Preoperative radiographic and clinical factors associated with postoperative floating of the lesser toes after resection arthroplasty for rheumatoid forefoot deformity

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Abstract

**Background:** This study aimed to clarify the characteristics associated with postoperative floating of the lesser toes, especially focusing on the medial and lateral lesser toes, after arthrodesis of the first metatarsophalangeal joint and resection arthroplasty of the lesser toes in rheumatoid forefoot deformity.

**Methods:** Forty-seven feet of 43 people who underwent resection arthroplasty of the metatarsal head of the lesser toes for rheumatoid arthritis of the metatarsophalangeal joints were included. We retrospectively evaluated the preoperative radiographic findings and clinical characteristics of the patients, and the occurrence of postoperative floating of the lesser toes. The mean duration of follow-up was 36.5 (range 12 to 114) months.

**Results:** Preoperative dislocation grades of the second and third toes that demonstrated postoperative floating were significantly higher than those of toes that did not experience postoperative floating. The hallux valgus deformity before surgery was significantly more severe in toes with postoperative floating of the second and third lesser toes than those with no floating (p < 0.05). In addition, the Japanese Society for Surgery of the Foot (JSSF) hallux scale scores before surgery in toes with postoperative floating of the fourth and fifth lesser toes were significantly worse than those in non-dislocating toes (p < 0.05).

**Conclusions:** The preoperative condition of the first metatarsophalangeal joint, including hallux valgus deformity, pain, range of motion, activity of daily living, and function is significantly different between postoperative floating of the lesser toes and non-floating of them after resection arthroplasty for rheumatoid forefoot deformity.

**Keywords:** Rheumatoid arthritis, Forefoot deformity, Hallux valgus, Postoperative floating

Background

Rheumatoid arthritis frequently causes painful forefoot deformities that interfere with standing and walking [1, 2]. The prevalence of forefoot deformities in adults with chronic RA has been reported as approximately 90% [3, 4]. Therefore, management of patients with rheumatoid arthritis should include evaluation and care of the forefoot deformity. The metatarsophalangeal (MTP) joints are the most common sites affected by RA. Deformity or dislocation of MTP joints frequently result in decreasing the quality of life in RA patients [5]. Dislocation of MTP joints in the lesser toes are also known to disrupt joint function and lead to painful callus. Although resection arthroplasty of the metatarsal heads of the lesser toes does not lead to improvement in gait or function and is often associated with impairment of push-off [6], resection arthroplasty of the metatarsal heads of the lesser toes by various operative techniques generally results in good long-term clinical outcomes [5, 7, 8].
Although joint-preserving procedures are commonly performed in patients with controllable RA, postoperative floating of the lesser toes, occurring as a complication following these surgeries, is reported to be a risk factor for unsatisfactory clinical outcomes [9]. Previous studies have shown that uneven and insufficient bone resection and preoperative MTP joint dislocation are risk factors for postoperative floating of the lesser toes after resection arthroplasty of the metatarsal heads of the lesser toes [10, 11]. However, the anatomic factors for postoperative floating of the lesser toes after resection arthroplasty of the metatarsal heads of the lesser toes are not fully understood.

Ouzounian TJ et al. clarified that second and third tarsometatarsal (TMT) joint is stable compared with lateral TMT joint (cuboid to fourth and fifth metatarsal bone) [12]. Based on the anatomical and functional characteristics, the foot is categorized into 2 parts, the medial and lateral columns. The medial column is composed of stable joints that maintain foot stability, while the lateral column consists of mobile joints that are responsible for the springing function of the foot. We speculated that each column is possibly affected by different pathologies in rheumatoid forefoot deformity.

Therefore, we hypothesized that the characteristics associated with postoperative floating of the lesser toes may be different between the medial and lateral lesser toes. The aim of this study was to clarify the characteristics for postoperative floating of the lesser toes, especially focusing on the differences between the medial and lateral lesser toes, after resection arthroplasty for rheumatoid forefoot deformity.

**Methods**

**Subjects**

Institutional review board approval of Hokkaido University Hospital was obtained prior to initiation of this study. Between 2007 and 2016, a total of 43 patients (47 ft) at a single center who were followed up for at least 12 months, and were diagnosed with rheumatoid forefoot deformities by the senior authors of this paper, that required arthrodesis of the first MTP joint, resection arthroplasty of the metatarsal heads of the lesser toes, and surgical repair of hammer toe deformity, were included in this study. One of the authors reviewed the medical records at our institution to identify patients with RA who underwent resection arthroplasty of the metatarsal heads of the lesser toes. Eligible patients were identified based on their diagnosis (RA) and the surgical procedure performed (resection arthroplasty of the metatarsal head of the lesser toes). All surgeries were performed by four senior surgeons. The indications for surgery in all patients were metatarsalgia associated with hallux valgus or joint destruction caused by RA. The patients who had no first MTP joint destruction and the hallux valgus deformity was less than 50 degree were performed joint-preserving surgery and were excluded in this study. The mean age of the subjects at the time of surgery was 61.8 (39–80) years. All the patients were women, and the follow-up period was 36.5 (12–114) months. All surgeries were performed by the same senior surgeon.

**Surgical procedure**

The operative methods were precisely described in our previous study [13, 14]. Arthrodesis was performed through a dorsal longitudinal incision centred over the first MTP joint. The inclination of the first metatarsal in preoperative X-ray was referred to achieve an optimal angle of dorsiflexion of the first MTP joint [14]. The first MTP joint was fused using cannulated cancellous screw or Kirschner wires (K-wires) (Fig. 1). An arthrodesis of the interphalangeal (IP) joints of the second to fourth toes or second to fifth toes was performed. The metatarsal heads of the lesser rays were resected at the anatomic neck of the involved metatarsal via Lipscomb's dorsal approaches [15].

The patients were allowed full weight-bearing at 2 weeks postoperatively, using a special shoe that allowed them to bear weight on their hindfoot. The special shoe was worn until fusion of the arthrodesis was confirmed.

**Radiographic evaluation and clinical evaluation**

X-rays were taken during full weight-bearing, using lateral and standard dorsoplantar views before surgery and at the final follow up. Preoperative and postoperative evaluation was performed by one author. The valgus angle between the first metatarsal bone and the proximal phalanx (HVA: hallux valgus angle), the intermetatarsal angle between the first and second metatarsals (M1-2A: first-second intermetatarsal angle), and the intermetatarsal angle between the first and fifth metatarsals (M1-5A: first-fifth intermetatarsal angle), and the shortening distance of the metatarsal bone were measured on AP radiographs before surgery. The HVA were measured on AP radiographs after surgery. Tibial sesamoid position is measured on weight-bearing AP radiographs on a scale from 1 to 7 as described by Hardy and Clapham before and after surgery [16]. The joint destruction of the first MTP joint was evaluated by using the Larsen grading system [17]. The Larsen Grading Scale is used to determine the extent of radiographic changes due to rheumatoid arthritis. The scale score from 0 to 5 are based on the amount of spacing between joints, bony outlines, and level of joint erosion on radiographs. Total arc of first MTP joint was measured before surgery using a standard mechanical goniometer. Dislocation of MTP joints were graded from 0 (no dislocation) to 3 (complete dislocation) using preoperative weight-bearing
lateral radiographs and the findings of inspection [18]. Since preoperative grading system of the dislocation of the MTP joints is based on the metatarsal head, it is impossible to grade if it is resected (especially grade 2 and 3). Therefore non-grounded toes while weight-bearing were defined as postoperative floating toes. All toes were divided into non-floating or postoperative floating groups. All the participating feet were also evaluated after categorizing them based on whether the medial, second, and third toes, or lateral, fourth and fifth toes, were affected. Regarding the foot with floating toes in both columns, we compared both columns by radiological and physical findings and divided into each group. Feet with absence of postoperative floating of both toes were classified as the non-floating group, while those with postoperative floating of one or both of the toes were defined as the postoperative floating group.

Before analysis of the X-ray films, intraobserver reproducibility was calculated by one author based on 5 consecutive measurements using the same X-ray film. Intraobserver consistency in all measurements was assessed by calculating the coefficient of variation (CV), which equals the standard deviation divided by the mean value. CVs were calculated for all the measurements. The CV of all measurements from single observer ranged from 1.5 to 3.1%. All of the variations were less than 10%, which was considered acceptable for comparison study purposes. To reduce data variation, a single investigator performed all study measurements.

Postoperative clinical outcomes were evaluated on the basis of data obtained during interviews and physical examinations. The Japanese Society for Surgery of the Foot (JSSF) hallux metatarsophalangeal-interphalangeal scale including hallux valgus deformity, pain, range of motion, activity of daily living, and function were used to assess clinical outcomes for the entire foot [19].

Statistical analysis
Differences between non-floating and postoperative-floating were analysed by applying the unpaired t-test or the Mann-Whitney U test for continuous variables and the chi-square test or Fisher’s exact probability test for categorical variables. Statistical analyses were performed using JMP Pro version 10.0 statistical software (SAS Institute, Cary, NC). Statistical differences were considered significant for values of $p < 0.05$.

Results
Patient demographics divided by final status of their toes are shown in Table 1. There was no significant difference between two groups in BMI (body mass index), follow-up period, duration of disease, CRP (C-reactive protein), MMP-3 (matrix metalloproteinase 3), DAS28-CRP (disease activity score in 28 joints), methotrexate or prednisolone user, and preoperative total arc of first MTP joint. Although non-floating patients showed the tendency of higher rate of bDMARDs (biological disease-modifying antirheumatic drug) use ($P = 0.06$), there were no significant difference between non-floating and postoperative floating patients. There was no delayed union in the first MTP joints of any of the patients. No cases of infection or delayed
wound healing were observed in either group. The number of postoperative floatings and non-floatings in each toe are listed in Table 2.

The preoperative dislocation grades of each lesser toe are shown in Table 3. The preoperative dislocation grade in postoperatively dislocated second and third toes were significantly worse than those of non-floating toes. The resection lengths of the metatarsal head in each toe are also shown in Table 3. There were no significant differences in resection lengths between any of the floating and non-floating lesser toes.

Regarding postoperative floating in the medial lesser toes, the preoperative and postoperative hallux valgus deformity in postoperative floating toes was significantly more severe as compared to that in non-floating toes (52.8 vs. 38.4, \(p = 0.01\); 14.1 vs. 8.0, \(p = 0.02\)) (Table 4). In the lateral lesser toes, preoperative JSSF hallux scale scores in the postoperative floating group was significantly lower than that in the non-floating group (31.7 vs. 44.2, \(p < 0.04\)) (Table 5).

**Discussion**

The present study revealed that the hallux valgus deformity in postoperative floating of MTP joints in the medial lesser toes was significantly worse compared with that in non-floating patients, whereas the clinical score of the first MTP joint is significantly poorer for postoperative floating of the lateral lesser toes comparing with that in non-floating patients. These results suggest that the poor condition of the first MTP joint is a characteristic for postoperative floating of lesser MTP joints in both sides.

Recent progress in the pharmacologic treatment for rheumatoid arthritis allows surgeons to perform MTP joint-preserving surgery. Several studies presented satisfactory outcomes with MTP joint-preserving surgery. However, its surgical indication depends on disease activity of RA, forefoot deformity and range of motion [20–22]. Resection arthroplasty is still needed for the

### Table 1

|                      | Non-floating | Postoperative floating | \(p\) value |
|----------------------|--------------|------------------------|------------|
| Age (years)          | 61.4 (10.1)  | 62.2 (6.5)             | 0.76       |
| Sex                  |              |                        |            |
| Male (%)             | 0 (0%)       | 0 (0%)                 |            |
| Female (%)           | 22 (100%)    | 25 (100%)              |            |
| BMI                  | 21.3 (4.1)   | 20.5 (3.2)             | 0.45       |
| Clinical follow-up (months) | 36.0 (29.0) | 36.9 (28.0)           | 0.92       |
| Duration of disease (years) | 17.8 (8.9) | 22.6 (10.7)          | 0.12       |
| CRP (mg/dl)          | 0.52 (1.1)   | 0.26 (0.2)             | 0.40       |
| MMP-3 (ng/ml)        | 130.7 (88.9) | 100.4 (82.2)           | 0.42       |
| DAS28-CRP            | 3.40 (1.6)   | 3.27 (1.5)             | 0.89       |
| bDMARD use (%)       | 11 (50%)     | 6 (24%)                | 0.06       |
| MTX user (%)         | 15 (68%)     | 15 (60%)               | 0.56       |
| PSL user (%)         | 19 (86%)     | 15 (60%)               | 0.13       |
| Total arc of first MTP joint(°) | 23.9 (19.2) | 26.3 (16.2)       | 0.35       |

BMI body mass index, CRP C-reactive protein, MMP-3 matrix metalloproteinase 3, DAS28-CRP disease activity score in 28 joints, bDMARD biological disease-modifying antirheumatic drug, MTX methotrexate, PSL prednisolone, MTP metatarsophalangeal

### Table 2

|                      | Non-floating | Postoperative floating |
|----------------------|--------------|------------------------|
| Number of cases      |              |                        |
| 2nd toe              | 26 (60%)     | 17                     |
| 3rd toe              | 25 (58%)     | 18                     |
| 4th toe              | 23 (53%)     | 20                     |
| 5th toe              | 19 (44%)     | 24                     |

### Table 3

|                      | Non-floating | Postoperative floating | \(p\) value |
|----------------------|--------------|------------------------|------------|
| Number of cases      |              |                        |            |
| 2nd toe              | 15 (35%)     | 11 (26%)               | 1 (2%)     | 16 (37%) | 0.01 |
| 3rd toe              | 12 (28%)     | 13 (30%)               | 1 (2%)     | 17 (40%) | 0.02 |
| 4th toe              | 13 (30%)     | 10 (23%)               | 4 (9%)     | 16 (37%) | 0.08 |
| 5th toe              | 14 (33%)     | 5 (12%)                | 15 (35%)   | 9 (21%)  | 0.40 |
| Resection length (mm)|              |                        |            |
| 2nd toe              | 18.0 (7.3)   | 19.9 (7.7)             | 0.47       |
| 3rd toe              | 19.6 (6.3)   | 19.8 (8.5)             | 0.93       |
| 4th toe              | 19.5 (5.6)   | 18.8 (7.2)             | 0.75       |
| 5th toe              | 16.6 (6.2)   | 14.8 (6.7)             | 0.42       |
patients who have severe deformities or disrupted joint. Although several recent studies indicated that the recurrence of hammer toe, shortening of the resection arthroplasty space, and the postoperative alignment of first ray were associated with worse clinical outcomes [10, 14, 23], the features with patient dissatisfaction after resection arthroplasty are still fully understood.

Regarding the relationship between postoperative satisfaction with the procedure and bone resection of the metatarsal bone, previous studies have shown that unsatisfactory postoperative results were associated with recurrence of hammer toe deformity [10], painful callosities [24], and uneven and insufficient bone resection [11]. Our results showed that bone resection length did not have a significant correlation with postoperative floating toes, whereas preoperative MTP joint dislocation grade was significantly worse in the postoperative floating group. Our results suggest that additional bone resection according to soft tissue condition or plantar soft tissue release is required to avoid postoperative floating toes in patients with severe preoperative dislocation of lesser MTP joints.

The severity of preoperative hallux valgus deformity and postoperative valgus alignment of the fused first MTP joint were significantly worse in the postoperative MTP floating in the medial column group as compared with the non-postoperative floating toes group. We speculated that the great toe bends laterally and physically pushes the medial lessor toes, resulting in severe preoperative MTP dislocations. Another suggested hypothesis is that past RA activity simply correlates with the severity of hallux valgus and preoperative MTP dislocation of the medial lessor toes. Hence, preoperative severity of hallux valgus deformity possibly affects the incidence of postoperative floating of MTP joints in the medial lessor toes.

Regarding postoperative floating toes in the lateral lessor toes, JSSF hallux scale scores were significantly worse in the postoperative floating group, whereas lesser JSSF scale scores were not significantly different. Our results also revealed that there was no significant difference between non-floating and postoperative floating group in the range of motion of first MTP joint before surgery. The JSSF hallux scale is based on deformity, activities of daily life, and

| Table 4 Parameters for postoperative floating of the medial column. Values are mean (SD) unless otherwise indicated |
|---------------------------------------------------------------|
| **Non-floating (n = 30)** | **Postoperative floating (n = 17)** | **p value** |
| Radiographic (°) | | | |
| Pre-operative Hallux valgus | 38.4 (17.7) | 52.8 (13.0) | 0.01 |
| Pre-operative First-second intermetatarsal | 12.2 (4.4) | 16.9 (14.0) | 0.28 |
| Pre-operative First-fifth intermetatarsal | 31.1 (8.4) | 33.4 (6.2) | 0.35 |
| Post-operative Hallux valgus | 8.0 (7.6) | 14.1 (7.8) | 0.02 |
| Pre-operative Hardy classification | 6.2 (1.7) | 5.9 (1.8) | 0.71 |
| Post-operative Hardy classification | 4.4 (1.6) | 3.8 (1.6) | 0.22 |
| Larsen grade | 4.3 (1.1) | 4.5 (1.1) | 0.50 |
| Clinical | | | |
| JSSF hallux scale | 40.7 (14.0) | 30.7 (16.0) | 0.12 |
| JSSF lesser scale | 34.8 (11.7) | 26.5 (10.1) | 0.16 |

| Table 5 Parameters for postoperative floating of the lateral column. Values are mean (SD) unless otherwise indicated |
|---------------------------------------------------------------|
| **Non-floating (n = 24)** | **Postoperative floating (n = 23)** | **p value** |
| Radiographic (°) | | | |
| Hallux valgus | 40.1 (16.7) | 47.9 (18.1) | 0.19 |
| First-second intermetatarsal | 12.3 (3.7) | 15.6 (4.5) | 0.31 |
| First-fifth intermetatarsal | 33.1 (5.9) | 31.4 (8.1) | 0.49 |
| Post-operative Hallux valgus | 8.1 (8.2) | 12.6 (7.9) | 0.11 |
| Pre-operative Hardy classification | 5.8 (1.9) | 6.3 (1.6) | 0.45 |
| Post-operative Hardy classification | 4.4 (1.9) | 4.0 (1.4) | 0.61 |
| Larsen grade | 4.3 (1.1) | 4.5 (1.0) | 0.45 |
| Clinical | | | |
| JSSF hallux scale | 44.2 (14.6) | 31.7 (13.8) | 0.04 |
| JSSF lesser scale | 34.1 (14.1) | 30.3 (9.6) | 0.50 |
the presence of callosities, pain and range of motion [19]. Recent studies suggested that foot orthoses are altered by dysfunctions associated with hallux valgus [25]. Load transfer over the metatarsal heads of the lesser toes is increased during the stance phase of gait [26–28]. It seems reasonable to suppose that the symptoms of the hallux condition, such as pain, callosity, restricted range of motion and dysfunction possibly lead to shifting their center of pressure laterally [29], resulting in excess dorsiflexion stress on the lateral lesser toes before surgery. We speculated that the preoperative gait which is the external load has exacerbated the degree of dislocation of the lateral MTP joints, which in turn causes postoperative-floating.

Several limitations must be considered when interpreting the present findings. The first is the small sample size, which is the result of the decreasing prevalence of severe foot deformity due to the frequent use of highly effective drugs, such as methotrexate and biologic agents, in patients with RA. A second limitation is the lack of evaluation of non-surgical patients. Evaluation of non-surgical treatment patients may be helpful for more in depth understanding of the pathology of rheumatoid foot deformity. Third limitation is that we didn’t evaluate postoperative DAS-CRP. Although the evaluation of preoperative DAS-CRP reflects disease activity, ideally it would be desirable to consider the evaluation of postoperative DAS-CRP. The fourth limitation is that the evaluation methods of the dislocation/floating of the toes are different before and after surgery. Preoperative grading system is simple and easy to understand. However, it is based on the location of proximal pharyngeal bone standardized by the metatarsal head. Therefore, we could not apply it for postoperative evaluations.

Conclusions
The preoperative condition of the first metatarsophalangeal joint, including the severe hallux valgus deformity, poor clinical scoring possibly affect the incidence of postoperative floating of lesser toes after resection arthroplasty for rheumatoid foot deformity. Surgeons must consider that severe hallux valgus deformity may induce postoperative floating of the medial lesser toes and poor clinical score of the first metatarsophalangeal joint may induce postoperative floating of the lateral lesser toes.

Funding
This research did not receive any specific grant from funding agencies in the public, commercial, or not-for-profit sectors.

Availability of data and materials
The datasets used and analyzed during the current study are available from the corresponding author on reasonable request.

Authors’ contributions
TO and HN participated in designing the study and carried out the experiments and statistical analysis. TO drafted the manuscript. KH and EK assisted in performing the assessments and in manuscript preparation. TO, HN, EK, and NI conceived of the study, participated in its design and coordination, and helped to draft the manuscript. All authors have read and approved the final manuscript.

Ethics approval and consent to participate
This study was approved by the Institutional Review Board (IRB) of Hokkaido University Hospital (approval ID: 015-0300). Informed consent was not obtained from the participants because the IRB waived informed consent for the retrospective study.

Consent for publication
Not applicable.

Competing interests
The authors declare that they have no competing interests.

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Acknowledgments
We would like to thank the participants who made this study possible, and thank the staff and volunteers who collected the data.

Abbreviations
bDMARD: Biological disease-modifying antirheumatic drug; BMI: Body mass index; CRP: C-reactive protein; CV: Coefficient of variation; DAS28-CRP: disease activity score in 28 joints; HVA: Hallux valgus angle; IP: Interphalangeal; JSIF: Japanese Society for Surgery of the Foot; MMP-3: Matrix metalloproteinase 3; MTP: Metatarsophalangeal; MTX: Methotrexate; PSL: Prednisolone; RA: Rheumatoid arthritis

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