Rumination and behavioural factors in Parkinson's disease depression

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Abstract

Objective: Parkinson's disease is associated with high rates of depression. There is growing interest in non-pharmacological management including psychological approaches such as Cognitive Behaviour Therapy. To date, little research has investigated whether processes that underpin cognitive models of depression, on which such treatment is based, apply in patients with Parkinson's disease. The study aimed to investigate the contribution of core psychological factors to the presence and degree of depressive symptoms.

Methods: 104 participants completed questionnaires measuring mood, motor disability and core psychological variables, including maladaptive assumptions, rumination, cognitive-behavioural avoidance, illness representations and cognitive-behavioural responses to symptoms.

Results: Regression analyses revealed that a small number of psychological factors accounted for the majority of depression variance, over and above that explained by overall disability. Participants reporting high levels of rumination, avoidance and symptom focusing experienced more severe depressive symptoms. In contrast, pervasive negative dysfunctional beliefs did not independently contribute to depression variance.

Conclusion: Specific cognitive (rumination and symptom focusing) and behavioural (avoidance) processes may be key psychological markers of depression in Parkinson's disease and therefore offer important targets for tailored psychological interventions.

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maladaptive behavioural coping, unhelpful illness representations and repetitive thought processes such as worry and rumination [20–23]. All of these may serve as vulnerability factors, and/or become activated in the context low mood to help maintain a depressive episode. There is the potential to modify CBT so that it targets those processes that contribute most to depression onset, maintenance and/or relapse, while reducing emphasis and time spent on less relevant elements of therapy.

The present study aimed to assess the relative contribution of a range of psychological factors either known to be associated with depression in Parkinson’s disease, or predicted by the Cognitive Model that underpins conventional CBT. The results may support the value of current CBT approaches or suggest alternatives or adaptations with the potential to improve outcome.

Methods

Participants

Participants with a clinical diagnosis of Parkinson’s disease [24] were recruited via an existing research cohort (‘Prospective Study of Mood States in Parkinson’s Disease’ (PROMS-PD), Ethics Ref: 07/MRE01/9, UKCRN ID: 2519) [25]. The full cohort of 513 represented a consecutive series of consenting participants recruited over a 12 month period from specialist Parkinson’s disease or Movement Disorder clinics in a number of centres in England and Wales. They had a mean age of 67.9 years (SD = 10.3, Range = 32–94 years) and 65% were male. Mean duration of PD was 6.9 years (SD = 6.0, Range = 0–39 years). Significant cognitive impairment (MMSE ≤ 24) [26] was present in 10.2%. Almost all participants in the cohort (94.9%) were taking antiparkinsonian medication at the time of recruitment.

From this cohort, participants for the present study were excluded if they had no gain consent to be re-contacted about other studies; if they had a history of psychiatric disorder other than mood or anxiety disorder; a history of major neurological disorder other than Parkinson’s disease (e.g. stroke), or a score of 24 or less on the MMSE [26].

All analyses were performed using SPSS version 17.0 for Windows (SPSS Inc.). Data were screened for outliers and missing values. No transformations were judged necessary and all variables met criteria for the assumptions of multivariate analysis [38]. Hierarchical multiple regression analyses were planned to determine the proportion of variance in the current depression (BDI-II) accounted for by psychological variables, in addition to that explained by the planned covariate of motor disability (PADLS). In order to reduce the number of independent variables entered into the main regression model, subscales of the IPQ-R and CBSQ were entered into separate

1. The 24-item Dysfunctional Attitude Scale (DAS-24) [32] a self-report measure of general (rather than situation specific) unhelpful beliefs that an individual may hold about themselves, the outside world or their future, relating to aspects of personal achievement, dependency on others and self-control (e.g. ‘My happiness depends more on other people than it does on me’, ‘If I do not do as well as other people, it means I am an inferior human being’).

2. The Ruminative Responses Scale (RRS) from the Response Styles Questionnaire [33] is a 22-item scale, which assesses the general tendency to respond to negative emotions with ruminative thoughts, e.g. going somewhere to be alone and think about your feelings, dwelling or how sad you feel, or pondering vague questions such as ‘what am I doing to deserve this?’. Rummination is considered a metacognitive process (thinking about thinking and feelings) where the focus is the thinking style rather than the thought content.

3. The Cognitive and Behavioral Avoidance Scale (CBAS) [34] is a 31-item multi-dimensional measure of cognitive and behavioural avoidance, i.e. not thinking about or engaging in certain actions, or efforts to escape from situations, as a means of minimizing threat and distress. It has four reliable factors, Behavioral Social Avoidance (e.g. ‘I do not go out to events when I know there be a lot of people I do not know’), Behavioral Non-social Avoidance (e.g. I quit activities that challenge me too much), Cognitive Social Avoidance (e.g. ‘I just wait out tension in my relationships hoping that it will go away’) and Cognitive Non-social Avoidance (e.g. ‘I avoid making decisions about my future’).

4. The Revised Illness Perceptions Questionnaire (IPQ-R) [35] assesses dimensions of illness perceptions across a range of health conditions, focussed here on the participant’s Parkinson’s disease. The IPQ-R assesses aspects of the individual’s personal beliefs and understanding through which they seek to make sense of their health condition: its nature and defining symptoms (identity), beliefs about cause(s), its timeline (acute or chronic), its consequences and long-term impact, and beliefs about control. These are assessed through a series of subscales. Following previous research on the instrument’s properties in Parkinson’s disease [36], four disease related symptoms were added to the existing checklist on the Identity subscale. An Emotional Representations subscale in the original was not used as it was judged to be very close conceptually to depression and might therefore bias data interpretation.

5. The Cognitive and Behavioural Responses to Symptoms Questionnaire (CBSQ) [37] is a measure of beliefs and behavioural responses to symptoms of their health condition. The form used measured five cognitive (belief) subscales: Fear Avoidance Beliefs (‘I am afraid that I will make my symptoms worse if I exercise’), Catastrophizing Beliefs (‘I worry that I may become permanently bedridden because of my symptoms’), Damage Beliefs (e.g. ‘symptoms are a signal that I am damaging myself’), Embarrassment Avoidance Beliefs (e.g. ‘the embarrassing nature of my symptoms prevents me from doing things’), and Symptom Focusing. (e.g. ‘I think a great deal about my symptoms’). Two behavioural subscales assessed ‘All or Nothing’ Behaviour (e.g. ‘I tend to overdo things and then rest up for awhile’) and Avoidance/Resting (e.g. ‘when I experience symptoms, I rest’).

Assessment

Consenting participants completed a set of postal questionnaires assessing demographic and disease-related information, mood and psychological factors.

General physical health was measured using the physical health subscale from the Duke Older Americans Resources and Services (OARS) Multidimensional Functional Assessment Questionnaire (OMFAQ) [27]. Parkinson’s disease related disability was assessed as a proxy for total motor and non-motor symptom burden using the Parkinson’s Activities of Daily Living Scale (PADLS). The scale has been shown to correlate with clinical ratings of disease severity (r = 0.68) and duration (r = 0.39) [28].

Self-reported depressive symptoms were assessed using the Beck Depression Inventory Second Edition (BDI-II) [29], with a score of ≥ 14 indicating significant symptoms [30,31]. A set of psychological factors were assessed. These were selected to cover a range of psychological constructs relevant to models of depression in general and in the context of a physical health condition. Where appropriate, for some measures, minor changes were made to the wording of the instructions and scale items to make them appropriate to older adults with PD.
multiple regression analyses to identify the strongest predictors of depression symptom severity, as measured by the BDI-II (dependent variable). Given the multiple variables, alpha level of the final model was set conservatively to 0.01.

Separately, logistic regression was planned to determine the strongest predictors of depression status (BDI-II score ≥ 14). Predictors were transformed into dichotomous variables, using their median as the cut-off score. Although less sensitive than using continuous scores, the findings provide accessible summaries of potential important predictors of outcome, even if confidence intervals tend to be large.

**Results**

**Participant characteristics**

Of 173 patients invited, 104 returned completed analysable questionnaires. Of those not included, 15 declined to participate and 48 did not respond. A further 11 participants were subsequently excluded, five because the reported another major neurological disorders and six because they returned incomplete datasets. Demographic and clinical information for the total sample is summarised in Table 1 together with the characteristics of the two subgroups. The ‘depressed’ subgroups had a significantly younger age of onset of the PD (t(103) = 1.59, p = 0.006) and longer disease duration (t(103) = 2.87, p = 0.005). The self-reported disability (PADLS) was higher in the ‘depressed’ group (Fisher’s Exact Test, probability = 0.003, Chi-square (df = 3) = 13.31, p = 0.004). Moderate or severe functional difficulties were reported by 54% of the subgroups. The subgroups did not differ significantly in terms of age, gender or frequency of co-morbid physical health conditions. Details of depression and other psychological variables are provided in Table 2. In total, 49.3% reported symptoms of depression (BDI-II ≥ 14) with 26.9% in the moderate to severe range (BDI-II ≥ 20). The ‘depressed’ subgroups scored significantly higher (t(103) > 2.70, p < 0.01) on all scales and subscales with the exception of IPQ-R Identity, Illness Coherence and Control subscales, and CBSQ Fear Avoidance subscale.

**Preliminary linear regression analyses**

The IPQ-R explained 31.2% of the variance in depression (R² = 0.31, F(11, 92) = 3.79, p < .001). Of the subscales, Consequences emerged as a significant predictors of depression and was retained for the main analysis. The CBSQ explained 48.5% of the variance in depression (R² = 0.49, F(5, 98) = 18.49, p < .001). Symptom Focusing and Avoidance/Resting emerged as significant predictors of depression severity and both were retained. Table 3 shows the correlations matrix between the BDI-II and the set of independent variables retained for inclusion in the main regression analysis. All independent variables had inter-correlation coefficients of less than 0.66 (i.e. less than 44% shared variance).

**Main linear regression analysis: psychological predictors of depression**

Table 4 displays the results of the main regression model for psychological factors and disability on depression. The adjusted R² (=0.708) indicates that the variables in the final regression equation explained almost 71% of the variability in depression symptom severity (R² = 0.73, F(6, 97) = 42.6, p < .001), with psychological factors contributing 58.9% to the variance. Ruminaton (RSS), Cognitive-behavioral Avoidance (CBAS Total), and Avoidance/Resting and Symptom Focusing (CBSQ) emerged as significant predictors, but not dysfunctional attitudes and beliefs (DAS-24). A secondary analysis of the CBAS showed that Behavioural Social Avoidance was the only subscale to significantly contribute to depression variance.

Table 5 displays the results of the logistic regression analysis determining the relative contribution of psychological factors to the dichotomous risk of being depressed (BDI-II ≥ 14), beyond that attributable to differences in disability. Adjusted odds ratios (Exp B) and their 95% confidence intervals are presented for all variables, as well as Hosmer and Lemeshow’s R² and the chi-square statistic for the final model. Significance was determined using the Wald statistic. The final model correctly classified 86.5% of cases (χ² = 85.99, p < .001), with Hosmer and Lemeshow’s R² value indicating that the model explained 59.7% of the variance in depression outcome. The Hosmer and Lemeshow’s goodness-of-fit test (χ² = 10.18, p = .253) indicated that the final regression model fitted the observed data. Significant predictors of depression in the final model were high rumination, high cognitive-behavioural avoidance, high symptom focusing, high avoidance/resting and ‘all-or-nothing’ behaviour, although the confidence intervals were all large. Individuals who scored highly (total score >13) on rumination were approximately 9.4 times (CI 2.26–39.31) more likely to be depressed than low ruminators. High avoiders (total score >56) were approximately 15.0 times (CI 3.07–71.00) more likely than low avoiders to be depressed, and individuals who frequently focused on their Parkinson’s symptoms (total score >8) were approximately 6.0 times (CI 1.57–25.57) more likely than individuals who focused on their symptoms less frequently.

**Discussion**

This study examined the potential contributions of a range of psychological factors to depression in Parkinson’s disease, beyond that explained by motor disability. Findings showed that psychological factors account for a substantial proportion of variance in outcome.

The finding that cognitive and behavioural avoidance emerged as a strong correlate of depression is consistent with many previous studies in Parkinson’s disease [11,12,20,39]. In particular, behavioural avoidance...
of social activities was significantly predictive, above other forms of avoidance. Whether because of concerns such as falling in public or fear of negative social evaluation concerning their Parkinson’s symptoms, patients often avoid social situations. The initial short-term reduction of stress and anxiety related to such situations can then develop over time into a more chronic pattern of avoidance. The resultant isolation and loss of opportunity for rewarding interactions may provide a plausible mechanism for vulnerability to depression and maintaining depressed mood once it occurs. Behavioural interventions within CBT approaches for depression target such avoidant coping and seek to reintroduce a range of social activity with associated benefits for mood. The present evidence supports such a strategy.

Novel findings in the present study were evidence of associations between depression, rumination and symptom focusing in PD. Along with avoidance, they emerged as strongly associated with depression symptom severity and depression status. Rumination is a trait-like self-reflective cognitive mode that involves ‘repetitively and passively focusing one’s symptoms of distress and on the possible causes and consequences’ [40]. Rumination increases in depression, is associated with the severity and duration of depressive episodes [41], and increases the risk of depressive relapse in remitted patients [42], suggesting it may be an important vulnerability factor. Moreover, once depressed, rumination may serve to maintain negative affect through the repetitive focus on negative events, problems and their implications in the perceived absence of a solution. Rumination may develop as a preferred way of coping with challenges and changing circumstances, particularly for individuals who have difficulty tolerating uncertainty and relinquishing or reappraising important life goals. The sense of certainty and relief from responsibility that follows an appraisal of a situation as hopeless may in turn reinforce the use of rumination as a coping strategy. This might subsequently exacerbates pessimistic thinking and depressed mood, while inhibiting more active problems solving [43]. Metacognitive beliefs about the perceived positive value of rumination and other strategies haven been shown to occur in Parkinson’s disease and be associated with psychological distress [44,45].

The role of rumination as a psychological predictor of depression in Parkinson’s disease is also particularly relevant in light of its known, and possibly reciprocal, association with attention and executive function [46,47]. Such cognitive deficits, common in Parkinson’s disease [48], may exacerbate individuals’ tendency to ruminate by further inhibiting their ability to switch their attention from such think patterns to more adaptive problem-solving strategies. However, there is no evidence that the severity of executive dysfunction is predictive of response to CBT in Parkinson’s disease [49]. Neuroimaging evidence in psychiatric patients with depressive disorder also points to abnormal processing and network interactions involving anterior cingulate and orbitofrontal cortex during rumination and self-referential processing [50]. Pathophysiological changes in these same regions in Parkinson’s disease may predispose some patients to rumination and increase risk of depression, even in the absence of cognitive impairment.

Symptom focusing (inwardly directed attention to physical symptoms of disease) was also associated with depression severity and depression status, consistent with another recent report examining anxiety and distress in Parkinson’s disease [45]. Symptom focusing has

Table 2
Depression and psychological variables (n = 104).

| Variable                  | Total sample (N = 104) | ‘Non-depressed’ (BDI-I < 14) (N = 53) | ‘Depressed’ (BDI-II ≥ 14) (N = 51) |
|---------------------------|------------------------|---------------------------------------|-----------------------------------|
|                           | Mean (SD)              | Mean (SD)                            | Mean (SD)                         |
| BDI-II total              | 14.1 (8.2)             | 7.9 (3.3)                             | 20.8 (6.3)                        |
| DAS-24 total              | 85.7 (18.7)            | 79.8 (17.84)                          | 92.2 (17.6)                       |
| RRS total                 | 14.8 (11.2)            | 8.1 (7.2)                             | 22.3 (10.1)                       |
| CBAS total                | 62.9 (22.2)            | 48.8 (12.0)                           | 78.5 (20.4)                       |
| CBAS Behavioral Social scale | 14.8 (7.0)            | 10.5 (3.5)                            | 19.5 (6.1)                        |
| CBAS Behavioral Non-Social scale | 13.9 (4.3)        | 11.4 (3.2)                            | 16.7 (3.7)                        |
| CBAS Cognitive Social scale | 13.2 (5.6)            | 10.2 (3.5)                            | 16.6 (5.8)                        |
| CBAS Cognitive Non-Social scale | 21.0 (7.5)          | 16.7 (4.9)                            | 25.8 (7.0)                        |
| IPQ-R                     |                        |                                      |                                   |
| Identity scale            | 6.9 (3.2)              | 6.3 (3.0)                             | 7.5 (3.2)                         |
| Consequences scale        | 22.6 (4.3)             | 21.2. (4.4)                           | 24.2. (3.7)                       |
| Timeline scale            | 39.5 (4.4)             | 38.4 (4.1)                            | 40.6 (4.4)                        |
| Control scale             | 34.8 (5.4)             | 35.6 (5.8)                            | 33.9 (5.0)                        |
| Illness coherence scale   | 16.6 (4.5)             | 16.8 (4.2)                            | 16.3 (4.8)                        |
| CBSQ                      |                        |                                      |                                   |
| Fear Avoidance scale      | 5.1 (3.6)              | 4.4 (3.4)                             | 5.8 (3.8)                         |
| Embarrassment Avoidance scale | 6.8 (4.0)            | 5.4 (3.7)                             | 8.3 (3.7)                         |
| Symptom Focusing scale    | 7.3 (3.5)              | 5.5 (3.1)                             | 9.2 (2.8)                         |
| All or Nothing scale      | 5.9 (3.7)              | 4.5 (3.4)                             | 7.4 (3.5)                         |
| Avoidance/Resting scale   | 8.5 (3.2)              | 6.1 (4.0)                             | 11.3 (4.9)                        |

BDI-II: Beck Depression Inventory Second Edition; DAS-24: 24-item Dysfunctional Attitude Scale; CBAS: Cognitive and Behavioral Avoidance Scale; IPQ-R: Illness Perception Questionnaire Revised; RSS: Ruminative Response Scale; CBSQ: Cognitive and Behavioral Responses to Symptoms Scale.

Table 3
Inter-correlations between dependent and independent variables selected for the main linear regression analyses.

|                      | PADLS        | DAS           | CBAS          | RRS           | IPQ consequences | CBSQ symptom focus | CBSQ avoidance/resting. |
|----------------------|--------------|---------------|---------------|---------------|------------------|---------------------|------------------------|
| BDI-II               | .357**       | .344**        | .778**        | .724**        | .365**           | .510**              | .588**                 |
| PADLS                | .116         | .409**        | .240**        | .421**        | .148             | .457**              | .457**                 |
| DAS-24 (total)       | .345**       | .240**        | .445**        | .050          | .251             | .121                | .121                   |
| CBAS (total)         | .656         | .326**        | .381**        | .409**        | .434**           | .434**              | .434**                 |
| RRS (total)          | .223         | .291**        | .411**        | .368**        |                  |                     |                        |
| IPQ-R consequences   |              |               |               |               |                  |                     |                        |
| CBSQ symptom focusing|              |               |               |               |                  |                     |                        |

BDI-II: Beck Depression Inventory Second Edition; PADLS: Parkinson’s Activities of Daily Living Scale; DAS-24: 24-item Dysfunctional Attitude Scale; CBAS Cognitive and Behavioral Avoidance Scale; RRS: Ruminative Response Scale; IPQ-R: Illness Perceptions Questionnaire Revised; CBSQ: Cognitive and Behavioral Responses to Symptoms Questionnaire.

* p < .05.
** p < .01.
predominantly been studied in relation to health anxiety, where it is thought to play a key maintaining role by increasing preoccupation with bodily symptoms and perceptions of illness threat [51]. Symptom focusing also correlated strongly here with cognitive-behavioural avoidance and avoidance/resting, consistent with a hypothesis that attentional somatic focus may lead to attempts to manage symptoms by avoiding activity and/or engaging in excessive resting, and accompanying positive beliefs about the value of such strategies.

Contrary to expectations, although dysfunctional assumptions were associated with depressed mood, the effect size was small compared to other factors studied (Table 3), and did not make a significant independent contribution to explaining depression in multivariate analyses. It is possible that dysfunctional assumptions play a more important role in the initial onset of depression, which was not assessed in the current study, while other processes, such as rumination and avoidance, are more instrumental in maintaining and exacerbating depressive symptoms. The present finding is also consistent with the suggestion that it is not the level of unhelpful beliefs that is key in the onset of depression but rather the ease with which these beliefs are reactivated by low mood, sometimes known as ‘cognitive reactivity’ [52].

The present study has implications for how future CBT interventions might be tailored to the specific needs of people with Parkinson’s disease, targeting potentially key maladaptive processes of rumination, symptom focusing and cognitive-behavioural avoidance, with less emphasis placed on cognitive distortions or dysfunctional beliefs or assumptions. Such targeting may also offer the promise of briefer yet effective interventions. A range of possible ‘third-wave’ cognitive therapies are emerging, designed specifically to target rumination and related metacognitive processes, particularly when depression is persistent or recurrent. These include Rumination-focused CBT (RFCBT) [53] Mindfulness-based CBT (MBCT) [54] and Metacognitive Therapy [55]. Group MBCT has been piloted in Parkinson’s disease and a qualitative study found the intervention to be beneficial, particularly in relation to developing and consolidating coping skills in the context of actual loss, and perceived stigma than can drive avoidance [56].

Some limitations of the present study should be acknowledged. As a postal survey, even with reasonable response rates, there is the potential for selection bias. Future research should seek to recruit from a consecutive clinic population, ideally with face-to-face assessment. As the study only included participants with a score above 24 on the MMSE, results may not be generalizable to depressed patients with significant cognitive impairment. The cross-sectional nature of the study means that caution is necessary in inferring causality from the patterns of association. Intervention studies that target specific components would provide insights in the causal nature of the relationships as well as inform clinical management.

In conclusion, the present study reinforces the important contribution of psychological factors to depression in Parkinson’s disease. It further indicates that clinical interventions that primarily target behavioural, cognitive and metacognitive processes, including avoidance, symptom focusing and rumination offer the potential to reduce depressive symptoms or depression risk.

Conflict of interest statement

The authors have no competing interests to report.

Acknowledgements

The PROMS-PD study was supported by Parkinson’s UK (Grant reference J-0601).

Author RGB received salary support from the National Institute for Health Research (NIHR) Mental Health Biomedical Research Centre and Dementia Unit at South London and Maudsley NHS Foundation Trust and King’s College London. The views expressed are those of the author and not necessarily those of the NHS, the NIHR or the Department of Health.

The following members of the PROMS-PD Study Group were responsible for the recruiting and assessing the cohort of patients from which this present study recruited: London: KR Chaudhuri, King’s College Hospital NHS Foundation Trust, London (participant recruitment); C Clough, King’s College Hospital NHS Foundation Trust, London (participant recruitment); B Gorelick, Parkinson’s UK, London (member of the study management group); C Hurt, King’s College London, Institute of Psychiatry, Department of Psychology, London (study coordination); S Landau, King’s College London, Institute of Psychiatry, Department of Biostatistics (co-investigator, statistician); M Samuel (co-investigator, participant recruitment) King’s College Hospital NHS Foundation Trust and East Kent NHS Foundation Trust, Ashford; A Simpson, King’s College London, Institute of Psychiatry, Department of Psychology, London (data collection); R Weeks, King’s College Hospital NHS Foundation Trust, London (participant recruitment). Liverpool and North Wales: M Bracewell, Ysbty Gwynedd, Bangor (participant recruitment, data collection); JV Hindle, Betsi Cadwaladr University Health Board, Department of Care of the Elderly, Llandudno, and University of Bangor, North Wales Organisation for Randomised Trials in Health (NWORTH) (co-investigator, participant recruitment); M Jones, University of Wales Bangor, Bangor (participant recruitment, data collection); P Ohri, Eryri Hospital, Caernarfon (participant recruitment); L Owen, Wythenshawe Hospital, Manchester (participant recruitment, data collection); G Scott, Royal Liverpool University Hospital, Liverpool (participant recruitment); C Turnbull, Wirral Hospitals NHS Trust, Wirral (participant recruitment); KC Wilson, University of Liverpool, EMI Academic Unit, St Catherine’s Hospital, Wirral (co-investigator, participant recruitment). Newcastle: DJ Burn, Institute for Ageing and Health, Newcastle University, Newcastle upon Tyne (co-investigator, participant recruitment); S Dodd, Institute for Ageing and Health, Newcastle University, Newcastle upon Tyne (participant recruitment).
