Adaptive consensus for high-order unknown nonlinear multi-agent systems with unknown control directions and switching topologies

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\textbf{A B S T R A C T}

In this paper, we provide a comprehensive assessment of the consensus of high-order nonlinear multi-agent systems with input saturation and time-varying disturbance under switching topologies. The control directions and model parameters of agents are supposed to be unknown. Our approach is based on transforming the problem of consensus for a network that consists of high-order nonlinear agents to that of perturbed first-order multi-agent systems. The unknown part of dynamics is cancelled using radial basis neural networks. Nussbaum gains and auxiliary systems are respectively employed to overcome the unknown input direction and the saturation. Adaptive sliding mode control is used to compensate for the time-varying disturbance and the imperfect approximation of the developed neural network as well. Through Lyapunov analysis, it is shown that the overall closed-loop system maintains asymptotic stability. Finally, our approach is applied to a group of multiple single-link flexible joint manipulators to highlight better its merit.

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\section{1. Introduction}

Advances in networked cyber-physical systems and embedded systems technology have created an increasing interest among the control community to study multi-agent systems (MASs). The control techniques developed so far for MASs enable us to apply resilient, cheap, and flexible methodologies to diverse cooperative tasks in many domains including maintenance, surveillance, reconnaissance, search and rescue mission, cooperative construction, and manipulation [6,18,28,31,47].

Consensus is a fundamental cooperative task in MASs where all the agents in a team are supposed to agree on a certain value of interest while each agent updates its states merely on the basis of its own states and the local information from its neighbors. Consensus has applications in a variety of domains, including cooperation of network sensors [35], decision making [26], motion coordination of unmanned aerial vehicles (UAVs) [23,40] and autonomous underwater vehicles (AUVs) [2], attitude synchronization in spacecraft [48], flocking control [33], and load sharing in microgrids [12].

Early studies of consensus mainly focused on the cooperation of agents with first- and second-order dynamics [20,27,29,34]. In [34], the problem of average consensus for first-order integrators under switching topologies and identical time delays was fully developed. The authors in [20] fully discussed the consensus problem of second-order system...
models under jointly-connected switching topologies based on a space decomposition technique. In [29], necessary and sufficient conditions were derived to guarantee consensus in a network of second-order systems over directed topology with a uniform constant delay.

However, first- and second-order kinematics fail to model many practical systems described by high-order differential equations. Hence, several studies concentrated on the consensus problem for linear high-order dynamics [4,11,39,41]. Techniques such as feedback linearization can be used to convert nonlinear systems to linear ones. The perfect cancellation of the nonlinearities requires having an exact model for the system which is not feasible in reality. Therefore, applying the results of linear MASs to unknown nonlinear MASs is not straightforward. However, despite its great importance, there are rather few studies dedicated to the consensus of high-order nonlinear systems [19,21,37,38,45,46]. For example, the authors in [37] developed a consensus framework for a network consisting of uncertain high-order nonlinear systems under jointly-connected switching topologies. The work of [21], investigates consensus problem for high-order stochastic nonlinear systems under fixed topology. In [19], the leader-following consensus for nonlinear homogenous MASs with network induced delays is studied.

Cooperative control of high-order nonlinear MASs can be even more challenging in the presence of input saturation. This practical concern results from the physical constraints of actuators. This issue has been studied in [7,36,43,44]. The study of [36] was dedicated to the semi-global bipartite consensus of general linear MASs with switching topologies. In [43], leader-following output consensus of linear discrete-time MASs subject to actuator saturation and external disturbances was examined. A leader-follower framework for consensus of a group of linear MASs with input saturation was established in [44].

All the aforementioned studies shared the assumption that the control directions are known. Nevertheless, in some practical situations, the controlling effect is not accessible. This issue is already addressed for a single system by using the Nussbaum-type function initially introduced in [32]. Tackling this issue for control of MASs is challenging due to the fact that each agent Nussbaum gain parameter may move in a different direction which impedes using the usual method of contradiction in the establishment of the stability of the overall system [13]. Recently some studies have appeared to overcome this challenge. The authors in [3] investigated adaptive consensus problem of first-order and second-order linearly parameterized systems where the control directions are assumed to have known lower and upper bounds. The problem of adaptive output regulation in the presence of unknown identical control direction was addressed in [13,30] in which the need for prior knowledge of the lower and upper bounds was removed. Researchers in [3,13,30] investigated the special case where all the control directions of the subsystems are identical. Although this condition was relaxed in [1], it still relies on knowing some of the control directions.

The above-mentioned facts motivated us to address the problem of consensus for high-order unknown nonlinear systems with input saturation, time-varying disturbance, and unknown control direction under switching interaction topologies. We develop an approach that converts the problem into the consensus of first-order MASs with bounded perturbation terms and then employs a properly developed stabilizing controller. Radial basis function neural networks are used to approximate the unknown nonlinear part of the system dynamics, while their update rules are derived based on the Lyapunov analysis. In order to deal with the unknown control direction, the Nussbaum-type function is utilized. An auxiliary system is also implemented for each agent to compensate for the input saturation while the effect of the time-varying disturbance and the approximation error of the neural network is counteracted by an adaptive sliding mode control scheme.

Compared to the most relevant study [37], our approach takes into account the effects of both unknown control direction and input saturation. Besides, in the work of [37], the control parameters were determined in such a way that a linear matrix inequality (LMI), which is dependent on the number of agents, should hold. Hence, growing the number of agents in the network would increase the computational burden. To the best of our knowledge, no studies have yet considered the problem at hand in the presence of all aforementioned practical issues. For example, in [21,37,38,45], the unknown control direction was not addressed. Existing approaches that cope with this difficulty [13,30], not only neglected the effects of actuator saturation and switching topologies but also required the input directions to satisfy some limiting conditions. As a case in point, in [3], it was supposed that the upper and lower bounds of control effects are known. In [3,30], it was assumed that all control directions have an identical sign and in [1] some part of the control coefficients was considered to be known. In Table 1, the differences of our method with those presented in other relevant studies are highlighted. The contributions of this paper can be summarized as follows:

- Consensus for a class of general complex high-order nonlinear MASs with unknown nonlinearity and disturbance is studied.
- The consensus is achieved asymptotically in the presence of jointly connected topology for undirected graphs and uniformly jointly quasi-strongly connected and balanced topology for directed graphs.
- The impact of the actuator saturation in MASs is effectively handled.
- The proposed approach is capable of completely tackling unknown control effects where it allows control effects to have non-identical signs and the need for the knowledge of the boundaries of the control coefficients is removed.

The rest of the paper is organized as follows. In Section 2, required concepts from graph theory and notations are presented. The problem is formulated in Section 3. In Section 4, the control design and main results are presented. Simulations are given in Section 5 and finally, the paper is concluded in Section 6.
2. Preliminaries

In this section, we introduce the notations and the graph theory terminology which will be used throughout the paper.

2.1. Notations

Throughout this paper, symbols $\mathbb{R}$ and $\mathbb{R}^+$ denote the set of reals and positive reals, respectively. $\text{sgn}(\cdot)$ expresses the sign function. $\mathbf{1}_N$ is a column vector of $N$ elements all equal to one. $\mathbf{0}_N$ denotes a column vector of $N$ with zero entries. $L_\infty$ presents the space of bounded signals. $x(t) \in L_1$ means that $\int_0^\infty |x(t)|dt < \infty$.

2.2. Graph theory

The interaction among $N$ agents is represented by an undirected or directed graph $G(V, \mathcal{E}, A)$ with a node set $V = \{1, \ldots, n\}$, edge set $\mathcal{E} \subseteq V \times V$, and adjacency matrix $A = [a_{ij}] \in \mathbb{R}^{n \times n}$. The adjacency matrix is defined such that the diagonal entries are equal to zero ($a_{ii} = 0$) and the off-diagonal entries are $a_{ij} > 0$ if $(i, j) \in \mathcal{E}$ and $a_{ij} = 0$, otherwise. For undirected graphs, we also have $a_{ij} = a_{ji}$. The set of neighbors of node $v_i$ is $\mathcal{N}_i = \{v_j \in V | (v_i, v_j) \in \mathcal{E}\}$. The Laplacian matrix $L = [l_{ij}]$ is defined as

$$l_{ij} = \begin{cases} \sum_{j=1, j \neq i}^{N} a_{ij} & i = j \\ -a_{ij} & i \neq j \end{cases}.$$  

(1)

The in-degree and out-degree of node $v_i$ are defined as $d_{\text{in}}(v_i) = \sum_{j=1}^{N} a_{ij}$ and $d_{\text{out}}(v_i) = \sum_{j=1}^{N} a_{ji}$, respectively. A graph is balanced if and only if $d_{\text{in}}(v_i) = d_{\text{out}}(v_i)$, $\forall v_i \in V$. It is clear that an undirected graph is balanced. $(1/\sqrt{N})\mathbf{1}_N$ and $w_i^T((1/\sqrt{N})\mathbf{1}_Nw_i^T = 1)$ are the right and left eigenvectors associated with the zero eigenvalue of $L$, respectively. $w_i^T(1/\sqrt{N})\mathbf{1}_N$ if the digraph $D$ is balanced. A class of piecewise right-continuous switching function $\sigma(t)$ (in short $\sigma$), $[0, \infty) \to \mathcal{P} = \{1, 2, \ldots, n_r\}$ is used to describe the switching topologies where $n_r$ is the total number of all possible communication graphs. We use $G^\sigma(t)$ to denote the communication graph at time $t$. An undirected graph is said to be connected if every two distinct nodes can be connected by a path where a path is a sequence of adjacent edges of the form $(v_{l_1}, v_{l_2}), (v_{l_2}, v_{l_3}), \ldots, (v_{l_{l-1}}, v_{l_l})$ in which $l_j \in V$. A directed graph is said to contain a directed spanning tree if it has at least one node from which there exist directed paths to all other nodes. The union of a collection of graphs $G_1, \ldots, G_m$ with the node set $V$ is a graph denoted by $G_{1-m}$ with the same node set whose edge set is the union of the edge sets of all graphs. A collection of switching undirected or directed graphs is called jointly connected or uniformly jointly quasi-strongly connected [5] if, respectively, the union of the graphs is connected or has a directed spanning tree. We assume that there exists an infinite sequence of nonempty, bounded and contiguous time intervals $[t_r, t_{r+1}, \ldots, t_0 = 0$, and $t_{r+1} - t_r \leq T_1$ for some constant $T_1 > 0$ such that the collection of switching graphs across each time interval is jointly connected or uniformly jointly quasi-strongly connected. It is also assumed that there is a sequence of non-overlapping subintervals $[t_{r_0}, t_{r_1}, \ldots, t_{r_j}, t_{r_{j+1}}, \ldots, t_{r_m}, t_{r_{m+1}}]$. 

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where \( t_r = t_{r_0}, \ t_{r_1} = t_{m_r}, \) and \( t_{r_j+1} - t_j \geq T_2, \ 0 \leq j < m_r - 1 \) for some integer \( m_r \) and given constant \( T_2. \) The communication topology is supposed to be fixed on each time subinterval and switches at \( t_{r_j}. \)

3. Problem statement

Consider a team of \( N \) high-order nonlinear agents which the dynamics of the \( i \)th one is as follows:

\[
x_i^{(n)} = f_i(x_i) + b_i u_i + d_i(t)
\]

where \( x_i = [x_i, x_i, \ldots, x_i^{(n-1)}]^T \in \mathbb{R}^n, u_i \in \mathbb{R} \) are system states and the control input, respectively. \( x_i^{(n)} \in \mathbb{R} \) is the \( h \)th-order state of the \( i \)th agent and \( x_i \) denotes the position. \( f_i(x_i) \in \mathbb{R} \) is an unknown nonlinear function. \( b_i \) is an unknown nonzero constant gain with an unknown sign. \( d_i(t) \) is an external bounded disturbance. In the sequel, we make the following assumptions:

**Assumption 1.** \( u_i \) is gained by passing the designed input \( v_i \) through a non-symmetric saturation constraint defined as

\[
u_i = \begin{cases}
u_{\text{max}}, & \text{if } v_i > u_{\text{max}} \\
 v_i, & \text{if } u_{\text{min}} \leq v_i \leq u_{\text{max}} \\
u_{\text{min}}, & \text{if } v_i < u_{\text{min}}\
\end{cases}
\]

where \( u_{\text{max}}, \) and \( u_{\text{min}}, \) are known bounds of saturation nonlinearity.

**Assumption 2.** \( |d_i| \leq D_i \) where \( D_i \) is an unknown constant.

In order to tackle the unknown control direction, the Nussbaum-type function technique is exploited in this paper. \( \mathcal{N}_m(\xi) \) is a Nussbaum function satisfies the two-sided properties \([22]\)

\[
\begin{align*}
\lim_{\theta \to \pm\infty} \sup_{\xi \in \mathbb{R}} \frac{1}{\theta} \int_{0}^{\theta} \mathcal{N}_m(\xi) d\xi &= \infty \\
\lim_{\theta \to \pm\infty} \inf_{\xi \in \mathbb{R}} \frac{1}{\theta} \int_{0}^{\theta} \mathcal{N}_m(\xi) d\xi &= -\infty.
\end{align*}
\]

In our framework, we utilize \( \mathcal{N}_m(\xi) = \xi^2 \cos(\pi/2)\xi \) as an example of an even Nussbaum-type function.

In this paper, we aim at designing a protocol for a group of agents with dynamics given in (2) such that all agents reach consensus on the position while the higher-order states converge to zero.

**Lemma 1.** Let us consider the first-order integral MAS. The dynamics of each agent is

\[
\dot{z}_i = -\sum_{j \in \mathcal{N}(G^{(0)})} a_{ij}(t)(z_i - z_j) + \omega_i
\]

where \( z_i \in \mathbb{R} \) is the state of the \( i \)th agent and \( \omega_i \in \mathbb{R} \) is continuous function on \([0, \infty)\) except for at most a set with measure zero. For \( \forall z_i(0) \) and \( \forall \omega_i \) satisfying \( \omega_i \in \mathcal{L}_1, \) then \( z_i \in \mathcal{L}_\infty, \) and \( \lim_{t \to \infty} (z_i(t) - z_j(t)) = 0 \) if \( G^{(0)} \) is jointly connected or uniformly jointly quasi-stably connected for respectively the undirected or directed graphs \([5, 43]\).

4. Consensus for high-order nonlinear MASs

Consensus for high-order nonlinear MASs is discussed in this section and the main result is presented in the form of a theorem.

Let us define the variable \( s_i \) for the \( i \)th agent as:

\[
s_i = \frac{1}{\gamma_n} \left( x_i^{(n-1)} + \gamma_2 x_i^{(n-2)} + \ldots + \gamma_{n-1} \dot{x}_i + \gamma_n \dot{x}_i \right)
\]

where the polynomial \( s_i - \gamma_2 s_i^{(n-2)} + \ldots + \gamma_{n-1} s + \gamma_n \) has roots in the open-left half plane.

To deal with the effect of the saturation constraint, the auxiliary system is defined as:

\[
\dot{\tilde{t}}_i = -\beta \tau_i + \frac{k_i(t) - \text{sgn}(e_i))}{\gamma_n} \Delta u_i
\]

where \( \beta \in \mathbb{R}^+, \ e_i = \tilde{s}_i - z_i, \) with \( \tilde{s}_i = s_i - \tau_i, \) and \( \Delta u_i = u_i - v_i, \) i.e.,

\[
\Delta u_i = \begin{cases}
u_{\text{max}} - v_i, & \text{if } v_i > u_{\text{max}} \\
 0, & \text{if } u_{\text{min}} \leq v_i \leq u_{\text{max}} \\
u_{\text{min}} - v_i, & \text{if } v_i < u_{\text{min}}\
\end{cases}
\]

\[
\dot{\hat{t}}_i = \alpha_i |e_i| \Delta u_i
\]

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where $\alpha_{i1} \in \mathbb{R}^+$ and an auxiliary state $z_i$ is defined as

$$z_i = -\sum_{j \in \mathcal{N}_i(G^{(n)})} a_{ij}(t)(\ddot{s}_j - \ddot{\tilde{s}}_j)$$  \hspace{1cm} (9)

with the initial condition $z_i(0) = x_i(0)$. Based on universal approximation theorem [14,24], any continuous function can be estimated with arbitrarily small error. Having this property in mind and exploiting the fact that $f_i(\mathcal{X}_i) + \Theta_i$ is continuous, we can say

$$f_i(\mathcal{X}_i) + \Theta_i = W_i^T \psi_i(\Xi_i) + \epsilon_i(\Xi_i)$$  \hspace{1cm} (10)

where $\Theta_i = \sum_{i=1}^{n-1} y_j x_j^{(n-i)} + \beta y_n \tau_i$. $\Xi_i = [\mathcal{X}_i^T, \Theta_i]^T$. $\epsilon_i$ is a bounded approximation error, i.e., $|\epsilon_i| \leq \epsilon_1$ where $\epsilon_1$ is an unknown constant, $W_i$ is the bounded ideal weight vector with $l_i$ neurons, and $\psi_i = [\psi_{i1}, \ldots, \psi_{il_i}]^T$ is defined by

$$\psi_{ij}(\Xi_i) = \exp\left(-\frac{(\Xi_i - \ddot{\psi}_{ij})^T(\Xi_i - \ddot{\psi}_{ij})}{v_{ij}}\right)$$

for $j = 1, \ldots, l_i$ in which $\ddot{\psi}_{ij}$ and $v_{ij}$ denote the center of the receptive field and the width of the Gaussian function, respectively. Since the ideal weights are unknown, we can approximate $f_i(\mathcal{X}_i) + \Theta_i$ by

$$f_i(\mathcal{X}_i) + \hat{\Theta}_i = \hat{W}_i^T \psi_i(\hat{\Xi}_i).$$  \hspace{1cm} (11)

Exploiting the above structure, our problem is converted to the consensus of a network of first-order systems (9) with a bounded perturbation and the stabilization problem of $e_i$. The idea behind such a structure is to first the error variable $e_i$ for each agent is driven to zero. To this end, we use the auxiliary system (6) to counteract the saturation effect, and neural network to approximate the unknown part of each agent dynamics. The Nussbaum gain parameter is also exploited to handle the unknown sign of the control direction. To deal with the time-varying disturbance and the approximation error of the developed neural network, we use adaptive sliding mode control. Since we have no knowledge about the bounds on the disturbance and approximation error, we make use of adaptive gains to estimate these bounds. After the variable $e_i$ goes to zero, we can show that the state of the auxiliary system $\tau_i$ is driven to zero for each agent while consensus on a common value for the variables $z_i$ is achieved, i.e., $z_i = \sum_{i=1}^N z_i(0)/N$. This implies that $\ddot{s}_i = \ddot{s}_1$ and hence $s_i \rightarrow s_i = \sum_{i=1}^N z_i(0)/N$ Afterward, we prove that choosing the initial conditions as $z_i(0) = x_i(0)$ for the auxiliary system (9), one can have $x_i \rightarrow \sum_{i=1}^N x_i(0)/N$. Now we put our main result in the following theorem.

**Theorem 1.** Consider the MAS that is defined by (2). Provided that time-varying topology for graph $G^{(t)}$ is jointly connected or uniformly jointly quasi-strongly connected for the directed or balanced directed graphs, respectively, the agents reach average consensus under the following protocol:

$$v_i = N_m(\xi_i)(\hat{W}_i(t)^T \psi_i(\hat{\Xi}_i) + \sigma_i)$$  \hspace{1cm} (12)

where

$$\dot{\tilde{s}}_i = \frac{1}{Y_n}(\hat{W}_i(t)^T \psi_i(\hat{\Xi}_i) + \sigma_i)e_i,$$  \hspace{1cm} (13)

$$\hat{\bar{W}}_i = P_i \psi_i(\hat{\Xi}_i)e_i,$$  \hspace{1cm} (14)

$$\sigma_i = k_i(t) \operatorname{sgn}(e_i) + Y_n \sum_{j \in \mathcal{N}_i(G^{(n)})} a_{ij}(t)(\ddot{s}_j - \ddot{\tilde{s}}_j),$$  \hspace{1cm} (15)

in which the adaptive gain matrix $P_i$ is symmetric positive definite and

$$\dot{k}_i = \alpha_{i2}|e_i|,$$  \hspace{1cm} (16)

where $\alpha_{i2} \in \mathbb{R}^+$. 

**Proof.** The first time derivative of (5) is obtained by

$$\dot{s}_i = \frac{1}{Y_n}\left(x_i^{(n)} + \gamma_2 x_i^{(n-1)} + \ldots + \gamma_{n-1} x_i + \gamma_n \tilde{s}_i\right)$$

which by using (2) and $u_i = v_i + \Delta u_i$, it can be written by

$$\dot{s}_i = \frac{1}{Y_n}\left(f_i(\mathcal{X}_i) + \sum_{i=1}^{n-1} \gamma_{i+1} x_i^{(n-i)} + b_i \Delta u_i + d_i\right) + \frac{b_i}{Y_n}v_i.$$  \hspace{1cm} (17)
By substituting $v_i$ from (12) into (17), one can get
\[
\dot{s}_i = \frac{1}{\gamma_n} \left( f_i(x_i) + \sum_{i=1}^{n-1} \gamma_i + \gamma_{i+1} x_i - b_i \Delta u_i + d_i \right) + \frac{b_i N_m(\xi_i)}{\gamma_n} \left( \dot{\tilde{W}}_i(t)^T \psi_i(x_i) + \sigma_t \right).
\] (18)

By taking derivative of $e_i = s_i - \tau_i - \tilde{s}_i$ and using (6), (9), and (18), one can obtain
\[
\dot{e}_i = \frac{1}{\gamma_n} \left( f_i(x_i) + \sum_{i=1}^{n-1} \gamma_i + \gamma_{i+1} x_i - b_i \Delta u_i + d_i \right) + \frac{b_i N_m(\xi_i)}{\gamma_n} \left( \dot{\tilde{W}}_i(t)^T \psi_i(x_i) + \sigma_t \right)
\]
\[- \frac{k_i}{\gamma_n} \text{sgn}(e_i) |\Delta u_i| + \sum_{j \in N_i(G^{(\alpha)})} a_{ij}(t)(\tilde{s}_i - \tilde{s}_j). \tag{19}\]

By substituting (10) into (19), one can get
\[
\dot{e}_i = \frac{1}{\gamma_n} \left( W_i^T \psi_i(x_i) + b_i \Delta u_i + d_i + e_i \right)
\]
\[+ \frac{b_i N_m(\xi_i)}{\gamma_n} \left( \dot{\tilde{W}}_i(t)^T \psi_i(x_i) + \sigma_t \right)
\]
\[- \frac{k_i}{\gamma_n} \text{sgn}(e_i) |\Delta u_i| + \sum_{j \in N_i(G^{(\alpha)})} a_{ij}(t)(\tilde{s}_i - \tilde{s}_j). \tag{20}\]

Now, let us consider the positive definite function as $V_i = V_{i1} + V_{i2}$ with
\[
V_{i1} = \frac{1}{2} e_i^2,
\]
\[V_{i2} = \frac{1}{2} \gamma_n \left( \dot{\tilde{W}}_i(t)^T \tilde{W}_i \right) + \frac{1}{\alpha_{i1}} k_i^2 + \frac{1}{\alpha_{i2}} \tilde{k}_i^2, \tag{21}\]

where $\dot{\tilde{W}}_i(t) = \dot{\tilde{W}}_i(t) - W_i(t)$, $\tilde{k}_i(t) = k_i(t) - |b_i|$, and $\tilde{\xi}_i(t) = \tilde{\xi}_i(t) - (D_i + e_i + \tilde{\beta} \gamma_n)$. The reason for considering $V_{i2}$ as a part of the overall Lyapunov function is to derive the adaptive laws for neural network weights and estimators $k_i$ and $\tilde{\beta}$. Since the bounds on the signals $|b_i|$ and $D_i + e_i + \tilde{\beta} \gamma_n$ are not known, the adaptive gains $k_i$ and $\tilde{\beta} \gamma_n$ are respectively implemented to estimate these bounds.

By using (13) and taking the time derivative of $V_{i1}$ along (20), one can conclude that
\[
\dot{V}_{i1} = \frac{1}{\gamma_n} \left( W_i^T \dot{\psi}_i(x_i) + \gamma_n \sum_{j \in N_i(G^{(\alpha)})} a_{ij}(t)(\tilde{s}_i - \tilde{s}_j) \right) e_i
\]
\[+ b_i N_m(\xi_i) \tilde{\xi}_i - \frac{k_i}{\gamma_n} |e_i| \Delta u_i + \frac{b_i}{\gamma_n} e_i \Delta u_i + \frac{d_i}{\gamma_n} e_i. \tag{22}\]

By subtracting and adding $\dot{\tilde{\xi}_i}$ to the right-hand side of (22) and using (13) and (15), it can be concluded that
\[
\dot{V}_{i1} = \frac{1}{\gamma_n} \left( W_i^T \dot{\psi}_i(x_i) + \gamma_n \sum_{j \in N_i(G^{(\alpha)})} a_{ij}(t)(\tilde{s}_i - \tilde{s}_j) \right) e_i
\]
\[+ \dot{\tilde{\xi}_i} - \frac{1}{\gamma_n} \left( \dot{\tilde{W}}_i(t)^T \psi_i(x_i) + \tilde{\kappa}_i(t) \text{sgn}(e_i) \right)
\]
\[+ \gamma_n \sum_{j \in N_i(G^{(\alpha)})} a_{ij}(t)(\tilde{s}_i - \tilde{s}_j) e_i + b_i N_m(\xi_i) \tilde{\xi}_i
\]
\[- \frac{k_i}{\gamma_n} |e_i| \Delta u_i + \frac{b_i}{\gamma_n} e_i \Delta u_i + \frac{d_i}{\gamma_n} e_i
\]
\[= -\frac{1}{\gamma_n} \dot{\tilde{W}}_i^T \psi_i(x_i) e_i + \left( b_i N_m(\xi_i) + 1 \right) \dot{\tilde{\xi}_i}
\]
\[- \frac{k_i}{\gamma_n} |e_i| \Delta u_i + \frac{b_i}{\gamma_n} e_i \Delta u_i - \frac{\tilde{\kappa}_i}{\gamma_n} |e_i| + \frac{d_i}{\gamma_n} e_i. \tag{20}\]

By exploiting $e_i \Delta u_i \leq |e_i| \Delta u_i$, $e_i \leq |e_i|$, $|d_i| \leq D_i$, $|e_i| \leq e_i$, $\tilde{\kappa}_i = k_i - |b_i|$, and $\kappa_i = \tilde{\kappa}_i - (D_i + e_i + \tilde{\beta} \gamma_n)$, we have
\[
\dot{V}_{i1} \leq -\frac{1}{\gamma_n} \dot{\tilde{W}}_i^T \psi_i(x_i) e_i + \left( b_i N_m(\xi_i) + 1 \right) \dot{\tilde{\xi}_i}
\]
\[- \frac{\tilde{k}_i}{\gamma_n} |e_i| \Delta u_i - \frac{\tilde{\kappa}_i}{\gamma_n} |e_i| - \tilde{\beta} |e_i|. \tag{21}\]
Now we can obtain the time derivative of $V_i$ as
\[
\dot{V}_i = \frac{1}{\gamma_i} W_i^T P_i^{-1} (\dot{W}_i - P_i \ddot{\psi}_i(\xi_i) e_i) \\
+ \left( b_i \mathcal{N}_m(\xi_i) + 1 \right) \dot{\xi}_i + \frac{\tilde{k}_i}{\gamma_i \alpha_{i1}} \left( \dot{k}_i - \alpha_{i1} |e_i\Delta u_i| \right) + \frac{\tilde{k}_i}{\gamma_i \alpha_{i2}} \left( \dot{k}_i - \alpha_{i2} |e_i| \right) - \beta |e_i|.
\]  

Because $W_i$, $\theta_i$, $|b_i|$, and $D_i + \epsilon_i + \beta \gamma y_n$ are constant, we have $\dot{W}_i = \dot{\psi}_i(\xi_i) = 0$, hence, substituting (8), (14), and (16) into (23) yields
\[
\dot{V}_i \leq -\beta |e_i| + \left( b_i \mathcal{N}_m(\xi_i) + 1 \right) \dot{\xi}_i.
\]  

Hence, from (24), it follows that:
\[
\dot{V}_i \leq \left( b_i \mathcal{N}_m(\xi_i) + 1 \right) \dot{\xi}_i.
\]  

By taking the time integral of both sides of (25), one can get
\[
0 \leq V_i(t) \leq V_i(0) + \int_0^t b_i \mathcal{N}_m(\xi_i(\tau)) \dot{\xi}_i(\tau) d\tau + \xi_i(t)
\]
where $\xi_i(0) = 0$. Since
\[
\int_0^t \mathcal{N}_m(\xi_i(\tau)) \dot{\xi}_i(\tau) d\tau = \int_0^\xi(t) \mathcal{N}_m(\xi_i) d\xi,
\]
we have
\[
0 \leq V_i(t) \leq V_i(0) + \int_0^\xi(t) b_i \mathcal{N}_m(\xi_i) d\xi + \xi_i(t)
\]  

or equivalently
\[
-\xi_i(t) - V_i(0) \leq \int_0^\xi(t) b_i \mathcal{N}_m(\xi_i) d\xi_i.
\]  

According to (4), $\mathcal{N}_m(\xi_i)$ has the following properties:
\[
\lim_{\xi_i(t) \to \pm\infty} \sup \frac{1}{\xi_i(t)} \int_0^{\xi_i(t)} b_i \mathcal{N}_m(\xi_i) d\xi_i = \infty
\]  

and
\[
\lim_{\xi_i(t) \to \pm\infty} \inf \frac{1}{\xi_i(t)} \int_0^{\xi_i(t)} b_i \mathcal{N}_m(\xi_i) d\xi_i = -\infty.
\]

By the method of contradiction, it can be proved that $\xi_i(t) \in L_\infty$. Suppose that $\xi_i(t)$ becomes unbounded, then there are two cases.

1. If $\xi_i(t) \to \infty$, then by utilizing (27), one can get
\[
\lim_{\xi_i(t) \to \infty} \frac{\xi_i(t) + V_i(0)}{\xi_i(t)} \leq \frac{1}{\xi_i(t)} \int_0^{\xi_i(t)} b_i \mathcal{N}_m(\xi_i) d\xi_i
\]
and it can be obtained that
\[
-1 \leq \lim_{\xi_i(t) \to \infty} \frac{1}{\xi_i(t)} \int_0^{\xi_i(t)} b_i \mathcal{N}_m(\xi_i) d\xi_i.
\]  

Easily observed that (30) contradicts with (29).

2. If $\xi_i(t) \to -\infty$, then by using (27), one can obtain
\[
\lim_{\xi_i(t) \to -\infty} \frac{\xi_i(t) + V_i(0)}{\xi_i(t)} \geq \frac{1}{\xi_i(t)} \int_0^{\xi_i(t)} b_i \mathcal{N}_m(\xi_i) d\xi_i
\]
and it can be concluded that
\[
-1 \geq \lim_{\xi_i(t) \to -\infty} \frac{1}{\xi_i(t)} \int_0^{\xi_i(t)} b_i \mathcal{N}_m(\xi_i) d\xi_i.
\]  

Again (31) contradicts with (28).
Therefore, $\xi_i(t)$ is bounded, and $\int_0^{\xi_i(t)} b_i N_m(\xi_i) d\xi_i$ is also bounded. By exploiting (26), $V_i$ is bounded. According to $V_i = V_1 + V_2$, where $V_1$ and $V_2$ defined in (21), one can conclude that $e_i, \dot{W}_i, \dot{k}_i, \dot{k}_i, \dot{\xi}_i$, and $k_i$ are bounded.

From (24), one can get

$$V_i \leq -\beta|e_i| + (b_i N_m(\xi_i) + 1)\dot{\xi}_i. \quad (32)$$

Then, the time integration of (32) over $[0, \infty)$ becomes

$$\int_0^{\infty} |e_i| \leq \frac{1}{\beta} \left( V_i(0) - V_i(\infty) + \int_0^{\xi_i(\infty)} b_i N_m(\xi_i) d\xi_i + \xi_i(\infty) \right). \quad (33)$$

Since the right side of (33) is bounded, we can conclude that $e_i, e_i \in L_1$.

From (9) and $e_i = \ddot{z}_i - \xi_i$, one can get

$$\dot{z}_i = - \sum_{j \in \mathcal{N}_i(G^{t(0)})} a_{ij}(t)(z_i - z_j) + w_i \quad (34)$$

where

$$w_i = - \sum_{j \in \mathcal{N}_i(G^{t(0)})} a_{ij}(t)(e_i - e_j). \quad (35)$$

Since $e_i, e_i \in L_1$ for $i = 1, \ldots, N$, the equation (35) implies that $w_i \in L_1$. According to Lemma 1, one can conclude that $z_i$ is bounded and $\lim_{t \to \infty} z_i(t) = 0$. Based on the facts that $z_i, e_i, e_i \in L_\infty$, and $e_i = \ddot{z}_i - \xi_i$, the boundedness of $\ddot{z}_i$ can be concluded. According to (36) and (7), $\ddot{u}_i$ is bounded. Based on $\dot{z}_i \in \mathcal{L}_{\infty}$ for $i = 1, \ldots, N$, $\ddot{u}_i, \dot{W}_i, k_i, e_i, k_i, \dot{k}_i \in \mathcal{L}_\infty$. $|d_k| \leq D_v, |k_i| \leq e_i, 0 < \psi(\bar{z}_i) \leq 1$, and (20), one can conclude that $\dot{\xi}_i$ is bounded. Barbalat’s lemma can also be applied in this case because $e_i$ and $e_i$ are bounded and $e_i \in L_1$. Therefore, one can conclude that

$$\lim_{t \to \infty} e_i(t) = 0. \quad (36)$$

To proof the boundedness of $\tau_i$, let us rewrite (6) as

$$\dot{\tau}_i = -\beta \tau_i + h_i \quad (37)$$

where $h_i = (k_i/\gamma)\text{sgn}(e_i)|\Delta u_i|$. Since $k_i, \Delta u_i \in \mathcal{L}_\infty$, $h_i$ is bounded. Hence, (36) can be regarded as a linear systems with bounded input $h_i$. It is obvious that $\tau_i \in \mathcal{L}_\infty$ since input $h_i$ is bounded. Based on $\lim_{t \to \infty} e_i(t) = 0$ and $h_i = (k_i/\gamma)\text{sgn}(e_i)|\Delta u_i|$, one can conclude that $\lim_{t \to \infty} h_i(t) = 0$, which results in $\lim_{t \to \infty} \tau_i(t) = 0$. Based on $\lim_{t \to \infty} \tau_i(t) = 0$, $\lim_{t \to \infty} \dot{\xi}_i(t) = 0$, and $e_i = \ddot{z}_i - \dot{\xi}_i - z_i$, one can get

$$\lim_{t \to \infty} \dot{z}_i(t) = \lim_{t \to \infty} \dot{\xi}_i(t) \quad (38)$$

Now, (34) can be described for the whole MAS as:

$$\dot{z} = -\mathcal{L}_G(t) z - \mathcal{L}_G(t) e \quad (39)$$

where $z = [z_1, z_2, \ldots, z_N]^T$ and $e = [e_1, e_2, \ldots, e_N]^T$. Since $G^{*}(t)$ is balanced at any time instant, one have $1_N^\top \mathcal{L}_G(t) = 0_N^\top$. Based on this and by multiplying both sides of (39) by $1_N^\top$, one can conclude that $1_N^\top \dot{z} = 0_N^\top$. Then, taking integration yields $1_N^\top z(t) = 1_N^\top z(0)$. Since $\lim_{t \to \infty} \dot{z}_i(t) = \lim_{t \to \infty} \dot{\xi}_i(t)$, $\lim_{t \to \infty} \dot{z}(t) = \lim_{t \to \infty} \dot{\xi}(t)$, and $z_i(0) = x_i(0)$, one can conclude that

$$\lim_{t \to \infty} \dot{z}_i(t) = \lim_{t \to \infty} \dot{\xi}_i(t) = \frac{1}{N} \sum_{i=1}^{N} x_i(0). \quad (40)$$

By taking the Laplace transform of (5) and after some mathematical manipulations, one can obtain

$$X_i(s) = \frac{1}{s^{n+1} + \gamma_2 s^{n-2} + \ldots + \gamma_n} \times \left( \gamma_n S_i(s) + \sum_{k=1}^{n-1} s^{n-1-k} X_i^{(k-1)}(0) + \sum_{k=1}^{n-1} \gamma_k s^{n-k} X_i^{(k-1)}(0) \right) \quad (41)$$

where $X_i(s)$ and $S_i(s)$ denote Laplace transform of $x_i(t)$ and $s_i(t)$, respectively. By using (41), $\lim_{t \to \infty} s_i(t) = (1/N) \sum_{i=1}^{N} x_i(0)$, the final value theorem, i.e., $\lim_{t \to \infty} x_i(t) = \lim_{t \to \infty} x_i(t)$ and $\lim_{t \to \infty} s_i(t) = \lim_{t \to \infty} x_i(t)$, and the fact that the polynomial $s^{n+1} + \gamma_2 s^{n-2} + \ldots + \gamma_n$ has roots in the open-left half plane, one can conclude that

$$\lim_{t \to \infty} x_i(t) = \frac{1}{N} \sum_{i=1}^{N} x_i(0) \quad (42)$$

and therefore, $\lim_{t \to \infty} x_i^{(k)}(t) = 0, k \in \{1, \ldots, n-1\}$, and the proof is completed. □

**Remark 1.** Exploiting the auxiliary systems (6) along with introducing variables $s_i, e_i$, and $z_i$, in the proposed control scheme, the group consensus of the high-order nonlinear MAS with unknown nonlinearities, disturbances and unknown
control direction is decoupled to the two tasks: consensus of the first-order MASs (9) and the stabilization control of the unknown nonlinear system with input saturation and external disturbance for \( e_i \) with the dynamics obtained in (19). As it is discussed in [13,13,30], extending conventional Nussbaum gain technique to the cooperative task is quite involved. Having introduced the proposed structure, we facilitate extending the Nussbaum-type function for an individual system to the cooperative case and also relax all the limiting conditions. Our method just requires the control effects to have non-zero values.

**Remark 2.** In the proposed framework, each agent is supposed to transmit the signal \( \tilde{s}_i \) to its neighbors. This signal can be calculated online on each agent.

**Remark 3.** It is noteworthy that an important issue, which is very important and crucial especially in MASs is event-triggered control. In this approach, the sensors and controllers are updated when a specific event happens. This framework comes up with several advantages such as reducing the communication bandwidth and the control effort. Because, in practice, the control systems and embedded sensors are resource constrained, the event triggered control holds promising potential in practical implementation of distributed control systems and hence, worth to be taken into account in this context. The readers are referred to [8–10,15–17] for more information.

**Remark 4.** The final value of the agreement is dependent on the initial conditions of the auxiliary systems (9), i.e., \( x_i \rightarrow \sum_{i=1}^{N} z_i(0)/N \). Therefore, the average consensus is accomplished by letting \( z_i(0) = x_i(0) \). In the directed case, the graph should be uniformly jointly quasi-strongly connected and balanced in order to average consensus is achieved. If the network topology is only uniformly jointly quasi-strongly connected and not balanced, then the consensus is still acquired but the final value of the agreement is not the average of the initial conditions.

### 5. Simulation results

Cooperation of the manipulators plays a key role in the assembly automation and production processes with high flexibility. One of the typical task in force control is the grasping task via robot manipulators in which all manipulators are required to reach a common configuration. To study the effectiveness of the presented control, we conduct numerical simulations for consensus of multiple single-link flexible joint manipulators. This is done by applying both our method and that of [37]. Consider a group of six single-link flexible joint manipulators with DC motor actuators which the dynamics of the ith one is governed by the following equations [37]

\[
\begin{align*}
\dot{\phi}_{1i} &= \phi_{2i} \\
\dot{\phi}_{2i} &= g_i(\phi_{1i}, \phi_{2i}, \phi_{3i}) + \rho_i u_i + d_i
\end{align*}
\]

![Fig. 1. Four communication topologies of the six agents.](image-url)

| Agent | \( \phi_{1i}, \phi_{2i}, \phi_{3i}, \phi_{4i} \) | Agent | \( \phi_{1i}, \phi_{2i}, \phi_{3i}, \phi_{4i} \) |
|-------|-----------------|-------|-----------------|
| 1     | 1, 0.5, 1, 0.8  | 4     | 0, 0.2, 0.5, 0.2 |
| 2     | 0.5, 0.3, 0.2, 0.1 | 5     | 0.2, 0.5, 0.2, 0, -0.5, 1 |
| 3     | 1, 0, 2, 0.5    | 6     | -0.5, 0.8, 0.5, -0.4 |

Table 2: Initial states of the agents.
The proposed protocol.

\[
\begin{align*}
\dot{\phi}_{3i} &= \phi_{4i} \\
\phi_{4i} &= 19.5(\phi_{1i} - \phi_{3i}) - 3.33 \sin(\phi_{3i})
\end{align*}
\]

where \(\phi_{1i}\) and \(\phi_{2i}\) denote, respectively, the angular rotation and angular velocity of the manipulator motor, \(\phi_{3i}\) and \(\phi_{4i}\) denote the angular rotation and angular velocity of the manipulator joint, respectively, \(u_i\) is the control input, \(g_i(\phi_{1i}, \phi_{2i}, \phi_{3i}) = 48.6(\phi_{3i} - \phi_{1i}) - 1.25\phi_{2i}\), and \(\rho_i = 21.6\). In the process of control design, in [37], \(\rho_i\) is supposed to be an unknown positive constant while in our method it is assumed that \(\rho_i\) is an unknown constant. As another difference, in [37], \(g_i(\phi_{1i}, \phi_{2i}, \phi_{3i})\) is described by a known nonlinear regressor vector multiplied by an unknown constant parameter vector. However, in our framework, this nonlinear function is considered to be completely unknown. \(d_i\) is chosen to be 0.1\(\sin(t)\). Considering \(x_i = \phi_{3i}\), one can have

\[
\begin{align*}
x_i &= \phi_{3i} \\
\dot{x}_i &= \phi_{4i} \\
\ddot{x}_i &= 19.5\phi_{1i} - 19.5\phi_{3i} - 3.33 \sin(\phi_{3i}) \\
\dddot{x}_i &= 19.5\phi_{2i} - 19.5\phi_{4i} - 3.33\phi_{4i} \cos(\phi_{3i}).
\end{align*}
\]

Now the equation of each manipulator can be transformed to the following fourth-order dynamics

\[
x_i^{(4)} = f_i(\mathcal{X}_i) + b_i u_i + 19.5d_i
\]

with \(b_i = 19.5\rho_i\). \(\mathcal{X}_i = [x_i, \dot{x}_i, \ddot{x}_i, \dddot{x}_i]^T\). In [37], \(f_i(\mathcal{X}_i)\) is described by a known nonlinear regressor vector

\[
\psi_i^T = [\dot{x}_i \ \ddot{x}_i \ \sin(x_i) \ \dddot{x}_i \ \sin(x_i) \ \dot{x}_i \ \cos(x_i) \ \ddot{x}_i \ \cos(x_i)]
\]

multiplied by an unknown constant parameter vector \(\psi_i \in \mathbb{R}^7\) as \(f_i(\mathcal{X}_i) = \psi_i^T \psi_i\). However, in our framework, \(f_i(\mathcal{X}_i)\) is completely unknown function as:

\[
f_i(\mathcal{X}_i) = 19.5g_i - 19.5\dddot{x}_i + 3.33\dddot{x}_i^2 \sin(x_i) - 3.33\dddot{x}_i \cos(x_i).
\]

The interaction topology of the manipulators via which the agents exchange their information is jointly connected. The network topology switches between the four graphs shown in Fig. 1. \(\sigma(t) = \text{fix}(\text{mod}(2t, 4) + 1)\). The initial conditions, \((\phi_{1i}(0), \phi_{2i}(0), \phi_{3i}(0), \phi_{4i}(0))\), are given in Table 2. The controller parameters of the proposed control scheme are selected as \(\epsilon = 0.02\), \(s^3 + y_2s^2 + y_2s + y_4 = (s + 3)^3\), \(\beta = 5\), \(p_i = 100l\), \(\alpha_{11} = \alpha_{12} = 1\), \(\kappa_i(0) = k_i(0) = 10\), and \(\tilde{W}_{ij}(0) \in [-500, 500]\), \(i = 1, \ldots, 6; j = 1, \ldots, 25\). The input saturation limit is \([-10, 12]\), i.e., \(-10 \leq u_i(t) \leq 12\). The RBFNN contains 25 nodes with centers evenly spaced in \([-2, 2] \times [-0.5, 0.5] \times [-2, 2] \times [-0.5, 0.5] \times [-25, 25]\), and widths 0.5. One approach to reduce chatter is to use a continuous approximation of the \(\text{sgn}(x)\) function [25]. We approximate the \(\text{sgn}(\cdot)\) function by the \(\text{sat}(\cdot)\) function described as

\[
\text{sat}(\frac{x}{\epsilon}) = \begin{cases} 
\text{sgn}(x)/\epsilon & |x| \geq \epsilon \\
0 & |x| < \epsilon
\end{cases}
\]

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To conduct simulations for the approach of [37], the control parameters are selected the same as those in the numerical example of that paper.

The trajectories of the joints angular rotations are shown in Fig. 2, which clearly visualizes the process of group consensus. The trajectories of the motors angular rotations are shown in Fig. 3. As we can see from Figs. 2 and 3 the responses of our approach are much faster than that of [37]. The convergence of angular velocities of the joints and the motors to zero are presented in Figs. 4 and 5, respectively. As it is obvious from Fig. 6, in our framework compared to [37], the cooperative goal is achieved with much less control effort which highlights the practical importance of the presented control scheme.

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6. Conclusion

In this paper, we studied the consensus problem of unknown high-order nonlinear MASs with input saturation, unknown control direction, time-varying disturbance, and switching topologies. The problem was first decoupled to the consensus of a group of first-order MASs and the stabilization control. Furthermore, respectively, the Nussbaum-type function method, auxiliary systems, and radial basis function neural networks were used to deal with unknown control directions, input saturation, and the unknown nonlinearities existed in the agent dynamics. The approximation error of the developed neural network along with the disturbance was counteracted using an adaptive sliding mode control scheme. The asymptotic stability of the overall system was established using Lyapunov function theory. Comparative simulations were also performed for a group of single-link flexible joint manipulators. As our future research, we plan to extend the design to the event-triggered control problem.
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