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To cite this version:
Alexander Zeh, Sergey Bezzateev. Describing A Cyclic Code by Another Cyclic Code. IEEE International Symposium on Information Theory (ISIT), Jul 2012, Boston, United States. pp.2896-2900, 10.1109/ISIT.2012.6284054. hal-00689746v4

HAL Id: hal-00689746
https://inria.hal.science/hal-00689746v4
Submitted on 18 Jul 2012

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Describing A Cyclic Code by Another Cyclic Code

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Abstract—A new approach to bound the minimum distance of \( q \)-ary cyclic codes is presented. The connection to the BCH and the Hartmann–Tzeng bound is formulated and it is shown that for several cases an improvement is achieved.

We associate a second cyclic code to the original one and bound its minimum distance in terms of parameters of the associated code.

Our approach uses a second cyclic code — the \textit{non-zero-locator code} — to describe the defining set of the cyclic code which allows to bound its minimum distance. It turns out that a good bound on the minimum distance is achieved, if the non-zero-locator code has low rate and a small distance.

This contribution is a generalization of our previous work [12], [13], where we used the power series expansion of a fraction of two co-prime polynomials and associated it with the code. The advantage of this extension is that we can directly use well-known properties of cyclic codes to describe another cyclic code rather than abstract properties of power series expansions. Further, this contribution is a generalization of [12], [13] since the non-zero-locator code can be seen as a sum of several power series expansions.

Our contribution is structured as follows. We introduce necessary preliminaries of \( q \)-ary cyclic codes in Section II and recall the HT bound. Section III gives the definition of the non-zero-locator code and proves the main theorem on the minimum distance. Single parity check and cyclic Reed–Solomon codes are used as non-zero-locator codes and the connection to the HT bound is shown in Section IV. Section V concludes this contribution.

II. Preliminaries

Let \( q \) be a power of a prime, let \( \mathbb{F}_q \) denote the finite field of order \( q \) and let \( \mathbb{F}_q[x] \) denote the set of all univariate polynomials with coefficients in \( \mathbb{F}_q \) and indeterminate \( x \). A \( q \)-ary cyclic code \( C \) over \( \mathbb{F}_q \) of length \( n \), dimension \( k \) and minimum distance \( d \) is denoted by \( C(q; n, k, d) \). A codeword \( c(x) = \sum_{i=0}^{n-1} c_i x^i \) of \( C(q; n, k, d) \) is a multiple of its generator polynomial \( g(x) \in \mathbb{F}_q[x] \) with roots in \( \mathbb{F}_q^* \), where \( n \mid (q^d-1) \).

Let \( \alpha \in \mathbb{F}_{q^d} \) be a primitive \( n \)th root of unity. A cyclotomic coset \( M_r \) is given by:

\[
M_r = \{ rq^i \mod n, \forall j = 0, 1, \ldots, n_r - 1 \},
\]

where \( n_r \) is the smallest integer such that \( rq^{n_r} \equiv r \mod n \).

It is well-known that the minimal polynomial \( M_r(x) \in \mathbb{F}_q[x] \) of the element \( \alpha^r \) is given by

\[
M_r(x) = \prod_{i \in M_r} (x - \alpha^i).
\]

The defining set \( D_C \) of a \( q \)-ary cyclic code \( C(q; n, k, d) \) is the set containing the indices of the zeros of the generator polynomial \( g(x) \) and can be partitioned into \( m \) cyclotomic cosets:

\[
D_C = \{ i : g(\alpha^i) = 0 \} = M_{r_1} \cup M_{r_2} \cup \cdots \cup M_{r_m}. \tag{3}
\]

Hence, the generator polynomial \( g(x) \in \mathbb{F}_q[x] \) of degree \( n-k \) of \( C(q; n, k, d) \) is

\[
g(x) = \prod_{i=1}^{m} M_{r_i}(x). \tag{4}
\]

Let us recall a well-known bound on the minimum distance of cyclic codes.

Theorem 1 (Hartmann–Tzeng (HT) Bound, [3]) Let a \( q \)-ary cyclic code \( C(q; n, k, d) \) with the defining set \( D_C \) be given. Suppose there exist the integers \( b_1, m_1 \) and \( m_2 \) with \( \gcd(n, m_1) = 1 \) and \( \gcd(n, m_2) = 1 \) such that

\[
\{ b_1 + i_1 m_1 + i_2 m_2 : 0 \leq i_1 \leq d_0 - 2, 0 \leq i_2 \leq \nu \} \subseteq D_C.
\]
Then, \( d \geq d_0 + \nu \).

Note that for \( \nu = 0 \) the HT bound becomes the BCH bound \([1], [2]\). A further generalization was proposed by Roos \([5], [6]\) and van Lint and Wilson \([7]\). Decoding up to the HT and the Roos bound was formulated by Feng and Tzeng \([14]\), Section VI.

We consider cyclic Reed–Solomon (RS) codes \([15]\) for our approach and therefore recapitulate their definition in the following.

**Definition 1 (Cyclic Reed–Solomon Code)** Let \( n \) be an integer dividing \( q - 1 \) and let \( \alpha \) denote an element of multiplicative order \( n \) in \( \mathbb{F}_q \). Let \( \delta \) be an integer. Furthermore, let the generator polynomial \( g_\delta(x) \in \mathbb{F}_q[x] \) be defined as:

\[
g_\delta(x) = \prod_{i=\delta}^{\delta+n-k-1} (x - \alpha^i). \]

Then, a cyclic Reed–Solomon code over \( \mathbb{F}_q \) of length \( n \mid q - 1 \) and dimension \( k \), denoted by \( \text{RS}(q; n; k; \delta) \), is defined by:

\[
\text{RS}(q; n; k; \delta) = \{ m(x)g_\delta(x) : \deg m(x) < k \}.
\]

RS codes are maximum distance separable codes and their minimum distance \( d \) is \( d = n - k + 1 \).

**III. The Non-Zero-Locator Code**

We extend our earlier approach \([12], [13]\), where we associated a power series expansion of a fraction of two co-prime polynomials with the zeros of a cyclic code. Now, we connect another cyclic code — the so-called non-zero-locator code — to a given cyclic code.

Let us establish a connection between the codewords of a cyclic code and the sum of power series expansions. Let \( c(x) \) be a codeword of a given \( q \)-ary cyclic code \( C(q; n; k, d) \) and let the set \( \mathcal{Y} \) denote the set of indices of nonzero coefficients of \( c(x) \):

\[
c(x) = \sum_{i \in \mathcal{Y}} c_i x^i.
\]

Let \( \alpha \) be an element of order \( n \). Then, we have the following relation for all \( c(x) \in C(q; n; k, d) \):

\[
\sum_{j=0}^{\infty} (\alpha^j c(x)) x^j = \sum_{i \in \mathcal{Y}} c_i \frac{1}{1 - x \alpha^i}.
\]

Now, we can define the non-zero-locator code.

**Definition 2 (Non-Zero-Locator Code)** Let a \( q \)-ary cyclic code \( C(q; n; k, d) \) be given. Let \( \alpha \) denote an \( n \)th root of unity. Let \( \text{gcd}(n, n_e) = 1 \) and let \( \beta \) be an \( n \)th root of unity. Then, \( \mathcal{L}(q; n, k, d) \) is a non-zero-locator code of \( C \) if there exists a \( \mu \geq 0 \) and an integer \( e \), such that \( \forall a(x) \in \mathcal{L} \) and \( \forall c(x) \in C \):

\[
\sum_{j=0}^{\infty} c(\alpha^j + e) a(\beta^j) x^j \equiv 0 \mod x^\mu - 1,
\]

holds.

Before we prove the main theorem on the minimum distance of a cyclic code \( C \), we describe Definition 2. We search the “longest” sequence

\[
c(\alpha^0) a(\beta^0), c(\alpha^1) a(\beta^1), \ldots, c(\alpha^{\mu-1}) a(\beta^{\mu-2}),
\]

that results in a zero-sequence of length \( \mu - 1 \), i.e., the product of the evaluated codeword \( a(\beta^j) \) of the non-zero-locator code \( \mathcal{L} \) and the evaluated codeword \( c(\alpha^j + e) \) of \( C \) gives zero for all \( j = 0, \ldots, \mu - 2 \).

We require a root \( \beta^j \) of the non-zero-locator code \( \mathcal{L} \) at the position \( j \) where the cyclic code \( C \) has no zero.

We require \( \text{gcd}(n, n_e) = 1 \) to guarantee that

\[
\text{gcd} \left( \prod_{i \in \mathcal{Y}} (1 - x \alpha^i \beta^j), \prod_{i \in \mathcal{Y}} (1 - x \alpha^i \beta^{m_j}) \right) = 1 \forall i \neq m,
\]

that we use for the degree calculation in the following. For the proof we refer to \([12], \text{Lemma 1}\). We rewrite the expression of Definition 2 with \( (5) \) more explicitly. Let \( \mathcal{Z} \) denote the set of indexes of nonzero coefficients of \( a(x) \in \mathcal{L} \).

\[
\sum_{j=0}^{\infty} c(\alpha^j \beta^j) x^j = \sum_{j=0}^{\infty} \sum_{i \in \mathcal{Y}} c_i \alpha^{i(j + e)} a(\beta^j) x^j
\]

Using \( (5) \) for the two codewords \( a(x) \) and \( c(x) \) leads to:

\[
\sum_{i \in \mathcal{Y}} c_i \alpha^{i e} \sum_{j=0}^{\infty} \alpha^{i j} a(\beta^j) x^j = \sum_{i \in \mathcal{Y}} c_i \alpha^{i e} \sum_{j \in \mathcal{Z}} a_j \prod_{i \in \mathcal{Z}, i \neq j} \left(1 - x \alpha^i \beta^j \right) \prod_{i \in \mathcal{Y}} \left(1 - x \alpha^i \beta^{m_j} \right),
\]

and finally obtain:

\[
\sum_{i \in \mathcal{Y}} \left( c_i \alpha^{i e} \sum_{j \in \mathcal{Z}} a_j \prod_{i \in \mathcal{Z}, i \neq j} \left(1 - x \alpha^i \beta^j \right) \prod_{m_j \in \mathcal{Y}, m_j \neq i} \left(1 - x \alpha^m \beta^{m_j} \right) \right) \equiv 0 \mod x^{\mu - 1},
\]

where the degree of the denominator is exactly \( |\mathcal{Y}| \cdot |\mathcal{Z}| \) due to \( (6) \). The degree of the numerator is smaller than or equal to \( |\mathcal{Y}| - 1 \cdot |\mathcal{Z}| + |\mathcal{Z}| - 1 \). In the following we assume that the degree of the numerator is \( (|\mathcal{Y}| - 1) \cdot |\mathcal{Z}| + |\mathcal{Z}| - 1 = |\mathcal{Y}| \cdot |\mathcal{Z}| - 1 \).

This leads to the following theorem on the minimum distance of a cyclic code \( C \).

**Theorem 2 (Minimum Distance)** Let a \( q \)-ary cyclic code \( C(q; n, k, d) \) with the associated non-zero-locator code \( \mathcal{L}(q; n, k, d) \) and the integers \( \mu \) and \( e \) be given with
The optimal non-zero-locator code \( C \) of weight \( n, k, d \) satisfies the following inequality:

\[
d \geq d^* \overset{\text{def}}{=} \left\lceil \frac{\mu}{d_\ell} \right\rceil.
\]  

(8)

**Proof**: For a codeword \( c(x) \in C(q; n, k, d) \) of weight \( d \) and codeword \( a(x) \in \mathcal{L}(q; n_\ell, k_\ell, d_\ell) \) of weight \( d_\ell \), the degree of the denominator in (2) is \( d \cdot d_\ell \). The numerator has degree at most \( d' \cdot d_\ell - 1 \) and has to be greater than or equal to \( \mu - 1 \).

**Example 1 (Binary Code of length \( n = 21 \) [6], [7])** Let the binary cyclic code \( C(2; 21, 7, 8) \) with generator polynomial \( g(x) \)

\[
g(x) = M_1^{(21)}(x) \cdot M_3^{(21)}(x) \cdot M_7^{(21)}(x) \cdot M_9^{(21)}(x)
\]

be given.

The defining set \( D_C = M_1^{(21)} \cup M_3^{(21)} \cup M_7^{(21)} \cup M_9^{(21)} \) of \( C(2; 21, 7, 8) \) is:

\[
D_C = \{1, 2, 3, 4, \square, 6, 7, 8, 9, \square, 11, 12, \square, 14, 15, 16, \square, 18\},
\]

where the symbol \( \square \) marks the index where \( g(a^\ell) \neq 0 \).

We associate a single parity check code of length \( n_\ell = 5 \), \( k_\ell = 4 \) distance \( d_\ell = 2 \) as non-zero-locator code for \( C(2; 21, 7, 8) \) according to Definition 2. For \( e = 0 \) the subset of the defining set \( C(2; 21, 7, 8) \) and \( C(2^4; 5, 4, 2) \) is listed in Table I, where the product gives the a zero-sequence of length 13. The codewords \( a(x) \in \mathcal{L}(2^4; 5, 4, 2) \) “fill” the missing zeros of \( C(2; 21, 7, 8) \) at position 0, 5 and 10 in the interval \([0, 12]\). We have \( \mu - 1 = 13 \) and therefore \( d^* = \lceil (14)/2 \rceil = 7 \).

The HT bound with parameters \( b_1 = 1, m_1 = 5, d_0 = 3 \) and \( n_2 = 1, \nu = 3 \) gives also a lower bound of 7 and the Roos bound gives 8 [7], which is the minimum distance of \( C(2; 21, 7, 8) \).

The optimal non-zero-locator code \( \mathcal{L} \) for a cyclic code gives a zero sequence

\[
c(\alpha^0)a(\beta^0), c(\alpha^0+1)a(\beta^1), \ldots, c(\alpha^0+\mu-2)a(\beta^{\mu-2})
\]

**Table I**

| \( D_C \) | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
|-----------|---|---|---|---|---|---|---|---|---|---|----|----|----|
| \( D_C \) | □ | □ | □ | □ | □ | □ | □ | □ | □ | □ | □ | □ | □ |

of length \( \mu - 1 \) as in Definition 2, such that \( d^* \) of (8) is maximized.

If we require a small cardinality of the defining set \( D_C \), the cardinality of the defining set \( D_C \) of the non-zero-locator code should be large to obtain a long zero-sequence and therefore \( \mathcal{L} \) should have a low rate \( k_\ell/n_\ell \). On the other hand, the distance \( d_\ell \) of the non-zero-locator code \( \mathcal{L} \) should be small.

### IV. BEATING THE HARTMANN–TZENG BOUND USING A NON-ZERO-LOCATOR CODE

#### A. Normalization of HT Bound

Let us rewrite the HT bound as given in Theorem 1. We multiply with the inverse of \( m_2 \) modulo \( n \). Let \( C(q; n, k, d) \) be a \( q \)-ary cyclic code with the defining set \( D_C \). Let

\[
\{b_2 + i_1 m + i_2 : 0 \leq i_1 \leq d_0 - 2, 0 \leq i_2 \leq \nu\} \subseteq D_C,
\]

where \( \gcd(m, m) = 1 \). Then \( d = d_0 + \nu \).

Note that \( m > \nu + 1 \). We refer to this representation of the HT bound in this section. In the following, we consider a single parity check code as non-zero-locator code and outline the connection to a particular case of the HT bound. The general case is then considered in Subsection IV-C, where cyclic RS codes are used as non-zero-locator codes.

#### B. Parity Check Code as Non-Zero-Locator Code

Let a \( q \)-ary cyclic code \( C(q; n, k, d) \) with a subset of its defining set with parameters \( d_0 > 2 \) and \( \nu > 0 \) be given as stated in (9). Furthermore, let \( m = \nu + 2 \).
We associate a binary single parity check code as non-zero-locator code. Let $L(2; n, k, n_\ell = 1, 2)$ be the cyclic non-zero-locator code with generator polynomial $g(x) = x - 1$. We assume $\gcd(n, n_\ell) = 1$ for the given cyclic code $C(q; n, k, d)$. We illustrate the set of zeros of the cyclic non-zero-locator code $L$, i.e., a single parity check code, for the cyclic code $C(q; n, k, d)$ in Table III. A $\Box$ represents the existence of a non-zero of the corresponding code $C$ or $L$. The sequence is illustrated in terms of parameters of the HT bound as in (9). The considered code $C$ has $d_0 - 1$ sets of $\nu + 1$ consecutive zeros, separated by one non-zero. The non-zero-locator code fills exactly this one non-zero.

**Example 2 (Parity Code as Non-Zero-Locator Code)**

Consider a cyclic code $C(q; n, k, \nu)$ with the defining set $D_C$ and let 

$$\{−5, −4, □, −2, −1, □, 1, 2, □, 4, 5, □\} \subseteq D_C.$$ 

Furthermore let $\gcd(n, 3) = 1$. We associate a cyclic single parity check code of length $n_\ell = 3$ with $C$ and illustrate the corresponding zero-sequence in Table IV. The zero-sequence has length $\mu - 1 = 13$ and we obtain $d^* = [(14)/2] = 7$. The HT bound gives for $b_2 = −5, m = 3$ and $d_0 = 5, \nu = 1$ a lower bound of $d \geq 6$ on the minimum distance of $C$.

**C. Reed–Solomon Code as Non-Zero-Locator Code**

In the previous subsection we associated to $q$-ary cyclic code $C$, with a subset of its defining set with parameters $m_1 = m = \nu + 2$ and $m_2 = 1$ as stated in Theorem 1, a single parity check code. Now we consider the case were $m > \nu + 2$ and associate a RS code to the given $q$-ary cyclic code.

Let a $q$-ary cyclic code $C(q; n, k, d)$ with a subset of its defining set with parameters $d_0 > 2$ and $\nu > 0$ be given as stated in (9). Furthermore, let $m > \nu + 2$.

In Table II, the HT bound (2) with $i_1 = 0, \ldots, d_0 - 2$ and $i_2 = 0, \ldots, \nu$ is illustrated. We choose as non-zero-locator
code $\mathcal{L}(q; n, k, d_\ell)$ a cyclic RS code with $\delta = 0$ as in Definition 1. The parameters are:

$$n_\ell = m, \quad k_\ell = \nu + 1, \quad d_\ell = m - \nu.$$ 

The $m - \nu - 1$ consecutive zeros of the non-zero-locator code $\mathcal{L}$, i.e., a cyclic RS code of length $m$, fill the missing zeros of the given cyclic code $\mathcal{L}(q; n, k, d)$. We obtain for the “zero”-sequence with length $\mu = m(d_0 - 1) + m - \nu - 1$.

Therefore, we obtain from (8):

$$d^* = \left[ \frac{m(d_0 - 1) + m - \nu}{m - \nu} \right] = \left[ \frac{md_0 - m + m - \nu}{m - \nu} \right] = \left[ \frac{md_0 - \nu}{m - \nu} \right]. \quad (11)$$

Note that for $m = \nu + 2$ the non-zero-locator code is a single parity check code and we obtain the result from (10). Fig. 2 shows $d^*$ of (11) normalized to $d_0 + \nu$ for the same parameter $\nu = 6$. We varied the distance $d_\ell$ of the non-zero-locator code.

Let us precise the cases where our bound $d^*$ is larger than the Hartmann–Tzeng bound $d_0 + \nu$.

**Proposition 1** Let a $q$-ary cyclic code $C$ with a subset of its defining set with parameters $d_0$, $\nu$, $m_1 = m$ and $m_2 = 1$ as stated in Theorem 1 be given. Let $\mathcal{L}(q; m, \nu + 1, m - \nu)$ be the associated cyclic RS code as in Definition 2. Then, for

$$d_0 > m - \nu + 1,$$ 

$d^* > d_0 + \nu$ holds.

**Proof:** From (11) we have

$$d^* = \left[ \frac{md_0 - \nu}{m - \nu} \right] = \left[ \frac{md_0 - d_0 \nu + d_0 \nu - \nu}{m - \nu} \right] = \left[ \frac{d_0 + (d_0 - 1) \nu}{m - \nu} \right].$$

For $d^* > d_0 + \nu$, we need

$$\frac{(d_0 - 1) \nu}{m - \nu} > \nu$$ 

$$d_0 > m - \nu + 1 = d_\ell + 1 \quad (12)$$

For $m - \nu = d_\ell = 2$ the associated RS code is a single parity check code and our bound is better than the HT bound for $d_0 > 3$ (see Fig. 1). Some other cases, where the distance of the associated RS code $m - \nu = d_\ell$ is between two and six, are illustrated in Fig. 2.

V. CONCLUSION AND OUTLOOK

We presented and proved a new bound on the minimum distance of $q$-ary cyclic codes. The used technique is based on a second cyclic code — the so-called non-zero-locator code. We used non-zero-locator codes that allow us to connect the Hartmann–Tzeng bound directly with our bound. In detail, we used single parity check codes and RS codes and showed for which parameters our bound improves upon the HT bound.

Future work is the decoding up to our bound and the classification of cyclic codes, where the non-zero-locator code gives a good bound on the minimum distance.

ACKNOWLEDGEMENT

The authors wish to thank Antonia Wachter-Zeh and Daniel Augot for fruitful discussions.

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