Accuracy in near-perfect virus phylogenies

JOEL O. WERTHEIM¹*, MIKE STEEL², AND MICHAEL J. SANDERSON,³

¹ Department of Medicine, University of California San Diego, La Jolla, CA 92093, USA
² Biomathematics Research Center, School of Mathematics and Statistics, University of Canterbury, Christchurch, 8041, New Zealand
³ Department of Ecology and Evolutionary Biology, University of Arizona, Tucson, AZ 85721 USA

*To whom correspondence should be addressed: jwertheim@health.ucsd.edu; sanderm@email.arizona.edu
Abstract

Phylogenetic trees from real-world data often include short edges with very few substitutions per site, which can lead to partially resolved trees and poor accuracy. Theory indicates that the number of sites needed to accurately reconstruct a fully resolved tree grows at a rate proportional to the inverse square of the length of the shortest edge. However, when inferred trees are partially resolved due to short edges, “accuracy” should be defined as the rate of discovering false splits (clades on a rooted tree) relative to the actual number found. Thus, accuracy can be high even if short edges are common. Specifically, in a “near-perfect” parameter space in which trees are large, the tree length $\xi$ (the sum of all edge lengths) is small, and rate variation is minimal, the expected false positive rate is less than $\xi/3$; the exact value depends on tree shape and sequence length. This expected false positive rate is far below the false negative rate for small $\xi$ and often well below 5% even when some assumptions are relaxed. We show this result analytically for maximum parsimony and explore its extension to maximum likelihood using theory and simulations. For hypothesis testing, we show that measures of split “support” that rely on bootstrap resampling consistently imply weaker support than that implied by the false positive rates in near-perfect trees. The near-perfect parameter space closely fits several empirical studies of human virus diversification during outbreaks and epidemics, including Ebolavirus, Zika virus, and SARS-CoV-2, reflecting low substitution rates relative to high transmission/sampling rates in these viruses.

Key words: Perfect phylogeny, Homoplasy, Yule-Harding model, Virus, Epidemic, SARS-CoV-2, Ebolavirus, Mumps virus, HIV, West Nile virus, Zika virus
A “perfect phylogeny” is an evolutionary tree constructed from discrete character data in which no character state evolves more than once (Gusfield, 1997; Fernandez-Baca and Lagergren, 2003). Homoplasy (Wake et al., 2011) is absent. Real-world datasets rarely allow reconstruction of perfect phylogenies, but algorithms can be modified to search efficiently for “near-perfect” trees when a small amount of homoplasy is present (Fernandez-Baca and Lagergren, 2003; Awasthi et al., 2012). In this paper, we address how best to measure accuracy in such “near-perfect” trees, what factors guarantee accuracy is high, and whether real datasets with such minimal levels of homoplasy even exist.

The concept of perfect and near-perfect phylogenies played a key role in early attempts to understand the connections among phylogenetic tree reconstruction methods, such as maximum likelihood (ML), maximum parsimony (MP), and maximum compatibility. In a landmark paper, Felsenstein (1973) showed that a sufficient condition for ML and MP to infer the same tree was for the expected number of substitutions on edges of the tree to be very small. Then, “[i]f our assumption were true that evolutionary change is improbable during the relevant period of time, most characters should be uniform over the group. A few would show a single change of state during the evolution of the group. But only very rarely would we find more than one change of state, so that few or no characters would show convergence.” This last statement may have been the first hint of a probabilistic description of “near-perfect phylogeny”. This condition can be stated more formally as $\xi \leq 1$, where $\xi$ is the expected number of substitutions per site summed over the entire tree (i.e., the tree length per site). Homoplasy is rare but has a non-zero probability of occurring.

Felsenstein’s concluding comment on near-perfect phylogenies was skeptical: “Real data is certainly not like this...” (Felsenstein, 1973). Homoplasy has since been viewed as a commonplace feature of phylogenetic datasets (Wake et al., 2011) and, reasonably enough, most phylogenetic theory has been developed with this sentiment as an implicit
assumption. However, extensive surveys of genetic diversity in RNA viruses have revealed that some viral phylogenies, particularly those associated with outbreaks and epidemics, do exhibit small per site total tree lengths consistent with near-perfect phylogenies (Dudas and Bedford, 2019). These datasets often comprise full-length viral genomes from RNA viruses, which are typically 10–30 kb in length and have a substitution rate of around $10^{-3}$ substitutions/site/year.

The potential of these data to yield fully resolved phylogenies has been of particular interest in epidemiology, because internal nodes in viral trees represent transmission events (Campbell et al., 2018; Grubaugh et al., 2019; Dudas and Bedford, 2019). This objective motivates placing a premium on minimizing false negatives (i.e., on deciphering all such transmission events) and thereby maximizing resolution. Increased phylogenetic resolution is achievable by analyzing longer genomic fragments from viruses with faster evolutionary rates (Dudas and Bedford, 2019). However, understanding the false positive rate remains a key issue in characterizing phylogenetic accuracy (Felsenstein and Kishino, 1993), particularly in the special case of a poorly resolved tree with few—but well-supported—clades.

Here we explore what assumptions comprise “near-perfect” phylogenies and decouple the false-positive and false-negative components of accuracy in such trees. In particular, by focusing on a mathematically tractable case in which tree size is large yet tree length is small, we will show that the false positive rate can be very good, even when the false negative rate is not: most of the clades inferred are probably correct, even though the tree may be only partly resolved. We also survey a set of viral phylogenies that have many properties of this near-perfect space and estimate their accuracy. Finally, we briefly consider phylogenetic “support” measures in relation to accuracy in near-perfect data. Whereas accuracy relates to the overall performance of a tree estimator relative to the true tree, support relates to the probability of making a mistake in deciding about some aspect of that tree—typically the presence of a particular split—using a statistically based
decision rule such as the bootstrap support value or a posterior probability (Felsenstein, 1985; Felsenstein and Kishino, 1993; Hillis and Bull, 1993; Efron et al., 1996; Susko, 2008, 2009; Alfaro and Holder, 2006; Simmons and Norton, 2014).

This paper is organized as follows. “Materials and Methods” are divided into two parts: first, mathematical theory (with proofs in the Supplement), and second, simulation protocols, data, and data analysis. “Results” begin with a more expository description of the theory, illustrated with simulation results, and then describes results from analyses of robustness and support, and data analyses. Following these is the Discussion.

**Materials and Methods I. Theory**

*Definitions of Accuracy*

Given a true unrooted binary tree, $T$, and an estimated tree, $\hat{T}$, a strict measure of accuracy is just $\text{Prob}(\hat{T} = T)$ (Huelsenbeck and Hillis, 1993; Erdös et al., 1999). In large trees it is useful to measure partial agreement, such as the proportion of nontrivial splits on $\hat{T}$ that are also on $T$, out of a possible $n - 3$ (Yang, 1998).

A still more nuanced definition of accuracy is useful when either $T$ or $\hat{T}$ is only partially resolved (not binary), that is, when the number of nontrivial splits, $C(T)$, is less than $n - 3$ (Warnow, 2013). Let $N_{FP}$ be the number of splits on $\hat{T}$ but not $T$ (false positives), and let $N_{FN}$ be the number of splits on $T$ but not $\hat{T}$ (false negatives). When both trees are binary, $N_{FP} = N_{FN}$ (Berry and Gascuel, 1996; Smirnov and Warnow, 2021); otherwise they can contribute differentially to error. The Robinson–Foulds (RF) distance (Robinson and Foulds, 1981), $d_{RF} = N_{FP} + N_{FN}$, combines both errors in one measure of overall accuracy. Here we distinguish between these errors explicitly by defining false positive and negative rates (Smirnov and Warnow, 2021):

\[
FP_T = \mathbb{E}[N_{FP}/C(\hat{T})],
\]

\[
FN_T = \mathbb{E}[N_{FN}/C(T)].\tag{1}
\]

Both error rates are expectations over some generating model for the data, described next.
Evolutionary Model

Let $B(n)$ denote the set of unrooted binary phylogenetic trees with leaf set $[n] = \{1, 2, \ldots, n\}$. Note that a tree $T \in B(n)$ has $2n - 3$ edges. Consider a Jukes-Cantor model (JC69; Felsenstein, 2004), with rate parameter $\lambda$, in which the probability of a state change between the endpoints of an edge $e$, denoted $p_e$, is given by $p_e = p$, where $p = \frac{3}{4}(1 - \exp(-4\lambda/3))$. Assume further that all edges have the same value of $\lambda$. Let $\xi$ denote the expected number of state changes per character in $T$. Thus $\xi = \lambda \cdot (2n - 3)$.

A character refers to the assignment of states to the taxa at a given site of an alignment.

We will say that a character evolves ‘perfectly’ on $T$ if there is a single change of state across one interior edge (say $e$) and no change of state on any other edge of $T$. Thus, a character that evolves perfectly on $T$ is homoplasy-free, and the two notions are equivalent for binary characters. However, for multi-state characters, the notion of a perfectly evolved characters is stronger than that of being being merely homoplasy-free. We deal here with this stronger notion for two reasons: firstly, it simplifies the mathematical analysis, and second, the expected proportion of homoplasy-free characters that are not perfectly evolved under the models we consider tends to zero as the number of taxa becomes large.

We will say that a character $f$ evolves on $T$ with $c$ edge changes on $e_1, \ldots, e_c$ if state changes occur on edges $e_1, \ldots, e_c$ and on no other edge of $T$. More briefly, we say that $f$ evolves on $T$ with $c$ edge changes if $f$ evolves with $c$ edge changes for some set of $c$ distinct edges of $T$ (mostly we will deal with the case $c = 2$).

Recall that a split refers to a bipartition of the leaf set $[n]$ into two nonempty subsets (and splits are induced by binary characters). A character that has evolved perfectly on $T$ produces a split, and these splits (across a set of perfectly evolved characters) are compatible and so form a (generally unresolved/non-binary) tree on leaf set $[n]$. 
Suppose that \( m \) characters evolve on \( T \) and that, of these \( m \) characters, \( k \) of them are perfectly evolved on \( T \) (note that more than one of these characters may correspond to the same split of \( T \)). Next, consider a single additional character \( f \) which has evolved on \( T \) with 2 edge changes, on \( e_1, e_2 \) (there is no restriction that these must be interior edges).

Under certain conditions, the MP tree for these characters will include a false split (false positive)—a split not on \( T \) (Fig. 1). In particular, a false split occurs if no perfect character changes state along the path between \( e_1 \) and \( e_2 \) (see Lemma 1 in the Supplementary Information).

Let \( \Phi_T^{(k)} \) be the probability that a character \( f \) that has evolved on \( T \) with 2 edge changes generates a false split under MP, which means:

- **(C-i)** it is a binary character,
- **(C-ii)** the corresponding split is not a split of \( T \), and
(C-iii) the split described by \( f \) is compatible with \( k \) characters that are perfectly evolved on \( T \) (by the Markovian process described above).

In other words, we are interested in ‘false splits’ (i.e., splits in the reconstructed MP tree that are not present in the—underlying and unknown—true tree \( T \)). The split corresponding to \( f \) (by condition C-i) should \textit{not} be in \( T \) (condition C-ii); however, condition C-iii would lead MP to add this false split into the reconstructed tree based on the other ‘true splits’ since the false split is compatible with all of the latter.

Given a tree \( T \in B(n) \), let \( d_T(e_1,e_2) \) denote the number of edges of \( T \) that lie strictly within the path between \( e_1 \) and \( e_2 \) (i.e., excluding \( e_1 \) and \( e_2 \)). Thus, \( e_1 \) and \( e_2 \) are adjacent if and only if \( d_T(e_1,e_2) = 0 \). In addition, let \( \varphi_T = (\varphi_T(0), \varphi_T(1), \ldots, \varphi_T(n-3)) \), where \( \varphi_T(i) \) is the number of (unordered) pairs of edges \( \{e,e'\} \) of \( T \) for which \( d_T(e,e') = i \).

Finally, for \( i \) between 1 and \( n - 3 \), let

\[
\tilde{\varphi}_T(i) = \frac{\varphi_T(i)}{\binom{2n-3}{2}}.
\]

The probability of a false split is then given by the following theorem (see SI for proof).

**Theorem 1** For each \( T \in B(n) \), and \( k \geq 1 \) we have:

\[
\Phi_T^{(k)} = \frac{1}{3} \cdot \sum_{i=1}^{n-3} \tilde{\varphi}_T(i) \left(1 - \frac{i}{(n-3)}\right)^k.
\]

Theorem 1 shows that for fixed \( k \) and \( n \), the shape of \( T \) plays a significant role in determining \( \Phi_T^{(k)} \); in particular, unbalanced trees (such as caterpillars) will have a smaller value of \( \Phi_T^{(k)} \) than more balanced trees. Indeed, it is possible to calculate the value of \( \Phi_T^{(k)} \) exactly for the two extreme cases of caterpillar trees and fully-balanced trees to determine the extent of this dependence (see SI).
**Estimating the Expected False Positive Rate**

Given a binary phylogenetic tree $T$, and $m$ characters evolved randomly on $T$ by the model described earlier, the *false positive rate* ($FP_T$) is the expected value of the ratio of false splits to all splits in the estimated tree (Eqn. 1; here we assume that if the reconstructed tree is a star, this proportion [which is technically 0/0] is zero). Recall that $\xi$ is the expected number of state changes in the tree $T$ per character, under the model described earlier. $FP_T$ is a function of the three parameters $T$ (specifically, its shape and number of leaves), $m$, and $\lambda$ (equivalently, $FP_T$ is a function of $T$, $m$, and $\xi$).

In general, it is mathematically complicated to describe $FP_T$ in terms of these parameters. However, when the number of leaves in a tree grows faster than the number of perfectly compatible characters, it is possible to state a limit result to provide an approximation to $FP_T$ for large trees.

In the following theorem, we consider the following setting:

(I) $m\xi = \Theta(n^\beta)$ for some $0 < \beta < \frac{1}{2}$, and

(II) $m\xi^2 = O(1),$

where $O(1)$ refers to dependence on $n$ (thus $m\xi^2$ is not growing with $n$). Note that Condition (I) implies that the number of perfectly evolved characters grows with the number of leaves, but at a rate that is slower than linearly. Conditions (I) and (II) imply that $\xi$ decreases as $n$ increases.

In this setting, we show that the false positive rate is (asymptotically) of the form $\xi/3$ times a function $\Omega$ that involves $T$ (via its shape), $m$, and $\xi$. If we now treat $\xi$ as a variable, then for $\xi = 0$, the function $\Omega$ is close to 1 (for large $n$) and so $FP_T$ initially grows like $\xi/3$. However, as $\xi$ increases, $\Omega$ begins to decline at an increasing rate, resulting in the false positive rate reaching a maximum value before starting to decrease.

To describe this result, we need to define this function $\Omega$. Let

$$\Omega(T_n, \xi, m) = \sum_{i=1}^{n-4} \hat{\varphi}_{T_n}(i) \cdot \frac{e^{-i\mu/(n-3)} - e^{-\mu}}{1 - i/(n - 3)},$$

where...
where:
\[ \mu = \frac{1}{2} m \xi \]
and where \( \tilde{\phi}_{T_n}(i) \) is given in Eqn. (2). For example, for any caterpillar tree, we have
\[ \tilde{\phi}_{T_n}(i) = 4(n - 2 - i)/(\binom{2n-3}{2}). \]

Notice that \( \Omega(T_n, \xi, m) \) depends on \( T_n \) only via the coefficients \( \tilde{\phi}_{T_n}(i) \), and this dependence is linear. Thus, if \( D \) is a distribution on trees (e.g. the PDA or YH), then the expected value of \( \Omega(T_n, \xi, m) \) is given by:
\[ \mathbb{E}_D[\Omega(T_n, \xi, m)] = \sum_{i=1}^{n-4} \mathbb{E}_D[\tilde{\phi}_{T_n}(i)] \cdot \frac{e^{-i\mu/(n-3)} - e^{-\mu}}{1 - i/(n-3)}. \quad (3) \]

For the PDA distribution, the term \( \mathbb{E}_{PDA}[\tilde{\phi}_{T_n}(i)] \) has an explicit exact value, namely,
\[ \mathbb{E}_{PDA}[\tilde{\phi}_{T_n}(i)] = \frac{(i + 3)2^i(2n - i - 4)!(n - 2)!}{(2n - 4)!(n - i - 3)!\binom{2n-3}{2}}, \quad (4) \]
for all \( i \) between 1 and \( n - 3 \) (see SI for proof).

**Theorem 2** For each \( n \geq 1 \), let \( T_n \) be a binary phylogenetic tree with \( n \) leaves, and suppose that Conditions (I) and (II) hold.

(i) \[ FP_{T_n} = \frac{\xi}{3} \cdot \Omega(T_n, \xi, m) \cdot (1 + o(1)), \]

where \( o(1) \) is a term that tends to 0 as \( n \) grows.

(ii) If \( T_n \) is sampled from a distribution \( D \) (e.g. PDA, YH), then the expected value of \( FP_{T_n} \), denoted \( \mathbb{E}_D[FP_{T_n}] \), satisfies
\[ \mathbb{E}_D[FP_{T_n}] = \frac{\xi}{3} \cdot \mathbb{E}_D[\Omega(T_n, \xi, m)] \cdot (1 + o(1)). \]

**Remarks:** Note that \( FP_{T_n} \) depends only on the shape of the tree \( T_n \) (and not on how its leaves are labelled), thus for a tree distribution \( D \) on either the class of caterpillar trees, or symmetric trees, we have \( FP_{T_n} = \mathbb{E}_D[FP_{T_n}] \).
Notice also from Fig. 3 that as $\xi$ increases from 0 the estimate of $E_D[FP_{T_n}]$ given by
\[
\frac{\xi}{3} \cdot \Omega(T_n, \xi, m)
\]
for the YH, PDA distributions and for symmetric trees initially increases (approximately linearly) with $\xi$ but then begins to decrease with increasing $\xi$. By contrast, when $T_n$ has the caterpillar tree shape, the estimate of $FP_{T_n}$ appears to be constant as $\xi$ increases from 0 (see Fig. 3). Indeed, when $T_n$ is a caterpillar tree, the expression for $FP_{T_n}$ in Theorem 2(i) reduces to the following remarkably simple expression as $n$ becomes large:

\[
FP_{T_n} \sim \frac{4}{(3m)},
\]

which is independent of $\xi$ (and $n$). Details are provided in the SI.

**Materials and Methods II. Simulations, Data, and Data Analyses**

*Main Simulation Pipeline*

Simulations were run to assess goodness of fit and robustness of mathematical predictions under various regimes of model parameters and tree inference criteria (MP or ML), as well as to estimate expected accuracy in empirical datasets. Each of $R$ simulation replicates (with $r$ sub-replicate tree searches in each) consisted of the following sequence of steps: (i) generation of a random binary tree $T$ with $n$ leaves according to either a “proportional-to-distinguishable-arrangement” (PDA) or Yule-Harding (YH) model (Aldous, 2001) (as well as the two extreme cases of completely unbalanced caterpillar trees, and completely balanced symmetric trees); (ii) assignment of edge lengths of $T$ according to a gamma distribution with shape parameter $\alpha_e$ and mean $\bar{\lambda}$; (iii) generation of a sequence alignment of $m$ sites using Seq-Gen v. 1.3.4 (Rambaut and Grassly, 1997), with either JC69, HKY, or GTR models (and base frequencies and rate matrix parameters set or estimated from data), and with one of four across-site-rate (ASR) variation models: no variation, invariant sites model, gamma model, or free-rate model ranging from 2-10 bins (Kalyaanamoorthy et al., 2017)—the free-rate model was implemented in Seq-Gen by using 2-10 site partitions; (iv) reconstruction of estimated tree $\hat{T}$ [using PAUP 4.0a, build
166: Swofford (2003)) for MP with options ‘hsearch add=simple swap=no nreps=r;contree all/strict’; and using IQ-TREE 2 (v. 2.0.6) (Minh et al., 2020) for ML with options ‘-m JC+FQ -nt 1 -redo -mredo –polytomy -blmin 1e-9’, replicated r times, followed by strict consensus; (v) tallying $N_{FP}$ and $N_{FN}$ from T and $\hat{T}$ and computing error rates. Mean rates across replicates were then tallied. All steps except (iii) and (iv) used custom PERL scripts (available in the Dryad repository).

A typical dataset size of $n = 513$ (chosen to allow perfectly symmetrical trees plus one outgroup, when such were needed), and $m = 1000$ was used to model trees large enough to potentially satisfy the near-perfect assumptions, and to have a sufficient number of sites to infer a range of accuracy when combined with $\bar{\lambda}$ values ranging from $10^{-5}$ to 0.316 substitutions per site. Gamma shape parameters were set at 0.1, 1.0, and 10.0, which encompasses distributions ranging from highly variable to nearly constant. For edge length variation this range encompasses what we observed in the empirical virus datasets. For ASR variation, it captures much of the range of inferred values we have seen in the literature. Finally, $R$ was generally set to 1000 and $r$ to 100.

**Support Simulations**

Phylogenetic support measures were estimated in trees simulated via the main pipeline described above with $n = 513$, $m = 1000$, a JC69 model with no rate variation, and PDA random trees. Ten values of $\lambda$ in the interval $[10^{-5}, 0.31622]$ were analyzed. PAUP (Swofford, 2003) was used for MP bootstrapping (same heuristic search as above but with 100 replicates $\times$ 10 subreplicates); IQ-TREE 2 (Minh et al., 2020) was used (50 random tree replicates) for SH-aLRT (‘-alrt 1000’), aBayes, and ultrafast bootstrapping (‘-B 1000’), with additional options enforcing minimum branch lengths of $10^{-9}$ and collapsed polytomies. Mean support across replicates was computed.

Perfect four-taxon alignments were generated in which each of the five branches had a single, non-homoplastic nucleotide substitution in the alignment and all other sites were
constant. Alignment lengths ranged between 40 nt and 30,000 nt. ML trees were inferred in IQTree2 with a JC69 model, minimum branch lengths of $10^{-9}$, and collapsed polytomies. Clade support was determined using Felsenstein’s bootstrapping (1,000 replicates), ultrafast bootstrapping (10,000 replicates), transfer bootstrap exchange (TBE; 1,000 replicates), SH-aLRT (10,000 replicates), and aBayes. Full Bayesian inference was also performed in MrBayes v3.2.7 (Ronquist and Huelsenbeck, 2003) with a single run per replicate of 2.5 million generations, with the first 10% of generations discarded as burnin.

Alignments for larger perfect symmetrical and asymmetrical (caterpillar) trees were generated with 8, 16, 32, 64, and 128 taxa. Each branch, including terminal branches, had a single nonhomoplastic nucleotide substitution in the alignment with all other sites constant. Alignment lengths ranged from 236 to 32,768 nt. ML trees were inferred as described above for the four-taxon alignments, and support was assessed by Felsenstein’s bootstrap, ultrafast bootstrapping, TBE, SH-aLRT, and aBayes.

All Python scripts related to perfect tree simulations are available in the Dryad repository.

Virus Datasets

Viral phylogenies were obtained from the NextStrain (Hadfield et al., 2018) website (accessed 05 May 2020) (Table 1). Phylograms were downloaded for dengue virus, dengue virus serotype 1, Ebolavirus (Dudas et al., 2017), Enterovirus 68 (Dyrdak et al., 2019), measles morbillivirus, mumps virus, respiratory syncytial virus, West Nile virus (Hadfield et al., 2019), and Zika virus. In addition, we also analyzed an iatrogenic HIV-1 outbreak in Cambodia (Rouet et al., 2018) and the first wave of the SARS-CoV-2 epidemic in China (Pekar et al., 2021). The SARS-CoV-2 phylogeny is the ML tree used in Pekar et al. (2021) (see Data S1 on Dryad [https://doi.org/10.6076/D12S3M] for list of GISAID Accession IDs). Publicly available genomic sequences (or genetic sequences for HIV-1) were downloaded from GenBank and aligned with mafft v7.407 (Katoh and Standley, 2013)
(accession numbers can be found in Data S2 on Dryad).

False positive rates for the virus phylogenies were estimated with our simulation pipeline, setting parameters to values estimated from published trees and publicly available sequences used to construct them (Table 1, Table S1). For each virus, we used IQ-TREE 2 to infer the six rate parameters of a GTR substitution model with empirical base frequencies. The optimal site-to-site rate variation model, including free-rate models, was determined using the Bayesian Information Criterion (BIC) in IQ-TREE 2 (Kalyaanamoorthy et al., 2017). These models were used to parameterize sequence simulation in Seq-Gen, as described above.

Edge length (per site) variation was assumed to follow a gamma distribution:

\[ \lambda \sim \Gamma(\alpha_e, \alpha_e/\lambda) \]

having mean \( \bar{\lambda} \) and variance \( \bar{\lambda}^2/\alpha_e \). The distribution of substitutions is a mixture of Poisson and gamma distributions, which is a negative binomial with a variance to mean ratio of

\[ 1 + \frac{m\bar{\lambda}}{\alpha_e} \]  

which was shown by Bedford and Hartl (2008) for an equivalent parameterization. Virus trees were preprocessed, setting any edge lengths < 1.1 \times 10^{-6} to zero, assuming these reflected ML numeric artifacts. Then, \( \bar{\lambda} \) was estimated from the observed sum of per site edge lengths divided by \( 2n - 3 \), and Eqn. 5 was then used to estimate \( \alpha_e \).

Ideally, we would fit the data to the random tree model, but standard methods either assume binary trees or model polytomies with an a priori assumption about the tree model itself (e.g., Bortolussi et al., 2006). Therefore, we repeated simulations using both PDA and YH models.
Results

Overview of Results on Accuracy

Simulations of tree inference with MP over a large range of tree lengths, $\xi$, and other parameters, illustrate several known results (Fig. 2) and perhaps a few less well known ones. First, resolution of the inferred tree increases with tree length. Second, “overall” accuracy, as measured by the RF distance, is optimal at an intermediate tree length, $\xi^*$ (Yang, 1998; Bininda-Emonds et al., 2001; Steel and Leuenberger, 2017). Moreover, when $\xi \gg \xi^*$, the false positive error rate, $FP_T$, is similar to the false negative rate, $FN_T$, as might be expected because the true and estimated trees are nearly binary; therefore $N_{FP} \approx N_{FN}$.

However, when $\xi \ll \xi^*$, then $FP_T \ll FN_T$, and the false positive error rate can remain quite good ($< 0.05$) over a large range of $\xi$ even when the false negative error rate is very high. However, the range of tree lengths for which this result holds depends critically on rate variation across edges and sites. When $\xi \leq 1$, the false positive rate is low and insensitive to the presence of rate variation; but, when $\xi > 1$, the false positive rate is much more sensitive to rate variation—high when variation is present and low when absent (contrast Fig. 2A and Fig. 2B). In real-world data, as $\xi$ increases, we expect that evidence of rate variation will become more apparent.

Key elements of these findings can be shown analytically in a “near-perfect” zone described by a simple evolutionary model.

Overview of the Mathematical Theory

First we define “near-perfect” more formally. Assume the data consist of an alignment of $m$ independent and identically distributed nucleotide sites that have evolved according to a Jukes-Cantor model (JC69; Felsenstein, 2004) on an unrooted binary tree $T$, with $n$ leaves. Each of the $2n - 3$ edges of $T$ have length $\lambda$, and thus the total tree length is $\xi = \lambda(2n - 3)$. When $n$ is large and $\xi \leq 1$, the expected number of substitutions
per site is $\leq 1$; the number of edges on which a site changes state is approximately Poisson distributed with mean $\xi$; and the probability of more than one change on an edge is low, meaning multiple changes at a site occur on distinct edges. Though these conditions will generate alignments dominated by “perfect” sites exhibiting no homoplasy, a few sites may exhibit homoplasy even with $\xi \leq 1$, which motivates the term “near-perfect”. Under these conditions, tree reconstruction methods will tend to infer relatively unresolved trees unless the number of sites is very large.

Rare sites that exhibit homoplasy can introduce false positive splits on the inferred tree (Fig. 1). A naïve argument using Equation 1 might suggest that $FP_T$ would depend on $\xi$ roughly as $O(\xi^2)/O(\xi) = O(\xi)$, namely the ratio of the expected numbers of sites having changes on two edges (i.e., those that are potentially homoplastic and misleading) to those

Fig. 2. Accuracy of maximum parsimony phylogeny reconstruction in simulations over a wide range of per site tree length, $\xi$, and other parameters. Solid and dashed curves are mean false positive and negative error rates, respectively (log scale left); dashed sigmoidal curve is fractional resolution of estimated tree (linear scale right). Trees are generated by a random proportional-to-distinguishable-arrangement (PDA) model for 513 taxa, from which a sequence alignment length of 1000 sites is generated. The dotted horizontal line is placed at an error rate of 0.05. Asterisk marks the location of the optimal tree length with best overall Robinson–Foulds accuracy, $\xi^*$. Each point is mean of 1000 replicates $\times$ 100 sub-replicates (see Methods). “Near-perfect” values ($\xi \leq 1.0$) are shaded. a) JC69 model with no edge length or across-site-rate variation [because of $y$-axis log scaling, two $y$ values of zero were set to 0.0001]. b) JC69 model with substantial edge length and across-site-rate variation, both modeled as a gamma distribution with shape parameters $\alpha_e = \alpha_{ASR} = 0.25$.)
sites having only a single change (those that are reliable), for sufficiently small $\xi$. But because only one-third of those two-edge sites are actually homoplastic in a JC69 model,

$$FP_T \equiv \xi/3,$$

which implies $FP_T$ is small when $\xi$ is small enough (e.g., $FP_T < 0.05$ whenever $\xi < 0.15$).

This approximation can be improved further by recognizing that not all two-edge homoplastic sites induce false positives, depending on their position in the true tree (Fig. 1). Given the evolutionary model, the probability that $k$ perfect sites, and another site $f$ that has evolved with two edge changes will produce a “false positive” under MP is denoted $\Phi_T^{(k)}$ (Theorem 1 above). Because this probability is often less than one, $FP_T$ can remain below 0.05 at higher values of $\xi$ than the naïve argument suggests.

If the true tree were known with some precision, the first part of Theorem 2 could be used directly to calculate false positive rates. However, in the “near-perfect” parameter space of large $n$ and $\xi \lesssim 1$, estimates of the true tree are likely to be only partially resolved (Fig. 2). We therefore derive the expected false positive rate for a distribution, $D$, of randomly generated trees of size $n$, $\mathbb{E}_D[FP_{T_n}]$, generated from parameters based on the inferred tree. In the remainder of this paper, the “expected false positive rate” will generally refer to $\mathbb{E}_D[FP_{T_n}]$. We assume that $D$ is usually either a “proportional-to-distinguishable-arrangement” (PDA) or Yule-Harding (YH) distribution (Aldous, 2001), but also consider the two extreme cases of completely unbalanced (caterpillar) trees, and completely balanced (symmetric) trees. Unlike PDA and YH trees, these last two have a constant tree shape (with random leaf labels). From the second part of Theorem 2, we see that, for a JC69 model and trees inferred with MP, the following approximation holds increasingly well as $n$ increases:

$$\mathbb{E}_D[FP_{T_n}] \approx \frac{\xi}{3} \cdot \mathbb{E}_D[\Omega(T_n, \xi, m)]$$

(6)
given the assumption that $\xi$ is sufficiently small and the number of sites does not grow too quickly with the size of the tree. The function $\Omega(T_n, \xi, m)$, defined in Materials and
Methods I, is monotonically decreasing in $\xi$ and $m$, and depends on the shape of $T$. Simulations indicate that the approximation is close for $\xi \leq 1$ (Fig. 3), but if many equally parsimonious trees are present, the search algorithm should take a strict consensus of a broad sample of those solutions (Fig. S3). $E_D[FP_{T_n}]$ is better on average for PDA than YH trees, and both are bounded between a theoretical worst case error rate for symmetric and best case error rate for caterpillar trees. In fact, the expected false positive rate for the latter is just $4/(3m)$ in the limit of large $n$, which is independent of $\xi$.

Robustness to Violation of Assumptions

Violations of assumptions tend to increase the expected false positive rate above the predictions of Equation 6. For example, adding edge length (EL) variation or across-site-rate (ASR) variation increases $E_D[FP_{T_n}]$ (Figs. 2, 4 and Fig. S4). The difference
between predicted $\mathbb{E}_D[FP_{T_n}]$ based on Eqn. (6), with no edge length variation, and simulation-based estimates with edge length variation included is small when $\xi << 1$ but increases substantially as $\xi$ increases. When edge length variation is large (gamma shape parameter $\alpha_e = 0.1$), there is no longer a local maximum value of $\mathbb{E}_D[FP_{T_n}]$ around $\xi = 0.1$; instead, $\mathbb{E}_D[FP_{T_n}]$ increases monotonically with $\xi$ and eventually exceeds 5% for the simulated dataset sizes. The impact of ASR variation is deleterious at all values of $\xi$, but even when ASR variation is large (gamma shape parameter $\alpha_{ASR} = 0.1$), the false positive rate remains slightly below 5% for simulated dataset sizes in the absence of EL variation (Fig. S4).

Departure of the substitution model from the JC69 model assumed in the "near-perfect" zone can also increase the expected false positive rate. For example, a strong transition–transversion bias increases $\mathbb{E}_D[FP_{T_n}]$ substantially, though it still remains well below 5% under our typical simulation conditions when $\xi \leq 1$ (Fig. S5).

Thus, the near-perfect tree length of $\xi \leq 1$ is a region in which rate variation appears to have less of an impact on false positive rates than when tree lengths are longer. This suggests that the definition of near-perfect zone in practice can include substantial rate variation.

**Expected False Positive Rates in Virus Phylogenies**

We estimated key parameters from the trees and underlying data for 11 empirical virus phylogenies (Table 1, Table S1) and used simulation to estimate expected false positive rates (Figs. 5). The studies span a wide range of tree size and resolution and alignment length, and their tree lengths span three orders of magnitude. Seven of these viruses fell within the "near-perfect" tree length zone of $\xi \leq 1.0$, and six of those had $\mathbb{E}_D[FP_{T_n}] \leq 0.05$ irrespective of random tree model. $\mathbb{E}_D[FP_{T_n}]$ was generally lower for PDA vs. YH models. As expected, $\mathbb{E}_D[FP_{T_n}]$ increased roughly with $\xi$, despite the large differences in these datasets.
Epidemics with young crown group ages on the order of years or decades (e.g., Zika virus, West Nile virus, and mumps virus) had expected false positive rates below 5%, even though West Nile virus had a $\xi$ slightly above 1. Viruses encompassing single epidemics (e.g., SARS-CoV-2 in China, EBOV in West Africa, and HIV-1 in Cambodia) also had expected false positive rates below 5%. Remarkably, HIV-1 had a low expected false positive rate even though the tree was constructed using the fewest number of sites in our sample (from only a single partial gene). Number of site affects accuracy through the $\Omega(T_n, \xi, m)$ term in Eqn. 6.

Trees with lowest levels of resolution (Table 1) had the highest expected false
Table 1. Parameters of 11 empirical virus phylogenies

| Abbreviation | Study                               | Leaves | Sites    | Resolution |
|--------------|-------------------------------------|--------|----------|------------|
| DENV        | Dengue virus                         | 1197   | 10264    | 0.8795     |
| DENV-1      | Dengue virus serotype 1              | 1067   | 10264    | 0.8160     |
| EBOV        | Ebolavirus                           | 1610   | 18164    | 0.3632     |
| EV-D68      | Enterovirus 68                       | 824    | 7293     | 0.8029     |
| HIV-1       | Human immunodeficiency virus type 1  | 189    | 1038     | 0.2193     |
| MeV         | Measles morbillivirus                | 109    | 15782    | 0.7009     |
| MuV         | Mumps virus                          | 458    | 15154    | 0.2961     |
| RSV         | Respiratory syncytial virus          | 997    | 14986    | 0.6121     |
| SARS-CoV-2  | Severe acute respiratory syndrome coronavirus 2 | 583    | 29668    | 0.2324     |
| WNV         | West Nile virus                      | 2512   | 10395    | 0.5960     |
| ZIKV        | Zika virus                           | 543    | 10320    | 0.5453     |

Positive rates. For example, dengue virus serotype 1, which does not represent a single epidemic, had low phylogenetic resolution, a $\xi > 1$, and a correspondingly high expected false positive rate. The phylogenetically more diverse dengue virus tree representing all four DENV serotypes had an even higher tree length and expected false positive rate.

The measles virus tree was an outlier with $E_D[FP_T_n]$ above 5%, even though its tree lengths was below one. Notable, MeV had the fewest taxa of any virus analyzed (Table 1) and subsequently lower phylogenetic resolution. This combination of factors implies sensitivity to the assumption of large $n$ in our results.

Extension to Maximum Likelihood (ML) Inference

Theoretical results hint that ML and MP should reconstruct the same tree under “near-perfect” assumptions. For example, ML provably converges to MP when there are enough constant characters in an alignment, a condition similar to $\xi << 1$ (Tuffley and Steel, 1997, Thm. 3). Further arguments presented in the SI support this conjecture.

We used simulation to check how well Equation 6, derived for MP, predicted the expected false positive rate under ML inference in the near-perfect zone. Simulations with
Fig. 5. Expected false positive rates, $\mathbb{E}_T[FP_T]$, for 11 empirical virus phylogenetic datasets (Table 1) for maximum parsimony (MP) inference, estimated by simulation using parameters estimated from the data (Table S1). Abbreviations given in Table 1. Simulation experiments used either a Yule–Harding random tree distribution (open circles) or PDA distribution (closed circles: some data points have indistinguishable differences between random tree models). Each point is mean of 500 replicates $\times$ 100 sub-replicates. The near-perfect zone of $\xi \leq 1.0$ is shaded. Horizontal dashed line indicates a 0.05 expected false positive rate.

$\xi \leq 1$, a JC69 model, and no edge length or ASR variation, with trees inferred by IQ-TREE 2 (Minh et al., 2020) under the same model, are close to the equation’s predictions (Fig. S6). Nonetheless, some differences were observed, which tended to imply better accuracy for MP. These differences could largely be attributed to technical or implementation issues in ML software. First, the computational expense of ML searches makes it tempting to undertake fewer replicate searches for local optima, but this was as critical to improve the fit to Equation 6 for ML as it was for MP (Fig. S6). Second, ML programs set hard numerical lower bounds strictly greater than zero on edge lengths, often
(by default) on the same order as \( \lambda \) for the virus datasets, so these must be reset downward to obtain correct tree likelihoods (Morel et al., 2021). Finally, inferred edge lengths that are larger than these programs’ lower bounds but still smaller than about \( 1/m \) tend to be included in the ML tree despite weak evidence (IQ-TREE 2 issues a warning about this).

We saw this in ML searches roughly when \( \xi \geq 0.1 \), when three-state sites become more common in alignments than they were at lower values of \( \xi \). Even without homoplasy, ML tends to over-resolve trees in a way that elevates \( \mathbb{E}_D[FP_{T_n}] \). By collapsing short edge lengths inferred by ML to be less than \( 1/m \), this behavior can be mitigated (Fig. S6).

In general, ML is expected to be more accurate than MP under more realistic model conditions and higher rates, something we observed commonly in simulations in which \( \xi > \xi^* \). However, simulations also suggest that in the near-perfect zone, MP can achieve an \( \mathbb{E}_D[FP_{T_n}] \) comparable with ML but with much faster running times.

**Accuracy and Support in Near-perfect and Perfect Trees**

False positive “accuracy”, defined as \( 1 - \mathbb{E}_D[FP_{T_n}] \), is very high in the near-perfect zone of small tree lengths, whereas conventional support values are quite variable in this zone under the same simulation conditions (Fig. 6). At very low \( \xi \), the average bootstrap support for MP is about the theoretically expected 64% for a single nonhomoplastic substitution supporting an edge (Felsenstein, 1985). Model-based support measures had higher values, with aBayes (Anisimova et al., 2011) being greater than ultrafast bootstrap (Hoang et al., 2018), which, in turn, was greater than SH-aLRT (Guindon et al., 2010), but only aBayes was close to our \( 1 - \mathbb{E}_D[FP_{T_n}] \) false positive accuracy across the range of tree lengths in the near-perfect zone. Notably, aBayes is the only one of the metrics that is not based on resampling.

We explored other factors impacting support in the boundary case of perfect trees. For sequence length, we computed standard support metrics in an ML framework in perfect four-taxon datasets, in which each branch was defined by a single change, and
alignments range between 40 nt and 30,000 nt (Fig. S7). As observed for MP, Felsenstein’s
ML bootstrap support is approximately 63%, regardless of sequence length, in accordance
with theoretical predictions (Felsenstein, 1985). Transfer bootstrap exchange (TBE)
(Lemoine et al., 2018; Lutteropp et al., 2020) values were indistinguishable from
Felsenstein’s bootstrap. Of the other ML model-based support metrics, aBayes provided
higher values than ultrafast bootstrap and SH-aLRT, both of which rely on bootstrap
resampling. The aBayes support reached \( \geq 95\% \) for alignments as short as 100 nt, which
tracked the full Bayesian posterior support estimates that had support \( \geq 95\% \) in
alignments as short as 60 nt. The discrepancy between the Bayesian estimates and those
that use bootstrap resampling, in light of our other results, suggests that resampling
methods used in the presence of splits defined by only a single informative site may fail to
integrate relevant information about low tree lengths.

On the other hand, in perfect trees from 8–128 taxa, in which the mean edge length
remained the same (but therefore \( \xi \) grew with \( n \)), mean SH-aLRT and aBayes support was
unchanged, but mean ultrafast bootstrap support increased (Fig. S8). The TBE method
was developed to correct for a downward bias of bootstrap values often seen in large trees.
As expected, TBE exceeds conventional bootstrap support as taxon number increases.
However, this increase is modest in perfectly symmetrical trees compared with perfectly
asymmetric trees and only surpasses 95% in the largest asymmetric trees (Fig. S8).

**Discussion**

In this paper, we study a “near-perfect” parameter space for phylogenetic inference
on large trees with small tree lengths and no rate variation within or between sites or
edges. The “near-perfect” tree length of \( \xi \leq 1 \) means that few sites exhibit homoplasy and,
for MP inference, the false positive rate can be much better than the false negative rate
and well under 5% for typical datasets with thousands of sites. The near-perfect conditions
defined here to allow mathematical derivations appear to be sufficient but not necessary.
For example, with no rate variation, the false positive rate can be very good even when $\xi > 1$ (Fig. 2A, S5), and, if $\xi < 1$, a substantial level of rate variation can be present without elevating the false positive rate by nearly as much as when $\xi > 1$ (Fig. 2, 4, S4).

The second case is clearly more relevant in real-world data. The 11 empirical virus datasets all had substantial rate variation and showed a general increase in false positive rate with $\xi$, with almost all rates below 5% occurring when $\xi \leq 1$, much like the predicted patterns seen in Fig. 2B and Fig. 4. This observation accords with our simulation results suggesting that the good “near-perfect” false positive rates may emerge even when relaxing the strict near-perfect assumption of no rate variation—as long as $\xi \leq 1$.

These and many other empirical findings about RNA virus phylogenies sampled intensively in epidemics postdate much of the extensive body of other work on accuracy.
and support in phylogenetics. Not surprisingly, little note has been made about the stark contrast between false positive and false negative rates in phylogenies in which tree length is well below the optimal tree length for “overall accuracy”, since published examples have been relatively rare. The goal of much of the field of phylogenetics is, after all, to maximize tree resolution, even if this effort requires adding (or switching to) sequence data with more variation and thus longer tree lengths.

Because “near-perfect” datasets reflect a combination of the number of taxa and sites, evolutionary rate and time parameters, and assumptions about the substitution model, they also implicitly reflect sampling of the true tree, which is particularly relevant in epidemic trees in which sampling is far below disease incidence. Sampling can continue over time, increasing \( n \), and the viruses continue to evolve over time, increasing the depth of the tree. Both of these increase \( \xi \) but in different ways; therefore, it is possible for the same RNA virus to have near-perfect and not near-perfect datasets depending on the study. For example, the SARS-Cov2 dataset we included had \( n = 583 \) and \( \xi = 0.02 \), well within the “near-perfect” zone, but a much more intensively sampled tree over a longer period of time (Lanfear, 2020) with \( n = 147156 \) has a tree length of \( \xi = 3.89 \) (after collapsing any edges with \( \lambda \leq 1.1 \times 10^{-6} \)), which is remarkably small for such a large tree but lies just outside our definition of near-perfect. This finding suggests that large-scale phylogenetic approaches for SARS-CoV-2 surveillance are appropriate (Ferreira et al., 2021; Turakhia et al., 2021) and that such approaches are unlikely to falsely suggest close relatedness (i.e., transmission clusters) where none exists.

Other mathematical results on phylogenetic accuracy have largely focused on either the limiting case of infinite sequence length (“consistency”), or the number of sites needed for accurate inference (the “sequence length requirement”). For MP, for example, the shortest edge length is critical and \( \lim_{m \to \infty} \text{Prob}(\hat{T}_{MP} = T) = 1 \) as long as \( \lambda_{\min} > \xi^2/(1 - \xi) \) (Steel, 2000, Thm. 1(A)). More generally, let \( m' \) be the number of sites needed for \( \text{Prob}(\hat{T}_{MP} = T) \) to exceed some fixed required accuracy. For the
neighbor–joining method $m'$ grows exponentially with $n$ (Lacey and Chang, 2006); for ML, $m'$ is polynomial or better in $n$, depending on edge lengths (Roch and Sly, 2017). Moreover, $m'$ also grows as $O(1/\lambda_{\min}^2)$ for ML and some more ad hoc estimators (Erdös et al., 1999; Roch, 2019), implying again that short edges tend to degrade accuracy when accuracy is defined in terms of total agreement between $T$ and $\hat{T}$, in contrast to our findings here.

A cryptic factor affecting the false positive rate is tree shape. Highly asymmetric trees have better expected false positive rates than highly symmetric trees, because expected path lengths are longer and it is harder to induce false positive splits by chance (Fig. 1). Thus, a random sample of PDA trees will have a better $E_D[FP_{T_n}]$ than more symmetrical YH trees. Differences in tree shape among RNA virus phylogenies have long been noted (Grenfell et al., 2004), such as the typically more asymmetric influenza trees.

Perfect and near-perfect phylogenies have been studied as discrete optimization problems (Gusfield, 1997; Fernandez-Baca and Lagergren, 2003) in which the goal is to find an optimal tree when, at most, some small number of sites exhibit homoplasy. Little of this work has considered accuracy per se, but Gronau et al. (Gronau et al., 2012) highlighted the connection between short edge lengths and false positives, and developed a “fast converging” algorithm (i.e., having an $O(poly(n))$ sequence length requirement) that returns a tree with short edges collapsed when they do not meet a threshold probability of being correct, thus minimizing false positives. The connection between this tree and those built by more conventional methods is unclear, but it may be a promising approach for building trees in the near-perfect zone.

Model-based phylogenetic inference methods such as ML and Bayesian inference are generally regarded as theoretically superior to MP, especially for datasets that fit substitution models much more complex than our “near-perfect” JC69 model with no rate variation. Though our mathematical results for expected false positive rates were derived for MP, there is both relevant theory and considerable simulation evidence to suggest that in the near-perfect zone, the ML expected false positive rate is approximated by the MP
theory, both in terms of its absolute value and its shape as a function of tree length. As $\xi$ increases, especially above $\xi^*$, ML consistently has better accuracy than MP, but we conjecture that the false positive rates of MP and ML differ much less as $\xi$ gets very small. Further work is needed to test this conjecture.

The connection between the false positive rate as a measure of accuracy and conventional measures of phylogenetic support appears to be sensitive to the choice of support method when $\xi << 1$ (Fig. 6). The aBayes method corresponds well to what is implied by $1 - \mathbb{E}_D[FP_{T_n}]$, but resampling methods using either likelihood or parsimony correspond less well. The connection between phylogenetic accuracy and support in frequentist and Bayesian settings has been studied in detail (Felsenstein, 1985; Hillis and Bull, 1993; Felsenstein and Kishino, 1993; Efron et al., 1996; Susko, 2008, 2009; Alfaro and Holder, 2006; Simmons and Norton, 2014), but remains somewhat fraught. We hesitate to draw firm conclusions without a formal analysis of support in the “near-perfect” parameter space, but we do note the variability in support estimates we found and suspect that Bayesian measures may be better reflections of false positive accuracy in practice (Fig. 6).

If individual clade support needs to be invoked in near-perfect viral phylogenies, we recommend Bayesian approaches that do not rely on bootstrap resampling of sparse substitutions. In near-perfect trees, Bayesian approaches can make use of the limited amount of genetic diversity to draw strongly supported inference, as opposed to bootstrapping approaches which require multiple sites supporting a clade before inferring similarly strong support. When phylogenetically informative data are limited, as in near-perfect trees, the consistency of the data supporting a clade appears more relevant than their prevalence.

The low false positive rate in near-perfect trees suggests that phylogenies describing viral epidemics in this zone can be interpreted directly without defaulting to identifying clades with strong support values. This finding supports the current practice in SARS-CoV-2 nomenclature, whereby clades (e.g., denoting variants or migration events)
are defined with reference to specific synapomorphies (Rambaut et al., 2020; O’Toole et al., 2021; Worobey et al., 2020). We acknowledge that frequent convergent evolution, and recombination in positive-strand RNA viruses, can complicate phylogenetic inference and may increase the false positive rate in real-world trees (Morel et al., 2021).

The benefit of real-time viral genomic sequencing for public health action became apparent during the 2014–2015 West African Ebola epidemic (Gire et al., 2014), and is a critical component of tracking the COVID-19 pandemic (Oude Munnink et al., 2020; Grubaugh et al., 2021). Consequently, the viruses responsible for these diseases, Ebolavirus and SARS-CoV-2, epitomize near-perfect phylogenetic trees in our analysis. We can expect a greater intensity of genomic sequencing accompanying future viral outbreaks, increasing the importance and relevance of near-perfect phylogenies.

In conclusion, we have shown that many RNA virus datasets satisfy assumptions used to derive results on near-perfect phylogenetic accuracy. These criteria include sufficiently low substitution rates across a large enough tree and no recombination. Any set of genomes sampled in a clade on a short enough time scale, or highly conserved regions of genomes sampled across a deeper clade, can also satisfy the first assumption, but recombination would remain problematic in many taxa. Springer et al. (2020), illustrate a potential path forward in their study of “low-homoplasy” retroelement characters in mammal genomes. They pursue a species tree inference approach to such data, which would likely be “near-perfect” were it not for recombination. It may be possible to derive additional results on accuracy when local near-perfect trees (or sub-alignments) are combined under the multi-species coalescent (Liu et al., 2019).

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The authors have no conflicts of interest related to this work.

**Supplementary Material**

Supplementary material, including data files, scripts, and online-only appendices containing mathematical proofs and additional figures, can be found in the Dryad data repository: https://doi.org/10.6076/D12S3M
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Fig. 1. How a false positive split is inferred by maximum parsimony (MP). On true tree (top) sites 1–3 are binary and “perfect”; that is, they have only a single change (locations marked by black circles), but site 4 is binary and homoplastic, changing twice (open circles), on edges $e_1$ and $e_2$. The dotted line is the path between the two homoplastic changes in site 4. If no perfect sites change along the dotted line path on the true tree, a false positive split is inferred on the MP tree (bottom).

Fig. 2. Accuracy of maximum parsimony phylogeny reconstruction in simulations over a wide range of per site tree length, $\xi$, and other parameters. Solid and dashed curves are mean false positive and negative error rates, respectively (log scale left); dashed sigmoidal curve is fractional resolution of estimated tree (linear scale right). Trees are generated by a random proportional-to-distinguishable-arrangement (PDA) model for 513 taxa, from which a sequence alignment length of 1000 sites is generated. The dotted horizontal line is placed at an error rate of 0.05. Asterisk marks the location of the optimal tree length with best overall Robinson–Foulds accuracy, $\xi^\ast$. Each point is mean of 1000 replicates $\times$ 100 sub-replicates (see Methods). “Near-perfect” values ($\xi \leq 1.0$) are shaded.

a) JC69 model with no edge length or across-site-rate variation [because of $y$-axis log scaling, two $y$ values of zero were set to 0.0001]. b) JC69 model with substantial edge length and across-site-rate variation, both modeled as a gamma distribution with shape parameters $\alpha_e = \alpha_{ASR} = 0.25$.

Fig. 3. Mean false positive rate in four tree models. Fit to theoretical predictions from Equation 6 (or the limit expression of $4/3m$ for caterpillar trees: see Methods) are shown by dashed lines. Each point is mean of 1000 replicates $\times$ 100 sub-replicates. Simulation conditions were $n = 513$, $m = 1000$, with a JC69 model. Predicted values are not known for YH model.
Fig. 4. Effect of edge length variation on expected false positive rate for different values of the shape parameter of the edge length gamma distribution, $\alpha_e$. Smaller values of $\alpha_e$ correspond to higher rate variation. ASR variation is assumed absent. The dashed curve is the prediction from Eqn. (6), in which both sources of variation are absent. Simulation conditions assumed PDA trees with $n = 513$, $m = 1000$, 1000 replicates, 100 subreplicates. Gray rectangle shows “near-perfect” values of $\xi \leq 1$.

Fig. 5. Expected false positive rates, $E_D[FP_{T_n}]$, for 11 empirical virus phylogenetic datasets (Table 1) for maximum parsimony (MP) inference, estimated by simulation using parameters estimated from the data (Table S1). Abbreviations given in Table 1. Simulation experiments used either a Yule–Harding random tree distribution (open circles) or PDA distribution (closed circles: some data points have indistinguishable differences between random tree models). Each point is mean of 500 replicates $\times$ 100 sub-replicates. The near-perfect zone of $\xi \leq 1.0$ is shaded. Horizontal dashed line indicates a 0.05 expected false positive rate.

Fig. 6. Statistical support measures compared to expected false positive accuracy, as a function of tree length. The solid curve is the mean value of $(1 - E_D[FP_{T_n}]) \times 100$ in simulations. The near-perfect parameter space is shaded.