Existence of a lower bound for the distance between point masses of relative equilibria in spaces of constant curvature

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Abstract

We prove that if for the curved n-body problem the masses are given, the minimum distance between the point masses of a specific type of relative equilibrium solution to that problem has a universal lower bound that is not equal to zero. We furthermore prove that the set of all such relative equilibria is compact. This class of relative equilibria includes all relative equilibria of the curved n-body problem in $S^2$, $H^2$ and a significant subset of the relative equilibria for $S^3$ and $H^3$.

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1 Introduction.

By $n$-body problems, we mean problems where we want to find the dynamics of $n$ point particles. By relative equilibria, we mean solutions to such problems where the point particles represent rotating configurations of fixed size and shape. The $n$-body problem in spaces of constant curvature, or curved $n$-body problem is an extension of the Newtonian $n$-body problem (in Euclidean space) into spaces of nonzero, constant Gaussian curvature, which means that the space is either spherical (if the curvature is positive), or hyperbolical (if the curvature is negative) (see [9], [10] and [11]). It was noted in [6] and [7] that it suffices to consider the case that the curvature is equal to either $+1$, or $-1$. More precisely, following [9], [10], [11], [5] and [7], if we define the space

$$\mathbb{M}^k_{\sigma} = \{ (x_1, \ldots, x_{k+1}) \in \mathbb{R}^{k+1} | x_1^2 + \ldots + x_k^2 + \sigma x_{k+1}^2 = \sigma \},$$

where $\sigma$ equals either $+1$, or $-1$ and for $x, y \in \mathbb{M}^k_{\sigma}$ define the inner product

$$x \odot_k y = x_1 y_1 + \ldots + x_k y_k + \sigma x_{k+1} y_{k+1},$$

we mean the problem of finding the dynamics of $n$ point particles with respective masses $m_1, \ldots, m_n$ and coordinates $q_1, \ldots, q_n \in \mathbb{M}^k_{\sigma}, k \geq 2$, as described by the system of differential equations

$$\ddot{q}_i = \sum_{j=1, j \neq i}^n m_j (q_j - \sigma (q_i \odot_k q_j) \dot{q}_i) - \sigma (q_i \odot_k \dot{q}_i) q_i, \quad i \in \{1, \ldots, n\}. \quad (1.1)$$

The first to investigate $n$-body problems for spaces of constant curvature were Bolyai [1] and Lobachevsky [19], who independently proposed a curved 2-body problem in hyperbolic space $\mathbb{H}^3$ in the 1830s. Since then, $n$-body problems for spaces of constant curvature have been studied by mathematicians such as Dirichlet, Schering [20], [21], Killing [12], [13], [14], Liebmann [16], [17], [18] and more recently Kozlov and Harin [15]. However, the study of $n$-body problems in spaces of constant curvature for the case that $n \geq 2$ started with [9], [10], [11] by Diacu, Pérez-Chavela and Santoprete. After this breakthrough, additional results for the $n \geq 2$ case were then obtained by Carinena, Rañada, Santander [2], Diacu [3], [4], [5], Diacu, Kordlou [7], Diacu, Pérez-Chavela [8]. For a more detailed historical overview, please see [4], [5], [6], [7], or [9].

M. Shub proved for the Newtonian $n$-body problem that if we fix the masses and the angular velocity (i.e. the speed with which the angle of the rotation changes), the set of possible relative equilibria is compact and as a direct consequence that there exists a universal nonzero lower bound for the distance between the point particles of the relative equilibria in such a set (see [22]). Shub’s results were a potential first step in what may lead to a proof of the famous sixth Smale problem (see [23]) which states that such sets are not only compact, but, in fact, finite.

In this paper, following Shub’s line of thought, we will make a first attempt at investigating to which extent we can extend his results to the constant curvature case. More specifically, for

$$T(t) = \begin{pmatrix} \cos(t) & -\sin(t) \\ \sin(t) & \cos(t) \end{pmatrix}$$
a $2 \times 2$ rotation matrix, $A > 0$, $Q_1, ..., Q_n \in \mathbb{R}^2$ and $Z \in \mathbb{R}^{k-1}$ constant, if we call any solution $q_1, ..., q_n$ of (1.1) of the form

$$q_i(t) = \begin{pmatrix} T(At)Q_i \\ Z \end{pmatrix}$$

(1.2)

a relative equilibrium and $A$ its angular velocity, then we will prove that if $\| \cdot \|_k$ is the Euclidean norm on $\mathbb{R}^k$ that

**Theorem 1.1.** There exists a universal constant $C > 0$ such that for any relative equilibrium solution of (1.1) $\| q_i - q_j \|_k > C$ for all $i, j \in \{1, ..., n\}$, $i \neq j$ if the masses $m_1, ..., m_n$ are given.

and

**Theorem 1.2.** If we write any set of vectors $Q_1, ..., Q_n \in \mathbb{R}^2$ of a relative equilibrium solution $q_1, ..., q_n$ as a $2n$-dimensional vector

$$\begin{pmatrix} Q_1 \\ \vdots \\ Q_n \end{pmatrix}$$

then the set of all such $2n$-dimensional vectors, for fixed masses $m_1, ..., m_n$ and angular velocity $A$, is compact in $\mathbb{R}^{2n}$.

**Remark 1.3.** Note that the definition of a relative equilibrium used in Theorem 1.1 and Theorem 1.2 includes all relative equilibria of the $n$-body problem in $\mathbb{M}_2^2$ and a subclass of the positive elliptic relative equilibria in $S^3$ as defined in [5] and a subclass of the negative elliptic relative equilibria in $H^3$ as defined in [5], which are two out of all four possible classes of relative equilibria in $\mathbb{M}_3^3$ (see [5]).

We will first formulate two lemmas, which will be done in section 2, that are related to Criterion 1 in [4] and then use those lemmas to prove Theorem 1.1 in section 3 and Theorem 1.2 in section 4.

### 2 Background theory

In order to formulate the aforementioned lemmas we need for the proofs of Theorem 1.1 and Theorem 1.2 we need to introduce some notation:

Let $m \in \mathbb{N}$. Let $\langle \cdot, \cdot \rangle_m$ be the Euclidean inner product on $\mathbb{R}^m$. Let $i, j \in \{1, ..., n\}$. Let

$$q_1(t) = \begin{pmatrix} T(At)Q_1 \\ Z \end{pmatrix}, ..., q_n(t) = \begin{pmatrix} T(At)Q_n \\ Z \end{pmatrix}$$

be a relative equilibrium, define $r := \| Q_i \|$ for all $i \in \{1, ..., n\}$ and let $\alpha_i$ be the angle between $Q_i$ and the first coordinate axis. Then the first lemma we will need is:
Lemma 2.1. Let $q_1, \ldots, q_n$ be a relative equilibrium solution as in (2.2). Then

$$0 = \sum_{j=1, j \neq i}^{n} \frac{m_j \sin (\alpha_i - \alpha_j)}{\left(1 - \cos (\alpha_i - \alpha_j)\right)^{\frac{3}{2}} \left(2 - \sigma r^2 (1 - \cos (\alpha_i - \alpha_j))\right)^{\frac{1}{2}}} \quad (2.1)$$

Proof. This lemma is a direct consequence of Criterion 1 in [24], but the proof for our case is very short, which is why it has been added here regardless:

Inserting our expressions for $q_1, \ldots, q_n$ into (1.1), using that $(T(At))'' = -A^2 T(At)$ and that $(T(At))' = AT(At) \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$, gives

$$\begin{pmatrix} -A^2 T(At)Q_i \\ 0 \end{pmatrix} = \sum_{j=1, j \neq i}^{n} \frac{m_j \left(\begin{pmatrix} (T(At)Q_j) \\ Z \end{pmatrix} - \sigma (q_i \odot_k q_j) \begin{pmatrix} (T(At)Q_i) \\ Z \end{pmatrix}\right)}{(\sigma - \sigma (q_i \odot_k q_j)^2)^{\frac{1}{2}}}$$

$$- \sigma (\dot{q}_i \odot_k \dot{q}_j) T(At)Q_i, \ i \in \{1, \ldots, n\}, \quad (2.2)$$

where $0 \in \mathbb{R}^{k-2}$. Writing out the identities for the first two coordinates of the vectors of (2.2) gives

$$-A^2 T(At)Q_i = \sum_{j=1, j \neq i}^{n} \frac{m_j \left(\begin{pmatrix} (T(At)Q_j) \\ Z \end{pmatrix} - \sigma (q_i \odot_k q_j)T(At)Q_i\right)}{(\sigma - \sigma (q_i \odot_k q_j)^2)^{\frac{1}{2}}}$$

$$- \sigma (\dot{q}_i \odot_k \dot{q}_j) T(At)Q_i, \ i \in \{1, \ldots, n\}. \quad (2.3)$$

Multiplying both sides of (2.3) with $(T(At))^{-1}$ and consequently taking inner products at both sides with

$$\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} Q_i$$

gives

$$0 = \sum_{j=1, j \neq i}^{n} \frac{m_j \langle Q_j, \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} Q_i \rangle Z}{(\sigma - \sigma (q_i \odot_k q_j)^2)^{\frac{3}{2}}}, \ i \in \{1, \ldots, n\},$$

which can be rewritten as

$$0 = \sum_{j=1, j \neq i}^{n} \frac{m_j \| Q_j \| \| Q_i \| \sin (\alpha_j - \alpha_i)}{(\sigma - \sigma (\| Q_j \| \| Q_i \| \cos (\alpha_j - \alpha_i) + Z \odot_{k-2} Z)^2)^{\frac{3}{2}}}, \ i \in \{1, \ldots, n\}. \quad (2.4)$$

Using that $\sigma = q_i \odot_k q_i = \| Q_i \|^2 + Z \odot_{k-2} Z$ and that $\| Q_i \| = \| Q_j \| = r$ allows us to rewrite (2.4) as

$$0 = \sum_{j=1, j \neq i}^{n} \frac{m_j r^2 \sin (\alpha_j - \alpha_i)}{(\sigma - \sigma (r^2 \cos (\alpha_j - \alpha_i) + \sigma - r^2)^2)^{\frac{3}{2}}}, \ i \in \{1, \ldots, n\},$$

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which means that
\[
0 = \sum_{j=1, j\neq i}^{n} \frac{m_j \sin(\alpha_j - \alpha_i)}{(1 - \cos(\alpha_j - \alpha_i))^2 (2 - \sigma r^2 (1 - \cos(\alpha_j - \alpha_i))^2)^\frac{3}{2}}, \ i \in \{1, \ldots, n\},
\]
which completes the proof. \(\square\)

**Lemma 2.2.** For any relative equilibrium solution to (1.1)
\[
\begin{pmatrix}
T(A_r)Q_i \\
Z
\end{pmatrix}, \ i \in \{1, \ldots, n\}
\]
we have that if \(Z \neq 0\), then
\[
\sigma A^2 r^2 = \sum_{j=1, j\neq i}^{n} \frac{m_j (1 - \sigma (q_i \odot_k q_j))}{(\sigma - \sigma (q_i \odot_k q_j)^2)^\frac{3}{2}}, \ i \in \{1, \ldots, n\}.
\]

**Proof.** Because of (2.2), we have that
\[
\overrightarrow{0} = \sum_{j=1, j\neq i}^{n} \frac{m_j (Z - \sigma (q_i \odot_k q_j)Z)}{(\sigma - \sigma (q_i \odot_k q_j)^2)^\frac{3}{2}} - \sigma (q_i \odot_k q_i)Z, \ i \in \{1, \ldots, n\},
\]
which can be rewritten as
\[
\sigma (q_i \odot_k q_i)Z = \sum_{j=1, j\neq i}^{n} \frac{m_j (Z - \sigma (q_i \odot_k q_j)Z)}{(\sigma - \sigma (q_i \odot_k q_j)^2)^\frac{3}{2}}, \ i \in \{1, \ldots, n\}. \tag{2.5}
\]
Because \(Z \neq 0\), there has to be at least one nonzero entry of \(Z\), so if we divide the identity in (2.5) for that entry by that entry, we get
\[
\sigma (q_i \odot_k q_i) = \sum_{j=1, j\neq i}^{n} \frac{m_j (1 - \sigma (q_i \odot_k q_j))}{(\sigma - \sigma (q_i \odot_k q_j)^2)^\frac{3}{2}}, \ i \in \{1, \ldots, n\}. \tag{2.6}
\]
Because \(q_i \odot_k q_i = A^2 r^2\), this proves the lemma. \(\square\)

### 3 Proof of Theorem 1.1

**Proof.** Assume that the contrary is true. Then there exist sequences
\[
\{Q_{ip}\}_{p=1}^{\infty} \subset \mathbb{R}^2, \ i = 1, \ldots, n,
\]
with respective sequences of relative equilibria
\[
\left\{ \begin{pmatrix}
T(A_{ip})Q_{ip} \\
Z_p
\end{pmatrix} \right\}_{p=1}^{\infty}, \ i \in \{1, \ldots, n\}
\]
for which, after renumbering the
\[
\begin{pmatrix}
T(A_{ip})Q_{ip} \\
Z_p
\end{pmatrix}
\]
in terms of $i$ if necessary, there exists an $l \in \{1, \ldots, n\}$, such that

\[
\begin{pmatrix}
T(A_p^t)Q_{1p} \\
Z_p
\end{pmatrix}, \ldots, \begin{pmatrix}
T(A_p^t)Q_{lp} \\
Z_p
\end{pmatrix}
\]

go to the same limit for $p$ going to infinity.

For each of those $p$, we have because of Lemma 2.1 that

\[
0 = \sum_{j=1, j \neq i}^{n} m_j \sin(\alpha_{ip} - \alpha_{jp}) \left(1 - \cos(\alpha_{ip} - \alpha_{jp})\right)^\frac{3}{2} \left(2 - \sigma r_p^2(1 - \cos(\alpha_{ip} - \alpha_{jp}))\right)^\frac{3}{2},
\]

where $\alpha_{ip}$ and $\alpha_{jp}$ are the angles between the first coordinate axis and $Q_{ip}$ and the angle between the first coordinate axis and $Q_{jp}$ respectively and $r_p = ||Q_{ip}||$.

Because of (3.1), we thus get that

\[
0 = \sum_{j=2}^{l} m_j \sin(\alpha_{1p} - \alpha_{jp}) \left(1 - \cos(\alpha_{1p} - \alpha_{jp})\right)^\frac{3}{2} \left(2 - \sigma r_p^2(1 - \cos(\alpha_{1p} - \alpha_{jp}))\right)^\frac{3}{2}
+ \sum_{j=l+1}^{n} m_j \sin(\alpha_{1p} - \alpha_{jp}) \left(1 - \cos(\alpha_{1p} - \alpha_{jp})\right)^\frac{3}{2} \left(2 - \sigma r_p^2(1 - \cos(\alpha_{1p} - \alpha_{jp}))\right)^\frac{3}{2}.
\]

(3.2)

There are two possibilities:

1. $\alpha_{1p} - \alpha_{jp}$ goes to zero for $j \in \{1, \ldots, l\}$ and $r_p$ is bounded for $p$ going to infinity.

2. $\alpha_{1p} - \alpha_{jp}$ goes to zero for $j \in \{1, \ldots, l\}$ and $r_p$ is not bounded for $p$ going to infinity.

For the first case, note that by l’Hôpital and by renumbering the $\alpha_{ip}$ in terms of $i$ and taking subsequences if necessary such that $\alpha_{1p} - \alpha_{jp}$ decreases to zero for all $j \in \{1, \ldots, l\}$ that

\[
\lim_{(\alpha_{1p} - \alpha_{jp}) \downarrow 0} \frac{m_j \sin(\alpha_{1p} - \alpha_{jp})}{1 - \cos(\alpha_{1p} - \alpha_{jp})} = \lim_{(\alpha_{1p} - \alpha_{jp}) \downarrow 0} \frac{m_j \cos(\alpha_{1p} - \alpha_{jp})}{\sin(\alpha_{1p} - \alpha_{jp})} = +\infty,
\]

(3.3)

which means that if we take the limit where $p$ goes to infinity on both sides of (3.2), we get that $0 = \infty$, which is a contradiction.

For the second case, the $n$-body problem is defined on $\mathbb{H}^k$ and thus $\sigma = -1$. Then multiplying both sides of (3.2) with $r_p^3$ and noting that for $p$ going to infinity

\[
\frac{r_p^3}{(2 - \sigma r_p^2(1 - \cos(\alpha_{1p} - \alpha_{jp})))^\frac{3}{2}} = \frac{r_p^3}{(2 + r_p^2(1 - \cos(\alpha_{1p} - \alpha_{jp})))^\frac{3}{2}}
\]

does not go to zero, leads, combined with (3.3), to the desired contradiction we got for the first case. This completes the proof.
4 Proof of Theorem 1.2

Assume that the contrary is true. Then there exist sequences \( \{Q_{ip}\}_{p=1}^{\infty}, i \in \{1,\ldots,n\} \) and corresponding relative equilibria

\[
q_{ip} = \left( \frac{T(A)Q_{ip}}{Z_p} \right), \quad i \in \{1,\ldots,n\}
\]

where \( q_1,\ldots,q_n \) solve (1.1), such that \( r_p := \|Q_{ip}\| \) goes to infinity for \( p \) going to infinity.

As consequently, for \( p \) large enough, taking subsequences if necessary, \( Z_p \neq 0 \), we have by Lemma 2.2 that

\[
\sigma A^2 r_p^2 = \sum_{j=1, j \neq i}^{n} \frac{m_j \left( 1 - \sigma(q_{ip} \circ_k q_{jp}) \right)}{\left( \sigma - \sigma(q_{ip} \circ_k q_{jp})^2 \right)^{\frac{3}{2}}}, \quad i \in \{1,\ldots,n\}.
\]

Letting \( p \) go to infinity on both sides of (4.1) means that the left-hand side of (4.1) goes to infinity, which is only possible if the right-hand side of (4.1) does the same. The right-hand side of (4.1) can only become infinitely large if for at least one term

\[
\frac{m_j \left( 1 - \sigma(q_{ip} \circ_k q_{jp}) \right)}{\left( \sigma - \sigma(q_{ip} \circ_k q_{jp})^2 \right)^{\frac{3}{2}}}
\]

the denominator goes to zero, which means that \( \lim_{p \to \infty} q_{ip} \circ_k q_{jp} = -1 \), which means that \( q_{ip} \) and \( q_{jp} \) have the same limit. This contradicts Theorem 1.1.

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