Ge–Al Multilayer Thin Film as an Anode for Li-ion Batteries

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ABSTRACT

We design Ge–Al multilayer assemblies as anode materials for Li-ion batteries, in which Ge and Al thin films are alternately deposited by a radio sputtering method. By sandwiching Ge layers between Al layer, the cyclability, rate capability, and capacity of Ge are improved significantly. The success of the Ge–Al multilayer is attributed to the Al films. To maintain the integrity of electrical contact, Al acts as an elastic layer, which can expand or shrink with the Ge film upon lithiation or delithiation. In addition, the presence of the Al film on the surface can prevent direct contact of Ge and electrolyte, thereby reducing the growth of a SEI layer. Importantly, with high electrical and ionic conductivities, the Al film provides efficient electrical and ionic routes for electrons and Li-ions to access the Ge film, promoting a high specific capacity and high rate capability for Ge.

Key words : Batteries, Thin films, Germanium, Aluminum, Cyclability

1. Introduction

High-energy-density Li-ion batteries (LIBs) are necessary for portable electronics, electric vehicles, and energy storage systems. In addition, the rising demand for wearable electronic devices has led to the development of thin and small batteries. LIBs have been widely used for many applications because they offer high energy density, negligible self-discharge, and long cycle life. Thus far, graphite has been widely used as an anode material for commercial LIBs because of its unique properties such as low cost and high stability. Unfortunately, its theoretical capacity is only 372 mAh g⁻¹, which is unsuitable for high-power and high-energy-density LIBs. In order to increase the capacity of LIBs, the replacement of graphite with a new advanced material having a higher specific capacity is necessary.

In this context, Li alloy-based anode materials such as Si, Ge, and Sn have received much attention because they have extremely high capacities and low potential for alloying/dealloying. Among these materials, Ge exhibits superior electrochemical performance over Si and Sn. Si has a higher specific capacity compared to that of Ge, but Ge remains more attractive than Si because the electrical conductivity of Ge is 10⁴ times higher than that of Si. In addition, at room temperature, the Li-ion diffusivity of bulk Ge is 400 times higher than that of Si. In comparison with Sn, Ge dominates over Sn in all aspects, including specific capacity, stability, and rate capability. Although Sn is metallic with a very high electrical conductivity, the very low Li-ion diffusivity in bulk Sn impedes its performance. Despite its merits, Ge undergoes considerable volume changes, which can cause fast cracking and pulverization of the electrode, leading to mechanical stress, structural damage, and resulting capacity loss after a few cycles. To solve this problem, most previous studies have focused on using buffer layers to mitigate internal stresses, using amorphous Ge to prevent anisotropic expansion, and reducing the particle size to minimize the volume expansion. For thin-film batteries, to minimize the stress caused by significant volume expansion, multilayer electrodes have been widely used, in which the active layer and buffer layer are alternated together.

Al is a very attractive buffer material because of its superior electrical conductivity, light weight, abundance, environmental friendliness, and recyclability. Therefore, it is expected that the addition of Al to Ge thin films can improve the electrical performance of the Ge films. This is attributed to the high electrical conductivity of Al, which may improve the electrical conductivity of the Ge film and thereby lower the electrode polarization. In addition, because Al has high Li ionic conductivity, the Al film may promote Li-ion diffusion, consequently facilitating the ionic conductivity of Ge film. Most importantly, the presence of the Al layer can act as an elastic layer to preserve the Ge film during alloying and maintain the integrity of the electrical contact between Ge and the current collector.

In this work, we propose a new anode concept in which the Ge film is sandwiched between Al layers by radio-frequency (RF) sputtering. The multilayer Ge–Al outperforms pure Ge in terms of cyclability and rate capability.
2. Experimental Procedure

2.1. Material preparation
The Ge–Al multilayer electrodes were deposited directly on a Cu foil (Iljin Copper Corporation) as a current collector by an RF sputtering method from Ge (Toshiba, Japan, 99.99%) and Al (Materion, USA, 99.99%) targets. Briefly, the Ge–Al multilayer was deposited sequentially. Firstly, the chamber was evacuated to $3.75 \times 10^{-6}$ Torr as a base pressure. High-purity Ar (99.999%) was introduced to the chamber before sputtering and the working pressure of $3 \times 10^{-3}$ Torr was maintained by controlling the Ar flow rate to 20.0 sccm. The sputtering power was maintained at ~80 W for both the Ge and Al targets, and the distance between the Cu substrate and the sputtering target was 10 cm. By controlling the sputtering time, we easily controlled the thicknesses of the Ge and Al films. In this work, the total thickness of the Ge–Al multilayer was fixed to ~300 nm, while the thickness of either Ge or Al was changed. Notably, the thickness ratio of Ge:Al was held constant at ~5:1 for all experiments. Details on the thickness and number of layers of Ge and Al are given in Table 1.

2.2. Physical characterization
The morphologies of the samples were investigated using a field-emission scanning electron microscope (FE-SEM, S-4700/EX-200, Hitachi, Japan). Cross-sectional images of the Ge–Al multilayers were observed with transmission electron microscopy (TEM, TECNAL-G2) using focused ion beam (FIB) milled samples. Energy-dispersive X-ray spectroscopy (EDS) was performed to analyze the composition of the Ge–Al electrodes. The Ge films and Ge–Al multilayers werestructurally characterized with a multipurpose X-ray diffractometer (XRD, XPert PRO) using Cu Kα radiation.

2.3. Electrochemical characterization
To investigate the electrochemical properties of the Ge–Al and pure Ge electrodes, the samples were punched into 14-mm-diameter disks. Using the punched disk electrodes, 2032-type coin cells were assembled in an Ar-filled glove box, with Li metal as a counter electrode and a glass fiber soaked with 1 M LiPF$_6$ in ethylene carbonate (EC) and dimethyl carbonate (DMC) (1:1 by volume) as the separator. The electrochemical properties of the Ge–Al and Ge electrodes were investigated using cyclic voltammetry (CV) in the potential range of 0.0 to 2 V vs. Li/Li$^+$ at a scan rate of 0.2 mV s$^{-1}$ with a potentiostat (Gamry-PC750). Further, the electrodes were galvanostatically charged and discharged between 0.01 and 1.5 V vs. Li/Li$^+$ using an automatic battery cycle (WonATech-WBCS3000). All the experiments were conducted at 25°C. Electrochemical impedance spectroscopy (EIS) measurements were conducted in the frequency range from 10 mHz to 100 KHz.

3. Results and Discussion
Figure 1 presents the XRD patterns of the Ge and Ge–Al samples. To eliminate the effect of the Cu substrate, XRD

| Table 1. Thickness and Number of Layers in Each Ge–Al Multilayer |
|---------------------------------------------------------------|
| Total number of layers | Ge | | | Al | | | | |
| | Thickness (nm) | Number of layers | Thickness (nm) | Number of layers | Total thickness (nm) |
| 4 (Ge–Al-4) | 125 | 2 | 25 | 2 | 300 |
| 10 (Ge–Al-10) | 50 | 5 | 10 | 5 | |
| 20 (Ge–Al-20) | 25 | 10 | 5 | 10 | |
| 30 (Ge–Al-30) | 16.67 | 15 | 3.33 | 15 | |

Fig. 1. X-ray diffraction (XRD) patterns of (a) pure Ge and (b) Ge–Al-20 deposited on glass.
was performed using Ge and Ge–Al samples deposited on glass substrates. The obtained Ge film exhibits a very broad diffraction peak with no detected peaks of Ge, indicating that the Ge film obtained by sputtering has an amorphous structure. Similarly, Ge–Al shows the similar pattern as that of the pure Ge film. The amorphous structure is preferable for anode materials for LIBs because it can avoid anisotropic volume expansion. Amorphous structures are usually more open than well-defined crystalline structures, which can assist fast lithiation/delithiation.

The morphologies of all the samples were investigated by SEM, with results shown in Fig. 2. The pure Ge film is deposited uniformly and covers the overall surface of the Cu substrate, so it can maintain intimate contact with the current collector during cycling and thereby facilitate the electrochemical performance of the battery. The SEM image of pure Ge reveals small coalesced Ge grains and a densely packed morphology. Similarly, the thin film of Ge–Al-20 shows excellent coverage on the Cu substrate with neither grain boundaries nor defects. The Ge–Al-20 multilayer thin film shows a rough surface, because the surface profile of the Cu foil is reflected in the morphology of the Ge–Al thin film.

To illustrate further the morphology of the Ge–Al multilayer, a selected Ge–Al-20 sample was further investigated by FIB milling and TEM. Fig. 3 shows the TEM image of

![Fig. 2. Surface morphologies of (a) pure Ge and (b) Ge–Al-20.](image)

![Fig. 3. (a-c) TEM images of Ge-Al-20 with various magnifications; (d) scanning transmission electron microscopy (STEM) image of Ge–Al-20, corresponding to Fig. 3(c); TEM elemental mapping of (e) Al and (f) Ge in Ge–Al-20.](image)
Ge–Al-20. The uniform Ge layer, characterized as a dark gray layer, is sandwiched between very thin Al layers, which are characterized by brittle layers. As observed in Fig. 3(a)–(c), both Ge and Al layers show uniformity over the entire sample. This result suggests the successful deposition of the Ge–Al multilayer by RF sputtering. The thickness of each Ge and Al layer was measured, as indicated in Fig. 3(c). Accordingly, the thicknesses of the Ge and Al layers are ~25 and ~5 nm, respectively, and the total thickness of Ge–Al-20 is estimated as ~300 nm. TEM elemental mapping was further performed to confirm the distribution of Ge and Al in Ge–Al-20. Because of the thinness of the Al layer, the distribution of Al does not show a perfect line, as in the TEM image. By contrast, the elemental mapping of Ge shows a clearly distinguished layer, indicated in Fig. 3(f).

To investigate the lithiation and delithiation mechanisms in the Ge and Ge–Al electrodes, CVs for the pure Ge and Ge–Al electrodes were measured at the potential range of ~0 - 1.5 V vs. Li/Li$^+$. The results are shown in Fig. 4. For the Ge electrode, from OCP to 1.2 V vs. Li/Li$^+$, the current density is very small, suggesting that no electrochemical reaction occurs in this potential range. A minor peak appears at 1.1 V vs. Li/Li$^+$; the presence of this peak can be attributed to the reaction of the electrolyte with Ge. Notably, the intensity of this peak is very low, indicating that the Li consumption for this reaction was small; this could induce good coulombic efficiency in the first cycle. Then, the current begins to increase at ~0.8 V vs. Li/Li$^+$, and a first sharp cathodic current peak is observed at 0.59 V vs. Li/Li$^+$ (Fig. 4(a)). The presence of this catheric current peak is associated with the decomposition of GeO$_2$ on the surface of the electrode and the formation of a solid electrolyte interphase (SEI) layer. However, from the second cycle onward, this peak disappears. This is because of the formation of a stable SEI layer and the GeO$_2$ layer mostly decomposes in the first cycle. Moreover, three additional cathodic current peaks corresponding to lithiation are observed at 0.46, 0.37, and 0.12 V vs. Li/Li$^+$, respectively, in the first cathodic curve for the Ge electrode. Subsequently, in the reverse scan corresponding to the delithiation process, three anodic current peaks appear during the first anodic scan at 0.38, 0.49, and 0.70 V vs. Li/Li$^+$. These peaks are assigned to the delithiation of Li from the Li$_x$Ge alloy. It can be seen that all the anodic and cathodic curves are perfectly superimposed for all cycles, suggesting the good reversibility of the pure Ge film. Fig. 4(b) shows that the CV of the Ge–Al-20 electrode exhibits similar electrochemical behaviors to that for the pure Ge film electrode. However, the minor peak at 1.1 V vs. Li/Li$^+$, related to the reaction between Ge and electrolyte in the first cycle, is absent. Notably, for the Ge–Al-20 sample, the top layer is Al. The absence of this peak can be attributed to the low reactivity of Al with the electrolyte at this potential. This implies that Al is less active than Ge.

To examine the cyclability of pure Ge and the Ge–Al multilayer, working electrodes of Ge and Ge–Al were assembled into coin-type half-cells using Li metal as a counter/reference electrode. The cells were charged and discharged at the rate of 1C for 200 cycles, and the specific capacities of the thin-film electrodes were calculated based on the total masses of the Ge or Ge–Al electrodes. Fig. 5 shows the reversible capacity of pure Ge and Ge–Al multilayers as a function of cycle number. As observed in Fig. 5, all Ge–Al multilayer samples exhibit higher specific capacity and better cyclability than the pure Ge electrode. Among the Ge–Al multilayers, Ge–Al-20 shows the highest capacity of 1352 mAh g$^{-1}$; this high capacity is maintained stably over 200 cycles. Accordingly, the capacity of Ge–Al-20 after 200 cycles is recorded as ~1382 mAh g$^{-1}$, corresponding to almost 100% capacity retention. The superior electrochemical performance of the Ge–Al multilayers is attributed to the Al layers, which act as elastic layers that absorb the internal stresses induced during cycling and facilitate the Li ion diffusion in the bulk Ge–Al multilayer electrode. The flexibility of Al and the high Li diffusivity of the Ge sand-

![Fig. 4. Cyclic voltammograms (CVs) of the (a) Ge and (b) Ge–Al-20 electrodes, respectively, measured under the scan rate of 0.05 mV s$^{-1}$.](image-url)
wiched by Al permit the maintenance of intimate contact with Ge while regulating the Li supplied for electrochemical reaction. Therefore, better electrochemical performance is achieved for the Ge–Al multilayers. On the contrary, the pure Ge film electrode shows poorer electrochemical performance. For instance, in the first cycle the pure Ge has a capacity of 1163 mAh g\(^{-1}\), which gradually increases through an activation process after some cycling. The specific capacity of pure Ge stabilizes after five cycles and is maintained at a high value for ~ 90 cycles. Then the capacity of pure Ge gradually declines. After 200 cycles, the capacity of the pure Ge electrode is only 920 mAh g\(^{-1}\), corresponding to 79% capacity retention. The rapid capacity fading of pure Ge occurs because of the unstable SEI layer on the surface of Ge.

We determined that Ge–Al-20 was the optimal sample among the Ge–Al multilayer electrodes; hence, it is used for further analysis along with pure Ge electrodes. The formation of SEI layers is attributed to the decomposition of the electrolyte on the electrode surface at low potentials. Because of the huge volume expansion accompanying repeated cycling, the Ge electrode is pulverized and a new SEI forms on the nascent Ge surface. This process is repeated until Ge is pulverized to its minimum particle size, causing capacity fading. Fortunately, for the Ge–Al multilayers, the covering of Ge with an Al layer inhibits the formation of the SEI layer. Consequently, the cyclability of Ge–Al is superior over that of pure Ge. The formation of the SEI layer also affects the coulombic efficiency of the pure Ge electrode, as seen in Fig. 5(b). In the first cycle, the coulombic efficiency of pure Ge is ~ 87.8%, the lowest among those of the investigated samples. In the next cycles, the coulombic efficiency is rapidly improved, reaching the highest value of 99.2% in the 26\(^{th}\) cycle. However, afterward, the coulombic efficiency of the pure Ge rapidly decays. This can be attributed to the failure of the SEI layer, causing the consumption of more Li in side reactions. Similar behavior is observed for the Ge–Al-4 sample. The thicker layer of Ge induces dramatic volume expansion during lithiation, causing the breakdown of the Al protection layer. The attempt to minimize the thickness of Ge and Al in order to improve the performance of the Ge–Al multilayer fails. For Ge–Al-30, the coulombic efficiency in the first cycles is ~ 88.8%. This value is smaller compared to the 90.2% efficiency of Ge–Al-20. The average coulombic efficiencies of Ge–Al-20 and Ge–Al-30 over 200 cycles are 99.08 and 98.81%, respectively. As the Al film becomes thinner, defects can appear. Therefore, Al cannot protect Ge well, permitting the electrolyte to attach easily to Ge and form an unstable SEI layer. This is why Ge–Al-30 shows poorer electrochemical performance compared to Ge–
Figure 5(c), d shows the potential profiles of pure Ge and Ge–Al-20 multilayers. For the pure Ge cell, when the current is applied, the electrode potential suddenly drops to 0.8 V vs. Li/Li⁺. In the next step, two main plateaus are located at 0.37 and 0.12 V vs. Li/Li⁺, ascribed to the lithiation process of the Ge film. In the first cycle, we recorded the discharge capacity of 1324 mAh g⁻¹ and the charge capacity of 1163 mAh g⁻¹ for the pure Ge film. This means that ~161 mAh g⁻¹ is consumed in the irreversible capacity of the first cycle. Unlike highly crystalline Ge, the obtained amorphous Ge shows higher potential for lithiation in the first cycle. This phenomenon originates from the amorphous nature of the material and the open structure enabling easy lithiation. Notably, the discharge and charge curves from the first and 100th cycles are perfectly overlapped. However, because of capacity fading from the 100th cycle onward, the discharge and charge curves of the 200th cycle are quite different from the others. Meanwhile, for Ge–Al-20, the discharge and charge curves for the first and 200th cycles are quite similar. This suggests the superior stability of Ge–Al-20. In the first cycle, the discharge and charge capacities of Ge–Al-20 are 1499 and 1353 mAh g⁻¹, respectively. After 200 complete cycles, the Ge–Al-20 electrode maintains a capacity of 1381 mAh g⁻¹.

To investigate the morphology change upon cycling, the coin cells containing Ge and Ge–Al-20 electrodes after 100 and 200 cycles were dis-assembled, the Ge and Ge–Al-20 electrodes were collected, and the electrodes were examined by SEM. Fig. 6 shows the morphologies of Ge and Ge–Al-20 after 100 and 200 cycles. The microstructural changes of pure Ge are shown in the SEM images (Fig. 6(a), (b)). Many cracks are observed on the surface of pure Ge after 100 cycles, resulting from the volume expansion associated with the continuous growth of the SEI layer during cycling. The electrode is severely damaged after 200 cycles, with cracks becoming larger, exposure of the copper substrate, and peel-off of the active material from the current collector. This causes the dramatic capacity fading. Fig. 6(d) shows the surface morphology of Ge–Al-20 after 100 and 200 cycles, respectively. For the Ge–Al-20 electrode, a compact and flat surface is maintained after 100 cycles, compared to the pure Ge electrode. The SEM image of Ge–Al-20 after 200 cycles (Fig. 6(e)) shows some cracks on the surface. Compared to pure Ge (Fig. 6(b)), these cracks can be attributed to the expansion of Ge during cycling, which is how, even after 200 cycles, the specific capacity of Ge–Al-20 is almost unchanged. By adding the Al buffer layers, even after changes in the morphology of Ge–Al, the electrical contact of the active material is maintained, thereby preserving the electrochemical performance. In the multilayer electrode, the fading capacity of Ge caused by pulverization, agglomeration, and loss of contact during the charging and discharging processes is completely addressed. In addition, the surface of

Fig. 6. Surface morphologies of the Ge electrode after (a) 100 and (b) 200 cycles, respectively; surface morphologies of the Ge–Al-20 electrode after (c) 100 and (b) 200 cycles, respectively.
A Ge–Al multilayer film anode was successfully fabricated by an RF sputtering system. Among the Ge–Al multilayer samples, the Ge–Al20 electrode showed the best electrochemical performance compared to the other multilayer samples and the pure Ge film. At the rate of 1 C, the Ge–Al20 electrode showed better capacity retention; after 200 cycles, the specific capacity of Ge–Al20 was 1382 mAh g\(^{-1}\), corresponding to approximately 100% of the initial capacity. At the high rate of 10 C, the Ge–Al20 showed a capacity of 1197 mAh g\(^{-1}\). The superiority of the Ge–Al20 electrode over the pure Ge electrode is attributed to the Al buffer layer in the multilayer structure. The Al layers act as elastic buffer layers to mitigate the stress inside the material and improve the conductivity of the active material, thereby achieving better cyclability and rate capability. In addition, because of the stability of the Al layer in contact with the electrolyte, SEI formation during cycling was inhibited, which preserved the integrity of the Ge film. Finally, the improved cyclability, rate capability, and capacity of the Ge–Al20 electrode demonstrated that the multilayer approach is effective in improving the electrochemical performance of Ge films.

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