Pion Form Factor in QCD Sum Rules with Nonlocal Condensates and in the Local-Duality Approach

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We discuss the QCD sum-rule approach for the spacelike electromagnetic pion form factor in the $O(\alpha_s)$ approximation. We show that the nonlocality of the condensates is a key point to include nonperturbative contributions to the pion form factor. We compare our results with the Local-Duality predictions and show that the continuum threshold $s_0(Q^2)$ parameter is highly underestimated in the Local-Duality approach at $Q^2 \gtrsim 2$ GeV$^2$. Using our fit for this parameter, $s_0^{LD}(Q^2)$, and applying the fractional analytic perturbation theory, we estimate with an accuracy of the order of 1% the $O(\alpha_s^2)$ contribution to the pion’s form factor.

Keywords: Pion form factor; QCD sum rules; quark-gluon correlators.

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1. A tribute to Prof. Efremov’s 75th birthday

We are happy to point out in this Festschrift, the influence of Prof. Efremov’s work on our own research activities.

A. V. Efremov is one of the inventors of the factorization theorems in quantum field theory, which form the basis of perturbative QCD applications in exclusive1 and inclusive2 reactions with hadrons. Without these tools, the experimental verification of QCD would be impossible. In cooperation with his then student

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A. V. Radyushkin he generalized the factorization theorems for the meson form factors, linking diagrammatic techniques with the operator product expansion (OPE). And all these achievements were based on previous investigations by Efremov.

Moreover, Efremov and Radyushkin have diagonalized the anomalous-dimensions matrix for meson operators (in leading order) in terms of Gegenbauer polynomials and first obtained the asymptotic distribution amplitude (DA) \( \varphi(x, \mu^2 \to \infty) \to \varphi^{as}(x) = 6x(1-x) \).

Factorization theorems make it possible to calculate various hard processes in QCD involving mesons, in which the meson DAs enter as the central nonperturbative input. The title of the paper "Factorization and asymptotic behaviour of pion form factor in QCD" shows explicitly that the main interest was focused on the pion form factor (FF) for which the leading asymptotics at large \( Q^2 \) was revealed:

\[
F_\pi(Q^2) \to 8\pi f_\pi^2 \alpha_s(Q^2)/Q^2.
\]

The precise value of \( Q^2 \) at which this asymptotic regime starts to prevail cannot be determined accurately: the estimates range from 100 GeV\(^2\) in Refs. 7, 8, 9 down to values around 20 GeV\(^2\) in Refs. 10, 11. However, all these values are still rather far away from the capabilities of any operating or planned accelerator facility.

To estimate the pion FF at intermediate \( Q^2 \), one actually needs to employ some nonperturbative approach, like the QCD Sum Rules or Local Duality.

We present here our recent results on the pion FF obtained with QCD Sum Rules (SRs) with nonlocal condensates (NLCs).

### 2. Pion form factor in the QCD SRs approach

The spacelike electromagnetic pion form factor (FF) describes the scattering of charged particles off the pion by exchanging a photon and is defined by the following matrix element:

\[
\langle \pi^+(P') | J_\mu(0) | \pi^+(P) \rangle = (P + P')_\mu F_\pi(Q^2).
\]

Here \( J_\mu \) is the electromagnetic current and \( q \) is the photon momentum \( q^2 = (P' - P)^2 = -Q^2 < 0 \) in the spacelike region. To extract information about the pion form factor in the QCD SR approach, one needs to investigate the Axial-Axial-Vector (AAV) correlator of the EM current

\[
J^\mu(x) = e_u \bar{u}(x) \gamma^\mu u(x) + e_d \bar{d}(x) \gamma^\mu d(x) \quad (\text{here } e_u = 2/3 \text{ and } e_d = -1/3 \text{ stand for the electric charges of the } u \text{ and the } d \text{ quarks})
\]

and two axial-vector currents

\[
J_{5\alpha}(x) = \bar{d}(x) \gamma_5 \gamma_\alpha u(x).
\]

Using the standard QCD SRs technique in conjunction with the concept of nonlocal condensates, we obtain the following SR:

\[
f_\pi^2 F_\pi(Q^2) = \int \int d^4 x \, d^4 y \, e^{i(qx-P'y)} \langle 0 | [J_{5\beta}^+(y) J^\mu(x) J_{5\alpha}(0)] | 0 \rangle.
\]

Using the standard QCD SRs technique in conjunction with the concept of nonlocal condensates, we obtain the following SR:

\[
f_\pi^2 F_\pi(Q^2) = \int \int ds_1 \, ds_2 \, \rho_3(s_1, s_2, Q^2) e^{-(s_1+s_2)/M^2}
\]

\[
+ \Phi_G(Q^2, M^2) + \Phi_{(\bar{q}q)}(Q^2, M^2),
\]

(1)
where $M^2$ is the Borel parameter, the term $\Phi_G(Q^2, M^2)$ represents the gluon-condensate contribution, while the quark-condensate contribution $\Phi_{q\bar{q}}(Q^2, M^2)$ consists of the sum of the four-quark condensate $\Phi_{4q}(Q^2, M^2)$, the bilocal vector-quark condensate $\Phi_{2V}(Q^2, M^2)$, and the antiquark-gluon-quark condensate $\Phi_{\bar{q}Aq}(Q^2, M^2)$.

The three-point spectral density is of the form
\[
\rho_3^{(1)}(s_1, s_2, Q^2) = \rho_3^{(0)}(s_1, s_2, Q^2) + \frac{\alpha_s(Q^2)}{4\pi} \Delta \rho_3^{(1)}(s_1, s_2, Q^2),
\]
where the leading-order spectral density has been calculated long ago,\textsuperscript{12,13} while the next-to-leading order (NLO) version $\Delta \rho_3^{(1)}(s_1, s_2, Q^2)$ has been derived recently in Ref. 20. The contribution from higher resonances is usually taken into account in the form of $\rho_{HR}(s_1, s_2) = \left[1 - \theta(s_1 < s_0)\theta(s_2 < s_0)\right] \rho_3(s_1, s_2, Q^2)$ and contains the continuum threshold parameter $s_0$. We use in the perturbative spectral density the analytic version of the running coupling that avoids Landau singularities by construction (see for reviews in Refs. 21, 22, 23):
\[
\alpha_s(Q^2) = \frac{4\pi}{b_0} \left( \frac{1}{\ln(Q^2/\Lambda^2_{QCD})} - \frac{\Lambda^2_{QCD}}{Q^2 - \Lambda^2_{QCD}} \right)
\]
with $b_0 = 9$ and $\Lambda_{QCD} = 300$ MeV.

### 3. Nonlocal condensates in QCD SRs for the pion FF

In perturbation theory, the vacuum coincides with the ground state of the free-field theory; hence the expectation value of the normal product is zero. Therefore, there are no condensate terms in perturbation theory. However, in the physical vacuum this is not the case. For this reason, in the standard QCD SR approach the nonzero quark condensate $\langle \bar{q}q \rangle \equiv \langle \bar{q}A(0)qA(0) \rangle$ appears. The value of this constant was defined through comparison with experimental data for the $J/\psi$-meson,\textsuperscript{24} Assuming a small coordinate dependence, the quark condensate can be represented by the first two terms of the Taylor expansion
\[
\langle \bar{q}_B(0) q_A(x) \rangle = \frac{\delta_{AB}}{4} \langle \bar{q}q \rangle + \ldots + i \frac{\delta_{AB}}{4} x^2 \frac{2\alpha_s \pi \langle \bar{q}q \rangle^2}{81} + \ldots,
\]
where we kept the scalar and vector parts apart. Note that the condensates in this representation are local.

As has been shown in Refs. 16, 17, 25, 26 the local approximation (4) is not reasonable for studying FFs and DAs. The reason is the unphysical behavior of the local condensate (4) at large $x^2$, which entails a constant scalar term and a vector term that is even growing with the distance between the quarks $x^2$. As a result, the nonperturbative part of the OPE linearly increases with the momentum $Q^2$: $(c_1 + Q^2/M^2)$, where $c_1$ is a dimensionless constant (not depending on $Q^2$). At the same time, the perturbative part decreases with $Q^2$, hence generating an
inconsistency of the SR at intermediate and large $Q^2$. Therefore, we can not rely upon the obtained SR for the pion FF for momentum values $Q^2 > 3 \text{ GeV}^2$.

In order to improve the $Q^2$ dependence, one needs to modify the model of the quark-condensate behavior at large distances. Indeed, lattice simulations\textsuperscript{27,28} and instanton models\textsuperscript{29,30} indicate a decrease of the scalar quark condensate with increasing interquark distance, thus confirming the approach of NLCs.\textsuperscript{16} The main strategy of the NLC SRs\textsuperscript{16,17,25} is to avoid the original Taylor expansion and to deal directly with the NLCs by introducing model functions that describe the coordinate dependence of the condensates.

In the NLC approach the bilocal quark-antiquark condensate has the following form:

$$\langle \bar{q}_A(0)q_B(x) \rangle = \frac{1}{4} \int_0^\infty \left[ \delta_{BA} \langle \bar{q}q \rangle f_S(\alpha) - i A_0 \hat{x}_{BA} f_V(\alpha) \right] e^{\alpha x^2/4} d\alpha, \quad (5)$$

which, for the most general case, is parameterized by the distribution functions $f_S(\alpha)$ and $f_V(\alpha)$, with $A_0 = 2\alpha_s \pi \langle \bar{q}q \rangle^2 / 81$. The explicit form of these functions must be taken from a concrete model of the nonperturbative QCD vacuum. In the absence of an exact QCD solution, it was proposed\textsuperscript{16} to use the first nontrivial approximation which takes into account only the finite width of the spatial distribution of the vacuum quarks: $f_S(\alpha) = \delta (\alpha - \lambda_q^2/2)$. This generates a Gaussian form of the NLC in the coordinate representation:

$$\langle \bar{q}_A(0)q_A(x) \rangle = \langle \bar{q}q \rangle e^{-|x|^2\lambda_q^2/8},$$

which leads to the following form of the condensate contributions to the FF: $(c_1 + Q^2/M^2) e^{-c_2 Q^2\lambda_q^2/M^4}$, where $c_i$ are dimensionless constants not depending on $Q^2$. Thus, the nonlocality of the vacuum condensates generates a decreasing behavior of the nonperturbative part of the FF at large $Q^2$.

The same technique is applied in the case of the mixed quark-gluon condensate, $\langle \bar{q}_B(0)(-g A^a_\mu(y) t^a)q_A(x) \rangle$. There are two models for this condensate: the minimal and the improved one, see for details in Ref.\textsuperscript{19,26} The nonlocal gluon-condensate contribution produces a very complicated expression. But owing to its smallness, we can model the nonlocality of the gluon-condensate in analogy to the quark case, using an exponential factor, $e^{-\lambda_g^2 Q^2/M^4}$.

The described NLC QCD SR approach was used for the calculation of the pion FF in Ref.\textsuperscript{26} This method yields predictions for the spacelike pion form factor (see Fig. 1) that compare well with the experimental data of the Cornell\textsuperscript{32} (triangles) and the JLab Collaborations\textsuperscript{33} (diamonds) in the momentum region currently accessible to experiment. These predictions cover also the range of momenta to be probed by the 12 GeV\textsuperscript{2} upgraded CEBAF accelerator at the Jefferson Lab in the near future. This planned high-precision measurement of the pion FF at JLab will certainly help to check the quality of the discussed NLC models.

\textsuperscript{a}We use the Euclidean interval $x^2 = -s^2 - t^2 < 0$. As usual in the QCD SR approach, the Fock–Schwinger gauge is used. For this reason, all string connectors $C(x,0) = \mathcal{P} \exp[-ig_5 f_5 t^a A^a_\mu(y) dy^\mu] = 1$. 


Fig. 1. Scaled pion form factor $Q^2 F_\pi(Q^2)$ for the minimal NLC model (shown as a thick broken line inside the shaded band delimited by the dashed lines which denote the uncertainty range). The improved NLC model is represented by a solid line inside the shaded band within the solid lines ($\lambda_2^2 = 0.4$ GeV$^2$). The two broken vertical lines mark the region, where the influence of the particular Gaussian model used to parameterize the QCD vacuum structure in the NLC QCD SRs is not so strong. The recent lattice result of Ref. 31 is shown as a monopole fit with error bars between the two thick lines at lower $Q^2$.

4. Pion FF in the Local-Duality approach

The LD SR\cite{12,34} is constructed from the original QCD SR in the $M^2 \to \infty$ limit. For this reason it has no condensate contributions. The main nonperturbative ingredient in this approach is the effective continuum threshold $s_0^{\text{LD}}$ — it inherits all the nonperturbative information from the original QCD SR. At the $(l+1)$-loop order we have

$$F^{\text{LD};(l)}_\pi(Q^2, S) \equiv \int_0^S \int_0^S \rho^{(l)}_3(s_1, s_2, Q^2) \frac{ds_1 ds_2}{f_\pi^2},$$

(6)

where $S$ should be substituted by the LD effective threshold, $s_0^{\text{LD};(l)}(Q^2)$, and $\rho^{(l)}_3(s_1, s_2, Q^2)$ is the three-point $(l+1)$-loop spectral density. In leading order the integration can be done analytically and yields

$$F^{\text{LD};(0)}_\pi(Q^2, S) = \frac{S}{4\pi^2 f_\pi^2} \left( 1 - \frac{Q^2 + 6S}{Q^2 + 4S} \sqrt{\frac{Q^2}{Q^2 + 4S}} \right).$$

The LD prescription for the corresponding correlator\cite{24,34} implies the relations

$$s_0^{\text{LD};(0)}(0) = 4\pi^2 f_\pi^2 \simeq 0.7 \text{ GeV}^2$$

(7a)

and

$$s_0^{\text{LD};(1)}(0) = \frac{4\pi^2 f_\pi^2}{1 + \alpha_s(Q_0^2)/\pi} \simeq 0.6 \text{ GeV}^2,$$

(7b)

where $Q_0^2$ is of the order of $s_0^{\text{LD};(0)}(0)$. This prescription is a strict consequence of the Ward identity for the $A_A V$ correlator due to the vector-current conservation. In
principle, the $Q^2$ dependence of the LD parameter $s_{LD}^0(Q^2)$ should be determined from the QCD SR at $Q^2 \gtrsim 1$ GeV$^2$. But as explained in Refs. 35, 36, 26 the standard QCD SR becomes unstable at $Q^2 > 3$ GeV$^2$ because of the appearance of terms in the condensate contributions linearly growing with $Q^2$. For this reason, this dependence was known only for $Q^2 \lesssim 3$ GeV$^2$ and, therefore, most authors usually used the constant approximation $s_{LD}^{0}(Q^2) \approx s_{LD}^{0}(0)$, like in Refs. 12, 15, 11, 20, or a slightly $Q^2$-dependent approximation $s_{LD}^{0}(Q^2) \approx 4\pi^2 f^2 / (1 + \alpha_s(Q^2) / \pi)$, like in Ref. 9.

But now, due to the knowledge of the NLC QCD SR prediction for the pion FF for $Q^2 = 1 - 10$ GeV$^2$, we can estimate the effective LD thresholds $s_{LD}^0(Q^2)$, which reproduce these predictions in the LD approach, for the two used Gaussian models of the QCD vacuum, the minimal and the improved one. Results are shown in Fig. 1. They can be represented in this $Q^2$ range by the following interpolation formulas:

$$s_{LD}^{0,\text{min}}(Q^2) = 0.57 + 0.307 \tanh(0.165 x) - 0.0323 \tanh(775 x)$$

$$s_{LD}^{0,\text{imp}}(Q^2) = 0.57 + 0.461 \tanh(0.0954 x)$$

We see that $s_{LD}^{0}(Q^2)$ in the mentioned range of $Q^2$ is a monotonically increasing function. Therefore, $s_{LD}^{0}(Q^2) \neq s_{SR}^{0}(Q^2) \approx 0.7$ GeV$^2$ and, due to this difference, the LD approaches of Refs. 11, 20, 9 produce significantly lower predictions for $Q^2 F_\pi(Q^2)$ as compared with QCD SRs with NLCs.

**5. Using Fractional Analytic Perturbation Theory for two-loop estimates**

To estimate the Next-to-Next-to-Leading-Order (NNLO), i.e., the two-loop, contribution to the pion FF in the QCD SR approach, one needs to calculate the
three-loop spectral density $\rho_3^{(3)}(s_1, s_2, Q^2)$ — a complicated task. We want to avoid this calculation and suggest instead to use the known collinear two-loop result, the LD model for the soft part with an improved parameter $s_0^{\text{LD}}(Q^2)$, and the matching procedure of Ref. [11]. We also apply Fractional Analytic Perturbation Theory (FAPT) for the two-loop collinear expression in order to have an approximate independence with respect to the renormalization and factorization scales, see in Refs. [11, 22].

To combine the dominant, at small $Q^2 \leq 1 \text{ GeV}^2$, LD model for the soft part, $F_\pi^{\text{LD},(0)}(Q^2)$, with the perturbative hard-rescattering part, $F_\pi^{\text{pQCD},(2)}(Q^2)$ (which provides the leading perturbative $O(\alpha_s) + O(\alpha_s^2)$ corrections and is dominant at large $Q^2 \gg 1 \text{ GeV}^2$), in such a way as to ensure the validity of the Ward identity (WI) $F_\pi^{\text{WI};(2)}(Q^2) = 1$, we apply the matching procedure, introduced in Ref. [11]:

$$F_\pi^{\text{WI};(2)}(Q^2) = F_\pi^{\text{LD},(0)}(Q^2) + \left(\frac{Q^2}{2s_0^{(2)} + Q^2}\right)^2 F_\pi^{\text{pQCD},(2)}(Q^2)$$

with $s_0^{(2)} \approx 0.6 \text{ GeV}^2$. To test the quality of the matching prescription given by Eq. (9), we compare it with the LD model (6) evaluated at the $O(\alpha_s)$-approximation. To this end, we construct the analogous $O(\alpha_s)$-model $F_\pi^{\text{WI};(1)}(Q^2)$, where we substitute $F_\pi^{\text{pQCD},(2)}(Q^2)$ by $F_\pi^{\text{pQCD},(1)}(Q^2) = 2\alpha_s(Q^2)s_0^{\text{LD};(0)}(Q^2)/\pi Q^2$ and employ the same prescription for the effective LD threshold as in Refs. [9, i.e., Eq. (7b). The key feature of this matching recipe is that it uses the information on $F_\pi(Q^2)$ in the two asymptotic regions:

1. $Q^2 \to 0$, where the Ward identity dictates $F_\pi(0) = 1$ and, hence, $F_\pi(Q^2) \simeq F_\pi^{\text{LD},(0)}(Q^2)$,
2. $Q^2 \to \infty$, where $F_\pi(Q^2) \simeq F_\pi^{\text{pQCD},(1)}(Q^2)$

in order to combine properly the hard tail of the pion FF with its soft part. Numerical analysis shows that the applied prescription yields a pretty accurate result, with a relative error varying in the range 5% at $Q^2 = 1 \text{ GeV}^2$ to 9% at $Q^2 = 3-30 \text{ GeV}^2$. Note here that this recipe was proposed without the knowledge of the exact two-loop spectral density — this appeared later [20].

Now, knowing the exact expression for the spectral density $\rho_3^{(1)}(s_1, s_2, Q^2)$, we can improve the representation of the LD part by taking into account the leading $O(\alpha_s)$ correction in the electromagnetic vertex. To achieve this goal, we suggest the following improved WI model:

$$F_\pi^{\text{WI};\text{imp}}(Q^2, S) = F_\pi^{\text{LD},(0)}(Q^2, S) + \frac{S}{4\pi^2 f_\pi^2} \frac{\alpha_s(Q^2)}{\pi} \left(\frac{2S}{2S + Q^2}\right)^2 + \frac{S}{4\pi^2 f_\pi^2} F_\pi^{\text{pQCD},(1)}(Q^2) \left(\frac{Q^2}{2S + Q^2}\right)^2$$

with the subsequent substitution $S \to s_0^{\text{LD};(1)}(Q^2)$. Numerical evaluation of this new WI model in comparison with the exact LD result in the one-loop approximation
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shows that the quality of the matching condition is improved: the relative error is reduced, reaching only 4% at $Q^2 = 1 - 10 \text{ GeV}^2$.

We construct the two-loop WI model $F_{\pi}^{\text{WI};(2)}(Q^2, s_0^{\text{LD};(2)}(Q^2))$ for the pion FF to obtain

$$F_{\pi}^{\text{WI};(2)}(Q^2, S) = F_{\pi}^{\text{LD};(0)}(Q^2, S) + \frac{S}{4\pi^2 f_{\pi}^2} \frac{\alpha_s(Q^2)}{\pi} \left( \frac{2S}{2S + Q^2} \right)^2$$

$$+ \frac{S}{4\pi^2 f_{\pi}^2} F_{\pi}^{\text{FAPT};(2)}(Q^2) \left( \frac{Q^2}{2S + Q^2} \right)^2,$$  \(11\)

where $F_{\pi}^{\text{FAPT};(2)}(Q^2)$ is the analyticized expression generated from $F_{\pi}^{\text{pQCD};(2)}(Q^2)$ using FAPT (see Refs. 37, 38, 22) to get a result which appears to be very close to the outcome of the default scale setting ($\mu_F^2 = \mu_F^2 = Q^2$), investigated in detail in Ref. 11 in the APT approach. FAPT is needed here in order to obtain analytic expressions for the pion FF, using two possible options for the factorization scale:

(i) For $\mu_F^2 = Q^2$, there appear factors of the type $[\alpha_s(Q^2)]^\nu$ with fractional powers $\nu = \frac{\gamma_n}{2b_0}$ due to the evolution of the pion distribution amplitude;

(ii) For $\mu_F^2 = \text{const.}$, the factor $[\alpha_s(Q^2)]^2 \ln(Q^2/\mu_F^2)$ appears.

In any case, the NNLO correction involves the analytic image of the second power of the coupling, $A_2(Q^2)$. For this reason, we call the whole $F_{\pi}^{\text{FAPT};(2)}(Q^2)$ term the $O(A_2)$ contribution.

![Fig. 3. We show as a narrow dashed-dotted strip the predictions for the pion FF, obtained in the two-loop WI model, Eq. (11), using the minimal (left panel) and the improved (right panel) Gaussian models. The width of the strip is due to the variation of the Gegenbauer coefficients $a_2$ and $a_4$ (needed to calculate the collinear part $F_{\pi}^{\text{pQCD};(2)}(Q^2)$) in the corresponding shaded bands for the pion DA (indicated by the central solid line). Note that this dashed-dotted strip shows the effect of the $O(A_2)$ correction only for the central solid curve of the shaded band.](image)

It is interesting to note here, that in the case of the one-loop approximation, the relative error of the WI model (11) appears to be of the order of 10%. The relative weight of the $O(\alpha_s^2)$-contribution to the pion FF is of the order of 10%, as has been shown in Refs. 11, 22. Hence, the relative error of our estimate is of the order of 1%—provided we take into account the $O(\alpha_s)$-correction exactly via the specific choice of $s_0(Q^2)$, as done in Eq. (8a).
The results obtained for the pion FF with our two-loop model, i.e., Eq. (11), and using the effective LD thresholds $s_0^{LD}(Q^2)$, are displayed in Fig. 2. We see from this figure that the main effect of the NNLO correction peaks at $Q^2 \gtrsim 4$ GeV$^2$, reaching the level of $3 - 10\%$.

6. Conclusions

We presented here the results for the spacelike pion form factor obtained within the QCD SR approach, using two different Gaussian NLC models. These NLCs entail the decay of the nonperturbative OPE terms at large $Q^2$. These NLCs make the QCD SR stable and enlarge the region of its applicability towards momenta as high as 10 GeV$^2$. The principal ingredients of our approach are, besides the NLCs, the $O(\alpha_s)$ spectral density, and the analytic Shirkov–Solovtsov coupling which is free of Landau singularities. Our predictions for the pion FF in the momentum range up to 10 GeV$^2$ are in a good agreement with the existing experimental data of the Cornell$^{22}$ and the JLab$^{23}$ collaborations, as well as with a recent lattice calculation.$^{31}$

We also showed here that the LD model for the pion FF suffers from the threshold $s_0(Q^2)$ uncertainty. We fixed this uncertainty by demanding that the LD model should reproduce the results of the Borel SRs with NLCs.$^{26}$ Our results show that $s_0^{LD}(Q^2)$ grows with $Q^2$.

We also proved that the rough model for the matching function in Ref.$^{11}$ appears to be of a rather good quality ($\approx 10\%$). We improved it here to reach the quality of $\approx 5\%$. Using FAPT, and the improved matching function, we estimated the NNLO correction to the pion FF to be of the order of $\approx 3 - 10\%$.

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