Dosimetric evaluation of Tomotherapy and four-box field conformal radiotherapy in locally advanced rectal cancer

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**Purpose:** To report the results of dosimetric comparison between intensity-modulated radiotherapy (IMRT) using Tomotherapy and four-box field conformal radiotherapy (CRT) for pelvic irradiation of locally advanced rectal cancer.

**Materials and Methods:** Twelve patients with locally advanced rectal cancer who received a short course preoperative chemoradiotherapy (25 Gy in 5 fractions) on the pelvis using Tomotherapy, between July 2010 and December 2010, were selected. Using their simulation computed tomography scans, Tomotherapy and four-box field CRT plans with the same dose schedule were evaluated, and dosimetric parameters of the two plans were compared. For the comparison of target coverage, we analyzed the mean dose, \(V_{26.25}\text{ Gy}\), \(D_{\text{min}}\), \(D_{\text{max}}\), radical dose homogeneity index (rDHI), and radiation conformity index (RCI). For the comparison of organs at risk (OAR), we analyzed the mean dose.

**Results:** Tomotherapy showed a significantly higher mean target dose than four-box field CRT (\(p = 0.001\)). But, \(V_{26.25}\text{ Gy}\) and \(V_{27.5}\text{ Gy}\) were not significantly different between the two modalities. Tomotherapy showed higher \(D_{\text{max}}\) and lower \(D_{\text{min}}\). The Tomotherapy plan had a lower rDHI than four-box field CRT (\(p = 0.000\)). Tomotherapy showed better RCI than four-box field CRT (\(p = 0.007\)). For OAR, the mean irradiated dose was significantly lower in Tomotherapy than four-box field CRT.

**Conclusion:** In locally advanced rectal cancer, Tomotherapy delivers a higher conformal radiation dose to the target and reduces the irradiated dose to OAR than four-box field CRT.

**Keywords:** Rectal neoplasms, Helical tomotherapy, Conformal radiotherapy, Radiation dosimetry, Preoperative procedure

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**Introduction**

Cancers of the colon and rectum are the third most common cancer diagnosed in men and women worldwide. In Korea, colorectal cancers are estimated to have a high rate of incidence (2nd highest rate in males and 3rd highest rate in females), and to be common causes of cancer-related deaths (3rd most common cause in males and 2nd most common cause in females) in the current year [1]. Rectal cancers account for about one-third of these colorectal cancers [2]. Recently, preoperative chemoradiotherapy has been generally used in the management of locally advanced rectal cancer on the basis of several benefits proven by clinical studies, in the aspect of better locoregional tumor control, reduced toxicity of normal organs, and an increased chance of preserving the anal sphincter, when compared with postoperative...
chemoradiotherapy [2-5]. There have been two kinds of schedules in preoperative radiotherapy. One is a long-course treatment using conventional doses of 1.8 to 2 Gy per fraction, with a total dose of 45 to 50 Gy over 5 to 6 weeks, and the other is a short-course treatment using 5 Gy per fraction, with a total dose of 25 Gy during 1 week. In Europe, especially, a short-course radiotherapy (SC-RT) is preferred, because of its convenience, simplicity, and lower acute toxicity than long-course RT (LC-RT) [4,6-14]. But, SC-RT could significantly increase late complications like anastomotic stricture or small bowel obstruction [6,9,15-19].

Therefore, concerning these late complications, KROG 10-01, a phase II multicenter study (preoperative short-course chemoradiotherapy followed by delayed surgery for rectal cancer), enrolled patients receiving RT using Tomotherapy to minimize irradiation to normal tissues. This study was performed for the dosimetric comparison of Tomotherapy and four-box field conformal radiotherapy (CRT) planning for pelvic irradiation of patients with locally advanced rectal cancer.

Materials and Methods

1. Patients
We reviewed 12 patients, who were involved in KROG 10-01, with histologically confirmed adenocarcinoma of the rectum, clinical stage T3 or resectable T4. They received primary chemoradiotherapy at Seoul St. Mary’s Hospital between July 7, 2010 and December 31, 2010. Their tumors were all located within 8 cm above the anal verge. Patients were 18 years old or older, and their Eastern Cooperative Oncology Group performance status was 0 to 2. They had adequate bone marrow, kidney, and liver functions. Patients with distant metastases, lateral pelvic node of ≥0.5 cm sized, or another primary cancer history were excluded. Moreover, patients who were not appropriate for concurrent chemoradiotherapy were also excluded.

All 12 patients received Tomotherapy (Tomotherapy Inc., Madison, WI, USA) actually, but we also planned four-box field CRT for dosimetric comparison of the two plans. The Institutional Review Board approved this study (KC10EIMS0025).

2. Intensity-modulated radiotherapy (IMRT) planning using Tomotherapy
The prescribed dose was 25 Gy in 5 fractions to planning target volume (PTV). Computed tomography (CT) simulation was done in all patients in prone position using a belly board. Contouring the target volumes and normal organs for helical Tomotherapy is described in the KROG 10-01 report [20]. These target volumes were also used for the CRT planning. Clinical target volume (CTV) included gross tumor and mesorectum, presacral

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Fig. 1. Contours of the planning target volume (PTV) and bowel. The outermost line is PTV. The bowel is contoured as the peritoneal space.
space, the entire sacral hollow, and regional lymphatics (Fig. 1). PTV was generated with at least 3 mm margin from the CTV. We defined bowel as the peritoneal space above sigmoid colon. The IMRT planning goals were delivering at least 93% of the prescribed dose to 98% of the PTV, while delivering 105% of the prescribed dose to below 10%, 110% of the prescribed dose to below 5%, and not delivering ≥115% of the prescribed dose to the PTV.

We kept the maximal irradiation dose of the bladder under 24 Gy, bowel under 25 Gy, and femur heads under 20 Gy and kept the relative volumes of the above 3 organs at risk (OARs), irradiated ≥11 Gy, ≥3 Gy, and ≥15 Gy, respectively, under 50%. We did our best to minimize the irradiated volume of the bowel and the radiation dose to other normal organs.

Actually, every patient was treated by the Tomotherapy Hi-Art system (TomoTherapy Inc.) using 6-MV photons. We used 2.5 cm of fan beam thickness (FBT) to reduce the penumbra in the longitudinal direction (when compared to 5 cm of FBT) and overall treatment time (when compared to 1 cm of FBT). Pitch was 0.3. The modulation factor ranged from 2 to 2.4, depending on homogeneity and conformity. Inverse planning using numerical dose-volume constraints was done.

3. Four-box field conformal radiotherapy planning

No one was treated with CRT, but we planned it for comparison. CRT plans were done using the Pinnacle treatment planning system (Philips Radiation Oncology Systems, Fitchburg, WI, USA). The isocenter was at the center of the widest pelvic inlet. Anterior-posterior/posterior-anterior (6 MV) and bilateral ports (15 MV) were used. The superior border of the PTV was lumbosacral junction, and the inferior border of the PTV was at least 3 cm below the lowest level of the gross lesion. The superior and the inferior border of the field was 1 cm apart from the contoured PTV, and lateral border was also 1 cm apart from the PTV or 1.5 cm beyond the widest pelvic inlet. The lateral fields extended from the posterior edge of the symphysis pubis or 1 cm anterior of the PTV to 1 cm posterior to the sacral body or 1 cm posterior to the PTV, but not beyond the skin of the natal cleft. Block margin was 1 cm from the PTV (Fig. 2).

4. Evaluation and comparison of treatment plans

We computed several parameters to compare the two different plans. The mean dose, the volume irradiated 93%, 105%, and 110% of the prescribed dose, the minimum dose ($D_{\text{min}}$), and maximum dose ($D_{\text{max}}$) were analyzed for the PTV. For OAR like the bowel and bladder, both femur heads, the mean doses were analyzed. And the volume irradiated with 20 Gy ($V_{20\text{ Gy}}$) in each technique was also analyzed to show the volume that received intermediate radiation dose. We also calculated the radical dose homogeneity index ($rDHI$) and radiation conformity index ($RCI$) for the PTV. The $rDHI$ was defined as the ratio of the minimal dose to the maximal dose in the PTV ($rDHI = D_{\text{min}} / D_{\text{max}}$ in PTV) [21]. The $RCI$ was defined as the ratio of the whole volume of PTV to the irradiated volume at 95% of the prescribed dose ($RCI = V_{\text{PTV}} / V_{95\text{%}}$) [22].

For all dosimetric parameters of Tomotherapy and four-box field CRT, normality tests were done. As a result, mean doses of the PTV and OARs, which have shown normal distributions, were compared using t-test, and the other parameters were compared using Mann-Whitney test. A difference was considered statistically significant at the $p < 0.05$ level.
Results

1. Dose distribution of the target
The median volume of the contoured PTV in the 12 patients was 1,028.2 mL (range, 796.4 to 1,231.57 mL). The prescription goal of the PTV was irradiating at least 23.25 Gy (93% of the prescribed dose) to 98% of the PTV. In all enrolled patients who received Tomotherapy, the goal was satisfied (V_{23.25 Gy} = 98.81% ± 0.24%). V_{23.25 Gy} value was significantly higher in the Tomotherapy arm than the CRT arm (98.81% ± 0.24% vs. 95.55% ± 4.32%, p = 0.007).

Table 1 lists the dosimetric parameters of the Tomotherapy and CRT plans, and Fig. 3 shows the dose-volume histograms of the PTV with two different techniques. The mean PTV dose (25.08 ± 0.24 vs. 25.40 ± 0.15, p = 0.001) and the D_{min} value (26.49 ± 0.33 vs. 27.43 ± 0.77, p = 0.000) were significantly lower in the CRT arm than the Tomotherapy arm. The D_{max} value was significantly higher in the CRT arm than the Tomotherapy arm (21.71 ± 2.81 vs. 18.76 ± 1.93, p = 0.000). However, the V_{26.25 Gy} (105% of the prescribed dose) value and V_{27.5 Gy} (110% of the prescribed dose) were not significantly different between the two modalities. (CRT vs. Tomotherapy; V_{26.25 Gy}: 1.85 ± 3.11 vs. 1.46 ± 1.82, p = 0.378; V_{27.5 Gy}: 0.00 ± 0.00 vs. 0.05 ± 0.13, p = 0.514). The rDHI value was significantly lower in the Tomotherapy plan than the CRT plan (0.68 ± 0.06 vs. 0.81 ± 0.10, p = 0.000). The RCI value was significantly better in the Tomotherapy plan than the CRT plan (1.01 ± 0.00 vs. 1.04 ± 0.04, p = 0.007). Fig. 4 shows the CT slides that represent the 95% isodose distributions for the two modalities. Tomotherapy planning showed better dose conformation than CRT planning.

2. Dose distribution of the organs at risk
Table 2 and Fig. 5 show the mean dose and the DVHs for the bowel, bladder, and both femur heads. Tomotherapy planning enabled all OARs to be irradiated with a significantly lower mean dose (p < 0.05), and showed a significantly lower V_{20 Gy} of the bowel, the bladder, and both femur heads (p = 0.000) than CRT.

Discussion and Conclusion

Today, patients with locally advanced rectal cancer typically receive conventionally fractionated preoperative chemoradiotherapy with the 3- or 4-portal technique. Since the total irradiated dose to the rectum is 50.4 Gy in 28 fractions, even if the small bowel is included in the irradiation field, the incidence of severe toxicity over grade 3 is acceptable.

In Europe, SC-RT (25 Gy in 5 fractions) has been commonly used in rectal cancer patients, and it has shown favorable results in disease control and a lower incidence of acute complications [7,10]. TROG 01.04, a randomized trial which compared preoperative SC-RT versus long-course chemoradiotherapy (LC-CRT), reported little difference in incidence of grade 3 to 4 severe late complications (5.8% vs. 8.2%, p = 0.53) and incidence of severe late bowel toxicities (3.2% vs. 5.1%, p = 0.53) [10]. Their median follow-up time was 5.9 years (range, 3 to 7.8 years). Another randomized trial, Polish trial showed similar conclusions on late complications [7]. In this study, the overall
incidence of late toxicities in SC-RT vs. LC-CRT was 28.3% vs. 27% (p = 0.810), and the incidence of severe late toxicities was 10.1% vs. 7.1% (p = 0.360). However, they reported the relative risk of severe late toxicity in the SC-RT compared with the LC-CRT as 1.43. They did not analyze statistical significance in the incidence of severe late bowel toxicity, but, it was 5.1% in the SC-RT group and 1.4% in the LC-CRT. Their median follow-up time was 4 years (range, 2.6 to 5.8 years).

On the other hand, in the study which did long-term quality of life assessment of the patients in Stockholm I and II trial (mean follow-up time, 15 years; range, 9 to 21 years), 69% of the 65 survivors treated with SC-RT had any adverse event, and the small bowel obstruction amounted to 29% [18]. Furthermore, Dutch trial reported 51% of fecal incontinence rate in patients treated with SC-RT [17], and Swedish trial reported that the relative risk of bowel obstruction was increased after approximately 8 years [19]. Guckenberger et al. [9] reported the incidence of severe late bowel toxicity of SC-RT as up to over 12% compared to LC-RT 3%. Thus, drawing a conclusion that SC-RT has acceptable late complications is hasty and great efforts to reduce the irradiation dose to the OARs should be made.

IMRT delivers at least an equal or higher dose to the target and a lesser dose to the OAR than 3-dimensional RT. Thus, it becomes abreast of 3-dimensional RT in disease control and can reduce radiation-induced complications. Several studies

| Normal tissue   | Tomotherapy mean (Gy) | Four-box field CRT mean (Gy) | p-value | Tomotherapy $V_{20}\text{Gy}$ (mL) | Four-box field CRT $V_{20}\text{Gy}$ (mL) | p-value$^a$ |
|-----------------|------------------------|-------------------------------|---------|-----------------------------------|--------------------------------------|------------|
| Bowel           | 8.26 ± 2.51            | 12.75 ± 4.39                 | 0.007   | 4.98 ± 7.87                       | 25.76 ± 19.85                       | 0.000      |
| Bladder         | 13.66 ± 1.52           | 21.41 ± 1.84                 | 0.001   | 17.18 ± 7.31                      | 65.86 ± 17.33                       | 0.000      |
| Right femur head| 10.31 ± 1.61           | 14.34 ± 1.34                 | 0.001   | 0.25 ± 0.87                       | 4.21 ± 4.12                         | 0.000      |
| Left femur head | 10.80 ± 1.75           | 14.94 ± 1.44                 | 0.001   | 0.07 ± 0.23                       | 3.22 ± 3.42                         | 0.000      |

Values are presented as mean ± standard deviation.

$^a$Mann-Whitney test.
investigating prostate cancer, gynecologic cancer, and anal cancer have proven these advantages [23-26]. However, in rectal cancer, studies which show the dosimetric comparison between IMRT and 3-dimensional RT are rare. Arbea et al. [27] reported on the dosimetric comparison of IMRT and 3-dimensional RT in 2010. They performed a linac-based IMRT, and the IMRT was applied to the conventional schedule. Mean \(D_{95}\) (dose that covers 95% of the PTV), homogeneity index (HI), conformity index (CI), and \(D_{5}\) (dose that covers 5% of the region of interest), \(V_{40}\) Gy of OAR (small bowel, bladder) were evaluated. IMRT showed a significantly lower mean \(D_{95}\) of the PTV (46.9 Gy vs. 47.5 Gy, \(p < 0.05\)) and better conformality (0.8 vs. 0.6, \(p < 0.05\)) than 3-dimensional RT at the expense of dose homogeneity. The researchers pointed out that there was a trade-off between target coverage and normal organ avoidance, and dose inhomogeneity within the target occurred in IMRT planning. But, the volume of the underdosage in PTV was not so great, and the position of the cold spot was in the area where the tumor was not likely to invade, and therefore, tumor control might not be reduced. In OAR, IMRT showed a vast reduction of the mean \(V_{40}\) Gy and \(D_{5}\).

Our study showed directly whether Tomotherapy had dosimetric merits or not when it was applied with a short-course schedule to patients with rectal cancer. We previously published a study on the dosimetric comparison between Tomotherapy and 3-portal CRT. In that study, we found that Tomotherapy showed a significantly higher mean dose of PTV (25.58 ± 0.35 vs. 25.19 ± 0.74, \(p = 0.043\)) [28]. rDHI was significantly lower in Tomotherapy than 3-dimensional RT like the study by Arbea et al. [27], and a comparison of the irradiation dose in OAR showed that Tomotherapy could largely reduce the mean dose and the volume of high dose exposure. In this paper now, we compared IMRT using Tomotherapy and four-box field CRT. Like our previous study, Tomotherapy showed a higher mean dose of PTV and a more inhomogeneous dose distribution. But unlike the previous

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**Fig. 5.** Dose-volume histograms of the organs at risk. (A) Bowel, (B) bladder, (C) right femur head, and (D) left femur head. 3D CRT, 3-dimensional conformal radiotherapy.
study, dose conformality of Tomotherapy was better than that of four-box field CRT. In addition, the irradiated mean dose of the normal organs was about two-thirds of that of 3-dimensional RT. Especially we compared $V_{20\text{ Gy}}$ of OARs in the two plans. That is to say, we intended to show the volume that received intermediate radiation dose. All compared $V_{20\text{ Gy}}$ of OARs in Tomotherapy were significantly lower than in 3-dimensional RT.

Therefore, we can expect that in rectal cancer, Tomotherapy enables a favorable outcome in disease control, and also provides a chance to reduce complications of normal tissues. However, this study was a retrospective analysis with a small number of cases, and did not reflect the actual clinical results. In the future, we have to find the optimal short-course regimen, and with a proper schedule, Tomotherapy planning needs to be analyzed with the clinical results.

Conflict of Interest

No potential conflict of interest relevant to this article was reported.

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