Unstable Axion Quintessence Revisited

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Abstract

In axion quintessence, the cosmological era with an energy contrast in dark energy \(0.1 \leq \Omega_{DE} \leq 0.9\) may represent a significant fraction of the universe’s lifetime if the minimum of the axion potential is negative (unstable axion quintessence), thus resolving the cosmic coincidence problem, as pointed out by Kallosh, Linde, Prokushkin, and Shmakova. Further details of the evolution of the quintessence field, the scale factor of the universe, and the Hubble parameter are presented here, focusing on models with \(\Omega_{DE,0} = 0.72\) and recent dark energy average equation of state parameter \(-1 < w_0 < -0.85\). For these parameter values, the contracting universe enters a late time era of kination, the negative Hubble parameter acting like a negative friction term in the Klein-Gordon equation, and the axion field makes many transits of—but never remains in—its vacuum state.

Robust, scaled cosmological equations are derived for simulating the evolution of the scalar field, the scale factor, and the Hubble parameter during a contracting phase of the universe. These equations allow the simulations presented here to proceed much closer to the singularity at the end of the collapsing universe than any previous simulations.

1 Introduction

Typically in quintessence theories with an asymptotically vanishing effective cosmological constant, the energy contrast in dark energy \(\Omega_{DE}\) rises from
near zero for redshifts \( z > 5 \) to near one for \( z < -0.5 \), mimicking a true cosmological constant. At late times the quintessence field may begin to oscillate about its minimum, behaving like nonrelativistic matter, or the quintessence field may evolve toward infinity—in both cases with vanishing vacuum energy. In such theories, there is a period between roughly 3.5 Gyr and 20 Gyr after the big bang when \( 0.1 \leq \Omega_{DE} \leq 0.9 \). However if the universe continues to expand forever, or even if positive curvature begins to dominate at late times (after the quintessence field has evolved to its minimum) and the universe enters a contracting stage, this period when the energy densities of dark energy and matter are comparable is a small or vanishing fraction of the total lifetime of the universe. This is called the cosmic coincidence problem.

However in axion quintessence (as in other unstable de Sitter quintessence models), the cosmological era with \( 0.1 \leq \Omega_{DE} \leq 0.9 \) may represent a significant fraction of the universe’s lifetime if the minimum of the axion potential is negative (unstable [de Sitter] axion quintessence), thus resolving the cosmic coincidence problem. (Negative \( \rho_\Lambda \) or \( \rho_{DE} \) and the fate of the universe are discussed in Refs. [2] and [1] plus references therein.)

Vilenkin [3] summarizes the currently predominant view that the dark energy is a cosmological constant, and points out the major weaknesses of most quintessence models: (i) most quintessence models assume that \( \rho_{DE} \to 0 \) as the quintessence field \( \phi \) evolves toward its minimum (which may be at \( |\phi| \to \infty \)), conflicting with the expectation that \( \rho_{DE} \) ought to evolve to a nonzero vacuum energy density; (ii) most quintessence models do not solve the coincidence problem that \( \rho_{DE,0} = \rho_\Lambda \approx \rho_{m0} \) (the subscript “0” will denote present values); and (iii) since the present dark energy average equation of state parameter \( w_0 \approx -1 \), perhaps \( w_0 \equiv -1 \) and quintessence models are irrelevant.

The unstable axion quintessence potential \( V(\varphi) = A \cos(\varphi) \), where \( \varphi \equiv \phi/M_P \) and the Planck mass \( M_P = 1/\sqrt{8\pi G} = 2.4 \times 10^{18} \text{ GeV} \), addresses all of these issues, since the facts that the minimum of the potential is at \( -A \approx -\rho_\Lambda \), \( \rho_{DE,0} = \rho_\Lambda \), and \( w_0 \neq -1 \) but \( \approx -1 \) are interrelated aspects of the model, and occur for an appreciable range of initial values for \( \phi \).

For \( V(\varphi) = A \cos(\varphi) \), the initial value of the scalar field need only satisfy \( 0 \leq \varphi_i/\pi \leq 0.23 \) to produce a universe like ours [4] (due to symmetry, we can restrict our attention to \( 0 \leq \varphi_i \leq \pi \)). Thus there is a significant 23% range
of the possible initial values $\varphi_i$ which will produce a universe like ours\footnote{Qualitatively similar results to those presented here are obtained for $V(\varphi) = A \cos(\lambda \varphi)$ for $\lambda = O(1)$.}. For these initial values, the contracting universe enters a late time era of kination (during which the scalar field kinetic energy dominates over all other forms of energy), the negative Hubble parameter acting like a negative friction term in the Klein-Gordon equation, and the axion field makes many transits of—but never remains in—its vacuum state\footnote{The coupling of the quintessence field to other particles must be very small, and will for the most part be neglected in this investigation.}

In Section 2, the basic cosmological equations are presented for the evolution of the scalar field, the scale factor, and the Hubble parameter, and cast in the form of a scaled, dimensionless system of first-order equations in the conformal time, appropriate for a contracting (or expanding) universe. These equations allow the simulations presented in Section 3 (see Figs. 6–9) to proceed much closer to the singularity at the end of the collapsing universe than any other simulations presented in the literature, and provide the basis for a more detailed analysis of the last stages of the collapsing universe than has appeared before.

## 2 Cosmological Equations

In the quintessence/cold dark matter (QCDM) model, the total energy density $\rho = \rho_m + \rho_r + \rho_\phi$, where $\rho_m$, $\rho_r$, and $\rho_\phi$ are the energy densities in (nonrelativistic) matter, radiation, and the axion quintessence scalar field $\phi$, respectively. Ratios of energy densities to the critical energy density $\rho_c$ for a flat universe will be denoted by $\Omega_m = \rho_m/\rho_c$, $\Omega_r = \rho_r/\rho_c$, and $\Omega_\phi = \rho_\phi/\rho_c$, while ratios of present energy densities $\rho_{m0}$, $\rho_{r0}$, and $\rho_{\phi0}$ to the present critical energy density $\rho_{c0}$ will be denoted by $\Omega_{m0}$, $\Omega_{r0}$, and $\Omega_{\phi0}$, respectively. $\Omega_{DE}$ will denote

$$\Omega_{DE} = \begin{cases} \Omega_\Lambda & \text{LCDM} \\ \Omega_\phi & \text{QCDM if } w_\phi < -1/3. \end{cases} \tag{1}$$

Using WMAP5\footnote{Central values, we will set $\Omega_{DE,0} = 0.72$, $\Omega_{r0} = 8.5 \times 10^{-5}$, $\Omega_{m0} = 1 - \Omega_{DE,0} - \Omega_{r0} \approx 0.28$, and $\rho_{c0}^{1/4} = 2.5 \times 10^{-3}$ eV, with the present time $t_0 = 13.73$ Gyr after the big bang for LCDM.} central values, we will set $\Omega_{DE,0} = 0.72$, $\Omega_{r0} = 8.5 \times 10^{-5}$, $\Omega_{m0} = 1 - \Omega_{DE,0} - \Omega_{r0} \approx 0.28$, and $\rho_{c0}^{1/4} = 2.5 \times 10^{-3}$ eV, with the present time $t_0 = 13.73$ Gyr after the big bang for LCDM.
The homogeneous scalar field obeys the Klein-Gordon equation

\[ \ddot{\phi} + 3H \dot{\phi} = -\frac{dV}{d\phi} \equiv -V_\phi. \]  

The evolution of the universe is described by the Friedmann equations for the Hubble parameter \( H = \dot{a}/a \) and the scale factor \( a(t) \)

\[ H^2 = \frac{\rho}{3M_p^2} - \frac{k}{a^2} \]  

\[ \frac{\dot{a}}{a} = -\frac{1}{6M_p^2} (\rho + 3P) \]  

where the energy density \( \rho = \rho_\phi + \rho_m + \rho_r \) and the pressure \( P = P_\phi + P_m + P_r \), with \( P_m = 0 \), \( P_r = \rho_r/3 \), and

\[ \rho_\phi = \frac{1}{2} \dot{\phi}^2 + V(\phi), \quad P_\phi = \frac{1}{2} \dot{\phi}^2 - V(\phi). \]  

The curvature signature \( k = +1, 0, -1 \) for a closed, flat, or open geometry. Eq. (4) shows that \( P < -\rho/3 \) for an accelerating universe.

The conservation of energy equation for matter, radiation, and the scalar field is

\[ \dot{\rho} + 3H(\rho + P) = 0. \]  

Equation (6) gives the evolution of \( \rho_m \) and \( \rho_r \), and with Eq. (5) the Klein-Gordon equation (2) for the weakly coupled scalar field. The time rate of change of the Hubble parameter is given by

\[ \dot{H} = -\frac{\rho + P}{2M_p^2} + \frac{k}{a^2}. \]  

Only two of Eqs. (3), (4), (6), and (7) are independent. We will assume a flat universe after inflation and henceforth set \( k = 0 \).

The logarithmic time variable (number of e-folds of the scale factor) is defined as \( \tau = \ln(a/a_0) = -\ln(1 + z) \). Note that for de Sitter space \( \tau = H_\Lambda t \), where \( H_\Lambda^2 = \rho_\Lambda / (3M_p^2) \), and that \( H_\Lambda t \) is a natural time variable for the era of \( \Lambda \)-matter domination (see e.g. Ref. [6]). We will make the simple approximations

\[ \rho_r = \rho_0 e^{-4\tau}, \quad \rho_m = \rho_{m0} e^{-3\tau}. \]
The equation of state parameter for the scalar field \( \phi \) is \( w_\phi = P_\phi / \rho_\phi \). Since \( \tau \) is a natural time variable for the era of \( \Lambda \)-matter domination, we define the recent average of \( w_\phi \) as

\[
\bar{w}_\phi = \frac{1}{\tau} \int_0^\tau w_\phi d\tau.
\]

We will take the upper limit of integration to correspond to \( z = 1.75 \). The SNe Ia observations [7] bound the recent average \(-1.1 < \bar{w}_\phi < -0.85 \) (95% CL).

For numerical simulations, the cosmological equations should be put into a scaled, dimensionless form. Equations (2) and (3) can be cast [4] in the form of a system of two first-order equations in \( \tau \) plus a scaled version of \( H \):

\[
\frac{d\phi}{d\tau} = \psi \tag{10}
\]

\[
\frac{d\psi}{d\tau} + \psi = -3 \dot{V}_\phi \tag{11}
\]

\[
\ddot{\rho} = \frac{1}{6} \psi^2 + \dot{V} + \dot{\rho}_m + \dot{\rho}_r \tag{12}
\]

where \( \varphi \equiv \phi / M_P, \psi \equiv e^{2\tau} \dot{\varphi} / H_0, \ddot{\varphi} = e^{2\tau} H / H_0, \dot{V} = e^{4\tau} V / \rho_{\phi 0}, \dot{\varphi} = e^{4\tau} V / \rho_{\phi 0}, \dot{\rho} = e^{4\tau} \rho / \rho_{\phi 0}, \dot{\rho}_m = e^{4\tau} \rho_m / \rho_{\phi 0} = \Omega_{m0} e^{\tau}, \dot{\rho}_r = e^{4\tau} \rho_r / \rho_{\phi 0} = \Omega_{r0}. \)

This scaling results in a set of equations that is numerically more robust, especially near and before the time of big-bang nucleosynthesis (BBN)—see Ref. [4], especially Fig. 1.

For a contracting phase (in which \( H \) goes through zero), a different set of equations and a different scaling should be used. Here we will use the conformal time variable

\[
\eta = \int_0^t \frac{a_0 H_0}{a} dt
\]

where \( t = 0 \) corresponds to the big bang.

Equations (2) and (7) can be cast in the form of a system of three first-order equations in \( \eta \):

\[
\frac{d\varphi}{d\eta} = \psi \tag{15}
\]

\[
\frac{d\psi}{d\eta} = -2\ddot{\varphi} \psi - 3 \dot{V}_\phi \tag{16}
\]

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where \( \varphi \equiv \phi/M_P \), \( \psi \equiv e^\tau \dot{\varphi}/H_0 \), \( \bar{H} = e^\tau H/H_0 \), \( \bar{V} = e^{2\tau} V/\rho_{c0} \), \( \bar{V}_\varphi = e^{2\tau} V_\varphi/\rho_{c0} \), \( \bar{\varphi} = e^{2\tau} \varphi/\rho_{c0} \), \( \bar{\varphi}_m = e^{2\tau} \rho_m/\rho_{c0} = \Omega_m e^{-\tau} \), \( \bar{\varphi}_r = e^{2\tau} \rho_r/\rho_{c0} = e^{-2\tau} \Omega_r e^{\tau} \). This scaling results in a set of numerically more robust equations, especially near the turn-around time \( t_* \) between expanding and contracting phases of the universe.

Note that the conformal time \( \eta \) is related to the logarithmic time \( \tau \) by

\[
\frac{d\tau}{d\eta} = \bar{H}.
\]  

3 Simulations of Unstable Axion Quintessence

The original axion quintessence potential \( V = A(1 + \cos(\varphi)) \) was based on \( N = 1 \) supergravity \[8, 9\], with \( m_\varphi^2 = 3H_0^2 \). As \( \varphi \to \pi \), the universe evolves to Minkowski space.

The unstable de Sitter axion potential \( V = A \cos(\varphi) \) is based on M/string theory reduced to an effective \( N = 1 \) supergravity theory \[10\], with \( m_\varphi^2 = -3H_0^2 \) at the maximum of \( V \).

Both axion quintessence models are derivable (up to a constant) from string theory as axion monodromy \[11\].

The quintessence axion is a pseudo Nambu-Goldstone boson: at the perturbative level the theory is shift symmetric under \( \varphi \to \varphi + \text{const} \) with \( \varphi = \phi/M \). The shift symmetry is broken—before or during inflation—by nonperturbative instanton effects to a discrete symmetry \( \varphi \to \varphi + 2\pi \), generating a potential \( V(\varphi) = A(C + \cos(\varphi)) \). In these theories, quantum corrections to the classical axion potential are suppressed. For quintessence (or for natural inflation \[12\]), \( M \sim M_P \); we will take \( M = M_P \). \( C = 0 \) and \( C = 1 \) are the most interesting unstable axion and original axion cases, respectively.

For the unstable axion quintessence computations (with an expanding and contracting universe), we will use Eqs. (15)–(17) and (19) with initial conditions \( \varphi_i \) and \( \dot{\varphi}_i = 0 \) specified at matter-radiation equality \( z_{mr} = 3280 \), which corresponds to

\[
\eta_{mr} = \frac{2 \left( \sqrt{2} - 1 \right)}{\sqrt{\Omega_m} \sqrt{1 + z_{mr}}}. 
\]  

6
The constant \( A \) in the potential is adjusted so that \( \Omega \phi_0 = 0.72 \). This involves the usual single fine tuning. Note that the same anthropic arguments that limit the magnitude of a present-day cosmological constant also limit \( A < 100 \rho_{c0} \), so the tuning of \( A \) is no worse than the tuning of a cosmological constant.

Table 1: Parameters for the potential \( V = A \cos(\phi) \). \( t_0 \) is the current age of the universe with \( t_0 \equiv 13.73 \) Gyr in the \( \Lambda \)CDM model, \( 0.1 \leq \Omega_{DE} \leq 0.9 \) for \( t_{0.1} \leq t \leq t_{0.9} \), the “coincidence” time interval \( \Delta t_c = t_{0.9} - t_{0.1} \), \( t_* \) is the turn-around time, \( t_f \) is the time of the big crunch, and \( a_* = a(t_*) \). All times are in Gyr. *For \( \varphi_i/\pi = 0.20 \) (0.23), \( \Omega_{DE} \leq 0.85 \) (0.77) and in these cases \( t_{0.9} \equiv t_{0.85} \) and \( t_{0.77} \), respectively.

Results for the unstable axion potential are presented in Table 1 for various \( \varphi_i \) and for \( \varphi_i/\pi = 0.1 \) in Figs. 1 and 9. (As \( \varphi_i \rightarrow 0 \), classically \( t_f \rightarrow \infty \), but quantum effects destabilize \( \varphi_i \approx 0 \) so that the maximum \( t_f \sim 100 t_0 \).) For the values in the Table, as \( \varphi_i \) increases, \( |V_\phi(\phi_i)| \) also increases and \( \dot{\phi} \) starts to move earlier, leading to a decrease in \( t_{0.1}, t_0, t_*, t_f \), and correspondingly to an increase in \( w_0 \) away from \(-1\). Note that for \( \varphi_i/\pi = 0.2 \), the coincidence time ratio approaches 50%.

The QCDM universe mimics the \( \Lambda \)CDM model (see Fig. 1 for clarity, only the beginnings of the contracting stage are shown in this figure) until about \( z = -0.5 \), after which the QCDM universe begins to decelerate and ultimately to rapidly contract to a big crunch (Figs. 2 and 3).

During the contracting stage, \( H < 0 \) acts as a negative friction in

\[
\ddot{\phi} + 3H \dot{\phi} + V_\phi = 0
\]

amplifying the axion field and its kinetic energy to bring about a late stage kination era during which the scalar field kinetic energy dominates over all other forms of energy.
The quintessence axion is an ultra-light scalar field with $m_\phi^2 \sim H_\Lambda^2$, so $\phi$ “sits and waits” during the early evolution of the universe, and only starts to move when $H^2 \sim m_\phi^2$ (Figs. 4 and 5). In this way it is easy to satisfy the BBN ($z \sim 10^9–10^{11}$), cosmic microwave background (CMB) ($z \sim 10^3–10^5$), and large scale structure (LSS) ($z \sim 10–10^4$) bounds on $\Omega_{DE} \lesssim 0.1$, as in Fig. 1. An ultra-light scalar field also reflects the observational evidence that the universe has only recently become dominated by dark energy.

In Fig. 2 the Hubble parameter goes through zero at the turn-around time between an expanding and contracting universe. At the beginning of the contacting stage, $\phi$ has yet to reach the minimum of the potential energy (see Fig. 6), and thus the negative Hubble parameter amplifies the kinetic energy of the scalar field, bringing about an era of kination with $w_\phi = 1$, as seen in Figs. 4, 5, 7, and 8. Also note that Figs. 2, 4, and 5 indicate that $H$, $\phi$, and $\dot{\phi}$ are approaching a singularity near $t_f$. In fact, in an era of kination during contraction during which $H = -|\dot{\phi}|/(\sqrt{6}M_P)$, $\dot{\phi} = \sqrt{2/3}/(t_f - t)$ and $\varphi = -\sqrt{2/3} \ln (t_f - t)$, while $a \sim (t_f - t)^{1/3}$ (see Fig. 3).

Figure 6 shows that as $\phi$ increases without bound, the potential energy $V(\phi)$, since a periodic function of $\phi$, oscillates more and more rapidly. Depending on the strength of the coupling between the quintessence axion and other particles, $\phi$ may decay and populate the universe with additional radiation and matter. (The monotonically increasing field $\phi$ in a periodic potential can be interpreted as an oscillating field.) At the very least, there should be gravitational production of particles by $\phi$ during contraction.

Figures 7 and 8 follow the contracting stage further, illustrating that although $w_\phi \to \pm \infty$ twice just after $t_*$, the universe ultimately enters a stage of kination in which $w_\phi = 1$ near $t_f$. Note that $w_\phi \approx -1$ until well after $t_0$.

After matter-quintessence equality at $t_{m\phi} \approx 0.7 t_0$, the scalar field energy density always dominates over the matter and radiation energy densities. There is a period from $t \approx 2.5 t_0$ until $3.8 t_0$ when the scalar field potential energy is comparable to its kinetic energy, and then the kinetic energy (which scales as $1/a^6$) predominates during the rapid contraction to a big crunch (see Fig. 9).
4 Conclusion

As the universe contracts, density inhomogeneities are amplified and presumably black holes are formed, similarly to the contracting stage of the ekpyrotic universe \[13, 14\] with \( w = 1 \). Depending on the strength of the coupling (which we have neglected here) between the quintessence axion and other particles, \( \phi \) may decay and produce radiation and matter. There should at least be gravitational production of particles by \( \phi \) during contraction. As the universe reheats during contraction, broken symmetries are restored. It is possible that inflating patches may be generated, spawning new universes from the old. These issues are currently under investigation.

In summary, the unstable axion quintessence potential resolves the issues concerning quintessence raised by Vilenkin: the minimum of the potential is not at zero, but at a negative value \( \approx -\rho_\Lambda, \rho_{DE,0} = \rho_\Lambda \) with only a single fine tuning (in the anthropic range), and \( w_0 \) naturally satisfies \( -1 < w_0 \leq -0.87 \), for an appreciable 23\% range of possible initial values for the quintessence field. And for a universe like ours, the coincidence time when the energy densities of dark energy and matter are comparable varies (as long as \( \phi_i/\pi \) is not too small—say, \( \geq 0.05 \)) from 25\%–50\% of the lifetime of the universe.

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Figure 1: $\Omega$ vs. $\log_{10}(1+z)$ for the potential $V = A \cos(\varphi)$, $\varphi_i/\pi = 0.1$ (solid) vs. $\Lambda$CDM (dotted). The light yellow rectangles are the bounds on $\Omega_{DE}$ from LSS, CMB, and BBN.
Figure 2: Comoving Hubble parameter $aH/(a_0H_0)$ vs. $t/t_0$. The dot indicates the value $H = 0$ at $t_*$.

Figure 3: Scale factor $a/a_0$ vs. $t/t_0$. 
Figure 4: $\varphi/\pi$ vs. $t/t_0$. The dot indicates the value $\varphi/\pi = 0.67$ at $t_\ast$.

Figure 5: $\dot{\varphi}/H_0$ and $10 \dot{\varphi}/H_0$ vs. $t/t_0$. 

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Figure 6: Potential $V(\phi)/\rho_c$ vs. $t/t_0$. The dot indicates the value of $V/\rho_c$ at $t_\ast$.

Figure 7: $w_\phi$ vs. $t/t_0$. The dot indicates $t_\ast$. 
Figure 8: Overview of $w_\phi$ vs. $t/t_0$. The dot indicates $t_\ast$.

Figure 9: Scalar field kinetic energy density $\dot{\phi}^2/2$ and potential energy density $V(\phi)$ vs. $t/t_0$. The dot indicates $t_\ast$. 