The Metastatic Cascade as the Basis for Liquid Biopsy Development

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The metastatic cascade describes the process whereby aggressive cancer cells leave the primary tumor, travel through the bloodstream, and eventually reach distant organs to develop one or several metastases. During the last decade, innovative technologies have exploited the recent biological knowledge to identify new circulating biomarkers for the screening and early detection of cancer, real-time monitoring of treatment response, assessment of tumor relapse risk (prognosis), identification of new therapeutic targets and resistance mechanisms, patient stratification, and therapeutic decision-making. These techniques are broadly described using the term of Liquid Biopsy. This field is in constant progression and is based on the detection of circulating tumor cells, circulating free nucleic acids (e.g., circulating tumor DNA), circulating tumor-derived extracellular vesicles, and tumor-educated platelets. The aim of this review is to describe the biological principles underlying the liquid biopsy concept and to discuss how functional studies can expand the clinical applications of these circulating biomarkers.

Keywords: metastatic cascade, liquid biopsy, circulating tumor cells, extracellular vesicles, circulating-tumor DNA, tumor educated platelets

INTRODUCTION

In 1889, Stephen Paget proposed on the basis of postmortem data that cancer cells migrate to specific organs and that this could not be explained by chance or by the blood vessel distribution. He proposed that metastatic colonization could be achieved in the presence of a compatible and reciprocal communication between tumor cells and the organ milieu. Accordingly, this hypothesis was named “Seed and Soil” because certain organs, such as liver, seem more “fertile” to receive cancer cells (1). However, the mechanisms behind metastasis formation are not comprehensively understood yet.

To explain the process of cancer dissemination, Fidler et al. (2) proposed a model named the “metastatic cascade” based on experiments showing that the metastatic success of a cancer cell is minimal (3). This model recapitulates the progression of cancer cells and their spreading in the body through a series of organized steps. Failure to complete any of them stops the formation of a secondary cancerous lesion (4, 5).

Briefly, the metastatic cascade initiates with the transformation and progressive growth of cells. Then, it continues with the local invasion of the surrounding tissues by primary tumor
The proliferation/expansion of cancer cells is promoted by the appearance of alterations in key genes related to cell fate, cell survival, and genome integrity maintenance. These so-called driver mutations confer a selective growth advantage to the cells that harbor them and lead to the formation of an aggressive tumor (9). Recently, it has been shown that 95% of cancers have at least one driver mutation (10). During tumor cell proliferation, the passive diffusion of oxygen and nutrients reach a threshold and cannot support the tumor growth rate any longer. Consequently, some cancer cells, which are poorly adapted to survive in hypoxic conditions, undergo necrosis or apoptosis (11). However, other cancer cells develop mechanisms of protection against these harsh conditions due to tumor cell heterogeneity. Moreover, cells in the tumor microenvironment, such as cancer-associated fibroblasts, will start to secrete factors that induce angiogenesis, thus supporting the tumor continuous growth. All these factors, actively and non-actively released in the blood circulation, can be used as analytes for liquid biopsy.

For example, tumor DNA might be released in the extracellular space by necrotic tumor cells, as a consequence of the tumoral high size growth rate (which limits the diffusion of oxygen and nutrients to the central regions of the tumor). Then, DNA can reach the circulation, after neo-angiogenesis, where it is described as ctDNA. This is just a fraction of the total cfDNA in blood, but this analyte can be analyzed to identify tumor driver mutations that can be therapeutically targeted, such as mutations in epidermal growth factor receptor (EGFR) in non-small cell lung cancer (NSCLC) that are currently used in the clinic (12). These cfDNA (ctDNA and ctDNA) usually include fragments with a size similar to that of DNA surrounding nucleosomes, suggesting a nuclear origin after the disruption of the nuclear and cellular membranes (13–16). However, the mechanisms by which ctDNA originates are not clear. Indeed, some studies suggested that cfDNA is actively released by the cells (17). Moreover, most ctDNA normally originates from hematopoietic precursors in the bone marrow (18, 19). Also, somatic mutant clones can appear in healthy tissue cells during normal aging (20) and could be mistaken for ctDNA. Nonetheless, the total cfDNA amount is higher in patients with cancer patients, most likely due to an increase in the ctDNA fraction (15).

The presence of physiological cfDNA with somatic mutations might hamper the use of ctDNA and cfDNA for the diagnosis of early-stage cancer (21). However, a recent study showed that cfDNA can be used for NSCLC screening because ctDNA can be differentiated from hematopoietic cfDNA by correlating the DNA fragment size (shorter fragments were associated with ctDNA). The sensitivity and specificity of this method are lower than those of low-dose CT imaging (22), the currently used screening method, and similar to what was reported for chest X-rays (23). Nonetheless, ctDNA-based screening might be exploited as marker of tumor recurrence or for detection of driver mutations, for example, by identifying first the hematopoietic somatic mutations and then discarding them in order to focus only on ctDNA (24).

On the other hand, it has been also suggested that ctDNA is actively secreted inside tumoral EVs. These vesicles can protect it from degradation in the bloodstream. Exosomes, a small EV subtype of endocytic origin, are abundant in blood samples from patients with cancer (25) and contain dsDNA (26). Moreover,
it has been suggested that up to 93% of the ctDNA detected in blood is within exosomes (27). However, this was not confirmed by a recent study that used high-resolution methodologies to evaluate exosome isolation and molecular composition (17). In agreement, other studies reported that large EVs (e.g., apoptotic bodies) and not exosomes have the highest DNA cargo (28). The lack of standardized methods for EV isolation and of validated markers for their classification makes difficult to draw conclusions from most of the studies on EVs, and common guidelines have been published only recently (29). Therefore, more research is needed to address these issues.

In the context of liquid biopsy, the specific origin of cfDNA in blood is crucial because the current methods for cfDNA isolation and enrichment cannot efficiently distinguish ctDNA from other cfDNA fractions in blood. If ctDNA were associated with a specific EV type, it would be theoretically possible to isolate only the EVs containing all or most ctDNA.

The role of ctDNA or cfDNA in the metastatic cascade is unknown, and few studies have addressed this question. cfDNA might have different biological roles in function of the proteins it associated with. For example, cfDNA associated with histones can trigger a proinflammatory response related to toll-like receptors (TLR2/4), and cytotoxic effects in endothelial cells (31), whereas cfDNA released from apoptotic adipocytes induces an inflammatory reaction by increasing the accumulation of macrophages via TLR9 (32). However, evidence for this is still limited to in vitro studies, and the different methodologies used to isolate cfDNA and ctDNA make comparison among studies difficult.

More data are available on EV’s role during the early steps of the metastatic cascade. During angiogenesis, exosomes facilitate the intravasation of the different liquid biopsy analytes into the bloodstream. Exosomes in the extracellular space have antiangiogenic and proangiogenic roles. For instance, in vitro studies have shown that miR-23a from tumor-associated exosomes secreted in hypoxic conditions has proangiogenic effects by increasing endothelial permeability and suppressing the expression of prolyl-hydroxylase 1 and 2. This leads to the accumulation of hypoxia-inducible factor 1 α (HIF-1 α) in endothelial cells (33). Accordingly, exosomes from colorectal cancer cell lines induce endothelial permeability via the cytoskeletal-associated RhoA/ROCK pathway (34). Moreover, in mouse models of oral cancer, microRNAs contained in EVs, such as miR-34a, miR21, and miR324, have been associated with adrenergic trans-differentiation of sensory nerves that innervate tumors upon loss of TP53 (35).

Other factors also are involved in the early steps of cancer cell dissemination, particularly several cytokines that are interesting therapeutic targets, for instance vascular endothelial growth factor (VEGF), tumor necrosis factor alpha and beta (TNF), and chemokine (C–C motif) ligand 2 (CCL2) (36). Moreover, different cancers can secrete different proteins. For
cells (lead to the development of personalized therapies using these T cells in blood samples from patients with melanoma. This might lack specificity or sensitivity. Cohen et al. demonstrated that ctDNA can be combined with eight classical tumor markers in a multi-analyte liquid biopsy assay for cancer screening. This method shows promising results but needs to be validated for clinical applications. Therefore, these tumor markers should be considered in the liquid biopsy field (Table 1).

On their surface, cancer cells present peptides that are absent from the normal human genome and are called neoantigens. These peptides are generated by tumor-specific non-synonymous genetic alterations and are associated with the tumor mutation burden (TMB). Moreover, they are present at the cancer cell surface in association with the major histocompatibility complex (MHC). Therefore, neoantigens can trigger an immune reaction via activation of T cell receptors (TCRs). Like for the previously described analytes, it has been proposed that neoantigens, TMB, and antitumor T cells should be monitored by liquid biopsy. For instance, the detection of neoantigens on the surface of EVs or CTCs could be used as a treatment response as predictor for immune checkpoint therapies. Similarly, the TMB could be evaluated in ctDNA as a screening method for early tumor detection. Indeed, they can be detected in serum, and the combination of classical tumor markers with circulating analytes (e.g., ctDNA) can be used to develop a multi-analyte blood test as a screening method for early tumor detection.

**TABLE 1 | Comparison of “Classic” tumor markers and “New” liquid biopsy analytes.**

| “Classic” tumor marker(s) | “New” liquid biopsy analyte(s) |
|---------------------------|--------------------------------|
| CA 27.29                  | CTCs |
| CA 19-9                   | Strength |
| CA15-3                    | ✓ High level with progressive disease |
| CA 125                    | ✓ Decrease rate with remission |
| PSA                       | ✓ Simple procedure |
| NSE                       | ✓ Easily obtainable specimens |
| CEA                       | ✓ Minimal invasive |
| AFP                       | ✓ Currently using in clinic |
| PSA                       | ✓ Lack of specificity and sensitivity |
| NSE                       | ✓ Detectable in benign and healthy conditions |
| CEA                       | ✓ Do not provide information related to the metastatic cascade |
| AFP                       | ✓ High level only in large tumor volumes |
| PSA                       | ✓ Not completely validate and/or utilize in clinic |
| NSE                       | ✓ Lack of standardize and integrated method for detection |

Circulating analytes, such as CTCs, ctDNA, TEPs, and EVs, are already part of the liquid biopsy, and classical tumor markers also could be included. Indeed, they can be detected in serum, and the combination of classical tumor markers with circulating analytes (e.g., ctDNA) can be used to develop a multi-analyte blood test as a screening method for early tumor detection.

“Classic” tumor marker(s) “New” liquid biopsy analyte(s)

| Strength | ✓ Cancer specific |
| Simple procedure | ✓ Simple procedure |
| ✓ Easily obtainable specimens | ✓ Minimal invasive |
| ✓ Genome, transcriptome, proteome | ✓ Related with metastasis cascade |
| ✓ Secretome evaluation | ✓ Informative for cancer heterogeneity |
| ✓ Monitoring drug response and resistance therapy | ✓ High specificity and sensitivity |

All the aforementioned factors might be released in the hypoxic context of rapidly growing tumors and will facilitate the next step of the metastatic cascade related to cancer cell dissemination and therefore to CTC formation. Whether or not liquid biopsy analytes have a main role in the early steps of the metastatic cascade is still unknown, but the information that they bring might be valuable for clinical applications.

**LOCAL INVASION, DETACHMENT, AND INTRAVASATION IN CIRCULATION**

Tumor cell proliferation and neo-angiogenesis increase the chances that cancer cells reach the blood circulation. Epigenetic modifications play a major role in this step by altering the expression of genes related to cell migration, thus promoting the free movement of cells through the tissue. Then, some cancer cells break and cross the endothelial barrier to intravasate in the blood or lymphatic stream, thus becoming CTCs. Although this is a well-accepted idea, the exact mechanisms are not fully understood and might vary according to tumor type, anatomical location, patient health status, and cancer stage. Nevertheless, these mechanisms leave a signature in blood that can be assessed by liquid biopsy.

During local invasion, cancer cells secrete metalloproteinases that disrupt the basal membrane. Then, in order to detach from the tissue, they must acquire significant changes to survive in the harsh conditions of the bloodstream and the connective tissue. For instance, loss of cellular junctions.
FIGURE 2 | Liquid biopsy and the metastatic cascade. During the metastatic cascade, different analytes are involved: (A) Primary tumor: tumor growth at the primary site. (B) Cancer cell proliferation: release of DNA from necrotic/apoptotic cells when due to the continuous cancer cell proliferation, passive diffusion of oxygen and nutrients is no longer enough to cover the cell requirement. (C) Angiogenesis: EVs are involved in neo-angiogenesis. (D) Local invasion, detachment, and intravasation: Cancer cells acquire significant changes to survive the physical interactions and mechanical forces in blood and tissues. Tumor cells also undergo epithelial-to-mesenchymal transition (EMT) to move within the connective tissue and then secrete exosomes that contribute to induce endothelial permeability for intravasation through endothelial cells. (E) Embolization and survival: CTCs can survive and adapt in the blood by forming CTC clusters/micro-emboli that display higher metastatic potential by increasing cancer cell survival and reducing apoptosis. Clustering with neutrophils can also promote cell-cycle progression and survival in CTCs, further promoting metastatic development. CTCs also gain physical and immune protection by interacting with platelets. (F) Arrest and extravasation in the target organ: CTCs interact with endothelial cells, mainly in capillaries where they become trapped. CTCs and platelets might release EVs that can modify the cytoskeleton of endothelial cells and increase the blood vessel permeability, thus allowing CTC extravasation. (G) Micro-metastases: CTCs need a favorable niche. Before CTC arrival, this niche is called pre-metastatic niche, and exosomes play a major role in its preparation. (H) Metastases: CTCs that arrive in distant organs, such as liver or lung, will form a metastatic lesion, thus concluding the metastatic cascade.

in normal epithelial cells induces apoptosis, a process called “anoikis” (43). To survive this process, it has been suggested that CTCs acquire mesenchymal features through the epithelial–mesenchymal transition (EMT) process. This mechanism is physiologically used by epithelial cells during embryogenesis or wound healing to acquire a mesenchymal phenotype, migrate to reach distant regions or cross connective tissues, and then to reacquire an epithelial phenotype. This process also allows cancer cells to detach from the main tumor, modify their cytoskeleton, move within the connective tissue, and intravasate through endothelial cells (41). As one of the key features of EMT is the switch from expression of the adherent junction protein E-cadherin to N-cadherin, this might limit the use of CTCs as liquid biopsy. In fact, most methods for CTC detection are based on epithelial markers, particularly epithelial cell adhesion molecule (EpCAM). This protein is expressed on the surface of epithelial cells and is used as an enrichment surface marker by the CellSearch® System (Menarini Silicon Biosystems©), the only method cleared by the US Food & Drug Administration (FDA) for CTC enumeration.

Intravasation might occur at early tumor stages, and it has been suggested that early-stage dissemination is a very common phenomenon (44). However, CTCs are a rare event in most patients, even those with advanced disease (7); therefore, the chance of capturing few CTCs is minimal in these early stages. However, their detection at early stages could represent an early marker of cancer or of recurrence after treatment.

On the other hand, during late dissemination, CTC enumeration has been clinically validated as a prognostic tool in many cancer types. For example, in metastatic breast cancer, detection of > 5 CTCs per 7.5 ml of blood is associated with shorter overall survival and lower progression-free survival (45). Ongoing clinical trials assess whether CTC enumeration might guide therapeutic decision-making, particularly as a sign of treatment failure, when the number of CTCs remains high. In addition, CTCs harbor therapeutic predictive markers that...
in the current medical practice can only be analyzed in the tumor tissue. Some examples are PD-L1 in NSCLC (among many others) (46), HER2 in breast and stomach cancer (47), and androgen receptor variant 7 (AR-V7) in prostate cancer (48). As these markers have already shown their clinical utility when evaluated in tissue biopsy, their detection in CTCs could take the place of tissue biopsy in the future. However, not all patients have the same number of CTCs in blood. Therefore, it is crucial to determine the percentage of positive CTCs required for the correlation with the target therapy outcomes, based on the current diagnostic methods. CTC clinical implications have been extensively reviewed elsewhere (49, 50).

Nonetheless, the methods for detection, capture, and characterization of these rare cells must be improved to increase their clinical utility as liquid biopsy and possibly as a screening method in early-stage cancer.

**EMBOLIZATION AND TUMOR CELL SURVIVAL**

The next step of the metastatic cascade involves mainly CTCs and how these cells survive and adapt to the blood stream environment. This is the most critical part of the metastatic cascade, as indicated by the fact that < 0.1% of the cancer cells injected in animal models are viable after 24 h (2). Moreover, clinical studies showed that liver works as a filter against viable CTCs when cancer cells transit through the portal vein (51). This step is the least characterized because CTC study in blood is very challenging and only recent methodological approaches had allowed assessing the underlying mechanisms, with clear implications for the liquid biopsy field.

Cancer cells can reach the circulation as single cells or as clusters/micro-emboli. Recent studies found that CTC clusters are formed in the tumor. Such clusters display higher metastatic potential compared with single cells, because they increase cell survival and reduce apoptosis (52). CTC clustering also induce specific changes in DNA methylation that promote stemness properties and metastasis formation (53). Moreover, CTC clustering with neutrophils promote cell-cycle progression and survival, thus favoring metastasis developments (54). Unlike single CTCs, CTC clusters might not need to go through EMT. Indeed, Gkountela et al. (53) demonstrated a specific methylation pattern that promotes expression of stemness-related genes, when CTCs are clustered, but they did not find any change in the methylation profile of EMT-related genes. Other studies also suggested that EMT might not have a role in CTC clusters (55, 56).

Although CTC clusters might display higher metastatic potential, single CTCs are present in higher number, with a clear association with prognosis and overall survival (45, 57). This suggests that single CTCs might easily escape the physiological filters such as liver and that their higher number might increase the chance of producing a metastatic tumor, despite their low effectiveness. On the other hand, CTC clusters are less likely to escape these filters but are more efficient in metastasis formation.

Different cancer types might use one or both ways to disseminate, in function of their stage or location (58).

It is important to note that there is neither standardized method nor criteria to characterize CTC clusters. Moreover, the current technologies might be biased toward the detection of single CTCs (smaller) or clusters (bigger), although both CTC types might be present in the blood of patients with cancer during the disease course. Therefore, the clinical meaning of CTC clusters is not clear yet. For clinical implications, it might be necessary to fully identify the different CTC subpopulations.

CTCs can also gain physical and immune protection by interacting with platelets that can form a kind of coat around CTCs (59) shortly after their release in the blood stream. Platelets’ role in cancer metastases has been already highlighted (60), whereas their potential role as circulating biomarker (i.e., TEPs) is only emerging now (61). TEPs’ role as biomarker is based on the cross talk between platelets and CTCs that affects tumor cell proliferation and dissemination (62). Indeed, TEPs promote CTC survival, escape from immune surveillance, tumor–endothelium interactions, and dissemination. Reciprocally, CTCs modify the RNA profile of blood platelets and “tumor-educate” them (61). This “education” process might occur during the formation of CTC-platelet clusters, possibly through the uptake of exosomes and/or thrombin from CTCs (63). Simultaneously, platelets release different growth factors from α-granules (64) that can induce tumor cell proliferation and angiogenesis and also EMT (65). TEP clinical applications require further validation and standardization.

Micro-emboli/cluster formation protects CTCs and increases their survival in the bloodstream (52, 66, 67). This occurs by interaction of tumor CD44 with platelet P-selectin and the fibrinogen receptor GPIIb-IIIa which are involved in CTC coating by platelets (68). Platelets enhance tissue factor and P2Y12 receptor activities that contribute to EMT (69, 70). Additionally, TGFβ secretion by platelets can induce the TGFβ/SMAD and NF-κB pathways, which are the main molecular pathways implicated in EMT induction, thus increasing CTC metastatic potential (71). In turn, EMT in CTCs increases their interaction with platelets through the expression of heat shock protein 47 (HSP47), a chaperone implicated in collagen secretion and deposition that might enhance the formation of CTC clusters associated with platelets. Finally, HSP47 amplification in CTCs has been associated with a higher metastatic rate (72, 73).

**ESTABLISHMENT OF THE TUMOR MICROENVIRONMENT, ARREST, AND EXTRAVASATION IN A TARGET ORGAN**

In the last step of the metastatic cascade, CTCs must interact with endothelial cells, mainly in capillaries where cancer cells get trapped. After their arrival in the endothelium, CTCs increase the permeability of the endothelial barrier, allowing their extravasation in a tissue/organ. Once in the tissue, cancer cells...
will grow if the local microenvironment conditions are favorable for their survival and proliferation (i.e., “fertile soil”).

Many proteins are involved in CTC arrest at the surface of endothelial cells. In vivo experiments in zebrafish cancer models have shown that CTC arrest occurs in two steps: first by weak interactions via CD44 and the integrin αVβ3 and then by stronger attachment via the integrin α5β1 (74). Hydrodynamic forces also influence CTC arrest. For instance, the brain supratentorial regions are more susceptible to metastases due to the local low perfusion and low flow pressure (75). Nevertheless, the involved mechanisms might vary according to the cancer type and the target organ. Moreover, CTC clusters might get trapped in arterioles or venules due to their larger size, and this might play a bigger role than protein interactions in their arrest at the surface of endothelial cells.

Then, blocked CTCs must extravasate to the tissue. As observed during intravasation, CTCs might release EVs that modify the cytoskeleton of endothelial cells and increase their permeability, thus allowing the crossing of the endothelial barrier (34, 76). Platelets also might be involved in this process. Indeed, CD97-expressing CTCs activate platelets to release their granules that might increase coagulation around the cells and then promote endothelial permeabilization through secretion of ATP that mediates endothelial cell junction dynamics (77).

Finally, CTCs must arrive in a favorable niche, known as pre-metastatic niche. Exosomes are crucial for the induction of the pre-metastatic niche. Hoshino et al. (78) showed that tumor-associated exosomes present cancer-specific integrin profiles that are associated with formation of the pre-metastatic niche in specific organs, for instance integrin αvβ5 and liver, or α6β4, and α5β1 and lung (i.e., cancer cell organotropism) (79). Uptake by target cells in these organs stimulates the expression of genes that promote migration and inflammation in Kupffer cells and lung fibroblasts, or cell migration-inducing and hyaluronan-binding protein (CEMIP) in the brain (80), thus finalizing the metastatic cascade. However, a favorable pre-metastatic niche is not enough to maintain cancer cell proliferation. Therefore, CTCs must have additional features to sustain their growth, like stem cells (81). For instance, the expression of aldehyde dehydrogenase (a tumor-initiating stem cell marker) together with other surface markers can suggest which CTCs is competent for brain metastasis (82). Detection of these exosome- and CTC-related markers by liquid biopsy might allow predicting specific metastatic sites or even developing target therapies.

CONCLUSION

In this review, we highlighted the role of different liquid biopsy analytes to understand the biology of the metastatic cascade (Figure 2). Although their role is fundamental in most of the steps of this process, other factors are involved as well. However, clinical decision-making based on the metastatic cascade biology must be the final goal of liquid biopsy. The increasing knowledge of the whole metastatic process (cellular and molecular) will allow the identification of new biomarkers and analytes that can be detected during the entire disease course, by taking advantage of the fact the cancer cells disseminate mainly through the blood. Therefore, it is humanly and technically possible to monitor in real time cancer progression in a patient.

There are many more factors than those described in this review that can be used as liquid biopsy. For instance, leukocytes might provide crucial information on how the immune system is reacting against the cancer. This is becoming very important due to the development of immune therapy. This is just an example of how the metastatic cascade model can help to predict new biomarkers in the liquid biopsy field and to offer possible alternative solutions in case of technical limitations. Additionally, the identification of new biomarkers will promote the development of targeted therapies against the metastatic process and not just against the primary tumor.

Finally, a liquid biopsy test should not be seen as a method to detect just one specific biomarker or analyte but as a comprehensive approach for the selection and combination of different biological clues during cancer progression. Similar to tissue biopsy, these tests should not be understood only as “positive or negative” tests, but they should be chosen and analyzed in the clinical context of each single patient in order to provide a real-time personalized medicine approach.
way, liquid biopsy can become the tool to monitor the entire metastatic cascade avoiding the limitations of tissue biopsy sampling of single tumors, which might not be representative of the whole evolution of the cancer disease (Figure 3).

AUTHOR CONTRIBUTIONS

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Conflict of Interest: CA-P is one of the patent holders (US Patent Number 16,093,934) for detecting and/or characterizing circulating tumor cells.

The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.