Monopole-charge instability

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Abstract

For monopoles with non-vanishing Higgs potential it is shown that with respect to “Brandt-Neri-Coleman type” variations (a) the stability problem reduces to that of a pure gauge theory on the two-sphere (b) each topological sector admits one, and only one, stable monopole charge, and (c) each unstable monopole admits \(2 \sum_{q<0} (2|q| - 1)\) negative modes, where the sum goes over all negative eigenvalues \(q\) of the non-Abelian charge \(Q\). An explicit construction for (i) the unique stable charge (ii) the negative modes and (iii) the spectrum of the Hessian, on the 2-sphere, is then given. The relation to loops in the residual group is explained. The negative modes are tangent to suitable energy-reducing two-spheres. The general theory is illustrated for the little groups \(U(2), U(3), SU(3)/\mathbb{Z}_3\) and \(O(5)\).

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1 INTRODUCTION

By linearizing the field equations around a monopole solution, Brandt and Neri [1] and Coleman [2] have shown that most non-Abelian monopoles are unstable with respect to small perturbations, unless all eigenvalues, $q$, of the non-Abelian charge, $Q$, (Ref. [3]) satisfy the “Brandt-Neri condition”

$$q = 0 \quad \text{or} \quad \pm \frac{1}{2}. \quad (1.1)$$

Goddard and Olive [4] prove then that the semisimple part of $Q$ must be of a very special form, known in representation theory as a “minimal vector” or a “minimal co-weight” (see Secs. 2 and 4 for details).

Asymptotic monopoles with residual group $H$ behave very much like pure Yang-Mills theory on $S^2$ with gauge group $H$. The solutions of the Yang-Mills (YM) equations are again characterized by a $Q$. But YM on $S^2$ is just a special case of YM on a Riemann surface, studied by Atiyah and Bott [6]. It follows then from the general theory that most solutions are unstable, and admit rather

$$\nu = 2 \sum_{q<0} (2|q| - 1) \quad (1.2)$$

negative modes, where the sum goes over all negative eigenvalues $q < 0$ of $Q$ [5] [7]. Note that $2q$ is always an integer because $2Q$ is a charge (see below). The zero eigenvalues do not appear in the sum in (1.2) and the eigenvalues $q = \pm \frac{1}{2}$ do not contribute. (1.2) is hence consistent with the Brandt-Neri condition (1.1).

The aim of this paper is to relate and complete the above results. After summarizing the necessary algebraic tools (and in particular the basic properties of minimal co-weights), we review those properties of finite-energy configurations (Sec. 3) and of solutions (Sec. 4) which are relevant for our purposes. Much of the content of these sections is already known [2] [4] [8] but we have assembled the results from different sources and summarized them for completeness and for the convenience of the reader.
Figure 1: The energy functional is a surface over finite energy field configurations. Monopoles are critical points whose local stability depends on the shape of the surface in the neighbourhood of the critical point. For example, the critical point on Fig. 1a is stable, while that on Fig. 1b is unstable.

As well-known, monopoles fall into topological sectors separated by infinite energy barriers and labelled by homotopy classes in $\pi_1$ of $H$, the residual group after spontaneous symmetry breaking. We show that, for any compact and connected $H$, each topological sector contains a unique “minimal” charge, $\hat{Q}$, i.e. one whose semi-simple part is a minimal co-weight. Minimal charges are thus introduced here independently of stability considerations, as labels of the topological sectors.

Monopoles are critical points of the Yang-Mills energy functional. Our first approach to instability is local in the sense that it only involves the behaviour of the energy functional in the neighbourhood of a critical point. This behaviour is characterized by the second variation, called the Hessian. More generally, if a field $\psi$ is a critical point of some energy functional $E(\psi)$, then $\delta E(\delta \psi) = 0$ for any variation $\delta \psi$. The expansion

$$E(\psi + \delta \psi) = E(\psi) + \frac{1}{2} \delta^2 E(\delta \psi, \delta \psi) + O(\delta \psi^3)$$

(1.3)

shows then that $\psi$ is locally stable if the Hessian has no negative eigenvalues. Having a negative value would mean in fact that the excitation $\delta \psi$ has negative mass-square, i.e. the energy of the configuration $\psi$ could be reduced by tachyon formation.

Geometrically, the energy is an (infinite-dimensional) surface over finite-energy configurations, and the stability of a critical point depends on the shape of the surface, cf. Fig. 1.

In this paper we restrict our attention to those asymptotic variations of the gauge field alone previously considered by Brandt and Neri [1] and by Coleman [2]. We show that for non-zero Higgs potentials the 3-dimensional problem essentially reduces to pure YM theory on $S^2$.

Other types of variations may also lead to instability. For example, a multicharged configuration can dissociate into single monopoles [9]. The non-Abelian charge $Q$ is kept fixed under such a process. Our problem here is therefore different: we inquire about the stability of the charge $Q$ itself. More precisely, we want to know whether a configuration with charge $Q_1$ can decay into another one, whose charge is $Q_2$. Thus the relevance of the problem studied in Ref. [9]
is that, in multiply-charged topological sectors, the vacuum itself may not exist i.e. there are no static solutions to the field equations (the energy has an infimum but no minimum). Such a situation would, of course, reduce the importance of our results. It seems, though, to be rather exceptional [10].

Another interesting type of monopole instability is the one studied by Taubes [11], whose results concern Prasad-Sommerfield monopoles. They correspond to variations of the Higgs field rather than to those of the gauge field. Our results here are complementary to these aspects.

For $S^2$, the general theory of Atiyah and Bott [6] can be related to the Brandt-Neri-Coleman rotation-group approach. Indeed, on the $q$-eigenspace the interesting part of the Hessian is

$$
\int drd\Omega \text{Tr} \left\{ \left( J^2 - q(q + 1) \right) \delta A \right\} \delta A + q \int drd\Omega \text{Tr} (\delta A)^2,
$$

(1.4)

where $J^2 = j(j + 1)$ is the Casimir of the angular momentum vector $J$ of the spin-1 field $\delta A$.

Since the first term is non-negative and the first non-zero eigenvalue is at least $2|q|$, a negative mode can occur only if the first term in (1.4) vanishes and the second is negative, which only happens if $q \leq -1$ and $j = |q| - 1$.

(1.5)

From this result it is evident that the negative modes form a $2j + 1 = 2|q| - 1$ dimensional SU(2) multiplet. A simple way of counting the number of negative modes is to use the diagram introduced by Bott [12].

The special form (1.4) of the Hessian makes it possible to construct the negative modes explicitly: in terms of the complex (stereographic) coordinates $z$ and $\bar{z}$ on $S^2$, they are given

$$
\left( \begin{array}{c} a_z \\ a_{\bar{z}} \end{array} \right) = \left( \begin{array}{c} \bar{z}^k (1 + z\bar{z})^{-|q|} E_\alpha \\ 0 \end{array} \right), \quad \left( \begin{array}{c} 0 \\ a_z \end{array} \right) = \left( \begin{array}{c} 0 \\ z^k (1 + z\bar{z})^{-|q|} E_{-\alpha} \end{array} \right)
$$

(1.6)

$k = 0, \ldots, 2|q| - 2$, where the $E_\alpha$’s are those eigenvectors of $[Q, \cdot]$ with eigenvalues $q = \alpha(Q) \leq -1$. The positive modes ($j \geq |q|$ states) may be constructed by the same technique.

In the Brandt-Neri case $q = 0$ or $\pm \frac{1}{2}$ there are no $j = |q| - 1$ states, and the monopole is stable. It follows from the topological formulation that, for any compact $H$, the only charge which satisfies this condition is $Q$ itself. Physically, in each topological sector, $Q$ minimizes the energy in the Coulomb tail [4].

The integrand in the Hessian is essentially a supersymmetric Hamiltonian on $S^2$, and the negative modes correspond to its ground state, whose multiplicity (called the Witten index) is exactly the instability index $2|q| - 1$ [13]. This is also the Atiyah-Singer index for vectors on $S^2$.

For Bogomolny-Prasad-Sommerfield monopoles [14] there is an extra term $q^2$ in the Hessian due to the long-range Higgs field, which cancels the corresponding term in (1.4) and the relevant part of the Hessian is rather

$$
\int drd\Omega \text{Tr} (J^2 \delta A \delta A),
$$

(1.7)

which is manifestly positive. It follows that BPS monopoles are stable with respect to variations of the gauge field alone. (See, however, Ref. [11]).

Another intuitive way of understanding monopole instability is by thinking of them as elastic strings [2]: monopoles decay just like strings shrink to shorter configurations (actually to the shortest one allowed by the topology). Remarkably, this analogy can be made rigorous. Indeed, the well-known expression

$$
h^A(\varphi) = P \left( \exp \oint_{\gamma \varphi} A \right),
$$

(1.8)
Figure 2: Global aspects of instability. A ball put to the top of a sphere (Fig.2a) or to that of a torus (Fig.2b) rolls down to another, lower-lying critical point and ultimately arrives to the stable configuration. The \( \nu = 2 \) critical points correspond to non-vanishing classes in \( H_2 \), the second homology group. \( H_2 = \pi_2 = \mathbb{Z} \) for \( S^2 \), while \( H_2 = \mathbb{Z} \) and \( \pi_2 = 0 \) for the torus.

where \( \gamma_\phi(\theta) \) is a 1-parameter family of loops sweeping through the two-sphere, associates a loop in the residual group \( H \) to any YM potential \( A \) on \( S^2 \).

The map (1.8) has been used before \[2, 3, 8\] for describing the topological sector of a monopole. It contains however much more information: as a matter of fact, it puts all homotopy groups of finite-energy YM configurations and of loops in \( H \) in a (1-1) correspondence \[15\].

The energy of a loop in \( H \) can be defined (Sec. 7) and a variational calculus, analogous to YM on \( S^2 \), can be developed (this is in fact a kind of “1-dimensional \( \sigma \)-model’). Remarkably, the map (1.8) carries monopoles i.e. critical points of the YMH functional, into geodesics, which are critical points of the loop-energy functional. Furthermore, the number of instabilities is also the same, namely (1.2) \[7\].

These facts are explained by Morse theory \[16\]: the energy functionals of both YM on \( S^2 \) and of loops in \( H \) are “perfect Morse functions”, and so their critical points correspond to changes in the topology of the underlying space \[10\]. But the map (1.8) is a homotopy equivalence \[15\], so all topological properties of the two spaces are the same.

A convenient choice of the \( \gamma_\phi(\theta) \)’s allows also to recover the loop-negative modes (explicitly constructed in Sec. 7) as images of the YM-modes (1.6).

After these local considerations we investigate the global properties. What happens in fact to an unstable monopole? Although it cannot leave its topological sector, it can go into another state in the same sector, because all such configurations are separated only by finite energy.

Semiclassically, an unstable monopole will move as to decrease its energy. For example, a ball put to the top of a torus will roll down to another critical point (Fig. 2). If this is again an unstable configuration, it will continue to roll until it arrives at a stable position.
Most saddle-points in field theories studied so far are associated to noncontractible (hyper)loops of field configurations. It is easy to see that there are no non-contractible loops in our case. There are, however, non-contractible spheres. In Sec. 8 we construct energy reducing two-spheres between a given unstable configuration and certain other, lower-energy configurations. The number of independent two-spheres is half the number \( n \) in (1.2) and their tangent vectors at the top yield negative modes (cf. Fig. 2). We also hope that our energy-reducing spheres provide some information on the possible routes of decay for the monopole.

Section 9 is devoted to examples. First we study the residual group \( H = U(2) \) \(^{18}\) and \( H = U(3) \). Another nice example is provided by \( H = SU(3)/\mathbb{Z}_3 \), previously studied in higher-dimensional Yang-Mills theory \(^{19}\). A simple example where the special property of the stable charges (mentioned above) enters, is when the semisimple part \( H \) is (a covering of) SO(5).

## 2 Algebraic Structure

Let us consider a compact simple Lie algebra \( \mathfrak{g} \) and choose a Cartan subalgebra \( \mathfrak{h} \). A root \( \alpha \) is a linear function on the complexified Cartan algebra \( \mathfrak{g}^\mathbb{C} \), and to each \( \alpha \) is associated a vector \( E_\alpha \) (the familiar step operator) from \( \mathfrak{g}^\mathbb{C} \) which satisfies, with any vector \( H \) from \( \mathfrak{g}^\mathbb{C} \), the relation

\[
[H, E_\alpha] = \alpha(H)E_\alpha. 
\] (2.1)

There exists a set of primitive roots \( \alpha_i, \ i = 1, \ldots, r \) \((r = \text{rank})\) such that every positive root is a linear combination of the \( \alpha_i \) with non-negative integer coefficients i.e. \( \alpha = \sum m_i \alpha_i \) for all \( \alpha \).

Alternatively, we can consider the real combinations \( X_\alpha = E_\alpha + E_{-\alpha} \) and \( Y_\alpha = -i(E_\alpha - E_{-\alpha}) \) which satisfy \([H, X_\alpha] = iq_\alpha Y_\alpha, [H, Y_\alpha] = -iq_\alpha X_\alpha, (q_\alpha = \alpha(H)).\]

If \( \alpha \) is a root, define the vector \( H_\alpha \) in \( \mathfrak{g}^\mathbb{C} \) by \( \alpha(X) = \text{Tr} (H_\alpha X) \). Choosing the normalization \( \text{Tr} (E_\alpha, E_{-\alpha}) = 1 \), we have \([E_\alpha, E_{-\alpha}] = H_\alpha, [X_\alpha, Y_\alpha] = 2iH_\alpha \). Therefore, for each root \( \alpha, H_\alpha \) and the \( E_{\pm \alpha} \)'s (or the real combinations \( X_\alpha \) and \( Y_\alpha \)) form SO(3) subalgebras of \( \mathfrak{g} \).

The primitive charges \( Q_i \) are defined by

\[
Q_i = \frac{2H_i}{\text{Tr} (H_i^2)} \quad \text{where} \quad H_i = H_{\alpha_i}. 
\] (2.2)

The primitive charges form a natural (nonorthogonal) basis for the Cartan algebra and by adding the \( E_\alpha \)'s we get a basis for the Lie algebra \( \mathfrak{g}^\mathbb{C} \). Similarly, the primitive charges and the \( \{ X_\alpha, Y_\alpha \} \) form a basis for the real algebra \( \mathfrak{g} \). The integer combinations \( \sum_i n_i Q_i \) of the primitive charges form an \( r \)-dimensional lattice \( \Gamma_P \) sitting in the Cartan algebra.

Let us introduce next another basis for the Cartan algebra with elements \( W_i \) dual to the primitive roots,

\[
\alpha_i(W_j) = \text{Tr} (H_i W_j) = \delta_{ij}, \quad i, j = 1, \ldots, r. 
\] (2.3)

Comparing (2.3) with the conventional definition \(^{15}\) of primitive weights, for which there is an extra factor \( (\alpha_i, \alpha_i)/2 \) in front of the \( \delta_{ij} \), one sees that the \( W_i \)'s are just re-scaled weights. They are called co-weights \(^4\) and it is evident that they can be normalized so as to coincide with the conventional weights (by choosing \( (\alpha_i, \alpha_i) = 2 \) for all groups whose roots are of the same length, i.e. all groups except \( \text{Sp}(2n), \text{Sp}(2n + 1) \) and \( G_2 \).

The integer combinations \( \sum m_i W_i \) form another lattice we denote by \( \Gamma_W \). Since \( \alpha(Q_i) \) is always an integer, the \( W \)-lattice actually contains the primitive-charge lattice, \( \Gamma_P \subset \Gamma_W \). The root planes of \( \mathfrak{g} \) are those vectors \( X \) in the Cartan algebra for which \( \alpha(X) \) is an integer, i.e., those
vectors which have integer eigenvalues in the adjoint representation. The root planes intersect in the points of the $W$-lattice.

Both lattices $\Gamma_P$ and $\Gamma_W$ depend on the Lie algebra and not on the group it generates. Now we define a third lattice, which does depend on the global structure.

Denote by $\tilde{K}$ the (unique) compact, simple, and simply connected Lie group generated by $\mathfrak{k}$. Any other group $K$ whose Lie algebra is $\mathfrak{k}$ is then of the form $K = \tilde{K}/C$, where $C$ is a subgroup of $Z = Z(\tilde{K})$, the center of $\tilde{K}$. $Z$ is finite and Abelian, so $C$ is always discrete. Since $\tilde{K}$ is simply connected, $C$ is just $\pi_1(K)$, the first homotopy group of $K$.

The primitive charges satisfy the quantization condition $\exp 2\pi i Q_i = 1$ (exponential in $\tilde{K}$) and thus also in any representation of $K$ i.e. in any other group $K$ with the same Lie algebra. For any set $n_i$, $i = 1, \ldots, r$ of integers,

$$\exp \left[ 2\pi i \sum n_i Q_i \right], \quad 0 \leq t \leq 1, \tag{2.4}$$

(exponential in $K$) is hence a contractible loop in all representations. Since any loop is homotopic to one of the form $\exp 2\pi i t Q$, $0 \leq t \leq 1$, we conclude that the lattice $\Gamma_P$ consists of the generators of contractible loops.

More generally, let us fix a group $K$ (i.e., a representation of $\tilde{K}$) and define a general charge $Q$ to be an element of the Cartan algebra such that

$$\exp[2\pi i Q] = 1 \quad \text{in} \quad K, \tag{2.5}$$

so that $\exp[2\pi i t Q]$, $0 \leq t \leq 1$, is a loop.

Those $Q$’s satisfying the quantization condition (2.5) form the charge lattice, denoted by $\Gamma_Q$. It depends on the global structure, but it always contains $\Gamma_P$, the lattice of contractible loops. $\Gamma_P$ and $\Gamma_Q$ are actually the same for the covering group $\tilde{K}$. More generally, two loops $\exp[2\pi i t Q_1]$ and $\exp[2\pi i t Q_2]$ are homotopic if and only if $Q_1 - Q_2$ belongs to $\Gamma_P$, so that $\pi_1(K)$ is the quotient of the lattices $\Gamma_Q$ and $\Gamma_P$.

On the other hand, the charge lattice $\Gamma_Q$ is contained in the $W$-lattice $\Gamma_W$, because for any root $\alpha$ and charge $Q$,

$$1 = \left( \exp[2\pi i E_\alpha] \right) \left( \exp[2\pi i Q] \right) \left( \exp[-2\pi i E_\alpha] \right) = \exp \left[ 2\pi i (e^{2\pi i E_\alpha} Q e^{-2\pi i E_\alpha}) \right]$$

$$= e^{2\pi i \alpha(Q)} \exp[2\pi i E_\alpha] = e^{2\pi i \alpha(Q)},$$

and hence $\alpha(Q)$ is an integer.

The three lattices introduced above satisfy therefore the relation

$$\Gamma_P \subset \Gamma_Q \subset \Gamma_W. \tag{2.6}$$

In general, $\exp[2\pi i W_j]$ is not unity in the fundamental representation of $\tilde{K}$. It is however unity in the adjoint representation,

$$\exp[2\pi i W_j] = z_j \tag{2.7}$$

belongs therefore to the center of $\tilde{K}$. Hence the two lattices $\Gamma_P$ and $\Gamma_W$ coincide for the adjoint group.

Note that the correspondence $W_j \sim z_j$ is one-to-one only for SU($n$) since for the other groups there are $r$ $W$’s but less than $r$ elements in the center (as shown in Table 1).
| center   | group         | $\tilde{W}$ as matrix | $\tilde{W}$ as weight | $\tilde{W}$ representation | highest root expansion |
|----------|---------------|------------------------|------------------------|-----------------------------|------------------------|
| $\mathbb{Z}_2$ | $\text{Spin}(2n+1)$ | $\sigma_{\mu\nu} = (i/4)[\gamma_\mu, \gamma_\nu]$, $\mu \neq \nu$ | $\omega_1$ | $F_1$ (vector) | $\alpha_1 + 2\alpha_2 + \cdots + 2\alpha_{r-1} + 2\alpha_r$ |
| $\mathbb{Z}_2$ | $\text{Sympl}(2n)$ | $(1/2)\sigma_3 \times 1_n$ | $2\omega_r$ | $F_r$ (rank $r$ antisym. tensor) | $2\alpha_1 + 2\alpha_2 + \cdots + 2\alpha_{r-1} + \alpha_r$ |
| $\mathbb{Z}_2$ | $E_7$ | $(1/2)\sigma_2 \times 1_{28}$ | $2\omega_1$ | 56 - dimensional | $\alpha_1+$ |
| $\mathbb{Z}_3$ | $E_6$ | $(1/3)y \times 1_q$ | $\omega_1, \omega_2$ | $27, 27$ | $\alpha_1 + \alpha_2+$ |
| $\mathbb{Z}_2 \times \mathbb{Z}_2$ | $\text{Spin}(4n)$ | $\sigma_{\mu\nu}, (1/2)(1 \pm \gamma)\sigma_{\mu\nu}$ | $\omega_1, \omega_{r-1}, \omega_r$ | $F_1$ (vector), $S^\pm$ (spinor) | $\alpha_1 + 2\alpha_2 + \cdots + 2\alpha_{r-2} + \alpha_{r-1} + \alpha_r$ |
| $\mathbb{Z}_4$ | $\text{Spin}(4n+2)$ | $\frac{1}{2}\gamma, \frac{1}{4} + \frac{1}{2}(1 \pm \gamma)\sigma_{\mu\nu}$ | $\omega_1, \omega_{r-1}, \omega_r$ | $F_1$ (vector), $S^\pm$ (spinor) | $\alpha_1 + 2\alpha_2 + \cdots + 2\alpha_{r-2} + \alpha_{r-1} + \alpha_r$ |
| $\mathbb{Z}_n$ | $\text{SU}(n)$ | $\frac{1}{n}\text{diag}(k, n-k)$ | $\omega_k, \ k = 1, \ldots, n-1$ | $n-1$ primitive reps. $F_k$ | $\alpha_1 + \alpha_2 + \cdots + \alpha_{n-1} + \alpha_{n-1}$ |

Table 1: The simply connected simple compact Lie groups with non-trivial centres, their minimal co-weights, expressed as matrices and as primitive weights, the representations characterized by co-weights and the expansions of the highest roots in terms of the primitive roots. Here $\sigma_2$ and $\sigma_3$ denote Pauli matrices, $\gamma_\mu$ Clifford matrices, $y$ the SU(3) hypercharge diag(2, −1, −1) and $\gamma = \gamma_1 \cdots \gamma_4$. 
On the other hand, the correspondence \( W \sim z \) can be made one-to-one by restricting the \( W \)'s to those ones, \( \tilde{W} \)'s (say), for which the geodesics \( \exp[2\pi i \tilde{W} t] \) \( (0 \leq t \leq 1) \) are geodesics of minimal length from 1 to \( z \) i.e. for which \( \text{Tr} \tilde{W}^2 \) is minimal for each \( z \in Z \). (Since the weights \( W \) are all of different lengths and are unique up to conjugation, the \( \tilde{W} \) for each \( z \in Z \) will be unique up to conjugation). Such co-weights \( W \) are called minimal vectors or minimal co-weights [4], and a simple intuitive way to find them (indeed an alternative way to introduce them) is as follows.

Let \( z \in Z \) be a central element in the fundamental representation \( F \) of the group and let \( f \) be the dimension of \( F \). Then by Schur’s lemma and the unimodularity of \( F \) the elements \( z \) must be of the form \( z = \exp[2\pi i \lambda] \mathbb{1}_f \), where \( \lambda = p/f \) and \( p \) is an integer between 0 and \( f \). (Note that if \( F \) is real or pseudo-real, \( z \) must be real and therefore equal \( \pm 1 \), a result which explains the abundance of \( Z = \mathbb{Z}_2 \)'s in Table 1). It is clear that \( z \) is an element of the center of \( SU(f) \) as well as of \( K \), and hence one may start by constructing the minimal geodesic from \( \mathbb{1}_f \) to \( z \) in \( SU(f) \). Let this be \( \exp[2\pi i t \Sigma] \), \( 0 \leq t \leq 1 \), where \( \Sigma \) is a generator in \( SU(f) \). Since \( \exp[2\pi i t \Sigma] = \left( \exp[2\pi i \lambda] \right) \mathbb{1}_f \), the eigenvalues of \( \Sigma \) can only be of the form \( \lambda + \ell_k \), \( k = 1, \ldots, f \), where the \( \ell_k \) are integers, and hence the geodesic length must be proportional to \( \sum_k (\lambda + \ell_k)^2 \).

It is clear that this length will be smaller for \( \ell_k = 0 \) or \((-1)\) than for any other set of \( \ell \)'s. But since \( \Sigma \) must be traceless, there is (up to conjugation) only one \( \Sigma \) for which \( \ell_k = 0, -1 \), namely

\[
\Sigma = \frac{1}{f} \begin{pmatrix} p \mathbb{1}_q & 0 \\ 0 & -q \mathbb{1}_p \end{pmatrix}, \quad \text{where } p + q = f. \tag{2.8}
\]

For \( K = SU(n) \) this is the end of the story, since \( n = f \) and hence \( \tilde{W} = \Sigma \). But remarkably, this is the end of the story also for the other groups. More precisely, for every group given in Table 1, \( \tilde{W} \) is an \( SU(f) \) conjugate of \( \Sigma \). We do not know of a universal (i.e. group-independent) proof of this result, but it is not difficult to verify it for each class of group in Table 1 separately. For this purpose it is convenient to characterize \( \Sigma \) in a conjugation-independent manner, namely to write

\[
\left( \frac{\Sigma - \frac{p}{f}}{\frac{q}{f}} \right) \left( \Sigma + \frac{q}{f} \right) = 0, \tag{2.9}
\]

since then one has only to verify that the group in question has a generator satisfying (2.9) for a given central element i.e. given fraction \( p/f \). Now for the groups with center \( \mathbb{Z}_2 \) and \( \mathbb{Z}_2 \times \mathbb{Z}_2 \) this equation reduces to \( \Sigma^2 = \frac{1}{4} \) and it is easy to verify that the generators shown in Table 1 have this property. Similarly for the only group with center \( \mathbb{Z}_3 \), namely \( E_6 \), it can be verified directly that it has a generator of the form \( (y/3) \times \mathbb{1}_q \) and that such a generator satisfies (2.9) for \( p/f = 1/3 \). The class of groups with center \( \mathbb{Z}_4 \), namely \( \text{Spin}(4n + 2) \), is perhaps the most interesting. In this case \( \Sigma \) should satisfy the equation

\[
\Sigma^2 = \frac{1}{4} \quad \text{or} \quad (\Sigma + \frac{1}{4})(\Sigma + \frac{3}{4}) = 0 \tag{2.10}
\]

and one can see that the entries of \( \tilde{W} \) given in Table 1 satisfy these equations and are generators by recalling that \( \text{Spin}(4n + 2) \) splits into the direct sum of two inequivalent spin representations of \( \text{Spin}(4n) \) with generators \((1 \pm \gamma)[\gamma_{\mu}, \gamma_{\nu}]/2\) respectively, where \( \gamma = \gamma_1 \ldots \gamma_{4n} \) is the generalization of \( \gamma_5 \) to \( 4n \) dimensions.

Collecting the results for the different groups together, one sees that in all cases the \( \tilde{W} \)'s in the fundamental representation are matrices with
• (i) only two distinct eigenvalues,
• (ii) unit difference between the eigenvalues.

Since it can be shown that the converse is true (any such matrix is an $\hat{W}$) the $\hat{W}$ may actually be characterized by this property. Furthermore, since the adjoint representation occurs in the tensor product $F \times F^*$, the properties (i) and (ii) may also be expressed by saying that the $\hat{W}$'s can have only eigenvalues 0 or $\pm 1$ in the adjoint representation, and since the converse is again true, the $\hat{W}$'s may be characterized by this $0, \pm 1$ property also.

In terms of roots $\alpha$, the $0, \pm 1$ property (crucial in the stability investigation) may be expressed by saying that for any positive root $\alpha$ the quantity $\alpha(\hat{W})$ must be zero or unity $[4, 5]$:

$$ [E_\alpha, W] = \alpha(W)E_\alpha \Rightarrow \alpha(\hat{W}) = 0, \pm 1. $$

(2.11)

If one considers in particular the expansion of the highest root $\theta$ in terms of the primitive roots $\alpha_i$, $\theta = \sum h_i \alpha_i$, $h_i \geq 1$, and applies (2.11) to both sides of this equation, one sees that $\alpha_i(\hat{W})$ can be non-zero for only one primitive root, $\alpha_i$ (say), and that the coefficient $h_i$ of $\alpha_i$ must be unity $[4, 5]$. This result provides us with a simple, practical method of identifying the $\hat{W}$'s in terms of primitive weights, namely as the duals to those primitive roots for which the coefficient in the expansion of $\theta$ is unity $[4, 5]$. This method has been used to obtain the identification given in Table 1.

The $W$-lattice containing the charge lattice, together with the root planes, form the Bott diagram $[12]$ of $K$. Those vectors satisfying the condition (2.11) either lie in the center or belong to the root plane which is the closest to the center. Examples are given in Sec. 9.

3 FINITE ENERGY CONFIGURATIONS AND HIGGS BREAKDOWN

Our starting point is a static, purely magnetic Yang-Mills-Higgs (YMH) system with a simple and compact gauge group $G$, given by the Hamiltonian

$$ E = \int \frac{1}{2} \left( \text{Tr} B^2 + \text{Tr} (D\Phi D\Phi) + 2V(\Phi) \right) d^3x , \quad V(\Phi) \geq 0; $$

(3.1)

where $V(\Phi)$ is a Higgs potential for the scalar field $\Phi$, $B$ is the Yang-Mills magnetic field and $D\Phi$ is the covariant derivative,

$$ B_i = \frac{1}{2} \varepsilon_{ijk} B_{jk}, \quad B_{jk} = \nabla_j A_k - \nabla_k A_j - i[A_j, A_k], \quad D_j \Phi = \nabla_j \Phi - iA_j \Phi, $$

where $A$ is the gauge potential and $A\Phi$ denotes its action on $\Phi$ in the representation to which $\Phi$ belongs. For example, if $\Phi$ is in the adjoint representation, $A\Phi$ means $[A, \Phi]$.

In this section we shall not require that the fields satisfy the Euler-Lagrange field equations, but only that they be of finite energy, i.e., such that the integral in (3.1) converges. One reason for this is to emphasize that the most important spontaneous symmetry breakdown, namely that of the Higgs potential, comes from the finite energy and not from the field equations.

We shall consider the three terms in the Hamiltonian (3.1) in turn. It will be convenient to use the radial gauge $x \cdot A = 0$. 

10
Pure gauge term $\text{Tr} B^2$

For sufficiently smooth gauge fields the finite energy condition imposed by this term is evidently

$$A(x) \to \frac{A(\Omega)}{r}, \quad B(x) \to \frac{b(\Omega)}{r^2} = b(\Omega) \frac{x^i}{r^3},$$

(3.2)

where $\Omega$ denotes the polar angles $(\theta, \varphi)$.

Although $A(\Omega)$ and $b(\Omega)$ must be single-valued on the sphere $S^2$, they need not be quantized for (3.2) to be satisfied. The situation is analogous to an Aharonov-Bohm potential in two dimensions, where the gauge field is single-valued but the magnetic flux need not be quantized.

Higgs potential $V(\Phi)$

The finite energy condition for this term is evidently $r^2 V(\Phi) \to 0$ as $r \to \infty$. A necessary condition for this is that $V \to 0$. But $V \geq 0$ is assumed to be a Higgs potential i.e. minimizes on a non-trivial group orbit $G/H$. Therefore, at large distances, the Higgs field is not zero, but takes its values on the orbit $G/H$ and may depend nontrivially on the polar angles $\Omega$. Then $\Phi(\Omega)$ defines a map of $S^2$ into the orbit $G/H$ and thus a homotopy class in $\pi_2(G/H)$. Since this class can not be changed by smooth deformations, the part of finite-energy configurations splits into topological sectors, labelled by $\pi_2(G/H)$.

As well-known, the topological sectors can be labelled also by certain classes in $\pi_1(H)$. Indeed, on the upper and respectively on the lower hemispheres $N$ and $S$ of $S^2$, $\Phi(\Omega) = g_N(\Omega)\Phi(E)$ in $N$ and $\Phi(\Omega) = g_S(\Omega)\Phi(E)$ in $S$, where $E$ is an arbitrary point in the overlap, the “east pole”.

$$h(\varphi) = g_N^{-1}(\varphi) g_S(\varphi)$$

(3.3)

(3.3) is contractible in $G$ [2, 8]. For any compact and connected Lie group $H$, $\pi_1(H)$ is of the form

$$\pi_1(H) = \mathbb{Z}^p \oplus \mathbb{T},$$

(3.4)

where $p$ is the dimension of the center $Z$ of $H$ and $\mathbb{T}$ is a finite Abelian group [22]. In fact, $\mathbb{T}$ is isomorphic to $\pi_1(K)$, where $K$ is the compact and semisimple subgroup of $H$ generated by $[\mathfrak{h}, \mathfrak{h}]$.

The free part $\mathbb{Z}^p$ provides us with $p$ integer “quantum” numbers $m_1, \ldots, m_p$. They can be calculated as surface integrals as follows. To a physical Higgs field $\Phi(\Omega)$ in any representation and to each vector $\Psi$ from the center of the Lie algebra $\mathfrak{h}$, we can associate a new, adjoint “Higgs” field $\Psi(\Omega)$ defined by $\Psi(\Omega) = g(\Omega)\Psi g^{-1}(\Omega)$, where $g(\Omega)$ is any of those “lifts” in (3.3). $\Psi(\Omega)$ is well-defined, because $g$ belongs to the center. In particular, the projections of the charge lattice $\Gamma_Q$ into the center is a $p$-dimensional lattice there, generated over the integers by $p$ vectors $\Psi_1, \ldots, \Psi_p$. The above construction associates then adjoint “Higgs” field $\Psi_1(\Omega)$ to $[2, 8]$.

$^2$For the so-called vortex system, in which there exists, in addition to the gauge field, a scalar field $\Phi(x)$, which remains finite and covariantly constant as $r \to \infty$ does the flux become quantized. The generalization of the vortex case will be seen below.
each generator $\Psi_i$, and the quantum numbers $m_k$ are calculated according to

$$m_k = \frac{1}{2\pi} \int d\Omega_{ij} \text{Tr} \left( \Psi_k(\Omega) \left[ \partial_i \Psi_k(\Omega), \partial_j \Psi_k(\Omega) \right] \right). \quad (3.5)$$

(The finite part of $\pi_1(H)$ has no similar expression.)

The physically most relevant case is when the homotopy group $\pi_1(H)$ is described by a single integer quantum number $m$. This happens when the Lie algebra $\mathfrak{h}$ of $H$ has a 1-dimensional center generated by a single vector $\Psi$ and the semisimple subgroup $K$ is simply connected. This happens in particular when the Higgs field $\Phi(\Omega)$ belongs to the adjoint representation of a classical group $G$, and the Higgs potential $V(\Phi)$ is quartic: this is the content of the Michel conjecture [23]. In fact, for the adjoint representation of a classical group, $\Phi$ itself generates the center and is parallel to one of the primitive (but not necessarily minimal) $W_j$’s. More generally, if the Higgs field is in the adjoint representation, $T$ is always trivial so that $\pi_1(H) = \mathbb{Z}^p$.

The homotopy classification is not merely convenient, but is mandatory in the sense that the classes are separated by infinite energy barriers. Thus, while an interpolated field of the form $\Phi^\tau = \tau \Phi_1 + (1 - \tau)\Phi_2$, $0 \leq \tau \leq 1$ between two finite-energy configurations $\Phi_1$ and $\Phi_2$ is perfectly smooth if $\Phi_1$ and $\Phi_2$ are smooth, it does not satisfy the finite-energy condition $r^2 V(\Phi^\tau) \to 0$, or even $V(\Phi^\tau) \to 0$, as $r \to \infty$, for general $\tau$.

Note that since not only $V \to 0$ but $r^3 V \to 0$ one has, using the notation $\eta = \Phi(r, \Omega) - \Phi(\Omega)$,

$$r^3 M_{\alpha\beta} \eta_\alpha \eta_\beta \to 0 \quad \text{where} \quad M_{\alpha\beta} = \left. \frac{\partial^2 V}{\partial \Phi_\alpha \partial \Phi_\beta} \right|_{r=\infty} \quad (3.6)$$

and hence for generic potentials (i.e. those for which the only zeros of the ‘mass matrix’ $\partial^2 V / \partial \Phi^2$ at $V = V_{\text{min}}$ are the Goldstone zeros) the physical part of $\eta$ falls off faster than $r^{-1}$ as $r \to \infty$ and one gets $\Phi(x) \to \Phi(\Omega) + \eta(r, \Omega)$, where $r\eta(r, \Omega) \to 0$ as $r \to \infty$. A notable exception to this observation is the Bogomolny-Prasad-Sommerfield (BPS) case $V = 0$, for which the Bogomolny condition $B = D\Phi$ implies [14] that

$$\Phi(x) \to \Phi(\Omega) + \frac{b(\Omega)}{r} + O(1/r^2) \quad \text{as} \quad r \to \infty. \quad (3.7)$$

The cross-term $(D\Phi)^2$

This final term involves both $\Phi$ and $A$ and it hence provides the connection between the Higgs field $\Phi(\Omega)$ and the gauge field $b(\Omega)$ and thus puts a topological constraint on the gauge field. As might be expected from the vortex analogy, this constraint may be expressed as a quantization condition as follows: the finite energy condition is easily seen to be $r^2 (D\Phi)^2 \to 0$ and thus $\Phi(\Omega)$ and $\Psi(\Omega)$ are hence both covariantly constant on $S^2$,

$$d\Phi \equiv \partial \Phi - iA(\Omega) \Phi(\Omega) = 0, \quad d\Psi \equiv \partial \Psi - i[A(\Omega), \Phi(\Omega)] = 0, \quad (3.8)$$

where $\partial = r\nabla$.

The topological quantum numbers $m_k$ can be expressed in this case as

$$m_k = \frac{1}{2\pi} \int d\Omega \text{Tr} (\Psi_{k} b), \quad k = 1, \ldots, p. \quad (3.9)$$

Equation (3.9) is the generalization of the vortex quantization condition mentioned earlier and it shows that in general it is not the gauge field $b$ itself, but only its projection onto the center
that is quantized. Note that the quantization of \( \int \text{Tr} (\Psi_k b) \) is again mandatory, since the value of \( \text{Tr} (\Psi_k b) \) cannot be changed without violating at least one of the finite-energy conditions \( r^2 V \to 0 \) or \( r^3 (D \Phi)^2 \to 0 \) and thus passing through an infinite energy barrier.

Notice that the value of (3.8) is actually independent of the choice of the Yang-Mills potential \( A \) as long as \( \Phi \) is covariantly constant [21].

If \( D \Phi = 0 \), a loop representing the homotopy sector can be found by parallel transport [3, 8]. Indeed, let us cover \( S^2 \) by a 1-parameter family of loops \( \gamma_\varphi(\theta) \), e.g., by choosing \( \gamma_\varphi \) to start from the north pole, follow the meridian at angle \( \varphi = 0 \) down to the south pole and return then to the north pole along the meridian at angle \( \varphi \). The loop

\[
h^A(\varphi) = \mathcal{P} \left( \exp \oint_{\gamma_\varphi} A \right)
\]  

(3.10)

then represents the topological sector.

Other choices of the 1-parameter family of paths \( \gamma_\varphi \) would lead to homotopic loops \( h^A \).

4 FINITE ENERGY SOLUTIONS OF THE FIELD EQUATIONS

The only condition imposed on the YMH configurations \((A, \Phi)\) up to this point is that the energy be finite. But it is obviously of interest to consider the special case of finite energy configurations that are also solutions of the YMH field equations,

\[
D^2 \Phi = \frac{\partial V}{\partial \Phi} \quad \text{and} \quad D \times B = (\Phi, \tau D \Phi)
\]

(4.1)

where \( \tau \) denotes the generators of the Lie algebra in the representation to which the Higgs field \( \Phi \) belongs.

Finite energy solutions may be classified using data referring to the field \( b(\Omega) \) alone. For this it is sufficient to consider the field equations (4.1) for large \( r \), in which case they reduce to

\[
\triangle \eta_\alpha = \left( \frac{\partial^2 V}{\partial \phi_\alpha \partial \Phi_\beta} \right) \eta_\beta \quad \text{and} \quad d \times b = 0
\]

(4.2)

in the generic case (and to \( \triangle \eta = 0 \) and \( d \times b = 0 \) in the Bogomolny case). The first equation shows that, for solutions of (4.1), the generic finite-energy condition \( \eta \to 0 \) is sharpened to an exponential fall-off of \( \eta \). (The BPS case escapes because \( D^2 \eta = 0 \) is consistent with \( \eta = b(\Omega)/r \).)

Since \( \Phi(\Omega) \) and \( b(\Omega) \) are the only components of the field configuration that survive in the asymptotic region, within each topological sector defined by \( \Phi(\Omega) \), the only possible asymptotic classification of the configurations is according to \( b(\Omega) \). The conditions satisfied by \( b(\Omega) \) are then contained in the second equation in (4.2), which may be written as

\[
db \equiv \partial b - i[A(\Omega), b] = 0.
\]

(4.3)

This equation shows that \( b(\Omega) \) is covariantly constant and thus lies on an \( H \)-orbit. Therefore \( b(\Omega) = h_N(\Omega)Qh_N^{-1}(\Omega) \) in \( N \) and \( b(\Omega) = h_S(\Omega)Qh_S^{-1}(\Omega) \) in \( S \), where \( Q = b(E) \) is in \( \mathfrak{h} \). Plainly, \( Q \) is unique up to global gauge rotations, and there is thus no loss of generality in choosing it in a given Cartan algebra. In the singular gauge where \( b(\Omega) = Q \), the loop (3.10) is simply

\[
h(\varphi) = \exp[2iQ \varphi], \quad 0 \leq \varphi \leq 2\pi
\]

(4.4)
and the periodicity of $\phi$ provides us with the quantization condition
\[ \exp 4\pi iQ = 1 \]
so that $2Q$ is a charge. Conversely, any quantized $Q$ defines an asymptotic solution, namely
\[ A = A^D Q \quad \text{i.e.} \quad A_\theta = 0, \quad A_\phi = \pm (1 \mp \cos \theta)Q, \tag{4.5} \]
in the Dirac gauge, so that $b = Q$ and (4.4) is the transition function. Solutions can thus be classified by charges of $H$.

According to (3.9), for solutions of the field equations the expression for the “Higgs” quantum numbers $m_k$ reduces to
\[ m_k = 2 \frac{\text{Tr} (Q \Phi_k)}{\text{Tr} (\Psi_k^2)}, \quad k = 1, \ldots, p. \tag{4.6} \]

Let us now consider a charge $Q$ and denote its topological sector by $m$. Let us decompose $Q$ into central and semisimple parts $Q \|_{}$ and $Q \bot$, respectively. By (4.6),
\[ 2Q \|_{} = \sum_k m_k \Psi_k. \tag{4.7} \]

Observe that
\[ z = \exp[4\pi iQ \|_{}] = \exp[-4\pi iQ \bot] \tag{4.8} \]
lies simultaneously in $Z(H)_0$ (the connected component of the center of $H$) and in the semisimple subgroup $K$, and thus also in $Z(K)$, the center of $K$. Let us decompose $\mathfrak{t} = [\mathfrak{h}, \mathfrak{h}]$ into simple factors,
\[ \mathfrak{t} = \mathfrak{t}_1 \oplus \cdots \oplus \mathfrak{t}_s, \]
and denote by $\tilde{K}_j$ the simple and simply connected group, whose algebra is $\mathfrak{t}_j$. As explained in Sec. 2, $K$ is of the form $\tilde{K}/C$, where $C = C_1 \times \cdots \times C_s$ is a subgroup of the center $Z = Z(\tilde{K})$ of $\tilde{K} = [\tilde{K}_1 \times \cdots \times \tilde{K}_s]$, $C_j$ being a subgroup of $Z(\tilde{K}_j)$.

The situation is particularly simple when $K$ is simply connected, $K = \tilde{K}$, when the central part $Q \|_{}$ contains all topological information. Indeed, $z$ is uniquely written in this case as
\[ z = z_1 \ldots z_s, \quad \text{where} \quad z_j \in Z(\tilde{K}_j). \tag{4.9} \]

However, as emphasized in Sec. 2, the central elements of a simple and simply connected group are in one-to-one correspondence with the minimal $\tilde{W}$’s and thus, for each $z$ in the center, there exists a unique set of $\tilde{W}_j$’s (where $\tilde{W}_j$ is either zero or a minimal vector of $\mathfrak{t}_j$) such that
\[ z = (\exp[-2\pi i\tilde{W}_1]) \ldots (\exp[-2\pi i\tilde{W}_s]) = \exp \left[ -2\pi i \sum_{k=1}^s \tilde{W}_k \right] = \exp \left[ -2\pi i \tilde{W}^{(m)} \right]. \tag{4.10} \]

$\tilde{W}^{(m)}$ depends only on the sector (and not on $Q$ itself), because all charges of a sector have the same $Q \|_{}$. Hence the entire sector can be characterized by giving
\[ 2\tilde{Q} = \sum_k m_k \tilde{\Psi}_k + \tilde{W}^{(m)} \tag{4.11} \]
By (4.9) $2Q \overset{(m)}{=}$ again a charge, $\exp[4\pi Q \overset{(m)}{=} 1$, and it obviously belongs to the sector $m$. Furthermore, $$\exp[4\pi i(Q - Q)] = \exp[4\pi iQ] \exp[-4\pi i\overset{(m)}{Q}] = 1$$ shows that $2Q' = 2(Q - Q)$ is in the charge lattice of $K$.

The situation is slightly more complicated if $K$ is non-simply-connected, so that the semisimple part also contributes to the topology. Since $C$ is now non-trivial, the expansion (4.8) is not unique, and $z_j$ can be replaced rather by $z_j^* = z_j c_j$, where $c_j$ belongs to the subgroup $C_j$ of $Z(\tilde{K}_j)$. But $z_j^*$ is just another element of $Z(\tilde{K}_j)$, so it is uniquely $z_j^* = \tilde{W}_j^*$ for some minimal $\tilde{W}_j$ of the simple factor $\tilde{K}_j$. Equation (4.9), with all $\tilde{W}_j$'s replaced by the $\tilde{W}_j$'s, is still valid, so that (4.10) is a charge also now. However, since $\pi_1(K) = C = C_1 \times \cdots \times C_s$, those loops generated by $Q$ and $Q^*$ belong now to different topological sectors.

We conclude that a topological sector contains a unique charge $Q$ of the form (4.10) also in this case, and that, in full generality, any other monopole charge is uniquely of the form

$$Q = Q + Q' = Q + \frac{1}{2} \sum_i n_i Q_i,$$  \hspace{1cm} (4.11)

where the $n_i$ are integers, and the $Q_i, i = 1, \ldots, r$ are the primitive charges of $K$. (Obviously, the $Q_i$ are sums of primitive charges taken for the simple factors $K_j$). The integers $n_i$ could be regarded as secondary quantum numbers which supplement the Higgs charge $m$.

In Sec. 5, we shall show that $Q$ is the unique stable monopole in the sector $m$. The situation is conveniently illustrated on the Bott diagram, see Section 9.

The classification of finite energy solutions according to the secondary quantum numbers or, equivalently the matrix-valued charge $Q$ is convenient and illuminating, but in contrast to the classification of finite energy configurations according to the Higgs charge $m$, it is not mandatory, in the sense that (for fixed $m$) the different charges $Q$ are separated only by finite energy barriers, see Sec. 8.

### 5 UNSTABLE SOLUTIONS: REDUCTION FROM $\mathbb{R}^3$ TO $S^2$

Now we wish to show that those monopoles for which $Q' \neq 0$ are unstable. More precisely, we show that for a restricted class of variations the stability problem reduces to a corresponding Yang-Mills problem on $S^2$. This allows us to prove that with respect to our variations there are

$$\nu = 2 \sum_{q < 0} (2|q| - 1)$$ \hspace{1cm} (5.1)

independent negative modes.

To prove our statement, let us first introduce the notation

$$(a \times b)_i = \varepsilon_{ijk} a_j b_k,$$ \hspace{1cm} (5.2)

$$[a \times b] = a \times b - b \times a$$ i.e. \hspace{1cm} \((a \times b)_i = \varepsilon_{ijk} [a_j, b_k].$$

Note that $a \times a$ may be different from zero if $b$ is non-Abelian.
For $\mathfrak{g}$-valued variations of the gauge potentials alone, $\delta \Phi = 0$, $\delta A = \alpha \in \mathfrak{h}$ say, the variations of the gauge field and covariant derivative are easily seen to be $\delta B = D \times \alpha$, $\delta^2 B = -i[a \times a]$ and $\delta (D \Phi) = -ia \Phi$. All higher-order variations $\delta^3 B$ etc. are zero.

For the energy functional (3.1) the first variation is zero, since $(A, \Phi)$ is a solution of the field equations, and the higher order variations are

$$
\delta^2 E = \int d^3x \left\{ \operatorname{Tr} (D \times a)^2 - i \operatorname{Tr} (B[a \times a]) - \operatorname{Tr} (a \Phi)^2 \right\}
$$

$$
\delta^3 E = -3i \int d^3x \operatorname{Tr} \{(D \times a)(a \times a)\},
$$

$$
\delta^4 E = -3 \int d^3x \operatorname{Tr} (a \times a)^2,
$$

all higher-order variations being zero. We shall assume that all variations are square-integrable, and this contribution may be written as

$$
\delta^2 E = \int d^3x \left\{ \operatorname{Tr} (D \times a)^2 - i \operatorname{Tr} (B[a \times a]) \right\}.
$$

There are some general points worth noting. First, since $\delta \Phi = 0$, the only terms in (5.2) involves the Higgs field is $\operatorname{Tr} (a \Phi)^2$ and since $a$ must be in the little group of $\Phi(\Omega) = \lim_{r \to \infty} \Phi(r, \Omega)$, for $V \neq 0$ (’t Hooft-Polyakov case) this term vanishes asymptotically. Thus, if we only consider asymptotic variations [1] [2] i.e. such that $a(r, \Omega) = O(1/r)$ for $r \leq R$ where $R$ is ‘sufficiently large’, and in practice this will mean $R$ large enough for the asymptotic form (3.2) of the fields to be valid, we can then drop the Higgs terms in (5.3) and consider the pure Yang-Mills variations

$$
\delta^2 E = \int d^3x \left\{ \operatorname{Tr} (D \times a)^2 - i \operatorname{Tr} (B[a \times a]) \right\}.
$$

Second, the only term in (5.4) that involves radial derivatives is the $(\nabla_r a)^2$ term in $(D \times a)^2$ and this contribution may be written as

$$
\delta^2 E_r = \int d^3x \operatorname{Tr} (\nabla_r a)^2 = \int d^3x \operatorname{Tr} \left((\nabla_r a)^2 + \frac{1}{4}a^2\right) = m^2(a, a), \quad (5.5)
$$

where $d$ is the symmetrical dilatation operator $\frac{1}{2} \{r, \nabla_r\}$, $\delta^2$ is its average value, and $m^2 = \frac{1}{4} + \delta^2$. It can be shown (see Appendix) that the infimum of $\delta^2$ is 0, and thus, although $\delta^2 E_r$ is not negligible because of the lower bound $\frac{1}{4}$, it can be reduced to this lower bound, and $\delta^2 E_r$ can be regarded as a mass term. Thus the variations (5.4) are essentially variations on the 2-sphere $S^2$, for each value of $r$.

Finally, it should be noted that some of the variations, namely $a = D\chi + O(\chi^2)$ where $\chi$ is any scalar, are simply gauge transformations of the background field $A$ and lead to zero-energy variations. In particular, it is easy to verify that, because $A$ satisfies the field equations, the second variation $\delta^2 E$ is zero for the infinitesimal variations $\delta A = D\chi$, and for this reason it is convenient to define the ‘physical’ variations $a$ as those which are orthogonal to the $D\chi$. Since $\chi$ is arbitrary, one has

$$
\int d^3x \operatorname{Tr} (a D\chi) = \int d^3x \operatorname{Tr} (Da \chi) = 0 \quad \Rightarrow \quad D \cdot a = 0,
$$

from which one sees that the physical variations may also be characterized as those which are divergence-free. As a consequence of the gauge condition $A_r = 0$ our variations satisfy also $a_r = 0$. 

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It will be convenient to write (5.4) in the form
\[ \delta^2 E = \delta^2 E_1 + \delta^2 E_2 \]
\[ = \int d^3x \text{Tr} \left\{ (D \times a)^2 + (D \cdot a)^2 \right\} - \int d^3x \text{Tr} \left\{ -iB[a \times a] - (D \cdot a)^2 \right\}, \]
bearing in mind that \( D \cdot a \) is unphysical and may be gauged to zero.

Let us first consider \( \delta^2 E_1 \). From the identity
\[ (D \times (D \times a))_j = -D^2 a_j + D_j(D \cdot a) - i([B \times a])_j \]
using \( b = \lim_{r \to \infty} r^2 B \), one sees that
\[ \delta^2 E_1 = \int d^3x \text{Tr} \left\{ (-D^2 a - i[B \times a])a \right\} = \delta^2 E_r + \delta^2 E_\Omega \]
\[ = \delta^2 E_r + \int d^3x r^{-2} \text{Tr} \left\{ (L^2 a - i[b \times a])a \right\}, \]
where \( L = -ix \times D \) is the orbital angular momentum.

It is well-known that the components of \( L \) do not satisfy the angular momentum relation,
\[ [L_i, L_j] = i\varepsilon_{ijk} (L_k + x_k (x \cdot B)) \neq i\varepsilon_{ijk} L_k, \]
but that for spherically symmetric, and hence for asymptotic, field, the quantity \( M \) obtained by subtracting \( x(x \cdot B) = b = \frac{2}{r} b \) [cf. (3.2)] from \( L \) does satisfy such an algebra i.e.
\[ [M_i, M_j] = \varepsilon_{ijk} M_k \quad \text{where} \quad M_i = L_i - \frac{x_i}{r} b. \]

\( M \) in (5.10) is the angular momentum for a spinless particle. (Remember that for a particle \( \psi \) in the adjoint representation for example, \( b\psi \) means \([b, \psi]\).)

For arbitrary variations \( a \) the spectrum of \( \delta^2 E_1 \) could be obtained directly from the conventional so(3) spectrum of \( M^2 \). But it is more convenient to use instead the spin-1 angular momentum operator
\[ J = M + S = -ix \times D - b + S \]
where \( S \) is the \( 3 \times 3 \) spin matrix \((S_i)_{jk} = i\varepsilon_{ijk}\). \( S \) satisfies the relations
\[ [S_i, S_j] = i\varepsilon_{ijk} S_k, \]
\[ (b \cdot S)a = (b_i S_i)a = i(b \times a), \]
\[ S^2 = S_i S_i = -2. \]

Using the gauge conditions \( D \cdot a \) and \( x \cdot a = 0 \), we see that
\[ (x \times D) \times a = x(D \cdot a) - x_i D a_i = -x_i D a_i = a - D(x \cdot a) = a, \]
i.e., \( L \cdot S = 1 \). Since \( x \) and \( L \) and thus \( b \) and \( L \) are orthogonal, this implies,
\[ J^2 a = L^2 a + [b \times [b \times a]] - 2i[b \times a]. \]

This leads finally to re-writing \( \delta^2 E_\Omega \) as
\[ \delta^2 E_\Omega = \int dr d\Omega \text{Tr} \left\{ (J^2 a - [b \times [b \times a]] + i[b \times a])a \right\}. \]

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It is convenient to decompose the variation $\alpha$ into eigenmodes of $[b \times \cdot]$ i.e. to write

$$[b \times \alpha] = iq \alpha \quad \text{i.e.} \quad \varepsilon_{ijk} b_j a_k = [b_{ki}, a_k] = iq a_i, \quad (5.16)$$

where the $q$’s are the eigenvalues. This is possible and the $q$’s will be real because the $b_{ij}$ is skew-symmetric in the Lie algebra as well as in the vector space, and indeed, because of this, the $q$’s come in pairs of opposite sign and multiplicity two i.e. in quadruplets $(q, q, -q, -q)$, see the next Section.

On each $q$-sector $\delta^2 E_1$ will be

$$\delta^2 E_1 = m^2 (\alpha, \alpha) + \int dr d\Omega \text{Tr} \left\{ \{ \mathbf{J}^2 - q(q+1) \} \alpha \alpha \right\}. \quad (5.17)$$

But $\mathbf{J}$ is the Casimir of the angular momentum algebra generated by $\mathbf{J}$, so $\mathbf{J}^2 = j(j+1)$, where $j$ is integer or half-integer, according as $q$ is integer or half-integer, because $q$ is the only non-orbital contribution to $\mathbf{J}$. Now since $\delta^2 E_1$ is manifestly positive, we must have

$$m^2 + \{ \mathbf{J}^2 - q(q+1) \} = \left\{ \frac{1}{4} + \delta^2 \right\} + \left\{ j(j+1) - q(q+1) \right\} \geq 0 \quad (5.18)$$

and since $\delta^2$ is arbitrarily small, we see that $j \geq |q| - 1$. Note that $j \geq |q| - 1$ follows from the manifest positivity of $\delta^2 E_1$.

Equation (5.18) implies that the possible values of $j$ are $|q| - 1, |q|, |q| + 1, \ldots$. In particular, the value of $j = |q| - 1$ can occur only for $q \leq -1$, and as it corresponds to the case when $\delta^2 E_1$ is purely radial, it implies that $\mathbf{D} \cdot \mathbf{a} = 0$, so that the states corresponding to it are physical. Thus we can write

$$\delta^2 E_1 = m^2 (\alpha, \alpha) \quad \text{for} \quad j = |q| - 1, \quad q \leq -1$$

and

$$\delta^2 E_1 = \{ m^2 + (j - q)(j + q + 1) \} (\alpha, \alpha) \quad \text{for} \quad j \geq |q|. \quad (5.19)$$

Let us now consider $\delta^2 E_2$. Since $\mathbf{D} \cdot \mathbf{a}$ is zero on the physical states,

$$\delta^2 E_2 = (-i) \int d^3 x \text{Tr} \left( \mathbf{B} [\alpha \times \alpha] \right) = \int d^3 x \text{Tr} \left\{ -i [\mathbf{B} \times \alpha] \right\}$$

$$= \int d^3 x \frac{1}{r^2} \text{Tr} \left\{ -i [\mathbf{b} \times \mathbf{a}] \right\} = q \int d^3 x \frac{1}{r^2} \text{Tr} (\mathbf{a}, \mathbf{a}) = q (\alpha, \alpha). \quad (5.20)$$

From the positivity of $\delta^2 E_1$ we then see that the Hessian $\delta^2 E$ will be positive unless $q$ is negative. Furthermore, when $q$ is negative, (5.19) becomes

$$\delta^2 E_1 = \{ m^2 + (j + |q|)(j - |q| + 1) \} (\alpha, \alpha) \geq 2|q|(\alpha, \alpha) \quad (5.21)$$

so, for $j \geq |q|$, the restriction of $\delta^2 E_1$ to the physical states will dominate $\delta^2 E_2$ and the Hessian will again be positive. It follows that the only possibility for getting negative modes is when $q \leq -1$ and $j = |q| - 1$, in which case

$$\delta^2 E = (m^2 - |q|)(\alpha, \alpha) < 0. \quad (5.22)$$

Thus finally we have the result that the monopole is unstable if, and only if, there is an eigenvalue $q$ such that $|q| \geq 1$. The opposite condition

$$|q| \leq \frac{1}{2} \quad (5.23)$$

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is, of course, just the *Brand-Neri stability condition* [1, 2, 4]. From the discussion of Sec. 4. we know however that $|q| \leq \frac{1}{2}$ if and only if $Q = \bar{Q}$ [cf. (4.9)]; $Q$ is the *unique stable charge* of the topological sector.

Note that since in the case $j = |q| - 1$ the first term on the right-hand side of (5.7) vanishes, the variation actually satisfies the *first-order* equations

$$d \times a = 0, \quad d \cdot a = 0 \quad (5.24)$$

where $d = rD$. In particular, they are true physical modes. These modes form furthermore a $2j + 1 = 2|q| - 1$ dimensional multiplet of the $J$ algebra. We shall see in the next Section that for each $|q|$ there is one and only one such multiplet. Taking into account the fact that the eigenvalues come in pairs, this proves the index formula (5.1).

Notice that our approach above shows strong similarities to the stability investigations in $\sigma$-models [26].

The simplest way of counting the number of instabilities for $j \geq |q|$ is to use the Bott [12] diagram (see the examples of Sec. 9): (5.1) is *twice the number of times the straight line drawn from the origin to $2Q$ intersects the root planes*.

For BPS monopoles the above arguments break down: due to the $b/r$ term in the expansion of the Higgs field, the second variation picks up an extra term $-\text{Tr}([a, b])^2 = q^2$ which just cancels the $-q^2$ in Eq. (5.17). The total Hessian is thus manifestly positive,

$$\delta^2 E = \delta^2 E_1 + \delta^2 E_2 = ((m^2 + J^2)a, a) > 0. \quad (5.25)$$

We conclude that BPS monopoles are *stable* under variations of the gauge field alone, even if their charge is *not* of the form (4.10).

### 6 NEGATIVE MODES

It is convenient to use the stereographic coordinate $z$ on $S^2$, $z = x + iy = e^{ip} \tan \theta/2$. In stereographic coordinates the background gauge-potential and field strength become

$$A_x = -2Qy\frac{\bar{\varrho}}{\varrho}, \quad A_y = \frac{2Qx}{\varrho}, \quad b_{xy} = -b_{yx} = -\frac{4Q}{\varrho^2},$$

$$A_z = -iQ\frac{z}{\varrho}, \quad A_{\bar{z}} = iQ\frac{\bar{z}}{\varrho}, \quad b_{z\bar{z}} = 2Q \frac{z}{\varrho^2}. \quad (6.1)$$

where $\varrho = 1 + z\bar{z}$, and we have treated $z$ and its conjugate $\bar{z}$ as independent variables. Set $\partial = \partial_z$, $\bar{\partial} = \partial_{\bar{z}}$, and let us define

$$d_z = \partial - iA_z = \partial - Q\frac{z}{\varrho} = \frac{1}{2}(d_x - id_y), \quad a_z = \frac{1}{2}(a_x - ia_y)$$

$$d_{\bar{z}} = \bar{\partial} - iA_{\bar{z}} = \bar{\partial} + Q\frac{\bar{z}}{\varrho} = \frac{1}{2}(d_x + id_y), \quad a_{\bar{z}} = \frac{1}{2}(a_x + ia_y) \quad (6.2)$$

In complex coordinates the eigenspace-equations (5.16) become

$$\begin{pmatrix} Q & 0 \\ 0 & -Q \end{pmatrix} \begin{pmatrix} a_{\bar{z}} \\ a_z \end{pmatrix} = q \begin{pmatrix} a_{\bar{z}} \\ a_z \end{pmatrix}. \quad (6.3)$$
(Remember that $Q$ acts on $a_\alpha$ by conjugation). The general solution of (6.3) is

$$
\begin{pmatrix}
  a_\tau \\
  a_z
\end{pmatrix} = f \begin{pmatrix}
  E_\alpha \\
  0
\end{pmatrix} + g \begin{pmatrix}
  0 \\
  E_{-\alpha}
\end{pmatrix},
$$

(6.4)

with eigenvalue $q = \alpha(Q)$, where $f$ and $g$ are arbitrary functions of $z$ and $\bar{z}$. Similarly,

$$
\begin{pmatrix}
  a_\tau \\
  a_z
\end{pmatrix} = h \begin{pmatrix}
  E_{-\alpha} \\
  0
\end{pmatrix} + k \begin{pmatrix}
  0 \\
  E_\alpha
\end{pmatrix}
$$

(6.5)

(where $h$ and $k$ are again arbitrary) are also eigenfunctions with eigenvalue $q = -\alpha(Q)$. Equations (6.4)-(6.5) show that the eigenvalues come in pairs, as stated earlier.

Let us first consider the negative modes, for which we have already seen that $q$ must be negative. As discussed in Sec. 5, for each fixed $q = \alpha(Q) < 0$, the negative modes are solutions to the two coupled equations in (5.23). Multiplying one of these equations by $i$ and adding and subtracting the result one sees that (5.23) are equivalent to

$$
d_z f = (\varrho \partial + |q| \varpi) f = 0 \quad \text{and} \quad d_z g = (\varrho \bar{\partial} + |q| \varpi) g = 0
$$

(6.6)

($q = -|q|$ because $q$ is negative). One sees that $f$ and $g$ must be of the form

$$
f(z, \bar{z}) = \varrho^{-|q|} \Phi(\bar{z}), \quad g(z, \bar{z}) = \varrho^{-|q|} \Psi(z),
$$

(6.7)

where $\Phi(\bar{z})$ and $\Psi(z)$ are arbitrary antiholomorphic (respectively holomorphic) functions. They can be therefore expanded in power series, $\Phi(\bar{z}) = \sum c_n \bar{z}^n$, and $\Psi(z) = \sum d_n z^m$. But they are also square integrable functions. Now, since in stereographic coordinates, the inner product for two vector fields is

$$
(a, b) = \int dzd\bar{z} \sqrt{g} g^{\alpha\beta} a_\alpha b_\beta = \int dzd\bar{z} a_\alpha b_\alpha = \int dzd\bar{z} (a_\tau b_\tau + a_z b_z),
$$

(6.8)

because $\sqrt{g} g^{\alpha\beta}$ is unity, one sees that $\Phi(\bar{z})$ will be square integrable if, and only if, $c_n, d_m = 0$ except for $n, m = 0, 1, \ldots, 2|q| - 2$. Thus the negative modes are linear combinations of the $2(2|q| - 1)$ variations

$$
\begin{pmatrix}
  a_\tau \\
  0
\end{pmatrix} = \frac{\bar{z}^n}{(1 + \bar{z})|q|} \begin{pmatrix}
  E_\alpha \\
  0
\end{pmatrix} \quad \text{and} \quad \begin{pmatrix}
  0 \\
  a_z
\end{pmatrix} = \frac{z^m}{(1 + \bar{z})|q|} \begin{pmatrix}
  0 \\
  E_{-\alpha}
\end{pmatrix},
$$

(6.9)

$n, m = 0, 1, \ldots, 2|q| - 2$. These are the $2(2|q| - 1)$ multiplets of negative modes referred to in Sec. 5. In polar coordinates the variations (6.9) are also expressed as

$$
a_\theta = \frac{1}{2} e^{\mp i(k+1)\varphi} (\sin \frac{\theta}{2})^k (\cos \frac{\theta}{2})^{2|q|-2-k} E_{\mp \alpha},
$$

$$
a_\varphi = \frac{1}{2} e^{\mp i(k+1)\varphi} (\sin \frac{\theta}{2})^{k+1} (\cos \frac{\theta}{2})^{2|q|-1-k} E_{\mp \alpha},
$$

(6.10)

where $0 \leq k \leq 2|q| - 2$ and the upper (respectively lower) sign refers to the $a_\tau$ and $a_z$.

The geometric meaning [5] of the expression (6.9) is that they are holomorphic and antiholomorphic sections (also called “monopole harmonics” [27]) of line bundles over the two-sphere with Chern class $2|q| - 2$ [the $(-2)$ comes from the fact that our variations are vectors rather than just functions]. This is not a coincidence, since these holomorphic sections of line bundles are exactly the representations of the rotation group $SU(2)$. 

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Now we turn to the remaining eigenspace of the Hessian. From (5.7) and (5.10) one sees that they are just the eigenspaces of \( J^2 \) modulo zero modes. Hence it suffices to consider the eigenspace of \( J^2 \) i.e. the weights of the various representations of \( J^2 \). Furthermore, since any weight can be obtained from the highest (or lowest) weight in a given irreducible representation \( J^2 = j(j + 1) \) by the repeated application of \( J_\pm \), it suffices to consider the highest and lower weights. However, for positive modes it turns out that the highest and lowest weights cannot be eigenvectors of \( b_{z\bar{z}} \) and at the same time satisfy the divergence (zero-mode) condition \( D \cdot a = 0 \), and since we should like to have \( b_{z\bar{z}} \) diagonal because it occurs (linearly) in the Hessian, we are forced at this point to drop the divergence condition. For definiteness let us therefore consider a variation of the form \((a_{z\bar{z}}, a_z) = (f E_\alpha, 0)\) in (6.4), and require it be a highest weight.

On writing the stereographic coordinate \( z \) in terms of cartesian coordinates one finds that \( z = x_+ (r + x_3)^{-1} \) [where \( x_\pm = x_1 \pm ix_2 \)] and from this expression we see that the cartesian components of the variation \( a \) are

\[
(a_1, a_0, a_{-1}) = (\partial z/\partial x_+, \partial z/\partial x_3, \partial z/\partial x_-) a_{z\bar{z}} = \frac{1}{2} (f, -2zf, -z^2 f) E_\alpha. \tag{6.11}
\]

On the other hand, one can compute from (6.2) the Cartesian components of the angular momentum \( M \) in stereographic coordinates, and one finds that

\[
M_3 = z \partial - \bar{z} \bar{\partial} + Q, \\
M_+ = \bar{\partial} + z^2 \partial + Q z = \bar{q} \bar{\partial} + z M_3, \\
M_- = -(\partial + \bar{z}^2 \bar{\partial} - Q \bar{z}) = -q \partial + \bar{z} M_3
\]

(A simple check on (6.12) is to note that it satisfies the usual so(3) commutation relations, that \( M_\pm \) are conjugate in the Cartesian measure \( q^{-2} dz d\bar{z} \), and that \( x \cdot M/r = Q \). In terms of the Cartesian vectors (6.11) and (6.12) the highest weight conditions are evidently

\[
J_3 a_\lambda = j a_\lambda \Rightarrow M_3 a_\lambda = (j - \lambda) a_\lambda, \quad \lambda = (-1, 0, 1), \tag{6.13}
\]

\[
J_+ a_\lambda = 0 \Rightarrow M_+ a_\lambda = \epsilon_\lambda a_{\lambda + 1}, \quad \epsilon_\lambda = (0, 2, -1), \tag{6.14}
\]

where \( \epsilon_\lambda \) are the matrix elements of \( S_+ = S_1 + iS_2 \) (and take the value shown, rather than the conventional \( \sqrt{2} (0, 1, -1) \), because of the relative normalization of the \( a_\lambda \)). On inserting (6.11) in (6.13) one sees that these three equations collapse to the single equation

\[
M_3 f = (z \partial - \bar{z} \bar{\partial} - |q|) f = (j - 1) f \Rightarrow f = \bar{z}^{j+|q|-1} \Phi(q), \tag{6.15}
\]

and on inserting this result in (6.14) one sees that \( \bar{q} \bar{\partial} \to \bar{z} q \partial \), and that the latter three equations collapse to the single equation

\[
(\bar{q} \partial q + M_3 + 2) \Phi(q) = (\bar{q} \partial q + j + 1) \Phi(q) = 0 \Rightarrow \Phi(q) = q^{-(j+1)}. \tag{6.16}
\]

Thus finally the highest weight state is \((a_{z\bar{z}}, a_z) = (\bar{z}^{j+|q|-1} q^{-(j+1)} E_\alpha, 0)\). The corresponding lowest weight \((a_{z\bar{z}}, 0)\) and the highest and lowest weights for \((0, a_z)\) can then be read off from \((a_{z\bar{z}}, 0)\) by using the symmetry transformations \( M_3 \to -M_3, M_+ \to -M_- \) and \( q \to -q \) respectively, and thus, finally, (for \( q < 0 \)) the lowest to highest weights for a given \( j \) are

\[
\frac{1}{(1 + z\bar{z})^{j+1}} \left\{ \begin{pmatrix} \bar{z}^{j+|q|-1} E_\alpha \\ 0 \\ \vdots \\ 0 \\ \bar{z}^{j+|q|-1} E_{-\alpha} \end{pmatrix} \right\} \left( \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 0 \\ \bar{z}^{j+|q|-1} E_{-\alpha} \end{pmatrix} \right). \tag{6.17}
\]
Notice that the lowest weights in (6.17) are not the complex conjugates of the highest weights in the same irreducible $J$ representation, but that the two representations are conjugate. One sees that the lowest and highest weight zero modes of (6.9) are recovered for $j = q - 1$ and that these are the only modes that satisfy the divergence condition $d_z a_\sigma + d_\sigma a_z = 0$. Thus all other modes are mixtures of physical and gauge (zero-mode) states.

We should like to conclude this section by showing that the instability index $2|q| - 1$ is also the Witten index for supersymmetry and the Atiyah-Singer index for the Dirac operator. Indeed, let us consider the part of $\delta^2 E_\Omega$ of the Hessian, which played a central role in Sec. 5. From Eq. (5.9) one may write

$$ K = \int dxdy g^{1/2} \text{Tr} \left\{ (\epsilon^{\alpha\beta} d_\alpha a_\beta)^2 + (g^{-1/2} d_\alpha \sqrt{g} g^{\alpha\beta} a_\beta)^2 \right\} $$

$$ = \int dxdy g^{-1/2} \text{Tr} \left\{ (d_x a_y - d_y a_x)^2 + (d_x a_x + d_y a_y)^2 \right\} $$

$$ = \int dxdy g^{2} \text{Tr} |d_z a_\sigma|^2 = \int dxdy g^{2} \text{Tr} |d_\sigma a_z|^2. \quad (6.18) $$

Taking half the sum of the two complex expressions in (6.18), we get a supersymmetric expression

$$ K = \int dxdy g^{2} \text{Tr} (\Psi, \overline{\mathcal{H}} \Psi), \quad \text{with Hamiltonian} \quad H = -\frac{1}{2} \{ Q^+, Q^- \}, \quad (6.19) $$

where

$$ Q^+ = \begin{pmatrix} 0 & d_\sigma \\ 0 & 0 \end{pmatrix}, \quad Q^- = \begin{pmatrix} 0 & 0 \\ d_\sigma & 0 \end{pmatrix}, \quad \Psi = \begin{pmatrix} a_\sigma \\ a_z \end{pmatrix}. \quad (6.20) $$

The multiplicity $\nu$ of the ground state, which is the square integrable solution of

$$ Q^+ \Psi = \begin{pmatrix} d_\sigma a_z \\ 0 \end{pmatrix} = 0, \quad Q^- \Psi = \begin{pmatrix} 0 \\ d_\sigma a_\sigma \end{pmatrix} = 0, \quad (6.21) $$

is called the Witten index. But these are just the negative-mode equations (6.6). The result $\nu = 2|q| - 1$ is consistent with that found in Ref. [13].

Observe that the supersymmetric Hamiltonian $H$ can also be written as

$$ H = -\frac{1}{2} \mathcal{H}^2, \quad \text{where} \quad \mathcal{H} = d_\sigma \sigma_+ + d_\sigma \sigma_- = \begin{pmatrix} 0 & d_\sigma \\ d_\sigma & 0 \end{pmatrix}. \quad (6.22) $$

is a Dirac-type operator, and the negative modes are exactly those satisfying

$$ \mathcal{H} \Psi = 0. \quad (6.23) $$

The number of solutions is the Atiyah-Singer (AS) index. Note, however, that since $\mathfrak{a}$ is supposed to be a 2-vector, the instability index is the AS index for vectors. The result $2|q| - 1$ is obtained by the same calculation as the one in Atiyah and Bott [6]. The advantage of this latter approach is that it generalizes to an arbitrary Riemann surface.
7 LOOPS

Let us consider $\Omega = \Omega(H)$, the space of loops in a compact Lie group $H$, which start and end at the identity element of $H$. The energy of a loop $\gamma(t)$ is given by

$$L(\gamma) = \frac{1}{4\pi} \int_0^1 \text{Tr} \left( \gamma^{-1} \frac{d\gamma}{dt} \right)^2 dt. \quad (7.1)$$

A variation of $\gamma(t)$ is a 2-parameter map $\alpha(s,t)$ into $H$ such that $\alpha(0,t) = \gamma(t)$. We fix the end points, $\alpha(s,0) = \gamma(0)$ and $\alpha(s,1) = \gamma(1)$ for all $s$. For each fixed $t$, $\partial \alpha / \partial s$ at $s = 0$ is then a vector field $X(t)$ along $\gamma(t)$, $X(0) = X(1) = 0$. $\Omega$ can be viewed then as an infinite dimensional manifold whose tangent space at a “point” $\gamma$ (i.e., a loop $\gamma(t)$, $0 \leq t \leq 1$) is a vector field $X(t)$ along $\gamma(t)$, which vanishes at the end points. Since the Lie algebra $\mathfrak{h}$ of $H$ can be identified with the left-invariant vector fields on $H$, it is convenient to consider $\eta(t) = \gamma^{-1}(t)X(t)$, which is a loop in the Lie algebra, $\mathfrak{h}$, s.t. $\eta(0) = \eta(1) = 0$. This is true in particular for $\zeta(t) = \gamma^{-1}(t) \frac{d\gamma}{dt}$.

The first variation of the loop-energy functional $(7.1)$ is

$$\delta L(\eta) = -\frac{1}{2\pi} \int \text{Tr} \left\{ \left( \frac{d\zeta}{dt} \right) \eta(t) \right\} dt. \quad (7.2)$$

The critical points of the energy satisfy therefore $d\zeta / dt = 0$, and hence,

$$h(t) = \exp \left[ 4\pi i Q t \right], \quad 0 \leq t \leq 1, \quad Q \in \mathfrak{h} \quad (7.3)$$

i.e. closed geodesics in $H$ which start at the identity element. In order to define a loops, $Q$ must be quantized, $\exp 4\pi i Q = 1$. The energy of such a geodesic is obviously $L(h) = 4\pi \text{Tr} (Q^2)$.

The stability properties are determined by the Hessian. After partial integration, this is found to be

$$\frac{1}{2} s^2 L(\eta, \eta) = -\frac{1}{4\pi} \int \text{Tr} \left\{ \left( \frac{d^2\eta}{dt^2} + 4\pi i [Q, \frac{d\eta}{dt}] \right) \eta \right\} dt. \quad (7.4)$$

The spectrum of the Hessian is obtained hence by solving

$$\frac{d^2\eta}{dt^2} + 4\pi i [Q, \frac{d\eta}{dt}] = \lambda \eta, \quad \eta(0) = \eta(1) = 0. \quad (7.5)$$

Taking $\eta$ parallel to the step operators $E_{\pm \alpha}$ reduces to the scalar equations

$$\frac{d^2\eta}{dt^2} \pm 4\pi i q \frac{d\eta}{dt} = -\lambda \eta, \quad \eta(0) = \eta(1) = 0, \quad (7.6)$$

where $q = q_\alpha = \alpha(Q)$, and whose solutions yield

$$\eta_\alpha^k(t) = e^{\mp 2\pi i q t} \left( e^{i\pi(k+1)t} - e^{-i\pi(k+1)t} \right) E_{\pm \alpha}, \quad \lambda = -\pi^2 \left( 4q^2 - (k+1)^2 \right), \quad (7.7)$$

where $k \geq 0$ is an integer. (For $k = -1$, we would get $\eta = 0$, and for $(k+2)$ we would get $(-\eta_\alpha^k)$). $\lambda$ is negative if $0 \leq k \leq 2|q| - 2$, providing us with $2(2|q| - 1)$ negative modes. The total number of negative modes is therefore the same as for a monopole with non-Abelian charge $Q$ i.e., $(5.1)$ $[6]$, $[7]$.

For $k = 2|q| - 1$ we get zero modes,

$$\eta(t) = \pm \left( 1 - e^{-4\pi i |q|t} \right) E_{\pm \alpha}, \quad (7.8)$$

For $k + 1 = 2|q|$ we get zero modes,
while for $|k| \geq 2|q|$ (7.7) yields positive modes.

If $|q| \leq 1$ i.e. $q = 0$ or $\pm 1$, there are no negative modes: the geodesic is stable. The results of Sec. 4 imply therefore that in each homotopy sector there is a unique stable geodesic.

Loops in $H$ can be related to YM on $S^2$ [7]. Indeed, the map (3.10) i.e.

$$h^A(\varphi) = \mathcal{P} \left( \exp \oint_{\gamma^\varphi} A \right)$$

(7.9) associates a loop $h^A(\varphi)$ to each YM field $A$ on $S^2$ [2, 3, 8].

For a generic connection the notation (7.9) is merely symbolical. It can be calculated, however, explicitly if $A$ is Abelian, in particular, if it is a solution to the Yang-Mills equations on $S^2$, when it is just the geodesic (7.3). We conclude that the map (7.9) carries the critical points of the YM functional into critical points of the loop-energy functional, and that number of negative YM modes is the same as the number of negative loop-modes. The energies of critical points are also the same, namely $4\pi \text{Tr} (Q^2)$.

The differential of the map (7.9) carries a YM variation $a$ into a loop-variation $\eta^A(\varphi)$ i.e. a loop in the Lie algebra $\mathfrak{h}$. Explicitly, let us consider

$$g(\theta, \varphi) = \mathcal{P} \left( \exp \left\{ \int_0^\theta \gamma^\varphi A \right\} \right).$$

(7.10)

A YM variation $a$ goes then [7] into

$$\eta^A(\varphi) = - \oint g^{-1}(\theta, \varphi) a_\theta(\gamma^\varphi(\theta)) g(\theta, \varphi) d\theta.$$  

(7.11)

Remarkably, $\eta^A(\varphi)$ depends on the choice of the loops $\gamma^\varphi(\theta)$ and even of the stating point. For example, with the choice of Sec. 3, the image of the YM negative mode $a^{(k)}$ is

$$\eta^A(t) = C^k (1 - e^{-2\pi i k t}),$$

(7.12)

where the numerical factor $C^k$ is,

$$C^k = \int_0^{\pi} (\sin \theta / 2)^k (\cos \theta / 2)^{2|q| - 2 - k} d\theta = \frac{\Gamma \left( \frac{k+1}{2} \right) \Gamma \left( \frac{2|q|-k-1}{2} \right)}{\Gamma \left( \frac{2|q|+1}{2} \right)}.$$  

(7.13)

(7.12) is similar to, but still different from the loop-eigenmodes (7.1). If we choose however $\gamma^\varphi(\theta)$ to be the loop which starts from the south pole, goes to the north pole along the meridian at $\varphi/2$, and returns to the south pole along the meridian at $-\varphi/2$, we do obtain (7.1).

The map (7.9) YM $\rightarrow$ {loops} is not one-to-one. One possible inverse of it is given as [7]

$$A_\theta = 0, \quad A_\varphi = \begin{cases} \frac{1}{4} (1 - \cos \theta) h^{-1} \frac{dh}{d\varphi} & \text{in } N \\ \frac{1}{4} (1 + \cos \theta) \frac{dh}{d\varphi} h^{-1} & \text{in } S \end{cases}$$

(7.14)

8 GLOBAL ASPECTS

Into what can an unstable monopole go? It can not leave its homotopy sector, since this would require infinite energy. But it can go into another configuration in the same sector, because any
two such configurations are separated only by finite energy. To see this one has only to note that the family of configurations

$$A^\tau = \tau A' + (1 - \tau) A, \quad \Phi^\tau = \Phi, \quad 0 \leq \tau \leq 1$$

(8.1)

which are not, in general, solutions of the field equations except for $\tau = 0, 1$, but which interpolate smoothly between solutions $(A, \Phi)$ and $(A', \Phi)$. They all lie in the same Higgs sector because $\Phi$ does not change, and have finite energy for all $0 \leq \tau \leq 1$. Indeed, as $r \to \infty$, one has

$$A^\tau \sim 1/r, \quad r^{3/2}(D^\tau \Phi) = \tau (r^{3/2} D' \Phi) + (1 - \tau)(r^{3/2} D \Phi) \to 0,$$

$$r^3 V(\Phi^\tau) = r^3 V(\Phi) \to 0,$$

(8.2)

so that the energy integral (2.1) converges for $(A^\tau, \Phi)$. As a matter of fact, one may obtain a rather simple and compact expression for the interpolated energy $E^\tau = E(A^\tau)$ as follows:

$$D^\tau \Phi = \tau (D' \Phi) + (1 - \tau)(D \Phi) \quad \text{and} \quad B_{ij}^\tau = \tau B_{ij}' + (1 - \tau)B_{ij} + \tau(1 - \tau)[\Delta_i, \Delta_j],$$

(8.3)

where $\Delta_j = A'_j - A_j$. This shows that the interpolated energy must be of the general form

$$E^\tau = a\tau^2 + b(1 - \tau)^2 + c\tau^2(1 - \tau)^2 + 2f\tau(1 - \tau) + 2g\tau^2(1 - \tau) + 2h\tau(1 - \tau)^2,$$

(8.4)

where $a, \ldots, g$ are integrals over the field configurations which are independent of $\tau$, and in particular

$$a = E', \quad b = E, \quad \text{and} \quad c = \int d^3x \text{Tr} [\Delta_i, \Delta_j]^2,$$

(8.5)

where $E$ and $E'$ are the energies of the solutions $(A, \Phi)$ and $(A', \Phi)$. But since the solutions are extremal points of the energy, $\partial E^\tau / \partial \tau$ must vanish at $\tau = 0, 1$ and this leads to the conditions $a = f + g$ and $b = f + h$. Using these two equations to eliminate $h$ and $g$ one finds that $f$ is also eliminated and thus $E^\tau$ reduces to the simple expression

$$E^\tau = \tau^2(3 - 2\tau)E' + (1 - \tau)^2(1 + 2\tau)E + \tau^2(1 - \tau)^2c.$$  

(8.6)

Since $\Delta \sim 1/r$ as $r \to \infty$ it is evident that $c$ is finite, and hence that the interpolated energy is finite for all $0 \leq \tau \leq 1$. Thus the energy barrier between $E$ and $E'$ is finite.

Yang-Mills-Higgs theory on $\mathbb{R}^3$ has the same topology as YM on $S^2$. The true configuration space $C$ of this latter is furthermore the space $\mathcal{A}$ of all YM potentials modulo gauge transformations,

$$C \simeq A/\mathcal{H} \quad \text{where} \quad \mathcal{H} = \{\text{Maps} S^2 \to H\},$$

(8.7)

and the path components of $C$ are just the topological sectors: $\pi_0(C) \simeq \pi_2(G/\mathcal{H})$.

When studying the topology of $C$, we can also use loops. The map (7.9) (widely used for describing the topological sectors [2, 3, 8]), is, in fact a homotopy equivalence between YM on $S^2$ and $\Omega = \Omega(H)/\mathcal{H}$, the loop-space of $H$ modulo global gauge rotation [15]. (One has to divide out by $H$ because a gauge-transformation changes the non-integrable phase factor by a global gauge rotation). This correspondence explains also why we could use the diagram for counting the negative YM modes, introduced by Bott [12] originally for loops.

Most saddle-point solutions studied so far in field theories are associated to non-contractible loops [17]. There are no non-contractible loops in our case, $\pi_1(C) \simeq \pi_1(\Omega) \simeq \pi_2(H) = 0$. There are, however, noncontractible two-spheres: $\pi_2(\mathcal{A}/\mathcal{H}) \simeq \pi_1(\mathcal{H}) \simeq \pi_3(H)$. But for any compact
$H$, $\pi_3(H)$ is the direct sum of the $\pi_3$’s of the simple factors $K_j$, $j = 1, \ldots, s$. On the other hand, for any compact, simple Lie group, $\pi_3 \simeq \mathbb{Z}$, the only exception being $\text{SO}(4)$, whose $\pi_3$ is $\mathbb{Z} \oplus \mathbb{Z}$.

Below we associate an energy-reducing two-sphere which interpolates between a given (unstable) monopole and some other, lower energy monopole to each intersection of the line $0 \leftrightarrow Q$ with the root plane. The tangent vectors to these spheres are furthermore negative modes for the Hessian.

The role of our spheres is explained by Morse theory [10]: a critical point of index $\nu$ of a “perfect Morse function” is in fact associated to a class in $H_\nu$, the $\nu$-dimensional homology group. Intuitively (Fig. 2), following the $\nu$ independent negative-mode directions, we get a small $\nu$-dimensional “cap” which, when glued to the lower-energy part of configuration space, forms a closed, $\nu$-dimensional surface. The Hurewicz isomorphism [25] tells however that, for simply connected manifolds, $\pi_2$ is isomorphic to $H_2$, the second homology group. The Künneth formula [25] shows furthermore that the direct product of the ($\nu/2$) 2-spheres has a non-trivial class in $H_\nu$.

Let us first consider a geodesic $\exp 4\pi i Q t$, $0 \leq t \leq 1$, rather than a monopole. Remember that the step operators $E_{\pm \alpha}$ and $H_\alpha = [E_\alpha, E_{-\alpha}]$ close to an $\mathfrak{o}(3)$ subalgebra of $\mathfrak{k} \subset \mathfrak{g}$. Denote by $G_\alpha$ the generated subgroup of $K \subset H$. Our two-spheres are associated to these $G_\alpha$’s.

Observe first that, for each root $\alpha$,

$$S_\alpha = \{ g^{-1} Q_\alpha g, g \in G_\alpha \}, \quad (8.8)$$

is a two-sphere in the Lie algebra $\mathfrak{k} \subset \mathfrak{g}$. If $\xi$ is an arbitrary vector from $S_\alpha$,

$$\exp (\pi i \xi) = \exp(\pi i g^{-1} Q_\alpha g) = g^{-1}\{ \exp[\pi i Q_\alpha] \} g = \pm 1, \quad (8.9)$$

(the sign depends on $G_\alpha$ being $\text{SU}(2)$ or $\text{SO}(3)$), because $\exp 2\pi i Q_\alpha = 1$. Hence, for each $\xi$ from $S_\alpha$ and integer $k$,

$$h_\xi^k(t) = e^{\pi i t (k+1) \xi} e^{2\pi i t (2Q-(k+1)Q_\alpha/2)} \quad (8.10)$$

is a loop in $H$. Equation (8.10) is therefore a two-sphere of loops in $H$, parametrized by $\xi \in S^2$.

Using the shorthand $h = h_\xi^k$ and $\zeta = (2Q-(k+1)Q_\alpha/2)$, the speed of the loop (8.10) is

$$h^{-1} \frac{dh}{dt} = e^{-2\pi i \zeta t} ( (k+1) \pi \xi ) e^{2\pi i \zeta t} + 2\pi \zeta. \quad (8.11)$$

To calculate its energy, observe that, for any vector $\zeta$ from the Cartan algebra, $\zeta - \alpha(\zeta)H_\alpha/(\alpha, \alpha)$ commutes with $E_{\pm \alpha}$, because

$$[ \zeta - \alpha(\zeta) \frac{H_\alpha}{(\alpha, \alpha)}, E_\alpha ] = \alpha(\zeta - \alpha(\zeta) \frac{H_\alpha}{(\alpha, \alpha)}) E_\alpha = 0,$$

and so

$$g \zeta g^{-1} = g (\zeta - \alpha(\zeta) \frac{H_\alpha}{(\alpha, \alpha)} + \alpha(\zeta) \frac{H_\alpha}{(\alpha, \alpha)}) g^{-1} = \zeta - \alpha(\zeta) \frac{H_\alpha}{(\alpha, \alpha)} + (\frac{\alpha(\zeta)}{(\alpha, \alpha)}) g H_\alpha g^{-1}.$$  

Hence

$$\begin{align*}
\text{Tr} (\xi, \zeta) &= \text{Tr} (g^{-1} Q_\alpha g, \zeta) = \text{Tr} (Q_\alpha, g \zeta g^{-1}) \\
&= \text{Tr} (Q_\alpha, \zeta - \alpha(\zeta) \frac{H_\alpha}{(\alpha, \alpha)}) + \alpha(\zeta) \frac{\alpha(\zeta)}{(\alpha, \alpha)} \text{Tr} (Q_\alpha, g H_\alpha g^{-1}).
\end{align*}$$
Substituting here $\zeta$ we get finally, using $\text{Tr} (Q_\alpha Q) = \alpha(Q) = q,$

$$L(h) = \pi \{ 2(k+1)(2|q|-k-1) \text{Tr} (Q_\alpha/2)^2 \cos \tau + \text{Tr} (2Q -(k+1)Q_\alpha/2)^2 \} ,$$  \hspace{1cm} (8.12)

where $\tau$ is the angle between $Q_\alpha$ and $g^{-1}Q_\alpha g.$

For $\tau = 0$, $\pi$ i.e. for $\xi = \pm Q_\alpha$ the two factors in (8.11) commute. For $\tau = 0$ we get the geodesic $\exp(4\pi i Qt)$ and for $\tau = \pi$ i.e. $\xi = -Q_\alpha$ we get another, lower-energy geodesic, namely

$$h_\alpha^k(t) = e^{2\pi i t(2Q-(k+1)Q_\alpha)}, \hspace{1cm} 0 \leq t \leq 1.$$  \hspace{1cm} (8.13)

We conclude that, for each $0 \leq k \leq 2|q|-2,$ (8.10) provides us with a smooth energy reducing two sphere of loops, whose top is the “long” geodesic we started with, and whose bottom is (8.13).

Carrying out this construction for all roots $\alpha$ and all integers $k$ in the range $0 \leq k \leq 2|q|-2$, we get exactly the required number of two-spheres. They can also be shown to be non-contractible, and to generate $\pi_2$.

Consider now the tangent vectors to our two-spheres of loops along the curves

$$g_*(t) = e^{2\pi i E_{\pm \alpha}s}Q_\alpha e^{-2\pi i E_{\pm \alpha}s}$$

at $s = 0$, the top of the spheres. They are

$$e^{4\pi i |q|t} (e^{-2\pi i (2|q|-k-1)t} - e^{4\pi i |q|t}) E_{\pm \alpha}.$$  \hspace{1cm} (8.14)

The loop-variations (8.14) are again negative modes. They are not, however, eigenmodes, but rather mixtures of negative modes $(1-e^{-2\pi i (2|q|-k-1)t}) E_{\pm \alpha}$ and the zero mode $(1-e^{-4\pi i |q|t}) E_{\pm \alpha}$.

The inverse formula (7.14) translates finally the whole construction to YM: $A_\theta^\xi = 0,$

$$A_\varphi^\xi = \begin{cases} \frac{1}{4}(1 - \cos \theta)(e^{-2\pi i \xi t}(k+1)\xi e^{2\pi i \xi t} + 2\zeta) & \text{in } \frac{N}{S} \\ -\frac{1}{4}(1 + \cos \theta)((k+1)\xi + e^{\pi i (k+1)\xi t}(2\zeta)e^{-\pi i (k+1)\xi t}) & \text{in } \frac{N}{S} \end{cases}$$  \hspace{1cm} (8.15)

in fact a “round” energy-reducing two-sphere of YM potentials on $S^2$. The top of the sphere is $QAD$, the monopole we started with, and the bottom is another, lower-energy monopole, whose charge is $Q-(k+1)Q_\alpha/2$. Again, the situation is well illustrated on the Bott diagram, (see the next Section).

Note finally that our definition (8.10) can easily be modified so that the spheres fit the negative eigenmodes (7.7). However, the loops are then no longer of constant speed and do not interpolate in a monotonically energy-reducing manner between the critical points.

9 EXAMPLES

Example 1

The simplest case of interest is that of when the little group $H$ of the Higgs field is $H = U(2)$ (Fig. 3). The Cartan algebra consists of diagonal matrices (combinations of $\sigma_3$ and the unit matrix $I_2$); the only positive root $\alpha$ is the difference of the diagonal entries. In fact,

$$E_+ = \sigma_+ = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \hspace{1cm} E_- = \sigma_- = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \hspace{1cm} H = Q = \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix},$$

$$X = \sigma_1 = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \hspace{1cm} Y = \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \hspace{1cm} W_1 = \frac{1}{2}\sigma_3 = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$  \hspace{1cm} (9.1)
The only primitive vector, $\hat{W}_1$, is also a minimal one: in fact, $\exp 2\pi i \hat{W}_1 = -1$. $Q_1 = 2\hat{W}_1 = \sigma_3$ generates the charge lattice of $K = \text{SU}(2)$ which is also the topological zero-sector of $U(2)$. The topological sectors are labelled by a single integer $m$, defined by $2Q_{||} = m\text{diag}(1/2, -1/2) = m\Psi$. The unique stable monopole of the sector $m$ is

$$Q^{(m)} = \frac{1}{2}m\Psi + \frac{1}{2}\hat{W}_{[m]} = \begin{cases} \frac{1}{2}\text{diag} (k,k) & \text{for } m = 2k \\ \frac{1}{2}\text{diag} (k+1,k) & \text{for } m = 2k + 1 \end{cases} \quad (9.2)$$

where $[m]$ is $m$ modulo 2 and $\hat{W}_0 = 0$ by convention. Any other monopole of the sector $m$ is of the form

$$Q^{(m)} = Q^{(m)} + n\sigma_3 = Q^{(m)} + \frac{1}{2}\text{diag} (n,-n). \quad (9.3)$$

Those monopoles for which $n \neq 0$ are unstable, with index $\nu = 2(2n - 1)$ for $m$ even and $\nu = 4n$ for $m$ odd. For example, when $G = \text{SU}(3)$ is broken to $H = \text{U}(2)$ by an adjoint Higgs $\Phi$, the vacuum sector contains a configuration whose non-Abelian charge $Q$ is conjugate to $\text{diag} \left(1/2, -1/2, 0\right)$ [18]. Our result shows that this configuration is, (as conjectured), unstable, and has rather 2 negative modes, namely

$$a_\theta = \frac{1}{2} e^{\mp i\phi} \sigma_\pm, \quad a_\phi = \mp \frac{1}{2} e^{\mp i\phi} \sin \theta \sigma_\pm. \quad (9.4)$$

The construction of Sec. 8 yields, furthermore, an energy reducing 2-sphere of YM configu-
ration, namely \( A_\theta^\xi = 0 \),

\[
A_\phi^\xi = \begin{cases} \frac{1}{4}(1 - \cos \theta) \left( e^{-i\varphi} \frac{1}{2} \sigma_3 \xi e^{i\varphi} \frac{1}{2} \sigma_3 + \sigma_3 \right) & \text{in } N \\ -\frac{1}{4}(1 + \cos \theta) \left( e^{-i\varphi} \frac{1}{2} \xi/2 \sigma_3 e^{-i\varphi}/2 \right) & \text{in } S \end{cases}
\]  

\( (9.5) \)

where \( \xi = g^{-1} \sigma_3 g \). Parametrizing this two-sphere with Euler angles \( (\tau, \varphi) \) (say), we can write

\[
(e^{-i\varphi}\sigma_3) \xi (e^{i\varphi}\sigma_3) = \cos \tau \sigma_3 - \sin \tau \left( e^{-i(\varphi+\varphi)} \sigma_+ + e^{i(\varphi+\varphi)} \sigma_- \right) = \cos \tau \sigma_3 - \sin \tau \left( \cos(\varphi + \varphi) \sigma_1 + \sin(\varphi + \varphi) \sigma_2 \right),
\]

so that the 2-sphere \( (9.5) \) becomes

\[
A_\theta^\xi = 0, \quad A_\phi^\xi = \frac{1}{4}(1 - \cos \theta) \left\{ (1 + \cos \tau) \sigma_3 - \sin \tau (e^{-i(\varphi+\varphi)} \sigma_+ + e^{i(\varphi+\varphi)} \sigma_-) \right\} = \frac{1}{4}(1 - \cos \theta) \left\{ (1 + \cos \tau) \sigma_3 - \sin \tau \left( \cos(\varphi + \varphi) \sigma_1 + \sin(\varphi + \varphi) \sigma_2 \right) \right\}
\]

\( (9.7) \)

in \( N \), and similarly in \( S \). For \( \tau = 0 \), \( \xi = \sigma_3 \) we get \( A = (\sigma_3/2) A^D \); i.e. the monopole we started with and for \( \tau = \pi \), \( \xi = -\sigma_3 \) we get \( A = 0 \), the vacuum. The energy of the configuration \( (9.5) \) is

\[
E^{(\tau, \varphi)} = \pi (1 + \cos \tau),
\]

\( (9.8) \)

which is consistent with \( (8.12) \). Observe that \( (1 + \cos \tau) \) is just the height function on the unit sphere.

**Example 2**

The physically most relevant example is when the Higgs little group is \( H = U(3) \) i.e. locally \( su(3)_c + u(1)_{em} \), the symmetry group of the strong and electromagnetic interaction.

The diagram is now three-dimensional, the central \( u(1) \) being the vertical axis on Fig. 4 and \( t' \) being the horizontal plane. The primitive roots are \( \alpha_1(X) = X_1 - X_2 \) and \( \alpha_2(X) = X_2 - X_3 \) (for \( X = \text{diag}(X_1, X_2, X_3) \)). The corresponding primitive vectors, \( \tilde{W}_1 = \text{diag} (2/3, -1/3, -1/3) \) and \( \tilde{W}_2 = \text{diag} (1/3, 1/3, -2/3) \), are also minimal vectors: their exponentials are in bijection with the elements in the \( \mathbb{Z}_3 \)-center of \( SU(3) \).

The highest root is \( \theta = \alpha_1 + \alpha_2 \), and the charge lattice of \( K = SU(3) \) is generated by \( Q_1 = \text{diag}(1, -1, 0) \) and \( Q_2 = \text{diag}(0, 1, -1) \).

The topological sectors are labelled by an integer \( m \). In fact, the projection of Sector \( m \) onto the center is \( m \Psi = m \text{diag}(1/3, 1/3, 1/3) \). The unique stable charge in this sector is

\[
\tilde{Q}^{(m)}_Q = m \Psi + \tilde{W}_{[m]} = \begin{cases} \text{diag}(k, k, k) & \text{for } m = k \equiv 0 \mod 3 \\ \text{diag}(k + 1, k, k) & \text{for } m = k + 1 \equiv 0 \mod 3 \\ \text{diag}(k + 1, k + 1, k) & \text{for } m = k + 2 \equiv 0 \mod 3 \end{cases}
\]

\( (9.9) \)

where \( [m] \) means \( m \) modulo 3. Any other monopole has charge

\[
Q = \tilde{Q} + Q' = \tilde{Q} + n_1 \frac{Q_1}{2} + n_2 \frac{Q_2}{2} = \tilde{Q} + \frac{1}{2} \text{diag}(n_1, n_2 - n_1, -n_2).
\]

\( (9.10) \)

Those configuration with \( Q' \neq 0 \) are unstable.
The charges are \( m \Psi + W_{[m]} + n_1 Q_1 + n_2 Q_2 \), where \( Q_1 \) and \( Q_2 \) are the primitive charges of \( \text{SU}(3) \).

The horizontal planes are the topological sectors. Sector \( m \) is obtained from the vacuum sector by shifting by \( m \Psi + W_{[m]} \).

For example, the \( Q = \text{diag}(1, 0, -1) \) (Fig. 5) belongs to the vacuum sector, because its charge is in \( \mathfrak{k} = \text{su}(3) \).

\[
\alpha_1(2Q) = 2, \quad \alpha_2(2Q) = 2, \quad \theta(2Q) = 4,
\]

and so there are 10 negative modes, given by \([6.21]\). Equation \([8.3]\) yields in turn 5 energy-reducing 2-spheres, which end at

\[
Q_{\alpha_1} = \text{diag}(1, -1/2, -1/2), \quad Q_{\alpha_2} = \text{diag}(1/2, 1/2, -1),
\]

\[
Q_{\theta}^1 = \text{diag}(1/2, 0, -1/2), \quad Q_{\theta}^2 = 0, \quad Q_{\theta}^3 = \text{diag}(-1/2, 0, 1/2).
\]

**Example 3**

In Ref. \([19]\) the authors consider a 6-dimensional pure \( \text{SU}(3)/\mathbb{Z}_3 \) Yang-Mills model, defined over \( M^4 \times S^2 \), where \( M^4 \) is Minkowski space. They claim that any (Poincaré) \( \times \text{SO}(3) \) symmetric configuration is unstable against the formation of tachyons.

A counterexample is given by Forgacs et al. \([20]\), who show that the “symmetry-breaking vacuum”

\[
A_i = 0, \quad i = 1, \ldots, 4, \quad A = \frac{1}{6} \text{diag}(2, -1, -1) A^D
\]

(where \( A \) is a 2-vector on the extra-dimensional \( S^2 \)), is stable.

These observations have a simple explanation: the assumption of spherical symmetry in the extra dimensions leads to asymptotic monopole configurations on \( S^2 \) with gauge group
Figure 5: Diagram of SU(3). $Q_1 = \text{diag}(1,-1,0)$ and $Q_2 = \text{diag}(0,1,-1)$ are the primitive charges and the two primitive roots are $\text{Tr}(Q_1 \cdot)$ and $\text{Tr}(Q_2 \cdot)$. The minimal vectors $\hat{W}_1 = \frac{1}{3}\text{diag}(2,-1,-1)$ and $\hat{W}_1 = \frac{1}{3}\text{diag}(1,1,-2)$ generate the diagram. There are three root planes, intersecting in angle $\pi/3$. For example, the monopole whose charge is $2Q = \text{diag}(2,0,-2)$ has 10 negative modes, tangent to 5 energy-reducing 2-spheres, which end at $(2,-1,-1), (1,1,-2), (1,0,-1), (0,0,0), (-1,0,1)$.

$H = \text{SU}(3)/\mathbb{Z}_3$. Since $\pi_1(\text{SU}(3)/\mathbb{Z}_3) \simeq \mathbb{Z}_3$, there are three topological classes corresponding to the three central elements $z_0^* = 1, z_1^* = e^{2\pi i/3}, z_2^* = e^{4\pi i/3}$ of $H = \text{SU}(3)$ (Fig. 6). The diagram of $H = \text{SU}(3)/\mathbb{Z}_3$ differs from that of $H = \text{SU}(3)$ only in that the primitive $W_i$’s are already charges in this case.

(9.12) is indeed stable, because it is an asymptotic monopole with charge $\hat{Q} = \frac{1}{3}\hat{W}_1$, the unique stable charge of the Sector characterized by $z_2^*$. On the other hand, any other configuration, e.g. (9.13)

$$A = \frac{1}{3}\text{diag}(1,1,-2) A^D$$

is unstable. Counting the intersections with the root planes shows that there are $\nu = 4$ negative modes.

Both configuration (9.12) and (9.13) belong to the same sector, and the construction of Sec. 8 provides us with two energy-reducing two-spheres from the monopole (9.13) to those with charges $\frac{1}{3}\text{diag}(2,-1,-1)$ (i.e. (9.12)) and its conjugate $\hat{W}_1 = \frac{1}{3}\text{diag}(-1,2,-1)$.

Choosing rather $\hat{Q} = \frac{1}{3}\hat{W}_2$ in (9.12) would obviously lead to another stable configuration.

Example 4

To have a simple example where not all primitive weights are minimal, let us assume that the residual group is

$$H = (\text{U}(1) \times \text{Sp}(4))/\mathbb{Z}_2.$$ 

Then $\mathfrak{k} = \text{sp}(4) = \text{so}(5)$ and $K$ is Spin(5), the double covering of SO(5). $\mathfrak{k}$ can be represented by $4 \times 4$ symplectic matrices with a 2-dimensional Cartan algebra, say $t' = \text{diag}(a,b,-a,-b)$. 

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Figure 6: Diagram of SU(3)/Z₃, the adjoint group of SU(3). The diagram is essentially identical to that of SU(3), the only difference being that the primitive W's are now charges. In fact, W_j, j = 0, 1, 2, are the stable charges of the three topological sectors. (Only the sector exp[4πiQ] = e^{4πi/3} is shown.) For example, Q = 2W₂ = diag(1, -1₂, -1₂) is unstable with 4 independent negative modes. It lies at the top of two energy-reducing 2-spheres, whose bottoms are W₁ and its conjugate.

The charge lattice consists of those vectors in t’ with integer entries. Let us choose the primitive roots \( \alpha_1 = \text{Tr}(H_1) \) and \( \alpha_2 = \text{Tr}(H_2) \), where

\[ H_1 = \frac{1}{2} \text{diag}(1, -1, -1, 1) \quad \text{and} \quad H_2 = \frac{1}{2} \text{diag}(0, 1, 0, -1) \]  

(9.14)

These vectors dual to the primitive roots are

\[ W_1 = \frac{1}{2} \text{diag}(1, 0, -1, 0) \quad \text{and} \quad \overset{\circ}{W}_2 = \frac{1}{2} \text{diag}(1, 1, -1, -1). \]  

(9.15)

Any of the properties a.), b.), or c.) of Sec. 2 shows that only \( \overset{\circ}{W}_2 \) is minimal: For example, only \( \overset{\circ}{W}_2 \) exponentiates into the non-trivial element (-1) of Sp(4):

\[ \exp 2\pi W_1 = 1, \quad \exp 2\pi \overset{\circ}{W}_2 = -1. \]

In other words, while \( W_1 \) is already a charge, \( \overset{\circ}{W}_2 \) is only half-of-a-charge. (Fig. 7). Alternatively, the two remaining positive roots are \( \varphi = \alpha_1 + \alpha_2 \) and the highest root is \( \theta = 2\alpha_1 + \alpha_2 \).

Let the integer \( m \) label the topological sectors. For \( m \) even, \( m = 2k \), the unique stable monopole belongs to the center,

\[ \overset{\circ}{Q}^{(2k)} (2k) = k \Psi \]  

(9.16)

where \( \Psi \) is a generator of the center normalized so that 2\( \Psi \) is a charge. For \( m \) odd, \( m = 2k + 1 \), the unique stable monopole is rather

\[ \overset{\circ}{Q}^{(2k+1)} (2k+1) = (k + \frac{1}{2})\Psi + \frac{1}{2} \overset{\circ}{W}_2. \]  

(9.17)
Figure 7: Diagram of $\text{Sp}(4) \approx \text{Spin}(5)$, the double covering of $\text{SO}(5)$. The primitive charges are $Q_1 = \text{diag}(1,0,-1,0)$ and $Q_2 = \text{diag}(0,1,0,-1)$. The two primitive $W$'s are $W_1 = \text{diag}(1,0,-1,0)$ and $W_2 = \text{diag}(\frac{1}{2},\frac{1}{2},-\frac{1}{2},-\frac{1}{2})$ out of which only $W_2$ is minimal. There are 4 families of root planes. The monopole with charge $2Q = W_1$ is unstable with two negative modes. It lies on the top of an energy-reducing 2-sphere which ends at the vacuum.

It may be worth noting that, in contrast to the $K = \text{SU}(N)$ case, $Q = \frac{1}{2}W_1$ is an unstable monopole in the vacuum sector, which has index $2(\theta(W_1) - 1) = 2^3$.

The negative modes are expressed once more by (9.4), but this time $\sigma_{\pm}$ mean rather

$$\sigma_+ = \frac{1}{2} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad \sigma_- = \frac{1}{2} \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}.$$

(9.18)

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Note added. After this work has been completed, we heard from Prof. K. Uhlenbeck that she and W. Nahm have obtained similar results [28].

Appendix.

Proposition

$$m^2(a,a) = \int drd\Omega \text{Tr} (r \nabla_r a)^2 = \frac{1}{4} + \delta^2 \quad \text{and} \quad \inf \delta^2 = 0.$$
Proof:

\[
\int_0^\infty dr r^2 \text{Tr}(\partial_r \mathbf{a})^2 = \int_R^\infty dr \text{Tr}(r \nabla_r \mathbf{a} + \frac{1}{2} \mathbf{a})^2 - \int_R^\infty dr \text{Tr}(r \mathbf{a} \nabla_r \mathbf{a}) - \frac{1}{4} \int_R^\infty dr \text{Tr}(\mathbf{a}^2)
\]

\[
= \frac{1}{4} \int_R^\infty dr \text{Tr}(\mathbf{a}^2) + \int_R^\infty dr \text{Tr}(r \nabla_r \mathbf{a} + \frac{1}{2} \mathbf{a})^2 + \frac{R}{2} \text{Tr}(\mathbf{a}^2(R)).
\]

Therefore, \( m^2 = \frac{1}{4} + \delta^2 \), as stated.

Equality can never be achieved, because \( r \nabla_r \mathbf{a} + \mathbf{a}/2 = 0 \Rightarrow \mathbf{a} \) is proportional to \( r^{-1/2} \Rightarrow Ra^2(R) \neq 0 \). However, consider \( \mathbf{a} = f(r)\beta(\Omega) \), where \( \beta(\Omega) \) is a vector on \( S^2 \), and

\[
f(r) = \begin{cases} 
    \frac{(r - R)/R}{2} & R \leq r \leq 2R \\
    \frac{(R/r)^{1/2}}{2} & 2R \leq r \leq 2sR \\
    \frac{\sqrt{s} R}{s^{1/2} R} & 2sR \leq r 
\end{cases}
\]

Then

\[
\frac{\int \text{Tr}(r \nabla_r f)^2 dr}{\int f^2 dr} = \frac{17 + 3 \ln s}{5 + 12 \ln s} \rightarrow \frac{1}{4}
\]

as \( s \rightarrow \infty \), showing that \( \frac{1}{4} \) is indeed the infimum.
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Note added in 2009: Hommage to Lochlainn O’Raifeartaigh and to Sidney Coleman.

Our aim in posting this paper to ArXiv has been two-fold. Firstly, we wanted to make available to a larger public what, 22-years after its publication, we still consider as one of our best papers we ever wrote.

But it is also Hommage to two outstanding physicists, who played an important role in our personal history.

Firstly, to Lochlainn O’Raifeartaigh, with whom we both (PAH and JHR) collaborated, and from whom we had learned physics during those years we spent in Dublin.

Lochlainn used to arrive at the DIAS around ten in the morning; coming directly to the kitchen. While having coffee, he pulled from his pocket an enveloppe with some calculation on its back: “I made some progress in the bus”. Then the discussion started and went on for hours. In fact, we spent more time working on the tiny blackboard of the kitchen than in the discussion room; we published joint papers with him without having ever entered his office!

He had a tremendous flair, picking the right idea as a needle from a haystack.

He has also been a perfect gentlemen, whose collaborators ranged from the age of 25 to 70 (or beyond). And DIAS has deserved to be called School of Physics.

This post is also an hommage to Sidney Coleman, with whom we had less personal contacts, but who has, nevertheless, deeply influenced our work.

Sidney Coleman has been a true magician: in his celebrated Erice Lectures [2] he could convey understanding, in a few words, to everyone, which no-one else could explain in dozens of pages. He also had a tremendous intuition and a sparkling sense of humour.

An illustration: in his ’81 Erice Lecture he claims that “every topological sector contains exactly one stable monopole charge” — but he only proves his statement in the most trivial particular case, namely that of residual symmetry group SO(3) — which almost never arises in physical applications: the most common examples are rather of the GUT type, $SU(3)_c \otimes SU(2)_W \otimes U(1)_{em}$, with a continuous center.

His statement puzzled and angered us, and we wanted to find a general proof, valid for any compact Lie group. And after about a year of hard work, we realized that the problem could in fact be solved by … factoring out the center and decomposing the resulting semisimple group into SO(3) factors!

We were so fascinated by this “coincidence” that we wrote to Sidney Coleman, asking if he was aware that his over-simplified idea contained in fact the germ of the general proof! His answer has also been typical: — “I do not remember any more what I was aware of by that time; you had better phone David Olive or Werner Nahm who can tell you what I knew by that time!”

Another proof of his amazing intuition: in his Lectures, Coleman mentions that the decay of monopoles is analogous to the way elastic strings shrink. In our paper, we have been able to make this idea rigorous, and work out the analogy between monopole decay with the energy-minimizing shrinking of loops in the residual group!

Interest in monopoles in general, and in their stability in particular, has by now faded; see, however Refs. [30, 31].

Our original idea has been that our energy-reducing two-spheres might indicate preferential decay routes for an unstable monopole: starting from some given unstable configuration, it would “roll down” following these “routes” to some lower-energy, “less-unstable” saddle point,
producing a sort of “cascade” of decaying monopoles ending eventually in the vacuum.

22 years ago, it was not possible to check this intuitive picture. However, powerful computers and advanced numerical methods, unavailable in the past, might make it possible to test it today.

Both of these shining stars of our younger years are now dead. But we want to reiterate our gratefulness for having been able to learn from them. We include therefore, as an *Hommage*, photos of both of these - so different! - people.

For further information on Sidney Coleman see: [http://www.physics.harvard.edu/QFT/](http://www.physics.harvard.edu/QFT/)

Last but not least, we are indebted to Roman Jackiw, Stephen Parke, Rob Pisarski and Andreas Wipf for correspondance, and for providing us with the photos here.