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Candida spp. co-infection in COVID-19 patients with severe pneumonia: Prevalence study and associated risk factors

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ABSTRACT
Background: Invasive fungal infections (IFI) are increasing in prevalence in recent years. In the last few months, the rise of COVID-19 patients has generated a new escalation in patients presenting opportunistic mycoses, mainly by Aspergillus. Candida infections are not being reported yet.
Objectives: We aimed to determine the prevalence of systemic candidiasis in patients admitted to ICUs due to severe pneumonia secondary to SARS-CoV-2 infection and the existence of possible associated risk factors that led these patients to develop candidiasis.
Patients/methods: We designed a study including patients with a confirmed diagnosis of COVID-19. Results: The prevalence of systemic candidiasis was 14.4%, and the main isolated species were C. albicans and C. parapsilosis. All patients that were tested positive for Candida spp. stayed longer in the ICU in comparison to patients who tested negative. Patients with candidiasis had higher Multibacteria score and mortality rates and a worse radiological involvement. In our study, Candida spp. isolates were found in patients that were submitted to: tocilizumab, tocilizumab plus systemic steroids, interferon type 1β and Lopinavir-Ritonavir.
Conclusions: Results suggested a high prevalence of systemic candidiasis in severe COVID-19-associated pneumonia patients. Patients with Candidiasis had the worst clinical outcomes. Treatment with tocilizumab could potentialize the risk to develop systemic candidiasis.

1. Introduction

Invasive fungal infections (IFI) have an incidence of approximately 4.7 per 1,000 patients and are associated with high morbidity and mortality in critically ill individuals. Approximately 90% of IFI deaths are caused by Candida spp., Aspergillus spp., Cryptococcus spp. and Pneumocystis spp [1]. Although studies indicate an increase in IFI, its incidence is underestimated [2]. Evidence shows that IFI and Influenza (A or B) co-infections occur in approximately 32% of immunocompromised and in 14% of immunocompetent patients [3].

For COVID-19, the reported incidence of IFIs ranged between 4% and 27.7% [4,5] according to the studies consulted. In addition, the associated risk factors for the development of these infections are not fully known. Nevertheless, some authors have been shown that treatment with systemic corticosteroids alone or associated with other immunomodulating drugs was associated with the development of IA in COVID-19 patients [6]. Another factor associated with the development of Invasive Aspergiloses (IA) is lymphopenia. In severe COVID-19, like in Influenza, patients could develop lymphopenia [7]. Frequently, seriously ill COVID-19 patients, who develop an adult respiratory distress syndrome (ARDS), are admitted to Intensive Care Units (ICU) where the invasive monitoring can allow for the entry of opportunistic pathogens. To make matters worse, these patients may also receive immunosuppressive (IS) medication, such as systemic corticosteroids (SC), tocilizumab (TCZ) and cyclosporine (CP) that are, on one hand, fundamental to halt the “cytokine storm” that occurs in the most severe cases [8,9].
but on the other hand can potentially increase the susceptibility of these patients to co-infections. Cases of severe pulmonary fungal infection in patients treated with Taz, such as mucormycosis, allergic bronchopulmonary aspergillosis and pulmonary Pasteurellosis, have been described [10–12].

Invasive candidiasis, mostly candidemia, is associated with a high global mortality rate, ranging from 36% to 63% in different patients’ groups [13]. In a prospective hospital-based population study in seven European countries, rates of candidemia ranging from 0.20 to 0.38 per 1,000 hospital admissions were reported [14]. Approximately half of all Candida infection occurs in ICU [15], represented 5–10% of all ICU-acquired infections, with high mortality in the range of 40% [16]. Although it is described high critically ill patients colonized with Candida spp., only 5–40% develop an invasive infection [17]. In the last decades, many risk factors associated with IC development have been identified (diabetes mellitus, renal failure, urinary or vascular catheters, neutropenia, immunosuppression, major surgery, neutropenia, burns, among others) [18]. IAI, especially IA, are well-known complications of influenza [19]. Aspergillus isolation in influenza patients is associated with high mortality, but it is unclear what role Aspergillus plays in this process [20].

To date, case series studies of invasive aspergillosis, but not of candidiasis, have been reported in patients with COVID-19. With that said, the main hypothesis of this work was that the use of IS drugs increases the risk of systemic Candida spp. co-infection in patients with severe SARS-CoV-2. Based on that, the major aim was to determine the prevalence of systemic candidiasis in patients admitted to ICUs due to severe pneumonia secondary to SARS-CoV-2 infection (COVID-19-Associated Candidiasis, CAC) and the existence of possible associated risk factors that led these patients to develop candidiasis.

2. Methods

2.1. Study design

We designed an observational prospective study that was conducted in the Rey Juan Carlos University Hospital (HURJ, https://www.hospitalreyjuancarlos.es), from February 1st to April 30th, 2020. HURJ is a center integrated into the Madrid (Spain) public health system providing healthcare to approximately 174,000 people in the southern area of Madrid. Under normal circumstances, this center has 358 individual hospital beds and can double the amount, if necessary, e.g., due to the surge of COVID-19 cases at the Pneumology department. The Pneumology department has a Respiratory Intermediate Care Unit (RICU), with four beds, which is integrated into the Intensive Care Unit (ICU). During the COVID-19 pandemic, the ICU and RICU were unified, reaching up to 45 hospital beds, and the number of intensive care beds was increased overall.

The present study was approved by the Ethics Committee of the Fundación Jiménez Díaz Health Research Institute (EO102-20-HRJC). In view of the pandemic situation, informed consent was not requested from the patients. Personal information and data obtained from the subjects were kept confidential.

2.2. Sample design

A total of 215 patients (>18 years old) were admitted to RICU or ICU, with a confirmed diagnosis of severe pneumonia caused by SARS-CoV-2 [6], with or without ARDS. To confirm the presence of systemic candidiasis in these patients (in our study, invasive candidiasis comprises candidemia and deep-seated candidiasis, which may occur concurrently or independently), we combined multiple techniques to improve the global sensitivity of all of them. In this study, we used the following diagnostic-test: culture tests for Candida spp. (blood culture and culture of invasive samples) and nonculture diagnostic test including mannan and anti-mannan IgG test, C. albicans germ tube antibody, BDG and PCR-based assays, (Fig. 1). We followed the bedside scoring system reported by the Spanish group (EPCAN Study). This “Candida score” allows early antifungal treatment when candidemia is suspected in non-neutropenic ICU patients [7,8].

Patients that were tested negative for mycosis or SARS-CoV-2 infection and those from whom the data was not collected were excluded from this study.

To confirm the presence of SARS-CoV-2 infection, smear samples were collected from the upper respiratory tract, at the moment of admission, and processed for RT-PCR (“Primerdesig Design Ltd COVID-19 genesig® Real-Time PCR assay”, HAIN Lifescience, Chandler’s Ford, UK). The serological diagnosis was made using the COVID-19 rapid Biozek Medical Test (specificity 98% for IgG and 96% for IgM; sensitivity 100% and 85% respectively, BIOZEK, Apeldoorn, Netherlands).

2.3. Microbiological diagnosis

We used criteria to invasive Candidiasis published by Clancy CJ et al. [9]. During the pandemic situation, invasive Candidiasis diagnostic was made by culture test, relegating serum assays for Candida antigens and anti-Candida antibodies to isolated cases. We assume that without the no-culture test we would have had underdiagnosed cases of invasive candidiasis. Therefore, we consider that this fact does not distort the results presented.

According to our protocol, every two weeks all patients admitted to the RIC-ICU or ICU had samples collected from respiratory secretions or from nasal, oropharyngeal, rectal, urinary, and skin exudates. If Candida spp. was isolated in these cultures, studies to rule out invasive candidiasis were carried out through the culture of sterile specimens [10].

Blood cultures are positive in patients with deep-seated candidiasis (only 50–70% of cases). In those patients, cultures of infected tissues were performed [11]. Similarly, to the tests described previously, the tips removed from the central catheters were sent to the microbiology laboratory for further analysis. For fungal diagnosis, samples were cultivated in CHROMagar Candida Medium (CHROMagar Company, Paris, France) and identified by AuxaColor™ 2 (Bio-rad, Marnes-la-Coquette, France) and API Candida systems (bioMerieux, France).

For the diagnosis of invasive pulmonary candidiasis, we obtained invasive samples of respiratory secretions by fiberoptic bronchoscopy. Fiberoptic bronchoscopy (Pentax™, Tokyo, Japan) was performed to obtain additional samples from respiratory secretions via direct aspiration (bronchoaspiration, BAS) or through a bronchoalveolar lavage (BAL). To perform the BAL, three sterile 50 mL physiological saline solution aliquots (0.85% Sodium Chloride solution, 150 mL total) were suctioned bilaterally into each lobe and the BAL samples were sent to the microbiology laboratory for further analysis.
instilled in the area where radiological involvement was detected. The test was prescribed and performed by the same pulmonologist who did the fibrobronchoscopy.

2.4. Variables

Patient data was obtained from the electronic medical record system, which allows for access to complete medical, nursing, laboratory, and radiology information.

The patient data was analyzed considering several variables, such as socio-demographic characteristics, age, sex, need for admission, associated comorbidities, previous immunosuppressive treatment, administration of COVID-19 treatment and the patient evolution during admission (complication rate, number of days the patient was hospitalized and death rate).

Patients were scored as severe if they had pneumonia with organ failure (one or more organs), <90% oxygen saturation (measured via pulse oximetry in ambient air) or respiratory rate >30 breaths per minute (BPM). For ARDS cases, the scoring was made according to PaO₂/FiO₂ ratios (PaO₂: Partial Pressure of Oxygen and FiO₂: Fraction of inspired oxygen) that were either calculated or corrected from SpO₂/FiO₂ (SpO₂: arterial oxygen saturation). The score was set as mild (200 mmHg < PaO₂/FiO₂ < 300 mmHg), moderate (100 mmHg < PaO₂/FiO₂ < 200 mmHg) or severe (PaO₂/FiO₂ < 100 mmHg) [12].

The reference for the severity index used here was the Multi-lobar infiltrates (5 points), Lymphocyte count ≤0.8 × 10⁹/L (4 points), Bacterial coinfection (4 points, presented with bacteria positive by laboratory tests or sputum tests and there were consolidation signs on CT feature), acute Smoker (3 points, and the patients who had quitting smoking history were scaled as 2 points), hyperTension (2 points), and Age ≥ 60 years (2 points) score (MulBSTA). It presented better specificity and sensitivity than CURB-65 as a predictor of mortality in virulent pneumonias. Twelve points were considered to be the threshold for the MulBSTA analysis to differentiate between the low-risk (0–11 points) and the high-risk mortality groups (>12 points) [21].

2.5. Statistical analysis

Data were analyzed using SPSS statistical software version 20.0 (SPSS Inc., Chicago, IL, USA). Continuous variables with normal distribution were expressed either as means ± standard deviation or as medians. Qualitative and categorical variables were reported as numbers and percentages, respectively. Statistical differences between groups were analyzed using the exact Chi-square (χ²) and/or Fisher’s exact test. The calculation of relative risk (RR) was made using contingency tables and the results were expressed as a confidence interval (CI). Differences between pair groups were analyzed using the unpaired Student’s T-test or the Mann-Whitney U test, as appropriate. Pearson’s bivariate correlation test was used as an independent predictor. Groups were considered statistically different when p < 0.05.

3. Results

A total number of 215 patients were tested and Candida spp. was identified in 31 individuals (14.4%) from 41 biological samples that were isolated. Most of the species identified were C. albicans (n = 23) and C. parapsilosis (n = 10) obtained from urine samples or respiratory secretions (Table 1). C. glabrata, C. dubliniensis, C. krusei and C. tropicalis were also found in COVID-19-positive patients (Table 1).

There were no significant differences between patients with and without Candida spp. in terms of age (62.6 vs. 65.5 years, p-value = 0.125), sex (p-value = 0.568), or the presence of comorbidities (Charlson index 2.06 vs 3.41, p-value = 0.103). Regarding the latter, no differences were found with respect to the prevalence of certain chronic diseases, such as obstructive pulmonary disease (COPD) (p-value = 0.419), asthma (p-value = 0.224), type 2 diabetes mellitus (p-value = 0.719), or previous immunosuppressive treatment. However, statistical differences were found concerning the presence of malignancies (9 solid organ malignancies and 2 Hodgkin lymphomas) in patients with Candida spp. (p-value = 0.0001).

All Candida spp. positive patients were admitted to the ICU, required orotracheal intubation, received treatment with parenteral nutrition and had nasogastric or bladder catheters and central access routes. The time that these patients spent in ICU were longer in comparison with COVID-19 patients that did not have Candida spp. co-infection (21.7 vs 12.1 days, p-value = 0.001). In addition, Candida spp. positive patients had a higher MulBSTA pneumonia severity index (12.13 vs. 10.08, p-value = 0.000002). All Candida spp.-positive patients developed ARDS (7 moderate and 11 severe cases), with a SpO₂/FiO₂ of 79.18, at the time of admission, whereas the group without candidiasis had a ratio of 127.29 (p-value = 0.009). Moreover, Candida spp.-positive individuals showed a more extensive radiological involvement at the time of admission (93% presented severe involvement vs. 60% without Candida spp., p-value = 0.001), a higher rate of complications during their stay in the ICU (p-value = 0.009) and higher mortality rates (87% Candida spp.-positive group vs. 36% Candida spp.–negative group, p-value = 0.000008).

Regarding treatment, when the patients with or without the Candida spp. were compared, there was a statistically significant association between the presence of Candida spp. and certain monotherapy treatments prescribed, such as TCR (Relative Risk (RR), 1.378, IC⁹⁵% (0.94–2.03); p-value = 0.05) or TCR plus methylprednisolone or dexamethasone (SC) (p-value = 0.010). Similarly, patients who received treatment with interferon type 1β (IFN-1β) (RR 1.382; IC⁹⁵% (0.81–62.5); p-value = 0.049) or with Lopinavir-Ritonavir (LPV-RTV) (RR 1.709, IC⁹⁵% (1.11–2.62); p-value = 0.002) (Table 2) were also tested positive for candidiasis.

We compared the Candida group with another mycosis isolated. In our series, Aspergillus spp. was isolated in 7 cases, and Trichosporon asahii in one case. Main differences between Candida spp. and Aspergillus spp. shows in Table 3. No differences in clinical, radiological involvement or gas exchanges were found, except lower SpO₂/FiO₂ rate in Candida spp. group (79.18 vs 123.7, p-value = 0.04) and more frequent treatment with corticosteroids in patients which Candida spp. was isolated vs patients with Aspergillus spp. (87.1% vs 51.1%, p-value = 0.05).

4. Discussion

Opportunistic mycoses are a serious complication of viral co-infections. Candida spp. are major constituents of the human mycobiome and the main cause of invasive fungal infections in a critical care setting (about 6–10% patients) with high mortality (19–40% of patients with invasive candidiasis) [22]. It was reported that approximately 20–30% of patients admitted to the ICU with severe influenza A H1N1 also had invasive aspergillosis. IFIs have been playing an important role also in COVID-19 patients [20,23]. To date, this is the first study that investigates the occurrence of IFIs due to Candida spp. and the associated risk factors in patients suffering from severe pneumonia due to SARS-CoV-2 (COVID-19-Associated Candidiasis, CAC). There is one case study that has been reported in which a COVID-19-positive female

| Clinical isolate | Urine | BAL | Central catheter | Blood culture | Total |
|------------------|-------|-----|------------------|---------------|-------|
| C. albicans (n)  | 7     | 12  | 3                | 1             | 23    |
| C. dubliniensis (n) | 2   | 1   | 0                | 0             | 3     |
| C. glabrata (n)  | 3     | 0   | 0                | 0             | 3     |
| C. krusei (n)    | 1     | 0   | 0                | 0             | 1     |
| C. parapsilosis (n) | 4  | 4   | 0                | 2             | 10    |
| C. tropicalis (n) | 1     | 0   | 0                | 0             | 1     |
| Σ = n | 18     | 17  | 3                | 3             | 41    |
| n: number of isolates; Σ = total.
According to Bougnoux et al., candiduria has a higher risk of developing in COVID-19 patients. We also investigated patients with candidiasis (16.7%).

According to the data presented here, treatment with TCZ alone or in combination with SC, IFN-1β or LPV-RVT increased the risk of systemic candidiasis (p-value = 0.05; 0.010; 0.049 and 0.002, respectively). IFN-1β is a cytokine with antiviral and immunoregulatory capacity. Its role in fungal infections is controversial, although it could have a "protective effect" [28]. However, the precise effect of IFN-1β on the host defense against Candida spp. is still under debate [29]. Various IFN-1γ gene polymorphisms modulate Candida-induced cytokine production. This implicates in an increase of TNF-α, IL-1β and IL-10 levels and a decrease in IL-6 and IL-8 which increases the overall susceptibility to systemic candidiasis [30]. According to our data the RR was 1.382 (IC95% 0.81–62.5). Currently, the treatment with IFN-1β is not indicated for COVID-19 patients due to the increased risk of mortality from hepatitis and renal failure [31].

TCZ is a monoclonal antibody against soluble IL-6 receptors which has been approved for the treatment of refractory rheumatoid arthritis (RA). In patients with severe COVID-19, a cytokine-release syndrome occurs, especially of IL-6, and it is triggered by the viral infection itself.
For this reason, TCZ is used as a treatment option. The use of TCZ in RA has been associated with an increased risk of bacterial and fungal infections since the blockage of IL-6 interferes directly with the proliferation of B lymphocytes and with the differentiation and cytotoxicity of T lymphocytes (Lp) [33]. Several studies have shown the role of IL-6 during *C. albicans* infection. In vitro experiments showed that *Candida* cell wall components stimulate IL-6 production [34,35]. IL-6 −/− murine models suggest that these animals are more prone to develop *Candida* infections probably due to an impaired neutrophil function in the absence of this cytokine [36]. Van Enckevort et al., reported a 90% mortality rate in IL-6 −/− mice vs 40% in the control group after infusion of 10⁵ CFU *C. albicans*. The increased mortality was related to the increase in the number of *C. albicans* found in the liver and kidney of the IL-6 −/− mice [28]. Isolated cases of systemic mycosis have also been published in RA patients treated with TCZ [37–39].

The RR of candidemia in patients treated with LPV-RTV was 1,709, IC₉₅₉₉, (1.11–2.62). Heretofore, mycosis cases in patients treated with LPV-RTV have been associated with an immune reconstruction syndrome and an exaggerated secondary inflammatory response [40,41]. In severely immunosuppressed and HIV patients, the improvement in L₃CD4+ function led to an increase in the response to mitogens and a change in the cytokine pattern towards the Th₂ response, with an increase in IFN-γ and IL-2 production. It is currently unclear whether the cytokine release syndrome is the result of an exaggerated release of inflammatory cytokines or due to a loss of immune regulation and production of regulatory cytokines [42]. There is no current data regarding the role of LPV-RTV in the pro-inflammatory status of patients with COVID-19. Probably, in the gravest cases with severe lymphopenia (γ = 733/mm³, SD ± 300/mm³), the improvement in the count and function of L₃CD4 enhances the cytokine deregulation which favours infection by opportunistic mycoses such as candidiasis. Targeted studies are necessary to understand the immune pattern of the BAL isolated from the most severe COVID-19 patients to interpret the effects of the drugs used for treating these patients and the susceptibility to infection with *Candida* spp.

There were no significant differences according to the type of fungus isolated. It is true that patients with Candida had a lower SpO₂/FiO₂ ratio and received a higher proportion of treatment with oral corticosteroids. However, in both groups, the fungal infection darkened the prognosis. All patients were admitted to the ICU and needed OTI. Overall, patients with IFI associated high mortality, 86% Aspergillus group vs. 87% *Candida* group (p-value = 0.950).

In the present investigation, some bias must be considered. Our study was conducted during the SARS-CoV-2 outbreak, with a collapse of the healthcare system. It is a real-life study without a defined control group. We assume the existence of a delay in the diagnosis and treatment of patients with systemic candidiasis, which could impact the prognosis. Furthermore, the extensive areas of lung parenchymal involvement by ARDS could mask other lesions suggestive of IFI. Patients with CAC received immunosuppressive treatment more frequently than the control group. The CAC group indeed had more criteria for severity, although we cannot differentiate for sure whether the highest severity was defined by COVID-19 or by an undiagnosed Candida infection early. Despite the limitations, we consider that our results reflect a real situation experienced in most countries and with future applicability.

In conclusion, the opportunistic *Candida* spp. the infection rate in patients with severe COVID-19 is much higher than what previously described. The main result presented here was the increased risk of infection by *Candida* spp. in COVID-19 patients treated with TCZ, IFN-1β and LPV-RTV. This data corroborates the need to increase scrutiny on severe COVID-19 patients that receive immunosuppressants in order to test them for candidiasis. In these cases, COVID-19 treatment combined with specific antifungals against *Candida* spp. might be necessary to increase the chances of patient survival.

**Ethics declarations**

The present study was approved by the Ethics Committee of the Fundación Jiménez Díaz Health Research Institute (EO102-20-HRJC). In view of the pandemic situation, informed consent was not requested from the patients. Personal information and data obtained from the subjects were kept confidential.

**Transparency declaration**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

**CRediT authorship contribution statement**

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