What is the Optimal Method Assessing for Persistent Villous Atrophy in Adult Coeliac Disease?

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INTRODUCTION

Coeliac disease (CD) is an immune-mediated enteropathy triggered by dietary gluten exposure in genetically susceptible individuals, with a global prevalence of between 0.7-1.4% [1, 2]. Currently the only treatment for CD is a gluten-free diet (GFD). Lifelong adherence to a GFD is challenging, and CD patients report a high treatment burden [3]. Ultimately, level of GFD adherence achieved results from patients’ own evaluation of the benefits against the costs, with rates of strict GFD adherence reported to be between 42-91% [4]. The leading cause of non-responsive CD is ongoing gluten exposure [5-7], therefore monitoring GFD adherence is a crucial aspect of the long-term follow-up in CD patients.

Villous atrophy (VA) is the only factor proven to be associated with CD complications, including lymphoproliferative malignancies [8], osteoporosis and fractures [9, 10] and nutritional deficiencies [11, 12]. Therefore repeat duodenal biopsies to assess for disease remission or potential ongoing gluten ingestion may be viewed as the gold standard for assessing treatment outcome; however current guidelines do not mandate follow-up biopsies in CD patients [1, 13, 14]. Repeat gastroscopies with duodenal biopsies are expensive, invasive procedures with poor patient tolerability, which is problematic if patients were required to have many during follow-up [15, 16]. Further limitations of duodenal biopsies include sampling error as a result of patchy mucosal damage,

ABSTRACT

Background & Aims: Methods of assessing gluten-free diet (GFD) adherence in adults with coeliac disease (CD) include serological testing, dietitian evaluation, questionnaires and repeat duodenal biopsies. Persisting villous atrophy (VA) is associated with CD complications, however gastroscopy with biopsies is expensive and invasive. This study aimed to assess the abilities of a duodenal bulb (D1) biopsy and the Celiac Dietary Adherence Test (CDAT) to detect persisting VA in adults with CD.

Methods: A prospective observational study of adult CD patients referred for follow-up duodenal biopsies was performed. Quadrantic biopsies were taken from the second part of the duodenum (D2), in addition to a D1 biopsy. Patients underwent follow-up serological testing, and completed the CDAT and Biagi Score. These non-invasive adherence markers were compared against duodenal histology.

Results: 368 patients (mean age 51.0 years, 70.1% female) had D1 and D2 biopsies taken at follow-up gastroscopy. Compared to D2 biopsies alone, additional D1 biopsies increased detection of VA by 10.4% (p<0.0001). 201 patients (mean age 50.3 years, 67.7% female) completed adherence questionnaires and serology. When detecting VA, sensitivities and specificities of these markers were 39.7% and 94.2% for IgA-tTG, 38.1% and 96.4% for IgA-EMA, 55.6% and 52.2% for CDAT and 20.6% and 96.4% for the Biagi score.

Conclusions: Bulbar biopsies increase detection of persisting VA by 10.4%. Serology, CDAT and Biagi performed poorly when predicting VA. The gold standard for predicting persisting VA remains repeat biopsy.

Key words: coeliac disease - small intestine - compliance/adherence – villous atrophy.

Abbreviations: AOR: adjusted odds ratio; AUC: area under the curve; CD: coeliac disease; CDAT: Coeliac Dietary Adherence Tool; D1: duodenal bulb; D2: second part of the duodenum; EMA: anti-endomysium antibodies GFT: gluten-free diet; NPV: negative predictive value; PPV: positive predictive value; ROC: receiver operating characteristic; tTG: anti-tissue transglutaminase antibodies; USCD: ultra-short coeliac disease; VA: villous atrophy.
and high interobserver variability between pathologists [17, 18]. Rate of mucosal healing after GFD initiation varies between individuals, with reversal of VA occurring in 34-65% after two years and 66-85.3% after five years [19-22]. Understanding differential rates of mucosal healing is a vital concept as it helps to define the optimum timing of follow-up biopsy [14].

Due to the limitations of performing repeat duodenal biopsies, many non-invasive methods of assessing adherence have been studied including coeliac serology, dietitian evaluation and validated adherence scores which may be used to optimize the use and timing of repeat biopsy [23]. Studies have reported poor performance of non-invasive adherence markers. Dietitian evaluation is reported to have a sensitivity and specificity of 64% and 80% respectively when predicting persisting VA [24]. Coeliac serology has sensitivities and specificities of 44.7-50% and 83-86.4% respectively for IgA anti-tissue transglutaminase antibodies (tTG) and 37.7-45% and 89.4-91% respectively for IgA anti-endomysium antibodies (EMA) [25, 26], demonstrating that sole reliance on follow-up serology fails to detect the majority of CD patients with persisting VA. One validated adherence score devised by Biagi et al. [27], has previously been found to correlate with duodenal histology [27-29] however was recently found to have a lower sensitivity than that of serology, at 24.7% [26].

When focusing on assessment of GFD adherence, there remain two key unanswered questions. Firstly is the role of the duodenal bulb (D1) biopsy. Bulbar biopsies are vital in diagnosing cases of ultra-short coeliac disease (USCD) [30], and a recent meta-analysis revealed that inclusion of additional D1 biopsies increased the diagnostic rate of adult CD by 8% (95%CI 6-10%) [31]. However, there is a paucity of data pertaining to the role of D1 biopsies in the follow-up of patients with established CD. Only two studies, involving a total of 98 patients, have investigated the benefit of additional D1 biopsies in assessing disease remission [32, 33]. These studies found that between 14.1-15.4% of patients with established CD had persisting VA confined to the duodenal bulb [32, 33] demonstrating the potential increased yield of D1 biopsies to increase the detection of persisting VA.

Secondly, the role of the Coeliac Dietary Adherence Tool (CDAT) [34], the most widely used adherence questionnaire, is undetermined. This questionnaire has been shown to correlate with dietetic evaluation, serology and self-reported adherence [34, 35], however it has never been compared against duodenal histology and therefore its ability to predict persisting VA remains unknown.

This study aimed to evaluate the benefit of additional duodenal bulb biopsies in detecting persisting VA in adults with established CD. We also aimed to investigate the ability of the CDAT questionnaire to predict persisting VA.

**METHODS**

**Study design and patients**

This observational study was carried out at Sheffield Teaching Hospitals (STH) NHS Foundation Trust between March 2013 and December 2019. Patients were primarily prospectively recruited at the time of follow-up biopsies, with additional patients recruited from our database of CD patients. Inclusion criteria included patients aged ≥16 who had already been definitively diagnosed with CD, and had been referred for follow-up duodenal biopsies to confirm disease remission, or to assess persisting symptoms or possibility of refractory CD. Blood samples were taken for follow-up coeliac serology and patients were invited to complete a series of questionnaires. A gastroscopy with duodenal biopsies was also performed on each patient.

**Patient questionnaires**

Firstly, patients were invited to complete a general questionnaire about demographic information and symptoms they experienced at the time of their follow-up. Secondly, patients were asked to complete two validated adherence questionnaires, the Biagi adherence score (Fig. 1) and the CDAT (Fig. 2). A member of the research team was available during questionnaire completion.

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**Fig. 1.** Adherence score devised by Biagi et al. [27] The questionnaire produces a final score between 0 and 4. Scores of 3 or 4 indicating strict GFD adherence, and scores 0-2 signifies inadequate adherence.

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Follow-up serology

IgA-tTG were detected using an automated enzyme-linked immunosorbent assay. Prior to 12th December 2014 the AESKULISA assay was used (normal range = 0-15 U/ml), thereafter the Thermo Fisher ELISA assay was used (normal range = 0-7 U/ml). IgA-EMA testing was performed using immunofluorescence techniques with monkey oesophageal tissue as a substrate. Blood samples for serological testing were interpreted by biochemical scientists in the Northern General Hospital (NGH) Immunology Department.

Follow-up histology

Four quadrant biopsy samples were taken from the second part of the duodenum (D2), in addition to a D1 biopsy, as part of standard practice. Histological analysis of biopsy samples took place in the Histopathology Department at RHH. Biopsy samples were fixed in formalin and subsequently embedded in paraffin wax. Sample cross-sections at 4μm thick were stained with haematoxylin-eosin stain to allow visualisation of duodenal mucosa. Samples were viewed and graded by histopathologists according to the modified Marsh-Oberhuber classification [36]. Patients achieving reversal of VA (Marsh grades 0-2) in both D1 and D2 were deemed to have reached 'mucosal recovery', whereas persisting VA was defined as Marsh grades 3a-3c.

Ethical considerations

Ethics approval was granted by the Yorkshire and the Humber – Sheffield Research Ethics Committee (REC reference: 14/YH/1216).

Statistical analysis

Descriptive statistics were used to summarise data. Categorical data was summarised by frequencies and percentages, means and standard deviations (SD) were used for normally distributed continuous data, and medians and interquartile ranges (IQR) were used for skewed continuous data. To compare the sensitivity of biopsy sites in detecting persisting VA, a McNemar test for correlated proportions was used. Univariate analysis of demographic and clinical characteristics was performed. One-way analysis of variance (ANOVA) was used for normally distributed continuous variables, Kruskal-Wallis test was used for skewed continuous variables, and Chi-squared test was used for categorical variables. Multivariate analysis correcting for demographic factors and concurrent clinical features was done using binary logistic regression. At the time of data analysis, duration of disease at the time of follow-up was calculated using patients’ diagnosis dates, to allow time to mucosal healing to be estimated retrospectively.

Test characteristics of non-invasive adherence markers including Biagi adherence score, CDAT, IgA-EMA and IgA-tTG serology for predicting ongoing VA were then analysed. Correlation between each non-invasive marker and duodenal histology was analysed using Chi-squared tests. Diagnostic accuracies of non-invasive markers were reported as sensitivity, specificity, positive predictive value (PPV), and negative predictive value (NPV) measured against the reference standard of duodenal histology. Receiver operating characteristic (ROC) curves were produced from paired sensitivities and specificities, and the area under the curve (AUC) was reported.

Statistical analyses were performed using IBM SPSS Statistics version 26.

RESULTS

A total of 368 patients (mean age 51.0 years (SD 16.2), 70.1% female) had both D1 and D2 follow-up biopsies available. Persisting VA was observed in 33.7% (n=124) of D1 samples, and 32.6% (n=120) of D2 samples (Table I). The median period of time of persisting VA in D1 was 5.9 years (IQR 3.1 – 12.0), compared to 6.2 years for persisting VA in D2 (IQR 3.2 – 13.3). Among the patients with persisting VA were 3 patients with complicated CD, all of whom had persisting VA in both D1 and D2. Additional D1 biopsies increased detection of VA by 10.4% compared to D2 biopsies alone (p<0.0001). 21.2% (n=78) of patients had a discrepancy in Marsh grading between D1 and D2, with 50.0% (39/78) having the most severe lesion in the D1. Specific to VA, rather than variation in total

![Fig. 2](image-url) The CDAT questionnaire devised by Leffler et al. [34]. This is a 7-item questionnaire producing an additive score from 7 to 35, with higher scores signifying worse GFD adherence. A score ≤13 predicts adequate GFD adherence.
Marsh grade, 15 patients had differing degrees of VA between biopsy sites. Of these patients 86.7% (13/15) had more severe VA in the D1.

Univariate and multivariate analyses of demographic and clinical features according to pattern of persisting VA are displayed in Tables II and III, respectively. Patients without persisting VA appeared younger at follow-up than those with either persisting VA confined to the bulb or more extensive VA (p=0.034), however this was not reproduced on multivariate analysis. The only clinical feature associated with persistent VA pattern was weight loss. On multivariate analysis, patients with more extensive VA had a 2.14 (1.18 – 3.90) times higher odds of weight loss compared to patients without persisting VA (p=0.01). From patients reporting ongoing weight loss 44.6% had persisting VA, whereas from patients reporting other classical CD symptoms such as diarrhoea and steatorrhoea (floating stools) only 35.3% and 35.4% had persisting VA respectively.

At the time of follow-up, the proportion of patients achieving mucosal recovery was relatively consistent in this study. Fig. 3 demonstrates the proportion of patients achieving mucosal recovery in each time category.

In total, 201 patients (mean age 50.3 years, 67.7% female) completed adherence questionnaires and follow-up serology.

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### Table I. Histological appearance of duodenal bulb (D1) and of the second part of the duodenum (D2) biopsies

|                  | Marsh 0 | Marsh 1 | Marsh 2 | Marsh 3a | Marsh 3b | Marsh 3c | Total |
|------------------|---------|---------|---------|----------|----------|----------|-------|
| **D1 Histology** |         |         |         |          |          |          |       |
| Marsh 0          | 135     | 11      | 1       | 2        | 0        | 0        | 149   |
| Marsh 1          | 13      | 47      | 0       | 6        | 1        | 1        | 68    |
| Marsh 2          | 8       | 6       | 13      | 2        | 1        | 1        | 31    |
| Marsh 3a         | 4       | 5       | 0       | 39       | 9        | 0        | 57    |
| Marsh 3b         | 0       | 1       | 0       | 1        | 29       | 4        | 35    |
| Marsh 3c         | 0       | 0       | 0       | 1        | 0        | 27       | 28    |
| **Total**        | 160     | 70      | 14      | 51       | 40       | 33       | 368   |

### Table II. Summary of univariate analysis of patient demographics and clinical features by pattern of persisting villous atrophy

|                                      | Total (n= 368) | VA confined to D1 (n=14) | Other patterns of VA (n=120) | No persisting VA (n=234) | p-value |
|--------------------------------------|----------------|--------------------------|-------------------------------|---------------------------|---------|
| Mean age (years) at follow-up (SD)   | 51.0 (16.2)    | 56.6 (16.8)              | 53.5 (15.3)                  | 49.4 (16.4)               | 0.034*  |
| Female gender, n (%)                 | 258 (70.1%)    | 7 (50.0%)                | 82 (68.3%)                   | 169 (72.2%)               | 0.184   |
| White/Caucasian ethnicity\(^*\) n/n (%) | 303/323 (93.8%) | 12/12 (100.0%)          | 92/99 (92.9%)                | 199/212 (93.9%)           | 0.630   |
| Constipation, n (%)                  | 44 (13.6%)     | 1 (8.3%)                 | 13 (13.1%)                   | 30 (14.2%)                | 0.837   |
| Diarrhoea, n (%)                     | 51 (15.8%)     | 2 (16.7%)                | 16 (16.2%)                   | 33 (15.6%)                | 0.987   |
| Alternating bowel habit, n (%)       | 54 (16.7%)     | 2 (16.7%)                | 13 (13.1%)                   | 39 (18.4%)                | 0.511   |
| Flatulence, n (%)                    | 139 (43.0%)    | 6 (50.0%)                | 34 (34.3%)                   | 99 (46.7%)                | 0.108   |
| Bloating, n (%)                      | 171 (52.9%)    | 7 (58.3%)                | 45 (45.5%)                   | 119 (56.1%)               | 0.199   |
| Weight loss, n (%)                   | 65 (20.1%)     | 1 (8.3%)                 | 28 (28.3%)                   | 36 (17.0%)                | 0.040*  |
| Floating stools, n (%)               | 79 (24.5%)     | 2 (16.7%)                | 26 (26.3%)                   | 51 (24.1%)                | 0.746   |
| Abdominal pain, n (%)                | 21 (6.5%)      | 1 (8.3%)                 | 3 (3.0%)                     | 17 (8.0%)                 | 0.243   |
| Reflux/dyspepsia, n (%)              | 12 (3.7%)      | 0 (0.0%)                 | 3 (3.0%)                     | 9 (4.2%)                  | 0.848*  |
| Nausea/vomiting, n (%)               | 14 (4.3%)      | 0 (0.0%)                 | 3 (3.0%)                     | 11 (5.2%)                 | 0.744*  |
| Low energy, n (%)                    | 227 (70.3%)    | 6 (50.0%)                | 66 (66.7%)                   | 155 (73.1%)               | 0.150   |
| Urgency, n (%)                       | 80 (24.8%)     | 3 (25.0%)                | 19 (19.2%)                   | 58 (27.4%)                | 0.299   |
| Median GFD duration in months (IQR)  | 72.0 (36.0-142.0) | 95.5 (36.0-196.0)       | 60.0 (36.0-135.0)            | 72.0 (34.5-143.5)         | 0.713   |

*Significant at the 0.05 probability level; \(^*\) Fisher’s Exact test used since >20% of cells had an expected count below 5; \(^\dagger\) For ethnicity, n/N (%) represents the number of patients in each group with White/Caucasian ethnicity as a fraction of those for whom ethnicity data was available; VA: villous atrophy; D1: duodenal bulb; D2: second part of the duodenum.
All non-invasive adherence markers showed correlation to histology (p<0.0001) except the CDAT (p=0.309) (Table IV). Table V outlines the diagnostic performance and AUC on ROC analysis for the non-invasive adherence markers.

**DISCUSSION**

To our knowledge, this is the largest study evaluating the increased yield of additional D1 biopsies in detecting persisting VA and potential ongoing gluten exposure in CD patients. We report a significant increase of 10.4% (p<0.0001) in the detection rate of persisting VA by taking an additional D1 biopsy. From the patients with lesion discrepancy, 50% had more severe lesions in the D1. Furthermore, out of the 15 patients with varying degrees of VA between D1 and D2, more severe VA was present in the D1 in 86.7%. Although based on a small sample, this supports the theory of distal to proximal small bowel healing after gluten withdrawal [33, 37, 38]. This pattern may be due to higher gluten load in the proximal small bowel [30], resulting in greater and more long-lasting healing after gluten withdrawal [33, 37, 38]. Alternatively, D1 lesions may be caused by ingestion of trace amounts of gluten [33] only sufficient to cause proximal small bowel damage. Duodenal bulb biopsies may prove invaluable when assessing GFD adherence by allowing detection of persisting VA where exposure to gluten is greatest. However, notably, the presence of Brunner's glands and increased risk of gastric metaplasia in the duodenal bulb may result in alteration of bulb villi for reasons unrelated to active CD [39].

This study is among the first to investigate whether patients with persisting VA confined to the duodenal bulb are demographically or phenotypically different to other patients. From our sample of patients, only 14 patients were identified as having persisting VA confined to the bulb. In order to ascertain demographic and clinical differences, a larger sample size of these patients should be sought. Patients with more extensive persisting VA were more likely to present with ongoing weight loss than patients without persisting VA [adjusted odds ratio (AOR) 2.14]. Although correction for multiple testing was not made, the AOR of 2.14 is large enough to assume clinical importance.

The lack of association between the majority of clinical features and pattern of persisting VA may be due to the high prevalence of persisting symptoms even among patients without persisting VA. The most prevalent symptoms among
patients without VA were fatigue (73.1%), bloating (56.1%), and flatulence (46.7%), which could be due to other conditions associated with CD, such as irritable bowel syndrome and small intestinal bacterial overgrowth [7, 14, 40]. These results are in agreement with a large US study concluding that persisting symptoms are not independently associated with persisting histological damage in CD patients [41].

Progression of mucosal healing against time elapsed since CD diagnosis is a vital concept, since this denotes optimal timing of repeat biopsies [14]. Often studies reporting rate of mucosal healing demonstrate a trend for higher rates of mucosal healing as time from diagnosis increases [19, 21]. Previous studies have demonstrated that many patients can be identified as ‘slow responders’ [19, 21, 42, 43]. The cause of delayed healing in many CD patients is debated. Owing to the difficulty of GFD adherence, the learning process involved in achieving adequate adherence is a proposed reason [20]. Another explanation could be gradual development of gluten tolerance [44, 45]. A recent large prospective study reported that among non-adherent patients, 57% achieved Marsh 0 histology and 66% had only non-atrophic lesions [44]. Thus, among CD patients sporadically ingesting gluten-containing foods, a degree of tolerance towards gluten can be achieved [44]. Delayed mucosal healing among CD patients may occur if development of tolerance takes time [46]. The lack of mucosal healing in some individuals could, perhaps, be due to gluten super-sensitivity, when individuals react to quantities of gluten at a level that most CD patients are tolerant to [14, 47]. In these patients urine gluten immunogenic peptides can detect levels of gluten exposure not currently detected by serology or adherence questionnaires [48]. This retrospective observational study design is not without limitations, with very similar limitations described in other studies investigating rate of mucosal healing [19, 49]. Biopsies provide a snapshot of mucosal appearance at the time of sampling, making it impossible to determine whether mucosal healing occurred at an earlier time.

The accuracy of non-invasive markers of adherence in this study reflects the findings of previous studies. IgA-tTG and IgA-EMA serology, and the Biagi score showed very low sensitivities when predicting persisting VA, comparable to results of other studies [25, 26]. A major strength of this study is that it is the first to compare the performance of the CDAT against duodenal histology. Positive CDAT scores were found in 55.6% of patients with persisting VA and 47.8% of those without persisting VA (p=0.309). Interestingly, the CDAT was the only non-invasive marker that failed to demonstrate a significant correlation to duodenal histology. When measured against duodenal histology, the CDAT had a sensitivity of 55.6% and specificity of 52.2%. On ROC analysis the CDAT performed worst out of the adherence markers investigated, producing an AUC of 0.54 (CI: 0.45 – 0.63). CDAT’s poor performance on ROC analysis is due to its significantly lower specificity and PPV than all of the other non-invasive markers (p<0.05).

The low specificity of the CDAT is explained by the large number of false positive results generated by the questionnaire. This is most likely due to a combination of inclusion of symptom assessment in the questionnaire, and a low cut-off value defining poor adherence. Firstly, the relationship between symptomology and GFD adherence is ambiguous [50-53]. As reiterated in this study, persisting symptoms can occur in patients without persisting VA. The symptoms assessed by the CDAT are low energy levels and headaches within the past four weeks, which both lack exclusivity to patients with active CD. Secondly, the minimum score possible for the CDAT is seven and the threshold for poor adherence is set at scores ≥13 [34]. Thus to be identified as inadequately adherent, patients need to score only six additional points on top of the minimum score. By experiencing symptoms of low energy or headaches either “Most of the time” or a mixture of “Some of the time” and “All of the time”, it is possible for patients achieve a score of ≥13 solely on the basis of symptoms. The performance of the CDAT at predicting persisting VA may be improved by increasing the threshold used to define inadequate adherence, or removing/altering the questions regarding symptomology.

From this study, it is apparent that inclusion of an additional D1 biopsy significantly increases detection of persisting VA. In order to determine the clinical benefit of detecting persisting D1 lesions, future studies should investigate whether patients with persisting VA confined to the D1 are predisposed to haematological deficiencies, osteoporosis, malignancy or other complications in the long-term. Furthermore, the poor performance of the CDAT to detect persisting VA has been displayed for the first time here, confirming that there is not yet a suitable replacement for repeat duodenal biopsies. It appears guidelines should mandate follow-up biopsies in all CD patients, however further work is required to determine their optimum timing. There remains a need for a sensitive non-invasive marker that can be used repeatedly at follow-up appointments to identify patients likely to have persisting VA and determine need for repeat biopsies. Further studies are
justified to produce new non-invasive adherence markers, or adapt existing ones, to facilitate the long-term management of CD patients.

CONCLUSIONS

This study has established the benefit of additional bulbar biopsies during follow-up of CD patients. For the first time, the CDAT questionnaire has been measured against duodenal histology. The poor performance of the CDAT in predicting persisting VA refutes its use for the purpose of selecting patients for repeat endoscopy. This study provides novel results from a large sample of patients referred to our national centre. We suggest that repeat duodenal biopsies, including samples from the duodenal bulb, should be the gold standard for assessing GFD adherence and disease remission in CD. This has the potential to improve and standardise clinical guidelines on the long-term management of CD, including assessment of adherence.

Conflicts of interest: None to declare

Authors’ contributions: S.H.C., A.R., E.M.R.B., L.J.M., and D.S.S. assisted in the planning and conduct of the study. Data collection was completed by S.H.C., A.R., E.M.R.B., L.J.M. and D.S.S. collected the data. Results were interpreted by all investigators. S.H.C. was responsible for the initial manuscript draft. This was reviewed and initially edited by D.S.S., and subsequently reviewed by all authors. All authors approved the final version of the manuscript.

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