DBI SCALAR FIELD THEORY FOR QGP HYDRODYNAMICS

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ABSTRACT

A way to describe the hydrodynamics of the quark-gluon plasma using a DBI action is proposed, based on the model found by Heisenberg for high energy scattering of nucleons. The expanding plasma is described as a shockwave in a DBI model for a real scalar standing in for the pion, and I show that one obtains a fluid description in terms of a relativistic fluid that near the shock is approximately ideal (η ≃ 0) and conformal. One can introduce an extra term inside the square root of the DBI action that generates a shear viscosity term in the energy-momentum tensor near the shock, as well as a bulk viscosity, and regulates the behaviour of the energy density at the shock, making it finite. The resulting fluid satisfies the relativistic Navier-Stokes equation with \( u^\mu, \rho, P, \eta \) defined in terms of \( \phi \) and its derivatives. One finds a relation between the parameters of the theory and the QGP thermodynamics, \( \alpha/\beta^2 = \eta/(sT) \), and by fixing \( \alpha \) and \( \beta \) from usual (low multiplicity) particle scattering, one finds \( T \propto m_\pi \).

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1 Introduction

One of the most interesting phenomena in high energy physics being studied today is the creation of a strongly coupled Quark-Gluon Plasma (sQGP) obtained in high energy heavy ion collisions [1, 2, 3, 4, 5]. It is known that the expanding plasma is well described by an (effective) hydrodynamics description, with a shear viscosity $\eta$ over entropy density $s$ close [6, 7] to the result for generic gravity duals with black holes, $\eta/s = 1/(4\pi)$, [8, 9]. For a while it was thought that this value was a lower bound for any theory [10], but then it was realized that there can be violations [11]. Moreover, the plasma then decays into tens of thousands of particles, with a constant "freeze-out" temperature of about 175 MeV [12, 13, 14].

The hydrodynamics is an effective description, in an expansion in derivatives on a velocity field, for a strongly coupled quantum field theory. But at high enough energies and for high enough multiplicities of the emitted particles, one can have a classical field theory description as well, in terms of some effective action. This is the basis of the model that Heisenberg introduced in 1952 [15] in order to describe the asymptotic high energy scattering of nucleons. Even though this was before QCD, and thus also before the discovery of the Froissart unitarity bound [16, 17] for high energy scattering,

$$\sigma_{\text{tot}}(s) \leq C \log^2(s/s_0); \quad C \leq \frac{\pi}{m_{\pi}^2},$$

(1.1)
whose saturation is notoriously difficult to obtain in effective models for nonperturbative QCD (the saturation should happen in a highly nonperturbative regime, of very high multiplicity for the emission of the lowest energy QCD particles, the pions), the Heisenberg model easily predicts the saturation of the Froissart bound at high enough energies,

$$\sigma_{\text{tot,Heisenberg}}(s) \simeq \frac{\pi}{m_\pi^2} \log^2 \frac{s}{s_0}.$$  

(1.2)

The effective action that Heisenberg considered is of the DBI type, for a scalar pion field, and the saturation of the Froissart bound is obtained in an analysis of the particle emission from a classical shockwave solution of the DBI action, combining the classical field theory analysis with some quantum mechanics, namely the idea that the classical field is made of quanta of energy.

It was shown in [18] that the picture of Heisenberg is obtained directly from an analysis of the gravity dual scattering, using the set-up for high energy scattering of Polchinski and Strassler [19] for AdS/CFT [20]. The work was based on the gravity dual calculation of the scattering in the gravity dual in [21], making precise the earlier result [22]. Recently, the Heisenberg model was revisited in [23], to analyze its possible applications, generalizations and relation to gravity duals.

In this paper I consider the DBI action of Heisenberg as a good effective description of the sQGP near the shock in the shockwave solution, and I show that we can obtain a hydrodynamics description that matches the features observed in the sQGP. The DBI action leads to an approximately ideal relativistic fluid with $P \approx \rho/3$ and $\eta \simeq 0$. One can introduce an extra term inside the square root in the DBI action, that leads to a finite nonzero $\eta/s$ near the shock, as well as a bulk viscosity $\zeta = 2\eta/3$. This term then regulates the behaviour of the energy density near the shock, which would diverge in its absence, thus one can argue that it is needed for physical reasons. A first attempt to derive a hydrodynamics from the DBI action was presented in section 5 of [24].

The resulting action has two free parameters, that are a priori free, one is the DBI parameter (in string theory related to $\alpha'$), and the other is the coefficient of the $\eta$ term. It is unclear how one could fix them simply from considerations of sQGP hydrodynamics, but one can instead consider the DBI action as also an action relevant for low multiplicity (usual) particle scattering, i.e. as a quantum effective action, of a chiral perturbation theory type. Then we can fix the parameters from experiments, in terms of $f_\pi$ and $m_\pi$. We find a relation between the parameters of the theory and its thermodynamics, and together with fixing the parameters in terms of $f_\pi$ and $m_\pi$, we will find a prediction for the QGP thermodynamics.

The paper is organized as follows. In section 2 I review the Heisenberg model for nucleon-nucleon scattering, and in section 3 I review relativistic hydrodynamics.
In section 4 I present the hydrodynamics of the DBI scalar, first considering the subtleties of the free massless scalar in section 4.1, then describing the ideal DBI hydrodynamics in section 4.2. In section 4.3 I introduce the viscous term, in section 4.3 I show that it regulates the behaviour near the shock, and in section 5 I conclude.

2 Heisenberg model

Heisenberg considered that at high enough energy, where the Froissart saturation regime dominates, the nonperturbative physics is well described by a DBI scalar action with a mass term inside the square root,

$$S_{DBI} = -\beta^{-2} \int d^4x \left[ \sqrt{1 + \beta^2[(\partial_\mu \phi)^2 + m^2 \phi^2]} - 1 \right].$$

(2.1)

In collisions of hadrons at high enough energy, one can consider that the hadrons and the pion field around them are Lorentz contracted, appearing as a shockwave in the limit. Thus effectively, one can consider collisions of pion field shockwaves described by the DBI scalar.

To describe the shockwave, one considers a simple 1+1 dimensional model, with a spatial coordinate $x$ and temporal coordinate $t$. Relativistic invariance (and the fact that the shockwave in this ultrarelativistic regime moves at the speed of light) dictates the dependence $\phi = \phi(s)$ only, with

$$s = t^2 - x^2$$

(2.2)

and no dependence on the transverse coordinates $y, z$. Note that just relativistic invariance would imply dependence on both $x^+ = t + x$ and $x^- = t - x$, but adding the fact that $x^- = 0$ or $x^+ = 0$ must be the location of the shock in every Lorentz frame uniquely selects the dependence on $x^+x^- = s$.

The equations of motion of (2.1) are

$$\partial_\mu \frac{\partial_\nu \phi}{\sqrt{1 + \beta^2[(\partial_\mu \phi)^2 + m^2 \phi^2]}} - \frac{m^2 \phi}{\sqrt{1 + \beta^2[(\partial_\mu \phi)^2 + m^2 \phi^2]}} = 0 \Rightarrow$$

$$-\Box \Phi + m^2 \phi + \beta^2 \frac{(\partial_\mu \partial_\nu \phi)(\partial_\nu \phi)\partial_\mu \phi}{1 + \beta^2[(\partial_\mu \phi)^2 + m^2 \phi^2]} = 0.$$  \hspace{1cm} (2.3)

On the ansatz $\phi = \phi(s)$, we have

$$(\partial_\mu \phi)^2 = -4d \left( \frac{d\phi}{ds} \right)^2; \quad \Box \phi = -4 \frac{d}{ds} \left( s \frac{d\phi}{ds} \right),$$  \hspace{1cm} (2.4)
so the Lagrangean on the solution becomes

\[ \mathcal{L} = -\beta^{-2} \left[ \sqrt{1 + \beta^2 \left[ -4s \left( \frac{d\phi}{ds} \right)^2 + m^2 \phi^2 \right]} - 1 \right], \tag{2.5} \]

and the equation of motion becomes, after cancelling some terms and rewriting,

\[ 4 \frac{d}{ds} \left( s \frac{d\phi}{ds} \right) + m^2 \phi = 8s \beta^2 \left( \frac{d\phi}{ds} \right)^2 \frac{d\phi}{ds} + m^2 \phi \frac{1}{1 + \beta^2 m^2 \phi^2}. \tag{2.6} \]

The perturbative solution at small \( s \) is, independent on whether \( m = 0 \) or not,

\[ \phi \approx \sqrt{s} \beta + ... \tag{2.7} \]

Then \( \phi'(s) \to \infty \) at \( s \to 0 \), and

\[ \beta^2 (\partial_\mu \phi)^2 \to -1, \tag{2.8} \]

which means that the square root in the DBI action goes to zero.

Moreover, the usual energy-momentum tensor defined by coupling to gravity (the Belinfante tensor) of the DBI scalar is

\[ T^B_{\mu\nu} = -\frac{2}{\sqrt{-g}} \frac{\delta S}{\delta g^{\mu\nu}} = \partial_\mu \phi \partial_\nu \phi - g_{\mu\nu} (\partial_\rho \phi)^2 - g_{\mu\nu} \beta^{-2} (1 + \beta^2 m^2 \phi^2) \frac{\delta S}{\delta g^{\mu\nu}} + g_{\mu\nu} \beta^2, \tag{2.9} \]

so we see that the energy density \( T_{00} \) goes to infinity at the shock, since the square root vanishes there.

As far as Heisenberg’s model for the saturation of the Froissart bound goes, the value of \( \beta \) cannot be fixed by experiments. It is also hard to see how we could fix the parameter from the hydrodynamics description in section 4. But if we also consider the model as a chiral perturbation theory model for the pion, we can. Indeed then, expanding the square root to second order, we get

\[ S_{\text{DBI}} = \int d^4x \left[ -\frac{1}{2} (\partial_\mu \phi)^2 - \frac{1}{2} m^2 \phi^2 + \frac{b^2}{8} [m^4 \phi^4 + 2m^2 \phi^2 (\partial_\mu \phi)^2 + ((\partial_\mu \phi)^2)^2] \right] + ... \tag{2.10} \]

But in general, the coefficient of the \( \phi^2 (\partial_\mu \phi)^2 \) term is \( f_\pi^{-2}/6 \), so we identify

\[ \beta = \frac{\sqrt{2}}{\sqrt{3} m_\pi f_\pi} \simeq \frac{1}{(126 \text{MeV})^2}, \tag{2.11} \]

since \( m_\pi \simeq 140 \text{MeV} \) and \( f_\pi \simeq 93 \text{MeV} \). Of course, the parameter \( m \) in the DBI action is identified with \( m_\pi \simeq 140 \text{MeV} \).
3 Relativistic hydrodynamics

In relativistic hydrodynamics, for an isotropic fluid with energy density $\rho$ and pressure $P$, one expands the energy momentum tensor in terms of derivatives acting on the 4-velocity $u^\mu$ as

$$
T_{\mu\nu} = \rho u_\mu u_\nu + P(g_{\mu\nu} + u_\mu u_\nu) + \pi_{\mu\nu}
$$

$$
\pi_{\mu\nu} = -2\eta \left[ \frac{\nabla_\mu u_\nu + \nabla_\nu u_\mu}{2} + \frac{a_\mu u_\nu + a_\nu u_\mu}{2} - \frac{1}{3}(\nabla^\rho u_\rho)(g_{\mu\nu} + u_\mu u_\nu) \right]
- \zeta (\nabla^\mu u_\mu)(g_{\mu\nu} + u_\mu u_\nu) + \ldots,
$$

(3.1)

where $a_\mu = u^\alpha \nabla_\alpha u_\mu$, the expansion was written only up to first order in derivatives, was written in the Landau frame $u^\mu \pi_{\mu\nu} = 0$, and $\eta$ is the shear viscosity, and $\zeta$ is the bulk viscosity.

The equation of motion of the fluid, the equivalent of the Navier-Stokes equation at the relativistic level, is given by substituting the expansion into the conservation equation

$$
\partial^\mu T_{\mu\nu} = 0,
$$

(3.2)

giving at zeroth order

$$
\rho \partial_t \vec{v} + \rho (\vec{v} \cdot \vec{\nabla}) \vec{v} + \vec{\nabla} P = 0
$$

(3.3)

It is easy to see that in the nonrelativistic limit $u^\mu \simeq (1, \vec{v})$, $P \ll \rho$, separating the equation into $\nu = 0$ and $\nu = i$ components and after some algebra, we obtain the usual nonrelativistic equations, Euler’s equation and the continuity equation, respectively;

$$
\rho \frac{\partial \vec{v}}{\partial t} + \rho (\vec{v} \cdot \vec{\nabla}) \vec{v} + \vec{\nabla} P = 0
$$

(3.4)

$$
\partial_t \rho + \vec{\nabla} \cdot (\rho \vec{v}) = 0.
$$

At next order, we obtain a relativistic generalization of the Navier-Stokes equation,

$$
u_\mu u_\nu \partial^\mu (\rho + P) + (\rho + P)(\partial^\mu u_\mu)u_\nu + (\rho + P)u_\mu \partial^\mu u_\nu + \partial_\nu P = 0.
$$

(3.5)

which in the nonrelativistic limit gives the Navier-Stokes equation instead of Euler’s equation,

$$
\rho \frac{\partial \vec{v}}{\partial t} + \rho (\vec{v} \cdot \vec{\nabla}) \vec{v} + \vec{\nabla} P = \eta \Delta \vec{v} + \left( \zeta - \frac{2\eta}{3} \right) \vec{\nabla} (\vec{\nabla} \cdot \vec{v}).
$$

(3.6)
We are especially interested in conformal fluids, i.e. fluids for conformal invariant systems. Conformal invariance means we should be able to find a traceless energy-momentum tensor, and in particular for the above energy-momentum tensor, \( T_\mu^\mu = 0 \) leads to
\[
\rho = 3P; \quad \zeta = 0. \tag{3.7}
\]

But there is a subtlety. Only in \( d = 2 \) does conformal invariance imply a traceless Belinfante tensor, i.e. the standard energy-momentum tensor obtained by coupling to gravity. Indeed, only there conformal invariance implies Weyl invariance, which leads to a traceless Belinfante tensor.

In \( d = 4 \), that is no longer true. In fact, for the simplest conformally invariant object we can think of, a free massless scalar field, the Belinfante tensor is not traceless,
\[
S = \int d^4x \left[ -\frac{1}{2}(\partial_\mu \phi)^2 \right] \Rightarrow \\
T_{B\mu\nu} = -\frac{2}{\sqrt{-g}} \frac{\delta S}{\delta g^{\mu\nu}} = \partial_\mu \phi \partial_\nu \phi - \frac{1}{2} g_{\mu\nu} [(\partial_\rho \phi)^2] \Rightarrow \\
T_{B\mu}^{\mu} = \frac{2 - d}{2} (\partial_\rho \phi)^2. \tag{3.8}
\]

But that is easily fixed. One can use the Noether ambiguity, that one can always add the divergence of a current to the energy-momentum tensor without changing the conservation law \( \partial^\mu T_{\mu\nu} = 0 \),
\[
T_{\mu\nu} \rightarrow T_{\mu\nu} + \partial_\lambda J^{\mu\nu\lambda}, \tag{3.9}
\]
where \( J^{\mu\nu\lambda} = -J^{\lambda\nu\mu} \), to define an improved energy-momentum tensor
\[
T_{\mu\nu}^{I} = T_{B\mu\nu} - \frac{1}{6} (\partial_\rho \partial_\nu - g_{\mu\nu} \partial^2) \phi^2, \tag{3.10}
\]
that is now traceless on-shell,
\[
T_{I\mu}^{\mu} = T_{B\mu}^{\mu} + (\partial_\mu \phi)^2 + \phi \partial^2 \phi, \tag{3.11}
\]
so when using the equation of motion \( \partial^2 \phi = 0 \), we obtain zero.

So, if we want to derive an (approximately) conformal fluid out of an (approximately) conformally invariant field theory, we must use an improved energy-momentum tensor, not the Belinfante tensor.

### 4 DBI scalar hydrodynamics

We want to understand the approximately ideal fluid that is created in heavy ion collisions: the state of matter dubbed strongly-coupled Quark-Gluon Plasma (sQGP).
is known to be described by an approximately ideal hydrodynamics, with \( \frac{\eta}{s} \sim \frac{1}{4\pi} \) (which was thought for a time to be the absolute minimum value the ratio can have, for any system), i.e. with a very small shear viscosity, as well as being approximately conformal, i.e. \( P = \rho/3 \) at leading order, perhaps also with vanishing bulk viscosity at the next order, \( \zeta \simeq 0 \), though recently it has been argued that perhaps the bulk viscosity is of the same order as the shear viscosity \([25]\). We will see that we are led to naturally have \( \zeta \sim \eta \), more specifically \( \zeta = 2\eta/3 \).

This state is created in high energy collisions in the high multiplicity regime (creation of many pions) responsible for the saturation of the Froissart bound for \( \sigma_{\text{tot}}(\bar{s}) \). But in this regime the Heisenberg model works very well, so we are led to believe that the approximately ideal fluid hydrodynamics should be also described by it.

Of course, we have presented only a very simple one-dimensional model, with a shock propagating on a null line, \( s = 0 \), so we will not be able to extract too much information from it.

The first question to ask is, if the scalar \( \phi(s) \) describes a fluid, how to define the 4-velocity \( u^\mu \)? The first hint comes from the fact that for an irrotational nonrelativistic flow, one can find a potential \( \Phi \) such that \( \vec{v} = \vec{\nabla} \Phi \). The second hint comes from the fact that, if we expand in momenta the scalar field,

\[
\phi(x) = \int d^4k e^{ik \cdot x} \phi(k) \Rightarrow \partial^\mu \phi \sim ik^\mu \phi ,
\]

so for \( \phi(k) \) peaked on a single value, we would have the 4-velocity of the field be \( u^\mu = k^\mu / m \propto \partial^\mu \phi \). We therefore define the 4-velocity in general as

\[
u^\mu = \frac{\partial^\mu \phi}{\sqrt{-\left(\partial^\mu \phi\right)^2}} ,
\]

which has the desired property that \( u^\mu u_\mu = -1 \), provided that \( (\partial_\mu \phi)^2 < 0 \). This is however correct on the shock solution \( \phi(s) \), as we have already seen.

Note that this definition is consistent with, and thus a generalization of, the usual definition for the density and pressure of a canonically normalized scalar field with a potential, \( S = \int d^4x \sqrt{-g} [\frac{1}{2} (\partial_\mu \phi)^2 - V(\phi)] \), in the case when the field is only time-dependent, \( \phi = \phi(t) \). Our definition implies then \( u^\mu = (1, 0, 0, 0) \), and thus \( T_{\mu\nu} = \text{diag}(\rho, p, p, p) \), i.e. a homogeneous isotropic ideal fluid, and on the other hand from the Belinfante tensor one obtains

\[
\rho_\phi = \frac{1}{2} \dot{\phi}^2 + V(\phi) ; \quad p_\phi = \frac{1}{2} \dot{\phi}^2 - V(\phi) ,
\]

and the other components of \( T_{\mu\nu} \) are zero, consistent with our definition. We can therefore think of our definition as the natural relativistic generalization of this standard case.
4.1 Free massless scalar

But we want to recover a conformal fluid for the (conformal) case of the free massless scalar field, so we must use an improved energy-momentum tensor. If we use the improved energy-momentum tensor (3.10), which gives on-shell the traceless result

\[ T'_{\mu\nu} = \frac{2}{3} \partial_\mu \phi \partial_\nu \phi - \frac{1}{6} g_{\mu\nu} (\partial_\rho \phi)^2 - \frac{\phi}{3} \left( \partial_\mu \partial_\nu \phi - \frac{1}{4} g_{\mu\nu} \partial^2 \phi \right), \]  

(4.4)

then the first two terms can be identified with an ideal fluid term, and the second with a shear viscosity term (the first order term in the derivative expansion). This gives the ideal fluid parameters

\[ P + \rho = -\frac{2}{3} (\partial_\mu \phi)^2 \]

\[ P = -\frac{1}{6} (\partial_\mu \phi)^2, \]

(4.5)

which leads to

\[ \rho = - \frac{1}{2} (\partial_\mu \phi)^2 \]

\[ P = -\frac{1}{6} (\partial_\mu \phi)^2, \]

(4.6)

satisfying the conformal fluid relation \( P = \rho/3 \). Moreover, if we consider a solution \( \phi = \phi(s) \), which for a massless scalar implies

\[ -4 \frac{d}{ds} \left( s \frac{d\phi}{ds} \right) = 0 \Rightarrow \phi(s) = C \log s, \]

(4.7)

then \((\partial_\mu \phi)^2 = -4s\phi'^2 < 0\), so \( \rho > 0 \), \( P > 0 \). In turn, that means that

\[ (\partial_\mu \phi)^2 = -\frac{4C^2}{s} \rightarrow -\infty \quad \text{as} \quad s \rightarrow 0, \]

(4.8)

so the energy density blows up at the shock, \( \rho \rightarrow \infty \).

We can also extract information about the next order in the expansion. We first note that

\[ \partial_\mu u_\nu = -\frac{1}{\sqrt{- (\partial \phi)^2}} \left[ \partial_\mu \partial_\nu \phi - \partial_\nu \phi \frac{(\partial_\mu \partial^\rho \phi)(\partial_\rho \phi)}{(\partial_\lambda \phi)^2} \right], \]

(4.9)

so considering the term with two derivatives on \( \phi \) in the energy-momentum tensor as the viscous term \( \pi^{(1)}_{\mu\nu} \), we find the shear viscosity as

\[ 2\eta = \frac{\phi}{3} \sqrt{- (\partial_\lambda \phi)^2}, \]

(4.10)
and $\zeta = 0$ as wanted for a conformal fluid. Then $\eta$ is finite as $s \to 0$, however

$$\frac{2\eta}{\rho + P} = \frac{\phi}{2\sqrt{-(\partial_\lambda \phi)^2}} \to 0 \text{ as } s \to 0.$$

(4.11)

Note that we described the whole fluid as a function of $\phi$, with $u^\mu \propto \partial^\mu \phi$ and $\rho \propto (\partial_\mu \phi)^2$, but $u^\mu$ is normalized, whereas $\rho$ is given by the norm of $(\partial_\mu \phi)$, so they are independent quantities. Also note that (4.11) implies $\eta/s \to 0$, so the resulting fluid is ideal.

### 4.2 Ideal DBI hydrodynamics

We now want to generalize the previous subsection to the case of the DBI model. As we saw, we cannot use the Belinfante tensor (2.9) for hydrodynamics, since it does not become conformal in the free massless limit. We need to find an improved energy-momentum tensor that would reduce on-shell to the conformal tensor in the free massless limit.

For simplicity, we will first consider the $m \to 0$ limit, and we will then add the mass, considered as a perturbation. By comparison with the free massless scalar, we are led to choose the improved tensor

$$T^I_{\mu\nu} = T^B_{\mu\nu} + \partial^\rho J_{\rho\mu\nu}$$

$$J_{\rho\mu\nu} = \frac{1}{6} \frac{(g_{\mu\nu}\partial_\rho - g_{\mu\rho}\partial_\nu)\phi^2}{\sqrt{1 + \beta^2(\partial_\lambda \phi)^2}}.$$  

(4.12)

Then we see that it can be rewritten as

$$T^I_{\mu\nu} = \frac{2}{3} \frac{(\partial_\mu \phi \partial_\nu \phi - g_{\mu\nu}(\partial_\rho \phi)^2) - g_{\mu\nu}\beta^{-2}}{\sqrt{1 + \beta^2(\partial_\lambda \phi)^2}} + \frac{g_{\mu\nu}}{\beta^2}$$

$$- \frac{\phi}{3\sqrt{1 + \beta^2(\partial_\lambda \phi)^2}} \left( \partial_\nu \partial_\mu \phi - \frac{\beta^2 \partial_\nu \phi (\partial_\mu \phi) \partial_\rho \phi}{1 + \beta^2(\partial_\lambda \phi)^2} \right)$$

$$+ \frac{g_{\mu\nu}}{3} \frac{\partial_\rho \phi}{\sqrt{1 + \beta^2(\partial_\lambda \phi)^2}},$$

(4.13)

and by using the equation of motion

$$\partial_\rho \frac{\partial_\rho \phi}{\sqrt{1 + \beta^2(\partial_\lambda \phi)^2}} = 0,$$

(4.14)

we obtain the result,

$$T^I_{\mu\nu} = \frac{2}{3} \frac{(\partial_\mu \phi \partial_\nu \phi - g_{\mu\nu}(\partial_\rho \phi)^2) - g_{\mu\nu}\beta^{-2}}{\sqrt{1 + \beta^2(\partial_\lambda \phi)^2}} + \frac{g_{\mu\nu}}{\beta^2}.$$
\[-\frac{\phi}{3\sqrt{1+\beta^2(\partial_\lambda\phi)^2}} \left( \partial_\mu\partial_\nu\phi - \frac{\beta^2\partial_\nu\phi(\partial_\mu\partial_\rho\phi)\partial_\rho\phi}{1+\beta^2(\partial_\lambda\phi)^2} \right). \tag{4.15}\]

This result is easily seen to be traceless in the \(\beta \to 0\) limit.

We now make the same identification of the 4-velocity \(u^\mu\), and for the same reasons as in the free case,

\[u^\mu = \frac{\partial_\mu \phi}{\sqrt{-\left(\partial_\rho \phi\right)^2}}. \tag{4.16}\]

Now additionally, we note that near \(s = 0\), as we have seen \(\beta^2(\partial_\rho\phi)^2 \to -1\), so

\[u^\mu \to \beta^2 \partial_\mu \phi. \tag{4.17}\]

We can then identify in the improved tensor (4.15) the first line as the ideal fluid tensor, and the second as a shear viscosity contribution. Then we obtain

\[P + \rho = -\frac{2(\partial_\lambda\phi)^2}{3\sqrt{1+\beta^2(\partial_\mu\phi)^2}} \]

\[P = \frac{1}{\beta^2}(1 - \sqrt{1 + \beta^2(\partial_\lambda\phi)^2}) + \frac{(\partial_\rho\phi)^2}{3\sqrt{1 + \beta^2(\partial_\lambda\phi)^2}}, \tag{4.18}\]

so the energy density and pressure are

\[\rho = \frac{1}{\beta^2\sqrt{1 + \beta^2(\partial_\lambda\phi)^2}} - \frac{1}{\beta^2}\]

\[P = \frac{1}{\beta^2}(1 - \sqrt{1 + \beta^2(\partial_\lambda\phi)^2}) + \frac{(\partial_\rho\phi)^2}{3\sqrt{1 + \beta^2(\partial_\lambda\phi)^2}}, \tag{4.19}\]

which leads at the shock, where \(\beta^2(\partial_\mu\phi)^2 \to -1\), to

\[\frac{3P + \rho}{\rho} \to 0. \tag{4.20}\]

But that is the opposite of tracelessness, \(P \simeq -\rho/3\).

However, if we abandon the requirement of tracelessness in the \(\beta \to 0\) limit, we can choose instead the energy-momentum tensor with the opposite sign for \(J_{\mu\nu\lambda}\),

\[J_{\mu\nu} = -\frac{1}{6} \frac{(g_{\mu\nu}\partial_\rho - g_{\mu\rho}\partial_\nu)\phi^2}{\sqrt{1 + \beta^2(\partial_\lambda\phi)^2}}, \tag{4.21}\]

which leads after the use of the equations of motion to the improved tensor

\[T^I_{\mu\nu} = \frac{4}{3}(\partial_\phi\phi \partial_\nu\phi - g_{\mu\nu}(\partial_\rho\phi)^2) - g_{\mu\nu}\beta^{-2} \frac{\sqrt{1 + \beta^2(\partial_\lambda\phi)^2}}{\beta^2} + g_{\mu\nu} \frac{\beta^2}{\beta^2}\]

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\begin{equation}
\frac{\phi}{3 \sqrt{1 + \beta^2(\partial_\lambda)^2}} \left( \partial_\mu \partial_\nu \phi - \frac{\beta^2 \partial_\mu \phi (\partial_\nu \partial^\rho \phi) \partial_\rho \phi}{1 + \beta^2 (\partial_\lambda \phi)^2} \right). \tag{4.22}
\end{equation}

From it, we extract the energy and pressure
\begin{align*}
\rho & = \frac{1}{\beta^2 \sqrt{1 + \beta^2 (\partial_\lambda \phi)^2}} - \frac{1}{\beta^2}, \\
P & = \frac{1}{\beta^2} \left( 1 - \sqrt{1 + \beta^2 (\partial_\lambda \phi)^2} \right) - \frac{(\partial_\mu \phi)^2}{3 \sqrt{1 + \beta^2 (\partial_\lambda \phi)^2}}, \tag{4.23}
\end{align*}
which now near the shock, when $\beta^2 (\partial_\mu \phi)^2 \to -1$, obey the conformal relation
\begin{equation}
3P \simeq \rho \simeq \frac{1}{\beta^2 \sqrt{1 + \beta^2 (\partial_\mu \phi)^2}} \to \infty. \tag{4.24}
\end{equation}

The justification for this choice of improved tensor is that the system at $s \to 0$, the shock, corresponds to an ultrarelativistic particle source, moving on $s = 0$, and its energy-momentum tensor should be therefore conformal (it has energies $\gg \beta^{-1/2}$).

Then the last term in the improved energy-momentum tensor is identified, as in the last subsection, with a shear viscosity contribution. Isolating the symmetric part of $\partial_\mu u_\nu$, we find the shear viscosity
\begin{equation}
\eta = \frac{\phi \sqrt{- (\partial_\lambda \phi)^2}}{3 \sqrt{1 + \beta^2 (\partial_\mu \phi)^2}}, \tag{4.25}
\end{equation}
and considering that near the shock $\phi \propto \sqrt{s} \to 0$, we have
\begin{equation}
\frac{\eta}{\rho + P} = \frac{\phi}{4 \sqrt{- (\partial_\lambda \phi)^2}} \to 0, \tag{4.26}
\end{equation}
therefore the DBI model really describes an ideal hydrodynamics.

The extension of the analysis here to the case of a mass term in the DBI action can be done straightforwardly.

Introducing the mass, and considering the improved energy-momentum tensor
\begin{align*}
T^I_{\mu \nu} & = T^B_{\mu \nu} + \partial^\rho J_{\rho \mu \nu} \\
J_{\rho \mu \nu} & = \frac{1}{6} \left[ (g_{\mu \nu} \partial_\rho g - g_{\mu \rho} \partial_\nu g)^2 \right], \tag{4.27}
\end{align*}
it can be rewritten as
\begin{align*}
T^I_{\mu \nu} & = \frac{2}{3} \left[ (\partial_\mu \phi \partial_\nu \phi - g_{\mu \nu} (\partial_\rho \phi)^2) - g_{\mu \nu} \beta^2 (1 + \beta^2 m^2 \phi^2) \right] \frac{1}{\sqrt{1 + \beta^2 (\partial_\lambda \phi)^2 + m^2 \phi^2}} + \frac{g_{\mu \nu}}{\beta^2},
\end{align*}
We can finally introduce a finite viscosity in the model, by adding a term inside the square root in the DBI action. We note that the term proportional to its variation under $g$ when inside a Lagrangean generates a term in the energy-momentum tensor proportional to its variation under $g_{\mu \nu}$, i.e. to

$$\partial_\mu \partial_\nu \phi - 2 \frac{(\partial_\mu \phi)(\partial_\nu \partial_\rho \phi)\partial^\rho \phi}{(\partial_\lambda \phi)^2} + (\partial_\mu \phi)(\partial_\nu \phi) \frac{(\partial_\rho \phi)(\partial^\rho \phi)(\partial_\sigma \phi)}{[(\partial_\lambda \phi)^2]^2}. \quad (4.32)$$

On the other hand,

$$\sqrt{- (\partial_\lambda \phi)^2} u_\mu = \sqrt{- (\partial_\lambda \phi)^2} \partial^\mu \left[ \frac{\partial_\mu \phi}{\sqrt{- (\partial_\lambda \phi)^2}} \right] = \partial^\mu \phi - \frac{(\partial_\mu \phi)(\partial_\nu \partial_\rho \phi)\partial^\rho \phi}{(\partial_\lambda \phi)^2} \quad (4.31)$$

when inside a Lagrangean generates a term in the energy-momentum tensor proportional to its variation under $g^{\mu \nu}$, i.e. to

$$\partial_\mu \partial_\nu \phi - 2 \frac{(\partial_\mu \phi)(\partial_\nu \partial_\rho \phi)\partial^\rho \phi}{(\partial_\lambda \phi)^2} + (\partial_\mu \phi)(\partial_\nu \phi) \frac{(\partial_\rho \phi)(\partial^\rho \phi)(\partial_\sigma \phi)}{[(\partial_\lambda \phi)^2]^2}. \quad (4.32)$$

The same arguments apply as in the last subsection. The fluid is described in terms of $\phi$ only, but $u_\mu$ is defined in terms of the normalized $\partial_\mu \phi$, and $\rho$ and $P$ in terms of its norm. In order to introduce a nonzero viscosity at the shock, we need to introduce second derivatives of $\phi$ in the action.

### 4.3 Viscous DBI hydrodynamics

We can finally introduce a finite viscosity in the model, by adding a term inside the square root in the DBI action. We note that the term

$$\sqrt{- (\partial_\lambda \phi)^2} \partial^\mu u_\mu = \sqrt{- (\partial_\lambda \phi)^2} \partial^\mu \left[ \frac{\partial_\mu \phi}{\sqrt{- (\partial_\lambda \phi)^2}} \right] = \partial^\mu \phi - \frac{(\partial_\mu \phi)(\partial_\nu \partial_\rho \phi)\partial^\rho \phi}{(\partial_\lambda \phi)^2} \quad (4.31)$$

By using the equation of motion

$$\frac{\partial_\mu \phi}{\sqrt{1 + \beta^2(\partial_\lambda \phi)^2 + m^2 \phi^2}} - m^2 \frac{\phi}{\sqrt{1 + \beta^2(\partial_\lambda \phi)^2 + m^2 \phi^2}} = 0, \quad (4.29)$$

we obtain the result

$$T^\mu_{\nu} = \frac{2}{3} \left[ \frac{\partial_\mu \phi \partial_\nu \phi - g_{\mu \nu}(\partial_\rho \phi)^2}{\sqrt{1 + \beta^2(\partial_\lambda \phi)^2 + m^2 \phi^2}} - g_{\mu \nu} \beta^{-2} \left( 1 - \frac{2}{3} \beta^2 m^2 \phi^2 \right) \right] \frac{\phi}{\sqrt{1 + \beta^2(\partial_\lambda \phi)^2 + m^2 \phi^2}} \left( \partial_\mu \partial_\nu \phi - \frac{\beta^2(\partial_\mu \partial_\rho \phi)\partial_\nu \phi + m^2 \phi \partial_\mu \partial_\nu \phi}{1 + \beta^2(\partial_\lambda \phi)^2 + m^2 \phi^2} \right). \quad (4.30)$$
so the term in the energy-momentum tensor coming from the variation of (4.31) is proportional to
\[
\sqrt{-\left(\partial_\lambda \phi\right)^2} \left[ \frac{\partial_\mu u_\nu + \partial_\nu u_\mu}{2} + \frac{a_\mu u_\nu + a_\nu u_\mu}{2} \right],
\]
leading to the correct \( \pi_{\mu \nu}^{(1)} \), with a nonzero \( \eta \), and \( \zeta - 2\eta/3 = 0 \).

On the \( \phi = \phi(s) \) solution, we find
\[
\partial^2 \phi = -4 \frac{d}{ds} \left( s \frac{d\phi}{ds} \right),
\]
\[
(\partial_\mu \phi)(\partial_\rho \phi)\partial_\nu \phi = 8 s \phi'^2 (2 s \phi'' + \phi')
\]
\[
\partial^2 \phi - \frac{(\partial_\mu \phi)(\partial_\rho \phi)(\partial_\nu \phi)}{(\partial_\lambda \phi)^2} = -2 \phi',
\]
and moreover, on the solution near \( s = 0 \), \( \phi(s) \simeq \beta^{-1} \sqrt{s} \), we have \( \beta^2 (\partial_\lambda \phi)^2 \to -1 \).

We can consider therefore the modified DBI action
\[
S = -\beta^{-2} \int d^4 x \left[ \sqrt{1 + \beta^2 \left[ (\partial \phi)^2 + m^2 \phi^2 \right] + \alpha \left[ \partial^2 \phi - \frac{(\partial_\mu \phi)(\partial_\rho \phi)(\partial_\nu \phi)}{(\partial_\lambda \phi)^2} \right]} - 1 \right],
\]
which on the \( \phi = \phi(s) \) solution becomes
\[
S = -\beta^{-2} \int d^4 x \left[ \sqrt{1 + \beta^2 \left( -4 s \left( \frac{d\phi}{ds} \right)^2 + m^2 \phi^2 \right) + \alpha \left( -2 \frac{d\phi}{ds} \right) - 1} \right],
\]
and it will give a shear viscosity of
\[
2\eta = \frac{\alpha \sqrt{-\left(\partial_\lambda \phi\right)^2}}{\beta^2 \sqrt{1 + \beta^2 \left[ (\partial \phi)^2 + m^2 \phi^2 \right] + \alpha \left[ \partial^2 \phi - \frac{(\partial_\mu \phi)(\partial_\rho \phi)(\partial_\nu \phi)}{(\partial_\lambda \phi)^2} \right]}} \to \infty,
\]
which blows up near the shock, together with a nonzero bulk viscosity \( \zeta = 2\eta/3 \).

The resulting fluid will, of course, satisfy the relativistic Navier-Stokes equation (3.5).

But it doesn’t matter that the shear viscosity is infinite, since only the ratio \( \eta/s \), with \( s \) the entropy density, matters, and \( s \) itself is infinite near the shock. From the thermodynamic relation \( U + PV - TS = 0 \), we obtain that
\[
\rho + P = Ts \Rightarrow \frac{\eta}{s} = \frac{\eta T}{\rho + P},
\]
and we have seen in the previous subsection that \( \eta/(\rho + P) \to 0 \) in the case of the pure DBI action. With the extra term, we obtain
\[
\frac{\eta}{s} = T \frac{\alpha}{\beta^2 \sqrt{-\left(\partial_\lambda \phi\right)^2}} \to T \frac{\alpha}{\beta},
\]
i.e. a finite result. Note that here $T$ is the temperature of the (fluid) fireball. Moreover, we see that we obtain the relation

$$\frac{1}{T} \frac{\eta}{s} = \frac{\alpha}{\beta^2} \quad (4.41)$$

that relates the thermodynamic quantities to the parameters in the action.

It remains to fix the parameter $\alpha$ from experiments. It would be ideal to determine it from the hydrodynamics description, but as in the case of the parameter $\beta$, it is not clear how to do that. Instead, one must look again at the action as an effective action in a chiral perturbation theory, and think of using usual scattering experiments.

Since for small $\phi$ it generates a term in the Lagrangean expanded in perturbation theory of

$$\sim \frac{\alpha^2}{\beta^2} (\partial^2 \phi)^2 \sim \frac{\alpha^2}{\beta^2} m_\pi^4 \phi^2, \quad (4.42)$$

where we have used that $\partial^2 \phi \sim m_\pi^2 \phi$ for small $\phi$ (this is the free equation of motion), we expect

$$\frac{\alpha^2 m_\pi^2}{\beta^2} \sim 1 \Rightarrow \alpha \sim \frac{\beta}{m_\pi} = \frac{\sqrt{2}}{\sqrt{3} f_\pi m_\pi^2}. \quad (4.43)$$

Note that this relation is only in order of magnitude, since we already have a mass term in the action, but this term would be of the same order. Moreover, from this together with (4.41), we obtain the condition

$$T \sim m_\pi \frac{\eta}{s} \propto m_\pi, \quad (4.44)$$

since experimentally $\eta/s$ is close to the universal value of $1/(4\pi)$ valid for most models which have a AdS/CFT gravity dual with a black hole, hence can be considered constant. It is interesting that the same relation $T \propto m_\pi$ was obtained in [26]. Note however that numerically, in order to match the experimentally measured value of the temperature $T$, one must have something very close to the relation conjectured in [26], namely $T \approx 4m_\pi / \pi$, leading from (4.41) to

$$\alpha \approx \frac{\beta}{(16m_\pi)} \quad (4.45)$$

instead.

4.4 Viscosity as regulator of the shock

The equation of motion of the action (4.37) is (after making some relevant cancellations)

$$4 \frac{d}{ds} \left( s \frac{d\phi}{ds} \right) + m^2 \phi = \frac{8 \beta^2 s \phi'^2 (\phi' + m^2 \phi) + 3 \alpha \phi'^2 (2\phi' + m^2 \phi) - \alpha^2 \beta^{-2} \phi''}{1 + \beta^2 m^2 \phi}. \quad (4.46)$$
We can check that now the solution $\phi(s) \simeq \beta^{-1}\sqrt{s}$ is not valid anymore as $s \to 0$, which is not surprising, since the new term added to the action will dominate at the highest energies, or equivalently at the shortest distances; neither is a general power law $As^\alpha$ a solution. The solution

$$\phi \simeq \frac{\alpha(\sqrt{s} - 3)}{4\beta^2} \ln s$$

(4.47)

would work, but cannot be made to be continuous with $\phi =$constant at $s < 0$. Instead, a Taylor expanded solution

$$\phi(s) \simeq A + Bs + Cs^2 + \ldots$$

(4.48)

can, and leads to the condition

$$4B + m^2A = \frac{3\alpha B(2B + m^2A) - 2\alpha^2\beta^{-2}C}{1 + \beta^2m^2A^2}$$

(4.49)

that fixes $C$ in terms of $A$ and $B$. This solution is valid as long as

$$\sqrt{s} \ll \frac{3\alpha}{4\beta} \sim \frac{1}{m_\pi},$$

(4.50)

which is the condition that the term with $\alpha$ in the equation of motion (4.46) dominates over the left-hand side for the $\sqrt{s}/\beta$ solution, so it would seem to replace the solution $\phi \simeq \beta^{-1}\sqrt{s}$ valid at $\alpha = 0$. But if we have (4.45), we would in fact have a window of $\sqrt{s}$,

$$\frac{3\alpha}{4\beta} \ll \sqrt{s} \ll \frac{1}{m_\pi}$$

(4.51)

where the solution $\phi \simeq \sqrt{s}/\beta$ would still be valid.

Note then that the introduction of the extra term in the square root in the action has the effect of modifying the solutions at the highest energies, or the smallest distances, which gives a regularization of the behaviour of the energy density, since now

$$\beta^2(\partial_\mu \phi)^2 = -\beta^24s\phi^2 \simeq -4\beta^2sB^2 \to 0,$$

(4.52)

which is still negative, but very small, so the $1/\sqrt{1 + \ldots}$ factor in the energy density is now finite, as opposed to the case with $\alpha = 0$, when it became infinite at $s = 0$.

## 5 Conclusions

In this paper I have presented a way to describe the QGP hydrodynamics as arising from a modification of the Heisenberg model for high energy nucleon-nucleon scattering, with a massive DBI action for a scalar pion. The behaviour of the quantum
field theory in the classical regime of high multiplicity, giving a classical field, can be described as a derivative expansion for a fluid velocity variable, i.e. a hydrodynamics expansion. The fluid is well defined near a shock in the (1+1)-dimensional shockwave classical solution. It would be interesting to extend the analysis to a more realistic (3+1)-dimensional shockwave, but we leave that for future work.

The DBI model gives a relativistic, ideal and approximately conformal fluid near the shock, but one can introduce shear viscosity, as well as bulk viscosity, by adding an extra term inside the square root of the DBI action. I have found that the presence of this term regulates the behaviour of the energy density near the shock, making it finite, as required physically. The resulting viscous fluid satisfies the relativistic Navier-Stokes equation. The velocity $u^\mu$ was given by the normalized $\partial^\mu \phi$, $\rho$ and $P$ in terms of the norm of $\partial^\mu \phi$, and to have viscosity we needed the $\partial^2 \phi$ term in the action.

The parameters of the model, $\alpha$ and $\beta$, were not fixed from the hydrodynamics description, but rather by assuming that the action is also valid in low multiplicity scatterings, as a kind of chiral perturbation theory. That fixed $\beta$, and $\alpha$ was fixed only as an order of magnitude, since it generates a term that is degenerate with a mass term already in the Lagrangean. I have then found the thermodynamics relation $\eta/(sT) = \alpha/\beta^2$, which led to $T \propto m_\pi$. It would be very interesting to see if one can fix more precisely $\alpha$ and $\beta$, and thus obtain a precise prediction for $\eta/(sT)$. One should also explore whether there is a way to fix them independently from the hydrodynamics, though as I mentioned, I could find no way.

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