Design, fabrication and characterization of porous Ti-rich Ti-Ni alloy based composites with near-zero thermal expansion behavior

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Abstract. Porous Ti-rich Ti-Ni alloy based composites with near-zero thermal expansion (NZTE) behaviour tailored by micro-sized SiC particles were fabricated using powder metallurgy and a two-step designing strategy. At first, the Ni concentration was tuned to broaden the temperature range of negative thermal expansion (NTE) of porous Ti-Ni alloys; then micro-sized SiC particles of different amounts were introduced and co-sintered with mixed Ti and Ni powders having an optimized atomic ratio so as to obtain SiC/Ti-Ni composites with desirable thermal expansion performance. Results show that there is a clear correlation between the reverse martensitic transformation and NTE temperature range. The porous 12wt.%SiC/Ti-43.8at.%Ni composite exhibits very low coefficient of thermal expansion (CTE) of -0.976×10⁻⁶ K⁻¹ from 114.08 to 131.50 °C. It is proposed that the NTE behavior originates from the volume change accompanying the phase transition of the Ti-Ni matrix, and the NZTE performance of SiC/Ti-Ni composites is attributed to the combination of the NTE produced by the alloy matrix and the positive thermal expansion provided by the SiC phase.

1 Introduction

The negative thermal expansion (NTE) materials are promising candidates for tuning the coefficient of thermal expansion (CTE) of the overall system. By compositing NTE materials with normal positive thermal expansion (PTE) materials, the desirable property of near-zero thermal expansion (NZTE) can be achieved [1], which is significant for the stability of materials and structures working under heat flow or thermal stress [2]. Thus far, the majority of studies on NTE and NZTE materials focused on inorganic non-metallic materials, such as ZrW₂O₈ [3], perovskites, antiperovskite [4] and their composites. Among the rarely explored candidates of metal alloys, Ni-Ti alloys of unique shape memory effect, super-elasticity, excellent mechanical properties and good biocompatibility [5] exhibit larger phase transformation induced negative CTE than non-metallic materials [6,7], and thus have drawn strong interests. Moreover, porous Ni-Ti alloys allowing tunable CTE by compositing with PTE reinforcement have been reported to possess higher specific strength and lower density than the dense alloys [8], which enables the composites to be excellent candidate of metallic NZTE materials and offer promising applications in various areas. Materials with a low CTE or NZTTE are required in various fields, for instance, in electronic packaging technology, because the thermal stresses generated by the thermal expansion in the electronic devices strongly affects the mechanical or electrical properties in the packaging. In this study, porous Ti-Ni based composites with NZTE effect tailored by SiC was successfully fabricated via powder metallurgy technique through a two-step strategy, in which a porous Ti-Ni alloy with optimized NTE temperature range was first achieved by tuning the Ni/Ti atomic ratio, and then micro-sized SiC particles of PTE as reinforcement in Ni-Ti alloy were introduced into Ti-Ni alloy to obtain SiC/Ti-Ni composite with desirable NZTE performance. The mechanism of the NZTE behavior of the fabricated composites was also discussed.

2 Experimental

For the porous Ti-Ni alloys, Titanium and Nickel powders (50 and 61 μm respectively, 99.9% purity) with a nominal atomic ratio of 100-x to x (42<x<45) were blended for 24 h followed by cold compact in a hydraulic presser at 50 MPa. While for the Ti-Ni based composites, SiC powders (50μm, 99.99% purity) of different weight fractions, 5%, 10%, 12% and 15%, were blended with well-mixed powders of Titanium and Nickel at the optimized atomic ratio before compacting. The cylinder-shaped green samples (16 × 30, diameter × height, mm) were subjected to sintering at 1000 °C for 3 h under a protective atmosphere of flowing argon gas (99.99% purity). Finally, all sintered samples were aged at 450 °C for 0.5 h followed by ice-water quenching.

Phase transformation temperatures of the as-prepared samples were characterized by a differential scanning
calorimeter (DSC Q200, TA) at a heating/cooling rate of 10 °C/min. The thermal expansion strain of all samples with cylinder shape (6 × 25, diameter × height, mm) were measured by a thermo-mechanical analyzer (DIL 402C, NETZSCH) at a heating rate of 10 °C/min in the temperature range of 30 to 200 °C. Also, the instantaneous coefficient of linear thermal α and its average α_{average} over certain temperature range for all samples were calculated based on the as-measured thermal strain. The α is defined as:

\[
\alpha = \frac{1}{L_0} \frac{dL}{dT}
\]

(1)

where \(dL\) and \(dT\) are the variations in length and temperature respectively, \(L_0\) is the initial length at room temperature, \(dL/L_0\) is the thermal expansion strain.

A scanning electron microscopy (SEM, NanoSEM 430, FEI) and an X-ray diffractometer (XRD, Philips X pert MPD) were used to characterize the microstructure and constituent of the as-prepared Ti-Ni based composites.

3 Results and discussion

To optimize the NTE behavior and understand its mechanism in the porous Ti-Ni alloy, it is imperative to know first the phase transformation behavior in the alloy. The austenite start (\(A_s\)) and finish (\(A_f\)) temperatures detected by DSC in the alloys with Ni fraction varying from 42.5 to 44.7 at.% are shown in Table 1. The start and finish temperatures exhibiting NTE behaviour are designated as \(T_s\) and \(T_f\) respectively. The NTE temperature ranges (\(\Delta T_{NE}\)) with –ve \(\alpha\) obtained by DIL and values of \(\alpha_{average}\) over the corresponding NTE temperature ranges are also listed. It can be found that all porous Ti-Ni alloys undergo an expected austenite transformation and present NTE effect in the testing range of 30-200 °C. Moreover, the austenite transformation temperature range (\(A_f-A_s\)) have an obvious impact on \(\Delta T_{NE}\). According to Figure 1(a), both \(A_f-A_s\) and \(\Delta T_{NE}\) show a parabolic-like tendency with increasing Ni fraction and reach the maximum at 43.84 at.%, with a \(\Delta T_{NE}\) of 32.54°C and a corresponding \(\alpha_{average}\) of \(-5.56\times10^{-6}\) K⁻¹. As is shown in Figure 1(b), \(\Delta T_{NE}\) shows a linear dependence on \(\alpha_{average}\). This significant influence of austenite transformation behavior on the NTE temperature range can be understood as the fact that the unique NTE phenomenon of porous Ti-Ni alloys is generally attributed to the martensitic and its inverse (austenite) transformations, which normally lead to an increase of the unit cell volume and consequently a net increase in the dimension of the alloy upon cooling and vice versa [9].

On the other hand, the influence of Ni fraction on the NTE can be understood as following. Defects at different dimensions (i.e., secondary particles, dislocations, point defects) introduced by different Ni fractions and discontinuous structure of porous Ti-Ni alloys which contribute to the austenite transformation temperature range by hindering the transformation, will finally make an impact on the NTE temperature ranges. Further, it can be figured out from the data in Table 1 that NTE effect lags behind the austenite transformation rather than synchronous. This is understandable since the volume contraction of the unit cell needs to accumulate to be reflected by the \(\alpha_{average}\) as a macro-scale parameter measured with samples in much larger size in DIL than that of austenite transformation temperatures in DSC. However, it is worth noting that the NTE effect of the materials cannot be simply counted as the accumulation of the unit cell volume change during transformation. It is a complicated process of the volume contraction propagation from atomic scale to macro-scale when considering the issues of defects, boundaries and orientation of martensite in Ti-Ni alloys. This also explains the fact that the \(\alpha_{average}\) of porous Ti-Ni alloys in this study does not show regular variation corresponding to the Ni fraction according to Figure 1(a).

### Table 1. Austenite transformation temperature range, NTE temperature interval and the corresponding \(\alpha_{average}\) of porous Ti-Ni alloys with different Ni fractions.

| Ni(at.%) | B19’ → B2 | \(A_s\) (°C) | \(A_f\) (°C) | \(A_f - A_s\) (°C) | \(\Delta T_{NE}\) (°C) | \(\alpha_{average}\) (×10⁻₆ K⁻¹) |
|---------|------------|---------------|---------------|------------------|------------------|-------------------------|
| 42.5    | 89.7       | 111.3         | 21.4          |                  |                  | \(-5.56\)                |
| 43.0    | 85.6       | 110.4         | 24.8          |                  |                  | \(-8.94\)                |
| 43.5    | 82.9       | 109.3         | 26.4          |                  |                  | \(-8.81\)                |
| 43.8    | 81.7       | 108.3         | 26.6          |                  |                  | \(-7.83\)                |
| 44.0    | 85.2       | 108.0         | 22.8          |                  |                  | \(-9.64\)                |
| 44.7    | 80.3       | 100.4         | 20.1          |                  |                  | \(-8.17\)                |

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Figure 1. (a) Variation of austenite transformation range ($A_F-A_S$), NTE temperature interval ($\Delta T_{NTE}$) and corresponding $\alpha$ of porous Ti-Ni alloys with different Ni fraction. (b) Relationship between $\Delta T_{NTE}$ and ($A_F-A_S$) of porous Ti-Ni alloys.

Since the porous Ti-Ni alloy with optimized Ni/Ti atomic ratio and known coefficient of linear thermal expansion (CLTE) was successfully achieved, the Ti-Ni based NZTE composites can be designed based on the above results. In this study, SiC particles with weight fractions of 5%, 10%, 12% and 15% are composited with the porous Ti-43.8at.%Ni alloy respectively. The thermal expansion strain curves in the testing range of 30 to 200 °C of the above composites and the porous Ti-43.8at.%Ni alloy are given in Figure 2 for comparison. It can be figured out that the expansion strain curves of both the composites and Ti-Ni alloy show an obvious kink starting at around 110 °C, which indicates the decrease or decelerating increase of the thermal expansion caused by the austenite transformation of the matrix of Ti-Ni alloy. On the other hand, the NTE effect of Ti-Ni alloy is efficiently suppressed by introducing SiC particles. By varying the SiC fraction from 5% to 15%, the expansion strain and the CLTE of SiC/Ti-Ni composites in the “kinked” range is tuned from negative to positive. Among these composites, the 12wt.%SiC/Ti-43.8at.%Ni one display the desirable NZTE property with an average CLTE of -0.9787×10^{-6} K^{-1} in the temperature range of 113.7 to 131.6 °C, which is comparable to Invar alloy [4].

The XRD pattern and SEM image of the porous 12wt.%SiC/Ti-43.8at.%Ni composite with optimized NZTE property are shown in Figure 3. Clearly, the composite mainly consists of NiTi (B19') and Ti2Ni as that in porous Ti-Ni alloys and minor SiC. A very small amount of chemical reactants between Ti-Ni matrix and SiC generating during sintering, which mainly include TiC and Ni2Si also present in the composite [8]. According to the SEM image, SiC embedded in the matrix of porous Ti-Ni alloy still retain the particle-like shape. Thus, it is clear that the thermal expansion behavior of the SiC/Ti-Ni composites is the comprehensive result of the simple mixture of SiC and Ti-Ni alloy. It should also be noted that multiple secondary phases, mainly Ti2Ni, were formed during the sintering process of NiTi alloys. Bhagyaraj et al. [10] investigated the behavior and effect of Ti2Ni phase during processing of NiTi SMA and found that the amount of this secondary phase is about 1~2% by volume. Furthermore, Ti2Ni phase, as well as SiC particles, do not undergo phase transformation within the range of the testing temperatures. Therefore the negative thermal behavior is solely induced by the NiTi intermetallic matrix, and Ti2Ni second phase has, but very limited, contribution to the overall CTE due to the present small amount. Furthermore, it is also found that the thermodynamic driving force for the formation of Ni2Si and TiC in the present case can be high since the Gibbs free energies of Ni2Si (-142.7 kJ/mol) and TiC (-184.1 kJ/mol) are much lower than that of SiC (-71.4 kJ/mol) at elevated temperatures, as well as the strong reactivity of pure Ti. With the increasing amount of SiC, the chemical interactions between the mixing components will become significant and the transformation characteristics of the composite will become complicated. A systematic study on the effect of the SiC content on the phase transformation behavior of the composite, and the underlying principle responsible for the impact, is needed. However, in our preliminary studies where only small amount of SiC was added (less than 10%), it is found that
the influence of the SiC on the thermal responses of the composite is minor in terms of the forward and reverse phase transformation temperatures, as shown in Figure 4.

To better understand the mechanism of the thermal expansion behavior of porous SiC/Ti-Ni composites and reveal the fundamental of their NTZE effect, the theoretical CTEs predicated by different classical models were compared with the experimental results in the present study. So far, there are several classical models for prediction of CTE of composites, which include the ROM law [11], Turner’s model [12] and Kerner’s model [13], and the mathematical presentations of these three models are listed in equations (2) to (4) as shown below:

\[
\alpha_c = \alpha_1 V_1 + \alpha_2 V_2, \quad (2)
\]

\[
\alpha_c = \frac{\alpha_1 K V_1 + \alpha_2 K V_2}{K_1 V_1 + K_2 V_2}, \quad (3)
\]

\[
\alpha_c = \alpha_1 V_1 + \alpha_2 V_2 + \frac{V_1 V_2 (\alpha_1 - \alpha_2) (K_1 - K_2)}{V_1 K_1 + V_2 K_2 + (3K_1 K_2/4G_1)}, \quad (4)
\]

where \(\alpha\), \(V\), \(K\) and \(G\) are the CTE, volume fraction, bulk modulus and shear modulus of materials, and the subscripts \(c\), 1, and 2 refer to the composite, SiC particles and Ti-Ni matrix respectively. As for the experimental data, the weight fraction of SiC of the SiC/Ti-Ni composites needs to be converted into volume fraction by the following equation for further comparison to the classical models:

\[
V_i = \frac{W_i \rho_2}{W_i \rho_2 + (1 - W_i) \rho_1}, \quad (5)
\]

where \(W\) is the weight fraction and \(\rho\) is the density, the subscripts 1, and 2 refer to the SiC particles and Ti-Ni matrix respectively.

All parameters used for the above calculation are given in Table 2. Since the SiC particles only interact with the Ti-Ni matrix rather than the pores, thus the parameters of dense Ti-43.8at.%Ni are used in the following calculation. Density of Ti-Ni alloy is obtained via measuring the Ti-43.8at.%Ni dense alloy via the drainage method. Moreover, as for the ideal porous material, the coefficient of thermal expansion equals to that of the dense one. Thus the CTE of porous Ti-43.8at.%Ni in the negative thermal expansion temperature range is used for calculation. \(K\) and \(G\) of the Ti-Ni matrix are calculated from the elastic modulus \(E\) and Poisson ratio of NiTi alloy with the following formulas:

\[
K = \frac{E}{3(1-2v)}, \quad (6)
\]

\[
G = \frac{E}{2(1+v)}, \quad (7)
\]
amounts of secondary phases may present apart from the mixing components became significant and increasing. Figure 5. However, when relatively large amounts of SiC particles were used, less than 10% for example, the CTE of the composite can be well explained by the thermal mismatch of the above components upon heating will finally contribute to the CTE of the whole system, and thus plays an important role in the thermal expansion behavior of the composites.

In Figure 5, the as-calculated CTEs are compared with the experimental results obtained from the composites with SiC weight fractions of 5%, 10% and 12%, which are of negative CTEs. It is clear that our experimental data lies closest to Turner’s model especially at low SiC fraction and deviates from all models with increasing SiC fraction. This can be explained as the factor that the irregular-shaped SiC particles embedded in the Ti-Ni matrix shown in Figure 3(b) as reinforcement particles introduce complicated internal stress in the matrix via the chemical reactions. Thus neither the Kerner’s model for the spherical particle case nor the Turner’s model only considering the normal pressure of the reinforcements can fully describe the thermal expansion behavior of the SiC/Ti-Ni system, not even the ROM rule neglecting all interactions between different components. Moreover, this intrinsic internal stress due to the constraint between the PTE SiC and the NTE porous Ti-Ni matrix plus extra internal stress caused by the thermal mismatch of the above components upon heating will finally contribute to the CTE of the whole system, and thus plays an important role in the thermal expansion behavior of the composites.

In the present work, different amounts of SiC particles of irregular shape were mixed with Titanium and Nickel powders to form SiC/Ti-Ni composite, so that the CTE of the composite is not fit the predictions of the ROM law and the Kerner model. For cases where only small amounts of SiC particles were used, less than 10% for example, the CTE of the composite can be well explained by the Turner model where the chemical interactions between the components is negligible, as depicted in Figure 5. However, when relatively large amounts of SiC were added into the matrix, the interactions between the mixing components became significant and increasing amounts of secondary phases may present apart from the SiC particles and the NiTi matrix, as discussed in the previous section. To predict the CTE of such composites with complicated and multiple components, the impact of the secondary phases must be taken into account and their effects on the overall CTE of the composite is unnegligible. The present work reports that the as-sintered porous NiTi alloys exhibiting NTE behavior within the temperature range of 100 to 140 °C, and the range is strongly affected by Ni/Ti ratio. Moreover, it should be noted that the thermal expansion response of shape memory alloys can be further affected by thermal and mechanical treatments, for instance, Kainuma et al. [17] investigate the effect of cold rolling deformation on the thermal expansion behavior in a Cu-Zn-Al alloy.

The ROM law simply considers the CTE of composite as the weighted sum of the CTE of each component with neglecting all interactions between different components, which is applicable to composites of simple cases such as having multilayer-structured. The Turner model describes the thermal expansion behavior of particulate reinforced composites with consideration of the uniform hydrostatic stresses between different components. On the other hand, the Kerner model works for the composite consisting of spherical particles dispersed in the matrix, by taking both normal and shear stresses into account. In this study, only the major components of the SiC/Ti-Ni composite, i.e., Ti-Ni matrix and SiC are taken into account to calculate the theoretical CTEs, regardless of the limited amount of chemical reactions between them.

### Table 2. Parameters of Ti-Ni alloy and SiC used for the thermal expansion models calculation.

| Materials | Density (g·cm$^{-3}$) | E (GPa) | K (GPa) | G (GPa) | μ | α $10^4$ K$^{-1}$ |
|-----------|-----------------------|---------|---------|---------|---|------------------|
| Ti-Ni     | 6.22                  | 83[14]  | 81.4    | 31.2    | 0.33[14] | -7.92            |
| SiC       | 3.21                  | -       | 225[17] | 192[17] | - | 4.45[17]         |

In Figure 5, the as-calculated CTEs are compared with the experimental predicted ones of porous SiC/Ti-Ni composites with different SiC weight fraction (5%, 10% and 12%, note: corresponding volume fractions are used in the figure).

**4 Summary**

To sum up, the porous SiC/Ti-Ni composite with optimized high temperature NZTE property have been successfully fabricated via traditional powder metallurgy by tuning the Ni and SiC fractions. The porous 12wt.%SiC/Ti-43.8at.%Ni composite exhibits very low coefficient of thermal expansion (CTE) of $-0.976\times10^{-6}$ K$^{-1}$ from 114.08 to 131.50 °C. The NTE and NZTE effects of SiC/Ti-Ni composites are comprehensive results generated from the contraction of Ti-Ni matrix controlled by the austenite transformation, the normal expansion of SiC particles and thus induced internal stress upon heating in certain temperature range.

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