The Role of Defects in the Local Reaction Kinetics of CO Oxidation on Low-Index Pd Surfaces

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ABSTRACT: The role of artificially created defects and steps in the local reaction kinetics of CO oxidation on the individual domains of a polycrystalline Pd foil was studied by photoemission electron microscopy (PEEM), mass spectroscopy (MS), and scanning tunneling microscopy (STM). The defects and steps were created by STM-controlled Ar⁺ sputtering and the novel PEEM-based approach allowed the simultaneous determination of local kinetic phase transitions on differently oriented μm-sized grains of a polycrystalline sample. The independent (single-crystal-like) reaction behavior of the individual Pd(hkl) domains in the 10⁻³ mbar pressure range changes upon Ar⁺ sputtering to a correlated reaction behavior, and the reaction fronts propagate unhindered across the grain boundaries. The defect-rich surface shows also a significantly higher CO tolerance as reflected by the shift of both the global (MS-measured) and the local (PEEM-measured) kinetic diagrams toward higher CO pressure.

INTRODUCTION

The structure dependence of the reaction rates and the selectivity of heterogeneous catalyzed reactions, as well as the related identification of catalytically “active sites”, are among the most discussed topics since the early days of catalysis.¹,² Atomic steps and surface defects are often considered to be crucial for heterogeneous catalysis³–⁶ since these particular active sites strengthen the reactant-surface binding⁷ and thus also facilitate bond formation and breaking.⁸

With respect to the CO oxidation reaction on platinum-group metal surfaces, structure sensitivity has been mainly studied by comparing the reaction kinetics on differently structured single crystal surfaces, measured in independent experiments.⁹–¹² Despite the obvious drawbacks of such measurements caused by the difficulties to keep the conditions and control parameters exactly the same in separate experiments, important findings, for example, for Pd surfaces, such as significant differences of the CO coverage on differently oriented planes under identical experimental conditions,⁹ high structure sensitivity at low coverages, and low sensitivity to the surface orientation at medium or high coverages,¹⁰ and significant dependence of the rate of CO oxidation on the structure-dependent oxygen bond energy were obtained.¹¹

For studying the role of atomic steps and defects, basically two types of approaches are usually utilized: (i) either the reaction is studied on vicinal surfaces exhibiting regular steps such as Pt(335)¹³ and Pt(332)¹⁴ or (ii) the surface steps and defect sites are intentionally created, for example, by sputtering or laser radiation.¹⁵ Ar⁺ ion sputtering is a suitable method to create artificial roughness, since the energy of Ar⁺ ions, the Ar⁺ ion dose, and the temperature during sputtering allow a flexible variation of the surface topography. For example, the artificial creation of defects by Ar⁺ ion sputtering on a Rh surface oxide grown on Rh(111) significantly increased the number of nuclei for oxide reduction by H₂ (i.e., reduction was facilitated by roughness).¹⁶ Nevertheless, if the effect of ion bombardment of various low-index surfaces is examined in separate experiments, the problem of experimental deviations remains.

Recently, we have developed a photoemission electron microscopy (PEEM)-based approach that allows one to study the local reaction kinetics of CO oxidation in situ on individual differently oriented micrometer-sized grains of a polycrystalline metal foil.¹⁷–¹⁹ Owing to the parallel imaging principle of PEEM, the local kinetic information is obtained simultaneously for all micrograins in the field of view (ca. 500 μm) under exactly the same conditions. If this is extended to the case of Ar⁺ ion sputtering, all domains of the polycrystalline sample surface are subject to identical ion impact, and the parallel analyzing method PEEM thus provides a possibility to compare the local kinetics of differently oriented, but similarly Ar⁺-“modified” surfaces.

In the present contribution we exploit this possibility, applying digital analysis of PEEM video-sequences recorded in situ during the CO oxidation reaction to obtain local kinetic
information from the smooth (sputtered and annealed) and atomically rough (additionally sputtered) surface of individual Pd(hkl) domains of a polycrystalline Pd foil. The degree of the surface modification caused by Ar+ ion impact was estimated by scanning tunneling microscopy (STM) and the global (average) kinetics of the CO oxidation reaction was monitored by mass spectrometry (MS).

## EXPERIMENTAL SECTION

The experiments were performed in a ultra-high-vacuum (UHV) system consisting of two independently operated chambers connected with each other by a sample transfer line, thus allowing a common reactive gas atmosphere in the 10^-4 to 10^-6 mbar range. The "microscopy" chamber is equipped with a photoemission electron microscope (PEEM, Staib instruments), a LEED system (Omicron), a high purity gas supply system (O2: 99.999%, CO: 99.97%) and sample preparation facilities for cleaning the sample by argon ion sputtering and annealing. The "spectroscopy" chamber is equipped with an XPS-system (Phoibos 100 hemispherical energy analyzer and XR 50 twin anode X-ray source, SPECS). The details of the experimental setup are described elsewhere.20

The investigated sample was a polycrystalline Pd foil (AlfaAesar, 99.9% purity) which consisted of 50–100 μm large grains of different crystallographic orientation formed after heating the sample for several hours at 1100 K in UHV. A standard UHV cleaning procedure, namely Ar+ ion sputtering (Ar pressure 10^-5 mbar, Ekin = 1 keV), annealing at 1073 K and subsequent heating at 523 K in 5 × 10^-7 mbar oxygen atmosphere, was performed before each measurement. The sample temperature was measured by a NiCr/Ni thermocouple spot-welded to the front side of the Pd sample.

The idea of the experimental approach is illustrated in Figure 1. The global CO2 formation rate in the CO oxidation reaction, originating from all grains of the Pd foil (Figure 1a), is monitored by QMS yielding the global reaction kinetics of this reaction on the whole sample (Figure 1b). Simultaneously, PEEM is applied to visualize the reaction in situ. The contrast of a PEEM image which is formed by photoelectrons, emitted from the surface upon UV light illumination, is attributed to the local work function variations across the sample. These variations are sufficient to monitor the CO oxidation reaction. The digital analysis of the recorded video sequences allows one to follow the reaction on the individual grains of the polycrystalline sample and to obtain local kinetic transitions on specific domains (Figure 1c).

The identification of different grains of a Pd foil by local PEEM image contrast is a challenging task because of the rather small work-function differences of individual orientations. Therefore, electron backscatter diffraction (EBSD) has been applied to determine the crystallographic orientation of the individual grains and to confirm the orientation of those low index facets which was primarily determined by work function analysis by PEEM.

Particular attention was paid to the possibility of palladium oxide formation during the CO oxidation; the possibility to keep a common reactive gas atmosphere in the PEEM- and the XPS-chamber allowed us to perform XPS-measurements under the conditions of the CO oxidation reaction (in the 10^-6 mbar pressure range). We have not observed any formation of a palladium bulk or surface oxide under the present conditions.

EBSD measurements have been carried out independently in a scanning electron microscope (FEI, Quanta 200F) equipped with an EBSD detector that allows recording of the Kikuchi bands, which provide the EBSD pattern of a given sample spot. Figure 2 shows the resulting orientation map and a comparison with the PEEM image of a clean sample surface. As can be seen from Figure 2, the EBSD results are in perfect agreement with the independent work function analysis by PEEM. The advantage of EBSD is that one can identify also higher Miller index orientations such as, for example, (210) which are often present on the surface, but can hardly be identified on the basis of sole work function measurements. Owing to the unique shape of the individual domains, the domains identified by EBSD can be easily located and visually recognized by PEEM, and the chosen domain of the sample surface can be placed in front of the PEEM lens by the movable sample holder.

The STM experiments on the Pd(111) single crystal have been carried out in a separate UHV system with a base pressure below 10^-10 mbar in both the preparation and analysis chambers, using the customized commercial STM (Omicron μ-STM) as described elsewhere.21

Figure 1, Scheme of the experiment. (a) PEEM image of the polycrystalline Pd sample during the CO oxidation reaction (T = 449 K, pCO = 1.4 × 10^-5 mbar, pO2 = 1.3 × 10^-5 mbar). (b) Global hysteresis in the overall CO2 reaction rate measured during a cycle of CO pressure variation at constant T = 449 K and pO2 = 1.3 × 10^-5 mbar by MS for the whole sample. (c) Local hysteresis as obtained from a corresponding PEEM video sequence for the Pd(110) domain indicated in part a; acquired simultaneously with the MS-measurement in part b.

Figure 2, Orientation of the particular surface domains for a chosen field of view of the polycrystalline Pd foil: (a) as identified by work function differences in PEEM; (b) the same, but by EBSD. Note the inverse pole figure assigning the corresponding directions.
RESULTS AND DISCUSSION

Using the above-mentioned combined PEEM–MS approach, a systematic set of kinetic measurements for the CO oxidation reaction on the polycrystalline Pd foil was performed, upon which both the CO$_2$ reaction rate and the video-PEEM data were recorded simultaneously during a cyclic variation of the CO partial pressure in the range of $\sim 10^{-8}$ mbar to $\sim 10^{-4}$ mbar at constant oxygen pressure ($p_{O_2} = 1.3 \times 10^{-5}$ mbar) and different constant temperatures in the range of 405 to 513 K. Both the global CO$_2$ reaction rate, produced by the entire sample, and the local PEEM intensities of the individual grains exhibit a pronounced hysteresis (see Figure 1b,c).

The observed hysteresis characterizes the bistability in the CO oxidation reaction as an inherent property of a reaction between a strongly bound monomer (CO) and a dimer (O$_2$) with different adsorption kinetics: O$_2$ dissociation is blocked on a densely CO-covered surface, while CO can still adsorb and react on a surface with saturation coverage of adsorbed oxygen. Thus, in a range of reaction conditions two states can remain present, as an inherent property of a monomer–dimer Langmuir systems and is limited only by the single adsorption kinetics: O$_2$ dissociation is blocked on a densely CO-covered surface, while CO can still adsorb and react on a surface with saturation coverage of adsorbed oxygen. Thus, in a range of reaction conditions two states can react on a surface with saturation coverage of adsorbed oxygen.

In particular, the pioneering work of Zi, Gulari, and Barshad should be mentioned because of admitting spatial inhomogeneities usually averaged in mean field considerations. Studies on microscopically sized surfaces like nanofacets of a Pt field emitter tip or clusters have demonstrated that the bistability remains present, as an inherent property of a monomer–dimer Langmuir–Hinshelwood reaction, also in nanosized reaction systems and is limited only by fluctuation-induced effects.

It has been shown that the bistability and kinetic transitions from, for example, active to inactive state have formal similarities to equilibrium phase transitions. As already noticed by Schlögl in the 1970s, in both equilibrium and non-equilibrium phase transitions, a crucial role is played by cooperative phenomena forming, for example, ordered structures in an equilibrium, and self-organizing dissipative structures in a nonequilibrium situation. The coverage of the reactants plays here the role of the order parameter in a phase transition, and the point where bistability sets in corresponds to the critical point (bifurcation).

The transitions from the active to the inactive state and vice versa are called kinetic transition points $\tau_A$ and $\tau_B$, respectively (see Figure 1b,c). This means that the behavior of the reaction system changes qualitatively when some externally controlled parameters such as temperature or pressure pass a certain value.

Plotting the $p_{CO}$ of $\tau_A$ and $\tau_B$, which were determined from the $R_{CO}$ hysteresis curves (see Figure 1b), as a function of the reciprocal temperature, yields the so-called kinetic phase diagram, which is presented for the polycrystalline Pd foil in Figure 3. Such a diagram summarizes effectively the steady states of high reactivity and low reactivity as well as the region of bistability and represents the catalytic properties of the entire sample in a wide range of $p_{CO}$ and $T$ at constant $p_{O_2}$. Since the transition points depend not only on external parameters as the temperature, but naturally also on intrinsic properties of the studied system such as the crystallographic orientation or the surface structure (roughness), such a diagram characterizing the particular system is well suited to compare different reaction systems and to reveal the influence of different factors such as promoters.

The main goal of the present study was to compare the catalytic properties of the nearly ideal, that is, sputtered and annealed Pd(111) surfaces with the same surfaces that were artificially modified by additional Ar$^+$ sputtering. In the global kinetic diagrams of the smooth and the sputtered Pd foil, a remarkable difference is apparent (see Figure 3): the diagram for the sputtered foil (red symbols in Figure 3) is shifted significantly toward higher CO pressure, as compared to that of the smooth surface. This indicates that CO oxidation on the sputtered Pd foil is inhibited by CO poisoning only at a considerably higher CO partial pressure and the sputtered surface is also reactivated at a higher CO pressure than the annealed Pd foil. In other words, the defect-rich sputtered sample is much more tolerant toward CO poisoning than the smooth surface.

To explain these observations, STM has been applied to image both a smooth and an additionally sputtered Pd(111) single crystal surface which was subjected to Ar$^+$ sputtering under the same conditions as the Pd foil ($E_{kin} = 1$ keV, ion dose $2 \times 10^{16}$ cm$^{-2}$, sample at room temperature). The corresponding STM images are shown in Figures 4a,b. The freshly prepared (sputtered and annealed) Pd(111) surface exhibits well-defined smooth terraces with a typical terrace width of 20–30 nm (Figure 4a). The situation changes strongly upon sputtering (Figure 4b): ion bombardment of the surface leads to formation of three-dimensional islands, with a very high density of steps and edges. The uppermost terraces of the mounds have diameters of 2–3 nm, and the slope angles are between 10° and 20°, corresponding to terrace widths of 0.6 to 1.2 nm. This means that about one-quarter of all surface Pd atoms are step or kink atoms. With the in-plane lattice constant of the Pd(111) surface of 2.74 Å the surface corresponds to a mixture of high-index surfaces with 2–6 atom wide (111)
terracettes and [100]- and [110]-directed steps, but with an additional very high amount of kink atoms.

It is known that the binding energy of oxygen is considerably higher at low-coordination defect sites than on flat terraces of Pd; that is, atomic oxygen binds more strongly to a defect-rich Pd surface. Although the CO binding energy is also altered on such defect sites on the Pd surface, the impact on the CO adsorption kinetics appears to be rather small when compared to oxygen. Since the energetics governs the kinetics of the competitive CO and oxygen coadsorption, this explains why on the sputtered Pd surface higher CO pressure is required to poison the surface. The higher CO pressure values at which reactivation of the sputtered Pd surface occurs, that is, the reverse transition \( \tau_B \), can be directly explained by the adsorption kinetics rather than the energetics. Owing to the high step and defect density, more adsorption sites for oxygen adsorption on a mainly CO-covered surface are available, the sticking probability for oxygen adsorption is considerably higher, and, therefore, the reactivation occurs at a higher CO-to-oxygen pressure ratio than on the smooth surface.

Although an illustration of the global catalytic properties such as in Figure 3 is useful, it does not provide any local information about the properties of the individual grains due to the averaging character of the measurement method (MS). In turn, PEEM provides the laterally resolved data. Since the PEEM images and the EBSD scan revealed that the sample consists of many grains with differing crystallographic orientation it could be expected that the effect of sputtering on the catalytic behavior of the individual (hkl) domains could be different (due to the different initial atomic configurations of the grains). As mentioned, the analysis of the local PEEM-intensities for selected individual crystalline grains allows the acquisition of the local hysteresis curves (Figure 1c) which result in turn in the local kinetic phase diagrams as shown in Figure 5 for the four chosen (110), (210), (100), and (111)-oriented domains of the smooth Pd surface. As expected for different orientations, the CO-tolerance and the ability for reactivation are clearly related to the atomic structure: whereas the local kinetic phase diagram of the most open structure among the four studied orientations, that is, the (110) domain, is located at the highest CO-to-oxygen pressure ratio, the corresponding diagram of the closest-packed (111) domain is situated at the lowest value of the pressure ratio. In turn, the local kinetic phase diagram of the (210) domain with a surface consisting of (100) terraces and (110) steps, lies exactly between the diagrams of the (110) and the (100) domains.

Before discussing the local PEEM data, we note that the work function of Pd(hkl) surfaces increases upon CO adsorption by up to 1.2 eV. Adsorption of oxygen, in contrast, causes an increase in the Pd(hkl) work function values by up to 0.8 eV. Thus, the regions covered by oxygen appear brighter than the CO-covered regions (in contrary to Pt surfaces where the contrast is reversed)

The PEEM frames 1–3 in Figure 5, corresponding to CO pressures of 5.4 × 10⁻⁶ mbar, 4.3 × 10⁻⁶ mbar, and 4.0 × 10⁻⁶ mbar, illustrate the transition \( \tau_B \) from the inactive steady state (CO-covered, dark contrast) to the active steady state (oxygen-covered, bright contrast) at 405 K. From scan from 5.4 × 10⁻⁶ mbar to 4.0 × 10⁻⁶ mbar. (b) PEEM images illustrating the independency of the domains in the CO oxidation reaction: the reaction fronts are stopped at the grain boundaries, no coupling between differently oriented grains was observed under the present conditions.

Apparently, the individual domains behave quasi-independent within the complex neighborhood of other differently oriented grains, at least under the current reaction conditions. Such independent single-crystal-like reaction behavior of the individual grains can be understood by considering possible mechanisms of reactive synchronization (coupling) between the different surface regions: at small (nanometer-sized) length scales, diffusion coupling was made responsible for the
synchronization of local transitions.\textsuperscript{33} At pressures higher than \(10^{-4} \text{ mbar}\), gas-phase coupling provides the synchronization over macroscopic samples,\textsuperscript{46,50} and near atmospheric pressure, heat transfer contributes significantly or even dominates spatial coupling.\textsuperscript{51,52} Evidently, under the present pressure conditions, the micrometer size of the individual grains is not sufficient to synchronize the kinetic transitions on differently oriented grains via fast CO and oxygen consumption from the gas phase. On the other hand, the grain boundaries seem to hinder effectively the diffusion coupling (frames 1–3 in Figure 5).

Figure 6 illustrates the change of the local reaction properties of the individual domains upon Ar\textsuperscript{+} sputtering, showing the local kinetic phase diagrams of the same (110)-, (100)-, and (111)-oriented domains as in Figure 5, but measured after local kinetic phase diagrams of the annealed Pd foil. PEEM-frames 1–3: transition \(\tau_B\) at 405 K on the annealed Pd foil, at constant \(p_\text{CO} = 1.3 \times 10^{-5} \text{ mbar}\) and different constant temperatures. The local kinetic phase diagrams of the sputtered surface are shifted together and toward higher CO pressures, as compared to the local kinetic phase diagrams of the annealed sample (see Figure 5a). PEEM-frames 1–3 illustrate the propagation of the reaction fronts across the grain boundaries. The independency of the individual domains is lifted.

The PEEM frames 1–3 in Figure 6a, recorded within a few seconds at a CO partial pressure of \(5.9 \times 10^{-5} \text{ mbar}\), showing the transition \(\tau_B\) at the same temperature of 405 K as in Figure 5, but on the additionally sputtered sample, confirm this suggestion: The bright oxygen fronts do not stop at the grain boundaries and propagate almost instantaneously across the entire foil. It is clear that on the sputtered Pd foil the differences in the local reaction kinetics are reduced by sputtering, due to the similarly damaged structure of the different domains. Thus, the reaction fronts propagate across the grain boundaries, because the conditions required for the kinetic transition are similar on the now similarly structured neighboring grains. Also, the grain boundaries do not serve as nucleation centers for the reaction fronts anymore, which can be clearly seen by a comparison of the magnified PEEM images of the (110) domain in Figures 5b and 6b. On the additionally sputtered foil, the reaction fronts nucleate primarily in the inner part of the domains rather than at the grain boundaries; that is, the defects on the roughened surface of the sputtered foil are able to nucleate the reaction fronts (Figure 6b). We attribute the nucleation of the reaction fronts at grain boundaries of the unsputtered surface to high-index facets in the grooves separating different grains. In contrast, on the sputtered surface, the local atomic-scale roughness will be high both in the macroscopically flat areas and in the grooves; thus the reaction fronts can start anywhere.

In general, Ar\textsuperscript{+} sputtering at the applied intensity apparently creates a similarly “damaged” (roughened) surface structure on all individual low-index Pd domains, lifting in this way their quasi-independent behavior in the CO oxidation and shifting significantly the individual local kinetic phase diagrams. This should enable future applications with a finely dosed impact of Ar\textsuperscript{+} ions, creating controlled structure disorder and targeted phase diagram shifts as a step toward tailored catalytic properties. Using of this approach to modify in a controlled way bimetallic or oxide-covered surfaces might be also feasible, of course.

\section*{SUMMARY}

The role of artificially created defects and steps in the local reaction kinetics of CO oxidation on low-index Pd surfaces was studied by PEEM, MS, and STM. The reaction kinetics on the smooth (Ar\textsuperscript{+} sputtered and annealed) and defect-rich (additionally Ar\textsuperscript{+} sputtered) Pd(\textit{hkl}) surfaces were directly compared, using the novel PEEM-based approach, allowing the simultaneous determination of local kinetic phase transitions on micrometer-sized grains of a polycrystalline sample.

In the case of the smooth surface, individual Pd(\textit{hkl}) domains behave independently (single-crystal-like) with respect to CO oxidation at the present conditions, that is, in the \(10^{-5} \text{ mbar}\) pressure range. In turn, after sputtering, the presence of plenty of defects lifts the domain independency and leads rather to a correlated reaction behavior: the kinetic phase diagrams of the different grains approach each other and the reaction fronts propagate unhindered across the grain boundaries. Both the global (MS-measured) and the local (PEEM-measured) kinetic phase diagrams shift toward a higher CO pressure (higher CO tolerance) for the defect-rich surface, due to the differently modified oxygen and CO binding energies on the low-coordinated step and defect sites, as compared to the smooth surface. The exact identity of the experimental conditions for the different crystallographic orientations and the parallel principle of the used PEEM-technique, which allow the simultaneous monitoring of dynamic processes on different domains, open interesting perspectives for future applications of this approach.
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