Introduction
The risk of venous thromboembolism increases in patients post-surgically, such as after hip or knee replacement, fracture surgery, or atrial fibrillation.[1,2] Generally, vitamin K antagonists such as warfarin, phenprocoumon, and acenocoumarol are used to prevent thromboembolism risk,[3] but they have some disadvantages. First, international normalized ratio (INR) monitoring is commonly required for patients on vitamin K antagonists because of their narrow therapeutic index.[4,5] Second, vitamin K antagonists have long half-lives of approximately 40 h, so anticoagulant effects remain after treatment has been discontinued.[6] Finally, vitamin K antagonists have high inter-individual variabilities according to the cytochrome P450 2C9 (CYP2C9) and vitamin K epoxide reductase 1 (VKORC1) enzyme genotypes.[7-9] Furthermore, dietary control is recommended such as limiting vitamin K-rich foods.[10] Novel oral anticoagulants (NOACs) such as apixaban, rivaroxaban, edoxaban, and dabigatran have been developed to overcome these disadvantages.[11] NOACs have shorter half-lives (ap-
proximately 8–15, 5–13, 6–11, and 12–14 h for apixaban, riva-
roxaban, edoxaban, and dabigatran, respectively) than that of
warfarin (approximately 40 h), so their anticoagulant effects are
easily controlled.[4,12,13] Furthermore, dietary limitations are
not required for these drugs.[14,15]

Unlike conventional anticoagulants, apixaban does not re-
quire periodic monitoring, however, it does require monitor
under certain situations such as following the administration
of an overdose or before surgery.[6] Generally, the chromogenic
anti-Xa assay is used for monitoring apixaban concentrations
clinically.[16] Specific external calibrators are required to
quantify apixaban or rivaroxaban because this method was
originally designed to quantify plasma heparin concentrations.
[17] In addition, the sensitivities of the chromogenic assay and
dilute Russell viper venom time (DRVVT) method were 10–25
and 100–200 ng/mL, respectively.[18,19] Furthermore, these
methods have poor selectivity for apixaban quantification. For
example, in a previous study, apixaban was even detected in the
control group that was not administered an anticoagulant.[20]
Therefore, more sensitive and selective analytical methods for
apixaban are required for pharmacokinetic studies and effective
drug concentration monitoring.

Liquid chromatography-mass spectrometry (LC-MS) is gen-
erally used for analysis in various fields and is mainly used to
quantify drug concentrations clinically. Once the method is
established, analytes can be rapidly analyzed daily and it has the
advantage of high sensitivity.[21–23] Recently, published articles
suggest that analytical methods using LC-MS can be used to
quantify not only apixaban but also other NOACs such as riva-
roxaban simultaneously.[21,24] The objective of current study
was to establish a quantification method for detecting apixaban
in human plasma using UPLC-MS/MS and to apply the method
to a clinical studies.

Methods

Reagents

Apixaban and apixaban-d3 (internal standard, IS) were ob-
tained from Toronto Research Chemicals (Ontario, Canada).
Methanol, ammonium formate, and formic acid were obtained
from Sigma-Aldrich (St. Louis, MO, USA) and Duksan (Korea).
All reagents used in this study were either LC grade or extra-
pure grade. Millipore Milli-Q system at 18.2 MΩ (Billerica,
MA, USA) was used to obtain deionized water. Drug-free blank
plasma containing ethylenediaminetetraacetic acid (EDTA)-K3
was bought from Biochemed (Winchester, VA, USA).

Chromatographic methods

The analysis was performed on the Acquity™ UPLC (Waters,
MA, USA) and Xevo TQ-MS Triple Quadrupole Tandem Mass
Spectrometer (Waters, MA, USA). The separation of apixaban
in plasma was conducted using a mobile phase consisting of 2.5
mM ammonium formate (pH 3.0) (A) and 100% methanol con-
taining 0.1% formic acid (B) on the Thermo Hypersil Gold C18
column (150 × 2.1 mm, 1.9 μm). The run time using the gradi-
ent method was 3 min. Gradient method was applied as follows:
0–0.2 min (45% A), 0.2–0.5 min (15% A), 0.5–2.0 min (15% A),
2.0–2.1 min (45% A), and 2.1–3.0 min (45% A). The flow rate
was 0.35 mL/min. The column oven and autosampler tempera-
tures were set to 40°C and 10°C, respectively, and maintained
during analysis. Mass detection was conducted on positive
ionization using multiple reaction monitoring (MRM). Mass
transitions were set to m/z 460.17 → 199.09 for apixaban and m/
z 463.13 → 202.09 for the IS. The analyzed data were handled by
Masslynx version 4.1 (Waters, MA, USA).

Stock and working solutions

Apixaban and the IS stock solution (1 mg/mL) were dissolved
in 100% methanol. All of the calibration curve and quality con-
trol (QC) working solutions for apixaban were prepared by dilu-
tion using methanol. Calibration curve working solutions were
prepared to 10, 20, 50, 100, 200, 500, 1000, and 5000 ng/mL.
Working solutions for low, medium, high, and dilution integrity
QC (LoQC, MeQC, HiQC, and DiQC, respectively) samples
were prepared to 20, 150, 4,000, and 10,000 ng/mL, respectively.
The IS was diluted to 1 μg/mL.

Sample preparation

The plasma completely thawed at ambient temperature (18–
21°C) and was then briefly vortexed. Apixaban was extracted
by protein precipitation (FP) from the plasma, and then 100 μL
sample was transferred into a microcentrifuge tube to which
50 μL IS (apixaban-d3, 1 μg/mL in 100% methanol) was added.
Then 450 μL of 100% methanol (3-fold volume of the sample)
was added to the sample, followed by gentle vortexing for 5 min
and centrifugation for 10 min at 13,000 rpm. The methanol
phase was transferred into a vial for subsequent analysis.

Validation

Validation of the analytical method was performed in accor-
dance to “Guidance for Industry Bioanalytical Method Valida-
tion” published by the US Food and Drug Administration and
“Guideline on Bioanalytical Method Validation” published by
the Korean Ministry of Food and Drug Safety (MFDS).[25,26]

Accuracy and precision

To measure accuracy and precision, lower limit of quantifica-
tion (LLOQ), LoQC, MeQC, and HiQC samples were analyzed
five times within each batch (intra-batch), and consecutively
analyzed for three independent batches (inter-batch). The ac-
ceptance criteria of accuracy and precision were within ± 20%
for the LLOQ and ± 15% for the LoQC, MeQC, and HiQC.

Linearity and sensitivity

Calibration standards for apixaban were made by spiking the
working solution into drug-free blank plasma. The calibration
standards included a double blank (no apixaban or IS), blank (only IS), and eight-point samples (both apixaban and IS). Linearity was evaluated at a concentration range of 1–500 ng/mL. The coefficient of correlation \((r)\) of linear regression was used to determine if this value was greater than 0.997.

**Carry-over and matrix effect**

Carry-over was performed to determine if a high concentration of sample would affect the analysis of the next sample. A volume of 1,000 ng/mL sample (upper limit of quantification, ULOQ) was injected prior to injection of the double blank sample five times. When injecting the blank sample, the peak areas of apixaban and IS were less than 20% and 5% of LLOQ, respectively. The matrix effects were performed to assess the effects of the endogenous compounds on the analysis. LoQC and HiQC samples were prepared using six different individual plasma. The matrix effect calculated the peak area ratios for the analyte and IS of six independent LoQC and HiQC samples, and evaluated whether the CV (%) was within 15%.

**Extraction efficiency and dilution integrity**

The assessment of extraction efficiency was performed by comparing the apixaban area ratios of samples added before and after extraction. To assess the impact of dilution on analyte quantification, the DiQC sample was diluted 1:10 and 1:50, and then the diluted samples were analyzed. The apixaban concentration in the diluted sample was back-calculated by multiplying the result by the dilution factor.

**Stability**

Sample stability was assessed by analyzing post-processed samples that were stored in an autosampler at 10°C overnight. Short-term working solution and stock solution stability were assessed after the sample was kept at laboratory temperatures (18–21°C) for 10 h. We compared the apixaban peak area ratio of the sample left on the table with the newly prepared sample to obtain short-term stability. The freeze-thaw stability was measured by analyzing the apixaban concentration after repeating three cycles of freeze-thaw at -80°C and laboratory temperature for the LoQC and HiQC samples. Reproducibility of re-injection was assessed by comparing the measured concentrations of the LoQC and HiQC samples analyzed in the previous batch with the freshly prepared QC samples. The acceptance criteria of the stability were that the percentage (%) change of the calculated concentration should be within 15% of the theoretical concentration.

**Clinical study design**

The clinical study was conducted at Clinical Trial Center of Konkuk University Medical Center (Seoul, South Korea) in 23 subjects who voluntarily agreed to participate. Approval of the clinical study was obtained by the Institutional Review Board of Konkuk University Medical Center (IRB No. KUH1280105).

In the first period, the 23 subjects were administered a 10 mg apixaban tablet (Eliquis, BMS Pharmaceutical Korea Ltd., Seoul, South Korea) alone, followed by a wash-out period. In the second period, 16 patients were administered 10 mg apixaban with 20 mg rosuvastatin (Crestor Tab., AstraZeneca, Seoul, South Korea) and 7 were administered 10 mg apixaban and 250 mg lipatinib tablets (Tykerb Tab., Novartis Korea, Seoul, South Korea). To quantify apixaban, whole blood was collected at pre-dose (0 h) and 1, 2, 3, 4, 6, 8, 11, and 24 h after administration in EDTA-K$_2$ tube. The plasma was isolated by centrifugation of the collected blood at 3,000 rpm for 10 min at 4°C and transferred into microcentrifuge tubes. The plasma was stored at -70°C until subsequent analysis.

**Results**

**Method development**

The mass spectra and chemical structures of apixaban and IS are presented in Figure 1. In the electrospray ionization (ESI) positive mode, apixaban and IS showed good responses. Isocratic and gradient methods were tested for sample separation using deionized water, 0.1% formic acid, ammonium formate solution, 100% methanol, 100% methanol containing 0.1% formic acid, 100% acetonitrile, and 100% acetonitrile containing 0.1% formic acid. The results showed that the gradient method was more appropriate than the isocratic method. The separation method was developed based on a previous report,[21] and modified with 2.5 mM ammonium formate (pH 3.0) (a) and methanol containing 0.1% formic acid (b).

**Method validation**

**Accuracy and precision**

The accuracy ranged from 94.8% to 111.4% and from 98.8% to 106.2% for the intra-batch and inter-batch, respectively. The precision range was 0.49–4.54% and 2.24–8.72% for the intra-batch and inter-batch, respectively. The accuracies and precisions of the inter-batch and intra-batch are shown in Table 1.

**Linearity and sensitivity**

The calibration curve with a weighting factor of \(1/x\) showed good linearity ranging from 1 to 500 ng/mL \((r \geq 0.998 and r^2 \geq 0.997)\). In the LLOQ (1 ng/mL), signal-to-noise was >10. The double blank sample and chromatogram of the LLOQ are shown in Figure 2 and 3.

**Carry-over and matrix effect**

To determine the carry-over effect, the ULOQ sample (500 ng/mL) was injected and then five double blank samples were injected. The results indicated that there was no interference after injecting the double blank sample. After injecting the LoQC and HiQC samples prepared from six different individual plasma samples, the percentage coefficient of variation (% CV)
Table 1. Intra-batch and inter-batch accuracy and precision of validation batches

| Concentration (ng/mL) | 1    | 2    | 15   | 400  |
|-----------------------|------|------|------|------|
| **Accuracy (%)**      |      |      |      |      |
| Batch 1               | 94.80| 97.50| 98.12| 108.14|
| Batch 2               | 106.40| 97.80| 97.55| 104.51|
| Batch 3               | 111.40| 103.20| 100.69| 106.04|
| Inter-batch           | 104.20| 99.50| 98.79| 106.23|
| **Precision (CV, %)** |      |      |      |      |
| Batch 1               | 0.58 | 4.54 | 4.46 | 1.89 |
| Batch 2               | 4.49 | 4.52 | 4.11 | 2.13 |
| Batch 3               | 0.49 | 1.59 | 3.03 | 1.46 |
| Inter-batch           | 8.72 | 3.31 | 2.24 | 2.24 |

Figure 1. Mass spectra and chemical structures of (A) apixaban and (B) deuterated internal standard (apixaban-d3) in electrospray ionization (ESI) positive mode. The blue arrows indicate spectral peaks corresponding to each structure.
Figure 2. Representative chromatogram of (A) double blank human plasma and (B) lower limit of quantification samples.

Figure 3. Representative chromatogram of (A) apixaban and (B) internal standard in plasma after oral administration of apixaban 10 mg.
Extraction efficiency and dilution integrity

The extraction efficiency was evaluated at three QC concentrations, LoQC, MeQC, and HiQC, which showed recoveries of 16.57%, 15.24%, and 14.66%, respectively. The dilution integrity was assessed by diluting the DiQC (1,000 ng/mL) by 50- and 10-fold, respectively. The back-calculated nominal concentrations were 1042.8 and 1043.5 ng/mL for each sample. The accuracy and precision of the 50-fold dilution were 102.79–108.29% and 2.20%, respectively, and values for the 10-fold dilution were 102.33–108.67% and 2.43%, respectively. The results of the dilution integrity are presented in Table 2.

Stability

The stability of the apixaban working and stock solutions were evaluated under various conditions, and the results are presented in Table 3. The processed sample was stable for 24 h in the autosampler. The apixaban working and stock solutions were stable at laboratory temperatures for 10 h. Six LoQC and HiQC samples were within 15% of the nominal concentration change measured after three repeated freeze-thaw cycles. The re-injection change was within 15% of the nominal concentration compared with the test and reference samples. The results indicated that the developed method could be used to analyze apixaban under the investigated conditions.

Application of the analysis method to a pharmacokinetic study

The validated analytical method was applied to quantify apixaban in a clinical study. In Figure 4, the mean plasma apixaban concentration-time profiles for each group were presented. The mean ± standard deviation (SD) values of the maximum plasma concentration ($C_{\text{max}}$) and median (range) time to reach the $C_{\text{max}}$ ($T_{\text{max}}$) in the 10 mg apixaban single administration group

| Table 2. Dilution integrity of apixaban in human blank plasma |
|----------------|----------------|----------------|
|                | Back-calculated | Accuracy (%)   |
|                | concentration (ng/mL) |               |
| N = 5          | 50-fold dilution  | 10-fold dilution|
|                | Precision (% CV)  |                  |
| 1              | 1082.90          | 108.29          |
| 2              | 1037.40          | 103.74          |
| 3              | 1037.70          | 103.77          |
| 4              | 1028.10          | 102.81          |
| 5              | 1027.90          | 102.79          |
| Mean ± SD     | 1042.80 ± 22.92  | 104.28          |

| Table 3. Stability tests under various temperatures |
|-----------------------------------------------|
| Concentration (ng/mL) | 2     | 400   |
| Re-injecta            | -0.66 | 0.60  |
| Processed sampleb     | -0.34 | -0.21 |
| Freeze-thawc          | -3.57 | -4.61 |
| Short-termd           | 3.74  | 2.42  |
| Stock short-terme     | -5.56 | 13.13 |

aAfter three re-injections. bAfter 24 h in an autosampler at 10°C. cAfter three freeze-thaw cycles. dAfter 20 h at room temperature. eAfter 24 h at room temperature.

All of the results are presented as percentage (%) change.

Figure 4. Mean time-concentration profile after (A) administration of single dose of apixaban 10 mg and (B) co-administration with 20 mg rosvastatin (crossed square) or 250 mg lapatinib (solid square). Error bars represent the standard deviation (SD).
were 371.57 ± 95.23 ng/mL and 4 (1–4) h, respectively. The mean \( C_{\text{max}} \) and median \( T_{\text{max}} \) values of the 20 mg rosuvastatin co-administered group were 347.65 ± 73.63 ng/mL and 3 (2–4) h, respectively. The mean \( C_{\text{max}} \) and median \( T_{\text{max}} \) of the 250 mg laptatinib co-administered group were 529.01 ± 162.83 ng/mL and 3 (1–6) h.

**Discussion**

An analytical method used for the measurement of apixaban concentration was developed and validated in this study. The percentage change in accuracy, precision, and stability under various temperature conditions in validation batches were within 15% of the nominal concentration at the LoQC, MeQC, and HiQC. Three different concentrations of QC samples did not show significant changes after repeated freeze-thaws for three cycles at -80°C, and no significant changes were observed compared with the nominal concentration even at laboratory and autosampler temperature conditions (10 and 24 h, respectively). The time-concentration profiles for apixaban were determined using the developed method. Analyte ionization was performed in the ESI positive mode according to a previous report.[27,28] Comparing the positive and negative ionization mode, apixaban was detected in the positive ionization mode with high sensitivity. The mobile phases were evaluated using deionized water containing 0.1% formic acid, 2.5 mM ammonium formate (pH 3.0), methanol containing 0.1% formic acid, and acetonitrile containing 0.1% formic acid using the Thermo Hypersil Gold C18 column. Among them, 2.5 mM ammonium formate (pH 3.0) and methanol containing 0.1% formic acid (mobile phase A and B, respectively) showed the best peak shapes and excellent separation. Sample preparation using methanol showed better peak shape and reproducibility than those using acetonitrile did.

Generally, the chromogenic anti-factor Xa assay is used to quantify apixaban concentrations.[16,17] The correlation of apixaban concentrations and factor-Xa activity at the therapeutic range has been shown to be linear.[29-32] The dynamic ranges for apixaban were approximately 20–500 ng/mL.[17] This method was an indirect quantification method for apixaban using factor Xa activity with residual activated factor Xa.[18,29] Changes in the coagulation factor or other anticoagulants may affect apixaban quantification.[21] Chromogenic assay agents are generally stable for up to 4 months depending on the storage temperature conditions after reconstitution.[33] For example, STA™-Liquid Anti-Xa (Stago Group, France) is stable for 3 months at 2–8°C and Technochrom™ anti-Xa (Diapharma Group, Inc., West Chester Township, OH, USA) is stable for 4 months at 2–8°C. The direct quantification of apixaban using LC-MS could solve these drawbacks, as the analysis with this technique has high sensitivity and specificity.[34] In addition, relatively flexible ranges of the calibration curve could be used according to the study specification or sample status. Chromogenic or clotting-based assays such as prothrombin time (PT), thrombin time (TT), the chromogenic factor-Xa assay, and other methods indirectly quantify coagulation activity,[21] whereas the analytical method using LC-MS enables the direct quantification of anticoagulant concentrations.[21] The other advantage of using LC-MS is that it is not affected by changes in other anticoagulants or coagulation factors. In previous reported studies, apixaban was shown to be stable at -50°C and -80°C for 6 and 8 months, respectively.[27,32] Therefore, the analysis method using LC-MS may be useful for apixaban analysis that requires high selectivity and sensitivity such as for pharmacokinetic studies. Compared with previously reported methods,[12,21,28,35,36] the concentration of apixaban analyzed within 3 min on a C18 column with reliable reproducibility (accuracy and precision were within 15% range). Sample preparation with protein precipitation (PP) was used in the current study. PP is a simple and rapid preparation method compared with solid-phase extraction (SPE) or liquid-liquid extraction (LLE).[37,38] As the current method was rapid and relatively simple, it might be suitable for therapeutic monitoring or large scale sample analysis. A limitation of this study was that the method showed lower extraction efficiency than that of previous studies.[21,39] The three-replicated mean extraction efficiencies were calculated to be 16.57%, 15.24%, and 14.66% for the LoQC, MeQC, and HiQC, respectively. In the other reported studies, the extraction efficiencies were 104% [21] and ranged from 101.8% to 102.7%.[39] The extraction efficiency range in this study was 82% to 84% when it was calculated using the same method as that used in previous studies.[21,39] Although the extraction efficiency in this study was relatively lower than that previously reported, the relative SD (RSD) of the mean extraction efficiency was 6.5% (within 15%), indicating the reproducibility of the validation method; therefore, this method was considered reliable.

The \( C_{\text{max}} \) and \( T_{\text{max}} \) values were compared with other previous studies. In this study, the arithmetic mean and geometric mean ± standard deviation (SD) of \( C_{\text{max}} \) were 371.57 ± 95.23 ng/mL and 358.50 ± 1.32 ng/mL, respectively. Median \( T_{\text{max}} \) (range) was 4 (1-4) h. Frost, C. et al. reported the arithmetic mean ± SD of \( C_{\text{max}} \) and median (range) \( T_{\text{max}} \) were 176.3 ± 42 ng/mL and 3.0 (2.5-4.0) h after the single administration of apixaban 10 mg in healthy White and Black volunteers.[40] Upreti, V. V. et al. reported the geometric mean ± SD of \( C_{\text{max}} \) and median \( T_{\text{max}} \) (range) were 207 ± 24 ng/mL and 3.03 (2.0-6.0) h after single administration of apixaban 10 mg in healthy White and Asian volunteers.[41] Moreover Cui, Y. et al. reported the geometric mean \( C_{\text{max}} \) (CV) and median \( T_{\text{max}} \) (min, max) were 233.9 (26) ng/mL and 3 (2, 4) h after the same dosage administration in healthy Chinese volunteers.[42] The \( T_{\text{max}} \) was comparable with other reports, whereas the \( C_{\text{max}} \) was higher than the compared results. Apixaban was known as primarily metabolized by CYP3A4 and is a substrate of P-gp and BCRP. Genetic polymorphism, such as the ABCB1, ABCB2 and CYP3A5 gene, affected the disposition of apixaban.[43,44] Further studies with phar-
macogenetics approach might be needed to identify the cause of the differences of apixaban pharmacokinetic parameters in a different population.

**Conclusions**

An analytical method for the determination of apixaban was developed using UPLC-MS/MS. Previous anti-factor Xa assays indirectly measured the concentrations of apixaban. These methods were affected by changes in coagulant factors or co-administered drugs. However, the developed method using LC-MS in this study could be directly used to quantify the concentration of apixaban and had an LLOQ value of 1 ng/mL, which was lower than that of the anti-factor Xa assay method (approximately 20 ng/mL). Therefore, the LC-MS-based analytical method may be useful for pharmacokinetic studies and clinical applications.

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**Conflict of interest**

- Authors: All authors declare no competing financial interests.
- Reviewers: Nothing to declare
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