Climate change effect on storm drainage networks by storm water management model

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ABSTRACT

One of the big problems facing municipalities is the management and control of urban flooding where urban drainage systems are under growing pressure due to increases in urbanization, population and changes in the climate. Urban flooding causes environmental and infrastructure damage, especially to roads, this damage increasing maintenance costs. The aim of the present study is to develop a decision support tool to identify the performance of storm networks to address future risks associated with climate change in the Middle East region and specifically, illegal sewer connections in the storm networks of Karbala city, Iraq. The storm water management model has been used to simulate Karbala’s storm drainage network using continuous hourly rainfall intensity data from 2008 to 2016. The results indicate that the system is sufficient as designed before consideration of extra sewage due to an illegal sewer connection. Due to climate changes in recent years, rainfall intensity has increased reaching 33.54 mm/h, this change led to flooding in 47% of manholes. Illegal sewage will increase flooding in the storm system at this rainfall intensity from between 39% to 52%.

Keywords: Al-Eskari quarter, Climate change, Flooding, Karbala, Storm drainage network, SWMM

1. Introduction

There is a long history of the use of drainage systems as far back as the early third millennium B.C. The study of functional technological solutions to water transport problems therefore has a long history, having its roots in ancient times, beginning with a combination of technology with philosophy and science which first appeared in Mesopotamia, Egypt, Hellas, China and India [1]. Interest in these systems is because of the need to dispose of rain water and sewage and to control disease and pollution. At present, storm drainage infrastructures comprise manholes, pipes, gullies and pump stations, these systems usually designed based on historical rainfall data while considering changes in climate that may occur during the expected life of the system. However, if the effects of climate change have been underestimated due to, for example, urbanization, the system will not function to a satisfactory level and increase the probability of urban flooding.

Global warming affects the water cycle, causing a significant rise in temperature and sea levels all over the globe. This increase also causes an increase in rainfall intensity and frequency [2]. In light of this, potential evapotranspiration (ET,) under different types of climate including semiarid, arid, Mediterranean and very humid, has been examined using monthly meteorological data collected over 50 years (1961-2010) in 18 regions of Iran. The results indicate an increase in temperature (mean, maximum and minimum) and a decrease in minimum relative humidity and that signifies a change in climate in most regions of Iran [3]. In addition to climate change, increases in urbanization have an impact by increasing flood discharge where there are changes in surface runoff patterns due to changes in land use.

Records over three periods of time (5, 10 and 49 years) were supplied by Mashhad, Kermanshah, Babolsar and Ahvaz stations and used to evaluate the efficacy of the quantity of recorded data which is used to make monthly rainfall forecasts. The results of the calibration of time series models that forecast rainfall in the future indicate that in semi-arid and temperate climates, 60 observation data points are needed to forecast rainfall. The accuracy of time series models improves with increasing quantities of observation data from humid and arid climates [4].

The Anisotropic effects of natural and man-modified environ...
ments on shallow flood flows and free-surface flow has been studied using subgrid modeling techniques [2-d shallow water models] in Iran. The results shown that anisotropy formed a key role in shallow flows patterns [5]. Estimations of the ratio of irrigation to cultivated land area in Africa and Americas using studies of agricultural water management was developed by Valipour [6] and Valipour [7], respectively.

Urban flooding issues have become easier to analysis and manage due to the development of computer technology and different types of models used in different locations to simulate rainfall-runoff. These include storm water management model (SWMM), MIKE SWMM, and XPSWMM [8], ILLUDAS, [9] and MOUSE [10].

Heu et al. [11] employed SWMM to examine and measure flooding from the storm drainage network in Taipei, Taiwan, the results helping authorities deal with flooding problems. A similar simulation of the storm drainage system in South Bronx, New York City using SWMM was carried out by Sands et al. [12]. Two simulations were carried out, the first to model the distribution of rainfall on August 20, 1999 where rainfall intensity was measured at 104 mm over a six-hour period. The second simulation involved an examination of different types of storms and specifying the drainage capability of the storm sewer network to discharge the runoff. Sheng and Wilson [13] compared the runoff patterns of two types of land use; a forested and an urban area. The results indicated that in urban areas, 90% of rainfall is lost as run off while only 25% is lost in forested areas. This clearly shows the negative effect of urbanization increasing the probability of the flooding. Bering et al. [14] calibrated the physical parameters of four sub-catchment areas in Brazil using SWMM. Calibrations were carried out on a number of rainy events (4 to 12), the number depending on the data provided for each sub-catchment. The effects of the physical parameters on the calibration depend on the nature of the sub-catchment but the dominant physical parameters were impervious percent, the width and Manning’s roughness coefficient of the sub-catchment.

A comparison has been done between vertical and horizontal discharge in anisotropic soils by using EnDrainWin and WellDrain software’s. The results presented that for the same condition, horizontal drainage systems due to the higher spacing between drains were superior to vertical drainage systems. However, a vertical drainage system due to the poorer changes is suitable for situation that soil hydraulic conductivity was probable to change [15].

Jiang et al. [16] used SWMM to forecast flooding in China, one of the countries with the highest rates of urbanization. The simulation used rain data over 1, 2, 5, 10 and 20-year periods, the results indicating that the network only satisfies the design requirements over a 1-year return period and that the network will be hydraulically flooded at other return periods. A framework for predicting future short duration rainfall intensity was developed by Jung et al. [17] who examined the effects of climate change on urban runoff in the Gunja Drainage Basin. The results included a framework proposed to provide a review of stormwater runoff to determine capacity when taking climate change into consideration.

The studies introduced above indicate that the SWMM model is an effective tool able to simulate urban flooding and produce realistic results. Therefore, in the current study, the SWMM has been chosen to simulate urban flooding in storm drainage networks in an area which has been exposed to very high rain intensity in recent years due to climate change. It aims to evaluate and analyze the performance of the storm drainage system under actual hourly rainfall to forecast flooding under different rainfall intensities. The results will help decision makers to develop plans to mitigate urban flooding.

The previous literature reviews climate change knowledge for the study area in the Middle East. This body of knowledge however, is insufficient in comparison with the importance of the impact of climate change both in the present and for the future. In consequence, this study attempts to fill the gap in knowledge and to establish the effect of this global phenomenon for drainage systems in Al-Eskari, and to present appropriate management models for decision makers.

2. The Study Area

Geographically, the study area (Al-Eskari quarter) is located to the north of the center of Karbala province, Iraq, between latitudes 32° 39’ 18” N - 32° 38’ 42” N, and longitudes 43° 09’ 06” E - 43° 57’ 54” E, as shown in Fig. 1. It is a flat surface with low slopes and sandy, clay soil. Regional elevations range from 34 m to 41 m above sea level. The total area is approximately 1.1 km², of which 0.372 km² is pervious (34% of the total area) with 0.728 km² impervious (66% of the total area) including 0.062 km² of paved roads. The impervious area can be classified as roofs, roads and sidewalks, the pervious classified as gardens and unpaved roads. Dependent on land use and the slope of the area, the quarter is divided into 104 sub-catchment area. All the sub-catchments are directly linked to the storm drainage system. The climate in the study area is desert weather with an extremely hot, long and dry summer from May to October and a short, cold, rainy winter from November to April. The average temperature in winter is 8°C reaching 48°C in summer. The total annual rainfall in Karbala is less than 92 mm [18]. The Al-Eskari quarter is only serviced by a storm drainage network, an illegal sewage connection linked to this network. The study area suffers from flooding during the rainy season.

Fig. 1. Geographical location of the study area relative to Iraqi map.
3. Methodology

Hydrology process simulation models attempt to explain the hydrological processes that occur in the real world. They also aim to clarify the hydraulic performance of drainage networks. The models used to generate the rainfall-runoff process, from simple to complex, are divided into three categories: empirical, conceptual and physical [19].

3.1. Description the Simulation Model

The SWMM is a dynamic, numerical, conceptual rainfall-runoff model developed by the U.S. Environmental Protection Agency (EPA) [10]. It has the ability to simulate and analyze the quantity and quality of runoff in urban areas based on single or continuous rainfall events. The rainfall-runoff model depends on the physical parameters of the sub-catchment as inserted by the modeler. These parameters include the percentage of impervious materials, width of sub-catchment area, Manning's roughness coefficients overland, infiltration loss, topography, land use type, moisture conditions, rainfall intensity, pervious and impervious areas, depth of depression storage and the capacity of the drainage system. In this study, using Green and Ampt's [20] method of estimating infiltration, SWMM categorized the sub-catchment area as a nonlinear reservoir, the flow route in the subcatchment area defined by using the continuity of mass equation [19]:

$$\frac{dV}{dt} = \frac{d[A*d]}{dt} A*I_e - Q$$  \hspace{1cm} (1)

The value of $Q$ estimates from the equation below:

$$Q = Wn (d-d_p)^{0.5} \left(\frac{y}{S_f} - \frac{y}{S_o}\right)$$  \hspace{1cm} (2)

where: $\frac{dV}{dt} = \frac{d[A*d]}{dt}$ is the change of volume stored over the sub-catchment over time, $V=\frac{d[A*d]}{dt}$ the volume of water on the sub-catchment [m³], $A$ the sub-catchment area [m²], and $d$ the water depth on the sub-catchment (depth of storage in the reservoir) [m], $I_e$ rainfall excess (rainfall intensity minus evaporation and infiltration rates [m/s]), $A*I_e$ the precipitation excess from the sub-catchment, $Q$ the runoff flow rate from the sub-catchment [m³/s], $S_f$ the friction slope [m/m], $S_o$ the bed slope [m/m], $n$ the cross-sectional area of the pipe [m²], $t$ the time [s] and $x$ the distance along the channel [m].

3.2. Building the Model

Nine years (2008 to 2016) of hourly rainfall data was simulated to analyze the storm drainage network in the study area. The precipitation data was provided by Al-Razaza’s meteorology station located at 43º 97’ E and 32° 55’ N. The Al-Eskari quarter was divided into 104 sub-catchments with areas ranging from 2,000 to 44,500 m² as shown in Fig. 2. Geographic Information System (GIS) software was used to display the study area by digital aerial imaging. GIS provides information on the spatial distribution of the storm drainage system e.g. location, depth and type of manhole, diameter, roughness, length and slope of pipes and area of sub-catchment. The 238 manholes in the study area, shown in Fig. 3, are made of concrete with depths ranging from 1 m to 3.82 m. The pipe diameters range from 315 mm to 600 mm and are made of UPVC material.
3.3. Sewage Quantity

Because sewage discharge is connected to the storm network in the AL-Eskari quarter, it needs to be included. According to Iraqi Planning Ministry report [22], the water consumption per capita in 2014 in Karbala was about 422 L/d per capita. The estimate average sewage for each manhole is as follows [23]:

\[ Q_{avg} = 0.8 \times P \times G \]  \hspace{1cm} (5)

where: \( Q_{avg} \) is the average sewage discharge [L/d], \( P \) the population [thousand] and \( G \) the water consumption per capita [L/d].

The percent of sewage per capita from water consumption ranges from 70 to 130% [23]. In Iraq overall, the percent of sewage was approximately 80%. The SWMM requires a time pattern for hourly, daily or monthly variations in sewage. Choi [24] has supplied a time pattern for dry weather flow as shown in Table 1. This variation is suitable for the resident area as recommended by the author.

### Table 1. The Sewage Variation Pattern [24]

| Daily pattern | Monthly pattern |
|---------------|-----------------|
| Sun           | Jan             |
| Mon           | Feb             |
| Tue           | Mar             |
| Wed           | Apr             |
| Thu           | May             |
| Fri           | Jun             |
| Sat           | Jul             |
|               | Aug             |
|               | Sep             |
|               | Oct             |
|               | Nov             |
|               | Dec             |
|               |                 |
| 1.02          | 1               |
| 1             | 1               |
| 0.99          | 1               |
| 0.95          | 1               |
| 0.94          | 1               |
| 1.01          | 1               |
| 1.1           |                 |

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| 1             | 1               |
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| 0.95          | 1               |
| 0.94          | 1               |
| 1.01          | 1               |
| 1.1           |                 |

### Table 1. The Sewage Variation Pattern [24]

| Hourly pattern |
|----------------|
| AM 12:00:00    | 0.9  |
| AM 01:00:00    | 0.82 |
| AM 02:00:00    | 0.7  |
| AM 03:00:00    | 0.64 |
| AM 04:00:00    | 0.6  |
| AM 05:00:00    | 0.63 |
| AM 06:00:00    | 0.75 |
| AM 07:00:00    | 0.91 |
| AM 08:00:00    | 1.06 |
| AM 09:00:00    | 1.18 |
| AM 10:00:00    | 1.23 |
| AM 11:00:00    | 1.22 |

Fig. 4. The calibration result for the simulate model in SWMM.

4. Results and Discussion

In order to evaluate the performance of the storm drainage system in the study area, three methods have been used: Calibration of the simulation model for the storm network in Al-Eskari quarter; analysis of the extent of the urban flooding caused by climate change due to global warming, and evaluation of the effect of quantities of sewage draining through the storm network.

4.1. Model Calibration

The calibration of the simulation model was conducted by a manual trial and error method to reduce the difference between the simulation and observation results. The observation data was the total discharge in each manhole during two rainfall events. The first event was in 18/01/2016 where a rainfall intensity of 2 mm/h was recorded. The second event was in 22/01/2016 with rainfall intensity of 4 mm/h. The parameter which had the biggest effect on the calibration results were impervious factor percentage, the width and Manning’s roughness coefficient of the sub-catchments these in agreement with [14].

In order to check the validity of all parameters in the model and the estimation of model parameters, cross validation was carried out on the data as shown in Table 2. The cross validation results show that the mean errors (ME) for the two events are very close to zero at 0.0068 and 0.0032, respectively. The mean square error (MSE) is very low compared to the variance of the observed data for both events. The coefficient of determination \( R^2 \) for the first rainfall event was 0.95 and 0.94 for the second as shown in Fig. 4. These cross validation results show that the chosen models and their parameters are adequate.
Table 2. Fitted Parameters (Cross-validation) of Models

| No. | Parameters | Event        |
|-----|------------|--------------|
| 1   | ME         | 0.0068 0.0032|
| 2   | MSE        | 0.0609 0.0417|
| 3   | R²         | 0.952 0.940  |

4.2. The Effect of Climate Change

The storm drainage network in the Al-Eskari quarter had been designed for a rainfall intensity of 9.6 mm/h and duration of 60 min, representing a 2-year return period. However, the study area has been exposed to the impacts of climate change. There has been a noticeable increase in rainfall intensity, especially after 2012, as shown in Fig. 5. In 2013, rainfall intensity reached 11.3, 17.5, 15.8 and 17.8 mm/h on 19/11/2013, 21/11/2013, 29/11/2013, 1/12/2013 and 7/12/2013, respectively. In 2015 and 2016, the maximum rainfall intensity was 33.54 mm/h on 11/5/2015 and 24.5 mm/h on 28/3/2016, all of which are above the design intensity of the sewer system which is 9.6 mm/h.

Due to this increase in rainfall, the study area has become more prone to flooding. The flooding discharge of the manholes is divided into five stages. Stage 1, no flooding ranging from 0 to 0.001 m³/s; stage 2, very light flooding, ranging from greater than 0.001 to 0.01 m³/s; stage 3, medium flooding, ranging from greater than 0.01 to 0.02 m³/s; stage 4, high flooding, ranging from greater than 0.02 to 0.04 m³/s and stage 5, very high flooding, greater than 0.04 m³/s. The flooding area, the total area of open space and roads, has been classified depending on the manhole linked to it. The behavior of the storm network under a rainfall storm in 30/12/2008, is illustrated in Fig. 6. 61% of the manholes had no flooding (stage 1) and 39% had very light flooding [stage 2] at the peak of the storm. The flooding was continuous for half an hour. Therefore, the behavior of the manholes is considered good, meeting the design requirements.

Fig. 7 shows the storm drainage network under a rainfall intensity of 17 mm/h, representative of approximately 1.77 times its design intensity. The flooding of the manhole is divided into three stages, where 44% had a high flooding discharge (stage 3), 27% had medium flooding discharge and 29% had light flooding discharge. The duration of the flooding was 27 h.
Fig. 8 shows the performance of the storm drainage network under a maximum rainfall intensity of 33.5 mm/h on 11/05/2013, representing approximately 3.5 times the design intensity. 47% of flooding manholes had very high flooding discharge (stage 5), 28% were in stage 4 (high flooding area) and 25% flooded at stage 3 (medium flooding). The variation in manhole flooding under maximum rainfall intensity conditions is because of the spatial variation of the manholes; most of the 47% of the flooded manholes are in the main transporter pipe. These manholes were exposed to a higher load in comparison to other manholes due to the accumulation of sewage and rainfall water from a large sub-catchment. The total duration of the flooding was 43.5 h. Table 3 lists the flooding events with the percent of areas flooded during the period 2008 to 2016.

4.3. The Effect of an illegal sewer connection to the Storm Network

The storm network in the study area is worthy of examination as it carries an illegal amount of sewage. This additional sewage is overloading the drainage capacity of the system and causing clogged pipes. This was the reason behind the flooding of the storm drainage system under extended rainfall.

Fig. 9 shows a comparison in the performance of the system under the two states, with-sewage and without-sewage, under a rainfall intensity lower than the design intensity. It shows that when the system carries sewage, the likelihood of flooding will increase. The percentage of stage 1 (no flooding) in case A was 71% compared with case B with 60%, indicating an increase in manhole flooding by 11%. The percentage of stage 2 (very light flooding) in case A was 29% compared to case B which had 40%. The total duration of flooding in case A was half an hour, but case B was 6 h due to clogged pipes caused by sewage.

The performance of the storm network in the two cases, with-sewage and without-sewage, under design intensity is illustrated in Fig. 10 which shows that the system was good enough under a design intensity of 9.6 mm/h, without sewage. The percent of manhole flooding in case A was 39% at stage 2 (very low flooding).

Table 3. Percent of Flooding Area under the Rainfall Intensities Caused Flooding from 2008 to 2016

| Rainfall intensity mm/h | Stage 1 [no flooding] | Stage 2 [very light flooding] | Stage 3 [medium flooding] | Stage 4 [high flooding] | Stage 5 [very high flooding] |
|-------------------------|-----------------------|-----------------------------|--------------------------|------------------------|-----------------------------|
|                         | [from 0 to 0.001 m³/s]| [> 0.001 m³/s]              | [> 0.01 m³/s]            | [> 0.02 m³/s]          | [> 0.04 m³/s]               |
| without sewage          |                       |                             |                          |                        |                             |
| 24.5                    | 0                     | 0                           | 43                       | 29                     | 28                          |
| 6.9                     | 0                     | 93                          | 7                        | 0                      | 0                           |
| 33.5                    | 0                     | 0                           | 25                       | 29                     | 47                          |
| 12.8                    | 1                     | 47                          | 45                       | 8                      | 0                           |
| 15.8                    | 0                     | 39                          | 29                       | 32                     | 0                           |
| 17.8                    | 0                     | 26                          | 30                       | 44                     | 0                           |
| 17                      | 0                     | 29                          | 27                       | 44                     | 0                           |
| 17.5                    | 0                     | 23                          | 29                       | 47                     | 0                           |
| 11.3                    | 0                     | 43                          | 53                       | 4                      | 0                           |
| 9.6                     | 61                    | 39                          | 0                        | 0                      | 0                           |

Fig. 9. (a) The system without sewage, (b) The system with sewage [rainfall intensity 8.6 mm/h].
while 62% of manholes had no flooding (stage 1). In the second case (B), the system was affected by the quantity of sewage. The percent of stage 1 flooding (no flooding) decreased from 62% in case A to 48% in case B. Stage 2 increased from 39% in case A to 52% in case B meaning there was an increase in the number of manholes which flooded. The total duration of flooding in case A was half an hour and in case B, 7 h.

Fig. 11 details the performance of the storm network in both cases, with-sewage and without sewage, under a rainfall intensity higher than the design intensity by 1.7 times. From this figure, the percentage of flooding manholes in case A and B was 26% and 24% for stage 2, respectively, 30% and 28% for stage 3 (medium flooding) with 44% and 48% at stage 4 (high flooding), respectively. The total duration of flooding in case A was 23 h while case B flooded for 52.5 h. The substantial difference in the duration of flooding between the two cases was due to clogged pipes.

5. Conclusions

In this study, the aim was to evaluate the performance of a storm drainage network subject to the impact of unplanned climate change, to show the effect of changes in sewage discharge which exceed the design discharge capacity. The SWMM model was used to evaluate the storm drainage system in the Al-Eskari quarter, Karbala, Iraq as a case study to address the aim of the study. The system had been designed for a 2-year return period, with a rainfall intensity of 9.6 mm/h, for a duration of 60 min. The results showed a deficit in the network’s ability to cope with an increased risk of flooding due to climate change in the region, indicating the need to redesign several conduits to improve their reliability. The system’s design intensity was suitable when there was no sewage. The quantities of sewage which are currently loading the system have decreased the discharge capacity of the system. It has left sedimentation on the walls of the pipes creating clogging which has increased the duration of flooding. In general, flooding duration was approximately twice as long in comparison to no sewage scenarios.

The results of this study provide an evaluation of the storm drainage system in the study area, which can constitute technical support for decision makers who need to provide plans to improve the control and management of flooding. The study also shows
the behavior of the system under different rainfall intensities and the expected flooding discharge for the flooding areas. SWMM played a key role in the simulation of urban flooding within the study area, providing results which are in agreement with observations therefore appropriate for use in the estimation of urban flooding.

This work can be extended to other regions who face similar conditions and difficulties, particularly in the Middle East. The study examines the impact of sewage in the storm drainage system, a common problem in the middle east. The results of the research can inform on the extent of damage that sewage may cause on the performance of the storm drainage network and thus be used as evidence for work to upgrade existing networks which are identified as having this problem.

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