Abstract

Green and Wald have presented a mathematically rigorous framework to study, within general relativity, the effect of small-scale inhomogeneities on the global structure of space-time. The framework relies on the existence of a one-parameter family of metrics that approaches the effective background metric in a certain way. Although it is not necessary to know this family in an exact form to predict properties of the backreaction effect, it would be instructive to find explicit examples. In this paper, we provide the first example of such a family of exact nonvacuum solutions to the Einstein equations. It belongs to the Wainwright-Marshman class and satisfies all of the assumptions of the Green-Wald framework.

1 Introduction

There is an ongoing debate on the effect of small-scale inhomogeneities on the global structure of space-time, i.e., backreaction. This is especially interesting in light of cosmological observations which indicate an accelerated expansion of the Universe. Many approaches to the problem have been proposed. Some of them are mathematically rigorous but hardly tractable. Others are tractable but not mathematically rigorous. The approach presented
by Green and Wald seems to be, in our opinion, a promising line of research. It is based on Burnett’s approach and extends it to nonvacuum space-times. The Burnett scheme is itself a mathematically rigorous version of the Isaacson work.

The Green-Wald framework relies on the existence of a one-parameter family of metrics $g_{ab}(\lambda)$. Such a family depends on a single parameter $\lambda$ and converges uniformly to the background metric $g_{ab}^{(0)} := \lim_{\lambda \to 0} g_{ab}(\lambda)$. However, in contrast to the standard perturbation theory, the derivatives of $[g_{ab}(\lambda) - g_{ab}^{(0)}]$ are only required to be bounded and do not necessarily vanish in the limit $\lambda \to 0$. This may give rise to additional terms that are interpreted as a contribution to the energy-momentum tensor in the Einstein equations for $g_{ab}^{(0)}$. In other words, the background metric $g_{ab}^{(0)}$ does not necessarily solve the Einstein equations with the original energy content. Remaining terms, if any, arise from the averaging of inhomogeneities and may be moved to the right-hand side of the Einstein equations. It has been proved that this additional contribution to the energy-momentum tensor, denoted with $t_{ab}^{(0)}$, is traceless and satisfies the weak energy condition. Hence, the effect of small inhomogeneities reduces to the effect of high-frequency gravitational radiation. This has profound consequences for cosmology. The small-scale inhomogeneities cannot mimic dark energy and cannot be the source of accelerated expansion.

The Green-Wald result contradicts another popular approach to the problem of backreaction (Buchert). The Buchert approach indicates that inhomogeneities may mimic dark energy. Therefore, at least one of these approaches has to be wrong or the application range is different. Objections have been raised that the Green-Wald framework is ultralocal and therefore too restrictive to represent well averaging over finite volumes. However, the issue is far from being settled, and more clarification is needed. In particular, the Green-Wald framework has been invented to treat nonvacuum space-times, but no nonvacuum examples of exact solutions that satisfy Green-Wald assumptions have been presented so far. Our paper fills this gap. To date, only two examples of exact solutions compatible with the Green-Wald framework are available in the literature, and these are vacuum space-times; i.e., the Einstein tensor $G_{ab}[g(\lambda)]$ vanishes for $\lambda > 0$. The first vacuum family was provided by Burnett in his original paper (high-frequency plane waves). The second example of vacuum solutions has been

1Note a misprint in [2]. The factor 2 is missing in the exponents in the metric (1) there.
found recently \[8\] (the polarized vacuum Gowdy space-times on a torus)\[8\]. In this paper, we provide a family of exact nonvacuum solutions to the Einstein equations that satisfies all of the assumptions of the Green-Wald framework.

## 2 Wainwright-Marshman solutions

The Wainwright-Marshman class \[9\] is a stiff fluid family of inhomogeneous nondiagonal solutions to the Einstein equations. It is usually interpreted as cosmological models with gravitational waves. The metric is given by

\[
g = t^{2m}e^n(-dt^2 + dz^2) + t^{1/2}\left[dx^2 + (t + w^2)dy^2 + 2wdx\,dy\right],
\]

where \(t\) is a time coordinate, \(x, y, \) and \(z\) are spatial coordinates

\[
t > 0, \quad -\infty < x, y, z < +\infty,
\]

and \(m\) is a parameter. The symbols \(n\) and \(w\) denote functions of a single null variable \(u = t - z\). The Einstein equations reduce to

\[
n' = (w')^2,
\]

and hence one of the functions \(n = n(u)\) or \(w = w(u)\) may be chosen arbitrarily. The energy-momentum tensor has a form of a perfect fluid energy-momentum tensor with a stiff equation of state \[3\]

\[
\rho = p = \frac{1}{8\pi}(m + 3/16)t^{-2(m+1)}e^{-n}.
\]

The weak energy condition is satisfied for \(m \geq -3/16\). The four-velocity of the fluid has a single nonzero component \(v^t = t^{-m}e^{-n/2}\).

The equation of state \(\rho = p\) implies that the velocity of sound equals the velocity of light; hence, no material in the Universe could be more stiff \[10\]. This kind of fluid was probably first proposed by Zeldovich \[11, 12\] to model the Universe at very high densities just after the big bang. The Wainwright-Marshman solutions are \(A_2\) symmetric according to the Wainwright classification scheme \[13\]. There are two spacelike commuting Killing fields: \(\partial_x\) and \(\partial_y\). The stiff fluid solutions with such a symmetry may be generated from vacuum solutions with the same symmetry \[14\].

\[2\] In Ref. \[8\], one more family of solutions is presented, but it violates the weak energy condition as indicated therein.

\[3\] We use geometrized units \(c = G = 1\).
3 Inhomogeneity effect

In order to construct a one-parameter family of metrics satisfying all of the assumptions\(^4\) of the Green-Wald framework, we choose

\[
w = \lambda \sin \frac{t - z}{\lambda},
\]

where \(\lambda > 0\) is a free parameter. Using the Einstein equation \(\text{(3)}\), we have

\[
n = \frac{1}{2} \left( t - z + \frac{1}{2} \lambda \sin \frac{2(t - z)}{\lambda} \right),
\]

where the additive integration constant was set to zero for simplicity.

The Wainwright-Marshman metric \(\text{(1)}\) with \(w\) given by \(\text{(5)}\), \(n\) given by \(\text{(6)}\), and \(m \geq -3/16\) constitutes a one-parameter family of solutions to the Einstein equations. It is parametrized by a single parameter \(\lambda\), and we will denote it with \(g_{ab}(\lambda)\). For \(m = -3/16\) it corresponds to a vacuum solution. For \(m > -3/16\) it corresponds to stiff fluid solutions with the weak energy condition satisfied.

The background metric \(g_{ab}(0) := \lim_{\lambda \to 0} g_{ab}(\lambda)\) has a diagonal form:

\[
g(0) = t^{2m} e^{\frac{t-z}{2}} (-dt^2 + dz^2) + t^{1/2} (dx^2 + tdy^2),
\]

and it does not belong to the Wainwright-Marshman class, because the functions \(w(0) := \lim_{\lambda \to 0} w = 0\) and \(n(0) := \lim_{\lambda \to 0} n = \frac{t-z}{2}\) do not satisfy \(\text{(3)}\). This implies that one may expect a nonzero backreaction effect here \((t_{ab}^{(0)} \neq 0)\).

It is a well-known fact that taking limits is a gauge-dependent procedure \[16\]. Therefore, it is instructive to explain in which sense the effective energy-momentum tensor \(t^{(0)}\) is gauge independent \[2\]. As an example, let us consider a coordinate transformation that is valid for \(\lambda > 0\) but changes the background metric \(g^{(0)}\) and the effective energy-momentum tensor \(t^{(0)}\). This coordinate transformation alters the physical meaning of the limit \(\lambda \to 0\). In the new coordinates, the one-parameter family of solutions does not model small-scale inhomogeneities anymore (for \(\lambda \ll 1\)). Let us rewrite \(g(\lambda)\) [the

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\(^4\) Assumptions (i)-(iv) of Sec. II in Ref. [1].

\(^5\) The Wainwright-Marshman solutions with \(m = -3/16\), \(w = \text{const}\) belong to the Kasner class \[15\].

\(^6\) The standard perturbation theory is gauge independent in a similar way.
Wainwright-Marshman solution \( g(\lambda) \) with \( w \) and \( n \) given by (5), (6) by using the following change of coordinates

\[
\begin{align*}
t &= \lambda \tilde{t}, \\
x &= \lambda^{-1/4} \tilde{x}, \\
y &= \lambda^{-3/4} \tilde{y}, \\
z &= \lambda \tilde{z} + 4(m+1) \ln \lambda,
\end{align*}
\]

where \( \lambda > 0 \). The range of the new coordinates \((\tilde{t}, \tilde{x}, \tilde{y}, \tilde{z})\) is the same as in (2). The metric in the new coordinates is denoted with \( \tilde{g}(\lambda) \). The metric \( \tilde{g}(\lambda) \) has the Wainwright-Marshman form (1) with \( t, x, y, z, w, n \) substituted by \( \tilde{t}, \tilde{x}, \tilde{y}, \tilde{z}, \tilde{w}, \tilde{n} \), where

\[
\begin{align*}
\tilde{w} &= \sqrt{\lambda} \sin \left( \tilde{t} - \tilde{z} - 4(m+1) \frac{\ln \lambda}{\lambda} \right), \\
\tilde{n} &= \frac{\lambda}{2} \left\{ \tilde{t} - \tilde{z} + \frac{1}{2} \sin \left[ 2 \left( \tilde{t} - \tilde{z} - 4(m+1) \frac{\ln \lambda}{\lambda} \right) \right] \right\}.
\end{align*}
\]

We calculate \( \tilde{w}(0) := \lim_{\lambda \to 0} \tilde{w} = 0 \), \( \tilde{n}(0) := \lim_{\lambda \to 0} \tilde{n} = 0 \), and finally \( \tilde{g}_{ab}^{(0)} := \lim_{\lambda \to 0} \tilde{g}_{ab}(\lambda) \):

\[
\tilde{g}^{(0)} = t^{2m} (t^{-2} + \tilde{z}^2) + t^{1/2} \left( d\tilde{x}^2 + d\tilde{y}^2 \right).
\]

Therefore, \( \tilde{g}_{ab}^{(0)} \neq g_{ab}^{(0)} \) and both metrics are not related by a coordinate transformation as it follows from their forms and their Ricci scalars (the Ricci scalars will be analyzed below). Since the metric \( g_{ab}^{(0)} \) belongs to the Wainwright-Marshman family, the backreaction effect is absent and \( t_{ab}^{(0)} = 0 \) [the metric functions \( \tilde{w}^{(0)} \) and \( \tilde{n}^{(0)} \) satisfy (3)]. The Green-Wald formalism is gauge independent provided the coordinate transformations do not change the physical meaning of the limit \( \lambda \to 0 \). This seems to be a very natural restriction — Burnett’s proof \([2]\) of the gauge independence of \( t_{ab}^{(0)} \) is restricted to the one-parameter family of diffeomorphisms \( \phi_\lambda \) that reduce to identity for \( \lambda = 0 \). This condition has been violated by the transformation (7).

In the remaining part of this section, we analyze properties of the metrics \( g(\lambda) \) and \( g^{(0)} \) and calculate the backreaction effect. The determinants and the Ricci scalars of these metrics may be written in the form

\[
\begin{align*}
\det[g(\lambda)] &= -t^{2(2m+1)} e^{2n}, \\
R &= -\frac{1}{8} (16m + 3) t^{-2(m+1)} e^{-n},
\end{align*}
\]
where the function $n$ should be substituted by $n^{(0)}$ for $g^{(0)}$. The determinants are strictly negative in the region of our interest [given by (2)]. The components of these metrics are obviously nonsingular there; hence, they are smooth metrics of Lorentzian signature on (2). The Ricci scalars and the energy density (4) blow up in the limit $t \to 0$ for all nonvacuum solutions that satisfy the weak energy condition $m > -3/16$ [assuming that $z(t)$ is bounded from below in this limit which is true along any causal curve]. The nature of this initial big bang singularity depends on the value of $m$; see [17].

For our choice of $w$ function, the Ricci scalar blows up also for $t-z \to -\infty$. This put some doubts on a cosmological interpretation of these solutions; however, we do not insist on having one. The framework we are interested in should work for any space-time. For $t = \text{const}$ hypersurfaces $z = +\infty$ are at a finite spatial distance from any finite $z = z_0$, because

$$\int_{z_0}^{+\infty} t^m e^{n/2} dz$$

is finite. Therefore, one may suspect that the space-time is singular there. However, the limit $t - z \to -\infty$ cannot be achieved along any causal curve, because $t > 0$ (we have the big bang singularity at $t = 0$). The Ricci scalar blows up at $z = +\infty$. The space-times with metrics $g(\lambda)$ and $g^{(0)}$ seem to be geodesically future complete with a curious property of curvature blowing up at $z = +\infty$. All causal geodesics are past incomplete and terminate at the curvature singularity $t = 0$. The nature of the hypersurfaces $z = \pm\infty$ needs further investigation, but these studies are not in the scope of this paper.

Finally, we calculate $t^{(0)}_{ab}$ and show that it does not vanish. Following Ref. [1], we introduce $h_{ab}(\lambda) := g_{ab}(\lambda) - g_{ab}^{(0)}$. It may be verified by inspection that the Green-Wald framework assumptions (i)-(iv) (see [1]) are satisfied for $g_{ab}(\lambda)$ and $h_{ab}(\lambda)$.

The easiest way to determine the effective energy-momentum tensor $t^{(0)}_{ab}$ is to calculate

$$t^{(0)}_{ab} = \frac{1}{8\pi} G_{ab}(g^{(0)}) - T^{(0)}_{ab},$$

where
where \( T_{ab}^{(0)} = \text{w-lim}_{\lambda \to 0} T_{ab}(\lambda) \)\(^7\) The nonzero components of \( T_{ab}^{(0)} \) are

\[
T_{tt}^{(0)} = T_{zz}^{(0)} = \frac{m + \frac{3}{16} t^{-2}}{8\pi}, \\
T_{xx}^{(0)} = \frac{1}{t} T_{yy}^{(0)} = e^{-\frac{t}{2}} t^{-\frac{1}{2}} \left( -2m + \frac{1}{4} \right) T_{tt}^{(0)},
\]

and, hence, for \( t_{ab}^{(0)} \) we have

\[
t_{tt}^{(0)} = t_{zz}^{(0)} = -t_{zt}^{(0)} = -t_{zt}^{(0)} = \frac{1}{32\pi t}.
\] (8)

The remaining components of \( t_{ab}^{(0)} \) vanish. Therefore, the effective energy-momentum tensor \( t_{ab}^{(0)} \) is traceless and satisfies the weak energy condition, as expected (see theorems in Ref. [1]).

One of the assumptions of the Green-Wald formalism is the existence of a smooth tensor field \( \mu_{abcdef} \):

\[
\mu_{abcdef} = \text{w-lim}_{\lambda \to 0} \left[ \nabla_a h_{cd}(\lambda) \nabla_b h_{ef}(\lambda) \right].
\] (9)

It has the following symmetries [1]:

\[
\mu_{abcdef} = \mu_{(ab)(cd)(ef)} = \mu_{abefcd}.
\] (10)

This tensor field encodes the inhomogeneity effect and provides an alternative but more laborious procedure to calculate the effective energy-momentum tensor \( t_{ab}^{(0)} \). Following Refs. [1][2] [5],

\[
8\pi t_{ab}^{(0)} = \frac{1}{8} \left( -\mu^{cd}_{\ c} d e - \mu^{d e}_{\ c d e} + 2\mu^{e d}_{\ c d e} \right) g_{ab}^{(0)} + \frac{1}{2} \mu^{cd}_{\ acbd} - \frac{1}{2} \mu^{c d}_{\ ca bd} \\
+ \frac{1}{4} \mu^{cd}_{\ abcd} - \frac{1}{2} \mu^{e d}_{\ abce d} + \frac{3}{4} \mu^{e c d}_{\ cab d} - \frac{1}{2} \mu^{cd}_{\ abcd}.
\] (11)

One may find \( \mu_{abcdef} \) using (9) by a direct calculation. After some algebra we find that the nonzero independent components of \( \mu_{abcdef} \) are

\[
\mu^{ttttt} = \mu^{ttzzz} = -\mu^{ttzzz} = \mu^{zzzzzz} = -\mu^{zzztzz} = \mu^{tttztz} = \mu^{zzztztz} = \frac{1}{8} m e^{-t^2},
\]

\[
\mu^{ttxyxy} = \mu^{zzxyxy} = -\mu^{txxyxy} = \frac{t}{2}.
\] (12)

\(^7\)The symbol w-lim denotes the weak limit in the sense defined in Ref. [1]. For our \( T_{ab}(\lambda) \), it reduces to the ordinary limit.

\(^8\)Note a misprint in Ref. [1]. The metric \( g_{ab}^{(0)} \) is missing there.
The remaining components follow from symmetries (10) or vanish. It is easy to check that (12) and (11) lead to (8).

One may repeat the calculations in a gauge that leads to a different background space-time $\tilde{g}(0)$. Similarly, we have $\tilde{h}_{\ab}(\lambda) := \tilde{g}_{\ab}(\lambda) - \tilde{g}_{\ab}(0)$. Again, it may be verified by inspection that the Green-Wald framework assumptions (i)-(iv) (see Ref. [1]) are satisfied for $\tilde{g}_{\ab}(\lambda)$ and $\tilde{h}_{\ab}(\lambda)$ (this time they are trivially satisfied). We obtain $\tilde{t}_{\ab}^{(0)} = 0$ as predicted before.

Our calculations have been verified with the help of the computer algebra system MATHEMATICA.

4 Summary

We have presented the first explicit example of a one-parameter family of exact nonvacuum metrics that satisfies all of the Green-Wald assumptions [1]. This example illustrates the backreaction effect and its description within the Green-Wald framework. It provides a convenient setting to study the effect of small-scale inhomogeneities on the large-scale structure of space-time. The effective energy-momentum tensor is traceless and satisfies the weak energy condition, in accord with the theorems of Green and Wald. In particular, the effect of small inhomogeneities on the global structure of space-time cannot mimic a positive cosmological constant or other hypothetical forms of dark energy. This conclusion is not surprising, because there exist other arguments [17] to support the hypothesis that inhomogeneities in the model are due only to gravitational waves. Our example provides a starting point for further analysis.

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