Interpretation of Helioseismic Traveltimes
Sensitivity to sound speed, pressure, density, and flows

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Abstract Time-distance helioseismology uses cross-covariances of wave motions on the solar surface to determine the travel times of wave packets moving from one surface location to another. We review the methodology to interpret travel-time measurements in terms of small, localized perturbations to a horizontally homogeneous reference solar model. Using the first Born approximation, we derive and compute 3D travel-time sensitivity (Fréchet) kernels for perturbations in sound-speed, density, pressure, and vector flows. While kernels for sound speed and flows had been computed previously, here we extend the calculation to kernels for density and pressure, hence providing a complete description of the effects of solar dynamics and structure on travel times. We treat three thermodynamic quantities as independent and do not assume hydrostatic equilibrium. We present a convenient approach to computing damped Green’s functions using a normal-mode summation. The Green’s function must be computed on a wavenumber grid that has sufficient resolution to resolve the longest lived modes. The typical kernel calculations used in this paper are computer intensive and require on the order of 600 CPU hours per kernel. Kernels are validated by computing the travel-time perturbation that results from horizontally-invariant perturbations using two independent approaches. At fixed sound-speed, the density and pressure kernels are approximately related through a negative multiplicative factor, therefore implying that perturbations in density and pressure are difficult to disentangle. Mean travel-times are not only sensitive to sound-speed, density and pressure perturbations, but also to flows, especially vertical flows. Accurate sensitivity kernels are needed to interpret complex flow patterns such as convection.

Keywords Helioseismology · Solar interior · Wave propagation · Scattering

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There are two general approaches to helioseismology. Global helioseismology consists of interpreting the frequencies of the normal modes of oscillations, whereas local helioseismology uses spatial-temporal correlations of the wave field as the input data (time-distance helioseismology, acoustic holography, direct modeling) or local power spectra of solar oscillations (ring-diagram analysis). For overviews of local helioseismology techniques, see reviews by Christensen-Dalsgaard (2002), Gizon and Birch (2005), and Gizon et al. (2010).

In this paper, we focus on the forward problem of time-distance helioseismology, i.e. how to compute the effects of subsurface heterogeneities on wave travel times. The problem of inferring subsurface heterogeneities from observed travel times (the inverse problem) was first formalised and solved by Kosovichev (1996) and is also discussed in the reviews cited above. Throughout this paper we consider travel times measured between two points on the solar surface using a cross-covariance technique (Duvall et al. 1993). The purpose of the paper is to review and extend the computation of the sensitivity of wave travel times to small-amplitude changes to the local physical conditions of the solar interior. By a small-amplitude change to a reference solar model we mean a perturbation that causes a phase shift in the observed wave field that is much less than a radian. These sensitivity functions depend on the 3D position in the solar interior (the coordinates of local scatterers) and are known as Fréchet kernels, or simply kernels, in Earth seismology (Marquering et al. 1998, 1999, Dahlen et al. 2000, Hung et al. 2000). The solar problem differs from the earthquake seismology problem because solar oscillations are continuously excited by a random process, turbulent solar convection (granulation).

The first kernel calculations in time-distance helioseismology employed the ray approximation, in which the wavelength is assumed to be small compared to the other length scales in the problem (Kosovichev 1996; Kosovichev and Duvall 1997). Birch and Kosovichev (2000) used the first Born approximation (single-scattering approximation) to compute 3D kernels for sound-speed perturbations using the single-source approximation. Subsequently, Gizon and Birch (2002) introduced a general framework for Born kernel calculations including a treatment of wave excitation by near-surface convection, this framework is the basis for the calculations presented here. In particular, Gizon and Birch (2002) showed that spatially distributed wave sources alter the sensitivity kernels: scattering far away from the observation points can be important. They also showed that the details of the wave power spectrum, wave attenuation, and the details of the measurement procedure must all be included in
the kernel computations. They proposed a measurement procedure that enables a consistent computation of kernels by relating small-amplitude changes in the cross-covariance to travel-time shifts. Using this approach kernels have been computed for source amplitude and wave attenuation (Gizon and Birch 2002), sound-speed perturbations (Birch et al. 2004), and vector flows (Gizon et al. 2000; Jackiewicz et al. 2004, 2007; Birch and Gizon 2007; Birch et al. 2011). We note that the same approach was used to compute kernels for ring-diagram analysis (Birch et al. 2007). All of these calculations assume that the helioseismic observable is the vertical wave velocity at the solar surface. Away from disk center, the horizontal velocity contributes to the Doppler velocity and short wavelengths are less visible towards the limb (Jackiewicz et al. 2006, 2007). All of these calculations employed a plane-parallel approximation for the background solar model (used for the study of small patches on the Sun).

More recent approaches based on the adjoint method are particularly useful for solving problems with 3D heterogeneous background models (Hanasoge et al. 2011). This method has been applied to a background model containing a magnetic field and 3D kernels for sound-speed, density, 3D flows, and magnetic fields were computed (Hanasoge et al. 2012). Mathematical expressions for density kernels, as well as sound-speed kernels, have also been presented by Schlottmann and Kosovichev (2011) using “functional analytic methods”. The contribution of the lowest-order non-zero perturbations of magnetic field, to a background containing no magnetic field, is discussed in Gizon and Thompson (2007).

Other approaches than the Born approximation exist. For example, Jensen and Pijpers (2003) applied the Rytov approximation, which is closely related to the Born approximation, to compute kernels for sound-speed and flows in the single-source approximation.

In this paper, we work within the framework of Gizon and Birch (2002) and extend the computation of travel-time kernels to treat density and pressure perturbations, in addition to sound-speed and flow perturbations. For the sake of computational simplicity, we work in Cartesian geometry starting from a 1D static and non-magnetic reference solar model. Furthermore, we do not assume a priori hydrostatic equilibrium in the perturbed model to allow for contributions to the force balance from flows and magnetic fields and thus we retain three thermodynamic variables (sound speed, density, and pressure in the perturbed model).

2 Equivalent-source description of wave interaction

Linear adiabatic stellar oscillations (e.g. Lynden-Bell and Ostriker 1967) are typically governed by equations of the form $L \mathbf{\xi}(r, t) = S(r, t)$, where $L$ is a linear differential operator, $\mathbf{\xi}(r, t)$ is the vector-valued wave displacement, and $S(r, t)$ is the vector-valued source function responsible for wave excitation. Scattering events are uniquely identified by both time $t$ and a three dimensional (3D) position vector $r$.

In the first-order Born approximation (e.g. Gizon and Birch 2002), the standard coupled system of linear differential equations is

$$L_0 \mathbf{\xi}_0 = S_0, \quad (1)$$

$$L_0 \delta \mathbf{\xi} = -\delta L \mathbf{\xi}_0 + \delta S. \quad (2)$$

Equation (1) describes the propagation of small amplitude waves through a background solar model. The operator $L_0$ is the reference linear wave operator, $\mathbf{\xi}_0$ the displacement field in the reference model and $S_0$ is the reference source field. Equation (2) governs the
perturbed displacement field, $\delta \xi$. The first-order linear operator $\delta \mathcal{L}$ encapsulates perturbations to the solar thermodynamic structure and the introduction of flows, and $\delta S$ is the perturbation to the source field. In this paper, we ignore $\delta S$ (see e.g. Gizon and Birch 2002, for a discussion).

For a reference solar model with vanishing flows and magnetic fields, and also using the Cowling approximation, the reference linear operator governing the reference displacement field is (see e.g. Cameron et al. 2006)

$$\mathcal{L}_0 \xi_0 := \rho_0 (\partial_t + \Gamma)^2 \xi_0 - \nabla (\nabla \cdot \xi_0) + g_0 \cdot \xi_0 + \delta P_0.$$  (3)

The background solar model variables, which depend on the distance from the center of the Sun, have their usual symbols: $c_0$ is the sound-speed, $\rho_0$ is the density, $P_0$ is the pressure, and $g_0$ is the acceleration due to gravity. The partial derivative with subscript $t$ denotes differentiation with respect to time and the vector $\nabla$ is the 3 dimensional spatial gradient operator. The phenomenological operator, $\Gamma$, is responsible for damping the oscillations. The random source function $S_0$ is assumed to be statistically homogeneous in the horizontal direction and statistically stationary in time and represents the driving of oscillations by near-surface turbulent convection.

The first-order linear operator $\delta \mathcal{L}$ due to first-order perturbations in sound-speed squared $(\delta c^2)$, density $(\delta \rho)$, pressure $(\delta P)$ and 3D mass flows $(\nabla v)$ is,

$$\delta \mathcal{L} \xi_0 := -\nabla (\delta c^2 \rho_0 \nabla \cdot \xi_0) + 2\rho_0 (\nabla v) \partial_t \xi_0 - \nabla (\xi_0 \nabla \delta P) + \delta P (\partial_t + \Gamma)^2 \xi_0 - \nabla (\nabla \cdot \xi_0) + g_0 \cdot (\delta P_0) + g \cdot (\delta \rho_0).$$  (4)

The first-order changes in gravity $\delta g$ are related to first-order changes in density and are expected to be very small near the solar surface.

We use sound-speed, density, and pressure as our three independent thermodynamic variables. It is possible to make other choices; e.g. the adiabatic exponent $\gamma$ may be introduced at the expense of the others by using the relationship $\rho c^2 = P \gamma$. We do not assume hydrostatic equilibrium for the thermodynamic perturbations. If hydrostatic equilibrium was assumed, then it would be possible to eliminate the gradient of the pressure perturbation (in Eq. (2)) with a contribution from the density perturbation (or vice-versa), and consequently, reduce the number of independent perturbation variables to two (e.g. sound-speed and density) as is done in global helioseismology (e.g. Christensen-Dalsgaard 2002).

### 3 Computing travel-time sensitivity kernels for structure and flows

In time-distance helioseismology wave travel times ($\tau$) are measured from the temporal cross-covariance of the observed signal, $\phi(x,t)$:

$$C(t;x_1,x_2) = \frac{1}{T} \int_0^T dt' \phi(x_1,t') \phi(x_2,t' + t),$$  (5)

where $t$ is the time lag, $x_1$ and $x_2$ are two spatial locations on the solar surface and $T$ is the duration of the time series. In the temporal Fourier domain it becomes

$$C(\omega;x_1,x_2) = h_\omega \phi^*(x_1,\omega) \phi(x_2,\omega),$$  (6)

where $\omega$ is the angular frequency and the superscript $*$ denotes complex conjugate (throughout this paper we use the Fourier conventions from Gizon and Birch 2002). $C(\omega;x_1,x_2)$ is
the temporal Fourier transform of $C(t; x_1, x_2)$ and $\phi(x, \omega)$ is the temporal Fourier transform of $\phi(x, t)$. The sampling in frequency space is inversely proportional to the duration $h_0 = 2\pi/T$.

The observable $\phi$ is often a line-of-sight Doppler velocity; it is connected to the oscillations of the atmosphere caused by seismic waves. There exists a non-trivial relationship between $\xi$ and $\phi$ (see e.g. [Nagashima et al 2014]) which can be expressed in general as

$$\phi(x, \omega) = O\{\xi(r, \omega)\},$$

(7)

where $O$ is an operator that acts on the 3D displacement and maps it onto the CCD detector. The operator includes the Point Spread Function (PSF) of the instrument plus any filters that were applied to the data.

Then using the Born approximation (Section 2) the first-order change to the cross-covariance is given by

$$\delta C(\omega; x_2, x_1) = -(2\pi)^4 \sum_\alpha \int dr \sum_j \hat{\mathcal{G}}^j(x_2, \omega, r) \hat{\mathcal{S}}_{\alpha} \delta L^\alpha_{\alpha,t} \mathbf{X}(r, \omega, x_1) + (1 \leftrightarrow 2)^*, \quad (8)$$

$$\delta L \mathcal{G}^j(r, t - t_s, r_s) = \delta(t - t_s) \hat{\delta}(t - t_s) \hat{\mathbf{e}}, \quad (9)$$

where

$$\mathbf{X}(r, \omega, x_1) := \sum_j \int dr_j \int dr_j' \hat{\mathcal{S}}_{\alpha} \mathcal{L} \mathbf{G}^j : (r, \omega, r_j) \mathcal{M}_{\alpha,t} : (r_j, r_j', \omega), \quad (10)$$

and

$$\mathcal{M}_{\alpha,t} : (r_j, r_j', \omega) := E[S_{\alpha}^t : (r_j, \omega) S_{\alpha}^t : (r_j', \omega)]. \quad (11)$$

The Green’s tensor $\mathbf{G}^j$ is defined by

$$\mathbf{L}_0 \mathbf{G}^j(r, t - t_s, r_s) = \delta(t - t_s) \delta(t - t_s) \hat{\mathbf{e}}, \quad (12)$$

and for convenience we also defined

$$\hat{\mathcal{G}}^j = O\{\mathbf{G}^j\}. \quad (13)$$

The operator $\delta L^\alpha_{\alpha,t}$ is the component of the perturbed wave operator ($\delta L^\alpha$) that is due to the change in the physical quantity $\delta q_{\alpha}(r)$. The quantity $\mathcal{M}_{\alpha,t}$ is the source covariance tensor. The symbol $(1 \leftrightarrow 2)^*$ is a short notation to mean switch $x_1$ and $x_2$ and take the complex conjugate of all other terms in the expression. The scattering physics is included in the functions $\mathcal{L} \mathbf{G}^j$ that give the linear sensitivity of the expectation value of the cross-covariance to changes in the solar model. In order to arrive at Equation (9), it is often required to use integration-by-parts to separate the perturbation variables from spatial derivatives, and thus in those cases it is been assumed that relevant quantities vanish on the boundaries.

Using Equation (13), $\mathcal{L} \mathbf{G}^j$ can be expressed for each of the perturbations

$$\mathcal{L} \mathbf{G}^j : (r, x_2, x_1) = -(2\pi)^4 \rho_0(r) c_0^2(r) \left[ \sum_j \partial_j \hat{\mathcal{G}}^j : (x_2, r) \right] \nabla_r \cdot \mathbf{X}(r, x_1) + (1 \leftrightarrow 2)^*, \quad (14)$$

$$\mathcal{L} \mathbf{G}^j : (r, x_2, x_1) = -(2\pi)^4 \rho_0(r) \left[ (\omega + i\gamma)^2 \sum_j \hat{\mathcal{G}}^j : (x_2, r) \right] X_j(r, x_1)$$

$$+ c_0^2(r) \nabla_r \cdot \mathbf{X}(r, x_1) \sum_j \partial_j \hat{\mathcal{G}}^j : (x_2, r)$$

$$- \mathbf{X}(r, x_1) \cdot \nabla_r \sum_j \hat{\mathcal{G}}^j : (x_2, r) g_j(r) \right]$$
studying small areas on the Sun. We assume the observable is given by

\[ \mathcal{G}_P(\mathbf{r}, \mathbf{x}_2, \mathbf{x}_1) = (2\pi)^4 \hat{P}_0(\mathbf{r}) \nabla \cdot \left[ X(\mathbf{r}, \mathbf{x}_1) \sum_j \partial_j \mathcal{G}_j(\mathbf{x}_2, \mathbf{r}) \right] + (1 \leftrightarrow 2)^*, \]

(15)

where \( \mathbf{x}_i \) is the imaginary number. Note that the first-order perturbation to gravity can be expressed in terms of first-order density according to

\[ \mathbf{\nabla} \cdot \delta \mathbf{g} = -4\pi G \delta \rho \]

(18)

where \( G \) is the gravitational constant.

The integrals in Equations (8)-(10) are 3D and over the volume of the Sun. The 3D flow vector \( \mathbf{v} \) has been decomposed into its three components denoted \( v_i \). The sensitivity kernel \( K_\alpha(\mathbf{r}) \) for a given physical quantity \( q_\alpha \) is computed with all other physical quantities held fixed. Each kernel \( K_\alpha(\mathbf{r}) \) due to a point scatterer at \( \mathbf{r} \) in the interior is computed according to

\[ K_\alpha(\mathbf{r}; \mathbf{x}_1, \mathbf{x}_2) = 4\pi \Re \int_0^\infty W^*(\omega; \mathbf{x}_1, \mathbf{x}_2) \mathcal{G}_\alpha(\mathbf{r}, \omega; \mathbf{x}_1, \mathbf{x}_2) d\omega, \]

(19)

where Re takes the real part of the following expression and the function \( W \) gives the linear dependence of the travel-time shifts on the cross-covariance. The functions \( W \) incorporate all the details of the travel-time measurement procedure (Gizon and Birch 2002). One-way travel-times are sensitive to all types of perturbations. Duvall et al. (1997) introduced travel-time differences \( \delta \tau_{\text{diff}} = \delta \tau(\mathbf{x}_1, \mathbf{x}_2) - \delta \tau(\mathbf{x}_2, \mathbf{x}_1) \) to partially isolate the contribution from flows and mean travel times \( \delta \tau_{\text{mean}} = (\delta \tau(\mathbf{x}_1, \mathbf{x}_2) + \delta \tau(\mathbf{x}_2, \mathbf{x}_1))/2 \) to measure the other contributions. The corresponding \( W \)-functions are

\[ W_{\text{diff}}(\omega; \mathbf{x}_1, \mathbf{x}_2) = W(\omega; \mathbf{x}_1, \mathbf{x}_2) - W(\omega; \mathbf{x}_2, \mathbf{x}_1), \]

(20)

\[ W_{\text{mean}}(\omega; \mathbf{x}_1, \mathbf{x}_2) = \frac{[W(\omega; \mathbf{x}_1, \mathbf{x}_2) + W(\omega; \mathbf{x}_2, \mathbf{x}_1)]}{2}. \]

(21)

The forward problem consists of modeling the chain of perturbations \( \delta \xi \rightarrow \delta \phi \rightarrow \delta C \rightarrow \delta \tau \) due to first-order Born perturbations in sound-speed squared, density, pressure and 3D vector flows are given by

\[ \delta \tau(\mathbf{x}_1, \mathbf{x}_2) = \int_\mathbb{R} \mathbf{r} \left[ \frac{c^2}{\rho_0}(\mathbf{r}) K_c(\mathbf{r}; \mathbf{x}_1, \mathbf{x}_2) \frac{\delta \rho}{\rho_0}(\mathbf{r}) + K_p(\mathbf{r}; \mathbf{x}_1, \mathbf{x}_2) \frac{\delta \rho}{\rho_0}(\mathbf{r}) \right. \]

\[ + \left. K_p(\mathbf{r}; \mathbf{x}_1, \mathbf{x}_2) \frac{\delta \rho}{\rho_0}(\mathbf{r}) + \sum_{i=1}^3 K_{v_i}(\mathbf{r}; \mathbf{x}_1, \mathbf{x}_2) v_i(\mathbf{r}) \right]. \]

(22)

4 Plane parallel example

Here we compute example kernels assuming a plane-parallel model which is appropriate for studying small areas on the Sun. We assume the observable is given by

\[ \phi(\mathbf{k}, \omega) = -i\omega F(\mathbf{k}, \omega) \hat{\mathbf{e}} \cdot \mathbf{\xi}(\mathbf{k}, z_{\text{obs}}, \omega), \]

(23)

where \( \mathbf{k} \) is a horizontal wave vector, \( \hat{\mathbf{e}} \) is the line-of-sight unit vector that we assume points in the vertical direction (\( \hat{\mathbf{e}} = \hat{z} \)). We used an observation height of \( z_{\text{obs}} = 300 \text{ km} \).
The function $F$ is a combination of both the instrumental PSF and filters. In this paper, we focus on modeling observations of waves with the same radial order. The solar power spectrum shows ridges of enhanced power. Each of these ridges corresponds to waves with a particular radial order. To isolate a single radial order, the power spectrum is filtered using a ridge filter that suppresses all waves at other radial orders (e.g., see Jackiewicz et al. 2008). In this paper we consider both an f-mode ridge filter and $p_1$-mode ridge filter.

We assume spatially uncorrelated sources, such that the example source covariance tensor is

$$M_{\text{src}}(\mathbf{r}, \mathbf{r}', \omega) = (2\pi)^2 \delta_x \delta_y \delta_z (x_s - x'_s) m(\omega) \partial_r \delta (z_s - z_{\text{src}}) \partial_z \delta (z'_s - z_{\text{src}}),$$  

(24)

where $\mathbf{r} = (x_r, z_r)$ and $\mathbf{r}' = (x'_r, z'_r)$. This is the source covariance described by Birch et al. (2004). The frequency dependence here is Gaussian as in Birch et al. (2004) however, there is an additional factor of $1/\omega^2$ since we are solving for displacement whereas Birch et al. (2004) was solving for velocity. Here, the source auto-correlation function is $m(\omega) := \omega^{-2} \exp[-(\omega T_{\text{src}})^2]$, with a correlation time $T_{\text{src}} = 48$ s. We note that $M$ is defined to within an overall scale factor, which changes the amplitude of the $\zeta_0$ but does not change the kernels $K_q$ since the $W$-functions are inversely proportional to this scale factor. We used a source height of $z_{\text{src}} = -100$ km (Birch et al. 2004).

4.1 Convenient mode-summation formula for the Green’s tensor

The solutions to Equations (1) and (2) can be written in terms of Green’s functions (Gizon and Birch 2002). We use normal-mode summation to compute Green’s functions, however, the approach presented here is different to what was implemented in Birch et al. (2004). Here we write the Green’s functions for the damped problem in terms of normal modes of the undamped problem, and consequently, the new approach is less mathematically and computationally demanding. The equation governing the reference solar oscillations can be expressed as

$$\mathcal{L} \xi_0 = \rho_0 \left[ (\partial_t + \Gamma)^2 + \mathcal{H} \right] \xi_0 = \mathbf{S}_0,$$

(25)

where $\mathcal{H}$ can be identified from Section 2 Normal-mode eigensolutions (undamped) thus must satisfy

$$\mathcal{H} \xi^n(r, k) = \omega^2(k) \xi^n(r, k),$$

(26)

where $\xi^n(r, k)$ is the eigenfunction with radial order $n$ and horizontal wave vector $k$, $\omega(k)$ is the associated (real) eigenfrequency, and $k := ||k||$ is the wavenumber. Notice that because of the assumed horizontal isotropy of the reference solar model the normal-mode frequencies are independent of the direction of $k$. The displacement eigenfunctions may be decomposed into vertical and horizontal components according to

$$\xi^n(x, z, k) = \hat{z} \xi^n_z(z, k) + i \hat{k} \xi^n_\ell(z, k) e^{ik \hat{x}},$$

(27)

where $x$ is a horizontal position vector and $z$ denotes height. Because the only restoring forces are pressure and buoyancy there is no motion in the direction $\hat{k} \times \hat{z}$. We choose to normalise the eigenfunctions according to

$$\int \rho_0 \xi^n(r, k) \cdot \xi^m(r, k') \, d^3r = \delta_{nm} \delta(k - k'),$$

(28)
where $\delta_{n,n'}$ is a Kronecker delta, $\delta(k - k')$ is a Dirac delta function. The integral is three dimensional and over all space. For the horizontally homogeneous medium under study, we define the Green’s vector $G'(x - s, z, \omega, z_0)$ as the displacement vector at position $r = (x, z)$ and time $t$ that results from a delta function source at position $r_s = (s, z_0)$ and time $t_s$ pointing in the $\hat{e}_i$ direction, where $\hat{e}_i$ is one of the unit vectors $\hat{e}_r, \hat{e}_\theta$ or $\hat{e}_z$. If we take the Fourier transform in time, then we get

$$
\rho_0\left[-(\omega + i \gamma)^2 + \mathcal{H}\right] G'(x - s, z, \omega, z_0) = \frac{1}{2\pi} \delta(r - r_s) \hat{e}_i.
$$

(29)

The function $\gamma$ damps the waves so their lifetimes are finite. Typically it is chosen such that the subsequent line-widths of the model reproduce the line-widths observed in the Sun.

We now expand the $G'$ on a basis of undamped normal-mode solutions according to

$$
G'(x - s, z, \omega, z_0) = \sum_{n} \int d^2 k c_n^i(\omega, r_s, k) \xi^m(r, k)
$$

(30)

where $c_n^i(\omega, r_s, k)$ are coefficients to be determined. The sum is over all radial orders $n$ and the integral is two dimensional over all horizontal wave numbers. After taking the dot product of Equation (29) with $\xi^m(r, k')$ and integrating over $r$, we find

$$
[-(\omega + i \gamma_n(\kappa))^2 + \omega_n^2(k)] c_n^i(\omega, r_s, k) = \frac{1}{2\pi} \xi^m(r_s, k),
$$

(31)

where $\gamma_n(k) \eta_n(r, k) = \gamma_n(k) \eta_n(r, k)$). Finally, after some additional manipulation we have

$$
G'(k, z, \omega, z_0) = \frac{1}{2\pi} \sum_{n} \frac{\xi^0(z, k) \xi^m(z_0, k)}{[-\omega + i \gamma_n(k)]^2 + \omega_n^2(k)},
$$

(32)

where we introduce the convenient notation for the eigenfunction

$$
\xi^m(z, k) := \hat{z} \xi^m(z, k) + i \hat{k} \xi^m(z, k).
$$

(33)

Equations (32) and (33) give a convenient set of expressions for computing the Green’s functions for the damped problem in terms of the modes of the undamped problem. They are, in practice, easier to use than the expressions of Birch et al. (2004), which involve the modes of the damped problem; the modes of the undamped problem are easier to compute than the modes of the damped problem. In addition, the damping model (i.e., the choice of the $\gamma_n$) can be updated independently of the computation of the normal modes.

Figure [1] shows how the complex Green’s function presented here compares with two computations from Birch et al. (2004) versus acoustic depth, at fixed $\omega$ and $k$. Birch et al. (2004) used a slightly different definition of the Green’s function: $(\updelta^2 + \Gamma i)\partial_t$ replaced by $\partial^2 + 2\Gamma \partial_t$ in the wave operator $\mathcal{H}$ and $\Gamma = \Gamma(z)$. The problem was solved by Birch et al. (2004) by summation over the modes of the damped problem (unlike in the present paper) and also by direct numerical solution of the differential equations. The source depth is $z_s = 3.7$ Mm and the horizontal wavenumber is $k = 1$ rad Mm$^{-1}$. For the 2004 paper, the cyclic frequency is $\omega/2\pi = 3.92$ mHz, a frequency just above the $n = 2$ resonance. The normal mode eigenfrequencies from the model used in this paper are slightly different from those in Birch et al. (2004); on the order of a few $\mu$Hz. Thus in order to make a good comparison the new Green’s function was evaluated a few $\mu$Hz higher at $\omega/2\pi = 3.92265$ mHz, in order to be a similar distance from the $n = 2$ mode. We find that the two mode-summation
solutions (both used radial orders $0 \leq n \leq 14$) give similar answers (dashed curves in Figure 1). The imaginary parts of the Green’s functions match very well, the real parts less so. The difference in the real parts is due to the non-vanishing imaginary component of the damped eigenfunctions from Birch et al. (2004). The real part of the numerical Green’s function (solid curves in Figure 1) has a discontinuity at the source depth that cannot be reproduced by a sum over only 15 modes. Whether the above differences are important for the interpretation of helioseismic travel times deserves to be studied.

4.2 Background Solar Model and Zero Order Wavefield

We use model S (Christensen-Dalsgaard et al. 1996) for the background model of the Sun, where sound-speed, density, pressure, and gravity are functions of height only. There are no flows or magnetic fields in this background model.

The reference displacement field for the undamped problem (i.e. $\xi_0$) is computed by solving Equation (1). We follow the work of Gizon and Birch (2002) and Birch et al. (2004).
and use a plane-parallel (Cartesian) approximation. The Cowling approximation is also enforced. This reduces to an eigenvalue problem similar to that encountered in Birch et al. (2004), however here we solve for $\xi_0$ rather than $\partial_t^2 \xi_0$. It was particularly important to ensure the eigenfunction solutions were well behaved under double numerical differentiation over height, since some of the features of the pressure kernels are sensitive to small errors. We use the same source covariance as in Birch et al. (2004).

The undamped reference displacement field is used to compute the attenuated Green’s function through Equation (32).

### 4.3 Numerical resolution in Fourier space and spatial computational domain

The kernels were computed using the Model-S non-uniform height grid that is provided as part of the Aarhus adiabatic pulsation package. We selected to use a subset of the height domain from $z = 0.4959$ Mm to $z = -20.9066$ Mm. We use a uniform horizontal grid ($\Delta x = \Delta y = 96$ km) spanning 96 Mm in each direction. The size of the computational box was selected to ensure the kernels essentially vanish on the horizontal boundaries and also the bottom boundary. In particular, the amplitudes of the hyperbolic features of the kernels are notorious for decaying slowly with distance. We conducted resolution tests to confirm the example kernels presented in this paper did not change significantly with higher resolution.

Following Birch et al. (2004), we used the line-width measurements from Korzennik et al. (2004) as a basis for the empirical damping model. These linewidths set the numerical resolution in Fourier space that is required in the calculation of the kernels. For the $p_1$-mode examples shown in this paper, we used frequency and wavenumber resolutions of $\Delta \nu = 3.8 \mu$Hz and $\Delta k = 1.59 \times 10^{-4}$ rad Mm$^{-1}$ respectively. The frequency domain ranged from $f = 1.5$ mHz to $f = 5.3$ mHz. The wavenumber domain was from $k = 0.11$ rad Mm$^{-1}$ to $k = 2.5$ rad Mm$^{-1}$. We computed higher resolution tests to confirm that the resolution used here was sufficient.

To obtain a good representation of $p_1$ modes (including the line asymmetry), normal modes from $n = 0$ to $n = 14$ were used in the summation for the Green’s functions. A filter was then applied to isolate the $p_1$ ridge. We used a Modulation Transfer Function (MTF) of the form $e^{-\beta k}$ where $\beta = 1.15$ Mm.

### 4.4 Example kernels

The code used to compute travel-time sensitivity kernels for this paper was written in MATLAB and is a modified and extended version of the code used by Birch et al. (2004) to compute mean sound-speed kernels and by Birch and Gizon (2007) to compute difference velocity kernels. The main modifications are the computation of density and pressure kernels and the new method to compute Green’s functions (§4.1). For simplicity, when computing the density kernel we neglected the perturbation the gravitational field ($\delta g$) and $\gamma$ in Equation (15); both these effects are small.

Figure 2 shows horizontal and vertical slices through kernels for the changes in the mean travel-time due to fractional perturbations to the squared sound-speed, density, and pressure $(p_1$-modes) and a separation of $\Delta = x_2 - x_1 = 16.0347$ Mm between the observation points. All the kernels are symmetric in both horizontal coordinates and show the same general features as the sound-speed kernels shown by Birch et al. (2004). The kernels have...
complicated features and are largest in amplitude near the two observation points. The kernels extend roughly over the first few Mm below the photosphere; this is the region where the $p_1$ kinetic energy density is significant for frequencies 1.5-5.3 mHz. The total integral of the sound-speed kernel is negative (increased sound-speed leads to a reduction in $\delta \tau_{mn}$). The total integral of the density kernel is also negative implying a uniform increase in density would also lead to a reduction in $\delta \tau_{mn}$. Conversely, the total integral of the pressure kernel is positive, which implies a uniform increase in pressure would lead to an increase in $\delta \tau_{mn}$. The pressure and density kernels are strongly anti-correlated.

**Fig. 2** Mean point-to-point travel-time sensitivity kernels for relative changes in sound-speed squared (top panels), density (middle panels), and pressure (bottom panels). Kernels have units of s Mm$^{-3}$. Normal modes $n = 0$ to $n = 14$ were used to compute the Green's function and a filter was applied to isolate the $p_1$-mode ridge. The left column displays horizontal cuts at a height of $z = -0.3$ Mm. The right column displays vertical slices along the line $y = 0$ Mm. All colour scales are symmetric about zero (green) with red positive and blue negative. The crosses in the left panels represent the two points $x_1$ and $x_2$; the distance between the two points is 16.0347 Mm.

Figure 3 shows slices through the kernels for the sensitivity of the travel-time differences to changes in sound speed, density, and pressure, for the same geometry and filter as the kernels shown in Figure 2. These kernels, unlike in Figure 2, are anti-symmetric in $x$, but still symmetric in $y$. This is a consequence of the definition of the travel-time difference (the difference between the two one-way travel times). The anti-symmetry in $x$ is important as it implies that the total integral of these kernels vanishes, i.e., a spatially uniform change in solar structure does not produce a change in the travel-time differences.

Figure 4 shows slices through the kernels for the sensitivity of the travel-time differences to changes in $v_x$, $v_y$, and $v_z$, for the same geometry and filter as the kernels shown in Figure 2. The kernel for $v_z$ is anti-symmetric in $x$ and symmetric in $y$, whereas the kernel for $v_y$ is anti-symmetric in both $x$ and $y$. This implies that uniform perturbations in $v_z$ and $v_y$ will not effect travel-time differences. The difference kernel for $v_x$ is symmetric in both $x$ and
y and consequently has a non-zero total integral. 3D difference kernels for the f-mode was presented in Birch and Gizon (2007) and Birch et al. (2011). The present calculations are fully consistent with Birch and Gizon (2007) and Birch et al. (2011).

Figure 5 shows slices through the kernels for the sensitivity of mean travel-times to changes in $v_x$, $v_y$, and $v_z$, for the same geometry and filter as the kernels shown in Figure 2. The symmetries imply that uniform perturbations in both $v_x$ and $v_y$ would not affect mean travel times. Equivalent 2D kernels for $v_x$ and $v_y$, for the f-mode, were shown in Jackiewicz et al. (2007). We show here that the mean kernel for $v_z$ is symmetric in both $x$ and $y$ and has a significant non-zero total integral.

Figure 6 demonstrates how the total integrals of the kernels converge as a function of distance from the observation points. The total integrals for the structure and horizontal flow kernels have approximately converged over the 96 Mm$^2$ domain, however, the mean kernel for vertical velocity has not yet converged.

Table 1 shows the mean and difference total integrals for $p_1$ modes. This gives an indication of how travel-time shifts respond to uniform perturbations. The largest contribution to the mean travel-times is from a uniform change in relative sound-speed. The contributions to mean travel-times from relative density and pressure perturbations are almost equal but opposite in sign. For the flows, the only non-zero contribution to the mean travel-times would be a uniform vertical flow, the horizontal components vanish due to their symmetries. The only contribution to the difference travel times due to uniform perturbations is due to flows along the two observation points.
Fig. 4 Difference point-to-point travel-time sensitivity kernels for $v_x$ (top panels), $v_y$ (middle panels), and $v_z$ (bottom panels). Kernels have units of \( \text{s Mm}^{-3}/(\text{km/s}) \). Normal modes \( n = 0 \) to \( n = 14 \) were used to compute the Green's function and a filter was applied to isolate the $p_1$-mode ridge. The left column displays horizontal cuts at a height of \( z = -0.3 \) Mm. The right column displays vertical slices along the line \( y = 0 \) Mm. All colour scales are symmetric about zero (green) with red positive and blue negative. The crosses in the left panels represent the two points \( x_1 \) and \( x_2 \); the distance between the two points is 16.0347 Mm.

| $\int K_{x\parallel}d\mathbf{r}$ | $\int K_{y\parallel}d\mathbf{r}$ | $\int K_{z\parallel}d\mathbf{r}$ | $\int K_{x\perp}d\mathbf{r}$ | $\int K_{y\perp}d\mathbf{r}$ | $\int K_{z\perp}d\mathbf{r}$ |
|---|---|---|---|---|---|
| mean | -460 s | -170 s | 168 s | 0 s/(km/s) | 0 s/(km/s) | 54 s/(km/s) |
| diff | 0 s | 0 s | 0 s | -152 s/(km/s) | 0 s/(km/s) | 0 s/(km/s) |

Table 1 Total integrals of the mean and difference kernels for sound-speed, density, pressure and flows for $p_1$ modes.

5 A consistency check

In this section, we compute the change in the cross-covariance (e.g. see Equation (5)), $\Delta C$, caused by a simple sound-speed perturbation of the form

$$\frac{\Delta c^2}{c_0^2} = \varepsilon \exp \left[ -\frac{(z - z_0)^2}{2\sigma^2} \right].$$

(34)

where $\varepsilon = 0.0001$, $z_0 = -1.5$ Mm, and $\sigma = (1 \text{ Mm})/(8\ln 2)^{1/2}$.

Because $\varepsilon$ is very small, $\Delta C$ can be approximated by

$$\delta C(\omega) = \int d\mathbf{x} d\mathbf{z} \mathcal{C}^2(\mathbf{x}, \mathbf{z}; \omega; \mathbf{x}_1, \mathbf{x}_2) \frac{\delta c^2}{c_0^2}(z),$$

(35)

where the two points are separated by a distance of 16 Mm. The function $\delta C(\omega)$ is real.
Because $\Delta c^2$ depends on height only, we can also compute $\Delta C$ using

$$\Delta C(\omega) = C(\omega) - C_0(\omega).$$

(36)

where $C(\omega)$ is the cross-covariance computed using the eigenmodes of the solar model with sound speed $c_0 + \Delta c$. To compute the new eigenmodes we use the same solver as in Section 2.2. Here we used a different damping model where each mode was damped according to $\gamma_n(k) = \tilde{\gamma}(\omega_0(k) / \tilde{\omega})^4$, where $\tilde{\omega} / (2\pi) = 3$ mHz and $\tilde{\gamma} / (2\pi) = 100$ $\mu$Hz. In addition, for wave numbers less than 0.8 Mm we set the damping to remain constant. The resolution in wavenumber was the same as earlier, however the resolution in frequency was changed to 19.1 mHz. In Figure 7 we find that there is a very good agreement between $\Delta C(\omega)$ and $\delta C(\omega)$, which is expected in the limit $\varepsilon \rightarrow 0$. These results give us confidence in the reliability of the computations. Furthermore, it appears that the numerical resolutions and the extent of the horizontal domain are sufficient.

6 Are there simple relationships between the kernels?

In order to produce reliable time-distance inversions of the solar interior it is helpful if the kernels have different structures and features. Figures 8 and 9 display cuts through the mean and difference kernels at $z = -0.3$ Mm along the x and y axes. We have plotted the negative values of the pressure kernel (green) in order to better illustrate the strong anti-correlation with the kernel for density (red). It can evident that kernels for sound-speed squared (blue), density (red) and negative pressure (green) have similar features.
Travel-time shifts due to sound-speed perturbations are directly related to the divergence of the eigenfunctions. Therefore, travel-times shifts for f-modes are not sensitive to sound-speed perturbations since the divergences of the f-mode eigenfunctions vanish. However, the power spectrum in the neighbourhood of the f-mode ridge has a small contribution from the wings of the p modes and thus f-mode mean travel-time measurements are weakly sensitive to sound-speed perturbations. For example, we find that the total integral of $K_{c,2}$ for the f-mode ridge at $\Delta = 16.0347$ Mm is approximately 3% of the total integral of $K_{c,2}$ for the $p_1$ ridge.

7 Specific case of hydrostatic equilibrium

In the fully general non-hydrodynamic case, where we have three independent thermodynamic perturbation variables, the travel-time shifts (ignoring flows) are given by

$$\delta \tau = \int dr \left[ K_{c,2;\rho P}(r) \frac{\delta c^2}{c_0^2}(r) + K_{\rho,2;\rho P}(r) \frac{\delta \rho}{\rho_0}(r) + K_{P,2;\rho P}(r) \frac{\delta P}{P_0}(r) \right].$$  (37)

We have now explicitly expressed which quantities are held fixed. The kernel $K_{c,2;\rho P}$ is due to perturbations in sound-speed at fixed density and pressure, similarly $K_{\rho,2;\rho P}$ is the
Fig. 7 Change in the cross-covariance (at a fixed point-to-point distance of $\Delta = 16.0347$ Mm) for the case of the Gaussian perturbation to the sound-speed of Model S. The red curve is $\delta C$ and the blue curve $\Delta C$. The results show very good agreement.

In global helioseismology, it is typically assumed that the density and pressure perturbations are in hydrostatic equilibrium (e.g. Gough and Thompson 1991)

$$\nabla \delta P = g_0 \delta \rho, \quad (38)$$

where we assume the perturbation to the gravitational field is negligible for the sake of simplicity.

Equation (38) may be manipulated to express the pressure perturbation entirely in terms of a density perturbation (or vice-versa) and thus the number of independent thermodynamic variables is reduced from three to two.

The horizontal components of Equation (38) implies that the pressure perturbation is at most a function of height, i.e. $\delta P = \delta P(z)$. The vertical component implies that the density perturbation is also at most a function of height i.e $\delta \rho = \delta \rho(z)$, and hence

$$\frac{d\delta P}{dz} = -g_0 \delta \rho. \quad (39)$$

It is possible to implement the assumption of hydrostatic equilibrium, for example by eliminating pressure in terms of density. To achieve this it is necessary to manipulate the

density kernel at constant sound-speed and pressure and finally $K_{p,c} \rho$ is the pressure kernel at constant sound-speed and density.
Fig. 8 Cuts through the mean point-to-point travel-time sensitivity kernels for sound-speed squared (blue), density (red), negative pressure (green), $v_x$ (cyan), $v_y$ (magenta), and $v_z$ (black). The sound-speed, density and pressure kernels have units of s Mm$^{-3}$, whereas the velocity kernels have units Mm$^{-3}$ / (km/s). Normal modes $n = 0$ to $n = 14$ were used to compute the Green’s function and a filter was applied to isolate the $p_1$-mode ridge. The cuts are at taken at a height of $z = -0.3$ Mm. The left panel is a cut along the x axis, whereas the right panel is a cut along the y axis. The distance between the two points is 16.0347 Mm.

Last term on the right-hand-side of Equation (37) as a gradient of the pressure. So since the perturbations are all functions of height only, we require

$$ \frac{\partial A(r)}{\partial z} = \frac{K_{p_1,z_1}(r)}{\rho_0(z)} $$

with boundary condition $A(x,z_t) = 0$. This could be solved for each $x$. Provided a solution exists, after integration by parts once, then the travel time shifts become

$$ \delta \tau = \int_\Sigma dr \left[ K_{c_2,z_1}(r) \frac{\delta c_2^2}{c_0^2}(z) + \left( K_{c_2,z_1}(r) + \rho_0(z) A(r) \right) \frac{\delta \rho}{\rho_0(r)} \right], $$

(41)

However, since we know we have two independent thermodynamic quantities then it is also possible to express the travel time shifts in terms of a different set of kernels according to

$$ \delta \tau = \int_\Sigma dr \left[ K_{c_2,H_1}(r) \frac{\delta c_2^2}{c_0^2}(z) + K_{c_2,H_1}(r) \frac{\delta \rho}{\rho_0(z)} \right], $$

(42)
where the superscript $H$ is to remind the reader that the assumption of hydrodynamic balance has been asserted. Then by equating coefficients we get
\begin{align}
K_{z,\rho}^{H}(r) &= K_{z,\rho P}(r) \\
K_{\rho,cz}^{H}(r) &= K_{\rho,czP}(r) + \rho_0(z)g_0(z)A(r)
\end{align}

Equation (43) implies that the sound-speed kernel at constant density and pressure in the general problem without hydrostatic equilibrium is equal to the sound-speed kernel at constant density in the hydrostatic case. Equation (44) demonstrates that more work is required to obtain the density kernel at constant sound-speed in the hydrostatic case. It is related to the density kernel at constant sound-speed and pressure in the non-hydrodynamic case plus an additional term involving the function $A(r)$. Most of the work in going from one set of kernels to another involves computing $A(r)$.

8 Discussion

We have reviewed the sensitivity of time-distance travel times to small-amplitude steady changes in the structure of a solar model as well as their sensitivity to weak and steady changes in the structure of a solar model.
flows. In the course of this paper, we introduced a new convenient method for computing Green’s functions based on normal-mode summation using the normal modes of the adiabatic wave equation (in contrast with the approach of Birch et al. 2004, based on the modes of the damped problem). The sensitivity kernels we computed are essential for time-distance inversions of the solar interior.

It is difficult to disentangle density and pressure perturbations and this will complicate time-distance inversions. Our calculations have shown that, to a good approximation, there exists a constant $\alpha > 0$, such that $K_{\rho,cP} \simeq -\alpha K_{P,cP}$. We have

$$\delta \tau = \int dV \left( K_{c^2,P} \frac{\delta c^2}{c^2} + K_{P,cP} \frac{\delta P}{P} + K_{cP,cP} \frac{\delta P}{P} \right) \simeq \int dV \left( K_{c^2,u} \frac{\delta c^2}{c^2} + K_{u,c} \frac{\delta u}{u} \right)$$

(45)

where $u \equiv P/\rho^\alpha$, $K_{c^2,u} \equiv K_{c^2,P}$, and $K_{u,c} \equiv K_{P,cP}$. Thus, to a good approximation, two thermodynamic quantities are enough to describe the medium. If the density and pressure perturbations are in hydrostatic equilibrium, then two thermodynamic quantities are exactly enough (see Section 7).

The correct treatment of instrumental, geometrical, and mode physics effects is an important future goal for accurately imaging the solar interior. Correctly including line-of-sight and foreshortening effects in the computation of the kernels, will allow accurate applications using large line-of-sight angles. Jackiewicz et al. (2007) studied line-of-sight effects for 2D kernels (for surface waves) and found striking changes to the appearance of kernels that will be important for accurately inverting for small-scale flows. Computing travel-time sensitivity kernels in full spherical geometry, rather than using the Cartesian approximation, is important for larger distances between the observation points.

The kernels discussed in this review assume that the Lagrangian pressure perturbation vanishes at the top boundary. This is not suitable for frequencies above the acoustic cut-off frequency and thus needs to be improved. Another important question is how much do time-distance inversion results depend on accurate descriptions of input physics, such as source models or damping.

Most cases assume a laterally homogeneous reference (non-magnetic) model. To study more complex features on the Sun (e.g. sunspots), kernels with laterally heterogeneous reference models are important. Initial steps towards this goal has already been achieved by Hanasoge et al. (2011); Hanasoge et al. (2012).

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