Effect of straw mulch and seeding rate on the harvest index, yield and water use efficiency of winter wheat

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Straw mulching has been used to improve water use efficiency (WUE, yield per unit evapotranspiration) in the Loess Plateau of China, but the seeding rate may need to be adjusted from conventional practice. We tested the three-way interaction between seeding rate, soil management (conventional tillage and straw mulch) and seasons. Wheat yield ranged from 2851 to 6981 kg ha⁻¹ and WUE from 5.3 to 16.2 kg ha⁻¹ mm⁻¹. Generally, soil water storage was higher and soil temperature was lower under straw mulching than under conventional practice. Evapotranspiration was higher under straw mulching. Yield was significantly affected by the interaction between straw mulching and season. Conventional practice showed significantly higher mean harvest index (HI), yield, and WUE than straw mulching in favorable seasons. However, yield was significantly higher under mulching than under conventional tillage in very dry season. Seeding rate had no effect on yield, but low seeding rate increased HI compared to high seeding rate. It is concluded that low seeding rate would be suitable for straw mulching.

In China Loess Plateau, dryland farming is practiced on about 12.8 million ha or 80% of its arable land where precipitation has declined at a rate of 1–2 mm y⁻¹ in the last five decades. With increasing population, the challenge is to increase food production with declining precipitation. Conservation tillage has been encouraged to conserve soil and water resources, and increase crop yield. Winter wheat (Triticum aestivum L.) is widely grown in the Loess Plateau. About 40% of annual precipitation occurs from October through June when winter wheat is growing; hence, yield depends on both in-season precipitation and stored soil water at sowing, as in other systems. Recent reviews have shown that the response of rainfed wheat yield to straw mulching in the Loess Plateau varies from −20 to 74%, and the response of water use efficiency (WUE, yield per unit evapotranspiration) varies from −9 to 96% compared with conventional practice, whereas harvest index is reduced under straw mulching. These results indicate that there is an opportunity to further increase yield and WUE with practices to improve harvest index under straw mulching. We hypothesized that higher availability of soil water early in the growing season under mulch may favor early growth at the expense of growth at critical reproductive stages, hence affecting harvest index, yield, and WUE.

Seeding rate influences early biomass production and adjusting stand density to match water availability is common, for example, in the US corn-belt. Generally, seeding rate of rain-fed wheat is adjusted to account for climate, soil, varieties and sowing date. If straw mulch reduces grain yield by enhancing early growth and water use, then we can expect a three way interaction between soil management (mulch vs conventional), seeding rate and seasonal conditions. Few studies addressed this interaction. For rain-fed wheat in straw mulching systems or conservation tillage, the suitable seeding rates ranged widely between 111 and 470 seeds m⁻² with different varieties. For example, Sunderman concluded that seeding rates do not need adjustment under conservation tillage in various weather conditions. In wet and cold seasons, Kühling et al. documented no difference in wheat yield between two seeding rates (450 and 600 seeds m⁻²) across no-till and conventional practice in

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the south of Western Siberia. In the Loess Plateau under straw mulching, normal seeding rate (320 seeds m$^{-2}$) and 25% higher rate returned similar yield$^{19}$, but lower rates were not tested. Thus, our objective was to test the three way interaction between seeding rate, soil management and seasonal conditions, and its impact on grain yield and its components including harvest index, water use and water use efficiency of winter wheat. We hypothesized that soil water storage improved by straw mulching would stimulate tillering, early growth and water use, thus lower seeding rate under straw mulching could partially counteract the risk of lower grain yield and harvest index.

### Materials and Methods

#### Site and experimental design and crop husbandry.

Four-year field experiments were conducted at Wangdong village (35.14 N, 107.41 E, 1206 m above sea level), Shaanxi Province in the Loess Plateau from 2012 to 2016. Annual average temperature is 9.3 °C. The water table (50–80 m) is far below root zone and does not influence crop growth. Average annual precipitation is 578 mm, with 55% between July and September. Table 1 shows the actual rainfall in two periods, fallow and crop growing season, and the aridity index (precipitation divided by potential evapotranspiration) summarizing the seasonal conditions of this study.

The soil in this site is classified as an aridic and loamy, Cumulic Haplustoll 25, or a calcisol (WRB 2014) with a silt loam texture developed from loess deposits. At the start of experiment, top soil (0–20 cm) had 14.4 g kg$^{-1}$ organic matter, 0.95 g kg$^{-1}$ total nitrogen, 12.9 mg kg$^{-1}$ nitrate nitrogen, 1.8 mg kg$^{-1}$ ammonium nitrogen, 19 mg kg$^{-1}$ available phosphorus, 157 mg kg$^{-1}$ available potassium and 1.21 cm$^{-2}$ bulk density.

The experiment included six treatments resulting from the factorial combination of two soil management practices, i.e. conventional tillage and straw mulching with reduced tillage, and three seeding rates. Low seeding rate (LS) was 225–320 seeds m$^{-2}$ and represents 75% of recommended rate for conventional practice; medium seeding rate (MS) was 300–400 seeds m$^{-2}$, and is the recommended rate for conventional practice$^{26}$; high seeding rate (HS) was 375–480 seeds m$^{-2}$ and represents 125% of MS. Conventional practice included about 10 cm high wheat stubble at harvest that was incorporated to the soil about one month later with tillage to ca. 20 cm depth, then leaving bare soil until sowing. At sowing, fertilizers were incorporated into the soil by rotary ploughing to about 15 cm depth. In the mulch treatment, we established 0.6 kg dry stubble m$^{-2}$, after wheat harvest on July 2012 and maintained ground cover without tillage until sowing. At sowing, all wheat straw was temporarily removed when fertilizers were incorporated into the soil as conventional practice, and wheat was sowed manually. Then wheat straw returned to the plots evenly after correcting weight as it deteriorated during the time. Sowing rate and soil management treatments were arranged in a randomized block design with four replicates. Plot size was 20 m$^2$ (4 × 5 m) including 20 rows spaced at 25 cm.

Winter wheat (cv Changhan 58) was sown in late September or early October and harvested in late June or early July, as shown in Table 1. Applied fertilizers were 150 kg N ha$^{-1}$ as urea and 75 kg P$_2$O$_5$ ha$^{-1}$ as triple superphosphate in the first three seasons, and 120 kg N ha$^{-1}$ and 75 kg P$_2$O$_5$ ha$^{-1}$ in the last season. Nitrogen rates were based on soil nitrate nitrogen content before sowing (45 kg N ha$^{-1}$ in the topsoil, 0–20 cm) and N rate experiment at the same site showing no yield difference between 120 and 180 kg N ha$^{-1}$. Weeds and diseases were managed as recommended locally. Additionally, in the fourth season, we found some abnormal stems caused by wireworms (Elateridae) at GS39$^{25}$. Thereafter, pests were immediately controlled with cyhalothrin.

#### Sampling and measurements.

Wheat shoot population was recorded at emergence (GS15), tillering in winter (GS25), jointing (GS35), and heading (GS59). At physiological maturity (GS92), number of culms or ears were counted from 0.5 m$^2$ in each plot, it should be noted that the number of culms not bearing a spike were not included. At maturity, grain yield (12.5% moisture content), biomass, kernel-weight, the number of ears, and grains per ear were measured in each plot, and harvest index (HI) was calculated.

Water content in the soil profile (0–3 m) was measured gravimetrically (one profile per plot) at sowing, anthesis (GS65) and harvest (GS92). Soil temperature was measured for about one week centred at three growth stages (GS30, GS35 and GS65) in 2013–2014, 2014–2015 and 2015–2016. Since the second wheat season, daily soil temperature was derived by average of measurements at 8:00 a.m., 2:00 p.m. and 8:00 p.m. using bent-stem soil thermometers installed at 5, 10, 15 and 20 cm depth in three replicated plots for the medium seeding rate under both conventional and straw mulching treatments.

Precipitation was measured with a data logger (CR1000, Campbell Sci.) located about 1 km away from the experimental field. The sensor was factory calibrated before installation.

### Table 1. Winter wheat sowing and harvest date; precipitation during three periods: fallow (from wheat harvest at the end of June or early July to sowing in the late September or early October), in-crop season (from wheat sowing to harvest), and total (fallow + in-crop season), and aridity index at Wangdong village of Shaanxi Province. *Aridity index (AI) returns hyper-arid (AI < 0.05), arid (0.05 < AI < 0.2), semi-arid (0.2 < AI < 0.5), dry sub-humid (0.5 < AI < 0.65), and humid (AI > 0.65) environments.

| Season | Sowing date | Harvest date | Precipitation (mm) | Aridity Index$^*$ |
|--------|-------------|--------------|--------------------|------------------|
|        |             |              | Fallow             | In-crop season   | Total             | Fallow | In-crop season | Total |
| 1      | 2012/9/21   | 2013/6/23    | 337               | 160              | 497               | 0.23   | 0.17           | 0.36  |
| 2      | 2013/9/29   | 2014/7/4     | 392               | 252              | 644               | 0.88   | 0.32           | 0.53  |
| 3      | 2014/10/2   | 2015/7/5     | 345               | 277              | 622               | 0.77   | 0.36           | 0.51  |
| 4      | 2015/9/25   | 2016/7/1     | 217               | 223              | 440               | 0.47   | 0.24           | 0.32  |
Calculations and statistical analyses. Crop evapotranspiration (ET) was estimated from water balance equation;

$$ET = P + \Delta W$$

where \( P \) is precipitation and \( \Delta W \) change in soil water from sowing to harvest. We assumed negligible runoff, based on the flatness of the plots, and negligible drainage as precipitation rarely wets the soil below 2 m, whereas \( \Delta W \) was measured down to 3 m. Water use efficiency was calculated as grain yield divided by ET.

Repeated measures ANOVA (general linear model) was used to evaluate the effects of season, soil management, seeding rate and their interactions on crop traits (yield, biomass, harvest index (HI), yield components, evapotranspiration (ET), water use efficiency (WUE, yield per unit ET) and soil water storage at sowing, anthesis and harvest. *s*, season; *sm*, soil management; *sr*, seeding rate. *W1*, *W2* and *W3* represent the soil water storage at sowing, anthesis and harvest, respectively.

Results

Weather. Figure 1 shows precipitation and air temperature during the four seasons. In four years, air temperatures during the growing season were generally similar to the long-term. Frost occurred in early April in 2013 and 2015 (beginning of jointing stage), which affected wheat stand and yield components. Additionally, hail occurred on grain filling in 2015 (May 30, 2015).

The long-term fallow, in-crop and total precipitation were 304 mm, 274 mm and 578 mm, respectively. Total precipitation was below the long-term mean in the first season, mainly due to the very low in-crop precipitation of 160 mm. The fourth season also showed lower total precipitation (440 mm) relative to the long-term, but precipitation was more evenly distributed during wheat growth. Both the second (644 mm) and third (622 mm) seasons were wetter than the long-term mean, (Table 1). Fallow rainfall accounted for 68% (2012–13), 61% (2013–14), 55% (2014–15) and 49% (2015–16) of annual precipitation.

Soil water and thermal conditions. Table 2 shows ANOVA for soil water at sowing, anthesis and harvest as affected by season, straw mulching, seeding rate and their interactions. Soil water at sowing varied seasonally,
and was significantly higher under mulch than under conventional treatment (Table 2, Fig. 2). Straw mulching increased soil water storage at sowing by 88 mm in the first season, by 73 mm in the second season, by 49 mm in the third season and by 60 mm in the fourth season in comparison to conventional tillage. At anthesis and harvest, straw mulching showed higher soil water content than conventional practice in the third and fourth seasons, but there was no difference in the first and second seasons.

Seeding rate significantly affected soil water storage, with high seeding rate reducing soil water content at anthesis and harvest in comparison to medium and low seeding rates (Table 2). Under conventional practice, soil water storage was lower at the high seeding rate than the low seeding rate at anthesis except for the second season (2013–14) (Fig. 2). However, under straw mulching soil water storage was mostly at the same level for the three seeding rates at anthesis except for the third season (2014–15) (Fig. 2). In both conventional treatment or straw mulching, high seeding rate considerably decreased soil water at harvest only in the first season (Fig. 2).

Top-soil temperature (5 cm) under mulching was 2.8 °C (2013–14), 0.9 °C (2014–15) and 1.7 °C (2015–16) cooler than under conventional practice at re-green stage (GS30), and 1.3°C cooler at jointing (GS35) in 2013–2014 (Fig. 3). At other stages, soil temperatures were similar between the two treatments (Fig. 3).

Wheat shoot population dynamics. Wheat shoot population was higher in the second than in the first, third and fourth seasons (Fig. 4). Seeding rate showed season- and practice-dependent effects on population size. Under conventional practice in the first and fourth seasons, shoot population was higher at high and medium than at low seeding rate at seedling and wintering stages; in the second season, high and medium seeding rates showed higher populations than low seeding rates at wintering stage; in the third season, high seeding rate presented higher populations than medium and low seeding rate from seedling to jointing (Fig. 4 left panels). Under straw mulching, high seeding rate presented higher shoot populations than medium and low seeding rate from seedling to jointing in 2012–2013, at wintering and maturity stages in 2013–2014, and at seedling and wintering stages in 2014–2015 and 2015–2016 (Fig. 4 right panels). No difference was observed at other stages.

There were no significant differences in shoot populations between conventional and straw mulching treatments at any seeding rate in the first and fourth seasons. However compared with conventional practice, populations with straw mulching were lower for all seeding rates at jointing in the second and third seasons, and for medium and low seeding rates at maturity in the second season (Fig. 4, Table 3).

Yield and yield components. Wheat yield and its components are shown in Table 3, and Table 2 shows ANOVA. ANOVA indicated significant seasonal effects on grain yield as follows: season 4 > season 2 > season 3 > season 1, and seeding rate: high > medium > low. There were significant interactions between treatment and year, but not between treatment and seeding rate. The yield components (Table 3) were significantly affected by treatment: straw mulching increased grain number and weight, and straw mulching and high seeding rate increased 1000-grain weight compared with the conventional practice and low seeding rate.
3> season 1. Seasons also affected biomass, HI and yield components (Table 2). Across four seasons conventional practice had significantly higher grain yield, ear number and grain number compared with straw mulching. However, mulching significantly interacted with seasons; for example, in the very dry season 2012–2013 mulching increased HI and yield compared with conventional practice (Table 3). The high seeding rate showed distinctly lower HI than the low seeding rate (Table 2). Season, soil management and seeding rate also had significant interaction effect on HI.

Under conventional practice seeding rate had no effect on grain yield for all seasons, and on biomass and harvest index in first three seasons (Table 3). However, high seeding rate increased biomass and reduced HI compared with low seeding rate in the fourth season. Under straw mulching, medium seeding rate presented notably higher HI and yield than high seeding rate in the first season, but seeding rate did not affect HI and yield in the other three seasons, except for a higher HI in the medium seeding rate compared to both high and low seeding rate in fourth season (Table 3). With few exceptions, seeding rate had no effect on grain number and kernel weight under conventional practice or straw mulching in all tested seasons (Table 3). For example, high seeding rate had lower kernel weight than medium and low seeding rate in third season under conventional practice. Under straw mulching, high seeding rate had significantly higher ear number than medium and low seeding rates in the second season; additionally medium seeding rate had significantly higher grain number than high seeding rate in first season.

Water use and water use efficiency. ANOVA indicated significant seasonal effects on ET and WUE (Table 2). Straw mulching generally increased ET and reduced WUE with respect to conventional practice. The interaction between straw mulching and season affected ET, but not WUE. Evapotranspiration was higher in crops with high and medium seeding rates compared to their low seeding rate counterparts (Table 2).

Under conventional practice ET and WUE were statistically similar among seeding rates in all seasons (Table 3). Under straw mulching, however, in very dry season (2012–2013) the WUE was significantly lower at high seeding rate (5.28 kg ha⁻¹ mm⁻¹) than at medium (7.27 kg ha⁻¹ mm⁻¹) and low (6.79 kg ha⁻¹ mm⁻¹) seeding rates (Table 3).

Discussion
Owing to drier conditions and frost in 2012–2013 and frost and hail in 2014–2015, yield and WUE were lower in the first and third than in the second and fourth seasons. In addition, grain yield was higher in the fourth
season than in the second season despite higher soil water storage at sowing and higher in-crop precipitation in the second season. Higher grain yield was mainly connected to higher kernel weight, which was partially related to precipitation distribution (10 mm more post-anthesis) and 5 days longer duration of grain filling in the fourth season than in the second season. Additionally, soil water storage at anthesis was higher in the fourth season than in the second season, and the opposite was true at harvest, which meant post-anthesis water use was more in the fourth than in the second season. The higher water availability after anthesis contributing higher yield has been discussed previously.

Straw mulching reduced harvest index, grain yield, and WUE compared to conventional practice in three out of four seasons (wet and favourable condition), but straw mulching increased both harvest index and yield in the very dry season 2012–13. Amato et al. reported a similar interaction in a long-term experiment whereby wheat under no-tillage out-yielded its counterpart under conventional tillage under water stress but yield differences were reversed in wetter conditions. The global analysis of Pittelkow et al. showed that no-tillage in combination with residue retention in rainfed systems reduced crop yield in humid climates, but enhanced yield.

**Figure 4.** Dynamics of wheat shoots in a factorial experiment combining three seeding rates (high, HS; medium, MS; low, LS), two soil management practices (conventional tillage, straw mulching) and four seasons at Wangdong village of Shaanxi Province. Crop stages are S, Seedling; W, Winter; J, Jointing; A, Anthesis; M, Maturity. Different letters indicate significant differences between seeding rates ($P < 0.05$, $n = 4$).
Con, conventional tillage; SM, straw mulching. ‡HS, high seeding rate; MS, medium seeding rate; LS, low seeding rate. *The different lowercase letters represent significant difference between all treatments each season (P < 0.05) (n = 4).

Table 3. Yield, yield components, ET and WUE of wheat crops in a factorial experiment combining three seeding rates, two soil management practices and four seasons at Wangdong village of Shaanxi Province.  

| Season | Soil management† | Seeding rate‡ | Yield (kg ha⁻¹) | Biomass (kg ha⁻¹) | HI | Ears No. (10⁵ ha⁻¹) | No. of grains (grains m⁻²) | Kernel weight (mg) | ET (mm) | WUE (kg ha⁻¹ mm⁻¹) |
|--------|----------------|---------------|----------------|------------------|----|------------------|--------------------------|-------------------|--------|-------------------|
| 2012–13 Con | HS | 3113b | 7783a | 0.46b | 287a | 8481ab | 44.4a | 478bcd | 6.58a  
| | MS | 2962b | 7295a | 0.41b | 251a | 7715b | 44.4a | 462cd | 6.31ab  
| | LS | 3049b | 7149a | 0.43b | 259a | 7985b | 45.0a | 438d | 6.76a  
| | SM | 2851b | 7440a | 0.39b | 288a | 8332b | 45.9a | 543a | 5.28b  
| 2013–14 Con | HS | 6298ab | 16499ab | 0.38ab | 597a | 21294a | 35.1a | 543b | 11.67a  
| | MS | 6533a | 16895ab | 0.39ab | 596a | 20296abc | 35.8a | 567ab | 11.54a  
| | LS | 6342ab | 15968b | 0.40a | 600a | 21544ab | 34.3a | 555ab | 11.55a  
| | SM | 6157ab | 16720ab | 0.37bc | 581a | 19667bc | 36.4a | 602a | 9.27b  
| 2014–15 Con | HS | 4180a | 13284a | 0.33a | 512a | 15385a | 36.9b | 414ab | 10.83a  
| | MS | 4179a | 12878ab | 0.32a | 506a | 13907ab | 36.6a | 412ab | 10.23a  
| | LS | 4174a | 11842abc | 0.35a | 473a | 13878ab | 41.4a | 390b | 10.48a  
| | SM | 3023b | 10104c | 0.30a | 461a | 10260c | 40.0ab | 431a | 7.23b  
| 2015–16 Con | HS | 6936a | 13888a | 0.53b | 421a | 17090a | 50.9a | 454a | 16.24a  
| | MS | 6981a | 12467ab | 0.56a | 407a | 15898ab | 51.2a | 455a | 15.35ab  
| | LS | 6881a | 12237b | 0.56a | 380a | 15649ab | 51.6a | 455a | 15.17ab  
| | SM | 6496a | 12052ab | 0.51c | 364a | 14615bc | 50.8a | 459a | 13.62b  
| | MS | 6414a | 12769ab | 0.53b | 369a | 14635bc | 50.9a | 471a | 13.49b  
| | LS | 6506a | 12060b | 0.51c | 366a | 13764c | 51.9a | 454a | 14.62ab  

in dry climates. This study did not support our hypothesis that higher soil water availability early in the season under mulch may stimulate tillering and favour early growth at the expense of growth at critical reproductive stages, hence reducing harvest index and grain yield. Instead, we found that population size was generally similar under mulch and conventional tillage during the growing season. The reduction in harvest index, grain yield and WUE with mulching in those three seasons was primarily associated with fewer grains per area caused by lower ear numbers. Two factors may have contributed to fewer ears per unit area under mulch: cooler soil during tiller development in spring as found in our study (Fig. 3) and others under same practice or no-tillage, and pest damage as noticed in our experiment and reported by others. Thus, controlling pests should require more attention under straw mulching to capture the benefits of this practice, especially in wet seasons.

Seeding rate did not affect wheat yield and WUE when averaged across two soil managements over four seasons. Gao et al. found it was not necessary to increase seeding rate over the recommended level during three dry seasons under straw mulching in the same region. Our results highlighted that the higher depletion of water under high seeding rate especially during vegetative stage reduced harvest index compared with that under low seeding rate. Moreover, low seeding rate significantly reduced ET and tended to increase WUE. These results indicate the recommended seeding rate was still higher than needed. Thus, the low seeding rate tested in our study seems suitable for both conventional and straw mulching conditions. In addition, Sunderman has reported seeding rates at 75%, 100% and 125% of the recommended rate for the conventional practice produced similar wheat yield under no tillage, and the seeding rate of 75% of recommended level was suitable for various weather conditions. Our straw mulching treatment fully captured the effect of soil cover, and partially accounted for the reduced soil disturbance in farm-scale no-till systems. With this caveat, the present study showed that reducing seeding rate to 75% of the recommended rate would modulate soil water use and stabilise harvest index to improve the benefits of straw mulching under the prevalent conditions of the Loess Plateau.

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**Author Contributions**

S.Z. and X.Y. conceived and designed the experiments, C.H., C.Z. and M.D. conducted the field experiment and analysed the results. C.H. analyzed the data and wrote the manuscript. S.Z. and V.S. contributed to data analysis and writing the manuscript. All authors reviewed the manuscript.

**Additional Information**

**Competing Interests:** The authors declare no competing interests.

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