Amylase-Protected Ag Nanodots for in vivo Fluorescence Imaging and Photodynamic Therapy of Tumors

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Background: Fluorescent metallic nanodots (NDs) have become a promising nanoprobe for a wide range of biomedical applications. Because Ag NDs have a high tendency to be oxidized, their synthesis and storage are a big challenge. Thus, the method for preparing stable Ag NDs is urgently needed. Surface modification and functionalization can enrich the capability of Ag NDs.

Methods: In this work, fluorescent Ag NDs were synthesized in deoxygenated water by using porcine pancreatic α-amylase (PPA) as the stabilizing/capping agent. The absorption and fluorescence of PPA-protected Ag NDs (PPA@AgNDs) were measured with a spectrophotometer and a spectrofluorometer, respectively. The morphology of PPA@AgNDs was characterized by high-angle annular dark-field (HAADF) scanning transmission electron microscopy (STEM). The biocompatibility of PPA@AgNDs was evaluated by tetrazolium (MTT)-based assay. PolyLys-Cys-SH (sequence: KKKKKKC) peptides were conjugated to PPA@AgNDs via heterobifunctional crosslinkers. PolyLys-Cys-linked PPA@AgNDs absorbed 5-aminolevulinic acid (ALA) by electrostatic interaction at physiological pH. The capability of tumor targeting was evaluated by intravenously injecting PPA@AgND-ALA into 4T1 breast cancer xenograft mouse models. Photodynamic therapy (PDT) against tumors was performed under 635 nm laser irradiation.

Results: PPA@AgNDs emitted at 640 nm with quantum yield of 2.1%. The Ag NDs exhibited strong photostability over a long period and a fluorescence lifetime of 5.1 ns. PPA@AgNDs easily entered the cells to stain the nuclei, showing the capabilities of living cell imaging with negligible cytotoxicity. ALA-loaded PPA@AgNDs (PPA@AgND-ALA) presented the superiority of passive tumor targeting via the enhanced permeability and retention (EPR) effect. Tumors were visualized in the near-infrared (NIR) region with reduced background noise. ALA molecules released from PPA@AgND-ALA was converted into the photosensitizer (PS) of protoporphyrin IX (PpIX) intracellularly and intratumorally, which greatly improved the PDT efficacy.

Conclusion: Our approach opens a new way to design a novel theranostic nanoplatform of PPA@AgND-ALA for effective tumor targeting and fluorescence image-guided PDT.

Keywords: α-amylase, Ag nanodots, peptides, targeted imaging, photodynamic therapy

Introduction
Noble metal (Au, Ag, Cu and Pt) nanodots (NDs) have recently become a useful tool in diverse biomedical applications.1–11 These NDs exhibit discrete electronic structure, photoluminescence (PL), intrinsic chirality, catalytic activity, and paramagnetism.12–18 Moreover, the toxicity of NDs is much lower than heavy metal-containing fluorescent
nanopores like quantum dots, making them as a promising alternative for biological imaging.\(^2,4,8-11\) So far, Ag NDs have not attracted as much attention as Au NDs due to their rapid decomposition to Ag ions upon exposure to water and oxygen, which is a big issue remaining unsolved.\(^19\) Unfortunately, the synthetic strategies of Au NDs are not all working for Ag NDs. For example, a few proteins such as bovine serum albumin (BSA), lysozyme, apo-α-lactalbumin, horseradish peroxidase (HRP) and bovine pancreatic ribonuclease A (RNase A) were reported to synthesize Au NDs.\(^20-24\) The redox-active Tyr and Trp residues of proteins are too weak to reduce Ag,\(^26\) since the redox potential of Ag/Ag⁺ is as low as ~0.80 V. Hence, a stronger reducing agent like NaBH₄ is needed. Xie and coworkers were the first group to report the synthesis of Au NDs stabilized by BSA.\(^26\) Shortly afterwards, the method was extended by Guével et al to prepare Ag NDs based on the reduction by NaBH₄.\(^25\) However, the strong reducibility of NaBH₄ made the reaction difficult to be controlled. Moreover, the protein coating increased the overall size. Likewise, Yu et al reported that fluorescent Ag NDs were synthesized within cellular nucleoli by photoactivation, depending on the high affinity of nucleolin to Ag ions.\(^26\)

In this paper, we employ porcine pancreatic α-amylase (PPA) as the stabilizing agent to synthesize fluorescent Ag NDs. As a single polypeptide chain, PPA (molecule weight: 51–54 kDa) is comprised of 475 residues to hydrolyze α-bonds of α-linked polysaccharides.\(^27\) It has two thiol groups and four disulfide bridges to offer a strong affinity for Ag. PPA-protected Ag NDs (PPA@AgNDs) exhibit strong red emission with peak maximum at ~640 nm and robust photostability, benefiting from our established method that can prevent Ag from tarnishing. The condense PPA coating isolates the Ag surface from corrosive O₂ in water for high stability. Moreover, Ag NDs can be internalized by living cells and even enter the nuclei to show the capability of cell imaging with negligible cytotoxicity. To construct a multifunctional nanoplanform, positively charged lysine-rich polyLys-Cys-SH (sequence: KKKKKKKC) peptides are covalently conjugated to Ag NDs by PEGylated SMCC crosslinker, and then negatively charged 5-aminolevulinic acid (ALa) is electrostatically adsorbed at physiological pH to form ALa-loaded PPA@AgNDs (PPA@AgND-ALA). Unlike many emerging therapeutic nanoparticles,\(^28-30\) ALa has been clinically used as a prodrug to induce the tumor accumulation of protoporphyrin IX (PpIX) for photodynamic therapy (PDT).\(^31-33\) PPA@AgND-ALA can passively target tumors through the enhanced permeability and retention (EPR) effect, and be endowed with fluorescent image-guided enhanced PDT of tumors.

### Methods

#### Chemicals

Porcine pancreatic α-amylase (PPA), 5-aminolevulinic acid (ALA), Rhodamine 6G (R6G), acetylacetone, formaldehyde and Dulbecco’s Modified Eagle Medium (DMEM) were purchased from Sigma-Aldrich. SM (PEG)\(_{12}\) crosslinkers and Slide-A-Lyzer™ Dialysis Cassettes (MWCO: 10 KDa) were purchased from Thermo Fisher Scientific Co., Ltd. Silver nitrate (AgNO₃), sodium hydroxide (NaOH), sodium borohydride (NaBH₄) and dimethyl sulfoxide (DMSO) were purchased from Sinopharm Chemical Reagent Co., Ltd. All chemicals were used as received without further purification. All solutions were prepared using purified water with resistivity of 18 MΩ cm⁻¹ as the solvent. The water was deoxygenated before use with a nitrogen purge for 1 h at the flow rate of 25 mL/s.

#### Synthesis of PPA@AgNDs

In a typical synthesis, 1 mL of AgNO₃ solution (10 mM) was mixed with 1 mL of PPA solution (50 mg/mL) under stirring in an ice bath for 1 min. Thereafter, 0.1 mL of NaOH (1 M) was dropwise added into the mixture. 0.05 mL of NaBH₄ solution (2 mM) was added. The color of solution changed from colorless to light brown and then to reddish brown, which indicated the formation of Ag NDs. The resultant solution was dialyzed using purified water in darkness for the follow-up use.

#### Conjugation of PolyLys-Cys-SH (KKKKKKC) to PPA@AgNDs

Two milligrams of polyLys-Cys-SH peptides were dissolved in 20 μL of DMSO and mixed with 25 mg/mL PPA@AgNDs in 1 mL of PBS solution (pH 7.2). Then, SM (PEG)\(_{12}\) crosslinkers with 1 mM final concentration were added and stirred for 2 h at 4°C. The NHS esters of SM (PEG)\(_{12}\) reacted with the primary amines of PPA to form amide bonds, while the maleimides of SM (PEG)\(_{12}\) reacted with the sulfhydryl groups of polyLys-Cys-SH peptides to form thioether bonds. Thus, polyLys-Cys-SH peptides were covalently conjugated to PPA@AgNDs. The resultant solution was dialyzed for 1 h with a dialysis cassette in PBS solution (pH 7.4) and kept at 4°C in darkness for the follow-up use.
Peptide-linked PPA@AgNDs was purified and kept at 4°C in darkness.

**Electrostatic Adsorption of 5-Aminolevulinic Acid (ALA)**

Conjugation of ALA to PPA@AgNDs modified with polyLys-Cys-SH peptides was achieved by electrostatic interaction between ALA and the positively charged lysine residues. Four milligrams of ALA was dissolved in 2 mL of Dulbecco’s Modified Eagle Medium (DMEM) and sterilized with filtration through a 0.2 μm filter. PolyLys-Cys-linked PPA@AgNDs were also sterilized. After that, 1 mL of ALA solution was mixed with 200 μL of polyLys-Cys-linked PPA@AgNDs, and the system pH was adjusted to pH 7.4 with 1 N NaOH solution. The resultant solution was dialyzed for 1 h with a dialysis cassette (MWCO: 10 KDa) in PBS solution (pH 7.4) to remove unbound ALA. After the synthesis of PPA@AgND-ALA, the unbound ALA was collected and its concentration in solvent was determined using a method reported by AI-Kassas et al.\(^{34}\)

ALA loading was calculated from:

\[
\text{ALA loading efficiency} = \frac{\text{mass of total ALA} - \text{mass of ALA in solvent}}{\text{mass of total ALA}} \times 100\% = 21.4\%
\]

**Materials Characterizations**

Fluorescence spectra were measured with a Hitachi F-4600 fluorometer. UV-visible absorption spectra were recorded with a Shimadzu UV-2600 spectrophotometer. Ambient-light and dark-field photographs of PPA@AgNDs were captured with an Olympus Stylus 7010 digital camera. Time-resolved fluorescence measurement was carried out with an FLS 920 fluorescence lifetime and steady-state spectroscopy. Scanning transmission electron microscopy (STEM) was recorded using a TEM/STEM microscope with an FEI Tecnai G2 F20 field emission gun and fitted with a Fischione HAADF detector, an 80 mm\(^2\) Oxford Instruments X-Max silicon drift detector (SDD) and a Gatan Orius. TEM sample was prepared by drop-casting PPA@AgNDs on copper grids coated with ultrathin carbon film and dried at ambient temperature and pressure conditions. Dynamic light scattering (DLS) and zeta potential (ZP) were carried out with a Mastersizer 3000 laser diffraction particle size analyzer.

**Calculation of Quantum Yield**

The quantum yield (Φ) of PPA@AgNDs is determined by the relative method and calculated according to the following equation:

\[
\Phi = \Phi_R \left( \frac{I}{I_R} \right) \left( \frac{A_R}{A} \right)
\]

where \(\Phi_R\) is the quantum yield of the reference R6G (Φ\(_R\)=94%); \(I\) and \(I_R\) are the integrated fluorescence intensities for PPA@AgNDs and R6G, respectively; \(A\) and \(A_R\) are the absorbance values of PPA@AgNDs and R6G, respectively.

**Cell Viability Assay**

Mouse breast (4T1) cancer cells were cultured as adherent monolayers in plastic tissue culture dishes in RPMI-1640 medium (ATCC, USA) supplemented with 10% heat-inactivated Fetal Bovine Serum (ATCC, USA) and antibiotic solution (1 mL per 100 mL of cell culture medium, Thermo Fisher Scientific, USA). The cells were cultured in 75 cm\(^2\) culture flasks and maintained within a Thermo Scientific Forma Direct-Heat CO\(_2\) incubator (37°C, 5% v/v CO\(_2\) atmosphere and 95% relative humidity). The cytotoxicity of PPA@AgNDs on 4T1 cells was assessed by MTT (3-(4,5-dimethylthiazol-2-yl) 2,5-diphenyltetrazolium bromide) assay. Cells were seeded into a 96-well plate at the density of 1.0×10\(^4\) cells/well in 100 μL of complete growth medium. After overnight initial plating, the medium was exchanged for fresh medium supplemented with PPA@AgNDs within a range from 0, 10, 100 to 200 μg/mL for 48 h with three wells per condition. PPA@AgNDs were dissolved in 1×PBS, and the final concentration was obtained by diluting PPA@AgNDs with complete culture medium. Fresh medium was used as untreated control group. Twenty microliters of MTT working solution (5 mg/mL) was added. After 4 h of incubation at 37°C, culture supernatant was removed from the wells, and the formazan crystals were dissolved in 150 μL of DMSO. One hundred microliters of the supernatant was transferred into a new 96-well plate after centrifugation (1000 g, 5 min). The samples were analyzed with an EL\(_\times\)800 absorbance microplate reader (BioTek Instruments, Winooski, USA) by measuring 570 nm reading with background correction at 630 nm. Healthy cells were used as control, so that the cell viability is regarded as 100%. The cell viability of PPA@AgND-treated cells was indicated as the percentage (%) of the control.
Apoptosis Analysis

4T1 cells were plated in a 24-well plate (1×10^5 cells/well) and incubated with gradient concentrations (0, 10, 100, and 200 µg/mL) of PPA@AgNDs for 48 h at 37°C. The cells were gathered and washed twice with PBS, and resuspended in 200 µL of binding buffer and incubated with 5 µL of annexin V-FITC and 1 µL of PI at room temperature, hatched at room temperature (RT) for 20 min in the dark. Then, 400 µL of binding buffer was added, gently mixed, put over the ice and immediately analyzed using a fluorescence-activated cell sorting (FACS) system (FC500 flow cytometer).

Tumor-Targeted Imaging in vitro and in vivo

In vitro cell imaging: Cell culture medium was changed daily. The cell medium was replaced by the fresh on the day of the test. After 2 h of incubation with PPA@AgNDs, the cells were washed thrice with 1×PBS buffer to wipe out unbound PPA@AgNDs. PPA@AgND-staining cells were under microscopic observation with a Leica SP5 confocal microscope.

In vivo tumor-targeted imaging: 100 µL of PPA@AgND-ALA was intravenously (IV) injected into 4T1 tumor xenografted nude mice models (n=3). The time-course in vivo and ex vivo imaging was carried out with an IVIS® Spectrum in vivo imaging system.

PDT in vitro and in vivo

In vitro PDT: 4T1 cells (1×10^4 cells/100 µL) were seeded in 96-well plates and placed in the incubator at 37°C for 24 h. The medium was then replaced with fresh one containing 100 µM PPA@AgNDs or PPA@AgND-ALA. After 6 h, the medium was replaced again with 1×PBS. Cells were illuminated under a 635-nm laser at the fluence rate of 100 mW/cm^2 for 10 min. Immediately afterwards, PBS was replaced again with fresh medium. However, the control group was not exposed to ALA or laser irradiation. After 24 h, cell viability was tested using MTT assay. The absorbance of samples was measured with the EL×800 microplate reader by measuring 570 nm reading with background correction at 630 nm.

In vivo PDT: 12 nude mice bearing 4T1 tumors were randomly distributed into four groups: (1) control group, injection of PBS (100 µL); (2) “laser” group, 635nm-laser irradiation (0.5 W/cm^2, 10 min); (3) “PPA@AgND-ALA” group, injection of PPA@AgND-ALA (100 µL, 100 µg/mL); (4) “PPA@AgND-ALA+ laser” group, injection of PPA@AgND-ALA (100 µL, 100 µg/mL) with 635 nm laser irradiation (0.5 W/cm^2, 10 min). For group (4), PDT was carried out on the tumor site at 4 h post-injection. Tumor volume and body weight were recorded every 4 days over a 24-day period. After the treatment, major organs (heart, liver, spleen, lung and kidney) and tumors of mice were collected for the hematoxylin and eosin (H&E) stain.

Results and Discussion

Synthesis of PPA@AgNDs

Figure 1 describes the synthesis procedures of fluorescent Ag NDs based on the kinetic template effect of PPA. Firstly, AgNO_3 was mixed with PPA in aqueous solution under vigorous stirring, and the metal cations were coordinated to cysteine (Cys) residues. Secondly, NaBH_4 was added to reduce the Ag ions from +1 to 0. Four disulfide bonds (S-S) broke into eight thiols to stabilize the Ag NDs with two extra thiols. NaBH_4 played a vital role in the synthesis of Ag NDs, because too much NaBH_4 resulted in large, non-fluorescent Ag NPs. Thus, the synthesis has to be progressed in low temperature to decelerate the redox reaction. As the solvent, water was deoxygenated beforehand with a nitrogen purge for 1 h at the flow rate of 25 mL/s to minimize dissolved oxygen, so PPA@AgNDs were less likely to be oxidized throughout manipulation. PPA@AgNDs were purified by dialysis in 1×PBS and stored at 4°C in darkness for subsequent use.

![Figure 1 Schematic of synthesis of fluorescent PPA@AgNDs.](image-url)
Photophysical Properties of PPA@AgNDs

As shown in Figure 2A, the UV/vis absorption of PPA@AgNDs has a small shoulder peak at ~420 nm because of surface plasma resonance (SPR) absorption. Figure 2B presents that nearly a half of the band falls in the red spectrum (580 to 700 nm) with a peak maximum at 643 nm and a narrow full width at half maximum (FWHM) of ~126 nm. The quantum yield (QY) of PPA@AgNDs was determined as 2.1% using Rhodamine 6G (R6G) in ethanol as the reference standard (QY = 94%). As shown in Figure 2C, the strong photostability is noted that the fluorescence intensity of PPA@AgNDs deceased slowly (<10%) over a 30-day storage, confirming that the oxidation process was inhibited to a great extent. Although O₂ gas had been largely removed from water by N₂ gas bubbling, the air could still dissolve in water over time. However, the re-dissolved O₂ had little effect on the fluorescence intensity of PPA@AgNDs, indicating that PPA might form a condense protein coating to isolate the Ag NDs from the environment. Changes in pH also had unobvious effect on the intensity (Figure 2D). All the advantages of PPA@AgNDs are favorable for in vivo fluorescence imaging.

Morphology and Size Analysis of PPA@AgNDs

High-angle annular dark-field (HAADF) scanning transmission electron microscopy (STEM) is advantageous for morphological analysis of metallic NDs. The image contrast of Figure 2 (A) Typical UV–vis absorption and (B) normalized fluorescence spectra of PPA@AgNDs in aqueous solution. (C) Effect of air oxidation on fluorescence intensity of PPA@AgNDs over a long storage (30 days). (D) Effect of pH on fluorescence intensity of PPA@AgNDs (λex: 490 nm).
Ag NDs is proportional to the atomic number (Z) squared of Ag element. As shown in Figure 3A, the white dots represent the Ag NDs, and the average diameter is 1.72 ± 0.29 nm (Figure 3 inset). The dynamic light scattering (DLS) spectrum of Figure 3B presents that the hydrodynamic diameter of PPA@AgNDs is determined to be 6–7 nm, indicating that the protein contributed little to size increase. As shown in Figure 3C, the matrix-assisted laser desorption/ionization-time of flight (MALDI-TOF) mass spectrometry (MS) spectrum confirms that PPA@AgNDs have multiple species of different molecular weights, but the majority of them are composed of 19 Ag atoms. It is worth mentioning that the Ag NDs were likely detached from the PPA coating during the MS measurement probably due to the bond breaking by the laser.

**Cell Imaging with PPA@AgNDs and PDT with PPA@AgND-ALA**

The ultrasmall size, good photostability and bright emission of PPA@AgNDs are favorable for sensitive cell imaging. As shown in the confocal laser scanning microscopy (CLSM) images of Figure 4A, 4T1 cells exhibit bright red emission after 2 h of incubation with PPA@AgNDs. The nuclei gave red fluorescent signals overlapping with the signal of 4′,6-diamidino-2-phenylindole (DAPI) that stains the nuclei only. The result indicates that PPA@AgNDs passed through lysosomal and endosomal membrane to enter the nuclei without nuclei-targeting agents. Unlike many other NPs tend to end up in lysosomes and endosomes after cellular uptake. Thus, further investigations are needed to explain this behavior. Flow cytometric assay was used for viability assessment of the cells treated with various concentrations (0, 10, 100 and 200 µg/mL) of PPA@AgNDs. As shown in Figure 4B, more than 90% of the cells are alive while apoptotic cells were less than 5% as exposing to 10 or 100 µg/mL PPA@AgNDs. Although the FACS data confirm that the high concentration (such as 200 µg/mL) of PPA@AgNDs resulted in slightly cytotoxic effect (Figure 4C), they were biosafe over the broad range of up to 100 µg/mL. To synthesize a theranostic nanoplatform, positively charged polyLys-Cys-SH (KKKKK KKC) peptides were conjugated to the PPA coating via covalent coupling between amine (-NH₂) and sulphydryl (-SH) groups using a heterobifunctional crosslinker of SM(PEG)₁₂ (Figure 5A). As the precursor of PpIX, negatively charged ALA was absorbed to the peptides by electrostatic adsorption to construct ALA-loaded PPA@AgNDs (PPA@AgND-ALA), the hydrodynamic diameter of which reached ~21 nm (Figure 5B). However, ALA can be released when the surrounding pH reduces to pH 5 such as endosomal or lysosomal interior (Figure 5C). As shown in Figure 5D, the large portion (~70%) of cells are killed under 635-nm laser irradiation with the power density of 100 mW/cm² for 10 min in the presence of PPA@AgND-ALA. However, the laser or PPA@AgNDs alone was incapable of killing the cells.

**Tumor-Targeted Imaging and PDT with PPA@AgND-ALA**

As depicted in Figure 2B, a large portion of emission spectrum of PPA@AgNDs falls in the NIR region (λ>700 nm), which suggests that they are suitable for fluorescence imaging to...
promote the signal-to-noise ratio (SNR). Indeed, the mouse shows fairly low autofluorescence background at pre-injection (Figure 6A). For in vivo fluorescence imaging, 200 µL of PPA@AgND-ALA (100 µg/mL) was intravenously injected into a mouse bearing 4T1 tumor xenograft. Figure 6A shows that PPA@AgND-ALA starts to accumulate in the tumor area.
as quickly as 0.5 h post-injection (p.i.), where fluorescent signals gradually become strong. The tumor area exhibited the highest SNR value at 2 h p.i., but afterwards the intensity became weaker. The results definitely indicate that PPA@AgND-ALA was capable of passive tumor targeting via the enhanced permeability and retention (EPR) effect. At 10 h p.i., the mouse was sacrificed to collect its major organs including heart, spleen, liver, kidney, lung, and tumor for ex vivo imaging (Figure 6B). As observed, the tumor gives very strong signals, while a large number of PPA@AgND-ALA retained in the reticuloendothelial system (RES) such as kidney and liver. The in vitro tests confirmed that PPA@AgND-ALA actively killed 4T1 cells under laser irradiation, but the in vivo anti-tumor ability was needed to be tested. Hence, PPA@AgND-ALA was intravenously injected into the tumor-bearing mice. As shown in Figure 6C, the tumors of mice treated with PBS, laser or PPA@AgND-ALA show a similar trend to grow very rapidly, which rules out their individual role in tumor inhibition. In the group of mice injected with PPA@AgND-ALA followed by 635 nm laser irradiation (100 mW/cm², 10 min), the combinational use showed significant inhibitory effect on tumors. Their tumor sizes were much smaller than that of the control and other treatment groups, and no recrudescence was noticed. The results suggested that the ALA molecules intratumorally released from PPA@AgND-ALA strengthened the in vivo PDT efficacy. Figure 6D shows that the mice have a steady weight growth for “PPA@AgND-ALA + laser” group, but no obvious change for “laser” group. For the control group, the mouse weight had a slight decrease. As observed in the hematoxylin
and eosin (H&E) analysis (Figure 6E), major organs do not show distinct injury or inflammation. However, it was encouragingly observed that the damaged cells of the tumor after PDT treatment presented morphological characteristics greatly different from the control, and the majority of them were fragmented and disassembled.

**Conclusion**
To sum up, we successfully prepared fluorescent Ag NDs in water using PPA as the capping/stabilizing agent. PPA@AgNDs emitted at ~640 nm with the QY of 2.1% and an average lifetime of ~5.1 ns. The attractive properties combining with ultrasmall size favored PPA@AgNDs to work well for both in vitro and in vivo fluorescence imaging. Moreover, PPA@AgNDs were biosafe and showed no cytotoxicity even at a high dosage. The positively charged polyLys-Cys-SH peptides were linked to PPA@AgNDs for electrostatic adsorption of ALA to synthesize PPA@AgND-ALA. Without further functionalization, PPA@AgND-ALA efficiently accumulated in the tumors depending on passive targeting via the EPR effect. Under 635 nm laser irradiation, ALA released from tumors depending on passive targeting via the EPR effect.

All the results proved that PPA@AgND-ALA was a powerful nanoplatform for tumor-targeted PDT.

**Ethics Statement**
The experiments are conducted according to the Guide for the Care and Use of Laboratory Animals published by NIH and approved by the Animal Ethics Committee, School of Medicine, Xi’an Jiaotong University, China.

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**Disclosure**
The authors report no conflicts of interest in this work.

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