Apparent Diffusion Coefficient Histogram Analysis Stratifies Progression-Free Survival in Newly Diagnosed Bevacizumab-Treated Glioblastoma

BACKGROUND AND PURPOSE: Currently it is difficult to predict tumor response to anti-angiogenic therapy in individual patients. Our aim was to determine if ADC histogram analysis can stratify progression-free and overall survival in patients with newly diagnosed GBM treated “up-front” (ie, before tumor recurrence) with bevacizumab.

MATERIALS AND METHODS: Up-front bevacizumab-treated and control patients (n = 59 and 62, respectively) with newly diagnosed GBM were analyzed by using an ADC histogram approach based on enhancing tumor. Progression-free and overall survival was determined by using Cox proportional HRs and the Kaplan-Meier method with logrank and Wilcoxon tests.

RESULTS: For up-front bevacizumab-treated patients, lower ADC values was associated with significantly longer progression-free survival (median, 459 days for ADC < 1200 versus 315 days for ADC ≥ 1200 10⁻⁶mm²/s; P = .008, logrank test) and trended with longer overall survival (581 versus 429 days, P = .055). ADC values did not stratify progression-free or overall survival for patients in the control group (P = .92 and P = .22, respectively). Tumors with MGMT promoter methylation had lower ADC values than unmethylated tumors (mean, 1071 versus 1183 10⁻⁶mm²/s; P = .01, 2-group t test).

CONCLUSIONS: Pretreatment ADC histogram analysis can stratify progression-free survival in bevacizumab-treated patients with newly diagnosed GBM. Lower ADC is associated with tumor MGMT promoter methylation, which may, in part, account for the favorable outcome associated with low ADC tumors.

ABBREVIATIONS: ADC = apparent diffusion coefficient; ADCc = mean ADC (10⁻⁶mm²/s) of the lower curve from the histogram analysis; FLAIR = fluid-attenuated inversion recovery; G = gross total resection; GBM = glioblastoma; HR = hazard ratio; KPS = Karnofsky Performance Status; M = methylated; MGMT = O6-methylguanine-DNA-methyltransferase; N = no tissue available; RPA = recursive partitioning analysis; S = subtotal resection and biopsy; U = unmethylated; VEGF = vascular endothelial growth factor

Glioblastomas (GBMs) are the most aggressive and lethal primary brain tumors; they secrete high levels of VEGF. VEGF increases cerebrovascular permeability and promotes tumor progression. VEGF is thought to be key to the “angiogenic switch,” which is hypothesized to promote new blood vessel formation and transform tumors into a more aggressive phenotype. Bevacizumab (Avastin) is a nonspecific monoclonal antibody to VEGF and, when compared with historical controls, improves survival for patients with recurrent GBM. Conversely, bevacizumab treatment appears to be ineffective at extending survival for patients with recurrent GBM. converse, bev- acizumab is thought to diminish radiation change but also could act to diminish delivery of drugs to tumor tissue, due to recovery of the blood-brain barrier. Epigenetic silencing of the DNA repair enzyme MGMT is one of the most important prognostic and predictive biomarkers associated with better outcomes as well as susceptibility to temozolomide therapy. MGMT promoter methylation can change following tumor resection and chemotherapy. Loss of methylation is associated with resistance to temozolomide therapy. Therefore, it would be useful to have a noninvasive surrogate of this marker. Recently, it has been proposed that certain MR imaging features, such as ring enhancement, are associated with MGMT promoter methylation status. The relationship between physiologic imaging biomarkers such as diffusion, typically measured in terms of the ADC, and MGMT promoter methylation status has not been reported, to our knowledge. ADC is lowered by increased cell attenuation but increased in association with vasogenic edema and necrosis. Edema and necrosis also are associated with higher levels of VEGF. ADC histogram analysis has been used to predict response to bevacizumab in patients with recurrent GBM, and minimum ADC values have been found to be
prognostic of outcomes in gliomas. In the current work, we assessed the ability of ADC histogram analysis to stratify survival in patients with GBM treated up-front with bevacizumab and investigated whether the prognostic utility of ADC is related to MGMT promoter methylation status.

Materials and Methods

Patients
All patients enrolled in this retrospective study signed institutional review board–approved informed consent agreeing to participate in a study correlating image analysis with clinical outcome. Data acquisition was performed in compliance with all applicable Health Insurance Portability and Accountability Act regulations. Most patients were part of the AVF3770 study, and some additional patients were acquired from the neuro-oncology data base of our institution on the basis of the selection criteria below. The study spanned April 2005 to November 2008. We analyzed 2 groups of patients. The first group (n = 59) received external beam regional radiation (6000 ± 200 cGy) started within 3–6 weeks after maximal tumor resection, concurrent with temozolomide and bevacizumab therapy. The second group of patients (control group, n = 62), received the same treatment except that bevacizumab was not given before tumor recurrence. However, on recurrence (n = 55), 34 patients (62%) in the control group were then treated with bevacizumab-containing regimens. Bevacizumab was given 2 weeks per cycle (10 mg/kg body weight). All patients met the following criteria: 1) pathology-confirmed GBM, 2) baseline (presurgical) MR imaging scan that included diffusion-weighted images, 3) minimum 1-year clinical follow-up, 4) age ≥18 years, and 5) KPS ≥ 60. Follow-up scans were obtained at approximately 4- to 6-week intervals. Steroid doses for patients at the time of initial scanning were not available in most cases. At the time of last assessment (January 2010), 112 of the 121 patients (93%) had progressed and 85 of 121 patients (70%) had died (38/59, 64%, for the up-front bevacizumab group and 47/62, 76%, for the control cohort).

Imaging
MR imaging was performed on a 1.5T scanner and typically included axial T1-weighted (TR, 400 ms; TE, 15 ms; section thickness, 5 mm), T2-weighted fast spin-echo (TR, 4000 ms; TE, 126–130 ms; section thickness, 5 mm), FLAIR (TR, 8802 ms; TE, 122 ms; TI, 2100 ms; section thickness, 3 mm), and diffusion-weighted and gadopentetate dimeglumine–enhanced (Magnevist, Berlex Laboratories, Wayne, New Jersey; 0.1 mmol/kg) axial and coronal T1-weighted images (TR, 400 ms; TE, 15 ms; section thickness, 3 mm) with an FOV of 24 cm and a matrix size of 256 × 256. Postcontrast images were acquired immediately following contrast injection. Diffusion images used a section thickness of 3–5 mm, FOV of 24 cm, and matrix size of 256 × 256 for most patients. Most patients were scanned with a 1.5T Sigma Horizon MR imaging unit (GE Healthcare, Milwaukee, Wisconsin) by using the standard diffusion-weighted imaging pulse sequence supplied by the scanner manufacturer. This pulse sequence includes 1 image acquisition at b = 0 s/mm² and 3 diffusion-weighted acquisitions using b = 1000 s/mm².

Volume Acquisition/ADC Histograms
Enhancing tumor volumes were segmented on postcontrast T1-weighted images on presurgical scans by using a semiautomated adaptive thresholding technique so that all pixels above the threshold value were selected (Fig 1). Therefore, significant regions of macroscopic necrosis that were not enhancing as well as cystic areas were excluded. The resulting regions of interest encompassing the entire enhancing tumor volume were verified by a board-certified neuroradiologist (W.B.P., with 6 years of experience) who was blinded to clinical outcome, and they were mapped to the ADC images. ADC values calculated on a pixel-by-pixel basis for the entire enhancing volume were used for histogram analysis and expressed in units of 10⁻⁶ mm²/s. ADC histograms were processed by using a 2-mixture normal distribution to provide optimal curve-fitting as previously described. Mean values for the lower peak (ADCL) were then generated, and tumors were dichotomized by using a cutoff for mean ADCL of 1200 based on prior work. Tumors with mean ADCL < 1200 10⁻⁶ mm²/s are referred to as “low ADCL tumors,” whereas tumors with mean ADCL ≥ 1200 10⁻⁶ mm²/s are referred to as “high ADCL tumors” (Fig 2).

Methylation Status
Methylation status was available for 89 patients and was determined from formalin-fixed paraffin-embedded tissue samples, as previously described.

Determination of Tumor Progression
Progression-free survival from the time of tumor resection was determined on the basis of the modified Macdonald criteria, in which nonenhancing tumor growth was also considered evidence of tumor progression as advocated by the Response Assessment in Neuro-Oncology Working Group. Specifically, to increase sensitivity for nonenhancing tumor progression, 2 reviewers (W.B.P. and A.L.) retrospectively assessed the MR imaging and backdated the time of progression to the earliest convincing worsening of FLAIR signal-intensity change compatible with nonenhancing tumor (this was done after the ADC histograms were generated to prevent unblinding of the reviewers). Discrepancies were resolved by consensus of the 2 readers. The main purpose of this retrospective method was to attempt not to have increased progression-free survival due to failure to recognize progression (particularly nonenhancing progression) in the context of anti-VEGF inhibition. Comparing the investigator-called date of progression with that from the radiographic review, we found only 9 of 59 (15%) patients who had a discrepancy, all of which were called “radiographic progression” before that called by the investigator. Similarly, to decrease the chance that pseudoprogression would be characterized as true tumor growth, we used the first postoperative scan as the baseline, and new areas of progressive contrast enhancement within the radiation field that developed within 3 months of the end of radiation therapy and then spontaneously resolved without change in treatment were assessed as pseudoprogression.

Statistical Methods
A test of the proportional hazards assumption was used after fitting uni- and multivariate Cox models, and 95% confidence intervals were generated. The Kaplan-Meier method with logrank and Wilcoxon tests was used to estimate progression-free survival. For all analyses, a P value < .05 was accepted as significant. Statistical analysis was performed with STATA 10.2008 (StataCorp, College Station, Texas).
Results

Patient Characteristics
The Table shows baseline patient characteristics. There was no significant difference in baseline ADC values or age between the experimental and control groups. As has been shown previously, RPA class was prognostic for survival (Cox model; HR, 1.7; \(P = .01\)). Overall mean ADCL was \(1158 \times 10^{-6}\) mm\(^2\)/s. This compares with a mean of \(1201 \times 10^{-6}\) mm\(^2\)/s for recurrent GBM in a prior study.\(^1\)

Progression-Free and Overall Survival
For up-front bevacizumab-treated patients but not the control group, there was a significant difference in progression-free survival between low ADC tumors and high ADC tumors (median, 459 versus 315 days; \(P = .008\), logrank test; Fig 3A, -B). Patients with low ADC tumors also tended to have better overall survival in the up-front bevacizumab-treated group (Fig 3C), though this was not quite statistically significant (\(P = .055\)). ADC values did not stratify overall survival in the control group (Fig 3D, \(P = .22\)).

Survival analysis also was performed for all patients as a single cohort, dichotomized by \(ADC_C < 1200 \times 10^{-6}\) mm\(^2\)/s. For patients with low ADC tumors, progression-free survival was initially longer in patients treated up-front with bevacizumab (Fig 4A, \(P = .001\) by the Wilcoxon test); however, the graphs crossed at approximately 550 days, so that there were more long-term (>1000 days) survivors in the control group. For patients with high ADC tumors, there was little difference in progression-free survival curves between up-front bevacizumab-treated and control patients (Fig 4B). Comparing overall survival between bevacizumab-treated and control patients, we found no difference for low ADC tumors (Fig 4C). However, for high ADC tumors, control patients (ie, patients who were not treated with bevacizumab in the up-front setting but 55% [34/62] of whom received bevacizumab at recurrence) had significantly longer survival than those treated up-front with bevacizumab (\(P = .005\), Fig 4D).

Of 62 control patients (no up-front bevacizumab), 55 patients had recurrent disease. Of these, 34 (62%) received bevacizumab at recurrence. Of the 34 control patients who received bevacizumab at recurrence, 50% (17/34) had high ADC tumors. These patients had longer survival than control patients (also treated with bevacizumab) with low ADC tumors (HR, 2.4; \(P = .02\)).

A multivariate Cox model using both RPA class and ADC data showed that for patients with up-front bevacizumab...
treatment, high tumor ADC was associated with an overall survival HR of 2.1 (\(P = .02\)), and the HR increased 1.8-fold for each level of RPA class increase (\(P = .030\)). Thus, ADC values stratified survival independent of RPA class in the up-front bevacizumab-treated group.

### ADC and MGMT Promoter Methylation Status

Methylation status was available for a total of 89 patients from the experimental and control groups. Of these, 36 (40%) had tumors that were determined to be methylated, comparable with rates of MGMT promoter methylation in other studies.\(^{25}\) Tumors with MGMT promoter methylation had lower mean ADC\(_L\) values compared with unmethylated tumors: 1071 ± 173 versus 1183 ± 215 10\(^{-6}\)mm\(^2\)/s, (\(P = .01\), 2-group \(t\) test; Fig 5). For patients treated up-front with bevacizumab, a multivariate analysis with both ADC\(_L\) and methylation status showed that methylation was significantly associated with outcome (HR, 0.26; \(P < .001\) for overall survival; HR, 0.35; \(P = .001\) for progression-free survival), whereas low ADC\(_L\) was not (HR, 0.90; \(P = .81\) for overall survival; HR, 0.64, \(P = .13\) for progression-free survival). For this cohort of up-front bevacizumab-treated patients, 19/24 (79%) tumors that were MGMT promoter methylated also were low ADC tumors. This association may explain why only methylation status was prognostic in the multivariate analysis. There was no significant relationship between either ADC\(_L\) or methylation and

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**Baseline patient demographics and response**

| Treatments | Bevacizumab | Control |
|------------|-------------|---------|
| **Men** (\(n = 38\)) | **Women** (\(n = 21\)) | **All** (\(n = 59\)) | **Men** (\(n = 40\)) | **Women** (\(n = 22\)) | **All** (\(n = 62\)) |
| **Age (yr)**\(^{b}\) | 58.5 ± 9.1 | 54.5 ± 7.0 | 57.1 ± 8.6 | 60.0 ± 10.5 | 58.5 ± 12.7 | 59.5 ± 11.3 |
| **Age range (yr)** | 35–75 | 42–67 | 35–76 | 34–79 | 35–77 | 34–79 |
| **Baseline mean\(^{b}\) ADC\(_L\) (10\(^{-6}\) mm\(^2\)/s)** | 1142 ± 211 | 1104 ± 179 | 1128 ± 199 | 1178 ± 227 | 1198 ± 221 | 1193 ± 231 |
| **Radiation dose (cGy)**\(^{c}\) | 5938 ± 303 | 5295 ± 1807 | 5709 ± 1132 | 6000 ± 516 | 6076 ± 342 | 6036 ± 435 |
| **MGMT**\(^{b}\) | M 13/38, U 25/38 | M 11/21, U 10/21 | M 24/59, U 35/59 | M 8/40, U 11/40, N 21/40 | M 4/22, U 7/22, N 11/22 | M 12/62, U 18/62, N 32/62 |
| **KPS**\(^{b}\) | 85.0 ± 8.9 | 83.8 ± 11.2 | 84.6 ± 9.7 | 86.3 ± 9.0 | 86.8 ± 10.4 | 86.5 ± 9.4 |
| **RPA**\(^{b}\) | III 5/38, II 2/21, III 7/59, IV 17/38 | IV 27/59 | V 16/38 | V 10/40 | IV 23/40, IV 8/22, IV 31/62 | V 10/40 |
| **Surgery type**\(^{b}\) | G 16/38, S 22/38 | G 7/21, S 14/21 | G 23/59, S 36/59 | G 18/40, S 22/40 | G 10/22, S 12/22 | G 28/62, S 34/62 |

\(^{a}\) Radiation dose information is available for 15 female and 17 male patients. Values represent the mean ± SD.  
\(^{b}\) \(P > .05\) between those treated with bevacizumab and controls from a 2-group \(t\) test for continuous variables or \(x^2\) test for categoric variables.  
\(^{c}\) \(P < .05\) between those treated with bevacizumab and controls from a 2-group \(t\) test for continuous variables or \(x^2\) test for categoric variables.
outcome in the control group, though for the control patients, only 30 had known methylation status, potentially limiting the statistical power of the analysis.

**Discussion**

In patients with recurrent GBM, bevacizumab-regimen treatment has been shown to improve the response rate, as well as progression-free and overall survival compared with historical controls. The response to bevacizumab is highly variable, but the mechanisms underlying bevacizumab susceptibility are not well-characterized. More recently, the effect of bevacizumab therapy has been studied when started within 3–6 weeks after maximal tumor resection, concurrent with radiation and temozolomide therapy (ie, up-front treatment). In this setting, although progression-free survival improved, no benefit to overall survival was found. In patients treated with

![Figure 3](image1.png)

**Fig 3.** Kaplan-Meier analysis of up-front bevacizumab-treated (A and C) and control (B and D) patients. The upper row represents progression-free survival, whereas the lower row is overall survival. For all graphs, the y-axis represents the percentage surviving.

![Figure 4](image2.png)

**Fig 4.** Kaplan-Meier analysis of low (A and C) and high (B and D) ADC tumors. The upper row represents progression-free survival, whereas the lower row is overall survival. For all graphs, the y-axis represents the percentage surviving.
bevacizumab at tumor recurrence, ADC histogram analysis appears to stratify survival.19 Therefore, in the current work, we investigated how ADC-defined subpopulations of GBM respond to up-front bevacizumab therapy, with the underlying goal of optimizing patient treatment regimens.

We found that low tumoral ADC was associated with longer progression-free survival compared with high ADC when patients were treated up-front with bevacizumab. ADC values also appeared to stratify overall (in addition to progression-free) survival in this group, though this did not reach statistical significance ($P = .055$). Conversely, ADC values did not predict progression-free or overall survival in patients who did not receive up-front bevacizumab ($P = .92$ and $P = .22$, respectively). Most interesting, for patients in this control cohort, most of whom received bevacizumab at recurrence, high ADC values were associated with significantly longer survival compared with patients who received up-front bevacizumab, whereas those patients with low tumor ADC had identical survival whether they received bevacizumab up-front or not. Potentially in this (the recurrent) setting, greater ADC implies less tumor cell density, which could positively impact outcomes.

One possible explanation for the association of improved progression-free survival in patients treated with bevacizumab is pseudoresponse.9 Pseudoresponse occurs because of the antipermeability effect of bevacizumab on the tumor, which can result in less enhancement, thereby making tumors appear to respond to treatment and also making tumor progression more difficult to detect. To minimize this effect, we retrospectively reviewed the MR imaging studies and backdated the time to progression to the time of earliest evidence of enhancing or nonenhancing tumor growth. For some analyses, all patients received bevacizumab therapy, in which case difficulty in detecting tumor progression would be the same for all tumors and thus would not explain the difference in progression-free survival between high and low ADC GBM. It is possible, nonetheless, that the difference in progression-free survival between bevacizumab-treated and control patients could be partly explained by pseudoresponse. However, as mentioned above, we did consider increased FLAIR signal-intensity change in the diagnosis of progression, and currently no more accurate methods to demonstrate tumor progression are available. Similarly, we took steps to minimize the mischaracterization of pseudoprogression as true progression. Pseudoprogression is likely not common in bevacizumab-treated patients because reduction in permeability diminishes contrast enhancement associated with radiation change.10 However, in the control group, we also analyzed the scans retrospectively to eliminate the miscategorization of tumor progression where enhancing lesions within the radiation field later resolved without change in treatment. While pseudoresponse and pseudoprogression could impact the surrogate end point of progression-free survival, they are not relevant when considering the true end point of overall survival.

Patients with high-ADC tumors who were treated with bevacizumab in the up-front setting had shorter survival than those who never received bevacizumab or who received bevacizumab only at recurrence. Previous studies have shown a survival benefit in patients with recurrent GBM treated with bevacizumab, though those studies did not stratify patients by ADC values.4,5 However, these results, taken together, raise the possibility that up-front bevacizumab treatment is ineffective in patients with high ADC tumors, distinct from the survival benefit achieved when patients are given bevacizumab as salvage treatment. The reason for this result is unknown, though there are several possibilities. For instance, bevacizumab treatment may not be effective immediately after surgery when there is little or no residual tumor on which bevaci-

![Fig 5. Box-and-whisker plot of ADC values in MGMT promoter methylated and unmethylated tumors. The cross on the boxplots represents the mean ADC values. Mean values are significantly different between the 2 groups ($P < .01$, 2-group t test).](image-url)
zumab treatment could act. Another possibility is that bevacizumab treatment restores the blood-brain barrier, thereby affecting the concentration of chemotherapeutics within tumor tissue. Temozolomide, for example, can cross an intact blood-brain barrier, but tumor blood volume and blood-brain barrier permeability (both potentially altered by bevacizumab treatment) are thought to impact intratumoral concentrations of this drug.12,27

Others have shown that lower ADC values in untreated gliomas are associated with shortened time to survival, in contrast to our results.20,21 However, there are several important differences between the ADC analyses. In the current work, we were not using the overall mean ADC or the minimum ADC but rather the ADCc fitted with a binormal distribution. Additionally, we used ADC values from regions of interest corresponding to the entirety of enhancing tumor and not minimum regions of interest or regions of interest generated from areas of nonenhancing T2-weighted signal-intensity change. Furthermore, all of our data are from GBM rather than a mixture of tumor grades, and we studied a much larger cohort of patients. Last, in contrast to the current study, these prior reports were conducted before bevacizumab therapy was widespread. Thus part of this discrepancy may be because bevacizumab therapy could affect survival in an ADC-dependent manner.

Previous work has shown that vasogenic edema and necrosis are associated with high VEGF levels as well as unfavorable outcomes in patients with GBM.18 Radiation injury also is associated with increased VEGF19 as well as increased T2-weighted signal intensity and higher ADC values.28 Because edema and necrosis would tend to increase ADC, diffusion imaging could potentially serve as a biomarker of VEGF expression, a hypothesis yet to be formally tested. One intriguing possibility is that bevacizumab treatment could be more effective at preventing or reversing the angiogenic switch in low-ADC tumors compared with high ADC tumors, the latter having more abundant VEGF production. Clearly the relationship between physiologic imaging biomarkers and molecularly defined phenotypes of GBM merits additional investigation.

One molecularly defined subgroup of GBM with a significantly better prognosis is tumors with methylation of the MGMT promoter.13 We tested the hypothesis that patients with low ADC tumors have longer survival because they are enriched by tumors with MGMT promoter methylation. We did, indeed, find an association between ADC values and methylation status: Methylated tumors had significantly lower ADC values than unmethylated tumors. In a multivariate analysis of up-front bevacizumab-treated patients using both ADCc and methylation status, only methylation was prognostically significant, indicating that the relationship between ADCc and tumor methylation may help explain the prolonged survival of patients with low ADC tumors in the bevacizumab-treated group. Previous work has shown that MR imaging features such as ring enhancement are associated with methylation status,16 but to our knowledge, this is the first work to show a relationship between methylation status and ADC.

There are several caveats to our study. Our methods require coregistration of postcontrast T1-weighted images with the ADC map, which could be a source of error, particularly if section thicknesses between the pulse sequences are not the same. For the control patients, there was some heterogeneity of treatment at recurrence, which could affect survival data. Additionally, a small number of control patients have yet to recur and, therefore, received no further treatment, potentially adding a component of selection bias in the analysis of overall survival. Steroid doses at first imaging were not available for all patients. Steroid doses could affect ADC values, though the effect is probably small, because it has been reported that steroid treatment reduces mean ADC by 7% in brain tumors.29 We analyzed ADC values from areas of enhancing tumor only, on the basis of previously established methods.19 We thought that this was more reproducible than including areas of nonenhancing tumor which can be ill-defined or obscured by edema, but potentially this choice could affect the analysis. As discussed above, accurate determination of tumor progression could be adversely affected by pseudoresponse and pseudoprogression. Additionally, for subgroup analyses, there were few patients. Therefore, these results need to be confirmed in a prospective study with a larger cohort of patients.

Conclusions
We showed the potential utility of enhancing-tumor ADC histogram analysis in stratifying response to up-front bevacizumab treatment, as well as an association between low ADC tumors and MGMT promoter methylation. The latter finding suggests that ADC-based metrics may merit further development as a noninvasive biomarker potentially useful in predicting sensitivity and emerging resistance to temozolomide treatment.

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