The generalisation of the Coulomb gauge to Yang-Mills theory

Christofer Cronström

Physics Department, Theoretical Physics Division
FIN-00014 University of Helsinki, Finland

ABSTRACT

I consider the problem of generalising the Abelian Coulomb gauge condition to the non-Abelian Yang-Mills theory, with an arbitrary compact and semi-simple gauge group. It is shown that a straightforward generalisation exists, which reduces the Gauss law into a form involving the gauge potentials only, but not their time derivatives. The existence and uniqueness of the generalised Coulomb gauge is shown to depend on an elliptic linear partial differential equation for a Lie-algebra valued quantity, which defines the gauge transform by means of which the generalised Coulomb gauge condition is realised. Thus the Gribov problem is actually non-existent in this case.

11.15.-q, 04.20.Fy, 04.60.Ds

\textsuperscript{*} e-mail address: Christofer.Cronstrom@Helsinki.fi.

September 12, 1998
1 Notation and Conventions

The basic variables in Yang-Mills theory [1] are the gauge field $G_{\mu\nu}$ and gauge potential $A_\mu$, respectively. These quantities take values in a convenient (Hermitian) matrix representation of the Lie algebra of the gauge group $G$. The Lie algebra is defined by the structure constants $f_{ab}^c$ in the commutator algebra of the Hermitian matrix representatives $T_a$ of the Lie algebra

\[ [T_a, T_b] = if_{ab}^c T_c, \]  

(1)

where appropriate summation over repeated Lie algebra indices ($a, b, c, ..., f$) is understood. We assume $G$ to be semisimple and compact. Then a positive definite Lie algebra metric can be given in terms of the following Killing form ($h_{ab}$),

\[ h_{ab} = -f_{ab}^c f_{bc}^{b'} \]  

(2)

The inner product $(A, B)$ of any two Lie algebra valued quantities $A = A^a T_a$ and $B = B^a T_a$ is defined as follows,

\[ (A, B) = h_{ab} A^a B^b \]  

(3)

The form $h_{ab}$ and its inverse $h^{ab}$ are used to lower and raise Lie algebra indices, respectively.

In the notation introduced so far, we write the gauge potential $A_\mu$ as follows,

\[ A_\mu(x) = A_\mu^a(x) T_a \]  

(4)

where the argument $x$ stands for a space-time point in Minkowski space, which will be used as a base space. The gauge field $G_{\mu\nu}(x)$ is then given as follows,

\[ G_{\mu\nu}(x) = \partial_\nu A_\mu(x) - \partial_\mu A_\nu(x) - ig[A_\mu(x), A_\nu(x)], \]  

(5)

where $g$ is an arbitrary nonvanishing real parameter, which is introduced for convenience. An alternative to the notation (5) is the following,

\[ G_{\mu\nu}^a(x) = \partial_\nu A^a_\mu(x) - \partial_\mu A^a_\nu(x) + gf_{bc}^a A^b_\mu(x), A^c_\nu(x) \]  

(6)

Our remaining notation is fairly conventional. Greek letters $\mu, \nu, ...$ are spacetime indices which take values in the range $(0, 1, 2, 3)$. These indices are lowered (raised) with the standard diagonal Minkowski metric $g_{\mu\nu}$ ($g^{\mu\nu}$) with signature $(+, -, -, -)$. Latin indices from the middle of the alphabet ($k, \ell, ...$) are used as space indices in the range $(1, 2, 3)$. Unless otherwise stated, repeated indices are always summed over, be they Lie algebra-, spacetime- or space indices.

We finally state the gauge transformation formulae for the gauge potential and field, respectively, in our notation. Let $\Omega(x)$ denote a general gauge transformation, which here may be identified with a unitary matrix of the following form,

\[ \Omega(x) = \exp(iga^a(x) T_a), \]  

(7)
where the functions $\alpha^\alpha(x)$ are any sufficiently smooth real-valued functions on Minkowski space. The relevant gauge transformation formulae are as follows,

$$A_\mu(x) \xrightarrow{\Omega} A'_\mu(x) = \Omega^{-1}(x)A_\mu(x)\Omega(x) + \frac{i}{g}(\partial_\mu \Omega^{-1}(x))\Omega(x), \quad (8)$$

and

$$G_{\mu\nu}(x) \xrightarrow{\Omega} G'_{\mu\nu}(x) = \Omega^{-1}(x)G_{\mu\nu}(x)\Omega(x) \quad (9)$$

After these preliminaries the new generalised Coulomb gauge condition will be given and discussed in the next section.

## 2 The generalised Coulomb gauge condition

Let us first recall the usual Coulomb gauge condition in electrodynamics,

$$\nabla \cdot A(x^0, x) \equiv \partial_0 A^k(x^0, x) = 0 \quad (10)$$

The motivation for using condition (10) in electrodynamics, both classical and quantum, is sound; it enables one to solve Gauss law explicitly for the time component of the potential $A_0$ and leads to a fairly satisfactory canonical formalism, as is well known. The lack of manifest covariance of condition (10) under Poincaré transformations is not in any way serious; this circumstance can be compensated for by adjoining an appropriate gauge transformation to the Lorentz-transformation of the potential $A_\mu$.

In pioneering papers [2], [3] dealing with the quantization of Yang-Mills theory the condition (10) was taken over verbatim and was subsequently generally accepted as a bona fide gauge condition also in non-Abelian gauge theory until V. N. Gribov in 1977 demonstrated that condition (10) strictly speaking is not a gauge condition at all in the non-Abelian case [4]. It was later shown by Singer [5] that the so-called Gribov ambiguity persists for any gauge condition, if the gauge potentials are defined on compact versions of space-time such as e.g. $S_3$ or $S_4$, or stated more generally, if the base space is a compact manifold. For potentials defined on non-compact Minkowski space, the compactness condition can be taken to mean, roughly speaking, that the gauge potential and gauge field, respectively, ought to vanish sufficiently rapidly at infinity. The fact that there exists at least one gauge condition in non-Abelian gauge theory, which does not suffer from the Gribov ambiguity, namely the so-called Fock-Schwinger gauge [6], then indicates that one must be prepared to accept gauge potentials and gauge fields with non-trivial asymptotic behaviour, so that the compactness assumptions in Singer’s general argument do not apply.

After all this I will now write down the generalised Coulomb gauge condition advertised in the title of this paper. The condition in question is simply the following,

$$\nabla_k(A)\partial_0 A^k(x) \equiv \partial_k \partial_0 A^k(x) + ig[A_k(x), \partial_0 A^k(x)] = 0 \quad (11)$$
It is clear that condition (11) reduces to the ordinary Coulomb gauge condition (11) differentiated with respect to time $x^0$ in the Abelian case, when the commutator term in (11) disappears. However, it is a priori not clear that condition (11) in the general non-Abelian case is a gauge condition; that this is in fact the case will be demonstrated presently. The motivation for condition (11) comes from my analysis of the canonical structure of Yang-Mills theory. This will be briefly touched upon subsequently, and presented in greater detail in a separate paper [7].

I will now demonstrate that condition (11) is a gauge condition. It will be convenient to denote the time derivative of any quantity with a dot on top of that quantity, thus for example

$$\dot{A}_k(x) \equiv \partial_0 A_k(x)$$

Likewise it is convenient to introduce the notion "covariant gradient" $\nabla(A)$ for the differential operator operating on $\dot{A}^k(x)$ in (11), i.e.

$$\nabla_k(A) \equiv \partial_k + ig[A_k(x), ]$$

To demonstrate that (11) is a gauge condition, is equivalent to showing that there exists a gauge transformation $\omega$, say, by means of which a general gauge potential $A_\mu$, say, not necessarily satisfying any particular gauge condition, gets gauge transformed into a potential $A_\mu$ satisfying condition (11). Hence we consider the relation

$$A_k(x) = \omega^{-1}(x) A_k(x) \omega(x) + \frac{i}{g} (\partial_0 \omega^{-1}(x)) \omega(x)$$

It is now convenient to define a quantity $X_0$ as follows,

$$X_0(x) \equiv -\frac{i}{g} \dot{\omega}(x) \omega^{-1}(x)$$

It is clear that if the quantity $\omega(x)$ above takes values in the gauge group, then the quantity $X_0$ defined in Eq. (15) takes values in the corresponding Lie algebra.

Differentiating Eq. (14) with respect time $x^0$ one readily obtains the following result,

$$\dot{A}^k(x) = \omega^{-1}(x) \left( \dot{A}^k(x) + \nabla^k(A) X_0 \right) \omega(x)$$

It is then simple to verify that

$$\nabla_k(A) \dot{A}^k(x) = \omega^{-1}(x) \left( \nabla_k(A) \dot{A}^k + \nabla_k(A) \nabla^k(A) X_0 \right) \omega(x)$$

Imposing the condition (11) on the potential $A_k(x)$ is thus, according to Eq. (17), equivalent to the following requirement,

$$\nabla_k(A) \nabla^k(A) X_0 = -\nabla_k(A) \dot{A}^k$$

But Eq. (18) is a linear elliptic partial differential equation for the Lie algebra valued (matrix valued) quantity $X_0$ when the general unconstrained potential $A_k$ is considered given. Thus the
existence and uniqueness of the gauge condition (11) is essentially equivalent to the question of existence and uniqueness of solutions $X_0$ to the linear elliptic partial differential equation (18).

I have so far been a little cavalier with respect to the question of the domain of the independent variables $x \in \mathbb{R}^3$ in Eq. (18), where the time variable $x^0$ is a parameter.

It is appropriate to consider the differential equation (18) for any fixed $x^0$ in a finite simply connected domain $V$ with a smooth boundary $\partial V$, such as for instance a ball $B_R$ of radius $R$, centered at the origin of space coordinates, i.e.

$$B_R = \{ x | \ x \ < R \} \quad (19)$$

with a view of letting $V$ grow indefinitely (e.g. $R \to \infty$) later at some appropriate stage in the development of the formalism.

In such a situation the existence of a solution $X_0$ to Eq. (18) can not really be cast in doubt, provided only the unconstrained gauge potential $A$ satisfies some mild regularity conditions in $V$. The uniqueness of the solution $X_0$ is again related to the boundary conditions one wishes to impose on the quantity $X_0$ at the boundary $\partial V$.

We defer the discussion of the important question of possible boundary conditions till later, and consider in stead how a solution $X_0$ of Eq. (18) determines the gauge transformation $\omega(x)$, which is the object of prime interest in the present discussion. From the relation (15) follows that

$$\dot{\omega}(x^0, x) = igX_0(x^0, x)\omega(x^0, x) \quad (20)$$

where for convenience we have split the argument $x$ into its time ($= x^0$) and space ($= x$) components.

But Eq. (20) has the immediate solution

$$\omega(x^0, x) = \left[ T \exp(ig \int_{x^0}^z d\tau X_0(\tau, x)) \right] \omega(z^0, x) \quad (21)$$

where $T$ stands for time ordering in the exponential integral, and $\omega(z^0, x)$ is an initial value, which contains an arbitrary dependence on the space variables $x$. Thus, apart from an inessential initial value, depending on the space coordinates $x$ only, the solution $X_0$ of the partial differential equation (18) defines the gauge transform $\omega(x)$, by means of which the gauge condition (11) is attained, uniquely. The freedom of choosing any suitable initial value $\omega(z^0, x)$ in the relation (21) is a somewhat trivial ambiguity, and is not what is meant by a Gribov ambiguity. One can easily get rid of this type of residual gauge freedom by supplementing the condition (11) by a suitable additional gauge condition at the fixed initial time $z^0$. A possible additional condition of this kind is for instance the following,

$$x^k A_k(z^0, x) = 0 \quad (22)$$

which then fixes the gauge completely, apart from constant gauge transformations, naturally.
This concludes the demonstration that \( (11) \) is a \textit{bona fide} gauge condition, which is attainable by means of a gauge transform \( \omega(x) \), which is determined (uniquely modulo initial and boundary conditions) by the linear elliptic partial differential equation \( (18) \) for the Lie algebra valued quantity \( X_0 \).

3 The Gauss law constraints

In this section I consider the field equations for the Yang-Mills potentials, and show that the equation of constraint among these equations, namely the non-Abelian Gauss law, gets expurgated of any time derivatives if one uses the generalised Coulomb gauge \( (11) \), and in fact reduces to a form which resembles the corresponding equation in electrodynamics as closely as possible \[8\].

The Yang-Mills action \( S \), with a general potential \( A \), which is not supposed to satisfy any particular gauge condition, is as follows,

\[
S = -\frac{1}{4} \int d^4x (G_{\mu\nu}(A), G^{\mu\nu}(A))
\]  

(23)

As is well known, requiring the action \( (23) \) to be stationary with respect to variations of all the potential components \( A_\mu \), considered as independent quantities, yields the following field equations,

\[
\nabla_\nu(A)G^{\mu\nu}(A) = 0
\]  

(24)

A perhaps more interesting system of equations would be obtained by coupling the gauge field to appropriate matter fields. Then the right hand side of Eq. \( (24) \) would be replaced by a covariantly conserved matter current. Such an addition is not absolutely essential for the questions pursued in this paper, and will therefore not be contemplated further here. The non-Abelian Gauss law is obtained from the equations \( (24) \) for \( \mu = 0 \). Expressed in terms of the potential \( A \), the non-Abelian Gauss law reads as follows,

\[
\nabla_k(A)\nabla^k(A)A^0 - \nabla_k(A)\dot{A}^k = 0
\]  

(25)

A possible way of obtaining a canonical formalism for the Yang-Mills system, incorporating the constraint Eq. \( (23) \), is to use the gauge freedom to set \( A^0 = 0 \). In this so-called Weyl gauge \( \mathbf{A} \) \( (A^0 = 0) \), the non-Abelian Gauss law \( (25) \) is the following,

\[
\nabla_k(A)\dot{A}^k = 0
\]  

(26)

whereas the remaining equations of motion obtained from Eq. \( (24) \) are as follows,

\[
\dot{A}^k = -G^{0k}, \quad \dot{G}^{0k} = \nabla_\ell(A)G^{k\ell}(A)
\]  

(27)

The by now traditional way \[10\] of understanding the equations \( (26) \) and \( (27) \) as a canonical Hamiltonian system, is to first disregard the constraint \( (26) \), and to concentrate on finding a canonical Hamiltonian system of equations equivalent to the equations of motion \( (27) \) alone.
This is essentially trivial, and after quantisation one then incorporates the omitted constraint (26) as a condition on the set of states in the quantum version of the theory. While the procedure outlined briefly above is in many ways very interesting, it has not, to the best of my knowledge, yielded deep insight into the confinement question in pure Yang-Mills theory, i.e. the question of the glueball spectrum. The gauge condition (11) proposed in this paper enables one to elucidate the canonical structure of pure Yang-Mills theory in a way which differs from the traditional Weyl gauge canonical formalism outlined above.

Imposing the generalised Coulomb gauge condition (11) one observes that the non-Abelian Gauss law (25) reduces to the following,

\[
\nabla_k (A) \nabla^k (A) A^0(x^0, x) = 0 \tag{28}
\]

The equation (28) resembles the corresponding equation in electrodynamics as closely as possible, and defines the quantity \(A^0\) as a functional of the space components \(A_k, k = 1, 2, 3\) only, unless a dependence of the time derivatives of the potential components is introduced via the boundary conditions for the equation in question.

It should be noted that equation (28) in the general case has nontrivial solutions, despite being a homogeneous equation. Solutions which are regular for finite \(|x|\), will in general have nontrivial asymptotic behaviour for large \(|x|\).

Actually, the more interesting situation obtains if the gauge field gets coupled to the appropriate matter fields, in which case the right hand side of Eq. (28) gets replaced by a non-vanishing density. This generalisation may affect the possible asymptotic behaviour of the solution \(A^0\) of the corresponding inhomogeneous version of Eq. (28).

Implementing the particular version of the non-Abelian Gauss law (28) straightforwardly, as an equation determining the zero component \(A^0\) of the gauge potential, when the space components \(A_k, k = 1, 2, 3\) are supposed to be given, enables one to obtain a canonical formulation of Yang-Mills theory in the gauge (11) which is substantially different from the Weyl gauge treatment briefly presented above. Details of this construction will be given in a forthcoming paper [7].

4 Summary and Conclusions

In this paper I have shown that there is a gauge condition, Eq. (11), in Yang-Mills theory, which is a straightforward generalisation of the ordinary Coulomb gauge condition in electrodynamics. This new gauge condition is neither more nor less than what is needed in order to reduce the non-Abelian Gauss law, in its general form given by Eq. (25), to an equation not involving any time derivatives of combinations of potential components, namely Eq. (28), in perfect analogy with the corresponding equation in the Coulomb gauge formulation of electrodynamics. The particular version of the non-Abelian Gauss law, Eq. (28), which is valid in the gauge (11), is a (homogeneous) linear elliptic partial differential equation in the space variables \(x\), with time \(x^0\) acting as a parameter. This partial differential equation determines the \(A^0\)-component of
the gauge potential for given space components $A^k, k = 1, 2, 3$. The boundary conditions on the quantity $A^0$, i.e. the asymptotic behaviour of $A^0(x^0, \mathbf{x})$ for large $|\mathbf{x}|$, play an important role in the analysis of the solutions to the equation in question.

The proof that the proposed gauge condition (11) actually is a gauge condition, relies on the existence of solutions of a linear elliptic partial differential equation, namely Eq. (18), which is non-homogeneous, but otherwise of the very same form as the equation (28). There is a certain unification operating here; both the new gauge condition (11), and the corresponding particular version of the non-Abelian Gauss law (28), require the elucidation of the conditions under which there exist solutions to a certain linear elliptic partial differential equation. This equation is in any case one of the basic ingredients in the Yang-Mills equations, and requires such an analysis regardless of whether one is interested in the generalised Coulomb gauge condition (11) or not.

To the extent that appropriate boundary conditions select an essentially unique solution to the linear elliptic partial differential equation in question, Eq. (18), there are no Gribov ambiguities associated with the new generalised Coulomb gauge condition (11) proposed here. The freedom of making gauge transformations depending on the space coordinates $\mathbf{x}$ only, which is permitted by the new gauge condition (11), can trivially be reduced to the freedom of making global, i.e. constant gauge transformations only, by using a supplementary gauge condition such as Eq. (22) above.

Finally, it is possible to use the formulation of Yang-Mills theory in the new gauge (11) proposed here, as a starting point in developing a canonical [7] formalism for Yang-Mills theory, which differs in substance from the traditional Weyl gauge treatment [10].
References

[1] C. N. Yang and R. Mills, Phys. Rev. 96, 191 (1954).

[2] J. Schwinger, Phys. Rev. 125, 1043 (1962).

[3] J. Schwinger, Phys. Rev. 127, 324 (1962).

[4] V. N. Gribov, "Instability of non-Abelian gauge theories and impossibility of choice of Coulomb gauge" in materials presented at the 12th Winter LNPI School on Nuclear and Elementary Particle Physics, Leningrad 1977 (in Russian), in English SLAC-Trans-0176. See also V. N. Gribov, Nucl. Phys. B139, 1 (1978) as well as R. Jackiw, I. Muzinich and C. Rebbi, Phys. Rev. D17, 1576 (1978).

[5] I. M. Singer, Comm. Math. Phys. 60, 7 (1978).

[6] V. A. Fock, Sov. Phys. 12, 404 (1937); J. Schwinger, Phys. Rev. 82, 684 (1952). See also C. Cronström, Phys. Lett. 90B, 267 (1980); M. A. Shifman, Nucl. Phys. B173, 13 (1980) as well as references contained therein.

[7] C. Cronström, "Canonical structure of Yang-Mills theory", (In preparation).

[8] For an introduction to the Coulomb gauge formulation of quantum electrodynamics see e.g. S. Weinberg, "The Quantum Theory of Fields, Vol. 1", Cambridge University Press, Cambridge, 1995.

[9] H. Weyl, "Theory of Groups and Quantum Mechanics", Dover, New York, 1950.

[10] R. Jackiw, "Topological investigations of quantized gauge theories", Les Houches XL, 1983, Relativity, groups and topology. Eds. B. S. deWitt and R. Stora. Elsevier Science Publishers B.V., 1984.