Sugar Alcohol Sweeteners as Alternatives to Sugar with Special Consideration of Xylitol

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Abstract
Introduction: Dental caries is a diet-associated disease which continues to be a serious health problem in most industrialized and developing countries. Strategies to maximize caries prevention should automatically consider the use of sugar substitutes. It is important that public health authorities are made cognizant of the availability of new polyol-type sugar substitutes. Review Summary: Clinical studies have shown that xylitol, a natural, physiologic sugar alcohol of the pentitol type, can be used as a safe and effective caries-limiting sweetener. Habitual use of xylitol-containing food and oral hygiene adjuvants has been shown to reduce the growth of dental plaque, to interfere with the growth of caries-associated bacteria, to decrease the incidence of dental caries, and to be associated with remineralization of caries lesions. Numerous public regulatory bodies have endorsed the use of xylitol as a caries-limiting agent. Other sugar alcohols that have been successfully used as sugar substitutes include D-glucitol (sorbitol), which, however, owing to its hexitol nature, normally has no strong effect on the mass and adhesiveness of bacterial plaque and on the growth of mutans streptococci. A tetritol-type alditol, erythritol, has shown potential as a non-cariogenic sugar substitute. Combinations of xylitol and erythritol may reduce the incidence of caries more effectively than either alditol alone. Conclusions: Partial sugar substitution with polyols is an important dietary tool in the prevention of dental caries that should be used to enhance existing fluoride-based caries prevention programmes. The most effective method of conveying this information to the public is through a proper health claim for these alditols in food labelling. The present review summarizes clinical and biochemical aspects of the above three dietary polyols and emphasizes the role of sugar substitution as a potential health-promoting strategy.

Introduction

Following the discovery of the causal relationship between sugar consumption and caries incidence, there has been a substantial need for the promotion of sweeteners
that will assist in the reduction of dental caries. About 25 years ago, the 1986 Report of the Sugars Task Force published by the United States Food and Drug Administration (FDA) concluded that scientific evidence supports the conclusion that ‘current average 90th percentile level of sugar [of US population groups] contribute significantly to caries experience’ [1]. The report by the Task Force continued with the statement that ‘the consumption of sucrose and fermentable carbohydrates facilitates the development of plaque, dental caries, and periodontal disease’. The US Surgeon General’s Report on Nutrition and Health [2] in turn stated that of the 13 leading health problems, dental disorders ranked second in direct cost. A large number of authoritative reviews published before and after the FDA report have essentially reached similar conclusions.

In spite of significant advances made in its prevention, dental caries is still alarmingly prevalent in most geographic locations, making this disease of great social, medical and economic importance. It is therefore necessary that public health policy concerning oral health allow the promotion and endorsement of food ingredients which can contribute to reducing the incidence of dental caries. Strict sugar restriction over an extended period will most likely lead to caries reduction. Additional dental benefits can be achieved if sugar is replaced with safe and non-cariogenic substitutes in confectioneries and related food products. The strategy of sugar substitution should thus be considered and recommended.

The objective of this review is to summarize clinical caries trials carried out with common, simple dietary sugar substitutes, the sugar alcohols (polyols). In manufacturing and metabolic processes, these substances can be derived from their corresponding aldose sugars. Hence, such sugar alcohols can be called alditols. Since the majority of those trials have been carried out with xylitol and D-glucitol (sorbitol), the present treatise will focus on these sweeteners. Because xylitol has received the most attention during the past 40 years, special emphasis will be given to this sweetener. Xylitol is a pentitol-type molecule with special microbiologic and physicochemical properties that are assumed to contribute to caries prevention. D-Glucitol in turn is a hexitol-type bulk sweetener, its molecular structure resembling that of D-glucose. The purpose here is also to report on available public endorsement practices of xylitol-associated limitation of dental caries in various countries. Finally, a four-carbon member of this homologous alditol series, erythritol, will be briefly discussed owing to its great potential as a future sugar substitute of the sugar alcohol nature.

Erythritol has appeared in texts as meso-erythritol and i-erythritol, meso in this case standing for optical inactivity. Normally, erythritol can be used without a prefix. The simple ‘ladder’ structure formulas of these three alditols are shown in figure 1. Discussion of other dietary alditols, such as D-mannitol, galactitol, the arabinitols, D-ribitol, and disaccharide polyols, including palatinit, maltitol and lactitol, will be presented in another context. Palatinit is an equimolar mixture of α-D-glucopyranosyl-1,6-sorbitol and α-D-glucopyranosyl-1,6-D-mannitol, while maltitol and lactitol can be derived from maltose and lactose, respectively.

Xylitol has gained broad success in biomedical and other applications. Therefore, it is appropriate to first provide a concise overview of the uses of xylitol. This will facilitate understanding the dental health-associated position, among other medical uses, of xylitol (and other alditols). Namely, the same physicochemical, biochemical and microbiologic profiles of xylitol will rule, regardless of the target tissues and therapeutic strategies involved. Consequently, excluding purely technoc hemical, pharmaceutical, cosmetic and related applications, several biomedical and nutritional uses and effects of xylitol investigated during the past 40 years are shown in table 1.

The versatility of xylitol becomes immediately obvious. Among the uses shown, dental caries will thus constitute the focus of this review.

The present review was primarily designed for an audience that includes public health authorities, medical practitioners and other health care professionals who need to update their knowledge on sugar alcohol-type sugar substitutes. D-Glucitol, xylitol, and erythritol have been promoted within the dental and food sciences fields as safe and efficacious caries-limiting sugar substitutes that also exert other interesting pharmacologic effects.

The Chemical Profile of Alditols

The simple alditols are crystalline substances varying in taste from faintly sweet (galactitol) to very sweet (erythritol and xylitol, which are almost isosweet with sucrose). These molecules are characterized by the following common sugar alcohol properties:

- Absence of a reducing carbonyl group. This makes the alditol molecules chemically somewhat less reactive than the corresponding aldoses and ketoses. Some alditols thus normally avoid those chemical reactions that make many dietary hexose-based sugars acidogenic and cariogenic in human dental plaque.
Table 1. Selected medical and nutritional uses and effects of xylitol

- Non- and anticariogenic sweetener. Latest comprehensive literature reviews: ref. 3, 4
- Alleviation of xerostomia; generally recognized owing to saliva stimulation
- Decrease of Pseudomonas-based biofilm (with lactoferrin) [5]
- Prolonging of chlorhexidine effect on S. mutans [6]
- As a sweetener in diabetic diets; generally recognized [7–9]
- Energy source in infusion therapy [7]. Extensive research and application history in Germany
- Promotion of endogenous fat mobilization and oxidation [7]
- Studies as an antiulcer agent [10]
- Resuscitation from diabetic coma (early Japanese and German observations)
- Prevention of adrenocortical suppression during steroid therapy [7]
- Increase in auditory threshold values in patients with Ménière's disease [11, 12]
- Therapy of adenosine deaminase deficiency in a form of adult myopathy [13]
- Therapy of glucose 6-phosphate dehydrogenase deficiency in red blood cells (anemia) [14]
- Restoration of heart muscle adenine nucleotide levels [15]
- Increase in the levels of retinol-binding proteins [7]
- Reduction in the incidence of liver and bile duct disorders [7]; older literature shown in ref. 16
- Stimulation of the mixed-function oxidase system [17]
- Prevention of experimental osteoporosis; improvement of collagen and bone properties. Reviewed in ref. 19
- Prevention of some diabetic complications. Rat studies of Knuuttila, Svanberg, Mattila, and others reviewed in ref. 19
- As a protein-sparing and thiamine-sparing agent; stimulation of enteral vitamin synthesis [7, 20]
- Preservation of red blood cells [21]
- Amelioration of drug-induced hemolysis [22]
- Prevention of acute middle ear infections in infants [23–25]
- Antibacterial effect on pneumococcal nasal colonization [26]
- Alleviation of cystic fibrosis condition [27]
- Stimulation of cytokine induction (rat bladder cell lines) [28]
- Reconstitution of integral membrane transport proteins [29]
- Skin care with farnesol (atopic dry skin; Staphylococcus aureus) [30]
- Prevention of cardiac arrhythmias [31]
- Stimulation of pancreatic enzyme secretion [32]
- Anti-tumor effect (increasing host cell metabolism) [33]
- Beneficial effect on the growth of broiler chicks [34]
- Prevention of phenylenediamine-induced hepatotoxicity [35]
- Wound care (inhibition of wound biofilm formation) [36]
- Inhibition of fish oil oxidation (fishy flavor suppression) [37]
- Inhibition of food spoilage micro-organisms [38]
- As a sanitizer (food safety; kitchen hygiene; with free radicals) [39]
- Removal of KL-6 mucin (around cell surface; carcinomas) [40]
- Prevention of cattle ketosis; improvement of udder health [41–47]; lowering of piglet mortality [41, 48, 49] (some observations made using alditol mixtures)
- The reducing power. The sugar alcohol molecules contain ‘extra’ hydrogen atoms that can be deposited on other metabolites, such as coenzymes (e.g. NADP or NAD), and other acceptors to generate chemically reduced products and intermediates of metabolism.
- Complexation. Owing to their polyoxy structure, alditols can form complex compounds (chelate-like structures). From the point of view of tooth mineralization, complexes with calcium ion, hereafter marked as Ca(II), are important. These complexes are not strong enough to contribute to tooth erosion or tooth demineralization. On the contrary, the presence of alditols in whole-mouth saliva and plaque fluid is believed to facilitate remineralization of caries lesions.
- Hydrophilicity. The presence of a large number of hydroxyl groups makes most alditols readily soluble in saliva. The most hydrophilic alditols, such as erythritol, xylitol, and D-glucitol, can compete with water molecules for the hydration layer of biomolecules. These reactions can strengthen the native conformation of salivary proteins and peptides.
- Free radical scavenging. Because of their polyol nature, some alditols, such as D-mannitol, xylitol and erythritol, have been investigated as potential sources of free radical scavenging activity in biological systems.

In spite of the involvement of the above common polyol properties, all alditols also exert specific selective effects on biological reactions in health and disease. It is thus erroneous to regard all alditols as exactly identical in their contributions to biological processes. The differences in the molecular masses (fig. 1) of alditols speak for the existence of important differences also between the biological properties of alditols.

Xylitol is a carbohydrate-like crystalline substance naturally occurring in low amounts in virtually all plants, micro-organisms, and animal tissues. In this alditol molecule, all five carbon atoms of the molecule bind an OH group (fig. 2). The xylitol molecule contains a tridentate ligand (H-C-OH)3. It is this arrangement which reacts with various polyvalent cations and oxyacids in a most likely reversible reaction, forming the above-mentioned complexes or chelates. All simple alditols (pentitols and hexitols) contain a similar arrangement, but the stability of the complexes formed depends on the alditol and on the chemical and biological environment. It is possible that complexation between Ca(II) and xylitol plays a role in tooth mineralization (i.e. reversal of dental caries). Xylitol is roughly as sweet as sucrose. Xylitol causes a cooling effect in the mouth owing to the molecule’s negative heat of solution; when crystalline xylitol is introduced in the
mouth, energy is required to dissolve xylitol in saliva. The required energy is taken from the environment, which produces a cooling sensation. One gram of ingested xylitol provides about two calories in human nutrition. Xylitol has been widely used as sweetener in the diets of diabetic and hyperglycemic subjects.

In the oral salivary milieu, the presence of xylitol stabilizes the calcium phosphate system of saliva, partly mimicking the function of natural salivary peptides, such as statherin and related salivary molecules, whose functions include governing the fate of Ca(II) in saliva and plaque fluid. Statherin is a 43-amino acid polypeptide which is assumed to help provide a protective and stabilized environment for teeth. Statherin is in part responsible for the supersaturation of Ca(II) and inorganic phosphate levels in plaque fluid (at neutrality).

From the point of view of dental caries, however, one of the most important features of xylitol is its pentitol nature, which makes it a poor substrate for cariogenic bacteria, especially mutants streptococci. Oral biologic studies suggest that the chemical profile of xylitol plays an important role in several sialochemical and microbiological processes associated with caries limitation. Several such processes are listed in table 2.

Review of Caries Trials: Effectiveness and Safety of Xylitol

Clinical testing of xylitol commenced at the University of Turku, Finland, and resulted in a series of publications collectively called the ‘Turku Sugar Studies’ [62, 63]. Fig.
Figure 3 summarizes the most important findings of these studies. A 2-year feeding study involved relatively heavy loading with xylitol, D-fructose, and sucrose, and a 1-year trial involved the use of xylitol- or sucrose-sweetened chewing gum. The results showed that substitution of xylitol for sugar reduced caries progression. Several other trials ensued, some of which were carried out under the auspices of the World Health Organization (WHO) (table 3). The validating clinical trials thus continued in the Ylivieska studies in Finland, in the Belize studies in Central America, and in the so-called mother-child studies in Finland, Sweden and Japan. All successfully completed clinical caries trials on xylitol are shown in table 3. One of the latest trials was completed in Kuwait [81]. An example of these caries studies is shown in figure 4. In this case, subjects used sucrose chewing gum over a period of 40 months after which a similar xylitol-sweetened gum was used for a period of 16 months. The high caries activity resulting from sucrose consumption was partly curtailed by subsequent intense xylitol gum use. Several of the studies shown in table 3 have used chewing gum and hard caramels. The percent differences between xylitol treatments and controls shown in table 3 were regarded by the original authors as 'significant'.

The trials shown in table 3 indicated that substitution of xylitol for sugar resulted in impressive caries reduction regardless of the type of xylitol products used. As a result of the completion of the entire confirmatory rounds of testing after the first trials in Turku, all of the important original claims of the dental efficacy of xylitol have been verified by independent dental researchers in long-term clinical trials which have been conducted under varying and challenging conditions. These tests have resulted in an established consensus among scientists all over the world, including public health policymakers, suggesting that sufficient evidence exists to conclude that positive dental benefits result from the use of xylitol as a food component. These clinical trials have been reviewed in

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numerous contexts [e.g. 3, 4, 19]. Examples of animal experiments with xylitol and dental caries were carried out e.g. by Leach and Green [90], Shyu and Hsu [91] and Ha-
venaar et al. [92]. Three major outcomes have resulted from the studies shown in table 3: (1) long-term prote-
c tion; (2) caries prevention beyond existing preventive measures; (3) prevention of intrafamilial transmission of
caries (from mother to child).

Regarding long-term protection, the Ylivieska study (table 3) involved re-examination of the subjects up to 5 years following the end of the treatment period of 2–3 years (fig. 5). The subjects were born in 1970–1971 and were thus 18–19 years old at the last examination in 1989. These examinations demonstrated that the xylitol gum programme produced a long-term benefit, i.e. a significant caries-preventive effect was still observable in 1989 even though habitual xylitol chewing gum use had been discontinued several years earlier [84–86]. A long-term preventive effect was also found in one of the Belize follow-up studies [89].

Caries prevention beyond existing preventive measures was an observation based on the then-official caries prevention strategies observed in Finland during the 1970s and 1980s. The Ylivieska studies were carried out under controlled circumstances that included accepted caries prevention programmes, such as use of fluoridated toothpaste, topical fluoride application, and administration of fluoride tablets. This fluoride-based prevention was implemented under the control and recommendations of the then-State Medical Board of Finland, indicating that the overall use of fluoride did not exceed internationally accepted limits. The studies were thus performed on subjects with low or moderate caries prevalence. In spite of this, the official ‘maximization’ of car-

**Table 2.** Chemical and biologic properties and reactions of xylitol, and their manifestations in oral biologic processes believed to be associated with dental caries formation

|   | **Chemical and biologic properties and reactions of xylitol, and their manifestations in oral biologic processes believed to be associated with dental caries formation** |
|---|---|
| 1 | Pentitol nature Generally non-acidogenic in dental plaque; does not support plaque growth [50, 51] |
| 2 | Complexation Forms complexes with Ca(II), acting as carrier of Ca(II), contributing to remineralization of caries lesions. Also increases absorption of Ca(II) [4, 19] |
| 3 | Protein stabilization Mediated through strengthening of hydrophobic interactions of proteins. Stabilizes α-helix and β-structures against denaturation (such as resulting from heating and loss of solubility during drying). Provides a ‘saliva-friendly’ physicochemical environment [4, 52, 53] |
| 4 | Relationship to S. mutans Decreases the growth of most strains. Affects bacterial ultrastructure, cell envelope, acid production, and formation of extracellular, insoluble dextrans [50, 51, 54, 55] |
| 5 | Overall plaque metabolism Carbohydrate-associated metabolism decreases, nitrogen- and protein-related one increases, i.e. decreases the cariogenicity of plaque [56] |
| 6 | Exploitation of sucrose The activity of plaque and whole-mouth saliva sucrose-invertase levels decrease resulting in reduced glucose levels; less lactic acid is formed. The synthesis of plaque extracellular dextrans and levans is reduced. The amount of soluble polysaccharides is increased. Plaque becomes less adhesive [4, 19, 53, 56] |
| 7 | Base formation Plaque and whole-mouth saliva levels of ammonia and amino acids increase. The latter will undergo deamination further increasing ammonia levels, resulting in partial neutralization of acids formed [19, 53, 56] |
| 8 | Cell envelope of S. mutans Lipopolysaccharide levels decrease, resulting in lowered adhesivity of bacterial cells on tooth surfaces and to each other (lowered colony formation) [54, 57] |
| 9 | Intracellular metabolism of S. mutans Some strains of mutans streptococci transport xylitol with the formation of intracellular xylitol-5-phosphate which interferes with the intracellular bacterial metabolism. Part of the C₅-phosphates is expelled back into the medium (‘futile xylitol cycle’), providing no energetic advantage [58]. Involvement of fructose phosphotransferase systems [59] and xylitol:phospho-enolpyruvate phosphotransferase systems [60] |
| 10 | Other oral organisms Reduction in the numbers of acidic and acidogenic bacteria (such as lactobacilli), and yeasts, has also been reported [61 and references therein] |
### Table 3. Summary of human caries studies on xylitol

| Location of the clinical trial | Product(s) tested         | Duration years | Dose g/day | Caries reduction, comments and references |
|-------------------------------|---------------------------|----------------|------------|--------------------------------------------|
| 1 Finland (Turku)             | Full diet                 | 2              | 67         | >85%. Compared with sucrose diet [62, 63]. Mostly adults |
| 2 Finland (Turku)             | Chewing gum               | 1              | 6.7        | >82%. Compared with sugar gum. 1/10 of the above dosage [62]. Young adults |
| 3 Soviet Union (Kazan)        | Candies                   | 2              | 30         | Up to 73%. Compared with sucrose candies [64] |
| 4 French Polynesia            | Chewing gum               | 3              | About 20   | 58–62%. Compared with normal diet [65]. WHO study |
| 5 Hungary                     | Gum, candies, dentifrice  | 2–3            | 14–20      | 37–45%. Compared with fluoride [66, 67]. WHO study |
| 6 Canada                      | Chewing gum               | 1–2            | 1.0–3.9    | 52% [68]. School programme |
| 7 Finland (Ylivieska)         | Chewing gum               | 7–10           |            | 30–57%. All subjects (no-gum as control)^b [69]. School programme |
| 8 Finland (Ylivieska)         | Chewing gum               | 3              | 7–10       | 59–84%. High-risk subjects^b [69]. School programme |
| 9 Costa Rica                  | Dentifrice + NaF          | 3              | Twice/day  | Up to 12.3%. 10% X in the product [70] |
| 10 Costa Rica                 | Dentifrice + NaFPO₄       | 3              | Twice/day  | Up to 10%. 10% X in the product [71] |
| 11 Belize                     | Chewing gum               | 3.3            | <10.7      | Up to 73%. Permanent teeth^c [72]. School programme |
| 12 Belize                     | Chewing gum               | 2              | <10.7      | Up to 63%. Deciduous teeth^d [73]. School programme |
| 13 USA (Dayton, Ohio)         | Gum, pastilles            | 1.8            | 8.5        | 80%. Supragingival root surface caries. Mostly elderly subjects [74] |
| 14 Estonia                    | Gum, pastilles            | 2–3            | 5          | 50–60%. Used on school days^e [75]. Pastille as effective as gum. School programme |
| 15 Finland                    | Chewing gum (used by mothers) | ca. 1.75 | 6 | 70% (in children). NaF and CH as control [76] |
| 16 Lithuania                  | Chewing gum               | 3              | 2.95       | 21–36% [77]. Rectification of initial results [78]^f |
| 17 Sweden                     | Chewing gum (used by mothers) | 1        | 2          | 'Significant' or 40% (in children) [79, 80]^g |
| 18 Kuwait                     | Hard caramels             | 1.5            | 2.3        | 50%. Läkerol-type hard candies were used [81]^h. Disabled children |
| 19 Finland                    | ‘Slow-release pacifier’   | 1              | 159 mg     | No new dentinal lesions in infants [82]. A mixture of X, sorbitol, and NaF was tested. The pacifier features a pocket for the sweetened tablet |
| 20 Finland                    | ‘Multiple measures’       | About 3.4      | 4.6        | Counselling and the use of fluoride and X products reduced caries p < 0.001 compared with basic prevention [83]^i |

CH = Chlorhexidine; X = xylitol.

^a The percent reductions of caries are in comparison with a control group that received a normal diet, sucrose products or various fluoride treatments. Non-dietary (dentifrice) studies and a programme on ‘multiple preventive measures’ that included the use of xylitol are also shown. The percent reductions shown were classified as ‘significant’ in the original papers referred to.

^b Long-term xylitol effects (after up to 5 years of use) have been reported [84–86]. An independent follow-up study indicated that the total number of new restored surfaces was 4.0 per child in the xylitol group and 9.3 in the controls during the decade after the onset of the initial trial. Participation in the xylitol gum trial resulted in a significant reduction in the number of first restorations and hence in costs during the subsequent decade [87].

^c 16-month use of xylitol gum following the 3.3-year use (over about 40 months) of sucrose gum reduced caries significantly [88]. <10.7 indicates the maximum calculated, supervised use of xylitol at school per day and subject.

^d Two-year use of xylitol gum protected erupting permanent teeth against caries, i.e. long-term effects were involved [89].

^e Saliva stimulants were given only on school days (about 200 per school year). Gums were as effective as pastilles (hard candies of the ‘Läkerol type’; Leaf, Inc.).

^f The authors did not recognize that, in their study, xylitol gum was the only gum that lowered the DMFS increment compared with the no-gum group after 3 years. ‘To still observe a significant caries-lowering effect of xylitol with such a small dosage is quite remarkable’ [78]. The faulty conclusions were rectified [78].

^g In one literature source, the authors reported an 80% reduction between ‘test and control’. When the children were 18 months old, the authors reported that ‘maternal consumption of xylitol- and CH/ xylitol-containing chewing gums significantly reduced the mother-child transmission of salivary mutans streptococci’. This study actually compared a gum with high xylitol content with gums with lower xylitol content, supplemented with either CH or NaF.

^h Xylitol hard candies were given only on school days (one piece of candy at a time, three times a day).

^i The Läkerol Dents brand (Leaf). The products were given to the subjects with instructions ‘to be used according to directions’ (i.e. two pieces of hard candy three times a day). The calculated maximum consumption level of xylitol was about 4.6 g/day.
Prevention of dental caries in children whose mothers had used xylitol during a relatively short period of the infants’ life span has been considered to underline the ‘transmissible and infectious’ nature of dental caries [76, 79, 80]. New mother-child studies, following those completed in Finland [76], Sweden [79, 80], and Japan [93], have been initiated. Some of the trials include examination of the otitis media status of infants. ‘Transmission’ and ‘infectivity’ are terms used by authors of the above original publications.

The safety of xylitol has been thoroughly studied in humans. The worldwide scientific literature and experiences based on toxicological and nutritional studies, and long-term consumption of xylitol for dietary and diabetic purposes, support a consensus on the safety of xylitol. The safety profile of xylitol has sometimes been compared with that of D-glucitol. Numerous published reports confirm the comprehensive scope of xylitol safety data accumulated over the years. One example of a safety study in humans was conducted in the original Turku study [62] subjects who, during 1972–1974, consumed relatively large daily quantities of xylitol (about 67 g). Several years later, in 1978, the general health status of all participants in the study was re-examined. In addition to the re-examination, a subgroup of ‘chronic xylitol users’ who had consumed considerable quantities of xylitol daily since 1972, were subjected to a xylitol loading test. These results can be summarized by stating that there were no pathological findings observed in blood and urine chemistry analyses, and no undesirable, long-term side-effects were found as a result of the consumption of large quantities of xylitol. These studies have been described [94] and were also included in a 1986 United States FDA-commissioned report on the safety of sugar alcohols and lactose [95]. Following the above studies, the WHO Expert Committee on Food Additives contended that ‘no additional toxicological studies were recommended’ [96]. Other resolutions concerning the safety of xylitol have been reviewed [97].

The voluminous literature on the role of fluorides in caries prevention has shown, on average, caries reduction percentages similar to those achieved in polyol studies (i.e. 10–60%), with great variation depending on the source of fluoride, i.e. whether naturally present in drinking water and food, or added to water, salt, flour, dentifrices and related products. In view of the present topic, it may be more relevant to compare the effect of xylitol and fluorides when both have been used in the same study. Accordingly, the WHO field trials in Hungary [66, 67] and French Polynesia [65] showed that substitution of xylitol for sugar in confectioneries resulted in more effective caries prevention than in fluoride-using control groups, the percentage differences amounting to 38–45 and 58–62, respectively, in favour of xylitol. The recent mother-child studies showed that maternal use of xylitol gum prevented caries in infants more effectively than topical fluoride varnish treatments [76]. It is possible that combinations of fluorides and xylitol (or erythritol, vide infra) will effectively prevent dental caries.

**Non-Specific versus Specific Xylitol Effects**

Several studies have suggested that substitution of xylitol for sugar can lead to remineralization (rehardening) of caries lesions. Remineralization and rehardening...
are terms that have been employed to describe the type of caries arrest observed in clinical xylitol programmes and laboratory tests. Several studies suggest that xylitol can exert specific effects on dental caries not shown by hexitols. Authors who have negated the existence of specific xylitol-associated effects in caries reduction have claimed that caries reduction observed after xylitol use can be explained in terms of the following passive xylitol effects:

- Involvement of mere salivary effects, i.e. the increase in salivation associated with consumption of sweet items constitutes the only factor that explains the clinical observations made with xylitol.
- Mere partial removal of a caries-inductive agent (notably sucrose) from the diet and replacing it with an essentially non-fermentable one (xylitol) explains the observed caries reduction. In the presence of xylitol, the cariogenic organisms are thus merely deprived of their normal growth substrate. The growth of dental plaque and the progression of caries will reduce only as a result of partial removal of the cariogenic challenge.

The above passive xylitol effects naturally constitute an important aspect of xylitol-associated caries prevention and would even as such fully justify the promotion of xylitol as a caries-reducing agent. The scientific review papers and professional evaluations thus far published have not denied this fact. Scientific literature is, however, replete with observations that also support simultaneous involvement of active, specific xylitol effects that operate even in the presence of fermentable hexose-based carbohydrates, i.e. in situations where a strong cariogenic challenge is present. In the Turku Sugar Studies [62, 63], sucrose and xylitol chewing gums differed significantly from each other in their caries-limiting ability in a situation where the salivary involvement (i.e. the chewing effect) was regarded as similar in both study cohorts. The Belize studies [72, 73] and preceding animal experiments [90–92] also support the idea of specific xylitol effects; xylitol was found to prevent dental caries even in the presence of a strong cariogenic challenge and was more effective than D-glucitol.

Some of the physicochemical properties of xylitol mentioned above elucidate the complex scientific background that is assumed to lie behind the clinical xylitol effects reported in the literature. All dental xylitol studies have not, however, reached positive clinical and oral biologic findings. Long-term field experience has shown that in most cases failure to demonstrating such effects can be explained in terms of the following features of the studies in question:

- Use of caries-resistant study cohorts or cohorts with extremely low caries experience.
- Use of too small study cohorts.
- Use of too low concentrations of xylitol in experimental products.
- Use of too short intervention. Low caries experience presumes longer intervention.
- Use of too short or too infrequent exposure to xylitol.
- Simultaneous use of other caries-limiting agents and strategies (such as fluorides).
- Use of too insensitive analytical or diagnostic procedures.
- Use of a single analytical procedure (such as total protein or total nitrogen determination) to assess oral biologic parameters (such as plaque growth). Gravimetric, clinical (plaque index), microbiological, biochemical, and other methods should be used simultaneously.

Involvement of caries-resistant study cohorts constitutes an important problem. In counties like Finland where the popular use of xylitol chewing gums nears 100% (the market share of sugar gums may currently be less than 1%), it will be difficult if not impossible to conduct clinical xylitol studies on xylitol; most young subjects use xylitol habitually. If caries activity is also low, any new preventive strategy may not easily reveal its potential. Erythritol, whose chemical mechanism of action in caries prevention may drastically differ from that of xylitol, may, on the other hand, add to the efficacy of traditional prevention in populations with an even relatively well-controlled caries situation. It is obvious that the duration of polyol-based interventions must be increased as well.

A recommended practice is to use 6–7 g of xylitol daily, preferably in 3–5 separate chewing/sucking episodes, preferably after main meals and sugary snacks. Regarding oral biologic measurements such as plaque growth, plaque microbiology, and the chemical composition of saliva and plaque, experiments lasting from a few days to several months or even several years have been implemented. Regarding dental caries outcomes, trials that last several years are recommended. A particular dilemma has indeed been occasioned by studies that have been based on a single plaque assessment procedure (such as protein determination, which can lead to erroneous conclusions) since consumption of xylitol seems to increase the nitrogen- and protein-related metabolism of dental plaque while simultaneously decreasing plaque mass, volume and adhesiveness.

In addition to chewing gum, other xylitol-containing products have also been available. Some of them, along with remarks concerning their possible advantages and
disadvantages, are shown in Table 4. Special patient groups may benefit from the use of xylitol. Examples of such cohorts are shown in Table 5.

**Synergism between Xylitol and Other Dental Health Adjuvants**

The ability of various physical and chemical agents to enhance their effects when they are applied simultaneously with each other is well known. An early observation on reduced salivary fluorine levels determined with a fluoride-sensitive electrode in the whole-mouth saliva of xylitol-consuming subjects and an opposite trend in the saliva of sucrose-consuming subjects [56; Table XI of the paper] prompted the present author to predict, at the turn of the 1970s and 1980s, that there might be a synergistic effect of ionizable fluorine (‘fluoride’) and xylitol in caries limitation (published as part of commercial advertising of the then-Xyrofin AG, Bar, Switzerland). Although this assumption met criticism, it was considered plausible owing to the complexation between xylitol and metal cations (including calcium, see above); interaction between xylitol and fluoride (or at least concerning their effects on caries-inductive bacteria and reactions with hydroxyapatite) was regarded as a possibility. Synergism was possible also owing to the known affinity between Ca(II) and F⁻. The fluoride ion may turn out to be ineffective under certain conditions: Hamilton and Ellwood [98] suggested that Streptococcus mutans (strain Ingbritt) possesses at least two glucose transport systems, one of which is relatively fluoride insensitive. It would be necessary to investigate the effect of added xylitol on these transport systems.

Further indirect or partly direct indications of synergy between the effects of anti-plaque agents and F⁻ on enamel demineralization can also be found in Arends et al. [99], Luoma et al. [100] and Meurman [101].

In 1992, Rogers and Bert [102] published their study on the cells of S. mutans that were pulsed with either D-glucose or xylitol under pH free-fall conditions. Fluoride had little effect on the response of the organism to D-glucose until the culture pH fell to about 5.0, at which point lactic acid production was reduced about threefold. The effect of xylitol was most marked in the presence of fluoride, i.e. the pH did not fall below 5.0 and only 50% of added D-glucose was utilized. The authors suggested that xylitol augmented the metabolic effects on S. mutans of low levels of fluoride. About 13 years later, Maehara et al. [103] published their revealing experiments on synergistic inhibition by combination of fluoride and xylitol on glycolysis by mutans streptococci. In these studies, cells of S. mutans NCTC10449 and S. sobrinus 6715 were tested for acid production from D-glucose under anaerobic conditions in the presence of 0–6.4 mM F⁻ and/or 60 mM xylitol. Their combination inhibited acid production

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**Table 4. Examples of xylitol-containing consumer products used in caries limitation**

| Product                        | Remarks                                                                 |
|--------------------------------|-------------------------------------------------------------------------|
| 1 Chewing gum                  | Renders effective mastication; salivation increases. Limited mechanical cleansing (mild plaque-reducing effect); simultaneous use of fluorides and other adjuvants may increase efficacy. Drawback: unused gum constitutes a refusal |
| 2 Pastilles, tablets, dragées  | Stimulation of saliva. Suitable for patients with occlusal problems. Fully soluble (no gum refuse results). Concerns chewable tablets in general |
| 3 Dentifrice                    | Simultaneous mechanical cleansing. Normally non-caloric use (infants may swallow dentifrice); facilitates several additive effects of other ingredients such as fluorides, detergents, inorganic and organic calcium and phosphate salts, pharmaceuticals |
| 4 Mouthwashes, sprays, gels, ‘artificial saliva’ | Normally non-caloric use, normally shorter treatment time. Simultaneous use of detergents, fluorides inorganic and organic salts, pharmaceuticals is possible. Gel can be used with a custom-made mouth piece (effective in fissures). Saliva substitutes can be used, for example, by xerostomic patients |
| 5 Pacifier                      | After preliminary experiments and clinical trials, now receiving more attention as a slow-release mechanism of xylitol dosing in infants (using a perforated nibble or a pocket in which a xylitol tablet can be inserted for gradual dissolution by saliva). Simultaneous positive impact on middle ear infection control, resulting in decreased antibiotic prescription |
more effectively than \( F^- \) or xylitol alone. In the presence of both agents, the production of lactic acid decreased while that of acetic acid and formic acid increased. It was suggested that xylitol inhibited the ‘upper’ part of the glycolytic pathway, while \( F^- \) inhibited the ‘lower’ part.

Additional support to the above concept was obtained in the theoretical evaluations of Petin et al. [104]. They presented a mathematical model to describe, optimize and predict a synergistic interaction between fluoride and xylitol on acid production of mutans streptococci. The model suggests that synergism is caused by the additional effective damage arising from an interaction of sublesions induced by each agent; the sublesions are considered ineffective when each agent is used individually.

Other studies have shown that usage of xylitol prolonged the effect of chlorhexidine therapy on oral mutans streptococci [6], suggesting that an enhancing effect of xylitol was involved. The findings of Kim et al. [105] suggested that cationic peptides alone or in combination with chlorhexidine or xylitol might prove effective for the inhibition of the growth of cariogenic streptococci. The peptides included gaegurin 6, an animal-derived cationic peptide, and two of its derivatives. Synergy between the effects of chlorhexidine and xylitol was also discovered in the studies of Decker et al. [106]. In another dental field, observations such as those by Han et al. [107] demonstrating xylitol to inhibit inflammatory cytokine expression induced by Porphyromonas gingivalis deserve attention. It is possible that new studies will disclose several synergistic effects of dental health adjuvants.

Synergy between oral health adjuvants and oral micro-organisms is not in any way unusual. Numerous examples, in addition to the above xylitol-associated instances, have been published. Sanguinarine and Zn(II) exhibited synergism in their effects on oral streptococci and Actinomyces species [108]. Sanguinarine (pseudochelerythrine) can be derived from Sanguinaria canadensis and other Papaveracea species. Chlorhexidine and Cu(II) exerted a synergistic, growth-inhibitory effect on S. mutans, Actinomyces viscosus and Actinomyces naeslundii, the streptococcus displaying the highest sensitivity [109].

Partially related to synergy is the way that bacterial transport can concern xylitol and \( D^- \)-fructose. Laboratory experiments at Turku Dental School in the late 1970s revealed that, in the presence of \( D^- \)-fructose, the cells of S. mutans (strain Ingbritt) altered the response to xylitol: pH of cultures decreased and the cells grew well. Subsequent research showed that both substances can share the same transport mechanism. Expression of two genes of

Table 5. Consumer groups that may benefit from the use of xylitol-containing oral care products

| No. | Consumer Group |
|-----|----------------|
| 1   | Expectant mothers and mothers during children’s first years of life. Other family members and nurse-maids in intimate contact with infants |
| 2   | Children attending day-care centres and kindergartens (emphasis on early caries prevention) |
| 3   | Elderly subjects (gum products may be replaced by pastilles, lozenges, dragées) |
| 4   | Orthodontic patients. Xylitol gums that do not damage orthodontic appliances are available |
| 5   | Certain workers (at bakeries, and confectionary and car battery factories) |
| 6   | Athletes (sports beverages may contain Ca-chelating fruit acids and fermentable sugars). Prolonged (30 min) salivary stimulation by xylitol gum-chewing after an erosive attack can reduce dental wear |
| 7   | Dry-mouth patients (Sjögren’s syndrome and other hyposalivation conditions) |
| 8   | Disabled subjects. Disabilities may include mental problems, lack of dexterity, and other disabilities |
| 9   | Hospital patients. In long-term hospitalization, care should be taken to avoid causing oral health problems by restricting the administration of sugary medication |
| 10  | Patients with impaired glucose tolerance |
| 11  | Diabetic and hyperglycemic subjects |
| 12  | Patients with allergies to certain cereal products (starch syrups may contain traces of wheat) |
| 13  | Patients with regurgitation (frequent regurgitation may cause dental erosion) |
Arabidopsis thaliana pollen and xylem cells in Saccharomyces cerevisiae revealed that these proteins catalyze the energy-dependent, high-capacity transport of D-fructose and xylitol [110]. Consequently, the same D-fructose-xylitol-utilizing mechanism seems to be present in plants and micro-organisms. These findings also suggest that combinations of xylitol and D-fructose should not be used in confectioneries or other food items; their habitual use may increase the incidence of caries. However, no long-term clinical study has investigated the caries activity of subjects receiving mixtures of xylitol and D-fructose. A preliminary 5-day study carried out in the early 1970s at this laboratory indicated that a 50:50 mixture of xylitol and D-fructose reduced plaque growth almost to the same extent as xylitol alone.

Public Health Evaluation of Xylitol

It is imperative that public health policy concerning oral health allows the promotion of food and food components that can assist in reducing oral diseases. Clinical studies have demonstrated that the use of xylitol sweetener in oral care gum products can significantly reduce the incidence of dental caries and may also alleviate gingival and periodontal infections. Public health evaluation of xylitol-containing gum products has been carried out during the past 30 years. This evaluation process has resulted in recommendations for use of xylitol in publicly supported caries prevention programmes. Endorsing organizations have included professional dental associations, non-profit organizations and government departments. Notably, Finnish public health centres have participated in the dissemination of xylitol-related infor-
mation to patients (table 6). Nordblad et al. [114] and Honkala et al. [116, 117] have published surveys on the consumption pattern of xylitol chewing gum among Finnish adolescents. Since the mid-1970s, these subjects have made up a young cohort that has engaged – even when viewed globally – in the most habitual use of xylitol gum as part of a caries prevention strategy. Table 7 in turn gives examples of institutions that have recommended the use of xylitol chewing gum in caries prevention in various other countries. Emphasis is here on xylitol since it is, at this stage, the only bulk sweetener present in oral care gums that has earned world-wide public recommendations as a caries-limiting agent.

### Results from Caries Trials on D-Glucitol (Sorbitol)

Clinical caries trials carried out on D-glucitol are summarized in table 8. Some earlier studies suffered from scant information on experimental details, such as the consumption level of D-glucitol. In some studies, the chewing episodes of D-glucitol-containing gum exceeded 5 min, extending even up to 30 min in some trials. In most other successfully completed later trials 5-min chewing has been found to provide more meaningful results (owing to the possibility that prolonged salivation may mask desired pharmacologic alditol-based direct effects). Nevertheless, it is self-evident that D-glucitol has significantly reduced the incidence of caries when compared with sucrose. When compared with xylitol, the efficacy seems to be weaker. This may be understandable against the known microbiologic and molecular parameters of D-glucitol, which normally stimulates the growth of some strains of mutans streptococci, normally has no effect on the growth of dental plaque, and is, by virtue of its ‘glucose-polyol nature’, readily convertible to glucose and fructose which can serve as substrates of cariogenic, plaque-building organisms in dental plaque. Mixtures of xylitol and D-glucitol do exert positive dental effects. Studies have shown that the more xylitol the mixtures contain, the stronger the caries reduction. At the same time, more significant decrease of plaque mass and more distinct lowering of oral counts of cariogenic bacteria have been observed. Studies have also suggested that human dental plaque can become adapted to D-glucitol.

### Erythritol, a Potential Sugar Substitute

Erythritol is a natural sugar alcohol of the tetritol type, indicating that the molecule has four hydroxyl groups. Erythritol has been approved for use in the United States and throughout much of the world. The non-cariogenicity of erythritol was first investigated in rats [124]. Based on the determination of the presence of risk factors (especially dental plaque mass and growth of mutans streptococci), erythritol has been regarded as non-cariogenic in humans [124–127]. Erythritol seems to inhibit the growth of certain mutants streptococci strains by a mechanism that differs from that of xylitol (fig. 6). Normally, xylitol-associated inhibition of growth appears throughout the growth. Erythritol also inhibits the growth of mutans streptococci. As figure 6 shows, the growth of S. mutans 267-S was most distinctly affected by erythritol during later growth phases. Figure 6 represents a case with insignificant growth inhibition caused by xylitol; normally, xylitol-associated inhibition of growth appears throughout the growth. Erythritol also inhibits the growth of several other oral streptococci. These results also suggest that certain combinations of erythritol and xylitol may turn out to be potentially caries limiting. The gastro-intestinal tolerability of erythritol even in infants and its essentially non-caloric or low-caloric use in human nutrition suggest that erythritol should be subjected to long-term caries studies in humans.

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**Table 7.** Public institutions, regulatory bodies, and professional organizations that have endorsed or recommended the use of xylitol chewing gum in caries prevention

| Organization                  | Countries                                                                 |
|------------------------------|---------------------------------------------------------------------------|
| National Dental Association   | Canada, Finland, Estonia, France, Hungary, Iceland, Ireland, Malta, Norway, Peru, South Africa, South Korea, Switzerland, Sweden, Taiwan, The Netherlands, Turkey, United Kingdom, USA |
| Ministry of Health            | Finland, Italy, Japan                                                     |
| Other Dental Associations     | China (National Committee for Oral Health), Japan (School Dentists’ Association), United Kingdom (British Dental Health Foundation), Switzerland (‘Tooth-friendly’ Sweets International) |
| Armed Forces                  | Finland, USA                                                              |
| Other organizations           | Germany (German Consumers’ Foundation), The Netherlands (Ivory Cross), Scotland (The Scottish Intercollegiate Guidelines Network), World Health Organization |
Table 8. Summary of human caries trials on D-glucitol (sorbitol)\(^a\)

| Study location | Product(s) tested | Duration years | Dose g/day | Caries reduction |
|----------------|-------------------|----------------|------------|-----------------|
| England        | Tablets vs. normal diet | 2              | Not given  | 'Small but insignificant benefit' [118] |
| Denmark        | Chewing gum vs. normal diet\(^b\) | 2              | 3.6        | 10% [119]       |
| Sweden         | Lycasin\(^c\)/L50128 candies vs. sucrose | Up to 2.5      | Not given  | 25% (the authors were cautious as to results) [120] |
| Hungary        | Sorbitol chocolate vs. sucrose chocolate | 3              | 8          | 45% [121]       |
| USA (Boston, Mass.) | Chewing gum vs. normal diet | 2              | Not given (2 sticks) | Not significant (quote) [122] |
| Hungary        | Chewing gum vs. normal diet \(^d\) | 2              | Not given (2 sticks) \(^d\) | 33–39% [123] |
| Belize         | Chewing gum, permanent teeth, deciduous teeth \(^e\) | 3.3, 2         | <10.7\(^f\), <10.7\(^f\) | 45% [72], 30–40% [73] |

\(^a\) Two studies that possibly employed D-glucitol chewing gum have been omitted from the list owing to defective reporting. One study that employed D-glucitol was omitted because of inclusion of a nugatory number of test subjects.

\(^b\) The saliva stimulant used contained 45 mg ‘calcium phosphate’ per each chewable item which the authors called variably ‘gum’ or ‘tablet’ (the gum base reportedly being one ingredient in both, however).

\(^c\) This study can be included under D-glucitol studies for convenience; the Swedish Lycasin\(^c\) used reportedly contained about 8% D-glucitol (and 8% maltitol, the rest consisting of tri- to penta-saccharides and higher alcohols).

\(^d\) The gum was reported to contain ‘65% polyols (D-glucitol and D-mannitol), with a chewing time of ‘at least 20 min’ (i.e. the study, in essence, predominantly investigated the effect of chewing).

\(^e\) Chewing time: 5 min (concerns supervised use at schools; other usage, unsupervised).

\(^f\) <10.7 indicates that the maximum calculated, supervised usage on school days was 10.7 g per day and per subject.
Several caries trials on erythritol have begun. At this stage, there is not enough evidence to rank simple dietary alditols as caries-preventative agents. It has been suggested, however, that the caries-limiting efficacy in humans may follow the homologous alditol series, and may thus depend on the number of hydroxyl groups present in the alditol molecule \([4,128]\). The efficacy may decrease as follows: erythritol \(\geq\) xylitol > D-glucitol. It is obvious that the same special patient groups that may benefit from the use of xylitol (table 5) can also benefit from erythritol.

Conclusions

Several alditol-type sugar alcohols have been used in medical, nutritional, cosmetic and numerous other applications. Examples of alditols which have achieved remarkable utility value include D-glucitol (sorbitol), D-mannitol, xylitol, and more recently, erythritol. Several long-term clinical studies have been carried out on the relationship between dental caries and the consumption of xylitol, which is a pentitol-type alditol. All clinical studies consistently point in the same direction, i.e. the use of relatively small daily amounts of xylitol significantly reduces the incidence of dental caries. The accumulated scientific evidence demonstrates that xylitol meets the safety and efficacy prerequisites for use in caries prevention programmes in all age groups. Owing to the importance of early caries prevention, xylitol programmes in schools have been regarded as especially important in demonstrating that also long-term protection against caries infection can result from habitual use of xylitol. Notably, chewable xylitol products (chewing gums, lozenges, troches, and hard caramels) have turned out to be useful. So-called mother-child studies have suggested that maternal use of xylitol can prevent dental caries in infants although the latter have not received xylitol. This is based on the ability of xylitol to prevent intrafamilial transmission of caries-inducive mutans streptococci. The xylitol molecule, owing to its pentitol nature, has been shown to reduce the mass and adhesiveness of dental plaque and to resist the so-called acid attack resulting from consumption of common hexose-based dietary sweeteners (notably sucrose). Hexitols (D-glucitol and D-mannitol), owing to their hexose-based structure, tend to support the growth of dental plaque and multiplication of mutans streptococci although their metabolism in plaque does not normally lead to strong acid production.

In preliminary laboratory studies, a lower homologue of the alditol series, erythritol, has shown significant promise as a sugar substitute. Combinations of xylitol and erythritol may turn out to reduce the incidence of caries more strongly than either alditol alone. This proposition should provide impetus for new clinical studies.

The addition of xylitol (and possibly erythritol) programmes to existing prevention strategies can bring considerable cost savings in health care allowances, reduce pain and decrease work loss. Because dental caries has been identified by health authorities as a significant public health problem, it is essential that the public be informed about the beneficial effects of xylitol (and most likely erythritol) on dental health. Since there is currently significant scientific information on xylitol as a caries-reducing sugar substitute, it is understandable that the utility value of xylitol and its vehicles for public health needs has recently received attention among leading experts in the field \([129–131]\).

Problems in the interpretation of results from clinical trials and laboratory experiments with xylitol may arise from faults in study design. Xylitol functions predictably in caries prevention provided that certain basic requirements are considered when planning trials, demonstration programmes and factual prevention strategies. The dosage and frequency of use of xylitol, the duration of intervention and the use of study cohorts with sufficiently high caries activity (i.e. cohorts which are indeed ‘afflicted with the disease’) may be expected to result in positive findings. Study subjects with extremely low caries prevalence may not be suited to this type of demonstration. The same precautions also apply to future studies with erythritol.

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