Incipient Motion Criteria for a Rigid Sediment Grain on a Rigid Surface

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Abstract

Criteria for the incipient motion of a rigid body initially resting on a rigid surface are formulated from first principles in this work. A modified Coulomb friction model and an associated distribution of reaction forces are proposed. There exists a surprisingly large category of general motions, however, which subscribe to a more conventional analysis; an analysis made possible by identifying so-called “significant reaction surfaces”. In this way a model which caters for the majority of combined translations and rotations is devised. Some introductory results demonstrate the accuracy with which fluids can be numerically modelled for the purposes of entrainment. This work is an extension of previous work by the same author.

Keywords: incipient motion; modified Coulomb friction; sediment stability

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1 Introduction

There is nothing new about incipient motion analyses applied in a sedimentary context (see Buffington and Montgomery (1997)). Although the topic of sedimentation may not lend itself ideally to the application of an incipient motion analysis, it is an ideal context in which to formulate criteria for incipient motion, it being sufficiently general.

A modified Coulomb friction model and an associated distribution of reaction forces are proposed in this work. There exists a surprisingly large category of general motions, however, which subscribe to a more conventional analysis; an analysis which depends on identifying so-called “significant reaction surfaces”. In this way a model which caters for the majority of combined translations and rotations is devised.

The work presented in the subsequent sections is inextricably linked to the theory and model developed by the author in Childs (2000), Childs (1999) and Childs and Reddy (1999). This paper is a supplement and concludes part of that work. The numerical approach overcomes at least two of the limitations faced by experimentalists. Localised intergranular flow is amenable to calculation (but not measurement). What was previously an insurmountable problem in the laboratory, reduces here to an exercise in mesh generation. Shape can be more directly taken into account using numerical simulations and shape is important.

Incipient motion assumes selective erosion is as, or more, important than selective deposition in an attempt to elucidate the problem of sedimentation. It denies the possibility that some deposits may exist in a state of dynamic equilibrium.

2 Using Numerical Methods to Model Entrainment Forces

The results for the “pebble in a pothole” (Fig. [I]) are intended to demonstrate something of the power with which state-of-the-art numerical methods are able to model fluid flow
and the forces exerted by it. The “pebble” (a die bead of neutral bouyancy\(^1\)) was released from rest at the centre of the standard driven cavity flow problem (see Fig. 2) and its motion was accurately determined.

The combined, dimensionless, free surface–fluid–rigid body problem can be stated as follows: Find \( x_{rb}, \theta, v_{rb}, \omega, h \) and \( v \) (the dimensionless, respective position, orientation, velocity and angular velocity of the rigid body, the elevation of the free surface and the velocity field of the fluid), which satisfy

\[
\begin{align*}
J_{11} \frac{d\omega_1}{dt} + (J_{33} - J_{22})\omega_2\omega_3 &= \frac{\rho_f}{\rho_s} \left[ H \int_{\Gamma_{rb}} (x - c) \wedge \left\{ -pI + \frac{2}{Re} D \right\} n \, d\Gamma_{rb} \right] \cdot e_1 \\
J_{22} \frac{d\omega_2}{dt} + (J_{11} - J_{33})\omega_3\omega_1 &= \frac{\rho_f}{\rho_s} \left[ H \int_{\Gamma_{rb}} (x - c) \wedge \left\{ -pI + \frac{2}{Re} D \right\} n \, d\Gamma_{rb} \right] \cdot e_2 \\
J_{33} \frac{d\omega_3}{dt} + (J_{22} - J_{11})\omega_1\omega_2 &= \frac{\rho_f}{\rho_s} \left[ H \int_{\Gamma_{rb}} (x - c) \wedge \left\{ -pI + \frac{2}{Re} D \right\} n \, d\Gamma_{rb} \right] \cdot e_3
\end{align*}
\]

\[
\frac{dv_{rb}}{dt} = \frac{\rho_f}{m \rho_s} \int_{\Gamma_{rb}} \left\{ -pI + \frac{2}{Re} D \right\} n \, d\Gamma_{rb} + \frac{X}{V^2} \vec{b} \tag{4}
\]

\[
\frac{d\theta}{dt} = \omega \tag{5}
\]

\[
\frac{dx_{rb}}{dt} = v_{rb} \tag{6}
\]

\[
\frac{\partial h}{\partial t} + \nabla h \cdot [v_1, v_2] = v_3 \tag{7}
\]

\[
\left[ \frac{\partial v}{\partial t} + \nabla v F^{-1}(v - v^{\text{mesh}}) \right] J = \frac{X}{V^2} \vec{b} J + \text{div} \, P \tag{8}
\]

\[
\nabla v : F^{-t} = 0 \tag{9}
\]

where \( P = \sigma F^{-t} J \)

\(^1\)Although a neutral bouyancy is not immediately reminiscent of any real life sediment problem, it was used to give maximum meaning to the problem as a test.

\(^\dagger\)\( F = I, J = 1 \) for correct implementations eg. backward difference for time integration – see Childs (2000)
Figure 1: The trajectories of various included rigid bodies released from rest at the centre of the driven cavity flow. Top Left: $Re = 0.025$, $m = 251.3$, $J_{33} = 314.2$ and $t = 3.6$ secs. Top Right: $Re = 0.025$, $m = 251.3$, $J_{33} = 1.0$ and $t = 4.0$ secs. Bottom Left: $Re = 0.025$, $m = 251.3$, $J_{33} = 0.1$ and $t = 3.6$ secs. Bottom Right: $Re = 1$, $m = 1$, moment of inertia (scaled) = 0.1 and $t = 2.0$ secs.
subject to the “no slip” requirements

\[ \mathbf{v}_{|_{\Gamma_{rb}}} = \mathbf{v}_{rb} + \mathbf{\omega} \wedge (\mathbf{x} - \mathbf{c}) \]

at fluid–rigid body interfaces and

\[ \mathbf{v}_{|_{\Gamma}} = 0 \]

at fixed, solid impermeable boundaries. Here \( J_{ii} \) (no sum) denotes the \( i \)th, dimensionless principal moment of inertia of the rigid body, \( \mathbf{H} \) is the transition matrix for a transition to a reference whose axes coincide with these principal moments of inertia, \( \mathbf{c} \) is the centre of mass of the rigid body, \( \Gamma_{rb} \) is the dimensionless surface of the rigid body, \( t \) is a dimensionless time, \( \rho_f \) and \( \rho_s \) are the fluid density and units of solid density respectively, \( m \) is the dimensionless mass of the rigid body, \( \frac{X}{V_b} \mathbf{b} \) is a dimensionless body force per unit mass, \( \mathbf{F} \) is the deformation gradient, \( J \) its determinant, \( \mathbf{v}^{\text{mesh}} \) is the dimensionless velocity of a reference which is otherwise allowed to deform freely and \( Re \) is the Reynolds number. The Cauchy stress, which appears in the formula for \( \mathbf{P} \) (the Piola–Kirchoff stress tensor of the first kind) is that given in terms of the constitutive relation for a Newtonian fluid,

\[ \mathbf{\sigma} = -p\mathbf{I} + 2\mu\mathbf{D} \]

A fully viscous boundary layer is therefore an intrinsic property of this model. All quantities otherwise undefined have their usual meanings.

The first four equations (Eqns. (1) – (4)) govern the motion of a rigid body in a fluid. Eqns. (1), (2) and (3) are a dimensionless form of Euler’s equations written in terms of a flow tractional force. They embody conservation of angular momentum. Eqn. (4) embodies conservation of linear momentum. Eqns. (5) and (6) facilitate recovery of the solution ultimately sought, the orientation and position (as opposed to angular and linear velocity). Eqn. (7) is the free surface model, one in which surface tension is considered negligible. Eqns. (2) and (9) are a completely general reference description of a viscous, incompressible fluid written in dimensionless form. It should, however, be noted that \( \mathbf{v} \) is merely a function of a distorting reference and the description therefore remains inertial in the same way that Lagrangian descriptions do. Both fluid and free surface sub–problems are best solved using the finite element method. A fourth order Runge–Kutta–Fehlberg method was used to solve the entire, coupled rigid body sub–problem. Rigid body, free
Figure 2: The respective $v_1$ and $v_2$ profiles computed along two cuts through the centre of a driven cavity flow (see Childs (2000) and Childs and Reddy (1999)) for a range of Reynolds numbers up to 4000.
surface and fluid sub–problems were solved iteratively thereby enforcing the entire set of governing equations.

A comprehensive derivation of all equations can be found in Childs (2000), Childs (1999) and Childs and Reddy (1999). Most of the numerical details, particularly those pertaining to the finite element method, can be found in the latter. Of course turbulence is not taken into account in this particular model. It is possible to incorporate turbulent features by way of modifying the viscosity according to a well established technique, the so–called $k–\epsilon$ model. The model presented here may therefore be considered to have certain limitations.

In the successive Fig. trajectories the mass was concentrated closer to the centre (a lower moment of inertia was used). The Reynolds number used was based on grain size in all but the bottom right set of results in Fig. In this instance the so-called flow–field Reynolds number was used.

## 3 Incipient Motion

The possibility of mobilisation (or remobilisation) is, for sediments, perhaps more relevant than the transport of the rigid body by the fluid. Incipient motion can be used as a simplistic criterion on which to base deposition, consequently the hydrodynamic characterisation of sediments and their environments of deposition.

### 3.1 Notation

Suppose that the shape of the rigid body can be adequately described by some equation of the form

$$f(x) = 0$$

and that the rigid surface on which this rigid body rests can be adequately described in terms of some function $b(x_1, x_2)$ which specifies the vertical position of the surface in
Figure 3: $\Gamma_e$ and $\Gamma_c$ are the respective exposed and contact surfaces of a rigid body of shape $f(x) = 0$, resting on a rigid bottom of shape $b(x_1, x_2)$.

terms of the horizontal coordinates $x_1$ and $x_2$. That is

$$x_3 = b(x_1, x_2)$$

(see Fig. 3 for a schematic representation of the problem of interest). A contact surface and an exposed surface, $\Gamma_c$ and $\Gamma_e$ respectively, may be formally defined as

$$\Gamma_c = \{x : f(x) = 0, x_3 = b(x_1, x_2); x \in \mathcal{R}\}$$

and

$$\Gamma_e = \{x : f(x) = 0, x_3 \neq b(x_1, x_2); x \in \mathcal{R}\}$$

in terms of this notation. The surface of the rigid body has outward and inward normals, $n_{out}$ and $n_{in}$ respectively,

$$n_{out} = -n_{in} = \frac{\nabla f}{||\nabla f||}. $$

One might expect $f(x)$ and $b(x_1, x_2)$ to be complicated in real–life examples.

### 3.2 Modelling Incipient Motion

A more direct application of Newton’s first law one possibly couldn’t find than incipient motion. The force acting on a rigid sediment grain is best thought of as

$$\mathbf{F} = \mathbf{F}_{flow} + \mathbf{F}_c$$
where $F_{\text{flow}g}$ is the combined gravitational and flow–tractional force acting on the rigid body and $F_c$ is the sum of forces (reaction and frictional) acting at the bed–rigid body contact, $\Gamma_c$. Any incipient translation will be initiated by a combination of gravitational and flow–tractional forces,

$$F_{\text{flow}g} = \int_{\Gamma_c} \sigma n_{in} d\Gamma_c + mg, \quad (10)$$

modified by any induced forces of reaction and friction ($\sigma$ is the Cauchy stress at $\Gamma_c$, the rigid body–fluid interface, and $mg$ is the weight of the particle). The combined gravitational and flow–tractional couple is

$$\tau_{\text{flow}g} = \int_{\Gamma_c} (x - c) \wedge \sigma n_{in} \, d\Gamma_c + \int_{\Omega_{rb}} \rho(x - c) \wedge g \, d\Omega \quad (11)$$

where $c$ is some convenient point and $\Omega_{rb}$ is the rigid body domain.

The important thing to recognise here is that any incipient translation will nonetheless be a component of the force $F_{\text{flow}g}$ (other components contributing to couples or being cancelled outright) and any incipient rotation will likewise be a component of $\tau_{\text{flow}g}$.

A modified or extended Coulomb friction model can be arrived at by extending the model for rigid, planar contact surfaces to more general surfaces i.e. the distribution of the reaction forces along $\Gamma_c$ is obtained by similar use of Newton’s third law. The reaction force for a plane contact surface is

$$F_{\text{reaction}} = (n_{out} \cdot F_{\text{flow}g}) n_{in} \quad (12)$$

and the associated frictional force is

$$F_{\text{friction}} = -C_{\text{friction}} [F_{\text{flow}g} - (n_{out} \cdot F_{\text{flow}g}) n_{out}] \quad (13)$$

where $C_{\text{friction}} = \min \left\{ 1, \frac{\zeta (n_{out} F_{\text{flow}g})}{||F_{\text{flow}g} - (n_{out} F_{\text{flow}g}) n_{out}||} \right\}$ and $\zeta$ is the coefficient of static friction. Extending the above models to more general surfaces

$$F_c = c_1 \int_{\Gamma_c} (F_{\text{reaction}} + F_{\text{friction}}) H (n_{out} \cdot F_{\text{flow}g}) \, d\Gamma_c$$

$^2$The torque is independent of the point about which it was calculated
is obtained where $H$ is the Heaviside step function and $c_1$ is some scaling factor

$$c_1 = \frac{c_2}{\int_{\Gamma_c} H(n_{\text{out}} \cdot F_{\text{flow} \& g}) \, d\Gamma_c}$$

in which $c_2$ is determined by requiring that a component of $F_{\text{flow} \& g}$, normal to some part of the contact, be completely balanced by the same component of reaction force. The disturbing question of whether another such component will be likewise balanced, when using the same $c_2$ scale, then arises. This dilemma can be avoided for all but one category of incipient motion in the forthcoming analysis.

For couples,

$$\tau_c = c_1 \int_{\Gamma_c} [(x - c) \wedge F_{\text{reaction}} + (x - c) \wedge F_{\text{friction}}] H(n_{\text{out}} \cdot F_{\text{flow} \& g}) \, d\Gamma_c.$$

There exists a surprisingly large category of general motions which subscribe to a more conventional analysis than the one just proposed.

Possible modes of incipient motion are categorised as follows for the purposes of this work:

1. Translation only (lifting or sliding).
2. Sliding combined with an “away from the surface” rotation.
3. Lifting combined with an “away from the surface” rotation.
4. Pivotting combined with lifting.
5. Rotation only (pivotting or an “away from the surface” rotation).
6. Pivotting combined with sliding:
   (a) About the same contact.
   (b) About different contacts (less likely).

By “away from the surface” rotations is meant that the sediment particle is rotated off the contact surface in such a way that no frictional or reaction forces are incurred i.e.
there is no counter torque. This condition amounts (under all but the most exceptional circumstances) to immediately excluding axes of rotation surrounded and intersected by normals from the contact surface. In Fig. 4 the axis about which an “away from the surface” rotation occurs lies in one of the unshaded regions – which one depends on the sign of the rotation. For flow–induced axes of rotation which lie in a region such as the shaded one in Fig. 4, one might presume the point about which the rigid body will rotate, is the nearest point to the centre of mass which does not lie on a normal to the contact surface (based on a principle of least action).

### 3.3 Incipient Translation Only ($\tau_{flow\&g} = 0$)

Figure 5: The significant reaction surface to $F_{flow\&g}$. 
The significant reaction surface is that part of the contact surface which determines the direction of the incipient translation, here

$$\min_{x \in \Gamma_c} n_{in} \cdot F_{flow \& g}.$$  \hfill (14)

To work out the nett or resultant force compute $F_{flow \& g}$ (Eqn. (10)), then locate the significant reaction surface in $\Gamma_c$ (Eqn. (14)). The resultant force which arises is

$$F = F_{flow \& g} + (F_{reaction} + F_{friction}) H (n_{out} \cdot F_{flow \& g})$$  \hfill (15)

where $F_{reaction}$ and $F_{friction}$ are given by equations (12) and (13) respectively. The resulting torque is

$$\tau = [(x^* - c) \wedge F_{reaction} + (x^* - c) \wedge F_{friction}] H (n_{out} \cdot F_{flow \& g})$$

where $x^*$ is the minimum prescribed by Eqn. (14).

### 3.4 Incipient Rotation Only ($F_{flow \& g} = 0$)

One can surmise that the torque $\tau_{flow \& g}$ is equivalent to a tangential force

$$F_\tau = \frac{\tau_{flow \& g} \wedge (x - c)}{|| x - c ||^2}, \hfill (16)$$

acting at $x$, since $\tau_{flow \& g} = (x - c) \wedge F_\tau$ by definition. The counter–couple arising due to a reaction at the contact surface has a negative projection on the combined gravitational and flow–tractional torque at the desired location, furthermore, it is identified by the value of this projection being a minimum. That is,

$$\min_{x \in \Gamma_c} \left\{ [(x - c) \wedge \left( \frac{\tau_{flow \& g} \wedge (x - c)}{|| x - c ||^2} \cdot n_{in} \right)] \cdot \tau_{flow \& g} \right\}. \hfill (17)$$

A strategy for computing the torque exerted on the rigid body may therefore be summarised as follows. Compute $\tau_{flow \& g}$ (using Eqn. (11)) then locate the position of the significant reaction surface in $\Gamma_c$ (using Eqn. (17)). The resultant torque which arises (assuming the minimum is unique for simplicity) can then be calculated using the formula

$$\tau = \tau_{flow \& g} + \left\{ (n_{out} \cdot F_\tau) (x - c) \wedge n_{in} - C_{friction} (x - c) \wedge [F_\tau - (n_{out} \cdot F_\tau) n_{out}] \right\} H (n_{out} \cdot F_\tau)$$  \hfill (18)

\(^{3}\) assumed unique for simplicity, otherwise the forces will be equally shared
where $C_{\text{friction}} = \min\left\{ 1, \frac{\zeta (n_{\text{out}} \cdot F_{\tau})}{||F_{\tau} - (n_{\text{out}} \cdot F_{\tau}) n_{\text{out}}||} \right\}$. Both frictional and reactional torques are drawn up along similar lines to $F_{\text{friction}}$ and $F_{\text{reaction}}$ were. A non-zero value indicates the frictional force is insufficient to impede rotation. The translational force (a result of any uncoupling) is

$$F = \left\{ (n_{\text{out}} \cdot F_{\tau}) n_{\text{in}} - C_{\text{friction}} \left[ F_{\tau} - (n_{\text{out}} \cdot F_{\tau}) n_{\text{out}} \right] \right\} H (n_{\text{out}} \cdot F_{\tau}).$$

### 3.5 Simultaneous Incipient Rotation and Translation ($F_{\text{flow} \& g} \neq 0, \tau_{\text{flow} \& g} \neq 0$)

Assuming a common position for both the pivot and the initial sliding surface, it can be located using either the (14) or (17) minima. To determine the nett or resultant force and torque, compute $F_{\text{flow} \& g}$ and $\tau_{\text{flow} \& g}$ (using equations (10) and (11)). Locate the position on $\Gamma_c$ at which either of the minima, (14) or (17), occur. At this point evaluate the quantities $F_{\tau}$ (using Eqn. (16)),

$$F_{\text{flow} \& g} \cdot x = F_{\text{flow} \& g} + F_{\tau} |x^*,

n_{\text{in}} \cdot F_{\text{flow} \& g} |x^*$$

(19)
and

\[ ((x - c) \land (F_{\text{flow} \cdot \tau} \cdot n_{in})) \cdot \tau_{\text{flow} \cdot \tau} \mid x^* \]  

(20)

where \( x^* \) is the prescribed minimum. The resultant force which arises in the event of Eqn. (19) being a negative quantity can be calculated according to

\[
F = F_{\text{flow} \cdot \tau} + \left\{ (n_{out} \cdot F_{\text{flow} \cdot \tau}) n_{in} - C_{\text{friction}} [F_{\text{flow} \cdot \tau} - (n_{out} \cdot F_{\text{flow} \cdot \tau}) n_{in}] \right\} H (n_{out} \cdot F_{\text{flow} \cdot \tau})
\]

(21)

where \( C_{\text{friction}} = \min \left\{ 1, \frac{\zeta (n_{out} \cdot F_{\text{flow} \cdot \tau})}{\| F_{\text{flow} \cdot \tau} - (n_{out} \cdot F_{\text{flow} \cdot \tau}) n_{out} \|} \right\} \). A zero value would correspond to no incipient motion. A non-zero value indicates the frictional force is insufficient to impede translation. The resulting torque is

\[
\tau = \tau_{\text{flow} \cdot \tau} + \left\{ (n_{out} \cdot F_{\text{flow} \cdot \tau}) (x^* - c) \land n_{in} - C_{\text{friction}} [F_{\text{flow} \cdot \tau} - (n_{out} \cdot F_{\text{flow} \cdot \tau}) n_{in}] \right\} H (n_{out} \cdot F_{\text{flow} \cdot \tau})
\]

(22)

where \( x^* \) is the prescribed minimum. The outcome could be summarised as follows:

1. \( F \neq 0 \) by Eqn. (21) and \( \tau = 0 \) by Eqn. (22) ⇒ translation.

   (a) minimum (14) < 0 ⇒ sliding

   (b) minimum (14) > 0 ⇒ lifting.

2. Minimum (14) < 0, minimum (17) > 0 ⇒ sliding with an “away from the surface” rotation.

3. Minimum (14) > 0, minimum (17) > 0 ⇒ lifting with an “away from the surface” rotation.

4. Minimum (14) > 0, minimum (17) < 0 ⇒ pivoting.

5. Minima (14) and (17) < 0, \( F = 0 \) by Eqn. (21) and \( \tau \neq 0 \) by Eqn. (22) ⇒ rotation only.

   (a) minimum (17) < 0 ⇒ pivoting

   (b) minimum (17) > 0 ⇒ “away from the surface” rotation.
6. Minima (14) and (17) < 0, \( F \neq 0 \) by Eqn. (21) and \( \tau \neq 0 \) by Eqn. (22) \( \Rightarrow \) sliding and pivotting.

7. \( F = 0 \) by Eqn. (21) and \( \tau = 0 \) by Eqn. (22) \( \Rightarrow \) no incipient motion

Note that “pivotting and sliding about different (less likely) contacts” is the only mode not comprehensively dealt with.

4 Conclusions

Classes of permissible incipient rotations and translations can be readily and systematically formulated for rigid bodies placed on rigid surfaces, as can be a modified Coulomb friction model. These classes of permissible incipient motion together with the friction models, are proposed as criteria on which to base incipient translation and rotation.

The possibility of mobilisation (or remobilisation) is, for sediments, perhaps more relevant than the transport of the rigid body by the fluid. Incipient motion can be used as a simplistic criterion on which to base deposition, consequently the hydrodynamic characterisation of sediments and their environments of deposition. The unknown final dynamic or static state of the depositional equilibrium could, in some instances, have serious ramifications for incipient motion based sedimentation models.

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