LAFT: Cross-lingual Transfer for Text Generation by Language-Agnostic Finetuning

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Abstract

Multilingual language pretraining enables possibilities of transferring task knowledge learned from a rich-resource source language to the other, particularly favoring those low-resource languages with few or no task annotated data. However, knowledge about language and tasks encoded is strongly entangled in multilingual neural representations, thereby the learned task knowledge falsely correlated to the source language, falling short of cross-lingual transferability. In this paper, we present a novel language-agnostic finetuning (LAFT) to facilitate zero-resource cross-lingual transfer for text generation. LAFT performs language-agnostic task acquisition to isolate task learning completely from the source language, and then language specification for better generation for specified languages. Experiments demonstrate that the proposed approach facilitates a better and parameter-efficient transferability on two text generation tasks.

1 Introduction

Deep learning has boosted the development of natural language generation (NLG), giving rise to its applications to a broad range of tasks (Brown et al., 2020; Liu et al., 2020; Xue et al., 2021), e.g., summarizing a lengthy news article. Annotated data is essential for learning neural NLG models. However, the vast bulk of available data is normally presented in English, making data scarcity in other languages a significant difficulty. Therefore, cross-lingual transfer, the ability to transfer knowledge learned in a rich-resource source language (typically English) to other, unseen target languages, has enormous practical significance.

The recent success of multi-lingual pre-trained language models (MPLMs) (Liu et al., 2020; Conneau et al., 2020; Xue et al., 2021) enables possibilities for such zero-resource cross-lingual transfer in a “pretraining-finetuning” paradigm. Specifically, thanks to that MPLMs can learn plausible multi-lingual representations for any languages involved in multi-lingual pretraining, finetuning a MPLM on task annotated data in English can exhibit immediate task performance on other languages. However, despite its appealing results on natural language understanding, the transferring performance remains unsatisfactory on language generation tasks.

The neural NLG pipeline consists of three sequential steps: a) understanding input text (e.g., a news article), b) manipulating semantics in accordance with the task (e.g., filtering out redundant content while retaining content of the main idea), and c) generating text result (e.g., abstractive summary). As a result, we suggest that learning a generation task essentially bolts down to learning how to manipulate the input semantic for the following generation. However, due to the highly entangled nature of semantic information and language information learned in multilingual representations, knowledge of a downstream task learned by fine-tuning would inevitably be correlated to the source language, thus harming the ability to transfer to unseen target languages.

In this paper, we propose the language-agnostic finetuning (LAFT). The key idea is to completely isolate acquiring task knowledge for an MPLM from the source language, and then add the language information back for generation. Given a text generation task and its annotated data in the source language, LAFT consists of two stages:

- **Language-agnostic task acquisition.** An extra task module is added to the MPLM. The module learns to manipulate semantic content given the task without considering any information about the source language.
- **Language specialization.** We then incorporate language information back into the
task module’s language-agnostic representation, helping the decoder to better generate the resulting content in the specified language.

We evaluate our zero-resource cross-lingual transfer approach in two scenarios: zero-shot and translate-train, which differ in terms of the existence of machine translation systems. Experimental results show that the proposed method facilitates a better and parameter-efficient transferability on abstractive summarization (+up to 0.71 ROUGE-L) and question generation (+up to 2.45 ROUGE-L), which could motivate further research that cross-lingual transfer necessitates careful consideration of task acquisition and language specialization.

2 Related Work

Most previous cross-lingual transfer research has succeeded on NLU rather than NLG. For both NLU and NLG, one solution is data augmentation that leverages data from the source language to the target language using translation systems or code-switching (Singh et al., 2019; Bornea et al., 2021; Qin et al., 2020). Some NLU research aims to learn language-agnostic features that minimize the distance among features from different languages, by adversarial training (Keung et al., 2019; Chen et al., 2019), removing the language identity from the original multi-lingual representations (Libovický et al., 2020; Zhao et al., 2021; Yang et al., 2021; Tiyajamorn et al., 2021) or contrastive learning (Yu and Joty, 2021).

For NLG, one of the most promising findings of cross-lingual transfer is that multilingual machine translation systems trained on massive amount of multilingual data manifest emergent ability of unsupervised (Üstün et al., 2021) or zero-shot translation for those unseen language pairs (Gu et al., 2019; Chen et al., 2022). Such observations encourage researchers to design effective pretraining objectives favoring cross-lingual transfer for monolingual text generation tasks (e.g., summarization) (Chi et al., 2020; Lewis et al., 2020; Maurya et al., 2021), whereas the finetuning process receives little attention. Despite learning language-agnostic features for finetuning as in NLU is promising, language information, in contrast to NLU, is critical for NLG. If only language-agnostic features are used, the model will not be able to generate text in the specified language.

3 Methodology: LAFT

Figure 1 shows the overall workflow of LAFT when applying to mBART (Liu et al., 2020). As illustrated, we first introduce an extra task module (TM), parameterized by two Transformer layers (Vaswani et al., 2017), between the encoder and decoder for language-agnostic task acquisition (§3.1), where the TM is expected to learn how to manipulate input semantic content given the task. We then perform language specialization by adding language information to the language-agnostic representation obtained by the TM, allowing the decoder to synthesize the resulting text in the provided language (§3.2).

3.1 Language-agnostic Task Acquisition

Our approach is inspired by Yang et al. (2021) that for an MPLM, the representations from the same language L tend to cluster together, which implies that they share vector space components that correspond to the language identity of the language L. This finding intuitively enables disentangling the semantic contents from language identity by removing the language components from the representation, which can be conducted as following two steps:

1. **Estimation of language component.** Given a pretrained mBART, its encoder can be seen as a multi-lingual embedding system E. For
each language $L$, we construct a language matrix $M_L \in \mathbb{R}^{n \times d}$ based on a collection of monolingual texts $\{t^i_L\}_{i=0, \ldots, n}$, where the $i$th row of $M_L$ is the sentence representation of $t^i_L$ given by $E$. We then apply singular value decomposition (SVD) $M_L = U_L \Sigma_L V^T_L$, and extract the first $k$ right singular vectors (i.e., columns of $V_L \in \mathbb{R}^{d \times d}$) as the shared components for language identity of $L$, denoted as $c_L \in \mathbb{R}^{d \times k}$.

(2) Removal of language component. Given a text $x_L = \{x^i_L\}$ from the language $L$, where $x^i_L$ is the $i$th token of $x_L$, we denote the representation of $x^i_L$ given by the encoder as $e^i_L$. The sentence representation $e_L$ is obtained via the mean-pooling of $\{e^i_L\}$. Then we subtract the projection of $e_L$ onto $c_L$ from $e_L$ as

$$r^i_L = e^i_L - c_L \frac{c^T_L e_L}{\|e_L\|_2}.$$ 

As a result, $r_L = \{r^i_L\}$ is the language-agnostic representation as expected, which is then fed into the TM for learning the task:

$$h_L = TM(r_L).$$

### 3.2 Language Specialization for Generation

The proposed language-agnostic task acquisition eases the transfer of task knowledge across language. Unlike NLU tasks, which can rely solely on semantic information for classification, language information is critical for NLG tasks since we want to generate text in a specific language. Thus, beside language-agnostic task acquisition, we also need to improve the model regarding its language generation ability. We refer to this as language specialization, which includes two aspects: (1) we integrate the subtracted language components into the TM’s language-agnostic output, (2) we enhance the decoder with an extra language adapter.

**Fusing with subtracted language components.** We apply a fusion mechanism to add subtracted language components $c_L$ back to the TM’s output:

$$B(h_L, c_L) = U \left( \text{ReLU} \left( D([h_L, c_L]) \right) \right) + h_L,$$

where $D \in \mathbb{R}^{2d_a \times d_a}$ and $U \in \mathbb{R}^{d_a \times d_a}$ are parametrized by two feed-forward layers. $B(h_L, c_L)$ is then fed into the decoder.

**Enhancing decoder with language adapter.** The decoder is responsible for generating text in a given language. To promote the decoder to adapt to the fused representations, we incorporate a feed-forward layer based language adapter to each decoder layer (Pfeiffer et al., 2020a), which is jointly trained with the fusion mechanism.

### 3.3 Learning

Learning of LAFT contains two stages.

1. **Unsupervised generation pretraining.** In this stage, we only allow the TM and fusion mechanism trainable while keeping the remainder of the model parameters frozen. We leverage unsupervised data from the source and target language. Following (Liu et al., 2020), we use a cross-entropy loss between the original document and the decoder’s output given the corrupted document as input, which is constructed by applying “text infilling” noise to the original document (Liu et al., 2020).

2. **Task finetuning.** In this stage, given source language annotated task data, we freeze the fusion mechanism and optimize the TM using the cross-entropy loss between the decoder’s output and the ground-truth reference.

### 4 Experiments

We experiment on two NLG tasks, i.e., abstractive text summarization and question generation to evaluate our LAFT for cross-lingual transfer.

**Datasets.** For text summarization, we perform experiments on the XGIGA datasets. We choose its English part as the training set and its French and Chinese parts as the evaluation set. For question generation, we choose the XQG dataset (Chi et al., 2020). The XQG dataset consists of the English part and the Chinese part. We train models on English part and evaluate models on Chinese part.

We learn language specialization using cc100 dataset (Conneau et al., 2020), from which we select a subset containing 1,000,000 sentences for Chinese, English and French respectively.

**Baselines.** We compare LAFT with the following baselines:

- mBART (full): directly finetuning the full parameters of mBART on English annotated data;
- mBART (enc): only finetuning the encoder parameters of mBART;
- TM + adv: using adversarial training instead of LAFT to force the output of TM to be language-agnostic.

More details are presented in Appendix.
Table 1: Results of abstractive summarization. “full”: finetuning full model. “enc”: finetuning only encoder.

| Setting          | Zero-shot | Trans-train |
|------------------|-----------|-------------|
| Language         | zh→zh     | zh→zh       | zh→zh | zh→zh |
| Baselines        |           |             |       |       |
| mBART (full)     | 43.82     | 33.40       | 47.33 | 42.8  |
| mBART (enc)      | 45.85     | 36.55       | 47.09 | 42.11 |
| TM + adv         | 31.41     | 36.71       | 48.04 | 43.04 |
| LAFT             | 46.37     | 40.78       | 47.66 | 43.10 |

Table 2: Results of question generation. “full”: finetuning full model. “enc”: finetuning only encoder.

| Setting          | Zero-shot | Trans-train |
|------------------|-----------|-------------|
| Language         | zh→zh     | zh→zh       | zh→zh | zh→zh |
| Baselines        |           |             |       |       |
| mBART (full)     | 21.62     | 36.58       |       |       |
| mBART (enc)      | 32.08     | 33.57       |       |       |
| TM + adv         | 21.98     | 37.02       |       |       |
| LAFT             | 34.53     | 37.02       |       |       |

Table 3: Number of trained parameters and results on abstractive summarization. “enc top-2”: only finetuning the top two layers of the encoder.

| Model             | R-L (↑) | |θ| trainable | % (↓) |
|-------------------|---------|---|-----------|--------|
| mBART (full)      | 43.82   | 100% |         |        |
| mBART (enc)       | 45.85   | 19.2%|         |        |
| mBART (enc top-2) | 44.85   | 3.8% |         |        |
| Adapter (Pfeiffer et al., 2020a) | 43.05   | 4.3% |         |        |
| LAFT              | 46.37   | 3.8% |         |        |

Figure 2: t-SNE (Van der Maaten and Hinton, 2008) visualization of representations.

Analysis of Parameter Efficiency. To demonstrate parameter efficiency of LAFT, we compare the performance of abstractive summarization with the number of training parameters. As shown in Table 3, our method yields the best ROUGE-L score with the fewest training parameters, demonstrating that LAFT results in a parameter-efficient model.

5 Conclusion
This paper proposes language-agnostic finetuning (LAFT) to facilitate zero-resource cross-lingual transfer for text generation. We finetune a task module only through the semantic contents of a multi-lingual representation. To achieve it, we utilize a disentangled-based and an adversarial-based method.
method. Then we combine the information of a language with the task module’s language-agnostic representation, allowing the model to generate text in the language. Experimental results show that language-agnostic finetuning results in a better and parameter-efficient transferability on two text generation tasks. The major limitation of our work is we only explore two target languages. We leave other languages for future work.

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Adversarial-based method. The main idea is to use adversarial training to force the output of the

Implement Details. We choose the mBART\textsubscript{large} model as the backbone model. The task module consists of two transformer layers, whose setting is the same as the transformer layer in the mBART\textsubscript{large} model. Language adapters are appended by each decoder layer. We follow the setting of language adapter used in (Pfeiffer et al., 2020b) while moving the layer normalization to the end of the adapter. For all experiments, we set $d_a$ as 1024 and $k$ as 6.

We utilize the Adam optimizer with learning rate scheduling. The warm-up step is 10000, and linear learning weight decay is used in the remaining training. We select the maximum learning rate from \{1e$-4$, 3e$-5$\} according to the best result on the evaluation set. Decoding is done with beam search (beam size = 5) and length penalty ($\alpha$ = 1.5 for text summarization and $\alpha$ = 3 for question generation).

Adversarial-based method. The main idea is to use adversarial training to force the output of the
TM to be language-agnostic. Specifically, we introduce a language classifier to judge whether or not a text is from the source language. Given the TM’s output $h_L$ of a text $x_L$, the classifier calculates the probability that $x_L$ belongs to the source language $L_{src}$ as $\hat{y} = x_LW_c^T$, where $W_c \in \mathbb{R}^{d_a \times 1}$ is the weight of the classifier. We encourage the classifier to recognize $x$’s language identity by minimizing a cross-entropy:

$$L_{cls} = -\mathbb{1}_{x \in L_{src}} \log(\hat{y}) - (1-\mathbb{1}_{x \in L_{src}}) \log(1-\hat{y}),$$

where $\mathbb{1}_{x \in L_{src}} = 1$ when $x$ is from the source language, otherwise 0. On the other hand, we encourage the TM to fool the language classifier:

$$L_{adv} = -\mathbb{1}_{x \in L_{src}} \log(1-\hat{y}) - (1-\mathbb{1}_{x \in L_{src}}) \log(\hat{y}).$$

Besides, we utilize the cross-entropy loss between the decoder’s output and the target sequence:

$$L_{gen} = -(1-\epsilon) \log p(i) - \sum_{j \neq i \in \mathcal{V}} \frac{\epsilon}{|\mathcal{V}| - 1} \log p(j)$$

The final loss is,

$$L = L_{cls} + L_{adv} + L_{gen}$$

Note that the adversarial training needs data from the target language. As the annotated data from the target language can not be accessed, we leverage monolingual data.

**Using multi-lingual representations.** Like LAFT, we also need to provide language information to TM’s output. Given the TM’s output $h_L^i$ and the encoder’s output $e_L^i$, a gated mechanism aggregates $h_L^i$ and $e_L^i$ via a weighted sum as

$$\alpha^i = \text{sigmoid}(W_g([h_L^i, e_L^i]) + b_g)$$

$$g_L^i = \alpha^i h_L^i + (1-\alpha^i) e_L^i$$

where $W_g \in \mathbb{R}^{d_h + d_a}$. Unlike the fusion mechanism, the gated mechanism is trained along with the whole model.