A Novel Channel Identification Architecture for mmWave Systems Based on Eigen Features

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Abstract—Millimeter wave (mmWave) communication technique has been developed rapidly because of many advantages of high speed, large bandwidth, and ultra-low delay. However, mmWave communications systems suffer from fast fading and frequent blocking. Hence, the ideal communication environment for mmWave is line of sight (LOS) channel. To improve the efficiency and capacity of mmWave system, and to better build the Internet of Everything (IoE) service network, this paper focuses on the channel identification technique in LOS and non-line-of-sight (NLOS) environments. Considering the limited computing ability of user equipments (UEs), this paper proposes a novel channel identification architecture based on eigen features, i.e. eigenmatrix and eigenvector (EMEV) of channel state information (CSI). Furthermore, this paper explores clustered delay line (CDL) channel identification with mmWave, which is defined by the 3rd generation partnership project (3GPP). The experimental results show that the EMEV based scheme can achieve identification accuracy of 99.88% assuming perfect CSI. In the robustness test, the maximum noise can be tolerated is SNR= 16 dB, with the threshold acc ≥ 95%. What is more, the novel architecture based on EMEV feature will reduce the comprehensive overhead by about 90%.

Index Terms—Channel identification, millimeter wave, clustered delay line, eigenmatrix and eigenvector.

I. INTRODUCTION

With the mature applications of internet of things (IoT) communication [1], the fifth generation (5G) and sixth generation (6G) [2] of mobile communication has put forward new development vision: internet of everything (IoE). The explosive network connection and data transmission demands put forward higher wireless data traffic, e.g., a 1000-fold capacity increase [3]. However, the microwave band (300 MHz to 3 GHz) cannot support the escalating capacity demand. Thus, exploring new spectrum with broader bandwidths, such as the millimeter wave (mmWave) bands (30–300 GHz), is a promising solution to increase network capacity [4]. Intelligent wireless communication systems with mmWave band and massive multiple-input multiple-output (MIMO) should be the key technology of future IoE network [5]–[7]. However, T. Mantoro et al. [8] claimed that the transmission performance of line of sight (LOS) and non-line of sight (NLOS) with mmWave band is very different. This is caused by the coupling of time delay, received power, azimuth angle of departure (AoD), elevation AoD, azimuth angle of arrival (AoA), elevation AoA, path-lost and RMS delay in LOS and NLOS environment.

In recent years, many scholars have been studying how to identify LOS and NLOS channels. J. Zhang et al. [9] explored the scheme of NLOS channel identification using kurtosis to improve the accuracy of indoor wireless localization problem. Meanwhile, C. X. Huang et al. [10] proposed a LOS-NLOS identification algorithm for indoor localization problem. R. Diamant et al. [11] focused on the identification algorithm of LOS and NLOS in underwater communication environment. All papers [9]–[11] used traditional algorithm to classify channels. They paid more attention to analyzing the channel state information (CSI) to obtain the difference between LOS and NLOS channel. With the development of machine learning (ML) and deep learning (DL), more researchers [12]–[18] preferred to applying ML/DL algorithms to identify LOS and NLOS channel. X. Fu et al. [12] proposed a real-time LOS/NLOS identification based on CSI characteristics and K-means algorithm. In this paper, the author claimed that they achieved great identification performance for both static and dynamic scenarios. T. Y. Zeng et al. [13] applied convolutional neural network (CNN) to channel identification of three dimensional massive MIMO system. And the authors used channel model 3D urban macro (UMa) defined by the 3rd generation partnership project (3GPP) to verify the proposed scheme. Aiming at the NLOS channel filtering problem in radio frequency identification (RFID), S. G. Zhang et al. [14] proposed a variety of efficient and novel algorithms. These methods included a new metric that combined both phase and received signal strength variances and a ML based algorithm. C. Huang et al. [15] proposed a time-varying angular information-based LOS identification solution based on ML. For indoor ultra-wideband (UWB) positioning systems, C. H. Jiang et al. [16] and Z. Cui et al. [17] employed CNN to identify the NLOS signal.

To ensure the efficient transmission of mmWave systems, LOS/NLOS channel identification is necessary. Although many works have proposed identification solutions for...
This paper further explores the channel identification problem. A more exact CDL channel identification scheme is proposed, which can further identify the channel type among CDL-A to CDL-E. Accurate identification of channel type can help to improve efficiency and capacity for mmWave wireless communication system.

To avoid increasing the overhead of UEs, this paper intends to utilize eigenvector and eigenvalue as identification object, instead of CSI matrix. Compared with traditional DL algorithm, a lightweight channel identification architecture is designed for UEs.

**II. SYSTEM MODEL AND PROBLEM FORMULATION**

This section is composed of the following two parts. First of all, we show the problem formulation and some channel knowledge to the readers, to better understand the purpose of this paper. Then, we introduce singular value decomposition (SVD) and the application of eigenvector and eigenmatrix.

**A. Channel Model and Problem Formulation**

This paper focuses on the identification solution for CDL channel model. In order to better understand CDL channel model, this section will give simple channel modeling and LOS channel probability distribution function defined by 3GPP. Considering a three-dimensional mmWave channel [20], the CSI estimated at the UE can be expressed as (1). It is not difficult to find that the fading rate is inversely proportional to the wavelength \( \lambda \). In other words, mmWave wireless communication system will suffer faster fading as the wave length increases.

\[
h_{u,s,t}(t) = \sum_{m=1}^{M} \sqrt{P_{n,m}}|c_{u,s,n,m} \cdot \exp(j2\pi v_{n,m}t) \cdot \exp(j2\pi \lambda^{-1}d_{s}\phi_{n,m})| \tag{1}
\]

where \( P_{n,m} \) represents the power of ray \( m \) in the ray cluster \( n \). And the \( c_{u,s,n,m} \) is the coefficient calculated by field patterns and initial random phases for a pair of antenna elements between BS \( s \) and UE \( u \). Then, \( d_{s} \) and \( H_{u} \) are the locations of the BS and UE, respectively, \( \lambda \) is the wavelength, \( \phi_{n,m} \) and \( \phi'_{n,m} \) represents the angle vectors of departure and arrival, \( v_{n,m} \) denotes the speed and can be understood as Doppler shift parameter.

After channel model, we will further discuss the probability distribution of LOS channel. Considering an urban macro (UMa) scenario defined by 3GPP TR38.901 [19], we assume that the plane straight-line distance from the UE to the BS is \( d_{2D} \) and the LOS probability is \( P_{LO} \). If \( d_{2D} \leq 18 \text{ m} \), then \( P_{LO} = 1 \), else the \( P_{LO} \) can be calculated via

\[
P_{LO} = \left[ \frac{18}{d_{2D}} + \exp\left(-\frac{d_{2D}}{63}\right) \left(1 - \frac{18}{d_{2D}}\right) \right] \cdot \left[1 + 0.8 \cdot C(h_{UT}) \left(\frac{d_{2D}^{3}}{100} \exp\left(-\frac{d_{2D}}{150}\right)\right)\right] \tag{2}
\]

where the \( C(h_{UT}) \) can be found in (3), and the \( h_{UT} \) denotes the antenna height for the UE.

\[
C(h_{UT}) = \begin{cases} 0, & h_{UT} \leq 13 \text{ m} \\ \frac{(h_{UT-13})^{1.5}}{10}, & 13 \text{ m} \leq h_{UT} \leq 28 \text{ m} \end{cases} \tag{3}
\]

In summary, LOS channel will be a more common scenario with the popularization of mmWave systems. In fact, the position of the UE relative to BS is always changing, so UEs need to frequently identify the channel type and reports to BS. Accurate channel type will help establish a more efficient and intelligent communication link between the UE and BS.

**B. Application of SVD transformation**

This section will show the advantages of SVD transformation and its application in wireless communication. In order to reduce the conflict between multi-users and increase the channel capacity in MIMO channels, the transmitter needs to use the beamforming technology to precede the data flow according to the quality of channel. A classical precoding matrix is based on SVD transformation of CSI matrix.

Considering a CDL channel with \( N_{r} \) BS antennas and \( N_{t} \) UE antennas. For simplicity, it is assumed that the number of RB is 1, i.e. \( N_{RB} = 1 \). So, we can obtain our CSI matrix as \( H \in \mathbb{C}^{N_{r} \times N_{t}} \). First, the CSI matrix \( H \) should carry on SVD transformation as

\[
H = U \cdot \Sigma \cdot V^{*} \tag{4}
\]

where \( U \in \mathbb{C}^{N_{r} \times N_{r}} \) and \( V \in \mathbb{C}^{N_{t} \times N_{t}} \) are the left-singular and the right-singular matrices\(^1\), respectively. What is more, \( UU^{*} = \mathbb{I}_{N_{r}}, VV^{*} = \mathbb{I}_{N_{t}} \). Note that \( \Sigma = (\Lambda, 0) \) and \( \Lambda \) can be expressed as follows:

\[
\Lambda = \begin{bmatrix} \sqrt{\lambda_{1}} & \cdots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \cdots & \sqrt{\lambda_{N_{r}}} \end{bmatrix}_{N_{r} \times N_{r}} \tag{5}
\]

which represents the singular value matrix. And we define the eigenvalues of \( HH^{*} \) as \( s = [\lambda_{1}, \lambda_{2}, \ldots, \lambda_{N_{r}}] \).

Next, the application of SVD transformation will be introduced in detail. The unitary matrices \( V \) and \( U \) are used as precoding matrix for transmitter and receiver, respectively. When BS needs to sent the parallel data flow \( x = [x_{1}, x_{2}, \ldots, x_{N_{r}}]^{T} \) to multiuser, right-singular matrix \( V \) will

\(^1\)Both \( U \) and \( V \) will be called as eigenvector in the follows.

\(^2\)\( X^{*} \) denotes conjugate transpose matrix of \( X \).
be used for precoding: \( x_t = V \cdot x \). Thirdly, we consider a classical signal transmission model as
\[
y = Hx_t + n
\]  
(6)
where \( y \) is the received data flow and \( n \) denotes the noise vector. The channel matrix \( H \) can be expressed by (4), and we can get
\[
y = U\Sigma V^* \cdot x + n
\]  
(7)
Finally, the receiver will use \( U^* \) for de-precoding, which can be expressed as
\[
U^*y = U^*(U\Sigma \cdot x + n)
\]  
(8)
The noise component in (8) will be filtered out by the receiver. So, the receiver can recover the data flow \( x \) by \( \Sigma \).

In short, as a receiver, UE should pay more attention to \( U \) and \( S \). While used for the precoding algorithms for UEs, eigenvector and eigenmatrix are also the representation of the channel features in the channel matrix \( H \). Therefore, this paper considers using eigenvector and eigenmatrix to support UEs for channel identification. The scheme and the algorithm framework are described in section III.

III. PROPOSED CHANNEL IDENTIFICATION ARCHITECTURE

In this section, the proposed channel identification architecture based on eigenmatrix and eigenvector is presented. This section will be introduced according to the following three points. First, the overall framework of the proposed novel channel identification architecture will be shown. Then, we will give a detailed algorithm description. Finally, the hyper-parameters and overhead analysis of proposed neural network will be given in detail.

A. EMEV based Channel Identification Architecture

This paper proposes a novel channel identification architecture based on eigenmatrix and eigenvector. Instead of the whole CSI matrix \( H \), its eigenvector \( S \) and eigenmatrix \( U \) will be used as the input object for identification neural network. \( U \) and \( S \) of \( H \) can be obtained by SVD transformation. The proposed channel identification architecture is shown in Fig. 1, namely eigenmatrix and eigenvector (EMEV) based channel identification architecture.

Due to frequent movement of UEs relative to the BS, UE should feedback the channel type information to BS frequently in the frequency division duplexing (FDD) communication system. This paper focuses on the CDL channel, so we make the assumption that the CSI matrix \( H \in \mathbb{C}^{N_{RB} \times N_t \times N_r} \). The \( N_{RB} \) denotes the number of resource block (RB), \( N_t \) and \( N_r \) are the number of BS and UE antennas \( (N_t \gg N_r) \). As is shown in Fig. 1, the CSI matrix \( H \) will be divided into three parts after SVD transformation, namely eigenmatrix \( U \in \mathbb{C}^{N_{RB} \times N_t \times N_r} \), eigenvector \( S \in \mathbb{R}^{N_{RB} \times N_t} \) and eigenmatrix \( V \in \mathbb{C}^{N_{RB} \times N_t \times N_t} \). And then \( U \) and \( S \) will be input into the neural network, which is called EMEV based channel identification network (EMEV-IdNet). Compared with the size of CSI matrix \( H \), the size of \( U \) and \( S \) are much smaller, i.e. EMEV-IdNet adopts a lightweight design. After the trained EMEV-IdNet, we can identify accurately the channel type among CDL-A to CDL-E. The EMEV based channel identification hopes to eliminate the redundant information in CSI matrix \( H \) through the SVD transformation, further make the neural network lightweight as much as possible.

B. Analysis of Algorithm

Although the proposed EMEV based channel identification pays more attention to unitary matrix \( U \) and eigenvector \( S \), the most common information for UEs is CSI matrix \( H \). Therefore, we consider CSI matrix \( H \) as the input object in Algorithm 1. \( U \) and \( S \) are obtained after data preprocessing. As for the neural network, we construct a dual channel parallel model which takes eigenmatrix \( U \) and eigenvector \( S \) as input layer.

The EMEV based channel identification is shown in Algorithm 1. In SVD transformation part, we consider the channel independence between different RBs. Therefore, we do SVD transformation on each RB, and finally concatenate eigenmatrix and eigenvector. In EMEV-IdNet training part, \( \Omega_{3D}, \Omega_{2D}, \Omega_{FC} \) represent the weights of 3D convolution layers, 2D convolution layers and fully-connected layers, respectively, and \( f_{\text{net}}(\cdot) \) denotes the framework function of EMEV-IdNet. Meanwhile, Adam optimizer and categorical-crossentropy loss function are used to improve the performance and convergence rate. The algorithm training goal is to minimize the loss function between the true channel label \( y \) and the output label \( \hat{y} \) by updating the weights as,
\[
(\Omega^*_{3D}, \Omega^*_{2D}, \Omega^*_{FC}) = \arg \min_{\Omega} \sum_{k=1}^{K} y_k \cdot \log(\hat{y}_k)
\]  
(9)
where \( k \) denotes the length of channel label \( y \).
Algorithm 1: The algorithm of the proposed EMEV based channel identification scheme.

Input: \( H \in C^{N_R, N_N, N_r} \) – CSI matrix; 
\( y \in \mathbb{R}^{5 \times 1} \) – label of channel type; 
\( \eta \) – learning rate; \( N_{epoch} \) – Number of epoches

Output: channel type: \( y \in \{0, 1, 2, 3, 4\} \)

1. **SVD transformation**: 
   1. Initialize \( U \in \mathbb{C}^{N_R, N_N, N_r}, S \in \mathbb{R}^{N_R, N_N} \);
   2. for \( i = 1, \ldots, N_R \) do
      3. \( U_i, S_i, V_i = f_{svd}(H(i, :, :)) \)
      4. if \( U_i \cdot S_i \cdot V_i = H(i, :, :) \) then
         5. \( U(i, :, :) = U_i \)
         6. \( S(i, :) = S_i \)
   7. end
   8. end
   9. Save \( U \in \mathbb{C}^{N_R, N_N, N_r}, S \in \mathbb{R}^{N_R, N_N} \).
10. Training EMEV-IdNet:
    11. Initialize \( \Omega_{3D}, \Omega_{2D}, \Omega_{FC}, Adam() \);
    12. for \( t = 1, \ldots, N_{epoch} \) do
        13. \( y = f_{net}(U, \Omega_{3D}, \Omega_{2D}, \Omega_{FC}) \)
        14. \( loss_t = \sum_{k=1}^{n} y_k \cdot \log(y_k) \)
        15. if \( loss_t \) converges then
           16. break
        17. end
        18. \( (\Omega_{3D}, \Omega_{2D}, \Omega_{FC}) \leftarrow Adam(\Omega, \eta, \nabla loss_t) \)
    19. end
    20. Save \( \Omega_{3D}, \Omega_{2D}, \Omega_{FC}, f_{net}() \).

C. Structure of EMEV-IdNet

Fig. 2 shows the structure of the neural network used in our proposed scheme. The network we used in this paper is modified from CNN. As is shown in figure, three dimensional (Conv3D) and two dimensional convolutional layers (Conv2D) are used to extract special features for \( U \) and \( S \), respectively. After the two parallel feature extraction blocks, an untrainable concatenate layer is used. Then the two output high dimensional feature maps of \( U \) and \( S \) are concatenated. Finally, we rely on three fully-connected layers (FCLayer) to complete the identification task. Meanwhile, Tab. I shows the hyper-parameters, activation and output shape of every layer in detail. Meanwhile, the analysis of parameters and floating point operations (FLOPs) are presented.

IV. SIMULATION AND EXPERIMENTS

This section will introduce the simulation experiments carried out in this paper in detail, including the experimental platform, simulation data, experimental results and so on. All the simulations and experiments are carried out on the workstation with CentOS 7.0. The workstation is equipped with 2 Intel(R) Xeon(R) Silver 4210R CPU and 4 Nvidia RTX 2080Ti GPU, it also has 192GB RAM. The dataset we used in this paper and the simulation codes can be found at Github3.

3 Github link: https://github.com/CodeDwan/EMEV-channel-identification
A. Dataset Generation

With the help of Matlab 5G toolbox and communication toolbox, we define a standard CDL channel object and carry on the link-level simulation. The dataset used in our experiments are extracted from the link-level simulator. Tab. II shows the alternative parameter and default values in the data generator. Both UE and BS antennas use uniform panel array (UPA). Note that 10,000 original data samples are generated for each CDL channel (50,000 data samples in all). And we divide the training data, validation data and test data in the proportion of 65 : 15 : 20. Using the StratifiedShuffleSplit function provided by scikit-learn, we can ensure that the data samples of each label is uniformly distributed.

| Channel Type | NLOS | LOS |
|--------------|------|-----|
| CDL-A        | 13   |     |
| CDL-B        | 28 GHz | |
| CDL-C        | 60 KHz | |
| CDL-D        | 1.29 ns | 65 ns |
| CDL-E        | 0.25, 0.25 | 4 |

B. Experimental Results

In order to verify the feasibility and robustness of the scheme proposed in this paper, we design two experiments: one is to research the perfect CSI matrix, and the other is the imperfect CSI matrix with noise. At the same time, in order to conduct comparative experiments, we design two different networks. One is a two channel identification network based on eigenmatrix and eigenvector proposed in this paper, named EMEV-IdNet. The other is directly inputting CSI matrix H into the network for identification, named CSI-based identification network (CSI-IdNet).

First, we verify the feasibility of the proposed EMEV-IdNet. The confusion matrix in Fig. 3 shows that CDL-A to CDL-C are strictly separated from CDL-D and CDL-E. That is to say, LOS and NLOS channels are perfectly recognized, and the CDL-A channel achieves perfect identification accuracy. What’s more, the identification accuracy of CDL-B to CDL-E reaches 99.75%, 99.80%, 99.90% and 99.95% respectively. The comprehensive recognition accuracy is 99.88%. Secondly, it is difficult for UEs to obtain perfect CSI matrix in practical scenarios. Therefore, we conduct noise experiments and verify the robustness of EMEV-IdNet. In this part, additive white Gaussian noise (AWGN) is used to simulate the imperfect CSI matrix, which can be expressed as,

\[
SNR(dB) = 10 \cdot \log \left( \frac{P_H}{P_n} \right)
\]

\[
oise \sim N(0, P_n) = \frac{1}{\sqrt{2\pi P_n}} \cdot \exp \left( -\frac{x^2}{2P_n} \right)
\]

where \( P_H \) and \( P_n \) are the respective power of CSI matrix H and noise, \( N(0, P_n) \) represents normal distribution with mean = 0 and variance = \( P_n \). We use the test dataset containing AWGN to test the perfect identification network (IdNet). The perfect IdNet means the network is trained with perfect CSI dataset. The test accuracy can be seen from Tab. III and Fig. 4. From the experimental results, the robustness of EMEV-IdNet is slightly worse than CSI-IdNet. If we define the acceptable threshold of recognition accuracy is \( acc \geq 95\% \), then the noise tolerated by EMEV-IdNet is \( SNR = 16 dB \), while the one CSI-IdNet can tolerate \( SNR = 12 dB \).
C. Analysis of Overhead

In this part, we will analyze the overhead of EMEV-IdNet and CSI-IdNet, including the number of parameters, FLOPs, model size and response delay. The parameters and model size measure space complexity and storage overhead. The FLOPs and response delay measure time complexity and computing overhead. As is shown in Tab. IV, the comprehensive overhead of EMEV-IdNet is about only 10% of CSI-IdNet. However, the performance of EMEV-IdNet can achieve almost 90% comparing with CSI-IdNet. In summary, the proposed EMEV-IdNet conforms to the lightweight design and ensures performance as much as possible while greatly reducing overhead.

| TABLE IV |
| --- |
| COMPARISON OF OVERHEAD BETWEEN EMEV-IdNet AND CSI-IdNet |
| Parameters | EMEV-IdNet | CSI-IdNet |
| FLOPs | 14 M | 204 M |
| Model size | 7.1 MB | 82.7 MB |
| Response delay | 88.23 us | 294.29 us |

V. Conclusion

In this paper, we proposed a novel EMEV based channel identification architecture with lightweight design. In this paper, SVD transformation was adopted as data preprocessing. The obtained eigenmatrix and eigenvector can be used for not only channel identification, but also calculation of precoding matrix. It was confirmed that EMEV-IdNet proposed in this paper shows great identification performance and slim overhead. When using perfect CSI matrix, EMEV-IdNet can achieve a comprehensive identification accuracy of 99.88%, in which the CDL-A channel can be perfectly recognized. When AWGN is introduced, EMEV-IdNet can tolerate the noise with SNR = 16 dB if the threshold is acc ≥ 95%. At the same time, we analyzed the comprehensive overhead of EMEV-IdNet, which is more suitable for deployment at UE. The EMEV based channel identification architecture proposed in this paper meets the needs of mmWave communication. Considering the massive number of edge devices in the IoE network, the proposed architecture is lightweight and effective for edge computing deployment. It can be widely applied to edge equipment in mmWave systems to achieve the high quality of service (QoS) of IoE communication.

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The response delay is test on Nvidia RTX 2080Ti.

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