Switching of Conducting Planes by Partial Dimer Formation in IrTe$_2$

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Single-crystal X-ray diffraction was employed to study the structural-electronic phase transition of IrTe$_2$ at approximately 270 K. The low-temperature structure was found to be a triclinic (space group $P\bar{1}$) characterized by the partial formation of Ir$_2$ dimers in the triangular lattice of IrTe$_2$, resulting in a structural modulation with a wave vector of $q = (1/5, 0, -1/5)$. First-principles band calculations demonstrate that tilted two-dimensional Fermi surfaces emerge in the triclinic phase, suggesting that switching of the conducting planes occurs from the basal plane of the trigonal IrTe$_2$ to the tilted plane normal to $q$ of the triclinic IrTe$_2$.

Recently, transmission electron microscopy experiments have revealed that the structural phase transition is accompanied by the evolution of non-sinusoidal structural modulation of $q = (1/5, 0, -1/5)$, indicating that the structural phase transition is not of a simple charge-density-wave (CDW) type. An optical spectroscopy study suggested that the structural phase transition is driven by a reduction in the kinetic energy of the electrons due to Te 5p band splitting below the transition temperature.$^{15}$ X-ray photoemission spectroscopy$^{16}$ and angle-resolved photoemission spectroscopy (ARPES)$^{17}$ have indicated the importance of the orbital degeneracy of Ir 5d and/or Te 5p for the transition. Very recently, Oh et al.$^{14}$ suggested that the structural phase transition involves the depolymerization-polymerization of anionic Te bonds. Interestingly, suppression of the structural phase transition by chemical doping or intercalation results in the emergence of superconductivity at up to 3.1 K.$^{18-22}$ Thus, it is important to determine the low-temperature crystal structure to elucidate the structural-electronic phase transition mechanism of IrTe$_2$.

Single crystals of IrTe$_2$ were grown using the self-flux technique.$^{15, 23}$ A mixture of Ir and Te powder at an atomic ratio of 18:82 was placed in an alumina crucible that was sealed in an evacuated small quartz tube and then placed into a larger quartz tube. The larger quartz tube was evacuated and sealed, and the mixture was initially heated to 950°C; this temperature was maintained for 10h. The temperature was then increased slowly to 1160°C, before the mixture was cooled to 900°C at a rate of 1–2°C/h. The quartz tube was then quenched in ice water after decantation of the Te flux. The obtained crystals exhibited a phase transition at approximately 270 K as determined by resistivity and magnetization measurements.$^{23}$

Synchrotron radiation X-ray diffraction measurements were performed on beamline BL02B1 at SPring-8, Japan.
ing monochromatized X-ray with an energy of 35.05 keV ($\lambda = 0.354$ Å). Measurements were performed at 300 and 20 K using a cryogenic He-flowing system (XR-HR10KS, Japan Thermal Engineering Co. Ltd.). A cylindrical imaging plate with a camera length of 191.3 mm was adopted. At $T = 300$ and 20 K, diffraction images in a scattering vector range of up to approximately 34 Å$^{-1}$ were taken every 13 and 24 frames, respectively, at an exposure time of 1 min per image. The oscillation angle of the crystal ($\omega$) in each frame was 15°. Typical diffraction images are shown in Fig. 2. Data were collected and processed using the RAPID-AUTO program (Rigaku) and were corrected for Lorentz and polarization effects. The structures were solved by direct methods (SHELXS-97) and expanded using Fourier techniques. All the atoms were refined anisotropically (SHELXL-97). The final cycle of the full-matrix least-squares refinement was based on all data, $I > 2\sigma(I)$ = [261, 261] and [11716, 10472] observed reflections for $T = 300$ and 20 K, respectively. Unweighted and weighted agreement factors of $R = \frac{\sum |F_0| - |F_{cal}|/ \sum |F_0|, R_1 = \sum |F_0| - |F_{cal}|/ \sum |F_0|}{(F_0 > 4\sigma(F_0))}$, and $wR_2 = \frac{\sum w(F_0^2 - F_{cal}^2)^2/ \sum w(F_0^2)^2}{1/2}$ were used. The $R$, $R_1$, and $wR_2$ values were [0.0179, 0.0179, 0.0478] and [0.0754, 0.0694, 0.242] for $T = 300$ and 20 K, respectively. The crystal structure of the trigonal phase ($T = 20$ K) was refined as a twin-domain crystal using the TWIN and BASF commands of SHELXL-97. The final composition ratio of the twin-domain crystal was 0.712(1):0.288(1). Crystallographic data and fractional coordinates obtained at both temperatures are summarized in Table 1 and 2, respectively.

Figures 1(c) and 1(d) show the low-temperature crystal structure of IrTe$_2$ determined at 20 K. The structure is trigonal with the space group $P\bar{3}m1$ (No. 164). The low-temperature structure is characterized by three crystallographically independent iridium sites, denoted as Ir1, Ir2, and Ir3 in Fig. 1(d). The bond lengths of adjacent iridium atoms along the trigonal $a$-axis are modulated considerably: The Ir3-Ir3 bond length of 3.069 Å is considerably shorter than the Ir1-Ir2 and Ir2-Ir3 bond lengths (3.943 and 4.027 Å, respectively), which results in a non-sinusoidal structural modulation along the trigonal $a$-axis that is in accordance with the electron diffraction measurements. The Ir3-Ir3 bond length is comparable to that of the dimerized Ir (3.012 Å) of CuIr$_2$S$_4$. Thus, the structural phase transition of IrTe$_2$ can be viewed as the partial formation of a single Ir$_2$ dimer from the five iridium atoms along the trigonal $a$-axis. The average bond length is compatible with the average structure. There is no structural modulation along the trigonal $b$-axis, and a uniform Ir bond length of 3.949 Å is observed. Thus, along the trigonal $b$-axis direction there are stripes of dimerized Ir3 and non-dimerized Ir1 and Ir2. The position of these stripes shifts to $\pm a$ along the trigonal $a$-axis in the adjacent layers, as shown in Fig. 1(c),

![Table 1. Crystallographic data of IrTe$_2$ at 300 and 20 K.](image)

| Temperature (K) | 300 | 20 |
|-----------------|-----|----|
| Formula weight  | 447.42 |
| $a$ (Å)         | 3.92530(10) | 3.94860(10) |
| $b$ (Å)         | 3.92530(10) | 6.64480(10) |
| $c$ (Å)         | 5.39520(10) | 14.4045(3) |
| $\alpha$ (°)    | 90  | 80.245(6) |
| $\beta$ (°)     | 90  | 87.404(6) |
| $\gamma$ (°)    | 120 | 72.511(5) |
| Volume (Å$^3$)  | 71.992(3) | 355.252(13) |
| $Z$             | 1  | 5  |
| $R_{int}$       | 5.79 | 6.57  |

![Fig. 1. (Color online) (a) and (b) The crystal structure of IrTe$_2$ at 300 K. Black lines represent the unit cell of the trigonal lattice. (c) and (d) The crystal structure of IrTe$_2$ at 20 K. Blue lines represent the unit cell of the triclinic lattice.](image)

![Fig. 2. Typical diffraction images of IrTe$_2$ taken at (a) 300 K and (b) 20 K.](image)
resulting in a structural modulation of $q = (1/5, 0, -1/5)$.

Modulation of the Te-Te distances appears in the trigonal phase, but it is much smaller than that of the Ir-Ir distances. In the high-temperature trigonal phase, there are three characteristic Te-Te distances: 3.498 Å between adjacent IrTe$_2$ layers, 3.548 Å between the upper and lower Te atoms within an IrTe$_2$ layer, and 3.925 Å between the in-plane Te atoms of an IrTe$_2$ layer. The short Te-Te bond between adjacent IrTe$_2$ layers has been discussed previously, and was shown to result in direct covalent Te-Te bonds and a Te $5p$ contribution to the electronic density of states at the Fermi level. In the low-temperature phase, a large modulation of 3.429–4.115 Å was observed in the in-plane Te-Te distance, while modulations in the other Te-Te distances were smaller (3.368–3.547 Å between adjacent IrTe$_2$ layers and 3.488–3.561 Å between the upper and lower Te atoms in an IrTe$_2$ layer). The shortest Te-Te bond length of 3.368 Å in the triclinic phase of IrTe$_2$ is much longer than that observed in the modulated structure of AuTe$_2$ with a distorted CdI$_2$-type structure (2.88 Å). The smaller modulations of the Te bond lengths suggest a dominant Ir 5d contribution to the structural-electronic transition mechanism of IrTe$_2$, although there is a finite Te $5p$ contribution through the large mixing between the Ir 5d and Te $5p$ bands.

To study the change in the electronic states across the structural phase transition, we carried out electronic structure calculations using the full-potential augmented plane-wave and local orbital methods, as implemented in the WIEN2k code. We applied the generalized gradient approximation for electron correlations and considered the exchange-correlation part of the potential using the Perdew-Burke-Ernzerhof exchange-correlation functional. Spin-orbit coupling (SOC) was taken into account for both Ir and Te. The maximum modulus of the reciprocal vectors $K_{\text{max}}$, and muffin-tin radii of the atoms $R_{\text{MT}}$ were chosen such that $R_{\text{MT}}K_{\text{max}} = 7$. The lattice parameters and atomic coordinates were taken from our experimental data, and the Brillouin zone of the trigonal phase (trigonal phase) was sampled using a $16 \times 16 \times 10 (14 \times 8 \times 3)$ $k$ mesh.

Table II. Atomic coordinates and thermal displacement parameters of IrTe$_2$ at 300 and 20 K.

| Site | x/a | y/b | z/c | $U_{\text{iso}}$ |
|------|-----|-----|-----|--------------|
| Ir   | 0   | 0   | 0   | 0.01047(7)   |
| Te1  | 1/3 | 2/3 | 0.25305(4) | 0.01110(7)   |

20 K

| Site | x/a | y/b | z/c | $U_{\text{iso}}$ |
|------|-----|-----|-----|--------------|
| Ir1  | 0   | 0   | 0   | 0.00556(6)   |
| Ir2  | 0.36069(5) | 0.21315(3) | 0.203394(15) | 0.00357(6)   |
| Ir3  | -0.29000(6) | 0.43031(4) | 0.411623(16) | 0.00360(6)   |
| Te1  | -0.00026(11) | -0.05426(7) | 0.18477(3) | 0.00381(7)   |
| Te2  | 0.36127(11) | 0.27091(6) | 0.01679(3) | 0.00377(7)   |
| Te3  | 0.35701(10) | 0.15939(6) | 0.38782(3) | 0.00385(7)   |
| Te4  | -0.27774(11) | 0.48038(7) | 0.22267(3) | 0.00386(7)   |
| Te5  | 0.08129(10) | 0.70076(6) | 0.41086(3) | 0.00386(7)   |

The calculated band dispersion, width, and Fermi surfaces of the high-temperature trigonal phase agree with those determined by the angle-resolved photoemission spectroscopy, indicating that the Hubbard-type repulsive interaction $U$ is negligible in IrTe$_2$. The finite $U$ in the GGA+$U^{29,30}$ scheme does not affect the basic features of the state near the Fermi level, thus the shape of the Fermi surface. No spin-polarized solutions were found, consistent with the absence of Curie-Weiss behavior.

Figure 3 shows the calculated Fermi surfaces of the high-temperature trigonal and low-temperature triclinic phases of IrTe$_2$. In the high-temperature phase, shown in Figs. 3(a) and (b), the Fermi surfaces are rather three dimensional and electronic quasi-two-dimensionality occurs in the basal $ab$ plane of the trigonal lattice [see Figs. 1(a) and (b)]. In the low-temperature phase, however, it is immediately clear in Figs. 3(c)-(h) that all five Fermi-surface sheets exhibit a fair quasi-two-dimensionality consisting of small cylinder-like surfaces and strongly warped quasi-one-dimensional-like sheets, the direction of which indicates that the quasi-two-dimensional conducting planes are now parallel to the $ab$ plane of the triclinic lattice [see Figs. 1(c) and (d)] or normal.
to the structural modulation vector \( \mathbf{q} = (1/5, 0, -1/5) \). This change in the Fermi surfaces demonstrates that switching of the conducting planes occurs from the basal plane of the trigonal \( \text{IrTe}_2 \) to the tilted plane normal to \( \mathbf{q} \) of the triclinic \( \text{IrTe}_2 \) as well as the enhancement of two-dimensionality across the structural phase transition at approximately 270 K. The Fermi surfaces calculated using the average monoclinic structure with uniform Ir-Ir bonds for the low-temperature phase exhibit neither switching of conducting planes nor dimensional crossover.\(^{15,20}\) Thus the partial formation of Ir dimers is crucial in switching the conducting planes and enhancing two-dimensionality in the low-temperature triclinic phase of \( \text{IrTe}_2 \), reminiscent of the formation of As dimers in iron-based superconductors, which results in a dimensional crossover.\(^{6,7}\)

Ir and Te are subject to strong SOC; the band exhibits a large spin-orbit (SO) splitting of the order of 1 eV.\(^{20}\) However, the SOC seems not to be involved in the mechanism of the structural phase transition of \( \text{IrTe}_2 \). Figure 4 shows the orbital-decomposed partial DOS calculated for the high-temperature trigonal and low-temperature triclinic phases of \( \text{IrTe}_2 \), where the \( d_{xy}, d_{xz}, \) and \( d_{yz} \) components in the \( t_{2g} \) manifold of the Ir 5d bands are illustrated [see Figs. 1(b) and (d) for the definition of the coordinate axes \((x, y, z)\)]. Compared with the partial DOS for the high-temperature phase, a striking change occurs in the \( d_{xz} \) component in the \( t_{2g} \) manifold of the dimerized Ir3 atoms [Fig. 4(d)]. The three \( t_{2g} \) components are mostly occupied by electrons in the high-temperature phase [Fig. 4(a)], but the formation of Ir2 dimers in the low-temperature phase results in strong bonding-antibonding splitting in the \( d_{xz} \) bands of the two Ir3 atoms, which increases the energy of the antibonding bands above the Fermi level [note the peak at \( \sim 0.7 \) eV in Fig. 4(d)] and decreases the energy of the bonding bands by \( \sim 1 \) eV [note the peaks at \( \sim -3 \) eV in Fig. 4(d)]. The splitting is by far larger than the SO splitting,\(^{20}\) suggesting that lowering in energy of the \( d_{xz} \) bonding orbital plays an important role in the mechanism of the structural phase transition of \( \text{IrTe}_2 \).\(^{31}\) This is analogous to \( \text{CuIr}_2\text{S}_4 \), in which orbital orienting takes place at the structural phase transition.\(^{4,32}\) The weight of the DOS is thus largely depleted around the Fermi level at the dimerized Ir3 sites. The partial DOS for the \( p_z \) component of the 5p bands of Te5 located between the dimerized Ir3 atoms also undergoes a similar change near the Fermi level [results not shown here]. Thus total DOS is reduced from 1.89 to 0.97 states/eV/\( \text{IrTe}_2 \), consistent with the reduction of magnetic susceptibility and electronic specific heat.\(^{13,15,18}\) The local DOSs at the Ir3 and Te5 sites are thus strongly reduced at the Fermi level, although a band gap does not appear, indicating that the dimerized Ir2 planes become less conducting or effectively disconnect the conducting planes. In contrast, the planes in which the Ir1 and Ir2 atoms are located remain highly conducting as the height of the local DOS at the Fermi level suggests, yielding the quasi-two-dimensional conducting planes normal to the modulation vector \( \mathbf{q} = (1/5, 0, -1/5) \). Such switching of the conducting planes that occurs with the structural phase transition is, to the best of our knowledge, quite a rare example in transition-metal compounds.

In summary, we have employed single-crystal X-ray diffraction to study the structural-electronic phase transition of \( \text{IrTe}_2 \) at approximately 270 K. The low-temperature triclinic structure (space group \( P\bar{1} \)) is characterized by the partial formation of Ir2 dimers that occurs in the triangular lattice of \( \text{IrTe}_2 \), resulting in a structural modulation with a wave vector of \( \mathbf{q} = (1/5, 0, -1/5) \). First-principles band calculations have demonstrated that tilted two-dimensional Fermi surfaces emerge in the triclinic phase of \( \text{IrTe}_2 \), suggesting that switching of the conducting planes occurs from the basal plane of the trigonal \( \text{IrTe}_2 \) to the tilted plane normal to \( \mathbf{q} \) of the triclinic \( \text{IrTe}_2 \).

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Note added in proof – We noticed a paper by Pascut et al. [arXiv:1309.3548, Phys. Rev. Lett. (in press)], reporting similar results.