Utility of Cognitive Function Assessment in Patients Undergoing Surgery on a Third Level Hospital in Mexico City

Andrea Díaz Koiriñ1,2, Alberto Robles Méndez Hernández1,2,*, Gabriel Enrique Mejía Terrazas2, Lizeth Villalobos Ramírez2, María Natalia Denisse García del Mazo2, Adriana Díaz Anzaldúa1, Francisco Juárez García4, Ruth Alcalá Lozano4, María Leonor González Arrieta5, Alberto Juan Lopez Bascope5

1Facultad Mexicana de Medicina, Universidad La Salle México
2Hospital Angeles Metropolitano
3Hospital Dalinde
4Clinical Research Branch, Instituto Nacional de Psiquiatría Ramón de la Fuente Muñiz
5Professor of the Anesthesiology course, Hospital Angeles Metropolitano

*Corresponding Author: Alberto Robles Méndez Hernández, Facultad Mexicana de Medicina, Universidad La Salle México, Hospital Angeles Metropolitano

Abstract

Background: Postoperative cognitive dysfunction can be related to surgical processes and applied anesthetic techniques. The incidence of extensive surgery on older patients has increased as the population pyramid transitions, so prevention of postoperative cognitive dysfunction should be prioritized.

Material and Methods: A prospective observational study was conducted, which included 47 Mexican subjects between the ages of 45 and 80 years. The MoCA test was applied before and after surgery in a private hospital in Mexico City between December 2018 and June 2019.

Results: The gender distribution was 46.8% were men and 53.2% were women, with an average age of 53.9 ± 8.5 years (range 45-80 years). Cognitive impairment was identified in 63.8% of patients, men showed statistically significant difference between the pre and post-anesthetic attention test.

Conclusions: A larger sample is needed to demonstrate the benefit of the pre-test to make an adjustment of the anesthetic medications, in order to decrease cognitive dysfunction in post-surgical period, our study suggests that pre-anesthetic testing may be beneficial in adjusting anesthesia and reducing postoperative cognitive dysfunction.

Key words: cognitive dysfunction, anesthesia, surgery, anesthetics, general anesthesia

1. INTRODUCTION

The brain is the main site of action of anesthetic drugs. For many years, it was assumed that their effects did not go beyond their pharmacological action and that the brain was restored to its previous state once the agent was removed. However, there is increasing evidence that supports other notions. There may be long-term and even permanent anatomical and functional changes after the administration of anesthetic drugs. The brain may be more vulnerable at the first and final stages of life.

The term cognition comes from the Latin "cognoscere", which means "to know", and refers to all the brain processes that allow individuals to think, acquire knowledge, solve problems, plan the future, and act consciously; it consists of mental processes such as perception, memory, learning, language, and thought required for daily life, and it should not be confused with the concept of intelligence.

[1] Postoperative cognitive dysfunction (POCD) is defined as a cognitive impairment that arises after a surgical procedure. Its diagnosis requires pre- and postoperative psychometric tests. Its manifestations are multiple and may be subtle or not, depending on the cognitive domains that are affected. [2] There is growing evidence that impaired preoperative brain status, known as cognitive reserve, predicts patients at high risk for developing cognitive deficit. [3]
Likewise, the surgical process itself and the applied anesthetic techniques could have an enormous influence on the evolution. Since the 19th century, there have been reports that describe behavioral changes after anesthesia. In 1955, Bedford affirmed that more than 10% of those who underwent surgery under general anesthesia had some degree of mental deterioration, and cognitive dysfunction.[4]

The use of neuropsychological tests in the 1980s allowed to establish a quantitative basis for measuring the initial cognitive function of the patients and thus determining the presence of cognitive impairment. This facilitated the quantitative measure of cognitive dysfunction. Krenk et al. noted in their review that POCD can occur at any age, but tends to last longer and affects more severely the daily activities of patients over 60 years old.[5] Monk and colleagues reported the presence of POCD at hospital discharge in 36.6% of surgical patients between 18 and 39 years of age, 30.4% of those between 40 and 59 years, and 41.4% of those over 60 years.[6] In the International Study of Postoperative Cognitive Dysfunction (ISPOCD), it was shown that on the seventh day of the postoperative period, 25.8% of 1,011 patients presented POCD vs 3.4% of 176 patients in the control group. At 3 months of follow-up, of 910 patients, 9.9% presented POCD, as opposed to 2.8% in the control group.[7]

POCD occurs more frequently during cardiac and orthopedic surgeries, as a response to surgical stress, which may be one of the possible mechanisms leading to POCD. The surgical procedure could cause a systemic response to stress, the release of neuroendocrine hormones, and an inflammatory cytokine response; as a result, there may be changes in brain function in associated with POCD. Another probable mechanism is neuro-degeneration due to the apoptotic process.[8]

There is a hypothesis stating that vascular risk factors are a modifiable key to the development of dementia and cognitive disorders. Together with the usual pre-anesthetic assessments, the usefulness of the Cardiovascular Risk Factors, Aging and Dementia (CAIDE) score has been demonstrated, which predicts cognitive impairment with greater certainty, especially dementia.

The CAIDE risk score was developed to predict dementia in the elderly, based on risk factors during adulthood. Its components are age, education, sex, systolic blood pressure, body mass index, total cholesterol, physical activity and the APOE genotype Χ4.[9]

An increase in age, a prolonged duration of anesthesia, a low level of education, the length of the surgery, a second operation, depression, mild cognitive impairment, the presence of a heart attack, postoperative infections, and respiratory complications have been considered as risk factors for the development of POCD.[10]

In the most extensive identified study comparing regional anesthesia (RA) and general anesthesia (GA), the incidence of POCD one week after the surgery was 19.7% after GA and 12.5% after RA; however, this difference was not a statistically significant. It has also been suggested that the type of anesthesia does not have a long-term impact on cognitive evolution; nevertheless, it is noteworthy that the statistics used have varied and that the repeated use of sedation and opioids during RA has been constant.[11]

The Montreal Cognitive Assessment (MoCA) is a brief screening test, designed to aid in the detection of mild cognitive impairment (MCI) and dementia. Its psychometric characteristics describe a high level of reliability and validity, with a sensitivity of 87% and a specificity close to 90% for MCI, with a cut-off point <18.[26] It consists of a battery of short tests, of easy administration, with an approximate duration of 10 minutes. The purpose of MoCA is to detect the presence of DCL and differentiate it from cognitive alterations consequent to normal aging. It evaluates executive function, visuospatial ability, memory, attention, concentration and working memory, language, and orientation; the maximum score is 30 points.[12, 13]

2. METHODS

A prospective observational study was conducted, which included 47 Mexican subjects between the ages of 45 and 80 years. The aims and procedures were explained to each participant, and all of them signed an informed consent; the MoCA test was applied before and after surgery in a private hospital in Mexico City between December 2018 and June 2019. An initial evaluation was carried out including socio-demographic and disease clinical data collection through a format designed for this purpose, with a direct interview with the patient and his/her relatives.
The study was approved by the Ethics and Research Committees of the private hospital. Participation of the subjects was voluntary, and the identification of participants and relatives was kept confidential. Chi square and t test were also used.

The database was performed, and the statistical program SPSS version 23.0 for Mac IOS 10.6 was used to perform statistical data analysis. Clinical and demographic characteristics were described as frequencies and percentages for the categorical variables and as means and standard deviations (S.D.) for the continuous variables using X2 test.

3. RESULTS

A total of 47 patients agreed to participate and were included in the study. They all underwent surgery at a private hospital in Mexico City. From them, 46.8% were men and 53.2% were women, with an average age of 53.9 ± 8.5 years (range 45-80 years) and an education of 13 ± 4.1 years (range six to 18 years), equivalent to the first year of the bachelor's degree. Among the patients, 23.4% were diabetic and 36.2% were hypertensive.

By type of surgery, 72.3% were treated by general surgery and 27.7% by orthopedics. According to the type of administered anesthesia, 76.6% was general anesthesia and 23.4% regional anesthesia. There were no statistically significant differences between the group of general anesthesia and regional anesthesia regarding sex (p=0.20), age (p=0.24), years of education (p=0.67), presence of diabetes (p=0.42), or presence of hypertension (p=0.63).

We were not able to determine an association between the use of general or regional anesthesia and changes in cognitive function. Nevertheless, according to the cut-off point suggested by the authors of the MoCA instrument (cut-off point of 26) and with an adjustment made by the subjects' education (increase of one point in the total grade when education is <12 years), 63.8% of patients presented cognitive impairment table 1.

Table1: Results of MoCA instrument for cognitive function, pre-anesthesia and post-anesthesia

|                          | Female Mean | Female SD | Male Mean | Male SD | Total Mean | Total SD |
|--------------------------|-------------|-----------|-----------|---------|------------|----------|
| Pre-anesthesia           | 4           | 1.2       | 4         | 1.3     | 4          | 1.2      |
| Post-anesthesia          | 3.6         | 1.6       | 4         | 1.3     | 3.8        | 1.4      |
| Pre-anamnetaing          | 3           | 0.2       | 2.9       | 0.3     | 2.9        | 0.2      |
| Post-anamnetaing         | 3           | 0.2       | 2.9       | 0.3     | 2.9        | 0.2      |
| Pre-an attention         | 4.6         | 1.4       | 4.5       | 1.1     | 4.6        | 1.2      |
| Post-an attention        | 4.4         | 1.3       | 4.1       | 1.4     | 4.3        | 1.3      |
| Pre-anlanguage           | 2.3         | 0.9       | 2.4       | 0.9     | 2.3        | 0.9      |
| Post-anlanguage          | 2.2         | 0.9       | 2.3       | 0.8     | 2.2        | 0.8      |
| Pre-anabstraction        | 1.6         | 0.5       | 1.9       | 0.4     | 1.7        | 0.4      |
| Post-anabstraction       | 1.7         | 0.5       | 1.9       | 0.3     | 1.8        | 0.4      |
| Pre-andelayedrecall      | 2.5         | 1.9       | 1.7       | 1.6     | 2.1        | 1.8      |
| Post-andelayedrecall     | 2.6         | 2         | 1.9       | 1.6     | 2.3        | 1.8      |
| Pre-orientation test      | 5.6         | 0.8       | 5.5       | 1       | 5.5        | 0.9      |
| Post-orientation test     | 5.4         | 0.9       | 5.4       | 1       | 5.4        | 0.9      |
| Pre-an Total MOCA score   | 23.6        | 3.5       | 22.8      | 3       | 23.2       | 3.3      |
| Post-an total MOCA score  | 22.9        | 4.1       | 22.5      | 4       | 22.7       | 4        |

PRE-An: Pre-anesthesia test, Post-An test: Post-anesthesia

4. DISCUSSION

The search for factors that help evaluate changes in cognitive functions remains a premise in clinical research. Several reports have described a close relationship between cognitive function and concomitant diseases. In our study, six out of ten patients had cognitive impairment, regardless of a diagnosis of hypertension or diabetes.

In the tests, the most frequently identified errors were on the cube and the clock drawing, subtraction, serial attention, verbal fluency and abstraction, and they were especially common in patients with less years of education, as established in other studies [14]. In addition, these patients presented a clearer decrease in the postoperative test than those who had more years of education. Thus, patients with less schooling may be more labile to the anesthetic effects on the brain or those who have more schooling may be more likely to compensate for deficiencies. We believe that the one or two-point decrease in all the preoperative tests may...
be due to the anxiety that causes undergoing a surgical procedure. However, in the postoperative test there was a decrease of more than four points that proves that there may be mild cognitive dysfunction in some patients, even when this was not detected by the test.

5. CONCLUSIONS

It is needed a larger sample to demonstrate the benefit of the pre-test to make an adjustment of the anesthetic medications. Preliminarily pre-testing cognitive function to make an adjustment of anesthetic medications is helpful to decrease cognitive dysfunction in post-surgical period.

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