Severe Septic Patients with Mitochondrial DNA Haplogroup JT Show Higher Survival Rates: A Prospective, Multicenter, Observational Study

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Abstract

Objective: In a previous cohort study (n=96), we found an association between mitochondrial (mt) DNA haplogroup JT and increased survival of severe septic patients, after controlling for age and serum lactic acid levels. The aim of this research was to increase the predictive accuracy and to control for more confounder variables in a larger cohort (n=196) of severe septic patients, to confirm whether mtDNA haplogroup JT influences short and medium-term survival in these patients.

Methods: We conducted a prospective, multicenter, observational study in six Spanish Intensive Care Units. We determined 30-day and 6-month survival and mtDNA haplogroup in this second cohort of 196 patients and in the global cohort (first and second cohorts combined) with 292 severe septic patients. Multiple logistic regression and Cox regression analyses were used to test for the association of mtDNA haplogroups JT with survival at 30-days and 6-months, controlling for age, sex, serum interleukin-6 levels and SOFA score.

Results: Logistic and Cox regression analyses showed no differences in 30-day and 6-month survival between patients with mtDNA haplogroup JT and other haplogroups in the first cohort (n=96). In the second cohort (n=196), these analyses showed a trend to higher 30-day and 6-month survival in those with haplogroup JT. In the global cohort (n=292), logistic and Cox regression analyses showed higher 30-day and 6-month survival for haplogroup JT. There were no significant differences between J and T sub-haplogroups in 30-day and 6-month survival.

Conclusions: The global cohort study (first and second cohorts combined), the largest to date reporting on mtDNA haplogroups in septic patients, confirmed that haplogroup JT patients showed increased 30-day and 6-month survival. This finding may be due to single nucleotide polymorphism defining the whole haplogroup JT and not separately for J or T sub-haplogroups.

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Introduction

Severe sepsis is a common, expensive, and frequently fatal condition [1,2]. Genetic factors may influence mortality in septic patients. Sepsis-related polymorphism studies have most commonly focused on genetic variants for specific genes whose protein products are elements of biologic pathways implicated in sepsis. These have included pro- and anti-inflammatory cytokines, innate immunity and coagulation/fibrinolysis pathways [3-5].

Although most genetic studies have focused on the immune system, recovery after sepsis is directly related to physiological reserve which is critically dependent on mitochondrial function [6]. Thirteen subunits of the mitochondrial oxidative phosphorylation system, the major pathway of cellular energy production, are coded in mitochondrial DNA (mtDNA). Interestingly, in 137 and 181 septic patients from a Chinese Han population, mtDNA macrolineage R was found to be a strong independent predictor of sepsis survival at 30 days [7] and six-months [8], respectively. In China, this macrolineage is found in approximately 35% of the population and mainly includes haplogroups B and F. In Europe, the macrolineage R includes haplogroups that are different from those of Asia. Thus, haplogroups HV, U and JT account for around 90% of the European population. Haplogroup HV contains haplogroup H. In 148 septic patients from England, haplogroup H was found to be a strong independent predictor of six-month outcome in patients with severe sepsis [6]. More recently, in 96 septic patients from Spain, we found that mtDNA haplogroup JT was associated with higher one-month survival, after controlling for age and serum lactic acid levels [9].

The aim of this research was to increase the predictive accuracy and to control for more confounder variables in a larger cohort (n=196) of severe septic patients, to confirm whether mtDNA haplogroup JT influences short and medium-term survival in these patients.

Methods

Design and subjects

A prospective, multicentre observational study was carried out in six Spanish intensive care units (ICUs). The Institutional Review Boards of the six hospitals approved this study: Hospital Universitario de Canarias (La Laguna, Santa Cruz de Tenerife, Spain), Hospital Universitario Nuestra Señora de Candelaria (Santa Cruz de Tenerife, Spain), Hospital Universitario Dr. Negrín (Las Palmas de Gran Canaria, Spain), Hospital Clínico Universitario de Valencia (Valencia, Spain), Hospital San Jorge (Huesca, Spain) and Hospital Insular (Las Palmas de Gran Canaria, Spain). Written informed consent from the patients or from their family members was obtained. We included patients with the diagnosis of severe sepsis according to the criteria of the International Sepsis Definitions Conference [10]. Exclusion criteria were: age < 18 years, pregnancy, lactation, infection by human immunodeficiency virus (HIV), white blood cell count < 1,000/mm³, solid or haematological tumour, or immunosuppressive, steroid or radiation therapy.

The following variables were recorded at baseline for each patient: gender, age, diabetes mellitus, chronic obstructive pulmonary disease (COPD), ischemic heart disease, ischemic stroke, site of infection, microorganism responsible, bloodstream infection, empiric antimicrobial therapy (this was considered adequate if the microorganism responsible of sepsis was susceptible at least to one antimicrobial agent used), mean blood pressure, septic shock, need and dose of norepinephrine, need and dose of dobutamine, pressure of arterial oxygen/fraction inspired (PaO₂/FIO₂), creatinine, bilirubin, leukocytes, lactic acid, platelets, international normalized ratio (INR), activated partial thromboplastin time (aPTT), interleukin-6, Acute Physiology and Chronic Health Evaluation (APACHE)-II score [11] and sepsis-related organ failure assessment (SOFA) score [12]. We used survival at 30 days and 6 months as endpoints.

Haplogroup determination

Blood samples were collected from patients at the time of diagnosis of severe sepsis and frozen at -80°C. DNA was extracted following standard protocols and mtDNA haplogroup was determined by real time-polymerase chain reaction (RT-PCR). Three single nucleotide polymorphisms (SNPs) defining very frequent haplogroups JT (m.4216C), H (m.7028C) and U (m.12308G) were genotyped in all the samples. Fourteen other mtDNA SNPs (m.1811G, m.3010A, m.4336C, m.4580A, m.4769A, m.9477A, m.10873C, m.13708A, m.14766C, m.14793G, m.14798C, m.15218G, m.15257A, m.15693C) were analyzed using a hierarchic approach, taking into account haplogroup frequencies in the general Spanish population, to confirm particular haplogroups (Figure 1) [9]. The revised Cambridge Reference Sequence was used to define mtDNA position and nucleotide [13]. The RT-PCR was performed with TaqMan reagents (Applied Biosystems, Austin, TX). For each SNP, reagents included two primers around the SNP and two probes: a fluorophore vic-labelled probe specific for one allele and another fluorophore FAM-labelled probe specific for the other allele. DNA was amplified in a final volume of 25 μl, using 12.5 μl of TaqMan Gene Expression Master Mix, 0.9 μM of each primer, 0.2 μM of each probe and 10 ng of total DNA. The amplification was performed using universal conditions.

Statistical analysis

We determined 30-days and 6-month survival and mtDNA haplogroup in the first cohort of 96 patients, second cohort of 196 patients and in the global cohort (first and second cohorts combined) with 292 severe septic patients.

Continuous variables are reported as medians and interquartile ranges, and were compared using Wilcoxon-Mann-Whitney test. Categorical variables are reported as frequencies and percentages, and were compared by chi-square test.

Multiple logistic regression and Cox regression analyses were used to test the association of mtDNA haplogroup JT with survival at 30 days and 6 months, controlling for age, sex, serum interleukin-6 levels and SOFA score. We used a step-by-step approach to select the variables included in the multivariable analysis; that is, we included in the final model...
Survival analysis with Kaplan-Meier curve method and log-rank test comparisons were carried out using mtDNA haplogroup JT versus non-JT as the independent variable and survival at 30 days and 6 months as the dependent variable.

Differences with a P value of less than 0.05 were considered statistically significant. Statistical analyses were performed using SPSS 17.0 (SPSS Inc., Chicago, IL, USA) and Med Calc (Maria Kerke, Belgium).

Results

In the global cohort (first and second cohort combined), patients with mtDNA haplogroup JT (n=46) showed significantly higher survival than patients with other haplogroups (n=246) at 30 days (82.6% vs 63.4%; p=0.007) and 6 months (76.1% vs 52.4%; p=0.002) (Table 1). Multiple logistic regression and Cox regression analyses showed that mtDNA haplogroup JT was associated with higher survival at 30-days or 6 months, after controlling for age, sex, serum interleukin-6 levels and SOFA score (Table S3).

In the second cohort (n=196), patients with mtDNA haplogroup JT (n=31) showed a trend to higher survival at 30 days (80.6% vs 64.2%; p=0.054) and significantly higher survival at 6 months (77.4% vs 52.1%; p=0.007) than patients with other haplogroups (n=165) (Table S2). Multiple logistic regression and Cox regression analyses showed a non-significant association between mtDNA haplogroup JT and higher survival at 30 days or 6 months after controlling for age, sex, serum interleukin-6 levels and SOFA score (Table S3).

Kaplan-Meier analysis confirmed a higher survival rate of patients with mtDNA haplogroup JT at 30 days (log-rank test=5.84; p=0.016) and 6 months (log-rank test=7.90; p=0.005) in the global cohort (Figure 2).

To check whether the association of haplogroup JT with a higher survival rate was due to SNPs defining this cluster or because of over-representation of one of the two sub-haplogroups that integrate the cluster, we separated our JT samples into sub-haplogroups J (n=11) and T (n=35). There were no significant differences between J and T sub-haplogroups in survival at 30 days [8/11 (72.7%) vs 30/35 (85.7%); p=0.37] and 6 months [8/11 (72.7%) vs 27/35 (77.1%); p=0.99]. Therefore, haplogroup JT-defining single nucleotide polymorphisms are probably responsible for the decreased risk of death from severe sepsis.

Since previously published work has shown that haplogroup H septic patients were more likely to survive to six months, we also studied survival of haplogroup H patients in our sample. There were no significant differences between haplogroup H and non-H patients in survival at 30 days [66/106 (62.3%) vs 128/186 (68.8%); p=0.30] and 6 months [55/106 (51.9%) vs 109/186 (58.6%); p=0.27]. In our sample these patients did not present a lower risk of early mortality.

Similarly, because previous publications have shown improved 6-month survival in haplogroup R septic patients, we also analyzed haplogroup R patient survival in our sample. Although 24 patients from N* lineages were not separated into R and non-R lineages, we found no significant differences between haplogroup R and non-R individuals in 30-day survival [166/246 (67.5%) vs 15/22 (68.2%); p=0.99] or 6-month survival [136/246 (55.3%) vs 15/22 (68.2%); p=0.27]. Thus in our sample, these patients did not present a lower risk of early mortality.

Discussion

The global cohort study (first and second cohorts combined) is the largest to date reporting on mtDNA haplogroups in septic patients. The study confirmed that haplogroup JT septic patients showed increased 30-day and 6-month survival than non-JT haplogroup patients.

In addition, we previously found that sepsis patients surviving at least six-months had greater amounts of platelet cytochrome oxidase than those who died in this period [14,15] and that haplogroup JT patients had greater amounts of platelet...
cytochrome oxidase than patients with other mtDNA clusters [9]. As biologic plausibility is enhanced when a given polymorphism (haplogroup JT) is associated with a clinical phenotype (lower mortality due to severe sepsis) and an intermediate phenotype (higher cytochrome oxidase quantity) [4], all these results suggest that haplogroup JT modifies the risk of early death in septic patients. Because the survival rates were very similar between haplogroup JT sub-groups (sub-
Table 2. Multiple logistic regression (MLR) and Cox regression analyses to predict mortality in the 292 patients of global cohort (first and second cohort combined).

| Model: Mortality at 30 days in global cohort of 292 patients | MLR OR (95% CI); p-value | Cox regression OR (95% CI); p-value |
|------------------------------------------------------------|-------------------------|----------------------------------|
| mtDNA haplogroup: JT                                      | 0.38 (0.15–0.95); 0.04  | 0.48 (0.22–0.97); 0.04           |
| Serum Interleukin-6 levels                                | 1.001 (1.0001–1.0015); 0.01 | 1.001 (1.0001–1.0015); <0.001   |
| Age                                                       | 1.16 (0.99–1.03); 0.16    | 1.16 (0.99–1.03); 0.05            |
| Sex female                                                | 1.20 (0.86–2.19); 0.55    | 1.15 (0.73–1.79); 0.55            |
| SOFA                                                      | 1.15 (1.06–1.24); 0.001   | 1.15 (1.06–1.21); <0.001          |

| Model: Mortality at 6 months in global cohort of 292 patients | MLR OR (95% CI); p-value | Cox regression OR (95% CI); p-value |
|---------------------------------------------------------------|-------------------------|-----------------------------------|
| mtDNA haplogroup: JT                                         | 0.40 (0.17–0.90); 0.03  | 0.51 (0.26–0.97); 0.04            |
| Serum Interleukin-6 levels                                   | 1.0005 (0.999–1.001); 0.052 | 1.0005 (1.0001–1.001); <0.001   |
| Age                                                          | 1.02 (1.004–1.042); 0.02 | 1.02 (1.006–1.034); 0.005         |
| Sex female                                                   | 1.18 (0.67–2.09); 0.56  | 1.14 (0.77–1.70); 0.52            |
| SOFA                                                         | 1.15 (1.06–1.24); <0.001 | 1.12 (1.07–1.18); <0.001          |

OR = Odds Ratio; CI = Confidence Interval; SOFA = Sepsis-related Organ Failure Assessment

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Figure 2. Cumulative proportion of patients’ survival at 30 days and 6 months according to mtDNA haplogroup JT versus no JT.
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was for the whole haplogroup and not for particular sub-
haplogroups [6]. It is unclear in this study how statistically
significant confounders such as age, SOFA score and
APACHE were controlled. However, in our study, patients with
different haplogroups did not show differences in these
possible confounders. In addition, we did not find significant
differences in several parameters such as the causal
microorganism of sepsis, the presence of bloodstream infection
and the antimicrobials used, but these variables were not
reported for the English patients [6].

Some limitations of this analysis should be recognized. For
example, we only determined cytochrome oxidase quantity in
96 [9] out of the 292 patients examined in this work, although
this is the only study on sepsis survival and mtDNA
haplogroups that has included the measurement of an
intermediate phenotype besides the association between
genetic data and clinical phenotype. Sepsis survival probably
depends on many different factors and, although our study
represents the largest series reporting severe sepsis mortality
according to mtDNA haplogroup, if we take into account these
other factors then greater sample sizes should be considered
for future studies.

Conclusion

This global cohort study (first and second cohort combined)
is the largest to date reporting on mtDNA haplogroups in septic
patients. The study confirmed that haplogroup JT septic
patients show increased 30-day and 6-month survival. This
finding may be due to single nucleotide polymorphism defining
the whole haplogroup JT and not separately for J or T sub-
haplogroups.

Supporting Information

Table S1. Patients’demographic and clinical characteristics according to mtDNA haplogroups of the 96
patients of the first cohort.

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