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Nonlinear optomechanical measurement of mechanical motion

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Precision measurement of nonlinear observables is an important goal in all facets of quantum optics. This allows measurement-based non-classical state preparation, which has been applied to great success in various physical systems, and provides a route for quantum information processing with otherwise linear interactions. In cavity optomechanics much progress has been made using linear interactions and measurement, but observation of nonlinear mechanical degrees-of-freedom remains outstanding. Here we report the observation of displacement-squared thermal motion of a micro-mechanical resonator by exploiting the intrinsic nonlinearity of the radiation-pressure interaction. Using this measurement we generate bimodal mechanical states of motion with separations and feature sizes well below 100 pm. Future improvements to this approach will allow the preparation of quantum superposition states, which can be used to experimentally explore collapse models of the wavefunction and the potential for mechanical-resonator-based quantum information and metrology applications.

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A key tool in quantum optics is the use of measurement to conditionally prepare quantum states. This technique, often simply referred to as 'conditioning', has been applied to generate non-Gaussian quantum states for confined microwave fields \(^3\), travelling optical fields \(^2\) and superconducting systems \(^4\). In addition, quantum measurements are of vital importance to many quantum computation protocols \(^5\). In cavity optomechanics, light circulating inside an optical resonator is used to manipulate and measure the motion of a mechanical element via the radiation-pressure interaction \(^6\). After an optomechanical interaction performing a measurement on the light can then be used to conditionally prepare mechanical states of motion. This form of state preparation can be understood as a combination of Bayesian inference, that is, updating our knowledge of the system, and back-action (see Supplementary Note 1 for an introduction). Subsequent measurements following the conditioning step can be used to characterize the state prepared. Such mechanical conditioning has been performed with measurements of the mechanical position \(^7\)–\(^9\), however, thus far, conditioning has not been performed with a measurement of a nonlinear mechanical degree of freedom. One exciting prospect is to dispersively couple the optical field to the mechanical position squared \(^10\), which could enable the detection of phonon number jumps \(^11\) and thus demonstrate mechanical energy quantization.

Here we implement an alternative approach that instead utilises the optical nonlinearity of the radiation-pressure coupling, as was proposed in ref. 12. Such a position-squared measurement can ultimately be used to prepare a mechanical superposition state \(^13\) as the measurement does not reveal the sign of the mechanical position. Studying the dynamics of superposition states can be used to test models of decoherence beyond standard quantum mechanics \(^12\)–\(^14\) and \(^18\) and for the development of mechanical quantum sensors.

For the optomechanical system of interest in this work, the intracavity Hamiltonian in a frame rotating at the optical carrier frequency is \(H/\hbar = \omega_M b^\dagger b + g_0 a b a^\dagger (b + b^\dagger)\) where \(a(b)\) is the optical (mechanical) annihilation operator, \(\omega_M\) is the mechanical angular frequency and \(g_0\) is the zero-point optomechanical coupling rate. Quite generally, optomechanics experiments to-date have focused on dynamics describable by a linearized model of the radiation-pressure interaction \(^6\), where the photon number operator is approximated by \(a^\dagger a \approx N + \sqrt{N}(a^\dagger + a)\) and \(N\) is the mean intracavity photon number. In this approximation, mechanical displacements give rise to displacements of the optical phase quadrature leaving the optical amplitude quadrature unchanged. Fundamentally, however, the radiation-pressure interaction is nonlinear \(^12\)–\(^20\), and generates mechanical position-dependent rotations of the intracavity optical field. For small changes in the mechanical position, the optical phase quadrature changes linearly in proportion to the mechanical displacement, and the optical amplitude quadrature reduces in proportion to the mechanical displacement squared. By choosing which optical quadrature to observe with homodyne detection, one may selectively measure the mechanical displacement or displacement-squared \(^12\). Since a displacement-squared measurement does not distinguish between positive and negative displacement, mechanical superposition states may be prepared by measurement \(^13\). A necessary requirement for the optical interaction to effect a direct measurement of the displacement-squared is that the mechanical motion is negligible during the intracavity photon lifetime, that is, operation in the bad-cavity regime \((\kappa \gg \omega_M\), where \(\kappa\) is the optical amplitude decay rate of the cavity\). This should be contrasted to other approaches operating in the resolved sideband regime \((\kappa \ll \omega_M\), where cavity-averaged displacement-squared interactions have been predicted to allow the observation of the mechanical phonon-number \(^10\), \(^11\). In this work we observe optomechanical dynamics arising from the nonlinearity of the radiation-pressure interaction and, utilizing this nonlinearity, perform non-Gaussian state generation by measurement of displacement-squared mechanical motion.

In the bad-cavity regime, the intracavity field can be approximated as \(a \approx \sqrt{N}/(1 + \i \lambda X_M + \xi)\), where \(\lambda = \sqrt{2g_0/\kappa}\) quantifies the optomechanical interaction strength, \(\xi\) is the intracavity noise term and \(X_M = (b + b^\dagger)/\sqrt{2}\) is the mechanical displacement in units of the mechanical quantum noise (Supplementary Note 2). Taylor expanding the intracavity field, the time-dependent optical output quadratures are then

\[ X_{\text{out}}^2 = X_{\text{in}}^2 - 2\sqrt{N}(1 - \lambda^2 X_M^2 + \ldots) \]

and

\[ P_{\text{out}}^2 = P_{\text{in}}^2 - 2\sqrt{N}(-\lambda X_M + \lambda^2 X_M^2 - \ldots) \]

where \(X = (a + a^\dagger)/\sqrt{2}\) and \(P = (a^\dagger - a)/\sqrt{2}\). Conventionally, experimental optomechanics have focused on the leading, linear term in the expansion of the phase quadrature. In this linearized picture, only a single spectral peak at the mechanical resonance frequency is expected. Higher-order terms in mechanical displacement, however, give rise to spectral peaks at the respective multiples of the mechanical resonance frequency which are only described by the full nonlinear optomechanical Hamiltonian. Precision measurement of these higher-order terms enables the conditional preparation of non-Gaussian states which, in a quantum regime, produces highly non-classical states \(^21\).

Here we use the intrinsic nonlinearity of the radiation-pressure interaction to effect a displacement-squared measurement of the motion of a mechanical oscillator. We use this measurement to conditionally prepare classical bimodal states of mechanical motion from an initial room-temperature thermal state. Further we theoretically show that this continuous measurement approach, with the introduction of feed-back, may be extended to a quantum regime, allowing preparation of macroscopic quantum superposition states of mechanical motion.

**Results**

**Optomechanical system.** A schematic of our nonlinear optomechanics experiment is shown in Fig. 1. We use a near-field cavity optomechanical set-up \(^22\), where a mechanical SiN nanostring oscillator \(^23\) is placed in close proximity to a 60-\(\mu\)m diameter optical microsphere resonator and interacts with the optical cavity field via the optical evanescent field (Fig. 1c). The nanostring has dimensions \(1,000 \times 10 \times 0.054 \mu\)m (length \(\times\) width \(\times\) thickness) and a fundamental mechanical resonance frequency of \(\omega_M/2\pi = 100.2\) kHz. From the known dimensions and density we estimate an effective mass of \(m = 0.86\) ng. A continuous-wave fibre laser, operating at 1,559 nm, is locked on resonance with a whispering-gallery mode of the microsphere. We measure an optical amplitude decay rate of \(\kappa/2\pi = 25.6\) MHz and a mechanical linewidth of \(\gamma/2\pi = 0.7\) Hz. An evanescent optomechanical coupling of 7.6 MHz/nm was determined (Methods section), corresponding to a coupling rate of \(g_0/2\pi = 75\) Hz. We use \(\sim 2\) \(\mu\)W of optical drive power resulting in an intracavity photon number \(N = 2.4 \times 10^4\). A fibre-based Mach–Zehnder interferometer is used to perform homodyne detection and thereby selectively measure a quadrature of the optical output field.

Figure 2a,b show the observed homodyne noise power spectra for both optical phase and amplitude quadratures at the mechanical frequency and the second harmonic, respectively. At \(\omega_M\) (Fig. 2a) we observe a Lorentzian peak in the phase quadrature from the thermal motion of the oscillator, which corresponds to a root-mean-square (RMS) displacement of
124 pm corresponding to a thermal occupation of $\bar{n} \approx 10^8$. The thermal noise is resolved with 85 dB of signal relative to the homodyne noise power when the signal is blocked, which corresponds to an ideal displacement sensitivity of $1.3 \times 10^{-15}$ m Hz$^{-1/2}$. In practice, the signal beam is not shot noise limited due to cavity, acoustic and laser noise which raise the measurement imprecision by roughly an order of magnitude. By setting the interferometer phase to measure the optical amplitude quadrature, the linear measurement of mechanical motion is suppressed by $\sim 45$ dB. At this quadrature, information about the displacement-squared mechanical motion is observed in a frequency band centred at $2\omega_M$ (Fig. 2b). We observe a Lorentzian peak with a linewidth of 1.5 Hz, which to within the measurement uncertainty, is equal to twice the linewidth at $\omega_M$ (Supplementary Note 3 and Supplementary Fig. 1). The signal-to-noise at this frequency is 65 dB relative to the homodyne noise, which corresponds to a calibrated ideal displacement-squared sensitivity of $3.3 \times 10^{-24}$ m$^2$ Hz$^{-1/2}$.

Figure 2c shows the band power in the first and second harmonics as a function of the interferometer phase. The powers in each band are expected to follow sine and cosine squared functions (fitted). The observed suppression of the linear measurement allows an upper bound to be placed on the phase instability of the cavity and interferometer locks of at most $5 \times 10^{-3}$ rad. Figure 2d shows the observed relative noise powers up to the fourth harmonic of the mechanical frequency. The expected noise powers can be computed with the Isserlis–Wick theorem (Supplementary Notes 3 and 11), which show excellent agreement with experiment.
State preparation and read-out. Of primary interest in this work is the lowest order nonlinear measurement term in the optical amplitude quadrature, proportional to $X^2_M$. To describe this quantitatively we introduce the slowly varying quadratures of motion, $X$ and $Y$, defined via $X_M(t) = X(t) \cos \omega_M t + Y(t) \sin \omega_M t$. The mechanical displacement-squared signal can then be written as:

$$X^2_M = \frac{1}{4} (X^2 + Y^2) + \frac{1}{4} (X^2 - Y^2) \cos(2\omega_M t) + \frac{1}{4} (XY + YX) \sin(2\omega_M t)$$  (3)

where for later convenience, the displacement-squared quadratures of motion are defined $P = \frac{1}{4} (X^2 - Y^2)$ and $Q = \frac{1}{4} (XY + YX)$. By inspection, it can be seen that the quadratic measurement has spectral components both at DC and $2\omega_M$. Higher-order terms in the expansion equations (1 and 2) can in principle contribute to the signal at $2\omega_M$, however since $\chi^2 n \ll 1$, the quadratic term is the only term to contribute substantial power at $2\omega_M$. Consequently, linear and quadratic components of the measurement can be spectrally separated and therefore, at an appropriate homodyne angle, measured simultaneously.

To perform both state preparation and state reconstruction we set a homodyne angle of $\pi/4$, which allows simultaneous high-fidelity linear measurement (for state reconstruction) and quadratic measurements (for state preparation). The photocurrent generated at the homodyne output is digitized into 4 second blocks at a sample rate of $5 \times 10^6$ s$^{-1}$, which are then filtered numerically at $\omega_M$ and $2\omega_M$ to obtain the respective quadratures of motion in each frequency band as detailed in Supplementary Note 4. For a large signal-to-noise ratio, the squares of each quadrature of motion can be estimated from the measurements of $P$ and $Q$ via the nonlinear transformations

$$X^2 \approx \bar{X}_M = \sqrt{P^2 + Q^2 + P} \quad \text{and} \quad Y^2 \approx \bar{Y}_M = \sqrt{P^2 + Q^2 - P},$$

where the tildes denote the (noise inclusive) measurement outcomes of the respective quantity. These transformations allow the recovery of a classical estimate of $X^2_M$ without knowledge of the signal at DC. Figure 3a plots the $\bar{X}_M^2$ estimates thus obtained from the $2\omega_M$ signal against the cosine mechanical position quadrature, $X$, obtained from the measurement at $\omega_M$. A clear quadratic relationship between the two measurements is observed, validating the displacement-squared nature of the $2\omega_M$ peak.

Conditioning based on the outcome of the quadratic measurement can be used to prepare non-Gaussian states. In the most basic approach, conditioning $\bar{Q} = 0$ and $\bar{P} = C$, for some constant $C$, will produce a bimodal state with a separation of $2\sqrt{2}C$. However, to make more efficient use of the available data, we additionally perform a mechanical phase rotation for each sample in the measurement record. First, at each discrete sample we find a rotation by a phase angle $\phi$ such that the new rotated variable $\bar{Q} = \cos(2\phi)P + \sin(2\phi)Q$ is equal to zero. As a result, in the frame rotated by the half angle, $\phi/2$, correlation between the two mechanical quadratures $X$ and $\tilde{Y}$ is conditionally eliminated. Second, we condition on a particular magnitude of $\bar{P} = P \cos(2\phi) + Q \sin(2\phi)$ (Methods section). This operation localizes the phase-space distribution of the reconstructed state to two small regions as shown in Fig. 3b, with a separation dependent on the conditioning value. These states, although classical, are evidently bimodal and non-Gaussian. Further details of this protocol are contained in Supplementary Note 5 and Supplementary Fig. 2. Extending this protocol to a regime where the quadratic measurement rate dominates all decoherence processes, a macroscopic quantum superposition state can be generated. Indeed, as detailed later, a simulated state prepared in this way is shown in Fig. 4b.

Figure 3 | Bimodal state preparation via nonlinear measurement. Using a homodyne angle of $\pi/4$, a high-fidelity measurement of both linear and quadratic motion of the mechanical oscillator at frequencies of $\omega_M$ and $2\omega_M$, respectively, is obtained. In a the quadratic measurement outcomes ($\bar{X}_M^2$) obtained from the $2\omega_M$ signal are plotted against the linear outcomes ($\bar{X}$) obtained from the signal at $\omega_M$. The histogram of $\bar{X}$ measurements (above) is well described by a Gaussian thermal distribution with s.d. $\sigma_{th} = 124$ pm, while the histogram of the $\bar{X}_M^2$ measurements (right) forms a $\chi^2$ distribution. Figures (b-d) show the phase-space distributions (and associated histograms) of states conditioned using data at $2\omega_M$ and obtained using a read-out at $\omega_M$. The conditionally rotated read-out data is decomposed into conjugate quadratures, labelled $\tilde{X}$ and $\tilde{Y}$. The chosen quadratic conditioning values are in (b) $\bar{P} = 0.2$, (c) $\bar{P} = 0.8$ and (d) $\bar{P} = 2.0$. The same quadratic conditioning values are indicated as overlay histograms in a. The histograms in b-d are normalized to their peak value to more easily allow the width of the features to be compared with the initial thermal state (orange dash-dot curve). The red curves overlayed in the histograms in $\tilde{X}$ are determined via numerical simulation, with the signal-to-noise ratios for the linear and quadratic measurements as fitting parameters.

Comparison of effective coupling rates. At present, in opto- and electro-mechanics, techniques towards measurement of nonlinear observables of mechanical motion include coupling to two-level systems and radiation-pressure interactions coupling to the displacement-squared, such as the ‘membrane-in-the-middle’
in state evolves, negativity appears in the Wigner function. This is illustrated by quadratic measurement rate resulting from this fundamental coupling. Atoms27, trapped microspheres 28 or double-disk structures 29. However, it should be noted that in these systems, quadratic coupling rates are typically orders of magnitude smaller than g 0. For instance, the coupling rate for a state-of-the-art MiM scheme as high as 160 Hz. In comparison, a state-of-the-art MiM coupling rate of 2.2 Hz. Crucially, since the coupling rate now operating within three orders of magnitude of the single-photon strong coupling regime, similar to MiM (Supplementary Note 6). However, by including feed-back, this form of decoherence can, in the limit of perfect detection efficiency, be completely eliminated (Supplementary Note 7). This is because the amplitude quadrature measurement records not only the X 2 mechanical motion, but also the optical intracavity amplitude fluctuations on the mechanics, suitable feed-back to the motion of the mechanical oscillator can cancel this radiation-pressure noise. Additionally, with this feed-back, the mechanical dynamics reduce to a similar form as with the displacement-squared dispersive Hamiltonian coupling. In the realistic case of imperfect detection efficiency η, the decoherence can be suppressed up to a factor of 1 − η. This results in the coupling strength requirement g g 2/k > (1−η)/2η to reach a quantum regime (see Supplementary Notes 7,9,10 and Supplementary Figs 3–5 which quantify the effect of decoherence arising from linear interactions). For example, with a detection efficiency of η = 0.98 the single-photon coupling rate need only be one tenth of the strong coupling requirement. Additionally, the quadratic measurement rate must dominate rethermalisation, that is, 4πNg 2/k 3 > γ(n + 1/2). Provided the coupling strength criterion is satisfied, rethermalisation can be made insignificant with only modest intracavity photon numbers in cryogenic systems.

Based on these criteria, the quantum regime of our scheme can be achieved with current atom-optomechanical systems. For instance, quantum superposition states of motion could immediately be implemented with the approach in ref. 33, provided the detection efficiency exceeds 10%. Furthermore, solid-state optomechanical devices have seen rapid gains in performance over the past decade, with both optical and microwave systems now operating within three orders of magnitude of the single-photon strong coupling regime. For example, an effective coupling rate of g g 0/k = 0.04 has recently been achieved in a superconducting microwave optomechanical device34, and with modest modifications, it is expected the system will approach the strong coupling regime. When g g 0/k = 0.04, the generation of quantum superposition states using our protocol requires detection efficiency on the order of 99.7%. However, with a one order of magnitude improvement in the coupling rate, the required detection efficiency drops to 76%, such that in combination with state of the art amplifiers35, non-classical state generation using our protocol could be realised. A full list of parameters is provided in Supplementary Table 1.

Technical limitations may also play a role in the implementation of our protocol in a quantum regime. For example, fluctuations or offsets in the interferometer phase or cavity lock will result in linear coupling to the environment, and therefore an additional source of decoherence. Linear coupling can also be remedied by using a coherent optical drive, which makes entering the quantum regime more feasible.
introduced undesirably due to the presence of other mechanical modes in the system, which mix via the optical nonlinearity with the mode of interest. Indeed the sum beat between the two mechanical modes in Fig. 2a is observed as the +4.3 kHz peak in Fig. 2b. These additional linear decoherence channels are expected to be negligible compared with the decoherence due to linear radiation pressure back-action as detailed Supplementary Note 8. The currently un-utilised DC component of the homodyne signal constitutes an additional technical decoherence channel. However, unlike the decoherence mechanisms discussed above, this channel is nonlinear, carrying information about phonon number rather than mechanical position. This can be seen from the expansion of the quadratic motion in terms of the creation and annihilation operators,

\[ X_\Omega = b^\dagger b + \frac{1}{2} (b b^\dagger + b^\dagger b), \]

and identifying the number operator \( n = b^\dagger b \) plus a constant as the DC part. As a result, loss of the DC information generates phase diffusion on the mechanical state. Somewhat strikingly, the non-classicality of states generated by \( X^2 \) measurement can in fact be quite robust against this form of decoherence. In Supplementary Note 9, the effect of phonon-number decoherence of an initial superposition state is analysed, showing that Wigner function negativity is preserved even in the presence of a complete loss of phonon number information to the environment. This result is illustrated in Fig. 4c,d and in further detail in Supplementary Fig. 6.

Quantum trajectory simulation. Finally, to elucidate the precise effect of the combination of all identified decoherence processes on the state conditioned via continuous quadratic measurement, a master equation simulation of our system was performed. The results for a particular trajectory are briefly summarized in the Wigner functions presented in Fig. 4a,b. Shown in Fig. 4a is an initial thermal state of the mechanical oscillator. After a period of continuous measurement of the AC component of \( X_\Omega \), and in the presence of DC and thermal decoherence, this initially symmetric Gaussian state evolves into a non-Gaussian bimodal quantum state, exhibiting Wigner negativity near the origin, as shown in Fig. 4b. See Supplementary Movie 1 for an animation of the Wigner function time evolution. Notably, qualitatively similar states have previously been shown to form in a different system under continuous position-squared measurement and conditioning. The states prepared by our protocol exhibit many of the properties of the canonical Schrödinger cat state of Fig. 4c and are highly non-classical. As a result, even in the presence of the identified decoherence mechanisms, we can conclude our protocol can give rise to interesting non-classical states. We would like to highlight that initialization of the mechanical oscillator near its ground state is not required to generate non-classical mechanical states. This insensitivity to initial thermal occupation is because the continuous position-squared measurement also serves to purify the state. Further details of our simulation are found in Supplementary Note 10 and Supplementary Figs 7 and 8.

Discussion

To summarize, by exploiting the nonlinearity inherent in the radiation-pressure interaction, we report nonlinear measurement of thermo-mechanical motion in an optomechanical system. Utilising the measurement of displacement-squared motion, we demonstrate the first measurement-based state preparation of mechanical non-Gaussian states. Furthermore, we propose a method using feed-back to extend this protocol to a quantum regime without requiring single-photon strong coupling. Favourable scaling of the coupling rate in our approach makes realistic the possibility of observing the displacement-squared fluctuations at the level of the mechanical ground state in the near future. With sufficiently high detection efficiency, this would allow for mechanical quantum superposition state preparation. As a result, this experiment paves the way for quantum non-Gaussian state preparation of mechanical motion via measurement with applicability to a number of other physical systems, such as cold atoms, atomic spin ensembles, optomechanical systems and superconducting microwave circuits.

Methods

Linear calibration procedure. We determine the evanescent optomechanical coupling by displacing the nanostrip by a known distance using a piezoelectric element and measuring the resulting frequency shift on the optical resonance. The frequency shift is calibrated via modulation of known frequency applied to the laser. We then establish the response of the homodyne by sweeping the laser detuning over the optical resonance and measuring the slope of the phase response. This parameter combined with the previously determined optomechanical coupling rate gives the total response of the combined cavity interferometer system (V nm\(^{-1}\)), allowing direct calibration of the time domain data (nm). We calibrate the response of our spectrum analyser by applying a test tone of known amplitude, which using the time domain calibration gives a spectral peak of known displacement spectral density.

Quadratic calibration procedure. Frequency domain calibration of the quadratic measurement is performed by ensuring the calibrated RMS displacement, obtained from the linear measurement, which is consistent with the noise power of the 2\( \omega_{\Omega} \) peak, in accordance with the Isserlis–Wick theorem. In the time domain, a simple regression is used between the square of the linear measurement \( \langle X^2 \rangle \) and the quadratic measurement \( \langle X_\Omega^2 \rangle \). We verify that these procedures are consistent, to within known uncertainties, with one another and with the value of \( \langle X^2 \rangle \) computed from the independently measured system parameters.

State conditioning. From the continuously acquired data, estimates of the quadratures at 2\( \omega_{\Omega} \) (c\( \omega_{\Omega} \)) are obtained with the use of causal (acausal) decaying exponential filters, to time separate the conditioning and read-out phases. From the filtered data at each discrete time step, we rotate the vector \( \{ P, Q \} \) by an angle 2\( \phi \), such that a new vector \( \{ P^\prime, Q^\prime \} = \{ (P^2 + Q^2)^{1/2}, P \} \) is obtained. The simultaneously acquired linear data \( \{ X, Y \} \) is then rotated through the half angle.\( \phi \), to obtain \( \{ X^\prime, Y^\prime \} = \{ X \cos(\phi) + Y \sin(\phi), Y \cos(\phi) - X \sin(\phi) \} \). For state preparation, the rotated linear data is conditioned on the value of \( P^\prime, Q^\prime \) which is proportional to \( \langle X_\Omega^2 \rangle \). We choose a conditioning window four times smaller than the quadratic measurement uncertainty. When the conditioning criterion is satisfied, the state is read-out using the rotated linear data \( \{ X^\prime, Y^\prime \} \). All the data presented here have been generated from three 4 s blocks of sampled homodyne output.

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Author contributions
G.A.B. and M.R.V. contributed equally to this work. This quadratic measurement research programme was conceived by M.R.V. with refinements from G.A.B. and W.P.B. The optomechanical evanescent coupling set-up was designed by G.A.B. and W.P.B. with later input from M.R.V. G.A.B. was the main driving force behind building the experiment and performing the data analysis with important input from M.R.V. and W.P.B. Micro-fabrication of the SiN nanostring mechanical resonators was performed by P.E.L., S.S. and A.B. This manuscript was written by M.R.V. and G.A.B. with important contributions from W.P.B. Overall laboratory leadership was provided by W.P.B. and substantial supervision for this project was performed by M.R.V.

Additional information
Supplementary Information accompanies this paper at http://www.nature.com/naturecommunications

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