Performance Calculation and Benchmarking using the ISBSG Dataset Release 12 Data Repository: Empirical Study

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Abstract: The International Software Benchmarking Standards Group (ISBSG) maintains a software development repository with 6,006 software projects. The definition of productivity is a single ratio of output to input and then combined with various cost factors leading to a single value. Of these values we have dataset makes it possible to calculate the productivity of projects, effort, size and quality. By contrast, the concept of performance is more comprehensive than productivity. This study explores a comparison between performance and productivity and how it can affect projects by several other factors that affect its using ISBSG dataset V.12. In this research, tree data analysis techniques were applied: data clustering, neural network. SPSS was used to conduct statistical analysis and data visualization.

Key words: ISBSG, performance, productivity, effort, size, quality, neural network, data clustering, data visualization

INTRODUCTION

Currently, the science of software engineering is one of the most important sciences because it uses systematic and experimental research to make software engineering experts and stakeholders able to make decisions until the confidence stage is reached in software products. Example productivity of projects, effort and size are all these in the collection leading to the purposes of measuring productivity and performance (Sameh and Al-Masri, 2019).

In companies that have a good program for measuring software, the basic productivity level is usually used to estimate the effort, costs and size required for any future project. Productivity is defined as the amount of output produced per unit used because there is more than one unit to measure productivity and size and each has a different measurement unit such as IFPUG (IFPUGI., 2004) and the COSMIC function points (Ferrucci et al., 2014), for example are used to measure productivity. However, the concept of performance is more comprehensive than the concept of productivity; performance has been defined as "the degree to which a system or component achieves its specific functions within certain constraints". Performance is not the main output of the project but takes into account several other outcomes of the project. This performance concept is included in some Software Process Improvements (SPI) forms such as CMMI (Team, 2006).

In addition, to what was mentioned earlier, work focused on data characterization of ISBSG projects using data analytics (Buglione and Abran, 2002; Abran et al., 2005, 2015) and using the same techniques as in this study. More specifically, many research works such as by Buglione and Abran (2001, 2005), Meridji et al. (2017), Fernandez-Diego and Gonzalez-Ladron-De-Guevara (2014) proposed several models in this domain to conduct a systematic and empirical research using ISBSG to improve the software product quality with the minimum time and cost and find the difference between performance and quality.

Literature review: Top et al. (2011) presents the observed difficulties in the utilization of external and multi-organizational software benchmark repositories for effort estimation model construction for a software organization in the finance domain. ISBSG, Albrecht, China, Desharnais, Finnish, Maxwell and Kemerer repository’s data were utilized in this study. The approach was the utilization of these repositories and organization’s own repository to estimate the software development effort and evaluate whether external and
Both SPSS and RapidMiner are used to conduct statistical analysis, data clustering and data visualization. Size to define the correlations between them. In this software project factors such as effort and teamwork were independent of each other and thus, appropriate for an additive model of size. Second, we investigated the relationship between size and other and thus, appropriate for an additive model of size. Functional Components (BFC) were independent of each other in order to determine which Base Functional Components (BFC) were independent of each other. However, data preparation process is required before any analysis. Lastly, the potential of ISBSG to develop new research is also outlined. However, Meridji et al. (2017) explore empirically only the software Development Projects of Renewable Energy Applications in the ISBSG dataset V.13 based on software project factors such as effort and team work size to define the correlations between them. In this research, three data analysis techniques were applied: statistical analysis, data clustering and data visualization. Both SPSS and RapidMiner are used for conducting statistical analysis and data visualization. Stroian et al. (2014) used a white-box tool, “MultiPERF”, based on the international ISBSG repository of software project data is proposed for setting performance targets in software organizations. Gallego and Sicilia (2012) used black box estimation tools to use projects from the ISBSG dataset. To achieve that goal three steps, the data set were analyzed, estimation was made, then tested using a software estimation tool. As a result for the majority of project, the black box estimation was far more accurate. Gencel and Buglione (2016) conducted an empirical study using the data in the International Software Benchmarking Standards Group (ISBSG) database.

However, Cheikhi and Abran (2013) proposed an approach to software estimation based on productivity models with fixed/variable costs and economies/dis-economies of scale. The study looks first at productivity alone as a single variable model and then discusses multi-variable models for estimation in specific contexts. An empirical study in a Canadian organization that illustrated the contribution of these concepts from economics in developing tailor-made estimation models based on the performance of the organization studied is presented as well as the use of the SWEBOK Guide for the identification of process improvements areas. In addition, Abran et al. (2015) provided additional information on these datasets by identifying the topics addressed, highlighting the availability of the data file and of the description of attributes related to the datasets and indicating their usefulness for benchmarking studies.

**MATERIALS AND METHODS**

**Research objectives and methodology:** The International Software Benchmarking Standards Group (ISBSG) maintains a software development repository more than 6,000 software projects developed from 32 different countries for 7 major industry types, different IT and metrics organizations submit their software project data to the ISBSG. It contains the following data such as productivity of projects, effort, size and quality. Data can be used for estimation, benchmarking, improvement and management of projects.

**Research objectives:** This research aimed to find the relationship between performance and productivity and how it can affect projects. On the basis of this relationship, projects were classified into two categories, first category development project and second category enhancement project and based on this classification, the relationship is going to be found using ISBSG dataset V.12.

**Research methodology:** The proposed research methodology used in this study is composed of eight main steps as follows.

**Step 1:** Selection of project applications of new development project from ISBSG, more specifically, IFPUG method.

**Step 2:** Selection of attributes performance, productivity, size and more attributes.

**Step 3:** Filtering data through ISBSG V12.

**Step 4:** Data is analyzed on the types of models and through the results, we can establish a relationship between performance and productivity.

**Step 5:** Analysis of data based on data clustering model.

**Step 6:** Analysis of data based on the neural network model.

**Step 7:** Presentation of research results and discussions.

Figure 1 illustrates the detailed research methodology conducted to achieve the research objectives as follows: read the ISBSG V12 Excel sheet, select the required attributes are performance and productivity, filter with projects can be divided into development or enhanced projects, then detect the extreme programs based on selected features will be used techniques in the end to obtain results, for example, data analysis based on data clustering, neural network.
Table 1: Quantitative fields from ISBSG R12

| Groups/Measures          | Descriptions                              | Id. | #  |
|--------------------------|-------------------------------------------|-----|----|
| **Sizing**               |                                           |     |    |
| Functional size          | Unadjusted function points                | UFP | M1 |
| **Effort**               |                                           |     |    |
| Normalised work effort   | Full life-cycle effort for all teams reported | NEW | M2 |
| **Productivity**         |                                           |     |    |
| Normalised PDR (ufp)     | Normalised productivity delivery rate in hours per functional size unit | NPDR | M3 |
| **Schedule**             |                                           |     |    |
| Project elapsed time     | Total elapsed time for the project in calendar-months | PET | M4 |
| **Quality**              |                                           |     |    |
| Total defects delivered  | Total number of defects reported in the first month of use of the software | TDD | M5 |
| **Effort attributes**    |                                           |     |    |
| Max team size            | The maximum number of people who worked at any time on the project (peak team size) | MTS | M6 |
| **Size attributes (IFPUG/INESMA/mark II)** |     |      |    |
| Input count              | The unadjusted size of external inputs     | EI  | M8 |
| Output count             | The unadjusted size of external outputs    | EO  | M9 |
| Enquiry count            | The unadjusted size of external inquiries  | EQ  | M10|
| File count               | The unadjusted size of internal logical files | ILF | M11|
| Interface count          | The unadjusted size of external interface files | EIF | M12|
| **Size attributes (all methods)** |     |      |    |
| Added                    | The unadjusted size of additions           | UFPA| M13|
| Changed                  | The unadjusted size of changes             | UFFC| M14|
| Deleted                  | The unadjusted size of deletions           | UFPD| M15|
| **Size (other than FSM)**|                                           |     |    |
| Lines of code            | The no. of the Source Lines of Code (SLOC) produced by the project | LOC | M16|

Fig. 1: Detailed methodology

**ISBSG R12 data repository:** In this study, we explain how key factors were taken to reach performance. All the factors were taken from ISBSG R12.

**Selection of data samples in the ISBSG repository:** R12 get a comparison in the performance of projects in the ISBSG repository, a common set of indicators affect performance (defined in terms of ratios) was selected from such list of measures to represent the three perspectives (E, S, T) (IFPUGI., 2004). Table 1 lists the quantitative fields from the ISBSG R12 repository that can be useful for creating sets of indicators. For the purposes of this study, the projects selected had to meet the following criteria:

- A class “A” or “B” data quality rating
- The functional size value considered was UFP

![Empirical result](image1)

- Non-blank fields for the number of defects detected within one month of the delivery of the software product
- Non-blank fields for the detail on the No. of BFC (Base Functional Components) according to the functional size measurement method chosen

Table 2 shows the results from the data preparation process used to determine the samples on which the performance values were calculated according to ISBSG R10 data calculation rules. Now we will present the results that their factors were previously selected from the SPSS common measures (Fig. 2).

![Empirical result](image2)
Table 2: Preparation of ISBSG R12 data: IFPUG projects

| Steps | Attributes | Filters |
|-------|------------|---------|
| 1     | Functional sizing approach | IFPUG |
| 2     | Data Quality Rating (DQR)    | {A | B}  |
| 3     | UFP rating                   | {A | B}  |
| 4     | Total defects delivered      | {non-blanks} |
| 5     | IFPUG BFC (EI, EO, EQ, ILF, EIF) | {non-blanks} |
| 6     | Project elapsed time         | {non-blanks} |
| 7     | Max team size                | {non-blanks} |
| 8     | Average team size            | {non-blanks} |
| 9     | Development type             | {New development} |
|       |                           | {Enhancement} |
|       |                           | {Re-development} |

Table 3: Samples from the ISBSG R12 repository

| Dev. type/FSM methods | IFPUG (projects) |
|-----------------------|------------------|
| New development       | 26               |
| Enhancement           | 52               |

Fig. 3: Size vs. effort (new dev. projects, n26); Dual Y axis with scale X axis of normalised work effort value of normalised work effort by function size

Fig. 4: Size vs. performance (Enh. Projects, n52); Dual Y axis with scale X axis of normalised PDR (ufp), value of project elapsed time by function size

The first relationship to investigate is the one between functional size and effort. The strongest relationship is in the sample of new development projects measured (n = 26) with $R^2 = 0.619$ (Table 3).

The second relationship to investigate is between functional size and effort. The lowest relationship, here, exists in the enhancement project sample (n = 52) with $R^2 = 0.217$ (Fig. 3 and 4).

Fig. 5: Effort vs. performance (new dev. projects, n26): (a) and (b) Dual Y axis with scale X axis of normalised PDR (ufp), value of project elapsed time by function size

Fig. 6: Effort vs. performance (Enh. projects, n52); Dual Y axis with scale X axis of normalised PDR (ufp), effort value of project elapsed time by normalised work

The third relationship to investigate is between functional size and effort. The lowest relationship, here, exists in the new dev project sample (n = 26) with $R^2 = 0.205$ and $R^2 = 0.014$ (Fig. 5 and 6).

The fourth relationship to investigate is between functional size and effort. The lowest relationship, here, exists in the enhancement project sample (n = 52) with $R^2 = 0.055$ and $R^2 = 0.027$. 
The fifth relationship to investigate is between functional size and effort. The lowest relationship, here, exists in the new dev project sample \((n = 26)\) with \(R^2 = 0.130\) and \(R = 0.369\) (Fig. 7).

The sixth relationship to investigate is between functional size and effort. The lowest relationship, here, exists in the enhancement project sample \((n = 52)\) with \(R^2 = 0.250\) and \(R = 0.469\) (Fig. 7).

In this Fig. 8 shows the distribution of count approach of all projects and distributed ratio of 100%. In this Fig. 9 shows the distribution of count approach of all projects and distributed ratio of 100%.

Fig. 9: The relationship between total defects delivered and predicted

RESULTS AND DISCUSSION

Experimental results using different technique

First technique using neural network: The data should be analyzed to determine the main factor influencing performance calculation. To calculate performance you must specify a set of factors to calculate such as sizing, effort, productivity and size attributes, etc. The name of the data set used should be the International Software Benchmarking Standards Group (ISBSG), the analysis process using a multi-layered algorithm.

Select one of output is total defects delivered and all this under is input: In this study we need to analyze the International Software Benchmarking Standards Group (ISBSG) data. The analysis process will be based on one output, total defects delivered. After the analysis, we will discover that this factor is the factor in the performance calculation and is there any other factor or not. The analysis process uses a multi-layered algorithm (Table 4).

In the previous Table 4, we provide a complete summary of the samples and the status taken from the International Software Benchmarking Standards Group (ISBSG) conducted on the total operations: training, testing and results can be tracked in percentages.

In the previous Table 5, we provide a complete summary of the information of the International Software Benchmarking Standards Group (ISBSG). In this table, we summarize the process used, i.e., input, processing and output process. In the first stage, the inputs used by age attributes, messages, friends, etc. In the second stage, this stage divides the data into layers and is divided into 6a layers. In the last stage, this stage is called output and this stage depends on the main factor in the classification total defects delivered (Fig. 10).
Table 4: Case processing summary

| Case processing | No. of tests | Percentage |
|-----------------|--------------|------------|
| Sample          |              |            |
| Training        | 38           | 70.7       |
| Testing         | 11           | 29.3       |
| Valid           | 49           | 100.0      |
| Excluded        | 5960         | -          |
| Total           | 6009         | -          |

Table 5: Network information (Complete summary of samples)

| Variable          | Results | Information processing |
|-------------------|---------|------------------------|
| **Input layer**   |         |                        |
| 1 Max team size   |         |                        |
| 2 Interface count |         |                        |
| 3 Deleted count   |         |                        |
| 4 Data quality rating |   |                        |
| 5 UFP rating      |         |                        |
| 6 Count approach  |         |                        |
| **Covariates**    |         |                        |
| 1 Functional size |         |                        |
| 2 Normalised work effort | |                        |
| 3 Project elapsed time | |                        |
| 4 Average team size | |                        |
| 5 Input count     |         |                        |
| 6 Output count    |         |                        |
| 7 Enquiry count   |         |                        |
| 8 File count      |         |                        |

No. of units: 41, Rescaling method for covariates: Standardized, Hidden layer (s), No. of units: 6a, Activation function: Softmax, Output layer, Dependent variables: 1, Total defects delivered, Number of units: 12, Activation function: Identity, Error function: Sum of squares

Table 6: Model summary

| Information processing | Results |
|------------------------|---------|
| Training               |         |
| Sum of squares error   | 13.110  |
| Percent incorrect predictions | 57.9%    |
| Training time          | 00:00:00.05 |
| Testing                |         |
| Sum of squares error   | 3.763a  |
| Percent incorrect predictions | 45.5% |

Table 7: Independent variable importance

| Predictor variables | Normalized importance (%) |
|---------------------|---------------------------|
| Environmental data  |                           |
| Max team size       | 26.0                      |
| Interface count     | 16.6                      |
| Deleted count       | 26.3                      |
| Data quality rating | 30.4                      |
| UFP rating          | 25.4                      |
| Count approach      | 14.2                      |
| Functional size     | 45.0                      |
| Normalised work effort | 72.0                    |
| Project elapsed time | 10.0                     |
| Average team size   | 71.0                      |
| Input count         | 65.3                      |
| Output count        | 67.1                      |
| Enquiry count       | 53.3                      |
| File count          | 81.7                      |

Table 8: Network information analyze data from ISBSG

| Variables | Results | Information processing |
|-----------|---------|------------------------|
| **Input layer** |         |                        |
| 1 Max team size   |         |                        |
| 2 Interface count |         |                        |
| **Count**        |         |                        |
| 3 Deleted        |         |                        |
| 4 Data quality rating |   |                        |
| 5 UFP rating      |         |                        |
| 6 Count          |         |                        |
| **Approach**     |         |                        |
| 1 Functional size |         |                        |
| 2 Normalised work effort | |                        |
| 3 Project elapsed time | |                        |
| 4 Average team size | |                        |
| 5 Input count    |         |                        |
| 6 Output count   |         |                        |
| 7 Enquiry count  |         |                        |
| 8 File count     |         |                        |

Number of units: 35, Rescaling method for covariates: Standardized, Hidden layer (s), Number of units: 8a, Activation function: Softmax, Output layer, Dependent variables: 1, Normalised PDR (ufp), Number of units: 1, Rescaling method for scale dependents: Normalized, Activation function: Identity, Error function: Sum of squares

Depending on the chart, the information or factors are explained more clearly than the tables. Normalized work effort is the most important factor among all factors.

In the previous Table 9, we provide a complete summary of the International Software Benchmarking Standards Group (ISBSG). At this stage, it is divided into two parts: the first training in this section contains several processes including the percentage of false predictions, time factor in the division stage, etc. In the second section is the percentage of error tests and the percentage prediction of the dependent variable is total defects delivered.

In the previous Table 9, we provide a complete summary of the International Software Benchmarking Standards Group (ISBSG). At this stage, it is divided into two parts: the first training in this section contains several processes including the percentage of false predictions, time factor in the division stage, etc. In the second section...
is the percentage of error tests and the percentage prediction of the dependent variable is normalized PDR (ufp) (Table 10).

**Dependent variable**

**Normalized PDR (ufp):** The No. of hidden units is determined by the testing data criterion: The “best” number of hidden units is the one that yields the smallest error in the testing data.

**Second technique using data clustering with SPSS:**
k-means clustering aims to partition n observations into k clusters in which each observation belongs to the cluster with the nearest mean, serving as a prototype of the cluster. This results in a partitioning of the data space into cells. In this study, the data must be analyzed using another method, namely the analysis of the k-means group (Table 12 and 13).
Table 11: Initial cluster centers

| Predictors                  | No. of clusters | 1   | 2   | 3   | 4   | 5   | 6   | 7   | 8   | 9   | 10  |
|-----------------------------|-----------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| Normalised work effort      | 26520           | 18002| 21684| 33140| 36046| 13528| 167 | 10623| 7643| 4516|     |
| Project elapsed time        | 15.0            | 9.0 | 6.0 | 16.0| 23.0| 8.0 | 1.5 | 8.0  | 15.0| 16.0|     |
| Functional size             | 550             | 788 | 456 | 1533| 1493| 140 | 24  | 410  | 153 | 264 |     |
| Normalised PDR (ufp)        | 48.2            | 22.8| 47.6| 21.6| 24.1| 96.6| 7.0 | 25.9 | 50.0| 17.1|     |

Table 12: Final cluster centers

| Predictors                  | No. of clusters | 1   | 2   | 3   | 4   | 5   | 6   | 7   | 8   | 9   | 10  |
|-----------------------------|-----------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| Normalised work effort      | 26520           | 17303| 20890| 33140| 35497| 14807| 1295| 10093| 7924| 4064|     |
| Project elapsed time        | 15.0            | 11.5| 6.0 | 16.0| 20.5| 16.0| 6.8 | 12.0 | 14.4| 10.5|     |
| Functional size             | 550             | 547 | 792 | 1533| 2022| 542 | 192 | 626  | 503 | 332 |     |
| Normalised PDR (ufp)        | 48.2            | 34.1| 32.7| 21.6| 18.9| 50.2| 9.9 | 17.9 | 23.1| 15.0|     |

Table 13: Number of cases in each cluster

| No. of clusters | Results     |
|-----------------|-------------|
| Clusters        |             |
| 1               | 1.000       |
| 2               | 3.000       |
| 3               | 2.000       |
| 4               | 1.000       |
| 5               | 2.000       |
| 6               | 3.000       |
| 7               | 46.000      |
| 8               | 3.000       |
| 9               | 5.000       |
| 10              | 18.000      |
| Valid           | 84.000      |
| Missing         | 5925.000    |

CONCLUSION

This study analysis was completed for the new development projects enhancement based on IFPUG method. The ISBSG data set was used to extract the new development projects on the basis of selected factors and defined criteria’s. Three steps methodology were applied; project applications selection, the research criteria’s, data analytics was performed and results were presented. Traditional cost estimation models in software engineering are mainly based on the concept of productivity while the usage of performance could provide benefits being a more mature and comprehensive concept. About 2 data samples from IFPUG projects were used (NewDev-Enh) for calculating p values. New analysis and investigations will be performed using ISBSG data on: the impact of relationships of various variables on the performance results themselves, the same analysis by size ranges.

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