Determinants of Virological Failure Among HIV-Infected Children on First-Line Antiretroviral Therapy in West Gojjam Zone, Amhara Region, Ethiopia

Awoke Shumetie1
Nurilign Abebe Moges2
Muluken Tesfome2
Getnet Gedif2
1Agut Antiretroviral Treatment Clinic, Agut Health Center, Gish Abay, Amhara Region, Ethiopia; 2Department of Public Health, College of Health Sciences, Debre Markos University, Debre Markos, Amhara Region, Ethiopia

Background: Viral load monitoring is a golden indicator for diagnosing treatment failure in patients with HIV. HIV-infected children are considered a priority group for routine viral load monitoring. Globally, the World Health Organization recommends 95% of HIV patients have viral suppression. Factors leading to virological failure are not well understood and studied. This study aimed to determine virological failure among HIV-infected children on first-line antiretroviral therapy in the West Gojjam Zone, Amhara region, Ethiopia.

Methods: An institutional-based unmatched case–control study was carried out from October 1 to October 15, 2020, among HIV-infected children on first-line antiretroviral therapy. The study included 94 cases and 276 controls, with a total sample size of 370 out of 378 HIV-infected children. A structured English version checklist was used to collect data through chart review. The data were entered using Epi-data 4.2 and exported into SPSS version 20 for analysis. Descriptive statistics were conducted to summarize the sample characteristics. Bivariate and multivariate analyses were used to describe each explanatory variable’s association with the outcome variable. A bivariate analysis with a p-value < 0.25 was selected for multivariate analysis. Adjusted odds ratio with 95% confidence intervals was conducted, and p-value < 0.05 was considered statistically significant.

Results: Non-disclosure (AOR = 4.26; 95% CI: 2.09, 8.70), baseline viral load >1000 copies/mL (AOR = 10.82; 95% CI: 5.4, 21.67), recent poor adherence (AOR, 6.05, 95% CI, 1.70, 21.55) and missed clinical appointments (AOR = 8.03; 95% CI: 3.88, 16.65) were factors independently associated with virological failure.

Conclusion: Disclosure of HIV status of patients early, according to their age and adherence to counseling, should be emphasized. Efforts should be strengthened to trace back for missed clinical appointments and strictly follow-up with antiretroviral (ARV) medication for a baseline viral load >1000 copies/mL that helps to boost immunity and suppress viral replication.

Keywords: HIV/AIDS, ART, case-control, virological failure, children, Amhara region, Ethiopia

Introduction
AIDS continues to be a major global health priority, where 37.7 million people were living with HIV, of whom 1.7 million were children (age <15 years) in 2020.1 Eastern and Southern Africa remain the region most heavily affected, accounting for approximately 55% of all people and two-thirds of all children living with Human Immunodeficiency Virus (HIV).2 Globally, AIDS remains the leading cause...
of mortality with 680,000 people of whom children accounted for 99,000 deaths in 2020. By the year 2020, the United Nations Program on HIV/AIDS (UNAIDS) revised and declared the three 95s’, “95-95-95” call where 95% of people within the subpopulation who are living with HIV know their HIV status, of who 95% people access Antiretroviral therapy (ART), and 95% people, whom are on treatment are suppressed viral load. Ethiopia adopted the new three 95’s targets and expanded the program to many viral load test sites to implement the third 95.

Tracking progress towards 90-90-90 targets, UNAIDS reported that, of all people living with HIV worldwide, 73% were accessing ART and 66% were virally suppressed. Approximately 44,000 children are living with HIV and 2800 are newly infected annually in Ethiopia by 2020. According to Ethiopian Demographic Health Survey (EDHS) 2016, 3800 children aged 0–14 were newly infected with HIV and 53% had viral suppression coverage.

Virological failure is a treatment failure (clinical and immunological) and is defined as a plasma viral load above 1000 copies/mL based on two consecutive viral load measurements after 3-months of adherence support and taking ART for at least 6 months. Viral load monitoring can be carried out at 6 months, at 12 months, and then every 12 months thereafter if the patient is stable on ART. If treatment failure is suspected and the first viral load result is >1000 copies/mL, a viral load test can also be carried out. Viral load is the number of HIV copies in the body. Viral load test indicates the progression of HIV in the body and is the gold standard for evaluation and monitoring of ART responses. A high viral load may indicate a recent HIV transmission or HIV that is untreated or uncontrolled. The WHO recommends viral load monitoring to ensure viral load suppression is achieved and maintained, but large gaps in global access remain particularly in low- and middle-income countries due to limited laboratory facilities and trained personnel. Antiretroviral therapy (ART) refers to any HIV treatment that uses a combination of two or more drugs. The goal of ART is to suppress HIV replication to a level below which drug resistance mutation does not emerge. It prevents HIV-related morbidity and mortality by increasing the number of immune cells while also decreasing the number of HIV virus present in the body. ART is recommended for all people with HIV as soon as possible after diagnosis without any restriction of CD4 count, this is essential for children because of the transition from infancy to adulthood. However, due to drug resistance mutation, the effectiveness of the ART is decreased, and viral suppression failure can happen. Viral suppression enhances the body’s immune system and prevents the transmission of HIV to other people. Keeping regular viral suppression is critical for all HIV-infected patients, especially for children who require life-long ART. HIV-infected children are considered as a priority group for routine viral load monitoring.

Studies show that virological failure occurs due to factors, such as being male in gender, age < 3 years, being orphaned, non-disclosure, poor adherence, has not being on ART for a long period and take ART suboptimal. In addition, opportunistic infections, malnutrition, were WHO stage 3 and 4, lower CD4 count (Cells/mL), initial viral load >1000 copies/mL, D4T/3TC/NVP based regimen, longer duration on ART, treatment interruption, treatment failure due to drug resistance. High viral load leads to low CD4 cell count, increasing the risk of illnesses such as severe infections and some cancers.

Viral load monitoring is important to early identify treatment failure, provide adherence counseling, and prevent unnecessary switching of children to second-line treatment or delay to switching of children to second-line treatment. The occurrence of treatment failure leads to the need for more expensive and less-tolerable second-line drugs. Sustaining a low viral load is important for children with the progression of AIDS and associated co-infections. There have been few studies on the determinants of virological failure among children in Ethiopia. Therefore, this study aimed to identify determinants of virological failure among HIV-infected children on first-line antiretroviral therapy in the West Gojjam Zone, Amhara region, Northwest Ethiopia.

Methods and Materials
Study Design, Setting and Period
An institutional-based unmatched 3:1 case–control study design was employed to assess virological failure and its determinants from October 1 to 15, 2020 at public health facilities in the West Gojjam Zone, which is found in Amhara region, northwest Ethiopia. Finote-Selam town is the capital of the Zone and is located 176 km from Bahir
Dar, the capital of Amhara national regional state. Based on the 2014 Ethiopian fiscal year (EFY) of Ethiopia (MO FED), this Zone had a total population of 2,795,775 of whom 1,395,091 were men and 1,400,684 women. Of this, the children account for about 1,193,656. At the time of data collection, 104 health centers, 6 primary hospitals, and 1 General Hospital were in the West Gojjam Zone. Of these, all hospitals and 48 health centers provide ART services, and more than 143,225 patients are on ART that account for 69% out of PLHIV in the region. According to the 2013 EFY 8 months report of the Amhara region (22,191,890 total population, above 9,461,290 are children), among 208,001 people living with HIV/AIDS (PLWHA) children account for approximately 13,000, and from 4800 total new infections, 880 are <15 years old children in this region.

Finote-Selam General Hospital, four primary hospitals (Adet, Burie, Durbetie, and Merawi) and five health centers (Adet, Burie, Dembecha, Durbetie, and Jiga) were included in the study.

Study Participants
All HIV-infected children on ART with >1000 copies/mL in two consecutive viral load measurements after 3 months, enhanced support following the first viral load test were cases (virological treatment failure), while study participants with a viral load level of ≤1000 copies/mL and taking first-line ART for at least six months were considered as a control.

Eligibility Criteria
All HIV-infected children aged <15 years and had follow-up on ART from January 2016 to June 2020 with documented viral load results, were included in the study. However, children who had a follow-up < 6 months and had not documented viral load results at the selected health institutions were excluded.

Sample Size Determination and Sampling Procedure
Sample size was determined by using Epi Info TM 7 Statcalc, unmatched case–control formula. Assumptions were 95% confidence interval, 80% power, and 1:3 case-to-to- control ratio were used. By assuming previous studies, being male in gender and current protease inhibitor-based ART regimen was a determinant of virological treatment failure. The proportion of being male by gender (control exposed 48.3 and cases exposed 66.3). The calculated sample size was 344 (258 controls and 86 cases). By adding 10% of the sample, the final sample size was found to be 378 (94 cases and 276 controls). The proportional allocation was used to obtain the required sample size for each study site. Then, the cases and controls were identified using viral load result before data collection. After identifying the cases and controls, the sampling frame was the patient's medical record number. A computer-generated simple random sampling technique was used to select cases and control groups from the list sample frame. The study participants were selected through a simple random sampling technique from a viral load registration book.

Study Variables
The main outcome was virological failure. Socio-demographic characteristics of children and their caregivers (sex, age, residency, religion, caregiver relations and status, vital status of mother and father, HIV status of caregivers, and disclosure status). Laboratory and clinical characteristics (recent hemoglobin, recent CD4 count, baseline viral load test, functional and developmental status, baseline CLINICAL stage, history of TB illness, current TB status, opportunistic illness and nutritional status). HIV treatment and drug-related characteristics (duration of ART, adherence, Co-trim oxazole, and isoniazid prophylaxis use, change of regimen, current regimen, reason for regimen change) were independent variables.

Operational Definitions
Virological failure is defined as a viral load above 1000 copies/mL based on two consecutive tests within 3-months adherence support after at least 6 months on ART.

Children: Individuals with age less than 15 years old.
Regimen change: Modification of any or all of the initial drugs.

Treatment adherence was determined using the WHO definition in the follow-up chart.

Good adherence to ART: Is a patient taking ≥95% of the recommended regimen, fair adherence to treatment is defined as a patient taking the drug between 85% and 95% of the recommended regimen, and poor adherence to treatment is defined as a patient taking <85% of the recommended regimen.

Data Collection Procedures and Quality Assurance
Data were extracted by using a structured and pretested questionnaire developed from the Ethiopian National
Comprehensive ART guidelines, available in recording and reporting formats at ART clinics. The tool was further customized based on the children's ART monitoring record book. Four clinical nurses who had basic ART training and working in ART clinics were data collectors. Two-day trainings on data collection or extraction techniques, and variables to be collected, were given to data collectors and supervisors. The principal investigator and two supervisors closely monitored the whole data collection process daily and gave feedback whenever needed. The data were checked for completeness, inconsistencies, and missing values before data entry.

Data Processing and Analysis
Data were entered into Epi data 4.2 and then exported to SPSS version 20 for analysis. After cleaning and editing the data by running simple frequencies and cross-tabulation, descriptive statistics were computed to report the demographic and clinical characteristics of cases and controls. Bivariate analysis was performed to assess for a crude association between independent and outcome variables. For variables with a P-value ≤0.25 according to the bivariate analysis, a multivariate binary logistic regression analysis was carried out to identify independent determinants of virological failure. Model of the goodness of fit was checked by Hosmer-Lemeshow goodness-of-fit test. Multicollinearity between the independent variables was also checked. Variables that have a strong association with virological failure were identified based on odds ratios (OR) with its 95% confidence interval (CI). A p-value ≤0.05 was considered to be of statistical significance.

Ethical Consideration
Ethical clearance was obtained from the ethical review committee of College of Health science, Debre Markos University with the approval reference No: HSC/R/C/Scr/Co/113/11/13 and a support letter no, HSC/R/C/Scr/Co/114/11/13 both dated on October 24, 2020. All methods were performed in accordance with the relevant regulations and according to the criteria set by Declaration of Helsinki. Informed consent was waived by the review committee as all the data source of patients’ medical record numbers was anonymously registered using codes without personal identifiers such as names of patients. Permission letters for the selected public health facilities were obtained from the West Gojjam Zonal Health Department. Permission was also secured from the respective health facilities’ leaders. The data were collected by maintaining confidentiality while reviewing the card of the patient.

Results
Socio-Demographic Characteristics
During our data extraction process, from 378 HIV-infected children, medical charts’, 370 were included and eight medical charts were excluded due to missed charts and incomplete data. A total of 94 cases and 276 controls were included in the study. Fifty-seven (60.6%) of the cases and 165 (59.8%) of the controls were males. The majority 73 (77.7%) of cases and 208 (75.4%) of controls were age group ≥10 years. Fifty (53.2%) of the cases and 162 (58.7%) of the controls were living in urban areas. Seventy (74.5%) of cases and 226 (81.9%) of the controls were living with parents (father/mother). About 70 (74.5%) of cases and 223 (81.9%) of controls were having a mother. About 41 (43.6%) of cases and 189 (68.5%) of controls were disclosed about HIV status (Table 1).

Laboratory and Clinical Related Characteristics
About 48 (51.1%) of cases and 130 (47.1%) of controls had recent hemoglobin below 12.5g/dl, respectively. Fifty-seven (60.6%) of the cases and 173 (62.6%) of controls had a recent CD4 count category ≥500 cells/mm3. About 71 (75.5%), 14 (14.9) of cases and 51 (18.5%), 62 (22.5%) of controls had baseline and recent viral load >1000 copies/mL respectively. According to functional status for age ≥5 years, majority 84 (89.4%) of cases and 253 (91.6%) of controls were working. About nine (9.6%) of the cases and 14 (5.1%) of controls had appropriate for age and developmental status. Thirty-three (24.5%) of cases and 75 (27.2%) of controls had baseline CLINICAL stage II at ART initiation. The majority 85.1% of the cases and 97.8% of controls had negative current TB status. Twenty-eight (29.8%) of the cases and 73 (26.8%) of the controls had a history of opportunistic infections (Table 2).

HIV Treatment and Drug Related Characteristics
The majority 58 (61.7%) of cases and 205 (74.3%) of controls had ≥48 months duration from the start of ART.
Twenty (21.3%) of the cases and seven (2.5%) of the controls were poor recent adherence. About 56 (59.6%) of the cases and 28 (10.1%) of controls had a history of missed clinical appointments. The Majority 70 (74.4%) of cases and 234 (84.8%) of controls had completed co-trimoxazole prophylaxis. The majority 70 (70.5%) of cases and 253 (91.7%) of controls were also provided isoniazid prophylaxis. About 43 (45.7%) of cases and 114 (41.3%) of controls were LPV/R based on the regimen. Seventy-five (79.8%) of cases and 232 (84.1%) of controls had a history of ARV drug change (Table 3).

### Virological Treatment Failure

In this study, the overall prevalence of virological failure within the first versus third monthly visit was found to be 102 (27.6) and 76 (20.5), respectively.

| Variable        | Category | Cases  | Controls | Total  |
|-----------------|----------|--------|----------|--------|
|                 | N (%)    | N (%)  | N (%)    |        |
| Sex             | Male     | 57(60.6)| 165(59.8)| 222(80.4)|
|                 | Female   | 37(39.4)| 111(40.2)| 148(53.6)|
| Age group       | <5 years | 10(10.6)| 13(4.7)  | 23(6.2)  |
|                 | 5–9 years| 20(21.3)| 55(19.9) | 75(20.3) |
|                 | ≥10 years| 64(68.1)| 208(75.4)| 272(73.5)|
| Residence       | Urban    | 50(53.2)| 162(58.7)| 212(57.3)|
|                 | Rural    | 44(46.8)| 114(41.3)| 158(42.7)|
| Religion        | Orthodox | 75(79.8)| 261(94.5)| 336(90.8)|
|                 | Muslim   | 10(10.6)| 9(3.3)   | 19(5.1)  |
|                 | Protestant| 9(9.6)  | 6(2.2)   | 15(4.1)  |
| Caregiver relation | Parents (mother/father) | 70(74.5) | 226(81.9)| 296(80.0)|
|                 | Other    | 16(17.0)| 32(11.6) | 48(12.9) |
|                 | Orphan   | 8(8.5)  | 18(6.5)  | 26(7.1)  |
| Caregiver HIV status | Positive | 70(74.5) | 223(80.8)| 293(79.2)|
|                 | Negative | 12(12.8)| 40(14.5) | 52(14.1) |
|                 | Unknown  | 12(12.8)| 13(4.7)  | 25(6.7)  |
| Vital status of the mother | Alive | 65(69.1)| 191(69.2)| 256(69.2)|
|                 | Dead     | 20(21.3)| 80(29.0)| 100(27.0)|
|                 | Do not know | 9(9.6) | 5(1.8)  | 14(3.8)  |
| Vital status of the father | Alive | 53(56.4)| 161(58.3)| 214(57.8)|
|                 | Dead     | 31(32.9)| 108(39.1)| 139(37.6)|
|                 | Do not know | 10(10.6)| 7(2.5) | 17(4.6)  |
| Disclosure status | Disclosed | 41(43.6)| 189(68.5)| 230(62.2)|
|                 | Non disclosed | 53(56.4)| 87(31.5)| 140(37.8)|

**Table 1** Socio-Demographic Characteristics of Children on First-Line ART and Their Caregivers at Public Health Facilities in the West Gojjam Zone from 2016 to 2020
Factors Associated with ART Virological Failure

The bivariate logistic regression analysis showed that non-disclosure of HIV status, baseline viral load >1000 copies/mL, WHO clinical stage, recent adherence, and missed clinical appointment were associated with virological failure.

After adjustment for possible effects of confounding variables non-disclosure (AOR = 4.26; 95% CI: 2.09, 8.70), baseline viral load >1000 copies/mL (AOR = 10.82; 95% CI: 5.4, 21.67), recent poor adherence (AOR, 6.05, 95% CI, 1.70, 21.55) and missed clinical appointments (AOR = 8.03; 95% CI: 3.88, 16.65) were found to be significantly associated factors with virological failure (Table 4).

Discussion

As described above, the prevalence of virological failure decreased from baseline to recent viral load results might
be due to an appropriate drug adherence follow-up and counseling supports including other ART management services provided to HIV infected children over time.

Our findings revealed that the odds value of virological failure among HIV-infected children with non-disclosure was 4.26 times more compared to those children with disclosed HIV status. This result was consistent with other studies done in Tanzania which revealed that full disclosure of HIV status for children was associated with a protective effect against virological failure and Dodoma municipal which showed that non-disclosed children were 7.74 times more likely to have virological failure than those compared with those disclosed. The result is also in line with the study conducted in Ethiopia which showed for a checkup that disclosure of HIV status was found to be preventive to virological failure. This could be the fact that non-disclosure increases the sense of anxiety, difficulty of taking off their treatment on time and results in reduced drug effectiveness among children.

This study found that the likelihood of developing virological treatment failure in children who had a baseline viral load results >1000 copies/mL was 10.82 times more likely to develop virological treatment failure than those children who had baseline viral load results in ≤1000 copies/mL. This study was in line with the study done in Swaziland, Uganda. This might be because children have limited evidence of viral load monitoring, which leads to poor adherence and reduced immunity.

In this study, children who had a history of poor adherence were 6.05 times more likely to develop virological

Table 3 HIV Treatment and Drug-Related Characteristics Among HIV-Infected Children at Public Health Facilities in West Gojam Zone, Amhara Region, Ethiopia, 2020

| Variable                              | Category          | Cases | Controls |
|---------------------------------------|-------------------|-------|----------|
|                                       | N (%)             | N (%) |          |
| Duration on ART                       | ≤14 months        | 15(15.9) | 7(2.5)   |
|                                       | 15–47 months      | 21(22.3) | 64(23.2) |
|                                       | ≥48 months        | 58(61.7) | 205(74.3) |
| Recent adherence                      | Good (>95%)       | 67(71.2) | 264(95.7) |
|                                       | Fair (85–95%)     | 7(7.5) | 5(1.8)   |
|                                       | Poor (<85%)       | 20(21.3) | 7(2.5)   |
| Missed clinical appointment           | Yes               | 56(59.6) | 28(10.1) |
|                                       | No                | 38(40.4) | 248(89.9) |
| Co-trimoxazole prophylaxis use        | Completed         | 70(74.5) | 234(84.8) |
|                                       | Not completed     | 24(25.5%) | 42(15.2) |
| Isoniazid prophylaxis use             | Completed         | 70(74.5) | 253(91.7) |
|                                       | Not completed     | 24(25.5%) | 23(8.3)   |
| History of ARV drug change            | Yes               | 75(79.8) | 232(84.1) |
|                                       | No                | 19(20.2) | 44(15.9)  |
| Current regimen                       | NVP based         | 19(20.2) | 61(22.1)  |
|                                       | EFV based         | 32(34.1) | 101(36.6) |
|                                       | LPV/R based       | 43(45.7) | 114(41.3) |
| Reason for ARV regimen change         | Drug side effect  | 25(26.6) | 88(31.9)  |
|                                       | New drug availability | 69(73.4) | 188(68.1) |

Abbreviations: BMI, body mass index; b/n, between.
treatment failure than those children who had a history of good adherence. The result was comparable to a study done in Thailand which showed that poor adherence increases the odds value of virological failure and Malawi which revealed that a high percentage of virological failure was due to poor adherence. The study was also in line with the study done in Dodoma municipal, Tanzania, and Senegal. Wollo. This might be due to poor adherence causing periodic viral replication that result in the development of drug resistance and limited treatment outcomes.

In the present study, those children with a history of missed clinical appointments were 8.03 times more likely to develop virological treatment failure than those with no history of missed clinical appointment. The result is in line with the study done in Senegal which showed that prolonged antiretroviral interruption was more likely to develop virological treatment failure 2.7 times when compared with no history of drug interruption. This might be because missed clinical appointment leads to missing their dose, resulting in poor adherence.

Limitations of the Study
In this study, since we used existing routinely recorded facility data, either we failed to capture some important variables due to missing records or the variables may not be required for patient care purposes. There were problems in selecting an appropriate control for the study participants. It was only possible to establish an association between virological failure and the risk factors, as it was not known what occurred first. That is, cause and effect relationships were not addressed in this study.

Conclusion
The determinants for virological treatment failure were non-disclosure of HIV status, baseline viral load >1000 copies/mL, poor adherence, and missing clinical appointment. Health workers should pay attention to disclose patients early according to their age, give strict adherence counseling, and fast trace back those who miss their clinical appointment, strictly follow for those with baseline viral loads >1000 copies/mL, and provide evidence for their clients about lab results.

Patients should take their medication as prescribed and consult their clinician when needed. Program leaders should monitor and evaluate the recording systems and improve health information system. Research studies focused on patient quality of care with HIV should be emphasized for the future.

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**Author Contributions**

All authors made a significant contribution to the work reported, whether that is in the conception, study design, execution, acquisition of data, analysis and interpretation, or in all these areas; took part in drafting, revising or critically reviewing the article; gave final approval of the version to be published; have agreed on the journal to which the article has been submitted; and agree to be accountable for all aspects of the work.

**Disclosure**

The authors report no conflicts of interest in this work.

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