A Note of Generalization of Fractional ID-factor-critical Graphs

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Abstract. In communication networks, the binding numbers of graphs (or networks) are often used to measure the vulnerability and robustness of graphs (or networks). Furthermore, the fractional factors of graphs and the fractional $ID-[a, b]$-factor-critical covered graphs have a great deal of important applications in the data transmission networks. In this paper, we investigate the relationship between the binding numbers of graphs and the fractional $ID-[a, b]$-factor-critical covered graphs, and derive a binding number condition for a graph to be fractional $ID-[a, b]$-factor-critical covered, which is an extension of Zhou’s previous result [S. Zhou, Binding numbers for fractional $ID-k$-factor-critical graphs, Acta Mathematica Sinica, English Series 30(1)(2014)181–186].

Keywords: network, graph, binding number, fractional $[a, b]$-factor, fractional ID-$[a, b]$-factor-critical covered graph.

1. Introduction

We investigate the fractional factor problem of graphs, which can be regard as a relaxation of the well-known cardinality matching problem. It has wide-ranging applications in many distinct fields such as scheduling, network design, circuit layout, combinatorial design and combinatorial polyhedron. For example, if we consider some large data packets to be sent to several distinct destinations through
some channels in a communication network, and to improve the efficiency of the network, then we
may partition the large data packets into small parcels. The feasible assignment of data packets can
be considered as a fractional flow problem which is also described as a problem of fractional factor in a
graph.

In the process of data transmission, if some special nodes (i.e., nonadjacent nodes) are damaged
and we require that a channel is assigned, the possibility of data transmission in a communication
network is considered as the existence of fractional ID-factor-critical covered graph. Naturally, the
existence of fractional ID-factor-critical covered graphs plays an important role in data transmission
networks. Several maturing methods on graph based network design were derived by de Araujo, Marti ns
and Bastos [1], Ashwin and Postlethwaite [2], Fardad, Lin and Jovanovic [4], Lanzeni, Messina
and Archetti [11], Pishvaee and Rabbani [13], and Rahimi and Haghighi [14].

The graphs studied in this paper are simple. We denote a graph with vertex set $V(G)$ and edge set
$E(G)$ by $G = (V(G), E(G))$. For $x \in V(G)$, the set of vertices adjacent to $x$ in $G$ is said to be the
neighborhood of $x$, denoted by $N_G(x)$, and $|N_G(x)|$ is said to be the degree of $x$ in $G$, denoted by
degree.$x$. Set $\delta(G) = \min\{d_G(x) : x \in V(G)\}$. For any $S \subseteq V(G)$, we write $N_G(S) = \bigcup_{x \in S} N_G(x)$. The
subgraph of $G$ induced by $S$ is denote by $G[S]$, and $G - S = G[V(G) \setminus S]$. A vertex set
$S \subseteq V(G)$ is called independent if $G[S]$ does not admit edges. Let $S$ and $T$ be two disjoint subsets
of $V(G)$. We denote by $e_G(S,T)$ the number of edges with one end in $S$ and the other end in $T$. The
binding number of $G$ is defined by

$$\text{bind}(G) = \min \left\{ \frac{|N_G(X)|}{|X|} : \emptyset \neq X \subseteq V(G), N_G(X) \neq V(G) \right\}.$$  

For two positive integers $a$ and $b$ with $a \leq b$, an $[a, b]$-factor of $G$ is a spanning subgraph $F$ of
$G$ such that $a \leq d_F(x) \leq b$ holds for all $x \in V(G)$. Let $h : E(G) \rightarrow [0,1]$ be a real-valued
function from the edge set $E(G)$ to the real number interval $[0,1]$. If $a \leq \sum_{e \in x} h(e) \leq b$ holds for any
$x \in V(G)$, then we call $G[F_h]$ a fractional $[a, b]$-factor of $G$ with indicator function $h$, where $F_h = \{e : e \in E(G), h(e) > 0\}$. A fractional $[k, k]$-factor is simply called a fractional $k$-factor. A graph
$G$ is fractional ID-$[a, b]$-factor-critical if $G - I$ admits a fractional $[a, b]$-factor for any independent
set $I$ of $G$. A fractional ID-$[k, k]$-factor-critical graph is simply called a fractional ID-$k$-factor-critical
graph. A great deal of results on the topic with factors in graphs, fractional factors in graphs and
fractional ID-factor-critical graphs can refer to Wang and Zhang [17, 18, 19], Zhou, Sun and Bian
[28], Zhou [20, 21, 23], Zhou and Bian [24], Haghparast and Kiani [8], Hasanvand [9], Jiang [10], Zhou,
Wu and Bian [29], Zhou and Liu [26], Zhou, Liu and Xu [27], Sun and Zhou [15], Zhou, Bian
and Pan [25], Zhou, Wu and Xu [31], Gao, Guirao and Wu [5], Gao, Guirao and Chen [6], Gao, Wang
and Dimitrov [7], Bauer, Nevo and Schmeichel [3], Zhou, Wu and Liu [30]. Zhou [22] discussed the
relationship between binding numbers and fractional ID-$k$-factor-critical graphs, and demonstrated a
result on a fractional ID-$k$-factor-critical graph by using a binding number condition of a graph.

**Theorem 1.1.** (22) Let $k \geq 2$ be an integer, and $G$ be a graph of order $n$ with $n \geq 6k - 9$. Then $G$
is fractional ID-$k$-factor-critical if $\text{bind}(G) > \frac{(3k-1)(n-1)}{k(n-2k+2)}$. 

A graph $G$ is called a fractional $[a, b]$-covered graph if $G$ admits a fractional $[a, b]$-factor with indicator function $h$ satisfying $h(e) = 1$ for every $e \in E(G)$. Combining this with the concept of a fractional ID-$[a, b]$-factor-critical graph, it is natural that we first define the concept of a fractional ID-$[a, b]$-factor-critical covered graph, that is, a graph $G$ is said to be fractional ID-$[a, b]$-factor-critical covered if $G - I$ is fractional $[a, b]$-covered for any independent set $I$ of $G$. A fractional ID-$[k, k]$-factor-critical covered graph is simply called a fractional ID-$k$-factor-critical covered graph. In the previous part of this paper, we introduce the application of the fractional ID-$[a, b]$-factor-critical covered graph. Now, we recall that the problem on fractional ID-$[a, b]$-factor-critical covered graphs implies that the data packets within a given capacity range can be still transmitted when certain sites are damaged or blocked, and a channel is assigned in a communication network, where every site is expressed as a vertex and every channel is modelled as an edge.

Next, we claim a binding number condition for a graph to be fractional ID-$[a, b]$-factor-critical covered, which is a generalization of Theorem 1.1.

**Theorem 1.2.** Let $a$ and $b$ be two integers with $2 \leq a \leq b$, and $G$ be a graph of order $n$ with $n \geq \frac{(a+2b)(a+b-2)+2}{b}$. Then $G$ is fractional ID-$[a, b]$-factor-critical covered if $\text{bind}(G) > \frac{(a+2b-1)(n-1)}{bn-a-b}$.

Naturally, we gain the following result when $a = b = k$ in Theorem 1.2.

**Corollary 1.3.** Let $k \geq 2$ be an integer, and $G$ be a graph of order $n$ with $n \geq 6k - 4$. Then $G$ is fractional ID-$k$-factor-critical covered if $\text{bind}(G) > \frac{(3k-1)(a-1)}{kn-2k}$.

2. **The proof of Theorem 1.2**

Li, Yan and Zhang [12] posed a criterion for a graph being fractional $[a, b]$-covered, which plays a key role in the proof of Theorem 1.2.

**Theorem 2.1.** ([12]) Let $a$ and $b$ be two nonnegative integers with $b \geq a$, and $G$ be a graph. Then $G$ is fractional $[a, b]$-covered if and only if for any subset $S \subseteq V(G)$,

$$\delta_G(S, T) = b\lvert S\rvert - a\lvert T\rvert + d_{G-S}(T) \geq \varepsilon(S),$$

where $T = \{x : x \in V(G) \setminus S, d_{G-S}(x) \leq a\}$ and $\varepsilon(S)$ is defined by

$$\varepsilon(S) = \begin{cases} 2, & \text{if } S \text{ is not independent}, \\ 1, & \text{if } S \text{ is independent, and there exists } e = uv \in E(G) \text{ with } u \in S, \\ & v \in T \text{ and } d_{G-S}(v) = a, \text{ or } e_G(S, V(G) \setminus (S \cup T)) \geq 1, \\ 0, & \text{otherwise}. \end{cases}$$

Woodall [16] verified the following result, which is also used in the proof of Theorem 1.2.

**Lemma 2.2.** ([16]) Let $c$ be a positive real number, and let $G$ be a graph of order $n$. If $\text{bind}(G) > c$, then $\delta(G) \geq n - \frac{n-1}{\text{bind}(G)} > n - \frac{n-1}{c}$. 

In what follows, we verify Theorem 1.2.

**Proof:**
Suppose that $G$ satisfies the assumption of Theorem 1.2, but it is not fractional ID-\([a, b]\)-factor-critical covered. Then by Theorem 2.1 and the concept of the fractional ID-\([a, b]\)-factor-critical covered graph, there exists some subset $S \subseteq V(H)$ such that

$$
\delta_H(S, T) = b|S| - a|T| + d_{H-S}(T) \leq \varepsilon(S) - 1,
$$

where $T = \{x : x \in V(H) \setminus S, d_{H-S}(x) \leq a\}$, $d_{H-S}(T) = \sum_{x \in T} d_{H-S}(x)$, $H = G - X$ and $X$ is an independent set of $G$. In addition, we use $\beta := \text{bind}(G)$ to simplify the notation below.

Using Lemma 2.2 and the condition of Theorem 1.2, we gain

$$
\delta(G) \geq n - \frac{n - 1}{\beta} > \frac{(a + b - 1)n + a + b}{a + 2b - 1}.
$$

Note that $\varepsilon(S) \leq |S|$. If $T = \emptyset$, then by (1) we possess $\varepsilon(S) - 1 \geq \delta_H(S, T) = b|S| \geq |S| \geq \varepsilon(S)$, a contradiction. Hence, $T \neq \emptyset$. Define

$$
h = \min\{d_{H-S}(x) : x \in T\}.
$$

From the definition of $T$, we derive that $0 \leq h \leq a$.

By considering a vertex of $T$, we note that it can possess neighbors in $S, X$ and at most $h$ additional neighbors. This gives the following bound on $\delta(G)$, namely, $\delta(G) \leq |S| + |X| + h$. As a consequence,

$$
|S| \geq \delta(G) - |X| - h.
$$

We now discuss the following two cases.

**Case 1.** $1 \leq h \leq a$.

Using (1), (3), $|X| \leq n - \delta(G)$ (as $X$ is an independent set), $n \geq |S| + |T| + |X|$ and $\varepsilon(S) \leq 2$, we have

$$
1 \geq \varepsilon(S) - 1 \geq \delta_H(S, T) = b|S| - a|T| + d_{H-S}(T)
\geq b|S| - a|T| + h|T| = b|S| - (a - h)|T|
\geq b|S| - (a - h)(n - |X| - |S|)
=(a + b - h)|S| + (a - h)|X| - (a - h)n
\geq (a + b - h)(\delta(G) - |X| - h) + (a - h)|X| - (a - h)n
=(a + b - h)\delta(G) - b|X| - h(a + b - h) - (a - h)n
\geq (a + b - h)\delta(G) - b(n - \delta(G)) - h(a + b - h) - (a - h)n
=(a + 2b - h)\delta(G) - (a + b - h)n - h(a + b - h).
$$
Solving for \( \delta(G) \), we derive the following

\[
\delta(G) \leq f(h) := \frac{(a + b - h)(n + h) + 1}{a + 2b - h}.
\]

Taking the derivative of \( f(h) \) with respect to \( h \) yields

\[
\frac{df}{dh} = \frac{(a + 2b - h)(-n + h) + ((a + b - h)(n + h) + 1)}{(a + 2b - h)^2} - \frac{bn + a^2 + 3ab + 2b^2 - 2ah - 4bh + h^2 + 1}{(a + 2b - h)^2}
\]

\[
\leq \frac{-bn + a^2 + 3ab + 2b^2 - 2a - 4b + 1 + 1}{(a + 2b - h)^2}.
\]

For \( n \geq \frac{(a + 2b)(a + b - 2) + 2}{b} \), we derive that \( \frac{df}{dh} \leq 0 \), implying that \( f(h) \) attains its maximum at smallest value of \( h \). Therefore,

\[
\delta(G) \leq \frac{(a + b - 1)(n + 1) + 1}{a + 2b - 1} = \frac{(a + b - 1)n + a + b}{a + 2b - 1},
\]

this contradicts (2).

**Case 2.** \( h = 0 \).

**Subcase 2.1.** \( \beta \leq a + b - 1 \).

Setting \( Z = \{ x : x \in T, d_{H-S}(x) = 0 \} \). Evidently, \( Z \neq \emptyset \) and \( \mathcal{N}_G(V(G) \setminus (X \cup S)) \cap Z = \emptyset \), which hints \( |\mathcal{N}_G(V(G) \setminus (X \cup S))| \leq n - |Z| \). Thus,

\[
bind(G) = \beta \leq \frac{|\mathcal{N}_G(V(G) \setminus (X \cup S))|}{|V(G) \setminus (X \cup S)|} \leq \frac{n - |Z|}{n - |X| - |S|},
\]

namely,

\[
|S| \geq \left(1 - \frac{1}{\beta}\right)n - |X| + \frac{1}{\beta}|Z|.
\]

(4)

Using (1), (2), (4), \( 2 \leq a \leq b, Z \neq \emptyset, |X| \leq n - \delta(G), n \geq |S| + |T| + |X| \) and \( \varepsilon(S) \leq 2 \), we acquire

\[
1 \geq \varepsilon(S) - 1 \geq \delta_H(S, T) = b|S| - a|T| + d_{H-S}(T)
\]

\[
\geq b|S| - a|T| + |T| - |Z|
\]

\[
= b|S| - (a - 1)|T| - |Z|
\]

\[
\geq b|S| - (a - 1)(n - |X| - |S|) - |Z|
\]

\[
= (a + b - 1)|S| - (a - 1)n + (a - 1)|X| - |Z|
\]
\[ \geq (a + b - 1) \left( \left( 1 - \frac{1}{\beta} \right) n - |X| + \frac{1}{\beta} |Z| \right) - (a - 1)n + (a - 1)|X| - |Z| \]

\[ = bn - \frac{a + b - 1}{\beta} n - b|X| + \left( \frac{a + b - 1}{\beta} - 1 \right) |Z| \]

\[ \geq bn - \frac{a + b - 1}{\beta} n - b|X| + \left( \frac{a + b - 1}{\beta} - 1 \right) \]

\[ \geq bn - \frac{a + b - 1}{\beta} n - b(n - \delta(G)) + \frac{a + b - 1}{\beta} - 1 \]

\[ = - \frac{a + b - 1}{\beta} n + b\delta(G) + \frac{a + b - 1}{\beta} - 1 \]

\[ \geq - \frac{a + b - 1}{\beta} n + b \left( n - \frac{n - 1}{\beta} \right) + \frac{a + b - 1}{\beta} - 1 \]

\[ \geq - \frac{a + b - 1}{\beta} n + b \left( n - \frac{n - 1}{\beta} \right) + \frac{a + b - 1}{\beta} - (a + b - 1) \]

\[ = - \frac{(a + 2b - 1)n - b}{\beta} + bn - (a + b - 1) \left( 1 - \frac{1}{\beta} \right). \]

Solving for \( \beta \), this yields:

\[ \beta \leq \frac{(a + 2b - 1)(n - 1)}{bn - (a + b)}, \]

which contradicts the condition of Theorem 1.2.

**Subcase 2.2.** \( \beta > a + b - 1 \).

Applying (2) and \( 2 \leq a \leq b \), we achieve

\[ \delta(G) \geq n - \frac{n - 1}{\beta} > n - \frac{n - 1}{a + b - 1} = \frac{(a + b - 2)n + 1}{a + b - 1} \geq \frac{(a + b)n}{2a + b} + \frac{1}{a + b - 1}. \tag{5} \]

It follows from (1), (3), (5), \( 2 \leq a \leq b \), \( |X| \leq n - \delta(G) \), \( n \geq |S| + |T| + |X| \) and \( \varepsilon(S) \leq 2 \) that

\[ 1 \geq \varepsilon(S) - 1 \geq \delta_H(S, T) = b|S| - a|T| + d_{H-S}(T) \]

\[ = b|S| - a|T| - b|S| - a(n - |X| - |S|) \]

\[ = (a + b)|S| - an + a|X| \]

\[ \geq (a + b)(\delta(G) - |X|) - an + a|X| \]

\[ = (a + b)\delta(G) - an - b|X| \]

\[ \geq (a + b)\delta(G) - an - b(n - \delta(G)) \]

\[ = (a + 2b)\delta(G) - (a + b)n \]

\[ > (a + 2b) \left( \frac{(a + b)n}{2a + b} + \frac{1}{a + b - 1} \right) - (a + b)n \]

\[ = \frac{a + 2b}{a + b - 1} > 1, \]

a contradiction. We certify Theorem 1.2. \( \square \)
3. Conclusion

In this work, we demonstrate a binding number condition for a graph to be fractional ID-\([a, b]\)-factor-critical covered. But, we do not know whether the bound on \(\text{bind}(G)\) in Theorem 1.2 is sharp or not. Naturally, we put forward the following conjecture:

**Conjecture 3.1.** Let \(a\) and \(b\) be two integers with \(2 \leq a \leq b\), and \(G\) be a graph of order \(n\) with \(n \geq \frac{(a+2b)(a+b-2)+2}{b}\). Then \(G\) is fractional ID-\([a, b]\)-factor-critical covered if \(\text{bind}(G) \geq \frac{(a+2b-1)(n-1)}{bn-(a+b)}\).

In the proof of Theorem 1.2, the condition \(\text{bind}(G) > \frac{(a+2b-1)(n-1)}{bn-(a+b)}\) is necessary. But for Conjecture 3.1, I do not know how to prove it. Next, we argue the extreme case of \(a = b = k\), then a fractional ID-\([a, b]\)-factor-critical covered graph is a fractional ID-\(k\)-factor-critical covered graph, which is an extension of a fractional ID-\(k\)-factor-critical graph. And so, Theorem 1.2 in this paper is a generalization of Zhou’s previous result [22]. Furthermore, we introduce the applications of the fractional \([a, b]\)-factors of graphs and the fractional ID-\([a, b]\)-factor-critical covered graphs in Section 1.

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Declaration of interest statement

The author declares that there is no conflict of interests regarding the publication of this paper.

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