Correlated rigidity percolation in fractal lattices

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Rigidity percolation (RP) is the emergence of mechanical stability in networks. Motivated by the experimentally observed fractal nature of materials like colloidal gels and disordered fiber networks, we study RP in a fractal network. Specifically, we calculate the critical packing fractions of site-diluted lattices of Sierpiński gaskets (SG’s) with varying degrees of fractal iteration. Our results suggest that although the correlation length exponent and fractal dimension of the RP of these lattices are identical to that of the regular triangular lattice, the critical volume fraction is dramatically lower due to the fractal nature of the network. Furthermore, we develop a simplified model for an SG lattice based on the fragility analysis of a single SG. This simplified model provides an upper bound for the critical packing fractions of the full fractal lattice, and this upper bound is strictly obeyed by the disorder averaged RP threshold of the fractal lattices. Our results characterize rigidity in ultra-low-density fractal networks.

I. INTRODUCTION

Soft disordered solids are ubiquitous; they exist in many forms such as colloidal gels, fiber networks, colloidal glasses, emulsions, aerogels, polymer melts, and foams. These classes of materials make up biological tissues, food products, cosmetic products, and materials like paper and nonwoven fabric. Some of these soft materials need only a very low density of solid particles to become rigid. In particular, colloidal gels can exhibit nonzero shear rigidity at a wide range of volume fractions $\phi$ [1–8], which can be below 1% in the case of blood clots [6].

Classical RP transitions are associated with much higher values of critical volume fractions $\phi_c$ for a material to be rigid [9–13], so how can these ultra-low-density materials exhibit rigidity? Previous work suggested that the answer to this question lies in how the particles are spatially correlated to each other—the Warren truss, for example, transmits stress very efficiently and can achieve rigidity at $\phi_c = 0$ when viewed as a two or three dimensional structure [13]. While colloids will not spontaneously form in Warren trusses (as that involves an unrealistic amount of correlation), moderate correlation strength is still successful in lowering $\phi_c$. While the type of correlation used in [13] was not enough for describing rigidity in ultra-low-density solids, it suggested that there may be another sort of spatial correlation that is both physically realistic and allows the system to achieve an arbitrarily low value of $\phi_c$. We conjecture that a recursive correlation (which generates a fractal network) would be a promising candidate for describing rigidity at ultra-low-densities because (i) fractals are low density while still being connected, and they can be rigid, and (ii) experimental evidence suggests that low density disordered solids (coagulated blood, for example) can indeed be fractal as a result of the non-equilibrium process in which the material is assembled [11–13, 14–18].

In this paper, we show that a model fractal network, the Sierpiński gasket lattice (SGL), does indeed achieve rigidity at arbitrarily low volume fractions. This result is supported analytically by simple calculation on the undiluted SGL and numerically on the randomly diluted SGL by using the pebble game algorithm. We also calculate the correlation length and fractal dimension critical exponents for RP in this lattice and find that the universality class of the rigidity phase transition in the lattice is the same as that for the regular triangular lattice. We further propose a simple non-fractal model, the RP of which yields a strict upper bound to the disorder-averaged critical volume fraction of the SGL.

II. MODEL

We use a lattice that achieves an arbitrarily low volume fraction while still exhibiting rigidity at full site occupancy. Motivated by the experimentally observed fractal structure of fiber networks and colloidal gels [6, 15, 19], we consider a lattice of Sierpiński gaskets (SG’s), as shown in Fig. 1. Vibrational modes and spin phase transitions have been studied on this lattice [20–26]. This is a rich lattice to study since there are three length scales: (i) the size of the smallest triangle in an SG which we always set as 1, (ii) the length of the edge of an SG $2^n$, and (iii) the length of the lattice $L = s2^n$. $s$ is the number of SG’s on one side of the lattice, and $n$ is the number of times the SG pattern repeats on itself, what we call the fractal iteration number. We emphasize that $L$ is measured in units of the smallest triangle of an SG since the length of the smallest triangle is always 1, independent of $n$. Also note that $n = 0$ corresponds to a regular triangular lattice.

The volume fraction of the SGL, at full site occupancy, is

$$\phi_{\text{SGL undiluted}} = \frac{\pi}{4\sqrt{3}} \frac{3^{n+1} - 1}{2^{2n}}.$$  

This result is derived in Appendix A and it is obtained by assuming that each site is occupied by a disk whose diameter equals the bond length between neighboring sites, pictured in Fig. 1(c). It follows that

$$\lim_{n \to \infty} \phi_{\text{SGL undiluted}}(n) = 0.$$  

An arbitrarily large $n$ corresponds to an arbitrarily small $\phi_{\text{SGL undiluted}}$, so the SGL is indeed a suitable model to study the emergence of rigidity in ultra-low-density networks. A single SG, of any $n$, is isostatic—i.e., has 3 trivial zero modes.
with periodic boundary conditions. For each value of $n$, we consider 4 different system sizes $L$ which were chosen so that the lattices have approximately 250, 1000, 4000, and 16,000 particles (sites) (although at $n = 5$ we consider only the 3 larger system sizes because each SG at $n = 5$ already contains a large number of sites, and we need to keep the number of SG’s large in the lattice). To keep the number of sites roughly constant across varying $n$, we reference

$$L = 2^{\frac{2n + 1}{3^n - 1}},$$

which is immediate from Eqs. (A4) and (A5) (Appendix [A]), to choose an integer valued side length $L$ for each target system size (in terms of the total number of sites) and fractal iteration $n$.

For each $n$ and $L$, we generate 200 samples of SGL’s. Each one represents a realization of disordered dilution. For each sample, we use the pebble game algorithm to determine the critical occupancy fraction $p_c$ SGL, which, by increasing $p_{SGL}$, is achieved when a spanning rigid cluster first appears. We also record the mass of the spanning rigid cluster $M_{c,SGL}$ when it first occurs in each sample. We then average over the 200 samples to obtain the averaged quantities, $\langle M_{c,SGL}(n,L) \rangle$ and $\langle p_c SGL(n,L) \rangle$, for each $n$ and $L$. We also measure the fluctuation of the transition point

$$\Delta p_c SGL = \sqrt{\langle p_c SGL(n,L)^2 \rangle - \langle p_c SGL(n,L) \rangle^2}.\tag{6}$$

Our previous study of correlated RP on the triangular lattice [13] showed that the short-range spatial correlation only shifts the transition point and does not change the universality class of RP in the triangular lattice. Following this result, we make the assumption that RP in the SGL is also a continuous class of RP in the triangular lattice. Following this result, we invoke finite-size scaling relations [13, 30] to calculate the critical exponents associated with the rigidity phase transition. The correlation length exponent $\nu_{SGL}$ and the fractal dimension $d_f SGL$ are calculated as the slopes of linear fits of log-log plots of $\langle M_{c,SGL} \rangle$ and $\Delta p_c SGL$ versus $L$, according to the finite size scaling relations

$$\langle M_{c,SGL}(n,L) \rangle \propto L^{d_f SGL},\tag{7}$$

$$\Delta p_c SGL \propto L^{-1/\nu_{SGL}}\tag{8}$$

(Appendix [B]). Note that these relations give a calculation of $d_f SGL$ and $\nu_{SGL}$ for each $n$.

We find $\nu_{SGL}$ and $d_f SGL$ for the SGL rigidity phase transition are the same as for the rigidity phase transition in the regular triangular lattice [9] as shown in Fig. 2. This observation is consistent with results on RP in lattices with spatial correlations [13], where the critical exponents remain the same as in classical RP, and the short-ranged spatial correlation can be viewed as an irrelevant perturbation. Here, the fractals in each unit cell can also be viewed as a short range feature, which do

III. METHOD & RESULTS

In order to study the RP in the diluted SGL, we execute the pebble game algorithm [28, 29] on SGL’s at $n = 1, 2, 3, 4, 5$ and no states of self stress [27]. The coordination number of the undiluted lattice under periodic boundary conditions $\langle z \rangle_{\text{undiluted}}$ can be calculated as a function of $n$.

$$\langle z \rangle_{\text{undiluted}} = \frac{6 + 4(x - 1)}{x},$$

where $x = (3^n - 1)/2$ is the number of sites present in a single $n$-level SG where $n \geq 1$. At $n = 0$, the lattice is a regular triangular lattice, so $\langle z \rangle_{\text{undiluted}} = 6$. The coordination number decreases from 6 to 4 as $n$ goes from 0 to $\infty$.

We dilute the SGL by removing randomly chosen sites. If a site is removed, all of the bonds attached to that site are also removed. The occupancy fraction $p_{SGL}$ is the ratio of the number of occupied sites to the number of sites present in a completely filled SGL. As shown in Appendix [A] the volume fraction of the diluted SGL is then

$$\phi_{\text{SGL}} = p_{SGL} \phi_{\text{SGL-undiluted}}.$$

We emphasize that while the occupancy fraction $p_{SGL}$ is the ratio of the number of occupied sites to total number of sites (unoccupied and occupied), the volume fraction $\phi_{\text{SGL}}$ is the ratio of the occupied space to the total space covered by the lattice. Because the volume fraction of the undiluted SGL $\phi_{\text{SGL-undiluted}}$ vanishes in the $n \to \infty$ limit, $\phi_{\text{SGL}}$ can approach 0 even when $p_{SGL}$ is of $O(1)$.

FIG. 1. (a,b) Sierpiński gasket (SG) of fractal iteration $n = 2, 5$. (c,d) Lattices of SG’s are models for ultra-low-density networks at $n = 2, 5$. In (c) semi-transparent purple disks represent the physical particles we are modeling. The diameter of each particle is equal to the bond length, which we set to 1.

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Further information about this process can be found in Appendix C of [13].

We extract the critical occupancy fraction at the infinite system size limit \( p_{c,\text{SGL}}(n, L = \infty) \) by linearly extrapolating the finite critical occupancy fractions \( p_{c,\text{SGL}}(n, L) \) for each \( n \) as a function of \( L^{-1/\nu} \). The \( p_{c,\text{SGL}}(n, L = \infty) \) are simply the \( y \)-intercepts of linear fits which are displayed in Fig. 3. Further information about this process can be found in Appendix C of [13].

We find that the critical occupancy fraction \( p_{c,\text{SGL}}(n, L = \infty) \) approaches 1 as \( n \) increases while the critical volume fraction \( \phi_{c,\text{SGL}}(n, L = \infty) \) approaches 0 (following the relation in Eq. [4]), indicating that these disordered fractal structures exhibit rigidity at vanishing volume fractions. These results are shown in Table I.

\begin{table}[h]
\centering
\begin{tabular}{|c|c|c|}
\hline
\( n \) & \( p_{c,\text{SGL}}(n, L = \infty) \) & \( \phi_{c,\text{SGL}}(n, L = \infty) \) \\
\hline
1 & 0.882 ± 0.002 & 0.800 ± 0.002 \\
2 & 0.961 ± 0.004 & 0.708 ± 0.003 \\
3 & 0.990 ± 0.004 & 0.561 ± 0.002 \\
4 & 0.998 ± 0.004 & 0.428 ± 0.002 \\
5 & 0.999 ± 0.005 & 0.322 ± 0.002 \\
\hline
\end{tabular}
\caption{The critical occupancy and volume fractions for the SGL's for \( n = 1, 2, 3, 4, 5 \) in the infinite system size limit, \( p_{c,\text{SGL}}(n, L = \infty) \) and \( \phi_{c,\text{SGL}}(n, L = \infty) \). As \( n \) increases, \( p_{c,\text{SGL}}(n, L = \infty) \rightarrow 1 \) and \( \phi_{c,\text{SGL}}(n, L = \infty) \rightarrow 0 \). The error values are 95% confidence intervals.}
\end{table}

IV. INTERPRETATION

The fact that the \( p_{c,\text{SGL}}(n, L = \infty) \)'s approach 1 as \( n \) increases is a reflection of both the fragility of a single SG–for any value of \( n \), removing any non-corner site of an SG segreates the three corners of the SG into three separate rigid clusters (Appendix C), and the result [Eq. 3] that \( \langle z \rangle \) approaches the critical value of 4 as \( n \) increases. The latter point reveals that the SGL is asymptotically a Maxwell lattice (i.e., lattices that satisfy \( \langle z \rangle = 2d \) and are thus at the verge of mechanical instability [27, 31]) as \( n \rightarrow \infty \).

These observations motivate a simplified model of the SGL–the triangle plate lattice (TPL). The TPL is a regular triangular lattice consisting of upwards-pointing rigid triangles
hinged at their tips. In other words, if we view it as a regular bond-dilution RP in a triangular lattice, the items which are being diluted are groups of three bonds which together form an upwards pointing triangle. Figure 3 is an example of what a diluted TPL can look like.

There is one main feature that separates the TPL from the SGL: in the SGL an SG with a site removed may still be an essential part of the spanning rigid cluster. In the TPL, a vacant triangle cannot transmit rigidity. Because of this difference the critical packing fraction of the TPL is used to calculate a strict upper bound on that of the SGL.

All \( p \)'s that follow in this section should be taken to be in the infinite system size limit. The relationship between \( p_{c,SGL} \) and the critical packing fraction for the TPL \( p_{c,TPL} \) is as follows: consider an SGL and a TPL, where the SG's in the SGL and the triangle plates in the TPL are the same size. Let the two lattices also be of equal size. A removed upwards pointing triangle from the TPL corresponds to at least one removed site from the SGL. Letting the number of triangles/SG's present in either lattice be \( N_a \) and the number of sites present in a single SG be \( x = (3^{p+1} - 1)/2 \), the critical occupancy fractions for the two lattices are related by

\[
x N_a (1 - p_{c,SGL}) \geq N_a (1 - p_{c,TPL}).
\]

The number of removed sites at the critical point in the SGL is at least the number of removed triangles at the critical point in the TPL. The “\( \geq \)" sign is only satisfied if removing each site from the SGL corresponds to removing a distinct triangle plate from the TPL. This is not always the case because (i) multiple removed sites in the SGL can belong to the same SG, and, as we discussed above, (ii) a "broken" SG can still contribute to the rigidity of the lattice. As a result, the TPL provides an upper bound of the critical occupancy in the SGL, \( p_{c,SGL} \). Explicitly,

\[
p_{c,SGL} \leq 1 - \frac{1 - p_{c,TPL}}{x} \equiv p_{c,SGL}.
\]

We perform the pebble game routine on the TPL and execute the same finite scaling procedures that we did for the SGL. We find that \( p_{c,TPL} = 0.656 \pm 0.005 \) and \( v_{TPL} = 1.4 \pm 0.1 \). The errors given are 95\% confidence intervals. \( p_{c,TPL} \) and \( v_{TPL} \) both lie within error bars of the corresponding variables for the regular triangular lattice in the case of bond dilution [9]. The upper bounds on the \( p_{c,SGL} \)'s predicted by the TPL are obeyed for all tested values of \( n \) and tightly obeyed for larger values of \( n \) (Fig. 5). It is worth pointing out that this is a strict upper bound in the sense of disorder averaged critical occupancy. It does not necessarily hold for individual samples.

V. CONCLUSIONS AND DISCUSSIONS

In this paper we show that by introducing fractal local structures, rigidity can exist at an arbitrarily low volume fraction of solid particles. Using a periodic lattice model consisting of Sierpinski gaskets, we find that as the fractal iteration increases, the critical site occupancy fraction for rigidity increases, while the critical volume fraction decreases, allowing rigidity at progressively lower volume fractions. We also show that the RP transition in this fractal lattice remains in the same universality class as the classical RP transition when length is measured in units of the sides of the smallest triangles. We interpret this result by mapping the RP on this fractal lattice into the RP of a simple triangle plate model, based on the fragility of a single SG. This mapping gives a strict upper bound of the critical volume fraction of the fractal lattice.

Our results may shed light on the origin of rigidity in ultra-low volume fraction soft solids, such as hydrogels and aerogels. A simple way to understand this phenomena is to realize that, even in a dense disordered solid such as granular matter or colloidal glass, stress is often carried by a very small fraction of the solid content, i.e., force chains [32-34], while other components do not significantly contribute to the elas-
ticity. Thus, by introducing appropriate spatial correlation between the solid particles, a material can be constructed without filling the space which is not needed for rigidity. Interestingly, interactions and non-equilibrium processes (such as hydrodynamics of the solvent) occurring during the formation of these ultra-low volume fraction solids appear to naturally achieve this goal of arranging particles in very efficient ways of transmitting stress. It is of our interest to understand how this occurs in these experimental systems in the future.

The model we discuss here is a two-dimensional lattice. A curious question that immediately arises is what happens in three dimensions. The SG has a direct three-dimensional generalization: the Sierpinski tetrahedron (ST), which is constructed by iteratively hinging tips of four tetrahedra together to form a bigger tetrahedra (which has an octahedron of empty space in the middle). Each face of an ST is an SG. Interestingly, there is a mechanical analogy between the SG and the ST: each internal node in the ST has six bonds, satisfying the Maxwell condition \( \langle z \rangle = 2d \), while the four tip nodes each have three bonds \( z = 3 \), giving rise to exactly the six trivial rigid body motions of the whole ST. Thus, each ST is isostatic in three dimensions.

These ST’s can be used to construct a face-centered-cubic lattice in the same way the SG’s are used to construct the SGL. This three-dimensional lattice also has a volume fraction that approaches zero as its fractal iteration increases. Analogously, in the undiluted face-centered-cubic lattice, each node at the tip of an ST has \( z = 12 \), taking the whole structure to \( \langle z \rangle > 6 \). It is straightforward to see that the undiluted ST lattice has rigidity from the rigidity of the single ST’s and from the stress-bearing structures (states of self-stress along straight lines of bonds) \([27, 31, 35]\). Therefore, a similar RP problem can be formulated for this three-dimensional ST lattice. The nature of the RP transition may be more complicated because it is a three-dimensional problem \([10]\), but this lattice at least provides an example of a three-dimensional lattice where rigidity exists at an arbitrarily low volume fraction. It is also of our interest to study the RP transition in this three-dimensional lattice in the future.

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A. CALCULATING \( \phi_{SGL} \)

The volume fraction, an area fraction for \( d = 2 \), is the ratio of space taken up by the occupied sites to the space enclosed within the unit cell. \( \phi_{SGL} \) is the volume fraction of the lattice, \( N_{occ} \) is the number of occupied sites in the lattice, \( A_v \) is the area covered by a single site, and \( A \) is the total area covered by the lattice.

\[
\phi_{SGL} \equiv \frac{N_{occ}A_v}{A}. \tag{A1}
\]

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**FIG. 6.** (a) The correlation length exponent \( \nu_{SGL} \) and (b) the fractal dimension \( d_{f,SGL} \) for the SGL are both obtained from the slopes of the linear fits for each \( n \) according to Eqs. \((7) \) and \((8) \). The error bars are 95% confidence intervals.

The lattice is a rhombus with side length \( L \), so

\[
A = \frac{\sqrt{3}}{2} L^2. \tag{A2}
\]

Additionally, we define the occupancy fraction \( p_{SGL} \) as

\[
p_{SGL} \equiv \frac{N_{occ}}{N_{total}}, \tag{A3}
\]

where \( N_{total} \) is the total number of sites (occupied and unoccupied) in the lattice. For an SGL with periodic boundary conditions, \( N_{total} \) is given by

\[
N_{total} = s^2 \left( \frac{3^{n+1} - 1}{2} \right), \tag{A4}
\]

where \( n \) is the number of fractal iterations, and \( s \) is the length of the lattice in units of SG’s. We set the distance between neighboring sites on the lattice to be 1. Due to the fractal structure of an SG,

\[
L = s 2^n. \tag{A5}
\]

Since the length between sites is 1, we also know that

\[
A_v = \pi \left( \frac{1}{2} \right)^2. \tag{A6}
\]
Putting everything together,

$$\phi_{\text{SGL}} = \frac{\pi}{4\sqrt{3}} \frac{3^{n+1} - 1}{2^n} \rho_{\text{SGL}}.$$  \hspace{1cm} (A7)

**B. CALCULATING CRITICAL EXPONENTS**

Given the finite size scaling relations Eqs. (7) and (8), we can calculate the correlation length exponent $\nu_{\text{SGL}}$ and the fractal dimension $d_{f, \text{SGL}}$ for the SGL, as shown in Fig. 6.

**C. FRAGILITY OF AN SGL**

We use induction to prove that removing any non-corner site in an SGL will segregate the 3 corner sites into different rigid clusters. Consider an $n = 1$ SGL. It is immediate from Fig. 7 that our desired result holds in this case. Suppose this result holds for an $n$-level SGL. Consider now an SGL of fractal iteration $n + 1$, displayed in Fig. 8(a). It is composed of 3 SGL’s each of fractal iteration $n$. When any internal site of the $(n + 1)$-level SGL is removed, there are two possible cases: (i) the site is a shared corner site between two $n$-level SGL’s, shown in Fig. 8(b), or (ii) the site is a non-corner site which belongs to a single $n$-level SGL, shown in Fig. 8(c). If (i), the two $n$-level SGL’s which were previously connected are now free to rotate about the hinges they each share with the third unaltered $n$-level SGL. The 3 corners of the $(n + 1)$-level SGL are now in separate rigid clusters. If (ii), then the 3 corners of the $n$-level SGL from which a site was removed are now in different rigid clusters, so they can move freely relative to each other. Since the two unaltered SGL’s are independently rigid, the node connecting the two unaltered SGL’s is a free hinge, so the three corners of the $(n + 1)$-level SGL must be in separate rigid clusters. Since assuming our claim is true for an $n$-level SGL implies our claim is true for an $(n + 1)$-level SGL, and the $n = 1$ case is manifestly true, for an SGL of an arbitrary number of fractal iterations, removing any non-corner site will segregate the 3 corner sites of that SGL into different rigid clusters.

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FIG. 8. (a) An \((n+1)\)-level SG, composed of three \(n\)-level SG’s (black). (b) Case (i), a site connecting two \(n\) level SG’s (red) is removed, allowing independent motion of the three corner sites (black). (c) Case (ii), a non-corner site is removed from an \(n\)-level SG (gray with white hatching). If the three corners of the \(n\)-level SG are in separate rigid clusters, the three corners of the \((n+1)\)-level SG can move independently and are thus also in separate rigid clusters.

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