A Cosmic Perspective from Lapland in 2001

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A convergence of ideas, observations and technology have led to the greatest period of cosmological discovery yet. Over the past three years we have determined the basic features of our Universe. We are now challenged to make sense of what we have found. The outcome of planned experiments and observations as well as new ideas will be required. If we succeed, ours truly will be a Golden Age of Cosmology.

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1 How Did We Get Here?

Cosmology is currently in its most exciting period ever. However, the past hundred years haven’t been too bad either. In 1916, Einstein introduced general relativity, the first theoretical framework up to describing the evolution of the Universe. In the next decade, Hubble established the extragalactic nature of the nebulae and put forth the first systematic evidence for the expansion of the Universe. This burst of activity was powered by ideas – general relativity – and technology – big telescopes on high mountains – and marked the beginning of modern cosmology.

Cosmology then stalled for some twenty years. During that time, the 100-inch Mt. Wilson telescope and its counterpart at the Lick Observatory charted only a few hundred galaxies, with redshifts less than $z = 0.1$. Most of the Universe lay beyond their reach. While some important new theoretical ideas were introduced, most notably the idea of a hot big bang by Gamow and his collaborators, theory did little to push things forward either.

First light at the 200-inch Hale telescope on Palomar and the steady state vs. hot big bang controversy signaled the beginning of a new period of discovery around 1950. Once again, it was the combination of theory and observation that propelled the field forward. The discovery of quasars and radio-source counts murdered cosmology’s most beautiful theory – the steady state – in the early 1960s. I note the important role played here by a strong theory – that is, one that makes sharp predictions and is therefore easily falsified. Because the steady state predicts a nonevolving Universe, any evidence of evolution can falsify it (here, the distribution of radio sources vs. their strength and the large abundance of quasars seen at high redshift).

The discovery of the cosmic background radiation in 1964 by Penzias and Wilson broke things wide open, and cosmology became a legitimate branch of physics. The details of Gamow’s nucleosynthesis scheme were worked out in detail and became big-bang nucleosynthesis, and the large mass fraction of $^4\text{He}$ predicted by the hot big-bang model became its first success. In Chapter 15 of his influential text, *Gravitation and Cosmology*, Steven Weinberg laid out “The Standard Cosmology” and coined the term [2]. The Standard Cosmology meant the hot big-bang model, from shortly before the epoch of big-bang nucleosynthesis to the present. A convergence of ideas (a better understanding of general relativity and the careful application of physics to the early Universe) and observations (the discovery of the cosmic microwave background) again had powered a significant advance our understanding of the Universe.

According to Weinberg’s same text, the period before BBN ($t \lesssim 10^{-4}$ sec) was “cosmos incognito” (cf, Section 15.11). He recognized quite correctly that the key to further progress was a better understanding of the elementary particles. A subatomic world of strongly interacting particles with exponentially rising numbers, which was the world view of subatomic physics then, leads to a maximum temperature and a breakdown of any simple statistical mechanical treatment.
The breakthrough came in the mid 1970s with the emergence of the standard model of particle physics and its point-like quark/lepton constituents with asymptotically weak interactions. The grander ideas about unification of the strong and electroweak interactions (GUTs) that came somewhat later were even more influential. Asymptotically free gauge theories, GUTs and the sturdy framework of the hot big-bang fueled a decade of very fertile speculation about the early Universe in the 1980s (“the go-go junk bond days of early-Universe cosmology”).

There was optimism that the marriage of ideas about unification with early-Universe cosmology could solve some of the most pressing questions in cosmology. The puzzles at the time included [3]: What is the origin of the baryon asymmetry? Why is the Universe so smooth, flat, and old? How did the primeval lumpiness that seeded all structure arise? What is the dark matter? And the marriage brought a new problem: the glut of superheavy magnetic monopoles expected from the GUT phase transition (about one per baryon!).

Grand ideas, clever scenarios, and interesting schemes were suggested – topological defects, baryogenesis, particle dark matter, phase transitions, inflation, superheavy relics, superconducting cosmic strings, unstable relics, colored relics, relics produced by the decay of other relics, hot dark matter, cold dark matter, warm dark matter, shadow worlds, mirror worlds, parallel universes, and on and on. It was a fantastic time for theorists.

A handful of these ideas stood the test of time. In the go-go 80s, that meant surviving more than a month without being falsified, being replaced by a better idea, or going out of style. The survivors – particle dark matter, inflation, topological defects, and baryogenesis – began to form the basis of a new cosmological framework. However, only a few years later, the very beautiful idea that topological defects seeded large-scale structure was killed by cosmic microwave background measurements that began to show a series of well-formed acoustic peaks. (Only adiabatic density perturbations lead to such a structure in the CMB power spectrum.)

Inflation and cold dark matter survived the cut. Moreover, they were expansive and eminently testable. Theorists like to think (and with some good reason) that the tremendous growth in observational cosmology over the past decade has something to do with how powerful their ideas are. Technology – the advent of large format CCDs, 6-meter, 8-meter, and 10-meter ground based telescopes, the Hubble Space Telescope, HEMTs, bolometers and large-scale computing – of course played a role too. An avalanche of data, which has made cosmological phenomenology possible and the term “precision cosmology” a reality, started in the mid 1990s. And there is no end in sight.

We are now in the midst of a great period of cosmic discovery. Once again, it came about through a convergence of ideas and technology. Thus far, we have determined the basic features of our Universe, which are pointing to a new standard cosmological model. While we learned much about the Universe, we still have much more to understand.
2 The New Cosmology

Over the past three years a New Cosmology has been emerging. It incorporates the highly successful standard hot big-bang cosmology \cite{2,4} and may extend our understanding of the Universe to times as early as $10^{-32}$ sec, when the largest structures in the Universe were still subatomic quantum fluctuations.

This New Cosmology is characterized by

- Flat, critical density accelerating Universe
- Early period of rapid expansion (inflation)
- Density inhomogeneities produced from quantum fluctuations during inflation
- Composition: 2/3 dark energy; 1/3 dark matter; 1/200 bright stars
- Matter content: (29 $\pm$ 4)% cold dark matter; (4 $\pm$ 1)% baryons; $\gtrsim$ 0.3% neutrinos
- $T_0 = 2.725 \pm 0.001$ K
- $t_0 = 14 \pm 1$ Gyr
- $H_0 = 72 \pm 7$ km s$^{-1}$ Mpc$^{-1}$

The New Cosmology is not as well established as the standard hot big-bang cosmology. However, the evidence is growing.

2.1 Mounting Evidence: Recent Results

The position of the first acoustic peak in the multipole power spectrum of the anisotropy of the cosmic microwave background (CMB) radiation provides a powerful means of determining the global curvature of the Universe. With the recent DASI observations of CMB anisotropy on scales of one degree and smaller, the evidence that the Universe is at most very slightly curved is quite firm \cite{5}. The curvature radius of the Universe ($\equiv R_{\text{curv}}$) and the total energy density parameter $\Omega_0 = \rho_{\text{TOT}}/\rho_{\text{crit}}$, are related:

$$R_{\text{curv}} = H_0^{-1}/|\Omega_0 - 1|^{1/2}$$

The spatial flatness is expressed as $\Omega_0 = 1.0 \pm 0.04$, or said in words, the curvature radius is at least 50 times greater than the Hubble radius.

I will discuss the evidence for accelerated expansion and dark energy later.

The series of acoustic peaks in the CMB multipole power spectrum and their heights indicate a nearly scale-invariant spectrum of adiabatic density perturbations with $n = 1 \pm 0.07$. Nearly scale-invariant density perturbations and a flat Universe are two of the three

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hallmarks of inflation. Thus, we are beginning to see the first significant experimental evidence for inflation, the driving idea in cosmology for the past two decades.

The striking agreement of the BBN determination of the baryon density from measurements of the primeval deuterium abundance \[6, 7\], \(\Omega_B h^2 = 0.020 \pm 0.001\), with those from recent CMB anisotropy measurements \[3\], \(\Omega_B h^2 = 0.022 \pm 0.004\), make a strong case for a small baryon density, as well as the consistency of the standard cosmology \((h = H_0/100 \text{ km sec}^{-1} \text{ Mpc}^{-1})\). There can now be little doubt that baryons account for but a few percent of the critical density.

Our knowledge of the total matter density is improving, and becoming less linked to the distribution of light. This makes determinations of the matter less sensitive to the uncertain relationship between the clustering of mass and of light (what astronomers call the bias factor \(b\)) \[10\]. Both the CMB and clusters of galaxies allow a determination of the ratio of the total matter density (anything that clusters - baryons, neutrinos, cold dark matter) to that in baryons alone: \(\Omega_M/\Omega_B = 7.2 \pm 2.1 \) (CMB) \[8\], \(9 \pm 1.5 \) (clusters) \[9\]. Not only are these numbers consistent, they make a very strong case for something beyond quark-based matter. When combined with our knowledge of the baryon density, one infers a total matter density of \(\Omega_M = 0.33 \pm 0.04 \) \[10\].

The many successes of the cold dark matter (CDM) scenario – from the sequence of structure formation (galaxies first, clusters of galaxies and larger objects later) and the structure of the intergalactic medium, to its ability to reproduce the power spectrum of inhomogeneity measured today – makes it clear that CDM holds much, if not all, of the truth in describing the formation of structure in the Universe.

The two largest redshift surveys, the Sloan Digital Sky Survey (SDSS) and the 2-degree Field project (2dF), have each recently measured the power spectrum using samples of more than 100,000 galaxies and found that it is consistent with that predicted in a flat accelerating Universe comprised of cold dark matter \[11\]. The SDSS will eventually use a sample of almost one million galaxies to probe the power spectrum. [Interestingly enough, according to the 2dF Collaboration, bias appears to be a small effect, \(b = 1.0 \pm 0.09 \) \[12\].]

All of this implies that whatever the dark matter particle is, it moves slowly (i.e., the bulk of the matter cannot be in the form of hot dark matter such as neutrinos) and interacts only weakly (e.g., with strength much less than electromagnetic) with ordinary matter.

The evidence from SuperKamiokande \[13\] for neutrino oscillations makes a strong case that neutrinos have mass \((\sum_i m_\nu \gtrsim 0.1 \text{ eV})\) and therefore contribute to the mass budget of the Universe at a level comparable to, or greater than, that of bright stars. Particle dark matter has moved from the realm of a hypothesis to a quantitative question – how much of each type of particle dark matter is there in the Universe? Structure formation in the Universe (especially the existence of small scale structure) suggests that neutrinos contribute at most 5% or 10% of the critical density, corresponding to \(\sum_i m_\nu u = \sum_i m_\nu / 90 h^2 \text{ eV} \lesssim 5 \text{ eV} \) \[14\].

Even the age of the Universe and the pesky Hubble constant have been reined in. The
uncertainties in the ages of the oldest globular clusters have been better identified and quantified, leading to a more precise age, $t_0 = 13.5 \pm 1.5 \text{ Gyr}$ \cite{13}. The CMB can be used to constrain the expansion age, independent of direct measurements of $H_0$ or the composition of the Universe, $t_{\text{exp}} = 14 \pm 0.5 \text{ Gyr}$ \cite{14}.

A host of different techniques are consistent with the Hubble constant determined by the HST key project, $H_0 = 72 \pm 7 \text{ km s}^{-1} \text{ Mpc}^{-1}$. Further, the error budget is now well understood and well quantified \cite{15}. [The bulk of the $\pm 7$ uncertainty is systematic, dominated by the uncertainty in the distance to the LMC and the Cepheid period–luminosity relation.] Moreover, the expansion age derived from this consensus Hubble constant, which depends upon the composition of the Universe, is consistent with the previous two age determinations.

The poster child for precision cosmology continues to be the present temperature of the CMB. It was determined by the FIRAS instrument on COBE to be: $T_0 = 2.725 \pm 0.001 \text{ K}$ \cite{16}. Further, any deviations from a black body spectrum are smaller than 50 parts per million. Such a perfect Planckian spectrum has made any noncosmological explanation untenable.

### 2.2 Successes and Consistency Tests

To sum up, we have determined the basic features of the Universe: the cosmic matter/energy budget; a self consistent set of cosmological parameters with realistic errors; and the global curvature. Two of the three key predictions of inflation – flatness and nearly scale-invariant, adiabatic density perturbations – have passed their first significant tests. Last but not least, the growing quantity of precision data are now testing the consistency of the Friedmann-Robertson-Walker framework and General Relativity itself.

In particular, the equality of the baryon densities determined from BBN and CMB anisotropy is remarkable. The first involves nuclear physics when the Universe was seconds old, while the latter involves gravitational and classical electrodynamics when the Universe was 400,000 years old.

The entire framework has been tested by the existence of the aforementioned acoustic peaks in the CMB angular power spectrum. They reveal large-scale motions that have remained coherent over hundreds of thousands of years, through a delicate interplay of gravitational and electromagnetic interactions.

Another test of the basic framework is the accounting of the density of matter and energy in the Universe. The CMB measurement of spatial flatness implies that the matter and energy densities must sum to the critical density. Measurements of the matter density indicate $\Omega_M = 0.33 \pm 0.04$; and measurements of the acceleration of the Universe from supernovae indicate the existence of a smooth dark energy component that accounts for $\Omega_X \sim 0.67$. [The amount of dark energy inferred from the supernova measurements depends its equation of state; for a cosmological constant, $\Omega_\Lambda = 0.8 \pm 0.16$.]

Finally, while cosmology has in the past been plagued by “age crises” – time back to
the big bang (expansion age) apparently less than the ages of the oldest objects within
the Universe – today the ages determined by very different and completely independent
techniques point to a consistent age of 14 Gyr.

3 Mysteries

Cosmological observations over the next decade will test – and probably refine – the New
Cosmology [19]. If we are fortunate, they will also help us to make better sense of it. At
the moment, the New Cosmology has presented us with a number of cosmic mysteries –
opportunities for surprises and new insights. Here I will quickly go through my list, and
save the most intriguing to me – dark energy – for its own section.

3.1 Dark Matter

By now, the conservative hypothesis is that the dark matter consists of a new form of
matter, with the axion and neutralino as the leading candidates. That most of the matter
in the Universe exists in a new form of matter – yet to be detected in the laboratory – is
a bold and untested assertion.

Experiments to directly detect the neutralinos or axions holding our own galaxy to-
gether have now reached sufficient sensitivity to probe the regions of parameter space
preferred by theory. In addition, the neutralino can be created by upcoming collider
experiments (at the Tevatron or the LHC), or detected by its annihilation signatures –
high-energy neutrinos from the sun, narrow positron lines in the cosmic rays, and gamma-
ray line radiation [20].

While the CDM scenario is very successful there are some nagging problems. They
may point to a fundamental difficulty or may be explained by messy astrophysics [21].
The most well known of these problems are the prediction of cuspy dark-matter halos
density profile $\rho_{DM} \rightarrow 1/r^n$ as $r \rightarrow 0$, with $n \approx 1 - 1.5$) and the apparent prediction
of too much substructure. While there are plausible astrophysical explanations for both
problems [22], they could indicate an unexpected property of the dark-matter particle
(e.g., large self-interaction cross section [23], large annihilation cross section [24], or mass
of around 1 keV). While I believe it is unlikely, these problems could indicate a failure of
the particle dark-matter paradigm and have their explanation in a radical modification
of gravity theory [21].

I leave for the “astrophysics to do list” an accounting of the dark baryons. Since
$\Omega_B \approx 0.04$ and $\Omega_* \approx 0.005$, the bulk of the baryons are optically dark. In clusters, the
dark baryons have been identified: they exists as hot, x-ray emitting gas. Elsewhere,
the dark baryons have not yet been identified. According to CDM, the bulk of the dark
baryons are likely to exist as hot/warm gas associated with galaxies, but this gas has not
been detected. [Since clusters account for only about 5 percent of the total mass, the bulk
of the dark baryons are still not accounted for.]
3.2 Baryogenesis

The origin of quark-based matter is not yet fully understood. We do know that the origin of ordinary matter requires a small excess of quarks over antiquarks (about a part in $10^9$) at a time at least as early as $10^{-6}$ sec, to avoid the annihilation catastrophe associated with a baryon symmetric Universe [4]. If the Universe underwent inflation, the baryon asymmetry cannot be primeval, it must be produced dynamically ("baryogenesis") after inflation since any pre-inflation baryon asymmetry is diluted away by the enormous entropy production associated with reheating.

Because we also now know that electroweak processes violate $B+L$ at a very rapid rate at temperatures above 100 GeV or so, baryogenesis is more constrained than when the idea was introduced more than twenty years ago. Today there are three possibilities: 1) produce the baryon asymmetry by GUT-scale physics with $B-L \neq 0$ (to prevent it being subsequently washed away by $B+L$ violation); 2) produce a lepton asymmetry ($L \neq 0$), which is then transmuted into the baryon asymmetry by electroweak $B+L$ violation [25]; or 3) produce the baryon asymmetry during the electroweak phase transition using electroweak $B$ violation [25].

While none of the three possibilities can be ruled out, the second possibility looks most promising, and it adds a new twist to the origin of quark-based matter: We are here because neutrinos have mass. [In the lepton asymmetry first scenario, Majorana neutrino mass provides the requisite lepton number violation.] The drawback of the first possibility is the necessity of a high reheat temperature after inflation, $T_{RH} \gg 10^5$ GeV, which is difficult to achieve in most models of inflation. The last possibility, while very attractive because all the input physics might be measurable at accelerator, requires new sources of $CP$ violation at TeV energies as well as a strongly first-order electroweak phase transition (which is currently disfavored by the high mass of the Higgs) [26].

3.3 Inflation

There are still many questions to be answered about inflation, including the most fundamental: did inflation (or something similar) actually take place!

A powerful program is in place to test the inflationary framework. Testing framework involves testing its three robust predictions: spatially flat Universe; nearly-scale invariant, nearly power-law spectrum of Gaussian adiabatic, density perturbations; and a spectrum of nearly scale-invariant gravitational waves.

The first two predictions are being probed today and will be probed much more sharply over the next decade. The value of $\Omega_0$ should be determined to much better than 1 percent. The spectral index $n$ that characterizes the density perturbations should be measured to percent accuracy.

Generically, inflation predicts $|n-1| \sim \mathcal{O}(0.1)$, where $n = 1$ corresponds to exact scale invariance. Likewise, the deviations from an exact power-law predicted by inflation [27],

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$|dn/d\ln k| \sim 10^{-4} - 10^{-2}$ will be tested. The CMB and the abundance of rare objects such as clusters of galaxies will allow Gaussianity to be tested.

Inflationary theory has given little guidance as to the amplitude of the gravitational waves produced during inflation. If detected, they are a smokin’ gun prediction. Their amplitude is directly related to the scale of inflation, $h_{GW} \simeq H_{\text{inflation}}/m_{\text{Pl}}$. Together measurements of $n - 1$ and $dn/\ln k$, they can reveal much about the underlying scalar potential driving inflation. Measuring their spectral index – a most difficult task – provides a consistency test of the single scalar-field model of inflation [28].

3.4 The Dimensionality of Space-time

Are there additional spatial dimensions beyond the three for which we have very firm evidence? I cannot think of a deeper question in physics today. If there are new dimensions, they are likely to be relevant for cosmology, or at least raise new questions in cosmology (e.g., why are only three dimensions large? what is going on in the bulk? and so on). Further, cosmology may well be the best means for establishing the existence of extra dimensions.

3.5 Before Inflation, Other Big-bang Debris, and Surprises

Only knowing everything there is to know about the Universe would be worse than knowing all the questions to ask about it. Without doubt, as our understanding deepens, new questions and new surprises will spring forth.

The cosmological attraction of inflation is its ability to make the present state of the Universe insensitive to its initial state. However, should we establish inflation as part of cosmic history, I am certain that cosmologists will begin asking what happened before inflation.

Progress in cosmology depends upon studying relics. We have made much of the handful we have – the light elements, the baryon asymmetry, dark matter, and the CMB. The significance of a new relic cannot be overstated. For example, detection of the cosmic sea of neutrinos would reveal the Universe at 1 second.

Identifying the neutralino as the dark matter particle and determining its properties at an accelerator laboratory would open a window on the Universe at $10^{-8}$ sec. By comparing its relic abundance as derived from its mass and cross section with its actual abundance measured in the Universe, one could test cosmology at the time the neutralino abundance was determined.

And then there may be the unexpected. Recently, a group reported evidence for a part in $10^5$ difference in the fine-structure constant at redshifts of order a few from its value today [29]. I remain skeptical, given possible astrophysical explanations, other much tighter constraints to the variation of $\alpha$ (albeit at more recent times), and the absence of
a reasonable theoretical model. For reference, I was also skeptical about the atmospheric neutrino problem because of the need for large-mixing angles.

4 Dark Energy: Seven Things We Know

The dark energy accounts for 2/3 of the stuff in the Universe and determines its destiny. That puts it high on the list of outstanding problems in cosmology. Its deep connections to fundamental physics—a new form of energy with repulsive gravity and possible implications for the divergences of quantum theory and supersymmetry breaking—put it very high on the list of outstanding problems in particle physics [30, 31].

What then is dark energy? Dark energy is my term for the causative agent for the current epoch of accelerated expansion. According to the second Friedmann equation,

\[ \frac{\ddot{R}}{R} = -\frac{4\pi G}{3} (\rho + 3p) \]

this stuff must have negative pressure, with magnitude comparable to its energy density, in order to produce accelerated expansion [recall \( q = -(\ddot{R}/R)/H^2 \); \( R \) is the cosmic scale factor]. Further, since this mysterious stuff does not show its presence in galaxies and clusters of galaxies, it must be relatively smoothly distributed.

That being said, dark energy has the following defining properties: (1) it emits/absorbs no light; (2) it has large, negative pressure, \( p_X \sim -\rho_X \); (3) it is approximately homogeneous (more precisely, does not cluster significantly with matter on scales at least as large as clusters of galaxies); and (4) it is very mysterious. Because its pressure is comparable in magnitude to its energy density, it is more “energy-like” than “matter-like” (matter being characterized by \( p \ll \rho \)). Dark energy is qualitatively very different from dark matter, and is certainly not a replacement for it.

4.1 Two Lines of Evidence for an Accelerating Universe

Two independent lines of reasoning point to an accelerating Universe. The first is the direct evidence based upon measurements of type Ia supernovae carried out by two groups, the Supernova Cosmology Project [32] and the High-z Supernova Team [33]. These two teams used different analysis techniques and different samples of high-z supernovae and came to the same conclusion: the expansion of the Universe is speeding up, not slowing down.

The recent serendipitous discovery of a supernovae at \( z = 1.76 \) bolsters the case significantly [34] and provides the first evidence for an early epoch of decelerated expansion [33]. SN 1997ff falls right on the accelerating Universe curve on the magnitude – redshift diagram, and is a magnitude brighter than expected in a dusty open Universe or an open Universe in which type Ia supernovae are systematically fainter at high-z.
The second, independent line of reasoning for accelerated expansion comes from measurements of the composition of the Universe, which point to a missing energy component with negative pressure. The argument goes like this: CMB anisotropy measurements indicate that the Universe is nearly flat, with density parameter, $\Omega_0 = 1.0 \pm 0.04$. In a flat Universe, the matter density and energy density must sum to the critical density. However, matter only contributes about 1/3 of the critical density, $\Omega_M = 0.33 \pm 0.04$. (This is based upon measurements of CMB anisotropy, of bulk flows, and of the baryonic fraction in clusters.) Thus, two thirds of the critical density is missing! Doing the bookkeeping more precisely, $\Omega_X = 0.67 \pm 0.06$.

In order to have escaped detection, this missing energy must be smoothly distributed. In order not to interfere with the formation of structure (by inhibiting the growth of density perturbations), the energy density in this component must change more slowly than matter (so that it was subdominant in the past). For example, if the missing 2/3 of critical density were smoothly distributed matter ($p = 0$), then linear density perturbations would grow as $R^{1/2}$ rather than as $R$. The shortfall in growth since last scattering ($z \approx 1100$) would be a factor of 30, far too little growth to produce the structure seen today.

The pressure associated with the missing energy component determines how it evolves:

$$\rho_X \propto R^{-3(1+w)}$$

$$\Rightarrow \rho_X/\rho_M \propto (1+z)^{3w}$$

where $w$ is the ratio of the pressure of the missing energy component to its energy density (here assumed to be constant). Note, the more negative $w$, the faster the ratio of missing energy to matter decreases to zero in the past. In order to grow the structure observed today from the density perturbations indicated by CMB anisotropy measurements, $w$ must be more negative than about $-\frac{1}{2}$.

For a flat Universe the deceleration parameter today is

$$q_0 = \frac{1}{2} + \frac{3}{2}w\Omega_X \sim \frac{1}{2} + w$$

Therefore, knowing $w < -\frac{1}{2}$ implies $q_0 < 0$ and accelerated expansion. This independent argument for accelerated expansion and dark energy makes the supernova case all the more compelling.

4.2 Gravity Can Be Repulsive in Einstein’s Theory, But ...

In Newton’s theory, mass is the source of the gravitational field and gravity is always attractive. In General Relativity, both energy and pressure source the gravitational field: $\dot{R}/R \propto -(\rho+3p)$, cf., Eq. 1. Sufficiently large negative pressure leads to repulsive gravity.

While accelerated expansion can be accommodated within Einstein’s theory, that does not preclude that the ultimate explanation lies in a fundamental modification of Einstein’s
theory. Lacking any good ideas for such a modification, I will discuss how accelerated expansion fits in the context of General Relativity. If the explanation for the accelerating Universe ultimately fits within the Einsteinian framework, it will be a stunning new triumph for General Relativity.

4.3 The Biggest Embarrassment in all of Theoretical Physics

Einstein introduced the cosmological constant to balance the attractive gravity of matter. He quickly discarded the cosmological constant after the discovery of the expansion of the Universe.

The advent of quantum field theory made consideration of the cosmological constant obligatory, not optional: The only possible covariant form for the energy of the (quantum) vacuum,

\[ T_{\text{VAC}}^{\mu\nu} = \rho_{\text{VAC}} g^{\mu\nu}, \]

is mathematically equivalent to the cosmological constant. It takes the form for a perfect fluid with energy density \( \rho_{\text{VAC}} \) and isotropic pressure \( p_{\text{VAC}} = -\rho_{\text{VAC}} \) (i.e., \( w = -1 \)) and is precisely spatially uniform. Vacuum energy is almost the perfect candidate for dark energy.

Here is the rub: the quantum zero-point contributions arising from well-understood physics (the known particles, integrating up to 100 GeV) sum to \( 10^{55} \) times the present critical density. (Put another way, if this were so, the Hubble time would be \( 10^{-10} \) sec, and the associated event horizon would be 3 cm!) This is the well known cosmological-constant problem [30, 31].

While string theory currently offers the best hope for marrying gravity to quantum mechanics, it has shed precious little light on the cosmological constant problem, other than to speak to its importance. Thomas has suggested that using the holographic principle to count the available number of states in our Hubble volume leads to an upper bound on the vacuum energy that is comparable to the energy density in matter + radiation [37]. While this reduces the magnitude of the cosmological-constant problem very significantly, it does not solve the dark energy problem: a vacuum energy that is always comparable to the matter + radiation energy density would strongly suppress the growth of structure.

The deSitter space associated with the accelerating Universe may pose serious problems for the formulation of string theory [38]. Banks and Dine argue that all explanations for dark energy suggested thus far are incompatible with perturbative string theory [39]. At the very least there is high tension between accelerated expansion and string theory.

The cosmological constant problem leads to a fork in the dark-energy road: one path is to wait for theorists to get the “right answer” (i.e., \( \Omega_X = 2/3 \)); the other path is to assume that even quantum nothingness weighs nothing and something else with negative pressure must be causing the Universe to speed up. Of course, theorists follow the advice of Yogi Berra: “When you see a fork in the road, take it.”
4.4 Parameterizing Dark Energy: For Now, It’s $w$

Theorists have been very busy suggesting all kinds of interesting possibilities for the dark energy: networks of topological defects, rolling or spinning scalar fields (quintessence and spinessence), influence of “the bulk”, and the breakdown of the Friedmann equations [31, 41]. An intriguing recent paper suggests dark matter and dark energy are connected through axion physics [40].

In the absence of compelling theoretical guidance, there is a simple way to parameterize dark energy, by its equation-of-state $w$ [36].

The uniformity of the CMB testifies to the near isotropy and homogeneity of the Universe. This implies that the stress-energy tensor for the Universe must take the perfect fluid form [4]. Since dark energy dominates the energy budget, its stress-energy tensor must, to a good approximation, take the form

$$T_{X}^{\mu \nu} \approx \text{diag}[\rho_{X}, -p_{X}, -p_{X}, -p_{X}]$$

(3)

where $p_{X}$ is the isotropic pressure and the desired dark energy density is

$$\rho_{X} = 2.7 \times 10^{-47} \text{GeV}^{4}$$

(for $h = 0.72$ and $\Omega_{X} = 0.66$). This corresponds to a tiny energy scale, $\rho_{X}^{1/4} = 2.3 \times 10^{-3} \text{eV}$. The pressure can be characterized by its ratio to the energy density (or equation-of-state):

$$w \equiv p_{X}/\rho_{X}$$

Note, $w$ need not be constant; e.g., it could be a function of $\rho_{X}$ or an explicit function of time or redshift. ($w$ can always be rewritten as an implicit function of redshift.)

For vacuum energy $w = -1$; for a network of topological defects $w = -N/3$ where $N$ is the dimensionality of the defects (1 for strings, 2 for walls, etc.). For a minimally coupled, rolling scalar field,

$$w = \frac{1}{2} \frac{\dot{\phi}^{2} - V(\phi)}{\dot{\phi}^{2} + V(\phi)}$$

(4)

which is time dependent and can vary between $-1$ (when potential energy dominates) and $+1$ (when kinetic energy dominates). Here $V(\phi)$ is the potential for the scalar field.

4.5 The Universe: The Lab for Studying Dark Energy

Dark energy by its very nature is diffuse and a low-energy phenomenon. It probably cannot be produced at accelerators; it isn’t found in galaxies or even clusters of galaxies. The Universe itself is the natural lab – perhaps the only lab – in which to study it.
The primary effect of dark energy on the Universe is determining the expansion rate. In turn, the expansion rate affects the distance to an object at a given redshift \(z \equiv r(z)\) and the growth of linear density perturbations. The governing equations are:

\[
H^2(z) = H_0^2(1 + z)^3 \left[ \Omega_M + \Omega_X (1 + z)^{3w} \right]
\]

\[
r(z) = \int_0^z du/H(u)
\]

\[
0 = \ddot{\delta}_k + 2H \dot{\delta}_k - 4\pi G \rho_M \delta_k
\]  

(5)

where for simplicity \(w\) is assumed to be constant and \(\delta_k\) is the Fourier component of comoving wavenumber \(k\) and overdot indicates \(d/dt\).

The various cosmological approaches to ferreting out the nature of the dark energy – all of which depend upon how the dark energy affects the expansion rate – have been studied [43]. Based largely upon my work with Dragan Huterer [44], I summarize what we now know about the efficacy of the cosmological probes of dark energy:

- Present cosmological observations prefer \(w = -1\), with a 95% confidence limit \(w < -0.6\) [46].

- Because dark energy was less important in the past, \(\rho_X / \rho_M \propto (1 + z)^{3w} \rightarrow 0\) as \(z \rightarrow \infty\), and the Hubble flow at low redshift is insensitive to the composition of the Universe, the most sensitive redshift interval for probing dark energy is \(z = 0.2 - 2\) [44].

- The CMB has limited power to probe \(w\) (e.g., the projected precision for Planck is \(\sigma_w = 0.25\)) and no power to probe its time variation [44].

- A high-quality sample of 2000 SNe distributed from \(z = 0.2\) to \(z = 1.7\) could measure \(w\) to a precision \(\sigma_w = 0.05\) (assuming an irreducible systematic error of 0.14 mag). If \(\Omega_M\) is known independently to better than \(\sigma_{\Omega_M} = 0.03\), \(\sigma_w\) improves by a factor of three and the rate of change of \(w' = dw/dz\) can be measured to precision \(\sigma_{w'} = 0.16\) [44].

- Counts of galaxies and of clusters of galaxies may have the same potential to probe \(w\) as SNe Ia. The critical issue is systematics (including the evolution of the intrinsic comoving number density, and the ability to identify galaxies or clusters of a fixed mass) [42].

- Measuring weak gravitational lensing by large-scale structure over a field of 1000 square degrees (or more) could have comparable sensitivity to \(w\) as type Ia supernovae. However, weak gravitational lensing does not appear to be a good method to probe the time variation of \(w\) [43]. The systematics associated with weak gravitational lensing have not yet been studied carefully and could limit its potential.
With the exception of vacuum energy, all the other possibilities for the dark energy cluster to some small extent on the largest scales [17]. Measuring this clustering, while extremely challenging, could rule out vacuum or help to elucidate the nature of the dark energy. Hu and Okamoto have recently suggested how the CMB might be used to get at this clustering [48].

While the Universe is likely the lab where dark energy can best be attacked, one should not rule other approaches. For example, if the dark energy involves a ultra-light scalar field, then there should be a new long-range force [49].

4.6 The Nancy Kerrigan Problem

A critical constraint on dark energy is that it not interfere with the formation of structure in the Universe. This means that dark energy must have been relatively unimportant in the past (at least back to the time of last scattering, \( z \sim 1100 \)). If dark energy is characterized by constant \( w \), not interfering with structure formation can be quantified as: \( w \lesssim -\frac{1}{2} \) [36]. This means that the dark-energy density evolves more slowly than \( R^{-3/2} \) (compared to \( R^{-3} \) for matter) and implies

\[
\begin{align*}
\rho_X/\rho_M & \to 0 \quad \text{for } t \to 0 \\
\rho_X/\rho_M & \to \infty \quad \text{for } t \to \infty
\end{align*}
\]

That is, in the past dark energy was unimportant and in the future it will be dominant! We just happen to live at the time when dark matter and dark energy have comparable densities. In the words of Olympic skater Nancy Kerrigan, “Why me? Why now?”

Perhaps this fact is an important clue to unraveling the nature of the dark energy. Perhaps not. I shudder to say this, but it could be at the root of an anthropic explanation for the size of the cosmological constant: The cosmological constant is as large as it can be and still allow the formation of structures that can support life [51].

4.7 Dark Energy and Destiny

Almost everyone is aware of the connection between the shape of the Universe and its destiny: positively curved collapses, flat; negatively curved expand forever. The link between geometry and destiny depends upon a critical assumption: that matter dominates the energy budget (more precisely, that all components of matter/energy have equation of state \( w > -\frac{1}{3} \)). Dark energy does not satisfy this condition.

In a Universe with dark energy the connection between geometry and destiny is severed [50]. A flat Universe (like ours) can continue expanding exponentially forever with the number of visible galaxies diminishing to a few hundred (e.g., if the dark energy is a true cosmological constant); the expansion can slow to that of a matter-dominated model (e.g.,
if the dark energy dissipates and becomes sub-dominant); or, it is even possible for the Universe to recollapse (e.g., if the dark energy decays revealing a negative cosmological constant). Because string theory prefers anti-deSitter space, the third possibility should not be forgotten.

Dark energy is the key to understanding our destiny.

5 A Cosmic Perspective from Rovaniemi

After attending an exciting, enjoyable, and very productive COSMO-01, here is my summary of the state of cosmology:

- We have discerned the basic features of the Universe, as embodied in The New Cosmology.

- We can say confidently that events that took place during earliest moments have had a profound influence on the present state of Universe.

- We have a impressive program in place to test inflation and the particle dark-matter hypothesis, as well as their corollary, the Cold Dark Matter theory of structure formation.

- For some years, the front line in cosmology will continue to be phenomenology.

- No doubt, there will be surprises and we will need new ideas to replace (or supplement) those that are driving cosmology today.

- Schramm’s Razor – given two equally interesting new ideas, pursue the one that’s more testable – is more true than ever.

I am looking forward to new results, new ideas and new (as well as old) faces at COSMO-02 in Chicago.

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