Large Interferometer For Exoplanets (LIFE)

VI. Detecting rocky exoplanets in the habitable zones of Sun-like stars

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ABSTRACT

Context. Identifying and characterizing habitable and potentially inhabited worlds is one of the main goals of future exoplanet direct-imaging missions. The number of planets within the habitable zone (HZ) that are accessible to such missions is a key metric to quantify their scientific potential, and it can drive the mission and instrument design.

Aims. While previous studies have shown a strong preference for a future mid-infrared nulling interferometer space mission, such as LIFE, to detect planets within the HZ around M dwarfs, we here focus on a more conservative approach toward the concept of habitability and present yield estimates for two stellar samples consisting of nearby (d < 20 pc) Sun-like stars (4800 K ≤ Teff ≤ 6300 K) and nearby FGK-type stars (3940 K ≤ Teff ≤ 7220 K) accessible to such a mission.

Methods. Our yield estimates are based on recently derived occurrence rates of rocky planets from the Kepler mission and our LIFE exoplanet observation simulation tool LIFEsim, which includes all main astrophysical noise sources, but no instrumental noise sources as yet. In a Monte Carlo-like approach, we marginalized over 1000 synthetic planet populations simulated around single and wide binary stars from our two samples. We use new occurrence rates for rocky planets that cover the entire HZ around FGK-type stars, marginalize over the uncertainties in the underlying occurrence rate model, present a parameter study investigating the dependence of the planet yield on different instrumental and astrophysical parameters, and estimate the number of detectable HZ planets that might indeed harbor liquid surface water.

Results. Depending on a pessimistic or optimistic extrapolation of the Kepler results, we find that during a 2.5-yr search phase, LIFE could detect between ∼10–16 (average) or ∼5–34 (including 1σ uncertainties) rocky planets (0.5 R⊕ ≤ Rp ≤ 1.5 R⊕) within the optimistic HZ of Sun-like stars and between ∼4–6 (average) or ∼1–13 (including 1σ uncertainties) exo-Earth candidates (EECs) assuming four collector spacecraft equipped with 2 m mirrors and a conservative instrument throughput of 5%. The error bars are dominated by uncertainties in the underlying planet occurrence rates and the extrapolation of the Kepler results. With D = 3.5 m or 1 m mirrors, the yield Y changes strongly, following approximately Y ∝ D4/3. With the larger sample of FGK-type stars, the yield increases to ∼16–22 (average) rocky planets within the optimistic HZ and ∼5–8 (average) EECs, which corresponds to ∼50% of the yield predicted for M dwarfs in LIFE paper I. Furthermore, we find that in addition to the mirror diameter, the yield depends strongly on the total throughput, but only weakly on the exozodiacal dust level and the accessible wavelength range of the mission.

Conclusions. When the focus lies entirely on Sun-like stars, larger mirrors (∼3 m with 5% total throughput) or a better total throughput (∼20% with 2 m mirrors) are required to detect a statistically relevant sample of ∼30 rocky planets within the optimistic HZ. When the scope is extended to FGK-type stars, and especially when M dwarfs are included, a significant increase in the number of detectable rocky HZ planets is obtained, which relaxes the requirements on mirror size and total throughput. Observational insight into the habitability of planets orbiting M dwarfs, for example, from the James Webb Space Telescope, is crucial for guiding the target selection and observing sequence optimization for a mission such as LIFE.

Key words. telescopes – planets and satellites: detection – planets and satellites: terrestrial planets – techniques: interferometric – techniques: high angular resolution

1. Introduction

The search for habitable and even inhabited extrasolar worlds is arguably the most thrilling long-term goal of exoplanet science. Transit surveys have been successful in discovering a small sample of terrestrial planets that are roughly as large as the Earth and might retain atmospheres (e.g., Berta-Thompson et al. 2015; Gillon et al. 2017; Vanderspek et al. 2019; Gilbert et al. 2020). In the near future, the Atmospheric Remote-sensing Infrared Exoplanet Large-survey (Ariel) space mission will provide a statistical census of exoplanet atmospheres down to super-Earth-sized planets (Tinetti et al. 2018), and it is expected that the James Webb Space Telescope (JWST) will be able to probe the atmospheres of a handful of warm or temperate nearby transiting and Earth-sized planets such as those in the TRAPPIST-1 system (Lustig-Yaeger et al. 2019; Koll et al. 2019). While these observations will revolutionize the study of exo-planet atmospheres, a comprehensive characterization including a detailed search for so-called biomarkers in these atmospheres is hardly possible with the JWST (Barstow & Irwin 2016). Ultimately, a more sensitive mission is therefore needed and a much larger sample of Earth-like planets needs to be discovered and...
investigated to place meaningful constraints on the occurrence of habitable worlds in the solar neighborhood and the likelihood of the existence of life on these worlds (e.g., Quanz et al. 2021).

To date, most known exoplanets have been discovered with transit and radial velocity techniques (e.g., Pepe et al. 2014), which are both indirect detection techniques. While the atmospheres of transiting exoplanets can be exquisitely investigated with transit and secondary eclipse spectroscopy (e.g., Seager & Deming 2010; Deming & Seager 2017; Louie et al. 2018), transiting planets that reside within the habitable zone (HZ) of their host star are statistically rare in the solar neighborhood. For example, Bryson et al. (2021) estimated with 95% confidence that there should only be about 4 HZ planets around GK-type stars within \( d = 10 \) pc. Assuming an optimistic transit probability for HZ planets of 1% and that the frequency of planets scales with \( d^2 \), this results in an expectation value of only \( \sim 0.32 \) transiting HZ planets around GK-type stars within \( d = 20 \) pc. Moreover, transiting HZ planets are strongly biased toward M dwarfs (e.g., TRAPPIST-1, Gillon et al. 2017) because the transit signal is stronger and the HZ is located closer to the host star, which increases the geometrical transit probability. While atmospheric characterization of (nontransiting) radial velocity planets is possible with the cross-correlation Doppler spectroscopy technique, this method requires very high spectral resolution (\( R \sim 100\,000 \), de Kok et al. 2014), which is challenging for faint Earth-like exoplanets even with the upcoming Extremely Large Telescopes (ELTs; e.g., Snellen et al. 2015).

Direct detection techniques are therefore needed to efficiently discover and characterize Earth-like exoplanets in the solar neighborhood. From the ground, high-contrast instruments on the ELTs will be able to directly detect a small sample of rocky (<2 \( R_{\oplus} \)) exoplanets around some of the nearest stars (e.g., Quanz et al. 2015; Bowens et al. 2021; Kasper et al. 2021). However, only next-generation space mission concepts such as LUVIOIR (Large Ultraviolet Optical Infrared Telescope, The LUVIOIR Team 2019), HabEx (Habitable Exoplanet Observatory, Gaudi et al. 2020), and LIFE (Large Interferometer For Exoplanets, Quanz et al. 2022, 2021) will be able to directly detect and characterize a large sample of nearby terrestrial exoplanets. The recently published Astro2020 Decadal Survey on Astronomy and Astrophysics indeed recommends a ~6 m inscribed diameter infrared, optical, and ultraviolet space telescope aiming (among other science goals) at discovering and characterizing Earth-like exoplanets in reflected light around nearby Sun-like stars shortward of ~1 \( \mu \)m as the next NASA flagship mission after the JWST and the Nancy Roman Space Telescope. In contrast, the European-led LIFE mission concept aims for the characterization of Earth-like exoplanets in the mid-infrared (MIR) thermal light regime using a space-based nulling interferometer. The mid-infrared wavelength regime harbors a rich set of absorption features of important atmospheric molecules, including biosignatures, that could indicate the presence of life on an exoplanet (e.g., ozone and methane; Schwieterman et al. 2018; Catling et al. 2018) and furthermore provides direct constraints on the radius and atmospheric structure of the observed exoplanets (e.g., Konrad et al. 2022). Both approaches, investigations in reflected light and in thermal emission, have their advantages and disadvantages. When they are combined, however, they provide the deepest insight into the habitability of alien worlds. It is currently unclear whether any of these missions will feature an initial search phase to first identify the most promising targets for an in-depth characterization in a subsequent mission phase or whether these targets can already be previously identified using large-scale radial velocity surveys and other techniques from the ground (e.g., The LUVOIR Team 2019). Nevertheless, the number of Earth-like exoplanets in the HZ of their host star that could be found in an initial search phase is an important metric for evaluating the scientific merit of all of these mission concepts and inform science and technical requirements.

In previous works, Stark et al. (2014a) and Stark et al. (2015) found that a reflected-light coronagraphy space mission needs an aperture of at least ~8.5 m circumscribed diameter to achieve an exo-Earth candidate (EEC) yield of 30. Recent studies for a thermal emission nulling interferometry space mission such as LIFE by Kammerer & Quanz (2018), and Quanz et al. (2018, 2022) showed that when a total instrument throughput of 5% is assumed, four collector spacecraft with ~3.5 m apertures are required to obtain a similar yield of 30 EECs. These yield estimates also revealed a strong preference for detections in thermal emission around M dwarfs. This stems from the high fraction of M dwarfs in the solar neighborhood (e.g., Kroupa 2001; Reylé et al. 2021) and the limiting factor of the nulling interferometry technique being sensitivity rather than angular resolution (Quanz et al. 2022), which ultimately facilitates the detection of rocky HZ planets around nearby M dwarfs with LIFE. Especially the high angular resolution of a mid-infrared interferometer enables observations of planets around M dwarfs that are not accessible with a single-aperture near-infrared telescope, further increasing the fraction of detections around (very) late-type stars (Kammerer & Quanz 2018). Consequently, when the yield of Earth-like planets within the HZ of their host star is specifically maximized, an observing sequence that focuses almost entirely (~90%) on M dwarfs within 10 pc is obtained (Quanz et al. 2022). These findings show that a mission such as LIFE could detect ~20 EECs even with smaller 2 m mirrors and demonstrate its eminent scientific capabilities. However, they also raise fundamental questions about the habitability prospects for planets orbiting M dwarfs (e.g., Shields et al. 2016) and the performance of a mission such as LIFE when focusing entirely on Sun-like stars, as is the case in the LUVIOIR and HabEx reports (The LUVIOIR Team 2019; Gaudi et al. 2020).

We therefore follow a very conservative approach toward the concept of habitability here and focus solely on the capabilities of a mission such as LIFE to detect Earth-like exoplanets in the HZ of Sun-like and FGK-type stars. Therefore, we use the definition of Sun-like stars and the recently published rocky planet occurrence rates specifically inferred for these stars using the Poisson likelihood Bayesian analysis from Bryson et al. (2021). Compared to Quanz et al. (2022), who have published a first yield estimate for the subsample of FGK-type stars, this paper revisits the specific occurrence rate of rocky planets in the HZs of FGK-type and Sun-like stars. The new occurrence rates for rocky planets used in this paper cover the entire optimistic and conservative HZs fromkopparapu et al. (2014) for these stars. On the other hand, as illustrated in Sect. 2.3, the planet population used in Quanz et al. (2022) misses a significant portion of these HZs, leading to an underestimation of the expected HZ planet yield. Moreover, the new planet occurrence rates from Bryson et al. (2021) predict that HZ planets around FGK-type stars are at least as common as those around M dwarfs, resulting in a higher planet yield for the FGK-type star sample than that predicted in Quanz et al. (2022), who used the planet occurrence rates from Kopparapu et al. (2018) (i.e., from the ExoPAG SAGI3). For a better comparability between the HZ planet yield for FGK-type stars obtained in this paper and the yield published

\footnote{https://exoplanetarchive.ipac.caltech.edu/}
in Quanz et al. (2022), we use the same underlying stellar sample of FGK-type stars as in Quanz et al. (2022) in addition to the sample of Sun-like stars as defined in Bryson et al. (2021). Finally, we also go one step further than Quanz et al. (2022) and estimate the number of detected HZ planets that might indeed be habitable and harbor liquid surface water using the HUNTER tool from Zsom (2015).

Furthermore, we update our existing planet population synthesis code P-pop² (Kammerer & Quanz 2018) to be able to marginalize over the uncertainties in the planet occurrence rate model and reflect these uncertainties in the final yield estimates. Compared to Quanz et al. (2022), we hence obtain more realistic uncertainties and also observe new effects on the observing sequence stemming from the spectral-type dependence of the planet occurrence rates. Future updates of our yield estimate code will include instrumental noise sources in addition to the astrophysical photon noise from stellar leakage, local and exozodiacal dust, and the capability of simulating revisits of promising targets. As outlined in Sect. 4.2, this will further increase the expected HZ planet yield. We also use our new simulations to study the predicted HZ planet yield as a function of the most important instrumental and astrophysical mission parameters such as the mirror diameter, the total throughput, the spectral coverage, and the exozodiacal dust level. This parameter study enables deriving requirements on the instrument parameters that are driven by the science goals of the mission. Ultimately, this work enables a more direct comparison between thermal emission and reflected-light missions and demonstrates the scientific capabilities of a mission such as LIFE under the conservative assumption that life can only exist around Sun-like stars, given that the Earth is still the only habitable planet known to us.

2. Methods

To estimate the number of Earth-like exoplanets in the HZ of Sun-like and FGK-type stars that can be detected with LIFE, we simulated 1000 synthetic planet populations based on Kepler statistics around a sample of nearby (distance \(d < 20\) pc) stars with effective temperatures between 4800–6300 K and 3940–7220 K, respectively. Then, we used LIFEm3 (Dannert et al. 2022) to compute the required integration time for detecting each of the simulated planets assuming photon noise from stellar leakage, local zodiacal, and exozodiacal light. Similar as in Quanz et al. (2022), instrumental noise was not simulated, but we required a conservatively high signal-to-noise ratio (S/N) of 7 integrated over the full wavelength range for detection to account for unconsidered instrumental noise. This corresponds to a \(5\sigma\) detection in a scenario in which photon noise dominates instrumental noise by a factor of 2. For each star in the stellar sample, we then computed the amount of time that it takes to detect each of the planets simulated around it. The integration time was then assigned to the different stars in the order of decreasing efficiency, where the most efficient observation is the one that detects the largest number of planets per integration time. By focusing on only a subset of the simulated planets, this enables constructing a single-visit observing sequence that maximizes the expected yield of Earth-like exoplanets in the HZ of their host stars, similar to the altruistic yield optimization routine in Stark et al. (2014a). By averaging over 1000 synthetic planet populations, we marginalized over different numbers and properties of simulated planets, orbital realizations, and exozodiacal dust levels. Re-visits to make use of the orbital motion of the planets and detect those that might have been too close to their host star to be resolved in the first visit were not simulated, but could further increase the estimated planet yield beyond the predictions in this work (see Sect. 4.2). The individual steps of our simulations are outlined in the following sections.

2.1. Stellar sample

We used the same stellar sample as Quanz et al. (2022) as host stars for the synthetic planet populations. This sample consists of single and wide binary main-sequence stars within 20 pc from the Sun. The main focus of this work is the sample of Sun-like stars, defined as stars with an effective temperature between 4800 and 6300 K (roughly corresponding to main-sequence stars with spectral types between K3V and F7V) according to Bryson et al. (2021), but for better comparison with the yield estimates presented in Quanz et al. (2022), we also considered the sample of all FGK-type stars with effective temperatures between 3940 and 7220 K (of which Sun-like stars are a subsample). These samples consist of 154 Sun-like and 358 FGK-type stars, of which 141 and 316 are single stars, and the remaining 13 and 42 are wide binary stars, respectively. The distance, mass, radius, and effective temperature distribution of both samples is shown in Fig. 1. We note that \(\alpha\) Cen A and B are not included in these samples.

Fig. 1. Distance (left), mass (middle left), radius (middle right), and effective temperature (right) distribution of the two stellar samples (Sun-like and FGK-type stars) considered in this work. Sun-like stars are defined as stars with an effective temperature between 4800 and 6300 K. They are a subsample of the FGK-type stars.

² https://github.com/kammerje/P-pop
Bryson et al. (2021) mentioned that the dependence of the planet occurrence rate on the spectral type is not statistically significant and thus only a tentative detection, we are aware that including this spectral-type dependence in our simulations may introduce biases in our results. However, because the planet occurrence rate is assumed to be increasing with increasing stellar effective temperature while the number of stars in our stellar sample is decreasing with increasing stellar effective temperature, our simulations should still be on the conservative side. The two considered cases also account for the uncertainties in the planet candidate radius, instellation flux (i.e., host star flux incident on the planet), and host star effective temperature and are described by the planet distribution model,

$$\frac{\partial^2 N(R_p, F_p, T)}{\partial R_p \partial F_p} = N_0 CR_p^\gamma F_p^{-\gamma} g(T), \quad (1)$$

where \(N\) is the average number of planets per star, \(R_p\) is the planet radius, \(F_p\) is the planet instellation flux, \(T = T_{\text{eff}}/T_\odot\) with \(T_{\text{eff}}\) the host star effective temperature and \(T_\odot = 5778\) K the effective temperature of the Sun, and

$$g(T_{\text{eff}}) = \begin{cases} 10^{11.84 T_{\text{eff}}^{1.8}} & \text{if } T_{\text{eff}} \leq 5117 \text{ K}, \\ 10^{-16.77 T_{\text{eff}}^{1.38}} & \text{otherwise}. \end{cases} \quad (2)$$

We note that \(N_0, \alpha, \beta,\) and \(\gamma\) are the model parameters from Table 1 of Bryson et al. (2021), and \(C\) was chosen so that

$$\int_{0.5 R_\odot}^{2.5 R_\odot} \int_{0.2 F_\odot}^{2.2 F_\odot} \frac{\partial^2 N(R_p, F_p, T)}{\partial R_p \partial F_p} dR_p dF_p = N_0 \quad (3)$$

if averaged over \(T_{\text{eff}}\) from 3900 to 6300 K, where \(R_\odot\) is the radius of the Earth and \(F_\odot\) is the instellation flux received by the Earth. This means that the planet occurrence rate integrated over \(R_p\) from 0.5 to 2.5 \(R_\odot\) and \(F_p\) from 0.2 to 2.2 \(F_\odot\) for a given host star with effective temperature \(T_{\text{eff}}\) is given by

$$N_{T_{\text{eff}}} = N_0 C_T \left( \frac{T_{\text{eff}}}{T_\odot} \right)^\gamma g(T_{\text{eff}}), \quad (4)$$

where

$$C_T = \int_{T_{\text{eff}}}^{6300 \text{ K}} \int_{3900 \text{ K}}^{6300 \text{ K}} T' g(T') dT' dT_{\text{eff}}^{-1} \quad (5)$$

is the inverse of the average of the host star effective temperature-dependent part of Eq. (1). Hence, for each star in our sample, we drew a random number of planets from a Poisson distribution with mean \(N_{T_{\text{eff}}}\) and randomly distributed the planet radius and instellation flux according to Eq. (1) by feeding uniformly distributed random numbers between 0 and 1 into the normalized inverse cumulative distribution functions of the planet radius- and instellation flux-dependent parts of Eq. (1). Then, we repeated this step 1000 times to simulate 1000 synthetic planet populations. We marginalized over the uncertainties in the model parameters \(N_0, \alpha, \beta,\) and \(\gamma\) by drawing a random set of parameters for each of the 1000 synthetic planet populations from the Markov-chain Monte Carlo (MCMC) posteriors of Bryson et al. (2021) (obtained via private communication). This approach enables reflecting the uncertainties in the underlying planet distribution in our final yield estimates. The uncertainties introduced by drawing from a Poisson distribution are given
by \( \sqrt{N_{\text{host}}}/1000 \) and are negligible compared to those in the underlying planet distribution.

Based on results from Kraus et al. (2016), we scaled the planet occurrence rate around close binary stars with separations <50 au to 30% of the nominal rate around single stars. Unlike in Quanz et al. (2022), we did not apply a multiplanet system stability criterion to ensure that a randomly drawn planetary system is stable. The reason for this choice is that if a high value (\( \gtrsim 5 \)) is drawn for \( N_{\text{host}} \) from the MCMC posterior of Bryson et al. (2021), it takes too long to randomly draw a stable multiplanet system (because a very dense and regular orbital period and planet mass spacing would be required). Moreover, redrawing multiplanet systems until they happen to be stable leads to deviations of the simulated from the desired planet radius and instellation flux distributions and biases these distributions toward smaller planets and more widely spaced orbits. Part of this problem might also be the tail of high planet occurrence rates in the MCMC posterior distribution itself, which could predict too many planets within a given orbital period range for a system to be stable. This might be the case because Bryson et al. (2021) did not perform a system stability analysis when they derived their planet occurrence rates. While we end up with a significant fraction of unstable multiplanet systems, it is important to note that the stability of the systems is completely irrelevant for our yield estimates because LIFESIM treats each individual planet as a separate observation and we did not consider re-visits, on which the orbital motion of multiplanet systems would have an impact. Instead, it is only relevant that we simulate a planet population whose combined planet radius and instellation flux distributions match those predicted by Bryson et al. (2021).

After the synthetic planets were generated, we assigned them randomly oriented (but coplanar within a system) circular orbits and uniformly distributed Bond and geometric albedos between 0 and 0.8 (mean 0.4) and 0 and 0.1 (mean 0.05), respectively. We note that we assumed the wavelength-dependent geometric albedo to be constant over the entire LIFESIM wavelength regime, but because we considered wavelengths longer than 3 \( \mu \)m, the reflected host star light (and therefore the geometric albedo) is negligible compared to the thermal emission, at least for the small and mature planets that are of interest here. All planets were considered to emit like blackbodies with a radius \( R_p \) and an effective temperature \( T_p \) that depends on the luminosity of their host star, their Bond albedo, and their orbital separation, similar as in Kammerer & Quanz (2018). Moreover, to account for the photon noise from exozodiical light, we assigned each planetary system a randomly drawn zodi level according to either the nominal (median of \( \sim 3 \) zodi) or the pessimistic (median of \( \sim 12 \) zodi) exozodi level distributions from the HOSTS survey (Ertel et al. 2018, 2020).

### 2.3. Habitable zones

The HZ is commonly defined as the region around a star in which liquid surface water can exist on a rocky planet that has an atmospheric composition and climate stability feedback similar to our own Earth (Huang 1959; Hart 1978; Kasting et al. 1993; Kasting & Catling 2003). Previous works (The LUVOIR Team 2019; Gaudi et al. 2020; Quanz et al. 2022) considered an optimistic HZ (opt HZ) based on the so-called early Mars and recent Venus scenarios and a conservative HZ (con HZ) based on the so-called maximum greenhouse and runaway greenhouse scenarios from Kopparapu et al. (2014). These scenarios define two different planet instellation flux ranges for the HZ. We note that both opt HZ limits take the luminosity evolution of the Sun into account, which was fainter during the epochs when Venus and Mars provided habitable conditions. For the present-day solar luminosity, these insolation limits correspond to separations of 0.75 and 1.77 au, respectively, excluding Venus from the opt HZ, but including Mars. The planet radius range of the HZ is usually defined as \( \min R_p \approx R_p \approx 1.5 R_{\oplus} \). A third case called exo-Earth candidates (EECs) with a planet instellation flux range similar to the con HZ but with a tighter planet radius range has also been considered, however. For this case of EECs, the lower limit of the radius range is given by \( R_{\text{min}} = 0.8 a_{\oplus}^{1/5} R_{\oplus} \), where \( a_{\oplus} \) is the planet semi-major axis in units of au (see Stark et al. 2019), so that planets closer to the star are required to have a larger radius in order to be able to hold on to an atmosphere and be potentially habitable. For better comparability with other works, we adopt the same three definitions of the HZ. They are also described in more detail in Table 1.

Proper interpretation of the final yield estimates requires understanding of detection biases introduced by the range of simulated planets that only partially overlap with the HZs. The planet population from Bryson et al. (2021) simulates planet radii between 0.5 and 2.5 \( R_{\oplus} \) and planet instellation fluxes between 0.2 and 2.2 \( F_{\odot} \). It therefore covers the entire con HZ, opt HZ, and the parameter space defined as exo-Earth candidates (see Fig. 3). However, the planet population from Kopparapu et al. (2018) that was used in Quanz et al. (2022) only simulates planet orbital periods between 0.5 and 500 days. When this is converted into planet instellation flux for the stars in the FGK-type sample, it does not cover a significant part of the HZ around earlier-type.
we considered three different scenarios (pessimistic, reference, and optimistic). In the remainder of this work, these three scenarios are only referred to with their corresponding mirror diameters (1, 2, and 3.5 m), and the corresponding wavelength regimes are adopted implicitly. We note that in addition to the mirror diameter, the total throughput (here assumed to be 5%) also has a strong impact on the final yield estimates. While 5% is a rather conservative choice (the Large Binocular Telescope Interferometer (LBTI) currently achieves a total throughput of ~11% at 11 μm; Quanz et al. 2022), the dependence of the predicted planet yield on the total throughput and also the mirror diameter are more closely investigated in Sect. 4.1. Because LIFEim does not yet account for instrumental noise, we require a conservatively high signal-to-noise ratio (S/N) of 7 for detection. The duration of the search phase was set to 2.5 yr, and the remaining 2.5 yr of a nominal 5-yr mission could be spent on in-depth spectral characterization of the most promising targets. The slew time was set to a constant value of 10 h to simulate a penalty for switching targets, and the relative observing overheads were assumed to be 20%. While we used LIFEim to compute an individually optimized observing sequence that maximizes the yield of HZ planets for each of the considered scenarios, we visited each system only once. We note that to determine the orbits of any detected planets and to reject false positives, multiple visits will eventually be required in advance of an in-depth characterization phase.

### 3. Results

Before we studied the yield estimates, we performed a quick sanity check by comparing the average number of planets per star in our synthetic planet populations to those reported in Bryson et al. (2021). For our two synthetic planet populations (hab2min and hab2max) around Sun-like stars, we find an average number of planets per star with $R_\text{p} \in [0.5, 1.5] R_\oplus$ and $F_\text{T} \in [0.2, 2.2]$ $F_\text{bol}$ of $1.13^{\pm 0.49}$ and $1.87^{\pm 0.93}$, respectively, of which $0.35^{\pm 0.46}$, $0.37^{\pm 0.48}$, and $0.62^{\pm 0.66}$ reside within the con HZ and $0.56^{\pm 0.93}$, $0.58^{\pm 0.73}$, and $0.93^{\pm 1.15}$ reside within the opt HZ. In parentheses are the numbers from Bryson et al. (2021) for comparison. The small differences between our and their numbers arise because our stellar sample is different (the planet occurrence rate depends on the effective temperature of the host star) and because of the statistical nature of our simulations.

#### 3.1. Planet yield of Sun-like stars

One of the scientific goals that was formulated for the LIFE mission is that at least 30 (requirement)/50 (goal) extrasolar planets with radii between 0.5 and 1.5 Earth radii are to be investigated. They should receive between 0.35 and 1.7 times the instellation flux of the Earth in order to assess their habitability and search for biosignatures (Quanz et al. 2021). We call these planets the potentially habitable planets here. As outlined in Sect. 2.3, we consider three different definitions of a potentially habitable planet: (1) a planet within the opt HZ, (2) a planet within the con HZ, and (3) an exo-Earth candidate.

Table A.1 shows the total predicted yield including uncertainties for the three different potentially habitable planet types mentioned above, as well as for all simulated planets (i.e., $0.5 R_\oplus \leq R_\text{p} \leq 2.5 R_\oplus$ and $0.2 F_\text{T} \leq F_\text{bol} \leq 2.2 F_\text{T}$) for the sample of Sun-like stars ($4800 K \leq T_\text{eff} \leq 6300 K$). We note that for the remainder of this paper, "total predicted yield" means the median

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**Table 2.** Mission parameters adopted for the space-based nulling interferometer.

| Parameter                           | Value | Unit |
|-------------------------------------|-------|------|
| Number of collector spacecraft      | 4     | –    |
| Mirror diameter                     |       |      |
| Pessimistic                         | 1.0 m | –    |
| Reference                           | 2.0 m | –    |
| Optimistic                          | 3.5 m | –    |
| Pessimistic                         | 6.0–17.0 μm | – |
| Wavelength coverage                 |       |      |
| Reference                           | 4.0–18.5 μm | – |
| Optimistic                          | 3.0–20.0 μm | – |
| Spectral resolution                 | 20 %  | –    |
| Min. nulling baseline length        | 10 m  | –    |
| Max. nulling baseline length        | 100 m | –    |
| Ratio imaging/nulling baseline      | 6     | –    |
| Quantum efficiency                  | 0.7   | –    |
| Total throughput                    | 0.05  | –    |
| S/N_target                          | 7     | –    |
| Duration of search phase            | 2.5 yr| –    |
| Slew time                           | 10 h  | –    |
| Rel. observing overheads            | 0.2   | –    |

stars (see the opt HZ and con HZ regions that do not overlap with the Q21 region in Fig. 3). This needs to be kept in mind when yield estimates from this work are compared to those in Quanz et al. (2022).

### 2.4. Mission parameters

The mission parameters adopted for the space-based nulling interferometer are the same as in Quanz et al. (2022) and can be found in Table 2. The array architecture is based on Cockell et al. (2009) and consists of four free-flying collector spacecraft that send their light to a fifth beam-combiner spacecraft. For the collector spacecraft mirror diameter and the wavelength coverage,
The number of detectable planets over the 1000 simulated synthetic universes, and the uncertainties reflect the 16th and 84th percentiles of this distribution. In total, we present 24 different scenarios that are based on two different extrapolation models for the planet occurrence rate (hab2min and hab2max), two different exozodiacal dust level distributions (with a median of 3 and 12 zodi), two different optimization schemes for the interferometric baseline length (center of the opt HZ and center of the con HZ), and three different mirror diameters (1, 2, and 3.5 m; we note that the wavelength regime is adapted implicitly, see Sect. 2.4). In all cases, the total instrument throughput was set to 5%. We note that the wavelength regime is adapted implicitly, see Sect. 2.4). In all cases, the total instrument throughput was set to 5%. We note that the modulation map of a four-telescope nulling interferometer is a pattern with many transmission minima and maxima (e.g., Dannert et al. 2022). If the telescopes are free flying, their distances (i.e., baselines) can be optimized so that the first transmission maximum occurs at the center of the respective HZ for a face-on system. Figure 4 compares the predicted planet yield for several of these scenarios. The main finding is that if LIFE were to only target Sun-like stars, 3.5 m mirrors are required to detect 30 potentially habitable planets under the assumptions laid out in Table 2, even for the opt HZ. However, the 1σ upper bounds case would even fulfill the mission goal of detecting 50 potentially habitable planets with 3.5 m mirrors or the mission requirement of detecting 30 potentially habitable planets with 2 m mirrors. Nevertheless, these findings should be taken with caution because allowing for revisits would further increase the predicted planet yield by a significant fraction (see Sect. 4.2). Furthermore, the average yield with 2 m mirrors is approximately the same as the case with 1σ lower bounds with 3.5 m mirrors and would probably be at the lower limit of what could be considered a statistically meaningful sample. This is because with a sample of about 10 potentially habitable planets, the habitability fraction of the Solar System (which is defined as the fraction of HZ planets that are actually habitable, and is 50%) could be rejected with a significance of 3σ in case of a null result (Quanz et al. 2021). Finally, while several potentially habitable planets could be detected with 1m mirrors, a statistically meaningful assessment of the habitability fraction would likely be impossible.

Our parameter study also reveals the impact of the interferometric baseline length optimization scheme and the median exozodiacal dust level on the predicted planet yield. Figure 4 shows that while the former has virtually no impact on the planet yield, the latter has a small but noticeable impact that increases...
with increasing mirror size. This is because with larger mirrors, exoplanetary systems at greater distances can be observed, whose the exozodiacal light is brighter than that of the planets. The uncertainties on the exozodiacal dust levels are still large, therefore it is encouraging to see that LIFE would only be weakly affected by a rather pessimistic distribution of this inevitable source of noise. We note that LIFEsim currently assumes a perfect background (and therefore exozodiacal light) subtraction down to the photon noise limit. While this might be an overly optimistic assumption for reflected-light missions, where exozodiacal dust disks will appear highly asymmetric due to the different forward and backward scattering cross-sections of the dust (e.g., Stark et al. 2014b), subtraction of the exozodiacal dust disk should be more easy in the thermal infrared, where the near and far side of the disk will appear more symmetric. Nevertheless, potential substructure or disk offsets would also lead to additional disk flux leaking through the interferometric modulation map, although Defrère et al. (2010) found that this should not be an issue for disks with exozodi levels smaller than 50 zodi and offsets smaller than 0.5 au.

### 3.2. Planet yield of FGK-type stars

Whether only Sun-like stars as defined in Bryson et al. (2021) and considered in the previous Sect. 3.1 or more broadly all FGK-type stars should be considered in a search for potentially habitable planets remains subject to debate, similar to whether M-type stars should also be investigated (e.g., Scalo et al. 2007; Shields et al. 2016). Quanz et al. (2022) have presented yield predictions for the subset of FGK-type stars within 20 pc from the Sun. For a direct comparison, we also present yield predictions for the same sample of FGK-type stars here. As noted above, the sample of Sun-like stars is a subset of the sample of FGK-type stars. While the underlying planet population in Quanz et al. (2022) is based on the results of the NASA ExoPAG SAG13 (Kopparapu et al. 2018) and misses part of the HZ around early-type stars, the results presented here are based on the planet population from Bryson et al. (2021), which covers the entire con HZ and opt HZ (see Fig. 3). Hence, the underlying planet occurrence rates in the opt HZ and con HZ are significantly higher here (see Table 3).

![Fig. 5. Same as Fig. 4, but for the sample of FGK-type stars.](image)

**Table 3.** Comparison of the underlying planet occurrence rates for a Sun-like star in Quanz et al. (2022) and the average of the FGK-type sample in this work.

| Name     | $\eta$ (Quanz et al. 2022) | $\eta_{\text{hab}2\text{min}}$ | $\eta_{\text{hab}2\text{max}}$ |
|----------|-----------------------------|-------------------------------|-------------------------------|
| opt HZ   | 0.32                        | 0.53                          | 0.80                          |
| con HZ   | 0.17                        | 0.34                          | 0.54                          |
in predicted yield among all potentially habitable planet types with the hab2max planet population. This increase in predicted yield is roughly consistent with the increase in the underlying planet occurrence rates between Quanz et al. (2022) and this work, that is, ~100% with the hab2min and ~200% with the hab2max planet population, respectively. The numbers shown in Table 3 slightly overestimate the increase in predicted yield because the underlying planet occurrence rates in Quanz et al. (2022) are given for a Sun-like star, while the sample of FGK-type stars contains significantly more later-type stars (see Fig. 1). For these later-type stars, the underlying planet occurrence rates in Quanz et al. (2022) cover a larger fraction of the con HZ and opt HZ, so that the difference to the planet occurrence rates used in this work shrinks. However, the most important finding is that if LIFE would target all FGK-type stars within 20 pc (not just the Sun-like stars), then the mission would approach its goal of detecting 50 potentially habitable planets with 3.5 m mirrors even in an average scenario, and would still be able to reach its requirement of detecting 30 potentially habitable planets with smaller mirrors somewhere between 2 and 3.5 m in size. We note that we study the dependence of the predicted planet yield on the mirror size in more detail in Sect. 4.1.

3.3. Optimization of the observing sequence

Each of the different scenarios considered in our parameter study has its own optimized observing sequence of host stars from our stellar sample. Figure 6 shows the distance distribution of Sun-like stars from our stellar sample in gray and the number of stars that is actually observed in the scenarios with a median exozodiacal dust level of 3 and 12 zodi in dark and light orange, respectively. Based on the previous findings, we only considered scenarios with the interferometric baseline length optimization for the center of the opt HZ here because the predicted yield is largely independent of this parameter. With 1 m mirrors, only stars within ~12 pc are observed. With 2 m mirrors, the distance limit increases to ~20 pc and with 3.5 m mirrors, the optimized observing sequence appears to be distance limited (because our stellar sample only contains stars out to 20 pc). It is likely that with a larger stellar sample, the predicted yield would further increase because there would be some more distant stars with a higher probability of detecting a planet that could be observed. For reference, the same plot for FGK-type stars is shown in Fig. B.1. The main difference is that with 3.5 m mirrors, the observing sequence is not distance limited as strongly as for the
Sun-like stars because for the FGK-type stars, more stars in the 12–20 pc regime can be observed.

Studying the number of observed stars as a function of the distance reveals three findings. The two intuitive ones are that if planets are more frequent or if the median exozodiacal dust level is higher, fewer stars are observed. The first is because if planets are more frequent, it is often a more efficient use of time to remain observing a given star for slightly longer and detect a slightly fainter, but frequently occurring planet and to avoid the time penalty for slewing to another star. The second is because if the median exozodiacal dust level, and therefore the background noise level, is higher, a given star needs to be observed for longer to detect a given planet. The third and less intuitive finding is that with a higher median exozodiacal dust level, the optimizer sometimes prefers to look at more distant stars. This is especially evident in the lower middle panel of Fig. 6, which shows the hab2max planet population observed with 2 m mirrors. This behavior of the optimizer can be explained by the fact that earlier-type stars have a higher planet occurrence rate (see Fig. 2). An increase in the background noise level results in a preference of detecting brighter planets, and because the planet occurrence rate around earlier-type stars is higher, they are also more likely to host a brighter planet near the inner edge of the HZ. Consequently, it can be advantageous in some cases to observe a slightly more distant but earlier-type star.

The fact that earlier-type stars have a higher planet occurrence rate also has a strong influence on the left panel of Fig. 7, which shows the average number of detected planets for each observed Sun-like star, sorted by distance, for the hab2max planet population with a median exozodiacal dust level of 3 zodi and observed with 2 m mirrors. The spectral type is encoded in color, and the detection completeness is shown as a solid black line on the secondary y-axis. Right: same, but for the average number of detected planets normalized by the observing time in days.

![Fig. 7](image)

The right panel of Fig. 7 shows the average number of detected planets normalized by the observing time, which is the quantity that is actually maximized by the optimizer. Unsurprisingly, the distribution is relatively flat beyond the nearest about 5 stars because the optimizer observes an earlier-type star for exactly that much longer than a later-type star until their detection efficiencies (and therefore their planet yields per observing time) equalize. Last, Fig. 7 also highlights that there is a trend to observe a higher fraction of early-type stars with increasing distance. While the detection completeness naturally decreases with distance, this allows keeping the planet yield per observing time on a roughly constant level.

4. Discussion
4.1. Mission parameter study

The results presented in Sect. 3 are all based on the mission parameters shown in Table 2. However, the predicted planet yield strongly depends on many of these parameters, and their assumed values are subject to change based on technology development and funding constraints. To enable trade-off studies and understand how changes in the different mission parameters impact the scientific potential of the LIFE mission, we investigated the predicted planet yield as a function of the mirror diameter, the total throughput, the exozodiacal dust level, and the spectral coverage.

Figure 8 shows the predicted planet yield \( Y \) as a function of the mirror diameter \( D \) and reveals a scaling of approximately \( Y \propto D^{3/2} \) for the Sun-like stars and slightly weaker for the FGK-type stars. This is a weaker relation than the \( Y \propto D^{1.97} \) scaling for single-aperture reflected-light missions found by Stark et al. (2015). The main reason for the weaker scaling is that the resolution of LIFE is controlled by the baselines between the free-flying collector spacecraft and is therefore independent of the mirror diameter \( D \), while in Stark et al. (2015), the resolution of a single-aperture reflected-light telescope scales with \( \lambda/D \), where \( \lambda \) is the observing wavelength. Moreover, the signal of the spatially resolved sources (mainly exozodiacal and local
predicted planet yield

Figure 8. Predicted planet yield for the Sun-like and FGK-type stars as a function of the mirror diameter $D$ from 1 m to 3.5 m in steps of 0.25 m. We show from left to right the predicted planet yields for the opt HZ, the con HZ, and the exo-Earth candidates, for the hab2max planet population with a median exozodiacal dust level of 3 zodi. The data points for the Sun-like and FGK-type stars are slightly offset to the left and right, respectively, to increase their visibility. We overlay in solid and dashed black the power-laws fit to the data with the best-fit power-law exponent shown in the legend. The wavelength range and the total throughput are kept constant at 4–18.5 $\mu$m and 5%, respectively.

Figure 9 shows the same analysis as a function of the total throughput, the exozodiacal dust level, and the spectral coverage (i.e., the wavelength range that the S/N is integrated over). The mirror size is kept constant at 2 m. For the total throughput $T$, we find a scaling of approximately $Y \propto T^{0.15}$, which agrees well with the theoretical expectation that the signal-to-noise ratio scales roughly with the square root of the observing time (higher throughput is equivalent to longer observing time in our LIFEsim simulations). Moreover, the scaling that we find for the total throughput of LIFE is similar to the $Y \propto T^{0.35}$ scaling found by Stark et al. (2015) for single-aperture reflected-light missions. Based on these scaling relations for the mirror diameter and the total throughput, we derive requirements of either $3 \text{ m}/5\%$ or $2 \text{ m}/10\%$ when we focus on all FGK-type stars for the LIFE mission to achieve its goal of detecting 30 potentially habitable planets. For the exozodiacal dust level $z$, we find a weak scaling of approximately $Y \propto z^{-0.15}$, which is again very similar to the $Y \propto z^{-0.17}$ scaling found by Stark et al. (2015) for single-aperture reflected-light missions. Both studies make the optimistic assumption that the exozodiacal light can be subtracted down to the photon noise limit. Nevertheless, given the still large uncertainties in the median exozodiacal dust level even for Sun-like stars (median 3 zodi, 95% confidence upper limit 27 zodi, see Ertel et al. 2020), this analysis illustrates that exozodiacal dust can potentially have a significant impact on the scientific potential of a future exo-Earth imaging space mission, and systems with high levels of exozodiacal dust ($\gtrsim 10$ zodi) should ideally be avoided a priori. Finally, for the width $W$ of the wavelength range, we find a similarly weak scaling as for the exozodiacal dust level, suggesting that a somewhat reduced wavelength range would be acceptable during the search phase. However, we recall that a smaller wavelength range will also decrease the precision to which the temperature and radius of a planet can already be constrained in the search phase (see Konrad et al. 2022), which might make the selection and prioritization of targets for the characterization phase of the mission more difficult.

For completeness, we repeated the same parameter study with the hab2min planet population. The corresponding plots are shown in Fig. C.1 and reveal overall similar scalings for the dependence on the mirror diameter, the total throughput, and the wavelength range. We note that there are noticeable differences in some cases, especially when the predicted planet yields are low and the curves are rather flat, leading to large uncertainties in the best-fit scaling parameters. However, only for the dependence on the median exozodi level are the scaling parameters between the hab2min and the hab2max cases consistently different, with a weaker scaling being observed with the hab2min planet population. This finding is related to the detectability of $P_{\text{orb}} > 500$ d planets, for which the hab2min and hab2max planet populations differ. Multiple effects such as the dependence of the exozodiacal disk size on the assumed exozodi level and the sensitivity of LIFE as a function of the orbital separation are active, and a more detailed analysis that goes beyond the empirical scaling laws presented here is beyond the scope of this paper.

4.2. Revisits

Observing a nearby target for a second time (or even more often frequently) to detect planets that could not be observed in the previous visit(s) can sometimes be significantly more efficient than observing a new but more distant target. Studies for single-aperture reflected-light missions conducted by Stark et al. (2015) have shown that allowing for so-called revisits when optimizing an observing sequence can increase the expected number of detected HZ planets by up to $\sim 40\%$. While revisits are not simulated in the current version of LIFEsim due to the complications illustrated in Stark et al. (2015), Dannert (2021) constrained the magnitude of the improvement that revisits can yield in the context of LIFE. By moving all planets in the sample to the position on their orbit where they are observed with maximum S/N, 18% is predicted to be an upper bound for the improvement achievable with revisits. To predict a lower bound for the improvement, the search phase is divided into a blind search phase (as described in this work) and a follow-up phase. In the follow-up phase, planets observed in the blind search phase with insufficient S/N to be detected are moved to the optimal observing position on their orbit. Then, this optimized sample is observed with the...
remaining observing time, yielding a 6% lower bound for the improvement possible with revisits. This approach additionally predicts that revisits are efficient in increasing the completeness of the observed systems. Compared to a single-visit observing sequence, revisits can increase the completeness by up to 30% without reducing the total number of detected planets. This can be helpful when studying the architecture of the detected planetary systems, for instance.

The difference of a factor of \( \sim 2 \) in the improvement from revisits between LIFE and reflected-light missions arises because...
the thermal emission observations are independent of the planet phase angle. For reflected-light observations, planets are more easily detected in gibbous phase than in crescent phase. Statistically speaking, at a given moment, 50% of the planets are in crescent phase and might remain undetected even if they could be resolved from their host star. However, when simulating revisits, these planets eventually move into gibbous phase and will be detected. For thermal emission observations, the planets will already be detected during the first visit, regardless of their phase. This accordingly decreases the improvement that can be achieved by revisiting the system.

4.3. Probabilistic habitable zone

The discrete nature of the HZs defined in Table 1 and considered throughout this work thus far limits their usefulness for quantifying the number of actually habitable planets (i.e., the number of planets on which liquid surface water can exist) because only a fraction of the planets residing within these HZs will ultimately be habitable. For our own Solar System, this habitability fraction is 50% for both the opt HZ and con HZ (see Fig. 3). We note that this fraction might have changed throughout the evolution of the Solar System (Tuchow & Wright 2021). To estimate the number of actually habitable planets orbiting Sun-like stars that the LIFE mission could detect, we coupled our Monte Carlo simulations with the HUNTER tool3 from Zsom (2015). HUNTER considers a grid of exoplanet atmospheres with multiple parameters that can impact the habitability of a planet (e.g., atmospheric composition, relative humidity, or surface pressure) to assess the probability that a planet receiving a given instellation flux and having a given radius can harbor liquid surface water. In the current implementation, the priors for the surface pressure and the relative humidity are uniform in log-space, and the priors for the surface albedo, the N₂ mixing ratio, and the CO₂ mixing ratio are uniform. By multiplying the number of simulated or detectable planets from our Monte Carlo simulations with the habitability probability from HUNTER, we obtain an estimate for the number of simulated or detectable planets that are actually habitable.

Figure 10 shows the average number of simulated and detectable habitable planets for the LIFE mission obtained with the default settings for Sun-like stars from HUNTER. As in the previous sections, Fig. 10 considers the sample of Sun-like stars with the hab2max planet population and a median exozodiacal dust level of 3 zodi observed with the 2 m mirrors, 5% total throughput, and the interferometric baseline length optimization for the center of the opt HZ.

4.4. Combining separately optimized observing sequences

We showed in Sect. 4.1 that the predicted planet yield scales roughly with the square root of the total throughput (see Fig. 9). To first order, the total throughput is directly proportional to the duration of the search phase, which we have assumed to be 2.5 yr (see Table 2). Hence, the predicted planet yield also scales roughly with the square root of the duration of the search phase, and if the search phase were cut to half of its time (1.25 yr), significantly more than half of the predicted planet yield for the full 2.5 yr would still be obtained. This raises the interesting possibility of combining multiple separately optimized observing sequences by reducing the duration assigned to each of these.

3 https://github.com/andraszsom/HUNTER
observing sequences while implicitly increasing their observing efficiency. For example, if the same scaling that we find here for Sun-like and FGK-type stars also holds for M dwarfs, the LIFE mission could search for planets orbiting Sun-like or FGK-type stars for half of the search phase (1.25 yr) and for planets orbiting M dwarfs for the other half of the search phase. Then, for each of these two 50% chunks of the search phase, we would still obtain ~71% of the predicted planet yield for the full 2.5 yr.

5. Summary and conclusions

Previous studies have predominantly found that a space-based mid-infrared nulling interferometer mission searching for rocky planets within the HZ of their host star is strongly biased toward detections around M dwarfs (Kammerer & Quanz 2018; Quanz et al. 2018, 2022). This stems from the fact that such an interferometric mission is mainly sensitivity limited when searching for rocky HZ planets, and M dwarfs are much more abundant in the solar neighborhood than FGK-type stars. A common misconception is that HZ planets would also be more frequent around M dwarfs than around Sun-like stars, but the results from Bryson et al. (2021) showed that the HZ occurrence rates for Sun-like stars are at least the same as those for M dwarfs, if not higher (see also Table 1). Because the habitability prospects for planets orbiting M dwarfs are still unclear, we focused here on the question of how many rocky HZ planets a space-based mid-infrared nulling interferometer mission such as LIFE could detect around Sun-like (effective temperature within 4800–6300 K) and FGK-type stars (effective temperature within 3940–7220 K). For this purpose, we presented new Monte Carlo simulations of the search phase of such a mission, effectively predicting its expected planet yield. Our simulations are based on the planet occurrence rate model from Bryson et al. (2021), specifically inferred for Sun-like stars, and also propagate the uncertainties in this occurrence rate model, the orbital configurations, and the exozodiacal dust levels from Ertel et al. (2020) to the final results.

We find that an interferometric array consisting of four 2 m telescopes operating in the 4–18.5 μm wavelength range with a total throughput of 5% and targeting Sun-like stars is expected to find $10^{+10}_{-8}$ and $16^{+18}_{-10}$ planets within the opt HZ defined according to Kopparapu et al. (2014), assuming a pessimistic and optimistic extrapolation of the *Kepler* planet occurrence rates beyond 500 days, respectively. If targeting FGK-type stars, these numbers increase to $16^{+12}_{-8}$ and $22^{+26}_{-15}$, respectively. The expected number of detected exo-Earth candidates, which are planets with radii between 0.8 and 1.4 Earth radii (lower bound depends on spectral type, see Table 1) and installation fluxes between 0.356 and 1.107 solar constants (upper and lower bounds depend on spectral type, see Table 1), is approximately one-third of the expected number of detected planets within the opt HZ. In Sect. 3, we presented the predicted planet yield for 24 different scenarios featuring different extrapolation schemes for the planet occurrence rate, exozodiacal dust levels, interferometric baseline length optimization schemes, and instrument parameters. Furthermore, in Sect. 4.1, we investigated the dependence of the predicted planet yield on the mirror diameter, the total throughput, the exozodiacal dust level, and the spectral coverage in more detail. Overall, we observe no significant dependence of the predicted planet yield $Y$ on the interferometric baseline length optimization scheme, while the mirror diameter $D$ has the strongest impact (approximately $Y \propto D^{3/2}$), followed by the total throughput $T$ (approximately $Y \propto T^{1/2}$), and finally the exozodiacal dust level $z$ (approximately $Y \propto z^{0.15}$) and the width of the accessible wavelength range with a similarly weak scaling.

Finally, we used the probabilistic habitability assessment tool HUNTER to predict that roughly half of the detected exo-Earth candidates might indeed be habitable with conditions that would allow liquid surface water to exist. Finding these habitable planets and constraining their frequency is one of the main objectives of the LIFE mission.

This new work clearly shows that the LIFE mission would be able to detect a statistically significant sample of Earth-like exoplanets around Sun-like stars. Although focusing on these planets is more difficult, mainly because Sun-like stars are less frequent in the solar neighborhood than M dwarfs, the LIFE mission could achieve its goal of detecting 30 potentially habitable planets during a search phase of 2.5 yr when focusing solely on Sun-like stars assuming ~3 m mirrors and a throughput of 5%. This result assumes an optimistic extrapolation of the *Kepler* planet occurrence rates (the habmax model). With smaller 2 m mirrors, a total throughput of ~20% would result in a similar planet yield. If the stellar sample were extended to all FGK-type stars, the goal of 30 potentially habitable planets could already be achieved with either a 2.5 m mirror and 5% total throughput combination or a 2 m mirror and 10% total throughput combination. These planets would then be prime targets for an in-depth characterization during a second mission phase. Constructing an observing sequence that only partially investigates Sun-like (or more broadly, FGK-type) stars while leaving some time to search for HZ planets around M dwarfs would further reduce the requirements on mirror diameter and total throughput, although this option hinges on the unclear prospects for habitability around M dwarfs.

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### Appendix A: Predicted planet yield

Table A.1. Predicted planet yield around Sun-like stars for the different planet populations, median exozodiacal dust levels $\tilde{z}$, interferometric baseline length optimization schemes, and mirror diameters $D$.

| Population | $\tilde{z}$ (zodis) | baseline optimization | $D$ (m) | $N_{\text{det}}$ | $N_{\text{det,opt}}$ | $N_{\text{det,con}}$ | $N_{\text{det,EEC}}$ |
|------------|-------------------|----------------------|--------|-----------------|-------------------|------------------|-----------------|
| hab2min    | 3                 | opt HZ               | 1.0    | 10.0$^{+6.0}_{-4.0}$ | 3.0$^{+3.0}_{-1.0}$ | 2.0$^{+1.0}_{-0.0}$ | 1.0$^{+1.0}_{-0.0}$ |
|            |                   |                      | 2.0    | 30.0$^{+16.0}_{-10.0}$ | 11.0$^{+6.0}_{-5.0}$ | 5.0$^{+6.0}_{-3.0}$ | 4.0$^{+3.0}_{-1.0}$ |
|            |                   |                      | 3.5    | 70.0$^{+27.0}_{-14.0}$ | 27.0$^{+12.0}_{-6.0}$ | 14.0$^{+12.0}_{-3.0}$ | 10.0$^{+9.0}_{-5.0}$ |
|            |                   |                      | 1.0    | 8.0$^{+6.0}_{-2.0}$ | 3.0$^{+2.0}_{-1.0}$ | 2.0$^{+2.0}_{-1.0}$ | 1.0$^{+2.0}_{-1.0}$ |
|            |                   | con HZ               | 2.0    | 26.0$^{+15.0}_{-10.0}$ | 10.0$^{+10.0}_{-5.0}$ | 6.0$^{+5.0}_{-4.0}$ | 4.0$^{+4.0}_{-2.0}$ |
|            |                   |                      | 3.5    | 65.0$^{+30.0}_{-22.0}$ | 26.0$^{+13.0}_{-10.0}$ | 14.0$^{+13.0}_{-7.0}$ | 10.0$^{+9.0}_{-5.0}$ |
| hab2max    | 3                 | opt HZ               | 1.0    | 11.0$^{+5.0}_{-5.0}$ | 4.0$^{+2.0}_{-1.0}$ | 2.0$^{+1.0}_{-0.0}$ | 1.0$^{+1.0}_{-0.0}$ |
|            |                   |                      | 2.0    | 39.0$^{+22.0}_{-15.0}$ | 14.0$^{+14.0}_{-8.0}$ | 8.0$^{+8.0}_{-5.0}$ | 6.0$^{+6.0}_{-3.0}$ |
|            |                   |                      | 3.5    | 92.0$^{+34.0}_{-19.0}$ | 36.0$^{+19.0}_{-9.0}$ | 22.0$^{+20.0}_{-12.0}$ | 15.0$^{+16.0}_{-9.0}$ |
|            |                   |                      | 1.0    | 10.0$^{+5.0}_{-5.0}$ | 4.0$^{+2.0}_{-1.0}$ | 2.0$^{+2.0}_{-1.0}$ | 1.0$^{+2.0}_{-1.0}$ |
|            |                   | con HZ               | 2.0    | 35.0$^{+19.0}_{-14.0}$ | 13.0$^{+10.0}_{-7.0}$ | 8.0$^{+9.0}_{-6.0}$ | 6.0$^{+6.0}_{-3.0}$ |
|            |                   |                      | 3.5    | 85.0$^{+31.0}_{-21.0}$ | 35.0$^{+19.0}_{-12.0}$ | 21.5$^{+20.0}_{-13.5}$ | 15.0$^{+19.0}_{-9.0}$ |
| hab2min    | 12                | opt HZ               | 1.0    | 7.5$^{+3.5}_{-3.0}$ | 2.0$^{+1.0}_{-0.0}$ | 1.0$^{+1.0}_{-0.0}$ | 1.0$^{+1.0}_{-0.0}$ |
|            |                   |                      | 2.0    | 25.0$^{+11.0}_{-9.0}$ | 8.0$^{+4.0}_{-3.0}$ | 4.0$^{+4.0}_{-2.0}$ | 3.0$^{+3.0}_{-2.0}$ |
|            |                   |                      | 3.5    | 54.0$^{+28.0}_{-18.0}$ | 20.0$^{+18.0}_{-10.0}$ | 11.0$^{+11.0}_{-6.0}$ | 7.0$^{+8.0}_{-4.0}$ |
|            |                   |                      | 1.0    | 6.0$^{+3.0}_{-3.0}$ | 2.0$^{+1.0}_{-1.0}$ | 1.0$^{+1.0}_{-1.0}$ | 1.0$^{+1.0}_{-1.0}$ |
|            |                   | con HZ               | 2.0    | 21.0$^{+11.0}_{-9.0}$ | 8.0$^{+5.0}_{-3.0}$ | 4.0$^{+5.0}_{-3.0}$ | 3.0$^{+3.0}_{-2.0}$ |
|            |                   |                      | 3.5    | 50.0$^{+27.0}_{-17.0}$ | 20.0$^{+17.0}_{-10.0}$ | 11.0$^{+11.0}_{-6.0}$ | 7.0$^{+8.0}_{-4.0}$ |
| hab2max    | 12                | opt HZ               | 1.0    | 11.0$^{+6.0}_{-4.0}$ | 4.0$^{+2.0}_{-1.0}$ | 2.0$^{+1.0}_{-0.0}$ | 1.0$^{+1.0}_{-0.0}$ |
|            |                   |                      | 2.0    | 35.0$^{+20.0}_{-13.0}$ | 13.0$^{+13.0}_{-8.0}$ | 7.0$^{+8.0}_{-4.0}$ | 5.0$^{+6.0}_{-3.0}$ |
|            |                   |                      | 3.5    | 81.0$^{+38.0}_{-21.0}$ | 33.0$^{+19.0}_{-10.0}$ | 19.0$^{+19.0}_{-10.0}$ | 14.0$^{+14.0}_{-8.0}$ |
|            |                   |                      | 1.0    | 9.0$^{+6.0}_{-3.0}$ | 3.0$^{+2.0}_{-1.0}$ | 2.0$^{+2.0}_{-1.0}$ | 1.0$^{+2.0}_{-1.0}$ |
|            |                   | con HZ               | 2.0    | 30.0$^{+19.0}_{-12.0}$ | 12.0$^{+12.0}_{-7.0}$ | 7.0$^{+8.0}_{-4.0}$ | 5.0$^{+6.0}_{-3.0}$ |
|            |                   |                      | 3.5    | 75.0$^{+36.0}_{-20.0}$ | 32.0$^{+17.0}_{-12.0}$ | 19.0$^{+20.0}_{-11.0}$ | 14.0$^{+13.0}_{-9.0}$ |

**Notes.** HZ denotes the habitable zone for whose center the interferometric baseline length was optimized.
Appendix B: Observed stars with the FGK-type sample

Fig. B.1. Same as Figure 6, but for the sample of FGK-type stars.
Appendix C: Mission parameter study with the hab2min planet population

Fig. C.1. Same as Figure 8 and 9, but for the hab2min planet population.
Appendix D: HUNTER plots for the FGK-type sample and the hab2min planet population

Fig. D.1. Same as Figure 10, but for Sun-like stars with the hab2min planet population (top panels), for FGK-type stars with the hab2max planet population (middle panels), and for FGK-type stars with the hab2min planet population (bottom panels).