A Systems Biology Analysis of Apoptosome Formation and Apoptosis Execution Supports Allosteric Procaspase-9 Activation

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Background: Mathematical simulations of apoptosome formation and mechanisms of caspase-9 activation were conducted and validated against experimental data.

Results: Only simulations that assume allosteric caspase-9 activation can accurately reproduce all experimental data.

Conclusion: A mechanism of allosteric caspase-9 activation is favored over homodimerization-based activation.

Significance: Our findings challenge the dogma that all initiator caspases are activated by homodimerization.

The protease caspase-9 is activated on the apoptosome, a multiprotein signal transduction platform that assembles in response to mitochondria-dependent apoptosis initiation. Despite extensive molecular research, the assembly of the holoproteinase and the process of caspase-9 activation remains incompletely understood. Here, we therefore integrated quantitative data on the molecular interactions and proteolytic processes during apoptosome formation and apoptosis execution and conducted mathematical simulations to investigate the resulting biochemical signaling, quantitatively and kinetically. Interestingly, when implementing the homodimerization of procaspase-9 as a prerequisite for activation, the calculated kinetics of apoptosis execution and the efficacy of caspase-3 activation failed to replicate experimental data. In contrast, assuming a scenario in which procaspase-9 is activated allosterically upon binding to the apoptosome backbone, the mathematical simulations quantitatively and kinetically reproduced all experimental data. These data included a XIAP threshold concentration at which apoptosis execution is suppressed in HeLa cervical cancer cells, half-times of procaspase-9 processing, as well as the molecular timer function of the apoptosome. Our study therefore provides novel mechanistic insight into apoptosome-dependent apoptosis execution and suggests that caspase-9 is activated allosterically by binding to the apoptosome backbone. Our findings challenge the currently prevailing dogma that all initiator procaspases require homodimerization for activation.

Members of the cysteine aspartate-specific proteases (caspases) protein family are important mediators of apoptosis signaling and fulfill key roles during the initiation and execution phases of apoptosis. The zymogens (procaspases) of the initiator caspases-2, -8, -9, and -10 are expressed as inactive monomers and bind to specific activation platforms upon apoptosis induction. It is generally believed that these activation platforms serve to locally accumulate initiator procaspase monomers, resulting in their proximity-induced homodimerization, activation, and auto-proteolytic processing (1). The PiDDo-some and the death-inducing signaling complex have been identified as activation platforms for initiator caspases-2 or -8/-10, respectively (2, 3), whereas the apoptosome provides the activation platform for initiator caspase-9 (4–6). The apoptosome consists of apoptotic protease-activating factor 1 (Apaf-1)2 and the co-factors dATP/ATP and cytochrome c (4, 7, 8). Apaf-1 is a member of the STAND (signal transduction ATPases with numerous domains) family (9) and comprises three functional domains: a NH2-terminal caspase recruitment domain (CARD), a nucleotide-binding and oligomerization domain, and a COOH-terminal WD40 repeat domain (4, 10). Upon activation of the mitochondrial apoptosis pathway, cytochrome c is released into the cytosol and activates Apaf-1, and active Apaf-1 then homo-oligomerizes into the heptameric apoptosome backbone (11, 12). In the fully assembled complex, the CARDs of Apaf-1 form a ring structure that sits above the central hub of the apoptosome (13), and interactions with the CARD in the procaspase-9 prodomain recruit procaspase-9 into the apoptosome (14). Binding of procaspase-9 to the apoptosome results in its activation (7, 15). As for other initiator caspases and in contrast to effector caspases, intra-chain cleavage of procaspase-9 is not required for activation. Following autocatalysis activation (16), processed caspase-9 can be released from the apoptosome and thereby inactivated. The apoptosome therefore acts as a proteolytic molecular timer that provides transient caspase-9 activities (17). Active caspase-9 cleaves and activates the main

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2 The abbreviations used are: Apaf-1, apoptotic protease-activating factor 1; CARD, caspase recruitment domain; MOMP, mitochondrial outer membrane permeabilization; XIAP, X-linked inhibitor of apoptosis protein.
proteases of the apoptosis execution phase, effector caspases-3 and -7 (18).

The molecular mechanism of caspase-9 activation on the apoptosome is still incompletely understood. Two hypotheses for possible activation mechanisms have been proposed. The dimerization hypothesis suggests that binding to the apoptosome facilitates the activation of procaspase-9 by homodimerization (19, 20). Experiments in which the CARD of procaspase-9 was replaced with a leucine zipper domain demonstrated that procaspase-9 indeed can be activated by forced dimerization (21). A similar finding was also made when dimerizing procaspase-9 in presence of high concentrations of kosmotropic salts (20). However, in contrast to all other caspases, the caspase-9 dimer is asymmetric and contains only one active catalytic site (19). The allosteric activation hypothesis instead suggests that binding to the heptameric Apaf-1 complex may allosterically induce a conformational change in procaspase-9, which results in the activation of the latter (22). In support of this hypothesis, it was found that procaspase-9 and processed caspase-9 are active when bound to the apoptosome, whereas cytosolic processed caspase-9 is inactive and monomeric (22, 23). Furthermore, caspase-9 bound to the apoptosome processes its physiological substrate procaspase-3 significantly more efficiently than force-dimerized free caspase-9 does (24). Despite a substantial body of published data on and quantitative investigations of apoptosome formation and caspase-9 processing, it therefore is still unclear whether caspase-9 is activated primarily by homodimerization or allosterically on the apoptosome backbone (25). We addressed this fundamental question in the current study by investigating both scenarios by a systems biology-based approach. First, we integrated the available quantitative biochemical knowledge on the signaling kinetics and molecular interactions during Apaf-1 activation and oligomerization and from this generated a cell physiologically relevant mathematical model for the formation of the apoptosome backbone. This core model was subsequently used to generate extended models for the investigation of allosteric and homodimerization based caspase-9 activation.

The apoptosome consists of seven molecules of Apaf-1, which in healthy cells reside as inactive monomers in the cytosol. Subsequent to mitochondrial outer membrane permeabilization (MOMP), cytosolic cytochrome c binds to Apaf-1 and in presence of ATP or dATP induces a conformational change in Apaf-1. This conformational change allows multiple activated Apaf-1 molecules to assemble into a stable heptameric apoptosome backbone on which caspase-9 can be activated. Cyt-c was modeled to rapidly enter the cytosol (t_{1/2} = 1.5 min), as shown experimentally before (28) and, together with ATP or dATP, to bind to inactive Apaf-1. For simplicity, dATP and ATP were implemented as one species in the model. Because the binding order of cytochrome c and dATP to Apaf-1 is interchangeable (29), both reaction sequences were implemented in the core model.

Model Implementation and Parameterization—All models were implemented as ordinary differential equations. An overview of all model components, reactions, and their parameterization can be found in the supplemental tables. MATLAB 2007b (The Mathworks, Cambridge, UK) was used as the programming and modeling environment. The reaction systems were solved using the ODE15s solver that implements a backward differentiation formula for numerical integration.

Determination of K_d for Procaspase-9 Binding to Apaf-1—The K_d value for binding of procaspase-9 to Apaf-1 was determined from an IC_{50} value reported by Palacios-Rodriguez et al. (26). The approach is described in brief in the following. Palacios-Rodriguez et al. initiated the assembly of the heptameric Apaf-1 complex in vitro by addition of dATP and cytochrome c, and in the presence of procaspase-9, which the latter binding to the apoptosome via CARD-CARD interactions. A catalytically inactive procaspase-9 mutant was added a competitive inhibitor in these assays. LEHD-afc was used as a fluorogenic caspase-9 substrate at a concentration of 100 μM, and the K_{m} value of caspase-9 toward the substrate was reported as 686 μM (24). The IC_{50} from these measurements therefore can be used to determine the dissociation constant of procaspase-9, using the Cheng-Prusoff equation (27). An IC_{50} of 0.8 μM (26) therefore leads to a K_d of 0.7 μM for procaspase-9 binding to the apoptosome.

Model Adaptation for the Study of the Molecular Timer Function of the Apoptosome—Conditions as detailed in supplemental Table 11 were used to investigate whether APOPTO-ALL or APOPTO-DIM variants can replicate the molecular timer behavior of the apoptosome. The mathematical models were parameterized to resemble the in vitro preincubation of Apaf-1 with dATP, cytochrome c, procaspase-9, and a caspase-3 substrate. Protein degradation and production were switched off. Procaspase-3 was added with a delay (5, 10, 20, or 30 min). The velocity of procaspase-3 activation was determined increases in cleaved caspase-3 substrate 1 min after procaspase-3 addition. These conditions replicate the in vitro experimental conditions used by Malladi et al. (17).

RESULTS

Successful Development of a Core Model of Apaf-1 Activation and Oligomerization—To investigate possible caspase-9 activation mechanisms, we first developed a core model that reflects Apaf-1 activation and the formation of the heptameric apoptosome backbone. This core model was subsequently used to generate extended models for the investigation of allosteric and homodimerization based caspase-9 activation.

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2.5 min of onset of cytochrome c release ($t_{1/2} = 1.2$ min) (Fig. 1A).

We next implemented the oligomerisation process by which activated Apaf-1 forms stable heptamers. Upon activating Apaf-1 by adding cytochrome c to native cytosolic extracts or in in vitro reconstitution experiments, fully functional apoptosomes assemble within 5–10 min (6, 32–37). Based on the available literature, we determined that in HeLa cells and other widely used human cell line models at least 60–70% of Apaf-1 oligomerizes into the heptameric apoptosome backbone (6, 17, 33, 34, 38). For the mathematical simulations, we allowed all possible combinations of intermediate Apaf-1 oligomers to be reversibly generated prior to heptamer formation and assumed the fully formed heptamer to be stable. Because the $K_d$ for Apaf-1 oligomerization has not been described yet, we first computationally screened for $K_d$ values, which allowed for >60% apoptosome formation (data not shown). For these $K_d$ values, we next screened for Apaf-1 $k_{on}/k_{off}$ pairs, which provide 65% apoptosome formation within 5 min within the context of the overall signaling system (from MOMP-induced cyto-
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Simulations for HeLa cell conditions, using the optimal Apaf-1 \( k_{on}/k_{off} \) pair, demonstrate the trend toward higher order Apaf-1 oligomers, with heptamers dominating (Fig. 1C). Changes in Apaf-1 amounts within the expression range that is typically found in human cell line systems (300–600 nM) (39–41) do not notably alter the efficiency of heptamer formation (Fig. 1D). An overview of all reactions of the oligomerization process and its parameterization is provided in supplemental Tables 5 and 6.

Taken together, the core model for the formation of the apoptosome backbone developed here accurately remolds experimental data on apoptosis execution signaling and Apaf-1 oligomerization efficiency. This core model subsequently served to implement extensions that represent scenarios of caspase-9 activation based on homodimerization on the apoptosome (APOPTO-DIM) or based on allosteric activation by the apoptosome backbone (APOPTO-ALL).

Model Extensions to Investigate Allosteric or Homo-dimerization-based Activation of Caspase-9 on the Apoptosome—Based on the above core model of apoptosome formation, we next developed two model variants that reflect scenarios of allosteric activation of procaspase-9 on the apoptosome (APOPTO-ALL) or activation of procaspase-9 by homodimerization on the apoptosome (APOPTO-DIM) (Fig. 2). For both APOPTO-DIM and APOPTO-ALL implementations, the apoptosome was modeled to provide seven binding sites for procaspase-9. In the APOPTO-DIM variant, procaspase-9 dimerization and activation was assumed to occur as soon as two procaspase-9 monomers bind to the apoptosome. As it is not required for these monomers to bind side-by-side in APOPTO-DIM, the simulation results of APOPTO-DIM reflect the maximum efficiency for a dimerization-based caspase-9 activation scenario. As demonstrated in the crystal structure of the processed caspase-9 hetero-tetramer (19), caspase-9 dimers were modeled to possess only one active site. In the APOPTO-ALL model variant, the binding of procaspase-9 monomers to the apoptosome is sufficient for allosteric activation. Cleavage of procaspase-3 and caspase-9 auto-processing were implemented identically in both model variants, taking into account differences in the affinity of active procaspase-9 and active processed caspase-9 to procaspase-3 (17). Both model variants were also linked to reactions which describe the activity of effector caspase-3, the role of the caspase inhibitor XIAP, the XIAP antagonist Smac, and the half-times of all proteins. The latter reactions were implemented as described for a previously validated model of downstream apoptosis execution signaling (41). The output of activated effector caspase-3 and the associated substrate cleavage by caspase-3 provided the possibility to compare the simulation results obtained with APOPTO-ALL and APOPTO-DIM to legacy experimental kinetics and quantities of substrate cleavage in living cells. A detailed overview of all reactions and rate constants is provided in supplemental Tables 7 and 8.

Mathematical Simulations of Allosteric Caspase-9 Activation Replicate Experimental Data on Apoptosis Execution Signaling, Quantitatively and Kinetically—Procaspase-9 binds via CARD-CARD interactions to the apoptosome backbone (7). For the parameterization of the CARD-CARD interaction, we took into account that procaspase-9 binds to the Apaf-1 CARD with a \( K_d \) of \( \sim 0.7 \mu M \), as calculated from Palacios-Rodriguez et al. (26) (see “Experimental Procedures”) and that procaspase-9 binds \( \sim 10\)-fold more efficient to the apoptosome than processed caspase-9 (17). To obtain the related \( k_{on} \) and \( k_{off} \) rate constants, we conducted sensitivity analyses with both APOPTO-ALL and APOPTO-DIM, aiming to reproduce experimental data on caspase-3-dependent substrate cleavage in HeLa cervical cancer cells.

In HeLa cells, caspase-3 was reported to fully cleave recombinantly expressed FRET substrates within 15 min of MOMP (41–43). From the sensitivity analysis using the APOPTO-ALL implementation, a \( k_{off} \) value of 2 min\(^{-1}\) with a corresponding \( k_{on} \) of 2.85 (\( \mu M \times \text{min}^{-1} \)) was determined as optimal to replicate these substrate cleavage profiles, quantitatively and kinetically (Fig. 3, A and B). APOPTO-ALL also replicated a previously described XIAP threshold concentration (41) at which caspase-3 substrate cleavage and apoptosis execution are efficiently suppressed (Fig. 3C).

We next repeated the sensitivity analysis with the APOPTO-DIM model implementation. Surprisingly, the analysis failed to determine a suitable pair of rate constants because no \( k_{on}/k_{off} \)
pair could provide the experimentally described rapid cleavage kinetics of effector caspase-3 substrates (Fig. 4A). Within 15 min of MOMP, no more than 25% of the substrate was calculated to be cleaved (Fig. 4B), indicating insufficient amounts of active caspase-9 early upon apoptosome formation. Rather than excluding caspase-9 homodimerization as a molecular mechanism required for activation on the apoptosome, we investigated whether the APOPTO-DIM parameterization could be modified justifiably to replicate experimental data. To accelerate caspase-9 activation, we allowed binding of procaspase-9 also to active Apaf-1 monomers and Apaf-1 oligomers smaller than the heptameric apoptosome backbone (additional reactions are listed in supplemental Tables 9 and 10).

Procaspase-9 monomers therefore could also homodimerize at earlier times on Apaf-1 oligomers ranging from dimers to hexamers. However, the acceleration of caspase-9 activation was insignificant (data not shown). Next, we therefore considered a tighter binding of procaspase-9 to the apoptosome by modifying the $K_d$ value itself. Strikingly, the $K_d$ had to be reduced 10-fold from the experimentally determined value to obtain the condition where rapid and full substrate cleavage was achieved (Fig. 4C). With a $k_{on}$ value of 0.2 min$^{-1}$ and a corresponding $k_{off}$ of 2.86 (µM × min)$^{-1}$, it was possible to reproduce caspase-3 substrate cleavage kinetics for HeLa cell conditions (Fig. 4D) as well as the reported XIAP threshold behavior (Fig. 4E).

**FIGURE 3.** Mathematical modeling of allosteric caspase-9 activation replicates experimental data on apoptosis execution signaling. A, using the APOPTO-ALL variant of the mathematical model, comprising the entire signal transduction network from initiation of apoptosis execution to the resulting substrate cleavage, a local sensitivity analysis was conducted to identify a $k_{on}/k_{off}$ pair for the interaction of caspase-9 with the apoptosome. Calculated kinetics for caspase-3-dependent substrate cleavage were plotted against time. B, for the optimal $k_{on}/k_{off}$ pair, the substrate cleavage kinetic by effector caspase-9 was calculated for conditions found in HeLa cervical cancer cells. For comparison, previously reported experimental data (41) are shown in gray and demonstrate that the simulation results closely correlate with in cellulo signaling kinetics. C, XIAP concentrations were screened in APOPTO-ALL to identify whether a previously reported threshold concentration at which substrate cleavage at HeLa cell conditions becomes submaximal and sublethal (41) can be replicated. cyt-c, cytochrome c.
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A. $K_D$ for Pro-caspase-9 binding to Apoptosome = 0.7 μM

B. 100
   90
   80
   70
   60
   50
   40
   30
   20
   10
   0

Substrate cleavage [%]

Time after cyt-c release [min]

C. $K_D$ for Pro-caspase-9 binding to Apoptosome fitted = 0.07 μM

D. 100
   90
   80
   70
   60
   50
   40
   30
   20
   10
   0

Substrate cleavage [%]

Time after cyt-c release [min]

E. Residual substrate cleavage measured experimentally

$X$AP concentration [μM]

Time after cyt-c release [min]
In summary, APOPTO-ALL, the systems model of apoptosis execution, which assumes a mechanism of allosteric caspase-9 activation, replicated experimental data on substrate cleavage by effector caspase-3 and on the XIAP cell death threshold, quantitatively and kinetically. In contrast, APOPTO-DIM required a considerable fitting of the procaspase-9/Apaf-1 affinity (APOPTO-DIMfit) to replicate experimental data on apoptosis execution signaling.

Mathematical Simulations of Allosteric Caspase-9 Activation Replicate Experimental Data on Procaspase-9 Processing—To further investigate which of the two model implementations provides biologically more meaningful results, we determined the profiles of procaspase-9 processing that result from scenarios of allosteric (APOPTO-ALL) and dimerization-based (APOPTO-DIMfit) caspase-9 activation and compared these with experimental data. The reported half times for procaspase-9 cleavage in cytochrome c activated native cell extracts range from 4 to 10 min (15, 36, 37, 44). The half-time of procaspase-9 processing in the APOPTO-ALL model variant was determined as 8 min, therefore falling into the experimentally determined range (Fig. 5A). When investigating procaspase-9 processing in APOPTO-DIMfit, we found that procaspase-9 processing proceeded significantly slower ($t_{1/2} = 18$ min) than reported experimentally (Fig. 5B). Therefore, APOPTO-DIMfit failed to reproduce experimental kinetics of procaspase-9 processing, whereas the molecular mechanism of allosteric caspase-9 activation, implemented in APOPTO-ALL, provides kinetics of procaspase-9 processing which match experimental findings. We furthermore conducted a sensitivity analysis to determine the influence of the procaspase-9 concentration on its own processing. Although 10-fold changes in the procaspase-9 concentration did not notably influence its half-

**FIGURE 4.** Mathematical modeling of dimerization-based caspase-9 activation requires substantial parameter fitting to replicate experimental data on apoptosis execution signaling. A and B, using the APOPTO-DIM variant of the mathematical model, comprising the entire signal transduction network from initiation of apoptosis execution to the resulting substrate cleavage, a local sensitivity analysis was conducted to identify a $k_{on}/k_{off}$ pair for the interaction of caspase-9 with the apoptosome. Calculated kinetics for caspase-3-dependent substrate cleavage for protein expression profiles found in HeLa cells were plotted against time. Simulation results demonstrate that rapid and complete substrate cleavage could not be replicated. C, as described in A, a local sensitivity analysis was conducted for a $K_d$ 10-fold lower than the experimentally reported value. The adjustment the $K_d$ value promoted a more rapid and complete substrate cleavage. D, from the sensitivity analysis conducted with an adjusted $K_d$ value (as shown in C), an optimal $k_{on}/k_{off}$ pair could be identified that allowed to replicate experimentally measured substrate cleavage kinetics and amounts. E, XIAP concentrations in the fitted APOPTO-DIM variant were screened to identify whether a previously reported threshold concentration at which substrate cleavage at HeLa cell conditions becomes submaximal and sublethal can be replicated. cyt-c, cytochrome c.
time in APOPTO-ALL, such changes significantly affected procaspase-9 half-times during homodimerization based activation (APOPTO-DIMfit) (Fig. 5, C and D). Given the consistent findings of procaspase-9 half-times in the minutes range in various experimental systems (15, 36, 37, 44), an allosteric procaspase-9 activation mechanism may therefore be favored over a homodimerization-based mechanism.

**Mathematical Simulations of Allosteric Caspase-9 Activation Replicate the Molecular Timer Function of the Apoptosome—** The apoptosome functions as a molecular timer, which manifests in a transient capacity to process and activate procaspase-3 in *in vitro* apoptosome reconstitution experiments (17). This has been attributed to caspase-9 turnover on the apoptosome, where processed caspase-9 is released and then replaced continuously by procaspase-9. Once the pool of procaspase-9 becomes depleted, procaspase-3 activation becomes inefficient (17).

We implemented the *in vitro* experimental conditions used by Malladi *et al.* (17) in our *in silico* models (see "Experimental Procedures" and supplemental Table 11) and then tested whether APOPTO-ALL or APOPTO-DIMfit can replicate the molecular timer function of the apoptosome. In these experiments, cytochrome c, dATP, Apaf-1, and procaspase-9 were preincubated to allow for apoptosome formation and caspase-9 activation. Procaspase-3 was added after defined times (5, 10, 20, and 30 min) (Fig. 6A), and the resulting caspase-3 activity was determined. Using the APOPTO-ALL model variant, we found that delays in procaspase-3 addition resulted in reduced amounts of caspase-3 activity (Fig. 6B). These results closely correlated with the reported experimental data (see also reproduced data displays in Fig. 6A) (17). Repeating these simulations in presence of an uncleavable procaspase-9 mutant highlighted that the molecular timer function was abolished (Fig. 6C), an effect that can be attributed to the retention of procaspase-9 at the apoptosome. Again, the elimination of the timer function was indeed reported previously for conditions in which an uncleavable procaspase-9 mutant was used (see also reproduced data displays in Fig. 6A) (17). In contrast, dimeriza-
tion-based caspase-9 activation, implemented in APOPTO-DIM$_{fit}$, failed to replicate the reported experimental results (Fig. 6, D and E). Therefore, only the allosteric caspase-9 activation mechanism, implemented in APOPTO-ALL, allowed us to quantitatively and kinetically replicate the catalytic timer function of the apoptosome.

**Finding and Modeling Dimerization-based Caspase-9 Activation with Catalytic Site Adjustment Fails to Replicate Half-times of Procaspase-9 and the Apoptosome Molecular Timer**—Crystallization showed that the processed caspase-9 dimer possesses only one catalytic site (18). Because the catalytic mechanism of caspases is highly conserved within this protease family and because all crystal structures for other caspases show two catalytic sites in a conformation compatible with substrate binding and cleavage, it could be argued that the inactive catalytic site reported for caspase-9 may represent an intermediate in which one catalytic site was trapped in an inactive conformation. We therefore repeated all simulations with a variant of APOPTO-DIM in which two catalytic sites were assumed for dimerized caspase-9.

Similar to results reported for APOPTO-DIM in Fig. 3, also the variant with two catalytic sites per caspase-9 dimer initially failed to replicate experimental data on rapid and efficient apoptosis execution (Fig. 7, A and B). The $K_a$ of procaspase-9 binding to the apoptosome had to be adjusted to $\sim$30% of its experimentally determined value before a $k_{off}/k_{on}$ pair could be identified that allowed to replicate apoptosis execution kinetics (Fig. 7, C and D). As for APOPTO-DIM$_{fit}$ (Fig. 3), also for this variant of APOPTO-DIM (termed APOPTO-DIM$_{fit2X}$), we implemented that irrespective of the binding site on the apoptosome, caspase-9 molecules instantaneously dimerize with 100% efficiency. Our simulations therefore reflect overestimation limits for the efficacy of a biological system that builds on dimerization-based caspase-9 activation.

As for APOPTO-DIM$_{fit}$, also APOPTO-DIM$_{fit2X}$ could replicate submaximal substrate cleavage for elevated XIAP concentrations (Fig. 7E) but failed to reproduce experimentally described half-times of procaspase-9 (Fig. 7F). Analyzing the molecular timer function demonstrated that APOPTO-DIM$_{fit2X}$ calculated considerable substrate cleavage rates at $\sim$30 min after apoptosome formation ($\sim$55 or 60% of the starting value) (Fig. 7G), whereas the experimentally reported half-time was $<10$ min (17). Uncleavable procaspase-9 remained stably bound to the apoptosome (Fig. 7H). Taken together, these results therefore demonstrate that while APOPTO-DIM$_{fit2X}$ performs better than APOPTO-DIM$_{fit}$, not all key features of apoptosis execution could be replicated. Simulations building on the assumption of an allosteric activation mechanism (APOPTO-ALL), without additional model fitting, instead replicated all tested characteristics of apoptosis execution signaling.

**DISCUSSION**

Here, we report the first systems biological model of apoptosis execution that entails all key signaling events of apoptosome formation and caspase-9 activation. Our simulations demonstrate that linking the individual reactions involved in Apaf-1 activation and oligomerization, parameterized based on available experimental data, is sufficient to accurately replicate apoptosome formation quantitatively and kinetically. Our study also provides strong support for an allosteric activation mechanism for procaspase-9 on the apoptosome backbone, therefore challenging the prevailing concept that all initiator caspases are exclusively activated by homodimerization.

Even though apoptosis signal transduction has been investigated comprehensively by mathematical modeling (45–47), details on the process of apoptosome formation have typically been omitted from such models. Instead, direct inputs of active caspase-9 or 1:1 interactions between Apaf-1 and procaspase-9 served as triggers to investigate the interplay of caspase-9, XIAP, Smac, and executioner caspases and to understand how this interplay controls apoptotic cell death decisions (41, 43, 48, 49). Prior modeling studies omitted details on apoptosome formation for justifiable reasons and at the cost of not being able to investigate caspase-9 activation mechanisms. First, biochemical data of sufficient quality and quantity to meaningfully simulate and analyze apoptosome formation by systems modeling were not available at the time. Second, although in most investigated cell lines, typically only 15–20 min are required for apoptosis signaling to proceed from MOMP to the completion of substrate cleavage by effector caspases (40 – 43, 50), the majority of Apaf-1 oligomerization and procaspase-9 processing occurs within the first 1–2 min following MOMP (35, 37). Because the kinetics of mitochondrial cytochrome c release, which can readily be measured in living cells (51), resemble the kinetic of apoptosome formation, this allowed to circumvent the need for a detailed implementation of apoptosome formation and caspase-9 activation. Third, the high number of intermediate oligomeric fractions between cytochrome c, dATP/ATP and Apaf-1 prior to formation of the heptameric apoptosome backbone would have introduced a significant combinatorial complexity into prior mathematical models. Indeed, in our study a total of 61 core reactions describe 222 reactant entities to capture the formation of the Apaf-1 heptamer and the subsequent activation and regulation of caspases. In contrast, only 51 reactions and 23 reactants were necessary to describe apoptosis execution when omitting apoptosome formation (41). Our implementation of the core reactions of apoptosome formation, their parameterization with published rate constants, and the determination of additional rate constants from published biochemical data were sufficient to calculate apoptosome formation quantities and kinetics that replicated experimental measurements without further parameter optimization or model training. This indicates that our simulations provide biologically meaningful insight into the complexity of this signaling process and also provides the possibility to study scenarios in which apoptosome formation or procaspase-9 recruitment may be physiologically or pathophysiologically suppressed (52–54).

The question of whether caspase-9 is activated by homodimerization or instead by an allosteric activation mechanism on the apoptosome backbone has been asked repeatedly (20 – 22, 25, 55). We therefore investigated the plausibility of both mechanisms in the context of the overall signaling system and found that only an allosteric activation mechanism can replicate all tested characteristics of apoptosis execution signaling without further parameter fitting. These included apoptosis

FIGURE 7. Fitting and modeling dimerization-based caspase-9 activation with catalytic site adjustment. A and B, using an APOPTO-DIM (APOPTO-DIMfit2X) model variant, modified to reflect two catalytic sites per caspase-9 dimer, a local sensitivity analysis was conducted to identify a \( k_{on}/k_{off} \) pair for the interaction of caspase-9 with the apoptosome. Calculated kinetics for caspase-3-dependent substrate cleavage were plotted against time and demonstrate that experimental results on rapid and complete substrate cleavage could not be replicated. C and D, as described in A and B, a local sensitivity analysis was conducted with a \( K_d \) of \(-30\%\) of the experimentally reported value. The fitting of \( K_d, k_{on} \) and \( k_{off} \) allowed to replicate rapid and complete substrate cleavage. E, XIAP concentrations in the fitted model variant were screened to identify whether a previously reported threshold concentration at which substrate cleavage at HeLa cell conditions becomes submaximal and sublethal can be replicated. F, APOPTO-DIMfit2X failed to replicate experimentally reported half-times of procaspase-9. G and H, As in Fig. 6, the time-dependent capacity to activate caspase-3, as calculated by APOPTO-DIMfit2X, is shown for conditions in which procaspase-9 (D) or uncleavable procaspase-9 (E) was used. cyt-c, cytochrome c.
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execution kinetics, apoptosis suppression by XIAP, procaspase-9 half-times, and the molecular timer function of the apoptosome. Our findings therefore contradict the currently prevailing opinion that all initiator caspases are activated by homodimerization on specific activation platforms. The notion that caspase-9 homodimerization is a prerequisite for activation substantially builds on experimental conditions in which procaspase-9 dimerization was promoted artificially and in absence of Apaf-1. For example, the overexpression of procaspase-9 results in its auto-aggregation and activation, both in pro- and eukaryotic cells. Likewise, the stabilization of procaspase-9 dimers in presence of kosmotropic salts results in its activation (20), as does the forced dimerization of procaspase-9 in which the CARD domain was replaced by a leucine zipper domain (21). However, caspase-9 activities obtained in absence of Apaf-1 are ~20-fold lower than those measured in presence of the apoptosome (56), indicating that forced dimerization may result in non-physiological caspase-9 activities. In further support of dimerization-based activation, it was brought forward that procaspase-9 mutants cannot be activated upon apoptosis induction in mammalian cells when amino acid substitutions are introduced into the dimerization interface (20). However, these substitutions may also abrogate the capacity of CARD-recruited procaspase-9 monomers to further interact with the apoptosome backbone, and thereby may prevent the conformational changes that are required for allosteric caspase-9 activation. Finally, it was suggested that allosteric caspase-9 activation could be ruled out as replacing the procaspase-8 death effector domains with the caspase-9 CARD was sufficient to activate caspase-8 on the apoptosome (21). However, this finding provides only information on the propensity of caspase-8 to dimerize rather than excluding that caspase-9 requires the apoptosome as an allosteric activator.

Evidence that may instead support an allosteric activation mechanism for procaspase-9 can be found in the published literature. The initial suggestion that procaspase-9 may be activated allosterically was based on the observation that caspase-9 activity increases significantly in presence of the apoptosome (22, 57), but the possibility that caspase-9 homodimerizes in this setting was not ruled out. However, if dimers form on the apoptosome, these dimers must be very unstable because caspase-9, once released from the apoptosome, is monomeric and inactive (22, 58). In contrast, commercially available caspase-9, recombinantly overexpressed in and purified from Escherichia coli, provides stable activity, suggesting that it may differ from caspase-9 activated in living mammalian cells. Although the apoptosome provides seven binding sites for pro-/caspase-9 monomers through CARD-CARD interactions with Apaf-1 (6), saturating the apoptosome with excess caspase-9 results in activities that reflect only one to two catalytically active sites, as found in two independent studies (17, 21). Although initially this was suggested to be attributable to inefficient apoptosisome formation (21), this could indicate that the apoptosome activates only a fraction of the bound pool of caspase-9 (one to two molecules) rather than triggering efficient caspase-9 dimerization. Stoichiometric data on Apaf-1 and procaspase-9 expression in human cancer cell lines further supports a mechanism of allosteric caspase-9 activation. For example, HeLa cervical cancer cells express ~12-fold higher amounts of Apaf-1 than procaspase-9 (41), indicating that the likelihood of two procaspase-9 molecules to bind side-by-side on the apoptosome is very low. Nevertheless, caspase-9 activation and apoptosis execution is highly efficient in these cells. Similar unfavorable ratios for a dimerization-based activation process were reported in various other cell lines, including MCF-7 breast cancer cells, human colon carcinoma cell lines (HCT-116, Lovo, DLD-1, HT29), and panels of 11 glioblastoma and 11 melanoma cell lines (39, 40, 59). Structural analysis based on electron cryo-microscopy data indicated that the seven Apaf-1 CARD domains may form a flexible procaspase-9 recruitment platform in the center of the apoptosome complex (60). Of note, three-dimensional reconstructions without symmetry restraints indicated that only a single caspase-9 may interact with the apoptosome through the Apaf-1 nucleotide-binding domain, whereas the other caspase-9 molecules are flexibly tethered to the apoptosome backbone. This asymmetry may therefore likewise be indicative of an allosteric activation of procaspase-9 monomers.

Despite our results and the supportive findings described above, we cannot formally exclude the possibility that caspase-9 may also homodimerize on the apoptosome. However, we suggest that such a homodimerization may not be required for the initial activation event and/or that it may occur subsequent to activation. To unequivocally reject a dimerization-based activation mechanism and thereby to confirm a purely allosteric activation mechanism will pose a tremendous experimental challenge, because ultimately it would be required to engineer apoptosomes that possess only a single procaspase-9 binding site. Our study may therefore direct future research to decipher one of the most fundamental processes in apoptosis signal transduction. A deeper understanding of the mechanisms of this process may also assist in directing drug design and intervention strategies for human pathologies that are associated with excessive or unwanted caspase-9-dependent apoptosis, including acute settings such as stroke, but also neurodegenerative diseases, or ototoxicity during platinum drug-based chemotherapy (61–64). Due to the strongly overlapping substrate specificities in the caspase family (65), identifying highly specific inhibitors of caspase-9 may have no prospect of success. Likewise, compounds that interfere with Apaf-1 directly to prevent apoptosisome formation (66) may also negatively affect non-apoptotic roles of Apaf-1 (67, 68). Inhibitors that instead prevent the recruitment of procaspase-9 to the apoptosome or, if required for allosteric activation, the interaction with the Apaf-1 nucleotide binding domain following recruitment (60), could provide a mechanism-based and more specific route toward identifying potent suppressors of apoptotic cell death.

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