Palaeoceanographic changes in the late Pliocene promoted rapid diversification in pelagic seabirds

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Abstract
Aim: Palaeoceanographic changes can act as drivers of diversification and speciation, even in highly mobile marine organisms. Shearwaters are a group of globally distributed and highly mobile pelagic seabirds. Despite a recent well-resolved phylogeny, shearwaters have controversial species limits, and show periods of both slow and rapid diversification. Here, we explore the role of palaeoceanographic changes on shearwaters’ diversification and speciation. We investigate shearwater biogeography and the evolution of a key phenotypic trait, body size, and we assess the validity of their current taxonomy.

Location: Worldwide.

Taxa: Shearwaters (Order Procellariiformes, Family Procellariidae, Genera \textit{Ardenna}, \textit{Calonectris} and \textit{Puffinus}).

Methods: We generated genomic (ddRAD) data to infer a time-calibrated species tree for the shearwaters. We estimated ancestral ranges and evaluated the roles of founder events, vicariance and surface ocean currents in driving diversification. We performed phylogenetic generalised least squares to identify potential predictors of variability in body size along the phylogeny. To assess the validity of the current taxonomy, we analysed genomic patterns of recent shared ancestry and differentiation among shearwater taxa.

Results: We identified a period of high dispersal and rapid speciation during the Late Pliocene–early Pleistocene. Species dispersal appears to be favoured by surface ocean currents, and founder events are supported as the main mode of speciation in these highly mobile pelagic seabirds. Body mass shows significant associations with life strategies and local conditions. The current taxonomy shows some incongruences with the patterns of genomic divergence.

Main Conclusions: A reduction of neritic areas during the Pliocene seems to have driven global extinctions of shearwater species, followed by a subsequent burst of speciation and dispersal probably promoted by Plio-Pleistocene climatic shifts. Our findings extend our understanding on the drivers of speciation and dispersal of highly mobile marine birds.
1 INTRODUCTION

Speciation is a key evolutionary process that results from the independent evolution and adaptation of populations, and ultimately acts as a major driver responsible for the generation of species-level biodiversity (Kopp, 2010; Schluter & Pennell, 2017). Species richness is unevenly distributed across the Tree of Life, and its current patterns of distribution result from biotic and abiotic processes that operate over space and time (Benton, 2009; Simpson, 1953; Vargas & Zardoya, 2014). In highly mobile species that live in environments with a lack of obvious physical barriers, such as the marine environment, neutral processes of panmixia or isolation-by-distance, are expected to prevail (Moura et al., 2013). However, counterintuitive evidence of fine-scale differentiation among populations and species in a number of marine taxa has been described as the ‘marine species paradox’ (Bierne et al., 2003; Palumbi, 1994). Thus, there is a need for explicit evaluations of the role of selective processes in driving patterns of differentiation in marine systems.

In species complexes that are geographically widespread, the gradual evolution of reproductive isolation in allopatry can make species delimitation challenging, especially in young radiations (Carstens et al., 2013; Cutter, 2013). Many allospecies first tend to differ from their close relatives in traits subjected to sexual and other forms of social selection (Price, 2008; Seddon et al., 2013). When this occurs, our ability to delimit species may be further hampered by morphological stasis, especially when changes in ecological niche in allopatry are minimal (Fišer et al., 2018). In cases of morphological stasis and limited behavioural information, genomic data can provide informed hypotheses on species limits of allopatric taxa and can be conclusive in parapatric or sympatric taxa. Despite the extent of disagreement about how genomic data should be applied to species delimitation (Leaché et al., 2018; Sukumaran & Knowles, 2017), agreement exists that genomic data can provide additional perspective on species limits when used together with other data types such as phenotypic and ecological information under an integrative taxonomic framework.

Seabirds of the order Procellariiformes present some of the most extreme examples of the marine speciation paradox. Procellariiformes are highly mobile pelagic seabirds with a high dispersal ability and perform some of the longest animal migrations on Earth (covering more than 120,000 km a year) (González-Solis et al., 2007; Shaffer et al., 2006; Weimerskirch et al., 2015). However, Procellariiformes also show high philopatry to their breeding grounds (Coulson, 2002), which is expected to limit gene flow and therefore reinforce genetic differentiation (Friesen et al., 2007).

Shearwaters are a monophyletic group in the family Procellariidae (Nunn & Stanley, 1998), and they offer an excellent case study for examining the mechanisms of population differentiation and speciation in marine environments. First, shearwaters are globally distributed and breed mostly in allopatry. Second, the current taxonomic classification recognises three genera and 30 species with a recently well-resolved phylogeny showing clear periods of rapid diversification (Ferrer Obiol et al., 2021). Third, the three recognised genera exhibit different ecologies and degrees of species richness. Fourth, their high mobility makes them an ideal model to evaluate the roles of founder events and vicariance using biogeographical analyses. Fifth, abiotic and biotic factors are known to promote speciation in the shearwaters and related Procellariiformes; for instance, palaeoceanographic changes such as the Pleistocene climatic oscillations can act as historical drivers of speciation (Gómez-Díaz et al., 2006; Silva et al., 2015) and intrinsic biotic factors such as different foraging strategies and allochrony can also promote speciation (Friesen, Smith, et al., 2007; Lombal et al., 2018; Rayner et al., 2011). Sixth, species limits are controversial, mostly due to high morphological stasis (Austin, 1996; Austin et al., 2004); indeed, only a few phenotypic traits, such as vocalisation characteristics, slight plumage colour differences and in particular, body size, may differ between closely related species. A comprehensive study using genomic data will assist in resolving species delimitation within the context of the factors that promote diversification and speciation.

The end of the Pliocene marked the beginning of a period of great climatic and sea-level oscillations. From the late Pliocene onwards, the global area of the neritic zone abruptly diminished and started experiencing amplified fluctuations (De Boer et al., 2010). This reduction of the neritic zone has been recently hypothesised as a main driver of a marine megafauna extinction event during this period (Pimiento et al., 2017). The reliance of shearwaters on the neritic zone to forage suggests that this extinction event might have severely affected shearwaters. However, this has never been tested. Subsequently, Plio–Pleistocene climatic and sea-level oscillations have been shown to promote population differentiation and speciation in pelagic seabirds, including shearwaters (Gómez-Díaz et al., 2006; Silva et al., 2015).

To accurately relate historical environmental and oceanographic changes to the timing of speciation events, it is necessary to estimate accurate divergence times. Analyses based on concatenation can lead to biases in branch lengths and misleading age estimates when incomplete lineage sorting (ILS) is prevalent, particularly at recent time-scales (Angelis & Dos Reis, 2015; McCormack et al., 2011). For such events, the multispecies coalescent model (MSC) offers a more accurate solution by incorporating...
the effects of ILS, which is likely the most common source of phylogenetic incongruence in rapid diversification events (Edwards et al., 2016; Maddison, 1997).

The reconstruction of ancestral ranges and evaluation of alternative biogeographical models are critical to our understanding of shearwater diversification throughout the world in light of environmental and oceanographic events. Of particular interest is the importance of founder events during the evolution of shearwaters. The foundation of colonies is believed to be a rare event in most seabird species despite their great potential for long-range dispersal (Milot et al., 2008). However, in several shearwater species, contemporary colony foundation events have been reported (Munilla et al., 2016; Storey & Lien, 1985). Environmental differences experienced by founder populations have the potential to precipitate speciation (Clegg et al., 2002). On the other hand, the emergence of physical barriers to gene flow can also initiate genetic divergence of populations and ultimately result in allopatric speciation (Coyne, & Orr, 2004). Concordant with this process, seabird populations or species complexes whose breeding distributions are fragmented by land masses tend to show significant genetic differentiation and phylogeographical structure (Friesen, Burg, et al., 2007), and shearwaters are no exception (Austin et al., 2004). Differences in ocean regimes can also act as a barrier to gene flow driving allopatric speciation (Friesen, 2015; Gómez-Díaz et al., 2009). Understanding how these processes have shaped the biogeographical history of shearwaters can provide important information for the conservation of these endangered pelagic seabirds.

Here, we use paired-end double-digest restriction site-associated DNA sequencing (PE-ddRAD-Seq) for almost all extant shearwater taxa to explore the drivers of diversification and speciation in this group of pelagic seabirds. Specifically, we perform divergence dating analyses to evaluate the impact of the Pliocene marine megafauna extinction and the Plio-Pleistocene climatic oscillations. We employ a multispecies coalescent approach (MSC) to account for the high levels of ILS affecting the shearwater phylogeny. We then infer the biogeographical history of the group by estimating ancestral ranges and evaluating the roles of founder events, vicariance and surface ocean currents in driving their diversification. Furthermore, we explore the ecological and geographical forces responsible for the variability in a key phenotypic trait, body size. Finally, we assess the validity of the current taxonomic classification of the group by analysing genomic patterns of recent shared ancestry and differentiation among shearwater taxa.

2 MATERIALS AND METHODS

2.1 Sampling and sequence data generation

We collected 68 blood or tissue samples from 25 of the 32 recognised species of shearwaters (Gill et al., 2020) (Table S1) representing all the major lineages in the group (Austin et al., 2004). Species that could not be included (Puffinus heinrothi, P. bannermani, P. bryani, P. myrtae, P. auricularis, P. persicus and P. subalaris) breed in remote islands, have very limited distributions and/or are categorised as Critically Endangered by the IUCN Red List of Threatened Species (http://www.iucnredlist.org/). Sampling was conducted under permits issued by the relevant authorities (see Acknowledgments). Sequence data for 51 of these samples were generated previously in a recent phylogenomic study (Ferrer Obiol et al., 2021).

For the new samples generated here, we extracted genomic DNA using the Qiagen DNeasy Blood and Tissue Kit according to the manufacturer’s instructions (Qiagen GmbH, Hilden). We used a Qubit Fluorometer (Life Technologies) to quantify and standardise DNA concentrations of all samples at 10 ng/μl. Approximately 250 ng of genomic DNA of each sample was sent to the Genomic Sequencing and Analysis Facility, University of Texas at Austin, to perform ddRAD library preparation following the Peterson et al. (2012) protocol. DNA was fragmented using an uncommon cutter EcoRI and a common cutter MspI in a single reaction. Illumina adapters containing sample-specific barcodes and Illumina indices were ligated onto the fragments and four pools were produced differing by their Illumina index. Barcodes differed by at least two base pairs to reduce the chance of inaccurate barcode assignment. Pooled libraries were size selected (between 150 and 300 bp after accounting for adapter length) using a Blue Pippin Prep size fractionator (Sage Science, Beverly, Ma) with 2% agarose cassettes. Libraries were amplified in a final PCR step for 10 PCR cycles prior to sequencing in a single lane on an Illumina HiSeq4000 platform with 150-bp paired-end (PE) reads.

2.2 PE-ddRAD-Seq data filtering and assembly

Raw reads were demultiplexed and cleaned using process_radtags in STACKS v2.41 (Rochette et al., 2019). To maximise the amount of biological information, we built loci using the forward reads with parameters optimised for this shearwater dataset (see Ferrer Obiol et al., 2021) using the USTACKS-CSTACKS-SSTACKS core clustering algorithm. We used the TSV2BAM program to incorporate reverse reads by matching the set of forward read IDs in each locus. We then assembled a contig for each locus, called SNPs using the Bayesian genotype caller (Maruki & Lynch, 2015, 2017) and phased haplotypes using GSTACKS. Subsequently, we mapped the GSTACKS catalogue to the Balearic shearwater (Puffinus mauretanicus) genome assembly ( Cuevas-Caballé et al., 2019) using BWA-MEM v. 0.7.17 (Li, 2013). We sorted and indexed the mapped reads using SAMtools v.1.4 (Li, 2011; Li et al., 2009) and integrated alignment positions to the catalogue using STACKS-INTegrate-AlIGNMENTS (Paris et al., 2017). Finally, we used the POPULATIONS program to filter single nucleotide polymorphism (SNP) data requiring a minimum minor allele count (MAC) of two to maximise taxon-specific variation and an observed heterozygosity below 50% to generate datasets for downstream analysis.
2.3 | Species tree inference

To estimate a time-calibrated species tree for shearwaters, we applied the SNP-based MSC approach of Stange et al. (2018) implemented in the SNaPP v.1.3 (Bryant et al., 2012) package of the program BEAST2 v.2.5.0 (Bouckaert et al., 2019). To prepare a suitable dataset for this method, we selected one or two individuals per taxon (51 individuals in total) and we exported called variants to variant call format (VCF). Because SNaPP assumes a single nucleotide substitution rate, we performed the analyses including only transitions to reduce heterogeneity in the evolutionary rate after checking that analysis using all the SNPs yielded comparable results. We further processed the VCF file with VCFTools v.0.1.15 (Danecek et al., 2011) to include only biallelic SNPs without missing data, to mask genotypes if the per-sample read depth was below 5 or above 150, or if the genotype quality was below 30. Finally, to remove potentially linked SNPs, we only retained SNPs separated by a minimum distance of 5000 bp. After filtering, we retained a dataset of 1397 transitions.

We followed recommendations of Stange et al. (2018) by constraining the root of the species tree to follow a normal distribution with a mean of 20.23 Mya and a standard deviation (SD) of 2 as reported by Ferrer Obiol et al. (2021) based on three fossil calibrations (see calibration strategy B therein) and a relaxed clock. SD was calculated to fit the posterior distribution for the root in Ferrer Obiol et al. (2021). This divergence time estimate for the root was further supported by a global study on birds using relaxed clocks (Jetz et al., 2012). As we were mainly interested in SNaPP’s ability to estimate divergence times rather than the tree topology, we fixed the species tree topology to that inferred by Ferrer Obiol et al. (2021) using UCE and ddRAD data. We also tested the robustness of divergence-time estimates by performing three additional analyses. First, we explored the effects of fixing the topology by also performing the analysis without the topology being fixed. Second, we evaluated the use of fossil calibrations using two additional calibration points based on those described in strategy B of Ferrer Obiol et al. (2021). Briefly, we used minimum age constraints based on fossils for the most recent common ancestor (MRCA) of Crown Calonectris and the MRCA of A. bulleri and A. pacifica. Third, we evaluated the effects of using a parameterisation that should prevent the inclusion of sequencing errors at the expense of removing taxon-specific variation by setting a minimum MAC of three in the POPULATIONS program. We used the ruby script snapp_prep.rb (https://github.com/mmatschiner/snapp_prep) to prepare the XML file for SNaPP analyses. For each analysis, we conducted three replicate runs, each with a run length of 500,000 Markov-chain Monte Carlo (MCMC) iterations. Convergence and stationarity were confirmed for each run (effective sample sizes >300) using TRACER v.1.7.1 (Rambaut et al., 2018). The first 10% of each MCMC was discarded as burn-in, and posterior distributions of run replicates were merged to generate maximum-clade-credibility (MCC) trees with node heights set to mean age estimates with TREEANNOTATOR (Heled & Bouckaert, 2013). SNaPP trees were visualised in DENSITREE v.2.2.7 (Bouckaert, 2010).

Because the Stange et al. (2018) approach only estimates a single value of \( \theta \) for all branches, we also constructed a SNaPP phylogeny using the finite-sites model implemented in SNaPP to estimate \( \theta \) values for each branch. This approach allows the estimation of both branch lengths (times) and population sizes (\( \theta \)) for each branch (Bryant et al., 2012).

2.4 | Ancestral range estimation

We performed biogeographical analyses to estimate ancestral ranges and to examine patterns of shearwater dispersal across five broad areas. The five areas were chosen based on contemporary shearwater breeding ranges: Southern Australia and New Zealand (A), Southern Ocean (B), North and Tropical Pacific Ocean (C), Tropical Indian Ocean (D), and North Atlantic Ocean and Mediterranean Sea (E). We set the limit between areas A and B at the Subtropical Front (Sutton, 2001). The R package ‘BioGeoBEARS’ v. 1.1.2 (Matzke, 2013) was used to estimate ancestral ranges using likelihood versions of three models: dispersal–extinction–cladogenesis (DEC; Ree & Smith, 2008), dispersal–vicariance (DIVA; Ronquist, 1997), and BayArea (Landis et al., 2013), and the time-calibrated shearwater tree. We compared the ancestral range estimates of these models with and without the founder-event speciation parameter (\( j \)) under two scenarios: one that allowed unrestricted dispersal between all areas and another that limited dispersal between areas connected by major surface ocean currents from the Pliocene to the present, when most of the shearwater diversification occurred (Figure 1). Corrected Akaike information criterion (AICc) and AICc weights were used to select the best-fit scenario for the models with and without the \( j \) parameter separately, because the DEC + \( j \) model has been criticised for not being statistically comparable to the DEC model (Ree & Sanmartin, 2018).

To infer the ancestral range of the shearwaters’ MRCA, we used the ranges of the two most closely related outgroup lineages (Estandia et al., 2021) (for which no ddRAD data are available): genus Procellaria, and genera Pseudobulweria and Bulweria. Pseudobulweria rostrata, Bulweria bulwerii, Procellaria westlandica and Procellaria cinerea were chosen because they represent the totality of ranges within their clades. Divergence times between the outgroups and shearwaters and among the outgroups were retrieved from Jetz et al. (2012) using the TimeTree database (Kumar et al., 2017). Outgroups were incorporated into the time-calibrated shearwater tree using the bind.tree function from the ‘ape’ package (Paradis & Schliep, 2019) in R.

2.5 | Phylogenetic comparative analyses

To evaluate the potential predictors of body size in shearwaters, we performed univariate and multivariate phylogenetic generalised least squares regressions (PGLS) using the R package ‘caper’ (Orme et al., 2013). Specifically, we considered four body size measures: mean body mass, range of body mass (maximum body...
mass − minimum body mass), wing length and total body length, and five predictors: minimum, mean and maximum breeding latitudes (in absolute values), latitudinal range occupied by a species year-round (maximum latitude − minimum latitude of the overall distribution of the species) and migratory strategy (long-distance migrant, short-distance migrant or dispersive/sedentary). Additionally, we retrieved wingspan measurements to obtain a mean body mass measure corrected by body surface (mean body mass/(body length × wingspan)). Data were retrieved from Birds of the World (Billerman et al., 2020) and Onley and Scofield (2013). Following recommendations of Revell (2010), we simultaneously estimated the $\lambda$ parameter (Pagel, 1999) to account for deviations from a pure Brownian motion (BM). To ensure the absence of collinearity among predictors in multivariate PGLS, we only incorporated a single breeding latitude predictor (maximum breeding latitude, which showed the strongest correlations in the univariate analyses). For all predictors retained, variance inflation factors were all under six, which is below the suggested threshold of 10 (Hair et al.,...
1998). PGLS analyses were run using the time-calibrated tree. Unsampled species were incorporated into the phylogeny using the bind.tip function from the R package ‘phytools’ (Revell, 2012) according to the phylogenetic position and branch lengths from previous phylogenetic studies (Austin et al., 2004; Kawakami et al., 2018; Martínez-Gómez et al., 2015; Pyle et al., 2011). We estimated ancestral states for body size measures using the function fastAnc in the R package ‘phytools’ and visualised the reconstructions with phenograms using the R package ‘ggtree’ (Yu et al., 2017). We also reconstructed ancestral states for migratory strategy using maximum likelihood (ML) with the function rerootingMethod in the R package ‘phytools’. We also modelled the correlation between the nucleotide substitution rate and the equilibrium GC content (GC*) with mean body mass and the number of breeding pairs as a multivariate Brownian motion in COEVOL (Lartillot & Poujol, 2011; see Supplementary Information Text).

The time-calibrated tree was also used to calculate evolutionary distinctness (ED) scores and EDGE scores (i.e. evolutionary distinctness and globally endangered status; Isaac et al., 2007), based on IUCN Red List of Threatened Species threat status (GE, as of June 2020; http://www.iucnredlist.org/), calculated in the R package ‘caper’. EDGE scores for each species were calculated as follows: EDGE = ln(1 + ED) + GE × ln(2).

2.6 Patterns of recent coancestry and sequence divergence

To explore congruence between the current shearwater taxonomic classification and the genetic structure among species, we usedFINERaDStRUCTURE v0.3.2 (Malinsky et al., 2018) to infer the shared ancestry among all individuals. FINERaDStRUCTURE uses haplotype linkage information to derive a coancestry matrix based on the most recent coalescent events. We exported haplotypes for loci present in at least 75% of the individuals to RADPAINTER format using POPULATIONS, resulting in a set of haplotypes for 8049 PE-ddRAD loci containing a total of 94,811 SNPs. RADPAINTER was used to infer a coancestry matrix and the FINESTRUCTURE MCMC clustering algorithm was used to assign individuals into clusters, with a burn-in period of 100,000 generations and an extra 100,000 MCMC iterations sampled every 1000 generations. To arrange the clusters based on their relationships within the coancestry matrix, we built a tree within FINESTRUCTURE using default parameters. To visualise the results, we used the R scripts fineradstructureplot.r and finestructurelibrary.r (available at http://cichlid.gurdon.cam.ac.uk/finerADstructure.html).

As an additional approach to examining congruence between the current shearwater taxonomic classification and genomic divergence, we examined the distribution of pairwise genetic distances using loci present in at least 95% of the individuals (1561 loci; 17,675 SNPs). Briefly, we exported variants into a VCF file using POPULATIONS in Stacks, we converted the VCF file into a DNAbin object using the R package ‘vcfR’ (Knaus & Grünwald, 2017), and we calculated pairwise distances using the dist.dna function from the ‘ape’ package in R.

3 | RESULTS

We recovered an average of 1,227,032 (SD = 815,798) PE-ddRAD reads per sample (Table S1) that were assembled to an average of 24,621 loci per sample, with a mean coverage per sample of 39× (SD = 19). Locus length ranged from 140 to 239 bp with a median of 198 bp (SD = 25.5).

3.1 Bayesian divergence time estimation with SNP data

The SNAPP phylogeny revealed largely the same topology as a previous phylogenetic study based on the same data (Ferrer Obiol et al., 2021), except for the relationship between A. grisea and A. tenuirostris (Table S2; Figure S1). This incongruence was already identified in the previous study using different methods and datasets, and was caused by high levels of ILS, rate heterogeneity and GC-biased gene conversion.

Using a constraint for the age of the root, we estimated the time-calibrated tree shown in Figure 1. The time to the most recent common ancestor (TMRCA) of Puffinus was the oldest among the three genera, estimated at 10.39 Mya (95% HPD: 12.67–7.97 Mya). The TMRCA of Ardenna was inferred to be 5.58 Mya (95% HPD: 6.84–4.29 Mya) and the TMRCA of Calonectris 4.30 Mya (95% HPD: 5.43–3.10 Mya). If the divergence times are accurate, then shearwater speciation increased during the Pliocene reaching a peak by the late Pliocene (Figure 1), when most of the modern biogeographical groups of shearwaters were already present.

Using the same three fossil calibrations (see Materials and Methods), shearwater divergence times inferred using the MSC were on average 1.27 My younger than those estimated by Ferrer Obiol et al. (2021) using concatenation (Table S2). MSC analyses using these fossil calibrations resulted in slightly older estimates (0.13 My older on average) compared to the same analyses using a single age constraint on the root (Figure S2, Table S2). Fixing the topology had a negligible effect on age estimates (0.03 My older on average). Conversely, analysis using a minimum MAC of three resulted in considerably younger age estimates (0.57 My on average), highlighting the importance of maximising taxon-specific variation in divergence dating analyses (see Linck & Battey, 2019 for a full discussion on the impacts of the minor allele frequency threshold on large DNA sequence datasets).

The mean population size across all shearwater species estimated by SNAPP was N = 63,555 individuals (95% HPD: 50,390–77,155) when assuming the lowest generation time estimated for a shearwater species (13 years; Genovart et al., 2016), and N = 43,485 individuals (95% HPD: 34,477–52,790) when assuming the highest estimated value (19 years; Birdlife International, 2020). However,
SNAPP analysis without age constraints showed a notable variation in $\theta$ estimates even between sister species (Figure S3), suggesting frequent changes in population size in the evolutionary history of shearwaters.

### Biogeographical analysis

#### Table 1: Comparison of models of ancestral range estimation for the shearwaters

| Model       | Dispersal                                      | LnL   | Parameters | $d$    | $e$    | $j$    | AICc  | AICc weight (%) |
|-------------|------------------------------------------------|-------|------------|-------|-------|-------|-------|-----------------|
| DEC         | Unrestricted                                   | −61.35| 2          | 0.0155| 0.0027| 0     | 127.14| 4.0             |
| DEC         | Restricted to areas connected by currents      | −60.17| 2          | 0.0193| 0.0009| 0     | 124.78| 13.0            |
| DIVALIKE    | Unrestricted                                   | −60.38| 2          | 0.0230| 0.0045| 0     | 125.20| 10.5            |
| DIVALIKE    | Restricted to areas connected by currents      | −58.45| 2          | 0.0295| 0.0035| 0     | 121.34| 72.5            |
| BAYAREALIKE | Unrestricted                                   | −92.31| 2          | 0.0441| 0.1288| 0     | 189.06| 0.0             |
| BAYAREALIKE | Restricted to areas connected by currents      | −90.71| 2          | 0.0648| 0.1309| 0     | 185.86| 0.0             |
| DEC + J     | Unrestricted                                   | −52.77| 3          | 0.0057| $1 \times 10^{-12}$ | 0.1020| 112.46| 1.9             |
| DEC + J     | Restricted to areas connected by currents      | −49.97| 3          | 0.0071| $1 \times 10^{-12}$ | 0.1644| 106.86| 31.8            |
| DIVALIKE + J| Unrestricted                                   | −52.16| 3          | 0.0081| $1 \times 10^{-12}$ | 0.0874| 111.24| 3.6             |
| DIVALIKE + J| Restricted to areas connected by currents      | −49.33| 3          | 0.0100| $1 \times 10^{-12}$ | 0.1410| 105.58| 60.3            |
| BAYAREALIKE + J | Unrestricted                                   | −54.24| 3          | 0.0049| $1 \times 10^{-7}$ | 0.1375| 115.40| 0.4             |
| BAYAREALIKE + J | Restricted to areas connected by currents | −52.76| 3          | 0.0055| $1 \times 10^{-7}$ | 0.1733| 112.44| 1.9             |

Models with and without the founder-event parameter ($j$) are shown separately and for each case the model with the highest AICc weight is shown in bold.

Under all tested models, ancestral range estimation analyses, including a dispersal matrix restricting dispersal between areas connected by main historical and present surface ocean currents, had lower AICc than models with an unrestricted dispersal matrix (Table 1). DIVALIKE + $j$ models had lower AICc than BAYAREALIKE models, especially when the founder-event parameter ($j$) was not included, suggesting that some speciation events in shearwaters might be the result of vicariance. However, in models including founder-event speciation, the $j$ parameter ranged from 0.0874 to 0.1733 and the rate of range expansion ($d$) was an order of magnitude smaller, showing that founder events have a higher probability of explaining most of the data than range expansion.

Indeed, the likelihood ratio test (LRT) between the best DIVALIKE + $j$ model and a simplified DIVALIKE + $j$ model (with the founder-event parameter excluded) showed that the latter was strongly favoured ($p = 1.9 \times 10^{-5}$).

Under the best DIVALIKE + $j$ model, the South Australia–New Zealand area showed the highest support as the ancestral region of shearwaters (marginal ML probability = 0.44), followed by the North and Tropical Pacific (0.37), the South Australia–New Zealand area (0.27) or both (0.16). On the other hand, Ardenna was also traced to the South Australia–New Zealand area (0.54). On the other hand, Calonectris had an unequivocal origin in the Northern Hemisphere (North Atlantic and North and Tropical Pacific = 0.45, North and Tropical Pacific = 0.45), whereas the ancestral area of the MRCA of Puffinus was estimated as either the North and Tropical Pacific (0.37), the South Australia–New Zealand area (0.27) or both (0.16).
mean body mass, with the strongest correlation recovered for maximum breeding latitude (Figure S5c; adjusted $R^2 = 0.195$).

Multivariate PGLS showed that migratory strategy was the only significant predictor of mean body mass and latitudinal range was the only significant predictor of body mass range when using migratory strategy, latitudinal range and maximum breeding latitude as predictors (Figure 2a). As shown in the phenogram of ancestral state reconstructions for body mass in Figure 2b, striking differences in body mass between sister clades are common in shearwaters, showing that body mass changes may be important during speciation. The values of the reconstructions for mean body mass should be interpreted cautiously, due to the lack of fossil calibrations to calibrate the ancestral state reconstructions. The main plot is an amplification of the same phenogram from the Pliocene onwards, as depicted by the grey shaded area in the inset. Edge colours indicate the three genera: Calonectris (purple), Ardenna (green) and Puffinus (orange). Heatmaps next to the phenogram show the migratory strategy and the latitudinal range for each species.

### 3.4 Genomic divergence and taxonomy

The fineRADstructure analysis identified three major clusters corresponding to the three shearwater genera (Figure 3). Further subdivisions within each group largely supported the most recent shearwater phylogeny (Ferrer Obiol et al., 2021), and all the species and subspecies included in the study were recovered as unique clusters by the fineSTRUCTURE clustering algorithm (Lawson et al., 2012), except for P. mauretanicus and P. yelkouan, which were aggregated in a single cluster.

Overall, the distributions of genetic distances were consistent with the current taxonomic classification. However, the distributions of distances within and among species showed some overlap (Figure 4). The genetic distances between A. creatopus and A. carneipes, and between P. mauretanicus and P. yelkouan, were within the distribution of genetic distances within the same subspecies (first column in Figure 4). In addition, the genetic distances between P.
**DISCUSSION**

This study presents a fundamental analysis of the potential drivers of diversification and speciation in a major group of seabirds, by constructing a MSC time-calibrated species tree and performing biogeographical analysis for shearwaters based on a fully resolved phylogeny. This allowed us to explore the drivers of shearwater diversification and to reconstruct their biogeographical history. Specifically, we discuss the importance of palaeoceanographic events, founder-event speciation and oceanic currents in shearwater diversification. We also discuss the role of body size in shearwater evolution, and we consider potential ecological and evolutionary forces that may have shaped its evolution. Lastly, we use the evidence uncovered here to explain incongruences between the current taxonomic classification and the patterns of genomic divergence.
4.1 Limitations of divergence time estimation using SNAPP

A limitation of the SNAPP approach for absolute divergence time estimation is the assumption of equal and constant population sizes on all branches of the phylogeny (Stange et al., 2018). This assumption is clearly violated in our analysis, as shown in the SNAPP results without any age constraint (Figure S3). As a result, our divergence times might be slightly overestimated for lineages with larger population sizes than the overall estimation and vice versa. An additional limitation is the heterogeneity in substitution rates among shearwater lineages (Ferrer Obiol et al., 2021), which would likely benefit from the use of a relaxed clock (Drummond et al., 2006; Rannala & Yang, 2007) instead of the strict clock model implemented by Stange et al. (2018). Nonetheless, previous analyses to select a clock model for this PE-ddRAD dataset showed that the strict clock model obtained the best marginal likelihoods (Ferrer Obiol et al., 2021), which would likely benefit from the use of a relaxed clock (Drummond et al., 2006; Rannala & Yang, 2007) instead of the strict clock model implemented by Stange et al. (2018). Nonetheless, previous analyses to select a clock model for this PE-ddRAD dataset showed that the strict clock model obtained the best marginal likelihoods (Ferrer Obiol et al., 2021). We therefore do not expect this limitation to significantly reduce accuracy. Despite these limitations, given the relatively high overall population size estimated in this study, and given the shallow time-scales encompassed by the shearwater phylogeny, we argue that the older divergence times estimated by concatenation analyses (Table S2) are most likely caused by a higher degree of node age error in the latter analyses, potentially caused by failing to fully consider the role of ILS (Angelis & Dos Reis, 2015).

4.2 Biogeographical history of shearwaters

Our biogeographical analyses suggest that founder events are the main mechanism of speciation in shearwaters, as expected for highly mobile species breeding on islands (Matzke, 2014). Unlike other Procellariiformes (Friesen, Smith, et al., 2007), sympatric speciation has not been described in shearwaters. Indeed, very few records of sister species inhabiting the same island exist in the wild and are limited to marginal overlaps between parapatric species (Navarro, Forero, et al., 2009). The biogeographical analyses suggest that shearwater dispersal is favoured by surface ocean currents; nevertheless, we cannot draw firm conclusions given the reduced differences in log-likelihood (<3 units) between ancestral range estimation models with or without a dispersal matrix that restricted dispersal to areas connected by surface ocean currents (Table 1). Ocean currents play a huge role in marine productivity and represent important foraging areas for seabirds throughout the world (Block et al., 2011; Péron et al., 2012). In addition, several studies have shown that winds are a major determinant of foraging ranges and migratory routes of seabirds, especially in the Procellariiformes (González-Solís et al., 2009; Weimerskirch et al., 2012). Winds are also a primary driver of surface ocean currents; hence, our study suggests that winds could also be an important determinant of species dispersal in the Procellariiformes.

Ancestral range estimation analyses inferred the South Australia–New Zealand area as the ancestral region of shearwaters with the highest support followed by the Northern and Tropical...
adaptations of the three genera (Olson, & Rasmussen, 2001). These adaptations allowed them to routinely dive to depths of 55 m (Shoji et al., 2016), providing advantages for reaching prey in the nutrient-poor tropical and subtropical waters of the Pacific (inaccessible to most other tropical seabirds; Burger, 2001), where the MRCA of Puffinus most probably originated based on the current ancestral range estimation analyses and the fossil record (Miller, 1961). The divergence between the Atlantic and Pacific Puffinus clades (Node 4 in Figure S2) occurred ~5.46 Mya, which is more than one My earlier than the split between the Atlantic and Pacific Calonectris lineages. This difference could be due to the lower mobility of Puffinus shearwaters compared to Calonectris and Ardenna and suggests another vicariant event as the result of the gradual closure of the Isthmus of Panama. Indeed, most extant Puffinus species are short-distance migrants or dispersers that remain close to their breeding sites throughout the year (e.g. Ramos et al., 2020). The lower dispersal of Puffinus compared to other shearwater genera may have reduced gene flow and promoted higher species richness. The population sizes of Puffinus species tend to be small and many had the highest EDGE scores (Table 2), which is a metric that identifies those threatened species that deserve conservation attention because of their unique evolutionary history. Predation by invasive alien species is the main current threat for seabirds (Croxall et al., 2012) and is a principal cause of population declines among Puffinus species (Rodríguez et al., 2019). Enhanced by predation, intraspecific and interspecific competition for nest sites plays an important role in limiting populations of small Procellariiformes, such as Puffinus shearwaters (Ramos et al., 1997). At sea, fisheries bycatch is also a main threat for Puffinus shearwaters (Cortés et al., 2017) and one that could drive some species to extinction unless conservation measures are put in place (Genovart et al., 2016). These are likely some of the main reasons why Puffinus shearwaters have the highest number of endangered species among the shearwaters.

Divergence time estimation analyses suggested that across the three genera, the late Pliocene was likely a period of high and rapid speciation and dispersal, as shown by the peak in diversification and dispersal during this period (Figure 1). For instance, Puffinus spread from the Pacific to the North Atlantic, the Southern Ocean and the Indian Ocean during a rapid radiation. During the Cenozoic, the largest global sea-level changes and oscillations occurred in the Pliocene and Pleistocene (Miller et al., 2005). Neritic waters, which represent the main foraging grounds for medium and large shearwaters, especially during the breeding period, suffered a significant sudden reduction during the late Pliocene followed by extreme fluctuation and gradual reduction over the Pleistocene (Pimiento et al., 2017). Global oceanographic changes, such as the end of permanent El Niño, the closure of the Isthmus of Panama and the formation of the Arctic ice cap (Fedorov et al., 2006; O’Dea et al., 2016), may have been the cause of such reduction. This reduction has been hypothesised to be the cause of a threefold increase in the extinction rate of megafauna associated with coastal habitats (Pimiento et al., 2017). In shearwaters, ~36% of the known extinct fossil species are from the Pliocene (Howard, 1971; Olson, 1985; Olson, & Rasmussen, 2001); together with the long stems in the three shearwater genera (Figure 1), this
suggests that the Pliocene extinction may have severely affected the group. The subsequent burst of speciation and dispersal could have been associated with late Pliocene and Pleistocene climatic shifts that may have promoted geographical splitting and bottlenecks (Avise & Walker, 1998; Gómez-Díaz et al., 2006). An increase in diversification during this period has also been detected in other seabird groups such as penguins (Spheniscidae; Vianna et al., 2020) and even in deep sea species (Eilertsen & Malaquias, 2015).

4.3 | Body mass as a key phenotypic trait

In the Procellariiformes, body mass is a trait closely related to fitness at the intraspecific level. For instance, body condition (body mass corrected by overall body size) of the progenitors affects breeding success in several species (Barbraud & Chastel, 1999; Tveraa et al., 1998). On the other hand, at the interspecific level, the drivers of body mass variation are poorly understood despite the high variation exhibited by the Procellariiformes (Nunn & Stanley, 1998). Our results shed some new light on potential behavioural and distributional drivers that may be affecting body mass variation in the Procellariiformes, although caution must be taken at interpreting our findings that are merely correlational.

Migratory strategy was the best evaluated predictor for mean body mass (Figure 2). Migratory species tend to have longer wings (Marchetti et al., 1995; Minias et al., 2015) and longer and larger bones to increase the surface available for attachment of the main muscles involved in active flight (Calmaestra & Moreno, 2000). In shearwaters, the significant correlations of all the other body size measures with migratory strategy seem to support these general rules for birds. The stronger correlation between migratory strategy and mean body mass may be the product of body mass being a measure that integrates the effect of different adaptations to a migratory lifestyle. Alternatively, the correlations of other body size

| Scientific name | Breeding pairs | IUCN Red List Status (GE score for EDGE calculation; IUCN 2019) | Evolutionary distinctness (ED) | EDGE score |
|-----------------|---------------|---------------------------------------------------------------|-----------------------------|------------|
| Ardenna bulleri | 350,000       | Vulnerable (2)                                                | 6.9                         | 3.5        |
| Ardenna carneipes | 74,000      | Near Threatened (1)                                           | 3.9                         | 2.3        |
| Ardenna creatopus | 29,573       | Vulnerable (2)                                                | 3.9                         | 3.0        |
| Ardenna gravis | 6,800,000    | Least Concern (0)                                             | 4.8                         | 1.8        |
| Ardenna grisea | 4,400,000    | Near Threatened (1)                                           | 5.2                         | 2.5        |
| Ardenna pacifica | 4,966,000   | Least Concern (0)                                             | 6.9                         | 2.1        |
| Ardenna tenuirostris | 14,800,000 | Least Concern (0)                                             | 5.3                         | 1.8        |
| Calonectris borealis | 252,500   | Least Concern (0)                                             | 5.5                         | 1.9        |
| Calonectris diomedea | 182,000     | Least Concern (0)                                             | 5.5                         | 1.9        |
| Calonectris edwardsii | 6312       | Least Concern (1)                                             | 5.7                         | 2.6        |
| Calonectris leucomelas | 1,000,000  | Near Threatened (1)                                           | 7.9                         | 2.9        |
| Puffinus assimilis haurakiensis | 10,000   | Least Concern (0)                                             | 4.4                         | 1.7        |
| Puffinus bailloni bailloni | 4080     | Least Concern (0)                                             | 3.0                         | 1.4        |
| Puffinus bailloni dichrous | 60,500    | Least Concern (0)                                             | 2.7                         | 1.3        |
| Puffinus bailloni nicolae | 120,000    | Least Concern (0)                                             | 2.7                         | 1.3        |
| Puffinus baroli | 3360         | Vulnerable (2)                                                | 3.1                         | 2.8        |
| Puffinus boydi | 5000         | Near Threatened (1)                                           | 3.1                         | 2.1        |
| Puffinus elegans | 16,100       | Least Concern (0)                                             | 4.4                         | 1.7        |
| Puffinus gavia | 100,000      | Least Concern (0)                                             | 5.9                         | 1.9        |
| Puffinus huttoni | 114,000      | Endangered (3)                                                | 5.9                         | 4.0        |
| Puffinus herminieri | 15,700      | Near Threatened (1)                                           | 3.8                         | 2.3        |
| Puffinus mauretanicus | 3142       | Critically Endangered (4)                                     | 3.1                         | 4.2        |
| Puffinus nativitatis | 50,000      | Least Concern (0)                                             | 11.0                        | 2.5        |
| Puffinus newelli | 5000         | Critically Endangered (4)                                     | 4.8                         | 4.5        |
| Puffinus opisthomelas | 41,000     | Near Threatened (1)                                           | 4.8                         | 2.5        |
| Puffinus puffinus | 399,500      | Least Concern (0)                                             | 4.7                         | 1.7        |
| Puffinus yelkouan | 22,928        | Vulnerable (2)                                                | 3.1                         | 2.8        |
measures with migratory strategy may have higher error associated with the measurements as these may depend on the sampler.

Within an endothermic species or a group of closely related endothermic species, individuals inhabiting colder habitats and higher latitudes tend to be larger than those inhabiting warmer environments and lower latitudes (Bergmann, 1848). This geographical pattern in body size holds for birds throughout the world at the intraspecific (Ashton, 2002; Meiri & Dayan, 2003) and interspecific levels (Bergmann, 1848) although the mechanisms responsible for the generation of this trend are subject to much debate (Ashton, 2002; Meiri, 2011). In shearwaters, this pattern has also been shown to apply to intraspecific body size variation in the Streaked Shearwater (Calonectris leucomelas; Yamamoto et al., 2016). Among shearwater species, we also found a positive significant correlation between breeding latitude and mean body mass (Figure 2 and Figure S5c), despite previous studies that have shown that conformity to Bergmann’s Rule tends to be weaker for migratory and enclosed nesting species (Mainwaring & Street, 2021; Meiri & Dayan, 2003). The correlation was strongest between maximum breeding latitude and mean body mass corrected by body surface ($R^2 = 0.387$; Table S3), suggesting that heavier bodies, independent of body size, might provide a better adaptation to thrive in higher and colder latitudes. However, the lack of significance of breeding latitude as a predictor of mean body size when modelled in a multivariate framework suggests that these correlations could also be indirectly driven by a higher tendency of species living in higher latitudes to be migratory and/or by differences in diving behaviour, which could not be explored in this study.

The strong association between body mass range and latitudinal range is likely twofold. On the one hand, exploiting larger foraging areas may allow for ecological segregation between sexes and size dimorphism (De Felipe et al., 2019). Indeed, ecological segregation has been shown to be the most likely cause of size dimorphism in other Procellariiformes (González-Solís, 2004). On the other hand, larger body mass differences may arise between individuals that are more efficient at exploiting the available resources compared to those that are less efficient. This might provide the substrate for sexual selection to act on body mass. Indeed, higher body condition has been associated with higher breeding success in several species of Procellariiformes (Barbraud & Chastel, 1999; Barbraud & Weimerskirch, 2005).

4.4 Considerations of shearwater taxonomic classification

Species delimitation in shearwaters is a challenging and controversial topic, partly due to their remarkably similar morphology (Austin et al., 2004). Conflict has arisen among morphological studies, and analyses based on genetic data (i.e. mtDNA and microsatellites), and also between different genetic datasets (Austin, 1996; Genovart et al., 2013; Gómez-Díaz et al., 2009). In addition, despite being a promising trait for species delimitation, analyses of shearwater vocalisations are limited (Bretagnolle, 1996). Genome-wide datasets have the potential to provide fine-scale population structure and genomic divergence estimates that can inform taxonomy. Despite the high resolution of our PE-ddRAD dataset, fineRADstructure analysis showed no structure between P. mauretanicus and P. yelkouan (Figure 3). Furthermore, although we do not consider there to be a genetic cut-off for species-level divergence, the genetic distances between these recently diverged species and those between A. creatopus and A. carneipes were the lowest amongst any pair of species and overlapped with the genetic distances observed between individuals of the same subspecies (Figure 4). P. mauretanicus and P. yelkouan were granted species status based on morphological and osteological characters and reciprocal monophyly using cytochrome b sequences (Heidrich et al., 1998; Sangster et al., 2002). However, more recently, a lack of correspondence at the individual level was found between phenotypic characters, stable isotope analyses, nuclear and mtDNA, and was attributed to admixture between the two species (Genovart et al., 2012; Militão et al., 2014). A. creatopus and A. carneipes are widely considered as two different species in taxonomic checklists (Carboneras, & Bonan, 2019; Gill et al., 2020), but some authors have argued that they should be considered conspecific based on the lack of uniform differentiation in colour and size (Bourne, 1962) and on low mtDNA differentiation (Penhallurick & Wink, 2004). These species pairs differ in plumage colouration and body size, which are known to be labile traits even within species of shearwaters. Dark and pale phases can be found within a single species (i.e. A. pacifica) and some species exhibit a continuum from pale to dark (i.e. P. mauretanicus). Body size covaries with migratory behaviour (see previous section), can be under selection (Barbraud, 2000; Navarro et al., 2009), and thus could evolve rapidly under strong selection pressures. In addition to the aforementioned species pairs, other shearwater species showed weak patterns of population structure and genetic distances within the interval among different subspecies: P. boydi and P. baroli, and the three Atlantic Calonectris species. These species complexes are the subject of ongoing taxonomic debate (Genovart et al., 2013; Gómez-Díaz et al., 2009; Olson, 2010; Ramos et al., 2020; Sangster et al., 2005). As a final consideration, our analyses based on genomic data and the ongoing taxonomic debate suggest that the species status of these taxa should be re-evaluated. Future studies should use species delimitation approaches under an integrative taxonomic framework, combining genomic data with a thorough morphological re-evaluation including a detailed evaluation of vocalisations. Further research should also include the taxa that could not be sampled during this study, particularly taxa from the tropical Pacific that breed in remote islands and have very limited distributions and low population sizes.

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CONFLICT OF INTEREST
Authors declare no conflicts of interest.

DATA AVAILABILITY STATEMENT
Raw PE-ddRAD reads are archived on the European Nucleotide Archive (ENA) under the accession number PRJEB38458. Files containing processed data at several stages including VCF format and XML files for SNAPP analyses, PHYLIP format file for CoEvol analysis, fineSTRUCTURE input files and MCC SNAPP trees are available from the Dryad Digital Repository: https://doi.org/10.5061/dryad.qv9s4 mwg2. Scripts used in this paper are available at https://github.com/jferrerobiol/shearwater_biogeography.

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SUPPORTING INFORMATION

Additional supporting information may be found in the online version of the article at the publisher’s website.

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