Research Article

Annular Beam Shaping in Multiphoton Microscopy to Reduce Out-of-Focus Background

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Despite the inherent spatial confinement of multiphoton processes that arises from focusing through an objective, the maximum imaging depth in conventional multiphoton microscopy is ultimately limited by noise from out-of-focus fluorescence. This is particularly evident when imaging beyond shallow depths in highly scattering tissue as increased laser powers are necessary. The out-of-focus signal originates from multiphoton processes taking place primarily at shallow depths and deteriorates contrast and limits imaging depth. In this paper, annular laser beams are explored as a concept to reduce this background signal in multiphoton microscopy. The approach is theoretically verified by data from simulations and proof of principle is demonstrated on a custom-built experimental multiphoton microscopy platform. Annular laser beams were created by adopting wavefront control using a spatial light modulator and implemented for imaging tissue phantoms simulating turbid media and human skin ex vivo. The signal-to-background ratios were calculated and compared to images acquired with a traditional, filled-aperture Gaussian beam. Experiments in tissue phantom show an improvement in signal-to-background ratio of about 30% when using annular beam illumination in comparison to Gaussian illumination at specific depths. When laser power is not the limiting factor, this approach is expected to provide even greater benefits.

1. Introduction

Multiphoton microscopy (MPM) has evolved from a photonic novelty [1] to a well-established laboratory tool that allows for noninvasive 3D imaging of tissue [2]. Since MPM is operating in the “optical window” of biological tissue (λ~600–1300 nm), it allows for increased imaging depths compared to single photon excitation modalities like confocal microscopy [3]. MPM utilizes high intensity fs-pulse lasers to generate a high flux of photons necessary for multiphoton absorption. Conventionally, these nonlinear processes are assumed to occur only at the focal volume where extremely high photon flux is generated, allowing for noninvasive three-dimensional optical sectioning. Recently, MPM has enabled powerful applications in life sciences such as high-speed cell mitosis imaging [4], real-time lymphocyte tracking [5], and cell migration monitoring [6]. MPM has also been established as a tool for visualization in turbid biological matter such as the human skin [7, 8]. For dermatological purposes, multiphoton microscopy has been commercialized for clinical use (DermalInspect, Jenlab, Germany) [9]. In addition, in vivo MPM is advancing the field of neuroscience by allowing for optical visualization of neural architecture and functions [10, 11].

Assuming sufficient excitation power and aberration control, the fundamental factor limiting imaging depth when performing MPM in tissue is the background fluorescence originating from out-of-focus areas above the imaging
annular beams by combining theoretical simulations and proof-of-concept experiments using wavefront controlling optics. The work is an extension of our previous approach [18]. Here, a spatial light modulator (SLM) was introduced in the optical path of a custom-built experimental MPM platform in order to obtain an annular beam, similar to the approach shown by Nie et al. [19]. By changing the pattern on the SLM, a systematic comparison of annular versus Gaussian illumination could be performed. Fluorescent scattering tissue phantoms simulating the properties of optically dense biological tissue were investigated, together with excised human skin tissue in order to demonstrate proof of concept.

2. Materials and Methods

2.1. Theoretical Simulations. In order to simulate the excitation intensity generated in the focal region when switching from Gaussian to annular beam shape, the Fresnel-Kirchhoff integral was calculated for varying beam shape conditions, based on a previously described approach [18, 20]. The Fresnel-Kirchhoff integral is stated as

\[ \Psi'_{FK}(r', \theta', z) = \frac{2\pi}{z\lambda} \int \Psi'(r, \theta) e^{-2\pi i R/\lambda} \Phi dS, \]  

(1)

where the total field amplitude in a point \((r', \theta')\), at the distance \(z\) from the lens. The coordinates are schematically illustrated in Figure 2. Here, \(\Psi'(r, \theta)\) is the field amplitude at a point \((r, \theta)\) on the lens \((z = 0)\). The integration is made by summing over the contributions from each area element, \(dS\), on the lens surface. The phase retardation of the lens, \(\Phi\), is given by

\[ \Phi = e^{i\pi r^2/\lambda f}. \]  

(2)

Here, \(f\) is the focal length of the lens, \(\lambda\) is the wavelength, and \(R\) is the distance vector from the point \((r, \theta)\) on the lens to the point \((r', \theta', z)\) for which the total field, \(\Psi'_{FK}(r', \theta', z)\), is calculated using the expression

\[ R \approx z + \frac{r^2 + r'^2 - 2rr' \cos(\theta - \theta')}{2z'}. \]  

(3)

The field amplitude at the lens \(\Psi'(r, \theta)\) was given by the expression

\[ \Psi'(r, \theta) = \frac{P_0}{\pi B^2} \left( 1 - \frac{r}{B} \right) e^{-2(r-b)/B}, \]  

(4)

where \(P_0\) is the laser power at the lens, \(B\) is the outer radius of the beam, and \(b\) is the inner radius of the beam. The outer radius \(B\) was scaled down with increasing \(b/B\) to ensure that the total energy was kept constant in the comparisons between different conditions. The Fresnel-Kirchhoff integral (1) was calculated using MATLAB (MathWorks, Natick, MA, USA) for a grid around the focus, in order to simulate the intensity distribution around the focal volume. Each beam shape was characterized by the ratio between the inner radius \((b)\) and outer radius \((B)\), ranging from \(b/B = 0\) for...
Gaussian illumination up to the extreme annular case with $b/B$ approaching 1.

In addition to exploring the effects on the focal volume, the expected generation of out-of-focus fluorescence was calculated by a geometric approach, using the coordinates as illustrated in Figure 2(b). This is a simplified model that assumes that only the ballistic photons are responsible for generating two-photon excitation. Though the scattered semiballistic photons also contribute to out-of-focus fluorescence [12], this approach was considered to be a conceptually simple and valid model to estimate the potential reduction of out-of-focus fluorescence in relative terms. The field amplitude $\Psi'(z)$ was calculated as a function of $z$, where the Gaussian beam profile is given by

$$\Psi'(z) = \frac{P_0}{\pi B'^2} e^{-2\left(\frac{r'}{B'}\right)^2}, \quad (5)$$

and the annular beam is given as

$$\Psi'(z) = \frac{P_0}{\pi B'^2} \left(1 - \frac{b'}{r'}\right) e^{-2\left(\frac{r' - b'}{B'}\right)^2}. \quad (6)$$

$P_0$ is the peak power at the exit of the lens, $r'$ is the radial coordinate, and $B'$ and $b'$ are the beam width and inner beam radius, respectively, which decrease as a function of depth $z$ through the relation according to

$$B'(z) = B \left(1 - \frac{z \tan \alpha}{a_0}\right), \quad (7)$$

$$b'(z) = b \left(1 - \frac{z \tan \alpha}{a_0}\right).$$

$B$ and $b$ are the beam width and inner radius at the aperture exit, $a_0$ is the aperture radius, and $\alpha$ is calculated from the NA, described by

$$\text{NA} = n \sin \alpha = n \frac{D}{2f}. \quad (8)$$

The generated out-of-focus fluorescence was estimated to be proportional to the square of the field intensity at the varying $z$-levels, that is, at different depths, so that the total background fluorescence was calculated as

$$F_B = \int \Psi'^2 e^{-2\mu_s} d\tau. \quad (9)$$

The attenuation term in (9) was included to account for attenuation of both excitation light and emitted fluorescence in the sample. The value of the scattering coefficient $\mu_s$ was set to 150 cm$^{-1}$, that is, corresponding to approximate parameters of human skin [21–23]. The value of $F_B$ was calculated for a Gaussian beam condition and annular beams...
Measurements were performed using a custom-built MPM platform. A Ti:Sapphire mode-locked laser (Tsunami, Spectra-Physics) was used as the excitation source. The laser is tunable in the wavelength range 700–1050 nm and provides a repetition rate of 80 MHz with pulse duration of ~100 fs. A pump power of 6 W results in an average laser beam energy of 800 mW as the beam exits the laser cavity. Laser power was controlled using a Pockels cell (350–80LA, Conoptics). A beam expander (BE05M-B, Thorlabs) was implemented to ensure utilization of the full SLM area and fill the back aperture of the focusing objective. A water-immersion objective (40x, NA 0.8, cover glass correction, Achromplan NIR, Carl Zeiss) was used and mounted on a Zeiss Axiosvert in an inverted configuration. The sample was positioned using a combination of manual control for the objective height and a piezo-electric stage (MicroStage/NanoZL500, Mad City Labs) controllable through a LabVIEW program (National Instruments). Data acquisition was performed using two time-correlated single photon counting cards (TCSPC, SPC-150, Becker & Hickl), here operating in intensity mode.

2.3. Tissue Mimicking Fluorescent Phantoms. Fluorescent phantoms simulating the optical properties of tissue were prepared by dissolving 1% weight fraction of agar (VWR, PN: 20767) in 1x PBS (pH 7.4, Sigma, PN: P4417) and adding fluorescein (Sigma, PN: 46955) and TiO$_2$ (Acros, PN: 21358100) to yield 0.1 mM fluorescein and 10 mg/mL TiO$_2$ in the agar solution. The addition of fluorescein creates a uniform fluorescent background in the phantom sample, while the addition of TiO$_2$ serves as the scattering component corresponding to a scattering coefficient of ~40 cm$^{-1}$, approximately 3 times less scattering than normal epidermal layer of skin [22, 23]. The lower attenuation was used to ensure that a larger portion of the available z-range could be utilized, which increased the resolution in the z-direction. A piece of medical gauze was stained for 5 minutes in a 1 mM Rhodamine-B-ITC (Sigma, PN: R6626) solution at room temperature. The excess staining solution was removed through rinsing with 1x PBS. The stained gauze was embedded in the agar gel solution to provide a localized signal in the red channel, and the solution was allowed to solidify, yielding the final phantom specimen.

2.4. Human Skin Samples. A human skin specimen was obtained as discarded tissue from breast reduction surgery at the Sahlgrenska University Hospital (University of Gothenburg, Gothenburg, Sweden). The specimen was cut into 1 × 1 cm$^2$ pieces and stored at −20°C. Prior to the imaging experiment, the specimen was thawed and mounted onto a glass slide without further processing.

2.5. Experimental Signal-to-Background Ratio (SBR). Fluorescence signals from both the red and the green channels were used to calculate the experimental SBR. The pixel intensity of the red channel originates from a combination control and beam modulation. The annular beam was created by shifting the phase of the central part of the beam using a triangle wave pattern, thus diverting the central portion away from the beam path. The shape of the annular beam was visualized using a beam profiler (Ophir-Spiricon SP90281). With a range of $b/B$ values. The implemented parameters used for the simulations were chosen to correspond to the experimental setup, with numerical aperture of the objective NA = 0.8, beam waist at the aperture exit $B = 1.4$ mm, water immersion with refractive index $n = 1.33$, and laser wavelength $\lambda = 800$ nm. The distribution of fluorophores within the sample is assumed to be homogenous. The fluorescence signal was calculated as being proportional to the light intensity square dependence as valid for two-photon excitation processes. The probability of collecting the emitted photons was adjusted by multiplying by different functions for simulating various collection efficiencies. Both flat and Gaussian shaped collection efficiencies were investigated. From these simulations, values of the fluorescence generated within the focal volume ($F_f$) and outside of the focal volume ($F_B$) were computed based on the square dependence of the light intensity to calculate signal-to-background ratios (SBR) defined as

$$SBR = \frac{F_f}{F_B}$$.  

Thus, in order to maximize the value of SBR, the value of $F_B$ should be kept at a minimum.

2.2. Experimental Multiphoton Setup. Experimental measurements were performed using a custom-built MPM platform schematically illustrated in Figure 3. An SLM (X10468-02 LCOS-SLM, Hamamatsu Inc.) was used for wavefront
of the signals from the RBITC-stained fiber in the focus ($R_f$) and the background fluorescence ($R_b$). Similarly, the pixel intensity of the green channel can be defined as the combination of the signal from the fluorescein in the focal region ($G_f$) and the background signal from the fluorescence evenly distributed in the gel ($G_b$). The SBR should ideally be calculated as a ratio between the signals from the focus and the background as

$$\text{SBR} = \frac{R_f}{G_b}; \quad (11)$$

however, for practical reasons, the SBR was approximated by the ratio between the total signals in both channels as

$$\text{SBR} \approx \frac{R}{G} = \frac{R_f + R_b}{G_f + G_b}; \quad (12)$$

this simplification is based on the assumption that $R_f \gg R_b$ and $G_f \gg G_b$. As seen from the experimental data, the $G_f$ component cannot be neglected. Taking this into consideration, this formula will give an underestimation of SBR and is thus considered a valid approach. The SBR were extracted from the different $z$-levels by extracting the mean fluorescent value of the total $x, y$ image. The data are presented as bar graphs with standard error.

3. Results and Discussion

3.1. Theoretical Considerations Exploring Annular Beams. In order to explore the intensity distribution around the focal volume when changing from Gaussian to annular illumination in MPM, the Fresnel-Kirchhoff integral (see (1)) was calculated on a grid around the focus using MATLAB for different beam ratios $b/B$. As shown in Figure 4, the focal volume becomes elongated when the ratio $b/B$ gets closer to 1, approaching a Bessel beam. This elongation can be utilized for other microscopy applications, for example, in two-photon excitation light sheet microscopy [4, 24], but for the current application, the elongation causes a reduction of the axial resolution.

To allow for Nyquist sampling at the cellular level, the focal volume should not exceed $2\mu m$ in the axial direction. From the data for the theoretical simulations (Figure 4), the full width at half maximum (FWHM) in the $z$-direction was extracted as a function of $b/B$, as presented in Figure 5. As demonstrated by the figure, FWHM values below $2\mu m$ are obtained when the $b/B$ ratio is kept below 0.6. For ratios above this value, the FWHM rapidly increases the elongation of the focal volume above $2\mu m$. Thus, when implementing annular beams for MPM, $b/B$ should be kept below 0.6. As the $b/B$ ratio approaches 1, the beam takes the shape of a Bessel beam with an infinitely long focal volume. The differentiation of the same value shows rapid elongation of the focal volume for $b/B$ values approaching 1.

The potential generation of out-of-focus fluorescence was calculated for a range of different annular beams ($b/B = 0.2$ to 0.95) and compared to Gaussian illumination ($b/B = 0$). The simulations were implemented by considering the different geometrical distributions of the excitation light for the varying conditions. The results are presented in Figure 6 for two scenarios with different collection efficiencies (Gaussian and flat) assuming the scattering properties of...
Figure 6: Results from the computer simulations estimating the out-of-focus signal \( F_B \) generated for different shapes of the annular beam given as the ratio \( b/B \). The presented simulations were performed using a mathematical approach considering the varying geometrical distribution of the excitation light depending on \( b/B \). Results are presented for two scenarios assuming different probabilities of collection efficiency \( P_{CA} \): (a) illustrating Gaussian and (c) flat collection efficiency. The respective results for simulated out-of-focus signal \( F_B \) are presented in (b) and (d). For both cases, the value of the attenuation coefficient \( \mu_s \) was set to 150 cm\(^{-1}\).

3.2. Imaging Tissue Phantoms. In order to implement and experimentally explore how annular beams can reduce the out-of-focus signal in MPM, a wavefront controlling element, that is, an SLM, was included in the optical path of an experimental MPM setup, to allow for versatile control of the beam shape and simple switching between Gaussian and annular beam conditions. Annular beams were created of around 0.4 should be optimal considering the tradeoff between reduction of out-of-focus signal and retaining the imaging resolution. In the simulations, the background signal can be reduced by half by switching from Gaussian to annular beam \( (b/B = 0.4) \), assuming paraxial collection efficiency.
Figure 7: (a) The phase pattern applied at the SLM to generate an annular beam. The desired annulus is displayed in reflection mode with no phase shift ($\varphi = 0$) displayed in black, while the undesired part of the beam is deflected by applying a phase shift gradient ($\varphi = 0 \rightarrow \pi$). (b) A representative image of the acquired beam profile measured after the SLM. Dimensions of beam profiler image: 4.7 mm × 6.3 mm.

by diverting the central part of the beam uploading a phase pattern to the SLM. Figure 7 shows a typical phase pattern applied to the SLM and the corresponding generated beam profile obtained as measured in the beam path. As shown by the figure, the created annular beam is far from perfect, and future work will involve improvements of the generation of the annular beam to optimize the approach. For example, more efficient beam generation may be desirable, where the central part of the beam is not diverted and dumped to the side to avoid power loss. This is of particular importance for applications requiring deep tissue imaging, since high laser powers are needed to reach deep into the samples. Future efforts could adopt a Fourier plane setup, in which iterative Fourier transform algorithms [25] can be implemented to generate the desired annuli. Still, the generated beams using the simple diverting setup were sufficient in investigating the hypothesis experimentally. Therefore, the present study encourages future refinements of the approach.

According to the theoretical calculations, an annular beam with ratio $b/B$ of around 0.4 should be optimal in terms of maximum background signal reduction while keeping the axial resolution in a desired range. Thus, experimental efforts were made to generate an annular beam with these conditions, which were then implemented to image tissue simulating fluorescent scattering phantoms. The phantoms were created so that the RBITC-stained gauze fibers contribute to the in-focus signal (red channel), while the generation of out-of-focus signal should appear most prominently as fluorescein fluorescence (green channel). Figure 8 shows data from a side-by-side comparison of representative MPM images of the tissue phantom acquired using annular versus Gaussian illumination with matching laser power. Both image data (at ~550 μm subsurface corresponding to 22 scattering lengths) and the extracted SBR values at different depths are presented in the figure. Similar structures are visualized using both Gaussian and annular beam illumination. As expected, the background signal is reduced when using the annular beam illumination, confirming the hypothesis. Also seen by the graph is that the improvement depends on the imaging depth. As expected, the improvement in SBR is small at shallow depths, but it increases at greater depths into the sample, with a maximal improvement of 30% at a depth of 550 μm. When imaging even deeper, the signal becomes limited, thus reducing the SBR. These results imply that annular beam is a feasible approach to reduce out-of-focus signal, as validated in these simplified tissue phantoms.

3.3. Proof of Principle in Tissue Sample. In order to explore the feasibility of annular beams for MPM in a more complex tissue sample, specimens of unstained excised human skin were examined ex vivo. Figure 9 shows MPM images of autofluorescent features of the dermal part of human skin at two different tissue depths acquired using both annular and Gaussian beam illumination. As shown by the figure, the fluorescence signal generated by the elastin and collagen fibers of the dermis is clearly visualized. At 45 μm depth, the features observed are comparable using power-matched annular and Gaussian illumination. When imaging deeper into the dermis (around 80 μm), the imaging contrast for the Gaussian illumination is deteriorated as the signal from the fiber structures becomes blurry. For the annular illumination, the overall signal is reduced as expected, but the out-of-focus signal has been reduced. Even if the demonstrated effect at this stage in tissue is suboptimal, the experiments show proof of principle that (i) annular beams can be applied to perform MPM in complex biological tissue and (ii) the hypothesis of reducing out-of-focus fluorescence by annular beam shape
Figure 8: MPM images of a tissue mimicking phantom using power-matched (~15 mW at the back aperture) annular (a) and Gaussian (b) beam illumination at ~550 μm subsurface. Field of view ~250 × 250 μm²; (c) comparison of the signal-to-background ratio (SBR) extracted from MPM images at different imaging depths. The SBR is normalized to the Gaussian SBR at each imaging depth to show relative improvement in contrast. Each image was divided into 16 nonoverlapping regions of interest of identical size.

is confirmed. Future work should be undertaken to improve more efficient generation of the annular beam, particularly with respect to retaining laser power and accounting for dispersion effects.

4. Conclusions

This study explores annular beam shaping as a viable approach to decrease out-of-focus background fluorescence when performing MPM in optically turbid media. Based on the findings from computational simulations and mathematical models, the optimal regime for background reduction was found to be a beam ratio \( b/B \) of around 0.4. Higher ratios degrade axial resolution while lower ratios do not eliminate significant out-of-focus background fluorescence. Imaging data from both tissue phantoms and excised tissue specimens demonstrate proof of principle and support feasibility and relevance in translational applications. Improved imaging contrast in annular illuminated MPM enables an increase in imaging depth, which can potentially allow for the monitoring of tumors and other pathological events in the microenvironment within the dermal layer of the skin, which is important in image-guided diagnostics [7] and pharmaceutical development [26]. The approach could potentially be combined with other approaches for improving imaging depths, for example, using third-harmonic generation [13, 27]. Additional quantitative imaging in light scattering tissue using techniques such as fluorescence correlation spectroscopy (FCS) and fluorescence lifetime microscopy (FLIM) would significantly benefit from reduced background. Improved imaging depth is also important to facilitate neuroscience [11] as it could potentially enable the noninvasive studies of layer V neurons and provide a better understanding of the neurocircuitry. Thus, future refinement and development are necessary in order to harvest full potential of the technique, which in turn could make MPM a more powerful, versatile, and indispensable tool in biomedical research.

Conflicts of Interest

The authors declare that there are no conflicts of interest regarding the publication of this paper.
Figure 9: MPM images from the dermis of an excised unstained normal human skin specimen applying annular and Gaussian beam illumination at different tissue depths (∼45 µm and ∼80 µm) using matching laser powers (∼15 mW and 20 mW, resp.) at the back aperture. Scale bar = 50 µm.

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