A constitutive model for tissue adaptation: Necrosis and stress driven growth

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\section*{Abstract}

This paper presents a general constitutive model for tissue stress driven growth and necrotic remodeling. The general theoretical framework is given by a kinematics formulation in large strain. The model allows the analysis of tissue behaviour considering the coupling of biological and mechanical fields, through the implementation of an internal variable that represents the bioavailability, together with the growth induced by mechanical stimuli. To take into account the tissue necrosis phenomenon a reinterpretation of the classical theory of mixtures is proposed. The proposed formulation is based on the consideration of two components, normal tissue and necrotic tissue, each one with its own volumetric participation, which is treated in an evolutive way, allowing simulating the phenomena of ischemia. This article also presents a brief description of the numerical implementation of the model as well as the obtained results, which shows the process of necrosis of part of the tissue, its influence on the surrounding healthy tissue, and the interaction between the nutrients availability and the stress driven growth and necrotic remodeling process.

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\section{Introduction}

Tissues require a constant blood supply to obtain nutrients, oxygen and to eliminate the metabolic wastes. Ischemia is an absolute or relative shortage of the blood supply to a tissue resulting in damage because of the lack of oxygen, nutrients and the buildup of metabolic wastes. The study of this process is important because it is related with several frequent pathologies: atherosclerosis, hypoglycemia, tachycardia, hypotension, thromboembolism, outside compression of a blood vessel, embolism, localized extreme cold, arteriovenous malformations, and peripheral artery occlusive disease.

Acute ischemia causes tissue necrosis generating stiffer non-active scar tissue. The mechanical properties of the scar are an important factor in the determination of the heart function after an acute ischemic episode (\textit{Fomovsky and Holmes}, 2010).

The analysis of growth and remodeling using mixture has been addressed by several researchers, i.e. Humphrey and Rajagopal (2002), Ateshian (2009) and Ambrosi et al. (2010). In this paper a constitutive model to simulate the necrotic remodeling phenomena is proposed. The model includes three fundamental concepts: the biological and mechanical fields, a two-phase continuum theory and an approach of the evolution of the volumetric participation of the scar tissue. The paper is divided into sections according to the statement above mentioned. Finally, numerical results that show the validity, efficiency and potentialities of the proposed formulation are presented. Soft tissues exhibit a quasi-incompressible behaviour, the incompressibility treatment is addressed following Appendix A.

\section{Constitutive stress driven growth/atrophy model considering biological availability}

A detailed analysis regarding the theoretical aspects of growth can be found in Taber (2009), Epstein and Maugin (2000) and Ambrosi and Guana (2007).

We present in this section a brief summary of the constitutive model of mechanical–biological growth in soft tissues proposed in Oller et al. (2010), along the lines of the formulations proposed in Rodriguez et al. (1994), Lubarda and Hoger (2002), Himel et al. (2005), Kuhl et al. (2007), Kroon et al. (2009) and Goktepe et al. (2010), among others.

We consider finite deformation kinematics, with the deformation of the solid $B \subset \mathbb{R}^3$ defined by a one-to-one map $\varphi : B \rightarrow \mathbb{R}^3$ giving the current positions $x = \varphi(X) \in \mathbb{R}^3$ of the material particles $X \in B$. In this context, growth is modeled by the multiplicative
decomposition of the deformation gradient \( F = \partial x / \partial X \) (Lubarda and Hoger, 2002)

\[
F = F^\varepsilon \cdot F^S
\]

for an elastic part \( F^\varepsilon \) and an incompatible part \( F^S \) that includes the effects of growth and atrophy.

The elastic part of the deformation gradient \( F^\varepsilon \) defines the mechanical response of the tissue. In the case considered in this paper of a purely elastic response for the bulk tissue, this definition is done through an elastic potential \( W(\rho_0, \hat{C}) \) in terms of the right Cauchy–Green tensor \( \hat{C} = F^T \cdot F^\varepsilon \) (following classical arguments based on frame indifference of the resulting model) and the reference density \( \rho_0 \), which evolves to model (isotropic) growth as discussed below. In this setting, the second Piola–Kirchhoff stress tensor \( S \) in the reference configuration is obtained as

\[
S = F^\varepsilon \cdot \hat{S} \cdot F^{\varepsilon -1} \quad \text{for} \quad \hat{S} = 2 \rho_0 \frac{\partial W(\rho_0, \hat{C})}{\partial \hat{C}}
\]

with \( \hat{S} \) corresponding to the second Piola–Kirchhoff stress tensor in the intermediate configuration defined by the local mapping \( F^\varepsilon \).

Note again that the reference density \( \rho_0 \) evolves due to the growth, but the partial derivative appearing in Eq. (2) involves a fixed value of this density. Simple algebraic manipulations lead also to the relation

\[
S = 2 \rho_0 \frac{\partial W(\rho_0, \hat{C})}{\partial \hat{C}} \quad \text{for} \quad \hat{C} = F^T \cdot F = F^{\varepsilon -1} \cdot \hat{C} \cdot F^{\varepsilon}
\]

the total right Cauchy–Green tensor \( \hat{C} \). The Cauchy stresses \( \sigma \) are then given by

\[
\sigma = \frac{1}{J} \cdot F^T \cdot S \cdot F^{\varepsilon -1} = \frac{1}{J} \cdot F^T \cdot \hat{S} \cdot F^{\varepsilon -1}
\]

defined in the current configuration of the solid \( g(\theta) \).

To characterize the growth, we first note that the volume change under the deformation \( \phi \) is given by the relations \( dv = |dv_0| = (f^j f^k) dv \) between the reference volume element \( dv_0 \) and the spatial (deformed) volume element \( dv \), with \( f^j = \det F \cdot \hat{F}^j = \det F^\varepsilon \) and \( f^k = \det F^S \). Growth or atrophy is characterized by a change of mass at constant density. Denoting the initial (and hence constant) density by \( \rho_0^{ini} \), we then have the relation

\[
\rho_0^{ini} = \frac{\partial V}{\partial \theta} \quad \text{for} \quad \theta = \frac{dV}{dV}
\]

defining the current values of the varying values (varying with the deformation) of the densities \( \rho_0 \) and \( \rho \) in the reference and current deformed configurations of the solid \( S \). The constant nature of \( \rho_0^{ini} \) leads directly to the time evolution of the reference density \( \rho_0 \) as

\[
\dot{\rho}_0^{ini} = 0 \Rightarrow \dot{\rho}_0 = \dot{\rho}_0^{ini} \Rightarrow \rho_0 = \rho_0^{ini}
\]

for the mass source \( R_0 \) in terms of the volume change \( \dot{\rho}_0 \) associated to the growth part of the deformation gradient \( F^S \). Following standard algebraic results, the mass source is then given by

\[
R_0 = \rho_0 \operatorname{tr} \dot{\hat{L}}^S = f^j f^k \rho_0^{ini} \operatorname{tr} \dot{\hat{L}} = f^j f^k \rho_0^{ini} \operatorname{tr} \left( F^T \cdot F^{\varepsilon -1} \right)
\]

for the growth velocity gradient \( \dot{\hat{L}}^S \).

In particular, isotropic growth is characterized by an isotropic growth stretch \( \delta \) as (Lubarda and Hoger, 2002)

\[
F^S = \delta \cdot \mathbf{I}
\]

in terms of the rate of the isotropic growth stretch \( \dot{\delta} \). We note that given Eq. (8) relations (2) and (3) reduce in the isotropic growth under consideration to

\[
S = \frac{1}{\delta^2} \dot{\hat{S}} \quad \text{and} \quad C = \dot{\delta} \cdot \hat{C}
\]

respectively (so, by the way, \( S : C = \hat{S} : \hat{C} \)).

Typical models of growth consider only that this growth rate is controlled by a stress stimulus. However, a simple mechanical stimulus is not enough, since mass increases require that the metabolism is able to allow the growth of the tissue. To accommodate these metabolic conditions, Oller et al. (2010) proposed an internal variable to take into account the biological availability for growth (named \( \theta \)) related as discussed below to the presence or absence of nutrients controlling the growth. In this way, the evolution rule

\[
\dot{\theta} = g(\t \sigma, \sigma_{eq}^*) (\delta, \theta)
\]

is proposed, where the function \( g(\t \sigma, \sigma_{eq}^*) \) models the mechanical stimulus for growth/atrophy in terms of the stress \( \sigma \) (in terms of its trace \( \t \sigma \)) and a stress parameter \( \sigma_{eq}^* \) to be defined below, and the function \( f(\delta, \theta) \) regulates the metabolic part of the growth according with the aforementioned biological availability to generate new tissue. The bioavailability internal variable \( \theta \) represents the volume of new tissue \( dV^* \) that can be generated by the metabolism with the available nutrients referred to the initial tissue volume. The expression of this internal variable is given by:

\[
\theta = \frac{dV^*}{dV}
\]

The function \( g(\t \sigma, \sigma_{eq}^*) \) controlling the mechanical part of the rate of growth needs to take into account the observed behaviour that for a certain range of stresses there is a homeostatic equilibrium without mass change. In this state, new cells are produced only to replace those that die of mass and volume remain constant. This equilibrium range of stresses is characterized by an upper limit \( \sigma_{eq}^{+} \) and a lower one \( \sigma_{eq}^{-} \), with growth/atrophy occurring only when \( \theta \) falls outside the interval \( \sigma_{eq}^{-} < \sigma_{eq} < \sigma_{eq}^{+} \).

Furthermore, it is observed that the rate of growth is limited by the rate at which cell division and collagen recruitment occur in a particular tissue. This observation is taken into account in the model by considering a maximum rate of mass production \( M_{max} \), from Eq. (10).

\[
M_{max} = \frac{(R_0)_{max}}{\rho_0^{ini}} = 3 \dot{\theta} \cdot \dot{\delta}
\]

resulting in the maximum growth stretch rate

\[
\dot{\delta}_{max} = \frac{M_{max}}{3 \dot{\theta}^2}
\]

Therefore, in this equation it is possible to see that the stretching rate is going down while the growth stretch increases. Similarly, a maximum rate of tissue resorption can be observed in the case of atrophy, defining a maximum rate of mass decrease. We denote these limit values \( \dot{\delta}_{max}^+ \) and \( \dot{\delta}_{max}^- \) for growth and atrophy, respectively.

With these considerations in mind, the growth-stimulus function \( g(\t \sigma, \sigma_{eq}^*) \) assumed in this work is depicted in Fig. 1. Its general form, with three different zones (homeostatic equilibrium zone, atrophy zone and growth zone) is similar to the one proposed by Rodríguez et al. (2007). An explicit expression of the function \( g(\t \sigma, \sigma_{eq}^*) \) can be easily devised.
normal and necrotic tissue working in parallel each one with its own mechanical properties. The potential $W$ for the tissue model is given by:

$$W = W_h + W_n$$

The volume fractions of the healthy and necrotic parts of the tissue, in the reference configuration, are expressed as

$$k_h = \frac{dV_h}{dV_0} \quad \text{and} \quad k_n = \frac{dV_n}{dV_0}$$

The hypothesis of the classic rule of mixtures regarding the components strain, establishes that each component is subjected to the same deformation field, leading to the following closure equation:

$$F = (F)_h = (F)_n$$

for the deformation gradient $F$ of the whole tissue, and the deformation gradients $(F)_h$ and $(F)_n$ for the healthy and necrotic parts. The multiplicative decomposition (1) of the common deformation gradient $F$ applies separately for each parts of the tissue. For the isotropic growth of interest, as defined by Eq. (8), we can write

$$F = \frac{dV_h}{dV_0} = \frac{dV_n}{dV_0}$$

for the growth stresses $\theta_h$ and $\theta_n$ of the healthy and necrotic parts of the tissue, respectively, defining in the process the elastic tensors $\hat{C}_h$ and $\hat{C}_n$ determining the mechanical response in each part. The constitutive equation of the tissue can be obtained from Eqs. (3) and (18) as

$$S = 2\rho_0 \frac{\partial W}{\partial C} = \rho_0 \frac{\partial W_h}{\partial C_h} + \rho_0 \frac{\partial W_n}{\partial C_n} = k_h S_h + k_n S_n$$

with the individual stress tensors

$$\hat{S}_h = \rho_0 \frac{\partial W_h}{\partial C_h} \quad \text{and} \quad \hat{S}_n = \rho_0 \frac{\partial W_n}{\partial C_n}$$

for the healthy and the necrotic parts of the tissue, respectively. It is important to note, as observed in Section 2 when discussing Eq. (2) that the partial derivatives in Eqs. (22) and (23) involve the mechanical deformation only, that is, they consider constant reference densities. The Cauchy stress, and in particular its trace defining the mechanical growth stimulus, is obtained from the second Piola–Kirchhoff stress $S$ in Eq. (22) by relation (4).

Tissue necrosis affects not only the mechanical properties of the tissue but also the capability of the tissue to undergo adaptive processes. The necrotic part of the tissue is no longer an active material, that is,

$$\hat{\theta}_h = \hat{\theta}_n = 1 \quad \text{identically(i.e. } \hat{\theta}_h = 0)$$

and therefore, only the healthy part can undergo stress driven growth. This effect generates a coupling between the necrotic remodeling and the stress driven growth previously discussed. Consequently the evolution of the internal growth stretch variable for partially necrotic tissue is computed as follows

$$\dot{\hat{\theta}}_h = \hat{\theta} \frac{1}{k_h}$$
for the total rate $\dot{g}$ given by the considerations in Section 2 (i.e. Eq. (12) in particular).

The remodeling from healthy tissue to scar tissue takes some time, during which the mechanical properties change gradually until the tissue reaches its final configuration (Fomovsky and Holmes, 2010). The values of the mechanical properties (Eq. (18)) corresponding to that final configuration of the scar tissue are used in $W_n$, while for $W_h$, the mechanical properties of the healthy tissue are used. The temporal evolution of the necrotic tissue is controlled by means of the volume ratio $k_n$, which is calibrated so that the properties of the tissue mixture match the ones corresponding to experimental data. Consequently, to address this temporal evolution, a function $f(H, t)$ is introduced being $f$, the duration of the acute ischemic episode. The other factor that controls the necrotic process is the ischemia severity. Considering these ideas the following evolution rule for the necrotic volume ratio is proposed

$$k_n = \frac{1}{H} f_n(H)$$

where the ratio between the net flux and the demand $\dot{g}/H$ determines the severity of the lack of nutrients. The healthy tissue volume ratio is simply obtained as $k_h = 1 - k_n$.

Remark 1. The amount of nutrients entering the system is taken into account by the function $N(t)$ in Eq. (17). The numerical examples presented in Section 4 consider an initial reserve of nutrients $R_i$ and a discrete contribution of nutrients $A_t$ at regular time intervals. The values of $N(t)$ are dimensionless and represent the mass increment of nutrients referred to the initial mass of the system. For instance, a value of $N = 0.02$ represents the entry to the system of nutrients enough to generate an increase of tissue mass of 2% respect to its original mass. Fig. 2 shows the function of nutrients contribution.

3.2. Application to rat myocardial infarction

Sustained acute ischemia in cardiac tissue leads to the tissue necrosis. Because of the importance of this process several experimental studies using animal models were developed (Sunagawa et al., 1983; Lerman et al., 1983; Pfeffer et al., 1991; McCormick et al., 1994; Holmes and Covell, 1996). However, the first study that considers simultaneously the temporal evolution of scar structure, scar mechanics, and left ventricular (LV) function in large anterior myocardial infarcts in rats was published in 2010 by Fomovsky and Holmes. In their work the evolution of the mechanical properties of the scar tissue was obtained from biaxial mechanical testing at 1, 2, 3, and 6 weeks. They also reported that infarcts in the rats were structurally and mechanically isotropic at all time points. The material was represented using a quadratic form of the energy function $W(I)$ as follows:

$$W = c_1 (I - 3)^2$$

where $c_1$ is a material parameter $I = tr(\mathbf{C})$ is the first invariant of the right Cauchy. The material parameters obtained from the mechanical testing for each time point are also provided.

The experimental data from Fomovsky and Holmes (2010) have been used to calibrate the model proposed in this work. For the fully necrotic tissue the material parameter value corresponding to the mechanical properties of the 6th week scar $(c_1)_n = 995.2$ kPa has been adopted, and for healthy tissue a value of $(c_1)_h = 225.3$ kPa has been adopted. The behaviour of healthy tissue at time $t$, recovered adopting $k_h = 1$, $k_n = 0$ and $f_n = 0$, while the behaviour of the 6th week scar tissue is obtained adopting $k_h = 0$, $k_n = 1$, $f_n = 1$. To calibrate the temporal evolution of the mechanical properties of the scar tissue during the 6 weeks period, a fully ischemic condition is assumed $\dot{a}/H = 1$. In this case the volume fractions $k_h$ and $k_n$ are exclusively functions of $f_n$. The temporal function $f_n$ can then be calibrated straightforwardly fitting the mechanical properties of the proposed model to the experimental data obtained from rats with different ischemic period.

The resulting function $f_n$ obtained fitting Fomovsky and Holmes (2010) data is shown in Fig. 3.

The values of the material parameters used to calibrate $f_n$ are the mean values of several myocardial infarcted rat samples, therefore this function can be considered representative for this particular tissue.

4. Representative numerical examples

4.1. Single element under uniaxial stress

The application examples were performed using a 3D displacement–pressure finite element method (FEM) in a Fortran code. Some details of the finite element implementation considered in this work, including details on the treatment of the quasi-incompressibility of the model under consideration, can be found in Appendix A.

To assess the capability of the proposed model to represent the temporal evolution of the mechanical properties of tissue subjected to acute ischemia, a uniaxial stretching test on a single hexahedral finite element is carried out at 0, 1, 2, 3 and 6 weeks. A null income of nutrients is considered, consistently with the conditions imposed in Fomovsky and Holmes (2010) for the infarcted tissue. Fig. 4 shows the element, its boundary conditions and the prescribed uniaxial stretching.
A uniform displacement of 1.0 mm is applied in the upper side of the patch.

The evolution of the necrotic tissue volume fraction and the Cauchy stress trace are shown in Fig. 7 and the values for the reference points are plotted in Fig. 8.

The scar tissue is stiffer than the healthy one, in rat myocardial infarct this is a consequence mainly of the increase of the collagen content. This progressive evolution of the mechanical properties of the scar tissue along of the 6 weeks period generates an important alteration of the stress field as can be seen in Fig. 7b, where zones of stresses concentration and relaxation are clearly visible.

The acute ischemic condition starts after 1.5 days when the zone of tissue with limited nutrients income has already consumed its initial reserve \( R_i \) and the nutrients income is smaller than the homeostatic intake. In the tissue subjected to acute ischemia the necrotic tissue fraction increases over time, being this effect more noticeable in the point A where the lack of nutrients is more severe. Point B, near to the scar boundary, shows a smaller variation while point D, located outside the scar, shows no remodeling as expected (Fig. 8b).

As the remodeling process progress the stress field is no longer uniform and the curves converge, the plot shows an important variation of the stresses in the reference points.

### 4.2. Acute ischemia in tissue patch

The change in the mechanical properties of the acutely ischemic tissue induces an alteration at the stress and strain fields of the surrounding tissue. To study this effect, local acute ischemia is simulated in part of a tissue patch, prescribing a variable profile of the nutrients income function parameter \( A_i \) as shown in Fig. 6a. For the sake of simplicity the stress driven growth phenomena is not considered in this example, leaving it for the example studied in the next section.

The patch dimensions are 25 mm long, 15 mm wide and 1.5 mm thick. The general patch response and, particularly, the behaviours of four reference points (Fig. 6b) are considered. The values of the material parameters are \( c_{1h} = 995.2 \text{ kPa} \) and \( c_{1n} = 225.3 \text{ kPa} \) for necrotic and healthy tissue, respectively. The temporal evolution function \( f_{tn} \) from Fig. 3 is also adopted. The tissue homeostatic daily intake value is \( H_i = 0.01 \), matching the nutrients income function in the patch, except in the necrotic zones with restricted nutrient income as shown in Fig. 6a. An initial nutrients reserve \( R_i = 0.015 \) is considered.

### 4.3. Necrosis and stress driven growth interaction

This final example considers the both mentioned processes, stress driven growth and necrotic tissue remodeling. As seen in the previous example the necrosis alter the stress field of the scar tissue and the healthy surrounding tissue. This concentration of supra-homeostatic stress in zones of the surrounding tissue that have a sustained flux of nutrients, can lead to a stress driven growth process. To address this interaction the same mesh, boundary conditions and material properties from example in Section 4.1 are considered and tissue growth is also enabled. To allow growth the values of \( A_i \) are increased a 10% outside the zone of limited nutrients income. The maximum mass production adopted is \( R_{max} = 0.13 \) day and the growth upper limit is \( \sigma^{+}_{eq} = 1.05 \text{ kPa} \). The results are shown in Figs. 9 and 10.

The concentration of stresses in the healthy tissue evolves along the time while the scar tissue assumes its final structure. This mechanical stimulus induces a simultaneous growth process of the...
Fig. 7. (a) $k_n$ evolution. (b) Cauchy stress trace evolution.

Fig. 8. (a) Cauchy stress trace for reference points A, B, C, D. (b) Necrotic volume fraction $k_n$ evolution for reference points A, B, C.

Fig. 9. (a) Growth stretch evolution. (b) Cauchy stress trace evolution during growth.
healthy tissue zones with supra-homeostatic values of the Cauchy stress trace as can be seen in Fig. 9a. Also it can be seen that tissue undergoing the necrotic process has an increased stress field (Figs. 9b and 10b), but since it lacks any free nutrients the metabolic field does not enable the growth process and no growth stress is developed in the necrotic tissue area (Figs. 9a and 10a).

Tissue growth induces a stress relaxation as can be noted by comparing Cauchy stress trace slope in Figs. 8 and 10, for reference point D.

5. Conclusions

A model for the numerical simulation of the necrosis process and stress driven growth is proposed in this work. The necrotic remodeling phenomena is treated by means of a new mixing formulation considering a reinterpretation of the classic uncoupled approach of the evolution of the volumetric part of the Cauchy stress. The model capabilities have been shown by means of several application examples regarding to myocardial rat infarct tissue, although the model can be applied to other tissues.

The model is able to successfully capture the interaction between growth and acute ischemic remodeling resulting from the biological and mechanical fields.

Finally, the implementation of the proposed model into a FEM formulation with a suitable mesh can be useful to analyze the change in the functional capabilities in acute ischemic cases.

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Appendix A.

A.1. Soft tissue incompressibility

The treatment of the incompressibility in the material model follows closely the approach developed in Crisfield (1997). To this end the volumetric ($F_{vol}$) and deviatoric ($\tilde{F}$), terms of the deformation gradient are split as follows:

$$ F = F_{vol} \tilde{F}, \quad F_{vol} = J^{-1/3}, \quad \tilde{F} = J^{1/3} F $$

where

$$ J = \det[F] = \det[F_{vol}], \quad \det[\tilde{F}] = 1 $$

The multiplicative split of the deformation gradient leads to an additive strain energy decomposition

$$ W = U(J) + W(\tilde{C}) $$

where $\tilde{C}$ is the deviatoric part of the right Cauchy–Green tensor, $\tilde{C} = \tilde{F}^T \tilde{F}$.

This uncoupled energy function leads to an uncoupled stress–strain relationship. The volumetric strain is associated, in the reference configuration with a hydrostatic pressure term $p$, given by:

$$ p = \frac{\partial U(J)}{\partial J} = -U'(J) \to \sigma_v = U'(J) J $$

where $I$ is the second order identity tensor.

In terms of the second Piola–Kirchhoff tensor, the stress associated to the volumetric term is:

$$ S_v = J F^{-1} \sigma_v F^{-T} $$

To consider the compressible term of the strain energy the following expression is adopted:

$$ S = \frac{k}{J} J^{-1} J^{-1} + J^{-1} J^{-1} $$

where $k$ is the bulk modulus of the material and the incompressible form can be recovered by letting $k$ tend to infinity. This choice for the volumetric function $U(J)$ allows a simple treatment in the penalty formulation considered here, taking into account that the Jacobian $J$ stays close to 1 in the quasi-incompressible problem of interest. After this consideration the volumetric part of the stress tensor results:

$$ S_v = J k (J - 1) C^{-1} $$

The volumetric term of the Cauchy stress tensor $p = \sigma_v$ in the reference configuration results:

$$ p = -k (J - 1) I $$

and the deviatoric part of the stress is given by:

$$ \tilde{S} = 2 \frac{\partial W(\tilde{C})}{\partial \tilde{C}} $$

The expression of the stress is obtained by adding the deviatoric and volumetric terms as follows:

$$ S = 2 \frac{\partial W(\tilde{C})}{\partial \tilde{C}} - jk (J - 1) C^{-1} $$

This form of the strain energy is convenient for its implementation in a mixed pressure displacement FEM code, where nodal values of
\( \mathbf{p} \) are used as Lagrange multipliers to enforce the incompressibility constraint.

### A.2. Mixed displacement-pressure formulation

In this work a two field formulation is used, pressure and displacement are considered as variables at the structural level following Crisfield (1997). To this end different shape functions for the displacement and pressure variables, with the latter taking a lower order variation, are employed,

\[
p = \sum h_p p_i \quad u = \sum h_u u_i \quad v = \sum h_v v_i \quad w = \sum h_w w_i
\]

where \( p_i \) are the nodal pressure variables and \( u, v, w \) are the nodal displacement variables.

Eq. (A11) can be written as:

\[
p = \mathbf{h}_p^T \hat{\mathbf{p}}
\]

where \( \mathbf{h}_p = [h_p, h_u, h_v, h_w] \) and \( \hat{\mathbf{p}} = [p_1, p_2, \ldots, p_n] \).

Virtual work can be used to find the out-of-balance force vector, \( \mathbf{g} \) where

\[
\mathbf{g} = \mathbf{q}_e - \mathbf{q}_e = \int B^T_s \mathbf{S} d\mathbf{V}_0 - \mathbf{q}_e
\]

with \( \mathbf{S} \) as the second Piola–Kirchhoff stresses, \( B_{sd} \) relates displacements and strains, \( \mathbf{q}_e, \mathbf{q}_e \) are the internal and external forces vectors, respectively.

Assuming exact equilibrium form a previous increment differentiation of (A13) leads to:

\[
\delta \mathbf{q}_e = \delta \mathbf{q}_e = \int B^T_s \delta \mathbf{S} d\mathbf{V}_0 + \int \delta B^T_s \mathbf{S} d\mathbf{V}_0
\]

Considering the stresses differentiation:

\[
\delta S_{ij} = G_{ijl} \delta E_{kl} + G_{ij} \delta \mathbf{u}
\]

where \( G_{ij} \) is a fourth order constitutive tensor and \( G_{ij} \) is defined by:

\[
G_{ij} = -\frac{1}{2} C_{ijkl} C_{ijkl}^{-1}
\]

Eq. (A15) can be written in matrix form as:

\[
\delta \mathbf{q}_e = [\mathbf{K}_e - \mathbf{P}_s \mathbf{H}^{-1} \mathbf{P}_s^T] \delta \mathbf{u} = \mathbf{K}_s \delta \mathbf{u}
\]

where \( \mathbf{C}_s \) is a matrix and \( \mathbf{g}^T \) a column vector. Substituting Eq. (A17) in Eq. (A14) and considering that \( \delta \mathbf{E} = B_{sd}(\mathbf{p}) \delta \mathbf{u} \), the following expression is obtained:

\[
\delta \mathbf{q}_e = \delta \mathbf{q}_e = \mathbf{K}_e \delta \mathbf{u} + \mathbf{a}
\]

where

\[
\mathbf{K}_e = \frac{\partial \mathbf{q}_e}{\partial \mathbf{u}} = \int B^T_s \mathbf{C}_s B_{sd} d\mathbf{V}_0 + \int \mathbf{C}_s \delta \mathbf{S} d\mathbf{V}_0
\]

\( \mathbf{S} \) contains the second Piola–Kirchhoff tensor stresses, and is given by:

\[
\mathbf{S} = \begin{bmatrix} S_{11} & S_{12} & S_{13} \\ S_{21} & S_{22} & S_{23} \\ S_{31} & S_{32} & S_{33} \end{bmatrix}, \quad \text{and} \quad \mathbf{S} \mathbf{S} = \begin{bmatrix} S_{00} & S_{01} & S_{02} \\ S_{10} & S_{11} & S_{12} \\ S_{20} & S_{21} & S_{22} \end{bmatrix}
\]

The pressure coupling vector \( \mathbf{a} \) in Eq. (A18) is given by:

\[
\mathbf{a} = \int B^T_{sd} \mathbf{g}^T d\mathbf{V}_0 \delta \mathbf{p} = \mathbf{P}_s \delta \mathbf{p}
\]

Pressure change \( \delta \mathbf{p} \) in terms of its nodal variables is given by:

\[
\delta \mathbf{p} = \mathbf{h}_p^T \delta \hat{\mathbf{p}}
\]

Substituting Eq. (A22) into Eq. (A21)

\[
\mathbf{a} = \mathbf{P}_s \delta \mathbf{p} = \int B^T_{sd} \mathbf{g}^T d\mathbf{V}_0 \delta \mathbf{p}
\]

from which:

\[
\mathbf{P}_s \delta \mathbf{p} = \frac{\partial \mathbf{q}_e}{\partial \mathbf{p}} = \int B^T_{sd} \mathbf{g}^T d\mathbf{V}_0
\]

To apply the pressure–displacement relationship from Eq. (A8) Galerkin method is applied to obtain a “weak” form of this equation and multiplying by \( \delta \mathbf{p} \) and integrating over the element the following expression is obtained:

\[
- \int \left( I - \frac{1}{\kappa} p \right) \delta \mathbf{p} d\mathbf{V}_0 = -\delta \hat{\mathbf{p}}^T \int \mathbf{h}_p \left( I - \frac{1}{\kappa} p \right) d\mathbf{V}_0
\]

\[
\delta \hat{\mathbf{p}}^T \mathbf{f} = 0
\]

This relationship must hold for any arbitrary pressure variation so that with \( \mathbf{f} \) representing the lack of pressure compatibility:

\[
\mathbf{f} = -\int \mathbf{h}_p \left( I - \frac{1}{\kappa} p \right) d\mathbf{V}_0 = 0
\]

Assuming continued satisfaction of Eq. (A26) from an “equilibrium state”:

\[
\delta \mathbf{f} = \frac{\partial \mathbf{f}}{\partial \mathbf{u}} \delta \mathbf{u} + \frac{\partial \mathbf{f}}{\partial \mathbf{p}} \delta \mathbf{p} = \mathbf{P}_s \delta \mathbf{u} + \mathbf{H} \delta \mathbf{p} = 0
\]

where \( \mathbf{P}_s \) has already been defined in Eq. (A24) and \( \mathbf{H} \) is given by:

\[
\mathbf{H} = \frac{\partial \mathbf{f}}{\partial \mathbf{p}} = \int \mathbf{h}_p \left( I - \frac{1}{\kappa} p \right) d\mathbf{V}_0
\]

with \( \delta \mathbf{f} = 0 \) the combination of Eqs. (A18), (A21) and (A27) gives the tangent governing equations as:

\[
\mathbf{K}_e \delta \mathbf{u} + \mathbf{H} \delta \mathbf{p} = 0
\]

which can be solved in a traditional manner for \( \delta \mathbf{u} \) and \( \delta \mathbf{p} \). Alternatively the second set of equations can be solved first and then substituted into the first set to give:

\[
\mathbf{K}_s \delta \mathbf{u} = \mathbf{P}_s \delta \mathbf{p}
\]

where \( \mathbf{K}_s \) is the effective tangent stiffness matrix.

To form the basis of a Newton–Raphson iteration we expand Eqs. (A13) and (A29) using truncated Taylor series. Hence from Eq. (A13) we have:

\[
\mathbf{g}_n = \mathbf{g}_0 + \frac{\partial \mathbf{g}}{\partial \mathbf{u}} \delta \mathbf{u} + \frac{\partial \mathbf{g}}{\partial \mathbf{p}} \delta \mathbf{p}
\]

while from Eq. (A26) we have:

\[
\mathbf{f}_n = \mathbf{f}_0 + \frac{\partial \mathbf{f}}{\partial \mathbf{u}} \delta \mathbf{u} + \frac{\partial \mathbf{f}}{\partial \mathbf{p}} \delta \mathbf{p}
\]

Dropping the subscript 0, Eqs. (A31) and (A32) can be combined to give:

\[
- \begin{bmatrix} \mathbf{g} \\ \mathbf{f} \end{bmatrix} = \begin{bmatrix} \mathbf{K}_s \mathbf{P}_s^T H & \mathbf{P}_s^T H \end{bmatrix} \begin{bmatrix} \Delta \mathbf{u} \\ \Delta \mathbf{p} \end{bmatrix}
\]

Using a mixed formulation Eq. (A33) can be solved directly as a part of a Newton–Raphson loop.
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