Cyclodextrin nanosponge-sensitized enantiodifferentiating photoisomerization of cyclooctene and 1,3-cyclooctadiene

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Abstract
Enantiodifferentiating geometrical photoisomerizations of (Z)-cyclooctene and (Z,Z)-1,3-cyclooctadiene were performed by using the pyromellitate-linked cyclodextrin network polymer, termed “cyclodextrin nanosponge (CDNS)”, as a supramolecular sensitizing host. The photochirogenic behavior of the nanospomes incorporating β- or γ-cyclodextrin was significantly different from that reported for the conventional sensitizer-appended monomeric cyclodextrins, affording chiral (E)-cyclooctene and (E,Z)-cyclooctadiene in enantiomeric excesses critically dependent on the solution pH and solvent composition employed, revealing the active roles of chiral void spaces of CDNS in the photochirogenic reaction.

Introduction
The precise control of chiral photoreactions, or photochirogenesis, is one of the most challenging topics in current photochemistry [1-3]. Weak intermolecular interactions, short lifetime and high reactivity of the excited-state substrate are the major causes that prevent efficient asymmetric induction in chiral photochemistry. A supramolecular approach to photochirogenesis provides a convenient and also promising tool to facilitate the excited-state chirality transfer from chiral host to prochiral substrate through the long-lasting intimate supra-molecular contacts of guest substrate(s) with the chiral host in...
both the ground and excited states [4-10]. Various types of chiral supramolecular hosts, including modified zeolites [11], hydrogen-bonding templates [12], cyclodextrins [13-21] and serum albumins [22,23], have hitherto been employed to mediate chiral photoreactions. External factors, such as temperature [13], solvent [17], pressure [18] and irradiation wavelength [24], have also been found to play crucial roles in controlling supramolecular photochirogenesis.

Amongst the chiral supramolecular hosts that have been applied to photochirogenesis, cyclodextrin (CD) is undoubtedly the most frequently employed, probably due to its ready availability, modifiability, inherently chiral cavity, and optical transparency down to the UV region [3,4]. Nevertheless, the foregoing studies on CD-based supramolecular photochirogenesis have focused primarily on the use of native and singly or doubly modified monomeric CDs. In this communication, we report the results of the first supramolecular photochirogenesis to use pyromellitate-linked polymeric β- and γ-CDs, termed “cyclodextrin nanosponges” (CDNSs) [25-33], as sensitizing hosts for the enantiodifferentiating photoisomerization of (Z)-cyclooctene (1Z) [34-37] and (Z,Z)-1,3-cyclooctadiene (2ZZ) [38-40] (Scheme 1). Enantiodifferentiating photosensitization of 1Z and 2ZZ was extensively studied by using different kinds of conventional and supramolecular chiral photosensitizers (Scheme 2). The enantioselectivity obtained is generally low to moderate, despite that fact that some reactions were performed at very low temperatures (below −100 °C).

Results and Discussion

CDNSs 3, 4 and 5 were prepared in almost quantitative yields by reacting pyromellitotic dianhydride (PDA) with β-CD or γ-CD in the presence of triethylamine in dimethyl sulfoxide at room temperature (Scheme 1) and purified by extensive Soxhlet extraction with acetone, as reported previously [41]. We termed these cross-linked CD network polymers as “nanosponges”, because these CD-based polymers show a nanoporous structure and a property of swelling upon absorption of water. The ability to entrap organic molecules can be ascribed to both the pores generated by the polymerization reaction and to the CD cavities. Recently, the relationship between the networking properties of CD nanosponges and the CD/cross linker ratio employed upon synthesis, was revealed by inelastic light-scattering experiments. Thus, the CD/cross linker ratio can be conveniently used as a descriptor of the degree of cross linking and the elastic properties [42]. By using Raman and Brillouin scattering experiments, we found that increasing the PMA/CD ratio leads to an increase in the degree of cross linking, with the frequency of the maximum boson peak in cross-polarized Raman spectra shifting to higher wavenumbers. On the other hand, the stiffness of the polymeric network of CDNSs is more affected by the PMA/CD ratio, rather than the type of CD used.

The adsorption, absorption and inclusion properties of these new materials have been demonstrated for a large variety of substrates, including some pharmaceuticals [25], enzymes [26], pollutants [27], polymers [28], agrochemicals [29], and metal ions [30].

The complexation behavior of 1Z and 2ZZ with CDNSs was examined by means of circular dichroism spectroscopy, showing negative induced circular dichroism (ICD) at the 1L_a band and more weakly at the 1L_b band in aqueous solution (Figure 1a). On the basis of the sector rule proposed by Kajtar et al. [43,44], the pyromellitate (PM) units in the polymer network are deduced to be perching on or shallowly included in the CD cavity, placing the 1L_a and 1L_b transitions in the negative region (Figure 1c). The addition of 1Z to the solution of 3 caused a small but steady enhancement of the negative ICD (Figure 1b), which is rationalized by assuming that the PM unit is excluded from the cavity to better accommodate guest 1Z and hence becomes more parallel to the portal plane, inducing

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\text{Scheme 1: Enantiodifferentiating photoisomerizations of 1Z and 2ZZ sensitized by β- and γ-cyclodextrin nanosponges (CDNSs) cross linked by pyromellitic dianhydride (PDA).}
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stronger ICD at the $I_{p}$ band (Figure 1c). Assuming the 1:1 stoichiometry, we calculated the apparent binding constant, averaged over the CD units of CDNS, as 4000 M$^{-1}$ in water at 25 °C. This value is smaller than those obtained with the sensitizer-modified CDs reported previously [36], for which the steric hindrance in the network polymer and/or the less efficient complexation inside the nanoparticle of CDNS would be responsible. The circular dichroism spectral changes observed for the more cross-linked CDNSs 4 and 5 upon binding 1Z or 2ZZ were too small to allow quantitative evaluation of the binding affinity.

CDNSs 3–5 were dissolved in water to make aqueous solutions with concentrations of 45–60 μM in terms of the monomer unit, which were apparently clear at these concentrations but should be a suspension of swollen polymer. In view of the excess

| substrate | chiral sensitizer | T / °C | % ee | reference |
|-----------|------------------|-------|------|-----------|
| 1Z        | 6                | -110  | 73   | [35]      |
| 7         |                  | 25    | 11   | [9]       |
| 8         |                  | -5    | 46   | [37]      |
| 9 in zeolite |                | 25    | -4.5 | [34]      |
| 2ZZ       | 10               | -40   | 17.6 | [38]      |
| 11        |                  | 0.5   | 4.6  | [39]      |
| 12        |                  | -160  | 11.7 | [40]      |
amount of PDA used in the preparation of CDNSs, it is likely that some of the carboxyl groups in PDA are not incorporated in the polymer chain, and hence, the solution pH may change the ionic state of the remaining carboxyl groups, affecting the sensitizer conformation and also the product selectivity. The conformational change of the PM units with pH was examined by circular dichroism spectroscopy. As shown in Figure 2a, increasing the solution pH caused a significant enhancement of:

![Figure 1](image1.png)

**Figure 1**: (a) Circular dichroism spectra of 3 (67 μg/mL) (black), 4 (67 μg/mL) (red) and 5 (50 μg/mL) (blue) in pure water at 25 ºC, and (b) of 3 (560 μg/mL) in the presence of 0–2.3 mM 1Z. Inset shows the nonlinear least-squares curve fit of the ellipticity changes at 257 nm, assuming the 1:1 stoichiometry, from which the apparent binding constant was determined to be 4000 ± 1000 M$^{-1}$. (c) Schematic illustrations of the conformational change of CDNS upon inclusion of 1Z.

![Figure 2](image2.png)

**Figure 2**: Circular dichroism spectra of 3 (67 μg/mL) (a) in water at pH 1.9 (black), 4.0 (red), 7.5 (green) and 10 (blue) and (b) in water at pH 7.5 (black), in 10% ethanol (red) and in 50% ethanol (blue).
observed upon photoisomerization of $1E$ antipodal (+)-$5$ in 9.8% at pH 10. Similar ee behavior was observed for $5$ in 4.8% ee at pH 6.5 but which afforded (−)-$9.0\%$ ee at pH 10. The largest pH-induced ee change was in 4.4% ee at pH 1.9 but a doubled $3$ For instance, $gave (−)-1E$ in 4.4% ee at pH 1.9 but a doubled $9.0\%$ ee at pH 10. The largest pH-induced ee change was observed for $5$, which afforded (−)-$1E$ in 4.8% ee at pH 6.5 but antipodal (−)-$1E$ in 9.8% at pH 10. Similar ee behavior was observed upon photoisomerization of $2ZZ$, sensitized by $5$, showing a significant increase from 1.0% ee at pH 1.9–6.5 to 7.4% ee at pH 10. These results nicely coincide with the conformational changes of PM units caused by altering the solution pH, providing us with a convenient and also powerful tool for manipulating the stereochromatic outcomes of supramolecular photochirogenesis.

It should be noted that $\gamma$-CD-based nanosponge $5$ gave the highest ee values for both $1E$ and $2EZ$. This is in sharp contrast to the result reported for the photosensitization with conventional sensitizer-modified CDs [9,39], in which the more size-matched $\beta$-CD, rather than the larger-sized $\gamma$-CD, sensitizers consistently afforded $1E$ and $2EZ$ in (much) higher ee’s. This unusual behavior observed for $\beta$- and $\gamma$-CDNSs implies the operation of photosensitization and/or enantiodifferentiation mechanisms differing from those proposed for the sensitizer-modified monomeric CDs [9,39]. A plausible alternative mechanism, available for the cross-linked CD polymers, is the photosensitization in a chiral void space surrounded by CD and PM units.

In order to obtain experimental support for the alternative mechanism, we examined the solvent effects on the chromophore conformation of CDNS by circular dichroism spectroscopy and also on the CDNS-sensitized photoisomerization. Indeed, the ICD intensity was enhanced upon the addition of ethanol to an aqueous solution of CDNS (Figure 2b), which encouraged us to further investigate the effect of solvent composition on the photoisomerization. As shown in Table 2, the

| guest | host | pH | $E/Z$ | % ee |
|-------|-----|----|------|------|
| $1Z$ | $3^a$ | 1.9 | 0.03 | +4.4 |
|       |       | 4.0 | 0.02 | +4.5 |
|       |       | 7.5 | 0.04 | +7.5 |
|       |       | 10  | 0.03 | +9.0 |
| $4^a$ |       | 1.9 | 0.03 | −0.1 |
|       |       | 4.0 | 0.05 | +1.4 |
|       |       | 5.2 | 0.07 | +2.1 |
|       |       | 10  | 0.01 | +4.3 |
| $5^a$ |       | 1.9 | 0.06 | +0.1 |
|       |       | 4.0 | 0.09 | +0.6 |
|       |       | 6.5 | 0.06 | −4.8 |
|       |       | 10  | 0.01 | +9.8 |
| $2ZZ$ | $3^a$ | 1.9 | 0.04 | +5.0 |
|       |       | 4.0 | 0.04 | +1.7 |
|       |       | 7.5 | 0.03 | +4.6 |
|       |       | 10  | 0.01 | +2.7 |
| $4^a$ |       | 1.9 | 0.02 | +4.0 |
|       |       | 4.0 | 0.07 | +1.0 |
|       |       | 5.2 | 0.03 | +4.9 |
|       |       | 10  | 0.02 | +4.9 |
| $5^a$ |       | 1.9 | 0.03 | +1.0 |
|       |       | 4.0 | 0.07 | +1.0 |
|       |       | 6.5 | 0.13 | +0.7 |
|       |       | 10  | 0.01 | +7.4 |

Table 1: Enantiodifferentiating photoisomerization of $1Z$ and $2ZZ$ sensitized by CDNS in aqueous solution at different pH values.

| solvent | $E/Z$ | % ee |
|---------|------|------|
| $3^a$   |      |      |
| $5\%$ MeOH | 0.07 | +7.8 |
| $10\%$ MeOH | 0.02 | +9.3 |
| $25\%$ MeOH | 0.04 | +9.0 |
| $50\%$ MeOH | 0.04 | +8.5 |
| $5\%$ EtOH | 0.06 | +11.7 |
| $10\%$ EtOH | 0.05 | +9.8 |
| $25\%$ MeOH | 0.02 | +1.5 |
| $10\%$ MeOH | 0.02 | −4.5 |
| $15\%$ MeOH | 0.02 | −1.6 |

Table 2: Enantiodifferentiating photoisomerization of $1Z$ sensitized by CDNS in water containing methanol or ethanol.

[a]$|1Z| = |2ZZ| = 1.5 mM; [CDNS] = 0.2 mg/mL; irradiated for 1 h at 254 nm in aqueous buffer solutions of pH 1.9–10.0 at 0.5 °C.

[a]$|1Z| = 1.5 mM; [CDNS] = 0.2 mg/mL; irradiated for 30 min at 254 nm in distilled water containing a varying amount of methanol or ethanol at 0.5 °C.
addition of 10% methanol or 5% ethanol enhanced the product’s ee from 7.5 to 9.8 or 11.7%, respectively, upon sensitization with 3, while the further addition of methanol (up to 50%) or ethanol (up to 10%) led to less pronounced enhancements. In contrast, the ee of 1E obtained with the more heavily cross-linked 4 and 5 was not greatly affected or even reduced at high alcohol contents. Crucially, such ee enhancement caused by increasing alcohol content has never been observed for the supramolecular photoisomerization mediated by conventional sensitizer-modified CDs [9], since the addition of alcohol simply reduces the guest affinity [46,47] and hence the ee of the product. This unusual solvent effect upon the addition of alcohol to the aqueous solution of CDNS reinforces the above hypothesis that the enantiodifferentiating photosensitization occurs not in the CD cavity but in the chiral polymer void of CDNS.

**Conclusion**

The pyromellitate-linked cyclodextrin nanosponges, employed for the first time as supramolecular reaction media for sensitizing the enantiodifferentiating photoisomerization of 1Z and 2Z, exhibited unique photochirogenesis behavior significantly different from the conventional sensitizer-modified CDs. Thus, the variation of solution pH and solvent composition enabled us to critically control the stereochemical outcomes, leading to the switching of product chirality and the enhancement of the ee of the product by adding alcohol. The latter result in particular revealed the active roles of chiral voids in CDNS as novel photochirogenic reaction media, encouraging the further application of CDNS to chiral photochemistry.

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