A geometric proof of the Kochen-Specker no-go theorem.

Richard D. Gill

Mathematical Institute
University of Utrecht
Budapestlaan 6
3584 CD Utrecht
Netherlands

gill@math.uu.nl

Michael S. Keane

Dept. of Mathematics and Computer Science
Wesleyan University
Wesleyan Station Middletown
Connecticut 06459, USA

mkeane@wesleyan.edu

Reprint of J. Phys. A: Math. Gen. 29 (1996), L289–L291.

Abstract. We give a short geometric proof of the Kochen-Specker no-go theorem for non-contextual hidden variables models.

Key words and phrases. Gleason’s theorem, noncontextual hidden variables models, quantum mechanics, great circle descent.

Note added 2 April 2003: I understand from Jan-Aake Larsson that the construction we give here actually contains the original Kochen-Specker construction as well as many others (Bell, Conway and Kochen, Schütte, perhaps also Peres). See also Larsson (2002) “A Kochen-Specker inequality”, Europhysics Letters 58:799.
1. Introduction.

The fundamental theorem of Kochen and Specker (1967) shows that any hidden-variables theory for quantum measurement (on an at least three-dimensional system) must be contextual: i.e., in a deterministic theory, randomness is explained not just by hidden states in the quantum system under study but also from hidden states in the measurement devices.

The theorem is usually proved by exhibiting a finite collection of vectors in $\mathbb{C}^3$ (actually, $\mathbb{R}^3$ turns out to be enough) such that it is impossible to colour each vector either red or green subject to the following constraints: 1), within any orthogonal triple, exactly one vector is red and the other two are green; 2), if one vector lies in a (complex) linear combination of another two and those two are both coloured green, then it is coloured green as well. The two constraints are connected to the so-called sum-rule and product-rule associating values of commuting observables. For the preparatory arguments showing why such a construction does supply a proof of the no-go theorem for noncontextual hidden variables models see Peres (1993) or Gill (1995a,b).

The Kochen-Specker proof is based on a construction involving 117 vectors. Actually the heart of the construction is a special configuration of just ten vectors which is then chained in 3 groups of 5 (with three of the vectors being used twice). Ignored by most authors is an earlier construction of Bell (1966) again based on a special configuration of 13 vectors repeated a number of times. Recently Peres (1991) gave a construction involving just 33 vectors. In his (1993) book he also shows a construction of Conway and Kochen involving just 31 vectors. This is the world record so far. Peres (1993) and Gill (1995b) also discuss further examples due to Peres, Mermin, and others, involving still fewer vectors, but requiring a higher-dimensional space. A recent contribution of this kind has been made by Cabello, Estabaranz and García-Alcaine (1996). Such examples do illustrate the Kochen-Specker theorem but they do not prove it.

Here we present a new construction similar in flavour to the Bell and Kochen-Specker constructions, being based on a repetition of a basic configuration. However whereas those constructions relied on some analytic computations to prove their existence, our construction relies on a geometric picture—in fact, exactly the same geometric idea used by Cooke, Keane and Moran (1985) at the heart of their elementary proof of Gleason’s theorem. The recent Peres (1991) and Conway-Kochen (see Peres, 1993) constructions have a geometrical aspect but are more combinatoric nature. It is therefore largely a matter of mathematical taste which proof is to be preferred. However we feel there is some virtue in laying a connection with Gleason’s theorem (which was also the inspiration of Bell’s contribution), and in having a proof which can be ‘seen’ from a picture without any calculation or lengthy enumeration being necessary. Another (more complicated) geometric proof is given by Galindo (1976), while a more verbal proof using similar ideas to ours is given in the unpublished paper Dorling (1992).

Some authors, e.g., van Fraassen (1991), use Gleason’s theorem applied to the continuum of all vectors simultaneously to (allegedly) prove the theorem. In our opinion this cannot be built into a correct proof of the no-go result; see Gill (1995b) for an analysis of what can go wrong. Other authors misinterpret Bell’s argument to require continuously many vectors and hence be disqualified but this does not do justice to Bell’s argument which in our opinion is both concise and correct.
‘How many vectors’ are needed in a given argument seems to us a relatively minor point. The theorem is already proved by Bell, Kochen and Specker, and us, after the initial configuration has been shown to exist. Moreover there are different ways of counting vectors (for instance, one might not accept the product-rule but only use the sum rule, and thereby need more vectors). We see no reason not to use anything at our disposal.

2. A geometric lemma.

Consider the one-dimensional subspaces corresponding to non-zero, real, linear combinations of three orthogonal vectors in $\mathbb{C}^k$, $k \geq 3$. These subspaces may be represented by points on (the surface of) the Northern hemisphere of the globe. The original triple is represented by North pole together with two points on the equator whose longitudes differ by $90^\circ$.

Now fix a point $\psi$ in the Northern hemisphere, not at the North pole nor on the equator. Consider the great circle through this point which crosses the equator at the two points differing in longitude by $\pm 90^\circ$ from $\psi$. Choose one of these equatorial points and call it $\psi^E$. Call the point on the Northern hemisphere orthogonal to the great circle $\psi^\perp$. Its longitude is that of $\psi$ plus $180^\circ$ and its latitude is $90^\circ$ minus that of $\psi$. The triple $\psi$, $\psi^E$, $\psi^\perp$ are orthogonal.

The great circle we just defined has $\psi$ as its most Northerly point. We call it the great circle descent from $\psi$.

Starting from a point $\psi = \psi_0$ go down its descent circle some way to a new point $\psi_1$. Now consider the great circle descent from $\psi_1$. Go down some way to a new point $\psi_2$, and so on. After $n$ steps arrive at $\psi_n$. Obviously $\psi_n$ is more Southerly than $\psi_0$. Cooke, Keane and Moran’s geometric lemma states that one can reach any more Southerly point than $\psi_0$ by a finite sequence of great circle descents. For instance, one can fly from Amsterdam to Tokyo by a finite sequence of great circle descents.

The lemma is proved by projecting the Northern hemisphere from the centre of the earth onto the horizontal plane tangent to the earth at the North pole. Lines of constant latitude project onto concentric circles, a great circle descent projects onto a straight line tangent to the circle of constant latitude at its summit.

3. Proof of the theorem.

Start with an orthogonal triple. Colour one point red and the other two green. Let the red point be the North pole and the other two green points be on the equator. Any further points selected on the equator get coloured green by the product rule. Take a point $\psi$ at latitude $60^\circ$ above the equator. Together with $\psi^\perp$ and $\psi^E$ we have a new orthogonal triple. Since $\psi^E$ gets coloured green, if $\psi$ is coloured green then $\psi^\perp$ is coloured red. Note that $\psi^\perp$ lies at $30^\circ$ above the equator, more Southerly than $\psi$.

Suppose $\psi$ is coloured green. Since any point on its great circle descent is a linear combination of $\psi$ and $\psi^E$, it is also coloured green. Repeating this argument, any point which can be reached by a finite number of great circle descents from $\psi$ is also coloured green. But this applies to $\psi^\perp$, a contradiction.

Therefore $\psi$ is coloured red just like the North pole. So we have shown that any point within $30^\circ$ of a red point is also coloured red. Now go in three steps of $30^\circ$ from the North
pole down to the equator, then in three steps of 30° along the equator, then in three steps of 30° back up to the North pole. One of the three ‘corners’ of this circuit has to be coloured red, hence they all are, a contradiction. □

References.

J.S. Bell (1966), On the problem of hidden variables in quantum mechanics, *Rev. Mod. Phys.* **38**, 447–452.
A. Cabello, J.M. Esteban and G. García-Alcaine (1996), Bell-Kochen-Specker theorem: a proof with 18 vectors, *J. Phys. Lett. A* **212**, 183–187.
R. Cooke, M. Keane and W. Moran (1985), An elementary proof of Gleason’s theorem, *Math. Proc. Camb. Phil. Soc.* **98**, 117–128.
J. Dorling (1992), *A simple logician’s guide to the quantum puzzle and to quantum logic’s putative solution*, preprint, Univ. Amsterdam.
B.C. van Fraassen (1991), *Quantum Mechanics: An Empiricist View*, Oxford University Press.
A. Galindo (1976), Another proof of the Kochen-Specker theorem, *Algunas cuestiones de fisica teorica 1.975* (In memoriam Angel Esteve), 3–9, GIFT, Zaragoza.
R.D. Gill (1995a), *Discrete Quantum Systems*, Preprint, Dept. Math., Univ. Utrecht.
R.D. Gill (1995b), *Notes on Hidden Variables*, Preprint, Dept. Math., Univ. Utrecht.
S. Kochen and E.P. Specker (1967), The problem of hidden variables in quantum mechanics, *J. Math. Mech.* **17** 59–87.
A. Peres (1991), Two simple proofs of the Kochen-Specker theorem, *J. Phys. A: Math. Gen.* **24** (Letter to the editor), L175–L178.
A. Peres (1993), *Quantum Theory: Concepts and Methods*, Kluwer, Dordrecht.