INTRODUCTION

Alzheimer’s disease (AD) is one of the most distressing and burdensome mental health problems in the aged population, and the incidence of dementia is continuously increasing. Although pharmacological agents, such as acetylcholinesterase inhibitors and N-methyl-D-aspartate receptor antagonists, have demonstrated efficacy in the temporal symptomatic control of the cognitive decline and daily function of patients with AD, their efficacy has not been sufficient to restore premorbid function or maintain functional levels in the later stages of patients with AD.1 Furthermore, no effective medication has been found for patients with mild cognitive impairment (MCI), which is a high-risk condition for AD.

Therefore, a multifactorial therapeutic approach that includes both pharmacological and non-pharmacological interventions is being increasingly advocated in order to optimize the cognition, affect, and global functioning of patients with MCI or AD.2,3 Because memory impairment is the earliest core symptom of AD, memory rehabilitation, such as the spaced retrieval training (SRT), is a critical part of the non-pharmacological treatments for AD.4 SRT is a method of learning and memory training that involves the retrieval of information from memory at increasing intervals of time (i.e., spaced retrieval).5 SRT has been shown to improve memory function in patients with AD and MCI.6,7

Development of the Ubiquitous Spaced Retrieval-Based Memory Advancement and Rehabilitation Training Program

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Objective The Ubiquitous Spaced Retrieval-based Memory Advancement and Rehabilitation Training (USMART) program was developed by transforming the spaced retrieval-based memory training which consisted of 24 face-to-face sessions into a self-administered program with an iPad app. The objective of this study was to evaluate the feasibility and efficacy of USMART in elderly subjects with mild cognitive impairment (MCI).

Methods Feasibility was evaluated by checking the satisfaction of the participants with a 5-point Likert scale. The efficacy of the program on cognitive functions was evaluated by the Korean version of the Consortium to Establish a Registry for Alzheimer’s Disease Neuropsychological Assessment Battery before and after USMART.

Results Among the 10 participants, 7 completed both pre- and post-USMART assessments. The overall satisfaction score was 8.0 ± 1.0 out of 10. The mean Word List Memory Test (WLMT) scores significantly increased after USMART training after adjusting for age, educational levels, baseline Mini-Mental Status Examination scores, and the number of training sessions (pre-USMART, 16.0 ± 4.1; post-USMART, 17.9 ± 4.5; p = 0.014, RM-ANOVA). The magnitude of the improvements in the WLMT scores significantly correlated with the number of training sessions during 4 weeks (r = 0.793; p = 0.033).

Conclusion USMART was effective in improving memory and was well tolerated by most participants with MCI, suggesting that it may be a convenient and cost-effective alternative for the cognitive rehabilitation of elderly subjects with cognitive impairments. Further studies with large numbers of participants are necessary to examine the relationship between the number of training sessions and the improvements in memory function.

Key Words Mild cognitive impairment, Cognitive therapy, Rehabilitation, Memory, Computer user training, Dementia.

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retaining target information by recalling that information over increasingly longer intervals. It was originally developed for improving memory in cognitively intact persons and was based on the expanding rehearsal technique. The modification was designed to reduce the learner’s effort during training, reduce the number of errors by matching the difficulty of the task to the patient’s ongoing performance (shaping paradigm), and make the training sessions social and enjoyable. SRT has been reported to improve learning and target information retention and to ameliorate behavioral problems in various types of dementia, including AD.

In our previous work, we developed a Spaced Retrieval-based Memory Advancement and Rehabilitation Training (SMART) program, which consisted of 24 face-to-face sessions. SMART was effective in improving the memory retention spans of patients with very mild to mild AD. Furthermore, the expanded retention span induced by SMART was maintained for different sets of target information, indicating that the efficacy of SMART can be generalized.

However, SMART has several limitations in its current form that prevent it from being provided to as many individuals who may benefit from it as possible. First, more than an hour of face-to-face contact with a trained therapist is required for each session, and, thus, it has high costs. Furthermore, trained therapists are not available in many areas. Second, it is not easy to maintain compliance because both patients and caregivers need to regularly allow for about 3 hours per visit and 3 visits a week. Third, it is difficult to increase the intensity of the training, such as the length of the retention span and the number of training sessions per week, due to the previously described limitations. In order to overcome these limitations of SMART, we developed a Ubiquitous SMART (U-SMART) program by transforming the current SMART program into a self-administered program with an iPad app, and we examined its feasibility and efficacy in elderly individuals with MCI.

METHODS

Subjects
Ten elderly individuals with MCI participated in the present study; 4 were enrolled from the participants of the Korean Longitudinal Study on Cognitive Aging and Dementia (KLOSCAD), and 6 were enrolled from the visitors to the Dementia Clinic of the Seoul National University Bundang Hospital. The KLOSCAD is a population-based prospective elderly cohort study on cognitive aging and dementia that was launched in 2009.

Each subject was evaluated with the Korean version of the Consortium to Establish a Registry for Alzheimer’s Disease (CERAD-K) by a research geropsychiatrist with expertise in dementia research. MCI was diagnosed according to the Revised Diagnostic Criteria for MCI proposed by the International Working Group on MCI. The subjects who had Axis I disorders that are listed in the DSM-IV or serious medical or neurological disorders that could affect their cognitive function were excluded.

This study’s protocol was approved by the Institutional Review Board of Seoul National University Bundang Hospital (SNUBH). All subjects were fully informed of the study protocol. All subjects provided written informed consents that were signed by the subjects.

Development of USMART

USMART was based on a client-server model in which all data were stored in a central server, while the iPad mobile application was designed and implemented in order to provide patients with a self-administered SRT program. A web portal was developed to provide service providers, such as therapists, with accessibility and management capabilities of the data (Figure 1). The client program and server system communicated over the network with a Hypertext Transfer Protocol Secure (HTTPS) in order to ensure secure communication.

As shown in Figure 1, the central server consisted of the following different layers of servers in order to support the efficient development of the mobile and web applications: the open application programming interface (API), which acted as a gateway between the mobile application and central storage; the controller layer, which provided a web service for providers; the data access layer, which provided queries to access the underlying database; and the database server in the persistence layer. All server programs were implemented on a Java platform.

The iPad mobile application, which was a SRT program for patients, was designed to be elderly-friendly and easy to use by using high-chroma colors, minimizing user options, providing step-by-step voice-guided directions, and providing various types of input modes, such as voice recognition, keyboard typing, and writing recognition. With the application, patients are allowed to have a training session whenever they want, regardless of their location and time. Their training records are then automatically sent to and stored in the central database through the open API. The current mobile application was tuned to operate with a set of 88 words. However, it also provided a functionality that allowed patients to train with their own user-defined words. One hundred and twenty functional games were embedded and provided to patients as part of the retrieval activities.

The development of a web portal for providers enabled providers to register a new patient, access the patient’s training history, check the patient’s progress, and evaluate the training results.
Administration of USMART

Two occupational therapists trained each participant on how to use the USMART application and iPAD. Then, each participant was asked to use the USMART 3 or more times per week for 4 weeks at home. During the 4 weeks, the participants were allowed to ask the 2 research occupational therapists for directions of the USMART anytime by phone or at a hospital visit.

In each USMART session, the subject was asked to remember a given set of words that appeared in the screen and then recall them immediately. The subject could recall by voice recognition or direct keyboard typing. If the subject succeeded in recalling the words, he/she was asked to recall them repeatedly over systematically expanded intervals (0.75, 1.5, 3, 6, 12, and 24 min). Following a recall failure, the interval was reduced to that of the previous trial. The set of words consisted of 1 to 5 unrelated high-imagery words (e.g., bus, grape, duck, scissors, etc.). All targets were disyllabic words in the Korean language, and different words were used in all sessions. If the subject succeeded in recalling the given set of words for 24 min in 3 consecutive sessions, the number of words in the set was sequentially increased in the subsequent session by an automated algorithm in the USMART. The starting number of words in the first session was 1. During each interval, the subjects were asked to perform inter-retrieval activities in order to prevent them from rehearsing the given set of words. The inter-retrieval activities consisted of a quiz that could improve attention/concentration, visual memory, orientation, and categorization. All procedures during the USMART training were guided by automatic verbal messages in the USMART apparatus (i.e., the iPAD).

Assessments of feasibility and efficacy

We evaluated the feasibility of USMART by assessing the satisfaction and compliance of the participants. We measured the level of satisfaction with USMART with a 17-item satisfaction questionnaire (4 items on the content of the program, 2 items on accuracy, 2 items on the layout of the program, 2 items on the ease of use, 2 items on timeliness, 3 items on the program speed, and 2 items on overall satisfaction). Each item was scored with a 5-point Likert scale from 1 (not at all) to 5 (very much).

We evaluated compliance by assessing the number of self-training sessions that were performed for 4 weeks.

In order to evaluate the efficacy of USMART on cognitive functions, we administered the Korean version of the CERAD Neuropsychological Assessment Battery (CERAD-K-N), the Korean version of the Frontal Assessment Battery, and the Digit Span Test before and after subjects performed the USMART program. The CERAD-K-N consists of 9 neuropsychological tests, including the Categorical Fluency test, the Modified Boston Naming Test, the Mini-Mental State Examination (MMSE), the Word List Memory Test (WLMT), the Constructional Praxis Test, the Word List Recall Test, the Word List Recognition Test, the Constructional Recall Test, and the Trail Making Test A. These pre- and post-treatment assessments were performed within 2 weeks before or after the performance of the 4-weeks’ USMART program.
Statistical analysis

The Mann-Whitney U-test was used to compare the age, educational levels, and baseline MMSE scores between the subjects who completed the U-SMART program and those who dropped out.

Repeated measures analysis of variance (RM-ANOVA) was used to compare the pre- and post-USMART neuropsychological performances after adjusting for age, educational levels, pre-USMART MMSE scores, and the number of training sessions that were performed for 4 weeks. For any of the neuropsychological tests that showed improvement by the training, a correlation analysis using Spearman’s rank correlation coefficient was performed to examine the factors that determined the degree of improvement. For our statistical evaluation of the main effects, P values less than 0.05 were considered statistically significant. All statistical analyses were performed with the PASW Statistics 18, Release Version 18.0.0 (© SPSS, Inc., Chicago, IL, USA).

RESULTS

The demographic characteristics of the 10 participants (1 man, 9 women) are summarized in Table 1. Among them, 7 women (4 amnestic MCI single-domain type, 2 amnestic MCI multiple-domain type, 1 non-amnestic MCI single-domain type) completed both the pre- and post-USMART assessments. The number of training sessions that were performed by these 7 participants for 4 weeks varied widely from 1 to 30. Among them, 1 subject (ID 7) had been taking donepezil (10 mg) for 6 months at the beginning of this study. Three subjects dropped out for the following reasons: 1 subject (ID 8) had difficulty learning how to use the iPAD, 1 (ID 9) felt the inter-retrieval activities were childish and 1 (ID 10) developed an acute physical illness. However, their age (p=0.085, Mann-Whitney U-test), education (p=0.728, Mann-Whitney U-test), and baseline MMSE scores (p=0.816, Mann-Whitney U-test) were comparable to those of the 7 subjects who completed the assessments.

The overall satisfaction score was 8.0±1.0 out of 10 points. All participants scored 4 or 5 in the overall satisfaction items and answered that they were willing to purchase the USMART program. Five responders (71.4%) answered that the USMART was helpful in improving their memory. The points for 15 out of the 17 items were 3 or more. Mean scores of the content, accuracy, layout, ease of use, timeliness, and program speed were 12.7±3.1 out of 20 points, 7.4±1.5 out of 10 points, 7.3±1.5 out of 10 points, 7.1±1.7 out of 10 points, 6.6±2.0 out of 10 points, and 9.4±2.9 out of 15 points, respectively.

As shown in Table 2, the mean WLMT score significantly increased after the USMART training (pre-USMART, 16.0 ± 4.1; post-USMART, 17.9±4.5). After adjusting for age, educational level, baseline MMSE score, and the number of training sessions, this change was statistically significant (F(1, 2)=70.327, p=0.014). Figure 2 shows the changes in the WLMT scores after USMART in each participant. Furthermore, the magnitudes of the improvements in the WLMT scores significantly correlated with the number of training sessions performed during the 4 weeks (r=0.793, p=0.033) (Figure 3).

DISCUSSION

We found that performing the USMART program for 4 weeks was applicable to a considerable proportion of the elderly patients with MCI, and it was helpful in improving word list learning in proportion to the number of training sessions.

We were concerned whether elderly people with cognitive impairments were able to use the USMART program by themselves. However, most participants seemed to adapt readily to

Table 1. The characteristics of the participants

| ID  | Age (y) | Gender | Education (y) | Diagnosis | CDR | Session* |
|-----|---------|--------|---------------|-----------|-----|---------|
| 1   | 61      | Female | 9             | MCI-AM    | 0.5 | 30 (44) |
| 2   | 67      | Female | 6             | MCI-AS    | 0.5 | 7 (14)  |
| 3   | 60      | Female | 6             | MCI-AS    | 0.5 | 13 (19) |
| 4   | 74      | Female | 6             | MCI-AS    | 0.5 | 21 (28) |
| 5   | 73      | Female | 16            | MCI-NS    | 0.5 | 12 (12) |
| 6   | 73      | Female | 12            | MCI-AS    | 0.5 | 7 (12)  |
| 7   | 80      | Female | 9             | MCI-AM    | 0.5 | 1 (3)   |
| 8   | 79      | Female | 5             | MCI-AM    | 0   | 0       |
| 9   | 79      | Female | 10            | MCI-AM    | 0.5 | 0       |
| 10  | 75      | Male   | 16            | MCI-AS    | 0   | 0       |

*total number of training sessions during 4 weeks. MCI: mild cognitive impairment, AM: amnestic multiple-domain type, AS: amnestic single-domain type, NS: non-amnestic single-domain type, CDR: Clinical Dementia Rating
Ubiquitous Spaced Retrieval-Based Memory Training

Table 2. The effect of Ubiquitous Spaced Retrieval-based Memory Advancement and Rehabilitation Training (USMART) on the cognitive functions of elderly individuals with mild cognitive impairment (MCI)

|                   | Pre-USMART | Post-USMART | p*  | p‡  |
|-------------------|------------|-------------|-----|-----|
| Mini-Mental State Examination | 26.7±1.9   | 25.2±1.8    | 0.068 |     |
| Categorical Fluency Test       | 12.0±3.2   | 11.3±2.4    | 0.343 | 0.401 |
| Boston Naming Test             | 11.4±2.3   | 11.9±0.9    | 0.671 | 0.756 |
| Word List Memory Test          | 16.0±4.1   | 17.9±4.5    | 0.246 | 0.014 |
| Word List Recall Test          | 4.4±2.2    | 5.4±3.6     | 0.345 | 0.279 |
| Word List Recognition Test     | 8.3±1.8    | 8.6±1.9     | 0.891 | 0.847 |
| Construction Praxis Test       | 10.1±1.5   | 10.4±0.8    | 0.414 | 0.191 |
| Construction Recall Test       | 4.9±3.0    | 5.6±3.0     | 0.496 | 0.598 |
| Trail Making Test-A            | 51.0±15.4  | 52.6±13.3   | 0.498 | 0.836 |
| Trail Making Test-B            | 204.1±76.0 | 188.0±74.7  | 0.128 | 0.063 |

*Wilcoxon signed-rank test, †repeated Measured Analysis of Variance (RM-ANOVA), adjusted for age, educational level, MMSE score before the USMART, and the number of training sessions for 4 weeks.

The USMART program after several introductions and did not need to have a caregiver or therapist present during training, indicating that the USMART program may not be overwhelming or emotionally taxing to elderly patients with MCI. However, 1 participant dropped out because he failed to learn how to use the iPAD, and 5 of the 7 participants who completed the current study needed 2 or more teaching sessions to learn how to use the iPAD properly. In addition, the participants who had difficulty learning how to use the iPAD had a lower number of training sessions. If smart devices like the iPAD will become more popular and familiar to elderly individuals in the future, the USMART program will become more widely and easily applicable to elderly patients with cognitive impairments. The 7 participants showed high levels of overall satisfaction. However, their satisfaction with each aspect of the program and its timeliness and speed in particular was somewhat lower than their overall satisfaction. In addition, some participants felt that the inter-retrieval activities were too easy and childish.

Figure 2. The changes in the Word List Memory Test scores after USMART in each participant. MCI-AM: mild cognitive impairment-amnestic multiple-domain type, AS: amnestic single-domain type, NS: non-amnestic single-domain type.

Figure 3. Correlation between the number of training sessions and the magnitude of the improvements in the Word List Memory Test (WLMT) scores. *Spearman’s rank correlation coefficient.

The inter-retrieval activities were tailored to each subject in order to enhance the compliance with the USMART program. We found that USMART dose-dependently improved the memory of elderly individuals with MCI. These data add to the growing empirical evidence on the benefits of spaced retrieval on the memory function of patients with MCI. The effectiveness of spaced retrieval as a method of facilitating memory function has been variously attributed to the effects of repetition priming, operant conditioning, classical conditioning, and errorless learning, but there is a general consensus that at least some of the effects of spaced retrieval are attributable to...
its reliance on automatic processing. Different cognitive processes are subjected to different rates and degrees of decline in both patients with dementia and MCI; controlled cognitive processing is more substantially affected than automatic processing. The USMART program may be helpful for improving the storage of target information in the everyday lives of patients with MCI.

Furthermore, the magnitude of memory improvement was correlated with the number of training sessions in the present study, which further supported the findings that the USMART program may be effective in improving memory. Some studies have suggested that participants become more proficient at the SRT task with an increasing number of sessions, which is reflected in the longer retention intervals in the later sessions compared to the early sessions and in the number of failures that decreased for each participant across the sessions. These explanations provide additional evidence that, over time, participants benefit from prior training sessions. However, the direct effect of the increased training sessions on cognitive improvement has not been fully investigated yet. One previous study that included 60 training sessions did not show any superiority compared to the other studies involving an inferior number of sessions, and others have suggested that those programs that apply fewer sessions (between 6 and 20) seem to be more cost-effective for future research and clinical purposes.

The number of training sessions for the 4 weeks in our study had a mean value of 13 (s.d., 9.7). Therefore, the duration of our study might not be categorized as long term. Further studies that include a large number of participants are necessary to examine the relationship between the number of training sessions and the improvements in memory function.

According to the current study, the memory-enhancing effects of the USMART program might be generalized because the performance of the word list learning test, which employed a set of words that were totally different from those employed in the USMART program, was significantly improved after the USMART program. In our previous study on the SMART program, however, SMART was effective in expanding the retention span of words that were very different from the words that were employed in the SMART training but not in improving the performance of the word list learning test. This discrepancy between the effects of USMART and SMART on the word list learning test may be partly attributed to differences in the severity of the cognitive impairments in the subjects in the 2 studies. In the previous study on SMART, all subjects were AD patients, whereas all were MCI patients in the present study. Because more cognitive effort and explicit memory may be required for conventional neuropsychological tests like the word list learning test compared to SMART or USMART, the effect size of SMART or USMART on the performance of the WLMT may be smaller in AD patients who have more severe impairments in explicit memory than in individuals with MCI.

Although the current study showed that USMART might be feasible and useful in elderly individuals with MCI, several limitations should be noted. First, the sample size was small, the treatment period was short, and the study design was an open-label single arm. Second, the type of MCI was not uniform. The feasibility and efficacy of the USMART may be different depending on the type of MCI, i.e., amnestic versus non-amnestic. Third, long-term efficacy was not evaluated in the present study. Because MCI is a degenerative condition in at least a certain proportion of individuals, it is crucial to assess the longitudinal course of the efficacy data. A delay of the conversion toward dementia could eventually be considered the ultimate efficacy outcome. Fourth, medication effects were not totally excluded in this study. One subject had been taking donepezil, and 1 subject had been taking escitalopram. However, the changes in the WLMT scores after USMART did not differ in the subjects taking these drugs. The WLMT score of the subject who was taking donepezil decreased 1 point and that of the subject who was taking escitalopram increased 1 point after USMART.

In conclusion, USMART was effective in improving memory, and it was well tolerated by most participants with MCI, suggesting that it may be a convenient and cost-effective alternative for cognitive rehabilitation in elderly patients with cognitive impairments. Information technology can play a key role in making conventional cognitive training more accessible and convenient to elderly patients with cognitive impairments.

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