An efficient Passerini tetrazole reaction (PT-3CR)*†

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A sonication accelerated, catalyst free, simple, high yielding and efficient method for the Passerini-type three-component reaction (PT-3CR) has been developed. It comprises the reaction of an aldehyde/ketone, an isocyanide and a TMS-azide in methanol : water (1:1) as the solvent system. The use of sonication not only accelerated the rate of the reaction but also provided good to excellent quantitative yields. This reaction is applicable to a broad scope of aldehydes/ketones and isocyanides.

Introduction

Tetrazole scaffolds are extensively used in medicinal chemistry and in industries like agriculture, explosives and photography.1,5-Disubstituted tetrazoles are important ring systems, having applications as bio-active agents or in drugs like cilostazol, pentylentetrazole, latamoxef, BMS-317180 and cis-amide bond isosteres in peptides (Fig. 1). This propels the need for efficient synthetic methods for tetrazoles.2 Different reactions have been developed for the direct access to diverse 1,5-disubstituted tetrazoles, but three- and four-component reactions (MCR) are mostly preferred due to their convergent, atom-efficient and flexible nature.3 Multi-component reactions are considered ideal syntheses, and that’s why their use in synthetic chemistry is increasing tremendously.4

In 1921, a three-component reaction between carboxylic acids, oxo components and isocyanides for the synthesis of α-acyloxy amide was discovered by Passerini (P-3CR).5,7 In 1961, Ugi reported the synthesis of tetrazoles via a Passerini-type 3CR (PT-3CR) for the first time using HN₃ and Al(N₃)₃.6 Even though the use of HN₃ or NaN₃ in Passerini reactions for the synthesis of tetrazoles was reported, the highly toxic and explosive nature of HN₃ and NaN₃ limit its application.7 The use of TMSN₃ as a safe substitute for HN₃ was then introduced by Hulme.8 However the use of TMSN₃ as an azide source in the PT-3CR resulted in a very low yield, and the TMS-ether was found as a major product instead. Similarly protected amino aldehydes in DCM also resulted in generally low yields9 and the described reaction times were up to 96 hours.9b Reported PT-3CRs are not very suitable for aromatic aldehydes.7 The use of different Lewis acids as catalysts, like AlCl₃, to activate aldehydes forms inseparable mixtures of the desired product with α-hydroxy-amide, with a maximum yield of 30%.10 Zhu and co-workers used TMSN₃ as a test reaction component in the asymmetric PT-3CR; nevertheless, they could not avoid the formation of α-hydroxy-amide.7b

To the best of our knowledge, no efficient, diverse and high yielding PT-3CR reaction has yet been reported. We report herein a sonication-promoted catalyst free, TMSN₃-modified PT-3CR using methanol : water (1:1) as solvent with diverse scope and affording good to excellent yields.

Fig. 1 Some bio-active agents/drugs containing the tetrazole moiety.
Results and discussion

We started our investigation by using tert-butyl isocyanide, phenylacetalddehyde and TMSN₃ as starting materials (Table 1). We hypothesized that the use of fluoride ion sources like TBAF, CsF and KF could trigger TMSN₃ activation. However, when the reaction was carried out with TBAF with different solvents like DCM or water, or in neat, the product was formed only in trace amounts (Table 1, entries 1–3). Surprisingly, using methanol as a solvent increased the isolated yield to 25%. Carrying out the reaction with alternative F-sources, such as KF in DCM or CsF in DCM, methanol and water, resulted only in small amounts of product formation.

The use of iodine, to trap TMS as TMSI, also failed to improve the reaction yield. 17% product formed when the reaction was carried out in water without any additive. TBAF in methanol:water (1 : 1) enhanced the yield up to 63%; however comparable yields were obtained when the reaction was carried out without TBAF in the same solvent system. Thus we concluded that the use of TBAF is not fruitful, whereas the solvent system has a major impact.

We foresaw that the accelerating effect of sonication could potentially speed up the reaction and increase yields. Ultrasound in general, like other chemical manipulations, is often used in organic synthesis due to its advantages such as increasing the reaction efficacy while decreasing waste byproducts, short reaction times, cleaner reactions, easier experimental procedures and having low energy requirements. Recently, the popularity of sonication-assisted synthesis as a green synthetic approach has significantly increased and has resulted in a plethora of better reactions. Ultrasonic in chemical reactions works via a physical phenomenon called acoustic cavitation, which forms, expands and collapses gaseous and vaporous cavities in an ultrasonic irradiated liquid. The mechanical effect of cavitation destroys the attractive forces of molecules in the liquid phase and so accelerates reaction rates by facilitating mass transfer in the microenvironment. To our delight, the use of sonication not only accelerated the reaction from 12 to two hours, but provided excellent quantitative yields using methanol:water (1 : 1) as the solvent system, noteworthy without the necessity of any previously used additive (Table 1, entry 14). We used a simple ultrasonic cleaning bath which is the most widely available and cheapest source of ultrasonic irradiation. A recent study has shown that both ultrasonic cleaning baths and ultrasonic probe systems are efficient in Passerini reactions. The ultrasound cleaning bath offers further advantages; for example, the reaction vessel can be put directly into the bath without any adaptation. This is in contrast to the ultrasonic probe system, which is more expensive and also requires special vessels, making it inconvenient to use.

Lastly, reactions under sonication in DCM or in neat conditions provided smaller yields, of 34% and 31% respectively, and the formation of TMS-ether as a side product was observed. The use of pure water as the solvent under sonication conditions provided the product in 71% yield. The use of 1 equivalent of TMSN₃ avoids the danger of forming hydrazide from excess azide. This catalyst free reaction doesn’t require any work-up.

With these optimized conditions in hand, we next examined the generality of this PT-3CR by reacting different aldehydes with different isocyanides (Table 2). Good to excellent yields were obtained with linear and branched aliphatic aldehydes. Aromatic aldehydes are also compatible substrates for this process (Table 2, entries 15–22). Electron donating (methoxy) and withdrawing groups (Cl, Br, NO₂) at different positions like ortho, meta and para are valid, providing moderate to good yields. Paraformaldehyde also reacts when pure water was used as the solvent. Reaction with one or six equivalents of paraformaldehyde in a methanol:water system only forms mono-substituted tetrazole. The reaction of benzyl isocyanide with aliphatic aldehydes gave excellent yields.

Isocyanides, easy to deprotect in acidic and basic conditions, are compatible with the developed methodology (Table 2, entries 2, 4 and 5). The functional group tolerance of the isocyanide (Table 2, entries 5–6 and 8–10), in this protocol provides multiple opportunities for various further chemical manipulations. For example, the compatibility of 1,1-dimethoxy-2-isocyanoethane as the isocyanide component could be used in further reactions as aldehyde or halogen functional groups for coupling reactions.

We also explored the scope of ketones in the developed method (Table 2, entries 23 and 24). Cyclohexanone gives a

Table 1 Optimization of reaction conditions

| Entry | Catalyst | Solvent | Time (h) | Product yield (%) |
|-------|----------|---------|---------|------------------|
| 1     | TBAF     | —       | 12      | Trace            |
| 2     | TBAF     | DCM     | 12      | Trace            |
| 3     | TBAF     | H₂O     | 12      | nd               |
| 4     | TBAF     | MeOH    | 12      | 25               |
| 5     | KF       | DCM     | 12      | nd               |
| 6     | CsF      | DCM     | 12      | nd               |
| 7     | CsF      | MeOH    | 12      | nd               |
| 8     | CsF      | H₂O     | 12      | nd               |
| 9     | I₂       | DCM     | 12      | nd               |
| 10    | I₂       | H₂O     | 12      | 17               |
| 11    | TBAF     | MeOH:H₂O (1:1) | 12 | 63               |
| 12    | TBAF     | MeOH:H₂O:DCM (1:1:1) | 12 | 64               |
| 13    | Sonication | MeOH:H₂O (1:1) | 2 | 97               |
| 14    | Sonication | MeOH:H₂O:DCM (1:1:1) | 2 | 31               |
| 15    | Sonication | MeOH:H₂O:DCM (1:1:1) | 2 | 34               |
| 16    | Sonication | MeOH:H₂O:DCM (1:1:1) | 2 | 71               |

The reaction was carried out with phenylacetalddehyde (1 mmol), tert-butyl isocyanide (1 mmol), and TMSN₃ (1 mmol) at room temperature.

* Yield of isolated product. ¹ 1 equivalent TBAF·3H₂O. ² 1 equivalent TBAF. ³ 1 equivalent CsF·3H₂O. ⁴ Reaction carried out at 70 °C. nd = not determined.
good yield of 84%. The important building block piperidone is also compatible with the reaction.

Fused tetrazoles are important scaffolds as they possess a wide spectrum of activity and vast industrial applications. As functional groups bearing isocyanides are compatible in our developed method, we foresaw a quick and easy access to fused tetrazoles. According to our synthetic plan, the use of functionalized PT-3CR product for post modification would allow an anticipated cyclization process. (1-(2-Bromobenzyl)-1H-tetrazol-5-yl)methanol (3i), when refluxed with copper(II) trflate in the presence of base, formed 5,11-dihydrobenzo[f]-tetrazolo[5,1-c][1,4]oxazepine in 89% yield (Scheme 1).

Conclusions

In conclusion, we have developed a novel, efficient, safe and general sonication assisted Passerini tetrazole reaction (PT-3CR) to access 5-(1-hydroxyalkyl)tetrazoles in good to excellent yields. The herein described Passerini tetrazole procedure provides multiple advantages over previously described procedures. The reaction does not use highly toxic and explosive starting materials like HN₃, Al[N₃]₃ or NaN₃. This catalyst free reaction avoids the use of any dangerous or adverse catalysts such as the Al–salen chiral complexes or AlCl₃. Sonification was found to provide superior reaction conditions, resulting in high conversion and giving high yields of Passerini products and no TMS-ether side products, as often observed previously. Sonification is also well known to be compatible with upscaling procedures. The scope of the reaction could be dramatically extended, including aliphatic, aromatic aldehydes and also ketones. Due to the extended functional group compatibility of the reaction, many new scaffolds amenable by post-condensation reactions can be foreseen, as we have illustrated by the synthesis of a Cu-mediated fused tetrazole. Altogether, we believe that our procedure is superior to all previously reported Passerini tetrazole reactions and will be the method of choice for the future.

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