Original research

Lung directed antibody gene transfer confers protection against SARS-CoV-2 infection

Yue Du,1 Kamran M Miah,1 Omar Ḥabib,1 Helena Meyer-Berg,1 Catriona C Conway,1 Mariana A Viegas,1 Rebecca Dean,1 Dwiantari Satyapertiwi,1 Jincun Zhao,2 Yanqun Wang,2 Nigel J Temperton,3 Toby P E Gamlen,1 Deborah R Gill,1 Mariana A Viegas,1 Rebecca Dean,1 Dwiantari Satyapertiwi,1 Jincun Zhao,2 Yanqun Wang,2 Nigel J Temperton,3 Toby P E Gamlen,1 Deborah R Gill,1

ABSTRACT

Background The COVID-19 pandemic continues to be a worldwide threat and effective antiviral drugs and vaccines are being developed in a joint global effort. However, some elderly and immune-compromised populations are unable to raise an effective immune response against traditional vaccines.

Aims We hypothesised that passive immunity engineered by the in vivo expression of anti-SARS-CoV-2 monoclonal antibodies (mAbs), an approach termed vectored-immunophrophylaxis (VIP), could offer sustained protection against COVID-19 in all populations irrespective of their immune status or age.

Methods We developed three key reagents to evaluate VIP for SARS-CoV-2: (i) we engineered standard laboratory mice to express human ACE2 via rAAV9 in vivo gene transfer, to allow in vivo assessment of SARS-CoV-2 infection, (ii) to simplify in vivo challenge studies, we generated SARS-CoV-2 Spike protein pseudotyped lentiviral vectors as a simple mimic of authentic SARS-CoV-2 that could be used under standard laboratory containment conditions and (iii) we developed in vivo gene transfer vectors to express anti-SARS-CoV-2 mAbs.

Conclusions A single intranasal dose of rAAV9 or rSiV/FHN vectors expressing anti-SARS-CoV-2 mAbs significantly reduced SARS-CoV-2 mimic infection in the lower respiratory tract of hACE2-expressing mice. If translated, the VIP approach could potentially offer a highly effective, long-term protection against COVID-19 for highly vulnerable populations; especially immune-deficient/sexenial individuals, who fail to respond to conventional SARS-CoV-2 vaccines. The in vivo expression of multiple anti-SARS-CoV-2 mAbs could enhance protection and prevent rapid mutational escape.

INTRODUCTION

The current COVID-19 pandemic, caused by SARS-CoV-2, has ravaged the globe. Many of the vaccine candidates being developed have yielded positive results in clinical trials, generating high levels of antibodies1,2 and providing clinical protection.3 However, the induction of such protective immunity is entirely dependent on the treated individual’s immune system to develop antigen-specific immunity, and it remains unclear whether diverse populations will respond to the antigen-based vaccine regimens to the same extent. In all likelihood, people will respond to the current vaccines to different degrees and some groups of individuals with poor immunogenicity will struggle to raise a protective immune response.

An alternative strategy is to use vector-mediated immunoprophylaxis (VIP) against SARS-CoV-2 infection, which could circumvent some limitations. VIP involves the delivery of genes encoding neutralising antibodies into target cells via gene transfer; subsequently, the monoclonal antibody (mAb) protein is synthesised in vivo, secreted into the local milieu and ultimately the systemic circulation. Viral vectors can be exploited for VIP, including recombinant Adeno-Associated Virus (rAAV) vectors that provide long-term and stable transgene expression with low vector immunogenicity and high tolerability.4 In particular, rAAV-mediated delivery of neutralising antibodies is a promising strategy against HIV,5 filovirus,6 respiratory syncytial virus6 and influenza virus.8–10 More recently, recombinant simian immunodeficiency virus (SIV) pseudotyped with the fusion (F) and

Key messages

What is the key question?

⇒ Can we generate an in vivo model of SARS-CoV-2 infection based on standard laboratory mice, for testing new therapeutics such as passive vaccination with anti-SARS-CoV-2 antibodies?

What is the bottom line?

⇒ Using a mimic of SARS-CoV-2 based on recombinant lentivirus pseudotyped with SARS-CoV-2 Spike protein, we created a humanised in vivo mouse model of SARS-CoV-2 infection, and showed long-term, passive vaccination by gene transfer of antibody sequences.

Why read on?

⇒ Our humanised mouse model and SARS-CoV-2 mimic offers a rapid, inexpensive and efficient method to evaluate therapeutic interventions to halt SARS-CoV-2 infection. It will be of interest to researchers studying COVID-19 and other respiratory pathogens and, importantly, can be implemented under standard laboratory biosafety conditions without the need to breed and maintain transgenic animals.

To cite: Du Y, Miah KM, Habib O, et al. Thorax 2022;77:1229–1236.
haemagglutinin-neuraminidase (HN) surface glycoproteins from Sendai virus (rSIVF/HN) has also been used to express broadly neutralising mAbs in the airways to protect against a supra-lethal influenza infection. To our knowledge, there have been no published, peer-reviewed reports on the application of VIP for SARS-CoV-2.

In this study, we delivered the rAAV and rSIVF/HN gene transfer platforms via intranasal and intramuscular administration routes to express NC0321, a prototypical SARS-CoV-2 neutralising mAb. We then challenged the mAb-treated mice with S-LV (a SARS-CoV-2 pseudovirus created from a recombinant HIV1 lentiviral vector pseudotyped with the D614G derivative of the SARS-CoV-2 Spike (S) protein). We used S-LV infection to investigate the prophylactic efficacy of the NC0321 mAb produced by the in vivo gene transfer vectors. These proof-of-principle studies demonstrate that viral infection can be inhibited by vector-mediated delivery of anti-SARS-CoV-2 mAb genes. We call this strategy ‘COVID-19 Vectored Immunoprophylaxis’ (COVIP). Importantly, the COVIP approach could offer potent protection against authentic SARS-CoV-2 infection in populations that fail to respond to conventional SARS-CoV-2 vaccines.

MATERIALS AND METHODS
Detailed methods can be found in online supplemental information.

RESULTS
S-LV as a mimic of SARS-CoV-2
We aimed to generate a non-replicative mimic of SARS-CoV-2 capable of a single-cycle of infection for use under simple laboratory conditions. Importantly, cellular entry of SARS-CoV-2 relies on the viral Spike protein binding to the human angiotensin-converting enzyme 2 (hACE2) receptor, an interaction synergised by cleavage of Spike by transmembrane protease serine 2 (TMPRSS2). We hypothesised that a third-generation HIV lentiviral vector pseudotyped with the SARS-CoV-2 Spike protein (termed S-LV) would retain similar receptor dependencies. We found that S-LV particles could be readily prepared and showed that, similar to SARS-CoV-2 and other SARS-CoV-2 surrogates, S-LV infection of cells in vitro was absolutely dependent on hACE2 and was significantly enhanced in the presence of hTMPRSS2 (figure 1A).

rAAV vector can mediate hACE2 expression in vivo
Since S-LV pseudovirus could mimic SARS-CoV-2 infection in vitro, we next aimed to create an in vivo infection model to evaluate potential therapeutic interventions. However, laboratory mouse are not naturally susceptible to COVID-19 infection due to ACE2 receptor incompatibility. Others have chosen to generate, breed and use hACE2 expressing transgenic mice to overcome this limitation. As a more accessible alternative, we provided hACE2 and (in some studies) hTMPRSS2 in trans to facilitate SARS-CoV-2 or S-LV entry, thus generating a murine model of SARS-CoV-2 infection.

We used in vivo delivery of both rAAV9 and rAAV6.2 vectors to provide the necessary cellular receptors. Vectors carrying hACE2 or the reporter eGFP were administered to mouse lungs via intranasal instillation and 14 days post-delivery, we observed abundant eGFP expression with both vectors. For rAAV9, eGFP expression was largely restricted to the parenchyma of the lung, predominantly in cells with an alveolar type I (ATI) morphology. In contrast, rAAV6.2 directed eGFP expression in both the lung parenchyma, predominantly in cells with an alveolar type II (ATII) morphology, and in cells of the conducting airway (figure 1B). The significant sequence homology between human and murine (m)ACE2 meant that distinguishing their expression by IHC was challenging, therefore in situ hybridisation was used to detect vector-derived hACE2 expression via the linked WPRE sequence. figure 1C shows that consistent with the observed eGFP signal, hACE2 expressed from rAAV9 was rarely observed in the conducting airway and was largely restricted to the lung parenchyma, while rAAV6.2 vector expression was observed in cells of the conducting airway, terminal bronchi and alveoli.

rAAV vector-mediated hACE2 expression facilitates S-LV infection
Having established that hACE2 and hTMPRSS2 could be provided in trans to the murine airway via rAAV vectors, we then asked whether hACE2 could facilitate S-LV transduction in murine lungs, and whether infectivity could be enhanced by hTMPRSS2 coexpression. To address this, mice were first treated intranasal with 7E10 genome copies (GC) rAAV6.2 hACE2, 1E11 GC rAAVhACE2, or cocktails of rAAV9.hACE2 and rAAV9. hTMPRSS2 vectors where the total rAAV9 dose delivered was fixed at 1E11 GC. Mice were infected 14 days later with 890 ng μm. (C) Lung sections were subjected to RNAscope in situ hybridisation analysis 14 days after intranasal delivery of 1xD-PBS (control), 1E11 GC of rAAV9 or 7E10 GC rAAV6.2 vectors expressing eGFP (n=3/group). Scale bar=500 μm. (D) Type II cell specific Sftpb probe (green), 4′,6-diamidino-2-phenylindole (DAPI) stained nuclei (blue). AW, airway; P, parenchyma. Scale bar=125 μm.
Respiratory infection of p24 of S-LV Luciferase (intranasal) and monitored for S-LV-dependent luciferase expression kinetics (figure 2A). Consistent with the in vitro study findings, mouse lungs were refractory to S-LV infection in the absence of hACE2 expression. In contrast, mouse lungs that were primed with hACE2 by either rAAV9 or rAAV6.2 showed abundant luciferase expression after infection of p24 of S-LV Luciferase (intranasal) and monitored for S-LV-dependent luciferase expression kinetics (figure 2A). Consistent with the in vitro study findings, mouse lungs were refractory to S-LV infection in the absence of hACE2 expression. In contrast, mouse lungs that were primed with hACE2 by either rAAV9 or rAAV6.2 showed abundant luciferase expression after infection

Figure 2 In vivo delivery of hACE2 allows the SARS-CoV-2 mimic S-LV to infect the lungs of standard laboratory mice. (A) Experimental design for in vivo investigation of hACE2/hTMPRSS2 delivery via rAAV vectors to support S-LV infection. BALB/c mice (n=4–8/group) were transduced intranasally with the indicated rAAV vector(s) or vehicle (1x-D-PBS). At 14 days post-rAAV delivery, as indicated, lungs were infected with 890 ng p24 of an S-LV expressing firefly luciferase. S-LV dependent in vivo luciferase bioluminescence was monitored for each animal 1, 2, 4, 7, 14, 21 and 38 days post-S-LV infection. (B) Representative in vivo bioluminescence images of mice pretreated 14 days previously with the indicated doses of rAAV. hACE2 and hTMPRSS2 vectors and, as indicated, subsequently challenged with 890 ng p24 of S-LV at day 0. Repeated bioluminescence imaging of S-LV dependent luciferase expression was performed at the indicated time points. Bioluminescence values (photons/s/cm²/sr) are presented as a pseudocolour scale as indicated. (C) Time-course of bioluminescence for the indicated treatment groups after infection with 890 ng p24 of S-LV. Symbols represent group mean±SD, n=4–8 per group. The dotted line indicates the mean naïve background signal. (D) Area under curve of bioluminescence values (photons/s/cm²/sr×days) for each animal in B and C was computed, symbols represent individual animals and group mean±SD (ANOVA, Dunnett’s multiple comparison against the unlabelled treatment group (1E11 GC rAAV9.hACE2); ns, * and **** represent p>0.05, <0.05 and <0.0001, respectively).
with S-LV. Luciferase activity was detectable above background from as early as 24 hours after S-LV infection with signal intensity increasing to a peak at approximately 7 days (figure 2B). Consistent with the lentiviral vector heritage of S-LV, luciferase expression was long-lived, though it should be noted that an early peak of signal intensity (approximately 7 days post-infection) fell to plateau at around 21 days post-infection (figure 2C). The S-LV mediated luciferase expression was monitored over a 38-day time-course, showing luciferase signal intensity achieved with hACE2 priming was more than 200-fold greater than without priming (p<0.0001, figure 2D). While the signal intensity achieved with rAAV6.2.hACE2 priming tended to be ~twofold lower than that achieved with rAAV9 (approximately 100-fold over no priming) this difference failed to reach significance (p=0.2722). Interestingly, and in contrast with our in vitro findings, incorporation of 1E10 GC of rAAV9.hTMPRSS2 had no positive benefit on S-LV infection in vivo and the use of 5E10 rAAV9.hTMPRSS2 significantly reduced (p=0.0221) the S-LV signal to only 60-fold over background (figure 2D). Nevertheless, the higher absolute signal observed using rAAV9.hACE2, together with the substantially lower production yields of rAAV6.2.hACE2 restricting the priming dose that could be delivered, led us to focus on rAAV9. hACE2 priming in all subsequent studies.

S-LV kinetics and dose-dependency in hACE2-expressing mice
To gain a more thorough insight into the transduction kinetics of S-LV, we performed dose titration studies in hACE2-expressing mice which were infected with 0, 9.4, 94, 470 and 940 ng of S-LV (figure 3A). We observed a dose-dependent increase in S-LV mediated luciferase expression (figure 3B). As in the previous studies, in vivo bioluminescence in each hACE2-expressing mouse rose to a peak at approximately 7 days post-infection (figure 3C). Notably, infection with either 470 or 940 ng of S-LV produced comparable lung luciferase activity (p>0.9999, figure 3D), consistent with S-LV infection in this model being limited by the rAAV9-mediated hACE2 expression above 470 ng of p24 of S-LV. Importantly, the 470 ng p24 dose of S-LV produced significantly higher lung luciferase activity than the 0, 9.4 or 94 ng p24 dose of S-LV (p<0.001, p<0.001 and p=0.0012, respectively). To avoid any limitation in S-LV signal, we proceeded with the saturating S-LV challenge dose of 470 ng p24 S-LV in subsequent therapeutic protection studies.

In vitro and in vivo IgG expression mediated by AAV and SIV/F/HN vectors
To establish proof-of-principle for COVIP, we wished to express in vivo a potent anti-SARS-CoV-2 mAb to inhibit S-LV infection in our mouse model. The mAb NC0321 was originally isolated from the convalescent serum of a patient recovered from SARS-CoV-2 infection in China (Zhao JC, in preparation). We established that the single-open reading frame version of NC0321 used (figure 4A and online supplemental figure 1A) could both potently bind the receptor binding domain (RBD) portion of SARS-CoV-2 Spike protein (figure 4B) and block S-LV infection in our in vitro cell model (figure 4C). We then examined the ability of alternative gene transfer vector configurations to mediate expression of NC0321 IgG in vivo. Following delivery of rSIVF/HN, NC0321 and rAAV9, NC0321 vectors via the intranasal route, and rAAV8.NC0321 via intramuscular injection, we monitored NC0321 expression levels in mouse sera at 7, 14 and 28 days post-delivery. All groups treated with an NC0321 expressing vector contained significantly more NC0321 mAb in the serum than control animals (p<0.0001; figure 4D). Delivery of rAAV8 via the intramuscular route resulted in the most rapid accumulation of NC0321, reaching a peak (~3.9 µg/mL in serum within 7 days, which was essentially sustained to the end of the time-course (~2.5 µg/mL at day 28). Vectors delivered via the intranasal route had both slower kinetics (reaching a plateau after approximately 14 days post-delivery) and lower, sustained serum levels (~0.8 µg/mL and ~0.3 µg/mL for rAAV9 and rSIVF/HN, respectively). At the end of the study, 28 days after vector delivery, all mice were culled and BALF collected to determine levels of NC0321 in lung epithelial lining fluid (ELF). All groups treated with vector expressing NC0321 contained significantly more NC0321 mAb in the ELF than control animals (all p<0.05; figure 4E). Delivery via rAAV9 intranasal treatment resulted in the highest NC0321 ELF levels (~65 µg/mL), significantly higher than achieved with rSIVF/HN, also delivered via the intranasal route (~3 µg/mL; p=0.0053). In contrast, rAAV8 intramuscular delivery was associated with intermediate ELF levels (~18 µg/mL) that were not significantly different (p=0.7451) from those achieved with rAAV9. Taken together, these observations indicate that gene transfer vectors expressing anti-SARS-CoV-2 mAb, delivered via intranasal (rAAV9 or rSIVF/HN) or intramuscular (for rAAV8) routes, results in abundant serum and ELF accumulation of mAb protein.

In vivo viral vector-mediated protection against S-LV infection
After confirming NC0321 mAb expression in mouse sera via three different in vivo gene transfer strategies, it was important to determine whether the expressed mAb could reduce S-LV infection. As a control, we utilised gene transfer vectors expressing anti-influenza mAb T1-3B16 of the same IgG isotype as NC0321. Twenty-one days after hACE2 and NC0321/T1-3B expression was established in the lungs of BALB/c mice, we infected the study animals from figure 4D, E with 470 ng p24 of S-LV (figure 5A). Luciferase expression mediated by S-LV infection was monitored for 7 days post challenge; in vivo imaging data are presented for representative animals (figure 5B), and all individual mice and treatment groups (online supplemental figure 1B,C). While minor variations in the S-LV signal between the three T1-3B treatment groups were noted, most likely a consequence of the complex study design using three gene transfer vectors (online supplemental figure 1D), these failed to reach significance suggesting no major impact on functional mAb or hACE2 levels. Crucially, over the course of the study (figure 5C), the S-LV luciferase signal intensity achieved on treatment with rAAV9.NC0321 was substantially reduced (~0.71 log or 79.6% protection; p=0.004 compared with T1-3B treatment. Similarly, treatment with rSIVF/HN NC0321 also significantly reduced the S-LV luciferase signal intensity (~0.25 log or 55.1% protection; p=0.0124). Interestingly, while the S-LV luciferase signal achieved on treatment with rAAV8.NC0321 tended to be lower than that with negative control T1-3B mAb, indicating a modest positive treatment effect (~0.18 log or 31.2% protection), this was not statistically significant (p=0.2605). Together, these data suggest that the intranasal delivery of rSIVF/HN or rAAV9. NC0321 can confer robust protection against a saturating infectious dose of a SARS-CoV-2 mimic.

Importantly, we also confirmed that NC0321 from mouse serum retained biological function in an in vitro neutralisation assay. As expected, sera from mice receiving the control rAAV8. T1-3B vector showed no neutralising activity against an S-LV expressing GFP, whereas sera from mice receiving the rAAV8. NC0321 vector demonstrated potent neutralising activity (online supplemental figure 1E); this confirms that rAAV8.
Respiratory infection

NC0321 treatment resulted in the production of biologically active NC0321. Moreover, binding assays showed that rAAV8 NC0321 sera were able to bind to six different RBD proteins including some with mutations that appear to confer enhanced infection or the potential to escape pre-existing immunity. These include Wuhan strain as reference, S G614, RBD N501Y, RBD N439K, RBD Y453F and RBDS477N mutants (figure 5D).

**DISCUSSION**

In this study, we first generated S-LV, a third-generation lentiviral vector pseudotyped with the SARS-CoV-2 Spike protein. Like native SARS-CoV-2, cellular infection by S-LV requires hACE2. Subsequently we created an in vivo model of SARS-CoV-2 infection that can be readily produced using standard laboratory animals, by expressing hACE2 in trans from rAAV hACE2 vectors. Importantly, there appeared to be a positive correlation between the dose of rAAVhACE2 and the S-LV infection in this humanised mouse model. Our result suggests that rAAVhACE2 priming should permit authentic SARS-CoV-2 infection. Thus our in-house humanised mouse model, generated by rAAV-mediated hACE2 overexpression, should be suitable for studying authentic SARS-CoV-2 infections and evaluating other prophylactic or therapeutics options. A similar approach to mouse model generation was reported in the studies performed by Israelow et al., Han et al. and Sun et al. where both adenoviral vector (rAd) and rAAV vector approaches were used. Importantly, rAAV transduction is associated with lower vector-mediated inflammation and immunogenicity than rAd treatment, a feature that may

**Figure 3** S-LV mouse lung infection is limited by hACE2 availability. (A) Experimental design for in vivo investigation of S-LV dose-response. BALB/c mice (n=3/group) were dosed intranasal with 1E11 GC rAAV9.hACE2 to establish hACE2 expression. Fourteen days later, lungs were infected with 0–940 ng p24 of an S-LV expressing firefly luciferase. S-LV dependent in vivo luciferase bioluminescence was monitored for each animal 1, 2, 4 and 7 days post-S-LV infection. (B) Representative in vivo bioluminescence images of mice, treated as described in (A). Repeated bioluminescence imaging to monitor S-LV dependent luciferase expression was performed at the indicated time points. Bioluminescence values (photons/s/cm²/sr) are presented as a pseudocolour scale as indicated. (C) Time-course of bioluminescence after S-LV infection for the indicated treatment groups as described in (A). Symbols represent group mean±SD, n=4–8 per group. The dotted line indicates the mean naïve background signal. (D) Area under curve of bioluminescence (photons/s/cm²/sr×days) for each animal in C) was computed, symbols represent group mean±SD (ANOVA, Dunnett’s multiple comparison against the unlabelled treatment group (470ng p24); ns, **, *** and **** represent p>0.05, p<0.05, <0.001 and <0.0001, respectively).
allow generation of a more informative mouse model. Importantly, while murine models of SARS-CoV-2 infection tend not to demonstrate the full range of pathology observed following human infection,\textsuperscript{24} they can provide simple, rapid, pharmacodynamic assays to evaluate interventions to modulate viral titres. One major limitation of the hACE2 expressing murine model we adopted is that the biodistribution of hACE2 mediated by intranasal rAAV9 transduction may not be identical to that of natural hACE2 in human lungs—where expression is predominantly noted on the apical surface of alveolar type II cells.\textsuperscript{23} Crucially, studies described here could be completed in standard animal laboratories without the need for the very high levels of biological containment required for utilising SARS-CoV-2, and without the cost and animal wastage associated with the maintenance, breeding and supply of hACE2 transgenic animals. Therefore, this unique rAAV-hACE2/S-LV model could provide a rapid and efficient method to researchers interested in studying COVID-19 and other respiratory pathogens, regardless of the limitations of biosafety level and the availability of commercial humanised animal models.

Subsequently, using our humanised (hACE2-expressing) murine model, we evaluated the performance of alternative VIP strategies. We chose rSIV/F/HN, rAAV9 and rAAV8 vectors to establish NC0321 expression in vivo as we, and others, have had previous positive experiences expressing a range of IgG molecules with these vectors.\textsuperscript{9} 24–26 We established a significant degree of protection against infection of 470 ng p24 of our SARS-CoV-2 mimic at, or near, the primary site of inoculation of respiratory pathogens. Both rAAV9 and rSIV/F/HN mediated NC0321 expression via intranasal delivery can result in significant prophylactic efficacy against a saturating infectious inoculum of S-LV. It would be reasonable to assume that this treatment effect would be even more marked against a more typical environmentally acquired (sub-saturating) SARS-CoV-2 infection. One caveat to these findings is the magnitude of the prophylactic efficacy observed. While both rAAV9 and rSIV/F/HN mediated NC0321 expression resulted in a significant reduction in S-LV infection, this inhibition was not total, and residual S-LV infection was still observed. Any consequences of this limitation remain to be elucidated in follow-on studies. Crucially, both rAAV9 and rSIV/F/HN vectors have been shown to provide life-long sustained IgG expression,\textsuperscript{9} and the unique rSIV/F/HN platform can also be effectively repeatedly administered\textsuperscript{27} 28 should therapeutic antibody levels need to be boosted to improve efficacy or augmented with alternate mAbs to inhibit immune escape.

Interestingly, despite antibody expression from rAAV8 vectors being considered for treatment of a number of pathogens of importance,\textsuperscript{29} 30 and rAAV8 vector being thought to mediate transgene expression more ubiquitously than other rAAV serotypes,\textsuperscript{31} we found relatively poor performance with rAAV8. A number of potential mitigating circumstances/explanations for these findings with rAAV8 can be postulated, such as unfavourable expression kinetics (though largely discounted by the speed of NC0321 appearance in the serum), or unfavourable biodistribution and antibody clearance (largely discounted by the accumulation of NC0321 in the ELF).

Despite the complexity of our experimental setting, mice did not show symptoms of stress (eg, weight loss) during the experiments (online supplemental figure 1F); and thus all the studies reported here were performed while imposing, at worst, only mild perturbations of the animals physiology—a significant refinement over traditional approaches to respiratory pathogen challenge/protection studies where humane endpoints are often used to minimise animal suffering. Importantly, studies assessing the degree of protection offered against more realistic (sub-saturating) infective S-LV doses and challenge with authentic SARS-CoV-2 will be incorporated in future work. Such studies may include evaluation of survival and immunological consequences.

Importantly, we showed that NC0321 can bind to different SARS-CoV-2 variants (figure 5D) including: the RBD mutation N501Y present in the Alpha (B.1.1.7) lineage SARS-CoV-2 strain widely circulating in the UK at the beginning of 2021;\textsuperscript{32} the RBD with mutation Y453F is found in the mink-associated CoV-2 variant in the Netherlands. The binding and neutralising activity of NC0321 with other strains is currently being 1234 Du Y, et al. Thorax 2022;77:1229–1236. doi:10.1136/thoraxjnl-2021-217650
Respiratory infection investigated, including the Beta lineage (B.1.351) first identified in South Africa (with key mutations N501Y+E484K+K417N) and Gamma lineage (P.1) first identified in the Brazilian population (with key mutations K417T+E484K+N501Y). We are also investigating whether the binding activity of NC0321 is compromised with the crucial E484K mutation. If not, NC0321 may be rapidly adapted in a COVIP setting for these newly emerging and more infectious SARS-CoV-2 clades. 

Notably, our approach is not limited to NC0321 antibody or to the use of a single mAb reagent. Indeed, we note that serum levels of NC0321 achieved following in vivo vector delivery were lower than can be achieved by optimisation of the IgG backbone sequences.8 Thus, enhanced derivatives of NC0321 or emerging mAbs with higher potency and more broadly neutralising activity33 34 could be readily assembled in rAAV or rSIV.F/HN vectors. Importantly, a cocktail strategy with multiple mAbs containing non-overlapping/non-competing antigen binding to Spike and other SARS-CoV-2 targets could be used to enhance the protection offered and prevent rapid mutational escape.35 For example, the Regeneron’s antibody cocktail Ronapreve (casirivimab and imdevimab) authorised by US Food and Drug Administration.36 Similarly, soluble receptor decoys engineered to efficiently neutralise SARS-CoV-2 could be incorporated into the vector cocktail to boost our SARS-CoV-2 inhibitory strategy.37–39

In conclusion, by using a versatile, humanised mouse model and SARS-CoV-2 mimic, we evaluated a VIP strategy against COVID-19. An intranasal delivery route was simple to implement and the long duration of IgG expression observed benefited from the very slow turnover rate of lung cells. In murine studies, the lung tissue is easily accessible for localised vector administration via instillation. When translated to humans, this prophylactic approach could be delivered via nasal spray to provide protection against respiratory diseases in all recipients; these include but are not limited to vulnerable individuals who

Figure 5 Vector-mediated expression of NCO321 antibody protects against S-LV infection. (A) Experimental design to test efficacy of the COVID-19 Vectored Immunoprophylaxis strategy in vivo. Groups of mice were dosed as indicated (groups 1–7) under a single anaesthesia to establish hACE2 and NC0321 expression. Twenty-one days later, animals were infected with an S-LV expressing firefly luciferase (day 0) and, subsequently, S-LV dependent in vivo luciferase bioluminescence monitored for each animal on days 1, 2, 4 and 7. Serum and BALF samples were taken at indicated times for determination of NC0321 IgG levels. (B) Vectored delivery and expression of NC0321 to mouse lungs significantly inhibits S-LV infection. Groups 1–7 of BALB/c mice (n=10/group) were treated as indicated and after 21 days infected with 470 ng p24 of an S-LV expressing firefly luciferase (day 0). Representative images of in vivo bioluminescence are shown 7 days post-S-LV infection for each of the six treatment groups. Bioluminescence values (photons/s/cm²/sr) are presented as a pseudocolour scale as indicated. (C) Area under curve of bioluminescence (photons/s/cm²/sr×days) for each animal in B was computed. To aid visualisation, bioluminescence values were normalised such that T1-3B isotype control values were 100%. Control values were obtained from animals that were infected with S-LV but had not received rAAV9-hACE2. Group mean±SD is indicated (ANOVA, Dunnett’s multiple comparison against the unlabelled treatment group; ns, **, *** and **** represent p>0.05, <0.01, <0.001 and <0.0001, respectively). (D) Serum from mice 28 days post receiving rAAV8.NC0321 was collected, and limiting dilutions were made to measure the binding activity against SARS-CoV-2 Spike or receptor binding domain proteins of newly emerging SARS-CoV-2 variants as indicated. Negative control is binding activity observed with cell culture medium only.
are unable to mount an effective immunological response to either SARS-CoV-2 infection or vaccination.

Acknowledgements The authors would like to thank Dr Kuan-Ying A. Huang (Chang Gung Memorial Hospital, Taiwan), Dr Pramila Rijal, Dr Tong Kit Tan and Professor Alain R Townsend (WIMM, University of Oxford, UK) for assistance with control mAb reagents.

Contributors YD designed and performed the majority of the experiments; KMM designed and generated S-LV and performed S-LV in vitro activity studies; OH assisted with rAAV vector production and rAAV8 intramuscular injection; HM-B assisted with in situ hybridisation and rAAV vector production; OH and KMM assisted with serum and BALF sample collection; CCC, RD, MD, AVS, DS and TPEG assisted with plasmid and vector production. YW and JZ provided SARS-CoV-2 monoclonal antibody NC0321 sequence; NT provided a Spike plasmid; DRG and SCH conceived and supervised the project and assisted in experimental design; YD and SCH performed data analysis; YD wrote the initial draft, with KMM, DRG and SCH providing editorial comments. All authors read and approved the manuscript. SCH is responsible for the overall content and acts as the guarantor.

Funding These studies were supported by a Wellcome Trust Portfolio grant 110579/2/15/Z. For the purpose of open access, the author has applied a CC BY public copyright licence to any Author Accepted Manuscript version arising from this submission.

Competing interests YD, KMM, DRG and SCH hold IP in relation to S-LV vector production; OH and KMM hold IP in relation to S-LV. YD, OH, KMM, DRG and SCH hold IP in relation to ISLV/FHN technology. DRG and SCH hold IP in relation to S-LV technology. DRG and SCH hold IP in relation to ISLV/FHN technology.

Patient consent for publication Not applicable.

Ethics approval This study does not involve human participants.

Provenance and peer review Not commissioned; externally peer reviewed.

Data availability statement All data relevant to the study are included in the article or uploaded as supplementary information.

Open access This is an open access article distributed in accordance with the Creative Commons Attribution 4.0 Unported (CC BY 4.0) license, which permits others to copy, redistribute, remix, transform and build upon this work for any purpose, provided the original work is properly cited, a link to the licence is given, and indication of whether changes were made. See: https://creativecommons.org/licenses/by/4.0/.

REFERENCES

1. Folegatti PM, Everington KJ, Aley PK, et al. Safety and immunogenicity of the ChAdOx1 nCoV-19 vaccine against SARS-CoV-2: a preliminary report of a phase 1/2, single-blind, randomised controlled trial. Lancet 2020;396:467–78.
2. Polack FP, Thomas SJ, Kitchin N, et al. Safety and efficacy of the BNT162b2 mRNA Covid-19 vaccine. N Engl J Med 2020;383:2603–15.
3. Ramasamy MN, Minassian AW, Everington KJ, et al. Safety and immunogenicity of ChAdOx1 nCoV-19 vaccine administered in a primes-booster regime in young and old adults (COV003): a single-blind, randomised, controlled, phase 2/3 trial. Lancet 2021;396:1979–93.
4. Coella C, Ronzitti G, Mingozzi F. Emerging Issues in AAV-Mediated Expression of Monoclonal Antibodies Provides 100% Protection Against Ebola Virus Infection in Mice. J Infect Dis 2018;217:916–25.
5. Del Rosario JMM, Smith M, Zaki K, et al. Protection from influenza by intramuscular gene delivery of a broadly neutralizing pan-disease monkey does not depend on antibody dependent cellular cytotoxicity. Front Immunol 2020;11:627.
6. Palacios GA, Wadsworth FB, Masure CH, et al. Adeno-Associated Virus Mediated Expression of Monoclonal Antibodies Provides 100% Protection Against Ebola Virus Infection in Mice. J Infect Dis 2018;217:916–25.
7. Pridy FH, Lewis DM, Gelderblom HC, et al. Adeno-Associated virus vectored immunophylaxis to prevent HIV in healthy adults: a phase 1 randomised controlled trial. Lancet HIV 2019;6:e230–9.
8. Oguta AO, Van Lierop LP, Soule G, Sorensen D, et al. Inflammation in acute infection and convalescence: a systematic review and meta-analysis. PLoS Negl Trop Dis 2021;15:e0009551.
9. Baum A, Fulton BC, Wlocha E, et al. Antibody cocktail to SARS-CoV-2 spike protein prevents rapid mutational escape seen with individual antibodies. Science 2020;369:1014–8.
10. Weinreich DM, Sivalapalingam S, Norton T, et al. REGN-COVID-19, a neutralizing antibody cocktail, in outpatients with Covid-19. N Engl J Med 2021;384:238–51.
11. Kim J, Mukherjee A, Nelson D, et al. Rapid generation of circulating and mucosal decay ACE2 using mRNA nanotherapeutics for the potential treatment of SARS-CoV-2. BioRxiv 2020. doi:10.1101/2020.07.24.205583. [Epub ahead of print. 25 Jul 2020].
12. Limberis MP, Wilson JM. Adeno-associated virus serotype 9 vectors transduce murine alveolar and nasal epithelia and can be readministered. Proc Natl Acad Sci U S A 2006;103:12993–8.
13. Limberis MP, Adam VS, Wong G, et al. Intranasal antibody gene transfer in mice and ferrets elicits broad protection against pandemic influenza. Sci Transl Med 2013;5:187ra72.
Supplementary methods

Virus vectors

Viral vector genome plasmids were engineered to include for rAAV8 a muscle-optimised CASI promoter 1, for rAAV9 the lung optimised hCEFI promoter 2, or for rSIV the hCEF derivative, lacking a chimeric intron 3; all incorporated the Woodchuck Hepatitis Virus Post-transcriptional Regulatory Element (WPRE) to enhance expression 4, and a mirT-142-3p to improve immunologic tolerance 5. Vectors used included eGFP, firefly luciferase or chimeric human IgG1 cDNAs (NC0321 anti-SARS-CoV-2 or T1-3B anti-influenza); IgG heavy and light chains were co-expressed in a single open reading frame (ORF) configuration 6. For rAAV6.2 or rAAV9 hACE2 expression, a similar vector genome configuration with the CMV transgene promoter was used. The S-LV genome was a third-generation HIV vector including a CMV transgene promoter and an eGFP or firefly luciferase cDNA.

The production of rAAV, rSIV.F/HN and S-LV vectors was performed by co-transfection of human embryonic kidney (HEK) 293T cells with genome and packaging plasmids using (rAAV) Polyethylenimine (PEI, PolySciences) or (rSIV.F/HN and S-LV) PEIpro® (Polyplus). All AAV vectors included AAV2-based vector genomes. Alternate AAV serotypes were produced by use of AAV2 Rep and appropriate serotype Cap sequences. All rSIV vectors were pseudotyped with the F and HN proteins of Sendai virus as described previously 3. The S-LV (rHIV.Spike.G614+Δ19aa.CMV) vector was pseudotyped with the SARS-CoV-2 Spike protein Wuhan sequence (GenBank accession: 43740568) modified by inclusion of a D614G mutation and removal of the 19 C-terminal amino acids. Vectors were purified by (rAAV) discontinuous Iodixanol gradient ultracentrifugation 7 or (rSIV.F/HN, S-LV) anion exchange chromatography and tangential flow filtration.

Physical titre (genome copies/mL: GC/mL) of rAAV vectors was determined by quantitative polymerase chain reaction (qPCR) analysis with primers and a probe against WPRE 8. Functional titre of rSIV.F/HN vectors (transducing units/mL: TU/mL) was determined using the same primer/probes on DNA extracted following transduction of HEK293F cells with dilutions of vector preparations 9. Physical titre (ng
p24) of S-LV particles was determined using a p24 immunoassay (SEK11695, Sino Biological).

**Animal studies**

All procedures involving laboratory mice were carried out in accordance with UK Home Office approved project and personal licenses under the terms of the Animals (Scientific Procedures) Act 1986 (ASPA 1986). Animals were arbitrarily assigned to study groups using an open-label randomised block approach. Overall, 134 animals were used (Fig 1: n=15, Fig 2: n=34, Fig 3: n=15, Fig 4/5: n =70).

**Vector administration**

Female BALB/c mice (5-8 week) were purchased from Envigo RMS UK. For all dosing procedures, mice were lightly anaesthetised by isoflurane. Where lung expression of hTMPRSS2 and/or hACE2 was required, cocktails of rAAV vectors were delivered by nasal instillation (I.N.) of a 100µL volume onto the nares via a single and continuous droplet. Where mAb or control transgene expression was required, the relevant rAAV9 or rSIV.F/HN vector was included in the same cocktail. For intramuscular injection (I.M.) (AAV8), a 50 µL volume was injected via 22-gauge needle into the gastrocnemius muscle. After the indicated period, specified animals were challenged with S-LV, which was also delivered via nasal instillation as described.

**Immunohistochemistry and In Situ Hybridization**

Left lung sections of BALB/c mice were isolated and fixed with 4% paraformaldehyde, embedded in sucrose 30% (w/v) at RT overnight, followed by injection of Optimal Cutting Temperature medium and 30% (w/v) sucrose mixture. The embedded left lung was cryo-sectioned at 7 µm thickness. For immunohistochemistry (IHC) analysis, sections from each sample was stained after antigen retrieval. Slides were washed, permeabilised, blocked, followed by the incubation in 20 µg/ml of primary antibody anti-eGFP antibody (ab6556, 1:1000, Abcam) overnight and subsequently with secondary antibody Goat Anti-Rabbit IgG H&L (Alexa Fluor 488, ab150077, at 1:500, Abcam). Sections were mounted under coverslips with DAPI pro-long antifade (P36935, Invitrogen™) before imaging using an EVOS FL2 fluorescence microscope with an 20X long working distance objective. For In Situ Hybridization, slides were
probed targeting the WPRE sequence (WPRE-O1, ACD, #450261) found in all AAV expression cassettes in this study, and/or the ATII cell marker Surfactant protein B (\textit{Sftpb}-noX-human, ACD, #539421-C2) according to the RNAscope® manufacturer’s instructions (323100-USM, ACD). Opal dyes 520 and 570 (#NEL741E001KT and #NEL744E001KT, 1:1500, Perkin Elmer) were used to visualise the \textit{Sftpb} and WPRE signal, respectively.

\textbf{In Vivo Luciferase Imaging}

\textit{In vivo} lung luciferase activity was determined following S-LV administration using IVIS spectrum imaging system (IVIS Lumina LT, Series III, PerkinElmer). This highly sensitive and linear method to determine lung transgene expression levels\cite{note1} can be achieved utilising recovery anaesthesia - allowing repeated measure in the same animal, allowing a substantial reduction in animal numbers over conventional luciferase activity measures that require lung tissue that can only be provided with a terminal procedure. Briefly, at the chosen time-points, \textit{in vivo} lung luciferase activity was determined 10 minutes after mice were administered 100 μl 15 mg/ml D-luciferin (Xenogen Corporation Alameda) via the I.N method described for vector administration. Average bioluminescence (photons/sec/cm$^2$/sr) values are presented using a pseudo colour range to represent light intensity. Bioluminescence in each murine lung was measured within a standardised tissue area.

\textbf{IgG expression profiling and binding activity}

At indicated time points post vector delivery, serum and Broncho alveolar lavage fluid (BALF) was obtained\cite{note9}. Human IgG expression in serum and BALF was measured by ELISA (anti-Human IgG Fc domain, Bethyl Laboratories) according to the manufacturer’s instructions. Urea levels were measured in serum and BALF (ab83362, Abcam). The concentrations of IgG levels in epithelial lining fluid (ELF) were determined by correcting for BALF sample dilution via normalizing found urea levels in serum and BALF\cite{note12}.

\textbf{Statistical analysis}

Treatment group sizes were selected to achieve >0.8 power using G*Power 3.1.9.6 software\cite{note13}. Post-hoc statistical analysis was performed using Prism 8.4.3 (GraphPad
Software). Where possible, comparisons of multiple treatment groups were performed using one-way ANOVA followed by Dunnett’s multiple comparisons test to a chosen comparator group or Tukey’s comparison of all groups as appropriate; if necessary, data were log-normalised to assure adherence to the assumptions of ANOVA. Where the assumptions of one-way ANOVA were violated, the non-parametric Kruskal-Wallis test followed by Dunn’s multiple comparisons test to a chosen comparator group was used. Where indicated, Area Under Curve (AUC) of time-course studies were computed from individual data, multiple comparisons of AUC between treatment groups were performed as described above. Errors were reported as the standard deviation of the mean (SD). In all cases, p value < 0.05 was considered statistically significant. In figures, ns, *, **, *** and **** indicate p-values of >0.05, <0.05, <0.01, <0.001 and <0.0001 respectively.
Supplementary Figure 1

A  SDS-PAGE analysis reducing (left) and non-reducing (right) of produced monoclonal antibody. In reduced form, two bands were shown in 50 and 25 kDa and in non-reducing SDS-PAGE condition, only one band was seen in about 150 kDa indicating full length of IgG.

B  Delivery of rAAV9 NC0321 and rSIV.F/HN.NC0321 to mouse lungs significantly inhibits S-LV infection. BALB/c mice (n=10/group) were treated with the indicated doses of rAAV9.hACE2, and rSIV.F/HN, rAAV9 or rAAV8 expressing either NC0321 or the T1-3B isotype control by the I.N. or I.M. route as indicated. 21 days later, mice were infected with 470 ng p24 of an S-LV expressing firefly luciferase. Representative in vivo bioluminescence images of mouse lungs 7 days post S-LV infection are shown for each treatment group. Bioluminescence values (photons/sec/cm²/sr) are presented as a pseudocolor scale as indicated. Of note: one mouse in rAAV9.T1-3B group had very low bioluminescent signal, this was likely caused by a failure to deliver S-LV. This animal’s data was included in the group analysis.

C  Time-course of bioluminescence imaging data for the indicated treatment groups after infection with 470 ng p24. Symbols represent group mean±SD. The dotted line indicates the mean naïve background signal.

D  Area Under Curve (AUC) of bioluminescence (photons/sec/cm²/sr x days) values for each animal receiving T1-3B was computed, symbols represent individual animals and group mean±SD (ANOVA, Dunnett’s multiple comparison against the unlabelled treatment group; ns, and **** represent p>0.05 and <0.0001 respectively). The dotted line indicates the mean signal from naïve mice.

E  Sera from animals receiving rAAV8.NC0321 inhibit S-LV.eGFP infection of hACE2+hTMPRSS2-expressing 293T cells (representative of n=2 independent infections).

F  Weights of mice treated as described in A (n=10/group). Box and whisker plots represent the indicated treatment groups (inter-quartile range is shown as coloured vertical bars, group median is indicated with the horizontal line, whisker represent the 5-95% range).
Reference

1. Balazs AB, Chen J, Hong CM, et al. Antibody-based protection against HIV infection by vectored immunoprophylaxis. Nature 2011;481(7379):81-4. doi: 10.1038/nature10660 [published Online First: 2011/12/06]

2. Hyde SC, Pringle IA, Abdullah S, et al. CpG-free plasmids confer reduced inflammation and sustained pulmonary gene expression. Nat Biotechnol 2008;26(5):549-51. doi: 10.1038/nbt1399 [published Online First: 2008/04/29]

3. Alton EW, Beeke MJ, Boyd AC, et al. Preparation for a first-in-man lentivirus trial in patients with cystic fibrosis. Thorax 2017;72(2):137-47. doi: 10.1136/thoraxjnln-2016-208406 [published Online First: 2016/11/16]

4. Zufferey R, Donello JE, Trono D, et al. Woodchuck hepatitis virus posttranscriptional regulatory element enhances expression of transgenes delivered by retroviral vectors. J Virol 1999;73(4):2886-92. doi: 10.1128/JVI.73.4.2886-2892.1999 [published Online First: 1999/03/12]

5. Annoni A, Brown BD, Cantore A, et al. In vivo delivery of a microRNA-regulated transgene induces antigen-specific regulatory T cells and promotes immunologic tolerance. Blood 2009;114(25):5152-61. doi: 10.1182/blood-2009-04-214569 [published Online First: 2009/10/02]

6. Balazs AB, Bloom JD, Hong CM, et al. Broad protection against influenza infection by vectored immunoprophylaxis in mice. Nat Biotechnol 2013;31(7):647-52. doi: 10.1038/nbt.2618 [published Online First: 2013/06/04]

7. Ojala DS, Amara DP, Schaffer DV. Adeno-associated virus vectors and neurological gene therapy. Neuroscientist 2015;21(1):84-98. doi: 10.1177/1073858414521870 [published Online First: 2014/02/22]

8. Meyer-Berg H, Zhou Yang L, Pilar de Lucas M, et al. Identification of AAV serotypes for lung gene therapy in human embryonic stem cell-derived lung organoids. Stem Cell Res Ther 2020;11(1):448. doi: 10.1186/s13287-020-01950-x [published Online First: 2020/10/25]

9. Tan TK, Gamlen TPE, Rijal P, et al. Lung-targeting lentiviral vector for passive immunisation against influenza. Thorax 2020;75(12):1112-15. doi: 10.1136/thoraxjnln-2020-214656 [published Online First: 2020/09/05]

10. Rose AC, Goddard CA, Colledge WH, et al. Optimisation of real-time quantitative RT-PCR for the evaluation of non-viral mediated gene transfer to the airways. Gene Ther 2002;9(19):1312-20. doi: 10.1038/sj.gt.3301792 [published Online First: 2002/09/12]

11. Griesenbach U, Meng C, Farley R, et al. In vivo imaging of gene transfer to the respiratory tract. Biomaterials 2008;29(10):1533-40. doi: 10.1016/j.biomaterials.2007.11.017 [published Online First: 2007/12/25]

12. Rennard SI, Bassett G, Lecossier D, et al. Estimation of volume of epithelial lining fluid recovered by lavage using urea as marker of dilution. J Appl Physiol (1985) 1986;60(2):532-8. doi: 10.1152/jappl.1986.60.2.532 [published Online First: 1986/02/01]

13. Faul F, Erdfelder E, Lang AG, et al. G*Power 3: a flexible statistical power analysis program for the social, behavioral, and biomedical sciences. Behav Res Methods 2007;39(2):175-91. doi: 10.3758/bf03193146 [published Online First: 2007/08/19]
Supplementary Figure 1

A

B

C

D

E

F

Supplemental material

BMJ Publishing Group Limited (BMJ) disclaims all liability and responsibility arising from any reliance placed on this supplemental material which has been supplied by the author(s)

Thorax

doi: 10.1136/thoraxjnl-2021-217650

Thorax, et al. Du Y

2022;105:1–8.