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Dual-triggered nanoaggregates of cucurbit[7]uril and gold nanoparticles for multi-spectroscopic quantification of creatinine in urinalysis

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Plasmonic nanocomposites of cucurbit[7]uril and gold nanoparticles have been optimised and applied to rapidly detect and quantify creatinine (CRN) of clinically relevant levels in urinalysis. The in situ formation of plasmonic nanocomposites via aqueous self-assembly is mediated by a combination of the portal binding of CB7 and the electrostatic effects of CRN molecules, allowing independent spectral signatures to be extracted from the same sample solution using surface-enhanced Raman spectroscopy (SERS) and UV-Visible spectroscopy. Meanwhile the formation of host-guest complexes between CB7 and CRN allows quantification of CRN in highly diluted synthetic urine by localising CRN at or in close proximity to the plasmonic hotspots within the Au NP:CB7 nanoaggregates which enables highly reproducible SERS (within 5% error) with a sub-μM detection limit of 12.5 ng/ml (111 nM) and tolerance against the presence of proteins and other biomolecules in a complex matrix. Our nanobiosensing materials platform demonstrates the potential to be extended to other in-field applications.

Introduction

Urine is one of the major body fluids from which information on metabolism of the body and renal function can be extracted. It is readily available and can be obtained in a non-invasive way as opposed to blood serum. Creatinine (CRN), which is the end product of muscle metabolism, is a very important biomarker in clinical diagnosis, for example, to monitor the kidney filtration function in renal clearance test. As the excretion rate of CRN in urine is almost constant, it is used as the internal standard to normalise the variation of water and thus other analytes in urine. Early diagnosis of urine biomarkers can help to improve the efficiency of medical treatment and to prevent the progression from renal diseases to kidney failure which requires dialysis or kidney transplant to maintain life. In addition, elevated CRN level is related to diseases such as muscular dystrophy, hyperthyroidism and poliomyelitis.

The standard clinical method for CRN quantification is based on the Jaffé reaction first developed more than a century ago. The major drawback of the Jaffé reaction is its non-linearity, limitations in sensitivity, high cost of equipment, and long incubation time. As the excretion rate of CRN is almost constant, it is used as the internal standard to normalise the variation of water and thus other analytes in urine. Early diagnosis of urine biomarkers can help to improve the efficiency of medical treatment and to prevent the progression from renal diseases to kidney failure which requires dialysis or kidney transplant to maintain life. In addition, elevated CRN level is related to diseases such as muscular dystrophy, hyperthyroidism and poliomyelitis. The standard clinical method for CRN quantification is based on the Jaffé reaction first developed more than a century ago. The major drawback of the Jaffé reaction is its non-linearity, limitations in sensitivity, high cost of equipment, and long incubation time.
superhydrophobic Ag film,\textsuperscript{77} and Au NP-deposited blu-ray DVD\textsuperscript{28} were then developed, aiming to improve the sensitivity and signal reproducibility for CRN sensing. However, the fabrication of substrates is time-consuming and often involves complicated procedures.

Recently, it has been demonstrated that the issues of poor reproducibility and weak signal intensity result from uncontrolled aggregations of colloidal Au NPs, can be addressed by attaching macrocyclic molecules, cucurbit[n]urils (CB\textit{n} where \textit{n} = 5–8, 10), onto the Au NPs surface to form precise plasmonic nanojunctions with a controlled interparticle spacing.\textsuperscript{29–35} The aggregation of Au NPs showing SERS signals can be checked directly by dark- and bright-field microscopy.\textsuperscript{36} Furthermore, CBs, which are highly symmetric, rigid and Raman-active, can form host-guest complexes with small analyte molecules via encapsulation inside their hydrophobic cavity.\textsuperscript{37} The analyte molecules are hence localised right at centre or in close proximity of the plasmonic hotspots with enhanced SERS sensitivity.\textsuperscript{38} While there has been previous work on Au NP-CB SERS sensing, applications of this promising system in biosensing remain relatively limited in the literature.\textsuperscript{33,35} For instance, there is only one illustrative example on using this promising system for multiplexed sensing of neurotransmitters in synthetic urine which has left plenty of room for more application-oriented studies.\textsuperscript{39}

Herein we report the host-guest complexation between CB7 and an important biomarker CRN, and the quantitative detection of CRN in water and in diluted synthetic urine (SU) using Au NP-CB nanoaggregates for the first time. In contrast to precedent examples of Au NP-CB systems, however, the aggregation of Au NPs is mediated by both the portal binding of CB7 and the neutralisation of surface charges on the citrate-protected Au NP surface by CRN and its CB7 complexes, which exist in their protonated forms [CRN-H\textsuperscript{+}] and [CB7-CRN-H\textsuperscript{+}] under our experimental conditions. The plasmonic nanosubstrates formed by a combination of CB-mediated aggregation and CRN-mediated electrostatic self-assembly allow CRN to be detected and quantified via a facile multi-spectroscopic approach, i.e. SERS and UV-Vis spectroscopy. Our novel detection scheme can rapidly cross-validate the quantification results, where a single sample solution can simultaneously exhibit two independent spectral signatures as revealed by the far-field and the near-field effects of the plasmonic nanosubstrates (Figure 1). Notably, the SERS signals of CRN in urinalysis using our approach are highly reproducible (within 5% error) and tolerant to matrix effects with a sub-μM detection limit of 12.5 ng/ml (111 nM), which is the lowest among all current state-of-the-art methods to the best of our knowledge (Table S1). Our macrocycle-functionalised nanosubstrates has the potential for multiplexed biosensing in a complex matrix and is promising for other in-field monitoring outside biological and medical applications.

**Materials and methods**

**Materials**

40 nm citrate-capped Au NPs were purchased from nanoComposix. Paraformaldehyde, HCl, creatinine, urea, uric acid, citric acid, sodium phosphate monobasic and albumin from human serum were purchased from Sigma-Aldrich. Methanol, ethanol and KCl were purchased from VWR. Glycerol was purchased from Acros Organics. NaCl was purchased from Kanto Chemical. CB7 was synthesised according to literature.\textsuperscript{39} All chemicals were used as received without further purification. 18.2 MΩ Milli-Q water was used in all experiments.

**Preparation of synthetic urine**

Synthetic urine mimicking a person with normal and excess CRN level were prepared according to literature with modifications.\textsuperscript{40} For 100 mL of synthetic urine, 0.9 g urea, 16 mg uric acid, 0.5 g NaCl, 0.45 g KCl, 40 mg citric acid, 0.48 g sodium phosphate, 5 mg albumin and 0 to 300 mg CRN were dissolved in 90 mL of water. The prepared SU was then diluted with water for UV-Vis and SERS measurements.

**Simulations**

Density functional theory (DFT) calculations were performed using Gaussian and force-field calculations were performed using Chem3D. Geometry optimisation was performed using MMFF94, followed by full optimisation using dispersion-corrected functional (wB97XD/6-31G* and PCPM/wB97XD/6-31G*). Restricted (close-shell) models were used in all DFT calculations. The binding energy of [CB7-CRN-H\textsuperscript{+}] inclusion complex was calculated from the energy difference between the [CB7-CRN-H\textsuperscript{+}] complex and the total energies of CB7 and [CRN-H\textsuperscript{+}] optimised and calculated at the same level of theory. Raman spectra were simulated at CPCM/wB97XD/6-31G* level of theory.

**Nuclear magnetic resonance (NMR) spectroscopy**

4 mM CB7-CRN solution was prepared in D\textsubscript{2}O with 1:1 molar ratio. \textsuperscript{1}H NMR spectra were measured on a Bruker Avance III 400 spectrometer. Chemical shifts (in ppm) were referenced to D\textsubscript{2}O with δ = 4.79 ppm for \textsuperscript{1}H.
UV-Visible spectroscopy

UV-Vis measurements were performed on Boeco S-200 Vis spectrophotometer and Shimadzu UV-3600 UV-Vis-NIR spectrophotometer. For CB7-CRN binding studies, a small volume of 5 mM CB7 solution was added to 50 μM CRN solution in a cuvette with 1 cm optical path length sequentially up to 2 equivalents. The concentration of CB7 was increased gradually while that of CRN was kept approximately constant. For CRN sensing and quantification experiments, 20 μL of the pre-mixed stock solution of CRN and CRN in water (or diluted SU) was added to a 180 μL Au NP solution in a 0.5 mL Eppendorf tube. The sample solution was vortexed for 30 s before loading 100 μL into the cuvette. Controls were prepared in the absence of CB7. Five spectra were measured for each sample and an averaged value was taken.

Dynamic light scattering (DLS) and zeta potential measurements

500 μL Au NP-CRN or Au NP-CB7-CRN solution was added to a disposable semi-micro cuvette to measure average size while 750 μL of the same solution was added to a folded capillary zeta cell to measure zeta potential using a Malvern ZEN 3600 instrument. Five measurements were taken for each sample and an averaged was taken.

Raman and surface-enhanced Raman spectroscopy (SERS)

Raman and SERS spectra were acquired on a Renishaw Raman InVia Microscope with a 633 nm He-Ne laser (9.3 mw). The laser was focused onto the sample via a 50× objective lens (N.A. = 0.75) and the grating used was 1800 lines mm⁻¹, which gave a spectral resolution of 1 cm⁻¹. All spectra were calibrated with respect to Si and measured at room temperature. The sample solution was prepared as above for UV-Vis spectroscopy and 15 μL was loaded onto a custom-made cell for SERS measurements. Five measurements were taken across different regions of interest per sample and three accumulations of 30 s scan were acquired on each measurement. The spectra were averaged and baseline corrected using an asymmetric least squares (ALS) plugin in Origin.

Results and discussions

Host-guest chemistry of CB7 and CRN

Creatinine (CRN) exists in various molecular form in water due to tautomerism. The most stable form of CRN under standard conditions is (aminoo tautomer, 2-amino-1-methyl-2-imidazoline-4-one). The pKa of the conjugated acid of CRN (CRN-H⁺) is 9.2, implying that CRN exists in the protonated form in DI water and in synthetic urine (SU) where the pHe is between 5 and 7.

Upon addition of cucurbit[7]uril (CB7), CRN readily forms host-guest complexes mainly due to the release of high-energy water from the CB7 cavity, together with ion-dipole interactions and hydrogen bonding between the protonated site on CRN and the electron-rich carbonyl portal of CB7. This host-guest complexation has been verified by the characteristic upfield shifts of the CRN proton signals in ¹H NMR (Figure S1).

The change in chemical shift (Δδ) for H₆ (Δδ = -0.15 ppm) is much greater than for H₄ (Δδ = -0.02 ppm) after host-guest complexation of CB7 and CRN. This suggests H₆ (methyl group) is deep inside the CB7 cavity while H₄ (CH₃ group) stays closer to the carbonyl rim of CB7. A binding constant for the CB7-CRN complex of (1.17 ± 0.03) x 10⁻⁴ M⁻¹ was also obtained from UV-Vis titration by fitting a 1:1 binding model (Figure S2). The relatively low binding energy is probably due to the small molecular size and the high solubility of CRN in water.

Energy-minimised molecular models of the complex in gas phase (MMFF94 and wB97XD/6-31G*) and in water (CPCM/wB97XD/6-31G*) both support the NMR-derived host-guest binding geometry. The binding energy can be estimated by the energy of the complex [CB7-CRN-H⁺] minus those of the individual [CRN-H⁺] and CB7. The binding energy in gas phase and in water is calculated to be -36.12 kcal mol⁻¹ and -85.00 kcal mol⁻¹ respectively (See SI for details), consistent to previous report on similar host-guest complexes. As CRN is fully encapsulated by CB7, both of the CB portals should be available to bind to the surface of the Au NPs. Hence, CRN can be localised at the centre of the plasmonic hotspots which is particularly important for the subsequent SERS sensing.

Colourimetric Sensing of Au NP-CB7-CRN via UV-Vis Spectroscopy

Quantitative detection of CRN was first investigated using UV-Vis spectroscopy via CB7-mediated aggregation of citrate-stabilised Au NPs. Clinically relevant CRN concentrations in urine (300-3000 μg/ml, from normal to excess level) were prepared for this study. UV-Vis titrations of CRN were performed in water and diluted SU (2000x and 5000x) with a constant concentration of 10 μM CB7 as to ensure the formation of reproducible nanoaggregates (Figure 2, S3, S5). It should be noted that 5 μM CB7 can also aggregate Au NPs but takes longer time while 20 μM CB7 results in faster aggregations but shorter measurement windows due to faster precipitations. The aggregation of Au NPs by CB7 was evidenced by the characteristic colour change of the solution from red to purple and the appearance of a new localised surface plasmon resonance (LSPR) peak between 690-760 nm in the UV-Vis spectrum. This peak arises from the longitudinal plasmonic resonance which suggests the formation of chain-like Au nanostructures in the sample solution and the double-capping of CB7 by Au NPs.

Notably, a high linearity of the LSPR peak wavelength (λ_LSPR) and CRN concentration was found in water (R² ~ 0.93) as shown in Figure 2a, and in diluted SU (R² ~ 0.98) as shown in Figure 2b, with a detection limit as low as 0.06 μg/ml (0.53 μM). The low detection limit allows CRN to be quantified in highly diluted SU samples, which could minimise the signal perturbation from the complex matrix (i.e. matrix effects, see latter subsection). The optimal dilution factor of SU samples was found to be 2000x (Figure 2b) while reasonable correlation (R² ~ 0.81) can still be observed with a dilution factor up to 5000x (Figure S3). As a control experiment, the same titration experiments were repeated in the absence of CB7 (Figure S6). Interestingly, we found that CRN alone can also trigger aggregation of Au NPs in

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formation of host-guest complex, there is no direct evidence to support the sensing in urine via CB-mediated aggregation of Au NPs and molecules in the matrix; for instance, the potential measurements. Our results show that CB7-CRN complexes can further speed up the aggregation of Au NPs. The enhancement in aggregation speed can be attributed to the neutralisation of negative surface charges of the citrate-protected Au NPs upon attachment of the [CB7-CRN-H]+ complexes, which subsequently lead to a decrease in the long-range electrostatic repulsion between Au NPs, lowering the colloidal stability. This hypothesis can be verified by the decrease in the magnitude of zeta potential (i.e. less negative) and increase in hydrodynamic size of the nanoaggregates for the same concentration of CRN in the presence of CB7 (Figure S4). In the absence of CB7, [CRN-H]+ can also trigger aggregation of Au NPs via a similar electrostatic self-assembly mechanism, upon electrostatic interactions or chemisorption with the surface of Au NPs via its nitrogen containing groups, as supported by our UV-Vis, dynamic light scattering and zeta potential measurements.

While UV-Vis spectroscopy can potentially be used for CRN sensing in urine via CB-mediated aggregation of Au NPs and formation of host-guest complex, there is no direct evidence to show whether the shift in λ_{LSPR} is caused by CRN or other molecules in the matrix; for instance, the λ_{LSPR} of 0.60 μg/ml CRN is at 738 nm in 2000x diluted SU but at 731 nm in 5000x diluted SU. Nevertheless, colourimetric sensing via UV-Vis spectroscopy can be used as a rapid test to complement and cross-validate the quantitative SERS sensing in the next section.

**SERS sensing of CRN via CB-mediated Au nanoaggregates in water**

Quantitative detection of CRN in water and in diluted SU was also investigated by SERS as in the UV-Vis Spectroscopy. Unlike colourimetric sensing, SERS is highly specific as it can identify CRN via its vibrational fingerprints. The Raman spectrum of CRN powder is characterised by multiple peaks at 412, 577, 608, 674, 839, 904, 1246, 1416, 1478, 1657 and 1712 cm⁻¹ (Figure S7a). The Raman spectrum of CB7 powder is characterised by two major peaks at 444 cm⁻¹ and 833 cm⁻¹ which are attributed to ring scissor and ring deformation modes respectively (Figure S7b). It was challenging to measure Raman signals of CB7, CRN and CB7-CRN in water, even at high concentration, because of the intrinsically low Raman scattering cross-sections.

SERS signals were then measured by adding CB7, CRN and pre-mixed CB7-CRN solution into citrate-capped Au NP solution respectively. The SERS peaks of CB7 and CRN solution are broadened and slightly shifted in position when comparing to the Raman spectra of CB7 and CRN powder (Figure S8b), owing to solution effect and molecular interaction of CB7 with Au NPs. The experimental SERS data shows a very good match with the Raman spectra of CB7, CRN and CB7-CRN in water modelled at CPCM/wB97XD/6-31G* level of theory (Figure S8). As the SERS signals of the complex are approximately equal to the sum of CB7 and CRN, we can deduce that the major tautomerism of CRN in aqueous solution stays the same upon binding to CB7.

SERS titration of CRN was first performed in water by adding different concentrations of CRN from 0 to 1.50 μg/ml (13.3 μM), as in the UV-Vis titration, at a constant CB7 concentration of 10 μM to ensure the reproducibility of the SERS nanosubstrates (Figure 3a-b, see full spectra in Figure S9). CB7 defines the precise spacings between Au NPs by capping them via their electron-rich carbonyl portals, leading to the formation of strong and localised plasmonic hotspots. Although most of the CRN molecules are encapsulated within CB7, it is possible that a tiny amount of uncomplexed CRN could be trapped within the Au NP: CB7 nanoaggregates, when the concentration of CRN is relatively high (ratio of CRN:CB7 ≥ 1). The SERS signals of CRN can be observed clearly at 0.06 μg/ml (0.53 μM) with a good signal-to-noise ratio (SNR) of 12.8 which implies the actual detection limit should be 4.69 ng/ml (41.5 nM). The SNR is calculated by the intensity of the major CRN peak at 1434 cm⁻¹ against the background signal at 1800 - 2000 cm⁻¹. Good linearity (R² ~ 0.78 - 0.91) between the SERS intensity and log concentration of CRN was obtained for multiple characteristic peaks at 721, 877, 947, 1435 and 1461 cm⁻¹ respectively (Figure 3c). The slight drop in linearity from 1.05 μg/ml (9.28 μM) could be due to the LSPR peak shifting away from 633 nm excitation. It should be noted SERS signals of CRN could be observed in the absence of CB7 but a correlation between intensity and concentration of CRN is lacking (Figure S10a).
Figure 3. (a) Schematic illustration of the precise plasmonic hotspots within dynamic aggregates of Au NPs formed by 10 μM CB7 for CRN detection in water (not to scale). (b) SERS spectra of CRN in water with concentrations from 0 to 1.50 μg/ml (13.3 μM) with aggregation of Au NPs triggered by 10 μM CB7 (See Figure S9 for all concentrations). Spectra were baseline corrected and offset for clarity. (c) Corresponding plots of SERS intensity of the five characteristic CRN peaks in (b) against CRN concentration. Logarithmic fittings were performed to reveal correlation between SERS intensity and CRN concentration.

Figure 4. (a) Schematic illustration of the precise plasmonic hotspots within dynamic aggregates of Au NPs formed by 10 μM CB7 for CRN detection in SU after 2000x dilution with water (not to scale). (b) SERS spectra of CRN in SU with concentrations from 0 to 1.50 μg/ml (13.3 μM) with aggregation of Au NPs triggered by 10 μM CB7. Spectra were baseline corrected and offset for clarity. (c) Corresponding plots of SERS intensity of the five characteristic CRN peaks in (b) against CRN concentration. Logarithmic fittings were performed to reveal correlation between SERS intensity and CRN concentration. (Note: 1 μg/ml in measurement samples corresponds to 2000 μg/ml of the actual CRN concentration in undiluted patient samples.)
Quantitative SERS sensing of CRN in SU

The practical application of CRN detection in SU by SERS was investigated, as in the UV-Vis spectroscopy. The Raman signals in the prepared SU were attributed to urea and phosphate while the CRN signals were too weak to be observed under normal Raman spectroscopy (Figure S10c). SU was diluted with a high dilution factor (1000x - 5000x) for SERS measurements as to minimise the matrix effect. It should be noted only a very small amount of sample is needed for sensing. This has important implications to point-of-care monitoring of patients in critical conditions who often excrete a very small amount of urine. Since the sensing scheme is highly sensitive, dilution is needed to bring the concentration to a suitable range. Meanwhile dilution will also allow us to work on larger sample volume in subsequent steps, and therefore decreasing error in determining CRN concentration due to evaporation of water, as well as reducing loss of sample due to liquid transfer, together with other benefits such as minimising the matrix effect. No SERS signals of CRN can be observed in diluted SU in the absence of CB7 due to preferential surface crowding by other molecules as aforementioned (Figure S10b). Good general linearity was observed ($R^2 > 0.81 - 0.94$) in 1000x diluted SU but the error bars are relatively large for samples with high CRN concentrations (Figure S11). We found out the presence of excess CRN-H⁺ in the sample with a constant CB7 concentration of 10 μM caused a shift in the aggregation kinetics, resulting in faster aggregation and narrower measurement window.

SU was then diluted to 2000x to overcome the problem of high CRN to CB7 ratio in the samples. Strong correlations between the SERS intensity and log concentration of CRN ($R^2 > 0.95$) were found for all of the five characteristic CRN peaks with very small error bars (Figure 4b-c), indicating the high reproducibility of the SERS signals. Herein, our high-performance SERS sensing platform based on Au NP: CB7 nanoaggregates is able to detect a particular analyte (i.e. CRN) in a complex matrix (i.e. SU) via the formation of host-guest complexes and is tolerant to protein fouling (Figure 4a). The lowest CRN concentration measured in this study was 0.06 μg/ml (0.53 μM) in 5000x diluted SU with a decent SNR of 4.8 which indicates the actual detection limit is down to 12.5 ng/ml (111 nM) (Figure S12). Notably, the sub-μM CRN concentration measured by our SERS system is lower than all the previous reports (Table S1).

Matrix effect on SERS signals of CRN

The SERS signals of CRN characteristic peaks in 2000x diluted SU are 34.0% - 52.6% lower than those in water at the same concentration (Figure 5). This could be due to competitive binding between CRN and other biomolecules in the matrix to CB7 and Au NPs. For instance, uric acid can form a host-guest complex with CB7 while phosphate may bind to the Au NP surface. The matrix effect on the SERS signals of CRN can be reduced by increasing the dilution factor and thus recovering the CRN signals in water and increasing the SNR. Our SNRs are generally higher than the previously reported values.

Conclusions

In this work, we report a novel multi-spectroscopic nanosensing platform based on SERS and UV-Vis spectroscopy for an important biomarker creatinine (CRN) in urinalysis. Our approach achieved quantification of CRN of clinically relevant concentrations with rapid response, high sensitivity (detection limit down to 111 nM) and reproducibility (within 5% error). The dual-triggered self-assembly of the plasmonic nanosubstrates allows CRN quantification via independent spectral signatures from a single sample solution, which enhances data consistency and measurement throughput. Specific identification of CRN can be achieved using the SERS signals, which are selectively enhanced via novel host-guest complexation between CB7 and CRN. Meanwhile the bright SERS hotspots from precise sub-nm plasmonic junctions mediated by rigid CB7 molecular spacer allows CRN to be detected in highly diluted synthetic urine (SU), minimising matrix effects. The tolerance of our system against the presence of a large variety of molecules and proteins in SU.

Figure 5. SERS spectra of 0.60 μg/mL CRN in water and SU after subsequent dilutions, showing the matrix effect on the SERS signals of CRN. The CRN signals (marked by asterisks) in water are gradually recovered and the SNR is higher as the concentration of SU is lowered.
makes it promising for point-of-care and clinical applications. Given the wide range of small-molecular biomarkers that exist in their protonated forms in physiological conditions, we expect this nanobiosensing approach can be readily extended for detection of other types of molecules in complex media for in-field applications.

Conflicts of interest
There are no conflicts to declare.

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