THE CONFORMAL PSEUDODISTANCE
AND NULL GEODESIC INCOMPLETENESS

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Abstract. In this note we clarify the relationship between the null geodesic completeness of an Einstein Lorentz manifold and its conformal Kobayashi pseudodistance. We show that an Einstein manifold has at least one incomplete null geodesic if its pseudodistance is nontrivial. If its pseudodistance is nondegenerate, all of its null geodesics must be incomplete. Thus an Einstein manifold \((M, g)\) has no complete null geodesic if there is a “physical metric” in the conformal class of \(g\) satisfying the null convergence and null generic conditions.

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1. Introduction

In [6] we introduced on each Lorentz manifold \((M, g)\) an intrinsic pseudodistance \(d_M^{[g]}\) depending only on the underlying conformal class \([g]\) of \(g\). \(d_M^{[g]}\) is called the conformal Kobayashi pseudodistance because its construction is modeled on that of the projective Kobayashi pseudodistance introduced in [5]. For an extension of this construction to general parabolic geometries, see [8].

The purpose of the present note is to make precise the relationship between the behavior of \(d_M^{[g]}\) and the (affine) incompleteness of null geodesics when \(g\) is an Einstein metric. In particular, we note the potential usefulness of \(d_M^{[g]}\) in the study of spacetime singularities characterized by null geodesic incompleteness of an Einstein scale.

The following will be shown to be a simple consequence of the definition of \(d_M^{[g]}\).

Proposition. If \((M, g)\) is a connected Einstein manifold and \(d_M^{[g]}\) is nontrivial, then \(g\) has at least one incomplete null geodesic. If \(d_M^{[g]}\) nondegenerate, then every null geodesic of \(g\) is incomplete.

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We now recall the definitions of two positive energy conditions used in [6]:

the null convergence condition,

\[(\text{NCC}) \quad \text{Ric}(X, X) \geq 0 \text{ for all null vectors } X,\]

and the null generic condition,

\[(\text{NGC}) \quad \text{Ric}(\gamma', \gamma') \neq 0 \text{ at some point along every inextendible null geodesic } \gamma.\]

Our main result, a consequence of the above proposition and the results of [6], may now be stated as follows:

**Theorem.** Suppose that \((M, g)\) is an Einstein manifold and that some metric \(\tilde{g}\) in the conformal class of \(g\) satisfies the NCC and NGC. Then \(d^g_M\) is nondegenerate and every null geodesic of \(g\) is incomplete. If \(M\) is compact, \(\tilde{g}\) must be null geodesically incomplete.

Turning this around, we have the equivalent formulation:

**Theorem.** Suppose that \((M, \tilde{g})\) is a Lorentz manifold satisfying the NCC and NGC. Then \(d^{\tilde{g}}_M\) is nondegenerate and any Einstein metric in the conformal class of \(\tilde{g}\) has no complete null geodesic. Moreover, if \(M\) is compact, \(\tilde{g}\) must be null geodesically incomplete.

In section 2, we recall the definition of \(d^g_M\) and review its most important properties. An important example illustrating the theorem is discussed in section 3. The proofs of these results appear in section 4.

**Remarks.** Given a conformally Einstein manifold for which \(d^g_M\) is nondegenerate, we can only show that extreme null geodesic incompleteness manifests itself in every Einstein scale. As far as we know, the ‘physical metric’ (\(\tilde{g}\) in both statements of the theorem) may admit a complete null geodesic, though this is certainly not the case for Einstein–de Sitter space, an important example discussed below. In this regard, we should also mention the theorem of Beem ([1]) to the effect that any distinguishing, strongly causal, stably causal, or globally hyperbolic Lorentz manifold has a null (and timelike) geodesically complete metric in its conformal class.

A conformally Einstein metric can be characterized as a normal conformal parabolic geometry whose standard tractor bundle admits a (suitably generic) parallel section (see [2]). According to [4], a null geodesically complete Einstein metric (of dimension \(n \geq 3\)) is the only Einstein metric in its conformal class (up to constant rescalings). In light of the above theorem and the present results, it would be interesting to know if an Einstein metric for which \(d^{\tilde{g}}_M\) is nondegenerate can admit a nontrivial conformal Einstein rescaling.

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\(^1\) For a detailed discussion of these conditions, also see [3], p. 95 and 101.
2. The conformal Kobayashi pseudodistance

A pseudodistance (or ‘pseudometric’) on a set $M$ is a function $d : M \times M \to [0, \infty)$ satisfying:

\[
d(x, x) = 0 \quad \text{and} \quad d(x, y) = d(y, x),
\]
\[
d(x, y) \leq d(x, z) + d(z, y),
\]

for all $x, y, z \in M$. $d$ is said to be nondegenerate if it is a true distance: $d(x, y) = 0$ implies $x = y$, for all $x, y \in M$.

We now show how to define a conformally invariant pseudodistance on a connected pseudo-Riemannian manifold $(M, g)$ (of indefinite but otherwise arbitrary signature) of dimension $n \geq 2$, using its class of (projectively parametrized) null geodesics. The role of a ‘standard measuring rod’ in our construction will be played by the interval $I = (-1, 1) \subset \mathbb{R}$ endowed with its Poincaré metric

\[
ds_I^2 = \frac{4du^2}{(1 - u^2)^2}
\]

and associated distance function

\[
\rho_I(u_1, u_2) = \left| \log \frac{(1 + u_1)(1 - u_2)}{(1 - u_1)(1 + u_2)} \right|.
\]

The real projective analog of the Schwarz lemma says that general projective transformations of $I$ are nonexpansive with respect to $\rho$, while those which are isometries at a single point must be automorphisms; see [5].

First recall that a projective parameter along an affinely parametrized null geodesic $\gamma(s)$ of $g$ may be defined as a solution of the differential equation

\[
\{p, s\} = -\frac{2}{n - 2} \text{Ric}(\gamma'(s), \gamma'(s)),
\]

where primes denote derivatives with respect to the affine parameter $s$, $\text{Ric}$ is the Ricci tensor of $g$, and $\{p, s\}$ is the Schwarzian derivative

\[
\{p, s\} := \frac{y'''}{p'} - \frac{3}{2} \left( \frac{y''}{p'} \right)^2.
\]

Projective parameters are well-defined up to linear fractional transformations, i.e., reparametrizations of the form $t \mapsto (at + b)/(ct + d)$ with $ad - bc \neq 0$. It is well-known that the class of null geodesics of $(M, g)$ together with these distinguished parameters is invariant under conformal rescalings of $g$. (See [6] for details.)

Now, given $x, y \in M$, we define a Kobayashi path from $x$ to $y$ to be a collection of points $x = x_0, x_1, \ldots, x_k = y \in M$, pairs of points $a_1, b_1, \ldots, a_k, b_k \in I$, and projectively parametrized null geodesics, $\gamma_1, \ldots, \gamma_k : I \to M$ such that

\[
\gamma_i(a_i) = x_{i-1} \quad \text{and} \quad \gamma_i(b_i) = x_i \quad \text{for} \quad i = 1, \ldots, k.
\]

Denoting such a path by $\alpha = \{a_i, b_i, \gamma_i\}_{i=1}^k$, we define its length to be

\[
L(\alpha) = \sum_{i=1}^k \rho_I(a_i, b_i).
\]
Finally, we define the conformal pseudodistance by
\[ d_{\mathcal{M}}[g](x, y) = \inf_{\alpha} L(\alpha), \]
where the infimum is taken over all Kobayashi paths \( \alpha \) joining \( x \) to \( y \) in \( M \). (Note that any two points of a connected Lorentz manifold \((M, g)\) may be joined by a piecewise smooth null geodesic \( \gamma \) and that such a \( \gamma \) may easily be turned into a Kobayashi path. Hence \( d_{\mathcal{M}}(x, y) \) is well-defined and non-negative on \( M \).)

The following theorem summarizes the basic properties of \( d_{\mathcal{M}}[g] \).

**Theorem 2.1** (Markowitz [6]). Suppose that \((M, g)\) is a connected Lorentz manifold.

(a) \( d_{\mathcal{M}}[g] \) is a pseudodistance on \( M \) that depends only on the conformal class \([g]\) of \( g \).

(b) If \( \gamma : I \to M \) is a projectively parametrized null geodesic, then
\[ \rho_I(p, q) \geq d_{\mathcal{M}}[g](\gamma(p), \gamma(q)) \quad \text{for all } p, q \in I. \]

(c) \( d_{\mathcal{M}}[g] \) is the largest pseudodistance on \( M \) with respect to property (b): if \( \delta_M \) is any pseudodistance on \( M \) such that \( \rho_I(p, q) \geq \delta_M(\gamma(p), \gamma(q)) \) for all projectively parametrized null geodesics \( \gamma : I \to M \) and all \( p, q \in I \), then
\[ \delta_M(x, y) \leq d_{\mathcal{M}}[g](x, y) \quad \text{for all } x, y \in M. \]

(d) Each conformal automorphism \( \varphi : M \to M \) of \((M, g)\) is an isometry with respect to \( d_{\mathcal{M}}[g] \):
\[ d_{\mathcal{M}}[g](\varphi(x), \varphi(y)) = d_{\mathcal{M}}[g](x, y) \quad \text{for all } x, y \in M. \]

(e) If \((M, g)\) is a null geodesically complete Lorentz manifold and \( \text{Ric}(X, X) \leq 0 \) for all null vectors \( X \), then \( d_{\mathcal{M}}[g] \equiv 0 \).

(f) If \((M, g)\) is a Lorentz manifold satisfying the NCC and NGC, then \( d_{\mathcal{M}}[g] \) is nondegenerate.
3. An illustrative example

In this section we illustrate our theorem using the simple cosmological model introduced by Einstein and de Sitter in 1932 (and possibly earlier by Friedman), referring the reader to [9] for all necessary background information.

Einstein–de Sitter space, \((\mathbb{E}, \tilde{g})\), is defined to be \(\mathbb{E} = \mathbb{R}^3 \times (0, \infty)\) with the Lorentzian warped product metric \(\tilde{g} = t^{4/3} \sum_{i=1}^{3} dx_i \otimes dx_i - dt \otimes dt\). \(\mathbb{E}\) is connected, oriented by \(dx^1 \land dx^2 \land dx^3 \land dt\), and time oriented by \(\partial_t\). The isometry group of \((\mathbb{E}, \tilde{g})\) may be identified with the Euclidean group \(E(3) = O(3) \times \mathbb{R}^3\) acting in the obvious way on the first three coordinates. The Ricci tensor of \(\tilde{g}\) is given by

\[
(3.1) \quad Ric = (2/3)t^{-2/3} \sum_{i=1}^{3} dx_i \otimes dx_i + (2/3)t^{-2} dt \otimes dt,
\]

and its scalar curvature by \(R = 4t^{-2}\). From (3.1) we see that \(Ric(X, X) > 0\) for all null vectors \(X\), so that \((\mathbb{E}, \tilde{g})\) satisfies the NCC and the NCG. The nondegenerate conformal Kobayashi distance \(d[g]^\{\lambda\}\) was computed explicitly in [7].

Clearly \(\tilde{g}\) is not an Einstein metric on \(\mathbb{E}\). In fact, \(\tilde{g}\) satisfies the Einstein field equations with the stress-energy tensor of a dust: \(T = R \partial_t \otimes \partial_t\). Since \(R \to \infty\) as ‘cosmological time’ \(t = 2/\sqrt{3R} \to 0^+\), \((\mathbb{E}, \tilde{g})\) is maximal in the sense that it cannot be extended through the big bang singularity represented by the \(t = 0^+\) part of its causal boundary.

Consider the ‘standard photon’ \(\tilde{\lambda}: (0, \infty) \to \mathbb{E}\) given by \(\tilde{\lambda}(s) = (0, 0, 3s^{1/5}, s^{1/5})\). \(\tilde{\lambda}(s)\) is an affinely parametrized, inextendible null geodesic in \(\mathbb{E}\) to which every inextendible null geodesics may be mapped by an isometry. Thus \((\mathbb{E}, \tilde{g})\) itself admits no complete null geodesic.

To see that \((\mathbb{E}, \tilde{g})\) is conformally Einstein, let \(\mathbb{M}\) denote Minkowski space and consider the mapping \(\varphi: \mathbb{E} \to \mathbb{M}\) given by

\[
\varphi(x^1, x^2, x^3, t) = (x^1, x^2, x^3, 3t^{1/3}).
\]

\(\varphi\) is a conformal diffeomorphism of \(\mathbb{E}\) onto the Minkowski upper half-space \(\mathbb{M}t^{\geq 0}\) with its flat ‘vacuum’ metric \(g = \sum_{i=1}^{3} dx_i \otimes dx_i - dt \otimes dt\), since \(\varphi^*g = (t^{-4/3}) \tilde{g}\).

Note that our standard photon is mapped to \(\varphi \circ \tilde{\lambda}(s) = (0, 0, 3s^{1/5}, 3s^{1/5})\), which is inextendible on \((0, \infty)\) and has the (incomplete) affine reparametrization \(\lambda(s) = (0, 0, s, s)\). Thus the conclusion of the theorem clearly holds for this particular Einstein rescaling of \(\tilde{g}\).

4. Proofs

First note that, if \(g\) is an Einstein metric, \(Ric(\gamma'(s), \gamma'(s)) = c \ g(\gamma'(s), \gamma'(s))\) vanishes identically along each null geodesic \(\gamma\). Then from (2.1) we obtain \(\{p, s\} = 0\), which shows that any affine parameter along \(\gamma\) is also a projective parameter.

Lemma. If \((M, g)\) is Einstein, \(d[g]^e_M(x, y)\) vanishes identically along each complete null geodesic \(\gamma(s): \mathbb{R} \to M\) of \(g\).

Proof. To see this, assume that \(s\) is an affine parameter along \(\gamma\) and choose \(b \in \mathbb{R}\) such that \(x := \gamma(0)\) and \(y := \gamma(b)\) are distinct points in \(M\). Clearly for each \(i \geq 2 \in \mathbb{Z}\), \(\gamma_i(s) := \gamma(ibs)\) is an affine (hence, by the above remark, projective) reparametrization of \(\gamma\) defined on the interval \(I = (-1, 1) \subset \mathbb{R}\) with \(\gamma_i(0) = x\) and \(\gamma_i(1/i) = y\). Since the Poincaré distance \(\rho(0, 1/i) \to 0\) as \(i \to \infty\), \(\{0, 1/i, \gamma_i\}^\infty_{i=2}\)
is a sequence of Kobayashi paths joining $x$ to $y$ of arbitrarily small length. Thus $d_{M}^{\bar{g}}(x, y) = 0$.

\[ \Box \]

**Proof of the proposition.** The first statement of the proposition is equivalent to Theorem 2.1(e), but the proof is short enough to be repeated here. Assume that $(M, g)$ is a null geodesically complete Einstein manifold and let $x, y$ be any two distinct points in $M$. Choose a piecewise smooth null geodesic $\Gamma$ joining $x$ to $y$. The lemma says that each of the finitely many smooth segments of $\Gamma$ has zero length, so by the triangle inequality, $d_{M}^{\bar{g}}(x, y) = 0$.

For the second statement, assume that $(M, g)$ is Einstein. If $g$ admits a single complete null geodesic, the lemma shows that $d_{M}^{\bar{g}}$ cannot be nondegenerate.

\[ \Box \]

**Proof of the theorem.** If the conformal metric $\bar{g}$ satisfies the NCC and NCG, $d_{M}^{\bar{g}}$ is nondegenerate by Theorem 2.1(f) above. But $d_{M}^{\bar{g}} = d_{M}^{\bar{g}}$, since $\bar{g} \in [g]$. Applying the proposition, we see that the original Einstein metric $g$ cannot have even a single complete null geodesic. According to [10], null geodesic completeness is a property of the conformal class of $g$ when $M$ is compact, so $\bar{g}$ must also be null geodesically incomplete.

\[ \Box \]

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