Practical issues in developing semantic frameworks for the analysis of verbal fluency data: A Norwegian data case study

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Abstract

\textit{Background:} Verbal fluency tasks, which require producing as many words in response to a cue in a fixed time, are widely used within clinical neuropsychology and in neuropsychological research. Although semantic word lists can be elicited, typically only the number of words related to the cue is interpreted thus ignoring any structure in the word sequences. Automated language techniques can provide a much needed framework for extracting and charting useful semantic relations in healthy individuals and understanding how cortical disorders disrupt these knowledge structures and the retrieval of information from them.

\textit{Methods:} One minute, animal category verbal fluency tests from 150 participants consisting of healthy individuals, patients with schizophrenia, and patients with bipolar disorder were transcribed. We discuss the issues involved in building and evaluating semantic frameworks and developing robust features to analyze this data. Specifically we investigate a Latent Semantic Analysis (LSA) semantic space to obtain semantic features, such as pairwise semantic similarity and clusters.

\textit{Results and Discussion:} An in-depth analysis of the framework is presented, and then results from two measures based on LSA semantic similarity illustrate how these automated techniques provide additional, clinically useful information beyond word list cardinality.

1 Introduction

Language disturbances, especially semantic deficits, constitute one of the hallmark features of severe mental illness such as schizophrenia. Reliably and robustly quantifying these deficits in ways that can support diagnosis, gauge illness severity, determine treatment effectiveness and provide intermediate phenotypes to help further unravel the underlying genetic components of the disease has until recently proven elusive. With the advent of large, corpus-based statistical models of language, it has become possible to investigate techniques that can automatically elucidate and operationalize the semantic structure of elicited language in ways that can further these clinical goals.

Underlying these automated language techniques is an attempt to quantitatively define measures of semantic similarity based on the analysis of large sets of documents. Examples of these techniques include Latent Semantic Analysis (Furnas et al., 1988), Neural Networks and specifically Deep Learning (Hinton, 2006), Topic Models (Blei, Ng, & Jordan, 2003) and Independent Component Analysis (Hyvärinen, Karhunen, & Oja, 2004). Claims for these techniques include progress toward text understanding (Zhang & LeCun, 2015), as a theory of meaning (Landauer, 2007), characterizing the temporal flow of topics in a large set of technical articles (Griffiths & Styvers, 2010), and determining the effectiveness of treatments (Landauer, 2007).
In this paper, we focus on one of these techniques, Latent Semantic Analysis (LSA; Deerwester et al., 1990) and carefully examine the process of building an LSA semantic space and the resulting issues that arise in applying that space to generate quantitative results for Norwegian verbal fluency test data. The paper provides an in-depth methodological analysis of the approach of applying LSA in order to document the considerations for its effective use in semantic verbal fluency analysis. We provide a rationale for the use of two measures based on semantic similarity that indicate the potential of these automated techniques to provide additional clinically useful information beyond word list cardinality.

1.1 Latent Semantic Analysis

LSA generates semantic representations of words based on an analysis of a large corpus of domain relevant texts. Applying LSA begins when the corpus of texts is reduced to a term by document matrix. The columns of the matrix represent “documents”, semantically coherent segments of text (for example a paragraph, or a short encyclopedia article), across all the text in the corpus and the rows represent the union of the words that are present in the corpus. The cell at the $j$th column, $ith$ row contains a count of the number of times the $ith$ word appears in the $jth$ document. Various enhancements to this basic scheme, such as eliding common words (stop words) or applying weighting schemes for cells (see for instance Dumais, 1990) can be used to modify these counts, but for simplicity we will just call the contents of the cells counts. In Norwegian, compound words are concatenated, so for instance water (“vann”) buffalo (“boffel”) is written vannboffel, which simplifies word tokenization for the Norwegian animal words.

A lower dimensional approximation to the term by document matrix is computed using Singular Value Decomposition (SVD) (for details see for instance Berry, Dumais, & O’Brien, 1995). This lower dimensional matrix, or semantic space, distills the semantic relationships of words and contexts, such that the vector representing a document is the sum of its constituent word vectors. The latent semantic structure emerges from the dimension reduction, where semantic similarity between words or documents is computed by taking the cosine between vectors representing the words or the documents. This similarity has been exploited in numerous practical applications, such as information retrieval (Berry & Browne, 2005), essay scoring (Foltz, Laham, & Landauer, 1999) and bioinformatics (for example Homayouni et al., 2005).

LSA has been employed to chart how core cognitive processes are affected by illnesses that disturb cortical function. These include categorizing incoherence in speech during a fairy tale retelling task to distinguish patients with schizophrenia from controls (Ellevåg et al., 2007), as a more informative scoring mechanism for the Wechsler Logical Memory test (a story retelling task) (Dunn et al., 2002; Rosenstein et al., 2014), to distinguish language differences between healthy individuals and individuals with risk of psychosis (Ellevåg et al., 2010; Rosenstein et al., in press) and its use was suggested as an early indicator of Alzheimer’s disease derived from analysis of a writer’s oeuvre (Garrard et al., 2005). In all of these examples, a substantial amount (a paragraph or larger) of semantically related text was elicited and used in the analysis. Though it is more difficult to obtain semantic measures with shorter quantities of text, in his dissertation Koehn (2003) used LSA to study the degradation of semantic memory in Alzheimer’s patients using word lists from verbal fluency tests.

1.2 Verbal Fluency Tests

Verbal Fluency tests, which are also referred to as Word List Generation tests, are one of the more commonly performed neuropsychological tests. They require the participants to produce, in response to a cue, a series of words in a set period of time. In the phonemic or letter fluency test, the cue is unique words that are not proper nouns beginning with a given letter, such as “l” or “s”. In the semantic or category fluency task, the cue is unique words related to a category, for instance “animals” or “furniture”. In a test to cue affect, the cue is unique words related to an emotional state, such as “happy”. The number of correct words generated in these tasks has been shown to be a useful indicator in a number of severe mental illnesses. The verbal fluency test is easy to administer and is relatively easy to score since the scoring
rubric typically only requires a count of the correct words produced.

As our concern is with underlying changes in semantics, we limit our investigation to the semantic fluency task. Given that participants are not instructed in any way on the manner in which they should retrieve the words, *a priori* it may be surprising that a tantalizing structure runs through the thread of words from the semantic task. Bousfield and Sedgewick (1944) were the first to report on temporal patterns in participant recall, where recall occurred in fits and starts with the rate of new words decreasing over time, and Bousfield (1953) noted that participants tended to recall groups of semantically similar words. Wixted and Rohrer (1994) provide a review of the research into the structure derived from the timing literature. Based on earlier work in memory search and clustering, such as Pollio (1964), Troyer et al. (1997) posited semantic clustering and switching as two important additional features that could be extracted from word lists produced in the semantic verbal fluency tests.

An obvious difficulty of attempting to reach deeper into the structure of word lists is maintaining objectivity and reliability in detecting these clusters. Beyond the deep philosophical issues of whether to include a dog used in hunting birds ("fuglehund", variously in English a bird dog, pointing dog, or hunting dog) in a cluster containing birds, there is a strong reliability issue in defining cluster boundaries. The appendix of Troyer et al. (1997) defines a set of semantic categories for animals. The difficulty for any fixed list is that the distribution of word frequencies is such that there are many infrequent words (Zipf, 1935) ensuring that it is difficult to obtain comprehensive lists, and even if a partial list is produced the potential combinations that could constitute clusters grows combinatorially.

Pakhomov, Hemmy and Lim (2012), attempted to overcome these concerns by using a lexical database, WordNet (Miller, 1995), a curated word collection that captures hierarchical relations among words for automated analysis of verbal fluency tasks in cognitive decline. Pakhomov and Hemmy (2014) applied LSA to measure cognitive decline in data from the Nun Study, where they proposed using LSA to provide an automated, consistent, generalized measure of cluster boundaries and switching. This contrasts somewhat with Koehn (2003), where the LSA measure was derived from the overall semantic similarity of the word list, and with Nicodemus et al. (2014), where a number of LSA measures were proposed to derive quantitative measures over semantic fluency data in a candidate gene study for schizophrenia. Instead of attempting to define and detect clusters, the measures discussed in Nicodemus et al. (2014) examined the overall coherence (the semantic similarity of all pairs of words in each word list), and coherence in moving windows of fixed word length (sets of 1-3 words). We build on these applications of LSA to verbal fluency data and report on constructing a semantic space for an animal semantic fluency test in Norwegian. We visualize the resulting semantic relations and temporal paths in an effort to understand how better to detect semantic coherence and clusters, and derive useful semantic features.

## 2 Methods

### 2.1 Oslo Verbal Fluency Study

Verbal fluency data from 150 participants (50 healthy participants, 75 diagnosed with bipolar disorder and 25 diagnosed with schizophrenia; native Norwegian speakers recruited in the Oslo area) who gave informed consent was analyzed. The participants were asked to generate as many animal words in one minute as possible. The audio data was transcribed. Figure 1 shows a histogram of the list lengths.

![Figure 1: Distribution of word list lengths.](image)

Since one semantic structure of interest is the path of retrieval, we did not remove perservations (repeated words), and 57 participants had at least
one repeated word, though no word was repeated more than once by any participant. Nonadjacent perseverations (repeated words) were retained, though non-animal words were discarded, resulting in a total of 3148 words distributed over 269 unique animal words. The mean number of words per participant was 20.97 (5.83), with range from 4 to 38. Table 1 shows the distribution of repeated words by number of participants.

| Number of repeated words | 1  | 2  | 3  | 4 |
|--------------------------|----|----|----|---|
| Number of participants   | 41 | 13 | 2  | 1 |

Table 1. Occurrences of perseverations in word lists.

Keeping perseverations was one aspect of our overall goal to preserve the original intent of the participants as much as practically possible. Overall, we would prefer the semantic space automatically normalize meanings. Participants used different word forms such as “koala” and “koalabjørn” to refer to the same animal. We did not perform lemmatization, and specifically kept both singular and plural forms. The only occasion where we did intervene was when the transcription process added variability due to spelling variants, where we selected the most frequent form. In other cases we preserved the variability except where a form was poorly represented in the corpus, and then the more frequent form was used. All transcripts were checked and corrected for typographical errors. By retaining these differences, the spread of nearly similar meanings can be exploited in the process of determining thresholds for cluster boundaries. Specific modifications of the word lists are discussed as part of developing the semantic space.

2.2 Building the Semantic Space

A semantic space is most effective when it is built from a corpus that captures a wide range of naturally occurring contexts, which produces a space with a robust exposure to the category (e.g. Landauer et al., 1998). Pakhomov and Hemmy (2014) built a space based on Wikipedia articles. We chose a different route due to both the limited animal articles in the Norwegian language version of Wikipedia and also the assumption that a more general source would provide more contexts to build semantic relationships than the encyclopedia model of Wikipedia.

We selected articles from the Norwegian Newspaper Corpus (Norsk aviskorpus), version 0.9 http://www.nb.no/sbfil/tekst/norsk_aviskorpus.zip, which is a component of text resources made available by the National Library of Norway, http://www.nb.no/English/Collection-and-Services/Spraakbanken/Available-resources/Text-Resources.

The newspaper corpus consists of approximately 3.7 million articles, of which we used a subset of 3.6 million articles, excluding approximately 100,000 that were explicitly tagged as “Nynorsk”.

There were 269 unique animal words generated in the verbal fluency study. Of these words, two: “græmeis” and “svintoks” were not contained in any articles and were removed from the word lists. Two additional words “gjerv” and “papegøje” did not appear in the corpus, but alternative spellings “jerv” and “pepegøye” were substituted in the word lists. Two other words “måse” and “pandabjørn” had very few representations in the articles, but alternative spellings “måke” and “panda” were well represented, so these substitutions were made. These substitutions resulted in 263 unique animal words for the study. Approximately 620,000 newspaper articles contained one or more occurrences of those 263 animals. Figure 2 shows the frequency of articles containing the words, with the y-axis on a log10 scale. The most frequent word is “ørn” (eagle), due to a popular football team of that name, the next most frequent is “and” (duck), due to contamination from the English connective1, and the next three are “fisk” (fish), “menneske” (human) and “laks” (salmon). Excluding the tails, the plot is quite linear throughout its range.

For animals appearing in 200 or more articles, a random sample of 200 articles for each animal was added to the space, while for the 114 animals with 200 or fewer articles all the relevant articles were used. Duplicate articles were removed and each article constituted a document for the LSA analy-

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1 There are two versions of the Norwegian language – “Bokmål” and “Nynorsk”. Although “Bokmål” is used by the majority in both written and spoken language, they are of equal standing. “Bokmål” is used in the Oslo area where our data was collected, hence our exclusion of the “Nynorsk” articles.

2 We have experimented using the text categorization technique of Cavnar and Trenkle (1994) on small windows around “and” to separate English “rock and roll” article occurrences from Norwegian “spro og med peppsl og koriander” (Crispy duck with orange and coriander), though not implemented for the analysis reported here.
sis. The final space has 286,371 terms and 36,516 articles.

![Figure 2](image-url)  
Figure 2. Number of articles per animal word.

We selected 300 dimensions for the reduced dimension space based on experience with other semantic spaces and the observation that usually there is a range of dimensions with approximately similar performance. Often the number of dimensions is chosen to optimize some external performance measure (e.g. Turney & Littman, 2003) and in future work our intention is to explore the choice of dimension. All cosine similarity comparisons were derived from vectors in this 300 dimension space. About half the terms are dates and times, not of much semantic value, but we tend to be conservative in tokenization, so preserve terms such as “Øst-Afrika” (East Africa) and “økomaten” (eco-food), which increases the term count.

With the semantic space in place, we performed a set of validations of the semantic relationships. Table 2 shows the cosine similarity for singular vs. plural forms and for variant spellings (the last four rows) that were produced by the participants and transcribers. The columns include the counts in the newspaper articles (news cnt) and among the participants (part cnt). Table S1 in the Supplemental Materials contains an English/Norwegian translation for the 263 animals. Notice that plural forms are relatively uncommon among participants relative to the frequencies found in the newspaper articles. Most of the plurals have relatively high cosine to their singular form. The variant spellings of the participants follow the newspaper frequencies, except in the case of “tarantella”. Of the variant spellings, only the “ponni/ponny” pair has high cosine similarity, so the other variants were converted to the most frequent newspaper form. From the cosine similarities between the singular and plural forms, we expect that a cluster threshold will likely be at or below 0.3, if we want to keep those forms clustered.

| sing. | plural | sing news cnt | plur news cnt | sing part cnt | plur part cnt | cos( sing. plur.) |
|-------|--------|---------------|---------------|---------------|---------------|------------------|
| fisk  | fisker | 32321         | 7239          | 36            | 3             | 0.582            |
| fugl  | fugler | 7546          | 6738          | 48            | 1             | 0.815            |
| geit  | geiter | 1107          | 1224          | 53            | 2             | 0.522            |
| grisa | griser | 4630          | 3122          | 54            | 1             | 0.351            |
| hane  | honer  | 746           | 1209          | 32            | 2             | 0.649            |
| insekt| insekter| 396          | 1627          | 2             | 1             | 0.614            |
| katt  | katter | 5510          | 4075          | 132           | 1             | 0.740            |
| ku    | kyr    | 3351          | 3088          | 87            | 1             | 0.571            |
| reke  | reker  | 395           | 2942          | 3             | 1             | 0.332            |
| rote  | rotter | 686           | 5088          | 53            | 1             | 0.395            |
| var. 1| var. 2 |              |               |               |               |                  |
| giraff| sjiraff| 118           | 303           | 1             | 111           | 0.246            |
| lemen | lemmen | 371           | 150           | 5             | 1             | 0.003            |
| ponni | ponny  | 194           | 28            | 2             | 1             | 0.742            |
| taran-tell| tarantella | 341 | 77 | 1 | 3 | -0.012 |

Table 2. Singular and plural forms (top) and spelling variants (bottom 4 rows).

There are a number of additional ways to validate the overall semantic relationships in the space. Figure 3 shows the distribution of cosines taken between all pairs of animal words. The median of this distribution is essentially zero, though due to the long right tail the mean is 0.022 (.117). Of the 34,453 word pairs, only 1098 have a cosine greater than 0.3 and 2174 have a cosine greater than 0.2, so most animals have low similarity.

Another approach is to use hierarchical clustering on the cosine distance matrix among the animals to see one representation of the imposed relationships. We used hierarchical clustering from the statistical programming environment R (R Core Team, 2014).

Figure S1 in the Supplemental Materials (a high resolution version to allow magnified viewing to facilitate examining details), shows the hierarchical clustering. In addition we have labeled a few sub-
trees with categories, and smaller scale effects can be seen within categories, for instance in barnyard animals, subcategories of horses, hens and livestock naturally arise. Like any projection, hierarchical clustering reveals some relationships, while others require a different projection to be revealed. Using LSA to measure semantic similarity is equivalent to allowing the relationships that emerge from the corpus to constrain semantic similarity. The only free parameter is the cosine similarity threshold to define a cluster.

supplemental materials contains both English and Norwegian forms of the 263 animal words. Both figures indicate that as the threshold for defining a cluster is lowered the size of clusters will increase, while increasing will cause an increase in number of clusters (in the limit each word will be its own cluster).

2.3 Analysis of Fluency Data

While it is informative to examine the relationships across all the animals, our particular interest is in the sets of animals generated by each participant both in terms of the choices of animals, and the structure of the order of those choices. Figure 4 shows the distribution of cosines for all the word pairs (reiterating Figure 3 but as a density plot), as well as just the sequential pairs in the word lists of participants. While there are still a majority of unrelated pairs, the participants clearly have more structure and higher cosines with a median 0.08 and 25% of the pairs having a cosine exceeding 0.24. So, as expected, there is substantial structure here.

Figures 5 and 6 show the cosine time paths from two participants. The x-axis is the word sequence, and the y-axis is the cosine similarity between each sequential pair of words. The word pair is plotted vertically next to the cosine point. Table S1 in the supplemental materials contains both English and Norwegian forms of the 263 animal words. Both figures indicate that as the threshold for defining a cluster is lowered the size of clusters will increase, while increasing will cause an increase in number of clusters (in the limit each word will be its own cluster).

In Figure 5, we see potentially 4 clusters. The first peak might be called Africa, the second dogs, the third fish and the last pets. Where the boundaries are located and cluster membership depends on the cosine threshold. We note that the “fugl-hund” (bird dog) does cluster with dogs, but not with the bird “papegoje” (parrot), and the overall bird similarity is quite low in this sequence.

In Figure 6, the sequence begins with four fish, but the cluster likely ends with “hai” (shark) then
“hval” (whale) and a return to fish in the next peak. In addition there is a long low peak of barnyard animals, followed by a pet peak and a small bear peak. How the threshold is set in conjunction with the semantic similarity of the space will greatly influence the shape of clusters.

These examples illustrate that clusters may have a good deal of variability, since they can be dependent on single words to delimit the cluster. This implies that distortions of the words “and” and “ørn” due to non-animal meanings and words absent from the corpus such as “gråmeis” and “svintoks” may have disproportionate effects. Investigating measures that are more robust to small changes in single words seems a profitable direction. A measure less affected by single word variability is the area under the temporal curve, which if divided by the number of words is just the mean of the cosine pairs.

Figures 5 and 6 indicate that it would be useful to better understand the relationship between threshold and number of clusters over the participants’ data. Figure 7 shows the tradeoff in terms of number of clusters as the threshold for cluster boundary is increased. We see a rapid growth and then a leveling off toward the asymptote. The curve drawn in the figure is a locally weighted regression (Loader, 2013) to help visualize the relationship. Following Pollio (1964) the vertical line is at the 75th percentile of the cosine distribution, and is our first pass at a threshold, though further experimentation is necessary to better understand how to set this value.

2.4 Continuous Space Word Representation

To validate this approach, we built a semantic space based on a second automated technique, continuous space word representations (Mikolov, Yih, & Zweig, 2013) with the exact same corpus as the LSA space, utilizing bag-of-words and 300 dimensions, using the word2vec4 software. We chose this representation since it belongs to the family of statistical vector space representations which use cosine similarity to measure semantic closeness. The mean cosine and cosines using word pairs from the participants were both higher than for the LSA space and well above the mean for 1000 randomly chosen word pairs (mean all animal pairs=0.114 (0.100), for participants=0.275 (0.137), random pairs=0.040 (0.078)).

Figure 8 reprises the first example word list shown for LSA-based semantics in Figure 5, but now using cosine similarity from the new space. The main feature of four peaks remains, but there are differences such as now instead of increasing similarity with on the right (pets), the plot levels off.

To further compare the semantic spaces, we took the correlation between all 263 animal pairs in the two spaces and the subset of pairs generated by the participants. For all pairs the correlation was 0.505 and for the participant pairs the correlation was 0.727, with 95% confidence interval (0.709, 0.747).

4 http://code.google.com/p/word2vec/
0.743. This is a quite interesting result in that pairs humans generate have higher similarity, but also that both models capture more similar semantic patterns over the human generated pairs. This result increases our confidence that these models are capturing critical aspects of human semantic organization.

2.5 Differences in Diagnostic Groups

The primary purpose of developing this semantic framework is to provide the basis for much needed tools to measure how semantic structures are affected by cortical disorders. Utilizing the LSA space and threshold from Section 2.3, we can now begin that process. We compute three measures on the data, the mean number of words per diagnostic group, the mean cosine, and a cluster measure, the cluster fraction which is the number of clusters divided by the number of words. Since the number of clusters is limited by the number of words, we need a measure that factors out the number of words, and dividing by the number of words is a way to achieve that aim. Table 3 shows the three measures and their standard deviations, as well as the number of participants for the three groups, control (CNTL), bipolar disorder (BD) and schizophrenia (SZ).

All three measures are significantly different among the groups: number of words (F[2,147] = 13.117, p = 5.73e-6), mean cosine (F[2,147] = 3.398, p = .036), and cluster fraction (F[2,147] = 3.190, p = 0.044). The two new semantic features are only moderately correlated to number of words, mean cos, cor = 0.301 and cluster fraction, cor = -0.254, indicating both provide additional information beyond the number of words. The control group results are consistent with normative word count results reported by Egeland et al. (2006), where in their Table 5 they report a mean animal word list length of 23.5 (5.7) for 201 participants. Unfortunately, they did not separately report animal counts for their groups with schizophrenia or depression.

| Group | n   | num words | mean cos | cluster frac |
|-------|-----|-----------|----------|--------------|
| CNTL  | 50  | 23.92(4.750) | 0.172(0.0597) | 0.736(0.0994) |
| BD    | 75  | 20.12(5.273)  | 0.151(0.0589) | 0.778(0.103) |
| SZ    | 25  | 17.64(6.867)  | 0.137(0.0572) | 0.794(0.131) |

Table 3. Mean(sd) semantic features by group.

The direction of change is consistent among the three measures, number of words decreases from control to bipolar disease to schizophrenia, semantic coherence between pairs of words also drops in that order, and cluster fraction, which increases as pairwise semantic coherence decreases moves in the expected opposite direction to the other two measures.

3 Discussion

The aim of this paper is to illustrate a semantic framework that can provide tools for measuring how semantic structure is affected by cortical disorders. The approach illustrates that effective semantic representations can be developed through automated language models such as LSA. While it is possible to treat automated language models as black boxes, we have attempted to show that there are many ways these spaces can be probed to ensure that they provide useful semantic relations that correspond to human results and provide potentially clinically useful applications.

From comparing the semantic similarity of singular to plural forms or visualizing the semantic path of verbal fluency word lists, we gain confidence that the mathematical models behind the scenes matches our understanding. When we compared LSA to a continuous space model, we observed strong overlap in the semantic relations increasing our confidence in this enterprise. Delegating the responsibility to determine semantic similarity to an automated method, captures a consensus view of semantics based on the corpus used in building the semantic relationships. This ap-
approach can help reduce variability due to human judgements, making it easier to detect important patterns in the data. Individual differences will continue to make it difficult to detect diagnostic group differences, but by having multiple classes of semantic features we improve the chances of capturing those group differences. Our next steps are to use this knowledge to continue to build robust semantic features and attempt to operationalize those features with fluency as well as with other tasks. The overall framework provides a means to continue work to better understand how to use semantics to build robust features, and apply it to data.

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