Black Holes and Galactic Density Cusps
From Black Hole to Bulge

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Abstract

Aims. In this paper we continue our study of density cusps that may contain central black holes.

Methods. We recall our attempts to use distribution functions with a memory of self-similar relaxation, but mostly they apply only in restricted regions of the global system. We are forced to consider related distribution functions that are steady but not self-similar.

Results. One remarkably simple distribution function that has a filled loss cone describes a bulge that transits from a near black hole domain to an outer ‘zero flux’ regime where $\rho \propto r^{-7/4}$. The transition passes from an initial inverse square profile through a region having a $1/r$ density profile. The structure is likely to be developed at an early stage in the growth of a galaxy. A central black hole is shown to grow exponentially in this background with an e-folding time of a few million years.

Conclusions. We derive our results from first principles, using only the angular momentum integral in spherical symmetry. The initial relaxation probably requires bar instabilities and clump-clump interactions.

Key words. theory-dark matter-galaxies:haloes-galaxies:nuclei-black hole physics-gravitation.

1. Introduction

We discussed the relation between the formation of black holes (hereafter BH) and of galactic bulges, or of bulges with a central mass in the previous papers of this series of papers (Henriksen et al., referred to here as paper I, II, and references hereafter).

Previous research (Kr995, Ma98) and more recently (Ferrase and Merritt 2000, Gebhardt et al. 2000) has established a strong correlation between the BH mass and the surrounding stellar bulge mass (or velocity dispersion), which we take as an indication of coeval growth. Such growth may occur as BH ‘seeds’ accrete gas or disrupted stars in a dissipative fashion during the AGN (Active Galactic Nuclei) phase, but there is as yet no generally accepted scenario. Moreover, the necessity for a seed and observations of early very supermassive BHs (e.g. Kurk et al., 2007), together with changes in the normalization of the BH mass-bulge mass proportionality (e.g. Maiolino et al., 2007), suggest an alternate growth mechanism. In fact some authors (Peirani & de Freitas Pacheco 2008) have studied the possible size of the dark matter component in BH masses and deduce that between 1% and 10% of the black hole mass could be due to dark matter.

We explored coeval growth in the case of spherically symmetric radial and non-radial infall (paper I, II), using distribution functions with a self-similar memory. However although we obtained reasonable descriptions in sections, we did not find a distribution function (DF) that could be fit to Black Hole halo and bulge continuously. Moreover the problem of the black hole feeding was left unresolved. This requires an anisotropic DF with a filled loss cone of the nature of the Bahcall & Wolf solution (Bahcall & Wolf 1976).

Here we repair these omissions for a special case of non self-similar infall. We use the same technique of inferring reasonable distribution functions for collisionless matter from the time dependent Collisionless Boltzmann (CBE) and Poisson set, while insisting on a central point mass. The temporal evolution allows for relaxation of collisionless matter in addition to possible ‘clump-clump’ (two clump) interactions.

We use, in this paper as in the previous (I, II), the Carter-Henriksen (Carter & Henriksen 1991) procedure. In this way we obtain a quasi-self-similar system of coordinates (H2006, H2006A) that enables expression of the CBE-Poisson set with explicit reference to a previous transient self-similar dynamical relaxation. Thus we can remain ‘close’ to self-similarity just as the simulations appear to do.

We begin the next section with a summary of this approach in spherical symmetry that is common to all papers in this series, including the useful results found earlier (papers I, II). Subsequently we recall the limiting case with a high binding energy cut off in the distribution function (hereafter DF). This is to remind us that such a cut-off, existing for any reason, produces a flat cusp. Finally we give the key result of this paper as a non self-similar DF (of a type found previously (Evans & An 2006) but not used in this connection) that describes the transition zone. This zone extends from a central mass through a shallow region (to be compared with observations of the milky way) into a Bahcall & Wolf feeding region. Ultimately it enters an inverse square law region.
2. Dynamical Equations and radial results

Following the formulation of [H2006] we transform to infall variables the collisionless Boltzmann and Poisson equations for a spherically symmetric anisotropic system in the ‘Fujiwara’ form (e.g. Fujiwara 1985) namely

\[
\frac{\partial f}{\partial t} + v \frac{\partial f}{\partial r} + \left( \frac{j^2}{r^3} - \frac{\partial \Phi}{\partial r} \right) \frac{\partial f}{\partial v_r} = 0, \quad (1)
\]

\[
\frac{\partial}{\partial r} \left( \frac{r^2}{\partial \Phi} \right) = 4\pi^2 G \int f(r,v_r,j^2)dv_r dv^2, \quad (2)
\]

where \( f \) is the phase-space mass density, \( \Phi \) is the ‘mean’ field gravitational potential, \( j^2 \) is the square of the specific angular momentum and other notation is more or less standard.

The transformation to infall variables has the form (e.g. [H2006])

\[
R = r e^{-\alpha t/a}, \quad Y = v_r e^{-(1/\alpha - 1)\alpha t},
\]

\[
Z = j^2 e^{-(4/\alpha - 2)\alpha t}, \quad e^{\alpha t} = \alpha t,
\]

\[
P(R,Y,Z,t) = e^{(3/\alpha - 1)\alpha t} \pi f(r,v_r,j^2;t), \quad (3)
\]

\[
\Psi(R,T) = e^{-2(1/\alpha - 1)\alpha t} \Phi(r),
\]

\[
\Theta(R,T) = \rho(r,t)e^{-2\alpha t}. \quad (4)
\]

The passage to the self-similar limit requires taking \( \partial t = 0 \) when acting on the transformed variables. Thus the self-similar limit is a stationary system in these variables, which is a state that we refer to as ‘self-similar virialisation’ ([HW 1999] Le Delliou 2001). The virial ratio \( 2K/|W| \) is a constant in this state (although greater than one; \( K \) is kinetic energy and \( W \) is potential), but the system is not steady in physical variables as infall continues.

The single quantity \( a \) is the constant that determines the dynamical similarity, called the self-similar index. It is composed of two separate reciprocal scalings, \( \alpha \) in time and \( \delta \) in space, in the form \( a \equiv \alpha / \delta \). As it varies it contains all dominant physical constants of mass, length and time dimensions, since the mass scaling \( \mu \) has been reduced to \( 3\delta - \alpha \) in order to maintain Newton’s constant \( G \) invariant (e.g. [H2006]).

We assume that time, radius, velocity and density are measured in fiducial units \( r_o/v_o, r_o, v_o \) and \( \rho_o \) respectively. The unit of the distribution function (DF from now on) is \( f_o \) and that of the potential is \( v_o^2 \). We remove constants from the transformed equations by taking

\[
f_o = \rho_o/v_o^3, \quad v_o^2 = 4\pi G\rho_o r_o^2. \quad (4)
\]

These transformations convert equations (1,2) to the respective forms

\[
\frac{1}{\alpha} \frac{d}{dt} P - (3/\alpha - 1) P + \frac{Y}{\alpha} \frac{R}{a} \frac{d}{dt} P
\]

\[
- \left( (1/\alpha - 1) Y + \frac{1}{\alpha} \left( \frac{\partial \Psi}{\partial R} - \frac{Z}{R^2} \right) \right) \frac{d}{dt} P - (4/\alpha - 2) Z \frac{d}{dt} P = 0
\]

and

\[
\frac{1}{R^2} \frac{d}{dR} \left( R^2 \frac{\partial \Psi}{\partial R} \right) = \Theta. \quad (6)
\]

This integro-differential system is closed by

\[
\Theta = \frac{1}{R^2} \int P dY \ dZ. \quad (7)
\]

This completes the formalism that we will use to obtain our results.

For easy reference in this paper, we summarize the general forms of the self-similar DFs that we discussed previously.

In paper II we discussed the emergence in purely radial infall of the rigorously steady DFs from Henriksen and Widrow (hereafter HW, [HW95])

\[
\pi f = \tilde{f}(\kappa)|E|^{1/2} \delta(j^2), \quad (8)
\]

and the time-dependent DF from Fridmann and Polyachenko (hereafter FPDF, Fridmann & Polyachenko 1984)

\[
f = \frac{K}{(-E + E_o)^{1/2}} \delta(j^2). \quad (9)
\]

Introducing anisotropies in paper II we found the general self-similar solution to equation (5) to be

\[
P = \tilde{P}(j^2, \kappa)\delta^n, \quad (10)
\]

where

\[
\kappa \equiv \delta Z^{1/\delta}, \quad \delta \equiv \frac{3 - a}{2(a - 1)}. \quad (11)
\]

This yields the physical form of the general steady state with self-similar memory to be

\[
\pi f = \tilde{P}(\kappa)|E|^n, \quad (13)
\]

\[
\kappa = |E|^{(j^2)^{-\frac{1}{4\delta}}} + \Phi. \quad (12)
\]

where \( E \equiv v_r^2/2 + j^2/(2r^2) + \Phi \). Taking first \( \tilde{P} \) to be constant, and then taking it to be proportional to \( \kappa^{-\delta} \), this yields respectively

\[
\pi f = |E|^n, \quad (14)
\]

\[
\pi f = \frac{K}{(j^2)^{2w}}, \quad (15)
\]

where we have defined \( w = (3 - a)/(4 - 2a) \). These limits were found to apply to inner and outer extremes of the relaxed region of a simulated ‘bulge’ (i.e. no black hole was included in the simulations).

The DF (13) can be put in a form that seems to generalize the FPDF (Eq. 9). We choose \( \tilde{P} \propto \kappa^{-\delta} \) to obtain

\[
\pi f = \frac{K}{(j^2)^{2w}|E_o - E|^{1/2}}. \quad (16)
\]

The density and potential laws are more general than those of the FPDF, being respectively \( \rho \propto r^{-2w} \) and \( \Phi \propto r^{2(1-a)} \).

One of these DFs can be said to apply to every region of the simulated halos.
3. High Binding Energy Cut-off

We recall in this section an extreme case that limits the flattening of the cusp near a black hole. There is evidence (Le Delliou 2001) [MWH 2006] for a cut-off in the number of particles with high binding energy, even without a central point mass. The presence of a central black hole of mass $M_\bullet$ is likely to accentuate this trend by accretion. We may imitate such a cut-off in order to study limiting behaviour near the black hole, by using the isothermal DF (see e.g. papers II and II at negative energies and temperatures in the form

$$\pi f = K e^{β E}.$$  \hspace{1cm} (17)

Here $E = v^2/2 + \beta^2/(2r^2) + \Phi < 0$. We suppose that the constant $β > 0$ and corresponds to some reciprocal cut-off energy. A straight-forward calculation of the density implied by such a DF yields (note that we integrate only over negative energies rather than over all velocities)

$$ρ = \frac{8{\sqrt{2}}K}{3} |Φ|^{3/2} M(1.5/2, -β|Φ|), \hspace{1cm} (18)$$

Where $M(a,b,z)$ is the Kummer function. We expect this to hold where $|Φ| ≈ GM/|r|$ is large, and in this limit the Kummer function varies as $-3/(2z)$. Consequently the density cusp becomes as flat as $|Φ| \approx r^{-1/2}$. This was already noticed in (Nakano & Makino 1999) and in (Merritt & Szell 2006), where in both cases it is due to scouring by merging black holes. In any case, as was recognized in (Nakano & Makino 1999), only the cut-off is required.

A cut-off in the number of particles per unit energy $dM/dE$ is to be expected on general grounds. The density of states (Binney & Tremaine 1987) for an isotropic DF in a region dominated by a point mass potential is $g(E) = \sqrt{2(πGM)}|E|^{-3/2}$, from which the differential energy distribution $dM/dE = g(E)f(E)$ may be calculated. Thus even a constant $f(E)$ (for which $ρ \propto |Φ|^{3/2}$ and therefore goes like $r^{-1.5}$ near a point mass) will show a cutoff in the mass distribution as the density of states decreases in the expanding phase space. However the evidence suggests that $f(E)$ is also declining with increasing $|E|$ in the most tightly bound central regions.

Assuming the DF (14) and $α = 0.5$, we predict the mass distribution function $dM/dE \propto |E|^{-3}$. Thus a cut-off in the isotropic DF can be associated with the $r^{-2α} = r^{-1}$ density profile. The mechanism for the high binding energy cut-off is not immediately evident, but it must be part of the dynamical relaxation. High negative energy particles must be preferentially excited to less negative energies, which does not seem to occur in strict shell code simulations (HW 1999). However it may occur due to the presence of sub-structure such as clumps or bar formation by the radial orbit instability (MWH 2006). In any case it may not require black hole ‘scouring’, although this process does have the maximum effect (Merritt & Szell 2006) [Nakano & Makino 1999].

4. Global Solution with Black Hole and a Bahcall/Wolf Outer Cusp

We consider an exact collisionless cusp that has an embedded central mass. It is necessarily not self-similar. We have seen that we expect a DF that is primarily dependent on angular momentum in the outer regions of a bulge (e.g. (15) before the maximum in angular momentum). We can now follow analytically the development of this region in time, as this is the province of numerical work. We can however hope to find analytic descriptions of ultimate states.

There is one steady solution that is not self-similar but which is closely related to the Evans and An (Evans & An 2006) solution with anisotropy parameter $β = 0.5$. This is an exact solution to equation (5) with $δφ \neq 0$ (compare equations (15) in the form (recall that $w ≡ (3 - α)/(4 - 2α)$)

$$P = \tilde{P}(J^2) Z^{-w},$$

that is, written entirely in transformed coordinates,

$$P = \tilde{P}(Z \exp(4α/α - 2αT) Z^{-w}.$$  \hspace{1cm} (19)

This solution is always steady but not always self-similar because of the $T$ dependence. This equation is seen to satisfy equation (5) by direct substitution when the dependence on $T$ is included. The steady form (15) that we found in the previous paper of this series is distinguished from the general steady class by being a possible limit of self-similar evolution. The same problem has been addressed with arbitrary steady and isotropic distribution functions in an interesting paper by [Baes et al. 2005].

A convenient choice is to take $\tilde{P} = K(J^2)^v$, where $v$ is any suitable real number. Then the DF is simply

$$\pi f = K(J^2)^{(v-w)}.$$  \hspace{1cm} (20)

The density associated with such a distribution is $E < 0$, $v-w > -1$,

$$ρ = \sqrt{2πK} \frac{Γ(v-w+1)}{(v-w+3/2)Γ(v-w+3/2)} r^{2(v-w)} |Φ|^2 |2Φ|^{v-w+3/2}, \hspace{1cm} (21)$$

This may now be substituted into the Poisson equation to give an equation for $|Φ|$ and hence the density in a self-consistent bulge.

There is a power law solution $\propto r^h$ provided that $h > -1$. In terms of $w$ and $v, h$ is

$$h = -2(1 + v - w)$$

and the logarithmic density slope is $h - 2$. Most of the density power laws $(h - 2)$ of interest arise for values of $v - w$ near $-1$.

For example $v - w = -0.9$ yields $h - 2 = -1.5$, while $v - w = -5/6$ yields $h - 2 = -1.0$. The limiting case with $v - w = -1$ (which has a logarithmic singularity at zero angular momentum) gives as expected $h - 2 = -2$. Setting $v = 0$ returns us to the self-similar bulge (15) and indeed $h - 2 = -2α$ in this case.

It is clear however that there is a special case when $v - w + 1/2 = 0$, for which the DF is $π f = K/\sqrt{ζ}$. This value treated separately yields

$$ρ = \frac{2πK}{r} |Φ|.$$  \hspace{1cm} (23)

The Poisson equation is therefore linear and it is readily solved to find

$$|Φ| = \sqrt{\frac{1}{2πK} \sum J_1(√(3πKr))/r^{1/2} + π√2πK \sum Y_1(√(3πKr))/r^{1/2} + |Φ|_∞},$$

where $J_1$ and $Y_1$ are first order Bessel functions of the first and second kinds respectively. The density is given by equation (23).

The constants in equation (24) must be such as to maintain $|Φ|$ and $ρ$ positive despite the oscillations in the Bessel functions. This may impose a limited range in radius, which is in
any case required to ensure a finite mass. In general the Bessel functions will be out of phase by $\pi/2$ and it is not possible to keep their sum positive everywhere. However it is possible to keep the whole expression positive by choosing an appropriate set of constants as we have done in our example. Because the term containing the Bessel functions is declining as $r^{-7/4}$, once a critical transition region is traversed successfully by a choice of constants the positivity is assured henceforward. It is really only the difference of the potential moduli that is physical and this difference is free to oscillate as indicated. It is interesting nevertheless that $|\Phi|_\infty$ is necessarily non-zero, as this suggests that the solution must be embedded in distant matter.

As $z \to 0$ one has $J_1(z) \approx z/2$ while $Y_1(z) \approx -2/(\pi z)$. Hence the potential contains a central point mass in this limit if $C_2 = -M_\bullet$. The term in $J_1$ tends to a constant and is presumably associated with the bulge mass itself. Consequently by equation (23) the density cusp near the central black hole has the profile

$$\rho = \frac{2\pi K}{r^2} \left( \frac{C_1 + |\Phi|_\infty}{r} + \frac{GM_\bullet}{r^2} \right).$$

(25)

The constant $C_1$ gives the difference in the modulus of the bulge potential, coming from infinity to a radius where $J_1$ is well approximated by the small argument limit (it depends on $K$). This happens before the small argument limit applies to $Y_1$. We see in general from this solution that the near black hole cusp can start with a $(-1)$ logarithmic density slope and steepen to $(-2)$ near the black hole. This appears to encompass the observations.

A remarkable behaviour of this solution occurs at large $r$ (actually large $\sqrt{8\pi K r}$), where $J_1(z) \approx \sqrt{2/(\pi z)} \cos (z - 3\pi/4)$ and $Y_1(z) \approx \sqrt{2/(\pi z)} \sin (z - 3\pi/4)$. This shows that the mean density tends to the $r^{-7/4}$ density profile that is characteristic of the Bahcall and Wolf (Bahcall & Wolf 1976) zero flux solution. According to the small $r$ limits, we find it here outside a flatter region $r^{-1}$ that gives way ultimately to an inner $r^{-2}$ region. Outside of the Bahcall/Wolf $r^{-7/4}$ region one would return to a $r^{-1}$ profile (recalling that the potential there, i.e. at ‘infinity’ is not zero). This appears to connect a black hole through intermediate cusps to the inner NFW profile. Our figures are illustrative however and the constants of our formula would have to be chosen for the best fit consistent with positivity in any particular case.

Figure 1 indicates these various regimes when the constants are chosen such that the bulge mass is about fifteen (actually $5\pi$, we represent the bulge mass by $M_\bullet = 4\pi \rho_o r_o^3$) times that of the central black hole. Starting from upper left the curves move inwards in a clockwise direction to show the outer $r^{-7/4}$ oscillating region, the $r^{-1}$ region, a transition region, and finally the $r^{-2}$ region. The curves are connected in a continuous fashion. The final figure expands the outer oscillating Bahcall-Wolf region.

Because of the slow decline in the density with radius, it is evident that the outer radial limit to this solution is finite. Exactly where it applies will depend on special numerical cases, but it seems in any case that it must be inside the radius where the density has an inverse square profile, essentially the scale radius of the dark matter simulations.

The physical behaviour all stems from a DF that has the simple form $K/|j|$. It appears to describe a zero flux equilibrium condition in the mean, together with a stably filled loss

![Figure 1](image-url)
We estimate the growth of a black hole in such a bulge in a straightforward way according to

\[ \frac{dM_\bullet}{dt} = 4\pi r^2 v_r \rho_\star (\tau) \rho_\star, \]

where \( r_\star = 6GM_\bullet / c^2 \). The required mean radial velocity is found to be \( v_r = 4\sqrt{2}K / (3pr) |\Phi|^{3/2} \) which is the same for the inward or outward going particles in a steady state. We calculate \( \tau_r \) from the expression

\[ \tau_r = \frac{K}{r^2 \rho} \int v_r dv_r \int \frac{d\rho}{(\rho^2)^{1/2}}, \]

which becomes explicitly on transforming to an energy variable through \( dE = v_r dv_r \)

\[ \tau_r = \frac{K}{r^2 \rho} \int_0^{2r^2(E-\Phi)} d\rho \int_{\Phi/e}^{\Phi} \frac{d\rho}{(\rho^2)^{1/2}}. \]

This is conveniently written as

\[ \tau_r = \frac{2K}{r^2 \rho} \int_0^{2r^2(E-\Phi)} dE \sqrt{2r^2(|\Phi| - |E|)}, \]

which yields finally the quoted result. In effect we have by ignoring \( dE = -v_r dv_r \) calculated only the outward mean velocity which we take to be equal to the inward mean velocity of particles reaching the black hole. We eliminate \( K \) in terms of the bulge quantities using equation (26) so that \( 2\pi K = r_\rho \rho_\rho / |\Phi|_b \).

By setting \( |\Phi|_\star = c^2/6 \), the calculation yields finally (restoring units)

\[ \frac{d\ln M_\bullet}{dt} = \frac{8}{27} \left( \frac{r_b G \rho_\rho c}{|\Phi|_b} \right). \]

As an illustration we take \( \rho_\rho \) to be the mean density of \( 10^9 M_\odot \) in one kiloparsec (i.e. \( r_b \)) and set \( |\Phi|_b = v_\text{circ}^2(r_b) \), the circular velocity at \( r_b \). We take this to be 200 km/sec and obtain finally

\[ \frac{d\ln M_\bullet}{dt} = 1.3 \times 10^{-6} \text{yr}^{-1}. \]

\[ \text{Figure 2.} \] This figure shows the oscillations in the outer zero flux regime.

The density oscillations may indicate that collective behaviour is necessary. It is an isolated example of the class of distribution functions studied by Evans and An (Evans & An 2006).

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\[ \frac{dM_\bullet}{dt} = 4\pi r^2 v_r \rho_\star (\tau) \rho_\star, \]

where \( r_\star = 6GM_\bullet / c^2 \). The required mean radial velocity is found to be \( v_r = 4\sqrt{2}K / (3pr) |\Phi|^{3/2} \) which is the same for the inward or outward going particles in a steady state. We calculate \( \tau_r \) from the expression

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\[ \frac{d\ln M_\bullet}{dt} = 1.3 \times 10^{-6} \text{yr}^{-1}. \]
from the Poisson equation. The density profile is never flatter than \( r^{-2.5} \) near the central mass and tends to \( r^{-3} \) in the near Keplerian limit of dominant central mass. This restricts the applicability to a region outside the central bulge. It does not seem to be relevant to a near black hole domain.

The inclusion of angular momentum led to more realistic situations. We re-derived in paper II the steady self-similar DFs from first principles in equation (13). We showed that these can be used to describe the simulated collisionless halos calculated in (MacMillan 2006), if we use the value of \( a \approx 0.72 \) identified in (H2007) and take two limits. In one (13) the DF is isotropic and describes approximately the central region of the bulge. The other limit (15) describes the outer region. This encourages us regarding the relevance of this family. Unfortunately the potential of a central mass can not be included exactly in these distribution functions.

As always \( a = 1 \) must be treated separately and we give a derivation from first principles in this series. In paper II we show that this case corresponds to a radially growing system with the FPDF. The anisotropic solution is discussed in paper II. The results are new. It implies the density profile \( \rho \propto r^{-2} \) always.

Generally we find that we cannot describe elegantly anisotropic bulges containing black holes with self-similar DFs, as one might expect. The self-similarity is restricted to the surrounding bulge, and this is progressively perturbed near the black hole. We re-emphasized in the current paper in a non self-similar context that a sharp cut-off of any kind (whether due to black hole binary scouring or not) can yield a density profile as flat as \( -1/2 \).

Our most successful description of a black hole embedded in an anisotropic bulge is given in this paper by the DF \( \pi f = K/(\bar{\rho}^2)^{1/2} \). This simplest member of the family discovered by Evans and An (ibid) yields a bulge containing a central point mass. The density profile near this mass is given by equation (25) as \( r^{-1} \) with an inner \( r^{-2} \) peak. Farther out there is an \( r^{-7/4} \) domain in the mean that imitates the Bahcall and Wolf (ibid) cusp, which in turn ultimately becomes the NFW \( r^{-1} \) profile.

The reasons for this behaviour appear to be very different from those of Bahcall and Wolf, since there are no two body collisions in this treatment. By assuming a steady filled loss cone of this simple form, we have selected a zero flux behaviour on the outer boundary as an average behaviour independently of the detailed mechanism that acts to establish this (\( df/dE = 0 \)). In that sense it is a useful description of the black hole cusp region. The oscillations may indicate the necessity of collective behaviour for maintaining a filled loss cone.

We observe finally that this DF does not require a very strong concentration of low angular momentum particles. In the outer region where \( \Phi \approx constant \), we find \( dN/dj^2 \approx constant \) so that the particles are mostly at high angular momentum, as one might expect.

Black hole growth is astrophysically rapid in this distribution as seems to be required observationally. Careful study of the density regimes may permit bulge mass and black hole mass to be distinguished and correlated, assuming this distribution is realized.

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