Research Article

Microstructure and mechanical properties of Zr$_3$Al$_3$C$_5$-based ceramics synthesized by Al–Si melt infiltration

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Abstract: In this work, bulk Zr$_3$Al$_3$C$_5$-based ceramics were synthesized by the infiltration of Al–Si melt into zirconium carbide (ZrC) perform. The phase composition, microstructure, and mechanical properties of as-fabricated ceramics were studied. The results demonstrate that Si is more effective to reduce the twin boundary energy of ZrC than Al, and thus promotes the decrease of formation temperature of Zr$_3$Al$_3$C$_5$. With the infiltration temperatures increasing from 1200 to 1500 °C, the Zr$_3$Al$_3$C$_5$ content increases from 10 to 49 vol%, which is contributed to the increase of flexural strength from 62±9 to 222±10 MPa, and fracture toughness ($K_{IC}$) from 2.8±0.2 to 4.1±0.3 MPa·m$^{1/2}$. The decrease of mechanical properties for the samples fabricated at 1600 °C is ascribed to the abnormal growth of Zr$_3$Al$_3$C$_5$ grains.

Keywords: Zr$_3$Al$_3$C$_5$; ultra-high-temperature ceramics (UHTCs); twin; carbide; Al–Si alloy

1 Introduction

Ultra-high-temperature ceramics (UHTCs), a group of advanced structural ceramics, exhibit indispensable advantages in ultra-high-temperature service environment for the thermal protection system of hypersonic vehicles [1,2]. The strong covalent bonding makes them have high hardness, high melting point, high strength and stiffness, and chemical inertness, simultaneously.

However, the intrinsic brittleness and poor oxidation resistance of UHTCs limit their further application.

In order to combat with the above drawbacks, a series of new ternary transition metal carbides with a general formula of (TC)$_n$Al$_3$C$_2$ and (TC)$_n$[Al(Si)]$_4$C$_3$ (where T = Zr or Hf, n = 1, 2, 3, …) were developed [3]. Based on the periodic stacking of TC and Al$_3$C$_2$/[Al(Si)]$_4$C$_3$ in the lattice, these ternary carbides have laminated structure and the elongated grain with large aspect ratio, leading to better damage tolerance, toughness, and thermal shock resistance than corresponding binary carbides [4–7]. In the oxidation process of these ternary carbides, the protective oxidation scales, like Al$_2$O$_3$ or mullite (3Al$_2$O$_3$·2SiO$_2$),

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can be formed to inhibit the inward-diffusion of oxygen effectively, resulting in better oxidation resistance than binary carbides [8,9]. Therefore, these ternary carbides are treated as novel promising high-temperature structural materials.

\( \text{Zr}_3\text{Al}_3\text{C}_5 \), as one of the representative \((\text{TC})_n\text{Al}_3\text{C}_2\) phases, can be treated as \(\text{ZrC} \) slabs separated and mirrored by \(\text{Al}_3\text{C}_2\) units, and the atomic-scale microstructures, mechanical, and thermophysical properties of bulk \(\text{Zr}_3\text{Al}_3\text{C}_5\) ceramics have been investigated [4–6]. Several methods have been employed for the synthesis of \(\text{Zr}_3\text{Al}_3\text{C}_5\), including solid state reaction [8], arc melting [10], and reactive hot processing [5]. Compared with the above mentioned methods, reactive melt infiltration (RMI) is one of the most effective ways to fabricate bulk ceramics with complex shape [11,12]. However, it is rarely seen the report on the synthesis of bulk \(\text{Zr}_3\text{Al}_3\text{C}_5\)-based ceramics by RMI.

In the previous works, the \(\text{Al}, \text{Si}, \text{and Al–Si} \) melts have been employed to prepare \(\text{Ti}_3\text{AlC}_2, \text{Ti}_3\text{SiC}_2, \text{and Ti}_3\text{Si(Al)}\text{C}_2\)-based ceramics, respectively [13–16]. Since \(\text{Zr}_3\text{Al}_3\text{C}_5\) has a similar structure with these ternary phases, it is possible to synthesize \(\text{Zr}_3\text{Al}_3\text{C}_5\)-based ceramics by RMI. Moreover, it is interesting to note that \(\text{Si}\) is effective in reducing \(\text{ZrC}\) for the synthesis of bulk \(\text{Zr}_3\text{Al}_3\text{C}_5\) [5], but the promotion mechanism is still not clear. It is essential to carry out the related work to further study the formation mechanism of \(\text{Zr}_3\text{Al}_3\text{C}_5\).

In this work, \(\text{ZrC}\) preforms were firstly prepared by cold-pressing and pre-sintering, and then \(\text{Al–Si} \) melt infiltration was carried out to obtain \(\text{Zr}_3\text{Al}_3\text{C}_5\)-based ceramics. The role of \(\text{Si}\) in the formation of \(\text{Zr}_3\text{Al}_3\text{C}_5\) was revealed, and the microstructure and mechanical properties of bulk ceramics fabricated at different infiltration temperatures were discussed.

## 2 Experimental

### 2.1 Material preparation

\(\text{ZrC}\) powder (Huawei Ruike Co., Ltd., China) with an average particle size of \(1–2 \mu m\) was employed. First, the slurry formed by mixing powder into distilled water was ball-milled for 12 h, and then freeze-dried. Second, the powder was cold-pressed into a preform with dimensions of \(68 \text{ mm} \times 15 \text{ mm} \times 4 \text{ mm}\). Third, the preforms were heat-treated to \(1500 \degree \text{C}\) in flowing argon for 1 h. After pre-sintering, the preforms would have a considerable mechanical strength, which can effectively reduce the risk of damage in the transportation process. Finally, the infiltration of \(\text{Al–Si} \) alloy (70 Al–30 wt% Si, Huawei Ruike Co., Ltd., China) into \(\text{ZrC}\) preform was carried out under the temperatures from 1200 to 1600 °C for 2 h in flowing argon. After cooling down, the surface \(\text{Al–Si} \) alloy was removed, and then the specimens were wire-electrode cut into the desired dimensions. The infiltration temperatures of \(\text{Al–Si} \) melt was chosen as 1200, 1300, 1400, 1500, and 1600 °C, and the corresponding samples were named as samples \(\text{Z1200, Z1300, Z1400, Z1500, and Z1600}\), respectively.

### 2.2 Characterization

Density and open porosity of as-prepared samples were collected according to Archimedes method. The changes of volume were calculated based on the dimensions before and after \(\text{Al–Si} \) melt infiltration. A transmission electron microscope (TEM, G-20, FEI-Tecnai, Hillsboro, USA) and a scanning electron microscope (SEM, S-2700, Hitachi, Tokyo, Japan) were employed to characterize the microstructure and element compositions of as-fabricated samples. X-ray diffraction (XRD, Rigaku D/max-2400, Tokyo, Japan) was employed to characterize the phase composition.

The samples with dimensions of \(40 \text{ mm} \times 4 \text{ mm} \times 3 \text{ mm}\) and \(40 \text{ mm} \times 6 \text{ mm} \times 3 \text{ mm}\) were employed for the measurement of strength and toughness. At least five samples were tested for each assembly. The flexural strength was tested via the three-point bending method, and the loading rate and the loading span were set as 0.5 mm/min and 30 mm, respectively. The fracture toughness \(K_{IC}\) was tested via the single edge notched beam (SENB) method. A straight-through notch with a relative length, \(c/w = 0.5\) (\(c\)-notch length, \(w\)-specimen width), was introduced at the center of the specimen by a diamond blade of 0.2 mm thickness. The loading speed and the support span were set as 0.05 mm/min and 30 mm, respectively, and the \(K_{IC}\) was calculated by the following equation:

\[
K_{IC} = \frac{p}{B} \frac{S}{w^{3/2}} f\left(\frac{c}{w}\right) \tag{1}
\]

\[
f\left(\frac{c}{w}\right) = 2.9 \left(\frac{c}{w}\right)^{1/2} - 4.6 \left(\frac{c}{w}\right)^{3/2} + 21.8 \left(\frac{c}{w}\right)^{5/2} - 37.6 \left(\frac{c}{w}\right)^{7/2} + 38.7 \left(\frac{c}{w}\right)^{9/2} \tag{2}
\]

where \(p\) is the maximum load, \(B\) is the width of
rectangular bar-shaped samples, and \( S \) is the support span.

## 3 Results and discussion

### 3.1 Effect of Si on the formation of Zr\(_3\)Al\(_3\)C\(_5\)

As shown in Fig. 1, only ZrC exists in the preform, and no ZrO\(_2\) can be detected, indicating that ZrC was not oxidized in the pre-sintering process. The infiltration of Al melt into ZrC preform was conducted from 1400 to 1600 \( ^\circ\)C. The resultant is mainly composed of ZrC and few ZrAl\(_3\) after reaction under 1400 \( ^\circ\)C, and Zr\(_3\)Al\(_3\)C\(_5\) started to precipitate with the infiltration temperature increasing to 1500 \( ^\circ\)C. For the sample fabricated at 1600 \( ^\circ\)C, a large amount of Zr\(_3\)Al\(_3\)C\(_5\) and minor Zr\(_2\)Al\(_3\)C\(_4\) can be formed. It can be deduced that ZrC preferred to react with Al to form ZrAl\(_3\), and then formed the ternary carbide at a higher temperature.

Figure 2 presents the XRD patterns of the samples fabricated by Al–Si melt infiltration. It can be found that ZrC reacted with Al to form ZrAl\(_3\) and Zr\(_3\)Al\(_3\)C\(_5\), and ZrC reacted with Si to form ZrSi\(_2\) and ZrSi. Minor Zr\(_2\)Al\(_3\)C\(_4\) can be found in samples Z1200 and Z1300. When the temperature increased to 1400 \( ^\circ\)C, the diffraction peak of 6.397° becomes clear, indicating the large-scale generation of Zr\(_3\)Al\(_3\)C\(_5\). No Zr\(_2\)Al\(_3\)C\(_4\) can be found any more, and only minor Zr\(_2\)[Al(Si)]\(_4\)C\(_5\) can be detected. With the temperature increasing to above 1500 \( ^\circ\)C, Zr\(_3\)[Al(Si)]\(_4\)C\(_6\) appears in the final product. It is interesting to note that other ternary carbides always co-exist with the formation of Zr\(_3\)Al\(_3\)C\(_5\).

Zr\(_3\)Al\(_3\)C\(_5\) can be formed at 1200 \( ^\circ\)C for Al–Si melt infiltration, whereas it requires a higher temperature for the precipitation of Zr\(_3\)Al\(_3\)C\(_5\) for Al melt infiltration. The difference is the incorporation of Si in the alloy, and thus it can be deduced that the decrease of formation temperature is ascribed to the introduction of Si in the melt.

The Zr\(_3\)Al\(_3\)C\(_5\) with elongated shape can be clearly seen in the bright-field TEM image (Fig. 3(a)), and the typical super-lattice spots in the selected area electron diffraction (SAED) patterns (the inset in Fig. 3(a)) further confirm the periodic structure of Zr\(_3\)Al\(_3\)C\(_5\). As shown in Fig. 3(b), ZrC twins can also be found in sample Z1200, which were confirmed by the SAED patterns (Fig. 3(c)). High-resolution TEM was employed to observe the atomic-scale microstructure. Two areas can be clearly found in Fig. 3(d). The area I is the twin zone, and a second phase precipitated in area II. According to the enlarge images of area I (Fig. 3(e)), it can be confirmed that this domain is the typical ZrC twins, in which the two areas along the twin boundary are ideally mirror-symmetric. The observation direction is along [110] axis, revealing the characteristics of \{111\}_\pi twins. As seen in the enlarged high-resolution TEM image (Fig. 3(f)), obvious lattice distortion including six atomic layers with the thickness of about 1.74 nm has been observed, which is thicker than six Zr atomic layers (1.68 nm). This phenomenon means that impurity atoms diffused into ZrC lattice along the twin boundary, corresponding to the precipitation of Zr\(_3\)Al\(_3\)C\(_5\) grain in area II.

The formation of ternary carbides is related to the formation of binary carbide twins, and TiC twins are essential to the formation of Ti\(_3\)SiC\(_2\) [17]. Ti\(_3\)SiC\(_2\) can be formed at a lower infiltration temperature with the replacement of Si melt by Al–Si melt infiltration, in which the incorporation of Al could reduce the twin
boundary energy (TBE) of TiC, inducing the precipitation of TiC twins and the formation of Ti$_3$SiC$_2$ at lower temperatures [18,19].

In the Zr–Al–C ternary system, partial carbide twin structure could be observed. ZrC has the similar structure with TiC, from which it can be deduced that silicon can also play the catalytic role in facilitating the formation of ZrC twins, finally leading to the formation of ternary carbide. Compared with Al melt infiltration, the formation temperature of Zr$_3$Al$_3$C$_5$ is reduced for Al–Si melt infiltration, revealing that Si can more effectively reduce the TBE of ZrC twins than Al.

### 3.2 Microstructure and mechanical properties

Table 1 presents the density, open porosity, and volume shrinkage of the different samples. For the initial ZrC preform, the density and open porosity are 3.40 g/cm$^3$ and 49 vol%, respectively. With the increasing of infiltration temperatures from 1200 to 1500 °C, almost full dense ceramics with slight shrinkage (lower than 5 vol%) are obtained, and the density increases slightly from 4.34 to 4.58 g/cm$^3$, indicating that bulk Zr$_3$Al$_3$C$_5$-based ceramics can be near-net-shape fabricated by the present process. However, when reaction temperature reached 1600 °C, a large volume shrinkage of 16 vol% occurred.

| Sample     | Open porosity (vol%) | Density (g/cm$^3$) | Volume shrinkage (vol%) |
|------------|----------------------|--------------------|-------------------------|
| ZrC preform| 49                   | 3.40               | —                       |
| Z1200      | 1                    | 4.34               | 3                       |
| Z1300      | 1                    | 4.38               | 3                       |
| Z1400      | 2                    | 4.56               | 4                       |
| Z1500      | 2                    | 4.58               | 5                       |
| Z1600      | 5                    | 4.23               | 16                      |

RMI is a reaction-diffusion competition process. As shown in Fig. 4, in Al–Si melt infiltration process, Al and Si have the different consumption and diffusion speeds, leading to the formation of Si- and Al-rich areas according to the distribution of Si and Al. The white one is the Si-rich area, which is mainly consisted of ZrSi$_2$ and ZrSi, resulting from the reaction of ZrC and Si; the other one is the Al-rich area, which is mainly consisted of ZrAl$_2$, Zr$_3$Al$_3$C$_5$, and Al, resulting from the reaction of ZrC and Al. Zr$_3$Al$_3$C$_5$ with elongated shape can be clearly found in the Al-rich area. In this area, due to the consummation of Al by the reaction, the Al atom in Al–Si alloy melt preferred to infiltrate into this area due to the concentration difference. As the infiltration temperature increases,
more Zr$_3$Al$_3$C$_5$ can be formed. Therefore, the Al melt preferred to infiltrate inward than the Si melt, and the more Al-rich area can be obtained.

Figure 5 presents the high-magnification back scatter electron (BSE) images to show the phase distribution of the different samples. As shown in Fig. 5(a), a large amount of Al remains in the Al-rich area for sample Z1200, and the Zr$_3$Al$_3$C$_5$ with elongated shape can be clearly found. Figures 5(b) and 5(c) present the BSE images of Al-rich area and the intersection between Al- and Si-rich area for sample Z1400. As shown in Fig. 5(b), the dark phase and the elongated phase represent Al and Zr$_3$Al$_3$C$_5$, and the gray phase filling the gap between the laminated grains represents ZrAl$_2$. These three phases were formed by the reaction of ZrC and Al. As shown in Fig. 5(c), for the Si-rich area, the brightest phase represents ZrSi, and the surrounding gray bright phase represents ZrSi$_2$. Figure 5(d) presents the BSE image of sample Z1600. No ZrAl$_2$ can be found in the Al-rich area, indicating that ZrAl$_2$ is the intermediate

![Fig. 4](image1.png)  
**Fig. 4** BSE images of polished morphologies of the samples: (a) Z1200, (b) Z1300, (c) Z1400, (d) Z1500, and (e) Z1600.  

![Fig. 5](image2.png)  
**Fig. 5** High-magnification BSE images of polish-surface of the samples: (a) Z1200, (b, c) Z1400, and (d) Z1600.
phase for the formation of Zr$_3$Al$_3$C$_5$. By the measurement of phase volume in BSE images, it can be estimated that Zr$_3$Al$_3$C$_5$ contents in samples Z1200, Z1300, Z1400, Z1500, and Z1600 are 10, 15, 36, 45, and 58 vol%, respectively, indicating that the higher infiltration temperature is in favor of the formation of more Zr$_3$Al$_3$C$_5$.

According to the phase distribution in BSE images, the grain size of Zr$_3$Al$_3$C$_5$ can be measured statistically. As shown in Fig. 6, the average length and the thickness of Zr$_3$Al$_3$C$_5$ grains are 22.0 and 1.1 µm for sample Z1200, 20.8 and 1.3 µm for sample Z1300, 10.0 and 1.4 µm for sample Z1400, 12.1 and 2.0 µm for sample Z1500, and 58.3 and 15.4 µm for sample Z1600, respectively. For the growth of grains along the length direction, a higher temperature means a higher growth rate and more nucleation points. The latter one may inhibit the growth of elongated grains due to the limit of growth space. It is complicated for the grain growth along the length direction. The growth along the thickness direction is simple, whereas the infiltration temperature is the main factor. As infiltration temperature increases from 1200 to 1500 °C, the thickness increases slightly, but the significant increase can be found for sample Z1600, revealing the abnormal growth of Zr$_3$Al$_3$C$_5$ grains at 1600 °C.

In the RMI process, as the reaction proceeds, the inside melt is consumed and the outside melt can be infiltrated continuously. Usually, the reaction and infiltration speeds would increase with the temperature increasing. In this work, with the infiltration temperature increasing from 1200 to 1500 °C, only little volume shrinkage occurred (Table 1), revealing the similar reaction and infiltration speeds. The abnormal grain growth of Zr$_3$Al$_3$C$_5$ occurred at 1600 °C, revealing that the reaction speed increased abruptly at this temperature. The infiltration speed just increased as normal, so it can be deduced that the reaction speed would be much faster than infiltration speed at 1600 °C, and not enough melt continued to be introduced as reaction proceeds, leading to a large volume shrinkage of sample Z1600.

As shown in Fig. 7, for sample Z1200, the flexural strength of sample Z1200 is 62±9 MPa, and the $K_{IC}$ is 2.8±0.2 MPa·m$^{1/2}$. As the infiltration temperature increases, both the flexural strength and $K_{IC}$ increase, and those of sample Z1500 reach 222±10 MPa and 4.1±0.3 MPa·m$^{1/2}$, respectively. For sample Z1600, the flexural strength and $K_{IC}$ decrease slightly.

As shown in Fig. 8(a), some cracks perpendicular to the loading direction can be clearly found in the fracture surface. For conventional brittle ceramics, the fracture surface is very smooth, and only the main crack exists without any minor cracks. In Zr$_3$Al$_3$C$_5$-based
samples, the appearance of minor cracks indicates the multiple deflection in the crack propagation process. As shown in Fig. 8(b), the Zr₃Al₃C₅ with elongated shape can be clearly seen, and it can be found the area around Zr₃Al₃C₅ grain is very rough, resulting from the crack deflection around Zr₃Al₃C₅ grain. Usually, the inter-granular and trans-granular grain fracture occurred in ZrC grains, leading to the brittle fracture and very smooth fracture surface. As reported, the $K_{IC}$ of bulk Zr₃Al₃C₅ (4.68 MPa·m¹/₂) is much higher than that of bulk ZrC (1.65 MPa·m¹/₂), which can be ascribed to the elongated grain with large aspect ratio [5]. It is like the role of short fibers and $\beta$-Si₃N₄, in which the elongated grains provide a path to consume a lot of energy in the fracture process, leading to a high $K_{IC}$ [20]. So, it can be deduced that the higher Zr₃Al₃C₅ content results in the higher strength and toughness of Zr₃Al₃C₅-based ceramics.

For sample Z1600, the abnormal growth of Zr₃Al₃C₅ grain led to the high porosity and the large shrinkage after infiltration, and thus the strength and toughness decrease compared with those of sample Z1500. The growth mechanism of abnormal Zr₃Al₃C₅ grain will be further studied in the future work.

4 Conclusions

Zr₃Al₃C₅-based ceramics were synthesized by Al–Si melt infiltration at the temperatures ranging from 1200 to 1600 °C. Zr₃Al₃C₅ can be formed at 1200 °C for Al–Si melt infiltration, whereas it needs a higher temperature for Al melt infiltration, which demonstrates that Si plays a more effective role in reducing the TBE of ZrC than Al. The higher infiltration temperature can promote the increase of Zr₃Al₃C₅ fraction, which is crucial to the improvements in mechanical properties. The bulk Zr₃Al₃C₅-based ceramics fabricated at 1500 °C have the maximum flexural strength (222±10 MPa) and $K_{IC}$ (4.1±0.3 MPa·m¹/₂). With the infiltration temperature increased to 1600 °C, the abnormal growth of Zr₃Al₃C₅ grain occurred, leading to the large volume shrinkage and the decrease of mechanical properties.

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