Prophylactic therapy with human amniotic fluid stem cells improved survival in a rat model of lipopolysaccharide-induced neonatal sepsis through immunomodulation via aggregates with peritoneal macrophages

Yu Sato¹, Daigo Ochiai¹*, Yushi Abe¹, Hirotaka Masuda¹, Marie Fukutake¹, Satoru Ikenoue¹, Yoshifumi Kasuga¹, Masayuki Shimoda², Yae Kanai² and Mamoru Tanaka¹

Abstract

Background: Despite recent advances in neonatal care, sepsis remains a leading cause of mortality in neonates. Mesenchymal stem cells derived from various tissues, such as bone marrow, umbilical cord, and adipose tissue, have beneficial effects on adult sepsis. Although human amniotic fluid stem cells (hAFSCs) have mesenchymal stem cell properties, the efficacy of hAFSCs on neonatal sepsis is yet to be elucidated. This study aimed to investigate the therapeutic potential of hAFSCs on neonatal sepsis using a rat model of lipopolysaccharide (LPS)-induced sepsis.

Methods: hAFSCs were isolated as CD117-positive cells from human amniotic fluid. Three-day-old rat pups were intraperitoneally treated with LPS to mimic neonatal sepsis. hAFSCs were administered either 3 h before or at 0, 3, or 24 h after LPS exposure. Serum inflammatory cytokine levels, gene expression profiles from spleens, and multiple organ damage were analyzed. hAFSC localization was determined in vivo. In vitro LPS stimulation tests were performed using neonatal rat peritoneal macrophages co-cultured with hAFSCs in a cell-cell contact-dependent/independent manner. Immunoregulation in the spleen was determined using a DNA microarray analysis.

(Continued on next page)
Background

Despite recent advances in neonatal intensive care, systemic inflammation such as sepsis is still a leading cause of mortality and morbidity in preterm infants, particularly in those with extremely low birth weights [1, 2]. Preterm neonates are more vulnerable to infectious diseases leading to higher sepsis-related mortality compared to adults due to the neonatal immune response being quantitatively and qualitatively distinct from that of adults [3, 4]. Lacking a fully developed adaptive immune system, newborns must rely on the innate immune response for protection against infection [3, 5]. In addition, low numbers of lymphocytes in neonates exacerbate the excessive production of pro-inflammatory cytokines against infection [3, 6]. Therefore, macrophages are considered important initiators and regulators of the innate immune response in neonates.

Mesenchymal stem cells (MSCs) possess unique paracrine and immunosuppressive properties, which make them useful candidates for cellular therapy [7–9]. In particular, numerous preclinical studies have successfully used MSCs to improve outcomes in adult animal models of sepsis and organ injury [7, 10–12], and clinical studies to test their potential are ongoing in adults [13, 14]. However, the distinct differences in immune responses between neonates and adults have been reported in rodents and humans [3, 6], and little is known about the therapeutic effect of MSCs on neonatal sepsis [15].

Among MSCs, human amniotic fluid stem cells (hAFSCs) offer the intriguing potential for autologous MSC treatment for a variety of complications in neonates, including congenital abnormalities and preterm birth [16]. Recently, we reported that hAFSC treatment attenuated local inflammation in rodent models of perinatal diseases such as hypoxic-ischemic encephalopathy [17] and fetal myelomeningocele [18]. hAFSCs generated during pregnancy could be potentially used for autologous cell therapy treatment in neonates, if required immediately after birth or during pregnancy [19]. However, there is no report on the therapeutic efficacy of hAFSCs for the treatment of neonatal sepsis.

The aim of this study is to determine the effect of hAFSC transplantation in a rat model of LPS-induced neonatal sepsis.

Methods

Isolation, culture, and immunophenotypic characterization of CD117+ amniotic fluid cells

The study was approved by the Institutional Review Board of Keio University School of Medicine (no. 20140285), and informed consent was obtained from all the volunteer donors. Five-milliliter amniotic fluid samples were obtained from two pregnant women who underwent amniocentesis at 15 and 16 weeks of gestation. CD117-positive (CD117+) cells were isolated as hAFSCs, as described previously [17–22]. Briefly, within 2 h, the samples were centrifuged at 200 x g for 5 min. After removing the supernatant, the cell pellet was cultivated in growth medium comprising alpha modified Eagle minimum essential medium (α-MEM; Invitrogen, Carlsbad, CA), 15% fetal bovine serum (FBS) (Invitrogen), 1% L-glutamine (Invitrogen), 1% penicillin/streptomycin (Invitrogen), and 40% AmnioMax-II (Life Technologies, Carlsbad, CA). After the cell population became sub-confluent, the cells were counted, and the CD117+ cells were isolated as hAFSCs using a magnetic cell sorting kit (Miltenyi Biotec, Auburn, CA).

CD117+ cells were characterized by flow cytometry for surface markers, as described in our previous studies [17, 18, 21]. The antibodies used for flow cytometry are
listed in Table S1. CD117⁺ cells were cultured in “adipogenic differentiation medium” and “osteogenic differentiation medium” (PromoCell, Heidelberg, Germany) according to the manufacturer’s protocol. To induce chondrogenic differentiation, a total of 1.0 × 10⁶ cells were seeded in EZSPHERE (AGC Techno Glass, Tokyo, Japan), then cultured for 12 days in “chondrogenic differentiation medium” (PromoCell). CD117⁺ cells were also characterized by real-time polymerase chain reaction (RT-qPCR) for the expression of molecular differentiation markers into adipogenic, osteogenic, or chondrogenic lineages. RT-qPCR was performed in duplicate in a volume of 25 μL per reaction using a 96-well Bio-Rad CFX96 Real-Time PCR System (Bio-Rad, Richmond, CA). Reaction mixtures contained 5 ng genomic DNA as the template, 0.4 mM of each primer (FASMAC, Atsugi, Kanagawa, Japan), SYBR Premix Ex Taq II (Tli RNaseH Plus; Takara Bio), and sterile H₂O. The primer sets are listed in Table S2. We analyzed the relative gene expression in each sample by the 2⁻ΔΔCT method. Gene expression values were normalized to β-actin levels as an internal control.

Animals
All experiments were approved by the Animal Committee of Keio University (no. 18003-0). At postnatal day 3 (P3), Sprague Dawley (SD) male rat pups (Charles River Laboratories Japan Inc., Kanagawa, Japan) were randomly assigned to three experimental groups. These groups were assessed in the brain sections [26]. Astrocytes were evaluated by anti-glial fibrillary acidic protein (GFAP) antibodies (Dako Corporation, Carpinteria, CA) visualized by Vectastain ABC Kit (Vector Laboratories, Burlingame, CA, USA). Microglial cells were assessed by rabbit anti-ionized calcium-binding adapter molecule 1 (Iba-1; Wako, Osaka, Japan, 1:50) and the nuclei were counterstained with Hoechst (Wako, Osaka, Japan, 1:100). Immunohistochemistry was performed using the rabbit anti-myeloperoxidase (MPO; Abcam, Cambridge, UK, 1:50) antibody, or mouse anti-Iba-1 (Iba-1; Wako, Osaka, Japan, 1:500) antibody, or mouse anti-Iba-1 (Iba-1; Wako, Osaka, Japan, 1:500) and the nuclei were counterstained with Hoechst (Wako), in all other organ samples. Antibodies used for immunohistochemistry are listed in Table S3. Images were captured using a BZX-810 camera (Keyence, Osaka, Japan), and morphometric analysis was performed using ImageJ software (www.rsb.info.nih.gov/ij). To investigate neuroinflammation, we counted GFAP-positive cells and Iba-1 positive cells in the brain sections [26]. To evaluate neutrophil infiltration, we determined the percentage of MPO-positive cells in the lung and liver [27]. Thereafter, we counted the Iba-1 positive cells to evaluate macrophage activation (Fig. 3). Lung injury was determined by radial alveolar count (RAC) and mean linear intercepts (MLI) using the ImageJ software [28–30].

Analysis of serum inflammatory cytokines and levels of organ function indicators
For analysis of cytokines and organ function indicators in serum, blood was collected from the heart at 6 h after LPS administration [23, 24]. Serum was prepared in uncoated tubes by centrifugation at 4000 rpm for 5 min and stored at -80°C until analysis. Serum levels of tumor necrosis factor (TNF)-α, interferon (IFN)-γ, interleukin (IL)-1β, and IL-6 were determined 6 h after LPS administration using MILLIPLEX® Multiplex Assays using Luminex® with a rat cytokine/chemokine panel (Merck Millipore, Billerica, MA, USA) according to the manufacturer’s protocol [24]. The MILLIPLEX® plate was read with a Luminex®200 xPONENT® system. Data were analyzed using the xPONENT® software (Luminex, Austin, TX, USA). Serum levels of hepatic dysfunction indicators: aspartate aminotransferase (AST) and alanine aminotransferase (ALT) were analyzed 48 h after LPS administration (SRL, Tokyo, Japan) [24, 25]. To assess whether hAFSC administration induces hyperinflammation, we also evaluated TNF-α levels in serum by enzyme-linked immunosorbent assay (ELISA); Rat TNF-alpha Quantikine ELISA Kit* (R&D Systems, Minneapolis, MN).

Immunohistochemical analysis
The brain, lung, and liver were harvested 48 h after LPS injection. Excised specimens were fixed with 4% paraformaldehyde for paraffin embedding. Paraffin sections (4 μm) were subjected to hematoxylin-eosin (H&E), periodic acid-Schiff (PAS) staining, and immunohistochemistry. The white matter around the hippocampus was assessed in the brain sections [26]. Astrocytes were evaluated by anti-glial fibrillary acidic protein (GFAP) antibodies (Dako Corporation, Carpinteria, CA) visualized by Vectastain ABC Kit (Vector Laboratories, Burlingame, CA, USA). Microglial cells were assessed by rabbit anti-ionized calcium-binding adapter molecule 1 (Iba-1; Wako, Osaka, Japan, 1:100), and nuclei were counterstained with Hoechst (Wako, Osaka, Japan, 1:100). Immunohistochemistry was performed using the rabbit anti-myeloperoxidase (MPO; Abcam, Cambridge, UK, 1:50) antibody, or mouse anti-Iba-1 (Iba-1; Wako, Osaka, Japan, 1:500) and the nuclei were counterstained with Hoechst (Wako), in all other organ samples. Antibodies used for immunohistochemistry are listed in Table S3. Images were captured using a BZX-810 camera (Keyence, Osaka, Japan), and morphometric analysis was performed using ImageJ software (www.rsb.info.nih.gov/ij). To investigate neuroinflammation, we counted GFAP-positive cells and Iba-1 positive cells in the brain sections [26]. To evaluate neutrophil infiltration, we determined the percentage of MPO-positive cells in the lung and liver [27]. Thereafter, we counted the Iba-1 positive cells to evaluate macrophage activation (Fig. 3). Lung injury was determined by radial alveolar count (RAC) and mean linear intercepts (MLI) using the ImageJ software [28–30].
hAFSC tracking after intraperitoneal application

hAFSCs were labeled with the fluorescent tracer Xeno-Light DiR (Xenogen Corporation, Caliper Life Sciences, Alameda, CA) following the manufacturer’s protocol [31]. DiR-labeled hAFSCs were administered intraperitoneally at P3 3 h before LPS injection. The organs were harvested 12, 24, 48, 72 h, and 7 days after DiR-labeled hAFSC administration. The collected organs were imaged using the IVIS® Spectrum (Caliper Life Sciences). Filter conditions and illumination settings for DiR imaging were set as 710/760 nm (excitation/emission), high lamp level, medium binning, filter 1, and 1.0 s exposure time. Gray-scale and fluorescent images of each organ were analyzed using Living Image software version 4.3 (Xenogen).

Fig. 1 hAFSCs improved mortality and serum cytokine levels in LPS-induced neonatal sepsis. a Timecourse of the experimental protocol. Three-day-old rat pups were injected LPS intraperitoneally. Three hours before LPS exposure, rats were treated with hAFSCs or saline. b Survival rate until 30 days after LPS administration in control (n = 30), LPS (n = 40), and hAFSCs+LPS group (n = 28). hAFSCs treatment significantly improved survival compared to saline-treated animals after LPS exposure. c Levels of the pro-inflammatory cytokine TNF-α, IFN-γ, IL-1β, and IL-6 in serum in the control (n = 5), LPS (n = 7), and hAFSCs+LPS group (n = 6). Results are presented as mean ± SEM. *p < 0.05
Macroscopically, the cellular aggregates appeared as small clusters, which varied in the number and the size of the cluster. Microscopic analysis of a single aggregate was carried out using an anti-human mitochondria antibody (Sigma-Aldrich, St. Louis, MO) for the hAFSCs and an anti-CD68 antibody (Bioss antibodies, Woburn, MA) for the peritoneal macrophages. Antibodies used for immunocytochemistry are listed in Table S3. Images were captured using a BZX-810 camera (Keyence).

Analysis of LPS-stimulated macrophages co-cultured with hAFSCs
Peritoneal macrophages were obtained, as previously described [32]. Briefly, rat peritoneal exudate cells were elicited by intraperitoneal injection with 2 mL of 3% sterile sodium thioglycolate (BD, Franklin Lakes, New Jersey) in SD male rat pups (P3). Peritoneal cells were obtained 3 days later by peritoneal lavage with cold PBS and washed in cold RPMI medium. A minimum of 25% of the cells were macrophages as determined by flow cytometry analysis using FITC Mouse Anti-Rat CD11b (BD Biosciences) according to the manufacturer’s protocol.

To determine whether hAFSCs could regulate the secretion of pro-inflammatory cytokine in LPS-stimulated macrophages in a cell-cell contact independent/dependent manner, the two types of cells were co-cultured either in a transwell (0.4 μm pore size; Costar; Corning, NY) system or a standard well, and then stimulated with LPS. CD11b-positive macrophages were incubated with RPMI 1640 containing 10% FBS and 1% penicillin/streptomycin. To evaluate the effects of co-culture with cell-cell contact, peritoneal macrophages and hAFSCs were co-incubated in the presence of LPS (0.1 μg/mL) for 4 h at 37 °C in a standard 24-well plate (Costar; Corning, NY). To test the effects of co-culture without cell-cell contact, the macrophages (1 × 10⁵ cells per well) were placed in the upper insert of a transwell system (0.4 μm pore, Corning), and hAFSCs (1 × 10⁶ cells) were placed in the lower well. The study groups included the following: control group, LPS group, hAFSCs+LPS (no contact between macrophage and hAFSCs) group, and hAFSCs+LPS (cell-cell contact between macrophage and hAFSCs) group (Fig. 4a).

Cell-free supernatants and macrophage RNA were collected 4 h after LPS stimulation. RNA was extracted using the RNeasy Mini Kit (Qiagen), and reverse transcription of total RNA was performed using the Prime Script RT Master Mix (Takara Bio Inc., Shiga, Japan). M1 (TNF-α) and M2 (IL-10) marker levels in the supernatants were determined by ELISA using Rat TNF-alpha Quantikine ELISA Kit* and Rat IL-10 Quantikine ELISA Kit* (R&D Systems), and gene expressions of M1 (TNF-α and IL-1β) and M2 (IL-10 and Arginase-1) markers were assessed by RT-qPCR. Gene expression values were normalized to β-actin levels as an internal control. The primer sets are listed in Table S2. Additionally, gene expressions were assessed using two different donor-derived hAFSC cell lines.

DNA microarray analysis of the spleen
Six hours after LPS administration, total spleen RNA was extracted using the RNeasy Mini Kit (Qiagen, Hilden, Germany). Genome-wide expression analysis was performed using the total RNA, which was labeled and hybridized to GeneChip® Clariom S array, Rat (Affymetrix, Santa Clara, CA). Principal component analysis (PCA) was performed before the analyses of each sample. Gene expression patterns were compared between the control group, LPS group, and hAFSCs+LPS group. Once genes with significant differences in expression were identified, fold changes were calculated between the LPS group and hAFSCs+LPS group [33]. The pathway analysis was performed using WikiPathways.

Statistical analysis
All values were expressed as mean ± standard error. Statistical differences between groups were assessed using analysis of variance and Tukey’s honest significant difference.

The chi-squared test and log-rank test were used for comparing survival data. Statistical analyses were performed using JMP14.0 software (SAS Institute, Cary, NC). P values less than 0.05 were considered statistically significant.

Results
Isolation, culture, and immunophenotypic characterization of hAFSCs
CD117⁺ amniotic fluid cells were isolated using a magnetic cell sorting kit. After immunoselection and passage in culture, spindle-shaped cells were expanded as stable lines (Additional file 1: Fig. S1a). Markers of cell surface antigens on hAFSCs were evaluated by flow cytometry. hAFSCs were positive for mesenchymal markers (CD73, CD90, and CD105) and negative for hematological
survive for a longer period (Fig. 1b). There were no sig-
pups surviving at 48 h after LPS administration could
hAFSCs alone did not affect the survival rate (100%). All
administered after LPS treatment. Treatment with
no therapeutic effect was observed when hAFSCs were
the two hAFSC cell lines (Additional file 2: Fig. S2a).

significant differences between the therapeutic effects of
ment significantly reduced neuroinflammation (Fig. 2a).
neurons and Iba-positive cells. However, hAFSCs pretreat-
brain, as indicated by the presence of the GFAP-positive
LPS administration induced neuroinflammation in the
inflammation
hAFSCs attenuated multiple organ dysfunction following
inflammation
LPS administration induced neuroinflammation in the
brain, as indicated by the presence of the GFAP-positive
cells and Iba-positive cells. However, hAFSCs pretreat-
ment significantly reduced neuroinflammation (Fig. 2a).
Likewise, in the lungs and liver, LPS induced tissue in-
flammation, as indicated by the presence of MPO-
positive cells and Iba-positive cells. Inflammation-
induced tissue damage after LPS administration was in-
dicated by RAC and MLI in the lungs and by glycogen
storage capacity and serum AST and ALT in the liver.
hAFSC pretreatment significantly attenuated tissue in-
flammation induced by LPS and subsequently amelio-
rated organ dysfunctions (Fig. 2b, c).

hAFSCs were transiently localized in the liver and
mesentery
To study the fate of hAFSCs after intraperitoneal injec-
tion, we tracked DiR-labeled hAFSCs administered intra-
peritoneally, using an in vivo imaging system (IVIS®
Spectrum). The fluorescence accumulated in the abdom-
nal cavity (Fig. 3a) and DiR-labeled hAFSCs migrated to
and were transiently localized in the liver and mesentery
within 72 h after administration, although the fluores-
cence intensity of hAFSCs gradually decreased (Fig. 3b).
These findings indicated that hAFSC pretreatment atten-
uated inflammation-induced dysfunctions even in organs
where hAFSCs have barely reached, such as the brain
and lung.

hAFSCs assemble with peritoneal macrophages in the
peritoneal cavity
We observed cellular aggregates in the peritoneal cavity
of rats that received hAFSCs. Macroscopically, the ag-
gregates appeared as small clusters, which varied in the
number and the size of clusters (Fig. 3c). Microscopic
analysis of single aggregates showed that the cellular
aggregates were mainly composed of human
mitochondria-positive hAFSCs and CD68+ peritoneal
macrophages (Fig. 3d).

hAFSCs induced a macrophage phenotypic switch from
M1 to M2 in both cell-cell contact-independent and
contact-dependent manners at the transcriptional level
M1/M2 polarization of macrophages regulates the in-
flammation and regeneration process [34]. To explore
the effect of hAFSCs on peritoneal macrophages, we ex-
amined TNF-α secreted from macrophages as an M1
marker and IL-10 as an M2 marker (Fig. 4b). TNF-α
levels secreted from macrophages after LPS administra-
tion was significantly reduced by hAFSCs in a cell-cell
contact-independent manner. Cell-cell contact between
the macrophages and hAFSCs further reduced the TNF-
α level (Fig. 4b). Also, TNF-α reduction was induced by
cell-cell contact at the transcriptional level (Fig. 4b).
Alternatively, the IL-10 level in supernatants was radically
increased by the cell-cell contact between LPS-
stimulated macrophages and hAFSCs (Fig. 4b). These
changes were also regulated at the transcriptional level.

In addition, these phenotypic changes were confirmed
by IL-1β and Arginase-1 as M1 and M2 markers, re-
spectively (Additional file 4: Fig. S4). Further, both the
hAFSC cell lines tested had similar effects on macro-
phage polarization (Additional file 2: Fig. S2b).

hAFSC administration reduced the expression of genes
involved in inflammation and apoptosis in the spleen
The spleen regulates systemic immune responses of the
whole body [35]. To determine the immune responses to
Fig. 2 hAFSCs attenuated inflammation in the brain, lung, liver, and kidney after LPS challenge. Histology of the brain, lung, and liver tissues from the control \((n=6)\), LPS \((n=8)\), and hAFSCs+LPS \((n=8)\) 48 h after LPS exposure. 

a **Representative image of brains.** GFAP staining, upper row (scale bars, 50 μm); and Iba-1 staining, lower row (scale bars, 50 μm). The graphs showed the number of GFAP-positive cells/HPF and Iba-1-positive cells/HPF in each group.

b **Representative image of the lungs.** H&E staining, upper row (scale bars, 100 μm); MPO staining, middle row (scale bars, 50 μm); and Iba-1 staining, lower row (scale bars, 50 μm). Radial alveolar counts and mean linear intercepts were performed by averaging seven measurements per rat. The other graphs in the lower row show the percentage of MPO-positive cells/HPF and the number of Iba-1-positive cells/HPF in each group.

c **Representative image of livers.** H&E staining, the first row (scale bars, 100 μm); MPO staining, the second row (scale bars, 50 μm); Iba-1 staining, the third row (scale bars, 50 μm); and PAS staining, the last row (scale bars, 200 μm). The graphs in the upper row show the percentage of MPO-positive cells/HPF and the number of Iba-1-positive cells/HPF in each group. The graphs in the lower row show the levels of aspartate aminotransferase (AST) and alanine aminotransferase (ALT) in serum in each group 48 h after LPS exposure. Results are presented as mean ± SEM. *\(p < 0.05\)
LPS administration, we performed DNA microarray analysis on spleen tissue. The patterns of PCA mapping in the spleen demonstrated that gene expressions were significantly changed by LPS administration and that prophylactic treatment with hAFSCs modulated the gene expression (Fig. 5a). There were 228/23,188 genes differentially expressed between the LPS group and the hAFSCs+LPS group (Fig. 5b). We focused on genes that exhibited significant differences in expression between the LPS group and the hAFSCs+LPS group ($p < 0.05$) in the DNA microarray analysis (Fig. 5c). LPS treatment upregulated the expressions of genes involved in apoptosis and inflammation. hAFSC pretreatment attenuated the upregulation of the genes involved in apoptosis such as BCL2-like 11 and those involved in inflammation such as CC or CXC chemokine ligand, colony-stimulating factor 3, IL-1 and 6, and TNF-α-induced proteins.

hAFSC administration suppressed the Toll-like receptor signaling pathway and cytokines and inflammatory response pathway in the spleen

The WikiPathways database was used to annotate the differentially expressed genes, and they were identified to be involved in the Toll-like receptor (TLR) signaling pathway (https://www.wikipathways.org/index.php/Pathway:WP1309) and cytokine and inflammatory response pathway (https://www.wikipathways.org/index.php/Pathway:WP271).

The genes that were downregulated in the hAFSCs+LPS group compared to that in the LPS group (negative log2 fold change) are shown in shades of green, and those that were upregulated are shown in shades of red (Fig. S5 and S6). hAFSC pretreatment downregulated TLR4 signaling and inhibited the expression of inflammatory cytokines such as Tnf, Il-1b, Il-6, and Ccl5 by modulating the expression of cd14, Nfkb family, and Jun/Fos in the spleen (Additional file 5: Fig. S5). Also, hAFSCs generally suppressed the expression of...
hAFSCs induced a macrophage phenotypic switch from M1 to M2 in a cell-cell contact-independent and dependent manner at the transcriptional level.  

**Fig. 4** hAFSCs induced a macrophage phenotypic switch from M1 to M2 in a cell-cell contact-independent and dependent manner at the transcriptional level.  

a. The schema of the culture methods. hAFSCs and peritoneal macrophages were co-cultured in the presence of LPS (right schema). Transwell inserts were used in wells to prevent cell-cell contact between hAFSCs with macrophages (left schema).  

b. TNF-α in the supernatant in each well 4 h after LPS exposure was measured by ELISA (n = 8) (upper left row). mRNA expression of TNF-α was investigated by RT-qPCR (n = 8) (upper left row). IL-10 in the supernatant of each well 4 h after LPS exposure was measured (n = 8) (upper right row). mRNA expression of IL-10 was investigated by RT-qPCR (n = 8) (lower right row). Results are presented as mean ± SEM. *p < 0.05.
inflammatory genes, including Csf3, Il-1a, Il-1b, Il-6, and Tnf in the macrophages (Additional file 6: Fig. S6).

**Discussion**

In this study, we established a rat model of neonatal sepsis by LPS administration into rat pups, particularly mimicking neonatal sepsis of preterm infants in humans, and demonstrated the therapeutic effects of prophylactic treatment with hAFSCs on neonatal sepsis using our model. Specifically, prophylactic treatment with hAFSCs suppressed systemic inflammation and multiple organ dysfunction and improved the survival rate in LPS-induced neonatal rat sepsis. These effects might be mediated by the phenotypic switch of peritoneal macrophages from M1 to M2, which was induced by hAFSCs both in a cell-cell contact-dependent and contact-independent manner, and the subsequent immunomodulation of the spleen.

To date, rodent models have been used extensively to investigate the physiological process of sepsis [11]. In systemic challenge models, bacteria (i.e., *E. coli*) or bacteria-derived toxins (i.e., LPS) are administered into adult rodents [7, 11]. However, the distinct differences in immune responses between neonates and adults have been reported in both rodents and humans, possibly contributing to the higher mortality observed in neonates compared to adults [3, 4]. It has been reported that neonatal rodents were hyper-susceptible to LPS in an age-dependent manner [6, 33]. Consequently, 3- to 5-day-old rodents have been utilized to mimic the immune response of human preterm infants [36, 37]. We demonstrated that hAFSC pretreatment improved the survival rate in neonatal rat sepsis from 50 to 80%, following the reduction of pro-inflammatory cytokine levels in serum after LPS administration (Fig. 1). Zhu et al. revealed that MSCs derived from the human umbilical cord significantly improved survival in *E. coli*-induced neonatal rat sepsis [15], which is consistent with our findings. In addition to the high mortality rate, sepsis presents an increased level of inflammatory activation, which results in

![Fig. 5 hAFSCs reduced the expression of apoptotic and inflammatory genes in the spleen.](image)
multiple organ impairments. In the present study, hAFSC pretreatment improved tissue inflammation and attenuated LPS-induced tissue damages in the lung, liver, and brain as determined by the histological analysis (Fig. 2), which is in agreement with the demonstrated therapeutic effects of other MSCs [7, 10, 15, 25, 26]. It has been demonstrated that hAFSCs have the potential to reduce local inflammation in rodent models of perinatal diseases mainly via paracrine factors secreted from hAFSCs locally transplanted or migrated to the damaged tissue regions [16–18, 38–40]. In contrast, our study showed that prophylactic treatment with hAFSCs could systematically reduce the inflammatory damages in the whole body. hAFSCs injected into peritoneal cavity aggregated with peritoneal macrophages and formed spheroid, or migrated to and transiently accumulated in the liver, mesentery, and peritoneum (Fig. 3). Consequently, few hAFSCs were detected in the lung and brain where the therapeutic effects of hAFSCs were observed. These results were consistent with those of the previous study on MSCs using a colitis model [8, 41, 42]. Thus, hAFSCs could protect multiple organs from severe inflammation by adapting an immune regulatory and regeneration-supporting status in the whole body.

There are two possible steps of immune regulation in the whole body. One is a phenotypic switch of peritoneal macrophage from M1 to M2; the other is immune reactions of the spleen following a phenotypic switch of peritoneal macrophages (Fig. 6). Macrophages represent the majority of immune cells, and lymphocytes are fewer in neonates compared to adults [3, 6]. Our data indicated that the beneficial effects provided by hAFSCs administration were triggered by their action on peritoneal macrophages. hAFSCs could regulate peritoneal macrophage polarization from M1 to M2 via paracrine factors in a cell-cell contact-independent and/or contact-dependent manner (Fig. 4 and Additional file 4: Fig. S4) [43, 44]. Moreover, hAFSCs spontaneously aggregated in the peritoneal cavity and formed spheroids (Fig. 3c, d). Self-activation of hAFSCs by assembly into aggregates could enhance their beneficial effects [41, 45, 46]. Thus, in our study, hAFSCs could act on peritoneal macrophages as the first responder.

The spleen, the central immune organ, plays a role in regulating systemic immune responses in the whole body [35]. Therefore, we focused on the responses in the neonatal spleen after local immunomodulation via peritoneal macrophage. DNA microarray analysis of the spleen revealed that hAFSC administration reduced the expression of genes involved in apoptosis and inflammation and subsequently suppressed the TLR signaling pathway (Fig. 5). Sepsis can induce apoptosis by the

Fig. 6 hAFSCs regulated immune reaction by two steps: peritoneal macrophages and spleen. hAFSCs regulated immune reaction in the whole body by two steps—phenotypic switch of peritoneal macrophages from M1 to M2 and the immune reactions of the spleen following the phenotypic switch of peritoneal macrophages.
mechanisms related to oxidative stress and inflammation [47–49]. Spleen cells undergo apoptosis leading to immune cell loss and immune cell dysfunction [15]. MSCs are capable of attenuating apoptosis by regulating the anti-apoptotic BCL-2 family proteins [50]. With regard to inflammation, hAFSCs downregulated TLR signaling and inhibited the expression of inflammatory cytokines such as Tnf, Il-1β, and Il-6, which could directly contribute to reducing pro-inflammatory cytokines in the serum and regulating inflammation in the whole body. In this study, the expression levels of multiple regulatory factors controlling TLR signaling, such as cd14, Nfkβ family, and Jun/Fos, were significantly reduced by hAFSCs pre-treatment. These results suggest that hAFSCs could suppress TLR signaling in the spleen in a multimodal way. Furthermore, hAFSCs generally suppressed the expression of inflammatory genes in macrophages in the spleen, which are considered important regulators of the innate immune response in neonates [3–6]. Thus, the spleen plays central roles in regulating immune responses in the whole body after local immunomodulation via peritoneal macrophage.

The timing of hAFSCs transplantation may be important for interpreting our findings. In contrast to the previous reports on MSC treatment in an adult sepsis model [7, 11], in our study, beneficial effects were only observed when hAFSCs were administered before LPS exposure (Table 1). This suggests that the pre-formation of cellular aggregates of hAFSCs and macrophages in the peritoneal cavity might be key to the therapeutic effect, and thus, appropriate timing of hAFSCs administration is critical. From an obstetrician’s point of view, neonatal sepsis frequently occurs in premature newborns following preterm premature rupture of the membranes during pregnancy [51]. If the amniotic fluid could be collected during the preterm premature rupture of the membranes or amniocentesis, autologous hAFSCs could be prepared and administered as “preemptive therapy” before neonatal sepsis develops in high-risk pregnancies.

Conclusions
In conclusion, this study demonstrated that prophylactic therapy with hAFSCs improved survival in an LPS-induced neonatal sepsis model. These effects might be mediated by local adaptation via peritoneal macrophages, induced by hAFSCs could act in a cell-cell contact-dependent or contact-independent manner, and the subsequent immune modulation of the spleen. These results suggest that prophylactic therapy with hAFSCs could have therapeutic potential for neonatal sepsis.

Supplementary information

Additional file 1: Figure S1. Culture, surface marker expression, and differentiation potential of human amniotic fluid stem cells (hAFSCs).

Additional file 2: Figure S2. hAFSCs derived from two donors had similar therapeutic effects in vivo and in vitro.

Additional file 3: Figure S3. hAFSCs alone did not increase TNF-α in serum in rats.

Additional file 4: Figure S4. Supportive information related to macrophage phenotypic switch from M1 to M2 in cell-cell contact-independent/dependent manner at the transcriptional level.

Additional file 5: Figure S5. Toll-like receptor signaling pathway.

Additional file 6: Figure S6. The cytokines and inflammatory response pathway.

Additional file 7: Table S1. List of antibodies used for flow cytometry in this study. Table S2. List of primer sequences used for RT-qPCR in this study. Table S3. List of antibodies used for immunohistochemistry in this study.

Abbreviations
hAFSCs: Human amniotic fluid stem cells; LPS: Lipopolysaccharide; MSCs: Mesenchymal stem cells; α-MEM: Alpha modified Eagle medium minimal essential medium; FBS: Fetal bovine serum; RT-PCR: Real-time polymerase chain reaction; SD: Sprague Dawley; i.p.: Intraperitoneal; Tnf: Tumor necrosis factor; IFN: Interferon; IL: Interleukin; AST: Aspartate aminotransferase; ALT: Alanine aminotransferase; ELISA: Enzyme-linked immunosorbent assay; H&E: Hematoxylin-eosin; PAS: Periodic acid-Schiff; GFAP: Anti-glial fibrillary acidic protein; Iba-1: Ionized calcium-binding adapter molecule 1; MPO: Myeloperoxidase; RAC: Radial alveolar count; MLI: Mean linear intercepts; DAPI: 4′,6-Diamidino-2-phenylindole; DAB: Diaminobenzidine; DO: Donor; MT: Mouse; MPO: Myeloperoxidase; RAC: Radial alveolar count; MLI: Mean linear intercepts; PCA: Principal component analysis; TLR: Toll-like receptor

Acknowledgements
Not applicable.

Authors’ contributions
YS: conception and design, provision of study material or patients, collection and/or assembly of data, data analysis and interpretation, manuscript writing. DO and MT: conception and design, administrative support, collection and/or assembly of data, data analysis and interpretation, manuscript writing. MF and SI: provision of study material or patients, collection and/or assembly of data, data analysis and interpretation, manuscript writing. MS, Y Kanai: collection and/or assembly of data, data analysis, and interpretation. Y Kasuga: provision of study material or patients, data analysis, and interpretation. All authors read and approved the final manuscript.

Funding
This work was supported by JSPS KAKENHI grant numbers JP16K15536, JP17H04236, JP18K15730, and JP19K22602.

Availability of data and materials
All data generated or analyzed during this study are included in this published article.

Ethics approval and consent to participate
All experimental protocols were approved by the Institutional Review Board of Keio University School of Medicine (no. 2014028S), and informed consent was obtained from all the volunteer donors. All animal experiments were approved by the Animal Committee of Keio University (no. 18003-3).

Consent for publication
Not applicable.

Competing interests
The authors declare that they have no competing interests.
Author details
1. Department of Obstetrics and Gynecology, Keio University School of Medicine, 35, Shinanomachi Shinjyukuku, Tokyo 160-8582, Japan.
2. Department of Pathology, Keio University School of Medicine, Tokyo, Japan.

Received: 3 April 2020 Revised: 6 June 2020
Accepted: 3 July 2020 Published online: 20 July 2020

References
1. Shane AL, Sanchez PJ, Stoll BJ. Neonatal sepsis. Lancet. 2017;390(10104):1770–80.
2. Schuller SS, Kramer BW, Villamor E, Spittler A, Berger A, Levy O. Immunomodulation to prevent or treat neonatal sepsis: past, present, and future. Front Pediatr. 2018;6:199.
3. Winterberg T, Vieten G, Meier T, Yu Y, Busse M, Hennig C, et al. Distinct phenotypic features of neonatal murine macrophages. Eur J Immunol. 2015;45(1):214–24.
4. Kollmann TR, Crabtree J, Rein-Weston A, Blimkie D, Thommai F, Wang XY, et al. Neonatal innate TLR-mediated responses are distinct from those of adults. J Immunol (Baltimore, MD : 1950). 2009;183(11):7150–60.
5. Wynn TL, Levy O. Role of innate host defenses in susceptibility to early-onset neonatal sepsis. Clin Perinatol. 2010;37(3):307–17.
6. Zhao J, Kim KD, Yang X, Auh S, Fu YX, Tang H. Hyper innate responses in neonates lead to increased morbidity and mortality after infection. Proc Natl Acad Sci U S A. 2008;105(21):7528–33.
7. Larove C, Gubot S, Reppell L, Bensoussan D. Concise review: mesenchymal stromal/stem cells: a new treatment for sepsis and septic shock? Stem Cells Dev. 2017;25(12):2331–9.
8. Sala E, Genua M, Pettli L, Anselmo A, Arena V, Cibella J, et al. Mesenchymal stem cells reduce colitis in mice via release of TSG6, independently of their localization to the intestine. Gastroenterology. 2015;149(1):163–76 e20.
9. Nemeth K, Leelahavanichkul A, Yuen PS, Mayer B, Parmelee A, Doli K, et al. Bone marrow stromal cells attenuate sepsis via prostaglandin E2-dependent reprogramming of host macrophages to increase their interleukin-10 production. Nat Med. 2009;15(1):42–8.
10. Mei SH, Haitsma JJ, Dos Santos CC, Deng Y, Lai PF, Slutsky AS, et al. Bone marrow mesenchymal stromal cells attenuate organ injury induced by LPS and burn. Cell Transplant. 2010;19(6):823–30.
11. Lombardo E, van der Poll T, DelaRosa O, Dalemans W. Mesenchymal stem cells as a therapeutic tool to treat sepsis. World J Stem Cells. 2015;7(2):368–79.
12. Liu J, Kim KD, Yang X, Auh S, Fu YX, Tang H. Hyper innate responses in neonates lead to increased morbidity and mortality after infection. Proc Natl Acad Sci U S A. 2008;105(21):7528–33.
13. Perle D, van Vught LA, Sciurica BP, Maag A, Lutter R, Kemper EM, et al. Intravenous infusion of human adipose mesenchymal stem cells modifies the host response to lipopolysaccharide in humans: a randomized, single-blind, parallel group, placebo controlled trial. Stem Cells Dev. 2018;26(11):1778–88.
14. McIntyre LA, Stewart DJ, Mei SH, Courtman D, Watpool I, Granton J, et al. Cellular Immunotherapy for septic shock. A phase I clinical trial. Am J Respir Crit Care Med. 2018;197(3):337–47.
15. ZHU Y, XU L, COLLINS J, YU S, CHEN C, ZHANG J, et al. Human umbilical cord mesenchymal stromal cells improve survival and bacterial clearance in neonatal sepsis in rats. Stem Cells Dev. 2017;26(14):1054–64.
16. Loukogeorgakis SP, De Coppi P. Concise review: amniotic fluid stem cells: therapeutic potential for perinatal patients with intractable neurological disease. Keio J Med. 2018;67(4):57–66.
17. Ochiai D, Masuda H, Abe Y, Fukutake M, Matsumoto T, et al. Human amniotic fluid stem cells: therapeutic potential for perinatal patients with intractable neurological disease. Keio J Med. 2018;67(4):57–66.
18. Ochiai D, Chai D, Masuda H, Abe Y, Sato Y, Otani T, et al. Human amniotic fluid stem cells have a unique potential to accelerate cutaneous wound healing with reduced fibrotic scarring like a fetus. Hum Cell. 2019;32(1):51–63.
19. Canani M, De Coppi P. CD117(+) amniotic fluid stem cells: state of the art and future perspectives. Organogenesis. 2012;8(3):77–88.
20. Bertling F, Bendix I, DrommelSchmidt K, Winiewski HG, Felderhofer-Mueser U, Keller M, et al. Tumor necrosis factor-inducible gene 6 protein: a novel neuroprotective factor against inflammation-induced developmental brain injury. Exp Neurol. 2016;279:283–9.
21. Ouh H, Zhao S, Peng Y, Xiao W, Wang Q, Liu H, et al. Comparison of bone marrow tissue- and adipose tissue-derived mesenchymal stem cells in the treatment of sepsis in a murine model of lipopolysaccharide-induced sepsis. Mol Med Rep. 2016;14(4):3862–70.
22. Yagi H, Soto-Gutierrez A, Kiragawa Y, Tilles AW, Tompkins RG, Yarmush ML. Bone marrow mesenchymal stromal cells attenuate organ injury induced by LPS and burn. Cell Transplant. 2010;19(6):823–30.
23. DrommelSchmidt K, Sardar M, Bendix I, Herz J, Bertling F, Prager S, et al. Mesenchymal stem cell-derived extracellular vesicles ameliorate inflammation-induced preterm brain injury. Brain Behav Immun. 2017;60:220–32.
24. Yagi H, Soto-Gutierrez A, Navarro-Alvarez N, Nahmais Y, Goldwasser Y, Kiragawa Y, et al. Reactive bone marrow stromal cells attenuate systemic inflammation via sTNFR1. Mol Ther. 2010;18(10):1857–64.
25. Menden HL, Xia S, Mabry SM, Navarro A, Nyp MF, Sampath V. Nicotinamide adenine dinucleotide phosphate oxidase 2 regulates LPS-induced inflammation and alveolar remodeling in the developing lung. Am J Respir Cell Mol Biol. 2016;55(8):67–78.
26. Kunig AM, Balaburamani W, Markham NE, Seedorf G, Gien J, Abman SH. Recombinant human VEGF treatment transiently increases lung edema but enhances lung structure after neonatal hyperoxia. Am J Physiol Lung Cell Physiol. 2006;291(5):L1068–78.
27. Crowley G, Kwon S, Caraher EJ, Haider SH, Lam R, Batra P, et al. Quantitative lung morphology: semi-automated measurement of mean linear intercept. BMC Pulmonary Medicine. 2019;19(1):206.
28. Sugiyama Y, Sato Y, Kitaie Y, Suzuki T, Kondo T, Mikrogeorgiou A, et al. Intravenous administration of bone marrow-derived mesenchymal stem cell, but not adipose tissue-derived stem cell, ameliorated the Neonatal Hypoxic-Ishemic Brain Injury by Changing Cerebral Inflammatory State in Rat Neonate. 2018;9:757.
29. Maggini J, Mirkin G, Bognanni I, Holmberg J, Piazzon IM, Nepomnaschy I, et al. Protective immunity and defects in the neonatal and elderly immune response to sepsis. J Immunol. 2014;192(7):3156–65.
30. Liu J, Chen B, Bao J, Zhang Y, Lei L, Yan F. Macrophage polarization in periodontal ligament stem cells enhanced periodontal regeneration. Stem Cell Res Ther. 2019;10(1):1320.
31. Bronte V, Pittet MJ. The spleen in local and systemic regulation of immunity. Immunity. 2013;39(5):806–18.
32. Fujikawa K, Katsal F, Zhao H, Wong RJ, Stevenson DK. Heme oxygenase-1 deficiency promotes severity of sepsis in a non-surgical preterm mouse model. Pediatr Res. 2018;84(1):139–45.
33. Fujikawa K, Katsal F, Zhao H, Lu S, Wong S, Wong RJ, et al. Induction of heme oxygenase-1 attenuates the severity of sepsis in a non-surgical preterm mouse model. Shock. 2017;47(2):242–50.
34. Corcelli M, Hawkins K, Vlahova F, Hunjan A, Bowding K, De Coppi P, et al. Neuroprotection of the hypoxic-ischemic mouse brain by human CD11+CD90(+)CD105(+) amniotic fluid stem cells. Sci Rep. 2018;8(1):2425.
35. Stenson WF. Preventing necrotizing enterocolitis with amniotic fluid stem cells. J Pediatr. 2014;163(2):218–42.
36. Grisafi D, Pozzobon M, Dedja A, Vanzo V, Tomanin R, Porzionato A, et al. Human amniotic fluid stem cells protect rat lungs exposed to moderate hyperoxia. Pediatr Pulmonol. 2013;48(11):1070–8.
aggregates with mouse immune cells and attach to peritoneal organs.

Stem Cell Res Ther. 2016;7:27.

42. Song WJ, Li Q, Ryu MO, Ahn JO, Bhang DH, Jung YC, et al. TSG-6 released from intraperitoneally injected canine adipose tissue-derived mesenchymal stem cells ameliorate inflammatory bowel disease by inducing M2 macrophage switch in mice. Stem Cell Res Ther. 2018;9(1):91.

43. Gupta N, Su X, Popov B, Lee JW, Serikov V, Matthay MA. Intrapulmonary delivery of bone marrow-derived mesenchymal stem cells improves survival and attenuates endotoxin-induced acute lung injury in mice. J Immunol. 2007;179(3):1853–63.

44. Huang Q, Yang Y, Luo C, Wen Y, Liu R, Li S, et al. An efficient protocol to generate placental chorionic plate-derived mesenchymal stem cells with superior proliferative and immunomodulatory properties. Stem Cell Res Ther. 2019;10(1):301.

45. Bartosh TJ, Ylöstalo JH, Bazhanov N, Kuhlman J, Prockop DJ. Dynamic compaction of human mesenchymal stem/precursor cells into spheres self-activates caspase-dependent IL1 signaling to enhance secretion of modulators of inflammation and immunity (PGE2, TSG6, and STC1). Stem cells (Dayton, Ohio). 2013;31(11):2443–56.

46. Bartosh TJ, Ylöstalo JH, Mohammadipoor A, Bazhanov N, Coble K, Claypool K, et al. Aggregation of human mesenchymal stromal cells (MSCs) into 3D spheroids enhances their antiinflammatory properties. Proc Natl Acad Sci U S A. 2010;107(31):13724–9.

47. Condor JM, Rodrigues CE, Sousa Moreira R, Canale D, Volpini RA, Shimizu MH, et al. Treatment with human Wharton’s jelly-derived mesenchymal stem cells attenuates sepsis-induced kidney injury, liver injury, and endothelial dysfunction. Stem Cells Transl Med. 2016;5(8):1048–57.

48. Qin S, Wang H, Yuan R, Li H, Ochani M, Ochani K, et al. Role of HMGB1 in apoptosis-mediated sepsis lethality. J Exp Med. 2006;203(7):1637–42.

49. Rittirsch D, Flierl MA, Ward PA. Harmful molecular mechanisms in sepsis. Nat Rev Immunol. 2008;8(10):776–87.

50. Manukyan MC, Weil BR, Wang Y, Abarbanell AM, Herrmann JL, Poynter JA, et al. Female stem cells are superior to males in preserving myocardial function following endotoxemia. Am J Physiol Regul Integr Comp Physiol. 2011;300(6):R1506–14.

51. Kim CJ, Romero R, Chaemsaithong P, Chalyasit N, Yoon BH, Kim YM. Acute chorioamnionitis and funisitis: definition, pathologic features, and clinical significance. Am J Obstet Gynecol. 2015;213(4 Suppl):S20–29.

Publisher’s Note
Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.