Nivolumab-associated DRESS in a genetic susceptible individual

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ABSTRACT

The use of immune checkpoint inhibitors (ICIs) is rising exponentially in numerous cancers, but immune-related adverse events can occur. We report a rare case of high-grade drug reaction with eosinophilia and systemic symptoms (DRESS) syndrome developed stepwise in a patient with gastric cancer after nivolumab treatment. Subclinical myocarditis was sensitively detected by cardiovascular magnetic resonance 3 weeks after initiating nivolumab. Eruption, eosinophilia, and interstitial pneumonitis occurred 1 week later. Corticosteroids were started and his condition improved. Four months later, when he was still on steroids tapering off, acute kidney injury and sequential herpes zoster virus activation developed. Severe acute tubulointerstitial nephritis (ATN) with an intense infiltration of lymphocytes was observed on renal biopsy. In blood, a substantial shift to Th2 response, an increase of Th17 cells, and strikingly enriched granzyme B+ and perforin+ CD8+ T cells were detected at ATN onset. Serum interleukin (IL)-5, IL-17, interferon gamma, and IL-6 levels were consistently elevated. Further molecular profiling identified a DRESS-risk allele human leukocyte antigen (HLA)-A*31:01 in this patient. His ATN responded favorably to a high dose of corticosteroids. In parallel, complete antitumor response was observed during the clinical course of DRESS. This is the first ever case report of nivolumab-associated DRESS syndrome with exploration of the mechanisms from the histopathological, cellular and molecular aspects. Nivolumab-induced DRESS may result from type IV hypersensitivity-related ‘off-target effect’ and PD-1 block-mediated ‘on-target effect’. HLA risk alleles may constitute the genetic susceptible basis. HLA typing assay has the potential to screen susceptible individuals to avoid ICI-induced DRESS.

INTRODUCTION

Immune checkpoint inhibitors (ICIs) have transformed the treatment of multiple cancers by normalization of restrained antitumor immune responses.1 Alongside its remarkable success, a diverse and sometimes unpredictable number of inflammatory side effects, collectively referred to as immune-related adverse events (irAEs), may come at a cost. Patients who developed irAEs usually had better antitumor response.2,3 The beneficial antitumor activity and associated development of irAEs appear to share a common origin: both of them are consistent with an overall, rather than selective, enhancement of immune attack.4 The proposed immunopathogenic mechanisms of irAEs include, but are not limited to, cross-antigen reactivity between tumor cells and host tissue, cytokine/chemokine production, breach of self-tolerance leading to autoantigen reactivity and production of pathogenic autoantibodies, off-target effects of ICIs on other cell lines bearing the target immune checkpoint ligand, and enrichment of certain microbiomes.5

Dermatological toxicities are the most frequent irAEs associated with ICI therapy,6 with the reported incidence of all grade ranges from 17% to 40% for PD-1/PD-L1 inhibitors and from 37% to 70% for ipilimumab. High-grade dermatological adverse events were rare with the reported rates between 1% and 3%.7–9 Drug reaction with eosinophilia and systemic symptoms (DRESS) is a rare, idiosyncratic but potentially life-threatening adverse drug reaction. It is fewer reported in current literature as a rare side effect of ICIs. Until now, only three cases of ICI-associated DRESS were reported, with nivolumab and/or ipilimumab being the inciting drugs.10–12 Common features of DRESS are fever, eosinophilia, lymphocyte activation, multiorgan involvement, and reactivation of herpes viruses, especially HHV6.13 Patients usually develop two or three features of symptoms followed by a stepwise development of other symptoms. Here, we report a case of DRESS induced by nivolumab and explored the potential mechanisms from the histopathological, cellular and molecular aspects.

CASE PRESENTATION

A 72-year-old Chinese man of Han nationality was diagnosed with stage IIIA low-differentiated gastric adenocarcinoma and underwent radical distal gastrectomy in...
January 2019. Pathology of the tumor revealed HER2 staining was negative. Six cycles of adjuvant chemotherapy with oxaliplatin plus S-1 were given postoperatively in July 2019. However, 3 months later, a follow-up contrast-enhanced CT scan indicated peritoneal and abdominal metastasis. Then he participated in a clinical trial aimed to compare the efficacy between patients treated with fruquintinib plus paclitaxel and patients treated with paclitaxel alone. In February 2020, the re-examination of CT revealed multiple metastasis in the abdominal and pelvic cavity and abdominal wall, as well as pleural effusion in the right side, indicating progression of disease. The main metastatic lesion was located in the abdominal wall (8.3 cm×4.9 cm, figure 1). Thus, he was withdrawn from the clinical trial and discontinued any medication for 2 months. The unblinding of the trial data has not yet been carried out so far. Next, on March 30, 2020, he began to receive a total of four doses of nivolumab (200 mg), every 2 weeks, as the third-line therapy. Three weeks after initiating nivolumab, he had an unexpected scattered myocardial edema and subepicardial late gadolinium enhancement (LGE) on his cardiovascular magnetic resonance (CMR, part of test items in a clinical trial; figure 2). Serum myocardial injury markers and ECG were within normal limit, and he denied any discomfort. A possible smoldering myocarditis was suspected and carefully monitored without interventions. One more week later, he developed a pruritic rash on the back and upper extremities. Prior to this, he had no personal history of skin rashes, autoimmune diseases, or family history of autoimmune disease. Moreover, he denied taking any other drugs except nivolumab in the past 3 months (nivolumab for 1 month). Topical corticosteroid cream and moisturizers as well as oral cetirizine were used, but with little effects. The rash had progressively worsened any other drugs except nivolumab in the past 3 months (nivolumab for 1 month). Topical corticosteroid cream and moisturizers as well as oral cetirizine were used, but with little effects. The rash had progressively worsened...
over the next weeks. He denied any fever, chills, cough or shortness of breath. Physical examination found diffuse erythematous eruption with desquamation, drying on his chest, back, and extremities, affecting more than 50% of body surface area (figure 3A). There were no pustules or bullae or mucosal involvement. Multiple bilateral axillary tender lymphadenopathy was palpated. Cardiac, pulmonary, and abdominal examinations were unremarkable.

Examination workup showed a progressive eosinophilia: 0.64×10^9/L (5.8%) [normal reference range (n.r.) (0.02–0.52)×10^9/L, (0.4%–8.0%)] at baseline, 1.45×10^9/L (22.4%) after the second nivolumab dose, and 4.21×10^9/L (51.4%) after the fourth dose. IgE was gradually increased from within normal limit to 6165 IU/mL to 9071 IU/mL (n.r.<200 IU/mL) during the course. A hypochromic microcytic anemia (about 90 g/L) was detected. Serum iron was low. Erythropoietin (EPO) (14.8 mIU/mL, n.r. (4.3–29.0 mIU/mL)) was normal and Coombs test was negative. Hepatic function, renal function, electrolyte, urine routine test, stool routine test, and fecal occult blood test were all within normal limits. Cancer and insufficiency intake-related anemia was speculated. Thorax CT scan showed multiple patches and nodular hazy shadows suggestive of interstitial pneumonitis in the right lung (figure 1; lower part, yellow arrowheads). The infectious workup was negative. As he denied any other medications, DRESS syndrome secondary to nivolumab was suspected. Nivolumab therapy was permanently discontinued, and oral methylprednisolone (32 mg/day) was started. His symptoms were relieved gradually (figure 3B,C) and eosinophil count dropped down to normal a month later (0.21×10^9/L, 0.3%). Glucocorticoid was tapered over very slowly to prevent DRESS flares.

Nevertheless, 4 months later, when he was still on oral corticosteroids at 8 mg/day, he reported fatigue. He denied any recent fever, gross hematuria, dysuria, or decreased urine output. Initial workup showed an acute increase in serum creatinine level (from 63 μmol/L progressively elevated to 230 μmol/L (n.r. 44–115 μmol/L)) over a 2-week period. His renal and ureter ultrasound was also unremarkable. Urine analysis showed no casts or eosinophils but slight hematuria and proteinuria (0.4 g/24 hours). Blood urine nitrogen was normal. Eosinophil count was normal, but IgE was still high (2075 IU/mL). A test for antinuclear antibodies was borderline positive (1:100). Serum protein electrophoresis was negative for monoclonal spike, and flow cytometry for leukemia/lymphoma was negative. Due to the absence of a clear explanation for the acute progressive renal failure, renal biopsy was performed. Histopathological analysis revealed diffuse interstitial edema with an intense, patchy lymphocytic plasma cells and neutrophils infiltration. Ten per cent of renal tubules displayed atrophy (figure 4A).

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**Figure 3** Rash in this patient. (A) Diffuse erythematous eruption with desquamation, drying on chest, back, and extremities of the patient. (B) Partial resolution of eruption after 1 week of steroid therapy. (C) Complete resolution of eruption 5 months later.

**Figure 4** Renal biopsy with severe interstitial inflammation. (A) H&E and PAS staining of renal biopsy. (B) IHC staining of biomarkers as indicated in renal biopsy. (C) IHC staining of PD-L1 in primary gastric cancer biopsy. IHC, Immunohistochemistry; PAS, periodic acid–Schiff.
Immunofluorescence showed IgG, IgA, IgM, C3, C4, C1q, kappa, lambda, and fibrin (FIB) were all negative. Severe acute tubulointerstitial nephritis (ATN) was diagnosed. Nivolumab was postulated as the most likely culprit drug, while omeprazole could not be fully ruled out for suspicion. The reasons were as follows: (1) ICIs can induce acute kidney injury (AKI); in a combined analysis of 3695 patients treated with ICIs, the overall incidence of AKI was 2.2%14; (2) renal involvement was a common component of DRESS; and (3) accumulating evidence concerned AKI after the proton pump inhibitor (PPI) application,15 16 and he was also on omeprazole together with steroids for 4 months. It is difficult to discern the postulated causative drugs from the aspect of symptoms and pathology because the symptoms were both unspecific and ATN was the most commonly reported pathology for both renal irAEs17 and PPI-associated AKI.15 18 We were more inclined to consider his AKI as a continuum of nivolumab-induced DRESS. Moreover, because we prescribed him high-dose glucocorticoids (intravenous methylprednisolone 240 mg/day) immediately after renal biopsy, omeprazole was withheld to prevent gastrointestinal bleeding. Creatinine rapidly normalized (about 95 μmol/L) in 1-week period, supporting the speculation it was nivolumab rather than omeprazole that was the most probable causative drug inducing ATN. Renal function has remained stable since then. Steroids were tapered over regularly. He developed a sequential herpes zoster virus activation in his left leg 5 weeks later and recovered after support care.

Of note, he only received four doses of nivolumab as the third-line therapy and discontinued any antitumor therapy for the next 12 months, but complete response was notably achieved and sustained (figure 1, upper panel). He still has a good performance without tumor relapse when this case report was revised.

Most of the reporting on irAEs comes from clinical studies and, hence, findings about the mechanisms of irAEs are limited.5 We tried to provide mechanistic insights into the pathogenesis of nivolumab-associated DRESS in this patient. We first conducted immunohistochemistry analysis on renal biopsy tissue. As shown in figure 4B, the infiltrating cells were positive for CD3, CD4, CD8, CD20, CD79α, CD38, and CD68 staining. C4d was negative. Granzyme B and perforin were also positive. These results indicated that the ATN in this patient was mediated by immune attack. PD-L1 expression is regarded as a good predictor for response to ICI therapy. However, PD-L1 expression in renal biopsy, together with the primary gastric cancer tissue, was consistently negative (figure 4C). PD-L1 was expressed in 5% of interstitial cells.

We also isolated peripheral blood mononuclear cells from him at the onset and resolution of ATN. As illustrated in figure 5A, the fraction of CCR7+ CD45RA+ effector CD4+ and CD8+ T cells were strikingly high at ATN onset and decreased at the stage of ATN resolution. When gated on CD4+ cells, a substantial shift to Th2 response, a significant enrichment of Th17 cells and a depletion of regulatory T (Treg) cells were observed at the acute injury stage (figure 5B). In parallel, granzyme B, granzyme A and perforin gated on CD8+ T cells were highly expressed at ATN onset (figure 5C). Coinhibitory molecules such as PD-1, CTLA-4, and LAG3 were weakly expressed in both CD4+ and CD8+ cells (figure 5D). We further evaluated the concentration of plasma cytokines by using the inflammatory multiplexed panel. Of 14 proinflammatory and regulatory cytokines and chemokines evaluated, interleukin (IL)-17A, IL-17F, interferon gamma (IFN-γ), and IL-5 were increased at ATN onset (figure 5E). This exaggerated immune phenotype was consistent with a type IV hypersensitivity reaction. Moreover, PD-1 pathway inhibition may partly mediate it as well. Expectedly, the aberrantly activated immune response partly returned to balance at ATN resolution.

We also tried to figure out why the immune response to nivolumab was so strong in this patient from the genetic aspect. Next-generation sequencing on his primary gastric tumor tissue and blood cells showed the tumor mutation burden was 6.35 muts/Mb (moderate) in tissue and <1 muts/Mb (low) in blood. The microsatellite instability (MSI) score was 0, indicating the tumor was microsatellite stable. A somatic EP300 p.Q741* mutation at the frequency of 6.16% was detected in tumor biopsy. EP300 mutation was reported to be associated with tumor mutation burden (TMB) and promoted antitumor immunity in patients with bladder cancer.19 We also found EP300 mutation was correlated with more immune cells infiltration and higher GZMB, GZMA, and GZMH gene expressions in the Cancer Genome Atlas (TCGA) stomach adenocarcinoma datasets by using TIMER V.2.0 analysis tool20 (figure 6). These results suggested the somatic EP300 mutation may be related to his good antitumor response.

Finally, we performed validated human leukocyte antigen (HLA) typing assay of this patient’s DNA from peripheral blood cells as HLA alleles are well-recognized genetic risk factors for non-ICI-related DRESS.21–25 Both classical HLA-I loci of the patient are listed in table 1. Remarkably, HLA-A*31:01, a well-documented DRESS risk allele, was indeed found in this patient. This HLA risk loci may render him to be a susceptible individual to DRESS.

DISCUSSION
DRESS is a severe adverse drug reaction characterized by extensive rash accompanied with multiple visceral organ involvement, eosinophilia, and lymphadenopathy. Though rare, a mortality rate up to 10% of DRESS syndrome was reported.26 Due to the heterogenous nature and a general lack of physician knowledge of this condition, DRESS syndrome is undoubtedly globally underdiagnosed. Currently, two diagnostic criteria have been proposed by the International Registry of Severe Cutaneous Adverse Reactions group and the Japanese Consensus Group: the RegiSCAR criteria and the
There is no fundamental difference between the two criteria, but the HHV-6 reactivation is not included in the RegiSCAR criteria. Except for the documented fever, our patient presented with typical DRESS clinical pictures: rash, eosinophilia, lymphadenopathy, pulmonary involvement, and stepwise development of renal failure as well as sequential herpesvirus activation. These prolonged clinical symptoms after the initiation of nivolumab substantiates nivolumab as an inciting agent of DRESS. In previous literature, the most frequently reported culprit drugs were aromatic anticonvulsants (carbamazepine and phenobarbital), antibiotic sulfonamides (dapsone and sulfamethoxazole) and allopurinol. Documented ICIs as offending drugs for DRESS syndrome were rare. To our knowledge, there is one case of nivolumab-associated DRESS, one case of ipilimumab-related DRESS, and one case of ipilimumab in combination with nivolumab-associated DRESS in published literature. Our case is different from the aforementioned cases because (1) this is the first case that showed HLA genotypes may lay the genetic susceptible foundation for ICI-induced DRESS; (2) this case displayed a typically prolonged course with stepwise development of organ damage and sequential herpesvirus activation in ICI-related DRESS; and (3) we had a comprehensive immune status assessment both in blood and renal tissue to better understand the mechanisms. Luckily, all the four ICI-related DRESS cases were responsive to high dosage of steroids therapy, indicating rapid recognition and timely steroid therapy are the cornerstones for DRESS syndrome.

Figure 5  Immune status assessment during ATN onset and resolution. (A) T-cell subpopulation distribution. (B) Th1, Th2, Th17, and Treg cell distribution during ATN onset and resolution. (C) Granzyme B, granzyme A, and perforin expression gated on CD8+ cells. (D) PD-1, CTLA-4, and LAG-3 expression gated on CD4+ and CD8+ cells. (E) Cytokine expression during ATN onset and resolution. ATN, acute tubulointerstitial nephritis; IFN-γ, interferon gamma; IL, interleukin; Tcm, central memory T cell; Te, effector T cell; Tem, effector memory T cell; Tn, naive T cell; TNF, tumor necrosis factor.
The exact pathogenesis of DRESS remains speculative. Prior non-ICI-induced DRESS is generally regarded as a delayed Th2-driven type IVb hypersensitivity reaction, with drug-specific T-effector cells being the principal pathogenic mediator in cutaneous and systemic inflammation. Mechanism of ICI-related DRESS has not yet been reported. In this study, the patient showed progressive eosinophilia and increased IgE levels at the earliest onset. When renal dysfunction developed, we detected a substantial shift away from Th2 response in blood CD4+ T cells. IL-5, an eosinophil-specific differentiation factor and a Th2 chemokine, was consistently increased in serum. These results supported that Th2 response partly contributed to nivolumab-induced DRESS. In addition, high levels of granzymes and perforin in blood CD8+ T cells and an intense infiltration of lymphocytes and phagocytes with high expression of perforin in renal biopsy, as well as elevated serum IFN-γ and IL-6, were observed at ATN onset, indicating type IVc hypersensitivity reaction was also involved in DRESS. This contention was supported by findings that high numbers of CD8+ cells and granzyme B+ cells were also infiltrated in skin in previous studies. Type IV hypersensitivity reactions were commonly seen in autoimmune diseases. T cells were the major mediators that cause tissue damage by activation of B lymphocytes and phagocytes, production of cytokines, and direct granzyme/perforin-mediated cytotoxicity. The renal tissue biopsy and blood test in this patient support the concept that type IV hypersensitivity dominated nivolumab-induced DRESS, which we referred to as ‘off-target effect’. Drugs such as carbamazepine, allopurinol or sulfamethoxazole have been shown to be directly recognized by the T cell receptor (TCR) on non-covalent interactions with HLA and/or TCR molecules. Three models, that is, a hapten/prohapten model, a pharmacological interaction model, and an altered peptide model, have been proposed to explain how T cells were activated by specific drugs. We proposed the degradation metabolites of nivolumab, such as amino acids or small peptide molecules, were the most likely antigens that stimulate T cells in our case. It was likely these metabolites form covalent or non-covalent bonds with peptide residues in serum or cellular proteins, thus eliciting the T-cell immune response. Additionally, other antigens released by cell death (tumor or non-tumor) undergoing cytotoxic attack or even nivolumab itself also have the potential to constitute the immunogenicity. Further molecular studies are needed to explore the nature of drug-specific immune responses.

Yet, irAEs are more than just toxicities from a drug; they are a window into immune regulation. Given the broad expression of PD-1 in various immune cell types and its nature as a co-inhibitory molecule, it is tempting to speculate that immunopathogenesis of nivolumab-induced DRESS may also result from the aberrantly lower threshold of tolerance to multiple antigens, including tumor-associated antigen as well as autoantigens, particularly taking into consideration the fact that this patient has reached complete tumor regression. We referred to this mechanism as an ‘on-target effect’. We speculated both on-target and off-target effects contributed to the ultimate disease phenotype. That is to say, the drug, that is, nivolumab, produced specific antigens and activated specific effector T cells due to ‘off-target effects’ in susceptible individuals. In parallel, the PD-1 pathway was unfortunately yet intentionally blocked by nivolumab due to its on-target effects. Thus, the immune balance was greatly tipped and an incredibly aberrant immune response was triggered and sustained, which caused tumor regression as well as broad tissue damage. This patient luckily responded well to steroids, but we believe his immune system is still at high risk to be intolerant of many autoantigens. It requires close monitoring in the future.

### Table 1

| HLA class I | HLA class I alleles | Heterozygosity | HLA class I supertypes |
|-------------|---------------------|----------------|-----------------------|
| HLA-A       | A*31:01 A*11:01     | Yes            | A03 A03               |
| HLA-B       | B*48:01 B*15:27     | Yes            | B27 NA                |
| HLA-C       | C*08:22 C*04:01     | Yes            | NA NA                 |

Red color is used to emphasize A*31:01 is the positive finding. A*31:01 may partly explain why this patient developed DRESS. HLA, human leukocyte antigen.
One of the most important findings about this patient is that we identified HLA-A*31:01, a specific predictor for carbamazepine–DRESS22–25 in this patient. This is the first study indicating HLA profiles may also constitute the genetic risk factor for ICI-induced DRESS. The extremely strong association between HLA-A*31:01 and non-ICI-induced DRESS was observed in multiple populations including Europeans,22–25 Han Chinese,23 Japanese,24 and African25 populations. The OR reached as high as 57.6.21 In addition to HLA-A*31:01, other HLA alleles such as HLA-B*58:01, HLA-B*13:01, and HLA-A*92:01 were also well-established genetic risk factors for non-ICI-induced DRESS.21 HLA complex performs the function of presenting peptides to specific T cell receptors. The epitope number and the peptide affinity for HLA molecules is haplotype specific. The same antigen may display different immunogenicity in individuals with different HLA profiles.33 In this regard, different HLA molecules are reasonably able to enhance or repress specific T cell-mediated or antibody response to specific antigens. Indeed, HLA signature has been tightly correlated to the rapid diversification of both the CD4+ and CD8+ T cells4,26 and the generation of self-reactive clonotypes within 2 weeks of ipilimumab treatment, preceding onset of irAEs. For this patient, his myocardium may have already been suffering from immune attack at that early time. In support of our speculation, a study by Oh et al showed a rapid diversification of both the CD4+ and CD8+ T cell repertoires and the generation of self-reactive clonotypes within 2 weeks of nivolumab treatment, preceding onset of irAEs.41 CMR may have the potential to be the most sensitive screen tool for immune-related myocarditis.

CONCLUSIONS
We report the first visceral pathology proven case of nivolumab-related DRESS in a genetic susceptible individual. It showed a typically prolonged course (more than half a year) with stepwise development of renal failure and sequential herpes zoster virus activation after pneumonitis and pruritus rash, which has not been observed in previous few ICI-associated DRESS syndrome. Type IV hypersensitivity-related off-target effect and PD-1 block-related on-target effect may both account for the immunopathogenesis of this emerging condition. A DRESS risk allele HLA-A*31:01 was identified in this patient. Our study helps increase the awareness of clinicians for ICI-mediated DRESS. HLA genotyping may be an appropriate tool to screen genetic susceptible individuals before ICI therapy.

Contributors LA conceived and wrote the study. JG performed the flow cytometry analysis. SZ performed the CT/MRI scan and radiological analysis. QL, Y-HC, QL, and DW treated the patient. YW, XJ, and YJ performed the renal biopsy and pathological evaluation. JL performed the next-generation sequencing and analysis. TL and YY designed or/and supervised this project and revised the study.

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Competing interests None declared.

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