Phylogenetic and Evolutionary Genomic Analysis of *Listeria monocytogenes* Clinical Strains in the Framework of Foodborne Listeriosis Risk Assessment

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**INTRODUCTION**

*Listeria monocytogenes*, one of the most important foodborne pathogens, is the causative agent of invasive listeriosis that typically presents as sepsis or meningoencephalitis in the elderly (>65 years) and in people with chronic illnesses and undergoing immunosuppression (de Noordhout et al., 2014). Infections during pregnancy can cause fever and other non-specific symptoms in the mother with severe outcomes such as fetal loss, premature labor, neonatal illness, and death.
PFGE profiles (Van Walle et al., 2018) were subjected to WGS. Selected both on the geographical distribution and serological and country. For this study, 132 strains isolated in the north (82.2%), center (16%), and south (1.8%) of the L. monocytogenes from 2010 to 2016. Italy participated in the study, with sequences from European Union/European Economic Area countries from strains isolated in human case sequences promoted a large-scale, retrospective, multicenter study on the core genome multilocus sequence type scheme established by Moura et al. (2016). ECDC aimed to evaluate the effectiveness of WGS in a routine epidemiological surveillance system that identified within the food- and waterborne diseases listeriosis as a priority to be subjected to enhanced surveillance. In 2008, both sporadic and outbreak-associated cases of infection started to be collected and disseminated through The European Surveillance System. In 2012, ECDC implemented The European Surveillance System with Molecular Surveillance System to routinely collect pulsed-field gel electrophoresis (PFGE) molecular-typing data of L. monocytogenes and other foodborne pathogen strains isolated from humans (Acciarri et al., 2016). Since 2018 within the European Union/European Economic Area and in Italy in 2019, whole-genome sequencing (WGS) has replaced PFGE for typing and cluster analyses of L. monocytogenes cases of infection, using the core genome multilocus sequence type scheme established by Moura et al. (2016). ECDC aimed to evaluate the effectiveness of WGS in a routine epidemiological surveillance system that promoted a large-scale, retrospective, multicenter study on L. monocytogenes strains isolated in human case sequences from European Union/European Economic Area countries from 2010 to 2016. Italy participated in the study, with sequences of 132 L. monocytogenes clinical strains selected by geographic distribution and PFGE profiles (Van Walle et al., 2018). In the present study, the complete sequences of 132 clinical strains were used to define the evolutionary relatedness among subtypes of L. monocytogenes with the aim of (a) identifying clades or clusters more often associated with outbreaks or sporadic cases (Jackson et al., 2016; Moura et al., 2016) and (b) investigating on the persistence of particular strains isolated in different Italian regions from 2010 to 2016.

**MATERIALS AND METHODS**

**Bacterial Strains**

During the period 2010–2016, the Istituto Superiore di Sanità collected 826 L. monocytogenes clinical strains from patients with invasive listeriosis from different Italian regions, including from the north (82.2%), center (16%), and south (1.8%) of the country. For this study, 132 L. monocytogenes strains that were selected both on the geographical distribution and serological and PFGE profiles (Van Walle et al., 2018) were subjected to WGS. Sequencing data were deposited in European Nucleotide Archive (ENA) at http://www.ebi.ac.uk/ena/browser/view/PRJEB45702.

**DNA Extraction and Whole-Genome Sequencing**

DNA was extracted and purified from overnight bacterial cultures by MasterPure™ Gram Positive DNA purification kit (Lucigen, Epicentre) according to the manufacturer’s instructions. DNA concentration was evaluated by Quantus fluorometer (Promega, United States), and 2 ng of total DNA was used for library preparation, using Nextera XT DNA kit and sequenced on NextSeq 500 (111 isolates) and MiSeq (20 isolates) (Illumina, Inc., San Diego, CA, United States). High Output Kit v2 with paired-end 150-bp reads (300 cycles) was used for NextSeq 500 and v3 Reagent kit (600 cycles) paired-end for MiSeq following manufacturer’s instructions. Raw reads were mapped to a reference genome, L. monocytogenes FSL F2-208 (accession number CM001046), using the Bowtie2 v2.3.5 followed by the samtools-bcftools-cvutils pipeline1 to extract the variants [single-nucleotide polymorphisms (SNPs)]. Missing data and ambiguous bases were not allowed at any position, and they were removed by filtering. A final alignment of 175,774 SNPs was created.

**Multilocus Sequence Typing**

To determine the level of genetic diversity between isolates, sequence types (STs) were determined by MLST using seven housekeeping genes, including ABC transporter (abcZ), beta-glucosidase (bglA), catalase (cat), succinyl-diaminopimelate desuccinylase (dapE), D-amino acid aminotransferase (dat), glucosidase (bglA), catalase (cat), succinyl-diaminopimelate desuccinylase (dapE), D-amino acid aminotransferase (dat), L-lactate dehydrogenase (ldh), and histidine kinase (IhkA). The contig files, de novo assembly, for each of the draft genomes were uploaded to the Center for Genomic Epidemiology MLST scheme (Larsen et al., 2012). The clonal complexes (CCs) and the STs were defined based on the MLST profile of the isolate having matching profiles at six of seven genes (Ragon et al., 2008; Moura et al., 2016).

**Phylogenetic and Evolutionary Analyses**

To perform phylogenetic and evolutionary analysis, six datasets were created.

The first dataset included 33 L. monocytogenes strains, classified as lineage I with MLST analysis. The second dataset included 99 L. monocytogenes strains, classified as lineage II with MLST analysis. These two datasets were used to perform maximum likelihood (ML) trees.

The nucleotide substitution model was chosen according to the Bayesian information criterion for all the datasets. Statistical support for internal branches of the ML tree was evaluated by bootstrapping (1,000 replicates). ML analysis was performed with IQTREE v.1.6.11 (Trifinopoulos et al., 2016) on the first and second datasets.

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1https://github.com/samtools
The other four datasets were built to perform evolutionary analysis, Bayesian dated-tree, and phylogeographic tree. These datasets included: *L. monocytogenes* strains classified as CC1 (third dataset), *L. monocytogenes* strains classified as CC7 (fourth dataset), *L. monocytogenes* strains classified as CC101 (fifth dataset), and *L. monocytogenes* strains classified as CC155 (sixth dataset). Phylogenetic signal was assessed by likelihood mapping using Tree Puzzle (Schmidt et al., 2002). Analysis of the temporal signal and “clock likeness” of molecular phylogenies on the datasets was performed using TempEst v.1.5.3 (Rambau et al., 2016). This analysis was performed to evaluate the robustness in the molecular clock of the third, fourth, fifth, and sixth datasets. Bayesian Markov chain Monte Carlo (MCMC) method, implemented in BEAST v. 1.10.4 (Suchard et al., 2018), was used to estimate the demographic history of *L. monocytogenes* by calibrating a molecular clock. To investigate the demographic history, independent MCMC runs were carried out enforcing both a strict and relaxed clock with an uncorrelated lognormal rate distribution and one of the following coalescent priors: constant population size, exponential growth, non-parametric smooth skyride plot Gaussian Markov random field, and non-parametric Bayesian skyline plot with ascertainment bias correction. Marginal likelihood estimates for each demographic model were obtained using path sampling and steppingstone analyses (Drummond et al., 2005). Uncertainty in the estimates was indicated by 95% highest posterior density (95% HPD) intervals, and the best-fitting model for each dataset was by calculating the Bayes factors (BF) (Suchard et al., 2018). In practice, any two models can be compared to evaluate the strength of evidence against the null hypothesis (H0), defined as the one with the lower marginal likelihood: 2lnBF < 2 indicates no evidence against H0; 2–6, weak evidence; 6–10: strong evidence; and >10 very strong evidence. Chains were conducted for at least 100 × 10^6 generations and sampled every 10,000 steps for each molecular clock model. The convergence of the MCMC was assessed by calculating the effective sample size for each parameter. Only parameter estimates with effective sample sizes of >250 were accepted. The maximum clade credibility (MCC) tree was obtained from the tree’s posterior distributions after a 10% burn-in, with the TreeAnnotator software v 1.10.4, included in the Beast package. Statistical support for specific monophyletic clades was assessed by calculating the posterior probability (pp > 0.90). The continuous-time Markov chain process over discrete sampling locations implemented in BEAST (Suchard et al., 2018) was used for the phylogeography inference using the Bayesian stochastic search variable selection model, which allows the diffusion rates to be zero with a positive prior probability. Locations considered were the different Italian regions. Comparison of the posterior and prior probabilities of the individual rates being zero provided a formal BF for testing the significance of the linkage between locations. The MCC tree with the phylogeographic reconstruction was selected from the posterior tree distribution after a 10% burn-in using the Tree Annotator.

**RESULTS**

Table 1 reports the distribution of CCs and the relative STs of the *L. monocytogenes* sequence strains based on MLST. The most frequent CCs were CC7 (35 isolates), CC1 (20 isolates), CC101 (18 isolates), and CC155 (14 isolates). Specifically, it was possible to show that ST7 was prevalent (32 isolates) in the CC7, ST1 (19 isolates) in CC1, ST38 (17 isolates) in CC 101, and all the sequence strains included in CC155 that belonged to ST 155 (14 isolates). Results proved that CC1, CC7, CC101, and CC155 persist over time in Italy, and some of these have spread to more than one region. Particularly, the CC1 seemed to have an epidemic entrance in 2004 in Emilia-Romagna, moving to Lombardia, Marche, and Piemonte in 2006. CC7 is the oldest CC in Italy, with a presumable entrance in 1999 in Lombardia, moving to Emilia-Romagna in 2010. The CC101 appears to have the entrance in Lombardia in 2008 and does not suggest having evident circulation outside the region, although strains that belonged to CC101 were also isolated in Piemonte in 2013 and in Trentino-Alto Adige in 2015.

**Phylogenetic Analysis**

Likelihood mapping analysis indicated star-like signal (phylogenetic noise) under 6.8% for all datasets; this signified that enough signal for phylogenetic inference was present.

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**Table 1** | Distribution of clonal complexes (CCs) and relative sequence types (STs) of *L. monocytogenes* sequences based on MLST.

| CCs | N  | %  | STs | N  | %  |
|-----|----|----|-----|----|----|
| CC1 | 20 | 15 | ST1 | 19 | 15 |
| CC2 | 2  | 2  | ST2 | 2  | 2  |
| CC3 | 1  | 1  | ST3 | 1  | 1  |
| CC4 | 5  | 4  | ST4 | 4  | 3  |
| CC5 | 3  | 2  | ST5 | 3  | 2  |
| CC6 | 2  | 2  | ST6 | 2  | 2  |
| CC7 | 35 | 27 | ST7 | 32 | 24 |
| CC8 | 8  | 6  | ST8 | 7  | 5  |
| CC9 | 2  | 2  | ST9 | 2  | 2  |
| CC14| 2  | 2  | ST14| 2  | 2  |
| CC26| 1  | 1  | ST26| 1  | 1  |
| CC29| 7  | 5  | ST29| 7  | 5  |
| CC31| 2  | 2  | ST32 | 2 | 2 |
| CC37| 3  | 2  | ST37| 3  | 2  |
| CC89| 2  | 2  | ST39 | 2 | 2 |
| CC101| 18 | 14 | ST38| 17 | 13 |
| CC121| 2  | 2  | ST121| 2 | 2 |
| CC155| 14 | 11 | ST155| 14 | 11 |
| CC398| 2  | 2  | ST398| 2 | 2 |
| CC451| 1  | 1  | ST451| 1 | 1 |
| Total| 132| 100| Total| 132| 100|

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http://beast.bio.ed.ac.uk
evolutionary model of substitution chosen according to the Bayesian information criterion was GTR + F + I for both the first and second datasets and HKY + G + I for the remaining datasets. **Figure 1** shows the ML of the *L. monocytogenes* lineage I strain SNP alignment (first dataset). There have been highlighted three clades (A–D–E) and two clusters (B–C) that were statistically supported (bootstrap values > 0.7). Clade A included the CC1 *L. monocytogenes* intermingled strains isolated from 2010 to 2015, forming different clusters (Lombardia, Emilia-Romagna, Marche, Piemonte, and Lazio); clade D included CC4 *L. monocytogenes* strains isolated from 2011 to 2016 forming different clusters (Emilia-Romagna, Toscana, and Lazio); clade E included different clusters: one *L. monocytogenes* strain belonged to ST3 isolated in 2011 in Emilia-Romagna, and three *L. monocytogenes* strains ST5 isolated in Lazio and Lombardia in 2013 and 2015. Cluster B included the two ST2 *L. monocytogenes* strains isolated in Marche and Lazio in 2013 and 2016; cluster C included two *L. monocytogenes* strains that belonged to ST6 isolated in Lombardia in 2010 and 2013. **Figure 2** shows the ML of the *L. monocytogenes* lineage II strain SNP alignment (second dataset). There were five clades (A–B–D–E–H) and three clusters (C–F–G), all statistically supported (bootstrap value > 0.7). With regard to clades, clade A included the *L. monocytogenes* intermingled strains that were classified as CC7 forming different clusters. The main clusters included strains that were mainly isolated in Marche (2015–2016) and in Umbria (2015), Emilia-Romagna, and Lombardia; clade B included *L. monocytogenes* intermingled strains forming different clusters that belonged to CC155 (Trentino, Lombardia, Campania, and Emilia-Romagna); clade D included eight *L. monocytogenes* intermingled strains that form different clusters that belonged to CC8 and two ST9 strains; clade E included three ST37 *L. monocytogenes* strains and seven *L. monocytogenes* strains, classified as ST29, and outside of this cluster, there was as an *L. monocytogenes* strain that belonged
to ST26; clade H included two clusters, the ST121 and ST14 groups, with sequences from the same regions each (Lombardia and Trentino) and the CC101 *L. monocytogenes* intermingled strain group (Lombardia, Trentino, Piemonte, and Emilia-Romagna). With regard to clusters, cluster C included two ST398 *L. monocytogenes* strains that were isolated in Lombardia and Marche in 2010 and 2015, respectively; clusters F and G included two *L. monocytogenes* strains each, CC31 and CC89, respectively.

**Bayesian Phylogenetic Analysis**

Analysis of the temporal signal and “clock-likeness” of molecular phylogenies was performed on the third to the sixth dataset. A sufficient correlation between the genetic distance of each sequence to the root of *L. monocytogenes* strain SNP phylogeny and the date of sequence sampling for the datasets analyzed \((r > 0.54)\) was found. The exponential growth model with a relaxed molecular clock was the most appropriate to describe the evolutionary history of *L. monocytogenes* strain SNPs’ CC1 and CC155 alignment \((\ln BF > 6)\), whereas the Bayesian skyline plot as a demographic model with a relaxed molecular clock was the most appropriate to describe the evolutionary history of *L. monocytogenes* strain SNPs’ CC7 and CC101 alignment \((\ln BF > 5)\). MCC tree with a phylogeographic reconstruction of *L. monocytogenes* strain CC1 SNP alignment is shown in **Figure 3**. The date of the time of the most common recent ancestor (tMRCA) of the root corresponded to 2004 (HPD 95% 1943–2006), probably originated in Emilia-Romagna. Four different statistically supported clusters have been identified (A–B–C–D): cluster A dated back to 2006, probably in Emilia-Romagna, including *L. monocytogenes* strains from Lombardia and Emilia-Romagna from 2010 to 2013; cluster B including two strains from Lombardia in 2010 and it originated in 2007 in Lombardia; cluster C was composed by two subclusters: one including Marche strains from 2015 and 2016 and the other subcluster including 2013 strains from Lombardia and Emilia-Romagna. This cluster dated back to 2006, probably originated...
in Emilia-Romagna; cluster D dated back to 2007 in Emilia-Romagna and included strains from Emilia-Romagna in 2013, Piemonte, Lazio, and Marche in 2015.

Maximum clade credibility tree with a phylogeographic reconstruction of *L. monocytogenes* strain CC7 SNP alignment is shown in Figure 4. The date of tMRCA of the root corresponded to 1999 (HPD 95% 1987–2007), probably originated in Lombardia. Two different statistically supported clades (A, B) have been identified: clade A dated back to May 2005, probably originated from Lombardia, which included two strains isolated in 2011 and 2012 from Lombardia and Emilia-Romagna, and clade B dated back to July 2005, probably in Lombardia. Clade B included three clusters statistically supported: cluster B1 included Emilia-Romagna outbreak in 2013, dated back to December 2012; cluster B2 included strains from Marche and Umbria in 2015–2016, dated back to February 2014; and lastly, cluster B3 included strains from Marche isolated in 2015 and 2016, probably originated in January 2015.

A phylodynamic reconstruction of *L. monocytogenes* strain CC101 SNP alignment is shown in Figure 5. The date of tMRCA of the root is December 2008 (HPD 95% 2007–2009), probably originated in Lombardia. Five statistically supported clusters have been found (A–B–C–D–E), all probably originated in Lombardia: cluster A included strains that originated in May 2009; clusters B, C, and D included strains that originated in December 2009 and October 2009 (for cluster D), and cluster E dated back to February 2010. The latter cluster included strains from Lombardia, Emilia-Romagna, Piemonte, and Trentino that were isolated in different years (2011, 2013, 2014, and 2015).

Figure 6 shows the MCC tree with a phylogeographic reconstruction of *L. monocytogenes* strain CC155 SNP alignment. Three different statistically supported clades have been highlighted (A–B–C). The date of tMRCA of the root is 2002 (HPD 95% 1987–2011), probably originated in Lombardia. Specifically, clade A included strains from Lombardia that were isolated in 2013, probably originated in Lombardia in 2007; clade B included two strains isolated in Lombardia and Emilia-Romagna in 2011 and 2012, respectively, and probably originated in Lombardia in 2006, and clade C included six strains that were isolated from Lombardia.
Trentino, and Campania, dated back to 2005, which probably originated in Lombardia.

**DISCUSSION**

Molecular characterization of the strains has been widely used for analyzing the genetic diversity of the \( L.\ monocytogenes \) isolates involved in temporally and geographically unrelated outbreaks to evaluate a communal ancestral strain. Recently, WGS has provided enhanced resolution over traditional subtyping methods and can accurately distinguish isolates that would otherwise be overlooked with traditional subtyping methods. Previous works have demonstrated the ability that WGS has to distinguish between \( L.\ monocytogenes \) strains and provide robust phylogenetic evidence linking clinical cases (Jackson et al., 2016; Moura et al., 2016). This ability is crucial for outbreaks of listeriosis that are often temporally extended and usually involve small numbers of (apparently) sporadic illnesses. In addition, epidemiological information is often difficult to collect because of the lengthy incubation period and the severity of the illness. On the other hand, WGS combined with epidemiological information has the potential to attribute relatedness among \( L.\ monocytogenes \) strains and thus establish stronger links between human listeriosis cases and causative foods (Bergholz et al., 2016). Therefore, timely typing of pathogens is essential to evaluate the real persistence of clusters in determinate geographic regions. In Italy, the majority of the listeriosis cases reported and the correlated strains collected come from Northern Italy, particularly from Lombardia and Emilia-Romagna. Even if this evidence could suggest that there is a strong underreporting/underdiagnosis in the listeriosis cases in the South of Italy (Pontello et al., 2021), it should also be possible to suspect a lower incidence due to different food practices. Recently, a study performed on food and clinical \( L.\ monocytogenes \) strains in northern Italy (Lombardia and Piemonte regions) demonstrated that the same clone was persistent for years (2004–2015) in the Gorgonzola processing.
plants (Filipello et al., 2017). The strains included in this work have been chosen to represent the Italian situation concerning the clinical strains, isolated from patients with invasive listeriosis, in different Italian regions from 2010 to 2016. In this study, for every clade and cluster, probable epidemic entrances were defined for different years and in different regions. All the analyzed strains belonged to lineages I and II, and the majority of the strains were classified as lineage II (75%). Lineage II included also serotype 1/2a that resulted in the more frequently isolated in Italy in clinical cases (Gianfranceschi et al., 2009). Serotype 1/2a was found more frequently than serotype 4b in listeriosis cases and outbreaks occurring in Europe and the United States between 2010 and 2016 and underlining the hypothesis that serotype 1/2a may be better suited to survive and grow in food and food production (Lomonaco et al., 2015), probably due to its high resistance to disinfection procedures (Brauge et al., 2018).

This study provides the first view of *L. monocytogenes* clonal diversity in Italy. The majority of the strains are included in four large CCs (CC1, CC7, CC101, and CC155) and appear to prove the presence of persistence of *L. monocytogenes* in Italy. Particularly in lineage I, approximately 60% of the strains (68.9% of the total *L. monocytogenes* serovars 4b) of *L. monocytogenes* belonged to CC1. The CC1 seemed to have an epidemic entrance in 2004 in Emilia-Romagna, moving to Lombardia, Marche, and Piemonte in 2006. CC1 was strongly associated with strains of clinical origin and reported as the most frequent clone isolated from dairy products (Maury et al., 2016, 2019). In lineage II, the majority of the strains of *L. monocytogenes* sequenced belonged to three main CCs (CC7, CC101, and CC155). In particular, the analysis of the temporal signal and “clock-likeness” of molecular phylogenies established different epidemic entrances of different CCs of lineage II. CC7 is the oldest CC in Italy, with a presumable entrance in 1999 in Lombardia, moving to Emilia-Romagna in 2010. The same CC caused a large outbreak in Marche in 2015 (Duranti et al., 2018). CC155 seems to have its entrance in Lombardia in 2002, where it is apparently limited. CC101 appears to have the entrance in Lombardia in 2008 and does not suggest having evident circulation outside the region, although strains that belonged to CC101 were also isolated in Piemonte in 2013 and in Trentino-Alto Adige in 2015. Among the most frequent CCs isolated in Italy during the period 2010–2016, CC1, CC101, and CC155 are widespread and linked to listeriosis cases in the world, as reported in several studies (Chenal-Francisque et al., 2011; Huang et al., 2015; Maury et al., 2016; Kuch et al., 2018; Zhang et al., 2020). Particularly, the CC1 is the most prevalent genotype in Europe.
and America (Chenal-Francisque et al., 2011), and CC7 isolates were globally recovered in North and South Americas, Europe, Oceania, Africa, and Asia, from a variety of sources and human infections (Kim et al., 2018). Moreover, a recent study showed that CC1 and CC7 represented the most frequent and widespread clones in food-producing plants and retail stores in central Italy (Centorotola et al., 2021).

Our results highlight that WGS is valuable in epidemiological and microbiological surveillance of L. monocytogenes in Italy, allowing, also, the monitoring of the pathogen dissemination.

CONCLUSION

According to the European annual epidemiological report on sources of zoonoses, zoonotic agents, and foodborne outbreaks, L. monocytogenes is one of the main causes of hospitalization and death in Europe (EFSA and ECDC, 2021). Most human listeriosis cases appear to be related to the consumption of ready-to-eat foods contaminated with L. monocytogenes (Chlebicz and Ślizewska, 2018; Ricci et al., 2018). The persistence of specific CC strains in food-processing plants for many years, resulting in intermittent food contamination, has been suggested as the probable cause of many outbreaks (Lomonaco et al., 2015). In this study, the prolonged persistence of specific L. monocytogenes CCs was found, indicating that some strains are able to survive better than others in food production environments for extended periods. L. monocytogenes exploits different mechanisms of adapting to adverse conditions, such as the capacity to form biofilm or to resist the cleaning and disinfection procedures normally applied in food processing plans (Lundén et al., 2003; Manso et al., 2019). As the persistence of L. monocytogenes in food-processing environments is still considered the major source of ready-to-eat food contamination, the identification of these strains has to be considered as an integral part of the risk assessment for improving the hazard characterization of L. monocytogenes (Koutsoumanis et al., 2019). Phylogenetic and evolutionary genomic analysis using WGS data has demonstrated an ability to identify the persistence of specific strains in humans, the environment, and foods.
DATA AVAILABILITY STATEMENT
The data presented in the study are deposited in the European Nucleotide Archive (ENA) repository, accession number PRJEB45702. Further inquiries can be directed to the corresponding author.

AUTHOR CONTRIBUTIONS
AF, AG, SF, EC, MO, and FL contributed to the experimental work. EC, SA, and MC contributed to the data analysis. MC, AF, and DD contributed to the writing of the final manuscript.

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MO performed uploading sequencing data in ENA. All authors contributed significantly to the research, and read and agreed to the published version of the manuscript.

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