Vaniprevir plus peginterferon alfa-2b and ribavirin in treatment-naive Japanese patients with hepatitis C virus genotype 1 infection: a randomized phase III study

Norio Hayashi1 · Makoto Nakamuta2 · Tetsuo Takehara3 · Hiromitsu Kumada4 · Akiko Takase5 · Anita Yee Mei Howe6 · Steven W. Ludmerer6 · Niloufar Mobashery6

Abstract

Background Vaniprevir is a potent macrocyclic hepatitis C virus (HCV) nonstructural protein 3/4A protease inhibitor. This phase III study evaluated the safety and efficacy of vaniprevir in combination with peginterferon alfa-2b and ribavirin (PR) for 24 weeks compared with PR alone for 48 weeks in treatment-naive Japanese patients with HCV genotype 1 infection.

Methods Treatment-naive Japanese patients with HCV genotype 1 infection were randomly assigned to receive vaniprevir (300 mg twice daily) plus PR for 12 weeks then PR alone for 12 weeks, vaniprevir (300 mg twice daily) plus PR for 24 weeks, or PR alone for 48 weeks. The primary end point was sustained virologic response 24 weeks after completion of treatment (SVR24).

Results In total, 294 patients were randomly assigned to receive treatment. Most patients had HCV genotype 1b infection (98 %, 288 of 294 patients). SVR24 was achieved in 83.7, 84.5, and 55.1 % of the patients in the vaniprevir 12-week, vaniprevir 24-week, and control arms, respectively. The difference in SVR24 rates between each vaniprevir arm and the control arm was statistically significant (p < 0.001 for both). Relapse was commoner in the control arm (29.5 %) than in the vaniprevir arms (8.6 % and 10.5 % for the 12-week and 24-week arms, respectively). Commonly reported adverse events were generally similar across treatment arms, with the exception of an increase in the incidence of gastrointestinal adverse events such as nausea, diarrhea, and vomiting in patients receiving vaniprevir. These events were considered manageable.

Conclusion Vaniprevir is a valuable addition to the therapeutic options available to Japanese patients with HCV genotype 1 infection who are eligible for interferon-based treatment.

ClinicalTrials.gov identifier NCT01370642.

Keywords Vaniprevir · Hepatitis C virus · Peginterferon · Ribavirin · Japan

Introduction

There are approximately two million patients with hepatitis C virus (HCV) infection in Japan [1]. HCV infection is the leading cause of hepatocellular carcinoma in Japan, leading to more than 30,000 deaths each year. Peginterferon and ribavirin dual therapy has improved sustained virologic response (SVR) rates for patients with HCV...
infection; however, patients with HCV genotype 1 infection still experience virologic failure with this treatment.

Direct-acting antiviral agents (DAAs) have revolutionized the treatment of chronic HCV infection. Compared with peginterferon and ribavirin dual therapy, regimens including a DAA offer a greater opportunity for viral eradication, often achieving substantially higher efficacy with shorter treatment durations. Indeed, interferon-free regimens are now becoming available in certain geographic regions [2, 3]. However, from public health and health equity perspectives, there is an urgent need to overcome the numerous barriers to care and treatment for HCV infection in resource-constrained areas [4].

In Japan, the DAAs telaprevir and simeprevir were approved in 2011 and 2013, respectively, as components of triple therapy regimens in patients who have HCV genotype 1 infection with high viral load [5–9]. Both agents, in combination with peginterferon and ribavirin, yield improved SVR rates compared with peginterferon and ribavirin alone; however, telaprevir is limited by an increased incidence of anemia and serious skin rashes [8]. In addition, dual oral DAA therapy with daclatasvir and asunaprevir [10] was approved in Japan in July 2014.

Vaniprevir is a potent macrocyclic HCV nonstructural protein (NS) 3/4A protease inhibitor [11] that exhibits pronounced antiviral activity in vitro and in vivo [12, 13] and has demonstrated antiviral efficacy in combination with peginterferon and ribavirin in several phase II clinical trials in treatment-naive and treatment-experienced patients with HCV genotype 1 infection [14–17]. On the basis of the cumulative efficacy, safety, and pharmacokinetic data, vaniprevir at a dosage of 300 mg twice daily was selected for further evaluation [17]. Phase III studies of vaniprevir have been conducted in treatment-naive patients with HCV genotype 1 infection and in patients with HCV genotype 1 infection who relapsed or were nonresponders following prior treatment with interferon-based therapy. Herein we present the results of a phase III study in treatment-naive patients that evaluated the safety and efficacy of vaniprevir (300 mg twice daily) plus peginterferon alfa-2b and ribavirin (PR) for 24 weeks compared with PR alone for 48 weeks in treatment-naive Japanese patients with HCV genotype 1 infection. Vaniprevir plus PR received marketing approval in Japan in September 2014. For patients with HCV genotype 1 infection and high viral load, the Japan Society of Hepatology “Guidelines for the management of hepatitis C virus infection (ver 3.4)” recommend that simeprevir or vaniprevir plus PR is considered as first-line therapy for treatment-naive patients who are eligible for interferon-based therapy, and that dual oral therapy with daclatasvir and asunaprevir be considered in treatment-naive patients who are not eligible for interferon-based therapy.

Methods

The study was conducted in accordance with principles of good clinical practice, and was approved by the appropriate institutional review boards and regulatory agencies, and is registered with ClinicalTrials.gov (identifier NCT01370642, protocol 043).

Patients

Japanese patients aged 20–70 years with chronic, compensated HCV genotype 1 infection were enrolled in the study. Other key inclusion and exclusion criteria included no history of interferon-based antiviral therapy, no evidence of cirrhosis, HCV RNA levels of 5.0 log IU/mL or greater, and other protocol-defined laboratory values at screening. Patients with HIV or hepatitis B virus infection or evidence of chronic hepatitis because of a non-HCV-related cause were excluded.

Study design

This was a phase III, multicenter, randomized, placebo-controlled study. The study was double-blinded up to the week 24 visit; after this visit, patients, investigators, and personnel employed by the study sponsor were inevitably unblinded because of the difference in the durations of the treatment period among the study arms (24 weeks for vaniprevir plus PR; 48 weeks for PR alone). The official unblinding was performed after the data has been declared complete and protocol violations had been identified for all visits in all patients for analysis of the primary end point.

Patients were randomly assigned 1:1:1 to one of three treatment arms. In arm 1 (hereinafter referred to as the 12-week arm), patients received vaniprevir (300 mg twice daily) plus PR [peginterferon alfa-2b (1.5 μg/kg/week) and ribavirin (600–1000 mg/day)] for 12 weeks followed by placebo plus PR for 12 weeks (total treatment duration 24 weeks); in arm 2 (hereinafter referred to as the 24-week arm), patients received vaniprevir (300 mg twice daily) plus PR for 24 weeks (total treatment duration 24 weeks); and in arm 3 (hereinafter referred to as the control arm), patients received placebo plus PR for 24 weeks, then PR alone for 24 weeks (total treatment duration 48 weeks). To maintain blinding, vaniprevir and identically appearing placebo capsules were prepared centrally and supplied to the investigators. Randomization was stratified according to age (younger than 65 years/65 years or older), site, and IL28B (rs12979860) genotype (major CC/minor CT and TT). Randomization was performed by a computer-generated randomized allocation schedule prepared by the study
Virologic failure was defined as detectable HCV RNA at treatment week 36 (applicable for the control arm only), virologic breakthrough (undetectable HCV RNA followed by an HCV RNA level greater than 1000 IU/mL while the patient was receiving therapy), incomplete virologic response/rebound (≥1 log increase in HCV RNA level from the nadir followed by an HCV RNA level greater than 1000 IU/mL), or relapse (detectable HCV RNA at two consecutive visits following the end of all study treatment after the patients has had undetectable HCV RNA while receiving treatment). For patients in the control arm with virologic failure, treatment was discontinued, and after reconfirmation of eligibility and their reconsent, they were offered further open-label treatment with vaniprevir plus PR for 24 weeks (rollover arm).

**End points**

The primary efficacy end point was SVR24, defined as undetectable HCV RNA 24 weeks after completion of treatment. Secondary virologic end points included the proportion of patients with rapid virologic response (undetectable HCV RNA at treatment week 4), complete early virologic response (undetectable HCV RNA at treatment week 12), end-of-treatment response, and SVR12 (undetectable HCV RNA 12 weeks after completion of treatment). Safety evaluations included adverse event (AE) reporting, laboratory test values, physical examinations, 12-lead electrocardiography (ECG), and vital sign assessments. Safety events prespecified as events of interest in the protocol were rash categorized as a serious AE (SAE), anemia (anemia and hemoglobin decreased), neutropenia (neutropenia and neutrophil count decreased), blood bilirubin increased, and gastrointestinal AEs (vomiting, nausea, and diarrhea). Resistance-associated variants (RAVs) in the HCV NS3 region were evaluated as one of the exploratory end points defined in the protocol. Baseline samples were tested for RAVs in all patients. Additional samples from patients who met the criteria for virologic failure were tested for RAVs at the time of failure (or with the first sample collected following failure) and for an additional follow-up period as defined in the protocol. Additional testing for variants in the HCV NS5A region was retrospectively conducted as part of exploratory research, with use of plasma samples collected at the baseline and at the time of virologic failure from patients with HCV genotype 1b infection who consented to optional specimen collection for future biomedical research.

**Assays**

**Serum HCV RNA concentrations**

Serum HCV RNA levels were measured with the Roche COBAS® TaqMan® HCV auto assay. The limit of quantification was 1.2 log IU/mL (15 IU/mL) and the limit of detection was less than 1.2 log IU/mL, but with no specific value.

**Resistance testing**

RAVs in the NS3/4A gene and the NS5A gene were assessed by the direct sequencing method (Sanger method/population sequencing method). Assessment of RAVs in the NS3/4A and NS5A regions was performed only in samples with a viral titer greater than 1000 IU/mL because of the sensitivity of the assay. The HCV NS3/4A gene was amplified, population sequenced, and compared with the respective reference sequence, GT1a_H77 (GenBank AF009606) for genotype 1a, or GT1b_Con1 (GenBank AJ238799) for genotype 1b to identify polymorphisms at each amino acid position. Resistance analysis focused on amino acid polymorphisms that have previously been detected in patients in whom treatment with HCV protease inhibitors, including vaniprevir, failed. These variants encompass amino acid residues V36, Q41, F43, T54, V55, Y56, Q80, R155, A156, D168, I170 (genotype 1a), and V170 (genotype 1b) within the NS3 protease domain. In addition, amino acid residues L23, Q24, L28, R30, L31, P32, F37, Q54, P58, Q62, A92, and Y93 were included in the analysis of variants in the NS5A gene. The NS3/4A and NS5A evaluations were performed independently, and the sensitivities of the assays are such that a given polymorphism must be present in at least 25 % (NS3/4A) or 10 % (NS5A) of the total viral population to be detected. In vitro potency measurements were made with the replicon system as previously described [12]: vaniprevir potency was measured in triplicate in a 20-point twofold dilution series over a concentration range of 0.019 nM to 10 μM. Mutants within the NS3 gene or NS5A gene were engineered into the GT1a_H77 or GT1b_Con1 replicon with stable cell lines generated by standard molecular biology techniques.

**Statistics**

Target enrollment was approximately 285 patients. With this sample size, a response rate of 50 % in the control arm and 75 % in the vaniprevir arms would result in...
95 % power to demonstrate that vaniprevir is superior to the control treatment at an alpha level of 0.05, as measured by the proportion of patients achieving SVR24.

The full analysis set population served as the primary population for the analysis of efficacy data and consisted of all randomized patients who received one or more doses of study treatment. Patients were included in the treatment group to which they were randomized for the analysis. For primary and secondary efficacy end points, differences between each vaniprevir arm and the control arm were assessed with use of 95 % confidence intervals (CIs) and associated p values calculated by the Miettinen and Nurminen method [18]. The all-patients-as-treated population was used for the analysis of safety data and consisted of all randomized patients who received one or more doses of study treatment and had at least one safety assessment; however, unlike the full analysis set population, patients were included in the treatment group that corresponded to the treatment they actually received for the analysis. AEs (specific terms as well as system organ class terms) were summarized by treatment arm. Safety end points prespecified as events of interest were subject to inferential testing for statistical significance with p values, and 95 % CIs provided between-group comparisons by the Miettinen and Nurminen method [18]. Summary statistics for baseline, after treatment, and change from baseline values were provided for laboratory parameters, 12-lead ECG, and vital signs.

Results

This study was performed at 55 study sites in Japan between July 2011 and March 2014. A total of 357 patients provided informed consent, and 294 were randomly assigned to treatment (98 patients in each arm). Fifteen patients randomly assigned to receive vaniprevir discontinued the treatment period (12-week arm, n = 9; 24-week arm, n = 6), and 14 patients discontinued the follow-up period (12-week arm, n = 8; 24-week arm, n = 6) (Fig. 1). In the control arm, 32 patients discontinued the treatment period, and 29 patients discontinued the follow-up period. Twenty-two patients with virologic failure in the control arm were enrolled in the rollover arm.

Patient demographics are shown in Table 1. No significant difference in demographic characteristics was observed between each vaniprevir arm and the control arm. The proportion of females was slightly higher in the vaniprevir 12-week arm than in the other arms, and the proportion of patients aged 65 years or older was similar across the three arms. Most patients had HCV genotype 1b infection and IL28B (rs12979860) CC genotype. All patients with IL28B (rs12979860) CC genotype had IL28B (rs8099917) TT genotype and five patients with IL28B (rs8099917) TT genotype had IL28B (rs12979860) CT genotype.

Four patients received incorrect study medications. Of these patients, one in the 24-week arm was excluded from the efficacy and safety analyses because it was considered that appropriate evaluation for both efficacy and safety was not possible. The other three patients were included in full analysis set and safety evaluation. These patients were included in their planned treatment groups for the efficacy analysis and in their actual treatment groups for safety and viral resistance analysis.

Virologic response

The proportions of patients with SVR24 (the primary end point) were 83.7, 84.5, and 55.1 % in the vaniprevir 12-week, vaniprevir 24-week, and control arms, respectively. The difference in SVR24 rates between each vaniprevir arm and the control arm was statistically significant (p < 0.001 for both) (Table 2). The adjusted between-group differences (compared with the control arm) were 29.0 % (95 % CI 17.2–40.5) and 28.6 % (95 % CI 17.4–40.0) in the vaniprevir 12-week and vaniprevir 24-week arms, respectively. The rate of undetectable HCV RNA at treatment weeks 4 and 12 was significantly higher in each vaniprevir arm compared with the control arm (p < 0.001 for both). End-of-treatment responses were 95.9, 97.9, and 79.6 % in the vaniprevir 12-week, vaniprevir 24-week, and control arms, respectively (p < 0.001 for either vaniprevir treatment arm versus the control arm). Virologic failure was reported in 9.2 and 10.3 % of patients in the vaniprevir 12-week and vaniprevir 24-week arms, respectively. This was predominantly due to relapse, apart from one patient in the vaniprevir 12-week arm who had virologic breakthrough. In the control arm, virologic failure occurred in 38.8 % of patients. Relapse was commoner among patients in the control arm (29.5 %) than in those receiving vaniprevir (8.6 and 10.5 % for the 12- and 24-week arms, respectively).

SVR24 subgroup analyses

In general, higher SVR24 rates were observed in the vaniprevir arms than in the control arm for patients younger than 65 years and for patients aged 65 years or older (Table 2). The adjusted between-group differences (compared with the control arm) were 28.2 % (95 % CI 14.8–40.99) and 27.7 % (95 % CI 14.0–40.56) in the vaniprevir 12-week and vaniprevir 24-week arms, respectively, for patients younger than 65 years and 29.6 % (95 % CI −5.7 to 58.27) and 38.6 % (95 % CI 5.4–64.62)
in the vaniprevir 12-week and vaniprevir 24-week arms, respectively, for patients aged 65 years or older.

In all treatment arms, patients with the \textit{IL28B} CT/TT allele tended to have lower SVR 24 rates than patients with the \textit{IL28B} CC allele (Table 2). Among patients with the \textit{IL28B} CC allele, the differences in SVR 24 rates between the vaniprevir and control arms were 23.5 and 26.8 \% for the 12- and 24-week arms, respectively. Among patients with the \textit{IL28B} CT/TT allele, the differences were 41.8 and 35.5 \% in the 12- and 24-week arms, respectively. Of the six patients with HCV genotype 1a infection enrolled in the study, five patients were in the vaniprevir treatment groups (12-week arm, \textit{n} = 2; 24-week arm, \textit{n} = 3). Of these five patients, two achieved SVR 24, two discontinued the study before follow-up week 24, and one relapsed.

Approximately 90 \% of patients receiving vaniprevir (12-week arm and 24-week arm combined) were 80 \% or more adherent to vaniprevir treatment and 78–85 \% of patients were 80 \% or more adherent to peginterferon alfa-2b or ribavirin treatment. Patients who were 80 \% or more adherent to vaniprevir, peginterferon alfa-2b, or ribavirin treatment tended to have higher SVR\textsubscript{24} rates than those who were less than 80 \% adherent (Table 2).

**HCV RNA decline**

The mean decline in HCV RNA levels was more rapid among patients in the vaniprevir arms than among those in the control arm (Fig. S1). Among patients in the vaniprevir arms, there was an approximate 5 log\textsubscript{10} drop in mean HCV RNA levels during the first week of therapy. Overall, approximately 86 \% of patients in the vaniprevir arms had undetectable HCV RNA at treatment week 4.

**Resistance-associated variants**

\textit{Variants in the HCV NS3 gene}

Baseline sequences were available for all patients who received vaniprevir; however, data from the one patient
excluded from the efficacy and safety analyses were also excluded from the RAV analysis. In total, 127 of 195 patients treated with vaniprevir (65.1%) had RAVs at the baseline, including 111 of 164 patients (67.7%) who achieved SVR24 (Table 3). SVR24 was achieved by 111 of 127 patients (87.4%) with RAVs at the baseline and 53 of 68 patients (77.9%) without detectable RAVs at the baseline. Q80L (n = 21, including four patients with a Q/L mixed population), V170I (n = 83, including four patients with a V170I/M/V mixed population and three patients with a V170I/V mixed population), and Y56F (n = 66, including six patients with a Y56F/Y mixed population) were the commonest variants at the baseline, and no apparent difference in prevalence was observed between the SVR and non-SVR populations. In vitro, Q80L confers an eightfold loss of potency on vaniprevir, whereas Y56F and V170I confer potency losses of threefold or less (Table S1). Q80L was not associated with treatment failure, with 19 of 21 patients with baseline Q80L or Q80L/Q achieving SVR24 (Table 3). Greater in vitro potency losses due to vaniprevir result from mutations at R155, A156 (excluding A156S), or D168 (40-fold to several hundred-fold; Table S1). Mutations at these residues were not detected at the baseline, with the exception of five patients with D168E or D168E/D mutations (40-fold potency shift in genotype 1b, Table S1), all of whom achieved SVR24 (Table 3).

Nineteen of 195 patients (9.7%) enrolled in one of the two vaniprevir arms met the criteria for virologic failure (Tables 3, 4). Of these, 18 patients experienced viral relapse and one had viral breakthrough. Sixteen patients had RAVs at failure (D168V, n = 9; D168D/V mixed population, n = 1; D168H, n = 1; D168T, n = 1; R155 K, n = 2; T54S, n = 1; Y56F, n = 2; Q80L, n = 3; V170I, n = 8). Two patients did not have any known RAVs at failure, and a sequence was unavailable for one patient.

Virologic failure was principally associated with the emergence of mutations at D168 or R155, with 14 patients (73.7%) having a mutation emerge at failure at one of these two loci. In all cases these mutations were not present...
at the baseline but emerged during treatment. The D168 mutations diminished rapidly following completion or discontinuation of vaniprevir treatment as evidenced by the reappearance of wild-type virus at follow-up visits. The T54S, Y56F, and V170I variants observed at failure were also observed at the baseline, and did not emerge during therapy.

Of the two patients with the R155K variant at failure, one had HCV genotype 1a infection (relapse) and one had HCV genotype 1b infection (breakthrough). The R155K variant is uncommon in patients with HCV genotype 1b infection because a two-nucleotide change is necessary to generate the mutation. Sequencing of a baseline sample from this patient revealed a common HCV genotype 1b codon for R155, indicating that the R155K variant emerged from a rare two-nucleotide mutation. The patient with HCV genotype 1b infection and the R155K variant at failure continuously detected R155K through to the patient’s final visit, which was conducted 24 weeks after completion of treatment.

Three patients had a Q80L mutation at failure. For one of these patients, D168V was also detected at failure; neither mutation was detected at the baseline, and the emerging D168V mutation (which confers a significant potency loss on vaniprevir) is the likely cause of virologic failure. Another patient had a combination of T54S, Q80L, and V170I mutations at both the baseline and failure. Subsequent phenotyping in the replicon assay demonstrated that the triple combination of T54S:Q80L:V170I

Table 2 Virologic response rates

|                       | Vaniprevir 12-week arm (n = 98) | Vaniprevir 24-week arm (n = 97) | Control arm (n = 98) |
|-----------------------|-------------------------------|--------------------------------|---------------------|
| SVR<sub>24</sub>, all| 82/98 (83.7 %)                | 82/97 (84.5 %)                  | 54/98 (55.1 %)      |
| SVR<sub>24</sub> by subgroup |                               |                                |                     |
| Age (years)           |                               |                                |                     |
| <65                   | 71/83 (85.5 %)                 | 68/80 (85.0 %)                  | 47/82 (57.3 %)      |
| ≥65                   | 11/15 (73.3 %)                 | 14/17 (82.4 %)                  | 7/16 (43.8 %)       |
| IL28B (rs12979860)    |                               |                                |                     |
| CC                    | 59/64 (92.2 %)                 | 63/66 (95.5 %)                  | 46/67 (68.7 %)      |
| CT/TT                 | 23/34 (67.6 %)                 | 19/31 (61.3 %)                  | 8/31 (25.8 %)       |
| Vaniprevir treatment adherence (% dosage received) |                   |                                |                     |
| <80 %                 | 1/7 (14.3 %)                   | 2/7 (28.6 %)                    | NA                  |
| ≥80 %                 | 81/91 (89.0 %)                 | 80/90 (88.9 %)                  | NA                  |
| Peginterferon alfa-2b treatment adherence (% dosage received) |                   |                                |                     |
| <80 %                 | 8/17 (47.1 %)                  | 9/15 (60.0 %)                   | 6/37 (16.2 %)       |
| ≥80 %                 | 74/81 (91.4 %)                 | 73/82 (89.0 %)                  | 48/61 (78.7 %)      |
| Ribavirin treatment adherence (% dosage received) |                   |                                |                     |
| <80 %                 | 11/22 (50.0 %)                 | 10/17 (58.8 %)                  | 15/45 (33.3 %)      |
| ≥80 %                 | 71/76 (93.4 %)                 | 72/82 (90.0 %)                  | 39/53 (73.6 %)      |
| Virologic failure     |                               |                                |                     |
| Breakthrough          | 1/98 (1.0 %)                   | 0/97 (0 %)                      | 0/98 (0 %)          |
| Incomplete virologic response/rebound |                   |                                |                     |
| 0/98 (0 %)            | 0/97 (0 %)                     | 0/98 (0 %)                      | 5/98 (5.1 %)        |
| Relapse               | 8/98 (8.2 %)                   | 10/97 (10.3 %)                  | 23/98 (23.5 %)      |
| Detectable HCV RNA at TW36 | NA                             | NA                              | 10/98 (10.2 %)      |
| Virologic response    |                               |                                |                     |
| Undetectable HCV RNA at TW4 | 85/98 (86.7 %)              | 83/97 (85.6 %)                  | 9/98 (9.2 %)        |
| Undetectable HCV RNA at TW12 | 93/98 (94.9 %)              | 94/97 (96.9 %)                  | 46/98 (46.9 %)      |
| End-of-treatment response | 94/98 (95.9 %)              | 95/97 (97.9 %)                  | 78/98 (79.6 %)      |
| SVR at follow-up week 12 | 82/98 (83.7 %)              | 82/97 (84.5 %)                  | 53/98 (54.1 %)      |
| Relapse after treatment completion |                   |                                |                     |
| Relapse rate          | 8/93 (8.6 %)                   | 10/95 (10.5 %)                  | 23/78 (29.5 %)      |

HCV hepatitis C virus, NA not applicable, SVR sustained virologic response, SVR<sub>24</sub> sustained virologic response 24 weeks after completion of treatment, TW treatment week

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conferred a negligible change in vaniprevir potency (Table S1), either when engineered into a genotype 1b reference strain or as a chimeric replicon matching this patient’s NS3 protease sequence. The third patient had only a Q80L mutation at failure, but this was not observed at the baseline. As discussed earlier, Q80L was commonly observed at the baseline among patients who achieved SVR24 and does not appear to be linked to virologic failure of vaniprevir treatment. In addition to these three patients, there was one additional patient who had no known RAVs at failure despite the presence of the Q80L variant at the baseline. This patient had a Q80L/Q mixed population at the baseline, but was homogeneous for Q80 at failure.

### Variants in the HCV NS5A gene

A total of 169 samples collected at the baseline from patients who consented to optional specimen collection for future biomedical research were sequenced through the HCV NS5A gene and were available for analysis. Table S2 shows the distribution of the polymorphisms within the NS5A gene at the baseline that were detected at positions L31 and Y93, positions strongly linked to NS5A inhibitor resistance, and those which were detected at other positions in 10% or more of patients in one or more treatment groups. The commonest of these latter variants were F37L (85/147, 57.8%) and Q54H (64/147, 43.5%). Generally, no apparent difference in prevalence of these NS5A variants was observed between SVR and non-SVR populations. Mutations at L31 were detected only in patients who achieved SVR 24. Of the mutations detected at L31, the prevalence of baseline L31M was 2.4% (four of 169 patients). The prevalence of Y93H mutations (including Y93Y/H and Y93Y/C/H) was 16.0% (27 of 169 patients). Y93Y/F was detected in one patient who achieved SVR 24. The SVR 24 rate in patients with these baseline Y93 mutations was 75.0% (six of eight patients) and 84.6% (11 of 13 patients) in the vaniprevir 12-week and vaniprevir 24-week arms, respectively, and was considered comparable with the overall SVR24 rate for each vaniprevir arm (83.7 and 84.5% in the 12- and 24-week arms, respectively). There were no treatment-emerging mutations in NS5A in patients who met the criteria for virologic failure and for whom the results of NS5A sequencing were available.

### Table 3 Distribution of baseline resistance-associated variants (RAVs) in the hepatitis C virus nonstructural protein 3 (NS3) region among patients receiving vaniprevir-based treatment

|                  | Patients with SVR 24 | Patients with non-SVR 24 | Total |
|------------------|----------------------|--------------------------|-------|
|                  | 12-week arm (n = 82) | 24-week arm (n = 82) | 12-week arm (n = 9) | 24-week arm (n = 10) | 12-week arm (n = 98) | 24-week arm (n = 97) |
| Patients with sample sequenced | 82 | 82 | 9 | 10 | 7 | 5 | 98 | 97 |
| Patients with any mutation | 57/82 (69.5%) | 54/82 (65.9%) | 59/9 (55.6%) | 6/10 (60.0%) | 37 (42.9%) | 25 (40.0%) | 65/98 (66.3%) | 62/97 (63.9%) |
| Patients with a specific mutation* | V36L 1 (1.8%) | 0 (0%) | 0 (0%) | 0 (0%) | 0 (0%) | 0 (0%) | 1 (1.5%) | 0 (0%) |
|                  | Q41T 0 (0%) | 1 (1.9%) | 0 (0%) | 0 (0%) | 0 (0%) | 0 (0%) | 0 (0%) | 1 (1.6%) |
|                  | T54S 2 (3.5%) | 4 (7.4%) | 0 (0%) | 1 (16.7%) | 0 (0%) | 0 (0%) | 2 (3.1%) | 5 (8.1%) |
|                  | Y56F 27 (47.4%) | 25 (46.3%) | 3 (60.0%) | 0 (0%) | 3 (100%) | 2 (100%) | 33 (50.8%) | 27 (43.5%) |
|                  | Y56F/Y 3 (5.3%) | 3 (5.6%) | 0 (0%) | 0 (0%) | 0 (0%) | 0 (0%) | 3 (4.6%) | 3 (4.8%) |
|                  | Q80L 8 (14.0%) | 8 (14.8%) | 0 (0%) | 1 (16.7%) | 0 (0%) | 0 (0%) | 8 (12.3%) | 9 (14.5%) |
|                  | Q80L/Q 1 (1.8%) | 2 (3.7%) | 0 (0%) | 0 (0%) | 0 (0%) | 0 (0%) | 1 (1.5%) | 3 (4.8%) |
|                  | D168E 1 (1.8%) | 2 (3.7%) | 0 (0%) | 0 (0%) | 0 (0%) | 0 (0%) | 1 (1.5%) | 2 (3.2%) |
|                  | D168D/E 1 (1.8%) | 1 (1.9%) | 0 (0%) | 0 (0%) | 0 (0%) | 0 (0%) | 1 (1.5%) | 1 (1.6%) |
|                  | V170I 34 (59.6%) | 30 (55.6%) | 3 (60.0%) | 5 (83.3%) | 3 (100%) | 1 (50.0%) | 40 (61.5%) | 36 (58.1%) |
|                  | V170I/V 0 (0%) | 3 (5.6%) | 0 (0%) | 0 (0%) | 0 (0%) | 0 (0%) | 0 (0%) | 3 (4.8%) |
|                  | V170I/M/V 2 (3.5%) | 1 (1.9%) | 1 (20.0%) | 0 (0%) | 0 (0%) | 0 (0%) | 3 (4.6%) | 1 (1.6%) |
|                  | V170T 0 (0%) | 1 (1.9%) | 0 (0%) | 0 (0%) | 0 (0%) | 0 (0%) | 0 (0%) | 1 (1.6%) |

SVR 24: sustained virologic response 24 weeks after completion of treatment

* Expressed as a percentage of the total number of patients with any baseline NS3 RAVs
Table 4 Patients in the vaniprevir arms who met the virologic failure criteria and resistance-associated variants (RAVs) in the hepatitis C virus (HCV) nonstructural protein 3 (NS3) region detected at the baseline, at failure, and during the follow-up period

| Patient | Treatment group (week) | Treatment failure category | Genotype | IL28B (rs12979860) | Age (years) | Sex | Treatment failure confirmed (study day) | Genotype in NS3 region at the baseline | Sample collection day for RAVs in NS3 region at failure | RAVs in NS3 region |
|---------|------------------------|----------------------------|----------|-------------------|-------------|-----|----------------------------------------|-------------------------------------|------------------------------------------|-------------------|
| 1       | 12                     | Relapse                    | lb       | TT                | 59          | F   | 199                                    | Y56F, V170I                         | 213 (FU4)                  | Y56F, D168V, V170I |
| 2       | 12                     | Relapse                    | lb       | CT                | 54          | F   | 197                                    | None                               | 210 (FU4)                  | R155K, R155K, R155K |
| 3       | 12                     | Relapse                    | lb       | CT                | 69          | M   | 197                                    | None                               | 205 (FU4)                  | D168V, None, None   |
| 4       | 12                     | Virologic breakthrough     | lb       | CT                | 55          | M   | 140                                    | Y56F                               | 161 (on treatment)          | Y56F, R155K        |
| 5       | 12                     | Relapse                    | lb       | CT                | 64          | F   | 57                                     | Y56F, V170I/M/V                   | NC                        | NC, NC, NC          |
| 6       | 12                     | Relapse                    | lb       | CT                | 61          | F   | 252                                    | V170I                              | 259 (FU12)                 | NA, D168V, V170I   |
| 7       | 12                     | Relapse                    | lb       | CT                | 65          | F   | 223                                    | V170I                              | 234 (FU4)                  | D168V, V170I, V170I |
| 8       | 12                     | Relapse                    | lb       | CT                | 25          | F   | 86                                     | None                               | 96 (FU4)                   | D168V, None, None   |
| 9       | 12                     | Relapse                    | lb       | CT                | 22          | M   | 253                                    | None                               | 270 (FU12)                 | NA, None, None      |
| 10      | 24                     | Relapse                    | lb       | CT                | 63          | F   | 203                                    | None                               | 259 (FU12)                 | NA, None, None      |
| 11      | 24                     | Relapse                    | lb       | CT                | 62          | F   | 205                                    | None                               | 211 (FU4)                  | Q80L, Q60L, D168V  |
| 12      | 24                     | Relapse                    | lb       | CT                | 60          | F   | 204                                    | None                               | 260 (FU12)                 | D168T, D168T, D168A/D/ N/T |
| 13      | 24                     | Relapse                    | lb       | CT                | 59          | M   | 196                                    | V170I                              | 203 (FU4)                  | D168V, V170I, V170I |
| 14      | 24                     | Relapse                    | lb       | CT                | 56          | F   | 253                                    | Q80L/Q                             | 316 (FU20)                 | NA, None, None      |
| 15      | 24                     | Relapse                    | lb       | CT                | 60          | F   | 253                                    | V170I                              | 260 (FU12)                 | NA, D168V, V170I    |
| 16      | 24                     | Relapse                    | lb       | CT                | 43          | F   | 205                                    | V170I                              | 213 (FU4)                  | D168V, V170I, V170I |
| 17      | 24                     | Relapse                    | lb       | CT                | 66          | M   | 197                                    | None                               | 225 (FU4)                  | D168V, D168V, None  |
| 18      | 24                     | Relapse                    | lb       | CT                | 67          | F   | 246                                    | T54S, Q80L, V170I                | 373f                      | T54S, Q80L, V170I  |
available (Table S3), and the outcome from vaniprevir-based treatment was not influenced by the baseline presence of major NS5A mutations, as expected from the mode of action of vaniprevir, which targets the NS3 protease.

Safety

The AE profile was largely similar across all treatment arms. Administration of vaniprevir did not increase the incidence of SAEs or discontinuations due to AEs relative to treatment with PR alone (Table 5). No deaths were reported. Commonly reported AEs were generally similar in the vaniprevir arms and the control arm, with the exception of an increase in the incidence of gastrointestinal AEs such as vomiting, nausea, and diarrhea in the vaniprevir arms compared with the control arm. Gastrointestinal AEs (vomiting, nausea, and diarrhea) occurred more frequently in the vaniprevir 12-week arm than in the control arm (62.2 % vs 46.9 %, \( p = 0.032 \)); however, no significant difference in frequency was observed between the vaniprevir 24-week arm and the control arm (52.6 % vs 46.9 %, \( p = 0.432 \)). These gastrointestinal AEs (vomiting, nausea, and diarrhea) tended to occur early during the course of therapy (approximately within 2 weeks after the start of treatment). One patient receiving vaniprevir for 12 weeks had a gastrointestinal SAE of moderate vomiting, which resolved on treatment after a ribavirin dose reduction, and two patients discontinued use of the study medications because of gastrointestinal AEs (moderate vomiting in one patient in the 12-week arm, and severe vomiting and diarrhea in one patient in the 24-week arm). One additional patient in the 12-week arm reported severe nausea. All other gastrointestinal AEs were mild to moderate in severity. Thus, gastrointestinal AEs were deemed manageable.

The incidence of anemia, blood bilirubin increased level, and neutropenia was similar across treatment arms, and serious rash was not reported in any patient (Table 5). In addition, mean changes from the baseline in the laboratory tests for hemoglobin, bilirubin, and neutrophils were not different between the vaniprevir arms and the control arm. A similar trend was observed in alanine transaminase level, aspartate aminotransferase level, and platelet count (Fig. S2). There were no clinically meaningful differences in vital signs or in ECG parameters between the vaniprevir arms and the control arm. Overall, the safety profiles were comparable between the 12- and 24-week arms.

Rollover arm

Of the 22 patients with virologic failure who were enrolled in the rollover arm, four patients discontinued use of the study medications (Fig. 1) (one each because of an AE of
decreased appetite, virologic breakthrough, incomplete virologic response/rebound, and withdrawal by the patient). The efficacy, viral resistance, and safety outcomes in the rollover arm were consistent with the results from other vaniprevir studies in treatment-experienced patients.

### Table 5 Adverse events (AEs)

|                                      | Vaniprevir 12-week arm (n = 98) | Vaniprevir 24-week arm (n = 97) | Control arm (n = 98) |
|--------------------------------------|---------------------------------|---------------------------------|---------------------|
| Any AE                               | 98 (100.0 %)                    | 97 (100.0 %)                    | 98 (100.0 %)        |
| Serious AEs,                          | 5 (5.1 %)                       | 6 (6.2 %)                       | 9 (9.2 %)           |
| Serious drug-related AEs             | 4a (4.1 %)                      | 4b (4.1 %)                      | 4c (4.1 %)          |
| Deaths                               | 0 (0.0 %)                       | 0 (0.0 %)                       | 0 (0.0 %)           |
| Discontinuation due to an AE         | 7 (7.1 %)                       | 3 (3.1 %)                       | 11 (11.2 %)         |
| Discontinuation due to a drug-related AE | 7d (7.1 %)                  | 3d (3.1 %)                      | 10d (10.2 %)        |
| Common AEs a                         |                                 |                                 |                     |
| Pyrexia                              | 79 (80.6 %)                     | 69 (71.1 %)                     | 80 (81.6 %)         |
| Neutrophil count decreased           | 50 (51.0 %)                     | 46 (47.4 %)                     | 43 (43.9 %)         |
| Headache                             | 49 (50.0 %)                     | 47 (48.5 %)                     | 46 (46.9 %)         |
| White blood cell decreased           | 45 (45.9 %)                     | 44 (45.4 %)                     | 45 (45.9 %)         |
| Rash                                 | 42 (42.9 %)                     | 33 (34.0 %)                     | 45 (45.9 %)         |
| Nausea                               | 36 (36.7 %)                     | 32 (33.0 %)                     | 27 (27.6 %)         |
| Hemoglobin decreased                 | 35 (35.7 %)                     | 31 (32.0 %)                     | 42 (42.9 %)         |
| Decreased appetite                   | 32 (32.7 %)                     | 32 (33.0 %)                     | 35 (35.7 %)         |
| Malaise                              | 31 (31.6 %)                     | 32 (33.0 %)                     | 37 (37.8 %)         |
| Alopecia                             | 31 (31.6 %)                     | 30 (30.9 %)                     | 33 (33.7 %)         |
| Arthralgia                           | 30 (30.6 %)                     | 34 (35.1 %)                     | 29 (29.6 %)         |
| Diarrhea                             | 30 (30.6 %)                     | 21 (21.6 %)                     | 22 (22.4 %)         |
| Pruritus                             | 29 (29.6 %)                     | 34 (35.1 %)                     | 35 (35.7 %)         |
| Platelet count decreased             | 28 (28.6 %)                     | 36 (37.1 %)                     | 36 (36.7 %)         |
| Vomiting                             | 25 (25.5 %)                     | 30 (30.9 %)                     | 9 (9.2 %)           |
| Nasopharyngitis                      | 21 (21.4 %)                     | 29 (29.9 %)                     | 31 (31.6 %)         |
| AEs of interest b                    |                                 |                                 |                     |
| Any event                            | 88 (89.8 %)                     | 81 (83.5 %)                     | 84 (85.7 %)         |
| Anemia/hemoglobin decreased          | 59 (60.2 %)                     | 50 (51.5 %)                     | 63 (64.3 %)         |
| Bilirubin increased                  | 7 (7.1 %)                       | 12 (12.4 %)                     | 7 (7.1 %)           |
| Gastrointestinal AEs (vomiting, nausea, diarrhea) | 61 (62.2 %) | 51 (52.6 %) | 46 (46.9 %) |
| Neutropenia/neutrophil decreased     | 58 (59.2 %)                     | 50 (51.5 %)                     | 50 (51.0 %)         |

**AE adverse event**

a Inappropriate antidiuretic hormone secretion, vomiting, decreased appetite, diabetes mellitus

b Chondrocalcinosis pyrophosphate, hepatic function abnormal, atrial fibrillation and dehydration, hepatocellular carcinoma
c Gastric cancer, sudden hearing loss, endolymphatic hydrops, fatigue
d Inappropriate antidiuretic hormone secretion, fatigue and decreased appetite, fatigue and dizziness, hemoglobin decreased, vomiting, decreased appetite, diabetes mellitus
e Hepatic function abnormal, diarrhea and vomiting, peripheral neuropathy

f Blood alkaline phosphatase increased and gamma-glutamyltransferase increased, depressed mood, gastric cancer, sudden hearing loss, retinopathy, anemia, interstitial lung disease, anxiety, anemia, gingival swelling, nausea
g Incidence greater than 30 % in any treatment arm

h No patients had serious rash

\( p = 0.032 \) versus the control

### Discussion

In the present study, the addition of vaniprevir treatment to PR treatment was associated with a significant increase in SVR_{24} rates compared with PR treatment alone in treatment-
naive, noncirrhotic Japanese patients with HCV genotype 1 infection. Both vaniprevir regimens evaluated in this study had a 24-week duration, in contrast to the standard 48-week duration for PR treatment alone. Overall, approximately 86 % of patients in the vaniprevir arms had undetectable HCV RNA at treatment week 4, and approximately 84 % achieved SVR24 at 24 weeks after completion of therapy. On-treatment virologic failure was uncommon, with only one breakthrough reported in the vaniprevir 12-week arm. Nearly all patients treated with vaniprevir (97 %) had an end-of-treatment response. Most of the vaniprevir recipients in whom treatment failed relapsed after completion of therapy. The increased response rates for vaniprevir-based therapy relative to PR therapy alone remained consistent across major patient subgroups, including those with IL28B CC and CT/TT genotypes and those younger than 65 years and those aged 65 years or older. Interpretation of efficacy differences according to HCV genotype is difficult because few Japanese patients with genotype 1a infection were enrolled in this study. In total, 98 % of patients in the present study had HCV genotype 1b infection. However, a previous phase II study of non-Japanese patients reported significantly higher SVR24 rates in patients with HCV genotype 1a infection (41.7 % of the study population) who received vaniprevir-based therapy compared with those receiving PR therapy alone (56.3–83.3 vs 20 %) [15]. Therefore, the efficacy of vaniprevir-based triple therapy in patients with HCV genotype 1a infection is expected. In this study, a total of five patients with IL28B (rs8099917) TT genotype had IL28B (rs12979860) CT genotype. Therefore, five patients (1.7 %, five of 294 patients) had SNPs (rs12979860 and rs8099917) not in linkage disequilibrium. This finding is similar to that reported by Ito et al. [19], in which 98.6 % of cases were in linkage disequilibrium for the four different SNPs analyzed (rs11881222, rs8103142, rs12979860, and rs8099917).

In this study, virologic failure was principally associated with the emergence of mutations at D168, specifically D168H, D168T, or D168V, and to a lesser extent at R155. These mutations were not detected at the baseline but rather emerged during vaniprevir treatment. D168 mutants rapidly disappeared during the follow-up period, with nine of 12 patients with a D168 mutation in whom treatment failed showing diminished levels of mutant virus concomitant with increased levels of wild-type virus during the follow-up period; the D168 mutation became undetectable in seven of these patients. R155K persistence could not be adequately addressed in this study as there were only two patients with this mutation in whom treatment failed: one patient with HCV genotype 1a infection with continuous detection through to the patient’s final visit 24 weeks after treatment completion and a patient with HCV genotype 1b infection who discontinued participating in the study and for whom there were no additional results following the time of failure. The presence of other variants at the baseline that are associated with failure of some DAA regimens did not appear to affect the outcome of vaniprevir-based treatment. This is evidenced by the similar SVR rates in patients with these variants at the baseline compared with patients with wild-type virus at the baseline, and is also supported by in vitro replicon data confirming that mutations at residues other than R155, A156, or D168 have at most a modest impact on vaniprevir potency. It is also noted that five patients were found to have D168E (including D168D/E mixtures) at the baseline, all of whom achieved SVR24. D168E (40- to 58-fold potency shift, Table S1) was not noted among any of the patients in whom vaniprevir-based treatment failed (Table S3), and the 40-fold loss associated with D168E may suggest a threshold measurement of the potency loss necessary before failure is a concern.

Variants in the HCV NS5A region are not anticipated to affect the efficacy of vaniprevir because the NS3 protease, not the NS5A gene product, is the drug target. Consistent with this, mutations in NS5A at loci linked to drug resistance do not impact vaniprevir potency in vitro (Table S1). However, in consideration of the Japan Society of Hepatology “Guidelines for the management of hepatitis C virus infection,” the presence of NS5A variants at the baseline is increasingly becoming of interest within interferon-free, all-DAA regimens. Consistent with this approach, it has previously been reported that L31M and Y93H mutations were detected at the baseline (by means of direct sequencing methods) in approximately 3.3 % of Japanese patients (seven of 214 patients) and 14.0 % of Japanese patients (30 of 214 patients) enrolled in the daclatasvir and asunaprevir Japanese registration study [10]. In the present study, the prevalences of L31M and Y93H were similar to those in previously reported studies. The distribution of mutations commonly detected at the baseline was not notably different between SVR and non-SVR populations. Furthermore, there were no treatment-emerging mutations in NS5A among patients in whom treatment failed (Table S3). In the small number of patients with virologic failure in the present study, the virologic cause of failure is solely attributable to mutations within the NS3/4A region, resulting in decreased vaniprevir potency.

The incidence of AEs was similar in patients in the vaniprevir arms and in patients in the control arm. There was no serious rash among patients receiving vaniprevir, the frequency of SAEs and discontinuations due to AEs were similar across all treatment arms, and there were no specific trends in SAEs or discontinuations due to AEs. Among the commonest AEs (incidence of 30 % or more in one or more arms), only vomiting was reported at an incidence of 10 % or higher in the vaniprevir arms compared with the control
arm. In the analysis of prespecified events of interest, the incidence of the grouped gastrointestinal AEs, vomiting, nausea, and diarrhea, was significantly higher in the vaniprevir 12-week arm than in the control arm (62.2% vs 46.9%, p = 0.032), primarily driven by the difference in the rates of vomiting between these treatment arms. Overall, these observations are consistent with data from previous studies of vaniprevir [14–17]. These gastrointestinal AEs are considered manageable given that they tended to develop early after the start of treatment and in almost all instances recovered without the need for treatment discontinuation. The efficacy/safety profile of vaniprevir plus PR in Japanese patients is therefore consistent with previous reports in non-Japanese patients [14–16].

In conclusion, the results of this phase III study demonstrate that the addition of vaniprevir treatment to PR treatment results in a significant increase in SVR24 rates compared with PR treatment alone. On the basis of results of this study and parallel studies in patients with previous treatment failure (Kumada et al., manuscript in preparation), vaniprevir plus PR has recently received marketing approval for the treatment of Japanese treatment-naive and treatment-experienced patients with HCV genotype 1 infection. The approved regimen for treatment-naïve patients and patients who relapsed following prior interferon-based treatment is vaniprevir plus PR for 12 weeks followed by PR for an additional 12 weeks (total treatment duration 24 weeks). The approved regimen for patients who were nonresponders to prior interferon-based treatment is vaniprevir plus PR for 24 weeks. Vaniprevir therefore provides a valuable addition to the therapeutic options for Japanese patients with HCV genotype 1 infection who are eligible for interferon-based treatment according to the Japan Society of Hepatology “Guidelines for the management of hepatitis C virus infection.”

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Compliance with ethical standards

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