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Bougourzi, A. H., Karbach, M., & Müller, G. (1998). Exact two-spinon dynamic structure factor of the one-dimensional s=1/2 Heisenberg-Ising antiferromagnet. Physical Review B, 57(18), 11429-11438. doi: 10.1103/PhysRevB.57.11429
Available at: http://dx.doi.org/10.1103/PhysRevB.57.11429

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Exact two-spinon dynamic structure factor of the one-dimensional $s = \frac{1}{2}$ Heisenberg-Ising antiferromagnet

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(Received 9 December 1997)

The exact two-spinon part of the dynamic spin structure factor $S_{ss}(Q, \omega)$ for the one-dimensional $s = 1/2$, XXZ model at $T = 0$ in the antiferromagnetically ordered phase is calculated using recent advances in the algebraic analysis based on (infinite-dimensional) quantum group symmetries of this model and the related vertex models. The two-spinon excitations form a two-parameter continuum consisting of two partly overlapping sheets in $(Q, \omega)$ space. The spectral threshold has a smooth maximum at the Brillouin zone boundary ($Q = \pi/2$) and a smooth minimum with a gap at the zone center ($Q = 0$). The two-spinon density of states has square-root divergences at the lower and upper continuum boundaries. For the two-spinon transition rates, the two regimes $0 \leq Q < Q_\text{s}$ (near the zone center) and $Q_\text{s} < Q \leq \pi/2$ (near the zone boundary) must be distinguished, where $Q_\text{s} \to 0$ in the Heisenberg limit and $Q_\text{s} \to \pi/2$ in the Ising limit. In the regime $Q_\text{s} < Q \leq \pi/2$, the two-spinon transition rates relevant for $S_{ss}(Q, \omega)$ are finite at the lower boundary of each sheet, decrease monotonically with increasing $\omega$, and approach zero linearly at the upper boundary. The resulting two-spinon part of $S_{ss}(Q, \omega)$ is then square-root divergent at the spectral threshold and vanishes in a square-root cusp at the upper boundary. In the regime $0 < Q < \pi/2$, in contrast, the two-spinon transition rates have a smooth maximum inside the continuum and vanish linearly at either boundary. In the associated two-spinon line shapes of $S_{ss}(Q, \omega)$, the linear cusps at the continuum boundaries are replaced by square-root cusps. Existing perturbation studies have been unable to capture the physics of the regime $Q_\text{s} < Q \leq \pi/2$. However, their line-shape predictions for the regime $0 \leq Q < Q_\text{s}$ are in good agreement with the exact results if the anisotropy is very strong. For weak anisotropies, the exact line shapes are more asymmetric. [S0163-1829(98)04717-1]

I. INTRODUCTION

Among all the spin-chain models that are directly relevant for the description of real quasi-one-dimensional magnetic insulators, the $s = 1/2$ XXZ model,

$$H = -\frac{J}{4} \sum_{n=-\infty}^{\infty} \left( \sigma_n^x \sigma_{n+1}^x + \sigma_n^y \sigma_{n+1}^y + \Delta \sigma_n^z \sigma_{n+1}^z \right)$$

(1.1)

is the one whose physical properties have been studied most comprehensively. Today there exist more exact results for this model than for any other model of comparable importance.

The early demonstration\textsuperscript{1-3} that the XXZ model is amenable to the Bethe ansatz led to a steady stream of advances in our understanding of many of its ground-state properties,\textsuperscript{4,5} its thermodynamic properties,\textsuperscript{6-8} and the structure of its excitation spectrum.\textsuperscript{9-15} The $T = 0$ phase diagram of the XXZ model, which was rigorously established by these advances, consists of a ferromagnetic phase at $\Delta \geq 1$, a critical phase (spin-fluid, Luttinger liquid) at $-1 \leq \Delta < 1$, and an antiferromagnetic phase at $\Delta < -1$. The mapping between the XXZ model and the exactly solvable six-vertex and eight-vertex models yielded additional ground-state properties of the former on a rigorous basis, notably the spontaneous staggered magnetization in the antiferromagnetic phase and some critical exponents in the spin-fluid phase.\textsuperscript{16,4,37}

Until recently, exact results for the $T = 0$ spin dynamics of the XXZ model were limited to a single nontrivial case, the XX model ($\Delta = 0$). For this case, the spin system is equivalent to a system of free lattice fermions\textsuperscript{18} and the dynamic spin correlation functions can be expressed as fermion density correlations ($zz$) (Ref. 19) or as infinite determinants or Pfaffians ($xx$).\textsuperscript{20,21} In the surrounding spin-fluid phase ($-1 \leq \Delta < 1$), exact results for the infrared singularities of dynamic structure factors were obtained by field-theoretic approaches.\textsuperscript{4,22}

A different avenue for the study of the $T = 0$ dynamics of the XXZ model on a rigorous basis was opened up by important advances in the study of this model and the related vertex models in the framework of the algebraic analysis based on quantum group symmetries. A detailed description of this method with all the results that our calculations build on can be found in a recent book by Jimbo and Miwa.\textsuperscript{23}

Unlike the Bethe ansatz, this approach considers an infinite chain from the outset and exploits the higher symmetry of the infinite system (compared to the finite system) described by the quantum group $U_q(sl_2)$.\textsuperscript{24,25}

The algebraic analysis of the XXZ model for the purpose of calculating correlation functions and transition rates (form factors) of local spin operators requires the execution of the following program: (i) Span the infinite-dimensional physically relevant Hilbert space in the form of a separable Fock space of multiple spinon excitations and generate the XXZ eigenvectors in this Fock space by products of spinon creation operators (so-called vertex operators) from the XXZ ground state (physical vacuum), (ii) determine the spectral properties (energy and momentum) of the spinon excitations, (iii) express the local spin operators in terms of vertex op-
erators, and (iv) evaluate matrix elements of products of vertex operators in this spinon eigenbasis.

There exist two similar yet distinct programs that operate under different circumstances for essentially the same purpose. One is the fermion representation of the onedimensional (1D) $s = 1/2$ XY model or the equivalent 2D Ising model and the other is conformal field theory for critical (massless) continuum models. Quantum inverse scattering theory provides yet different ways of calculating some correlation functions and matrix elements for massive relativistic continuum models and for the XXX model. The algebraic analysis operates in the massive phase stabilized by Néel long-range order at $\Delta < -1$, but the isotropic limit $\Delta \to -1^-$ can be performed meaningfully at various stages of the calculation and thus yields equivalent results for the (massless) Heisenberg antiferromagnet.

In this paper we infer from the diverse ingredients now accessible via the Bethe ansatz and the algebraic analysis an explicit expression for the exact two-spinon part of the dynamic spin structure factor

$$S_{xy}(Q, \omega) = \frac{1}{4} \sum_{n=-\infty}^{\infty} \int_{-\infty}^{\infty} dt \ e^{i\omega t + Q n} \langle \sigma^+_{x}(t) \sigma^-_{y} \rangle \quad (1.2)$$

at $T = 0$ and $\Delta < -1$. The line shapes thus obtained are of direct relevance for the interpretation of existing spectroscopic data obtained via inelastic neutron scattering and Raman scattering on the quasi-1D magnetic compounds CsCoCl$_3$ and CsCoBr$_3$.

In Sec. II we discuss the $m$-spinon eigenbasis and infer a suitable parametric representation of the energy-momentum relation for spinon excitations from it. In Sec. III we closedform expression for the two-spinon density of states $D(Q, \omega)$ is derived from this spectral information. In Sec. IV we analyze the matrix elements (form factors) between the twofold degenerate ground state and the two-spinon excitations and derive from them (in Sec. VI), after having solved the two-spinon energy-momentum relations in the appropriate parametrization (Sec. V), a function $M(Q, \omega)$ that, when multiplied by $D(Q, \omega)$, yields the two-spinon dynamic structure factor $S^{(2)}_{xy}(Q, \omega)$.

A related study was previously undertaken by Weston and Bougourzi. In that study the goal was to calculate the two-spinon part of the dynamic structure factor $\Phi_{yy}(\omega)$ defined as the Fourier transform of $\langle \sigma^+_{x}(t) \sigma^-_{y} \rangle$. The result was expressed as an expansion about the Ising limit ($\Delta \to -\infty$) carried out explicitly to 12th order. It is much more difficult to calculate this quantity than to calculate $S^{(2)}_{xy}(Q, \omega)$, where no expansion is necessary to obtain explicit results.

Finally, it is interesting to note that the exact result for the frequency-dependent spin autocorrelation function $\Phi_{xx}(\omega)$ is $\sum_{n=0}^{\infty} n (d/2 \pi) S_{xx}(Q, \omega) / 12$ of the case $\Delta = 0$, which was calculated in the fermion representation, represents all $m$-spinon contributions for $m = 2.4, \ldots$ simultaneously. There the $m$-spinon structure of the excitation spectrum is reflected in $\Phi_{xx}(\omega)$ by an infinite sequence of singularities at the band-edge frequencies $\omega/J = 0, 1, 2, \ldots$.

II. SPECTRUM

The $2^N$-dimensional Hilbert space of the XXZ model for a chain of $N$ sites becomes nonseparable in the limit $N \to \infty$. However, for the infinite chain, a separable subspace $\mathcal{F}$ can be constructed and all physical properties of the XXZ model can, in principle, be derived exactly from it. The classification of the XXZ spectrum in terms of $m$-spinon excitations, which is instrumental in the quantum group analysis, had already been established by Faddeev and Takhtajan for $\Delta = -1$ in the framework of the algebraic Bethe ansatz.

The (infinite-dimensional) space $\mathcal{F}$ is spanned by vectors $|\xi_{m}, \epsilon_{m}; \ldots; \xi_{1}, \epsilon_{1}\rangle$ with $m = 0, 1, \ldots$ and $j = 0, 1$, which represent multiple spinon excitations. In the regime of interest here, the twofold degenerate vacuum state is represented by the two vectors $|0\rangle_{0}, |0\rangle_{1}$. These states break the translational symmetry of $H$. The translation operator $T$ (shift by one lattice site) transforms the two vectors into each other:

$$T|0\rangle_{j} = |0\rangle_{1-j}, \quad j = 0, 1. \quad (2.1)$$

In the Ising limit ($\Delta \to -\infty$), they become the pure Néel states $|\ldots \uparrow \downarrow \ldots \rangle, |\ldots \downarrow \uparrow \ldots \rangle$.

Each spinon excitation is characterized by a (complex) spectral parameter $\xi_{i}$ and a spin orientation $\epsilon_{i} = \pm 1$. The subspaces of $\mathcal{F}$ with even and odd numbers of spinon excitations are disconnected in all matters of concern here. They describe the physics of chains with even and odd $N$ asymptotically for $N \to \infty$. The completeness relation for the spinon basis in $\mathcal{F}$ reads

$$|1\rangle_{m} = \sum_{j=0,1} \sum_{m=0,1,\ldots} \sum_{\epsilon_{m}, \ldots, \epsilon_{1}} \frac{1}{m!} \int \prod_{i=1}^{m} \frac{d\xi_{i}}{2\pi i \xi_{i}} \times \langle \xi_{m}, \epsilon_{m}; \ldots; \xi_{1}, \epsilon_{1}\rangle_{j} \langle \xi_{1}, \ldots, \xi_{m}, \epsilon_{m} \rangle_{1-j}. \quad (2.2)$$

These basis vectors are in fact eigenvectors of the XXZ Hamiltonian $H$ and of the translation operator $T^2$,

$$H|\xi_{m}, \epsilon_{m}; \ldots; \xi_{1}, \epsilon_{1}\rangle_{j} = \sum_{i=1}^{m} e(\xi_{i})|\xi_{m}, \epsilon_{m}; \ldots; \xi_{1}, \epsilon_{1}\rangle_{j}, \quad (2.3a)$$

with the respective eigenvalues determined by

$$\tau(\xi) = e^{-ip(\xi)} = e^{-1} \frac{\theta'_{q}(q \xi^{2})}{\theta_{q}(q \xi^{2})}, \quad (2.4a)$$

$$e(\xi) = \frac{1 - q^{-2}}{4q} \frac{d}{d\xi} \ln \tau(\xi) \quad (2.4b)$$

in terms of the spectral parameter $\xi$ and the anisotropy parameter

$$\Delta = (q + q^{-1})/2, \quad -1 < q < 0. \quad (2.5)$$

Here $q$ is the deformation parameter of the quantum group $U_q(sl_2)$ (Ref. 22) and

$$\theta_{q}(y) = (x;x)(y;x)(xy^{-1};x), \quad (2.6a)$$
For most of the analysis to be carried out later, it is convenient to express $\xi$ in terms of the alternative spectral parameter $\beta$:

$$\xi = i e^{i \pi \beta/2K}, \quad -2K < \beta < 2K. \quad (2.7)$$

The energy and momentum of a spinon are then expressed in terms of Jacobian elliptic functions

$$e(\xi) = e(\beta) = I \ln \beta, \quad (2.8a)$$

$$p(\xi) = p(\beta) = a m \beta + \pi/2, \quad (2.8b)$$

with

$$I = \frac{JK}{\pi \sin \pi K'/K}. \quad (2.9)$$

The anisotropy parameter (2.5) is related to the nome

$$-q = \exp(-\pi K'/K) \quad (2.10)$$

and thus determines the moduli $k, k' = \sqrt{1-k^2}$ of the elliptic integrals $K=K(k)$ and $K'=K(k')$. The spinon energy-momentum relation resulting from Eq. (2.8),

$$e_1(p) = I \sqrt{1-k^2 \cos^2 p}, \quad 0 \leq p \leq \pi, \quad (2.11)$$

is equivalent to the corresponding relation obtained via the Bethe ansatz.$^{7,15,38}$

For the calculation of $S^{(2)}_s(Q, \omega)$ from the two-spinon density of states and the two-spinon matrix elements we introduce here translationally invariant vacuum states

$$|0\rangle = \frac{|0_0\rangle + |0_1\rangle}{\sqrt{2}}, \quad |\pi\rangle = \frac{|0_0\rangle - |0_1\rangle}{\sqrt{2}}. \quad (2.12)$$

which have wave numbers (total momenta mod $2\pi$) $0$ and $\pi$, respectively, in the extended Brillouin zone ($-\pi, \pi$).$^{39}$ In the isotropic limit, the state $|0\rangle$ is a singlet ($S_T = 0$) and the state $|\pi\rangle$ is the vector with $S_T = 0$ of a triplet ($S_T = 1$). The corresponding linear combinations of two-spinon states

$$|\xi_2, e_2; \xi_1, e_1; 0\rangle = \frac{|\xi_2, e_2; \xi_1, e_1\rangle_0 + |\xi_2, e_2; \xi_1, e_1\rangle_1}{\sqrt{2}},$$

$$|\xi_2, e_2; \xi_1, e_1; \pi\rangle = \frac{|\xi_2, e_2; \xi_1, e_1\rangle_0 - |\xi_2, e_2; \xi_1, e_1\rangle_1}{\sqrt{2}} \quad (2.13)$$

are then also translationally invariant,

$$T|\xi_2, e_2; \xi_1, e_1; 0\rangle = e^{i(p(\xi_1) + p(\xi_2))} |\xi_2, e_2; \xi_1, e_1; 0\rangle,$$

$$T|\xi_2, e_2; \xi_1, e_1; \pi\rangle = e^{i(p(\xi_1) + p(\xi_2) + \pi)} |\xi_2, e_2; \xi_1, e_1; \pi\rangle. \quad (2.14)$$

Since the two-spinon momenta and energies

$$P(\xi_1, \xi_2) = \vec{P}(\beta_1, \beta_2) = p(\xi_1) + p(\xi_2).$$

are independent of the spin orientations $e_1, e_2 = \pm 1$, all two-spinon states at fixed $P$ will be at least fourfold degenerate. In the isotropic limit, this degeneracy involves a singlet state ($S_T = S = 0$) and the three vectors with $S_T = 0, \pm 1$ of a triplet state ($S_T = 1$).

The four sets of two-spinon excitations are readily identified in the framework of the Bethe ansatz. In a finite system ($N < \infty$), the singlet-triplet degeneracy is removed and for anisotropic coupling ($\Delta < 1$), the triplet levels are split up as well. The fourfold degeneracy emerges only asymptotically for $N \rightarrow \infty$ and thus reflects the higher $U_q(SL_2)$ symmetry of the infinite system, which is used in the algebraic analysis.

III. DENSITY OF STATES

Here we consider any one of the four sets of two-spinon excitations (2.13) with fixed spin orientations $e_1, e_2$ and express their energies $E(\xi_1, \xi_2) = e_1(p(\xi_1)) + e_2(p(\xi_2))$ in terms of the wave number $Q = p_1 + p_2$ ($0 \leq Q \leq 2\pi$) and the variable $\lambda = \frac{1}{2}(p_1 - p_2)$ ($-\pi/2 \leq \lambda < \pi/2$):$^{40}$

$$e_2(Q, \lambda) = e_1(Q/2 - \lambda) + e_1(Q/2 + \lambda). \quad (3.1)$$

These states form a continuum in $(Q, \omega)$ space, which is depicted in Fig. 1. It consists of two partly overlapping sheets $C_\pm$ with boundaries

$$\omega_0(Q) = \frac{2I}{1+\kappa} \sin Q, \quad (3.2a)$$

$$\omega_\pm(Q) = \frac{2I}{1+\kappa} \sqrt{1+\kappa^2 \pm 2\kappa \cos Q}, \quad (3.2b)$$

where
\[ \kappa = \cos(Q \kappa) = \frac{1 - k'}{1 + k'} \] (3.3)

is the natural anisotropy parameter in most of the results presented here. The excitation gap \( \Delta E = 2k' \) approaches zero exponentially in the isotropic limit:

\[ \Delta E \underset{\Delta \to -1}{\longrightarrow} 4\pi J \exp(-\pi^2/\sqrt{-8(1+\Delta)}). \] (3.4)

The two-spinon density of states \( D(Q, \omega) \) was evaluated before in closed form \(^{12}\)

\[ D(Q, \omega) = D_+(Q, \omega) + D_-(Q, \omega), \] (3.6a)

\[ D_\pm(Q, \omega) = n_\pm(Q, \omega)/d_\pm(Q, \omega), \] (3.6b)

for \( (Q, \omega) \in \mathcal{C}_\pm \), respectively, where

\[ n_\pm(Q, \omega) = \frac{2\omega^2 - (1 + \kappa^2)\omega_0^2 \pm 2T \cos Q}{4\sin^2 Q}, \]

\[ d_\pm(Q, \omega) = \frac{T[(1 + \kappa^2)\omega_0^2 - \omega^2(1 + \cos^2 Q) \mp 2T \cos Q]^{1/2}}{2\sin^2 Q}, \]

\[ T(Q, \omega) = \sqrt{\omega^2 - \kappa^2 \omega_0^2 \mp \omega^2 - \omega_0^2}, \] (3.7)

With the auxiliary quantity

\[ W_\pm(Q, \omega) = \sqrt{\frac{\omega_0^2}{\omega^2} \pm \kappa^2 - \left(\frac{T}{\omega} \pm \cos Q\right)^2}, \] (3.8)

the result (3.6b) can be written more compactly:

\[ D_\pm(Q, \omega) = \frac{\omega\sin^2 Q - W_\pm(Q, \omega)}{2T(Q, \omega)W_\pm(Q, \omega)}. \] (3.9)

Note the reflection symmetry \( D_\pm(Q, \omega) = D_{\mp}(-Q, -\omega) \). The two-spinon density of state has square-root divergences all along the lower and upper boundaries of each sheet. At the zone center, expression (3.9) turns into

\[ D_{2_0}(Q, \omega) = \frac{\omega}{2} \int_{-\pi/2}^{\pi/2} d\lambda \delta(\omega - e_2(Q, \lambda)) \]

\[ \text{FIG. 2. Normalized two-spinon density of states} \ D_+(Q, \omega) \text{ as a function of frequency for wave numbers } Q, \omega = \pi \text{ and anisotropy parameter } k = 0.7435 (\Delta = -10) \text{ and } k = 0.99 (\Delta = -2.305). \]

The function \( D_+(Q, \omega) \) is plotted in Fig. 2 for two values of anisotropy.

**IV. MATRIX ELEMENTS**

The \( m \)-spinon eigenbasis provides a useful framework for the separate analysis of the \( m \)-spinon contributions \( (m = 0, 2, 4, \ldots) \) to any zero-temperature dynamical quantity of interest if a means of calculating the relevant matrix elements can be found. Here we focus on the two-spinon matrix elements of the dynamic spin structure factor \( S_{ss}(Q, \omega) \) at \( T = 0 \).

With Eq. (2.2) the two-spinon part of Eq. (1.2) has the form

\[ S_{ss}^{(2)}(Q, \omega) = \frac{1}{8} \sum_{j,j'} \sum_{n=\infty}^{+\infty} \sum_{\epsilon_1, \epsilon_2 = \pm} \sum_{\epsilon''=\pm} \int_{-\infty}^{+\infty} dt \ e^{i\omega t + Q n} \frac{1}{2} \int \frac{d\xi_i}{2\pi i\xi_i} \left< j(0) | \sigma^+ \sigma^- | \xi_2, \epsilon_2 : \xi_1, \epsilon_1 \right> \left< \xi_1, \epsilon_1 : \xi_2, \epsilon_2 | 0 \right> \right| j' \] (4.1)

The evaluation of this expression requires that we know all transition matrix elements of the spin operators \( \sigma^\pm = \frac{1}{2}(\sigma_0^\pm \pm i\sigma_\mp^\pm) \) between the vacuum states \( |0\rangle_j \) and the two-spinon states \( |\xi_2, \epsilon_2 : \xi_1, \epsilon_1 \rangle_j \). All nonvanishing matrix elements of this type turn out to be related to each other,

\[ j(0) | \sigma^+_0 \xi_2, \pm : \xi_1, \mp \rangle_j = \pm j(0) | \sigma^-_0 \xi_2, \mp : \xi_1, \pm \rangle_{-j}, \] (4.2a)

\[ j(0) | \sigma^+_0 \xi_2, \mp : \xi_1, \pm \rangle_j = j(0) | \sigma^-_0 \xi_2, \pm : \xi_1, \mp \rangle_{-j}, \] (4.2b)

and can be expressed by a single function...
which was determined by Jimbo and Miwa: 23

\[ X^j(\xi_1, \xi_2) = \langle 0 | \sigma_0^+ | \xi_2, -; \xi_1, - \rangle_j, \]  

(4.3)

Carrying out the space-time Fourier transform and the sum over the space-time Fourier transform and the sum orientations in Eq. (4.1) yields

\[ S_{\xi_1, \xi_2}^{(2)}(Q, \omega) = \frac{1}{2} \int_{-2k}^{2k} d\beta_1 \int_{-2k}^{2k} d\beta_2 \delta(\omega - \bar{E}(\beta_1, \beta_2)) \times (Q - \pi + \bar{P}(\beta_1, \beta_2)) \]

\[ \times (|X_0(\beta_2, \beta_1)|^2 + |X_1(\beta_2, \beta_1)|^2), \]  

(4.6)

where we have also substituted Eq. (2.7).

V. ENERGY-MOMENTUM RELATIONS

Performing the integrals over the spectral parameters in expression (4.6) brings the two-spinon dynamic structure factor into the form

\[ S_{\xi_1, \xi_2}^{(2)}(Q, \omega) = \frac{1}{2} \sum_{\sigma \epsilon \{ \pm \}} \sum_{\sigma_\pm \pm} \frac{B_{\sigma}(Q, \omega)}{J_{\sigma}(Q, \omega)}, \]  

(5.1)

where the numerator

\[ B_{\sigma}(Q, \omega) = |X_0(\beta_2, \beta_1) - \sigma X_1(\beta_2, \beta_1)|^2 \]  

(5.2)

is governed by the two-spinon transition rates and the denominator

\[ J_{\sigma}(Q, \omega) = 2 \left( \frac{2K}{\pi} \right)^2 \left| \frac{\partial \bar{E}}{\partial \beta_1} \frac{\partial \bar{P}}{\partial \beta_2} - \frac{\partial \bar{E}}{\partial \beta_2} \frac{\partial \bar{P}}{\partial \beta_1} \right|_{\beta_2 = \beta_1}. \]

\[ = 2I \left( \frac{2kK}{\pi} \right)^2 |\sigma \bar{\beta}_1^{\beta_2} - \bar{\beta}_1^{\beta_2} \sigma |. \]  

(5.3)

by the two-spinon density of states. In these expressions, the spectral parameters now have fixed values (\( \beta_1^0, \beta_2^0 \)). These values are the solutions of the two-spinon energy-momentum relations arising from the two products of \( \delta \) functions in Eq. (4.6):

\[ \omega = \bar{E}(\beta_1, \beta_2), \]

\[ -Q = \bar{P}(\beta_1, \beta_2), \]

for \( \sigma = \mp \), respectively. Equations (5.4) with (2.15) and (2.8) are combined into

\[ \omega/I = \sigma \sin Q = \frac{2 \sin \beta_+ \sin \beta_-}{1 - k^2 \sin^2 \beta_+ \sin^2 \beta_-}, \]  

(5.6b)

with \( \beta_\pm = (\beta_1 \pm \beta_2)/2 \). From the ratio

\[ \frac{\omega}{I} \sin Q = \frac{2 \sin \beta_+ \sin \beta_-}{1 - k^2 \sin^2 \beta_+ \sin^2 \beta_-}, \]  

(5.7)

we obtain

\[ \sin \beta_+ = -\sigma \sqrt{\frac{1}{2} \left( 1 - k^2 \frac{\omega_0^2}{\omega^2} - \frac{T}{\omega^2} \right)}, \]  

(5.8a)

\[ \cos \beta_+ = \sqrt{\frac{1}{2} \left( 1 - k^2 \frac{\omega_0^2}{\omega^2} + \frac{T}{\omega^2} \right)}. \]  

(5.8b)

These solutions yield

\[ \frac{d\beta_+}{\sigma} = \frac{\sqrt{2k^2 - \kappa^2 \omega_0^2 + T}}{\omega^2 + k \omega_0^2 + T}, \]  

(5.9)

and effectively reduce Eq. (5.6a) into a quadratic equation for \( \beta_- \) with \( \sigma \)-independent solutions

\[ \frac{d\beta_-}{\sigma} = \frac{\mp \cos Q}{\sin \omega_0 T \sqrt{2k^2 - \kappa^2 \omega_0^2 + T}} \]  

(5.10)

where \( \omega_0(Q) \) and \( T(Q, \omega) \) are given in Eqs. (3.2a) and (3.7), respectively. Finally, a Landen transformation \( (k \rightarrow \kappa) \) converts Eqs. (5.9) and (5.10) into more explicit solutions in terms of incomplete elliptic integrals,
the lower boundary of as a product of a density-of-state function an analogy to the representation used in Ref. 12 for decreases monotonically with increasing where $w_c$ indicates that $(\pi/Q, \omega)$ is given in Eq. (3.8) and the new label $c = \pm$ indicates that $(Q, \omega) \in C_\pm$.

The function $\beta^c_+(Q, \omega) = \beta_-(Q, \omega)$, which alone enters the final result, is plotted in Fig. 3 for two values of anisotropy. It is finite along the lower boundary of $C_+$, decreases monotonically with increasing $\omega$ at fixed $Q$, and vanishes in a square-root cusp at the upper boundary. Note the different behavior along the portions $\omega_+(Q)$ and $\omega_0(Q)$ of the lower boundary of $C_+$, $[\beta^+_+(Q, \omega_+) = K$ and $\beta^+_+(Q, \omega_0) < K]$, which will give rise to different singularities in $S_{xx}^{(2)}(Q, \omega)$ in the two parts of the spectral threshold.

VI. DYNAMIC STRUCTURE FACTOR

A. Exact result for $S_{xx}^{(2)}(Q, \omega)$

The two-spinon dynamic structure factor (5.1) for both sheets $C_\pm$ of the two-spinon spectrum will now be evaluated as a product of a density-of-state function $D_{\pm}(Q, \omega)$ and a transition-rate function $M_{\pm}(Q, \omega)$, in a generalization of the representation used in Ref. 31 for the XXX model and in analogy to the representation used in Ref. 12 for $S_{zz}^{(2)}(Q, \omega)$ of the $XY$ model:

$$S_{xx}^{(2)}(Q, \omega) = \frac{1}{2} \sum_{c=\pm} D_c(Q, \omega) M_c(Q, \omega). \quad (6.1)$$

With the solutions (5.11) of the energy-momentum relations, the numerator (5.2) and the denominator (5.3) can be evaluated in the forms

$$B^c(Q, \omega) = \left[ \frac{2K(k)}{\pi} \right]^2 \frac{1+c \cos Q}{\omega_0^2} \left[ \frac{A_-(\beta^-)}{A_+(\beta^+)} \right]^2 \left\{ \omega^2 - \kappa \omega_0^2 \right\} \left\{ \omega^2 - \kappa \omega_0^2 + T \right\} \delta_{\sigma_+} + \frac{1}{1+\kappa} \left[ \omega^2 + \kappa \omega_0^2 + T \right] \delta_{\sigma_-}, \quad (6.2)$$

$$J_c(Q, \omega) = \left[ \frac{4K(k)}{\pi} \right]^2 \omega_T \omega_c^2, \quad (6.3)$$

respectively, where

$$A_\pm(\beta) = \exp \left\{ -\sum_{l=1}^{\infty} \frac{\sinh^2[\gamma l(1-i\beta/\kappa)]}{\sinh(2\gamma l)[\cosh(\gamma l) - e^{-\gamma l}]} \right\}, \quad (6.4)$$

with $\gamma = \pi K'/K$, and $\delta_{\sigma}(x)$ is a Neville theta function. With the exact results (3.9), (6.2), and (6.3), the physically motivated factorization (6.1) of $S_{xx}^{(2)}(Q, \omega) = \sum_{c=\pm} S_c(Q, \omega)$ can now be established:

$$D_c(Q, \omega) = \frac{4K(k)}{\pi} \frac{\omega^2}{4 \omega_0^2} \left[ \omega^2 - \kappa \omega_0^2 + T \right] \frac{1+c \cos Q}{\omega_0^2} \frac{A_-(\beta^-)}{A_+(\beta^+)} \frac{v!}{(2\gamma l)[\cosh(\gamma l) - e^{-\gamma l}]}, \quad (6.5)$$

$$M_c(Q, \omega) = \frac{\pi}{4K(k)} \frac{\omega^2}{4 \omega_0^2} \left[ \omega^2 - \kappa \omega_0^2 + T \right] \frac{1+c \cos Q}{\omega_0^2} \frac{A_-(\beta^-)}{A_+(\beta^+)} \frac{v!}{(2\gamma l)[\cosh(\gamma l) - e^{-\gamma l}]}, \quad (6.6)$$

The exact two-spinon transition-rate function thus obtained from Eq. (6.2) substituted into Eq. (6.6) is

$$M_c(Q, \omega) = \frac{\omega^2}{4 \omega_0^2} \left[ \omega^2 - \kappa \omega_0^2 + T \right] \frac{1+c \cos Q}{\omega_0^2} \frac{A_-(\beta^-)}{A_+(\beta^+)} \frac{v!}{(2\gamma l)[\cosh(\gamma l) - e^{-\gamma l}]}, \quad (6.7)$$

where $\beta^2_-(\beta) = |A_-(\beta)|^2$ is the function

$$\beta^2_-(\beta) = \exp \left\{ -\sum_{l=1}^{\infty} \frac{e^{\gamma l}}{l} \frac{\cosh(2\gamma l)\cos(t\gamma l) - 1}{\sinh(2\gamma l)[\cosh(\gamma l) - e^{-\gamma l}]} \right\}, \quad (6.8)$$

$\beta^c_-$ is given in Eq. (5.11b), and $t = 2\beta/\kappa'$. The final result for the exact two-spinon dynamic structure factor reads

$$S_{xx}^{(2)}(Q, \omega) = \frac{\omega_0}{81\omega} \left[ 1 + \frac{\omega^2 - \kappa^2 \omega_0^2}{\omega^2 - \kappa \omega_0^2} \right] \times \sum_{c=\pm} \frac{\beta^2_-(\beta^c_+)}{W_c(Q, \omega)} \frac{|\tan(Q/2)|^{-c}}{W_c(Q, \omega)}. \quad (6.9)$$

B. Line shapes and singularity structure

The function $M_+(Q, \omega)$, which represents the two-spinon transition rates for $(Q, \omega) \in C_+$, is plotted in Fig. 4 for two values of anisotropy. The product of $M_+(Q, \omega)$ with the two-spinon density of states $D_+(Q, \omega)$ (already shown in
The transition rate function $M_{+}(Q, \omega)$ exhibits qualitatively different properties in the two regimes $Q_x < Q < \pi - Q_x$ and $\pi - Q_x < Q \leq \pi$, where the spectral threshold is given by $\omega_{0}(Q)$ and $\omega_{+}(Q)$, respectively (see Fig. 1). In the first regime, $M_{+}(Q, \omega)$ is nonzero at the lower boundary, decreases monotonically with increasing $\omega$, and approaches zero linearly at the upper boundary. In the second regime, in contrast, $M_{+}(Q, \omega)$ approaches zero linearly at both boundaries and has a smooth maximum in between. The transition rates for $(Q, \omega) \in \mathcal{C}_-$ are the exact mirror image: $M_{-}(\pi - Q, \omega) = M_{+}(Q, \omega)$.

These properties of $M_{\pm}(Q, \omega)$ imply that the two-spinon dynamic structure factor $S_{xx}(2)(Q, \omega)$ diverges all along the portion $\omega_{0}(Q)$ of the spectral threshold and that the leading singularity is the square-root divergence of the two-spinon density of states. Here the function $S_{\pm}(Q, \omega)$ decreases monotonically from infinity at the lower boundary to zero at the upper boundary. Along the portion $\omega_{+}(Q)$ of the lower boundary and along the entire upper boundary of $\mathcal{C}_+$, the linear behavior of the transition rates removes the square-root divergence of the density of states in the product and replaces it by a square-root cusp in the dynamic structure factor. Here the spectral-weight distribution at fixed $Q$ has a smooth maximum between the band edges. For strong anisotropy, the line shapes are broad and featureless. At moderate to weak anisotropy, the line shapes are distinctly asymmetric with the maximum positioned close to the spectral threshold.

The function $S_{xx}^{(2)}(Q, \omega)$, which is symmetric about $Q = \pi/2$, is equal to one or the other of the two functions $S_{\pm}(Q, \omega)$, except for $Q_x \leq Q \leq \pi - Q_x$. Here the two sheets overlap and the two-spinon dynamic structure factor has two

![Fig. 4](image_url)

**FIG. 4.** Two-spinon transition rates $M_{\pm}(Q, \omega)$ as a function of frequency for wave numbers $Q_x \leq Q \leq \pi$ and anisotropy parameters $k = 0.7435$ ($\Delta = -10$) and $k = 0.99$ ($\Delta = -2.305$).

![Fig. 5](image_url)

**FIG. 5.** Two-spinon dynamic structure factor $S_{xx}^{(2)}(Q, \omega)$ as a function of frequency for wave numbers $Q_x \leq Q \leq \pi$ and anisotropy parameters $k = 0.7435$ ($\Delta = -10$), $k = 0.8279$ ($\Delta = -7$), $k = 0.8976$ ($\Delta = -5$), and $k = 0.99$ ($\Delta = -2.305$).
square-root cusp singularities, one at the upper boundary of each sheet. The two upper boundaries coincide only at \( Q = \pi/2 \).

**C. Isotropic limit**

When we take the isotropic limit \( \Delta \rightarrow -1^- \) in the results for the two-spinon density of states, transition rates, and dynamic structure factor for the purpose of linking up with results of calculations that were performed for the Heisenberg model in the (nondegenerate) critical ground state, we must heed the fact that the size of the Brillouin zone changes from \((-\pi/2, +\pi/2)\) to \((-\pi, +\pi)\) as the Neel long-range order in the ground state of the infinite system vanishes. In practice, this means that we switch our perspective from considering both sheets \( C_\pm \) of two-spinon excitations over the range \((-\pi/2, +\pi/2)\) to considering only the sheet \( C_+ \) over the extended range \((-\pi, +\pi)\). The term with \( c = - \) in all expressions that contain a sum \( \sum_{\sigma = \pm} \) is then omitted. These contributions are now accounted for in the term with \( c = + \) over the extended range of physically distinct wave numbers.

In the isotropic limit, the boundaries of \( C_+ \) turn into the familiar sine curves

\[
\omega_0(Q) \rightarrow \omega_+(Q) = \frac{\pi}{2} J \sin Q,
\]

\[
\omega_-(Q) \rightarrow \omega_+(Q) = \pi J \sin \frac{Q}{2}
\]

and the two-spinon density of states becomes

\[
D_+(Q, \omega) = \frac{1}{\sqrt{\omega_+^2(Q) - \omega^2}}.
\]

A major simplification occurs in the two-spinon matrix elements,

\[
X^1(\xi_2, \xi_1) \rightarrow -X^0(\xi_2, \xi_1),
\]

which implies that all terms with \( \sigma = -1 \) in Eqs. (5.1) and (6.2) disappear in the isotropic limit. The results presented previously for the isotropic case \( J = 1 \) can be recovered from the more general result presented here if we replace the spectral parameter \( \beta \) in Eq. (2.7) by the scaled spectral parameter \( \alpha = -\beta \pi/2K' \) and then take the limit \( \alpha \rightarrow -1^- \). In this limit, the auxiliary expressions (4.5) can be simplified considerably:

\[
4 \rightarrow \frac{(q^4 - q^4)(1 - q^4)^{1/4}}{(q^4 - q^4)^{1/4}},
\]

\[
\gamma(\xi) \rightarrow \frac{(q^4 - q^4)(1 - q^4)^{3/4}}{\Gamma(1/4) A_-(\alpha)},
\]

where

\[
A_-(\alpha) = \exp \left( \int_0^\infty dx \sinh^2 \left[ x(1 + i \alpha/\pi) \right] \right) e^{-\alpha x}
\]

represents Eq. (6.4) with \( x = \gamma t \) in the limit \( \gamma \rightarrow 0 \). The magnitude of the resulting two-spinon matrix element becomes

\[
|X^0(\xi_2, \xi_1)| \rightarrow \frac{\pi}{2\gamma} \left| \frac{\Delta_-(\alpha_1 - \alpha_2)}{\sinh \left( \frac{i\pi}{4} + \frac{\alpha_1}{2} \right) \sinh \left( \frac{i\pi}{4} + \frac{\alpha_2}{2} \right)} \right|.
\]

Expression (6.7) for \( M_+(Q, \omega) \) reduces to

\[
M_+(Q, \omega) = \frac{1}{2} e^{-i\xi},
\]

where

\[
\frac{\pi t}{4} = \frac{1}{2}(\alpha_1 - \alpha_2) = \cosh^{-1} \sqrt{\frac{\omega_+^2(Q) - \omega_-^2(Q)}{\omega^2 - \omega_+^2(Q)}}.
\]

In Ref. 31 this expression was evaluated and its implications for the two-spinon dynamic structure factor \( S_{xx}^{(2)}(Q, \omega) = M_+(Q, \omega)D_+(Q, \omega), \quad \Delta = -1 \) were discussed in considerable detail.

**D. Ising limit**

When we analyze the exact two-spinon dynamic structure factor for very strong anisotropy \( \Delta \rightarrow -\infty \), a convenient expansion parameter about the limiting Ising model is \( \kappa \) as defined in Eq. (3.3). Expressed in terms of this parameter, the exchange anisotropy (2.5) and the amplitude of the two-spinon dispersion (2.9) become

\[
|\Delta| \rightarrow \frac{2}{\kappa} [1 + O(\kappa^2)], \quad I \rightarrow \frac{1}{\kappa} [1 + \kappa + O(\kappa^2)].
\]

Here we set \( J = 1 \). To lowest order in \( \kappa \), the overlap region of the two sheets \( C_\pm \) that make up the two-spinon continuum (see Fig. 1) collapses to a single point at \( Q = \pi/2 \). The continuum boundaries, as expressed in terms of the reduced frequency

\[
\Omega = \omega/2I - 1,
\]

are now described by the curves

\[
\Omega_\pm(Q) \rightarrow \pm \kappa \cos Q.
\]

The regime \( Q_{\kappa} \leq Q \leq \pi - Q_{\kappa} \) of the two-spinon continuum is thus squeezed to zero width at \( Q = \pi/2 \), where the two-spinon bandwidth is zero as well in lowest order.

The expansion of the two-spinon dynamic structure factor can be carried out in the final result (6.9) by using

\[
W_\perp(Q, \omega) \rightarrow \kappa \sin^2 Q \sqrt{1 - \left( \frac{\Omega}{\kappa \cos Q} \right)^2},
\]

where

\[
L_\perp(Q, \omega) \rightarrow \left| \frac{\Delta_-(\alpha_1 - \alpha_2)}{\sinh \left( \frac{i\pi}{4} + \frac{\alpha_1}{2} \right) \sinh \left( \frac{i\pi}{4} + \frac{\alpha_2}{2} \right)} \right|.
\]
$$\theta_d(\beta_\perp) \to 1, \quad \frac{\omega^2 - \kappa^2 \omega_0^2}{\omega^2 - \omega_0^2} \to \frac{1}{\cos^2 Q}$$
(6.24)

$$\theta_A(\beta_\perp) \to 2 \sin(2\beta_\perp) = \frac{4I_0 W_c}{(1 + \kappa) \kappa \omega_0^2},$$
(6.25)

yielding the closed-form expression

$$S^{(2)}_{xx}(Q, \omega) \to \frac{1}{2 \cos^2 Q} \sqrt{\cos^2 Q - \left(\frac{\Omega}{\kappa}\right)^2},$$
(6.26)

which is identical (in lowest order) to the result

$$S^{(1S)}_{xx}(Q, \omega) \to \frac{4 \cos^2 Q - (\omega - |\Delta|)^2}{4 \cos^2 Q} \times \left(1 - \frac{2}{|\Delta|} \cos Q + \omega - |\Delta|\right),$$
(6.27)

obtained by Ishimura and Shiba\textsuperscript{13} from a first-order perturbation calculation about the Ising limit.

It is instructive to perform the expansion at an earlier stage of the calculation, namely, in Eq. (4.6), which then becomes

$$S^{(2)}_{xx}(Q, \omega) \to \frac{1}{8} \int_{-\pi}^{\pi} d\beta_1 \int_{-\pi}^{\pi} d\beta_2 \sin^2(2\beta_\perp) \delta(\omega - E) \times \left[\delta(Q + \tilde{P}) + \delta(Q - \pi + \tilde{P})\right],$$
(6.28)

with

$$E(\beta_1, \beta_2) \to \frac{2}{\kappa} [1 + \kappa - \kappa(\sin^2 \beta_1 + \sin^2 \beta_2)],$$
(6.29a)

$$\bar{P}(\beta_1, \beta_2) \to \pi - \beta_1 - \beta_2,$$
(6.29b)

where we have used

$$\bar{X}^0(\beta_1, \beta_2) \to 0,$$
(6.30a)

$$|\bar{X}^1(\beta_1, \beta_2)|^2 \to 4 \sin^2(2\beta_\perp).$$
(6.30b)

The asymptotic two-spinon energy-momentum relations (5.5) are

$$-\sigma^2 - 2 + 2\kappa = 2(\sin^2 \beta_1 + \sin^2 \beta_2),$$
(6.31a)

$$-\sigma \sin Q = \sin(\beta_1 + \beta_2),$$
(6.31b)

and the solutions

$$\sin(2\beta_\perp^*) = -\sigma \sin Q,$$
(6.32a)

$$\sin(2\beta_\perp^*) = \sqrt{1 - \left(\frac{\Omega}{\kappa \cos Q}\right)^2},$$
(6.32b)

have multiplicity 8 for \((Q, \omega)\) within the boundaries (6.22) of the asymptotic two-spinon continuum. Performing the integrals in Eq. (6.28) then yields the asymptotic version of Eq. (5.1):

$$S^{(2)}_{xx}(Q, \omega) \to \sum_{\beta_1^*, \beta_2^*} \frac{\sin^2(2\beta_\perp^*)}{2[\sin(2\beta_\perp^*) \cos(2\beta_\perp^*)]},$$
(6.33)

from which Eq. (6.26) is recovered upon evaluation.

### E. Experiments

Two of the most intensively studied physical realizations of the 1D \(s = 1/2\) Heisenberg-Ising antiferromagnet are the quasi-1D magnetic compounds CsCoCl\(_3\) and CsCoBr\(_3\). A very comprehensive set of spectroscopic data, which probe diverse aspects of the low-temperature spin dynamics of these materials, is now available from several inelastic neutron scattering experiments performed over the course of 15 years at the Brookhaven,\textsuperscript{32} Chalk River,\textsuperscript{33,45} Laue-Langevin,\textsuperscript{46} and Rutherford\textsuperscript{34} Laboratories.

The basis for the interpretation of all the experimental data that involve the frequency range now known under the name two-spinon continuum has been the perturbation calculation about the Ising limit of Eq. (1.1) carried out to first order by Ishimura and Shiba\textsuperscript{13} (see also Ref. 47), which yielded the explicit expression (6.27) for the \(T = 0\) dynamic structure factor \(S_{xx}(Q, \omega)\). Whereas this calculation reproduces the two-spinon continuum boundaries correctly to first order in the expansion parameter, the reliability of its line-shape prediction, a broad peak with the maximum near the center of the band and steep drops near both boundaries, has remained very much in question.

The fact is that the line shapes observed in all experiments turned out to be highly asymmetric with a high concentration of intensity near the spectral threshold and a tail extending to the upper continuum boundary. Various attempts have been made at reconciling the discrepancy between theory and experiment by considering a second-order perturbation calculation\textsuperscript{48} for the pure XXZ model and by considering the impact of biaxial anisotropy,\textsuperscript{49} next-nearest-neighbor coupling,\textsuperscript{50} interchain coupling,\textsuperscript{51} and exchange mixing\textsuperscript{34} all within the framework of a first-order perturbation treatment.

In the range \(-10 \leq \Delta \leq -7\) of anisotropies, which best describes CsCoCl\(_3\) and CsCoBr\(_3\) according to some indicators, the line-shape predictions obtained via perturbation calculation are in fair agreement with the exact two-spinon result for wave numbers near the zone center. However, for wave numbers near the zone boundary, the exact two-spinon spectral-weight distribution is more asymmetric, consistent with the experimental data. For \(Q < \pi - Q_\kappa\), \(S^{(2)}_{xx}(Q, \omega)\) even diverges at the spectral threshold and decreases monotonically toward the upper continuum boundary. The conspicuous line-shape asymmetry found in the experimental data near the zone center, which is not all reproduced by the perturbation calculation, also exists in \(S^{(2)}_{xx}(Q, \omega)\), but only for weaker exchange anisotropy (see Fig. 5).

### ACKNOWLEDGMENTS

This work was supported by the U.S. National Science Foundation, Grant No. DMR-93-12252, and the Max Kade Foundation. The work at SUNYSB was supported by NSF Grant No. PHY-93-09888.
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