Facile Ultrasound-Assisted Synthesis of Copper Zinc Tin Sulfide Chalcogenide Nanoparticles for Thin Film Solar Cell Applications

Akanksha Paraye¹, Manivannan Ramachandran¹, Noyel Victoria Selvam¹*

¹ Department of Chemical Engineering, National Institute of Technology Raipur, Raipur, 492010 Chhattisgarh, India
* Corresponding author, e-mail: snvictoria.che@nitrr.ac.in

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Abstract
Single-step ultrasound-assisted synthesis of Copper Zinc Tin Sulfide nanoparticles (CZTS) has been studied at two different frequencies. While the effects of frequency on the particle size of the CZTS nanoparticles were insignificant, a noticeable change was observed in composition. As-obtained particles presented the amorphous nature and spherical morphology with a high degree of agglomeration. Annealing of the synthesized CZTS nanoparticles increased the crystallinity while the sulfur content decreased considerably. The polydispersity and agglomeration of the nanoparticles increased upon annealing. The as-obtained CZTS nanoparticles synthesized at 45 kHz frequency presented a copper deprived and zinc-rich composition suitable for higher photo-conversion efficiency of the solar cells. The bandgap of the annealed and non-annealed particles ranged between 1.25 eV and 1.65 eV.

Keywords
CZTS, sonochemical, frequency, annealing, solar cell

1 Introduction
With an increase in the worldwide population and scientific advancements, the demand for energy is constantly increasing. The conventional energy sources are insufficient to cater to this huge demand. The entire research community around the world is striving to find a promising alternative to address this energy crisis. Among the alternate energy sources, the most promising is solar energy and studies on developing different types of solar cells have gained momentum [1].

Silicon based solar cells offer good photoconversion efficiencies. However, they are expensive and require a thicker absorber layer because of the indirect optical band gap nature of the silicon [2]. This has led to the exploration of new solar cell materials, which can compete with the silicon-based solar cells. New absorber materials containing the elements copper (C), indium (I), gallium (G), selenium (Se) and sulfur (S) in different combinations such as CIGS, CIGSe, and CIS exhibit desirable characteristics for solar cell applications such as higher absorption coefficient and a direct optical bandgap closer to silicon [3]. However, the precursors such as indium and gallium are not available in abundance in nature.

Chalcogenide material copper zinc tin sulfide frequently termed as CZTS is another suitable material with abundant raw material supply. It is also preferred for its non-toxic nature [2]. Unlike silicon, CZTS has a direct band gap of 1.5 eV and absorption coefficient greater than $10^4$ cm$^{-1}$ which are highly desirable for photovoltaic applications. As a result, a thin layer of the CZTS absorber layer can work effectively when compared to a thick silicon layer resulting in light-weight modules [2, 4].

Different synthesis modes are possible for CZTS film or nanoparticles namely hybrid sputtering, pulsed laser deposition, and radio frequency magnetron sputtering which demand high temperature and pressure conditions making them sophisticated and energy-demanding [5]. Some of the simpler synthesis routes, such as liquid-phase reduction, Successive Ionic Layer Adsorption Reaction (SILAR), require more reaction time and involve multiple steps in the reaction [2]. Additionally, these techniques result in the production of poly-dispersed particles.

Ultrasound-assisted nanoparticle synthesis is advantageous because it involves less reaction time and gives products with uniform size [6, 7]. These advantages are
the result of acoustic streaming and acoustic cavitation, during which hot spots develop due to the collapsing bubble and a local temperature as high as 5000 K and local pressures above 1800 atm can be realized [8, 9]. In a typical system with water as the solvent, the intense pressure and temperature conditions promote the production of radicals like H and OH that further react to produce H$_2$O$_2$ and H. The H$_2$O$_2$ and H are capable of accelerating the rate of chemical reactions by their strong oxidizing and reducing properties respectively [9, 10]. This phenomenon has been applied for the nanoparticle synthesis of various metals and metal oxides [10].

Sonochemical synthesis of copper sulfide nanoparticles and CIGS nanoparticles has been reported extensively [11, 12]. One-step synthesis of CZTS nanoparticles using the sonochemical route is challenging and only scarce has been reported on the said topic [6, 13, 14].

In a sonochemical synthesis method for CZTS by Liu et al. thioacetamide and 2-methoxyethanol were employed as sulfur source and solvent respectively [6]. The effect of sonication time on the characteristics of the synthesized CZTS nanoparticles was studied [6]. A two-step multi-bubble sonoluminescence method was reported for the synthesis of CZTS. This involved the synthesis of Cu$_2$SnS$_3$ in the first step followed by treatment with ZnS to yield CZTS [13]. Recently our group has reported a single-step route for the ultrasound-assisted synthesis of CZTS particles using glycine as the complexing agent in which the effect of pH was investigated [14].

The present work details a single-step ultrasound-assisted method for the synthesis of CZTS nanoparticles using citric acid as the complexing agent. The effects of the synthesis frequency and annealing on the particle properties have been studied in detail.

### 2 Experimental

Analytical grade precursors were used for the synthesis. The details of the precursors used for the synthesis and their composition are presented in Table 1. The precursor salts were added to the de-ionized water and mixed using a magnetic stirrer for five min to obtain a greenish-yellow solution. The pH of this reaction mixture was adjusted to 3.5 with the help of potassium hydroxide solution.

Then the reaction mixture was transferred to a bath type ultrasonicicator (Elmasonic xtra ST, Elma, Germany) [15]. The frequencies used for the synthesis were 45 kHz and 25 kHz. Water was circulated into the bath to maintain the temperature at 40±1 $^\circ$C. The sonication was continued for an hour after which the nanoparticle dispersion formed was separated using a Remi 8C model centrifuge. The centrifuge was operated at 4000 rpm and the process was carried out for 10 min. The product thus collected after centrifugation was washed with de-ionized water under the same operating conditions. The aforementioned washing step was carried out three times to remove the impurities. The collected CZTS nanoparticles were dried in a hot air oven (B.P. Lab solutions, India) [16] at 85 $^\circ$C for 30 min. To analyze the effect of annealing, the product was heated at 250 $^\circ$C in a muffle furnace (Nanotec, Chennai, India) [17] for 20 min in an argon environment.

The crystallinity of the samples was investigated using X-ray diffraction (PAAnalytical 3 kW X'pert) [18] unit. Field emission gun-scanning electron microscopy (FEG-SEM) (Model: JEOL-JSM 7600 F) [19] was used to analyze the morphology of the nanoparticles. The composition of the samples was studied using energy dispersive X-ray analysis (EDAX) (INCA 250 EDS) [19]. The FEG-SEM images were analyzed for the particle size distribution using ImageJ software. Care was taken to select a large number of representative samples during size analysis. Optical properties for the samples were studied using a UV-visible spectrophotometer (Model: Shimadzu, UV-1800) [20].

A potentiostat-galvanostat from CH instruments (CHI 6091E) [21] was used to carry out the electrochemical characterization studies. Before the electrochemical analysis, a coating of the CZTS nanoparticles was made on an Indium Tin Oxide coated (ITO) glass slide from Sigma Aldrich (Surface resistivity 8 Ω sq$^{-1}$) using a spin coating unit (Model: SpinNXG-P2, Apex Instruments, India) [22]. Spin coating was done at 1000 rpm speed with 20 kPa pressure to hold the substrate. Three coating steps of five min duration were used. Since the sheet resistance ($R_s$) varies with the particle nature and its thickness, care was taken to maintain the thickness at a constant value. The thickness of the coating was maintained at 5±0.2 μm. The $I$-$V$ characteristic studies were obtained for the CZTS layer sandwiched between two ITO glasses with and without a 200 W incandescent light source.

| Chemicals                  | Concentration used (mM) |
|----------------------------|-------------------------|
| Copper sulfate pentahydrate| 15                      |
| Zinc sulfate heptahydrate  | 30                      |
| Tin (II) chloride          | 8                       |
| Sodium thiosulfate pentahydrate | 30     |
| Citric acid monohydrate    | 20                      |
3 Results and discussion

3.1 Field emission gun scanning electron microscopy

The morphology of the non-annealed and annealed CZTS samples synthesized at different frequencies was studied using FEG-SEM and the images of the same are shown in Fig. 1. The size distribution of the samples is shown in the inset in Fig. 1. The compositional analysis for the same is presented in Table 2. It is observed from Fig. 1, that both non-annealed and annealed CZTS particles synthesized at frequencies 25 kHz and 45 kHz present a spherical shape. Most of the studies report the formation of spherical nanoparticles in the presence of ultrasound irradiation [8]. Size analysis of the non-annealed samples from both frequencies shows particles between 5 nm and 30 nm with the majority of the particles around 15 nm to 20 nm. In acoustic cavitation, the mechanical energy effects due to the collapsing bubble are dependent on the bubble size which in turn varies with the frequency.

With an increase in frequency, the bubble size decreases and the mechanical effects become less [10]. In the present case, if the mechanical effects have played a significant role, then the use of 45 kHz frequency would have resulted in larger sized particles. However, no significant variation in size is noticed. Hence the mechanical effects of acoustic cavitation did not influence the particle size significantly. The non-annealed particles synthesized at 25 kHz exhibit a compact and denser aggregate pattern. The particles produced at 45 kHz comprise of comparatively less dense aggregates. The aggregate formation is preferred for photovoltaic applications because it poses resistance to the removal of the charge carrying units: electron and holes generated by the incident solar radiation [23, 24].

The non-annealed CZTS nanoparticles in both the cases present decreased poly-disperity. On the other hand, annealed nanoparticles from both frequencies show increased poly-disperity and agglomeration. Due to the increased surface energy during annealing, particles fuse resulting in increased aggregate formation. This fusion process of the particles also causes the growth of a few grains which increases poly-disperity [25–27]. Size analysis of the annealed particles shows the size range between 10 nm and 45 nm. From Table 2, it is obvious that the non-annealed CZTS particles synthesized at 45 kHz frequency show [S]/[Cu], [Cu]/([Zn]+[Sn]) and [S]/([Cu]+[Zn]+[Sn]) ratios 1.9, 0.9 and 1.2 which are closer to the required value of 2, 1 and 1 respectively [24, 28]. The ratio of Zn to Sn is also closer to the required value of 1. The sample synthesized at 45 kHz thus show copper poor and Zn rich behavior which is preferred for excellent photovoltaic performance when compared to the stoichiometric CZTS [24, 28, 29]. Studies have shown that kesterite CZTS with a [Cu]/([Zn]+[Sn]) value approximately equal to 0.9 and [Zn]/[Sn] approximately equal to 1.25 was found to offer better performance of the solar cell devices [28]. Similarly, both samples present a significant drop in the sulfur composition upon annealing. The annealing process leads to the escape of volatile S, and hence a drop in the sulfur composition is observed in the annealed samples [30].

3.2 X-ray diffraction

The X-ray diffraction results for the CZTS samples synthesized at frequencies 25 kHz and 45 kHz are shown in Fig. 2 and Fig. 3 respectively.

The non-annealed CZTS samples synthesized at both frequencies show amorphous nature. The intensity of the peaks is very small. The formation of amorphous
particles in ultrasound synthesis is due to the higher cooling rates observed in the hot spots [8]. The non-annealed sample synthesized at 25 kHz shows the presence of peaks at 28.50°, 32.79° and 47.30° which refers to the [112], [020] and [220] planes of kesterite phase CZTS [COD 96-900-4751]. Annealing improves the crystallinity which can be confirmed by the increase in the peak intensities [27]. The annealed CZTS samples in both cases show the existence of secondary phases. Presence of volatile elements causes the breakdown of CZTS which results in the formation of secondary phases which sometimes may be lost due to volatile nature. Some secondary phases formed will further react to form some other compounds [31, 32]. The non-annealed samples synthesized at 45 kHz shows the presence of wurtzite and kesterite forms of CZTS [33–35].

3.3 Optical characteristics

Fig. 4 shows the optical absorbance pattern of the annealed and non-annealed CZTS samples synthesized at both frequencies.

The absorbance spectra match well with the earlier reports on CZTS optical characterization using UV-vis spectroscopy [23, 24, 36]. The absorbance spectra show that the non-annealed and annealed CZTS samples synthesized at both frequencies show significant absorbance in the entire visible spectrum and the infra-red range. Similar behavior has been reported for the CZTS nanoparticles synthesized using hydrothermal route [23]. The annealing process increases the absorbance for both samples. The absorption edge shifts to a higher wavelength with annealing for both samples [37]. Tauc’s equation relates the optical band gap ($E_g$) with the absorption coefficient ($\alpha$) as shown in Eq. (1) [38],

$$\alpha = \frac{A(E - E_g)^n}{E}.$$  

(1)

In the Tauc’s relation presented above (Eq. (1)) the photon energy ($E$) is in eV, and the constant $n$ is 0.5 for direct allowed, 1.5 for indirect forbidden and 3 for indirect allowed materials respectively [38]. The Tauc plots for the annealed and non-annealed samples are shown in Fig. 5. The band gap is estimated from the Tauc plot by extrapolation of the straight line region to the x-axis where the absorption coefficient is zero.

The bandgap values were calculated as 1.62 eV and 1.6 eV for the non-annealed CZTS samples synthesized at 45 kHz and 25 kHz respectively. The bandgap decreases for the annealed samples and they are 1.35 eV and 1.25 eV for the samples synthesized at 25 kHz and 45 kHz respectively. Though the standard bandgap value for the CZTS is 1.5 eV the reported bandgap values from literature range between 1.4 eV and 1.7 eV [30]. The witnessed changes in the bandgap values are due to the change in the composition that arises out of secondary phases [30].
3.4 Current-voltage (I-V) characteristics

The I-V characteristics curves for the ITO–CZTS sandwich with and without light source are shown in Fig. 6 and Fig. 7. The I-V plots present a straight line pattern indicating Ohmic behavior. Similar Ohmic behavior for I-V characterization has been reported for CZTS thin films by other research groups [39–43]. The sheet resistance (R_s) for the CZTS film coating can be calculated from the slope (S) of the I-V curves using Eq. (2) [43].

\[ R_s = 4.53(S) \]  

The R_s values calculated for the CZTS thin films are listed in Table 3. The decrease in R_s values upon exposure to the light source shows that the material is suitable for solar cell applications. The R_s values (82 Ω–169 Ω) match well with the results of similar studies on CZTS film [43].

4 Conclusions

One-step sonochemical route was successfully applied for the synthesis of copper zinc tin sulfide (CZTS) nanoparticles. The characteristics of the particles obtained using different ultrasound frequencies varied appreciably. The particle morphology and the size did not alter...
Table 3 $R_s$ values from the IV characterization of the CZTS films formed on ITO glass slide

| 25 kHz | 45 kHz |
|-------|-------|
| Light | Dark  | Light | Dark  | Non-annealed |
| 109   | 132.2 | 50.7  | 80.2  |
| Annealed |
| 82    | 168   | 113.2 | 169.4 |

Table 3 shows the $R_s$ values from the IV characterization of the CZTS films formed on ITO glass slide. The $R_s$ values for 25 kHz are 109 for Light and 82 for Annealed, while for 45 kHz they are 132.2 for Light, 50.7 for Dark, and 113.2 for Annealed. The non-annealed CZTS nanoparticles synthesized using 45 kHz frequency showed a copper-poor and zinc-rich composition which is preferred due to enhanced performance characteristics. Annealing of the samples resulted in the escape of volatile S compounds. Annealing process resulted in a red-shift of the band gap due to the altered stoichiometry. The as-synthesized particles from 45 kHz ultrasound frequency showed characteristics suitable for solar cell material.

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