Stability of radiation-pressure dominated disks

I. The dispersion relation for a delayed heating $\alpha$-viscosity prescription

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ABSTRACT

We derive and investigate the dispersion relation for accretion disks with retarded or advanced heating. We follow the $\alpha$-prescription but allow for a time offset $\tau$ between heating and pressure perturbations, as well as for a diminished response of heating to pressure variations. We study in detail solutions of the dispersion relation for disks with radiation-pressure fraction, $1-\beta$, and $\xi$, the ratio of viscous stress response to pressure perturbations. For $\tau<0$ (advanced heating) the number and sign of real solutions for the growth rate depend on the values of $\tau$, and $\xi$; if the magnitude of $\tau$ is larger than a critical value (e.g., more than twice the thermal time, $-\tau > 2 \tau_0$, for $\beta = 0$ and $\xi = 1$) two real solutions exist, which are both negative. These results imply that radiation-pressure dominated accretion disks may be stabilized when there is a time delay between stress fluctuations and fluctuations in heating.

Key words. accretion, accretion disks – hydrodynamics – instabilities

1. Introduction

The Shakura & Sunyaev (1973) $\alpha$-viscosity accretion disk model has been extremely successful in describing various astronomical objects and systems. The only exception is its application to systems accreting at high rates. At rates where pressure is dominated by that of radiation, and opacity by electron scattering, the $\alpha$ disk is thermally and viscously (secularly) unstable (Lightman & Eardley 1974; Shakura & Sunyaev 1976; Shibazaki & Hoshi 1975). In the case of accretion onto black holes this regime corresponds to luminosities in excess of $\approx 0.01 L_{\text{Edd}}$, where $L_{\text{Edd}} = GMm_p/c^2\sigma_T$, $m_p$ is the proton mass and $\sigma_T$ the Thompson cross-section. However, black-hole X-ray sources cross this limit upwards to maximum luminosity and downwards to minimum luminosity showing no dramatic symptoms at all (but they enter the so-called hard/low state, see e.g., McClintock & Remillard 2006), and certainly not the behavior anticipated by models (e.g., Lasota & Pelat 1991; Taam & Lin 1984). Observations suggest that disks in black-hole transient systems are stable up to at least $\sim 0.5 L_{\text{Edd}}$ (Done et al. 2004).

Models of radiation-pressure dominated disks are unstable only when the viscous stress is proportional to the total pressure ($\alpha$ being the proportionality constant). Models with ad hoc viscosity prescriptions have been studied but their relation to reality remains unknown. Simulations of the magneto-rotational instability in radiation-pressure dominated disks (see e.g., Turner 2004) showed that stress was approximately proportional to the total pressure, but they exhibited no sign of instability.

Recently, Hirose et al. (2009) showed that although the linear correlation of vertically integrated stress and pressure is roughly satisfied in shearing-box MHD simulations of radiation-pressure dominated disks, these quantities are shifted in time – pressure responds to stress variations after $\sim 10-20$ dynamical times. We interpret these results to represent a delay in heating with respect to magnetic stress fluctuations. Using these simulation results as a guideline, we perform an analytical, perturbative study of stability of disks that allows for such a time lag between stress and pressure. In the purely hydrodynamic calculations performed in this paper, we can only model magnetic stress as pressure, and heating as the square of the off-diagonal components of the stress tensor. Thus, delayed heating is modeled as a delayed response to fluctuations in pressure of the $r$-$\phi$ component of the stress tensor.

The theory of delayed oscillators has already been extensively developed (e.g., Minorsky 1944; Cooke & Grossman 1982; Bellman & Cooke 1963). It predicts that an oscillator may be easily stabilized (or destabilized) if only the system parameters are chosen properly. We show that this is the case also for accretion disks. Similar conclusions were recently obtained in...
another analytic study parallel to this work by Lin et al. (2011)—the results of that study and ours agree in their common domain (see Sect. 4.2, below).

This work is devoted to the mathematical part of this project. In Sect. 2.1 we discuss our choice of the modified viscosity prescription. In Sect. 2.2 we derive the dispersion relation. In Sect. 3 we present a detailed discussion of the long wavelength limit. In Sect. 4 we discuss solutions of the dispersion relation. Finally, in Sect. 5 we summarize our results. Detailed discussion of their physical implications will be given in a separate paper.

2. Perturbative analysis of disk stability

We base our study on a linear perturbative analysis following the approach pioneered by Piran (1978). The unperturbed disk is assumed to be steady, so that time dependence can only be found in the perturbations. In the following, it is understood that the characteristic length scales of radial variation of unperturbed variables are \( r \). We consider small axisymmetric perturbations with radial wavenumber \( k \), assuming that their wavelength \( \lambda = 2\pi/k \) satisfies the relation

\[ H \ll \lambda \ll r, \]

where \( H \) is the half-thickness of the disk. (The assumption of geometrical thinness ceases to be valid for disks with \( L > \sim 0.1L_{Edd} \), so that strictly speaking our results apply only to the low luminosity region of the regime where the thermal-viscous instability would appear according to the standard model.) Since the radial flow is slow in comparison to the azimuthal motion, we make the usual approximation (Kato et al. 2008) in calculations of instability that \( n_0 = 0 \), where the index 0 will denote unperturbed quantities. We define the following dimensionless variables corresponding to the Eulerian perturbations of vertically integrated pressure \( (P) \), radial velocity \( (v_r) \), surface density \( (\Sigma) \), disk thickness \( (H) \), and vertically integrated viscous stress \( (T_\nu) \),

\[
\bar{u} = \frac{v_r}{\Omega r}, \quad \bar{\sigma} = \frac{\Sigma_1}{\Sigma_0}, \quad \bar{\theta} = \frac{P_1}{P_0}, \quad \bar{h} = \frac{H_1}{H_0}, \quad \bar{\Omega} = \frac{T_1}{T_0},
\]

where \( \Omega = \sqrt{GM/r^3} > 0 \) is the Keplerian rotational frequency. We assume that all of these quantities represent complex waveforms \( e^{i\Omega t - ikr} \), e.g., \( \bar{\sigma} = \sigma e^{i\Omega t - ikr} \) and

\[
P(r, t) = P_0(r) + P_1(r, t) = P_0(r)(1 + \xi e^{i\Omega t - ikr}),
\]

where \( n \) stands for the dimensionless frequency, and \( \xi \) is a constant and uniform dimensionless amplitude. Negative values of the real part of the dimensionless frequency, \( \Re(n) \), correspond to damped (stable) perturbations while positive values to exponentially growing (unstable) ones. The imaginary part of \( n \) determines the frequency of the corresponding oscillations.

2.1. Viscosity prescription

In the standard approach, based on the \( \alpha \)-prescription (Shakura & Sunyaev 1973), one assumes that the \( \tau_r \) component of the stress tensor, \( T_{\tau_r} \), is proportional to pressure:

\[
T_{\tau_r}(r, t) = -\alpha P(r, t),
\]

where \( T_{\tau_r} \) and \( P \) are given in terms of the unperturbed and perturbed quantities as

\[
T_{\tau_r} = T_0(1 + \theta e^{i\Omega t - ikr}), \quad P = P_0(1 + \xi e^{i\Omega t - ikr}),
\]

Although results similar to those presented below can be obtained with a constant value of \( \alpha \), in this work we find it convenient to assume a modified prescription for viscosity. With the following prescription, a time offset will appear in the heating term alone, and not in the angular momentum equation (through linear order). Instead of assuming that \( \alpha \) is constant both in time and radius we write

\[
\alpha(r, t) = \alpha_0(1 + \bar{\alpha}) = \alpha_0 \left[ 1 + (\theta e^{-\alpha\tau t} - \bar{\alpha}) e^{i\Omega t - ikr} \right],
\]

with

\[
T_0 = -\alpha_0 P_0.
\]

We introduce the viscous stress to pressure response factor, defined as the ratio of the dimensionless amplitudes of stress and pressure perturbations,

\[
\xi \equiv \frac{\theta}{\bar{\alpha}}.
\]

We assume \( \xi \) to be real and positive. A value of \( \xi < 1 \) signifies that short-term fluctuations in pressure correspond to fluctuations in viscous stress and heating that are diminished in comparison with long-term averages. The perturbation of \( \alpha \), defined in Eq. (7), may be expressed in terms of \( \bar{\alpha} \), and \( \xi \) of Eq. (9):

\[
\bar{\alpha} = (\xi e^{-\alpha\tau t} - 1)\bar{\alpha} \equiv \bar{\alpha}_\tau - \bar{\alpha}.
\]

As we will see in Eq. (49), the heating perturbation contains a term proportional to the perturbation in \( \alpha \), and thus includes the term \( \bar{\alpha}_\tau = \xi \bar{\alpha} e^{-\alpha\tau t} \), which is delayed by \( \tau \) with respect to pressure fluctuations:

\[
\bar{\alpha}_\tau = \xi P_1(r, t - \tau)/P_0.
\]

In this sense \( \tau \) can be called a heating delay\(^1\).

2.2. Derivation of the dispersion relation

In the following four subsections we derive perturbed forms of hydrostatic balance, mass conservation, angular momentum balance and energy equation for geometrically thin, axisymmetric accretion disks.

2.2.1. Hydrostatic balance

The balance of vertical forces, after integrating along the vertical coordinate, takes the following form,

\[
\Omega^2 H^2 = \frac{P}{\Sigma}.
\]

Writing this form of the vertical force balance we assume the disk to be in hydrostatic equilibrium, since the thermal and secular timescales are much longer than the dynamical timescale.

Perturbing Eq. (12) with small-amplitude axisymmetric perturbations, and assuming that the azimuthal component of velocity undergoes no change, we get

\[
\Omega^2 H_0^2 (1 + \bar{h})^2 = \frac{P_0(1 + \bar{\sigma})}{\Sigma_0(1 + \bar{\sigma})}.
\]

\(^1\) Results obtained in our paper for positive values of the heating delay ("retarded heating") correspond to the “stress delay” results of Lin et al. (2011).
Using the unperturbed Eq. (12), we get

\[(1 + \tilde{h})^2(1 + \tilde{\sigma}) = 1 + \tilde{\sigma},\]

i.e., through linear order

\[1 + 2\tilde{h} + \tilde{\sigma} = 1 + \tilde{\sigma},\]

and finally

\[2\tilde{h} = \tilde{\sigma} - \tilde{\sigma}.\]

### 2.2.2. Angular momentum conservation

The vertically integrated form of the continuity equation can be written as

\[\frac{\partial \tilde{\sigma}}{\partial t} + \frac{\partial}{\partial r}(r \Sigma \tilde{u}) = 0.\]

Its perturbed form is

\[\frac{\partial}{\partial t}\Sigma_0(1 + \tilde{\sigma}) + \frac{\partial}{\partial r}r\Sigma_0(1 + \tilde{\sigma})r\Omega \tilde{u} = 0.\]

Neglecting terms of the second order \((\tilde{\sigma}^2)\) and using Eq. (15) in its unperturbed form, \(\Sigma_0/\partial t = 0\), we obtain

\[\Sigma_0 \Omega n \tilde{u} + \Sigma_0 \tilde{u} \left[ \frac{\partial \ln (r^2 \Sigma_0 \Omega)}{\partial \ln r} - ikr \right] = 0.\]

The logarithmic derivative term may be neglected on the strength of the assumption stated in Eq. (1). Thus, we obtain the final relation

\[n \tilde{e} = ikr \tilde{u}.\]

### 2.2.3. Angular momentum conservation

The angular momentum conservation law is

\[\Sigma_0 \frac{1}{r} \frac{\partial}{\partial r}(r^2 \Omega) = \frac{\partial}{\partial r}r^2 T_{\Omega \theta}.\]

For the first order perturbations we obtain

\[\Sigma_0 \Omega \tilde{u} \frac{1}{r} \frac{\partial}{\partial r}(r^2 \Omega) = \frac{\partial}{\partial r}r^2 T_{\Omega \theta}.\]

According to Eq. (1) the derivative on the right hand side is dominated by the \(\partial \tilde{\theta}/\partial r\) term. Using Eq. (8), and introducing the speed of sound squared \(c_s^2 \equiv P_0/\Sigma_0\), and the vertical epicyclic frequency

\[\kappa^2 = \frac{2\Omega^2}{r} \frac{\partial}{\partial r}r^2 \tilde{\theta},\]

we obtain

\[\frac{\kappa^2 \tilde{u}}{2\Omega^2} - ikr \tilde{r} \left( \frac{c_s}{r \Omega} \right)^2 \tilde{\theta} = 0,\]

and finally,

\[\tilde{u} = 2ikr \tilde{r} \left( \frac{c_s}{r \Omega} \right)^2 \tilde{\theta}.\]

Incidentally, in our study \(\kappa = \Omega\) and Eq. (12) reads \(c_s/(r \Omega) = H_0/r\), so \(c_s/(r \kappa) = H_0/r\).

#### 2.2.4. Energy equation

We start from the second law of thermodynamics in the form (e.g., Kato et al. 2008)

\[\frac{\partial E}{\partial t} + \frac{\partial}{\partial r}r \Sigma \left[ v_r (E + P) - \frac{\partial P}{\partial r} \right] + \frac{1}{2} \frac{\partial}{\partial r}r \Sigma \left( v_r \frac{\partial H}{\partial r} \right) = Q^\text{rad} - Q^\text{vis},\]

or simply,

\[\frac{\partial E}{\partial t} + \frac{\partial}{\partial r}r \Sigma \left[ \frac{v_r H}{\gamma - 1} \right] + \frac{1}{2} \frac{\partial}{\partial r}r \Sigma \left( v_r \frac{\partial H}{\partial r} \right) = Q^\text{rad} - Q^\text{vis},\]

where \(E\) is the vertically integrated specific energy,

\[E = \left[ 3(1 - \beta) + \frac{\beta}{\gamma - 1} \right] P \equiv AP,\]

\(\beta\) is the gas to total pressure ratio, \(\gamma\) is the ratio of specific heats, \(Q^\text{rad}\) and \(Q^\text{vis}\) are the (per unit area) viscous heating and radiative cooling rates, respectively.

Let us differentiate both sides of Eq. (25) with respect to time. Assuming that \(Q^\text{rad}\) is a function of \(P\) and \(\alpha\) (following the \(\alpha\)-prescription) while \(Q^\text{vis}\) is a function of \(P\) and \(\Sigma\) (as is the case for radiative cooling in the optically thick regime), we obtain

\[\frac{\partial Q^\text{rad}}{\partial t} + \frac{\partial}{\partial r}r \Sigma \left[ \frac{v_r \Sigma}{\gamma - 1} \right] + \frac{1}{2} \frac{\partial}{\partial r}r \Sigma \left( v_r \frac{\partial \Sigma}{\partial r} \right) = \frac{\partial Q^\text{vis}}{\partial t} + \frac{\partial}{\partial r}r \Sigma \left[ \frac{v_r \Sigma}{\gamma - 1} \right] + \frac{1}{2} \frac{\partial}{\partial r}r \Sigma \left( v_r \frac{\partial \Sigma}{\partial r} \right).\]

Introducing the perturbations and differentiating we get,

\[\frac{1}{n \Omega} \frac{\partial Q^\text{rad}}{\partial t} = \frac{1}{\Omega} \frac{\partial}{\partial r}r \Sigma \left[ \frac{v_r \Sigma}{\gamma - 1} \right] P_0 \tilde{\alpha} + \left( \frac{\partial Q^\text{vis}}{\partial P} \right)_\Sigma \frac{\partial P}{\partial t} + \left( \frac{\partial Q^\text{vis}}{\partial \Sigma} \right)_P \frac{\partial \Sigma}{\partial t} + \left( \frac{\partial Q^\text{vis}}{\partial \alpha} \right)_P \frac{\partial \alpha}{\partial t} + \left( \frac{\partial Q^\text{vis}}{\partial \Omega} \right)_P \frac{\partial \Omega}{\partial t} + \left( \frac{\partial Q^\text{vis}}{\partial \gamma} \right)_P \frac{\partial \gamma}{\partial t}.\]

Finally, we have,

\[\frac{\partial}{\partial t}(Q^\text{vis} - Q^\text{rad}) = n \Omega^2 P_0 (\partial G_\alpha + \partial G_\alpha + \partial G_\alpha),\]

where

\[G_\alpha = \frac{1}{\Omega} \left( \frac{\partial Q^\text{vis}}{\partial \alpha} \right)_P \frac{\partial P}{\partial t} + \left( \frac{\partial Q^\text{rad}}{\partial \alpha} \right)_P \frac{\partial \alpha}{\partial t} + \left( \frac{\partial Q^\text{vis}}{\partial \Omega} \right)_P \frac{\partial \Omega}{\partial t} + \left( \frac{\partial Q^\text{vis}}{\partial \gamma} \right)_P \frac{\partial \gamma}{\partial t}.\]
The perturbation of $\beta$ is given by the following expression (Chandrasekhar 1958),

$$\dot{\beta} \equiv \frac{\dot{\beta}_0}{\beta_0} = \frac{1}{4-3}\beta_0(4\dot{\sigma} - 3\ddot{\sigma} - \dddot{\sigma}).$$  \hspace{1cm} (38)

Hence, like all the other time derivatives, the time derivative of $A$ is first order in the perturbation:

$$\frac{\partial A}{\partial t} + \frac{\partial}{\partial t} \left[ (1 - \beta) + \frac{\beta}{\gamma - 1} \right] = \beta_0 \frac{4 - 3\gamma}{\gamma - 1}\dot{\beta}. \hspace{1cm} (39)$$

The time derivative of the first term on the left hand side of Eq. (25) is given by,

$$\frac{\partial^2 E}{\partial t^2} = \frac{\partial^2 A}{\partial t^2} + 2 \frac{\partial A}{\partial t} \frac{\partial P}{\partial t} + A \frac{\partial^2 P}{\partial t^2},$$  \hspace{1cm} (40)

where Eq. (26) has been taken into account. The derivatives of $A$ and $P$ are both first order, so the middle term is second order and may be neglected. The other terms are

$$\frac{\partial^2 P}{\partial t^2} = A P_0 \Omega^2 \dot{\sigma}$$  \hspace{1cm} (41)

and

$$\frac{\partial^2 A}{\partial t^2} = \frac{4 - 3\gamma}{\gamma - 1} \frac{\partial^2 \beta}{\partial t^2}. \hspace{1cm} (42)$$

The second time derivative of $\beta = \beta_0 (1 + \dot{\beta})$ equals,

$$\frac{\partial^2 \beta}{\partial t^2} = n^2 \Omega^2 \beta_1,$$ \hspace{1cm} (43)

so finally,

$$\frac{\partial^2 E}{\partial t^2} = n \Omega^2 P_0 \left( n \dot{\sigma} \dot{\sigma} + n^2 \frac{4 - 3\gamma}{\gamma - 1} \beta_0 \dot{\beta} \right).$$ \hspace{1cm} (44)

Perturbing the second term on the left hand side of Eq. (25), keeping in mind the assumption of Eq. (1), we get through first order

$$\frac{\partial}{\partial r} (r^2 \Omega \dot{E}) = -i k r \Omega A P_0 \dot{\sigma}.$$

The time derivative of this expression is

$$\frac{\partial}{\partial t} \frac{\partial}{\partial r} (r^2 \Omega \dot{E}) = -i k r \Omega \dot{P}_0 \dot{A} \dot{\sigma}.$$

(46)

The time derivatives of the terms involving $H$ in Eq. (25) are straightforward to compute. The first order terms are

$$\frac{P}{H} \frac{\partial H}{\partial t} + \frac{\partial (r v, H)}{\partial r} = P_0 \Omega \dot{H} + P_0 \frac{\partial}{\partial r} (r \Omega \dot{H})$$

$$= P_0 \Omega \dot{H} - i k P \Omega \dot{\sigma} \dot{\sigma}$$  \hspace{1cm} (47)

and their time derivative is

$$\frac{\partial}{\partial t} \frac{\partial}{\partial t} (P \frac{\partial H}{\partial t} + P_0 \frac{\partial}{\partial r} (r \Omega \dot{H})) = n \Omega^2 P_0 (n \dot{H} - i k \dot{\sigma} \dot{\sigma}).$$ \hspace{1cm} (48)

Collecting Eqs. (31), (44), (46) and (48), we obtain the final form of the perturbed energy equation,

$$n \dot{\sigma} + n \frac{4 - 3\gamma}{\gamma - 1} \dot{\beta} \beta + n \dot{H} - i k \dot{\sigma} (A + 1) = \dot{\sigma} G_{\sigma} + \sigma G_{\sigma} + \sigma G_{\sigma}.$$ \hspace{1cm} (49)

2.2.5. System of perturbed equations and the dispersion relation

Equations (14), (18), (23) and (49) form a system of four algebraic equations.

Taking Eq. (10) into account we obtain four coupled homogeneous algebraic equations in the following form,

$$2 \dot{h} = \ddot{\sigma} - \dddot{\sigma},$$

$$n \dot{\sigma} = i k \dot{\sigma},$$

$$\ddot{u} = 2 i k n_0 \left( \frac{\epsilon}{\gamma} \right)^2 \xi \dot{\sigma},$$

$$A n \ddot{\sigma} + n \frac{4 - 3\gamma}{\gamma - 1} \beta_0 \dot{\beta} + n \dot{h} - i k \dot{\sigma} (A + 1) = \dot{\sigma} G_{\sigma} + \sigma G_{\sigma} + \sigma G_{\sigma} (G_{\sigma} - 1) G_{\sigma},$$

where $\beta$ is given by Eq. (38). This system has nontrivial solutions for the four variables $h, \sigma, \ddot{\sigma}$, and $\dot{u}$, if

$$n^2 C_1 + n \left( \xi F C_2 + \frac{1}{\gamma - 1} - G_{\sigma} \right) + \xi F G_{\sigma} = 0,$$ \hspace{1cm} (51)

where

$$F = 2 n_0 \left( \frac{k c_s}{k} \right)^2,$$ \hspace{1cm} (52)

$$C_1 = 4 \frac{B + 1}{2},$$ \hspace{1cm} (53)

$$C_2 = 4 \frac{B + 3}{2},$$ \hspace{1cm} (54)

$$A = 4 \frac{3 \gamma - 4}{(1 - \beta) \gamma - 1} (4 - 3\beta).$$ \hspace{1cm} (55)

and $A$ is given in Eq. (26). For $\beta = 0$ the coefficients of Eq. (51) do not depend on $\gamma$. For $\xi = 1$ and $\Omega \tau = 0$ the condition given in Eq. (51) simplifies to the standard dispersion relation for perturbed accretion disks (e.g., Kato et al. 2008). Note that $0 \leq \beta \leq 1$, and that for $\gamma \geq 4/3$, $A$ and $B$ satisfy $A > 0$, and $B \geq 0$. Thus, both $C_1$ and $C_2$ are positive for any value of $\beta$. In the following, we will take $\gamma = 5/3$ whenever a specific value is required for numerical results. We also specialize to $F = 2 n_0 (k H_0)^2$, in accordance with the comment following Eq. (23).

3. Long-wave limit

Let us first consider the solutions of the dispersion relation Eq. (51) in the long wavelength limit, i.e., $k H \to 0$, which is very useful in classifying solutions of arbitrary wavelength. In the limit $k H \to 0$ we neglect terms proportional to $F$ and obtain

$$n \left[ n C_1 + (1 - \xi e^{-k \gamma})G_{\sigma} - G_{\sigma} \right] = 0,$$ \hspace{1cm} (56)

with a trivial solution $n = 0$. Dividing by $n \neq 0$ and using Eqs. (35)–(37) we get for the remaining solutions

$$n C_1 + \frac{3}{2} n_0 \left[ 1 - \xi e^{-k \gamma} - f \right] = 0,$$ \hspace{1cm} (57)

where

$$f \equiv \frac{G_{\sigma}}{G_{\sigma}} = \frac{2 - 5\beta}{4 - 3\beta}.$$ \hspace{1cm} (58)
3.1. The case of no delay, \( \Omega \tau = 0 \)

Solving Eq. (57) is easy for \( \Omega \tau = 0 \). In this case \( n \) is real and is given by,

\[
\tau_0 = \frac{3\alpha_0 (\xi + f - 1)}{2C_1}.
\]  

(59)

As \( C_1 \) is positive for all values of \( \beta \), the sign of \( n \) is determined by the sign of \( \xi + f - 1 \). For \( \xi = 1 \), \( \tau_0 = G_0/C_1 \) and it is positive for \( \beta < 2/5 \) and negative for \( \beta > 2/5 \). The latter inequality is the standard condition for disk stability. In general, we get the following criterion for the negative sign of \( n \),

\[
\xi < 1 - \frac{f}{2} = \frac{2(1 + \beta)}{4 - 3\beta}.
\]  

(60)

The shaded area in Fig. 1 presents the region in the \((\beta, \xi)\) plane for which the real root of Eq. (57) is negative for all \( \Omega \tau \geq 0 \).

3.2. Arbitrary \( \Omega \tau \)

Let us now consider the general case of \( \Omega \tau \neq 0 \). Equation (57) is no longer trivial as it involves an exponential function of \( n \). An infinite number of complex solutions is expected as the exponential function \( e^{\alpha \Omega \tau} \) involves periodic trigonometric functions whenever the imaginary part of \( n \) is nonzero, \( \Im(n) \neq 0 \). All imaginary solutions are conjugate. As will be shown in the following section, no more than two real solutions may exist. To find the roots of a nonlinear complex equation such as Eq. (57) one has to use numerical methods. We used the MINPACK routines (More et al. 1984). First, the locations of minima of the absolute value of the left hand side of Eq. (57) were roughly estimated. The values so obtained served as starting points for the nonlinear solver.

In Fig. 2 we plot color-coded absolute values of the left hand side of Eq. (57) for \( \beta = 0, \xi = 1, a = 0.1 \) and three values of \( \Omega \tau = -50 \) (left), \( \Omega \tau = 0 \) (middle), \( \Omega \tau = 50 \) (right panel). Only \( \Im(n) \geq 0 \) regions are shown. The darker the color, the smaller the value. Red crosses denote real solutions while red squares show locations of solutions with non-zero imaginary part. For each of the values \( \Omega \tau = 0 \) and \( \Omega \tau = 50 \) a single real solution exists, and it satisfies \( \Re(n) > 0 \). For \( \Omega \tau \neq 0 \) there is an infinite number of complex solutions. The sign of their real part (with the exception of the first imaginary root when \( \Omega \tau < 0 \)) is in general opposite to the sign of \( \Omega \tau \).

Figure 3 presents the real part of solutions of Eq. (57) in the \((\Omega \tau, \Re(n))\) plane for the chosen values of \( \alpha, \beta \) and \( \xi \). Solid lines present real solutions (crosses in Fig. 2). Dotted lines correspond to ordinary complex roots (squares in Fig. 2) – only the first 20 are plotted. The dashed line connecting two real branches is a special class of complex solutions.

Taking \( \Omega \tau = 0 \) we recover the real solution given by Eq. (59). For small but negative \( \Omega \tau \) there are two real solutions, one of which satisfies \( \lim_{\tau \rightarrow -\infty} \Re(n) = +\infty \). These two real solutions converge to each other and merge into complex conjugate solutions (empty star in Fig. 3) at a critical value of \( \Omega \tau_1 \approx -11 \). These roots split into two real solutions, but this time negative, at \( \Omega \tau_2 \approx -125 \) (solid star). The upper branch of these two approaches \( \Re(n) = 0^+ \) for \( \Omega \tau \rightarrow -\infty \). For \( \Omega \tau > 0 \) there is only one real solution, which is positive and approaches \( \Re(n) = 0^+ \) for \( \Omega \tau \rightarrow +\infty \).

In addition to these solutions, an infinite number of periodic complex solutions exists (dotted lines). For most of the range of \( \Omega \tau \) presented in Fig. 3 they appear in the second and fourth quadrant of \((\Omega \tau, \Re(n))\) plane for positive and negative \( \Omega \tau \), respectively. For \( |\Omega \tau| \geq 150 \), however, the first complex root, and subsequently the others too (but at much larger absolute values of \( \Omega \tau \)), crosses the \( \Re(n) = 0 \) axis.

3.3. Real roots

Let us examine the real branches in detail. Equation (57) may be rewritten in the following form,

\[
an + b = ce^{-\alpha \Omega \tau},
\]  

(61)

where

\[
a = C_1 > 0, \quad b = \frac{3}{2} \alpha_0 (1 - f) > 0, \quad c = \frac{3}{2} \alpha_0 \xi > 0.
\]  

(62)  

(63)  

(64)

Convexity of the exponential function on the right hand side of Eq. (61) implies that there are no more than two real numbers \( n \) satisfying this equation. For \( \Omega \tau \geq 0 \) the exponential function is decreasing with \( n \) and therefore has exactly one intersection with \( an + b \), and only for \( n > 0 \) (or \( n = 0 \), or \( n < 0 \)) as long as \( b/c < 1 \) (or \( b/c \leq 1 \), or \( b/c > 1 \), respectively). This yields the same criterion for stability as in the \( \Omega \tau = 0 \) case, inequality (60). In this sense, retarded heating does not qualitatively alter the viscous and thermal stability properties of the disk.

For \( \Omega \tau < 0 \) the exponential function is, on the contrary, increasing and may not intersect the linear one at all, may have a single intersection point or may cross it twice. All three cases occur and are clearly visible in Fig. 3, the single solutions are marked by stars and the complex branch connecting these real solutions corresponds to the no intersection case.

The condition for a single real solution of Eq. (57) for \( \Omega \tau < 0 \) may be obtained by matching the gradient of the linear function with the derivative of the right hand side of Eq. (61),

\[
a = d
\]  

(65)
Equations (61) and (65) form a set of two equations corresponding to the linear function being tangent to the exponential one at a given \( n \) and providing the condition, on \( \Omega\tau \) for instance, under which Eq. (57) has only one real solution. After some algebra we get,

\[
\frac{-b}{ce} = \frac{1-f}{\xi e} = \text{we}^w,
\]

where

\[
w \equiv \frac{b}{a} = \frac{3\xi(1-f)}{2C_1} \Omega\tau.
\]

Solutions of Eq. (66) are given by the multivalued Lambert W function (Wright 1959) of its left hand side. Real solutions exist only for values of the left hand side of the equation greater or equal than \(-1/e\). This condition corresponds to,

\[
\xi \geq \frac{2(1+\beta)}{4-3\beta}
\]

As \( \text{we}^w \) has a single minimum, of value \(-1/e\) at \( w = -1\), Eq. (66) has two solutions for all \(-1 < w < 0\), i.e., when the inequality in (68) is sharp. As long as condition (68) is satisfied, the values of \( \Omega\tau \) for which Eq. (57) has only one real solution are therefore given by

\[
\Omega\tau_{1,2} = -f_{1,2}(\xi,\beta) \frac{1}{\alpha_0},
\]

where \( f_{1,2}(\xi,\beta) \) is double-valued, and these critical delays, \( \tau_{1,2} \), scale with the thermal time \( \tau_\text{th} = 2\pi/(\Omega\alpha) \). We take \( f_1 \leq f_2 \), i.e., \( \Omega_2 \leq \Omega_1 < 0 \). In Fig. 4 we plot values of \( f_{1,2}(\xi,\beta) \) as a function of \( \beta \) for various values of \( \xi \). For the standard case of \( \xi = 1 \) and radiation pressure dominated disks (\( \beta = 0 \)) we have \( f_1(1, 0) \approx 1.08 \) and \( f_2(1, 0) \approx 12.50 \), i.e., \( \tau_1 = -0.172 \tau_\text{th} \) and \( \tau_2 = -1.99 \tau_\text{th} \). Thus, for, e.g., \( \alpha = 0.1 \), Eq. (57) has only one real solution for \( \Omega_1 \approx -10.8 \) and \( \Omega_2 \approx -125 \) (corresponding to the stars in Fig. 3). A moment’s reflection leads to the conclusion that there are two positive roots of Eq. (57) for \( \Omega_1 < \Omega_\tau < 0 \), two negative ones for \( \Omega_\tau < \Omega_2 \tau \), and no real roots for \( \Omega_\tau < \Omega_1 \). When condition (60) is satisfied, instead of (68), there are two solutions for \( n \), one positive and one negative.
3.4. Limits of infinite time offset ($\Omega \tau \rightarrow \pm \infty$)

Let us now investigate the limits of real solutions of Eq. (57).

Assuming that $n$ is finite, Eq. (61) simplifies in the limit of $n\Omega \tau \rightarrow +\infty$ to,

$$n_- = \frac{b}{a} = -\frac{3}{2} \frac{a_0}{C_1} \frac{2(1 + \beta)}{4 - 3\beta} < 0.$$  \hspace{1cm} (70)

Therefore, this value is valid only for $\Omega \tau \rightarrow -\infty$. For the standard choice of $\alpha = 0.1, \beta = 0$ and $\xi = 1$ one gets,

$$n_- = -0.021,$$  \hspace{1cm} (71)

which corresponds to the limit of the lower real branch in the third quadrant of Fig. 3.

To find limits of the other two branches let us assume that $n\Omega \tau$ remains finite for $|\Omega \tau| \rightarrow \infty$. This assumption implies $n \rightarrow 0$ and Eq. (61) takes in this limit the following form,

$$b = ce^{-n\Omega \tau}.$$  \hspace{1cm} (72)

Therefore, $n$ has to fulfill the relation,

$$n = -\frac{1}{\Omega \tau} \log \frac{b}{c}.$$  \hspace{1cm} (73)

The sign of the logarithm depends on $\xi$ and $\beta$:

$$\log \frac{b}{c} < 0 \text{ for } \xi > \frac{2(1 + \beta)}{4 - 3\beta}$$  \hspace{1cm} (74)

$$\log \frac{b}{c} > 0 \text{ for } \xi < \frac{2(1 + \beta)}{4 - 3\beta}$$  \hspace{1cm} (75)

Thus, for $\xi$ and $\beta$ satisfying (74) $n$ approaches $0^+$ for $\Omega \tau \rightarrow +\infty$ and $0^-$ for $\Omega \tau \rightarrow -\infty$. If condition (75) is satisfied we have $\lim_{\Omega \tau \to +\infty} n = 0^-$ and $\lim_{\Omega \tau \to -\infty} n = 0^+$.

3.5. Parameter study

In Fig. 5 we show the roots of Eq. (57) for $\beta = 0$ and $\xi = 1$ and three values of $a_0 = 0.02$ (green), $a_0 = 0.1$ (blue) and $a_0 = 0.2$ (red line). The second case corresponds to Fig. 3. For clarity, from among the infinite number of complex periodic solutions (dotted lines), only the first one (in the sense of the smallest modulus value) is plotted. All the curves have qualitatively similar shapes – the sign of solutions in a given region does not depend on $a_0$ (Table 1). However, the values of $\Omega r_1$ and $\Omega r_2$, which limit the regions with double real solutions for $\Omega \tau < 0$, are sensitive to the value of $a_0$. Equation (69) predicts that their values are inversely proportional to $a_0$ and therefore, e.g., the region with two negative roots of Eq. (57) extends to larger values of $\Omega \tau$ (closer to $\Omega \tau = 0$) for high values of $\alpha$. The limit for the lower real branch ($n_-$) also depends on $a_0$ according to Eq. (70) – the higher the value of $a_0$, the lower the value of $n_-$.

The impact of $\beta$ on solutions of the dispersion relation for $\alpha = 0.1$ and $\xi = 1$ is presented in Fig. 6. For $\xi = 1$ the crucial inequality (60) corresponds to $\beta > 2/5$. For values of $\beta$ smaller than this critical value solutions exhibit qualitatively the same behavior as discussed previously. The values of $\Omega r_{1,2}$ and $n_-$ depend on $\beta$ according to Eqs. (69) and (70), respectively. Once $\beta$ exceeds 2/5 the character of the solution changes. For $\Omega \tau \geq 0$ the root is negative and approaches $0^-$ with $\Omega \tau \rightarrow +\infty$. For any negative value of $\Omega \tau$ there are two real solutions with opposite signs – the complex conjugate branch connecting the real solutions does not appear and $\Omega r_{1,2}$ are not defined.

Very similar behavior is shown in Fig. 7 which presents the impact of $\xi$ for $\alpha = 0.1$ and $\beta = 0$. For this case inequality (60) is not satisfied for $\xi > 1/2$. The solutions change their nature once $\xi$ becomes larger than 1/2, similarly to solutions with $\beta > 2/5$ discussed in the previous paragraph. In accordance with Eq. (70), $n_-$ the limit of the lower real branch at $\Omega \tau \rightarrow -\infty$, does not depend on $\xi$.

In Table 1 we summarize general features of the real solutions of Eq. (57) which have been derived in this section.

4. Solutions of the dispersion relation

4.1. Short-wave limit

Let us now consider the short-wave limit ($kH \rightarrow 0$) of Eq. (51). Strictly speaking, this limit violates the first of the assumptions of Eq. (1). If we assume that $n$ is finite then the terms with $F$ dominate,

$$n\xi C_2 + \xi F_G \tau = 0.$$  \hspace{1cm} (76)
Despite the fact that $n$ in general is complex, in this case it must be real to satisfy this real equation. We obtain,

$$ n = -\frac{G_\sigma}{C_2} $$

Both $G_\sigma = (3\alpha_0/2)(2 + 3\beta)/(4 - 3\beta)$ and $C_2$ are positive. Thus, this value of $n$ is negative and satisfies the dispersion relation in the short-wave limit for all values of parameters $\xi$ and $\Omega$.

Let us now assume that the absolute value of $n$ is large ($|n| \gg 1$ and $|n| \gg G_\sigma/C_2$). In this (and $kH \gg 1$) limit Eq. (51) reduces to

$$ nC_1 + \xi FC_2 - G_\sigma \xi e^{-n\Omega \tau} = 0. $$

Assuming in addition that $n\Omega \tau \geq 0$ we get

$$ nC_1 + \xi FC_2 = 0, $$

which is satisfied for

$$ n = -\frac{\xi FC_2}{C_1} \to -\infty. $$

Hence, this limit is valid for $\Omega \tau \leq 0$.

If, on the contrary, we assume $n\Omega \tau < 0$ then Eq. (78) reduces to,

$$ \xi FC_2 - G_\sigma \xi e^{-n\Omega \tau} = 0. $$

The solution of which is,

$$ n = \frac{1}{\Omega \tau} \log \frac{FC_2}{G_\sigma}. $$

Thus, we have two additional limits,

$$ n \to -\infty \quad \text{for} \quad \Omega \tau > 0, \quad (83) $$

$$ n \to +\infty \quad \text{for} \quad \Omega \tau < 0. \quad (84) $$

Summing up, there is a common limit $n = -G_\sigma/C_2$ for all values of $\Omega \tau$. In addition, solutions with $\Omega \tau \geq 0$ have another branch approaching $-\infty$ while for negative $\Omega \tau$ two branches are expected approaching both $+\infty$ and $-\infty$.

### 4.2. Arbitrary wavelength

In this section we consider solutions of the dispersion relation for an arbitrary value of the wavelength $\lambda = 2\pi/k$.

We start with discussing the standard case with no time lag ($\Omega \tau = 0$) and $\xi = 1$. Figure 8 presents the real part of solutions of Eq. (51) obtained assuming $\alpha = 0.1$ and various values of $\beta$. The limit of $1/(kH) \to \infty$ corresponds to the long-wave limit discussed in Sect. 3.

For $\beta < 2/5$ there are two positive real solutions: one of them approaches zero for long wavelengths (the trivial solution of Eq. (56)) while the other corresponds to $n_0$ given in Eq. (59). According to the classical theory of disk instabilities they are related to the secular and thermal instabilities, respectively. The branches corresponding to the secular and thermal modes approach each other with decreasing wavelength and merge into complex conjugate solutions (dashed lines). Two real and negative branches appear again for short wavelengths. The $1/(kH) \to 0$ limit of the upper one corresponds to Eq. (77). The lower branch approaches $-\infty$ according to Eq. (80). The same picture holds for $\beta > 2/5$, except that now all real solutions are
negative, at large wavelengths one of the roots corresponds to the trivial one and approaches $0^-$ in the limit of $1/(kH) \to \infty$, while the other one approaches $n_0 < 0$.

For $\beta = 2/5$ there are two negative real roots at short wavelengths, $F > 4G_\gamma C_1/C_2$. In the limit $1/(kH) \to 0$ one root corresponds to Eq. (77), $-G_\gamma /C_2 = -0.038$ for $\gamma = 5/3$, while the other tends to $-\infty$. At $F = 4G_\gamma C_1/C_2$ these merge into complex conjugate solutions tending to the origin of the complex plane ($n = 0$) in the long-wave limit ($F \to 0$), while never becoming real for $F < 4G_\gamma C_1/C_2$ - the real part of these conjugate solutions is negative.

The critical inequality (60) relates $\beta$ and $\xi$. Thus, for fixed $\beta$ similar effects to the ones discussed above may be obtained by varying $\xi$. In Fig. 9 we plot roots of the dispersion relation, Eq. (51), for $a_0 = 0.1, \beta = 0, \Omega \tau = 0$ and a few values of $\xi$. For radiation pressure dominated disks ($\beta = 0$) the critical value is $\xi = 1/2$. For $\xi$ higher than this value, the solutions exhibit similar behavior to those discussed above for $\beta = 2/5$ – two positive roots for long waves (one approaching $0^+$), a common complex conjugate branch and two negative real solutions for short waves. The latter approach $-\infty$ and the limit defined in Eq. (77), which does not depend on $\xi$. For $\xi < 1/2$ both solutions are negative for all wavelengths and approach the same limits for the shortest waves as before. The complex conjugate branch does not appear at all for the lowest presented value of $\xi = 0.01$.

Figure 10 presents similar plots for $a_0 = 0.1, \beta = 0, \xi = 1$ and a few values of the time delay $\Omega \tau$. The long-wave limit corresponds to the solutions presented in Fig. 3. The bottom plot zooms in the shaded region in the top panel. For $\Omega \tau = 0$ (black curves) we recover one of the standard cases presented in the previous plots. Positive values of $\Omega \tau$ (e.g., magenta curves) result in two positive roots (one approaching $0^+$) for long waves. This is the case considered by Lin et al. (2011) – with the identification $t_m = \tau$, the thick line ($\Omega t_m = 20$) in their Fig. 1 corresponds approximately to our magenta curve ($\Omega \tau = 10$). The two positive roots merge into the complex conjugate branch with decreasing wavelength and again split into two negative real solutions, similarly to some of the cases discussed above.

The behavior of solutions obtained with negative time delays ($\Omega \tau < 0$) is more complicated. The number of solutions in the long-wave limit depends on the relation of the time delay to the quantities $\Omega \tau_1$ and $\Omega \tau_2$ (for the case presented in Fig. 10 these are $-11$ and $-125$, respectively, Eq. (69)). For negative $\Omega \tau > \Omega \tau_1$ we expect in total three real roots: two positive, and one equal to zero in the long wavelength limit (the trivial one). The red curve in Fig. 10 ($\Omega \tau = -10$) corresponds to this case – there are three positive roots, two tending to $n = 0.04$ and $\approx 0.12$, and one approaching zero.

When $\Omega \tau < \Omega \tau_2$ (e.g., $\Omega \tau = -150$, green lines in Fig. 10) there are two negative real roots of Eq. (57) (compare Fig. 3) clearly visible in the bottom panel of Fig. 10. The dotted green line approaching $\Re(n) \approx 0.002$ in the long-wave limit corresponds to the first complex periodic solution (dotted lines in the second quadrant of Fig. 3). The third, positive, solution becomes the trivial one, $\Re(n) \to 0$ for $kH \to 0$.

The branches corresponding to the trivial solution of the long-wave limit leave the $\Re(n) = 0$ axis and reach positive values with decreasing wavelength. For $\Omega \tau > \Omega \tau_1$ they merge with another positive real branch corresponding to the smaller of the real roots and transform into complex conjugate branches (red dashed line). The larger real and positive root diverges with decreasing wavelength, approaching $+\infty$ according to Eq. (84).

Once $\Omega \tau_2 < \Omega \tau < \Omega \tau_1$ no real solution of Eq. (57) exists. The dashed blue line (corresponding to $\Omega \tau = -12$) reflects the complex conjugate solution. At large (but finite) wavelengths, the single positive solution of Eq. (51) corresponds to the trivial solution $n = 0$ of the long wavelength limit, Eq. (56). However, two additional real and positive solutions appear in a range of moderate wavelengths. These new branches behave similarly to the case previously discussed: one of them merges with the trivial branch, the other diverges at zero wavelength.
For $\Omega r < \Omega r_2$ (e.g., green line) the trivial branch diverges on its own, there is only one positive root for all wavelengths and the complex conjugate branch does not appear. For the shortest wavelengths and for all negative $\Omega r$ there are three real solutions: two negative solutions, one with the limit given by Eq. (77) and the other approaching $-\infty$ (Eq. (80)), and one positive solution approaching $+\infty$ according to Eq. (84).

5. Summary

We have derived the dispersion relation, Eq. (51), for perturbations of an accretion disk with heating that is offset in time relative to pressure perturbations. The standard $\alpha$-prescription was generalized to account for a time shift $\tau$ between the viscous stress response and perturbation of pressure, as well as for an arbitrary ratio $\alpha \xi$ of the corresponding perturbations (Sect. 2.1). No restrictions were placed on the allowed gas pressure to total pressure ratio, $0 \leq \beta \leq 1$.

In the limit of long waves the number of real solutions for the perturbation growth rate, $\Omega_\alpha$, and their signs depend both on the relation between $\xi$ and $\beta$, and on the value of the time shift $\tau$. For all cases there is one trivial solution $n = 0$. For the standard case with no time shift ($\tau = 0$) there is an additional real solution. It is negative if (Eq. (60)),

$$\xi < \frac{2(1 + \beta)}{4 - 3\beta}$$

The same condition applies when $\tau > 0$, i.e., retarded heating does not affect the appearance of the viscous and thermal instabilities in radiation pressure dominated disks. However, advanced heating may stabilize (or destabilize) the disk. For $\tau < 0$, if inequality (85) is satisfied then two real roots with opposite signs exist (in addition to the trivial one). If the inequality is not satisfied two negative roots appear, but only if heating is advanced by a sufficiently large time interval, larger than a critical value, $\tau < \tau_2 < 0$. The specific value of this critical delay, $\tau_2$, is proportional to the thermal time and depends on $\beta$ and $\xi$ according to Eq. (69). For smaller values of $|\tau|$, the non-trivial real solutions are positive or do not exist. Properties of real solutions of the dispersion relation in the limit of long wavelengths are summarized in Table 1.

In addition to the real roots of the dispersion equation discussed above there exist (for $\tau \neq 0$) an infinite number of complex periodic solutions. Their real part, i.e., the growth rate, is opposite in sign to $\tau$ (for moderate values of $\Omega r$).

For very short waves there are two negative real solutions (one of the damping rates is finite, the other approaches infinity) independently of the system parameters. For $\tau < 0$ there is an additional positive root diverging to $+\infty$.

Based on these properties we may conclude that the thermal and secular branches are stable for $\tau \geq 0$ if criterion (85) is satisfied. For $\tau < 0$ the thermal branch is stable only for time shifts that are sufficiently large in magnitude, but the growth rates of the secular branch are always positive. However, for negative $\tau$ complex solutions with a positive real part exist (Fig. 3) and therefore the issue of disk stability in this regime is more complicated.

In a forthcoming paper we shall present a detailed physical discussion of the stability of disks with retarded heating, applying our conclusions to recent results of MHD numerical simulations of radiation-pressure dominated disks.

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