Supplementary appendix 1

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Supplementary appendix 1

Methods appendix to “Global, regional, and national burden of respiratory tract cancers and associated risk factors from 1990 to 2019: a systematic analysis for the Global Burden of Disease Study 2019”

This appendix provides further methodological detail for “Global, regional, and national burden of respiratory tract cancers and associated risk factors from 1990 to 2019: a systematic analysis for the Global Burden of Disease Study 2019”.

Portions of this appendix have been reproduced or adapted from Vos et al.¹ and Fitzmaurice et al.² References are provided for reproduced sections.
Preamble

This appendix provides further methodological detail for "Global, regional, and national burden of respiratory tract cancers and associated risk factors from 1990 to 2019: a systematic analysis for the Global Burden of Disease Study 2019." This study complies with the Guidelines for Accurate and Transparent Health Estimates Reporting (GATHER) recommendations (table S1).

A detailed description of the data sources and processing steps for the GBD 2019 cancer estimation can be found in appendix 1 of Vos et al."1
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# Table of Contents

Section 1: Definition of indicator ........................................................................................................... 10

Section 2: Data sources .......................................................................................................................... 11
  Section 2.1: Cancer incidence data sources .......................................................................................... 11
  Section 2.2: Cancer mortality data sources .......................................................................................... 11
  Section 2.3: Mortality/incidence ratio data sources ............................................................................ 11
  Section 2.4: Bias of categories of input data ....................................................................................... 11

Section 3: Data analysis .......................................................................................................................... 13
  Section 3.1: Cancer registry data formatting ....................................................................................... 13
  Section 3.2: Mortality-to-incidence ratio estimation ............................................................................ 15
  Section 3.3: CODEm models .............................................................................................................. 17
  Section 3.4: CoDCorrect .................................................................................................................... 18
  Section 3.5: Incidence estimation ....................................................................................................... 18
  Section 3.6: Prevalence and YLD estimation ....................................................................................... 18

Section 4: GBD global population age standard ...................................................................................... 23

Section 5: Risk-specific estimation ....................................................................................................... 24

Funding Sources ....................................................................................................................................... 25

References ................................................................................................................................................ 37
List of appendix tables and figures

Appendix tables

Table S1. GATHER guideline Checklist........................................................................................................... 26
Table S2. List of International Classification of Diseases codes mapped to Global Burden of Disease cause list for tracheal, bronchus, and lung (TBL), and larynx cancer incidence and mortality\(^1\)................................................................................................................................................. 28
Table S3. Duration of four prevalence phases by cancer................................................................................... 29
Table S4. Disabilities weights................................................................................................................................... 30
Table S5. Sources of hospital data\(^27\) ...................................................................................................................... 31
Table S6. Definition and input sources for risk factors used in Global Burden of Disease estimations for tracheal, bronchus, and lung (TBL), and larynx cancers\(^23\) .................................................. 32

Appendix figures

Figure S1. Flowchart of GBD 2019 cancer mortality and YLL estimation.......................................................... 35
Figure S2. Flowchart of GBD 2019 cancer incidence, prevalence, and YLD estimation ................ 36
Section 1: Definition of indicator

In this study, we provided estimates for tracheal, bronchus, and lung (TBL) and larynx cancers, in addition to their attributable risk factors, for both sexes, from 1990 to 2019 for 204 countries and territories. Additionally, all ICD-9 and ICD-10 codes corresponding to TBL and larynx cancer (see table S2) were included in these estimates. Countries and territories included in the analysis, as well as their respective SDI values, can be found in table S3.
Section 2: Data sources

Section 2.1: Cancer incidence data sources

In GBD 2019, cancer incidence data were gathered from individual population-based cancer registries or aggregated databases of cancer registry data, including “Cancer Incidence in Five Continents (CI5)”\textsuperscript{2-11} EUREG,\textsuperscript{12} and NORDCAN.\textsuperscript{13} Data were excluded if they were not representative of the coverage population (eg, hospital-based registries), if they did not cover all malignant neoplasms as defined in ICD-9 (140–208) or ICD-10 (C00–C96), if they did not include data for both sexes and all age groups (except for paediatric cancer registries), if the data were limited to years before 1980, or if the source did not provide details on the population covered. Preference was given to registries with national coverage over those with only local coverage, except those from countries where GBD provides subnational estimates. Data input sources are available in the online GBD citation tool, \url{http://ghdx.healthdata.org/gbd-2019}.

Section 2.2: Cancer mortality data sources

A detailed description of the data sources and processing steps for the cause of death (CoD) database can be found in appendix 1 of Vos et al.\textsuperscript{1}

Section 2.3: Mortality and incidence ratio data sources

While most cancer registries only report cancer incidence, mortality data were also extracted from the source if a cancer registry also reported cancer mortality. These data were used as inputs to the mortality-to-incidence model.

Section 2.4: Bias of categories of input data

Cancer registry data can be biased in multiple ways. A high proportion of ill-defined cancer cases in the registry data requires redistribution of these cases to other cancers. Changes between coding systems can lead to artificial differences in disease estimates; however, in GBD 2019, this
bias was adjusted by mapping the different coding systems to the GBD causes. Underreporting of cancers that require advanced diagnostic techniques (e.g., leukaemia, brain, pancreatic, and liver cancer) can be an issue in cancer registries from low-income countries. However, misclassification of metastatic sites as primary cancer can lead to the overestimation of cancer sites that are common sites for metastases. Since many cancer registries are located in urban areas, the representativeness of the registry for the general population can also be problematic. The accuracy of mortality data reported in cancer registries usually depends on the quality of the vital registration system. If the vital registration system is incomplete or of poor quality, the mortality-to-incidence ratio (MIR) can be biased to lower ratios.
Section 3: Data analysis

Flowcharts describing the conceptual overview of the data processing of the GBD 2019 cancer estimation are available in figure S1 and figure S2.

Section 3.1: Cancer registry data formatting

Cancer registry data went through multiple processing steps before integration with the COD database. First, the original data were transformed into standardised files, which included standardisation of format, categorisation, and registry names (#1 in figure S1). Second, some cancer registries report individual codes as well as aggregated totals (eg, C18, C19, and C20 are reported individually, but the aggregated group of C18–C20 [colorectal cancer] is also reported in the registry data). The data processing step “subtotal recalculation” (#2 in figure S1) verifies these totals and subtracts the values of any individual codes from the aggregates.

In the third step (#3 in figure S1), cancer registry incidence data and cancer registry mortality data are mapped to GBD causes. A different map is used for incidence and for mortality data because of the assumption that there are no deaths for certain cancers. The assumption is that deaths assigned to benign neoplasms are miscoded and should correctly be assigned to the invasive cancer. Examples are benign or in situ neoplasms. Benign or in situ neoplasms found in the cancer registry incidence dataset were simply dropped from that dataset. The same neoplasms reported in a cancer registry mortality dataset were mapped to the respective invasive cancer (eg, melanoma in situ in the cancer registry incidence dataset was dropped from the dataset; melanoma in situ in the cancer registry mortality dataset was mapped to melanoma).

In the fourth data processing step (#4 in figure S1), cancer registry data were standardised to GBD age groups. Age-specific incidence rates were generated using all datasets that include microdata, and datasets that report age groups up to 95+ years of age. Age-specific mortality
rates were generated from the CoD data using methods described in Vos et al.\textsuperscript{1} Age-specific proportions were then generated by applying the age-specific rates to a given registry population that required age-splitting to produce the expected number of cases or deaths for that registry by age. The expected number of cases or deaths for each sex, age, and cancer were then normalised to 1, creating final, age-specific proportions. These proportions were then applied to the total number of cases or deaths by sex and cancer to get the age-specific number of cases or deaths. In the rare case that the cancer registry only contained data for both sexes combined, the age-specific cases or deaths were split and re-assigned to separate sexes using the same weights that are used for the age-splitting process. Starting from the expected number of deaths, proportions were generated by sex for each age (eg, if for ages 15–19 years old there are 6 expected deaths for males and 4 expected deaths for females, then 60\% of the combined-sex deaths for ages 15–19 years would be assigned to males and the remaining 40\% would be assigned to females).

In the fifth step (#5 in figure S1), data for cause entries that are aggregates of GBD causes were redistributed. Examples of these aggregated causes include some registries reporting ICD-10 codes C00-C14 together as, “lip, oral cavity, and pharyngeal cancer.” These groups were broken down into sub-causes that could be mapped to single GBD causes. In this example, those include lip and oral cavity cancer (C00–C08), nasopharyngeal cancer (C11), cancer of other parts of the pharynx (C09-C10, C12–C13), and “Malignant neoplasm of other and ill-defined sites in the lip, oral cavity, and pharynx” (C14). To redistribute the data, weights were created using the same method employed in age-sex splitting (see step four above). For the undefined code (C14 in the example) an “average all cancer” weight was used, which was generated by adding all cases from SEER/NORDCAN/CI5 and dividing those by the combined population. Then, proportions were generated by sub-cause for each aggregate cause as in the sex-splitting example above (see
step four). The total number of cases from the aggregated group (C00–C14) was recalculated for each subgroup and the undefined code (C14). C14 was then redistributed as a garbage code in step six.

In the sixth step (#6 in figure S1), unspecified codes (“garbage code”) were redistributed. Redistribution of cancer registry incidence and mortality data mirrored the process of the redistribution used in the cause of death database and has not changed compared to GBD 2013.\(^1\)

In the seventh step (#7 in figure S1), duplicate or redundant sources were removed from the processed cancer registry dataset. Duplicate sources were present if, for example, the cancer registry was part of the CI5 database, but we also had data from the registry directly. Redundancies occurred and were removed as described in Vos et al.\(^1\) where more detailed data were available, or when national registry data could replace regionally representative data. From here, two parallel selection processes were run to generate input data for the MIR models and to generate incidence for final mortality estimation. Higher priority was given to registry data from the most standardised source when creating the final incidence input, whereas for the MIR model input, only sources that reported incidence and mortality were used.

**Section 3.2: Mortality-to-incidence ratio estimation**\(^1,2\)

In the eighth step (#8 in figure S1), the processed incidence and mortality data from cancer registries were matched by cancer, age, sex, year, and location to generate MIRs. These MIRs were used as input for a three-step modelling approach using the general GBD 2019 spatiotemporal Gaussian process regression (ST-GPR) approach with the Healthcare Access and Quality Index (HAQ Index) as a covariate in the linear step mixed-effects model using a logit link function.\(^15\)

\[
\text{logit} \left( MIRatio_{c,a,s,t} \right) = \alpha + \beta_1 HAQI_{c,t} + \sum_{\alpha} \beta_2 I_{\alpha} + \beta_3 I_s + \varepsilon_{c,a,s,t}
\]
c: country, a: age group, t: time (years); s: sex

HAQI: Healthcare Access and Quality index

I: indicator variable

$\epsilon_{c,a,s,t}$: Gaussian error term

Predictions were made without the random effects. The ST-GPR model has three main hyper-parameters that control for smoothing across time, age, and geography, which were adjusted for GBD 2019. The time adjustment parameter ($\lambda$) aims to borrow strength from neighbouring time points (i.e., the exposure in this year is highly correlated with exposure in the previous year but less so further back in time). Lambda was lowered from 2 to 0.05, reducing the weight of more distant years. The age adjustment parameter $\omega$ was set to 0.5, which borrows strength from data in neighbouring age groups. The space adjustment parameter $\zeta$ was set to 0.01. Zeta aims to borrow strength across the hierarchy of geographical locations.\(^1\) For the remaining parameter in the Gaussian process regression, we used 1 and for the scale, we used a value of 10.

The data cleaning has remained the same as in GBD 2017. For each cancer, MIRs from locations in HAQ quintiles 1-4 were dropped if they were below the median of MI ratios from locations in HAQ quintile 5. If the MIRs were above the third quartile + 1.5 * IQR (inter-quartile range), MIRs from locations in HAQ quintiles 1–4 were dropped. We dropped all MIRs that were based on less than 15 cases to avoid noise due to small numbers except for mesothelioma and acute lymphoid leukaemia, where we dropped MIRs that were based on less than 10 cases because of lower data availability for these two cancers. We also aggregated incidence and mortality to the youngest five-year age bin where SEER reported at least 50 cases from 1990 to 2015, to avoid unstable MIR predictions in young age groups on too few data points. The MIRs in the age-bin that was used to aggregate MIRs, were used to backfill the MIRs for younger age groups. The
MIR in the minimum age-bin was used to backfill the MIR down to the lowest age group estimated for that cancer.

Since MI ratios can be above 1, especially in older age groups and cancers with low cure rates, we used the 95th percentile of the cleaned dataset that only included MIRs that were based on 50 or more cases to cap the MIR input data. This “upper cap” was used to allow MIRs over 1 but to constrain the MIRs to a maximum level. To run the logit model, the input data were divided by the upper caps to get data from 0 to 1. Model predictions from ST-GPR were then rescaled back by multiplying them by the upper caps.

To constrain the model at the lower end, we used the 5th percentile of the cancer-specific cleaned MIR input data to replace all model predictions with this lower cap. Final MI ratios were matched with the cancer registry incidence dataset in the ninth step (#9 in figure S1) to generate mortality estimates (Incidence * Mortality/Incidence = Mortality) (#10 in figure S1). These mortality estimates are then smoothed by a Bayesian noise-reduction algorithm (to deal with problems with zero counts, as also applied to the VR and VA data) and uploaded into the CoD database (#11 in figure S1). Cancer-specific mortality modelling then followed the general CODEm process.

**Section 3.3: CODEm models**

Mortality estimates for each cancer were generated using CODEm (#12 in figure S1). Methods describing the CODEm approach have been described previously. In brief, the CODEm modelling approach is based on the principles that all types of available data should be used even if data quality varies; that individual models but also ensemble models should be tested for their predictive validity; and that the best model or sets of models should be chosen based on the out of sample predictive validity. Models were run separately for countries with extensive and
complete vital registration data and countries with less VR data to prevent inflation in the uncertainty around the estimates in “data-rich” countries. Covariates were selected based on a possible predictive relationship between the covariate and the specific cancer mortality.

Section 3.4: CoDCorrect

CODEm estimates the individual cause-level mortality without taking into account the all-cause mortality (#13 in figure S1). To ensure that all single causes add up to the all-cause mortality and that all child-causes add up to the parent cause, an algorithm called “CoDCorrect” is used (#14 and #15 in figure S1). Details regarding the algorithm can be found in appendix 1, section 3.3.2 of Supplement 1 to Vos et al.¹

Section 3.5: Incidence estimation¹,²

GBD cancer incidence estimates were generated by dividing final mortality estimates (after CoDCorrect adjustment) by the MIR for specific cancer (#1 in figure S2). Final MIR estimates at the 1000-draw level were combined with final mortality estimates (also at the 1000-draw level) to generate 1000 draws of incidence estimates (which provides an estimated mean incidence with 95% uncertainty interval). It was assumed that uncertainty in the MIR is independent of uncertainty in the estimated mortality.

Section 3.6: Prevalence and YLD estimation¹,²

Prevalence is estimated as 10-year prevalence for all cancers. After transforming the final GBD cancer mortality estimates to incidence estimates (#1 in figure S2), incidence was combined with the relative yearly survival estimates up to 10 years (#7 in figure S2). Our survival estimation methods were first implemented in GBD 2017 to more directly utilise MIRs in generating yearly cancer relative survival estimates; for GBD 2019, we updated these methods to utilise age-specific rather than all-ages survival curves. Previous reports suggest that the value of (1 – MIR)
may serve as a proxy for 5-year relative survival, with the exact correlation varying slightly by
cancer type.\textsuperscript{17} GBD 2019 used SEER*Stat to obtain national mortality, incidence, and relative
survival statistics from the nine SEER registries reporting from 1980 to 2014 (#2 in Appendix
Figure 2), by cancer type, sex, 5-year blocks (i.e., 1980–1984, 1985–1989, etc.), and 5-year age
groups (except combining 80+). For each cancer, we modelled 5-year relative survival with the
SEER MIRs. For GBD 2019, we updated this model from the Poisson regression used in GBD
2017 to using a generalized linear model with a quasibinomial family and logit link, weighted by
the number of index cases (#3 in figure S2). To reduce variability due to small samples, only
MIRs based on at least 25 incident cases were included (except for the rarer cancers
mesothelioma, nasopharyngeal cancer, and acute myeloid leukaemia, where MIRs based on at
least 10 cases were included). These models were then applied to the GBD MIR estimates to
predict an estimated 5-year survival for each age/sex/year/location (#4 in figure S2). To prevent
unrealistic values, predicted 5-year survival values were winsorized to be between 0% and 100%
survival. Unlike GBD 2017, we did not require the estimated survival to be greater than the all
ages worst-case survival scenario from SurvCan and US 1950 survival data,\textsuperscript{18,19} since age-
specific survival could be plausibly lower than for these all-ages scenarios.). To generate yearly
survival estimates up to 10 years, for GBD 2019, we downloaded SEER sex- and age-specific
annual 1- through 10-year relative survival data from patients diagnosed between 2001 and 2010
(compared to GBD 2017, where we downloaded all-ages survival data from 2004).\textsuperscript{20} The
proportion of the predicted GBD 5-year survival estimate to the SEER 5- year survival statistic
was calculated as a scalar, and then used to generate yearly survival estimates by scaling the 1-10
year SEER curve to the GBD survival predictions under the proportional hazard assumption (#5
in figure S2). This change from GBD 2017, where we used SEER all-ages data from 2004 as the
scalar and survival curve, impacts prevalence and YLD estimation. It is generally leading to survival estimates that are higher for younger ages and lower for older ages compared to estimates using the all-ages curve.

To transform relative to absolute survival (adjusting for background mortality), GBD 2019 lifetables were used (#6 and #7 in figure S2) to calculate lambda values:

\[
\lambda = \left( \ln\left(\frac{nLx}{nLx + 1}\right) \right) / 5
\]

\[nLx: \text{person years lived between ages } x \text{ and } x+n \text{ (from GBD lifetable)}\]

Absolute survival was then calculated using an exponential survival function (absolute survival = relative survival*\(e^{\lambda t}\)). Absolute survival is combined with incidence to estimate the prevalence at each year after diagnosis, which is then split into the four sequelae (step 8 in Appendix Figure 2).

For our estimation purposes, the population that survived beyond 10 years was considered cured. The survivor population prevalence was divided into two sequelae (1. diagnosis and primary therapy, 2. controlled phase). The yearly prevalence of the population that did not survive beyond 10 years was divided into the four sequelae by assigning the fixed durations for each (1. diagnosis and primary therapy phase, 2. metastatic phase, 3. terminal phase, and assigning the remaining prevalence to the 4. controlled phase) (#8 in figure S2). Duration of these four sequelae remained the same as for GBD 2017. Table S4 lists the duration of each sequela for TBL and larynx cancer, along with the sources used to determine their length. YLDs were calculated by multiplying each phase with the respective disability weight (table S5). Details regarding the disability weights estimation method can be found in appendix 1, section 4.8 in Vos et al. To generate the total YLDs for TBL cancer, the YLDs for each TBL cancer sequela were added.
Additional disability was estimated for larynx cancer (disability due to laryngectomy) (#10 in figure S2). Hospital data were used to estimate the number of cancer patients undergoing laryngectomy. Table S6 lists hospital data sources and procedure codes that were used in calculating the proportion of patients with larynx cancer undergoing laryngectomy. These proportions remained the same as in GBD 2013, GBD 2015, GBD 2016, and GBD 2017. The proportion of patients with larynx cancer that undergo laryngectomy from hospital data was used as input for a proportion model in DisMod-MR 2.1 to estimate the proportions for all locations, by age, and by sex (#9 in figure S2).

The final laryngectomy proportion was applied to the incidence cases of larynx cancer and multiplied with the proportion of the incidence population surviving for 10 years to determine the incident cases of the cancer population that underwent procedures and that survived beyond 10 years. These incident cases were used again as an input for DisMod-MR 2.1, with a remission specification of zero and an excess mortality rate prior of 0 to 0.1, as well as with increasing the age of the population and the year by 10 years to reflect prevalence after that population has survived 10 years. The results from this model are incidence and lifetime prevalent cases of persons with larynx cancer-related sequelae who have survived beyond 10 years.

We assumed that for the population surviving up to 10 years, only the prevalence population being in remission experiences additional disability due to laryngectomy (eg, a patient suffering from metastatic larynx cancer does not experience additional disability due to a laryngectomy during this phase). To estimate the prevalence of the larynx cancer population in remission during the first 10 years after diagnosis with and without laryngectomy-related disability, we multiplied the prevalence of the population with larynx cancer in the remission phase with the proportion of the population undergoing laryngectomy. This step allowed us to estimate
disability during the remission phase for both the population experiencing disability due to the remission phase alone, as well as the population experiencing disability from the remission phase and the additional laryngectomy-related disability.

Lastly, the laryngectomy sequelae prevalence and general sequelae prevalence were multiplied with their respective disability weights (table S5) to obtain the number of YLDs (#11 and #12 in figure S2). The sum of these YLDs is the final YLD estimate associated with larynx cancer.
Section 4: GBD global population age standard

Age-standardised populations were calculated using the GBD world population age standard. For GBD 2019, we used the non-weighted mean of 2019 age-specific proportional distributions from GBD 2019 population estimates published by Wang and colleagues for all national locations with a population greater than 5 million people in 2019.
Section 5: Risk-specific estimation

A detailed description of each risk factor and risk-specific modelling can be found in appendix 1 of Murray et al.\textsuperscript{21}
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Table S1. GATHER checklist

| #   | GATHER checklist item                                                                 | Description of Compliance                                                                 | Reference                                                                 |
|-----|--------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------------------|
|     | **Objectives and funding**                                                           |                                                                                           |                                                                          |
| 1   | Define the indicator(s), populations (including age, sex, and geographic entities),  | Narrative provided in paper and methods appendix (appendix 1) describing indicators,     | Main text (Methods) and methods appendix (Definition of indicator)        |
|     | and time period(s) for which estimates were made.                                    | definitions, and populations                                                               |                                                                          |
| 2   | List the funding sources for the work.                                               | Funding sources listed in paper                                                            | Main text (Summary)                                                      |
|     | **Data Inputs**                                                                      |                                                                                           |                                                                          |
|     | *For all data inputs from multiple sources that are synthesized as part of the study: |                                                                                           |                                                                          |
| 3   | Describe how the data were identified and how the data were accessed.                | Narrative provided in methods appendix                                                     | Method appendix (Data sources)                                           |
| 4   | Specify the inclusion and exclusion criteria. Identify all ad-hoc exclusions.         | Narrative provided in methods appendix                                                     | Method appendix (Data sources)                                           |
| 5   | Provide information on all included data sources and their main characteristics. For  | Metadata for data sources by component, geography, cause, risk, or impairment is available | Online data citation tool:                                               |
|     | each data source used, report reference information or contact name/institution,    | through an interactive, online data source tool                                           | [http://ghdx.healthdata.org/gbd-2019](http://ghdx.healthdata.org/gbd-2019) |
|     | population represented, data collection method, year(s) of data collection, sex and  |                                                                                           |                                                                          |
|     | age range, diagnostic criteria or measurement method, and sample size, as relevant.  |                                                                                           |                                                                          |
| 6   | Identify and describe any categories of input data that have potentially important  | Summary of known biases included in methods appendix                                       | Methods appendix (Bias of categories of input data)                      |
|     | biases (e.g., based on characteristics listed in item 5).                            |                                                                                           |                                                                          |
|     | *For data inputs that contribute to the analysis but were not synthesized as part of  |                                                                                           |                                                                          |
|     | the study:*                                                                          |                                                                                           |                                                                          |
| 7   | Describe and give sources for any other data inputs.                                 | Included in online data source tool                                                        | Online data citation tool:                                               |
|     |                                                                                      |                                                                                           | [http://ghdx.healthdata.org/gbd-2019](http://ghdx.healthdata.org/gbd-2019)    |
|     | *For all data inputs:*                                                                |                                                                                           |                                                                          |
| 8   | Provide all data inputs in a file format from which data can be efficiently extracted  | Downloads of input data are available through online tools, including data visualisation  | Online data visualisation tools, data query tools, and the              |
|     | (e.g., a spreadsheet rather than a PDF), including all relevant meta-data listed in  |                                                                                           | Global Health Data Exchange:                                             |
|     | item 5. For any data inputs that cannot be shared because of ethical or legal reasons,  |                                                                                           | [http://ghdx.healthdata.org/gbd-2019](http://ghdx.healthdata.org/gbd-2019) |
|     | such as third-party ownership, provide a contact name or the name of the institution  |                                                                                           |                                                                          |
|     | that retains the right to the data.                                                  |                                                                                           |                                                                          |
|     | **Data analysis**                                                                     |                                                                                           |                                                                          |
| 9   | Provide a conceptual overview of the data analysis method. A diagram may be helpful.  | Flow diagrams of the overall methodological processes have been provided                  | Method appendix (figures S1 and S2)                                      |
|   | Provide a detailed description of all steps of the analysis, including mathematical formulae. This description should cover, as relevant, data cleaning, data pre-processing, data adjustments and weighting of data sources, and mathematical or statistical model(s). | Flow diagrams and corresponding methodological write-ups have been provided | Main text (Methods) and methods appendix (Data Analysis) |
|---|---|---|---|
| 11 | Describe how candidate models were evaluated and how the final model(s) were selected. | Details on model evaluation and finalisation have been provided | Methods appendix |
| 12 | Provide the results of an evaluation of model performance, if done, as well as the results of any relevant sensitivity analysis. | Details on evaluation of model performance have been provided elsewhere | See figure S6 on p 1446 of appendix 2 in Vos et al. |
| 13 | Describe methods for calculating uncertainty of the estimates. State which sources of uncertainty were, and were not, accounted for in the uncertainty analysis. | Details on uncertainty calculations have been provided | Methods appendix (Data Analysis) |
| 14 | State how analytic or statistical source code used to generate estimates can be accessed. | Code is provided in an online repository | http://ghdx.healthdata.org/gbd-2019/code |

**Results and Discussion**

|   | Provide published estimates in a file format from which data can be efficiently extracted. | GBD 2019 results are available through online data visualization tools, the Global Health Data Exchange, and the online data query tool | http://ghdx.healthdata.org/gbd-2019 |
| 15 | Report a quantitative measure of the uncertainty of the estimates (e.g. uncertainty intervals). | Uncertainty intervals are provided with all results in main text, methods appendix, and online | Main manuscript (Results), results appendix (appendix 2), and data tools: http://ghdx.healthdata.org/gbd-2019 |
| 16 | Interpret results in light of existing evidence. If updating a previous set of estimates, describe the reasons for changes in estimates. | Discussion has been provided in the main text | Main manuscript (Discussion) |
| 17 | Discuss limitations of the estimates. Include a discussion of any modelling assumptions or data limitations that affect interpretation of the estimates. | Discussion of limitations has been provided | Main manuscript (Discussion) and methods appendix |
Table S2. List of International Classification of Diseases codes mapped to Global Burden of Disease cause list for tracheal, bronchus, and lung (TBL), and larynx cancer incidence and mortality¹

| Cause                      | Incidence | ICD-10                                      | ICD-9                                      |
|----------------------------|-----------|---------------------------------------------|--------------------------------------------|
| Tracheal, bronchus, and lung cancer |           | C33, C34–C34.92, Z12.2, Z80.1–Z80.2, Z85.1–Z85.20 | 162–162.9, 209.21, V10.1–V10.20, V16.1–V16.2, V16.4–V16.40 |
|                            | Mortality | C33–C34.9, D02.1–D02.3, D14.2–D14.3, D38.1 | 162–162.9, 212.2–212.3, 231.1–231.2, 235.7 |
| Larynx cancer              | Incidence | C32–C32.9, Z85.21                            | 161–161.9, V10.21                         |
|                            | Mortality | C32–C32.9, D02.0, D14.1, D38.0               | 161–161.9, 212.1, 231.0, 235.6            |

ICD = International Classification of Diseases.
Table S3. Duration of four prevalence phases by cancer

| Cancer         | Diagnosis/treatment (months) | Remission                                      | Disseminated/metastatic (months) | Note                                         | Terminal (months) |
|----------------|------------------------------|------------------------------------------------|----------------------------------|---------------------------------------------|------------------|
| TBL cancer     | 3.3^22                       | Calculated based on remainder of time after attributing other sequelae. | 4.51^23                         | SEER Summary Stage 1997 (Distant site/node involved) 1995-2000 |                  |
| Larynx cancer  | 5.3^24                       | 8.84^23                                        |                                  | SEER Stage IVc                                | 1month           |
Table S4. Disabilities weights

| Health state                              | Lay description                                                                 | Estimate | 95% uncertainty interval |
|-------------------------------------------|--------------------------------------------------------------------------------|----------|--------------------------|
| Cancer, diagnosis and primary therapy     | Has pain, nausea, fatigue, weight loss and high anxiety.                         | 0.288    | 0.193-0.399              |
| Cancer, controlled phase                  | Has a chronic disease that requires medication every day and causes some worry but minimal interference with daily activities. | 0.049    | 0.031-0.072              |
| Cancer, metastatic                        | Has severe pain, extreme fatigue, weight loss and high anxiety.                 | 0.451    | 0.307-0.600              |
| Terminal phase, with medication           | Has lost a lot of weight and regularly uses strong medication to avoid constant pain. The person has no appetite, feels nauseous, and needs to spend most of the day in bed. | 0.540    | 0.377-0.687              |
| Laryngectomy                             | Has difficulty speaking, and others find it difficult to understand.            | 0.051    | 0.032-0.078              |
Table S5. Sources of hospital data

| Sequela      | Cancer            | Sources                                      | Procedure code (ICD-9-CM) |
|--------------|-------------------|----------------------------------------------|---------------------------|
| Laryngectomy | Larynx cancer     | SEER (03-08)\(^{26}\)                        | 301, 303, 304, 3029       |
|              |                   | Canada hospital data (94-09)\(^{27}\)        |                           |
|              |                   | Mexico hospital data (01-09)\(^{28}\)        |                           |
|              |                   | USA hospital data\(^{29}\)                   |                           |

ICD = International Classification of Diseases.
Table S6. Definition and input sources for risk factors used in Global Burden of Disease estimations for tracheal, bronchus, and lung (TBL), and larynx cancers

| Risk                | Outcome                  | Definition                                                                 | Input data                                                                 |
|---------------------|--------------------------|---------------------------------------------------------------------------|---------------------------------------------------------------------------|
| Metabolic           | High fasting plasma glucose | TBL cancer                   | Mean FPG in a population, where FPG is a continuous exposure in units of mmol/L. | - Estimates of mean FPG in a representative population  
- Individual-level data of fasting plasma glucose measured from surveys  
- Estimates of diabetes prevalence in a representative population |
| Environmental       | Ambient particulate matter pollution | TBL cancer                  | Population-weighted annual average mass concentration of particles with an aerodynamic diameter less than 2.5 micrometers (PM$_{2.5}$) in one cubic meter of air ($\mu$g/m$^3$). | - PM$_{2.5}$ ground measurement database  
- Satellite-based estimates  
- Population data  
- Chemical transport model simulations  
- land-use data |
| Environmental       | Household air pollution from solid fuels | TBL cancer                  | The proportion of individuals using solid cooking fuels (coal, wood, charcoal, dung, and agricultural residues) and the level of PM$_{2.5}$ air pollution exposure for these individuals | - Multi-country survey series  
- WHO Energy Database |
| Environmental       | Residential radon        | TBL cancer                  | Average daily exposure to indoor air radon gas levels measured in Becquerels (disintegrations per second) per cubic meter (Bq/m$^3$). | - Literature review  
- Government agency reports  
- Monitoring station reports  
- National surveys |
| Occupational exposure to asbestos | TBL cancer/ larynx cancer | TBL cancer/larynx cancer | Proportion of the population occupationally exposed to asbestos, using mesothelioma death rate as an analogue. | - GBD 2019 cause of death estimates  
- Published studies |
| Occupational exposure to arsenic | TBL cancer | TBL cancer | Proportion of the population that was ever occupationally exposed to carcinogens in high or low exposure level, based on population distributions across 17 economic activities | - International Labour Organization (ILO) data  
- GBD Collaborator Network |
| Occupational exposure to beryllium | TBL cancer | TBL cancer | Proportion of the population that was ever occupationally exposed to carcinogens in high or low exposure level, based on population distributions across 17 economic activities | - International Labour Organization (ILO) data  
- GBD Collaborator Network |
| Exposure Type                        | Cancer Type | Description                                                                                     | Data Source                                                                                     |
|-------------------------------------|-------------|-----------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|
| Occupational exposure to cadmium    | TBL cancer  | Proportion of the population that was ever occupationally exposed to carcinogens in high or low exposure level, based on population distributions across 17 economic activities | - International Labour Organization (ILO) data  
- GBD Collaborator Network |
| Occupational exposure to chromium   | TBL cancer  | Proportion of the population that was ever occupationally exposed to carcinogens in high or low exposure level, based on population distributions across 17 economic activities | - International Labour Organization (ILO) data  
- GBD Collaborator Network |
| Occupational exposure to diesel engine exhaust | TBL cancer  | Proportion of the population that was ever occupationally exposed to carcinogens in high or low exposure level, based on population distributions across 17 economic activities | - International Labour Organization (ILO) data  
- GBD Collaborator Network |
| Occupational exposure to nickel      | TBL cancer  | Proportion of the population that was ever occupationally exposed to carcinogens in high or low exposure level, based on population distributions across 17 economic activities | - International Labour Organization (ILO) data  
- GBD Collaborator Network |
| Occupational exposure to polycyclic aromatic hydrocarbons | TBL cancer  | Proportion of the population that was ever occupationally exposed to carcinogens in high or low exposure level, based on population distributions across 17 economic activities | - International Labour Organization (ILO) data  
- GBD Collaborator Network |
| Occupational exposure to silica      | TBL cancer  | Proportion of the population that was ever occupationally exposed to carcinogens in high or low exposure level, based on population distributions across 17 economic activities | - International Labour Organization (ILO) data  
- GBD Collaborator Network |
| Occupational exposure to sulfuric acid | Larynx cancer | Proportion of the population that was ever occupationally exposed to carcinogens in high or low exposure level, based on population distributions across 17 economic activities | - International Labour Organization (ILO) data  
- GBD Collaborator Network |

**Behavioural**

| Behaviour                        | Cancer Type | Description                                                                                     | Data Source                                                                                     |
|----------------------------------|-------------|-----------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|
| Smoking                          | TBL cancer/ larynx cancer | Current smoker: Individuals who currently use any smoked tobacco product on a daily or occasional basis.  
Former smoker: Individuals who quit using any smoked tobacco products for at least six months (where possible) or according to the definition used by survey | - Primary data from individual level microdata  
- Survey report tabulations |
| Secondhand smoke                 | TBL cancer  | Current exposure to secondhand tobacco smoke at home, at work, or in other public places. Only non-smokers considered to be exposed to secondhand smoke. Non-smokers are defined as all persons who are not daily smokers. Ex-smokers and occasional smokers are considered non-smokers in this analysis. | - Representative major survey series with a household composition module  
- Cross-sectional surveys |
| Diets low in fruits              | TBL cancer  | Average daily consumption of less than 310-340 grams per day of fruits (fresh, frozen, cooked, canned, or dried, excluding fruit juices and salted or pickled fruits). | - Dietary recall sources from literature search of PubMed |
| Alcohol use | Larynx cancer | Grams per day of pure alcohol consumed among current drinkers.  
- Current drinker: Proportion of individuals who have consumed at least one alcoholic beverage in a 12-month period  
- Alcohol consumption: Grams of alcohol consumed by current drinker, per day, over a 12-month period  
- Alcohol litres per capita stock: Litres per capita of pure alcohol, over a 12-month period | - IHME Global Health Data Exchange (GHDx) yearly known survey series  
- Nationally and sub-nationally representative nutrition surveys  
- Household budget surveys  
- Accounts of national sales  
- United Nations FAO Food Balance Sheets and Supply and Utilization Accounts  
- Global Health Data Exchange (GHDx)  
- WHO GISAH database  
- FAOSTAT  
- Retail supply (Euromonitor)  
- Data on the number of tourists and their duration of stay from the UNWTO |
Figure S1. Flowchart of GBD 2019 cancer mortality and YLL estimation
Figure S2. Flowchart of GBD 2019 cancer incidence, prevalence, and YLD estimation
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