Deep XMM-Newton Observations of an X-ray Weak Broad Absorption Line Quasar at $z = 6.5$

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Abstract

We report X-ray observations of the most distant known gravitationally lensed quasar, J0439+1634 at $z = 6.52$, which is also a broad absorption line (BAL) quasar, using the XMM-Newton Observatory. With a 130 ks exposure, the quasar is significantly detected as a point source at the optical position with a total of 358$^{+19}_{-15}$ net counts using the EPIC instrument. By fitting a power law plus Galactic absorption model to the observed spectra, we obtain a spectral slope of $\Gamma = 1.45^{+0.10}_{-0.09}$. The derived optical-to-X-ray spectral slope $\alpha_{\text{ox}}$ is $-2.07^{+0.01}_{-0.01}$, suggesting that the X-ray emission of J0439+1634 is weaker by a factor of 18 than the expectation based on its 2500 Å luminosity and the average $\alpha_{\text{ox}}$ versus luminosity relationship. This is the first time that an X-ray weak BAL quasar at $z > 6$ has been observed spectroscopically. Its X-ray weakness is consistent with the properties of BAL quasars at lower redshift. By fitting a model including an intrinsic absorption component, we obtain intrinsic column densities of $N_H = 2.8^{+0.6}_{-0.6} \times 10^{23} \text{cm}^{-2}$ and $N_H = 4.3^{+1.5}_{-1.5} \times 10^{23} \text{cm}^{-2}$, assuming a fixed $\Gamma$ of 1.9 and a free $\Gamma$, respectively. The intrinsic rest-frame 2–10 keV luminosity is derived as $(9.4–15.1) \times 10^{43} \text{erg s}^{-1}$, after correcting for lensing magnification ($\mu = 51.3$). The absorbed power-law model fitting indicates that J0439+1634 is the highest redshift obscured quasar with a direct measurement of the absorbing column density. The intrinsic high column density absorption can reduce the X-ray luminosity by a factor of $3–7$, which also indicates that this quasar could be a candidate intrinsically X-ray weak quasar.

Unified Astronomy Thesaurus concepts: Quasars (1319); X-ray quasars (1821); Early universe (435)

1. Introduction

Reionization-era quasars are direct probes of supermassive black hole (SMBH) and massive galaxy assembly in the early universe. Recent successful high-redshift quasar surveys provide a large sample of new reionization-era quasars (e.g., Venemans et al. 2015; Bañados et al. 2018; Matsuoka et al. 2019; Reed et al. 2019; Wang et al. 2019; Yang et al. 2019b). Near-infrared (NIR) spectroscopy of these quasars has revealed the existence of billion solar mass BHs within the first Gyr after the Big Bang and has also suggested that these SMBHs are accreting close to the Eddington limit (e.g., Shen et al. 2019; Schindler et al. 2020; Yang et al. 2021). X-ray emission from high-redshift quasars probes the conditions in the innermost regions of their accretion-disk corona, and thus provides information about how these early SMBHs are fed.

There have been substantial efforts to conduct multi-wavelength observations of the most distant quasars; their properties in the optical, NIR, to (sub)millimeter bands have been well characterized. However, studies of the X-ray properties of these systems are still limited. There are $\sim$30 quasars detected in X-rays at $z > 6$ (e.g., Shemmer et al. 2006; Moretti et al. 2014; Page et al. 2014; Ai et al. 2017; Gallerani et al. 2017; Nanni et al. 2017, 2018; Bañados et al. 2018; Connor et al. 2019, 2020; Vito et al. 2019; Wang et al. 2021) among more than 200 quasars known at this redshift, and only seven at $z > 6.5$ with X-ray detections (e.g., Page et al. 2014; Bañados et al. 2018; Vito et al. 2019; Connor et al. 2020; Pons et al. 2020; Wang et al. 2021). Due to their extreme distances, the observed X-ray emission of these quasars is very faint, and only four $z > 6$ quasars are detected with more than 100 net counts (Page et al. 2014; Ai et al. 2017; Nanni et al. 2017; Vito et al. 2019), which limits detailed investigations of their X-ray spectral properties and any correlations with other quasar properties. Recent studies of high-redshift quasars have mostly focused on their average X-ray properties. In addition, all previous X-ray observations have been obtained only for the most intrinsically luminous quasars, probing only the most massive SMBHs.

Quasar J0439+1634 at $z = 6.5188$ is the first known gravitationally lensed quasar at $z > 5$ and the brightest quasar known at this redshift at rest-frame UV/optical-to far-infrared wavelengths due to lensing. The high lensing magnification ($\mu = 51.3$, Fan et al. 2019) makes J0439+1634 an ideal target for the study of X-ray emission from a reionization-era quasar.
that is intrinsically less luminous. In addition, this quasar is also a broad absorption line (BAL) quasar (Yang et al. 2021). BAL quasars have been suggested to be highly absorbed in the soft X-ray band and are generally X-ray weak in observations of low-redshift quasars; no such studies of high-redshift BAL quasars have been carried out, due to their faint X-ray emission. In this work, we report XMM-Newton observations of J0439+1634. We investigated its X-ray properties through spectral analysis and compare it with other high-redshift and low-redshift quasar populations. All results below refer to a ΛCDM cosmology with parameters ΩΛ = 0.7, Ωm = 0.3, and h = 0.7.

2. XMM-Newton Observations and Data Reduction

J0439+1634 was observed with XMM-Newton on 2020 August 24 for a total observing time of 130 ks (Program ID 86320). The European Photon Imaging Camera (EPIC) was operated in full-frame mode, with thin filters. The EPIC data have been processed with XMM-Newton Science Analysis Software (SAS) v19.1.0 following the standard data analysis threads.10 We filtered out time periods with high particle backgrounds by limiting the count-rate thresholds to <0.4 cts s⁻¹ in the 10 < E < 12 keV band light curves for the pn camera and <0.35 cts s⁻¹ in the E > 10 keV band for the MOS cameras. We only considered events with patterns 0–4 and 0–12 for the scientific analysis for the pn and MOS cameras, respectively. We created images and extracted spectra, response matrices, and ancillary files using the evselect, backscale, rmfgen, and arfgen tools. For spectral extraction, we extracted the counts from the three cameras separately. We extracted counts from circular regions centered at the optical position of the quasar with a radius of 12″, and we selected an object-free nearby circular regions with a 60″ radius for the background. 25 times larger than the target extraction area. We also group the spectra using specgroup with a minimum number of counts of one per bin, which will be used for spectral fitting. The total effective exposure times are 76.9 ks, 118.7 ks, and 118.6 ks for pn, MOS1, and MOS2, respectively. We merged the images from the three EPIC cameras using the merge tool, in three different observed bands, from soft to hard: 0.2–0.5 keV (rest-frame 1.5–3.8 keV), 0.5–2 keV (rest-frame 3.8–15 keV), and 2–10 keV (rest-frame 15–75 keV), as shown in Figure 1.

3. Results

3.1. X-Ray Detection and Spectra

An X-ray source is clearly detected at a position consistent with the optical coordinates of J0439+1634 (i.e., J043947.08+163415.7, Fan et al. 2019) in 0.5–10 keV in all three EPIC cameras, while it is not detected in the 0.2–0.5 keV band (Figure 1) using edetect_chain. The X-ray source is located 0.7′–0.9′ away from the optical coordinates in the pn and MOS1 images and 1.5′ away in MOS2 (with a 1σ uncertainty of ∼0.8′ in all three images), based on edetect_chain. The X-ray and optical coordinates overlap within the spatial resolution in the all three cameras. The target is detected with false source probabilities of less than 3 × 10⁻³ by all three cameras, derived using the binomial no-source probability (Broos et al. 2007; Weisskopf et al. 2007; Vito et al. 2019). In this lensing system, the foreground lensing galaxy is 0.75 to the East from the quasar, and the separation between the two lensed quasar images is about 0.72 in the HST image (Fan et al. 2019). Thus, the entire system is unresolved in the EPIC observations. HST and ground-based observations show that the foreground lensing galaxy is a faint, low-mass galaxy (dynamical mass ∼2 × 10¹⁰ M⊙ from the best-fit lensing model) without any hints of AGN activity (Fan et al. 2019; Yue et al. 2021). We therefore consider that all X-ray emission detected here is from the quasar. The number of total net counts from the three cameras is 358±19 in the 0.2–10 keV band. There are no other source detected within 50″ of the quasar.

Figure 2 shows the spectra from the three EPIC cameras. There is a drop in the counts from ∼0.8 keV to the soft end, which does not appear in other EPIC spectra of z > 6 quasars (Moretti et al. 2014; Page et al. 2014; Ai et al. 2017; Nanni et al. 2017) and potentially indicates strong absorption in the rest-frame soft band. We apply spectral fitting to the data that have been grouped into one count per bin using XSPEC with the Cash statistic (Cash 1979). When fitting, we fix the Galactic HI column density to N_H of 1.46 × 10²¹ cm⁻², derived based on the Leiden/Argentine/Bonn map (Kalberla et al. 2005).

We first fit the data with a power law plus Galactic absorption model (phabs*zpowerlw in XSPEC) and obtain a best-fit

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10 https://www.cosmos.esa.int/web/xmm-newton/sas-threads
and the data have been rebinned for plotting purpose here using XSPEC.

Figure 2. The XMM-Newton EPIC spectra of quasar J0439+1634. The upper panel shows the observed counts from the pn camera (black), MOS1 (red), and MOS2 (blue). The solid lines represent the spectral fits using an absorbed power-law model consistent of a power-law model, Galactic absorption, and quasar intrinsic absorption, with the assumption of an intrinsic photon index of \( \Gamma = 1.9 \) (Nanni et al. 2017). The dashed lines denote the spectral fits with a free \( \Gamma \) (see details of spectral fitting in Section 3.2). The bottom panel shows the residuals, with filled circles for the fixed-\( \Gamma \) fits and open squares for the \( \Gamma \)-free fits. The spectral fitting is applied to the spectra grouped to one count per bin, and the data have been rebinned for plotting purpose here using XSPEC.

Figure 3. Optical-to-X-ray spectral slope \( \alpha_{\text{ox}} \) vs. 2500 Å luminosity. Our measurement from J0439+1634 is shown in red, compared with values from quasar samples at similar redshift and lower redshift. The two red triangles are the \( \alpha_{\text{ox}} \) values accounting for the intrinsic absorption based on \( \Gamma \)-fixed (lower value) and \( \Gamma \)-free (upper value) model fitting. For high-redshift comparisons, we show individual measurements at \( 5.5 < z < 6 \) from Nanni et al. (2017), \( 6 < z < 6.5 \) from Vito et al. (2019), and \( z > 6.5 \) from Wang et al. (2021). We also include the new upper limit (black square) from a \( z = 6.515 \) quasar in Vito et al. (2021). The low-redshift SDSS quasar sample (gray dots) is generated based on the \( L_{2-10 \text{ keV}} \) from Timlin et al. (2020) and \( L_{2500 \text{ Å}} \) from Wang et al. (2021). The relations in the literature are plotted as black solid line (Nanni et al. 2017), gray solid line (Timlin et al. 2020), dashed line (Lusso & Risaliti 2017), dotted line (Just et al. 2007), and dashed–dotted line (Martocchia et al. 2017). The blue squares are the measurements for low-redshift BAL quasars from Luo et al. (2014). Among the sample in Luo et al. (2014), the quasar PG0043+03 is not detected, and it has been updated in Kollatschny et al. (2016) (blue filled circle). All measurements have been corrected to the cosmology adopted in this work.

J0439+1634 is far below the \( \alpha_{\text{ox}}-L_{2500 \text{ Å}} \) relations derived from low redshift and \( z \gtrsim 6 \) quasar samples in the literature (e.g., Just et al. 2007; Lusso & Risaliti 2017; Martocchia et al. 2017; Nanni et al. 2017; Timlin et al. 2020; Bisogni et al. 2021), as shown in Figure 3, suggesting a much fainter X-ray luminosity from this quasar relative to its UV luminosity. Using the intrinsic continuum luminosity \( L_{2500 \text{ Å}} \) of J0439+1634 and applying the existing relation between \( \alpha_{\text{ox}} \) and 2500 Å luminosity density from Nanni et al. (2017), we find that the expected rest-frame 2 keV luminosity should be 18 times higher than the observation. J0439+1634 is one of the highest redshift X-ray weak quasars and the only such object with high-quality X-ray spectroscopy at \( z \gtrsim 6.5 \), during the epoch of reionization. There are some other high-redshift quasars without X-ray detections, which could thus be candidates of X-ray weak quasars. In particular, Vito et al. (2021) report an upper limit on X-ray emission from a \( z = 6.515 \) quasar and suggest that its X-ray emission is \( \gtrsim 6 \) times weaker than the expectation based on UV luminosity.

3.2. High Obscuration

As discussed above, we obtain a flat spectral slope and faint X-ray luminosity for J0439+1634. To further investigate the nature of its weak X-ray emission, we perform additional spectral fits with models including more components. We apply spectral fitting using an absorbed power-law model (\texttt{phabs*zphabs*zpowerlw} in XSPEC) to estimate the...
quasar intrinsic absorption, accounting for the same fixed Galactic absorption as above. We first assume an intrinsic photon index of $\Gamma = 1.9$, which is the average value derived from a $z \sim 6$ quasar sample (Nanni et al. 2017) and also consistent with that of quasars at lower redshift (Vignali et al. 2005; Just et al. 2007). We find an intrinsic absorption of $N_{\text{HI}}(z) = 2.8_{-0.4}^{+0.7} \times 10^{23}$ cm$^{-2}$ with a C statistic of 199.34 for $200^6$ of freedom, resulting in an intrinsic rest-frame 2–10 keV band luminosity of $9.4_{-2.6}^{+5.0} \times 10^{43}$ erg s$^{-1}$ (corrected for magnification). The Galactic absorption-corrected flux in the 0.5–2 keV band is $4.9_{-3.1}^{+0.3} \times 10^{-15}$ erg s$^{-1}$ cm$^{-2}$, and the flux in the 2–10 keV band is $11.1_{-0.7}^{+0.5} \times 10^{-15}$ erg s$^{-1}$ cm$^{-2}$. The best-fit is shown in Figure 2. As a test, we also fit $\Gamma$ to 2.13, which is the average photon index from sources with $>30$ counts in the $z > 6$ quasar sample in Vito et al. (2019). This value is also similar to the average slope in Vito et al. (2019) for sources with $<30$ counts and to the average value for $z > 6$ quasars in Wang et al. (2021). Both of these two works suggest an increased photon index for quasars at $z > 6$. With this $\Gamma$ value, we obtain a $N_{\text{HI}}(z) = 3.9_{-0.7}^{+0.5} \times 10^{23}$ cm$^{-2}$ (C-stat/degrees of freedom = 197.99/240), consistent with the best-fit results using $\Gamma = 1.9$ within $1\sigma$ uncertainty.

We then perform spectral fitting using the same absorbed power-law model but with a free $\Gamma$. In this case, we find a $\Gamma$ of $2.21_{-0.26}^{+0.30}$, with relatively large uncertainty. The best-fit intrinsic absorption, $N_{\text{HI}}(z) = 4.3_{-1.5}^{+0.3} \times 10^{23}$ cm$^{-2}$, is also more loosely constrained than in the $\Gamma$-fixed cases. We then obtain an intrinsic rest-frame 2–10 keV band luminosity of $15.1_{-0.9}^{+0.3} \times 10^{43}$ erg s$^{-1}$. All fitting results show a high intrinsic column density, $N_{\text{HI}} > 2 \times 10^{23}$ cm$^{-2}$, which suggests that J0439+1634 is a highly obscured quasar but not yet Compton-thick. This is the highest redshift obscured quasar with direct measurement of the absorbing column density. These fitting results are summarized in Table 1. If we re-compute the rest-frame 2 keV luminosity taking into account both Galactic absorption and intrinsic absorption based on the best fits of absorbed power-law models, we find that the intrinsic 2 keV luminosity increases by $\sim 3$ times for the fixed $\Gamma = 1.9$ fit and $\sim 7$ times for the $\Gamma$-free fit.

Since J0439+1634 is a gravitationally lensed quasar, we also consider the foreground lensing galaxy as a possible source responsible for the absorption. We use the same absorbed power-law model and fix the redshift of zphabs component to 0.67, the best-fit redshift for the foreground lensing galaxy (Fan et al. 2019). In this case, we find a column density of $N_{\text{HI}} = 5.8_{-1.3}^{+1.5} \times 10^{21}$ cm$^{-2}$ with a fixed $\Gamma = 1.9$, and $N_{\text{HI}} = 6.2_{-2.3}^{+2.5} \times 10^{21}$ cm$^{-2}$ with $\Gamma$-free fitting. The lensing galaxy absorption can reduce the quasar intrinsic X-ray luminosity by a factor of $\sim 3$. Gaseous systems with such high column density will result in damped Ly$\alpha$ (DLA) absorptions in the quasar spectrum. Due to the low redshift of the lensing galaxy and the high redshift of the quasar, the absorption features, if they exist, would be at wavelengths far bluer than the quasar Lyman break, preventing direct detection of the DLA in the quasar spectrum. However, if a DLA system with this $N_{\text{HI}}$ exists, we expect to see significant change in the quasar UV colors due to dust reddening: $\sim 1$ mag extinction in the optical $z$ band, assuming the mean dust-to-gas ratio of $z \sim 0.7$ Mg II absorbers (Ménard & Chelouche 2009), which is a tracer of low-redshift DLAs. However, the rest-frame UV spectrum of J0439+1634, after correcting for the Galactic extinction, has a slope of $\alpha_L = -1.41$, bluer than the mean ($-1.2$) of $z \geq 6.5$ quasars (Yang et al. 2021). Therefore, it is not likely that there is a high column density absorbing system from the foreground lensing galaxy.

To consider the possible presence of an iron emission line at 6.4 keV, we also add to the absorbed power-law model a Gaussian line component. We fix the line rest-frame energy to 6.4 keV and line width to 10 eV (e.g., Nanni et al. 2018). All the parameters of the other components are fixed to the best-fit values from the $\Gamma$-fixed ($\Gamma = 1.9$) or $\Gamma$-free fitting described above. We obtain an upper limit on line equivalent width of $EW < 142$ eV ($\Gamma$-fixed) and $EW < 121$ eV ($\Gamma$-free).

### 3.3. An X-ray Weak BAL Quasar

BAL quasars are known as a subclass of quasars seen with broad absorption features blueward of broad emission lines, which are thought to be evidence of high-velocity outflows from the accretion disk. X-ray observations of low-redshift BAL quasars show that they appear to be highly attenuated in the soft X-rays as the outflowing material could produce strong continuous absorption in X-rays. Previous studies of low-redshift BAL quasar samples report lower 2 keV luminosity (e.g., Brandt et al. 2000; Gibson et al. 2009; Luo et al. 2013, 2014; Liu et al. 2018) than the expectation from the $\alpha_{GW} = \alpha_{GW}^{2500}$ relation. Some works suggest that X-ray absorption is the primary cause of soft X-ray weakness in BAL quasars (e.g., Brandt et al. 2000; Gallagher et al. 2002), while there are also BAL quasars found as intrinsically X-ray weak quasars, for which the weakness is not entirely caused by absorption (e.g., Gibson et al. 2008; Luo et al. 2014; Liu et al. 2018).
The presence of BAL features in the UV/optical spectra of J0439+1634 (Yang et al. 2021) and its X-ray weakness relative to the $\alpha_{\text{ox}}-L_{2500 \text{ Å}}$ relation raise questions as to whether its X-ray weakness is related to its BAL properties: is it entirely due to absorption or is the quasar also intrinsically X-ray weak? As shown in Figure 3, J0439+1634 has X-ray properties different from the majority of high-redshift and lower-redshift quasars, but it has comparable X-ray weakness at 2 keV to that of BAL quasars. The drop at <0.8 keV (~6 keV in the rest frame) shown in Figure 2 and the flat $\Gamma$ from our power-law fitting indicate absorption in the rest-frame soft band. The spectral fitting including absorption components shows a high column density, which suggests an absorption-caused weakness in the soft X-ray. As shown in Figure 3, correcting the X-ray spectra for intrinsic absorption based on the best fits of the absorbed power-law model will only increase the 2 keV luminosity by a factor of 3 and thus yield an $\alpha_{\text{ox}}$ of ~1.88 if assuming $\Gamma = 1.9$. If using the $\Gamma$-free fit, although with larger uncertainties, the correction can result in an $\alpha_{\text{ox}}$ of ~1.76, close to the expected value ($\alpha_{\text{ox}} = -1.58$) from the existing $\alpha_{\text{ox}}-L_{2500 \text{ Å}}$ relation. Therefore, if J0439 intrinsically has a steep $\Gamma$, the absorption is a sufficient explanation for its X-ray weakness. Otherwise, there is a possibility that the intrinsic absorption is not entirely responsible for the X-ray weakness, and J0439+1634 is a candidate of intrinsically X-ray weak BAL quasar.

Intrinsically X-ray weak quasars have been suggested to be rare. Gibson et al. (2008) find that the fraction of sources that are under luminous by a factor of 10 is $\lesssim 2\%$ among optically selected SDSS DR5 quasars. However, Liu et al. (2018) suggest a higher fraction (6%–23%) of intrinsically X-ray weak quasars among BAL population. There are studies suggesting that BAL winds-related mechanisms could weaken or quench coronal X-ray emission (e.g., Proga 2005; Luo et al. 2013). A very high accretion rate is also discussed as one possible reason for the intrinsic X-ray weakness of BAL quasars (e.g., Leighly et al. 2007; Luo et al. 2014). Laurenti et al. (2021) recently report a high X-ray weak fraction (~30%) among high-Eddington ratio AGN. For J0439+1634, its NIR spectral fitting shows an Eddington ratio ($L_{\text{bol}}/L_{\text{Edd}}$) of 0.6 ± 0.1 ($L_{\text{bol}} = (4.6 \pm 0.1) \times 10^{46}$ erg s$^{-1}$, $L_{\text{Edd}} = (6.3 \pm 0.2) \times 10^{48}$ erg s$^{-1}$), which is not high compared to the median value (i.e., 0.85; a mean of 1.08) for $z \sim 6.5$ quasars (Yang et al. 2021). Another possible interpretation is that weak X-ray emission would not significantly ionize winds and thus intrinsically X-ray weak quasars have larger covering factors and are preferentially observed with BAL features (Luo et al. 2018). On the other hand, recent work by Nardini et al. (2019) find a large fraction (~25%) of X-ray weak quasars in a sample of luminous blue radio-quiet, non-BAL quasars at $z \sim 3$, with no clear evidence of absorption.

Previous observations also show that low-ionization BAL quasars (LoBALs), which have BAL feature on low-ionization lines, such as Al III and Mg II, have lower 2 keV luminosity and higher absorbing column densities than high-ionization BAL quasars (HiBALs) (e.g., Green et al. 2001; Gallagher et al. 2002). Sameer et al. (2019) report different values of mean $\Delta\alpha_{\text{ox}}$ for HiBALs ($\Delta\alpha_{\text{ox,mean}} = -0.303$) and LoBALs ($\Delta\alpha_{\text{ox,mean}} = -0.587$). For J0439+1634, there is a weak Mg II absorption feature in its NIR spectrum, which is at the velocity corresponding to the strong C IV BAL absorption trough. Therefore it has low-ionization associated absorption, but with small velocity widths (<2000 km s$^{-1}$) of the Mg II absorption compared to typical LoBALs. J0439+1634 has a $\Delta\alpha_{\text{ox}}$ of ~0.45, between the average HiBAL and LoBAL values.

4. Summary

We present XMM-Newton observations of a gravitationally lensed BAL quasar J0439+1634 at $z = 6.5$. With 130 ks of total observation time, the quasar is significantly detected with 358 net counts. Power-law-only spectral fitting of the EPIC spectra yields a flat photon index ($\Gamma = 1.45_{-0.10}^{+0.05}$). This quasar is X-ray weak at rest-frame 2 keV relative to the expectation from the average $\alpha_{\text{ox}}-L_{2500 \text{ Å}}$ relation. It is underluminous by a factor of 18 in X-ray, consistent with the behavior of BAL quasars observed at lower redshift. Spectral fitting using an absorbed power-law model suggests a high intrinsic column density, with best-fit values of $N_{\text{H}} > 2 \times 10^{23}$ cm$^{-2}$ based on different $\Gamma$. J0439+1634 is therefore the first highly obscured quasar with X-ray spectroscopy in the reionization epoch, benefiting from its high lensing magnification. Accounting for a range of intrinsic absorption by assuming different $\Gamma$, we find that the 2 keV X-ray luminosity can increase by a factor of 3–7. The possible remaining X-ray weakness indicates that J0439+1634 could be a candidate of intrinsically X-ray weak quasar.

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Software: XMM-Newton Science Analysis Software (SAS) v19.1.0 (Gabriel et al. 2004), XSPEC (Arnaud 1996).

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