How do contextual factors influence quality and safety work in the Norwegian home care and nursing home settings? A qualitative study about managers’ experiences

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ABSTRACT

Objective Although many contextual factors can facilitate or impede primary care managers’ work with quality and safety, research on how these factors influence the managers’ continuous improvement efforts is scarce. This study explored how primary care managers experience the impact of a variety of contextual factors on their daily quality and safety work.

Design The study has a qualitative design. Nine semistructured qualitative interviews were conducted at the participants’ workplaces. Systematic text condensation was used for analysis.

Setting Five nursing homes and three home care services in Norway.

Participants Female primary care managers at different levels, working in different units and municipalities varying in size and location.

Results The participants cited the lack of time and money as a significant impediment to quality and safety, and these resources had to be carefully allocated. They emphasised the importance of networks and competence for their quality and safety work. Delegation of responsibility among employees helped create engagement, improved competence and ensured that new knowledge reached all employees. External guidelines and demands helped them to systematise their work and explain the necessity of quality and safety work to their employees, if they were compliant with daily clinical practice in the organisation.

Conclusions Numerous contextual factors influence the managers by determining the leeway that they have in quality and safety work, by setting the budgetary constraints and defining available competence, networks and regulation. At first glance, these factors appear fixed, but our findings underscore the importance of primary care managers acting on and negotiating the environment in which they conduct their daily quality and safety work. More research is needed to understand how these managers strategise to overcome the impediments to quality and safety.

INTRODUCTION

Background

There is increased attention to quality and safety challenges (eg, medication errors, lack of resources and competence, lack of continuity) and improvement initiatives (eg, national patient safety campaigns) in the primary care setting. However, research has shown that the results of improvement initiatives are inconsistent and often limited. One of the pitfalls is that what is successful in one setting might fail in another. The impact of quality and safety initiatives depend on contextual factors in the healthcare settings.

Context can be either inner/internal (eg, organisational culture and implementation climate) or outer/external (eg, laws and regulations, external policies and funding structures) settings of an organisation. The range of contextual factors across healthcare settings can influence the implementation of interventions and whether and how they affect quality and safety outcomes.

Several frameworks for healthcare improvement, such as the Consolidated Framework for Implementation Research and Promoting Action on Research Implementation in Health Services, are designed to help researchers and practitioners who implement
and conduct quality and safety improvement initiatives, to identify contextual factors in their setting. The frameworks are often based on research from specialised healthcare. In contrast to specialised care, research and knowledge about contextual factors in the primary care setting are limited.

In Norway, the municipalities are responsible for primary care, including nursing homes and home care, midwife, rehabilitation, physiotherapy and after-hours emergency services. The municipalities are by law required to improve healthcare quality and safety. Managers at all service levels are responsible for planning, implementing and evaluating the improvement efforts. Thus, managers are important in the effort to improve quality and safety in primary healthcare. A variety of contextual factors can facilitate or hinder primary care managers’ work, such as external policies and incentives, the organisational culture, available resources and access to social networks. Most of the research on the role of contextual factors for quality and safety work is related to quality improvement interventions and implementation. It is important to explore how contextual factors affect managers’ daily quality and safety work, whether they are implementing specific improvement interventions or not. Given this research gap, exploring which contextual factors are salient for daily quality and safety work in the primary care setting is needed.

**Aim and research question**
The purpose of this study is to generate new knowledge about the contextual factors that influence managers’ quality and safety work in Norwegian home care and nursing homes. This study answered the following research question: How do contextual factors influence quality and safety work in the Norwegian home care and nursing home settings? By answering this question, the study contributes to a better understanding of quality and safety improvement processes in Norwegian primary care as it occurs in everyday work.

**METHODS**
The study uses a qualitative explorative design.

**Recruitment and sample**
We recruited a purposive sample of nine middle-level and top-level managers in primary care. The sample includes managers from five municipalities, located in three counties in different regions of Norway. The selection criteria were based on diversity in managerial role, responsibility and a variety of counties and municipalities, to ensure that the sample represented a variety in contextual settings. Exclusion criteria were managers’ representing municipalities that were going to take part in a planned intervention in the same project (see below). Our sample consisted of four managers from nursing homes, four from home care services and one director of health and care services in a municipality (see table 1). All participants were women aged 34–61 years, with 3–19 years of managerial experience. The municipalities, nursing homes and home care services represented in our sample differ in size, location (urban/rural) and structure. The managerial levels span from the municipality level (one director of health and care services), followed by unit managers of the nursing home and home care services (n=2), and department managers with personnel responsibility of one or several departments within the nursing homes and home care service (n=4). Also included in the sample are professional development nurses with responsibility for the daily operations within specific departments (n=2). They do not manage personnel and or have administrative responsibility, but often play a key role in quality and safety.

Three coResearchers from Center for Development of Institutional and Home Care services in three Norwegian municipalities recruited the participants through email and telephone. Based on their knowledge of service providers in their counties, the coResearchers approached and recruited the managers according to our selection criteria. The researchers then contacted the participants to establish a relationship, agree on the time, and place for the interviews. The study is a part of the larger project: Improving Quality and Safety in Primary Care—Implementing a Leadership Intervention in Nursing Homes and Home Care (SAFE-LEAD) that aims at building leadership competence and guide primary care managers in their efforts to advance and improve vital quality and safety strategies, attitudes and practices in their organisations. The participants in the current study were recruited as a part of a first phase in the SAFE-LEAD project, to explore the role of contextual factors for quality and safety work in primary care (see Wiig et al for study protocol) and as a basis for intervention planning in the project. The participants were informed about the SAFE-LEAD project and the aim of the current study before participating.

**Data collection**
Data were drawn from semistructured individual interviews of the nine managers, conducted in May/June 2017. The interviews took place at the institutions where the managers worked and were carried out by the author (TJ), two researchers and one coresearcher in the SAFE-LEAD project. The interview guide included open questions about managers’ quality and safety work, and more specific questions on the importance of factors such as external demands, economy, and structure, inspired by Bate et al’s Organising for Quality framework. We developed the interview guide in close collaboration with coresearchers who have extensive experience from municipal healthcare services to ensure fit with the contextual setting. Each interview lasted for about 45 min. Only the researcher and the participant were present during the interview. The interviewer invited the managers to share experiences and tell stories about how different contextual factors affect their work with quality and safety. To decide on the sample size, we assessed information power.
by considering the specificity of the research question, use of theory, the quality of the interviews and the analysis strategy. The research question in our sample was specific addressing different contextual factors, and the sample was relevant to explore the question as it consisted of managers with different backgrounds from different counties and municipalities across Norway, in addition to varying managerial background and role from nursing homes, home care and management levels. Most interviews were information rich, providing numerous perspectives and nuances on how different contextual factors influence the managers’ work with quality and safety. After nine interviews, we found sufficient information power for a responsible analysis to explore our research question. Then, we had obtained information from different kind of managerial positions, different types of municipalities, different types of services, including managers with both long and short work experience. The interviews were audio recorded, encrypted and transcribed by the authors and coresearchers. The names of all participants were removed from their statements prior to transcription.

### Analysis

To analyse the data, we used systematic text condensation, a thematic, cross-case analysis strategy. The analysis comprised four steps: (1) reading the transcribed interviews to obtain a sense of the material and identify preliminary themes, (2) developing code groups based on the preliminary themes and identify units of meaning related to each

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**Table 1** Participant background information

| Participant* | Unit     | Professional title                                               | Age group | Size of municipality (no of inhabitants) | Education/work experience                                                                 |
|-------------|----------|------------------------------------------------------------------|-----------|------------------------------------------|------------------------------------------------------------------------------------------|
| 1           | Municipality level    | Director of health and care services (responsible for all municipality healthcare services, including nursing home and home care services) | 45–50     | <5000                                    | Education: registered nurse. Years of experience: 24. Years in current position: 8         |
| 2           | Nursing home          | Unit manager                                                    | 51–55     | 130–135 000                              | Education: registered nurse. Continuing professional education in geriatrics and management. Years of experience: 27. Years in current position: 18 |
| 3           | Nursing home          | Department manager                                              | 46–50     | 15–20 000                                | Education: registered nurse. Years of experience: 22 (10 years in management). Years in current position: 1.5 |
| 4           | Home care             | Department manager                                              | 56–50     | 10–15 000                                | Education: registered nurse. Master's degree in organisational management. Years of experience: 21 (many years as manager). Years in current position: 2 |
| 5           | Home care             | Professional development nurse                                    | 31–35     | 10–15 000                                | Education: registered nurse. Continuing professional education in cognitive therapy, geriatrics and management. Years of experience: 7. Years in current position: 3 |
| 6           | Nursing home          | Department manager                                              | 51–55     | 75–80 000                                | Education: registered nurse. Continuing professional education in management. Years of experience: not reported. Years in current position: 19 |
| 7           | Home care             | Department manager                                              | 61–65     | 20–25 000                                | Education: registered nurse. Years of experience: not reported. Years in current position: 7 |
| 8           | Nursing home          | Unit manager                                                    | 36–40     | 20–25 000                                | Education: registered nurse. Master's degree in health informatics. Continuing professional education in health management. Years of experience: 15 (9 years in management). Years in current position: 0.5 |
| 9           | Nursing home          | Professional development nurse                                    | 36–40     | 20–25 000                                | Education: registered nurse. Years of experience: total not reported (12 years in management). Years in current position: 2 |

*Participant number.
Results
Analysis revealed relevant perspectives on how contextual factors influence managers’ quality and safety work in Norwegian nursing homes and home care and how managers manoeuvre in their work practice to accomplish their tasks. The participants stated that lack of time and money interfered with their work with quality and safety work, and that these resources required careful allocation. They also emphasised the importance of networks and competence. Delegation of responsibility among employees helped create motivation, engagement and improved competence in quality and safety work, and ensured that new knowledge reached all employees. External guidelines and demands helped to systematise their work and legitimise the necessity of quality and safety work to their employees, if they were in accordance with daily clinical practice in the organisation. These findings will be elaborated below. The section headings refer to the main findings from our study. Quotations are assigned pseudonyms.

Lack of resources is a major barrier for managers’ work with quality and safety and requires careful prioritisation

Many participants mentioned lack of time and money as important barriers to quality and safety work. Many participants stated that they did not have enough time to comply with the legal requirements, for example, regarding documentation, reporting and patient follow-up. Some said that the time spend on documentation and the need for cost saving often came at the expense of both patient care and quality and safety work. We should be able to take care of patients and stay within the budget, they said. Money was tight and there was little available for anything outside of daily operations. One participant said that their unit had US$2390 to spend on the health, safety and working environment, but a person lift alone costs US$2014. Many participants observed that staffing was difficult and that they could not hire temporary personnel for night shifts. Nor did they have the funds to purchase the technological systems they wanted. The participants wanted a slightly larger budget so that they could add a few more hours each month in order to meet the demands of their quality and safety work. A participant working for 8 years as the director of health and care services in a small rural municipality expressed it as follows:

There is limited time for care. The other things are also important, but when one is given more and more tasks and fewer resources, some things clash, and then it is only half done. (Participant 1).

Several of the participants emphasised that despite being under-resourced, they got a great deal done because they were good at allocating resources. They made the best use of their personnel, such as allowing an employee to work with care plans by moving tasks from that employee to another.
had their budgets and their employees, and thus it did not cost more or less, but depended on themselves initiating the quality and safety improvement efforts. Many emphasised the importance of working creatively within the economic scope they had. As long as they adhered to the total budget, they could use funds as they saw fit. For example, one of the participants said that based on complaints from patients and next of kin in one department, they bought furniture and flowers with money that had actually been reserved for another department that did not need it. It was all about doing the right things at the right time, as illustrated by a quote from a participant working at a short-term rehabilitation and palliative care department:

Sometimes I think this intervention could be good, but then there is so much else going on in the department that it is not the right time to do it. You have to pick your fights carefully. The right actions in the right time. (Participant 3).

Access to networks plays an important role in the quality and safety work

Several of the participants reiterated the importance of networks and support for professional and academic development. We are not born managers, one of them said, and many others stressed the need for professional input, more and better skills and competence in quality and safety work, and someone to offer encouragement. They reported several ways of working with competence development in their unit or department, such as hosting in-house seminars and workshops with quality and safety work on the agenda. Others had brought all of the unit managers together to collaborate on quality and safety issues. One of the participants said that in their last manager meeting they discussed how to handle aggressive patients. The solution was to give some of the staff special training.

The participants also stressed the importance of support from networks and resource persons in the municipality, such as professional development nurses, nursing home doctors and the Center for Development of Institutional and Home Care Services in each county. The nursing home doctor led the dialogue with patients/users and next of kin, and was an important discussion partner when it came to quality and safety challenges. The nursing home doctor was also responsible for much of the in-house teaching and new employee training. The professional development nurse was a driving force in quality improvement that kept the managers up to date. Most of the participants had an interdepartmental quality committee, with professional development nurses under the supervision of the District Medical Officer in the municipality (the highest ranked doctor in the municipal structure). However, sometimes the committee proposed too many activities that took time away from their daily work, resulting in time pressure and stress. When this happened, the committee became more of a burden than a support, they said. The participants did note the value of having support services such as physiotherapists, ergonomics, psychiatry and the medical centre nearby, and some even had it in the same building. During a busy workday, this was a timesaver for participants who could just stop by as needed. Likewise, the informal meeting arenas between the unit managers, such as meeting for lunch or coffee, was an important support. A participant with 10 years of experience as a healthcare manager describes the importance of collaborating with other managers and employees:

I believe that we have to work together. As a manager, I can have the vision, but when I, as a manager, am responsible for the shifts and many other things, it is important for someone else to pull me up so that we can discuss things. (Participant 3).

Delegation of responsibility ensures that new knowledge reaches the employees

The participants mentioned the delegation of roles and responsibility to employees as important to create motivation, engagement and improved competence in quality and safety work. Since the participants did not have the capacity to teach all of the employees how to implement new procedures, they gave employees responsibility for different areas. The participants then facilitated and made sure that the employees got time to take courses and training in their areas of responsibility. To get responsibility for an area, the employee had to communicate well with his/her colleagues, take courses, keep updated and introduce new competences and routines to the department. Several participants said they were confident in knowing that there was a person with special competence in a certain area. One participant stated that it was not the manager but the employees who were the experts, because they were on the floor every day. In this connection, several mentioned the importance of having a highly qualified professional staff. Many participants had put together a resource group to work on new routines and interventions, which were then discussed in the quality committee. For example, one participant told that they lacked a clear routine regarding the rinsing rooms, and then challenged the hygiene group to make a proposal. The participants also stressed the importance of engaging the employees from the beginning when implementing something. For example, they encountered resistance when patients wanted to change a mealtime from afternoon to midday. The managers then had to collaborate with the employees, give them more time and work with attitudes and information to ensure that the employees accepted the change. As one participant said, change cannot be imposed from the top but has to be driven from the bottom. The director of health and care services in a small municipality reiterated the importance of giving employees an area of responsibility:

Most have their area of responsibility. It is nutrition, medical reviews, palliative care, diabetes. As such, the
vast majority have their area, but we try to give them an area of interest. And then we see that it becomes engagement around this. (Participant 1).

Despite making the best use of the resources at hand, the participants stressed the problem posed by the lack of proper professional competence. One participant had worked in a hospital setting before, where she could consult with an outpatient clinic nearby, but such services were non-existent in the municipalities. Many participants said they strived to have the right competence at the right place, for instance, not assigning medical tasks to assistants. Some considered not using assistants at all in home care services. However, when many employees were out on sick leave, it was harder to get nurses or healthcare workers instead of assistants to take extra shifts. This was a serious problem, they said, since the assistants did not know the routine. A few participants even stressed the importance of having professionally trained staff, insisting that it was unacceptable to have only unskilled workers on duty. One of the participants recounted an incident in which an assistant had failed to notice that a patient was having difficulty breathing. The assistant mistakenly believed that eating blueberries had turned the patient’s lips blue. Several participants wanted to replace some of the assistants with nurses who were capable of handling most of the departmental tasks. There was a problem, however, with recruiting nurses, especially for temporary positions, as shown in a quote from a manager who had worked in the same nursing home for 18 years:

Nurses do not grow on trees, so to speak. It’s hard to recruit. September last year was the first time since I started as a manager that we had full nursing coverage (...). But it did not last long. Things happen all the time. If we lose nurses, we also lose the competence they have. And then one must start all over again. (Participant 2).

External demands can facilitate oversight and a systematic approach in improvement work if they are in accordance with daily clinical practice in the organisation

Participants reported that external demands such as national guidelines and regulations contributed to systematise their work, and justified the necessity of the quality and safety work. They explained the benefits of the national patient safety programme, dashboard meetings, ethical reflection and development of checklists. Some pointed out that working with checklists was demanding but necessary for high-quality service provision. Furthermore, structured documentation was necessary to show the local politicians that they had tried everything else, and was often the only way to make their elected officials understand their needs and allocate more resources, they said.

When governmental white papers were specific, that is, stated what skills would most likely be needed in the future, the participants found it easier to act on it. They experienced greater understanding among local politicians, employees and users when they had support from white papers and reports. For example, regarding changes in the use of health technology, the participants experienced increased compliance among employees when they could cite a white paper. A participant working in a rural home care explained how implementation of new guidelines anchored in a white paper helped her focus on quality improvement:

I feel it helps me a lot that it is decided from the top level [Parliament] that Norway wants it that way. That’s true. Yes, we just have to adjust and then change practice according to this. Now it is decided that the patient shall receive more [services], and then we have to work towards it and help employees to cope with these changes. (Participant 4).

The participants stated that political decisions in the municipality and administration affected them because there were not enough resources and a lack of understanding of what was required. Participants talked about the mismatch between legal requirements and daily practice and the contextual factors at their workplace, which could lead to misunderstandings and substandard quality. For example, some participants reported that the municipality wanted consistent standard procedures for medication throughout the municipality so that it would be easier to rotate employees. However, the participants did not find it useful since each unit had its own routine. Some said they would like to meet the politicians to talk about how they did their daily work ‘on the shop floor’ which was often quite different from what the politicians imagined. The participants expressed that politicians should be better informed about what is happening in the clinical practice, not promising too much, but rather have an open door and listen to arguments. Many stated that there is a need for more qualified professionals in the future to work smarter and more efficiently, and that politicians have to say something about what to do less of. A participant working in a middle-sized urban nursing home expressed this as follows:

I wish the politicians were clear about what they really expect and what to achieve to ensure the quality they seek. I feel that if a politician says something, others are just jumping after. (Participant 2).

DISCUSSION

This study explored how contextual factors influence quality and safety work in the Norwegian home care and nursing home settings. Our analysis demonstrated that lack of resources is a major barrier for managers’ quality and safety work, and requires careful prioritising. Access to networks and necessary competence play an important role in quality and safety work, and delegation of responsibility ensures that new knowledge reaches all employees. External guidelines and demands help to systematise managers’ quality
and safety work, as long as they are in accordance with daily clinical practice in the organisation.

The contextual factors that the managers in our study emphasised as important for quality and safety work are similar to those reported in other studies, reviews and implementation frameworks.\(^1\)\(^5\)\(^6\)\(^7\)\(^8\)\(^9\) However, we explore the role of contextual factors in relation to managers’ daily quality and safety work, not specifically according to quality improvement initiatives. Most of the previous research on quality and safety work in healthcare was conducted in hospitals.\(^3\)\(^10\) Our study explores the perspectives of managers at different levels in the primary care setting, including units varying in size and location. Furthermore, most previous studies are either quantitative, or reviews of quantitative studies.\(^4\) Our study adds new qualitative knowledge regarding how managers in primary care find different contextual factors influencing their quality and safety work, and how they shape the context in which they work. This shows that context is not independent from the actors within the different primary care units, but is actually something that can be changed, acted on and negotiated to improve the environmental conditions for quality and safety. Rosness \(\text{et al}\)\(^11\) describes this as a ‘sender–receiver metaphor’ in which managers can be considered as actors who may resist, cocreate or recreate the environmental conditions for their own quality and safety work.

Our findings are in accordance with those in the systematic review by Kaplan \(\text{et al}\)\(^4\) who found that associations between funding and quality improvement were often not significant. In light of our findings, this might be because managers’ ability to prioritise the available resources is more important than the resources themselves. Our findings indicate that managers’ strategies and skills in prioritising resources, partly by involving and listening to their staff’s opinions on how resources should be used, are more important than the actual amount of resources available. The importance of managers’ capabilities to change, negotiate or act on their context is also revealed in our findings about how managers delegated responsibility for specific fields to different employees, ensuring motivation and knowledge sharing among staff. The managers’ role in acting on their surrounding context was also evident in their interaction with politicians, and the way they used the local budget to fit their needs. This is consistent with van de Bovenkamp \(\text{et al}\)\(^11\) who use the concept of institutional work when describing how managers both influence and are influenced by their institutional context.

Many studies about the role of contextual factors for quality and safety improvement have found that external guidelines and demands play an important role.\(^5\)\(^10\)\(^22\) The absence of such guidelines is an impediment to the implementation of improvement interventions.\(^18\) The current study adds to this body of knowledge, by showing that external guidelines and demands should be consistent with daily clinical practice in the organisation to contribute to the managers’ quality and safety work. Carlfjord \(\text{et al}\)\(^22\) also found that routines should be taken into account when incorporating new methods, guidelines or tools into primary healthcare to ensure compatibility.

### Strengths and limitations
This study context could have resulted in positive response bias, especially regarding individual factors in which the managers have a responsibility and a possibility to influence. However, we highlighted that the purpose of our study was not to evaluate their quality and safety practices, but to generate knowledge of contextual factors important for their daily quality and safety work.

Despite the small sample, the participants had specific experiences and perceptions about the research question, which provided rich data and sufficient information power.\(^15\) A larger sample could potentially added more and stronger information if we had approached additional municipalities or other service types beyond nursing homes and home care, but it is our assessment that the sample was acceptable for exploring the scope of our study. The sample was diverse in age, position, work experience, type of unit (home care and nursing home), the size and location of both the municipalities and the units in which the managers worked. This diversity brought a range of perspectives and nuances to the data. We did not include employees, who might have held opinions that differed from the managers’. We limited the scope of our study to managers because they have the main responsibility for daily quality and safety practices. We recommend that further studies explore employees’ perspectives.

Given the qualitative nature of our study, the list of important contextual factors addressed is not exhaustive. However, the factors described are in accordance with other studies of the role of contextual factors for quality and safety work, illustrating that these factors are found across settings and samples. Thus, the contextual factors described by the participants as promoting or inhibiting their quality work are probably transferable to other units and healthcare services.

### Conclusions and implications
This study shows how contextual factors influence quality and safety work in nursing homes and home care services. The study contributes to a better understanding of quality and safety improvement processes in Norwegian primary care as it occurs in everyday work. The findings indicate that managers play an important role in acting on and negotiating the contextual environment in which their daily quality and safety work are carried out. The healthcare sector is in constant pressure of time and limited resources, and some units might be better than others at making the best use of these limited resources, for quality work. Through this study, we have generated knowledge on how contextual factors might influence the way in which managers perform high-quality work despite contextual barriers, and how they are actors in shaping the context in which they work. Such knowledge can be useful to other primary care units, and to other healthcare services. Research on quality and safety work in the
Norwegian primary care context is still limited, and more studies should be conducted to explore how managers and employees in the primary care setting act on their contextual environment and shape the context in favour of care quality and safety.

Qualitative studies can contribute to a more complete understanding of how context influence quality and safety work, and how healthcare units can manage contextual barriers at the local level. Knowledge of these issues is important for understanding daily work practices, for identifying possible barriers and facilitators, and when preparing and conducting improvement interventions, to increase the probability of sustainable and transferable effects of improvement efforts.

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Contributors All authors contributed to the conception and design of the manuscript. TJ collected the data, together with other researchers (Lene Schibevaag and Torunn Stremme) and a coresearcher (Berit Ullebust) in the SAFE-LEAD project. ER and TJ conducted the systematic text condensation analysis, although SW was involved in step 1 of the analysis, in addition to the SAFE-LEAD Primary Care—Implementing a Leadership Intervention in nursing homes and home care (SAFE-LEAD Primary Care), which has received funding from the Research Council of Norway’s program HELSEVEL, under grant agreement 256681/H10, and the University of Stavanger.

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Patient consent for publication Not required.

Ethics approval The Regional Committees for Research Ethics in Norway found that the study was not regulated by the Health Research Act. The Norwegian Social Science Data Services approved the study (NSD, ID 52324). The study followed the Helsinki Declaration, and all participants gave their written informed consent.

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Data sharing statement Anonymised data of the study will be stored at the Norwegian Social Science Data Services until the project is completed, and will then be available to other researchers on request.

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