Field reconnaissance and structural assessment of the October 30, 2020, Samos, Aegean Sea earthquake: an example of severe damage due to the basin effect

Onur Onat¹ · Burak Yöṅ² · Mehmet Emin Öncü³ · Sadık Varolgüneş⁴ · Abdulhalim Karaşin³ · Selim Cemalgil²

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Abstract
An earthquake with a magnitude ranging from Mw = 6.9 (KOERI) to Mw = 7.0 (USGS) struck Samos Island in the Aegean Sea on October 30, 2020, with an epicentre 70 kms from the İzmir city centre in Turkey. The earthquake took place at 14:51 local time (11:51 UTC). The peak ground acceleration (PGA) of this earthquake was recorded to be 0.179 g at the epicentre of the earthquake. This earthquake occurred at a depth of 17.26 km (AFAD (2020) İzmir Earthquake Report, (In Turkish)) and lasted 16 s. The main shock from the earthquake triggered a tsunami that hit the building stocks built near the coast. During the gradual deregulation of COVID-19 pandemic regulations, various events caused considerable damage to the building stock, particularly in the İzmir Seferihisar and Bayraklı regions and resulted in a massive disruption of daily habits. The main shock caused 117 deaths in both Turkey and Greece, and 1632 people were also injured in Turkey. Moreover, several injuries occurred in Greece. A total of 103 buildings collapsed, 700 were severely damaged, 814 buildings were moderately damaged, and 7889 were slightly damaged. The basic aim of this paper is to briefly present the past and present seismotectonic characteristics of the region, present building stock, and former structural conditions before the earthquake, assess structural performance and classify distinguished earthquake-induced failures and damage due to the basin effect.

Keywords 2020 Samos earthquake · İzmir · Seismotectonic characteristics · Structural failure · Reinforced concrete structures · Masonry dwellings · Tsunami · Basin effect

¹ Civil Engineering Department, İnönü University, 44280 Malatya, Turkey
² Civil Engineering Department, Munzur University, 62000 Tunceli, Turkey
³ Civil Engineering Department, Dicle University, 21280 Diyarbakır, Turkey
⁴ Civil Engineering Department, Bingöl University, 12000 Bingöl, Turkey
1 Introduction

1.1 Seismological aspects

Earthquakes are a sparse and less frequent cyclic natural phenomenon with a substantial detrimental impact on living environments (Stepniac et al. 2021). Currently, Turkey, which is in the East Mediterranean, is one of the most active seismic zones in the world. This high seismicity results in numerous earthquakes (Calayır et al. 2012; Sayın et al. 2013; Sayın et al. 2014; Yön et al. 2019; Yön et al. 2020; Doğangün et al. 2021; Günaydın et al. 2021; Demir et al., 2020; Sayın et al. 2021; Doğan et al. 2021; Yön 2021) even in the previous year, 2020. This seismicity is caused by 326 separate faults, fault zones or combined systems. The longest traced fault zones were individually divided into sections based on their productivity of earthquakes. Finally, 485 separate fault sections considered as having the potential to produce seismic ground motion were identified across Turkey, as presented in Fig. 1.

As shown in Fig. 1, most of Turkey is vulnerable to earthquakes. This high seismicity is triggered by four different neotectonics districts (Fig. 2) that were discussed in (Yön et al. 2020) across Turkey. These include the (1) North Anatolian district, (2) Eastern Anatolian contractional district, (3) Central Anatolian planar district, and (4) Western Anatolian extensional district. Every district has particular tectonic characteristics. Based on the active fault presented in Fig. 1, the potential ground motion activity map includes a 475-year return period to demonstrate earthquake prone territory at this earthquake level, which is demonstrated in Fig. 2.

Fig. 1 Active tectonic features of Turkey (Sesetyan 2018)
These active tectonic features have caused numerous earthquakes in recent decades, particularly between 2003 and 2020. The earthquakes that occurred in the eastern part of Turkey were due to the East Anatolian Fault (EAF). In addition, the western part of Turkey is also under high seismic risk due to the subduction zone of the East Mediterranean. The Hellenic Arc is the most seismologically and geodynamically active domain in the Alpine Himalayan Belt. In the addressed ten year duration (2008–2017), nineteen earthquakes with $M \geq 6$ occurred whose epicentres were mainly located in southern Greece and western Anatolia and bound the Aegean plate, as presented in Fig. 3.

The cascade of severe earthquakes between 2008 and 2017 began with the 2008 Leonidio earthquake, which was an intermediate depth (80 km) earthquake under the eastern Peloponnese and broke a section of the African lithosphere. Durand et al. (2014) viewed the 2008 series of severe events and the subsequent rise in microseismicity in the Aegean basins as an extension of a gradual deformation episode started by the rupture along the descending plain. In this context, the updated list of seismic ground motion, which includes the 2017 sequences in the Lesvos Basin (Mw 6.3) and Marmaris-Kos (Mw = 6.6), can be regarded as being events triggered by the slow spread of strain across western Anatolia, which continues to have the capacity to accumulate earthquakes in location and time (Kiratzi 2018). In the year 2005, Seferihisar was struck by an earthquake with a magnitude of 5.9 (Mw). This earthquake verified that the seismic sequence would continue due to the velocity of the Hellenic Arc, which is between 25 mm/year and 28 mm/year (Doğru et al. 2014). In 2014, an earthquake with a magnitude of 6.5 (Mw) struck Gökçeada. After this earthquake, the performance of masonry dwellings was highly affected by this earthquake and was evaluated by Göçer (2020a, b). These earthquakes caused numerous deaths and damaged hundreds of buildings. Many seismic events occurred in Çanakkale during the first quarter of 2017. Structural assessments of traditional stone masonry dwellings after
these seismic sequences were conducted by Aras and Duzci (2018). Seismic activity continued in 2017 with the Lesvos earthquake on June 12 (Mw = 6.3). This earthquake had an impact on Karaburun Izmir in the region. Only stone masonry buildings were affected in rural areas. The performance of rural buildings was investigated by Vlachakis et al. (2020) and Göçer (2020a, b). Following this earthquake, the Aegean Sea region in Turkey was shaken for two months, particularly in 2020, before October 30. These seismic activities were the precursor to the main shock. On October 30, 2020, a strong (Mw = 6.9) and shallow (14.9 km) earthquake struck Samos for 16 s. The results of a site investigation and tsunami effects were discussed by Altunışık et al. (2021). In the month following the main shock, 5068 aftershocks occurred, resulting in 117 deaths and 1632 injuries. This earthquake triggered a tsunami that killed only one person died. This person was the first to die because of a tsunami in Turkey.

1.2 Tsunami aspects

In the scientific community, the phrase “tsunami” refers to a series of waves with extraordinarily long wavelengths. Underwater earthquakes caused an abrupt vertical displacement of the seafloor, underwater sediment slides, and an above-sea level landslide that quickly fell into the sea. Volcanic activity beneath the seafloor all contributed to the tsunami. However, when these natural events are considered, underwater earthquakes are the primary cause of tsunamis (Heidarzadeh 2009). Tsunamis are categorized into three groups based on their location relative to the source. These include local (< 100 km), regional (100–750 km), and distant (> 750 km) tsunamis (Fernandez, 2000). Based on this basic introduction related to tsunamis, the global tsunami risk is demonstrated in Fig. 4.

The first recorded tsunami event occurred off the coast of Syria in B.C. 2000, as presented by (Gusiaikov 2009). The second known destructive case of a tsunami occurred in the Aegean Sea and was generated by the catastrophic eruption of the Santorino volcano on Thera Island and was dated back to 1628 B.C. For the whole B.C. period, 23 historical events are known to have occurred. Most of them were concentrated along the densely
populated coastline of the Mediterranean (Gusiakov 2009). There were 49 historical earth-
quakes and tsunamis in Central America between 1539 and 1996 (Fernandez et al. 2000). The most catastrophic tsunami that took place on December 26, 2004, occurred after the Sumatra earthquake. More than 230,000 people died because of this tsunami due to the high seismic region of Markan in the Indian Ocean (Heidarzadeh et al. 2009). Historical tsunamis and the potential of a tsunami along the coastline of the Indian ocean are depicted in Fig. 5.

The effect of tsunami damage to structural systems was investigated by (Ammon et al. 2005; Lay et al. 2005; Lovholt et al. 2006). The March 11, 2011, Tohoku earthquake killed 16,000 people because of a tsunami-related earthquake (Mimura et al. 2011; Krausman and Cruz 2013). A total of 1347 people died due to the tsunami triggered by
the September 28, 2018, Sulawesi earthquake (Widiyanto et al. 2019). Damaged buildings were investigated by Widiyanto et al. due to effects from a combined earthquake and tsunami (Handayani and Puspasari 2020). Tsunami data show that the Pacific region is home to approximately 90% of large tsunamis (Liu et al. 2007).

This study aims to investigate and summarize past and present seismic characteristics of the Aegean Sea region. Another goal of the paper is to summarize the seismotectonic characteristics in this region, the general characteristics of earthquakes, an overview of building stock İzmir province. Specifically, goal is to report on the structural damage and structural damage caused by the earthquake and tsunami observed during the site investigation. The damage was classified for reinforced concrete structures and masonry dwellings. The various types of earthquake-induced failures and damage were discussed. Moreover, lessons learned are presented in this study. In addition, the tsunami effect was also evaluated in terms of elevated maximum level, damage and other effects.

2 Historical seismicity and tsunami in the mezzo scale

2.1 Seismicity

İzmir is in western Turkey, at the western end of the Anatolian mainland. In the historical period from BC 1800-AD 1900 (KOERI 2020), earthquakes with varying intensity values occurred in the Great Menderes and Gediz Graben System in İzmir province and its vicinity, as seen in Fig. 6.
A total of 332 earthquakes occurred in the region before 1900. In addition, 695 earthquakes were measured with a magnitude that was higher than 4.0, and the largest of them was 6.8. Instrumental period earthquakes can be seen in Fig. 7.

İzmir and the vicinity of the province experienced numerous earthquakes. The magnitudes of major earthquakes during the instrumental period around İzmir are plotted in Fig. 8.

### 2.2 Tsunamis

The most active part of the Aegean Sea in terms of seismicity is İzmir Bay, the Karaburun Peninsula and Chios Island. Between 496 BC and 1949 AD, 20 medium-scale earthquakes occurred and caused tsunamis in 1389, 1856, 1866, 1881, and 1949. The Amorgos earthquake in 1956 triggered a large tsunami that resulted in a 2.5 m high wave and 1.5 km deep flood area in Kilimli (Kalymnos) island. Severe material damage was observed in the Ünye (Ios), İncirli (Nisyros), Batnaz (Patnos), Papazlık (Tilos), and Lipsi (Lipsos) islands. The tsunami reached 1 m in Fethiye, and the flood depth was 250 m (KOERI 2020). Historical tsunamis and locations are shown in Fig. 9.

A severe earthquake with an instrumental magnitude of 6.6 (Mw) and a depth of about 6 km occurred in the entire Southwest Aegean on July 21, 2017, at 01:31 local time in the Gulf of Gökova in the Mediterranean. This earthquake triggered a tsunami, and tsunami waves moved through Muğla province and the vicinity. This tsunami had an impact on Bodrum. After the earthquake, a wave height of 30–40 cm was observed on the Bodrum coast. While partial flooding occurred due to the tsunami, vehicles drifted into Gumbet, and it was determined that the tsunami climbed exactly to a height of 1.9 m in field observations.

![Fig. 7 Instrumental period earthquake distribution (KOERI 2020)](image-url)
Seismic characteristics of the Samos earthquake

The October 30, 2020, Samos earthquake was the last earthquake that occurred in the Aegean Sea region that killed people and caused severe damage to the structural systems. This ground motion and the following aftershocks were recorded by 711 accelerometers. The depth, location of the stations, and magnitude parameters of the Aegean Sea earthquake obtained from several institutions are shown in Table 1.

The ground motion relationships derived from the PGA values of the Samos Earthquake were also examined in detail in this study. Seismic activity was recorded by the five nearest stations, as illustrated in Fig. 10. Also, recorded PGA values from different stations are shown in Table 2.

Numerous institutes provided data for the Aegean Sea earthquake. However, only the Aydın-Kuşadası (0905) station provided the highest ground acceleration measurements. The greatest PGA of the earthquake was reported in the N-S direction. Three dynamic ground component accelerations, velocities, and displacement recordings acquired from Aydın-Kuşadası with recorder ID 0905 are depicted in Fig. 11. As shown, the highest ground acceleration was 144.02 cm/s$^2$ for the E-W component, 179.3 cm/s$^2$ for the N-S component, and 79.84 cm/s$^2$ for the U-D component at the Aydın-Kuşadası station.

Additionally, the highest velocity and displacement values for the N-S component were 8.93 cm/s and 2.26 cm, respectively. It must be stressed that the recorded PGA at Aydın-Kuşadası station did not exceed the PGA defined in the previous Turkish Earthquake Code (TEC 2007), which is equal to 0.018 g, or the current Turkish Building Earthquake Code (TBEC 2018), which addresses a reference interval from 0.4 g to 0.6 g on the earthquake strong ground motion intensity maps. Figure 12 shows the acceleration response spectrum computed for Aydın-Kuşadası station (# 0905) recordings with damping ratios of 0%, 2%, 5%, and 10% for E-W, N-S, and U-D components. Figure 13 illustrates a comparison of response spectra for several soil types.

![Earthquakes after the year 1900 around İzmir](Plotted based on (Kiratzi 2018; KOERI 2020))

3 Seismic characteristics of the Samos earthquake
Fig. 9  Historical tsunamis and location in the Aegean Sea (KOERI 2020)

| Parameter        | DEMA<sup>a</sup> | KOERI<sup>b</sup> | USGS<sup>c</sup> | CSEM<sup>d</sup> |
|------------------|------------------|------------------|------------------|-----------------|
| Magnitude (M<sub>w</sub>) | 6.6              | 6.9              | 7.0              | 7.0             |
| Depth (km)       | 14.9             | 12.0             | 21               | 10.0            |
| Location         | Longitudinal     | 37.979           | 37.90            | 37.897          | 37.91          |
|                  | Latitude         | 26.703           | 26.79            | 26.784          | 26.84          |

<sup>a</sup>Turkish Ministry of Interior Disaster and Emergency Management Presidency  
<sup>b</sup>Kandilli Observatory and Earthquake Research Institute  
<sup>c</sup>United States Geological Survey  
<sup>d</sup>European-Mediterranean Seismological Centre
To the northeast of Samos Island, the earthquake passed through a normal fault with an East–West alignment and a dip of nearly zero. According to researchers, the earthquake broke through approximately 30 km of the Samos Fault on the island of Samos. Main and independent earthquakes have shorter lengths in the east and west portions of the main fault on Samos (indicating an average evidence of normal faulting) (DEMA 2020). The distribution of aftershocks in the 62 days following the earthquake evolved to confirm the
obtained map of stress distribution. According to the most recent report from the Kandilli Observatory and Earthquake Research Institute (KOERI 2020), during the first 30 days following the mainshock, 60 earthquakes with a magnitude greater than 4.0 and 5068 aftershocks with a magnitude from 0.8 to 5.2 occurred (Fig. 14).

4 Basin effect

The province İzmir is located on İzmir bay. Most of the city is constructed on the Bornova basin. This area has two generations of stacked or superimposed basins. The term “superimposed basins” refers to a basin type that comprises at least two sediment fills with contrasting ages, origins, facies, internal structures, and deformation patterns. The major geological units for the stratigraphy are as follows: (a) the Late Cretaceous—Paleocene basement area and (b) the Miocene older basin fill overlain by (c) the Plio-Quaternary younger basin fill (Fig. 15a). The Bornova melange serves as the geological foundation for the area, with Mesozoic limestones, cherts, underwater volcanics, and serpentinites contained in a flysch-type sedimentary matrix (Makra et al. 2021). Bornova melange is the oldest geological unit in the world. As an unconformity, Neogene-aged sedimentary rocks are included into the Bornova melange. Pebbles, argillaceous limestones, and silicified limestone comprise these sedimentary rocks. Volcanite forms an unconformity over the Neogene sedimentary strata (Pamuk et al. 2018). A geological formation of İzmir is demonstrated in Fig. 15a.

From a tectonic perspective, the Bornova basin is bordered to the south by the İzmir fault zone (FZ). The FZ is a 40-km long, E-W trending, active normal fault transition zone. It is approximately 2–4 kms broad and 2 kms long. The northern half of the basin is bounded by the Karşyaka fault zone (KFZ), which is the polar opposite of the FZ.
The KFZ is a roughly E-W trending normal fault transition zone measuring from 0.5 to 2.5 km in breadth and 20 km in length with a well-developed steplike morphology. Both the KFZ and IZF include recent basin fill units, whereas the footwall is stratified by volcano sedimentary rock layers from the earlier basin fill (Makra et al. 2021). Two
significant morphological characteristics are readily apparent: the high bedrock outcrops and the low-lying basin structure with heavy sedimentary deposits. A sizable portion of the urban area of İzmir is built on sedimentary alluvial deposits. Additionally, considerable fluvial deterioration occurs in the Gediz River delta in the northern area of the Bay of Izmir. Site reaction was critical in amplifying ground vibrations on soft soil sites, such as at station areas 3513, 3518, 3519, 3521, and 3522 during the October 30, 2020, earthquake (Fig. 15b).
Ground motion relationships for the PGA values of the Samos Earthquake were also used in this study for a detailed investigation. Two of the latest ground motion models by Akkar et al. (2014) and Erken et al. (2018) were considered and plotted in Fig. 16 for the E-W and N-S directions by employing 51 station records. The ground motion models were evaluated for both the E-W and N-S components of the earthquake and are plotted in the same figure. As presented in the figure, the commonly used latest ground motion models were used for Europe and the Middle East. Moreover, both equations were slightly overestimated due to the basin effect. As shown in Fig. 16, a strong ground motion prediction was recorded at the stations closest to epicentre provided data with a lower accuracy due to basin effect.

The basin effect and alluvial soil profiles alter the behaviour of the measured seismic waves to an unpredictable level. To see the differences between the predicted and
measured PGA values from the stations, root mean square (RMSE) values were calculated as a prediction indicator. The basic aim was to calculate the RMSE to determine which soil profile during the Samos earthquake altered the seismic behaviour. RMSE values are commonly used to determine the degree of error between two data sets. For this study, the data sets included the measured PGA data from the station and predicted PGA data. The closer the RMSE value is to zero, the better the prediction capability of the model. The RMSE value was calculated as follows using Eq. 1.

$$\text{RMSE} = \sqrt{\frac{1}{n} \sum_{i=1}^{n} (y_{\text{measured}} - y_{\text{predicted}})^2}$$  (1)

As shown in Fig. 17, there are four different soil types distributed along the İzmir metropolitan city territory from ZB to ZD according to the TBEC 2018. A batch of 51 different stations, with both N-S and E-W components, were evaluated. The RMSE values presented in Fig. 17 indicate that the closest prediction was provided by Erken et al. (2018) in the W-E direction. The N-S prediction obtained by using the equation provided by Erken et al. (2018) was slightly overestimated. However, the equation provided by Akkar et al. (2014) provided a closer prediction in the W-E direction but was a bit high in the N-S direction for overall evaluation of the equations. Four different soil types were evaluated separately to determine the deviation due to basin effect. The highest differences were obtained from the ZE soil type. The high RMSE value was related to the limited data. Most of the stations were located on the territory classified as ZC and ZD. Even if ZD was classified as one of the worst soil types, the prediction accuracy was lower than that for ZC due to the large number of data points and average distance from the epicentre. A total of 25 stations were evaluated, and the average distance from the epicentre was 139 km. Even if there is a limited amount of data related to ZB soil, the proposed equations were predicted with the highest accuracy, especially those from Akkar et al. (2014) in the N-S direction.

Fig. 16  The PGA and distance relationship for Samos earthquake along 250 km
5 Overview of building stock in İzmir province

In the aftermath of the İzmir earthquake, many of the apartment buildings that were turned into rubble were next to residences that remained intact or only suffered cracks, which raised questions about their state prior to the disaster that killed 114 people. This situation forced researchers to investigate the building stock portfolio of İzmir. Most of the collapsed buildings were built more than two decades ago, before the regulations mandating sturdier construction were established following a deadly earthquake in 1999 in northwestern Turkey. All fatalities in the earthquake were in nine buildings in the Bayraklı district, which collapsed either entirely or partially (URL2). There were about 670 thousand buildings available in İzmir, and 89% of the building stock was used for residential purposes. The building stock portfolio distribution is shown in Fig. 18.

As shown in Fig. 19, the building stock portfolio in İzmir is divided into three categories: reinforced concrete, masonry dwellings, and others (steel and wood).

Before presenting the performance assessment, it is important to note that the number of floors has a significant impact on the earthquake behaviour and vulnerability assessment of all structures, particularly reinforced concrete buildings. These are structures constructed

![Fig. 17 Calculated RMSE value for different soil types](image1.png)

![Fig. 18 Building stock distribution in İzmir (METU 20200)](image2.png)
prior to 1980, structures constructed between 1980 and 2000, and structures constructed after 2000. In this regard, reinforced concrete structures in Izmir are also classified by their floor numbers. Building groups with 1–3 stories (referred to as low-rise buildings), 4–8 stories (referred to as mid-rise buildings), and 9 stories and above (referred to as high-rise buildings) were classified based on the performance of reinforced concrete structures in Turkey during prior earthquakes. Figure 20 depicts the percentage of reinforced concrete structures classified by floor number and construction year in Izmir. Mid-rise building data were obtained between 1980 and 2000, making it particularly sensitive. The data indicate that reinforced concrete constructions accounted for 11% (more than 50,000 structures) of all reinforced concrete structures in Izmir. In this context, reinforced concrete buildings in Izmir were also classified according to the number of floors, as shown in Fig. 20.

Figure 20 analyses the proportional change in the total number of buildings produced in Izmir during an approximately ten-year period. The data indicate that the number of buildings in Izmir increased quickly between 1980 and 2000, accounting for more than 40% of the current building stock. Previous earthquakes in Turkey indicated that structures of this age are one of the most vulnerable segments of the Turkish building sector. It has been demonstrated that this circumstance holds true for Izmir as well.

Fig. 19 Main bearing elements of building portfolio of Izmir (METU 2020)

Fig. 20 Distribution of building storey number vs. construction year (METU 2020)
There are nine seismic codes that were published before the current seismic code in Turkey. The history of the seismic codes is shown in Table 3.

The first seismic code in Turkey was implemented in 1940 and was based on the Italian seismic code. Then, the current seismic code, called the Turkey Building Seismic Code 2018, was the tenth seismic code currently in use in Turkey. Figure 20 compares the distribution of building production ratios according to considered seismic codes during the production of the total number of buildings produced in Izmir over a period of about 80 years. Additionally, Fig. 21 demonstrates that over 77.5% of the building stock in İzmir was not constructed in a manner consistent with the modern seismic code / building inspection (METU 2020).

The data reveal that the number of buildings in İzmir increased quite rapidly between 1980 and 2000, and more than 40% of the existing building stock was built within this 20-year period. In addition, these buildings were constructed according to seismic codes.
published in 1975. More extensive seismic codes were published in 1997. Only 9.1% of these buildings were produced according to this seismic code. The next seismic code was published in 2007, and 13.4% of the buildings were produced according to this code. Previous earthquakes in Turkey indicate that buildings of this age are one of the building groups with the highest vulnerability in the Turkish building stock. Figure 21 also shows that more than 77.5% of the building stock in Izmir was not built in accordance with the modern earthquake code (METU 2020).

6 Damage assessment of reinforced concrete buildings

Reinforced concrete buildings constitute most of the building stock in Turkey. Migration from rural areas to cities has resulted in rapid reinforced concrete construction. This uncontrolled rapid construction has caused the proliferation of poor-quality buildings that are not earthquake resistant. Considerable damage occurred to reinforced concrete structures during the October 30, 2020, earthquake. The main problems with the damaged structures were conventional concrete pouring; the use of ready-mixed concrete, which started after the 1999-Kocaeli earthquake; insufficient transverse reinforcement; poor workmanship; corrosion due to the use of sea aggregate; and structural deficiencies resulting from architectural mistakes.

The studies that investigated the causes of damage by observing the structural damage that occurred after earthquakes and offer solutions contribute significantly to the literature. As a result, since the 1999 Kocaeli earthquake, researchers have taken a keen interest in such published studies (Saatcioğlu et al. 2001; Sezen et al. 2003), such as for the 2003 Bingöl earthquake (Doğangün 2004), 2011 Simav earthquake (İnel et al. 2013; Yön et al. 2013), 2011 Van earthquakes (Taşkin et al. 2013; Yön et al. 2015; Yön et al. 2019), 2017 Mexico earthquake (Tena-Colunga 2021), and 2020 Sivrice earthquake (Sayın et al. 2021; Yurdakul et al. 2021; Demir et al. 2021; Altunışık et al. 2021).

Damage to reinforced concrete buildings was assessed in detail in this section in accordance with the requirements of the Turkish Seismic Codes from 1975, 1997, 2007, and 2018. The structural elements observed by the technical team can be listed as follows:

- Insufficient transverse reinforcement in structural members.
- Short column failures.
- Inadequate gaps between adjacent buildings.
- Strong beam–weak column.
- Poor concrete quality and corrosion.
- Failures of gable walls.
- Damage to infill walls.

6.1 Insufficient transverse reinforcement in structural members

Brittle fractures that occur from shear forces cause great damage and losses, both for the element and structural system. Ensuring shear safety is very important for structural performance, especially under forces that can vary in magnitude and direction, such as earthquake forces. Compressive, friction, and tensile forces in the reinforcements in the bearing elements of reinforced concrete structures create forces that resist shearing. However, shear resistance in structural members is mainly provided by transverse reinforcements.
Therefore, it is necessary to pay attention to the design and construction of these members. Confinement of transverse reinforcements increases the ductility of the structural member and the compressive strength of the concrete by creating lateral forces under axial loads. Although there are requirements for stirrup tightening even in the 1975 (TSC 1975) earthquake code, this criterion was not considered for columns and beams. During the field observations, the spacing of the ties in columns ranged from approximately 25–40 cm. The wide spacing of the transverse reinforcements between other reinforcements caused buckling of longitudinal rebars, spalling off the concrete cover, and causing shear failures. But, according to the codes from 1975 to 2018, the maximum tie spacing should not exceed 150 mm in confinement zones (Fig. 22). However, special seismic cross ties and hooks should be bent to 135°. However, the transverse and longitudinal bars of the damaged columns and beams were smooth and 90°. In the observed buildings, it was seen that necessary care was not given to designing longitudinal reinforcement bars, and a sufficient number of transverse bars was not used in the columns and beams. Figure 23 shows damaged structural elements in buildings due to inadequate transverse reinforcement and the use of improper hooks and smooth bars.

Beams are damaged by deficiencies like columns. Insufficient longitudinal and confinement reinforcement and a low concrete strength caused brittle fractures in beams. While the longitudinal tensile reinforcement ratio \( r \leq 0.005 \) is for unribbed reinforcement (for S220) in the 1975 earthquake code, it is given as \( r \leq 0.002 \) for ribbed reinforcement (for S420) in the 1997, 2007, and 2018 codes (Fig. 24). When the damaged beams were evaluated, it was seen that even the conditions given for the beams in the 1975 regulation were not met. Figure 25 shows damaged beams resulting from the September 30, 2020, Aegean Sea earthquake.

### 6.2 Beam-column joints

Reinforced concrete buildings are unlikely to collapse wholesale under vertical loads. The main problem is forces, such as from earthquakes, that stress the structure in the horizontal direction. The resistance of earthquake forces is possible by the safe transfer of these loads to columns. The first elements that meet the horizontal load in a structure are the elements that combine with the joint. The higher the stiffness of these points is, the safer the transfer of the load to the columns. These joints play a critical role in the distribution of force.

![Fig. 22: Turkish Seismic Code requirements for columns](image-url)
Even if the columns of the building system are strong, the structure can become a mechanism and partially or completely collapse in cases such as cracking and disengagement of the anchorage at the joints. During the Aegean Sea earthquakes, many RC buildings were severely damaged due to failures of these joints. It was determined that weak detailing of beam-column joints and the use of materials with a low quality were the main reasons for the damage. However, a lack of transverse and seismic cross ties and inadequate anchorage
bars for the beams and columns in reinforced concrete elements lead to joint failure. Figure 26 depicts various types of damage. To protect joints from damage, sufficient confinement and shear reinforcement should be used with special attention paid to the design of these elements.

### 6.3 Short columns

Ribbon windows are made on the upper parts of infill walls for illumination in basements of schools, hospitals, and buildings, and these are not taken into consideration during the design phase. Although this design was not included in the project, it can also be done by the residents later. In such a case, the columns are supported by an infill or shear wall up to the bottom of the window. Thus, the length of the columns whose sides are unloaded decreases and the rigidity increases. Since an earthquake load is shared according to the stiffness of the columns, these extremely rigid columns are exposed to much more than the shear force that was calculated during the design. Shear fractures occur in columns that are not designed according to this load. Since the earthquake is a dynamic and reversed-cyclic
Fig. 26  Beam-column joint damage
lateral load loading, X-shaped shear cracks are frequently encountered in these columns. The shear cracks that occur completely compensate for the load-bearing capacities of these columns.

If such a structure is designed, the shear safety of this area should be increased by tightening the appropriate stirrups in the column free zone (wide spacings should not exceed 10 cm). According to the earthquake regulations, the shear force to be used in the calculation is calculated using Eq. (2).

\[ V_e = \frac{M_a + M_{\equiv}}{l_n} \leq \left\{ \begin{array}{ll} V_r & \text{if } M_a + M_{\equiv} < 0.85 A_w \sqrt{f_{ck}} \\ 0.85 A_w \sqrt{f_{ck}} & \text{otherwise} \end{array} \right. \]  

Here, the bottom moment and top moment of a short column were calculated as two moments \( M_a = 1.4M_{ra} \) and \( M_{\equiv} = 1.4M_{r\equiv} \), respectively. Parameter \( M_r \) indicates the cross-sectional strength moment, \( l_n \) represents the length of the short column, \( V_r \) indicates the shear strength of the column cross section, \( A_w \) shows the body area of the column section and \( f_{ck} \) shows concrete compressive strength. To prevent damage to short columns that are formed by building residents later, the following should be done:

1. During construction, a suitable joint gap should be left between the columns and wall, and the gap should be filled with a material that can be tightened.

2. To prevent free ends in the column, filling walls should be built on the sides of two columns, and the window space should be reduced. Figure 27 shows this kind of critical failure.

### 6.4 Inadequate gaps between adjacent buildings

Since the income of the city centres is higher, buildings are built without insufficient gaps being left between the buildings. Since the age of buildings is different, it is expected that adjacent buildings will collide during an earthquake. These collisions can cause significant damage and destruction to structures. This situation has become more dangerous, especially in buildings whose floor levels are not the same. In the 2018 earthquake regulations, the following restrictions were introduced because building blocks that are separated from each other by structural joints carry the risk of colliding and being damaged in an earthquake:

1. For example, the prevention of torsional irregularity and a balanced arrangement of carrier system elements must be done. Except in cases where the building or building blocks are in planning for a long period of time, the separation of the carrier system into independent blocks by structural joints should be avoided as much as possible.

2. The length of the building or building blocks in the plan, regardless of the earthquake calculation, for reinforced concrete members, using the effective section stiffness, can be determined according to the carrier system calculation to be made for temperature change and shrinkage effects.

In addition to this, according to TSC-2007 and TSC-2018, the minimum size of gaps should be 30 mm up to a height of 6 m and from there on, a minimum of 10 mm should be added for each 3 m height increment. These gaps can be filled with construction residue. For this reason, leaving more space than these values would be more appropriate in terms of
preventing the buildings from colliding. Unless a more unfavourable value is obtained in accordance with requirements defined in the previous statement, TSC-2007 requires that the size of the gaps should not be less than the sum of the absolute values of average story displacements multiplied by the coefficient $\alpha$. If the floor levels on all stories of adjacent buildings are the same, then $\alpha = \frac{\text{R}}{4}$; otherwise, $\alpha = \frac{\text{R}}{2}$. In these equations, the factor for the structural behaviour is $\text{R}$. However, according to TSC-2018, unless a more unfavourable value is obtained in accordance with requirements defined in previous statement, the size of gaps should not be less than the sum of the absolute values of average story displacements multiplied by the coefficient $\alpha$. If adjacent floor levels of buildings at all stories are the same, then the amount of the gap is $\alpha = 0.25 \left( \frac{\text{R}}{\text{I}} \right)$; if not, $\alpha = 0.50 \left( \frac{\text{R}}{\text{I}} \right)$. In these equations, the structural behaviour factor and building importance factor are indicated by $\text{R}$ and $\text{I}$, respectively. The buildings damaged because of the hammering effect are shown in Fig. 28.

### 6.5 Strong beam–weak column

In Turkey, especially in old reinforced concrete structures, the beam dimensions are larger than the column sizes to make the spaces and openings larger. A strong beam
on a weak column means that the total bearing strength moment of the beams should not be higher than the columns. However, the 1997, 2007, and 2018 Turkish Seismic Codes require that the bearing strength moments of columns converging at a node point should be at least 1.2 times greater than the bearing moments of beams at that node. Weak columns in reinforced concrete structures of this design exhibit elastic behaviour at first and can withstand some horizontal load, but as the load increases, they cannot exhibit inelastic behaviour and collapse in a brittle manner. The main cause of the partial and total collapse during the İzmir earthquake was the presence of a strong beam–weak column combination. Figure 29 shows collapsed buildings where the column dimensions are considerably smaller according to the depth of the beams. Beams exhibit ductile behaviour since they are subjected to a much lower axial normal force than columns. Therefore, even if there is not a total collapse, shear fractures can occur in the columns without any damage to the beams (Fig. 30). To prevent such damage, the columns should be made stronger than the beams, and plastic joints should be

Fig. 28 Damages to adjacent buildings during the September 30, 2020, Aegean Sea earthquake

Fig. 29 Total collapse due to strong beam-weak column
formed in the beams. Thus, brittle shear fractures will not occur at the column ends, and the total collapse of the structures will be prevented.

### 6.6 Poor concrete quality and corrosion

The concrete strength is one of the most important parameters affecting the earthquake performance of a reinforced concrete building. The use of ready-mixed concrete was not compulsory in Turkey until the 1999 Kocaeli earthquake, and handmade concrete was generally used without using a vibrator. After this earthquake, the use of ready-mixed concrete and the compressive strength of the concrete to be poured on-site were required to be at least 20 MPa. With the 2018 earthquake code, the lowest concrete compressive strength was increased to 25 MPa. However, in the 1975 Turkish Seismic code, there is a requirement that concrete with a concrete compressive strength of at least 18 MPa should be used for buildings located in regions with a high earthquake risk. Moreover, the compressive strengths of the concrete cores in the observed collapsed and damaged structures ranged from approximately 6–11 MPa. Another reason why the buildings did not show the expected performance was a lack of ribbed reinforcement in the structural elements and the excessive corrosion that occurred in these reinforcements. The non-ribbed reinforcements prevented the concrete and reinforcement from having sufficient adherence. In addition, the use of aggregate in the concrete of the buildings that was extracted from the Aegean Sea and not washed caused corrosion in the reinforcements and adverse effects on the concrete. Figure 31 shows the poor quality of the concrete and the corroded reinforcement bars in various buildings.
6.7 Soft and weak story mechanism

The ground floors of the buildings on the side of the street in the city centre are used as commercial spaces. Larger spaces are obtained by removing the infill walls on these floors. The contribution of partition walls to rigidity is often not considered when designing structures. These walls are only applied to the structure as a load. However, partition walls contribute significantly to the initial stiffness of structures. The absence of walls on the ground floor causes this floor to shift more than the neighbouring floors. Another factor that creates a soft floor is the high floor height on shop floors. The height of the columns causes the stiffness of the floor to decrease. During an earthquake, all the total displacement occurs on this floor, and very large second-order moments occur. Therefore, a building will collapse on top of this floor. The displacement of the ground floor should be limited to prevent soft floor damage. The stiffness of this floor should be increased by increasing the column dimensions and using reinforced concrete shear walls. Figure 32 shows total collapses that arose from soft story mechanisms in various reinforced concrete buildings during the Aegean Sea earthquake.
In old buildings in Turkey, the vertical main bearing element dimensions were reduced as the upper floors went up. Sometimes, curtain walls were built up to a certain floor and continued with columns instead of curtains on the other floors. Homeowners can sometimes create a large space by removing partition walls from the mezzanine floors. Such an approach is completely inconsistent with earthquake-resistant building design procedures. On floors with a reduced rigidity, excessive displacement demand occurs because of earthquakes, and collapses may occur on these floors. To prevent this type of failure mechanism, TSC 2007 and 2018 require that, in RC buildings, in each of the orthogonal earthquake directions, the ratio of the effective shear area of any story to the effective shear area of the story immediately above should not be less than 0.80. Figure 33 illustrates buildings that collapsed due to the weak-story mechanism.
6.8 Damage to infill walls

The main purpose of infill walls in reinforced concrete structures is to separate spaces. As a result, during the design process, the walls are usually only affected by the vertical load of the structure. However, this approach is not completely accurate for walls. Infill walls affect the structural response in many ways, particularly the lateral rigidity, under cyclic loads, such as during earthquakes. One of the most important effects of infill walls is that they increase the horizontal load carrying capacity of a structure. However, it must be stated that the contribution of infill walls to the rigidity of a structure is suddenly reduced when they become cracked or damaged (Yön et al. 2017; Onat et al. 2018; Braga et al. 2011; Onat 2019; Doğangün 2021; Onat and Panto 2021).

Damage to the infill walls can be classified as follows:

- In-plane shear damage (with and without opening).
- Out-of-plane overturning damage (partial or collapse).
- Damage to the corners of perpendicular walls.
- Damage to the walls that stand on cantilevers.

Walls without openings are generally supported by columns or shear walls on their two vertical edges. Because the mass of a building is summed at the floor level, horizontal seismic forces can be expected to act at the floor level. Under these loads, shear cracks are enhanced on the infill wall plane. The spread of cracks on the wall plane is affected by the height/length ratio of the wall. Figure 34 depicts the traditional crack shape of an “X”.

In the corners of exterior walls where there are window spaces, in-plane cracks in the form of steps occur in the plaster lines within the plane of the wall due to shear force. To prevent these cracks, the windows should be kept away from the wall joints, and the wall should be prevented from supporting the wall in this area. Figure 35 shows this type of damage.

Out-of-plane damage is a common type of damage to filled walls. Upon the growth of in-plane cracking in walls, the connection of the walls to the structural frameworks

Fig. 34 Shear cracks on walls without openings
weakens. Thus, since there is no element to support the wall, it is knocked out of the plane of the wall. This type of damage is seen in Fig. 36.

Because the earthquake force acts both on the plane of the wall and outside of it, both in-plane and out-of-plane damage can occur on the wall at the same time. The main reason for these types of damage is that the wall does not have sufficient rigidity in its plane and is not rigidly attached to a frame member. Figure 37 shows this kind of failure mechanism.

Furthermore, as shown in Fig. 38, heavy in-plane shear damage was observed in new residences. The reason for this damage was the lack of rigid body diaphragm motion of the structural system due to a big opening in the centre of the slab.
Figure 38 depicts a public residence with a large central gap. Despite the lack of substantial cracks in the core shear wall, the infill walls showed extensive shear cracks. Damage to the infill walls in a modern house caused by ground motion during an earthquake that is less intense than the design specifications is unexpected. It is presumed that the encircling shear walls were unable to control the inter-story drifts since the structural system lacked a rigid diaphragm contribution. Another theory is that software modelling mistakes were responsible for this unusual type of damage due to incorrect assumptions. Slab motion is believed to be rigid diaphragm motion during the modelling process. Unexpected behaviour can be seen in the absence of rigid motion. Furthermore, the infill walls surrounding the residence doors were severely damaged because of the poor detail design and exhibited unforeseen drift. Due to the severity of this damage, it is imperative that slab discontinuity be considered and appropriate load transfer methods to shear walls be proposed. This is a new type of damage observed during earthquakes in Turkey in the last decade, and there is a consensus among scientists on this finding.
6.9 Shear wall damage

Reinforced concrete shear walls are important load-bearing elements used to limit floor drifts, provide rigidity to the structure and increase the structural performance of buildings against horizontal shear forces. If these walls, which are subjected to high shear forces during the earthquake, are not designed at the appropriate dimensions, the wall end zones are not formed, and if they are not placed in the appropriate places in the plan, the curtains fail to function and are significantly damaged. Figure 39 shows reinforced concrete shear wall damage during the Aegean Sea earthquake.

7 Performance of masonry dwellings

7.1 Damage at the wall corners

Damage at wall corners is caused by an out-of-plane vibration of the walls and commonly occurs due to a lack of connection between orthogonal walls. Vertical cracks and separations of adjacent walls are signs of corner damage. Figure 40 shows vertical cracks caused because of stress concentrations at the building corners, poor connections between the walls and the absence of bond beams. Vertical confining elements are recommended for confined masonry buildings to prevent this type of failure. According to TBEC-2018, the unsupported plan length between load-bearing walls should be no less than 5.5 m in Seismic Design Classes 1 and 2, and 7.5 m in Seismic Design Class. If this condition is not satisfied, reinforced concrete vertical bond beams should be built on walls with an axis-to-axis spacing of no more than 4.0 m in the plan. However, the unsupported length of such walls should not be more than 16 m.
7.2 Out-of-plane failure mechanism

In masonry buildings, failure was caused by insufficient details connecting walls to the roof and walls to each other as well as a lack of bond beams. When masonry buildings are subjected to earthquake effects in the perpendicular direction to the wall plane, out-of-plane mechanisms occur as an overturn of the whole wall or a sizeable portion of it due to the absence vertical bond beams, which contributes to the earthquake resistance of the wall. Fig. 41 shows the out-of-plane mechanism for walls. Different materials were used in the inspected construction, such as briquet, brick, and stone masonry walls in different buildings. Generally, brick and briquet walls were damaged due to unsupported corners with girders (Fig. 41e, f). However, when materials with different properties are used together in a wall or building (Fig. 41c), uncertainties in the rigidity and strength appear and consequently cause problems in the load sharing, especially during earthquakes. For instance, in a building with one edge made of a thick stone wall and the other edge made of thin or unsupported walls, additional torsional moments can come into being because of the rigidity irregularity in the plan.

The workmanship for the walls was not properly been conducted. Clay mortar was employed instead of cement mortar among the stones as a binder due to economic reasons. The binding of clay mortar is weak and becomes weakened over time depending on the weather conditions. Since thickness of the wall was relatively large, the walls were constructed by using more than one stone along the thickness direction. The outer layer of the thick stone walls was built with large coarse stones with a relatively smooth surface. However, smaller rubble stones were used between the two outer layers and did not provide connections among them. This situation caused the inner and the outer layers of the wall to behave independently by weakening the connections along the wall thickness direction.

7.3 In-plane failure mechanism

Shear diagonal damage commonly occurs at the edge of window and door openings, in the planes of walls where the shear strength is low and at corners that do not have horizontal and vertical bond beams. Inappropriate openings or their locations in load-bearing walls enhance shear stresses under seismic loads. Thus, the stiffness of the wall decreases, and diagonal cracks occur at the edges of openings. TBEC-2018 requires the use of vertical and
Fig. 41 Out-of-plane failure mechanism (Small figures were adopted from Altunışık et al. 2021)
horizontal bond beams to avoid this type of damage. Fig. 42 shows diagonal shear cracks in masonry buildings in the earthquake region.

8 Tsunami damage assessment

Tsunamis leave various traces in the areas they affect. These traces may disappear in a short time due to natural causes or human activity. The tsunami triggered by the October 30, 2020, Samos earthquake was not recorded by a wave gauge. So, the wavelength was measured on the site manually. The tsunami effect investigations on the Turkish coast were concentrated in three main regions by the authorities (METU 2020):

(i) Alaçatı and Zeytineli regions in the northwest.
(ii) Sığacık Bay and Akarca region on the coast of Seferihisar in the north.
(iii) Tepecik and Gümüldür regions in the northeast.

Within approximately 10 and 20 min, the first wave of the tsunami struck the coasts of Samos and Izmir, respectively. The city of Vathi was the most settled region on Samos Island, but the maximum flow depth was quite shallow (20 cm), and only minor floods were reported in commercial and residential structures along the beach, as illustrated in Fig. 43. (Manos 2020; Altunışık et al. 2021).

The highest tsunami height measured in Sığacık Bay was obtained on the coast of the Kaleiçi region and was 2.31 m. At Akarca, the highest wave (climbing) height was measured to be 3.82 m at 91 m inland from the shore. Water splash marks 1.9 m above the ground were found on the wall of a heavily damaged dwelling near the shore (0.89 m elevation). It was observed that the tsunami effect decreased as it progressed along the coast in the southeast direction from the Akarca region. Beyond the Gümüldür region, no tsunami dominant effect was observed. The effect of the tsunami decreased toward the south from the V-shaped cape between Tepecik and Gümüldür. The depth of the flow on land in the northern Zeytineli region was determined to be 1.9 m from traces on the trunks of
Fig. 42  Shear cracks at masonry building (Small figures were adopted from Altunışık et al. 2021)

Fig. 43  The view of Vathi after the tsunami (Altunışık et al. 2021)
palm trees 50 m from the coast. At Kusadasi Davutlar Seygi Beach, traces of the tsunami were found at the shelter for fisherman and at the canal entrance here. It was observed that the water level rose ~ 1 m in this region (METU 2020). Figure 44 depicts the tsunami and maximum wave height simulation.
After the tsunami, the effect of it on the coast of Izmir and the structural system is presented in Fig. 45.

9 Conclusions and recommendations

A strong earthquake hits the Aegean Sea near Samos Island on October 30, 2020. Many structures collapsed or were severely damaged. According to a current field investigation, 13 buildings completely collapsed and 110 were severely damaged after October 30, 2020, in Samos after the Aegean Sea earthquake. Buildings that collapsed or were in danger of collapsing were found 60 kms away from the epicentre. The heavily damaged buildings were built prior to the year and had 7 or 10 stories. According to inspections performed on the damaged systems, different contractors paid different amounts of attention to their structural systems. In addition, the influence of a younger geological unit was characterized by a low rigidity. Sedimentary deposits were a key contributor to the severity of the shaking. The amount of intensity depended on the soil amplification from the 0.5 to 1.5 s period range increased due to the basin effect on the structural systems by 40%. According to field observations and structural assessments, the following recommendations were developed to avoid repeating mistakes during the construction process and eliminate unforeseen conditions, such as the basin effect.
9.1 For structural systems

- Incorrect system selection.
- Incorrect seismic design.
- Interrupted main bearing elements and discontinuous frames.
- Large gaps on slabs.

9.2 For available RC structural systems

- Insufficient shear wall.
- Existing of short column.
- Low concrete quality.
- Using of unribbed reinforcement.
- Insufficient angle of transverse reinforcement.
- Insufficient space length between the shear reinforcement.
- Insufficient beam-column joint.
- Insufficient detailing of shear walls.
- Corrosion of rebar.

9.3 For available masonry dwellings

- Lack of horizontal or vertical bond beams.
- Large unsupported wall lengths.
- Lack of connection detail.
- Low quality of bonding material.

As a result, in Turkey, which is located on the most active faults of the world, the buildings that are not earthquake resistant and do not comply with the regulations need to be renewed or the strengthening applications are urgently required. The October 30, 2020, Aegean Sea earthquake showed that a tsunami could impact settlements close to the coast of Turkey. For this reason, tsunami-related warning centres should be established, coastal areas that may be exposed to the tsunami effect should be determined and settlement plans should be made accordingly.

Declarations

Conflict of interest The authors have no conflicts of interest to declare that are relevant to the content of this article.

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