Measuring kinetic coefficients by molecular dynamics simulation of zone melting

Franck Celestini* and Jean-Marc Debierre
Laboratoire Matériaux et Microélectronique de Provence, Université d’Aix-Marseille III and CNRS, Faculté des Sciences et Techniques de Saint-Jérôme, Case 151, 13397 Marseille Cedex 20, FRANCE

Molecular dynamics simulations are performed to measure the kinetic coefficient at the solid-liquid interface in pure gold. Results are obtained for the (111), (100) and (110) orientations. Both Au(100) and Au(110) are in reasonable agreement with the law proposed for collision-limited growth. For Au(111), stacking fault domains form, as first reported by Burke, Broughton and Gilmer [J. Chem. Phys. 89, 1030 (1988)]. The consequence on the kinetics of this interface is dramatic: the measured kinetic coefficient is three times smaller than that predicted by collision-limited growth. Finally, crystallization and melting are found to be always asymmetrical but here again the effect is much more pronounced for the (111) orientation.

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I. INTRODUCTION

Solidification of pure elements is of technological interest because the way a given material solidifies usually affects its structure and, as a consequence, its final elastic and other macroscopic properties. From a fundamental point of view, interest in free and directed solidification comes from the underlying nonlinear physics, morphological instabilities being at the origin of generic microstructures such as dendrites or cells.

Important theoretical and numerical contributions have been made to solve this difficult physical problem [1]. Recently, a quantitative phase field model was introduced [2]. A subsequent refinement, consisting in solving the diffusion equation with the help of Brownian walkers, permitted to bridge the wide gap between the capillary and diffusion lengths, allowing direct comparison with experiments [3]. As a consequence, there is currently an increasing need for accurate values of the interfacial response functions that are used as input parameters for realistic phase field simulations.

In the case of a pure element, the surface tension $\gamma_{\ell mn}$ must be known as a function of the interface orientation ($\ell mn$). In addition, the kinetic coefficient $\mu_{\ell mn}(T_i)$ giving the relation between the interface velocity and the interface temperature $T_i$, should also be known for the different orientations. For a binary alloy, temperature dependence of the solute diffusion coefficient, $D(T)$, as well as velocity and orientation dependence of the segregation coefficient $k_{\ell mn}(V_i)$ are also necessary.

Both $k$ and $\mu$ are hardly accessible in the experiments and convection effects often lead to overestimated values of diffusion coefficients. Different simulation schemes have thus been proposed as an alternative. Such numerical experiments have been rendered possible by the discovery of realistic interatomic potential models, such as, in the case of metals, the embedded atom model (EAM) [4], the glue model (GM) [5] and the effective medium theory (EMT) [6]. In the near future, the increase of computer power should open the possibility to address the case of more complicated materials like semiconductors, molecular crystals and organic compounds, for which potentials do not simply reduce to pair interactions. Very recently, the functions $\gamma_{\ell mn}$ and $\mu_{\ell mn}(T_i)$ have been determined and used in phase field simulations of dendritic growth for pure nickel [7]. The good quantitative agreement found between experiments and simulations is promising and should stimulate in the near future the construction of other material-dedicated phase field models.

New methods for the determination of the functions $\gamma_{\ell mn}$ and $k_{\ell mn}(V_i)$ have been recently proposed [8]. In the present paper, we rather concentrate on $\mu_{\ell mn}(T_i)$. The kinetic response of a solid-liquid interface has been simulated quantitatively in the 80’s by Broughton, Gilmer and Jackson (BJG) for a Lennard-Jones (LJ) potential and a (100) orientation [9]. These authors showed that growth is not diffusion-limited but rather that the interface velocity is related to the mean kinetic energy of the atoms. For this collision-limited growth regime, the growth rate should be directly proportional to the distance between two successive layers $d_{\ell mn}$. Indeed, since the liquid atoms do not diffuse to choose their adsorption sites but are almost instantaneously incorporated into the solid, the larger $d_{\ell mn}$ the more effective and the faster the advance of the solid-liquid interface should be. The analytical expression for the growth velocity of a rough solid-liquid interface reads [10]:

$$V \propto d_{\ell mn} \sqrt{1 - \exp \left( - \frac{\Delta \mu}{kT} \right)}.$$  \hspace{1cm} (1)

$d_{\ell mn}$ being the interplane spacing, $\Delta \mu$ the chemical potential difference between solid and liquid phases, $T$ the absolute temperature, $k$ the Boltzmann constant, and $\sqrt{\ldots}$
the thermal velocity. This law is confirmed by molecular dynamics simulations for the (100) and (110) orientations: the expected $\sqrt{2}$ ratio between the corresponding kinetic coefficients is well recovered for several metals crystallizing in a face centered cubic (fcc) structure (Ni, Ag and Au) \[12,13\]. Nevertheless, for these rough materials, growth of the (111) interface does not obey this simple law: according to Eq. (1), the (111) orientation should be much faster, and what is found is precisely the opposite. Burke, Broughton, and Gilmer \[11\] attribute this slowing-down to the growth of competing fcc and hcp domains in the solidifying layer, followed by the elimination of the defect lines between the two phases.

Another question associated with solid-liquid interfaces is that of symmetry between solidification and melting kinetics. Asymmetry has been already observed in different systems. It is not really surprising for faceted materials like silicon where solidification involves nucleation while melting does not. The question is more delicate when one considers rough materials with collision-limited growth. Indeed, available results are controversial: if asymmetry has been found for a Na(100) interface \[14\], it has not been observed for a LJ(100) \[15\]. More surprisingly, in the latter case an opposite asymmetry (crystal growing faster than the melt) can be found, depending on the way the solid germ is prepared.

In this paper, we address the above questions concerning the growth of a rough solid-liquid interface. We first present our implementation of a non-equilibrium molecular dynamics scheme for a zone melting experiment. The second section is devoted to the study of (100) and (110) orientations. The special case of (111) growth is examined in section III and asymmetry between melting and solidification in section IV. Finally, a summary of the different results and a discussion are given in the last section.

II. SIMULATION PROCEDURE

For this study we use the Ercolessi glue potential for Au \[1\]. In this formalism the total potential energy for a system of $N$ atoms is given by:

$$U = \frac{1}{2} \sum_{i,j=1}^{N} \Phi(r_{ij}) + \sum_{i=1}^{N} U(n_i)$$

(2)

The first term is a classical pair interaction. In the second term, $n_i$ is the coordination of atom $i$,

$$n_i = \sum_{j=1}^{N} \rho(r_{ij}),$$

(3)

where $\rho(r_{ij})$ is a function of the interatomic distance $r_{ij}$, with a cut-off radius of 3.9Å here. The energy function $U$ is the glue term associating an extra potential energy to atom $i$ as a function of its coordination. This glue potential has demonstrated its efficiency in predicting the physical properties of gold as well as in describing several experimentally observed phenomena such as surface melting and surface reconstructions \[16\].

A distinctive feature of our method is to simulate a zone melting experiment in which both a solidification and a melting front are simultaneously advancing at a fixed velocity $V$. This velocity is that of the virtual furnace which imposes two symmetric thermal gradients. The particle coordinates are defined in a reference frame moving at velocity $V$ in the $z$ direction, so that after equilibration the positions of the two interfaces are fixed in the simulation box. Heat transport from the furnace is simulated by imposing one temperature below and one above the melting point inside two distant slices, 20Å each in thickness (Fig. 1). Within each slice, temperature is kept constant by using a classical velocity rescaling procedure \[17\]. Periodic boundary conditions are applied in the three directions. More details about the numerical method can be found in a recent study of solute trapping.
in a LJ binary alloy, where a similar simulation technique was used [9].

First, the fcc solid and the liquid are equilibrated separately at zero pressure and at a temperature close of the melting point. Our smallest system has a size $S_0 \approx 20 \times 20 \text{Å}^2$ in cross-section, that is about 64 atoms per layer. After equilibration, the solid and liquid are brought into contact and plunged in the temperature gradient imposed by the two temperature-controlled slices. The total system is about 220Å in height. After a second equilibration period (during which the velocity of the furnace is zero), the two interfaces reach a stationary position and we roughly have 50% of solid and liquid (see Fig. 1). Fig. 2 shows the temperature and energy profiles along the z axis.

Combining the two profiles to eliminate the z coordinate, one obtains a caloric curve, i.e., a plot of energy as a function of temperature. In Fig. 3, the caloric curves obtained for two different values of the pulling velocity V are displayed. For $V = 0$, the data points corresponding to the solidification and the melting fronts merge onto the same curve: no kinetic effects are at play and the interface temperature is the equilibrium melting temperature $T_0 \approx 1330K$. When a velocity is imposed, a dynamical hysteresis appears on the caloric curve. Kinetic effects split the curve in two distinct parts: the interface temperature of the solidification front decreases while it increases on the melting front. We can deduce both interface undercooling and interface superheating from this plot. An interest of this method is that, as said before, the interface is fixed in the reference frame of the simulation box, so that statistics are easy to record. A typical run lasts $10^6$ MD steps ($3.5 \times 10^3$ ps), so that the atoms in the system solidify and melt several times. According to a recent study by Tepper et al. [15], we know that the melting kinetics can be affected by the way the solid is equilibrated. Thus multi-cycling is necessary to mimic the melting of a real solid, usually resulting from previous solidification(s).

To conclude this section, the method used to estimate the interface temperature $T_i$ from the caloric curves is described. We assume the energy of atoms lying at the interface, $E_i$, to be a weighted average of the perfect solid and liquid energies at the same temperature $T_i$.

\[
E_i(T) = \alpha E_S(T) + (1-\alpha) E_L(T).
\]  

Linear relations, $E_S(T) = a_S T + b_S$, and $E_L(T) = a_L T + b_L$, are fitted to the data points obtained on the low and high temperature side, respectively (Fig. 3). The curve $E_i(T)$ is thus a line with a slope

\[
p = \alpha a_S + (1-\alpha) a_L.
\]  

The value of coefficient $\alpha$ is then extracted from the caloric curve at zero velocity, for which $T_i$ must be equal to $T_0$ (Fig. 3). Finally, the interface temperature is given by the intersection of the line $E_i(T)$ with the caloric curve. An alternative method consists in building an order parameter that distinguishes between solid and liquid atoms [8,18]; a plot of this order parameter as a function of temperature also gives an interface temperature. We have checked that the two methods give equivalent results.
III. GROWTH OF (100) AND (110) INTERFACES

In this section we compute the kinetic coefficient for the Au(100) and Au(110) interfaces, using the method described above. We concentrate here on pulling velocities ranging between \( V = 5 \text{ ms}^{-1} \) and \( V = 30 \text{ ms}^{-1} \), for which kinetics remain linear. We also perform a few simulations at higher velocities, where kinetics deviates from linearity, but comments on nonlinear effects are postponed to the concluding section. In Fig. 4, we plot the interface velocity as a function of the measured undercooling for (100) and (110) orientations. The straight lines are best fits to a linear kinetic law.

\[
V = \mu\frac{\Delta T}{\Delta T} \quad (6)
\]

give the following estimates for the two kinetic coefficients:

\[
\mu_{100} = 23.1 \pm 1.0 \text{ cms}^{-1}\text{K}^{-1} \quad (7)
\]

\[
\mu_{110} = 15.5 \pm 1.0 \text{ cms}^{-1}\text{K}^{-1}. \quad (8)
\]

However, finite-size effects are expected to bias these estimates because the system cross-section area, \( S_0 = 20 \times 20\text{Å}^2 \), is rather small.

Additional runs are thus performed in order to quantitatively evaluate finite-size effects. The pulling velocity is fixed to \( V = 15\text{ms}^{-1} \), the system height to \( H \simeq 222\text{Å} \), and the cross-section area \( S \) is progressively increased. We define the normalized kinetic coefficient \( \mu^*_N(S) \) as the ratio of the kinetic coefficient obtained at size \( S \) to that obtained at size \( S = S_0 \) [Eqs (7-8)]. As shown in Fig. 5, the size effects are important and the kinetic coefficients appear to converge only for \( S \simeq 100 \times 100\text{Å}^2 \). For the (100) direction, there is a decrease of about 20 percent and we obtain roughly the same behavior for the (110) interface. This size effect has never been reported in the past for (100) and (110) orientations: the fact that Hoyt and co-workers do not find size effects for these two orientations is certainly due to the fact that their smaller system is larger than ours. We can now propose extrapolated values for the kinetic coefficients:

\[
\mu_{100} = 18.8 \pm 1.0 \text{ cms}^{-1}\text{K}^{-1} \quad (9)
\]

\[
\mu_{110} = 12.6 \pm 1.0 \text{ cms}^{-1}\text{K}^{-1}. \quad (10)
\]

The corresponding ratio \( \mu_{100}/\mu_{110} = 1.49 \pm 0.15 \) is in good agreement with the value \( \sqrt{2} \) predicted by Eq. (1). Hence, the assumption of collision-limited growth for (100) and (110) orientations is confirmed to be the relevant one. At this point, we can compare our results with those of Hoyt et al. for gold [4]. If they also find a \( \sqrt{2} \) ratio between their two orientations, their \( \mu \) values are larger than ours by a factor 1.8. Linearizing the expression given by BGI, we find

\[
V \sim T_0^{-1}T_i^{-1/2}(T_0 - T_i) \quad (11)
\]

for the interface velocity. The potential used by Hoyt et al. gives a melting point \( T_0 \) of 1090K [9] much smaller than the value 1330K obtained with Ercolessi potential. Introducing this temperature shift in Eq. (11) roughly accounts for the discrepancy between the values of \( \mu \). Since Ercolessi potential gives a melting point much closer to the experimental one, it should be also the case for our estimates of the kinetic coefficients.

In order to understand the origin of the size effects on the value of the kinetic coefficient, we take now a closer look at the in-plane structure of gold layers in the vicinity of the solid-liquid interface. We compute a density profile along the \( z \) axis from which we are able to separate atoms belonging to different layers. Deep in the solid
the in-plane square structure of the (100) orientation is effectively recovered without any significant amount of defaults and vacancies. For the two solid layers just below the interface the situation is more complex. To distinguish between different symmetries, we first perform a Voronoi construction for all the atoms in the layer. We then collect the set of first neighbors for each atom.

For a fcc solid with lattice parameter $a$, on the square lattice of the (100) orientation an atom has four nearest neighbors at a distance $a/\sqrt{2}$ and four second nearest neighbors at a distance $a$. On the other hand, for a triangular lattice (as the one of the (111) plane) the six neighbors all lie at the same distance $a/\sqrt{3}$. In Fig. 6, we show a snapshot of the interface solid layer where the Delaunay triangulation is only drawn for the atoms that have six first neighbors at comparable distances, in order to reveal the local triangular structure. It is clear that most of the atoms have reached their positions on the square lattice but several islands with a triangular symmetry remain. Note that the number of atoms in the layer has already attained the value it will have deep in the solid with a perfect square structure. To compensate for the higher density of the triangular structure, the corresponding islands are surrounded by a border region where the density is very low. This coexistence of two symmetries is not observed in our smallest system: one can imagine that for a small area the square structure is easily formed and hence triangular islands do not appear. This phenomenon is very close to the well known reconstruction of the (100) solid-vapor interface where the first layer adopts a triangular structure [21].

Turning back to the solid-liquid interface, the system apparently uses some of the solidification driving force to eliminate one of the two phases and finally reach an almost perfect square symmetry. Hence, the interface velocity is lower for larger systems.

Such an in-plane ordering is not taken into account in the collision-limited model but in spite of this we recover the predicted $\sqrt{2}$ value for the ratio $\mu_{100}/\mu_{110}$. This suggests a similar effect, roughly of the same order, for the (110) orientation. We have not been able to visualize ordering at (110) interfaces but one could imagine a mechanism reminiscent of the missing row reconstruction observed for (110) solid-vapor interfaces.

**IV. THE SPECIAL CASE OF (111) INTERFACE**

We now turn to the case of the (111) orientation. In the same way as above for the (100) and (110) orientations we calculate the interface temperature for different velocities. As can be seen in Fig. 7, a linear kinetic law is also valid for the (111) orientation. Results of the finite-size analysis, presented in Fig. 5, show that the size effects are much more pronounced than for the (100) orientation. The extrapolated value of the kinetic coefficient,

$$\mu_{111} = 7.0 \pm 1.0 \text{cm s}^{-1} \text{K}^{-1},$$

is now 60 percent below its value for $S = S_0$. Relatively to the two other orientations, we find

$$\mu_{111} \simeq 0.37 \mu_{100} \simeq 0.56 \mu_{110}.$$

These ratios largely differ from the values predicted by Eq. (1), respectively $2/\sqrt{3} \simeq 1.15$ and $2\sqrt{2}/3 \simeq 1.63$. The (111) orientation, expected to grow faster because of a larger interlayer spacing, is surprisingly found to be the slowest one. This discrepancy tells us that the growth mechanism for the (111) orientation is not, or at least not only, a collision-limited one.

Here again we look at the symmetries inside the layers close to the interface. For a (111) layer, there are three possible ordered phases lying on three different but equivalent sub-lattices that we will call $a$, $b$ and $c$. As the
FIG. 8. Snapshots of the three solid layers immediately below the interface (top layer in contact with the liquid phase). The grey levels correspond to the three different sub-lattices: a (white), b (light grey), c (dark grey).

With our simulation scheme, this study is straightforward, since both a melting and a solidification fronts are simulated at once: no additional calculations are thus required. Fig. 9 represents the velocities of both the melting and solidification fronts as functions of $T_0 - T_i$ for the (111) orientation (in our conventions a positive velocity corresponds to solidification). The data are obtained in a system of size $S = S_0$ and corrected according to the finite-size analysis reported above. It is important to note that no size effects are actually found for the melting front: in contrast with the solidification front, the melting interface temperature remains the same whatever the system size. This can be understood if one remembers that for solidification, especially for the (111) orientation, growth is not only collision-limited but also requires in-plane ordering. This is no longer the case for melting, which justifies the absence of size effects. The asymmetry shown in Fig. 9 is larger for the (111) orientation. The same analysis is also made for the two other orientations and we find the following degrees of asymmetry:

\[
\mu_{111}^m = 25 \pm 4 \text{ cms}^{-1} \text{K}^{-1} \simeq 3.6 \mu_{111}^s \tag{14}
\]

\[
\mu_{100}^m = 39 \pm 2 \text{ cms}^{-1} \text{K}^{-1} \simeq 2.1 \mu_{100}^s \tag{15}
\]

\[
\mu_{110}^m = 20 \pm 2 \text{ cms}^{-1} \text{K}^{-1} \simeq 1.6 \mu_{110}^s \tag{16}
\]

where the superscripts $s$ and $m$ refer respectively to solidification and melting kinetics. An asymmetry is revealed in the three cases but it is more pronounced for the (111) orientation in the same way as size effects observed during solidification. We conclude here that this asymmetry is directly related to the ordering within the interface layers. The asymmetry is strong for (111) because of the peculiar growth mechanism discussed in the previous section.

The melting front is found to be faster than the solidification interface in agreement with the idea that disordering is an easier task than ordering. Our results confirm the majority of experimental and numerical studies [4,22,23]. We also confirm the conclusions of a debate between Richards [24] and Oxtoby and co-workers [27,28] on the importance of density change on the asymmetry between melting and solidification kinetics. In agreement with the conclusions of Oxtoby, the gold density change at melting is small ($\approx 2\%$) and can not be responsible for such an important asymmetry. On another hand, Tepper [15] does not find asymmetry for the growth of a (100) LJ solid. Even if the materials differ, they both belong to the same class of rough materials and such a qualitative difference may be surprising. Nevertheless, one should remember the strong tendency to surface reconstruction in Au, as observed for the (100) orientation where triangular-like regions are formed. This tendency is furthermore enhanced by the use of Ercolessi glue potential but is weaker for a LJ potential, what could explain the different behaviors observed.

V. ASYMMETRY BETWEEN MELTING AND SOLIDIFICATION

As discussed in the introduction, asymmetry is obvious for faceted materials but is not as clear when considering rough materials like metals. The question is to know if, at equal absolute undercooling and superheating, the solid-liquid and liquid-solid fronts have the same velocity.

Stacking fault energy is weak for gold (it is actually zero for the potential we use), once a perfect, say $a$, layer is formed, the next layer to form is either $c$ or $b$. In Fig. 8, we show a snapshot of the three uppermost solid layers and we distinguish between atoms belonging to $a$, $b$, or $c$ phases. For the lowest solid layer, phase $a$ is selected and it occupies the whole plane. For the layer just above, there is coexistence between $b$ and $c$ sub-lattices. Finally, in the highest solid layer, all three phases coexist. We recover here the effect first observed by Broughton et al. [1] for a LJ potential. For a (111) orientation the system hesitates between the different phases it can equivalently form. Here again, the system dissipates a part of the available driving force to select one of the phases. As a consequence, the velocity of the interface is reduced as compared to the value expected for a purely collision-limited growth. The size effect is easily understood because in a small system, coexistence is strongly reduced. It would be of interest to determine the amount of driving force spent in this in-plane organisation in order to estimate the corresponding decrease in $V_{111}$. To perform this, one could for instance use a 3-state Potts model in three dimensions with ferromagnetic intra-plane and anti-ferromagnetic inter-plane interactions. To conclude this section we have to point out that phase coexistence is related to the value of the stacking fault energy $E_s$. For a material with large $E_s$ phase coexistence should be less probable and the front velocity in better agreement with the prediction of Eq. (1).
FIG. 9. Velocity of the (111) solidification and melting interfaces as a function of undercooling. Note that the results for solidification incorporate finite-size corrections.

Finally, comparing the melting kinetic coefficients in the different orientations, we find \( \mu_{100}^m > \mu_{111}^m > \mu_{110}^m \). We presently do not have a satisfactory explanation for this hierarchy in the melting kinetics.

VI. DISCUSSION

Our molecular dynamics simulations of zone melting experiments allow us to measure simultaneously the solidification and melting kinetics for a pure element. For (100) and (110) orientations, growth is apparently well described by a collision-limited process. Nevertheless, we observe small 2D islands with triangular symmetry to form in the solid layer at the (100) solid-liquid interface. As a consequence, size effects and asymmetry between melting and solidification are found. We can not decide whether this effect is solely due to the tendency of the glue potential to overestimate surface reconstruction, or if it is an intrinsic property of gold and/or other metals.

The case of the (111) orientation is rather special. Phase coexistence of three triangular sub-lattices, as first proposed by Broughton et al. [1], is recovered. This peculiar behavior has a strong influence on the kinetics of the interface. Our finite-size analysis show that in order to measure a realistic value of the kinetic coefficient one has to simulate systems with a solid-liquid interface area larger than \( 100 \times 100 \text{Å}^2 \). The consequence on asymmetry between melting and solidification are found. We can not decide whether this effect is solely due to the tendency of the glue potential to overestimate surface reconstruction, or if it is an intrinsic property of gold and/or other metals.

The present study is devoted to the linear relationship between velocity and undercooling. For all the orientations considered here, nonlinear effects appear at velocity \( V \approx 30 \text{ m/s} \) and undercooling \( \Delta T \approx 200 \text{ K} \). It is not possible to explain this deviation using either the diffusion limited \([2], [3]\) or the collision-limited growth law. This suggests a possible change in the interface structure for such large deviations from equilibrium. Density difference between the liquid and solid phases should also contribute to trigger nonlinear behavior \([20]\). Understanding this cross-over would be of importance in the context of very rapid solidification.

Finally, we would like to stress that the kinetic effects can contribute to the anisotropy of the segregation coefficient \( k(V) \) for a binary alloy. At sufficiently large velocity, one expects an important difference in the interface temperatures for (111) and (100) orientations. As a consequence, the diffusivity of solvent atoms and hence the segregation coefficient, as predicted by the Aziz law \([21]\), should also differ. This effect may cause solute trapping to appear at lower velocities for (111) than for (100) or (110) orientations. We are currently investigating such segregation effects induced by kinetic anisotropy in the Al-Cu system.

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* Electronic address: celest@l2mp.u-3mrs.fr

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