ESTIMATING AERIAL BIOMASS IN SEMI-NATURAL VEGETATION FROM SPECTRAL REFLECTANCE MEASUREMENTS

1. PRELIMINARY EXPERIENCES

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SUMMARY

A technique of calculating aerial green biomass from spectral measurements in the red and near infrared bands is increasingly being applied in vegetation studies. It is fast, non-destructive and can be directly applied from aircraft or satellite mounted sensors to large areas. Literature data illustrating the potential of the approach refer so far generally to rather ideal situations in low, open, herbaceous vegetation.

Before general application can be recommended a body of reference including various situations should be available. The present contribution indicates some disturbing factors such as the presence of flowers or shade and evaluates the applicability of the technique for a number of vegetation types. Results of tests in 11 semi-natural vegetation types are presented, ranging in height from 30–150 cm and in cover from 20–100%. Measurements were made with – as later appeared – non-optimal equipment and no correction could be made for variation in incoming radiation. Multiple sampling at three to five dates between April and July resulted in correlation coefficient values of 0.96, 0.95, 0.92, 0.91, 0.91, 0.88, 0.85, 0.82, 0.67, and -0.06 (in the last case Holcus lanatus dominant) for aerial biomass dry and the $\frac{R}{K}$ ratio. These relatively high values are critically examined. The data provide evidence for a rather general applicability of the technique, but also for a cautious approach and a mandatory calibration per (floristically and/or physiognomically) different vegetation type.

1. INTRODUCTION

Estimates of the amount of above-ground vegetation, referred to as aerial biomass or standing crop, are used in many kinds of vegetation studies. Where the vegetation is studied as an exploitable renewable natural resource in itself, estimates of the amount of harvestable plant material are essential. Grazing potential research and the evaluation of rangelands are obvious examples (STODDART et al. 1975). Traditionally clipping and weighing of vegetation in sets of sample plots has provided the estimates. The method is time consuming and, where manpower is expensive, costly (BROWN 1954). It is destructive as well, preventing repeated measurement of the same sample plots to estimate vegetation productivity.

Non-destructive techniques of estimating standing crop have been devised, such as measuring attributes (cover, height, volume) directly related to the amount of vegetation. Standing crop is then calculated from measurements or
ocular estimates of these parameters and already established regression equations, often by means of a double sampling technique (Pechanec & Pickford 1937; Brown 1954; Kinsinger & Strickler 1961; Mason & Hutchings 1967; Thalen 1979).

Of the equipment developed for non-destructive measurement, the use of electronic capacitance instruments is best known, not only in herbaceous but also in shrub vegetation. The technique has disadvantages and is not suitable for determination of aerial biomass over large areas (Neal & Neal 1973; Currie et al. 1973; Morris et al. 1976).

An approach of using spectral reflectance measurements in selected narrow wavelength bands has been developed in the last decade and is now widely being tested. Such measurements are rapid, non-destructive and can be made from different altitudes, related to small plots as well as to large areas. The sensor(s) can be hand-held, but also mounted in aircraft or earth orbiting satellites. Particularly this last option has induced extensive further research.

The development of the approach is well documented in Miller & Pearson (1971), Pearson & Miller (1972) and Tucker et al. (1975). The technique is based on the characteristic reflectance properties of green living plants. The plant pigments (mainly chlorophylls) absorb solar irradiance in the red band of the spectrum. The more green plant material present, the higher the amount of pigments and absorption and the less the reflected (measured) energy in this band. Reflection in the near infra-red section of the spectrum increases by increased amount of biomass. In this band absorption is low and multiple reflection causes a measurable increased reflection at higher amounts of green vegetation. For theoretical considerations and experimental evidence reference can be made to Knipling (1970), Woolley (1971), Sinclair (1973), Tucker et al. (1975) and Bunnik (1978). A literature review is given in Beck (1979).

Reflected light-energy values measured in the red (R: 0.650–0.700 µm) and near infra-red (IR: 0.775–0.825 µm) bands, used in combination, particularly as \( IR/R \) ratio, are therefore a promising measure for the amount of green vegetation.

A relatively simple, handy instrument developed on this principle is the so-called biometer (bio-mass meter), described in Pearson & Miller (1973) and Pearson et al. (1976). The potential of spectral mapping of biomass following this principle from aircraft was used by McNaughton (1976) in East Africa and an airborne multispectral scanner application was reported in Pearson & Miller (1972) and Pearson et al. (1976). The feasibility of band ratio biomass estimation from space platforms (Landsat) was demonstrated amongst others by Carnegie et al. (1975), Haas et al. (1975) and in Maxwell (1976). Recent research in this field also deals with separate estimation of live wet (green) biomass and dry (brown) plant material (Tucker 1977a, b, 1980).

A main shortcoming for extrapolation of the promising research results so far reported is the limited variation in types of vegetation in which the tests have been carried out. The work of Pearson, Miller and Tucker (see reference list) was done in a short prairie grass (Bouteloua gracilis) and other work also mostly relates to more or less open gramineous vegetation (Carnegie et al. 1975; Haas...
et al. 1975; McNaughton 1976; Maxwell 1976). Before general operational use of the technique can be recommended, tests should be made under a variety of conditions and in a wide range of floristically and physiognomically different vegetation types.

The present paper reports results of such work in The Netherlands. The data should be regarded a first step for an evaluation of the applicability of the technique for semi-natural vegetation in The Netherlands and similar conditions elsewhere, rather than a final judgment based on conclusive experiments. Further research is in progress.

2. MATERIALS, METHODS AND EQUIPMENT

For the spectral reflectance measurements, use was made of a sensitive, portable light meter (United Detector Technology, no. UDT-80X) consisting of a sensor part and a signal processor with digital reading. The sensor was mounted on a tripod for observations from a height of 55 cm. When the vegetation was higher, the sensor was placed well above the highest vegetation. The top angle of measurement was 42°, at 55 cm resulting in a measured surface area of 1400 cm². With two filters subsequently fixed to the sensor head, the readings in the red and near infra-red narrow wavelength bands with peaks at 0.675 µm and 0.800 µm, respectively, were produced (fig. 1). After the readings, the vegetation of a quadrat of 0.25 x 0.25 m² was clipped. The harvested vegetation was put in a nylon bag and after separation of green and brown (dead) material in the laboratory, fresh weight of the green material was determined. Dry weight of the samples was taken after 16 hours drying at 70°C. For each sample plot height and estimated cover (%) was recorded before clipping.

At three occasions repeated measurements were made between 9.00 and 17.00 hrs, a.o. under shady conditions, to record the influence of the changing angle of

Fig. 1 Transmission characteristics of the two filters.
incoming radiation and of the shade (fig. 2). In four different vegetation types reflection values before and after (artificial) wetting of the vegetation surface was determined (table 2). For an idea of the influence of the presence of (brightly coloured) flowers measurements in the vegetation before and after removal of
Table 1. Brief characterization of the eleven stands in which measurements were carried out. All dates refer to 1977.

1. Open Sphagnum – Anthoxanthum vegetation
   Closed cover of Sphagnum spp. with a very open herbaceous layer consisting amongst others of Carex spp. (mainly C. hudsonii), Juncus spp., Anthoxanthum odoratum, Drosera rotundifolia and some Phragmites australis and low, mown Salix spp. seedlings. Reference: Type 11 in BERGMANS (1975). Location: Stobberibben near Kalenberg.
   Dates of observation: 15/4 9/4 3/6 24/6 4/7
   Average height (cm): 10 20 40 50 100
   Maximum height (cm): 40 40 65 75 100
   Cover of mosslayer (%): 95 95 95 95 95
   Cover of herblayer (%): 5 10 20 20 20

2. Open Typha – Phragmites vegetation
   Well developed moss layer of mainly Scorpidium scorpioides and an open herbaceous layer with some Carex spp. (mainly C. diandra and C. hudsonii) and Juncus spp., particularly J. subnodulosus. Regularly scattered occurrence of Phragmites australis and Typha angustifolia. Reference: Type 4 in BERGMANS (1975). Location: Stobberibben near Kalenberg.
   Dates of observation: 15/4 9/5 3/6 24/6 4/7
   Average height (cm): 10 20 45 70 95
   Maximum height (cm): 40 40 65 70 95
   Cover of mosslayer (%): 75 70 70 70 70
   Cover of herblayer (%): 5 20 30 30 30

3. Dense Phragmites vegetation
   Dense stand of Phragmites australis with the moss Calliergonella cuspidata. Some Carex hudsonii, Lathyrus palustris and Cirsium palustre. Reference: Type 6 in BERGMANS (1975). Location: Stobberibben near Kalenberg.
   Dates of observation: 15/4 9/5 3/6 24/6 4/7
   Average height (cm): 15 40 85 130 150
   Maximum height (cm): 40 60 100 150 200
   Cover of herblayer (%): 40 70 80 80 80

4. Molinia vegetation
   Virtually monospecific stand of Molinia caerulea, no moss layer, dead Molinia material on the soil surface. Reference: Type 16 in BERGMANS (1975). Location: Stobberibben near Kalenberg.
   Dates of observation: 15/4 9/5 3/6 24/6 4/7
   Height (cm): 11 11 15 25 30
   Cover dead material (%): 95 95 60 5 5
   seen from above
   Cover live material (%): 0 0 40 95 95
   seen from above

5. Vegetation of high Carex spp.
   Homogeneous cover of mainly Carex acuta and C. aquatilis. Reference: described in detail in BOEDELTJE (1976). Location: De Kappersbult, Drentse A area.
   Dates of observation: 25/4 13/6 4/7
   Height (cm): 20 50 65
   Cover (%): 40 80 80

6. Dense vegetation of Holcus lanatus
   Dense (closed), virtually monospecific cover of this species. Reference: described in BOEDELTJE (1976). Location: Sandy areas in De Kappersbult, Drentse A area.
   Dates of observation: 2/5 16/5 13/6 1/7
   Height (cm): 10 20 50 70
   Cover (%): 90 90 90 95
7. Open vegetation of *Glyceria maxima*

A rather high vegetation of only this species. Growth development appeared to be not optimal during the season, indicated by brown leaftips and retarded growth compared to adjacent areas. Reference: Area 20 in Leemburg (1974). Location: Near Oudemolen, Drentse A area.

| Dates of observation: | 25/4 | 23/5 | 13/6 |
|-----------------------|------|------|------|
| Average height (cm):  | 10   | 20   | 55   |
| Maximum height (cm):  | 25   | 35   | 55   |
| Cover (%):            | 25   | 30   | 35   |

8. Vegetation of low *Carex* spp.

The stand consists of low *Carex* spp. (*C. nigra* and *C. panicea*), grasses (mainly *Anthoxanthum odoratum* and *Agrostis canina*) and low forbs such as *Lychnis flos-cuculi* and a moss layer of mainly *Rhytidiadelphus squarrosus*.

| Dates of observation: | 16/5 | 13/6 | 4/7 |
|-----------------------|------|------|-----|
| Average height (cm):  | 5    | 20   | 30  |
| Maximum height (cm):  | 20   | 40   | 50  |
| Cover (%):            | 80   | 90   | 95  |

9. Vegetation of a species-rich meadow

An annually mown meadow with *Carex acutiformis* as dominant and many other species, such as *Rumex acetosa*, *Plantago lanceolata*, *Lychnis flos-cuculi*, *Filipendula ulmaria* and *Anthoxanthum odoratum*. Reference: Area 50 in Grootjans (1976). Location: Near Gasterense Diep, Drentse A area.

| Dates of observation: | 25/4 | 16/5 | 13/6 | 4/7 |
|-----------------------|------|------|------|-----|
| Average height (cm):  | 10   | 15   | 45   | 55  |
| Maximum height (cm):  | 20   | 30   | 60   | 75  |
| Cover (%):            | 75   | 95   | 95   | 100 |

10. Mixed vegetation of tall forbs

A mixture of tall species such as *Urtica dioica*, *Anthriscus sylvestris*, *Filipendula ulmaria*, *Hera cleum sphyndylium*, *Glyceria maxima* and *Galium aparine*. Reference: Area 53 in Grootjans (1976). Location: Near Gasterense Diep, Drentse A area.

| Dates of observation: | 25/4 | 16/5 | 13/6 | 4/7 |
|-----------------------|------|------|------|-----|
| Average height (cm):  | 20   | 25   | 100  | 150 |
| Maximum height (cm):  | 30   | 120  | 150  | 200 |
| Cover (%):            | 70   | 75   | 95   | 95  |

11. Vegetation of low grasses and forbs

A cover of many species, including *Plantago lanceolata*, *Juncus acutiflorus*, *Anthoxanthum odoratum*, *Agrostis stolonifera* and *Orchis majalis*. Reference: Described in detail in Leemburg (1974). Location: De Burgvollen, Drentse A area.

| Dates of observation: | 2/5  | 23/5 | 17/6 | 4/7 |
|-----------------------|------|------|------|-----|
| Average height (cm):  | 6    | 17   | 60   | 70  |
| Maximum height (cm):  | 10   | 50   | 70   | 90  |
| Cover (%):            | 75   | 75   | 90   | 100 |

the flowers in four types were made (table 3). Between April and July 1977 a large number of sample data were collected in 11 different vegetation types at three to five different dates. The types are characterized in table 1. Nomenclature is after Heukels & Van Ooststroom (1977). Data from the spectral measurements and the clipping and weighing were plotted in scatter diagrams. With a programmable pocket calculator the simple correlation coefficient (r) was determined as an indicator to show how well linear equations would fit the data. In addition, a first order regression analysis was carried out \( Y = aX + b \) with the \( \frac{IR}{R} \) ratio as
Table 2. Influence of a wet versus dry vegetation surface on the reflectance ratio, as recorded in four stands of widely differing vegetation.

| Type of vegetation                          | Reflectance ratio | Before wetting | After wetting | Difference abs. | %   |
|---------------------------------------------|-------------------|----------------|---------------|-----------------|-----|
| Short grass (lawn)                          |                   | 15.5           | 16.3          | 0.8             | +5  |
| *Holcus lanatus* stand (35 cm)              |                   | 33.3           | 31.4          | 1.9             | -6  |
| *Urtica dioica* stand (50 cm)               |                   | 52.8           | 68.5          | 15.7            | -30 |
| *Heracleum sphondylium* rosettes            |                   | 44.6           | 48.0          | 3.4             | -8  |

independant variable and wet and dry aerial biomass as dependant variables (table 4).

3. RESULTS AND DISCUSSION

3.1. Sun angle and shade
The reflection coefficient for most types of vegetation changes with the angle of the sun. Minimum values are found when the sun approaches its zenith and higher values as the sun descends. In this last situation there is less multiple scattering in the canopy. This has an affect on the ratio values. Figure 2 shows the course of the values of the \( \frac{R}{R} \) ratio between 9.00 and 17.00 hrs for three sets of conditions. In fig. 2a the measurements of the very homogeneous smooth cover of a mown lawn of 10 cm hight and 95% cover show irregularity between 9.00 and 12.00 hrs, but a more consistent value from 12.00 to 16.00 hrs. In general, however, as can be seen from the figs. 2b and 2c the values change with the angle of incoming radiation.

EGBERT & ULABY (1972) showed that under a high sun angle a grass canopy acts as a reasonable Lambertian reflector. Under such conditions the observation angle (between the vertical – zenith – and the direction of observation) has relatively little influence on the measured reflection intensity. At low sun angles
the "diffuse" character of the reflection changes in a more or less "mirror" character (Suits 1972). Then the angle of azimuth (between observation direction and sun direction projected in a horizontal plane) becomes of great importance. At the zero value for this angle an observation position with a minimum of shade is possible. The importance of avoiding shade measurements is illustrated in fig 2c where the measured plot was shaded by tree leaves between 11.00 and 13.00 hrs, resulting in much higher ratio values. This is an addition-effect. The measured values not only represent the herbaceous canopy but to some extent (transmitted light) also the tree leaf canopy. At zero azimuth position vegetation structure (leaf positions etc.) determines the reflection readings under a certain observation angle. For a general application of the technique one should aim at an observation angle for which the variation caused by leaf positions is minimal. Warren-Wilson (1965) and Bunnik (1978) have investigated this point and an observation angle of 50-55° seems optimal (see also Beck 1979).

3.2. Wet versus dry vegetation surfaces
The influence on the reflectance ratio of leaf surfaces being wet can be seen from table 2. No major differences were found except for the Urtica dioica stand. The impression was that these differences could at least partly be explained by changes in leaf position under the weight of the water. It should be noted that the
in 3.1. argued optimal angle of observation of 50–55° was at the time of observation not yet applied. All reported measurements were made from above in a vertical position.

3.3. Presence of brightly coloured parts
Some measured ratio values before and after removal of flowers in four stands are presented in table 3. No accurate data on the cover of the coloured flowers have been collected, but the order of magnitude of the changes in the ratio values (48–125%) clearly shows the disturbing effect. The conclusion is the imperative removal of brightly coloured parts in this type of observations. It may be pointed out that only for small sample plots this is feasible. It was for instance done in all situations reported under 3.4. in this study. For operational large area (scanning) applications from aircraft or satellite this aspect may prove to be a main disturbing factor for certain vegetation types under particular phenological conditions.

3.4 Application in different types of vegetation
Scatter diagrams of the results of the measurements in six of the 11 vegetation types (or better “stands” representing certain types) are shown in fig. 3. The established correlation coefficients and a and b values for the linear regression equation (Aerial Biomass = a.Ratio + b) are given in table 4. The general positive, often high, correlation between the reflectance ratio and the aerial green biomass (fresh and dry) is thereby well illustrated. The unduly high values of the established correlation coefficients must mainly be attributed to the positions of the clustered values of the measurements at the different dates (fig. 3). Clear linear correlations within the clusters are non-existent to poor, with a few exceptions. The June-values of the stand of low grasses and forbs are such an exception. The picture for the eleven stands is briefly discussed below.

High correlations were found for the open Sphagnum-Anthoxanthum stand and the Typha-Phragmites stand. It should be noted that the moss layer was not included in the clipping. An equally green (bright green for the Sphagnum spp. and dark green for the Scorpidium cover) or water underground has apparently little disturbing effect, as long as it is included in the calibration. The harvested amounts of biomass were of the same magnitude. Reflection ratios for the second stand, however, were generally more than twice as high as for the first one. The picture for the third stand, a dense Phragmites australis cover, is not yet fully clear due to lacking data for a period of very fast growth between early May and early June. The correlation coefficient only expresses a general positive correlation between two main clusters, of April-May and June-July, respectively. In the Molinia caerulea stand the high positive correlation was extremely clear, for both dry and fresh weight of the vegetation material. The “cluster-effect” was here less pronounced. The species-rich meadow with Carex acutiformis on the contrary clearly exhibits this effect (fig. 3). The July data were not included in the regression equation and correlation calculations of table 4. They showed a completely different picture (see fig. 4 and under 3.5.). The same
Fig. 3. Scatter diagrams and regression lines showing the relation between dry green aerial biomass and the reflectance ratio for six different types of vegetation (see table I).
applies for the "low grasses and forbs" stand. The correlation for the *Glyceria maxima* stand is rather poor, due to the wide scattering (high variation) of the May and June values (fig. 3). A same picture can be seen for the structurally somewhat similar stand of high *Carex* spp. (fig. 3) and the "mixed tall forbs" stand. The stand of the low *Carex* spp. had a low biomass (less than 300 g/m² dry weight in July) but nevertheless a positive correlation was found between the clusters. In the dense stand of *Holcus lanatus* no correlation at all was found between the ratio and the aerial biomass. The soft hairy surface of this species, giving it a greyish appearance, apparently disturbs the picture that can be seen for the other species and species combinations.

3.5. Effect of ripening (drying)
For some of the measured stands a marked increase in non-green vegetation parts was seen between June and July (N.B. apart from the flowers which were always removed before measurement). Stems and leaves turned yellowish to light brownish. Separation of the green material was not possible in these cases and the reflection ratio decreased. For two stands, the "species-rich meadow" and the "low grasses and forbs" this effect even resulted in a negative correlation, possibly according to the principle: the older – the less green – the higher the aerial biomass amount – the lower the reflectance ratio. In fig. 4 the mean

![Fig. 4 Relation between the calculated mean reflectance ratio values and dry green aerial biomass values for each date of measurement, shown for four different types of vegetation (see table I).](image)

- *species-rich meadow*
- *high Carex spp*
- *low grasses and forbs*
- *low Carex spp*

Obs. in:
- April
- May
- June
- July
reflection ratio and biomass sample points per date are plotted for four of the measured stands. The figure illustrates the above reasoning. In a follow-up this effect requires careful study. It appears that in general the phenological stage for each type of vegetation should be given due consideration when applying the technique in the future. The introduction of a third band may be an answer to the problem (see Bunnik 1978 and the review in Beck 1979). The work of Tucker (1980) deals with this problem in clipped samples.

3.6 Sources of error
Apart from the considerations already mentioned some errors may have been introduced in the reported measurements, due to the equipment and technique used. Possibilities are: (i) Ideally the measurements in the two bands should have been made simultaneously and electronically processed to a ratio value, as in the biometer of Pearson & Miller (1973). The technique of changing filters and taking readings at a time interval may cause an error due to changing light conditions.

(ii) The digital reading of the instrument for which switching to different scales of sensitivity was required, proved a slight source of error.

(iii) Readings were taken between 10.00 and 16.00 hrs. This may cause variation due to the differences in sun angle (see 3.1.).

(iv) The observations were made vertically and not under the now recommended angle of 50–55°.

(v) An effect of partial cloudiness and therefore changing conditions, could not always be avoided.

(vi) In the biomass clippings of only 1/16 m² an edge-effect may have been introduced, especially in the higher vegetation types. This can be compared to the difficulties faced when establishing a rooted or shoot frequency.

(vii) In all cases the area for which the ratio was measured (at ground surface at least 1400 cm²) was considerably larger than the area clipped (only 625 cm²).

It may be noted that some of the above sources of error will probably be unavoidable in a future operational system.

4. CONCLUSIONS
1. The use of spectral reflectance measurements for aerial (green) biomass estimation seems feasible in a variety of structurally and floristically different vegetation types. The technique still requires an extensive testing and calibration in each type separately, whereby the phenology should be given careful consideration.
2. Measurements in the shade or of plots with large amounts of shade are of little value. This can be minimized by measurement at clear sky in the same direction as the incoming solar radiation.
3. The presence of brightly coloured parts (flowers, coloured leaves, etc.) can be a source of great errors. Whenever possible such parts should be excluded from the measurements.
4. Measurement at clear sky from above, or in general under an angle of observation of 50–55° gives least interference with vegetation structural differences (literature data, see Beck 1979).
5. Measurements should be taken as far as possible at about the same time of the day and under similar weather conditions.
6. With non-optimal equipment and vertical measurement the best linear positive correlation between the band ratio and the aerial biomass was found for vegetation with the following characteristics (i) many vertical elements and little horizontal overlap, (ii) cover less than 80%, (iii) not too high a vegetation, (iv) no non-green, coloured parts. This picture fits well to most of the vegetation types to which the technique was applied, so far reported in the literature (see Introduction).
7. With further sensor development, testing and calibration, a fast and useful technique for aerial biomass estimation seems at hand.

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