A cross-modal feedback scheme for control of prosthetic grasp strength

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Abstract

Introduction: Given the lack of haptic feedback inherent in prosthetic devices, a natural and adaptable feedback scheme must be implemented. While multimodal feedback has proven successful in aiding dexterous performance, it can be mentally tasking on the individual. Conversely, cross-modal schemes relying on sensory substitution have proven to be equally effective in aiding task performance without cognitively burdening the user to the same degree.

Objectives: This experiment investigated the effectiveness of the cross-modal feedback scheme through using audio feedback to represent prosthetic grasping strength during dynamic control of a prosthetic hand.

Methods: A total of five individuals participated in two sets of experiments (four subjects in the first, one subject in the second). Participants were asked to control the grasping strength exerted by a prosthetic hand while using real-time audio feedback in order to reach up to three different levels of force within a trial set.

Results: The cross-modal feedback scheme successfully provided users with the robust ability to modulate grasping strength in real-time using only audio feedback.

Conclusion: Audio feedback effectively conveys haptic information to the user of a prosthetic hand. Retention of the training knowledge is evident and can be generalized to perform new (i.e. untrained) tasks.

Keywords

Amputees, limb prosthetics, prosthetic control, sensation simulation/restoration, upper limb prosthetics

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Introduction

As the usage of advanced upper limb prostheses increases, the sophistication and complexity of the devices also increases. An effective and standardized method of providing amputees with real-time, closed-loop control of the device, however, has not yet been commercially implemented. Unlike physiological limbs, prosthetic limbs cannot inherently provide the user with force feedback. The amputee must be actively involved in controlling and manipulating the limb, relying on vision, to accomplish a given task.\(^1\)\(^-\)\(^3\) Although visual feedback is effective in guiding operation of the prosthetic limb (specifically a hand), it alone cannot provide cutaneous information such as grasp strength. This limitation hinders the ability to fluidly and naturally perform delicate tasks, such as holding a glass while preventing slippage. Neural interfaces for prosthetics are heavily researched. Electrode implants and reinnervation,\(^3\)\(^-\)\(^6\) vibrotactile feedback,\(^7\)\(^-\)\(^9\) and audio feedback\(^2\)\(^,\)\(^10\)\(^-\)\(^12\) have all been used in place of cutaneous and proprioceptive feedback in order to reduce the limitations of and reliance on visual feedback. Additionally, multimodal schemes demonstrate success with respect to ease of use, learnability, and performance\(^13\) of both simple and complex motor tasks.

However, multimodal feedback is often criticized as individuals may become overburdened due to the increased quantity of information needed to be processed.\(^14\)\(^-\)\(^16\) As a result of trying to process too much information, the quality of the individual's performance on a given task will decrease, thereby reducing the utility of the system and ultimately the prosthetic

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device. Cross-modal associations between visual, haptic, and auditory senses have been shown to be present in the somatosensory cortex. Given the plasticity of the human brain and the ability for sensory substitution, these cross-modal associations can be taken advantage of in real-time control of prosthetic devices. This paper proposes the implementation of a cross-modal feedback methodology, whereby audio feedback substitutes force feedback during dynamic force control of a prosthetic hand. Short-term use of the feedback architecture conveys essential information about the prosthesis to the user, and results in promoting efficient and robust control. Additionally, the audio feedback architecture used in this study has proven to successfully aid users in discriminating between different types of objects, illustrating the adaptability and feasibility of the system.

Other investigations into audio feedback mechanisms indicate it is not only an effective agent for conveying force feedback to the user of a prosthetic hand, but also provides the user with an easily-learned and highly adaptive knowledge of the feedback, augmenting task performance.

The current study shows that the feedback system is highly effective in assisting grasping tasks, provides users with more stable control, and supports previous research on the feedback method. It is hypothesized that the audio feedback architecture is a highly learnable, flexible, and extensible mechanism for conveying the force exerted by a prosthetic hand. Learnability refers to the ability of the user to acquire practical knowledge and understanding of the feedback architecture, and improve in performance efficiency during usage. Learnability is assessed by evaluating the completion times of grasping tasks with a prosthetic hand. Flexibility and extensibility refers to the ability of users to extend their knowledge of the feedback architecture to perform unfamiliar tasks. This is assessed by evaluating the ability of users to apply different levels of grasping force on an object, relying solely on the feedback architecture to guide completion of the task.

**Methods**

**Subjects**

This study consisted of testing five healthy subjects, four of which were right-handed, and one was left-handed. Four subjects participated in Experiment 1, and one subject participated in Experiment 2. All subjects were informed of the experimental protocol and gave their informed consent according to the procedures approved by the Arizona State University (ASU) Institutional Review Board (IRB) (protocol: #1201007252).

**Feedback architecture**

The feedback architecture used in this study is the same as that in Gibson and Artemiadis. A Touchbionics Inc. i-Limb Ultra prosthetic right hand is equipped with a glove containing 20 force-sensing resistors (FSRs) (Flexiforce A301), each having a 14 mm width. The sensors are systematically divided into three regions with each region having a frequency of 200, 300, and 400 Hz respectively, a frequency mapping which has proved successful in previous experiments. This frequency mapping was chosen over a single frequency mapping because it helps provide an experience closer to the human hand. A single frequency mapping is useful for determining the position of the hand (open, closed, or grasping). However, a multi-frequency mapping, as was used in these experiments, conveys additional information to the user, such as position of applied force. The electrical circuit built for the system of sensors consists of force sensors wired in parallel to each other, and finally in series with the terminal resistor. A 5 V voltage, supplied through the analog input port of an Arduino Mega 2560 microcontroller, powers the circuit.

Without any force applied to the FSRs, the sensor effectively functions as an infinite resistance. This causes the terminal resistor voltage drop to be zero, creating an open circuit. Applying force to the sensor linearly decreases the resistance of the FSR in relation to the magnitude of the force, and as a result, the total voltage across the sensor decreases when the applied force increases. Due to this behavior, the voltage drops across the terminal resistors increases, providing a voltage input \( V_R \) for each region of sensors, which represents the sum of the forces applied in the region \( F_n \). The relationship is seen in the following equation

\[
V_R^i = K \sum_{n_i} F_{ni}
\]

where \( K \) is the gain of the sensors converting the sensed force voltage and \( i \) \((i=1,2,3)\) represents the region. The resultant sound signal \( X(t) \), \( t \) being time, is related to the sum of the forces across the three regions. \( X(t) \) is represented as

\[
X(t) = \sum_{i=1}^{n_R} \frac{1}{n_R} \frac{V_R^{f_i}}{V_R^{max}} \sin(2\pi f_i t)
\]

where \((n_R)\) is used to equally weigh each region. \( V_R^{max} \) represents the maximum voltage at each input, which corresponds to the 5 V inputted from the microcontroller: \( f_i \) corresponds to the frequency of the given regions. As noted previously, the three frequencies are 200, 300, and 400 Hz. Thus, the region with the most
forces applied provides the dominating frequency in the sound signal. In order to create a minimally delayed real-time experience for the subject, both amplitude and frequency were updated every 64 ms, i.e. approximately 15 Hz. The frequency was limited due to the digital to analog conversion and processing power of the Arduino microcontroller. However, since the control of the robot hand (which is based on the 15 Hz feedback) is done through user-based keyboard control, a feedback update at 15 Hz is faster (and therefore adequate) than the forward keyboard control done by the user. In other words, the human user gets sensory feedback at a higher rate than he/she can send commands to the robot hand.

Experimental protocol

This study consisted of two primary experiments. The first experiment, Experiment 1, assessed the potential and feasibility of audio feedback as a substitute for haptic feedback. The experiment’s purpose was to show that an individual can not only learn to rely on the cross-modal feedback, but also can generalize the knowledge acquired to new and unfamiliar situations, so as to eventually provide the user with dynamic control over the prosthetic just as he/she would have over his/her hand. Subjects performed the experiment using three different finger combinations: index and thumb (Combination 1), index, middle, and thumb (Combination 2), and all five fingers (Combination 3). These combinations were chosen because they simulate grasping actions the subject is likely to perform on a daily basis, such as pinching a pin, grasping a pen, or grasping and holding a bottle. Experiment 2 assesses the ability of the system to be learned over a longer period of time, and evaluates the degree to which the subject is able to demonstrate retention of the learning. Throughout both experiments, subjects were asked to achieve three levels of prosthetic grasp strength – low, medium, and high – which translate to readings of approximately 8–18 N, 40–50 N, and 62–66 N, respectively, on the FSR sensors.

For this study, the audio feedback architecture (glove) was placed on the i-Limb Ultra prosthetic hand, which was held in a constant position and orientation in space. Thus, the hand could only perform the function of grasping, i.e. opening and closing of the fingers. Throughout both experiments, the subjects were asked to grasp a rigid plastic cylinder, mounted to a table within grasping distance of the prosthetic hand. Subjects controlled the opening and closing of the prosthetic hand using a keyboard. One key controlled the opening velocity and another controlled the closing velocity, incrementally increasing or decreasing the velocity with each keystroke. As a result, the force applied during the grasping task proportionally increased and decreased according to each keystroke. The dominant or the non-dominant hand could be used in controlling the keyboard according to subject’s preference. Subjects interacted with the system through a Graphical User Interface (GUI) developed in the Matlab environment (Figure 1), which was displayed on a 27-inch monitor. Audio feedback was received by the subjects through a pair of AudioTechnica ATH-ANC9 headphones. Figure 1 illustrates this process.

Experiment 1

1. Training Phase: Day 1. The first phase of the experiment was the Training Phase. Subjects performed a
total of 540 training trials (60 per force/combination pair). For each set of 60 trials, the subjects received both audio and visual feedback of the grasping strength of the i-Limb Ultra for 25% of the trials. For the remaining 75% of the trials, the subjects had either audio feedback only or the same audio-visual feedback. Therefore, there was a total of 12 trials with audio feedback only. The order of these trials was randomly predetermined and the same for all subjects. The duration of each trial was fixed at approximately 14.3 seconds. During training, subjects were prompted with a target force range on the Matlab GUI (Figure 1). Using the real-time visual feedback of the grasping strength from the GUI as a guide, subjects were told to control the grasping strength of the i-Limb to reach the target. The training served to introduce the audio feedback to the subjects and create a cognitive association between the auditory sensations experienced by the subject and the corresponding levels of force exerted by the prosthetic hand. This is illustrated in Figure 1.

2. Evaluation Phase: Day 2. The second phase of Experiment 1 evaluated and assessed the subject’s learning of the audio feedback system that was acquired in the Training Phase. The subjects performed a total of 270 trials (90 trials per combination). Each set of trials corresponding to the combinations had a total of 30 trials for each level of force; however, the order of forces was randomly predetermined. The levels of force and grasping combinations were the same used in the Training Phase (low, medium, and high). Subjects were prompted with the target force range in the same manner as in the Training Phase. During the duration of the trial, the subjects did not receive any visual feedback. However, after the trial the subjects received a visual prompt of the average of the last 15% (approximately last 2 s) of their force data. This prompt served as a method of promoting knowledge retention.

3. Generalization Phase: Day 3. The third phase of Experiment 1 required subjects to generalize their knowledge of the audio-feedback mechanism to new levels of force for which they had not been previously trained. Two new force thresholds were selected for this phase of the experiment. The first, new-low (35–45 mV) translating to approximately 24–34 N, was between the previous low and medium levels, while the second, new-medium (55–65 mV) translating to approximately 53–62 N, was between the medium and high levels from the previous phases. The subjects performed a total of 180 trials (60 per grasping combination). In Phase 3, forces were presented to users in the same way as those in Phase 2, namely at randomly predetermined order.

Experiment 2. Experiment 2 consisted of two phases: training and evaluation. This experiment took place over six days: Day 1 for the training phase, Days 2–6 for the evaluation phase. Only grasping Combination 3 was used in this experiment. The experiment used all three levels of force (low, medium, high). The duration of each trial was fixed at approximately 14.3 s. The experiment’s phases were designed and executed in the same manner as the corresponding phases of Experiment 1 but with one notable difference. The Training Phase consisted of one session with a total of 180 trials (60 trials for each of the three levels of force), whereas the Evaluation Phase consisted of 450 total trials divided between five sessions (90 trials per day for five non-consecutive days). The proportion of all three levels of grasping strength was randomly and evenly distributed within each session.

Performance metrics

To statistically evaluate performance, the following criteria were used. All data analysis was performed after applying a moving-average low-pass filter with a span \( n = 11 \) and cutoff frequency of 6 Hz to the data in Matlab. For a trial to be considered successful the average of 70% of the force data for the given trial must lie between the target limits. The 70% is determined by subtracting the first 25% and the last 5% of the trial data. The first 25% was ignored from the data set due to the fact that the average response time for subjects from the start of the trial to the first keystroke fell at approximately the 25% landmark (i.e. transient response of about 25% of the trial duration). Additionally, all subjects tended to adjust the grasping force of the prosthetic hand, regardless of whether or not they were within the desired force threshold, within the final 5% of the trial. To ensure a clean data set this 5% section was also ignored. The method of determining success or failure of the experiment was used over other methods for the following reasons: (a) a goal of the experiment was to measure how long subjects were able to maintain the target force, so as to determine that it was not achieved by mistake, and (b) value was not added to the results if the subject was not able to achieve the target force for any reason after a significantly long period of time.

Once sorted as either success or failure, the response characteristics of the data were calculated, these being the rise and settling time. In this case, rise time has been defined as the time required for the user to travel from the start of the trial to the data point equivalent to 90% of the final recorded data value of applied force (percentage of trial completed = 100) of that same trial (see Figure 2). Thus, the rise time is effectively the time needed from the start of the trial to reach a value.
90% of the final data point. This interval was chosen to compensate for any adjustments the subjects made during initial grasping that could cause the data to display false positives. The settling time, on the other hand, is the duration of the trial needed for the applied force to reach and stay within a 5% threshold of the final recorded applied force.

**Statistical analysis**

Rise and settling times were determined first for all successful trials. These times were pooled together for each day, combination, and subject pairing respectively. Rise and settling time were analyzed for statistical significance using a two-sample student \( t \)-test. The probability of a Type I error was set at 5%.

For Experiment 1, the baseline dataset was composed of the Day 1 trials with multimodal feedback (audio and visual). As such, the statistical test evaluated the mean of trials Day 1 audio-only, Day 2, and Day 3, respectively, against the mean of the Day 1 audio-visual sample to determine if the two are equal.

This analysis provides an assessment of the cross-modal audio feedback.

In Experiment 2, rise and settling times were analyzed using a two-sample student \( t \)-test. The probability of a Type I error was set to 5%. The test used the sample data from the Day 1 multimodal feedback (audio and visual) as the baseline for all statistical analysis. The test evaluates the mean of the baseline against the sample means of Day 1 audio, Day 2, 3, 4, 5, and 6 to determine if the two populations can be considered equal. This analysis provides an evaluation of the effectiveness and learnability of the system over an extended period of usage; the higher the \( p \)-value, the closer the performance of the given trial to the baseline.

**Results**

The experimental data of all subjects was analyzed using the Matlab software package. Performance metrics for the dataset were rise and settling time, while the two-sample \( t \)-test evaluated the statistical significance. The analysis illustrates that subjects are able to perform the grasping task with increasing quickness, as seen by the decrease in rise time over time (Figure 3(a)). Performance of the grasping improved in time throughout the duration of the trial, as indicated by the decrease in average rise time from the Day 1 audio-only trials to Day 3 (Figure 3(a)). The variability decreases significantly from the Day 1 audio-only trials to the Day 3 trials, as seen from the range of the data set from 100% to approximately 25% in the low force. In fact, the average rise times of Day 3 across all three combinations closely correspond to those of the baseline dataset (Day 1 audio-visual). For example, in Combination 1, the average rise time for the low and new-low are approximately 12% and the medium and new-medium are approximately 12% as well (Figure 3(a)). The settling times show a decrease in the average time across the experiment duration. Examination of Figure 3 on a force by force basis shows the decrease in settling time for the low force across all combinations. Figure 3(f) best shows this behavior. For example, the settling time for low force drops from approximately 100% of the trial duration in the Day 1 audio-visual trial to approximately 55% of the trial duration for the new-low force on Day 3. On the other hand, the medium and high force do not exhibit the same end behavior. Instead, they are more stable throughout the duration of the experiment across all three combinations. The trials for Experiment 1 are statistically significant, as indicated by the \( p \)-values being greater than the probability of Type I error (5%) (Table 1). The increase in \( p \)-value from 0.14 to 0.55 for rise time between the Day 1 audio-visual and Day 3 trials, indicates that the users are able to apply their training and knowledge of the system to perform as though they still had the visual feedback, and supports the hypothesis that the feedback architecture is extensible beyond the levels of force with which the subjects were trained. The settling times, however, do not exhibit the same degree of statistical significance, and only Day 1 audio-visual and Day 1 audio-only trials are statistically significant.
In Experiment 2, the variability within the sample for each of the rise times significantly decreases from the Day 1 audio trial to Day 3 (Figure 4(a)). However, the average rise times do not significantly change across this span. Nevertheless, Day 3 resembles the baseline dataset very closely. The average rise time for each of the three force levels lies within ±5% of 20%. Analysis of the settling times on a force by force basis yields an

Figure 3. This figure represents the rise and settling times of Experiment 1 for Subject 1, a representative sample in the experiment. The rise and settling times are broken down into the three combinations. L, M, H, NL, and NM represent “low”, “medium”, “high”, “new-low”, and “new-medium” respectively. Rise time analysis demonstrates an improvement in task performance over time.

(continued)
The decrease in average rise time throughout the experiment and the statistical significance between the Day 1 audio-visual trials and the Day 3 trials for Experiment 1 support the hypothesis that subjects are able to not only learn the audio-feedback mechanism, using it to perform a basic grasping task for which they have been trained, but also to generalize their knowledge and understanding of the audio feedback to unfamiliar tasks, without any visual cues. This result highlights the extensibility of the feedback method (i.e. subjects are able to adapt and successfully perform a task in real time).

The statistical significance between the rise times of Day 1 audio-visual and Day 3 trials, and the low average rise time for Day 3, illustrate the users’ confidence in the audio feedback when generalizing their knowledge of the audio feedback to untrained tasks. Thus, audio feedback alone is considered to have similar performance to the visual/haptic feedback combination. In Sigrist et al.,21 audio feedback is shown to improve task performance by augmenting other sensory input, such as visual feedback. However, the results of Experiment 1 affirm that audio feedback is also an effective substitute to visual/haptic feedback. Furthermore, the decrease seen in the variability of the rise times of the Experiment 2 trials confirms the Experiment 1 findings regarding the learnability and extensibility of the feedback architecture and the hypothesis that performance of the grasping task improves over time. The increase in average rise times for Day 4 and 6 is attributed to a seven- and five-day resting period in between sessions, respectively. While initially feeling confident prior to the start of the experiment on Days 4 and 6, some challenge in repeating the trial was reported. As such, the increase in average rise time is not considered to be in opposition to the hypothesis that task performance improves over time. Experiment 2 also exhibits a similar behavior to Experiment 1, with respect to settling time (Figures 3 and 4(b)). Subjects did report difficulty

Table 1. This table shows the statistical significance of the trials performed in Experiment 1 for subject 1.

| Comparison | Rise time | Settling time |
|------------|-----------|---------------|
| A          | 0.14***   | 0.38          |
| B          | 0.26***   | 0.01          |
| C          | 0.55***   | 0.00          |

The statistical significance is determined using a two-sample student t-test, and reported in terms of p-value. The t-test was used to compare the following sets for statistical significance: A – Day 1 audio-visual and Day 1 audio; B – Day 1 audio-visual and Day 2; C – Day 1 audio-visual and Day 3. To be considered statistically significant, the data sets should have a p-value greater than 5%. Comparison A (Day 1 audio-visual and Day 1 audio) is statistically significant in both rise time and settling time, indicating that user performance is highly comparable, and no significant learning takes place. Comparison B (Day 1 audio-visual and Day 2), is statistically significant only with respect to rise time. Comparison C (Day 1 audio-visual and Day 3), is significant only with respect to rise time. The statistical significance of the rise time increases in each of the three comparisons indicating that the subjects become more familiar with system so as to be able to achieve the target level of force without visual feedback. Asterisks denote statistical significance.

Figure 3. Continued

indicating that with audio feedback alone individuals can effectively perform the grasping task. (a) This figure shows the rise times for Combination 1. The average rise times for the low and new-low force levels lie within ±5% of 12%. The medium and new-medium average rise times lie approximately within ±2% of 14%. The average rise for the high forces lie within approximately ±8% of 29%. (b) This figure shows the settling times for Combination 1. The average settling time for the low and new-low force level lies within ±20% of 45%. The average medium and new medium lies within ±5% of 25%. The average high lies within ±15 of 55%. (c) This figure shows the rise time for Combination 2. The average for the low and new low levels lies within ±4% of 15%. The average for the medium and new medium lies within ±3% of 12%. The average for the high force level lies within ±5% of 25%. (d) This figure shows the settling times for Combination 2. The average settling time for low and new-low levels of force lies within ±40% of 60%. The average medium and new medium lies within ±15 of 45%. The average high lies within ±15 of 50%. (e) The figure shows the rise times for Combination 3. The average for the low and new-low levels of force lies within ±3% of 15%. The average for the medium and new-medium lies within ±10% of 25%. The average for the high force level lies within ±30% of 70%. (f) The figure shows the settling times for combination 3. The average for the low and new-low levels of force lies within ±12% of 72%. The average for the medium and new-medium lies within ±10% of 25%. The average for the high force level lies within ±8% of 60%.
in maintaining the force applied by the i-Limb Ultra prosthetic hand throughout each session, noting the need for adjustments in order to stay within the given level of force for the trial. Although the average settling times are significantly high, Experiment 2 (Figure 4(b)) demonstrates that task performance with respect to settling time is stable. Both experiments do exhibit high variance in rise and settling times. Subjects did report

![Figure 4.](image) Subfigure (a) shows the rise times for Experiment 2, and Subfigure (b) shows the settling times of Experiment 2. In both subfigures the average rise time for the low force and the average rise time for the medium force across all six days lies within ±5% of 20%. The average rise time for the high force lies within ±8% of 22%. The average settling time for the low force lies within ±10% of 88%. The average settling time of the medium force lies within ±20% of 64%. The average settling time of the high force lies within ±10% of 65%.
fatigue through the course of the experiment due to the multiple trials requested. Due to this, the high variability in response times is attributed to the fatigue of the subjects in addition to the small sample size of the experimental group.

The results of these experiments are in agreement with other previous studies on the control of prosthetics using sensory feedback. In An et al., a continued performance improvement in object manipulation was observed with low standard error, using vibrotactile feedback in place of visual feedback. However, the method we propose is more practical compared to vibrotactile feedback and less invasive and obtrusive to the user. Of the different combinations used in the study, the third combination, where all five fingers performed the grasping task showed reliable results. The other two combinations did not report as strong of a learning trend. The phenomena observed in this study support the initial hypothesis that cross-modal feedback is a highly learnable, extensible, and flexible mechanism for conveying grasping force from the prosthetic device to the user. It elicits the neurological principles of sensory substitution and plasticity, providing the individual with a highly adaptable knowledge and familiarity of the feedback system that can be used for dynamic control over a prosthetic device. Further experiments are needed to alleviate phenomena that might lead to high variability in the results possible due to subject fatigue. However, this paper serves as a proof of concept for the proposed interface, and the experiments conducted and analyzed here support its efficacy.

While the multi-frequency mapping was used to ensure a more realistic experience for the subject, the subjects did not report noticing or paying significant attention to the different pitches of the feedback while performing the grasping tasks, nor did they report any significant impact of the different frequencies to their task performance. It will be beneficial to conduct future studies for comparing the performance of multiple subjects using a single frequency mapping to a multi-frequency mapping while controlling the force exerted during grasping tasks.

### Conclusion

This paper proposes the implementation of a cross-modal audio-feedback architecture in hand prostheses for dynamic control of grasp strength, highlighting the learnability and adaptability of the feedback mechanism. Experimental results indicate that users are able to not only modulate the grasp strength of the device in order to achieve familiar contact forces levels (i.e. those learned during the training sessions), but also to reach unfamiliar levels. Additionally, the knowledge acquired through an initial training session, while it does show slight deterioration after extended periods of non-use, is persistent in the individual. Per the findings, such a mechanism will assist practitioners in developing cross-modal rehabilitation techniques for upper-limb control and coordination for the design of the next generation of advanced prosthetic devices.

### Declaration of conflicting interests

None declared.

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