DIAGNOSTIC CRITERIA OF ORAL LICHEN PLANUS: A NARRATIVE REVIEW

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SUMMARY – Oral lichen planus (OLP) is a disease with unclear etiology or pathogenesis, categorized by the World Health Organization as oral lichenoid lesions (OLL; interface mucositis or lichenoid mucositis) into a group of potentially malignant disorders. The diagnosis of OLP is challenging because the clinical and histopathologic features are frequently seen in OLP, OLL and/or other mucosal diseases with lichenoid characteristics. Furthermore, OLP has a dynamic nature. Finally, an early and precise diagnosis can play a decisive role, allowing timely treatment and thus improving the patient quality of life. This article summarizes the state-of-the-art regarding OLP and OLL and discusses the challenges faced on making an accurate diagnosis, aiming to provide a practical guideline for the postgraduates and oral physicians in reaching the diagnosis of these lesions.

Key words: Lichen planus; Oral lichen planus; Oral lichenoid lesions; Diagnosis guideline; Precancerous conditions

Introduction

Lichen planus (Greek leichen = tree moss, Latin planus = flat, even)¹ is a common inflammatory dermatosis of unclear origin that affects the skin, nails, hair and mucous membranes, recognized as early as 1866. The term lichen planus (LP) was coined by Wilson², in association with the condition previously described by von Hebra³. The presence of reticulate white lines on the surface of LP papules, today known as Wickham striae⁴, were first reported by Wickham in 1895. Dari-er presented the first description of the histopathologic features seen in LP⁵. A particular form of muco-
sal LP, which associates the presence of erosive lesions at the level of oral and vulvovaginal mucosa, was described by Pelisse et al⁶.

Epidemiology

Lichen planus is distributed worldwide, but the exact incidence and prevalence are unknown due to the lack of clear diagnostic criteria, varied clinical presentation, and because the most common form of oral lichen planus (OLP, reticular) is asymptomatic and underdiagnosed⁷. The prevalence of LP is estimated at 0.5% to 2.0% (e.g., 0.5% in Japan, 1.9% in Sweden, and 2.6% in India)⁸,⁹. No racial predisposition has been reported⁹,¹⁰. OLP frequently affects middle-aged or older adults, with a higher prevalence in females³,¹¹,¹² and is rare in children¹³.

Cutaneous LP presents as small violaceous papules most frequently localized at the flexor surfaces of the
limbs. The 6 ‘Ps’ of LP are ‘Pruritic, Purple, Polygonal, Planar, Papules, and Plaques’\textsuperscript{14}. The lesions are usually bilateral with relatively symmetric appearance.

Oral lichen planus can occur solitary or with simultaneous cutaneous or mucosal manifestations (e.g., genital area, gastrointestinal tract, eyes, etc.)\textsuperscript{15}. Oral lesions are usually bilateral, often symmetric, and most commonly involve buccal mucosa (80\%-90\% of OLP cases), gingiva and tongue\textsuperscript{7,16}. Unilateral presentation of oral lesions is atypical\textsuperscript{7}, and localizations on the palate, lip, and floor of the mouth are atypical\textsuperscript{7,16}.

Etiology

The etiology remains uncertain, but external and internal agents are believed to be associated with OLP. The most incriminate external agents are a virus (mostly hepatitis C virus\textsuperscript{18,19}), certain medications (such as nonsteroidal anti-inflammatory drugs; ibuprofen, diclofenac, naproxen, indomethacin, aspirin, etc.), antihypertensives (β-blockers, thiazides, angiotensin-converting enzyme inhibitors), antirheumatics, antimalarials, gold salts, penicillamine, or retroviral therapies\textsuperscript{7,20,21}, metallic materials\textsuperscript{22,23}, and/or trauma. Among internal agents, stress and heat shock protein antigen expression have received most attention\textsuperscript{7,24,25}. Other etiologic factors associated with OLP include genetic predisposition, diabetes, hypertension, and infections\textsuperscript{7,24,25}.

Several mechanisms of its pathogenesis have been proposed, i.e. antigenic cell-mediated immune response\textsuperscript{26}, nonspecific mechanisms\textsuperscript{27}, and autoimmune response\textsuperscript{26,28}. The risk of malignant transformation of OLP ranges from 0.4\% to 12.5\%\textsuperscript{29,31}, the highest rate of transformation being reported for erythematous and erosive lesions\textsuperscript{7,9,32}. In 2005, the World Health Organization (WHO) through the Global Oral Health Program classified OLP as a premalignant condition\textsuperscript{33}. The malignant potential of OLP is still debated due to the lack of consensus on accurate diagnostic criteria, thus the diagnosis being based only on clinical presentation in some cases\textsuperscript{30,34}.

Pathogenesis

Oxidative stress has been reported as being involved in OLP\textsuperscript{35,36}. Saliva contains several antioxidants (uric acid, glutathione, and ascorbic acid) and its defensive mechanism is called salivary antioxidant system\textsuperscript{37,38}. Some researchers have shown lower salivary and plasma levels of total antioxidant status in erosive LP patients as compared with healthy controls. The inflammatory cellular infiltrate in LP, which consists mainly of CD4+ lymphocytes, is a well-known source of reactive oxygen species\textsuperscript{39}.

Clinical Presentation

Oral lichen planus is usually bilateral\textsuperscript{40-43}, symmetric or asymmetric, located on buccal mucosa, tongue, lips and/or gingiva, with fine white lines forming a lace-like network (known as Wickham’s striae as a highly characteristic feature of OLP). The presence of Wickham’s striae is a pathognomonic feature to define a lesion as LP\textsuperscript{44}.

Three OLP white forms (reticular, papular and plaque-like) and three OLP red forms (erosive (ulcerated), atrophic (erythematous) and bullous) have been reported in the literature\textsuperscript{45,46}. The most common forms are reticular, erosive, papular and plaque-type, whereas the atrophic and bullous types are less frequently seen. Clinical types of OLP may occur alone or in various combinations\textsuperscript{27,33,47}. No symptoms are reported for reticular lesions, whereas burning sensation and pain are reported for atrophic, erosive and bullous OLP lesions\textsuperscript{48}.

The following four types of oral lichenoid lesions (OLL) are known to date\textsuperscript{33,49}: lichenoid lesions in chronic graft-versus-host disease (cGVHD), oral lichenoid contact hypersensitivity reaction (OLCHR), oral lichenoid drug reactions (OLDR), and lesions with lichen planus-like aspect but missing one or several clinical characteristics (e.g., lichen planus pemphigoid, chronic ulcerative stomatitis, lupus erythematosus). Thickened white hyperkeratotic lesions with atypical localization, which typically have a straight topographic relation to the causative agent are typical for OLL\textsuperscript{33,50}.

Oral squamous cell carcinoma (OSCC) could have a precursor in OLP or OLL, both bearing a risk of malignant transformation\textsuperscript{28,30,51,52}, but this risk is small (1.1\% of patients with OLP develop OSCC)\textsuperscript{30,53}. Erosive OLP, especially tongue lesions\textsuperscript{34}, commonly progresses into OSCC\textsuperscript{55,56}.  

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A higher risk of malignant transformation is reported in patients with OLL\textsuperscript{28,57}; the risk increases if the patient is smoker, alcoholic, or infected with hepatitis C virus\textsuperscript{30,57}. Although the risk of malignant transformation in patients with OLP and OLL is comparatively lower than for other potentially malignant disorders, active follow-up of all patients is mandatory\textsuperscript{30,57}.

A reliable diagnosis of OLP and OLL has proved challenging due to both dynamic nature of the lesions and similar clinical and histologic appearances in various conditions\textsuperscript{30}. Thorough medical history of the patient along with complete mucocutaneous examination before particular diagnostic tests\textsuperscript{58}, and due knowledge of the clinical and pathological variations of OLP and OLL are essential to make an accurate diagnosis.

**Diagnostic Criteria**

Significant changes have been made over years concerning the diagnosis of OLP. According to the WHO, there is a lack of clinical and histologic criteria for both OLP and OLL\textsuperscript{59}. Diagnostic criteria for OLP were established in 1978 by the WHO\textsuperscript{59}. Modified criteria were published in 2003 by van der Meij and van der Waal\textsuperscript{60}, while the newest diagnostic approach for these lesions was published in 2016 (Table 1). Rad \textit{et al.}\textsuperscript{61} compared the criteria set by the WHO in 1978\textsuperscript{59} with those modified by van der Meij and van der Waal\textsuperscript{60}. Hiremath \textit{et al.} have reported on a mild to moderate clinico-pathologic correlation in definitive diagnosis of OLP and recommended the association of the clinical and histopathologic features for definitive diagnosis\textsuperscript{62}. The latest modified classification\textsuperscript{33} includes those clinical and histopathologic characteristics able to discriminate between LP and lichenoid reactions correctly.

The clinical (history and presentation), histopathologic, immunofluorescence, biomarkers, reflectance confocal microscopy, fluorescence spectroscopy, and/or therapeutic probation features detailed below support the diagnosis of OLP and OLL.

**Clinical history**

Oral lichen planus can be associated with stress (e.g., extension of OLP with lengthy emotional stress, increased levels of psychosocial and/or emotional stress) and/or psychological problems (especially depression and anxiety). Furthermore, an association with immune mediated disorders (e.g., alopecia areata, dermatomyositis, lichen sclerosus et atrophicus, morphea, myasthenia gravis, primary biliary cirrhosis, ulcerative colitis, or vitiligo\textsuperscript{56,65}) could be observed.

Oral lichenoid lesions can present in three forms according to the associated conditions\textsuperscript{49}, as follows: post allogeneic bone marrow transplantation (lichenoid lesions in cGVHD), dental restorations (checking the cytotoxicity of dental materials is mandatory\textsuperscript{66}), habits of using cinnamon-containing foods or oral hygiene products (e.g., toothpaste, mouthwashes; oral lichenoid contact hypersensitivity, OLCh), or drug therapy, systemic or topical application (oral lichenoid drug reaction, OLDR), etc.

Temporal relationship between the above-mentioned elements from clinical history and the onset of oral lesions is observed.

**Clinical presentation**

The appearance and distribution of lesions should be considered on making the diagnosis (Table 2). OLP is frequently asymptomatic (NEOLP) or with oral symptoms such as mild burning sensation to debilitating pain which interferes with speech, chewing and swallowing (EOLP). OLP could be associated with cutaneous lesions (15\% of OLP patients develop cutaneous lesions\textsuperscript{49}, which appear as purplish papules of 2–3 mm in diameter, with a glistening surface marked by minute fine striae, typically located on the flexor surface of the wrists and forearms, usually itchy. Skin lesions help but are not essential for making the diagnosis of OLP\textsuperscript{67}), or with other mucosal manifestations such as genital lesions (~20\% of OLP patients have concomitant genital lesions\textsuperscript{33}), gastrointestinal lesions\textsuperscript{68-70}, and, lesions at the level of the conjunctiva of the eyes\textsuperscript{71-73}.

The following aspects are seen in all patients with OLP or OLL\textsuperscript{33}:

- the patient has multiple oral lesions or only a single isolated one;
- where the lesion(s) is/are located (list the site/sites of involvement);
- the lesion(s) is/are limited to an area with direct contact with/adjacent to dental restoration; and
- clinical aspect of the lesion/lesions: white striations, papules, diffuse redness, ulceration surrounded by white striations or plaques, white plaques without redness, or other appearance.
A significant weight on making clinical and definitive diagnosis of OLP lesions has the modification of clinical appearance (including unilateral or bilateral distribution), as well as changes of routines.\(^8\)

**Histopathologic diagnosis**

The following aspects are essential regarding the histopathologic diagnosis of OLP and OLL:\(^3\):

- one tissue sample is sufficient for typical lesions; samples from multiple areas with different mucosal clinical features are needed in case of atypical oral lesions;
- clinical information must accompany biopsy specimen (anatomic site of lesions, clinical history, and lesion type);
- histopathologic features are variable, depending on anatomic sites, clinical type, and the stage of disease activity and/or novel treatments; and
- microscopic diagnosis of OLP and OLL lacks consensus.

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### Table 1. Diagnostic criteria for oral lichen planus: changes over time

| Clinical criteria | Histopathologic criteria |
|-------------------|--------------------------|
| **World Health Organization, 1978\(^5\)** | |
| Multiple, often symmetric in distribution:  |
| • white papule, reticular (gray-white lines distributed as a lace-like network), annular, or plaque-type lesions  |
| • central papules with radiating gray-white lines  |
| • atrophic lesions with or without erosion  |
| • bullae (rare)  |  |
| • thickened orthokeratinized or parakeratinized layer in usually keratinized sites; very thin layer may be observed when it appears in ordinarily nonkeratinized sites  |
| • Civatte bodies localized at the basal, epithelium and superficial layers of the connective tissue  |
| • well-defined, band-like zone  |
| • cellular infiltration (lymphocytes) at the level of the connective tissue (superficial layer)  |
| • liquefaction degeneration in the basal cell layer  |
| **van der Meij and van der Waal, 2003\(^6\)** | |
| Bilateral, more or less symmetric lesions  |
| • reticular pattern of the lace-like network as gray-white lines  |
| • erosive, atrophic, bullous and plaque-type lesions just in the presence of reticular lesions elsewhere in the oral mucosa  |  |
| All other lesions that resemble OLP but do not meet the criteria mentioned above are called 'clinically compatible with'  |
| • cellular infiltration (mainly lymphocytes) as a well-defined band-like zone that is confined to the superficial layer of the connective tissue  |
| • basal cell layer with signs of liquefaction degeneration  |
| • absence of epithelial dysplasia  |
| When the histopathologic features are not so obvious, the term 'histopathologically compatible with' is applied  |
| **American Academy of Oral and Maxillofacial Pathology, 2016\(^3\)** | |
| Multifocal symmetric distribution  |
| • white and red lesions exhibiting one or more of the following forms:  |
| • reticular/papular  |
| • atrophic (erythematous)  |
| • erosive (ulcerative)  |
| • plaque  |
| • bullous  |
| • lesions are not exclusively localized  |
| • to the sites of smokeless tobacco placement  |
| • adjacent to and in contact with dental restorations  |
| • lesion onset does not correlate with  |
| • the start of a medicine  |
| • use of cinnamon-containing products  |  |
| • band-like or patchy, predominately lymphocytic infiltrate in the lamina propria confined to the epithelium-lamina propria interface  |
| • basal cell liquefactive (hydropic) degeneration  |
| • lymphocytic exocytosis  |
| • absence of epithelial dysplasia  |
| • absence of verrucous epithelial architectural change  |
A diffuse lymphocytic infiltrate mixed with plasma cells, and eosinophils are observed in the case of oral lichenoid drug reactions (OLDRs). Furthermore, inflammatory infiltrates frequently extend to the deeper connective tissue layer and a perivascular inflammatory cell infiltrate is often seen. Similar microscopic features are observed in oral lichenoid contact hypersensitivity reactions (OLCHRs) and OLDRs. Nonspecific microscopic features such as hydropic degeneration of basal epithelial cells and low intensity lymphocytic infiltrate mixed with plasma cells and eosinophils in the lamina propria are seen in the case of cGVHD. Lichen planus pemphigoides, as well as

| Appearance | Oral lichen planus | Oral lichenoid lesions |
|------------|-------------------|-----------------------|
| Two or more types of OLP can co-occur in a patient | Occur in different forms similar to OLP | erythematous |
| reticular: most common; lacy white streaks (Wickham striae) surrounded by well-defined erythematous borders and lesions can cause roughness and reduced mucosal flexibility | reticular: chronic graft vs. host disease, oral lichenoid drug reaction |
| papular: small white pinpoint papules that may coalesce | plaque-like: chronic graft vs. host disease |
| plaque-like: large, homogeneous white patches | atrophic: oral lichenoid drug reaction |
| erosive: atrophic or erythematous ulcerations, erosions of the mucosa, faint radiating white striae | erosive: chronic graft vs. host disease, oral lichenoid drug reaction |
| atrophic: atrophic lesions surrounded by erythema with radiating white striae. It presents as ‘desquamative gingivitis’ when gingiva is involved | Atypical sites (such as the palate) and frequently with straight topographic relation to the causative agent: |
| bullous: fluid-filled lesions | chronic graft vs. host disease: any oral mucosal sites |
| Non-erosive OLP lesions (reticular, papular and plaque-like) are frequently asymptomatic as compared to erosive OLP (EOLP, the other three lesions) | oral lichenoid contact hypersensitivity reaction: in contact with dental restoration, at the level of buccal mucosa and/or lateral border of the tongue |
| Distribution | Bilateral and symmetric distribution of oral lesions | oral lichenoid drug reaction: single oral lesion (unlike bilateral, symmetric, and multifocal presentations of OLP lesions) |
| reticular: posterior buccal mucosa bilaterally (usually), could spread forward almost to the commissures; it may also involve the lateral and dorsal surface of the tongue, the gingiva, and the vermilion border | lichen planus pemphigoides: buccal mucosa and gingiva |
| papular: buccal mucosa | chronic ulcerative stomatitis: gingiva (may look like desquamative gingivitis), tongue, and buccal mucosa |
| plaque-like: dorsal surface of the tongue (frequently), or bilateral posterior buccal mucosa | lupus erythematosus: hard palate, buccal mucosa, and/or gingiva |
| atrophic/erosive: often bilateral and symmetric. When EOLP involves gingival mucosa it is called ‘desquamative gingivitis’ | |
chronic ulcerative stomatitis have histopathologic characteristics similar to those of OLP\(^{33,77}\). In lupus erythematosus, the histopathologic features of oral lesions are similar to those found in OLP, OLDR, and OLCHR\(^{33,77}\). Mast cells are increased in OLP as compared to oral lichenoid reactions\(^{81}\).

**Immunofluorescence assessment**

Direct immunofluorescence (DIF) adds value to the diagnosis whenever the clinical and pathologic information is insufficient to support the diagnosis of OLP but increases the cost of diagnosis\(^{33}\). DIF can distinguish erosive or rare bullous OLP from pemphigus vulgaris, benign mucous membrane pemphigoid, dermatitis herpetiformis, and linear immunoglobulin A (IgA) disease. DIF sees the shaggy expression of fibrinogen in the basement membrane zone, deposition of immunoglobulin M as colloid bodies, and deposition of C3 in granular and linear patterns in OLP\(^{33,82}\). Furthermore, DIF finding in the perilesional tissue demonstrates a shaggy deposit of fibrin at the basement membrane zone in OLDR and IgM-positive cytoid bodies similar to those in OLP\(^{33}\).

The findings of DIF in OLCHR and cGVHD are similar to that of OLP and are the same in lichen planus pemphigoidides and mucous membrane pemphigoid. DIF of the perilesional tissue in chronic ulcerative stomatitis reveals deposition of IgG autoantibodies in a speckled and/or granular pattern (also known as stratified epithelium specific-antinuclear antibody (SESA-ANA) pattern) in the nuclei of basal and parabasal epithelial cells. DIF of tissue specimen shows granular or shaggy deposits of IgG, IgM, and/or C3 at the basement membrane zone in lupus erythematosus\(^{33,77}\).

Indirect immunofluorescence (IIF) is not useful in the diagnosis of OLP and shows negative results. An IIF 'string of pearls' pattern, an annular fluorescent deposit of serum antibodies, indicates OLDR. Negative IIF results are also seen for OLCHR, cGVHD, and discoid lupus erythematosus. Deposits of immunoglobulins, frequently IgG, and C3 at the basement membrane zone (~80% of the cases) are identified by IIF in the case of lichen planus pemphigoides.

**Other diagnostic methods**

Combinations of several salivary proteins such as complement component C3c, fibrinogen fragment D, and cystatin SA have been reported as salivary biomarkers for the diagnosis of OLP\(^{83,84}\).

**In vivo** reflectance confocal microscopy offers a real-time virtual biopsy of the tissues and has been used to differentiate OLP from other clinical entities.

A pilot study suggests that time-resolved fluorescence spectroscopy is a promising technology for the development of a novel OLP diagnostic technique\(^{85}\).

The resolution of OLLs (OLCH, OLDR) after identification and elimination of the trigger (in months or even longer) could indicate positive diagnosis (therapeutic probation). For a clear and precise definitive diagnosis, a thorough history and clinical features of lesions should be correlated with complex testing including histopathology, DIF, IIF, and cutaneous patch testing. Furthermore, diagnostic process of OLP and OLL demands continuous follow-up and, if necessary, additional biopsies for histopathologic evaluation and immunofluorescence tests.

**Diagnostic challenges**

Oral lichenoid lesions can be a diagnostic challenge for clinicians. A variety of diseases may have the same or very similar clinical and histopathologic features. Oral lesions associated with cGVHD, chronic ulcerative stomatitis, lichenoid drug reactions, and even lichenoid contact hypersensitivity have the same clinical characteristics as those of idiopathic OLP. Other disorders such as mucous membrane pemphigoid, lupus erythematosus, and proliferative verrucous leukoplakia can also mimic OLP. Furthermore, histopathologic features depend on anatomic sites of lesions, clinical type, and previous treatments. Accordingly, clinical information must accompany biopsy specimens.

**Concluding Remarks**

Oral lichen planus is a disease with unclear etiology or pathogenesis, and with unclear premalignant potential. Accurate diagnosis certainly is of paramount importance for effective management and future studies of new therapeutic options. Valid research is needed, and designs of a homogeneous group of patients with OLP could lead to more accurate diagnosis strategies, unanimously accepted and applied.

Diagnosis of OLP is established by clinical examination with histopathologic confirmation. Direct im-
munofluorescence examination is used to rule out particular autoimmune diseases (e.g., pemphigus, pemphigoid).

Histopathologic diagnosis confirms the clinical diagnosis of OLP. The correlation between clinical and histopathologic diagnosis is crucial for definitive diagnosis of OLP. OLP necessitates additional biopsy for direct immunofluorescence assessment and/or histopathologic evaluation, so continued clinical follow-up after the initial biopsy is essential.

Oral lichenoid lesions must be distinguished from OLP by two factors. First, the association with the administration of a drug, contact with a metal or food-stuff, or presence of a systemic disease must be verified. Second, elimination of the offending agent leads to resolution of the OLL.

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Doina Iulia Rotaru et al. Oral lichen planus: diagnosis

DiJagnostički Kriteriji za Oralni LiHen Planus: Narativni Pregled

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Oralni lihen planus (OLP) je bolest nejasne etiologije ili patogeneze. Prema Svjetskoj zdravstvenoj organizaciji kategorizira se kao oralne lihenoidne lezije (OLL; prijelazni mukozitis ili lihenoidni mukozitis) u skupinu potencijalno zloćudnih bolesti. Dijagnosticiranje OLP-a je zahtjevno, jer se klinička i histopatološka obilježja često vide u OLP-u, OLL-u i/ili drugim bolestima sluznice s lihenoidnim značajkama. Nadalje, OLP ima dinamičnu narav. Konačno, rana i precizna dijagnoza može imati odlučnu ulogu i omogućiti pravodobno liječenje te time poboljšati bolesnikovu kvalitetu života. Ovaj pregled sažima današnja saznanja o OLP-u i OLL-u te raspravlja o izazovima kod postavljanja dijagnoze, a cilj je pružiti praktične smjernice za dijagnostiku ovih promjena za postdiplomante i liječnike oralne medicine.

Ključne riječi: Lihen planus; Oralni lihen planus; Oralne lihenoidne lezije; Dijagnostičke smjernice; Predkancerozna stanja