Clinical application of liquid biopsy in cancer patients

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Abstract
Background: This study was to determine the prevalence and clinical significance of clonal hematopoiesis (CH)-related variants, and somatic and germline mutations in cancer patients and healthy individuals.

Methods: We performed next-generation sequencing of 275 cancer-related genes between plasma and white blood cells in 92 cancer patients and 47 controls without cancer. Blood samples were recruited from May 2017 to July 2021, and blood cancer patients were excluded. For all statistical analysis in this study, p < 0.05 was considered statistically significant.

Results: Overall, 38.04% of patients and 46.81% of controls harbored at least one CH-related mutation in plasma cell-free DNA. Based on our results, older cancer patients exhibited a CH phenomenon more frequently than younger patients (p = 0.0024). A total of 39 somatic pathogenic (P)/likely pathogenic (LP) mutations were identified in 17 genes in 21 of 92 patients. We found that the presence of P/LP variants in cancer-related gene predicted shorter overall survival (OS) (p = 0.001). Multivariate analysis adjusted for CH-related mutations, germline mutations, and tumor stage, also indicated that somatic mutations correlated significantly with OS (p = 0.022). Moreover, the frequency of a germline P/LP variant was that of seven of 92 individuals in the cancer group and one of 42 individuals in the control group.

Conclusions: We characterized the CH-related variants, and somatic and germline mutations in cancer patients and healthy individuals, and the results have important clinical significance.

Keywords: Liquid biopsy, Clonal hematopoiesis, Somatic mutation, Germline mutation, Pathogenic/likely pathogenic variant

Background
Liquid biopsy is a comprehensive and real-time analysis of tumor cells or tumor cell products released into the blood or other bodily fluids by all metastatic or primary tumor sites. Clinical application of liquid biopsy includes early detection of cancer or tumor recurrence, monitoring of cancer therapies, and determining therapeutic targets and resistance mechanisms to adapt therapy to the specific needs of an individual patient [1]. For example, liquid biopsy analysis has been demonstrated to allow detection of breast cancer 5 months earlier than traditional clinical examination [2]. Several immunotherapeutic drugs have been tested in clinical trials that use circulating tumor cells (CTCs) and circulating tumor-derived DNA (ctDNA) as biomarkers (www.clinicaltrials.gov). In addition to CTCs and ctDNA, members of the liquid biopsy...
TET2 is most commonly mutated genes in CH are associated with an increased risk of hematological malignancies, cardiovascular disease, and greater mortality of non-hematological cancers [12–15]. The modal fragment size for tumor cfDNA and healthy cfDNA is 166 bp, but tumor cfDNA displays an increased proportion of short fragments (100–150 bp) [8]. In cancer patients, only a small portion of cfDNA (usually 0.01–5%) is shed into the blood by tumor cells; this is called ctDNA [9]. Tumor volume of 10 cm³ (27 mm in diameter) leads to 0.1% ctDNA in the circulation [10], but cancer type and biological characteristics can also influence the concentration of ctDNA. Therefore, development of ultrasensitive methods to detect 0.01% or less ctDNA in blood plasma is necessary.

Abnormal expansion of clonally derived hematopoietic stem and/or progenitor cells carrying somatic mutations is called clonal hematopoiesis (CH) [11]. CH is associated with an increased risk of hematological malignancies, cardiovascular disease, and greater mortality of non-hematological cancers [12–15]. The most commonly mutated genes in CH are DNMT3A, TET2 and ASXL1 [16, 17]. In addition, CH is known to lead to false positive results in cfDNA testing, thus complicating the interpretation of liquid biopsy data [18, 19].

Next-generation sequencing (NGS) and digital droplet PCR (ddPCR) are more sensitive mutational analysis techniques. These methods enable detection of cfDNA with somatic mutations and have been used in different types of cancers. NGS-based methods involve targeted [20–22] and untargeted approaches and are well known for their outstanding parallel sequencing ability. Untargeted NGS methods such as whole-genome or whole-exome sequencing have also been used to detect mutants of ctDNA, but at a much higher cost to achieve similar sensitivity. ddPCR can detect known mutants at 0.1% or lower in the blood, and has been used for hotspot mutant detection; it also suitable for the verification of NGS results.

The goals of this study were to evaluate the efficacy and clinical impacts of liquid biopsy on cancer patients and healthy controls using a NGS panel targeting 275 cancer-related genes. We also evaluated CH and germline mutations of patients after analyzing the characteristics of mutants in white blood cells (WBCs) and plasma.

**Methods**

**Clinical cohort**

We retrospectively reviewed the sequence data from 139 subjects who underwent genetic testing from May 2017 to July 2021. Participants were excluded if they had a blood cancer. Blood samples were collected at 3 months after surgery in early stage patients. Advanced stage patients with were included, regardless of surgery or treatments. We included 92 patients with lung (36), ovarian (27), colorectal (8), breast (5), endometrial (3), gastric (2), renal cell (2), prostate (2), urothelial (1), head and neck (1), hepatocellular (1), neuroendocrine (1), pancreatic (1), cervical (1), or fallopian tube (1) cancer and 47 healthy individuals. This study was approved by the Institutional Review Board of the China Medical University Hospital (CMUH106-REC1-047).

**Sample processing and DNA extraction**

Plasma was collected in cell-free DNA collection tubes (Roche, Basel, Switzerland) and separated by centrifugation. Whole blood was centrifuged at 1600×g for 20 min at 20°C. After separating red blood cells and the buffy coat, we centrifuged the plasma a second time at 16,000×g for 10 min at 20°C to remove residual cells. Supernatants were immediately stored at −80°C until ready for further processing.

Frozen aliquots of plasma (4–5 mL) were thawed at room temperature, and cfDNA was isolated using a QIAamp Circulating Nucleic Acid Kit (Qiagen, Heidelberg, Germany). Extracted DNA was immediately stored at −20°C until further processing. The concentration of purified DNA was measured by fluorometric quantitation using Qubit (Thermo Fisher).

**Next-generation library preparation and sequencing**

NGS testing was performed using the QIAseq targeted Human Comprehensive Cancer Panel (Qiagen), which contains 275 genes covering the most commonly occurring mutations in cancer (cat. no. DHS-3501Z). The method has been described in detail in previous studies [23, 24].

**Data analysis**

Base calling and quality scoring were performed with an updated implementation of Real-Time Analysis on the NextSeq 500 system. We used bcl2fastq Conversion Software to demultiplex data and convert BCL files to FASTQ files. Sequence reads were processed by read trimming, read aligning, barcode clustering, and gene-specific primer masking. Finally, single nucleotide polymorphisms (SNPs) and small insertion-deletion mutations (INDELs) were called in individual samples using smCounter at the default settings. We used ANNOVAR
to annotate variants; in particular, dbSNP and ClinVar, were used to determine whether the variants had been previously identified. Germline mutations with a ≥ 30% allelic fractions (AFs) in both WBC DNA and cfDNA were analyzed.

Several filter procedures were executed after mutation calling. (1) Synonymous variants were filtered out. (2) Variants with low depth (< 500 × in cfDNA, 100 × in WBC DNA) were filtered out. Variants with < 5 high-quality sequencing reads for cfDNA and 2 high-quality sequencing reads for WBC DNA were removed. (3) An in-house database of 191 cancer patients and 24 healthy individuals was created. Variants were filtered out if present in > 5% of samples in the in-house database and > 1% in dbSNP. The remaining variants were identified as high-confidence somatic mutations.

Statistical analysis
Nonparametric Mann-Whitney tests were performed to compare ages in different groups. A Kaplan-Meier plot with log-rank test was employed to compare survival among groups. Independent prognostic factors were analyzed by the Cox proportional hazards regression model. Statistical analysis was performed using GraphPad Prism (version 8.0.2; GraphPad Software, San Diego, CA, USA) and SPSS 22.0 (IBM, Armonk, NY, USA). P < 0.05 was considered statistically significant.

Results
Description of analytical cohort
We obtained 139 peripheral blood samples from 92 patients and 47 healthy individuals. The patient cohort encompassed 15 principal tumor types. The most common tumor type was lung cancer (n = 36). Other common types included ovarian cancer (n = 27), colorectal cancer (n = 8), and breast cancer (n = 5). Demographic characteristics of the 139 participants are summarized in Table 1. Detailed information is presented in Additional file 1: Table S1. All plasma samples were sequenced to deep coverage (median, 9804 ×; range, 1594–43,746 ×) to ensure high sensitivity for the detection of genomic alterations. The median sequencing depth for WBCs was 944 × (range, 105–15,636 ×).

Some cfDNA mutations originate from CH variants in WBCs
Ultradeep sequencing was performed for WBCs of the 92 cancer patients to characterize the sources of the cfDNA mutations detected in plasma. A total of 138 mutations detected from 35 samples of plasma were also detected in WBCs, suggesting a hematopoietic origin (Additional file 2: Table S2). KMT2C (10.87%, 10/92), NFI (6.52%, 6/92), CHEK2, DNMT3A, NOTCH3 (5.43%, 5/92), PMS2 (4.35%, 4/92), KMT2D (3.26%, 3/92) and SUZ12 (3.26%, 3/92) were the most recurrent. For ASXL1, BCR, CUX1, FANCD2, GATA2, MYCL, PPM1D, SOX9, TERT, TET2, and TSC2, a mutation of each gene was found in two patients (2.17%, 2/92) (Fig. 1a). Among the 15 canonical genes associated with CH, our cancer patients had mutations in CHEK2, DNMT3A, ASXL1, PPM1D, and TET2 only (Fig. 1a). Furthermore, cancer patients with CH variants were significantly older than those without CH variants in cfDNA (61 vs. 53 years, p = 0.0024) (Fig. 2a). We also examined the association between the CH variants and stage of cancer patients. The results showed that the CH variants are not associated with cancer’s stage (p = 0.3058) (Additional file 3: Table S3).

In healthy individuals, 66 mutations detected from 22 plasma samples were also detected in WBCs, suggesting their hematopoietic origin (Additional file 4: Table S4). Mutations in CHEK2 (19.15%, 9/47), PMS2 (17.02%, 8/47), NFI (12.77%, 6/47), KMT2D (6.38%, 3/47), BCR, DNMT3A, FANCD2, KMT2C, PPM1D, RAD50, SUZ12, and U2AF1 (4.26%, 2/47) were the most recurrent (Fig. 1b). The remaining mutations of CH-related genes were identified in one sample. Mutations of five (CHEK2, DNMT3A, PPM1D, U2AF1, and ASXL1) of 15 canonical CH genes were found in the healthy subjects (Fig. 1b). No statistical differences were observed in the age of the healthy subjects in the cohort with at least one

| Variable     | Categories | Patient subjects (N = 92) N (%) | Healthy subjects (N = 47) N (%) |
|--------------|------------|---------------------------------|---------------------------------|
| Gender       | Male       | 32 (34.78)                      | 30 (63.83)                      |
|              | Female     | 60 (65.22)                      | 17 (36.17)                      |
| Age          | ≤ 45       | 15 (16.30)                      | 10 (21.28)                      |
|              | 46–60      | 45 (48.91)                      | 19 (40.43)                      |
|              | 61–75      | 25 (27.17)                      | 16 (34.04)                      |
|              | ≥ 76       | 5 (5.43)                        | 2 (4.26)                        |
|              | NA         | 2 (2.17)                        | NA                              |
| Tumor types  | Lung       | 36 (39.13)                      | NA                              |
|              | Ovarian    | 27 (29.35)                      | NA                              |
|              | Colorectal | 8 (8.70)                        | NA                              |
|              | Breast     | 5 (5.43)                        | NA                              |
|              | Endometrial| 3 (3.26)                        | NA                              |
|              | Gastric    | 2 (2.17)                        | NA                              |
|              | Prostate   | 2 (2.17)                        | NA                              |
|              | Renal cell | 2 (2.17)                        | NA                              |
|              | Head and neck | 1 (1.09)                  | NA                              |
|              | Liver      | 1 (1.09)                        | NA                              |
|              | Urothelial | 1 (1.09)                        | NA                              |
|              | Other      | 4 (4.35)                        | NA                              |
CH-related mutation and in that without a CH-related mutation (54 vs. 56 years, \( p = 0.5933 \)) (Fig. 2b).

**Mutation landscape of pan-cancer ctDNA**

Twenty-one cancer patients (22.83%, 21/92) had a somatic mutation(s) classified as pathogenic (P)/likely pathogenic (LP) in the ClinVar database (Additional file 5: Table S5). The most frequently mutated gene was TP53 (9/92, 9.78%), followed by KMT2D, NF1, PIK3CA, and SOX2, which were each found in three separate cases (3/92, 3.26%) and CTNNB1, FGFR2, MSH6, and PTEN, which were each found in two separate cases (2/92, 2.17%). APC, BRAF, BRCA2, EGFR, ERBB2, IDH1, KRAS, and NTRK1 were each found in one case (1/92, 1.09%).

We also compared the overall survival (OS) of cancer patients with versus without a somatic P/LP variant in ctDNA. OS was better in those without P/LP cancer-related gene mutations, as compared to those with
mutations (7.42 vs. 2.87 years, respectively); this association was statistically significant ($p = 0.001$; Fig. 3). Multivariate analysis that incorporated independent prognostic factors of CH-related mutation, germline mutation, and tumor stage revealed that the presence of P/LP somatic mutations was significantly correlated with OS ($p = 0.022$) (Table 2).

One healthy individual (2.13%, 1 of 47) had a somatic mutation of the $MYC$ gene classified as P/LP in the ClinVar database (Additional file 6: Table S6). The clinical impact of this variant will require close observation and follow-up.

**Frequency of germline P/LP mutations detected in cfDNA**

Seven cancer patients (7.61%, 7/92) had an evaluatable candidate germline variant(s) with a variant allele frequency (VAF) between 30 and 60%, irrespective of pathogenicity on ctDNA analysis. The germline variants identified were $MSH2$ p.R711X, $BRCA1$ p.T1691K, $MUTYH$ p.R95W, $RAD50$ p.L719fs, $BRCA2$ p.T587fs, $BRIP1$ p.W448X, and $MPL$ c.981-1G > C (Additional file 7: Table S7). Of 7 patients with a germline mutation, two (28.57%) had a family history with cancer.

One healthy individual (2.13%, 1/47) had a candidate germline variant identified as $NOTCH3$ p.R544C (Additional file 8: Table S8). This variant was present at a VAF of 47.41% (247/521) in cfDNA and 49.05% (258/526) in matched buffy coat.

**Case presentation**

We only have nine cases involving both FFPE and liquid biopsy samples (Additional file 9: Table S9). For example, we compared the concordance between FFPE and ctDNA genomic profiling of one lung cancer patient. $TP53$ p.R248L P mutation was found in two different types samples. This patient receive radiotherapy during this

| Variables               | Hazard Ratio | 95% CI     | p-value |
|-------------------------|--------------|------------|---------|
| CH-related mutations    | –            | 0.512–2.778| 0.684   |
|                         | +            | 1.192      |         |
| Somatic P/LP mutations  | –            | 1.166–7.011| 0.022   |
|                         | +            | 2.859      |         |
| Germline P/LP mutations | –            | 0.054–2.437| 0.297   |
|                         | +            | 0.364      |         |
| Tumor stage             | I and II     | 0.509–14.731| 2.737   |
|                         | III and IV   | 2.737      |         |

![Figure 3](image-url) Kaplan-Meier curve in patients with and without mutations in P/LP somatic cancer-related genes

$p = 0.001$
period (Fig. 4). The result indicated that TP53 mutation may induce resistance to certain cancer therapy.

Discussion
Herein, we report a study of non-invasive ctDNA detection for Taiwanese cancer patients and healthy individuals. We analyzed the detected variants and further characterized them as CH (Additional file 10: Fig. S1), somatic, or germline variants (Additional file 11: Fig. S2). Overall, 22.83% of cancer patients harbored P/LP somatic mutations. As expected, a lower frequency (2.13%) in germline variants and somatic aberrations [26, 27], and carriers studied for Taiwanese cancer patients. These germline mutations were detected in three ovarian, two lung, one cervical, and one endometrial cancer patient; most of the mutations produced stop codons, frameshifts, or aberrant splicing resulting in loss of the protein. Thus these mutations are likely to influence greatly or inhibit protein function. Many studies have explored the association between germline variants and somatic aberrations [26, 27], and carriers of germline variants in our study are already known as high penetrance mutants for cancer development, e.g., P/LP germline mutations in 12 genes (BARD1, BRCA1, BRCA2, BRIP1, PALB2, RAD51C, RAD51D, MSH2, MLH1, PMS2, MSH6, and EPCAM) are known or suspected to increase the risk of ovarian cancer [28]. Among these ovarian cancer susceptibility genes, we identified P/LP germline variants in BRCA1 and MSH2 in our ovarian cancer cohort. MUTYH germline mutations are best known for their role in colorectal cancer. Win et al. reported that biallelic germline MUTYH mutations confer a 14% risk of ovarian cancer by age 70 [29]. In the current study, we identified a MUTYH germline mutation in one ovarian cancer patient. A previous study in 36,813 Chinese lung cancer patients, focusing on eight key lung cancer driver genes (EGFR, ALK, MET, KRAS, ERBB2, ROSI, RET, and BRAF), revealed a prevalence of 0.03% for P/LP germline mutations [30]. However, we did not find germline mutations in these genes. In our lung cancer patient cohort, BRIP1 (p.W448X) and MPL (c.981-1G > C) germline mutations were detected. Germline mutations in BRIP1 and MPL were associated with increased ovarian cancer risks and hereditary thrombocytosis, respectively [31, 32]. Liu et al. observed BRIP1 P/LP germline mutations (p.M1V and p.T977fs) in lung cancer patients, is different to that reported by Liu et al. RAD50 germline mutation (p.L719fs), identified by Fan et al. in breast cancer patients, is consistent with our analysis of cervical cancer patient [34]. Germline mutations in BRCA have been associated with cases of endometrial cancer, mainly in BRCA1 [35]. In the present study, we identified a BRCA2 germline mutation, p.T587fs, in patient with endometrial cancer. From these results, we recommend familial cancer consultations for the family members of these patients.

We identified one LP germline mutation, p.R544C, in NOTCH3 in healthy individuals. Germline mutation has not been previously described in the NOTCH3 gene. The clinical significance of this variant warrants further study, and we recommend this individual be closely monitored to allow for early detection of cancer if necessary.

We found that 38.04% of patients carried CH mutations, which differs slightly from other studies; we suggest that the rate is dependent on the materials and methods used. Highly sensitive cfDNA approaches have identified CH mutations in 89.5% of patients with cancer and 83% of controls without cancer [17]. Chan et al. detected

![Fig. 4 Timeline of events from surgery and cfDNA sequencing of the patient](image-url)
CH-related mutations in 29% (11/38) of colorectal cancer patients [36]. A recent study conducted by Chang et al. found that 14.0% (1861/13,333) of cancer patients harbored CH variants in plasma samples [37]. A different NGS panel and sequencing paired plasma-WBCs could lead to differing prevalence of CH detection in cfDNA. Liu et al. showed the ineffectiveness of distinguishing CH mutations of low VAF (≤0.1%) from tumor-derived mutations using conventional NGS of blood cell DNA [38]. We set our minimum VAF requirements to > 1%; thus, some CH mutations may have been missed, which may result in a slightly lower occurrence rate in our data.

Age-associated mutations including cytosine deamination, DNA double-strand breaks, polymerase error, and structure rearrangements of chromosomes are common. Adult humans have hematopoietic stem cells (HSCs) about 50,000 to 200,000, and harbor up to 1.4 million protein coding mutations in HSC pool by age 70, and these mutations may cause clonal expansions [39]. This reason can be used to explain our results that older patients have more frequent CH-related mutations.

CH can lead to blood cancers, therefore CH mutations detected in myelodysplastic syndrome and acute myeloid leukemia is important [40]. In patients with solid tumors, matched cfDNA-WBC sequencing can be used to distinguish CH somatic mutations from those in the solid tumor cells. When CH mutations are actionable alterations, it may lead to erroneous treatment recommendations. Early-stage cancers [41], minimal residual disease [42], and intra- and intertumoral heterogeneity [43] may have a low VAF, similar to CH, and these results may lead to false negatives in the clinical setting. To address this, we sequenced the buffy coat of blood, and were able to differentiate CH from the above-mentioned conditions. In patients with cancer, CH is a common occurrence, and associated with aging, smoking, and radiation therapy [12]. CH has been linked to decreased overall survival, including greater risk of cardiovascular mortality [13]. Whether CH can be applied as the prognosis biomarker for solid tumor need further study.

Liquid biopsy has many clinical impacts. Recent studies have shown that detected positive cases have poorer survival than detected negative cases including therapeutic response and prognosis [44–48]. This is consistent with our findings. Our results showed that the presence of P/LP variants in cancer-related genes predicted shorter OS in patients (2.87 vs. 7.42 years, \( p = 0.001 \)). Multivariate analysis adjusted for CH-related mutation, germline mutation, and tumor stage also indicated that somatic mutations correlate significantly with OS (\( p = 0.022 \)). We also examined the effect of P/LP somatic mutation in lung (36 cases) and ovarian (27 cases) cancer patients separately. But, there was no statistically significant difference between the two groups with respect to P/LP somatic mutation in two different cancer types, which may be due to small number of these cancers, and different treatment history. The appearance of P/LP in the results of liquid biopsy has strong correlation with patients prognosis is confirmed by many studies that including many types of cancers. Our study showed P/LP influencing the survival of unselected cancer types.

Conclusions
In summary, the present study identified the mutational spectra of pan-cancer in a Taiwanese population. ctDNA analysis has important clinical impacts. In addition, matched cfDNA-WBC sequencing is important for accurate variant interpretation.

Abbreviations
CH: Clonal hematopoiesis; P: Pathogenic; LP: Likely pathogenic; OS: Overall survival; CTCs: Circulating tumor cells; cfDNA: Circulating tumor-derived DNA; ctDNA: Cell-free DNA; NGS: Next-generation sequencing; ddPCR: Digital droplet PCR; WBCs: White blood cells; SNPs: Single nucleotide polymorphisms; INDELs: Insertion-deletion mutations; AFs: Allelic fractions; VAF: Variant allele frequency; HSCs: Hematopoietic stem cells.

Supplementary Information
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Authors’ contributions
CCM collected and analyzed the data, and wrote the manuscript. LKC, HNE, HWA, and LCY performed the experiments. LTC, CYS and CG supervised this study and edited the manuscript. All authors reviewed and approved the manuscript.

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Availability of data and materials
The datasets generated and analyzed during the current study are not publicly available since proper ethical permission for open data access has not been obtained, but are available from the corresponding author on reasonable request.

Declarations

Ethics approval and consent to participate
All methods were performed in accordance with the Declaration of Helsinki. This study was approved by the Institutional Review Board of the China Medical University (CMUH106-REC1-047). All participants provided written informed consent.

Consent for publication
Not applicable.

Competing interests
The authors declare that they have no competing interests.

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