Left ventricular markers of mortality and ventricular arrhythmias in heart failure patients with cardiac resynchronization therapy

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Aims
Cardiac resynchronization therapy (CRT) reduces morbidity and mortality in heart failure. However, prediction of the outcome remains difficult. We aimed to investigate for echocardiographic predictors of ventricular arrhythmias and fatal outcome and to explore how myocardial function is changed by biventricular pacing in heart failure.

Methods and results
We prospectively included 170 heart failure patients (66 ± 10 years, New York Heart Association class 2.8 ± 0.5, 48% ischaemic cardiomyopathy) and recorded ventricular arrhythmias and fatal end point defined as death, heart transplantation, or left ventricular assist device implantation during 2 years. Two-dimensional echocardiography was performed before and 6 months after CRT implantation. CRT response was defined as ≥15% reduction in end-systolic volume at 6 months. Speckle-tracking technique was performed to assess longitudinal and circumferential left ventricular function, defined as global longitudinal (GLS) and circumferential strain (GCS), and to assess mechanical dyssynchrony, defined as mechanical dispersion. GLS before CRT was a predictor of fatal end point independently of CRT response [hazard ratio, HR 1.14 (1.02–1.27), P = 0.02]. Patients with GLS better than −8.3% showed event-free survival benefit (log rank, P = 0.001). Mechanical dispersion at 6 months was an independent predictor of ventricular arrhythmias [HR 1.20 (1.06–1.35), P = 0.005]. CRT responders (59%) had improvement of both GLS and GCS.

Conclusion
In heart failure patients with CRT, worse longitudinal function before CRT was an important predictor of fatal outcome during 2 years, independently of CRT response. Mechanical dispersion at 6 months was a strong predictor of ventricular arrhythmias. CRT response by reverse remodelling was dependent on improvement of both longitudinal and circumferential function.

Keywords
cardiac resynchronization therapy • speckle tracking echocardiography • heart failure • ventricular arrhythmia • left ventricular mechanics

Introduction
Cardiac resynchronization therapy (CRT) reduces morbidity and mortality and induces reverse remodelling in heart failure patients with both mild1,2 and severe symptoms.3–4 CRT treatment was introduced in the mid-1990s, but still the CRT responder rate remains low at 67% and substantial efforts have been invested to improve responder rate and to explore how myocardial mechanics are influenced by biventricular pacing. Furthermore, the impact of CRT on mortality and on ventricular arrhythmias in individual patients is not fully clarified. Some studies have shown no change5–6 or a reduction in the incidence of ventricular arrhythmias by CRT pacing,7,8 often linked to reverse remodelling.9 Recently, freedom from ventricular arrhythmias after CRT has been linked to improved mechanical dysynchrony.9,10 Heart failure patients eligible for CRT have reduced myocardial function and most often mechanical dyssynchrony. Echocardiographic strain is a sensitive method to assess both myocardial function and dyssynchrony.11–13 We have previously...
explored the predictive value of strain echocardiography in patients after myocardial infarction and reported that both global longitudinal strain (GLS) and mechanical dispersion, a measure of dysynchrony, predict ventricular arrhythmias and mortality.\(^{14}\)

In this study, we aimed to explore for echocardiographic predictors of ventricular arrhythmias and death, heart transplantation, or left ventricular assist device (LVAD) implantation in heart failure patients eligible for CRT. We hypothesized that LV longitudinal function has prognostic value and that improvement of mechanical dispersion can predict freedom from arrhythmic events. A second aim was to investigate how myocardial left ventricular (LV) longitudinal and circumferential function changes by CRT in relation to reverse remodelling.

**Methods**

**Patient population**

This prospective clinical study included patients from two centres, Oslo University Hospital, Norway, and Centre Hospitalier Universitaire, Rennes, France. We consecutively included heart failure patients eligible for CRT implantation according to current CRT guidelines with QRS $\geq 120$ ms, ejection fraction (EF) $\leq 35\%$, New York Heart Association (NYHA) functional Class II–IV and on optimal medical therapy.\(^{15}\) Atrial fibrillation was defined as permanent atrial fibrillation.

The patients successfully received a CRT device system without (CRT-P) or with (CRT-D) defibrillator function at the discretion of the implanting cardiologist. Device programming was set individually by the implanting cardiologist in agreement with general standards as deemed appropriate for clinical circumstance. Before CRT implantation and at 6 months after CRT implantation (CRT turned on), we performed echocardiography and patients reported NYHA functional status. Patients were followed for 2 years from CRT implantation or until a defined fatal end point. Patients with $<90\%$ biventricular pacing were excluded. CRT response was defined as reverse remodelling defined as $\geq 15\%$ reduction in end-systolic volume (ESV) at 6 months by echocardiography.

All patients gave written informed consent. The study complied with the Declaration of Helsinki and was approved by the Regional Committees for Medical Research Ethics.

**End points**

Our pre-specified arrhythmic end point was first sustained ventricular arrhythmic event following CRT implantation defined as ventricular tachycardia with rate $>120$ bpm lasting $>30$ s, ventricular fibrillation, appropriate anti-tachycardia pacing therapy, appropriate defibrillator shock therapy, and documented sudden cardiac arrest. Arrhythmia detection was documented from device interrogation every 6 months and through home monitoring.

Our pre-specified fatal end point was a composite of all cause death, heart transplantation, and LVAD implantation. Only patients with limited anticipated survival undergo heart transplantation or LVAD implantation. Follow-up data on mortality were collected from the National Person Identification Registry.

End points were evaluated from CRT implantation throughout a 2-year follow-up period.

**Echocardiography**

Echocardiographic studies were performed using Vivid 7 and Vivid E9 system (GE Healthcare, Horten, Norway) and analysed with commercially available software (EchoPAC\(^{\text{®}}\), GE). Cardiac volumes were indexed by body surface area, and LVEF was obtained by Simpson’s biplane method. By two-dimensional speckle-tracking echocardiography, longitudinal strain was obtained from three apical views, and circumferential strain was obtained from three short-axis planes of the LV (frame rate $>50$/s). Three cardiac cycles were recorded. Peak strain during the entire cardiac cycle, either negative or positive, was assessed in 18 LV segments. Apical lateral and septal segments’ strains were averaged to obtain strain from the 16 LV segments\(^{16}\) which were then averaged to GLS and global circumferential strain (GCS), respectively.\(^{14,15,17,18}\) If $>4/18$ LV segments failed to track, the examination was excluded. The time interval from start Q/R on ECG to peak negative longitudinal strain during the whole cardiac cycle, i.e. including post-systolic strain, was assessed in each LV segment. Mechanical dispersion was defined as the standard deviation of time to peak negative longitudinal strain from the 16 LV segments.\(^{13,14,19}\) Echocardiographic recordings were analysed blinded to clinical data.

**Statistical analyses**

Continuous data were presented as mean $\pm$ standard deviation. Proportions were compared by $\chi^2$ test or Fisher’s exact test. Comparisons of means were analysed by Student’s unpaired and paired t-test (SPSS 21, SPSS Inc., Chicago, IL, USA). Cox regression analyses were performed to explore for predictors of arrhythmic and fatal end points. Significant echocardiographic parameters from the univariate model ($P<0.05$) were included in multivariate models to determine independent predictors of arrhythmic and fatal end points. A restricted number of parameters were included in the multivariate models to avoid statistical model over-fitting. GLS and EF were not included together in the same multivariate analysis due to strong collinearity ($R<−0.5$). Receiver operating characteristic (ROC) curves were constructed for GLS before CRT and we reported the C statistic for the ability to discriminate between patients with and without fatal end point during 2-year follow-up. The ROC curve value closest to the upper left corner was defined to provide optimal sensitivity and specificity of discrimination. The difference in fatal end point according to this discriminating GLS value was compared by log-rank test and displayed in a Kaplan–Meier plot.

Reproducibility of strain analyses was expressed by intra-observer and inter-observer variability intra-class correlation coefficients. Two-sided $P$-values $<0.05$ were considered significant for all analyses.

**Results**

**Patient characteristics**

We included 170 heart failure patients (66 $\pm$ 10 years, 24% women) (Table 1). Eighty-one (48%) patients had ischaemic cardiomyopathy, while the 89 (52%) remaining had genetic, cytotoxic, post-myocarditis, or idiopathic dilated cardiomyopathy. Thirty-eight (22%) patients had right ventricular (RV) pacemaker or implantable cardioverter defibrillator (ICD) prior to CRT implantation. Left bundle branch block (LBBB) was present in 137 (81%), 17 (10%) had non-LBBB, and 16 (9%) patients had wide QRS with LBBB configuration due to RV pacing prior to CRT implantation (Table 1).

Two patients were excluded due to $<90\%$ biventricular pacing.

**End points**

Fatal end point occurred in $14\%$ (24/170) during an average follow-up period of $2.0 \pm 0.1$ years. Of these, 6 patients were heart transplanted, 2 patients received an LVAD, and 16 patients died. An arrhythmic end point was documented in $18/170$ (11%) patients during an average follow-up period of 1.9 $\pm$ 0.3 years.


Prediction of death, heart transplantation, and LVAD implantation

GLS, EF, and ESV index before CRT were markers of fatal end point (Table 1) (Figure 1). In multivariate analysis, GLS before CRT was an independent predictor of fatal end point (Table 2). CRT response at 6 months was also a strong marker of fatal end point (Table 2). In separate multivariate Cox regression analysis, GLS before CRT and CRT responder status were both independent predictors of fatal end point [HRGLS before CRT...
1.14 (1.02–1.27), \( P = 0.02 \), and \( HR_{CRT} \) response 0.16 (0.05–0.56), \( P = 0.004 \).

By ROC analysis, a GLS before CRT worse than or equal to 28.3% detected patients with fatal end point with a sensitivity of 88% (95% CI 68–97%) and specificity of 55% (95% CI 47–64%) [C-statistics 0.73 (95% CI 0.64–0.82)]. Patients with GLS before CRT better than 28.3% had better survival free from fatal end point compared with those with GLS worse than or equal to 28.3% (log-rank, \( P = 0.001 \)) (Figure 2).

Additionally, in the sub-group of CRT non-responders separately (n = 63), worse GLS before CRT was a marker of fatal end point (28.9 ± 4.4 vs. 25.9 ± 3.3, \( P = 0.02 \)). CRT non-responders with a GLS before CRT better than –8.3% had a survival benefit compared with CRT non-responders with GLS worse than –8.3% (\( P = 0.02 \)).

**Prediction of ventricular arrhythmia**

Mechanical dispersion at 6 months was more pronounced in patients with ventricular arrhythmias than in those without (112 ± 47 vs. 80 ± 30 ms, \( P = 0.001 \)) (Figure 3). Mechanical dispersion at 6 months was a predictor of ventricular arrhythmias independently...
of EF at 6 months (Table 2). Mechanical dispersion improved at 6 months in patients without ventricular arrhythmia during the 2-year follow-up while it remained unchanged in patients experiencing ventricular arrhythmias (Figure 4).

For parameters before CRT, both ischaemic cardiomyopathy and ESV index were independent predictors of ventricular arrhythmia [HRICM 4.20 (1.38–12.80), \( P = 0.01 \), and \( HRESV \) index 1.02 (1.01–1.03), \( P = 0.01 \)].

Changes in myocardial function

In the total population, EF (27 ± 9 to 35 ± 12%, \( P < 0.001 \)) and GCS (−11.2 ± 3.4 to −14.1 ± 4.4%, \( P < 0.001 \)) improved 6 months after CRT, while GLS did not change (−8.5 ± 3.9 to −8.8 ± 4.6%, \( P = 0.29 \)). CRT responder rate by reverse remodelling was 59% (89/152). In CRT responders, GLS improved while there was no improvement of GLS in non-responders (Table 3)(Figure 5). GCS improved in both CRT responders and non-responders.

There were more patients with non-ischaemic (60%) than with ischaemic cardiomyopathy (40%) among CRT responders (\( P = 0.03 \)). The improvement of GLS in CRT responders was mainly driven by non-ischaemic cardiomyopathy patients (−9.1 ± 3.6 to −10.8 ± 4.1%, \( P = 0.002 \)), since there was no improvement of GLS in CRT responders with ischaemic cardiomyopathy as a group (−7.9 ± 3.7 to −8.0 ± 5.2%, \( P = 0.88 \)). In contrast, GCS and EF improved in CRT responders with both non-ischaemic (GCS: −11.2 ± 3.3 to −15.4 ± 4.6%, \( P < 0.001 \), and EF: 28 ± 9 to 41 ± 12%, \( P < 0.001 \)) and ischaemic cardiomyopathy (GCS: −11.2 ± 2.6 to −14.1 ± 3.5%, \( P < 0.001 \), and EF: 25 ± 8 to 38 ± 11%, \( P < 0.001 \)).

When dividing patients according to end points, all echocardiographic parameters improved in patients without arrhythmic end point and without fatal end point, except from GLS that did not improve in patients without arrhythmic or fatal end point (see Supplementary data online, Table S1).

Intra-observer and inter-observer variability intra-class correlation coefficients were 0.94 and 0.92, respectively, for longitudinal strain and 0.90 and 0.87, respectively, for circumferential strain.

Discussion

This study provides novel data showing that longitudinal myocardial function before CRT implantation was a strong predictor of all-cause death, heart transplantation, and LVAD during 2 years follow-up in heart failure patients. Importantly, this was found independently of CRT response. Furthermore, resynchronization from CRT pacing predicted freedom from ventricular arrhythmias.
Our results provide mechanistic insight on CRT’s impact on myocardial function. LV longitudinal function improved in CRT responders while not in CRT non-responders. Our findings suggest that CRT response by reverse remodelling is dependent on improvement of longitudinal function in addition to the relatively larger improvement of circumferential function.

Death, heart transplantation, and LVAD implantation

This study is the first showing that longitudinal LV function by GLS before CRT was a strong predictor of fatal end point independently of CRT response. Knappe et al. showed in a MADIT-CRT sub-study that GLS was a marker of heart failure events or mortality as a combined end point different from ours. Additionally, they only studied patients in mild heart failure, while in our prospective study the majority of patients had severely symptomatic heart failure.

Our findings in CRT patients are in accordance with studies showing that longitudinal LV function has prognostic impact. A GLS of $-8.3\%$ before CRT had good ability to identify fatal end point 2 years after CRT. Interestingly, this critical strain value is in agreement with longitudinal strain values showing ability to identify transmural scars of $51\%$–$100\%$ on cardiac magnetic resonance imaging in patients after myocardial infarction. Scar and fibrosis lead to poor global LV performance which again increases mortality and which may be the mechanism for our finding of worse strain as a predictor of the fatal outcome.

GLS before CRT and CRT response by reverse remodelling at 6 months were both predictors of fatal end point, independently of each other. Also others have shown that lack of CRT-induced reverse remodelling is related to heart failure events and death. We provide novel data demonstrating that preserved myocardial longitudinal function before CRT implantation shows additional value for predicting survival. Moreover, this is the first study showing
that among CRT non-responders, GLS was able to identify patients surviving despite CRT non-response. This suggests that CRT non-responders are inhomogeneous as a group and that GLS as a sensitive measure can help discriminate those CRT non-responders with better from those with worse prognosis.

**Ventricular arrhythmias**

Lack of myocardial resynchronization at 6 months with CRT was a strong marker of life-threatening ventricular arrhythmias. Currently, EF is used to assess risk of ventricular arrhythmia and indication for primary prevention ICD in heart failure patients. 

EF at 6 months was a marker of ventricular arrhythmias, in line with a recent MADIT-CRT sub-study. However, mechanical dispersion predicted arrhythmias independently of EF at 6 months follow-up. Previous work from our research group has shown strong evidence that mechanical dispersion predicts ventricular arrhythmia in several different cardiomyopathies, also confirmed by others. This present study widens the application for mechanical dispersion, by being the first to show that mechanical dispersion after CRT-induced changes in mechanical dysynchrony provides a measure of risk of ventricular arrhythmias. Our results for mechanical dispersion are in line with two recent studies using transverse and radial strain from 12 and 2 LV segments, respectively, for assessing mechanical dysynchrony and with a different heart failure population. 

We believe that mechanical dispersion is a more robust measure and better reflects global cardiac arrhythmic risk as it derives from longitudinal strain with high reproducibility and includes all 16 LV segments. Nevertheless, the consistency across these studies, despite differences in strain methods, strengthens the common concept that resynchronization after CRT is a marker of freedom from arrhythmias. The aim of biventricular pacing is to synchronize electrical activation of the LV. Synchronous myocardial electrical activation, which we speculate may be reflected in lower mechanical dispersion, might reduce unfortunate slow conduction related to fibrosis and therefore reduce both generation of re-entry circuits and electrical dispersion leading to arrhythmias.

**CRT’s impact on myocardial function**

In CRT responders, there were improvements in both longitudinal and circumferential function (Table 3). We propose that improved circumferential function contributes relatively more than longitudinal function to volumetric CRT response. In CRT non-responders, there was only a slight improvement of circumferential function, accompanied by a non-significant worsening of longitudinal function.

There are conflicting results regarding CRT-induced changes in myocardial function. In line with us, it has been found that circumferential function is the relatively most important contributor to CRT response. However, others, like us, have shown that also longitudinal function improves in CRT responders. CRT response by reverse remodelling indicates a reduction in end-systolic LV volumes. We suggest that volumetric CRT response is more likely if there is additional contribution from improved longitudinal function than if improved circumferential function is contributing to LV volume reduction alone.

The relative improvements in GCS and mechanical dispersion were much smaller in CRT non-responders than in CRT responders, which may explain why CRT non-responders did not have volumetric CRT response (Table 3).

Only patients with non-ischaemic cardiomyopathy showed improvement of longitudinal function by GLS, while ischaemic patients did not. The fibre direction of the subendocardial myocardial layer is mainly longitudinally oriented, while the fibre direction in the mid-myocardial layer is mainly circular, although all are helically ordered. Loss of longitudinal function from the subendocardial fibres is typical for ischaemic damage and may explain why longitudinal function by GLS did not improve in patients with ischaemic cardiomyopathy. Circumferential function from midmyocardial layers is often less affected by ischaemia and improved by CRT in patients with both non-ischaemic and ischaemic cardiomyopathy. We suggest that a longitudinal functional reserve is present in non-ischaemic patients, providing better chances for CRT response and explaining the better CRT response rate known to exist in non-ischaemic compared with ischaemic cardiomyopathy patients.

**Clinical implications**

The reduction in mortality by CRT in heart failure is well documented, but prediction of survival remains difficult. We found prognostic importance in longitudinal function before CRT, and this novel finding may suggest that CRT may be less beneficial in heart failure patients with the poorest longitudinal function. However, we cannot conclude that CRT treatment will not improve survival in patients with poorest longitudinal function due to the predefined design of our study.

Our findings suggest that assessment of mechanical dispersion is important in risk prediction of ventricular arrhythmias and should be performed in CRT patients. Lack of resynchronization after CRT may indicate high arrhythmic risk and should initiate measures to optimize anti-arrhythmic treatment and surveillance, including upgrade a CRT-P with an ICD if clinically and ethically justified.

**Limitations**

Our findings should be confirmed in larger study populations. Due to small sample size and few end points, we had a restricted number of covariates in the multivariate Cox analyses to avoid statistical model over-fitting.

Eighteen (11%) patients were lost to follow up for a second echocardiography which is a potential bias.

Patients with ischaemic cardiomyopathy and myocardial scars have high risk of ventricular arrhythmia, as also shown in this study. Myocardial scar burden and location and its relation to CRT lead positions determine regional mechanical function and impact arrhythmic risk. The lack of this information is an important limitation to the strength of our findings.

This was a clinically uncontrolled trial of heart failure patients of different aetiologies, reflecting the population fulfilling current CRT indications. Heart failure patients of different aetiologies clearly show different prognosis, arrhythmic risk, and response to CRT.

**Conclusions**

In heart failure patients fulfilling CRT indications, longitudinal function by GLS before CRT implantation was an important predictor of survival free from death, heart transplantation, or LVAD...
implantation during 2 years with CRT, including among CRT non-
responders. For the ventricular arrhythmia-free outcome however, improvement of mechanical dispersion was the most important pre-
dictor. CRT response by reverse remodelling, more common in
non-ischaemic cardiomyopathy, was dependent on improvement of longitudinal function in addition to circumferential function.

Conflict of interest: None declared.

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Supplementary data
Supplementary data are available at European Journal of Echocardiography online.

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