CONSTRAINT ON THE INFLOW/OUTFLOW RATES IN STAR-FORMING GALAXIES AT z ~ 1.4 FROM MOLECULAR GAS OBSERVATIONS

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ABSTRACT

We constrain the rate of gas inflow into and outflow from a main-sequence star-forming galaxy at z ~ 1.4 by fitting a simple analytic model for the chemical evolution in a galaxy to the observational data of the stellar mass, metallicity, and molecular gas mass fraction. The molecular gas mass is derived from CO observations with a metallicity-dependent CO-to-H2 conversion factor, and the gas metallicity is derived from the Hα and [N II]λ 6584 emission line ratio. Using a stacking analysis of CO integrated intensity maps and the emission lines of Hα and [N II], the relation between stellar mass, metallicity, and gas mass fraction is derived. We constrain the inflow and outflow rates with least-chi-square fitting of a simple analytic chemical evolution model to the observational data. The best-fit inflow and outflow rates are ~1.7 and ~0.4 in units of star formation rate (SFR), respectively. The inflow rate is roughly comparable to the sum of the SFR and outflow rate, which supports the equilibrium model for galaxy evolution; i.e., all inflow gas is consumed by star formation and outflow.

Key words: galaxies: evolution – galaxies: ISM

1. INTRODUCTION

Gas inflow and outflow play a very important role in galaxy evolution. There is indirect evidence for the existence of gas inflow. First, is the difference in the abundance distribution between observations and the closed-box model prediction (e.g., van den Bergh 1962), called the G-dwarf problem, which can be explained by the inflow of primordial gas (e.g., Larson 1972). Further evidence is that the timescale of gas depletion in star-forming galaxies at low redshift (e.g., Wong & Blitz 2002; Saintonge et al. 2011) and high redshift (e.g., Tacconi et al. 2010, 2013; Seko et al. 2016) is significantly shorter than that for building up their stellar masses (Bouché et al. 2010), thus requiring gas inflow to sustain their star formation activity. Gas outflow is found in local (e.g., Salak et al. 2013) and distant (e.g., Weiner et al. 2009; Steidel et al. 2010; Genzel et al. 2011) star-forming galaxies. Weiner et al. (2009) found that the outflow is ubiquitous at z ~ 1.4 and the outflow rate is of the same order of magnitude as the star formation rate (SFR) in galaxies.

Because inflow and outflow affect the gas mass (and its fraction) and gas-phase metallicity in a galaxy, efforts have been made to constrain the inflow and outflow rates to reproduce the observational relations such as the stellar mass–metallicity relation by using cosmological simulations (e.g., Kereš et al. 2005; Finlator & Davé 2008) and analytic models (e.g., Bouché et al. 2010; Lilly et al. 2013). Some of the studies showed that galaxies evolve while maintaining the balance between the amounts of inflow gas, star formation, and outflow: inflow = star formation + outflow. Such a scenario is called the “equilibrium model” for galaxy evolution. By using near-infrared spectroscopy of star-forming galaxies at z ~ 2 (Erb et al. 2006), Erb (2008) derived gas-phase metallicities from emission lines and gas mass fractions from extinction corrected Hα luminosities by assuming the Kennicutt–Schmidt law. Then, she derived the inflow and outflow rates at z ~ 2 by fitting a simple analytic model for the chemical evolution in a galaxy to these quantities. Her result supports the equilibrium model. Yabe et al. (2015) tried to constrain the cosmic evolution of inflow and outflow rates by using the chemical evolution model and their near-infrared spectroscopic data at z ~ 1.4 (Yabe et al. 2014) and observational data at z ~ 0 and 2 in the literature (Peeples & Shankar 2011; Erb et al. 2006, respectively). They also derived gas-phase metallicities from emission lines and gas mass fractions at z ~ 1.4 and 2 from extinction corrected Hα luminosities by assuming the Kennicutt–Schmidt law. They found the inflow, outflow, and SFRs decreased while satisfying the equilibrium condition at all redshifts. However, no studies constrain the inflow and outflow rates at z > 1 with chemical evolution models using molecular gas observations for the gas mass fraction.

The advent of high-sensitivity radio telescopes has recently enabled us to detect CO emissions from “normal” star-forming galaxies at z > 1. The stellar masses of most star-forming galaxies are well correlated with SFR at each redshift, and galaxies located on this correlation are referred to as “main-sequence galaxies” (e.g., Daddi et al. 2007; Noeske et al. 2007). CO observations of main-sequence galaxies at z = 1–1.5 were conducted by covering a wide range of stellar mass (e.g., Tacconi et al. 2013; Seko et al. 2016) and metallicity (e.g., Seko et al. 2016). The relations between stellar mass, metallicity, and (molecular) gas mass are gradually being revealed by these studies. In this paper, we constrain the inflow and outflow rates from the results of molecular gas observations at z ~ 1.4 by using the simple chemical evolution model. We describe our sample and observational data in Section 2. In Sections 3 and 4, the chemical evolution model used and fitting results are described, respectively. We present discussions in Section 5, and a
summary in Section 6. Throughout this paper, we adopt the standard lambda-cold dark matter ($\Lambda$-CDM) cosmology with $H_0 = 70\text{ km s}^{-1}\text{Mpc}^{-1}$, $\Omega_{m_0} = 0.3$, and $\Omega_{\Lambda} = 0.7$.

2. SAMPLE AND OBSERVATIONAL DATA

2.1. NIR Spectroscopic Sample at $z \sim 1.4$

The sample used in this paper comes originally from $K_s$-band selected galaxies in the Subaru/XMM-Newton Deep Survey field. Yabe et al. (2012) derived photometric redshift and stellar mass by fitting population synthesis models to the spectral energy distributions constructed with multi-wavelength data, from ultraviolet (UV) to near-infrared. SFRs were derived from rest-frame UV luminosity densities, corrected for the dust extinction estimated from the rest-frame UV spectral slope. In both stellar mass and SFR, the Salpeter initial mass function (IMF; Salpeter 1955) with a mass range of 0.1–100 $M_\odot$ was adopted.

Yabe et al. (2012) selected star-forming galaxies at $z_{\text{phot}} \sim 1.4$ with $K_s < 23.9$ mag (AB magnitude), stellar mass $> 10^{9.5} M_\odot$, and expected Hα flux $> 10^{-16}$ erg s$^{-1}$ cm$^{-2}$. Most of the selected galaxies are located on the main sequence at this redshift. They obtained near-infrared spectroscopy of the selected galaxies with the Fiber Multi-object Spectrograph (FMOS) on the Subaru telescope and detected Hα emission from 71 out of 317 observed galaxies. The metallicity was derived using the Hα and [N II]λ 6584 emission line ratio (N2 method; Pettini & Pagel 2004).

2.2. CO Observations with ALMA

Seko et al. (2016) selected 20 galaxies from the Hα sample mentioned above to trace the distributions in diagrams of SFR versus stellar mass and metallicity versus stellar mass with good uniformity. The ranges of stellar mass and metallicity in the selected galaxies are 4 $\times 10^8$–$4 \times 10^{11} M_\odot$, and 0.3–1.5 Z$_\odot$ (the solar oxygen abundance $Z_\odot = 12 + \log(\text{O}/\text{H}) = 8.66$; Asplund et al. 2004), respectively. They conducted 12CO ($J = 5-4$) observations toward 20 star-forming galaxies (two subsamples are not located on the main sequence) with the Atacama Large Millimeter/submillimeter Array (ALMA) during the ALMA cycle0 session (ID = 2011.0.00418.S; PI = K. Ohta). CO emission was detected in 11 galaxies.

2.3. Stacking Analysis

We separated the sample galaxies into two subsamples with smaller ($< 10^{10.5} M_\odot$) and larger ($> 10^{10.5} M_\odot$) stellar mass, except for the two non-main-sequence galaxies. The average stellar mass is 1.9 $\times 10^{10} M_\odot$ and 7.8 $\times 10^{10} M_\odot$ for the subsamples with smaller and larger stellar mass, respectively. Then, we carried out an image-based stacking analysis to derive the average value (details are described by Seko et al. 2016), since the CO emissions from about half of our sample galaxies are not detected.

The CO($J = 5-4$) line luminosity ($L'_{\text{CO}(5-4)}$) is given as

$$L'_{\text{CO}(5-4)} = 3.25 \times 10^{7} S_{\text{CO}(5-4)} \Delta v v_{\text{rest}}^2 D_L^2 (1 + z)^{-1},$$

where $L'_{\text{CO}(5-4)}$ is measured in K km s$^{-1}$ pc$^2$, $S_{\text{CO}(5-4)} \Delta v$ is the observed CO($5-4$) integrated flux density in Jy km s$^{-1}$, $v_{\text{rest}}(5-4)$ is the rest-frame frequency of the CO($5-4$) emission line in GHz, and $D_L$ is the luminosity distance in Mpc. The resulting CO($5-4$) line luminosities for the subsamples with smaller and larger stellar mass are $(9.3 \pm 3.0) \times 10^9$ K km s$^{-1}$ pc$^2$ and $(2.3 \pm 0.5) \times 10^9$ K km s$^{-1}$ pc$^2$, respectively. The errors are derived from a random resampling of stacked galaxies. To derive the average metallicities of the subsamples, we also conducted a stacking analysis of the near-infrared spectra obtained with the FMOS (details are described by Yabe et al. 2012, 2014). The resulting metallicities for the subsamples with smaller and larger stellar mass are 0.66 $\pm$ 0.06 Z$_\odot$ and 0.91 $\pm$ 0.07 Z$_\odot$, respectively.

2.4. Molecular Gas Mass and its Fraction

To derive the molecular gas mass, we adopted a luminosity ratio ($L'_{\text{CO}(5-4)}/L'_{\text{CO}(1-0)}$) of 0.23, which is the average value of three sBzK galaxies at $z \sim 1.5$ (Daddi et al. 2015). We adopted the metallicity-dependent CO-to-H$_2$ conversion factor given by Genzel et al. (2012). Because Genzel et al. (2012) used the metallicity calibration of Denicoló et al. (2002), we converted the metallicity using an empirical relation between the two metallicity calibrations obtained by Kewley & Ellison (2008). The resultant molecular gas masses for the subsamples with smaller and larger stellar mass are $(2.4 \pm 0.7) \times 10^{10} M_\odot$ and $(4.0 \pm 1.0) \times 10^{10} M_\odot$, respectively, and the gas mass fractions ($M_{\text{mol}}/(M_{\text{mol}} + M_{\ast})$, including the helium mass for $M_{\text{mol}}$) are 0.55 $\pm$ 0.09 and 0.34 $\pm$ 0.08, respectively. The stellar masses, gas mass fractions, and metallicities of the subsamples are plotted with red stars in Figure 1 together with individual galaxies (black symbols); the left, middle, and right panels are diagrams of gas mass fraction versus stellar mass ($\mu$ versus $M_\ast$), metallicity versus gas mass fraction ($Z$ versus $\mu$), and metallicity versus stellar mass ($Z$ versus $M_\ast$), respectively. In Figure 1, the results for $\sim$340 star-forming galaxies at $z \sim 1.4$ by Yabe et al. (2015) are also plotted (blue squares) and are consistent with the results obtained in this study. They stacked the near-infrared spectroscopic data for the subsamples separated into five stellar mass bins. They derived metallicities with the N2 method and gas mass fractions from the Hα luminosities and sizes of galaxies by applying the Kennicutt–Schmidt law.

The observational limits of the gas mass fraction are shown in the left and middle panels of Figure 1 with gray shades. The noise level of the CO stacking analysis for both subsamples ($\sim 0.25 \text{ mJy}$) gives an upper limit of the CO(1-0) luminosity of $\sim 4.3 \times 10^8$ K km s$^{-1}$ pc$^2$ by assuming a velocity width of 200 km s$^{-1}$, which is the average full width at half maximum of the detected CO emission lines from Seko et al. (2016), and the same CO luminosity ratio above. This limit leads to an upper gas mass fraction at a fixed stellar mass (left panel of Figure 1) and at a fixed metallicity (middle panel of Figure 1), if we assume the stellar mass–metallicity relation at $z \sim 1.4$ (Yabe et al. 2014) and the metallicity-dependent CO-to-H$_2$ conversion factor (Genzel et al. 2012). In the middle panel of Figure 1, the upper limit of the gas mass fraction is very high at lower metallicity. This is because the observed stellar mass--metallicity relation adopted implies a very small stellar mass for the lower metallicity galaxies, resulting in a very large gas mass fraction. A few of our galaxies are located in the gray shaded region in the middle panel of Figure 1. This is because they show large deviations from the assumed stellar mass--metallicity relation.


3. CHEMICAL EVOLUTION MODEL

We compare the observational data with an analytic model for chemical evolution in a galaxy considering the gas inflow and outflow. Based on the description by Matteucci (2001) the equation for the evolution of gas is given as

$$
\frac{dM_{\text{gas}}}{dt} = -(1 - R)\psi(t) + A(t) - W(t),
$$

(2)

where $R$ is the total mass fraction which is restored to the interstellar medium by a stellar generation, $\psi(t)$ is SFR, $A(t)$ is the inflow (accretion) rate, and $W(t)$ is the outflow (wind) rate. The evolution of metal mass in gas phase is given as

$$
\frac{d(ZM_{\text{gas}})}{dt} = -(1 - R)Z\psi(t)
+ y_Z(1 - R)\psi(t) + Z_A A(t) - ZW(t),
$$

(3)

where $Z$ is the gas phase metallicity, $y_Z$ is the yield, and $Z_A$ is the metallicity of inflow gas. These equations are given by adopting the instantaneous recycling approximation. We adopted $y_Z = 1.5 Z_\odot$ in this work, which is the value used by Erb (2008) and Yabe et al. (2015). The inflow and outflow rate are assumed to be proportional to the SFR such as $A(t) = f_i(1 - R)\psi(t)$ and $W(t) = f_o(1 - R)\psi(t)$, respectively, and the inflow gas is assumed to be primordial ($Z_A = 0$). The assumption of an outflow rate proportional to the SFR would be appropriate if the galactic winds are mainly driven by supernovae explosions. The assumption of an inflow rate proportional to SFR would be reasonable if the amount of inflow gas is closely related to the mass of gas available to form stars. These equations analytically lead to

$$
Z = \frac{y_Z}{f_i} \left[ (f_i - f_o) - (f_i - f_o - 1) \mu^{-1} \right]^{-\frac{6}{7}},
$$

(4)

and $\mu$ is written as

$$
\mu = \frac{M_0^\text{gas} + (f_i - f_o - 1)M_*}{M_0^\text{gas} + (f_i - f_o)M_*},
$$

(5)

where $M_0^\text{gas}$ is the initial mass of primordial gas in a galaxy.

4. RESULTS

To constrain the inflow ($f_i$) and outflow ($f_o$) rates, the analytic solutions are fitted to the observational data in each diagram of Figure 1. As shown in these equations, $f_i$, $f_o$, and $M_0^\text{gas}$ are free parameters. From the least chi-square fitting in the diagram of $\mu$ versus $M_*$, we obtain a value of $M_0^\text{gas} = 10^{10.24} M_\odot$. The ranges of the parameters examined are $log(M_0^\text{gas}/M_\odot) = 9.0-11.0, f_i = 0.0-3.0,$ and $f_o = 0.0-4.0$ with 50 grids for each parameter. Then, we fixed the initial gas mass and fit each diagram of Figure 1 in the parameter ranges of $f_i = 0.0-3.0$ and $f_o = 0.0-4.0$ with 200 grids.

In Figure 2, the $\chi^2$ contour maps of the inflow and outflow rates are shown; the top left, top right, and bottom left panels show the fits for $\mu$ versus $M_*$, $Z$ versus $\mu$, and $Z$ versus $M_*$, respectively. The best-fit combinations of inflow and outflow rates ($f_i, f_o$) are (2.76$^{+0.23}_{-0.22}$, 1.46$^{+0.48}_{-1.46}$), (1.30$^{+0.36}_{-1.36}$, 1.02$^{+1.80}_{-0.94}$), and (1.64$^{+0.33}_{-0.18}$, 0.00$^{+1.14}_{-0.00}$) for the $\mu$ versus $M_*$, $Z$ versus $\mu$, and $Z$ versus $M_*$ diagrams, respectively. The best-fit model is plotted with a black dashed line in each panel of Figure 1 and the best-fit combination of inflow and outflow rates is represented with a colored star in each panel of Figure 2. Although the degeneracy between the inflow and outflow rates is severe in the fitting of each diagram, since the directions of the degeneracy in each diagram are different, the inflow and outflow rates can be constrained with a joint fit. Since the three relations shown in Figure 1 are not independent, in this paper, we adopt the inflow and outflow rates from the joint fit from $\mu$ versus $M_*$ and $Z$ versus $M_*$, as done by Yabe et al. (2015). The results of joint fitting of $\mu$ versus $M_*$ and $Z$ versus $\mu$, and $Z$ versus $M_*$ are almost the same as that for $\mu$ versus $M_*$ and $Z$ versus $M_*$. We explore the combination of
inflow and outflow rates which minimizes the joint chi-square. The best-fit values are $(f_{\text{in}}, f_{\text{out}}) = (1.69^{+0.44}_{-0.30}, 0.36^{+0.60}_{-0.36})$, which are shown with a black star in the bottom right panel of Figure 2. The fit result is shown with a red solid line in Figure 1.

5. DISCUSSIONS

As mentioned above, Yabe et al. (2015) constrain the inflow and outflow rates at the same redshift. Our result is consistent with that of Yabe et al. (2015) ($(f_{\text{in}}, f_{\text{out}}) = (1.84^{+0.14}_{-0.14}, 0.60^{+0.18}_{-0.22})$) to within errors. Although the uncertainty of the inflow and outflow rates is large, the best-fit model shows that the inflow rate is roughly equal to the sum of the outflow rate and effective SFR $((1 - R) \mu)$, which supports the equilibrium model for galaxy evolution. It should be noted that the inflow and outflow rates are $1.47^{+0.45}_{-0.23}$ and $0.04^{+0.76}_{-0.04}$, respectively, if we adopt the Chabrier IMF (Chabrier 2003). The stellar mass with the Chabrier IMF is converted from that with the Salpeter IMF by dividing by 1.7 (e.g., Speagle et al. 2014). While the outflow rate is smaller than that derived with the Salpeter IMF, the equilibrium model for galaxy evolution is still supported.

We also examine the inflow and outflow rates by using the subsamples with lower ($<8.5$) and higher ($>8.5$) metallicity (details are described by Seko et al. 2016). The average stellar masses are $1.7 \times 10^{10} M_\odot$ and $6.6 \times 10^{10} M_\odot$ for the subsamples with lower and higher metallicity, respectively. The stacked molecular gas mass fractions for the subsamples with lower and higher metallicity are $0.65 \pm 0.07$ and $0.32 \pm 0.09$, respectively. The stacked metallicities for the subsamples with lower and higher metallicity are $0.55 \pm 0.05 Z_\odot$ and $0.95 \pm 0.07 Z_\odot$, respectively. The joint fit gives an inflow rate of $1.50^{+0.41}_{-0.34}$ and outflow rate of $0.54^{+0.58}_{-0.54}$, which are consistent with the result derived by using the subsamples based on stellar mass, to within errors. The result also supports the equilibrium model for galaxy evolution.

According to the study of CO luminosity ratios for main-sequence galaxies at $z \sim 1.5$ by Daddi et al. (2015), the uncertainty of the luminosity ratio ($L_{\text{CO}(5-4)}/L_{\text{CO}(1-0)}$) is about a factor of 2. If we adopt the luminosity ratio of 0.12, the inflow and outflow rates are $1.40^{+0.46}_{-0.21}$ and 0.00$^{+0.74}_{-0.00} (M_{\text{gas}}^0 = 10^{10.56} M_\odot)$, respectively. If we adopt the luminosity ratio of 0.46, the inflow and outflow rates are $1.92^{+0.40}_{-0.30}$ and $0.76^{+0.50}_{-0.56} (M_{\text{gas}}^0 = 10^{9.96} M_\odot)$, respectively. As the luminosity ratio is larger (i.e., molecular gas mass fraction is smaller), the inflow and outflow rates become large but the initial gas mass becomes small.

If we adopt the Galactic CO-to-H$_2$ conversion factor $(4.36 M_\odot \text{ km s}^{-1} \text{ pc}^{2} \text{ yr}^{-1})$ to derive the molecular gas mass, the inflow and outflow rates are $1.86^{+0.42}_{-0.09}$ and $0.40^{+0.09}_{-0.09}$ for the subsamples with smaller and larger stellar mass, and $1.88^{+0.46}_{-0.34}$ and $0.42^{+0.72}_{-0.42}$ for the subsamples with lower and higher metallicity. These best-fit values are consistent with those derived with the metallicity-dependent CO-to-H$_2$ conversion factor. However, the $\chi^2$ of the joint fit is worse, and the best-fit models do not reproduce the observational data well in the diagram of $Z$ versus $\mu$ which is not used for the joint fit.

Although the gas mass in the chemical evolution model includes the HI mass, the gas mass fraction of observational data used in this paper does not include it. According to a semi-empirical model by Poping et al. (2015), the HI mass in a galaxy at $z \sim 1.4$ with a halo mass of $10^{12-14} M_\odot$ is half or comparable to the H$_2$ mass. We examine the inflow and outflow rate assuming two cases that the HI mass in a galaxy is half of the H$_2$ mass and the same amount of the H$_2$ mass in a galaxy for the subsample with smaller/larger stellar mass. In these cases, the gas mass fraction is defined as $\mu = (M(H_2) + M(HI))/(M(H_2) + M(HI) + M_\star)$. The best-fit inflow and outflow rates for the case of $M(HI) = 0.5 M_\odot$ and the case of $M(HI) = M(H_2)$ are 1.51$^{+0.44}_{-0.23}$ and 0.14$^{+0.46}_{-0.40} (M_{\text{gas}}^0 = 10^{10.44} M_\odot)$ and 1.40$^{+0.23}_{-0.23}$ and 0.00$^{+0.74}_{-0.00} (M_{\text{gas}}^0 = 10^{10.56} M_\odot)$, respectively. The inflow and outflow rates are smaller and the initial gas mass is larger than those without HI mass. If we assume a larger HI mass than these (i.e., $M(HI) \geq 2 M(H_2)$), the large amount of the initial gas mass causes a lower metallicity and the best-fit models in these cases are unable to reproduce the observed stacked values of metallicity.

While the outflow rate value is consistent with zero to within error, the small number of stacked data points leads to large uncertainty. Heckman (2002) showed galactic-scale superwinds exist in galaxies with a global SFR surface density exceeding $0.1 M_\odot \text{ yr}^{-1} \text{ kpc}^{-2}$ from observations of local starburst galaxies. Because the SFR surface density in all of our sample, whose size is derived from $B$-band images, exceeds the threshold, the outflow rates of our sample may not be zero.

6. SUMMARY

We constrain the inflow and outflow rates in star-forming galaxies at $z \sim 1.4$ by using the analytic model for the chemical evolution in a galaxy. The gas mass fractions of our sample are derived from CO(5-4) observations with ALMA by adopting the metallicity-dependent CO-to-H$_2$ conversion factor. The joint least $\chi^2$ fit of the analytic model to the result

![Figure 2. $\chi^2$ contour maps of the fits for the diagrams of gas mass fraction vs. stellar mass (top left), metallicity vs. gas mass fraction (top right), metallicity vs. stellar mass (bottom left), and the joint fit with the diagrams of gas mass fraction vs. stellar mass and metallicity vs. stellar mass (bottom right). Stars show the best-fit value in each panel. Contours represent $1\sigma$, $2\sigma$, and $3\sigma$.](image-url)
of stacking analysis for the subsample with smaller/larger stellar mass shows the inflow and outflow rates in units of SFR are $1.69^{+0.44}_{-0.30}$ and $0.36^{+0.60}_{-0.36}$, respectively. The result is consistent with that from a previous study in which the gas mass was derived from extinction corrected Hα luminosity and galaxy size by assuming the Kennicutt–Schmidt law. The inflow rate is roughly comparable to the sum of outflow rate and effective SFR, which supports the equilibrium model for galaxy evolution. The result is also consistent with that derived from stacking analysis for subsamples with lower/higher metallicity.

If we include the HI mass which is proportional to molecular gas mass, then lower inflow and outflow rates and larger initial gas masses are needed to explain the data. However, if we assume the amount of HI mass is larger than H2 mass, the chemical evolution model used in this paper produces much lower metallicity, and does not reproduce the stacked metallicity.

The uncertainty of inflow and outflow rates is large due to the small number of stacked data points. To obtain more reliable constraints of inflow and outflow rates, we need to increase the number of CO observations toward main-sequence galaxies with known metallicity covering wide ranges of stellar mass and metallicity.

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