Damage effects and mechanism of GaAs Solar Cells induced by high-power microwaves

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Abstract The two-dimensional thermoelectric models of single-junction and triple-junction GaAs solar cells are established respectively utilizing Sentaurus-TCAD, to investigate the damage effects caused by HPMs. Simulation results demonstrate that there are two burnout mechanisms of GaAs solar cells: damage caused by Joule heat accumulation under high electric field, and failure due to temperature surges induced by avalanche breakdown. In addition, fitted empirical formulas also show that burnout caused by Joule heat accumulation at the inflection point of the cathode front surface field occurs when the frequency of injection is above 3GHz, and damage energy decreases as the frequency increases. In contrast, the avalanche multiplication effect in the reverse-biased space charge region near the back-surface field can be triggered when the frequency is below 3GHz, and damage energy rises as the frequency rises. Besides, due to the enhancement of heat dissipation and the drop in avalanche ionization rate, the multi-junction GaAs solar cell becomes more difficult to burn out than the single-junction solar cell under the same HPM interference. Moreover, an equivalent model (based on the carrier mobility distribution when the injected HPMs signal does not reach the burnout threshold) is rebuilt to study the soft damage effect on the performance of GaAs solar cells, as caused by the injection of HPMs.

Keywords: GaAs solar cell, multi-junction, HPMs, the frequency of injection, soft damage

Classification: Electron devices, circuits and modules (GaAs)

1. Introduction

Due to the seriously wasted fossil energy (coal, oil & gas) and safety problems of nuclear power, the concept of Space Solar Power Station (SSPS), known as “the Manhattan project of energy”, has attracted unprecedented attention, which converts solar energy into electrical energy for the ground without the limitations of weather and darkness [1,2,3,4,5]. SSPS consists of three main parts: space solar power collection and photoelectric conversion, high-power microwave transmitting antenna, receiving and rectification [6,7,8]. Baoyan Duan’s team of Xidian University verified the feasibility of the indoor mock-up of OMEGA concept and has been building the world’s first full-link, full-system outdoor wireless high-power continuous microwave energy transmitting prototype [9,10,11]. NASA and ESA also plan to construct large-scale solar cell tile arrays and high-power microwave transmitting antennas at the equator in the future [12,13,14]. Above all, it is necessary to ensure the solar cells can work stably in high-power microwave environments.

Currently, theoretical or experimental researches on the HPM damage effects of spacecraft mainly focus on the internal semiconductor devices and integrated circuits, while rarely involves solar cells, because HPMs is easily coupled into electronic systems through front-door paths (such as antennas, etc.) but difficult to be reversely injected into cells through back-door paths (such as transmission cables, etc.) [15,16]. However, as SSPS (a most important fourth-generation new energy system) becomes popular and widely promoted in the future, more large-scale solar cell tile arrays and high-power microwave transmitting antennas (commercial output power can reach up to GW level) will be built together in space, which greatly increases the risk of solar cells being reversely injected by HPMs [17,18,19,20,21].

In this paper, the two-dimensional thermoelectric models of single-junction and triple-junction GaAs solar cells (a mainstream cell of space batteries [22]) respectively by using the Sentaurus-TCAD. The damage effects and mechanism are studied with the injection of HPMs in the cathodal of GaAs solar cells, and two burnout mechanisms are proposed: damage caused by Joule heat accumulation under high electric field, and failure due to temperature surges induced by avalanche breakdown, which can refer to the damage effects and mechanism of BJT, HEMT and CMOS induced by the injection of HPMs [23,24]. In addition, the simulation results between two models are compared, which demonstrates that the difficulty of burning increases as the number of junctions increases. Moreover, mobility degradation effects on the performance of GaAs solar cells are also revealed. Above researches can help to explore the measures to protect GaAs solar cells from the attack of HPMs, further improving the reliability of SSPS.

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2. Structure and Numerical model

The two-dimensional structure models of GaAs solar cells are depicted respectively in Fig. 1(a) and Fig. 1(b). Besides, the details of thickness and doping levels from Fig. 1 (used in simulations) are specified in Table 1.

![Fig. 1. The three-dimensional view of GaAs solar cells. (a) A single-junction GaAs solar cell. (b) A triple-junction InGaP/GaAs/Ge solar cell.](image)

### Table 1 Details of the triple-junction GaAs solar cell in simulation.

| Cell                  | Region | Material | Thickness[µm] | Doping[cm⁻³] |
|-----------------------|--------|----------|---------------|--------------|
| InGaP Top cell        | Cap    | GaAs     | 1             | 5.0e⁺¹³      |
|                       | fsf    | InGaP    | 0.04          | 7.0e⁺¹⁴      |
|                       | emitter| InGaP    | 0.05          | 1.8e⁺¹⁴      |
|                       | base   | InGaP    | 0.6           | -1.2e⁺¹⁷     |
|                       | bsf    | InGaP    | 0.03          | -2.0e⁺¹⁸     |
| Tunneling diode       | tdp    | GaAs     | 0.015         | -1.0e⁺¹⁵     |
|                       | tdn    | GaAs     | 0.015         | 4.0e⁻²⁰      |
| Medium cell           | fsf    | InGaP    | 0.03          | 7.0e⁻¹⁴      |
|                       | emitter| GaAs     | 0.1           | 2.0e⁺¹⁴      |
|                       | base   | GaAs     | 1.5           | -1.0e⁺¹⁷     |
|                       | bsf    | InGaP    | 0.05          | -2.0e⁺¹⁸     |
| Tunneling diode       | tdp    | GaAs     | 0.015         | -1.0e⁺¹⁵     |
|                       | tdn    | GaAs     | 0.015         | 4.0e⁻²⁰      |
| Germanium bottom cell | fsf    | InGaP    | 0.03          | 7.0e⁻¹⁴      |
|                       | emitter| InGaP    | 0.1           | 1.8e⁺¹⁴      |
|                       | substrate | Germanium | 0.5           | -1.0e⁺¹⁷     |

The I-V and P-V curves of the optical simulation results are presented in Fig. 2. Note that these structure models are studied under the AM0 solar spectrum, with P=1W/cm², and at temperature T=300K.

![Fig. 2. (a) Light I-V and P-V characteristics of the single-junction GaAs solar cell. (b) Light I-V and P-V characteristics of the triple-junction InGaP/GaAs/Ge solar cell.](image)

Due to the high magnetic field and strong current caused by the injection of HPMs, a huge heat is generated. Therefore, considering the effect of temperature gradients on carrier transport, the current density equation can be modified as follows [25]:

\[
J_n = -n q \mu_n (\nabla \phi + JT) 
\]

(1)

\[
J_p = -p q \mu_p (\nabla \phi + JT) 
\]

(2)

where \(P_n\) (\(P_p\)) is the electron (hole) absolute thermoelectric power. Additionally, an avalanche model, known as van Overstraeten-de Man model [26], describes the generation process of the electron-hole pair, so avalanche generation rate \(G\) can be expressed as follows:

\[
G = a_n v_n + a_p v_p 
\]

(3)

where \(v_n\) (\(v_p\)) is the electron (hole) drift velocity, \(a_n\) (\(a_p\)) donates the electron (hole) ionization rate.

Under high electric field, the carrier drift rate is inclined towards saturation velocity \(V_{sat}\). The expression of the carrier mobility model considering high electric field saturation is shown as follow [27]:

\[
\mu(E) = \frac{\mu_{low}}{1 + (\mu_{low} E / V_{sat})^{1/2}} \]

(4)

where \(\mu_{low}\) refers to the low field coupling mobility.

The inductive voltage coupling from the cathode wire (under HPM interference) is equivalent to a damped sinusoidal signal. The mathematical formula in this study is shown as follow:

\[
U = U_0 \sin(2\pi ft + \phi) 
\]

(5)

where \(U_0\) represents the amplitude, \(f\) is the frequency, and \(\phi\) is the initial phase. The device burns out when the peak lattice temperature reaches the melting point of GaAs at 1511 K.

3. Mechanism and discussion

Considering the high conversion efficiency of 2.4GHz (5.8GHz) in wireless power transmission and the high damage threshold of multi-layer devices (such as super-junction power device) [28,29,30,31], for the device
shown in Fig. 1(a), a HPMs signal with amplitude of 120V and frequency of 2GHz is reversely injected from the cathode port (Fig. 3). Two heat sources emerge near the front (back) surface field heterojunction alternately.

![Schematic diagram of the GaAs solar cell with the cathode port](image)

**Fig. 3.** Schematic diagram of the GaAs solar cell with the cathode port HPMs injection.

During the positive half cycle (when the amplitude of the injected wave is greater than zero), the front surface field, (located at the inflection point of the cathode) with its large curvature, high electric field, and carrier concentration higher than other regions, easily leads to a huge current density ($J_{\text{hi}(p)}$) and Joule heat accumulation (Fig. 4), which refers to Eqs. (1) and (2). However, due to the reverse bias of the largest PN junction, overall lower carrier concentration, and the absence of space charge region inside, it is difficult to realize avalanche breakdown at the front surface field.

![Electric field distribution inside the device](image)

**Fig. 4.** The single-junction GaAs solar cell at 0.19ns under the injection of HPMs signal with amplitude of 120V and frequency of 2GHz. (a) The electric field distribution inside the device. (b) The temperature distribution inside the device.

In the negative half cycle (when the amplitude of the injected wave is less than zero), the largest PN junction between the emitter and the base is forward-biased, which leads to the current enhancement. Meanwhile, the depletion region of the back-surface field expands, resulting in the inversion of the base region, which then leads to an emergence of a large space charge region, due to the low doping concentration in the base region (Fig. 5). Finally, the high electric field and a huge current gather in the reverse-biased space charge region near the back-surface field, causing an increasing Joule heat and a high avalanche generation rate ($G$), which refers to Eqs. (3) and (4).

![Temperature distribution inside the device](image)

**Fig. 5.** The single-junction GaAs solar cell at 0.9ns under the injection of HPMs signal with amplitude of 120V and frequency of 2GHz. (a) The space charge distribution inside the device. (b) The temperature distribution inside the device.

### 3.1 The burnout caused by Joule heat accumulation

The burnout caused by Joule heat accumulation mainly occurs at the inflection point of the cathode front surface field (heterojunction), when a HPMs signal with a frequency above 3GHz is injected. The electric field intensity at the large curvature of the inflection point increases sharply, and the Joule heat that generated is much greater than the heat dissipation, causing the local temperature accumulation. Meanwhile, the material resistance decreases as temperature increases, further leading to an increase in current density ($J_{\text{hi}(p)}$) and intensification of Joule heat accumulation. Ultimately, the device burned out at 1.8ns (Fig. 6).

![Variation of peak temperature with time](image)

**Fig. 6.** Under the injection of HPMs signal with amplitude of 230V and frequency of 5GHz, the internal temperature and total current of the single-junction GaAs solar cell. (a) Variation of peak temperature with time. (b) Variation of total current with time.

Figure 7 illustrates the variation of damage energy with frequency under the HPMs injection with the same power but different frequency. The relationship between
damage energy and the frequency of injection can be expressed by the following fitting formula:

$$E = 452.6f_c^{0.99}$$

(6)

the correlation coefficient of Eq. (6) is 0.99, showing a high fitting degree. Furthermore, it reveals that the electric field becomes stronger and the hot carriers heat up more obviously, when injected with a higher frequency HPMs signal, leading to a faster burnout and less energy consumption.

Fig. 7. Damage energy versus frequency, when the frequency of injection is above 3GHz.

3.2 The burnout induced by avalanche breakdown

As the HPMs signal with a frequency below 3GHz is injected, the high electric field and the hot carriers in the reverse-biased space charge region stay longer (due to the mobility degradation in high electric field), resulting in a high avalanche generation rate ($G$). Finally, the avalanche multiplication effect occurs, and then leads to a sharp increase in the number of hot carriers, realizing the burnout of the device (Fig. 8).

The fitting variation of damage energy and the frequency of injection is depicted by the Fig. 9. The formula obtained by fitting is shown as follow:

$$E = 29.8f_c^{1.37}$$

(7)

the correlation coefficient of Eq. (7) is 1.37. Moreover, it demonstrates the difference between the two distinctive mechanisms of burnout. As the frequency of injection decreases, the drift time of the hot carrier in the reverse-biased space charge region near the back-surface field is prolonged, so the avalanche breakdown is more easily triggered.

Fig. 9. Damage energy versus frequency, when the frequency of injection is below 3GHz.

3.3 HPMs damage effects in the multi-junction GaAs solar cell

Compared to the single-junction GaAs solar cell, the triple-junction GaAs solar shown in Fig. 1(b), is more difficult to burn out under the injection of the same HPMs. When a HPMs signal with amplitude of 120V and frequency of 2GHz from the cathode port is injected, the number of space charge regions formed by the reverse bias of the back-surface field leaps; however, the space charge regions also have the effect of partial pressure, weakening the electric field strength and area of the reverse-biased space charge region [32]. Besides, due to the thickness of the battery increases, heat dissipation is enhanced. Therefore, compared with the single-junction solar cell, as the same HPMs signal is injected into the multi-junction solar cell, the accumulation of Joule heat becomes slower, and the avalanche generation rate ($G$) in the multi-junction GaAs solar cell also reduces (owing to the lesser accumulation of hot carriers and smaller area of reverse-biased space charge region), creating more difficulty in achieving burnout (Fig. 10 and Fig. 5).

Fig. 10. The triple-junction GaAs solar cell at 0.9ns under the injection of HPMs signal with amplitude of 120V and frequency of 2GHz. (a)
The space charge distribution inside the device.

3.4 Soft damage effects on the performance of GaAs solar cell

Analyzing the simulation results of the electron mobility inside the solar cell at multiple times and combining with Eq. (4), it can be concluded that when the injected HPMs signal does not reach the burnout threshold, the mobility \( \mu_{E} \) decreases temporarily under the high electric field, causing recoverable damage (Fig. 11).

Solving the continuity equations and Poisson's equation [33], the electron (hole) current expressions are expressed as follows:

\[
J_n = ne\mu_e E + qD_n \frac{\partial n}{\partial x} \tag{8}
\]

\[
J_p = pq\mu_h E - qD_p \frac{\partial p}{\partial x} \tag{9}
\]

in which \( \mu_{n(p)} \) represents the mobility of electron (hole), and \( D_{n(p)} \) indicates the diffusion coefficient of electron (hole). The following Eq. (10), known as the Einstein Relationship, connects the mobility and the diffusion coefficient [34].

\[
D_{e,p} = \frac{kT}{q} \mu_{e,p} \tag{10}
\]

Combining Eqs. (8), (9) and (10), the relationship between the electron (hole) current and the mobility can be obtained as follows:

\[
J_n = \left( p\mu_e E + kT \frac{\partial}{\partial x} n \right) \mu_e \tag{11}
\]

\[
J_p = \left( pq\mu_h E - kT \frac{\partial}{\partial x} p \right) \mu_h \tag{12}
\]

The above Eqs. (11) and (12) demonstrate that the mobility degradation can cause a decrease in current. Therefore, based on the carrier mobility distribution in Fig. 11(b), an equivalent device model is rebuilt and reinjected into light with different wavelengths. Obviously, the short-circuit current (\( J_{sc} \), used to measure the ability to supply power to outside) drops apparently, which shows that the injection of HPMs signal affects the performance of the GaAs solar cell (Fig. 12).

Fig. 12. Photon current density, photogenerated current density, and short-circuit current density as a function of wavelength for the GaAs solar cell. (a) The normal station of GaAs solar cell. (b) The abnormal station of the equivalent model.

4. Conclusion

This paper presents two-dimensional thermoelectric models of single-junction and triple-junction GaAs solar cells. By analyzing simulation results of the electric field, current density and temperature distribution of the devices, two different burnout mechanisms are proposed. In the positive half cycle, the high electric field at the inflection point of the cathode front surface field can trigger the burnout of GaAs solar cells, whereas during the negative half cycle, an avalanche multiplication effect could occur in the reverse-biased space charge region near the back-surface field. Besides, by fitting the results under different conditions, two predictable formulas are obtained, which demonstrate that the two failure mechanisms are different in sensitivity to the frequency of injection. The damage caused by Joule heat accumulation occurs when the frequency is above 3GHz, and damage energy decreases as the frequency increases; whereas the avalanche multiplication effect can be triggered when the frequency is below 3GHz, and damage energy rises as the frequency rises. In addition, when compared to the single-junction solar cell, the multi-junction GaAs solar cell is more difficult to burn out under the same HPM interference, due to the enhancement of heat dissipation and the drop of avalanche ionization rate. Furthermore, an equivalent model (based on the carrier mobility distribution at the time of soft damage) is reinjected into the light with different wavelengths and compared with the light injection under normal conditions; it reveals that the mobility degradation caused by HPMs interference can...
affect the ability of solar cells to supply power to the outside.

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