Loop-extrusion and polymer phase-separation can co-exist at the single-molecule level to shape chromatin folding

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Loop-extrusion and phase-separation have been proposed as mechanisms that shape chromosome spatial organization. It is unclear, however, how they perform relative to each other in explaining chromatin architecture data and whether they compete or co-exist at the single-molecule level. Here, we compare models of polymer physics based on loop-extrusion and phase-separation, as well as models where both mechanisms act simultaneously in a single molecule, against multiplexed FISH data available in human loci in IMR90 and HCT116 cells. We find that the different models recapitulate bulk Hi-C and average multiplexed microscopy data. Single-molecule chromatin conformations are also well captured, especially by phase-separation based models that better reflect the experimentally reported segregation in globules of the considered genomic loci and their cell-to-cell structural variability. Such a variability is consistent with two main concurrent causes: single-cell epigenetic heterogeneity and an intrinsic thermodynamic conformational degeneracy of folding. Overall, the model combining loop-extrusion and polymer phase-separation provides a very good description of the data, particularly higher-order contacts, showing that the two mechanisms can co-exist in shaping chromatin architecture in single cells.

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To understand the molecular mechanisms that in the nucleus of cells establish the large scale 3-dimensional (3D) architecture of chromosomes1–14, encompassing DNA loops15, Topologically Associated Domains (TADs)16,17 and larger structures13,18, different physical processes have been proposed and investigated via chromatin models from physics9–46 and via computational approaches47–61. However, it remains unclear how well different mechanisms capture folding at the single molecule level, how they compare against each other in explaining experimental data and whether they compete or coexist. Here, we explore two recently discussed classes of models that focus on two distinct physical processes, respectively loop-extrusion and polymer phase-separation, that we compare against single-molecule super-resolution microscopy64,62 and bulk Hi-C data15,63 available in human loci in IMR90 and HCT116 cells.

Loop-extrusion and phase-separation based models reflect two classical, yet distinct scenarios of molecular biology to explain the formation of DNA contacts64. The first class considers the picture where physical proximity between distal sites is established by molecular motors that bind to DNA and extrude a loop19,20,31,40,41. This is an out-of-equilibrium, active physical process that involves energy, e.g., ATP, consumption. The model envisages that those loop-extruding complexes stochastically bind to a polymer chain and extrude loops until encountering another motor, an anchor site or unbinding from the chain. While the polymer becomes compacted in a linear array of loops, specific contacts are established between the motor anchor sites where extrusion halts, hence defining boundaries between subsequent chromatin regions. Experimental evidence indicates that Cohesin and Condensin can be components of the motor complex, while properly oriented CTCF sites can act as anchor points41. Computer simulations have shown that such a model can explain with good accuracy loops and TADs visible in bulk Hi-C contact maps19,20,31,46,41. Variants of such a model have been also developed where chromatin loops are formed by thermal random sliding of DNA into an extruding filament31 or by, e.g., transcription-induced supercoiling40.

The second class of polymer models21–30,32–39,42–46 considers another classical scenario where physical proximity between distal DNA sites results from interactions mediated, for instance, by diffusing cognate bridging molecules, such as Transcription Factors, or from direct interactions produced, e.g., by DNA bound histone molecules. In the Strings and Binders (SBS) model43,45 for example, a chromatin filament is represented as a self-avoiding chain of beads, along which are located different types of binding sites for cognate diffusing binders that can bridge those sites. The binding sites have been correlated to different molecular and epigenetic factors, ranging from active and poised Pol-II to eu- and heterochromatin sites21,27,28,46. The steady-state 3D conformations of the system are determined by the laws of physics and fall in different structural classes corresponding to its thermodynamics phases. In the SBS model, for instance, upon increasing the concentration or affinity of binders, the system undergoes a polymer phase-separation transition from a coil, i.e., randomly folded, to a globular state, where distinct globules self-assemble along the chain by the interactions of cognate binding sites24,27,35,45. Polymer physics explains that the thermodynamic phases are independent of the specific origin of the interactions—e.g., direct or mediated by diffusing factors - so different models can belong to the same universality class65. For that reason, the thermodynamic phases of, say, the SBS model also occur in models with direct chromatin interactions. Those phase transitions result in structural changes of the chain that spontaneously establish contact or segregation of specific, distal sites, such as genes and their regulators. Such a class of models has been shown to explain Hi-C, SPRITE, GAM and microscopy contact data across the genome, from the sub-TAD to chromosomal scales21–30,32–38,42–46, also at the single molecule level35,38.

It is unclear, however, how loop-extrusion and polymer phase-separation perform relative to each other in capturing chromatin folding and whether they compete or coexist in establishing chromosome architecture in single cells. Here, we implemented different versions of those models to benchmark their structural predictions at the single-molecule level against independent multiplexed FISH data available in specific genomic loci6. We simulated first a simple loop-extrusion (LE) model66 of those loci. Next, we developed an extended LE (eLE) model where, to mimic epigenetic differences of single cells, the anchor sites can differ across single molecules69. Additionally, in the eLE model the genomic locations of the anchor sites, and their single-molecule presence probability, are optimized to best fit experimental contact data. We also considered the SBS model of the studied loci65 and, finally, we introduced a model combining eLE and SBS (the LE+SBS model), i.e., a model where in a single molecule both LE and SBS mechanisms act simultaneously. We find that both loop-extrusion and phase-separation based models can quantitatively explain ensemble-averaged microscopy and bulk Hi-C data15, albeit the simple LE model is only partially effective. Our single-molecule analyses show that both types of models do capture the main features of single-cell chromatin conformations and higher-order contacts. Yet, phase-separation based models better reflect the experimentally reported segregation in globules of the considered genomic loci and their cell-to-cell structural variability. Such a variability results from two main concurrent sources: the intrinsic thermodynamic degeneracy of polymer folding and single-cell epigenetic heterogeneity. Consistent with such a picture, the LE+SBS model turns out to provide an excellent description of all the different datasets and to have the least discrepancy with microscopy triple contact data, supporting the view that loop-extrusion and phase-separation can coexist at the single-molecule level in determining chromatin architecture.

Results

Polymer models of the studied loci. We implemented the polymer models of two 2 Mb wide genomic loci in human IMR90 and HCT116 cells where, as stated, single-cell super-resolution microscopy data6 are available at 30 kb resolution (Fig. 1a, Supplementary Fig. 1a). To assess the role of the different ingredients of the models, we developed distinct versions that we compared against single-cell data.

First, we implemented a simple LE model20, where loop-extruding motors stochastically bind to a polymer bead chain and extrude loops until encountering anchor points with opposite orientation or another motor or unbinding from the chain (Fig. 1b and Methods). The position and orientation of the anchor points are identified by the FIMO standard motif finding analysis66 based on the peaks of CTCF ChIP-seq data from ENCODE67. While the motors can stochastically bind to and unbind from the chain, the anchor sites are fixed and equal in all single-molecule computer simulations. Their anchoring strength is set to 100%, i.e., when an extruder arrives at an anchor point it remains blocked at that position, yet we checked that the overall results do not change for strengths in the range down to 60% (Methods). This model is hereafter referred to as the LE model.

To explore the potential of the loop-extrusion mechanism and to dissect the roles of its ingredients beyond such a minimal implementation, we also considered a more refined version, hereafter named the extended LE (in short, eLE). In the eLE model, to mimic epigenetic differences across single cells, each anchor site is present, with a given probability, only in a subset of model single-molecules29 (Fig. 1c, Supplementary Fig. 1b).
In our IMR90 case study locus, we found that the models all capture the global patterns visible in the median distance matrix<sup>6</sup> (Fig. 2a, Methods). To have a quantitative measure of similarity, we computed the genomic distance-corrected Pearson correlation coefficient, $r'$, between model and experiment. The LE has

**Additionally, to best fit average contact and microscopy distance data, we searched for the optimal genomic location and probability of the motor anchor sites, independently of CTCF tracks (Methods).**

Finally, to better form TADs and globules (see below), the beads of the polymer chain are subject to a self-interaction produced by unspecific bridging molecules. In our studied loci, we found that the probability to be present in a model single-molecule is different for different anchor sites, ranging from roughly 50–100% (Fig. 1c, Supplementary Fig. 1b), a range consistent with current estimates of cell epigenetic heterogeneity<sup>68</sup>. Also, interestingly, while the optimized eLE sites are all CTCF sites, not all LE CTCF sites are retained in the model after the optimization because a fraction of them (roughly 50%) is found to be redundant in the eLE (i.e., not required to better explain contact data, Supplementary Figs. 2a, b, 3a, b and Methods). Additionally, while the eLE anchor sites are all characterized by CTCF/cohesin signatures, they also have overlaps with different chromatin marks such as H3K27ac, H3K4me1, H3K27me3 and Pol-II (Supplementary Figs. 2c, 3c), supporting the view that in the genome not all CTCF sites are equivalent, as reported in recent experiments<sup>69,70</sup>, and that they could be combined with other signals in determining loop sites.

Next, in the considered loci we implemented the SBS model<sup>35</sup> whereby chromatin is represented as a self-avoiding chain of beads, in a thermal bath, with specific binding sites for cognate diffusing molecular binders (Fig. 1d, Supplementary Fig. 1c and Methods). The location and types of binding sites are different for the different loci and are inferred via a machine learning procedure based on the PRISMR method, which takes as input only Hi-C data<sup>28,35</sup>. The model of the HCT116 locus has four binding site types and the model of the IMR90 locus has seven types, each visually represented by a different color along the chain (Fig. 1d, Supplementary Fig. 1c). The binding site types have been shown to correlate with different combinations of chromatin architectural factors, such as CTCF/cohesin, H3K4me3 or H3K4me1<sup>35</sup>. As mentioned above, the equilibrium 3D conformations of the SBS model fall in structural classes corresponding to its thermodynamics phases<sup>65</sup>; upon increasing binder concentration or affinity above a threshold value, the system undergoes a phase transition from a coil (i.e., randomly folded) to a polymer phase-separated state where distinct, compact globules self-assemble along the chain in correspondence of its different, prevailing binding domains (i.e., locally enriched colors)<sup>35</sup>. The intrinsic thermodynamic degeneracy of the state of the model results in a broad variety of 3D single-molecule conformations<sup>35</sup> (Methods). We also developed a variant of the SBS model where cognate DNA sites have direct physical interactions, rather than mediated by binders, and our overall findings remain unchanged (Supplementary Fig. 4, Methods) as expected from Statistical Mechanics<sup>65</sup>.

Finally, to check whether active mechanisms, such as loop-extrusion, and passive mechanisms, such as thermodynamic polymer phase-separation, could coexist to shape chromatin architecture in the studied loci, we implemented a polymer model combining the above described eLE and SBS models, i.e., a model where both mechanisms act simultaneously in each single molecule (named the LE+SBS model, Fig. 1e, Supplementary Fig. 1d and Methods). For each of the considered models, an ensemble of 3D conformations was obtained via Molecular Dynamics simulations in the steady state<sup>29,39</sup> (Methods). In all the considered cases, the model unit length scale was mapped into physical units by equating the median gyration radius to its corresponding experimental counterpart<sup>6,35</sup> (Supplementary Fig. 5, Methods).

**Both loop-extrusion and phase-separation based models recapitulate average microscopy and Hi-C data.** To benchmark the different models, we focused first on how they recapitulate population-averaged experimental data by comparing their median distance and contact maps against, respectively, multiplexed FISH<sup>6</sup> and bulk Hi-C data<sup>15,63</sup>.
$r' = 0.19$, while the eLE has $r' = 0.49$, highlighting a markedly improved similarity to the experiment. The data appear to be better captured by the SBS and by the LE+SBS models, as signaled by their higher correlations ($r' = 0.77$ and $r' = 0.70$, respectively). Analogous results are found by comparing the model contact matrices against Hi-C data (Fig. 2b, Methods): LE has $r' = 0.24$, eLE has $r' = 0.57$, while SBS and LE+SBS models comparatively better reproduce Hi-C contact patterns ($r' = 0.74$ and $r' = 0.72$, respectively). We also considered other measures of similarity, such as the simple Pearson correlation (Supplementary Table 1), which provided analogous results.

Next, we focused on the relative distances of specific, interesting pairs of sites in the IMR90 locus. Specifically, we considered: (i) a pair of sites (highlighted in green, see the scheme in Fig. 2c and Supplementary Table 2) located 0.3 Mb apart from each other within the same TAD, having a strong interaction; (ii) a pair of sites (red), located 0.7 Mb away in different sub-TADs, having a strong loop contact in the median distance matrix; and (iii) a pair of 1.1 Mb distant sites (yellow) from different TADs, separated by a strong TAD boundary. Albeit the genomic separation of the red pair is twice as large as the separation of the green, those pairs have a similar average spatial distance in the experiment, close to 400 nm, whereas the boundary separated yellow pair is $>800$ nm apart (Fig. 2c, Supplementary Table 2). We found that the different models all recapitulate those values (Fig. 2c, Supplementary Table 2) and, interestingly, the LE+SBS model is overall the closest to the experiment across those specific pairs of sites. Additionally, we checked that the distance distributions derived from the models are all similar to the corresponding microscopy distance distributions (Supplementary Fig. 6). We stress, however, that the specific values of those distances can depend on the minute details of the models, such as the shape of the interaction potential or loop-extruder size.

To assess how well distinct models capture different aspects of chromatin folding, we also computed the probability to find a TAD boundary at a given genomic location and the average separation score along the locus in single-molecule conformations (Fig. 2d, e, Methods). In the IMR90 case study locus, we found that the boundary probability and the boundary strength averaged over all genomic positions are similar across the different models and very close to the experimental values (Supplementary Fig. 7). The boundary probability as a function of the genomic coordinates of the locus, however, is better captured by the eLE model, which has the highest Pearson correlation with experimental data ($r = 0.83$, Fig. 2d), while the LE has the lowest correlation ($r = 0.31$). The SBS and LE+SBS models also provide a good fit to the data, having respectively $r = 0.63$ and $r = 0.65$. We also found that all the models provide a good overall description of the average separation score along the locus (Fig. 2e): the LE has the lowest correlation to the corresponding experimental data ($r = 0.51$), the eLE has $r = 0.74$, the SBS $r = 0.79$ and the LE+SBS model $r = 0.82$.

Our analysis of the HCT116 locus returned a very similar picture about the performance of the different models to describe average distance and Hi-C data (Supplementary Fig. 8a, b) as well as TAD boundary probabilities and separation scores (Supplementary Fig. 8c, d and Supplementary Fig. 9).

Taken together, our results show that both loop-extrusion and phase-separation based models are consistent with ensemble-averaged microscopy and bulk Hi-C data. While the LE model is only partially effective, the eLE, with its optimized anchor sites and molecule-to-molecule variability, performs even better in the description of those data and is the best to recapitulate the TAD boundary probability function. However, polymer models including globule phase-separation mechanisms (SBS and LE+SBS) have overall higher correlation values with average microscopy distance and Hi-C contact data, and better capture
some local features of chromatin folding, such as the separation score.

The models are overall consistent with chromatin structure at the single-molecule level. To quantitatively assess how effective are the different models in explaining chromatin structure at the single-molecule level, we took advantage of the mentioned super-resolution microscopy data and of the ensemble of polymer 3D conformations produced via our computer simulations.

First, we checked how well each model represents single-cell chromatin conformations by performing an all-against-all comparison of single-molecule imaged and model 3D structures. We used a method whereby each 3D conformation from microscopy data is univocally associated to a corresponding model structure (for each considered type of model) by searching for the least root mean square deviation (RMSD) of their coordinates (Methods).

Figure 3a and Supplementary Fig. 12a show a few examples of those experiment-model best-matching structures, highlighting that, at least visually, each model appears to capture the overall structural pattern of its corresponding experimental conformation. To test the statistical significance of the association, we compared the RMSD distribution of the best-matching experiment-model pairs against a simple control case where the RMSD distribution is computed between random pairs of imaged structures. We verified that for each of the considered polymer models the RMSD distribution of the best-matching pairs is statistically distinguishable from the experimental one (two-sided Mann–Whitney test \( p \)-value < 0.001). Specifically, in the IMR90 locus we found, consistently across the models, that <5% of the former distribution is above the first decile of the control (Fig. 3b) and, in particular, the SBS model performs slightly better than the others. The analysis of the models of the HCT116 locus returned similar results (Supplementary Fig. 10c). As an additional test, we also considered a more stringent control where the RMSD is computed only between pairs of imaged structures having overall similar distance matrices, i.e., with a corresponding genomic distance-corrected correlation >0.5 (i.e., with \( r' > 0.5 \), see below), and we found analogous results (Supplementary Fig. 11). Hence, the model conformations best matching the experimental structures have a statistically significant RMSD distribution and provide a non-trivial description of chromatin molecules in single cells (Fig. 3a, Supplementary Fig. 12a).
Next, we tested whether the structural variability of model 3D conformations reflects the one observed in single-cell microscopy experiments. In the IMR90 locus, for example, the distribution of $r'$ correlations between pairs of experimental single structure distance matrices has an average $r' = 0.23$ and a variance equal to 0.18 (Fig. 3c), showing that while the imaged structures are broadly varying they have also a significant degree of similarity. For each model, we computed the corresponding distribution of $r'$ correlations between all model single-molecule distance matrices and we compared it with the experimental one (Fig. 3c and Methods). Interestingly, the $r'$ distributions of the different models have all a shape similar to the experiment and a similar variance, yet they have different average values (Fig. 3c). The LE and eLE model average $r'$ ($r' = 0.06$ and $r' = 0.04$, respectively) is significantly lower than the experimental value, showing that their single-molecule structures have a lower degree of similarity with each other than single-cell imaged chromatin conformations. The LE+SBS model has an average $r' = 0.14$, while the SBS model has $r' = 0.23$, which is equal to the microscopy value (Fig. 3c). In fact, the $r'$ distribution of the SBS model is statistically indistinguishable from the experimental distribution (two-sided Mann–Whitney test $p$-value $= 0.362$), while the other models are statistically different ($p < 0.001$). Additionally, we verified that analogous results are found if the experiment–experiment $r'$ distribution is compared to the distribution of $r'$ correlations between experiment and model single-molecule distance matrices (Supplementary Fig. 13a). The analysis of the HCT116 locus returns a very similar scenario (Supplementary Figs. 12b, 13b). We also checked that our results are not affected by a different choice of the correlation parameter, hence confirming their statistical robustness (Supplementary Fig. 14). We stress, nevertheless, that those correlation measures can depend on the minute details determining their statistical definition, hence confirming their statistical robustness (Supplementary Fig. 14). We stress, nevertheless, that those correlation measures can depend on the minute details determining their statistical definition, hence confirming their statistical robustness (Supplementary Fig. 14).

Finally, as an additional test, we performed calculations of shape and volume factors of the single molecule structures predicted by our chromatin models, which we compared against single-cell imaging data. To this aim, we computed for each imaged and model single molecule its corresponding inertia tensor, whose eigenvalues (i.e., the system principal moments of inertia) are related to the semi-axes (named below $a$, $b$, $c$) of a triaxial ellipsoid enclosing the considered conformation (Methods). As a control, we considered a globule-like homopolymer model having the same number of beads and the same average gyration radius (that is, same linear size) as the microscopy images of the studied loci. In the IMR90 case, we found, interestingly, that the conformations predicted by the different models have a prolate shape that is consistent with imaging data (i.e., $a = 0.8 \mu m > b = c = 0.4 \mu m$), whereas in the control the ellipsoid semi-axes are comparable to each other (i.e., $a = b = c$) (Supplementary Fig. 15a–c). Then, by using the ellipsoid semi-axes, we also computed for each type of model the distribution of the single-molecule volumes, which we found to be comparable with microscopy data (Supplementary Fig. 15d, average value $0.5 \mu m^3$). We performed all the above calculations in the HCT116 locus and found analogous results (Supplementary Fig. 16). As a further quantitative check, we calculated additional standard shape factors, such as the asphericity ratios, and found similar results on the prolate shape of single-molecule conformations (Supplementary Fig. 17). Additionally, we measured the degree of spatial compaction of the single-molecule structures (Methods) and found that the distributions of compaction levels are comparable between experiments and our different polymer models (Supplementary Fig. 18a, b), and notably they have an overall profile similar to the independent relative signal distribution of DAPI nuclear intensity classes discovered in recent 3D-SIM experiments (Supplementary Fig. 18c).

In summary, consistent with our previous results on bulk data, our single-molecule analyses support the view that the different polymer models all provide a non-trivial description of single-cell chromatin conformations. While both loop-extrusion and phase-separation based models capture the main features of chromatin single-molecules, in the studied loci we find that the latter models better reflect the microscopy observed single-molecule globular structure and variability. In particular, our analysis shows that chromatin structure variability across single cells results from two main distinct, yet concurrent sources: on the one hand from the intrinsic degeneracy of folding that we find in all the considered models, and on the other hand from the differences of anchoring points (or, analogously, binding sites) in single-molecules, representing the epigenetic heterogeneity of single cells.

The models well reproduce microscopy triple contact data. To assess how well the different models capture higher-order contacts, we investigated their predicted average triplet contact probability matrix, which we compared to microscopy data (Methods). We focused on triplets formed by six different genomic viewpoints roughly equally spaced along the IMR90 locus that correspond to some main TAD boundaries and loops of the pairwise median distance matrix (Fig. 4 and Supplementary Fig. 19). In our analysis, by definition, a triplet is formed when three genomic sites have all their pairwise distances below a threshold value. The triplet probability depends on such a threshold, but we checked that it is proportionally conserved if the threshold is varied around 150 nm, a typical value used in microscopy, in a range from 100 to 200 nm (Methods). Microscopy data reveal that triplets are typically compartmentalized in the studied loci and restricted to the TAD encompassing each of the selected viewpoints (Fig. 4a–c and Supplementary Fig. 19), showing that TADs tend to create local environments where also multiple contacts become enriched. The different polymer models do capture experimental triplet patterns across all the considered viewpoints. To quantitatively assess the similarity between experiment and model-predicted triplets, we computed the mean relative squared difference (MRSD) between the corresponding entries of the two matrices over the studied viewpoints (Fig. 4d, Methods). To set a reference, we also considered the triplets formed in a random control made of self-avoiding-walk (SAW) polymer chains having the same number of beads and gyration radius (i.e., linear size) as the microscopy images of the locus (Methods). Our analysis shows that the LE model has an MRSD with the experiment that is one third of the random control value, yet it has the largest discrepancy with microscopy data compared to the other considered models, whose MRSD is at least one order of magnitude smaller than the control. Interestingly, the LE+SBS model has the lowest distance from the experiment and its MRSD is statistically different from both the LE, the SBS and control case (Fig. 4d, two-sided Welch’s $t$-test $p < 0.001$), whereas it is statistically equal to the eLE MRSD (two-sided Welch’s $t$-test $p = 0.097$).

Taken together, our results show that both loop-extrusion and phase-separation mechanisms can explain higher-order contacts. However, a model combining both mechanisms (LE+SBS) has the least discrepancy with microscopy triplet data and overall provides an excellent description of all the different experimental datasets considered, supporting the view that loop-extrusion and phase-separation can coexist in single-molecules in establishing chromatin architecture.

Discussion
To investigate the physical mechanisms that shape chromatin 3D large scale organization, we explored via Molecular Dynamics...
simulations two classes of polymer models where folding is based on two distinct physical processes: DNA loop-extrusion and polymer phase-separation, recapitulated respectively by the LE and by the SBS models (Fig. 1). We assessed how they perform relative to each other in capturing chromatin bulk Hi-C contact and independent single-molecule microscopy data in human IMR90 and HCT116 cells, and we exploited such data to establish whether those mechanisms compete or coexist in single cells.

We implemented, first, a simple loop-extrusion (LE) model of those loci (Fig. 1b) and found that it performs well to fit average microscopy distance and bulk Hi-C data (e.g., respectively, $r’ = 0.19$ and $r’ = 0.24$ in IMR90) considering the basic ingredients that inform the model. Next, we introduced an extended version of the LE (named eLE, Fig. 1c), where the genomic locations of the extruding motor anchor sites are optimized, independently of CTCF peaks, to explain average distance and contact data even better (respectively, $r’ = 0.49$ and $r’ = 0.57$). Beyond pairwise interactions, the eLE model also better recapitulates higher-order contacts in single molecules. Interestingly, most forward and reverse anchor sites of the optimized eLE model coincide with CTCF forward and reverse sites, yet not all, and conversely around 50% of all CTCF sites are found to be redundant (i.e., not required to better explain contact data) in the optimized model (Fig. 1c), hinting that CTCF sites are not all equal in the genome and can act in combination with other signals in anchoring loop-extruding motors. Additionally, to mimic epigenetic differences among single cells, each of the eLE anchor sites has a specific probability to be present in a model single molecule. The probability values returned by the optimization search range from 50% to 100%, consistent with current estimates of cell epigenetic heterogeneity.

We also considered the SBS model of the studied loci (Fig. 1d), i.e., a model where the interaction between cognate binding sites on the polymer chain and their associated binding molecules drives a phase-separation of the chain in distinct globules. For completeness, we checked that a model with direct interactions between binding sites (rather than mediated by diffusing binders) has behaviors analogous to the SBS. Finally, we introduced a model combining the molecular elements of the eLE and of the SBS (the LE+SBS model) where in a single molecule both the LE and SBS mechanisms act simultaneously (Fig. 1e). We find that the SBS and LE+SBS models explain well bulk Hi-C (e.g., respectively, $r’ = 0.74$ and $r’ = 0.72$ in IMR90), distance ($r’ = 0.77$ and $r’ = 0.70$) and single-molecule microscopy data, and reflect the experimentally reported chromatin segregation in globules and its cell-to-cell structural variability more accurately than the LE or eLE models (Fig. 3).

Importantly, a further optimization of the model fine details, such as the employed specific interaction potentials (shape, depth, distance of the potential minimum, etc.) or the specific nature of the modeled DNA extruding motors (size, speed, directionality, etc.), can on one hand improve even more the model agreement with experiments and on the other hand provide additional mechanistic information. Also, the LE and SBS models could be trained in a single step, which can only improve our results. Nevertheless, the models here investigated perform well considering their simplicity (Figs. 2–4). In particular, the LE+SBS model returns an overall excellent description of the different datasets and the least discrepancy with microscopy triplet data, showing that loop-extrusion and phase-separation can coexist in shaping loops, TADs and the complex 3D architecture of the studied loci. Our analyses also illustrate that the experimentally observed structural variability of chromatin in single-cells is consistent with two main co-existing sources of noise, i.e., the heterogeneity of single-cell epigenetics and, interestingly, an intrinsic conformational degeneracy, as chromatin can dynamically fold in many different conformations rather than in a single naïve structure as usual proteins.

Bulk Hi-C and microscopy experiments have shown that depletion of cohesin causes loss of TADs at the population level. Those results are consistent with the loop extrusion model as they remove the molecular factors which extrude loops. Analogously, they are consistent with the SBS and phase separation models because, by removing chromatin architectural factors, they reduce interactions and dilute contacts between cognate sites, resulting in random coil conformations where average patterns are erased. Indeed, in cohesin depleted cells, the single molecule structures
reported by multiplexed microscopy were shown to be consistent with a reversal of phase-separated globular structures into more randomly folded states that abolish population-averaged domains. Other perturbations, such as depletion of CTCF or of cohesin loader/unloader factors can be analogously explained. While the observed loss of TADs and structure upon cohesin (or CTCF) removal can be explained with both loop extrusion and polymer phase separation models, the debate is still open on the specific role of different chromatin factors. Recent studies on CTCF/cohesin depletion have shown that loop extrusion is not essential for establishing enhancer-promoter interactions (see, e.g.,75–76), or building functional compartments78, highlighting that chromatin contacts can rely on different factors, which is consistent with our results.

While other folding mechanisms, beyond loop extrusion and phase separation, are likely to contribute to the organization of the genome (such as heterochromatin adorption to the lamina), one can speculate on why different molecular processes could cooperate in determining chromatin folding. Beyond ensuring redundancy in regulation, they appear to be key effects in implementing supplementary tasks. For instance, loop extrusion is particularly suited to establish TAD borders and pointwise strong loop interactions, whereas globule phase separation can better act to segregate different regions and to form more stable (i.e., with lower variability) and hence more reproducible regulatory structures. Additionally, while loop-extrusion requires energy consumption, phase transitions are sustained by the thermal bath, and they are robust and reversible processes as the system only needs, e.g., to set an above threshold concentration (or affinity) of binders, with no need of fine tuning their number (or strength).

Methods

The studied loci. In this paper we studied two 2 Mb wide loci in human IMR90 and HCT116 cells, where published single-cell imaging77 and independent in situ Hi-C data15,83 are available. The hg38 coordinates of the IMR90 locus are chr21:28000000–30000000, those of the locus in HCT116 cells are chr21:36600000–371000000. We used 5 kb resolution Hi-C data, KR normalized, re-binned by summation at 30 kb to match the resolution of multiplexed FISH data.

Polymer models and simulation details. To investigate how loop-extrusion and polymer phase-separation models perform relative to each other in capturing chromatin folding in single-molecules, we implemented different versions of those models that we tested against independent multiplexed FISH data (Fig. 1, Supplementary Fig. 1). In each of the considered models, chromatin is represented as a polymer chain made of beads having a finite diameter, c. All the model interaction potentials are taken from classical studies of polymer physics simulations79. Specifically, concentric beads on the chain are connected via finitely extensible non-linear FEN springs with standard parameters79 (i.e., maximum bond length $1.6\sigma$ and energy strength $30k_BT$) and their overlap is prevented by a short-range steric interaction, implemented by a repulsive Weeks-Chandler-Anderson (WCA) potential80,81. Each polymer bead is subject to a Langevin dynamics, which is numerically integrated via the Velocity-Verlet algorithm by using the LAMMPS82 and HOOMD83 Molecular Dynamics (MD) software. In dimensionless units, the particles have all same diameter $\sigma = 1$, same mass $m = 1$, and a standard friction coefficient $\gamma = 0.5\sigma / k_BT$. The polymer system is confined within a simulation cubic box with periodic boundary conditions. The initial states of our MD simulations are independent SAW conformations, prepared as detailed in24,29. Then, the main dynamics of the models is simulated (see below) and the polymer system evolutes up to $10^8$ MD time iteration steps when stationarity is fully reached. For each type of model, we produced via massive parallel MD simulations an ensemble of $10^3$ single-molecule conformations in the steady state.

First, we considered a simple loop-extrusion (LE) model of the studied loci as described in26 (Fig. 1b). In brief, loop-extruding motors are modeled as additional harmonic springs on the chain, which extrude loops by translocating along the polymer. Their number is fixed, they cannot pass through each other and their translocation halts when they encounter another motor or anchor points with opposite orientation, or they stochastically disassociate from the chain. To simulate the extrusion process, at every $T_{ext}$ time iteration steps, the simulation is updated by moving the spring from the bead pair (i, j) to (i − 1, i + 1). We set the LE spring energy constant equal to $10k_BT$ and its rest length to $1.6\sigma$, which are both values consistent with the literature range19,20,29. The time interval $T_{ext}$ is equal to 500 timesteps, yet its specific value does not impact on the simulation results19.
of the binding sites of the SBS model are inferred by a machine learning procedure based on our previously published PRISMR method28-35, which takes as input only bulk Hi-C and InChromatin Hi-C data and no structural or epigenetic information. In our studied loci, the procedure returns seven distinct types of binding sites in IMR90 and four in HCT116, each type associated to a specific combination of chromatin architectural factors (included but not limited to, e.g., CTCF and cohesion26), as fully detailed in25. So, the SBS inferred site types of our models are different because, consistently with the idea of a 3D architecture, loci are flattened. A thermodynamic ensemble of single-molecule conformational states of those loci is derived by running extensive MD simulations of the optimal polymer models inferred by PRISMR. Full details of the MD implementation of the SBS model can be found in25,28,35 also in our model a similar conformational ensemble is folded in different states in different single-cells24,28. To this aim, we considered a population mixture of polymer conformations, each spontaneously folded in one of the conformational classes predicted by polymer physics (i.e., the coil or the globule phase-separated state) and found, for example, that an ensemble of 3D structures, composed 90% of single-molecule conformations in the globule and 10% in the coil state, best explains all the different experimental datasets considered. In all our analyses, we set the SBS simulation parameters, such as binder concentrations and affinities, as in25. Interestingly, by taking, for example, a binder concentration in the range 0.05–0.5 μm−3 (values reported in25), corresponding to 30–300 binders/μm3, the predicted number of binders required to fold our considered genomic loci (whose volume estimations are close to 15 μm3, see Supplementary Figs. 15, 16 and below) ranges from tens to several hundreds, which are values consistent with typical transcription factor concentrations. Similarly, assuming a typical nuclear volume of 500 μm3, the number of binders to condensate the entire genome is expected to be larger by three orders of magnitude (e.g., in the range 15,000–150,000). Those predictions, together with our method, allow us to test our polynomial models of chromatin, for instance by SMLM or STED experiments that are enabling to visualize chromosome 3D structure in individual nuclei with nanometer-scale precision24,46. Chromatin, for instance by SMLM or STED experiments that are enabling to visualize predicted numbers represent an additional possibility to test our polymer models of transcription factor concentrations. Similarly, assuming a typical nuclear volume of ranges from tens to several hundreds, which are values consistent with typical architectural factors (included but not limited to, e.g., CTCF and cohesin62), as fully detailed in6. In particular, for the experiments, as well as for the LE and eLE models, the algorithm parameters are set as gb = 1, valley = 1, su = 10, sl = 6. We also verified that upon changing those numbers, our general results, such as boundary locations and strengths, are overall preserved. For instance, in the case of the SBS and LE-eLE models, we used gb = 1, valley = 8, su = 5, sl = 6 in IMR90 and gb = 4, su = 5, sl = 5 in HCT11635. The polymer models turned out to provide all a good description of the boundary features of the studied loci. For example, for each type of model, the boundary probability averaged over the genomic coordinates is comparable with the expected value from imaging data (Supplementary Figs. 7a, 9a, error bar is the standard error of the mean). For both the LE and eLE models, the boundary probability distributions are similar to the experiments (Supplementary Figs. 7b, 9b), as well as their corresponding average values (Supplementary Figs. 7c, 9c, error bar is the standard deviation divided by the square root of the number of boundaries). Finally, the comparison of the experimental and model derived genomic boundary probability functions also provides high Pearson correlation values (Fig. 2d and Supplementary Fig. 8c, a two-point running average is performed to better visualize those functions). We stress that no free parameters are available in all those calculations and comparisons.

**Boundary probability and separation score.** TAD boundary probabilities and strengths are computed, in both experiments and models, by using the definitions and algorithms published in28. In particular, for the experiments, as well as for the LE and eLE models, the algorithm parameters are set as gb = 1, valley = 1, su = 10, sl = 6. We also verified that upon changing those numbers, our general results, such as boundary locations and strengths, are overall preserved. For instance, in the case of the SBS and LE-eLE models, we used gb = 1, valley = 8, su = 5, sl = 5 in IMR90 and gb = 4, su = 5, sl = 5 in HCT11635. The polymer models turned out to provide all a good description of the boundary features of the studied loci. For example, for each type of model, the boundary probability averaged over the genomic coordinates is comparable with the expected value from imaging data (Supplementary Figs. 7a, 9a, error bar is the standard error of the mean). For both the LE and eLE models, the boundary probability distributions are similar to the experiments (Supplementary Figs. 7b, 9b), as well as their corresponding average values (Supplementary Figs. 7c, 9c, error bar is the standard deviation divided by the square root of the number of boundaries). Finally, the comparison of the experimental and model derived genomic boundary probability functions also provides high Pearson correlation values (Fig. 2d and Supplementary Fig. 8c, a two-point running average is performed to better visualize those functions). We stress that no free parameters are available in all those calculations and comparisons.

**Pairwise contact frequency maps.** The average pairwise contact map of each of the considered polymer models is computed based on a standard method used in the literature24,46. Specifically, for each single-molecule conformation, we first derive the corresponding pairwise contact map, i.e., a symmetric square matrix whose entry (i, j) is equal to one if the polymer beads, i and j, are in contact, and zero otherwise. A contact between two any polymer beads is established if their distance is lower than a lower scale limit in the models (e.g., equal to zero) does not change our results, as done, for instance, for the SBS and LE-eLE models in Fig. 2a and Supplementary Fig. 8a. To deal with missing values in the data, we excluded all from our analyses imaged single-cell distance matrices having missing values for >80% of their entries and we also checked for outliers by removing in both experiments and models, as35, the matrices with a low average r (r < 0.1) against the others. Finally, we investigated the relative distance distribution of specific site pairs (red, yellow, green in Fig. 2c), which correspond to the following genomic coordinates (hg38) in IMR90: red, 28.06–28.72 Mb; yellow: 28.54–29.65 Mb; green: 29.08–29.38 Mb.

**Spatial distance matrices and correlations.** For each of the studied polymer models, the single-molecule distance matrix is the square matrix of the Euclidian distances between all pairs of polymer beads of the considered single-molecule conformation. It is efficiently computed in Python by using built-in functions within the SciPy package. The median distance matrix is then the ensemble median of the single-molecule distance matrices. To quantitatively compare median distance maps from models and experiments, we used the genomic distance-corrected Pearson correlation coefficient, r, which accounts for genomic distance effects35. Specifically, r is the Pearson correlation computed on distance (or contact) matrices whose diagonals are subtracted, in both models and experiments, by their average value at that genomic distance. The pattern of the isostrophy of their distance matrix is well captured by the different models, yet the SBS and LE-SBS have overall higher r correlation values with the experiments (Fig. 2a and Supplementary Fig. 8a). We also considered other measures of similarity, such as the usual Pearson correlation, which we refer to as high genomic-distance corrected Pearson correlation, r (see below for definitions). The different models well recapitulate the experimental functions, particularly the LE-SBS, which has the highest correlation to the data in both the IMR90 and HCT116 loci (r = 0.82 and r = 0.90, respectively). Again, no free parameters are available in those comparisons.

**All-against-all comparison of single-molecule imaged and model 3D structures via the minimum RMSD criterion.** To check how well the different models represent the ensemble of single-molecule imaged conformations of the studied chromatin loci, we performed an all-against-all comparison of microscopy and model 3D structures. To this aim, we used an accepted method37 that performs a rotational and translational alignment of two structures (e.g., experimental and model derived) by minimizing the root mean square deviation (RMSD) of their particle positions. Thus, by searching for the least RMSD, each conformation from microscopy data is univocally associated to a corresponding, best-matching structure of the models (for each type of model). Examples of experiment-model
best-matching pairs are in Fig. 3a and Supplementary Fig. 12a, respectively for the IMR90 and HCT116 loci. To efficiently perform the structural comparison, we used the available Python library, as this was the algorithm to compute the RMSD between two coordinate sets.85 A standard z-score is applied on the experimental and model coordinates to have a fair comparison and missing values are linearly interpolated. To prove the association is statistically significant, we first tested the RMSD distribution of the experiment-model best matches against a loose control made of random pairs of imaged structures. For each of the considered polymer models, we checked that in both the IMR90 and HCT116 loci the two distributions are statistically different (Supplementary Fig. 10a, b, two-sided Mann–Whitney test p-value < 0.001) and well separated from the PMF-Density plot in the IMR90 locus. Moreover, 15% of the best-matching pairs are above the first decile of the control, respectively, in IMR90 and HCT116. Next, to further test our association, we performed an additional, more stringent control where the RMSD is computed only between pairs of microscopy conformations having distance matrices with a corresponding genomic distance-corrected Pearson correlation value r > 0.5 and found again analogous results (Supplementary Fig. 11). Specifically, in both loci, the RMSD distribution of the best-matching pairs of each model is statistically different from the control (two-sided Mann–Whitney test p-value < 0.001) with <25% of entries of the former falling above the first quartile of the latter. Finally, we also checked that the comparison against a control SAW model with the same number of particles and 3D size as the imaged conformations returns similar results, as shown in a previously published paper.M

Structural variability of single-molecule conformations. To quantify the level of structural variability of single-molecule conformations, we computed the all-against-all distribution of r correlations between pairs of single-molecule imaged6 and model distance matrices. In the IMR90 locus, the experimental r' distribution is broad (variance 0.18) and has a non-zero average value (r' = 0.23), signaling that the imaged single-cell conformations have a significant degree of structural similarity, yet they are broadly varying. The corresponding r' distributions from the models have all a similar shape and variance, but they return different average values: r' = 0.06, r' = 0.04, r' = 0.23, and r' = 0.14, respectively, in the case of LE, eLE, SBS, and LE+SBS (Fig. 3c). Similarly, in the HCT116 locus, the distribution of r' correlations between experimental single-cell distance matrices has an average r' = 0.27, while the corresponding average values from the models are: r' = 0.07, r' = 0.50, and r' = 0.23, resp., for the eLE, SBS, and LE+SBS (Supplementary Fig. 12b). We also compared, for each type of model, the experiment-experiment r' distributions against the distribution of r' correlations between experimental and model single-molecule distance matrices and found analogous results (Supplementary Fig. 13). Additionally, as a further check, we also transformed the r' correlation parameter through the Fisher z-transformation in all the comparisons between models and experiments made in the studied loci and found that such transformation does not affect our results, hence confirming their statistical robustness (Supplementary Fig. 14). We used the Python open-source Pandas tools to efficiently compute the r' distributions and the Python Seaborn data visualization library to produce the corresponding violin plots. Each violin plot is the combination of a standard boxplot (extending from the lower to upper quartiles of the data, and a kernel density estimation of the underlying data visualization library to produce the corresponding violin plots. Each violin formed the experimental and model single-molecule distance matrices and found analogous that such transformation does not affect our results, hence confirming their statistical robustness (Supplementary Fig. 14).

Volume and shape factors of single-molecule conformations. To infer quantitative information about chromatin shape of the studied loci, we computed the inertia tensor, I, of each imaged6 and model single-molecule 3D structure, defined as: I = \sum_i \left( r_i \left( x_i - x_\text{avg} \right) \right), where N is the number of beads of each single structure, m is the mass of the i-th bead particle and \( x_i \) its t-th spatial coordinate (\( \mu_k \) indices are equal to 1,2,3, respectively, for \( x,y,z \)). By diagonalizing \( J \), we derived its three eigenvalues, i.e., the system principal moments of inertia \( I_{x}, I_{y}, I_{z} \). Those moments are related to the semi-axes (named below a, b, c) of a triaxial ellipsoid enclosing each considered single-molecule conformation via the relations: \( I_{x} = 2(r^2 + c^2), I_{y} = 2(a^2 + c^2), I_{z} = 2(a^2 + b^2) \). To establish a control, we considered a globule-like homopolymmer model having the same number of beads and the same average gyration radius (that is, same size) as the microscopy images of the studied loci. In our IMR90 main case, we found, interestingly, that the conformations predicted by the LE, eLE, SBS and LE+SBS models have a prolate shape that is consistent with imaging data (i.e., a > b > c), whereas in the control model the corresponding distances turned out to be comparable to each other (a ≈ b ≈ c) (Supplementary Fig. 15a, b, boxplots extend from the lower to upper quartile values of the data, with mean highlighted in yellow). To further test such a prediction, we computed the flattening parameter defined as \((a-b)/a\), it is a measure of the degree of ellipticity of the ellipsoid shape: the flattening of the control model is centered around 0, whereas over different models return all an average value close to 0.5 that is again consistent with imaging data (Supplementary Fig. 15c). Then, we computed for each imaged and model single-molecule 3D structure the volume of the corresponding bounding ellipsoid by using the relation \( V = (4/3)\pi a b c \) (Supplementary Fig. 15d). We found that the experiment-experiment \( \approx V \) distributions is assessed by a two-sided Mann–Whitney test, \( V \) is the ellipsoid volume enclosing the single-molecule structure and \( V \) its ensemble averaged value), which provides a normalized measure of the degree of compaction of chromatin single-molecules. Then, as done in85, we divided the distributions of compaction levels \( \approx V \) of our studied loci into seven, equally spaced classes and measured their relative abundance, i.e., the relative fractions of single-molecules within each class (Supplementary Fig. 18; outliers below first and last decile are removed in both experiments and models). We found a distribution of compaction levels consistent between imaging data and the different models of our studied loci (Supplementary Fig. 18a, b, statistical error is SEM; classes are ordered from lower to higher compaction) and, interestingly, also similar to the relative signal distribution of DAPI intensity classes reported by 3D-SIM (Supplementary Fig. 18c, taken from84). Overall, our analyses on volume and shape factors of single molecules further support our results and also highlight the general relevance of other microscopy methods (e.g., 3D-SIM85) through the predictions of our polymer models of chromatin.

Triplet contact frequency maps. To investigate the higher-order structure of the studied loci, we computed from our models the predicted frequencies of triplet contacts that we compared with microscopy data6. Specifically, in our analysis we considered six different genomic viewports, which are roughly equally spaced along the IMR90 locus and proximal to the main TAD boundaries and loops of the distance matrix. Their coordinates are (h38): 28.33–28.36 Mb, 28.51–28.54 Mb, 28.72–28.75 Mb, 29.08–29.11 Mb, 29.38–29.41 Mb, 29.83–29.86 Mb. For each of these viewports, k, we computed the corresponding average triplet contact probability matrix in both models and experiment (Fig. 4 and Supplementary Fig. 19), i.e., a symmetric square matrix whose entry (i, j) is the frequency of the triplet contact between the sites i, j, and the fixed viewport k. Those sites, by definition, form a triplet only if their relative pairwise spatial distances, i.e., \( r_{ij}, r_{ik}, r_{jk} \), are smaller than a given threshold value \( V \). In the experiment, for instance, we set such a threshold equal to the reference value \( V = 150 \) nm, but we also checked that different values within the range 100–200 nm proportionally preserve the measured triplet frequencies and contact patterns. In particular, a distance threshold of 180 nm in the models turned out to better recapitulate the experimental triplet frequencies. To measure the similarity between microscopy and model-predicted triplet contact maps, we computed, for each of the considered models, the mean relative squared difference (MRSD) between the corresponding entries of the two matrices, defined as the mean of the ratio \((A_i - B_i)^2/A_i \), where A is the experimental triplet matrix (for a fixed, considered viewpoint) and B the corresponding prediction of the model. The ratios are evaluated only for non-zero \( A_i \) entries. As shown in the Methods and in Fig. 4d, the MRSD of the model-predicted views (error bars are SEM). As a control, we computed the triplets in a SAW polymer chain with the same number of beads and gyration radius as the imaged conformations. While the random control returns the largest MRSD with the experiment, the LE+SBS has, interestingly, the lowest degree of difference with the data and its value is statistically equal to the eLE MRSD (Supplementary Fig. 15d).
Gene Expression Omnibus (GEO) database with accession numbers GSE63525 and GSE104334. Published single-cell imaging data6 used in this study are available at https://github.com/BogdanBintu/ChromatinImaging. ChiP-seq data analyzed in this study were accessed via ENCODE8 (ENCODE accession for IMR90: ENCF870WXZ [https://www.encodeproject.org/experiments/ENCSR698RPL/]), Source data are provided with this paper.

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