Indication that the behavioural responses of humpback whales to killer whale sounds are influenced by trophic relationships

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ABSTRACT: Eavesdropping, the detection of communication signals by unintended receivers, can be beneficial in predator–prey interactions, competition, and cooperation. The cosmopolitan killer whale Orcinus orca has diverged into several ecotypes which exhibit specialised diets and different vocal behaviours. These ecotypes have diverse ecological relationships with other marine mammal species, and sound could be a reliable sensory modality for eavesdroppers to discriminate between ecotypes and thereby respond adaptively. Here, we tested whether humpback whales Megaptera novaeangliae in the Northeast Atlantic responded differently to playback of the sounds of 2 killer whale ecotypes, Northeast Atlantic herring-feeding killer whales representing food competitors and Northeast Pacific mammal-eating killer whales simulating potential predators. We used animal-borne tags and surface visual observations to monitor the behaviour of humpback whales throughout the playback experiments. Humpback whales clearly approached the source of herring-feeding killer whale sounds (5 of 6 cases), suggesting a ‘dinner-bell’ attraction effect. Responses to mammal-eating killer whale sounds varied with the context of presentation: playback elicited strong avoidance responses by humpback whales in offshore waters during summer (7 of 8 cases), whereas the whales either approached (2 of 4 cases) or avoided (2 of 4 cases) the sound source in inshore waters during winter. These results indicate that humpback whales may be able to functionally discriminate between the sounds of different killer whale ecotypes. Acoustic discrimination of heterospecific sounds may be widespread among marine mammals, suggesting that marine mammals could rely on eavesdropping as a primary source of information to make decisions during heterospecific encounters.

KEY WORDS: Acoustic eavesdropping · Playback · Trophic relationship · Killer whale · Orcinus orca · Humpback whale · Megaptera novaeangliae

1. INTRODUCTION

The ability to eavesdrop on acoustic signals, that is, the detection of communication signals by unintended receivers of the same or another species, can affect animal fitness (McGregor 1993). Gathering information through acoustic eavesdropping is likely to be particularly beneficial in predator–prey interactions, competition, and cooperation. Eavesdropping on species sharing similar prey may provide an indirect way to locate food patches (e.g. Übernickel et al. 2013, Pollock et al. 2017) or to avoid areas of high-intensity competition (e.g. Evans et al. 2009). Potential prey may thwart predation attempts if they are
likely because of the intrinsic challenges in monitor-
eavesdropping in marine than in terrestrial systems,
far fewer studies on acoustic communication and
acoustic eavesdropping. However, there have been
suitable model species for the study of interspecific
species. This makes marine mammals particularly
2019), such as potential prey, predators, or competi-
tances and experience little attenuation in seawater,
the frequency range allows them to detect acoustic signals
produced by many other species (see Southall et al.
2006, Jensen et al. 2011, Gero et al. 2016, King et al.
2017), and social coordination (e.g. Nousek et al.
2004), breeding (e.g. Smith et al. 2008, Herman
2017), and social coordination (e.g. Nousek et al.
2006, Jensen et al. 2011, Gero et al. 2016, King et al.
2018). Their hearing sensitivity over a wide fre-
cuity range allows them to detect acoustic signals
produced by many other species (see Southall et al.
2019), such as potential prey, predators, or competi-
tor species. This makes marine mammals particularly
suitable model species for the study of interspecific
acoustic eavesdropping. However, there have been
far fewer studies on acoustic communication and
eavesdropping in marine than in terrestrial systems,
likely because of the intrinsic challenges in monitor-
ing the behaviour of aquatic animals that spend most
of their time underwater. The development of ani-
mal-borne multi-sensor tags, which enable the track-
ing of marine mammals and the recording of their
underwater behaviour (e.g. Johnson & Tyack 2003,
Johnson et al. 2009), has made it possible to conduct
such acoustic studies on wild marine mammals, for
instance using playback experiments. Playback ex-
periments, i.e. broadcasting sound stimuli and moni-
toring the behavioural responses of exposed animals,
is a classic method to probe into the potential func-
tions of animal vocalisations or to investigate sound
discrimination in particular contexts (reviewed by
Deecke 2006).

Playbacks of killer whale sounds to wild marine
mammals have demonstrated anti-predator respon-
ses, such as avoidance (e.g. Fish & Vania 1971,
Deecke et al. 2002, Curé et al. 2013, 2015, Bowers et
al. 2018) and apparent mobbing (e.g. Curé et al.
2012, Bowers et al. 2018, Curé et al. 2019), in numer-
ous species. Killer whales are cosmopolitan apex
predators known to prey on a wide range of marine
organisms, including members of most families of
marine mammals (Jefferson et al. 1991). However,
the diet, social organisation, and behaviour of killer
whales are variable, and not all interactions between
killer whales and other marine mammal species are
predatory (reviewed by Jefferson et al. 1991). Some
killer whale populations, such as in the Northeast
Pacific, present clearly delineated ecotypes, while
the ecological status of other populations, in the
Northeast Atlantic or the Southern Hemisphere for
instance, remains unclear (reviewed by de Bruyn et
al. 2013). Killer whale ecotypes around the world
may exhibit specialised diets (e.g. Samarra et al.
2017) and present differences in their vocal behav-
our (e.g. Deecke et al. 2005). Therefore, the sounds
of killer whales can potentially provide a wide range
of ecological information to other marine mammals.
Marine mammal eavesdroppers may rely on acoustic
cues to discriminate between killer whales of differ-
ent ecotypes and respond functionally in accordance
with their ecological relationship to the ecotype of
the detected killer whale.

Indeed, different behavioural responses to play-
back of the sounds of different killer whale ecotypes
have been described in 1 species of pinniped and in
1 species of toothed whale. Harbour seals Phoca vit-
ulina in the Northeast Pacific avoided the source of
the sounds of transient killer whales — local marine
mammal predators — and unfamiliar fish-eating killer
whales but did not react to the source of the sounds
of familiar resident killer whales — local fish specialists
that do not prey on seals (Deecke et al. 2002). Long-fin
ned pilot whales *Globicephala melas* showed more severe anti-predator responses to unfamiliar calls from marine mammal-eating killer whales (MEKWs) than to sounds from familiar fish-eating killer whales (Curé et al. 2019). Harbour seals and long-finned pilot whales seem to have selectively habituated to the sounds of familiar killer whales which pose little predation risk because of their fish-dominated diets, while maintaining anti-predator responses to familiar predatory killer whales or unfamiliar killer whales (Deecke et al. 2002, Curé et al. 2019). Migratory cetaceans, including most baleen whale species, cover much larger areas than non-migratory marine mammal species and may therefore encounter more killer whale ecotypes in a greater diversity of contexts. For instance, humpback whales *Megaptera novaeangliae* in the Northern Hemisphere spend winter on tropical or subtropical breeding grounds and summer on high-latitude feeding grounds and generally migrate annually between these areas (Clapham & Mead 1999). Killer whale sounds may signal to humpback whales the presence of predators, competitors, or killer whales without trophic relationships with them. Their behavioural responses may vary in type and in intensity depending on the ecotype of the killer whale and the context of the encounter (e.g. location, season, behavioural activity).

Here, we describe the behavioural responses of humpback whales to playback of killer whale sounds conducted in Norway, with particular focus on whether and how these responses varied according to killer whale ecotype. In the Northeast Atlantic, humpback whales feed from early summer to fall around Svalbard and Bear Island, and during winter in coastal Norway, before migrating to lower-latitude areas to breed (Clapham & Mead 1999). We conducted playback experiments off Spitsbergen in early summer and in the Norwegian fjords during winter. We broadcast the feeding sounds of 2 killer whale ecotypes: herring-feeding killer whales (HFKWs) from Norway, representing a familiar population posing a low risk of predation, and MEKWs from the Northeast Pacific, also called transients, simulating the presence of potential predators. During winter, sympatric humpback whales and HFKWs both exploit the herring (*Clupea harengus*) stock which often overwinters in fjords in northern Norway (Huse et al. 2010). Mixed-species feeding aggregations are common and seem to be initiated more often by killer whales (Jourdain & Vongraven 2017). The feeding sounds of HFKWs during winter could signal the presence of a food patch to eavesdropping humpback whales. Marine MEKWs appear to exert a strong predation pressure on humpback whales, mainly targeting calves and juveniles (e.g. Flórez-González et al. 1994, Naessig & Lanyon 2004, McCordic et al. 2014). Even though humpback whales in Norway are unlikely to be familiar with Northeast Pacific killer whales, we expected humpback whales to perceive these unfamiliar killer whales as threatening (like Curé et al. 2019). Humpback whales appear to be fight strategists (Ford & Reeves 2008), and in many areas, they have been documented to actively approach MEKWs, possibly for mobbing or predator inspection (reviewed by Pitman et al. 2017). However, no such observation in the Northeast Atlantic was reported by Pitman and colleagues. Moreover, anti-predator responses could be graded in successive steps: first, an avoidance response, if the prey has detected the predator but the predator has not detected the prey yet (this is the context we simulate in our playback experiments), and a physical defence behaviour later, during the chase or the attack by the predator. We therefore expected an avoidance response to MEKW sounds rather than an approach.

We conducted killer whale playbacks in the fjords during winter (both HFKW and MEKW sounds) and offshore during summer (only MEKW sounds). In addition to killer whale ecotype, the ecological context of the playback experiments is another factor which could have influenced the behavioural responses of humpback whales to killer whale sounds.

### 2. MATERIALS AND METHODS

#### 2.1. Data collection

We combined archival tag data and visual observations of tagged individuals at the surface to record the behaviour and movements of humpback whales before, during, and after playbacks of killer whale sounds. During each experiment, 1 humpback whale was tagged and identified as the focal individual (Altmann 1974). In 2011 and 2012, we deployed version 2 Dtags (Johnson & Tyack 2003), and in 2016, we deployed version 3 Dtags. Dtags contain a suite of sensors (250 Hz 3-axis accelerometer, 250 Hz 3-axis magnetometer, 50 Hz pressure sensor) and 2 hydrophones (sampling frequency: 96 kHz, sampling width: 16 bits). In 2017, we used ‘mixed tags’, a version 3 Dtag sensor suite in a custom-made housing which includes a GPS logger (FastLoc™, SirTrack, Wildlife Tracking Solutions).
We attached all tags to the animals temporarily with suction cups using a long pole or a pneumatic launching system (ARTSTM, LKARTS). Humpback whales only showed short-term reactions—flinches and submersions—to tag deployments. All tags were equipped with a VHF beacon, which allowed identification of the focal whale at the surface for visual tracking and recovery of the tag after its detachment from the focal whale.

We started visually tracking the focal whale immediately after tagging. We recorded the position (based on range and bearing from the observation vessel) and heading of the focal whale when it was at the surface. We made an average of 1 observation every 4.89 ± 1.86 min (mean ± SD; range 2.81–11.25 min). We made all visual tracking records in Logger 2010 (courtesy of the International Fund for Animal Welfare, Yarmouth, MA, USA).

2.2. Playback procedure

In June and July 2011 and 2012, we performed N = 8 playback experiments with MEKW sounds on summer feeding grounds off Spitsbergen, Norway (Table 1). In January 2016 and 2017, we conducted playback experiments with HFKW sounds (N = 6) and MEKW sounds (N = 4) in the fjords around Vengsøya, Norway (Table 1). The inshore-winter experiments were conducted specifically for this study, while offshore-summer experiments were initially conducted to investigate the responses of humpback whales to the playback of MEKW sounds as the main topic of a previous publication (Curé et al. 2015). The same experimental protocol was applied for summer and winter experiments, thus making the results directly comparable across seasons. The comparative framework of the present study justified the inclusion of the Curé et al. (2015) dataset in our analysis.

The 2011 and 2012 killer whale playbacks were conducted after the focal humpback whales had been exposed to naval sonar signals as part of a parallel project (detailed by Kvadsheim et al. 2015). The 2016 and 2017 fieldwork campaigns only included killer whale playback experiments and control noise broadcasts. In 2016 and 2017, we waited on average 1 h 34 min 37 s ± 25 min 26 s (range 1 h 9 min 22 s–2 h 27 min 3 s) from the tag deployment to the first playback. In 2011 and 2012, an average recovery period of 2 h 3 min 12 s ± 1 h 10 min 2 s (range

| Individual ID | Study location | Ecological context | Sound stimulus type | Version |
|---------------|----------------|--------------------|---------------------|---------|
| mn11_157a     | Spitsbergen    | Offshore-summer    | MEKW                | v1      |
| mn11_160a     | Spitsbergen    | Offshore-summer    | MEKW                | v2      |
| mn11_165e     | Spitsbergen    | Offshore-summer    | MEKW                | v3      |
| mn12_161a     | Spitsbergen    | Offshore-summer    | MEKW                | v1      |
| mn12_164b     | Spitsbergen    | Offshore-summer    | MEKW                | v2      |
| mn12_170a     | Spitsbergen    | Offshore-summer    | MEKW                | v3      |
| mn12_171a     | Spitsbergen    | Offshore-summer    | MEKW                | v1      |
| mn12_180a     | Spitsbergen    | Offshore-summer    | MEKW                | v2      |
| mn16_018a     | Vengsøya       | Inshore-winter     | HFKW                | v2      |
| mn16_020a     | Vengsøya       | Inshore-winter     | MEKW                | v1      |
| mn16_023a     | Vengsøya       | Inshore-winter     | HFKW                | v3      |
| mn16_024a     | Vengsøya       | Inshore-winter     | MEKW                | v1      |
| mn17_024a     | Vengsøya       | Inshore-winter     | MEKW                | v2      |
| mn17_026a     | Vengsøya       | Inshore-winter     | HFKW                | v1      |

Table 1. Overview of playback experiments and focal humpback whales. Each focal individual was given an 8-symbol identifier in the form aaBB_CCCd, where aa are the first initials of the genus and species, BB are the last 2 digits of the experiment year, CCC is the Julian date of the experiment, and d is the number of the tag deployment within the day from a to z. We prepared several versions of each stimulus to reduce pseudoreplication (version column). For 2 individuals (mn11_160a and mn16_020a), the tag came off prematurely, and the post-exposure observation phase of the second experiment was not completed. In 1 case (mn16_018a), we were not able to retrieve the tag after the experiments, which restricted our data to the visual tracking information for this individual. We exposed some of the humpback whales to a broadband noise stimulus as a negative control. The whales barely reacted to it (Table S1 in Supplement 1 at www.int-res.com/articles/suppl/m660p217_supp1.xlsx), ensuring that the whales did not respond to unspecific sound broadcast by the speaker. MEKW: unfamiliar mammal-eating killer whale sounds; HFKW: familiar herring-feeding killer whale sounds; NOISE: broadband noise control. For a more detailed version of this table, including the timing of the killer whale playbacks and sonar exposure experiments, see Table S2 in Supplement 1.
of 39 min–3 h 39 min) separated sonar exposures and playback experiments.

The stimuli we used for playback experiments were natural sound sequences of feeding free-ranging killer whales, previously recorded with Dtags (Miller et al. 2010). We used 2 stimulus types: HFKW sounds recorded in Norway from killer whales feeding on herring and MEKW sounds recorded in southeastern Alaska from killer whales harassing and feeding on marine mammal prey. Noisy sound sections, such as flow noise from whale movements, bubbling, and surfacing noise, were removed from the stimuli. The resulting files were looped to 15 min and amplified to reach an average of 140 to 155 dB re 1 μPa @ 1 m for killer whale calls (similar to natural source levels, Miller 2006). We prepared 3 versions of each stimulus type from different recordings to reduce pseudoreplication (Table 1).

We presented MEKW sounds in 2 different contexts, offshore during early summer (beginning of the feeding season) and inshore during winter (end of the feeding season). HFKW sounds were only presented inshore during winter. We therefore had 3 combinations of stimulus type and context of presentation: MEKW sounds presented offshore during summer (OMEKW: offshore-summer MEKW) and inshore during winter (IMEKW: inshore-winter MEKW) and HFKW sounds presented inshore during winter (IHFKW: inshore-winter HFKW).

Each playback experiment consisted of three 15 min long periods: the pre-exposure observation period, the exposure period during which the stimulus was presented, and the post-exposure observation period. In the inshore-winter setting, we exposed 4 whales to 2 successive playback experiments — one with MEKW sounds and the other with HFKW sounds (Table 1). The order of stimulus presentation was alternated (Table 1). The average duration between successive experiments was 16 min 37 s, which means that the time between the start of successive broadcasts was 46 min 37 s on average (range: 29 min 16 s–1 h 6 min 29 s). On 2 occasions, the tag came off prematurely, and the post-exposure observation phase of the second experiment was incomplete (Table 1).

We used 2 boats during the playback experiments: one was devoted to tag deployment and visual tracking of the focal whale (the tracking boat, an 8.2 m steel hull vessel with inboard diesel engine propulsion), and the second was used for sound playback (the playback boat, a SeaBoy 705 MC aluminium hull vessel with an outboard Suzuki DF200 engine). The tracking boat continued to track the focal whale consistently irrespective of the playback timeline, and sightings of the tagged focal whale were used to position the playback boat. We aimed to place the playback boat ahead of the whale, slightly to the side of its path, so that either attraction or avoidance reactions could be clearly identified. The average distance between the focal whale and the sound source at the onset of the playbacks was 842 ± 605 m (range 231–2915 m, see Table S1 in Supplement 1 at www.int-res.com/articles/suppl/m660p217_supp1.xlsx). The position of the playback boat throughout the playback experiments is illustrated in Fig. S1a–zb in Supplement 2 at www.int-res.com/articles/suppl/m660p217_supp2.pdf. We calculated the estimated received levels of the stimulus at the start of the broadcast using the source level of the stimulus and the transmission loss formula provided by Wensveen (2012). They ranged from 86 to 102 dB re 1 μPa (Table S3). Most whales showed clear horizontal responses to the playback stimuli (either approach or avoidance), indicating that they could detect the broadcasts.

The playback chain consisted of a player (2011, 2012: Micro Track II recorder, M-Audio; 2016, 2017: DR40 recorder, Tascam), an amplifier (2011, 2012: Z8000 amplifier, Cadence Sound; 2016, 2017: XMN502 amplifier, Sony), and a loudspeaker (2011, 2012: LL9642T loudspeaker, Lubell, frequency range 0.2–20 kHz; 2016, 2017: LL9162T loudspeaker, Lubell, frequency range 0.2–20 kHz) with a supplied resistor. The playback boat moved into position before the pre-exposure period ended, turned its engine off, and lowered the loudspeaker 8 m underwater.

2.3. Data treatment and response variables

To test our hypotheses that humpback whales would approach the source of HFKW sounds and avoid the source of MEKW sounds, we computed the horizontal tracks of the focal whale during the playback experiments. We followed the method described by Wensveen et al. (2015) to construct the horizontal track of focal whales, which consists of a dead-reckoning track anchored to visual positions (and GPS fixes when available). We had no tag data for whale mn16_018a (Table 1), so we constructed the horizontal track of this whale with linear interpolation between visual surfacing positions and times. We quantified the approach or avoidance response of humpback whales by calculating a horizontal reaction score (HRS) for each playback.
HRSs measure the difference, relative to the sound source, between the actual position of the focal whale and its extrapolated position based on its movement patterns during the pre-exposure period. Extrapolated distance is the distance between the extrapolated position of the whale and the sound source at time \( t \), real distance is the distance between the whale and the sound source at time \( t \), and initial distance is the distance between the whale and the sound source at the onset of the playback (Fig. 1).

To calculate the extrapolated distance, we converted the latitude and longitude of the whale to UTM coordinates and made a linear regression between the whale’s northing and easting during the pre-exposure period to get the whale’s global heading. Next, we projected the positions of the whale at the start and at the end of the pre-exposure period on the regression axis to obtain the global distance travelled along the regression axis during the pre-exposure period (and thus its global speed). We used the global heading and speed of the whale during the pre-exposure period to extrapolate its position if it had kept the same movement patterns as during the pre-exposure period. The extrapolated distance is measured between the extrapolated position of the whale and the position of the sound source. HRSs are positive values if real distance was smaller than extrapolated distance, which means that the whale approached the sound source relative to its prior movement trajectory, and are negative values if the focal whale avoided the sound source relative to its prior movement trajectory. We measured HRSs at the end of the broadcast (15 min, noted HRS\(_{15}\)) to measure the whale’s response during playback.

We measured additional variables to describe more fully the horizontal and vertical components of the humpback whales’ behaviour. We selected 8 response variables in addition to the HRS\(_{15}\) described above. The horizontal response variables were the HRS\(_{15}\), the HRS\(_{30}\) (measured at the end of the post-exposure period: Fig. 1), the approach index (AI), and the straightness index (SI).

### Approach Index (AI)

\[
AI = \frac{\text{Closest distance to sound source}}{\text{Initial distance to sound source}}
\]  

AI measures the closest approach of the whale to the sound source during the exposure. AI values fall between 0 and 1, with 0 meaning the whale came into contact with the source (strong approach) and 1 meaning the initial distance to the source was also the smallest (immediate avoidance). The AI complements the HRS value, as it quantifies the maximum approach observed over the entire exposure period.

### Straightness Index (SI)

\[
SI = \frac{\text{Distance between start and end of experimental phase}}{\text{Total distance travelled}}
\]

SI measures the directedness of the whale’s course (Batschelet 1981). SI values range from 1 if the whale travelled in a straight line to 0 if it turned in a circle.

We calibrated the pressure sensor data of the tags to compute dive profiles for the focal whales, from which we measured the vertical response variables. We defined a dive as any duration spent deeper than 5 m. We selected this threshold based on the size of the humpback whales and the position of the tags; if the tag reached 5 m underwater, the focal whale was most likely diving. We described the vertical component of the responses with the maximum dive depth and maximum dive duration reached during each experimental period. We used maxima for dive depth and dive duration because the low number of dives within each experimental period (15 min) reduced the efficiency of descriptive statistics such as mean and median and because we judged that maxima would be efficient at detecting outlier response

![Fig. 1. Calculation of horizontal reaction scores (HRSs) from the track of the focal whale. HRSs measure the difference in the distance between the whale and the sound source and the distance expected if the whale had continued the same movement patterns (heading and speed) as during the pre-exposure period (PRE). The HRS\(_{15}\) quantifies the horizontal response during the playback. We used the HRS\(_{15}\) to determine whether behavioural responses extended into the post-exposure period (POST). EXP: exposure period during which the stimulus was presented.](image-url)
dives, such as exploration dives or vertical avoidance dives. For dives which overlapped experimental periods, we only took into account the portion of the dive within each given experimental period.

We calculated differences in SI, maximal dive duration, and maximal dive depth between experimental periods: between the playback period and the pre-exposure period to identify the responses of the whale during the stimulus presentation (noted ‘variablePRE-EXP’) and between the post- and pre-exposure periods to determine whether the responses extended after the end of the broadcast (noted ‘variablePRE-POST’).

2.4. Statistical analysis

First, we performed a Kruskal-Wallis test to determine whether the behavioural responses of humpback whales to killer whale playbacks varied according to killer whale ecotype (either HFKW or MEKW) and context of presentation (either inshore during winter or offshore during summer). We pursued the analysis with a Bonferroni-corrected Dunn’s procedure to identify significant pairwise differences in the responses to the playback between experimental conditions.

Ten of the 14 whales were subjected to only HFKW or MEKW sounds. We considered successive exposures to HFKW or MEKW sounds to the remaining 4 individuals as being independent samples in the Kruskal-Wallis test, after we visually verified the absence of a strong correlation between the behavioural response to successive experiments with the same individual and the lack of any consistent order effect. This allowed the inclusion of unpaired inshore-winter experiments (for whales which were exposed to noise broadcasts) and offshore-summer experiments, which were conducted on different individuals. Moreover, the time interval between successive playbacks to the same individual (1 h 17 s ± 7 min 9 s) was at minimum 3.3 times longer than the playback itself. Therefore, these focal humpback whales likely had the necessary time to engage in further activities after the end of the playback, limiting the degree to which the independence assumption may be violated.

We tested whether the differences in the behavioural responses of humpback whales to the different experiment types were large and consistent enough for a classifier to correctly assign the experiment type—inshore-winter HFKW, inshore-winter MEKW, or offshore-summer MEKW—to a playback from the response variable data. This classification approach included additional aspects of the humpback whales’ behaviour (e.g. diving behaviour). It provided a more integrated description of the responses of humpback whales to the different playbacks. We used the random forest procedure (Breiman 2001). The random forest combines the output of multiple tree classifiers. Each individual tree was trained on a random subset of 4 inshore-winter HFKW, 3 inshore-winter MEKW, and 5 offshore-summer MEKW experiments (roughly two-thirds of each experiment type) and classified the remaining third. For each sample, the random forest outcome was the majority vote of all trees which did not have this sample in their training set. We used median values for whales with missing tag data and incomplete experiments (Table 1, Table S1).

The accuracy of the random forest depends on 3 main parameters: the number of trees, the size of the subset of variables selected to split each node during training, and the depth of the trees. We chose an arbitrarily large number of trees (100 000) because accuracy tends to increase with tree number and because our low sample size made computational costs negligible. We selected 3 variables to split each node. Our choice was guided by the total number of measured variables but reduced compared to standard formulas (e.g. Latinne et al. 2001, Cutler et al. 2007) to increase accuracy (as advised by Breiman 2001). As we were aiming to classify playbacks according to 3 experimental conditions, we constructed the simplest tree classifiers, with 2 splits resulting in 3 terminal nodes, each of which corresponded to 1 experimental condition.

In addition to classification, the random forest provides the possibility to assess the importance of each variable in the decision process. We did so by randomly permuting the values of each variable and computing the resulting reduction in accuracy of the classifier. We centred and scaled all variables before running the random forest to avoid size effects in the classification or the calculation of variable importance (Strobl et al. 2008). We used the 3 most important variables to build a 3-dimensional graphical representation of the behavioural responses to playback.

We used MATLAB R2017a (MathWorks) to calibrate tag data, compute dive profiles and horizontal tracks, and draw the 3-dimensional plot. We used R v.3.4.1 (R Core Team 2017) to run the random forests with the ‘randomForest’ package (Liaw & Wiener 2002) and the Dunn’s procedure with the ‘dunn.test’ package v.1.3.5 (Dinno 2017).
2.5. Ethical note

Tagging activities were licenced under permits issued by the Norwegian Animal Research Authority (2011–2012, permit no. S-2011/38782) and the Norwegian Food Health Authority (2016–2017, permit ID 8165). The research protocol was approved by the Animal Welfare Ethics Committee of the University of Saint Andrews.

3. RESULTS

3.1. Horizontal approach and avoidance responses to killer whale playback

The horizontal responses of humpback whales to killer whale playbacks varied according to the combination of killer whale ecotype and context of presentation (Kruskal-Wallis test: $H = 5.1711$, $p = 0.075$). This $p$-value of 0.075 means that under the assumption that humpback whales respond similarly to IMEKW, OMEKW, and IHFKW playbacks (our null hypothesis), there would only be a 7.5% chance to observe a difference in horizontal response across experiment types as large as the one we report. Specifically, responses to HFKW sounds inshore during winter differed from responses to MEKW sounds offshore during summer (Bonferroni-corrected Dunn’s procedure: $Q = 2.2111$, $p = 0.0405$). Humpback whales approached the source of HFKW sounds inshore during winter (5 of 6 experiments, Fig. 2), whereas they strongly avoided the source of MEKW sounds offshore during summer (7 of 8 experiments, Fig. 2). The horizontal responses to MEKW sounds inshore during winter were more variable, with 2 approaches and 2 avoidances (Fig. 2). They did not significantly differ from either responses to HFKW sounds inshore during winter ($Q = 1.5235$, $p = 0.192$) or responses to MEKW sounds offshore during summer ($Q = 0.3441$, $p = 1$). The horizontal tracks and dive profile of the focal whales during playback experiments are presented in Figs. S1 & S2, respectively.

One playback of MEKW sounds offshore during summer resulted in a large positive HRS, corresponding to an unusually strong horizontal approach (Fig. 2). Closer inspection of the horizontal track (Fig. S1c) and dive profile (Fig. S2c) of this particular whale indicated that it was deep diving during the pre-exposure period and stayed in the same area at the surface during the playback. The HRS of this experiment was 2.5 times larger than a directed approach reaching the sound source (Table S1, Fig. S1t). This case highlights the limitations of the HRS method: large contrasts in behaviour between the pre-exposure and exposure periods may drive the value of the HRS, irrespective of the behaviour relative to the sound source. We therefore assessed the analysis results if we removed this experiment as an outlier. As expected, discarding this outlier greatly reduced the statistical uncertainty of the difference in behavioural response across the different playback types (general comparison: $H = 8.0798$, $p = 0.018$; OMEKW vs. IHFKW: $Q = 2.8221$, $p = 0.007$; OMEKW vs. IMEKW: $Q = 0.8463$, $p = 0.569$). Note that we retained this outlier for all subsequent analyses.

3.2. Horizontal and vertical components of behavioural responses to killer whale playback

The random forest analysis, used to further investigate the horizontal and vertical component of the
behavioural responses to the playbacks, correctly classified 100% of inshore-winter HFKW playbacks, 62.5% of offshore-summer MEKW playbacks, and 50% of inshore-winter HFKW playbacks (Table 2). Taking into account the sample sizes of the different playback types, the classifier achieved an overall accuracy of 78.3% (Table 2).

The most important variable for the random forest classification was the HRS15 (Fig. 3A). Indeed, HRS15 values were mostly positive (5 of 6) for inshore-winter HFKW playbacks, mostly negative (7 of 8) for offshore-summer MEKW playbacks, and variable for inshore-winter MEKW playbacks (Fig. 2).

The second and third most important variables for the random forest classification were the differences in maximum dive duration and maximum dive depth between the post-exposure and the pre-exposure periods (respectively maximum dive durationPRE-POST and maximum dive depthPRE-POST: Fig. 3A). Humpback whales performed deeper and longer dives during inshore-winter HFKW playbacks but were mostly back to pre-exposure dive duration and depth levels as soon as the broadcast ended (Fig. 4D), whereas maximum dive duration tended to increase during inshore-winter MEKW playbacks and to further increase after the end of the broadcast (Fig. 4D). Maximum dive durationPRE-POST and maximum dive depthPRE-POST were more variable for offshore-summer experiments (Fig. 4D,E).

Other response variables were less informative and thus had only a marginal influence on classification performance (Fig. 3A). Horizontal responses over both the exposure and the post-exposure periods (HRS30) followed the same general trends as horizontal responses during the broadcast (HRS15) but were much more variable (Fig. 4A). The SI of the whale tracks tended to decrease in response to all experiment types and covered large ranges (Fig. 4C). The maximum dive depth and duration between the pre-exposure period and the broadcast period tended to increase in response to inshore-winter playbacks of HFKW and MEKW sounds and were variable in response to offshore-summer playbacks of MEKW sounds. However, they covered large ranges in all 3 experiment types (Fig. 4D,E). Moreover, while the AI in response to some inshore-winter HFKW playbacks was very small

| Playback type | Classification outcome | Accuracy (%) |
|---------------|------------------------|--------------|
| HFKW          | 6 0 0 0 0 0            | 100          |
| IMEKW         | 1 2 1 1 2 1            | 50           |
| OMEKW         | 2 1 1 5 1 5            | 62.5         |
| Overall       |                         | 78.3         |

Table 2. Random forest classification of playback experiments according to killer whale ecotype and ecological context. IHFKW: inshore-winter playback of herring-feeding killer whale sounds; IMEKW: inshore-winter playback of mammal-eating killer whale sounds; OMEKW: offshore-summer playback of mammal-eating killer whale sounds.

![Figure 3](image-url)
(indicating close approaches of the sound source), we found a large AI for all 3 experiment types (Fig. 4B).

Numerical values of all response variables are listed in Table S1.
4. DISCUSSION

Our results indicate that the behavioural responses exhibited by humpback whales to the playback of killer whale sounds differed according to the combination of killer whale ecotype and ecological context of presentation. Humpback whales horizontally approached the source of HFKW sounds and performed deeper and longer dives during the broadcasts. In contrast, the sounds of unfamiliar MEKWs triggered mostly horizontal avoidance responses. These differences in response suggest that humpback whales may be able to discriminate between the sounds of unfamiliar, potentially predatory killer whales and familiar killer whales feeding on the same prey.

Based on the output from the random forest classification, we were able to describe the typical behavioural responses of humpback whales to killer whale playback according to killer whale ecotype and ecological context. Specifically, humpback whales were strongly attracted to the sounds of HFKWs inshore during winter (HRS15; Fig. 4A; AI: Fig. 4B). This attraction exhibited during the playback typically did not last during the post-exposure period (HRS30, Fig. 4A). Dive depth and duration were greater during such playbacks. These diving responses ceased as soon as the playback stopped (maximal dive duration and maximal dive depth: Fig. 4D,E). By comparison, 7 of 8 humpback whales showed strong avoidance away from the source of MEKW sounds offshore during summer (HRS15; Fig. 4A; Al: Fig. 4B). Some avoidance responses extended into the post-exposure period (HRS30: Fig. 4A). The maximum dive duration and depth varied greatly across individuals (Fig. 4D,E). The behavioural responses of humpback whales to the playback of MEKW sounds inshore during winter were variable, with 2 strongAvoidances and 2 approaches (HRS: Fig. 4A; Al: Fig. 4B). Humpback whales tended to dive deeper and for longer durations during such playbacks, and the increasing trend continued into the post-exposure period (maximum dive duration and depth: Fig. 4D,E).

The ability to discriminate between familiar and unfamiliar heterospecifics has been demonstrated in many terrestrial taxa, for instance in birds (e.g. Wascher et al. 2012) or primates (e.g. Candiotti et al. 2013). Moreover, the ability to associate discrete heterospecific calling behaviours with distinct ecological significance was also shown to be widespread in terrestrial animals (e.g. Fuong et al. 2014, Dawson Pell et al. 2018). Our results indicated the ability to discriminate between the sounds of 2 killer whale ecotypes in a baleen whale species, the humpback whale. Humpback whale calves and juveniles are particularly targeted by killer whale attacks (Naessig & Lanyon 2004, Steiger et al. 2008, McCordic et al. 2014). Therefore, humpback whales may benefit from differentiating between predatory and non-predatory killer whale ecotypes. The ability to discriminate between the sounds of different killer whale ecotypes has been demonstrated in a species of pinniped (Deecke et al. 2002) and in a species of odontocete (Curé et al. 2019). Our results, along with the results from Deecke and colleagues and Curé and collaborators, suggest that fine-scale acoustic discrimination abilities may be widespread among marine mammals. Given the importance of the acoustic channel for information transfer in the marine environment, marine mammals could eavesdrop on heterospecific sounds to adopt appropriate behaviours ahead of or during interspecific interactions.

Although humpback whales have been documented to approach MEKWs in several locations, this behavior was never observed in the Northeast Atlantic (Pitman et al. 2017). Therefore, the ‘approach and explore’ responses of humpback whales to broadcasts of familiar HFKW sounds inshore during winter are more consistent with observations of humpback whales and HFKW feeding aggregations in the study area than with a predator inspection or mobbing behaviour in response to a perceived predator. Jourdain & Vongraven (2017) observed that such mixed-species aggregations were most often initiated by killer whales and posited that killer whale feeding sounds may attract humpback whales; our results are consistent with this hypothesis.

The tendency of humpback whales to avoid the source of unfamiliar MEKW sounds is in line with the behaviours of other cetacean species interacting with killer whales (reviewed by Jefferson et al. 1991) or responding to killer whale sound playbacks (e.g. Deecke et al. 2002, Bowers et al. 2018, Curé et al. 2019). Our results indicate that unfamiliar MEKW sounds were likely perceived as a threat by humpback whales. Some cetacean species exhibit flight strategies when confronted with a predator, physically defending themselves or mobbing the attacker, whereas other species adopt flight responses to prevent predator encounters or to avoid an upcoming attack (Ford & Reeves 2008). In addition, some species can exhibit different anti-predator strategies depending on context. Humpback whales are considered, from anatomical observations and documented encounters with killer whales, as a flight strategist species (Ford & Reeves 2008, Pitman et al. 2017).
However, as reported previously (Curé et al. 2015) and confirmed here, humpback whales can show flight responses away from a source broadcasting unfamiliar MEKW sounds, a context which simulates an early stage of an encounter with predatory killer whales which may not have detected the humpback whales yet.

The behavioural responses of humpback whales to familiar HFKW sounds were more correctly classified (100%) than the responses to unfamiliar MEKW sounds, both inshore during winter (50%) and offshore during summer (62.5%). The possible 'dinner-bell' effect of familiar HFKW sounds was clearly identifiable: it consisted of a horizontal approach towards the sound source and an increase of dive depth and duration, potentially to explore the environment around it. However, anti-predator strategies likely vary depending on the internal state of the animals, the characteristics of their habitat, and the nature of the threat: behaviours may include horizontal or vertical avoidance, crypsis, or any combination of these strategies (Ford & Reeves 2008). Therefore, the expected anti-predator responses to unfamiliar MEKW sounds may be less stereotyped than the approach responses expected during familiar HFKW playbacks and could be more difficult to recognise using the random forest.

Another striking difference between the behavioural responses of humpback whales to the sounds of familiar HFKWs and unfamiliar MEKWs was that the former only lasted to the end of the playback, whereas the latter tended to extend into the post-exposure period. MEKWs are mostly silent when hunting and, until an attack is launched, most likely to avoid being detected by their acoustically sensitive prey (e.g. Guinet et al. 2000, Deecke et al. 2005, Jourdain et al. 2017). However, they commonly produce sounds after a kill and when consuming a prey (Deecke et al. 2005). Therefore, the cessation of MEKW sounds could indicate to prey species that nearby predators have started an active hunt, thus eliciting anti-predator behaviour in humpback whales. The dive depth and duration of humpback whales was higher after inshore-winter playbacks of MEKW sounds than during these broadcasts. Curé and colleagues also noted that 5 of 8 humpback whales off Spitsbergen (offshore-summer experiments in this study) increased their swimming speed during MEKW playbacks and further increased it when the playbacks ended (Curé et al. 2015).

The behavioural responses of humpback whales to the sounds of unfamiliar MEKWs seemed to be influenced by the ecological context in which we presented the sounds. Humpback whales showed strong avoidance of MEKW sounds in 7 of 8 offshore-summer experiments. Compared with this clear response, humpback whales showed contrasted responses to the sounds of unfamiliar MEKWs inshore during winter: 2 whales approached the source of the sounds, and 2 other individuals swam away from it.

These contexts of presentation differed in topography and corresponded to different functional seasons for humpback whales. Although the fjords are not so narrow that they impede escape, the freedom of movement of humpback whales in fjords is reduced compared to the open sea. Moreover, several species of cetaceans, including humpback whales, were reported staying in kelp beds or in shallow areas during killer whale encounters (Ford & Reeves 2008): such refuges may only be found in the inshore environment. Both the greater freedom of movement to escape and the absence of hiding places suggest that the avoidance response of humpback whales to killer whales may be stronger offshore. In addition, offshore humpback whales may not have experience feeding commensally with killer whales, compared to inshore humpback whales which routinely form mixed-species feeding aggregations with HFKWs (Jourdain & Vongraven 2017). This difference in experience could explain why offshore humpback whales were less likely to approach the source of killer whale sounds (1 of 8 cases) than inshore humpback whales (7 of 10 cases). However, we have no information about the life history of the humpback whales we tagged during this study to verify this hypothesis. Another difference between the 2 contexts is that offshore humpback whales were exposed to naval sonar prior to the killer whale playbacks (Table S3). Sonar exposures may have sensitised the humpback whales or increased the likeliness of an avoidance response to the killer whale playbacks.

The experiments performed offshore during early summer were representative of a context in which humpback whales need to replenish their resources after a breeding season in tropical waters and a round-trip migration without feeding (Clapham & Mead 1999). In contrast, January marks the end of the feeding season, and thus humpback whales were presumably in optimal body condition and most likely about to start their southward migration. The offshore-summer and inshore-winter situations may therefore correspond to different balances along the trade-off between foraging and escaping predation risk, which could explain why responses to MEKW sounds depended on context. Offshore during sum-
mer, avoiding MEKWs means evading the risk of injury or death but losing the benefits of the current behaviour (mostly foraging: Simon 2005), while not avoiding them implies an increased predation risk but ensures the completion of the current activity. Inshore during winter, humpback whales reach the end of the feeding season: there is less pressure to forage, and humpback whales in optimal condition could be less vulnerable to predator encounters. The decision whether to avoid potential predators depends on both how vulnerable individuals are and how beneficial their current behaviour is. Similar trade-offs between foraging and predation risks have been described in bats (e.g. Arndt et al. 2018) or lizards (e.g. Cooper 2000).

Inshore during winter, humpback whales exposed to the playback of unfamiliar MEKW sounds showed contrasting responses: 2 individuals (mn16_020a and mn16_023a) approached the sound source, whereas 2 individuals (mn17_024a and mn17_026a) avoided it. There was no strong relationship between the behavioural responses to the stimulus and whether it was presented first or second (Table S1). Interestingly, both inshore-winter MEKW playbacks performed in 2016 resulted in approaches, whereas both 2017 experiments triggered avoidance responses. Some ecological variable may have changed from 2016 to 2017, which could explain the contrast in the humpback whale responses to our acoustic stimuli. Food availability could be a suitable candidate variable. If there was less herring in the fjords, humpback whales could have been in worse condition and therefore less likely to be able to fight killer whale attackers or sustain the costs of escaping. Unfamiliar MEKW sounds could then have elicited stronger avoidance responses. Alternatively, a fluctuating presence of local MEKWs in the fjords could result in avoidance responses of variable intensity through habituation or sensitisation. The ecology of marine MEKWs in the Northeast Atlantic is poorly known (Jourdain et al. 2017). However, some killer whales from this population were reported hunting seals and cetacean prey, such as porpoises and minke whales (de Bruyn et al. 2013). Therefore, it may be possible that humpback whales encounter both HFKWs and MEKWs from the study region within a short time span.

Over the course of this study, we selected the first whale we could tag as the focal individual. As a result, the humpback whales we monitored during playback experiments could have been a biased subset of the populations: individuals more likely to approach the experimental vessels or easier to tag, therefore possibly less adverse to disturbance in general. Our experimental protocol required the prolonged presence of a tracking boat close to the animals. However, the average distance between the focal whale and the tracking boat was 213.3 ± 131.4 m and thus larger than the 100 m threshold for disturbance previously defined (e.g. Scheidat et al. 2004, Stamation et al. 2009). It is unlikely that the tracking boat greatly affected the responses of the focal whales to the playback stimuli. Moreover, given that the tracking boat was present before, during, and after the playback experiments, we assumed that the recorded behavioural responses were elicited by the sound stimuli presentation and not by the boat presence.

The initial distance between the sound source and the focal whale (Table S1) was set up to be closer than the likely distance over which humpback whales could have been able to detect killer whale sounds. The sudden occurrence of nearby killer whale sounds might have exacerbated the responses of humpback whales to the acoustic stimuli. However, killer whales are not constantly vocally active. Our protocol, simulating the presence of killer whales starting to vocalise several hundred meters from the humpback whales, mimicked a potential real scenario.

The humpback whales we monitored during this study were familiar with HFKW sounds (at least inshore during winter) but most likely unfamiliar with the MEKW sounds we used. Therefore, we could not establish whether humpback whales identified each ecotype or if they simply distinguished familiar from unfamiliar killer whale sounds. In a similar study, Deecke and colleagues concluded that harbour seals habituated selectively to local, non-threatening killer whales (Deecke et al. 2002). Additional experiments, using sounds of unfamiliar fish-eating killer whales or familiar MEKWs, are still needed before we can reach such a definitive conclusion.

Our study used acoustic recordings of natural sequences and hence was not designed to elucidate which sound features specifically enabled the humpback whales to discriminate between the 2 sound types. The major acoustic difference between HFKWs and MEKWs is that the former rely on echolocation clicks for foraging and display tail slap sounds as part of the herring-foraging strategy (Simon 2005), whereas the latter produce much fewer clicks and no tail slaps (Deecke et al. 2005). The presence of tail slaps or multiple echolocation clicks could signal to humpback whales if they are facing a group of HFKWs or MEKWs. Further playback experiments...
could provide more insight into the acoustic parameters likely to support the discrimination between the sounds of different killer whale ecotypes by other marine mammal species. Chimera stimuli, such as HFKW calls without echolocation clicks and tail slaps or MEKW calls associated with intense echolocation activity and tail slaps, could be used to determine whether echolocation activity and specific feeding sounds are crucial in the discrimination process.

It might also be that some specific parameters in MEKW sounds trigger anti-predator responses. Indeed, it was recently shown in other species exposed to the playback of MEKW sounds that the presence of call types containing non-linear phenomena in the stimuli induced strong anti-predator responses (Bowers et al. 2018). Moreover, HFKWs and MEKWs also differ in the characteristics of their calls, such as frequency modulation patterns (Deecke et al. 2005) or frequency (Filatova et al. 2015). Some parameters of the calling behaviour (e.g. call rate, overlapping calls) may be indicators of the size of the killer whale group or of the behaviour they are engaged in. Thus, the level of vocal activity of a killer whale group may be related to the level of threat they represent to humpback whales (Lima & Dill 1990). Further research could identify differences across various acoustic parameters between the vocal production of different killer whale ecotypes or of killer whales engaged in different activities. These specific parameters may convey the information which helps eavesdroppers, such as humpback whales, to decide on which behaviour to adopt upon the detection of killer whale sounds.

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