Study of the effect of inter-pass temperature on weld overlap start-stop defects and mitigation by application of laser defocusing

Wai Jun Lai 1 · Supriyo Ganguly 1 · Wojciech Suder 1

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Abstract

Laser keyhole initiation and termination-related defects, such as cracking and keyhole cavities due to keyhole collapse, are a well-known issue in laser keyhole welding of thick section steels. In longitudinal welding, run-on and run-off plates are used to avoid this problem. However, such an approach is not applicable in circumferential welding where start/stop defects remain within the workpiece. These issues can hinder industry from applying laser keyhole welding for circumferential welding applications. In this paper, the effect of inter-pass temperature on laser keyhole initiation and termination at the weld overlap start-stop region was investigated. This study has identified that defects occurring within this region were due to laser termination rather than laser initiation because of keyhole instabilities regardless of the thermal cycle. The laser termination defects were mitigated by applying a laser defocusing termination regime to reduce the keyhole depth gradually and control the closure of the keyhole.

Keywords Laser keyhole welding · Laser keyhole termination · Start/stop defects · Laser defocusing

1 Introduction

Laser welding is a flexible welding process capable of joining and manufacturing components in a variety of different materials, from polymers, steels, aluminium alloys, titanium alloys, copper alloys, to nickel-based superalloys, for a wide range of industries, such as medical, electronics, aerospace, automotive, and offshore industries [1–6]. The demand for welding applications capable of joining thick section structural steels in the shipbuilding, oil and gas, and offshore wind industries has led to significant research into laser welding and hybrid laser welding processes [5–8]. The high energy density of laser and hybrid laser welding processes can achieve deep penetration, high aspect-ratio welds at high welding speeds due to material vaporisation, and the formation of a laser keyhole, increasing weld productivity.

A laser keyhole is formed by the vaporisation of the constituent elements within an alloy, which generates a recoil pressure on the liquid metal. The continued evaporation due to the vapour recoil pressure and pressure balances of the liquid metal sustains the formed keyhole [9]. The balance of these forces is sensitive to variation in process parameters, making them susceptible to keyhole instabilities and therefore, keyhole collapse. Keyhole instabilities and keyhole collapse can lead to porosity, voids, unfilled keyhole cavities, and weld surface craters and depression [10–13]. These defects also occur when the laser is terminated abruptly and without significant control of the process parameters and melt pool dynamics. As the laser terminates, several phenomena need to be considered, each occurring within a specific time frame. The extinction of plasma within the keyhole, the decay of vaporisation recoil pressure, and the mechanical collapse of the keyhole driven by surface tension forces all occur instantaneously [14]. The imbalance of these driving forces during abrupt laser termination and collapse of the keyhole can lead to bubble formation, as shown by Courtois et al. using numerical simulations validated by experimental observations [15]. The author showed how the liquid metal solidified before the keyhole cavity is filled and gases can escape, resulting in porosity.

Therefore, laser keyhole welding is more applicable for longitudinal welds where run-on and run-off plates can be used to contain any defects generated at the start and stop of the weld seam, and then subsequently removed. In circumferential welding applications of tubular sections, such as pipes, the use of run-on and run-off plates is not feasible. The
eliminating these issues are the ramping down of laser power
ations. The preferred or most utilised methods of reducing and
which can be costly and difficult to implement in real condi-
ics needs to be well balanced during laser termination to
achieve a good termination and weld profile, without defects.

Considerable interest has therefore been placed into the
prevention of laser weld termination defects. A patent by
Becker et al. [16] details various process methods for reducing
end crater formations as the laser approaches the end of the
weld seam, such as laser defocusing, reduced welding speed,
lateral movement of the beam and reducing the laser power, or
any combination thereof. Some of these methods may require
complex process optimisation and additional equipment,
which can be costly and difficult to implement in real condi-
tions. The preferred or most utilised methods of reducing and
eliminating these issues are the ramping down of laser power or
accelerating the welding speed, or both, due to ease of
process control and implementation [13]. However, the grad-
ual reduction in penetration when ramping down the laser
power can lead to porosity due to keyhole fluctuation and
collapse when welding over a previous weld, as described
by Dawes [13]. The speed of the gradual reduction in keyhole
depth needs to be optimised to match the solidification rate of
the material to avoid defects, such as cracking and cavities. At
the same time, the application of accelerating welding speed
may lead to melt pool instabilities and increase the solidifica-
tion rate due to the reduction in heat input.

In recent studies on the mitigation of laser termination de-
fects in autogenous laser keyhole welding of 8-mm-thick sec-
tions, Gook et al. have investigated both laser power ramp-
down and laser defocusing termination methods [17]. The
authors show that the transition from full penetration to partial
penetration welding is prone to root sagging or solidification
ack defects when either laser power ramp-down or laser
defocusing is applied. Defects, such as solidification cracks,
shrinkage cavities, and weld craters, could not be avoided
unless a “smoothing layer”, using laser defocusing with a
low beam power was applied at the weld overlap. However,
such repair procedure increases processing time and may have
adverse effects on the weld, microstructural development, and
behaviour of the material if not optimised and appropriately
applied. The remelting of a previous weld pass can lead to
additional segregation and reheat the coarse-grained heat af-
fected zone, which would increase the probability of shear-
induced transformation product (e.g. martensite) forming,
with subsequent deterioration of toughness. The main appli-
cations of laser defocusing are for post-weld laser treatment
purposes, such as laser surface remelting to improve the weld
topology, microstructure, and mechanical properties. The
“smoothing layer” shown by Gook et al. [17] is an example.
Further examples were shown by Powell et al. for improving
the weld root uniformity [18] and Xu et al. to try and reduce
the weld hardness and improve the weld surface profile [19].
There is still little research and application of laser defocusing
to mitigate laser termination–related defects due to the diffi-
culties in process control.

The scope of this paper is to identify the causes of defects at
the weld overlap start-stop region in autogenous laser welding
of circumferential welds and how to mitigate such defects
using a process-based method. In this study, the underpinning
causes of defects at the weld overlap start-stop region were
investigated by replicating different circumferential weld di-
ameters onto flat plates using two overlapping weld seams.
Firstly, the formation of defects at the weld overlap start-stop
region and the effect of different inter-pass temperatures
achieved by replicating different circumferential weld diam-
ters (pipe diameters) were identified. Secondly, controlled ex-
perimentation was performed to demonstrate how defects at
the weld overlap start-stop region can be eliminated by apply-
ing a laser defocusing termination regime.

2 Experimental procedure

2.1 Replicated circumferential weld on flat plate

A circumferential weld involves the joining of two tubular
sections, such as pipes, along the circumference. The start of
the weld is overlapped by the intersecting weld seam before
termination and remains within the workpiece. The process is
simplified by replicating the circumferential weld onto a flat
plate with an elongated weld overlap with two partially over-
lapping weld seams, as shown in Fig. 1. The elongated weld
overlap allows for the start and termination points of the laser
to be investigated independently of each other.

Each point denoted as, \( A, B, C \), and \( D \) represents the two
initiation points (\( A \) and \( B \)) and two termination points (\( C \) and
\( D \)) of the two weld seams, respectively. Firstly, a weld is
carried out between the points \( B \) and \( D \), where the laser initi-
ates at \( B \) and terminates at \( D \). Then after a calculated time
delay, simulating different circumferential dimensions, the lar-
s is reinitiated at point \( A \) and then terminated at point \( C \). The
overlapped \( BC \) length \((\overrightarrow{BC})\) represents the elongated weld
overlap start-stop region, therefore, replicating a circumferen-
tial weld.

The time delay was varied to simulate the welding process
of different outer pipe diameters with varying circumferential
lengths without increasing the length of the workpiece. These
different outer pipe diameters result in different inter-pass

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conditions as observed in a circumferential weld at the point of overlap due to the residual heat from the first weld (start section of a circumferential weld).

2.1.1 Time delay between the first and second weld seams

The delay time, $t_{\text{delay}}$, between the two weld seams was calculated for different pipe diameters ($D$) by simulating varying circumferential lengths of travel ($L_{\text{circ}}$) at a set welding speed ($v$). The delay time, $t_{\text{delay}}$, is equal to the time remaining when the following durations have been subtracted from the total calculated time required to weld the circumferential length ($t_{\text{circ}}$). These durations are the time it has taken:

- To weld from point $B$ to point $D$ ($t_{\text{BD}}$).
- For the laser head to travel at the maximum robot motion speed from point $D$ to point $A$, and to start emission ($t_{\text{DA}}$).
- To weld from point $A$ to point $B$ ($t_{\text{AB}}$).

A simplified expression is given in Eq. (1), where

$$t_{\text{AD}} = t_{\text{BD}} + t_{\text{AB}}.$$  

$$t_{\text{delay}} = t_{\text{circ}} - t_{\text{AD}} - t_{\text{DA}}. \quad (1)$$

2.2 Experimental setup

An 8-kW maximum output IPG fibre laser with a fibre diameter of 0.3 mm, a collimation lens with a focal length of 125 mm and a focusing lens with a focal length of 250 mm were used in this study. This setup gave a beam diameter of 0.6 mm at the focal point. The welds were carried out with the focal point on the plate surface in all experiments. The laser head was tilted by 10° to avoid back reflection, as shown in Fig. 2. All welds were carried out without shielding gas to minimise any interaction with the vapour plume and melt pool.

S355 low carbon structural steel plates were used, and the chemical composition is shown in Table 1. The plates were cleaned with acetone before welding and after the first weld seam to remove contamination. Two K-type thermocouples were spot-welded onto the top surface of the plates and connected to an oscilloscope to record the time-temperature profile during each weld seam. The plates were clamped in position using a fixture in the 2G horizontal welding position, as shown in Fig. 2. The location of the thermocouples was equidistant from point $B$ to point $C$, as shown in Fig. 2.

2.3 Experimental approach

Two experiments were conducted to investigate the formation of laser initiation and termination defects at the weld overlap start-stop region when the laser terminates abruptly, and when a laser defocusing termination regime is applied.
2.3.1 Abrupt laser termination

No control of the laser initiation or termination was implemented during welding. The laser initiates instantaneously at full power and terminates abruptly from full power to no emission.

2.3.2 Laser defocusing termination regime

Laser defocusing is achieved by gradually increasing the distance between the laser head from the workpiece (focal position) while maintaining a constant laser power output. The laser spot diameter was measured at different distances from the optics using a commercial beam profiler. The measured data were then used to generate a linear regression for the laser spot diameter as a function of distance from the laser optics, which was used to achieve the required laser spot diameter. Three laser end spot diameters and three pipe diameters were considered resulting in six weld samples.

2.4 Process parameters

The set-up and process parameters used for both experimental approaches are shown in Table 2. The laser power and laser travel speed were calculated using the Power Factor Model, as proposed by Suder and Williams to achieve full penetration [20]. In the laser defocusing termination regime, the plate mill scale was not removed, and some changes to the welding parameters were made to study the worst-case scenario welds. The laser travel speed was reduced, and the laser power adjusted to match the change in speed and the slight difference in plate thickness. The low travel speed generates a large volume of liquid metal that surrounds the laser keyhole, and along with the plate mill scale, would increase the likelihood of defect formation to occur.

2.5 Sample analysis

Longitudinal and transverse cross-sections from various areas of the welded samples were cut, mounted, ground, and

| C     | Mn  | Si  | S   | P   | Cu  | Ni  | Cr  | Mo  | Al  | V   | Nb  | Ti  | N   |
|-------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| 0.16  | 1.43| 0.26| <0.01| 0.01| 0.04| 0.02| 0.03| <0.01| 0.03| 0.03| 0.01| <0.01| <0.01|

Fig. 2 (a) Experimental set-up. (b) Schematic of laser head angle. (c) Location of thermocouples

Table 1 Chemical composition of S355 low carbon steel, wt%
These samples were all etched using 2% Nital solution. Vickers microhardness testing was carried out on the transverse cross-sectional weld samples across the weld area using a Zwick Roell hardness testing machine. A load of 500 g for 15 s was applied at 0.5-mm spacing between indentations.

### 2.6 Inter-pass temperature measurements

The temperature measurements for the three weld samples in the abrupt laser termination experiment are shown in Fig. 3. The thermal profile shows the effect of the different pipe diameter (or delay time) on the inter-pass temperature at the weld overlap region. The inter-pass temperature is the minimum recorded value before the temperature increases again when the second weld pass takes place. The residual heat from the first weld seam will affect the second weld seam from point A to point C, and this was investigated accordingly.

For the laser defocusing termination regime experiment, the inter-pass temperature observed for the replicated pipe diameter of 0.1 m was 190 °C.
3 Results and discussion

3.1 Effect of inter-pass temperature on the weld overlap start-stop region

The inter-pass temperature at the weld overlap start-stop region is related to the circumferential diameter being welded and the process parameters used. For small diameter tubular sections or high travel speeds, the weld overlap would experience a high inter-pass temperature. This will affect the microstructure, weld properties, and the likelihood of defect formation.

3.1.1 Weld profile

Figure 4 shows the cross-sectional transverse macrographs, cut from the middle of the weld seam sections AB, BC, and CD for each weld sample from the abrupt laser termination experiment. The transverse macrograph of CD for all three weld samples was made with identical parameters on plates at room temperature.

When comparing the weld profile of section CD to AB and BC, of each weld sample, respectively, the size of the heat-affected zone (HAZ) can be seen to increase with increasing inter-pass temperature. In weld sample 3, where the shortest delay time was applied, the second weld seam and weld overlap start-stop region experienced the highest inter-pass temperature. The size of the HAZ at the weld overlap start-stop region (transverse macrograph BC) is, therefore, more significant. A small undercut is visible at the weld root which is likely to be a result of over-penetration and melt ejection, resulting in spatter.

On the other hand, the size of the fusion zone (FZ) of each respective weld sample remains similar despite the increase in inter-pass temperature and the weld being re-welded at the weld overlap start-stop region.

3.1.2 Weld microstructure

Figure 5 shows micrographs of the FZ taken from each weld seam section shown in Fig. 4. Micrographs of sections CD show a combination of pearlite with different morphologies of ferrite phases, such as grain boundary ferrite (GBF), polygonal ferrite (PF), and acicular/Widmanstätten ferrite (AF/WF).

Sections AB are similar in microstructure; however, in weld sample 2 and 3, the influence of the higher inter-pass temperature can be observed. The microstructure at the FZ shows...
more diffusion-controlled phases occurring. The amount of pearlite decreases while ferrite proportion increases with increasing inter-pass temperature. At the weld overlap start-stop region (section $BC$), where the inter-pass temperature was at its maximum, there is a significant increase in size and volume fraction of ferrite phases, when compared with section $CD$. In the weld overlap start-stop region of weld sample 3, the microstructure is predominantly ferrite. The increase in ferrite is due to the slower cooling rate experienced at the weld overlap achieved with the higher inter-pass temperature. However, there is a possible impact of ingress of reactive environmental gases on the microstructure in the absence of shielding gas but was not evaluated in this study.

### 3.1.3 Weld microhardness

Microhardness profiles across the weld centreline, along the mid-thickness of the transverse macrographs from Fig. 4, were measured.

Figure 6 shows the microhardness profiles of $AB$ and $CD$ from each weld sample. The microhardness profile and values shown are typical of welds made in S355 steels and are well below the maximum acceptable value of 350 HV [21]. The minor variation in the microhardness profiles of weld seam sections of $CD$ is expected despite identical parameters due to the variation in weld profile as previously mentioned. However, a small decrease in hardness across the weld can be observed when comparing $AB$ with $CD$ of each weld sample, respectively. The small decrease in hardness seen in sections $AB$ of each weld sample is due to the influence of inter-pass temperature on the weld microstructure, as was observed in Fig. 5.

Figure 7 shows the microhardness profiles of $BC$ from each weld sample compared with the average microhardness profile of $CD$. The impact of the different inter-pass temperatures at the weld overlap start-stop region can be clearly seen. A significant decrease in hardness across the weld is observed when the weld overlap experienced the highest inter-pass temperature. There is little difference in hardness at the centreline of the three weld
samples as there is no significant difference in cooling profile at the fusion zone as compared with the HAZ. The increase in HAZ at the weld overlap with increasing inter-pass temperature is also visible from the hardness profile, as shown in Fig. 7.

3.1.4 Abrupt laser initiation and termination at the weld start-stop region

Longitudinal macrographs of each laser initiation and termination points of each weld sample were taken and are shown in Fig. 8. Points A and D represent the initiation and termination of typical longitudinal weld, whereas points B and C represent the initiation and termination of a circumferential weld intersection.

At point A, where the laser is initiated, weld reinforcement can be seen on all samples; however, there are no observable internal defects, such as porosity present. At the termination point D, the defects observed are identical. Significant underfill in the form of deep weld craters or cavities are present on both the top and root surface at point D, as can be seen...
in Fig. 8. Solidification cracking perpendicular and through the thickness of the weld is also visible between the top and root weld craters. The cracks formed because of significant solidification stresses due to the large thermal gradient and difference in microstructure between the weld metal and parent metal.

At point B, the laser initiation point of the first weld seam is re-welded by the second weld seam. The weld reinforcement of the initiation point is re-melted and redistributed resulting in a more uniform transition in the weld surface. There are no observable defects in weld samples 1 and 2; however, in weld sample 3, a pore and weld root undercut are present. The location of the porosity and weld root undercut observed is about 4–5 mm after the laser initiation point of the first weld seam and not directly under the laser initiation point. Therefore, the pore is likely to have formed due to gas entrapment from the open keyhole root. The weld root undercut is likely due to over-penetration and melt ejection, resulting in spatter. The melt ejection at the weld root would also explain the gas entrapment located close to the undercut. The laser power should be decreased proportionally during the start of the weld overlap depending on the resultant inter-pass temperature to mitigate such defects.

At point C, weld craters or cavities are still present and still rather severe like at point D; however, there is no solidification cracking in either weld samples. The weld at point C is less susceptible to cracking due to the similar microstructure and thermal gradient when compared with point D. In weld samples 1 and 2, a large pore and underfill at the weld top and root are present. The size of the pore is larger in weld sample 2, which had a higher inter-pass temperature than weld sample 1. On the other hand, no pore present in weld sample 3, which had the highest inter-pass temperature but the underfill at the weld top and root remains. The pore or void at point C is a typical defect that occurs because of keyhole collapse, gas entrapment, and rapid solidification of the collapsed keyhole. At higher inter-pass temperatures, the solidification rate would reduce, allowing time for gases to escape and for the molten metal to stabilise and fill any voids caused by the keyhole collapse. However, despite the high inter-pass temperature allowing entrapped gases to escape and voids to be filled, the underfill present at both the weld top and root surface remains.

The inter-pass temperature has a negligible effect on the formation of laser keyhole termination-related defects, such as porosity and keyhole craters/cavities. The collapse of the keyhole is driven by keyhole dynamics during abrupt laser termination rather than the metallurgical aspects, such as the thermal cycle as defects were still present despite various weld thermal cycles. Different thermal cycles can lead to a reduced solidification rate, enabling entrapped gases from collapsed keyhole to escape but it does not prevent the formation of weld underfill defects at the top and root surface. However, the inter-pass temperature does affect the microstructural development and hardness profile, which needs to be considered to achieve the desired mechanical properties.
3.2 Effect of laser defocusing and inter-pass temperature on the weld start-stop region

3.2.1 Weld profile

Visual inspections of the top surface of the weld start-stop region for each weld sample showed typical weld crater defects at point C in all weld samples regardless of laser termination regime and inter-pass temperature. The application of laser defocusing at the weld overlap also causes surface ripples to form on the top weld surface at a certain distance into the laser defocus as shown by Fig. 9. The formation of surface ripples is an indication of a change in melt flow behaviour. The ripples on the weld surface are unacceptable as the irregular surface roughness are stress concentration factors and is detrimental to the fatigue life of the welded structure. Therefore, to make the process more stable and avoid these phenomena, a simultaneous decrease in laser power is recommended.

Figure 10 shows the longitudinal macrographs in-between points B and C where full penetration ceases, and the location where the macrographs were taken. The HAZ of the second weld seam has again been highlighted to show the different weld profiles.

The transition from full penetration to partial penetration and resulting change in penetration depth when laser defocusing is steeper with the larger end laser spot diameter. The penetration depth begins to stabilise after a certain point of laser defocusing and coincides with the surface ripple formation. There are no observable internal defects, such as porosity within this region, indicating that keyhole collapse was avoided and that a stable, successful keyhole closure was achieved regardless of the inter-pass temperature.

3.2.2 Weld microhardness

A visual representation of the microhardness profiles projected on top of the corresponding traverse cross-sectional macrographs of each weld sample is shown in Fig. 11. These weld samples were taken 30 mm from the termination point. Where no defocusing was applied at the weld overlap, a slight decrease in weld microhardness across the weld is observed at the higher inter-pass temperature.

The two different weld seam areas show the effect of the laser defocusing weld overlap on the weld profile. The HAZ of the overlapping weld seam can be clearly distinguished from the previous weld seam and increases in size at higher inter-pass temperatures. The microhardness profiles through the thickness, therefore, vary as a result. At the top of the weld, an increase in hardness can be observed at the liquid-solid boundary of the second weld seam overlap. However, at a higher inter-pass temperature, the microhardness profile at the top of the weld does not see an increase and is more uniform. An overall reduction of 6%, 4.6%, and 0.87% for a $D_{end}$ of 0.6 mm, 3 mm, and 6 mm, respectively, is seen when comparing an inter-pass temperature of 20°C to an inter-pass temperature of 190°C.

Control of the inter-pass temperature and laser defocusing termination regime is essential as the microhardness profile is dependent on these factors. Too large a diameter or slow travel speed leads to excessive cooling between completions of the weld seam. As a result, this may induce hard microstructural phases in the weld overlap start-stop region.

3.2.3 Weld start-stop laser termination

The top surface and longitudinal macrographs of the termination point and weld craters for each set of laser end spot diameters and inter-pass temperatures are shown in Fig. 12. The HAZ of the second weld seam has been highlighted to show the difference in weld profile and penetration depth. When the laser terminates after laser defocusing, a shallow depression at the termination point in the form of a weld crater remains and could be further optimised. There are marginal differences in the appearance of the weld crater when terminating using laser defocusing at a higher inter-pass temperature. The higher thermal energy within the material leads to increased melting, and this is observed by the increased size of the melt pool and HAZ resulting in a slightly larger weld crater upon laser termination.
No internal defects, such as porosity or cracking, are present at the termination point where laser defocusing was applied.

4 Conclusion

In this study, the effect of abrupt laser termination and the application of laser defocusing termination regime at the weld overlap start-stop region of 8-mm-thick S355 structural steel plates were investigated under different inter-pass temperatures.

- It was identified that abrupt laser termination leads to the formation of defects, such as underfills in the form of deep weld craters or cavities, pores, and solidification cracking when compared with abrupt laser initiation.

- In circumferential welds, defects occurring within the weld overlap start-stop region were due to laser termination rather than laser initiation because of keyhole instabilities and collapse. The laser termination-related defects are present regardless of the inter-pass temperature indicating that keyhole closure and collapse are dependent on and driven by keyhole dynamics rather than the metallurgical aspects, such as the thermal cycle. However, the inter-pass temperature does affect the microstructural development and hardness profile.

- The similar microstructure and residual heat between the start section of the weld and the new termination point prevent cracking from occurring. However, this is still dependent on the thickness and circumference of the workpiece being welded. Other defects, such as deep weld
craters and porosity, remain when the laser is terminated abruptly.

- Laser keyhole closure was successfully achieved by applying a laser defocusing termination regime. Controlled termination of the laser was implemented by linear defocusing of the laser spot diameter by moving the laser head. This ensured a gradual reduction of the applied power density and keyhole depth prior to laser termination. Laser termination-related defects, such as deep weld craters and porosity, are eliminated as keyhole collapse is
avoided during termination. However, surface ripples and a depression in the form of a shallow weld crater remain on the top surface. Further optimisation of the process parameters is required to achieve a defect-free laser circumferential weld.

**Author contribution** Wai Jun Lai: Conceptualisation, formal analysis, investigation, writing—original draft, visualisation.
Supriyo Ganguly: Conceptualisation, supervision, writing—review and editing.
Wojciech Suder: Conceptualisation, supervision, writing—review and editing.

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**Code availability** Not applicable.

**Declarations**

**Ethical approval** The article follows the guidelines of the Committee on Publication Ethics (COPE) and involves no studies on human or animal subjects.

**Consent to participate** Not applicable. The article involves no studies on humans.

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**Fig. 12** Top surface and longitudinal macrograph of termination point C at different laser defocus end spot diameters and inter-pass temperatures.
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