Uncertainty of $S$-Parameter Measurements on PCBs due to Imperfections in the TRL Line Standard

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Abstract

This paper evaluates the uncertainty of $S$-parameter measurements on multilayer printed circuit boards (PCBs) due to the uncertainties of the dimensions and dielectric properties of the line standard in the Thru-Reflect-Line (TRL) calibration. This evaluation is performed in two ways: one is based on repeated TRL calibrations with a randomly perturbed line standard, and the other is based on equations given by Stumper. The two methods require the uncertainties of the $S$-parameters of the TRL line standard, which are obtained from the uncertainties of the dimensions and dielectric properties using three-dimensional electromagnetic Monte Carlo simulation. The two methods agree well with each other. This study also shows how to apply impedance renormalization in Stumper's equations. We design the TRL standards and the devices under test (DUTs) in PCB stripline and precisely measure the cross-sectional dimensions of the fabricated striplines. Uncertainty analysis based on the measured values enables us to investigate the impact of realistic deviations in the dimensions of the TRL line standard on the $S$-parameter measurement uncertainty of the DUTs. Finally, as an example, we evaluated the uncertainty in the measured $S$-parameters of a Beatty line on the fabricated PCB.

Key Words: Characteristic Impedance, Impedance Renormalization, Line Standard, Monte Carlo, Printed Circuit Board (PCB), $S$-Parameter Uncertainty, Stripline, TRL Calibration.

I. INTRODUCTION

Due to the high level of integration that can be achieved and the ease of design, circuits implemented on printed circuit boards (PCBs) are widely used. In addition, the development of new materials and processes has enabled PCB designs at higher frequencies. Accordingly, de-embedding techniques have been studied to accurately evaluate circuits (or devices) on planar substrates. Among them, techniques using calibration methods, such as Thru-Reflect-Line (TRL) and Line-Reflect-Line (LRL) have enabled accurate measurements, even at high frequencies [1].

Accuracy of the de-embedding method based on TRL calibration is largely dependent on the uncertainty of the characteristic impedance of the TRL line standard [2]. This uncertainty is determined by evaluating the uncertainties in the cross-sectional dimensions and the dielectric properties (permittivity $\varepsilon_r$ and loss tangent $\tan\delta$) of the line standard. Because PCB manufacturing exhibits high tolerance and an accurate evaluation of the dielectric properties of the materials used to fabricate a multilayer PCB is challenging, the derived characteristic impedance has significant uncertainty.

This uncertainty in the TRL line characteristic impedance results in uncertainty in the measured $S$-parameters of a device.
under test (DUT). This is because the $S$-parameters of a DUT measured with respect to TRL calibration are referenced to the actual characteristic impedance of TRL line, $Z_{\text{ref}}$, which, in general, is not exactly 50 $\Omega$. Therefore, the $S$-parameters of the DUT are often required to be referenced to an idealized reference impedance, $Z_{\text{ref}}$ (which is often 50 $\Omega$), and so the $S$-parameters are renormalized from $Z_{\text{line}}$ to $Z_{\text{ref}}$ [3, 4].

Several methods are available for determining the characteristic impedance. One of the well-established methods is the method in which the characteristic impedance is derived from the propagation constant and estimated capacitance per unit length of the line [5, 6]. Another well-established method is the calibration comparison technique [7, 8]. The former method assumes that the substrate has low loss; thus this technique is neither applicable to lossy substrates nor at very high frequencies. In the latter method, the characteristic impedance is calculated from the error boxes obtained by two-tier calibration when the reference impedance of the first calibration is known. This approach has the advantage of being less impacted by the effects of probe pads. However, if the transition from these pads to the line is inductive, the calibration comparison technique requires additional correction [8]. In this case, there remains a question concerning how to evaluate the value of inductance. Therefore, this method is less reliable for lines with long transitions.

A recent study [9] introduced a new method based on three-dimensional (3D) electromagnetic (EM) simulation. This method can provide comparable accuracy to the calibration comparison method. The uncertainty in the TRL line characteristic impedance and its impact on the measured DUT $S$-parameters was demonstrated by employing the method described in [10]. The impact was shown as the worst-case deviation from the reference simulated $S$-parameters.

In this study, we evaluate the uncertainty in the measured DUT $S$-parameters due to the uncertainty in the dimensions and dielectric properties of the TRL calibration line standard using two extraction methods: (i) a method based on repeated TRL calibrations with a randomly perturbed line standard and (ii) a method combining impedance renormalization using the equations given by Stumper [11]. Both methods employ 3D EM Monte Carlo simulation provided by the Advanced Design System (ADS) circuit simulator [12] to obtain the uncertainties in the characteristic impedance and $S$-parameters of the TRL line standard. This study shows how to combine impedance renormalization with Stumper’s equations. Using these methods, we evaluate the uncertainty in the measured $S$-parameters of the DUT fabricated on the multilayer PCB.

The rest of this paper is organized as follows. In Section II, we present the nominal values of the line dimensions and dielectric properties and the associated uncertainties; we also discuss how to evaluate the uncertainty in the dimensions of the fabricated PCB line. In Section III, we introduce the two methods for evaluating the uncertainty in the measured DUT $S$-parameters based on 3D EM Monte Carlo simulation. The simulation results are presented in Section IV. The $S$-parameters of the DUT, measured using a vector network analyzer (VNA), and the evaluation of the uncertainty in these measured $S$-parameters, are presented in Section V.

II. SOURCES OF UNCERTAINTY

The calibration standards and DUT are realized in stripline on the PCB. The cross-sectional structure of the fabricated PCB is shown in Fig. 1. It consists of four dielectric layers and three metal layers. The strip line is connected to the coplanar waveguide (CPW) line on the top layer through at each end. To maintain the same ground on the upper and bottom planes of the stripline, we connected two planes through the vias, which is distributed along the line at the fixed intervals. In this paper, it is assumed that the effect of the ground vias on the characteristic impedance is small and, thus, we ignore the effect. The top view and cross section (at "A" in Fig. 1) of the stripline are presented in Fig. 2(a) and 2(b), respectively. The dielectric materials constituting the substrate are RO4350 (D1) and prepreg 2116 (D2). The prepreg material is essential when fabricating multi-layer PCBs.

The characteristic impedance of the stripline is determined from the width of the line ($W_{SL}$), its thickness ($T_{SL}$), thicknesses of the dielectric material ($H_1$, $H_2$), and the dielectric properties ($\varepsilon_r$, $\tan\delta$); the uncertainties in all these quantities propagate to the uncertainty in the characteristic impedance and the uncer-
tainty in the S-parameters of the DUT, subsequently.

The dimensions of the fabricated striplines are measured by taking a micro-section of the PCB and performing dimensional measurements with a high-resolution vision measuring machine of resolution 0.1 μm. When measuring WSL, we corrected the values by measuring the angle between the cut plane and the plane perpendicular to the striplines. All 26 lines on the PCB are measured, and the mean and standard deviation of the measured values were calculated. This information is summarized in Table 1 [13–15]. Using the mean values of the measured dimensions, an average value for the characteristic impedance (Z̅M̅) is extracted using the 3D EM simulation. The standard deviation of each measured dimension is taken as the standard uncertainty of that dimension. In this paper, we do not consider the line roughness.

We cut the PCB using an endmill, which is a type of milling cutter. During the cutting procedure, line deformation can occur at the cross-section. This deformation may cause the mean of the measured dimensions to somewhat deviate from the actual value, and the standard deviation in the measured dimensional values to increase. Nonetheless, we use the measured values to determine the impact on the derived characteristic impedance.

In the case of permittivity and the loss tangent, we refer to the datasheet for RO4350 and a report written by the prepreg manufacturer [16]. However, the uncertainty is given only for D1 permittivity. Therefore, in the absence of any other information, we assume that the uncertainty of D2 permittivity is the same as that of D1, and the uncertainty in the loss tangent is ±10%, in order to investigate these effects. The permittivity and loss tangent of the dielectric materials are summarized in Table 2. These properties depend on the PCB structure, and the properties for the prepreg also depend on the composition (e.g., the amount of water and resin present in the prepreg) [16]. For an accurate evaluation of these quantities, it is necessary to understand the measurement method used to determine the dielectric properties.

Using the values listed in Table 3, we perform Monte Carlo simulations. Gaussian distributions were assigned to each TRL line parameter (WSL, TSL, H1, H2, εr,M1, εr,M2, tanδM1, and tanδM2). H2 contains three layers of material, which are varied proportionally during the simulations, for simplicity. The number of Monte Carlo trials is selected as 1,000 for each simulation, which results in a computation time of approximately 10 hours.

### III. 3D EM MONTE CARLO SIMULATION

We use the momentum simulator provided by ADS for the 3D EM Monte Carlo simulation. We model the stripline for the TRL calibration line standard of length 1.2 mm between the reference planes shown in Fig. 1. The dimensions of the horizontal and vertical structures of the line and the dielectric properties are parameterized, and Monte Carlo simulations are conducted for these variables. The feed type for the ports is TML, which stands for the transmission line calibration. The TML ports de-embed the feed structures.

We use two methods for the 3D EM Monte Carlo simulation, which are based on (i) repeated TRL calibration and (ii) Stumper’s equations. The former method repeats TRL calibration using lines with randomly perturbed dimensions and die-
lectric properties. The DUT $S$-parameters are then renormalized from an assumed initial reference impedance of $Z_0^{\text{Mean}}$ to 50 $\Omega$. The characteristic impedance ($Z_0$) of the TRL line standard (either mean or perturbed) is obtained from the following equation [9, 17]:

$$Z_0 = \frac{1}{\sqrt{(1+s_{11})^2-s_{11}^2}}$$

where the $S$-parameters are the simulated values for TRL line and $Z_{\text{sys}}$ is the system reference impedance of the simulator, which is generally 50 $\Omega$. This equation is applicable only to uniform lines.

The latter method repeatedly calculates the deviation of the DUT $S$-parameters ($\delta S_{ij,DUT}$) using the deviation of the $S$-parameters of TRL line ($\delta S_{ij}$) obtained by randomly varying the dimensions and dielectric properties, as well as the Stumper’s equations shown below [11]:

$$\delta S_{11,DUT} \approx \frac{1-s_{21}s_{12}}{(1-L^2)} \delta S_{11} + \frac{s_{11}(1+2\Gamma_{\text{refl}})}{2\Gamma_{\text{refl}}(1-L^2)} (\delta S_{11} - \delta S_{22}) + \frac{s_{11}}{(1-L^2)} \delta S_{22}$$

$$\delta S_{12,DUT} \approx \frac{s_{22}}{(1-L^2)} \delta S_{11} + \frac{s_{11}}{(1-L^2)} \delta S_{22}$$

where $L = \exp(-\gamma l)$, $l$ is the TRL line length and $\gamma$ is its propagation constant obtained as a by-product during the TRL calibration process, $\Gamma_{\text{refl}}$ is the reflection coefficient of the TRL reflect standard, and $S_{ij}$ are the DUT $S$-parameters. To obtain corresponding expressions for $\delta S_{22,DUT}$ and $\delta S_{21,DUT}$, index 1 is replaced by 2 and vice versa in Eqs. (2) and (3).

As seen from the above equations, the $S$-parameter uncertainty increases as the length of the line standard approaches $n \times \lambda/2$, where $n$ is an integer. In this study, a single line is used as the Monte Carlo simulation takes a long time. To obtain a lower uncertainty at low frequencies, more lines with longer length can be used.

The process used for both methods is shown in Fig. 3. First, we define the "mean" line using the mean parameter values. We extract the $S$-parameters and $Z_0$ of this "mean" line, which are assigned as $S_{ij}^{\text{Mean}}$ and $Z_0^{\text{Mean}}$, respectively. Then, TRL calibration and DUT correction are carried out, followed by renormalization of the corrected DUT $S$-parameters from $Z_0^{\text{Mean}}$ to 50 $\Omega$. This is the general TRL calibration procedure. In the next step, the Monte Carlo simulation is conducted using one of the two methods. This produces $N$ results (we used $N = 1,000$ in this study). By calculating the standard deviations of the $N$ results, we obtain the uncertainty in the DUT $S$-parameters. Each of the $N$ trials involves five separate trials, as listed in Table 3, with only one or two parameters being varied at a time. This allows the evaluation of the uncertainty caused by each contribution to be evaluated, separately.

Fig. 3. Flow chart for the process common to the two methods for extracting the uncertainty of the DUT $S$-parameters.

In this study, we use a Beatty line as the DUT, as shown in Fig. 4. It consists of a center line, with a width of 2 mm and length of 30 mm, and outer lines, with a width of 0.6 mm and length of 5.2 mm, at both ends of the center line. We assume that all fixtures, which consist of a transition from CPW to stripline, are identical. The fixtures, which are included in the ADS simulation, constitute the error boxes for TRL calibration.

1. Method based on Repeated TRL Calibration

Fig. 5 shows a flowchart for the Monte Carlo simulation based on repeated TRL calibration. We define TRL line again using the perturbed parameters (i.e., "perturbed line"). Then, we
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calibrate using the perturbed line and correct the DUT S-parameters. Finally, we renormalize the DUT S-parameters to 50 Ω assuming that they are initially normalized to Z₀. After N repetitions of this process, we obtain N sets of DUT S-parameters. By calculating the standard deviations in these N repetitions, we can establish the uncertainties in the line Z₀ and the DUT S-parameters.

2. Method based on Stumper’s Equations

Fig. 6 shows a flowchart for the Monte Carlo simulation based on Stumper’s equations. TRL line is defined again with the perturbed parameters (perturbed line), and then the S-parameters are extracted for the perturbed line (S_{ij}^{pert}). These S-parameters are extracted with respect to two 50 Ω ports. However, the deviation in the S-parameters of TRL line, δS_{ij}, occurs with respect to the characteristic impedance of TRL line. Therefore, we renormalize the S-parameters from 50 Ω to Z₀ (Z₀^{mean}). Then, by subtracting the renormalized S_{ij}^{mean} (S_{ij}^{renormalized}) from the renormalized S_{ij}^{pert} (S_{ij}^{pert,renormalized}), we obtain δS_{ij}. Using Eqs. (2) and (3), we can calculate the deviation in the DUT S-parameters. In the equations, Γ_{refl} can be obtained during TRL calibration, and the DUT S-parameters S_{ij} can use either the simulated values or the measured values, which should both be renormalized to 50 Ω. That is, S_{ij} can be either S_{ij}^{DUT,Mean,Re} or the measured DUT S-parameter after renormalization from Z₀^{mean} to 50 Ω. After N repetitions of this process, we obtain N sets of deviations in the DUT S-parameters (δS_{ij,DUT}). From the standard deviations of δS_{ij,DUT}, we obtain the uncertainties in the DUT S-parameters.

IV. SIMULATION RESULTS

From the method based on Stumper’s equations, we have S-parameters (S_{ij}^{pert}) of the perturbed TRL line for each of the N Monte Carlo trials. We calculate N characteristic impedances (Z₀^{pert}) from the N sets of S_{ij}^{pert} using Eq. (1) and plot the real part of Z₀^{pert} in Fig. 7(a)–7(e). The black lines in these plots indicate the results obtained from the mean values of the parameters and the grey lines are those obtained from the perturbed values. The dotted lines on these graphs show 95% confidence interval. Because of loss in the line, Z₀ also has a small imaginary part, which is taken into account in the renormalization process.

In Fig. 7(f), we plot all these uncertainties, obtained from the standard deviations, which show that the uncertainty with respect to W_{SL} is dominant for the conditions listed in Table 3.
This shows that, to evaluate the characteristic impedance with low uncertainty, high accuracy is required when measuring the line width.

To account for the case in which the measurement of the line width is affected by the cutting process of the endmill, resulting in large uncertainties, we also measure the width of the CPW lines on top of the same PCB. The standard deviation of the measured CPW line width is 0.006 mm, which is approximately half that of the striplines. It is difficult to say if this value can be used as the uncertainty for the stripline measurement because the fabrication process for the stripline is different from that for the CPW line. Even if we do use this value, we obtain an uncertainty of 0.25 Ω at 20 GHz, which is still a dominant contribution to the overall uncertainty.

In the simulated results shown in Fig. 7, small resonances are observed at specific frequencies (e.g., at 33 GHz). We perform simulations using lines of different lengths, but the resonances always occur at the same frequencies. This is presumed to be a resonance phenomenon of the multilayer structure itself.

By the repeated TRL process, we obtain 1,000 sets of \( S \)-parameters for the DUT (Beatty line), as shown in Fig. 8. Fig. 8(a) and 8(b) shows the magnitude of \( S_{11} \) and \( S_{12} \), according to the deviation of \( W_{SL} \), respectively. The black line indicates the result obtained from the mean values of the parameters and the gray lines indicate those obtained from the perturbed values. Finally, the uncertainty caused by TRL line can be obtained by calculating the standard deviation of the 1,000 \( S \)-parameter results at each frequency. The uncertainties of \( |S_{11}| \) and \( |S_{12}| \) are presented in Fig. 9.

Fig. 9 also plots the results based on Stumper’s equations. In this simulation, we use the simulated values for the DUT \( S \)-parameters \( S_{ij} \). Both sets of results agree exactly with each other. If we do not renormalize the \( S \)-parameters of TRL line from 50 Ω to \( Z_0 \), there is a discrepancy between the two methods.

When performing the uncertainty analysis, it is important to consider what factors influence the uncertainty. By using Stumper’s equations, it is easier to identify the influences and interac-

Fig. 7. The simulation results of real part of \( Z_0 \) for each parameter of (a) \( W_{SL} \), (b) \( T_{SL} \), (c) \( H_3, H_2 \), (d) \( \varepsilon_r \), and (e) \( \tan\delta \). The black line indicates \( Z_0^{\text{mean}} \) and the gray line indicates \( Z_0^{\text{pert}} \). The dotted line presents the uncertainties at 95% confidence. (f) Calculated standard deviations for the individual uncertainty contributions.

Fig. 8. Monte Carlo simulation results of magnitude of the DUT \( S \)-parameters (a) \( S_{11} \) and (b) \( S_{12} \) with respect to the parameter \( W_{SL} \), using the method based on repeated TRL calibration.

Fig. 9. Comparison of the results obtained from the two methods. Magnitude uncertainty of the DUT \( S \)-parameters (a) \( S_{11} \) and (b) \( S_{12} \) with respect to the parameter, \( W_{SL} \).
tions that affect the uncertainty in the measurement results. For example, by calculating the sensitivity coefficients for $\delta S_{11}$ and $\delta S_{12}$, we can verify how each uncertainty contribution affects the uncertainty in the DUT $S$-parameters. The sensitivity coefficient of $\delta S_{11}$ for $u(|S_{12}|)$ is $S_{22} \cdot S_{12}/(1 - L^2)$, from Eq. (3), which is plotted in Fig. 10(a). By multiplying the sensitivity coefficient by the uncertainty of $\delta S_{11}$, we obtain the uncertainty contribution for $\delta S_{11}$. Fig. 10(b) shows the uncertainty of $\delta S_{11}$, obtained by the Monte Carlo simulation, and Fig. 10(c) shows the uncertainty contribution for $u(|S_{12}|)$.

Fig. 11 presents the uncertainty contributions for each DUT $S$-parameter. As expected from the results of characteristic impedance, the uncertainty caused by the width of the line, $W_{SL}$, is the dominant contribution.

V. EXPERIMENTAL RESULTS

The measured and simulated $S$-parameters of the DUT (Beatty line) are shown in Fig. 12(a) and 12(b), respectively. The solid line shows the measured results, and the dotted line

![Fig. 10. (a) Sensitivity coefficient of $\delta S_{11}$, $|C_{\delta s11}|$, for $u(|S_{12}|)$ from the Stumper's equation, (b) uncertainty of $\delta S_{11}$ and (c) its uncertainty contribution to $u(|S_{12}|)$.](image)

![Fig. 11. Standard uncertainty of (a) $|S_{11}|$ and (b) $|S_{12}|$ due to the uncertainties in $W_{SL}$, $T_{SL}$, $H$, $\varepsilon_r$, and $\tan \delta$.](image)

![Fig. 12. Measured (solid) and simulated (dotted) (a) $|S_{11}|$ and (b) $|S_{12}|$ of DUT, and (c, d) their uncertainty for all sources of uncertainty obtained by 3D EM Monte Carlo simulation ($N = 1,000$). Deviation of DUT $S$-parameters (e) $|S_{11}|$ and (f) $|S_{12}|$ from the results obtained using TRL line width designed values.](image)
shows the simulated results. Because the datasheet for RO4350 does not provide the frequency dependency of permittivity in this specific multilayer structure, this simulation does not consider any change in the values of the permittivity and loss tangent with regard to frequency. This is likely to cause a slight discrepancy between the simulated and measured results shown in Fig. 12(a) and 12(b).

We use a two-tier calibration to perform the measurements. The first-tier calibration is a short-open-load-thru (SOLT) calibration at the ground-signal-ground (GSG) probe tips made using a commercial impedance standard substrate. The second-tier calibration is a TRL calibration performed using calibration standards on the PCB to move the measurement reference planes to the stripline terminals of the DUT. In this study, we do not consider the uncertainty caused by first-tier calibration.

To calculate the uncertainty, we select the method based on Stumper’s equations, which enables us to use the measured values of DUT S-parameters. First, we obtain the uncertainty of the line, $\delta S_{ij}$, using the 3D EM Monte Carlo simulation with 1,000 trials, as discussed in Section III. The uncertainties of $|S_{11}|$ and $|S_{12}|$, with respect to all parameters, are obtained from the measured DUT S-parameters and $\delta S_{ij}$. These uncertainties are presented (as standard uncertainties) in Fig. 12(c) and 12(d). Their null positions are matched with those of the measured S-parameters.

Fig. 12(e) and 12(f) shows the deviation of the measured S-parameters of the DUT (obtained using the measured mean values) from the values obtained assuming the designed (i.e., intended) values listed in Table 1. We use the measured DUT S-parameters. The deviations are generally larger than the uncertainty, which indicates the need to use the measured values for each fabricated PCB, rather than the designed (i.e., specified) values.

VI. CONCLUSION

Based on the precise measurements of the dimensions, and values for the dielectric properties shown in the datasheet, we obtain the uncertainty in the characteristic impedance of the TRL line standard and the subsequent uncertainties in the DUT S-parameters. In particular, we obtain the uncertainties in the DUT S-parameters by two methods—repeated TRL calibration and Stumper’s equations—and show that the two methods obtain consistent results. This study demonstrates the use of Stumper’s equations taking into account the renormalization process. We present the uncertainty contributions due to the width and thickness of the strip line, the thickness of the dielectric material, and dielectric properties (permittivity and loss tangent), and confirm that the uncertainty contribution caused by the stripline width is the dominant source of uncertainty.

Based on these methods, we can also obtain the uncertainty caused by the other TRL calibration standards (i.e., the thru and reflect). In addition, these methods can be applied to not only simple CPW structures but also complex multi-layer structures. To improve the accuracy of the methods, we need to further study the cutting method (to help minimize any cutting deformation) and develop a method for experimentally determining the dielectric properties of the substrate.

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