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A Phylogenomic Perspective on the Radiation of Ray-Finned Fishes Based upon Targeted Sequencing of Ultraconserved Elements (UCEs)

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Abstract

Ray-finned fishes constitute the dominant radiation of vertebrates with over 32,000 species. Although molecular phylogenetics has begun to disentangle major evolutionary relationships within this vast section of the Tree of Life, there is no widely available approach for efficiently collecting phylogenetic data within fishes, leaving much of the enormous potential of massively parallel sequencing technologies for resolving major radiations in ray-finned fishes unrealized. Here, we provide a genomic perspective on longstanding questions regarding the diversification of major groups of ray-finned fishes through targeted enrichment of ultraconserved nuclear DNA elements (UCEs) and their flanking sequence. Our workflow efficiently and economically generates data sets that are orders of magnitude larger than those produced by traditional approaches and is well-suited to working with museum specimens. Analysis of the UCE data set recovers a well-supported phylogeny at both shallow and deep time-scales that supports a monophyletic relationship between Amia and Lepisosteus (Holostei) and reveals elopomorphs and then osteoglossomorphs to be the earliest diverging teleost lineages. Our approach additionally reveals that sequence capture of UCE regions and their flanking sequence offers enormous potential for resolving phylogenetic relationships within ray-finned fishes.

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Introduction

The ray-finned fishes (Actinopterygii) constitute the dominant radiation of vertebrates on the planet including more than 32,000 species and equalling or exceeding richness estimates for the combined total of birds, mammals, and reptiles. Despite a long history of systematic study, resolution of phylogenetic relationships within this vast radiation remains an area of active research. Studies based upon traditional morphological and single-gene, PCR-based molecular approaches have succeeded in delineating several major lineages of ray-finned fishes, but conflict over how these lineages are related to one another remains. For example, the earliest morphological studies of ray-finned fishes unite gar (Lepisosteus) with the bowfin (Amia) in the clade Holostei [1] though this clade is not recovered in some later analyses [2,3]. The early branching of teleost lineages has also been historically contentious. Systematists agree on the four earliest-diverging lineages: the osteoglossomorphs (bony-tongues; arawanas, elephant fishes, and allies), the elopomorphs (tarpons, bonefishes, and eels), the ostariochromes (anchovies and herrings, minnows, characins, catfishes, and electric eels), and the ctenoleps (salmons, pikes, lizardfishes, and perch-like fishes). However, there is disagreement over both the relationships among these groups and the basal divergences within ctenoleps. Recent morphological and molecular studies have produced conflicting hypotheses of relationships among these lineages [4,5,7,14]. Morphological analyses alternatively place the osteoglossomorphs [6] or the elopomorphs [7–10] as the sister group to all other teleosts and the remaining lineages sister to the ostariochromorph/euteleost clade. Some molecular analyses place elopomorphs and osteoglossomorphs as the sister group to remaining teleosts [11,12] while others recover a basal divergence between osteoglossomorphs and other teleosts [5,13].

Recently, Near et al. [14] used wide-spread taxonomic sampling, in conjunction with sequence collected from nine commonly used nuclear genes, to provide a more comprehensive phylogenetic hypothesis of relationships among fishes. Their results supported the monophyly of the Holostei, suggesting that the elopomorphs formed the earliest diverging teleost lineage [14], and provided a new timescale for the divergence of ray-finned fishes. Although promising, these new insights into the radiation of actinopterigians relied upon a relatively modest number of genomic markers, and the stability and timing of these relationships encoded throughout the genomes of the target groups remain largely untested. One exception to this statement includes a recent study by Zou et al. [15] that used transcriptome sequences to examine basal divergences within ctenoleps. However, the Zou et al. [15] study did not include several anciently diverging lineages.
(e.g. *Amia*, osteoglossomorphs) informing questions about the early evolution of major groups of ray-finned fishes.

Phylogenomics and next-generation sequencing technologies offer enormous promise for resolving relationships within actinopterygians and other major sections of the Tree of Life. However, revolutions within genomics and informatics have had a surprisingly modest effect on data collection practices within the phylogenetics community: most studies of non-model organisms continue to rely upon direct sequencing of a moderate number of loci, and workflows that do take advantage of massively parallel sequencing platforms remain bottlenecked by cross-species amplification of phylogenetically informative loci. Several alternatives to traditional phylogenetic workflows exist that help to overcome the inefficiencies of gene-based sequencing. One class of these methods is exemplified by the recent work of Zou et al. [15], who used a combination of de novo transcriptome sequencing, existing transcript data, and computational methods to identify 274 orthologous groups from which they inferred the phylogeny of the Actinopterygii. The benefits of their approach include the use of existing, transcript-related data sets (ESTs in GenBank); reasonably well-established data generation methods; and the collection of data from hundreds of loci across the genomes of the focal taxa. Limitations of this approach include reliance on sampling fresh or properly preserved tissues (generally precluding the use of thousands of existing museum samples), dependence of the approach on expression patterns of the tissue sampled, and collection of data from fewer genomic locations than alternative methodologies.

A second class of phylogenomic methods involves sequence capture of nuclear regions flanking and including ultraconserved elements (UCEs) [16]. Rather than sequencing expressed portions of the genome, the UCE-based approach involves enriching organismal DNA libraries for hundreds to thousands of UCEs and their flanking regions; sequencing these libraries using massively parallel sequencing; and assembling, aligning, and analyzing the resulting data using informatic tools. This approach has been successfully used in mammals [17], birds [16,18], and reptiles [19] to generate phylogenomic data sets that contain at least one order of magnitude more characters than those generated using PCR and to resolve historically contentious sections of the Tree of Life [17,19]. The UCE approach differs from transcript-based phylogenomic studies [15] because data collection is independent of expression pattern, researchers can prepare and enrich libraries from existing tissue collections, and UCE loci may be better conserved and more numerous across distantly related taxa [17].

Here, we apply the UCE approach to ray-finned fishes by developing a novel set of sequence capture probes targeting almost 500 UCE regions in ray-finned fishes. We use the UCE data to provide the first phylogenomic perspective based upon widespread sampling of hundreds of markers across the genome on long-standing controversies regarding relationships at the base of the ray-finned fish Tree of Life. These include whether *Leptisosteus* and *Amia* form a monophyletic group (the Holostei [1,20]) and how the major lineages of teleosts, which constitute >99% of ray-finned fishes, are related to one another [4,5,7–10,21,22]. Our results reveal that sequence capture of UCE regions can efficiently and economically generate massive data sets with strong resolving power at both deep and shallow phylogenetic scales within fishes.

**Results and Discussion**

**Probe design, UCE enrichment, and sequencing**

We located 500 UCEs shared among all actinopterygian fishes. The total number of UCEs we found in actinopterygians is smaller than in birds [16] and in mammals [17] which likely reflects both the greater phylogenetic depth spanned by fishes and the paucity of genome-enabled taxa allowing comparisons across this clade. We designed a set of 2,000 capture probes targeting each of these loci (4× tiling). Following enrichment and sequencing, we obtained an average of 2,819,047 reads per species, which we assembled into an average of 665 contigs having an average length of 457 bp (Table 1). After removing contigs that matched no UCEs and UCE loci that matched multiple contigs, we enriched an average of 332 unique contigs matching UCE loci from each species. Average sequencing depth across unique UCE loci was 498X. An average of 55% of assembled contigs (95% CI = 0.10; min = 0.15; max = 0.88) were on-target while an average of 32% of reads were on-target (95% CI = 0.08; min = 0.07; max = 0.62). The variance in the proportion of reads and contigs on-target suggests that input DNA quality, insert length of DNA libraries, and taxonomic distance between the taxon used to design probes and taxa from which we enriched UCEs may play a role in enrichment efficiency. However, the lowest enrichment efficiencies we observed resulted from our removal of duplicated ultraconserved elements that may result from lineage-specific duplication events (e.g., *Salvelinus fontinalis*) [23] prior to computing the proportion of reads and contigs on-target.

We integrated extant genomic data from several fish species to this group of unique UCE contigs, and we constructed 491 alignments (\(x_{\text{length}} = 305\) bp, 95% CI = 16.0) comprising 149,366 characters. After trimming alignment edges and removing taxa with excessively trimmed data, each alignment contained an average of 21 target taxa (95% CI = 0.4; min = 3 taxa; max = 27 taxa). We removed two loci from further consideration because we were unable to estimate site-rate substitution models for these loci due to their short lengths. The resulting incomplete data matrix contained 489 loci (149,246 characters; \(x_{\text{length}} = 305\) bp, 95% CI = 16.0). We used this incomplete data matrix for subsequent analyses with RAxML and MrBayes. After removing loci having missing data for *Polypterus* and *Acipenser*, we input 136 alignments (41,731 characters; \(x_{\text{length}} = 307\) bp, 95% CI = 27.7) to CloudForest for model selection and subsequent species tree estimation using STAR.

**A phylogenomic perspective on the basal radiation of ray-finned fishes**

Maximum likelihood analysis produced a single, completely resolved topology wherein all but two nodes received high (≥0.99) bootstrap proportions and Bayesian posterior probabilities (Fig. 1). This topology provides new insight into several long-standing questions concerning the evolution of ray-finned fishes. Our analysis strongly supports the monophyly of the Holostei (*Amia-Leptisosteus*). This clade is historically controversial because morphological studies alternatively support [1,20] and refute [2,3] the monophyly of this group, while recent molecular studies generally recover the relationship [14,24,25]. Additionally, our analyses do not support prior findings of an “ancient fish clade” including the Holostei+Acipenseriformes as the sister group to the teleosts [25,26]. Rather, our results strongly suggest a traditional relationship in which these lineages form successive sister groups to the teleosts.

Our phylogenomic data provide strong evidence for the placement of elopomorphs as the sister group to all other teleosts and osteoglossomorphs and ostarioclupeomorphs as successive sister lineages to the euteleosts (Fig. 1). Our maximum likelihood topology is strongly incongruent with mitogenomic studies [5,13] but consistent with both a recent analysis of multiple nuclear genes [14] and some of the earliest morphological analyses of the group...
| Scientific name | Common name         | Number of trimmed reads | Contigs assembled | Reads in contigs | UCE contigs | Reads in UCE contigs | Avg. size | Avg. coverage | Contigs on target | Reads on target |
|-----------------|---------------------|-------------------------|-------------------|------------------|-------------|----------------------|-----------|---------------|-------------------|----------------|
| Umbra limi      | central mudminnow   | 2,727,071               | 1109              | 740,079          | 409         | 564,715              | 508.8     | 267.4         | 0.37              | 0.21           |
| Diaphus theta   | California headlightfish | 2,626,413              | 584               | 688,635          | 401         | 604,295              | 502.4     | 299.1         | 0.69              | 0.23           |
| Atracturus striatus | striated frogfish    | 3,724,320              | 474               | 2,462,193        | 418         | 2,310,186            | 649.7     | 850.2         | 0.88              | 0.62           |
| Megalops sp.    | tarpon               | 2,771,805              | 786               | 650,577          | 247         | 231,314              | 485.4     | 191.5         | 0.31              | 0.08           |
| Astyanax fasciatus | banded astyanax     | 2,731,668              | 543               | 1,444,767        | 355         | 1,211,903            | 526.2     | 657.2         | 0.65              | 0.44           |
| Azainthus japonicus | Japan surgeonfish | 2,017,174              | 613               | 1,242,932        | 454         | 1,125,871            | 600.8     | 405.9         | 0.74              | 0.56           |
| Amia calva      | bowfin               | 2,619,643              | 562               | 1,608,614        | 366         | 1,368,091            | 578.9     | 646           | 0.65              | 0.52           |
| Lampris guttatus | opah                | 2,472,439              | 486               | 1,350,852        | 418         | 1,237,650            | 568.7     | 520.2         | 0.86              | 0.50           |
| Acipenser fulvescens | lake sturgeon      | 3,083,152              | 577               | 1,129,829        | 167         | 467,414              | 426.9     | 665.4         | 0.29              | 0.15           |
| Anchoa compressa | deep body anchovy   | 2,617,717              | 533               | 783,323          | 287         | 625,862              | 448.6     | 479.2         | 0.54              | 0.24           |
| Dania enio      | zebrafish            | 2,777,132              | 518               | 1,367,065        | 382         | 1,166,020            | 463.4     | 657.1         | 0.74              | 0.42           |
| Polypterus senegalus | gray bichir      | 3,206,418              | 576               | 873,104          | 294         | 726,100              | 557.6     | 440           | 0.51              | 0.23           |
| Pantodon buchholzi | freshwater butterflyfish | 3,329,691            | 466               | 2,058,929        | 272         | 1,399,286            | 550.4     | 930.5         | 0.58              | 0.42           |
| Spholidon sathele | slender giant moray  | 3,159,269              | 1007              | 448,390          | 277         | 246,758              | 510.6     | 172.9         | 0.28              | 0.08           |
| Osteglossum brichosum | silver arawana  | 2,735,138              | 643               | 1,565,346        | 276         | 813,175              | 467       | 623.9         | 0.43              | 0.30           |
| Salvelinus fontinalis | brook trout       | 2,466,696              | 1118              | 681,684          | 166         | 161,214              | 408.7     | 234.8         | 0.15              | 0.07           |
| Taeniopterus triacanthus | leaf scorpionfish | 3,245,453              | 712               | 1,423,244        | 447         | 1,252,564            | 652.5     | 431.4         | 0.63              | 0.39           |

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Within euteleosts, our results are congruent with recent molecular studies in placing esociforms as the sister to salmoniforms rather than any neoteleost lineages. Within acanthomorphs, the largest clade of euteleosts, UCEs recover several intriguing clades that agree with results from recent molecular phylogenetic studies. These include the African cichlids + medaka (Clade C1, Fig. 1), corresponding to an expanded clade of atherinomorphs suggested by recent studies; a clade of gasterosteiforms (stickleback) and scorpaeniforms that is congruent with recent molecular and morphological studies; and a clade including surgeonfish, frogfishes, and pufferfishes (acanthuroids, lophiiforms, and tetraodontiforms) corresponding to acanthomorph clade “N” of Dettai and Lecointre. Based upon previous time-calibrated studies and preliminary divergence time analyses of the UCE data set, our results suggest that UCEs provide sufficient phylogenetic signal to resolve splits within haplochromine cichlids that may be less than 5 Ma old as well as the most basal actinopterygian divergences that exceed 400 Ma.

The STAR topology was less resolved than topologies based upon analyses of the concatenated data set (Fig. S1) but recovered largely congruent relationships including a monophyletic Holostei as the sister to other actinopterygians; monophyly of elopomorphs, osteoglossomorphs, ostarioclupeomorphs, and euteleosts; and a successive sister group relationship between ostarioclupeomorphs, Salvelinus + Umbra, and all remaining euteleosts. The species tree switched the position of the Gadiformes, represented by cod (Gadus) and Myctophiformes, represented by Diaphus. This position is not congruent with results from Near et al. but has been suggested by previous molecular studies. Relationships within cichlids are not fully resolved, but we recovered strong support for a clade consisting of Neolamprologus, Haplochromis, and Oreochromis that is not congruent with the concatenated topology (Fig. 1) or with accepted cichlid relationships.
sampling across UCE loci and insufficient resolution of individual gene trees due to the recovery of relatively short contigs. Further refinement of the protocols developed here, including modification of the in vitro transposition reaction to yield longer insert lengths; replacement of transposase-mediated library preparation with physical shearing by sonication and T/A ligation; size-selection of enriched, amplified libraries; deeper sequencing of longer libraries; paired-end reads; and longer sequence read lengths should improve gene-tree species tree reconstruction by increasing the amount of flanking sequence recovered across individual UCEs. Additional optimization of probe-designs,-tiling densities, hybridization conditions, and hybridization reactions should increase the proportion of UCE loci recovered across individual taxa.

Conclusions

Sequence capture of regions anchored by UCEs offers a powerful and efficient means of generating massive genomic data sets capable of resolving phylogenetic relationships at both deep and shallow scales in non-model organisms. Our UCE-based approach offers several advantages over previous studies that should contribute to the reliability of our topology. These benefits include efficient sampling of sequence data across individual genomes and among divergent taxa, collection of data from an order of magnitude more loci than studies based upon traditionally used genetic markers and almost twice as many loci as transcriptome-based genomic studies [15], validity of the UCE probe set across bony fishes spanning 400 Ma of evolutionary history, and utility of the UCE enrichment approach with tissues collected from museum specimens. Additionally, these data illustrate that biologists can use UCE-based genetic markers to reconstruct the phylogeny of taxa other than amniotes, supporting the observation that UCE-based markers are a universal source of phylogenetically informative characters [16,17].

Availability

Contigs assembled from raw read data are available from NCBI Genbank (Accession #s: JQ271376-JQ272911). Probe data, assembled contigs, alignments, and data sets we used for analysis are available from Dryad (doi: 10.5061/dryad.j015m). Software used for the analysis of raw sequence data are available under an open-source, BSD license from https://github.com/faircloth-lab/phyluce, https://github.com/faircloth-lab/illumiprocessor, and https://github.com/ngcrawford/cloudforest. Protocols for library preparation and UCE enrichment are available under Creative Commons license from http://ultraconserved.org.

Materials and Methods

Ethics statement

All tissues used in this study were either received as loans from the Field Museum, Virginia Institute of Marine Science, or Scripps Institution of Oceanography or collected under IACUC protocols #17611, #12790, and #16956.

We extracted DNA from tissues using phenol-chloroform techniques or DNAeasy kits (Qiagen Inc.), treated extracts with RNase, and followed RNase treatment with column-based cleanup (Qiagen Inc.). We prepared DNA libraries from 18 fish species, including representatives of five acanthomorph orders and two families of perciforms (Table 1), by slightly modifying the Nextera (Epigenome Biotechnologies) library preparation protocol for solution-based target enrichment [16] and increasing the number of PCR cycles following the tagmentation reaction to 20. The Nextera library preparation protocol uses in vitro transposition followed by PCR to shear DNA and attach indexed sequencing adapters [38] rather than relying on physical shearing followed by standard T/A ligation. Transposase-mediated library preparation using the Epicentre Nextera kit produces libraries with insert sizes averaging 100 bp (95% CI: 45 bp) [38]. Following library preparation, we substituted a blocking mix of 500 µM (each) oligos composed of the forward and reverse complements of the Nextera adapters for the Agilent-provided adapter blocking mix (Block #3). We incubated species-specific libraries (500 ng) with synthetic RNA probes from the SureSelect kit for 24 h at 65°C. We followed the standard SureSelect protocol to enrich DNA libraries following hybridization; we eluted clean, enriched DNA in 30 µL of nuclease free water; and we used 15 µL of enriched template in a 50 µL PCR reaction of 20 cycles combining forward, reverse, and indexing primers with Nextera polymerase to add a custom set of 24 indexed adapters [39]. We cleaned PCR reactions using Agencourt AMPure XP. We quantified enriched, indexed libraries using qPCR (Kapa Biosystems), and we prepared two library pools containing 10 libraries at equimolar ratios prior to sequencing.

We sequenced each pool of enriched DNA using two lanes of a single-end 100 bp Illumina Genome Analyzer (GAIIx) run. After sequencing, we trimmed adapter contamination, low quality bases, and sequences containing ambiguous base calls using a pipeline we constructed (https://github.com/faircloth-lab/illumiprocessor). We assembled reads, on a species-by-species basis, into contigs using Velvet [40] and VelvetOptimiser (https://github.com/Victorian-Bioinformatics-Consortium/VelvetOptimiser). Following assembly, we used a software package (https://github.com/faircloth-lab/phyluce) containing a custom Python program (match_contigs_to_probes.py) integrating LASTZ [36] to align species-specific contigs to the set of probes/UCEs we used for enrichment while removing reciprocal and non-reciprocal duplicate hits from the data set. During matching, this program creates
Phylogenetic Analyses extend taxonomic sampling. We used this feature to include UCE existing genome sequences, for the primary purpose of including available data from genome-enabled taxa as outgroups or to extend taxonomic sampling. We used this feature to include UCE loci we identified in the genome sequences of *Gasterosteus aculeatus*, *Haplochromis burtoni*, *Notemigonusichir*; *Oreochromis niloticus*, *Oryzias latipes*, *Pandamulina yonetsu*, *Tetraodon nigroviridis*, *Gadus morhua*, and *Leptocephalus acutus*. After generating the relational database of matches to enriched sequences and genome-enabled taxa, we used additional components of PHY- LUCE (get_match_counts.py) to query the database and generate fasta files for the UCE loci we identified across all taxa. Then, we used a custom Python program (seqcap_align_2.py) to align contigs with MAFFT [41] and trim contigs representing UCEs, in parallel, across the selected taxa prior to phylogenetic analysis [16].

Phylogenetic Analyses

The large number of UCE loci we collected create a vast potential space for partitioning that makes a traditional evaluation of alternative partitioning strategies computationally challenging. As a result, we modeled nucleotide substitutions across the concatenated data set using two approaches. For Bayesian analysis, we used a custom script (run_mraic.py) wrapping a modified MrAIC 1.4.4 [42] to find the best-fitting, finite-sites substitution model for each UCE locus, we grouped loci having similar substitution models (selected by AICc) into the same partition, and we assigned the partition specific substitution model to all loci concatenated within each partition. For maximum likelihood analyses, we maintained the partitions identified in the Bayesian analysis and we modeled each partition using the GTR+CAT approximation. We performed Bayesian analysis of the concatenated data set using MrBayes 3.1 [43] and two independent runs (4 chains each) of 5,000,000 iterations each, sampling trees every 500 iterations, to yield a total of 10,000 trees. We sampled the last 5,000 trees after checking results for convergence by visualizing the log of posterior probability within and between the independent runs for each analysis, ensuring the average standard deviation of split frequencies was <0.001, and ensuring the potential scale reduction factor for estimated parameters was approximately 1.0. We performed maximum likelihood analysis of the concatenated data in RAxML [44] using the rapid bootstrapping algorithm and 500 bootstrap replicates.

Gene tree-species tree methods enjoy some advantages over the analysis of concatenated data sets under certain conditions [45–47] but may also be sensitive to missing data [49] and to the resolution of individual gene trees [49]. To minimize the number of unresolved gene tree topologies and maximize the number of topologies that overlapped in sampling the base of the actinopterygian tree, we selected a subset of the UCE contigs containing complete data for *Polypterus* and *Acipenser* and loci ≥50 hp, and we used this subset to estimate a species tree with CloudForest (https://github.com/ngrcrawford/CloudForest), a parallel implementation of a workflow combining substitution model selection (similar to MrAIC 1.4.4 [42]) and gene tree estimation using PhyML [50]. We estimated the species tree by summarizing gene trees using STAR [51–53]. To assess confidence in the resulting species tree, we used CloudForest to generate 1000, multi-locus, non-parametric bootstrap replicates by resampling nucleotides within loci as well as resampling loci within the data set [54], we summarized bootstrap replicates using STAR, and we reconciled bootstrap replicates with the species tree using RAxML.

Supporting Information

Figure S1 Species tree based upon STAR analysis. Topology based upon analysis of all loci ≥50 base pairs that contained both *Polypterus* and *Aeolops* (*N* = 136). Node values indicate bootstrap proportion based upon 1000 replicates. We collapsed nodes having ≤50% bootstrap support. (EPS)

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Author Contributions

Conceived and designed the experiments: MEA BCF FS. Performed the experiments: BCF LS. Analyzed the data: BCF MEA. Contributed reagents/materials/analysis tools: BCF LS FS MEA. Wrote the paper: BCF MEA.

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