L₁ Regularized Regression for Reranking and System Combination in Machine Translation

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Abstract

We use L₁ regularized transductive regression to learn mappings between source and target features of the training sets derived for each test sentence and use these mappings to rerank translation outputs. We compare the effectiveness of L₁ regularization techniques for regression to learn mappings between features given in a sparse feature matrix. The results show the effectiveness of using L₁ regularization versus L₂ used in ridge regression. We show that regression mapping is effective in reranking translation outputs and in selecting the best system combinations with encouraging results on different language pairs.

1 Introduction

Regression can be used to find mappings between the source and target feature sets derived from given parallel corpora. Transduction learning uses a subset of the training examples that are closely related to the test set without using the model induced by the full training set. In the context of SMT, we select a few training instances for each test instance to guide the translation process. This also gives us a computational advantage when considering the high dimensionality of the problem. The goal in transductive regression based machine translation (TRegMT) is both reducing the computational burden of the regression approach by reducing the dimensionality of the training set and the feature set and also improving the translation quality by using transduction. Transductive regression is shown to achieve higher accuracy than L₂ regularized ridge regression on some machine learning benchmark datasets (Chapelle et al., 1999).

In an idealized feature mapping matrix where features are word sequences, we would like to observe few target features for each source feature derived from a source sentence. In this setting, we can think of feature mappings being close to permutation matrices with one nonzero item for each column. L₁ regularization helps us achieve solutions close to the permutation matrices by increasing sparsity.

We show that L₁ regularized regression mapping is effective in reranking translation outputs and present encouraging results on different language pairs in the translation task of WMT10. In the system combination task, different translation outputs of different translation systems are combined to find a better translation. We model system combination task as a reranking problem among the competing translation models and present encouraging results with the TRegMT system.

Related Work: Regression techniques can be used to model the relationship between strings (Cortes et al., 2007). Wang et al. (2007) applies a string-to-string mapping approach to machine translation by using ordinary least squares regression and n-gram string kernels to a small dataset. Later they use L₂ regularized least squares regression (Wang and Shawe-Taylor, 2008). Although the translation quality they achieve is not better than Moses (Koehn et al., 2007), which is accepted to be the state-of-the-art, they show the feasibility of the approach. Serrano et al. (2009) use kernel regression to find translation mappings from source to target feature vectors and experiment with translating hotel front desk requests. Ueffing (2007) approaches the transductive learning problem for SMT by bootstrapping the training using the translations produced by the SMT system that have a scoring performance above some threshold as estimated by the SMT system itself.
Outline: Section 2 gives an overview of regression based machine translation, which is used to find the mappings between the source and target features of the training set. In section 3 we present $L_1$ regularized transductive regression for alignment learning. Section 4 presents our experiments, instance selection techniques, and results on the translation task for WMT10. In section 5, we present the results on the system combination task using reranking. The last section concludes.

2 An Overview of Regression Based Machine Translation

Let $X$ and $Y$ correspond to the token sets used to represent source and target strings, then a training sample of $m$ inputs can be represented as $(x_{1}, y_{1}), \ldots, (x_{m}, y_{m}) \in X^* \times Y^*$, where $(x_{i}, y_{i})$ corresponds to a pair of source and target language token sequences. Our goal is to find a mapping $f: X^* \rightarrow Y^*$ that can convert a given set of source tokens to a set of target tokens that share the same meaning in the target language.

$$X^* \xrightarrow{f} Y^*$$

$\Phi_X \xrightarrow{g} \Phi_Y \xrightarrow{\Phi^{-1}_Y} F_X \xrightarrow{h} F_Y$

Figure 1 depicts the mappings between different representations. $\Phi_X: X^* \rightarrow F_X = \mathbb{R}^{N_X}$ and $\Phi_Y: Y^* \rightarrow F_Y = \mathbb{R}^{N_Y}$ map each string sequence to a point in high dimensional real number space where $dim(F_X) = N_X$ and $dim(F_Y) = N_Y$.

Let $M_X \in \mathbb{R}^{N_X \times m}$ and $M_Y \in \mathbb{R}^{N_Y \times m}$ such that $M_X = [\Phi_X(x_1), \ldots, \Phi_X(x_m)]$ and $M_Y = [\Phi_Y(y_1), \ldots, \Phi_Y(y_m)]$. The ridge regression solution using $L_2$ regularization is found as:

$$H_{L_2} = \arg \min_{H \in \mathbb{R}^{N_Y \times N_X}} \| M_Y - H M_X \|^2_F + \lambda \| H \|^2_F$$

Proposition 1 Solution to the cost function given in Equation 1 is found by the following identities:

$$H = M_Y M_X^T (M_X M_X^T + \lambda M_{N_X})^{-1} \quad \text{(primal)}$$

$$H = M_Y (K_X + \lambda I_m)^{-1} M_X^T \quad \text{(dual)}$$

where $K_X = M_X^T M_X$ is the Gram matrix with $K_X(i, j) = k_X(x_i, x_j)$ and $k_X(x_i, x_j)$ is the kernel function defined as $k_X(x_i, x_j) = \phi(x_i)^T \phi(x_j)$.

The primal solution involves the inversion of the covariance matrix in the feature space ($O(N_X^2)$) and the dual solution involves the inversion of the kernel matrix in the instance space ($O(m^3)$) and $L_2$ regularization term prevents the normal equations to be singular. We use the dual solution when computing $H_{L_2}$.

Two main challenges of the RegMT approach are learning the regression function, $g: X^* \rightarrow F_Y$, and solving the pre-image problem, which, given the features of the estimated target string sequence, $g(x) = \Phi_Y(y)$, attempts to find $y \in Y^*$: $f(x) = \arg \min_{y \in Y^*} \| g(x) - \Phi_Y(y) \|^2$. Pre-image calculation involves a search over possible translations minimizing the cost function:

$$f(x) = \arg \min_{y \in Y^*} \| \Phi_Y(y) - H \Phi_X(x) \|^2$$

$$= \arg \min_{y \in Y^*} k_Y(y, y) - 2(K_X^T (K_X + \lambda I_m)^{-1} K_Y^T)$$

where $K_Y = [k_Y(y_1, y_1), \ldots, k_Y(y, y_m)]^T \in \mathbb{R}^{m \times 1}$ and $K_X^T \in \mathbb{R}^{m \times 1}$ is defined similarly.

We use $n$-spectrum weighted word kernel (Shawe-Taylor and Cristianini, 2004) as feature mappers which consider all word sequences up to order $n$:

$$k(x, x') = \sum_{p=1}^{n} \sum_{i=1}^{|p|} \sum_{j=1}^{p} I(x[i : i+p-1] = x'[j : j+p-1])$$

where $x[i : j]$ denotes a substring of $x$ with the words in the range $[i, j]$, $I(.)$ is the indicator function, and $p$ is the number of words in the feature.

3 $L_1$ Regularized Regression

In statistical machine translation, parallel corpora, which contain translations of the same documents in source and target languages, are used to estimate a likely target translation for a given source sentence based on the observed translations. String kernels lead to very sparse representations of the feature space and we examine the effectiveness of $L_1$ regularized regression to find the mappings between sparsely observed feature sets.

3.1 Sparsity in Translation Mappings

We would like to observe only a few nonzero target feature coefficients corresponding to a source feature in the coefficient matrix. An example solution matrix representing a possible alignment between unigram source and target features could be the following:
Here $e_i$ represents unigram source features and $f_i$ represent unigram target features. $e_1$ and $e_2$ have unambiguous translations whereas $e_3$ is ambiguous. Even if unigram features lead to ambiguity, we expect higher order features like bigrams and trigrams to help us resolve the ambiguity. Typical $H$ matrices have thousands of features. $L_1$ regularization helps us achieve solutions close to permutation matrices by increasing sparsity (Bishop, 2006). In contrast, $L_2$ solutions give us dense matrices.

3.2 $L_1$ Regularized Regression for Learning

$H_{L_2}$ does not give us a sparse solution and most of the coefficients remain non-zero. $L_1$ norm behaves both as a feature selection technique and a method for reducing coefficient values.

$$H_{L_1} = \arg \min_{H \in \mathbb{R}^{NY \times NX}} \| M_Y - HM_X \|^2_F + \lambda \| H \|_1 . (5)$$

Equation 5 presents the lasso (least absolute shrinkage and selection operator) (Tibshirani, 1996) solution where the regularization term is now the $L_1$ matrix norm defined as $\| H \|_1 = \sum_{i,j} |H_{i,j}|$. Since $L_1$ regularization cost is not differentiable, $H_{L_1}$ is found by optimization or approximation techniques. We briefly describe three techniques to obtain $L_1$ regularized regression coefficients.

Forward Stagewise Regression (FSR): We experiment with forward stagewise regression (FSR) (Hastie et al., 2006), which approximates the lasso. The incremental forward stagewise regression algorithm increases the weight of the predictor variable that is most correlated with the residual by a small amount, $\epsilon$, multiplied with the sign of the correlation at each step. As $\epsilon \to 0$, the profile of the coefficients resemble the lasso (Hastie et al., 2009).

Quadratic Programming (QP): We also use quadratic programming (QP) to find $H_{L_1}$. We can pose lasso as a QP problem as follows (Mørup and Clemmensen, 2007). We assume that the rows of $M_Y$ are independent and solve for each row $i$, $M_{yi} \in \mathbb{R}^{1 \times m}$, using non-negative variables $h_i^+, h_i^- \in \mathbb{R}^{NX \times 1}$ such that $h_i = h_i^+ - h_i^-$. 

$$h_i = \arg \min_h \| M_{yi} - hM_X \|^2_F + \lambda \sum_{k=1}^{NX} |h_k|, \quad (6)$$

$$h_i = \arg \min_h \frac{1}{2} h_i M_X^T M_X h_i - h_i (M_X^T M_{yi} - \lambda 1), \quad (7)$$

s.t. $h_i > 0$, $M_X = \begin{bmatrix} M_X & -M_X \end{bmatrix}$, $h_i = [h_i^+ h_i^-]^T$.

Linear Programming (LP): $L_1$ minimization can also be posed as a linear programming (LP) problem by interpreting the error term as the constraint (Chen et al., 1998) and solving for each row $i$.

$$h_i = \arg \min_h \| h \|_1 \quad \text{subject to} \quad M_{yi} = hM_X, \quad (8)$$

which can again be solved using non-negative variables. This is a slightly different optimization and the results can be different but linear programming solvers offer computational advantages.

3.3 Transductive Regression

Transduction uses test instances, which can sometimes be accessible at training time, to learn specific models tailored towards the test set. Transduction has computational advantages by not using the full training set and by having to satisfy a smaller set of constraints. For each test sentence, we pick a limited number of training instances designed to improve the coverage of correct features to build a regression model. Section 4.2 details our instance selection methods.

4 Translation Experiments

We perform experiments on the translation task of the English-German, German-English, English-French, English-Spanish, and English-Czech language pairs using the training corpus provided in WMT10.

4.1 Datasets and Baseline

We developed separate SMT models using Moses (Koehn et al., 2007) with default settings with maximum sentence length set to 80 using 5-gram language model and obtained distinct 100-best lists for the test sets. All systems were tuned with 2051 sentences and tested with 2525 sentences. We have randomly picked 100 instances from the development set to be used in tuning the regression experiments (dev.100). The translation challenge test set contains 2489 sentences. Number of sentences in the training set of each system
and baseline performances for uncased output (test set BLEU, challenge test set BLEU) are given in Table 1.

| Corpus | # sent | BLEU | BLEU Challenge |
|--------|--------|------|----------------|
| en-de  | 1609998 | .1471 | .1309          |
| de-en  | 1609998 | .1943 | .1556          |
| en-fr  | 1728965 | .2281 | .2049          |
| en-es  | 1715158 | .2237 | .2106          |
| en-cz  | 7320238 | .1452 | .1145          |

Table 1: Initial uncased performances of the translation systems.

Feature mappers used are 3-spectrum counting word kernels, which consider all N-grams up to order 3 weighted by the number of tokens in the feature. We segment sentences using some of the punctuation for managing the feature set better and do not consider N-grams that cross segments.

We use BLEU (Papineni et al., 2001) and NIST (Doddington, 2002) evaluation metrics for measuring the performance of translations automatically.

### 4.2 Instance Selection

Proper selection of training instances plays an important role to learn feature mappings with limited computational resources accurately. In previous work (Wang and Shawe-Taylor, 2008), sentence based training instances were selected using tf-idf retrieval. We transform test sentences to feature sets obtained by the kernel mapping before measuring their similarities and index the sentences based on the features. Given a source sentence of length 20, its feature representation would have a total of 57 uni/bi/tri-gram features. If we select closest sentences from the training set, we may not have translations for all the features in this representation. But if we search for translations of each feature, then we have a higher chance of covering all the features found in the sentence we are trying to translate. The index acts as a dictionary of source phrases storing training set entries whose source sentence match the given source phrase.

The number of instances per feature is chosen inversely proportional to the frequency of the feature determined by the following formula:

$$\text{#instances}(f) = \frac{n}{\ln(1 + \text{idfScore}(f)/9.0)},$$

where idfScore(f) sums the idf (inverse document frequency) of the tokens in feature f and n is a small number.

### 4.3 Addition of Brevity Penalty

Detailed analysis of the results shows TREgMT score achieves better N-gram match percentages than Moses translation but suffers from the brevity penalty due to selecting shorter translations. Due to using a cost function that minimizes the squared loss, TREgMT score tends to select shorter translations when the coverage is low. We also observe that we are able to achieve higher scores for NIST, which suggests the addition of a brevity penalty to the score.

Precision based BLEU scoring divides N-gram match counts to N-gram counts found in the translation and this gives an advantage to shorter translations. Therefore, a brevity penalty (BP) is added to penalize short translations:

$$BP = \min(1 - \frac{\text{ref-length}}{\text{trans-length}}, 0)$$

$$BLEU = e^{\left(\log(ngram_{prec}) + BP\right)}$$

where ngramprec represent the sum of n-gram precisions. Moses rarely incurs BP as it has a word penalty parameter optimized against BLEU which penalizes translations that are too long or too short. For instance, Moses 1-best translation for en-de system achieves .1309 BLEU versus .1320 BLEU without BP.

We handle short translations in two ways. We optimize the λ parameter of QP, which manages the sparsity of the solution (larger λ values correspond to sparser solutions) against BLEU score rather than the squared loss. Optimization yields λ = 20.744. We alternatively add a BP cost to the squared loss:

$$BP = e^{\left(\min(1 - \frac{\|\Phi_Y(y) - H\Phi_X(x)\|_2}{\alpha_{BP}}), 0\right)}$$

$$f(x) = \arg\min_{y \in Y^*} \|\Phi_Y(y) - H\Phi_X(x)\|^2 + \lambda_{BP}BP$$

where |.| denotes the length of the feature vector, r^a^n rounds feature weights to integers, αBP is a constant weight added to the estimation, and λBP is the weight given for the BP cost. ["HΦX(x) + αBP"] represents an estimate of the length of the reference as found by the TREgMT system. This BP cost estimate is similar to the cost used in (Serrano et al., 2009) normalized by the length of the reference. We found αBP = 0.1316 and λBP = −13.68 when optimized on the en-de system. We add a BP penalty to all of the reranking results given in the next section and QP results also use optimized λ.
Table 2: Reranking results using TRegMT, TM, and LM scores. We use approximate randomization test (Riezler and Maxwell, 2005) with 1000 repetitions to determine score difference significance: results in **bold** are significant with $p \leq 0.01$ and italic results with (*) are significant with $p \leq .05$. The difference of the remaining from the baseline are not statistically significant.

| Score | en-de | de-en | en-fr | en-es | en-cz |
|-------|-------|-------|-------|-------|-------|
| Baseline | BLEU | NIST | BLEU | NIST | BLEU | NIST | BLEU | NIST | BLEU | NIST |
| Oracle | .1309 | 5.1417 | .1556 | 5.4164 | .2049 | 6.3194 | 2106 | 6.3611 | .1145 | 4.3008 |
| L2 | .1319 | 5.1680 | .1555 | 5.4344 | .2044 | 6.3370 | 2132 | 6.4093 | .1148 | 4.5187 |
| FSR | .1317* | 5.1639 | .1559 | 5.4383 | .2053 | 6.3458 | 2144 | 6.4168 | .1150 | 4.5172 |
| LM | .1317 | 5.1695 | .1561 | 5.4304 | .2048 | 6.3245 | 2109 | 6.4176 | .1124 | 4.5143 |
| QP | .1309 | 5.1664 | .1550 | 5.4553 | .2033 | 6.3354* | .1212 | 6.4271 | .1150 | 4.5264 |

4.4 Reranking Experiments

We rerank N-best lists by using linear combinations of the following scoring functions:

1. TRegMT: Transductive regression based machine translation scores as found by Equation 3.
2. TM: Translation model scores we obtain from the baseline SMT system that is used to generate the N-best lists.
3. LM: 5-gram language model scores that the baseline SMT system uses when calculating the translation model scores.

The training set we obtain may not contain all of the features of the reference target due to low coverage. Therefore, when performing reranking, we also add the cost coming from the features of $\Phi_Y(y)$ that are not represented in the training set to the squared loss as in:

$$||\Phi_Y(y) \setminus F_Y||^2 + ||\Phi_Y(y) - H\Phi_X(x)||^2,$$

where $\Phi_Y(y) \setminus F_Y$ represent the features of $y$ not represented in the training set.

We note that TRegMT score only contains ordering information as present in the bi/tri-gram features in the training set. Therefore, the addition of a 5-gram LM score as well as the TM score, which also incorporates the LM score in itself, improves the performance. We are not able to improve the BLEU score when we use TRegMT score by itself however we are able to achieve improvements in the NIST and 1-WER scores. The performance increase is important for two reasons. First of all, we are able to see up to .8 BLEU points increase for the en-es pair. Oracle reranking performances are obtained by using BLEU scoring metric.

If we used only the TM and LM scores when reranking with the en-de system, then we would obtain .1309 BLEU and 5.1472 NIST scores. We only see a minor increase in the NIST score and no change in the BLEU score with this setting when compared with the baseline given in Table 2.

Due to computational reasons, we do not use the same number of instances to train different models. In our experiments, we used $n = 3$ for L2, $n = 1.5$ for FSR, and $n = 1.2$ for QP and LP solutions to select the number of instances in Equation 9. The average number of instances used per sentence in training corresponding to these choices are approximately 140, 74, and 61. Even with these decreased number of training instances, $L_1$ regularized regression techniques are able to achieve comparable scores to $L_2$ regularized regression model in Table 2.

5 System Combination Experiments

We perform experiments on the system combination task for the English-German, German-English, English-French, English-Spanish, and English-Czech language pairs using the training...
Table 3: Reranking results using TRegMT, TM, and LM scores. **bold** correspond to the best score in each rectangle of scores.

corpus provided in WMT10.

5.1 Datasets

We use the training set provided in WMT10 to index and select transductive instances from. The challenge split the test set for the translation task of 2489 sentences into a tuning set of 455 sentences and a test set with the remaining 2034 sentences. Translation outputs for each system is given in a separate file and the number of system outputs per translation pair varies. We have tokenized and lowercased each of the system outputs and combined these in a single \( N \)-best file per language pair. We also segment sentences using some of the punctuation for managing the feature set better. We use these \( N \)-best lists for TRegMT reranking to select the best translation model. Feature mappers used are 3-spectrum counting word kernels, which consider all \( n \)-grams up to order 3 weighted by the number of tokens in the feature.

5.2 Experiments

We rerank \( N \)-best lists by using combinations of the following scoring functions:

1. TRegMT: Transductive regression based machine translation scores as found by Equation 3.

2. TM’: Translation model scores are obtained by measuring the average BLEU performance of each translation relative to the other translations in the \( N \)-best list. Thus, each possible translation in the \( N \)-best list is BLEU scored against other translations and the average of these scores is selected as the TM score for the sentence. Sentence level BLEU score calculation avoids singularities in \( n \)-gram precisions by taking the maximum of the match count and \( \frac{1}{2|s_i|} \) for \( |s_i| \) denoting the length of the source sentence \( s_i \) as used in (Macherey and Och, 2007).

Table 3 presents reranking results on all of the language pairs we considered, using TRegMT, TM, and LM scores with the same combination weights as above. Random model score lists the random model performance selected among the competing translations randomly and it is used as a baseline. Best model score lists the performance of the best model performance. We are able to achieve better BLEU and NIST scores in all of the listed systems except for the de-en language pair when compared with the performance of the best competing translation system. The lower performance in the de-en language pair may be due to having a single best translation system that outperforms others significantly. The difference between the best model performance and the mean as well as the variance of the scores in the de-en language pair is about twice their counterparts in en-de language pair.

Due to computational reasons, we do not use the same number of instances to train different models. In our experiments, we used \( n = 4 \) for L2, \( n = 1.5 \) for FSR, and \( n = 1.2 \) for QP and LP solutions to select the number of instances in Equation 9. The average number of instances used per sentence in training corresponding to these choices are approximately 189, 78, and 64.
6 Contributions

We use transductive regression to learn mappings between source and target features of given parallel corpora and use these mappings to rerank translation outputs. We compare the effectiveness of $L_1$ regularization techniques for regression. TRegMT score has a tendency to select shorter translations when the coverage is low. We incorporate a brevity penalty to the squared loss and optimize $\lambda$ parameter of QP to tackle this problem and further improve the performance of the system.

The results show the effectiveness of using $L_1$ regularization versus $L_2$ used in ridge regression. Proper selection of training instances plays an important role to learn correct feature mappings with limited computational resources accurately. We plan to investigate better instance selection methods for improving the translation performance. TRegMT score has a tendency to select shorter translations when the coverage is low. We incorporate a brevity penalty to the score and optimize the $\lambda$ parameter of QP to tackle this problem.

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