Robust Private Information Retrieval on Coded Data

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Abstract—We consider the problem of designing PIR scheme on coded data when certain nodes are unresponsive. We provide the construction of $\nu$-robust PIR schemes that can tolerate up to $\nu$ unresponsive nodes. These schemes are adaptive and universally optimal in the sense of achieving (asymptotically) optimal download cost for any number of unresponsive nodes up to $\nu$.

I. INTRODUCTION

Consider a user who wishes to download a certain file from a distributed storage system (DSS) while keeping the identity of this file private. The user’s concern about his/her privacy is due to many causes, such as concern about surveillance, protection against online profiling from companies, etc. Private information retrieval (PIR) schemes \[1, 2\] allow a user to achieve privacy by querying the different nodes in the system, while guaranteeing that no information is being revealed about which file is being retrieved. A straightforward PIR scheme consists of the user downloading all the files in the DSS, achieving perfect privacy. However, it has a very high communication cost. The literature on PIR has focused on efficient schemes that can achieve privacy while minimizing different system costs, and in particular, the communication cost, which has received the most attention \[3\].

Since its introduction in \[1\], the model of PIR assumes the data to be replicated on multiple nodes (e.g. \[4\]–\[8\]). Recently, there has been a growing interest in using codes in DSS to minimize the storage overhead of data. This has motivated recent works on PIR schemes for data stored under coded form and not just replicated \[9\]–\[16\]. The next example, taken from \[11, 12\], illustrates the construction of a PIR scheme on coded data.

**Example 1:** Consider a DSS with $n = 4$ nodes storing $m$ files $X_i = (a_i, b_i), a_i, b_i \in GF(3^4), i = 1, 2, \ldots, m$. The files are stored using an $(n, k) = (4, 2)$ MDS code over $GF(3)$. Let $A = \begin{bmatrix} a_1 & a_2 & \cdots & a_m \end{bmatrix}^T$ and $B = \begin{bmatrix} b_1 & b_2 & \cdots & b_m \end{bmatrix}^T$ represent the first and second half (block) all the files in the DSS, respectively. Nodes $1, 2, 4$ store $A, B, A + B, A + 2B$, respectively. The user requires to retrieve file $X_f = (a_f, b_f), f \in \{1, \ldots, m\}$, privately, by querying the four nodes, but without revealing any information about the file index $f$ to any of them. In the scheme in \[11, 12\], the user generates an iid random vector $u = \begin{bmatrix} u_1 & \cdots & u_m \end{bmatrix}^T$ with elements chosen uniformly at random from $GF(3)$ and independent of $f$, and forms the vector $e_f = \begin{bmatrix} 0_f & 1 & 0_{m-f} \end{bmatrix}^T$. Then, the user sends the query vectors $u$ to nodes 1 and 2 and $u + e_f$ to nodes 3 and 4. Each node responds by projecting its data onto the query vector it receives. Therefore, the responses of nodes $1, \ldots, 4$ are given by $u^T A, u^T B, u^T A + u^T B + a_f + b_f, u^T A + 2u^T B + a_f + 2b_f$, respectively. From the responses of the nodes, the user will be able to obtain privately file $X_f = (a_f, b_f)$. We measure the efficiency of a PIR scheme by its relative download cost referred to as communication price of privacy (cPoP). To retrieve 2 file symbols, the scheme downloads 4 implying $cPoP = 4/2 = 2$, which is asymptotically optimal (as $m \to \infty$) \[10, 13\].

In the previous scheme in Example 1 and its generalization in \[11, 12\], the user needs to wait for the responses of all the nodes to be able to decode the file. However, this may not be possible in many cases due to some nodes being unresponsive or due to network failures. Even when all the nodes are responsive, some of them may be slow (due to being busy or due to a slow connection). A single slow node will delay the user, even if all the other nodes are fast. In this case, it may be better for the user to “cut” the slow node, consider it unresponsive and re-query the fast nodes. We are interested in constructing PIR schemes that have this adaptive property.

A PIR scheme that can work even in the presence of unresponsive servers has been studied in the literature in the case of replicated data, and is called robust PIR scheme \[17\]–\[19\]. We say that a PIR scheme is $\nu$-robust if it can tolerate $\nu$ unresponsive or slow servers. The standard method for achieving robustness is to design the queries such that the nodes’ responses contain enough redundancy to tolerate $\nu$ erasures. In analogy with the existing work in the literature, we aim at designing $\nu$-robust PIR schemes that can operate on coded and not only on replicated data. However, we require the additional property that the scheme is universally optimal, in the sense of achieving the minimum cPoP simultaneously for any number of unresponsive nodes up to $\nu$ of them.

Therefore, we avoid having to design the scheme for the worst-case scenario assuming the maximum number of unresponsive nodes.

**Example 1 (continued):** Consider again the same setting as before. We want to design a universal 1-robust PIR scheme. We propose an adaptive scheme with two layers. The first layer is the same one described in the previous part of this example. If there is no unresponsive nodes the scheme stops after the first layer. The second layer depends on which node is unresponsive, or deemed slow, and is described in table 1 below.

Suppose, for example, that node 1 is not responsive. In this case, the user will be missing $u^T A$ (the response of node 1) and needs it to be able to decode using the 3 other responses from the first layer. The goal of the second layer is to retrieve...
TABLE I: An example of our proposed 1-universal and adaptive robust PIR scheme. The scheme has two layers, with ∈ indicating the unresponsive node.

| Layer 1 | Layer 2 |
|---------|---------|
| Node 1  | u       |
| Node 2  | u       |
| Node 3  | u + e_f |
| Node 4  | u + e_f |

The user wants to retrieve file \(X\), from \(n\) nodes, privately, meaning without revealing the index, \(f\), to any of the nodes. We assume that the nodes in the DSS do not collude and that \(f\) is chosen uniformly at random from the set \(\{1, \ldots, m\}\). We say that a PIR scheme over \(GF(q)\) is linear, and of dimension \(d\), when the request sent to node \(i\) is a \(d \times m\) query matrix, \(Q_i\), over \(GF(q)\). In this case, the response of a node is the projection of its data onto the query matrix. We want the PIR scheme to achieve perfect privacy, i.e., \(H(f|Q_i) = H(f)\), for all \(i\). Here, \(H(\cdot)\) denotes the entropy function.

**Definition 1 (Universal \(\nu\)-robust PIR scheme):** A universal \(\nu\)-robust PIR scheme is a PIR scheme which can tolerate up to \(\nu\) unresponsive nodes, and for any number of unresponsive nodes \(0 \leq i \leq \nu\), it achieves perfect privacy with minimum \(cPoP\) given by (assuming no node collusion)

\[
cPoP = \frac{n_i}{n_i - k},
\]

where \(n_i = n - i\) is the number of responsive nodes.

The parameter \(\nu\) can be between 0 and \(n - k - 1\). A 0-robust scheme is a non-robust scheme. If \(\nu = n - k\), i.e., there is no redundant data queried, then perfect privacy can not be achieved except by downloading all the files. If \(\nu > n - k\), the file can not be fully retrieved since the MDS code cannot tolerate more than \(n - k\) failures.
Theorem 1 gives the main result of this paper and is proved in Section IV.

**Theorem 1:** Consider a DSS with \( n \) non-colluding nodes and using an \((n, k)\) MDS code over \( GF(q) \). Then, the linear PIR scheme over \( GF(q) \) described in Section III is a universal \( \nu \)-robust PIR scheme, i.e., it achieves perfect privacy and has optimal \( cPoP = \frac{n}{n - \nu} \), where \( n_i = n - i \), for all number of unresponsive nodes \( i \), \( 0 \leq i \leq \nu \).

### III. Robust PIR Scheme Description

We describe here the universal PIR scheme referred to in Theorem 1. This scheme is adaptive and consists of two layers.

**A. Layer 1** is essentially multiple copies of the non-robust PIR scheme of Theorem 1 in [11]. This scheme requires a number of subdivisions \( \alpha = \frac{LCM(k, n-k)}{k} \) and is of dimension \( d' = \frac{LCM(k, n-k)}{n-k} \), i.e., it consists of \( d' \) subqueries.

WLOG, we assume the code is systematic and write \( n - k = \beta k + r \), where \( \beta \) and \( r \) are integers and \( 0 \leq r < k \) and \( \beta \geq 0 \). We divide the nodes into groups, as seen in Table II. The first group consists of \( k \) nodes and is divided into two sub-groups. The first consists of \( r \) nodes, which are chosen to be the first \( r \) nodes for the first subquery. The second is formed of the remaining \( k-r \) nodes. As for the parity nodes, we divide them into \( \beta \) groups of \( k \) nodes each, and one group of \( r \) nodes.

Table II describes the first subquery of the PIR scheme when the user wants file \( X_f \). The user generates a random vector \( u \), whose elements are chosen uniformly at random from the field over which the MDS code is defined. Next, we summarize how the remaining subqueries are constructed. For each subquery \( j, j = 2, \ldots, d' \), a new random vector \( v_j \) is created. The subqueries to the first group, assumed to be \( \beta \) groups of \( k \) nodes each, and one group of \( r \) nodes.

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**Table II:** First subquery, in the non-robust PIR scheme in [11] (no collision), assuming the user wants file \( X_f \).

| Nodes | Queries |
|-------|---------|
| 1     | \( u + e_{(f-1)\alpha+1} \) |
| 2     | \( u + e_{(f-1)\alpha+2} \) |
| \vdots| \vdots   |
| \( r \)| \( u + e_{(f-1)\alpha+r} \) |
| \( r+1\) | \( u \) |
| \( k \) | \( u + e_{(f-1)\alpha+r+1} \) |
| \( k+1 \) | \( u \) |
| \( 2k \) | \( u + e_{(f-1)\alpha+(\beta-1)d+1} \) |
| \( (\beta+1)k \) | \( u \) |
| \( (\beta+1)k+1 \) | \( u \) |
| \( n \) | \( u \) |

The number of subqueries for a non-robust scheme on \((n_i, k)\) MDS code is \( \alpha_i = \frac{LCM(k, n_i-k)}{k} \), and the dimension or number of subqueries of the PIR scheme is \( d_i' = \frac{LCM(k, n_i-k)}{n_i-k} \).

To achieve a universal \( \nu \)-robust PIR scheme on \((n, k)\) MDS code, we need enough “granularity” to account for the different number \( i \) of unresponsive nodes, for \( i = 0, \ldots, \nu \). The number of subdivisions \( \alpha \) for a universal \( \nu \)-robust PIR scheme is the \( LCM \) of the number of subdivisions, \( \alpha_i \), of the scheme for all possible numbers of responsive servers \( n_i \).

\[ \alpha = LCM(\alpha_1, \ldots, \alpha_\nu). \quad (2) \]

The number of subqueries sent in layer 1 is \( d_0 = d_0' \times \frac{\alpha_0}{\alpha} \). For this, \( d_0 \) random vectors \( u_1, \ldots, u_{d_0} \) are created (one random vector per subquery). Every \( \alpha_0 \) subqueries are queried in a set of \( d_0' \) subqueries.

**B. Layer 2** depends on which nodes are unresponsive. Thus, the user will cut those nodes off and compensate for the responses from these nodes using extra subqueries to the other \( n_i \) nodes. The goal of layer 2 is to allow the user to recover the responses that were missed in layer 1. However, this should be accomplished without violating the privacy constraint. Let us suppose \( n_i \) is the number of responsive nodes in layer 1. Hence, in each copy of the scheme in layer 1, there are \((n_0 - n_i) \times d_0 \) sub-responses missing. The goal of layer 2 is to recover these sub-responses. We will divide the missing parts into \( d_i - d_0 \) groups of size \( n_i - k \). Each of these groups will be asked for in one subquery, in the way a subquery is sent in an \((n_i, k)\) system to decode \( n_i - k \) parts in [11]. There are two cases:

- **Case 1:** If the missing part is a function of \( e_j \) the user shall send \( e_j + u_\alpha \), where \( u_\alpha \) is a new random vector, to one of the responsive nodes which never received a query on \( e_j \) in the previous subqueries. For instance, if the required file is \( X_\alpha \), \( e_1, \ldots, e_\nu \) are asked for in group 1, so to ask for them in the second layer, the user should ask them from any group other than group 1.

- **Case 2:** On the other hand, if the missing part is a “purely” random vector \( u_j \), for any \( 1 \leq j \leq d_0 \), the user shall send \( u_j + u_\alpha \) to one of the responsive nodes which never received a query \( u_j \) in the previous subqueries. For instance, to ask for a pure random vector \( u_j \) in layer 2, it should not be queried from group \( \beta \). Also, it should not be asked for from the \( k - r \) nodes in group 1 that have been asked for a purely random vector in subquery \( j \).

After setting those, the random vector \( u_i \) will be sent to the rest of the nodes (\( k \) nodes), in this subquery \( i \).

**A. Example on Scheme Construction**

**Example 2** (Universal 2-robust PIR): Consider the \((5, 2)\) systematic MDS code storing \( m \) files. Nodes 1, 2, \ldots, 5 store \( A, B, A + B, A + 2B, A + 3B \), respectively, where \( A \) and \( B \) are as defined in example 1. We want a universal 2-robust PIR scheme, a PIR scheme that will be optimal in terms of
communication price of privacy (cPoP) if 5 nodes, 4 nodes, and 3 nodes respond. Let us call \( n_0 = 5, n_1 = 4, n_2 = 3 \).

We consider the number of subdivisions and dimension of each code. For the \((5,2)\) code, \( \alpha_0 = 3 \) and \( d'_0 = 2 \), for the \((4,2)\), \( \alpha_1 = 1 \) and \( d'_1 = 1 \), and for \((3,2)\), \( \alpha_2 = 1 \) and \( d'_2 = 2 \).

For the code to tolerate failures, we need to subdivide the files into \( \alpha = \text{LCM}(\alpha_0, \alpha_1, \alpha_2) = 3 \).

The number of subqueries required in order to retrieve the \( \alpha \) parts of the file for code \((n_i, k)\) will be

\[
d_i = d'_i \times \frac{\alpha}{\alpha_i}.
\]

Thus, \( d_0 = 2, d_1 = 3, d_2 = 6 \).

Layer 1: Suppose the user wants \( X_1 \). The user first sends subqueries to the 5 nodes expecting all of them to respond. We use here the PIR scheme in [11] for the case of a \((5,2)\) MDS code. The user creates random vectors \( u_1, u_2, \) and send the queries as in layer 1 of table III.

Layer 2: Case 1: If one node does not respond, the user compensates for the missing information and sends an extra query to the other 4 nodes. In one query to a \((4,2)\) system, the user can decode privately 2 parts. In a \((4,2)\) system each query can give us 2 parts, thus 1 extra query can compensate for the unresponsive node, this matches the number of subqueries being 3. We generate a new random vector \( u_3 \) for this extra query.

Column \( i \) in layer 2 shows the subquery when node \( i \) does not respond. For example, when node 1 does not respond. We see that we are missing equation with \( u_1 + e_1 \), which is case 1 and \( u_2 \) which is case 2. For this, we will send a \( u_1 + u_2 \) to node 2 and \( u_1 + e_1 \) to node 3. Of course, then we send \( u_3 \) to nodes 1 and 4 to decode the interference. Table III shows the sent queries.

Case 2: On the other hand, if two nodes do not respond, then we need 4 extra subqueries. For example, if nodes 1 and 3 do not respond, there are 4 missing responses. Three of those missing parts are of case 1, \( e_1, e_2, \) and \( e_3 \), and one missing part is of case 2, \( u_2 \). The queries in this case are shown in table IV.

### IV. Proof of Theorem [11]

Before giving the proof of the theorem, we will state two properties of the PIR scheme in Theorem 1 in [11] that will be essential to prove Theorem [11].

Property 1: In the PIR scheme in [11], the query vectors in each sub-query can be permuted among the nodes without affecting the decodability and the privacy properties of the

| Layer 1 | Layer 2 |
|---------|---------|
| node 1  | \( u_1 + e_1 \) | \( u_2 \) | \( u_3 \) | \( u_4 \) | \( u_5 + e_2 \) | \( u_6 + e_3 \) |
| node 2  | \( u_1 \) | \( u_2 + e_1 \) | \( u_3 \) | \( u_4 \) | \( u_5 + e_2 \) | \( u_6 + e_3 \) |
| node 3  | \( u_1 + e_2 \) | \( u_2 + e_3 \) | \( u_3 + e_1 \) | \( u_4 + e_1 \) | \( u_5 + e_2 \) | \( u_6 + e_3 \) |
| node 4  | \( u_1 + e_2 \) | \( u_2 + e_3 \) | \( u_3 + e_1 \) | \( u_4 + e_1 \) | \( u_5 + e_2 \) | \( u_6 + e_3 \) |
| node 5  | \( u_1 \) | \( u_2 \) | \( u_3 \) | \( u_4 \) | \( u_5 \) | \( u_6 \) |

### TABLE III: Queries to the nodes when one node is unresponsive.

The 2 columns in Layer 1 represent the queries to all the nodes. Depending on which node is unresponsive (designated with \( \varnothing \)), one column in Layer 2 is chosen to query.

### TABLE IV: Queries to the nodes when nodes 1 and 3 are unresponsive

Scheme. This follows directly from the fact that the node groups (see for example Table II) can be chosen arbitrarily.

Property 2: The scheme in [11] allows the user to retrieve \( e_1 X \), which is, in other words, the file \( X_f \). This can be readily generalized to retrieve any \( uX \), where \( u \) is any vector of dimension \( m \), where \( m \) is the number of files.

Let us start by proving the decodability of the example in section III-A.

A. Example [2] - Decodability:

Let \( A \) and \( B \) be as defined in example [1].

Layer 1: The scheme’s decodability when all nodes respond follows directly from [11].

Layer 2: We will prove that the scheme applied in section III-A is decodable when node 1, for example, is unresponsive. The nodes project the query vectors on the data they hold and send the response back to the user.

1. We notice that from the third subquery, the user decodes the interference from nodes 3 and 4. Then gets \( a_{11} + b_{11} \) and \( u_2^T B \).
   - From the first subquery, \( a_{12} + 2b_{12} \) and \( a_{12} + 3b_{12} \) can be retrieved and thus decoding \( a_{12} \) and \( b_{12} \).
   - From \( u_2^T B \) and the response of node 4 in the second query, the user decodes the interference \( u_2^T A \) and \( u_2^T B \).
     The user can then retrieve \( b_{11}, a_{13} + b_{13} \), and \( a_{13} + 2b_{13} \). From these equations, along with \( a_{11} + b_{11} \) retrieved from the third subquery (second layer), the user decodes \( a_{11}, b_{11}, a_{13}, \) and \( b_{13} \).

Thus decoding all parts of the file 1. The cPoP of the scheme if one node is unresponsive is \( 12 \times \frac{1}{8} = 2 \) which is the same as the optimal cPoP found in [13]. When 2 nodes do not respond, if we look at the query table [IV] we notice that the missing parts are retrieved, and achieve cPoP = 3.

B. Decodability:

Layer 1: If \( n_0 = n \) nodes respond, the decodability follows from [11]. Every \( \alpha_0 \) parts are decoded in \( d'_0 \) subqueries, thus retrieving the complete file (i.e. \( \alpha \) parts) in \( d_0 = \frac{d'_0 \alpha}{\alpha_0} \) subqueries.
Layer 2: If \( n_i \) out of the \( n \) nodes respond, \( s_i = (n_0 - n_i) \times d_0 \) responses are missing. In each extra subquery, the user can decode \( n_i - k \) parts. Thus in total, from the \( d_i - d_0 \) extra subqueries the user can decode \( (n_i - k) \times (d_i - d_0) \) parts. We can see that \( (n_i - k)(d_i - d_0) = d_0 \times (n_0 - n_i) = s_i \), by substituting the value of \( d_i \) by its expression in (3). This shows that the number of decodable parts from the extra \( d_i - d_0 \) subqueries is equal to the number of missing parts.

Now the question is whether the new sub-responses are able to provide parts that are sufficient for the user to be able to retrieve the file he/she wants.

Here, we use properties 1 and 2. Using property 1 we can see that we can, in fact, decode \( n_i - k \) parts in each subquery of this layer, since those subqueries are similar to the schemes in (11), only permuted. Using property 2 we can see that if a missing response is a function of a random vector in a subquery of layer 1, the user can hide the random vector using the scheme in (11) and retrieve a new function, in layer 2, that could substitute the missing response.

When all nodes are responsive, the responses from layer 1 form a set of \( k \) independent equations about the \( k \) blocks of each stripe and about the interference, allowing the user to decode the file. However, when some nodes are unresponsive, some sub-responses, and therefore equations, are not retrieved. In this layer, the extra subqueries should be able to provide equations that will substitute those missing equations. Thus, those extra sub-responses, along with the sub-responses from layer 1, should form a system of \( k \) independent equations about each stripe and interference.

The number of unresponsive nodes can be at most \( \nu = n - k - 1 \). The number of independent equations required to retrieve a full stripe or to decode the interference is \( k \). To form a solvable system of equations about a stripe, a node is asked at most once about the same stripe. Thus, the number of missing sub-responses about a certain stripe or interference is at most \( \min(k, n - k - 1) \). Consider the number of unretrieved equations about a stripe is \( \gamma \). Since there are at least \( k + 1 \) responding servers, there will always be at least \( \gamma \) nodes that have not been asked for equations about this stripe before. The user can query those nodes to retrieve new equations about this stripe to substitute the missing ones.

Privacy: In each subquery, the query to a node is either one-time padded by an independent vector or the independent vector itself. Therefore, the privacy of the scheme follows from the fact that the nodes do not collude.

Optimality: The price of privacy is optimal for any number of responsive nodes \( n_i \geq n_\nu \), \( cPoP = \frac{d_0 n}{\nu d_0} = \frac{n}{n_\nu} n_\nu \), obtained by substituting equations (2) and (3) into this equation.

V. CONCLUSION

We studied the problem of constructing robust PIR schemes with low communication cost for requesting data from a DSS storing data using MDS codes. The responses from certain nodes may be very slow. In such case, the user would cut those nodes off and ask the rest of the nodes for what he/she should have received from this node. The objective is to allow the user to do this with low communication cost. We constructed adaptive universal \( \nu \)-robust PIR schemes with non-colluding nodes achieving the optimal price of privacy for all numbers of responsive nodes. The next steps would be to look into non-adaptive schemes, and schemes for colluding nodes.

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