Disorder Dynamics in Battery Nanoparticles During Phase Transitions Revealed by Operando Single-Particle Diffraction

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1. Introduction

Sodium-ion batteries are emerging as a promising grid storage technology due to their low cost of energy. Of particular interest are P2-type layered sodium transition metal oxide cathodes, Na$_x$TMO$_2$ (TM = transition metal) because of their high-energy density and fast sodium-ion diffusion during cycling. Despite their excellent kinetics, P2-type cathodes experience multiple types of phase transitions that hinder electrochemical performance. Phase transitions due to sodium-ion ordering have been linked to slower diffusion of sodium ions. The notorious P2-O2 structural phase transition, in which the sodium-ion sites change from prismatic to octahedral coordination combines two mechanisms that cause significant material degradation leading to poor cycle life. The sliding of transition metal layers during the P2-O2 phase transition leads to layer exfoliation after many cycles, while the significant volume change (>20%) contributes to further structural degradation during cycling. Full understanding of the mechanisms behind P2-type Na$_x$TMO$_2$ phase behavior remains elusive and is critical to designing durable sodium-ion batteries.

In practical and functional batteries, nanoparticulates of active cathode material are surrounded by other cell components, necessitating the development of characterization techniques that can interrogate multicomponent systems. X-rays have the penetrating power that allows for operando characterization in a fully functioning cell, and X-ray powder diffraction has been used extensively to study positive electrode materials in situ. For example, in situ powder diffraction of P2-type Na$_x$TMO$_2$ has shown the formation of stacking faults during the P2-O2 phase transition. Ex situ X-ray powder diffraction revealed superstructure peaks corresponding to discrete Na$^+$ to Na$^+$ distances in sodium-ion ordering phases. Despite its

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success, conventional X-ray diffraction lacks access to single particles because the signal is an average of many randomly oriented particles in the cathode typically slurry cast onto metal foils. Electron microscopy techniques can characterize single-cathode nanoparticles with atomic resolution: transmission electron microscopy showed exfoliation of P2-type Na\textsubscript{x}TMO\textsubscript{2} particles due to the P2-O2 phase transition.\textsuperscript{[10]} Nevertheless, the microscopy experiments often must be done ex situ or with a specifically designed electrochemical cell that could fail to accurately mimic functional cell conditions. While P2-type Na\textsubscript{x}TMO\textsubscript{2} are well-studied, operando measurements with single-particle resolution remain elusive.

High-flux synchrotron radiation and X-ray focusing allow studying single particles and grains in a variety of crystalline materials.\textsuperscript{[19–24]} While some of these techniques, for example, Bragg coherent diffractive imaging (BCDI), can image single dislocations in a nanoparticle, they are only able to characterize a statistically small sample size in a reasonable amount of time.\textsuperscript{[22–25]} Here, we use operando single-particle X-ray diffraction (oSP-XRD) to characterize structural dynamics of a P2-type Na\textsubscript{x}TMO\textsubscript{2} cathode during charge. By optimizing the volume exposed to X-rays, we measure diffraction peaks from \(\approx 100\) nanoparticles while maintaining single-particle resolution. The method combines the larger sample size of powder XRD with the single-particle resolution of BCDI. It allows for the operando quantification of changes in layer spacing and lattice misorientation within individual nanoparticles during the charging process in a fully operational coin cell. We study Na\textsubscript{2/3}[Ni\textsubscript{1/3}Mn\textsubscript{2/3}]O\textsubscript{2} (NNMO) as an archetypal P2-type cathode material with a high operating voltage (\(\approx 3.8\) V) and specific capacity (\(\approx 173\) mAh g\textsuperscript{-1}).\textsuperscript{[8]} NNMO exhibits both the P2-O2 phase transition and sodium-ion ordering phase transitions.\textsuperscript{[7,8,26]} Capturing dynamic interactions of these phenomena will lead to better understanding of degradation at the single-particle level and accelerate the rational design of high-voltage layered materials for sodium batteries with improved electrochemical performances.

2. Results

Figure 1a shows the schematic of the experimental setup for the oSP-XRD. The operando coin cell contains the cathode with NNMO nanoparticles, polyvinylidene fluoride (PVDF), and acetylene black on aluminum foil, a glass fiber separator, and a sodium-metal anode. The operando system was a modified 2032 coin-cell with a 3 mm and a 5 mm hole upstream and downstream of the cathode to allow X-ray transmission. Both holes were sealed with polyimide tape and epoxy, which did not mitigate electrochemical performance as evidenced by the expected response of the average lattice constant of the cathode material during desodiation. The coin-cell setup is identical to systems previously used for electrochemical testing.\textsuperscript{[27,28]} The average size of the cathode particles is \(\approx 500\) nm. An X-ray beam with an energy of 17.1 keV and a 2D PILATUS detector were used to collect both 002 and 004 single-nanoparticle Bragg peaks while the battery was charged at a current rate of C/10 (full charge or discharge in 10 h). An X-ray slit size of 200 \(\mu\)m by 60 \(\mu\)m was found to maximize the number of resolvable single-particle diffraction peaks. The operando coin cell sample was rocked along the scattering angle \(\theta\) within the scattering plane (Figure 1a), and diffraction patterns were collected every 0.01°. Figure 1b shows a representative sample of 002 peaks collected during charge as a function of the rocking angle \(\theta\) as well as \(\chi\) and 2\(\theta\) spanned by the 2D detector. Each peak corresponds to diffraction from a single-cathode nanoparticle inside the operando coin cell.

![Figure 1](image-url)
Our experiment allows us to track operando the peak position and width in all three angular directions—$2\theta$, $\theta$, and $\chi$ (see Figure 1b,c). Following Bragg’s Law, the peak movement in $2\theta$ is due to the changing layer spacings (c-lattice parameter) in NNMO during sodium extraction. Most peaks moved to lower $2\theta$ angles consistent with increasing c-lattice parameter associated with sodium extraction.$^{[7,11]}$ In conventional powder X-ray diffraction, only the $2\theta$ peak motion and broadening is accessible due to averaging in the $\theta$ and $\chi$ directions. In oSP-XRD, we track the position and width in $\theta$ and $\chi$, enabling access to angular orientation and distortion of the measured particles similar to single-crystal diffraction.$^{[29]}$ Particle rotation induces peak movement in $\theta$ and $\chi$, as illustrated in Figure 1c. All peaks moved in $\theta$ and $\chi$ directions indicating nanoparticle rotation by $\pm 1^\circ$ during the 10 h charge, which was likely driven by charge transport of the particles as similarly seen in lithium-ion batteries.$^{[28]}$

While observing peak position in $\theta$ and $\chi$ can inform us about particle rotation, analyzing the peak width and shape reveals disorder within single nanoparticles (see Figure 1d)$^{[28]}$. Following Williamson–Hall analysis, peak width broadening in $\theta$ and $\chi$ is due to size effects and misorientation.$^{[29]}$ and quantitative analysis for the broadening requires measuring multiple Bragg peaks from the same crystal. To measure both 002 and 004 peaks from the same set of particles, we chose rocking angle ranges of $\pm 1^\circ$ to $1^\circ$ for 002 and $2.75^\circ$ to $4.75^\circ$ for 004. As an example, Figure 1e,f show the correlated peak movement in all three ($2\theta$, $\theta$, and $\chi$) directions which confirms that both peaks are diffracted from the same particle.

**Figure 2a** shows $\theta$-$\chi$ cross sections of a selected single-particle’s 002 peak at different compositions of Na$_x$[Ni$_{1/3}$Mn$_{2/3}$]O$_2$ ($0 \leq x \leq 2/3$) during charge. An overall trend of peak broadening and splitting is visible as a bright single-pristine peak at $x = 0.67$ evolved into multiple dimmer peaks during charge. However, this trend was interrupted by two instances of peak narrowing at $x = 0.51$ and $x = 0.36$ (Figure 2a) as indicated by the emergence of one dominant peak in those frames. The appearance of single-dominant peaks suggests realignment of angularly misaligned domains at specific instances during charge. Nearly identical peak width behavior was also observed in the 004 peaks of the same particle in Figure S1b (Supporting Information). Peak splitting along $\theta$ and $\chi$ occurred without noticeable splitting in the $2\theta$ direction (Figure S2, Supporting Information). However, high-resolution single-particle NNMO 002 diffraction peaks taken at the Advanced Photon Source show peak splitting along and perpendicular to the $2\theta$ direction around $x = 0.32$ (right inset of Figure 2b). The peak splitting in $2\theta$ corresponds to a 0.01 Å decrease in layer spacing which matches well with the layer spacing change associated with the peak shift shown in Figure 3b and will be discussed later. Peak intensity is also shown to shift from one peak to another between $x = 0.36$ and $x = 0.32$ (Figure S3 Supporting Information).

To utilize our large sample size of single-particle peaks, we calculated the average rocking curve ($\theta$) peak width with autocorrelation. We first correlated slices of diffraction data in $\theta$ and $\chi$, and used the half-width at half maximum of the
resulting peak as the average $\theta$ peak width (see Methods). The average widths of both the 002 and 004 peaks are shown in Figure 2b as a function of sodium concentration. Figure S4 (Supporting Information) shows the 002 autocorrelation $\theta$ peak width of another operando NNMO cell which showed similar peak width behavior. Since the average peak width in $\theta$ changed for both 002 and 004 peaks identically, we attribute the peak width broadening to crystal misorientation ($\alpha$).\(^{29,30}\) If the broadening was due to the limited crystalline size, the 004 peak would be narrower than the 002 peak in $\theta$. We determined the degree of layer misalignment within individual crystalline grains by using the Williamson–Hall fits (see Experimental Section). The slope of the fit is the misorientation ($\alpha$) and its behavior (see left inset in Figure 2b) agreed well with the autocorrelation $\theta$ peak width behavior. In the beginning of the charge, the misorientation increased linearly from $x = 0.67$ to $x = 0.42$ as shown in red (Figure 2b). Beginning at $x = 0.42$, misorientation reduced from $x = 0.42$ to $x = 0.37$ which indicates layer alignment as shown in blue (Figure 2b). This alignment is followed by a faster misorientation increase until $x = 0.32$ as shown in green (Figure 2b). After $x = 0.32$, P2-NNMO is expected to undergo a transition to the sodium-free O2 phase in which the layer spacing collapses. This region is colored in yellow (Figure 2b) and misorientation gradually decreases.

To correlate layer misorientation to changes in layer spacing, the oSP-XRD data were averaged over $\theta$ and $\gamma$ directions. This averaged data are equivalent to powder diffraction and are shown alongside the electrochemical voltage profile in Figure 3a. An enlarged voltage profile is shown in Figure S5 (Supporting Information). Both the powder diffraction and electrochemical data agreed well with previous studies in NNMO.\(^{7,8,11,26,33}\) Lingering signal of the pristine phase persisted at all sodium concentrations indicating a small amount of electrochemically lagging nanoparticles. At $x = 0.36$, P2 002 and 004 peaks reached a minimum in $2\theta$ as shown in Figure 3b (Figure S6, Supporting Information). Both 002 and 004 peaks then shift slightly to a higher $2\theta$, which indicates a reduction in c-lattice parameter to 11.24 Å. Figure S7 (Supporting Information) shows the differential capacity versus voltage ($dQ/dV$) curve of the voltage profile shown in Figure 3a. Peaks in the $dQ/dV$ curve for intercalation materials generally indicate order–disorder transitions or structural phase transitions\(^{31}\) while valleys indicate solid solution regions. We observed valleys at 3.5 V and 4.0 V, which have been previously reported to correspond to the $x = 0.50$ to $x = 0.33$ sodium ordering phases along with the P2-O2 phase transition peak at 4.22 V.\(^{7}\) We also observed two peaks close to one another at 3.65 and 3.7 V, which have been previously observed but not discussed.\(^{7,11}\)

### 3. Discussion

Based on the observed misorientation and layer spacing behavior, we propose a model of the NNMO phase evolution mechanism (see Figure 4). The model serves to provide a plausible explanation for the evolution of misorientation in NNMO during charge and starts with the pristine P2-phase ($x = 0.67$) with well-aligned layers (Figure 4a). Figure 4b illustrates the expansion of the c-lattice constant and the slow misorientation increase in the form of crystal mosaicity, revealed by the peak width broadening in both 002 and 004 peaks (see Figure 3) along $\theta$, perpendicular to the scattering vector. The electrochemical profile and the $dQ/dV$ curve (Figure 3a; Figure S7, Supporting Information) reveal that the desodiation from Na$_{2/3}$Ni$_{1/3}$Mn$_{2/3}$O$_2$ occurs through a series of biphasic processes. The formation of Na$^+$-rich and Na$^+$-poor phases since the beginning of charge leads to an inhomogeneous distribution of the c parameter and thus the misorientation. It has been shown previously that, in NNMO, nickel is the redox-active transition metal center and donates electrons during charge.\(^{7}\) As Na$^+$-ions are extracted from the cathode, nickel is oxidized from Ni$^{2+}$ to Ni$^{3+}$ in the pristine phase to Ni$^{3+}$ when charging from $x = 0.67$ to 0.33.\(^{7}\) The electronic configuration transition from d$^8$ (Ni$^{2+}$) to d$^7$ (Ni$^{3+}$) triggers the Jahn–Teller effect in Ni$^{3+}$ octahedra and distorts TM-O layers.\(^{12,34}\) Since Jahn–Teller inactive Mn$^{4+}$ and Ni$^{2+}$ separate Jahn–Teller active Ni$^{3+}$ octahedra, it is plausible that distortions at lower concentrations, the slow misorientation region (Figure 2b), are localized and lead to random misorientation within transition metal oxide layers.

For the alignment region (Figure 2b in blue), we propose two possible explanations for this behavior. One possible mechanism is a disordered to ordered Jahn–Teller transition since at a higher concentration of Jahn–Teller active Ni$^{3+}$ octahedra,
domains of correlated Jahn–Teller distortions are preferred as predicted in NaNiO$_2$[33] and experimentally observed in Na$_{5/8}$MnO$_2$.[34] We therefore posit that the collinear Jahn–Teller ordering which occurs through the long-range spin interaction along the M–O–Na chain leads to a realignment of TM–O layers and a reorientation of the domains when moving from $x = 0.42$ to $x = 0.37$ (see Figure 4c). One possible ordered domain (see Figure S8, Supporting Information) formed by tiling sextuple junctions[33] exists with a corresponding 3:1 ratio of Ni$^{3+}$ to Ni$^{2+}$ at around $x = 0.42$, where we observe the beginning of misorientation decrease. Another possible explanation for the observed layer alignment is a low-spin to high-spin electron configuration transition. While low-spin Ni$^{3+}$ is Jahn–Teller active due to $e_g$ orbital splitting, degeneracy in the $e_g$ orbitals of high-spin Ni$^{3+}$ eliminates Jahn–Teller distortions. Therefore, a low-spin to high-spin transition in Ni$^{3+}$ and the corresponding decrease in the Jahn–Teller distortion would result in the observed decrease in misorientation.

The subsequent increase in misorientation of the layers $x = 0.37$ to 0.32 (Figure 2b in green) compared to the slow misorientation region (Figure 2b in red) indicates a different misorientation mechanism than proposed for the beginning of charge. The region of faster misorientation also coincides with a decrease in lattice constant (Figure 3b) and the distinct peak splitting in the high-resolution diffraction (right inset of Figure 2b). It has been reported that anionic redox is activated in this voltage region and the loss of oxygen from the TM–O layers together with the Na$^+$ removal induce the phase transition from P-type to O-type structure.[15] The formation of structurally similar P3-O3 phase boundaries has been predicted to induce low-angle grain boundaries and dislocations.[36] While dislocations with Burgers vector parallel to the layers are not visible with our experimental geometry, their introduction would explain the rapid increase in misorientation until $x = 0.32$ (see Figure 2b). The observation of concurrent layer spacing decrease (Figure 3b), misorientation increase (Figure 2b), and peak splitting (right inset of Figure 2b) suggests that the O2 phase begins to form around $x = 0.37$ due to layer sliding (Figure 4c), reinforcing the hypothesis that O2-type stacking faults form in the P2 structure before the onset of the two-phase region at $x < 0.33$.[11,37] The formed domains of O2 phase maintain a similar concentration of sodium and layer spacing as the P2 phase. The transfer of intensity from one peak to another during this transition (see Figure S3, Supporting Information) is further evidence of P2-O2 phase coexistence within single nanoparticles. Subsequent sodium extraction at $x < 0.32$ leads to sodium-free O2 and an associated collapse of the c-lattice constant (see Figure 4d). We associate the P2-O2 sliding transition to the 3.7V peak and the layer collapse to the 4.22V peak in the differential capacity versus voltage ($dQ/dV$) curve (Figure S7, Supporting Information).

4. Conclusion

In this work, we demonstrate the merits of oSP-XRD as a technique that can characterize a large sample size like powder XRD with single-particle resolution like that of single-particle diffractive nanoimaging (BCDI). Through oSP-XRD of NNMO,
we reveal the complex evolution of misorientation within individual NNMO nanoparticles during charge. Based on our X-ray data, we propose a mechanistic model for the observed misorientation and layer spacing in NNMO. Misorientation behavior suggests the emergence of Na“-rich and Na“-poor compositions since the beginning of charge, which is reinforced by the localized and correlated Jahn-Teller distortions with the latter facilitating the P2-O2 phase transition. Localized Jahn-Teller distortions induce random layer misorientation whereas correlated Jahn-Teller distortions align layers. Layer alignment due to the emergence of a correlated Jahn-Teller effect, or a spin transition, could therefore facilitate the P2-O2 phase transition since the beginning of charge, which is reinforced by the additives at eliminating the P2-O2 transition. Furthermore, the formation of intermediate phases in biphasic reactions also induces misorientations and possible structural degradation in the particles. While we design our model from observing the dynamic disorder, further characterization is needed to fully reveal the mechanism behind the misorientation observed. Techniques like resonant elastic X-ray scattering and scanning transmission electron microscopy have been previously used to observe Jahn-Teller induced misorientations and could be applied to systems displaying P2-O2 phase transitions. Based on our proposed model, limiting long range correlated Jahn-Teller distortions could be an effective strategy to delay or eliminate the detrimental P2-O2 phase transition. Strategies such as the introduction of dopants or different ratios of transition metals, which serve to break long range order, could dramatically improve the performance of sodium transition metal layered cathodes. Finally, the demonstrated extraordinary abilities of oSP-XRD open new avenues to study operando systems on the single-particle scale by probing structural orientation, phases, and disorder of a statistically meaningful number of single particles.

5. Experimental Section

Synthesis and Cell Preparation: NNMO was synthesized by titrating transition metal nitrates Ni(NO3)2·6H2O and Mn(NO3)2·4H2O into a solution of stoichiometric NaOH at 10 mL h⁻¹. Co-precipitated M(OH)2 was filtered with a centrifuge and washed three times with DI water. The dried precursors were mixed and ground with stoichiometric Na2CO3 and calcined at 500 °C for 5 h and at 900 °C for 14 h in air. The cathodes were assembled by mixing NNMO particles with 10 wt% acetylene black and 10 wt% PVDF and slurry cast onto aluminum foil. NaPF6 (1 m) in propylene carbonate was the electrolyte. Glass fiber (GF/D Whatman) was used as a separator. Thin rolled sodium metal was used as the anode. The holes were sealed with polyimide tape and epoxy. The sample holder and the experiment was conducted at the A2 beamline at the Cornell High Energy Synchrotron Source (Cornell University, Ithaca, NY). The sample-to-detector distance of 1.36 m was used in the experiments. Timepix (34ID) 2D detector with a pixel size of 55 µm × 55 µm was used. Rocking scans around a 002 Bragg peak, –1° wide with 50–100 points and 0.5–2 s exposition, were collected.

Analysis: χ and 2θ angles for collected diffraction rings were calculated by fitting along circular arcs based on the diffraction and detector geometry. The centers for the circular arcs were constant for each scan that indicated consistency in the diffraction experiment and accuracy of the fitting. Diffraction patterns were averaged over the entire θ range of –1° to 1° or 2.75° to 4.75° to form the powder diffraction patterns shown in Figure 3. Slices in χ and 2θ with the 2θ range around the brightest point around both 002 and 004 peaks in 2θ were chosen for 2D autocorrelation. Autocorrelation was conducted for all time points corresponding to the same set of particles using the following equation:

$$C(k, l) = \sum_{m=0}^{M-1N-1} \sum_{n=0}^{n} \sum_{l=0}^{l} X(m, n) X(m + k, n + l)$$

where X is the χ by 2θ slice and C is the resulting autocorrelation matrix with dimensions – (M – 1) ≤ k ≤ M – 1 and – (N – 1) ≤ l ≤ N – 1. The half-width half maximum of the central 2D autocorrelation peak in the χ direction was used as the average θ peak width (β). Standard error as denoted by error bars in Figure 2b was calculated using the following equation: SE = \sigma / \sqrt{n}, where σ is the standard deviation of the five calculated β at each point, and n is the size of the sample (which is 5 in this case). Misorientation (α) was determined using Williamson–Hull fits by calculating the slope of the least squares regression of β peaks widths (β) of both 002 and 004 when plotted as β(q) versus q, where q = 4π \sin(2θ/2) (example fits are shown in Figure S9, Supporting Information). The fits matched well when the estimated (000) peak was fit to the origin for all points. This indicates consistent coherence length throughout the experiment.

Supporting Information

Supporting Information is available from the Wiley Online Library or from the author.

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Conflict of Interest

The authors declare no conflict of interest.
Data Availability Statement
The data that support the findings of this study are available from the corresponding author upon reasonable request.

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