Unmanned aerial vehicle for the assessment of woody and herbaceous phytomass in Sahelian savanna

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To cite this version:
Marina Bossoukpe, Ousmane Ndiaye, Ousmane Diatta, Sékouma Diatta, Alain Audebert, et al.. Unmanned aerial vehicle for the assessment of woody and herbaceous phytomass in Sahelian savanna. Revue d’Elevage et de Médecine Vétérinaire des Pays Tropicaux, 2021, 74 (4), pp.199-205. 10.19182/remvt.36802. hal-03518631

HAL Id: hal-03518631
https://hal.inrae.fr/hal-03518631
Submitted on 10 Jan 2022

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INTRODUCTION

The Sahel is an ecological transition zone between the Sahara to the north and the Sudanese savannas to the south. The climate is characterized by a long dry season (lasting from 9 to 11 months) followed by a short rainy season, with an increasing gradient in rainfall quantity and in the length of the rainy season from north to south. Rainfall displays a high spatiotemporal variability (L'Hote et al., 2002). Due to limited water availability, the vegetation in the Sahel belongs to the savanna type (Le Houerou, 1980) where herbaceous and woody communities coexist in a low woody cover. Annual herbaceous plants mostly grow during the rainy season. The main agricultural activity is transhumant pastoralism (Garba et al., 2012), which mainly depends on natural herbaceous and woody plants. Years with low rainfall can cause high mortality among the livestock (Lesnoff et al., 2012).

Because of the high climate variability and strong dependence of the local population on natural resources, the assessment of available resources (i.e. phytomass) is a key challenge for pastoralism and therefore for Sahelian countries’ economy. Assessments are typically based on field measurements that are then scaled up using empirical statistical relationships applied to satellite imagery (Brandt et al., 2015). Field measurements are extremely time and labor consuming. Indeed, in the Sahel, larger areas need to be sampled (Levang and Grouzis, 1980) to cover the high heterogeneity of the herbaceous layer (Hiernaux et Gérard, 1999; Le Houerou, 1980; White, 1970). Moreover, woody and herbaceous species are usually assessed separately, thus preventing a global view of these ecosystems’ state.

Unmanned aerial vehicle for the assessment of woody and herbaceous phytomass in Sahelian savanna

Marina Bossoukpe1 Ousmane Ndiaye2 Ousmane Diatta2 Sékouna Diatta1 Alain Audebert3,4 Pierre Couteron5 Louise Leroux6 Abdoul Aziz Diouf7 Morgane Dendoncker8 Emile Faye9,10 Simon Taugourdeau11

Keywords
Above ground biomass, trees, grasses, grassland management, multispectral imagery, Sahel, Senegal

Summary

The phytomass of herbaceous and woody plants is the main source of feed for pastoral livestock in the Sahelian savanna. The assessment of the available feedstock plays a key role in national livestock policies and generally requires many field measurements of both herbaceous and woody plants. In this study, we tested the possibility of using a red-green-blue (RGB) unmanned aerial vehicle (UAV) to evaluate the phytomass of both woody and herbaceous species. We thus mapped 38 one-hectare plots with a Dji Spark UAV in Northern Senegal. The herbaceous phytomass was measured on the ground. For the woody communities, we evaluated the leaf phytomass using dendrometric parameters combined with allometric equations. We performed partial-leave-square regressions between UAV-based three-dimension and color indices and phytomass. Results showed a Q² (cross-validation results for each response variable) of 0.57 for woody phytomass, 0.68 for herbaceous dry mass, and 0.76 for their fresh mass. This study confirmed the relevance of using low-cost RGB UAV to assess savanna phytomass.

How to quote this article: Bossoukpe M., Ndiaye O., Diatta O., Diatta S., Audebert A., Couteron P., Leroux L., Diouf A.A., Dendoncker M., Faye E., Taugourdeau S., 2021. Unmanned aerial vehicle for the assessment of woody and herbaceous phytomass in Sahelian savanna. Rev. Elev. Med. Vet. Pays Trop., 74 (4): 199-205, doi: 10.19182/remvt.36802

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18. https://creativecommons.org/licenses/by/4.0/
19. Submitted: 10 December 2020
20. Accepted: 7 October 2021
21. Published: 20 December 2021
22. DOIL. 10.19182/remvt.36802
The use of unmanned aerial vehicles (UAV) has considerably increased in recent years following lower prices, miniaturization, improved-sensor resolutions, and their easier use (piloting and flight programing) in particular to monitor vegetation across the world (Grenzdörffer et al., 2008). UAV have many advantages: they enable the production of very-high-resolution spatial images (less than 1 cm for red-green-blue [RGB] camera) at low cost with no weather constraints. Moreover, as images taken by UAV have sufficient overlap to a certain extent, the same object is photographed several times from slightly different angles and, thanks to a series of overlapping images, a three-dimensional (3D) model can be built by photogrammetry (Bossebouke et al., 2021). From the 3D model, a digital surface model (DSM) and digital terrain model (DTM) can be computed to evaluate the height, the volume (based on the height) and the area of the object. This approach has already been used to measure the characteristics of trees in different types of ecosystems such as in orchards (Sarron, 2019), plantations (Anifantis et al., 2019), drylands (Cunliffe et al., 2016), tropical forests (Bourgoign et al., 2020) and natural savannas (Mayr et al., 2018).

Other tools than the UAV can be used to assess the woody and herbaceous phytomass. For instance, very-high-resolution-spatial (VHRS) images from satellites have been used to assess tree density (Brandt et al., 2020), and so has the tree-leaf area index (Taugourdeau et al., 2014). However, VHRS remote sensing tools have a lower spatial resolution than onboard UAV sensors. Furthermore, VHRS images are very costly and affected by cloudy conditions.

UAV sensors allow the computation of different VHRS RGB vegetation indices depending on the spectral specificity of onboard sensors. These indices can then be linked to different biophysical vegetation variables, particularly those of the herbaceous layer of crops or natural species. These indices can be combined with volumes obtained from the 3D model, then used to assess yield or biomass using multispectral mosaics for grassland (Pecina et al., 2021) or cropland (Roupsard et al., 2020). Some studies only used RGB indices (Lussem et al., 2019). UAV with RGB are widely available and much cheaper than multispectral UAV. It could be a relevant tool in developing countries where the funding of research institutes, agencies, and producer organizations are limited. Low-cost UAV could be very appropriate for these different users if they produce good enough results. In the Sahelian savannas both woody and herbaceous strata contribute to the available phytomass, i.e. the use of UAV is a possible way to monitor the two vegetation layers. The objective of the present study was thus to test the interest of using a UAV system with RGB images to estimate the phytomass of both herbaceous species and woody plants in Sahelian pastoral areas.

**MATERIALS ET METHODS**

The overall process of acquisition and data analysis are presented in Figure 1.

**Study area**

This study was conducted at the Center for Zootechnical Research (French abbreviation CRZ) of Dahra Djoloff in the sylvopastoral zone of Senegal, otherwise known as Ferlo. CRZ extends over 6800 hectares that are managed by the Institut sénégalais de recherches agricoles (ISRA) (Raynal, 1964). Mean annual rainfall over the last 50 years was 371.6 mm (Fall, 2014). The soils are mainly sandy. We studied the vegetation on 38 one-hectare sites. The plots were chosen based on several vegetation dynamics (Raynal, 1964). Two types of data were acquired: (i) images with Dji Spark UAV and (ii) data for the phytomass collected in the field. All plots were georeferenced.

![Figure 1: Graphic representation of the methodology used to assess grass and foliar phytomass with UAV in Sahelian savanna; SM: surface model; TM: terrain model; CHM: canopy height model; NGRDI = normalized difference between red and green canals (Red-Green) / (Red Green); NGBDI = between green and blue canals (Green-Blue) / (Green Blue); NRBDI = difference normalisée entre les canaux rouges et bleus (Red-Blue) / (Red Blue); NGRDI = entre les canaux rouges et verts (Red-Green) / (Red Green); NGBDI = entre les canaux verts et bleus (Green-Blue) / (Green Blue)](image-url)
Images acquired with DJI Spark

We used a Da-Jiang-Innovation (DJI) model Spark UAV. This very light drone has an integrated 12 M pixels RGB camera in the visible band. The focus was set in automatic mode for all the shots. Images were acquired in jpeg format and geotagged using Spark-embedded global-positioning system (GPS). UAV was piloted from an android tablet. We used Litchi application to build the flight plans (https://flylitchi.com/).

For each plot, one flight with six transects of 100 m separated by 20 m was performed at 80 m altitude and 5 m.s\(^{-1}\) speed. Images were acquired in autofocus mode (ISO exposure was automatically adjusted) at two-second intervals throughout the flight. We did not make any calibration. A color reference was put on the ground but the use of this reference induced poorer results (results not shown). We first tested the flight protocol with no calibration to see if a very simple protocol could be used. The angle of view was 80°. The frontal overlap was about 90% and the side one about 80%. The flights were performed from October 1 to 15, 2018, in any light and weather conditions (at the end of the rainy season).

Field measurements

On each of the 38 one-hectare plots, we randomly selected ten one-meter-square quadrats. Next to each quadrant, a trash bag in the shape of an arrow was placed on the ground to enable us to identify correctly the quadrats on the images. All the flights took place before field measurements. Herbaceous vegetation in each quadrant was sampled in October 2018. The herbaceous phytomass was collected by cutting the grasses at ground level. The samples were directly weighed to obtain fresh phytomass (FM). A 300-g FM composite sample was made up for each plot (composite of the 10 quadrats). Each of the 38 composite samples was dried at 65°C until mass stabilization to measure the dry matter content (%DM) of each plot. The same mean %DM value was attributed to all the quadrats in one plot as it was impossible to dry all the samples.

Woody plants were measured in a subsample of 24 plots out of the 38 in January 2019 and January 2020. On each of the 24 plots, 10 adult trees (>1.3 m height; >5 cm trunk diameter) were selected. The selection aimed to cover the diversity of the tree morphology present on the plot and was based on their height distribution from the canopy height model (CHM, see below) in order to have small, medium and tall woody individuals. Their GPS coordinates were obtained from the UAV outputs (see below). For each individual, the species was recorded and the diameter at 30 cm from ground level was measured. The diameter measurement at this height is recommended for the determination of an arrow was placed on the ground to enable us to identify correctly the quadrats on the images. All the flights took place before field measurements. Herbaceous vegetation in each quadrant was sampled in October 2018. The herbaceous phytomass was collected by cutting the grasses at ground level. The samples were directly weighed to obtain fresh phytomass (FM). A 300-g FM composite sample was made up for each plot (composite of the 10 quadrats). Each of the 38 composite samples was dried at 65°C until mass stabilization to measure the dry matter content (%DM) of each plot. The same mean %DM value was attributed to all the quadrats in one plot as it was impossible to dry all the samples.

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Image analysis

The jpeg images acquired with UAV were processed with Pix4Dmapper software (www.pix4d.com). The automatic photogrammetric processing follows the structure from motion principles and comprises three main steps: initialization, densification, and model building. The initiation process detects and bundles the characteristic image objects (i.e. tie points) between overlapping parts of the geotagged images to create a sparse point cloud. In the densification step, the software looks for corresponding pixels and generates a densified point cloud thanks to a dense matching algorithm. Finally, by projecting UAV images on this cloud of points, the software generates orthorectified mosaics in tiff format. For each plot we used the basic settings (‘3D map’) of the software\(^1\) to generate an RGB orthomosaic, a digital surface model (DSM) and DTM in Universal-Transverse-Mercator coordinates system-zone 28 N, datum WGS84. DSM is produced by the surface reconstruction method based on the point cloud. DTM is generated by using interpolating ground points based on the method by Becker et al. (2017). Some bare ground areas were present in some plots but we assumed that DTM was able to separate grass layer from soil.

The output rasters (orthomosaic, DSM, DTM) were exported to ArcGis to extract geometric data. Finally, the canopy height model was calculated as the difference between DSM and DTM. Figure 2 presents for one plot the four UAV outputs (mosaic, DSM, DTM and CHM). The orthomosaic and DSM had a ground sample distance (GSD) of 2.58 cm. MNT and CHM had a GSD of 12.9 cm, i.e. five times that of DSM.

To account for the diversity of tree heights in each plot, ten trees of different sizes were selected on the orthomosaic and the contour of their crowns was manually delineated. The one-meter-square quadrats were also delimited based on the presence of the markers (trash bags) on the ground.

The average and maximum CHM heights were extracted from all the quadrats and for all the selected trees (object). The volume was obtained by multiplying the sum of the heights of all pixels within an object by the unit area of the CHM raster pixel.

Colorimetric information (red, green and blue) was extracted for each tree or each herbaceous square. We directly used the digital number. We did not normalize the colors. Indeed, we did not have a ground reference that could have been used to correct the colors between plots. The only option would have been to normalize each plot separately but it would have created more differences between the different plots. Our goal was to test the relevance of using digital number directly in our indices. Subsequently, the normalized difference indices between the channels taken pairwise were calculated according to the following formula (\(C1\) and \(C2\) representing color 1 and color 2, respectively).

\[
NCIC2DL = \frac{(C1-C2)}{(C1+C2)}
\]

Three indices were calculated: (i) normalized difference between the red and blue canals (NRBDI: [red-blue] / [red blue]); (ii) normalized difference between the red and green canals (NGRDI: [red-green] / [red green]); and (iii) normalized difference between the green and blue canals (NGBDI: [green-blue] / [green blue]). Lussem et al. (2018) found that NGRDI was an interesting index. The indices were calculated with the average colors of the object.

For the 10 trees per plot, the crown area was extracted from the orthomosaic and the ratio of this area to the maximum tree height was calculated. All UAV outputs and field measurements are available online (https://zenodo.org/record/5145395) (see Supplementary Materials for statistics on both tree and herbaceous subplots; UAV and phytomass).

Data analysis

Partial-least-square (PLS) regression (Tenenhaus, 1998) was used to predict woody and herbaceous phytomass with R plsdepot package (Sanchez, 2012). Herbaceous phytomass, fresh mass and dry mass were related with the PLS using the maximum height in the square (max), mean square height (mean), the height standard deviation

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1. https://support.pix4d.com/hc/en-us/articles/115002472186-Processing-steps
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Figure 2: UAV outputs in Sahelian savanna. A) Orthomosaic with the position of the plot, the 10 selected trees and the 1-m² quadrats. B) DSM: digital surface model with the plot and the 10 selected trees. C) DTM: digital terrain model with the plot limits. D) CHM: canopy height model obtained by the difference between DSM and DTM. Images obtenues par drone dans la savane sahélienne. A) Orthomosaïque avec la position de la parcelle, les 10 arbres sélectionnés et les quadrats de 1 m². B) DSM : modèle numérique de surface avec la parcelle et les 10 arbres sélectionnés. C) DTM : modèle numérique de terrain avec les limites de la parcelle. D) CHM : modèle de hauteur de la canopée obtenu par la différence entre DSM et DTM.

Herbaceous phytomass

Figure 4 shows the correlation circle obtained from the PLS regression on dry and fresh matter phytomass (respectively DM and FM). R² was 0.71 for the first axis and 0.02 for the second. Q² for DM was 0.67 for the first axis and 0.02 for the second (cumulative Q² = 0.68). Q² for FM was 0.73 for the first axis and 0.09 for the second (cumulative Q² = 0.76). Both masses were strongly correlated and linked with the two-color indices calculated with the green color: NBGDI and NGRDI (see Suppl. Mat. for predicted vs observed phytomass).

DISCUSSION

Our results showed that low-cost UAV can be used to evaluate efficiently the herbaceous phytomass and the woody leaf biomass. The percentage of variance explained by UAV outputs was around 60% for the woody leaf phytomass and 70% for the herbaceous phytomass. The woody phytomass was mainly related to the 3D variables (area, height) and not to the color-based indices. Many studies have shown that UAV-based indices can be linked to the morphological features of trees (Surový et al., 2018). However, in our case, 41% of the variability of the woody phytomass was not explained by any UAV output. One part of the error observed could be due to the way we calculated the woody phytomass. We used allometric equations based on the trunk diameter. Collecting the woody phytomass (all the leaves of the tree) is laborious, so allometric equations with a few individuals are generally preferred and are not available for all species (Henry et al., 2011). Another source of imprecision could be due to the error from the allometric equation.
An additional source of uncertainty could be the accuracy of the GPS positioning of the images. Indeed, Spark only records the geolocation at the second degree (around 10 m) with no decimal point (contrary to other commonly used UAV for photogrammetry that have better geolocation accuracy). This limited geolocation capacity impacts the first step in PIX4D software. In the first step, the geolocation is corrected based on key points that are similar in different images. The geolocation of the image is the only referential that defines all the measurements that are used to define all the metrics in the 3D model so it can change. One way to correct the referential would be to position a ‘reference’ of known height, length and width (for example a car) in the plot. The most recent UAV such as DJI Mavic or Parrot Anafi have better geolocation accuracy. The problem is less important for herbaceous plants because they are mostly related to color based variables.

We did not correct the color reflectance between the different plots and the flights did not take place in exactly the same meteorological and sunlight conditions. That means that we did not use a color reference on the ground nor flew in normalised light conditions (i.e. flying only in homogenous cloudy conditions, or before sunrise or after sunset). We were not able to compare data with and without calibration but one part of the unexplained biomass variability could be explained by the differences in light conditions. Another possibility to improve the quality of the relationship would be to test some other vegetation indices based on RGB colors (Xue et al., 2017). In our work, we only used visible colors. In many remote sensing studies, infrared is used to evaluate the vegetation biomass. We can assume that infrared could improve the quality of the prediction. Hyperspectral UAV could also capture the quality and not only the quantity of forage (Gao et al., 2019). However, multi- and hyper-spectral UAV are more expensive than visible UAV.

In our work the 3D indices based on photogrammetry were not related to herbaceous phytomass. In other studies (Lussem et al., 2019; Possch et al., 2016), 3D indices were more relevant as predictors. These studies were mostly based on experimental designs that created more visible differences in plant heights. Furthermore, flight altitude was lower (around 20 m above ground). We chose a flight height of 80 m to obtain a good 3D representation of the woody layer (Sadeghi et al., 2019). Indeed, in low flight height, the distance between the tree crown and the captor is too short, which can be problematic in the photogrammetry of woody individuals and sometime of the whole plot.

CONCLUSION

This study shows that it is possible to use low-cost UAV to predict woody and herbaceous phytomass in the savanna. For the woody phytomass, the estimation is mainly based on the 3D structure of the woody individuals. The link between UAV and tree structure is generic and not impacted by the UAV type. For herbaceous plants,
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we may assume that the relationships are less generic and have to be calibrated for each study. UAV can be used as an intermediate tool between field measurements and remote sensing images usually used for vegetation monitoring. UAV can produce a biomass map of several hectares considering the vegetation variability. The UAV map produced can be linked with remote sensing data. The use of UAV is one way to reduce the sampling effort in the field that is usually undertaken to monitor vegetation in this region. The very high resolution RGB mosaics are also useful to study spatial patterns of vegetation and particularly the impact of the woody cover on the herbaceous cover.

Acknowledgments
This research was financed by the Carbon Sequestration and Greenhouse Gas Emissions in (Agro) Sylvo-pastoral ecosystems in the Sahelian CIUSS States (CaSESSC) project, supported by the European Union under the Development Smart Innovation through Research in Agriculture (DeSIRA) Initiative. The opinions expressed in this article are not necessarily those of the European Union.

Author contributions statement
MB, ST and EF carried out conceptualization, data curation and formal analysis. OD, ON and MD performed investigation. ST made the figures, wrote the original draft and obtained funding. MB, EF, OD, ST, MD, OD developed the methodology. MB, OD, ON, SD, AA, PC, LL, AAD, MD, EF contributed to reviewing and editing.

Conflicts of interest
The authors declare no conflict of interest.

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**Résumé**

Bossoukpe M., Ndiaye O., Diatta O., Diatta S., Audebert A., Couteron P., Leroux L., Diouf A.A., Dendoncker M., Faye E., Taugourdeau S. Un drone pour l’évaluation de la phytomasse ligneuse et herbacée des savanes sahéliennes

La phytomasse des plantes herbacées et ligneuses est la principale source d’alimentation du bétail pastoral dans les savanes sahéliennes. L’évaluation de la matière première disponible joue un rôle clé dans les politiques nationales d’élevage et nécessite généralement de nombreux relevés de mesures de terrain des plantes herbacées et ligneuses. Dans cette étude, nous avons testé la possibilité d’utiliser un drone à bandes spectrales rouge-vert-bleu (RGB) pour évaluer la phytomasse des espèces ligneuses et herbacées. Avec un drone Dji Spark nous avons ainsi cartographié 38 parcelles d’un hectare dans le nord du Sénégal. La phytomasse herbacée a été mesurée au sol. Pour les communautés ligneuses, nous avons évalué la phytomasse foliaire en utilisant des paramètres dendrométriques associés à des équations allométriques. Nous avons effectué des régressions des moindres carrés partiels entre les indices (en trois dimensions et couleurs) obtenus par drone et la phytomasse. Les résultats ont montré un $Q^2$ (résultats de validation croisée pour chaque variable de réponse) de 0,57 pour la phytomasse des ligneux, 0,68 pour la masse sèche des herbacées, et 0,76 pour leur masse fraîche. Cette étude a confirmé la pertinence de l’utilisation d’un drone RGB à faible coût pour l’évaluation de la phytomasse des savanes.

**Mots-clés** : biomasse aérienne, arbre, graminée, imagerie multispectrale, conduite des herbages, Sahel, Sénégal

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**Resumen**

Bossoukpe M., Ndiaye O., Diatta O., Diatta S., Audebert A., Couteron P., Leroux L., Diouf A.A., Dendoncker M., Faye E., Taugourdeau S. Un dron para la evaluación de la fitomasa leñosa y herbácea de las sabanas sahelianas

La fitomasa de las plantas herbáceas y leñosas es la principal fuente de alimentación del ganado de pastoreo en las sabanas sahelianas. La evaluación de la materia prima disponible juega un rol clave en las políticas nacionales de cría y requiere generalmente numerosas tomas de medidas de terreno de las plantas herbáceas y leñosas. En este estudio, hemos examinado la posibilidad de utilizar un dron de bandas espectrales rojo- verde-azul (RGB) para evaluar la fitomasa de las especies leñosas y herbáceas. Con un dron Dji Spark hemos así cartografiado 38 parcelas de una hectárea en el norte de Senegal. La fitomasa herbácea fue medida en el suelo. Para las comunidades leñosas, hemos evaluado la fitomasa foliar utilizando parámetros dendrométricos asociados a ecuaciones alométricas. Hemos efectuado regresiones de mínimos cuadrados parciales entre los índices (en tres dimensiones y a color) obtenidos mediante dron y la fitomasa. Los resultados mostraron un $Q^2$ (resultados de validación cruzada para cada variable de respuesta) de 0,57 para la fitomasa de las leñosas, 0,68 para la masa seca de las herbáceas y 0,76 para su masa fresca. Este estudio confirmó la pertinencia de la utilización de un dron RGB de bajo costo para la evaluación de la fitomasa de las sabanas.

**Palabras clave**: biomasa sobre el suelo, árboles, gramineas, imágenes multiespectrales, manejo de praderas, Sahel, Senegal
