ORIGINAL RESEARCH

Association of Serum Lipoprotein (a) Levels and Coronary Atheroma Volume by Intravascular Ultrasound

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BACKGROUND: Lp(a) (lipoprotein (a)) is a risk factor for cardiovascular events, but the mechanism of increased risk is uncertain. This study evaluated the relationship between Lp(a) and coronary atheroma volume by intravascular ultrasound.

METHODS AND RESULTS: This was a post hoc analysis of 6 randomized trials of coronary atheroma by intravascular ultrasound. The population was stratified into high (≥60 mg/dL) and low (<60 mg/dL) baseline serum Lp(a). The primary outcome was baseline coronary percent atheroma volume. A mixed model adjusted for baseline low density lipoprotein, ApoB (apoliporotein B100), non-high density lipoprotein, sex, age, race, history of myocardial infarction, statin use, and intravascular ultrasound study was used to provide estimates of baseline plaque burden. Of 3943 patients, 17.3% (683) had Lp(a) ≥ 60 mg/dL and 82.7% (3260) had Lp(a) < 60 mg/dL. At baseline, uncorrected low density lipoprotein level (107.7 ± 32.0 versus 99.1 ± 31.5) and statin therapy (99.0% versus 97.0%) were higher in patients with high Lp(a) levels, but low density lipoprotein corrected for Lp(a) was lower (80.6 ± 32.0 versus 94.0 ± 31.4) in patients with high Lp(a) levels. Percent atheroma volume was significantly higher in the high Lp(a) group in unadjusted (38.2% [32.8, 43.6] versus 37.1% [31.4, 43.1], P=0.01) and risk-adjusted analyses (38.7%±0.5 versus 37.5%±0.5, P<0.001). There was a significant association of increasing risk-adjusted percent atheroma volume across quintiles of Lp(a) (Lp(a) quintiles 1-5; 37.3 ± 0.5%, 37.2 ± 0.5%, 37.3 ± 0.5%, 38.0 ± 0.5%, 38.5 ± 0.5%, P=0.002).

CONCLUSIONS: Elevated Lp(a) is independently associated with increased percent atheroma volume. Further work is needed to clarify the relationship of Lp(a)-lowering treatment with cardiovascular outcomes.

Key Words: atheroma ■ intravascular ultrasound ■ lipids ■ lipoprotein (a) ■ prevention
**CLINICAL PERSPECTIVE**

**What is New?**
- Lp(a) (lipoprotein (a)) has emerged as a strong risk factor for cardiovascular disease; however, the mechanism of risk is unknown.
- This post hoc analysis of 6 clinical trials employing coronary intravascular ultrasound demonstrated that elevated Lp(a) is associated with increased coronary atheroma volume after adjusting for multiple covariates.
- These data highlight an independent association between Lp(a) level and coronary atheroma volume, a finding that supports a proatherogenic mechanism of cardiovascular risk related to increased Lp(a).

**What are the Clinical Implications?**
- Further work is needed to clarify whether specific therapies to reduce Lp(a) affect coronary atheroma volume over time and the effect of Lp(a) reduction on cardiovascular outcomes.

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**Nonstandard Abbreviations and Acronyms**

| Acronym | Definition       |
|---------|------------------|
| Lp(a)   | Lipoprotein (a)  |
| PAV     | Percent atheroma volume |

**METHODS**

**Population**

Between December 2002 and January 2015, 5393 patients were enrolled in the ACTIVATE (Adoptive Cell Therapy InVigorated to Augment Tumor Eradication), ASTEROID (A Study to Evaluate the Effect of Rosuvastatin on Intravascular Ultrasound-Derived Coronary Atheroma Burden), ILLUSTRATE (Investigation of Lipid Level Management Using Coronary Ultrasound to Assess Reduction of Atherosclerosis by Cholesteryl Ester Transfer Protein Inhibition and High-Density Lipoprotein Elevation), STRADIVARIUS (Strategy to Reduce Atherosclerosis Development Involving Administration of Rimonabant—the Intravascular Ultrasound Study), SATURN (Study of Coronary Atheroma by Travascular Ultrasound: Effect of Rosuvastatin Versus Atorvastatin Trial), and GLAGOV (Global Assessment of Plaque Regression With a PCSK9 Antibody as Measured by Intravascular Ultrasound) trials. These multi-center international trials with centralized IVUS measurement of coronary PAV and with Lp(a) available in study participants at baseline before treatment with study drugs. Our group performed all 6 trials, including IVUS core laboratory adjudication, and these trials had similar inclusion criteria and imaging methodology. The primary study methodology and results have been previously reported. The institutional review boards of each participating center approved the trials, and each patient provided written informed consent. We performed an analysis of pooled patient level data. We excluded patients without available baseline Lp(a) data and those without paired IVUS data. We stratified the study population into patients with high (≥60 mg/dL) or low (<60 mg/dL) baseline levels of serum Lp(a) (Figure 1). An Lp(a) level < 30 mg/dL is generally accepted as desirable, but the specific cutoff level for abnormal Lp(a) is not well defined. Current US lipid guidelines use a cutoff value of 50 mg/dL, which corresponds to roughly 20% of the White American population. A value of 60 mg/dL was chosen for this study to offer specificity in selecting a high-risk population. Baseline demographics and comorbidities were recorded at the time of each trial. A central laboratory performed all biochemical determinations. Corrected low-density lipoprotein cholesterol (LDL-C) was calculated by subtracting 30% of the baseline Lp(a) value from the baseline serum LDL-C value.

**End Points**

The methods used for IVUS assessment of coronary PAV have been previously described. In short, each patient underwent baseline coronary IVUS by invasive catheterization before treatment with the study drug in each trial. The interventional operator at the time of catheterization identified a target vessel that did not have either prior revascularization or >50% luminal narrowing throughout a target segment of ≥ 30 mm. A dose of 100 to 300 mcg of intracoronary nitroglycerin was administered, and an IVUS catheter was advanced into the target vessel with the ultrasound transducer positioned distal to a side branch. The transducer was withdrawn at a speed of 0.5 mm/sec with the use of a motor drive (“pullback”). Images were obtained at a rate of 30 frames/sec. The image quality of each recording was assessed at the Atherosclerosis Imaging Core Laboratory of the Cleveland Clinic Coordinating Center for Clinical Research, and only patients whose imaging met prespecified requirements for image quality were eligible to undergo randomization in the trials.

The primary end point of this study was baseline PAV by IVUS. PAV was calculated based on the following equation:

\[
PAV = \sum \frac{(EEM_{area} - Lumen_{area})}{\sum EEM_{area}} \times 100.
\]
EEM\textsubscript{area} is the cross-sectional area of the external elastic membrane and Lumen\textsubscript{area} is the cross-sectional area of the lumen.

**Statistical Analysis**

Normally distributed continuous variables are presented as mean ± SD, and non-normally distributed continuous variables are presented as median (25th percentile, 75th percentile). Categorical variables are presented with frequency (%). The primary end point, baseline PAV, was assessed using a mixed model with a random intercept term for trial and baseline Lp(a) (≥60 mg/dL vs < 60 mg/dL or quintile) as a fixed factor. Covariates included baseline serum LDL-C level, ApoB level, non-high-density lipoprotein cholesterol level, sex, age, race, history of myocardial infarction, and baseline statin therapy use. Estimates of baseline PAV by Lp(a) level are presented as least square means with standard error. Trial was included as a random factor. Two risk-adjusted models of the association between Lp(a) and PAV were performed: (1) stratified by high (≥60 mg/dL) versus low (<60 mg/dL) Lp(a) and (2) stratified by Lp(a) quintile. All reported \( P \) values are 2 sided with \( P < 0.05 \) considered statistically significant. All statistical analyses were performed with SAS version 9.3 (SAS Institute, Cary, NC). The data underlying this article will not be shared publicly to protect the privacy of individuals who participated in the study.

This study complies with the Declaration of Helsinki, and the local institutional review board of each enrolling site approved the clinical trials from which these data were obtained. Informed consent was obtained from each subject or their legally authorized representative.

**RESULTS**

**Patients**

Baseline Lp(a) values in the study population ranged from 0.1 to 305.6 mg/dL with a mean and median of 29.8 and 14.8 mg/dL respectively (Figure 2). Table 1 describes baseline demographics and comorbidities of the study groups. The studied population included 683 (17.3%) individuals with Lp(a) ≥60 mg/dL and 3260 (82.7%) with Lp(a) <60 mg/dL. Those with elevated Lp(a) values ≥60 mg/dL were more likely to be women (35.7% versus 27.4%), less likely to be White (91.1% versus 94.9%), had a lower body mass index (28.9 [25.9, 32.7] versus 29.4 [26.6, 33.5]), and had higher rates of prior myocardial infarction (32.8% versus 27.9%), acute coronary syndromes (28.8% versus 24.6%), and family history of coronary artery disease (41.7% versus 33.6%). Baseline medical therapies were similar across both patient groups, aside from baseline statin therapy, which was more prevalent in those with elevated Lp(a) (99.0% versus 97.0%).

**Serum Lipid Levels**

Baseline LDL-C was higher in the elevated Lp(a) group (107.7 ± 32.0 versus 99.1 ± 31.5), but the LDL-C level corrected for baseline Lp(a) level was lower in the elevated Lp(a) group (80.6 ± 32.0 versus 94.0 ± 31.4), high-density lipoprotein cholesterol (46.2 ± 12.6 versus 43.7 ± 11.7) and non-high-density lipoprotein
cholesterol (135.9 ± 36.6 versus 128.6 ± 36.0) were both higher in the elevated Lp(a) group, whereas triglyceride levels (123.0 [92.0, 175.0] versus 131.0 [94.0, 181.4]) were lower in the elevated Lp(a) group. ApoB levels were higher in the elevated Lp(a) group (98.2 ± 28.7 versus 90.4 ± 27.4).

Percent Atheroma Volume
Table 2 describes the association of Lp(a) with baseline PAV in unadjusted and risk-adjusted analyses. Baseline unadjusted PAV (38.2% [32.8, 43.6] versus 37.1% [31.4, 43.1], P=0.01) and risk-adjusted PAV (38.7% ± 0.5 versus 37.5% ± 0.5, P<0.001) were both significantly higher in patients with elevated Lp(a). We observed a significant association with increasing risk-adjusted PAV across quintiles of baseline Lp(a) level (Figure 3). Risk-adjusted PAV was 37.3% ± 0.5 in the lowest quintile versus 38.5% ± 0.5 in the highest quintile of baseline Lp(a) (P=0.002 across quintiles).

DISCUSSION
Principal Findings
This post hoc analysis of 6 clinical trials employing coronary IVUS demonstrated that elevated Lp(a) is associated with increased coronary atheroma volume (PAV) after adjusting for multiple covariates (Summarizing Illustration). When considering the association between Lp(a) level and PAV, this study identified that PAV was similar in the first to third quintiles of Lp(a) but is associated with increasing PAV in the fourth and fifth Lp(a) quintiles. These data highlight an independent association between Lp(a) level and coronary atheroma volume, a finding that supports a proatherogenic mechanism of cardiovascular risk related to increased Lp(a).

Lp(a) as a Proatherogenic Cardiovascular Risk Factor
Prior reports have suggested a multitude of potential mechanisms of cardiovascular risk related to Lp(a) including increased atherogenesis, endothelial dysfunction, macrophage recruitment, oxidative stress, and prothrombotic factors.3–7 Human atherosclerotic lesions express a VLDL (very low-density lipoprotein) receptor that mediates endocytosis of circulating Lp(a), providing a plausible biologic mechanism to link Lp(a) and atheroma formation.3 The findings of this study corroborate prior reports suggesting an association between Lp(a) and plaque burden on coronary imaging. A study of 269 Black participants without known cardiovascular disease demonstrated that Lp(a) was...
independently associated with total plaque volume by coronary computed tomographic angiography. A study of 119 patients with nonculprit lesions evaluated with virtual histology IVUS demonstrated that Lp(a) was independently associated with necrotic core plaque progression after 8 months on statin therapy. The findings of the present study contribute to a growing body of literature supporting the hypothesis that Lp(a) is associated with increased coronary atheroma volume.

However, the present study findings do not preclude the possibility that Lp(a) is also associated with separate mechanisms of cardiovascular risk independent of its association with atheroma burden. Lp(a) is a proinflammatory molecule related to its content of ApoB, ApoA, and oxidized phospholipids. The inflammatory characteristics of Lp(a) may contribute not only to its atherogenicity but also to its prothrombotic potential as well. Further study is needed to determine if Lp(a) is independently associated with increased thrombotic
risk or whether thrombotic events in patients with Lp(a) are mediated primarily by increased atheroma volume. In a post hoc analysis of the SATURN trial, Lp(a) levels were not associated with plaque progression over time. However, cardiovascular event rates were higher at elevated Lp(a) levels with higher CRP (C-reactive protein > 2) suggesting a prothrombotic or inflammatory association.

Future Directions
Currently, there are no results of large clinical outcome trials testing therapies dedicated to lowering or inhibiting Lp(a). Mendelian randomization studies have suggested that large absolute reductions in plasma Lp(a) levels are likely to be more beneficial than the modest reductions seen with currently available therapies. Antisense oligonucleotides have shown promise in this regard with up to an 80% reduction of Lp(a) levels. Further research is needed to see if these large reductions translate to lower cardiovascular events. Future research is also needed to see if atheroma volume continues to progress over time in patients with elevated Lp(a) and whether Lp(a) lowering therapies promote atheroma regression and/or stabilization.

Limitations
First, this was a post hoc analysis of 6 separate clinical trials, and the population included in this study may be subject to selection bias inherent to clinical trial populations. Additionally, the Lp(a) assays used varied between the trials, which may have affected inclusion of patients in the high Lp(a) group. However, trial was included in the risk adjustment. Second, the population of this study was predominantly male and White, and the findings warrant confirmation in varied populations to confirm their generalizability. Third, residual confounding may contribute to the observed results despite attempts to perform risk adjustment for potential confounders. Fourth, although this study

Table 2. Association Between Lipoprotein (a) and Percent Atheroma Volume

| Parameter                                      | Lp(a)<60 mg/dL (N = 3260) | Lp(a)≥60 mg/dL (N = 683) | P     |
|------------------------------------------------|---------------------------|--------------------------|-------|
| Baseline unadjusted percent atheroma volume,  | 37.1 (31.4, 43.1)         | 38.2 (32.8, 43.6)        | 0.01  |
| (25th, 75th percentile), %                    |                           |                          |       |
| Baseline risk-adjusted percent atheroma       | 37.5 ± 0.5                | 38.7 ± 0.5               | <0.001|
| volume, mean (SD), %                          |                           |                          |       |

*Lp(a) indicates lipoprotein (a).
*Adjusted for baseline low-density lipoprotein cholesterol, apolipoprotein B, non-high-density lipoprotein cholesterol, sex, age, race, history of myocardial infarction, baseline statin therapy, and intravascular ultrasound study.

Figure 3. Association of Baseline Lipoprotein (a) and Percent Atheroma Volume
The population was stratified by quintiles of serum Lp(a) levels, and the risk-adjusted coronary PAV by IVUS was assessed. PAV was similar between patients in the first three quintiles, and PAV was increased in patients in the fourth and fifth quintiles of Lp(a) levels with a significantly increasing trend. PAV is risk adjusted for potential confounders (baseline low-density lipoprotein cholesterol, apolipoprotein B, non-high-density lipoprotein cholesterol, sex, age, race, history of myocardial infarction, baseline statin therapy, and IVUS study). IVUS indicates intravascular ultrasound; Lp(a), lipoprotein (a); and PAV, percent atheroma volume.
identified an association between Lp(a) levels and PAV, the mechanisms of increased PAV related to Lp(a) cannot be elucidated from the present analysis. Further investigation is needed to understand mechanisms of atherogenesis related to Lp(a). Despite these limitations, this is the first study to examine the relationship between Lp(a) levels and coronary atheroma burden in a large population of patients with standardized IVUS protocols and core laboratory adjudication.

CONCLUSIONS

Elevated Lp(a) is associated with increased coronary atheroma volume. Further work is needed to clarify whether specific therapies to reduce Lp(a) impact coronary atheroma volume over time and the effect of Lp(a) reduction on cardiovascular outcomes.

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