ION KINETIC ENERGY CONSERVATION AND MAGNETIC FIELD STRENGTH CONSTANCY IN MULTI-FLUID SOLAR WIND ALFVÉNIC TURBULENCE

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ABSTRACT

We investigate the properties of plasma fluid motion in the large-amplitude, low-frequency fluctuations of highly Alfvénic fast solar wind. We show that protons locally conserve total kinetic energy when observed from an effective frame of reference comoving with the fluctuations. For typical properties of the fast wind, this frame can be reasonably identified by alpha particles which, due to their drift with respect to protons at about the Alfvén speed along the magnetic field, do not partake in the fluid low-frequency fluctuations. Using their velocity to transform the proton velocity into the frame of Alfvénic turbulence, we demonstrate that the resulting plasma motion is characterized by a constant absolute value of the velocity, zero electric fields, and aligned velocity and magnetic field vectors as expected for unidirectional Alfvénic fluctuations in equilibrium. We propose that this constraint, via the correlation between velocity and magnetic field in Alfvénic turbulence, is the origin of the observed constancy of the magnetic field; while the constant velocity corresponding to constant energy can only be observed in the frame of the fluctuations, the corresponding constant total magnetic field, invariant for Galilean transformations, remains the observational signature in the spacecraft frame of the constant total energy in the Alfvén turbulence frame.

Key words: magnetohydrodynamics (MHD) – plasmas – solar wind – turbulence – waves

1. INTRODUCTION

The solar wind constitutes a unique laboratory for plasma turbulence (Bruno & Carbone 2013). Velocity and magnetic field fluctuations, especially in the fast wind, are known to be Alfvénic (Belcher & Davis 1971; Smith et al. 1995) with correlations between velocity and magnetic field compatible with a unidirectional flux of anti-sunward waves. The low-frequency part of the electromagnetic spectrum, referred to as the L/f range, is considered to be the reservoir of energy for the turbulent cascade that extends down to the small kinetic scales of the plasma where it is dissipated via wave-particle interactions or other processes that are still not entirely understood (Leamon et al. 1999; Alexandrova et al. 2009). The low-frequency magnetic field fluctuations, \( \delta \mathbf{B} \), have large amplitudes which are often of the same order as the underlying magnetic field, \( \mathbf{B}_0 \). Consequently, the angle between the direction of the local magnetic field, \( \mathbf{B} = \mathbf{B}_0 + \delta \mathbf{B} \), and the radial direction, corresponding to the direction of the flow, is observed to fluctuate significantly.

Despite the large excursions of the magnetic field vector, the total magnetic field intensity \( |\mathbf{B}| \) displays a much smaller variance and is observed to remain remarkably constant during highly Alfvénic periods, regardless of heliocentric distance and latitude (Bavassano & Smith 1986; Smith et al. 1995). Geometrically, this means that the tip of the total magnetic field vector \( \mathbf{B} \) moves on a sphere of constant radius (Barnes 1981; Bruno et al. 2001) and that fluctuations cannot be described by simple planar waves (Goldstein et al. 1974; Barnes 1976; Webb et al. 2010). The origin of this remarkable property is still an open question. Large-amplitude, purely transverse waves propagating in one direction with a total field \( \mathbf{B} = \text{const.} \), are an exact solution of the MHD equations (e.g., Barnes & Hollweg 1974), suggesting that the solar wind plasma is in equilibrium with ensemble low-frequency Alfvénic fluctuations propagating away from the Sun; how this condition is achieved in the turbulent expanding solar wind and which dynamical driver leads to it are not well understood. Moreover, in the solar wind, ion species (protons and alpha particles) are observed to interact differently with the fluctuations as a function of their relative drift speed (Goldstein et al. 1996).

In this work, using in situ spacecraft observations and focussing on the three-dimensional (3D) nature of the low-frequency Alfvénic fluctuations and the multi-species composition of the solar wind plasma, we demonstrate that the constant magnetic field property is related to a more fundamental physical property, namely, the local conservation of ensemble particle kinetic energy in the effective wave frame of reference.

2. DATA ANALYSIS

2.1. Properties of Highly Alfvénic Fast Streams

Figure 1 shows the solar wind data, measured by the Helios spacecraft at 0.3 AU, used in this work (Helios 2, 1976 days 102–110, time resolution 40 s). The top panel shows the proton speed; this includes a very high speed stream (Marsh et al. 1982). The predicted Parker spiral angle for such conditions is \( \sim 10^\circ \), and so the average magnetic field should be directed close to the radial direction \( R \). The second and third panels show the \( N \) component of the velocity and magnetic field, \( V_N \) and \( B_N \), perpendicular to the plane containing both the radial and \( \mathbf{B}_0 \). The \( T \) direction completes the orthogonal \( RTN \) spacecraft coordinate system used in this work. As the spacecraft enters the fast wind stream, an increase in the amplitude of the fluctuations is observed. This also corresponds to an increase of Alfvénicity, or the correlation between velocity and magnetic field fluctuations (Bruno et al. 1985). However, the amplitude of the velocity fluctuations for alphas...
(blue line in the second panel) remains small with respect to protons. As mentioned, despite the fact that the fluctuating magnetic field shows a variation of the same order as the background field (|dB|/B \sim 1), the total magnetic field B (red points in third panel) remains approximatively constant over the period of the oscillations. Note that over the fast wind stream, the direction of the average magnetic field remains approximatively constant while the local instantaneous magnetic field B oscillates from 0 to beyond 90°.

The two lower panels show a \sim 10 hr sub-interval, demonstrating the high level of Alfvénicity in the fast stream, i.e., the anti-correlation between magnetic and velocity fluctuations. The N direction displays roughly symmetric fluctuations. By contrast, the R component of the fluctuations (bottom panel) is one-sided, as already discussed in Gosling et al. (2009); this can be explained in terms of the geometry of Alfvénic fluctuations with constant magnetic field (Matteini et al. 2014).

Some other typical properties of the highly Alfvénic fast solar wind interval considered here are illustrated in Figure 2. The left panel shows the correlation between the proton speed (black dots) and the angle between the radial and local direction of the magnetic field \theta_{BR} over the interval B–D. As discussed by Matteini et al. (2014), this effect is due to the presence of large-amplitude Alfvénic fluctuations; consequently, the proton speed can be reasonably described by the relation

\[ V_p \sim V_0 + A[1 - \cos(\theta_{BR})]. \tag{1} \]

shown with a red line, with V_0 = 700 km s^{-1} and A = 160 km s^{-1}, and where V_0 is the minimum of the average solar wind speed over the interval and A is the “phase” velocity of the fluctuations. The latter is proportional to the Alfvén speed \( V_A = V_A \sqrt{r_A} \) through the Alfvén ratio \( r_A \), the ratio between velocity and magnetic energy of the fluctuations. In fast streams typically \( r_A \sim 1 \), depending on heliocentric distance. Since \( r_A \) decreases with increasing radial distance, the deviation of \( A \) from the Alfvén speed becomes more significant for observations far from the Sun, as, for example, is found in the Ulysses data set (see Matteini et al. 2014). In Figure 2, the value of \( A \) is quite close to the measured Alfvén speed, due to the small deviation from unity of the Alfvén ratio \( r_A \) in this interval (Bruno et al. 1985).

Note that the scaling of Equation (1) also provides an explanation for the radial one-sided fluctuations on the bottom panel of Figure 1. Because

\[ V_p = \sqrt{(V_0 + \delta V_R)^2 + \delta V_p^2} \tag{2} \]

and since \( \delta V_R \ll \delta V_p \), at first order

\[ V_p \sim V_0 + \delta V_R. \tag{3} \]

From Equation (1), we then expect \( \delta V_R \sim 1 - \cos(\theta_{BR}) \), and since \( \theta_{BR} \) roughly oscillates between [0, \pi/2] this leads to a flatter distribution when \( \theta_{BR} \approx 0 \) and spike-like enhancements when \( \theta_{BR} \approx 90° \).

Unlike the protons, the speed of alpha particles \( V_a \) (blue dots) is not correlated with \( \theta_{BR} \). The difference between proton and alpha speed \( V_a - V_p \) is therefore a function of the direction of the local magnetic field and \( V_a \sim V_p \) when \( \theta_{BR} \approx 90° \). It is also worth underlining that such a plasma motion implies effective changes in the speed of the center of mass as a function of \( \theta_{BR} \).

However, the drift speed between alphas and protons \( V_{\alpha-p} = |V_a - V_p| \), which to a good approximation is always aligned with the local magnetic field (Marsch et al. 1982), does not change during Alfvénic fluctuations, and is roughly independent of \( \theta_{BR} \) (upper right panel). The bottom right panel shows the value of \( V_{\alpha-p} \) normalized to the local Alfvén speed over the period analyzed here. \( V_{\alpha-p} \) is a significant fraction of \( V_A \) and the ratio is close to 1 in the fast stream, with an average value \( \left\langle V_{\alpha-p} \right\rangle \sim 0.85V_A \) over the period shown.

All of these properties, i.e., the fact that alphas stream faster than protons at about the Alfvén speed and that their drift with respect to protons does not change in time, explain why the alpha particle speed is observed to be uncorrelated with the angle \( \theta_{BR} \); since alphas travel at approximatively the phase speed of the turbulence, they do not respond to the oscillations of the magnetic field; alphas surf the large amplitude fluctuations of the solar wind (Marsch et al. 1981) and their speed, unlike the protons, is not modulated by the Alfvénic activity (Goldstein et al. 1996). Similar behavior is observed for the minor heavy ions (Berger et al. 2011; Gershman 2012) which also stream faster than protons in the fast solar wind.

### 2.2. Particle Motion and Constant Magnetic Field

It is worth noting that not all of the characteristics of the solar wind summarized in Figures 1 and 2 are fully understood. In particular, despite the fact that fluctuations propagating in a single direction with a total constant magnetic field magnitude are a nonlinear solution to the MHD equations, and so fluctuations may in some sense be considered to be in equilibrium, how this is achieved in the solar wind plasma is still an open question. For example, though monochromatic Alfvén waves with circular polarization are clearly an example solution with constant total magnetic field (e.g., Barnes &
Hollweg 1974), a collection of transverse Alfvén waves will not satisfy \(|B| = \text{const}\) unless their phases are closely correlated in a very specific way. More generally, fluctuations with amplitude \(|\delta B|\) modulated in time, as in Figure 1, cannot fulfill the condition of constant total magnetic field:

\[
|B|^2 = |B_0 + \delta B|^2 = B_0^2 + |2B_0 \cdot \delta B| + |\delta B|^2 = \text{const.} \quad (4)
\]

if they are two-dimensional (2D), lying in the plane transverse to \(B_0\) (e.g., Dobrowolny et al. 1980). On the contrary, magnetic fluctuations in the solar wind are observed to be 3D (i.e., \(B_0/B_\parallel \cdot \delta B = \delta B_\perp = 0\)), with the magnetic field vector moving on a sphere of constant radius.

In this framework, in order to maintain equilibrium between particles and fluctuating fields, thus avoiding energy exchanges leading to damping, one condition is that in the oscillating fields the plasma conserves energy as seen in the frame moving with the fluctuations. The conservation of total kinetic energy in the wave frame would then imply that protons move on a particular surface in phase space.

We now show that such a surface exists in the solar wind and can be identified with good accuracy from observations. Figure 3, top left panel, shows the excursion of \(B\) during the period analyzed for fast (black) and slow (red) wind in the \((B_\parallel, B_\perp)\) plane. It can be clearly seen that in the fast wind, the magnetic field oscillates following an arc of constant radius; this is the projection on the plane of the excursion of \(B\) on a sphere. The picture is less clear, and the level of the fluctuations is weaker, in the short interval of slow wind preceding the fast stream, reported in red for comparison. The top right panel shows the analogous evolution of the velocity vector \(V_p\) in the plane \((V_\parallel, V_\perp)\); in the fast wind, the arc-shaped excursion in the magnetic field corresponds to oscillations of the velocity on an analogous arc as a function of \(V_\parallel\) and \(V_\perp\). It can be shown that the 3D motion of the velocity vector identifies a spherical surface, consistent with the motion of \(B\). Note that due to the Alfvénic anti-correlation between the components of \(B\) and \(V\), minima of \(B_\parallel\) (labeled as 1 and 3 in the figure) correspond to maxima in \(V_\parallel\) and vice versa (labeled as 2 in the figure).

As mentioned, alpha particles do not partake in the Alfvénic motion when they stream close to the Alfvén speed. The bottom left panel of Figure 3 displays the correlation between \(B_\parallel/V_\parallel\) and \(V_\perp/V_\parallel\) for both protons and alphas (blue). As discussed by Goldstein et al. (1996), while protons show a strong correlation between velocity and magnetic field fluctuations, close to the relation expected for fluctuations that propagate at the Alfvén speed (red dashed line), the alpha velocity has only a weak correlation with Alfvénic magnetic fluctuations. The transverse motion of alphas is almost negligible compared to the amplitude of the proton fluctuations; consequently, alphas do not trace an arc in the \((V_\parallel, V_\perp)\) plane (right panel), but rather remain in a fixed place in phase space. The position of alpha particles in velocity space therefore identifies to a good approximation the location of the wave frame about which the protons oscillate. Although it is not always possible to use alphas in this way to identify the wave frame, the frame may also be found by direct inspection of the geometry of the fluctuations, such as the slope of the \(V_\parallel-B_\parallel\) correlation, which provides an independent estimation of the phase speed associated with the fluctuations (see, for example, Goldstein et al. 1996, for more details). In this case, the phase speed inferred from the \(V_\parallel-B_\parallel\) correlation is \(\sim 155\text{ km s}^{-1}\), and is thus slightly less (\(\sim 0.9 V_\parallel\)) than the mean Alfvén speed measured during this interval, \(\langle V_\parallel \rangle \sim 175\text{ km s}^{-1}\). We note here that, remarkably, the mean alpha–proton drift shown in the bottom right panel of Figure 2 is also close to this value (\(\langle V_{\text{op}} \rangle \sim 0.85 V_\parallel\)), suggesting that alphas drift with respect to protons with a speed that is closer to the phase speed inferred from the analysis of the plasma motion rather than the nominal Alfvén speed. This then confirms that alphas are essentially comoving with the fluctuations, and are thus at rest with them, consistent with the fact that they do not display velocity oscillations in the bottom panels of Figure 3.

The geometry of this dynamics is summarized by the cartoon in Figure 4. The left panel shows a schematic representation of the solar wind plasma: protons (yellow circle) have a purely radial velocity (\(\sim 750 \text{ km s}^{-1}\)) and alphas stream faster with respect to them along the local magnetic field. The mean magnetic field is assumed to have a small angle in the R–T
plane, reproducing the Parker spiral angle at 0.3 AU. The small black circle identifies the fluid velocity \( V_f = \left( \frac{N_p V_p + 4N_a V_a}{N_p + 4N_a} \right) \), which lies close to the proton frame. Oscillations of the proton velocity imposed by the low-frequency Alfvénic turbulence cause correlated changes in the proton speed and magnetic field vector, while they do not significantly change the alpha speed (see Figure 2). When the local magnetic field rotates toward large \( \theta_{\text{BR}} \) (right panel), protons are observed to speed up (Matteini et al. 2014) and \( V_p \sim V_f \). When the magnetic fluctuations are such that \( B \) becomes more radial, protons decelerate a bit and the difference between proton and alpha speeds is at a maximum (middle panel).

2.3. Plasma Properties in the Frame of Fluctuations

Keeping in mind the picture of Figure 4 and what we have discussed so far, we can now conclude our analysis. The shape of the proton velocity in phase space shown in Figure 3 suggests the presence of a particular frame corresponding to the pivot of the proton oscillation, which can be identified to a first approximation by the velocity of the alphas in the same phase space; such a frame is obviously the wave frame. In this frame, the electric field associated with the fluctuations is expected to vanish:

\[
E = -(V \times B) = 0, \tag{5}
\]

meaning also that each species \( i \) maintains its total velocity \( V_i \) aligned with the instantaneous magnetic field, i.e.:

\[
V_i = \alpha_i B; \quad |V_i| = |\alpha_i B| = \text{const.} \tag{6}
\]

Note that such conditions are expected for non-dispersive monochromatic transverse waves with circular polarization in a multi-fluid plasma (Marsh & Verscharen 2011). The constant of proportionality \( \alpha \) depends on each species' drift with respect to the wave frame, \( \alpha_i = V_{i0}/B_0 \). Here, for alphas \( \alpha \sim 0 \), while for protons \( \alpha \sim V_p/B_0 \). Therefore, particles of each species move on a surface of constant energy as discussed previously; these conditions can be directly tested on the observations.

\[\text{This is true because of the properties of the high stream selected and generally common in the fast wind. However, the same description will hold in the absence of a proton–alpha drift; we know that in such a case alphas partake in wave motion as protons. In that situation, the wave frame will obviously not be identified by any particular species and should be estimated through particle Alfvénic motion.}\]

\[\text{This is valid within ideal MHD when the contribution of the Hall term is negligible, i.e., as long as the difference between the ion frame and the electron (plasma) frame is considered small; a condition satisfied by the low-frequency fluctuations investigated here.}\]
the wave frame (red). As expected, the intensity of the electric field is significantly smaller when computed in the wave frame; in that frame $|E|$ should ideally vanish, while here the transverse component of $E$ approximatively reduces to the amplitude of $E_\parallel$. The bottom right panel shows a comparison of $|E|$ as computed in different frames for interval C−D in Figure 1. The electric field in the spacecraft frame (blue) contains the contribution of the solar wind motion; this can be removed, transforming to the average plasma (proton) frame (black) but still retaining the fluctuating component of $E$ due to the Alfvénic motion of protons. Finally, when transforming to the wave frame, the latter is removed and the residual electric field is minimum. It can be shown that within observational uncertainties about the speed of the wave frame, such an electric field is the minimum possible (e.g., Khрабров & Sonnerup 1998), since transforming to a different frame along $B_0$ produces a larger motional electric field, while a different transformation with a component orthogonal to $B_0$ introduces a component $E_\parallel \neq 0$.

3. CONCLUSION

In summary, we have shown that the motion of protons in large-amplitude, low-frequency Alfvénic fluctuations in the fast solar wind conserves kinetic energy in the wave frame. Though this might seem a natural consequence of the equilibrium between particles and fluctuations in the unidirectional Alfvén-like fluctuations propagating away from the Sun, it is quite remarkable that this can be recovered using in situ observations in the complex and turbulent dynamics of the solar wind plasma, with fluctuations of the order or larger than 100 km s$^{-1}$. Moreover, this highlights the need for identifying a mechanism able to drive and control such a condition, as well as understanding whether this condition is already set close to the Sun or if it develops dynamically in the expanding solar wind.

Note that a similar description applies also to components of the plasma which have not been considered here, like secondary proton beams that are ubiquitously observed in the fast solar wind (e.g., Marsch et al. 1982; Matteini et al. 2013). In particular, we expect that beams with a drift speed larger than the phase speed of the turbulent fluctuations ($\sim V_\parallel$) would oscillate in anti-phase with protons (Goldstein et al. 1996). A simplified view of this dynamics is shown in the cartoon of Figure 6, similar to Figure 4, where now the small yellow circle identifies the proton beam population streaming at $V_\parallel > V_A$ (left panel).

If a local fluctuation is large enough to reverse the radial component of the magnetic field (right panel), then the proton core-beam structure is reversed as well. This sketch reproduces well the dynamics observed during magnetic switchbacks in the solar wind (Neugebauer & Goldstein 2012); in particular, it explains how during magnetic field reversals, core and beam speeds are observed to flip and why the rotation is not centered on the speed of the center of mass but rather the speed of alpha particles ($V_\alpha \sim V_\parallel + V_A$), resulting in a significant increase of the plasma bulk speed (see Figure 2 of Neugebauer & Goldstein 2012).

A similar description would also apply to the so-called electron strahl population, which carries most of the solar wind heat flux and is constantly field-aligned, following the orientation of the local magnetic field at good approximation (Feldman et al. 1975). We then expect that during Alfvénic oscillations electrons from the strahl conserve their kinetic energy in the wave frame of fluctuations, making a rotation in velocity space similarly to the proton beam of Figure 6, but with a much larger radius, owing their large drift (typically $\sim 1000$ km s$^{-1} \gg V_A$). Confirmation of these expectations will be subject of more detailed future studies.

Finally, our findings also lead to the following interpretation about the relative constancy of the magnetic field intensity as ubiquitously measured in highly Alfvénic solar wind streams: as discussed, under the effects of turbulence, the plasma
producing a reversal of the magnetic field, the core-beam structure is also flipped with respect to the alpha particle frame. 

(protons) undergo large amplitude collective oscillations. In the wave frame, the associated electric field is zero and particles move on a surface of constant energy. This condition on particle velocity translates, due to the high level of Alfvénicity, i.e., the correlation or anti-correlation of the magnetic field and velocity field fluctuations, into magnetic field fluctuations with the same characteristics, leading to $V = \alpha B$ with $\alpha$ constant in time; it follows that the tip of the total magnetic field vector also fluctuates on a sphere. When measuring solar wind fluctuations in the spacecraft frame, the condition of particle velocity is not observed directly because kinetic energy is not invariant in the transformation, leading rather to the solar wind speed profile of Figure 1. On the other hand, the magnetic field, which is invariant for Galilean transformations, maintains the signature $|B| \approx$ const, as observed.

Note that the data used in this work, measurements of the fast wind at 0.3 AU, are appropriate to emphasize these effects, since the amplitude of velocity fluctuations scales, according to WKB, approximatively as

$$\delta V \propto \frac{\delta B}{B} \cdot V_A \approx R^{0.5} \cdot R^{-1} = R^{-0.5}$$

and is larger closer to the Sun; however, we have checked that the same behavior is observed in other high speed streams at various distances. Further confirmation of our findings will be possible thanks to the forthcoming missions Solar Orbiter and Solar Probe Plus, which will explore the internal heliosphere. Our results also imply that Alfvénic turbulence could lead to an enhancement of radial velocity fluctuations, up to or of the order of the average wind speed, as the absolute maximum of fluctuation amplitude is reached inside or around the Alfvén radius where the solar wind accelerates beyond the Alfvén speed.

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