A Lyapunov Approach to Set the Parameters of a PI-Controller to minimise Velocity Oscillations in a Permanent Magnet Synchronous Motor using Chopper Control for Electrical Vehicles

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Abstract: This paper deals with a parameter set up of a PI-regulator to be applied in a system for permanent magnet three-phase synchronous motors to obtain a smooth tracking dynamics even though a chopper control structure is included in the drive. High performance application of permanent magnet synchronous motors (PMSM) is increasing. In particular, application in electrical vehicles is used very much. The technique uses a geometric decoupling procedure and a Lyapunov approach to perform a PWM control to be used as a chopper. Chopper control structures are very popular because they are very cheap and easy to be realise. Nevertheless, using a chopper control structure smooth tracking dynamics could be difficult to obtain without increasing the switching frequency because of the discontinuity of the control signals. No smooth tracking dynamics lead to a not comfortable travel effect for the passengers of an electrical vehicle or, more in general, it could be difficult to generate an efficient motion planning if the tracking dynamics are not smooth. This paper presents a technique to minimise these undesired effects. The presented technique is generally applicable and could be used for other types of electrical motors, as well as for other dynamic systems with nonlinear model structure. Through simulations of a synchronous motor used in automotive applications, this paper verifies the effectiveness of the proposed method and discusses the limits of the results.

Key-Words: Lyapunov approach; PI-control; velocity control; synchronous motors

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1 Introduction

As the high field strength neodymium-iron-boron (NdFeB) magnets become commercially available with affordable prices. PMSMs are receiving increasing attention due to their high speed, high power density and high efficiency. These characteristics are very favorable in some special high performance applications, e.g. robotics, aerospace, and electric ship propulsion systems [1], [2]. Permanent magnet synchronous motors (PMSMs) as traction motors are common in electric or hybrid road vehicles. For rail vehicles, PMSMs as traction motors are not widely used yet. Although the traction PMSM can bring many advantages, just a few prototypes of vehicles were built and tested. The next two new prototypes of rail vehicles with traction PMSMs were presented on InnoTrans fair in Berlin 2008 Alstom AGV high speed train and skoda Transportation low floor tram 15T ForCity. Advantages of PMSM are well known. The greatest advantage is low volume of the PMSM in comparison with other types of motors. It makes a direct drive of wheels possible. On the other hand, the traction drive with PMSM has to meet special requirements typical for overhead line fed vehicles. The drives and specially their control should be robust to wide overhead line voltage tolerance (typically from −30% to +20% ), voltage surges and input filter oscillations. These aspects may cause problems during flux weakening operation. There are several reasons to use flux weakening operation of a traction drive. The typical reason is constant power operation in a wide speed range and reaching nominal power during low speed (commonly 1/3 of maximum speed). In the case of common traction, motors like asynchronous or dc motors, it is possible to reach the constant power region using flux weakening. This is also possible for traction PMSM, however, problem with high back electromagnetic force (EMF) rises. In [3] it is shown how using a flux weakening control strategy for PMSM a prediction control structure improves the dynamic performance of traditional feedback control strategies in terms, for instance, of overshoot and rising time. To realise an effective prediction control, it is known that an accurate knowledge of the model and its parameters is necessary. To achieve the desired system performance, advanced control systems are usually required to provide fast and accurate response, quick disturbance recovery, and parameter variations insensitivity [4]. In [3] it is shown how using a flux weakening control strategy for PMSM a prediction control structure improves the dynamic performance of traditional feedback control strategies in terms, for instance, of overshoot and rising time. To realise an effective prediction control, it is known that an accurate knowledge of the model and its parameters is necessary. In [5] an identification technique is shown to detect parameters such as $R_s$, $L_{dq}$ and $\Phi$ of the PMSM. In the existing applications chopper control structures are very popular because they are very cheap and easy to realise. Nevertheless, using a chopper control structure smooth tracking dynamics could be difficult to obtain without increasing the switching frequency because of the discontinuity of the control signals. No smooth tracking dynamics lead to a not comfortable travel effect for the passengers of the electrical vehicle. This paper deals with a parameter set up of a PI regulator to be applied in an system for a permanent magnet three-phase synchronous motors to obtain a smooth tracking dynamics even though a chopper control structure is included in the drive. The paper is organized in the following way. In Section II a sketch of the model of the synchronous
motor and its behaviour are given. Section III is devoted to derive a decoupling controller which will be used to calculate parameters $K_p$ and $K_i$ of the controller with Lyapunov approach. Section V shows simulation results using real data. The conclusions close the paper.

## 2 Model and Behavior of a Synchronous Motor

To aid advanced controller design for PMSM, it is very important to obtain an appropriate model of the motor. A good model should not only be an accurate representation of system dynamics but also facilitate the application of existing control techniques. Among a variety of models presented in the literature, since the introduction of PMSM, the two axis dq-model obtained using Parks transformation is the most widely used in variable speed PMSM drive control applications, see [4] and [6]. The Park’s dq-transformation is a coordinate transformation that converts the three-phase stationary variables into variables in a rotating coordinate system. In dq-transformation, the rotating coordinate is defined relative to a stationary reference angle as illustrated in Fig. 1. The dq-model is considered in this work and Park’s transformation is reported in Eqs. (1) and (2) as it can be seen below.

![Fig. 1. Park’s transformation for the motor](image)

**Fig. 1.** Park’s transformation for the motor

\[
\begin{bmatrix}
\frac{du_d(t)}{dt} \\
\frac{du_q(t)}{dt} \\
\frac{du_0(t)}{dt}
\end{bmatrix} =
\begin{bmatrix}
\frac{2\sin(\omega_{el}t)}{3} & \frac{2\sin(\omega_{el}t-2\pi/3)}{3} & \frac{2\sin(\omega_{el}t+2\pi/3)}{3} \\
-\frac{2\cos(\omega_{el}t)}{3} & -\frac{2\cos(\omega_{el}t-2\pi/3)}{3} & -\frac{2\cos(\omega_{el}t+2\pi/3)}{3} \\
\frac{1}{3} & \frac{1}{3} & \frac{1}{3}
\end{bmatrix}
\times
\begin{bmatrix}
u_d(t) \\
u_q(t) \\
u_0(t)
\end{bmatrix}.
\]

\[
\begin{bmatrix}
i_d(t) \\
i_q(t) \\
i_0(t)
\end{bmatrix} =
\begin{bmatrix}
\frac{2\cos(\omega_{el}t)}{3} & \frac{2\cos(\omega_{el}t-2\pi/3)}{3} & \frac{2\cos(\omega_{el}t+2\pi/3)}{3} \\
-\frac{-2\sin(\omega_{el}t)}{3} & -\frac{-2\sin(\omega_{el}t-2\pi/3)}{3} & -\frac{-2\sin(\omega_{el}t+2\pi/3)}{3} \\
\frac{1}{3} & \frac{1}{3} & \frac{1}{3}
\end{bmatrix}
\times
\begin{bmatrix}
u_d(t) \\
u_q(t) \\
u_0(t)
\end{bmatrix}.
\]

The dynamic model of the synchronous motor in dq-coordinates can be represented as follows:

\[
\begin{bmatrix}
\frac{di_d(t)}{dt} \\
\frac{di_q(t)}{dt}
\end{bmatrix} =
\begin{bmatrix}
\frac{-R_s}{L_d} & \frac{L_d}{L_q} \omega_{el}(t) \\
\frac{-R_s}{L_d} & \frac{L_d}{L_q} \omega_{el}(t)
\end{bmatrix}
\times
\begin{bmatrix}
i_d(t) \\
i_q(t)
\end{bmatrix} +
\begin{bmatrix}
\frac{1}{L_d} & 0 \\
0 & \frac{1}{L_q}
\end{bmatrix}
\begin{bmatrix}
u_d(t) \\
u_q(t)
\end{bmatrix} - \begin{bmatrix}
\frac{0}{L_d} \\
\frac{0}{L_q}
\end{bmatrix} \Phi_{mech}(t),
\]

and

\[
M_m = \frac{3}{2} p\Phi_i q(t) + (L_d - L_q) i_d(t) i_q(t).
\]

In (3) and (4), $i_d(t)$, $i_q(t)$, $u_d(t)$ and $u_q(t)$ are the dq-components of the stator currents and voltages in synchronously rotating rotor reference frame; $\omega_{el}(t)$ is the rotor electrical angular speed; parameters $R_s$, $L_d$, $L_q$, $\Phi$ and $p$ are the stator resistance, d-axis and q-axis inductance, the amplitude of the permanent magnet flux linkage, and $p$ the number of couples of permanent magnets, respectively. At the end with $M_m$ the motor torque is indicated. Considering an isotropic motor for that $L_d \approx L_q = L_{dq}$, it follows:

\[
\begin{bmatrix}
\frac{di_d(t)}{dt} \\
\frac{di_q(t)}{dt}
\end{bmatrix} =
\begin{bmatrix}
\frac{-R_s}{L_{dq}} & \omega_{el}(t) \\
\frac{-R_s}{L_{dq}} & \omega_{el}(t)
\end{bmatrix}
\times
\begin{bmatrix}
i_d(t) \\
i_q(t)
\end{bmatrix} +
\begin{bmatrix}
\frac{1}{L_{dq}} & 0 \\
0 & \frac{1}{L_{dq}}
\end{bmatrix}
\begin{bmatrix}
u_d(t) \\
u_q(t)
\end{bmatrix} - \begin{bmatrix}
\frac{0}{L_{dq}} \\
\frac{0}{L_{dq}}
\end{bmatrix} \Phi_{mech}(t),
\]

and

\[
M_m = \frac{3}{2} p\Phi_i q(t)
\]

with the following movement equation:

\[
M_m - M_w = J \frac{d\omega_{mech}(t)}{dt},
\]

where $p\omega_{mech}(t) = \omega_{el}(t)$ and $M_w$ is an unknown mechanical load.

## 3 Structure of the Decoupling Controller

To achieve a decoupled structure of the system described in Eq. (5) a matrix $F$ is to be calculated such that:

\[
(A + BF)V \subseteq V,
\]
where \( \mathbf{u} = \mathbf{F} \mathbf{x} \) is a state feedback with \( \mathbf{u} = [u_d, u_q]^T \) and \( \mathbf{x} = [i_d, i_q]^T \).

\[
\mathbf{A} = \begin{bmatrix} -\frac{R_d}{L_{dq}} & \omega_c(t) \\ \frac{1}{L_{dq}} & \omega_c(t) \end{bmatrix}, \quad \mathbf{B} = \begin{bmatrix} \frac{1}{L_{dq}} & 0 \\ 0 & \frac{1}{L_{dq}} \end{bmatrix},
\]

and \( V = \text{im}([1,0]^T) \) is a controlled invariant subspace. Concerning the meaning of relation \( (\mathbf{A} + \mathbf{BF}) V \subseteq V \), it is to remark that state feedback matrix \( \mathbf{F} \) transforms \( V = \text{im}([1,0]^T) \), which is a controlled invariant subspace, in an invariant subspace. This practically means that current \( i_d(t) \) does not influence current \( i_q(t) \) and thus the system is decoupled. More explicitly it follows:

\[
\mathbf{F} = \begin{bmatrix} F_{11} & F_{12} \\ F_{21} & F_{22} \end{bmatrix}, \quad \text{and} \quad \begin{bmatrix} u_d(t) \\ u_q(t) \end{bmatrix} = \mathbf{F} \begin{bmatrix} i_d(t) \\ i_q(t) \end{bmatrix},
\]

then, according to [7], the decoupling of the dynamics is obtained considering the following relationship:

\[
\text{im} \left( \begin{bmatrix} -\frac{R_d}{L_{dq}} & \omega_c(t) \\ \frac{1}{L_{dq}} & \omega_c(t) \end{bmatrix} \right) + \\
\text{im} \left( \begin{bmatrix} \frac{1}{L_{dq}} & 0 \\ 0 & \frac{1}{L_{dq}} \end{bmatrix} \begin{bmatrix} F_{11} & F_{12} \\ F_{21} & F_{22} \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \right) \subseteq \text{im} \begin{bmatrix} 1 \\ 0 \end{bmatrix},
\]

where parameters \( F_{11}, F_{12}, F_{21}, \) and \( F_{22} \) are to be calculated in order to guarantee condition (10) and a suitable dynamics for sake of estimation, as it will be explained in the next. Condition (10) is guaranteed if:

\[
F_{21} = R_a.
\]

After decoupling the second equations of the system represented in (5) becomes as follows:

\[
\frac{di_q(t)}{dt} = \omega_c(t)i_q(t) + \frac{u_q(t)}{L_{dq}}.
\]

### 4 A Lyapunov Approach to set the PI Controller Parameters

Considering the following PI controller

\[
u_e(t) = K_p(\omega_{mec} - \omega_{mec}(t)) + K_i \int_0^t (\omega_{mec} - \omega_{mec}(t))dt,
\]

if \( \omega_{mec} \) is a constant, it follows that

\[
\frac{\partial u_e(t)}{\partial t} = -K_p \frac{\partial \omega_{mec}(t)}{\partial t} + K_i (\omega_{mec} - \omega_{mec}(t)),
\]

Eq. (12) can be written in the following way:

\[
i_q(t) = \frac{1}{\omega_c(t)} \left( -\frac{di_q(t)}{dt} + \frac{u_q(t)}{L_{dq}} \right).
\]

Combining Eqs. (6) and (15), then the following expression is obtained:

\[
M_m = \frac{3}{2} p \Phi \frac{1}{\omega_c(t)} \left( -\frac{di_q(t)}{dt} + \frac{u_q(t)}{L_{dq}} \right).
\]

If Eq. (16) is inserted into Eq. (7), then the following relation is obtained:

\[
\frac{3}{2} p \Phi \frac{1}{\omega_c(t)} \left( -\frac{di_q(t)}{dt} + \frac{u_q(t)}{L_{dq}} \right) - M_w = J \frac{\partial \omega_{mec}(t)}{\partial t}.
\]

In order to set up parameters \( K_p \) and \( K_i \) of the controller, the following Lyapunov function is chosen:

\[
V_L(\omega_{mec}(t)) = \frac{1}{2} (\omega_{mec}(t) - \omega_{mec}(t))^2.
\]

Considering the derivative of (18), then it must hold:

\[
\frac{\partial V_L(\omega_{mec}(t))}{\partial t} = - (\omega_{mec}(t) - \omega_{mec}(t)) \frac{\partial \omega_{mec}(t)}{\partial t} \leq 0.
\]

From Eq.(17) it follows that:

\[
\frac{\partial V_L(\omega_{mec}(t))}{\partial t} = - (\omega_{mec}(t) - \omega_{mec}(t)) \frac{\partial \omega_{mec}(t)}{\partial t} \times \left( \frac{3}{2} p \Phi \frac{1}{\omega_c(t)} \left( -\frac{di_q(t)}{dt} + \frac{u_q(t)}{L_{dq}} \right) - M_w \right).
\]

Considering the expression in (14), Eq. (20) becomes as follows:

\[
\frac{\partial V_L(\omega_{mec}(t))}{\partial t} = - \left( \frac{K_p}{K_i} \frac{\partial \omega_{mec}(t)}{\partial t} + \frac{u_q(t)}{L_{dq}} \right) \times \left( \frac{3}{2} p \Phi \frac{1}{\omega_c(t)} \left( -\frac{di_q(t)}{dt} + \frac{u_q(t)}{L_{dq}} \right) - M_w \right) \leq 0.
\]

If

\[
\frac{\partial \omega_{mec}(t)}{\partial t} \geq 0,
\]

and

\[
\frac{\partial u_q(t)}{\partial t} \geq 0,
\]

condition (21) is guaranteed \( \forall K_p > 0 \) and \( \forall K_i > 0 \).

Remark 1: Condition (22) should be guaranteed with a suitable choice of the parameters of the controller. This condition states a monotonic dynamics and thus a dynamics of the motor without oscillations. In automotive field, this condition is an ideal one for optimality of the electrical driver consumption and comfort of the passengers.

### A. A monotonic dynamics

To show under which conditions the following relation

\[
\frac{\partial \omega_{mec}(t)}{\partial t} \geq 0
\]

holds, let consider PI controller defined by Eq. (14) which can be rewritten in the following way:

\[
\frac{\partial u_e(t)}{\partial t} + K_i (\omega_{mec} - \omega_{mec}(t)) = K_p \frac{\partial \omega_{mec}(t)}{\partial t} \geq 0.
\]

Choosing \( K_p \) and \( K_i \) big enough, it is possible to consider

\[
\frac{\partial u_e(t)}{\partial t} \ll K_i (\omega_{mec} - \omega_{mec}(t)) - K_p \frac{\partial \omega_{mec}(t)}{\partial t}.
\]

The following condition must hold:

\[
K_i (\omega_{mec} - \omega_{mec}(t)) - K_p \frac{\partial \omega_{mec}(t)}{\partial t} \geq 0,
\]
which it is equivalent to prove that:

$$K_p \frac{\partial \omega_{mec}(t)}{\partial t} + K_i \omega_{mec}(t) \geq K_i \omega_{mec,d}.$$  (28)

Considering the solution of the following differential equation, then:

$$K_p \frac{\partial \omega_{mec}(t)}{\partial t} + K_i \omega_{mec}(t) = 0,$$  (29)

then it must be:

$$\omega_{mec}(t) = \omega_{mec}(0) e^{-\frac{K_i}{K_p} t} \geq K_i \omega_{mec,d},$$  (30)

then the following final general condition is obtained:

$$K_i \geq \frac{\omega_{mec}(0)}{\omega_{mec,d}} K_p.$$  (31)

Concerning assumption (23):

$$\frac{\partial u_c(t)}{\partial t} \geq 0,$$  (32)

let us consider Eq. (14) in which $\omega_{mec,d}$ is a constant, then:

$$\frac{\partial u_c(t)}{\partial t} - K_i - \omega_{mec}(t) = K_p \frac{\partial \omega_{mec}(t)}{\partial t} \geq 0.$$  (33)

Considering that it should be guaranteed $\frac{\partial u_c(t)}{\partial t} \geq 0$ then:

$$-K_p \frac{\partial \omega_{mec}(t)}{\partial t} + K_i (\omega_{mec,d} - \omega_{mec}(t)) \geq 0,$$

which it is equivalent to proof that:

$$K_p \frac{\partial \omega_{mec}(t)}{\partial t} + K_i \omega_{mec}(t) \leq K_i \omega_{mec,d},$$  (34)

Considering the solution of

$$K_p \frac{\partial \omega_{mec}(t)}{\partial t} + K_i \omega_{mec}(t) = 0,$$  (35)

then it must be:

$$\frac{\partial \omega_{mec}(t)}{\partial t} = \omega_{mec}(0) e^{-\frac{K_i}{K_p} t} \leq \frac{\omega_{mec,d}}{K_p} K_i.$$  (36)

From Eq. (36) a boundary condition on $K_i$ is obtained:

$$K_i \geq \frac{\omega_{mec}(0)}{\omega_{mec,d}} K_p.$$  (37)

Combining condition (31) with (37), the final sufficient condition on parameters $K_p$ and $K_i$ is obtained:

$$K_i = \frac{\omega_{mec}(0)}{\omega_{mec,d}} K_p.$$  (38)

5 Simulation Results

Simulations have been carried out using a special stand with a 58 kW traction PMSM. The stand consists of PMSM, tram wheel and continuous rail. The PMSM is a prototype for low floor trams. PMSM parameters: nominal power 58 kW, nominal torque 852 Nm, nominal speed 650 rpm, nominal phase current 122 A and number of poles 44. Model parameters: $R = 0.08723 \, \text{Ohm}$, $L_{dq} = L_d = L_q = 0.8 \, \text{mH}$, $\Phi = 0.167 \, \text{Wb}$. Surface mounted NdBiFe magnets are used in PMSM. Advantage of these magnets is inductance up to 1.2 T, but theirs disadvantage is corrosion. The PMSM was designed to meet B curve requirements. The stand was loaded by an asynchronous motor. The engine has parameters as follows: nominal power 55 kW, nominal voltage 380 V and nominal speed 589 rpm. In Fig. 2 the complete control scheme is shown. In this Simulink block diagram the transformed dq-observer is indicated together with Park and inverse Park transformation. PWM frequency equals 100kHz and the structure of the simulink PWM block is shown in Fig. 3. Figure 4 shows the obtained and desired motor velocity profiles. Figure 5 shows the obtained and desired motor acceleration profiles. From these two results it is possible to remark that the effect of the chopper control is visible which does not allow the tracking to be precise. In particular, according to the theoretical condition $\frac{\partial \omega_{mec}(t)}{\partial t}$ the result should not present oscillation. Because of the realisation of the controller using a chopper which consists of discontinuous signals this is structurally not possible. Figure
VI. CONCLUSIONS AND FUTURE WORKS

This paper deals with a PI-controller setup for a three-phase synchronous motors. The technique uses a decoupling procedure. A Lyapunov approach is used to calculate parameters $K_p$ and $K_i$ to obtain soft velocity variation. It is generally applicable for other dynamic systems with similar nonlinear model structure. Through simulations of a synchronous motor used in automotive applications, this paper verifies the effectiveness of the proposed method, the found theoretical and the simulation results. Future work includes the calculation of the optimal value of parameter $K_p$.

6 shows PWM signal sequence with the maximal chopper switching frequency equals 2.5kHz. Fig. 7 shows the chopper effect on the input of the motor.

6 Conclusions and Future Works

This paper deals with a PI-controller set up for a three-phase synchronous motors. The technique uses a decoupling procedure. A Lyapunov approach is used to calculate parameters $K_p$ and $K_i$ to obtain soft velocity variation. It is generally applicable for other dynamic systems with similar nonlinear model structure. Through simulations of a synchronous motor used in automotive applications, this paper verifies the effectiveness of the proposed method, the found theoretical and the simulation results. Future work includes the calculation of the optimal value of parameter $K_p$.