Clinical and Genetic Determinants of Warfarin Pharmacokinetics and Pharmacodynamics during Treatment Initiation

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Abstract

Variable warfarin response during treatment initiation poses a significant challenge to providing optimal anticoagulation therapy. We investigated the determinants of initial warfarin response in a cohort of 167 patients. During the first nine days of treatment with pharmacogenetics-guided dosing, S-warfarin plasma levels and international normalized ratio were obtained to serve as inputs to a pharmacokinetic-pharmacodynamic (PK-PD) model. Individual PK (S-warfarin clearance) and PD (Imax) parameter values were estimated. Regression analysis demonstrated that CYP2C9 genotype, kidney function, and gender were independent determinants of S-warfarin clearance. The values for Imax were dependent on VKORC1 and CYP4F2 genotypes, vitamin K status (as measured by plasma concentrations of proteins induced by vitamin K absence, PIVKA-II) and weight. Importantly, indication for warfarin was a major independent determinant of Imax during initiation, where PD sensitivity was greater in atrial fibrillation than venous thromboembolism. To demonstrate the utility of the global PK-PD model, we compared the predicted initial anticoagulation responses with previously established warfarin dosing algorithms. These insights and modeling approaches have application to personalized warfarin therapy.

Introduction

The vitamin K antagonist, warfarin, is an oral anticoagulant commonly prescribed to prevent and treat venous thromboembolism (VTE) and decrease the risk of stroke in atrial fibrillation (AF). [1] Warfarin therapy is complicated by the wide interindividual variation in response and dose requirements for adequate anticoagulation. Optimal warfarin therapy is achieved by maintaining the anticoagulation response, international normalized ratio (INR), within a narrow therapeutic range of 2.0 to 3.0 for most indications. Due to the unpredictable pharmacokinetic (PK) and pharmacodynamic (PD) responses to warfarin, initiation of therapy is the most clinically challenging phase as the optimal dose is often determined iteratively, guided by INR.[2]

Warfarin is administered as a racemic drug; however, the S-warfarin enantiomer is 3–5 times more potent than R-warfarin.[3] CYP2C9 is the primary enzyme responsible for metabolism of S-warfarin,[4] and studies have consistently shown that CYP2C9 polymorphisms (rs1057910, rs1057910) significantly contribute to the variable warfarin response.[5] Non-genetic factors of warfarin PK variability and dose requirements are also important. For example, age and co-administration with drugs that inhibit or induce CYP2C9 can alter S-warfarin elimination.[6,7,8,9,10] Moreover, S-warfarin volume of distribution is dependent on weight.[11,12] Taken together, it has been estimated that PK factors determine 26-40% of warfarin maintenance dose variability.[10,13,14]

Warfarin exerts its anticoagulation effects by inhibiting vitamin K epoxide reductase (VKOR encoded by the VKORC1 gene), the enzyme responsible for recycling oxidized vitamin K epoxide to its hydroquinone form, an essential cofactor for activation of clotting factors II, VII, IX and X.[15] It is appreciated that single nucleotide polymorphisms (SNPs) in VKORC1 result in altered warfarin sensitivity while rare mutations have been linked to warfarin resistance.[8,16] Of note, the common promoter SNP (VKORC1 -1639G>A, rs9923231) is likely the causative variation responsible for greater warfarin sensitivity.[17,18] In addition to CYP2C9 and VKORC1 polymorphisms, several studies have
reported that a functional SNP in CYP4F2 (c.1297G>A, rs2108622), the metabolizing enzyme for vitamin K,[19] also
determines dose requirement.[20,21] Furthermore, diet has long
been considered an important environmental determinant of
warfarin response. Indeed, reduced anticoagulation response was
observed in warfarin-stabilized patients with intake of vitamin K-
rich foods,[22,23] and vitamin K status was associated with
warfarin sensitivity at the onset of treatment.[24]

With the intent of improving warfarin anticoagulation therapy,
a number of algorithms have been proposed which incorporate
genetics as well as clinical parameters to predict individualized
maintenance dose.[9,25,26] Many of the factors influencing required maintenance dose such as age, body surface area, drug
interactions and importantly, CYP2C9 genotype relate to their
effects on S-warfarin PK parameters, such as volume of
distribution and clearance.[7,8,9,27] The influence of genetics and
clinical parameters on S-warfarin PD variability is less clear.
Although the influence of VKORC1 genetic variations and vitamin K
intake on dose and anticoagulation response is evident, the
quantitative and dynamic influence of these variables on PD
parameters, such as drug affinity and maximal inhibition, has not
been well established.[28] Moreover, there is a paucity of information regarding the influence of other genetic and clinical
variables on S-warfarin PD variation.

In this study, we aimed to separate warfarin pharmacokinetic
factors from intrinsic pharmacodynamic factors to elucidate
crucial covariates of each, and their contribution to the overall
anticoagulation response variation. To this end, PK-PD modeling
was applied to a cohort of patients commencing warfarin therapy
using a novel initiation protocol.[29]

**Materials and Methods**

**Study subjects and design**

Patients with AF (n = 61), VTE (n = 98) or other conditions
(n = 8) were prospectively enrolled to evaluate the safety and
efficacy of a pharmacogenetics-guided warfarin initiation protocol.
Patient characteristics and clinical outcomes were described
previously in detail.[29] The inclusion criteria for study enrolment
were minimum of 18 years of age and indication for new warfarin
therapy for at least 3 months with a target INR range of 2.0 to 3.0.
Patients were excluded on the basis of diagnosis of cancer other
than non-melanoma skin cancer, alcohol or drug abuse, baseline
INR>1.4, known warfarin allergy/intolerance, terminal disease,
prior use of warfarin or vitamin K use within 2 weeks prior to
study enrolment, and pregnancy. The majority of patients were
Caucasian (95%) with mean age of 60 years (range, 19–88) and
mean weight of 84 Kg (43–155). The allelic frequencies for
VKORC1 c.1639G>A and CYP2C9 c.1297G>A were 38.0% and
31.7%, respectively. The CYP2C9 and 3 allelic frequencies were
11.1% and 4.8%, respectively. There was no homozygous
CYP2C93 carrier in this population. Amiodarone, statin, anti-
platelet, antibiotic, antifungal and NSAID medication use were
present in 2%, 45%, 55%, 6%, 1% and 12% of the cohort,
respectively.

The Warfarin Regimen using A Pharmacogenetics-guided
Initiation Dosing (WRAPID) protocol has been described
elsewhere.[29] Briefly, a 2-day loading dose (according to VKORC1
and CYP2C9 genotype) was administered, followed by a day 3 INR
measurement that was used in combination with the maintenance
algorithm to determine the subsequent dose. Two subsequent INR
measurements were obtained within the first 9 days of therapy
where the maintenance dose was further adjusted accordingly to
the dose adjustment nomogram. Simultaneous with INR moni-
toring, additional blood samples were collected for drug level
analysis.

This study was conducted at the London Health Sciences Centre
and The Ottawa Hospital upon approval by Research
Ethics Boards at the University of Western Ontario and Ottawa
Hospital. Patients requiring initiation of warfarin therapy were
prospectively screened for study eligibility and informed written
consent was acquired.

**Genotyping**

Genomic DNA was isolated with Gentra Puregene or DNA
Blood Midi extraction kit (Qiagen, Valencia, CA). At London
Health Sciences Centre, genotypes were determined by allelic
discrimination using TaqMan Drug Metabolism Genotyping
assays with the 7500 RT-PCR System (Applied Biosystems,
Carlsbad, CA). At Ottawa Health Research Institute, genotypes
were determined using the Luminex 200 system (Luminex, Austin,
TX).

**Warfarin drug level analysis**

Racemic warfarin and internal standard (IS) R/S-para-chloro-
warfarin were purchased from Sigma-Aldrich. Plasma was
extracted from patient blood samples within 1 hour of collection
and stored at −80°C until use. Total S-warfarin plasma
concentration was determined using liquid chromatography-
tandem mass spectrometry (LC-MS/MS). Briefly, 300 µL of
acetonitrile and 25 µL of IS was added to 100 µL of plasma and
centrifuged at 14,000 rpm for 20 min. The resulting supernatant
was added to 5 mM ammonium acetate pH 4 (1:3 v/v). Warfarin
and IS enamotiens were separated with the Astec CHIROBIO-
TIC® V Chiral Column (5 cm×4.6 mm, 5 µm particle size)
using gradient elution with 5 mM ammonium acetate (pH 4) and
acetonitrile (5 to 70%) in a 10 min run time. The MS was set in
negative mode for detection of warfarin and IS with transitions
307.2→160.0 m/z and 340.8→160.0 m/z, respectively. Calibration
curves were prepared by spiking blank plasma with known
concentrations of R/S-warfarin. The lowest limit of quantification
was 1 ng/mL for both enamotiens. The interday coefficient of
variation and bias of S-warfarin quality controls was 10.5% and
9.3%.

**Proteins induced by vitamin K absence factor II (PIVKA-II)
assay**

PIVKA-II concentrations were analyzed with use of an enzyme-
linked immunosorbent assay kit as per manufacturer’s protocols
(Diagnostica-Stago, Parsippany, NJ).

**Kidney function**

We measured patient plasma creatinine concentrations by LC-
MS/MS. Briefly, creatinine and the IS, creatinine-D3, was
purchased from Sigma-Aldrich and Toronto Research Chemicals,
respectively. Creatinine and IS were separated with the reverse-
phase Hypersil Gold column (50x5 mm, 5 µM particle size) using
isocratic elution with 25% 1% formic acid in water v/v and 75%
acetonitrile with 1% formic acid v/v in a 7 min run time. The MS
was set in positive mode for detection of creatinine and IS with transitions
114.1→44.3 m/z and 117.1→47.3 m/z, respectively. The
lowest limit of quantification was 50 ng/mL. The interday
coefficient of variation and bias of creatinine quality controls was
8.7% and 6%. eGFR was estimated using the Chronic Kidney
Disease Epidemiology Collaboration (CKD-EPI) equation,[30] and

Renal function was categorized according the National Kidney
Foundation’s classification of chronic kidney disease.
PK-PD modeling

S-warfarin PK was described using a linear one-compartment model with a set volume of distribution (V; 0.14 L/kg) on a per patient basis.[12] The time-course of plasma S-warfarin concentration (C_p) arose from the interplay between first-order drug absorption (k_a) and drug elimination (k_e) processes. Parameter values for k_a were fixed (28.56 day⁻¹) based on the literature.[31] Bioavailability was assumed to be complete.[32] Individual k_e values were obtained by least squares fitting (Scientist, Micromath, St. Louis, MO) of the concentration data during the first 9 days with prescribed doses as input. Clearance (Cl) was calculated as the product of V and k_e.

S-warfarin PD was described by an established indirect response model which incorporates the known delay in anticoagulation effects.[33] In this model, the rate of change in INR was modeled using zero-order input (K) and first-order output (k_out) variables. Plasma S-warfarin levels (C_p) modulate the output response according to classical inhibition kinetics, described by parameters maximum inhibitory factor (I_max), i.e. inversely related to enzyme content) and drug affinity (IC50).[34] Since VKORC1 -1639G>A promoter SNP has been correlated with altered mRNA expression levels, I_max values were expected to vary with VKORC1 genotype. K_max and k_max values in the indirect response model were both fixed at 1. The IC50 for S-warfarin was fixed at 1500 ng/mL, as reported previously.[35] The following equation describes the PD model.

\[
\frac{d\text{INR}}{dt} = R - \text{INR} \cdot k_{out} \left(1 - \frac{I_{\text{max}} \cdot C_p}{IC50 + C_p}\right)
\]

The response analysis was conducted following estimation of individual S-warfarin plasma concentrations. These estimated drug concentrations were used in combination with measured INRs to estimate the individual PD parameter, I_max by least squares fitting.

We note that clearance and I_max parameter estimates should be considered independent of the dosing regimen and anticoagulation responses observed in the WRAPID study because estimations of individual warfarin clearance and individual drug concentration-response profile are unaffected by the doses received.

Vitamin K epoxide reductase protein expression in human liver

The collection and processing of liver samples was described elsewhere.[36] In order to obtain a positive control for VKOR protein analysis, the enzyme was over-expressed in cells using previously described protocol.[37] For this purpose, human VKORC1 cDNA was amplified from a human liver cDNA library using primers 5'-TGGAGATAATGGGACGACCTGGGGG-3' (forward) and 5'-GTGGAGGGGCTCAGTGCCCTTAGCCTTG-3' (reverse). Samples were separated by SDS-PAGE on 4–10% gels (Invitrogen, Carlsbad, CA) and subsequently transferred onto nitrocellulose membranes. Blots were probed with a custom anti-VKOR antibody (kindly provided by Dr. Kathleen Berkner, Learner Research Institute, Cleveland Clinic [38]) and subsequently probed with anti-rabbit horseradish peroxidase-labeled secondary antibodies (Bio-Rad, Hercules, CA). The bands were detected using the BM Chemiluminescence Western Blotting Substrate (Roche, Indianapolis, IN) and KODAK ImageStation 4000 MM (Carestream, Rochester, NY). Protein expression levels were normalized to a wild-type VKORC1 sample (HLM100), repeated on all blots.

Determinants of warfarin kinetics and response

Regression analysis was performed to determine factors affecting S-warfarin clearance and I_max. Since the distribution of both of these parameters in our patient population was skewed, square-root transformation was adopted to normalize the data.

The variables age, gender, body weight, amiodarone use, other known interacting medications, indication for warfarin therapy, kidney function, vitamin K status as measured by PIVKA-II, VKORC1 genotype, CYP2C9*2 and *3 genotype were considered as covariates for both S-warfarin clearance and I_max. The covariates were added to the model according to the stepwise forward regression. A P-value<0.05 was considered as significant and the variable was subsequently entered into the equation; variables included with P-values>0.1 in subsequent models were removed.

The models with significant covariates were then internally validated through bootstrapping. Bootstrapping was achieved by random sampling with replacements to obtain 1000 samples, allowing estimation of the standard error and the 95% confidence interval (CI) of parameter estimates. Potential collinearity between variables was assessed using condition indices and variance proportions.

The clearance and I_max regression equations were then integrated with the PK-PD model in order to predict and compare anticoagulation response profiles following initiation with various nomograms for typical warfarin patients.

Statistical analysis

The Kruskal-Wallis one-way analysis of variance followed by Tukey’s test for pairwise comparisons was employed for the following analysis: S-warfarin concentration differences with respect to VKORC1 genotype, influence of VKORC1 genotype on attainment of therapeutic INR and dose, effect of VKORC1 genotype on liver protein expression, relationship between S-warfarin clearance and VKORC1 genotype and I_max. Mann-Whitney U’s test was employed to examine the effect on S-warfarin clearance and warfarin indication effect on I_max. A two-tailed P-value of less than 0.05 was considered significant for all analyses. Statistical analysis was performed with the use of GraphPad Prism v.5.0 (GraphPad, La Jolla, CA) or SPSS v. 17.0 (SPSS, Chicago, IL).

Results

PK-PD model performance

We fitted the individual patient S-warfarin plasma levels during the first 9 days of therapy to a one-compartment PK model (Figure 1A) to furnish estimates of S-warfarin clearance. The S-warfarin clearance estimated here was similar to that previously observed.[39] Moreover, good fits to individual patient levels with the PK model were obtained (Figure 1C). Overall, the PK model was sufficiently accurate in describing the data as linear regression analysis for predicted and actual S-warfarin concentrations yielded a coefficient of determination (r²) of 0.91, with a slope of 0.92 (Figure 1D). The mean absolute error (MAE) between estimated and actual was 0.02 μg/mL, and 88% of these estimated values were within 25% of actual concentrations.

An indirect response model was used to estimate maximal inhibitory factor (I_max), the PD parameter related to the amount of hepatic VKOR enzyme. Here, the S-warfarin plasma concentration-INR response relationship is governed by the parameters IC50 (related to warfarin affinity to VKOR) and I_max where at constant IC50, increasing I_max enhances drug sensitivity (Figure 1B). Individual predicted S-warfarin concentrations estimated from
the PK model in conjunction with observed INR values served as inputs for the PD model. Fits to individual patient INRs over the initiation period were good (Figure 1E). Linear regression analysis for predicted and actual INR values of the entire data set yielded an $r^2$ of 0.89, with a slope of 0.91 (Figure 1F). The MAE was 0.17, and 90% of these estimated values were within 25% of actual INR.

**Determinants of S-warfarin clearance**

Mean S-warfarin clearance was 7.5 L/day (SD 3.4) with a range of 0.8 to 20.8, indicating a more than 20-fold interindividual variability in S-warfarin PK (Figure 2A). S-warfarin clearance was significantly associated with CYP2C9 genotype with mean clearance values of 8.1, 7.0, 4.3, 4.5, and 2 L/day, for CYP2C9*1/*1, *1/*2, *1/*3, *2/*2, and *2/*3 genotypes, respectively (Figure 2B). Interestingly, lower S-warfarin clearance was observed in patients with decreased renal function as estimated by glomerular filtration rate (eGFR) (Figure 2C, P<0.0001). The cohort average eGFR was 91 mL/min/1.73 m² (SD 23) with a range of 22 to 140. Moreover, eGFR was significantly decreased with increase in age (P<0.0001, data not shown). Gender also had an influence on S-warfarin clearance, where on average, females had significantly lower clearance compared to males (Figure 2D, P<0.001). With stepwise regression, clearance was found to be dependent on CYP2C9*3 allele, kidney function, gender, and CYP2C9*2 allele, in order of covariate entry into the regression equation. VKORC1 genotype was without influence on S-warfarin clearance. The $r^2$ of the final model for clearance estimation was 36.5%. Parameter estimates of the final clearance model and bootstrap validation results are given in Table 1.

**Therapeutic S-warfarin plasma concentration correlates with VKORC1 genotype**

S-warfarin plasma concentrations on day 7/8/9 were statistically different between VKORC1-1639G>A genotype groups (P<0.0001) despite similar INR (Figure 3A). Patients carrying at least one -1639G>A allele required lower plasma concentrations than wild-type patients for similar therapeutic efficacy, and this was gene-dose dependent (Figure 3A, 3B). The mean plasma S-warfarin concentrations for VKORC1 A/A, G/A, and G/G genotype groups were 0.291 ng/mL (SD 0.157), 0.347 ng/mL (0.170), and 0.503 ng/mL (0.217), corresponding to mean warfarin daily doses of 4.4 mg (2.7), 5.0 mg (2.5) and 7.6 mg (3.0), respectively.

**Determinants of S-warfarin PD**

The mean $I_{max}$ value for subjects was 2.7 (SD 1.0), with a range of 0.3 to 6.9, demonstrating a more than 20-fold interindividual variability in S-warfarin PD (Figure 3C). A significant relationship between VKORC1-1639G>A genotype and $I_{max}$ (Figure 3D, P<0.0001) was observed. The mean $I_{max}$ values for VKORC1 A/A, G/A, and G/G genotypes were 3.7 (SD 1.2), 2.8 (1.0) and 2.2 (0.7), respectively.

Stepwise regression analysis indicated that $I_{max}$ was dependent on VKORC1 genotype, indication for warfarin, pre-treatment plasma proteins induced by vitamin K absence [PIVKA-II] concentration, CYP4F2 1297C>T genotype, and weight, in order of covariate entry into the regression equation. The $r^2$ of the final model for $I_{max}$ estimation was 41%. Parameter estimates of the final $I_{max}$ model and the bootstrap validation results are given in Table 2. The mean baseline PIVKA-II concentration was 7.1 ng/mL (SD 4.8), with a range of 1.8 to 30.6, indicating that majority of our patients did not exhibit vitamin K deficiency. $I_{max}$ was greater in patients with AF than VTE, denoting that VTE patients were more resistant to warfarin’s therapeutic effect. Moreover, there was an additive effect of VKORC1 genotype and indication, where VTE patients had lower $I_{max}$ than AF patients irrespective of VKORC1 genotype (Figure 3E). VTE patients who were VKORC1 G/G carriers had the lowest average $I_{max}$ (2.1) while AF and A/A carriers had the highest $I_{max}$ (4.4). These findings imply that differences in INR response would be evident between patients with AF and VTE when warfarin is initiated by a common dosing protocol. Indeed, we found a more rapid response in patients with AF in comparison to VTE over the first week of therapy (Figure 3F), despite that the WRAPID protocol eliminated the previously known genetic and clinical contributors to early response variability.[29]

**Correlation of VKORC1 genotype to hepatic VKOR protein levels**

With Western blot analysis, hepatic microsomal VKOR had electrophoretic mobility consistent with an 18 kDa protein while the two immunoreactive bands observed in over-expressed VKOR control samples likely represent differentially glycosylated forms of the protein (Figure 4A, 4B, 4C). VKOR protein level was significantly correlated to VKORC1 genotype (Figure 4D, P<0.05), where the VKORC1 G allele was associated with higher liver enzyme level than the A allele.

**Simulated anticoagulation response with different warfarin initiation protocols**

To demonstrate the utility of the PK-PD model, we simulated and compared INR response profiles of individuals with different combinations of covariates using different published dose initiation schemes.[25,29,40,41,42] Specifically, we compared response-time curves of typical patients following initiation with our WRAPID protocol,[29] the Kovacs nomogram (non-pharmacogenetics, validated in VTE) with the day 8 dose refinement algorithm,[42] and finally, initiation with the pharmacogenetics-based as well as clinical-only maintenance dose algorithms available at www.warfarindosing.org incorporating the recently published day 4 dose refinement algorithm.[25,40] Doses were adjusted according to simulated INR values on days 3, 5, and 8 for WRAPID and Kovacs and on day 4 for warfarindosing.org as per nomogram. Clearance and $I_{max}$ values were calculated based on regression equations (Tables 1 and 2) for various VKORC1 and CYP2C9 genotype combinations in typical AF and VTE patients. Homozygous CYP2C9*3 patients were not considered in the simulations as we did not encounter such individuals in our population. We used CYP4F2 wild-type C/C genotype (increased sensitivity) for all calculations of $I_{max}$. Figure 5 illustrates the predicted effect of VKORC1 or CYP2C9 variant allele burden on responses of AF and VTE patients initiated with WRAPID.
Table 1. Multiple linear regression analysis of independent predictors of S-warfarin clearance (L/day).

| Entry into model | Predictor Variable | B     | Standard error | 95% CI         | $R^2$ after entry (%) | P in final model |
|------------------|--------------------|-------|----------------|------------------|-----------------------|------------------|
| 1                | Intercept          | 3.105 | 0.072          | 2.966, 3.248     | -                     | <0.0001          |
| 1                | CYP2C9*3, per allele | -0.812 | 0.151          | -1.093, -0.510  | 14.3                 | <0.0001          |
| 2                | eGFR               | -0.278 | 0.080          | -0.417, -0.145  | 24.9                 | <0.0001          |
| 3                | Gender (F)         | -0.357 | 0.081          | -0.511, -0.186  | 32.3                 | <0.0001          |
| 4                | CYP2C9*2, per allele | -0.274 | 0.080          | -0.427, -0.113  | 36.5                 | <0.0001          |

CI, confidence interval; CYP2C9, cytochrome P450 2C9; eGFR, estimated glomerular filtration rate in mL/min/1.73 m$^2$; F, female.

* Coded as follows: $\geq$90 mL/min/1.73 m$^2$, 0; 60–89, 1; 30–59, 2; 15–29, 3; $\leq$15, 4.

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Figure 2. Determinants of S-warfarin clearance. (A) Frequency distribution of estimated S-warfarin clearance, shown as percent of total patients for each bin. (B) Relationship between CYP2C9 genotype and S-warfarin clearance. Lines represent mean clearance. (C) S-warfarin clearance is significantly correlated with kidney function, as defined by eGFR. (D) Observed S-warfarin clearance segregated by gender. Lines represent mean clearance. eGFR, estimated glomerular filtration rate.* P<0.05, ** P<0.005, ***P<0.0005.

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Figure 3. Determinants of maximal inhibitory factor, $I_{\text{max}}$. (A) Box-and-whisker plots of $S$-warfarin plasma concentration and INR on days 7/8/9 segregated by VKORC1 -1639G>T genotype. Box-and-whisker plots representing VKORC1 gene-dose effect during initiation. The top and bottom of the boxes represents 25th and 75th percentile, respectively; median is represented by the middle line, whiskers are the 95% CI, and outliers are identified as closed circles. (B) Warfarin daily dose on days 7/8/9 with respect to VKORC1 genotype. (C) Frequency distribution of estimated $I_{\text{max}}$ shown as percent of total patients for each bin. (D) Association between VKORC1 genotype and $I_{\text{max}}$. Results are represented as mean with standard deviation. (E) Additive effect of indication for warfarin therapy and VKORC1 genotype on $I_{\text{max}}$. (F) INR time course for patients with AF and VTE over the initial 10 days of therapy with common genetics-guided dosing protocol. Results are represented as mean with 95% CI of the standard error. AF, atrial fibrillation; INR, international normalized ratio; VTE, venous thromboembolism.* $P$ values are not used in simulations of patients initiated with warfarindo-therapy.

Discussion

Warfarin initiation is a challenging therapeutic phase, associated with the highest occurrence of major bleeding events and thromboembolism.[43,44,45,46] Thus, effective initiation protocols that pre-emptively account and adjust for interindividual variability have significant potential to improve warfarin anticoagulation therapy.

A major contributor to dose requirement and response is $S$-warfarin clearance. The analysis demonstrates that kidney function, gender, $CYP2C9^*2$ and $^*3$ genotype are major determinants of $S$-warfarin clearance. The finding that $S$-warfarin clearance is reduced in renal impairment supports recent studies that found relationships between both warfarin dose requirement and propensity for over-anticoagulation with kidney function.[47,48] Although age has been correlated with decreased warfarin clearance, we failed to observe this relationship after multivariate regression that included both age and eGFR.[7,8,27] It is plausible that age, as a contributor to clearance, somewhat reflects age-related decline in renal function. Indeed, we note that including eGFR as an additional factor into the regression analysis resulted in 36.5% of clearance variation explained, while only 27.6% of this variation was accounted for when eGFR was absent and age included in the analysis. Interestingly, gender was a significant independent contributor to $S$-warfarin clearance in this study, with females having 22% lower $S$-warfarin clearance than males. While females require lower doses than males for similar anticoagulation quality and efficacy [49], there remain conflicting reports on the role of gender on $S$-warfarin pharmacokinetics. [7,8,27] Drug interactions, particularly with amiodarone and antifungals, are significant contributors to variable warfarin response.[50,51,52] Because of the limited number of patients in this cohort taking these medications, we did not find associations between concomitant drugs and warfarin clearance.

Larger studies in patients are required to better characterize the quantitative influence of co-administered drugs on warfarin clearance.

While determinants of $S$-warfarin PK have been studied, less is known regarding determinants of the $S$-warfarin plasma concentration-response relationship. We identified VKORC1, weight, indication for warfarin, PIVKA-II and CYP4F2 genotype as significant predictors of $S$-warfarin $I_{\text{max}}$, the PD parameter that governs the magnitude of observed anticoagulation INR response. In addition, we demonstrate that promoter -1639G>A SNP results in lower hepatic VKOR protein expression. In concordance with that previously observed for warfarin-stabilized patients,[53] there was a significant relationship between VKORC1 genotype and $S$-warfarin plasma concentrations at the end of the initiation phase where therapeutic INR was achieved. Taken together, the VKORC1 -1639G>A promoter SNP confers lower hepatic expression, thus lower plasma $S$-warfarin concentrations and dose required for optimal anticoagulation.

The finding that $I_{\text{max}}$ differences exist between AF and VTE patients, following adjustment of confounding variables such as age and weight, suggests that indication for treatment maybe a prominent contributor to response variability during initiation. This may be attributed to different coagulability states among patients during therapy initiation, in addition to inherent disease differences between the two subsets of patients. Further studies are required to investigate the physiological basis mediating the PD differences.

Table 2. Multiple linear regression analysis of independent predictors of $I_{\text{max}}$.

| Entry into model | Predictor Variable | B       | Standard error | 95% CI       | R² after entry (%) | P in final model |
|-----------------|-------------------|---------|----------------|--------------|--------------------|-----------------|
| 1               | Intercept         | 1.383   | 0.101          | 1.156, 1.570 | -                  | <0.0001         |
| 1               | VKORC1, per allele| 0.211   | 0.030          | 0.148, 0.267 | 26.3               | <0.0001         |
| 2               | Indication (VTE)  | -0.281  | 0.049          | -0.380, -0.190 | 34.9               | <0.0001         |
| 3               | PIVKA-II (ng/mL)  | 0.017   | 0.007          | 0.005, 0.033 | 37.9               | <0.05           |
| 4               | CYP4F2, per allele| -0.072  | 0.031          | -0.133, -0.009 | 39.6               | <0.05           |
| 5               | Weight (Kg)       | 0.002   | 0.001          | 0.000, 0.004 | 41.0               | <0.05           |

CI, confidence interval; CYP4F2, cytochrome P450 4F2; $I_{\text{max}}$, maximal inhibitory factor; PIVKA-II, proteins induced by vitamin K absence; VKORC1, vitamin K epoxide reductase complex subunit 1; VTE, venous thromboembolism.

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differences between AF and VTE patients. It is also of interest to know whether this dynamic difference would diminish or be maintained throughout the course of anticoagulation therapy. Indeed, indication for anticoagulation was an independent determinant of maintenance dose in the present cohort and one dose algorithm (warfarindosing.org) incorporates VTE as a factor requiring higher warfarin maintenance dose.[25] In the present study population, we find a 2.3 mg/day difference in mean maintenance dose between AF and VTE patients. This value is greater than the 0.7 mg/day difference predicted by the WRAPID maintenance dose algorithm when accounting for the average age (21 yrs) and weight (6.5 kg) differences between the AF and VTE groups. While a component of this maintenance dose difference is likely related to the lack of consideration of renal function differences among the disease groups with the WRAPID algorithm, the current PK-PD analysis suggests that indication for anticoagulation remains a contributor to warfarin dose.

In agreement with previous studies linking CYP4F2 genotype and vitamin K intake to warfarin dose requirement,[18,23,24] our data demonstrate that pre-treatment plasma PIVKA-II levels and CYP4F2 1297G>A genotype affects S-warfarin PD sensitivity during initiation. PIVKA-II, a des-carboxylated form of pro-thrombin, is a direct biomarker for liver vitamin K status and dietary intake.[54] We did not find a relationship between PIVKA-II level and CYP4F2 genotype during statistical analysis or collinearity during stepwise regression of Imax for this cohort of patients. Taken together, our findings highlight the importance of the balance between the VKOR agonist (vitamin K) and antagonistic (warfarin) concentrations in achievement and maintenance of optimal anticoagulation, particularly during initiation.

An important outcome of this study was the formulation of an overall PK-PD model that incorporates the determinants of warfarin kinetics and response. We demonstrate the utility of the model to predict S-warfarin concentrations and INR response curves in simulated individuals initiated with different protocols. The simulations predict substantial differences in initial anticoagulation responses depending on the initiation scheme, indication for warfarin treatment and burden of genetic variation in CYP2C9 and VKORC1. Within all of the initiation protocols examined, AF patients would be predicted to have greater initial response than VTE. The indication difference exaggerates the effect of genetic polymorphisms on response especially for initiation protocols that do not consider CYP2C9 and VKORC1 genotypes. Interestingly, the simulations indicate that the Kovacs nomogram results in a safe and rapid initiation in VTE patients, consistent with the observed good safety profile in real-world patients.[41,55] On the other hand, the Kovacs nomogram is predicted to be less optimal for in AF as it may pose an over-anticoagulation risk in these patients. Simulations in patients initiated with pharmacogenetics-based dosing algorithms suggest they would be safe and effective for both patient populations and that the response curves of individuals possessing variant alleles were similar. In comparing initiation with WRAPID and warfarindosing.org, time to therapeutic range was delayed without the use of loading dose. In the case for VTE, delayed attainment of therapeutic INR may have economic consequences as bridging therapy with low molecular weight heparins would need to be extended when loading doses are not administered. The simulations also forecast the time-course of initial INR responses in the large multi-centered randomized clinical trial comparing outcomes between pharma-

Figure 4. The influence of VKORC1 -1639G>A promoter genotype on hepatic VKOR protein expression levels. (A, B, C) VKOR expression determined in 17 healthy human livers by Western blot analysis. The band intensity was normalized to HLM100. A positive control sample was included on each blot. (D) Semiquantitative measurement of hepatic expression in relation to VKORC1 genotype. * P<0.01. doi:10.1371/journal.pone.0027808.g004
cogenetic and clinical-based warfarin dosing (Clarification of Optimal Anticoagulation Through Genetics, COAG trial) as defined by warfarindosing.org algorithms. The model predicts significant differences in INR response between the two dosing methods during initiation, particularly for patients harbouring variant alleles.

While maintenance dose prediction algorithms typically utilize a number of clinical and genetic parameters, these models are not designed to delineate how each parameter affects warfarin PK, PD or both. The formal PK-PD analysis described herein demonstrates that the interindividual variation in both components of the overall warfarin response can be separated and quantitatively ascribed to respective combinations of non-genetic and genetic factors. Moreover, our integrated PK-PD model allows for robust prediction of INR response profiles particularly during initiation phase of therapy following any initiation-dose scheme, in addition to assessment of covariate effect on responses by altering PK or PD estimates. It should be noted that based on the current model form and input parameters, the PK determinants only account for 36.5% of the variability observed in S-warfarin clearance, while PD determinants accounted for 41% of the Imax variation. For this reason, it is expected that the current model would not provide precise response estimations on an individual patient basis due to the large variation still unaccounted for.

In conclusion, the data presented here provides additional insight into the combination of patient characteristics contributing to warfarin PK and PD variability, in turn allowing better prediction of anticoagulation response outcomes without the need for intensive sampling of drug concentrations. Until there is a better understanding of additional determinants of PK and PD variation, and better quantitative characterization of drug-drug interactions, the current model may be useful for predicting outcomes in populations of patients within the context of comparing the effectiveness of various dosing algorithms in early attainment and maintenance of therapeutic INR responses and in guiding future study designs.

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Author Contributions

Conceived and designed the experiments: IYG UIS NC GKD AL-L PSW RBK RGT. Performed the experiments: IYG UIS NC RGT. Analyzed the data: IYG. RGT. Wrote the paper: IYG RGT. Critical revision of the paper: IYG UIS NC GKD AL-L PSW RBK RGT.

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