Living donor liver transplantation should be cautiously considered as initial treatment in recurrent hepatocellular carcinoma within the Milan criteria after curative liver resection

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Background: Insufficient data are available about patient survival following different treatments for recurrent hepatocellular carcinoma (HCC) after primary hepatectomy. We retrospectively investigated the effectiveness of various treatment methods.

Methods: From 2005 to 2011, 515 hepatectomy patients who developed recurrence within the Milan criteria (MC) were grouped by treatment modality into living donor liver transplantation (LDLT), hepatic re-resection (RR), radiofrequency ablation (RFA), transarterial chemoembolization (TACE), percutaneous ethanol injection (PEI), and combination of TACE and RFA (TACE-RFA) group.

Results: Disease-free survival and patient survival after first HCC recurrence were compared according to treatment strategies. TACE (n=230, 44.7%), RFA (n=171, 33.2%), PEI (n=35, 6.8%), RR (n=45, 8.7%), salvage LDLT (n=21, 4.1%), and TACE-RFA (n=13, 2.5%) were all used as the first treatment in recurrent HCC within the MC. The disease-free survival curve from 1st HCC recurrence in the PEI group was lower than in the other groups (P=0.004). The RR, salvage LDLT, and TACE-RFA groups showed good long-term prognosis. The patient survival rate at 3 years after 1st HCC recurrence was 45.2% in TACE, 51.7% in RFA, 39.8% in PEI, 38.2% in RR, 81.4% in salvage LDLT, and 80.8% in the TACE-RFA group. Thus, the patient survival curve in the salvage LDLT and TACE-RFA groups was higher than in the other groups (P<0.001).

Conclusions: Long-term outcomes for patients with recurrent HCC within the MC do not differ with the first treatment strategies, except for PEI. Salvage LDLT does not prevent HCC recurrence, but it increases patient survival compared with the other treatment strategies.

Keywords: Hepatocellular carcinoma (HCC); Milan criteria (MC); surgery; transplantation; living donors; outcome

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Introduction

Hepatic surgical resection (SR) is considered as curative treatment in hepatocellular carcinoma (HCC) in the patients with preserved liver function (1). However, HCC recurrence is more than 50% within 5 years after surgery, which is the biggest problem after SR (2,3). Liver transplantation (LT) is the most effective treatment for HCC based on the Milan criteria (MC) (4). In Eastern Asia, SR is a priority for HCC patients with sufficient liver function due to limitation of deceased donation, whereas LT is performed as the initial treatment in Western countries.

Intrahepatic recurrence after SR is very common. Various treatments, such as LT, SR, radiofrequency ablation (RFA), transarterial chemoembolization (TACE), percutaneous ethanol injection (PEI), and combination of TACE and RFA (TACE-RFA), are being applied to these patients, and the response to treatment influences long-term outcomes (5).

Salvage liver transplantation (SLT) is an ideal treatment for patients with intrahepatic HCC recurrence or decompensated liver function after SR (6). SLT is expected to have a good prognosis in patients with intrahepatic recurrence of within MC (7). However, the lack of deceased donors makes it difficult for HCC patients to undergo LT. Therefore, SLT is a very attractive treatment when intrahepatic recurrence occurs after SR as initial treatment (6,7). These treatment strategies are readily available and show similar outcomes when compared to primary LT (8). However, various locoregional treatments are used because SLT after SR is not easy. Therefore, optimal retreatment strategies or consensus treatment strategies regarding intrahepatic recurrent HCC have not been clearly established.

The aim of this study was to compare the effects of various treatments on patients with intrahepatic HCC recurrence with MC after SR in solitary HCC patients.

Methods

Patients

This study included patients who underwent SR for treatment-naive single HCC, which was diagnosed by preoperative radiologic images, in Seoul National University Hospital (SNUH) (n=938) and Samsung Medical Center (SMC) (n=1,382) from January 2005 to December 2011. HCC was diagnosed on the basis of several guidelines (9,10) and histologically confirmed after SR. We included patients with intrahepatic HCC recurrence within the MC after SR in two centers (n=515) (Figure 1), and present study was approved by Institutional Review Board of two centers.

Inclusion criteria included curative SR in treatment-naive single HCC patients with Child-Pugh class A. Ruptured HCC, mixed HCC and cholangiocarcinoma, R1 resection, non-Korean, age ≤18 years, history of malignancy other than HCC, patients received adjuvant therapy after SR, loss to follow-up after hepatectomy were excluded. Patient medical information was retrospectively investigated.

Surgery and surveillance

Surgical procedure during SR and histological evaluations have been described previously (2,3). All patients who underwent SR visited outpatients 1 month after the surgery and later evaluated liver function tests, tumor markers, and radiological evaluation every 2 to 3 months. Radiologic evaluations included radiologic evaluation was performed by alternating liver computed tomography (CT) and liver magnetic resonance imaging (MRI) every 3 months for 2 years after surgery.

Treatment of recurrence

When a recurrence was detected during follow-up after primary curative SR in solitary HCC patients, patients were hospitalized and treated within 1 month after diagnosis. RR was performed in oligonodular HCC patients with Child-Pugh class A. RFA or PEI was considered in solitary HCC less than 3 cm when RR was difficult and dangerous. TACE was considered if serum total bilirubin level was less than 2 mg/dL without satisfying the previous criteria. Salvage LDLT was considered to have potential living donor and be difficult to use RR, PEI, RFA, and TACE due to decompensated liver function. TACE-RFA was performed in SMC in the case of small solitary HCC less than 3 cm where RFA was difficult because of tumor location. Target therapy and systemic chemotherapy were not considered as treatment in intrahepatic recurrent HCC patients.

Statistical analysis

Categorical variables are expressed in number and percentage and continuous variables are described as median and range. Comparisons between the treatment groups (LDLT, RR, RFA, PEI, TACE, and TACE-RFA) were performed by the Chi-square test or Fisher’s exact test. A one-way analysis of variance (ANOVA) was used.
for continuous variables. Disease-free survival (DFS) and patient survival (PS) were considered from the date of treatment for recurrent HCC to a 2nd HCC recurrence and the last follow-up or death, respectively. Survival rates were analyzed using the Kaplan-Meier method with log-rank test. All tests were two-sided, and statistical significance was defined as P<0.05. All statistical analyses were performed using SPSS ver. 22.0 (SPSS, Inc., Chicago, IL, USA).

Results

Patient groups and clinicopathologic characteristics

Recurrent HCC within the MC after curative SR developed in 515 patients. TACE (n=230, 44.7%), RFA (n=171, 33.2%), PEI (n=35, 6.8%), RR (n=45, 8.7%), LDLT (n=21, 4.1%), and TACE-RFA (n=13, 2.5%) were all used as first treatments in recurrent HCC within the MC. All recurrent HCC patients were Child-Pugh class A when treated.

Median preoperative platelet counts in the LT and TACE-RFA groups were lower than in the TACE, RFA, and PEI groups (P=0.02). In addition, serum albumin levels differed significantly. However, gender, age, etiology, body mass index, hemoglobin levels, total bilirubin, aspartate transaminase (AST), alanine transaminase (ALT), international normalized ratio (INR), creatinine, indocyanine green retention rate at 15 minutes (ICG-R15), alpha-fetoprotein (AFP), and the proportion of preoperative within MC did not differ (Table 1).

The proportion of grade 3 or 4 in the LDLT group was 38.1%, but the proportion of grade 3 or 4 in the other groups was 7.7% to 28.6% (P=0.02). The incidence of intrahepatic metastasis in the TACE group was 17.8%, but the incidence in the other groups was 0% to 7.3% (P=0.002). The frequency of major liver resection, tumor size, the presence of tumor necrosis or hemorrhage, microvascular invasion, and portal vein tumor thrombosis (PVTT) did not differ among groups (Table 2).

HCC recurrence

Median DFS in the RR and TACE-RFA groups was 22 months (range, 2–63 months) and 23 months (range, 9–75 months). Median DFS in the other groups was 13 to
| Variables | TACE (n=230) | RFA (n=171) | PEI (n=35) | RR (n=45) | LDLT (n=21) | TACE-RFA (n=13) | P value |
|-----------|--------------|-------------|------------|-----------|-------------|----------------|---------|
| Gender (male), n (%) | 187 (81.3) | 137 (80.1) | 29 (82.9) | 41 (91.1) | 18 (85.7) | 12 (92.3) | 0.52 |
| Age (years), median [range] | 56 [26–82] | 56 [24–80] | 55 [39–74] | 53 [19–72] | 51 [34–65] | 53 [31–71] | 0.06 |
| Etiology, n (%) | | | | | | | |
| HBV | 196 (85.2) | 140 (81.9) | 32 (91.4) | 35 (77.8) | 19 (90.5) | 10 (76.9) | | |
| HCV | 6 (2.6) | 8 (4.7) | 0 (0) | 0 (0) | 0 (0) | 1 (7.7) | 0.57 |
| NBNC | 5 (2.2) | 4 (2.3) | 1 (2.9) | 2 (4.4) | 1 (4.8) | 0 (0) | | |
| Alcohol | 1 (0.4) | 3 (1.8) | 0 (0) | 2 (4.4) | 0 (0) | 0 (0) | | |
| Others | 22 (9.6) | 16 (9.4) | 2 (5.7) | 6 (13.3) | 1 (4.8) | 1 (7.7) | | |
| HBV, HCV | 0 (0) | 0 (0) | 0 (0) | 0 (0) | 0 (0) | 1 (7.7) | | |
| BMI (kg/m²), median (range) | 23.5 (16.3–34.6) | 23.7 (16.7–32.4) | 23.9 (19.0–31.2) | 23.9 (19.0–31.7) | 23.9 (20.0–36.3) | 25.6 (17.6–27.9) | 0.54 |
| Hemoglobin (g/dL), median (range) | 14.3 (9.6–17.7) | 14.3 (7.0–17.8) | 14.6 (10.0–17.1) | 14.7 (8.1–18.5) | 14.3 (9.8–16.5) | 14.4 (11.7–16.4) | 0.88 |
| Platelets (×1,000), median (range) | 148.0 (13.9–455.0) | 152.0 (12.8–401.0) | 139.0 (1.4–218.0) | 151.0 (6.3–547.0) | 123.0 (33.0–271.0) | 114.0 (37.0–277.0) | 0.02 |
| Total bilirubin (mg/dL), median (range) | 0.8 (0.2–1.9) | 0.8 (0.3–2.0) | 1.0 (0.4–1.8) | 0.8 (0.4–1.7) | 0.9 (0.4–1.7) | 0.8 (0.4–1.2) | 0.19 |
| AST (U/L), median (range) | 37 (14–466) | 35 (16–288) | 42 (17–154) | 33 (10–116) | 38 (19–79) | 35 (25–109) | 0.54 |
| ALT (U/L), median (range) | 39 (7–290) | 37 (11–232) | 45 (8–155) | 34 (10–133) | 42 (13–95) | 31 (23–90) | 0.89 |
| Albumin (g/dL), median (range) | 4.0 (2.6–5.1) | 4.1 (3.1–5.0) | 3.9 (3.0–4.7) | 4.1 (2.9–4.8) | 3.9 (3.3–4.9) | 4.0 (3.3–4.7) | 0.003 |
| INR, median (range) | 1.09 (0.22–1.80) | 1.08 (0.13–1.47) | 1.09 (0.97–1.46) | 1.08 (0.92–1.25) | 1.11 (0.98–1.30) | 1.11 (0.97–1.29) | 0.29 |
| Creatinine (mg/dL), median (range) | 0.9 (0.6–5.7) | 0.9 (0.5–9.3) | 1.0 (0.6–1.4) | 1.0 (0.2–1.4) | 1.0 (0.7–1.6) | 0.9 (0.5–1.1) | 0.23 |
| ICG-R15 (%), median (range) | 11.0 (0.3–73.5) | 11.2 (0.8–74.4) | 12.3 (0.3–31.3) | 11.3 (2.0–19.7) | 11.6 (4.2–29.7) | 15.1 (5.0–27.0) | 0.19 |
| AFP (ng/mL), median (range) | 47.4 (1.5–200,000.0) | 31.1 (1.0–181,587.4) | 23.0 (3.0–38,000.0) | 90.6 (1.3–172,100.0) | 46.1 (2.9–27,800.0) | 19.1 (1.9–348.4) | 0.56 |
| Within the MC in preoperative images, n (%) | 78 (33.9) | 45 (26.3) | 9 (25.7) | 13 (28.9) | 5 (23.8) | 5 (38.5) | 0.58 |

TACE, transarterial chemoembolization; RFA, radiofrequency ablation; PEI, percutaneous ethanol injection; RR, liver re-resection; LDLT, living donor liver transplantation; TACE-RFA, combined TACE and RFA; HBV, hepatitis B virus; HCV, hepatitis C virus; NBNC, non B non C; BMI, body mass index; AST, aspartate transaminase; ALT, alanine transaminase; INR, international normalized ratio; ICG-R15, indocyanine green retention rate at 15 minutes; AFP, alpha-fetoprotein.
18 months (P=0.048). Accordingly, the proportion of HCC recurrence more than 1 year after liver resection in the RR, LDL T , and TACE-RFA groups was more than 70%, whereas in the other groups, it was 53% to 66% (P=0.02). Nearly 99% of patients showed one tumor when recurrent HCC was diagnosed. The median AFP at HCC recurrence was 11.3 ng/mL in the RR group, but whereas in other groups, it was 4.6 to 7.7 ng/mL (P=0.01). The median follow-up duration after curative LR did not differ among groups (Table 3).

### Comparison by treatment modalities on post-recurrence survival

The DFS rate at 3 years from 1st HCC recurrence was 42.7% in TACE, 48.3% in RFA, 30.2% in PEI, 58.1% in RR, 54.0% in LDLT, and 56.3% in the TACE-RFA group. The DFS curve from 1st HCC recurrence in the PEI group was lower than in the other groups (P=0.004) (Figure 2). The RR, LDLT, and TACE-RFA groups showed good long-term prognosis.

The PS rate at 3 years after 1st HCC recurrence was 45.2% in TACE, 51.7% in RFA, 39.8% in PEI, 60.2% in RR, 81.4% in LDLT, and 80.8% in the TACE-RFA group. The PS curve in the LDLT and TACE-RFA groups was higher than in the other groups (P<0.001) (Figure 2).

### Discussion

The long-term prognosis in recurrent HCC patients after curative LR is unsatisfactory because tumor recurrence is related with patient death. The reported independent prognostic factors for post-recurrence survival are primary tumor status such as satellite nodules or PVTT, and advanced liver cirrhosis in background liver. The time to recurrence and the tumor size, number, and location of recurrence affect PS (2,3). In addition, early diagnosis and treatment of recurrence after liver cancer surgery have a great influence on the prognosis of patients with hepatectomy. The choice of treatment depends on the location of the tumor, performance of patient, and liver reserve function. However, consensus treatment strategies for recurrent intrahepatic HCC have not been established. According to the treatment algorithm in multiple guidelines, reallocating patients with recurrent HCC to treatment as if they were treatment-naïve patients might be inappropriate (10).

All the recurrent HCC patients after curative SR in the present study were Child-Pugh class A and within the MC because we wanted to evaluate the outcomes from different first-line treatments for intrahepatic recurrent HCC with preserved liver function. In this study, a 2nd HCC recurrence in recurrent HCC within the MC did not differ by treatment modality except for the PEI group. PS in the LDLT and TACE-RFA groups was higher than in the other groups. We thought it would show a good prognosis after LDLT as aggressive treatment for recurrent HCC. However, LDLT is not overwhelmingly superior to other therapies in our study.

Previous several studies have reported that aggressive treatments, such as RR and SLT, have survival benefits over non-surgical treatments (11-14). The single recurrent...
Table 3 Characteristics at HCC recurrence

| Variables                                                                 | TACE (n=230) | RFA (n=171) | PEI (n=35) | RR (n=45) | LDLT (n=21) | TACE-RFA (n=13) | P value |
|---------------------------------------------------------------------------|--------------|-------------|------------|-----------|-------------|----------------|---------|
| Time from resection to 1st HCC recurrence (months), median [range]        | 13.5 [1–116] | 18 [1–85]  | 13 [1–77]  | 22 [2–63] | 17 [2–92]   | 23 [9–75]       | 0.048   |
| HCC recurrence ≥1 year after primary liver resection, n (%)               | 122 (53.0)   | 113 (66.1) | 20 (57.1)  | 32 (71.1) | 15 (71.4)   | 11 (84.6)       | 0.02    |
| Tumor size at 1st HCC recurrence (cm), median (range)                     | 1.3 (0.5–4.1) | 1.4 (0.2–4.8) | 1.4 (0.7–3.8) | 2.0 (0.7–4.6) | 1.8 (0.9–2.4) | 1.3 (0.5–3.4) | 0.002   |
| Tumor number at 1st HCC recurrence, n (%)                                | 0.9          |             |            |           |             |                |         |
| 1                                                                        | 228 (99.1)   | 170 (99.4) | 34 (97.1)  | 45 (100.0)| 21 (100.0)  | 13 (100.0)     |         |
| 2                                                                        | 1 (0.4)      | 1 (0.6)    | 1 (2.9)    | 0 (0)     | 0 (0)       | 0 (0)          |         |
| 3                                                                        | 1 (0.4)      | 0 (0)      | 0 (0)      | 0 (0)     | 0 (0)       | 0 (0)          |         |
| AFP at 1st HCC recurrence (ng/mL), median (range)                        | 7.1 [1.0–124.095.5] | 4.9 [1.0–3,199.0] | 7.1 [2.3–2,190.0] | 11.3 [1.0–13,509.8] | 7.7 [1.3–544.0] | 4.6 [1.4–22.0] | 0.01    |
| 2nd HCC recurrence, n (%)                                                | 111 (48.3)   | 80 (46.8)  | 22 (62.9)  | 15 (33.3) | 7 (33.3)    | 4 (30.8)        | 0.08    |
| 2nd extrahepatic recurrence, n (%)                                       | 2 (0.9)      | 4 (2.3)    | 0 (0)      | 3 (6.7)   | 0 (0)       | 0 (0)          | 0.73    |
| Follow-up duration from initial liver resection to last visit or death (months), median [range] | 48 [5–115] | 60 [6–115] | 46 [5–101] | 64 [4–113] | 53 [8–101] | 62 [21–84] | 0.17 |

TACE, transarterial chemoembolization; RFA, radiofrequency ablation; PEI, percutaneous ethanol injection; RR, liver re-resection; LDLT, living donor liver transplantation; TACE-RFA, combined TACE and RFA; HCC, hepatocellular carcinoma; AFP, alpha-fetoprotein.

Figure 2 Disease-free survival (A) and patient survival (B) of patients with recurrent HCC within the MC according to treatment strategies. TACE, transarterial chemoembolization; RFA, radiofrequency ablation; PEI, percutaneous ethanol injection; RR, liver re-resection; LDLT, living donor liver transplantation; TACE-RFA, combined TACE and RFA; HCC, hepatocellular carcinoma; MC, Milan criteria.
HCC at the peripheral site shows a good prognosis after RR or RFA (15,16). Previous propensity score matching study showed that long-term survival in the RFA group was higher than in the RR group (11). In our population, 3-year DFS rate and PS rate from the time of recurrence were 58.1% and 60.2% in the RR group and 48.3% and 51.7% in the RFA group, respectively. Thus, our study showed similar outcomes between the two groups.

The feasibility of RR or RFA can be limited by multinodular recurrent tumors, difficult tumor locations, a small expected remnant liver volume, poor performance status, medical comorbidities, limited liver functional reserve, and other causes. TACE is an alternative option for those patients. In this study, we found comparable DFS rates and PS rates in the TACE and RFA groups.

Predicting HCC recurrence after SR is associated with tumor aggressiveness. Pathologic reports reflect tumor aggressiveness. The proportion of tumor grade of 3 or 4 in the PEI and LDLT groups was higher than in the TACE, RFA, RR, and TACE-RFA groups. Additionally, the incidence of intrahepatic metastasis in the TACE group was higher than in the other groups. The optimal treatment strategy should ideally be guided by a rigorous risk-versus-benefit assessment, but even such an analysis does not always provide a clear answer.

This study has several limitations. First, our study is retrospective. In our study. Second, the type of treatment was not randomly decided, and treatment selection bias did not completely eliminate in present study. Third, PET/CT’ or serum inflammatory indices were not evaluated in our study. Fourth, our population contained a higher proportion of patients with hepatitis B virus than reported in Western countries.

In conclusion, our results show that outcomes of patients with recurrent HCC within the MC does not differ with the first treatment strategies, except for PEI. Interestingly, salvage LDLT does not prevent HCC recurrence, but it increases PS compared with the other treatment strategies. However, LDLT as a first treatment strategy in recurrent HCC within the MC after curative resection should be carefully considered because it is a living donor operation.

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Footnote

Conflicts of Interest: The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This study was approved by the Samsung Medical Center Institutional Review Board (SMC 2013-05-013) and Seoul National University Hospital Institutional Review Board (H-1303-061-474). Written informed consent was exempted from each IRB.

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