Statistical analysis of a subset of the string theory landscape

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An analysis of a special class of type II string theory compactifications is presented. We focus on recent work in one particular orientifold background of intersecting brane models and the resulting four dimensional gauge group and matter content. Special attention is paid to solutions that resemble the gauge group of the MSSM. Statistical correlations between properties of the models are analysed and compared to results on different backgrounds.

1. INTRODUCTION

Understanding the structure of string theory compactifications to four dimensions and thereby finding a way to make contact with the Standard Model of particle physics (SM) is the main task of string phenomenology. There are two general ways to approach this problem. One approach is to engineer the SM (or its supersymmetric variant, the MSSM) as closely as possible in one particular setup. Alternatively one can try to analyse larger classes of compactifications as a whole, using statistical methods to classify properties of the low energy theories. The latter approach has received quite some attention in the recent years, in particular since it has become clear that the number of string theory vacua, also known as “the landscape”, is extremely large, even after including the effects of moduli stabilization. Interesting questions that can be asked in this context include the search for common properties within the ensemble of models, in particular the occurrence of the gauge groups and matter content of the MSSM or simple Grand Unified Theories (GUTs). Another promising approach relies on the search for correlations between properties of the models [1, 2]. Finding such correlations in different corners of the landscape would be very exciting and might finally even lead to predictions for the experimental search for physics beyond the SM.

In the following we discuss some of the recent progress in understanding the open-string part of the landscape. In particular we will focus on one specific construction, intersecting brane models (IBMs) of type IIA string theory on an orientifold background $\mathbb{M} = \mathbb{T}^6/\mathbb{Z}_6'$, where some interesting structure has been found recently [3, 4, 5]. IBMs are a very nice laboratory to study the gauge sector of string compactifications, because their properties can be formulated in terms of simple algebraic equations. This simplifies the task to formulate computer algorithms in order to obtain the low energy spectrum of these models, which makes it possible to analyse large ensembles of compactifications on various orbifold backgrounds. In particular there has been work on $\mathbb{T}^6/(\mathbb{Z}_2 \times \mathbb{Z}_2)$ [1, 6, 7, 8] and $\mathbb{T}^6/\mathbb{Z}_6$ [9], which differs from $\mathbb{M}$ only by the way the discrete group acts on the geometry. These two cases will be used to compare results on correlations with the $\mathbb{M}$ models. Moreover we will use results obtained for Gepner models [10] to compare them with the IBM case.

2. SETUP

For the sake of brevity we will give only a brief summary of the most important features of IBMs. For more detailed information we refer the reader to one of the reviews on the subject, a recent one is [11].

We study compactifications of type IIA string theory on the background $\mathbb{M} = \mathbb{T}^6/\mathbb{Z}_6'$. An orientifold projection, accompanied by an involution in the compact space, introduces six dimensional orientifold planes (O6-planes) carrying RR-seven-charge. D6-branes are introduced to cancel this charge on $\mathbb{M}$. Since we are interested in supersymmetric compactifications, these branes have to wrap calibrated (special Lagrangian) three-cycles in the orbifold. The possible cycles can be parameterized by a choice of basis in the homology $H_3(\mathbb{M}, \mathbb{Z})$, hereby introducing some oversimplification,
since we should in fact use K-theory to describe the D-branes accurately. Therefore we have to introduce an additional consistency condition, which can be formulated in our setup by a sum over intersection numbers of probe branes along the directions of the O6-planes.

A detailed description of the $T^6/Z_6'$ setup can be found in \[4, 5\], the main features are the following. Due to the action of the orbifold group on the factorized $T^6$, described by complex coordinates $z^k$, $\theta : z^k \rightarrow e^{2\pi iv_k}z^k$ with $\vec{v} = (\frac{1}{2}, 1, 2, -3)$ and the geometric part of the orientifold projection, $R : z^k \rightarrow \overline{z}^k$, the $SU(3) \times SU(3) \times SU(2)^2$ torus lattice can have one of two possible orientations A or B on the $SU(3)$ parts and a or b on the $SU(2)^2$ part. The three-cycles on this orbifold can be split into a four-dimensional part with basis $\rho_i, i = 1, \ldots, 4$ inherited from the torus and an eight-dimensional part with basis $\delta_j, \tilde{\delta}_j, j = 1, \ldots, 4$ associated with the $\mathbb{Z}_2$ sub-symmetry. The remaining 12 cycles corresponding to the $\mathbb{Z}_3$ sub-symmetry will not concern us here. A general fractional cycle can be written with parameters $\tilde{a}, d, e$ as

$$\Pi^\text{frac} = \frac{1}{2}\Pi^\text{bulk} + \frac{1}{2}\Pi^\text{ex} = \frac{1}{2} \left( \sum_{i=1}^4 \tilde{a}_i \rho_i + \sum_{j=1}^4 (d_j \delta_j + e_j \tilde{\delta}_j) \right),$$

(1)

where we introduced the notation bulk and ex(ceptional) cycles for the torus and $\mathbb{Z}_2$ cycles, respectively.

The RR tadpole cancellation condition and the supersymmetry conditions for the D-branes can be written as

$$\sum_a N_a \vec{X}_a = \vec{L} \quad \text{and} \quad X_1 + c_1 X_2 > 0, \quad Y_1 + c_2 Y_2 = 0,$$

(2)

where $N_a$ is the number of branes on stack $a$, $\vec{L} = (L_1, L_2, 0, 0, 0, 0)$ gives the orientifold charge and the coefficients $\vec{X}$ and $\vec{Y}$ are given explicitly in table 1 and appendix D of \[5\]. The coefficients $c_1$ and $c_2$ depend on the complex structure modulus $\rho = \sqrt{3} R_2/(2 R_1)$ and the orientations as $\vec{c} = (2 \rho, 3/(2 \rho))$ for $\text{AA}$ and $\text{BB}$ geometries and $\vec{c} = (2 \rho/3, 1/(2 \rho))$ for $\text{AB}$ and $\text{BA}$ geometries. The exceptional part of the tadpole cancellation condition for fractional cycles does not receive any contribution from the orientifold planes. The supersymmetry condition is fulfilled if \(2\) holds for the bulk part and the exceptional cycles wrap fixed points traversed by the bulk cycles. The aforementioned K-theory condition does not constrain the solutions further, which is a special feature of this orbifold and has been proved in \[4\].

The full matter spectrum for these models can be casted in algebraic form. This has been shown in \[4\] and confirmed using a different method in \[5\]. In the case of branes intersecting at three non-vanishing angels it is given by

$$\chi^{ab} = -\frac{1}{2} \sum_{k=0}^{N-1} \left( I_{a(\theta^k b)} + I_{b(\theta^k a)} \right) \quad \text{and} \quad \varphi^{ab} = \frac{1}{2} \sum_{k=0}^{N-1} \left| I_{a(\theta^k b)} + I_{b(\theta^k a)} \right|,$$

(3)

where $\chi$ gives the chiral and $\varphi$ the complete spectrum. The intersection numbers between bulk and exceptional branes and their orbifold images generated by $\theta$ can be computed using the coefficients in the expansion \(1\). In a similar fashion the spectrum of symmetric, antisymmetric and adjoint representations of the gauge group can be computed. In the cases where one or more of the angles between the branes are vanishing or the branes lie on top of the orientifold planes, the formula has to be slightly modified. Details can be found in \[4, 5\].

### 3. STATISTICAL DISTRIBUTIONS

#### 3.1. General statistics

Using the formulation of consistency conditions and expressions for the matter content in terms of rather simple algebraic equations makes it possible to analyse all possible solutions to the constrains with all configurations of D-branes. The total number of solutions has been found to be $\mathcal{O}(10^{23})$. The large number of possible configurations is generated mainly by the inclusion of exceptional cycles. Since for every bulk cycle there are usually several possible
exceptional cycles $n_e$ that can be added, we get an exponential enhancement of models compared to cases without these cycles, as e.g. $T^6/(\mathbb{Z}_2 \times \mathbb{Z}_2)$ [6, 7, 8].

In figure 1 we show the general distribution of solutions with respect to the different orientations of the tori (left plot). The distribution of ranks in the gauge group of these models is shown in the other two plots of figure 1. Models with a large total rank dominate the statistics, which can be explained by the exponential scaling of the possible exceptional brane configurations. Solutions with a large number of branes and small number of branes per stack dominate the statistical distribution. The rightmost plot of figure 1 shows the probability distribution to find a single gauge group factor of rank $N$ for one generic model. The exponential enhancement shows here in the domination of rank one gauge factors. By including this enhancement in a formula derived for models on $T^6/(\mathbb{Z}_2 \times \mathbb{Z}_2)$ without exceptional cycles in [8] we can describe the distribution with good accuracy by $P(N) \sim n_e^{L-N} L^4/N^2$.

### 3.2. Standard Model statistics

In [4] an analysis of different possibilities how to obtain the MSSM from an intersecting brane configuration on $T^6/\mathbb{Z}_6'$ has been carried out. It turned out that only one particular configuration of branes with one special assignment for the hypercharge led to consistent solutions. In total $O(10^{15})$ models with three generations have been found, even more with one or two generations, as can be seen in the leftmost plot of figure 2.

The specific configuration that led to consistent solutions makes use of four stacks of branes, $a$, $b$, $c$ and $d$, with $4$, $2$, $1$ and $1$ branes per stack, respectively. The resulting chiral SM spectrum can be computed using (3) and gives
the generations of quarks and leptons via

\[ Q_L : \chi^{ab} + \chi^{ab'} , \quad u_R : \chi^{a'd} + \chi^{a'd'} + \chi^{Antia} , \]

\[ L : \chi^{bc} + \chi^{bd} + \chi^{b'd} , \quad \zeta_R : \chi^{cd} + \chi^{Symc} + \chi^{Symd} . \]

The hypercharge is a combination of the $U(1)$ charges of three of the branes and given by

\[ Q_Y = \frac{1}{6} Q_a + \frac{1}{2} (Q_c + Q_d) . \]

This $U(1)$ remains massless after the generalized Green-Schwarz mechanism if its effective cycle, given by the combination of the cycles of the three branes, is invariant under the orientifold projection.

The constraints \( (4) \) imply that vector like pairs with respect to the SM, \( (1,2)_{1/2} + (1,2)_{-1/2} \), can occur. In the absence of any other gauge group, these representations have an interpretation of Higgs pairs \( H_u + H_d \), and in the presence of a \( B - L \) symmetry, they might either form Higgs pairs or lepton-anti-lepton pairs. To obtain an overview of possible Higgs candidates, we consider the number \( h \) of vector like pairs which stem from non-vanishing intersection numbers. They can be computed as

\[ h = \frac{1}{2} \left( |\chi^{bc}| + |\chi^{bd}| + |\chi^{b'd}| - |\chi^{bc} + \chi^{bd} + \chi^{b'd}| \right) . \]

In addition to the “visible sector” (i.e. the SM branes), one obtains generically also a “hidden sector” of additional matter states that transform under additional gauge group factors. The distribution of the number of these hidden sector branes \( s \) is shown in the second plot of figure 2. Models with three additional branes dominate the statistic, but remarkably there exist also models without any hidden sector. These all have the common feature of a massless \( B - L \) symmetry and either 6 or 18 Higgs multiplets.

For phenomenological reasons one wants to exclude models that contain chiral matter states transforming under both, the hidden and visible sector gauge groups. To quantify these so-called “exotic matter” states, we introduce the quantity

\[ \xi = \sum_{v,h} \left| \chi^{v,h} - \chi^{v'h} \right| , \]

where the sum runs over all chiral matter \( (3) \) arising at the intersection of visible \( v \) and hidden \( h \) sector branes. The frequency distribution of all three-generation models with respect to \( \xi \) is shown in the rightmost plot of figure 2. Obviously most of the models do contain chiral exotic matter, but there are also \( \mathcal{O}(10^7) \) solutions without such states. An explanation for the specific shape of this distribution and its peak around values of 20 have not been obtained so far.

4. CORRELATIONS

Figure 3: Correlation between properties of models with the gauge group and chiral matter content of the MSSM on $T^6/\mathbb{Z}_6'$. Left: Higgs candidates $h$ \( (5) \) and exotic matter states $\xi$ \( (6) \). Right: Tree-level gauge couplings $\sin^2 \theta$ and $\alpha_s/\alpha_w$.

To study correlations between properties of the models one can look at different aspects. In the context of three-generation models on $T^6/\mathbb{Z}_6'$ we have considered the correlation between Higgs candidates $h$ and and exotic matter...
states $\xi$ in $[3]$. One finds that the phenomenologically most interesting region of small (or zero) exotic matter states and small $h$ is not populated. Another interesting variable is the comparison of gauge couplings, that can be calculated at tree-level using the volume of the cycles corresponding to the respective gauge groups. The gauge couplings depend on the String and compactification scale, as well as additional numerical factors, but their ratios are independent of these quantities. Therefore we compare the values of the ratio $\alpha_s/\alpha_w$ and the mixing angle $\sin^2 \theta$ in the right plot of figure [3]. It turns out that only very few possibilities for these quantities are realised on $T^6/\mathbb{Z}_6'$, in contrast to the $T^6/(\mathbb{Z}_2 \times \mathbb{Z}_2)$ case $[7]$ or Gepner model constructions $[10]$. 

\begin{figure}[h]
\centering
\includegraphics[width=\textwidth]{fig4}
\caption{Correlation between the number of bifundamental representations $\Delta_{\pm}$, as defined in (7), of gauge groups in models on (from left to right) $T^6/(\mathbb{Z}_2 \times \mathbb{Z}_2)$, $T^6/\mathbb{Z}_6$, $T^6/\mathbb{Z}_6'$ and Standard Model Gepner constructions.}
\end{figure}

\begin{figure}[h]
\centering
\includegraphics[width=\textwidth]{fig5}
\caption{Correlation between the number of symmetric and antisymmetric representations of gauge groups in models on (from left to right) $T^6/(\mathbb{Z}_2 \times \mathbb{Z}_2)$, $T^6/\mathbb{Z}_6$, $T^6/\mathbb{Z}_6'$ and Standard Model Gepner constructions.}
\end{figure}

To compare results of correlations one has to select the properties under consideration carefully, in particular in situations where the ensembles to be compared have some artificial bias. However, some basic features, like the number of matter states in particular representations, invariant under the symmetries of the construction, can be compared more easily. In figures [4] and [5] we show correlation plots between the number of chiral bifundamentals $\Delta_{\pm}$ and the number of symmetric and antisymmetric representations of the gauge groups, respectively. The absolute number of chiral bifundamentals are defined as

$$\Delta_{\pm} = \chi^{ab} \pm \chi^{ab'}.$$  \hspace{1cm} (7)

The plots for the different constructions have to be compared with some care. In the case of $T^6/(\mathbb{Z}_2 \times \mathbb{Z}_2)$ the ensemble has been obtained by introducing a cutoff in the space of complex structure parameters. However, it has been shown in [7] that this bias should not affect the statistical distribution. The Gepner constructions that have been used in this comparison have been preselected to contain SM-like models. This certainly is a strong bias, but it is remarkable that the correlation plots are still very similar to the ones in the IBM cases. The fact that the symmetric and antisymmetric representations on $T^6/\mathbb{Z}_6$ occur always in equal numbers results from the specific embedding of $\mathbb{Z}_6$. It
can be shown analytically that this has always to be the case \[9\]. The similarity between the distributions for \(T^6/Z_6'\) and the Gepner models could be due to the SM-bias of the latter and the fact that the former are those IBMs with by far the most SM-like solutions.

5. CONCLUSIONS AND OUTLOOK

We have summarized recent results on the distribution of properties in the gauge sector of intersecting brane models. A complete survey of all possible compactifications on the orbifold background \(T^6/Z_6'\) has been done and we have found configurations with the gauge group and the chiral matter content of the MSSM. Although these models contain additional hidden sector gauge groups and exotic matter states that transform under the Standard Model gauge group, there is a small set of models without these states, some of them without any hidden sector at all. All of these models show an excess of Higgs multiplets. The results on correlations between SM- and exotic matter states have been compared to the Gepner model case and similarities in the distributions have been found on a qualitative level. There are results available for SM-like models obtained from heterotic orbifold compactifications \[12\], where one should be able to do a similar comparison. We leave this as a topic for future work.

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