Intra-regional transport of black carbon between the south edge of North China Plain and Central China during winter haze episodes

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Abstract. Black carbon (BC), from the incomplete combustion sources (mainly fossil fuel, biofuel and open biomass burning), is chemically inertness and optical absorber in the atmosphere. It has significant impacts on global climate, regional air quality, and human health. During the transportation, its physical-chemical characteristics and sources would change dramatically. To investigate the BC properties (i.e., mass concentration, sources and optical properties) during the intra-regional transport between the south edge of North China Plain (SE-NCP) and Central China (CC), simultaneous observations of BC at a megacity (Wuhan, WH) in CC, three borderline cities (Xiangyang, XY, Suixian, SX and Hong’an, HA, distributing from the west to east) between SE-NCP and CC and a city (Luohe, LH) in SE-NCP were conducted during the typical winter haze episodes. Using Aethalometer, the highest equivalent BC (eBC) mass concentration and aerosol absorption coefficients (σabs) were found in LH at SE-NCP, followed by the borderline cities (XY, SX and HA) and WH. The levels, sources, optical properties (i.e., σabs and absorption Ångström exponent, AAE) and geographic origins of eBC were different between clean and pollution episodes. Compared to clean days, higher eBC levels (increased by 26.4−163%) and σabs (increased by 18.2−236%) were found during pollution episodes due to more combustion of fossil fuel (increased by 51.1−277%), supported
by the decreased AAE (by 7.40–12.7%). Conditional bivariate probability function (CBPF) and concentration-weighted trajectory (CWT) results showed that the geographic origins of biomass burning (BC$_{bb}$) and fossil fuel (BC$_{ff}$) combustion derived BC were different. Air parcels from south direction dominated for border sites during clean days, with contributions of 46.0–58.2%, while trajectories from the northeast had higher contributions (37.5–51.2%) during pollution episodes. At the SE-NCP site (LH), transboundary influences from south direction (CC) exhibited more frequent impact (with the air parcels from this direction contributed 47.8% of all the parcels) on the ambient eBC levels during pollution episodes. At WH, eBC was mainly from the northeast transport route during the whole observation period. Two transportation cases showed that from upwind to downwind direction, the mass concentrations of eBC, BC$_{ff}$, and $\sigma_{abs}$ all increased, while AAE decreased. This study highlighted that intra-regional prevention and control for dominated sources at each specific site should be considered to improve the regional air quality.

1 Introduction

Black carbon (BC), a distinct type of carbonaceous material, has attracted wide attention mainly due to its climate effect over past decades (Hansen et al., 2000; Jacobson, 2000; Bond et al., 2013). BC can strongly absorb but reflect less light and the direct radiative forcing is estimated to be +0.88 W m$^{-2}$ (Bond et al., 2013). It is composed of small carbon spherules and has large specific surface areas, which allows it to absorb aerosol, and provide substrate for atmospheric chemical reactions (Liu et al., 2018a). BC also has adverse human health effect due to its absorption of carcinogenic pollutants (Jansen et al., 2005; Cao et al., 2012). Additionally, recent studies showed that BC can strongly impact the ambient air quality. For instance, in urban areas, BC can enhance haze pollution by modifying the planetary layer height, which was unfavorable to the vertical dispersion of air pollutants (Ding et al., 2016). This “dome effect” is more substantial in rural areas under the same BC conditions (Wang et al., 2018a). BC particle, coated with more materials can markedly amplify absorption and direct radioactive forcing, which would further worsen the air quality (Peng et al., 2016; Liu et al., 2017a; Zhang et al., 2018). As the transportation keynotes, the properties of BC at rural and suburban sites needed to be emphasized, which were always ignored in former field campaigns.

BC is formed only in combustion processes of carbon-based materials such as biomass and fossil fuels. The broadly reported BC sources can be grouped into stationary sources (i.e., industrial emission), area sources such as residential coal/wood combustion, open burning and mobile sources including diesel engines, etc. (Chow et al., 2011; Bond et al., 2013). To identify BC sources, several methods including aethalometer model, diagnosis ratios and radioactive carbon isotope have been developed (Sandradewi et al., 2008; Verma et al., 2010; Zotter et al., 2016). Chow et al., (2011) summarized the ratios of element carbon to PM$_{2.5}$ (expressed as percentage, %) from various sources and these ratios have been used to qualitatively describe the BC sources (Liu et al., 2018a). Radiocarbon method can give the quantified results of BC sources as the abundances of $^{14}$C/$^{12}$C in fossil fuel and modern carbon sources (i.e., biogenic sources) are different. Radiocarbon method coupled with laevoglucose, a tracer of biomass burning has been adopted in BC source apportionment (Zhang et al., 2015a;
Liu et al., 2017b; Mouteva. et al., 2017; Salma et al., 2017). However, the technical limitations and the high cost for $^{14}$C measurement block the application of radiocarbon method in BC source apportionment. The aethalometer model is an alternative method, which can attribute the BC to fossil fuel combustion and biomass burning. The source apportionment can be conducted using multi-wavelength BC data (Sandradewi et al., 2008; Liu et al., 2018a) and the validity was proved by $^{14}$C method (Zotter et al., 2016). Compared to other methods, aethalometer model can provide high-time resolution variation of BC source contributions (Kalogridis et al., 2017; Liu et al., 2018a), which can help to understand the atmospheric behaviors of BC, especial for the temporal variation.

Atmospheric lifetime of BC varied from a few days to weeks and therefore, BC undergoes regional and intercontinental transport (Bond et al., 2013). During the transport, its mixing state, morphology and optical properties will change (China et al., 2015). As a result, BC has been observed in remote areas such as the polar regions (Huang et al., 2010; Weller et al., 2013; Qi et al., 2017; Xu et al., 2017) and Tibetan Plateau (Cong et al., 2013). Qi et al., (2017) found that Asian anthropogenic activities and biomass burning emissions from Siberian contributed 35−45% and 46−64%, respectively to the sources of BC in Arctic in April 2008 by GEOS-Chem modeling. Xu et al. (2017) also used the global transport model to conclude that the anthropogenic emissions from eastern and southern Asia contributed most to the Arctic BC column loading with percentages being 56% and 37% for spring and annual, respectively. To study the regional transport of BC, backward trajectory and concentration-weighted trajectory (CWT) were also employed (Huang et al., 2010; Wang et al., 2017a). However, previous studies mostly focused on the impact of BC transportation on its physical-chemical properties at a given site (e.g., a megacity or a remote background site). A recent study indicated that in the south Ontario, higher BC loading in summer was partly from the trans-boundary fossil fuel derived BC emissions in the US (Healy et al., 2017). To our knowledge, the interaction of BC transportation among various sites for a specific region has been rarely reported, which may limit the understanding of regional-joint control for air pollution.

After continuous efforts, especially in the last five years, the spatial distribution pattern of air pollution has changed obviously in China, and the positive result is that the average annual PM$_{2.5}$ concentration in Pearl River Delta (PRD) has achieved the national secondary standard level (http://www.zhb.gov.cn/hjzl/zghjzkgb/inzhghjzkgb/). Now the key regions suffering from severe PM$_{2.5}$ pollution are North China Plain (NCP), Yangtze River Delta (YRD), Sichuan Basin (SB), Fen-Wei River Basin and Central China (CC). From Lin et al. (2018), it could be found that the air pollution areas at the south edge of North China Plain (SE-NCP) and Central China were combined together and there existed obvious transportation routes between SE-NCP and CC. The spatial distribution of aerosol optical depth (AOD) across China also verified that high values existed in Central China (Tao et al., 2017). As an important chemical composition of PM$_{2.5}$, BC account for 7.1−25.3% of PM$_{2.5}$ mass (Huang et al., 2014). A lot of observations of ambient BC have been conducted (Table S1–S2) which are mainly for NCP (Zhao et al., 2013; Ji et al., 2018; Liu et al., 2018a; Wang et al., 2017a), YRD (Zhuang et al., 2014, 2015, 2017), PRD (Cheng et al., 2008; Wu et al., 2009; Wang et al., 2017b) and Tibetan Plateau (TP) (Zhu et al., 2017; Niu et al., 2018; Wang et al., 2018b). No studies have concerned the BC transportation and interaction between these key regions. BC emission inventory suggested that there were differences in source categories between NCP and CC (Wang et al., 2014a; Qiu et al., 2016), especially for the
residential coal combustion (Qin and Xie, 2012). It should be emphasized that during the winter period, there were central-heating activities in NCP, while no heating activities existed in Central China. It implied that the sources of BC should be different. Therefore, the special geographic locations and terrain of Central China (Fig. 1) provide an ideal opportunity to understand the BC levels, optical properties, sources and its variation during intra-regional transportation between the two polluted regions. However, corresponding researches have not been reported. Therefore, the aims of this study were to (1) study the differences of BC levels, sources, and optical properties under different air quality at this region; (2) quantify the regional transportation of BC at multiple observation sites in CC and SE-NCP. To study BC sources, the diagnosis ratios and aethalometer model were used. The backward trajectory-based methods were employed to quantify the potential regional transport contribution. This paper firstly reported the sources of BC in Central China and gave the direct evidence of BC properties variation during the regional transportation between two key regions of China, which is helpful to develop effective countermeasures for mitigating regional air pollution.

2 Methodology

2.1 Observation plan

For selecting the sites, we refereed to the trajectory of air masses reached to Wuhan in January 2017 (Figure S1) and found that the north and northwest direction dominated. For the north direction, the air masses originated from the SE-NCP and Luohe is just on the north routes and close to the heavy polluted region as Figure 1 shown. Central China is not an isolated region. From Figure 1, there were two obvious connection channels for PM$_{2.5}$ between SE-NCP and CC, which was decided by the mountains crossing the two regions. Therefore, to investigate the regional transport of air pollutants and also answer whether the pollutants in CC can be transported to SE-NCP in winter, five sites including WH, three borderline cities (Xiangyang, XY; Suixian, SX and Hong’an, HA, distributing from the west to east) between NCP and CC and a city (Luohe, LH) in SE-NCP were selected. The observation site at Wuhan locates on a rooftop of Hubei Environmental Monitoring Centre, which is an urban site with no industrial emission sources. LH and XY sites are located in suburban areas. HA and SX sites belong to rural areas. The observation instruments were placed near the local environmental monitoring stations. Six routine-monitored air pollutants including PM$_{2.5}$, PM$_{10}$, NO$_2$, SO$_2$, CO and O$_3$ were available. Black carbon measurement instruments including Magee Scientific-AE31, AE33 and AE51 were deployed (Table 1). The observation periods started from 8th January after a regional snowfall event and ended at 25th January 2018 before another snowfall event coming. The observation duration at the five sites are summarized in Table 1.

2.2 Instrument description

AE31 continuously collects ambient BC on a quartz tape and measures light singles on sampled spot ($I$) and reference spot ($I_0$) and the light attenuation (ATN) is defined as:
\[ ATN = -100 \ln \left( \frac{I}{I_0} \right) \]  

It assumes a linear relation between BC mass loading and the delta of ATN as a result of BC deposited on the tape. BC mass concentration is calculated as following:

\[ BC = \frac{d(ATN)}{MAC} \times \frac{A}{V} \]  

where MAC is the mass specific attenuation cross section (m\(^2\) g\(^{-1}\)); \( A \) is the area of sampled spot (1.67 cm\(^2\)); \( V \) is the volume of the sampled air passing through the tape. The disadvantage of AE31 is the filter loading effect, which needs further correction to compensate (Petit et al., 2015). The BC absorption coefficient \((b_{abs}, \text{Mm}^{-1})\) is calculated as:

\[ b_{abs} = \frac{BC \times MAC}{C \times R(ATN)} \]  

where \( C \) is the calibration factor (2.14 for quartz material tape); \( R(ATN) \) is a correction factor for shadowing effect and it is empirically determined using the compensation parameter \( f \) (Weingartner et al., 2003):

\[ R(ATN) = \left( \frac{1}{f} - 1 \right) \frac{\ln(ATN) - \ln(10)}{\ln(50) - \ln(10)} + 1 \]  

To overcome the shortage of loading effect, AE33 (dual spot) was developed. It also simultaneously measures the ATN at seven wavelengths. Different to AE31, AE33 measures BC on two parallel spots on the fibre tape (Teflon-coated) with different flow rate:

\[ BC_1 = BC \times (1 - k \cdot ATN_1) \]  
\[ BC_2 = BC \times (1 - k \cdot ATN_2) \]  

The loading compensation \( k \) is calculated according equation (5) and (6) and BC mass concentration is further calculated as following:

\[ BC = \frac{A \left[ d(ATN)/100 \right]}{F_1 (1-\phi) MAC \cdot C \cdot (1-k \cdot ATN_1)} dt \]  

For AE33, the area of sampled spot \( (A) \) is 0.785 cm\(^2\) and enhancement parameter \( (C) \) is 1.57 for Teflon-coated fibre. The absorption coefficient \((\sigma_{abs}, \text{Mm}^{-1})\) by AE33 is estimated as multiplying BC mass concentration by MAC. More details about BC concentration calculation, parameters (i.e., \( f \) and MAC for different wavelengths) and the differences between AE31 and AE33 can be found in previous study (Rajesh and Ramachandran, 2018). AE51 measures the absorbance \((ATN)\) of the loaded spot (3 mm diameter) and the reference portion of a Teflon-coated borosilicate glass fiber using a stabilized 880 nm LED light source. The flow rate of AE51 was set as 100 mL min\(^{-1}\) and more information about AE51 can be found online (https://aethlabs.com/microaeth/ae51.tech-specs).

2.3 Data processing

2.3.1 BC source apportionment

BC absorbs the solar spectrum efficiently with a weak dependence on wavelength and the absorption Ångström exponent (AAE) is used to describe this spectral dependence of absorption (Zhu et al., 2017). The AAE value varies significantly from
one source to another, i.e., the AAE values for fossil fuel combustion and biomass burning derived BC are 1.0 and 2.0, respectively (Sandradewi et al., 2008). BC source apportionment method was established based on the AAE (Sandradewi et al., 2008) and was verified by $^{14}$C method (Zotter et al., 2016).

Black carbon source apportionment using aethalometer model is based on the assumption that the aerosol absorption coefficient is different from fossil fuel combustion derived BC ($BC_{ff}$) and biomass burning derived BC ($BC_{bb}$). Because the absorption coefficients at different wavelengths are different and the absorption of $BC_{ff}$ and $BC_{bb}$ follow different spectral dependencies. The Ångström exponents: $\alpha_{ff}$ and $\alpha_{bb}$ are used to describe the dependencies of fossil fuel and biomass burning, respectively.

The following equations are used (Sandradewi et al., 2008):

$$\frac{b_{abs(470nm)}_{ff}}{b_{abs(950nm)}_{ff}} = \left(\frac{470}{950}\right)^{-\alpha_{ff}}$$  \hspace{1cm} (8)

$$\frac{b_{abs(470nm)}_{bb}}{b_{abs(950nm)}_{bb}} = \left(\frac{470}{950}\right)^{-\alpha_{bb}}$$  \hspace{1cm} (9)

$$b_{abs(470nm)} = b_{abs(470nm)}_{ff} + b_{abs(470nm)}_{bb}$$  \hspace{1cm} (10)

$$b_{abs(950nm)} = b_{abs(950nm)}_{ff} + b_{abs(950nm)}_{bb}$$  \hspace{1cm} (11)

$$BB(\%) = \frac{b_{abs(950nm)}_{bb}}{b_{abs(950nm)}_{ff}}$$  \hspace{1cm} (12)

where $b_{abs}(470 \text{ nm})$ and $b_{abs}(950 \text{ nm})$ are BC absorption coefficients at 470 and 950 nm wavelengths, respectively. Due to the single channel ($\lambda=880 \text{ nm}$) of AE51, BC source apportionment results were not available at SX and XY.

### 2.3.2 Assessment of surface transport

Generally, the north wind dominated in winter in CC and air pollutants can be transported from upwind direction (north) to downwind direction (south). In order to evaluate the effects of regional transport, the surface transport under specific wind direction and speed per unit time was calculated according to previous study (Wang et al., 2018b):

$$f = \frac{1}{n} \sum_{i=1}^{n} C_i \times WS_i \times \cos \theta_i$$  \hspace{1cm} (13)

where $f$ stands for the surface flux intensity of BC ($\mu g \text{ s}^{-1} \text{ m}^{-2}$); $n$ is the sum of observation hours; $WS_i$ and $C_i$ stand for the hourly average of wind speeds (m s$^{-1}$) and BC mass concentrations ($\mu g \text{ m}^{-3}$) in the $i$th observation duration, respectively; $\theta_i$ represents the angle differences between hourly wind direction and the defined transport directions (i.e., northwest-southeast for HA, SX and WH and north-south direction for LH and XY).

### 2.4 Potential geographic origins

The concentration-weighted trajectory (CWT) is always used to assess the regional transport of air pollutants (Kong et al., 2018; Zheng et al., 2018). This method is based on backward trajectory analysis. Prior to CWT analyses, the backward trajectory calculating was firstly conducted in each sampling site. The input wind datasets for HYSPLIT are downloaded from the Nation Oceanic Atmospheric Administration (NOAA) (ftp://arlftp.arlhq.noaa.gov/pub/archives/gdas1/). For backward trajectory analysis, the air masses reaching at each observation site during the sampling period were calculated for 24 times
with 1-hour resolution each day (starting from 0:00 to 23:00) at 200 m AGL (Fig. S2). These trajectories were then clustered according to their geographic origins (Fig. 1). For CWT analysis, a user-friendly tool Zefir written in Igor was used (Petit et al., 2017a). The domain covered by trajectories was divided into thousands of cells with 0.2° × 0.2°. More description about CWT can be found in text S1.

2.5 Auxiliary dataset

Hourly meteorological dataset including sea level pressure, temperature, relative humidity, wind speed, wind direction and visibility were acquired from the China Meteorological Data Service Centre (CMDC) (http://data.cma.cn, last accessed: 2018/1/26). The every 3-hour boundary layer height (BLH) was acquired from the NOAA’s READY Archived Meteorology online calculating program (http://ready.arl.noaa.gov/READYamet.php, last accessed: 2018/4/8). Figure S3 shows the hourly averaged meteorological parameters at the five sites. Meteorological conditions at the five sites followed similar variation trends. However, significant differences \((p < 0.01)\) of these parameters were found (Table S3). For instance, the average pressure, temperature and relative humidity at WH were significant higher \((p < 0.01)\) than those at LH. For BLH, the mean values of the five sites showed insignificant differences.

Six air pollutants (PM\(_{10}\), PM\(_{2.5}\), SO\(_2\), NO\(_2\), CO and O\(_3\)) were available and the data were downloaded from the China Environmental Monitoring Centre (http://www.cnemc.cn, last accessed: 2018/4/10). Figure S4 shows their hourly variations during the observation period. The major air pollutant was PM\(_{2.5}\) during the entire observation campaign. According to the Ambient Air Quality Standards (GB3095-2012), the air quality can be classified into clean, light polluted and heavily polluted when PM\(_{2.5}\) mass concentrations are less than 75, between 75—250 and greater than 250 \(\mu\)g m\(^{-3}\), respectively. Similar air quality classification was also reported elsewhere (Zheng et al., 2015; Zhang et al., 2018). Detailed information about the daily air quality of each site is shown in Fig. S5.

3 Results and discussion

3.1 General characteristics

Time series and box plots of the eBC concentrations (measured at 880 nm) at the five sites are shown in Fig. 2. The highest eBC concentration was observed at LH \((8.48 \pm 4.83 \mu g m^{-3}\)) followed by XY \((7.35 \pm 3.45 \mu g m^{-3}\)), HA \((5.54 \pm 2.59 \mu g m^{-3}\)), SX \((4.47 \pm 2.90 \mu g m^{-3}\)), and WH \((3.91 \pm 1.86 \mu g m^{-3}\)). As Table S1 shown, BC was generally higher in North China and lower BC levels were found in remote areas and coastal areas as Fig. 3a shows. Wang et al. (2014b) analyzed ambient BC in an urban site in Xi’an during winter and found the average mass concentration was 8.8 ± 3.7 \(\mu g m^{-3}\), which was higher than that in this study. Compared to other regions, BC levels in this study were higher than a remote area of Lulang in southeastern part of the Tibetan Plateau \((0.31 \pm 0.55 \mu g m^{-3}\)) (Wang et al., 2018) as well as coastal areas such as Hong Kong \((1.4 \pm 1.1 \mu g m^{-3}\)) (Wang et al., 2017a) and a rural site in Shenzhen \((2.6 \pm 1.0 \mu g m^{-3}\)) (Huang et al., 2012). From BC emission inventory,
North and Central China hold higher BC emission intensity (Qin and Xie, 2012; Yang et al., 2017). Emission amounts in Hubei and Henan provinces were about 0.6–1.0 g C m⁻² yr⁻¹, which were higher than other regions (Yang et al., 2017). Simulation results also suggested that the near-surface concentrations of BC (6–8 μg m⁻³) in Hubei and Henan were higher than those in south China (4–6 μg m⁻³) during winter (Yang et al., 2017). Compared to the data in other countries (Table S1), BC levels in this study were higher than those in Finland (Hyvärinen et al., 2011), France (Petit et al., 2017b), Ontario (Healy et al., 2017), and south Africa (Chiloane et al., 2017).

For the aerosol absorption properties measured at seven wavelengths by aethalometer, the characteristics (i.e., temporal variation) are generally consistent with each other and the corresponding properties for wavelength at 520 nm is mostly discussed (Zhuang et al., 2015, 2017; Wang et al., 2017b). Then, we only discussed the absorption properties at λ = 520 nm. Figure 4a show the frequency distribution of absorption coefficients (σ_abs) at three sites. σ_abs measured at HA, LH, and WH exhibited a single peak pattern. The average values of σ_abs measured at HA, LH and WH were 86.0, 132 and 60.6 Mm⁻¹, respectively. Similar to the spatial distribution of BC level, higher σ_abs was found in North and Central China, while lower values observed in coastal areas and Tibetan Plateau (Fig. 3b and Table S2).

Figure 4b also shows the average absorption spectra measured at seven wavelengths for different sites. The power law fit was used to calculate the AAE (Zhu et al., 2017). The highest average AAE value was found at LH (1.37), followed by HA (1.32) and WH (1.29). The results indicated that the AAE was different at urban, suburban and rural sites. Generally, the AAE from coal combustion (2.11–3.18) (Sun et al., 2017) and biomass burning (1.85–2.0) (Petit et al., 2017b) were higher than that from traffic sources (0.8–1.1) (Sandradewi et al., 2008; Olson et al., 2015). Therefore, AAE at different sites suggested the different energy consumption structure and more coal or biomass were burned in North China (i.e., LH in this study).

### 3.2 Clean days vs pollution episodes

Figure 5 shows the eBC concentrations under different air quality. It clearly shows that the eBC concentrations increased as the deterioration of air quality. At LH, the average eBC concentrations were 3.39 ± 2.06 μg m⁻³, 8.31 ± 4.55 μg m⁻³ and 13.0 ± 4.59 μg m⁻³, respectively when the air quality was clean, light polluted and heavy polluted. The average values of eBC increased by 163%, 139%, 96.2%, 51.8% and 26.4% at SX, XY, LH, HA and WH, respectively from clean to pollution. The eBC enhancement along with the air quality deterioration was also reported elsewhere (Wang et al., 2014b, c; Liu et al., 2016, 2018a). At LH and HA, the enhancement of eBC level from clean to pollution period was due to both the elevated BC emissions from biomass burning (BC_{bb}) and fossil fuel combustion (BC_{ff}) (Fig. 5b and 5c). The BC_{ff} accounted for a higher contribution to eBC and the percentages of BC_{bb} to eBC decreased during the haze episodes (Fig. 4d). At WH, both the concentration and percentage of BC_{bb} decreased from clean to pollution, which suggested that more BC_{ff} was emitted during haze episodes. This finding was different with previous study conducted in Beijing that the absolute concentration and percentage of biomass burning and coal combustion were higher than traffic source to eBC and increased from clean to pollution episodes (Liu et al., 2018a). The differences suggested that the control of fossil fuel combustion (vehicle emissions) instead of coal or biomass
burning should be taken priority during the haze episodes at WH. While it should give priority to biomass and coal combustion control in North China to prevent air pollution.

Additionally, the aerosol optical properties ($\sigma_{abs}$ and AAE) also exhibited different levels under different air quality. Similar to eBC levels, the $\sigma_{abs}$ elevated by 11.7–254% as the air quality switched from clean to pollution (Fig. 5e). Our observation (Fig. S6) and previous study found that there are more secondary aerosols (i.e., sulfate, nitrate) during the pollution episodes (Huang et al., 2014). The increased secondary aerosols would be more adsorbed on BC particle and therefore, the BC absorption enhanced via the lens effects of these coated materials (Jacobson, 2000; Moffet and Prather, 2009). On the contrary, the AAE showed higher values during clean days when compared to pollution episodes (Fig. 5f). The AAE decreasing from clean to polluted days was also reported elsewhere (Zhang et al., 2015b) and it can be partly attributed to the source variation. The AAE for biomass burning is about 2.0 while the AAE for fossil fuel combustion is about 1.0 (Sandradewi et al., 2008). Higher AAE values during clean days suggested that more BC may be from biomass burning and lower AAE indicated the dominance of fossil fuel combustion during the pollution period (Fig. 5c). The AAE is also sensitive to other factors such as the particle size. Previous studies suggested that the particle diameter and number concentration increased from clean to pollution episodes due to several factors such as coagulation, hygroscopic growth, emissions, meteorological conditions, i.e., planetary boundary layer and wind speed (Guo et al., 2014; Zhang et al., 2017). These studies suggested that the particle diameter is generally larger during pollution days. Furthermore, the lab combustion and numeric simulation proved that BC particle with larger geometric median diameter had lower AAE value (Singh et al., 2016; Liu et al., 2018b). Therefore, lower AAE was observed during pollution episodes in this study.

Figure 6 and Fig. S7 shows the diurnal variations of eBC and absorption coefficients under different air quality. The diurnal cycles of black carbon and absorption showed similar variation patterns. The BC mass concentrations were discussed here. At HA, LH and SX, after sunrise, an increasing and a peak value at about 09:00 local time (LT) were observed. This variation was more obvious during pollution days due to the higher eBC levels. The morning peak may be related with the combined effects of increased biomass burning and fossil fuel combustion emissions (Fig. S7). Additionally, the low mixing layer height in the morning also favored the accumulation of eBC. After sunrise, with the elevation of BLH, the eBC levels decreased and the minimum occurred at about 15:00 (LT). In the evening hours, eBC showed increasing trend and peaked at about 21:00 (LT). Similar diurnal patterns of eBC were also reported in other areas (Verma et al., 2010; Ji et al., 2017; Liu et al., 2018a). However, the diurnal variations of eBC at WH and XY exhibited different patterns during clean or pollution episodes. The diurnal pattern of eBC at WH was not likely controlled by the development of mixing layer height, which may lead to the maximum and minimum values of air pollutants generally occurring at sunrise and afternoon, respectively. The unexpected lower value in the morning (about 09:00 LT) and higher value in the afternoon (15:00 LT) at WH needed further research.

3.3 Ratios of BC/PM$_{2.5}$ and BC/CO

The BC/PM$_{2.5}$ and BC/CO ratios are widely used to identify the BC sources (Zhang et al., 2009; Wang et al., 2011; Verma et al., 2010; Chow et al., 2011). Generally, the ratios of BC/PM$_{2.5}$ from mobile sources (0.059-0.74) and area sources (0.032-0.33)
were higher than that from industrial sources (0.0046-0.03). For instance, the mobile sources hold the highest ratios of BC/PM$_{2.5}$ (0.33−0.77) and the cement kiln showed lower ratio (0.03) (Chow et al., 2011). For the BC/CO ratios (μg m$^{-3}$/ppbv), it also varied for different sources, i.e., traffic (0.0052), industry (0.0072), power plant (0.0177), and residential (0.0371) (Zhang et al., 2009). In this study, the BC, PM$_{2.5}$ and CO were well correlated with each other (Fig. S8). The correlation coefficients ($r^2$) between BC and PM$_{2.5}$ were 0.67, 0.30, 0.44, 0.37 and 0.48 at LH, HA, WH, SX and XY, respectively. Significant correlations ($p < 0.05$) between BC and CO were found with $r^2$ ranging from 0.27 (XY) to 0.71 (LH). The good correlations indicated that the BC, PM$_{2.5}$ and CO may be from similar sources (except HA with low $r$ value as 0.06).

Overall, BC in this study was not likely from industrial emissions (Fig. 7a), as the BC/PM$_{2.5}$ ratios (μg m$^{-3}$/μg m$^{-3}$) (0.045−0.083) were higher than those from industry (0.0046−0.03) (Chow et al., 2011). Instead, BC/PM$_{2.5}$ ratios at the five sites were all within the range of oil combustion (0.03−0.136). Additionally, the BC/PM$_{2.5}$ ratios at LH and SX were in line with the ratio from residential wood combustion. From BC/CO ratios, BC was more likely from biomass burning (crop residue: 0.0056−0.016) at HA and LH, while it was mainly from gasoline combustion in SX, WH, and XY (Fig. 7b). Quantified calculation results using equations in section 2.3.1 also suggested that the fractions of BC from biomass burning at HA (27.6 ± 9.40%) and LH (29.5 ± 9.14%) were significant higher ($p < 0.01$) than that at WH (25.4 ± 11.8%). Compared to other urban areas, the ratios of BC/CO (μg m$^{-3}$/ppbv) at SX (0.004), and WH (0.0044) were lower than those in Beijing (0.0058) (Han et al., 2009), Guangzhou (0.0054) (Verma et al., 2010), Gwanjun (0.006) (Park et al, 2005) and Tokyo (0.0057) (Kondo et al., 2006) as well as Mt. Huang (0.0065) (Pan et al., 2011), while ratios at HA (0.0091) and LH (0.0076) were higher than the values in these studies.

### 3.4 BC under different wind direction and speed

Conditional bivariate probability function (CBPF) plot was used to identify and quantify the impact of likely source regions of air pollutants as defined by wind direction and speed (Carslaw and Ropkins, 2012). Fig. S9 shows the eBC levels under different wind speed and directions at the five sites. As shown in Fig. 1, SX and HA are located in the northwest direction of WH and high eBC levels were found in the northwest directions of SX, HA and WH when north wind dominated. On the contrary, when the south wind dominated, BC was blowing from south to the north direction and high levels were found in the south direction at WH and HA. However, at LH and XY, higher levels of BC were only found from south direction. In addition to eBC levels, the BC$_{bb}$ and BC$_{ff}$ under different wind speed and directions were also discussed at HA, LH and WH (Fig. 8). At HA, the CBPF plot of BC$_{ff}$ was in line with eBC and high levels were from both northwest and south directions while the high level of BC$_{bb}$ (> 1.8 μg m$^{-3}$) was only found in southeast direction. Similar result was also found at WH. High level of BC$_{bb}$ was due to more biomass burning in the southeast direction of HA and WH (Fig. S10). At LH, the CBPF plots of BC$_{bb}$ and BC$_{ff}$ were the same with the eBC as discussed above.

In order to describe the BC transportation from upwind to downwind directions, we used Eq. (13) in section 2.3.3 to calculate the surface transport (ST) of eBC (Fig. 9). The calculated average ST values of BC were −0.69 ± 10.2, −0.06 ± 12.0, −0.17 ± 5.33, 0.29 ± 6.14 and 0.99 ± 17.8 μg s$^{-1}$ m$^{-2}$, respectively for HA, LH, SX, WH and XY. The negative values at HA, LH and
SX suggested that the transportation intensity of BC from south (southeast) to north (northwest) direction was higher, while the positive values observed at WH and XY indicated that more BC was transported from north direction to south direction. The large standard deviation of SAT reflected strong fluctuations in transport, which was due to wind speed, directions and BC levels (Wang et al., 2018b).

### 3.5 Potential geographic origins

Employing CWT method, the potential geographic origins of eBC for the five sites were explored (Fig. S11). Overall, CWT results of eBC at the five sites suggested that high eBC levels were found both in the north and south directions of LH and WH, while the high levels (i.e., > 4 µg m\(^{-3}\)) of eBC were only found from northeast directions of HA, SX and XY (Fig. S11). Additionally, the potential geographic source regions of BC\(_{bb}\) and BC\(_{ff}\) at HA, LH and WH were also discussed as shown in Fig. 10. At HA, the CWT results showed that high levels of eBC (i.e., > 3 µg m\(^{-3}\)) were from north/northeast direction. However, the hot spots of BC\(_{bb}\) and BC\(_{ff}\) were different, with higher levels of BC\(_{bb}\) from both south and north directions and higher levels of BC\(_{ff}\) from the north direction. Also, higher levels of BC\(_{bb}\) and BC\(_{ff}\) were found in the south of LH. Opposite to the CWT results at HA, the hot spots of BC\(_{bb}\) was only found in the southeast direction of WH and high levels of BC\(_{ff}\) were found in the north and south directions of WH. The CWT results at WH were in line with the CBPF plots in section 3.4. The unity of CWT and CBPF results at WH suggested that there were intensive biomass burning activities in the south direction of WH during the observation period, which was verified by the MODIS fire-points distribution (Fig. S10).

We also discussed the source region differences of BC under different air quality (Fig. 11). The higher levels (>1 µg m\(^{-3}\)) of eBC, BC\(_{bb}\) and BC\(_{ff}\) were mainly from the south direction of three sites when the air was clean, while during the pollution episodes, air parcels from the north direction contributed high concentrations. For instance, at WH, high levels of eBC (> 2.5 µg m\(^{-3}\)) were found from south direction, while the source regions with high level eBC (> 3 µg m\(^{-3}\)) switched to northeast direction when the air quality was worsened. Figure 12 shows the semiquantitative results of transportation contribution results during clean and pollution episodes. At the boundary sites (HA, SX and XY), BC was mainly from south direction (accounting for 46.0–58.2%) when the air quality was clean, and it was mainly from northeast/northwest directions (51.2–76.5%) when the air quality getting worse. At SE-NCP site (LH), BC was dominantly from south direction (47.8%) during pollution episodes. At CC site (WH), BC was mainly from northeast direction (49.3–71.1%). These results suggested that northwest and northeast directions were the main transport pathways of air pollutants reaching to WH during the pollution episodes. Furthermore, to control local emissions during haze episodes, the emission sources, i.e., industry plant and open biomass burning in the upwind direction should also be controlled to prevent the further deterioration of air quality in downwind areas.

### 3.6 Case studies for BC properties variation during transportation

To explore the BC variations (i.e., mass concentration, sources and AAE) during the transportation, we chose two cases. LH and HA were selected as the study sites due to the same instrument deployment (AE33) and they are representative of SE-NCP and CC. BC transportation from HA to LH and from LH to HA were both considered. Figure 13a show the hourly backward
trajectories reaching at HA on 2018-1-12 and the trajectory at 13:00 (GMT) (black line) was found passing through LH and the travelling time was about 28 h. Therefore, the eBC mass concentration (including BC_{ff} and BC_{bb}), \(\sigma_{abs}\) and AAE at the upwind site LH on 8:00 2018-1-11 (GMT) and downwind site HA on 13:00, 2018-1-13 (GMT) were compared (Fig. 13b). In case 1, during the air transport from LH to HA, eBC, BC_{ff} and BC_{bb} significantly increased \((p < 0.01)\). The BC absorption enhancement from 25.6 \pm 0.81 Mm\(^{-1}\) (LH) to 61.8 \pm 12.5 Mm\(^{-1}\) (HA) was also observed, while the AAE significantly decreased from 1.49 \pm 0.02 to 1.42 \pm 0.02 \((p < 0.01)\). Similarly, in case 2, the air masses reaching at LH on 7:00, 2018-1-13 (GMT) were also passing through HA (black line) about 31 h ago (Figure 13c). The eBC, BC_{ff} and \(\sigma_{abs}\) increased from upwind (HA) to downwind (LH), while BC_{bb} and AAE decreased from 2.37 \pm 0.23 \(\mu\)g m\(^{-3}\) and 1.43 \pm 0.02 to 2.14 \pm 0.14 \(\mu\)g m\(^{-3}\) and 1.32 \pm 0.01, respectively (Fig. 13d). The eBC mass concentrations enhanced during the transportation regardless of the transport direction was from CC to NCP or from NCP to CC. Atmospheric removal of BC occurs in a few days to weeks via wet and dry depositions or contact with surfaces (Bond et al., 2013). In these two cases, there were no precipitation events and the transport time was short \((i.e., 28 \text{ and } 31h)\), which suggested the less removal rates. Therefore, the new emission inputs along the trajectory enhanced the eBC mass concentration during the transport. However, slight differences were found for BC_{bb} transport: BC_{bb} increased from north direction (LH: 1.28 \pm 0.06 \(\mu\)g m\(^{-3}\)) to south direction (HA: 2.57 \pm 0.47 \(\mu\)g m\(^{-3}\)), while BC_{bb} decreased from HA (2.37 \pm 0.23 \(\mu\)g m\(^{-3}\)) to LH (2.14 \pm 0.14 \(\mu\)g m\(^{-3}\)). The difference suggested that there were more intensive biomass burning emissions in Henan than Hubei province, which was also verified by the BC emission inventory (Qin and Xie, 2012; Qiu et al., 2016).

Previous study found that the BC coagulation with non-refractory materials becomes more significant when the aging timescale was greater than 10 h (Riemer et al., 2004). Chamber studies and field observations also found that the BC absorption enhancement under polluted urban ambient air (Peng et al., 2016, Zhang et al., 2018, Wang et al., 2018c), suggesting the role of aging in modifying BC optical properties. In these two cases, the travelling time (aging time) from LH to HA and from HA to LH was 28 h and 31 h, respectively, which suggested that the BC particle should be coagulated through complex atmospheric processes. Therefore, the \(\sigma_{abs}\) was found increased from upwind to downwind site. On the contrary, the AAE values were found decreased during the transport. The AAE is sensitive to the particle size. A lab combustion experiment showed that the particles with smaller diameter from fresh biomass burning have lower AAE value than larger particles (Singh et al., 2016). Simulation also confirmed that the AAE of BC particle decreased with the increasing of its geometric median diameter (Liu et al., 2018b). Therefore, the diameter of BC particle increased during the transportation due to the aging processes supported by the increased absorb coefficients and decreased AAE as discussed above.

### 4 Summary

In order to understand the levels, optical properties, sources, regional transportation and aging of BC in Central China and south edge of North China Plain during winter haze episodes, simultaneous observations at rural sites (HA and SX), suburban

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(LH and XY) and megacity (WH) were conducted during January 2018. Using the diagnosis ratios, aethalometer model, backward trajectory and concentration-weighted trajectory (CWT) methods, conclusions were drawn as following:

1. Generally, the highest ambient eBC was found in northern sites (8.48 ± 4.83 μg m⁻³ and 7.35 ± 3.45 μg m⁻³ at LH and XY), followed by the transport route sites (5.54 ± 2.59 μg m⁻³ and 4.47 ± 2.90 μg m⁻³ for and HA and SX), and southern site (3.91 ± 1.86 μg m⁻³ for WH).

2. Levels, sources, optical properties, and diurnal variation of eBC were different under different air quality. eBC concentrations and absorption coefficients (σ_{abs}) increased by 26.4−163% and 11.7−254%, respectively, from clean to pollution episodes. The increasing may be due to more fossil fuel combustion emissions during pollution episodes, supported by lower Ångström exponent (AAE) and higher BC eff concentrations.

3. BC/PM₂.₅ and BC/CO ratios suggested that BC was mainly from oil combustion and residential wood or biomass combustion in this region.

4. Conditional bivariate probability function results of BC_{bb} and BC eff showed different dominate source regions of BC_{bb} (mainly from southeast direction) and BC eff (from both northwest and southeast) of WH and HA. However, BC_{bb} and BC eff were mainly from south direction of LH.

5. At the boundary sites (HA, SX and XY), eBC was dominantly from south direction (accounting for 46.0−58.2%) when the air was clean, and it was mainly from northeast/northwest directions (51.2−76.5%) during pollution episodes. At the SE-NCP site, air masses from south direction accounted for 47.8% of ambient BC level when the air was polluted. At the CC site, air parcels from northeast contributed 49.3−71.1% to the BC loading during the entire observation period.

6. During the air transportation from upwind to downwind direction, BC mass concentration and absorption coefficients increased, while the AAE decreased. This study firstly revealed the differences of levels, optical properties and sources of BC at five sites in south edge of North China Plain and Central China during winter haze episodes and discussed the interaction of BC between two key polluted regions. It was expected to be a demonstration for corresponding researches on regional interaction of BC transportation during winter haze episodes for other regions.

Data availability: Data is available on request to kongshaofei@cug.edu.cn.

Author contributions: HZ, SF K, TL Z, and SH Q designed the study; HZ and SF K wrote the paper; YY Y, DT L, DL Z, TL Z, YQ B, and SL L commented on this paper; MMZ, N C and K X provided the routine air pollutant data; others helped the field observation.

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Table 1 Information of the observation sites, periods and instruments

| Sampling site       | Location         | Site type | Sampling period                  | Instrument | Data resolution |
|---------------------|------------------|-----------|----------------------------------|------------|-----------------|
| Hong’an (HA)        | 114.58° E, 31.24° N | Rural     | 1/8 13:00~1/25 9:00, 2018         | AE33       | 1-minute        |
| Luohe (LH)          | 114.05° E, 33.57° N | Suburban  | 1/9 18:00~1/25 9:00, 2018         | AE33       | 1-minute        |
| Suixian (SX)        | 113.28° E, 31.88° N | Rural     | 1/10 09:00~1/25 8:00, 2018        | AE51       | 1-minute        |
| Wuhan (WH)          | 114.39° E, 30.53° N | Urban     | 1/8 15:00~1/25 8:00, 2018         | AE31       | 5-minute        |
| Xiangyang (XY)      | 112.17° E, 32.02° N | Suburban  | 1/10 09:00~1/25 8:00, 2018        | AE51       | 1-minute        |
Figure 1 Location, terrain of the study area and clusters of backward trajectories reaching at each observation site. Up left is the spatial distribution of the 15 years average PM$_{2.5}$ concentrations at a resolution of 1 km (Lin et al., 2018). Right up shows that the study area is surrounded by mountains and Mt. DBS and Mt. TBS blocks the North China Plain (NCP) and Jianghan Pllian (JHP). Bottom shows that air masses reaching at the five sites were mainly from north directions (northwest and northeast) during the observation period.
Figure 2 Time series and box plots of eBC, BC_{bb}, BC_{ff}, and absorption Ångström exponent (AAE) at the five sites during the observation period.
Figure 3 Spatial distribution of BC mass concentration (a) and absorption coefficients (b) in China. More details can be found in Table S1 and S2 in the supplementary materials.
Figure 4 Frequency distribution of absorption coefficients ($\sigma_{\text{abs}}$) at 520 nm wavelength (left panel) and power fit of $\sigma_{\text{abs}}$ at seven wavelengths (right panel) for HA, LH, and WH.
Figure 5 Box (25–75th percentiles) and whisker (5–95th percentiles) plots of eBC concentrations (a), BC_{bb} (b), BC_{ff} (c), percentages of BC_{bb} (d), aerosol absorption coefficients (e), and absorption Ångström exponent (AAE) under different air pollution situation. The blue, orange and black color represent the clean (PM_{2.5} < 75 \, \mu g \, m^{-3}), light pollution (75 < PM_{2.5} < 250 \, \mu g \, m^{-3}) and heavy pollution conditions (PM_{2.5} > 250 \, \mu g \, m^{-3}), respectively. The data number for the different air quality could be found in the supplementary file (Table S4).
Figure 6 Diurnal variations of eBC under different air pollution situations (blue: clean; orange: light polluted; dark: heavy polluted) at the five observation sites. The solid lines are the average values and the filled ribbons are 95th confidential intervals of the average value.
Figure 7 Ratios of BC/PM$_{2.5}$ (a) and BC/CO (b) in this study and previous researches.

- Chow et al., (2011);
- Zhang et al., (2009);
- Dhammapala et al., (2007);
- Cao et al., (2008);
- Andreae and Merlet, (2001);
- Streets et al., (2003);
- Westerdahl et al., (2009);
- Liu et al., (2018a);
- Park et al., (2005);
- Vermal et al., (2010);
- Kondo et al., (2006);
- Pan et al., (2011).
Figure 8 Conditional bivariate probability function (CBPF) plots of BC_{bb} (left panel) and BC_{ff} (right panel) at HA, LH and WH.
Figure 9 Time series of surface transport intensity for BC at the five observation sites. Positive values for HA and LH indicated the transport direction was from north to south and negative values indicated the transport direction was from south to north. Positive values for SX, WH and XY indicated the transport directions were from northwest to southeast and negative values indicated the transport directions were from southeast to northwest.
Figure 10 Concentration-weighted trajectory (CWT) plots of BC$_{bb}$ (left panel) and BC$_{ff}$ (right panel) at HA, LH and WH during the whole observation site. The white dot represents the observation site.
Figure 11 Concentration-weighted trajectory (CWT) plots of eBC, BC_{bb} and BC_{ff} during clean and pollution episodes at HA, LH and WH. The white dot represents the observation site.
Figure 12 Cluster results of air masses reaching at five sites (inner pie plots) and the eBC percentage contributions from different clusters (extern pie plot) during the clean days (up panel) and pollution episodes (bottom panel). NW, NE and S mean the northwest, northeast and south direction clusters as shown in Figure 1.
Figure 13 Case studies of BC variation during the transportation from upwind to downwind direction. a (case 1): Hourly backward trajectories (grey line) reaching at HA on 2018-01-12 and the trajectory at 13:00 (GMT) (black line) was found passing through LH about 28 hours ago. c (case 2): Trajectory reaching at LH on 2018-01-13 07:00 (GMT) (black line) was found passing through HA about 31 hours ago. Box (25-75th percentiles) and whisker (5-95th percentiles) plots of eBC, BC_{ff}, BC_{bb} σ_{abs}, and AAE variations during the transport from LH to HA (b) and from HA to LH (d).