Two-Level Stability Analysis of Complex Circuits

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Abstract—A new methodology is proposed for the small- and large-signal stability analysis of complex microwave systems, containing multiple active blocks. It is based on a calculation of the system characteristic determinant that ensures that this determinant does not exhibit any poles on the right-hand side (RHS) of the complex plane. This is achieved by partitioning the structure into simpler blocks that must be stable under either open-circuit (OC) or short-circuit (SC) terminations. Thus, the system stability is evaluated using a two-level procedure. The first level is the use of pole-zero identification to define the OC- or SC-stable blocks, which, due to the limited block size, can be applied reliably. In large-signal operation, the OC- or SC-stable blocks are described in terms of their outermost conversion matrices. The second level is the calculation and analysis of the characteristic determinant of the complete system at the ports defined in the partition. The roots of the characteristic determinant define the stability properties. The Nyquist criterion can be applied since, by construction, the determinant cannot exhibit any poles in the RHS. In addition, one can use pole-zero identification to obtain the values of the determinant zeroes. Because the determinant is calculated at a limited number of ports, the analysis complexity is greatly reduced.

Index Terms—Conversion-matrix approach, Nyquist criterion, pole-zero identification, stability analysis.

I. INTRODUCTION

Designers of nonlinear circuits often find qualitative differences between the solution simulated and the solution measured [1]–[5]. This is due, in most cases, to the instability of the solution simulated [6]–[10], which may give rise to undesired oscillations and other phenomena [11]–[16]. The prediction of the stability properties is essential when using frequency-domain methods, such as an analysis based on the scattering parameters, in the case of a linear circuit, or harmonic balance (HB), in the case of a nonlinear one [2], [16]–[17]. This is because these methods only provide the steady-state solution and are unable to predict the reaction of this solution to the small perturbations that are always present in real life. Thus, complementary stability-analysis techniques are necessary. The mu factor and stability circles [18], used for the potential instability analysis of a two-port network, are limited to small-signal operation [19]–[22]. They can only be applied if the two-port network is stable when unloaded (Rollet proviso) [23], which must be verified with a performant stability analysis. In view of these limitations [19]–[22], other methods are used. There are two major types of rigorous stability-analysis methods: those based on the use of the Nyquist criterion [2], [3], [15], [20]–[22], [24], [25] and those based on a pole-zero analysis [26]–[33].

The methods based on the Nyquist criterion [20]–[22], [24], [25] enable a global evaluation of the solution stability in a single analysis, which simultaneously accounts for the whole circuit structure, without any problems of observability. However, the Nyquist criterion cannot be applied to complex functions \( F(s) \) that are susceptible to exhibit both zeroes and poles in the right-hand side (RHS) of the complex plane [24]. This is because the number \( T \) of clockwise encirclements around the origin of the Nyquist plot \( F(j\Omega) \), where \( \Omega \) is the imaginary part of \( s \), provides the difference between the number \( Z \) of RHS zeroes of \( F(s) \) and the number \( P \) of RHS poles of \( F(s) \), that is, \( T = Z - P \) [20]–[22], [24], [25]. If the chosen function \( F(s) \) can exhibit both RHS zeroes (agreeing with the characteristic roots that define the solution stability properties) and RHS poles, there can be a wrong prediction of the stability properties, due to the subtraction of \( P \). Obtaining a function that cannot exhibit both RHS zeroes and RHS poles is not an easy task. For instance, an ordinary impedance or admittance function, calculated at a given circuit node or branch, can have both \( Z \neq 0 \) and \( P \neq 0 \) [24]. This is because the derivatives of the intrinsic nonlinearities with respect to their control variables (responsible for the circuit activity) affect both the numerator and the denominator. In contrast, the characteristic determinant calculated from the perturbed HB formulation [2], [16], [25] cannot exhibit RHS poles. This is because the Jacobian matrix of the intrinsic nonlinearities only affects the numerator of the determinant. Thus, the poles of this determinant can only come from the passive linear elements and are necessarily located on the left-hand side (LHS) of the complex plane. RHS poles are not possible either in the normalized determinant function defined in [20]–[22]. This is obtained through the calculation of a sequence of open-loop transfer functions (one per active element) or, more recently, from an admittance representation of the network at the nodes of the nonlinear elements susceptible to give rise to instability. The method is accurate and complete when having access to the device intrinsic terminals. It is less reliable when the devices are described with black-box models containing linear and nonlinear elements.

Unlike the methods based on the use of the Nyquist criterion, the pole-zero analysis [26]–[32] is applied to a closed-loop transfer function that can contain both RHS poles and
RHS zeros. This is because the poles and zeroes are distinctly detected, which in the identification method is achieved by fitting the transfer function with a quotient of polynomials. The pole-zero analysis [26]–[32] relies on the fact that all the transfer functions that can be defined in a linear system share the same denominator and therefore should exhibit the same poles [26]–[31]. These poles agree with the roots of the characteristic determinant that define the stability properties. However, unlike the poles, the zeroes depend on the particular transfer function and cancellations/quasi-cancellations of RHS poles and RHS zeroes may take place [30]. If the quasi-canceled poles are invalid (due, for instance, to a too high identification order), one may believe that the circuit is unstable when it is stable. However, the quasi-canceled poles may also be due to a low observability of an existing instability. The recent method [32], based on projecting the closed-loop transfer function on an orthogonal basis of stable and unstable functions, prevents artificial quasi-cancellations that can result from the fitting with a rational function. However, this method can still miss instabilities due to a low observability. As stated in [32], the chance of missing instabilities is reduced through a sequential analysis of many different transfer functions. This may become demanding in complex multidevice structures [33].

Here, a two-level methodology for the stability analysis of complex systems is proposed, which formalizes an idea used in [34], [35] for the small-signal stability analysis of non-Foster networks [36], [37]. In addition, it addresses the significantly more complex case of the large-signal stability analysis. The new method relies on the calculation of the system characteristic determinant, thus coping with the observability limitations of the closed-loop stability analysis. The determinant is calculated in a manner that ensures that even if the composing blocks are stable, the complete system at the reference ports defined in the partition. The use of a small number of ports facilitates the calculation and analysis of the characteristic determinant that define the stability properties. SC terminations. Note that the method does not impose the stability of the blocks that are connected together. Instead, what the method requires is a (virtual) decomposition of the system into (other) blocks that are stable under either OC or SC terminations. As will be shown, the number of analysis ports associated with each block can be increased to facilitate the fulfillment of the OC or SC stability.

A. Open-and Short-Circuit Stabilities

In a driving-point impedance function [38]–[41], the excitation corresponds to a small-signal current \( I(s) \) and the response is a voltage \( V(s) \)

\[
Z(s) = \frac{V(s)}{I(s)} = \frac{p(s)}{q(s)}
\]  

(1)

where \( Z(s) \) is the transfer function and \( p(s) \) and \( q(s) \) are, respectively, the polynomials of the numerator and denominator. It is assumed that in (1), there are no cancellations of RHS poles and RHS zeroes, due to hidden instabilities. In the absence of the current source, \( I(s) = 0 \) (OC termination), one will have the following characteristic equation [38]:

\[
q(s)V(s) = 0
\]  

(2)

where \( q(s) \) is the characteristic polynomial. The stability of the block itself (in the absence of input sources) is defined by the roots of \( q(s) \) or characteristic roots. Comparing (1) and (2), under an OC termination, these roots agree with the poles of the transfer function in (1). If all the characteristic roots are in the LHS, the analyzed block is OC-stable.

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Fig. 1. Open and SC stability. (a) OC-stable blocks. Analysis in terms of impedances. (b) SC-stable blocks. Analysis in terms of admittances.

II. Small-Signal Stability Analysis

The new analysis method is based on partitioning the system into simpler active blocks that are stable under either OC or SC terminations. Note that the method does not impose the stability of the blocks that are connected together. Instead, what the method requires is a (virtual) decomposition of the system into (other) blocks that are stable under either OC or SC terminations. As will be shown, the number of analysis ports associated with each block can be increased to facilitate the fulfillment of the OC or SC stability.

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Now, a system composed of two blocks (Block\(_1\) and Block\(_2\)), in the absence of input sources, will be considered. The blocks are connected as shown in Fig. 1 and are assumed to be open-circuit stable (OC-stable) [see Fig. 1(a)]. In terms of the common branch current, one can write the following characteristic equation:

\[
[Z_1(s) + Z_2(s)]I(s) = Z_T(s)I(s) = F(s)I(s) = 0
\]  

(3)
In this one-dimensional case, \( F(s) \) is conceptually equivalent to the characteristic determinant. Because Block\_1 and Block\_2 are OC-stable, neither \( Z_1 \) nor \( Z_2 \) can have any poles in the RHS. Representing \( Z_1 \) and \( Z_2 \) in a manner analogous to (1), one can express \( F(s) \) as

\[
F(s) = Z_1(s) + Z_2(s) = \frac{p_1(s)}{q_1(s)} + \frac{p_2(s)}{q_2(s)} = \frac{p_1(s)q_2(s) + p_2(s)q_1(s)}{q_1(s)q_2(s)}.
\]

(4)

The stability properties of the two-block system are defined by the zeroes of \( F(s) \). In agreement with the derivations in [38]–[41], the function \( F(s) \) cannot have any RHS poles because neither \( q_1(s) \) nor \( q_2(s) \) can exhibit any RHS roots, since the respective blocks are OC-stable. Thus, the function \( F(s) \) will not suffer from any uncertainties associated with the possible cancellations or quasi-cancellations of RHS zeroes and poles.

Now, the perturbation behavior of the same system of two OC-stable blocks will be analyzed in terms of the common node voltage \( V(s) \). The characteristic equation is

\[
(Y_1(s) + Y_2(s))V(s) = F_p(s)V(s) = 0
\]

(5)

where the admittance functions \( Y_1(s) \) and \( Y_2(s) \) are the inverses of \( Z_1(s) \) and \( Z_2(s) \). Taking this into account, one obtains

\[
F_p(s) = Y_1(s) + Y_2(s) = \frac{q_1(s)}{p_1(s)} + \frac{q_2(s)}{p_2(s)} = \frac{p_1(s)q_2(s) + p_2(s)q_1(s)}{p_1(s)p_2(s)}.
\]

(6)

The physical system is the same in the two cases, so, as expected, the zeroes of \( F_p(s) \) (defining the system stability) agree with those of (4). However, unlike the situation in (4), the function \( F_p(s) \) can exhibit RHS poles, coming from the zeroes of \( p_1(s)p_2(s) \), or, equivalently, the zeroes of the OC-stable transfer functions \( Z_1(s) \) and \( Z_2(s) \) of the individual blocks.

For the dual case of two stable blocks under a short-circuit termination (SC-stable), we will consider two other blocks (Block\_1' and Block\_2'), as shown in Fig. 1(b). Because these two blocks are SC-stable, their corresponding admittance functions \( Y_1'(s) \) and \( Y_2'(s) \) do not have any RHS poles. Expressing the characteristic system in terms of the common-node voltage, the stability properties are defined by the zeroes of the following function, agreeing with the characteristic roots:

\[
F'(s) = Y_1'(s) + Y_2'(s) = \frac{p_1'(s)}{q_1'(s)} + \frac{p_2'(s)}{q_2'(s)} = \frac{p_1'(s)q_2'(s) + p_2'(s)q_1'(s)}{q_1'(s)q_2'(s)}.
\]

(7)

In a manner analogous to (4), the function \( F'(s) \) cannot exhibit any RHS poles, so it will not suffer from uncertainties associated with the possible cancellations or quasi-cancellations of RHS zeroes and poles.

In summary, to avoid the possible coexistence of RHS zeroes and RHS poles, OC-stable blocks should be represented in terms of impedances and SC-stable blocks should be represented in terms of admittances. On the other hand, a system composed of OC-stable blocks must be analyzed in terms of the branch currents and a system composed of SC-stable blocks must be analyzed in terms of the node voltages.

### B. New Analysis Methodology

To generalize the analysis, a system with \( N \) active blocks (containing both linear and nonlinear elements), interconnected through a purely passive linear network, will be considered. For an insightful derivation, the simplest case of \( N \) one-port active blocks will be initially considered, as in the case of circuits coupled at their output ports. In general, depending on the block connections, two or more ports per active block may be necessary, and this multiport case is treated later in this section. Initially, it will be assumed that the \( N \) one-port active blocks are OC-stable, so they will be described with their corresponding impedance functions \( Z_{A,n} \), where \( n = 1, \ldots, N \). In turn, the passive linear network will be described with its \( N \times N \) impedance matrix \( Z_P \).

One must guarantee that there are no hidden instabilities in the blocks defined by \( Z_{A,n} \). With this aim, the OC stability of each active block will be evaluated inside the block through pole-zero identification applied to a transfer function defined at a sensitive location (device terminals), ensuring observability. As usual, the stable behavior must be confirmed by repeating the analysis at different locations [26]–[31]. If the OC block is stable, none of its associated transfer functions can exhibit RHS poles (and this will also be the case for \( Z_{A,n} \)). Considering, as stated, one-port active blocks, the characteristic system is

\[
\begin{bmatrix}
Z_{A,1}(s) & 0 & \cdots & 0 \\
0 & Z_{A,2}(s) & \cdots & 0 \\
\vdots & \vdots & \ddots & \vdots \\
0 & 0 & \cdots & Z_{A,N}(s) \\
Z_{P,11}(s) & \cdots & Z_{P,1N}(s) \\
\vdots & \vdots & \ddots & \vdots \\
Z_{P,N1}(s) & \cdots & Z_{P,NN}(s)
\end{bmatrix}
\begin{bmatrix}
\Delta I_1 \\
\Delta I_2 \\
\vdots \\
\Delta I_N
\end{bmatrix} = \begin{bmatrix} 0 \\
0 \\
\vdots \\
0 \end{bmatrix}
\]

(8)

where \( s \) is the perturbation frequency and \( \Delta I_1 \) to \( \Delta I_N \) are the current increments at the connection branches. Note that (8) must respect the actual connection between the system blocks, that is, it must be a valid representation of the perturbed system (without eliminating any elements). The stability properties are defined by the roots of the characteristic determinant

\[
\text{det} \left [ \begin{bmatrix}
Z_{A,1}(s) & 0 & \cdots & 0 \\
0 & Z_{A,2}(s) & \cdots & 0 \\
\vdots & \vdots & \ddots & \vdots \\
0 & 0 & \cdots & Z_{A,N}(s) \\
Z_{P,11}(s) & \cdots & Z_{P,1N}(s) \\
\vdots & \vdots & \ddots & \vdots \\
Z_{P,N1}(s) & \cdots & Z_{P,NN}(s)
\end{bmatrix} \right ] = 0.
\]

(9)

Obviously, under the OC-stability condition imposed on the \( N \) active blocks, the characteristic determinant (9) cannot exhibit any RHS poles, so the Nyquist criterion can be
applied reliably. In some cases, the complexity of the Nyquist plot can be reduced by multiplying (8) by the inverse of the passive linear matrix \([Z_p(s)]\), which cannot introduce any RHS poles. Because the active blocks are stable (with no possibility of cancellation of RHS zeroes and with RHS poles), any instability resulting from the block connection should be detectable through (9). In case the blocks are SC-stable, the characteristic system should be written in terms of admittance matrices

\[
\begin{bmatrix}
Y_{A,1}(s) & 0 & \cdots & 0 \\
0 & Y_{A,2}(s) & \cdots & 0 \\
\vdots & \vdots & \ddots & \vdots \\
Y_{P,11}(s) & \cdots & Y_{P,1N}(s) & \vdots \\
Y_{P,N1}(s) & \cdots & Y_{P,NN}(s) & \vdots
\end{bmatrix}
\begin{bmatrix}
\Delta V_1 \\
\vdots \\
\Delta V_N
\end{bmatrix}
= \begin{bmatrix}
0 \\
\vdots \\
0
\end{bmatrix}
\]

(10)

where \(Y_{A,n}\), where \(n = 1, \ldots, N\) are the admittance functions of the SC-stable active blocks, which compose a diagonal matrix, \([Y_p(s)]\) is the admittance matrix describing the purely passive linear network (we assume that this matrix is defined), and \(\Delta V_1\) to \(\Delta V_N\) are the voltage increments at the block ports. As in the case of (8), (10) must respect the actual connection between the system blocks, that is, it must be a valid representation of this system (without short-circuiting any elements). As in the previous case, the SC stability is evaluated inside each block through pole-zero identification applied to a transfer function defined at a sensitive location, ensuring observability. Under the SC-stability condition imposed on the active blocks, the characteristic determinant associated with (10) cannot exhibit any RHS poles, so the Nyquist criterion can be applied reliably.

The two systems (8) and (10) can be extended to the case of active blocks described with two-port networks (or, in general, \(L\)-port networks). In the case of OC-stable active blocks, impedance elements \(Z_{A,n}\) in (8) will become matrices. The dimension of the passive linear matrix \([Z_p]\) will increase to accommodate the extra ports, but the analysis procedure will be analogous. An analogous procedure would also be applied in the case of the admittance-type analysis in (10). The extension of the formulation to a system containing both OC-stable and SC-stable blocks is possible, though it will rarely be needed.

Regarding the guarantee of existence of a system decomposition into OC/SC stable blocks, note that by defining the active-block ports in (10) at the intrinsic device terminals, one would obtain the formulation in [2], [16], and [25], providing a characteristic determinant that, by construction, cannot exhibit any RHS poles. Departing from the above limit case, one can argue that the OC/SC stability condition will be fulfilled by most transistors (including their extrinsic elements) at their external terminals since it agrees with the Rollet proviso that enables the application of the widely spread (though limited) Rollet criteria. On the other hand, it is highly unlikely that all the transistors become OC/SC unstable when adding some passive linear elements. Regarding the procedure for the decomposition, one should initially consider the ports of the active subcircuits responsible for particular functions, such as amplification and frequency multiplication. Particularizing, for instance, to an impedance analysis, if some of these subcircuits are OC unstable, one should define additional ports in these subcircuits. An example is presented in the following.

The method has been applied to the small-signal stability analysis of a system composed of three Class-E power amplifiers (based on an ATF50189 transistor) under output coupling effects [see Fig. 2(a)]. In driven conditions and when isolated from the system, the amplifier reaches a peak efficiency of 60% with an output power of 24 dBm. The coupled system in Fig. 2(a) can be representative of instability mechanisms due to output mismatch [46]–[49] and enables a reliable validation of the new analysis method with pole-zero identification. In a practical application, the output coupling may be due to antenna crosstalk, intensively investigated these days due to its impact on MIMO systems [50], [51]. Here, the aim is to implement a reliable demonstrator of the new mathematical procedure, instead of obtaining a model/description of a physical crosstalk effect. Thus, a versatile coupling network containing a \(\lambda/4\) transmission line at 800 MHz, bounded by inductors and resistors, is considered, with the initial values \(R = 5\ \Omega\) and \(L = 4.7\ \text{mH}\).

The three amplifiers in Fig. 2(a) are identical. However, for the small-signal stability analysis, series feedback, in the form of a parallel \(LC\) network, has been introduced in the third amplifier [see Fig. 2(c)]. This \(LC\) network is purposely introduced to induce instability. Initially, the system is partitioned into three active blocks (each corresponding to one amplifier under a single OC termination at the output port), plus the coupling network (see Fig. 2). The stability is analyzed versus the gate-bias voltage \(V_{GS}\), which is very convenient to test the method capabilities, since one can expect qualitative stability changes versus this parameter. This is because the oscillations are quenched when decreasing \(V_{GS}\) toward the conduction threshold.

The stability analysis of the amplifier blocks is carried out through pole-zero identification [26]–[31]. For this analysis, a small-signal test current \(I_s\) at the perturbation frequency \(\Omega\) is introduced in parallel at a sensitive circuit node. Then, a closed-loop transfer function is defined as the ratio between the gate-node voltage and the test current [26]–[31], doing: \(Z_r(\Omega) = V_r(\Omega)/I_s\). Under sufficient observability, one can obtain the poles from any transfer function since they all share the same denominator, agreeing with the characteristic determinant. In practical circuits, the number of poles can be very high. However, most of the poles will be in the LHS, far from the imaginary axis. Only a few poles will be critical or near critical, and these are the ones with the largest real parts (dominant poles).

In each of the amplifier blocks, the test current \(I_s\) is introduced in parallel at the gate terminal. Fig. 3(a) shows the variation of the real part of the dominant poles and zeroes of the first and second amplifiers versus \(V_{GS}\). The poles are indicated with “x” and the zeroes are indicated with “o.” Because the two amplifiers are identical in standalone operation, only one has been analyzed. As shown in Fig. 3(a), there...
is a fast variation of the poles and zeroes near the conduction threshold. In this case, the dominant poles are a pair of complex-conjugate poles, so when traced versus the parameter ($V_{GS}$), the real part is the same for both. For $V_{GS}$ below (above) the conduction threshold, the identification also detects one pair (two pairs) of dominant complex-conjugate zeroes, with no influence on the stability properties. The real part of the dominant (complex-conjugate) poles is always negative, and therefore, the amplifier is stable for all the $V_{GS}$ values. The same conclusion is obtained when the test current is introduced at other device terminals. Thus, the first and second amplifiers are stable under an output OC termination at the port indicated in Fig. 2(b).

When analyzing the stability of the third amplifier (including the purposely introduced series feedback) under a single OC termination at the output port, one obtains the results shown in Fig. 3(b), where the real part of its dominant poles (and zeroes) has been represented versus $V_{GS}$. The real part of a pair of complex-conjugate poles becomes positive at $V_{GS} = 0.16 \text{ V}$, and therefore, the amplifier in Fig. 2(c) is unstable for $V_{GS} > 0.16 \text{ V}$. To obtain an OC-stable block associated with the third amplifier, we will define a second
are stable. Then, the stability of the whole structure can be short-circuited to ground, the newly defined active blocks denoted with an “x” in each amplifier [see Fig. 2(d)]. This second port breaks the connection of the source terminal to the capacitor of the LC feedback network. Note that the removed capacitor will be absorbed in the passive linear impedance matrix \([Z_P]\) when applying (9). With the two ports defined in Fig. 2(c) in OC conditions, the third amplifier is OC-stable for all the \(V_{GS}\) values. The resulting variation of the real part of the dominant poles versus \(V_{GS}\) is shown in Fig. 3(c).

With the new procedure, the characteristic determinant is obtained by calculating the determinant of the total impedance matrix at the four ports indicated in Fig. 2(d). This is consistent with our definition of the OC-stable active blocks and with the impedance-matrix formalism (8) and (9). Note that the capacitor that had been removed from the third amplifier is included in the passive linear network described with the matrix \([Z_P]\).

Fig. 4(a) shows the Nyquist plots obtained for two different values of the gate-bias voltage \((V_{GS})\), simultaneously varied in the three amplifiers, before and after a primary Hopf bifurcation [1]–[5], [52] that takes place at \(V_{GS} = 0.165 \text{ V}\). Fig. 4(b) shows an expanded view about the origin of this plot. For \(V_{GS} = 0.16 \text{ V}\), the plot (indicated with a label) does not encircle the origin, so it predicts a stable behavior. In contrast, for \(V_{GS} = 0.17 \text{ V}\) (indicated with a label), the plot encircles the origin in the clockwise sense, so it predicts unstable behavior.

To show the flexibility in the choice of the analysis ports, an alternative analysis based on the characteristic determinant of the admittance system (10) has also been performed. This analysis requires SC-stable active blocks. When the node(s) denoted with an “x” in each amplifier [see Fig. 2(d)] are short-circuited to ground, the newly defined active blocks are stable. Then, the stability of the whole structure can be analyzed using the total admittance matrix calculated at the four ports defined between each node “x” and ground. The Nyquist plots obtained for the same two values of gate–source voltage \((V_{GS} = 0.16 \text{ V} \text{ and } V_{GS} = 0.17 \text{ V})\) are shown in Fig. 4(c) and (d). As expected, the stability predictions are the same as those obtained with (9).

In addition, pole-zero identification has been applied to the characteristic determinant (9), which provides the results shown in Fig. 5. Note that the stability information is now in the zeroes of the determinant, agreeing with the characteristic roots. Again, the poles are indicated with “×” and the zeroes are indicated with “o.” Fig. 5(a) shows the variation versus \(V_{GS}\) of the dominant zeroes and poles of the determinant in the plane defined by the real and imaginary parts. All the determinant poles (with no influence on the stability properties) are quite far from the dominant determinant zeroes (characteristic roots). This is more evident in Fig. 5(b), which presents the variation of the real part of the dominant zeroes and poles versus \(V_{GS}\). The change in the configuration of the zeroes occurs when the transistors turn on. As gathered from Fig. 5, the system is unstable from the Hopf bifurcation [14], [15] occurring at \(V_{GS} = 0.165 \text{ V}\). We emphasize that, by construction, the determinant cannot exhibit any RHS poles, (a) Variation of the zeroes (o) and poles (×) versus \(V_{GS}\) in the plane defined by the real and imaginary parts. (b) Variation of the real part of the dominant zeroes and poles versus \(V_{GS}\).

For comparison, Fig. 6 shows the results of conventional pole-zero identification applied to a closed-loop transfer function. Three different functions have been considered for this
In practice, beyond the highest $f_{\text{max}}$ of the transistors [20]–[22]), which is done at once, in a single sweep. Instead, pole-zero identification requires a partition of the frequency band into elementary bands [26]–[31] to ensure a sufficient accuracy of the least-squares identification algorithm. On the other hand, the Nyquist plot can be intricate (and difficult to interpret), whereas pole-zero identification directly provides the zeroes of the characteristic determinant.

### III. Large-Signal Stability Analysis

The large-signal stability analysis will be applied to a periodic steady-state solution obtained with HB. Then, the circuit will be linearized about this solution with the conversion-matrix approach, to obtain the stability information. Section III-A presents a formal derivation of the characteristic determinant and demonstrates that it cannot exhibit RHS poles. Section III-B describes the practical calculation of the determinant from large- and small-signal simulations in commercial software. Section III-C presents an application example.

#### A. Formulation

In the presence of a small-amplitude perturbation, there will be mixing between the frequencies of the periodic solution $j\omega_0$, where $\omega_0$ is the fundamental frequency and $-M \leq m \leq M$, and the complex frequency $s$ (associated with the perturbation), which will give rise to the sidebands: $j\omega_0 + s$ [2], [10], [16], [25]. For an insightful exposition of the analysis method, a system composed of one-port active blocks that are either OC-stable or SC-stable is considered. As in the small-signal case, the generalization to multiport blocks is straightforward and the extension to blocks that are OC stable at some ports and SC stable at the other ports is possible, but will rarely be needed.

Each active block will be represented with an outer-tier conversion matrix of either impedance or admittance type. The choice will depend on the stability properties of the active blocks under OC and SC terminations. The particular configuration of the blocks will give a hint on the convenience of either OC or SC stability. For instance, in the case of Fig. 2(a), if the amplifiers are stable on their own, the OC-stability condition will be easily satisfied. Note that the stability verification (under either OC or SC) must be carried out by linearizing the blocks about the periodic steady-state solution of the complete system, in which all the blocks are connected. This solution is given by the full set of voltage and current values at the harmonic terms $m\omega_0$, where $m$ goes from $-M$ to $M$.

Fig. 7 shows the procedure to analyze the OC or SC stability of the active blocks, showing the test current introduced for the pole-zero identification. The OC-stability test of a given block is carried out by terminating all the sideband frequencies

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**Fig. 6.** Small-signal stability analysis based on the pole-zero identification of a transfer function. The stability information is in the poles. The real part of the poles (×) and zeroes (○) is represented versus $V_{GS}$. (a) Test current at the gate terminal of the transistor in the first amplifier. (b) Test current at the gate terminal of the third amplifier (responsible for the instability). (c) Test current at the node where the third amplifier is connected to the coupling network. The unstable poles are quasi-canceled with RHS zeroes.
Fig. 7. Large-signal stability analysis under OC and SC terminations. These terminations should not affect the harmonic loads at $m\omega_o$, where $-M \leq m \leq M$. The diagram shows the test current used to obtain the closed-loop transfer functions. (a) OC case. (b) SC case.

$m\omega_o + \Omega$ in open circuits, as shown in Fig. 7(a). This OC termination should not affect the harmonic loads at $m\omega_o$, which is implemented through an ideal filter that is a short circuit at $m\omega_o$ and open circuit at the sideband frequencies $m\omega_o + \Omega$ in short circuits. This termination should not affect the harmonic loads at $m\omega_o$, which is achieved using the ideal filter shown in Fig. 7(b). One should emphasize that the SC termination should respect the block topology, that is, it must not short circuit any elements of the block itself.

As stated, the OC or SC terminations disconnect the blocks at the sideband frequencies only; they are fully connected at the steady-state solution at $m\omega_o$, where $-M \leq m \leq M$. The OC or SC large-signal stability analysis of the composing blocks is carried out through pole-zero identification applied in a conventional manner [26]–[31], that is, a small-signal test current $I_s$ at the frequency $\Omega$ (incommensurable with $\omega_o$) is connected in parallel at a sensitive node of the block (in either OC or SC conditions at the sidebands). Then, the conversion-matrix approach is used to obtain a transfer function defined as the ratio between the node voltage $V$ at $\Omega$ and $I_s$. The results must be validated by repeating the analysis at several locations. If the stability condition is not fulfilled by defining a one-port network, one can increase the number of ports, as done in Section II. This will also be needed if the block is connected to the system through more than one port.

The case of a system composed of $N$ blocks that are OC-stable will be initially considered. For the calculation of the characteristic determinant, each block $n$ will be described with an impedance-type outer-tier conversion matrix. The blocks are connected to the system at the steady-state frequencies $m\omega_o$, but in OC conditions at the sidebands. In this way, the block nonlinearities are in the same operating conditions as in the full system, with identical frequency-conversion effects.

Fig. 8 shows the procedure to calculate the impedance/admittance outer-tier conversion matrices of the individual blocks. Note that the blocks are connected at the periodic steady state only (frequencies $m\omega_o$, where $-M \leq m \leq M$). (a) OC-stable case. The element $Z_{A,n}(l, m)$ of the impedance matrix is the ratio between the voltage at $l\omega_o + \Omega$ and the current at $m\omega_o + \Omega$. (b) SC-stable case. The element $Y_{A,n}(l, m)$ of the admittance matrix is the ratio between the current at $l\omega_o + \Omega$ and the voltage at $m\omega_o + \Omega$. For each $m$, one will perform a conversion-matrix analysis [53], [54] to calculate the voltage drop at the frequencies $l\omega_o + \Omega$, where $l = -M$ to $M$, at the open-circuited port. For instance, in the case of Fig. 7(a), the test current is introduced between the two terminals of each open-circuited port, as shown in Fig. 8(a).
should consider that $I(-m, 1) = I^*(m, -1)$. The impedance-type outer-tier conversion matrix describing the block $n$ will be

$$
[Z_{A,n}] = \begin{bmatrix}
Z_{A,n}(-M, -M) & \ldots & Z_{A,n}(-M, M)
\vdots & \vdots & \vdots
Z_{A,n}(M, -M) & \ldots & Z_{A,n}(M, M)
\end{bmatrix}
$$

which relates the elements of the sideband currents and voltages as follows:

$$
\begin{align*}
\Delta V(-M) & = \begin{bmatrix}
Z_{A,n}(-M, -M) & \ldots & Z_{A,n}(-M, M)
\vdots & \vdots & \vdots
Z_{A,n}(M, -M) & \ldots & Z_{A,n}(M, M)
\end{bmatrix} \Delta I(-M) \\
\Delta V(M) & = \begin{bmatrix}
Z_{A,n}(-M, -M) & \ldots & Z_{A,n}(-M, M)
\vdots & \vdots & \vdots
Z_{A,n}(M, -M) & \ldots & Z_{A,n}(M, M)
\end{bmatrix} \Delta I(M)
\end{align*}
$$

where $\Delta V(m) = \Delta V(m \omega_0 + \Omega)$ and $\Delta I(m) = \Delta I(m \omega_0 + \Omega)$. Then, the characteristic system can be written as

$$
\begin{bmatrix}
[Z_{A,1}(s)] 0 & \ldots & 0 \\
0 & [Z_{A,2}(s)] & \ldots & 0 \\
\vdots & \vdots & \ddots & \vdots \\
0 & 0 & \ldots & [Z_{A,N}(s)]
\end{bmatrix}
\begin{bmatrix}
\Delta T_1 \\
\Delta T_2 \\
\vdots \\
\Delta T_N
\end{bmatrix}
= \begin{bmatrix}
0 \\
0 \\
\vdots \\
0
\end{bmatrix}
$$

where $[Z_{A,n}(s)]$ are the $M \times M$ submatrices composing the passive linear matrix $[Z_{P}(s)]$ and $\Delta T_n$ is composed by the sideband currents at the $n$th block. They are given by (14), as shown at the bottom of the page.

Because the active blocks are stable under OC terminations, none of the functions $Z_{A,n}(l, m)$ can have any poles in the RHS. Note that the elements $Z_{A,n}(l, m)$ actually correspond to transfer functions calculated within the same block and sharing the same denominator. Thus, the characteristic determinant associated with system (13) cannot exhibit any poles on the RHS. The characteristic system can be compactly written as

$$
[[Z_A(s)] + [Z_P(s)]] \Delta \bar{V} = 0.
$$

The characteristic determinant is

$$
\det([[Z_A(s)] + [Z_P(s)]]) = 0.
$$

As in small-signal conditions, a normalization effect can be achieved by multiplying system (15) by the inverse of

$$
[Z_{P,n,s}] = \begin{bmatrix}
Z_{n,s}(-M, -M) & \ldots & 0 \\
0 & Z_{n,s}(-M + 1, -M + 1) & \ldots & 0 \\
\vdots & \vdots & \ddots & \vdots \\
0 & 0 & \ldots & Z_{n,s}(M, M)
\end{bmatrix}
$$

$$
\Delta \bar{T}_n = \begin{bmatrix}
\Delta I_n(-M) \\
\vdots \\
\Delta I_n(M)
\end{bmatrix}
$$

the passive linear matrix $[Z_{P}(s)]$, which cannot introduce any RHS poles.

Now, the case of a system composed of $N$ blocks that are SC-stable will be considered. Each block $n$ will be described with an admittance-type conversion matrix. For this calculation, the block is connected to the system at the steady-state frequencies only, that is, at $m \omega_0$, where $m = -M$ to $M$. Then, a small-signal test voltage will be sequentially introduced in series at the frequencies $m \omega_0 + \Omega$, where $m = -M$ to $M$. The element $Y_{A,n}(l, m)$ of the matrix is the ratio between the current at $l \omega_0 + \Omega$ and the voltage at $m \omega_0 + \Omega$.

When using commercial software, one should consider that $V(-m, 1) = V^*(m, -1)$. Following a procedure analogous to (11)–(16), one obtains the characteristic system:

$$
[[Y_A(s)] + [Y_P(s)]] \Delta \bar{Y} = 0
$$

where $\Delta \bar{Y}$ is the vector of sideband components of the node voltages. Also, the characteristic system is

$$
\det([[Y_A(s)] + [Y_P(s)]) = 0.
$$

As stated, it is possible to consider two or more ports per active block, which will simply involve increasing the dimension of the matrices in (16) and (18).

### B. Practical Implementation

Section III-A demonstrated the absence of RHS poles in the characteristic determinant. In practice, one may directly calculate $[Z_T] = [Z_A] + [Z_P]$, in the case of OC-stable blocks, or $[Y_T] = [Y_A] + [Y_P]$, in the case of SC-stable blocks. This requires a template in commercial software and an in-house program to import the data and build-up the outer-tier impedance/admittance matrix.

Fig. 9 shows a template example to illustrate the implementation in ADS [55]. For brevity, only the case of OC-stable blocks is considered since the extension to SC-stable blocks is straightforward. As shown in Fig. 9(a), the analysis ports (corresponding to those of the OC-stable blocks) are defined between the nodes Vtest_pn and ground, where $n$ goes from 1 to $N$. The circuit is analyzed with the conversion-matrix approach or large-and small-signal analysis. The test currents [see Fig. 9(b)] used to calculate the full outer-tier impedance/admittance matrix are denoted Istab_p1_k, where $i$, going from 1 to $N$, refers to the injection node and $k$ refers to the injection frequency. In Fig. 9, the injection node...
These frequencies are ranked in growing order. Thus, the index \( k \) in \( \text{Istab}_i.k \), goes from 1 to \( Q = 2M + 1 \). To keep the schematic in Fig. 9 at a reasonable size, the number of harmonic terms has been limited to \( M = 3 \) (only for this illustration), which provides a total of \( Q = 7 \) test currents. These currents are activated sequentially using the sweep shown in Fig. 9(b). Depending on the value of \( \text{aux}_i \), only one of the parameters \( Ipk \), where \( k \) goes from 1 to 7, is different from zero, and activates the test current \( \text{Istab}_i.k \).

In the large- and small-signal simulation, the small-signal frequency (associated with the index 1 of the mixing terms) should be swept from near dc to the fundamental frequency. To calculate the full outer-tier impedance matrix, for each \( \text{Istab}_i \), one should obtain the voltage increment in each node \( n \) (going from 1 to \( N \)) at each analysis frequency \( q \) (going from 1 to \( Q \)). The excitation at port \( i \) and frequency \( k \) allows calculating the column \((i-1)Q+k\) of the impedance matrix. The elements of this column are directly obtained by reading the voltage at each node \( n \) and frequency \( q \) and calculating the ratio

\[
Z[(n-1)Q+q, (i-1)Q+k] = \frac{\text{Vtest}_i(n,q)}{\text{Istab}_i.k}.
\]

Remember that the index \( q \) indicates the particular frequency in the ordered set of frequencies with mixing indexes \((-M, 1), (M+1, 1), \ldots, (0, 1), \ldots, (M, 1)\). In practice, a common real value of \( \text{Istab}_i \) is used to facilitate the calculation. A sequence of identical analyses is carried out by injecting the test currents at the nodes \( i = 1 \) to \( N \). If a normalization is wished, the same procedure should be applied by considering only the linear network that connects the OC-stable blocks. This will allow the calculation of \([Z_P]\).

The template is generated only once and reutilized for other systems. The MATLAB script reads the tab-delimited ASCII files exported from ADS. The data read in MATLAB must be rearranged due to the particular structure of the file produced by ADS when exporting the simulation results. The values of the swept parameters are placed in columns in the exported files and the impedance values (19) are interleaved in the form of successive vectors. At each value of the swept perturbation frequency \( \Omega \), the vectors must be suitably arranged to build the impedance matrix.

### C. Application Example

For the large-signal stability analysis of the system in Fig. 1(a), the feedback network that had been added to the third amplifier is removed. Thus, the three power amplifiers are stable for all the \( V_{GS} \) values. The analysis will be carried out versus the input voltage \( V_{in} \) at the frequency \( f_{in} = 800 \text{ MHz} \) and gate-bias voltage \( V_{GS} = 0.12 \text{ V} \). Initially, the coupling resistor is \( R = 5 \text{ \Omega} \). Considering that the three power amplifiers are stable in standalone operation, the OC stability of the circuit blocks was initially evaluated and, as shown in the following, this OC-stability test was successful.

The OC stability of the three identical amplifiers (taken as active blocks) is analyzed by disconnecting them from the coupling network at the sideband frequencies \( m\omega_o + \Omega \).
Large-signal analysis. Verification of the OC stability of the power amplifiers in Fig. 2(a) through conventional pole-zero identification, applied to a transfer function. The stability information is in the poles (×). The OC condition is imposed at the sidebands only; the amplifiers are coupled at the periodic steady state obtained for each $V_{in}$. (a) Real part of the dominant poles and zeroes (○) versus $V_{in}$ for the first amplifier (in equivalent operation conditions to the third). (b) Real part of the dominant poles and zeroes versus $V_{in}$ for the middle amplifier.

Under OC terminations, the three amplifiers are stable for all the $V_{in}$ values, as verified with pole-zero identification. This identification is applied in a conventional manner to a transfer function calculated by connecting a small-signal test current at the frequency $\Omega$ (incommensurable with $\omega_{in} = 2\pi f_{in}$) in parallel at the transistor gate terminal. Fig. 10(a) shows the variation of the real part of the dominant poles of the first and third amplifiers (having equivalent operation points) versus $V_{in}$. Identical results are obtained, so only one of the analyses is presented. Fig. 10(b) shows the variation of the real part of the dominant poles in the middle amplifier versus $V_{in}$. The three amplifiers are stable in OC conditions for all the $V_{in}$ values. The same conclusion is obtained when the test current is introduced at other device terminals. Thus, the stability of the entire system can be analyzed using the impedance-based determinant (16).

Fig. 11 shows the Nyquist plots obtained for two different $V_{in}$ values before and after the first Hopf bifurcation that gives rise to instability. For $V_{in} = 1.07$ V [see Fig. 11(a)], the Nyquist plot does not encircle the origin, so the system is stable. In contrast, for $V_{in} = 1.075$ V [see Fig. 11(b)], the Nyquist plot encircles the origin in clockwise sense, so the system is unstable. Fig. 12 shows the identification of the characteristic determinant (16) for the same two $V_{in}$ values. Thus, as in the case of the small-signal stability analysis, it is possible to use both the Nyquist criterion, which has the advantage of requiring a single-frequency sweep and pole-zero identification.

Fig. 13(a) shows the variation of the dominant zeroes and poles of the characteristic determinant (16) versus $V_{in}$. As can be seen, the coupling effects give rise to two secondary Hopf bifurcations [12], [15], [16], occurring at the $V_{in}$ values
Fig. 13. Large-signal stability analysis. (a) Variation of the dominant zeroes (o) and poles (x) of the characteristic determinant (16) versus \( V_{in} \). The stability information is in the zeroes. (b) Variation of the real part of the dominant zeroes of (16) versus \( V_{in} \). (c) Variation of the real part of the dominant poles obtained through conventional pole-zero identification applied to a transfer function. This is obtained by introducing the test current at the gate terminal of the third amplifier. The stability information is in the poles.

1.075 and 1.145 V. At the first bifurcation (1.075 V), a pair of complex conjugate zeroes at 561 MHz crosses the imaginary axis to the RHS. At the second bifurcation (1.145 V), a second pair of complex conjugate zeroes at 237 MHz crosses the imaginary axis to the RHS. As stated, although the power amplifiers are identical, they are not in the same operating conditions and the two Hopf bifurcations take place at different \( V_{in} \) values. For better insight, Fig. 13(b) shows the variation of the real part of the dominant zeroes of (16) versus \( V_{in} \).

The above result has been validated through pole-zero identification, applied in a conventional manner to a transfer function calculated at the gate terminal of the transistor in the middle amplifier. Fig. 13(c) shows the variation of the real part of the dominant poles versus \( V_{in} \). Even though the identified functions are very different, there is a full agreement between the poles obtained through conventional pole-zero identification (applied to a transfer function) and the zeroes of the characteristic determinant (16).

When increasing the coupling resistor or, equivalently, decreasing the coupling effects, the standard pole-zero identification faces a problem of limited observability. To show this, the coupling resistor between the second and third amplifiers will be increased to \( R = 330 \ \Omega \) in the next experiment.

Fig. 14 shows the stability analysis of the whole system when identifying the characteristic determinant [see Fig. 14(a)] and when applying conventional pole-zero identification at two different nodes of the third amplifier [see Fig. 14(b) and (c)]. These nodes are the gate terminal, in Fig. 14(b), and the node at which the third amplifier is connected to the coupling network, in Fig. 14(c). The zeroes of the determinant, shown in Fig. 14(a), and the poles in Fig. 14(b) are identical. However, the latter are quasi-canceled with RHS zeroes due to the limited observability. The situation is more severe in Fig. 14(c), where the unstable poles have completely disappeared and the instability cannot be detected.
One of the advantages of the new method is that, by construction, it prevents the coexistence of RHS zeroes and RHS poles and, thus, the zero-pole cancellations or quasi-cancellations that are the main limitation of the powerful pole-zero identification [26]–[31]. Here, the conventional pole-zero identification is applied to verify the OC or SC stability of the composing blocks, which can be done reliably due to the limited block size and complexity. Then, the full system stability is analyzed at once using the characteristic determinant. With conventional pole-zero identification, the analysis of the whole structure would be carried out through several evaluations at different locations, which may be subject to problems of limited observability.

Fig. 15 shows a photograph of the practical implementation of the coupled system. It was manufactured on RO4003C substrate ($\varepsilon_r = 3.5$ and $h = 32$ mil), with Avago ATF50189 FETs, air core inductors by Coilcraft, and ATC ceramic capacitors. All the amplifiers and coupling networks are identical, with $R = 5$ $\Omega$. As stated, when measured in standalone operation, the amplifier exhibits 24-dBm output power and 60% efficiency. However, the performance is strongly affected by the coupling network. Fig. 16(a) shows the measured spectrum at the input frequency 800 MHz and amplitude $V_{in} = 1$ V, which is compared with the one obtained in HB simulations. In agreement with the predictions of the stability analysis of Fig. 13, the spectrum corresponds to a (stable) periodic solution, with lines at multiples of the input frequency 800 MHz. There is a good correspondence between the simulated and measured results, which indicates that the models are reliable. For the rest of measurements, a 10-dB coaxial directional coupler is used, which ensures the same loading conditions in the three amplifiers.

When the periodic solution (at the input frequency) obtained with default HB is unstable, this solution coexists with a different (stable) steady-state solution. The stable solution is the one observed in the measurements. Thus, the qualitative change in the measured solution defines the stability limit of the periodic solution obtained with HB. In the case of the system considered here, when increasing $V_{in}$, the periodic solution is measured up to $V_{in} = 1.1$ V. From this voltage value, the measured solution is not periodic, but quasi-periodic [see Fig. 16(b) and (c)], due to the mixing of the input signal with two self-generated oscillations. Thus, the measured stability limit is $V_{in} = 1.1$ V. From Fig. 13, the simulated stability limit, at which the first pair of complex-conjugate zeroes cross to the RHS, is $V_{in} = 1.075$ V, so there is a good correspondence.

In general, one can gather the type of steady-state solution that will be obtained in the measurements from the roots of the characteristic determinant of the (default) periodic solution. In the stability analysis of Fig. 13(a), a pair of complex-conjugate zeroes at 561 MHz cross to the RHS at $V_{in} = 1.07$ V, and a second pair of complex-conjugate zeroes at 237 MHz cross to the RHS at $V_{in} = 1.145$ V. In the spectrum of Fig. 16(b), obtained for $V_{in} = 1.12$ V, there are two concurrent oscillation frequencies, which is consistent with the two pairs of complex-conjugates zeroes crossing to
the RHS in Fig. 13(a). The frequencies of these two pairs of zeroes, 561 and 237 MHz, are close to a 2:1 relationship. Although the oscillation generated at $V_{in} = 1.145$ V in Fig. 13(a) will be initially unstable, after the occurrence of two secondary Hopf bifurcations, concurrent stable oscillations are likely to be observed experimentally, as described in [56] and [57]. In the measurement of Fig. 16(b), the two oscillations are locked, as gathered from the frequency values shown in the spectrum. Fig. 16(c) shows the spectrum obtained for $V_{in} = 1.26$ V, which also corresponds to a quasiperiodic solution, in agreement with Fig. 13. Thus, there is a very good consistency between simulations and measurements. Nevertheless, the most reliable validation is the one performed versus an independent analysis method, such as pole-zero identification, as done through the entire manuscript. This is the only way to ensure that there are no discrepancies in the input elements and parameters resulting from inaccuracies in the models of the active and passive elements.

IV. CONCLUSION

A method has been presented for the small- and large-signal stability analysis of complex systems, composed of multiple active blocks. The method is based on a definition of the individual blocks that ensure that these blocks are stable under either OC terminations or SC terminations. This is verified with pole-zero identification, considering one or more ports per block. The total set of block ports constitutes the $N$ reference ports considered in the calculation of the system characteristic determinant. The stability properties are defined by the zeroes of this determinant, which, by construction, cannot exhibit any poles in the RHS of the complex plane. The extension to large-signal regime requires the calculation of an outer-tier conversion matrix per active block. The stability properties can be obtained by applying the Nyquist criterion or pole-zero identification to the characteristic determinant of the full system. The procedure has been successfully validated with independent simulations and with measurements. It can be implemented on commercial HB and provides a determinant function that does not suffer from any uncertainties associated with the possible cancellations or quasi-cancellations of RHS zeroes and poles.

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