A Review of Reconfigurable Leaky-Wave Antennas

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ABSTRACT A comprehensive survey of the reconfigurable leaky-wave antennas (LWAs) is made in this paper. Beam-steering and unique radiating features of LWA are highlighted particularly. Therefore, the radiation mechanism of different types of LWA, including uniform, quasi-uniform, periodic, and metamaterial LWAs are discussed in detail. The guiding structures for realizing LWAs, namely microstrip, waveguide, substrate integrated waveguide (SIW), and half-mode SIW (HMSIW) are investigated as well. Basic concepts of electronic beam-scanning LWAs are also introduced, and several state-of-the-art reconfigurable LWAs are studied thoroughly. The investigated reconfigurable LWAs are suitable for beam-scanning applications due to their compactness, ease of implementation, reasonably high gain, and relatively wide beam-scanning range, as will be demonstrated through this comprehensive review.

INDEX TERMS Antenna, compact, leaky-wave, microstrip, reconfigurable, waveguide.

I. INTRODUCTION

Leaky-wave antennas (LWAs) are among the beam-scanning antennas. A large body of research has been carried out on LWAs since the 1950s [1]. The radiation of these antennas occurs through the leakage of a travelling wave propagating through a guiding structure [1]–[5]. Hence they are labelled “leaky-wave antenna.”

Microstrip and waveguide are the primary choices as the guiding structures for realizing the LWAs. Microstrip-based LWA suffers from high dielectric loss [1]–[5]. On the other hand, waveguide-based LWA is bulky and unsuitable for miniaturized applications [1]–[5]. Substrate integrated waveguide (SIW) was introduced as a suitable candidate for the realization of miniaturized applications [5]–[9]. SIW is a low-profile rectangular waveguide in which the sidewalls are replaced by via fences to confine the fields [5]–[7]. The advantages of SIW technology, such as ease of fabrication and compact size [5]–[9], lead to broad applications in microwave circuits and antennas. Removing half of the top conductor cladding leads to a more compact structure called half-mode substrate integrated waveguide (HMSIW) [10]–[19]. In the HMSIW LWA, the leaky-wave leaks into space through the aperture [10]–[15] and the slots on the surface [16], [17]. HMSIW- and SIW-based antennas can be fabricated using a printed circuit board (PCB), low temperature co-fired ceramic (LTCC), or electronic printing technology. LWA can also be integrated into silicon-based structures [20] to achieve a miniaturized transceiver. LWA can be realized on the dielectric waveguide [21] dielectric image line [22], wedge-like waveguide [23], gap waveguides [24]–[27], and corrugated SIW [28] for mm-wave and X-band applications. A dielectric waveguide is a dielectric-based guiding structure without conducting walls. A gap waveguide is a metallic waveguide consisting of several variable conducting pins, which are machined using the CNC machine. Compactness and simple reconfigurability mechanism, by controlling the height and spacing between pins, put the gap waveguide at an advantage compared to the conventional waveguide for realizing LWA [27]. Corrugated SIW is a SIW in which open circuit stubs replace the via fences, reducing the cost and difficulty of the fabrication [28].
While LWA is mainly used as a beam-scanning antenna, it can also be implemented as a band-pass microwave filter [29] and multiplexer [30]. Most of the reported LWAs in the literature are unidirectional antennas, but bidirectional LWA can be designed by implementing different excitation ports [31]. It should be noted that LWA is also a suitable candidate as the on-chip antenna in CMOS and Silicon-based technology at sub-THz frequency bands, noting the relaxed metal density rules [20].

The structure of this paper is as follows. First, the radiation mechanism of LWA, guiding structures, and different types of LWAs are introduced in section II. Then, several reconfigurable LWAs are investigated thoroughly in section III, and a comparison between state-of-the-art reconfigurable LWAs is made in section IV.

II. LEAKY-WAVE ANTENNA
Realizing beam-steerable and high gain antennas is crucial for overcoming path loss and vulnerability to environmental variations [32]. LWA is a traveling wave antenna [1]–[5]. These antennas are called leaky-wave since the propagating wave gradually leaks into space through the discontinuities [1]–[5], as demonstrated in Fig. 1. The discontinuities (i.e., radiator) are often slots on the surface of a conductive waveguide or strip lines over a dielectric substrate [1]–[3]. As stated before, the guiding structure can be a dielectric substrate or a metallic waveguide.

Beam-steering capability, wide impedance bandwidth, high gain, low cost, ease of fabrication, and compactness are among the advantages of LWAs compared to other high gain beam-steerable antennas such as phased array antennas [4], [6], [33], [34]. The beam-scanning in the LWA can be achieved through frequency, mechanical, or electronic beam-scanning, as presented in Fig. 2. LWA and phased array antennas [1]–[3] are two alternatives for beam-steering, and the preference of one technology over the other depends on the targeted application. LWA achieves beam-scanning without the requirement of having a complex feed network and phase shifters. Hence, LWA is cheaper and easier to fabricate than the phased array. However, controlling LWAs’s attenuation and phase constants individually is very difficult. In other words, there are more degrees of freedom for controlling the radiation pattern of the phased array antenna.

Dispersion analysis is the first crucial step in the design of LWA. Dispersion characteristic is defined as the complex propagation constant throughout the frequency band [35], [36]. A dispersion diagram of a typical waveguide-based antenna exhibiting several Floquet modes (i.e., space harmonics) is presented in Fig. 3. As observed in Fig. 3, higher-order Floquet modes are the shifted version of the fundamental (n = 0) mode [35]. In a waveguide-based periodic structure, the phase constants of fundamental ($\beta_0$) and higher order (nth) Floquet modes ($\beta_n$) are calculated by

$$\beta_n = \beta_0 + \frac{2\pi n}{p}$$

(1)

$$\beta_0 \approx k_0 \sqrt{\varepsilon_r} \sqrt{1 - \left(\frac{f_c}{f}\right)^2}$$

(2)

where $\beta_0$, $n$, $p$, $\varepsilon_r$, $k_0$, and $f_c$ are phase constant of the fundamental Floquet mode, the number of the Floquet mode, period, relative permittivity, the free-space wavenumber, and cutoff frequency, respectively [35]. Similar to the waveguide modes, Floquet modes have cutoff frequencies determined by the spacing between elements (i.e., period). Often it is desired to have only one mode propagates. This can be accomplished by tuning the dielectric constant, period, and frequency range [35], [36]. An LWA radiates if it operates in the fast-wave region [1], [2], where $-k_0 < \beta < k_0$. In the fast-wave region, the phase velocity is greater than the velocity of light in free space. This the reason that the propagating wave in this region is called fast-wave. In the slow-wave region, the propagating wave is evanescent, attenuating quickly. The airlines with negative and positive slopes (i.e., $\beta = \pm k_0$) represent backward and forward endfîres, respectively. While the frequency axis represents the broadside (i.e., $\beta = 0$). The main-lobe pointing angle ($\theta_0$) is calculated from

$$\cos (\theta_0) = \frac{\beta_n}{k_0}$$

(3)

It should be noted that $\theta_0$ is measured from the forward endfire (i.e., $\theta_0 = 0^\circ$ is at the forward endfire). The length of the radiating section was extracted from

$$\Delta \theta = \frac{\lambda_0}{L_r \sin \theta_0}$$

(4)

where $\Delta \theta$, $L_r$, and $\lambda_0$ are half-power beamwidth (HPBW), radiation length, and free space wavelength, respectively.
According to (3), beam-scanning occurs by changing the phase constant $\beta_n$, which lays the foundation of the beam-scanning mechanism in an LWA. According to (3) and (4), the HPBW reduces by approaching the broadside region. On the other hand, approaching the endfire results in a larger HPBW [1], [2]. LWA scans backward by exciting negative higher-order Floquet modes [1], [2], [37]. It should be noted that higher-order modes can be used to achieve full-space beam-scanning [38], enhance the antenna gain [8], and improve the radiation efficiency at the sub-THz frequency band [39].

As stated before, waveguide and microstrip structures are widely used as the guiding structures for realizing LWAs [1]–[5], [40]. A microstrip structure has an inherently considerable dielectric loss. On the other hand, waveguides are bulky and not suitable for integration and miniaturization purposes. SIW [6], [41]–[44] is widely used in mm-wave applications due to its remarkable benefits, such as ease of fabrication, integration capability, compactness, and low cost. Hence, SIW is a suitable candidate as the guiding structure of the LWA [45]–[61]. Via holes in a SIW can be utilized to emulate the operation of a dielectric-filled rectangular waveguide [6], [41]–[44], [62]–[64], as illustrated in Fig. 4. The via fences are in the shape of cylindrical holes filled with a conductive resin such as silver paste. The via fences act as the waveguide’s sidewalls that confine the electric field of the TE$_{10}$ mode. In other words, SIW can only confine TE$_{10}$ modes since the surface current of these modes is not disturbed by the vias [6], [41], [42].

A QLWA contains several closely-spaced radiating elements, as illustrated in Fig. 5(b). Since the period is small, the cross-section can be considered uniform [1]–[5]. The small period of the slots assures that only the fundamental Floquet mode propagates. Hence, this antenna only scans the forward quadrant, i.e., from broadside to forward endfire [1]–[5], [45]–[47], [48]. This is because only the fundamental Floquet mode ($n = 0$) propagates in the ULWA. The SLL of a ULWA can be reduced by tapering the via fence and the slot, as shown in Fig. 6 [45], [47]. The SLL was reduced to $-40$ dB [45] and $-23.2$ dB [47] by tapering the via fence and shape of the slot.
20 dB [50]. While tapering them in an eight-wing butterfly shape resulted in an SLL of about −14 dB [9]. Changing the spacing of transverse slots leads to SLL reduction of about 20 dB [51], as presented in Fig. 10. Furthermore, it is possible to design SIW LWA in conformal configuration, as illustrated in Fig. 11, for mounting on the plane fuselage and vehicle body [52]. Most of the reported LWAs in literature are a single antenna, whereas placing LWAs in the array configuration can be beneficial. For example, placing SIW QLWA in a hexagonal array configuration, as shown in Fig. 12, leads to an omnidirectional coverage in the azimuth and frequency beam-scanning in the elevation plane [53].

Increasing the cells’ period results in the excitation of higher-order Floquet modes. As a result, a PLWA can scan from backward endfire to forward endfire [1]–[5], [55]–[57]. This is one of the main advantages of a PLWA. Periodicity in the PLWA can be 1D or 2D [6], [34]. It should be mentioned that the conventional PLWAs cannot scan the broadside due to the open-stop band (OSB) phenomenon [1]–[4], [68]. The traveling wave becomes a standing wave at broadside. Hence, all the reflections add in phase, causing significant impedance mismatch. The antenna structure must be modified to enable broadside scanning. It can be done by implementing engineered structures called metamaterial cells [68]. Implementing T-Shaped slots and an array of the vias at the opposite side of transverse slots can also resolve the OSB issue, as shown in Fig. 13 [69]. Realizing LWA on a corrugated SIW and etching M-shape slots on the conducting sections averted the OSB phenomenon without using metamaterial cells, as presented in Fig. 14 [28]. Implementing sinusoidal tapered slots on the HMISW also resulted in full-space beam-scanning, as shown in Fig. 15 [19]. In microstrip-based LWA, radiation at broadside and full-space beam-scanning can be achieved by implementing cross-over strips [70] or modulated transverse slots [71], as demonstrated in Fig. 16.

III. RECONFIGURABLE LEAKY-WAVE ANTENNA

Deployment of variable links requires a beam-steerable antenna. As stated before, beam-scanning can be achieved through frequency sweep, sweeping bias voltages of
switches, or rotating the antenna mechanically. The electronic beam-scanning method has the broadest applications. Furthermore, using an electronic beam-scanning antenna can compensate for the unwanted frequency beam-squint in the face of variable environmental conditions. Electronic beam-scanning can be accomplished by introducing active elements such as micro-electromechanical systems (MEMS) [72]–[75], semiconductor switches, varactor diodes, PiN diodes [76], ferrite switches, or liquid crystals [76], as shown in Fig. 17. Semiconductor switches and varactor diodes have the highest switching speed and are more compact than MEMS. A varactor diode can change the capacitance continuously by sweeping the bias voltage. A Gallium Arsenide (GaAs) varactor diode is a famous switch widely used in reconfigurable structures. Small footprint, high switching speed, ease of integration, and high packaging tolerance are among the benefits of GaAs switches. PiN diodes have the smallest footprint but provide limited switching states, i.e. “on” and “off” states. MEMS are mechanically tunable switches [72]–[75], require high bias voltage, and usually bulkier than PiN diodes and varactor diodes. However, MEMS have more comprehensive capacitance tuning ranges and often lower loss than the varactor diodes. Liquid crystals are not suitable for the integrated applications [76]. Several types of electronic beam-scanning LWAs are studied here. The investigated LWAs are microstrip- or SIW/HMSIW-based antennas.
As stated before, according to (3), variation in $\beta$ leads to $\theta_0$ rotation and beam-steering. Variations in S-parameters, surface current, input impedance, and dielectric constant contribute to $\beta$ variation and electronic beam-scanning. We categorized reconfigurable LWAs into four subgroups depending on the technology.

A. RECONFIGURABLE METAMATERIAL LWA

Reconfigurable metamaterials are widely used to achieve tunable refractive index and phase manipulation. Cloaking, tunable absorbers, tunable filters, tunable power dividers, and electronic beam-scanning antennas are among the main applications of reconfigurable metamaterials [76]. Metamaterial structures are artificially engineered to achieve unnatural responses that are difficult to achieve using conventional architectures [68]. One of the most widely applicable metamaterial structures is the composite right-hand left-hand (CRLH) structure [68], [77]–[90]. The equivalent circuit of a CRLH structure is shown in Fig. 18(a), consisting of shunt and series resonators [68]. To realize a CRLH structure, shunt inductors and series capacitors must be added to the conventional transmission line that contains shunt capacitors and series inductors [68]. In a reconfigurable HSIW/SIW CRLH, as shown in Fig. 18(b), the top cladding, switches, substrate, and the via fences act as a series inductor, series capacitors, shunt capacitor, and shunt inductors, respectively.

The conventional structure (i.e., RH structure) has positive permittivity and permeability and supports forward radiation. In contrast, the LH structure has negative permittivity and permeability and supports backward wave propagation. CRLH structure supports both backward and forward radiation depending on the frequency band. Hence, CRLH LWA scans from backward endfire to forward endfire. As stated before, implementing CRLH is a solution for overcoming the OSB issue that only happens if the balance conditions are satisfied [68], [77]–[90]. In other words, shunt and series resonance frequencies in addition to the series and shunt resonance impedance must be equal [68], [77], [78]. If the balance condition is not satisfied, a gap appears in the dispersion diagram. It should be noted that since the impedance is a function of frequency, the CRLH structure has a frequency-dependent response and the radiation at the broadside is achieved at a specific frequency band.

A CRLH structure is a suitable candidate for reconfigurable metamaterial antenna since changing CRLH cells’ dimensions leads to variations in permittivity and permeability [68]. The proposed antennas in [91] and [92] were among the first reported CRLH reconfigurable LWAs, as shown in Fig. 19. Sweeping the bias voltage led to variations in the varactor diode’s capacitance. This led to the variations in the impedance and propagation constant, which caused the changes in the radiation pattern. Tuning the capacitance of all varactor diodes uniformly resulted in beam-scanning, while
non-uniform tuning led to variations in HPBW [92]. Both series and shunt varactor diodes were used in [92] to achieve a more flexible design approach. The antenna’s beam scanned space from $-49^\circ$ to $50^\circ$ at 3.33GHz [91] and from $-10^\circ$ to $7.5^\circ$ at 3.23 GHz [92].

B. HMSIW-BASED RECONFIGURABLE LWA

HMSIW is a more suitable candidate for realizing a reconfigurable CRLH antenna than SIW since the shunt branches of SIW are usually not accessible [93]. A reconfigurable HHMSIW CRLH LWA was proposed in [93], as presented in Fig. 20. The proposed antenna used series and shunt tuning capacitors to achieve electronic beam-steering from $-31^\circ$ to $35^\circ$ at 6.5 GHz with a peak gain of 9.5 dBi. In a multi-layer structure, interdigital capacitors and embedded patches can be used as series and shunt capacitors, respectively. Furthermore, the capacitors between the top cladding and grounded patches adjacent to the side aperture acted as shunt capacitors [93]. A reconfigurable HMSIW antenna with variable aperture can also be formed by placing several vias adjacent to the side aperture of HMSIW and connecting them to the aperture through sets of switches, as presented in Fig. 21 [94]. The reported antenna achieved about $80^\circ$ beam-scanning range at 8.2 GHz.

Implementing varactor diodes on the backside of an HMSIW LWA with circular slots led to a $29^\circ$ beam-scanning range with 1.2 dBi gain variation at 28.5 GHz, as illustrated in Fig. 22 [95]. It should be noted that the maximum allowable gain variation in the beam-scanning application is often 3 dBi. Considering more significant gain variation led to the over-optimistic value of the beam-scanning range. Placing RF components on the backside of the antenna resulted in the ease of fabrication and assembly. It also enhances the isolation between radiating elements and RF circuitry.

FIGURE 22. Reconfigurable HMSIW LWA with circular slots [95]. (a) The backside of the antenna, (b) radiation patterns at 28.5 GHz for different switching states.
of each cell and the width of the top cladding. This led to the electronic beam-scanning from 21° to 37° at 6.5 GHz [97]. Similarly, loading a microstrip line with periodic grounded patches through sets of PiN diodes resulted in beam-scanning from 40° to 64° at 6.4 GHz [98].

Realizing diodes over triangular-shaped double-gap capacitors in half-width microstrip LWA resulted in forward and backward electronic beam-scanning with 1.3 dB gain variation at 4.2 GHz, as shown in Fig. 25 [99].

C. SIW-BASED RECONFIGURABLE LWA

Implementing gaps around the vias of SIW and connecting the vias to the top cladding and ground plane through PiN diodes led to a reconfigurable SIW antenna [100], [101]. Changing the diode state led to variations in the loading of the SIW and effective width. This changes the cutoff frequency and propagation constant, which led to the electric beam-scanning from 46° to 68° at 5.2 GHz, as shown in Fig. 26 [100]. Realizing sets of PiN diodes on dumbbell-shaped slots at the opposite sides in a SIW LWA, as shown in Fig. 27, leads to 125° beam-scanning at 5 GHz [102].

Implementing an array of dual-beam reconfigurable SIW LWAs provides a wide beam-scanning range at Ka-band [103]. Each LWA consists of several transverse slots, as illustrated in Fig. 28. Some of the vias were intentionally enlarged to improve radiation at the broadside by modifying the impedance. The switching was achieved by implementing three RF switch chips on the backside of the array. Using electromagnetic band-gaps (EBGs) between array elements reduced the mutual coupling as well [103].

A reconfigurable SIW LWA consists of longitudinal slots surrounded by the grounded annular ring at mm-wave is investigated in [104]. Electronic beam-scanning from −33° to 33° at 27 GHz was achieved by implementing PiN diodes.
A reconfigurable corrugated SIW LWA was proposed in [105], as illustrated in Fig. 30. Corrugating the SIW helped with reducing the size of the structure. Switching the diodes led to the electric beam-scanning. The antenna scanned from 34° to 59° at 5.8 GHz with a peak gain of 12.4 dBi [105].

in the annular rings, as shown in Fig. 29. The slots were also fed by plated through holes [104].
Implementing sets of varactor diodes in rectangular ring slots on a corrugated SIW LWA resulted in a 72° beam-scanning range at 4.5 GHz, as shown in Fig. 31 [106].

D. MICROSTRIP-BASED RECONFIGURABLE LWA

A reconfigurable LWA achieved a 118° beam-scanning range at 8 GHz using the impedance modulation surface [107]. The electronic beam-scanning is achieved by changing the impedance of the unit cells using varactor diodes, as shown in Fig. 32 [107].

Loading a microstrip line with shunt stubs and implementing PiN diodes resulted in a 50° beam-scanning range at 2.45 GHz, as illustrated in Fig. 33 [108]. The proposed LWA has the capability of beamwidth tuning in addition to electronic beam-scanning. The beam-scanning was achieved using shunt PiN diodes by connecting/disconnecting the shunt stubs. At the same time, using series PiN diodes between adjacent cells led to beamwidth tuning [108].

A reconfigurable microstrip LWA was introduced in [109], including a staggered-shaped strip line and periodic longitudinal patches adjacent to it, as shown in Fig. 34. Introducing sets of switches led to the variation in the surface current, which resulted in electronic beam scanning from 60° to 135° at 4.5 GHz [109].

All the above-mentioned LWAs have pattern reconfigurability and electronic beam-scanning antenna. It is also
possible to achieve polarization adaptivity by connecting/disconnecting shorted stubs using PiN diodes, as reported in [110]. Moreover, it is possible to switch radiation patterns between E- and H-planes by placing sets of PiN diodes on the gapped SIW LWA, as shown in Fig. 35 [111].

IV. COMPARISON BETWEEN RECONFIGURABLE LEAKY-WAVE ANTENNAS

A comparison among the investigated reconfigurable LWAs has been made and reported in Table 1. The reported antennas in [102] and [107] have the widest beam-scanning range with a relatively small gain variation. The investigated antennas in [96], [98], and [103] radiate with the highest gain. In comparison, [92] and [106] have the smallest gain variation. The investigated antennas in [92] and [94] are the most compact ones as well. Overall, the proposed antennas in [94], [102], and [109] are the most suitable ones for the miniaturized beam-scanning applications due to their wide beam-scanning range, high gain, small gain variation, and compactness.

It should be noted that the reported LWAs in [91]–[94], [96]–[102], and [105]–[109] are not operating in the mm-wave frequency band and not suitable for mm-wave beam-scanning applications. Furthermore, the reported antennas in [91], [92], [96]–[99], and [107]–[109] are microstrip-based LWAs, while [93]–[95], [100], and [102]–[106] are SIW/HMSIW-based LWAs. Hence [91], [92], [96]–[99], and [107]–[109] are more affordable because punching via holes and filling them with conductive epoxy often increase the fabrication cost of SIW/HMSIW-based antennas. However, as stated before, SIW/HMSIW-based LWAs are easier and cheaper to fabricate than the ones based on metallic waveguides and gap waveguides. The reported LWAs in [93] and [94] use series and shunt switches, adding to the design’s complexity.

V. CONCLUSION

In this paper, a comprehensive review of reconfigurable LWAs was performed to guide the LWA designers. First, the basic concepts of LWA were studied. Therefore,
beam-scanning mechanism, guiding structures, and different types of LWAs were investigated thoroughly. Then the state-of-the-art frequency and electronic beam-scanning LWAs were investigated to highlight viable options in response to the challenges of LWAs. Next, a comparison between several reconfigurable LWAs was performed from different aspects, such as size, frequency band, beam-scanning range, and gain. Overall, relatively wide beam-scanning range, high gain, compactness, simplicity of the feed network, and ease of fabrication make reconfigurable LWAs suitable candidates for beam-scanning applications. Reducing the gain variations by beam-scanning, tuning beamwidth independent of the main-lobe pointing angle, and increasing the radiation efficiency are among the current challenges of LWA, which require further investigations.

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