Genetic and physiological basis for antibody production by *Kluyveromyces marxianus*

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**Abstract**

*Kluyveromyces marxianus* is a thermotolerant, crabtree-negative yeast, which preferentially directs metabolism (e.g., from the tricarboxylic acid cycle) to aerobic alcoholic fermentation. Thus *K. marxianus* has great potential for engineering to produce various materials under aerobic cultivation conditions. In this study, we engineered *K. marxianus* to produce and secrete a single-chain antibody (scFv), a product that is highly valuable but has historically proven difficult to generate at large scale. scFv production was obtained with strains carrying either plasmid-borne or genomically integrated constructs using various combinations of promoters (P<sub>MDH1</sub> or P<sub>ACO1</sub>) and secretion signal peptides (KmINUss or Scα-MFss). As the wild-type *K. marxianus* secretes endogenous inulinase predominantly, the corresponding INU1 gene was disrupted using a Clustered Regularly Interspaced Short Palindromic Repeat (CRISPR)—associated protein (CRISPR–Cas9) system to re-direct resources to scFv production. Genomic integration was used to replace INU1 with sequences encoding a fusion of the INU1 signal peptide to scFv; the resulting construct yielded the highest scFv production among the strains tested. Optimization of growth conditions revealed that scFv production by this strain was enhanced by incubation at 30 °C in xylose medium containing 200 mM MgSO₄. These results together demonstrate that *K. marxianus* has the potential to serve as a host strain for antibody production.

**Keywords:** *Kluyveromyces marxianus*, Single-chain antibody (scFv), INU1, Inulinase, MgSO₄

**Introduction**

Production of biopharmaceuticals requires the difficult choice of a host cell capable of generating the desired product in an active and safe form, devoid of unwanted modification or contamination. Additionally, some biopharmaceuticals such as antibodies have proven difficult to express at high levels. Chinese hamster ovary (CHO) cells and yeasts are the major hosts that have been engineered to produce biopharmaceutical products, including antibodies (Maccani et al. 2014). As mammalian cells, CHO cells produce mammalian-derived proteins in an active form, bearing appropriate modifications such as glycosylation. However, the development of stable cell lines takes very long times (6–12 months), and the cost of cell culture is very high (Lai et al. 2013). Bacterial expression host such as *Escherichia coli* provides much cheaper option, while proteins that require eukaryotic post-translational modifications are not suitable (Swartz 2001; Jevševar et al. 2005). Yeast cells such as *Pichia pastoris* may provide much faster and cheaper ways of production (Çelik and Çalık 2012); while this yeast can be engineered to serve as a suitable host, highly complex proteins such as antibodies can be difficult to express efficiently in this system (Nielsen 2013). In the previous study, we have shown that *Kluyveromyces marxianus* grow faster than *Saccharomyces cerevisiae* at wider range of temperature (Nambu-Nishida et al. 2017). *K. marxianus* also does not show obligate ethanol production aerobically and thus is expected to be engineered to produce various substrates (Wagner and Alper 2016).
Due to difficulties in expression, secretion, and post-translational modification, antibodies intended for clinical use remain a challenge to produce in a cost-effective manner (Buckholz and Gleeson 1991; Huang et al. 2014). Single-chain Fv antibody (scFv) is one of the most useful forms of antibody, consisting of a single polypeptide in which the variable regions of the heavy (VH) and light (VL) chain domains are fused by a short, flexible linker; the resulting product has a molecular weight of approximately 30 kDa (Damasceno et al. 2004). Unlike large immunoglobulins (IgGs), scFv proteins have demonstrated rapid tumor penetration (Yokota et al. 1992). A prototypical scFv is the anti-chicken (anti-hen) egg white lysozyme antibody (HyHEL-10), which has been used for the precise analysis of antigen–antibody interactions (Tsumoto et al. 1997).

The non-conventional yeast Kluyveromyces marxianus can grow on various sugars (glucose, xylose, fructose, sucrose, inulin, etc.) (Fonseca et al. 2008; Lane and Morrissey 2010; Lertwattanasakul et al. 2011). K. marxianus is known to secrete proteins such as inulinase into the culture medium at high levels (Rouwenhorst et al. 1990; Hu et al. 2012). Engineering of K. marxianus for protein production has been reported for both endogenous and heterologous enzymes (Raimondi et al. 2013; Hong et al. 2007). However, there are to date (to our knowledge) no reports on secretory antibody production in K. marxianus.

The K. marxianus NBRC1777 strain recently has been shown to exhibit rapid growth and adaptability to a wide range of temperatures (from 5 to 45 °C). Additionally, comprehensive genome engineering tools recently have been introduced for use in this strain, including a Clustered Regularly Interspaced Short Palindromic Repeat (CRISPR)—associated protein (CRISPR–Cas9) system and deaminase-mediated base editing Target-AID (Nambu-Nishida et al. 2017). NBRC1777 is expected to be of use for various bio-production applications, including the secretion of high-value proteins.

In the present study, we introduced K. marxianus NBRC1777 as a novel host for scFv production. Several parameters were examined, including the type of secretion signal and growth conditions such as temperature, carbon source, and medium. Genetic backgrounds that affect protein production or secretion also were studied.

Materials and methods

Strains and culture conditions

The K. marxianus and S. cerevisiae strains used in this study are listed in Table 1. E. coli strain DH5α (Toyobo, Table 1 Plasmids and strains used in this study

| Plasmids and strains | Genotype | References |
|----------------------|----------|------------|
| E02-012              | KmP_MDH1_kmlINU1ss_scFv_TM, KmARS7, KmCEN D, kanMX, ori, and AmpR | This study |
| E02-014              | KmP_ACO1_kmlINU1ss_scFv_TM, KmARS7, KmCEN D, kanMX, ori, and AmpR | This study |
| E02-020              | KmP_MDH1_Scα-MFss_scFv_TM, KmARS7, KmCEN D, kanMX, ori, and AmpR | This study |
| E02-022              | KmP_ACO1_Scα-MFss_scFv_TM, KmARS7, KmCEN D, kanMX, ori, and AmpR | This study |
| Cas9_Base            | ScP_CAS9, Cas9_TM, KmARS7, KmCEN D, kanMX, ori, and AmpR | Nambu-Nishida et al. (2017) |
| E02-025              | KmP为目标_gRNA-1_gRNA_Tdup, cassette and KmP为目标_gRNA-3_gRNA_Tdup, cassette in Cas9_Base | This study |
| E02-026              | KmP为目标_gRNA-1_gRNA_Tdup, cassette and KmP为目标_gRNA-2_gRNA_Tdup, cassette in Cas9_Base | This study |

Strains

1 (NBRC1777) Wild-type (WT) NITE Biological Resource Center, Japan

Nej1* Nej1 disrupted by C to T point mutation at position 13 Nambu-Nishida et al. (2017)

Dnl14* Dnl4 disrupted by G to A point mutation at position 44 Nambu-Nishida et al. (2017)

2 (Km02-026) WT/E02-012 This study

3 (Km02-032) WT/E02-014 This study

4 (Km02-050) WT/E02-020 This study

5 (Km02-056) WT/E02-022 This study

Δinu1 (Km02-063) Nej1*Δinu1 This study

6 (Km02-064) Nej1*Δinu1_ScFv This study

7 (Km02-065) Dnl14*Δinu1_ScFv This study

8 (Km02-066) Nej1*Δinu1_E02-020 This study

BY4741 MATa his3Δ1 leu2Δ0 met15Δ0 ura3Δ0 ATCC (Brachmann et al. 1998)
Osaka, Japan) was used for vector construction and cloning. *E. coli* and yeast cells were grown as described previously (Nambu-Nishida et al. 2017). Genomic DNA from *S. cerevisiae* BY4741 was used as a template to amplify α-MF (Scα-MF) coding fragment.

**INU1 gene disruption**
The *inu1* gene-disrupted strain and homologous recombination strains were generated using the CRISPR–Cas9 system. The CRISPR–Cas9 vector plasmid (Cas9_Base) of *K. marxianus*, target sgRNA cassette construction, and methods were as described previously (Nambu-Nishida et al. 2017). The *inu1* deletion strain was generated by using a Cas9 plasmid (E02-026) containing gRNA-1 and gRNA-2 target sequences (Table 2 and Fig. 1a).

**Replacement of INU1 by integration of a scFv-encoding sequence**
HyHEL-10 scFv (scFv hereafter) amino acid sequence (Additional file 1: Figure S1) was codon optimized for expression in *K. marxianus*. The homologous recombination strain was generated by transforming the recipient strain by the lithium acetate method (Gietz et al. 1992), using 10 µg of Cas9 plasmid (E02-025) containing gRNA-1 and gRNA-3 target sequences (Table 2 and Fig. 1b) and 5 µg of the sequence-optimized fragment encoding scFv (Additional file 1: Figure S2). The

### Table 2 Target sequences used in this study

| Name     | Sequence (5'→3')                                      |
|----------|-------------------------------------------------------|
| gRNA-1   | TATAAAATGTCGCTGTGACC                                  |
| gRNA-2   | CAACGCTAACCGGATACCTG                                  |
| gRNA-3   | ATGGAGCAAGAGGAGGATAT                                  |

**Fig. 1** Design of *INU1* disruption and replacement. **a** A schematic of the *INU1* locus and its deletion is shown. Reverse complement sequences of target (red) and PAM (shadowed) are shown on the reference sequence. The lower part of the panel shows an alignment of the sequence from the deletion strain with the sequence of the wild-type locus. **b** A schematic of the *INU1* replacement by a fragment encoding scFv is shown. The mutated PAM sequence and its reverse complement sequence are highlighted in yellow. Mutated bases are indicated as small red letters. The scFv-encoding fragment also encodes the INU1 secretion signal peptide (blue box) and a 6-histidine tag (red box) in-frame with the expressed ORF.
transformed cells were plated on YPD containing the appropriate selection reagent (100 µg/mL G418).

Verification of genome-edited cells
Transformants generated using the CRISPR–Cas9 system were screened by colony PCR using the primer pair P_Km01-010+S_Km01-010-011 (Additional file 1: Table S1). DNA sequence of the resulting amplicon was confirmed by sequencing using a 3130xl Genetic Analyzer (Applied Biosystems, CA, USA). The transformant cells were grown without selection reagents to isolate a clone that dropped the Cas9 plasmid.

Construction of scFv expression plasmids
The constructed plasmids are listed in Table 1. Plasmid E02-014, which includes KmARS7, KmCEN-D, the scFv-encoding fragment, KmP_ACO1, and the kanMX selectable marker (which provides G418 resistance) is shown as an example (Additional file 1: Figure S3).

Constructs incorporated either the P_MDHI (KmP_MDHI) (Additional file 1: Figure S4) or P_ACO1 (KmP_ACO1) (Additional file 1: Figure S5) promoters from K. marxianus. Constructs also incorporated sequences encoding either the secretory signal sequence from inulinase (KmINUss) from K. marxianus (Bergkamp et al. 1993) or that from Sca-MFss from S. cerevisiae (Melorose et al. 1986). Fragments carrying the desired promoter fragment and encoding the desired signal sequence were inserted into the Nhel or SbfI/BamHI sites of the E02-014 plasmid using In-fusion cloning (Takara Bio, Shiga, Japan). The resulting scFv expression plasmids were transformed into K. marxianus NBRC1777 or the inu1 deletion strain by transformation and selection methods noted above.

SDS-PAGE and immunoblot analysis
To analyze protein production, soluble proteins in the spent culture medium were separated on a SDS-polyacrylamide 12.5% gel (ATTO, Tokyo, Japan) and stained with Bio-Safe Coomassie Stain (Bio-Rad, Hercules, CA). MagicMark™ XP Western Standard (Thermo Fisher Scientific Inc., MA, USA) was included as the molecular weight standard. For western blotting, proteins were transferred to a polyvinylidene fluoride (PVDF) membrane (EDM Millipore, Billerica, MA, USA) by electroblotting. The membrane then was blocked by incubation for 1 h at room temperature with Blocking One (Nacalai tesque, Kyoto, Japan), followed by washing with TBST (0.1 M Tris–HCl, 0.15 M NaCl, 0.05% Tween 20). The membrane then was incubated for 1 h with the primary antibody, rabbit anti-6-His Antibody Affinity Purified (Bethyl Laboratories, TX, USA) diluted 1:5000, followed by washing with TBST and incubation for 1 h with the secondary antibody, Peroxidase AffiniPure Goat Anti-Rabbit IgG (H+L) (Jackson ImmunoResearch Laboratories, PA, USA) diluted 1:10,000. Protein bands were detected by ImmunoStar Zeta (Wako, Osaka, Japan).

Enzyme-linked immunosorbent assay (ELISA)
Strains were cultured in YPD or YPX (10 g/L yeast extract, 20 g/L peptone, and 20 g/L xylose) supplemented with 100 mM sodium phosphate buffer, pH 6.0, and selective agent for plasmid-bearing strains in the absence or presence of 200 mM MgSO4 selective medium. Culturing was performed in 96-well deep-well plates at 20 or 30 °C with shaking at 1200 rpm.

For ELISA, a MaxiSorp plate (Thermo Fisher Scientific Inc.) was coated by distribution of 50 µL per well of lysozyme formulated at 1 µM in 1× phosphate-buffered saline [PBS (10× stock), Nacalai Tesque] followed by overnight incubation at 4 °C. The plate then was blocked at 25 °C for 1 h with the blocking solution (Immuno-Block, DS Pharma Biomedical, Japan) diluted 1:5 in water. The plate was washed three times with PBST (1× PBS supplemented with 0.1% Tween-20 and 2% blocking solution). Supernatants (spent medium) from cultures were diluted fivefold in PBST containing 2% Immuno-Block and distributed at 50 µL/well. All ELISAs included a blank consisting of 70 µL PBST containing 2% Immuno-Block. Following incubation at 25 °C for 1 h, the plate was washed as above, and antibody (Anti-His-tag mAb-HRP-DirectT, MLB, Nagoya, Japan), diluted 1:8000 in PBST, was distributed at 50 µL/well. The plate was incubated at 25 °C for 1 h and then washed with PBST as above. Color was developed using TMB 1-Component Microwell Peroxidase Substrate Sure Blue and TMB Stop Solution (KLP Inc, Milford, USA) according to manufacturer’s instructions. Activity and growth were then measured as absorbance at 450 nm (ABS450) and 600 nm (OD600), respectively using a SpectraMax Paradigm Multi-Mode Microplate Reader ( Molecular Devices Japan, Tokyo, Japan). Relative activity of scFv was obtained by subtracting the value of blank.

Accession numbers
The codon-optimized scFv-encoding sequence was submitted to the DDBJ/EMBL/GenBank databases under accession number LC369677. The genome sequence of K. marxianus NBRC1777 was in the DDBJ/EMBL/GenBank databases under accession number AP014599 to AP014607 (Inokuma et al. 2015).

Results
Disruption of INU1 gene by CRISPR–Cas9 system
Wild-type K. marxianus predominantly secretes inulinase (Rouwenhorst et al. 1988; Hu et al. 2012). To facilitate the purification of the heterologous protein and
re-direct cellular resources for protein production, we deleted the corresponding INU1 gene. A CRISPR–Cas9 vector for *K. marxianus* (Nambu-Nishida et al. 2017) expressing a pair of guide RNAs (gRNA-1 and gRNA-2) flanking the INU1 coding region was constructed and used to transform the parent strain; transformants were then screened for the *inu1* mutation (Fig. 1a). For integration of the scFv-encoding sequence at the INU1 locus, we employed strains deficient in the non-homologous end-joining (NHEJ) repair pathway (in this instance, harboring *nej1*° or *dnl4*° null mutations) (Nambu-Nishida et al. 2017) to facilitate homology-directed integration. Another vector expressing a pair of guide RNAs (gRNA-1 and gRNA-3) flanking the INU1 coding region (Fig. 1b) was designed and transformed in combination with an scFv-encoding fragment. The scFv-encoding fragment was flanked with arms (85 and 240 bp for the upstream and downstream sequences, respectively) with homology to the INU1 ORF. PAM sequences of the targets in the arms were mutated to prevent re-cutting after successful integration. Vector-carrying transformant cells were PCR-amplified and subjected to agarose gel electrophoresis (Fig. 2). Sequence analysis of the deletion transformant confirmed that the chromosomal INU1 locus harbored a 2565-bp deletion between the gRNA-2 and gRNA-1 targeting sites, yielding Δinu1 (Km02-063) strain (Fig. 1a). Sequence analysis of the transformants from the gene-replacement experiment confirmed that the INU1 ORF had been replaced by sequences encoding scFv; two of the resulting constructs were designated strains No. 6 (Km02-064) and No. 7 (Km02-065) (Figs. 1b and 3b). Note that these two strains include *nej1*° or *dnl4*°, respectively. Next, the strains were assessed for inulinase secretion.

SDS-PAGE analysis of spent culture medium recovered from the wild-type strain revealed a single major band at approximately 90 kDa (Fig. 3c), consistent with the expected size of inulinase (Hong et al. 2014). Notably, this band was absent in spent medium from cultures of the *inu1* constructs, as expected (Fig. 3c).

**Expression and secretion of scFv antibody**

For the expression of scFv, various expression cassettes containing combinations of promoters (P<sub>MDH1</sub>, P<sub>ACO1</sub>) and secretion signal (KmINUss, Scα-MFss) -sequences were introduced, either via plasmid or by genomic integration (Fig. 3a, b). Protein expression and secretion was assessed by immunoblotting of spent growth medium (Fig. 3d). Secretion of scFv was confirmed as the presence of an approximately 30 kDa protein (Damasceno et al. 2004) in the spent growth medium from each of the transformed strains tested (Fig. 3d). These results indicated that these promoters and secretion signals functioned in a modular fashion.

**Activity of scFv antibody and improved production by magnesium sulfate supplementation**

We next sought to identify growth conditions, including the use of various media, that would yield enhanced expression and secretion of the intact scFv protein. Cells were grown, with shaking in 96-well deep-well plates, at temperatures of 20 or 30 °C in YPD or YPX medium in the presence or absence of various supplements and subjected to ELISA to detect the presence of intact secreted scFv. In this context, ELISA measured the immunoreactivity of scFv. MgSO<sub>4</sub> was found to have substantial impact on the antibody production in *K. marxianus* (Fig. 4a, b). At 20 °C, all strains exhibited increased activity when grown in YPD plus MgSO<sub>4</sub> (Fig. 4a). At 30 °C, more than tenfold increased activity was observed for strains No. 6 (Km02-064) and No. 7 (Km02-065), both of which harbor constructs introduced by genomic integration, when grown in xylose medium containing MgSO<sub>4</sub> (Figs. 3b and 4b). Deletion of INU1 yielded about 4.4-fold increase...
in scFv activity in xylose medium containing MgSO₄, when comparing strain No. 4 (Km02-050) (harboring a plasmid-borne construct) and strain No. 8 (Km02-066) (INU1 disruptant harboring a plasmid-borne construction) (Figs. 3b and 4b). The scFv activity (ABS₄₅₀) per cell amount (OD₆₀₀) was calculated and shown in Additional file 1: Figure S6. At 30 °C, the strains No. 6 and No. 7 showed the highest scFv activity per cell amount in YPD plus MgSO₄ (Additional file 1: Figure S6b).

Discussion
In this study, we demonstrated that K. marxianus NBRC1777 can be engineered to express and secrete a single-chain antibody. We showed that secretion of scFv could be changed substantially by use of various genetic constructs and by modification of the growth conditions. The recently introduced CRISPR–Cas9 and Target-AID genome editing systems (Nambu-Nishida et al. 2017) permit genetic manipulation of organisms that...
had previously been underexploited because of a lack of genetic tools. In the present work, a sequence encoding scFv was integrated into the \textit{INU1} locus without an associated selection marker. This construct allowed robust expression of the integrated gene without a need for continued use of selection reagents. The strains (Nos. 6 and 7) carrying the integrated construct showed dramatic increases in scFv immunoreactivity compared to strains expressing scFv via plasmid-borne constructs when grown in YPX plus MgSO$_4$ at 30 °C (Fig. 4b). This is attributed to either increased expression and secretion or improved quality of the antibody, or both. The higher productivity of scFv in the strains (Nos. 6 and 7) is attributed to the productivity per cell rather than cell growth. Further work will be needed to determine whether increased expression requires genomic integration in general or at the \textit{INU1} locus specifically. It is formally possible that episomal plasmids are not well retained during outgrowth, especially if protein expression creates stress for the host cell.

In our hands, YPX medium induced increased expression from the \textit{INU1} promoter but not from the other tested promoters (Fig. 4a, b). As the \textit{INU1} product inulinase metabolizes inuline to fructose (Rouwenhorst et al. 1988), \textit{INU1} is downregulated in the presence of glucose, the preferred sugar (Jain et al. 2012). Moreover, \textit{INU1} gene expression is known to be up-regulated when fructose replaces glucose as a sugar source (Schabort et al. 2016), and the \textit{INU1} promoter has consensus binding sequences for MIG1, a known repressor of transcription in the presence of glucose (Bergkamp et al. 1993). As inulinase is the predominant protein secreted by \textit{K. marxianus} (Rouwenhorst et al. 1990; Hu et al. 2012), deletion of the encoding locus is expected to permit re-direction of resources for expression and secretion of heterologous proteins. The present work showed that deletion of \textit{INU1} had a positive but limited impact on scFv production in strain No. 8 (Km02-066). This limited effect may have reflected the use of the KmP$_{MDH1}$ promoter and the Sco-MFss signal peptide. In \textit{Kluyveromyces lactis}, the

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\textbf{Fig. 4} Immunoreactivity of secreted scFv analyzed by ELISA. Strains were cultured in YPD or YPX in the absence or presence of MgSO$_4$ at 20 °C (a) or 30 °C (b). Spent culture medium was harvested at 72 h and subjected to ELISA using lysozyme as an antigen for scFv. Values are presented as mean ± SEM from three independent experiments.
Trichoderma reesei CBH1 secretion signal was more efficient than that of the native α-mating factor for directing the secretion of a reporter, enhanced green fluorescent protein (EGFP) (Madhavan and Sukumaran 2014). Use of the endogenous INU1 signal peptide in K. marxianus may provide more efficient production by directing the heterologous protein into the secretion pathway typically used by inulinase.

In K. marxianus, lysine aminopeptidase activity is higher at 30 °C than at 20 °C (Ramírez-Zavala et al. 2004). It would be valuable to assess the in vivo role of various processing enzymes, for instance by suppressing the activity of endogenous proteases by using either protease inhibitors or genetic manipulations. In this study, however production of scFv increased as temperature elevated, suggesting that the proteases did not seriously affect scFv production in the conditions tested.

A positive effect of MgSO₄ was observed (to some extent) in all strains and conditions, indicating that MgSO₄ generally facilitates the production/secretion of intact scFv in K marxianus (Fig. 4a, b). Considering the concentration of MgSO₄ in the defined media that typically ranges up to 10 or so, 200 mM of MgSO₄ apparently exceeded the nutritional demands of the cell. The addition of divalent metal ions, including Mg²⁺, has been reported to enhance bacterial cell growth and enzyme production (Venkateswarulu et al. 2017; Shabahzomammadi and Omidinia 2017). The effect of divalent metal ions may result from changes to membrane permeability (Venkateswarulu et al. 2017). In the present study, we observed drastic increases in antibody secretion in the presence of 200 mM MgSO₄, a concentration that is ten times higher than that tested in bacteria. While fungal protein secretion pathways differ from those of bacteria, high concentrations of MgSO₄ may also affect membrane organization in eukaryotes, facilitating protein secretion and/or stimulating expression of genes that contribute to enhanced protein production and secretion. The effect of MgSO₄ significantly differed dependent on strain background, suggesting that it is implicated in the specific cellular processes. Most prominent effect was observed in the genomic integration strain in which scFv replaced INU1 coding sequence and was expressed under INU1 promoter with INU1 signal peptide, implying that MgSO₄ has great impact on inulinase secretion pathway. However, MgSO₄ is also likely to be involved in a wide range of fungal cellular and biochemical processes, the exact mechanism of this MgSO₄-mediated enhancement of scFv production remains unclear. Nonetheless, our study demonstrated that there is potential for further enhancing fungal protein production by both genetic and physiological manipulations.
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