\overline{g}$ that $\{\partial_z, E_r, E_2, \ldots, E_n\} \left(\text{with } E_r = \frac{\partial_r}{f}, E_i = \frac{e_i}{fh}\right)$ is a local orthonormal frame of $(\overline{M}, \overline{g})$. Since $\overline{M}$ is the warped product $J \times f(I \times hS^{n-1})$, using the formulae for the covariant derivatives of a warped product (cf. [23]), we obtain

**Lemma 2** For the Levi-Civita connection $\nabla$ of $(\overline{M}, \overline{g})$, the following formulae hold

\[
\nabla_{\partial_z} \partial_z = 0, \quad \nabla_{\partial_z} E_i = 0, \quad \nabla_{\partial_z} E_r = 0, \quad \nabla_{E_r} \partial_z = \frac{f'}{f} E_i, \quad \nabla_{E_i} \partial_z = \frac{f'}{f} E_r, \quad \nabla_{E_r} E_r = -\frac{f'}{f} \partial_z, \quad \nabla_{E_i} E_r = f' \partial_z, \quad \nabla_{E_i} E_j = 0, \quad \nabla_{E_r} E_i = \frac{h'}{h} E_i
\]

\[
\nabla_{\partial_r} \partial_r = \frac{f'}{f} \partial_r, \quad \nabla_{\partial_r} \partial_z = -f' f \partial_z, \quad \nabla_{E_r} E_r = -\frac{f'}{f} \partial_z, \quad \nabla_{E_r} E_i = h' \frac{h}{E_i}
\]

for $2 \leq i, j \leq n$, where $\nabla^S$ denotes the Levi-Civita connection of $S^{n-1}$.

**Remark 1** It follows from (2.2) that the “plane $zr$” is a totally geodesic surface and that $\partial_r$ restricted to that surface is a Killing vector field.
Remark 2 From (1.3), (2.1) and (2.2) we deduce that the curves \( z \mapsto (z, r_0, u_0) \) are geodesics and the hypersurfaces \( z = c \) (\( c \) constant) are at constant distance from each other, and are umbilical with normal curvature \( f'/(c) \). Hence only the values \( c \) of \( z \) for which \( f'(c) = 0 \) make the hypersurface \( z = c \) totally geodesic in \( \overline{M} \). If such a \( c \) exists, the boundary hypersurfaces of \( G \) in setting \( \mathcal{E} \overline{G} \) are equidistant from a totally geodesic one, which corresponds to a special framework in the Hyperbolic Space (see case C3 in Remark 3).

The hypersurfaces \( z = \) constant have the same constant normal curvature \( k \) if and only if \( f'(z) = k \), which gives \( f(z) = d e^{kz} \) for some constant \( d \). These hypersurfaces correspond to horospheres when the ambient space is the Hyperbolic Space (case C5 in Remark 3).

Using now the formulae for the curvature of a warped product and the standard expression for the curvature tensor of \( \mathbb{S}^{n-1} \), we obtain

**Lemma 3** The components of the curvature tensor \( \overline{R} \) of \( (\overline{M}, \overline{g}) \) in the basis \( \{\partial_z, E_r, E_2, \ldots, E_n\} \) are

\[
\overline{R}_{z\alpha\beta\gamma} = 0 \quad \overline{R}_{z\alpha z\beta} = -\frac{f''}{f} \delta_{\alpha\beta} \\
\overline{R}_{rijk} = 0 \quad \overline{R}_{rirj} = -\frac{1}{f^2} \left( \frac{h''}{h} + f'^2 \right) \delta_{ij} \\
\overline{R}_{ijkt} = \frac{1 - (h^2 + h^2 f'^2)}{f^2 h^2} (\delta_{ki} \delta_{tj} - \delta_{tu} \delta_{kj})
\]

for \( \alpha, \beta, \gamma \in \{r, 2, \ldots, n\} \) and \( i, j, k, \ell \in \{2, \ldots, n\} \).

**Remark 3** From Lemmas 2 and 3 we have the following different special cases for the setting \( \mathcal{E} \overline{G} \) in space forms:

- **(C1)** \( J = \mathbb{R}, f(z) = 1 \) and \( h(r) = r \). Then \( I = [0, \infty[, (\overline{M}, \overline{g}) \) is the Euclidean space \( \mathbb{R}^{n+1} \) and \( G \) is the slice between two hyperplanes. \( A = A_+ \) is the axis \( x^{n+1} \) in \( \mathbb{R}^{n+1} \).

- **(C2)** \( J = [0, \infty[, f(z) = z \) and \( h(r) = \sin r \). Then \( I = [0, \pi[, (\overline{M}, \overline{g}) \) is again the Euclidean space and \( G \) is the spherical crown between two spheres of radii \( a \) and \( b \). \( A_+ \) is the upper half-axis \( x^{n+1} \) in \( \mathbb{R}^{n+1} \) and \( A_- \) is the lower half-axis.

- **(C3)** \( J = \mathbb{R}, f(z) = \cosh(\sqrt{\lambda} z) \) and \( h(r) = |\lambda|^{-\frac{1}{2}} \sinh(\sqrt{\lambda} r) \) for \( \lambda < 0 \). Then \( I = [0, \infty[, (\overline{M}, \overline{g}) \) is \( \mathbb{H}^{n+1}_{\lambda} \), which here means the Hyperbolic space of sectional curvature \( \lambda \), and \( G \) is the slice between two equidistant hypersurfaces. \( A = A_+ \).

- **(C4)** \( J = [0, \infty[, f(z) = |\lambda|^{-\frac{1}{2}} \sinh(\sqrt{\lambda} z) \) and \( h(r) = \sin r \) for \( \lambda < 0 \). Then \( I = [0, \pi[, (\overline{M}, \overline{g}) \) is \( \mathbb{H}^{n+1}_{\lambda} \) and \( G \) is the spherical crown between two geodesic spheres of \( \overline{M} \) of radii \( a \) and \( b \).

- **(C5)** \( J = \mathbb{R}, f(z) = e^{\sqrt{\lambda} z} \) and \( h(r) = r, \lambda < 0 \). Then \( I = [0, \infty[, (\overline{M}, \overline{g}) \) recovers again \( \mathbb{H}^{n+1}_{\lambda} \) and \( G \) is the slice between two “parallel” horospheres. \( A = A_+ \).

- **(C6)** \( J = \left[-\frac{\pi}{2\sqrt{\lambda}}, \frac{\pi}{2\sqrt{\lambda}}\right], f(z) = \cos(\sqrt{\lambda} z) \) and \( h(r) = \lambda^{-\frac{1}{2}} \sin(\sqrt{\lambda} r) \) for \( \lambda > 0 \). Then \( I = \left[0, \pi/\sqrt{\lambda}\right[, (\overline{M}, \overline{g}) \) is the round \( \mathbb{S}^{n+1}(1/\sqrt{\lambda}) \) and \( G \) is the slice between two parallels. \( A = A_+ \cup A_- \) is a meridian, with \( A_+ \) and \( A_- \) half-meridians.

Let us remark that even in the cases (C2), (C4) and (C6) where \( A \neq A_+ \), one has that \( A = A_+ \cup A_- \) is a connected real line (a circle in case (C6)); accordingly, even from an intuitive viewpoint, \( A \) has the right to be called the rotation axis.
Remark 4 If in examples (C3) and (C6) we use different constants in the definition of $f$ and $h$ (for instance, in (C3) we pick $f = \cosh(\sqrt{\lambda} z)$, $h = |\mu|^{-\frac{1}{2}} \sinh(\sqrt{|\mu|} r)$ with $\mu \neq \lambda$), we still produce constant sectional curvature, but we get spaces with singularities (or not complete regular spaces). These model ambient spaces appear in the literature as extremals of some functionals defined on the space of Riemannian metrics (cf. [10, 13, 17, 20]). Since our theorem refers to slices $G$ which do not contain the singular points, it also holds in these non-regular ambient spaces.

3 Evolving revolution hypersurfaces within a RSS

Let us begin with a remark on the notation: when we introduce for the first time a quantity depending on the evolving hypersurface $M_t$, we write either a subindex $t$ or $(., t)$ to denote its dependence on $t$, but just later we shall omit this unless what we mean is not clear from the context.

3.1 Basic quantities on revolution hypersurfaces

Our flow (1.1) is invariant under isometries of $(\bar{M}, \bar{g})$, and in particular it is invariant under the action of $SO(n)$. As a consequence, if the starting hypersurface $M$ is of revolution, also is the evolving $M_t$. Hence the unit normal vector $N_t$ to $M_t$ will be contained in the plane generated by $E_r, \partial_z$ and can be written as

$$N = \langle N, E_r \rangle E_r + \langle N, \partial_z \rangle \partial_z.$$  \hspace{1cm} (3.1)

In turn, the unit vector tangent to the generating curve will be

$$t = -\langle N, \partial_z \rangle E_r + \langle N, E_r \rangle \partial_z.$$ \hspace{1cm} (3.2)

We shall use the coordinates $(z_t, r_t, u_t)$ for $M_t$. Without loss of generality, we can parametrize the generating curve $c_t$ of $M_t$ as $c : [a, b] \rightarrow \bar{M}, c(s) = (z(s), r(s), u)$, with $\dot{c}(s) \neq 0$ for every $s$. With this parametrization, the vectors $t$ and $N$ admit the expressions

$$t = \frac{1}{|\dot{c}|} (f \dot{r} E_r + \dot{z} \partial_z), \quad N = \frac{1}{|\dot{c}|} (\dot{z} E_r - f \dot{r} \partial_z),$$ \hspace{1cm} (3.3)

where $|\dot{c}| := \sqrt{\dot{z}^2 + (\dot{r} f)^2}$ and $\dot{z}, \dot{r}$ denote the derivatives of $z$ and $r$ with respect to $s$.

Consider the local orthonormal frame $t, E_2, \ldots, E_n$ on $M_t$. Then the mean curvature of $M_t$ is given by

$$H = k_1 + (n-1)k_2,$$ \hspace{1cm} (3.4)

where $k_1$ is the normal curvature of $M_t$ in the direction of $t$:

$$k_1 = -\langle \nabla_t t, N \rangle = -\frac{1}{|\dot{c}|} \left( \frac{\ddot{r} \dot{z} \dot{r} - \dot{z} \dot{r} \dot{f} + f \dot{r} \dot{z}^2}{|\dot{c}|^2} + f' \dot{r} \right),$$ \hspace{1cm} (3.5)

and $k_2$ is the normal curvature of $M_t$ in the direction of $E_i, i = 2, \ldots, n$:

$$k_2 = \langle \nabla_{E_2} N, E_2 \rangle = \langle N, E_r \rangle \left( \langle \nabla_{E_2} E_r, E_2 \rangle + \langle N, \partial_z \rangle \langle \nabla_{E_2} \partial_z, E_2 \rangle \right)$$

$$= \frac{h'}{hf} \langle N, E_r \rangle + \frac{f'}{f} \langle N, \partial_z \rangle = \frac{1}{|\dot{c}|} \left( \frac{h' \dot{z}}{hf} - f' \dot{r} \right).$$ \hspace{1cm} (3.6)
3.2 Short time existence and some evolution formulae

Recall the well known fact (cf. [9]) that $X_t$ is a solution of (1.1) if and only if it is, up to tangential diffeomorphisms, a solution of

$$
\left( \frac{\partial X}{\partial t}, N \right) = \overline{H} - H. \tag{3.7}
$$

If we consider the flow of the graph of $(z, u) \mapsto (z, r(z), u)$ under (3.7), the variable $z$ does not change with time, and formulae of the previous subsection (now taking $s = z$) remain true for any time. Using them, Eq. 3.7 with this initial condition becomes

$$
\frac{\partial r}{\partial t} = \frac{\dot{r}}{|\dot{c}|^2} + f' \left( \frac{1}{|\dot{c}|^2} + n \right) \dot{r} - (n - 1) \frac{h'}{hf^2} + \overline{H} \frac{|\dot{c}|}{f}. \tag{3.8}
$$

Here replacing $\overline{H}$ in (3.8) by any $C^{1,\alpha/2}$ real valued function $\psi$ such that $\psi(0) = \overline{H}(0)$, we obtain a parabolic equation which, at least for small $t$, has a unique solution satisfying $\dot{r}(a) = \dot{r}(b) = 0$. Now, using a routine fixed point argument (cf. [22]), we can establish short time existence also for (3.8) with the same boundary conditions.

The following lemma collects some evolution formulae for (1.1) in $(\overline{M}, \overline{g})$.

**Lemma 4** If $M_t$ is a solution of (1.1), the following evolution equations hold:

(a) \( \nabla N = \nabla H \)

(b) \( \frac{d}{dt}|M_t| = -\int_M (\overline{H} - H)^2 \, d\mu_t \)

(c) \( \frac{\partial |L|^2}{\partial t} = \Delta |L|^2 - 2|\nabla L|^2 + 2|L|^4 - 2\overline{H}tr L^3 + 2|L|^2 (T + (n - 1)J) - 2\overline{H} (k_1 T + (n - 1)k_2 J) - 4(k_1 - k_2)^2(n - 1)\mathcal{Y} - 2 \left( \alpha, \delta \overline{R}_N \right) \)

where $\nabla$ denotes both the intrinsic covariant derivative and the gradient on $M_t$, $\Delta$ denotes its intrinsic Laplacian and $\alpha$ its second fundamental form. Moreover $\delta \overline{R}_N(X, Y) := \sum_i (\nabla_X \overline{R}_{N_i}^E_{Y_i} + \nabla_{Y_i} \overline{R}_{N_i}^E_{X_i})$, and $T, J$ and $\mathcal{Y}$ are the sectional curvatures of the planes generated by $(t, N), \{E_i, N\}$ and $\{E_i, t\}$, respectively.

**Proof** (a) and (b) are well known and valid for any ambient space. The proof of (c) follows exactly by the same argument as in [7], substituting the orthonormal local frame $N, t = E_1, E_2, \ldots, E_n$ into the more general and standard evolution equation of $|L|^2$ (see, for instance, (6.1) in [7]). \hfill $\square$

The lemma below contains two equations which are very specific to our setting. The proof is straightforward but quite long and technical; the interested reader can find the details in the Appendix A of the present paper.

**Lemma 5** Set $u := \langle N, \partial_r \rangle$; then for any functions $\phi, \psi : \mathbb{R} \to \mathbb{R}$ one has the following evolution formulae under (1.1):

(a) \( \left( \frac{\partial}{\partial t} - \Delta \right) \phi(r) = \phi' \left( \overline{H} \frac{u^2}{f^2} - 2 \frac{f'}{f^3} u \langle N, \partial_r \rangle - (n - 1) \frac{h'}{hf^2} \right) + \phi'' \left( \frac{u^2}{f^2} - 1 \right) \)

(b) \( \left( \frac{\partial}{\partial t} - \Delta \right) \psi(z) = \psi' \left( \overline{H} \langle N, \partial_r \rangle + \left( \frac{u^2}{f^2} - n \right) \frac{f'}{f} \right) - \psi'' \frac{u^2}{f^2} \)

$\square$ Springer
4 Upper bounds for \( r \) and \( |H| \)

In this section, we shall prove that if \( M \) is a hypersurface satisfying the conditions in the setting \( \mathcal{E}_q \), then the coordinate \( r \) of \( M \) and its averaged mean curvature \( H \) (in absolute value) are uniformly bounded. In fact, we shall obtain these bounds under more general conditions than the setting \( \mathcal{E}_q \). Our ultimate goal is to apply these results to bound \( r \) and \( H \) for a maximal solution \( M_t \) of (1.1).

**Notation 2** From now on, given any function \( F(z, r, u) \), we shall use the notation
\[
\|F\|_{\infty} = \sup_{[a, b] \times [\rho, d] \times S_{n-1}} |F(z, r, u)|,
\]
where \( \rho \) and \( d \) are constants described in each situation. Frequently \( F \) depends only on one or two of the variables \( z, r, u \).

Let us define the function
\[
\delta(R) = \int_{0}^{R} h(r)^{n-1}dr,
\]
and let \( r_2 \) be the constant
\[
r_2 = \delta^{-1}\left(\frac{|M|}{\omega_{n-1}} \left\| f^{-n} \right\|_{\infty} + \frac{V}{\omega_{n-1} \int_{a}^{b} f(z)dz}\right),
\] (4.1)

where \( \omega_{n-1} \) denotes the volume of \( S_{n-1} \) with its standard metric. When \( z = \infty \) (which hereafter means that \( z < \infty \) is false), the hypothesis \( \int_{0}^{\infty} h(r)^{n-1}dr = \infty \) in setting \( \mathcal{E}_q \) ensures that \( r_2 \) always exists. On the contrary, when \( z < \infty \), \( r_2 \) may not be well defined; if this happens, we use the convention \( \min\{z, r_2\} = z \).

Observe that the following result does not require the generating curve of \( M \) to be the graph of a function nor that it is contained in \( G \).

**Proposition 6** Let \( (\overline{M}, \overline{g}) \) be as defined in (1.3). If \( M \) is an embedded hypersurface of revolution in \( \overline{M} \), with boundary in the hypersurfaces \( z = a, z = b \) and orthogonal to them along the boundary, then \( r < \min\{z, r_2\} \).

**Proof** If there is some point in \( M \) with \( r = z \), since \( M \) is of revolution, this point has to be singular, in contradiction with the fact that \( M \) is a regular submanifold. Then, we shall concentrate on proving that \( r < r_2 \), with \( r_2 < z \).

Now we define
\[
r_1 = \delta^{-1}\left(\frac{V}{\omega_{n-1} \int_{a}^{b} f(z)dz}\right).
\] (4.2)

It follows that \( r_2 > r_1 > 0 \) because \( \delta \) is an increasing function.

Let us denote by \( r_m \) and \( r_M \) the minimum and maximum value of \( r \) on \( M \) respectively, and let \( r_z = \inf\{r(s); z(s) = z\} \). By \( d\mu_{\overline{\Omega}} \) we mean the volume element of \( \overline{M} \) and by \( \Omega \) the domain enclosed by \( M \) and the disks in \( \partial G \) limited by \( \partial M \). Using the definition of \( r_1 \) and the expression (1.3), we obtain
\[
\omega_{n-1} \left(\int_{a}^{b} f(z)^{n-1}dz\right) \left(\int_{0}^{r_1} h(r)^{n-1}dr\right) = V = \int_{\Omega} d\mu_{\overline{\Omega}}
\]
\[
\geq \omega_{n-1} \int_{a}^{b} \int_{0}^{r_2} f(z)^{n-1}h(r)^{n-1}dr \ dz
\]
\[ \geq \omega_{n-1} \left( \int_a^b f(z)^n \, dz \right) \left( \int_0^{r_m} h(r)^{n-1} \, dr \right), \]  

(4.3)

Next, recalling (1.3), (3.3) and that \( d\mu = \nu_N d\mu_\overline{\alpha} \), we get the area of \( M \) as

\[ |M| = \int_M \nu_N \left( d\mu_\overline{\alpha} \right) = \omega_{n-1} \int_a^b \sqrt{\dot{z}(s)^2 + f(z(s))^2 \dot{r}(s)^2} f(z(s))^{n-1} h(r(s))^{n-1} \, ds \]

(4.4)

\[ > \omega_{n-1} \int_a^b |\dot{r}(s)| f(z(s))^{n} h(r(s))^{n-1} \, ds \geq \omega_{n-1} \min_{[a,b]} f(z) \int_0^{r_m} h(r)^{n-1} \, dr. \]  

(4.5)

From the inequality (4.3) we have that \( r_1 \geq r_m \). If \( r_1 \geq r_M \), we have the desired bound. If not, it follows from the inequality (4.5) that

\[ |M| > \frac{\omega_{n-1}}{\|f^{-n}\|_{\infty}} \left[ \int_{r_m}^{r_1} + \int_{r_1}^{r_M} \right] h(r)^{n-1} \, dr > \frac{\omega_{n-1}}{\|f^{-n}\|_{\infty}} \int_{r_1}^{r_M} h(r)^{n-1} \, dr \]

\[ = \frac{\omega_{n-1}}{\|f^{-n}\|_{\infty}} (\delta(r_M) - \delta(r_1)). \]

Hence

\[ \delta(r_M) < \frac{|M|\|f^{-n}\|_{\infty}}{\omega_{n-1}} + \delta(r_1), \]  

(4.6)

from which the proposition follows.

\[ \square \]

**Corollary 7** If \((\overline{M}, \overline{\alpha})\) and \(M\) are in the setting \(\mathcal{C}_{\alpha}\) and \([0, T]\) is the maximal time interval where the flow (1.1) satisfying the boundary condition (1.4) is defined; then \(r_t < \min\{z, r_2\}\) for every \(t \in [0, T]\), with \(r_2\) defined by (4.1) for the initial condition \(M_0\).

**Proof** Applying Proposition 6 to \(M_t\) for each fixed \(t\), we reach (4.6) with \(|M_t|\) instead of \(|M|\). Then the conclusion follows using the area decreasing property of the flow (which is a consequence of (b) in Lemma 4) and that the function \(\delta\) is increasing. \(\square\)

Next, the goal is to bound the modulus of the averaged mean curvature \(\overline{H}_t\).

**Proposition 8** Let \((\overline{M}, \overline{\alpha})\) and \(M\) be as in Proposition 6. If the number of points in the generating curve of \(M\) with tangent in the direction of \(\partial_s\) is finite and \(\delta > \vartheta \geq r \geq \rho > 0\), then there is a constant \(h_2(V, \overline{\alpha}, n, a, b, \rho, \vartheta) > 0\) such that \(|\overline{H}| \leq h_2\).

**Remark 5** Observe that when \(r_2 < \vartheta\) the hypothesis \(\vartheta \geq r\) means no restriction, since by Proposition 6 we can take \(\vartheta = r_2\). In this case \(h_2\) depends on \(|M|\) through \(r_2\).

**Proof** From (3.4), (3.5) and (3.6) we can write

\[ \overline{H} = \frac{1}{|M|} \int_M \frac{-1}{|\dot{c}|} \, d\arctan \left( \frac{\dot{r}}{\dot{z}} \right) \, d\mu + \frac{1}{|M|} \int_M \frac{1}{|\dot{c}|} \left( n - 1 \right) \frac{h'f' - nhf''}{h^2} \, d\mu \]

\[ =: I_1 + I_2. \]  

(4.7)
Now we integrate by parts, and having into account that the condition in the boundary gives \( \dot{r}(b) = \dot{r}(a) = 0 \) and that at the points \( s_i, i = 1, \ldots, k \), where the tangent vector to the generating curve is vertical (that is \( \frac{\dot{r}}{\dot{z}} = \pm \infty \)) one still has that \( \arctan \left( f(z(s_i)) \frac{\dot{r}(s_i)}{\dot{z}(s_i)} \right) \) is finite, we get

\[
I_1 = \frac{\omega_{n-1}}{|M|} \int_a^b - (fh)^{n-1} \frac{d}{ds} \arctan \left( \frac{\dot{r}}{\dot{z}} \right) ds = \frac{(n-1) \omega_{n-1}}{|M|} \int_a^b \arctan \left( \frac{\dot{r}}{\dot{z}} \right) (fh)^{n-2} (fh' + hf'' \dot{z}) ds.
\]

(4.8)

Using \( \arctan \left( \frac{\dot{r}}{\dot{z}} \right) \dot{r} \leq \frac{\pi}{2|\dot{z}|} |f\dot{r}|, |f\dot{r}| \leq |\dot{c}| \) and \( |\dot{z}| \leq |\dot{c}| \), we get

\[
|I_1| < \frac{(n-1) \omega_{n-1}}{|M|} \frac{\pi}{2} \left( \int_a^b |\dot{c}| (fh)^{n-2} |h'| ds + \int_a^b (fh)^{n-2} |h'| |\dot{c}| ds \right) \leq \frac{(n-1) \pi}{2} \left( \frac{\|h'\|}{fh} + \frac{\|f''\|}{f} \right).
\]

(4.9)

Next, we bound \( |I_2| \) as follows:

\[
|I_2| \leq (n-1) \left< \frac{h'}{fh}, \int_M \frac{f'}{f} d\mu \right> + \frac{n}{|M|} \int_M \frac{f'}{f} |\dot{c}| d\mu < (n-1) \left< \frac{h'}{fh}, \int_M \frac{f'}{f} d\mu \right> + n \left< \frac{h'}{fh}, \int_M \frac{f'}{f} d\mu \right>.
\]

(4.10)

In conclusion, the existence of the finite upper bound \( h_2 \) follows from (4.9) and (4.10).

Corollary 9 Let \( M_t \) be the solution of (1.1) with initial condition \( M \) in the setting \( \mathcal{E}_q \) and satisfying the boundary condition (1.4). For every \( t \) with \( 0 < \rho_0 \leq r_t \leq \rho < \frac{2}{3} \) and such that the generating curve of \( M_t \) is a graph, there is a constant \( h_2(V, g, n, a, b, \rho, \vartheta) > 0 \) such that \( |H| \leq h_2 \).

Proof It follows because if the generating curve of \( M \) is a graph, it satisfies the conditions in Proposition 8.

5 The generating curve remains a graph

This section is devoted to prove that, for \( M \) in the setting \( \mathcal{E}_q \), the evolving hypersurface remains a revolution hypersurface generated by a smooth graph. As we pointed out above, \( M_t \) is always a revolution hypersurface. Then the aim is to show that the generating curve remains a graph over the rotation axis for all time.

Recall that the generating curve is a graph if and only if \( \langle N, E_r \rangle > 0 \), which is equivalent to say \( u := \langle N, \partial_r \rangle > 0 \), and also equivalent to \( \frac{1}{f(z)} \leq v = \frac{1}{u} < \infty \). Therefore, our goal is to obtain an upper bound for \( v \). To achieve this, we first need the evolution equation for \( v \).
Lemma 10  Under \((1.1)\), \(v = u^{-1}\) evolves as
\[
\frac{\partial}{\partial t} v = \Delta v - \left( |L|^2 + \overline{R}ic(N, N) + \frac{(n - 1)}{f^2} \left[ \frac{h'}{h} \right] \right) v - \frac{2}{v} |\nabla v|^2.
\]

Proof  First we compute \(\Delta u\). To do so, for a fixed time \(t\) and a point \(p \in M\), we shall use a local frame \(F_1, F_2, \ldots, F_n\) of \(M\) orthonormal at \(p\) and satisfying \(\nabla F_i F_j (p) = 0\). Next, we extend it to a local frame \(\tilde{F}_i\) on a neighborhood of \(p\) in \(M\) using the flow of \(\partial_r\) so that \([\partial_r, \tilde{F}_i] = 0\). It follows from Bartnik’s formula (cf. [4, p. 158]) that, at the point \(p\),
\[
\Delta u = \langle \partial_r, \nabla H \rangle - (|L|^2 + \overline{R}ic(N, N)) u
+ \sum_{i=1}^n \left[ \langle \nabla \tilde{F}_i, \mathcal{L}_{\partial_r} g \rangle (N, \tilde{F}_i) - \frac{1}{2} \langle \nabla N \mathcal{L}_{\partial_r} g (\tilde{F}_i, \tilde{F}_i) \rangle + \langle \mathcal{L}_{\partial_r} g, \alpha \rangle - \frac{H}{2} \langle \mathcal{L}_{\partial_r} g \rangle (N, N),
\right.
\]
where the last term vanishes by Remark 1.

Since \((5.1)\) is evaluated at a point \(p\) where \(\{ \tilde{F}_i \}\) is orthonormal and the relevant expressions are tensorial, we can use henceforth the frame \(\{ t, E_2, \ldots, E_n \}\) (which satisfies \(LE_i = k_2 E_i\)) instead of \(\{ \tilde{F}_i \}\). Doing so, using Remark 1 (which also implies \(\nabla_N t \in \text{span}\{ \partial_z, \partial_r \}\)) and \((2.3)\), we get
\[
\sum_{i=1}^n \langle \nabla_N \mathcal{L}_{\partial_r} g \rangle (\tilde{F}_i, \tilde{F}_i) = \sum_{i=2}^n \langle \nabla_N \mathcal{L}_{\partial_r} g \rangle (E_i, E_i)
= \sum_{i=2}^n (2N \nabla_{E_i} \partial_r, E_i) - 2 \langle \mathcal{L}_{\partial_r} g \rangle (\nabla_N E_i, E_i))
= \sum_{i=2}^n 2N \left[ \frac{h'}{h} E_i, E_i \right]
= 2(n - 1) \left[ \frac{h'}{h} \right] \langle \nabla E_i, N \rangle
= 2(n - 1) \left[ \frac{h'}{h} \right] \frac{u}{f^2}. \quad (5.2)
\]
Here we have used that
\[
\nabla r = \frac{1}{f} E_r = \frac{1}{f^2} \partial_r. \quad (5.3)
\]

Next, exploiting once more Remark 1 (which yields \(\nabla_t t, \nabla_t N \in \text{span}\{ \partial_z, \partial_r \}\)), together with \((2.4), (2.2)\) and \((2.3)\), we compute
\[
\sum_{i=1}^n \langle \nabla \tilde{F}_i, \mathcal{L}_{\partial_r} g \rangle (N, \tilde{F}_i) + \langle \mathcal{L}_{\partial_r} g, \alpha \rangle
= \sum_{i=2}^n \langle \nabla E_i, \mathcal{L}_{\partial_r} g \rangle (E_i, N) + \langle \mathcal{L}_{\partial_r} g \rangle (t, t) \alpha (t, t)
+ \sum_{i=2}^n \langle \mathcal{L}_{\partial_r} g \rangle (E_i, E_i) \alpha (E_i, E_i)
= \sum_{i=2}^n \left( E_i \left[ \mathcal{L}_{\partial_r} g (E_i, N) \right] - \mathcal{L}_{\partial_r} g (\nabla E_i E_i, N) - \mathcal{L}_{\partial_r} g (E_i, \nabla E_i N) + \mathcal{L}_{\partial_r} g (k_i E_i, E_i) \right)
= \sum_{i=2}^n E_i \left[ \langle \nabla N \partial_r, E_i \rangle + \langle \nabla E_i, \partial_r, N \rangle \right] = 0. \quad (5.4)
\]
Now, plugging (5.2) and (5.4) into (5.1), we conclude
\[\Delta u = (\partial_t, \nabla H) - (|\nabla|^2 + \nabla \cdot (\nabla (N, N))) u - (n - 1) \left[ \frac{h'}{h} \right]' \frac{u}{f^2}.\] (5.5)

On the other hand, using part (a) of Lemma 4, (2.2) and the flow Eq. 1.1, we get
\[\frac{\partial}{\partial t} u = \left\langle \nabla \phi, N, \partial_r \right\rangle + \left\langle N, \nabla \phi, \partial_r \right\rangle = \left\langle \nabla H, \partial_r \right\rangle + \left( \nabla - H \right) \left( \langle N, E_r \rangle \nabla E_r + \langle N, \partial_r \rangle \nabla \partial_r \right) = \left\langle \nabla H, \partial_r \right\rangle.\]

The substitution of (5.5) in the above formula yields
\[\frac{\partial}{\partial t} u = \Delta u + (|\nabla|^2 + \nabla \cdot (\nabla (N, N))) u + (n - 1) \left[ \frac{h'}{h} \right]' \frac{u}{f^2},\]
which along with the transformation formulae
\[dv = -\frac{1}{u^2} du, \quad \frac{\partial v}{\partial t} = -\frac{1}{u^2} \frac{\partial u}{\partial t}, \quad \Delta v = -\frac{1}{u^2} \Delta u + \frac{2}{u^3} |du|^2\]
lead to the equality in the statement. \(\square\)

Notice that, unlike the corresponding situation in [7], we cannot use directly the evolution equation from Lemma 10 in a maximum principle argument to deduce the sought bound for \(v\). Instead of \(v\), we need to argue with its product by an appropriate function of \(r\), as can be seen in the following proof.

**Theorem 11** Let \(M_t\) be the solution of (1.1) defined on a maximal time interval \([0, T]\), with initial condition \(M\) in the setting \(E\) and satisfying the boundary condition (1.4). Then the generating curve of the solution \(M_t\) of (1.1) remains a graph over the revolution axis for every \(t \in [0, T]\).

**Proof** Let us define \(\Phi = \phi(r) v\) for some \(\phi : \mathbb{R} \to \mathbb{R}\). Thanks to part (a) of Lemmas 5 and 10, we obtain
\[\left( \frac{\partial}{\partial t} - \Delta \right) \Phi = v \left( \frac{\partial}{\partial t} - \Delta \right) \phi + \phi \left( \frac{\partial}{\partial t} - \Delta \right) v - 2 \langle \nabla \phi, \nabla v \rangle = \phi' \left( \frac{H}{f^2} - 2 \frac{f'}{f^3} \langle N, \partial_r \rangle - (n - 1) \frac{h' v}{h f^2} \right) + \phi'' \left( \frac{1}{f^2 v} - v \right) - \phi v \left( |\nabla|^2 + \nabla \cdot (\nabla (N, N)) + \frac{(n - 1)}{h^2} \left[ \frac{h'}{h} \right]' \right) - 2 \frac{\phi}{v} |\nabla v|^2 - 2 \langle \nabla \phi, \nabla v \rangle.

Using \(-v \langle \nabla \phi, \nabla v \rangle = -\langle \nabla \Phi, \nabla v \rangle + \phi |\nabla v|^2\) and neglecting the term with \(|\nabla|^2\), we reach the inequality
\[\left( \frac{\partial}{\partial t} - \Delta \right) \Phi \leq \phi' \frac{H}{f^2} - 2 \frac{f'}{f^3} \langle N, \partial_r \rangle + \phi'' \left( \frac{1}{f^4 v} - \frac{2}{v} \langle \nabla \Phi, \nabla v \rangle + \frac{\phi}{f^2} \right) \alpha,
\]
with \(\alpha = \nabla \cdot (\nabla (N, N)) f^2 + (n - 1) \left[ \frac{h'}{h} \right]' + (n - 1) \frac{h' \phi'}{h \phi} + \frac{\phi''}{\phi}.

On the other hand, given any \(t_0 \in [0, T]\), we have \(\min_{M \times \{t_0\}} \rho (\cdot, t) = \rho_0 (t_0) > 0\) and \(\max_{M \times \{t_0\}} r (\cdot, t) = \delta (t_0) < \min \{3, r_2\}\) (thanks to Corollary 7 and because if \(r\) attains the
values 0 or 3 at some point in some time, the solution \( M_t \) has a singularity at this point and time). Now choose \( t_1 \) as the maximum time in \([0, t_0]\) such that the generating curve of \( M_t \) is a graph for every \( t \in [0, t_1] \).

If we take \( \phi(r) := e^{Cr} \), it holds that \( \phi' = C \phi \) and \( \phi'' = C^2 \phi \). Accordingly,

\[
\mathfrak{A} \geq -\left| \overline{Ric}(N, N) f^2 + (n - 1) \left[ \frac{h'}{h} \right] \right| + C \left[ (n - 1) \frac{h'}{h} + C \right]
\]

\[
\geq -\mathfrak{R} + C \left( C - (n - 1) \| h'/h \|_{\infty} \right),
\]

where \( \mathfrak{R} := \| f^2 \|_{\infty} \| \overline{Ric} \|_{\infty} + (n - 1) \left( h''/h \|_{\infty} + \| h''/h \|_{\infty} \right) \), \quad (5.6)

Next, we can define the constant \( C := \mathfrak{R} + (n - 1) \| h'/h \|_{\infty} + 1 \leq \infty \), which (since \( C \geq 1 \)) yields

\[
\mathfrak{A} \geq -\mathfrak{R} + C(\mathfrak{R} + 1) \geq C > 0.
\]

Then, applying Corollary 9 on \([0, t_1] \), we reach

\[
\left( \frac{\partial}{\partial t} - \Delta \right) \Phi \leq \mathfrak{h} - \frac{2}{v} \langle \nabla \Phi, \nabla v \rangle - \overline{C} \Phi,
\]

with \( \mathfrak{h} := \mathfrak{h}(V, \overline{g}, n, a, b, \rho(t_0), \sigma(t_0)) = Ce^{Cr} \| f^{-2} \|_{\infty} \left( h_2 + 2 \| f'/f \|_{\infty} + C \| f^{-1} \|_{\infty} \right) \)

and \( \overline{C} = C/\| f^2 \|_{\infty} \). From here, by application of the maximum principle, we conclude

\[
v \leq e^{Cr} v = \Phi \leq \max\{e^{C(t_0)} \max_{M_0} v, \mathfrak{h}/\overline{C} \} \quad \text{on} \quad [0, t_1]. \quad (5.8)
\]

Since the solution \( M_t \) is defined, and is continuous in \( t \), on \([0, T] \supseteq [0, t_0] \supseteq [0, t_1] \) the bound \( (5.8) \) is true on the whole interval \([0, t_1] \). Then by continuity of \( v \), \( v \) will be still bounded on \([0, t_1 + \varepsilon] \), in contradiction with the definition of \( t_1 \) if \( t_1 < t_0 \). In conclusion, \( t_1 = t_0 \) and the generating curve of \( M_t \) is a graph along all \([0, t_0] \). Since \( t_0 \) is arbitrary, this is true for \([0, T] \).

\( \square \)

### 6 Preliminary interior estimates

Here we begin our way towards getting global estimates of \(|L|\). Following \([7]\), we start by obtaining an interior estimate for the heat operator acting on a certain function of the form \( \varphi(v)|L|^2 \).

**Lemma 12** Let \( M_t \) be a solution of (1.1) defined on \([0, T]\) with initial condition \( M \) in the setting \( \mathcal{E}_q \), satisfying the boundary condition (1.4), and such that there are constants \( \vartheta \) and \( \rho \) satisfying \( \vartheta > \varrho \geq r_t \geq \rho > 0 \) on \([0, T]\). Let \( g = \varphi(v)|L|^2 \), where \( \varphi \) is defined by

\[
\varphi(v) := \frac{v^2}{1 - kv^2}, \quad \text{with} \quad k := \frac{1}{2 \max v^2}. \quad (6.1)
\]

Then we can find two positive constants \( K_1, K_2 \) so that

\[
\left( \frac{\partial}{\partial t} - \Delta \right) g \leq -kg^2 + K_1 g + K_2 \sqrt{g} - \frac{1}{\varphi} \langle \nabla g, \nabla \varphi \rangle + \frac{v^2 - \varphi}{2\varrho^3} |\nabla \varphi|^2 g.
\]

Notice that \( k \) is a well defined constant depending on \( V, \overline{g}, n, a, b, \rho \) and \( \sigma \), as follows from (5.8).

\( \square \) Springer
Proof The evolution of $g$ is given by those of $\varphi$ and $|L|^2$ according to the formula:

$$
\left( \frac{\partial}{\partial t} - \Delta \right) g = |L|^2 \left( \frac{\partial}{\partial t} - \Delta \right) \varphi + \varphi \left( \frac{\partial}{\partial t} - \Delta \right) |L|^2 - 2 \langle \nabla \varphi, \nabla |L|^2 \rangle \\
\leq \varphi' |L|^2 \left( \frac{\partial}{\partial t} - \Delta \right) v - \varphi'' |L|^2 |\nabla v|^2 + \varphi \left( \frac{\partial}{\partial t} - \Delta \right) |L|^2 \\
- \frac{1}{\varphi} \langle \nabla g, \nabla \varphi \rangle + 2 \varphi |\nabla L|^2 + \frac{3}{2 \varphi} |L|^2 |\nabla \varphi|^2,
$$

where, exactly as in [7], we have used an inequality from [8] (combined with Kato’s inequality $|\nabla L|| \leq |\nabla L|$) to bound the last term in the first line.

By our hypotheses, we are working within a bounded domain of the ambient manifold $\mathcal{M}$; in particular, all the curvatures of $\mathcal{M}$ appearing in the evolution formula (c) of Lemma 4 are bounded. Hence, arguing as in (6.12) of [7], we can find two positive constants $C_1$, $C_2$ so that

$$
\left( \frac{\partial}{\partial t} - \Delta \right) g \leq \mathcal{S} - |L|^2 \left( \frac{2}{v\varphi'} + \frac{\varphi''}{\varphi^2} - \frac{3}{2 \varphi} \right) |\nabla \varphi|^2 - 2 \varphi \mathcal{H} \mathcal{H} L^3 \\
+ C_1 g + C_2 \sqrt{\varphi} g - \frac{1}{\varphi} \langle \nabla g, \nabla \varphi \rangle,
$$

with

$$
\mathcal{S} = -|L|^2 \varphi' \left( |L|^2 + \mathcal{R}ic(N, N) + \frac{(n-1)}{f^2} \left[ \frac{h'}{h} \right] \right) v + 2 \varphi |L|^4,
$$

where we have also used Lemma 10 and $|\nabla v| = |\nabla \varphi|/\varphi'$ to get, after rearranging and canceling terms, the inequality above.

Next, let us bound and/or rearrange the different terms in (6.2). First, from the definition of $\varphi$ in (6.1), it is easy to check

$$
\varphi' = \frac{2v}{(1 - kv^2)^2} = 2 \frac{\varphi^2}{v^2} \quad \text{and} \quad \left( \frac{2}{v\varphi'} + \frac{\varphi''}{\varphi^2} - \frac{3}{2 \varphi} \right) = \frac{\varphi - v^2}{2 \varphi^2}.
$$

Now we are in position to bound $\mathcal{S}$ as follows

$$
\mathcal{S} = \left( \frac{2 - \varphi' v}{\varphi^2} \right) g^2 \left( \mathcal{R}ic(N, N) + \frac{(n-1)}{f^2} \left[ \frac{h'}{h} \right] \right) \varphi' \varphi v g \leq \left( \frac{2 - \varphi' v}{\varphi^2} \right) g^2 + K_0 g.
$$

Here $0 < K_0 : = \mathfrak{K} \| \varphi' v/\varphi \| \infty \| f^{-2} \| \infty = 2 \mathfrak{K} \| (1 - kv)^{-1} \| \infty \| f^{-2} \| \infty \leq \mathfrak{K} \| f^{-2} \| \infty$ where $\mathfrak{K}$ is the constant coming from (5.6), and the last inequality is true by the choice of $k$.

Using $|\mathcal{H} L^3| \leq |L|^3$ and Young’s inequality with $\varepsilon = k \varphi$ for $k$ as in (6.1):

$$
-2 \varphi \mathcal{H} \mathcal{H} L^3 \leq 2 \varphi |\mathcal{H}|L^3 \leq 2 |\mathcal{H}| |L| g \leq \left( k \varphi |L|^2 + \frac{1}{4 k \varphi} 4 \mathcal{H}^2 \right) g = k g^2 + \frac{\mathcal{H}^2}{k \varphi} g.
$$

Plugging the expressions from (6.3) to (6.5) into (6.2) and using Corollary 9, we reach the inequality in the statement for two positive constants $K_1$ and $K_2$. □

In [7], we managed to exploit a special symmetry of the problem in order to apply the maximum principle directly to the inequality corresponding to that from Lemma 12 without having care of the boundary. However, our present setting lacks that symmetry and, therefore, we need to have into account the effect of the boundary. To do so, we consider another

\[ Springer\]
function $\psi(z)g$, which gives us more freedom to get interior and boundary estimates of the heat operator acting on such a new function.

### 7 Global curvature estimates

Before analyzing its behavior at the boundary (see Lemma 14), we have to deduce interior estimates for $\psi(z)g$ (cf. Lemma 13 below). A combination of the interior and boundary estimates for such an adhoc function will allow us to achieve global bounds for the curvature in Proposition 15, which will close this section.

**Lemma 13** Under the same hypotheses and notation as in Lemma 12, let us define $\tilde{g} = \psi(z)g$, where $\psi$ is any real function satisfying that $\psi, \psi'$ and $\psi''$ are bounded and $\psi > c$ for some constant $c > 0$.

Then there are positive constants $C_1, C_2, C_3$ such that

$$\left(\frac{\partial}{\partial t} - \Delta\right) \tilde{g} \leq - (2 \psi \ln \psi + \nabla \ln \varphi, \nabla \tilde{g}) - C_1 \tilde{g}^2 + C_2 \tilde{g} + C_3 \sqrt{\tilde{g}}. \quad (7.1)$$

**Proof** As $\psi$ is positive, using part (b) of Lemmas 5 and 12, we have

$$\left(\frac{\partial}{\partial t} - \Delta\right) \tilde{g} \leq \tilde{g}^{\psi'} \left[ H(N, \partial_z) + \left(\frac{\psi'}{f^2} - n\right) \frac{f'}{f} \right] - \frac{\psi'' \psi^2}{2} \tilde{g} - 2 (\nabla \psi, \nabla g) + \psi \left(-k g^2 + K_1 g + K_2 \sqrt{g} - \frac{1}{\psi} (\nabla g, \nabla \varphi) + \frac{\psi^2}{2} \frac{\psi' \psi}{2} \right).$$

Now for the gradient terms we compute

$$-2 (\nabla \psi, \nabla g) = \frac{2}{\psi} (\nabla \psi, -\nabla \tilde{g} + g \nabla \psi) = -2 (\nabla \psi, \nabla \tilde{g}) + 2 \frac{|\nabla \psi|^2}{\psi^2} \tilde{g}$$

$$-\frac{\psi}{\varphi} (\nabla \tilde{g}, \nabla \varphi) = \frac{1}{\varphi} (\nabla \psi, g \nabla \psi - \nabla \tilde{g}) = (\nabla \psi, \nabla \varphi) \tilde{g} = (\nabla \ln \varphi, \nabla \psi) \tilde{g}.$$

Therefore

$$\left(\frac{\partial}{\partial t} - \Delta\right) \tilde{g} \leq \left[ K_1 + \frac{\psi'}{\psi} \left[ H(N, \partial_z) + \frac{f'}{f} \left(\frac{1}{2} \frac{f'}{f^2} - n\right) \right] - \frac{\psi'' \psi^2}{2} + 2 \frac{|\nabla \psi|^2}{\psi^2} \right] \tilde{g}$$

$$- \frac{k}{\psi} \tilde{g}^2 + K_2 \sqrt{\psi} \sqrt{\tilde{g}} - (\nabla \tilde{g}, 2 \nabla \ln \psi + \nabla \ln \varphi) + \mathcal{D} \tilde{g}$$

with $\mathcal{D} = (\nabla \ln \psi, \nabla \ln \varphi) + \frac{\psi^2}{2} \varphi \frac{|\nabla \varphi|^2}{2 \varphi^2}.$

By explicit computation of $\nabla \varphi = \psi' \nabla \varphi$, it is easy to check that $\mathcal{D}$ is of order $|L|$. To compensate this, let us apply Young’s inequality with $\epsilon = k/ (2 \|f^2\|_{\infty})$:

$$\mathcal{D} \leq \frac{\|f^2\|_{\infty} |\nabla \psi|^2}{\psi^2} + \left( \frac{k}{\|f^2\|_{\infty}} + \frac{\psi^2 - \varphi}{\varphi} \right) \frac{|\nabla \varphi|^2}{2 \varphi^2} \leq \frac{\|f^2\|_{\infty} \psi^2}{2k} \frac{|\nabla \varphi|^2}{\varphi^2}$$

because $\nabla \psi = \psi'(z) \nabla z$, (6.1) implies $\frac{k}{\|f^2\|_{\infty}} + \frac{\psi^2}{\varphi} - 1 = k \left( \frac{1}{\|f^2\|_{\infty}} - \frac{\varphi}{\varphi^2} \right) \leq 0$, and $|\nabla z| = |t(z)| = |(t, \partial_z)| \leq 1$. 

\[\square\] Springer
Plugging the above inequalities into the definition of $\tilde{g}$, we reach

$$
\left( \frac{\partial}{\partial t} - \Delta \right) \tilde{g} \leq \left[ K_1 + \frac{|\psi'|}{\psi} \left( |\tilde{t}| + \frac{|f'|}{f} (n-1) \right) + \frac{|\psi''|}{\psi^2} \left( 2 + \frac{\|f''\|_\infty}{2k} \right) \right] \tilde{g}
- \frac{k}{\psi} \tilde{g}^2 + K_2 \sqrt{\psi} \sqrt{\tilde{g}} - \langle \nabla \tilde{g}, 2 \nabla \ln \psi + \nabla \ln \varphi \rangle .
$$

Applying Corollary 9 and using our hypotheses about $\psi$ and its derivatives, we deduce that all the coefficients of the different powers of $\tilde{g}$ in the above formula are bounded, which gives the positive constants in the statement. \qed

**Lemma 14** Set $\psi(z) := [f(z)]^{-2m}$ for any $m > 0$. Under the same hypotheses that in the preceding section, at the boundary $\partial M_t$, for $t \in ]0, T[$, one has

$$
\partial_z \tilde{g} = 2 \psi \frac{f'}{f} \mathcal{B},
$$

where

$$
\mathcal{B} := 3(n-1)k_1k_2 - (m + n - 2 + \varphi f^2)k_1^2 - (n - 1)(m + 1 + \varphi f^2)k_2^2 - k_1 H .
$$

**Proof** First, let us compute

$$
\partial_z |L|^2 = 2k_1 \partial_z k_1 + 2(n - 1)k_2 \partial_z k_2 = 2k_1 \partial_z H + 2(n-1)(k_2 - k_1) \partial_z k_2 . \quad (7.2)
$$

To compute $\partial_z H$, recall that on the boundary we have $t = \partial_z$ and $N = E_r$. Hence, along the boundary, for $t > 0$,

$$
\nabla_{\partial_z} E_r = \nabla_{\partial_z} N = \nabla H = t(H)t = \partial_z H \partial_z ,
$$

where we have used formula (a) from Lemma 4. Using the flow equation, we also get

$$
\nabla_{\partial_z} E_r = (H - H) \nabla N E_r = (H - H) \nabla E_r E_r = (H - H) \frac{f'}{f} \partial_z ,
$$

which yields

$$
\partial_z H |_{\partial M} = (H - H) \frac{f'}{f} . \quad (7.3)
$$

Next, taking into account (2.1), (3.6), $\dot{r} |_{\partial M} = 0$ and that $\nabla_{\partial_z} N |_{\partial M} = k_1 \partial_z$,

$$
\partial_z k_2 |_{\partial M} = \partial_z \left( \frac{h'}{h f} \langle N, E_r \rangle + \frac{f'}{f} \langle N, \partial_z \rangle \right) |_{\partial M} = - \frac{h'}{h f} + \frac{f'}{f} \langle \nabla_{\partial_z} N, E_r \rangle |_{\partial M} + \frac{f'}{f} \langle \nabla_{\partial_z} N, \partial_z \rangle |_{\partial M} = \frac{f'}{f} (k_1 - k_2) . \quad (7.4)
$$

Now, substituting (7.3) and (7.4) in (7.2), we get

$$
\partial_z |L|^2 = 2k_1 (H - H) \frac{f'}{f} - 2(n - 1) \frac{f'}{f} (k_2 - k_1)^2 = 2 \frac{f'}{f} \left( k_1^2 + (n - 1)k_1k_2 - k_1 H - (n - 1)k_2^2 + 2(n - 1)k_1k_2 - (n - 1)k_1^2 \right) = 2 \frac{f'}{f} \left( -k_1 H - (n - 2)k_1^2 + 3(n - 1)k_1k_2 - (n - 1)k_2^2 \right) . \quad (7.5)
$$
On the other hand, applying again (2.1) and \( \nabla_{\partial_z} N|_{\partial M} = k_1 \partial_z \), we obtain
\[
\partial_z v = \partial_z \left( (N, f E_r)^{-1} \right) = -v^2 \partial_z ((N, f E_r)) = -\frac{1}{f^2} \langle N, E_r \rangle \partial_z f = -\frac{f'}{f^2}. \tag{7.6}
\]
Then, from the definition of \( \tilde{g} \) and substituting the explicit expression of \( \psi \),
\[
\partial_z \tilde{g} = \partial_z \left( \psi(z) \psi(v)|L|^2 \right) = \psi' \tilde{g} + \psi \psi' |L|^2 \partial_z v + \psi \psi \partial_z |L|^2
\]
\[
= -2m \frac{f'}{f} \psi \tilde{g} - 2 \psi \frac{\psi^2}{v^3} \frac{f'}{f^3} |L|^2 + \psi \psi \partial_z |L|^2
\]
\[
= 2 \psi \psi \frac{f'}{f} \left( -(m + \psi f^2)|L|^2 - k_1 \bar{H} - (n-2)k_1^2 + 3(n-1)k_1 k_2 - (n-1)k_2^2 \right),
\]
where for the equality of the second line we have applied (6.3) and (7.6), and for the last equality we have used (7.5). Finally, substituting \(|L|^2 = k_1^2 + (n-1)k_2^2\) and rearranging terms, we reach the formula in the statement. \( \square \)

**Proposition 15** Let \( M_t \) be the solution of (1.1) with initial condition \( M \) in the setting \( \mathcal{E} \) and satisfying the boundary condition (1.4). If there are constants \( \delta \) and \( \rho \) so that \( \delta > \delta \geq r_i \geq \rho > 0 \), then we can find a positive constant \( C_0 = C_0(V, \tilde{g}, n, a, b, \rho, \delta) \) such that \(|L| \leq C_0 \) on \( M_t \).

**Proof** Observe that, when \( \tilde{g} \) attains its maximum in the interior, we can use, in the standard way, a maximum principle argument for the inequality in Lemma 13 (like in [7]) to conclude that \( \tilde{g} \) is bounded. Since \( \psi \) and \( \varphi \) are also bounded, we achieve the desired upper bound for \(|L|\).

It remains consider the case of \( \tilde{g} \) attaining the maximum at the boundary, so that \( \partial_z g|_{\partial M} \geq 0 \). Notice that \( \dot{r}|_{\partial M} = 0 \) which, by substitution in (3.6), gives
\[
|k_2| = \left| \frac{h'(r)}{h(r) f(z)} \right| \leq \frac{1}{\min\{f(a), f(b)\}} \left\| \frac{h'}{h} \right\|_{\infty} =: \tau_2.
\]

Let us assume \(|k_1| > \ell := \max\{1, \tau_2\} \). This allows us to estimate the quantity in Lemma 14 as
\[
\mathfrak{B} < 3(n-1)k_1 k_2 - k_1 \bar{H} - mk_1^2 \leq 3(n-1)|k_1| \ell + |k_1| \bar{H} - mk_1^2
\]
\[
< |k_1| (3(n-1) \ell + h_2 - m \ell),
\]
where \( h_2 \) is the constant coming from Corollary 9. If we choose \( m \geq h_2 + 3(n-1) \), we obtain from Lemma 14 that \( \partial_z \tilde{g}|_{\partial M} < 0 \), which contradicts the above assertion of \( \partial_z \tilde{g}|_{\partial M} \geq 0 \). In conclusion, \(|k_1| \leq \ell \), then \( \tilde{g} = (k_1^2 + (n-1)k_2^2) \psi \varphi \) has an upper bound on \( \partial M \) and thus \(|L|\) is bounded. \( \square \)

Once we have uniform upper bounds for \( |L| \), in order to get long time existence when the evolving manifold keeps away from the rotation axis, we also need that all the derivatives \(|\nabla^k L|\) be bounded, which again will require a careful analysis of what happens on the boundary. We address this issue in the next section.

**8 The first singularities of the motion are produced at the axis of revolution**

Here we prove the following result, which assures long time existence unless the evolving hypersurface reaches the rotation axis.
Theorem 16 Let \( M_t \) be the maximal solution of (1.1), defined on \([0, T]\), with initial condition \( M \) in the setting \( \mathcal{C}q \) and satisfying (1.4). Then either

\[
T = \infty \quad \text{or} \quad \inf_{[0, T]} \min_{M_t} r(\cdot, t) = 0 \quad \text{or} \quad \sup_{[0, T]} \max_{M_t} r(\cdot, t) = \delta.
\]

Proof First notice that, if the flow is defined on \([0, T]\), \( \min_{M_t} r_t(x) > 0 \) and \( \max_{M_t} r_t(x) < \delta \) for each \( t \in [0, T] \). Let us assume \( \rho = \inf_{[0, T]} \min_{M_t} r(\cdot, t) > 0 \) and \( \delta = \sup_{[0, T]} \max_{M_t} r(\cdot, t) < \delta \); then the goal is to show that the solution of the flow can be prolonged after \( T \), which is a contradiction.

Since \( r_t \in [\rho, \delta] \), our evolving hypersurface remains within a bounded subset of the ambient manifold \( \bar{M} \); accordingly, we have bounds for the curvature \( \bar{R} \) and its covariant derivatives. Hence, following the same procedure of \([14, 15]\), we can find a constant \( D_1 = D_1(n, \bar{g}, C_0, h_2) \) (where \( h_2 \) and \( C_0 \) are the constants coming from Corollary 9 and Proposition 15, respectively) such that

\[
\frac{\partial}{\partial t}|\nabla L|^2 \leq \Delta|\nabla L|^2 + D_1(|\nabla L|^2 + 1). \tag{8.1}
\]

We define

\[
\Psi := |\nabla L|^2 + \xi|L|^2, \tag{8.2}
\]

for some positive constant \( \xi \) to be specified later. For the time derivative of \( \Psi \), (8.1) yields

\[
\frac{\partial \Psi}{\partial t} \leq \Delta|\nabla L|^2 + D_1(|\nabla L|^2 + 1) + \xi \frac{\partial}{\partial t}|L|^2 \\
\leq \Delta \Psi + (D_1 - 2\xi)|\nabla L|^2 + D_1 + \xi D_2,
\]

where \( D_2 \) comes from bounding the curvature terms, \( |\bar{H}| \) and the different powers of \( |L| \) in Lemma 4 (c).

If we choose \( \xi \geq D_1 \) and \( D_3 := D_1 + \xi D_2 \), having into account (8.2) and Proposition 15, we deduce

\[
\frac{\partial \Psi}{\partial t} \leq \Delta \Psi - \xi|\nabla L|^2 + D_3 \leq \Delta \Psi - \xi \Psi + \xi^2 C_0^2 + D_3.
\]

From here, a maximum principle argument ensures that \( \Psi \) (and then \( |\nabla L| \)) is bounded if it is bounded at the boundary. To ensure that the latter indeed happens, we consider the equivalent flow Eq. 3.8, take derivatives with respect to \( z \) and, after that, evaluate on \( \partial M \) having in mind that \( \dot{\bar{r}} = 0 \), \( \frac{\partial \bar{r}}{\partial t} = 0 \), \( |\dot{\bar{c}}| = 1 \) and \( \partial_z |\dot{\bar{c}}| = 2 \dot{\bar{r}} f'(\dot{\bar{r}} f + \dot{f} f') = 0 \) on the boundary. Doing so, we obtain

\[
0 = \ddot{\bar{r}} + (n + 1) \frac{f'}{f} \dot{\bar{r}} + 2(n - 1) \frac{h'}{h} \frac{f'}{f^3} - \frac{\bar{H} f'}{f^2}. \tag{8.3}
\]

Again by Proposition 15, \( |L| \big|_{\partial M} \) is bounded which, combined with (3.5), gives a bound for \( \dot{r}_{\partial M} \). Thus (8.3) implies that \( \dot{r}_{\partial M} \) is bounded; this, again by (3.5), ensures that \( |\nabla L| \) is bounded on the boundary, as we needed to show. Then \( |\nabla L| \) is bounded on the whole \( M \), and so is \( \ddot{\bar{r}} \).

Next, we can substitute the solution \( r(z, t) \) in (3.8) and see that it is a solution of the linear PDE

\[
\frac{\partial r}{\partial t} = a(z, t) \ddot{\bar{r}} + b(z, t), \tag{8.4}
\]

\( \ast \) Springer
where
\[
a = \frac{1}{1 + (\dot{r} f)^2}, \quad b = \frac{f'}{f} \left( \frac{1}{1 + (\dot{r} f)^2} + n \right) - (n - 1) \frac{h'}{hf^2} + \frac{\sqrt{1 + (\dot{r} f)^2}}{f}.
\tag{8.5}
\]

Until now we have proved that \( r, \dot{r}, \ddot{r}, \dot{H} \) and \( \dddot{H} \) are bounded. Then from (3.8) it follows that also \( \frac{\partial H}{\partial r} \) is bounded. Taking derivatives in (3.8) with respect to \( z \) we obtain \( \frac{\partial H}{\partial z} \) as a function depending on \( z, \dot{r}, \ddot{r}, \dot{H} \) and \( \dddot{H} \), hence it is also bounded. Moreover, it follows from (4.7), (4.8) and (4.4) that \( \frac{\partial H}{\partial r} \) is bounded if \( r, \dot{r}, \frac{\partial H}{\partial t} \) and \( \frac{\partial H}{\partial z} \) are bounded (which we know is true) and \(|M_t|\) is bounded from below by a positive constant. But this last condition follows from \(|M_t| \geq A_V\), the last being the \( n \)-volume of the hypersurface of minimum area enclosing a volume \( V \) and with boundary orthogonal to and included between the hypersurfaces \( z = a, z = b \). Summing up, we conclude that \( \frac{\partial H}{\partial r} \) and \( \frac{\partial H}{\partial z} \) are bounded.

Following the notation in [18] for the Hölder norms, the bounds mentioned before imply, by Theorem 5.4, p. 322 in [18], that \( |r|(3) \) is bounded. Repeating the argument (doing the standard bootstrapping argument), we have that, for every \( m, |r|(m) \) is bounded by some constant depending on \( m \), then also \( |\nabla^m L| \) is bounded, and arguing as in [14] we can continue the flow after \( T \).

\[\square\]

9 Initial conditions giving long time existence and convergence

**Theorem 17** If, for an initial hypersurface \( M \) in the setting \( \mathcal{E}q \), we have the following upper bound for the area \( |M| \):
\[
|M| \leq \frac{\min \{ V, \text{vol}(G) - V \}}{\|f^{-n}\|_\infty \int_a^b f(z)^n dz},
\tag{9.1}
\]
then the solution of (1.1) satisfying (1.4) is defined for all \( t > 0 \), and there is a subsequence of times \( t_n \) for which the corresponding solution converges to a revolution hypersurface of constant mean curvature in \( \bar{M} \).

In our setting \( \mathcal{E}q \), when \( \zeta = \infty \), \( \text{vol}(G) = \infty \) and the hypothesis reduces to a uniform upper bound on the ratio \( |M| / V \). When \( \zeta < \infty \), \( \text{vol}(G) \) is finite, and \( \text{vol}(G) - V \) has the same right than \( V \) to be called the volume enclosed by \( M \), then the necessity to modify the hypothesis when \( \text{vol}(G) \) is finite is quite natural.

**Proof** We can assume that our initial \( M \) has non-constant \( H \) (since, otherwise, it is a steady soliton of the flow (1.1) and the statement follows trivially). Then Lemma 4 (b) implies
\[
|M_t| < |M| \quad \text{and} \quad r_2(t) < r_2 \quad \text{for any} \ t > 0,
\tag{9.2}
\]
where \( r_2(t) \) is the upper bound of \( r \) at time \( t \) obtained by direct application of (4.1) using \( |M_t| \) instead of \( |M| \).

Observe that, when \( M_t \) is a graph (and we take \( z = s \)), the first inequality in (4.3) becomes an equality which yields \( r_m(t) \leq r_1 \leq r_M(t) \). Given \( t_0 > 0 \), we set
\[
\varepsilon = |M| - |M_{t_0}| > 0 \quad \text{and} \quad \rho = \inf_{[t_0, T]} \{r_m(t)\}.
\]

Now we use the continuity of the function \( F(\ell) = \omega_{n-1} \min_{[a,b]} f(z)^n \int_a^b h(r)^n-1 dr \) at \( \ell = \rho \) to choose a \( t_\varepsilon \geq t_0 \) so that \( r_m(t_\varepsilon) \) is close enough to \( \rho \) in order to imply \( F(r_m(t_\varepsilon)) > F(\rho) - \varepsilon / 2 \). Plugging the latter and \( r_1 \leq r_M(t) \) into (4.5) leads to
\[ |M| = |M_0| + \varepsilon \geq |M_t| + \varepsilon > \omega_{n-1} \min_{[a,b]} f(z)^n \int_{\rho}^{r_1} h(r)^{n-1} dr + \frac{\varepsilon}{2} \]

\[ = \omega_{n-1} \| f^{-n} \|_{\infty}^{-1} \left( \delta(r_1) - \int_{\rho}^{\rho} h(r)^{n-1} dr \right) + \frac{\varepsilon}{2} \]

\[ = \frac{V}{\| f^{-n} \|_{\infty} \int_{a}^{b} f(z)^{n} dz} - \omega_{n-1} \| f^{-n} \|_{\infty} \int_{0}^{\rho} h(r)^{n-1} dr + \frac{\varepsilon}{2}, \]

where we have applied the definition of \( r_1 \) in (4.2). Note that the above inequality is compatible with the hypothesis (9.1) only if \( \rho > 0 \). Hence

\[ r_t \geq \rho > 0 \quad \text{for every} \quad t \in [t_0, T]. \quad (9.3) \]

On the other hand, the quantity \( \text{vol}(G) \) can be written (cf. (4.3)) as

\[ \text{vol}(G) = \omega_{n-1} \left( \int_{a}^{b} f(z)^{n} dz \right) \left( \int_{0}^{\frac{\delta}{2}} h(r)^{n-1} dr \right), \]

which gives

\[ \delta(z) = \frac{1}{\omega_{n-1} \int_{a}^{b} f(z)^{n} dz} \frac{\text{vol}(G)}{\| f^{-n} \|_{\infty}}. \]

Next, (4.1) together with the hypothesis (9.1) imply

\[ \delta(r_2) = \frac{1}{\omega_{n-1} \int_{a}^{b} f(z)^{n} dz} \left( \| M \| f^{-n} \|_{\infty} + \frac{V}{\int_{a}^{b} f(z)^{n} dz} \right) \leq \frac{1}{\omega_{n-1} \int_{a}^{b} f(z)^{n} dz} \left( \text{vol}(G) - V \frac{\int_{a}^{b} f(z)^{n} dz}{\int_{a}^{b} f(z)^{n} dz} \right) \]

\[ = \delta(z). \]

Accordingly, \( r_2 \leq z \) and, thanks to (9.2), we have \( \eta = r_2 - r_2(t_0) > 0 \). Using Proposition 6 for any fixed time \( t \geq t_0 \) and the definition of \( r_2(t) \) combined with the decreasing of the area under the flow, we reach

\[ r_t < r_2(t) \leq r_2(t_0) = r_2 - \eta =: d \leq z - \eta < z. \quad (9.4) \]

Then, from (9.3) and (9.4) we conclude, because of Theorem 16, that the solution of (1.1) is defined on \([0, \infty[\); hence \( r \) is bounded uniformly from above and below on \([0, \infty[\). After the results of Sect. 5, it is clear that \( \dot{r} \) remains bounded all the time. In addition, the proof of Theorem 16 shows that \( |\nabla J L|^2 \) is uniformly bounded for every \( j \geq 0 \). Once we have all these bounds, it follows from (3.5) and (3.6) (taking \( z = s \)) that all the derivatives of \( r \) are bounded on \([0, \infty[\).

We are now in position to apply Arzelà-Ascoli Theorem to ensure the existence of a sequence of maps \( r_t \) satisfying (3.8) which \( C^\infty \)-converges to a smooth map \( r_\infty : [a, b] \to \mathbb{R}^+ \) also solving (3.8). A standard argument like in [6] proves that the limiting hypersurface \( M_\infty = (z, r_\infty(z), u) \) has constant mean curvature. \( \square \)
Here we give a final remark for those readers familiar with [7], who may wonder why the above proof is not as short as that of the corresponding result (namely, Theorem 12) in [7]. The reason is that there is a “typo” in the hypothesis on the inequality satisfied by $|M|$: where it says “$\leq$”, it should say “$<$”. To attain the same result using the weaker assumption “$\leq$” as in the theorem above, one needs to obtain finer estimates like in the previous proof. On the other hand, the proof of the convergence of the sequence in [7, Theorem 12] has an issue, in fact, what is actually proved there is the existence of a convergent subsequence.

Acknowledgments This research was partially supported by grants DGI(Spain) and FEDER Project MTM2010-15444 and the Generalitat Valenciana Project Prometeo 2009/099. The first author was partly supported by The Leverhulme Trust.

A Appendix—Proof of Lemma 5

First, we shall obtain the evolution of $r$

$$\frac{\partial r}{\partial t} = (\mathcal{H} - H) \langle \nabla r, N \rangle = (\mathcal{H} - H) \frac{u}{f^2}. \quad (10.1)$$

Since $\nabla_t t = 0$ and $E_i(r) = 0$ (as can be easily deduced from Lemma 2), we have

$$\Delta r = tt(r) - \sum_{i=2}^{n} \nabla E_i E_i(r). \quad (10.2)$$

We compute, using (5.3) and (3.2),

$$t(r) = \langle \nabla r, t \rangle = \frac{1}{f} \langle E_r, t \rangle = -\frac{1}{f} \langle N, \partial_z \rangle \quad (10.3)$$

and, using (3.2) and Lemma 2 repeatedly,

$$tt(r) = \frac{f'}{f^2} \langle t, \partial_z \rangle \langle N, \partial_z \rangle - \frac{1}{f} \langle \nabla_t N, \partial_z \rangle - \frac{1}{f} \langle N, \nabla_t \partial_z \rangle

= \frac{f'}{f^2} \langle N, E_r \rangle \langle N, \partial_z \rangle - \frac{1}{f} \langle t, \partial_z \rangle k_1 - \frac{1}{f} \langle t, E_r \rangle \langle N, \nabla E, \partial_z \rangle

= \frac{f'}{f^3} u \langle N, \partial_z \rangle - \frac{1}{f} \langle N, E_r \rangle k_1 + \frac{1}{f} \langle N, \partial_z \rangle \left\{ N, \frac{f'}{f} E_r \right\}

= 2 \frac{f'}{f^3} u \langle N, \partial_z \rangle - \frac{u}{f^2} k_1. \quad (10.4)$$

On the other hand, using (2.4), (3.2) and (3.6),

$$(\nabla E_i E_i)(r) = \langle \nabla E_i E_i, t \rangle t(r) = \left( \frac{1}{f} \frac{h'}{h} \langle t, E_r \rangle + \frac{f'}{f} \langle t, \partial_z \rangle \right) \frac{1}{f} \langle N, \partial_z \rangle

= -\frac{h'}{f^2 h} \langle N, \partial_z \rangle \langle N, \partial_z \rangle + \frac{f'}{f^2} \langle N, E_r \rangle \langle N, \partial_z \rangle = \frac{1}{f^2} \left( u k_2 - \frac{h'}{h} \right). \quad (10.5)$$

Now, substituting (10.4) and (10.5) in (10.2), we have

$$\Delta r = 2 \frac{f'}{f^3} u \langle N, \partial_z \rangle + (n - 1) \frac{h'}{f^2 h} - \frac{1}{f^2} H u. \quad (10.6)$$
Therefore, for any function $\phi : \mathbb{R} \rightarrow \mathbb{R}$, we have
\[
\left( \frac{\partial}{\partial t} - \Delta \right) \phi (r) = \phi' \left( \frac{\partial}{\partial t} - \Delta \right) r - \phi'' |\nabla r|^2
\]
\[
= \phi' \left( \frac{H u}{f^2} - 2 \frac{f'}{f^3} u \langle N, \partial_z \rangle - (n-1) \frac{h'}{f^2 h} \right) + \frac{\phi''}{f^2} \left( \frac{u^2}{f^2} - 1 \right),
\]
(10.7)
where the second equality follows plugging (10.6) into (10.1), and we have also used that $|\nabla r|^2 = |t(r)|^2 = \frac{1}{f^2} \langle N, \partial_z \rangle^2$.

In order to prove part (b) of Lemma 5, we first need the evolution equation of the axial coordinate $z$.
\[
\frac{\partial z}{\partial t} = (H - H') \langle \nabla z, N \rangle = (H - H) \langle N, \partial_z \rangle.
\]
(10.8)

From Lemma 2 it is easy to compute that
\[
\Delta z = t t(z) + \sum_{i=2}^{n} \nabla E_i E_i(z),
\]
with $t(tz) = t((t, \partial_z)) = \langle \nabla t, \partial_z \rangle + \langle t, \nabla \partial_z \rangle$
\[
= -k_1 \langle N, \partial_z \rangle + \langle t, E_r \rangle \langle t, \nabla E_r, \partial_z \rangle = -k_1 \langle N, \partial_z \rangle + \frac{f'}{f} \langle N, \partial_z \rangle^2,
\]
and, using again (2.4), (3.2) and (3.6),
\[
(\nabla E_i E_i)(z) = \langle \nabla E_i E_i, t \rangle t(z) = - \left( \frac{f'}{f} \langle t, \partial_z \rangle^2 + \frac{h'}{f^2 h} \langle t, E_r \rangle \langle t, \partial_z \rangle \right)
\]
\[
= - \frac{f'}{f} \langle N, E_r \rangle^2 + \frac{h'}{h f} \langle N, E_r \rangle \langle N, \partial_z \rangle
\]
\[
= - \frac{f'}{f} \langle N, E_r \rangle^2 + \langle N, \partial_z \rangle k_2 - \langle N, \partial_z \rangle^2 \frac{f'}{f} = - \frac{f'}{f} + \langle N, \partial_z \rangle k_2.
\]

The above computations lead to
\[
\Delta z = -H \langle N, \partial_z \rangle + \frac{f'}{f} \left( n - \frac{u^2}{f^2} \right).
\]
(10.9)

Finally, for any $\psi : \mathbb{R} \rightarrow \mathbb{R}$, we get from (10.8) and (10.9)
\[
\left( \frac{\partial}{\partial t} - \Delta \right) \psi (z) = \psi' \left( \frac{\partial}{\partial t} - \Delta \right) z - \psi'' |\nabla z|^2
\]
\[
= \psi \left( \frac{H \langle N, \partial_z \rangle + \frac{f'}{f} \left( \frac{u^2}{f^2} - n \right) \langle N, \partial_z \rangle - \psi'' u^2}{f^2} \right).
\]

**B Appendix—A hypersurface in the setting $E_q$ with negative averaged mean curvature**

For the cases with $1 < q < \infty$ there is a simple argument showing that, in the setting $E_q$, there must be revolution hypersurfaces inside $G$ with boundary orthogonal to $\partial G$ and $H < 0$. In fact, let
us suppose that $\overline{H} > 0$. The metric of $\overline{M}$ can also be written, taking $(z, u)$ instead of $(0, u)$ as the center of the spherically symmetric $(\mathcal{S}, \sigma)$, as $d\bar{z}^2 + f(z)^2 d\bar{r}^2 + f(z)^2 h(z - \bar{r})^2 g_{\sigma_{n-1}}$. With the metric written this way, the old axis $\mathcal{A}_-$ will be called $\tilde{\mathcal{A}}_+$ now, and $M$ will be given as generated by the graph over $\tilde{\mathcal{A}}_+$ of the function $\bar{r}(z) = r(\bar{z} - z)$. Now the domain bounded by $M$ will be $G - \Omega$, and, as we consider positive the orientation given by the normal pointing outward, the positive orientation is now reversed with respect to the original one, which gives $\overline{H} < 0$.

Anyway, we give here an explicit case with $\overline{H} < 0$ for $\bar{z} < \infty$ and another for $\bar{z} = \infty$.

First, we consider the case $(C2)$ of a revolution hypersurface inside a spherical crown in $\mathbb{R}^3$. As axis of symmetry we choose the $y$ axis, and as generating curve we take a part of the cycloid

$$(x(s), y(s)) = (2s - \sin(s/2) + 2\pi, 2 - \cos(s/2)),$$

which, written with the coordinates $(z, r)$ used to describe $(\overline{M}, \overline{g})$ (see the picture on the left), is

$$(z(s), r(s)) = \left(\sqrt{x(s)^2 + y(s)^2}, \arctan(x(s)/y(s))\right).$$

More precisely, we pick the portion $(z(s), r(s))$ for $s \in [s_1, s_2]$, where $s_1 = 4.33453$ and $s_2 = 12.7571$ are two consecutive values of $s$ satisfying $\dot{r}(s_i) = 0$ (which guarantees that the revolution hypersurface generated by this curve is orthogonal to the boundary of the spherical crown $G$ between the spheres $z = z(s_1)$ and $z = z(s_2)$). If we now apply the formula (4.7) for $\overline{H}$, we obtain $\overline{H} = -\frac{\omega_{n-1}}{|M|} 1.55553 < 0$. 

![Diagram of a cylindrical surface with generating curve and coordinate axes labeled z, x, y, r(s), z(s), and y(s).]
Although explicit, the fact that $\bar{z} < \infty$ and the remark done at the beginning of this Appendix make this example not too interesting. However we shall take the same expressions of $z(s), r(s), s_1$ and $s_2$ to obtain an example in the case (C5) (which obviously corresponds to $\bar{z} = \infty$) of a revolution hypersurface between two parallel horospheres in the hyperbolic space of dimension 3. Using again formula (4.7) we obtain $H = -\omega n^{-1} |M|^{\frac{1}{2}}$, $724881024 < 0$. 

References

1. Alikakos, N.D., Freire, A.: The normalized mean curvature flow for a small bubble in a Riemannian manifold. J. Differ. Geom. 64, 247–303 (2003)
2. Athanassenas, M.: Volume-preserving mean curvature flow of rotationally symmetric surfaces. Comment. Math. Helv. 72, 52–66 (1997)
3. Athanassenas, M.: Behaviour of singularities of the rotationally symmetric, volume-preserving mean curvature flow. Calc. Var. 17, 1–16 (2003)
4. Bartnik, R.: Existence of maximal surfaces in asymptotically flat spacetimes. Comm. Math. Phys. 94, 155–175 (1984)
5. Berard, P., Gallot, S.: Inégalités isopérimétriques pour l’équation de la chaleur et application à l’estimation de quelques invariants, Séminaire équations aux dérivés partielles (Polytechnique) (1983-1984), Exp. No 15, p.1–34. http://archive.numdam.org/numdam-bin/feuilleter?j=SEDP
6. Cabezas-Rivas, E., Miquel, V.: Volume preserving mean curvature flow in the Hyperbolic Space. Indiana Univ. Math. J. 56(5), 2061–2086 (2007)
7. Cabezas-Rivas, E., Miquel, V.: Volume-preserving mean curvature flow of revolution hypersurfaces in a Rotationally Symmetric Space. Mathematische Zeitschrift 261(3), 489–510 (2009)
8. Ecker, K., Huisken, G.: Interior estimates for hypersurfaces moving by mean curvature. Invent. Math. 105, 547–569 (1991)
9. Ecker, K.: Regularity theory for mean curvature flow. In: Progress in Nonlinear Differential Equations and Their Applications, vol. 57. Birkhäuser Boston, Inc., Boston, MA (2004)
10. Eschenburg, J.H.: Comparison theorems and hypersurfaces. Manuscripta Math. 59, 295–323 (1987)
11. Escher, J., Simonett, G.: The volume preserving mean curvature flow near spheres. Proc. A. Math. Soc. 126, 2789–2796 (1998)
12. Grigor’yan, A.: Analytic and geometric background of recurrence and non-explosion of the Brownian motion on Riemannian manifolds. Bull. Am. Math. Soc. 36, 135–259 (1999)
13. Grove, K., Petersen, E.: A radius sphere theorem. Invent. Math. 112, 577–583 (1993)
14. Huisken, G.: Flow by mean curvature of convex surfaces into spheres. J. Differ. Geom. 20, 237–266 (1984)
15. Huisken, G.: Contracting convex hypersurfaces in Riemannian manifolds by their mean curvature. Invent. Math. 84, 463–480 (1986)
16. Huisken, G.: The volume preserving mean curvature flow. J. Reine Angew. Math. 382, 35–48 (1987)
17. Kasue, A.: On a lower bound for the first eigenvalue of the Laplace operator on a Riemannian manifold. Ann. Sci. Ecole. Norm. Sup. 17, 31–44 (1984)
18. Ladyzhenskaya, O.A., Solonnikov, V.A., Uraltseva, N.N.: Linear and Quasilinear Equations of Parabolic Type. American Mathematical Society, Providence (1968)
19. Li, H.: The volume-preserving mean curvature flow in Euclidean Space. Pac. J. Math. 245, 331–355 (2009)
20. Lluch, A., Miquel, V.: Bounds for the First Dirichlet Eigenvalue Attained at an infinite Family of Riemannian Manifolds. Geometriae Dedicata 61, 51–69 (1996)
21. Mayer, U.W., Simonett, G.: Self-intersections for the surface diffusion and the volume preserving mean curvature flow. Differ. Integral Equ. 13, 1189–1199 (2000)
22. McCoy, J.A.: Mixed volume preserving curvature flows. Calc. Var. 24, 131–154 (2005)
23. O’Neill, B.: Semi-Riemannian Geometry. Academic Press, New York (1983)