Characteristics of transport in electron internal transport barriers and in the vicinity of rational surfaces in the Large Helical Device

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Characteristics of transport in electron internal transport barriers (ITB) and in the vicinity of a rational surface with a magnetic island are studied with transient transport analysis as well as with steady state transport analysis. Associated with the transition of the radial electric field from a small negative value (ion-root) to a large positive value (electron-root), an electron ITB appears in the Large Helical Device [M. Fujiwara et al., Nucl. Fusion 41, 1355 (2001)], when the heating power of the electron cyclotron heating exceeds a power threshold. Transport analysis shows that both the standard electron thermal diffusivity, $\chi_e$, and the incremental electron thermal diffusivity, $\chi_{inc}$ (the derivative of normalized heat flux to temperature gradient, equivalent to heat pulse $\chi_e$), are reduced significantly (a factor 5–10) in the ITB. The $\chi_{inc}$ is much lower than the $\chi_e$ by a factor of 3 just after the transition, while $\chi_{inc}$ is comparable to or even higher than $\chi_e$ before the transition, which results in the improvement of electron transport with increasing power in the ITB, in contrast to its degradation outside the ITB. In other experiments without an ITB, a significant reduction (by one order of magnitude) of $\chi_{inc}$ is observed at the O-point of the magnetic island produced near the plasma edge using error field coils. This observation gives significant insight into the mechanism of transport improvement near the rational surface and implies that the magnetic island serves as a poloidally asymmetric transport barrier. Therefore the radial heat flux near the rational surface is focused at the X-point region, and that may be the mechanism to induce an ITB near a rational surface. © 2004 American Institute of Physics. [DOI: 10.1063/1.1688787]

I. INTRODUCTION

Recently electron thermal transport barriers have been observed with dominant electron cyclotron heating in plasmas with negative magnetic field shear in many tokamaks. In these experiments, the radial profiles of rotational trans-

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clotron heating and transient transport analysis using modulated electron discussed based on the transport analysis with power balance when the collisionality becomes low enough for the clotron root. Limit of the electron temperature for the available heating sharp increase of the thermal diffusivity above the critical electron thermal diffusivity, incremental electron thermal diffusivity, normalized by gyro-Bohm scaling, paper, a quantitative study for rational surfaces on the electron heat transport and the formation of an electron ITB has not been investigated. In this study for this temperature dependence of thermal diffusivity has not been done. Although the foot point of electron ITB was observed to locate at the \( q = 2 \) surface, where the \( m/n = 2/1 \) magnetic island sometimes appears, in Large Helical Device (LHD) plasmas, \(^{17,18}\) the role of magnetic islands and rational surfaces on the electron heat transport and the formation of an electron ITB has not been investigated. In this paper, a quantitative study for (1) the electron thermal diffusivity normalized by gyro-Bohm scaling, \( \chi_e / (T_e^{3/2}/B^2) \), (2) incremental \( \chi_e \), (3) temperature dependence of \( \chi_e \) is discussed based on the transport analysis with power balance and transient transport analysis using modulated electron cyclotron heating (ECH) and cold pulse propagation. The effect of a magnetic island on the formation of ITB is also discussed.

II. CHARACTERISTICS OF ELECTRON INTERNAL TRANSPORT BARRIER (ITB)

The Large Helical Device (LHD) is a toroidal helical magnetic device (Heliotron device) with a major radius of \( R_{\text{ax}} = 3.5\text{–}4.1 \text{ m} \), an average minor radius of \( 0.6 \text{ m} \), and a magnetic field \( B = 0.5\text{–}3 \text{ T} \).\(^{19}\) The radial profiles of \( E_r \) are derived from poloidal flow velocity \( v_\theta \) measured with charge exchange spectroscopy (CXS) at the midplane in LHD by using a charge exchange reaction between fully ionized neon (0.5%–1%) impurity and atomic hydrogen from the neutral beam.\(^{20}\) The contribution of the toroidal flow velocity and pressure gradient of neon to the radial electric field are negligibly small (0.3 kV/m and 0.1 kV/m, respectively), because of the damping of toroidal flow and the higher charge of the measured impurity. Three neutral beams with a beam energy of 130–145 keV and an absorbed power of 1.3 MW are injected from 0.3 to 3.3 s to initiate and sustain the plasma and ECH in the second harmonic resonance with a frequency of 82.4 GHz and 84 GHz and with the power of 0.63–0.88 MW is added for \( t = 1.7\text{–}2.2 \text{ s} \). The focal point of the ECH (Ref. 21) is tuned exactly at the magnetic axis \( R_{\text{ax}} \) of 3.8 m (major radius in vacuum \( R_{\text{ax}}^* \) of 3.75 m), which is measured with a soft x-ray CCD camera.\(^{22}\) The transition from ion root to electron root is observed near the plasma center with localized ECH, when the plasma is well into the collisionless regime (\( v_s^* < 0.3 \)) by decreasing the electron density. As seen in Fig. 1, the outer half of the plasma is in the electron root because of the collisionality of the plasma is low enough in this region even without ECH. When the plasma collisionality is low enough (\( v_s^* = 0.2 \) at \( \rho = 0.27 \)) for the transition of ion root to electron root, the formation of an electron internal transport barrier is observed for the plasma with ECH power above the threshold.\(^{17,23}\) The radial profiles of radial electric field measured are well predicted by the neoclassical (NC) prediction using the diffusion coefficient calculation by the Monte Carlo method (DCOM) code\(^{24,25}\) as shown in Fig. 1.
A normalized electron temperature gradient $R/L_{T_e}$, where $R$ is the major radius and $L_{T_e}$ is the scale length of electron temperature gradient, is evaluated for the plasmas with various ECH power normalized by the electron density, $P_{\text{ECH}}/n_e$ and radial profiles of (b) electron temperature in LHD ($\#32940$, $\#32957$, $\#32958$ averaged with four time slices) and (c) electron thermal diffusivity normalized by $T_e^{3/2}/B^2$.

FIG. 2. (a) The peak value of normalized electron temperature gradient $R/L_{T_e}$ inside the ITB region $\rho<0.3$ as a function of ECH power normalized by electron density, $P_{\text{ECH}}/n_e$ and radial profiles of (b) electron temperature in LHD ($\#32940$, $\#32957$, $\#32958$ averaged with four time slices) and (c) electron thermal diffusivity normalized by $T_e^{3/2}/B^2$.

III._INCREMENTAL ELECTRON THERMAL DIFFUSIVITY INSIDE THE ITB

The formation of the electron internal transport barrier is due to the bifurcation phenomena of the electron heat transport, which is clearly demonstrated in the relation between the electron heat flux normalized by density and temperature gradient as seen in Fig. 3(a). At the transition from an L-mode plasma to the ITB plasma, the $T_e$ gradient near the plasma center ($\rho=0.15$) jumps from 3.6 keV/m to 13 keV/m even for the same magnitude of heat flux (reduction of $\chi_e$ by a factor of 4). After the transition to an ITB plasma, $\chi_e$ decreases up to 3 m$^2$/s (reduction of $\chi_e$ by a factor of 8). The incremental thermal diffusivity, $\chi_{e,\text{inc}}$, in the ITB plasma near the plasma center ($\rho=0.15$), is 1 m$^2$/s, which is lower than that for the plasma without ITB by a factor of 20. The incremental thermal diffusivity is also estimated from the time lag of the heat pulses, which is given from the phase delay between the Fourier transforms of $\delta T_e$ and of the modulated ECH power. The incremental heat diffusivity is given by the propagation velocity of the heat pulse (the slope of time lag to minor radius of the plasma). The heat diffusivity in the ITB plasma decreases by a factor of 5 compared to that in the no-ITB plasma (without base ECH) and it is much smaller than that outside the ITB (see the data of off-axis MECH experiment) by one order of magnitude as seen in Fig. 3(b), which is consistent with the results from power balance.

Another approach to estimate the incremental electron thermal diffusivity is cold pulse propagation induced by a tracer encapsulated solid pellet (TESPEL) (Ref. 34) ablated near the plasma edge. The thermal diffusivity can be derived with transient transport analysis using the perturbed heat transport equation written as

cause of the lower energy of NBI (30–40 keV).29 No increase of ion temperature in LHD may be because the growth rate of the long wavelength turbulence contributing the ion heat transport is enhanced due to the increase of the ratio of $T_e/T_i$. (The degradation of ion transport associated with the increase of $T_e/T_i$ ratio are often observed.)

$\rho<0.3$. When the ECH power exceeds the power threshold, the central $T_e$ increases significantly and a large temperature gradient appears near the plasma center at $\rho<0.3$, while there is not much change observed in the profiles of electron density, rotational transform $\ell$ and ion temperature. The electron temperature profile becomes more peaked after the formation of the electron ITB and the central electron temperature strongly depends on the ECH power. A smoothed curve with a function of $c_1+c_2\rho^2+c_3\exp(-\rho^2/c_4^2)$, for the transport analysis, where $c_1,c_2,c_3$ are fitting parameters, are also plotted in Fig. 2(b). When the transport in the plasma is gyro-reduced Bohm transport, the thermal diffusivity can be expressed as $\chi_{B,\rho}\rho^*\ell$, where $\chi_{B,\rho}$ is the thermal diffusivity in Bohm scaling and $\rho^*$ is a normalized gyro-radius.26 Therefore the thermal diffusivity is proportional to $T_e^{3/2}/B^2$ when the transport is dominated by the gyro-reduced Bohm transport. In order to evaluate the improvement of electron transport in the plasma with an electron ITB, the electron thermal diffusivity is normalized by the gyro-reduced Bohm scaling of $T_e^{3/2}/B^2$ as shown in Fig. 2(c). The normalized thermal diffusivity decreased towards the plasma center and reaches low levels close to 0.1 m$^2$/s$^{-1}$(keV$^{3/2}$/T$^{-2}$) in the LHD electron ITB. This is in contrast to the radial profile of normalized electron thermal diffusivity observed in JT60U, where the minimum normalized thermal diffusivity is located at one-third of the plasma minor radius ($\rho=0.35$).27,28

Although there is a significant observed increase of $T_e$, no increase of ion temperature is observed [Fig. 2(b)], which is in contrast to the formation of both ion and electron transport barrier in ECH-driven ITB plasmas in CHS, where the heating power to ions is comparable to that to electrons be-
FIG. 3. (a) Electron heat flux normalized by electron density as a function of the electron temperature gradient and the radial profile of (b) the time lag of the heat propagation in the plasma with 300 kW modulated electron cyclotron heating (MECH) with a frequency of 20 Hz and (c) electron thermal diffusivity derived from the cold pulse propagation. The triangles in (b) represent the location of the deposition peak of ECH.

The temperature dependence of thermal diffusivity \( \alpha = (T_e/\chi_e)(d\chi_e/dT_e) \) is an important parameter to study the plasma with ITB. In the L-mode, the parameter \( \alpha \) is positive and typically it is 1.5, which is predicted from the gyro-reduced Bohm scaling and is also consistent with the power degradation of the global energy confinement in LHD of \( \tau_E \propto (P/n)^{-0.6} \). If the parameter \( \alpha \) stays positive, the formation of an ITB would never occur, because spontaneous increase of electron temperature during the formation of an ITB requires a negative \( \alpha \). Therefore \( \alpha \) would be the most reasonable parameter to confirm whether the plasma is in the L-mode regime or the ITB regime, especially near the boundary between the L-mode and ITB mode in space and in time, and therefore negative \( \alpha \) can be a definition of an ITB plasma. The transient transport analysis with a cold pulse indicates the existence of \( \chi_1 \), due to the strong temperature dependence of electron thermal diffusivity. The temperature dependence is investigated by power balance analysis and the cold pulse experiment. When the electron thermal diffusivity is proportional to the temperature to the power of \( \alpha \) as \( T_e^{\alpha} \), \( \alpha \) can be derived from \( \chi_1 \) as \( \alpha = \chi_1/\chi_e \).

As shown in Fig. 4, the temperature dependence parameter in the core region is even worse (\( \alpha = 2.7 \)) than that near the plasma edge before the transition to ITB. However, it changes its sign from positive to negative (from 2.7 to -1.5) associated with the formation of an ITB. Outside the ITB region, the positive temperature dependence of electron thermal diffusivity (\( \alpha = 1.6 \)) is observed, which is consistent with the temperature dependence (\( \alpha = 1.5 \)) of the gyro-reduced Bohm transport. The change of sign of the temperature dependence of the electron thermal diffusivity is a key to the formation of the ITB. This negative temperature dependence of thermal diffusivity \( \alpha < 0 \), is also consistent with the significant reduction of \( \chi_e^{\text{inc}} \) below \( \chi_e \) inside the ITB as seen in Fig. 3(a), because when \( \chi_e^{\text{inc}} < \chi_e \) inside the ITB, the \( \chi_e \) decreases as the electron temperature is increased with the increase of normalized heat flux.

It should be noted that the change of sign of \( \alpha \) from positive to negative is a key to electron ITB formation. If the \( \alpha \) remains positive there should be no increase of electron temperature after the onset of electron ITB formation, because the radial heat flux tends to decrease after the ITB formation. The temperature gradient dependence parameter of thermal diffusivity \( \beta = (\nabla T_e/\chi_e)(d\chi_e/d\nabla T_e) \) is differ-
The temperature dependence derived from the cold pulse propagation experiment.

FIG. 4. (a) Electron thermal diffusivity as a function of electron temperature and (b) radial profile of the temperature dependence derived from the cold pulse propagation experiment.

V. TRANSPORT NEAR THE RATIONAL SURFACE

It is widely observed that the foot point of the ITB is related to the rational surface \((q = 2)\) both in tokamak and helical plasmas. In LHD, the radial profiles of electron temperature strongly depends on the radial profile of the rotational transform controlled by the toroidal plasma current driven by a neutral beam. When the rational surface is located near the plasma axis \((\rho = 0.3)\), the foot point is located at the \(q = 2\) surface, while there is no clear foot point observed when there is no \(q = 2\) surface inside the plasma. These observation suggests the importance of the rational surface for the formation of an ITB. In order to study the role of the rational surface and why a magnetic island often appears at the rational surface, the heat transport near the magnetic island is investigated using cold pulse propagation. Figure 5 shows the time evolution of the cold pulse, near the \(1/1\) magnetic island and the \(1/2\) magnetic island. The cold pulse is produced at \(\rho = 0.75\) by injecting the TESPEL to the X-point of the magnetic island.36 The cold pulse propagation starts at the boundary of the magnetic island (O-point) and the cold pulse propagates both toward the plasma center (outside of magnetic island) and towards the plasma edge (inside the magnetic island). The details of the topology of the magnetic island and of the TESPEL injection and ECE measurements are described in a previous paper.37 It should be noted that this magnetic island is a stationary island and does not rotate, which makes this experiment technically much easier than the case when the magnetic island is rotating as in tokamak plasmas. The propagation inside the magnetic island is much slower than that outside the magnetic island, which indicates that the thermal diffusivity inside the magnetic island is significantly reduced. The cold pulse is degraded when the pulse reaches the ITB as shown in Fig. 5(b). The cold pulse propagates much slower inside the ITB than that outside the ITB, which indicates the lower thermal diffusivity inside the ITB. It should be noted that Fig. 5(a) shows the cold pulse propagation from the boundary to the center of O-point of magnetic island, Fig. 5(b) shows the cold pulse propagation across the magnetic island, which is located at the foot point of the ITB \((\rho = 0.3)\).

Figure 6 shows time delay, which is defined as the time differences between the time of TESPEL injection and the peak of the pulse and indicated with closed circles in Fig. 5. The size of the \(1/1\) magnetic island in Fig. 6(a) is evaluated from the temperature profiles measured with ECE. On the other hand, the size of the \(1/2\) magnetic island in Fig. 6(b) is too small to be measured, because the ECE measurement locates near the X-point of the magnetic island. Therefore the size of the \(1/2\) magnetic island is estimated from the electron temperature profile near the O-point of magnetic island measured with YAG Thomson scattering using mapping of flux surfaces. The time evolution of the electron temperature after the pellet injection is well reproduced by the diffusive model in the slab model. This is because the increase of density due to the TESPEL is small enough not to enhance the turbulence and change the transport which is in contrast to the experiment in Wendelstein VII-AS where a large pellet triggers MHD oscillations.38 However, the absolute value of the thermal diffusivity estimated here is uncertain due to the simplic-
The magnetic island does not rotate in contrast to the magnetic island itself even decreases the total stored kinetic energy, so the magnetic island does not contribute to the increase of plasma stored energy for the plasma when there is no ITB. It should be noted that although the magnetic island contributes to the formation of the ITB, it does not contribute to the improvement of transport in the ITB plasmas. (A high performance ITB plasma can be achieved without a magnetic island when there is enough heating power.) Although the foot of the model used for the analysis, because the structure of the magnetic island (the effect of the poloidal asymmetry) is not included in the analysis. The thermal diffusivity for the best fit to the experimental data is 0.3 m²/s (with an uncertainty of factor of 2) inside the magnetic island and 5.0 m²/s (with an uncertainty of factor of 4) outside of the magnetic island. There are jumps in the delay time at the boundary of magnetic island or near the rational surface [i = 1 in Fig. 6(a) and i = 1/2 in Fig. 6(b)]. This jump of time delay suggests the reduction of transport near the rational surface or at the boundary of the magnetic island.

The sheared poloidal flows and sheared radial electric field are observed at the boundaries of the magnetic island in LHD when the n/m = 1/1 magnetic island is produced by external perturbation coils, because the poloidal flow vanishes inside the static magnetic island.\(^5\) The \(E_r\), shear and the shearing rate given by the measurements is more than 0.2–0.4 MV/m² and \(10^3\) s\(^{-1}\) at the boundary of the magnetic island, which is comparable to the threshold value of \(dE_r/\partial r\) in a Heliotron configuration.\(^4\) Even when there is no current in the external perturbation coil, there are intrinsic magnetic islands at the \(q = 1\) and/or \(q = 2\) rational surfaces due to the error field depending on the plasma parameters.\(^8\),\(^12\) and this magnetic island does not rotate in contrast to the magnetic island driven by a tearing mode in a tokamak. Therefore the sheared radial electric field is expected to exist in the intrinsic magnetic island as well as in the magnetic island produced by an external coil current. One of the candidates of significant reduction of transport near the rational surface is the radial electric field shear which appears at the boundary of the magnetic island.

The electron heat transport is improved at the boundary of the magnetic island as well as inside the magnetic island and the magnetic island serves as a poloidally asymmetric transport barrier. Therefore the radial heat flux near the magnetic island is focused at the X-point region, and that may be the mechanism to induce an ITB near a magnetic island at a rational surface. The ITB plasma can be achieved without rational surfaces or a magnetic island. However, the ITB formation becomes easier (with lower ECH power) when there is a magnetic island in the plasma. In order to study the effect of a magnetic island on the formation of an ITB, external field coils are applied to control the size of the magnetic island.

Figure 7 shows the time evolution of the electron temperature for the various plasma radii at the formation of the ITB. When the external field is applied, which cancels the natural 2/1 island usually appears at the \(i = 1/2\) rational surface, the electron temperature increases after the onset of on-axis ECH and saturates within 15 ms, which is comparable to the energy confinement time of the plasma without an ITB. However when there is no canceling external magnetic field applied, the electron temperature keeps increasing over 100 ms after the onset of ECH and reaches 4 keV, which indicates the significant improvement of heat transport in the plasma with the ITB. This experiment demonstrates that the 2/1 magnetic island contributes to the formation of the ITB (reduces the power threshold for the transition to the ITB) and supports the hypothesis that the radial heat flux near the rational surface is focused at the X-point region when the magnetic island appears in the plasma. Since the flattening of the electron temperature inside the magnetic island itself even decreases the total stored kinetic energy, the magnetic island does not contribute to the increase of plasma stored energy for the plasma when there is no ITB. It should be noted that although the magnetic island contributes to the formation of the ITB, it does not contribute to the improvement of transport in the ITB plasmas. (A high performance ITB plasma can be achieved without a magnetic island when there is enough heating power.)

![FIG. 6. (a) Radial profiles of time to peak of cold pulse propagation near the (a) O-point of the 1/1 magnetic island and (b) X-point of the 1/2 magnetic island.](image)

![FIG. 7. (a) Time evolution of the electron temperature measured with ECE at various radii for the plasma (a) without a 2/1 island and (b) with a 2/1 island.](image)
point of the ITB is located at the \(i = 1/2\) rational surface when there is the 2/1 magnetic island, the foot point moves towards the plasma center and is not located at the \(i = 1/2\) rational surface when the 2/1 magnetic island disappears by the canceling field.

VI. CONCLUSIONS

In conclusion, a clear transition phenomena in the electron heat flux is observed associated with the transition from the ion root to the electron root in the LHD plasmas. The normalized thermal diffusivity decreased towards the plasma center and reaches low levels close to 0.1 \(\text{m}^2\text{s}^{-1}/(\text{keV}^{3/2}\text{T}^{-2})\) in the LHD electron ITB, which is much lower than that without an ITB by two orders of magnitude. The significant reduction of the incremental thermal diffusivity, \(\chi_{\text{inc}}\), by one order of magnitude is observed inside the ITB with the power balance analysis and transient transport of analysis by heat pulse propagation with modulated ECH and cold pulse propagation by TESPEL injection. The temperature dependence of the thermal diffusivity, \(\chi_t\), is derived from power balance \(\chi_e\) and temperature dependent term \(\chi_i\) derived from cold pulse propagation. It is positive in the L-mode plasma (\(\alpha = 1 - 3\)) and becomes negative (\(\alpha = -1\) to \(-2\)) inside the ITB. Since the heat transport is improved inside the magnetic island and the radial electric field shear is produced at the boundary of the magnetic island, the magnetic island located near the foot point of the ITB contributes to the formation of the ITB by reducing the threshold power.

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