Disorder-Free Localization

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The venerable phenomena of Anderson localization, along with the much more recent many-body localization, both depend crucially on the presence of disorder. The latter enters either in the form of quenched disorder in the parameters of the Hamiltonian, or through a special choice of a disordered initial state. Here we present a model with localization arising in a very simple, completely translationally invariant quantum model, with only local interactions between spins and fermions. By identifying an extensive set of conserved quantities, we show that the system generates purely dynamically its own disorder, which gives rise to localization of fermionic degrees of freedom. Our work gives an answer to a decades old question whether quenched disorder is a necessary condition for localization. It also offers new insights into the physics of many-body localization, lattice gauge theories, and quantum disentangled liquids.

The study of localization phenomena – pioneered in Anderson’s seminal work on the absence of diffusion in certain random lattices [1] – is receiving redoubled attention in the context of the physics of interacting systems showing many-body localization [2–4]. While in these systems the presence of quenched disorder plays a central role, suggestions for interaction-induced localization in disorder-free systems appeared early in the context of solid Helium [5]. However, all of these are limited to settings having inhomogeneous initial states [6, 7]. Whether quenched disorder is a general precondition for localization has remained an open question. Here, we provide an explicit example to demonstrate that a disorder-free system can generate its own randomness dynamically, which leads to localization in one of its subsystems. Our model is exactly soluble, thanks to an extensive number of conserved quantities, which we identify, allowing access to the physics of the long-time limit. The model can be extended while preserving its solubility, in particular towards investigations of disorder-free localization in higher dimensions.

Localization phenomena are often diagnosed, in experiment and simulation, via the dynamical response to a global quantum quench. The underlying idea is to examine if a system thermalizes, thereby losing memory of the initial state, or whether this memory persists in the long-time limit [6–9]. Some of the simple initial states used in these diagnostics exhibit density modulations, e.g., in the form of a periodic density-wave pattern, or a density imbalance, with two halves of the system separated by a ‘domain wall’. The latter setup was exploited in the experimental identification of the many-body localization (MBL) transition [10]. In this experiment a complete domain-wall melting was observed in the ergodic phase, while the density imbalance remained in the localized phase at long times, showing exponential tails set by the localization length [11]. Another useful localization diagnostic, which does not require inhomogeneous initial states, is based on examining deviations from linearity in the light-cone spreading of correlations after a quantum quench [12, 13].

In translationally invariant systems, initial state inhomogeneity has been a precondition for the emergence of localization. For instance, in models containing a mixture of interacting heavy and light particles [6, 7], the heavy particles play a role of quasi-static disorder for the light particles. However, these models show only transient sub-diffusive behaviour, which ultimately gives way to ergodicity at long times [6, 14]. They were dubbed quasi-MBL. Related attempts concern localization in translationally invariant quantum versions of classical glassy models [15], whose behaviour, so far, cannot be differentiated from quasi-MBL, due to limitations on system sizes available in numerical simulations. Our work may also provide a new perspective on quantum disentangled liquids (QDL) [16–18] which are characterized by the lack of equilibration in one or more of the components of the liquid. Beyond this, only the construction of single-particle hopping problems with entirely flat dispersions, so that the group velocities of any wave-packet vanishes, has succeeded in stopping particles from moving [19, 20].

The model which we present here exhibits localization of purely dynamical origin. This localization is induced by a quantum quench, and we use the abovementioned standard diagnostics to examine its nature. We stress that our model is entirely disorder-free, namely both the Hamiltonian, and – crucially – initial states do not require any quenched disorder. On the technical side we can analyse the nature of dynamically generated randomness, thanks to an extensive set of conserved quantities. We show that time evolution after a quantum quench is described by a dual Hamiltonian with binary disorder. This allows us to develop an efficient numerical algorithm to access system sizes far beyond the localization length, allowing us to conclude that the phenomenology detailed below is representative of the thermodynamic limit.

The paper is structured in the following way. First, we introduce the model and define the quench protocols we consider. Second, we identify the conserved charges and how they are associated with the dynamically-generated randomness. Third, we present our results for the fermionic and the spin subsystems of our model. For the fermionic subsystem
we can extract a length scale which we compare with the relevant single-particle localization length. Finally, we close with a discussion where we make connections to related models and progress currently being made in the field. An outline of the numerical methods we use is referred to the Supplementary Material.

Model. We study a 1D lattice model of spinless fermions, \( \hat{f}_i \), which are coupled via spins-1/2, \( \hat{\sigma}_{i,i+1} \), positioned on the bonds. The model is described by the Hamiltonian (Fig. 1),

\[
\hat{H} = -J \sum_{\langle ij \rangle} \hat{\sigma}_{i,j}^{\tau} \hat{\sigma}_{j}^{\tau} + h \sum_i \hat{\sigma}_{i,i+1}^{\tau} \hat{\sigma}_{i,i+1}^{\tau}.
\]

Here \( J \) and \( h \) denote fermion tunnelling strength and Ising coupling, respectively. In the following we discuss dynamics induced by the Hamiltonian (1) on initial states with all bond spins aligned with the \( z \)-axis, and the fermions prepared in a Slater determinant. We consider three distinct examples of the latter: (i) domain wall \( | \ldots 111000 \ldots 0 \rangle \), (ii) density wave \( | \ldots 10101 \ldots \rangle \), and (iii) translationally invariant ground state of the Hamiltonian (1) at \( h = 0 \).

Dynamically-generated randomness. The model possesses an extensive set of conserved quantities \( \{q_j\} \) identified through the duality mapping, known from the Ising model [21, 22]. This holds for arbitrary initial fermion states. In the subspace fixed by a particular set of \( \{q_j\} = \pm 1 \) the Hamiltonian (1) assumes a simple non-interacting form

\[
\hat{H}_{\{q_j\}} = -J \sum_{\langle ij \rangle} c_i^\dagger c_j + 2h \sum_j q_j(c_j^\dagger \hat{c}_j - 1/2),
\]

a tight-binding model with a binary potential given by the charge sector \( \{q_j\} \). Note that, despite the simplicity of equation (2), the dynamics of the physical system is highly non-trivial, not least on account of the non-linear transformation between degrees of freedom of the physical (1) and dual Hamiltonian (2).

The identification of the set of conserved quantities \( \{q_j\} \), and the derivation of equation (2) proceeds by a duality mapping [21, 22] from bond-spins \( \sigma \) to site-spins \( \tau \),

\[
\hat{\tau}_{j}^\pm = \hat{\sigma}_{j-1,j}^\pm \hat{\sigma}_{j,j+1}^\mp, \quad \hat{\sigma}_{j,j+1}^z = \hat{\tau}_{j}^z \hat{\tau}_{j+1}^z.
\]

We consider a system of \( N \) sites with open boundary conditions, see Fig. 1. Periodic boundary conditions introduce only a few technical differences, such as the global constraint on spins, which is automatically satisfied by our choice of initial spin-states (for more details see, e.g., Refs. [12, 23]). In terms of the dual spins, the Hamiltonian assumes the following form

\[
\hat{H} = -J \sum_{\langle ij \rangle} \hat{\tau}_{j}^\tau \hat{\tau}_{j}^\tau \hat{f}_i^\dagger \hat{f}_j + h \sum_i \hat{\tau}_{i}^z.
\]

Here \( N \) mutually commuting conserved charges are given by \( \hat{q}_j = \hat{\tau}_{j}^z (-1)^{\hat{f}_j} \) with \( \hat{q}_j = \hat{f}_j^\dagger \hat{f}_j \). The charges also commute with the Hamiltonian \( \hat{H} \), but change sign under the action of operators \( \hat{\tau}_j^\tau \), and \( \hat{f}_j^\dagger \). In terms of new fermion operators

\[
\hat{c}_j = \hat{\tau}_j^\tau \hat{f}_j, \quad \text{which commute with the charges, one arrives at the Hamiltonian (2).}
\]

We restrict initial states at \( t = 0 \) to tensor products of fermion and spin states \( |0\rangle = |S\rangle \otimes |\psi\rangle \). The z-polarized initial state of bond-spins \( |S\rangle = |↑↑↑\cdots\rangle_{\sigma} \) implies a sum over all \( 2^N \) charge configurations \( \{q_j\} = \pm 1 \),

\[
|0\rangle = \frac{1}{\sqrt{2^N}} \sum_{\{q_j\} = \pm 1} |q_1, q_2, \ldots, q_N \rangle \otimes |\psi\rangle,
\]

which leads to correlators averaged over a binary potential. This particular initial spin state ensures that the tensor product form is retained after the transformation, which would not generally be the case. However, we find that this is not crucial for the observed phenomenology, see Supplementary Material for more details. Note that states akin to (5) were suggested in [24] for quantum simulations of disordered systems. In our model this state appears naturally from a translationally invariant initial state.

Results. The identification of the conserved charges, and the form of the dual Hamiltonian (2), allows us to evaluate correlators, which we will use to demonstrate disorder-free dynamical localization. The results presented below were obtained for systems with up to \( N = 200 \) sites, see Supplementary Material.

Fermionic subsystem. First, we consider the fermionic subsystem, see Fig. 2. For a density-wave initial state

\[
\Delta \rho(t) = \frac{1}{N} \sum_j \langle 0 | \hat{n}_j(t) - \hat{n}_{j+1}(t) | 0 \rangle,
\]

measures the average staggered fermion density. In an ergodic phase, \( \Delta \rho(t) \) vanishes at long times. In our model, it instead shows saturation to a finite asymptotic value, \( \Delta \rho(\infty) \), Fig 2(a), which grows monotonically with \( h/J \) (inset). This demonstrates persistence of memory of the initial state.

Similarly, for the domain-wall initial state \( |10, 11\rangle \), with a maximal density imbalance between two halves of the lattice, Fig. 2(b), an initial spreading of fermions eventually halts, and the number of particles emitted from the filled to the empty half of the system after the quench, \( N_{\text{halts, (inset)}} \), remains finite. The long-time spatial density distribution shows exponential tails. The decay length is simply proportional to the single-particle localization length [25], as in [11], see Fig. 3, with a proportionality constant of approximately two.
Localization length. As for the spin average, we find a decay tendency of the initial state $\langle |\hat{\rho}(t)|\rangle$ for a density-wave initial state at $h/J = 0.2$. In the absence of dynamical disorder, $h = 0$, we observe the light-cone spreading of a free-fermion model [12], whose envelop is set by the velocity corresponding to the Lieb-Robinson bound $v_{LR} = 4J$, twice the maximum fermion group-velocity. This is in contrast with quenches for $h \neq 0$ (Fig. 2(c)). For a density-wave initial state at short-times, the linear spreading of correlators, bounded by $v_{LR}$, and accompanied by a second signal at $v_{LR}/2$, is eventually suppressed at long times. In this limit the correlators assume a stationary form [13], decaying with the same exponent as the density imbalance, Fig. 3. We emphasise that we find a similar localization behaviour for a translationally invariant Fermi-sea initial state, some results for which are shown in the Supplementary Material.

This above ensemble of results provides unambiguous evidence of localization of the fermionic subsystem in a model without quenched disorder. This is our first central result.

Spin subsystem. Let us now turn to the discussion of results for the spin subsystem. The expectation value of the $z$-component of the bond-spin, Fig. 4(a), decays to zero at long times for all $h \neq 0$. Furthermore, for the explored range of parameters $h/J$, we find that this decay is asymptotically a power-law. The remarkable qualitative agreement between the exact result for $N = 20$, and the disorder averaged result for $N = 200$ suggests that the spin-dynamics is dominated by regions of finite size, presumably the order of fermion localization length. Intriguingly, we find persistent spin-fluctuations accompanying the power-law decay.

The equal-time spin-correlator on two bonds, Fig. 4(b) exhibits an initial linear light-cone. As with the fermion correlators, the extent of the ballistic regime is determined by the localization length. As for the spin average, we find a decay of all spatial correlations to zero in the long-time limit, indicating equilibration of the spin subsystem.

Discussion. We have observed dynamical localization after a completely translationally invariant quantum quench. The fermionic subsystem retains memory of the initial state, whereas the spin subsystem eventually equilibrates. In our model of fermions coupled to dynamical spins, the requisite randomness is generated dynamically. The main technical advance of our work is the identification of an extensive set of quenched disorder, allowing numerical computations on large systems.

Despite the close relation of our model to the heavy-light mixtures studied in the context of quasi-MBL [6, 7], we identify several key differences. First, the only limit where true nonergodicity is known in these models is an infinite mass “heavy” species, whereas the corresponding limit for us ($h \to 0$) amounts to free fermions. Otherwise the dynamics of the heavy species generally leads to the eventual return of...
ergodicity [6, 14], whereas we observe complete localization for all $h \neq 0$. We can also vary the parameter $h/J$ freely, thus there is no meaningful distinction in our model in terms of heavy/light particles: the two subsystems are instead characterized by equilibration or lack thereof. This is similar to the distinction between the components of QDL [16–18]. Interestingly, the model of Eq. (2) is also related to Falikov-Kimbell model Ref. [26], which shows a rich phase diagram at finite temperature.

The tunability of $h/J$ may be important for experimental realisations, as varying $h/J$ can change the localization length, e.g., in the range $0.2 \ldots 1$ from 100 down to almost a single lattice spacing. This could enable quantum simulations even on the currently available relatively small systems [27].

Moreover, our setup itself is remarkably simple: the Hamiltonian contains just nearest-neighbour exchange and hopping terms; while the initial state can be chosen as simple, entirely unentangled product state of spins and fermions, thereby removing obstacles related to preparing complex many-body states. This should enable our proposal to take maximal advantage from recent progress in quantum simulations of lattice gauge theories [28].

Indeed, there are numerous connections to gauge theories appearing in other contexts. Our model can be thought of as a fermionic matter field minimally coupled to a dynamical gauge field with a somewhat unusual Hamiltonian. It is less constrained, but related to $\mathbb{Z}_2$-slave-spin representations of the Hubbard model, and of lattice gauge theories [29–31]. Crucially, our model allows for straightforward generalisations, in particular to higher dimensions, yielding, e.g., Kitaev’s toric code model coupled to fermionic matter. This holds the promise of studying, in a broad range of settings, the novel localization phenomena uncovered in this work.

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Supplementary Material

Numerical Methods. First we discuss the procedure which we used to evaluate the correlators. Since all operators in the original Hamiltonian (1) of the main text either conserve or flip charges locally, all correlators can be written as fermionic ones evolving under the single-particle Hamiltonian (2) of the main text, and have to be averaged over all charge configurations (for our choice of a polarized initial spin-state). For example, an expectation value of the \( \hat{\sigma}^z \) spin component at time \( t \) after the quench reads,
\[
\langle 0 | \hat{\sigma}^z_{j,j+1}(t) | 0 \rangle = \langle 0 | e^{i H t} \hat{\sigma}^z_{j,j+1} e^{-i H t} | 0 \rangle = \frac{1}{2^N} \sum_{\{q_i\} = \pm 1} \langle \psi | e^{i H q_i t} e^{-i H (q_i + 1) t} | \psi \rangle,
\]
with the signs of the charges at locations denoted with \( q \) opposite to the ones appearing in equation (2) of the main text. Other correlators of physical spins \( \hat{\sigma} \) and fermions \( \hat{c} \) can be represented in a similar fashion. We note that while the Hamiltonian (2) describes a tight-binding model with an on-site disorder potential, the physical correlators in our model are in general distinct from the correlators appearing naturally in the context of Anderson localization problems, cf. equation (7) of the main text.

Owing to the non-interacting form of (2), the averages over initial states in (7) can be expressed in terms of determinants [1], and computed efficiently for any initial charge configuration. On the basic level we use expressions of the form
\[
\langle \alpha | \exp \{ \sum_{ij} A_{ij} \hat{c}_i^\dagger \hat{c}_j \} | \beta \rangle,
\]
where \( A \) is a Hermitian matrix, \( \langle \alpha \rangle = \hat{c}^\dagger_{m_N} \cdots \hat{c}^\dagger_{m_1} | \text{vac} \rangle \), and \( | \beta \rangle = \hat{c}^\dagger_{n_N} \cdots \hat{c}^\dagger_{n_1} | \text{vac} \rangle \); this has a determinantal expression.

To show that, see Appendix in [1], we first use the unitarity of the exponential operator to rewrite equation (8) as
\[
\langle \text{vac} | \hat{c}_{m_1} \cdots \hat{c}_{m_N} \hat{U}_A^\dagger \hat{U}_A \hat{c}_{n_1} \cdots \hat{c}_{n_N} | \text{vac} \rangle = \langle \text{vac} | \hat{c}_{m_1} \cdots \hat{c}_{m_N} \hat{U}_A \hat{c}_{n_1} \cdots \hat{c}_{n_N} | \text{vac} \rangle,
\]
where we introduced the notation \( \hat{U}_A = \exp \{ \sum_{ij} A_{ij} \hat{c}_i^\dagger \hat{c}_j \} \), \( \hat{c}_i^\dagger \equiv \hat{U}_A \hat{c}_i \hat{U}_A^\dagger \), and we use \( \hat{U}_A | \text{vac} \rangle = | \text{vac} \rangle \). Via the Baker-Hausdorff formula we further obtain
\[
\hat{c}_i^\dagger = \sum_j \exp \{ i A^T_{ij} \} \hat{c}_j^\dagger,
\]
distinguishing between the operator \( \hat{U}_A \), and the matrix \( U_A \) by a hat. Finally, we insert this expression into (9), and use the fermionic anti-commutation relations to obtain
\[
\langle \alpha | \hat{U}_A | \beta \rangle = \det D, \quad D_{jk} = [U_A]_{n_j m_k},
\]
with \( j, k = 1, \ldots, N \).

This identifies possibilities for generalisations. If we have more than one unitary operator then we get
\[
\langle \alpha | \hat{U}_A \hat{U}_B \cdots | \beta \rangle = \det D, \quad D_{jk} = [U_A U_B \cdots]_{n_j m_k},
\]
which simply follows from a repeated use of the Baker-Hausdorff formula. This has precisely the form needed in equation (7). For the fermion correlators, we need to consider expressions such as
\[
C_{kl} = \langle \alpha | \hat{c}_k^\dagger \exp \{ \sum_{ij} A_{ij} \hat{c}_i^\dagger \hat{c}_j \} | \beta \rangle. \tag{13}
\]

By commuting \( \hat{c}_k^\dagger \) to the left, and \( \hat{c}_l \) to the right, we pick up factors \((-1)^{N-p}\) and \((-1)^{-q}\), where \( m_p = k \) and \( n_q = l \), and arrive at
\[
C_{kl} = (-1)^{p+q} \langle \text{vac} | \hat{c}_{m_1} \cdots \hat{c}_{m_p-1} \hat{c}_{m_p} \cdots \hat{c}_{m_N} \hat{U}_A \hat{c}_{n_1} \cdots \hat{c}_{n_q} \hat{c}_{n_{q-1}} \cdots \hat{c}_{n_1} | \text{vac} \rangle. \tag{14}
\]

This equation has the same form as (8). In this case we need to remove the \( q \)-row and the \( p \)-column before taking the determinant and then multiply by the corresponding sign, i.e., we compute the \( q-p \) cofactor of \( D \) where \( D \) has the same form as in equation (11). When the matrix \( D \) is invertible the final expression can be written in a simple form
\[
C_{kl} = D^{-1}_{lk} \det D, \tag{15}
\]
where \( D_{jk} = [U_A]_{n_j m_k}, \ j, k = 1, \ldots, N \).

Our mapping allows us to reach system sizes far beyond exact-diagonalization studies. One can estimate the size of the fermionic Hilbert space at half-filling as \( N^{-1/2} 2^N \) with the spin degrees of freedom adding another factor of \( 2^N \). Instead of diagonalizing exponentially large matrices the identification of conserved charges allows us to sample uniformly from \( \sim 2^N \) determinants of \( N \times N \) matrices, corresponding to different charge configurations. Finally, finite-size scaling as well as exact results (up to \( N = 20 \)) show that the required number of samples for a given accuracy scales polynomially with \( N \), see inset of Fig. 4(a). This allows us to access even larger system sizes than we studied in this paper. However, this is not necessary because of the finite localization length, and the results for the system sizes presented here are sufficient. Typically we sample over \( 10^3 \sim 10^5 \) spin configurations.

To calculate the localization length for a tight-binding model with a binary disorder potential, see Fig. 3, we used a spectral formula [2, 3]
\[
\frac{1}{\lambda_{sp}} = \min \int_{-\infty}^{\infty} \Omega(x) \ln |E - x| \, dx,
\]
where \( \Omega \) is the single-particle density of states (DOS) of the Hamiltonian in equation (2) of the main text. The DOS was obtained using the kernel polynomial method [4]. The basic idea is to expand the DOS as a series in Chebyshev polynomials, the recursive definition of which allows us to utilise efficient multiplication of large sparse matrices, see Ref. [4] for more details. We used 1,000,000 sites with 2,400 terms in the expansion.

Translationally invariant initial state. One of the initial fermion configurations that we considered was a translationally invariant Fermi-sea. We use periodic boundary conditions and initialize the spins in the z-polarized state and the
fermions in the half-filled ground state of our model with \( h = 0 \), which corresponds to a free hopping model. We then quench \( h \) to a non-zero value as before.

We show the results for the connected density-density correlator in Fig. 5 where we can identify evidence of localization. In Fig. 5(a) we see an initially linear light-cone corresponding to the Lieb-Robinson bound \( v_{LR} = 4J \), as for the charge density wave. This spreading is eventually suppressed and the correlators assume a stationary form. Looking at the spatial dependence for fixed times in Fig. 5(b) we see that for this initial state has some spatial correlation that decays algebraically as can be seen in the inset. For \( Jt = 100 \), where the distribution has approximately taken its stationary form, we observe exponential tails which matches those seen for the

**Initial spin states: gauge transformation.** We note in the main text that the observed localization behaviour is not specific to the polarized spin state \( |S\rangle = |\uparrow\uparrow\uparrow\cdots\rangle \). We have checked this, using exact diagonalization, for a range of initial spin states. Furthermore, it is also easy to show that any spin state that is itself a simple tensor product in the z-basis, i.e. \( |S\rangle = |\uparrow\uparrow\uparrow\cdots\rangle \), where each spin can independently be up or down, is equivalent to the polarized state through a gauge transformation.

The gauge transformation proceeds as follows. Consider a unitary operator such that \( P|\uparrow\uparrow\uparrow\cdots\rangle = |\downarrow\uparrow\uparrow\cdots\rangle \), that is a product of local operators that flips any down spin to an up spin. The operator

\[
P = \prod_{\text{down spins}} \hat{\sigma}_j^x,
\]

does just that, where \( j \) runs over only the spins that are down.

The unitary transformation of the Hamiltonian

\[
\tilde{H} = PHP^\dagger
\]

follows by noting \( \hat{\sigma}_j^x\hat{\sigma}_i^x\hat{\sigma}_j^x = \hat{\sigma}_i^x\hat{\sigma}_j^x\hat{\sigma}_i^x = -\hat{\sigma}_j^x \), and so equation (1) of the main text transforms to

\[
\tilde{H} = -J \sum_{(ij)} s_{i,j} \hat{\sigma}_{i,j}^x \hat{f}_i^\dagger \hat{f}_j - h \sum_i \hat{\sigma}_i^x \hat{\sigma}_{i-1,i}^x \hat{\sigma}_{i,i+1},
\]

where \( s_{i,j} = -1 \) if the spin along that bond was down and 1 if it was up. This sign, however, can be incorporated into the fermions as follows

\[
\hat{f}_j \rightarrow \left( \prod_{i \leq j} s_{i-1,i} \right) \hat{f}_j,
\]

which puts \( \tilde{H} \) in exactly the same form as equation (1). For periodic boundary conditions there are two inequivalent sectors of the gauge transformation. However, in making the duality transformation we already have to explicitly restrict ourselves to one of these sectors and thus this poses no additional complication.

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