Multilingual Coreference Resolution

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Abstract

In this paper we present a new, multilingual data-driven method for coreference resolution as implemented in the SWIZZLE system. The results obtained after training this system on a bilingual corpus of English and Romanian tagged texts, outperformed coreference resolution in each of the individual languages.

1 Introduction

The recent availability of large bilingual corpora has spawned interest in several areas of multilingual text processing. Most of the research has focused on bilingual terminology identification, either as parallel multiwords forms (e.g. the Champollion system (Smadja et al.1996)), technical terminology (e.g. the Termight system (Dagan and Church, 1994) or broad-coverage translation lexicons (e.g. the SABLE system (Resnik and Melamed, 1997)). In addition, the Multilingual Entity Task (MET) from the TIPSTER program1 (http://www-nlpir.nist.gov/related-projects/tipster/met.htm) challenged the participants in the Message Understanding Conference (MUC) to extract named entities across several foreign language corpora, such as Chinese, Japanese and Spanish.

In this paper we present a new application of aligned multilingual texts. Since coreference resolution is a pervasive discourse phenomenon causing performance impediments in current IE systems, we considered a corpus of aligned English and Romanian texts to identify coreferring expressions. Our task focused on the same kind of coreference as considered in the past MUC competitions, namely the identity coreference. Identity coreference links nouns, pronouns and noun phrases (including proper names) to their corresponding antecedents.

We created our bilingual collection by translating the MUC-6 and MUC-7 coreference training texts into Romanian using native speakers. The training data set for Romanian coreference used, wherever possible, the same coreference identifiers as the English data and incorporated additional tags as needed. Our claim is that by adding the wealth of coreferential features provided by multilingual data, new powerful heuristics for coreference resolution can be developed that outperform monolingual coreference resolution systems.

For both languages, we resolved coreference by using SWIZZLE, our implementation of a bilingual coreference resolver. SWIZZLE is a multilingual enhancement of COCKTAIL (Harabagiu and Maiorano, 1999), a coreference resolution system that operates on a mixture of heuristics that combine semantic and textual cohesive information2. When COCKTAIL was applied separately on the English and the Romanian texts, coreferring links were identified for each English and Romanian document respectively. When aligned referential expressions corefer with non-aligned anaphors, SWIZZLE derived new heuristics for coreference. Our experiments show that SWIZZLE outperformed COCKTAIL on both English and Romanian test documents.

The rest of the paper is organized as follows. Section 2 presents COCKTAIL, a monolingual coreference resolution system used separately on both the English and Romanian texts. Section 3 details the data-driven approach used in SWIZZLE and presents some of its resources. Section 4 reports and discusses the experimental results. Section 5 summarizes the

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1The TIPSTER Text Program was a DARPA-led government effort to advance the state of the art in text processing technologies.

2The name of COCKTAIL is a pun on CogNIAC because COCKTAIL combines a larger number of heuristics than those reported in (Baldwin, 1997). SWIZZLE, moreover, adds new heuristics, discovered from the bilingual aligned corpus.
2 COCKTAIL

Currently, some of the best-performing and most robust coreference resolution systems employ knowledge-based techniques. Traditionally, these techniques have combined extensive syntactic, semantic, and discourse knowledge. The acquisition of such knowledge is time-consuming, difficult, and error-prone. Nevertheless, recent results show that knowledge-poor methods perform with amazing accuracy (cf. (Mitkov, 1998), (Kennedy and Boguraev, 1996) (Kameyama, 1997)). For example, CogNIAC (Baldwin, 1997), a system based on seven ordered heuristics, generates high-precision resolution (over 90%) for some cases of pronominal reference. For this research, we used a coreference resolution system ((Harabagiu and Malorano, 1999)) that implements different sets of heuristics corresponding to various forms of coreference. This system, called COCKTAIL, resolves coreference by exploiting several textual cohesion constraints (e.g. term repetition) combined with lexical and textual coherence cues (e.g. subjects of communication verbs are more likely to refer to the last person mentioned in the text). These constraints are implemented as a set of heuristics ordered by their priority. Moreover, the COCKTAIL framework uniformly addresses the problem of interaction between different forms of coreference, thus making the extension to multilingual coreference very natural.

2.1 Data-Driven Coreference Resolution

In general, we define a data-driven methodology as a sequence of actions that captures the data patterns capable of resolving a problem with both a high degree of precision and recall. Our data-driven methodology reported here generated sets of heuristics for the coreference resolution problem. Precision is the number of correct references out of the total number of coreferences resolved, whereas the recall measures the number of resolved references out of the total number of keys, i.e., the annotated coreference data.

The data-driven methodology used in COCKTAIL is centered around the notion of a coreference chain. Due to the transitivity of coreference relations, k coreference relations having at least one common argument generate k + 1 coreferring expressions. The text position induces an order among coreferring expressions. A coreference structure is created when a set of coreferring expressions are connected in an oriented graph such that each node is related only to one of its preceding nodes. In turn, a coreference chain is the coreference structure in which every node is connected to its immediately preceding node. Clearly, multiple coreference structures for the same set of coreferring expressions can be mapped to a single coreference chain. As an example, both coreference structures illustrated in Figure 1(a) and (c) are cast into the coreference chain illustrated in Figure 1(b).

![Figure 1: Three coreference structures.](image-url)

Given a corpus annotated with coreference data, the data-driven methodology first generates all coreference chains in the data set and then considers all possible combinations of coreference relations that would generate the same coreference chains. For a coreference chain of length l with nodes n1, n2, ..., nt+l, each node nk (1 ≤ k ≤ l) can be connected to any of the l - k nodes preceding it. From this observation, we find that a number of 1 × 2 × ... × (l - k)... × l = l! coreference structures can generate the same coreference chain. This result is very important, since it allows for the automatic generation of coreference data. For each coreference relation R from an annotated corpus we created a median of (l - 1)! new coreference relations, where l is the length of the coreference chain containing relation R. This observation gave us the possibility of expanding the test data provided by the coreference keys available in the MUC-6 and MUC-7 competitions (MUC-6 1996), (MUC-7 1998). The MUC-6 coreference annotated corpus contains 1626 coreference relations, while the MUC-7 corpus has 2245 relations. The average length of a coreference chain is 7.21 for the MUC-6 data, and 8.57 for the MUC-7 data. We were able to expand the number of annotated coreference relations to 6,095,142 for the MUC-6 corpus and to 8,269,403 relations for the MUC-7 corpus; this represents an expansion factor of 3,710. We are not aware of any other automated way of creating coreference annotated data, and we believe that much of the COCKTAIL's impressive performance is due to the plethora of data provided by this method.
Heuristics for 3rd person pronouns

| Heuristic | Description |
|-----------|-------------|
| H1Pron    | Search in the same sentence for the same 3rd person pronoun Pron. If (Pron' belongs to coreference chain CC) and there is an element from CC which is closest to Pron in Text, Pick that element. Else Pick Pron. |
| H2Pron    | Search for PN, the closest proper name from Pron. If (PN agrees in number and gender with Pron) and (PN belongs to coreference chain CC) then Pick the element from CC which is closest to Pron in Text. Else Pick PN. |
| H3Pron    | Search for Noun, the closest noun from Pron. If (Noun agrees in number and gender with Pron) and there is an element from CC which is closest to Pron in Text, Pick that element. Else Pick Noun. |

Heuristics for nominal reference

| Heuristic | Description |
|-----------|-------------|
| H1Nom     | If (Noun is the head of an appositive) then Pick the preceding NP. |
| H2Nom     | If (Noun belongs to an NP, Search for NP' such that Noun'=same_name(head(NP),head(NP'))) or Noun'=same_name(adjunct(NP), adjunct(NP')) then if (Noun' belongs to coreference chain CC) then Pick the element from CC which is closest to Noun in Text. Else Pick Noun'. |
| H3Nom     | If Noun is the head of an NP then Search for proper name PN such that head(PN)=Noun. If (PN belongs to coreference chain CC) and there is an element from CC which is closest to Noun in Text, Pick that element. Else Pick PN. |

Table 1: Best performing heuristics implemented in COCKTAIL

2.2 Knowledge-Poor Coreference Resolution

The result of our data-driven methodology is the set of heuristics implemented in COCKTAIL which cover both nominal and pronoun coreference. Each heuristic represents a pattern of coreference that was mined from the large set of coreference data. COCKTAIL uses knowledge-poor methods because (a) it is based on a limited number of heuristics and (b) text processing is limited to part-of-speech tagging, named-entity recognition, and approximate phrasal parsing. The heuristics from COCKTAIL can be classified along two directions. First of all, they can be grouped according to the type of coreference they resolve, e.g., heuristics that resolve the anaphors of reflexive pronouns operate differently than those resolving bare nominals. Currently, in COCKTAIL there are heuristics that resolve five types of pronouns (personal, possessive, reflexive, demonstrative and relative) and three forms of nominals (definite, bare and indefinite).

Secondly, for each type of coreference, there are three classes of heuristics categorized according to their suitability to resolve coreference. The first class is comprised of strong indicators of coreference. This class resulted from the analysis of the distribution of the antecedents in the MUC annotated data. For example, repetitions of named entities and appositives account for the majority of the nominal coreferences, and, therefore, represent anchors for the first class of heuristics.

The second class of coreference covers cases in which the arguments are recognized to be semantically consistent. COCKTAIL’s test of semantic consistency blends together information available from WordNet and statistics gathered from Treebank. Different consistency checks are modeled for each of the heuristics.

Table 2: Examples of coreference resolution. The same annotated index indicates coreference.

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Example of the application of heuristic H2Pron
Mr. Adams1, 69 years old, is the retired chairman of Canadian-based Emco Ltd., a maker of plumbing and petroleum equipment; he1 has served on the Woolworth board since 1981.

Example of the application of heuristic H3Pron
"We have got to stop pointing our fingers at these kids2 who have no future," he said, "and reach our hands out to them2.

Example of the application of heuristic H3Nom
The chairman and the chief executive officers3 of Woolworth Corp. have temporarily relinquished their posts while the retailer conducts its investigation into alleged accounting irregularities4.

Woolworth’s board named John W. Adams, an outsider, to serve as interim chairman and executive officers3, while a special committee, appointed by the board last week and led by Mr. Adams, investigates the alleged irregularities4.
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sistency checks implemented for this class of coreference are conservative: either the adjuncts must be identical or the adjunct of the referent must be less specific than the antecedent. Table 1 lists the top performing heuristics of COCKTAIL for pronominal and nominal coreference. Examples of the heuristics operation on the MUC data are presented presented in Table 2. Details of the top performing heuristics of COCKTAIL were reported in (Harabagiu and Maiorano, 1999).

2.3 Bootstrapping for Coreference Resolution

One of the major drawbacks of existing coreference resolution systems is their inability to recognize many forms of coreference displayed by many real-world texts. Recall measures of current systems range between 36% and 59% for both knowledge-based and statistical techniques. Knowledge based systems would perform better if more coreference constraints were available whereas statistical methods would be improved if more annotated data were available. Since knowledge-based techniques outperform inductive methods, we used high-precision coreference heuristics as knowledge seeds for machine learning techniques that operate on large amounts of unlabeled data. One such technique is bootstrapping, which was recently presented in (Riloff and Jones 1999), (Jones et al. 1999) as an ideal framework for text learning tasks that have knowledge seeds. The method does not require large training sets. We extended COCKTAIL by using meta-bootstrapping of both new heuristics and clusters of nouns that display semantic consistency for coreference.

The coreference heuristics are the seeds of our bootstrapping framework for coreference resolution. When applied to large collections of texts, the heuristics determine classes of coreferring expressions. By generating coreference chains out of all these coreferring expressions, often new heuristics are uncovered. For example, Figure 2 illustrates the application of three heuristics and the generation of data for a new heuristic rule. In COCKTAIL, after a heuristic is applied, a new coreference chain is calculated. For the example illustrated in Figure 2, if the reference of expression A is sought, heuristic H1 indicates expression B to be the antecedent. When the coreference chain is built, expression A is directly linked to expression D, thus uncovering a new heuristic H0.

As a rule of thumb, we do not consider a new heuristic unless there is massive evidence of its coverage in the data. To measure the coverage we use the FOIL Gain measure, as introduced by the FOIL inductive algorithm (Cameron-Jones and Quinlan 1993). Let $H_0$ be the new heuristic and $H_1$ a heuristic that is already in the seed set. Let $p_0$ be the number of positive coreference examples of $H_{\text{new}}$ (i.e. the number of coreference relations produced by the heuristic that can be found in the test data) and $n_0$ the number of negative examples of $H_{\text{new}}$ (i.e. the number of relations generated by the heuristic which cannot be found in the test data). Similarly, $p_1$ and $n_1$ are the positive and negative examples of $H_1$. The new heuristics are scored by their FOIL Gain distance to the existing set of heuristics, and the best scoring one is added to the COCKTAIL system. The FOIL Gain formula is:

$$\text{FOIL Gain}(H_1, H_0) = k \log_2 \frac{p_1}{p_1 + n_1} - \log_2 \frac{p_0}{p_0 + n_0}$$

where $k$ is the number of positive examples covered by both $H_1$ and $H_0$. Heuristic $H_0$ is added to the seed set if there is no other heuristic providing larger FOIL Gain to any of the seed heuristics.

Since in COCKTAIL, semantic consistency of coreferring expressions is checked by comparing the similarity of noun classes, each new heuristic determines the adjustment of the similarity threshold of all known coreferring noun classes. The steps of the bootstrapping algorithm that learns both new heuristics and adjusts the similarity threshold of coreferring expressions is:

**MUTUAL BOOTSTRAPPING LOOP**

1. Score all candidate heuristics with FOIL Gain
2. Best $h$ = closest candidate to heuristics (COCKTAIL)
3. Add Best $h$ to heuristics (COCKTAIL)
4. Adjust semantic similarity threshold for semantic consistency of coreferring nouns
5. Goto step 1 if the precision and recall did not degrade under minimal performance.

(Riloff and Jones 1999) note that the bootstrapping algorithm works well but its performance can deteriorate rapidly when non-coreferring data enter as candidate heuristics. To make the algorithm more robust, a second level of bootstrapping can be introduced. The outer bootstrapping mechanism, called...
meta-bootstrapping compiles the results of the inner (mutual) bootstrapping process and identifies the $k$ most reliable heuristics, where $k$ is a number determined experimentally. These $k$ heuristics are retained and the rest of them are discarded.

3 SWIZZLE

3.1 Multilingual Coreference Data

To study the performance of a data-driven multilingual coreference resolution system, we prepared a corpus of Romanian texts by translating the MUC-6 and MUC-7 coreference training texts. The translations were performed by a group of four Romanian native speakers, and were checked for style by a certified translator from Romania. In addition, the Romanian texts were annotated with coreference keys. Two rules were followed when the annotations were done:

1. Whenever an expression $E_R$ represents a translation of an expression $E_E$ from the corresponding English text, if $E_E$ is tagged as a coreference key with identification number $ID$, then the Romanian expression $E_R$ is also tagged with the same $ID$ number. This rule allows for translations in which the textual position of the referent and the antecedent have been swapped.

2. Since the translations often introduce new coreferring expressions in the same chain, the new expressions are given new, unused $ID$ numbers. For example, Table 3 lists corresponding English and Romanian fragments of coreference chains from the original MUC-6 Wall Street Journal document DOCNO: 930729-0143.

Table 3 also shows the original MUC coreference SGML annotations. Whenever present, the $REF$ tag indicates the $ID$ of the antecedent, whereas the $MIN$ tag indicates the minimal reference expression.

3.2 Lexical Resources

The multilingual coreference resolution method implemented in SWIZZLE incorporates the heuristics derived from COKTAIL’s monolingual coreference resolution processing in both languages. To this end, COKTAIL required both sets of texts to be tagged for part-of-speech and to recognize the noun phrases. The English texts were parsed with Brill’s part-of-speech tagger (Brill 1992) and the noun phrases were identified by the grammar rules implemented in the phrasal parser of FASTUS (Appelt et al., 1993). Corresponding resources are not available in Romanian.

To minimize COKTAIL’s configuration for processing Romanian texts, we implemented a Romanian part-of-speech rule-based tagger that used the same economic adviser Gene Sperling described
corresponding English and Romanian text annotated for coreference. The elements from a coreference chain in the respective texts are underlined. The English text has only two elements in the coreference chain, whereas the Romanian text contains four different elements. The two additional elements of the Romanian coreference chain are derived due to (1) the need to translate the relative clause from the English fragment into a separate sentence in Romanian; and (2) the reordering of words in the second sentence.

Table 3: Example of parallel English and Romanian text annotated for coreference. The elements from a coreference chain in the respective texts are underlined. The English text has only two elements in the coreference chain, whereas the Romanian text contains four different elements. The two additional elements of the Romanian coreference chain are derived due to (1) the need to translate the relative clause from the English fragment into a separate sentence in Romanian; and (2) the reordering of words in the second sentence.
tags as generated by the Brill tagger. In addition, we implemented rules that identify noun phrases in Romanian.

To take advantage of the aligned corpus, SWIZZLE also relied on bilingual lexical resources that help translate the referential expressions. For this purpose, we used a core Romanian WordNet (Harabagiu, 1999) which encoded, wherever possible, links between the English synsets and their Romanian counterparts. This resource also incorporated knowledge derived from several bilingual dictionaries (e.g. (Bantaş, 1969)).

Having the parallel coreference annotations, we can easily identify their translations because they have the same identification coreference key. Looking at the example given in Table 3, the expression "legii", with ID=500 is the translation of the expression "package", having the same ID in the English text. However, in the test set, the REF fields are intentionally voided, entrusting COCKTAIL to identify the antecedents. The bilingual coreference resolution performed in SWIZZLE, however, requires the translations of the English and Romanian antecedents. The principles guiding the translations of the English and Romanian antecedents ($A^{E-R}$ and $A^{R-E}$, respectively) are:

- **Circularity:** Given an English antecedent, due to semantic ambiguity, it can belong to several English WordNet synsets. For each such synset $S^E_i$ we consider the Romanian corresponding synset(s) $S^R_i$. We filter out all $S^R_i$ that do not contain $A^{E-R}$. If only one Romanian synset is left, then we identified a translation. Otherwise, we start from the Romanian antecedent, find all synsets $S^E_i$ to which it belongs, and obtain the corresponding English synsets $S^E_i$. Similarly, all English synsets not containing the English antecedent are filtered out. If only one synset remains, we have again identified a translation. Finally, in the last case, the intersection of the multiple synsets in either language generates a legal translation. For example, the English synset $S^E = \{bill, measure\}$ translates into the Romanian synset $S^R = \{lege\}$. First, none of the dictionary translations of bill into Romanian (e.g. poziţă, bocnotă, aşa) translate back into any of the elements of $S^E$. However the translation of measure into the Romanian lege translates back into bill, its synonym.

- **Semantic density:** Given an English and a Romanian antecedent, to establish whether they are translations of one another, we disambiguate them by first collapsing all synsets that have common elements. Then we apply the circularity principle, relying on the semantic alignment encoded in the Romanian WordNet. When this core lexical database was first implemented, several other principles were applied. In our experiment, we were satisfied with the quality of the translations recognized by following only these two principles.

### 3.3 Multilingual Coreference Resolution

The SWIZZLE system was run on a corpus of 2335 referential expressions in English (927 from MUC-6 and 1408 from MUC-7) and 2851 Romanian expressions (1219 from MUC-6 and 1632 from MUC-7). Initially, the heuristics implemented in COCKTAIL were applied separately to the two textual collections. Several special cases arose.

#### Case 1

This is the ideal case, shown in Figure 3. It occurs when two referential expressions have antecedents that are translations of one another. This situation occurred in 63.3% of the referential expressions from MUC-6 and in 58.7% of the MUC-7 references. Over 50% of these are pronouns or named entities. However, all the non-ideal cases are more interesting for SWIZZLE, since they port knowledge that enhances system performance.

![Figure 3: Case 1 of multilingual coreference](image)

#### Case 2

Case 2 occurs when the antecedents are not translations, but belong to or corefer with elements of some coreference chains that were already established. Moreover, one of the antecedents is textually
closer to its referent. Figure 4 illustrates the case when the English antecedent is closer to the referent than the Romanian one.

**SWIZZLE Solutions:** (1) If the heuristic \( H(E) \) used to resolve the reference in the English text has higher priority than \( H(R) \), which was used to resolve the reference from the Romanian text, then we first search for \( RT \), the Romanian translation of \( EA \), the English antecedent. In the next step, we add heuristic \( H_1 \) that resolves \( RR \) into \( RT \), and give it a higher priority than \( H(R) \). Finally, we also add heuristic \( H_2 \) that links \( RT \) to \( RA \) when there is at least one translation between the elements of the coreference chains containing \( EA \) and \( ET \) respectively.

(2) If \( H(R) \) has higher priority than \( H(E) \), heuristic \( H_3 \) is added while \( H(E) \) is removed. We also add \( H_4 \) that relates \( ER \) to \( ET \), the English translation of \( RA \).

**Case 3** occurs when at least one of the antecedents starts a new coreference chain (i.e., no coreferring antecedent can be found in the current chains).

**SWIZZLE Solution:** If one of the antecedents corefers with an element from a coreference chain, then the antecedent in the opposite language is its translation. Otherwise, SWIZZLE chooses the antecedent returned by the heuristic with highest priority.

### 4 Results

The foremost contribution of SWIZZLE was that it improved coreference resolution over both English and Romanian texts when compared to monolingual coreference resolution performance in terms of precision and recall. Also relevant was the contribution of SWIZZLE to the process of understanding the cultural differences expressed in language and the way these differences influence coreference resolution. Because we do not have sufficient space to discuss this issue in detail here, let us state, in short, that English is more economical than Romanian in terms of referential expressions. However, the referential expressions in Romanian contribute to the resolution of some of the most difficult forms of coreference in English.

#### 4.1 Precision and Recall

Table 4 summarizes the precision results for both English and Romanian coreference. The results indicate that the English coreference is more precise than the Romanian coreference, but SWIZZLE improves coreference resolution in both languages. There were 64% cases when the English coreference was resolved by a heuristic with higher priority than the corresponding heuristic for the Romanian counterpart. This result explains why there is better precision enhancement for the English coreference.

|          | Nominal | Pronominal | Total |
|----------|---------|------------|-------|
| English  | 73%     | 89%        | 84%   |
| Romanian | 66%     | 78%        | 72%   |
| SWIZZLE on English | 76% | 93% | 87% |
| SWIZZLE on Romanian   | 71% | 82% | 76% |

Table 4: Coreference precision

Table 5 also illustrates the recall results. The advantage of the data-driven coreference resolution over other methods is based on its better recall performance. This is explained by the fact that this method captures a larger variety of coreference patterns. Even though other coreference resolution systems perform better for some specific forms of reference, their recall results are surpassed by the data-driven approach. Multilingual coreference in turn improves more the precision than the recall of the monolingual data-driven coreference systems.

In addition, Table 5 shows that the English coreference results in better recall than Romanian coreference. However, the recall shows a decrease for both languages for SWIZZLE because imprecise coreference links are deleted. As is usually the case, deleting data lowers the **recall**. All results were obtained by using the automatic scorer program developed for the MUC evaluations.

### 5 Conclusions

We have introduced a new data-driven method for multilingual coreference resolution, implemented in the SWIZZLE system. The results of this method are encouraging since they show clear improvements over monolingual coreference resolution. Currently, we are also considering the effects of a bootstrapping algorithm for multilingual coreference resolution. Through this procedure we would learn concurrently semantic consistency knowledge and better performing heuristic rules. To be able to develop such a learning approach, we must first develop a method for automatic recognition of multilingual referential expressions.
We also believe that a better performance evaluation of SWILLO can be achieved by measuring its impact on several complex applications. We intend to analyze the performance of SWILLO when it is used as a module in an IE system, and separately in a Question/Answering system.

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