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Cellular and humoral immune response to SARS-CoV-2 vaccination and booster dose in immunosuppressed patients: An observational cohort study

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ABSTRACT

Background: Humoral and cellular immune responses to SARS-CoV-2 vaccination among immunosuppressed patients remain poorly defined, as well as variables associated with poor response.

Methods: We performed a retrospective observational cohort study at a large Northern California healthcare system of infection-naïve individuals fully vaccinated against SARS-CoV-2 (mRNA-1273, BNT162b2, or Ad26.COV2.S) with clinical SARS-CoV-2 interferon gamma release assay (IGRA) ordered between January through November 2021. Humoral and cellular immune responses were measured by anti-SARS-CoV-2 S1 IgG ELISA (anti-S1 IgG) and IGRA, respectively, following primary and/or booster vaccination.

Results: 496 immunosuppressed patients (54% female; median age 50 years) were included. 62% (261/419) of patients had positive anti-S1 IgG and 71% (277/389) had positive IGRA after primary vaccination, with 20% of patients having a positive IGRA only. Following booster, 69% (81/118) had positive anti-S1 IgG and 73% (91/124) had positive IGRA. Factors associated with low humoral response rates after primary vaccination included anti-CD20 monoclonal antibodies (P < 0.001), sphingosine 1-phosphate (S1P) receptor modulators (P < 0.001), mycophenolate (P = 0.002), and B cell lymphoma (P = 0.004); those associated with low cellular response rates included S1P receptor modulators (P < 0.001) and mycophenolate (P < 0.001). Of patients who had poor humoral response to primary vaccination, 35% (18/52) developed a significantly higher response after the booster. Only 5% (2/42) of patients developed a significantly higher cellular response to the booster dose compared to primary vaccination.

Conclusions: Humoral and cellular response rates to primary and booster SARS-CoV-2 vaccination differ among immunosuppressed patient groups. Clinical testing of cellular immunity is important in monitoring vaccine response in vulnerable populations.

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1. Introduction

One of every 25 individuals in the U.S. is immunocompromised [1] and at increased risk for severe COVID-19 [2]. Studies have demonstrated poor humoral response to SARS-CoV-2 vaccination in immunosuppressed patients [3,4]. Cellular, or T cell vaccine responses appear to be less impaired in certain immunosuppressed groups, but are less well characterized [5–7]. The importance of T cell-mediated immunity in protection against emerging SARS-CoV-2 variants is becoming clear, highlighting the need to better characterize this branch of immunity [8,9]. Furthermore, due to the decline in antibody titers over time after vaccination, booster shots have been recommended for all adults [10,11]. Boosters are thought to be especially important for immunosuppressed patients due to their impaired response to primary vaccination [12,13]. Serologic assays and interferon gamma release assays (IGRAs) are robust methods for assessing humoral and cellular response, respectively, to SARS-CoV-2 infection or immunization [14–18]. Here, we use these assays to compare the humoral and cellular immune responses to SARS-CoV-2 after primary and booster vaccination among immunosuppressed patients. By retrospective analysis, we identify immunosuppressive factors that contribute to impaired response.

2. Methods

2.1. Ethics statement

This study was approved by the Stanford University Institutional Review Board (IRB-60,171 and IRB-57,519). Informed consent was obtained from volunteer healthcare workers before blood collection.

2.2. Study design

Assay design and interpretation for the anti-SARS-CoV-2 S1 IgG (anti-S1 IgG) assay, ACE2 blocking activity assay, and SARS-CoV-2 interferon gamma (IFN-γ) release assay (IGRA) are described in Supplementary Methods. We retrospectively assessed patients with IGRA ordered as part of clinical testing at Stanford Health Care from January 1, 2021 through November 15, 2021, and recorded available anti-S1 IgG antibody results. Those patients with anti-S1 IgG or IGRA results collected at least 14 days following receipt of the second dose of either the Moderna mRNA-1273 or the Pfizer/BioNTech BNT162b2 mRNA vaccines or single dose of the Janssen Ad26.COV2.S vaccine were included in the primary vaccination analysis. One additional dose with any of the three vaccines was considered a booster dose. Patients with anti-S1 IgG or IGRA collected at least seven days following receipt of a booster dose were included in the booster analysis.

Electronic medical record (EMR) data collection was performed by one of five physician assistants (NB, PB, CC, MR, LY) on underlying disease and immune-suppressive/modulatory therapy (ISMT), including chemical drugs, biologics, and cellular therapy, such as hematopoietic stem cell transplant (HSCT) and CAR-T. Patients without immunosuppressive diseases or history of ISMT use were included in the NISP (non-immunosuppressed patient) cohort. All other patients were included in the ISP (immunosuppressed patient) cohort. Patients with a documented history of SARS-CoV-2 infection or without vaccination, disease, or therapy documentation in the EMR were excluded from the analysis. Immunocompetent healthcare worker (HCW) volunteers without known history of SARS-CoV-2 infection served as reference. Anti-S1 IgG and IGRA were collected between 14 and 25 days and then at approximately 5- and 9-months following primary vaccination with BNT162b2. Anti-S1 IgG and IGRA were collected between 8- and 34-days following receipt of a booster dose of the BNT162b2 vaccine in a subset of these HCWs. Additional details can be found in Supplementary Methods.

2.3. Statistical analysis

Statistical analyses and graphing were performed in Python version 3.8.5 using the packages pandas, matplotlib, seaborn, numpy, scipy, and statsmodels. Linear regression was performed by method of ordinary least squares. Unless otherwise indicated, Fisher exact test was used for all statistical comparisons, and \( \alpha = 0.05 \). See Supplementary Methods for details.

We focused the analysis on anti-S1 IgG and IGRA positivity rates, rather than quantitative values, which decline over time (Supplementary Figure 1) [10,19]. The HCW cohort anti-S1 IgG values were used to establish a reference range for expected (immunocompetent) levels over time after primary vaccination. A cutoff was established based on this reference range for “high” IgG, indicating expected IgG levels over time, and “low” IgG (Supplementary Methods). The same cutoff was not established for IGRA results due to high inter-individual variability.

3. Results

A total of 496 patients were included in this study. Cohort sample size and assay result availability are presented in Supplementary Table 5.

3.1. Vaccine response after primary vaccination

Demographic information and assay results from 18 HCWs, 28 NISPs, and 427 ISPs following primary vaccination are displayed in Table 1 (see also Supplementary Tables 6–7). In 381 ISPs with both anti-S1 IgG and IGRA results available, 51.4% were positive for both (196), 17.6% were negative for both (67), 19.7% were IGRA positive only (75), and 11.3% were IgG positive only (43).

3.2. Immunosuppressive factors associated with poor vaccine response

Results of a systematic screen of immunosuppressive factors associated with low and high rates of humoral and cellular response are shown in Supplementary Tables 8–11 and Supplementary Figures 3,4. Notable factors associated with a low rate of humoral and cellular response include S1P receptor modulators (IgG: \( n = 11 \), \( P < 0.001 \); IGRA: \( n = 11 \), \( P < 0.001 \)), mycophenolate (IgG: \( n = 78 \), \( P = 0.002 \); IGRA: \( n = 69 \), \( P < 0.001 \)), and systemic steroids (IgG: \( n = 103 \), \( P = 0.002 \); IGRA: \( n = 93 \), \( P < 0.001 \)). Anti-CD20 mAbs (\( n = 48 \), \( P < 0.001 \)), B cell lymphoma (\( n = 55 \), \( P = 0.004 \)), and immunoglobulins (\( n = 50 \), \( P = 0.01 \)) were associated with a low rate of humoral response only, while calcineurin inhibitors (\( n = 89 \), \( P < 0.001 \)) and antimitotics (\( n = 13 \), \( P < 0.009 \)) were associated...
### Table 1
Demographic information and assay results for cohorts after primary vaccination.

| Disease Categories                        | HCW (n = 18) | NISP (n = 28) | ISP (n = 427) | Primary Malignancy (n = 9) | Solid Malignancy (n = 24) | Solid Organ Transplant (n = 67) | Autoimmune Disease (n = 149) | 1° Immuno-deficiency (n = 42) | Multiple Categories (n = 42) |
|-------------------------------------------|--------------|---------------|---------------|---------------------------|--------------------------|-------------------------------|-------------------------------|-------------------------------|-----------------------------|
| On ISTM,% (n)                             | 0 (0)        | 0 (0)         | 69 (295)      | 11 (1)                    | 46 (11)                  | 100 (67)                      | 89 (133)                     | 2 (1)                         | 86 (36)                     |
| Male:female ratio                         | 0.5:1        | 1.5:1         | 0.8:1         | 1.3:1                     | 0.8:1                    | 1.5:1                         | 1.0:1                         | 0.6:1                         |                             |
| Age, median (IQR), years                  | 43.5 (38.2–47.8) | 44.0 (35.8–64.2) | 49.0 (24.0–64.0) | 56.0 (29.0–70.0) | 39.0 (19.0–65.0) | 19.0 (16.0–24.0) | 20.5 (15.5–63.2) | 41.0 (17.0–59.5) | 53.0 (38.0–63.0) |
| IgG days since vaccine* (median, IQR)     | 20.0 (17.0–21.0) | 158.0 (62.5–194.5) | 80.0 (41.0–127.0) | 48.0 (32.0–97.0) | 48.0 (32.8–95.8) | 78.0 (41.0–102.0) | 99.0 (53.2–129.5) | 66.0 (39.5–105.0) | 39.0 (19.0–65.0) |
| Anti-S1 IgG, median (IQR), OD ratio       | 9.6 (9.3–9.9) | 5.7 (3.6–8.2) | 2.8 (0.2–7.1) | 0.7 (0.1–3.9) | 5.1 (0.3–8.4) | 8.5 (6.8–8.9) | 7.2 (4.9–8.7) | 3.3 (0.3–7.7) | 1.2 (0.2–5.2) |
| ACE2 Blocking % positive (n)              | 100 (18/18) | 100 (27/27) | 62.3 (261/419) | 50 (14/28) | 69 (44/64) | 89 (8/9) | 88 (21/24) | 66 (44/67) | 53 (76/144) |
| ACE2 Blocking, median (IQR)               | 68.0 (50.0–90.8) | 12.0 (0.0–85.0) | 0.0 (0.0–43.8) | 0.0 (0.0–4.0) | 14.5 (0.0–94.8) | 100.0 (33.0–100.0) | 28.0 (0.0–85.5) | 0.5 (0.0–62.2) | 0.0 (0.0–10.2) |
| IGRA days since vaccine†, median (IQR)   | 94 (17/18) | 44 (12/27) | 32.0 (134/419) | 18 (5/28) | 47 (30/64) | 78 (7/9) | 54 (13/24) | 34 (23/67) | 22 (31/144) |
| IFN-γ Response % positive (n)             | 100 (18/18) | 92 (24/26) | 71.2 (277/389) | 58 (15/26) | 80 (45/56) | 100 (9/9) | 82 (18/22) | 58 (34/59) | 77 (106/137) |

Note: not all patients had both anti-S1 IgG and IGRA results available.
*aNumber of days elapsed between primary vaccination and anti-S1 IgG testing.
†Number of days elapsed between primary vaccination and IGRA testing.
3.3. Subgroup analysis to verify findings of immunosuppressive screen and regression modeling

86 solid organ transplant recipients were on ISMT regimens consisting of tacrolimus ($n = 75$), mycophenolate (61), systemic steroids (52), sirolimus (5), cyclosporine (5), everolimus (3), or azathioprine (1). Of these patients, the addition of antimetabolites to a drug regimen consisting of calcineurin or mTOR inhibitors, with or without systemic steroids, is associated with low humoral and cellular response, as patients not receiving antimetabolites were 88% IgG positive (21/24), 79% IgG high (19/24), and 83% IGRA positive (19/23), while those on antimetabolites ($n = 61$ mycophenolate, $n = 1$ azathioprine) were 50% IgG positive (31/62, $P = .001$), 35% IgG high (22/62, $P < .001$), and 43% IGRA positive (23/54, $P = .0001$) (Fig. 1A). Further stratifying transplant recipients by age, time between transplantation and vaccination, and organ type showed that age and the amount of time between transplant and vaccination may have small effects on immune response (Supplementary Figures 5, 6).

Vaccine responses of 110 autoimmune disease patients, not affected with a low rate of cellular response only.

Results of multivariable linear regression analysis to identify factors associated with humoral and cellular response are presented in Supplementary Table 12, 13.

Fig. 1. Subgroup analysis of immunosuppressed patients following primary vaccination. A) Vaccine response rates in transplant recipients not on antimetabolites and those on antimetabolites (61 on mycophenolate, 1 on azathioprine). Only transplant recipients on a calcineurin inhibitor, mTOR inhibitor, mycophenolate, or other antimetabolites are plotted. B) Vaccine response rates of autoimmune disease patients, without other immunosuppressive conditions, on monotherapy with various ISMTs. Only ISMTs that apply to three or more patients are plotted. C) Vaccine response rates of immunoglobulin deficiency patients, without other immunosuppressive conditions, stratified by use and sex. D) Vaccine response rates of patients with active and inactive heme malignancy, without other immunosuppressive conditions, stratified by disease subcategory and therapy status. Only disease subcategories that apply to three or more patients are plotted. E) Vaccine response rates of all patients with a history of HSCT, stratified by time between HSCT and vaccination, and activity of the malignancy. Patients with inactive disease include nine patients with primary anemia and one patient with germ cell tumor. ns, not significant; $^* P < .05$, $^{**} P < .01$, $^{***} P < .001$ for pairwise comparisons as indicated; $P < .05$, $^{*} P < .01$, $^{**} P < .001$ compared to NISP, Fisher exact test. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)
by diseases of any other category and either on monotherapy or not actively on ISMT, are shown in Fig. 1B. Compared to autoimmune patients not on ISMT, patients on S1P receptor modulators had low humoral response rates, at 9% IgG positive (1/11, \( P = 0.004 \)) and 0% IgG high (0/11, \( P = 0.003 \)). Likewise, patients on anti-CD20 mAbs were 25% IgG positive (8/32, \( P = 0.005 \)) and 13% IgG high (4/32, \( P = 0.004 \)). Importantly, while patients on S1P receptor modulators were 9% IGRA positive (1/11, \( P < 0.001 \)), those on anti-CD20 mAbs were 91% IGRA positive (29/32). Compared to autoimmune disease patients not on ISMT, those on systemic steroids had low cellular response, at 40% IGRA positive (2/5, \( P = 0.03 \)), while those on fumarate (5/5) and other anti-inflammatories (5/5) had 100% positive humoral and cellular responses. Autoimmune patients on mycophenolate had lower rates of humoral response compared to NISPs, at 55% IgG positive (6/11, \( P < 0.001 \)) and 36% IgG high (4/11, \( P < 0.001 \)), but were 100% IGRA positive (10/10). Notably, the vaccine response rates in patients on mycophenolate are comparable to those of autoimmune disease patients not on ISMTs.

Analysis of 41 patients with immunoglobulin deficiency, without other immunosuppressive diseases and not on any ISMT, showed that female patients on immunoglobulin therapy were 100% IgG positive (11/11), 64% IgG high (7/11), and 91% IGRA positive (10/11). However, male patients had much lower rates especially with humoral response, at 27% IgG positive (3/11, \( P = 0.001 \)), 9% IgG high (1/11, \( P = 0.001 \)), and 91% IGRA positive (9/10). Notably, the vaccine response rates in patients on mycophenolate are comparable to those of autoimmune disease patients not on ISMTs.
were 61% IgG positive (14/23) and 35% IgG high (8/23), while those (Supplementary Figure 10). All HCWs and NISPs included in the analysis had expected booster anti-S1 IgG and IGRA results available, 55% were positive for both anti-S1 IgG and paired IGRA results available, 10 had an anamnestic humoral booster response and two had an “anamnestic” cellular booster response, a statistically significant difference (P = 0.03). Notably, 35% (18/52) ISPs with low humoral response after primary vaccination had an anamnestic booster response (Fig. 2E). We focused the rest of the analysis on humoral booster response, and specifically on patients with low humoral response after the primary doses.

Patient age and duration between primary vaccination and booster doses were not associated with booster response (Fig. 2F). Patients with immune defects due to disease and not necessarily ISMT (hematologic malignancy and primary immunodeficiency patients) had low rates of anamnestic response of 16% (4/25), while patients with ISMT-induced immunodeficiency (solid malignancy, solid organ transplant, and autoimmune disease patients) had higher rates of anamnestic response of 52% (14/27, P = 0.009) (Fig. 2G).

Following boosters, 50% of patients on anti-CD20 mAbs had an anamnestic response (4/8), 0% for S1P receptor modulators (0/2), 56% for mycophenolate (5/9), and 50% for systemic steroids (5/10). 20% of patients with acute leukemia had an anamnestic response (1/5), 18% for B cell lymphoma (2/11), 33% for plasma cell disease (1/3), and 0% for common variable immunodeficiency (0/4) (Supplementary Figure 11).

Eight patients had their ISMT dosage temporarily decreased by their provider to try to elicit an anamnestic booster response. Three of these patients had an anamnestic response (38%), a rate comparable to patients who did not have their ISMT altered (43%, 12/28) (Fig. 2H).

4. Discussion

We identified patient factors affecting immune response to primary and booster SARS-CoV-2 vaccines. In concordance with other studies [20–28], we found that anti-CD20 mAb and S1P receptor modulator use were associated with decreased humoral response. Unlike anti-CD20 mAb use, S1P receptor modulator use was also associated with low rates of cellular response. We showed that anti-metabolite/mycophenolate use in combination with a calcineurin/mTOR inhibitor was the strongest predictor of decreased humoral and cellular response in transplant recipients, in concordance with other studies [3]. HSCT patients without active hematologic malignancy had relatively high rates of humoral and cellular response, even if vaccinated less than one year from transplantation. This is concordant with findings from one study [29], but not another [30]. Hematological malignancies were associated with lower vaccine response than solid malignancies, consistent with other reports [20,21,25,27,31]. Primary hypogammaglobulinemia disorders were associated with decreased humoral response, particularly in male patients. Men typically produce lower antibody responses to vaccination [32], an effect likely amplified in hypogammaglobulinemia.

Importantly, we did not find evidence that the rate of decline in humoral and cellular response over time differ between non-immunosuppressed individuals and ISPs. We found that boosters compensate for the waning of primary vaccine response, as 71% of ISPs had at least the expected rise in humoral response after booster, based on timing since vaccination, and 64% had at least the expected rise in cellular response. However, we found no strong evidence that boosters produce a significantly stronger cellular response in ISPs compared to primary vaccination, in concordance with a previous report in cancer patients [33], but contradicting another study in patients taking rituximab [28]. In terms of humoral response, the rate of anamnestic response after booster in patients with poor humoral response after primary vaccination was 35%. We found that the immunosuppressive effects of ISMTs, such as anti-CD20 mAbs, can be partially overcome with booster immunization.

As the COVID-19 pandemic ensues and new SARS-CoV-2 variants arise, our findings provide an evidence-based framework for determining optimal vaccination strategy in immunosuppressed patients. Immunosuppressive conditions differentially impact humoral and
Table 2

Demographic information and assay results for cohorts after booster vaccination.

| Disease Categories               | HCW (n = 6) | NISP (n = 6) | ISP (n = 125) | Active Heme Malignancy (n = 10) | Inactive Heme Malignancy (n = 21) | Solid Malignancy (n = 3) | Solid Organ Transplant (n = 24) | Autoimmune Disease (n = 38) | 1° Immuno-deficiency (n = 17) | Multiple categories (n = 12) |
|----------------------------------|-------------|--------------|---------------|---------------------------------|-----------------------------------|--------------------------|-------------------------------|-------------------------------|-----------------------------|-----------------------------|
| On ISMT, % (n)                   | 0 (0)       | 0 (0)        | 71 (89)       | 70 (7)                          | 43 (9)                            | 33 (1)                   | 100 (24)                      | 97 (37)                       | 6 (1)                        | 83 (10)                     |
| Male:female ratio                | 0.2:1       | 0.2:1        | 0.8:1         | 1.5:1                           | 1.3:1                             | 2.0:1                    | 2.0:1                         | 0.5:1                         | 0.4:1                        | 0.5:1                       |
| Age, median (IQR), years         | 54.0 (47.8–57.2) | 51.5 (42.5–58.2) | 58.0 (44.0–68.0) | 57.0 (38.5–69.5) | 65.0 (46.0–70.0) | 64.0 (63.5–73.5) | 50.0 (28.2–58.5) | 55.5 (45.8–64.0) | 63.0 (51.0–71.0) | 63.5 (54.2–71.0) |
| IgG days since vaccine*, median (IQR) | 22.0 (16.2–29.2) | 34.0 (22.0–52.0) | 36.0 (28.2–49.8) | 27.0 (22.0–43.5) | 34.5 (30.0–49.0) | 27.0 (21.5–32.5) | 37.0 (27.0–46.5) | 37.0 (29.5–55.5) | 35.0 (31.0–42.0) | 44.0 (34.5–60.5) |
| Anti-S1 IgG, median (IQR), OD ratio | 8.7 (7.7–9.7) | 9.8 (9.3–10.0) | 5.2 (0.3–8.6) | 1.5 (0.2–8.9) | 4.9 (0.1–8.7) | 8.1 (7.9–8.2) | 8.3 (0.4–9.1) | 3.3 (1.0–7.9) | 7.0 (4.3–7.8) | 1.7 (0.2–7.5) |
| Anti-S1 IgG, % positive (n)       | 100 (6/6)   | 100 (5/5)    | 69 (81/118)   | 50 (5/10)                       | 55 (11/20)                        | 100 (2/2)                | 70 (16/23)                   | 71 (25/35)                   | 88 (15/17)                   | 64 (7/11)                   |
| ACE2 Blocking, % median (IQR)     | 95.5 (92.0–99.8) | 100.0 (100.0–100.0) | 31.5 (0.0–94.8) | 0.0 (0.0–87.0) | 26.0 (0.0–97.8) | 87.0 (82.5–91.5) | 90.0 (0.0–100.0) | 9.0 (0.0–42.5) | 36.0 (7.0–94.0) | 1.0 (0.0–66.0) |
| ACE2 Blocking, % positive (n)     | 100 (6/6)   | 100 (5/5)    | 53 (52/118)   | 40 (4/10)                       | 50 (10/20)                        | 100 (2/2)                | 65 (15/23)                   | 49 (17/35)                   | 59 (10/17)                   | 36 (4/11)                   |
| IGRA days since vaccine, median (IQR) | 22.0 (16.2–29.2) | 33.5 (24.8–47.5) | 36.5 (28.0–50.5) | 31.0 (22.0–46.2) | 39.0 (31.0–50.0) | 20.0 (18.0–29.5) | 36.5 (26.2–44.8) | 37.0 (28.0–57.0) | 35.0 (31.0–42.0) | 48.0 (34.8–73.0) |
| IFN-γ Response, median (IQR), IU/ml | 1.7 (1.0–4.0) | 4.7 (3.3–7.3) | 2.8 (0.3–8.8) | 9.9 (3.6–10.0) | 2.6 (1.2–6.0) | 9.3 (5.0–9.6) | 1.0 (0.1–3.2) | 3.9 (0.7–9.2) | 4.0 (1.4–8.9) | 0.7 (0.2–2.8) |
| IFN-γ Response, % positive (n)    | 100 (6/6)   | 83 (5/6)     | 73 (91/124)   | 80 (8/10)                       | 76 (16/21)                        | 100 (3/3)                | 54 (13/24)                   | 84 (31/37)                   | 82 (14/17)                   | 50 (6/12)                   |

Note: not all patients had both anti-S1 IgG and IGRA results available.

*Number of days elapsed between primary vaccination and anti-S1 IgG testing.
†Number of days elapsed between primary vaccination and IGRA testing.
cellular responses to SARS-CoV-2 vaccines. The importance of cellular immunity against SARS-CoV-2 and emerging variants is now well established [7,9,34,35]. This emphasizes the importance of monitoring cellular responses to vaccination and the utility of performing cellular immunity testing in the clinical laboratory.

Data availability
De-identified raw data used in the study are available upon request.

Declaration of Competing Interest
The authors declare no competing interests.

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Supplementary materials
Supplementary material associated with this article can be found, in the online version, at doi:10.1016/j.jcv.2022.105217.

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