Wavefront sensing, novel lower degree/higher degree polynomial decomposition and its recent clinical applications: A review

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We are in the midst of a shift towards using novel polynomials to decompose wavefront aberrations in a more ophthalmologically relevant way. Zernike polynomials have useful mathematical properties but fail to provide clinically relevant wavefront interpretation and predictions. We compared the distribution of the eye’s aberrations and demonstrate some clinical applications of this using case studies comparing the results produced by the Zernike decomposition and evaluating them against the lower degree/higher degree (LD/HD) polynomial decomposition basis which clearly dissociates the higher and lower aberrations. In addition, innovative applications validate the LD/HD polynomial basis. Absence of artificial reduction of some higher order aberrations coefficients lead to a more realistic analysis. Here we summarize how wavefront analysis has evolved and demonstrate some of its new clinical applications.

Key words: LD/HD polynomial, wavefront aberrometry, zernike polynomials

In recent decades, advances in refractive surgical techniques and tools have progressed hand in hand with a need for accurate analysis of the corneal surface and ocular aberrations.[3] These aberrations can be chromatic or monochromatic, with monochromatic aberrations divided into higher and lower order. Lower (second) order aberrations include positive defocus (myopia), negative defocus (hyperopia), and regular astigmatism. Higher order aberrations can induce bothersome visual symptoms such as glare, halos, starbursts and ghost images. Hartmann and Tschernig pioneered work in the nineteenth century and allowed analysis of these aberrations which signify a deviation from the ideal optical system.[2] Indeed the principles of aberrometry are broadly based on the study of the excursions of the reflected wave compared to a reference aberration-free wave.[1] Many types of aberrometers exist to measure these aberrations,[4,5] Inter-aberrometer disagreements seemed to occur mostly in the higher order aberrations (HOAs): lower order depending on sensor type and higher order on the type of expansion used.[6] Analysis of wavefront aberrations involves splitting them into multiple components and using mathematical equations to define them.[9] The data is most conveniently expressed in polynomial form with several decomposition methods trialed previously including Fourier series and Zernike polynomials.[10,11] Such approach incurs the breakdown of the wavefront error into components that visually and mathematically describe distinct elements of the overall aberration. The magnitude of total aberrations is computed as a Root Mean Square (RMS) coefficient. In addition to the determination of objective refraction via the computation of low order coefficients, the benefits of such an approach is to quantify and qualify individual higher order aberrations which were inseparable before and termed generically as “irregular astigmatism”. Computational and adaptive optics allow one to explore the contribution of corrected aberrations, beyond spectacle correction, to the visual performance.[12-14] Detecting ectasia was a particular sub-field of interest due to its impact on refractive surgery outcomes in those individuals with keratoconus.[15-17] A clinically relevant analytical method is required for custom photoablation programming intended to safely and accurately correct or modify sphero-cylindrical refractive error and high degree aberrations.

In this review, we present the first study of the distribution of the coefficients assigned to the LD/HD polynomials for unoperated ametropic eyes, for eyes operated by refractive surgery and eyes affected by keratoconus. Examples as well as a non-exhaustive list of potential applications will be presented to illustrate the differences between the decomposition into Zernike polynomials and the LD/HD method.

Background

Zernike polynomials

Each polynomial relays a mathematical wavefront appearance, and the linked coefficient assigns weight to that particular aberration within the total wavefront map. Zernike coefficients are labelled with a double-indexing scheme corresponding with the standard labelling notation established by the Vision Science and Its Applications Standards Taskforce team.[19]

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Until recently, Zernike polynomials were the gold standard for analyzing wavefront aberrations, the modes depicted in a pyramid formation.\textsuperscript{[18,19]} Though their orthonormality over a round pupil lent themselves to solving some of the mathematical issues allowing calculation of the total magnitude in the form of a root mean square (microns), they had some shortcomings summarized elsewhere.\textsuperscript{[10,20-22]}

There are an unlimited number of Zernike polynomials, though for clinical relevance the decomposition of the wavefront is limited to the first twenty-eight Zernike modes with a maximal order of 6. The top three rows are part of the lower order aberrations (LOAs) with the highest value of the radial term being two. This radial order of a mode is the highest but may contain others within its analytical expression. For example, a mode of order (n) could contain low order terms such as \( r^n \) or \( r^{n-4} \). The central five columns contain modes which have low order terms to ensure it is orthogonal with the lower radial degree modes but same azimuthal frequency. Therefore, higher degree spherical aberration modes such as \( Z_{r}^4 \) and \( Z_{r}^6 \) contain some radial terms \( r^n \) which denotes defocus. In summary, low order terms such as tilt and defocus are contained in the analytical expressions of a few high order modes. This ineffective separation between low and higher order wavefront error would be likely to cause imprecise estimation of subjective refraction as well as point spread functions of higher order aberrations (HO-PSF) whereby convolutional techniques could project an anticipated retinal image.\textsuperscript{[23]}

### New Polynomials and LD/HD (Low Degree/High Degree) basis

Proper separation of the wavefront into lower and higher order components is crucial in several clinical tasks. In order to get a more clinically realistic picture of ocular aberrations, a novel polynomial basis aimed at providing a clear cut between low degree (LD) and high degree (HD) wavefront errors was proposed recently. The new LD/HD polynomials decomposition basis was described\textsuperscript{[24,25]} and demonstrated with clinical examples\textsuperscript{[26]} in articles published in 2018 and 2020 respectively.

The modes are still arranged in a pyramid [Fig. 1] with a double index format \( G(n,m) \) where \( n \) and \( m \) have the same meaning, as in the Zernike classification, with the new higher order \( G(n,m) \) modes located in the five central columns being devoid of lower order terms [Table 1, end of document]. The absence of a low degree term in these high degree modes of the LD/HD classification is responsible for a simplification of the geometry of the wavefront that they characterize with respect to the corresponding Zernike modes [Fig. 2a]. Their profile is flatter in the paracentral region [Fig. 2b], suggesting less interaction with spherico-cylindrical refraction.

The analytical structure of these modes being homogenized, may improve the relevance of the comparison between the coefficients which weight them. The acquisition of a new wavefront measurement with the aberrometer is not necessary, as the coefficients weighting the new polynomials can be directly computed analytically from the coefficients weighting a Zernike expansion for the same fit order. Just like the Zernike coefficient, the LD/HD coefficient also changes with pupil size. To achieve proposed separation between the low order and higher order wavefront errors, the notion of orthogonality was rejected between higher and lower order but not within. Therefore, the total RMS cannot be calculated directly from the low RMS and high RMS values using Pythagorean calculation. It is however possible to calculate RMS coefficients of grouped modes within the low (LD component) and within the high order (HD component) modes.

### Table 1: Comparison of the Analytical Expression in Polar Coordinates of the New Higher Order G(n, m) and Their corresponding Zernike Modes

| Mode | Analytical Expression |
|------|-----------------------|
| \( Z_{r}^1 \) | \( 2\sqrt{2}(3r^2 - 2r) \sin(\theta) \) |
| \( G_{r}^1 \) | \( 2\sqrt{2}r \sin(\theta) \) |
| \( Z_{r}^2 \) | \( 2\sqrt{2}(3r^2 - 2r) \cos(\theta) \) |
| \( G_{r}^2 \) | \( 2\sqrt{2}r \cos(\theta) \) |
| \( Z_{r}^3 \) | \( \sqrt{10}(4r^3 - 3r^2) \sin(2\theta) \) |
| \( G_{r}^3 \) | \( \sqrt{10}r \sin(2\theta) \) |
| \( Z_{r}^4 \) | \( \sqrt{5}(6r^4 - 6r^3 + 1) \) |
| \( G_{r}^4 \) | \( \sqrt{5}r^4 \) |
| \( Z_{r}^5 \) | \( \sqrt{10}(6r^4 - 3r^3) \cos(2\theta) \) |
| \( G_{r}^5 \) | \( \sqrt{10}r \cos(2\theta) \) |
| \( Z_{r}^6 \) | \( \sqrt{5}(6r^4 - 20r^3 + 6r^2) \cos(2\theta) \) |
| \( G_{r}^6 \) | \( \sqrt{5}(6r^4 - 20r^3 + 6r^2) \cos(2\theta) \) |

Three clinical vignettes below demonstrate the clinical importance of utilizing LD/HD rather than Zernike polynomials. This study was approved by the Institutional Review Board at Rothschild foundation and followed the tenets of the Declaration of Helsinki. Informed consent was obtained from all participants.

### Distribution of the LD/HD Wavefront Coefficients to Describe the Eye’s Wavefront Aberrations

The OPD-Scan aberrometer is a combined wavefront aberrometer and Placido-disk topographer. The measurement details have been previously described.\textsuperscript{[26,27]}

Our normal population consisted of 220 normal subjects seeking assessment for refractive surgery, each having a spherical refraction between -11.50 D and +4.50 D and a refractive astigmatism of less than 3.00 D. One eye was randomly selected from each patient for analysis. 114 eyes from 114 patients routinely followed up for keratoconus who never had any corneal surgery, were also analyzed. In addition, 165 eyes of 165 patients operated with uncomplicated LASIK were also analyzed.

All OPD-Scan measurements were acquired in a dark examination room after 2 minutes of dark adaptation and were
repeated three consecutive times and then averaged. Although rarely some pupils dilated to more than this value, the largest pupil diameter common to all eyes in our study was 6.0 mm, which was the value chosen for our analysis.

The aberrometer was specially configured to run using beta-software incorporating the new series of polynomials, \( G(n,m) \) in addition to the Zernike polynomials. The acquired wavefront was decomposed with Zernike and LD/HD modes up to the 6th order and on the basis of a 6 mm pupil disk diameter centered on the first Purkinje image.

Fig. 3a-c display the statistical summaries of Zernike and LD/HD coefficients for the Normal, Keratoconus and post myopic LASIK eyes, respectively.

Inspection of these histograms indicates that most Zernike and LD/HD coefficients are distributed symmetrically around zero, as reported previously by Porter et al. However, for any individual eye the aberrations were rarely zero for any of the Zernike or LD/HD modes. The spherical aberration is a notable exception for normal and post myopic LASIK eyes, in which it is clearly biased toward positive values. Fourth order spherical aberration is larger in mean absolute RMS than any third-order mode among the three studied groups and the LD/HD coefficient is larger than that of its Zernike counterpart. This discrepancy is explained by the difference between the analytical structures of modes \( Z_4^0 \) and \( G_4^0 \); in brief, the presence of a defocus term \( (r^2) \) in the Z mode \( (4,0) \) expression causes an increase in the value of its normalization coefficient. This in turn induces a reduction in the value of the coefficient weighting that mode, compared to a mode pure in \( r^4 \), for the same amount of phase error in \( r^4 \). For that same analytical reason, LD/HD vertical and horizontal coma modes’ coefficients have a larger amplitude than their Zernike counterparts. For normal eyes, when the coma aberration mode is pure in cubic \( r^3 \) terms as is the trefoil aberration, the magnitude of the coma aberration coefficients is greater within the radial 3 degree aberrations. This dominance of coma over trefoil is also observed for eyes with keratoconus as well as eyes operated for myopic LASIK. The use of high degree modes 3 and 4, devoid of low degree terms makes it possible to avoid minimizing certain coefficients and allows an unbiased comparison of the respective contributions of these modes for the high degree wavefront error.

**Figure 1: The LD/HD aberration classification proposes a clear distinction between low and high order aberrations. There is no orthogonality between the LO (Lower order) and HO (Higher order) subsets. However, orthogonality is maintained between the modes comprised in the low order and the high order space. The break in orthogonality echoes the clinical approach, which decouples the low (spectacle corrected) and high order aberrations**

**Figure 2:** (a) Representation of the wavefront of each of the new higher order LD/HD modes \( G(n,m) \) up to the 6th order, where \( n \) is the order of the aberration and \( m \) is the angular frequency. (b) Comparison between the cross-sectional profiles of some Zernike versus LD/HD mode (unit coefficient, normalized pupil). The absence of tilt and defocus terms in the analytical expression of the higher order LD/HD modes results in a flatter paraxial profile

**Novel Clinical Applications of the LD/HD Polynomial Basis**

Zernike versus LD/HD primary spherical aberration

Primary spherical aberration (SA) is one of the most significant higher order aberrations (HOAs) in the human eye. Most unaccommodated eyes have positive primary SA, in which the edge of the pupil is more myopic compared to the pupil center.
Conversely, if a wavefront is limited to a pure error in $r^i$ (no low degree error), its reconstruction with a Zernike expansion will have a non-zero coefficient for the $Z_0^i$ mode, but the low mode degree $Z_0^j$ will also be assigned a non-zero coefficient of same sign to compensate for the term in degree that the latter contains. By adding positive Zernike SA to reconstruct a pure positive $r^i$ wavefront error, the objective refraction computed from the positive compensatory Zernike defocus coefficient will look myopic. This may cause confusion in some clinical situations and hamper the relevance of interpretation of the wavefront modifications. A clinical example illustrates this issue below.

Case 1

A 23-year-old man experiences mild halos at night after laser in situ keratomileusis (LASIK) for the correction of myopia (-3.00 diopters [D]). His left eye is emmetropic and has an uncorrected distance visual acuity of 20/15. The Zernike decomposition of the total wavefront on a 7.68 mm naturally dilated mesopic pupil in the left eye has a mixture of LOA and HOA coefficients, with the most prominent being defocus, despite the fact that this eye is emmetropic [Fig. 5a]. In the presence of increased amounts of positive spherical aberration, the magnitude of the coefficients of the second-degree modes is different between the Zernike and LDHD decompositions [Fig. 5b]. The sign of the defocus term is positive in the Zernike mode ($g_{2}^{0} = 0.939$ microns) suggesting myopia and negative in the LDHD mode ($g_{2}^{2} = -0.500$ microns). This Zernike predicted spherical equivalent is -1.12 D which contradicts the excellent uncorrected visual acuity. The positive Zernike defocus coefficient correlates with the need for compensating for the negative lower order term in $r^i$ embedded in the $Z_0^i$ mode. The LD/HD decomposition has negligible defocus, with no artificial reduction of the spherical aberration coefficient compared to its Zernike counterparts. This truly clinically significant HOA is highlighted within the novel decomposition.

The Snellen chart retinal image simulations can be obtained via convolutional techniques from the PSF function computed for the total wavefront aberrations [Fig. 6a], or its lower [Fig. 6b] versus higher order components [Fig. 6c] using the Zernike split between LO and HO components. The Snellen chart simulations suggest an exaggerated visual blur for the best spectacle corrected eye [Fig. 6b], and a best spherocylindrical visual acuity of less than 20/50 when just the higher order component of the Zernike expansion remains uncorrected [Fig. 6c]. When computed from the LD/HD wavefront split, the simulated Snellen chart retinal image for the uncorrected higher order component (HD) is in line with the patient’s actual visual performance [Fig. 6d].

Zernike versus LD/HD for primary coma

The Fig. 2b plots the Zernike and the LD/HD primary coma modes and their respective equations.

As opposed to its counterparts of $3^{rd}$ radial degree the Zernike primary trefoil $Z_0^3$, which is pure in $r^j$, the Zernike primary coma contains a negative tilt term. This term makes Zernike coma orthogonal to the tilt of same azimuthal frequency ($m = 1$), whereas the different azimuthal frequency of trefoil ($m = 3$) makes it directly orthogonal to tilt. Hence, in an eye suffering from pure normalized Seidel-like coma aberration, the use of a Zernike coma mode to reconstruct such a wavefront would impose a non-null tilt coefficient to compensate for the tilt term present in the Zernike coma mode. This has detrimental consequences for the interpretation of the tilt amount, which is potentially interesting in the case of the expression of the corneal wavefront in special circumstances such as keratoconus, or the decentring of intraocular lenses or corrective photoablation in refractive surgery. Any increase in
the horizontal or vertical coma coefficients cause a concomitant increase of artifactual tilt in the low order wavefront component, whose magnitude is roughly equal to three times that of the Zernike coma coefficients. However, the presence of a pronounced tilt coefficient for a regular ocular wavefront examination should not be observed in clinical practice because it reflects the presence of a pronounced deviation in the direction of propagation of the wavefront with respect to that of the fixing axis, when these directions should in principle be parallel in the cases of coaxial fixation.

These interactions also have detrimental consequences to the titration of coma, with regards to the anatomical features involved in its genesis, as illustrated in the following case example.

**Case 2**
A 38-year-old male complains about slight monocular diplopia persisting after spectacle correction of his right eye. Seven years previously, he had a PRK (photorefractive keratectomy) in another centre. The immediate outcome on his right was the perception of an under correction and a reduction of the quality of vision. After correcting with the spectacles, the residual correction: -2.75 (-1 x 130°), the patient could draw how he perceived the 20/40 visual acuity “E” optotype. Fig. 7 shows the right eye axial corneal topography, suggestive of supero-nasal decentration of the myopic photoablation.

![Figure 4: Decomposition of the Zernike 4th order spherical aberration Z40 mode into a component in r4 and a component in r2 which is equivalent to roughly 4 microns of Zernike defocus Z20](image)

The Fig. 8a and b allow us to compare the Zernike versus LD/HD expansions’ coefficients for the total ocular and anterior corneal wavefront. Significant differences in the magnitude of the primary coma coefficients are obvious. Compared to those of the LD/HD expansion, the Zernike coma coefficients are minimized, whilst the Zernike tilt coefficients are artifactually increased. In addition, the low-order oblique astigmatism coefficient is higher in the LD/HD expansion (g24 = 1.789 μm) than in the Zernike expansion (z24 = 1.003 μm). This increase is due to the decoupling of the embedded low order astigmatism term present in the Z24 mode. This low order astigmatism is transferred in the LD component of the LD/HD expansion.

The Fig. 9 allows a comparison of the predicted retinal images of the 20/40 “E” optotype for the respective higher order Zernike and LD/HD wavefront components and the patient’s drawing. When presented with the two simulated images, the patient acknowledged that the HD predicted simulation matched his subjective visual impression better.

The presence of low order astigmatism in the higher astigmatism Zernike modes causes an increased blur of the convoluted higher order Zernike component.

**Zernike versus LD/HD for secondary astigmatism**
As opposed to the Z24 modes, the LD/HD equivalent of the high order astigmatism modes G24 are pure in higher order terms [Fig. 2a]. Therefore, their shape is flat para-centrally, and has a monotonic phase variation in cross section.

As seen in the case 2 example, interactions between high and low order modes would occur for some pairs of Zernike modes such as secondary astigmatism Z24 and primary astigmatism Z24. These interactions could explain the discrepancies between anterior corneal astigmatism and refractive astigmatism when analyzed through Zernike polynomial decompositions in the context of topography-guided ablations. The following case illustrates the possible discrepancies arising from the interaction between the low order astigmatism within the low versus higher order wavefront component in the Zernike decomposition.

**Case 3**
A keratoconus pattern was discovered on the right eye corneal axial topography in a 24-year-old refractive surgery candidate [Fig. 10], with best corrected visual acuity of 20/15...
with -2.50/-0.50 × 95°. The comparison between the wavefront analysis methods reveals a striking difference between the value of the vertical/horizontal astigmatism coefficients, which predict a “with the rule” orientation in the Zernike decomposition, and an “against the rule” orientation in the LD/HD decomposition [Fig. 11a]. This discrepancy involves the (clinically unwanted) presence of a low order astigmatism term within the Zernike higher order (secondary) astigmatism mode Z_{2}^2 [Fig. 11b]. In the Zernike decomposition, the value of the low order astigmatism coefficient is affected by the presence of HO astigmatism.

The central portion of the Zernike high order astigmatism is distorted by the presence of the low order astigmatism term. In this case, this undesirable low order astigmatism term, which has an “against the rule” orientation here, is subtracted from the low order component. Hence, this clinically relevant wavefront error, which would generate some against the rule low order astigmatism in the ocular refraction, is embedded in the secondary astigmatism mode, belonging to the higher order wavefront component.

Predicting Subjective Refraction

Uncorrected errors in refraction is a global burden and leading cause of moderate to severe visual impairment as highlighted by Honavar et al. recently with several secondary effects including social isolation, decrease in education and employment options leading to financial distress.[31] In the Artificial intelligence era and the boom in literature relating to this,[32-34] we explored the use of machine learning in order to validate the LD/HD polynomial decomposition with wavefront aberrometry in predicting subjective refraction.

Translating wavefront aberrometry calculations into a spherocylindrical spectacle prescription has been an evasive objective.[35] The human eye is far from perfect with refraction greatly affected by HOAs. To date fitting this aberrated wavefront with different methods has given varying results.[20,36,37]

We previously inferred that objective refraction calculated with the LD/HD polynomials could be more accurate.[22] Though Thibos et al. had found that paraxial matching method could be used to predict spectacle correction,[20] we
found that the machine learning technique using LD/HD decomposition method was more efficacious.\[38\] This is due to the clinical need for a clear dissociation between the lower and higher wavefront aberration terms, as demonstrated in the clinical vignettes before, the presence of the low order terms in the higher order mode expressions leads to erroneous and misconstrued clinical interpretation of the results here.

There are of course limitations to this novel technique that can be explored in future studies. We have yet to understand the full effects of chromatic aberrations on the clinical picture that patients describe. Also subjective refraction variability exists and this could add to the challenge of comparing any results against the current gold standard. Additionally, one must be aware that we have not concluded what is the correct weighting for different points within a pupil disk which contribute to the formation of the retinal image and may be more impactful for the subjective refraction.\[31\]

**Customized Laser Corrections**

**Enhancing the benefits of a nomogram using Q factor modulation in presbyLASIK**

Compensation for presbyopia with induction of multifocality of the cornea has been shown to give excellent visual outcomes.\[1,39-43\] There should be cautious interpretation of the changes in defocus of the corneal wavefront using Zernike reconstruction following multifocal aspheric ablation, as the shift toward increased negative or positive asphericity may result in a significant negative variation of the \(z_{20}^{2}\) wavefront coefficient accompanying the modulation of the \(z_{40}^{4}\) coefficient. Investigating the effect of the LD/HD polynomial decomposition on prediction of effects with this novel technique demonstrates another valuable clinical application. We are currently studying the theoretical and clinical variation in lower and higher order aberrations between Zernike and LD/HD polynomial basis after customized presbyLASIK correction using Q factor modulation and spherical changes.

**Enhancing the benefits for better topography-guided ablations**

The presence of low-level terms in Zernike’s spherical aberration mode also has consequences in the design of customized topographic corrections, such as those planned with the Contoura vision system (Alcon). To compensate for the spurious interactions between low and higher order Zernike modes, some surgeons recommend programming a defocus correction which will make the Zernike defocus coefficient \(c_{20}^{2}\) (commonly designated as \(c_{4}\) in this context) equal to the value of the \(4^{th}\) order spherical aberration coefficient \(c_{40}^{4}\) (designated as \(c_{12}\)).\[44\]

The use of a classification incurring a clear cut between low and higher order modes should alleviate the need of such adjustments and reduce the risk of redundant or spurious low degree correction

**Conclusion**

Currently, instruments such as the OPD scan III (Nidek, Gamagori, Japan) combine placido based corneal topography and whole eye aberrometry. As wavefront aberrometer
technology advances we expect diagnostic tools to become more comprehensive with a multitude of functions including high resolution OCT with biometry as well as wavefront aberrometry. In addition to the ocular wavefront, the LD/HD decomposition method could be applied to the study of the corneal wavefront. It could induce a better correlation between apical curvature of the corneal diopter with the low-degree LD contingent of the wavefront on one side, and the parameters corresponding to variations in the peripheral curvature such as asphericity with certain modes of the high contingent HD degree on the other side.

In any case, the interpretation of the decomposition of the wavefront from a series of weighting coefficients of the particular aberration modes must be carried out with care. Each mode is fragmented information of a particular wavefront. It is not judicious to extract a particular aberration from the total wavefront in order to predict its effect on the optical quality of the eye without taking into account the whole of the wavefront error.

Nevertheless, certain so-called low-degree aberrations are correctable with spectacles, and it is clinically important to be able to isolate them in a relevant way from the whole of the wavefront error. In this perspective, the possibilities offered by the LD/HD wavefront decomposition method are manifold. The absence of low degree terms in high degree modes should make it possible to better distinguish the influence on subjective refraction from high degree wavefront errors\cite{38} and make it possible to better appreciate the specific effect of high degree modes on the retinal image. The analytical homogeneity between the high degree modes makes it possible to better titrate the relative contribution of these modes to the high degree wavefront error. These applications are of potentially considerable importance in the field of personalized and multifocal surgical corrections.

The future direction of anterior segment and refractive surgery could benefit from personalized customizable results using LD/HD polynomial decomposition basis and machine learning.

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There are no conflicts of interest.

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