UV/VUV switch-driven color-reversal effect for Tb-activated phosphors

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The remarkable narrow-band emission of trivalent lanthanide-doped phosphors excited by the vacuum ultraviolet (VUV) radiation lines of Xe atoms/Xe₂ molecules at 147/172 nm are extensively investigated in the development of plasma display panels and Hg-free fluorescent lamps, which are frequently used in our daily lives. Numerous solid materials, particularly Tb³⁺-doped oxides, such as silicates, phosphates and borates, are efficient green/blue sources with color-tunable properties. The excitation wavelength and rare earth concentration are usually varied to optimize efficiency and the luminescent properties. However, some underlying mechanisms for the shift in the emission colors remain unclear. The present study shows that a UV/VUV switch systematically controls the change in the phosphor (Ba₃Si₆O₁₂N₂:Tb) photoluminescence from green to blue, resulting in a green emission when the system is excited with UV radiation. However, a blue color is observed when the radiation wavelength shifts to the VUV region. Thus, a configurational coordinate model is proposed for the color-reversal effect. In this model, the dominant radiative decay results in a green emission under low-energy UV excitation from the ⁵D₄ state of the f-f inner-shell transition in the Tb system. However, under high-energy VUV excitation, the state switches into the ⁵D₃ state, which exhibits a blue emission. This mechanism is expected to be generally applicable to Tb-doped phosphors and useful in adjusting the optical properties against well-known cross-relaxation processes by varying the ratio of the green/blue contributions.

Keywords: color-reversal; cross-relaxation; phosphor; terbium; UV/VUV

INTRODUCTION
Inorganic-material-based phosphors have been extensively investigated for their applications in electronic illustrations, such as backlighting sources of liquid-crystal displays, plasma display panels and white light-emitting diodes (WLEDs)¹,². In particular, phosphors are important components of these displays, which have chemical durability and efficient luminescent properties. Compared with the traditional incandescent lamp and mercury-vapor lamp, WLED has attracted considerable attention because of its high luminescent properties and easy synthesis³⁻⁴. The most common WLED strategy is to combine blue InGaN chips and Y₅Al₃O₁₂:Ce³⁺ (YAG:Ce) phosphor in addition to employing three light-emitting diode (LED) chips in red, green and blue, which partially converts the original blue radiation into the complementary yellow color, yielding cool white light. This cool white light, which is based on using a single phosphor, is suitable for everyday applications only if the poor color rendition index (CRI) and high-correlated color temperature are bearable⁵⁻⁶. The requirement for high CRI cannot be satisfied using this approach because the insufficient spectral components cannot entirely cover the visible region. Recently, a novel LED device, in which white light is produced by an ultraviolet (UV) chip with red, green and blue phosphors, can achieve a high CRI of up to 90⁷⁻⁸. To pursue the above purposes, new phosphors adopted for UV excitation must be developed.

Oxonitridosilicates, which are formally derived from typical oxosilicates by partially substituting oxygen with nitrogen to form rigid Si(O,N)₄ tetrahedra, have excellent chemical, physical, mechanical and thermal stabilities for application in WLEDs⁹⁻¹¹. With additional structural possibilities, nitrogen can be a triple or even quadruple connecting atom in the tetrahedral network. Therefore, the diversity of oxonitridosilicates is superior to that of oxosilicates because only terminal- and simple-bridging oxygen are available in oxosilicates¹². A system of Ba₃SiₓO₁₂₋ₓNₓ (with x = 6, 9, 12, 15N) has been reported because of its high luminescent properties and easy synthesis¹³. In 2009, Mikami et al.¹⁴ first reported the green oxynitride phosphor Ba₃Si₆O₁₂N₂:Eu. The structural characterization and luminescent properties of Ba₃₋ₓSrₓSi₆O₁₂N₂ (with x = 0.4 and 1) were studied by Braun et al.¹⁵. Tang et al.¹⁶ utilized first-principle calculations to confirm the high-luminescence intensity of the Ba₃Si₆O₁₂N₂:Eu²⁺ phosphor, which has a direct band gap and a low-energy band dispersion. To date, terbium (Tb)-doped Ba₃Si₆O₁₂N₂ has not been reported. In contrast, Tb-activated phosphors are widely studied as green or blue luminescent candidates in the development of UV/vacuum UV (VUV)-excited applications¹⁷⁻²⁵. The relative intensities of ⁵D₃→⁷D₄ emissions strongly...
depend on the Tb concentration through the cross-relaxation process, which result in a color change from blue to green\(^3\). However, studies on the systematic photoluminescence excitations (PLEs) from UV to VUV radiation are rare, and related studies are also limited. Therefore, a Ba\(_3\)Si\(_6\)O\(_{12}\)N\(_2\) material with constant 3.7% Tb doping at the Ba site was synthesized and characterized in the current study. The principal relationship between the different excitation energies and the luminescence mechanism was investigated.

**MATERIALS AND METHODS**

**Synthesis of materials**

Oxynitride Ba\(_3\)Si\(_6\)O\(_{12}\)N\(_2\):Tb was synthesized from stoichiometric mixtures of high-purity BaCO\(_3\) (J. T. Baker, 99.9%), \(\alpha\)-Si\(_3\)N\(_4\) (Ube Industries, grade SN-E10, \(\alpha\)/(\(\alpha+\beta\)) > 95% by weight), SiO\(_2\) (Aldrich, 99.995%) and Tb\(_2\)O\(_3\) (Aldrich, 99.9%). The ground mixtures were placed in boron nitride crucibles and were then sintered using a graphite heater in a gas-pressure sintering furnace (FVPHP-R-5, Fujidempa Kogyo Co. Ltd.). The temperature was subsequently increased to 1375 °C and held for 1 h. The samples were cooled to room temperature (cooling rate: 5 °C min\(^{-1}\)) under a vacuum of 10\(^{-2}\) Pa. Nitrogen gas (99.999% purity) was then introduced at a pressure of 0.92 MPa. The temperature was subsequently increased to 1375 °C and held for 1 h. The samples were cooled to room temperature (cooling rate: 5 °C min\(^{-1}\)) and then powdered for subsequent analyses.

**Characterization methods**

Synchrotron X-ray diffraction patterns with wavelength of \(\lambda = 0.774907\) Å were recorded using a Debye–Scherrer camera installed at the BL01C2 beamline of the National Synchrotron Radiation Research Center, Taiwan. X-ray Rietveld profile refinements of the structural models and texture analysis were performed using the General Structure Analysis System software\(^2\). \(^{29}\)Si solid-state nuclear magnetic resonance spectrum was recorded on a wide-bore 14.1 Tesla Bruker Avance III NMR spectrometer (Germany), equipped with a 4-mm double-resonance magic-angle-spinning probe. The Larmor frequency for \(^{29}\)Si was 119.24 MHz. High-resolution transmission electron microscopy (HRTEM) and selected area electron diffraction (SAED) images were obtained via a JEOL JEM-2011 microscope (USA) operated at 200 kV. Synchrotron VUV photoluminescence (PL) and PLE spectra were obtained using the same synchrotron source at the BL03A beamline. The excitation spectra were recorded by scanning a 6 m cylindrical grating monochromator with a grating of 450 grooves mm\(^{-1}\) over a wavelength range of 100–350 nm. A CaF\(_2\) plate served as a filter to remove the high-order light from the synchrotron. The emission from the phosphor was analyzed with a 0.32 m monochromator and then detected in a photon-counting mode. PL and PLE spectra were collected using a FluoroMax-3 spectrophotometer (USA) equipped with a 150 W Xe lamp and a Hamamatsu R928 photo-multiplier tube (Japan).

**RESULTS AND DISCUSSION**

The X-ray Rietveld refinement of Ba\(_{2.89}\)Si\(_6\)O\(_{12}\)N\(_2\):Tb\(_{0.11}\) (BSON:Tb) is shown in Figure 1a, including the observed, calculated and difference profiles, and the relative Bragg reflection markers. Supplementary Table S1 presents the crystallographic data, which are consistent with the lattice constants, reflection conditions and cell parameters of a previous study\(^3\). The results indicated that the compound is in pure phase, the data are reliable and the powder sample is crystallized into a trigonal structure with a \(P\bar{T}\) (no. 147) space group. In the inset of Figure 1a, the peak at \(-60\) to \(-90\) ppm indicates that Si\(^{4+}\) cations in the structural lattices are coordinated by oxide and nitride to form the...
through vacancies, defects and anions \( \text{O}_2^- \). Supplementary Fig. S1. The lattice structure retains electric neutrality.

BSON:Ce, Li are very similar, except in terms of intensity, as shown in and homovalent substitutions, the PLE and PL spectra of BSON:Ce and are not in a perfect octahedral crystal-

of the surrounding anions is signi

1, whereas the other Ba ion (in site 2) is also coordinated by six oxygen ions, but has an additional capped nitrogen ion. The coordination of these two Ba sites results in the formation of a slightly distorted octahedral structure, as shown in Figure 1g. Therefore, the Tb activators occupy these sites by replacing the Ba ions. The coordination symmetry of the surrounding anions is significant to the degeneracy of the activator 5d level in the \( 4f \rightarrow 5d \) transition shown below because the Tb activators are not in a perfect octahedral crystal-field environment. As heterovalent and homovalent substitutions, the PLE and PL spectra of BSON:Ce and BSON:Ce, Li are very similar, except in terms of intensity, as shown in Supplementary Fig. S1. The lattice structure retains electric neutrality through vacancies, defects and anions (O\(^2^-\) and N\(^3^-\)), although Tb\(^{3+}\) activators are introduced into the Ba\(^{2+}\) sites\(^{30,31}\). Based on the structure refinement, the crystallographic data (Supplementary Table S1) demonstrated that BSON:Ce can maintain a perfect structure without charge compensation.

The emission spectrum of the Tb activator normally presents two typical sets of intense line systems from the \( ^5D_4 \rightarrow ^7F_J \) \((J = 3–6, 620–465 \text{ nm})\) and \( ^5D_4 \rightarrow ^7F_J \) \((J = 3–6, 465–375 \text{ nm})\) transitions, which result in green and blue emissions, respectively. The dominant set usually corresponds to \( ^5D_4 \rightarrow ^7F_J \) transitions (green set) in most cases\(^{13-14}\), whereas the \( ^5D_3 \rightarrow ^7F_J \) transitions (blue set) are difficult to obtain as a primary emission because of the depopulation of the \( ^5D_3 \) state. This phenomenon can be elucidated by the direct feeding of the excited energy from the \( 5d \) level to the \( ^5D_4 \) state\(^{25}\). The cross-relaxation process between neighboring Tb activators also results in considerable quenching from the \( ^5D_3 \) to the \( ^5D_4 \) state, as normally expected in many cases with high-Tb-dopant concentrations\(^{26}\). These two mechanisms are responsible for the predominant green emissions of numerous Tb-doped phosphors. In this study, an unprecedented effect was further investigated by performing a color reversal between the green and blue sets through the control of the relative contributions of both colors in a Tb-doped phosphor under synchrotron radiation excitation at different wavelengths. The experiment had two requirements: (1) a moderate Tb concentration to ensure the initial appearance of a green emission and (2) changeable excitation energy from the UV to VUV range.

A series of PL spectra of the Ba\(_{2.89}\)Si\(_6\)O\(_{12}\)N\(_2\):Tb\(_{0.11}\) phosphor is shown in Figure 2a. The phosphor was excited under several specific synchrotron radiation wavelengths: 254, 234, 211 and 147 nm (according to the excitation peaks in the PLE spectra). The characteristic fine structure in the PL spectra is caused by the splitting of the \( ^{2S+1}L_J \) states as a result of Russell–Saunders coupling. Each specific \( ^5D_{J=3,4} \rightarrow ^7F_J \) \((J = 3–6)\) transition is labeled in the inset, and the corresponding energy levels are plotted in Supplementary Fig. S2. The most intense transitions in the green and blue transition sets are \( ^5D_4 \rightarrow ^7F_5 \) and \( ^5D_3 \rightarrow ^7F_6 \), respectively. By contrast, PLE spectra monitored at 542 \( (^5D_4 \rightarrow ^7F_5) \), 478 \( (^5D_4 \rightarrow ^7F_4) \), 435 \( (^5D_3 \rightarrow ^7F_4) \) and 412 nm \( (^5D_4 \rightarrow ^7F_5) \) wavelengths are shown in Figure 2b. At a broad band (200–280 \text{ nm}) region, three distinct peaks at 211 \( [4f^6 \rightarrow \text{CTB}, 4f^55d^1] \), 234 \( [4f^6 \rightarrow \text{CTB}, 4f^55d^1] \) and 254 nm \( [4f^6 \rightarrow \text{CTB}, 4f^55d^1] \) →

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**Figure 2** Synchrotron photoluminescence of the Ba\(_{2.89}\)Si\(_6\)O\(_{12}\)N\(_2\):Tb\(_{0.11}\) material. (a) Emission spectra from synchrotron radiation excited by different wavelengths and (b) excitation spectra monitored at \( ^5D_3 \) and \( ^5D_4 \) transition sets at room temperature. The arrows illustrate the excited or monitored wavelengths from specific positions of the excitation or emission spectra, respectively.
$4f^55d$ | in the PLE spectra are attributed to the transition from the $^7F_6$ ground state to the $^7D_2$ excited state, which corresponds to the 4f to $4f^55d$ levels. At these levels, the transition belongs to the spin-allowed $4f \rightarrow 5d$ transition. The $4f \rightarrow 5d$ transition of Tb$^{3+}$ in Ba$_3$Si$_6$O$_{12}$N$_2$ can be predicted by Dorenbos’ expression$^{36-38}$.

\[
D(\text{Ln}, A) = E(\text{Ln, free}) - E(\text{Ln, A}) + \Delta E_{\text{Ln,Ce}}
\]

where $D(\text{Ln}, A)$ is the crystal-field depression of the 4f$^{n-1}5d$ levels of a lanthanide ion (Ln$^{3+}$) in compound A relative to the energies in the free ion, $E(\text{Ln, free})$ is the energy of the first f-d transition of Ln$^{3+}$ as a free ion (gaseous), $E(\text{Ln, A})$ is the f-d energy difference of Ln$^{3+}$-doped compound A with $D(\text{Ln}, A)$ and $\Delta E_{\text{Ln,Ce}}$ is defined as the difference in the f-d energy of Ln$^{3+}$ with that of the first electric dipole-allowed transition of Ce$^{3+}$. The effect of the crystal field and the covalence of the host lattice on the red shift of the 5d levels are approximately equal for all rare earth ions. Thus, the depression of $D(\text{Ce}, \text{Ba}_3\text{Si}_6\text{O}_{12}\text{N}_2)$ can be used to predict the 5d energies of other lanthanides. The lowest 4f-5d excitation transition of Ce$^{3+}$, $E(\text{Ce}^{3+}, \text{Ba}_3\text{Si}_6\text{O}_{12}\text{N}_2)$, was determined as 338 nm (29 586 cm$^{-1}$), as shown in Supplementary Fig. S1. The 5d level of the free Ce$^{3+}$ ion was reported as 49 340 cm$^{-1}$.$^{13-14}$ Therefore, $D(\text{Ce}^{3+}, \text{Ba}_3\text{Si}_6\text{O}_{12}\text{N}_2)$ is ~ 19 754 cm$^{-1}$ according to Equation (1). $\Delta E_{\text{TB,Ce}}$ is reported as 13 200 cm$^{-1}$.$^{13-14}$ The lowest 4f-5d transition energy $E(\text{TB}^{3+}, \text{Ba}_3\text{Si}_6\text{O}_{12}\text{N}_2)$ can be predicted by Equation (2) and was determined as 42 786 cm$^{-1}$ (233 nm), which was consistent with the experimental data (234 nm). The calculation scheme is shown in Supplementary Fig. S3.

Another broad band (130–200 nm) monitored at 435/412 nm ($^3D_2 \rightarrow ^2F_{4,5}$ blue sets) belongs to a mixed band composed of the host-absorption band (HAB) and the O$_{2p}$/N$_{2p}$ to T$_{2g}$ charge-transfer band transitions. Furthermore, the host-absorption band from the undoped Ba$_3$Si$_6$O$_{12}$N$_2$ sample is at ~ 180 nm. This value agrees well with the calculated band gap of 6.9 eV using density functional theory calculation.$^{16,28}$ The detailed discussion and experimental results are depicted in the supporting information and Supplementary Fig. S4. The charge-transfer band position of Tb$^{3+}$ in Ba$_3$Si$_6$O$_{12}$N$_2$ can be predicted by Jørgensen’s expression$^{40}$:

\[
E_{\text{CT}} = \left[\chi_{\text{opt}}(X) - \chi_{\text{uncorr}}(M)\right] \times 10^4 \text{cm}^{-1}
\]

where $\chi_{\text{opt}}(X)$ is the optical electronegativity of the ligand ion (similar to Pauling’s electronegativity), and $\chi_{\text{uncorr}}(M)$ can be calculated using Su’s expression$^{41}$:

\[
E^0_{\text{Ln}} \left[\text{Ln}^{n+} \rightarrow \text{Ln}^{(n-1)+}\right] = 4.273\chi_{\text{uncorr}}(M) - 7.776
\]

where $E^0_{\text{TB}}(\text{TB}^{3+} \rightarrow \text{TB}^{2+})$ was reported as ~ 3.7 eV$^{41}$. Therefore, $\chi_{\text{uncorr}}(\text{TB})$ can be predicted to be 0.95. The $E_{\text{CT}}$ values were predicted as 64 500 and 52 500 cm$^{-1}$, which correspond to 155 and 190 nm, respectively. $\chi_{\text{opt}}(O)$ and $\chi_{\text{opt}}(N)$ are ~ 3.1 and ~ 2.7, respectively.

A possible mechanism for the overall effects of UV/VUV-pumped Tb-doped phosphor is proposed and depicted by a configurational coordinate model shown in Figure 3a. A moderate Tb-activator concentration is used in the Ba$_2$Si$_6$O$_{13}$N$_2$:Tb$_{0.11}$ phosphor (Tb occupancy of ~ 3.7 atom%) to suppress the high cross-relaxation probability (i.e., to retain a certain number of excited electrons at the $^5D_2$ state). Radiative decay partly occurs from the $^5D_2$ to the $^7F_{J=3-6}$ states. Nevertheless, the green set remains the leading transition because the direct feeding from the 5d level to the $^5D_2$ state [path (I) in Figure 3a] remains the dominant process (shown in 254 nm of the excited PL spectrum). The green transition gradually declines with increasing excited radiation energy from 254 to 147 nm, and the blue transition concurrently grows toward the opposite direction. Increased radiation energy from 254 to 211 nm leads to enhanced probability of the excited electrons to move down to the $^3D_2$ state by crossing the intersection point between the 5d level and the $^3D_2$ state [path (II) in Figure 3a].

Surprisingly, the blue set rapidly becomes the primary emission when the excitation energy reaches 147 nm in the VUV range. This process can be attributed to a mechanism by which the electrons of the host lattice in the valence band (VB) are excited to the conduction band (CB) by high-energy radiation (147 nm) and then relax to the charge-transfer state (CTS) [path (III) in Figure 3a]$^{42}$. These electrons transfer to the $^3D_2$ state by crossing the CTS-$^3D_2$ intersection point [path (IV) in Figure 3a] and eventually return to the ground state by emitting blue light. Therefore, the determining step, VB $\rightarrow$ CB, in the foregoing route (VB $\rightarrow$ CB $\rightarrow$ CTS $\rightarrow$ $^3D_2$ state $\rightarrow$ emitting blue light)
is considered the possible driving force for the color-reversal effect. The band gap between VB and CB (host-lattice absorption) was evaluated at 6.9 eV. This value indicates that the route can be switched on or off (i.e., as a color-tunable switch) by controlling the excited wavelength at approximately this energy.

Figure 3b plots the ratio of the blue set to the green set, $\Sigma(I(D_3))/I(D_4)$ (i.e., the ratio of the entire integrated emission bands of $^5D_3 \rightarrow ^7F_J$ to that of $^5D_4 \rightarrow ^7F_J$) according to the emission spectra of the BSO:Tb excited by different wavelengths (254, 234, 211, 190, 170 and 147 nm), as shown in Supplementary Fig. S5. Under 211–254-nm-UV excitation, this ratio is ~0.5. However, the ratio increases rapidly to 4.6 under 147-nm-VUV excitation, indicating that additional emission in the $^5D_3 \rightarrow ^7F_J$ process can be estimated when the excitation energy exceeds 6.5 eV. This extra energy can be attributed to the electrons donated from the host VB because the onset energy for this curve is at ~6.5 eV. This value closely matches the band gap of the host lattice, suggesting that the excited electrons of the $^5D_3$ state radiative decay are from both the 5d level of the Tb activator and the host-lattice CB. This dual accumulation leads to an exponential growth in the $\Sigma(I(D_3))/I(D_4)$ ratio, and the systematic color reversal between blue and green sets is also observed in the Commission Internationale de l’Eclairage coordinate, as shown in Supplementary Table S2 and Supplementary Fig. S6. Therefore, the color-reversal effect can be practically manipulated with a UV/VUV switch with a wavelength of ~190 nm. The PLE spectra can be classified into two types. The first type monitors the emission from the $^5D_3$ state under 542/478 nm, and the other type monitors the emission from the $^5D_3$ state under 435/412 nm. Two findings supporting the proposed mechanism can be discovered in the PLE spectra. First, the onset wavelength of the broad band (190 nm) and the onset energy of Figure 3b match well with the band gap value. The coincidence of both experimental and theoretical analysis strongly supports the mechanism. Therefore, this onset can represent a switch function in the proposed route. Moreover, this wide band within the VUV region is observed only in the $^5D_3$-type PLE spectra, but disappears in the $^5D_4$-type, suggesting that cross-interaction might not occur between CTS and the $^5D_4$ state. All the excited electrons from the host-lattice VB simply emit blue light and then respond to an exponential climb on the $\Sigma(I(D_3))/I(D_4)$ curve. Second, the other evidence for the mechanism is the shape change of the 4f–5d transition band in the 200–280 nm range. The direct feeding to the $^5D_4$ state is considerable and leads to a low number of quantities that can be accommodated in the $^5D_4$ state. Numerous studies have reported that the excited electrons in a low-temperature environment have higher probability to populate at lower energy vibrational states of the five 5d-orbitals, leading to narrow peaks, and respond to a higher resolution for excitation detection because of the relaxation process of the thermally stable phonons at individual 5d states without cross-interacting with each other. A similar phenomenon can be observed in the $^5D_3$-type excitation spectra. The low population of $^5D_3$ state electrons narrows down the bandwidth of the 4f–5d excitation transition in the PLE spectrum. The reason for this is that the electron population among each 5d-orbital might be limited to the localized vibrational state distribution because of the few electrons in the $^5D_3$ state, whereas the excited energy scans until the UV region (~200 nm). As a result, the 5d level can be clearly resolved at 211, 234 and 254 nm. Although, the Tb ions are situated in nearly octahedral coordination spheres, the crystal-field strength splits the five 5d-orbitals into three different degeneracies rather than two theoretical octahedral 5d states (e.g., $t_{2g}$ and $e_g$). The detailed discussion is depicted in the supporting information. However, the excitation signal of $^5D_3$-type rapidly increases compared with that of $^5D_4$-type, whereas the excited energy scans until the VUV region (~200 nm). These findings demonstrate that the CTS simply interacts with the $^5D_3$ state rather than the $^5D_4$ state.

**CONCLUSIONS**

In summary, a color-reversal effect, which is a specific transition route that acts as a switch of green/blue emissions, was observed in a Ba$_{3}$Ga$_{5}$O$_{12}$:Ce$_{3+}$:Tb$_{0.11}$ phosphor. This effect is expected to be generally exhibited by all phosphors doped with Tb activators. In addition, the same effect may also be applicable to other lanthanide $f$-$f$ inner-shell transition systems that are sensitive to cross-relaxation mechanisms.

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