CONFRONTING STANDARD MODELS OF PROTO-PLANETARY DISKS WITH NEW MID-INFRARED SIZES FROM THE KECK INTERFEROMETER

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ABSTRACT

We present near- and mid-infrared (MIR) interferometric observations made with the Keck Interferometer Nuller and near-contemporaneous spectro-photometry from the infrared telescope facilities (IRTFs) of 11 well-known young stellar objects, several of which were observed for the first time in these spectral and spatial resolution regimes. With au-level spatial resolution, we first establish characteristic sizes of the infrared emission using a simple geometrical model consisting of a hot inner rim and MIR disk emission. We find a high degree of correlation between the stellar luminosity and the MIR disk sizes after using near-infrared data to remove the contribution from the inner rim. We then use a semi-analytical physical model to also find that the very widely used “star + inner dust rim + flared disk” class of models strongly fails to reproduce the spectral energy distribution (SED) and spatially resolved MIR data simultaneously; specifically a more compact source of MIR emission is required than results from the standard flared disk model. We explore the viability of a modification to the model whereby a second dust rim containing smaller dust grains is added, and find that the 2-rim model leads to significantly improved fits in most cases. This complexity is largely missed when carrying out SED modeling alone, although detailed silicate feature fitting by McClure et al. recently came to a similar conclusion. As has been suggested recently by Menu et al., the difficulty in predicting MIR sizes from the SED alone might hint at “transition disk”-like gaps in the inner au; however, the relatively high correlation found in our MIR disk size versus stellar luminosity relation favors layered disk morphologies and points to missing disk model ingredients instead.

Key words: infrared: stars – protoplanetary disks – stars: pre-main sequence – techniques: high angular resolution

1. INTRODUCTION

The gas and dust disks around young stars play an important role in the formation and evolution of stars and planetary systems. A protostellar object grows as it accretes matter from its circumstellar disk. At the same time, the physical conditions in the disks constitute the initial conditions for planet formation (Williams & Cieza 2011). It is therefore important to know the disk structure and composition as a function of stellocentric radius and vertical height, density and temperature profiles of each disk component, and how these properties evolve with time, in order to improve our theoretical understanding of the planet formation processes (Bodenheimer & Lin 2002; Blum & Wurm 2008). Direct observational constraints are however difficult to obtain, due to angular resolution limitations inherent to standard imaging techniques, as we now illustrate.

Generally speaking, mid-infrared (MIR) wavelengths probe disk emission from “intermediate” radial locations, between the innermost disk regions bright in the near-infrared (NIR) and the outer disk emitting at (sub)mm wavelengths and also visible in scattered light images (see e.g., Figure 1 in Dullemond & Monnier 2010). For an A0 star, for example, van Boekel et al. (2005) place 90% of the MIR disk emission between 0.5 and 30 au. Therefore, this wavelength regime is interesting as it probes the spatial scales where planets form and reside. At typical distances to star forming regions however (d > 100 pc), these spatial scales (<300 mas) are hardly resolved using conventional telescopes. For this reason progress has relied mostly on interpreting spectral energy distributions (SEDs), which have inherent degeneracies (most notably between disk temperature and dust properties) and therefore necessarily rely on disk models for which even the most basic aspects pertaining to the innermost regions have not been solidly established.

Long baseline interferometers operating at MIR wavelengths can spatially resolve the relevant spatial scales, and provide much needed new model constraints. Previous surveys have focused on establishing the characteristic MIR sizes of a relatively small number of T Tauri and Herbig Ae/Be objects (Leinert et al. 2004), including results at lower spatial resolution using specialized interferometric techniques on single large telescopes (Hinz et al. 2001; Liu et al. 2005, 2006;...
Notes.

a Kirk & Myers (2011).
b van Leeuwen (2007, p. 350).
c Kenyon et al. (1994).
d Kharchenko & Roeser (2009).
e Folsom et al. (2012).
f van den Ancker et al. (1998).
g Alonso-Albi et al. (2009).
h Eisner et al. (2004).
i Hohl et al. (2010).
j Clarke et al. (2005).
k Herbig et al. (2003).

First steps have also been taken in exploring the dust mineralogy and showing that the distribution of dust species is not homogeneous in the disk (van Boekel et al. 2004) and comparing with parametrized disk models (Fedele et al. 2008; Schegerer et al. 2009). Modeling the MIR emission in detail however is notoriously complicated, because it contains contributions from several disk regions, as well as fundamental uncertainties about whether or not the relevant disk regions are completely or partially shadowed. This is in contrast with the modeling of the NIR emission, which is almost completely dominated by a single disk component, namely the inner dust rim (there are also smaller contributions from inner gas and outer dust envelope Dullemond & Monnier 2010, and references therein). Indeed, a handful of single-object studies using specific detailed disk models have provided valuable insights, but also illustrate the difficulty of the problem (Kraus et al. 2008; Schegerer et al. 2008, 2013; di Folco et al. 2009; Ratzka et al. 2009; Benisty et al. 2010; Ragland et al. 2012; Gabáňy et al. 2013). Most recently, Menu et al. (2015) present the results of a survey of 41 Herbig Ae/Be objects with the MIDI instrument at the Very Large Telescope Interferometer. They find intrinsic morphological disk diversity or evolutionary diversity, and evidence for flat disks (group II) having gaps, with implications for the evolutionary sequence and possible role of planet formation in producing the observed types of disks (flat with or without gaps, and flared/gapped, i.e., transitional).

In this paper we present new spatially resolved observations using the Keck Nuller Interferometer (KIN) of the NIR and MIR brightness for 11 well-known young stellar objects (YSOs), as well as near-contemporaneous spectro-photometric data obtained at the NASA Infrared Telescope Facility (IRTF). We do not attempt to constrain the parameters of a specific detailed physical model, because the amount of data available would not permit us to resolve the many model parameter degeneracies, and would result in a very limited gain in knowledge, especially considering that those detailed physical models are themselves still largely unproven. Rather, our approach is to use simple and general model prescriptions that still reflect the most salient physical processes, in order to establish the basic features of the infrared brightness, test current paradigms, and suggest directions to improve the models.

2. THE SAMPLE

Our sample consists of 11 targets selected to have strong infrared excess flux over the stellar photospheres. They represent four different YSO types: 3 T Tauri, 4 Herbig Ae, 3 Herbig Be, and 1 FU Ori object. Their basic properties, and the parameters needed for the modeling performed in the sections that follow are shown in Table 1. All of the targets are well-known young circumstellar disk objects, and the disk properties adopted, also inputs to the modeling, are listed in Table 2.

3. OBSERVATIONS AND DATA REDUCTION

Observations were made using the Keck Interferometer (Colavita et al. 2013) in its nuller mode (Colavita et al. 2009), and at the NASA IRTF over the time period 2009–2010; see the observing log in Table 3.

3.1. Keck Nulling Interferometry

The Keck Interferometer Nuller (KIN, Colavita et al. 2009) operates in N-band (8.0–13.0 μm, dispersed over 10 spectral pixels) and combines the light from the two Keck telescopes as an interferometer with a physical baseline length $B \sim 85$ m. The KIN produces a dark fringe through the phase center ("Nulling"). The adjacent bright fringe (through which flux is
transmitted), projects onto the sky at an angular separation \( \lambda/2B = 10 \) mas, or 1.4 au at the median distance to the stars in our sample (140 pc), and for \( \lambda = 8.5 \mu m \) (the effective wavelength of the KIN bandpass). Thus, the instrument is sensitive to MIR circumstellar emission as close to the central star as these spatial scales (i.e., “inner working angle”). For further descriptions of the KIN observables, see Millan-Gabet et al. (2011), Serabyn et al. (2012), or Mennesson et al. (2014).

The KIN also uses a standard Michelson interferometer operating in K-band (2.0–2.4 \( \mu m \), dispersed over 5 spectral pixels), as a fringe tracker in order to stabilize the MIR nulls in the presence of optical path fluctuations induced by the turbulent Earth’s atmosphere. In this paper we also use these NIR interferometric data, in order to probe circumstellar emission from hotter disk regions located closer to the central star. For the physical baseline length, the fringe spacing at 2.2 \( \mu m \) is 5.3 mas, or 0.8 au at the median distance to our sample.

The MIR nulls and NIR visibility data provided by the KI pipeline were calibrated using their \textit{Calib} package.\footnote{\url{http://nexsci.caltech.edu/software/KISupport/}} Following standard practice, in order to measure the instrument’s transfer function and account for it in the data calibration process, observations of targets of interest were interleaved with observations of calibrator stars of known angular diameters (see Table 3). For ease of comparison of the MIR and NIR data, the calibrated nulls (\( n \)) were converted to visibilities using the relation \( V = (1 - n)/(1 + n) \)—an appropriate approximation given that the MIR emission from our sources appears essentially unresolved to the 4 m baseline of the KIN cross-combiner (see Colavita et al. 2009). A salient aspect of the MIR spatially resolved measurements presented in this paper is that due to the nulling mode, the precision of the calibrated MIR visibilities is substantially higher than can be achieved with standard MIR interferometers from the ground (Colavita et al. 2009, 2010). Our typical uncertainties are \( \sigma_n = 0.005 – 0.01 \), depending on observing conditions and on the spatial extent of the object in the MIR fringe tracking channel, which corresponds to MIR visibility uncertainties 1%–2% for an unresolved object.

### 3.2. IRTF Spectrophotometry

For most of the KIN objects and epochs, we also obtained new NIR and MIR spectrophotometric data at the IRTF. Best
attempts were made to schedule the IRTF observations as near-contemporaneously with the KIN observations as possible, in practice resulting in time lags ranging from a few days to two months, one month being typical (see Table 3). This is important because temporal variations in the star/disk flux ratios are known to be common among YSOs (Sitko et al. 2008), and accurate relative fluxes are needed input to the modeling of the interferometric visibilities. Within the time interval between the KIN and spectrophotometric data, we assume that the disk morphology and star/disk flux ratios remain constant.

We obtained NIR spectra using the SpeX spectrograph (Rayner et al. 2003). The spectra were recorded using the echelle grating in both short-wavelength mode (SXD, 0.8–2.4 μm) and long wavelength mode (LXD, 2.3–5.4 μm) using a 0.8 arcsec slit. The spectra were corrected for telluric extinction and flux calibrated against a number of A0 V calibrator stars, using the Spextool data reduction package (Vacca et al. 2003; Cushing et al. 2004).

In addition to the 0.8 arcsec-slit spectra, for all but v1295 Aql and v1057 Cyg we also recorded data with the Spex prism disperser and a wide 3.0 arcsec slit, which allows us to retrieve the absolute flux levels when the sky transparency is good and the seeing is 1 arcsec or better. This condition was met for DG Tau, RY Tau, MWC 480, and AB Aur, and confirmed using the Broadband Array Spectrograph System (BAAS) data, obtained a month (DG Tau and RY Tau) or two days (MWC 480 and AB Aur) in time from the SpeX observations. For v1295 Aql and v1057 Cyg we normalized the Spex levels using the BASS observations alone, which were obtained within a week of the SpeX observations. For MWC 275, the seeing was 1.4 s, but the Prism and BASS yielded identical scaling factors for the SXD+LXD spectra.

MIR spectra were obtained with The Aerospace Corporation’s BASS. BASS uses a cold beam splitter to separate the light into two separate wavelength regimes. The short-wavelength beam includes light from 2.9–6 μm, while the long-wavelength beam covers 6–13.5 μm. Each beam is dispersed onto a 58-element Blocked Impurity Band linear array, thus allowing for simultaneous coverage of the spectrum from 2.9–13.5 μm. The spectral resolution $R = \lambda / \Delta \lambda$ is wavelength-dependent, ranging from about 30 to 125 over each of the two wavelength regions (Hackwell et al. 1990). In some cases where the wide-slit SpeX Prism observations were not available, BASS spectrophotometry that overlapped the SpeX data were used to provide absolute flux levels of the SpeX spectra.

In order to construct complete SEDs for each object, additional infrared photometry from 2MASS, Spitzer and the literature have been included as needed in order to fill in wavelengths gaps in either the Spex or BASS data. The $UBVRI$ data are primarily from the EXPORT project (Oudmaijer et al. 2001) or from the survey of HAeBe stars published by de Winter et al. (2001).

4. MODELING AND RESULTS

4.1. Stellar Photosphere

In order to study the disk emission, it is necessary to estimate the stellar contribution to the observed SEDs. It is reasonable to assume that shorter wavelength fluxes are dominated by the stellar photosphere, because the circumstellar disks are much cooler. Therefore, we fit a stellar model to the $UBVRI$ SED data, and extrapolate the modeled stellar spectra to the longer wavelengths at which KIN operates.

We use Kurucz models for the stellar photospheres (Kurucz 1979). The stellar metallicity is assumed to be solar, and stellar masses and distances are fixed to the values listed in Table 1. The parameters we fit are: stellar surface effective temperature ($T_\text{s}$), radius ($R_\star$) and reddening coefficient (including circumstellar material). The best-fit results are shown in Table 4. Our values are consistent with previous SED-based results in the literature. When modeling the disk emission, as described in the following sections, the stellar contributions to the SED are fixed to these best-fit results.

| Name | $T_\text{s}$ | $R_\star$ | $E(B-V)$ | $L_\star$ |
|------|-------------|-----------|-----------|-----------|
| SU Aur | 5500 | 3.7 | 0.40 | 11 |
| DG Tau | 4000 | 2.3 | 0.80 | 12 |
| RY Tau | 5750 | 1.6 | 0.71 | 25 |
| MWC 758 | 8250 | 3.1 | 0.13 | 40 |
| MWC 480 | 8250 | 1.8 | 0.02 | 13 |
| AB Aur | 9000 | 2.1 | 0.04 | 26 |
| MWC 275 | 8750 | 2.0 | 0.03 | 21 |
| v1295 Aql | 9250 | 3.1 | 0.08 | 63 |
| v1685 Cyg | 22000 | 3.1 | 0.80 | 2021 |
| MWC 1080 | 3000 | 6.6 | 1.7 | 32000 |
| v1057 Cyg | 6250 | 10.6 | 1.35 | 154 |

Note. The stellar luminosities are derived from the best-fit temperatures and radii.

4.2. Geometric Disk Model

4.2.1. Model and Fitting Procedure

We begin by using a geometric disk model in order to establish the emission size scales. The objects are represented as a linear combination of the three components expected to dominate the emission: the star, the inner dust rim, and the extended disk behind it.

The star is modeled as an unresolved point source, which is appropriate given their angular diameters (all smaller than 0.2 mas) and angular resolution of the KIN (5 mas fringe spacing at even the shortest 2.2 μm wavelengths in these observations). The inner dust rim is represented by a ring of linear radius $R_{\text{rim}}$, infinitely thin in the radial direction, and emitting as a blackbody at temperature $T_{\text{rim}}$. The emission from the extended disk is represented by a two-dimensional Gaussian brightness with a central clearing of radius equal to the inner rim radius, we quantify the size scale of this component by its half width at half maximum ($HWHM_{\text{Disk}}$; see Figure 1). The inclination and position angle of both the rim and extended disk are assumed to be the same as those observed via millimeter interferometry of the outer disk (given in Table 2). The fitting process is divided into two steps, as follows.

First, the temperature and size of the inner rim ($T_{\text{rim}}$, $R_{\text{rim}}$) are determined from the NIR SED and K-band visibilities, ignoring the extended disk component since it contributes negligible flux at NIR wavelengths (in practice, we limit the SED fits to the 1–5 μm wavelength region in order to best
realize this assumption. The inner rim temperature is obtained by fitting the NIR SED (its shape constrains this parameter very well). The rim radius is then obtained by numerically solving the equation for the K-band visibilities:

\[
V(\lambda, u, v) = \frac{F_x}{F_{\lambda}} + \frac{F_{\text{rim}}}{F_{\lambda}} \cdot V_{\text{rim}}(\lambda, u, v)
\]

\[
= \left(\frac{F_x}{F_{\lambda}} \right) + \left(\frac{F_{\text{rim}}}{F_{\lambda}} \right) \cdot J_0(2\pi\rho b),
\]

where \( V(\lambda, u, v) \) is the observed visibility amplitude at each of the 5 wavelength bins sampled within the K-band, \( F_x \) the total flux, \( F_{\lambda} \) the stellar flux, \( F_{\text{rim}} \) the rim flux, \( \rho = R_{\text{rim}}/d \) is the angular radius of the rim, and \( b \) is the projected baseline \( (b = \sqrt{u^2 + v^2}/\lambda) \) taking into account the inclination and orientation of the rim on the sky. The fractional fluxes are obtained by SED decomposition using the stellar fit described above. Therefore the only unknown is the radius of the rim \( \rho \).

The Bessel function \( J_0 \) in the equation above is not bijective; here we consider only numerical solutions in the main lobe of the visibility function, i.e., we adopt the smallest rim size consistent with the data.

Next, we determine the characteristic size of the extended disk by fitting to the N-band visibilities. This time the star is ignored because it contributes negligible flux in N-band. Therefore, the spatial model consists of the inner rim (barely resolved at MIR wavelengths—see Table 5) and the extended disk component. Similarly to the previous step, the fractional fluxes in each component at the 10 N-band wavelength bins are obtained via SED decomposition, using the parameters for the blackbody ring representing the inner rim from the previous step. Therefore, the HWHM of the truncated Gaussian

![Figure 1.](image1)

**Figure 1.** Schematic representation of the inner disk models used in this paper and the relevant parameters in each case. We note that in the 1-rim and 2-rim model schematics the intent is to only indicate the average locations of the rims, with no physical meaning to the extent of the region between them (see the text).

| Index | Name      | \( R_{\text{rim}} \) (K) | \( R_{\text{rim}} \) (au) | \( R_{\text{rim}} + \text{HWHM}_{\text{disk}} \) (au) |
|-------|-----------|---------------------------|--------------------------|-----------------------------------------------|
| 1     | SU Aur    | 1310 ± 40                | 0.320 ± 0.015             | 1.99 ± 0.03                                  |
| 2     | DG Tau    | 1070 ± 40                | 0.251 ± 0.005             | 1.15 ± 0.02                                  |
| 3     | RY Tau    | 1740 ± 30                | 0.185 ± 0.004             | 1.38 ± 0.03                                  |
| 4     | MWC 758   | 1550 ± 20                | 0.309 ± 0.005             | 3.92 ± 0.17                                  |
| 5     | MWC 480   | 1430 ± 30                | 0.250 ± 0.006             | 1.21 ± 0.07                                  |
| 6     | AB Aur    | 1630 ± 10                | 0.236 ± 0.008             | ...                                          |
| 7     | MWC 275   | 1610 ± 20                | 0.298 ± 0.005             | 1.64 ± 0.07                                  |
| 8     | v1295 Aql | 1370 ± 20                | 0.465 ± 0.010             | 1.60 ± 0.03                                  |
| 9     | v1685 Cyg | 1400 ± 10                | 1.28 ± 0.05               | 6.66 ± 0.22                                  |
| 10    | MWC 1080  | 1580 ± 20                | 1.21 ± 0.05               | 5.87 ± 0.11                                  |
| 11    | v1057 Cyg | 1330 ± 30                | 0.377 ± 0.061             | 3.43 ± 0.32                                  |

Table 5

Geometrical Model Parameter Results

4.2.2. NIR and MIR Characteristic Sizes

Figure 2 shows the SED data, visibility data, and fitted sizes (i.e., radii given by \( R_{\text{rim}} \) in the NIR or \( R_{\text{rim}} + \text{HWHM}_{\text{disk}} \) in the MIR) as a function of wavelength within each of those bandpasses. Table 5 shows the best-fit parameters for each object; where the rim and extended disk radii have been averaged over the spectral bins in the NIR and MIR bandpasses respectively (for the propagation of errors, we assume that the NIR spectral bins are uncorrelated, and that the MIR spectral bins are fully correlated, following Mennesson et al. 2014). We note that the uncertainties in the characteristic sizes in Table 5
do not include systematic uncertainties due uncertainties in (a) the fractional fluxes derived via SED decomposition (for reference, a ∼10% effect given our photometric errors and values of the \( J_0 \) term in Equation (1) typical of our sample), or (b) distance (a 25% effect given the same level of distance uncertainties for our sample).

We obtain best-fit values for the rim temperatures and radii that are in agreement with expected dust sublimation values, as was previously found (see e.g., Dullemond & Monnier 2010 and references therein). The MIR characteristic sizes range from 1.2 to 6.7 au, with median precision of 3%.

We note that (as can be seen in Figure 2) for AB Aur, as well as for RY Tau and MWC 758 at some of the MIR wavelengths, there is no Gaussian HWHM solution. This is because for those cases the coherent MIR flux (MIR visibility times the total flux, solid orange line in the SED panels) is lower than the rim flux, and therefore there is no mathematical solution for the Gaussian component, given that as noted above the rims are nearly unresolved at MIR wavelengths. In other words, our procedure for this simple geometrical model places too much MIR coherent flux in the rim. In Section 4.3 we consider more physical models which allow for a more extended MIR brightness for these sources.

4.2.3. The MIR-size versus Stellar-luminosity relation

Studying how the characteristic sizes relate to the stellar properties can reveal clues about the dominant emission processes at play in a given wavelength regime. In Figure 3 we explore how the MIR characteristic sizes measured above \( (R_{\text{rim}} + \text{HWHM}_{\text{disk}}) \) relate to the stellar luminosity \( (L_*) \). The index number in the plot identifies each object as in Table 5 (AB Aur is missing, because the geometrical model has no MIR size solution for this object, as discussed above). The dashed lines represent the equilibrium location of gray dust at
the indicated temperatures, following the definition of Monnier 
& Millan-Gabet (2002).

We confirm earlier findings that the MIR sizes generally 
scale with stellar luminosity (Monnier et al. 2009; Menu et al. 
2015). However, we find a better correlation than found by 
these previous authors. Formally, we find a correlation of 0.9 
with a low p-value (0.001) indicating that the null hypothesis 
(no correlation) is rejected. Alternatively, a bootstrap analysis 
gives a 5σ significance to the measured slope of the MIR size 
versus \( L \) diagram (slope = 0.19 ± 0.04).

Most likely, the reason for the higher level of correlation is 
that our choice of “MIR size” effectively removes the rim 
emission, so that the remaining MIR size correlates better with 
stellar luminosity. Our two-step procedure is indeed very 
different from e.g., the one-component Gaussian model of 
Monnier et al. (2009) or the half-light at half-radius measure of 
a \( T \)-power law disk of Menu et al. (2015). The lower scatter in 
our relation may also be, at least in part, the result of using the 
known inclination of the (outer) disk for each object (Table 2), 
rather than uniformly assuming a face-on geometry.

4.3. Semi-analytical Model: Flared Disk with Inner Dust Rim

We now turn our attention to determining how the new MIR 
interferometer data compares with predictions from a physical 
model that encapsulates current paradigms—namely a flared-
disk including a “puffed-up” inner dust rim (see e.g., 
Dullemond & Monnier 2010, and references therein). We use 
our own semi-analytical implementation of this model, so that 
we can modify it, which we will show may be necessary. Our 
semi-analytical model follows Eisner et al. (2004) but with an 
inner rim following D'Alessio et al. (2004) and Isella & Natta 
(2005). As in the previous section, the inclination and position 
angle of the rim and flared disk are assumed to be the same, and 
we use the values inferred from millimeter interferometry of the 
outer disk (Table 2).

For simplicity, dust grains in the rim are assumed to be a 
single species, namely amorphous olivine MgFeSiO(4), 
commonly found in circumstellar disks (Dorschner et al. 
1995; Sargent et al. 2009) with close to cosmic Mg-to-Fe ratio 
(e.g., Snow & Witt 1995). The optical constants for this species 
are from Jaeger et al. (1994) and Dorschner et al. (1995). The
Opacities are computed using Mie theory. Since the rim is hot, only large grains can survive; here we assume a single size of 1.3 μm (Tannirkulam et al. 2008b).

With the rim grain properties fixed as discussed above, the rim radius is determined by the sublimation temperature (D'Alessio et al. 2004; Isella & Natta 2005). Thus, the model for the rim component has two free parameters: a scale parameter related to the angular size of the projected rim surface, used to match the NIR fluxes, and the dust sublimation temperature ($T_{\text{rim}}$).

Dust grains in the flaring disk component behind the dust rim are assumed to be silicates with optical properties as in Laor & Draine (1993). Our calculations showed that the dust grain size upper cutoff is not important for our results in the MIR wavelength range, therefore we used a standard MRN distribution (Mathis et al. 1977) with grain sizes following a power law with index $-3.5$, and minimum/maximum sizes of 0.005/0.25 μm, respectively.

The mass and outer radius of the flared disk component are fixed to the values in Table 2. We note that the outer radius has negligible effect on the predicted SED or the interferometric data at MIR and shorter wavelengths, because the outer disk regions contribute little NIR or MIR emission. The surface density distribution is assumed to follow a power law with index of $-1.5$ (Chiang & Goldreich 1997). The only free figure
parameter is the flaring index $\xi$, which determines how much stellar emission the extended disk can intercept (i.e., the larger the flaring index, the hotter the extended disk is). Validation of our semi-analytical implementation of the flared disk model against benchmark radiative transfer codes is presented in Appendix.

We note that for the purposes of this exercise, we do not use the NIR interferometer data. This is because Tamirikulam et al. (2008b) showed that in order to explain the shape of the NIR visibility curves past the first lobe, a relatively smooth NIR brightness was required (i.e., inconsistent with the abrupt edge in the NIR brightness that results from models devoid of emission inside the inner dust rim). They argued that the most likely origin of the extra NIR emission is hot gas interior to the dust sublimation radius, a component clearly not included in the model just described.

Finally, we note that for four of the 11 objects: DG Tau, MWC 1080, v1057 Cyg, and v1658 Cyg, our model has no hope of reproducing the detailed SED, because for those objects no silicate emission feature is observed. Possible reasons are: (a) the disks contain only Carbon grains (a radical possibility), or (b) the MIR excess arises in an optically thick envelope of large grains, or (c) large gaps exist in the disk region normally responsible for silicate emission (Maaskant et al. 2013). Indeed these four objects are known to be very active and/or embedded, such that our model clearly does not apply, and the tailored models that would be required are outside the scope of this paper. However, we choose to keep those four objects in the rest of our analysis, because it is still valuable to examine how the model fares in reproducing not the details but the general features of the data, namely the infrared excess and MIR visibilities levels. A schematic sketch of the model and parameters is shown in Figure 1.

### 4.3.1. One-rim Flared Disk Model Fitting to SEDs Only

We first tune the model to fit the SEDs only, in order to evaluate how the predicted MIR visibilities compare with the data. The best-fit results are shown in Table 6 and Figure 4. In addition to the best-fit parameters, Table 6 includes the fractional MIR flux in each of the two disk components ($f_{\text{disk}}$ and $f_{\text{rim}}$), relative to the total MIR flux ($\text{star} + \text{rim} + \text{disk}$). We include no formal parameter errors, because our intent is not to determine precise parameter values, but to evaluate the validity of the main features of the model. The table also includes the reduced-$\chi^2$ values for the best-fit model compared to the SED and $V^2$ data; i.e., $\chi^2_{\text{red}} = \chi^2/(N - p)$, where $p = 3$ is the number of free parameters for the 1-rim model, and the number of data points $N$ is 10 for the visibility data, and of order 1000 (depending on the object) for the SED data.

For the seven objects with observed silicate emission features, the SEDs are well reproduced. The NIR excess (“bump”) is due mostly to the rim as expected (and this validates the assumption made for the simple geometric model of the previous section). Most of the MIR flux arises in the surface layer of the disk, and reproduces the observed 10 $\mu$m silicate peak well in most cases.

What about the predicted MIR visibilities? For three of the objects: RY Tau, MWC 758, and AB Aur; which are the three most spatially resolved in the MIR, the visibility data are well reproduced. For all the other objects, the SED-best-fit model predicts MIR visibilities which are significantly lower than is observed; i.e., the data requires a much more “compact” MIR brightness.

We conclude that, in general, the disk model when tuned to fit only the SEDs produces inadequate visibility predictions. This is an important observation, given that these models are in wide usage in the field, but the most common situation is the lack of spatially resolved data.

#### 4.3.2. One-rim Flared Disk Model Fitting to SEDs and Visibilities

We now use the same model to fit both the SED and MIR visibility data simultaneously. Since the SEDs have many more data points, we increase the weights of interferometer data accordingly (by the ratio of the number of data points).

The results are shown in Figure 5 and Table 6, and can be summarized as follows: (1) For the seven objects with observed

### Table 6
One-rim Flared Disk Model Fitting Results

| Name          | $R_{\text{rim1}}$ (au) | $T_{\text{rim1}}$ (K) | $f_{\text{rim1}}^\text{MIR}$ | $f_{\text{rim1}}^\text{disk}$ | $\chi^2_{\text{red,SED}}$ | $\chi^2_{\text{red,VIS}}$ | $R_{\text{rim1}}$ (au) | $T_{\text{rim1}}$ (K) | $f_{\text{rim1}}^\text{MIR}$ | $f_{\text{rim1}}^\text{disk}$ | $\chi^2_{\text{red,SED}}$ | $\chi^2_{\text{red,VIS}}$ |
|---------------|------------------------|------------------------|-----------------------------|-----------------------------|--------------------------|-------------------------|------------------------|------------------------|-----------------------------|-----------------------------|--------------------------|-------------------------|
| SU Aur        | 0.94                   | 950                    | 0.32                        | 0.14                        | 0.65                     | 5.1                     | 253                    | 0.67                   | 0.06                        | 0.29                       | 19                       | 7.3                     |
| RY Tau        | 0.12                   | 2000                   | 0.03                        | 0.39                        | 0.97                     | 13                      | 5.7                    | 0.12                   | 2000                        | 0.031                      | 0.39                     | 0.96                    | 13                      | 5.7                     |
| MWC 758       | 0.54                   | 1550                   | 0.14                        | 0.17                        | 0.86                     | 8.2                     | 30                     | 0.54                   | 1550                        | 0.13                      | 0.17                     | 0.87                    | 8.4                     | 28                      |
| MWC 480       | 0.15                   | 2100                   | 0.024                       | 0.25                        | 0.97                     | 16                      | 23                     | 0.10                   | 2500                        | 0.017                      | 0.24                     | 0.98                    | 26                      | 19                      |
| AB Aur        | 0.29                   | 1850                   | 0.067                       | 0.25                        | 0.93                     | 11                      | 0.64                   | 0.29                   | 1850                        | 0.067                      | 0.25                     | 0.93                    | 10.8                    | 0.64                    |
| MWC 275       | 0.25                   | 1850                   | 0.048                       | 0.21                        | 0.95                     | 9.4                     | 68                     | 0.24                   | 1900                        | 0.046                      | 0.21                     | 0.95                    | 9.7                     | 67                      |
| v1295 Aql     | 2.2                    | 950                    | 0.29                        | 0.18                        | 0.71                     | 67                      | 4162                   | 0.58                   | 1250                        | 0.92                       | 0.01                     | 0.08                    | 6415                    | 142                     |

Objects with no Observed Silicate Emission Features

| Name          | $R_{\text{rim1}}$ (au) | $T_{\text{rim1}}$ (K) | $f_{\text{rim1}}^\text{MIR}$ | $f_{\text{rim1}}^\text{disk}$ | $\chi^2_{\text{red,SED}}$ | $\chi^2_{\text{red,VIS}}$ |
|---------------|------------------------|------------------------|-----------------------------|-----------------------------|--------------------------|-------------------------|
| DG Tau        | 0.58                   | 700                    | 0.50                        | 0.46                        | 0.49                     | 35                      | 59                     | 0.58                   | 700                        | 0.59                      | 0.43                     | 0.40                    | 40                      | 29                      |
| v1658 Cyg     | 1.2                    | 2500                   | 0.019                       | 0.15                        | 0.98                     | 90                      | 334                    | 1.2                    | 2500                        | 0.019                      | 0.15                     | 0.98                    | 90                      | 334                    |
| MWC 1080      | 68                     | 750                    | 0.78                        | 0.19                        | 0.22                     | 405                     | 5511                   | 4.5                    | 2500                        | 0.74                      | 0.01                     | 0.26                    | 955                     | 516                     |
| v1057 Cyg     | 0.70                   | 1850                   | 0.047                       | 0.12                        | 0.95                     | 18                      | 26                     | 0.55                   | 2050                        | 0.041                      | 0.11                     | 0.95                    | 25                      | 23                      |

Note. Column descriptions (see Section 4.3). (1) Object name; (2, 9) Rim radius; (3, 10) Rim temperature; (4, 6, 11, 13) Fractional MIR fluxes; (5, 12) Disk flaring index.
silicate emission. (1a) A solution that fits well both the SED and MIR visibility data now exists for SU Aur, at a small cost in reduced agreement with the SED data. It can be seen in Table 6 that this is achieved by increasing the MIR flux contribution from the rim, resulting in a more compact source of MIR emission. (1b) We also note that for v1295 Aql, the fit to the visibility data is significantly improved, but at the expense of no longer fitting the SED well at all i.e., in this case, forcing more rim MIR emission results in greatly overshooting the NIR bump. (1c) In summary, a total of four of the seven objects with observed silicate emission are well fit by the model (the same three as in Section 4.3.1 plus SU Aur); for the other three the general feature remains that the MIR visibilities are lower than observed and a more compact MIR brightness is required.

4.3.3. Two-rim Flared Disk Model Fitting to SEDs and Visibilities

As shown above, the “one-rim + disk” model tends to underestimate the observed MIR visibilities, indicating that a significant fraction of the flux originates in a more compact source than predicted by this model. In fact, the required size scale for the MIR brightness is comparable to that of the inner rim, but this component alone cannot explain the observations because the relatively large dust grains required to survive direct exposure to the stellar radiation are not able to produce the required MIR flux. Rather than attempting to tune this model, we explore here the viability of a more radical modification to the disk structure, motivated in part by the SED-modeling work of McClure et al. (2013). The precise location and shape of the inner dust rim is determined by processes such as the settling of larger grains to the disk mid-plane and the dependence of dust sublimation temperature on the local gas density, dust grain size, and chemical composition, collectively leading to curved walls, which McClure et al. (2013) successfully model using a two-layer approximation.

Here we implement the two-layer approximation using two distinct inner rims of different heights, but otherwise each modeled as in Section 4.3 (see Figure 1, compare with Figure 1.)
of McClure et al. 2013). The second rim is located behind the first rim (further from the star), is taller than the first rim, and therefore still partially directly heated by the star. Thus smaller dust grains can survive in the second rim, which leads to the required compact MIR emission, compared to that arising in the extended disk behind it. The emission in the region between the two rims is difficult to predict due to possible rim-shadowing effects; thus for simplicity we assume no emission. For the smaller dust grains of the second rim we adopt a size of 0.25 μm. We assume the dust composition of the two rims to be the same (described in 4.3). The 2-rim model therefore has two additional degrees of freedom: the scale parameter and temperature ($T_{\text{rim}2}$) of the second rim.

The results are shown in Figure 6 and Table 7 (in the calculation of $\chi^2_{\text{red}}$, the number of model free parameters is now $p = 5$). As expected, rim-2 (located at 1 to few au) is cooler and contributes mainly to the MIR flux. In order to assess the relative quality of the 1 and 2-rim models, but taking into account the increased degrees of freedom for the 2-rim model, we use the Akaike Information Criterion (AIC): $\text{AIC} = 2p + \chi^2_{\text{red}}$, where $p$ is the number of model free parameters. The AIC still favors models with lower $\chi^2_{\text{red}}$, but penalizes for the increased degrees of freedom. Table 7 shows $\Delta$ (AIC) = AIC$_{\text{2rim}}$ − AIC$_{\text{1rim}}$, a negative value favors the 2-rim model, which formally happens for 7 of the 11 objects when considering the fits to the SEDs, and for 5 of the 11 objects when considering the fits to the MIR visibilities.

We summarize the results as follows. For two of the objects, the 2-rim model still does not provide good fits, either because the MIR visibilities are not well fit (MWC 480) or because the SED is not well fit (v1295 Aql). Both objects have high $f^\text{MIR}_{\text{rim}2}$ and very low values of the disk flaring index (much lower than $\xi = 2/7$ for hydrostatic equilibrium), such that the flared disk has been essentially replaced by the second rim. For v1295 Aql, it may be that our model fails because contrary to our assumption the disk inclination is high (values in the literature range from 0 to 65 deg, Eisner et al. 2004; Isella et al. 2006). Another possibility for this object is that the model is valid, but the dust properties in rim 2 need to be modified, given that as mentioned above this component dominates the MIR emission, and has the correct size scale, but fails mainly in that it significantly overpredicts the NIR fluxes.
Figure 6. Two rim disk model fitting to the SED and interferometry data. The notations are the same as in Figure 4, with one addition: the dotted–dashed line represents the emission from the second rim. The four objects in the bottom panels are the ones for which no silicate feature is observed in the SEDs, and are shown here for illustrative purposes and to evaluate how the models are able to reproduce the SED and visibility levels only.

Table 7

| Name       | $R_{rim1}$ (au) | $T_{rim1}$ (K) | $f^{\text{MIR}}_{rim1}$ | $R_{rim2}$ (au) | $T_{rim2}$ (K) | $f^{\text{MIR}}_{rim2}$ | $\xi$ | $f_{\text{disk}}^{\text{MIR}}$ | $\lambda^{\text{SED}}_{\text{red}}$ | $\lambda^{\text{VIS}}_{\text{red}}$ | $\Delta$(AIC) | SED | VIS |
|------------|-----------------|----------------|--------------------------|-----------------|-----------------|--------------------------|-------|-----------------------------|-----------------------------|-----------------------------|----------------|------|------|
| SU Aur     | 0.45            | 1300           | 0.096                    | 1.2             | 1250            | 0.59                      | 0.07  | 0.29                        | 5.4                         | 3.3                         | 33              | −3   | −3   |
| RY Tau     | 0.10            | 2200           | 0.098                    | 1.6             | 1050            | 0.83                      | 0.21  | 0.15                        | 4.8                         | 3.6                         | 14              | −6   | −14  |
| MWC 758    | 0.54            | 1550           | 0.14                     | 6.8             | 800             | 0.48                      | 0.16  | 0.38                        | 7.2                         | 9.3                         | 24              | −38  | −13  |
| MWC 480    | 0.44            | 1350           | 0.031                    | 2.3             | 1050            | 0.95                      | 0.01  | 0.013                       | 3.2                         | 20                          | 78              | 84   | −14  |
| AB Aur     | 0.37            | 1400           | 0.074                    | 7.5             | 1350            | 0.58                      | 0.17  | 0.34                        | 3.7                         | 1.9                         | 14              | −13  | 13   |
| MWC 275    | 0.46            | 1450           | 0.034                    | 1.3             | 1400            | 0.72                      | 0.10  | 0.24                        | 10                          | 6.1                         | 41              | −219 | −41  |
| v1295 Aql  | 0.39            | 1950           | 0.0001                   | 1.1             | 1750            | 0.96                      | 0.02  | 0.04                        | 110                         | 43                          | −2500           | −224 | −224 |

Objects with no Observed Silicate Emission Features

| Name       | $R_{rim1}$ (au) | $T_{rim1}$ (K) | $f^{\text{MIR}}_{rim1}$ | $R_{rim2}$ (au) | $T_{rim2}$ (K) | $f^{\text{MIR}}_{rim2}$ | $\xi$ | $f_{\text{disk}}^{\text{MIR}}$ | $\lambda^{\text{SED}}_{\text{red}}$ | $\lambda^{\text{VIS}}_{\text{red}}$ | $\Delta$(AIC) | SED | VIS |
|------------|-----------------|----------------|--------------------------|-----------------|-----------------|--------------------------|-------|-----------------------------|-----------------------------|-----------------------------|----------------|------|------|
| DG Tau     | 0.25            | 1050           | 0.28                     | 0.65            | 1000            | 0.56                      | 0.43  | 0.38                        | 11                          | 23                          | −72             | 68   | −72  |
| v1685 Cyg  | 2.5             | 1800           | $2 \times 10^{-7}$       | 7.5             | 1600            | 0.84                      | 0.04  | 0.16                        | 41                          | 41                          | 129             | −32  | −304 |
| MWC 1080   | 4.5             | 2400           | 0.72                     | 310             | 500             | 0.28                      | 0.01  | 0.0                         | 619                         | 1707                        | 1132            | 11592 | −32  |
| v1057 Cyg  | 1.3             | 1450           | 0.054                    | 3.3             | 1400            | 0.39                      | 0.1   | 0.55                        | 17                          | 25                          | 36              | 108  | 36   |

Note. Column descriptions (see Section 4.3). (1) Object name; (2, 5) Rim radius; (3, 6) Rim temperature; (4, 7, 9) Fractional MIR fluxes; (8) Disk flaring index.
For all other cases the 2-rim model leads to improved results. For MWC 275, the MIR visibilities could not be fit at all by the 1-rim model, but the 2-rim model enables a good simultaneous fit to the SED and MIR visibilities. The same is true for MWC 758, but with a more modest $\chi^2$ improvement. For three other objects (SU Aur, RY Tau, and AB Aur) the 2-rim model maintains a similar fit to the MIR visibilities, but enables much improved fits to the SEDs, especially in the ~5–12 $\mu$m spectral region.

4.4. Additional Comments on Specific Objects

SU Aur. The disk mass is log($M_\text{disk}$)/$M_\odot = -5.1^{+0.4}_{-0.8}$ (Akeson et al. 2002), relatively low compared to classic T Tauri stars. Our 1-rim and 2-rim model solutions have the lowest disk flaring index, the disk near-flatness may be related to its low mass.

RY Tau. Formally, the 2-rim model is preferred. However, the second rim is located at 1.6 au with temperature 1050 K; both similar to the typical size scale and temperature of the extended disk component in the 1-rim disk model. This essentially indicates a degeneracy between the two models.

MWC 758. In this case the second rim and the extended disk contribute comparable MIR fluxes. Here again we obtain relatively low flaring indexes, in agreement with Beskrovnyaya et al. (1999). The second rim is located at 6.8 au from the central star, much further than the 0.54 au rim location in the 1-rim model. In other words, formally the 2-rim model replaces the inner ~6.8 au of the extended disk with a narrow ring structure.

DG Tau. As noted above this is a very active object, with silicate emission that is variable on weeks timescales (Woodward et al. 2004; Bary et al. 2009) and sometimes appears in absorption (Sitko et al. 2008), perhaps indicating that a large amount of cool dust is lifted up above the disk surface and is causing self-absorption over the emission region (Tambovtseva & Grinin 2008). At the epochs of our observations, we do not detect the 10 $\mu$m silicate feature, while the coherent flux (orange solid line in Figure 2) suggests an absorption feature. Since KIN resolves the disk partially or fully, the coherent flux must come from regions smaller than the disk, implying that the lifted dust causing the absorption is located $\lesssim$1 au, a dynamical timescale consistent with the observed variation timescale of the silicate feature.

4.5. The Spectral Shape of the MIR Visibilities

We note that the MIR visibility data for our objects display a variety of spectral shapes: most are concave-up, but some are monotonically increasing (MWC 480, v1295 Aql) and MWC 275 is the only one with a concave-down shape (perhaps signaling a unique characteristic for this object). Our model is too simple to reproduce the shapes exactly, here we provide qualitatively arguments for how such differing spectral shapes can arise in a multi-component model for the emission.

Consider a model where the MIR emission arises in two components as in the 2-rim model considered above, where rim 2 is a compact source of MIR emission relative to the extended disk behind it. For the compact component, the visibilities will increase with wavelength as the angular resolution decreases at longer wavelengths. For the extended component, with a radial temperature profile such that the disk temperatures are lower at larger radii, the characteristic MIR size increases with wavelength and therefore the visibilities decrease; an effect that competes with the visibility increase due to lower angular resolution at longer wavelengths. The resulting shape will depend on the balance of these competing effects for the specific case of each object, as follows.

In the limiting case that the compact component is completely unresolved and the extended component is completely resolved, the MIR visibilities are equal to the fractional flux in the compact component, and a spectral concave-up shape will result if the MIR flux in the compact component is less peaked than that of the extended component. And viceversa for the concave-down spectral shape. In another limiting case, the compact component dominates the MIR fluxes, and the MIR visibilities increase monotonically with wavelength as a result of lower angular resolution. If on the other hand the extended component dominates the flux, either a concave-up or down shape can result depending which of the effects described above dominates.

5. SUMMARY AND CONCLUSIONS

We have measured the infrared visibilities and near-simultaneous SEDs of 11 YSOs, several of them spatially resolved at MIR wavelengths and long baselines for the first time. We use a simple geometrical model to provide basic information about the infrared brightness, namely the NIR and MIR size scales, independent of details of specific physical models. Further insight on the disk structure can be gained by studying how the characteristic sizes relate to the properties of the central star. The KIN MIR sizes (measured as $R_{\text{rim}} + \text{FWHM}_{\text{disk}}$) of Section 4.2.2) appear better correlated with stellar luminosity than found by previous authors, although direct comparisons are complicated by the different models assumed.

We test current disk paradigms for physical disk models in the form of a semi-analytical dust rim + disk model, and find that in several notable cases the model fails to reproduce the measured MIR visibilities and the SEDs simultaneously; with the data requiring relatively compact MIR emission (1–7 au).

We explore the possibility that the MIR brightness is better modeled by taking into consideration the proposed layered morphology of the curved inner rim, which naturally leads to a series of inner-rims which contain different dust populations (grain sizes) (McClure et al. 2013) and therefore contribute MIR emission on different size scales. We find that when
implemented as a 2-rim approximation, the fits to the SEDs and MIR visibilities are significantly improved in most cases.

We leave to future work extensions to the model which may alleviate the shortcomings of our 1- or 2-rim models, such as an exploration of the effects of varying the dust species or the inclusion of viscous heating processes.

Instead of dust radial and scale height variations (layered disks), the 2-rim model could be mimicking structures due to forming planets (rings and gaps, Menu et al. 2015). However, the relatively high correlation found in our MIR size versus stellar luminosity relation favors layered disk morphologies, because of the higher stochasticity expected to be associated with early planet formation processes.

The detailed disk structure and brightness is likely to be complex and to vary from object to object, emphasizing the need for theoretical progress driven by new observations. Spatially resolved MIR observations are a sensitive way to probe the disk vertical structure and time evolution, and our results highlight the fact that conventional smooth disk models developed to fit SEDs alone almost always fail to reproduce the MIR spatial scales. This is an important consideration in view of active on-going efforts to model circumstellar disks and the planet formation process within them. Improved baseline coverage and ultimately model-independent images of the inner disk at MIR wavelengths from the next generation VLTI/ MATISSE instrument (Lopez et al. 2014) or the proposed Planet Formation Imager (Monnier et al. 2014) will be invaluable in offering a direct view of the ~1–20 au planet formation region; much as the transformative knowledge gains now being delivered by ALMA observations of the cooler, more distant regions of pre-planetary disks (e.g., the images for the HL Tau disk in ALMA Partnership et al. 2015).

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Facilities: Keck: Interferometer, IRTF.

APPENDIX

VALIDATION OF THE SEMI-ANALYTICAL FLARED DISK MODEL

In order to verify our semi-analytical implementation of the flared disk model, we compare its predictions with the numerical radiative transfer benchmark models of Pinte et al. (2009). The model parameters used for the benchmark comparison are shown in Table 8. The parameters in our semi-analytical model are also set to best approximate the benchmark model; namely the flaring index is set to be 0.125 in order to match the disk scale height, and we suppress the dust inner rim emission, since this component is absent in the benchmark models.

Figure 7 summarizes the comparisons between our semi-analytical model and the benchmark results for the case of the TORUS code in (Pinte et al. 2009). The left panel shows the SED comparison. As can be seen the semi-analytical model produces lower fluxes than TORUS from 2–20 μm, perhaps due to scattering effects not included in our model (Dullemond et al. 2001). The middle and right panels compare the spatial flux distribution, i.e., \( \lambda F_\lambda \times R \) as a function of radius (T. Harries 2016, private communication, the right panel zooms in the inner disk in linear scale). The results are very similar, although the semi-analytical model produces more centrally peaked emission. These differences are not surprising given the very different detailed implementations of the model, and do not affect the conclusions in this paper. We conclude that for the purposes of this paper our semi-analytical implementation of the flared disk model has been validated.

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