Robust prognostic value of a knowledge-based proliferation signature across large patient microarray studies spanning different cancer types

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Tumour proliferation is one of the main biological phenotypes limiting cure in oncology. Extensive research is being performed to unravel the key players in this process. To exploit the potential of published gene expression data, creation of a signature for proliferation can provide valuable information on tumour status, prognosis and prediction. This will help individualising treatment and should result in better tumour control, and more rapid and cost-effective research and development. From in vitro published microarray studies, two proliferation signatures were compiled. The prognostic value of these signatures was tested in five large clinical microarray data sets. More than 1000 patients with breast, renal or lung cancer were included. One of the signatures (110 genes) had significant prognostic value in all data sets. Stratifying patients in groups resulted in a clear difference in survival (P-values <0.05). Multivariate Cox-regression analyses showed that this signature added substantial value to the clinical factors used for prognosis. Further patient stratification was compared to patient stratification with several well-known published signatures. Contingency tables and Cramer's V statistics indicated that these primarily identify the same patients as the proliferation signature does. The proliferation signature is a strong prognostic factor, with the potential to be converted into a predictive test. Furthermore, evidence is provided that supports the idea that many published signatures track the same biological processes and that proliferation is one of them.

Keywords: gene signature; gene expression profiling; microarray; proliferation; prognostic marker

The abilities to predict outcome and to identify key players in biological mechanisms that lead to poor outcome are two important objectives in cancer research. Recently, efforts to exploit gene expression profiling have been made to identify gene sets, or so-called gene signatures, that can improve diagnosis and risk stratification (Bild et al, 2006). A drawback of most of the studies performed is that supervised analysis methods are utilised to acquire such signatures. In this approach, patient microarray and clinical data are used to find gene sets that correlate with tumour type or survival. This often results in gene sets with a very high prognostic value in the studied data set. However, comparative testing of these signatures in other patient data sets has been limited, and the overlap in selected genes of different comparable studies is small (Chen et al, 2007). If such a signature can be applied to other data sets, it may well be restricted to a certain patient population and cancer type. In addition, the gene sets obtained with this method are often difficult to interpret with respect to the underlying biological mechanism (Dai et al, 2005; Quackenbush, 2006). Furthermore, Dupuy and Simon (2007) showed in a recent review that many of these studies show flaws in methodology.

An alternative approach to identify prognostic signatures is based on defining gene sets involved in a biological process or specific environmental condition that is suspected of influencing treatment response or patient outcome. In this approach, in vitro gene expression profiling is used to identify gene sets that play an important role in a specific biological process. The identified gene set is then applied to gene expression data from patients to evaluate its prognostic value. This approach has a more broad application because the gene sets can be applied in almost every...
whether a certain process is important in a distinct cancer type or patient group. Furthermore, it can be used not only to investigate in the microarray databases Gene Expression Omnibus (GEO) and Stanford Microarray Database (SMD) or elsewhere. Accessory clinical and follow-up data were also given or provided by the authors on request. In Table 1A, an overview of the data sets and where they are accessible is provided. Data filtering and preprocessing are explained in the Supplementary Information (Supplementary Materials and Methods). Data sets were imported in Matlab (Matlab 7.1, The Mathworks, Natick, MA, USA). Unless indicated otherwise, analyses were performed in this program.

Signature score calculation

Expression data of the genes in the signature was extracted from the data set. The following step was used to calculate a signature score for each patient in the data set. This score was defined as the weighted average expression value of the genes in the signature (Equation (1)). A weight of −1 or 1 was assigned to each gene, dependent on the phenotype the gene represented. Weight assignment is described in the results and Supplementary Information (Supplementary Materials and Methods).

The signature score then reflects the status of the studied process in a tumour. When a gene was represented by more than one probe on an array, the expression of the probes was averaged before signature calculation. In Table 1B, the number of signature genes represented in the different data sets is provided for the evaluated signatures.

\[
\text{Score} = \frac{\sum_{i=1}^{N} w_i \cdot \exp_i}{N}
\]

where: score, signature score; \(N\), number of genes in the signature; \(i\), gene; \(w_i\), weight of gene \(i\); \(\exp_i\), gene expression of gene \(i\).

Statistical analysis

A loop of 1000 clustering repeats with the K-means clustering function in Matlab was applied to split the patients in two groups according to their signature score. Outcome in the two groups was analysed and compared by the Kaplan–Meier method. Differences in outcome were tested for statistical significance by the log-rank test for different common end points. For breast and renal cancer, the common end points are 5- and 10-year survival, and for lung cancer, these are 2- and 5-year survival; all end points were analysed when follow-up was long enough. Results for the log-rank tests are given as the average, standard deviation and the range of the \(P\)-values, also the percentage of \(P\)-values from the 1000 clustering runs that were
AUC model calculation

Matlab was used to integrate all parameters in a model with and without addition of the signature to the clinical parameters. Differences between the models were assessed using receiver-operator curve (ROC) analysis by calculating the AUC. Further details are provided in the Supplementary Information (Supplementary Materials and Methods).

Random signature testing

A method to test a predefined number of random signatures of a predefined size on all the data sets was developed. To show the strength of a signature, 10,000 random generated gene sets, with sizes equal to the size of the signature of interest, were tested on the data sets. These random gene sets were tested in a similar manner as the other signatures.

Mitotic index scoring

Mitotic index was assessed (as part of histological grading) in the 295 breast tumours of the van de Vijver et al. (2002) data set, using a microscope with a field diameter of 0.44 mm with a ×40 objective. The area with the highest mitotic activity was selected and mitotic figures were counted in 10 consecutive fields. Tumours were assigned to the following groups based on the mitotic counts:

- Group 1: 0–5 mitoses in 10 high power fields.
- Group 2: 6–10 mitoses in 10 high power fields.
- Group 3: ≥11 mitoses in 10 high power fields.

RESULTS

Signature derivation

From published microarray studies two different proliferation signatures were compiled. Whitfield et al. (2002) studied the cell cycle in HeLa cells (cervix cancer cell line). Microarrays were performed on synchronized cell cultures at different time points, and genes that showed a periodic variation were selected. These genes were grouped according to the cell cycle phase in which their expression peaked. We propose that this gene set could be used as a specific proliferation signature.

Another method to derive a proliferation signature with microarrays was used by Chang et al. (2004). Human fibroblasts were serum starved for 48 h and then stimulated with serum to simulate a wound response. One of the most consistent and important effects in the serum response program is stimulation of proliferation. Abnormal proliferation is also a consistent characteristic of cancer cells, irrespective of a wound response (Chang et al., 2004). Chang et al. (2004) therefore discarded the genes with a periodic behaviour to specifically study the wound response. Here, we propose that the set of genes discarded from the wound signature is a good representation of a proliferation signature. This signature is a subset of the signature derived from Whitfield et al. (2002); however, we postulate that it is a better representative of proliferation and will be a better prognostic factor, as only this gene set shows a change in expression upon serum stimulation.

The wound (Chang et al., 2004) and IGS (Liu et al., 2007) signature are two promising published unsupervised derived signatures. Furthermore, the second proliferation signature is derived from the same in vitro data as the wound signature. Therefore, these signatures were also analysed.

Comparison of two proliferation signatures

Signature 1 (Whitfield et al., 2002) and signature 2 (Chang et al., 2004) consist of respectively 1134 and 199 cloneIDs that map to 815 and 154 unique UnigeneIDs, respectively. The distribution of genes in the different cell cycle phases for the two signatures is distinct (Supplementary Information Table S1), indicating that the signatures are different. Signature 1 shows equal proportions of genes in the defined cell cycle phases. However, in signature 2 more genes are involved in G2, and clearly less genes are involved in M/G1.

Outcome prediction with proliferation signatures

The signatures were tested for their clinical relevance on several publicly available microarray data sets (Table 1). Signatures were evaluated using a signature score (Equation (1)), which is defined as a weighted average of the expression of the genes in the signature. To calculate the signature score, weights were defined for each gene. After translating the signatures into UnigeneIDs (build199) and weight assignment, several genes were discarded from analyses, as weight assignment for these genes was ambiguous (details are provided in the Supplementary Materials and Methods). The final signatures consist of respectively 508 and 110 UnigeneIDs for signatures 1 and 2.

In every data set, a signature score (Equation (1)) was calculated for each patient. The patients were separated in two groups by clustering these signature scores, to obtain a natural separation rather than using an arbitrary value such as the median to split the patients. This clustering was repeated 1000 times to assess the stability of the group assignment. Results of the log-rank tests are given in Supplementary Information Table S2, and in Figure 1, the Kaplan–Meier curves for signature 2 are shown. Signature 2 gives clear risk stratification in all data sets, all P-values of the 1000 clustering runs < 0.05. Results of the log-rank test show not only that signature 2 gives a better risk stratification than signature 1, also the overall robustness of the separation is stronger, indicated by the small standard deviations. Nevertheless, both signatures show very good prognostic value on the three breast cancer data sets. The range and standard deviations of the 1000 clustering runs also show that the results are robust for these data sets and that the splitting patients based on clustering of signature scores is stable.

Statistical analysis of signature scores

Multivariate Cox-regression analyses were performed to investigate whether the association between the best proliferation signature and outcome was independent of clinical prognostic factors. The variables analysed differed per data set, as different clinical factors are provided (Supplementary Information Table S3). A stepwise backward selection procedure was performed to select the variables that are prognostic factors; the end point is 10 years for breast and renal cancer and 5 years for lung cancer. Follow-up time in the Wang et al. (2005) data set is not long enough, in that data set 5 years was used. In Table 2, the factors selected with this procedure are given for all the data sets, choosing another end point did not influence the results dramatically (Supplementary Information Table S4). In four out of five data sets, the proliferation signature is included in the model as a prognostic factor of outcome. In three data sets this was highly significant and in the fourth it reached border significance.
AUC calculations

The area under the receiver–operator curve (AUC) was calculated for each clinical parameter and the best proliferation signature. Results of this analyses show that the proliferation signature has a high AUC compared to the clinical parameters in all data sets (Supplementary Information Table S3).

To quantify the gain in prognostic power obtained with this signature, a model of the clinical factors with and without the signature was generated and evaluated with the AUC. Part of the data set was used as training set, to generate the model, and the other part as a test set. Only the data sets with more than 1 clinical parameter and more than 150 patients are included. Different sizes of training and test sets were evaluated; the overall performance did not change significantly (data not shown). The results shown in Figure 2 were produced with 150 and 100 samples as training set for the breast cancer and the renal cancer data sets, respectively. In two out of three data sets, the AUC increased significantly when the proliferation signature was added to the model (Figure 2, \(P\)-values paired \(t\)-test \(< 0.0001\)).

Random signature testing

To show the strength of the proliferation signature, 10,000 random generated signatures were tested on all data sets. Of these 10,000, no signature gave a significant result on all data sets.

Comparison to other signatures

Log-rank tests and Kaplan–Meier survival curves show that the wound and IGS signature give clear risk stratification in four and five data sets, respectively (Supplementary Information Table S2). Furthermore, inclusion of these signatures in multivariate

Figure 1 A signature score was calculated for each patient in the different data sets. These scores were used to cluster the patients in two groups, one with low expression and one with high expression of the signature. Kaplan–Meier survival curves for the two groups were compared (A) Miller data set, (B) Wang data set, (C) van de Vijver data set, (D) Zhao data set, (E) Beer data set.
Cox-regression analyses (Supplementary Information Table S4) gives the indication that combining signatures, such as the proliferation and IGS signature in one of the data sets, can strengthen the prognostic power of microarray profiling in a clinical setting. Combining the proliferation and wound signature did not add value in any of the data sets.

To investigate whether different signatures identify the same patients, two-way contingency table analyses (Supplementary Materials and Methods) were performed to compare the patient classification of the proliferation signature to the classification of other signatures. For the gene sets identified in three of the five data sets (Beer et al., 2002; van de Vijver et al., 2002; Miller et al., 2005), group classification was available (details are provided in the Supplementary Materials and Methods); these and the wound and IGS signatures were evaluated. Contingency table analyses and Cramer’s V statistics (Supplementary Information Tables S5 – S9) show that the proliferation signature has a strong association with all other signatures evaluated, indicating that these signatures identify the same patients.

Proliferation signature validation

The proliferation signature is extracted from *in vitro* data; however, this does not necessarily mean that the signature truly tracks proliferation *in vivo*. To investigate this, mitotic index (MI) was assessed for the van de Vijver et al. (2002) data set, which was scored in three classes. In Figure 3 a boxplot is shown of the proliferation score vs the MI. There is a clear correlation between the average proliferation signature score and the three classes of MI (correlation coefficient: 0.968).

DISCUSSION

We derived a proliferation signature from *in vitro* microarray studies based only on genes that differ in expression in different parts of the cell cycle (Whitfield et al., 2002; Chang et al., 2004). Results show that the proliferation signature has a high value in patient risk stratification in five large clinical studies involving

![Figure 2](image)

**Figure 2** A model of the clinical factors with and without the signature was generated. Receiver–operator curves (ROC) were used to compare the two models in three data sets. (A) Miller data set, (B) van de Vijver data set, (C) Zhao data set.

### Table 2 Clinical parameters selected with stepwise backward selection in multivariate Cox-regression analyses including signature 2

| Hazard ratio (95% CI) | P-value |
|-----------------------|---------|
| **Miller**            |         |
| Tumor size            | 3.3 (1.7–6.6) | 0.0006 |
| LNS*                  | 2.8 (1.6–5.0) | 0.0003 |
| Proliferation*        | 3.4 (1.4–8.2) | 0.0052 |
| **Wong**              |         |
| Proliferation*        | 2.6 (1.5–4.4) | 0.0004 |
| **Van de Vijver**     |         |
| Age                   | 0.95 (0.91–0.99) | 0.0096 |
| Tumour size            | 1.5 (0.93–2.5) | 0.0962 |
| Elston grade          | 2.2 (1.4–3.4) | 0.0003 |
| Proliferation*        | 21 (1.8–234) | 0.0148 |
| **Zhao**              |         |
| Performance status    | 1.3 (1.1–1.6) | 0.0069 |
| Grade                 | 1.5 (1.0–2.1) | 0.0260 |
| Stage                 | 3.3 (2.5–4.4) | < 0.0001 |
| **Beer**              |         |
| Age                   | 1.0 (1.0–1.1) | 0.0338 |
| Stage                 | 2.3 (1.5–3.5) | 0.0002 |
| Proliferation*        | 1.8 (0.9–3.5) | 0.0884 |

*LN5 = lymph-node status. *Proliferation: proliferation signature 2. *Categories: ≤2 or >2 cm.
more than 1000 patients and three different cancer sites. This contrasts with previous studies that rarely validate signatures in more than one large independent data set.

Our data indicate that the proliferation signature can be combined with other phenotype-based signatures, to further improve patient stratification. The fact that large clusters of proliferation genes are identified in many gene signatures (Perou et al, 1999; Rosenwald et al, 2003; Dai et al, 2005; Dyrsjkot et al, 2005; Sotiriou et al, 2006; Larsen et al, 2007; Liu et al, 2007) raises the possibility that many of previously reported gene signatures, including the wound signature, may be highly influenced by proliferation. Fan et al (2006) has previously suggested that many signatures track a common set of biological phenotypes and therefore have a similar prognostic strength. Whitfield et al (2006) has further suggested that one of these processes is proliferation. The performance of the proliferation signature in our study supports this idea. Comparisons of the proliferation signature to five other gene sets showed that these signatures primarily identify the same patients as the proliferation signature.

Some reports refer to their signature as a proliferation signature (Rosenwald et al, 2003; Dai et al, 2005). However, in these supervised studies not all genes in the signature are related to proliferation and therefore cannot be referred to strictly as general proliferation signatures. For example, Dai et al (2005) used a supervised approach to determine a signature associated with metastasis. Many of the identified genes were known to be involved in cell cycle regulation and these authors thus referred to their classifier as a proliferation signature. However, only 17 out of 50 genes in this signature are cell cycle related when assessed in the initial gene list of Whitfield et al (2002). The same applies to the study of Rosenwald et al (2003), only 28 of the 48 genes that were associated with length of survival are related to proliferation.

The proliferation signature has a high prognostic power, similar to many signatures; however, it is one of the few signatures that may also have a predictive value. It can possibly be used to prescribe a treatment targeting tumour proliferation. Studies indicate that the fast proliferating tumours can benefit from accelerated radiotherapy or chemoradiotherapy (Corvo et al, 2000; Gasinska et al, 2004). The proliferation signature could possibly be used as basis for a predictive test for patient selection for these treatments.

Previous studies have tried to assess the predictive value of proliferation by means of MI, Ki67 staining and potential doubling time (Tpot) calculation. Overall results of these single-parameter indicators are disappointing (Begg et al, 1999). Mitotic index and Ki67 staining are the most promising parameters; however, results for these markers are controversial (Begg et al, 1999; Daniels et al, 2002; Caly et al, 2004; Jalava et al, 2006). This can be because of the large chance of misclassification with these single-parameter indicators (Jalava et al, 2006; Whitfield et al, 2006). Application of multiparameter indicators, such as the proliferation signature, is therefore a more attractive method (Whitfield et al, 2006). The proliferation signature shows a clear correlation with MI in one of the tested data sets.

In conclusion, we have shown that the application of phenotype-based signatures such as the proliferation signature can be used in patient risk stratification, in addition to clinical parameters. It has a high prognostic value and unlike other signatures it has the potential to be converted into a predictive test. Furthermore, we provide evidence that supports the idea that many published signatures track the same biological processes and that proliferation is one of them. Whether the proliferation signature can be converted into a predictive test should be evaluated in a large prospective trial in which other measures for proliferation should also be evaluated.

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Supplementary Information accompanies the paper on British Journal of Cancer website (http://www.nature.com/bjc)

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Prognostic value of a proliferation signature
MHW Starms et al

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