X-RAY EMISSION FROM SUPERNOVAE IN DENSE CIRCUMSTELLAR MATTER ENVIRONMENTS: A SEARCH FOR COLLISIONLESS SHOCKS

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ABSTRACT

The optical light curve of some supernovae (SNe) may be powered by the outward diffusion of the energy deposited by the explosion shock (the so-called shock breakout) in optically thick ($\tau \gtrsim 30$) circumstellar matter (CSM). Recently, it was shown that the radiation-mediated and radiation-dominated shock in an optically thick wind must transform into a collisionless shock and can produce hard X-rays. The X-rays are expected to peak at late times, relative to maximum visible light. Here we report on a search, using Swift/XRT and Chandra, for X-ray emission from 28 SNe that belong to classes whose progenitors are suspected to be embedded in dense CSM. Our sample includes 19 Type IIn SNe, one Type Ibn SN, and eight hydrogen-poor superluminous SNe (SLSN-I such as SN 2005ap). Two SNe (SN 2006jc and SN 2010jl) have X-ray properties that are roughly consistent with the expectation for X-rays from a collisionless shock in optically thick CSM. However, the X-ray emission from SN 2006jc can also be explained as originating in an optically thin region. Thus, we propose that the optical light curve of SN 2010jl is powered by shock breakout in CSM. We suggest that two other events (SN 2010al and SN 2011ht) were too X-ray bright during the SN maximum optical light to be explained by the shock-breakout model. We conclude that the light curves of some, but not all, SNe IIn/Ibn are powered by shock breakout in CSM. For the rest of the SNe in our sample, including all of the SLSN-I events, our X-ray limits are not deep enough and were typically obtained too early (i.e., near the SN maximum light) for definitive conclusions about their nature.

Key words: stars: mass-loss -- supernovae: general -- supernovae: individual (SN 2006jc, SN 2010jl)

Online-only material: machine-readable table

1. INTRODUCTION

Circumstellar matter (CSM) around supernova (SN) progenitors may play an important role in the emission and propagation of energy from SN explosions. The interaction of the SN radiation with optically thick CSM shells may generate emission lines, with widths that are representative of the shell velocity or the velocity of the shocked cool gas in the post-shock region, as in Type IIn SNe (SNe IIn; Schlegel 1990; Kiewe et al. 2012; see Filippenko 1997 for a review of SN classification). The interaction of SN ejecta with the CSM can power the light curves of SNe by transformation of the SN kinetic energy into photons. In cases where a considerable amount of optically thin (and ionized) material is present around the exploding star, synchrotron, and free–free radiation can emerge, and inverse-Compton scattering can generate X-ray photons (e.g., Chevalier & Fransson 1994; Horesh et al. 2012; Krauss et al. 2012).

For the Type IIn SN PTF 09uj, Ofek et al. (2010) suggested that a shock breakout can take place in an optically thick wind (see also Grassberg et al. 1971; Falk & Arnett 1977; Chevalier...
This will happen if the Thomson optical depth within the wind profile is $\gtrsim c/v_{\text{sh}}$, where $c$ is the speed of light and $v_{\text{sh}}$ is the shock speed. Ofek et al. (2010) showed that shock breakout in wind environments produces optical displays that are brighter and have longer timescales than those from the surfaces of red supergiants (e.g., Colgate 1974; Matzner & McKee 1999; Nakar & Sari 2010; Rabinak & Waxman 2011; Couch et al. 2011). Chevalier & Irwin (2011) extended this picture. Specifically, they discussed CSM with a wind profile in which the wind has a cutoff at a distance $R_w$. If the optical depth at $R_w$ is $\gtrsim c/v_s$, then the SN light curve will have a slow decay (e.g., SN 2006gy; Ofek et al. 2007; Smith et al. 2007). If the optical depth at $R_w$ is $\gtrsim c/v_s$, then it will have a faster decay (e.g., SN 2010gx; Pastorello et al. 2010a; Quimby et al. 2011b). Moriya & Tominaga (2012) investigated shock breakouts in general wind-density profiles of the form $\rho \propto r^{-w}$. They suggested that, depending on the power-law index $w$, shock breakouts in wind environments can produce bright SNe without narrow emission lines (e.g., SN 2008es; Gezari et al. 2009; Miller et al. 2009).

Recently Katz et al. (2011) and Murase et al. (2011) showed that if the progenitor is surrounded by optically thick CSM, then a collisionless shock is necessarily formed during the shock breakout. Moreover, they argued that the energy emitted from the collisionless shock in the form of high-energy photons and particles is comparable to the shock-breakout energy. Furthermore, this process may generate high-energy ($\gtrsim 1$ TeV) neutrinos. Although Katz et al. (2011) predicted that the photons are generated with energy typically above 60 keV, it is reasonable to assume that some photons will be emitted with lower energy due to reprocessing of photons (see below) and the continuum nature of the radiation. Chevalier & Irwin (2012) showed that Comptonization and inverse-Compton scattering of the high-energy photons is likely to play an important role, and that the high-energy photons will be absorbed.

Svirski et al. (2012) discuss the X-ray emission from collisionless shocks. They show that at early times the X-rays will be processed into the optical regime by the Compton process. Therefore, at early times, the optical emission will be about $10^9$ times stronger than the high-energy emission. With time, the X-ray emission will become stronger, while the optical emission will decay. They conclude that for a CSM with a steady wind profile ($w = 2$), X-ray emission may peak only at late times, roughly 10–50 times the shock-breakout timescale. The shock-breakout timescale, $t_{\text{br}}$, is roughly given by the diffusion timescale at the time of shock breakout. This timescale is also equivalent to the radius at which the shock breaks out ($r_{\text{br}}$) divided by the shock velocity ($v_s$; Weaver 1976). If the main source of optical photons is due to diffusion of the shock-breakout energy, the SN optical light rise time, $t_{\text{rise}}$, will be equivalent to the shock-breakout timescale. Therefore, X-ray flux measurements and spectra of SNe embedded in dense CSM starting from the explosion until months or years after maximum light are able to measure the properties of the CSM around the SN progenitors and the progenitor mass-loss history. This unique probe into the final stages of massive star evolution has been only partially exploited, at best.

Herein, we analyze the X-ray data for 28 SNe with light curves that may be powered by a shock breakout from dense CSM, and for which Swift/XRT (Gehrels et al. 2004) observations exist. We use this sample to search for X-ray signatures of collisionless shocks—emission at late times (months to years after peak optical luminosity). We suggest that these signals were observed in several cases, most notably in SN 2010jl (Chandra et al. 2012b). Finally, we review the conditions for a shock breakout in CSM with a wind profile, and we discuss the importance of bound-free absorption and the possibility of detecting radio emission from such SNe.

The structure of this paper is as follows. In Section 2 we present the SN sample, while Section 3 presents the X-ray observations. We review and discuss the model in Section 4, and consider the observations in the context of the model in Section 5. We summarize our conclusions in Section 6.

## 2. SAMPLE

Our sample is based on SNe found by amateur astronomers and several surveys, including the Lick Observatory Supernova Search (Li et al. 2000; Filippenko et al. 2001), the Catalina Real-time Transient Survey (Drake et al. 2009a), Pan-STARRS1 (PS1; Kaiser et al. 2002), and the Palomar Transient Factory (PTF; Law et al. 2009; Rau et al. 2009). Two SNe, PTF 09drx and PTF 10etl, are reported here for the first time. We note that many of the nearby or luminous SNe found by PTF are also observed by Swift.

We selected a sample of SNe in which the main source of energy may be explained by diffusion of the explosion shock energy through optically thick CSM around the progenitor. First, we include SNe IIn within 200 Mpc. Objects that belong to this class show relatively narrow (intermediate width) hydrogen emission lines, an indication of the presence of optically thin material somewhere around the progenitor. However, it is unlikely that all SNe showing relatively narrow hydrogen emission lines in their spectra are powered mainly by the diffusion of shock energy in an optically thick environment. One reason is that some SNe IIn show X-ray emission near maximum optical light, which is not expected when optically thick CSM is present (see Section 4). Furthermore, Moriya & Tominaga (2012) suggest that not all SNe powered by interaction of the ejecta with slowly moving material will necessarily have narrow emission lines in their spectrum. We note that some of the SNe IIn in our sample are peculiar (e.g., SN 2010jl/PTF 10aa; Smith et al. 2012).

Another relevant, but rare, class of objects are SNe Ibn. This class is defined by the lack of hydrogen lines and the presence of narrow helium emission lines. The only SN of this type in our sample is SN 2006jc (Nakano et al. 2006; Foley et al. 2007; Pastorello et al. 2008; Smith et al. 2008).

The third class of SN we investigate here is the small group of hydrogen-poor superluminous SN (SLSN-I; see review in Gal-Yam 2012). Quimby et al. (2011b) used spectra of several such events found by PTF, at intermediate redshift ($z \approx 0.5$), to show that these events, as well as SCP 06F6 (Barbary et al. 2009) and SN 2005ap (Quimby et al. 2007), are spectroscopically similar. This group of SNe continues to grow with new discoveries (e.g., Chomiuk et al. 2011; Leloudas et al. 2012), and their hosts were studied by Neill et al. (2011). Although the nature of these events is not understood (e.g., Kasen & Bildsten 2010), Quimby et al. (2011b) suggested that they may be powered by a pulsational pair-instability SN (Rakavy et al. 1967; Woosley et al. 2007). According to this hypothesis, the SN ejecta interact with a dense shell of material, enriched with intermediate-mass elements, that was expelled by the progenitor during previous explosions (see also Ginzburg & Balberg 2012). This model is tentatively supported by observations of SN 2006oz (Leloudas et al. 2012).
et al. (2012) that may show a dip in the light curve followed by rebrightening. Moriya & Maeda (2012) interpret the dip as an increase in the opacity due to ionization of the massive shell/CSM as it interacts with the ejecta.

Our sample, presented in Table 1, consists of eight SLSN-I objects, 19 SNe IIn, and a single SN Ia. We note that the spectra of SNe having PTF names, as well as some other SNe, are available online from the WISEREP18 website (Yaron & Gal-Yam 2012).

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18 Weizmann Interactive Supernova (data) REPository; http://www.weizmann.ac.il/astrophysics/wiserep/.

3. OBSERVATIONS
For each Swift/XRT image of an SN, we extracted the number of X-ray counts in the 0.2–10 keV band within an aperture of 7′′ (3 pixels) radius centered on the SN position. We chose a small aperture in order to minimize any host-galaxy contamination. We note that this aperture contains ∼37% of the source flux (Moretti et al. 2004). The background count rates were estimated in annuli around each SN, with an inner (outer) radius of 50′′ (100′′). For each SN that has Swift/XRT observations, we searched for Chandra observations. The Chandra observations were analyzed in a similar manner with an extraction aperture...
of 2′ and background annuli with an inner (outer) radius of 15″ (40″). All of the Swift/XRT X-ray measurements are listed in Table 2 (the full table is available in the online version). In addition, in Table 2, for each object we give the count rate in up to four superepochs: (1) all of the observations taken prior to maximum light, or discovery date if time of maximum light is not known; (2) all of the observations taken between maximum light and 300 days after maximum light; (3) all of the observations taken more than 300 days after maximum light; and (4) all of the observations at the position of the SN.

In each epoch, and superepoch, we also provide an estimator for the false-alarm probability (FAP), which is the probability that the X-ray counts are due to the X-ray background rather than a source. This probability is estimated as 1 minus the Poisson cumulative distribution to get a source count rate smaller than the observed count rate, assuming the expectancy value of the Poisson distribution equal to the background counts. We note that the median background counts in our background annuli is six counts (within an exposure). However, the background annuli area is about 340 times larger than the photometric aperture area. Therefore, the contribution of the errors in the background to the uncertainty in the source counts is negligible. We note that in some cases X-ray emission from the host galaxy will tend to produce some seemingly significant, but actually “false alarm” detections under these assumptions. Furthermore, many nuclear X-ray sources like active galactic nuclei (AGNs), as well as X-ray sources in general, tend to significantly vary with time (e.g., González-Martín & Vaughan 2012). This fact makes it very hard to distinguish between X-ray emission from an SN and its host galaxy. An example for such confusion is presented in the case of SN 2007pk discussed in this paper.

In cases in which FAP ≤ 0.01, we also estimated the 2σ upper limit on the count rate (Gehrels 1986). The count-rate measurements or upper limits are converted to luminosity in the 0.2–10 keV band using the PIMMS19 web tool and assuming that (1) the aperture in which we extracted the source photometry contains 37% of the source photons (Moretti et al. 2004); (2) Galactic neutral hydrogen column density at the position of the sources as listed in Table 1 (Dickey & Lockman 1990); (3) a spectrum of $N_{\text{ph}}(E) \propto E^{-0.2}$, where $N(E)$ has units of photons cm$^{-2}$ s$^{-1}$ (motivated in Section 4); and (4) the luminosity distance to each SN calculated using the redshift listed in Table 1 together with $H_0 = 70.4$ km s$^{-1}$ Mpc$^{-1}$, $\Omega_m = 0.268$, $\Omega_L = 0.716$, and $\Omega_K = 0.986$ (the third-year WMAP+SNLS cosmology; Spergel et al. 2007).

Several objects listed in Table 2 show count rates which may deviate from zero. Here we discuss the observations of all seven sources that have FAP ≤ 0.01 in at least one of the epochs or superepochs. We note that Table 2 contains 305 epochs and superepochs; therefore, we expect about three random false detections. Interpretation of these observations is discussed in Section 5.

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**Table 2**

*Swift/XRT X-Ray Measurements*

| Name        | $t - t_{\text{peak}}$ | Exp. | CR  | $\Delta$CR | $\Delta$CR, | CR$_{UL}$ | FAP | $L_X$ |
|-------------|------------------------|------|-----|-----------|-----------|----------|-----|------|
|             | (Start Day) (End Day)   |      | (s) | (counts ks$^{-1}$) | (counts ks$^{-1}$) | (counts ks$^{-1}$) |       |      |
| PTF 09atu   | 2.7                    | 4858.0 | −0.02 | ... | ... | 0.62 | 1.00 | <1.9×10$^{44}$ |
| PTF 09cd    | −17.6                  | 3441.8 | −0.02 | ... | ... | 0.87 | 1.00 | <5.4×10$^{43}$ |
|             | −13.7                  | 3557.2 | −0.01 | ... | ... | 0.84 | 1.00 | <5.3×10$^{43}$ |
|             | −10.5                  | 3273.1 | −0.02 | ... | ... | 0.92 | 1.00 | <5.7×10$^{43}$ |
|             | −5.9                   | 3997.8 | −0.02 | ... | ... | 0.75 | 1.00 | <4.7×10$^{43}$ |
|             | −2.2                   | 2232.1 | −0.05 | ... | ... | 1.34 | 1.00 | <8.4×10$^{43}$ |
|             | 4.5                    | 2980.6 | −0.03 | ... | ... | 1.01 | 1.00 | <6.3×10$^{43}$ |
|             | 18.3                   | 2026.8 | −0.01 | ... | ... | 1.48 | 1.00 | <9.2×10$^{43}$ |
|             | 27.9                   | 1910.6 | −0.02 | ... | ... | 1.57 | 1.00 | <9.8×10$^{43}$ |
|             | −17.6−2.2              | 16501.9 | −0.02 | ... | ... | 0.18 | 1.00 | <1.1×10$^{43}$ |
|             | 4.5                    | 6917.9 | −0.02 | ... | ... | 0.43 | 1.00 | <2.7×10$^{43}$ |
|             | −17.6−27.9             | 23419.8 | −0.02 | ... | ... | 0.13 | 1.00 | <8.0×10$^{42}$ |

Notes. Summary of all the 305 Swift/XRT flux measurements of the 28 SNe in our sample. For each SN we list the observation date ($t - t_{\text{peak}}$) relative to the $t_{\text{peak}}$ listed in Table 1. Rows that list both a start day and end day give “superepoch” measurements as described in the main text. Exp is the exposure time. CR, $\Delta$CR, $\Delta$CR, are the source count rate, lower error, and upper error, respectively. CR$_{UL}$ is the 2σ upper limit on the source count rate, FAP is the false-alarm probability (see the text), and $L_X$ is the source luminosity, or the 2σ upper limit on the luminosity, in the 0.2–10 keV band. If FAP > 0.01 then we provide the 2σ upper limits, otherwise the measurements are given along with the uncertainties.

(This table is available in its entirety in a machine-readable form in the online journal. A portion is shown here for guidance regarding its form and content.)

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19 http://cxc.harvard.edu/toolkit/pimms.jsp
Figure 1. Swift (circles) and Chandra (squares) X-ray light curves extracted at the position of SN 2010jl. The unabsorbed flux was calculated using PIMMS assuming a Galactic column density of $N_H = 3.05 \times 10^{20}$ cm$^{-2}$, and a $N_H(E) \propto E^{-0.2}$ power-law spectrum. We note that the Chandra observations show a possible extended source near the SN location. This additional source may contaminate the Swift/XRT measurements and can explain the small discrepancy between Chandra and Swift/XRT. Alternatively, the discrepancy between the Chandra and Swift light curves can be explained if the X-ray spectrum is harder or $N_H$ is larger than we assumed. We note that for $N_H$ which is a factor of 1000 larger than the Galactic value, the unabsorbed Swift (Chandra) flux will be about 5.2 (7.2) times larger. For reference, the gray circles show the PTF R-band luminosity of this SN scaled by 0.01. The PTF R-band luminosity was calibrated using the method described by Ofek et al. (2012a) and calibration stars listed by Ofek et al. (2012b).

in Figure 3. Regardless of the exact spectral shape, the spectrum is hard. Marginalizing over all the free parameters, we find a 2σ upper limit of $N_H < 1.26 \times 10^{21}$ cm$^{-2}$, in excess of the Galactic column density.

SN 2011ht (Figure 4). This SN took place about 21" from the center of UGC 5460. It was observed on multiple epochs using Swift/XRT, and Roming et al. (2012) reported a detection of an X-ray source at the SN position. The binned Swift/XRT X-ray light curve of this SN is shown in Figure 4. Apparently the light curve rises, peaks ~40 day after maximum optical light, and then declines. However, the uncertainties in the flux measurements are large and the light curve is consistent with being flat (i.e., a best-fit flat model gives $\chi^2$/dof = 1.23/4). Moreover, recently Pooley (2012) reported on a 9.8 ks Chandra observation20 of this SN. He argued that the emission detected by Roming et al. (2012), which is shown in Figure 4, is from a nearby source found 4.7 from the SN location.

SN 2010al (Figure 5). This SN was found 12" from the center of the spiral galaxy UGC 4286. It was observed on multiple epochs using Swift/XRT with a total integration time of 35 ks, and is detected in the combined image with a mean luminosity of $\sim 7 \times 10^{39}$ erg s$^{-1}$. Figure 5 presents the binned Swift/XRT light curve. Although the light curve peaks around 30 days after maximum light, it is consistent with being flat (i.e., a best-fit flat model gives $\chi^2$/dof = 0.15/2).

SN 2005db. This SN was observed on three epochs, about two years after maximum light (676–695 days), using Swift/XRT. The combined image, with an exposure time of 13.6 ks, shows a faint source (five photons) with a FAP of 2 $\times 10^{-4}$ per trial. However, given the fact that we have 305 observations, the FAP over all the trials is only about 0.06. Using the Galactic column density (Table 1) and assuming a power-law spectrum $N_{ph}(E) \propto E^{-0.2}$, the unabsorbed flux is $(1.97^{+1.77}_{-0.72}) \times 10^{40}$ erg s$^{-1}$. Chandra observed this target twice at 722.7 and 1051.4 days after maximum light (PI: D. Pooley), with integrations of 3.0 and 5.0 ks, respectively. Using the same assumptions as above, we put a 2σ upper limit on the unabsorbed flux of $1.4 \times 10^{40}$ erg s$^{-1}$ for both epochs. To conclude, given the uncertainties, the Chandra upper limits are consistent with the possible Swift detection. However, given that this source has a single detection, we cannot firmly conclude that the detection is real.

PTF 11iqh. This SN has multi-epoch Swift/XRT observations taken between about −13 and 28 days relative to maximum light. The SN is detected in a single epoch ~24 days after maximum light, with an FAP of $1.4 \times 10^{-3}$ per trial. However, given that we are reporting 305 individual X-ray observations, the FAP over all the trials is 0.45. The SN was not detected at the last epoch, 28 days after maximum light.

SN 2007pk (Figure 6). This SN has a large number of Swift/XRT observations, as well as a Chandra observation (PI: D. Pooley). Immler et al. (2007) reported a tentative detection in the images taken between MJD 54417.09 and 54420.04. The light curve of the source extracted at the SN position is shown in Figure 6. The light curve shows a brightening, with a peak around MJD 54461, and a full width at half-maximum intensity of about 40 days. However, the SN is about 7" from the center of the spiral host galaxy, NGC 579, and the centroids of the Swift/XRT positions in individual exposures are clustered around the galaxy nucleus, rather than at the SN position. We note that emission from the center of NGC 579 is clearly detected in the Chandra observation and that there is some emission at the source position. However, the latter may be due to diffuse emission from NGC 579. Therefore, without conclusive evidence that the emission is from the SN, here we assume that the observed flare as well as the quiescent X-ray emission from the position of the source is due to AGN activity in NGC 579. In Table 1, we adopted an upper limit on the X-ray luminosity of SN 2007pk, which is based on the

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20 At the time of the writing this paper, this observation was proprietary.
average luminosity observed from the direction of the source, presumably due to AGN activity.

4. THE MODEL

Several recent works discuss the possibility of detecting X-ray emission from SN collisionless shocks in optically thick wind environments (Katz et al. 2011; Murase et al. 2011; Chevalier & Irwin 2012; Svirski et al. 2012). Here, we review the main processes relevant to shock breakout in wind-profile CSM (Section 4.1), emission from collisionless shocks including the importance of bound-free absorption (Section 4.2), and the possibility of detecting radio emission (Section 4.3). In Section 5 we discuss our observations in the context of this model.

4.1. Shock-breakout Conditions in Wind-profile CSM

Here, we assume that the CSM around the progenitor has a wind-density profile $\rho = K r^{-2}$, where $K \equiv M/(4\pi v_w)$ is the mass-loading parameter, $M$ is the progenitor mass-loss rate, and $v_w$ is the progenitor wind speed. The Thomson optical depth, $\tau$, due to an ionized progenitor wind between the observer and a spherical surface at radius $r$ from the star center is

$$\tau \approx \frac{k \dot{M}}{4\pi v_w r} \approx 170 \kappa_{0.1} \dot{M}_{0.1} v_w^{-1} r_{15}^{-1}.$$  

(1)
Here $\kappa_{0.34}$ is the opacity in units of $0.34 \text{ cm}^2 \text{ g}^{-1}$, $M_{0.1}$ is the mass-loss rate in units of $0.1 M_\odot \text{ yr}^{-1}$, $v_{w,10}$ is the wind speed in units of $10 \text{ km s}^{-1}$, and $r_{15}$ is the radius in units of $10^{15} \text{ cm}$. We note that this relation is correct up to a factor of order unity (see Balberg & Loeb 2011). The photons in the radiation-dominated and radiation-mediated shock from the SN explosion break out when $\tau \approx c/v_s$ (e.g., Weaver 1976; Ofek et al. 2010), where $c$ is the speed of light and $v_s$ is the shock velocity. At this optical depth, the photon diffusion time becomes shorter than the hydrodynamical timescale (i.e., $r/v_s$) and the photons can diffuse outward faster than the ejecta. Therefore, the condition for the shock breakout to take place in a steady-wind environment ($w = 2$) is

$$\frac{M_{0.1}}{v_{w,10}} \gtrsim 1.2 \times 10^{-6} \tau_{30} r_{15}^{-1} \kappa_{0.34}^{-1} (0.01 M_\odot \text{ yr}^{-1} \text{ km}^{-1} \text{ s}),$$

(2)

where $\tau_{30}$ is the Thomson optical depth in units of 30 and $r_\odot$ is the radius in units of the solar radius.

Figure 7 shows the radius versus the mass-loading parameter at which $r \approx 30$ (Equation (2); i.e., $v_s = 10^4 \text{ km s}^{-1}$; solid black line). For example, this plot suggests that the critical mass-loading, above which the shock will break out in the wind environment, is $\sim 6 \times 10^{-7} M_\odot \text{ yr}^{-1} v_{w,10}^{-1}$ for a $500 R_\odot$ red supergiant. Assuming $v_s = 10^4 \text{ km s}^{-1}$, systems found above the solid line will have a shock breakout in a wind profile (the two cases discussed by Chevalier & Irwin 2011). Objects found in regions below the $r \approx 2/3$, black dashed-dotted line, will have a shock breakout within the stellar surface and the wind will not influence the diffusion of energy from the shock breakout. Finally, systems below the solid line ($r \approx 30$) and above the dashed-dotted line ($r \approx 2/3$) will have a shock breakout below the stellar surface, but the wind can play a role in the diffusion of the shock energy (e.g., Nakar & Sari 2010).

We note that, in order to form an SN IIn, we require optically thin material, which is ionized by the SN radiation field. Therefore, we speculate that SNe IIn can be found below and above the $r \approx 2/3$ line, and that not all SNe IIn are powered by shock breakout in dense CSM environments.

### 4.2. X-Ray Emission from Collisionless Shocks

Katz et al. (2011) showed that if the shock width ($\Delta r$) at radius $r$ is on the order of $r$ (i.e., the Thomson optical depth varies on scales on the order of the radius $r$), the radiation-mediated and radiation-dominated shock will transform into a collisionless shock, and hard X-ray photons will be generated. It is reasonable to assume that some of this energy will be produced in the 1–10 keV X-ray regime. We note that the exact spectrum was not calculated self-consistently and, therefore, is not known.

Chevalier & Irwin (2012) and Svirski et al. (2012) showed that, during the first several shock-breakout timescales after the shock breakout, the optical depth is too large for the hard X-rays to escape. They found that the most efficient processes in blocking the hard X-rays ($\sim 100$ keV) are likely (1) cooling of the electrons by inverse-Compton scattering on soft photons generated by the pre-shocked material and (2) Compton scattering. On average, for each Compton scattering, the photon loses a fraction of its energy which is comparable to $4kT_e/(m_e c^2)$ (e.g., Lang 1999). Here $kB$ is the Boltzmann constant, $T_e$ is the electron temperature, and $m_e$ is the electron mass. Svirski et al. (2012) argued that when the Thomson optical depth declines to $\tau \approx 5$, the hard X-ray emission ($\sim 100$ keV) will be Comptonized to the 1–10 keV X-ray band and may diffuse out of the CSM. Since the optical depth in a wind profile ($w = 2$) decreases as $r^{-1}$ (Equation (1)), the collisionless-shock signature will be observable in the X-ray regime only when the optical depth decreases by an order of magnitude (i.e., the shock radius increases by an order of magnitude). Given that the shock velocity falls as $r^{-1/3}$, they argued that this should happen roughly between 10 and 50 times the shock-breakout timescale. For reference, we show the $\tau = 5$ line in Figure 7 (dashed line,
assuming \( v_w = 10^4 \) km s\(^{-1}\). Such late-time emission may be relevant only if the collisionless shock is still important at late times and if the Comptonization remains the dominant process.

Chevalier & Irwin (2012) consider the effect of bound-free absorption. Since above 0.5 keV, metals with a high ionization potential dominate the bound-free absorption, full ionization is required in order to avoid absorption by this process. They argued that an ionization parameter of \( \sim 1000 \) is needed to achieve full ionization (including the metal atoms’ inner electrons). They estimate that full ionization is achieved for shocks with velocity \( v_s \gtrsim 10^4 \) km s\(^{-1}\). In order to estimate the effect of bound-free absorption, we need to evaluate the density of the CSM.

Assuming a hydrogen-rich material, the particle density in a wind profile is given by

\[
n = \frac{1}{(\mu_p)} \frac{M}{4\pi m_p v_w r^2} \approx 3.02 \times 10^{11} \frac{1}{(\mu_p)} M_{0.1} v_w^{-1} 10^{15} \text{ cm}^{-3},
\]

where \((\mu_p)\) is the mean number of protons per particle (mean molecular weight). For our order-of-magnitude calculation, we assume \((\mu_p) = 1\). In a wind profile, the column density between the radius \( r \) and the observer is

\[
N \approx 3.02 \times 10^{26} M_{0.1} v_w^{-1} 10^{15} \text{ cm}^{-2}.
\]

Assuming the gas in the pre-shocked wind is neutral, the bound-free optical depth in the 0.03–10 keV region is roughly given by (e.g., Behar et al. 2011)

\[
\tau_{bf} = N \sigma(E) \approx 3 \times 10^{4} M_{0.1} v_w^{-1} v_{10}^{-1} E_{1.5}^{-2.5},
\]

where \(\sigma(E)\) is the bound-free cross section as a function of energy \( E \) and \( E_1\) is the energy in keV. This approximation is valid when the material is neutral. However, since above \( \sim 0.5 \) keV metals with a high ionization potential dominate the absorption, this formula is still valid, to an order of a magnitude, above 0.5 keV when some (or even one) of the inner electrons of the metals are bound.

In Figure 7 we show the lines at which Equation (5) suggests that the time of shock breakout the bound-free cross section in the \( \sim 0.5-8 \) keV range is larger than the Thomson cross section. We note that this may modify the properties of the shock breakout and its spectrum. Moreover, the \( \tau_{bf}\) = 1 line at 1 keV is located far below the \( \tau = 5 \) line. This suggests that if the pre-shocked wind is not completely ionized (e.g., \( v_s \lesssim 10^4 \) km s\(^{-1}\)), then soft (\( \lesssim 1 \) keV) X-ray emission is not expected in the simple case of a spherically symmetric wind (\( w = 2\) profile), even at late times. Moreover, the \( \tau_{bf} = 1\) at 10 keV line is located slightly below the \( \tau = 5 \) line. Therefore, bound-free absorption is likely important even at late stages. This may indicate that \( \sim 10 \) keV X-rays may escape the wind on a timescale somewhat longer than suggested by Svirski et al. (2012), and that observations at energies above 10 keV may be more effective (e.g., by the NuSTAR mission; Harrison et al. 2010).

All of the order-of-magnitude calculations presented so far assume a wind-density profile with \( w = 2\). However, we note that if the CSM profile falls faster than \( r^{-2}\), or alternatively if the wind is not spherically symmetric or is clumpy, then the column density may fall faster than \( r^{-1}\) in some directions (e.g., Equation (4)), and it will enable the X-rays to escape earlier than predicted by Svirski et al. (2012) for the \( w = 2\) case.
relatively short eruption, and therefore may have a steep density profile (see Section 5).

We note that Van Dyk et al. (1996) presented a search for radio emission among 10 SNe IIn. None of the SNe in their sample was detected at radio wavelengths, but these observations were conducted 2–14 yr after the SN explosion. However, some SNe IIn were detected as bright radio sources a few years after maximum light. Examples include SN 1986J (Rupen et al. 1987; Leibundgut et al. 1991b) and SN 1978K (Ryder et al. 1993; Chugai et al. 1995).

5. OBSERVATIONS IN CONTEXT OF THE MODEL

As shown in Table 2 and Figures 1–5, several SNe in our sample show X-ray emission in the 0.2–10 keV range. Some SNe are presumably detected (and maybe peaking) in X-rays near maximum optical light (e.g., SN 2011ht; SN 2010al). However, the X-ray luminosity of SN 2011ht (see Roming et al. 2012) and SN 2010al at maximum visible light is about 0.05 and 0.3 (respectively) of their visible-light luminosity. These X-ray luminosities are higher than predicted by Svirski et al. (2012; X-rays 10\(^{-4}\) of optical) for the CSM shock-breakout case. Moreover, although the X-ray light curves are consistent with a nonvariable luminosity, it is possible that they are peaking near maximum optical light. Therefore, we suggest that the optical light curves of SN 2011ht and SN 2010al, which are SNe IIn, are likely not powered by a shock breakout in CSM.

As previously reported, SN 2010jl (Chandra et al. 2012b) and SN 2006jc (Immler et al. 2007) are detected in X-rays and are peaking at late times, respectively \(\gtrsim 10\, t_{\text{rise}}\) and \(\gtrsim 6\, t_{\text{rise}}\). Moreover, the X-ray luminosity at maximum visible light is about 10\(^{-3}\) of the visible-light luminosity. This is roughly consistent with the predictions of Svirski et al. (2012). We discuss these SNe in detail in Sections 5.1 and 5.2.

Two other SNe in our sample, PTF 11iqb and SN 2005db, have marginal X-ray detections. Therefore, we cannot make any firm conclusion regarding the reality and nature of this emission.

As indicated in the column \(L_X/L_{\text{opt}}\) in Table 1, the rest of the SNe in our sample, including all of the SLSN-I events, do not have late-time observations and/or sufficiently deep limits to evaluate their nature. Our upper limits are mostly obtained at early times (\(\lesssim 3\, t_{\text{rise}}\)) after the SN explosion, or at very late times (\(\gtrsim 3\, t_{\text{rise}}\)). We note that all of the observations of the hydrogen-poor luminous SNe were obtained at \(\lesssim 2\, t_{\text{rise}}\) after optical maximum light.

Recently, Chandra et al. (2012a) reported on X-ray and radio observations of another Type IIb event, SN 2006jd. This event is listed as an SN IIb in the IAUC SN list\(^{22}\) and, therefore, was not included in our sample. We note that the X-ray observations started about a year after the explosion, so there is no measurement of \(L_X/L_{\text{opt}}\) during optical maximum light.

We conclude that deeper X-ray observations, over longer periods of time after maximum optical light, are required in order to understand the nature of SN IIn and SLSN-I events. The current null detection of hydrogen-poor luminous SNe in X-rays cannot be used to reject the CSM-interaction model proposed by Quimby et al. (2011b).

5.1. SN 2010jl (PTF 10aaxf)

Chandra et al. (2012b) reported on the discovery of X-ray emission from SN 2010jl. Its X-ray luminosity near optical maximum light is about \(6 \times 10^{40}\ erg\ s^{-1}\), which is \(\sim 10^{-3}\) of its \(R\)-band luminosity. For the case of shock breakout in CSM, Svirski et al. (2012) predicted that near optical maximum light the X-ray luminosity will be \(\sim 10^{-4}\) of the optical luminosity. However, it is possible that the bolometric optical luminosity is higher (e.g., due to metal blanketing). Moreover, we note that for \(w > 2\), the amount of material above the shock is smaller and the X-rays will be less affected by absorption at early times.

The Swift X-ray flux is rising with time, and is approximately consistent with a power law of the form \(t^{0.4}\), where \(t\) is the time since optical maximum light. Svirski et al. (2012) predicted that the hard radiation (i.e., X-ray) component of a collisionless shock will rise \(\propto t^\beta\), with \(\beta\) between 0 and 2 when the ejecta are colliding with a wind mass that is comparable to its own mass, and with \(\beta\) between 1 and 5/2 when the wind is less massive than the ejecta. The fact that the X-ray emission in SN 2010jl rises for at least 200 days suggests that its source is in an optically thick region. The reason for this is that if the X-rays originated in the optically thin region, then we would expect to see a decline in the X-ray emission (i.e., moving along the time axis in Figure 7). We note that our observations constrain only the 0.2–10 keV range, while the hard component can emit at energies up to \(\sim 100\) keV. Moreover, Svirski et al. (2012) assumed that the bound-free absorption can be neglected even at late times (i.e., the CSM is completely ionized).

Chandra et al. (2012b) reported on the analysis of the X-ray spectrum of SN 2010jl (including the proprietary data). They measured a column density at their latest epoch of \(\sim 10^{24}\ cm^{-2}\), which is about 1000 times larger than the Galactic column density in the direction of SN 2010jl. Such a large bound-free absorption is expected if the shock velocity is below \(10^4\) km s\(^{-1}\) (i.e., the metals are not completely ionized; Chevalier & Irwin 2012) and the mass-loading parameter is as large as expected from a wind shock breakout (e.g., Equation (4)).

Moreover, the column density decreases by a factor of \(\sim 3\) between 38 and 352 days after optical maximum light. Equation (4) predicts that between these dates, assuming \(w = 2\), the bound-free absorption should decrease by a factor of \(\sim 9\).

In order to explain the rise in column density relative to what is expected from a wind profile, we suggest that the CSM has a shell structure or that the ionization parameter changes with time. If this CSM mass density profile will be followed by a steep power-law index, we predict that the free–free absorption will be low enough and it may be possible to detect late-time radio emission from this SN (see Section 4.3). A complete analysis of all the available data, including the proprietary data, is required in order to understand the luminosity and spectral evolution of this SN, and to give more firm predictions.

5.2. SN 2006jc

The X-ray light curve of SN 2006jc (Figure 2; see also Immler et al. 2008) peaked \(\sim 100\) days after the explosion. The visible-light rise time of SN 2006jc was shorter than about 15 days (Foley et al. 2007; Pastorello et al. 2008). Therefore, if this SN is powered by the diffusion of shock energy and if we can approximate the shock-breakout timescale as the visible-light rise time, then we can deduce that the X-rays peaked \(\gtrsim 6\, t_{\text{br}}\).

Itagaki et al. (2006) reported that a possible eruption, with an absolute magnitude of about \(-13\), took place at the position of SN 2006jc about two years prior to the SN explosion (see also Pastorello et al. 2008). This pre-SN outburst of SN 2006jc may have put in place a dense shell that could provide the medium

\(^{22}\) http://www.cbat.eps.harvard.edu/lists/Supernovae.html
required for the formation of a collisionless shock. Moreover, due to the eruptive nature of the event, the outer edge of this shell may follow a density profile that falls faster than $r^{-2}$, or has a relatively sharp edge. Such a density profile is required for the X-rays to escape the shocked regions at times earlier than the 10–50 shock-breakout timescale suggested by Svirski et al. (2012).

From an analysis of the X-ray light curve of SN 2006jc, Immler et al. (2008) modeled the X-ray emission from this SN as originating in an optically thin shell at a radius of $9 \times 10^{15}$ cm. In this model, the peak of the X-ray emission corresponds to the time it takes the SN ejecta, moving at 9000 km s$^{-1}$, to reach the shell. The existence of a shell at this distance is consistent with the speed and time of the pre-SN outburst seen in this event.

Given the CSM velocity of $\sim 1000$ km s$^{-1}$ in SN 2006jc (Foley et al. 2007), we conclude that the X-ray emission in this system is roughly consistent with two explanations: (1) X-rays from an optically thin shell with a mass loss of $\sim 10^{-2} M_\odot$ yr$^{-1}$ (as suggested by Immler et al. 2008) or (2) X-rays from an optically thick shell with a mass loss of $\sim 1 M_\odot$ yr$^{-1}$. In both cases, the shell was ejected about two years prior to the SN explosion.

6. CONCLUSIONS

We present a search for X-ray emission from 28 SNe in which it is possible that the shock breakout took place within a dense CSM. Most SNe have been observed with X-ray telescopes only around maximum optical light. SNe in our sample that have clear X-ray detections include SN 2006jc (Immler et al. 2008), SN 2010jl (Chandra et al. 2012b), SN 2011ht (Roming et al. 2012), and SN 2010al. Two additional objects, PTF 11iqb and SN 2005db, have questionable detections. The SNe in our sample that do have late-time observations were either also detected at early times or observed serendipitously at very late times. In that respect, our first conclusion is that a search for X-ray emission both at early and late times from SNe is essential for constraining the properties of the CSM around their progenitors.

Our analysis suggests that some SNe IIn/Ibn, most notably SN 2010jl, have optical light curves that are likely powered by a shock breakout in CSM, while some other SNe IIn do not. However, for most of the SNe in our sample, including all of the SLSN-I events, the observations are not conclusive. Specifically, the lack of X-ray detection of SLSN-I events cannot rule out the interaction model suggested by Quimby et al. (2011b; see also Ginzburg & Balberg 2012). We conclude that deeper observations at later times are required in order to further test this model and to estimate the fraction of SNe IIn which are powered by optically thick emission.

Given the limits found in this paper and our current understanding of these events, it will be worthwhile to monitor SNe IIn (as well as other classes of potentially interacting SNe; e.g., SNe IIL) with X-ray and radio instruments at timescales $\gtrsim 10$ times the rise time of the SN. In most cases presented in this paper, the SN rise time is in the range 10–50 days. Therefore, the recommended timescale to conduct X-ray and radio observations is between three months and two years after the SN maximum light. Given the X-ray luminosities reported in Table 1, we suggest that a luminosity sensitivity of better than $\sim 10^{41}$ erg s$^{-1}$ is required in order to detect X-ray emission from these SNe.

We argue that in some cases bound-free absorption will play an important role at early and late times. Therefore, observations with the recently launched Nuclear Spectroscopic Telescope Array (NuSTAR; Harrison et al. 2010) in the 6–80 keV band may be extremely useful to test the theory and to study the physics of these collisionless shocks. Moreover, in the cases where bound-free absorption is important (e.g., $v_\beta \lesssim 10^4$ km s$^{-1}$; Chevalier & Irwin 2012), the spectral X-ray evolution as a function of time can be used to probe the column density above the shock at any given time, and to deduce the density profile outside the shocked regions. We also argue that in some cases, if the CSM has a steep density profile (e.g., SN 2010jl), it may be possible to detect radio emission.

Finally, we note that Katz et al. (2011) and Murase et al. (2011) predict that the collisionless shocks will generate TeV neutrinos. The detection of such neutrinos using IceCube (Karle et al. 2003) will be a powerful tool to test this theory and explore the physics of collisionless shocks.

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