Proper secretion of the serpin antithrombin relies strictly on thiol-dependent quality control

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Abstract

The protein quality-control machinery of the endoplasmic reticulum (ERQC) ensures that client proteins are properly folded. ERQC substrates may be recognized as non-native by the presence of exposed hydrophobic surfaces, free thiols, or processed N-glycans. How these features dictate which ERQC pathways engage a given substrate is poorly understood. Here, using metabolic labeling, immunoprecipitations, various biochemical assays and the human serpin antithrombin III (ATIII) as a model, we explored the role of ERQC systems in mammalian cells. Although ATIII has N-glycans and a hydrophobic core, we found that its quality control depended solely on free thiol content. Mutagenesis of all six Cys residues in ATIII to Ala resulted in its efficient secretion even though the product was not natively folded. ATIII variants with free thiols were retained in the ER but not degraded. These results provide insight into the hierarchy of ERQC systems and reveal a fundamental vulnerability of ERQC in a case of reliance on the thiol-dependent quality-control pathway.

Introduction

Protein maturation is an error-prone process which, if allowed to proceed unchecked, would cause major cellular dysfunction. To prevent such calamities, quality control processes monitor the integrity of maturing polypeptide chains. For proteins that traverse the endoplasmic reticulum (ER), including secretory and plasma membrane proteins and proteins that reside in endomembrane compartments, proteins evaluated as native are allowed to exit to the Golgi. Proteins deemed non-native are initially targeted for ER retention and potential repair. If the non-native properties persist and the proteins accumulate in the ER, two processes can be initiated (1, 2). The unfolded protein response (UPR) is activated, causing a transcription-based remodeling of the ER contents in an attempt to maintain protein homeostasis (3, 4). If the interrogated proteins are determined to be irreparably or terminally misfolded, they are eventually targeted for destruction in order to recycle components and ensure that a potentially toxic misfolded substrate is not released from the ER or cell (5, 6).

A small number of quality control factors is responsible for evaluating the thousands of different client proteins that traverse the ER (7–9). Therefore, general protein hallmarks of foldedness must be queried to provide an efficient and plastic quality control process that
supports the evaluation of a large number of substrates. Regardless of cellular location, exposed hydrophobic regions appear to be a defining signature of folding intermediates, misfolded proteins or unassembled oligomers – all forms of protein that are frequently recognized by molecular chaperones and should be retained in the ER (10, 11). The ER is also an oxidizing environment that supports the formation of disulfide bonds assisted by a family of ER-resident oxidoreductases (12). These oxidoreductases are involved in a thiol-dependent quality control process (13–15). The vast majority of proteins that travel through the mammalian secretory pathway are also modified in the ER with multiple 14-residue N-linked oligosaccharides with the composition Glc₃Man₉GlcNAc₂ (16). The maturation of the glycan provides a quality control code that reports on the fitness of the attached protein (17). Glycosidases and transferases orchestrate the glycan composition based on protein structural features, supporting binding and sorting of glycoproteins by quality control carbohydrate-binding factors. Among these are the lectins calnexin and calreticulin, which bind monoglucosylated glycans (18–20). While the use of multiple quality control interrogation mechanisms that are based on the features of the specific protein is thought to maximize coverage and minimize mistakes, the interplay between these various quality control mechanisms is poorly understood. For instance, if there is overlap or redundancy in coverage, how is it determined which quality control process dominates? Or can multiple pathways query the same protein?

Serpins have been used extensively as model substrates to study protein quality control, as mutations in several inhibitory serpins are associated with degradation and accumulation of these proteins in the ER (21, 22). Functional inhibitory serpins are metastable; they fold to a kinetically trapped state that allows them to store potential energy, which is deployed to inhibit their cognate protease (23, 24, 22, 25). This metastability and the need to avoid alternative conformations that might be more stable when folding likely make serpin maturation particularly problematic and may provide an explanation for why serpin misfolding is associated with a large number of pathologies or serpinopathies (26). Functional, properly folded, inhibitory serpins form inactive covalent complexes with their cognate proteases providing a simple activity assay to determine whether a secreted serpin has successfully folded.

The human serpin antithrombin III (ATIII) is an inhibitory serpin that is modified by multiple N-linked glycan. The three native ATIII disulfide bonds have been used to map its cellular folding pathway (27). ATIII inhibits thrombin thus playing an essential role in the blood coagulation cascade. Mutations in ATIII are commonly associated with thrombosis, and some of these mutations also lead to accumulation of ATIII in the ER (28, 25). Therefore, ATIII provides an apt model substrate to explore various ER quality control processes. Here, we made use of ATIII variants with disrupted folding by mutating native Cys residues to Ala. We hypothesized that these variants would be targets for the thiol-based quality control pathway. We also predicted that these variants would be compromised in their folding in such a way that they would present non-native hydrophobic surface and potentially glycan signals. Thus, these variants should reveal the interplay and hierarchy of ER quality control systems.

We found that quality control of ATIII relied solely on thiol-dependent quality control and diversion from the thiol-dependent quality control pathway led to improper secretion of misfolded and inactive protein. These results demonstrate that ER quality control pathways do not necessarily act redundantly and the general features of a substrate do not automatically dictate which quality control pathways will be engaged.

Results

Misfolded and inactive Cys-less ATIII is efficiently secreted

As shown previously, the three intramolecular disulfide bonds of ATIII (C8/C128, C21/C95, and C247/C430) are required for folding to the functional, metastable conformation (27). We began to explore how the ERQC handles misfolded ATIII by determining the fate of ATIII with all its Cys mutated to Ala.
(Cys-less ATIII). Chinese hamster ovary (CHO) cells transfected with wild type (WT) or Cys-less ATIII were pulsed for 30 min with [35S]-Met/Cys and chased for the designated times (Figure 1A). ATIII from the lysates and media was then immuno-isolated using antibodies directed towards the C-terminal Myc-tag present on the ATIII constructs. Samples were analyzed by reducing SDS-PAGE and phosphorimaging. As expected, secreted ATIII shows two bands due to incomplete glycosylation at Asn135 (29). Surprisingly, the level of secretion of WT and Cys-less ATIII was similar, reaching levels in the media after 3 hr of chase of 48.0% and 43.6%, respectively (Figure 1A and B). Strikingly, the remaining in-cell ATIII fraction for both constructs remained stable as degradation did not appear to occur even for Cys-less ATIII (Figure 1A, lanes 1-4, 9-12).

Normally, the ERQC machinery interrogates proteins as they traverse the secretory pathway sensing whether their native structures have been reached (30, 8, 31), and only properly folded proteins are packaged into COPII vesicles for eventual secretion, while misfolded or non-native structures are directed for ER retention (32). To assess the completeness folding of Cys-less ATIII, the activity of the product secreted into the media was analyzed by gel shifts due to its ability to form a covalent inhibitory complex with its target protease, thrombin. When the protease thrombin cleaves the reactive center loop of the serpin ATIII, an acyl bond is formed between the loop of ATIII and thrombin. The formation of the covalent complex can be visualized by a gel shift on SDS-PAGE. Inactive ATIII does not demonstrate this gel shift, while partially active ATIII, though not functional as a thrombin inhibitor, can act as a substrate for thrombin while not forming a covalent bond. This leads to ATIII being cleaved and running at a lower molecular weight (Figure 1C). Cells were pulsed for 30 min, chased for 3 hr, and ATIII from lysates and media samples were immune-isolated. Protease inhibition by serpins requires large conformational changes (23, 24). Therefore, prior to performing the activity assay, ATIII was eluted from the beads and antibody using excess Myc-peptide to remove steric constraints. The eluted fraction was equally divided between thrombin-treated and non-treated samples and analyzed by reducing SDS-PAGE. While WT ATIII in the media was active as shown by the formation of a thrombin and ATIII complex (Figure 1C, lane 4), Cys-less ATIII was completely inactive (Figure 1C, lane 8). Thrombin not only cannot form a complex with misfolded Cys-less, it also does not have an accessible reactive center loop as there was no ATIII cleaved band from the media sample observed upon thrombin addition.

To further characterize the state of folding of the secreted Cys-less ATIII, we used protease sensitivity. A natively folded protein should be relatively resistant to protease digestion as compared to an unfolded protein as, generally, natively folded proteins adopt more compact conformations that expose fewer sites for cleavage. Cells were pulsed for 30 min, chased for 3 hr, and ATIII was immunoprecipitated from media samples. Samples were then divided equally between untreated samples and trypsin treated for either 0, 15, 30, or 60 min. Samples were then analyzed by SDS-PAGE. Secreted Cys-less ATIII showed significantly higher protease sensitivity compared to WT (Figure 1D and 1E). This result indicates that Cys-less ATIII is secreted like a natively folded protein, despite the fact that it is an inactive and significantly misfolded protein. Both the secretion of Cys-less ATIII and its stability in the cell suggests that it is evaluated as properly folded by the ERQC network even though it has not achieved its native, functional fold.

There is a formal possibility that Cys-less ATIII is being improperly secreted and miscalculated by the ER quality control network because it is secreted via an unconventional secretory pathway, thereby escaping ERQC. In traversing the full secretory pathway, glycoproteins trafficked from the ER pass through the Golgi where their glycans are extensively remodeled to receive complex glycans. However, proteins that pass through recently identified pathways for unconventional secretion, both including and excluding the ER, can bypass portions of the canonical secretory pathway such as the Golgi and thus lack remodeled complex glycans (33, 34). The differential susceptibility of secretory proteins to glycosidases PNGaseF, which can cleave both complex and high-mannose glycans acquired in
the Golgi, and EndoH, which can only cleave high-mannose glycans, can be used to ask whether a given protein has traversed the canonical secretory pathway. Proteins carrying glycans found in the ER or on secreted proteins that have bypassed the Golgi are sensitive to both PNGaseF and EndoH, while proteins carrying glycans that have passed through the Golgi are sensitive to PNGaseF and resistant to EndoH. Glycosidase sensitivity, analyzed by size shifts using SDS-PAGE, can therefore be used as an assay for unconventional secretion. As expected, both WT and Cys-less ATIII in the cell lysate were sensitive to PNGaseF and EndoH, indicating that cellular ATIII mainly resides in the ER. In contrast, both WT and Cys-less ATIII from the cell media were sensitive to PNGaseF and resistant to EndoH (Figure 1E, lanes 5, 6, 11 and 12), showing that both had received complex glycans due to passage through the Golgi. Therefore, the Cys-less variant of ATIII utilizes the conventional secretory pathway.

Taken together, these results indicate that Cys-less ATIII, an inactive and misfolded protein, evades ER protein quality control and instead is secreted similarly to WT ATIII. These results support the hypothesis that free thiols are essential for ERQC recognition of misfolded ATIII and its consequent retention in the ER. Concomitantly, evasion of thiol-dependent quality control in this case allowed improper secretion rather than attempts by a complementary quality control branch to correct the misfolding or action of the ER-associated degradation pathway to eliminate the misfolded product.

**Cellular retention and characterization of ATIII disulfide mutants**

According to the recently discovered cellular pathway of disulfide bond formation for ATIII, the C-terminal disulfide, C247/C430, must form first in order for the two N-terminal disulfides, C8/C128 and C21/C95, to form properly (27). If the C-terminal disulfide is mutated, this model predicts that the remaining two disulfides would not form, leading to the generation of free thiols that may support ER retention. In contrast, this model predicts that mutating either pair of Cys residues that comprise the two N-terminal disulfides, C8A/C128A or C21A/C95A, allows the two remaining disulfides to form, reconciling all thiols into disulfides. This previous work, which elucidated the disulfide formation pathway of homogeneously glycosylated ATIII missing the partially recognized N-linked glycan at position Asn135, led to the predictions that ATIII quality control is mediated, at least in part, by free thiols directing ER retention (27).

To characterize the disulfide requirements for proper ATIII quality control and secretion, and to test the predictions for ATIII disulfide mutants, the secretion rates of the three disulfide mutants (C8A/C128A, C21A/C95A, C247A/C430A) and WT ATIII (all constructs possessing all four native glycosylation sites) were analyzed via pulse-chase in cells. Transfected cells were pulsed for 30 min with [35S]-Met/Cys and chased for the designated times. Samples were analyzed by non-reducing and reducing SDS-PAGE and phosphorimaging (Figure 2A).

Secretion of C247A/C430A ATIII was significantly reduced compared to WT, C8A/C128A and C21A/C95A ATIII, (Figure 2A, 13-15 and Figure 1B). After a 2 hr chase, WT secretion levels reached 50% compared to 8% for C247A/C430A ATIII. The secretion of the N-terminal disulfide mutants, ATIII C8A/C128A and C21A/C95A, was similar to WT secretion levels. Non-reducing SDS-PAGE displayed an increase in disulfide linked adducts for all mutants compared to WT ATIII. Similar levels of aggregated ATIII were found in the triton insoluble fractions for all constructs (Figure 2A, lanes 16-18).

While secreted WT ATIII was active, as observed by the formation of the thrombin-ATIII complex (Figure 2C, lane 4), the disulfide mutants displayed little activity and did not form an inhibitory complex (Figure 2C, lanes 8, 12 and 16). This demonstrates that all of the ATIII disulfide mutants analyzed are inactive proteins, including C8A/C128A and C21A/C95A ATIII, which were efficiently secreted into the cell media. Thus, like Cys-less ATIII, the ATIII N-terminal disulfide mutants were mistakenly evaluated as natively folded by the ER quality control process. By contrast, the inactive C247A/C430A ATIII construct, which lacked
the key early forming disulfide previously shown to be critical for initiation of proper folding, was properly retained.

A question that emerges from these data is whether secretion correlates with protection or inaccessibility of thiols. To address this question a polyethylene glycol-maleimide (PEG-Mal) modification gel-shift assay was performed on the ATIII mutants. PEG-Mal modifies exposed free thiols, and the bulky PEG group causes an increase in mass that can be visualized by a gel shift. Transfected cells were pulsed for 30 min then chased for 30 min. Lysate and media samples were split equally, and one half was treated with PEG-Mal, and analyzed by reducing SDS-PAGE.

For all ATIII constructs analyzed, secreted ATIII found in the media had insignificant levels of PEG-Mal modification, which in turn indicates near absence of accessible free thiols (Figure 2D, lanes 4, 8, 12 and 16; and Figure 2E for quantification). This result suggests that in ATIII constructs that are successfully secreted to the media, any thiols had either formed disulfide bonds or were otherwise inaccessible, most likely through partial folding. The level of accessible thiols modifiable by PEG-Mal for WT, C8A/C128A, and C21A/C95A ATIII constructs in cell lysates was similar, ranging from 55 to 72% (Figure 2E). In contrast, the level of C247A/C430A ATIII modified by PEG-Mal was 89%, arguing that in this construct there is little sequestration of the Cys thiols. This result supports the model that the disulfide between C247 and C430 is critical for folding, and its absence leads to poor formation of the two N-terminal disulfides (27). Free thiols are present in all forms of ATIII in the cell lysates, including WT, because the intracellular pool of protein is sampled during formation and isomerization of intermediate disulfide bonds. These results suggest that C247A/C430A ATIII is retained in the ER because its folding is impaired so that its free thiols cannot form disulfide bonds, while C8A/C128A and C21A/C95A ATIII are secreted because they can initiate folding and hence partially form disulfides, which together with partial folding, protect their free thiols. These findings support both the hypotheses that the C-terminal disulfide of ATIII must form before the N-terminal disulfides in order for ATIII to fold correctly and that free thiols lead to retention in the ER.

A single Cys is sufficient to retain ATIII in the ER

As the results in Figures 1 and 2 suggest that free thiols play a significant role in the ER retention of ATIII, we next investigated whether the presence of a single Cys would be sufficient to retain ATIII in the ER. To this end, six single Cys mutants were created by adding the individual Cys back at their natural sites in the Cys-less ATIII background (A8C, A21C, A95C, A128C, A247C, A430C) and their secretion was analyzed by pulse-chase. Cells were pulsed for 30 min and chased for 10, 60, and 120 min. When secretion was analyzed by reducing SDS-PAGE, three single Cys mutants, A8C, A21C, and A247C, were poorly secreted as compared to Cys-less ATIII. A128C and A95C were secreted at a similar level to Cys-less ATIII. While there was no significant difference between secretion of A430C and Cys-less, A430C secreted to a lower level than Cys-less (Figure 3A, B). When secretion was analyzed by non-reducing SDS-PAGE, a high molecular weight band of secreted single Cys ATIII was present, suggesting that a portion of secreted single Cys ATIII mutants was in the form of a redox-dependent complex in which the Cys was no longer a free thiol but rather was in an intermolecular disulfide (Figure 3A, top NR image). Altogether, these results demonstrate that thiol-dependent quality control is a robust retention mechanism as a single free thiol led to the cellular retention of ATIII.

C247A/C430A ATIII is stably retained in the ER in a redox-dependent complex

We sought to obtain a better understanding of the cellular fate of the C247A/C430A ATIII, which was inefficiently secreted. CHO cells expressing WT, Cys-less, or C247A/C430A ATIII were imaged by immunofluorescence confocal microscopy, and co-localization with ER (KDEL) and Golgi (giantin) markers was monitored. All three variants were found throughout the ER as observed by extensive co-localization with the KDEL ER marker (Figure 4A). Some co-localization of all three constructs with the Golgi marker was also observed. These
results indicated that regardless of their different fates and properties, after 16 h of expression, these three ATIII variants localize throughout the ER.

Despite their similar cellular localization, we hypothesized that misfolded ATIII variants may not be monomeric within the ER. We therefore investigated whether ATIII variants were present in complexes within the ER. Cell lysate was layered on top of a 10-40% sucrose gradient and subjected to ultracentrifugation. Fractions were then analyzed by SDS-PAGE and immunoblotting. Both Cys-less and C247A/C430A ATIII are found in complexes that are larger than WT (Figure 4B). Addition of the reducing agent dithiothreitol (DTT) post cell lysis causes C247A/C430A ATIII to shift to lower molecular weight fractions while addition of DTT had no effect on WT and Cys-less ATIII, suggesting that C247A/C430A is in a complex formed via accessible thiols and that retention of C247A/C430A is redox-dependent. Cys-less ATIII is also in a complex in the media, which may be due to secretion as an aggregate or secretion while bound to a partner. These data suggest that C247A/C430A ATIII is retained in a multimeric, disulfide-dependent complex in the ER while ATIII Cys-less is secreted in a non-monomeric state.

WT ATIII is more efficiently reglucosylated than Cys-less and C247A/C430A ATIII

The carbohydrate-binding chaperone calnexin and its soluble parologue calreticulin play an important role in glycoprotein folding, quality control, and ER retention (35, 36, 1, 37). Within the calnexin/calreticulin cycle, the folding sensor UDP-glucose:glycoprotein glucosyltransferase 1 (UGGT1) acts as a gatekeeper of secretion by reglucosylating misfolded or incompletely folded proteins, which then allows calnexin/calreticulin to rebind the glycoprotein, leading to ER retention (38, 39, 1). Furthermore, recent x-ray crystal structures have shown that UGGT1 proteins from both Thermomyces dupontii and Chaetomium thermophilum have four thioredoxin-like domains (40, 41). Oxidoreductases are frequently comprised of multiple thioredoxin domains, contributing to their oxidizing, reducing or isomerizing activities (42, 43). Although the active oxidoreductase motif, CysXxxXxxCys, is not present in any of these domains, the multiple Cys residues present in UGGT1 and the thioredoxin-like folds likely aid UGGT1 in substrate recognition. We therefore investigated whether UGGT1 reglucosylation, and by extension the N-glycan quality control pathway, contributed to the ER retention of C247A/C430A ATIII.

We have previously developed a cell-based reglucosylation assay (39, 44, 45). Briefly, an Alg6 defective CHO cell line, MI8-5 CHO, generates glycans lacking glucose on their A-branches (46) (Supplemental Figure 1). Therefore, in this cell line a monoglucosylated glycan can only be generated by UGGT1. In contrast, in WT cells, the presence of a monoglucosylated glycan could indicate either trimming of two glucoses from the original Glc3Man9GlcNAc2 glycan or reglucosylation of an unglucosylated side chain by UGGT1. MI8-5 CHO cells were treated with N-butyl deoxynojirimycin (DNJ) for 30-min prior to the 30-min pulse and then throughout the indicated chase times. DNJ is a glucosidase inhibitor and therefore traps monoglucosylated proteins in their monoglucosylated state. Monoglucosylated proteins were first pulled down using recombinant glutathione S-transferase (GST)-calreticulin, and from this pull-down ATIII was immunoprecipitated using anti-Myc antibodies.

While all three variants of ATIII, WT, Cys-less and C247A/C430A, were reglucosylated by UGGT1, WT ATIII was found to be modified most efficiently followed by C247A/C430A ATIII and then Cys-less ATIII (Figure 5A). As WT ATIII was recognized at a higher level than the other two ATIII variants, UGGT1 modification does not explain the greatly increased ER retention of C247A/C430A.

To explore the timing of reglucosylation, DNJ was added for only 15 min prior to each time point, which allows for detecting reglucosylation at that specific window of time. Using this experimental scheme, C247A/C430A ATIII was found to be reglucosylated more than either WT or Cys-less ATIII after 2 hr (Figure 5C and D). These results suggest that UGGT1 best recognizes WT ATIII, but once WT has folded and trafficked out of the ER, ATIII
C247A/C430A becomes a better substrate for modification as it is still present in the ER where UGGT1 has access to it.

In order to confirm that UGGT1 is not retaining the free thiol-carrying mutant, C247A/C430A ATIII, the secretion of WT and C247A/C430A ATIII was examined in WT and Uggt1/−/− mouse embryonic fibroblast (MEF) cell lines using a pulse-chase approach. Cells were pulsed for 30 min and chased for 0 or 2 hr before the media and lysate were collected. ATIII was immunoprecipitated from the media and lysate, and the percent fraction of ATIII in each pool was analyzed as previously described. WT ATIII was secreted similarly in both WT and Uggt1/−/− MEF cells, suggesting that repetitive rounds of calnexin/calreticulin binding were not needed for efficient ATIII secretion (Figure 5E and F). In contrast, ATIII C247A/C430A was poorly secreted in either WT or Uggt1/−/− MEF cells, indicating that in the absence of UGGT1, the misfolded ATIII mutant C247A/C430A was still efficiently retained within the cell. Therefore, all together UGGT1 does not appear to play the determinative role in C247A/C430A ATIII quality control.

**C247A/C430A ATIII is a poor ER-associated degradation (ERAD) substrate**

When a misfolded protein is persistently retained in the ER, the ERAD process generally degrades the protein to maintain proper secretory pathway flow and protein homeostasis (6, 47). Because C247A/C430A ATIII is retained in the ER, we would expect it to be degraded. The stability of C247A/C430A ATIII was therefore compared to WT ATIII and the classical ERAD substrate alpha-1-antitrypsin null Hong Kong (A1AT NHK), which is also an inhibitory serpin and serves as a positive control for ERAD.

After an 8-hr chase, both WT and C247A/C430A ATIII were stable with 74% and 115% of the total protein remaining relative to zero time, respectively (Figure 6A and B). WT ATIII accumulated in the media, while C247A/C430A ATIII largely accumulated in the Triton-insoluble fraction. In contrast, A1AT NHK was turned over rapidly with 48% total protein remaining after 8-hr of chase and only a small fraction being secreted. Interestingly, though ATIII C247A/C430A was retained in the ER, it is a poor ERAD substrate as it was not efficiently degraded.

**Overexpression of ATIII constructs activates the IRE1α arm of the UPR pathway**

One possible explanation for the lack of degradation of C247A/C430A ATIII retained in the ER is that expression of this impaired protein does not activate the unfolded protein response (UPR). In turn, the basal level UPR pathways would be unable to process the high load of retained ATIII mutant. Thus, we sought to examine whether UPR pathways were induced by overexpression of ATIII variants in CHO cells. The UPR consists of three branches controlled by the ER sensors IRE1α, ATF6, and PERK, and each sensor initiates a signaling cascade, which can increase the folding capacity of the ER, decrease the protein load in the ER, or increase the capacity of ERAD (48). Thus, we carried out experiments to test whether any of the three UPR branches were upregulated upon expression of WT, C247A/C430A, or Cys-less ATIII or NHK A1AT.

Upon activation, IRE1α splices an unconventional intron from X-box protein 1 mRNA (Xbp1), creating a spliced form of Xbp1 (Xbp1s). A frameshift caused by the splicing supports the translation of an active transcription factor, XBP1s, which leads to the up-regulation of multiple genes involved in protein folding and ERAD (49, 50). Therefore, the production of Xbp1s is indicative of IRE1α activation. Tunicamycin (Tm), which inhibits N-linked glycosylation and leads to a strong activation of the UPR, was used as a positive control. CHO cells were transfected either with the serpin variants or treated with Tm for 24 hr. RNA was then collected and cDNA was generated. In all cases, Xbp1s was generated, suggesting that IRE1α is activated by ATIII/A1AT overexpression regardless of the construct (Figure 7A). The level of activation during NHK ATIII and A1AT overexpression appears to be less than that of Tm, suggesting that overexpression of the proteins was not maximally activating IRE1α.

Next, the second branch of the UPR, activation of the kinase PERK, was tested.
During activation by ER stress, PERK undergoes trans-autophosphorylation, which activates PERK and leads to multiple downstream effects including up-regulating chaperone expression, translational attenuation, and cell cycle arrest (51, 52). In order to test for the activation of PERK, CHO cells were either transfected or treated with Tm for 24 hr. Cells were then lysed and trichloroacetic acid (TCA) precipitated before immunoblotting. Phosphorylated PERK (pPERK) can be distinguished from non-phosphorylated PERK by an increase in mass on a gel. Overexpression of ATIII variants and A1AT NHK did not generate pPERK (Figure 7B). This suggested that PERK was not activated by the overexpression of these proteins.

The third branch of the UPR, activation of the transcription factor ATF6, was also examined. Activation of ATF6 leads to the up-regulation of multiple ER chaperones and ERAD factors (53, 54). One of the prime targets of ATF6 is the Hsp70 family member BiP (50). As such, the level of BiP expression was examined as an indicator of ATF6 activation. CHO cells were either transfected or treated with Tm for 24 hr. Cells were then lysed and TCA precipitated before immunoblotting. BiP expression was not found to be upregulated by the overexpression of any of the constructs when compared to the positive control Tm (Figure 7C and D). Therefore, ATF6 was not activated by the overexpression of ATIII or A1AT variants. In total, these results indicate that the only branch of UPR activated by overexpression of ATIII variants and A1AT NHK was the IRE1α pathway.

**C247A/C430A ATIII interacts poorly with ERAD factors**

IRE1α activation leads to up-regulation of numerous ER-resident proteins including the ERAD factors ER degradation enhancing α-mannosidase-like (EDEM) proteins EDEM1, EDEM2, and EDEM3 (55, 56, 50). Up-regulation of ERAD factors is expected to lead to robust degradation of misfolded and ER retained proteins as they are thought to be responsible for directing glycosylated misfolded proteins like C247A/C430A ATIII to the ERAD pathway (57–62). However, C247A/C430A ATIII was degraded significantly less than the ERAD substrate A1AT NHK (Figure 6B). A possible explanation is that C247A/C430A ATIII does not bind well to EDEM1, EDEM2 and EDEM3. To test this, we co-transfected CHO cells with either WT or C247A/C430A ATIII, or A1AT NHK, and EDEM1, EDEM2 and EDEM3. Cells were pulsed for 30 min and chased for the indicated times. Cells were lysed with buffer containing Triton X-100 (MNT). Interactions were queried by performing co-immunoprecipitations. EDEM1, EDEM2 and EDEM3 all associated efficiently with NHK A1AT as demonstrated by their co-immunoprecipitation with the A1AT pulldowns (Fig 8A-F, lanes 21 and 22). In contrast, neither WT nor C247A/C430A ATIII showed significant association with any of the EDEMs. We conclude that the misfolded and ER retained C247A/C430A ATIII is a poor substrate for recognition by these ERAD sorting factors, thus providing a possible explanation for the stability of C247A/C430A ATIII retained in the ER.

**Discussion**

A central question regarding protein quality control in the ER is how thousands of proteins that pass through the secretory pathway are evaluated by a small number of quality control factors. The ERQC must efficiently and accurately enable natively folded proteins to exit to their final destination, and identify incompletely or misfolded proteins so that they are retained in the ER to allow fresh starts on proper folding or degradation. ERQC must rely on features of secretory pathway clients that indicate those with non-native characteristics, such as exposed hydrophobic residues, mispaired or free Cys residues, or processed N-glycans (18, 15, 63, 64) (Figure 9). Exposed hydrophobic residues are most notably monitored by the BiP/ERdj network in the ER (65–67), while disulfides are formed and monitored for their integrity by a group of some 20 oxidoreductases or PDI family members (68, 8, 69). Glycosidases, transferases, lectin chaperones and sorting factors comprise an N-linked carbohydrate-dependent glycoprotein quality control system (63, 1). How these different quality control pathways work together to ensure that only native proteins are passed
along the secretory pathway is poorly understood.

In this work, we have focused on a member of the serpin family of secreted proteins to gain insight into ERQC. The serpin native fold is complex and challenged by the need to adopt a metastable state that is required for inhibitory activity. Many examples of mutant serpins have been characterized to have secretion defects; often these are associated with diseases arising from loss of function and/or toxicity caused by retention and aggregation in the ER (22, 27, 70, 71). While the fold is conserved in the serpin family, the number and locations of Cys and disulfides bonds, as well as N-linked glycans, vary among serpins. Therefore, we anticipated that different serpins would engage different quality control systems. We selected ATIII for this study as it is a glycoprotein with six Cys that are paired into three intramolecular disulfides bonds in its properly folded native, functional state (72, 27). Therefore, it is expected to engage the three quality control pathways: hydrophobic-, thiol- and glycan-dependent.

Our previous work provided insight into the cellular folding pathway of ATIII: the C-terminal disulfide between Cys 247 and Cys 430 must form first for the protein to achieve its native fold with the next two disulfides in place (27). Cys residues in proteins that traverse the ER are generally either buried or reside in disulfide bonds once a protein is natively folded and assembled (73, 15). Exposed, unpaired Cys present in unpaired oligomers can be recognized by the thiol quality control pathway and retrieved from the ER-Golgi intermediate compartment and retained in the ER via a pH-dependent interaction with ERp44, as in the case of IgM (74, 15), acetylcholinesterase (75), SUMF1 (76), and adiponectin (14), while IgG C1 domain mutants are free thiol quality control substrates retained by an unclear mechanism (77). However, little is known about the role of the thiol dependent quality control process for monomeric, secreted proteins. Previous work has also been limited by a lack of a clear method to detect the folded status of secreted protein, thereby leaving the possibility that Cys mutants are properly folded and secreted rather than evading quality control as inactive and misfolded mutants. Therefore, we sought to delineate the role of oligomerization from thiol-dependent quality control while monitoring folded status by examining the quality control of a monomeric protein, ATIII.

Strikingly, mutation of all six Cys of ATIII to Ala resulted in the efficient secretion of a significantly misfolded and inactive protein, adding back a single native Cys to the Cys-less construct resulted in efficient ER retention in all but one case, and all disulfide mutants with free thiols were retained while disulfide mutants without free thiols were not. These compelling results indicate that ATIII relies almost completely on thiol-dependent quality control for its interrogation within the ER. Our data therefore expands the clientele of thiol dependent quality control by demonstrating that ATIII, a monomeric and secreted protein, is a substrate of thiol dependent quality control. Furthermore, it is notable that no other features of ATIII that signal incomplete folding or misfolding were exploited by ER quality control in order to retain the non-native species, demonstrating a lack of redundancy between ER quality control pathways.

Interestingly, there are other proteins that possess unpaired Cys residues in their native sequences and nonetheless are efficiently secreted, including the serpin A1AT which possesses a single Cys (71). Additionally, when Cys 128 was added back to Cys-less ATIII, the resulting protein was secreted at a level near to that of the Cys-less variant. The observation of a higher molecular weight species in the non-reducing gel for this variant suggests that a population formed a disulfide bond either in an ATIII dimer or with another luminal species, cloaking the free thiol and allowing secretion of this ATIII variant (Fig 3). Thus, not all free thiols designate ER retention, and there must be additional determinants that contribute to a retention outcome. The most obvious factor is the solvent accessibility of a Cys, but even for ATIII an active mutant with an extra solvent accessible Cys (R57C) is properly secreted, while other mutants with a seventh Cys (Y63C, F402C, Y216C), are retained (78, 27), and some data suggest that Cys solvent accessibility does not impede secretion of functional WT A1AT (79, 80). How accessible single Cys
residues are to thiol-reactive proteins in the cell is not well understood. Additional factors involved in recognition may include the pKa of a given Cys, as Cys displays a wide variety of reactivity which is dictated by interactions with residues in the local environment (81), the presence of local hydrophobic domains, or oxidative modifications of a Cys. Future work should address these questions in order to determine the specific requirements of a thiol dependent quality control substrate.

Why did the other quality control pathways that monitor structural features associated with protein misfolding or incomplete folding not recognize the Cys-less ATIII variant? It is clear from our results that this construct is not natively folded, as it is inactive and protease labile. A major cellular strategy to recognize “unfoldedness” in a substrate is the exposure of hydrophobic surfaces or sequences. In the ER lumen, the most well studied quality control mediators that utilize hydrophobic surface belong to the BiP-ERdj network. Serpins have an ellipsoidal, watermelon shaped fold which increases their surface to volume ratio relative to other globular proteins that are more spherical (82, 83), suggesting the serpins are likely to be more hydrophilic than more common, more spherical protein folds. This higher hydrophilicity and lower hydrophobicity could interfere with BiP binding. Future work may explore the possibility of a poor interaction of Cys-less ATIII with BiP directly.

The carbohydrate chaperone system of the ER is responsible for directing the folding and retention of aberrant glycoproteins (63, 31). While retention is mediated by binding to the ER-resident lectin chaperones, calnexin and calreticulin, the decision for chaperone rebinding or ER retention is made by UGGT1. Though UGGT1 is understood to reglucosylate proteins that it determines to be non-native, the parameters by which UGGT1 select substrates remains incompletely understood. One general possibility is that UGGT1 recognizes generally misfolded proteins, as in the case of misfolded mutants of A1AT (45). A second hypothesis suggests that UGGT1 specifically recognizes on-pathway folding intermediates, so as to promote productive folding rather than futile rounds of reglucosylation of irreparable substrates (Caramelo et al., 2004). While it is hard to envision distinguishing features of on-pathway proteins from off-pathway targets, our cellular results are consistent with this model as WT ATIII was reglucosylated at a higher level than the misfolded off-pathway mutants of ATIII (Figure 5A and B). Studies using purified components have found that UGGT1 favors modifying glycopeptides that have hydrophobic patches C-terminal to the glycan (85). Analyzing ATIII with this pattern in mind did not reveal such hydrophobic sequences C-terminal to the N-linked glycans; by comparison, A1AT, which is a better substrate of UGGT1 (45), displayed two strong hydrophobic patches following glycans at Asn 70 and 271 (Supplemental Figure 2). This observation would account for the apparent poor recognition of ATIII by the glycan-dependent pathway of ERQC and underline its reliance on the thiol-dependent quality control pathway. The presence of the robust thiol dependent pathway for monitoring ATIII may have alleviated any evolutionary pressure to maintain motifs suitable for reglucosylation and the reliance on the UGGT1 directed carbohydrate-dependent quality control pathway. Further work examining the substrate preferences of UGGT1 in a cellular context are required to elucidate the selection process for this intriguing folding sensor.

The remaining puzzle in our findings is why persistent retention of misfolded ATIII variants does not correlate with degradation. We postulate that stability of retained ATIII mutants is promoted by weak interactions with the ERAD promoting proteins EDEM1/2/3 (Figure 8). Why C247A/C430A ATIII is not recognized by the EDEMs is unclear. However, the presence of higher molecular weights of C247A/C430A ATIII, as demonstrated by sucrose gradients (Fig 4), may indicate that C247A/C430A ATIII is present in small, disulfide linked aggregates with which the EDEMs are unable to interact. Despite weak degradation, cells expressing C247A/C430A ATIII did not show higher UPR activation as compared to cells expressing other ATIII variants (Fig 7). As such, it is unclear how cells are capable of maintaining proteostasis during retention of this substrate, though it is possible long term retention may more strongly activate
UPR or potentially other mechanisms such as autophagy.

It is notable that even other members of the serpin family, which fold to very similar structures, do not use the same quality control pathway as ATIII. Neuroserpin, though trafficked through the secretory pathway, lacks Cys residues and as such does not engage thiol-dependent quality control. Multiple serpins, such as serpinB3 (squamous cell carcinoma antigen 1 (SCCA1)), the human serpin with the highest sequence identity (39%) to ATIII, are cytoplasmic and therefore also must use unique quality control pathways as compared to secretory serpins. This suggests that universal protein quality control mechanisms are not generally applicable as even highly related proteins do not use the same quality control pathways. Rather, slight differences in specific substrate features dictate which quality control pathways are engaged.

Together these results point to hierarchies in ERQC that are tailored to particular proteins through the process of evolution. We found that the serpin ATIII, a protein containing multiple glycans and a hydrophobic core, and characterized in its native state by three disulfide bonds, is solely reliant on the thiol-dependent quality control pathway. In the case of ATIII, this heavy reliance on the thiol-dependent quality control pathway introduced a vulnerability, which was revealed by removing all six Cys residues from ATIII. The resulting protein was completely incapable of folding to a native, functional state, but nonetheless bypassed ERQC and was secreted efficiently. These results highlight the potential lack of redundancy between ER quality control pathways and demonstrate that general substrate features do not necessarily predict the quality control pathways of a substrate. Future studies should explore the reasons that ATIII variants were poorly recognized by key players in the other quality control systems as well as conduct a more detailed analysis of other serpins in order to elucidate the nuances of protein quality control and accurately predict the quality control pathways a substrate will engage. This predictive understanding will open the door to therapeutic approaches to improve ERQC and thus address defects in protein maturation and secretion.

**Experimental Procedures**

**Cell Culture**

M18-5 Chinese hamster ovary (CHO) cells were a gift from S. Krag (Johns Hopkins University, Baltimore, MD). CHO-K1 (Lot# 62960170) cells were purchased from ATCC. Cells were authenticated by universal mycoplasma detection kit (Cat. No. 30-012K, ATCC). WT MEF and Ugg1/-MEF cells were a gift from R.J. Kaufman and were generated as previously described (86, 87). CHO and M18-5 CHO cells were grown in alpha-MEM media supplemented with 10% fetal bovine serum (FBS) and 1% penicillin/streptomycin at 37 °C and 5% CO2. MEF cells were grown in DMEM supplemented with 10% FBS and 1% penicillin/streptomycin at 37 °C and 5% CO2. All cell culture reagents were purchased from ThermoFisher Scientific.

**Reagents**

The plasmid for pGEX-3X GST-calreticulin was from M. Michalak (University of Alberta, Edmonton, Canada). Antibodies used were: Monoclonal mouse Myc-tag 9B11 antibody, rabbit monoclonal C33E10 PERK (Cell Signaling), monoclonal mouse HA-probe 12CA5 (Santa Cruz Biotechnology), monoclonal mouse KDEL 10C3 DyLight 488 (Enzo Life Sciences), Goat anti-mouse secondary antibody Alexa Fluor 594 (ThermoFisher), monoclonal mouse anti-FLAG M2 F1804 (Millipore-Sigma), rabbit polyclonal anti-A1AT (Dako), and mouse monoclonal GAPDH 374 (Millipore-Sigma). Rabbit polyclonal affinity-purified anti-BiP was from L. Hendershot (St. Jude Children’s Research Hospital). S-tag agarose was purchased from Millipore-Sigma. All ATIII constructs, EDEM1, and EDEM2 were cloned into a pcDNA3.1(+) vector. pcDNA3.1(+)EDEM3-HA was from N. Hosokawa (Kyoto University). All chemicals were purchased from Millipore-Sigma, except where indicated.

**Metabolic Labeling**
Cells were pulse labeled for 30 min with 60 µCi of EasyTag Express\(^{35}\text{S}\) Protein Labeling Mix\(^{35}\text{S}\)-Cys/Met (PerkinElmer; Waltham, MA) in 3 cm plates and 120 µCi of \([^{35}\text{S}]\text{-Cys/Met}\) in 6 cm plates. Immediately after pulse, cells were washed with PBS and either lysed in lysis buffer with protease inhibitors (MNT; 20 mM MES, 100 mM NaCl, 30 mM, 0.5% Triton X-100, 50 µM calpain inhibitor I, 1 µM pepstatin, 10 µg/ml aprotinin, 10 µg/ml leupeptin, 400 µM phenylmethylsulfonyl fluoride, and 20 mM NEM) or chased for indicated time using regular growth media. Media and Triton X-100 insoluble fractions were collected where indicated.

**Immunoprecipitations and SDS-PAGE**

After lysis, samples were vortexed at high speed at 4 °C for 5 min then centrifuged at high speed at 4 °C for 5 min. The supernatant was then pre-cleared using protein-A sepharose beads (GE Healthcare) by end-over-end rotation for 1 hr at 4 °C. Samples were then centrifuged at 3,000 rpm for 5 min at 4°C and the beads were discarded. Samples were then incubated with protein-A sepharose beads and the indicated antibody overnight at 4 °C under end-over-end rotation. Samples were then washed with triton’s wash (100 mM Tris-HCl pH 8.6, 300 mM NaCl, 0.1% SDS, 0.05% Triton X-100) or lysis buffer without protease inhibitors, where indicated. The Triton-X 100 insoluble pellet was either discarded or solubilized in 1% SDS in 100mM Tris-HCl, pH 8 by trituration followed by high speed vortexing at room temperature, heating for 10 min at 95 °C, dilution in lysis buffer and sonication. Samples were eluted from beads using Werner’s sample buffer (30 mM Tris-HCl pH 6.8, 9% SDS, 15% glycerol, 0.05% Bromophenol Blue), and SDS-PAGE was performed. Radiolabeled samples were imaged by phosphorimaging using a GE Typhoon FLA 9500 phosphorimager (GE Healthcare) and quantified using ImageQuant (Fujifilm).

**Secretion assay**

Cells were seeded onto 3 cm plates, transfected, and metabolically labeled using \([^{35}\text{S}]\text{-Cys/Met}\), as previously described. After 30 min of pulse and the indicated time of chase, media and lysate portions were collected and immunoprecipitations were performed as previously described. After washing with triton wash, sample buffer was added and samples were analyzed by SDS-PAGE and imaged by phosphorimaging.

**Activity assay**

Cells were seeded into 6 cm plates, transfected, and metabolically labeled using \([^{35}\text{S}]\text{-Cys/Met}\), as previously described. After 30 min of pulse and 3 hr of chase, the media and lysate portions were collected and immunoprecipitations were performed as previously described. After washing with 0.5% Chaps HBS (0.5% Chaps, 50mM HEPES pH 7.5, 200mM NaCl), samples were eluted from beads with 10 µl of 0.5 mg/ml c-Myc peptide (Millipore-Sigma) for 1 hr at 37 °C. The beads were then discarded and the sample was split equally between treated and non-treated, 2 units of thrombin was added to treated samples and an equal volume of water to non-treated samples. Samples were then incubated for 1 hr at 37°C before the addition of sample buffer. All samples were then analyzed by SDS-PAGE and imaged by phosphorimaging.

**Protease sensitivity assay**

Cells were seeded into 6 cm plates, transfected, and metabolically labeled using \([^{35}\text{S}]\text{-Cys/Met}\), as previously described. After 30 min of pulse and 3 hr of chase, the media and lysate portions were collected and split equally into treated and non-treated samples. Samples were immunoprecipitated as previously described. After washing with 0.5% Chaps HBS, 0.05 µg of trypsin was added to treated samples and an equal volume of water was added to non-treated samples. Samples were then incubated for 15, 30, or 60 min at 37 °C before the addition of sample buffer. All samples were then analyzed by SDS-PAGE and imaged by phosphorimaging.

**Glycosylation assay**

Cells were seeded onto 3 cm plates, transfected, and metabolically labeled using \([^{35}\text{S}]\text{-Cys/Met}\), as previously described. After 30 min of pulse and 2 hr of chase, the media and
lysate portions were collected and immunoprecipitations were performed as previously described. After washing, samples were treated with either EndoH or PNGaseF (New England Biolabs), according to the manufacturer’s instructions. Samples were then analyzed by SDS-PAGE and imaged by phosphorimaging.

**Assay for the presence of free thiols**

Cells were seeded onto 3 cm plates, transfected, and metabolically labeled using \[^{35}\text{S} \text{-Cys/Met}, as previously described. After 30 min of pulse and 30 min of chase, the media and lysate portions were collected and split equally into treated and non-treated samples. To treated samples, 100 µl of Polyethylene glycol maleimide (Millipore-Sigma) was added to a final concentration of 1.4 mM and to the nontreated samples 100 µl of N-ethyl maleimide was added to a final concentration of 5 mM. Samples were then incubated at room temperature for 30 min. Treated samples were then quenched by adding dithiothrietol (DTT) (ThermoFisher) to a final concentration of 100 mM. Immunoprecipitations were then performed as previously described. All samples were then analyzed by SDS-PAGE, imaged by phosphorimaging, and quantified using ImageQuant (Fujifilm).

**Immunofluorescence**

CHO cells were seeded onto glass coverslips in alpha-MEM supplemented with 10% FBS. 24 hr later cells were transfected with the indicated plasmid using polyethyleneimine (PEI) (Polysciences Incorporated; Warrington, PA) according to the manufacturer’s instructions. After 16 hr, cells were washed with phosphate buffered saline (PBS) (2.7 mM KCl, 1.5 mM KH2PO4, 136.9 mM NaCl, 8 mM Na2PO4, pH 7.4) and fixed using 3.7% formaldehyde for 15 min, permeabilized using 0.1% Triton X-100 in PBS for 15 min, then washed with PBS and blocked with 10% FBS in PBS for 30 min. Cells were incubated in Myc-tag antibody in 10% FBS in PBS (1:500) for 1 hr, washed with PBS, blocked with 10% FBS, and incubated with highly cross-adsorbed Goat-anti Mouse IgG secondary antibody conjugated to Alexa Fluor 594 (1:500) for 1 hr. Cells were then incubated with KDEL antibody conjugated to DyLight 488 (Enzo Life Sciences) (1:200) for 1 hr. Cells were then washed with PBS and mounted to glass slides using vectashield with DAPI (VectorLabs; Burlingame, CA) and sealed with nail polish. All images were acquired using an Olympus Fluoview FV1000 confocal microscope. Images were taken at 100X oil immersion. Images were processed with Adobe Photoshop.

**Sucrose gradients**

Cells were seeded onto 10 cm plates and transfected. Media and lysate fractions were collected. Lysate fractions were then split equally between DTT treated and non-treated samples. Treated samples contained 100 mM DTT. Sucrose gradients were made by solubilizing sucrose into MNT to a concentration of 10% or 40%. 6 ml of 10% sucrose was then laid on top of an equal volume of 40% sucrose and the gradient was established using a BioComp Model 153 gradient station (BioComp Instruments; Fredericton, NB). Samples were laid on top of the 10-40% sucrose gradient. Gradients were centrifuged at 38,000 rpm for 18 hr in a Beckman Optima L-90K Ultracentrifuge. Samples were taken from the gradient by pipetting 1 ml from the top of the gradient using a wide-bore pipette tip, treated with trichloroacetic acid to a final concentration of 10% and incubated for 30 min. Samples were then centrifuged at 14,000 rpm in a bench-top centrifuge for 10 min at 4 °C, washed with acetone, and centrifuged at 14,000 rpm for 10 min at 4 °C. Reducing sample buffer was added to all samples followed by analysis by SDS-PAGE and western blot.

**Western Blot**

After SDS-PAGE, gels were washed with ultra-pure water and transfer membrane (Immobilon-FL; Millipore) was pre-treated according to the manufacturer’s instructions. Transfer was then conducted using a wet-transfer apparatus (Invitrogen Novex mini-cell). Blots were blocked in 5% milk, 2% BSA in PBS solution for 1 hr under gentle shaking at room temperature. Primary antibodies at the indicated concentration in a 5% milk, 2% BSA, PBST (2.7 mM KCl, 1.5 mM KH2PO4, 136.9 mM NaCl, 8 mM Na2PO4, 0.5% Tween 20, pH 7.4) solution
were added to blots and incubated overnight at 4 
°C under gentle rotation. Blots were then 
washed with PBST and incubated with IRDye 
800CW conjugated goat secondary antibody 
against the appropriate species (LI-COR; 
Lincoln, NE) in a 5% milk, 2% BSA, 0.02% 
SDS, PBST solution for 1 hr at 25 °C under 
gentle shaking. Blots were then washed with 
PBST and imaged using a LI-COR Odyssey 
CLx imaging system.

**GST-calreticulin pull down**

Recombinant GST-calreticulin was 
expressed in *Escherichia Coli* and purified as 
previously described (39, 88). MI8-5 cells were 
seeded into 3 cm plates, transfected, and 
metabolically labeled using 
[^35S]-Cys/Met, as previously described. Cells were either treated 
with N-butyl deoxynojirimycin (Toronto 
Research Chemicals) for 30 min prior to the 
experiment and then continuously throughout or 
for 15 min prior to each time point, as indicated. 
80% of the cell lysate was incubated with 8 µg 
of GST-Calreticulin pre-bound to glutathione 
beads (GE Life Sciences) overnight at 4 °C 
while 20% of the lysate was immunoprecipitated 
with Myc antibody, as previously described. All 
samples were washed with triton wash and 
reducing sample buffer was added to the 
immunoprecipitations. GST-calreticulin samples 
were treated with 20 µl of elution buffer (1% 
SDS in 10 mM Tris, pH 7.5, 150 mM NaCl) at 
95 °C for 10 min, centrifuged for 2 min at 2000 
rpm, the supernatant was collected and quenched 
with MNT buffer, followed by 
immunoprecipitation with protein-A sepharose 
beads with Myc antibody, as previously 
described. Beads were then washed with triton 
wash and the sample was treated with reducing 
sample buffer. Sample was then analyzed by 
SDS-PAGE, imaged by western blotting, as previously described, using 
the following antibodies; anti-BiP (1:1000), Myc 
(1:2,500), A1AT (1:500), and GapDH (1:1000).

**XBP1 Splicing**

Cho cells were seeded onto 10 cm plates and 
transfected for 24 hr. Untransfected cells were 
either treated with tunicamycin (5 µg/ml) in 
DMSO or DMSO alone for 24 hr. Total RNA was then collected using an 
RNeasy kit (Qiagen). cDNA was then generated 
using AMV Reverse Transcriptase (NEB) and 
mouse *Xbp1* primers (89), according to the 
manufacturer’s instructions. The resulting cDNA 
product was then separated using a 2.5% agarose 
gel and visualized using a G:BOX (Syngene).

**BIP expression**

Cells were seeded onto 10 cm plates and 
transfected for 24 hr. Untransfected cells were 
either treated with tunicamycin (5 µg/ml) in 
DMSO or DMSO alone for 24 hr. Cells were then 
lysed using MNT and trichloroacetic acid (TCA) precipitated. TCA precipitation was 
conducted by adding TCA to cell lysate to a 
final concentration of 10%. Cell lysate was then 
briefly rotated and allowed to incubate on ice for 
15 min before spinning at 14,000 rpm for 10 min 
at 4 °C. Supernatant was then aspirated and 
was washed with acetone and centrifuged for 10 min 
at 4 °C. Supernatant was then aspirated and the 
remaining precipitant was allowed to dry for 5 
minutes at room temperature and briefly at 65 
°C. Reducing sample buffer was then added and 
5% of total lysates were resolved on a 9% 
reducing SDS-PAGE. Gels were then imaged by 
western blotting, as previously described, using 
the following antibodies; anti-BiP (1:1000), Myc 
(1:2,500), A1AT (1:500), and GapDH (1:1000).

**PERK phosphorylation**

Cells were seeded onto 10 cm plates and 
transfected for 24 hr. Untransfected cells were 
either treated with tunicamycin (5 µg/ml) in 
DMSO or DMSO alone for 24 hr. Cells were then 
lysed using MNT and TCA precipitated as 
previously described. 5% of total lysates were 
resolved on a 9% reducing SDS-PAGE. Gels 
were then imaged by western blotting, as 
previously described, using the following 
antibodies: PERK (1:500), Myc (1:2,500) and 
A1AT (1:500).

**Co-Immunoprecipitations**

Cells were seeded onto 3 cm plates, 
transfected, and metabolically labeled using 
[^35S]-Cys/Met, as previously described. After 30 
min of pulse and the indicated chase times, cells 
were lysed and the lysate was collected. For 
EDEM co-IPs, half of the plates were lysed in 
MNT. For OS9.2 and XTP3B, half of the plates
were lysed in buffer containing 150 mM NaCl, 5 mM EDTA pH 7.4, 50 mM Tris pH 7.4, 1% Triton X-100, 50 µM Calpain inhibitor I, 1µM pepstatin, 10 µg/ml aprotinin, 10 µg/ml leupeptin, 400 µM phenylmethylsulfonyl fluoride, and 20 mM NEM. In all cases, the other half of plates were lysed with 2% CHAPS HBS buffer. Cell lysates were then split equally and immunoprecipitated for either substrate or ERAD factor. ATIII was immunoprecipitated as previously described. AIAT NHK was immunoprecipitated using AIAT antibody and protein-A agarose beads EDEM1/2 were immunoprecipitated using Flag-tag and protein-A agarose beads while EDEM3 was immunoprecipitated using HA-tag and protein-A agarose beads. IPs were then washed with triton wash buffer if lysed using 1% triton X-100, or 0.5% CHAPS HBS buffer if lysed using 2% CHAPS HBS. After washes, sample buffer was added and samples were analyzed by 9% reducing SDS-PAGE and imaged by phosphorimaging.

Statistics

Percentages of reglucosylation were calculated by dividing the number obtained from quantification of bands in the GST-calreticulin lanes by the number obtained from quantification of bands in the single immunoprecipitation lanes multiplied by four to correct for the amount of cell lysate used in each pull-down. The comparative percentage of reglucosylation was then obtained by dividing the amount of reglucosylation of mutant protein over that of wild type. For all quantifications, error bars were calculated by determining the standard deviation of three independent samples. Statistical significance was determined by using an unpaired \( t \)-test with a confidence interval of 95%.
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Figure 1. Inactive and misfolded ATIII Cys-less is improperly but efficiently secreted. (A) ATIII and ATIII Cys-less were expressed in CHO cells. Cells were radiolabeled with [35S]-Cys/Met for 30 min and chased for the indicated times. At each time point, cell lysate and media were collected. Cells were lysed in MNT buffer. ATIII was immunoprecipitated using anti-Myc antibodies. Samples were resolved by reducing 9% SDS-PAGE. (B) Quantification of ATIII secretion from panel A. The lysate and media was quantified and ATIII secretion is presented as a percentage of ATIII in the media to ATIII in the 0 hr lysate. (C) ATIII WT and ATIII Cys-less were expressed in CHO cells and radiolabeled with [35S]-Cys/Met for 30 min and chased for 3 hr. Cells were lysed in MNT buffer. Cell lysate and media were collected and ATIII was immunoprecipitated using anti-Myc antibodies and washed with buffer containing 0.5% CHAPS. ATIII was then eluted from the immunoprecipitation beads by incubation with 0.5 mg/ml c-Myc peptide for 1 hr at 37 °C. Sample was then evenly split between treated and non-treated samples. 0.648 mg (2 units) of thrombin were added to treated samples while non-treated samples received an equal volume of water. Samples were then incubated at 37 °C for 1 hr and resolved by reducing 9% SDS-PAGE. (D) ATIII WT and ATIII Cys-less were expressed in CHO cells. Cells were radiolabeled with [35S]-Cys/Met for 30 min, chased for 3 hr, and cell lysate and media were collected. Cells were lysed in MNT buffer. ATIII was immunoprecipitated using anti-Myc antibodies. 0.05 μg of trypsin was added to treated samples and an equal volume of water was added to non-treated samples. Samples were then incubated at the indicated times at 37 °C and resolved by reducing 9% SDS-PAGE. (E) Quantification of ATIII remaining post-trypsin degradation from panel D. (F) ATIII WT and Cys-less were expressed in CHO cells. Cells were radiolabeled for 30 mins with [35S]-Cys/Met, chased for 2 hr, and cell lysate and media were collected. Cells were lysed in MNT buffer. ATIII was immunoprecipitated using anti-Myc antibodies. Lysate and media samples were treated with EndoH, PNGaseF, or left untreated. Samples were then resolved on a reducing 9% SDS-PAGE. All experiments are representative experiments of three independent experiments.
Cellularly retained ATIII C247A/C430A is inactive and contains a higher level of free thiols. (A) WT ATIII and ATIII disulfide mutants were expressed in CHO cells. Cells were radiolabeled with [35S]-Cys/Met for 30 min and chased for the indicated times. At each time point, cell lysate and media were collected and processed as described in Figure 1A. (B) Quantification of ATIII secretion from panel A. The lysate and media were quantified and ATIII secretion is presented as a percentage of ATIII in the media to ATIII in the 10 min lysate. (C) ATIII and ATIII disulfide mutants were expressed in CHO cells, radiolabeled with [35S]-Cys/Met for 30 min and chased for 3 hr. Cells were lysed in MNT buffer. Cell lysate and media were collected and ATIII was immunoprecipitated using anti-Myc antibody and washed with 0.5% CHAPS buffer. ATIII was then eluted from the immunoprecipitation beads by incubation with 0.5 mg/ml c-Myc peptide for 1 hr at 37°C. Samples were then evenly split between treated and non-treated samples. 0.648 mg (2 units) of thrombin were added to treated samples while non-treated samples received an equal volume of water. Samples were then incubated at 37°C for 1 hr and resolved by reducing 9% SDS-PAGE. (D) WT ATIII and ATIII disulfide mutants were expressed in CHO cells, radiolabeled with [35S]-Cys/Met for 30 min and chased for 30 min. Cells were lysed in MNT buffer. To treated samples, PEG-Maleimide was added to a final concentration of 1.4 mM and to the non-treated samples N-ethyl maleimide was added to a final concentration of 5 mM. Samples were incubated at room temperature for 30 min. Treated samples were then quenched with DTT at a final concentration of 100 mM. ATIII was immunoprecipitated using anti-Myc antibodies and samples were resolved by reducing 9% SDS-PAGE. (E) Quantification of PEG-Maleimide unmodified ATIII from panel D. Percent PEG-Maleimide unmodified was determined by quantifying the amount of unmodified ATIII in PEG-Maleimide treated and non-treated samples and dividing the treated sample by the untreated sample. All experiments are representative of three independent experiments.
Figure 3. ATIII with a single free thiol is retained in the ER. (A) ATIII Cys-less and ATIII single Cys mutants were expressed in CHO cells. Cells were radiolabeled with [35S]-Cys/Met for 30 mins and chased for the indicated times. At each time point, cell lysate and media were collected and processed as previously described in Figure 1A. Samples were resolved by both reducing (R) and non-reducing (NR) 9% SDS-PAGE. (B) Quantification from panel A. Asterisks denote statistical significance relative to Cys-less. All experiments are representative of three individual experiments.
Figure 4. ATIII C247A/C430A is retained and diffuse throughout the ER. (A) ATIII WT, ATIII Cys-less and ATIII C247A/C430A were expressed in CHO cells. Cells were fixed in buffer containing 3.7% formaldehyde, permeabilized in buffer containing 0.1% Triton X-100 and stained using anti-Myc antibodies, KDEL, and giantin primary antibodies, as indicated, goat anti-mouse IgG secondary antibody, and DAPI. Cells were imaged using a confocal epifluorescence microscope at 100x oil immersion. (B) ATIII WT, ATIII Cys-less, and ATIII C247A/C430A were expressed in CHO cells. Cells were lysed in MNT. Media and lysate fractions were collected and split equally between DTT-treated and non-treated fractions. Sucrose gradients were generated by solubilizing sucrose into MNT and a 10-40% gradient was established. Samples were then laid on top of the sucrose gradient. Gradients were then centrifuged at 38K rpm for 18 hours. Samples were taken from the gradient by pipetting 1 ml from the top of the gradient. Samples were TCA precipitated and then resolved on a 9% reducing SDS-PAGE and imaged by western blot with Myc-tag antibody.
**Figure 5.** Retention of C247A/C430A in the ER does not require UGGT1. (A) ATIII variants were expressed in MI8-5 CHO cells. 30 minutes prior to the pulse and throughout the chase, cells were treated with 0.5 mM N-butyl deoxynojirimycin (DNJ). Cells were radiolabeled with $[^{35}S]$-Cys/Met for 30 mins and chased for the indicated times. At each time point, cells were lysed in MNT buffer. 80% of the cell lysate was affinity purified with Glutathione S-transferase-tagged calreticulin (GST-CRT) while 20% of the cell lysate was immunopurified with anti-Myc antibody. GST-CRT affinity purifications were then eluted in buffer containing 1% SDS, diluted in MNT, and immunopurified using anti-Myc-Tag antibody. Samples were resolved by reducing 9% SDS-PAGE. (B) Quantification of reglucosylation in panel A. Percent reglucosylation for each ATIII variant is calculated by quantifying the bands corresponding to ATIII, multiplying the lysate band by 4, and dividing the amount of ATIII in the sequential IP by the amount of ATIII in the non-sequential IP at each time point. All reglucosylation values are normalized to WT ATIII. (C) Same as panel A, except DNJ was added 15 mins prior to each time point, not throughout the experiment. (D) Quantification of reglucosylation in panel C. Percent reglucosylation was calculated as described in B. (E) ATIII and ATIII C247A/C430A were expressed in both WT and UGGT1$^{-/-}$ MEF cells. Cells were radiolabeled with $[^{35}S]$-Cys/Met for 30 mins and chased for the indicated times. At each time point, cell lysate and media were collected and processed as described in Figure 1A. (F) Quantification of ATIII secretion from panel E. The lysate and media were quantified and ATIII secretion is presented as a percentage of ATIII in the media to ATIII in the 0 hour lysate. All experiments are representative of three independent experiments.
**Figure 6.** ATIII C247A/C430A is poorly degraded. (A) ATIII WT and disulfide mutants were expressed in CHO cells. Cells were radiolabeled with [35S]-Cys/Met for 30 min and chased for the indicated times. At each time point, cell lysate, media, and Triton X-100 insoluble fractions were collected and processed as described in Figure 1A. (B) Quantification of A. The percent of each protein remaining was calculated by quantifying ATIII present in each fraction of the reducing gel and dividing by the amount of ATIII immediately after the chase (0 hr). The amount of protein in the indicated fraction at each time point is represented as a fraction of the total amount of protein present at that time point. All experiments are representative of three independent experiments.
Figure 7. IRE-1 but not ATF6 or PERK is activated by ATIII overexpression. (A) ATIII variants and A1AT NHK were expressed in CHO cells for 24 hr. Control cells were treated with DMSO or tunicamycin (Tm) for 24 hr. Cells were lysed and RNA was collected. cDNA was generated and amplified via PCR using XPB-1 specific primers. (B) ATIII variants and A1AT NHK were expressed in CHO cells for 24 hr. Control cells were treated with either DMSO or Tm for 24 hr. Cells were then lysed with MNT and TCA precipitated. 5% whole cell lysate was then resolved on a 9% SDS-PAGE and imaged by western blot using anti-Myc antibody (ATIII), anti-Perk antibody, and anti-A1AT antibody (C) ATIII variants and A1AT NHK were expressed in CHO cells for 24 hr. Control cells were treated with either DMSO or Tm for 24 hr. Cells were then lysed with MNT and TCA precipitated. 5% whole cell lysate was then resolved on a 9% SDS-PAGE and imaged by western blot using the indicated antibodies. (D) Quantification of panel B. Relative BiP expression was calculated by normalizing all BiP levels to DMSO, using GAPDH as a loading control. All experiments are representative of three independent experiments.
Figure 8. ATIII C247A/C430A binds poorly to EDEM1, EDEM2, and EDEM3. (A) Flag-tagged EDEM1 was co-expressed with Myc-tagged ATIII WT, Myc-tagged ATIII C247A/C430A, and A1AT NHK in CHO cells, as indicated. Cells were radiolabeled with [35S]-Cys/Met for 30 min and chased for the indicated times. Cells were lysed in MNT buffer. Lysates were then split equally and immunoprecipitated with either anti-Myc, Flag, or A1AT antibodies, as indicated. Samples were resolved by reducing 9% SDS-PAGE and imaged by phosphorimaging. EDEM1 is denoted by an asterisk, while ATIII and A1AT NHK are denoted by a filled circle. (B) Quantification of EDEM1 co-immunoprecipitation from panel A. Percent co-immunoprecipitation was determined by dividing the amount of EDEM1 immunoprecipitated by ATIII or NHK to total EDEM1. (C-F) Either Flag-tagged EDEM2 or HA-tagged EDEM3 were co-expressed with Myc-tagged ATIII WT, Myc-tagged ATIII C247A/C430A, and A1AT NHK in CHO cells. Lysates were treated the same as previous panels and immunoprecipitated using the indicated antibodies. Quantifications were conducted as described in panel B. All experiments are representative of three independent experiments.
**Substrate Features**

Glycans +
Cysteines +
Hydrophobic +
Glycan +
Thiol +
ATIII +

**Figure 9.** ATIII quality control model. Substrate features are generally understood to dictate the ER quality control pathways substrates engage, as depicted by arrows corresponding to different combinations of substrate features traversing quality control pathways. ATIII does not follow expected quality control pathways, but rather engages only the thiol-dependent quality control.
Proper secretion of the serpin antithrombin relies strictly on thiol-dependent quality control
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