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Simplified Model for Restraining Effects of Backfill Soil on Integral Bridges

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Abstract

This paper presents the formulation of a simple method for the prediction of the additional stresses induced in frame type integral bridges due to thermal expansion of the deck. These stresses are controlled to a large extent by the restraint provided to the deck by the backfill soil adjacent to the abutments. The first part of the paper develops a realistic means of assessing appropriate stiffness values for the backfill; the approach presented makes use of results from recent high quality laboratory soil testing. A boundary element type approach is then used to derive approximate general expressions, written in terms of the soil stiffness and the flexural rigidity of the abutment, for the lateral and rotational restraint provided by both the abutment and soil. These expressions are subsequently manipulated into a form that enables designers to make use of standard frame analysis computer programs for the prediction of thermal expansion effects. The proposed method is shown to compare well with predictions obtained using a finite element model of the soil and structure.

1 Background

An integral bridge is one in which the bridge deck and its supporting abutments and piers are integrated to form a continuous framed structure. Although many existing traditional masonry and reinforced concrete arch bridges are of integral form, designers have, in recent times, favoured the isolation of the deck from its supports by means of bearings and expansion joints. The introduction of these features has resulted in an increased susceptibility to attack from the ingress of water and de-icing salts which have, in many cases, led to excessive maintenance and rehabilitation costs. Such instances have brought about renewed interest in maintenance free integral bridge construction.

Integral bridges have been used successfully in many countries, particularly in the USA and Canada, where overall deck lengths of up to 240m have been constructed. In the UK, it has been proposed [1] that all bridges with lengths of up to 60m and a skew of less than 30° be constructed integrally. Although many of the integral bridges in current use perform satisfactorily in service, there is some uncertainty amongst designers as to the degree of restraint provided by the surrounding soil to the bridge. An accurate assessment of this restraint is essential for the estimation of the additional forces and moments induced in the deck caused by its longitudinal expansion; such expansion takes place in response to temperature increases during both daily and seasonal temperature variations and leads to increases in axial stress and hogging moment in the deck.

This paper is concerned with the effects of deck thermal expansion on frame type integral bridges of the type shown in Figure 1. The effects of longitudinal deck movements in integral bridges supported on shallow foundations (or bank seats), where the lateral resistance is dominated by the sliding capacity of the footing, is the subject of another project currently underway at Trinity College Dublin. The consequences of deck contraction and expansion in instances where the base is pinned and the soil resistance may be ignored have been discussed by O’Brien and Flanagan [2].

2 Outline of Approach

An assessment of forces induced by thermal expansion in integral bridges (of the type shown in Figure 1) would commonly be made using a standard frame analysis computer package in which the soil is modelled as a series of linear springs. Such an approach, although relatively straightforward, is unrealistic as this representation of soil does not allow for the fact that movement at one level within the soil causes changes in stress at other levels. In addition, there is no satisfactory theory from which the spring constant (or modulus of subgrade reaction) can be derived.

Given these limitations, a simple predictive method (i.e., one which does not require use of the finite element method and a complex constitutive relationship for the soil) was
pursued which would model the backfill soil as a continuum with properties that were realistic and easily assessed.

The steps followed in the development of the predictive method described in this paper were:

(i) formulation of an expression for the stiffness of the backfill using results from recent research on the stiffness properties of cohesionless soils; this expression allows the designer to assess an appropriate equivalent linear stiffness modulus for the soil.

(ii) derivation of an approximate matrix, in terms of a linear stiffness modulus for the soil and the flexural rigidity of the abutment, that represents the lateral and rotational stiffness offered to the bridge deck by the abutment and soil.

(iii) use of the matrix derived in (ii) to develop a simple predictive model which allows thermal expansion effects to be estimated using a standard frame analysis computer program.

The proposed approach is validated by comparison with a finite element model of the bridge and soil.

3 Stiffness of Backfill Material

Backfill employed behind abutments is conventionally a free draining cohesionless material which is often compacted to minimise the length of the run-on slab. The degree of restraint provided to the bridge deck as it attempts to expand depends primarily on the stiffness characteristics of this backfill as well as on the flexural rigidity of the abutment. Appropriate modelling of this backfill is therefore vital to the accuracy of the analytical predictions.

3.1 General trends shown by cohesionless soils

The stiffness properties of siliceous cohesionless materials have been summarised in detail by many workers [3, 4, 5]. Four of the most significant of the findings relevant to abutment backfill are:

(i) Stiffness increases as the density increases or the void ratio (e) reduces. Tatsouka & Shibuya [3] and others, have confirmed that a suitable normalising function for the shear stiffness of clean siliceous granular deposits is given by:

\[
F(e) = (2.17 -e)^2 / (1+e)
\]  

The dry density (\(\rho_d\)) of the backfill is related to the void ratio (e) by the expression:

\[
\rho_d = G_s / (1+e)
\]

where \(G_s\) is the specific gravity of the soil particles (\(\approx 2.65\)).

(ii) Stiffness is approximately proportional to the square root of the current mean effective stress (\(p'\)) at very small strains but varies in direct proportion to \(p'\) at strains in excess of about 0.1%. (\(p'\) is defined as the mean of the effective stresses acting in the three principal stress directions.)

(iii) At a given density and stress level, the stiffness of a granular deposit reduces by a factor of between two and four for each log cycle increase in strain above the linear elastic limit (which is generally between 0.001% and 0.01%). Figure 2 shows typical examples of the variation of stiffness (expressed as the vertical secant Young’s modulus, \(E'_z\)) with vertical strain; these were measured in triaxial tests on sand samples with different consolidation histories.

![Figure 2: Typical Examples of the Variation of Stiffness (expressed as the secant Young’s modulus) with Strain](image-url)
Other factors affecting stiffness include: the previous direction of loading, the degree of ageing, the angularity of the deposit, the anisotropy and the shearing mode to which it is subjected to (see, for example, [5]).

### 3.2 Database of Soil Stiffness Measurements

The applicability of these general trends is examined in the following for a range of laboratory small strain stiffness measurements made on reconstituted siliceous sands and gravels. This review focused on recent tests for which the variation of shear stiffness ($G$) with shear strain ($\gamma$) was presented over the strain ranges of interest and where sufficient details concerning the tests and samples were reported. The database assembled, which is listed in Table 1, comprises 6 $K_0$ consolidated and 10 isotropically consolidated drained triaxial tests (CK0DTX and CIDTX), 5 $K_0$ consolidated undrained triaxial tests (CK0UTX) and 8 undrained torsional simple shear (TSS) tests. In all, 29 tests on a total of nine cohesionless deposits are examined.

| No. | Material                  | Test Type | $D_{50}$ (mm) | $e$  | $\sigma'_{v0}$ (kPa) | $\sigma'_{h0}$ (kPa) | OCR | $G_{0.01}$ (MPa) | $G_{0.1}$ (MPa) |
|-----|---------------------------|-----------|---------------|-----|---------------------|---------------------|-----|----------------|-----------------|
| 1   | Labenne sand [6]          | CK0UTX    | 0.3           | 0.62| 103                 | 57                  | 1   | 32             | 8               |
| 2   |                           | CK0UTX    | 0.3           | 0.62| 70                  | 48                  | 1.4 | 91             | 19              |
| 3   |                           | CK0UTX    | 0.3           | 0.62| 15                  | 21                  | 7   | 27             | 13              |
| 4   | Thames Gravel [7]         | CK0DTX    | 2.0           | 0.46| 102                 | 61                  | 1.4 | 110            | 28              |
| 5   |                           | CK0DTX    | 2.0           | 0.46| 188                 | 112                 | 1.4 | 175            | 31              |
| 6   |                           | CK0UTX    | 2.0           | 0.59| 102                 | 61                  | 1.4 | 105            | 23              |
| 7   |                           | CK0UTX    | 2.0           | 0.42| 102                 | 61                  | 1.4 | 135            | 30              |
| 8   | Thanet Sand [8]           | CIDTX     | 0.1           | 0.76| 300                 | 300                 | 1.1 | 100            | 63              |
| 9   |                           | CIDTX     | 0.1           | 0.76| 500                 | 500                 | 1.1 | 129            | 75              |
| 10  |                           | CIDTX     | 0.1           | 0.74| 600                 | 600                 | 1.1 | 290            | 94              |
| 11  |                           | CIDTX     | 0.1           | 0.74| 800                 | 800                 | 1.1 | 253            | 113             |
| 12  |                           | CIDTX     | 0.1           | 0.74| 1000                | 1000                | 1.1 | 292            | 135             |
| 13  | Toyoura Sand (reported in [5]) | CK0DTX | 0.15         | 0.79| 100                 | 45                  | 1   | 32             | 12              |
| 14  |                           | CK0DTX    | 0.15         | 0.79| 100                 | 70                  | 1   | 52             | 22              |
| 15  |                           | CK0DTX    | 0.15         | 0.79| 100                 | 100                 | 1   | 56             | 31              |
| 16  |                           | CK0DTX    | 0.15         | 0.79| 100                 | 150                 | 1   | 63             | 42              |
| 17  | Toyoura Sand [9]          | CIDTX     | 0.15         | 0.67| 78                  | 78                  | 1   | 76             | 43              |
| 18  |                           | CIDTX     | 0.60         | 0.55| 15                  | 15                  | 1   | 48             | 14              |
| 19  | Tidino Buzzard [9]        | CIDTX     | 0.55         | 0.66| 49                  | 49                  | 1   | 54             | 27              |
| 20  | Hime Gravel [10]          | CIDTX     | 1.9          | 0.55| 49                  | 49                  | 1   | 107            | 48              |
| 21  | Crushed sandstone [11]    | CIDTX     | -            | 0.22| 78                  | 78                  | 1   | 150            | 108             |
| 22  | Ham River Sand [12]       | TSS        | 0.4          | 0.77| 299                 | 263                 | 3   | 112            | 65              |
| 23  |                           | TSS        | 0.4          | 0.77| 109                 | 95                  | 3   | 55             | 23              |
| 24  |                           | TSS        | 0.4          | 0.77| 606                 | 297                 | 1   | 119            | 70              |
| 25  |                           | TSS        | 0.4          | 0.77| 300                 | 147                 | 1   | 72             | 35              |
| 26  | Toyoura Sand (reported in [3]) | TSS     | 0.15         | 0.69| 196                 | 66                  | 1   | 69             | 30              |
| 27  |                           | TSS        | 0.15         | 0.69| 98                  | 57                  | 2   | 80             | 37              |
| 28  |                           | TSS        | 0.15         | 0.69| 98                  | 39                  | 1   | 53             | 18              |
| 29  |                           | TSS        | 0.15         | 0.69| 49                  | 49                  | 4   | 62             | 24              |

Note: $D_{50}$ = mean particle size, $\sigma'_{v0}$ and $\sigma'_{h0}$ are initial vertical and horizontal effective stresses acting on samples, OCR = overconsolidation ratio, $G_{0.01}$ and $G_{0.1}$ = shear stiffnesses at shear strains of 0.01% and 0.1% respectively.

Table 1: Database of Laboratory Stiffness Measurements

The shear stiffness of the samples measured at shear strains of 0.01% and 0.1% ($G_{0.01}$ & $G_{0.1}$) divided by the void ratio function, $F(e)$, are plotted in Figure 3 against the mean effective stress ($p'$) divided by atmospheric pressure ($p_{atm}$). Plotted in this form, it is evident that stiffness at a given strain, normalised to take account of density variations, is strongly dependent on the
mean effective stress level (p’). The scatter about the mean trend lines on this figure can be explained by the other, previously mentioned, factors known to affect stiffness.

Further examination of the test results of the samples in the database indicated that the variations of shear stiffness with strain above the linear elastic limit could be approximated as a power function of the strain level, i.e.:

\[ G = f(p'^m) \]  

This is illustrated in Figure 4 which re-plots the Young’s moduli (E_z') of Figure 2 using logarithmic axes. It is evident that lines of slope ‘n’, varying between 0.3 and 0.45, closely match the experimental curves. The mean n value derived for all samples in the database was 0.4.
3.3 Soil Stiffness Correlation for Cohesionless Soils

The foregoing review has highlighted the need to model the dependence of the stiffness of cohesionless soils on density (or void ratio), stress level and strain level when describing the behaviour of the backfill adjacent to abutments. To avoid unnecessary complications in the derivation of an appropriate expression for the backfill stiffness, it was assumed when analysing the database listed in Table 1 that the degree of non-linearity of stiffness with strain is approximately constant for all soils (i.e. n is constant) and that stiffness is proportional to the square root of the mean effective stress at all strain levels. Shear moduli (G) were converted to equivalent Young’s moduli (E_s) taking \( \nu' = 0.25 \) and \( E_s = 2G(1+\nu') \) and the following best fit correlation was obtained:

\[
E_s \text{(MPa)} = 2.5G \text{(MPa)} = 150F(e) \left( \frac{p'}{p_{atm}} \right)^{0.5} \left( \frac{0.01}{\gamma} \right)^{0.4}
\]

with \( \gamma \) expressed as a percentage and \( 0.005\% < \gamma < 1\% \). This expression is in good general agreement with trends reported at the recent ‘pre-failure deformation of geomaterials’ conference in Sapporo, Japan (see, for example, [13]).

3.4 Equivalent Elastic Stiffness for the Abutment Soil

Figure 5 presents values of the Young’s moduli (E_s) for the backfill soil, derived using Equation 4, for a range of in-situ dry densities (\( \rho_d \)), mean effective stresses (p’) and average shear strain levels (\( \gamma \)). The designer should be aware of the following details when selecting an appropriate E_s value from this plot.

(i) The additional axial forces and hogging bending moments induced in integral bridge decks due to thermal expansion of the deck become larger as the degree of restraint and hence stiffness of the backfill soil increases. The design stiffness should therefore be a maximum credible value. In addition, it may be conservatively assumed that the backfill does not reach the passive limiting condition.

(ii) Cyclic variations in temperature (and associated expansions and contractions of the deck) will cause the backfill to compact and, with time, to tend to an equilibrium density compatible with the strain amplitude that it is regularly subjected to. It might be assumed that the granular backfill at this stage will have increased in density by up to 10% from its as-placed density.

(iii) Centrifuge tests reported by Springman & Norrish [14] have shown that the pressure distribution acting on an abutment following cyclic expansions and contractions of the deck is similar in form to the classical compaction stress distribution proposed by Ingold [15] in which horizontal stresses remain approximately constant to depths of up to 6m and typically have magnitudes of between 25 kPa and 50 kPa (depending on the type of compaction plant used). This observation suggests that use of a constant soil stiffness value with depth (for a given strain) is reasonably realistic and that upperbound mean effective stresses (p’) in operation during deck expansion lie between about 50kPa and 100kPa.
(iv) Strain levels induced by thermal expansion of the deck vary within the backfill and reduce with increasing distance from the deck-abutment connection where they are at a maximum. In selecting an appropriate equivalent linear elastic stiffness for the backfill, the designer must therefore ensure that its value is compatible with the average strain level in the vicinity of the abutment. Data presented by Card & Carder [16] and Springman & Norrish [14] and results from a number of finite element predictions of the type described later in this paper suggest that a suitable lower bound average shear strain is likely to be in the range $\delta/10$ to $\delta/20$ (where $\delta$ is half the thermal expansion of the deck, expressed in metres).

Although the adoption of a linear elastic modulus for the backfill is clearly incompatible with Equation 4 and points (ii), (iii) and (iv) above, it will be seen later that predictions of the additional stresses induced in the deck due to its thermal expansion are not particularly sensitive to the precise value of soil stiffness. Use of an appropriate linear modulus (estimated from Figure 5) will therefore be sufficient for most design situations.

4 Soil-Structure Interaction Analysis

A stiffness matrix, derived using the OASYS finite element computer code SAFE [17], was used to model the soil as an elastic continuum of uniform stiffness ($E_s$). The inverse of this matrix (i.e. the flexibility matrix) defines the magnitude of the displacements at 40 equally spaced nodes on the vertical free surface AB, shown in Figure 6, due to a unit load applied at any one of the nodes. The OASYS retaining wall program FREW [17, 18] combines this soil stiffness matrix with a matrix derived for the wall; this wall is represented by elastic beam elements in between nodes on the vertical boundary AB and may have a length shorter than the depth of the soil block ($h$). The program allows rotational and horizontal restraints to be applied at any node.

The stiffness matrix representing the horizontal and rotational restraint provided by the abutment and soil was derived by performing a parametric study using the FREW program. This study examined abutments of varying flexural rigidities ($EI_a$) and depths ($D$) and soil blocks of varying stiffness ($E_s$) and height ($H$). An implicit assumption in the analysis was that of zero load transfer from the base of the abutment to the soil.

The analyses involved applying (i) a horizontal displacement $\delta$ with zero rotation and (ii) a unit rotation $\theta$ with zero displacement to the top of the abutment for each set of input parameters. It was found that the flexural rigidity of the abutment $EI_a$ and the ratio $r$, defined as the soil stiffness $E_s$ divided by $EI_a$, were the most important factors controlling the computed magnitudes of the lateral forces $F_a$ and moments $M$ induced at the top of the abutment.

In contrast to the ‘no soil’ condition (as examined by O’Brien & Flanagan [2]), the depth of the abutment ($D$) had little influence on $F_a$ and $M$ for retained heights in excess of 5m. Further analyses indicated that $F_a$ and $M$ showed only slight reductions as the height of the soil block ($H$) was increased above 5m. Such findings are in keeping with the ‘long pile’ concept commonly introduced in the analysis of laterally loaded piles.

The results of computations carried out for $EI_a$ values in the range $1 \times 10^4$ to $5 \times 10^6$ kNm$^2$ and $E_s$ values in the range 10 MPa to 500 MPa are presented in Figure 7; results are plotted as ratios of computed values of $M/(EI_a\delta)$, $F_a/(EI_a\delta)$, $M/(EI_a\theta)$ and $F_a/(EI_a\theta)$ against ‘$r$’ using logarithmic axes. Points plotted represent the mean computed value of each ratio for each value of $r$ examined. Both the depth of the abutment ($D$) and the height of the soil block ($H$) were taken as 10m for these analyses so that typical values (if not slight overestimates) of the lateral and rotational stiffnesses provided by abutments embedded in backfill could be derived.
The ratios \( \frac{M}{EI \delta} \), \( \frac{F_h}{EI \delta} \), \( \frac{M}{EI \theta} \) and \( \frac{F_h}{EI \theta} \) are seen in Figure 7 to vary linearly with \( r \) (for \( r > 0.01 \text{ m} \)) when logarithmic axes are used; each ratio may therefore be described as a power function of \( r \). It can also be seen that, in keeping with elastic theory, the computations showed the ‘cross-terms’ \( \frac{F_h}{EI \theta} \) and \( \frac{M}{EI \delta} \) to be essentially equal for any given value of \( r \).

The predictions may be summarised by the following expression which describes the best fit lines to the results shown in Figure 7; the 2 x 2 matrix represents the lateral and rotational resistance of the abutment to a lateral displacement (\( \delta \)) and rotation (\( \theta \)):

\[
\begin{bmatrix}
\frac{EA_d}{L_d} + \frac{3EI_a}{H^3} & -\frac{3EI_a}{H^2} \\
-\frac{3EI_a}{H^2} & \frac{4EI_d}{L_d} + \frac{3EI_a}{H}
\end{bmatrix}
\]

(6)

where \( A_d, L_d \) and \( I_d \) are the cross-sectional area, length and second moment of area of the deck span respectively. When the bridge is embedded in soil and this is taken into account, the terms involving \( I_d \) are replaced with terms from Equation 5 and Equation 6 then becomes:

\[
\begin{bmatrix}
\frac{EA_d}{L_d} + \frac{3EI_a}{H^3} & -\frac{3EI_a}{H^2} \\
-\frac{3EI_a}{H^2} & \frac{4EI_d}{L_d} + \frac{3EI_a}{H}
\end{bmatrix}
\]

(7)

A comparison of Equations 6 and 7 shows that the influence of soil can be taken into account by analysing a model of the form illustrated in Figure 8. This is possible by using an equivalent abutment height and by adding a horizontal (translational) spring at X. Equating all terms in \( [K] \) and \( [K_c] \) except the first, yields an equivalent abutment height of:

\[
H_e = 3r^{0.25}
\]

(8)
To make the first terms equal requires a further adjustment which can be achieved by the addition of a spring at X of stiffness:

\[ k = \frac{11EI_r}{63} r^{0.75} \]  

(9)

In view of the approximate nature of Equation 5, this spring stiffness can be approximated to:

\[ k = \frac{EI_a}{6} r^{0.75} \]  

(10)

5 Example

An example serves to illustrate the simplified procedure. A 30m long 1.2m deep single-span reinforced concrete bridge is considered, supported by abutments extending to a depth of 6.5m below deck level. Young’s modulus for the concrete was taken as $30 \times 10^6$ kPa and the coefficient of thermal expansion was taken as $12 \times 10^{-6}$ per °C. The effect of a uniform temperature increase of 21°C in the deck was investigated. Three different scenarios were considered with two different soil stiffnesses ($E_s$) and two thicknesses of abutment ($t_a$). Table 2 shows the various stiffnesses, and the equivalent heights and translational spring stiffnesses used for the simplified pinned based models of the form of Figure 8. Analysis of these frames was carried out using a plane frame program [19]. Figure 9 shows the simplified model for the first of these three frames.

| Frame No. | 1     | 2     | 3     |
|-----------|-------|-------|-------|
| $E_s$ (kPa) | 400,000 | 40,000 | 40,000 |
| $t_a$ (m)  | 1.0   | 1.0   | 0.464 |
| $EI_a$ (kNm²) | 2,500,000 | 2,500,000 | 250,000 |
| $r = E_s/EI_a$ | 0.16 | 0.016 | 0.16 |
| $H_e$ (m)  | 4.74  | 8.44  | 4.74  |
| $k$ (MN/m) | 105.4 | 18.7  | 10.5  |

Table 2: Parameters for Simplified Pinned Based Models

The OASYS SAFE finite element computer program [17] was used to validate the results of the simplified analytical method. An illustration of the mesh used and the predicted deformed shape of the bridge and the surrounding soil in response to a temperature increase in the deck is shown in Figure 10. Further analyses using this mesh indicated that, for the reasons discussed in Section 4, the inclusion of a strip footing at the base of the abutment and of soil at its inner face (as in Figure 10) had a negligible influence on predictions.

Table 3 compares the moments and axial forces predicted in the bridge deck by the SAFE analyses with that of the simplified approach. Both sets of predictions are seen to be in relatively good agreement; the main reason for the discrepancies being the approximate form of the matrix in Equation 5. More importantly, it is evident that the magnitudes of the induced forces are relatively small e.g. the maximum bending stress term, $M/bd^2$, and the maximum axial stress of the three cases analysed are 0.13 N/mm² and 0.39 N/mm² respectively. Furthermore, it may be seen that the predictions are not overly sensitive to the choice of input parameters.

| Frame No. | 1     | 2     | 3     |
|-----------|-------|-------|-------|
| Moment (kNm) | -185 | -97   | -81   |
| Axial Force (kN) | 436  | 85    | 59    |

OASYS SAFE Finite Element Method

| Frame No. | 1     | 2     | 3     |
|-----------|-------|-------|-------|
| Moment (kNm) | -142 | -99   | -85   |
| Axial Force (kN) | 468  | 71    | 56    |

Table 3: Finite Element and Simplified Plane Frame Predictions of Bridge Deck Effects due to Temperature Increase of 21 °C

6 Conclusions

A simple predictive model is developed for integral frame bridges subjected to the effects of thermal expansion. Only
bridges with high abutments are considered, where sliding resistance effects are not significant. The model uses an equivalent abutment height with a single translational spring to simulate the combined resistance offered by the actual abutment and the soil.

Expressions for the equivalent abutment height and the spring stiffness are derived using data obtained from parametric computer studies which modelled the backfill soil as a linear elastic continuum of stiffness $E_s$. Guidance on the selection of an appropriate value of $E_s$ is deduced by application of research experience in the area of bridge abutments to a review and interpretation of recent high quality small strain stiffness measurements made in laboratory tests on cohesionless soils.

A typical example demonstrates the simplicity of application of the method using a frame analysis program such as is commonly used by bridge designers. The results are shown to comply well with those from a more elaborate Finite Element model of the soil and the structure.

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