Current concepts in the diagnosis and management of adolescent idiopathic scoliosis

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Abstract

Background: Adolescent Idiopathic Scoliosis (AIS) is a complex 3D structural disorder of the spine that has a significant impact on a person's physical and emotional status. Thus, efforts have been made to identify the cause of the curvature and improve management outcomes.

Aim: This comprehensive review looks at the relevant literature surrounding the possible aetio-pathogenesis of AIS, its clinical features, investigations, surgical management options, and reported surgical outcomes in anterior spinal fusion, posterior spinal fusion or combined approach in the treatment of AIS.

Keywords: Adolescent idiopathic scoliosis, Current concepts, Spinal fusion, Aetio-pathogenesis

Introduction

AIS is the most prevalent type of scoliosis, with an occurrence rate of 0.47–5.2% [6]. The condition affects 2–4% of adolescents and accounts for approximately 90% of cases of idiopathic scoliosis in adolescents [7]. The prevalence of small curvatures is thought to be equal among girls and boys; however, severe curves are more prevalent in girls [6, 8].

Aetio-pathogenesis

AIS is the most prevalent type of scoliosis, with an occurrence rate of 0.47–5.2% [6]. The condition affects 2–4% of adolescents and accounts for approximately 90% of cases of idiopathic scoliosis in adolescents [7]. The prevalence of small curvatures is thought to be equal among girls and boys; however, severe curves are more prevalent in girls [6, 8].

Genetics

The pathophysiology of AIS is largely unknown; however, several studies suggest a genetic aspect [4]. Studies indicate an increased risk of developing AIS in people who have first degree relatives affected by AIS (prevalence of 6–11%) [9]. Furthermore, twin studies show that monozygotic twins have higher AIS concordance rates (73%) compared with dizygotic twins (36%) [10].

Oestrogens

Whilst scoliosis at younger ages shows an equal prevalence in males and females, during puberty the sex ratio increases to 8.4/1 (female/male), suggesting a role of sex hormones in the disease [11]. Esposito et al. and Kulis et al. found that blood content of oestradiol was lower in girls with AIS [11, 12]. Furthermore, Mao et al. and Grivas et al. found a tendency of delayed onset of menarche in AIS girls or girls in northern latitudes where AIS rates are higher [13, 14].
**Calmodulin**

Several studies show a relationship between elevated platelet calmodulin levels and scoliosis progression [15, 16]. Lowe et al. suggest the platelet changes are related to paraspinous muscle activity and that calmodulin acts as a systemic mediator of contractile tissues [17]. Zhang et al. found that genetic variants of CALM1 gene are associated with AIS susceptibility [18]. However, as there are interactions between calmodulin and melatonin, calmodulin may be involved in the changes in melatonin level and AIS development [19].

**Melatonin**

Machida et al. evaluated 90 pinealectomised chickens and found that scoliosis developed in the majority of chickens treated with serotonin, only 6/30 chickens treated with melatonin and in all 30 chickens who had no therapy. Interestingly, they found that the melatonin-treated chickens with scoliosis had less severe curves than those treated with serotonin [20]. In addition, Sadat-Ali et al. found that serum melatonin was significantly lower in AIS patients [21].

**Abnormal skeletal growth and biomechanical theories**

AIS initiation and progression rates are the highest among children undergoing their pubertal growth spurt [22]. Yim et al. reported that girls with severe AIS had delayed menarche with faster skeletal growth rates between 12 to 16 years old [23]. Moreover, Kaced et al. found that girls with AIS are generally taller, with a higher weight than healthy controls [24]. Cheung et al. found that after puberty for AIS girls, there was significantly longer corrected height, arm span, and various body segments and significant correlations between anthropometric parameters and curve severity [25].

Asynchronous neuro-osseous growth of the spinal column and cord has also been suggested to play a role in AIS [9]. The observation that the thoracic spine is longer anteriorly than posteriorly in AIS patients, a phenomenon known as relative anterior spinal overgrowth (RASO) or an uncoupled neuro-osseous growth, has now been corroborated with many anatomical and MRI studies [25–30]. Brink et al. evaluated the cause of anterior-posterior length discrepancy and showed that it was a consequence of both anterior and posterior column shortening and whilst the vertebrae contribute to the length discrepancy, it is mostly due to the secondary increased anterior intervertebral discs height [29]. The longitudinal growth of the vertebral bodies in AIS patients is disproportionate and faster than in age-matched controls and mainly occurs by enchondral ossification. On the other hand, the circumferential growth by membranous ossification is slower in both the vertebral bodies and pedicles [31].

The Hueter-Volkmann theory is widely associated with the pathogenesis of scoliosis and suggests that increased pressure on a vertebral epiphyseal growth plate impedes its rate of growth, whereas decreased pressure across the plate accelerates its growth [32]. The theory suggests that on the concave side of the curve, the epiphyseal plates have abnormally high pressures which lead to decreased rates of growth, whereas on the convex side the pressure is less, thus leading to accelerated growth [33]. Stokes et al. later proposed their vicious cycle hypothesis whereby asymmetric loading in a “vicious cycle” causes vertebral wedging during growth in progressive scoliosis curves. Their hypothesis implies that regardless of the initial cause of scoliosis, mechanical factors increase significantly during periods of rapid adolescent growth, when risk of curve progression is greatest [28, 34].

**Low bone mineral density (osteopenia)**

Osteopenia in both their axial and peripheral skeleton has been shown to occur in around 30% of AIS patients [35]. Cheng et al. found that areal bone mineral density (aBMD) and volumetric bone mineral density (vBMD) measured at the bilateral lower extremities were significantly lower in AIS patients compared with controls [36]. Additionally, Yip et al. found that osteopenic patients with AIS had significantly higher risk of surgery even after adjustment for menarche status, age and initial Cobb angle [35].

**Vitamin D**

As higher levels of vitamin D correlate with greater bone mineral density, several researchers have questioned the role of vitamin D in AIS. Furthermore, recent studies have shown a relationship between gene polymorphisms of vitamin D receptors (VDRs) and low bone mineral density [37]. Suh et al. reported that the VDR BsmI polymorphism is associated with low bone mineral density at the lumbar spine (LSBMD) in girls with AIS [38]. The mean RANKL and RANKL to OPG ratio in AIS patients were also increased compared with control subjects in one study. Furthermore, the RANKL and RANKL to OPG ratios were negatively correlated to the LSBMD and serum OPG levels in both groups and serum OPG levels were positively correlated to the LSBMD in both groups [39]. Balioğlu et al. found vitamin D levels were lower in AIS patients and levels were negatively correlated with Cobb’s angle [37]. Moreover, Hampton et al. found 56% of patients had vitamin D levels requiring supplementation [40].

**Clinical features**

AIS patients typically present with a deformity of the back, unequal shoulder levels, waistline asymmetry and a rib
prominence [41]. Occasionally back pain, not a typical finding in AIS, may be reported [43]. Rightward thoracic curves predominate in the majority of AIS cases; thus, atypical scoliosis curve patterns, combined with rapidly progressing curves or neurological symptoms, should warrant an investigation into a possible underlying lesion [42].

The physical examination includes assessment of curve patterns, shoulder levels and waist asymmetry [1]. Gait and posture are assessed, especially for a short-leg gait due to leg length discrepancy and listing to one side seen in severe curves [41]. The Adams forward bending test may reveal a rib rotational deformity (rib hump) on the convex side of the curve [1]. At this stage, whilst the patient is bending forward, a scoliometer is used to measure the angle of vertebral rotation [43]. An angle of 7° rotatory asymmetry suggests referral for evaluation of scoliosis [1]. As remaining spinal growth is associated with a risk of curve progression in AIS, monitoring growth velocity in every clinical examination is imperative and one of the most reliable methods for this is simple height measurements [44].

Investigations

Standard radiological images include upright standing posteroanterior (PA) and lateral views [43]. The location of the apex vertebrae should be determined and corresponds to the curves name: cervical, thoracic, thoracolumbar or lumbar curves [43, 45]. The main Cobb angle is measured by identifying the largest curve and its two end vertebrae (EV), defined as the maximally tilted vertebrae cephalad and caudal to the curve’s apex [4]. The Cobb method is then utilised by drawing lines along the superior border of the upper EV and the inferior border of the lower EV to form the Cobb angle [4, 43]. Additional imaging, such as magnetic resonance imaging, is reserved for patients with an atypical presentation of AIS suggestive of other underlying aetiologies [43].

The importance of low radiation techniques is paramount in the discussion of AIS as growing spines are subjected to repeated radiation exposure and thus growing concerns of cancer risks. The EOS slot-scanning 2D/3D system, with a 80% lower radiation dose compared with conventional radiography, is gaining in popularity with the additional advantage of simultaneous bi-planar imaging allowing 3D reconstruction of the deformity [46, 47].

Sequelae

The long-term sequelae of untreated AIS are not only physical such as curve progression, back pain and cardiopulmonary problems but also psychosocial issues [10]. It is generally accepted that curves are unlikely to progress in skeletally mature patients with curves less than 30°. However, curves between 30 and 50° have been shown to progress, on average, 10 to 15° over a patient’s lifetime. Moreover, curves over 50° can progress at a rate of 1° per year [48].

Non-surgical management

Therapy for AIS patients is not only to correct the deformity but also to slow or halt altogether the curve progression. Currently, AIS patients can undergo conservative or surgical management depending on the patient’s skeletal maturity and curve severity. The SRS recommends that AIS patients who have not reached skeletal maturity and have curves less than 25°, or patients who have reached skeletal maturity and have curves less than 45°, be observed through radiological means every 6 months until skeletal mature then every 2 years after that in adulthood [3, 49].

In AIS patients with curves from 25 to 45°, primary therapy may be bracing. The deformity, however, must be flexible in a skeletally immature patient with a Risser stage between 0 and 2 [3]. Although Risser stage and menarche is currently used for the SRS bracing criteria, recent studies have shown that the Sanders Maturity Scale (SMS) is a better predictor of the curve acceleration phase of growth than the Risser stage [50, 51].

The BRAIST study by Weinstein et al. was a multicentre study that compared the outcomes of bracing for at least 18 h a day to observation. They found the rate of treatment success, which was skeletal maturity without curve progression to 50° or more, was 72% after bracing compared with 48% after observation. They also found that longer hours of brace wear showed a positive association with rate of treatment success [52].

The underarm Boston brace (thoraco-lumbo-sacral orthosis) is the most often used brace and it is well tolerated as it can be hidden under clothes. Another option is the Rigo Chêneau orthoses (RCOs) developed with the intent to combine biomechanical forces in three dimensions, including curve derotation [53]. The Milwaukee brace (cervico-thoraco-lumbo-sacral orthosis), on the other hand, is more difficult to hide and less well tolerated and subsequently no longer plays a role in modern AIS bracing techniques [49]. The brace should be applied for 16–20 h a day and success is defined as curve progression less than 5° by the conclusion of treatment [49]. Bracing is continued until the peak growth spurt has stopped indicated by Risser 4 or 2 years after menarche in girls or Risser 5 in boys. After skeletal maturity, curves less than 30° may be discharged as these are not likely to progress. Night-time braces (Charleston and Providence) are worn for 8 to 10 h a night and may be considered for skeletally immature patients with a single major curve of 25 to 35° and an apex below T8 [49].
Label et al. evaluated the in-brace radiographic correction for patients treated with either the thoraco-lumbo-sacral orthosis (TLSO) or the RCOs. Following bracing, they found that the apical vertebral rotation was significantly reduced by the RCOs when compared with the TLSO by on average 8.2° vs. 4.9° [54]. Another study evaluated the therapeutic success of the RCOs. At treatment onset, patients had an average Cobb angle of 31.97°, Risser score 1.07 and the mean angle of thoracic rotation (ATR) was 10.2°. After an average treatment period of 36 months, the average final Cobb angle was 28.97°, Risser score 4.88 and the ATR was 8.09° [55].

**Surgical management**

Whether or not an AIS patient should undergo surgical intervention depends on several factors including the overall curve size and pattern, curve progression and skeletal maturity. Surgery is considered in skeletally immature patients with structural thoracic curve Cobb angles over 40° or patients who show continued progression [56]. For over 100 years, fusion surgery has been used for the treatment of scoliosis [56]. Patients can undergo anterior spinal fusion (ASF), posterior spinal fusion (PSF) (Fig. 1) or a combined anterior/
| Study            | Level of evidence | Follow-up time | Approach     | Number of patients | Results                                                                 | Conclusion                                                                 |
|------------------|-------------------|----------------|--------------|--------------------|--------------------------------------------------------------------------|---------------------------------------------------------------------------|
| Patel et al. [57] | III               | Min. 2 years   | Anterior     | 132                | • No statistical difference between anterior (48%) and posterior (49%) approaches in SLCC. | Equal SLCC can be reliably achieved with either surgical approach. |
|                  |                   |                | Posterior    | 44                 |                                                                          |                                                                           |
| Nohara et al. [58]| III               | Min. 10 years  | Anterior     | 30                 | • In PSF, AO occurred in 47%, progression of scoliosis in 7% and disc degeneration in 43%. | Scoliosis correction was better with ASF immediately postoperatively; however, greater loss of correction occurred at 10 years post op. |
|                  |                   |                | Posterior    | 30                 | • In ASF, AO occurred in 53%, progression of scoliosis in 37% and disc degeneration in 53%. |                                                                           |
| Sucato et al. [59]| III               | Post op, 1 year and 2 years | Anterior | 135                | • After surgery, T5–T12 kyphosis was significantly greater after ASF and remained greater at 1 and 2 years post op. | ASF is the best method to restore thoracic kyphosis when compared with PSF. |
|                  |                   |                | Posterior    | 218                |                                                                          |                                                                           |
| Tao et al. [60]  | III               | Post op, 1 year and 2 years | Anterior | 21                 | • Average of 0.61 less segments fused in ASF compared with 0.81 in PSF | ASF results in shorter fusion segments, better sagittal alignment, and QOL than PSF in patients with Lenke type 5 AIS. |
|                  |                   |                | Posterior    | 26                 | • SRS-22 scores for pain, self-image/appearance, function/activity, mental and satisfaction of management were significantly higher in ASF group. |                                                                           |
| Abel et al. [61] | III               | 2 years        | Anterior     | 40                 | • PSF had significantly more fused levels than ASF. | Surgeons treating Lenke 5C curves with PSF include more segments. |
|                  |                   |                | Posterior    | 40                 | • ASF had greater percent of lumbar Cobb correction when dLOF was standardised to L3. | When controlled for the distal level of fixation, ASF provides superior correction of the thoracolumbar curve. |
| Li et al. [62]   | III               | Min. 2 years   | Anterior     | 22                 | • Percent correction of lumbar curve and spontaneous correction of un-fused thoracic curve was similar in both groups. | There was no statistically significant difference between the 2 approaches in lumbar correction or thoracic correction, but fusion levels were shorter in ASF group. |
|                  |                   |                | Posterior    | 24                 | • Fusion levels were significantly shorter in ASF. | The amount of correction achieved was comparable between ASF and PSF; ASF resulted in shorter fusions compared with PSF but there was increased disc angulation below the lowest instrumented vertebrae, less lumbar lordosis, and a lower % correction of the lumbar prominence than PSF. |
| Miyajii et al. [63]| II               | Min. 2 years   | Anterior     | 69                 | • No significant differences in percentage correction of the main curve, C7 decompensation, length of hospital stay and SRS outcome scores at 2-year follow-up. | Patients with right thoracic AIS of differing curve types but otherwise similar preoperatively demonstrated that ASF and PSF are largely equivalent. Differences in the effect of sagittal alignment, operative time and complications should be considered when selecting approach. |
|                  |                   |                | Posterior    | 92                 | • ASF resulted in less levels fused. |                                                                           |
| Rushton et al. [64]| II               | 2 years        | Anterior     | 18                 | • No significant differences in the degree of improvement in any areas of the Modified Scoliosis Research Society Outcome Instrument between the groups. | Overall radiographical findings and patient outcome measures of ASF for Lenke 1 MT AIS were satisfactory at an average follow-up of 15 years. Percent-predicted values of FVC and FEV1 were decreased in this cohort, although no patient had complaints related to pulmonary function. |
|                  |                   |                | Posterior    | 24                 | • PSF corrected rib hump by 53% and thoracic curve Cobb angle by 62%, whilst ASF corrected rib hump by 61% and thoracic curve Cobb angle by 64%. | Despite the efficacy and safety of PSF, it might result in irreversible complications such as DDD. Moreover, the amount of postoperative disability may increase over time. |
| Sudo et al. [65] | III               | Average 15.2 years | Anterior | 25                 | • Overall radiographical findings and patient outcome measures were satisfactory. |                                                                           |
|                  |                   |                | Posterior    | 42                 | • Average preoperative instrumented level was significantly improved at follow-up, however, average percent-predicted FVC and FEV1 were significantly reduced. |                                                                           |
| Ghandari et al. [66]| IV               | Average 5.6 years | Posterior  | 42                 | • Postoperative vertebral tilt below the site of fusion increased from 6.21°±5.73° to 11.12°±7.92°. | Overall radiographical findings and patient outcome measures of ASF for Lenke 1 MT AIS were satisfactory at an average follow-up of 15 years. Percent-predicted values of FVC and FEV1 were decreased in this cohort, although no patient had complaints related to pulmonary function. |
|                  |                   |                |              |                    | • Mean postoperative Oswestry Disability Index (ODI) was 16.7±9.8. |                                                                           |
|                  |                   |                |              |                    | • New DDD was observed in 16%. |                                                                           |
| Study          | Level of evidence | Follow-up time | Approach                  | Number of patients | Results                                                                                                                                                                                                 | Conclusion                                                                                                                                                                                                 |
|---------------|-------------------|----------------|---------------------------|--------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Luo et al.    | III               | N/A            | Anterior and Posterior    | 308                | • No significant differences were noted in correction rate of thoracolumbar/lumbar curve and incidence of proximal junctional kyphosis, in change values of thoracolumbar/lumbar curve and thoracic kyphosis.  
• ASF had significantly shorter fusion segments.  
• PSF obtained a larger increasing Cobb angle of lumbar lordosis. | ASF and PSF can obtain similar coronal correction, change values of thoracic kyphosis, and incidence of proximal junctional kyphosis. ASF saves roughly one more fusion segment and PSF can obtain a larger increasing Cobb angle of lumbar lordosis. |
| Chen et al.   | III               | N/A            | Combined and posterior    | 872                | • No significant difference in Cobb angle and percent-predicted FEV1.  
• Patients in posterior group obtained a better percent-predicted FVC.  
• Significant less complications, blood loss, operative time and length of hospital stay in posterior group.                  | Posterior-only approach can achieve similar coronal plane correction and percent-predicted FEV1 compared with combined anterior-posterior approach. Significantly less complication rate, blood loss, operative time, length of hospital stay and better percent-predicted FVC are also achieved by posterior-only approach. |
| Pourfeizi et al. | III              | N/A            | Combined                  | 25                 | • Patients treated through posterior-only and combined approaches were respectively hospitalised for 11.84 ± 5.18 and 26.5 ± 5.2 days  
• Significant difference between these two groups when considering intensive care unit admission duration, correction in sagittal view of X-ray and number of days the patients underwent traction. | The posterior-only method is associated with some significant advantages and is an advisable method in patients with severe scoliosis over than 70°. |
| Dobbs et al.  | III               | Min 2 years    | Combined                  | 20                 | • No statistically significant differences between the number of levels fused, preoperative coronal/sagittal Cobb measurements, coronal curve flexibility or amount of postoperative coronal Cobb correction.  
• Loss of a negative effect on pulmonary function in PSF.                                                                                   | A posterior-only approach has the advantage of providing the same correction as an anterior/posterior spinal fusion, without the need for entering the thorax and more negatively impacting pulmonary function. |
| Shi et al.    | II                | 3 months, 6 months, 1 year, 2 years and 3 years | Combined                | 25                 | • No significant differences in operation time, blood loss, length of hospital stay, SRS-22 Score, coronal curve flexibility or postoperative coronal Cobb correction ratio between approaches.  
• Implant density was significantly lower in the combined group.  
• 12 screws were misplaced in the posterior group.                                                                                     | In patients with rigid thoracic AIS, PSF could attain the same curve correction as a combined approach by increasing the implant density. Nevertheless, for patients with a high risk of implant complications, the combined approach is still recommended. |

SLCC, spontaneous lumbar curve correction; PSF, posterior spinal fusion; AO, adding-on; ASF, anterior spinal fusion; SRS 30/22, Scoliosis Research Society Questionnaire; QOL, quality of life; dLOF, distal level of fixation; FVC, forced vital capacity; FEV1, forced expiratory volume in 1 s; DDD, degenerative disc disease
posterior approach. The outcomes and comparisons between these approaches are summarised in Table 1.

As can be seen from Table 1, several studies have shown an advantage of the anterior approach in thoracolumbar Lenke 5C curves as it results in less fused levels than the posterior approach. Although there are no reported differences in blood loss, length of hospital stay and patient reported outcomes between both approaches, the posterior approach may save on the negative impacts of the anterior approach on pulmonary function. Studies also showed that the posterior-only approach has the same correction as a combined anterior/posterior spinal fusion, without the need for entering the thorax and thus negatively impacting pulmonary function [57–71].

As fusion limits spinal movement, there is a need for developing motion sparing techniques. A new and promising technique in the surgical management of AIS is vertebral body tethering which utilises patient’s growth to achieve progressive curve correction whilst maintaining patient motion. Samdani et al. evaluated growth to achieve progressive curve correction whilst the thorax and thus negatively impacting pulmonary function [57–71].

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As fusion limits spinal movement, there is a need for developing motion sparing techniques. A new and promising technique in the surgical management of AIS is vertebral body tethering which utilises patient’s growth to achieve progressive curve correction whilst maintaining patient motion. Samdani et al. evaluated 11 patients with thoracic idiopathic scoliosis and found that average preoperative thoracic Cobb angle of 44.2 ± 11° corrected to 20.3 ± 11.0° on first erect with progressive improvement at 2 years [72].

Conclusions

The aetiology of AIS remains largely unknown; however, several studies show the possible role of genetics, oestrogen, calmodulin, melatonin, vitamin D and low bone mineral density. Furthermore, studies show that AIS progression rates are the highest among those undergoing their pubertal growth spurt, the role of asynchronous neuro-osseous growth in AIS and other biomechanical theories.

The physical examination should include the Adams forward bending test and measurement with a scoliometer and patients with a rotary angle over 7° should be referred to a specialist. Standard radiological imaging and determination of the Cobb angle are used to diagnose and classify the curve as well as evaluate progression. As AIS patients are subjected to frequent radiation exposure, low radiation techniques, such as the EOS system, are gaining in popularity.

The management of AIS includes conservative and surgical options. Bracing shows good outcomes in patients who wear them for a minimum of 18 h a day. In those with curves over 40°, surgery is considered. Though spinal fusion is the traditional approach that is still widely used today, there is promise in vertebral body tethering, a new technique that allows adolescents to maintain their range of motion.

Compliance with ethical standards

Conflict of interest The authors declare that they have no conflict of interest.

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