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On the Performance of Small-Scale Horizontal Axis Tidal Current Turbines. Part 1: One Single Turbine

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Abstract: The blade number of a current tidal turbine is one of the essential parameters to increase the stability, performance and efficiency for converting tidal current energy into rotational energy to generate electricity. This research attempts to investigate the effect of blade number on the performance of a small-scale horizontal tidal current turbine in the case of torque, thrust coefficient and power coefficient. Towards this end and according to the blade element momentum theory, three different turbines, i.e., two, three and four-bladed, were modeled using Solidworks software based on S-814 airfoil and then exported to the ANSYS-FLUENT for computational flow dynamics (CFD) analysis. SST-K-ω turbulence model was used to predict the turbulence behavior and several simulations were conducted at 2 ≤ tip speed ratio ≤ 7. Pressure contours, turbulence kinetic energy contours, cut-in-speed-curves, and streamlines around the blades and rotors were extracted and compared to provide an ability for a deep discussion on the turbine performance. The results show that in the case of obtainable power, the optimal value of tip speed ratio is around 5, so that the maximum power was achieved for the four-bladed turbine. Out of optimal condition, higher blade number and lower blade number turbines should be used at less than and greater than the optimal values of tip speed ratio, respectively. The results of simulations for the three-bladed turbine were validated against the experimental data with good agreement.

Keywords: tidal energy; horizontal axis tidal current turbine; TSR; power coefficient; CFD analysis

1. Introduction

Global air pollution is becoming more severe day to day due to the extreme use of petroleum derivations or fossil fuels as non-renewable energy resources [1]. Commercial energy in many developed and developing countries depends on imported petroleum, which increases this air pollution [2]. So, employing renewable energy which considerably helps to prevent climate change and to ensure energy security in the coming century is unavoidable [3]. Renewable energy resources are reliable alternative energy sources because of their availability, environmental friendliness, predictability and potential to minimize both visual and noise pollution [4]. These renewable sources include bio-flues, solar, wind, geothermal, marine, and hydropower energy, and others [5,6].

Tidal current energy is a type of marine energy which is completely reusable due to the ebb and flow of a tide owing to the gravitational effect of the moon. The attractiveness of tidal current energy is because of its abundance and ease and cost-effectiveness of extraction [7,8]. Recent studies [9,10]...
discovered that a large amount of available tidal energy remains unexploited, while it is an extremely dense source which can be a major contributor to global energy markets. Tidal current turbines (TCTs) of both types, namely horizontal and vertical, are such devices which have been actively designed and developed to take advantage of the tidal resource potential for converting tidal current energy to electricity [11]. Nevertheless, horizontal axis tidal current turbines (HATCTs), have been reported to harness more energy for producing power [4,12,13].

There are several reported studies contributing to the HATCTs via different points of view [13–17]. The existing literature includes studies on the performance of unidirectional and bi-directional HATCTs, through computational, pilot model tests and full-scale trials. Some researchers investigated the thrust and power outputs of scaled turbines subjected to regular waves superposed on the current [18–21]. Although the mean values were found to be analogous to current-only situations, standard deviations were considerably greater; up to 40% of their mean values. Pilot experimental studies to investigate the influences of turbine yaw and wave frequency and amplitude on HATCTs performance were presented in [22]. The results given in the recent work are in good agreement with [23–25], which also considered the effects of wave loading based on the advanced blade element momentum theory codes. Xiaorong et al. [26] developed a computational flow dynamics (CFD) model based on an experimental setup to evaluate the influences of HATCT on surface wave dynamics. Around 3% decrement in wave height was estimated under the effect of a standalone turbine located 0.4 m from the free water surface. Another CFD study for a full scale HATCT was conducted to survey the impact of waves and speed profiles on the turbine performance. It was observed that varying sea state may lead to a smoothing effect in this HATCT response, but that with further structural evaluation, it may be that some measuring and mitigation techniques are required in the event of a predominantly single long period in-phase wave. Recently, an advanced fluid solid interaction (FSI) turbulent model based on coupling a combined finite-discrete element solid model with a finite element CFD model was reported to investigate the interaction between the moving solids and turbulent flow [27,28]. This interaction was modeled as a thin shell mesh surrounding the solid surface and acted as a delta function to apply the boundary conditions for both the fluid momentum equation and turbulence model. The other developments in the case of FSI can be found in [29–34].

There are some studies in the literature that focused on the HATCTs geometry variations. Henriques et al. [35] investigated the influence of blade pitch angle on HATCTs’ performance and realized that the extracted power and thrust decrease in parallel with the deviation of the blade pitch angle from the optimum value. A three-bladed HATCT was designed by Goundar et al. [13] with hydrofoils placed in different locations. The hydrodynamic characteristics of this HATCT were analyzed and the configuration with the best performance was introduced. Huang et al. [36] showed that using a symmetric hydrofoil to design a bi-directional counter-rotating HATCT improves its performance considerably. A more detailed investigation reported that a higher tilt and depth of the turbine axis leads to reaching a maximal power coefficient up to 0.93 [37]. Chul Hee Jo et al. [14,16] showed that an appropriate calculation of chord length and twist angle of blades considering tip loss has a significant effect on achieving a max power coefficient. Recently, the application of HATCTs surrounded by ducts to increase their performance was reported in the literature [37,38].

Pluralization of the literature shows that a modern geometry of blades should have stability and efficiency with economic justification to manufacturing and usage. The prevailing belief is to use the three-bladed HATCTs for avoiding the vibrations due to the gyroscopic imbalances and decreasing the wake phenomenon effect. However, in the case of two-bladed HATCTs, the cost of turbine production is lower and the installation process becomes more straightforward. In addition, it has a high tip speed ratio (TSR) when maximum efficiency is achieved, and thus the gear box and generator prices and sizes may be reduced. On the other hand, with the economic approach, it is not necessary to design a turbine with more than four blades [16]. The effect of scalability of two-bladed HATCTs on their performance and under different operation conditions has been recently reported [39]. Nevertheless, the authors could not find a comprehensive report in which the HATCTs’ performance with different
blade numbers under different operation conditions were compared. The first reason that comes to mind is the high costs of experimental model tests with a wide range of measurement equipment, despite its advantage to obtain reliable information. So, switching to numerical simulation approaches, e.g., CFD, can achieve diverse results at a far lower cost in several different fields, such as power, wake, TSR etc.

This study provided two, three and four-bladed small-scale HATCT's performance using CFD analysis subjected to different TSRs. To perform a comprehensive study, the authors compared the thrust coefficient ($C_T$), torque, power coefficient ($C_p$), pressure contours, turbulence kinetic energy (TKE) contours, streamlines around the blades airfoil, rotors’ streamline distributions, streamline and velocity distribution on the blades, and velocity distribution curves along the vertical axis at the pressure side, and finally the differences in the power diagram for different turbines were extracted and compared as the output parameters. This study focused on one small-scale single turbine; nonetheless, the present outcomes may be of high interest to those engineers who intend to investigate HATCT farms in a vertical array design, such as shown in [40]. This research is one of the rare reports in which a comprehensive CFD result is presented, and shows why, where, and how a HATCT must be used based on the number of blades. In addition, the article's outcomes are useful for researchers who are interested in designing a turbine based on the different functional conditions.

2. Numerical Procedure

In the present research, the combination of the momentum and the blade element theory equations [41], which is called the blade element momentum theory (BEMT), was applied to design three types of a 36 W HATCT, i.e., 2, 3 and 4-bladed turbines. S-814 airfoil (Figure 1), which is utilized in marine current turbines [14], such as the SeaGen project [42], was selected for the design, and the airfoil data were the input of BEMT to generate the blades profile. Several researchers have assessed the turbine performance by S-814 airfoil and reported accepted efficiency due to its appropriate lift [14,16,43,44]. Figure 2 shows the arrangement of airfoils at equal intervals that forms the HATCT's blades.

Based on the BEMT, the twist angle and the cord length required to visualize a 3D blade model were determined and the frameworks were plotted using Solidworks modeling software, as displayed in Figure 3. The 3-bladed turbine previously investigated numerically and experimentally by Hee Jo et al. [16] and in this research was adapted and used to model validation. The rotor diameter, ($D$), was considered as 500 mm and the free stream velocity was 1 m/s. Since the design rotational
speed is a variable of turbines TSR, it is different for each simulation condition and needs to be calculated separately.

![Solid 3D models of horizontal axis tidal current turbines (HATCTs).](image)

(a) 2-bladed   (b) 3-bladed   (c) 4-bladed

**Figure 3.** Solid 3D models of horizontal axis tidal current turbines (HATCTs).

### 2.1. Computational Domain and Boundary Condition

Figure 4 displays the computational domain adopted according to the experimental condition in [16]. It includes the HATCT and its frame as the internal domain, the external domain in which the fluid flows, and also the boundary conditions. The external domain is a rectangular channel which is the same as that for the laboratory flume in [16]. The related dimensions of this external domain are 4750, 1000, and 800 mm for the length, width, and height, respectively, and the cylindrical internal domain has a diameter of 500 mm and its width is 150 mm.

![Computational domain for simulation.](image)

**Figure 4.** Computational domain for simulation.

The inlet velocity condition was considered as the inlet condition of the external domain, with the value of 1.0 m/s meaning the design speed. The external domain outlet area was assigned the pressure outlet condition so that it can be computed based on the flux variations due to the distance from the turbine. Wall condition was applied to the sides and floor of the external domain, which was similar to the environment of the flume, and the top side employed the symmetry boundary condition. The frame motion condition was applied as the interface condition between the fluid and the turbine. All the mentioned boundary conditions were reported as being used by other researchers in similar investigations [16,45,46].

### 2.2. Mesh Generation

An unstructured mesh was used for the external computational domain. To predict the results around the turbine blades, a dense layer of structured meshes was generated and the rest of the area was composed of coarse meshes. For the turbine, inflation was used and tested for different simulations to ensure Y+ ≤ 1 with respect to the turbulence model. Figure 5 shows the composed grid system in the different parts of the computational domain.
A grid convergence study was conducted for simulations through several steps for ensuring that the answers converge to the resampling of the meshes. The number of meshes used for the 3-bladed turbine model and difference between the achieved $C_p$ is shown in Table 1. In addition, the number of meshes for different models to obtain a converged analysis is tabulated in Table 2.

**Table 1. Result of convergence study for 3-Bladed turbine.**

| Element Number  | $C_p$ |
|-----------------|-------|
| 1043915         | 0.32  |
| 1581331         | 0.34  |
| 2055727         | 0.36  |
| 2672135         | 0.36  |

**Table 2. Number of meshes and grids for different models used in the present study.**

| Model           | Number of Meshes | Number of Grids |
|-----------------|------------------|-----------------|
| 2-bladed turbine| 1861361          | 367503          |
| 3-bladed turbine| 2055727          | 367503          |
| 4-bladed turbine| 2867242          | 612926          |

### 2.3. CFD Analysis

The finite volume method was employed to simulate the fluid flow in the computational domain. The SST-K-$\omega$ turbulence model [47,48] was used to implement analysis around the turbines. This turbulence model combined the K-$\omega$ and standard K-$\omega$ models to simultaneously consider the free flow and flow limited to the wall. Hence, it is more accurate and reliable for more extensive classifications of streams around the airfoils, such as conventional sound waves and negative gradient fluxes [10], with acceptable precision [14,16,45]. Therefore, this model was employed to run the simulations under CFD analysis through the ANSYS-FLUENT software. The SIMPLE algorithm was utilized to express the relationship between speed and pressure in which the pressure was discretized based on the second order method and the other terms were discretized as the first order upwind. Density of water was considered as 1025 kg/m$^3$, equal to seawater with a viscosity of 0.001.

### 3. HATCT Performance Indicators

HATCTs convert fluid energy into electrical power through rotative motion. So, factors such as fluid velocity, angular velocity, thrust force ($T$), and turbine size are of particular importance. TSR is one of the parameters which includes the abovementioned factors and is defined as:

$$\text{TSR} = \frac{\omega R}{V}$$
where \( V, \omega, \) and \( R \) are the fluid velocity at the input, angular velocity, and the rotor radius, respectively. Another important and influential factor to determine the turbine performance is \( C_p \), which can be calculated as follows:

\[
C_p = \frac{P}{0.5 \rho AV^3}
\]

where \( A \) is the cross-sectional area of the rotor, \( \rho \) is the fluid density, and \( P \) is the amount of power that is obtained by multiplication of torque \( t \) by \( \omega \). Therefore, Equation (2) can be rewritten as:

\[
C_p = \frac{t \omega}{0.5 \rho AV^3}
\]

The other non-dimensional parameters which are required for performance analysis of HATCT are \( C_t \) and \( C_T \). These parameters can be described through the following equations [35,49]:

\[
C_t = \frac{t}{0.5 \rho AV^2}
\]

\[
C_T = \frac{T}{0.5 \rho AV^2}
\]

### 4. Results and Discussion

#### 4.1. Model Validation

To compare the precision of the employed modeling technique, the results of simulations for three-bladed HATCT are tabulated against the experimental data presented in [16]. Table 3 shows the comparison of \( C_p \) obtained from the present and Hee Joo et al. [16] CFD simulations and the reported experiments for \( TSR = 5 \) and also Jing et al. [43]. The discrepancy between the experimental and numerical values of \( C_p \) for both the present and Hee Joo et al. [16] models was less than 27%. However, the present CFD model predicted a more precise value of \( C_p \) (around 10% error) than that predicted by the models in [16] and [43].

| Type of Model | Flume Width (m) | Water Height (m) | Velocity (m/s) | N | D (m) | \( \Omega \) (rpm) | \( C_p \) | Error (%) |
|---------------|----------------|------------------|----------------|---|-------|-----------------|--------|-----------|
| Experimental [16] | 1 | 0.8 | 1 | 3 | 0.5 | 191 | 0.4 | - |
| CFD Model [16] | 1 | 0.8 | 1 | 3 | 0.5 | 191 | 0.51 | 27 |
| CFD Model (present study) | 1 | 0.8 | 1 | 3 | 0.5 | 191 | 0.36 | 10 |
| Experimental [43] | 7 | 3.5 | 1 | 2 | 0.7 | 136.41 | 0.3 | - |
| CFD Model (present study) | 7 | 3.5 | 1 | 2 | 0.7 | 136.41 | 0.268 | 10.6 |

To further investigate the model validation, pressure contours at \( TSR = 5 \) are plotted in Figure 6 for both present and Hee Joo et al. [16] CFD models. As it is clear, the trend predicted by both models is very close, especially in the case of surfaces with positive and minus pressure distribution. Therefore, considering the \( C_p \) and pressure contour estimated by the present model, it can be expressed that this model is adequate to obtain an accurate representation of the HATCT performance.
4.2. Pressure Contours

The pressure distribution contours on the pressure and suction sides of the turbines blade for all types of HATCTs presented in this study at different amounts of TSR are shown in Figures 7–12. From these figures, it can be realized that the value of pressure drops for all types of HATCTs and in a different amount of TSR is around $3.8 \times 10^4$ Pa. For all cases, the maximum pressure occurred in the leading edge, because when the blades are flush with the fluid, the velocity of the fluid becomes zero, which leads this area to obtain a higher value of pressure than the other areas.

![Pressure distribution contours](image)

Figure 6. Pressure distributions contours (pressure side) in the 3-bladed turbines at tip speed ratio (TSR) = 5.

(a) Present study

(b) Hee Jo. et al. [16]

Figure 7. Pressure distribution contour; TSR = 2.
In Figures 7–12, it is also revealed that the pressure values in the leading-edge region for the tip area were increased with an increase in the amount of TSR. In fact, when TSR increases, the value of blade velocity enhances due to the fluid impact and, consequently, the amount of force continues to increase. Hence, the value of the pressure increases in the leading edge. However, when the flow passes the leading edge, the flow velocity along the airfoil increases and causes a drop in pressure at the arc-shaped area of airfoils.

On the other hand, an increase in the attack angle, especially in $2 \leq \text{TSR} \leq 3$, at the arc-shaped area causes the flow not to easily pass through the suction side curvature of the airfoil. Thus, a separation occurs and the pressure drop is less than that expected for the other amounts of TSR. As is obvious from Figures 7–12, for the values of $\text{TSR}$ between 4 and 5, the pressure difference significantly rises for all types of HATCTs.

Figure 8. Pressure distribution contour; TSR = 3.

Figure 9. Pressure distribution contour; TSR = 4.

Figure 10. Pressure distribution contour; TSR = 5.
On the other hand, an increase in the attack angle, especially in $2 \leq \text{TSR} \leq 3$, at the arc-shaped area causes the flow to not easily pass through the suction side curvature of the airfoil. Thus, a separation occurs and the pressure drop is less than that expected for the other amounts of TSR. As is obvious from Figures 7–12, for the values of TSR between 4 and 5, the pressure difference significantly rises for all types of HATCTs.

Figures 7–12 also indicate that a negative pressure is generated at the suction and pressure sides of the turbines for $6 \leq \text{TSR} \leq 7$, which is due to a decline in the attack angle and thus the pressure...
drop reduces. As a result, the obtained torque and subsequently the performance of HATCTs decrease. Thus, based on pressure contours for $4 \leq \text{TSR} \leq 5$, the maximum performance is able to be achieved.

### 4.3. Performance Exploration: TKE, Cut-in-Speed and Streamlines Approaches

Figures 13–15 show the TKE contours for different values of TSR. These figures reveal that the enhancement trend of TKE is proportional to the TSR for all types of HATCTs. In fact, in the higher values of TSR, the attack angle reduces and, consequently, turbulence occurs because the fluid does not smoothly flow along the airfoil surface and falls off. Thus, drag caused by this turbulence reduces the torque and performance of the HATCT. Although the contours shown in Figures 13–15 are able to explain the relationship between the TKE and TSR, they are not an appropriate criterion to interpret the role of blade numbers contributing to the issue of performance in different situations. Therefore, to further investigate the effect of blade numbers on the performance of HATCTs, cut-in-speed curves and streamline contours need to be plotted and evaluated.

![Figure 13. TKE contours; TSR = 3.](image)

Figure 16 shows the velocity distribution profile for a region near the turbine for different models. It can be observed that the maximum velocity occurs close to the tip, equaling 0.9, 0.94, and 0.98 m/s for four-bladed, three-bladed, and two-bladed HATCTs, respectively. This indicates that the cut-in-speed value for the four-bladed HATCT was more than those for the other HATCTs. This issue is also certified by considering Figure 17, in which the maximum cut-in-speed values were 9.7%, 5.2%, and 1.6% for four-bladed, three-bladed and 2-bladed HATCTs, respectively. This trend implies that by increasing the number of blades, more cut-in-speed occurs. This may be related to the blockage factor due to an increase in the number of blades. Likewise, using more blades leads to a decrease in the attack angle, which can influence the performance results of the turbine. This is in good agreement with the results presented in [50].
Figure 14. TKE contours; TSR = 5.

Figure 15. TKE contours; TSR = 7.
To clarify the effect of cut-in-speed on the attack angle at different values of TSR and blade numbers, the streamline contours need to be investigated. Figure 18 displays the streamline contours for different blade numbers under various TSRs. From Figure 18a, it can be observed that, at TSR = 2, due to the low angular velocity, the attack angle becomes higher and hence, the lift to drag ratio (LDR) reduces and stalling occurs. By increasing the blade number, these stalls gradually take some distance from the airfoil. From Figure 18b, it is clear that, with increasing the TSR to 4, the recirculation zone becomes smaller, so that no trace of it can be observed, at least for the four-bladed HATCT. The reasons for decreasing the recirculation zone for four-bladed HATCT lies in the cut-in-speed which leads to the reduction in the attack angle and increase in the solidity of the turbine. This attack angle reduction, especially in low TSRs, is a reason to increase the LDR and the torque. By increasing the TSR to 4, the attack angle continues to decrease, and somehow reaching an optimum value of LDR and torque becomes more possible.
For the higher values of TSR as shown in Figure 18c, the attack angle continues to reduce, and then the decrease in lift speeds up. Comparing the streamline contours, it is obvious that the reduction trend of attack angle is steeper for the higher blade numbers turbines due to the surviving from the occurred stalls. So, the HATCTs with the more blades sooner achieve the maximum value of LDR, and consequently, the related torque increases to the maximum value. However, as TSR increases and reaches a value of 6, the attack angle for the HATCTs with the higher blade numbers has more reduction and thus, the related drag increases remarkably. This leads to a decrease in the LDR, and then the torque reduces.

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Figures 19 and 20 depict the velocity distributions and streamlines on the turbine blade versus the TSR for different models in both suction and pressure sides. As the TSR increases, the amount of...
velocity increases, due to the enhancement of the angular velocity. It is also obvious that the value of velocity increases from root to tip by increasing the radius of the blade, meaning that the trend is similar to other studies [51,52].

| TSR  | 2-bladed | 3-bladed | 4-bladed | Velocity |
|------|----------|----------|----------|----------|
| TSR = 2 | ![Image](image1.png) | ![Image](image2.png) | ![Image](image3.png) | ![Image](image4.png) |
| TSR = 4 | ![Image](image5.png) | ![Image](image6.png) | ![Image](image7.png) | ![Image](image8.png) |
| TSR = 6 | ![Image](image9.png) | ![Image](image10.png) | ![Image](image11.png) | ![Image](image12.png) |

Figure 19. Distribution of velocity and streamline contours on the suction side of the blade surface based on the blade numbers at different TSRs.
By reviewing other research [51,52], it is found the created streamlines are more irregular for the lower TSRs and leads to radial flow, as seen in this investigation. In addition, more regular streamlines observed on the pressure side respect to the suction side similar to other investigations [53,54]. On the other hand, by increasing the TSR, streamlines tend to become more regular (Figures 19 and 20). Therefore, by increasing the TSRs as the angle of attack decreases, the irregular zone on the suction and pressure sides decreases [55], and this causes an increase in the power coefficient. As this investigation has proved, increasing the number of blades causes a cut-in-speed (Figures 16 and 17), and leads to a decrease in the angle, and hence improvement in the area of regular zone is observed, as Figures 19 and 20 show.

Figure 21 shows the rotor streamlines distribution for all types of HATCTs at TSR 5 where the optimal condition appeared in the present study. From this figure, it is verified that, for all types of turbines, the rotor streamlines expand once passing through the HATCTs. Nevertheless, this expansion for four-bladed and three-bladed HATCTs is more than that for two-bladed HATCT and causes the

| TSR  | 2-Bladed | 3-Bladed | 4-Bladed | Velocity |
|------|----------|----------|----------|----------|
| TSR=2 | ![Image](image1) | ![Image](image2) | ![Image](image3) | ![Image](image4) |
| TSR=4 | ![Image](image5) | ![Image](image6) | ![Image](image7) | ![Image](image8) |
| TSR=6 | ![Image](image9) | ![Image](image10) | ![Image](image11) | ![Image](image12) |
turbine to generate a wider wake area. As it is found from Figure 19, a velocity reduction can be considered for the wake area, such that it is more for four-bladed than that of two- and three-bladed turbines. The wake caused by the HATCT makes the performance analysis cumbersome and needs further investigation, which does not fall within the scope of the present research.

![Figure 21](image-url)

**Figure 21.** Rotor streamline distributions at TSR = 5.

### 4.4. Performance Indicators: Torque, $C_T$ and $C_P$ Evaluation

Figure 22 shows the torque achieved at the different values of TSR. As it is revealed, for four-bladed HATCT, with an increase in TSR, the value of torque continues to increase up to TSR 4, at which the torque equals 2.33 Nm, and then this manner is changed. There is an analogous situation for the other models, i.e., three-bladed and two-bladed HATCTs. The maximum torques are 1.47 Nm at TSR 5 and 1.94 Nm at TSR 4 for two-bladed and three-bladed HATCTs, respectively. A similar trend can be observed in the obtained torque versus angular velocity, as shown in Figure 23. By increasing the angular velocity, the maximum torque values achieved for four-bladed and three-bladed turbines are 2.33 and 1.94 Nm, respectively; both were obtained at 152.8 RPM. However, the maximum torque obtained for the two-bladed HATCT is 1.47 Nm at an angular velocity of 191 RPM.

From Figures 22 and 23, it is obvious that decreasing the TSR leads to a decrease in angular velocity. As a result, the LDR decreases, stalling occurred and, consequently, the obtained torque dramatically decreases for all types of HATCTs. By increasing the TSR to 5, the angular velocity increases, and an improvement in LDR is achieved. After passing TSR 5, as the angular velocity increases, the attack angle faces further decline and turbulence occurs because the fluid does not flow along the airfoil surface and falls off, and the drag generated by turbulence reduces the torque of HATCTs. The other issue which is observed, the torque enhancement for four-bladed HATCT up to TSR 5, is more than those of two- and three-bladed HATCTs. In fact, passing TSR 5, more drag is generated due to the increase in blade numbers, which reduces the LDR and torque for four-bladed HATCTs. Similarly,
increasing the TSR to 7, the mentioned drag and its consequences become more and more, and thus the obtained torque reduces considerably for all types of HATCTs.

![Figure 22. Torque versus TSR variations for different HATCTs.](image)

![Figure 23. Torque versus angular velocity variations for different HATCTs.](image)

Figure 24 shows the $C_T$ obtained at the different values of TSR for all types of HATCTs. As is clear, the value of $C_T$ increases proportionally to the blade numbers and TSR. This trend is in good agreement with the trends reported in [18,35,54,56,57].

![Figure 24. $C_T$ versus TSR for different HATCTs.](image)

Figure 25 shows the achieved $C_P$ against different values of TSR for all types of HATCTs. The maximum $C_P$ for all types of HATCTs was obtained at TSR 5. It is evident that in the lower
TSRs, the value of $C_p$, for four-bladed HATCT, is more than those of the other types of HATCTs as the solidity increases. Moreover, considering the fact that angular velocity and torque influence $C_p$, the maximum $C_p$ is obtained for the four-bladed HATCT at TSR 5, while the maximum torque for this HATCT (Figure 22) was achieved at TSR 4. This difference might arise from the increase in angular velocity at TSR 5. The same trend can be observed for the three-bladed HATCT for both cases of torque and $C_p$. However, for the two-bladed turbine, the maximum value of torque and $C_p$ occurs at TSR 5. Comparing all HATCTs, it is realized that, although the four-bladed turbine has more $C_p$ than the two- and three-bladed turbines at TSR 5, the trend becomes reversed at TSR 6, such that the two- and three-bladed turbine overtake the four-bladed turbine. At TSR 6, the maximum $C_p$ belongs to the two-bladed turbine due to its solidity and also the lower value of lift produced by three- and four-bladed HATCTs. This change in trend, in the case of wind turbines, was also reported in [58]. The reason for this opposite behavior is that a steeper change in streamlines for the four-bladed turbine (comparing to the two- and three-bladed turbines) leads to creating less lift and consequently less LDR at similar TSRs. So, in the large TSR region where the effects of lift components diminish, therefore, the torque for the rotors with higher solidity decreases rapidly.

Figure 25. $C_p$ versus TSR for different HATCTs.

Figure 26 shows the variation of $C_T$ and $C_p$ versus TSR. It is clear that TSR 5 is a point for changing the trend for both $C_T$ and $C_p$. From TSR 2 to TSR 5, an increase in $C_T$ leads to enhanced torque; however, after that, generating a higher amount of TKE and also less lift production are the significant parameters related to the declining performance of the turbine. Moreover, through the simultaneous checking of $C_T$ and $C_p$, it is given that to achieve optimum conditions for four-bladed HATCTs, more thrust is required.

Figure 26. Trends of $C_T$ and $C_p$ versus TSR for different HATCTs.
Figure 27 shows the percentage change in the power output compared to the maximum available power output (four-bladed turbine at TSR 5) for all HATCTs as the TSR moved away from the optimum condition (TSR 5). It can be realized that for all types of HATCT, the maximum and minimum decrease in power occur at TSR 2 and TSR 5, respectively. The results indicate that the use of TSRs higher than 5 can effectively reduce the turbine performance while still allowing significant power extraction compared to the TSRs lower than 5.

4.5. Performance Comparison with Some Existing Literature

Table 4 tabulates some of the reported results on the other type of HATCTs existing in the literature based on the maximum obtained $C_p$. It can be observed clearly that increasing the blade number does not necessarily lead to an increase in the power coefficient. For instance, Hee Jo et al. [16] and Morandi et al. [61] both experimentally determined the $C_{p_{\text{max}}}$ for the HATCTs. While the former used a three-bladed HATCT, the latter designed a six-bladed one. However, the value of $C_{p_{\text{max}}}$ achieved by Hee Jo et al. [16] was higher than that of Morandi et al. [61]. More interestingly, the flow velocity applied by Morandi et al. [61] was around 2.76 times greater than that applied by Hee Jo et al. [16]. The same trend can be observed when, for example, the result of the present study and that of Tian et al. [54] in the case of two-bladed and three-bladed HATCTs are compared. It seems that the turbine diameter and also adjusted TSR have more significance on the $C_{p_{\text{max}}}$ in comparison with flow velocity and blade number. This can also be revealed, for example, if the results of Tian et al. [54] and Kolekar et al. [60] are compared. This argument is in good agreement with the results extracted from the present study.

| Research          | Blade Number | Diameter (m) | TSR  | Velocity (m/s) | $C_{p_{\text{max}}}$ | Type of Study |
|-------------------|-------------|-------------|------|----------------|----------------------|--------------|
| Jing et al. [43]  | 2           | 2.00        | 4.00 | 2.00           | 0.39                 | Experimental |
| present study     | 2           | 0.50        | 5.00 | 1.00           | 0.29                 | Simulation   |
| Jing et al. [43]  | 2           | 0.70        | 5.00 | 1.50           | 0.33                 | Experimental |
| Yan et al. [12]   | 3           | 0.80        | —    | 1.50           | 0.41                 | Simulation   |
| present study     | 3           | 0.50        | 5.00 | 1.00           | 0.36                 | Simulation   |
| Hee Jo et al. [16]| 3           | 0.50        | 5.00 | 1.00           | 0.40                 | Experimental |
| Tian et al. [54]  | 3           | 0.80        | 5.00 | 1.73           | 0.32                 | Simulation   |
| Tian et al. [59]  | 3           | 1.20        | 5.00 | 0.50           | 0.41                 | Simulation   |
| Kolekar et al. [60]| 3          | 2.00        | 6.36 | 2.00           | 0.52                 | Experimental |
| present study     | 4           | 0.50        | 5.00 | 1.00           | 0.39                 | Simulation   |
| Morandi et al. [61]| 6          | 0.40        | 2.25 | 2.76           | 0.39                 | Experimental |

5. Conclusions

In order to analyze the performance of the small-scale HATCTs, three different configurations, two-, three-, and four-bladed single turbines designed based on S-814 airfoil, were compared using the
numerical method. The method employed here was based on CFD analysis and the numerical results for the three-bladed HATCT were validated with the previously reported experiments. The mentioned numerical results were found to be in good agreement with the experimental results. The effects that the flow phenomenon, i.e., pressure, velocity, streamline and rotor streamline distributions around all HATCTs, has on the performance were investigated by analyzing the flow around the blades and the following results were obtained.

As TSR increases, the thrust coefficient enhances continually, while if the power achieved by a HATCT is considered, the optimal TSR is around 5. In fact, at a greater amount of TSR, a reversed trend can be observed for all types of HATCTs due to TKE increases on both suction and pressure sides. In addition, at less than TSR 5, the power coefficient reduced because the blade is located with a greater attack angle than that of the maximum LDR and as the attack angle goes up, a stall happens and torque is decreased.

The computations also indicated that, at TSRs over optimal, a HATCT with a higher blade number has a steeper drop in power coefficient due to the increase in blockage ratio. So, to enhance the torque and power obtained, higher blade number and lower blade number HATCTs are recommended at less than the optimal value and greater than the optimal value of TSR, respectively. It is worth mentioning that increasing the blade numbers may incur additional capital costs in commercial deployments and thus a business plan needs to be designed to make an appropriate decision.

This study focused on the performance of small-scale HATCTs where a single turbine is utilized. Investigation of the concept of employing vertical arrays of small-scale HATCTs substituted for a single large HATCT can further be implemented in future works.

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Nomenclature

\begin{itemize}
  \item \textbf{V} \hspace{1cm} Free stream velocity (m/s)
  \item \textbf{A} \hspace{1cm} Rotor Area (m²)
  \item \textbf{R} \hspace{1cm} Rotor radius (m)
  \item \textbf{\rho} \hspace{1cm} Density (kg/m³)
  \item \textbf{\omega} \hspace{1cm} Angular velocity (rpm)
  \item \textbf{\varphi} \hspace{1cm} Torque (N.m)
  \item \textbf{\tau} \hspace{1cm} Thrust (N)
  \item \textbf{C_t} \hspace{1cm} Coefficient of torque
  \item \textbf{C_T} \hspace{1cm} Coefficient of thrust
  \item \textbf{C_P} \hspace{1cm} Power coefficient
  \item \textbf{TKE} \hspace{1cm} Turbulence Kinetic Energy
  \item \textbf{TSR} \hspace{1cm} Tip speed ratio
  \item \textbf{LDR} \hspace{1cm} Lift to Drag ratio
\end{itemize}
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