Achieving Energy Justice in Malawi: From key Challenges to Policy Recommendations

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Research Article

Keywords: Energy justice, environmental justice, gender, social inequalities, energy policy, energy transitions

Posted Date: November 4th, 2021

DOI: https://doi.org/10.21203/rs.3.rs-180200/v1

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Abstract

Addressing energy provision and access in Sub-Saharan Africa is a key global challenge. This paper builds a deep understanding of how fair and just energy policies and realities are in this context. It qualitatively assesses the perceptions of stakeholders in Malawi, where electricity access remains amongst the lowest in the region. Insufficient and unreliable systems of grid energy generation and distribution limit access to electricity in both urban and rural settings. Using wood fuel remains high for meeting cooking, heating, and lighting needs. Responding to these dual challenges, of lacking electricity access and ongoing wood fuel use, must be rooted in notions of equity, fairness, and justice. This paper argues that energy justice provides key insights into how best to respond to complex and interconnected issues of energy generation and access in low-income settings. Drawing on interviews with key stakeholders in policy and Malawi on addressing these challenges, this paper also outlines recommendations for policy. Overall, a just response to these energy challenges is possible, but only if it is built on local inclusive governance with fairer and effective systems of investment.

1. Introduction

Despite recent improvements to electricity access in Malawi, access to electricity remains at just 13.4% of the population (IEA, 2020) lower than the Sub-Saharan African regional average of 47.9% (ibid). Figure 1 below sets out the average electricity access (%) in Malawi in the context of Southern Africa, 2010-2020. It shows Malawi as having the lowest average population access to electricity (7.2%) in Southern Africa, followed by DR Congo and Tanzania (7.8% and 8.8% population access, respectively). The impacts of both COVID-19 and population growth threaten to increase absolute numbers of those without access to electricity in Malawi (WB, 2021). Both a lack of sufficient and reliable energy supply, and limits to grid extension, hinder rollout of electrification and expansion of access (Arndt et al., 2014; Kaunda, 2013).

Systems of hydropower dominate electricity generation- 372MW installed hydroelectricity capacity out of a national total of 532MW (USAID, 2019). However, vulnerability to climate changes through reliance on hydropower impact of energy provision; a drought in Malawi led to a nation-wide blackout in December 2017 and modelling conducted by the IPCC reported in the 2019 African Energy Outlook (IEA, 2019) predict decreasing capacity of hydropower with climate changes. The Malawi’s Ministry of Natural Resources, Energy and Mining announced in October 2018 that it must import energy (20MW) from Zambia for the significant threat of blackouts (Samarakoon, 2020). The Chinese backed Kam’mwamba Coal Power Station offers to deliver 300 MW of electricity to the national grid, almost doubling the existing national electricity generation output. It is to be based outside the city of Blantyre in the south of Malawi, with the coal delivered from Mozambique. This contrasts with the stated aim of international organisations such as the World Bank to enable more renewable energy deployment in Malawi (WB, 2021). As of February 2021, the Ministry has not secured the $667 million needed from China’s Export and Import (Exim). At this key moment, we assess how best to ensure clean future energy provision, increase electricity, and clean cooking access whilst protecting the environment in a fair and equitable manner.
The Energy Justice framework offers a way to capture these concerns, as a framework for assessing the fairness and equity of both energy production and consumption, in rural and urban areas, from the household and village communities to the national and international levels. This framework assesses distributional (where social inequalities emerge), recognition (who is affected) and procedural (when those affected are overlooked in decision-making) injustices (Feenstra & Özerol, 2021; Thomas et al., 2020; Velasco-Herrejon & Bauwens, 2020; Wood & Roelich, 2020). This paper draws on the 3-tenets approach to energy justice to assessing the energy transition in Malawi (see figure 2), examining opportunities to expand theorising on energy justice to settings of pre- and ongoing electrification in Malawi.

Energy justice, which emerged from the environmental justice movement and concerns over the unequal spatial and temporal impacts of energy system siting in the US, refers to a diverse body of academic contributions seeking to identify, locate and examine the emergence of injustices across energy supply chains (Pellegrini-Masini et al., 2020). Despite this diversity, Pellegrini-Masini et al., (2020) argue that definitions of energy justice are united by a common vision for equality (formal and substantive) coupled with a concern over historic and ongoing inequalities relating to impacts and engagement in energy systems.

One of the most applied frameworks of Energy Justice across academic case studies is the 3 tenets of Energy Justice (Lacey-Barnacle et al., 2020). In this paper, we understand energy justice as relating to three tenets; distributive justice concerned with the unequal distribution of benefits and risks emerging across energy supply chains, procedural justice, and the pursuit of fair, inclusive, and accessible involvement in procedures governing systems of construction, distribution, use and impacts, and recognition justice, and the full inclusion and recognition of all affected stakeholders. Others argue for energy justice as encapsulated by 10 key principles, which cover availability, affordability, intergenerational and intragenerational equity, due process, accountability, transparency, and intersectionality (Sovacool et al., 2017). This diversity and dissonance in definitions, however, has arguably come at the cost of application of energy justice principles to policy (Pellegrini-Masini et al., 2020).

Case studies applying energy justice lens’ originally focused primarily on systems of large-scale on-grid electricity generation in the Global North (Jenkins et al., 2020). However, increasingly case studies are examining injustices in lower income, off-grid and pre-electrification case studies globally, including in sub-Saharan Africa (Boamah, 2020; Boamah et al., 2021; Todd & McCauley, 2021a, 2021b). Alongside critiques of a western-centric focus in case studies energy justice (see Lacey-Barnacle et al., 2020), authors are increasingly looking beyond anthropocentric experiences and conceptualisations of injustice to incorporate the intersecting, and unequal, impacts of energy systems on human wellbeing and ecosystem health (Grant et al., 2021).

Our aim in this paper to explore how public, private and third sector organisations based throughout Malawi (see a list of organisations interviewed below) view the key energy challenges in Malawi through
an energy justice lens. Our research data is based on qualitative interviews undertaken in Malawi in March and June 2019. The authors utilised the energy justice framework of distributional, recognition and procedural justice to explore key energy challenges in Malawi. We sought to understand, from those working in the policy sphere, the key challenges impacting on electrification and energy generation in Malawi and the solutions proposed to address these. The paper is based on thirty interviews with representatives from international organisations, national government, non-governmental organisations (NGOs) and businesses. We assess the discourse produced by each policy stakeholder interviewed, coding the resulting data based upon the energy justice framework (see above for detailed description of energy justice). A literature review on energy challenges in Malawi and the energy justice framework is now set out to extrapolate key existing themes relevant to our energy justice research in Malawi.

2. Literature Review

We review existing literature on the main energy challenges facing policymakers in Malawi before moving onto set out the energy justice framework. This is used to inform our research and policy recommendations, which are outlined in the proceeding sections.

2.1 Energy challenges in Malawi

Concerns relating to low levels of electrification and clean cooking access continue to dominate the research landscape in Malawi (Arndt et al., 2014; Aung et al., 2021; Barry et al., 2011; Gamula et al., 2013; Kaunda, 2013; Onyeji et al., 2012; Tchereni et al., 2013). Energy poverty remains high in both urban and rural areas of Malawi (Malawi, 2017, 2018). Energy poverty in Malawi has been defined as an “endemic” widespread “state of deprivation where households can barely meet the most the minimum energy requirements for basic needs” (Tchereni et al., 2013). The focus of this research is to show the extent of energy access problems among households throughout Malawi. More recent work by Aung et al. (2021) has highlighted the challenges of what is termed as the “ultra-poor”, which are households in Malawi that are primarily rural and are beyond both national grid and off grid energy generation networks. Aung et al. (2021) show, in contrast to previous research, substantial variation exists between better-off households and ultra-poor households, whereby few policies have helped the former.

This leads us to a second challenge, which is to foster bottom-up community or village-based energy schemes. This strand of research in Malawi has highlighted examples of community-based projects in rural areas (Adkins et al., 2010; Jagger et al., 2017; O'Shaughnessy et al., 2014; Owen et al., 2013; Toth et al., 2019; Trotter, 2016). Electricity and clean cooking access remain a core theme through which to understand energy challenges in the country. These projects also encourage wider connected non-energy changes such as sustainable practices or new skills development, with literature examining co-benefits or unexpected challenges which emerge with projects with multiple objectives. More organised and systematically funded rural communities are positioned as a solution for inadequate access to electricity and heating. An example is the Millennium Villages project. It started in 2004 and represented over 80
villages across ten sub-Saharan countries, including Malawi. It has developed whole system changes that have provided alternative forms of self-sustaining economic growth from technological development to new farming techniques (Adkins et al., 2010). Such research has built on the power of community participation and involvement.

Additional understudied challenges in the literature on Malawi highlight interconnected research themes relating to limits to expansion of individual and community level access to electricity relating to finance and regulatory environments enabling investment. Chirambo (2016) shows, for example, that a national level combination of price guarantee schemes, cross subsidies and environmental taxes would cause higher levels of international energy sector investment. There has also been some recent critical reflection on the effectiveness of international renewable investment in Malawi in relation to systems of off-grid solar (Samarakoon, 2020).

A fourth challenge emerges in relation to how best to achieve better outcomes in non-energy services such as health, food or the environment through the development of related energy infrastructure. Suhlrie et al. (2018) demonstrate how intermittent and ineffective electricity generation affects health facilities, and especially night-time care services, in Malawi. They call for a health-driven inspired new wave of investment in energy infrastructure. Scheunemann et al. (2018) show how a sustainable approach to the biomass energy sector Malawi could simultaneously lead to higher levels of food security. We assess these and other challenges through the energy justice framework, and in particular the trilemma concept.

2.2 Addressing key challenges through the energy justice trilemma

Energy justice is defined as the fair and equitable application of rights across systems of energy provision, access, and associated environmental services. It provides a framework for assessing the fairness and equity of both energy production and consumption in rural and urban areas, from the household and village communities to the national and international levels. This framework assesses distributional (where social inequalities emerge), recognition (who is affected) and procedural (when those affected are overlooked in decision-making) injustices (Feenstra & Özerol, 2021; Thomas et al., 2020; Velasco-Herrejon & Bauwens, 2020; Wood & Roelich, 2020). It encourages researchers to broaden analyses beyond technical or engineering based solutions to energy problems (Jenkins et al., 2020; McCauley et al., 2013; Welton & Eisen, 2019). It helps to understand how inequalities such as poverty, gender and social class interact within the context of energy policymaking, systems and infrastructures (Heffron et al., 2018; McCauley et al., 2019; Sovacool et al., 2020). Too few studies attempt to reflect on these interconnections in countries like Malawi (Lacey-Barnacle et al., 2020). Our contribution adds a much-needed response.

We apply the energy justice framework to understand how policy stakeholders in Malawi view the distributional, recognition and procedural energy injustices of the four key energy challenges we have
identified in the literature, and others yet to be identified. In this way, it can assess energy policy challenges and help to offer practical recommendations (Heffron et al., 2018; Jenkins et al., 2017). Marlin-Tackie et al. (2020) argue, for example, that their energy justice analysis points towards the urgent need to enhance local government to better deal with fracking. New policy mechanisms have been identified in relation to local decision-making in Bangladesh through the energy justice framework (Moniruzzaman & Day, 2020). Kruger and McCauley (2020) put forward recommendations for financial compensation in hydropower policy Democratic Republic of Congo. The focus of such research is to explore the expert opinions of key policy stakeholders at all levels. We present our conceptual contribution through an application of the energy justice trilemma set out in figure 3 below.

The energy justice trilemma sets out a ‘trade-off’ based understanding that is well-established in existing policy analysis literature (Gunningham, 2013; Kosai & Tan, 2017; Oliver & Sovacool, 2017). The aim is to achieve a balance between competing interconnected policy objectives (Shah et al., 2021; Song et al., 2017; Sprajc et al., 2019). It is an analytical tool that has been used for quantitative research (Heffron et al., 2018; Song et al., 2017) as well as by international organisations such as the World Energy Council (WEC, 2018). It is yet to apply to qualitative field-based research. Figure 3 above shows the three objectives as concerning the increasing secure energy provision in energy generation systems, expanding electricity access and access to clean cooking solutions to reduce poverty, and a third commitment to promoting environmental stewardship and sustainability. Existing literature argues that a balance between all three priorities is ideal for achieving energy justice. We use this trilemma approach to reflect on where policy recommendations are most urgently needed in Malawi.

3. Methods

We base our research data on interviews undertaken in Malawi throughout 2019 using the energy justice framework above. In terms of research design, we followed the qualitative approach that we outline further in this section (Bell et al., 2012). We explain first our decision to adopt a qualitative approach. This decision is informed by the constructivist philosophical paradigm. Constructivists argue that the focus should be on how the world is shaped (or constructed) by the way people communicate about it via speech, visual cues and/or text (Houston, 2013; Sheftel & Zembrzycki, 2013). These visions and experiences, or storylines, are constructed by policymakers using a variety of discursive categories to make sense of phenomena, e.g. energy challenges and solutions (Moore et al., 2017). Analysing storylines produced in verbal interviews with policymakers allows us to better understand how key stakeholders construct their understanding of justice in relation to agency and power.

We aimed to uncover the justice dimensions of the viewpoints held by key policy stakeholders based throughout Malawi. We restricted the research timeframe to 2019 when the Malawian government was (and still is at the time of writing) considering the Chinese funded Kam’mwamba Coal Power Station. We developed our overall research question from the literature review on energy justice, as outlined above. Our aim was to understand how policymakers understand the justice dimensions of the key energy challenges in Malawi. The energy justice framework argues that energy justice can be understood as
relating to distributional, recognition and procedural injustices (McCauley et al., 2019). Our interviews investigated who we should recognise as the most affected by energy scarcity and the key actors driving the current energy policy agenda. We also asked interviewees to assess how communities were engaged in energy decision-making to better understand energy injustices.

We conducted thirty interviews with representatives from three key policy stakeholder groups, i.e. government, NGOs and businesses. A full list of organisations of all types is in Appendix 1. All interviews took place in Blantyre and Mulanje (home to the off-grid first Independent Power Producer in Malawi) in the south, and the capital Lilongwe in central Malawi. Out of Malawi’s total population of 17.5 million, the southern region has the highest population, with 44 percent of the total population. The Central Region is the second most populous, according to national census data in 2018, with 43 percent of the population, while the northern region population makes up 13 percent of the total population.

We numbered all thirty interviews, randomise their order, and then present them in text as (#1), (#2) etc. with the following according to our three analytical categories: government[1], NGOs[2] and business[3]. Our aim was to assess the discourse produced by each stakeholder interviewed to code for energy injustices, recognising that the language (and meaning) of ‘justice’ is not shared by all and focusing instead on a language of risks, benefits, and opportunities to capture injustice. This allows us to then select key quotations from our different interviewees. These are then the basis for our qualitative data, as explored in our results below. The three dimensions of distribution, recognition and procedural injustices were used as key starting points for identifying critical citations. The interviews were semi-structured in nature but were in keeping with these three themes and categories.

We also added a fourth category that focused on questions around energy consumption and production to determine the nuances within each of these processes. This was to make sure we covered both issues covering access to electricity and clean cooking solutions, and provision issues raised by our interviewees. As outlined in our literature review above, electricity access or consumption is only one challenge. A fuller spectrum of energy challenges is then addressed in our analysis, as detailed in the results below. Literature, reports, and selected newspapers were consulted to develop a triangulated robust account of stakeholder interpretations. We did not seek to code these documents, as the focus was on the interviews. They allowed us to corroborate some statements from interviewees and key events.

We completed a full ethical and risk assessment and an additional process set out by the Malawian National Committee on Research in the Social Sciences and Humanities. Research aims were made clear through a statement of intent and participants were required to give their consent prior to involvement through a paper form. Participants were asked to give their consent for audio data to be recorded where possible, for data use in analysis and data presentation. Data was stored in a fully identifiable format on a computer. Participants were given the opportunity to ask questions regarding the project and to withdraw at any point. All ethical and risk assessment forms are available upon request.
4. Analysis

We present below our assessment of the interview data based on our three primary qualitative codes, i.e. distributional, recognition and procedural injustices. Insights into the processes of energy production and consumption were coded separately, though were linked to three primary qualitative codes and enabled us to triangulate insights. We integrate results from the fourth coding category of energy production and consumption into the three justice dimensions and helped us to triangulate insights provided by our interviewees. As outlined above in the methods, the number denotes the interview source in brackets, such as (#12). We reflect throughout on how these results connect to existing literature, before moving into specific policy recommendations in the last section of the paper.

4.1 Distributional justice

Our interviewees pointed to the unequal distribution of benefits from existing electricity generation and transmission. The average connectivity of the Malawian population to the national grid is at 11% (Gov, 2017; Malawi, 2018). This varies between urban and rural areas and between regions. Access to the national grid in cities is better than in rural areas. This is well established in existing research (Banerjee, 2018; Caniglia et al., 2017; Gossling, 2016; Kelly-Reif & Wing, 2016; Perez & Egan, 2016). For Malawi, one of the key issues identified was a lack of financial capacity to afford electricity connection and services (#3). The interviews revealed a persistent focus on urban communities as proximate to existing national grid networks, and of concerns relating to ability to pay in rural regions limiting expansion. This originated from financial problems and lack of infrastructure (#3, #5, #8). Lack of on-grid electrification, coupled with descriptions of spatially ‘random’ points of off-grid connection in rural regions contributed an environment where businesses were described as moving urban areas to optimise trade (#10, #15). An unequal distribution of benefits is clear not only along rural/urban spatial descriptors but also at a regional level.

The northern parts of Malawi have least access to electricity compared to the central and southern regions where important cities are such as Lilongwe, Blantyre and Mulanje (#16). Electricity lines in the north of Malawi were described as being of lower voltage (#21), with those in rural locations in northern Malawi described as the most disadvantaged in the country with respect to quality of connection. However, interviewees expressed significant concern for the south given the severe deforestation ongoing in the region (#17, #18). The clearance of land for agricultural purposes, observed in the surrounding areas of Mulanje, contributed to scenarios where residents were described as having to walk up to 10km (#17) to collect firewood for cooking, heating and in some households lighting. This was contrasted with those in northern regions, where deforestation was less extensive and demand for fuelwood lower in Mzuzu (in part due to lower population density) (#17). Distributional injustices also relate to renewable electricity access, including proximity to hydropower. Interviewees in Mulanje highlighted that those located close to hydroelectricity generation facilities benefit through both connection to mini-grids and
access to job opportunities and training linked to construction of hydropower generation (when compared to those further downstream) (#17).

Our interviewees attested to a strong link between distributional variation in electricity access and the inequalities experienced between consumer groups. This is exemplified by transferring industrial practices to the capital Lilongwe. Energy planning differs according to region. Focus remains on the regions with highest growth potential: ‘the south [is] more developed and more industrial… the growth rate is different and the center is growing the fastest… while the north is much smaller’ (#2). Electrification planning is focused on expanding access in an increasingly industrialised central and southern Malawi (close to major cities). Steel processing, agro-processing of sugar, cotton and mangoes, and mining were identified in the south as the most power-intensive industries (#2). An interviewee (#5) judged that World Bank funded solar projects in the North were a ‘vanity project’ that national government could not afford: ‘Industry in the South needs to encourage large scale/bulk buy in (of electricity)’ to make national energy planning more effective (#8).

Beyond industrial demand, there are concerns regarding the economic sustainability of electricity expansion in rural areas given lack of ability to pay. Many households opt instead for charcoal use to meet heating, cooking and lighting needs (#4, #23). Markets for charcoal remain dominant especially in peri-urban and rural regions including Mulanje, with regulations seeking to limit the sale of charcoal having limited efficacy in reducing unsustainable deforestation for fuelwood (#18). The use of mini-grid renewable energy generation for expanding access to electricity in rural regions was described as incompatible given low purchasing power and the lower levels of investment in northern regions of Malawi. This in turn entrenches the ongoing high use of fuelwood and charcoal (#18). Promises tied to the development of large-scale solar projects, such as JCM solar, in the Northern regions of Malawi are already linked to a set of distributional injustices linked to the export of electricity produced locally to larger cities (#23, #21) (see also case study on JCM solar (Horst et al., 2021)).

Distributional inequalities arise also according to income. Higher income populations live in urban areas, with greater access to electricity provided through on-grid connection (#4). In contrast to global dialogues which consider electricity as a right, discourses from some interviewees indicated that for lower income settings in rural areas electricity was instead conceptualised as a privilege (#6). Widespread poverty and income inequality correlated with rurality defines electrification planning of ESCOM, the primary energy company in Malawi; a lack of economic incentives and low ability to pay were described as explanatory factors behind lack of expansion to rural regions by ESCOM (#8, #4). The “long-term dearth of financial capacity” among lower-income groups is leading to a lock-in of social class based distributional and temporal disparities, with present low ability defining future potential for connection (#21). Other interviewees argued, in contrast, that most socio-economic categories can afford a basic level of electricity (ref). This dialogue of deservedness which defines electrification planning is underplayed given existing challenges of generation meeting limited present demand (#9).
4.2 Recognition justice

Identifying recognition injustices is key to addressing overall energy injustice in Malawi. The interviews showed two key stakeholders in Malawi who are too often overlooked by the lack of electrification which extend discourses of energy justice beyond the Anthropocene. These include the environment and women.

First, stakeholders identified the environment as a prominent, underrepresented and often homogenised stakeholder in discussions on energy planning (#3, #12, #16, #17). Our interviews juxtapose the contravening intrinsic and monetary values of environment with devastating effects of lack of inclusion in energy policy (as explored in the discussion). The environment in everyday translation was most frequently related to trees; Interviewees simultaneously described trees as ‘backbone to African societies’ and in Malawi the ‘environment equals trees’ (#12). A custodian responsibility for their management argued that trees cut through ‘every section of society’ (#13). Contrary to assumption, one interviewee commented, ‘people do make a connection between cutting trees and climate change’ (#15). We caution, however, against extrapolating the conation of trees with environment with wider populations (risking the romanticisation of certain ways of connecting to spaces).

However, dialogues concerning the monetary value of trees also dominate especially where poverty is endemic. Interviewees commented that “when people are in survival mode, you don't think about the environment” with firewood free (#2), with others also noting that in conditions of extreme poverty deforestation for fuelwood is essential even where there is concern for the impact of this behaviour (#2, #7, #15, #16, #18). Ongoing use of fuelwood for energy has resulted in rapid and large scale deforestation in the country’s more populated central and southern regions (#2, #4, #17, #18). Communities turn to producing and selling charcoal as a source of generating income, even though such practices are illegal (#11, #17, #18). Further practices that were identified as promoting deforestation are brick manufacturing.

Stakeholders’ perceptions indicated a disregard for sustainable forestry management at government level; one stakeholder noted that the “Malawian government knows what climate change is” (#16) however, “between awareness and action there is a huge disconnect” (#3). The country’s most prominent source of energy generation, hydropower, plays a role in deforestation. Deforestation upstream limits the capacity of hydropower systems, with demand for timber increasingly needed in planning and construction, in addition to aforementioned agricultural and fuelwood uses (#9, #14, #15, #17). They identified population growth as another variable, exerting pressure on forests (#16). Large scale deforestation increases vulnerability to climate shocks through increasing soil instability and vulnerability to shocks through periods of extreme rainfall and flooding (#16, #17). Arguably a focus on hydropower, coupled with limited expansion of electricity access has led to an environment where deforestation is widespread and is indicative of lack of full inclusion of the environment in long term electrification planning. Existing research suggests this could have a profound long-term impact in Malawi, as past
environmental policy failures affect a society’s ability to view new futures (Blom-Hansen, 1997; Béland, 2009; Feindt, 2010; Jacobsson & Lauber, 2006; Leach, 2008).

Second, the interviewees acknowledged women are experiencing the brunt of the adverse effects of energy scarcity in Malawi (#1, #3, #4, #5, #11, #12, #15, #17, #18, #23). This finding complements existing research (Castan Broto et al., 2018; Damgaard et al., 2017; Islar et al., 2017; Mostert & van Niekerk, 2018; Munro et al., 2017) with new empirical insight into Malawi; ‘in Malawi, those who are most affected are the ladies, the females’ (#18). A lack of access to electricity, and ongoing use of woodfuel for heating and cooking, adversely impacts of women with responsibilities over household tasks including cooking and collecting resources for this (Halff et al., 2015; Jagger et al., 2017; Munro et al., 2017; Tang & Liao, 2014). Conversely, household financial management was described as being a male responsibility leading to a juxtaposition where “Wood collection is a woman’s task. Cooking is a woman’s task. Yet financing is a man’s task, so saving wood is not important to men” (#11).

With increasing deforestation, those responsible for collecting firewood travel greater distances to source materials: “Before the 2000s, women would walk shorter distances to collect firewood. Because the wood was cut, they now travel long distances. These days it is not easy. You can spend 1-2 hours where you used to spend 10 minutes” (#18). Collecting woodfuel increases vulnerability to risks of sexual assault and rape, especially when carried out in darkness or alone, with interviewees describing this as common in both nationally managed and privately managed areas ( #4, #11, #17, #18, #23). When women are caught stealing the firewood by the guards and rangers, these abuse their power and demand “for terrible things from women […] sexual favours” (#23). This was questioned by government officials, with some attributing violence against women instead to those managing tea estates (#18, #23). Solutions for alleviating gender based violence focused on a need to encourage women not to harvest firewood alone (#18). Contentions over the allocation of responsibility for safeguarding against violence linked to deforestation highlight complexities in understanding fully the means through which energy injustices emerge (Toth et al., 2019).

### 4.3 Procedural injustices

Inclusive energy decision-making is vital to achieving fair electricity access. Recognising that our interviews do not include excluded groups, as work from (Aung et al., 2021; Gamula et al., 2013; Kaunda, 2013) has, our findings examine procedural injustices relating to investment. This reinforces other investment based assessments of procedural justice (de Graeff et al., 2018; Thorpe, 2018; Walker & Baxter, 2017). Interviews revealed four barriers to procedural injustices in electricity access in Malawi: (i) a perceived financial risk by, and lack of financing from, foreign investors for energy, (ii) inefficient government schemes for energy investment and growth, (iii) the inefficient management of utilities and (iv) financing conditions to extending and maintaining services for the national grid.

Financial support from donors and foreign investors is critical for expanding capacity of, and access to electricity from, Malawi’s energy sector. Interviewees identified a lack of such financing as a significant
procedural injustice (#1, #3, #4, #7, #8, #11, #12, #21), with descriptions of the the energy sector as risky environment to invest in (#8, #20, #21). Several challenges compound perceptions of high risk; management and administrative staff face high turnover (#12, #19) with long-term changes harder to implement (#1). Furthermore, a historical lack of economic growth (e.g., GDP) and limited industrial development both impact on perceptions of financial risks associated with investing in Malawi (#2, #3, #5, #6, #7, #11, #12, #21). This is often translated into the assumption that ‘ability to pay is lacking’ and concerns over financial returns from investments in energy systems (#21). To overcome the procedural barriers to international financing, there is an urgent need to ‘de-risk investment’ (#8) in Malawi.

Secondly, perceptions of a lack of efficient and robust government policies for energy investment and growth are hampering fair electricity access in Malawi (#1, #3, #8, #7, #5, #11, #12, #20, #22). Energy policy and legislation was described as “(not) stable enough for investors for renewable energies” (#22), with a “lack of a strong political will” (#3) further entrenching a lacking coherent and robust regulatory environment for investment. The economic viability of energy projects is thwarted by conflicts between affordability of energy tariffs and pricing policies and a need for economic returns (#6): “for projects to be sustainable they need to be turned into workable business models” (#11), and “current tariffs make it not viable to invest in solar” (#15).

Regulatory frameworks hinder independent power producers and private sector energy solutions from developing (#20, #21, #22). Interviewee #1 described an environment where alternative energy sourcing solutions were prematurely dismissed by those involved in national energy planning, with the example of an energy inter-connector from neighbouring Mozambique highlighted by #1. The interviewees reinforced a lack of centralised planning and policy for electrification also a procedural injustice (#4, #6, #7, #8, #15, #16, #21), exemplified in what was described as a ‘piecemeal approach’(#8) to energy system planning. The Malawian Rural Electrification Programme (MAREP) was also noted to have a “problematic” (#21) approach to energy planning, with time lag in connection to grid (#15). MAREP has only connected eight out of 336 areas (Malawi, 2018). Donor driven mini-grids were described as interim solutions indicative of slow centralised planning (#5, #6, #17); “long-term solution is always to provide access to the grid” (#6), and where sites selection for mini-grids is random (#22). Mini-grids themselves were cited as unsustainable approaches to rural electrification (#14, #16, #21). As one interviewee put it, it is “easy to build the grid but difficult to sustain itself” (#19). This has led to a scenario in Malawi where development happens “upside down” (#4), with utilities reactive rather than proactive at local level (#4, #16).

This mirrored what they described as occurring at a wider governance level. Inconsistency in policy, lack of implementation and enforcement of policy were brought up throughout interviews (#5, #12, #16, #18); An interviewee elaborated further that “I believe […] policies should be talking the same language…(w)e have policies contradicting each other” (#18). A lack of long-term sustainability and coherence of national energy policy were also identified as key concerns: “Malawi needs a sustained policy for at least a decade” (#15) with questions remaining over “government policy […] will it be consistent going forward?” (#22). The inadequacy of planning and policy in centralising electrification efforts was symptomatic of a government with a “reputation for not following through with projects” (#29). This lack
of centralisation represents a procedural injustice, where access to electricity is random and future connection unsystematic.

Third, the inefficient management of national utilities ESCOM and EGENCO contributes to energy injustices. Despite an unbundling of ESCOM into two independent utilities, ESCOM (distribution) and EGENCO (generation), interviews revealed concerns over the historical and existing links between the two state managed entities (#3, #6, #14, #27). They described EGENCO as being unfairly preferred over other IPPs (#3) by ESCOM in plans to expand generation. Discussions highlighted a perception of inefficiency in the operation and management of both entities which slowed and prohibited access to electricity (#3, #6, #10, #12, #16, #20, #21, #30). With ESCOM constrained by lack of government financing and security of income (#2), delays in payments to EGENCO were common (#14). They described ESCOM as “one of the risky African utilities” (#22) being “not financially solvent” (#21). Together, the recent unbundling of ESCOM and the slow pace (2-3 years) of negotiating a power purchasing agreement (PPA) (#14, #20, #22), contributed to an environment of uncertainty for potential private sector investors: “still seeing how this unbundled entity (ESCOM) will work out” (#22).

Financing restrictions to extending and maintaining services for the domestic grid was, last, a recurrent theme (#1, #2, #5, #11, #12, #19). Lack of security around financing hinders centralised planning (#2, #7, #12). ESCOM struggle to secure funding with little support from the government (#2) despite being ‘key’ to electrification aims (#7). Others were critical of government financing capacity (#3, #6, #25). One interviewee stated that “the government won’t manage to invest’ to meet electricity access targets of 30% by 2030 (#22). We move onto reflect on the key points raised in our analysis for making policy recommendations for Malawi.

5. Conclusions And Policy Recommendations

The focus of policymakers in Malawi is on increasing electricity access and access to clean cooking solutions throughout the country. There is a specific need in Malawi to address the low ability to pay for electricity in both rural and urban areas to stimulate demand, and to support expansion in generation and transmission. There is widespread recognition of the different gendered impacts of ongoing fuelwood use in cooking, heating and lighting (Smith et al., 2017), and policy must further seek to incorporate this into policies targeting deforestation and charcoal markets. But these policies need to focus explicitly on supporting greater rural access to electricity. Figure 4 below presents the stark contrast between rural and urban electricity access in Malawi, and more generally the region.

In considering the energy justice trilemma (Figure 5 below), we conclude that more sustained focus is needed to concurrently safeguard forest resources, protect ecosystems and ensure future clean renewable energy provision that is reliable, sufficient and locally accessible. There is an intimate connection between the local environment, especially deforestation, and the lack of action in pursuing new renewable energy futures. On the most urgent, third component of the energy justice trilemma –
securing clean and reliable rural energy access – a policy drive in this direction is needed in Malawi for balancing the trilemma. We conclude with four policy recommendations.

5.1 Reduce reliance on national government and utilities

Government and national private organisations in Malawi are both the drivers and victims of ineffective management. These actors adopt the position of barriers to change (Clayton et al., 2013; Walklate, 2005; Wallimann-Helmer, 2015). This is most apparent when we consider the role of national utilities. The division of distribution and generation was intended to open up electricity markets to new actors, to increase competition and lower costs. However, discussions indicated limited evidence for this, with repeated instances of inefficient operation and management of both sectors and the joint effect of maintaining the status quo. The increasing complexity of national utility management has resulted in further consolidation rather than expansion in the energy sector. This is a critical factor in slowing the adoption of renewable energy solutions and increasing electricity access.

5.2 Attract new actors in decentralized off-grid energy development.

One implication of this mismanagement has been the outsourcing of off-grid development. Outside organisations such as the World Bank and other international organisations drives in support of Trotter and Abdullah (2018), rural off-grid renewable energy policy in Malawi rather than national government. Many of these organisations, including the US Power Africa initiative, aim to increase rural electrification (Bos et al., 2018). The generation and connection targets, however, favour centralised electrification which benefits urban populations over rural populations. There is evidence of change however, with an increasing international push from donor agencies for off-grid electrification to meet the needs of rural and low-income populations (USAID, 2019).

In Malawi, the breakup of utilities and the division of distribution and generation have crippled national energy policy making. This was leading to further mismatch between the national and local knowledge of project limitations and potential with the aims of international organisations. This reminds us that the "bullying" of foreign sources in national energy management to promote renewables, as perceived in the literature (Monyei et al., 2018; Todd et al., 2019), can be rather symptomatic of an existing failed or doomed national management of the transition. The result is that we support calls for greater decentralization (Lawrence, 2020; Wiese, 2020; Zalengera et al., 2020).

National utilities are, instead, hindering the development of new decentralized players on the market in off-grid renewables. The division of distribution and generation utilities and their management have not led to new community or local energy organisations emerging. National utilities and physical infrastructure continue to be reliant on fossil fuel industries and struggle to move away from this dependency (Mostert & van Niekerk, 2018; Yenneti & Day, 2016). In Malawi, at the national level, this
manifested itself in an over-reliance on the proposed interconnector with Mozambique. This policy is a critical fall-back position where fossil fuel industries are well established in Mozambique. There was a continued interest in centralisation and electrification, rather than embracing renewable solutions beyond the development of solar parks in the North. We argue that private companies and governments, national or international, are strategic actors, but captured in a web of disorganisation, under-funding, and overall inertia. The low level of organisational capacity for managing large-scale energy transitions is beyond the existing actor networks in Malawi.

5.3 Increase local energy democracy.

To support Cai and Aoyama (2018), a more systematic policy towards encouraging community or local level renewable energy projects. This must include both a proper engagement with local governance structures and community owned investment. As raised by several interviewees, the current approach is too piecemeal, centrally controlled and geographically dispersed. Investments in local renewable energy projects must, first, include a legal obligation of community ownership of both financial and technical resources and, second, engage in building local energy democracy. Existing research in Malawi presents a similar picture to that of our interviewees, namely small scale technology-based pilot schemes (such as lighting or cooking) as we find in Adkins et al. (2010) and Barry et al. (2011). In these schemes, the benefit is reduced to (often short-term) technological solutions with no sustained engagement with community governance structures. Renewable clean energy provision depends on comprehensive local empowerment.

5.4 Improve local environmental management as a key driver for energy futures.

The environment is central to determining energy futures in Malawi. Energy justice overlooks the importance of the local environment as a critical factor in policy development (McCauley et al., 2016). Our results underline the intimate connection between how the environment is managed and the affects of this on a society's ability to visualise a different energy future. In Malawi, deforestation is not only a physical process. It is a collective national policy and community failure. Interviews reflected on the inability of policymakers and individuals to halt the devastating impacts of tree cutting. The male dominated activity of turning trees into charcoal as a source of income drives an impossible vicious circle of income-based tree cutting (Owen et al., 2013; Smith et al., 2017; Zulu & Richardson, 2013). The importance of empowering local environmental management and restoration is overlooked in policy solutions. The empowerment of local governance through fairer technological and financial investments must therefore be tied to protecting the environment, and for Malawi, reforestation.

This in-depth qualitative assessment of Malawi policymakers has revealed the complexity involved in appreciating the interconnections between inequalities such as poverty, gender, social class with energy
policy-making, physical systems and infrastructures. Future research should try to engage other qualitative methods, and quantitative methods, in exploring the different dimensions of how grid systems interact with gendered realities. The success of a national policy affects future policy trajectories. Here, the failure in Malawi to cope with deforestation is hindering future energy developments. We need further research to explore such interconnections between energy policies and other sectors in Global South contexts to appreciate energy trajectories. Last, social inclusion is an integral but only one part of procedural injustices. We must understand inefficiency and incapacity to respond to challenges as important in procedural justice terms where an inability to act generates procedural inequalities. Research in this or other national contexts needs to explore the processes involved in such inequalities and their solutions.

The level of responsibility among low-income nations for avoiding or embracing fossil fuels is heavily contested. It is beyond the full consideration of this paper. We conclude with observations for the future of energy justice literature. The energy justice framework has allowed researchers to explore new empirical contexts like Malawi. Applying distribution, recognition and procedural dimensions of energy justice offers an insightful framework for analysing such contexts. Energy justice is also normative, driving principles of good governance and new behaviours. Should the national energy policies and associated realities as outlined above ever be just if they ignore the intergenerational need to transition away from or resist adopting fossil fuels? Energy justice literature continues to overlook this critical question. The Malawian context as a pre-fossil fuel least developed nation is relevant for such consideration. We call on more ‘transition-aware’ work in such contexts.

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**Figures**
Figure 1

Average electricity access (%) in Malawi in the context of Southern Africa, 2010-2020: This map shows United Nations Development Programme (UNDP) average overall population percentage access to electricity for 2010-2020 (UNSTATS, 2021) as calculated by the authors. Population access to electricity is given as a percentage for each nation. Nations in dark blue have the highest overall average population electricity access (the highest is Botswana at 41%) and those in pale blue have the lowest access. Malawi
has the lowest average population access to electricity in Southern Africa (7.2%), followed by DR Congo and Tanzania (7.8% and 8.8% population access, respectively).

Figure 2

Energy Justice framework for assessing the energy transition in Malawi: This infographic represents the energy transition (pale green arrow) in Malawi from the use of wood-based energy sources (showed by the blue panel on the left: trees and forests, wood-felling, and wood-burning) to renewable energy technologies (showed by the blue panel on the right; wind turbine, solar panels, and tidal turbine). The green arrow represents the exploration of this transition through the lens of Distributional Justice, Procedural Justice and Recognition Justice. Distributional Justice is represented by the green map icon, which refers to the geographical distribution of social inequalities. Procedural Justice, represented by the purple handshake icon, refers to the exclusion of those most affected by injustice from decision-making processes. Restorative Justice is represented by the red globe icon and refers to those groups who are most affected.

Image not available with this version
Figure 3

The Energy Justice Trilemma: The triangle represents the balance between three competing priorities; energy supply (Provision focus), energy access (Poverty focus) and environmental sustainability (Environment focus). These are indicated by the circles with an X at the three points of the triangle. Energy Justice (circle with a +) lies in the centre of the triangle. Source: Adapted from Heffron et al. (2018) and McCauley (2017)

Figure 4

Urban and Rural Electricity access (%) in Malawi in the context of Southern Africa: This map shows United Nations Development Programme URBAN (left panel) and RURAL (right panel) population percentage access to electricity for 2010-2020 (UNSTATS, 2021) as calculated by the authors. Population access to electricity is given as a percentage for each nation. UNDP data shows that Malawi is the only state in Southern Africa where less than half of the urban population has access to electricity. Poor rural electricity supply is widespread in the region, with South Africa the only state where most of its rural population has access to electricity.
Figure 5

Applying the Energy Justice Trilemma to Malawi: The Energy Supply triangle is empty, as is the Environmental Sustainability triangle, indicating that these are not the areas requiring most attention. The Energy Access triangle, coloured green, is the priority area.

Supplementary Files

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