Review

Peptide-based materials for cancer immunotherapy

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Abstract

Peptide-based materials hold great promise as immunotherapeutic agents for the treatment of many malignant cancers. Extensive studies have focused on the development of peptide-based cancer vaccines and delivery systems by mimicking the functional domains of proteins with highly specific immuno-regulatory functions or tumor cells fate controls. However, a systemic understanding of the interactions between the different peptides and immune systems remains unknown. This review describes the role of peptides in regulating the functions of the innate and adaptive immune systems and provides a comprehensive focus on the design, categories, and applications of peptide-based cancer vaccines. By elucidating the impacts of peptide length and formulations on their immunogenicity, peptide-based immunomodulating agents can be better utilized and dramatic breakthroughs may also be realized. Moreover, some critical challenges for translating peptides into large-scale synthesis, safe delivery, and efficient cancer immunotherapy are posed to improve the next-generation peptide-based immunotherapy.

Key words: Peptide-based materials, cancer immunotherapy

1. Introduction

Immunotherapy is one of the most potent types of cancer therapy. It targets and eliminates cancer cells by utilizing the body’s immune system and generally results in an enduring anti-tumor response and the effective regression of the cancer, while also preventing metastasis and recurrence [1-3]. To achieve satisfactory immunotherapeutic outcomes, the human body requires a complex immune system that can successfully recognize and eliminate hidden cancer niches. The body’s two major immune systems consist of the innate and the adaptive immune systems, with the key distinction between them being antigen specificity. Innate immunity is a nonspecific defense mechanism that reacts immediately to the appearance of antigens. Comparatively, adaptive immunity is more complicated due to antigen-specific immune responses that require the processing and recognition of an antigen. Once an antigen is recognized, the adaptive immune system induces immune cells to attack that antigen and this effect is sustained over a longer duration because of long-lived, highly specific memory T cells.

In recent years, peptide-based materials have been applied to solving many therapeutic problems and have shown particular efficacy as cancer immunotherapies. Peptide-based materials are unique and promising tools for cancer therapeutics and they have a variety of activities, including sensing, drug delivery, cell targeting, fate control, deep tumor tissue penetration, and the generation of immune responses for improved anti-tumor therapeutic outcomes [4-9]. For example, vaccines comprised of fabricated peptides that mimic cytotoxic T-lymphocyte (CTL) epitopes are a facile and cost-efficient approach for cancer therapy. Clinical studies with peptides have indicated that they are efficient, specific, and safe for delivering therapeutic cargos into cells, while also being easy to synthesize and modify. Many of the peptides used in cancer immunotherapy have been derived from the functional domains of proteins and
exert specific activities like receptor binding, stimuli responsiveness, cell penetration, and regulation of cell signaling pathways [10-14]. Recently, peptides have been designed as versatile cancer vaccines that activate innate and adaptive immune systems by interacting with neutrophils, dendritic cells (DCs), macrophages, natural killer (NK) cells, T cells, B cells, etc [15-17]. Peptides can also be used as the building blocks of advanced composites through the incorporation of specific functions like cellular targeting, responsive cleavage sites, endocytosis transporters, and therapeutic activities [18-22]. Most peptide materials used for cancer immunotherapy, including immune vaccines, are designed to target specific cells within one of the two immune systems. In this review, we will provide an overview of the versatility and diversity of peptide-based materials with distinct bioactive properties and discuss their applications in cancer immunotherapy (Scheme 1).

![Scheme 1. A schematic illustration of the application of peptide-based materials in diverse immune systems for cancer immunotherapy.](http://www.thno.org)

## 2. The innate immune system

The innate immune system is composed of nonspecific defenses that can immediately resist an attack by a foreign agent upon the body. The innate immune system is comprised of a diverse variety of cells (mainly macrophages, DC, neutrophils and NK cells, etc.), which are pivotal in an immune response to a tumor.

### 2.1 Macrophages

Tumor-associated macrophages (TAM) are a normally efficient phagocytic cell type that can hunt cancer cells with fewer limits due to their ability to roam beyond the circulatory system. However, TAMs can also promote cancer proliferation and metastasis via the release of various cytokines like chemokines, inflammatory factors, and growth factors in the tumor microenvironment [23-28]. Therefore, TAMs exhibit two phenotypic activation states, the antitumoral M1 and the protumoral M2. M2-TAMs are immunosuppressed and promote tumor invasion, which has made them the primary target of macrophage-focused cancer immunotherapies. Peptide-based cancer immunotherapies have primarily aimed to block M2-TAM activities by impeding the recruitment of macrophages to tumors and switching M2-TAMs into M1-TAMs. More impressively, multiple peptide-based materials that target TAMs have progressed into early clinical trials [29-31].

Targeting TAMs with an M2-specific peptide has shown promising antitumor activity by selectively delivering potent therapeutics directly to M2-TAMs. João Conde *et al.* developed a biohybrid vascular endothelial growth factor (VEGF) mRNA interference-M2 targeting peptide (YEQDPWGVKWWY) material with the ability to immune-modulate TAM cell populations. This peptide-based immunotherapy blocked both M2-TAM activity and cancer cell growth by inhibiting VEGF-associated signaling pathways and triggering a host immune response that caused sustained tumor regression. Their formulation caused specific and enduring therapeutic effects in inflammatory TAMs and the production of anti-tumor immune memory dramatically inhibited tumor progression for extended periods (Figure 1) [32]. Yuan Qian *et al.* developed a dual-molecular-targeted immunotherapeutic consisting of a M2-TAM peptide (M2pep: YEQDPWGVKWWY) and an α-peptide (a scavenger receptor B type 1 (SR-B1)) that they named M2NP. This combination specifically blocked the survival signal of M2-TAMs in melanoma tumors and led to a significant depletion of M2-TAMs within the tumor. It also inhibited IL-10 and transforming growth factor-β (TGF-β) expression, while escalating IL-12 and IFN-γ expression in the tumor microenvironment (Figure 2) [33]. Highly compelling TAM depletion peptides were developed by Chayanon Ngambenjawong *et al.* by boosting the valency of the targeting M2pep and KLA (pro-apoptotic peptide) drug domains. Both [M2pep]$_4$-Biotin and [M2pep]$_2$-[KLA]$_2$ exhibited specific toxicities towards M2-TAMs and cancerous cells, while sparing M1-TAMs [34]. Kuan-Yin Shen *et al.* reported a mono-palmitoylated peptide that could induce an anti-cancer immune response without the addition of an adjuvant. Later, they developed a di-palmitic acid-conjugated long peptide with Toll-like receptor 2 (TLR2) agonist properties that...
improved anti-tumor immunity by diminishing the function of TAMs [35]. Additionally, Meiyu Peng et al. developed an apolipoprotein mimetic peptide that reduced the proportion of M2-TAMs within a tumor by decreasing the expression of the M2 marker genes, such as Arg1, IL-6, iNOS, and IL-12 [36]. The addition of a cell penetrating peptide (RKKRRQRRR) can enhance the delivery of siRNA immunotherapies targeting TAMs. Wei Bin Fang et al. developed a novel gene silencing Ca-TAT cell penetrating peptide/CCL2 siRNA complex that not only decreased the recruitment of M2-TAMs but also reduced stem cell renewal and inhibited tumor growth and metastasis [37].

Figure 1. TAM-targeted immunotherapies for the treatment of cancer. A,B) Schematic of the combined siRNA-silencing therapy and immunotherapy targeting TAMs and cancer cells in vivo using RNAi/Peptide nanoparticles (NPs) administered directly to bronchial airways. C) Immunofluorescence microscopy images of recovered macrophages from BAL fluid show RNAi/Peptide NPs (green) internalization and VEGF (red) expression. D,E) Survival curves of untreated control (sham, in black) and RNAi NPs (gray) and RNAi/Peptide NPs (green) mice using two dosages. Adapted with permission from [32], copyright 2015, Wiley-VCH.

Figure 2. M2NP-based M2 TAM-specific molecular-targeted immunotherapy. A) Hybrid approach of the fusion peptide α-M2pep. B) Structure and components of M2NP. C) M2NP-based delivery of siRNA for CSF-1R silencing and immune regulation via synergistic dual targeting of M2-TAMs in vivo. D) Tumor growth curves of B16 tumors in C57BL/6 mice treated with different groups. E) Proportion of M2-TAMs among the total tumor infiltrating leukocytes in mice, the CD306 expression by M2 TAMS and the PD-L1 expression on M2-TAMs after the indicated treatment. Adapted with permission from [33], copyright 2017 American Chemical Society.
Using peptides as a “protection strategy” in nanomaterial design can avoid unwanted macrophage-mediated clearance of the nanomaterial before it reaches the target. The membrane protein CD47, a “marker of self” in humans and mice, interacts with the phagocyte receptor CD172a on macrophages to inhibit the phagocytosis of cells. Pia L. Rodriguez et al. designed minimal “Self” peptides based on human CD47 and attached them to particles, which effectively inhibited the macrophage-mediated clearance of nanoparticles from the body [38]. Recently, using T-cell independent targeting of macrophages via PD1-inhibition was reported to trigger enhanced macrophage function in the adaptive immune response [3, 39]. These results suggest that a peptide-based TAM-targeting approach is a promising adjunct strategy to add to the arsenal of cancer immunotherapies.

2.2 Dendritic cells (DCs)

DCs were originally identified by Steinman et al. in 1972 and are one of the most dominant types of antigen-presenting cells (APCs). These cells process antigens and present them on their cellular surface for recognition by T cells. Once cancer cells are identified by the immune system, DCs residing in all peripheral tissues can recognize antigens and raise alerts. DCs play an essential role in activating CD4+ and CD8+ T cells that will induce specific cytotoxic responses in cancer cells. DCs can express CD40, CD80, CD86, major histocompatibility complex (MHC), and toll-like receptors (TLR) that are important in the presentation of peptides to T and B lymphocytes. DCs also possess the unique capacity to initiate primary immune responses, which has resulted in the DC-based peptide delivery of tumor antigens that have shown promising results as cancer immunotherapies.

Peptides loaded into DCs can induce anti-tumor immunity and form a foundation for the optimization of peptide-based immunotherapies against cancer [40]. DC-mediated peptide delivery has been demonstrated on multiple occasions to have clear immunomodulating effects [41]. One target for peptide delivery to DCs is Clec9a, which is specifically expressed on mouse CD8+ and CD103+ DC subsets and is responsible for antigen cross-presentation. Using a phage display technique, Zhongyi Yan et al. identified a peptide that was capable of specifically binding to mouse Flt3L-induced Clec9a+ DCs and Clec9a over-expressing HEK-293T cells. When coupled with an ovarian epitope, this fusion peptide greatly improved the ability of Clec9a+ DCs to stimulate CD8+ T cells and decreased lung metastasis [42]. Rong-Fu Wang et al. designed a strategy to prolong the presentation of an MHC class I-restricted self-peptide on DCs by linking it to a cell-penetrating peptide (CPP). Their peptides were derived from tyrosinase-related protein 2 and covalently linked to a CPP sequence (AAVLLPVLLAAP). These peptides were loaded in DCs and were able to activate T cells for over 24 h. Treatment with these DC-loaded peptides completely protected immunized mice from tumor progression and drastically suppressed lung metastases [43]. Yoo Jin Choi et al. utilized peptides from cancer stem-like cells as antigens to trigger DC vaccination against both human breast cancer and hepatocellular carcinoma. By pulsing DCs with CD44 and EpCAM based peptides, they were able to effectively stimulate the production of mature DCs, enhance T cell stimulation, and increase the number of CTLs [44].

Naked peptides are widely used in DC vaccines as targeted peptide antigens and can be loaded into an amplified DC in vitro before its reintroduction to the patient. By loading DCs with a tumor-associated epitope, these DC vaccines can induce the production of antigen-specific T cells with multiple specificities that can inhibit tumor growth [40]. DC vaccines loaded with the HLA-A24 peptide (CEA652) could prevent further tumor growth and decrease the levels of carcinoembryonic antigen (CEA) in serum [45]. The selective expression of the MAGE gene in melanomas and gastrointestinal cancer tissues has made the design of MAGE-based peptides a novel focus for the development of treatments for gastric carcinomas. Autologous DCs pulsed with a MAGE-3 peptide (four times every 3 weeks) displayed some positive results in patients with advanced gastrointestinal carcinoma. These include peptide-specific CTL responses, improvement in performance status, decreases in tumor markers, minor tumor regressions, and no evidence of toxic side effects [46]. Despite the promising initial data on the use of MAGE peptides to vaccinate patients [47], Johan Vansteenkiste et al. reported that there was no significant difference in disease-free interval compared to the control group [48, 49]. Lawrence Fong et al. loaded CEA-derived peptides into DCs to create a cellular vaccine that increased the CD8+ T cell population within tumors. There was a significantly positive clinical response for patients after vaccination with this DC vaccine, as 5 out of 12 patients showed at least inhibition of further tumor growth [50].

Despite all this promising work, DC-loaded peptide-based materials are still limited in their ability to launch anti-tumor T-cell responses, even with the aid of a potent adjuvant. Major limitations in current DC-loaded peptide therapies include the insufficient
recruitment of host DCs, low DC cell viability, the transient duration of transplanted DCs at the vaccination site, and cancer-induced dysfunction of DCs. Dysfunction induced by cancer plays an especially important part in regulating the antitumoral immunotherapeutic outcomes [51].

### 2.3 Neutrophils

Neutrophils are important players in innate responses for the immunotherapy of numerous cancers. When stimulated by chemokines, lipid metabolites, and danger-associated molecular patterns, neutrophils migrate to sites of inflammation and elicit inflammatory responses to defend against invading pathogens [52]. Neutrophils are typically the first cells to arrive at a tumor site because of the large number of them in circulation at any given time. Once recruited into tissues, neutrophils interact with macrophages, mesenchymal stem cells, DCs, NK cells, B cells, and T cells as they engage in the eradication of cancerous cells (Figure 3). Anti-tumor neutrophils eliminate tumor cells by engulfing them and bathing them in a variety of cytotoxic substances capable of destroying the cells [53]. Activated neutrophils also export proteinases into the extracellular environment that can cause damage to the surrounding host tissues [54]. Besides these cytotoxic responses, neutrophils can release cytokines and chemokines to regulate inflammatory cell recruitment and immune responses to various challenges [55]. These processes also lead to the recruitment of polymorphonuclear leukocytes and activate them within the tumor microenvironment (Figure 4) [56, 57].

![Figure 3. Neutrophils interactions with other immune cells. A) Crosstalk between neutrophils, NK cells, and SLAN+ DCs. B) Interplay between neutrophils and T cells. Adapted with permission from [57], copyright 2011 Springer Nature.](http://www.thno.org)
However, the role of neutrophils in cancer development remains controversial. Several specific neutrophil responses may promote tumor progression through a number of signaling pathways and interactions with tumor, inflammatory, and stromal cells in the tumor microenvironment [52]. The identification of polymorphonuclear myeloid-derived suppressor cells (PMN-MDSCs) with immunosuppressive and pro-tumorigenic activities has helped to partially resolve this controversy. Also, some neutrophils have been deemed MDSC-like due to their favoring of tumor proliferation without causing immunosuppressive activity when they accumulate within a tumor.

On the other hand, the diverse nature of the tumor microenvironment can have varied effects upon the actions of tumor-associated neutrophils (TAN). Changes in the expression of genes encoding cytokines, chemokines, adhesion molecules, and granule-associated proteins within the tumor microenvironment allow TANs to undergo an “alternative activation” [58]. Interestingly, exposure of neutrophils to regulatory factors like granulocyte-colony stimulating factor (G-CSF) and TGF-β can transform them into pro-tumor (N2) phenotype, while the presence of interferon-β (IFNβ) or the suppression of TGF-β induces TANs to express an antitumor (N1) phenotype. N2 neutrophils induce immunosuppression in the tumor microenvironment and have a number of associated markers, including CC-chemokine ligand 2 (CCL2), CCL5, neutrophil elastase (NE), cathepsin G, and a higher expression of arginase. Conversely, N1 neutrophils have elevated expression of immuno-activating chemokines and cytokines like TNF, ICAM-1, and FAS.

Radical oxygen species (ROS)-associated neutrophils have demonstrated potent genotoxic and cytotoxic effects that have led to significant tumor regression. NADPH oxidases in neutrophil phagolysosomes reduce molecular oxygen into superoxide radicals (O$_2^-$) that are eventually converted by the neutrophil-specific enzyme myeloperoxidase into hypochlorous acid. Interestingly, Alyssa Gregory and A.M. Houghton produced antitumor “N1” cells by depriving them of TGF-β and these N1 cells expressed larger amounts of TNF-α, nitric oxide, H$_2$O$_2$, and MIP-1α compared with N2 TANs. This change in TAN activity resulted in significant tumor cell cytotoxicity and reduced tumor proliferation [56, 59].

Strategies designed to target TANs, circulating neutrophils, and myeloid regulatory cells are considered promising paradigms for next generation immunotherapies and recent progress in peptide-based materials has enabled their ability to modulate neutrophil activity. G-N. Liu et al. investigated the presence of an epithelial neutrophil-activating peptide (ENA-78), myeloperoxidase, and NE in pleural effusions and their chemoattractant activity on neutrophils [60]. Since NE can be rapidly taken up by tumor cells lacking endogenous NE expression, peptides derived from NE are being designed as immunotherapies in solid tumor malignancies. NE uptake by tumors is regulated by neuropilin-1, which is broadly expressed in tumors. This implies that neuropilin-1 may be a useful target for immunotherapy strategies that target cross-presented antigens [61]. Luca Mazzucchelli et al. identified cell-binding peptide sequences that bound specifically to the membrane surface of human neutrophils or monocytes using phage display libraries [62]. Heini M. Miettinen et al. reported that peptide-nanoparticles bound specifically to neutrophils in human and mouse blood by recognizing CD177, a neutrophil-specific surface marker [63].
Selective tumor-associated molecules that modulate neutrophil infiltration into tumors have provided specific therapeutic targets for new cancer immunotherapies. Based on the elevated concentrations of Human Neutrophil Peptides-1, -2 and -3 (HNP 1-3) in the serum of colon cancer patients, HNP 1-3 are believed to serve as crucial blood markers for the detection of colon cancer. Jakob Albrethsen et al. demonstrated that HNP1-3 could cause apoptosis in MDCK cells in vitro and proposed that HNP 1-3 were carried into the bloodstream by attaching to plasma proteins in the tumor microenvironment [64]. HNP 1 is known to possess antimicrobial activities but is upregulated in many cancers and has implied roles in both the tumor microenvironment and within cancer cells. Diana Gaspar et al. demonstrated that HNP-1 preferentially bound to cells from solid human prostate adenocarcinomas, induced cell membrane defects, and caused apoptosis at low concentrations (Figure 4) [57, 65]. Intriguingly, cancer cell membranes commonly contain the a2-isoform of vacuolar ATPase (a2V) and the secretion of the N-terminal domain of a2V (a2NTD) induces a pro-tumoral phenotype of neutrophils. Safaa A. Ibrahim et al. reported that the treatment of human neutrophils with recombinant a2NTD led to neutrophil adherence and polarization, which suggests that a2V is a direct modulator of neutrophil migration [66].

2.4 Natural Killer cells

NK cells are cytotoxic lymphocytes that are crucial to the functions of the innate immune system [67]. NK cells rapidly respond to tumor formation because of their ability to recognize stressed cells without the aid of antibodies and MHC. This property of NK cells is crucial in cancer immunotherapy because many tumor cells lack MHC I markers, which prevents them from being recognized and eliminated by other immune cells. NK cell receptors fall into several categories based on their function and are classified as the natural cytotoxicity receptor, MHC-independent receptor, activating receptors (Ly49, NCR, CD16), and inhibitory receptors (Killer-cell immunoglobulin-like receptors (KIRs), CD94/NKG2). NK cell activation is controlled by a balance between inhibitory and activating stimulations. NK cell activity is upregulated when activation signaling takes precedence, while their activity is impeded when the inhibitory receptor signaling is more prominent (Figure 5) [68, 69].

Figure 5. Therapeutic approaches that engage activating receptors on NK cells. Adapted with permission from [69], copyright 2016 Springer Nature.
KIRs are inhibitory NK cell receptors that have been demonstrated to affect the therapeutic outcomes of a number of cancer treatments. Anaïs Chapel et al. reported that an HLA-C*06:02-presented peptide could bind to the NK activating receptor KIR2DS1, which was sufficient for the activation of primary KIR2DS1(+) NK cells [70]. A nonamer peptide (VAPWNSLSL) derived from TIMP1 was developed by Sorcha A. Cassidy et al. that was able to induce the binding of both KIR2DL2 and KIR2DL3 to HLA-Cw*0102 and inhibited NK cell activation [71]. Since CD94 is known to form heterodimers with either NKG2A (the inhibitory receptor) or NKG2C (the activating receptor), Kuldeep S. Cheent et al. designed an HLA-G leader peptide (VMAPRTLFL) to strongly inactivate CD94:NKG2A+ and CD94:NKG2C+ NK cells. A 1 μM concentration of the HLA-G leader peptide was adequate to inactivate NKG2A+ NK cells by the stabilization of HLA-E on the TAP-deficient cell line 721.174 [72]. Quirin Hammer et al. developed several peptides (VMAPRTLFL, VMAPRTLIL, VMAPRTLVL), which could manipulate the stimulation of NKG2C+ NK cells. These peptides were further able to regulate the generation of NKG2C+ NK cells and could induce the accumulation and differentiation of NKG2C+ NK cells from HCMV-seronegative donors [73].

Despite the activity of NK cells as effectors of innate immunity, recent studies have found that both activating and inhibitory NK cell receptors play crucial roles in self-tolerance and preservation of NK cell function. Notably, NK cells also participate in the adaptive immune response. Extensive studies have proven that NK cells can also formulate antigen-specific immunological memory and play a central role in responding to secondary infections with the same antigen [74, 75].

3. The adaptive immune system

The adaptive immune system, also known as the acquired immune system, is a more sophisticated and specific defense against foreign infections than the innate immune system and is imbued with potent anti-tumor attributes. The adaptive immune system is mainly composed of T cells (including CD4+ helper and CD8+ cytotoxic T cells) and B cells. CD4+ helper T cells release cytokines to manipulate the function of innate cells, NK cells, B cells, and CD8+ killer T cells to allow them to recognize and eliminate abnormal cells. Many current cancer immunotherapies, like checkpoint blockade antibodies and adoptive T cell transfer, rely on the ability of cytotoxic CD8+ T cells to infiltrate tumors and destroy cancer cells [76]. B cells also play an important role in vaccine responses by generating antibodies that recognize specific antigens and either inactivate the antigen or mark it for destruction. In the adaptive immune system, T or B cells bind an antigen, which then promotes the reproduction of the antigen-specific cells that can search and destroy the targeted antigen. These immune cells also play a central role in supporting innate immune defenses against tumor cells. After a tumor has been cleared, most of these cells (~90%) undergo programmed cell death, while a small fraction of differentiated memory cells are left behind to provide a rapid response if the same tumor cells appear again in the body [77]. This trait is called immunologic memory and is a hallmark of the adaptive immune system [78]. These immunological memory cells also express clonal antigen receptors that allow for the recognition of various antigens commonly expressed by cancer cells. When exposed to these antigens, memory cells can quickly replicate to create large numbers of effector cells in response to the new challenge to the immune system.

3.1 T Cells

There are three types of mature T cells: Cytotoxic T cells, Helper T cells, and T regulatory (Treg) cells. Cytotoxic CD8+ T cells are responsible for eliminating pathogens and tumor cells. Helper CD4+ T cells are responsible for activating cytotoxic T cells, B cells, and other immune cells. Treg cells secrete CD4+, CD25, and the transcription factor Foxp3, which help discriminate between endogenous and exogenous substances to reduce the risk of autoimmune responses.

Cytotoxic CD8+ T cells are responsible for killing cancer cells because they can detect and attack cancer cells that present tumor-specific antigens. However, this process can be blocked by inhibitory receptor ligands like PD-L1 or PD-L2 expressed on cancer cells. Moreover, activation of CD8+ cytotoxic T-cells is associated with the generation of CD4+ regulatory T cells that inhibit the activity of effector T cells [79, 80]. Checkpoint blockade immunotherapy is one of the newest therapeutic approaches to help restore the immune system’s ability to find and attack hidden cancer cells [81-87]. In clinical trials, the blockade of immune checkpoints like CTLA-4 and PD-1 has proven to be a successful and efficient therapeutic method for the treatment of multiple cancers. Several strategies have been employed to precisely target tumor cells through checkpoint blockade immunotherapy. For example, anti-CTLA-4 antibodies modulate helper T cell activity by boosting effector T cell activity while downregulating Treg immunosuppressive capacity. The FDA has approved 6 antibodies that target the PD-1/PD-L1 pathway, with atezolizumab, durvalumab and avelumab...
targeting PD-L1, and Cemiplimab, nivolumab and pembrolizumab targeting PD-1 for the treatment of a diverse array of cancers. Although these antibodies have made great progress as cancer treatments, their application in patients remains limited due to high production costs. Peptides with similar specific binding properties to these antibodies have the advantage of much lower production costs and amenability to chemical synthesis. Chunlin Li et al. identified a PD-L1 targeted peptide (SGQYASYHCWCWRDPGRSGGSK) with high affinity through bacterial surface display methods and the ability of the peptide to inhibit the interaction of PD-1 with PD-L1 was verified in vitro. The peptide was able to retard tumor growth in mice to a larger degree than a PD-L1 antibody (56% vs. 71% respectively), suggesting that this peptide is at least as efficacious as antibody-based therapies [88]. Another antagonist of the PD-1/PD-L1 signaling pathway was identified by Hao-Nan Chang et al. by the use of a mirror-image phage display. Their hydrolysis-resistant D-peptide (FPNWSLRPMNQM) antagonist was able to inhibit the PD-1/PD-L1 interaction both in vitro and in vivo [89].

Though immune checkpoint inhibitors can bolster the immune system’s response to cancer cells, the associated adverse reactions to their use can cause severe and irreversible damage to multiple organs. Common side effects of immune checkpoint inhibitors include rash, diarrhea, low thyroid hormone, and fatigue, which will cause inflammation of the lung, intestines, liver, kidney, heart, or neurological system.
The direct delivery of peptide activators of CTLs into a tumor has a marked effect on tumor progression. Keman Cheng et al. developed a therapeutic peptide with the dual properties of tumor-targeting and on-demand-release of both DPPA-1, a peptide antagonist of programmed cell death-ligand 1, and NLG919, an inhibitor of indoleamine 2,3-dioxygenase. Using this therapeutic strategy, higher levels of tumor-infiltrated cytotoxic T cells were produced through the simultaneous suppression of immune checkpoints and tryptophan metabolism. The localized release of DPPA-1 and NLG919 increased the survival and activation of CTLs and resulted in the inhibition of melanoma growth (Figure 6A and B) [90]. Daisuke Nobuoka et al. demonstrated that potent in vitro and in vivo cytotoxicity could be induced by human leukocyte antigen (HLA)-A*02:01-restricted glypican-3144-152 (FVGEFFTDV) and cytomegalovirus 495-503 (NLVPMVATV) peptide-specific CTLs. They also found that the direct injection of an ovalbumin 257-264 peptide (SIINFEKL) intratumorally effectively curbed the growth of ovalbumin-negative tumors and improved host survival without eliciting observable side effects (Figure 6C) [91].

Treg cells are regarded as a crucial mechanism of tumor immune escape and form a major barrier to the progress of many cancer immunotherapies. Lozano et al. found that a 15-mer synthetic peptide (P60) was able to bind to FOXP3, abrogate the FOXP3/AML1 interaction, and impede Treg cell activity, which caused significant anti-tumor activity both in vitro and in vivo. They further synthesized a macrocyclic peptide (P60-D2A-S5A) that had enhanced Treg cell inhibition and demonstrated that it could strengthen the anti-tumor activity of anti-PD1 antibodies against hepatocellular carcinoma [92].

3.2 B cells

B cells are a lymphocyte subtype of white blood cells that play a significant role in the adaptive immune system by secreting antibodies. The antibodies generated by B cells have a high affinity and are functionally versatile, but their production requires a significant amount of time after exposure to antigens. On the other hand, B cells can respond more rapidly to T cell-dependent antigens, but they require T-cells to help activate B cells, the antibodies have lower affinity, and the antibodies are less functionally versatile. Besides this, tumor antigens can be processed by B cells and then presented to CD8+/CD4+ T cells (Figure 7) [93, 94].

Figure 7. B cell infiltration, development, and polarization can be regulated by the tumor microenvironment. Adapted with permission from [94], copyright 2019 Springer Nature.
Cancer vaccines based on B cell peptides are generally composed of an adjuvant and an immunogenic protein containing a B cell epitope peptide that can induce B cells to create antibodies. The antibodies produced with these vaccines are polyclonal mixtures that recognize a variety of antigens and differ from monoclonal antibodies with specific targeting sites. Another vaccine method is the use of B cell peptide mimics that can directly bind to tumor-specific cellular receptors and thereby prevent the dimerization of receptors. Furthermore, the mechanism of anti-tumor activity for antibodies or their peptide mimics is that they initially bind to the tumor receptors, block downstream signals, and induce several anti-tumor effect [95].

Several groups have proposed advanced strategies for the use of effective B cell vaccines as HER2-positive cancer immunotherapies. Clinical monoclonal antibodies (Trastuzumab and Pertuzumab) can specifically bind to B cell epitopes and elicit a promising polyclonal antibody response to HER2/neu in both preclinical and Phase I studies. Based on this success, HER-Vaxx and B-Vaxx were developed by Ursula Wiedermann and Pravin Kaumaya et al., respectively, to treat tumors that overexpress the HER2/neu receptors [96, 97]. HER-Vaxx is a HER2 multi-peptide vaccine with 3 HER2 peptides representing B cell epitopes that can produce specific IgG antibodies and has demonstrated strong antitumor activity in mice [96]. This multi-peptide vaccine also restrained tumor immunological tolerance and yielded a similar effect to that observed with current clinical anti-HER2-based antibody therapies [98]. KEY-Vaxx, another B cell vaccine developed by Pravin Kaumaya et al., also aimed to induce a polyclonal antibody response that could inhibit PD-1 signaling. Promisingly, KEY-Vaxx elicited significantly better antitumor effects in a mouse colorectal cancer preclinical study than a commercial anti-PD-1 antibody [99]. A special HER2 peptide with epitopes from CD4+/CD8+ T-cells was developed by Miyako et al. that significantly delayed tumor growth [100].

In addition to the B cell-based peptide cancer vaccines that target PD-1 and HER2, peptide mimics that can inhibit EGFR signaling have also been attempted. Using a mimotype approach, epitope-specific immunization has produced an effective anti-EGFR immunotherapy that can elicit the production of “cetuximab-like” antibodies in vivo [101]. Lei Zhu et al. synthesized peptides composed of a linear B cell epitope peptide from human EGFR and a Th-cell epitope to successfully target the dimer interface of EGFR. These peptides were highly immunogenic, stimulated high production of antibodies in animal models, and significantly inhibited tumor growth in patients [102]. Collectively, this EGFR vaccine represents as a promising candidate for an effective anti-EGFR immunotherapy.

4. Peptide-based cancer vaccine

The goal of a cancer vaccine is to activate a patient’s immune system and prime it to recognize and kill cancer cells. The vaccine should activate a patient’s professional APCs, which are mainly DCs. After DCs have taken up and processed the antigens introduced by the vaccine, DCs transit to lymph nodes and expose the antigen on their cellular surface via MHC-I/II to activate T cells. As T cells are activated, they proliferate and further differentiate into CD8+ cytotoxic and CD4+ helper T cells. These cells can then target special antigens on the tumor cell surface after leaving lymph nodes and begin to destroy the tumor [103].

Peptide vaccines are usually made up of peptides and adjuvants [104, 105]. Typical peptide vaccine strategies are being used to design personalized vaccines with synthetic peptides based upon tumor-specific antigens. Immune cell-specific vaccines activate the immune system to create polyclonal antibodies that have several advantages compared to synthetic monoclonal antibodies. These include a short production time, low cost, highly stability, high antibody affinity against the antigen based on recognition of multiple epitopes, and a better ability to capture the target protein [106, 107].

4.1 Peptide antigen vaccine

Peptide immunogenicity is correlated with a number of factors, including composition, length, and administration route. The adjustment of a peptide’s composition with oxadiazole, tetrazole, and oxazole functionalities can aid in rigidification of peptide skeletons, which along with cyclization can increase the peptide’s binding affinity. Bioavailability can also be adjusted by tailoring the peptide sequence to the proper environment [108]. Short peptides are preferred because they can adopt similar conformations to those found with the native antigen. In addition, vaccines administered via intravenous injection (i.v.) can induce stronger CTL responses compared to responses caused by subcutaneous (s.c.) or intramuscular (i.m.) injections [109].

Peptide-based cancer vaccines are generally designed to target the activation of a specific effector cell type [111]. Several peptide-based cancer vaccines in clinical phase I and II trials have chosen specific T-helper cell epitopes, TAA-derived CTL epitopes, or dendritic cells with TAA-derived peptides that provide practical clinical benefits to a small number of
patients. MHC I peptides are shorter (8-10 amino acids) and can be recognized by CD8+ T cells, while MHC II peptides are longer (often 13 to 18 amino acids) and are generally recognized by CD4+ T cells [112]. Martijn S. Bijker et al. have revealed that long peptides could promote higher quality T-cell responses than shorter versions, which generally were unable to kill cancer cells unless a longer MHC II peptide was added [113]. The first long-peptide vaccination in humans was derived from two self-antigens, mucin and HER-2/neu, and demonstrated the safety and antigen specific T-cell responses that could be achieved with a synthetic peptide [17, 114]. These initial clinical studies have shown that long peptides can be used to achieve more effective cancer immunotherapy vaccines than earlier attempts. Long peptides have the potential to elicit a significantly improved therapeutic effect, expand the T cell population in tumors, and increase the long-term memory of the immune system. However, the immunogenicity of peptide-based vaccines remains weak, which has highlighted the importance of combining of peptides with potent adjuvants to improve anti-tumor immune responses [115, 116]. To improve the immunotherapeutic effect of peptide-based cancer vaccines, a clinical application program has been developed to combine immunotherapies with specific immune checkpoint targeting monoclonal antibody therapies like those targeting PD-1, PD-L1 and CTLA-4. Recent advances in genetic and bioinformatic analysis techniques have also improved the authentication of neoantigens (Figure 8) [110].

The identification of the specific peptide compositions and sequences of many cancer antigens has enabled the design of more targeted peptide-based cancer immunotherapies. An immunodominant synthetic peptide with increased HLA-A2 binding capabilities was designed by Steven A. Rosenberg et al. based on a melanoma-associated antigen sequence. This peptide was used as a vaccine for the treatment of metastatic melanoma and more than 90% of patients who received it achieved an immunized effect [117]. Athanasios Kotsakis et al. demonstrated that a hTERT-targeting Vx-001 vaccine, which included a TERT572Y cryptic peptide, improved clinical immune responses in the majority of NSCLC HLA-A2+ patients [118]. A peptide inhibitor identified by Alexander B. Sigalov et al. could specifically silence the TREM-1 receptor and significantly slowed the growth rate of NSCLC tumors [119]. Aarif Ahsan et al. established that a synthetic peptide called disruptin (SVDNPVC) targets EGFR-positive cancer and could not only reduce the clonogenicity of tumor cells, but also decreased the micro-vessel density in lung cancer tumors [120]. By loading a Wilm’s tumor-1 (WT1) peptide into a DC vaccine, Hidenori Takahashi et al. demonstrated that they could significantly improve the survival of patients with advanced NSCLC [121]. Sumiyuki Nishida et al. reported that there was a close relationship for clinical delayed-type hypersensitivity
(DTH)-positive patients between longer survival and a positive delayed-type hypersensitivity to WT1 peptides (CYTWNQMNL) and memory-phenotype WT1-specific CTLs [122]. In addition, the combination of a WT1 peptide vaccine based on these peptides and gemcitabine was more effective than gemcitabine alone against advanced pancreatic cancer [122]. Nobuhisa Ishikawa et al. reported a LY6K-177 peptide vaccine, based on the LY6K-177 peptide that is overexpressed in a majority of lung and esophageal cancers, could induce a specific CD8+ CTL response and significantly enhanced the survival rate of patients [123]. A personalized peptide vaccination strategy was identified by Ryuji Takahashi et al. which achieved positive results for metastatic, recurrent triple-negative breast cancer patients in a Phase II clinical trial [124]. Junya Ohtake et al. conjugated a synthetic long peptide with survivin to induce an IFN-γ response and thereby promote the differentiation of Th1 and Tc1 cells in vivo [125]. While many of the current clinical tumor-associated antigen peptides have a great deal of promise, they are mainly recognized by CD8+ T cells and the variety amongst these peptides is very limited [126].

4.2 Other personalized anti-cancer vaccines

Recently, a number of other materials-based vaccine strategies have been developed. These include polymer scaffolds that direct immune cell function and micro- or nanoparticles that target special organs and cells. Tyrel Smith et al. fabricated an implantable biopolymer device using a collagen-mimetic peptide (GFO-GER) to deliver CAR T cells to the tumor [127]. After injection at the tumor site, the device caused a considerable increase in the number of T cells in the tumor and was capable of eradicating tumors in both an orthotopic pancreatic cancer and a melanoma mouse model. Personalized vaccination against each patient’s tumor-specific neoantigens by utilizing the unique mutation profile of each individual’s tumor could greatly improve therapeutic outcomes [128]. To increase the immunogenicity of a neoantigen-based vaccine, several novel vaccine delivery strategies including artificial scaffolds, have been designed and developed to mimic the target neoantigens’ protein fold performance. Three important TLR-2 ligands (lipid core peptide, Pam2Cys and Pam3Cys) were conjugated to an engineered polytope that could self-assemble into nanoparticles and they were able to create higher antigen-specific IgG antibodies after vaccination in mice. Recently, Mooney et al. described a vaccine approach that used an injectable scaffold loaded with a selection of tumor-expressed peptides. The team made natural antigen-presenting cell-mimetic scaffolds (APC-ms) comprised of supported lipid bilayers formed on high-aspect-ratio mesoporous silica micro-rods that could readily absorb multiple peptides. They demonstrated that APC-ms promoted a 2 to 10-fold expansion of highly functional T cells for adoptive cell transfer compared with the conventional expansion systems [129].

Optimized nano-vaccines can more efficiently deliver many types of antigens, adjuvants, and immune regulatory agents than more traditional vaccination methods [130-132]. Self-assembling peptides are considered promising agents for immunotherapies because they can induce strong immune responses in the absence of supplemental adjuvants. Fiber-forming peptides like Q11, KFE8, and RADA can form cylindrical micelles that can be functionalized as an adjuvant for T or B cells. Peptides carrying multiple epitopes can cause significant immune responses without significant inflammation and have received extensive attention as the next generation of cancer treatments. Collier et al. reported that an epitope-bearing peptide could self-assemble into elongated peptide nanofibers with α-helical structures that were easily internalized by APCs. These nanofibers were able to induce responses in CD4+ T cells and CD8+ T cells in the absence of adjuvants in vivo [133].

Currently, peptide materials that induce a strong anti-tumor immuno-response have been used as potential cancer vaccines. TAAs are a common target of peptide-based vaccines that are capable of being identified by the immune systems and these vaccines can cause cancer cell disruption and tumor extinction [126]. However, vaccines based on peptide materials also have displayed poor clinical efficacy due to the poor immunogenicity of TAAs, immune escape by tumor cells, and tumor heterogeneity [134]. To improve the clinical effects for cancer patients, some new approaches like personalized peptide vaccination [135], identifying novel TAA-based peptide materials [136], designing peptide-based vaccines with multiple TAA epitopes [137], and the combination of peptide-based vaccines with chemotherapies need to be urgently developed [138].

5. Immunomodulating peptide delivery systems

The nanostructures of therapeutic peptides not only provide stable spatial conformations and special cancer targeting abilities, but they can also overcome the pharmaceutical obstacles inherent in peptides. A major hurdle to the development of successful and effective vaccines is the design of antigen delivery systems that optimize antigen presentation and induce key protective immune responses. The use of a nanomaterial-based delivery system should be able to...
better achieve such effects than conventional methods. A nanomaterial-based approach should enhance the targeting of vaccines, trigger better immune responses, increase the cellular uptake of vaccines, increase the vaccine’s ability to pass through biological barriers, and minimize a treatment’s side effects [139]. The composition, charge, and size of nanoparticles can be used to modulate the cellular uptake and biodistribution of vaccines in vivo after injection [115, 140]. The shape and flexibility of nanoparticles can have a particular impact on their biological fate as it has been reported that rod-like particles can be taken up more efficiently by HeLa cells when compared with spheres, cylinders, and cubes. The proper design could also significantly improve bioavailability in vivo. Feng Qiu et al. described a pH-responsive nanoparticle assembled from antigenic peptides and poly(propylacrylic acid) with endosomal escape activity. This nanoparticle was able to stimulate CD8+ T cell activity by increasing antigen uptake and presentation on DC MHC-I molecules. The combination of this nanoparticle with α-galactosylceramide (an immune adjuvant) could induce strong CD8+ T cell responses and prolong survival time in mice bearing melanoma tumors [141]. Jihua Hao et al. developed a micellar vaccine based on a model peptide antigen with four cysteines to create disulfide linkages that stabilized the micellar structure. After phagocytosis of the micelle by DCs, the peptide would be released from micelles as the disulfide bonds were broken within endosomes. This release both improved the concentration of the peptide within DCs and enhanced its tumor immune response [142].

A synthetic lipoprotein nanodisc-based platform (sHDL) consisting of peptide antigens and adjuvants was developed by Rui Kuai et al. that displayed enhanced accumulation in lymphoid organs and improved antigen presentation. Interestingly, this nano-platform based vaccine induced a 47-fold increase in neoantigen-specific CTLs compared to other soluble vaccines (Figure 9) [15]. Peipei Zhang et al. developed a nanoparticle-coated polyelectrolyte multilayer on gold nanoparticle templates and then loaded special peptide antigens and polyanionic TLRs that could be activated after being internalized by DCs. These nanoparticles could trigger special TLR signals and lead to the presentation of peptide antigens. They also enhanced the proliferation of antigen-specific T-cells and increased the concentration of antigen-specific CD8+ T cells in peripheral blood [143]. An mRNA delivery nano-system was developed by Anne-Line Coolen et al. that incorporated a poly(lactic acid) nanoparticle and a cationic cell-penetrating peptide. This nanoparticle induced enhanced protein expression within DCs in vitro and could activate endosomal and cytosolic pattern recognition receptors that could be used to tune the DC-based innate immune response [144].

There are 11 FDA-approved slow-release microsphere injections on the market at present, such as PEG 3350, the commercial drug Eligard® Leuprolide (composed of PLGA and Leuprolide acetate), and Janssen Pharmaceuticals’ PLG-containing Risperdal Consta® [145, 146]. The success of these microsphere-based therapies has increased the focus on developing novel techniques in this area. Zhiping Zhang et al. created a biodegradable nanoparticle with poly(d,l-lactide-co-glycolide) and two antigenic peptides derived from murine melanoma, TRP2180-188 (SVYDFFVWL) and hgp10025-33 (KVPRNQDWL). These nanoparticles showed excellent uptake, strong antigen-specific T cell responses, and significant antitumor activity [147]. A peptide amphiphile was developed by Matthew Black et al. that linked a model cytotoxic T-cell epitope to a synthetic lipid (diC16-EQLESINFEKTL). The amphiphile can assemble into a cylindrical nanoparticle, induce enhanced cytotoxic T-cell responses, and significantly inhibit tumor growth (Figure 10A) [148]. Lu Zhang et al. reported a photothermal immuno-nanoplatform based on peptide materials that displayed a positive inhibitory effect on 4T1 syngeneic murine breast tumors after combining them with imiquimod and an anti-PD-1 antibody. This therapeutic strategy eradicated both primary and distant tumors via photothermal and immune effects and improved the immune-response rate in patients (Figure 10B and C) [149].

Peptide-based nanogels are also commonly used for the delivery of therapeutic agents. Daisuke Muraoka et al. reported a cholesteryl pullulan-based nanogel encapsulating a synthetic long special-antigen peptide that effectively delayed tumor growth. This study also implied that the strong stimulation response of lymph node macrophages to TLR was a basis for the efficacy of macrophage-guided nanogel vaccines [150]. Pengxiang Yang et al. designed a peptide-based nanofibrous hydrogel vaccine that incorporated an anti-PD-1 antibody, DCs, and a tumor antigen. The hydrogel vaccine could effectively stimulate anti-tumor T-cell immunity and significantly enhanced the activation/infiltration of CD8+ effector T-cell in tumor tissue. This gave the nanoparticle potent tumor growth inhibition and prolonged the survival of mice in prophylactic/therapeutic tumor models (Figure 11) [51].
Figure 9. sHDL nanodisc cancer vaccine strategy and in vitro results. A) Design of sHDL nanodisc platform for personalized cancer vaccines. B) Strong and durable antigen peptide presentation mediated by sHDL nanodiscs. JAWSII cells were incubated sHDL-CSSSIINFEK(FITC)/CpG for 6, 24, or 48 h, followed by staining with Hoechst and Lysotracker. Adapted with permission from [15], copyright 2017 Springer Nature.

Figure 10. Design and anticancer activity of a photothermal immuno-nanoplatform. A) Peptide amphiphile and micelle structure. TEM and AFM of micelles in PBS reveals cylindrical micelles. Adapted with permission from [148], copyright 2012 WILEY-VCH. B) Illustration of the in situ encapsulation and controlled release capability of cytotoxic agents and immunomodulatory agents against orthotopic oral cancer and metastatic breast cancer. C) Schematic illustration of the proposed mechanism of antitumor immune responses induced by the nanoparticle in combination with anti-PD-1 therapy. Adapted with permission from [149], copyright 2018 American Chemical Society.

Figure 11. Peptide-based nanogels and their use as immunologic agents against cancer. A) Formation and the mechanism of action for the DC-based vaccine nodule engineered in a nanofibrous peptide hydrogel. Delivery of exogenous DCs and recruitment of host DCs in vivo by the vaccine nodule. B) Representative scatter plots illustrating the identification of MHCII+CD11c+ DCs (in red rectangle) recovered from gels and C) quantification of MHCII+CD11c+ DCs in gels at various time points. D) Representative optical sectioning of dLNs explanted at day 1 after injection. DCs in the dLNs are indicated by GFP (green), and the nuclei of T cells were stained by DAPI (blue). Adapted with permission from [51], copyright 2018 American Chemical Society.
6. Summary

In this review, we have illustrated the key roles of different immune cells and summarized the applications of peptide materials in both the innate and adaptive immune systems. The design, categories, and applications of peptide-based anti-cancer materials were also comprehensively covered. Cancer vaccinations based on peptide materials can significantly enhance systemic immune responses, generate effective immune cell responses in tumor tissue, and inhibit malignant tumor metastasis/recurrence. Peptide-based delivery systems that enable the delivery of therapeutic cargos into specific cell lines with low toxicity and high therapeutic effect are fundamentally important for peptide cancer vaccines. The majorities of peptide therapeutics are effectively administered by parenteral routes in clinical trials but are generally less suitable for oral administration. Improvements in the stability and permeability of formulated peptide drugs or vaccines may also facilitate the availability of peptide materials in clinical cancer immunotherapies. Noteworthy advances in peptide screening and computational biology can also be applied to discover new categories of peptide-based formulations and advance current therapies. In addition, metabolomic, proteomic, and genomic screening of natural products can be used to identify bioactive peptides generated by uncommon post-translational modifications or non-ribosomal synthesis. Moreover, in order to be clinically translated, these peptide-based platforms must be stable for large-scale production and storage. With the consideration of the difficulty in studying the pharmacokinetics and biodistribution of peptides, exploration of the optimal doses and dosing intervals for a peptide could identify key parameters that determine their therapeutic effects. As the field of immuno-oncology improves, we sincerely expect the synergistic development of peptide materials with other immunotherapies to yield innovative strategies for tumor immunotherapy.

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Competing Interests

The authors have declared that no competing interest exists.

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