Treatment of posterior circulation stroke: Acute management and secondary prevention

Hugh S Markus¹ and Patrik Michel²

Abstract
One-fifth of strokes occur in the territory of the posterior circulation, but their management, particularly acute reperfusion therapy and neurointervention procedures for secondary prevention, has received much less attention than similar interventions for the anterior circulation. In this review, we overview the treatment of posterior circulation stroke, including both interventions in the acute setting and secondary prevention. We focus on areas in which the management of posterior circulation stroke differs from that of stroke in general and highlight recent advances.

Effectiveness of acute revascularization of posterior circulation strokes remains in large parts unproven. Thrombolysis seems to have similar benefits and lower hemorrhage risks than in the anterior circulation. The recent ATTENTION and BAOCHE trials have demonstrated that thrombectomy benefits strokes with basilar artery occlusion, but its effect on other posterior occlusion sites remains uncertain. Ischemic and hemorrhagic space-occupying cerebellar strokes can benefit from decompressive craniectomy.

Secondary prevention of posterior circulation strokes includes aggressive treatment of cerebrovascular risk factors with both drugs and lifestyle interventions and short-term dual anti-platelet therapy. Randomized controlled trial (RCT) data suggest basilar artery stenosis is better treated with medical therapy than stenting, which has a high peri-procedural risk. Limited data from RCTs in stenting for vertebral stenosis suggest that intracranial stenosis is currently best treated with medical therapy alone; the situation for extracranial stenosis is less clear where stenting for symptomatic stenosis is an option, particularly for recurrent symptoms; larger RCTs are required in this area.

Keywords
Vertebral, basilar, posterior circulation, vertebrobasilar, stenting, acute stroke therapy, treatment, prevention

Introduction
Stroke is globally the second leading cause of death and the third cause of death and disability.¹ One-fifth of strokes occur in the vertebrobasilar territory (also known as posterior) circulation.² Diagnosis of posterior circulation stroke and transient ischemic attack (TIA) can be more challenging than anterior circulation syndromes, and widely used screening protocols such as the face-arm-speech test (FAST) are less sensitive.³ Optimal management of posterior circulation stroke, particularly acute reperfusion therapy and neurointervention procedures for secondary prevention, has received much less attention than similar interventions for the anterior circulation.³ However, recent research and ongoing studies are improving our understanding. In this review, we cover the treatment of posterior circulation stroke, covering both interventions in the acute setting and secondary prevention. We focus on areas in which management of posterior circulation stroke differs from that of stroke in general and highlight recent advances.

Methods
The authors conducted a literature search on PubMed from its inception to 29 March 2022. Only English-language
articles were reviewed and included. All searches included the terms “posterior circulation,” “brainstem,” “cerebellar,” “occipital,” “thalamic,” “basilar,” “vertebral,” and “posterior cerebral.” We added the following terms with an “AND” function: for acute revascularization: “thrombolysis,” “alteplase,” “rtPA,” “endovascular treatment,” “thrombectomy,” “stentretriever,” “thromboaspiration”; “haemorrhage” and “haemorrhagic transformation”; for decompressive craniectomy: “mass effect,” “space occupying,” “edema” “decompressive/decompression,” “craniectomy,” “drainage,” and “ventriculostomy”; for posterior fossa hemorrhage: “haemorrhage”; and for secondary prevention: “antiplatelet,” “intensive,” “angioplasty,” and “stenting.” Finally, the following filters were applied during the search and again when manually selecting articles: “case-control study,” “cohort study,” “comparative analysis,” “randomized trial,” “meta-analysis,” “subgroup analysis,” and “pooled analysis.” In the retrieved articles, the reference lists were checked for further studies.

**Acute management**

**Intravenous thrombolysis**

We found no RCTs comparing intravenous thrombolysis (IVT) with antithrombotic treatment for the posterior circulation alone. The International Stroke Trial-3 was one of the only IVT RCT where the site of stroke was recorded; in the pre-specified subgroup analysis, posterior circulation strokes had benefits similar to anterior circulation strokes.

Retrospective analyses, despite their significant limitations, have shown similar results. Long-term outcomes after IVT seem to be at least as favorable in the posterior as in the anterior circulation (relative risk (RR): 1.19; 95% confidence interval (CI), 1.06–1.33 for mRS 0–2), and the risk of symptomatic intracranial hemorrhage (ICH) is about half (RR: 0.49, 0.32–0.75). Another meta-analysis suggested a more frequent favorable outcome (odds ratio (OR): 1.36, 1.08–1.71) and confirmed lower rates of ICH (OR: 0.32, 0.21–0.49).

A specific concern is the optimal treatment for basilar artery occlusion (BAO), which can have a devastating clinical outcome. A case series of 116 BAO patients showed that distal clot location was more likely to recanalize with IVT and that recanalization was associated with survival and improved outcome. In the BASICS (Basilar Artery International Cooperation Study) registry including 121/592 (20.4%) patients receiving IVT, this treatment was not superior to antithrombotic treatment (adjusted RR: 0.84, 0.58–1.23 for poor outcome) in mild-to-moderate strokes; there was a possibility of better outcome in severe strokes (adjusted RR: 0.88, 0.76–1.01 for poor outcome). In a retrospective analysis of 110 patients with BAO undergoing IVT before thrombectomy, IV tenecteplase led to a higher rate of radiological reperfusion than IV alteplase, and an RCT comparing these two drugs before endovascular treatment (EVT) is underway.

In conclusion, thrombolysis for posterior circulation stroke appears to have similar efficacy as for the anterior circulation, with lower hemorrhage risk. It may be the treatment of choice in certain posterior circulation strokes, including distal posterior cerebral artery (PCA) occlusion, and minor strokes. IVT does not preclude subsequent endovascular therapy although the benefit of bridging remains unproven in the posterior circulation.

**Acute endovascular revascularization**

EVT in the posterior circulation, in particular mechanical thrombectomy, is most often performed and best studied for BAO. There are only a few observational studies for PCA occlusions, and no systematic analyses of acute EVT in vertebral artery (VA) occlusions.

Adjusted retrospective comparisons of EVT in the posterior circulation as a whole show similar clinical effectiveness to the anterior circulation in two large studies but worse outcome in another. Rates of symptomatic ICH are similar to those in the anterior circulation.

**Endovascular treatment of BAO**

Until very recently, there was no convincing data that endovascular treatment for BAO was more effective than thrombolysis. In the non-randomized prospective international BASICS registry, 288 (48.6%) of 592 patients underwent EVT. In mild-to-moderately severe stroke, EVT was associated with worse 3-month outcome (mRS score of ≤3) when compared to IVT (adjusted RR: 1.49, 1.00–2.23 for poor outcome), and no difference was seen in severe strokes (adjusted RR: 1.06, 0.91–1.22). Rates of symptomatic ICH were higher with EVT than with thrombolysis. In contrast, the non-randomized prospective Chinese BASILAR cohort showed a favorable 3-month shift in mRS when compared to standard medical treatment (adjusted OR: 3.08, 2.09–4.55). Symptomatic ICH was increased with EVT (7.1% vs 0.5%, p = 0.001).

The randomized controlled Chinese BEST trial (Basilar artery occlusion Endovascular intervention versus Standard medical Treatment) was stopped after 131 patients due to a 22% crossover rate. In the intention-to-treat analysis, it failed to show superiority of EVT over standard medical treatment (with a 32% IVT rate): the adjusted OR for favorable outcome (modified Rankin 0–3 at 3 months) was 1.74 (95% CI: 0.81–3.74) (Figure 1). Statistical significance was lost because of the high cross-over rate to EVT.

The international BASICS RCT with 300 patients showed no benefit of EVT over best medical treatment (with a 79% IVT rate), with a risk ratio for favorable functional outcome at 3 months of 1.18 (95% CI: 0.92–1.50).
In both BEST and BASICS, the symptomatic ICH rates were non-significantly higher after EVT. Figure 2 shows a patient randomized in the BASICS trial with extensive posterior fossa hypoperfusion, successfully recanalized with thrombectomy.

However, two recent trials presented at the European Stroke Conference 2022, after our systematic review was performed, showed convincing data on the effectiveness of EVT for BAO. ATTENTION (EndovAscular TreaTmENT for acute basilar artery occlusION) recruited patients within 0–12 h from the estimated time of stroke onset in China. A total of 340 patients were randomly assigned to thrombectomy or best medical management in a 2:1 ratio. There was a highly significant improvement in the primary endpoint of modified Rankin score 0–3 at 90 days which was achieved in 104/226 (46%) of the endovascular therapy group and 26/114 (22.8%) of the best medical management group; adjusted risk ratio of 2.1 (95% CI: 1.5–3.0). About one-third of patients received IVT. There was a trend to more symptomatic ICH with thrombectomy, but also to less mortality at 90 days.

BAOCHE (Basilar Artery Occlusion CHinese Endovascular trial) differed in that it recruited patients within 6 to 24 h of symptom onset where the patient was ineligible for IV thrombolysis or had received IVT without recanalization. The planned sample size was 318, but after a planned interim analysis after 212 patients, the data and safety monitoring committee recommended early termination of the trial due to highly significant differences between the two treatments. In 217 patients available for the final analysis, 51 of 110 (46.4%) randomized to thrombectomy achieved mRS 0–3 at 90 days, compared with 26/107 (24.3%) receiving best medical therapy, giving an adjusted OR of improved outcome of 2.92 (95% CI: 1.56–5.47). Despite an increase of symptomatic ICH and early mortality with ICH, this was the first EVT trial to demonstrate a reduction of 90-day mortality.

It is not yet known whether these two trials confirm the absence of thrombectomy benefit in patients with NIHSS scores below 10, as seen in BASICS. Together, these two new trials present convincing data that thrombectomy improves outcome in BAO up to 24 h after symptom onset. Retrospective analyses suggest that better outcome in EVT-treated BAO is associated with earlier treatment, use of aspiration rather than stent retrievers, local rather than general anesthesia, and good collateral supply. The first pass effect, defined as full recanalization in a single pass of the endovascular thrombectomy device, is a predictor of good outcome for posterior circulation EVT, as it is for the anterior circulation.
P3 segments). None of these studies showed an increase in symptomatic ICH with EVT.

In conclusion, EVT for posterior circulation stroke is feasible and has similar complication rates to the anterior circulation. It is effective in BAO patients, and the BAOCHIE trial showed benefit in some patients up to 24h. Further RCTs are required to determine effectiveness and which subgroups benefit, in particular in PCA and VA occlusion. IVT does not preclude subsequent endovascular therapy although the benefit of bridging remains unproven in the posterior circulation.

**Imaging to improve patient selection for acute revascularization**

Imaging the potential viability of ischemic tissue and collateral patterns could help select patients most likely to respond, and be harmed, from such treatments. The pc-ASPECTS (posterior circulation-Alberta Stroke Program Early CT Score) estimates severe tissue hypoperfusion with non-viability and is ideally assessed on CT-angiography source images. It independently correlates with clinical outcomes and has also been validated on magnetic resonance imaging diffusion-weighted imaging (MRI-DWI).

Focal hypoperfusion on CT in posterior circulation strokes is associated with poorer outcome independently of other prognostic factors. Severe hypoperfusion on CT within specific areas can be used to construct the Critical Area Perfusion (CAP) score in BAO, correlating well with clinical outcome in EVT patients. Similarly, the MRI-DWI-based PMT (pons-midbrain and thalamus) score assesses the extent of DWI lesions in these regions in BAO; used in EVT patients, it correlates well with functional outcome.

As opposed to the anterior circulation, no scores exist describing occlusions and/or collaterals encompassing the entire posterior circulation. For BAO, the PC-CS (posterior circulation-collateral score) grading system quantifies occlusions and collateral flow and emphasizes the importance of the posterior communicating arteries. Clinical outcome correlated with the score independently of treatment type. The BATMAN (Basilic Artery on computed ToMography Angiography) score considers both thrombus burden and collaterals with a lower score associated with poorer outcome. Such collateral scores are usually based on maximal intensity projections of CTAs which are reconstructed routinely in acute stroke imaging; they are calculated within 2–3 min.

The importance of radiological selection for revascularization in BAO was also shown by the ENDOSTROKE Study Group: in 148 BAO patients undergoing EVT, successful recanalization did not predict outcome on its own, but MRI-based selection was associated with a better outcome. Similarly, the non-significant results of RCTs in BAO (see above) may require more stringent radiological inclusion criteria for further trials, even in early time windows.

**Figure 3.** 46-year-old man with multilevel posterior circulation stroke and BAO. NIHSS = 8. (a) Diffusion-weighted MRI showing extensive left inferior (A1) and superior (A2) cerebellar infarcts. Basilar artery recanalization by direct thrombectomy 8h after last proof of good health. (b) Plain CT 5h later, showing early cerebellar mass effect. (c) Plain CT after decompressive posterior cranietomy of 5cm diameter, still showing cerebellar mass effect. (d) Plain CT after second cranietomy on day 3 with enlargement of cranietomy diameter to 7cm and partial resection (arrows) of left inferior(D1) and superior(D2) cerebellar infarcts. Outcome at 3 months, independent, but not working.

**Decompressive surgery for ischemic and hemorrhagic stroke with mass effect**

Cerebellar stroke, mainly in the inferior territory, may lead to secondary swelling and space-occupying cerebellar infarction. In contrast to decompressive hemicraniectomy for supratentorial stroke, RCTs for this condition are lacking. Expert opinion suggests considering suboccipital decompression in selected patients who deteriorate neurologically from impending brainstem compression. Removal of infarcted cerebellar tissue during this procedure is controversial but may be considered in some situations. An example is shown in Figure 3.

Drainage of cerebrospinal fluid by ventriculostomy should be considered in selected patients in whom the obstructive hydrocephalus is the main cause of neurological deterioration. Drainage of cerebrospinal fluid by ventriculostomy should be considered in selected patients in whom the obstructive hydrocephalus is the main cause of neurological deterioration. It should be accompanied by suboccipital decompressive cranietomy in order to avoid deterioration from upward cerebellar herniation.

In ICH affecting primarily the cerebellum, clinical deterioration can occur quickly due to the narrow anatomical space of the posterior fossa, leading to local mass effect on the brainstem or obstructive hydrocephalus. In such patients, or patients with cerebellar hemorrhages >3cm in diameter, observational studies suggest better...
outcome with surgical decompression. Ventricular catheter insertion alone is not recommended and may actually be harmful, particularly in patients with compressed cisterns. In contrast to cerebellar hemorrhage, evacuation of brainstem hemorrhages may be harmful and is not recommended.

Secondary prevention

Stroke recurrence risk

Initially it was suggested posterior circulation TIA and stroke was associated with a lower risk of recurrent stroke than anterior circulation disease. However, prospective natural history studies have shown it is associated with a high risk of early recurrent stroke, particularly in the first few weeks. The stroke subtype with the highest early recurrent stroke risk is atherosclerotic large artery disease, and the temporal pattern of recurrence is similar to that seen for carotid artery disease. Typical sites of posterior circulation atherosclerosis are shown in Figure 4. A pooled individual patient analysis of two prospective studies in patients with posterior circulation TIA or stroke, who all had CT- or MR-based angiography to identify stenosis, reported a 90-day recurrent stroke rate for basilar or intracranial vertebral stenosis of 33%, compared with 16% for extracranial vertebral stenosis. This high early recurrence risk suggests posterior circulation large artery stenosis should be treated intensively with antiplatelet agents, as discussed below. It also raises the possibility as to whether revascularization should be performed, in a similar fashion to endarterectomy for symptomatic carotid stenosis.

Antithrombotic treatment

Medical treatment and risk factor management is similar for both anterior and posterior circulation stroke. We did not identify trials specifically looking at prevention of posterior circulation stroke and therefore our recommendations are based on trials in patients with stroke in all vascular territories. For non-cardioembolic ischemic stroke, clopidogrel (or aspirin) alone is recommended for long-term secondary prevention. However, recent studies randomizing patients to short-term dual antiplatelet therapy with aspirin and clopidogrel immediately after non-cardioembolic stroke (POINT and CHANCE), showed a lower risk of early recurrent stroke compared with aspirin alone. These included posterior circulation stroke but did not separate treatment effect by vascular territory.

It is therefore recommended that patients with recent high-risk minor stroke and TIA receive dual antiplatelets (aspirin and clopidogrel or aspirin and ticagrelor). Analysis of the POINT and CHANCE data suggested benefit of dual antiplatelets for only the first 3 weeks, so this duration seems reasonable also for the posterior circulation before switching to clopidogrel alone. An algorithm for prevention of posterior circulation ischemic strokes is proposed by the authors in Figure 5, based on available data.

Secondary prevention of cardioembolic posterior circulation stroke is as for anterior circulation stroke. Patients with posterior and anterior circulation stroke and atrial fibrillation appear to have similar risks of ischemic or hemorrhagic events at 90 days. Whether anticoagulation should be started immediately after ischaemic stroke with atrial fibrillation, or after a period of a week or two, to reduce risks of hemorrhagic transformation is uncertain and being examined in ongoing trials such as OPTIMAS and ELAN (https://www.clinicaltrials.gov/ct2/show/NCT03148457).

Control of cerebrovascular risk factors

The medical arm of SAMMPRIS (Stenting versus Aggressive Medical Therapy for Intracranial Arterial Stenosis) emphasized the importance of intensive medical therapy and risk factor control which should be provided to all patients with posterior circulation stenosis. We provide intensive statin therapy to all ischemic stroke patients with or without symptomatic stenosis, aiming at low-density lipoprotein cholesterol (LDLC) levels <1.4 mmol/L (<55 mg/L) and a ≥50% reduction of LDLC when compared to baseline values. Blood pressure should aim at values below 130/80 mmHg if tolerated. SAMMPRIS and other recent studies also emphasized the importance of lifestyle interventions including smoking cessation, a healthy diet, and physical activity. Secondary prevention for ICH in the anterior and posterior circulations includes lowering of blood pressure to similar values as after ischemic stroke.
Stenting for symptomatic posterior circulation stenosis

Atheromatous plaques may cause stenosis or occlusion at preferential sites in the vertebral, basilar, and PCAs (Figure 4). These arteries are surgically less accessible than the carotid artery, and therefore, although endarterectomy approaches have been used for vertebral stenosis, they have not been widely adopted. In contrast, neurointerventional angioplasty...
and stenting techniques are used to treat vertebrobasilar stenoses.

**Basilar stenosis.** The SAMMPRIS trial randomized 451 patients with recently symptomatic anterior and posterior circulation intracranial stenosis to either stenting or intensive medical management including dual antiplatelets with clopidogrel and aspirin.40 The 30-day stroke or death rate was 14.7% in the intervention and 5.8% in the medical group. Beyond 30 days, stroke rates were similar between both groups. Almost a quarter of patients (22.5% in the medical and 21.9% in the intervention group) had basilar stenosis. The post-operative risk with stenting was higher than had been expected, while the risk of recurrent stroke in patients on intensive medical therapy, lower than predicted.

In SAMMPRIS, the outcome was not divided according to location of stenosis, but findings from a subsequent analysis demonstrated that basilar artery stenting was associated with a particularly high risk of peri-procedural ischemic stroke (20.8% versus 6.7% for other arteries), consistent with other reports that peri-procedural complications from stenting are particularly high for basilar stenosis. It has been suggested this is because of the complication of disrupting flow in perforating arteries arising directly from the basilar artery.43

It has been suggested the Wingspan stents used in SAMMPRIS may have a higher complication rate than other stents. However, the VISSIT (Vitesse Intracranial Stent Study for Ischemic Stroke Therapy) trial, which evaluated a balloon expandable stent in 112 patients with intracranial stenosis, also showed a higher stroke rate in the stenting group; the 30-day primary safety endpoint occurred in 14/58 (24.1%) stented patients compared with 5/53 (9.4%) in the medical group.44 VISSIT included basilar stenosis, but the primary paper does not give outcome by site of stenosis, and we were unable to obtain this information from the corresponding author.

In conclusion, symptomatic basilar artery stenosis should be treated with intensive medical therapy, and stenting should currently be avoided, mostly because of the risk of perforator artery occlusions.

**Stenting of the VA.** Many studies have shown VA stenting is technically feasible, often with an acceptable complication rate, but these have largely been cases series. Systematic reviews have reported very low complication rates for extracranial vertebral stenosis, and 1% or less for origin stenosis, but higher rates of 5–10% for intracranial vertebral stenosis.45,46 Such cases series are open to selection and publication bias, and robust data can only be provided by RCTs.

Five RCTs have assessed effectiveness of angioplasty and stenting in symptomatic vertebral stenosis. Two of these, SAMMPRIS40 and VISSIT,44 were confined to intracranial stenosis including basilar and intracranial vertebral stenoses. Two more recent trials, VIST (Vertebral Artery Ischemia Trial)47 and VAST (Vertebral Artery Stenting Trial),47 included only vertebral stenosis, both intracranial and extracranial. One trial, CA VATAS (Carotid and Vertebral Artery Transluminal Angioplasty Study) conducted in the 1990s included predominantly carotid artery stenosis, but also recruited 16 patients with vertebral stenosis.48

The largest trial was VIST,49 which aimed to recruit 540 patients with ≥50% symptomatic vertebral stenosis, but recruitment was closed by the funder after 181 patients were enrolled due to recruitment being slower than expected, a decision which in retrospect appears unfortunate. Mean follow-up was 3.5 years. Stenosis was predominantly extracranial (78.7%). The primary endpoint of fatal or non-fatal stroke occurred in five patients in the stented group versus 12 in the medical group (hazard ratio: 0.40, 0.14–1.13, \(p\) = 0.08). Therefore, although there was an approximately 60% reduction in the rate of recurrent stroke in patients in the stenting arm, this difference was not significant. In a
post hoc analysis, when time from randomization was controlled for, which was shorter in the stenting arm, the hazard ratio for the primary endpoint was significant at 0.34 (0.12–0.98, *p* = 0.046). The benefit, if any, appeared higher in patients with extracranial stenosis, in whom the peri-procedural stroke risk was much lower (0 events, versus 2/13 for intracranial stenosis).

VAST	extsuperscript{44} aimed for a sample size of 180 but recruited 115 of which 83% had extracranial stenosis. During mean follow-up of 3 years, there were seven strokes in the medical group and eight strokes in the stenting group. Of the three early strokes, two occurred in nine patients with intracranial stenosis (22%), while only 1 (2%) occurred in 48 patients with extracranial stenosis. The results of VAST were underpowered to detect any treatment difference, but like VIST showed intracranial stenting had a high peri-procedural risk.

A pooled individual patient data analysis of three of the vertebral stenting trials (VIST, VAST, and SAMMPRIS) was recently conducted.	extsuperscript{30} Across the three trials, 168 participants (46 intracranial, 122 extracranial) were assigned to medical treatment and 186 (64 intracranial, 122 extracranial) to stenting. Peri-procedural risk was higher for intracranial than extracranial stenosis (16% versus 1%, *p* < 0.001). During 1036 person years of follow-up, the hazard ratio for any stroke in the stenting group compared with the medical group was 0.81 (0.45–1.44). For extracranial stenosis, it was 0.63 (0.27–1.46), and for intracranial stenosis 1.06 (0.46–2.42). The Kaplan–Meier curves (Figure 6) show stenting for intracranial stenosis was associated with a worse outcome, and the difference persisted for a number of years post-procedure. In contrast, for extracranial stenting, outcomes over the first year were similar between both arms, with a possible divergence benefitting stenting at later time points, although sample size was much reduced at this time.

In conclusion, there is no definitive data from adequately powered RCTs to determine whether stenting for symptomatic vertebral stenosis offers benefit over medical treatment. Available data suggest that with current stenting techniques, intracranial stenosis is associated with a high peri-operative risk, and medical treatment is the preferred option. Whether stenting offers a treatment option for extracranial stenosis remains uncertain. VIST suggested a possible benefit, particularly in patients operated early after symptoms.

For this reason, we may consider angioplasty and stenting as an option in recurrent ischemic events from well-documented extracranial vertebral stenosis, as described in the pragmatic approach described in Figure 5. Further adequately powered trials of stenting in recent symptomatic extracranial vertebral stenosis in comparison with optimal medical therapy are required. In the meantime, aggressive medical treatment of posterior circulation strokes is of paramount importance.

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**ORCID iDs**

Hugh S Markus https://orcid.org/0000-0002-9794-5996

Patrik Michel https://orcid.org/0000-0003-4954-7579

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