Anatomy of a mega-rock slide at Forkastningsfjellet, Spitsbergen and its implications for landslide hazard and risk considerations

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Rock slope failures are a potential source of danger in polar regions. A causal connection between slope failures and climate-related glacial and deglacial processes has been inferred for the growing number of documented events. In this context, we investigated a large-scale rotational rock slide affecting the coastal ridge of Spitsbergen’s Forkastningsfjellet. Based on a detailed structural description, we discuss the kinematics, timing and potential drivers of rock slide activity and present a preliminary landslide hazard assessment. The Forkastningsfjellet rock slide has a footprint of at least 2.03 km². A minimum rock mass volume of 0.10 km³ was displaced either catastrophically or over a longer time period. Initial movement in the hanging wall of a NW-dipping listric sliding surface led to the fragmentation of the sliding mass into separated tilt blocks that created the present-day, stair-stepped morphology. The main rock slide release was probably related to the deglaciation of Isfjorden and the resulting instability of the weakened rock mass along the oversteepened slopes during Allerød times (~13,900–12,700 BP). Mass wasting and sea-cliff erosion, mainly controlled by the inherent discontinuities of the fractured and tilted rock masses, currently take place along the steep slopes of the coastal tilt blocks. A preliminary hazard analysis suggests a medium to high hazard for a reactivation of the slide or individual blocks, but uncertainty margins for this classification are large due to a lack of data. Poor control of total displacement data in particular contributes to the uncertainty. A high-acceleration reactivation of a large compartment of the slide (e.g., on the order of 10 million m³) could cause a displacement wave several metres high in Longyearbyen. These results indicate a need for further multidisciplinary investigations to better understand the extent and nature of the rock slide and parameters such as displacement velocities to support a more reliable hazard and risk assessment for the Longyearbyen region.

Keywords: Rock slide, deglaciation, hazard assessment, Spitsbergen, Isfjorden

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Introduction

Climate change and the related permafrost degradation are thought to influence slope stability, landscape evolution and the natural hazard potential in high-mountain and high-latitude regions (Gruber & Haeberli, 2007; Fischer et al., 2012; Seneviratne et al., 2012; Krautblatter et al., 2013; Blikra & Christiansen, 2014; Hilger et al., 2018). Global warming is not only affecting permafrost conditions but is considered to locally engender higher precipitation intensities and frequencies (Fowler & Hennessy, 1995; IPCC, 2007). In this way climate-related changes can increase the likelihood of landslides. They may particularly and adversely influence the site-specific geotechnical and hydrogeological properties that govern the stability of individual slopes (Geertsema et al., 2006; Crozier, 2010; Huggel et al., 2012).
To date, clear evidence of a global climate-driven increase of landslide frequency and intensity and an unambiguous identification of the decisive climate-related controlling factors are still limited (Huggel et al., 2012; Gariano & Guzetti, 2016). One reason is that climate change influences landslides at different spatial and temporal scales, complicating the evaluation of the climate impacts on landslides. Also, the important influencing parameter of human activity has to be considered when possible drivers on landslide activity and their impact due to temporal transition are evaluated (Crozier, 2010; Torizin et al., 2018). Consequently, more research is necessary to evaluate the role of different drivers of slope instability and detect possible changes related to climate change (Briceno & Basabe, 2007; Jenkins et al., 2007; Wasowski & Casarano, 2007). In this context, the arctic region of Svalbard (Fig. 1A) serves as an excellent investigative laboratory because the human impact on the environment is limited to an absolute minimum. Also, consequences of climate change are becoming evident at Svalbard as the measured temperatures are rising and permafrost degradation has been clearly established by long-term monitoring (Isaksen et al., 2007).

Climate change and related phenomena like sea-level rise, permafrost thaw and increasing extreme weather...
events pose new challenges to the population of Svalbard. While increasing activity of small-volume landslides and a degrading building ground can be responded to by adopting improved planning and design measures, the threat of large landslides impacting deep fjords (e.g., Hermanns et al., 2013a) still awaits investigation. The impact of large subaerial landslides into fjords can generate large displacement waves that pose a serious threat to the coastal settlements and the increasing tourism sector of Svalbard (see Bornhold & Thomson, 2012; Roberts et al., 2014; Oppikofer et al., 2018b).

Recently, a relatively small (~300,000 m³) landslide was released along the NE end of Forkastningsfjellet, a part of the coastal strip adjacent to Longyearbyen, Svalbard’s administrative city (Fig. 2). Much of the seaward face of Forkastningsfjellet was itself mapped as an unstable mountainside affected by postglacial landslides and block faulting (Dallmann et al., 2001; Albertsen, 2016). The renewed instability of a small portion of the site illustrates the need for a comprehensive evaluation of slope stability of the greater Forkastningsfjellet area. In this paper, we describe the structural development of the mountainside, analyse the kinematics and potential conditioning factors of landslide activity and give a preliminary landslide hazard assessment in relation to a potential future catastrophic rock-slope failure event. This is of high importance for local risk management. The investigation is part of a collaborative effort of the Bundesanstalt fur Geowissenschaften und Rohstoffe (BGR) and the Norwegian Geological Survey (NGU) to identify potentially active large-scale mass movements in the Spitsbergen area that pose a potential threat to Svalbard’s coastal communities and their relationships to climate change.

In this contribution, we use the term rock slide as a gravitational process in rock following the classification of Hungr et al. (2014). This term describes material and movement type without defining the velocity. Similar to Hungr et al. (2014), we define failure as the most significant movement episode in the history of rock slide and use the term catastrophic rock-slope failure (CRSF) following the description in Hermanns & Longva (2012) for a failure process that leads to an impact in the depositional area larger than the shadow angle of rock falls.

**Methods**

During 2017, a short field expedition was completed from a land camp at Carolinedalen (Fig. 1B). Detailed geomorphological and structural field mapping were conducted using an existing geological map at a 1:100,000 scale (Sheet C9G Adventdalen; Dallmann et al., 2001) and a digital elevation model (DEM) with a grid size of 5 m × 5 m. An additional 3D surface model was generated by photogrammetric processing of digital images taken in the field (Agisoft, 2018). Offshore bathymetry adjacent to Forkastningsfjellet was generously provided by Riko Noormets (see Roy et al., 2014). Based on photo interpretation and DEM data, the cliff-forming marker horizon of the Festningen Member (Fig. 3B) was mapped and used to construct cross-sections and estimate displacements in inaccessible or unstable terrain. Structural datasets were analysed using the Stereonet software (Allmendinger et al., 2012; Cardozo & Allmendinger, 2013).

**Study area**

**Introduction and previous investigations**

Forkastningsfjellet is a narrow, NE–SW-oriented, mountain ridge situated to the north of Longyearbyen between Carolinedalen in the north and Adventfjorden in the south (Fig. 1B). The coastal mountain range reaches a maximum altitude of 490 m and forms the eastern boundary of Isfjorden. Forkastningsfjellet is characterised by a rugged morphology, steep cliffs and a multitude of structural elements. Site specific investigations of its geomorphological and structural evolution are scarce. The general geology of the area is available in geological map-sheet C9G Adventdalen (Major, 1964; Major & Nagy, 1972) and an updated version with an explanation by Dallmann et al. (2001). Both studies mention landslides along the coastline of Forkastningsfjellet but they differ in the structural interpretation of fault blocks and do not give a detailed
description or analysis of the mass movements. The lithostratigraphy of Forkastningsfjellet was part of several sedimentological studies (Nemec et al., 1988; Gjelberg & Steel, 1995; Midtkandal et al., 2008; Grundvåg & Olaussen, 2017). Only one study on the morphostructural genesis of the range is known (Albertsen, 2016).

Geological setting and lithostratigraphy

Forkastningsfjellet comprises mainly Upper Jurassic to Cretaceous sedimentary rocks of the Adventdalen Group (Parker, 1967; Dypvik et al., 1991; Dallmann et al., 2001), which is characterised by open marine through marginal-marine to continental facies associations of mudstone, shale and sandstone deposited in a shallow epicontinental basin (Fig. 3A; Dallmann, 1999; Mørk et al., 1999; Midtkandal et al., 2008).

The Adventdalen Group was differentiated into the lower Janusfjellet subgroup comprising mudstones and shales of the Agardhfjellet Formation (Jurassic) and Rurikfjellet Formation (Early Cretaceous). It is overlain by the deltaic Helvetiafjellet Formation with its cliff-forming lower sandstones of the Festningen Member (Fig. 3B) and overlying coal-bearing shales and sandstones of the Glitre fjellet Member (Gjelberg & Steel, 1995). The latter is overlain by the heterolithic Carolinefjellet Formation comprising alternating thin sandstones and mudstones (Parker, 1967).

The study area is composed mainly of well-bedded, flat-lying strata of the Rurikfjellet and Helvetiafjellet formations, which generally dip gently to the southeast. The sequence was affected by compressional to transpressional tectonism, commonly attributed to the development of the transpressional West Spitsbergen Fold-and-Thrust Belt (WSFTB) along the western coast of Spitsbergen during the Eocene (Bergh et al., 1997; Braathen et al., 1999; Tessensohn & Piepjohn, 2000; CASE Team, 2001; Dallmann et al., 2001). Such folds and faults can be well observed along the coastline at the southern border of the study area, occurring mainly in the outcrops of the Rurikfjellet Formation (Fig. 4A, B; see Fig. 1C for location).

Farther south, a SW-vergent thrust cuts stratigraphically upsection into the Helvetiafjellet Formation creating a Z-shaped drag fold (Fig. 5). In the central and northern part of the seaward slopes of Forkastningsfjellet, such tectonic structures are not well exposed as they are covered by younger postglacial landslide deposits. Such surficial landslide activity is characteristic for the Adventdalen Group. Numerous smaller and larger landslides termed ‘landsips’ were described on slopes where the basal sandstone cliffs of the Helvetiafjellet Formation are underlain by weak and easy erodible shales of the Rurikfjellet Formation (Major, 1964; Sawagaki & Koaze, 1996; Dallmann et al., 2001). In contrast, the landslide activity at Forkastningsfjellet created a peculiar morphostructural assemblage of elongated terraces and tilted blocks that may be interpreted either as regionally significant tectonic fault blocks or as products of a massive gravitational slope failure. In the following sections, the anatomy of Forkastningsfjellet is described in detail and a genetic interpretation is given.
Description of Forkastningsfjellet geomorphology and structure

Much of the immediate region is characterised by relatively undeformed strata exhibiting gentle dips and/or very open folds. Greater Forkastningsfjellet consists of areas that conform to this regional structure, but one area diverts from the regional trend described in the following as the rockslide. This ‘structurally anomalous’ zone is bounded to the SE by a steep, west-facing, NE–SW-oriented fault scarp with an average slope gradient of 37°–40° in the upper part (Fig. 5). In the north, the scarp forms the north-facing slope of Carolinedalen; to the S it bends into an ENE-oriented lateral scarp.

For this investigation, the anomalous zone to the NW of the scarp was divided into many individual blocks (Fig. 5). Each block is characterised by relatively high degrees of internal structural coherence. Structural discontinuities separate each block from its neighbours. Interpretive cross-sections (Fig. 6) were drawn using topographic data acquired from a 3D photogrammetric model and structural measurements, both obtained during our 2017 field expedition.

It is difficult to attribute the deformation at Forkastningsfjellet to a period of significant tectonic extension that is otherwise undocumented in the region. As indicated by previous workers (Dallmann et al., 2001; Albertsen, 2016), the rugged, faulted, segmented surface structure of Forkastningsfjellet is best interpreted as the lateral collapse of the northwest-facing mountain front along the coastal margin of Isfjorden that is indicative of a large rotational rock slide with multiple sliding surfaces (Fig. 5). We have no reason to contradict this general interpretation. Rather, we focus on the nature of the slope itself: Its dimensions, structure and prospects for long-term stability under a changing climatic regime. Although our conclusions are drawn specifically towards the Forkastningsfjellet site, we emphasise the likelihood that similar processes probably affect many other slopes throughout the Svalbard archipelago.

General geometry

The Forkastningsfjellet rock slide created a steep major scarp that in turn delimits a large, elongated, NW–SE-oriented amphitheatre. The scarp is the surface expression of the main, listric, west-dipping sliding surface that can be kinematically defined as a normal fault. During the gravitational rock-slope deformation, the displaced rock mass travelled downslope to the west into the Isfjorden, disintegrating along secondary sliding surfaces into several distinct domains and tilted blocks of different size and volume (Fig. 5).

The toe zone of the mass movement is not exposed as the distal parts of the mass were eroded and parts of it may have moved downslope into the submerged part of Isfjorden. Omitting this yet unknown distal part, the rock slide still shows exceptional dimensions. With an NE–SW width of 3.8 km, a length of some 800 m at the widest, and 350 m at the smallest part of the rock slide, the affected area is approximately 2,030,000 m² (2.03 km²).

For our study, we divided the rock slide into three compartments, described individually below. Although we present new structural data, physical access to many of the blocks was not possible. Our given displacement amounts were principally deduced from offsets of the cliff-building beds of the Festningen Sandstone Member (Fig. 3B).
Central segment of the rock slide

The central part of the rock slide shows a stepped morphology comprising steep escarpments and flat to slightly sloping surfaces (Figs. 4, 5 & 6). A first bench is formed at the top of the landslide head that limits the first displaced block, labelled Block 1 (Fig. 7). The bench is situated at about 360 m a.s.l., indicating a drop along the main scarp of about 90 m. Farther to the south, the head is broken into discontinuous slide blocks (Blocks 2, 3) showing an undulating height level due to variable amounts of downward displacement.

To the north, an increasing vertical displacement across the main scarp produces an arch-shaped outline of Block 1 with a ramp-like north-sloping bench indicating a simultaneous down sliding and counterclockwise rotation of the northern extension of Block 1 (Fig. 7).
Farther to the west of Block 1 the main body of the rock slide mass slipped down a northwest-dipping secondary scarp for another 100–150 m and created an elongated flat domain which itself is limited to the west by ridge-forming, back-rotated, coastal tilt blocks (Fig. 5; Blocks 11–13; Fig. 7; Block 10; Fig. 8). Observed from the sea, the entire deposit forms a warped rock mass with the highest elevation in the mid part of the slide mass and descending flanks to the north and south. A toe could not be mapped along the entire foot of the slope and is thus interpreted to lie below the water level.

The elongated vegetation-covered flat represents a central depression that developed during the westward extension of the displacing slide mass and developed on the eroded top surface of the now settled back-rotated coastal blocks. The depression broadens to the north where it forms the surface of Blocks 9, 10, and 11 (Figs. 5; Blocks 11–13; Fig. 7; Block 10; Fig. 8). Observed from the sea, the entire deposit forms a warped rock mass with the highest elevation in the mid part of the slide mass and descending flanks to the north and south. A toe could not be mapped along the entire foot of the slope and is thus interpreted to lie below the water level.

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The Carolinedalen valley is taken as the northern limit of the Forkastningsfjellet rock slide as the main scarp ends at the north-facing valley slope (Figs. 5, 6 & 8). Nevertheless, conspicuous landslide deposits on the northwest-dipping slopes of Janusfjellet (Fig. 1C; Major & Nagy, 1972) adjacent to the north, indicate a possible northward continuation of the rock slide. Further fieldwork is necessary to clarify this question.

Five rock slide blocks were differentiated by photo interpretation and morphostructural analysis (Figs. 5 & 8). Forming the north-facing valley flank of Carolinedalen, Block 4 comprises a well-bedded sequence of the Rurikfjellet Formation. At the base of the slope and along the coastline, the beds show a horizontal to slight southeast inclination. In the mid and upper slope, a gradual increase of dip is discernible due to the increasing clockwise back rotation of the landslide head. Downslope movement of Block 4 occurred along the major scarp in a northwesterly direction. Observable offsets of the Festningen Member marker beds suggest a minimum total displacement of 200 m (Fig. 6A). The same amount of northward displacement was deduced for the neighbouring Block 5 but also an additional westward displacement of about 20 m can be detected. Both blocks share the same lithological sequence and probably moved initially as a single block. In the course of downward displacement, this block was divided by a semicircular fault that ultimately delimited a new and independent Block 5 (Figs. 5 & 8). To the south, the semicircular fault scarp bends into the N–S-oriented secondary fault scarp that delimits Block 1 to the west. An additional displacement of the moving block was accommodated by small-scale normal faults with displacements in the range of several tens of metres (Fig. 9).

At the coastal cliff of Block 4 and the northern edge of Block 5, a puzzling outcrop can be observed that shows a major disruption of the normal bedding attitude and the structural features can be easily confused with brittle tectonic structures (Fig. 10A). Elongated lens-shaped sandstone blocks are stacked and shifted against each other and are juxtaposed with variably coloured and strongly fractured mudstone and sandstones packages, giving the impression of a chaotic mix of heterolithological units. The sandstone blocks show sharp tops and bases and are delineated by thin layers of sheared mudstone along downwardly curved sliding surfaces that plunge out of the slope.

In the central part of the outcrop, a trough-like, northwest-plunging, channel fill deposit of light sandstone is preserved that was deposited against a fault buttress formed in the underlying flat-lying Rurikfjellet beds (Fig. 10B). The re-establishment of undisturbed sedimentation after the complete infill of the depression is indicated by overlying sandstone beds.

This anomalous zone of sedimentary and structural disturbance is interpreted as a local synsedimentary
The larger vertical displacement amount of the latter two blocks may be connected to a larger displacement distance and an increasing general displacement of the central part of the rock slide in a WSW direction (see discussion section). Since the completion of the major block movements, considerable mass transport and erosion took place. This is evidenced by V-shaped channel formation at the block boundaries suggesting that deformation is not recent, and is also supported by the debris-filled half-graben shaped depressions at the rear part of the tilted blocks. This debris was derived from the degrading scarp. The active Isfjorden sea cliffs are strongly eroded by young slope instabilities that led to a pronounced sea cliff retreat (see below).

Farther south, the adjacent coastal Blocks 6, 7 and 8 are smaller than Block 5 and show a stair-stepped descent of the flat-topped block surfaces in a southwestward direction (Fig. 11). These tilted blocks slid down the secondary fault scarp of the back-rotated Block 1. The vertical displacements reach about 80 m for Block 6 and approximately 130 m for Block 7 and Block 8. The latter two blocks experienced an additional southwestward motion leading to an additional counterclockwise rotation of the beds of Block 7 and Block 8 along NW-SE-striking and block-separating transverse faults. The collapse that may have affected an unstable delta front. The collapse produced a gully-like depression in the Rurikfjellet and lower Helvetiafjellet formations that was subsequently filled with mass flow deposits and slumps sliding down from scar walls. In this context, the stacked slide blocks with intervening sheared mudstone layers could be attributed to a sequential retrogressive collapse of the head regions, leading to stacked slide deposits that ultimately filled up the depression. Similar observations of gravitational sliding along a shallow shelf/delta slope were described from the Kvalvagen cliffs, eastern Spitsbergen by Nemec et al. (1988) and Onderdonk & Midtkandal (2010). The proposed synsedimentary mass movements represent the oldest deformation phase affecting Forkastningsfjellet. As these structures are not related to the postglacial rock slide event they will not be dealt with further below.

Southern segment

At the southern flank of the rock slide, the NE-SW-striking main scarp changes orientation into the ENE-oriented lateral scarp (Figs. 5, 6 & 12). The displaced rock mass in the hangingwall of the main sliding plane was divided into four separate fault blocks with variable slip directions and displacement amounts. Due to the change in orientation of the main scarp, the block movement is partitioned into a component of vertical dip-slip with a slope-parallel rotational component.

**Figure 9.** Normal fault at the southern margin of Block 5 (Figs. 5 & 8), offsetting pervasive NE–SW-striking sheeting joints. The sheeting joints dip steeply out of the face and further reduce the stability of the slope.
of Blocks 14 and 15 along the NW–SE segment and a vertical oblique-slip movement of Block 16 and Block 17 along the ENE-oriented lateral scarp.

A peculiar structural depression is developed at Block 14, which marks the transition from the central to the southern segment (Fig. 12). The block shows a vertical displacement of approximately 120 m and shows a backward rotation due to displacement along the basal listric failure surface. In addition, the tilted marker beds reach a minimum elevation at the sea cliff of Block 16, and the same beds rise to the north (Block 13) and south (Block 15) generating an apparent hangingwall syncline with an axial trend plunging toward the main failure surface (i.e., to the ESE). Such transverse folds are produced by along-strike variations in fault
displacement; they plunge to the point of maximum slip and may be attributed to fault-line deflections along the border fault system (Schlische, 1995; Janecke et al., 1998). Genetically, it could also be interpreted as a hinge zone that decouples the main slide mass to the north from the kinematically separated depletion zone at the southwestern limit of the rock slide.

Block 15 is also characterised by rotated beds displaying rotation both parallel and perpendicular to the listric failure surface due to an oblique-slip direction of the block. Resembling movement along a scissor fault, the downward displacement of the block reaches a maximum amount of about 100 m at the border of Block 14, but gradually decreases along strike to the south (Fig. 12). At the southern margin of Block 15, the rotation perpendicular to the main scarp runs out and a mere dip-slip displacement of approximately 70 m is identified. Such differential block movement introduced additional internal deformation giving rise to a major N–S-oriented rupture and NW–SE-oriented fractures.

The southernmost edge of the rock slide is formed by Block 16 and an additional small rock sliver that was sheared off during the downward displacement (Block 17). These blocks show negligible rotation and based on the cutoff of marker horizons, a downward displacement of approximately 100 m was deduced.

Recent mass-wasting processes

Following emplacement of the coastal blocks into their current positions, significant and active sea-cliff erosion has occurred along the coastline. Mass movements affecting the steep slopes are a ubiquitous phenomenon ranging in magnitude from minor rock falls, topples and surficial creep to major collapse events with volumes of several thousand m$^3$. Such major slope failures are episodic events, but the correlated cliff-top retreat creates new steep slope surfaces and promotes a fast retrogressive expansion of the landslide area.

Several young mass movements have been mapped along the coastline. The youngest landslide, first reported on August 12, 2016, affected the coastal scarp of Block 10 (Figs. 2, 6, 8 & 13A, B). Slope failure affected the outcropping sequence of Rurikfjellet Formation and the Festningen Member. Movement occurred along a steep slightly curved and concave surface of rupture leading to a chaotic displaced mass that was disintegrated into various blocks. In the central part, the head moved almost vertically downward by about 50 m even sustaining the original orientation of the cliff-top drainage rills with a backward tilt. This displacement took place between 4 pm and 6 pm as suggested by observations from bypassing ships (Røsvik, 2016) suggesting a minimum velocity of rapid following the classification by Hungr et al. (2014). However, very or extremely rapid are also possible velocity classes for the event.

Farther down the slope and at the flanks of the landslide the slide mass is separated into tilted and juxtaposed slump blocks and intercalated unstructured masses giving the displaced mass a stepped to jagged surface relief. During field inspection, a displaced rock mass of approximately 300,000 m$^3$ was estimated. Nevertheless, deep crevasses and arcuate cracks at the landslide crown and tilted columnar sandstone blocks beneath the crown (Fig. 13) lacking base support and ready to topple indicate ongoing landslide activity and a correlated retrogression of the slide into the crown. Though a detailed investigation could not be performed for reasons of time and safety, the slope movements are attributed to failure along steep coalescing discontinuities and the joint system.

More landslide activity was mapped at the coastal cliffs of Block 5 and Block 6 (Fig. 8). Debris cones and elongated terraced deposits of talus, deposited at beach level, mark the unstable slope sections that are composed of the weak and erodible sedimentary rocks belonging to the Rurikfjellet Formation which are, in turn, overlain by the strong and more resistant sandstones of the Festningen Member. As the blocks are tilted backwards towards the main scarp, the existing joint system of the beds shows rotation into a more slope-parallel orientation, thereby
favouring the detachment of debris and rock fragments of different volumes. As a consequence, the soft rock cliffs are affected by superficial slope failures and local wedge failure along the mentioned internal surfaces of weakness leading to a constant sediment deposition at beach level. A small cliff-parallel scarp, at the cliff top of Block 6, indicates a retrogressive propagation of the failure surface so that a potential larger failure event can be predicted in the near future.

At the southern limit of Block 13, a 150 m-long section of the coastal cliff is affected by a young, but undated slope failure event leading to elongated and benched deposits at beach level (Figs. 4 & 14). The displaced rock mass shows a stepped surface due to superimposed rock slabs, which moved successively downslope. The landslide is interpreted as a shallow translational slide of superficial rock blocks and debris that were displaced along planar surfaces of rupture that are governed by the steep slope-parallel dipping joint system of the back-tilted Block 13 (Fig. 14).

In general, undercutting by basal wave action and related slope oversteepening are considered as the most probable drivers of slope failure and active cliff retreat.

Discussion

Deformation style and timing

With respect to the structural evolution of Forkastningsfjellet, we can differentiate two distinct deformation phases. Albertsen (2016) described compressive deformation leading to WNW–ESE-striking thrusts and folds. The majority of the SW-vergent structures were mapped in the Rurikfjellet Formation along the southern segment of the Forkastningsfjellet coastline. Fold and thrust structures with similar general orientation were also mapped in the coast parallel oriented Louisdalen valley to the SE (Major & Nagy, 1972). Furthermore, a

Figure 13. (A) The youngest landslide of August 2016 affected the coastal scarp of Block 10. Note the tilted columnar sandstone blocks directly beneath the landslide crown (see arrows) indicating a further imminent break away of the cliff crest. In the background, the coastal Block 12 shows bedding rotation of ~25° towards the main scarp (see arrow, view to the southwest). (B) Landslide crest and chaotic slide mass, view to the northwest.
so-called ‘Z-Fold’ affected the overlying sediments of the Helvetiafjellet Formation at the southern extension of Forkastningsfjellet (Fig. 4). These structures were linked to the eastern zone of the West Spitsbergen Fold-and-Thrust Belt, a thin-skinned, foreland, fold-and-thrust belt that is characterised by WSW–ENE-oriented shortening and which evolved during a transpressional event in the Eocene (Harland, 1969; Dallmann et al., 1993; Maher et al., 1995; Bergh et al., 1997; Piepjohn et al., 2016).

In contrast, the recent tilt block structure of Forkastningsfjellet has been assigned to rock slide activity in postglacial times (Major & Nagy, 1972; Albertsen, 2016). This second phase is characterised by steep NE–SW-oriented releasing extensional structures that served as sliding surfaces, i.e., its orientation is roughly orthogonal to the Eocene compressional trend. Therefore, a direct reactivation of older Eocene thrust faults as sliding surfaces can be ruled out. That said Eocene compression might have initiated the intensive joint system and NE–SW-oriented fractures that have been inferred as steep releasing structures.

Type and kinematics of the rock slide

Forkastningsfjellet exhibits a peculiar morphology and a specific structural style that is indicative of rotational rock slides (Varnes, 1978; Hungr et al., 2014). Such large-scale rock-slope failures are known as a principal type of mass movement in Norway and the Arctic region and have been termed as ‘listric style complex field’ type (Braathen et al., 2004). They are characterised by complex landforms and deformation patterns due to the fragmentation of the sliding mass into separate blocks that move and rotate in the hangingwall of one or several detachment levels.

Based on the described field observations, the Forkastningsfjellet rock slide is characterised as a multiple rotational rock slide that occurred principally on a spoon-shaped west-dipping failure surface. This listric failure surface produces the steep major fault scarp at the eastern limit of the rock slide and probably flattens downward into a low-angle detachment zone situated in the flat-lying bedding planes of the less competent shales of the lower Rurikfjellet/Adventdalen Group sediments. During the westward displacement, the rock mass broke up into secondary slumps and the associated scarps to form the observed stair-step pattern of displaced blocks and flats. Such a subdivision of the moving rock mass into relatively coherent and rigid back-rotated tilt blocks is a clear diagnostic feature of a rotational slide movement in the hangingwall of a listric sliding surface. The depth of the basal décollement is unknown, but it is assumed that it must ‘daylight’ in the west-dipping offshore slope of Isfjorden. From geometric considerations deduced from differential displacements of the rotated hangingwall blocks, a minimal depth of 60 m below sea level is assumed (Fig. 15).

Using the contemporary coastline as the western border and the main scarp as the eastern border of the Forkastningsfjellet rock slide deposits, the maximum east–west displacement is mapped in the central segment of the rock slide. This area is characterised by the highest degree of disintegration and the discrete rock slide blocks show the largest vertical displacements and the
largest amounts of back rotation. Though the unmapped toe area of the mass movement cannot be included in the evaluation, the displacement observations are used as indicators to assume that the maximum extension of the accumulation zone also occurred in the central segment of the landslide.

To the south, this zone of maximum displacement and block rotation is kinematically separated by the structural depression at Block 14 (Figs. 5, 7 & 12), which serves as a transition zone between a more rotational slide with a larger displacement towards the central segment and a more translational slide mode with lower displacement towards the southern flank.

This supports the interpretation that the adjacent blocks of the southern flank (Blocks 15, 16, 17) failed after the displacement of the principal rock mass of the central segment in the course of a secondary, more passive, compensation movement as a reaction to the lost lateral support. In this context, the separation of Block 16 and Block 17 could result from a dip-slip activation of arcuate, E–W-oriented en échelon fractures as secondary slip zones.

In contrast, the northern segment of the rock slide shares the same rotational deformation style as the central segment and displays considerable disintegration of the slide mass. A contemporaneous displacement of the central and the northern segment seems probable, though the horizontal displacement of the tilt blocks decreases towards the northern flank. This assumption is preliminary and infers a high uncertainty as the present limits of the rock slide mass do not reflect the true travel distance and do not consider variable and yet unknown amounts of coastal erosion. Also, a possible northward extension of the rock slide to Janusfjellet is indicated and awaits further investigation.

To estimate the travel distance and velocities of the rock slide, empirical methods can be applied that use height-over-length (H/L) ratios deduced from rock slides that occurred in regions with similar conditions. Using the current geometry of the rock slide, an H/L ratio of 0.4 or a corresponding angle of reach of 22° can be deduced.

This preliminary shadow angle of the Forkastningsfjellet rock slide can be reduced to an even smaller angle of 17° if we consider that the distal slide masses could have travelled farther offshore and down the steep eastern transition to the Isfjorden seafloor. Corresponding indications of such a scenario can be derived from bathymetric data that show conspicuous bulges along the steep slope in this part of Isfjorden (Fig. 5; Forwick & Vorren, 2011; Blinova et al., 2013; Riko Noormets, pers. comm., 2018).

Such angles are smaller than the typical shadow angles of 28–34° identified for large scale rock-slope avalanches in polar regions (Evans & Hungr, 1993; Blikra et al., 2001; Hungr et al., 2005), but reliable data on the specific rock slide type and the particular conditions of Forkastningsfjellet are not yet known.

**Rock slide extension and volumes**

As described above, the slope deformation model of Forkastningsfjellet is structurally controlled by a slope-parallel, NW-dipping, master detachment and secondary listric sliding surfaces that merge into the basal décollement (Fig. 15). Slope-oblique faults mainly delimit and facilitate differential movements of the different tilt blocks. Though uncertainty about the depth of the master detachment and the (submarine) run-out distance prevents an exact determination of the rock mass volume, an estimate based on geometric

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**Figure 15.** Generalised model and cross-section of the Forkastningsfjellet rock slide (section 6 in Fig. 5). Rock-mass fragmentation and rotational movement of the tilt blocks are attributed to rock-mass failure and westward displacement in the hangingwall of a listric basal décollement. This basal shear zone probably daylights at the submerged eastern slope of the Isfjorden (in scale 1:1).
considerations can be attempted. Using the slope deformation area of approximately $2,030,000 \text{ m}^2$ (2.03 km$^2$) and an average depth of the basal sliding surface of 50 m, a minimum volume of 0.1 km$^3$ is estimated. This volume is well in the range of described complex fields in Norway (Braathen et al., 2004) but up to now, no further examples of rock slides with comparable dimensions are known from the Isfjorden margins, emphasising the unique nature of the Forkastningsfjellet rock slide and highlighting the necessity for further investigation.

**Driving forces of the rock slide**

Many factors determine the stability of a rock slope and many conditions have to be satisfied before sliding of such a large volume of rock can occur. In this context, it is noteworthy to stress that in spite of similar geology and morphostructural slope conditions, the east-facing slope of Forkastningsfjellet does not show any indication of large slope failures. Therefore, it is reasonable to expect a correlation of the rock slide with glacial processes in the western Isfjorden area.

Due to lacking subsurface information, a combination of interacting preconditioning, preparatory and triggering factors are considered to give a likely explanation for the rock slides along Forkastningsfjellet.

In general, factors such as as the lithology and structure determine inherent zones of weakness, which in turn control development of gravitational mass movements (Varnes, 1978; Cruden & Varnes, 1996; Herrmanns & Strecker, 1999; Braathen et al., 2004; Redfield & Osmundsen, 2009; McColl, 2012; Ballantyne et al., 2014). This applies especially to Forkastningsfjellet, as the flat-lying sedimentary sequence of alternating weak shales and more competent sandstones and siltstones is highly fractured by pervasive joint systems including slope-parallel sheeting joints (Fig. 9). The formation of these fractures is conditionally assigned to the Eocene deformation phase but postglacial stress release might have intensified the break-up and weakening of the rock mass. Permafrost-controlled subaerial processes such as freeze and thaw cycles might have further weakened the rock mass through weathering and seasonal crack propagation and widening. The latter play an important role as refreezing and ice formation of meltwater can exert additional separation pressures and open up the cracks for water infiltration. In addition, the climate-related deglaciation and permafrost degradation facilitate a deeper infiltration of the melting water along the widening fractures and joints of the bedrock (Gruber & Haeberli, 2007; McColl, 2012) leading to an increasing outward directed joint water pressure and a reduced shear resistance on the potential listric sliding surfaces and the basal décollement (Blikra & Christiansen, 2014).

Kinematic feasibility of the rock slide of Forkastningsfjellet requires a deep sliding surface that facilitates the block rotations and the horizontal displacement component of the rock mass. This décollement is assumed to be situated along a subhorizontal sandstone-shale bedding contact of the Rurikfjellet Formation.

Based on these presumptions, a probable scenario could be an incipient rock slide activity affecting the glacially eroded and oversteepened eastern slope of Isfjorden that had lost the lateral support of the retreating glacier. Such glacial debuttressing has been suggested to cause massive rock slides and catastrophic failure (Clague & Evans, 1994; Ballantyne, 2002; Holm et al., 2004; Geertsema et al., 2006; Cossart et al., 2008; Penna et al., 2011; Herrmanns et al., 2014). On the other hand, McColl & Davies (2013) have suggested that complete debuttressing is not a prerequisite for the movement of ice-contact rock slopes. These authors argue that because glacial ice can deform due to the density contrast between ice and rock, thereby failing to buttress an active rock slide. Nevertheless, glacial retreat would have left behind a strongly structured landscape and melting water discharging into the Isfjorden depression may have intensified channel and gully erosion, possibly creating unstable conditions along the Forkastningsfjellet–Isfjorden border zone and probably at the northern and southern margins of the central segment of the rock slide. Such a removal of horizontal and lateral support would have led to an initial unloading of the toe area and a subsequent retrogressive activity with a propagation of the basal failure surface along the mudstone bedding planes to the east. This is supported by recent structural investigations of the subsea-floor strata in the Isfjorden area. Blinova et al. (2013) have shown that the organic-rich shales of the Jurassic–Cretaceous Janusfjellet Subgroup served as lubricants for the principal detachment zones. This is in agreement with our interpretation that the basal décollement of the rock slide is most likely located in the same lithological unit.

The fact that original bedding is slightly inclined against the overall movement direction might be overcome by a high hydraulic head and an overpressured groundwater table that effectively reduced shear strength along an interface between permeable sandstone and impermeable shale beds.

While all these mentioned factors might have contributed to an incipient unstable rock slope, a seismic event could have finally triggered the collapse of the western slope of the Forkastningsfjellet. Such a causal connection is proposed for many paraglacial rock-slope failures and could be attributed to enhanced seismicity as a consequence of differential glacio-isostatic crustal rebound due to deglacial unloading and elastic stress redistribution of the lithosphere (e.g., McColl, 2012; McColl & Davies, 2013; Ballantyne et al., 2014).
Age and state of activity

Based on recent deglaciation studies for the Isfjorden area by Forwick & Vorren (2011) and taking into account the mentioned conditioning and triggering factors, the Forkastningsfjellet rock slide was probably active in the course of glacial retreat in the Isfjorden area since the Allerød (~13,900–12,700 years BP).

Though a later glacial advance during the Younger Dryas stage is known, the inner Isfjorden has likely been ice free since then (Forwick & Vorren, 2011). Thus, a further major glacial influence or modification of the landforms and the toe zone of the rock slide can be ruled out. This estimation of the maximum age has to be taken as a rough assumption and better temporal constraints are needed to consider the Forkastningsfjellet rock slide in the regional context. Nevertheless, this age estimation is supported by recent research. It shows increasing evidence of large-scale rock-slope failures that affected formerly glaciated mountainous regions, and that a causal relationship with the preceding episodes of glaciations and deglaciation is commonly inferred (e.g., Ballantyne, 2002; McColl, 2012; Ballantyne & Stone, 2013; Hermanns et al., 2017).

The temporal failure pattern and its drivers are under debate as documented catastrophic rock-slope failures seem to decrease in number and size with the time elapsed since the last deglaciation (Böhme et al., 2015). However, although a peak of rock slide frequency was identified for the time interval directly after deglaciation, younger rock-slope failures with a lower frequency occurred during the entire Holocene (McColl, 2012; Ballantyne et al., 2014; Böhme et al., 2015; Hilger et al., 2018). Explanations for this time lag between the destabilising effects of ice retreat and occurrence of postglacial landslide include time-dependent degradation of rock mass strength, changes in stress and strength of slopes and increasing seismicity (Ballantyne, 2002; Braathen et al., 2004; McColl, 2012; McColl & Davies, 2013; Ballantyne et al., 2014), and a relation to Holocene climate variation that can lead to shifting permafrost conditions (Hilger et al., 2018). A clear relationship between large-scale rock-slope failures and ice retreat is not yet established.

During field investigation, no indications of a reactivation along the major rock slide scarps or fresh open crevasses were observed at the plateau between the main scarp and the coastal blocks to the west. Present-day landslide activity occurs along the steep sea cliffs of the coastal blocks, where the intensely fractured rocks react with gravitational failure (Figs. 3B & 14). However, surface creep processes are common to permafrost environments; such processes can be faster than opening of cracks and thus hide rock-slope deformation. Due to nonexisting morphological indications of larger rock-slope reactivation and the lack of any deformation measurements based on monitoring techniques, we consider that the rock slide is either presently dormant or velocities are low to very low.

Finally, based on the nonavailability of high-resolution bathymetric data, we cannot determine whether the Forkastningsfjellet rock slide was 1) a slow to fast moving rock slide throughout its slide history or 2) if the rock slide had one or several failure episodes that would have led to strong fragmentation of the toe of the slide and long run-out. The latter could be suggestive of a fast or extremely fast movement. However, the 2016 event occurred within the same material but is 2.5 magnitudes smaller in volume. In addition, the deposit is characterised in morphology and structure similar to the Forkastningsfjellet rock slide with tilted and compartmented blocks. The 2016 event was at least fast with the potential of having been very fast or extremely fast. With a simple approximation we can expect a similar behaviour of the Forkastningsfjellet rock slide. However, a better understanding of the deposit below the water line will give a better understanding of its past and potential future behaviour.

Landslide hazard

Active landslides have been mapped at the steep sea cliffs along the coastline and the areal extent and volumetric magnitude of the slope failures are quite limited. The mass movements are interpreted as typical cliff failures resulting from active wave-triggered sea-cliff undercutting and additional subaerial processes, leading to a gravity-driven downslope movement of unstable segments of the rock face (e.g., Emery & Kuhn, 1982; Sunamura, 1992; Kuhn & Prüfer, 2014). Consequently, these mass movements influence only the local coastline and do not exert a major hazard to the surroundings.

Although the stability of deforming rock slopes is assessed around the world (e.g., Willenberg et al., 2008; Blikra, 2012; Stead & Eberhardt, 2013; Macciotta et al., 2016), only three classification systems exist that relate rock-slope deformation to the hazard of failure (Hermanns et al., 2012; Jaboyedoff et al., 2012; Brideau et al., 2017) and have been proven to come to comparable results using the case of the Åknes rock slide in Norway (Oppikofer et al., 2018a). The method by Brideau et al. (2017) uses a regional frequency analysis as a starting point that is not available to us. However, the methods by Jaboyedoff et al. (2012) and Hermanns et al. (2013a) are based on morphological signs of rock-slope deformation at the back scarp and the toe and the state of activity with the method of Hermanns et al. (2012) being the most comprehensive, by adding more details on deformation rate, kinematics of deformation, and past events. As we are lacking information on the deformation at the toe of the Forkastningsfjellet rock slide, the method by Hermanns et al. (2012) represents the method for which
A catastrophic failure of the Forkastningsfjellet rock slide would not have any direct consequence as no infrastructure exists along this section of the coast or above the slide. However, if a Forkastningsfjellet rock slide were to be triggered at an extremely fast rate, the threat to Svalbard’s community would be great due to its potential of generating destructive displacement waves (Bornhold & Thomson, 2012; Hermanns et al., 2013; Blikra & Christensen, 2014; Harbitz et al., 2014; Roberts et al., 2014; Gauthier et al., 2018). The generation of such secondary displacement waves involves considerable fragmentation and run-out distance of the displacing rock mass that enters a water body with high speed (Bornholm & Thomson, 2012; Hermanns & Longva, 2012).

For the prediction of a potentially catastrophic event, the involved rock-mass volume, the run-out distance and the impact velocity are crucial parameters for hazard analysis and related consequence prediction (Harbitz et al., 1993; Hermanns et al., 2013b). Up to date, rock slides with comparable volume and kinematics have not been reported for Svalbard and, therefore, databases for modelling hazard scenarios for this type of mass movement do not exist. Also, the impact velocity of the rock mass is not known, but in general, rotational rock slides are classified as slow to rapid (Hungr et al., 2005). The much smaller failure from 2016 was at least rapid, but has the potential to have been extremely rapid. In the absence of any detailed experiences with this type of rock-mass failure, it is justified to assess a worst case scenario for an extremely fast failure causing a displacement wave as a conservative first assessment.

Such a conservative susceptibility assessment of the potential run-up of displacement waves along a coast can be done by a semi-quantitative approach that will give the run-up heights of a possible landslide-generated displacement wave in relation to the distance from the impact (Oppikofer et al., 2018b). This approach uses a catalogue of extremely rapid rock-slope failure-induced displacement waves from around the world (Roberts et al., 2014) to develop semi-empirical relationships between run-up heights and the related rock slide volume and impact distance. The derived SPLASH formula predicts a run-up of displacement waves from the limited input parameters of rock slide volume and impact distance including a correction factor for direction changes of the wave front.

Applying the formula to the Forkastningsfjellet rock slide and using a volume of 10 million m$^3$ that corresponds to the coastal blocks of the central segment, a distance of 10 km to the airport and camp ground of Longyearbyen and a direction change of 90°, a run-up height of 8.5 m can be estimated. A displacement wave at the settlement of Longyearbyen with a wave travel path length of 14 km and one 90° and two 15° changes would result in a run-up height of 6.4 m. Because of the poorly constrained input parameters and the relatively large inherent error of the formula, the results are seen as a valuable input for

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**Figure 16.** Preliminary hazard analyses for a development of the Forkastningsfjellet rock slide into a rock slide failure suggesting a mean medium hazard with uncertainties spreading between low and high following the Norwegian system for classifying hazard and risk on unstable rock slopes (Hermanns et al., 2012, 2013a). The large uncertainties on the hazard axis are due to missing data on deformation rates, past events and a lack of comparative data on the rock-fall activity. A failure of the Forkastningsfjellet rock slide will not have any direct consequence as there is no settlement nearby. However, an extremely rapid failure would trigger a displacement wave that would reach Longyearbyen. A preliminary run-up analysis is presented in the text, but a consequence analysis in regard to a possible loss of life has not yet been carried out as data on the distribution of people in the wave run-up area are not available at present.
a preliminary susceptibility assessment (in the sense of run-up height) for the settlements nearby.

Our data presented here therefore show that the Forkastningsfjellet rock slide might present a threat to Longyearbyen community. However, the most important question as to whether the Forkastningsfjellet rock slide can collapse catastrophically remains open. Furthermore, the uncertainties of our hazard analyses might be too high to be useful for any risk adaptation plan. Mapping the fjord bottom in front of Forkastningsfjellet is a logical next step to better understand the behaviour of Forkastningsfjellet in the past. This can be accompanied by deformation rate measurements on Forkastningsfjellet which will reduce the uncertainties of the hazard assessment. Monitoring of Forkastningsfjellet should also include the effects of climate change as a rising sea level and an increasing permafrost degradation most likely will exert a negative influence on slope stability (Humlum et al., 2003; IPCC, 2007).

**Conclusions**

Forkastningsfjellet comprises a ~100 million m$^3$ rotational rock slide, which occurred in the hangingwall of a listric, northwest-dipping slide surface. During slope deformation, the rock slide travelled to the west into the Isfjorden coastal area and was fragmented into till blocks of differing volumes and sizes. The main rock slide release was probably related to the deglaciation of Isfjorden and resulting failure along the oversteepened slopes during Allerød times. No observations indicative of subsequent reactivation along the scarps and tilt block boundaries were made. A dangerous large volume reactivation of the rock slide in a CRSF could reach the fjord and trigger a large displacement wave. However, no signs of such a reactivation were found.

Mass wasting and sea-cliff erosion, mainly controlled by the inherent discontinuities of the fractured and tilted rock masses, currently take place along the steep slopes of the coastal tilt blocks. Different types of mass movements can be detected, but the displaced volumes are rather small compared with the entire volume of the rock slide and do not pose a hazard to the local community.

Based on the presented preliminary results, a further more detailed investigation is warranted to better understand past rock slide behaviour and present-day deformation rates. This will also allow to collect data to better understand the kinematics of individual blocks. A possible northern extension at the coastal slopes of Janusfjellet should be part of a more thorough investigation. More multidisciplinary data are needed to determine the volume and the travel distance of the rock slide mass. Such information is needed to perform a reliable hazard and consequence analysis as a potential reactivation event could pose a significant risk to the populated area of Longyearbyen. On the regional level, a thorough survey should be performed in order to find and map similar large-scale rock-slope failures within the Svalbard archipelago. Such an inventory is necessary to better understand the nature, controlling factors and the temporal relationships of these mass movements. Also, such an inventory offers the possibility to define magnitude-frequency relationships that are necessary to support alternative hazard assessment methods.

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