ICMJE Form for Disclosure of Potential Conflicts of Interest

**Instructions**

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

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2. **The work under consideration for publication.**

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking “No” means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check “Yes”.

3. **Relevant financial activities outside the submitted work.**

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4. **Intellectual Property.**

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. **Relationships not covered above.**

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- **Royalties:** Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Roberto

2. Surname (Last Name)  
   Casal

3. Date  
   20-March-2020

4. Are you the corresponding author?  
   Yes

5. Manuscript Title  
   : Endobronchial Ultrasound-Guided Injection of NBTXR3 Radio-Enhancing Nanoparticles into Mediastinal and Hilar Lymph Nodes: A Swine Model to Evaluate Feasibility, Injection Technique, Safety, Nanoparticle Retention and Dispersion

6. Manuscript Identifying Number (if you know it)  
   JTD-19-4065

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest?  
   Yes

If yes, please fill out the appropriate information below. If you have more than one entity press the “ADD” button to add a row. Excess rows can be removed by pressing the “X” button.

| Name of Institution/Company | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments |
|-----------------------------|--------|----------------|------------------------|--------|----------|
| Nanobiotix                  | ✓      | ☐             | ☐                      | ☐      |          |

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the “Add +” box. You should report relationships that were present during the 36 months prior to publication. Are there any relevant conflicts of interest?  
   Yes

If yes, please fill out the appropriate information below.

| Name of Entity | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments |
|----------------|--------|----------------|------------------------|--------|----------|
| Concordia      | ✓      | ☐             | ☐                      | ☐      |          |
| Siemens        | ✓      | ☐             | ☐                      | ☐      |          |
| Olympus        | ✓      | ✓             | ☐                      | ☐      |          |
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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  Yes  No

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Section 6. Disclosure Statement

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Dr. Casal reports grants from Nanobiotix, during the conduct of the study; grants from Concordia, grants from Siemens, grants and personal fees from Olympus, outside the submitted work.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Audra

2. Surname (Last Name)  
   Schwalk

3. Date  
   18-March-2020

4. Are you the corresponding author?  
   [ ] Yes  [x] No  
   Corresponding Author’s Name  
   Roberto F. Casal

5. Manuscript Title  
   Endobronchial Ultrasound-Guided Injection of NBTXR3 Radio-Enhancing Nanoparticles into Mediastinal and Hilar Lymph Nodes: A Swine Model to Evaluate Feasibility, Injection Technique, Safety, Nanoparticle Retention and Dispersion

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Section 4. Intellectual Property -- Patents & Copyrights

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Dr. Schwalk has nothing to disclose.

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## Section 1. Identifying Information

| 1. Given Name (First Name) | 2. Surname (Last Name) | 3. Date       |
|---------------------------|------------------------|--------------|
| Natalie                  | Fowlkes                | 18-March-2020|

4. Are you the corresponding author?  

- [ ] Yes  
- [x] No  

Corresponding Author’s Name  
Roberto Casal

5. Manuscript Title  
Endobronchial Ultrasound-Guided Injection of NBTXR3 Radio-Enhancing Nanoparticles into Mediastinal and Hilar Lymph Nodes: A Swine Model to Evaluate Feasibility, Injection Technique, Safety, Nanoparticle Retention and Dispersion

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- [x] No

## Section 4. Intellectual Property -- Patents & Copyrights

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Dr. Fowlkes has nothing to disclose.

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Section 1.

Identifying Information

1. Given Name (First Name)  
Rebeca

2. Surname (Last Name)  
Romero Aburto

3. Date  
20-March-2020

4. Are you the corresponding author?  
☐ Yes  ☑ No

Corresponding Author’s Name  
R Casal

5. Manuscript Title  
: Endobronchial Ultrasound-Guided Injection of NBTXR3 Radio-Enhancing Nanoparticles into Mediastinal and Hilar Lymph Nodes: A Swine Model to Evaluate Feasibility, Injection Technique, Safety, Nanoparticle Retention and Dispersion

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☐ Yes  ☑ No

If yes, please fill out the appropriate information below. If you have more than one entity press the “ADD” button to add a row. Excess rows can be removed by pressing the “X” button.

| Name of Institution/Company | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments |
|-----------------------------|--------|----------------|------------------------|--------|----------|
| Nanobiotix                  |        |                |                        | ☑      | Nanobiotix employee |

Section 3.

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## Section 1. Identifying Information

| 1. Given Name (First Name) | 2. Surname (Last Name) | 3. Date |
|----------------------------|------------------------|---------|
| William                    | Norton                 | 19-March-2020 |

4. Are you the corresponding author?  

- [ ] Yes  
- [x] No  

| Corresponding Author’s Name |
|-----------------------------|
| Roberto Casal               |

5. Manuscript Title  
Endobronchial Ultrasound-Guided Injection of NBTXR3 Radio-Enhancing Nanoparticles into Mediastinal and Hilar Lymph Nodes: A Swine Model to Evaluate Feasibility, Injection Technique, Safety, Nanoparticle Retention and Dispersion

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Are there any relevant conflicts of interest?

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The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

1. Identifying information.

2. The work under consideration for publication.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

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**ICMJE Form for Disclosure of Potential Conflicts of Interest**

### Section 1. Identifying Information

| 1. Given Name (First Name)       | 2. Surname (Last Name)   | 3. Date               |
|----------------------------------|--------------------------|-----------------------|
| Katherine                       | Dixon                    | 20-March-2020         |

4. Are you the corresponding author? [ ] Yes [x] No
   Corresponding Author's Name: R Casal

5. Manuscript Title
   Endobronchial Ultrasound-Guided Injection of NBTXR3 Radio-Enhancing Nanoparticles into Mediastinal and Hilar Lymph Nodes: A Swine Model to Evaluate Feasibility, Injection Technique, Safety, Nanoparticle Retention and Dispersion

6. Manuscript Identifying Number (if you know it)
   JTD-19-4065

### Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? [ ] Yes [x] No

### Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? [ ] Yes [x] No

### Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? [ ] Yes [x] No
Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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Dr. Dixon has nothing to disclose.

Evaluation and Feedback

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Steven
2. Surname (Last Name) Lin
3. Date 20-March-2020
4. Are you the corresponding author? Yes No
5. Manuscript Title
Endobronchial Ultrasound-Guided Injection of NBTXR3 Radio-Enhancing Nanoparticles into Mediastinal and Hilar Lymph Nodes: A Swine Model to Evaluate Feasibility, Injection Technique, Safety, Nanoparticle Retention and Dispersion
6. Manuscript Identifying Number (if you know it) JTD-19-4065

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the “ADD” button to add a row. Excess rows can be removed by pressing the “X” button.

| Name of Institution/Company | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments |
|-----------------------------|--------|----------------|------------------------|--------|----------|
| Nanobiotix                  | ✔      | ☐             | ☐                      | ☐      |          |

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the “Add +” box. You should report relationships that were present during the 36 months prior to publication.

Are there any relevant conflicts of interest? Yes No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No
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Section 6. Disclosure Statement

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Dr. Lin reports grants from Nanobiotix, during the conduct of the study;

Evaluation and Feedback

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Simona

2. Surname (Last Name)  
   Shaitelman

3. Date  
   20-March-2020

4. Are you the corresponding author?  
   [ ] Yes  
   [X] No

   Corresponding Author’s Name  
   R Casal

5. Manuscript Title  
   Endobronchial Ultrasound-Guided Injection of NBTXR3 Radio-Enhancing Nanoparticles into Mediastinal and Hilar Lymph Nodes: A Swine Model to Evaluate Feasibility, Injection Technique, Safety, Nanoparticle Retention and Dispersion

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Are there any relevant conflicts of interest?  
   [X] Yes  
   [ ] No

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Are there any relevant conflicts of interest?  
   [X] Yes  
   [ ] No

If yes, please fill out the appropriate information below.

| Name of Entity | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments |
|----------------|--------|-----------------|------------------------|--------|----------|
| Varian         | [X]    | [ ]             | [ ]                    | [ ]    |          |

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
   [ ] Yes  
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Dr. Shaitelman reports grants from Varian, outside the submitted work.

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Section 1. Identifying Information

1. Given Name (First Name)  
   Gouthami

2. Surname (Last Name)  
   Chintalapani

3. Date  
   21-March-2020

4. Are you the corresponding author?  
   Yes  [x] No

   Corresponding Author’s Name  
   Roberto F Casal

5. Manuscript Title  
   Endobronchial Ultrasound-Guided Injection of NBTXR3 Radio-Enhancing Nanoparticles into Mediastinal and Hilar Lymph Nodes: A Swine Model to Evaluate Feasibility, Injection Technique, Safety, Nanoparticle Retention and Dispersion

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Dr. Chintalapani has nothing to disclose.

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# ICMJE Form for Disclosure of Potential Conflicts of Interest

## Section 1. Identifying Information

1. **Given Name (First Name)**
   - Lori

2. **Surname (Last Name)**
   - Hill

3. **Date**
   - 18-March-2020

4. **Are you the corresponding author?**
   - Yes [ ]
   - No [x]

   **Corresponding Author’s Name**
   - Roberto Casal

5. **Manuscript Title**
   - Endobronchial Ultrasound-Guided Injection of NBTXR3 Radio-Enhancing Nanoparticles into Mediastinal and Hilar Lymph Nodes: A Swine Model to Evaluate Feasibility, Injection Technique, Safety, Nanoparticle Retention and Dispersion

6. **Manuscript Identifying Number (if you know it)**
   - JTD-19-4065

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Are there any relevant conflicts of interest?  
- Yes [ ]
- No [x]

## Section 3. Relevant financial activities outside the submitted work.

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- Yes [ ]
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## Section 4. Intellectual Property -- Patents & Copyrights

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- Yes [ ]
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Dr. Hill has nothing to disclose.

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