Rodent models of stress and dendritic plasticity – Implications for psychopathology

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ABSTRACT

Stress, as commonplace as it is, is a major environmental risk factor for psychopathology. While this association intuitively, anecdotally, and empirically makes sense, we are still very early in the process of understanding what the neurobiological manifestations of this risk truly are. Seminal work from the past few decades has established structural plasticity in the brain as a potential key mechanism. In this review we discuss evidence linking particularly chronic stress exposure in rodent models to plasticity at the dendrites, like remodeling of dendritic branches and spines, in a range of brain regions. A number of candidate mechanisms that seek to explain how stress influences neuroanatomy at this level have been proposed, utilizing in vivo, ex vivo and in vitro methods. However, a large gap still remains in our knowledge of how such dynamic structural changes ultimately relate to downstream effects such as altered affective and cognitive states relevant for psychopathology. We propose that future work expand our understanding of plasticity of specific stress-related brain circuits and cell-types. We also note that the vast majority of the work has been conducted solely on male rodents. The next big strides in our understanding of the neurobiology of psychopathology will require the inclusion of female subjects, as several studies have suggested both sex divergent and convergent features. By understanding plasticity, we can harness it. The growth of this body of knowledge will inform our efforts to improve the therapeutic options for stress-related psychopathology.

1. Introduction

The importance of having a stress response cannot be overstated. Dr Bruce McEwen championed the idea that stress is an allostatic mechanism, a critical form of defense against challenges any organism faces. However, we are also acutely aware that in addition to being survival-promoting, stress can cause significant harm. Both the helpful and harmful consequences of stress can be seen at the level of the brain, all the way from complex affect and cognition down to nanometer-level changes in dendritic spines. Finding the connections between these factors has received a wealth of research attention since the earliest reports that stress in rodents alters neuronal morphology in the 1990s.

Preclinical work, such as rodent models of stress exposure (see Box 1), is necessary for building our understanding of complex human stress-related conditions, such as post-traumatic stress disorder (PTSD), major depressive disorder (MDD), and anxiety disorders. Rodent models allow tighter control of how much and what kind of stress an individual is exposed to, as well as access to tissue collected invasively at specific time points, neither of which is feasible with human subjects. Current methods allow for assessment of both dendritic morphology and behavioral outputs in the same animals, with translational relevance for humans.

Here, we review the current evidence linking chronic stress exposure to alterations at both the level of dendrites and behavioral outcomes, evaluating both region- and circuit-specific effects. The bulk of the referenced studies were conducted using only male rodents; effects seen in females, sex differences and the importance of including female subjects are also discussed. Finally, we discuss the question of what the role of dendritic remodeling in stress and psychopathology is in the context of allostasis and allostatic load.

2. Brain-wide dendritic plasticity in preclinical stress models

As a form of brain plasticity, dendritic remodeling can take several shapes (Fig. 1). Spines, the anatomically restricted locations of incoming excitatory synaptic inputs, are continuously turned over even in the non-stressed brain. By impacting turnover, stress could thus either increase or decrease spine density with potential downstream effects on synaptic communication (Woolley et al., 1997). Features of the spines...
effects on spines are regionally dependent. Early work focused on the prefrontal cortex (PFC) and basolateral amygdala (BLA) have demonstrated that stress exposure can impact the number of spines available on a neuron is affected by dendritic length and the number of branches. These morphological features represent several avenues via which stress could have lasting, yet potentially reversible, effects on brain function.

Dendritic remodeling can occur either globally, influencing all dendrites in the brain in the same way, or within specific regions. Examination of spine densities in anatomically distinct stress-associated brain regions in rodents, such as the hippocampus (HPC), prefrontal cortex (PFC) and basolateral amygdala (BLA) have demonstrated that stress effects on spines are regionally dependent. Early work focused on the hippocampal CA3 and indicated that stress exposure was associated with reduced spine density and dendritic length, with numerous replications since the first discoveries (Eiland et al., 2012; Henckens et al., 2015; Lambert et al., 1998; Magarinos et al., 1996, 1999; McEwen et al., 1995; Mckittrick et al., 2000; Sousa et al., 2000). Vyasan et al. (2002) reported dendritic shortening after exposure to chronic restraint stress, used in the aforementioned studies as well, but not after chronic unpredictable stress (CUS). A recovery period after stress and antidepressant treatment have been associated with recovery of dendritic length, although this can occur without improvements in stress-induced anxiety-like behavior (Bessa et al., 2009; Vyasan et al., 2004). Social stressors, such as chronic social defeat stress (CSDS), have shown to produce similar effects in the CA1 (Patel et al., 2018).

Similarly, many have reported dendritic atrophy in the PFC after restraint stress and CSDS (Colyn et al., 2019; Cook and Wellman, 2004; Eiland et al., 2012; Goldwater et al., 2009; Henckens et al., 2015; Liston et al., 2006; Luczynski et al., 2015; Radley et al., 2005, 2006). Allowing for a recovery period after stress cessation and sample collection, the proximal but not distal portions of the affected dendrites have been shown to recover, in that their branch lengths resembled unstressed controls (Goldwater et al., 2009; Radley et al., 2005). In the absence of experimental stress, exposure to an enriched environment (which can also restore stress-induced behavioral abnormalities) was sufficient to increase PFC dendritic arborization (Ashokan et al., 2018). Stress-induced atrophy and subsequent recovery reportedly occur.

**Abbreviations**

| Abbreviation | Full Form |
|--------------|-----------|
| aBNST | anterior bed nucleus of the stria terminalis |
| BDNF | brain-derived neurotrophic factor |
| BLA | basolateral amygdala |
| CORT | corticosterone |
| CRH | corticotrophin-releasing hormone |
| CSDS | chronic social defeat stress |
| CUS | chronic unpredictable stress |
| CVS | chronic variable stress |
| DG | dentate gyrus |
| DRN | dorsal raphe nucleus |
| FST | forced swim test |
| HPA | hypothalamic-pituitary-adrenal |
| HPC | hippocampus |
| IL | infralimbic cortex |
| LTD | long-term depression |
| LTP | long-term potentiation |
| MDD | major depressive disorder |
| NAc | nucleus accumbens |
| PFC | prefrontal cortex |
| PL | prelimbic cortex |
| PTSD | post-traumatic stress disorder |
| SSRI | selective serotonin reuptake inhibitor |

**Box 1**

**Summary of chronic stress models referenced in this review**

To probe the effects of stress on the brain, a large variety of rodent models have been developed. Generally, exposure to these protocols alters the animals’ behavior and/or physiology in manners consistent with features of human stress-related psychopathology, such as altered glucocorticoid dynamics, anhedonia, and increased anxiety-like behavior. This box summarizes protocols which are referenced in this review. These protocols, along with many others, are extensively reviewed elsewhere (Ampuero et al., 2015; Lopez and Bagot, 2021; Menard et al., 2016; Murthy and Gould, 2018).

**Restraint stress:** The animal’s movement is restricted by being enclosed in a tube or small cage, the number of days and amount of time per day can vary.

**Immobilization stress:** The animal’s movement is prevented by enclosure in a plastic cone, the number of days and amount of time per day can vary.

**Chronic unpredictable stress (CUS):** Animals are exposed to a different, mild stressor every day for a number of weeks. Procedures can vary greatly between labs, and stressors can include e.g. changes in husbandry, temporary changes in water or food availability, cage tilt, and moistened bedding.

**Chronic variable stress (CVS):** Similar to CUS, but typically with more intense stressors (e.g. tail suspension, inescapable foot shocks), applied once a day for a number of days.

**Chronic social defeat stress (CSDS):** Male animals are exposed to a larger, more dominant male in a controlled setting, resulting in social subordination when repeated over several days.

**Maternal separation:** While they are pups, the animals are removed from their nest and mother for a set duration (e.g. 3 h) each day for a number of days. Outcomes are typically measured once the pups reach adulthood.

**Escapable vs inescapable shocks:** Variations of classic learned helplessness paradigms. Animals are exposed to (cued or uncued) aversive mild electric foot or tail shocks in either an apparatus that they can escape from, or one not allowing escape or cessation of the shock. Pavlovian conditioning can additionally be used to instill (fear conditioning) or extinguish (fear extinction) an association between a neutral stimulus or context with a foot shock. Exposure to this paradigm is also considered an acute stressor.
associate with relevant functional changes in BLA neurons, such as et al., 2013; Eiland et al., 2012; Garcia-Keller et al., 2021; Henckens the BLA and nucleus accumbens (NAc) of stress-exposed animals (Bessa bert-Juan et al., 2013).

against this effect. The neurons selected for analysis in these studies are primarily in young adult rodents, a period associated with higher ca...Lone-primarily in young adult rodents, a period associated with higher capacity for plasticity which wanes in later life (Bloss et al., 2011). Stress-induced increases in spine density and dendritic length can be characterized into subtypes (typically thin, stubby and mushroom), and their morphology (e.g. head diameter) can change. These features are also plastic on basal dendrites, and on other neuronal types.

In the HPC the finding that restraint induced apical dendrite atrophy in males did not replicate in females, where instead basal dendrites showed reduced branching (Gal et al., 1997). In the BLA, restraint stress did not associate with dendritic hypertrophy in adult females as it did in adult males. On the contrary, female rats showed atrophy in the neighboring lateral amygdala (Blume et al., 2019). If the stressor is applied to younger rats, similar effects can be seen on the dendrites of female and male rats in the PFC, HPC and BLA, suggesting that developmental timing is an important modulator of potential sex differences (Eiland et al., 2012). Interestingly, BLA neurons which were active during an acute exposure to foot shocks showed reduced spine density compared to neurons which were not active, as measured by Arc activity-dependent expression of a fluorescent marker. This effect was observed in both male and female mice (Gruene et al., 2016). Similarly, in the NAc both female and male rats showed increased spine density following an acute restraint stress exposure (Garcia-Keller et al., 2021), suggesting the duration of stress may interact with sex differences.

As evidenced by circuit-specific approaches, sex differences may be quite complex and interactive (Baratta et al., 2015; Shansky et al., 2009, 2010). Projections from the IL to the BLA in female rats have shown an estrogen-dependent stress-induced increase in apical dendrite length and spine density, an effect not observed in male rats despite other IL neurons showing the expected atrophy (Shansky et al., 2009, 2010). A sex difference was also reported for PL neurons projecting to the dorsal raphe nucleus (DRN) following foot shock stress (Baratta et al., 2019). The effect of sex interacted with the amount of control the animals had over this exposure. In males exposed to inescapable foot shocks, PL neuron outputs were downregulated to this circuit. In contrast, head diameter of mushroom spines compared to non-stressed controls. Meanwhile, female rats showed similarly increased spine diameters but instead of the specificity observed in males, this pattern occurred across spine types, DRN-projecting and non-projecting neurons, and most notably in those projecting downstream. For example, in rats exposed to chronic variable stress (CVS), PL neurons in general showed classical atrophy (fewer branch points and lower spine density than controls), but neurons projecting to the anterior bed nucleus of the stria terminalis (aBNST) specifically lost mushroom-shaped spines (Radley et al., 2013). The aBNST outputs, among several targets, to the hypothalamus, with potential influence over the physiological response to stressors. In the BLA, stress-related dendritic lengthening has been reported across the structure, while spine density increases may be specific to some (i.e. ventral HPC projecting) circuits while absent in others (i.e. IL or NAc projecting, (J. Zhang et al., 2019). Note of nearly all of the aforementioned studies have been conducted using either only male animals, or the sex of the animals was not reported. Considering the higher prevalence of stress-related psychiatric conditions such as MDD and anxiety disorders in females compared to males (Hasin et al., 2005; Helzer et al., 1987; Kessler, 2007; McLean et al., 2011), this is a significant exclusion (Bangasser and Cuarenta, 2021; McLaughlin et al., 2009; Shansky and Murphy, 2021). A growing body of evidence examining chronic stress and dendrites in both sexes continues to reveal that the effects observed in males can be either absent or occur in the opposite direction in females. While CUS in males affected HPC and NAc dendrites similarly to what was described above, neither of these effects were observed in females (Gaspar et al., 2021). In female mice, peripubertal CUS increased PFC spine density in females mice, while the previously reported decrease was observed in males (Bueno-Fernandez et al., 2021). Restraint stress also has opposing effects on male and female PFC neurons: in females, dendritic length was increased while in males it was decreased (Garrett and Wellman, 2009). In the HPC the finding that restraint induced apical dendrite atrophy in males did not replicate in females, where instead basal dendrites showed decreased branching (Galea et al., 1997). In the BLA, restraint stress did not associate with dendritic hypertrophy in adult females as it did in adult males. On the contrary, female rats showed atrophy in the neighboring lateral amygdala (Blume et al., 2019). If the stressor is...
on which sex is studied. For a more translational pool of knowledge, including both sexes at the preclinical level is a necessity.

3. Potential mechanisms of plasticity

While understanding the extent and kinds of structural plasticity occurring after stress is important, efforts to uncover the underlying mechanisms of these changes are equally so. Ex vivo and in vitro work has suggested roles for, among others, corticotrophin-releasing hormone (CRH), neuronal nuclear proteins (NUP62), dendritic mitochondria, and guanine nucleotide-binding protein subunit (Gα13) in altering spine morphology (Andres et al., 2013; Chen et al., 2013; Jeanneteau et al., 2018; Kinoshita et al., 2014; Marshall et al., 2018; Sui et al., 2018). Circulating hormones, such as estrogens and testosterone, fluctuate and as such can also dynamically alter dendritic morphology (Kovacs et al., 2003; McEwen et al., 2001; Murphy et al., 1998; Shansky et al., 2010; Woolley and McEwen, 1994). Stress influences transcriptomics, and altered availability of mRNAs encoding key synaptic proteins could influence spine morphology (Bagot et al., 2016). Spines are also sites for local translation, enabling rapid adjustment of protein production potentially affected by stress (Thomaz et al., 2014).

When it comes to stress, the usual suspect for downstream cellular effects is glucocorticoids. Indeed, a body of work has explored the effects of exogenous glucocorticoid exposure on dendrites. The effects of stress on BLA hypertrophy as well as PFC and HPC hypertrophy have been replicated with injections of corticosterone (CORT), the primary rodent glucocorticoid (Kim et al., 2014; Sousa et al., 2000). Comparing acute and chronic CORT injections, only the former increased BLA dendritic length and decreased open-arm time in the elevated plus maze, an index of anxiety-like behavior (Mitra and Sapolsky, 2008). While the effect of acute CORT is observable even days after the exposure, it has been reported to recover spontaneously over time (Kim et al., 2014). While these studies included morphometric analyses in tissue fixed after extraction, Liston and Gan (2011) tracked the same dendritic segments in vivo throughout chronic CORT administration. They reported that an acute dose increased spine turnover (both formation and elimination), while after a chronic course the effect was restricted to spine elimination. These measurements were carried out in the barrel cortex, possibly explaining the divergent direction of effect compared to the ex vivo studies referenced. These findings suggest CORT may also play a part in naturally occurring stress-effects, with possibly regionally variable influence. However, as most of the reported stress protocols are chronic in nature while exogenous CORT has the strongest effects acutely, additional factors will be needed to explain this relationship.

Another molecule frequently associated with stress, plasticity and even response to treatments such as antidepressants is brain-derived neurotrophic factor, BDNF (Castrén and Monteggia, 2002). Genetic haplosufficiency of BDNF exacerbated the aforementioned stress effects on apical dendrites in both the PFC (decreased spine density) and amygdala (increased spine density, (Yu et al., 2012). In the HPC, BDNF haplosufficiency alone was sufficient to replicate the effects of chronic stress on dendrites (Magarinos et al., 2011). Interestingly, BDNF overexpression attenuated the commonly observed hippocampal dendritic atrophy post-stress, but also associated with amygdalar dendritic hypertrophy even in the absence of experimental stress (Govindarajan et al., 2006). Thus, BDNF is an excellent candidate for conveying the effects of stress onto dendritic morphology, and remains of high interest for the research community, particularly as a contributing factor to successful antidepressant responses.

4. Functional relevance of dendritic remodeling for psychiatric phenotypes

One of the major influences of Dr McEwen’s thinking on our understanding of stress, plasticity and psychopathology is the distinction between allostatic and allostatic load (McEwen, 1999, 2010; Sterling and Ever, 1990). Allostasis refers to a dynamic physiological change, induced by a change in environmental demands, intended to support the organism prevailing through the environmental change. A stressful encounter requires some physiological adaptations, such as activation of the hypothalamic-pituitary-adrenal (HPA) axis. The critical features of these events are that they are temporary and reversible when environmental conditions allow homeostasis to be achieved again. Within this framework, dendritic remodeling after stress could reflect such reorganization, as opposed to “damage” (McEwen, 2010). Indeed, Dr McEwen postulated that, at least in the HPC, “damage would be much worse if dendritic remodeling was prevented, due to increased sensitivity to glucocorticoids along with undiminished excitatory input.” (McEwen, 1999, 2010). Subsequent experiments demonstrated that at least in the case where hippocampal dendrites were manipulated to aberrantly increase their arborization, susceptibility to excitotoxic insults was also increased (McCall et al., 2013). Alternatively, dendritic remodeling could be a sign of allostatic overload. When a stressor persists, the allostatic changes may begin to cause “wear and tear” on the body, a framework for conceptualizing how chronic stress can harm health (McEwen, 2001). Empirically, determining which way to view dendritic remodeling is highly challenging.

A key question is: are the detrimental effects of stress on mental health causally linked through an effect on dendrites? Firstly, several of the aforementioned experiments have reported the co-occurrence of dendritic remodeling and behaviors such as impaired performance on a set-shifting task increased anxiety-like behavior (Eiland et al., 2012; Hill et al., 2013; Liston et al., 2006; Mitra and Sapolsky, 2008; Vyas et al., 2006; Zhang et al., 2019). Manipulations of specific dendrites have not been widely possible (discussed below), a step needed to truly probe this causal chain. Secondly, recovery of the affective, social or cognitive phenotypes caused by stress has been reported to co-occur with recovery of the dendritic phenotype. This suggests that it is at least plausible that recovery of dendritic morphology is functionally relevant for behavior as well. Some have reported that an inactive recovery period alone is not sufficient to return BLA dendritic features and anxiety-like behavior to control levels, while hippocampal dendritic morphology does recover at least partially (Sousa et al., 2000; Vyas et al., 2004). Fluoxetine, a selective serotonin re-uptake inhibitor (SSRI), restores stress-induced passive coping on the forced swim test (FST) as well as dendrite length in the HPC but not the PFC, while imipramine, an atypical antidepressant, restored dendritic length in both regions (Bessa et al., 2009). Conversely, others have shown that tianeptine, another atypical antidepressant, reversed and prevented stress-induced HPC dendritic atrophy while fluoxetine did not (Magaritos et al., 1999). Another comparison of fluoxetine and imipramine suggests that fluoxetine administration after stress exposure reduced dendrite length in the dentate gyrus (DG), while rats receiving imipramine had longer dendrites in the DG compared to untreated or unstressed controls (Alves et al., 2017). While both antidepressants recovered stress-induced anxiety-like behavior, only imipramine reduced immobility time in the FST. Psilocybin and ketamine, both compounds under investigation for possible antidepressant properties, also recover stress-induced changes in behavior and PFC spine formation (Moda-Sava et al., 2019; Shao et al., 2021). Cortical spine-enhancing properties have also been reported for synthetic psychedelic analog tabernathalog (Lai et al., 2021). These findings suggest a complex relationship between different kinds of pharmacotherapies, spines and behavior, with as-yet undetermined causal nexus.

Another way of probing the functional relevance of stress-related dendritic remodeling is to focus on the aftermath of stress rather than stress exposure. In fact, the estimated percentage of people exposed to traumatic events who go on to develop clinically significant psychopathology is 15.9% (Alisic et al., 2014). We know that there are vast individual differences in the behavioral and molecular responses to stress (Bagot et al., 2016; Etkin et al., 2004; Gruene et al., 2015a; Laine et al., 2018). Simply averaging the findings of all stress-exposed individuals in...
experimental settings risks muddling adaptive and maladaptive morphological changes, as well as mis-assigning an observed finding as one or the other. If dendritic remodeling is important specifically for driving the maladaptive response to stress, we should observe differences between individuals who do exhibit maladaptive responses to stress and individuals who do not. Indeed, experiments factoring in individual differences suggest that such differences do exist. For example, rats that responded to inescapable foot shocks with high levels of freezing showed the aforementioned BLA hypertrophy, while low-freezing rats did not (Neves et al., 2019). Rats either selected for or selective bred for high anxiety-like behavior have shorter PFC dendrites and fewer spines on HPC CA1 neurons than their low-anxiety counterparts even in the absence of experimental stress (Miller et al., 2012; Widman et al., 2019). These individual differences may also be sex-specific, as evidenced by Gruene and colleagues’ (2015b) findings from BLA-projecting IL neurons after fear conditioning. Males exhibiting high levels of freezing behavior had shorter dendrites than males exhibiting low freezing, a difference not observed in high vs low-freezing females. Such individual differences could arise through numerous pre-existing factors, such as genetic background (Hovatta et al., 2005), early maternal care (Millestein and Holmes, 2007) and epigenetics (McEwen, 2017). Considering these findings, experiments stratifying samples by individual differences in key stress response parameters could provide critical insight into the questions raised here.

5. Open questions and conclusions

The growth of the literature on stress-related dendritic plasticity has been steady and encouraging, with pieces of the complex puzzle added continuously. The field is challenged with synthesizing findings from a variety of model systems, species and brain regions to inform a coherent picture. Additionally, novel techniques are needed to assess critical questions. For example, are the stress-induced morphological changes truly causative of stress-induced behavioral changes? There is currently a lack of established techniques available to selectively manipulate subsets of dendrites in desired directions, which would be needed to test these ideas. Emerging tools, such as activated synapse targeting photo-activatable Rac1 (AS-PaRac1), allow the control of synapses along select dendritic subsets (Hayashi-Takagi et al., 2015). AS-PaRac1 relies on a genetically engineered construct that is targeted to post-synaptic sites and activated via activity-induced translation. While also functioning as a reporter of activated spines, extended photostimulation can be used to selectively shrink the spines expressing the modified Rac1 protein, with measurable consequences on motor memory. This method has recently been applied to selectively eliminate novel spines induced by post-stress ketamine, which effectively eliminated ketamine’s antidepressant effect on rodent behavior (Moda-Sava et al., 2019). Thus, this and similar techniques could soon allow detection and disruption of dendritic spines with stress-induced activity, to probe their relationship with downstream observations.

Given the brain-wide and variable effects of stress, these findings ultimately should be integrated with examinations of other types of plasticity, such as functional synaptic plasticity (LTP/LTD (Leuner and Shors, 2013)), astrocyte morphology (Naskar and Chattarji, 2019), microglia (Gaspar et al., 2021) and myelin plasticity (Laine et al., 2018; Liu et al., 2012). While the complexity of stress-related plasticity is humbling, it also gives hope. Even in the adult brain, especially if aided by therapeutic interventions, plasticity is bidirectional; what can mal-adapt can also adapt. While we probably cannot “roll back the clock” or “reverse” stress-induced pathology, understanding of these mechanisms can inform us on ways towards resilience and recovery (McEwen, 2017).

CRediT authorship contribution statement

M.A. Laine: Conceptualization, Writing – original draft, preparation.
R.M. Shansky: Conceptualization, Supervision, Writing – review & editing.

Declaration of competing interest

The authors declare no conflicts of interest.

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