Understanding the Role of Training Regimes in Continual Learning

Seyed Iman Mirzadeh  
Washington State University, USA  
seyediman.mirzadeh@wsu.edu

Mehrdad Farajtabar  
DeepMind, USA  
farajtabar@google.com

Razvan Pascanu  
DeepMind, UK  
razp@google.com

Hassan Ghasemzadeh  
Washington State University, USA  
hassan.ghasemzadeh@wsu.edu

Abstract

Catastrophic forgetting affects the training of neural networks, limiting their ability to learn multiple tasks sequentially. From the perspective of the well established plasticity-stability dilemma, neural networks tend to be overly plastic, lacking the stability necessary to prevent the forgetting of previous knowledge, which means that as learning progresses, networks tend to forget previously seen tasks. This phenomenon coined in the continual learning literature, has attracted much attention lately, and several families of approaches have been proposed with different degrees of success. However, there has been limited prior work extensively analyzing the impact that different training regimes – learning rate, batch size, regularization method – can have on forgetting. In this work, we depart from the typical approach of altering the learning algorithm to improve stability. Instead, we hypothesize that the geometrical properties of the local minima found for each task play an important role in the overall degree of forgetting. In particular, we study the effect of dropout, learning rate decay, and batch size, on forming training regimes that widen the tasks’ local minima and consequently, on helping it not to forget catastrophically. Our study provides practical insights to improve stability via simple yet effective techniques that outperform alternative baselines.

1 Introduction

We study the continual learning problem, where a neural network model should learn a sequence of tasks rather than a single one. A significant challenge in continual learning (CL) is that during training on each task, the data from previous ones are unavailable. One consequence of applying typical learning algorithms under such a scenario is that as the model learns newer tasks, the performance of the model on older ones degrades. This phenomenon is known as “catastrophic forgetting” [50].

This forgetting problem is closely related to the “stability-plasticity dilemma” [51], which is a common challenge for both biological and artificial neural networks. Ideally, a model needs plasticity to obtain new knowledge and adapt to new environments, while it also requires stability to prevent forgetting the knowledge from previous environments. If the model is very plastic but not stable, it can learn fast, but it also forgets quickly. Without further modifications in training, a naively trained neural network tends to be plastic but not stable. Note that plasticity in this scenario does not necessarily imply that neural nets can learn new tasks efficiently. In fact, they tend to be extremely data inefficient. By being plastic, we mean a single update can change the function considerably.

With the recent advances in the deep learning field, continual learning has gained more attention since the catastrophic forgetting problem poses a critical challenge for various applications [41, 35]. A growing body of research has attempted to tackle this problem in recent years [56, 69, 54, 27].

1 The code is available at https://github.com/imirzadeh/stable-continual-learning

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Despite the tangible improvements in the continual learning field, the core problem of catastrophic forgetting is still under-studied. In particular, a variety of neural network models and training approaches have been proposed, however, to the best of our knowledge, there has been little work on systematically understanding the effect of common training regimes created by varying dropout regularization, batch size, and learning rate on overcoming catastrophic forgetting. Fig. 1 shows how significantly these techniques can overcome catastrophic forgetting.

In this work, we explore the catastrophic forgetting problem from an optimization and loss landscape perspective (Section 3) and hypothesize that the geometry of the local minima found for the different learned tasks correlates with the ability of the model to not catastrophically forget. Empirically we show how a few well-known techniques, such as dropout and large learning rate with decay and shrinking batch size, can create a training regime to affect the stability of neural networks (Section 4). Some of them, like dropout, had been previously proposed to help continual learning [20, 52]. However, in this work, we provide an alternative justification of why these techniques are effective. Crucially, we empirically show that jointly with a carefully tuned learning rate schedule and batch size, these simple techniques can outperform considerably more complex algorithms meant to deal with continual learning (Section 5). Our analysis can be applied to any other training technique that widens the tasks’ local minima or shrinks the distance between them.

2 Related work

Several continual learning methods have been proposed to tackle catastrophic forgetting. Following [41], we categorize these algorithms into three general groups.

The first group consists of replay based methods that build and store a memory of the knowledge learned from old tasks [40, 59, 78, 65, 60], known as experience replay. iCaRL [58] learns in a class-incremental way by having a fixed memory that stores samples that are close to the center of each class. Averaged Gradient Episodic Memory (A-GEM) [6] is another example of these methods which build a dynamic episodic memory of parameter gradients during the learning process while ER-Reservoir [6] uses a Reservoir sampling method as its selection strategy.

The methods in the second group use explicit regularization techniques to supervise the learning algorithm such that the network parameters are consistent during the learning process [39, 76, 42, 1, 40]. As a notable work, Elastic weight consolidation (EWC) [39], uses the Fisher information matrix as a proxy for weights’ importance and guides the gradient updates. They are usually inspired by a Bayesian perspective [53, 68, 64, 12, 61]. With a frequentist view, some other regularization based methods have utilized gradient information to protect previous knowledge [13, 22, 75]. For example, Orthogonal Gradient Descent (OGD) [13] uses the projection of the prediction gradients from new tasks on the subspace of previous tasks’ gradients to maintain the learned knowledge.

Finally, in parameter isolation methods, in addition to potentially a shared part, different subsets of the model parameters are dedicated to each task [62, 74, 53, 57, 44]. This approach can be viewed as a flexible gating mechanism, which enhances stability and controls the plasticity by activating different gates for each task. [48] proposes a neuroscience-inspired method for a context-dependent gating signal, such that only sparse, mostly non-overlapping patterns of units are active for any one task. PackNet [47] implements a controlled version of gating by using network pruning techniques to free up parameters after finishing each task.

While continual learning is broader than just solving the catastrophic forgetting, in this work we will squarely focus on catastrophic forgetting, which has been an important aspect, if not the most

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2 Potential exceptions being the early work of Goodefellow et. al [20] and a recent one by Mirzadeh et. al [52]
We hypothesize that\[ \Delta \lambda \] where, the expectation is over the data distribution of task \( k \) corresponding to \( \lambda \) for this relationship on Rotated MNIST and Permuted MNIST.

leads to less forgetting, both in terms of an illustrative example as well as showing empirical evidence

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One important assumption that we rely on throughout this section is that we can use a second-

order Taylor expansion of our losses to understand the learning dynamics during the training of

the model. While this might seem as a crude approximation in general — for a nonlinear function

and non-infinitesimal displacement this approximation can be arbitrarily bad — we argue that the

approximation has merit for our setting. In particular, we rely on the wealth of observations for

overparametrized models where the loss tends to be very well behaved and almost convex in a

reasonable neighborhood around their local minima. E.g. for deep linear models this property

has been studied in [63]. Works as [10, 21] make similar claims for generic models. [29] also

corroborates that within the NTK regime learning is well behaved. In continual learning, similar

strong assumptions are made by most approaches that rely on approximating the posterior on the

weights by a Gaussian [39] or a first-order approximation of the loss surface around the optimum [13].

Armed with this analytical tool, to compute the forgetting, we can approximate \( L \) weights by a Gaussian [39] or a first-order approximation of the loss surface around the optimum [13].

\[
F_1 = L_1(\hat{w}_2^*) - L_1(\hat{w}_1^*) \approx \frac{1}{2} \Delta w^\top \nabla^2 L_1(\hat{w}_1^*) \Delta w \leq \frac{1}{2} \lambda_1^{\text{max}} \| \Delta w \|^2, \tag{5}
\]

where \( \lambda_1^{\text{max}} \) is the maximum eigenvalue of \( \nabla^2 L_1(\hat{w}_1^*) \). Fig. 2a shows how wider \( L_1 \) (lower \( \lambda_1^{\text{max}} \)) leads to less forgetting, both in terms of an illustrative example as well as showing empirical evidence for this relationship on Rotated MNIST and Permuted MNIST.

A few notes on this bound. First, the bound is achieved when \( \Delta w \) is co-aligned to the eigenvector corresponding to \( \lambda_1 \). Given that the displacement is generated by the learning process on task 2, we
can think of it as a random vector with respect to the eigenvectors of the Hessian of the first task. We know, therefore, that the tightness of the bound correlates with the dimensionality of \( w \). In the extreme one-dimensional case, the Hessian matrix becomes a scalar given by \( \lambda_1^{max} \), and the bound is exact. As we increase the number of dimensions, the probability of two vectors to be perpendicular goes to 1. Hence in high-dimensional spaces is more likely for the bound to be relatively loose and for the entire spectrum of eigenvalues to play a much more important role in defining \( \hat{F}_1 \). Namely, as the number of eigenvalues with low magnitude increases, the more likely it is for \( \hat{F}_1 \) to be small. Assuming that the displacement vector is equally distributed over all the eigenvectors, then the trace of the Hessian will correlate stronger with \( \lambda_1^{max} \) than the largest eigenvalue. However, reasoning in terms of the spectrum can be impractical (note, for example, that one can not trivially re-write the bound in terms of the trace). So we believe it is useful to think about \( \lambda_1^{max} \) as long as any conclusion is contextualized correctly and the training regime we consider, implicitly, is aimed at lowering the entire spectrum, not just the largest eigenvalue.

We also want to highlight \( \lambda_1^{max} \) has been used previously to describe the width of a local minima \([26, 37]\), with similar notes regarding the role of the entire spectrum \([11, 37]\). This property is central to the wide/narrow minima hypothesis for why neural networks generalize well. Our hypothesis is not tight to the generalization of wide minima, but we rely on the same or at least a very related concept of width. Therefore, to reduce forgetting, each task should push its learning towards wider minima and can employ the same techniques used to widen the minima to improve generalization.

Resuming from Eq. 5 controlling the Hessian spectrum without controlling the norm of the displacement, however, might not ensure that \( \hat{F}_1 \) is minimized.

\[ ||\Delta w|| \] is technically controlled by the subsequent tasks. We first notice, empirically, that enforcing widening the minima of the next task (for the same reason of reducing forgetting on itself) inhibits additionally forgetting for the first task (see Table 1, not stable/plastic means relying on training regimes that encourage/do not encourage wide minima. We empirically estimate the width of minima as well, see appendix C for details). We make the observation that the width of the minima (norm of the eigenvalues) correlates with the norm of the weights. Hence the solutions in the stable learning regime tend to be closer to 0, which automatically decrease \( ||\Delta w|| \).

Additionally, \( ||\Delta w|| \) relates to \( \lambda_2^{max} \) also due to typical learning terminating near a minima, rather than at the minima. Refer to fig. 2b for an illustration. Specifically, the convergence criterion is usually satisfied in the \( \epsilon \)-neighborhood of \( w_2^* \). If we write the second order Taylor approximation of \( L_2 \) around \( w_2^* \), we get:

\[
L_2(\hat{w}_2) - L_2(w_2^*) \approx \frac{1}{2} (\hat{w}_2 - w_2^*)^T \nabla^2 L_2(\hat{w}_2) (\hat{w}_2 - w_2^*) \leq \frac{1}{2} \lambda_2^{max} ||w_2^* - \hat{w}_2||^2 \leq \epsilon, \quad (6)
\]

where, the first equality holds since \( \nabla L_2(w_2^*) = 0 \). Thus, by decreasing \( \lambda_2^{max} \), \( \hat{w}_2 \) can be reached further from \( w_2^* \) since the \( \epsilon \)-neighborhood is larger, and closer to \( w_2^* \). A more formal analysis is given in the appendix. Note that as we enforce the error on task 2 to be lower, the argument above weakens. In the limit, if we assume you converged on task 2, the distance does not depend on curvature, just \( ||w_1^* - w_2^*|| \). However, the choice of which minima \( w_2^* \) learning prefers will still affect the distance,
and as argued above, if wider minima tend to be closer to 0, then they tend to be closer to each other too. Collating all of these observations together we propose the following hypothesis:

**Hypothesis.** The amount of forgetting that a neural network exhibits from learning the tasks sequentially, correlates with the geometrical properties of the convergent points. In particular, the wider these minima are, the less forgetting happens.

We empirically verify the relationship between forgetting and the upper bound derived in Eq. (5). We approximate the Hessian with the largest eigenvalue of the loss function. The results in two common continual learning benchmarks is shown in Figures 2c and 2d. In the figure, the dots represent different neural network training regimes with different settings (e.g., with and without dropout, with and without learning rate decay, different initial learning rates, different batch sizes, different random initialization). See section 4 to find out how these techniques can lead to different loss geometries. All of the models have roughly 90% accuracy on task 2. We can see that our derived measure has high correlation with the forgetting.

4 Training Regimes: techniques affecting stability and forgetting

In this section, we describe a set of widely used techniques that are known to affect the width of the minima (eigenspectrum of Hessian) as well as the length of the path taken by learning ($||\Delta w||$). These observations had been generally made with respect to improving generalization, following the wide/narrow minima hypothesis. Based on the argumentation of the previous section, we believe these techniques can have an important role in affecting forgetting as well. In the following section, we will validate this through solid empirical evidence that agrees with our stated hypothesis.

4.1 Optimization setting: learning rate, batch size, and optimizer

There has been a large body of prior work studying the relationship between the learning rate, batch size, and generalization. One common technique of analysis in this direction is to measure the largest eigenvalues of the Hessian of the loss function, which quantifies the local curvature around minima [37, 15, 31, 30, 31]. Followed by the work by Keskar et al. [37], several other papers studied the correlation between minima wideness and generalization [30, 49, 31].

The learning rate and batch size influence both the endpoint curvature and the whole trajectory [73, 16, 43]. A high learning rate or a small batch size limits the maximum spectral norm along the path found by SGD from the beginning of training [31]. This is further studied by Jastrzebski et al. [32], showing that using a large learning rate in the initial phase of training reduces the variance of the gradient, and improves the conditioning of the covariance of gradients which itself is close to the Hessian in terms of the largest eigenvalues [77].

Although having a higher learning rate tends to be helpful since it increases the probability of converging to a wider minima [32], considering a continual optimization problem, we can see it has another consequence: it contributes to the rate of change (i.e., $\Delta w$ in (5)). Using a higher learning rate means applying a higher update to the neural network weights. Therefore, since the objective function changes throughout time, having a high learning rate is a double-edged sword. Intuitively speaking, decreasing the learning rate across tasks prevents the parameters from going far from the current optimum, which helps reduce forgetting. One natural solution could be to start with a high initial learning rate for the first task to obtain a wide and stable minima. Then, for each subsequent task, slightly decrease the learning rate but also decrease the batch-size instead, as suggested in [66].

Regarding the choice of an optimizer, we argue for the effectiveness of SGD in continual learning setting compared to adaptive optimizers. Although the adaptive gradient methods such as Adam [38] tend to perform well in the initial phase of training, they are outperformed by SGD at later stages of training due to generalization issues [55, 9]. Wilson et al. [72] show that even for a toy quadratic problem, Adam generalizes provably worse than SGD. Moreover, Ge et al. [18] study the effectiveness of exponentially decaying learning rate and show that in its final iterate, it can achieve a near-optimal error in stochastic optimization problems.

**Connection to continual learning.** The effect of learning rate and batch-size has not been directly studied in the context of continual learning, to the extent of our knowledge. However, we find that the reported hyper-parameters in several works match our findings. Using a small batch size is very common across the continual learning methods. OGD [13] uses a small batch size of 10,
similar to several other works \cite{8,6,7}. EWC \cite{39} uses a batch size of 32 and also the learning rate decay of 0.95. iCaRL \cite{58} starts from a large learning rate and decays the learning at certain epochs exponentially by a factor of 0.2, while it uses a larger batch size of 128. Finally, PackNet \cite{47} also reports using a learning rate decay by a factor of 0.1. When it comes to choosing the optimizer, the literature mostly prefers SGD with momentum over the adaptive gradient methods. With the exception of VCL \cite{53}, which uses Adam, several other algorithms such as A-GEM, OGD, EWC, iCaRL, and PackNet use the standard SGD.

4.2 Regularization: dropout and weight decay

We relate the theoretical insights on dropout and $L_2$ regularization (weight decay) to our analysis in the previous section. We first argue for the effectiveness of dropout, and then we discuss why $L_2$ regularization might hurt the performance in a continual learning setting.

Dropout \cite{25} is a well-established technique in deep learning, which is also well-studied theoretically \cite{3,67,24,17,70}. Wei et al. \cite{70} showed that dropout has both implicit and explicit but entangled regularization effects: More specifically, they provided an approximation for the explicit effect on the $i$-th hidden layer (denoted by $h_i$) under input $x$ by: $\langle \nu^{p-1} | \nabla h_i^2, L \rangle^T \nu \text{diag}(h_i^2)$, where $p$ is the dropout probability, $L$ is the loss function, and $\text{diag}(v)$ is a diagonal matrix of vector $v$. This term encourages the flatness of the minima since it minimizes the second derivative of the loss with respect to the hidden activation (that is tightly correlated with the curvature with respect to weights). Thus, dropout regularization reduces the R.H.S of Eq. (5). Note that by regularizing the activations norm, it also pushes down the norm of the weights, hence encouraging to find a minima close to 0, which in turn could reduce the norm of $\Delta w$. Intuitively, we can understand this effect also from the tendency of dropout to create redundant features. This will reduce the effective number of dimensions of the representation, increasing the number of small-magnitude eigenvalues for the Hessian. As a consequence, gradient updates of the new tasks are less likely to lie on the space spanned by significant eigendirections of previous losses, which results in lesser forgetting.

With respect to $L_2$ regularization, while intuitively it should help, we make two observations. First, dropout is data-dependent, while $L_2$ is not. That means balancing the effect of regularization with learning is harder, and in practice, it seems to work worse (both for the currently learned task and for reducing forgetting while maintaining good performance on the new task). Secondly, when combined with Batch Normalization \cite{28}, $L_2$ regularization leads to an Exponential Learning Rate Schedule \cite{45}, meaning that in each iteration, multiplying the initial learning rate by $(1 + \alpha)$ for some $\alpha > 0$ that depends on the momentum and weight decay rate. Hence, using $L_2$ regularization is equivalent to increasing the learning rate overall, potentially leading to larger displacements $\Delta w$.

**Connection to continual learning.** To the best of our knowledge, the work by Goodfellow et al. \cite{20} is the first to empirically study the importance of the dropout technique in the continual learning setting. They hypothesize that dropout increases the optimal size of the network by regularizing and constraining the capacity to be just barely sufficient to perform the first task. However, by observing some inconsistent results on dissimilar tasks, they suggested dropout may have other beneficial effects too. Very recently, \cite{52} studied the effectiveness of dropout for continual learning from the gating perspective. Our work extends their analysis in a more general setting by studying the regularization effect of dropout and its connection to loss landscape. Finally, \cite{41} conducted a comprehensive empirical study on the effect of weight decay and dropout on the continual learning performance and reported that the model consistently benefits from dropout regularization as opposed to weight decay which results in increased forgetting and lower performance on the final model.

5 Experiments and results

In this section, after explaining our experimental setup, we show the relationship between the curvature of the loss function and the amount of forgetting. We use the terms Stable and Plastic (Naïve) to distinguish two different training regimes. The stable network (or stable SGD) exploits the dropout regularization, large initial learning rate with exponential decay schedule at the end of each task, and small batch size, as explained in Sec. [4]. In contrast, the plastic (naïve) SGD model does not exploit these techniques. In the second experiment, we challenge the stable network and compare it to various state of the art methods on a large number of tasks and more difficult benchmarks.
5.1 Experimental setup

Here, we discuss our experimental methodologies. The decisions regarding the datasets, network architectures, continual learning setup (e.g., number of tasks, training epochs per task), hyperparameters, and evaluation metrics are chosen to be consistent with several other studies [6, 8, 17, 13], making it easy to compare our results. For all experiments, we report the average and standard deviation over five runs, each with a different random seed. For brevity, we include the detailed hyper-parameters, the code, and instructions for reproducing the results in the supplementary file.

Datasets. We perform our experiments on three standard continual learning benchmarks: Permuted MNIST [20], Rotated MNIST, and Split CIFAR-100. While we agree with [14] regarding the drawbacks of Permuted MNIST in continual learning settings, we believe for consistency with other studies, it is essential to report the results on this dataset as well. Moreover, we report our results on Rotated MNIST and CIFAR-100 that are more challenging and realistic datasets for continual learning benchmarks, once the number of tasks is large. Each task of permuted MNIST is generated by random shuffling of the pixels of images such that the permutation is the same for the images of the same task, but different across different tasks. Rotated MNIST is generated by the continual rotation of the MNIST images where each task applies a fixed random image rotation (between 0 and 180 degrees) to the original dataset. Split CIFAR-100 is a variant of the CIFAR-100 where each task contains the data from 5 random classes (without replacement) out of the total 100 classes.

Models. In our first experiment (Sec. 5.2), we evaluate the continual learning performance over five sequential tasks to provide fine-grained metrics for each task. For this experiment, we use a feed-forward neural network with two hidden layers, each with 100 ReLU neurons and use the deflated power iteration for computing eigenvalues [55]. For the second experiment (Sec. 5.3), we scale the experiments to 20 tasks and use a two-layer network with 256 ReLU neurons in each layer for MNIST datasets, and a ResNet18, with three times fewer feature maps across all layers for CIFAR experiments. These architectures have been previously chosen in several studies [6, 8, 13, 7].

Evaluation. We use two metrics from [5, 6, 8] to evaluate continual learning algorithms when the number of tasks is large.

(1) Average Accuracy: The average validation accuracy after the model has been trained sequentially up to task \( t \), defined by:

\[
A_t = \frac{1}{T} \sum_{i=1}^{t} a_{t,i}
\]  

where, \( a_{t,i} \) is the validation accuracy on dataset \( i \) when the model finished learning task \( t \).

(2) Average Forgetting: The average forgetting after the model has been trained sequentially on all tasks. Forgetting is defined as the decrease in performance at each of the tasks between their peak accuracy and their accuracy after the continual learning experience has finished. For a continual learning dataset with \( T \) sequential tasks, it is defined by:

\[
F = \frac{1}{T-1} \sum_{i=1}^{T-1} \max_{t \in \{1, \ldots, T-1\}} (a_{t,i} - a_{T,i})
\]

5.2 Stable versus Plastic networks

Here, we verify the significance of the training regime in continual learning performance (Sec. 3) and demonstrate the effectiveness of the stability techniques (Sec. 4) in reducing the forgetting.

Each row of Figure 3 represents one of three related concepts for each training regime on each dataset. First, the top row shows the evolution of accuracy on validation sets of each task during the continual learning experience. For instance, the blue lines in this row show the validation accuracy of task 1 throughout the learning experience. In the Middle row, we show the twenty sharpest eigenvalues of the curvatures of each task. In the bottom row, we measure the \( \ell_2 \) distance of network parameters between the parameters learned for each task, and the parameters learned for subsequent tasks.

Aligned with our analysis in Section 3, we show that in contrast to plastic regime, the stable training reduces the catastrophic forgetting (Fig. 3 (Top)) thanks to (1) decreasing the curvature (Fig. 3 (Middle)) and (2) shrinking the change of parameters (Fig. 3 (Bottom)).
While our stable network performs consistently better than other algorithms, we note that our proposed
(i.e., after learning task 20). Moreover, Fig. 4 provides a more detailed picture of the average accuracy
their initial values after learning each task.

5.3 Comparison with other methods

In this experiment, we show that the stable network is a strong competitor for various continual
algorithms. In this scaled experiment, we increase the number of tasks from 5 to 20, and provide results for Split CIFAR-100, which is a challenging benchmark for continual learning
The spectrum of the Hessian for each task: the eigenvalues in stable networks are significantly smaller.
(Bottom) The degree of parameter change: in stable networks, the parameters remain closer to the
To have a consistent naming with other studies, in this section, we use the word “Naive” to describe
Techniques are orthogonal to other works and can also be incorporated in them.
forgetting, we provide a comparison of the first task’s accuracy in the appendix.
provide results for Split CIFAR-100, which is a challenging benchmark for continual learning
methods. The episodic memory size for A-GEM and ER-Reservoir is limited to be one example per
task (i.e., 200 examples for MNIST experiments and 100 for CIFAR-100), similar to [8,17].
While our stable network performs consistently better than other algorithms, we note that our proposed
techniques are orthogonal to other works and can also be incorporated in them.

Table 2 compares these metrics for each method once the continual learning experience is finished
(i.e., after learning task 20). Moreover, Fig. 4 provides a more detailed picture of the average accuracy
during the continual learning experience. To show that stable networks suffer less from catastrophic
forgetting, we provide a comparison of the first task’s accuracy in the appendix.

Table 2: Comparison of the average accuracy and forgetting of several methods on three datasets.

| Method              | Memoryless | Permitted MNIST | Rotated MNIST | Split CIFAR100 |
|---------------------|------------|----------------|--------------|---------------|
|                     |            | Accuracy       | Forgetting   | Accuracy       | Forgetting   |
| Naive SGD           | ✓          | 44.4 (±2.46)   | 0.53 (±0.03) | 46.3 (±1.37)   | 0.52 (±0.01) |
|                      |            | 40.4 (±2.83)   | 0.31 (±0.02) |
| EWC                 | ✓          | 70.7 (±1.74)   | 0.23 (±0.01) | 48.5 (±1.24)   | 0.48 (±0.01) |
|                      |            | 42.7 (±1.89)   | 0.28 (±0.03) |
| A-GEM               | ✗          | 65.7 (±0.51)   | 0.29 (±0.01) | 55.3 (±1.47)   | 0.42 (±0.01) |
|                      |            | 50.7 (±2.32)   | 0.19 (±0.04) |
| ER-Reservoir        | ✗          | 72.4 (±0.42)   | 0.16 (±0.01) | 69.2 (±1.10)   | 0.21 (±0.01) |
|                      |            | 46.9 (±0.76)   | 0.21 (±0.03) |
| Stable SGD          | ✓          | 80.1 (±0.51)   | 0.09 (±0.01) | 70.8 (±0.78)   | 0.10 (±0.02) |
|                      |            | 59.9 (±1.81)   | 0.08 (±0.01) |
| Multi-Task Learning | N/A        | 86.5 (±0.21)   | 0.00         | 87.3(±0.47)    | 0.00         | 64.8(±0.72)  | 0.00         |
6 Conclusion

In this work, we revisit the catastrophic forgetting problem from loss landscapes and optimization perspective and identify learning regimes and training techniques that contribute to the forgetting. The analytical insights yielded a series of effective and practical techniques that can reduce forgetting and increase the stability of neural networks in maintaining previous knowledge.

We analyzed these techniques through the lens of optimization by studied the wideness of the loss surfaces around the local minima. However, they might have other confounding factors for reducing catastrophic forgetting as well. We call for more theoretical research to further their role in demystifying trading off the stability plasticity dilemma and its effect on continual learning.

Finally, we have empirically observed that these simple techniques proved to be more effective than some of the recent approaches (e.g., regularization based methods, or memory-based methods) but are orthogonal to them in the sense that our practical recommendations and provided insights on loss perspective can be incorporated to them. This is left as a very interesting future work.

Broader Impact

Continual Learning aims for effectively training a model from sequential tasks while making sure the model maintains a reasonable performance on the previous ones. It’s an integral part of Artificial General Intelligence (AGI) that reduces the cost of retraining (time, computation, resources, energy) and mitigates the need for storing all previous data to respect users’ privacy concerns better. Reducing catastrophic forgetting may potentially risk privacy for data that are explicitly wanted to be forgotten. This calls for more future research into formalizing and proposing continual learning agents that allow the identifiable parts of data to be forgotten, but the general knowledge is maintained. The research presented in this paper can be used for many different application areas and a particular use may have both positive or negative implications. Besides those, we are not aware of any immediate short term negative impact.

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Supplementary material

In this document, we present the materials that were excluded or summarized due to space limitation in the main text. It is organized as follows:

**Appendix A** extends our analysis in Section 3 of the main text regarding the forgetting in continual learning.

**Appendix B** provides further information for our experimental setup and the hyper-parameters used for each experiment. Note that, in addition to this document, we provide our code with scripts to reproduce the results for each experiment.

**Appendix C** includes additional experiments and results. More specifically, it includes:

- Additional information about Figure 7 such as accuracy on all tasks.
- Extended version of Table I with detailed discussion.
- Additional results regarding the norm of parameters in our first experiment (Section 5.2).
- Additional results regarding the comparison of the first task accuracy for algorithms in our second experiment (Section 5.3).
- A new experiment in Appendix C.5, where we apply the stability techniques for other methods such as A-GEM and EWC. We demonstrate that these methods can enjoy a performance boost if they use a stable training regime.

A Further analysis

In this section, we extend our analysis in Section 3 of the main paper.

Let $w_1^*$ and $w_2^*$ still be the optimal points for the tasks while $\hat{w}_1$ and $\hat{w}_2$ are the convergent or (near-) optimum parameters after training has been finished for the first and second task, sequentially. We usually use Stochastic Gradient Descent (SGD) or its many variants to find a low-error plateau for the optimization procedure. We can define the forgetting (of the first task) using the convergent point too:

$$F_1 \triangleq L_1(\hat{w}_2) - L_1(\hat{w}_1). \quad (9)$$

We can approximate $L_1(\hat{w}_2)$ and $L_1(\hat{w}_1)$ around $w_1^*$:

$$L_1(\hat{w}_1) \approx L_1(w_1^*) + (\hat{w}_1 - w_1^*)^T \nabla L_1(w_1^*) + \frac{1}{2}(\hat{w}_1 - w_1^*)^T \nabla^2 L_1(w_1^*)(\hat{w}_1 - w_1^*) \quad (10)$$

$$\approx L_1(w_1^*) + \frac{1}{2}(\hat{w}_1 - w_1^*)^T \nabla^2 L_1(w_1^*)(\hat{w}_1 - w_1^*), \quad (11)$$

where, $\nabla^2 L_1(w_1^*)$ is the Hessian for loss $L_1$ at $w_1^*$ and the last equality holds because the model is assumed to converge to critical point or it had stopped in a plateau where gradient’s norm vanishes, thus $\nabla L_1(w_1^*) \approx 0$. Similarly,

$$L_1(\hat{w}_2) \approx L_1(w_2^*) + \frac{1}{2}(\hat{w}_2 - w_2^*)^T \nabla^2 L_1(w_2^*)(\hat{w}_2 - w_2^*). \quad (12)$$

Defining $\Delta w = \hat{w}_2 - \hat{w}_1$ as the relocation vector we compute the forgetting according to Eq. (9):

$$F_1 \approx \frac{1}{2}((\hat{w}_2 - w_2^*)^T \nabla^2 L_1(w_1^*)(\hat{w}_2 - w_2^*) - (\hat{w}_1 - w_1^*)^T \nabla^2 L_1(w_1^*)(\hat{w}_1 - w_1^*)) \quad (13)$$

$$= \frac{1}{2}((\hat{w}_2 - w_2^*) - (\hat{w}_1 - w_1^*))^T \nabla^2 L_1(w_1^*)(\hat{w}_2 - w_2^*) \approx \frac{1}{2} \Delta w^T \nabla^2 L_1(w_1^*) \Delta w, \quad (14)$$

where in the last equality we used $||\hat{w}_1 - w_1^*|| \ll ||\hat{w}_2 - w_2^*||$. Under the assumption that the critical point is a minima (or the plateau we get stuck surrounding a minima), we know that the Hessian needs to be positive semi-definite. We can use this property further to bound $F_1$ as follows:

$$F_1 = L_1(\hat{w}_2) - L_1(\hat{w}_1) \approx \frac{1}{2} \Delta w^T \nabla^2 L_1(w_1^*) \Delta w \leq \frac{1}{2} \lambda_1^\text{max} ||\Delta w||^2, \quad (16)$$
where $\lambda_{2\text{max}}$ is the maximum eigenvalue of $\nabla^2 L_1(w_1^*)$. Fig. 5a shows how wider $L_1$ (lower $\lambda_{1\text{max}}$) leads to less forgetting.

Controlling the spectrum of the Hessian without controlling the norm of the displacement can not ensure that $F_1$ is minimized.

Assume the algorithm has already converged to a plateau where gradient’s norm vanishes for $L_1$ and stopped at $\hat{w}_1$ and is about to optimize $L_2$. The weights are updated according to $\eta \nabla L_2(w)$ iteratively until a fixed number of iterations, or a minimum (validation) loss, or minimum gradient magnitude is achieved. We show that $\|\Delta w\|$ is related to $\lambda_{2\text{max}}$ (Refer to fig. 5b for an illustration). Using the triangle inequality we write:

$$\|\Delta w\| = \|\hat{w}_2 - \hat{w}_1\| \geq \|w_2^* - \hat{w}_1\| - \|\hat{w}_2 - w_2^*\|. \quad (17)$$

Since $\|w_2^* - \hat{w}_1\|$ is constant we only need to bound $\|\hat{w}_2 - w_2^*\|$. We examine two different convergence criterion.

For the case $L_2(\hat{w}_2) - L_2(w_2^*) \leq \epsilon$ is the convergence criterion, we write the second order Taylor approximation of $L_2$ around $w_2^*$:

$$L_2(\hat{w}_2) - L_2(w_2^*) \approx (\hat{w}_2 - w_2^*)^T \nabla L_2(w_2^*) + \frac{1}{2}(\hat{w}_2 - w_2^*)^T \nabla^2 L_2(\hat{w}_2)(\hat{w}_2 - w_2^*). \quad (18)$$

Again, $\nabla L_2(w_2^*) = 0$ and the first term in the R.H.S. is dismissed. Moreover the second term in the R.H.S. can be upper bounded by $\frac{1}{2}\lambda_{2\text{max}} \|\hat{w}_2 - w_2^*\|^2$. Therefore, one can write the convergence criterion as

$$\frac{1}{2}\lambda_{2\text{max}} \|\hat{w}_2 - w_2^*\|^2 \leq \epsilon \iff \|\hat{w}_2 - w_2^*\| \leq \frac{2\sqrt{\epsilon}}{\lambda_{2\text{max}}}. \quad (19)$$

Combining the above with (17) we get:

$$\|\Delta w\| \geq C - \frac{2\sqrt{\epsilon}}{\lambda_{2\text{max}}}, \quad (20)$$

where, $C = \|w_2^* - \hat{w}_1\|$ is constant. Therefore, by decreasing $\lambda_{2\text{max}}$, the lower bound on the $\|\Delta w\|$ decreases and the near-optimum $w_2^*$ can be reached in a closer distance to $w_1^*$. Fig. 5b shows this case.

For the case where $\|\nabla L_2\| (\hat{w}_2) \leq \epsilon$ is the convergence criterion we write the first order Taylor approximation of $\nabla L_2$ around $w_2^*$:

$$\nabla L_2(\hat{w}_2) - \nabla L_2(w_2^*) \approx \nabla^2 L_2(w_2^*)(\hat{w}_2 - w_2^*). \quad (21)$$

Again, $\nabla L_2(w_2^*) = 0$ and the second term in the L.H.S. is dismissed. Moreover, the R.H.S. can be upper bounded by $\lambda_{2\text{max}} \|\hat{w}_2 - w_2^*\|$. Therefore, one can write the convergence criterion as

$$\lambda_{2\text{max}} \|\hat{w}_2 - w_2^*\| \leq \epsilon \iff \|\hat{w}_2 - w_2^*\| \leq \frac{\epsilon}{\lambda_{2\text{max}}}. \quad (22)$$

Combining the above with (17) we get:

$$\|\Delta w\| \geq C - \frac{\epsilon}{\lambda_{2\text{max}}}, \quad (23)$$

where, $C = \|w_2^* - \hat{w}_1\|$ is constant. Therefore, by decreasing $\lambda_{2\text{max}}$, the lower bound on the $\|\Delta w\|$ decreases and the near-optimum $\hat{w}_2$ can be reached within a smaller distance from $\hat{w}_1$.

In practice, we usually fix the number of gradient update $\eta \nabla L_2(w)$ iterations where the learning rate and the gradient of the loss for task 2, play important roles. First, it’s already clear that the smaller the learning rate, the higher the chance of finding $\hat{w}_2$ close to $\hat{w}_1$. More importantly, learning decay helps with wider minima by allowing to start with a large learning rate and imposing an exploration phase that increases the chance of finding a wider minima. Therefore, learning rate decay plays a significant role in reducing catastrophic forgetting.

Second, the magnitude of the update is proportional to $\|\nabla L_2(w)\|$ where is lager for higher curvature not only at the minima but in its neighborhood too. Look at Fig. 5b for a simplistic illustration where we assume that the (near-)optimal points for the low and high curvature achieved lie very close to each other. Again, we can intuitively see that the forgetting is correlated with the curvature around the local minimas.
Figure 5: (a): For a fixed $\Delta w$, the wider the curvature of the first task, the less the forgetting. (b): The wider the curvature of the second task, the smaller $\|\Delta w\|$.

B Experimental Setup Details

B.1 Discussion on our experiment design

We would like to note that one fundamental criterion we take into account in our experiments is to facilitate the verification of our results. We achieve this goal by:

1. Reporting the results on common continual learning datasets used in previous studies: For instance, as mentioned in the main text, the majority of continual learning papers to which we compare our results use Permuted MNIST, Rotated MNIST, and Split CIFAR-100 benchmarks. While we acknowledge the fact that continual learning literature can benefit from benchmarks in other domains beyond computer vision, we agree with the current trend that CIFAR-100 with 20 sequential tasks is challenging enough for continual learning.

2. Using similar architectures with other studies: For our first experiment, we used two-layer MLP on MNIST datasets of 5 tasks. This architecture is used in [13, 70]. For the second experiment on 20 tasks, the Resnet architecture described in the text, was used in [6, 8, 7]. The only change we apply to the architecture was to add dropout layers in residual blocks.

3. Providing metrics for accuracy and forgetting: We believe any continual learning work should provide both mentioned metrics. We also report these metrics, which are also reported in [6, 7, 8].

4. Releasing the code. We include the code for our experiments in order to enable the reader to replicate the experiments and reproduce the results with the reported hyper-parameters. Besides the scripts, we provide the details regarding the installation and execution in the "readme.md" document attached to the "code" folder.

B.2 Continual learning setup

In this section, we review our experimental setup (e.g., number of tasks, number of epochs per task).

In experiment 1 (Section 5.2), our goal was to elaborate the impact of training regime. Hence, we found that five tasks and two benchmarks are sufficient for our purpose. Each task in that experiment had 5 training epochs.

In experiment 2 (Section 5.3), we aimed to demonstrate the performance of stable training regime, and hence, we chose the setup that is similar to our baselines. We used 20 tasks where each task had 1 training epoch. This setup is used in several studies [6, 8, 7].

In the new experiment in Appendix C.5, the purpose is to show that the stability techniques can be incorporated into other algorithms such as A-GEM, EWC, and ER-Reservoir. We use the rotation MNIST with 20 tasks and 1 epoch per task.

Finally, We note that we have used Stochastic Gradient Descent (SGD) optimizer in all experiments, and we have not employed any data augmentation technique or shuffling.
B.3 Hyperparameters in experiments

In this section, we report the hyper-parameters we used in our experiments. For other algorithms (e.g., A-GEM, and EWC), we ensured that our hyper-parameters included the optimal values that the original papers reported. We used grid search for each model to find the best set of parameters detailed below:

Parameters for experiment 1

B.3.1 Plastic (Naive)

- initial learning rate: [0.25, 0.1, 0.01, 0.001]
- batch size: 64

B.3.2 Stable

- initial learning rate: [0.25, 0.1, 0.01, 0.001]
- learning rate decay: [0.9, 0.75, 0.4, 0.25]
- batch size: [16, 64]
- dropout: [0.5, 0.25]

Parameters for experiment 2

B.3.3 Naive

- initial learning rate: [0.25, 0.1, 0.01 (MNIST, CIFAR-100), 0.001]
- batch size: 10

B.3.4 Stable

- initial learning rate: [0.25, 0.1 (MNIST, CIFAR-100), 0.01, 0.001]
- learning rate decay: [0.9, 0.85, 0.8]
- batch size: [10, 64]
- dropout: [0.5 (MNIST), 0.25 (CIFAR-100)]

B.3.5 EWC

- initial learning rate: [0.25, 0.1 (MNIST, CIFAR-100), 0.01, 0.001]
- batch size: 10
- \( \lambda \) (regularization): [1, 10 (MNIST, CIFAR-100), 100]

B.3.6 AGEM

- initial learning rate: [0.25, 0.1 (MNIST), 0.01 (CIFAR-100), 0.001]
- batch size: 10

B.3.7 ER

- initial learning rate: [0.25, 0.1 (MNIST), 0.01 (CIFAR-100), 0.001]
- batch size: 10
C  Additional Results

C.1 Detailed accuracy of the networks in Figure 1

Figure 1 in the introduction (Section 1), compares the first task accuracy between the naive SGD and the stable SGD. Here, we provide the accuracy of all tasks for these two training regimes.

Although in the introduction section we did not introduce the "stable training" term, we note that Network 1 (i.e., the stable network), suffers much less from the catastrophic forgetting of previous tasks compared to Network 2 (i.e., the plastic network), thanks to the training regime, and at the cost of a relatively small drop in the accuracy of the current task.

![Graphs showing accuracy over tasks learned for Network 1 (Stable Network) and Network 2 (Plastic Network)](image)

Figure 6: Full results for the comparison of accuracy on all tasks for two networks in Figure 1 of the introduction section.

C.2 Extended version of Table 1 in Section 3: disentangling the stability of different tasks

In this section, we aim to disentangle the role that stable training of the current task 1 plays against stable training of task 2 in decreasing the catastrophic forgetting for task 1.

In table 3, we report the forgetting measure $F_1$ for four different scenarios: training the first task (with/without) stability techniques and training the second task (with/without) these techniques, respectively. The reported “Stable” networks in this table use dropout probability of 0.25, learning rate decay of 0.4 and a small batch size of 16, while “Plastic” networks do not exploit the dropout regularization and learning rate decay, and set the batch size to 256.

The reported mean and standard deviations are calculated for five different runs with different random seeds. As expected, the case Stable/Stable is the best and Plastic/Plastic is the worst in terms of forgetting. But the interesting observation is the huge difference between Stable/Plastic and Plastic/Stable. This suggests, among other possible explanations, that the wideness of the current task is more important than the wideness of the subsequent tasks for the goal of reducing the forgetting.

| Task 1 | Task 2 | Forgetting ($F_1$) | $\lambda_1^{\text{max}}$ | $\|\Delta w\|$ |
|--------|--------|--------------------|-----------------|----------------|
| Stable | Stable | 1.61 ± (0.48)      | 2.19 ± (0.12)   | 73.1 ± (1.23)  |
| Stable | Plastic | 4.77 ± (1.72)     | 2.21 ± (0.18)   | 174.4 ± (7.66) |
| Plastic | Stable | 12.45 ± (1.58)    | 7.72 ± (0.19)   | 74.7 ± (2.63)  |
| Plastic | Plastic | 19.37 ± (1.79)   | 7.73 ± (0.22)   | 178.4 ± (8.58) |

C.3 Additional result for experiment 1: comparing norms of weights

In section 4.2 we discussed that dropout pushes down the norm of the weights by regularizing the activations. To support this argument, in Figure 7 we compare the norm of the weights for stable and plastic networks in experiment 1. For the stable network the norm of the optimal solutions after training each task is smaller and this might be the reason for smaller displacement in the sequential
optimizations for tasks. Further analysis and theoretical justification of this phenomena is beyond the scope of the current paper and is left as an interesting future work.

Figure 7: Comparing the norm of parameters for networks in experiment 1

C.4 Additional result for experiment 2: comparison of the first task accuracy

In Section 5.3, we provided the plots for the evolution of average accuracy during the continual learning experience. Here, we measure the validation accuracy of the first task during the learning experience with 20 tasks in Figure 8. The figure reveals that the stable network remembers the first task much better than other methods.

Figure 8: Evolution of the first task accuracy

C.5 New experiment: stabilizing other methods

One important question that deserves further investigation is “Can other methods benefit from the stable training regime?”. In this section, we show that the answer is yes.

For the rotation MNIST dataset with 20 tasks, we use similar architecture and hyper-parameters for SGD, EWC, A-GEM, and ER-Reservoir as described in Appendix B. To make these models stable, we add dropout (with the dropout probability 0.25), keep the batch size small, and use a decay factor of 0.65 to decrease the learning rate at the end of each task.

Table 4 shows that by merely stabilizing the training regime, we can improve the average accuracy of EWC, A-GEM, and ER-Reservoir by 12.9%, 15.8%, and 9%, respectively. Besides, Figure 9 shows the evolution of the average accuracy throughout the learning experience. As expected, the episodic memory in stable A-GEM and stable ER-Reservoir helps these methods to outperform Stable SGD, which does not use any memory. However, as noted before, stable SGD outperforms these complex methods in their original form when they do not employ stabilization techniques.
Table 4: Comparison of the average accuracy and forgetting

| Method           | Average Accuracy | Forgetting |
|------------------|------------------|------------|
| SGD              | 46.3 (± 1.37)    | 0.52 (± 0.01) |
| Stable SGD       | 70.8 (± 0.78)    | 0.10 (± 0.02) |
| EWC              | 48.5 (± 1.24)    | 0.48 (± 0.01) |
| Stable EWC       | 61.4 (± 1.15)    | 0.30 (± 0.01) |
| AGEM             | 55.3 (± 1.47)    | 0.42 (± 0.01) |
| Stable AGEM      | 71.1 (± 1.06)    | 0.13 (± 0.01) |
| ER-Reservoir     | 69.2 (± 1.10)    | 0.21 (± 0.01) |
| Stable ER-Reservoir | 78.2 (± 0.74) | 0.09 (± 0.01) |

Figure 9: Evolution of average accuracy