The bispectrum of the Lyman-α forest at $z \sim 2 - 2.4$ from a Large sample of UVES QSO Absorption Spectra (LUQAS) *

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ABSTRACT

We present a determination of the bispectrum of the flux in the Lyman-α forest of QSO absorption spectra obtained from LUQAS which consists of spectra observed with the high resolution Echelle spectrograph UVES. Typical errors on the observed bispectrum as obtained from a jack-knife estimator are $\sim 50\%$. For wavenumbers in the range $0.03 \, \text{s}/\text{km} < k < 0.1 \, \text{s}/\text{km}$ the observed bispectrum agrees within the errors with that of the synthetic absorption spectra obtained from numerical hydro-simulations of a ΛCDM model with and without feedback from star formation. Including galactic feedback changes the bispectrum by less than 10%. At smaller wavenumbers the associated metal absorption lines contribute about 50% to the bispectrum and the observed bispectrum exceeds that of the simulations. At wavenumbers $k < 0.03 \, \text{s}/\text{km}$ second-order perturbation theory applied to the flux spectrum gives a reasonable (errors smaller than 30%) approximation to the bispectra of observed and simulated absorption spectra. The bispectrum of the observed absorption spectra also agrees, within the errors, with that of a randomized set of absorption spectra where a random shift in wavelength has been added to absorption lines identified with VPFIT. This suggests that for a sample of the size presented here, the errors on the bispectrum are too large to discriminate between models with very different 3D distribution of Lyman-α absorption. If it were possible to substantially reduce these errors for larger samples of absorption spectra, the bispectrum might become an important statistical tool for probing the growth of gravitational structure in the Universe at redshift $z \gtrsim 2$.

Key words: Cosmology: intergalactic medium – large-scale structure of universe – quasars: absorption lines

1 INTRODUCTION

The Lyman-α forest in the absorption spectra of high-redshift QSOs has been recognized as an important tool for studying the underlying matter distribution (see Rauch 1998 and Weinberg et al. 1999 for excellent reviews). In a previous paper (Kim et al. 2003, K03) an analysis of the flux power-spectrum of a large sample of UVES QSO absorption spectra (LUQAS) has been presented. Here we investigate the bispectrum of the flux in a subset of these absorption spectra.

The bispectrum is the Fourier transform of the three-point correlation function. As the flux in the

* Based on data taken from the ESO archive obtained with UVES at VLT, Paranal, Chile.
Lyman-α forest is a sensitive probe of the matter distribution it should probe the topology of the 3D matter distribution in more detail than the two-point correlation function or the power-spectrum. It also can be used as a complementary tool to determine cosmological parameters (e.g. Fry 1994; Verde et al. 2002) and maybe also the physical state of the IGM. Gravitational growth induces correlations between large scale modes and small scale power which can be probed by the bispectrum. Zaldarriaga et al. (2001) pointed out that these correlations may be used to discriminate between fluctuations due to large-scale structure in the matter distribution and those produced by non-gravitational processes such as fluctuations in the continuum emission of the quasar. Mandelbaum et al. (2003) showed that with the SDSS (Sloan Digital Sky Survey) QSO sample it should be possible to use higher order statistics such as the bispectrum to determine amplitude, slope and curvature of the slope of the matter power spectrum with an accuracy of a few percent provided systematic errors are under control.

The plan of the paper is as follows. In Section 2 we briefly describe our sample. In Section 3 we define the flux bispectrum and compare the data with hydro-dynamical simulations, an analytical prediction and randomized spectra. Section 4 contains a summary of our results.

## 2 THE DATA

The LUQAS sample consists of 27 spectra taken with the Ultra-Violet Echelle Spectrograph (UVES) on VLT (Paranal, Chile) over the period 1999–2002. The spectra were drawn from the ESO archive and are publicly available to the ESO community. The total redshift path of the sample is $Δz = 13.75$. The median redshift of the sample is $(z) = 2.25$ and the number of spectra covering the median redshift is 17. The analysis of the bispectrum has been restrained to the redshift range $2 < z < 2.4$, where approximately 15 QSOs contribute. The reason for this is the strong dependence of the bispectrum on the mean flux. The typical S/N is $∼50$.

The spectra were reduced with the ECHELLE/UVES environment of the software package MIDAS. Lists of HI absorption lines and associated metal absorption lines identified and fitted with VPFIT (Carswell et al.: http://www.ast.cam.ac.uk/~rfc/vpfit.html) were available for 8 and 13 QSOs, respectively. Five out of the eight QSOs with lists of HI absorption are in the redshift range for which we calculated the bispectrum of the flux. We have removed four damped/sub-damped Lyman-α systems before computing the bispectrum. For a more complete description of the sample and for the analysis of the flux power-spectrum and its evolution with redshift we refer to K03.

## 3 THE BISESPECTRUM OF THE Lyman-α FOREST

### 3.1 Method

We consider the quantity $δF = (F - ⟨F⟩)/⟨F⟩$, where $F$ is the continuum fitted transmitted flux and $⟨F⟩$ the average flux for each QSO (Hui et al. 2001, Croft et al. 2002). Note that $δF$ corresponds to the flux estimator $F_2$ of K03.

We follow the definition of Matarrese, Verde & Heavens (1997) and Verde et al. (1998) for the bispectrum. We use the real part of the three point function in $k$–space, $D_F = \text{Re}(δF(k_1) δF(k_2) δF(k_3))$, for closed triangles $k_1 + k_2 + k_3 = 0$. $δF(k)$ is the Fourier transform of $δF$. $D_F$ is related to the bispectrum of the flux $B_F(k_1, k_2, k_3)$

$$⟨D_F⟩ = 2 π B_F(k_1, k_2, k_3) δ^D(k_1 + k_2 + k_3) .$$

$δ^D(k)$ is the one-dimensional Dirac delta function and $⟨⋅⟩$ indicates the ensemble average. We compute the one-dimensional bispectrum. Our triangles are thus degenerate and we choose the configuration for which $k_1 = k_2$ and $k_3 = −2k_1$. In the following we will always show the flux bispectrum as a function of the wavenumber $k = k_1$. 

![](image.png)

**Figure 1.** Filled circles show the one-dimensional bispectrum of the Lyman-α forest flux of the LUQAS sample in the redshift range $2 < z < 2.4$. The corresponding bispectrum of the associated metal absorption is shown by filled diamonds. Also shown is the flux bispectrum of synthetic spectra computed from hydro-dynamical simulations of a LCDM model at $z = 2.3$ (see Section 3.3). Filled triangles are for a simulation with a box size of 33.75 $h^{-1}$ Mpc which include feedback from galactic winds. Empty triangles are for a simulation with box size of 10 $h^{-1}$ Mpc without galactic feedback. Squares indicate positive values of $B(k)$. Errors are obtained with a jack-knife estimate.
3.2 The observed bispectrum

The filled circles in Fig. 1 show the one-dimensional bispectrum of the Lyman-α forest flux of the LUQAS sample in the redshift range $2 \leq z \leq 2.4$ plotted in dimensionless units (Table 1). The bin size was chosen such that visual comparison of different bispectra in the plots is not hindered by too large bin-to-bin fluctuations. As in K03 the error bars are computed with a jackknife estimator, which gives results very similar to a bootstrap resampling of the data. Note that the bispectrum computed from the continuum fitted observed absorption spectra is negative. The same was found by Mandelbaum et al. (2003) for a statistic similar to the bispectrum in their analysis of synthetic spectra from numerical simulations. The negative sign of the bispectrum is expected if the higher order correlations arise from gravitational growth (Zaldarriaga et al. 2001).

For 13 of the 27 spectra we have metal line lists. From these lists we have produced artificial spectra which contain the metal lines only. The diamonds in Figure 1 show the mean bispectrum of these metal-line-only spectra which have a median redshift $z = 2.36$. For $k > 0.1$ s/km the identified metal lines contribute up to 50% to the bispectrum. At larger scales their contribution is considerably smaller.

We have also computed the flux bispectrum for the flux estimator $F_3$ of K03 (see Figure 2 of K03), which is defined as $\delta_{F_3} = F/F - 1$, where $F$ is the flux of the spectrum without continuum fitting. At $k < k_{\text{cut}} \sim 0.003$ s/km continuum fluctuations dominate the flux bispectrum and the values of the bispectrum become positive. The same was found by K03 for the flux power-spectrum. We therefore do not plot the bispectrum for $k < 0.003$ s/km.

3.3 Comparison with hydro-dynamical simulations

We have also calculated the bispectrum for synthetic spectra obtained from two outputs at $z = 2.3$ from the large set of hydro-dynamical simulations presented by Springel & Hernquist (2003a). The simulations were performed with the code GADGET (Springel, Yoshida & White 2001) modified to conserve entropy (Springel & Hernquist 2002) and are for a $\Lambda$CDM model with parameters $\Omega_m = 0.3$ and $\Omega_b = 0.7$, Hubble constant $H_0 = 100h$ km s$^{-1}$ Mpc$^{-1}$ with $h = 0.7$, baryon density $\Omega_b = 0.04$, a power spectrum with primordial spectral index $n = 1$, and rms fluctuation amplitude on 8h$^{-1}$ Mpc scale, $\sigma_8 = 0.9$.

The simulation outputs are part of the O3 run and the D4 run. The O3 simulation has a box size of $10 h^{-1}$ Mpc box size, no feedback due to star formation and was performed with $2 \times 144^3$ particles. The D4 run has a box size of $33.75 h^{-1}$ Mpc, $2 \times 216^3$ particles and includes feedback by galactic winds. The mass of a gas particle is $3.72 \times 10^5 M_\odot$ and $4.24 \times 10^5 M_\odot$ in O3 and D4, respectively. Both simulations assume an UV background as modelled by Haardt & Madau (1996) and follow star formation with a hybrid multi-phase model (see Springel & Hernquist 2003b for details). Synthetic spectra are extracted from the simulation in the usual way and are normalized to reproduce the effective optical depth $\tau_{\text{eff}} = -\ln(F) = 0.165$ at $z = 2.2$ in the observed spectra used for calculating the bispectrum.

The triangles in Fig. 1 show the flux bispectrum of the synthetic spectra with and without feedback from galactic winds. At $k < 0.1$ s/km the difference between the two simulations is smaller than 10%. This confirms that the feedback from high-redshift galaxies is expected to have little effect on the gas responsible for Lyman-α absorption (Theuns et al. 2002). This is most likely because the simulations mainly differ in the distribution of hot gas which has a small filling factor even for the simulation with feedback. The difference in box size and resolution also appear to have a little effect. For $k < 0.1$ s/km the bispectrum of synthetic and observed spectra agree within the errors. At larger wavenumbers the observed bispectrum exceeds that of the synthetic spectra. This is expected since at these wavenumbers the metal lines contribute significantly to the bispectrum (see Figure 1).

3.4 The bispectrum for randomized absorption spectra

Viel et al. (2003b) have demonstrated that the Lyman-α forest flux power spectrum of “randomised” QSO absorption spectra is comparable in shape and amplitude to the flux power spectrum of the original observed spectra. They found that this is because of a large contribution of the shape of the Voigt profiles of discrete absorption systems to the flux power spectrum. We have performed here a similar test for the bispectrum. For 5 of the observed spectra in the redshift range where we have calculated the flux bispectrum we have a list of HI absorption lines obtained from Voigt profile fitting with VPFIT. For these we have produced 50 artificial randomized spectra each, where we have randomly shifted the hydrogen lines in wavelength. In Fig. 2 we compare the corresponding flux bispectrum to the flux bispectrum of the observed spectra. At $k < 0.1$ s/km they agree within the errors. A random superposition of Voigt profiles appears to reproduce the observed bispectrum similarly well as the numerical simulations. Metal lines were omitted in the reshuffled spectra hence the discrepancy at large wavenumbers. As in Viel et al. (2003) we have also calculated the bispectrum for randomized spectra where we halved and doubled the Doppler parameter of the lines. The result is similar. Broadening and narrowing of the lines leads to an approximately linear shift in wavenumber. This suggests that the bispectrum measures to a significant extent the shape of Voigt profiles. Note that the errors of the flux bispectrum are larger than that of the flux power spectrum. It is thus more difficult to discriminate between the flux bispectrum of randomized spectra and the flux distribution of a CDM-like model than between the corresponding flux power spectra.
A more refined treatment, where these approximations are dropped, will be presented elsewhere.

Expanding the density field to second order as 
\[ \delta(\mathbf{x}) \approx \delta^{(1)}(\mathbf{x}) + \delta^{(2)}(\mathbf{x}), \]
and using the FGPA we get
\[ \delta_F \approx b_1[\delta^{(1)}(\mathbf{x}) + \delta^{(2)}(\mathbf{x})] + \frac{b_2}{2}\delta^{(1)}(\mathbf{x})^2, \]
with \( b_1 = -A\beta \) and \( b_2 = -A\beta (\beta - 1 - A\beta) \).

\section{A second order perturbation theory approximation}

The structures which give rise to the Lyman-\(\alpha\) forest have been shown to be mildly non-linear and to be reasonably well described by simple approximation schemes such as the log-normal model, at least on large scales (Bi & Davidsen 1997; Viel et al. 2002a, 2002b, 2003a; Matarrese & Mohayaee 2002). This motivated the use of perturbation theory to obtain analytical approximations in the Fluctuating Gunn-Peterson Approximation to get some insight into the shape and scaling of the flux power spectrum at large scales (e.g. Zaldarriaga, Scoccimarro & Hui 2002). In the same spirit we will here make an attempt to use second-order perturbation theory (e.g. Matarrese et al. 1997) to derive an analytical expression which relates flux power spectrum and flux bispectrum and test it with synthetic spectra.

If the initial fluctuations are Gaussian and structures grow via gravitational instability the three-point correlation function is a second-order quantity. I In the Fluctuating Gunn-Peterson Approximation (FGPA; e.g. Hernquist et al. 1996) the flux can be related to the density field as
\[ F = \exp\left[-A(1 + \delta_{\text{IGM}})^\beta\right], \]
\[ p^{(i)}(k) = |k|^2q(k)p(k) + 2l \int_{|k|}^{\infty} dqq^{-2l-1}p(q) . \]

Figure 2. Comparison between the flux bispectrum of observed (filled circles) and "randomized" absorption spectra (empty triangles). The randomized absorption spectra have been obtained by randomly shifting the position of the absorption lines as obtained with VPFIT within the observed wavelength range. Error bars are jack-knife estimates.

\begin{align*}
B(k_1, k_2, k_3) &= \left( \frac{12}{7}c_1 + c_2 \right) p(k_1) p(k_2) \\
&+ c_1 [(k_1 k_2 - \frac{2}{7}k_1^3)p^{(-1)}(k_1)p(k_2)] \\
&+ (k_3 k_1 - \frac{2}{7}k_2^2)p^{(-1)}(k_2)p(k_1) \\
&+ \frac{6}{7}c_2 k_1^2 k_2^2 p^{(-1)}(k_1)p^{(-1)}(k_2)] + \text{cyc.}(1, 2, 3),
\end{align*}

where \( c_1 = 1/b_1, c_2 = b_2/b_1^2 \). 

A more refined treatment, where these approximations are dropped, will be presented elsewhere.

Expanding the density field to second order as 
\[ \delta(\mathbf{x}) \approx \delta^{(1)}(\mathbf{x}) + \delta^{(2)}(\mathbf{x}), \]
and using the FGPA we get
\[ \delta_F \approx b_1[\delta^{(1)}(\mathbf{x}) + \delta^{(2)}(\mathbf{x})] + \frac{b_2}{2}\delta^{(1)}(\mathbf{x})^2, \]
with \( b_1 = -A\beta \) and \( b_2 = -A\beta (\beta - 1 - A\beta) \). The second-order quantity \( \delta^{(2)} \) can be expressed as a quadratic combination of linear perturbations \( \delta^{(1)} \) with a suitable convolution kernel. The kernel is obtained by an expansion of the equations of gravitational instability up to second order (e.g. Catelan et al. 1995 and references therein). The expression for the Lyman-\(\alpha\) bispectrum follows then by projecting the 3D bispectrum (e.g. Matarrese et al. 1997) along the line-of-sight,
\begin{align*}
B(k_1, k_2, k_3) &= \frac{12}{7}c_1 + c_2 \right) p(k_1) p(k_2) \\
&+ c_1 [(k_1 k_2 - \frac{2}{7}k_1^3)p^{(-1)}(k_1)p(k_2)] \\
&+ (k_3 k_1 - \frac{2}{7}k_2^2)p^{(-1)}(k_2)p(k_1) \\
&+ \frac{6}{7}c_2 k_1^2 k_2^2 p^{(-1)}(k_1)p^{(-1)}(k_2)] + \text{cyc.}(1, 2, 3),
\end{align*}

Figure 2. Comparison between the flux bispectrum of observed (filled circles) and “randomized” absorption spectra (empty triangles). The randomized absorption spectra have been obtained by randomly shifting the position of the absorption lines as obtained with VPFIT within the observed wavelength range. Error bars are jack-knife estimates.
The bispectrum of the Lyman-α forest

4 RESULTS AND DISCUSSION

We have computed the flux bispectrum of the LUQAS, sample a set of high resolution quasar spectra taken with UVES in the redshift range $2 < z < 2.4$, and compared to the flux bispectrum of synthetic spectra of a hydro-dynamical simulation and that of randomized spectra.

The main results are as follows:

- at wavenumbers $k < 0.1 \, \text{s/km}$ the flux bispectrum of the observed spectra and that of synthetic spectra obtained of hydro-dynamical simulations of an ΛCDM model agree well within the errors (50%);
- including feedback from galactic winds has little effect on the flux bispectrum;
- at wavenumbers $k > 0.1 \, \text{s/km}$ identified metal lines contribute significantly to the flux bispectrum (of the order 50%), while at larger scales the contribution by metal lines is negligible;
- at wavenumbers $k < 0.1 \, \text{s/km}$ the observed flux bispectrum is consistent within the errors with that obtained for randomized spectra;
- the analytical prediction gives a good match for a somewhat different value of $A$, and the analytical relation of eq. (4).

The continuous, dashed and dotted curves are for different parameter $A$ and $\gamma$ of the Fluxating Gunn-Peterson Approximation (see text for details). Triangles show the bispectrum calculated directly from the synthetic spectra.

Table 1. Flux bispectrum$^{(a)}$ of the LUQAS sample in the redshift range $2.0 < z < 2.4$.

| $k$ (s/km) | $B(k)$ (km/s)$^2$ |
|------------|-----------------|
| 0.0030     | -2845.669 ± 1057.042 |
| 0.0057     | -1235.780 ± 434.839 |
| 0.0109     | -507.897 ± 242.718 |
| 0.0210     | -147.202 ± 76.589 |
| 0.0404     | -27.551 ± 14.666 |
| 0.0777     | -1.677 ± 0.894  |
| 0.1494     | -0.126 ± 0.035 |
| 0.2872     | -0.004 ± 0.001 |

(a) Bispectrum of the quantity $\delta F = F/\langle F \rangle - 1$, where $F$ is the observed continuum-fitted flux and $\langle F \rangle$ is the mean flux for each QSO, as computed from eq. (1).

- on scales $k < 0.03 \, \text{s/km}$ an analytical relation between the flux bispectrum and flux power spectrum based on second-order perturbation theory in the framework of the FGPA reproduces the slope but not the amplitude of the bispectrum.

In summary, the observed bispectrum obtained from the LUQAS sample agrees similarly well with that of absorption spectra obtained from numerical simulations and randomized observed absorption spectra. This suggests that significantly larger samples of observed spectra as for example expected from SDSS and a tight control on systematic errors are necessary to utilize the bispectrum to constrain the 3D distribution of the absorbers and/or cosmological parameters.

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