Uncertainty-Aware Adaptation for Self-Supervised 3D Human Pose Estimation

Jogendra Nath Kundu1 Siddharth Seth1 Pradyumna YM1 Varun Jampani2
Anirban Chakraborty1 R. Venkatesh Babu1
1Indian Institute of Science, Bangalore 2Google Research

Abstract

The advances in monocular 3D human pose estimation are dominated by supervised techniques that require large-scale 2D/3D pose annotations. Such methods often behave erratically in the absence of any provision to discard unfamiliar out-of-distribution data. To this end, we cast the 3D human pose learning as an unsupervised domain adaptation problem. We introduce MRP-Net1 that constitutes a common deep network backbone with two output heads subscribing to two diverse configurations; a) model-free joint localization and b) model-based parametric regression. Such a design allows us to derive suitable measures to quantify prediction uncertainty at both pose and joint level granularity. While supervising only on labeled synthetic samples, the adaptation process aims to minimize the uncertainty for the unlabeled target images while maximizing the same for an extreme out-of-distribution dataset (backgrounds). Alongside synthetic-to-real 3D pose adaptation, the joint-uncertainties allow expanding the adaptation to work on in-the-wild images even in the presence of occlusion and truncation scenarios. We present a comprehensive evaluation of the proposed approach and demonstrate state-of-the-art performance on benchmark datasets.

1. Introduction

3D human pose estimation forms a core component of several human-centric technologies such as augmented reality [24], gesture recognition [6], etc. Most of the 3D human pose estimation approaches heavily rely on fully supervised training objectives [15, 59, 80], demanding access to large-scale datasets with paired 3D pose annotation. However, the inconvenience of 3D pose acquisition stands as a significant bottleneck. Unlike a 2D pose, it is difficult to manually annotate an anthropomorphically constrained 3D pose for an in-the-wild RGB image. Thus, most of the paired 3D pose datasets are collected in lab environments via body-worn sensors or multi-camera studio setups [28, 78] that are difficult to install outdoors. This often limits the dataset diversity in terms of the variety in poses, appearances (background and lighting conditions), and outfits.

Some works [69, 71] propose weakly supervised techniques to bypass the requirement of 3D pose annotations. Several of these works leverage available paired 2D pose datasets or off-the-shelf image-to-2D pose estimation networks [34, 63, 83]. To address the inherent 2D-to-3D ambiguity, some works either rely on multi-view image pairs [11, 32, 38] or utilize unpaired 3D pose samples [10, 88]. Though such methods perform well when evaluated on the same dataset, they lack cross-dataset generalization.

Consider a scenario where we want to deploy a 3D human pose estimation system in a new application environment (i.e. target domain). From the vendor’s perspective, a general approach would be to improve the system’s generalization via supervised training on a wide variety of labeled source domains [48]. However, target-specific training usually achieves the best performance beyond the generic system. Though it is not convenient to collect annotations for every novel deployment scenario, an effective unsupervised adaptation framework stands as the most practical way forward. Unsupervised adaptation [19, 37, 84] seeks a learning technique that can minimize the domain discrepancy be-
Table 1. Comparison of positive (in green) and negative (in red) attributes of ours against prior 3D human pose estimation methods.

| Methods          | Real Sup. | Synthetic  | Generalization capability | Occlusion Uncertainty |
|------------------|-----------|------------|----------------------------|-----------------------|
|                  | 2D view   | 3D pose    |                            |                       |
| Zhou et al. [102]| ✗         | ✗          | ✗                          | ✓                     |
| Rhodin et al. [70]| ✓         | ✗          | ✗                          | ✓                     |
| Iqbal et al. [29]| ✓         | ✗          | ✗                          | ✓                     |
| Doersch et al. [17]| ✓      | ✗          | ✗                          | ✓                     |
| LCR-Net++ [74]   | ✓         | ✗          | ✗                          | ✓                     |
| PoseNet3D [81]   | ✓         | ✗          | ✗                          | ✓                     |
| Ours             | ✗         | ✓          | ✗                          | ✓                     |

between a labeled source and an unlabeled target. Thus, the vendor has to collect unlabeled RGB inputs from the new environment to enable the adaptation process. Let us consider a different scenario where the target environment is identical to one of the source domains implying no domain-shift. Here, the vendor can choose to directly deploy the generic system without adaptation training. However, the system must have a provision to detect whether it is required to run the adaptation process. In other words, it should have the ability to discern out-of-distribution (OOD) scenarios [26, 47, 51]. Such an ability is more crucial while deploying in a continually changing environment [89], e.g., a model adapted for sunny weather conditions would fail while encountering rainy weather, thus requiring re-adaptation.

We propose a novel domain adaptation (DA) framework, MRP-Net (Fig. 1), equipped with uncertainty estimation [35] for the monocular 3D human pose estimation task. To this end, we use a multi-representation pose network with a common backbone followed by two pose estimation heads subscribing to two diverse output configurations: a) Heat-map based joint localization and b) Model-based parametric regression. This not only encourages ensemble-diversity required for uncertainty estimation [18] but also allows us to encompass the merits of both schools of thought [58, 80]. The former configuration advocates maintaining the spatial structure via a fully-convolutional design [56, 61, 79] while lacking provisions to inculcate structural articulation and bone-length priors. The latter advocates regressing a parametric form of the pose as a whole (via fully-connected layers) [30, 33, 49, 82] while allowing model-based structural prior infusion [39, 67]. We use the 3D graphics-based synthetic SURREAL dataset [86] as the labeled source domain to supervise our backbone network.

In addition, we derive useful measures to quantify the prediction uncertainty at two granularity levels; viz a) pose-uncertainty, b) joint-uncertainty. During training, we utilize both a labeled source and a dataset of backgrounds (BG) to elicit the desired behavior of the uncertainties. Here, the backgrounds approximate an extreme out-of-distribution scenario. Upon encountering the unlabeled target, the adaptation process seeks to reduce the target uncertainties alongside a progressive self-training on a set of reliable pseudo-labels. Alongside the adaptation for datasets with full-body visibility, the joint-uncertainty lays a suitable ground to expand our adaptation to work on in-the-wild target domain (unlabeled) with partial body visibility (i.e., under external occlusion or truncated frame scenarios). We present an extensive evaluation of the proposed framework under a variety of source-to-target settings. In summary:

- We propose a novel domain adaptation framework, MRP-Net, that uses a multi-representation pose network. Here, pose-uncertainty is quantified as the disagreement between pose predictions through the two output heads subscribing towards two diverse design configurations (model-free versus model-based).
- We propose to utilize negative samples (backgrounds and simulated synthetic joint-level occlusions) to improve the effectiveness of the proposed pose and joint uncertainties. The presence of negatives also helps to retain the uncertainty estimation ability even while adapting to a novel target scenario.
- Our synthetic (SURREAL) to in-studio adaptation outperforms the comparable prior-arts on Human3.6M [28]. Our in-studio (Human3.6M) to in-the-wild adaptation achieves state-of-the-art performance across four datasets. We show uncertainty-aware 3D pose estimation results for unsupervised adaptation to in-the-wild samples with partial body visibility.

2. Related Works

Table 1 shows a comparison of our approach against related prior approaches. Here, Sup. stands for supervision.

Domain Adaptation. Cao et al. [9] propose to apply discriminator based discrepancy minimization technique for the animal pose estimation task. To address the synthetic-to-real domain gap for 3D human pose estimation, Doersch et al. [17] propose to use optical-flow and 2D keypoints as the input as these representations are least affected by domain shift unlike RGB images (texture and lighting variations). Similarly, Zhang et al. [97] propose to leverage multi-modal input, such as depth and body segmentation masks. Mu et al. [60] leverage several consistency losses to effectively adapt from source to target. Our proposed framework does not access any such auxiliary input modality. Recently, some works [77, 96] propose online test-time adaptation of 3D human pose estimation from in-studio source to in-the-wild target.

Pose estimation in presence of occlusion. In literature, we find some methods that address human pose estimation in presence of partial occlusion. Several works design techniques to estimate location of the occluded keypoint conditioned on the unoccluded ones while accessing additional spatio-temporal [13, 14, 16, 68, 73] or scene related context [41, 94, 95]. Mehta et al. [55] propose to use occlusion-robust pose-maps to address partial occlusion scenarios.
**Monocular 3D human pose estimation.** In literature, we find two broad categories viz. a) methods that directly infer the 3D pose representation [1, 4, 75] and b) methods using model-based parametric representation [3, 5, 7, 40, 64]. The former directly maps the input image to the 3D pose while the latter maps images to latent parameters of a predefined parametric human model. The latter setup provides a suitable ground to impose the kinematic pose priors via adversarial training [36, 42]. The former setup is further categorized into one-stage [62, 65, 66, 80, 91, 100] and two-stage methods [27, 53, 59, 99]. One-stage approaches directly map images to the 3D poses. Whereas, two-stage methods first map images to an 2D pose representation followed by another mapping to perform the 2D-to-3D lifting.

**Pose estimation via multi-head architecture.** PoseNet3D [81] employs a student-teacher multi-head framework. However, the primary task is 2D-to-3D lifting where they rely on 2D pose predictions obtained from fully supervised image-to-2D pose model [61]. Unlike PoseNet3D, we do not leverage in-the-wild 2D pose annotations or temporal consistency. Further, prior arts [23, 72] also employ similar multi-head architecture to leverage auxiliary supervision or to improve predictions through consistency losses. To the best of our knowledge, none of the prior-arts utilize such architecture for OOD or self-adaptation to unlabeled target.

### 3. Approach

We aim to prepare a pose estimation network that can discern OOD samples by delivering a high prediction uncertainty for such inputs. Simultaneously, the network should not compromise on pose estimation performance for in-domain inputs. Sec. 3.1 first discusses the pros and cons of the two widely used design configurations specific to output representation of human pose estimation networks. We describe the key design components of the proposed MRP-Net architecture, following which we propose intuitive ways to quantify the pose and joint uncertainties. Sec. 3.2 illustrates the training procedure to progressively strengthen and leverage the pose-uncertainty for the unsupervised DA setting. In Sec 3.3, we leverage the joint-uncertainties as a means to expand the adaptation to a broader scope, i.e. to in-the-wild targets in the presence of occlusion and truncations.

#### 3.1 Pose estimation architecture

In literature, pose estimation architectures employ one of the following two design configurations.

**a) Localization-based representation.** Most of the popular 2D pose estimation approaches employ fully convolutional architectures (such as hourglass networks [61]), where the final pose is realized via J heatmaps, one for each joint [56]. Here, the heatmaps are treated as spatial probability distributions (PDFs) with a probability peak near the spatial joint location. This can also be viewed as a localization based model-free design as it refrains from utilizing the joint-connectivity and the bone-length knowledge.

**b) Regression-based representation.** Here, networks aim to directly regress joint coordinates or some rich parametric representations (latent) of the final pose [30, 82]. Networks employ fully-connected layers after the back-bone CNN, thereby breaking away from the spatial structure to learn a highly non-linear mapping, unlike the localization-based design. One can easily inculate joint-connectivity or bone-length priors via model-based design with integrated forward kinematics [44, 67, 101]. However, such model-based representation does not allow a provision to extract joint-level uncertainty as it sees the pose as a whole.

Normally trained systems often behave erratically in the absence of any provision to discard out-of-distribution inputs. In literature, ensemble-based systems [45] have been used to derive useful uncertainty measures. Several approaches resort to random initialization or dataset bootstrapping to induce ensemble-diversity which is crucial to realize a robust uncertainty quantification metric.

#### 3.1.1 MRP-Net architecture

Keeping in mind the computational overhead of full network ensembles, we decide to develop multi-head ensembles with a common CNN backbone. As shown in Fig. 2, the multi-head ensemble consists of a common encoder backbone $E$ which is followed by two ensemble heads that are denoted as $B_L$ and $B_R$. Unlike the random initialization strategy, we propose to maintain ensemble diversity by following the above discussed pose modeling configurations.

**a) Joint-localization at $B_L$ output.** The localization branch $B_L$ is a convolutional decoder which outputs heatmap PDFs, $\hat{h} : \{h^{(j)}\}_{j=1}^J$ (via spatial-softmax). These heatmaps are converted to 2D joint coordinates via a soft-argmax operation, $\hat{q}^{(j)}(v) = \sum_{v}h^{(j)}(v)$. Here, $v : [v_x, v_y]$ denotes the spatial grid index. We also extract joint-confidence, $\hat{w}^{(j)} = \max_v h^{(j)}(v)$.

**b) Kinematic-parameterization at $B_R$ output.** On the other hand, the regression branch $B_R$ consists of several fully-connected layers to regress a 3D pose parameterization, $\hat{p}$ and camera-parameters, $\hat{c}$. We design a simple kinematic model based on the knowledge of hierarchical limb connectivity and relative bone-length ratios. We aim to disentangle the rigid camera variations (in camera space) from the non-rigid limb articulations (in canonical space). Here, the non-rigid articulations are modeled at the view-independent canonical space. Note that, in canonical space, the pelvis joint exactly aligns with the origin while the skeleton faces towards the positive X-axis, thus making it a view-independent pose representation, $\hat{p}^c \in \mathbb{R}^{J \times 3}$.

In our convention, the skeleton-face is obtained as the cross-product direction of two vectors; i.e., left-hip to neck and left-hip to right-hip. However, directly regressing the
canonical pose coordinates \( \hat{\mathbf{r}} \) does not ensure the 3D bone-length constraints. Thus, we obtain the canonical pose via a forward-kinematic transformation where the pose-network regresses local limb vectors of unit magnitudes, \( \hat{\mathbf{r}} \in \mathbb{R}^{2D} \).

For each joint \( j \), the limb-vector is defined at a predefined convention of parent-relative joint space. Here, the forward-kinematic transformation \( T_{FK} \) builds the canonical pose by recursively traversing over joints in the kinematic tree; while applying pre-fixed bone-length magnitudes along the transformed limb-vector directions (see Fig. 2B). Alongside the local limb-vectors, the pose-network regresses the Euler-rotations alongside the scale and spatial translation parameters (\( \hat{c} \): 3 angles, 1 scale, and 2 translation parameters). Following this, scaled orthographic projection \( T_c \) outputs the projected image-space joint coordinates \( \hat{\mathbf{q}} \in \mathbb{R}^{2D} \). This also outputs the camera-space 3D pose \( \hat{\mathbf{p}} \in \mathbb{R}^{2D} \) as an intermediate representation.

Next, we quantify uncertainty as follows:

**a) Quantifying pose-level uncertainty.** In literature, ensemble disagreement provides a useful quantitative measure to evaluate the prediction uncertainty [18]. For MRP-Net, we propose to rely on the diversity in design configuration between the two representations obtained via \( B_L \) and \( B_R \). Thus, we define the pose-uncertainty as follows:

\[
\mathcal{U}(I) = |\tilde{q} - \bar{q}|; \quad \tilde{q} = B_L \circ E(I), \quad \bar{q} = T_B \circ B_R \circ E(I)
\]

Here, \( \circ \) denotes functional composition and \( T = T_{FK} \circ T_c \).

**b) Quantifying joint-level uncertainty.** Among the two representations, joint-level uncertainty can be extracted from localization based spatial map distributions. For each joint prediction, the joint uncertainty associated with a joint \( j \), is realized as the self-entropy of spatial distributions, i.e.

\[
\mathcal{H}(I, j) = - \sum_v \bar{h}^{(j)}(v) \log \bar{h}^{(j)}(v)
\]

### 3.2. Pose-level adaptation framework

In unsupervised DA the primary goal is to transfer the task knowledge from a labeled source dataset \( D_s \) (synthetic domain) to an unlabeled target dataset \( D_t \) (real domain).

#### 3.2.1 Preparing pose-uncertainty-aware MRP-Net

Let, \( \mathcal{L}_h(\cdot) \) and \( \mathcal{L}_p(\cdot) \) be the mean squared loss for the heatmap and the 3D pose respectively. The synthetic supervision loss is expressed as:

\[
\mathcal{L}^{(s)}(I \in D_s) = \mathcal{L}_h(\hat{h}, h_{gt}) + \lambda_1 \mathcal{L}_p(\hat{p}, p_{gt}) + \lambda_2 \mathcal{U}^{(s)}(I)
\]

Here, \( h_{gt} \) and \( p_{gt} \) denote the respective ground-truths (GT) with \( \lambda_1 \) and \( \lambda_2 \) being the balancing hyperparameters. The intended behaviour of pose-uncertainty is that it would elicit a high value for \( \mathcal{U}^{(s)} \) for unfamiliar inputs while being low for familiar in-domain samples, i.e. for \( I \in D_s \). However, training of MRP-Net solely on samples from \( D_s \) outputs consistently low pose-uncertainty for both in-domain and out-of-domain samples during validation. One has to explicitly update the network parameters to obtain higher uncertainty for the unfamiliar inputs. In view of the human pose estimation task, we resort to a dataset of background images \( D_b \) (i.e. images without any person in frame) to approximate an extreme out-of-distribution scenario.

In summary, the MRP-Net is trained to minimize \( \mathcal{L}^{(s)} \) while simultaneously maximizing the pose-uncertainty for backgrounds i.e. \( \mathcal{U}^{(b)} = \mathcal{U}(I \in D_b) \).

#### 3.2.2 Adaptation via uncertainty minimization

Next, the uncertainty-aware network is exposed to the unlabeled target samples, \( I_t \in D_t \). Analyzing the histogram from Fig. 1 of the pose-uncertainties for samples from \( D_t \),
confusing joint predictions. However, in real-world deployment, the camera feed may capture human images having external object occlusions or truncations. In such scenarios, an intended behavior of the model would be to estimate a reasonably well joint localization specifically for the in-view joints with lower joint-uncertainty values, and higher joint-uncertainties for the out-view joints.

**3.3.1 Preparing joint-uncertainty-aware MRP-Net**

Similar to pose-uncertainty, one must simulate joint-level uncertainties to enable the model to elicit the above discussed behavior. Note that, training on synthetic full-body images (i.e. \( D_s \)) or the backgrounds (i.e. \( D_b \)) is not suitable enough as they do not encourage varying joint-uncertainties for the same input instance. Thus, we simulate an occlusion-aware synthetic dataset, \( D_{oa}^s \) with segregated set of in-view and out-view image-joint pairs, denoted as \( J_{inV}^t \) and \( J_{outV}^t \) respectively (see Fig. 2D). Broadly, we simulate occlusion of two kinds, viz, a) occlusion by an external object, and b) truncation of the image frame. We apply the following synthetic supervision loss.

\[
\mathcal{L}_{sup}(I \in D_{oa}^s) = \mathbb{1} J_{inV}^t \left( \mathcal{L}_h^{\lambda}(\hat{h}, h_{gt}) + \lambda_1 \mathcal{L}_p^{\lambda}(\hat{p}, p_{gt}) \right) - \lambda_2 \mathcal{H}(J_{outV}^t) \tag{6}
\]

Here, \( \mathbb{1} \) denotes an indicator function. The last-term aims to maximize the joint-uncertainties only for the out-view joints. We also maximize joint-uncertainties of all the joints for the backgrounds \( D_b \), by maximizing \( \mathcal{H}(J_{outV}^t) \).

**3.3.2 Adapting to unlabeled target with occlusion**

Next, the joint-uncertainty-aware model is exposed to samples from the unlabeled target dataset containing images of varied kinds including full-body, truncated, and occluded samples. We denote this dataset as \( D_t^l \). We follow the adaptation process very similar to that of the proposed pose-level adaptation with the pose-uncertainties replaced by the joint-uncertainties.

We follow the similar equivariance-based pseudo-label selection criteria to pick the suitable \((I, j)\) pairs for creating the target pseudo-label subset, \( J_{inV}^t \). Here, \( J_{outV}^t \) denotes the set of \((I, j)\) pairs having joint-uncertainty greater than a preset threshold \( \alpha_{th}^j \). Rest of the \((I, j)\) pairs can move either towards \( J_{inV}^t \) or \( J_{outV}^t \) over the course of adaptation training and are thus left untouched (no loss imposed).

\[
J_{inV}^t = \{(I, j) : \mathcal{H}(I, j) (q_j^t - \mathcal{F}_q^t(\hat{q}_j^t)) < \alpha_{th}^h \} \tag{7}
\]

The adaptation training involves minimizing \( \mathcal{H}(J_{inV}^t) \) while maximizing \( \mathcal{H}(J_{outV}^t) \) (joint-uncertainties for \( J_{inV}^t \) and \( J_{outV}^t \) respectively), alongside minimizing the joint-supervision on target pseudo-labels, i.e., \( \mathcal{L}_{sup}(I, j) \in J_{outV}^t \).

**3.4. Inferring the final 3D pose**

In the proposed MRP-Net, 3D pose can only be inferred through the \( Br \) branch (i.e. \( \hat{p} \)). However, several recent
work[s] advocate for a localization-based representation even for the 3D pose estimation by introducing another output for joint-wise depth-localization. Based on the pros and cons of both the modeling configurations, we decide to leverage the best of both worlds by training a fusion network to realize the final 3D pose prediction $\hat{p}$. The fusion network takes three inputs; a) 3D pose predictions via $B_L$ (i.e. $\hat{p}$), b) 2D pose prediction via $B_R$ (i.e. $\hat{q}$), and c) the joint-confidences $\hat{w}$. The fully-connected fusion network is trained to minimize a loss that is exactly similar to $L^{\text{pop}}_\text{sup}$ but on samples from both source and target pseudo-label set. Please refer to Supplementary for more details.

## 4. Experiments

We demonstrate effectiveness of MRPN-Net (MRPN) by evaluating it on a variety of cross-dataset settings.

### Implementation details.
We use ResNet-50 [25] (till Res-4f), pre-trained on the ImageNet, as the common encoder $E$. The localization branch $B_L$ comprises of of transposed convolutional layers which progressively increase the spatial resolution to yield 17 heatmaps of size 56×56. The regression branch $B_R$ consists of a series of fully-connected (FC) layers which later bifurcate into two sub-branches to yield camera parameters $\hat{c}$ and local limb vectors $\hat{p}$. We trained the framework on a NVIDIA P-100 GPU (16GB), with a batch size of eight. We employ separate Adam optimizers [31] for each loss term. See Suppl. for more details.

### Datasets.
We use the following datasets.

**a) SURREAL (S)** synthetic dataset [86] is used both as source and target under different problem settings. Though the dataset encapsulates a wide range of diversity, synthetic-trained model suffers from poor generalization on natural images due to synthetic-to-real domain-shift.

**b) Backgrounds.** We use background images taken from; LSUN [92], Google Street View [93], Natural Scenes [21], and Campus Scenes [8] to form the dataset, $D_b$.

**c) Human3.6M (H).** For a fair evaluation, we use the standard, in-studio Human3.6M (H3.6M) dataset [28] as either source or target domain in different problem settings.

**d) Target datasets.** 3DPW [87], HumanEva [78], and MPI-INF-3DHP (3DHP) [54] are used as unlabeled target datasets to evaluate the unsupervised adaptation.

### Occlusion simulation.
We perform occlusion simulation on both source and target. The simulation process works on the corresponding full-body instances. We paste external objects (like cars, chair, wardrobe, etc.) to simulate occlusions, whereas truncation is simulated by randomly zooming into the top or bottom region of the full-body images.

### Evaluation metrics.
For a fair comparison, we evaluate our approach on the standard benchmark datasets described above. The standard mean per joint position error metric computed before and after Procrustes Alignment [22] are denoted as MPJPE and PA-MPJPE respectively [28].

## 4.1. Quantitative analysis

### 4.1.1. Synthetic to real adaptation.
Labeled synthetic SURREAL (S) is used as the source domain, while the unlabeled target instances are taken from Human3.6M (H). Table 3 shows a comparison of $\text{Ours}(S\rightarrow H)$ on unsupervised and semi-supervised settings. Here, semi-supervised setting denotes 3D supervision only on subject S1. In spite of the huge domain shift between SURREAL and Human3.6M our adaptation strategy yields state-of-the-art performance among the semi-supervised and unsupervised prior-arts.

### 4.1.2. In-studio to in-the-wild adaptation.
We evaluate the performance of MRPN in Table 2 on four target

| Adaptation type | Methods | H3.6M→3DPW | H3.6M→3DPW | H3.6M→SURREAL | H3.6M→HumanEva |
|-----------------|---------|------------|------------|---------------|----------------|
|                 |         | MPJPE↓ | PA-MPJPE↓ | MPJPE↓ | PA-MPJPE↓ | MPJPE↓ | PA-MPJPE↓ | MPJPE↓ | PA-MPJPE↓ |
| **General**      | DDC [85] | 110.4  | 75.3  | 115.6 | 91.5 | 117.5 | 80.1 | 83.8 | 64.9 |
|                  | DAN [52] | 107.5  | 73.2  | 109.5 | 89.2 | 114.2 | 78.4 | 78.5 | 62.7 |
|                  | DANN [19] | 106.3 | 71.1  | 107.9 | 88.0 | 113.6 | 77.2 | 76.3 | 60.8 |
|                  | Zhang et al. [98] | 94.7 | 63.9  | 99.3 | 81.5 | 103.3 | 69.1 | 69.2 | 53.5 |
|                  | Ours | 91.9  | 62.4  | 96.2 | 78.6 | 99.6 | 67.2 | 66.8 | 51.9 |
| **Test-time Adaptation** | ISO [96]* | - | 70.8 | - | 75.8 | - | - | - | - |
|                  | BOA [77]* | 92.1 | 58.8  | - | 77.4 | - | - | - | - |
|                  | Ours+ISO* | 89.6 | 57.5  | 92.9 | 76.3 | 96.4 | 65.1 | 65.2 | 50.1 |

Table 3. Quantitative comparison on Human3.6M. Our proposed method outperforms the prior-arts at various supervision levels. * denotes using MPII [2] with 2D pose annotations. * denotes using additional in-the-wild data taken from the Internet. supervision-type on target (H3.6M) is indicated under the Supervision column. Semi-sup (S1) denotes 3D pose supervision only on subject S1.
domains, i.e., 3DHP, 3DPW, SURREAL, and HumanEva datasets when using Human3.6M as the source domain. Our baseline is trained only on the source domain Human3.6M. A direct transfer on the target domains performs poorly attributed to the vast domain gap induced due to changing pose, appearance, and backgrounds between source and target datasets. Our pose-level adaptation strategy helps MRPN improve upon the prior-arts even on in-the-wild 3DPW dataset by a significant margin, thereby validating ours superior generalizability. Ours+ISO is the variant which uses ISO [96] for test time optimization.

4.1.3. Adaptation to partial body visibility. Table 4 reports a quantitative analysis to highlight the merits of our design choices against the standard prior-art techniques. Under Joint-level adaptation, the baseline on row-1 shows transfer results on the target before adaptation. Row-2 baseline employs uncertainty maximization on target as well. Finally, row-3 uses target pseudo labels for self-training. Ours(JU) outperforms LCR++ [74] even in the absence of 2D/3D supervision on in-the-wild datasets like MPII.

4.1.4. Ablation study. In Table 4, under pose-level adaptation, the baseline on row-5 uses MRPN architecture while employing an adversarial discriminator based discrepancy minimization on encoder features (DANN). The baseline on row-6 shows transfer results on the target before adaptation. Row-8 baseline employees self-training unlike in row-7 where only the target uncertainty is minimized. Our final model is depicted in row-9 where the pose predictions are obtained via the fusion-network unlike in row-4.

4.2. Qualitative evaluation and limitations

Fig. 3 and Fig. 5 analyze our pose prediction results across variations in pose complexity, occlusion/truncation scenarios and environmental conditions (i.e. in-studio and in-the-wild). Fig. 3 presents extensive in-the-wild and partial body visibility scenarios. We also show results on images randomly taken from online sources. MRPN-Net successfully estimates reasonable 3D poses for most of the occluded and truncated cases. However, our model may fail under certain drastic scenarios such as multi-level body-part occlusion, high background clutter, and rare athletic poses. Fig. 5 gives an insight into how MRPN-Net adapts to both unoccluded and partial-body visibility scenarios with predictions better than LCR++ [74]. MRPN(JU) indicates the occlusion-aware network before the adaptation training. Without adaptation on target samples, the model predicts with high uncertainty. MRPN(5U) and MRPN(JU)
Figure 4. A. Histogram of samples from different domains (source, target, and background) along the joint uncertainty metric. B. Visualizing how the model choose to maximizes uncertainty for background (on right) and and occluded joints (on middle).

5. Conclusion

We presented a multi-representation pose network that embraces the pros and cons of both model-free and model-based pose representations to realize a disagreement based pose-uncertainty measure. We develop learning techniques to make the model behave differently for the in-domain and out-of-domain scenarios. Later, the same instigated behaviour is used to devise effective unsupervised adaptation objectives. Formalizing prediction uncertainty in the presence of temporal context remains to be explored in future.

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The supplementary document is organized as follows:

- Section A: Notations
- Section B: Training algorithms
- Section C: Network architecture
- Section D: Qualitative analysis

### A. Notations
Most of the notations used in this paper are summarized in Table 5. In the first part, we list the general architecture related notations. Next, we group other notations into a) output of $B_L$, b) output of $B_R$, c) datasets, and finally the adaptation training related notations for both d) pose-level and e) joint-level adaptation.

### B. Training algorithms
In this section, we clearly discuss the training algorithms which could not be included in the main paper. Algo. 1 and Algo. 3 show the training algorithms for pose-level and joint-level adaptation respectively. We simultaneously train on samples from all the three datasets, i.e. on $D_s$, $D_t$, and $D_b$ for pose-level adaptation and on $D_s^O$, $D_t^O$, and $D_b^O$ for joint-level adaptation. The pseudo-label selection procedure is clearly explained in both the algorithms (refer Table 5 for a description of the notations). Though we use the above for pose-level adaptation for a fair prior-art benchmarking, one is always free to relax this assumption.

a) Under pose-level DA, synthetic training on $D_b^O$ (truncated+full) would make it applicable for both full and truncated target. In Fig. 5, notice the medium level uncertainty elicited by MRPN (PU) for truncated target (a desirable behaviour). b) On the other hand, joint-level adaptation already suits to both the scenarios (MRPN (JU)) in Fig. 10.

Algo. 2 shows a detailed training procedure to prepare the fusion network for the pose-level adaptation scenario. We prepare a separate fusion network for the joint-level adaptation. Table 7 reports relative contributions of $B_R$ and $B_L$ outputs against the fused. In case of joint level adaptation the loss-term in L3 of Algo. 2 is replaced by $\sum_{(i,j)\in J_{uv}} \tilde{L}_p^{(j)}(\hat{p}, p_{gt}^b)$ (the second loss-term in L6 of Algo. 3). Similarly, the loss-term in L4 of Algo. 2 is replaced by $\sum_{(i,j)\in J_{uv}^g} \tilde{L}_p^{(j)}(\hat{p}, p_{gt}^b)$ (the second loss-term in L11 of Algo. 3).

We trained the framework on an NVIDIA P-100 GPU (16GB) with a batch size of 8. We employ separate Adam
Algorithm 1 Training algorithm for pose-level adaptation.

1: Input: Labeled source dataset $D_s$, unlabeled target dataset $D_t$, and the background dataset $D_b$. Let $\Theta$ denote the learnable parameters of the MRP-Net architecture (excluding the fusion network).
2: while iter < MaxIter do
   A. Pseudo-label update (after each $K_{interval}$).
      3: if iter (mod $K_{interval}$) = 0 then
         4: Compute $D_{pl}^{t}$ where $\hat{q}_t$ and $\hat{q}_t'$ are obtained using current state of network parameters $\Theta$, as follows:
            $D_{pl}^{t} = \{I_t : (|\hat{q}_t - F_q(\hat{q}_t')| + |\hat{q}_t - F_q(\hat{q}_t')|) < \alpha_p^t\}$
      5: end if
   B. Adaptation training (for pose-level adaptation).
      6: Update $\Theta$ by minimizing $L_{p}(\hat{h}, h_{gt})$, $L_{p}(\hat{p}, p_{gt})$, and $U^{(s)}$ (i.e., the first two terms under $L^{(s)}_{P}$) on a mini-batch of $D_t$ using separate Adam optimizers.
      7: Update $\Theta$ by maximizing $U^{(b)}$ on a mini-batch of $D_b$ using Adam optimizer.
      8: Update $\Theta$ by minimizing $U^{(t)}$ on a mini-batch of $D_t$ using Adam optimizer.
      9: Update $\Theta$ by maximizing $\sum_j \tilde{w}^{(j)} L_{r}(\hat{h}, h_{gt}^j)$ and $\sum_j \tilde{w}^{(j)} L_{p}(\hat{p}, p_{gt}^j)$ (i.e., the two terms under $L_{p_{Sup}}^{(t)}$) using separate Adam optimizers.
10: end while

Algorithm 2 Training algorithm for the fusion network.

1: Input: Labeled source dataset $D_s$ and the pseudo-labeled target subset $D_{pl}^t$. The network takes 3 inputs: a) 3D pose predictions via $B_{R}$ (i.e., $\hat{p}$), b) 2D pose prediction via $B_{L}$ (i.e., $\hat{q}$), and c) the joint-confidences $\tilde{w}$ via $B_L$. Let $\theta^{f}$ denote the learnable parameters of the fusion network.
2: while iter < MaxIter do
   3: Update $\theta^{f}$ to minimize $L_{p}(\hat{p}, p_{gt})$ on a mini-batch of $D_s$ using Adam optimizer.
   4: Update $\theta^{f}$ to minimize $\sum_{j=1}^{J} \tilde{q}^{(j)} L_{r}(\hat{p}, p_{gt}^{j})$ on a mini-batch of $D_{pl}^{t}$ using Adam optimizer.
5: end while

Algorithm 3 Training algorithm for joint-level adaptation.

1: Input: Labeled source dataset $D_{s}^{O}$, unlabeled target dataset $D_{t}^{O}$, and the background dataset $D_b$. Let $\Theta$ denote the learnable parameters of the MRP-Net architecture (excluding the fusion network).
2: while iter < MaxIter do
   A. Pseudo-label update (after each $K_{interval}$).
      3: if iter (mod $K_{interval}$) = 0 then
         4: Compute $J_{inv}^{t}$ and $J_{outv}^{t}$, were $\hat{q}_t$ and $\hat{q}_t'$ are obtained using the current state of the network parameters $\Theta$, as follows:
            $J_{inv}^{t} = \{ (I_t, j) : \mathcal{H}(I_t, j)((|\hat{q}_t^{(j)} - F_q(\hat{q}_t')| < \alpha_q^t) \}$
            $J_{outv}^{t} = \{ (I_t, j) : \mathcal{H}(I_t, j)((|\hat{q}_t^{(j)} - F_q(\hat{q}_t')| > \alpha_q^t) \}$
      5: end if
   B. Adaptation training (for joint-level adaptation).
      6: Update $\Theta$ by minimizing $\mathbb{1}_{t,j \in J_{in}^{t}} L_{O}^{(s)}(\hat{h}, h_{gt}^{j})$ and $\mathbb{1}_{t,j \in J_{out}^{t}} L_{p}^{(j)}(\hat{p}, p_{gt}^{j})$ (i.e., the first two terms under $L_{O}^{(t)}$) on a mini-batch of $D_{s}^{O}$ using separate Adam optimizers.
      7: Update $\Theta$ to maximize $\mathbb{1}_{t,j \in J_{in}^{t}} \mathcal{H}(I_t, j)$ on a mini-batch of $D_{s}^{O}$ using Adam optimizer.
      8: Update $\Theta$ to maximize $\mathbb{1}_{t,j \in J_{out}^{t}} \mathcal{H}(I_t, j)$ on a mini-batch of $D_{t}^{O}$ using Adam optimizer.
      9: Update $\Theta$ to minimize $\mathbb{1}_{t,j \in J_{in}^{t}} \mathcal{H}(I_t, j)$ on a mini-batch of $D_{t}^{O}$ using Adam optimizer.
     10: Update $\Theta$ to maximize $\mathbb{1}_{t,j \in J_{out}^{t}} \mathcal{H}(I_t, j)$ on a mini-batch of $D_{t}^{O}$ using Adam optimizer.
     11: Update $\Theta$ to maximize $\sum_{j \in J_{in}^{t}} \tilde{w}^{(j)} L_{r}(\hat{h}, h_{gt}^{j})$ and $\sum_{j \in J_{out}^{t}} \tilde{w}^{(j)} L_{p}(\hat{p}, p_{gt}^{j})$ (i.e., the two terms under $L_{p_{Sup}}^{(t)}$) using separate Adam optimizers. Here, $\tilde{w}^{(j)}$ is normalized such that $\sum_{j \in J_{in}^{t}} \tilde{w}^{(j)} = 1$.
12: end while

Importance of OOD images. We would like to reiterate that the background images represent an objective segregation of hard-OOD samples. The poses outside of the training distribution are critical to identify and we segregate them via the pseudo-label subset selection criteria (Eq. 4). Eq. 5 selectively imposes a strong loss on the more confident target samples. It is to be noted that, such segregation is highly subjective, and treating these soft-OOD samples as hard-OOD deteriorates the generalization performance.

C. Network architecture

The architecture consists of an ImageNet initialized ResNet-50 (til Res-4F) which bifurcates into two branches, $B_L$ and $B_R$ as shown in Fig. 8. $B_L$ is a convolutional decoder consisting of an alternate series of transposed convolution and general convolution which progressively increases the spatial resolution from $7 \times 7$ to $56 \times 56$. The final output of $B_L$ is 17 heatmap PDFs, $\hat{h}$ obtained via spatial softmax. These are then used to extract the correspond-
Table 6. Assets and the corresponding Licenses

| Asset used      | License                          |
|-----------------|----------------------------------|
| Human3.6M [50]  | Limited license for academic use |
| MPI-INF-3DHP [54] | Limited license for academic use |
| 3DPW [87]       | Limited license for academic use |
| HumanEva [78]   | Limited license for academic use |
| SURREAL [86]    | Limited license for academic use |

Table 7. Relative contribution of fusion network inputs on 3DPW, MPJPE (↓).

| Methods                      | μ | σ | τ+σ | Fused |
|------------------------------|---|---|-----|-------|
| Ours(H→H)→3DPW              | 116 | 115 | 94  |
| Ours(JU:H→3DPW)              | 116 | 115 | 94  |

Figure 6. Hyperparameter sensitivity.

Figure 7. Qualitative analysis. 3D poses shown correspond to the original camera view and another azimuthal view at +30° or -30° depending on the best viewing angle. For results in panel E and F, the joints with uncertainty greater than a prefix threshold are highlighted with red-blobs. The model fails on rare poses, complex inter-limb occlusion and heavy background clutter as highlighted by red bases.

Figure 8. Detailed architecture of the proposed MRP-Net. On the right we show the legend. Here, $K3C256S2$ denotes specifications of the convolutional layer, i.e. $3 \times 3$ filter size, 256 filters applied with a stride 2. Here, $TConv$ denotes transposed convolution operation. $FC$ denotes fully-connected layer. $x2$ and $x3$ depict number of residual blocks that are stacked to form the corresponding branch.

The model learns 2D joint coordinates, $\hat{q}$ and joint confidence, $\hat{w}$. $B_R$ consists of a common branch with fully-connected residual blocks [88] which further divides into camera, $\hat{c}$ and pose prediction $\hat{p}_l$ sub-branches, each consisting of 2 residual blocks. The outputs, $\hat{w}$, $\hat{q}$, and $\hat{p}$ are concatenated and passed to the fusion network which is composed of a series of 3 residual blocks to regress the final 3D pose, $\hat{p}^f$. Fig. 8 shows the detailed architecture. Further, ablation performance with fusion network is shown in Table 8 (MPJPE of #5-7, Table 4). We see that a better adaptation further enhances the gain from fusion network.
Figure 9. A. Shows histogram of the predicted joint-uncertainties for the true in-view and out-view joints separately for source (i.e. inV-S and outV-S) and target (i.e. inV-T and outV-T). BG denotes the histogram of all out-view joints for backgrounds. The shaded regions in the bottom panel depicts \( J_{inV}^t \) and \( J_{outV}^t \) which are segregated using the preset thresholds \( \alpha_t^b \) and \( \alpha_t^h \) respectively (edges of the green-box). Our adaptation algorithm succeeds to separate \( inV-T \) and \( outV-T \) over the course of adaptation training. B. Shows a similar analysis for pose-uncertainties. We show 5 different examples sampled from different regions of the histogram-bins.

Results on right-panel: Notice that to maximize pose-uncertainty for backgrounds (OOD samples), MRPN estimates the 2D landmarks and 3D pose points separated towards opposite diagonal corners. Here, the 2D landmarks are collapsed to the top-left corner whereas the root joint (pelvis) of the model-based 3D predictions are seemed to have collapsed towards the bottom-right corner. Result on bottom-panel: For uncertain target instances, we see two peaks in the joint heatmap PDFs; one at the top-left corner (OOD-related) and the other near the actual joint location. During adaptation, the OOD-related peak suppress while the joint-related peak rises to simultaneously reduce the uncertainty while converging towards the true pose outcome. Results on the left panel: Joint-level uncertainty is indicated by the entropy of heatmap PDF.

Table 8. Evaluation of #5-7 from Table 4 with fusion network.

| No. | Method | \( L^{(s)}_{\text{sup}} - L^{(b)}_{\text{sup}} \) | \( dT \) | \( L^{(s)}_{\text{sup}} \) | w/o fuse | w/ fuse |
|-----|--------|------------------|--------|------------------|--------|--------|
| 5.  | \( B2(S \rightarrow H) + \text{DANN} \) | only | - | 116.8 | 112.4 (2.7 ↓) |
| 6.  | \( B2(S \rightarrow H) \) | ✓ | - | - | 110.7 (2.7 ↓) |
| 7.  | \( B2(S \rightarrow H) \) | ✓ | ✓ | - | - | 110.7 (2.7 ↓) |

The analysis in Fig. 9A shows that the proposed joint-level adaptation algorithm succeeds to separate \( inV-T \) and \( outV-T \) over the course of adaptation training, thereby aligning these with \( inV-S \) and \( outV-S \) respectively. In Fig. 10, MRPN(PU) indicates the occlusion-aware network before the adaptation training. MRPN(PU) and MRPN(JU) indicate the final networks after the pose-level and joint-level adaptations. Further we show the ground-truth (2D) and predictions on LCR++ [74]. MRPN(PU) is not tuned to work on occluded/truncated images and thus yields a higher uncertainty for the last two rows. Whereas, the uncertainty predictions of MRPN(JU) for the green and orange barometer yield the expected behaviour.

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