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Approximately counting and sampling small witnesses using a colourful decision oracle*

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Abstract
In this paper, we prove “black box” results for turning algorithms which decide whether or not a witness exists into algorithms to approximately count the number of witnesses, or to sample from the set of witnesses approximately uniformly, with essentially the same running time. We do so by extending the framework of Dell and Lapinskas (STOC 2018), which covers decision problems that can be expressed as edge detection in bipartite graphs given limited oracle access; our framework covers problems which can be expressed as edge detection in arbitrary k-hypergraphs given limited oracle access. (Simulating this oracle generally corresponds to invoking a decision algorithm.) This includes many key problems in both the fine-grained setting (such as k-SUM, k-OV and weighted k-Clique) and the parameterised setting (such as induced subgraphs of size k or weight-k solutions to CSPs). From an algorithmic standpoint, our results will make the development of new approximate counting algorithms substantially easier; indeed, it already yields a new state-of-the-art algorithm for approximately counting graph motifs, improving on Jerrum and Meeks (JCSS 2015) unless the input graph is very dense and the desired motif very small. Our k-hypergraph reduction framework generalises and strengthens results in the graph oracle literature due to Beame et al. (ITCS 2018) and Bhattacharya et al. (CoRR abs/1808.00691).

1 Introduction
Many decision problems reduce to the question: Does a witness exist? Such problems admit a natural counting version: How many witnesses exist? For example, one may ask whether a bipartite graph contains a perfect matching, or how many perfect matchings it contains. As one might expect, the counting version is never easier than the decision version, and is often substantially harder; for example, deciding whether a bipartite graph contains a perfect matching is easy, and counting the number of such matchings is #P-complete [41]. However, even when the counting version of a problem is hard, it is often easy to approximate well. For example, Jerrum, Sinclair and Vigoda [31] gave a polynomial-time approximation algorithm for the number of perfect matchings in a bipartite graph. The study of approximate counting has seen amazing progress over the last two decades, particularly in the realm of trichotomy results for general problem frameworks such as constraint satisfaction problems, and is now a major field of study in its own right [17, 18, 24, 27, 28]. In this paper, we explore the question of when approximating the counting version of a problem is not merely fast, but essentially as fast as solving the decision version.

We first recall the standard notion of approximation in the field: For all real $x,y > 0$ and $0 < \varepsilon < 1$, we say that $x$ is an $\varepsilon$-approximation to $y$ if $|x-y| < \varepsilon y$. Note in particular that any $\varepsilon$-approximation to zero is itself zero, so computing an $\varepsilon$-approximation to $N$ is always at least as hard as deciding whether $N > 0$ holds. For example, it is at least as hard to approximately count the number of satisfying assignments of a CNF formula (i.e. to $\varepsilon$-approximate #SAT) as it is to decide whether it is satisfiable at all (i.e. to solve SAT).

Perhaps surprisingly, in many cases, the converse is also true. For example, Valiant and Vazirani [42] proved that any polynomial-time algorithm to decide SAT can be bootstrapped into a polynomial-time $\varepsilon$-approximation algorithm for #SAT, or, more formally, that a size-$n$ instance of any problem in #P can be $\varepsilon$-approximated in time $\text{poly}(n,\varepsilon^{-1})$ using an NP-oracle. A similar result holds in the parameterised setting, where Müller [39] proved that a size-$n$ instance of any problem in #W[1] with parameter $k$ can be $\varepsilon$-approximated in time $g(k) \cdot \text{poly}(n,\varepsilon^{-1})$ using a W[1]-oracle for some computable function $g : \mathbb{N} \rightarrow \mathbb{N}$. Another such result holds in the subexponential setting, where Dell and Lapinskas [14] proved that the (randomised) Exponential Time Hypothesis is equivalent to the statement: There is no $\varepsilon$-approximation algorithm for #3-SAT which runs on an $n$-variable instance in time $\varepsilon^{-2}2^{o(n)}$.

We now consider the fine-grained setting, which is the focus of this paper. Here, we are concerned with the exact running time of an algorithm, rather than broad categories such as polynomial time, FPT time or subexponential time.

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The above reductions all introduce significant overhead, so they are not fine-grained. Here only one general result is known, again due to Dell and Lapinskas [14]. Informally, if the decision problem reduces “naturally” to deciding whether an \(n\)-vertex bipartite graph contains an edge, then any algorithm for the decision version can be bootstrapped into an \(\varepsilon\)-approximation algorithm for the counting version with only \(O(\varepsilon^{-2}\text{polylog}(n))\) overhead. (See Section 1.1 for more details.)

The reduction of [14] is general enough to cover core problems in fine-grained complexity such as ORTHOGONAL VECTORS, 3SUM and NEGATIVE-WEIGHT TRIANGLE, but it is not universal. In this paper, we substantially generalise it to cover any problem which can be “naturally” formulated as deciding whether a \(k\)-partite \(k\)-hypergraph contains an edge; thus we essentially recover the original result on taking \(k = 2\). For any problem which satisfies this property, our result implies that any new decision algorithm will automatically lead to a new approximate counting algorithm whose running time is at most a factor of \(\log \Omega(k)\) \(n\) larger. Our framework covers several reduction targets in fine-grained complexity not covered by [14], including \(k\)-ORTHOGONAL VECTORS, \(k\)-SUM and EXACT-WEIGHT \(k\)-CLIQUE, as well as some key problems in parameterised complexity including weight- \(k\) CSPs and size- \(k\) induced subgraph problems. (Note that the overhead of \(\log \Omega(k)\) \(n\) can be re-expressed as \(k^2 n \text{poly}(1)\) using a standard trick, so an FPT decision algorithm is transformed into an FPT approximate counting algorithm; see Section 1.3.)

In fact, we get more than just approximate counting algorithms — we also prove that any problem in this framework has an algorithm for approximately-uniform sampling, again with \(\log \Omega(k)\) \(n\) overhead over decision. There is a well-known reduction between the two for self-reducible problems due to Jerrum, Valiant and Vazirani [32], but it does not apply in our setting since it adds polynomial overhead.

In the parameterised setting, our results have interesting implications. Here, the requirement that the hypergraph be \(k\)-partite typically corresponds to considering the “colourful” or “multicolour” version of the decision problem, so our result implies that uncoloured approximate counting is essentially equivalent to multicolour decision. We believe that our results motivate considerable further study of the relationship between multicolour parameterised decision problems and their uncoloured counterparts.

Finally, we note that the applications of our results are not just complexity-theoretic in nature, but also algorithmic. They give a “black box” argument that any decision algorithm in our framework, including fast ones, can be converted into an approximate counting or sampling algorithm with minimal overhead. Concretely, we obtain new algorithms for approximately counting and/or sampling zero-weight subgraphs, graph motifs, and satisfying assignments for first-order models, and our framework is sufficiently general that we believe new applications will be forthcoming.

In Section 1.1, we set out our main results in detail as Theorems 1 and 2, and discuss our edge-counting reduction framework (which is of independent interest). We describe the applications of Theorems 1 and 2 to fine-grained complexity in Section 1.2, and their applications to parameterised complexity in Section 1.3.

1.1 The \(k\)-hypergraph framework

Given a \(k\)-hypergraph \(G = (V, E)\), write \(e(G) = |E|\), and let

\[
\mathcal{C}(G) := \{(X_1, \ldots, X_k) : X_1, \ldots, X_k \text{ are disjoint subsets of } V\}.
\]

For any \((X_1, \ldots, X_k) \in \mathcal{C}(G)\), we write \(G[X_1, \ldots, X_k]\) for the \(k\)-partite \(k\)-hypergraph on \(X_1 \cup \cdots \cup X_k\) whose edge set is \(\{e \in E(G) : |e \cap X_i| = 1 \text{ for all } i \in [k]\}\). We define the coloured independence oracle of \(G\) to be the function \(\text{cIND}_G : \mathcal{C}(G) \to \{0, 1\}\) such that \(\text{cIND}_G(X_1, \ldots, X_k) = 1\) if the \(k\)-partite \(k\)-hypergraph on \(G[X_1, \ldots, X_k]\), the \(k\)-partite \(k\)-hypergraph on \(X_1 \cup \cdots \cup X_k\) whose edge set is \(\{e \in E(G) : |e \cap X_i| = 1 \text{ for all } i \in [k]\}\), \(G[X_1, \ldots, X_k]\) has no edges, and \(\text{cIND}_G(X_1, \ldots, X_k) = 0\) otherwise. Informally, we think of elements of \(\mathcal{C}(G)\) as representing \(k\)-colourings of induced subgraphs of \(G\), with \(X_i\) being the \(i\)th colour class; thus given a vertex colouring of an induced subgraph of \(G\), the coloured independence oracle outputs 1 if and only if no colourful edge is present. We consider a computation model where the algorithm is given access to \(V\) and \(k\), but can only access \(E\) via \(\text{cIND}_G\). We say that such an algorithm has coloured oracle access to \(G\), and for legibility we write it to have \(G\) as an input. Our main result is as follows.

**Theorem 1.** There is a randomised algorithm \(\text{Count}(G, \varepsilon, \delta)\) with the following behaviour. Suppose \(G\) is an \(n\)-vertex \(k\)-hypergraph, and that \(\text{Count}\) has coloured oracle access to \(G\). Suppose \(\varepsilon\) and \(\delta\) are rational with \(0 < \varepsilon, \delta < 1\). Then, writing \(T = \log(1/\delta)\varepsilon^{-2}k^6 k \log k + 7n\) in time \(O(n T)\), and using at most \(O(T)\) queries to \(\text{cIND}_G\), \(\text{Count}(G, \varepsilon, \delta)\) outputs a rational number \(\hat{e}\). With probability at least \(1 - \delta\), we have \(\hat{e} \in (1 \pm \varepsilon) e(G)\).

We note that an analogue of Theorem 1 in a more abstract setting was obtained in subsequent independent work by Bhattacharya, Bishnu, Ghosh and Mishra [9]; our result achieves better running time in terms of \(\varepsilon^{-2}\) as compared with \(\varepsilon^{-4}\) in [9]).

As an example of how Theorem 1 applies to approximate counting problems, consider the problem \(#k\text{-CLIQUE}\) of counting the number of cliques in an \(n\)-vertex graph \(H\) of size \(k\). We take \(G\) to be the \(k\)-hypergraph on vertex set \(V(H)\) whose hyperedges are precisely those size-\(k\) sets which span cliques in \(G\). Thus \(\varepsilon\)-approximating the
number of $k$-cliques in $H$ corresponds to $\varepsilon$-approximating the number of hyperedges in $G$. We may use a decision algorithm for $k$-Clique with running time $f(n, k)$ to evaluate $\text{cIND}_G$ in time $f(n, k)$, by applying it to an appropriate subgraph of $G$ (in which we delete all edges within each colour class $X_i$). Thus Theorem 1 gives us an algorithm for $\varepsilon$-approximating the number of $k$-cliques in $H$ in time $O(nT + Tf(n, k))$. Any decision algorithm for $k$-Clique must read a constant proportion of its input, so we have $f(n, k) = \Omega(n)$ and our overall running time is $O(Tf(n, k))$. It follows that any decision algorithm for $k$-clique yields an $\varepsilon$-approximation algorithm for $\#k$-Clique with overhead only $T = \varepsilon^{-2}(k \log n)^O(k)$.

The polynomial dependence on $\varepsilon$ in Theorem 1 is not surprising, as by taking $\varepsilon < 1/2n^k$ and rounding we can obtain the number of edges of $G$ exactly. Thus if the dependence on $\varepsilon$ were subpolynomial, Theorem 1 would essentially imply a fine-grained reduction from exact counting to decision. This is impossible under SETH in our setting; see [14, Theorem 3] for a more detailed discussion.

We extend Theorem 1 to approximately-uniform sampling as follows.

**Theorem 2.** There is a randomised algorithm $\text{Sample}(G, \varepsilon)$ which, given a rational number $\varepsilon$ with $0 < \varepsilon < 1$ and coloured oracle access to an $n$-vertex $k$-hypergraph $G$ containing at least one edge, outputs either a random edge $f \in E(G)$ or $\text{Fail}$. For all $f \in E(G)$, $\text{Sample}(G, \varepsilon)$ outputs $f$ with probability $(1 \pm \varepsilon)/e(G)$; in particular, it outputs $\text{Fail}$ with probability at most $\varepsilon$. Moreover, writing $T = \varepsilon^{-2}k^2\log(4k + 1)n$, $\text{Sample}(G, \varepsilon)$ runs in time $O(nT)$ and uses at most $O(T)$ queries to $\text{cIND}_G$.

We call the output of this algorithm an $\varepsilon$-approximate sample. Note that there is a standard trick using rejection sampling which, given an algorithm of the above form, replaces the $\varepsilon^{-2}$ factor in the running time by a polylog$(\varepsilon^{-1})$ factor; see [32]. Unfortunately, it does not apply to Theorem 2, as we do not have a fast way to compute the true distribution of $\text{Sample}$’s output.

By the same argument as above, Theorem 2 may be used to sample a size-$k$ clique from a distribution with total variation distance at most $\varepsilon$ from uniformity with overhead only $T = \varepsilon^{-2}(k \log n)^O(k)$ over decision. (We also note that it is easy to extend Theorems 1 and 2 to cover the case where the original decision algorithm is randomised, at the cost of an extra factor of $k \log n$ in the number of oracle uses; we discuss this further in the full version.)

Theorems 1 and 2 are also of independent interest, generalising known results in the graph oracle literature. Our colourful independence oracles are a natural generalisation of the bipartite independent set (BIS) oracles of Beame et al. [6] to a hypergraph setting, and when $k = 2$ the two notions coincide. Their main result [6, Theorem 4.9] says that given BIS oracle access to an $n$-vertex graph $G$, one can $\varepsilon$-approximate the number of edges of $G$ by using $O(\varepsilon^{-4}\log^4 n)$ BIS queries (which they take as their measure of running time). The $k = 2$ case of Theorem 1 gives a total of $O(\varepsilon^{-2}\log^3 n)$ queries used, improving their running time for most values of $\varepsilon$, and Theorem 2 extends their algorithm to approximately-uniform sampling.

When $k = 3$, our colourful independence oracles are similar to the tripartite independent set (TIS) oracles of Bhattacharya et al. [8]. (These oracles ask whether a 3-coloured graph $H$ contains a colourful triangle, rather than whether a 3-coloured 3-hypergraph $G$ contains a colourful edge. But if $G$ is taken to be the 3-hypergraph whose edges are the triangles of $H$, then the two notions coincide exactly.) Their main result, Theorem 1, says that given TIS oracle access to an $n$-vertex graph $G$ in which every edge belongs to at most $d$ triangles, one can $\varepsilon$-approximate the number of triangles in $G$ using at most $O(\varepsilon^{-12}d^{12}\log^{25} n)$ TIS queries. Our Theorem 1 gives an algorithm which requires only $O(\varepsilon^{-2}\log^{22} n)$ TIS queries, with no dependence on $d$, and which also generalises to approximately counting $k$-cliques for all fixed $k$. Again, Theorem 2 extends the result to approximately-uniform sampling.

We note in passing that the main result of [14] doesn’t quite fit into this setting, as it also makes unrestricted use of edge existence queries. It resembles a version of Theorem 1 restricted to $k = 2$ and with slightly lower overhead in $n$.

### 1.2 Corollaries in fine-grained complexity

In [14], fine-grained reductions from approximate counting to decision were shown for the problems ORTHOGONAL VECTORS, 3SUM and NEGATIVE-WEIGHT TRIANGLE (among others). The approximate counting procedure for $k$-uniform hypergraphs in Theorem 1 allows us to generalize these reductions to $k$-OV, $k$-SUM, ZERO-WEIGHT $k$-CLIQUE, and other subgraph isomorphism problems. They also apply to model checking of first-order formulas with $k$ variables. In each case, Theorem 2 yields a corresponding result for approximate sampling of witnesses.

### 1.2.1 First-order Formulas on Sparse Structures and $k$ Orthogonal Vectors

We consider first-order formulas $\varphi$, that is, formulas of the form: $Q_1x_{t+1}Q_2x_{t+2}...Q_k\psi(x_1, \ldots, x_k)$. The variables $x_1, \ldots, x_\ell$ are the free variables of $\varphi$, each $Q_i$ is a quantifier from \(\{\exists, \forall\}\), and $\psi$ is a quantifier-free Boolean formula over the variables $x_1, \ldots, x_k$. We consider first-order formulas in prenex-normal form with $\ell \in \{0, \ldots, k\}$ free variables and quantifier-rank at most $k - \ell$; let $k$-FO denote the set of all such formulas. The property testing problem for $k$-FO is, given a formula and a structure (e.g., the edge relation of a graph), to decide whether the formula...
is satisfiable in the free variables, that is, whether there is an assignment to the free variables that makes the formula true. Correspondingly, the property counting problem is to count all satisfying assignments.

Model checking and property testing are important problems in logic and database theory, and have recently been studied in the context of fine-grained complexity [15, 25, 44]: Gao et al. [25] devise an algorithm for the property testing problem for \( k \)-FO that runs in time \( m^{k-1} / 2^{\Theta(\sqrt{\log m})} \), where \( m \) is the number of distinct tuples in the input relations. This improves upon an already slightly non-trivial \( \tilde{O}(m^{k-1}) \) algorithm. By using this improved decision algorithm as a black box, we obtain new algorithms for approximate counting (via Theorem 1) and approximate sampling (via Theorem 2). Note all our approximate counting algorithms work with probability at least \( 2/3 \); this can easily be increased to \( 1 - \delta \) in the usual way, i.e. running them \( \Theta(m \log(1/\delta)) \) times and taking the median result.

**Corollary 3.** Fix \( k \in \mathbb{Z}_{\geq 0} \), suppose an instance of property testing for \( k \)-FO can be solved in time \( T(n, m) = \tilde{O}(m n^{k}) \), where \( n \) is the size of the universe and \( m \) is the number of tuples in the structure, and write \( S \) for the set of satisfying assignments. Then there is a randomised algorithm to \( \varepsilon \)-approximate \( |S| \), or draw an \( \varepsilon \)-approximate sample from \( S \), in time \( \varepsilon^{-2} \cdot \tilde{O}(T(n, m)) \).

In combination with the algorithm of Gao et al. [25], we can thus \( \varepsilon \)-approximately sample from the set of satisfying assignments to any \( k \)-FO-property in time \( \varepsilon^{-2} m^{k-1} / 2^{\Theta(\sqrt{\log m})} \). For example, this algorithm can be used to sample an approximately uniformly random solution tuple to a conjunctive query.

The \( k \)-ORTHOGONAL VECTORS \((k\text{-OV})\) problem is a specific example of a property testing problem, and has connections to central conjectures in fine-grained complexity theory [1, 25]. The problem asks, given \( k \) sets \( X_1, \ldots, X_k \subseteq \{0, 1\}^D \) of Boolean vectors, whether there exist \( x_1 \in X_1, \ldots, x_k \in X_k \) such that \( \sum_{j=1}^{D} x_{ij} = 0 \). (The sum and product are the usual arithmetic operations over \( \mathbb{Z} \).) When \( x_1, \ldots, x_k \) are viewed as representing subsets of \( [D] \) in the canonical manner, this condition is equivalent to requiring they have an empty intersection; when \( k = 2 \), it is equivalent to \( x_1 \) and \( x_2 \) being orthogonal. Any tuple \( (x_1, \ldots, x_k) \) satisfying the condition is called a witness. Clearly, \( k \)-OV can be solved in time \( O(N^k D) \) using exhaustive search. Gao et al. [25] stated the Moderate-Dimension \( k \)-OV Conjecture, which says that \( k \)-OV cannot be solved in time \( O(N^{k-\varepsilon} \text{poly}(D)) \) time for any \( \varepsilon > 0 \). We show that any reasonable-sized improvement over exhaustive search carries over to approximate counting and sampling.

**Corollary 4.** Fix \( k \geq 2 \), suppose an \( N \) \( k \)-vector \( D \)-dimension instance of \( k \)-OV can be solved in time \( T(N, D) \), and write \( W \) for the set of witnesses. Then there is a randomised algorithm to \( \varepsilon \)-approximate \( |W| \), or draw an \( \varepsilon \)-approximate sample from \( W \), in time \( \varepsilon^{-2} \cdot \tilde{O}(T(N, D)) \).

Note that such an improvement is already known for \( 2 \)-OV, which has an \( n^{2-1/\sqrt{\log N}} \) time algorithm [3], although Chan and Williams [12] already generalised this to an exact counting algorithm.

**1.2.2 \( k \)-SUM** The \( k \)-SUM problem has been studied since the 1990s as it arises naturally in the context of computational geometry, see for example [23], and it has become an important problem in fine-grained complexity theory [45]. For all integers \( k \geq 3 \), the \( k \)-SUM problem asks, given a set of integers, whether some \( k \) of them sum to zero. Each \( k \)-subset of integers that does sum to zero is called a witness. While Kane, Lovett, and Moran [33] very recently developed almost linear-size linear decision trees for \( k \)-SUM, the fastest known algorithm for this problem still runs in time \( \tilde{O}(n^{k/2}) \), and \( n^{(k/2)} \) as \( k \to \infty \) is ruled out under the exponential-time hypothesis [40]. We prove that any sufficiently non-trivial improvement over the best known decision algorithm carries over to approximate counting and witness sampling.

**Corollary 5.** Fix \( k \geq 3 \), suppose an \( n \)-integer instance of \( k \)-SUM can be solved in time \( T(n) \), and write \( W \) for the set of witnesses. Then there is a randomised algorithm to \( \varepsilon \)-approximate \( |W| \), or draw an \( \varepsilon \)-approximate sample from \( W \), in time \( \varepsilon^{-2} \cdot \tilde{O}(T(n)) \).

**1.2.3 EXACT-WEIGHT \( k \)-CLIQUE and Other Subgraph Problems** Recall that Theorem 1 applies to the problem \#\( k \)-CLIQUE. This observation generalizes to other subgraph problems as well. We consider weighted graph problems, where we are given a graph \( G \) with an edge-weight function \( w : E(G) \to \mathbb{Z} \). The weight of a clique \( X \) in \( G \) is the sum \( \sum_{e \in E(G)} w(e) \) over all edges \( e \in E(G) \). The \( k \)-SUM problem asks whether there is a \( k \)-clique \( X \) of weight exactly 0. It has been conjectured [1] that there is no real \( \varepsilon > 0 \) and integer \( k \geq 3 \) such that the \( \varepsilon \)-WEIGHT \( k \)-CLIQUE problem on \( n \)-vertex graphs and with edge-weights in \( \{-M, \ldots, M\} \) can be solved in time \( \tilde{O}(n^{(1-\varepsilon)k} \log \log n)) \). (For the closely related \( \varepsilon \)-WEIGHT \( k \)-CLIQUE problem, a subpolynomial-time improvement over the exhaustive search algorithm is known [1, 43, 12], with running time \( n^{k/\exp(\Omega(\sqrt{\log n}))} \).) Theorems 1 and 2 imply that any sufficiently non-trivial improvement on the running time of an \( \varepsilon \)-WEIGHT \( k \)-CLIQUE algorithm will carry over to the approximate counting and sampling versions of the problem.

**Corollary 6.** Fix \( k \geq 3 \), suppose an \( n \)-vertex \( m \)-edge instance of \( \text{EXACT-WEIGHT } k \text{-CLIQUE with weights in } \)
$|V(G)|$ can be solved in time $T(n, m, M)$, and write $C$ for the set of zero-weight $k$-cliques. Then there is a randomised algorithm to $\varepsilon$-approximate $|C|$, or draw an $\varepsilon$-approximate sample from $C$, in time $\varepsilon^{-2} \cdot \tilde{O}(T(n, m, M))$.

There is a more general version of EXACT-WEIGHT $k$-CLIQUE which takes as input an edge-weighted $d$-hypergraph and asks whether it contains a zero-weight $k$-clique. A similar conjecture exists for this version of the problem [1], and Theorems 1 and 2 yield a result analogous to Corollary 6.

Our framework also applies to subgraphs more general than cliques. The EXACT-WEIGHT-$H$ problem asks, given an $n$-vertex graph $G$, whether there exists a subgraph of $G$ that has weight zero and is isomorphic to $H$. We say $H$ is a core if every homomorphism from $H$ to $H$ is also an automorphism. Cores are a rich class of graphs, including cliques, odd cycles, and (with high probability) any binomial random graph $G(n, p)$ with edge probability $n^{-1/3} \log^2 n < p < 1 - n^{-1/3} \log^2 n$ (see [11, Theorem 2]). Corollary 6 generalises to EXACT-WEIGHT-$H$ whenever $H$ is a core. In particular, Abbad and Lewi [2, Corollary 5] prove that EXACT-WEIGHT-$H$ can be solved in time $\tilde{O}(n^{\gamma(H)})$, where $\gamma(H) \geq 1$ is a graph parameter that is small whenever $H$ has a balanced separator, so we obtain the following result.

**Corollary 7.** Let $H$ be a core, let $G$ be an $n$-vertex graph, and let $H(G)$ be the set of zero-weight $H$-subgraphs in $G$. There is an algorithm to draw an $\varepsilon$-approximate sample from $H(G)$ in time $\tilde{O}(\varepsilon^{-2} n^{\gamma(H)})$.

Our framework also applies to colourful subgraphs. The COLOURFUL-$H$ problem asks, given a graph $G$ and a vertex colouring $c: V(G) \rightarrow \{1, \ldots, |V(H)|\}$, whether $G$ contains a colourful copy of $H$ — that is, a subgraph isomorphic to $H$ containing one vertex from each colour class.

**Corollary 8.** Let $G$ be a fixed graph, suppose an $n$-vertex $m$-edge instance of COLOURFUL-$H$ can be solved in time $T(m, n)$, and write $H$ for the set of colourful $H$-subgraphs. Then there is a randomised algorithm to $\varepsilon$-approximate $|H|$, or draw an $\varepsilon$-approximate sample from $H$, in time $\varepsilon^{-2} \cdot \tilde{O}(T(m, n))$.

Daz, Serna, and Thilikos [16] show using dynamic programming that #COLOURFUL-$H$ can be solved exactly in time $\tilde{O}(n^{l+1})$, where $t$ is the treewidth of $H$. Marx [36] asks whether it is possible to detect colourful subgraphs in time $n^{o(t)}$, and proves that $n^{o(t)/\log t}$ is impossible under the exponential-time hypothesis (ETH). Our result shows that any algorithm to detect colourful subgraphs in time $n^{o(t)}$ would essentially also have to approximately count these subgraphs — a more difficult task.

**1.3 Corollaries in parameterised complexity** When considering approximation algorithms for parameterised counting problems, an “efficient” approximation scheme is an FPTRAS (fixed parameter tractable randomised approximation scheme), as introduced by Arvind and Raman [5]; this is the analogue of an FPRAS in the parameterised setting. An FPTRAS for a parameterised counting problem $\Pi$ with parameter $k$ is an algorithm that takes an instance $I$ of $\Pi$ (with $|I| = n$) and a rational number $\varepsilon > 0$, and in time $f(k) \cdot \text{poly}(n, 1/\varepsilon)$ (where $f$ is some computable function) outputs a rational number $z$ such that

$$\mathbb{P}[(1 - \varepsilon)\Pi(I) \leq z \leq (1 + \varepsilon)\Pi(I)] \geq 2/3.$$ 

Note that this definition is equivalent to that given in [5] which requires the failure probability to be at most $\varepsilon$, where $\delta$ is part of the input; repeating the process above $O(\log(1/\delta))$ times and returning the median solution allows us to reduce the error probability from $1/3$ to $\delta$.

As mentioned above, a large number of well-studied problems in parameterised complexity fall within our $k$-hypergraph framework; for standard notions in parameterised (counting) complexity we refer the reader to [21]. Observe that we can rewrite our overhead of $O^{\circ}(k)$ in the form $k^2 n^{o(1)}$: if $k \leq \log n/(\log \log n)^2$ then $O^{\circ}(k) n = \varepsilon^{O(\log n/\log \log n)} = n^{o(1)}$, and if $k \geq \log n/(\log \log n)^2$ then $\log^{O(k)} n = O(k^2)$. Thus we can consider this to be a “fine-grained FPT overhead”.

Theorems 1 and 2 can therefore be applied immediately to any self-contained $k$-witness problem (see [38]): that is, any problem with integer parameter $k$ in which we are interested in the existence of witnesses consisting of $k$-element subsets of some given universe, and we have the ability to quickly test whether any given $k$-element set is such a witness. Examples include weight-$k$ solutions to CSPs, size-$k$ solutions to database queries, and sets of $k$ vertices in a (weighted) graph or hypergraph which induce a sub(hyper)graph with specific properties. This last example encompasses many of the best-studied problems in parameterised counting complexity, including the problem #SUB$(H, G)$ (with parameter $|V(H)|$) which asks for the number of subgraphs of $G$ isomorphic to $H$; the well-studied problems of counting $k$-vertex paths, cycles and cliques are all special cases. More generally, we can consider the problem #INDUCED SUBGRAPH WITH PROPERTY($\Phi$) (##ISWP($\Phi$)), introduced by Jerrum and Meeks [30], for any property $\Phi$.

However, our coloured independence oracle doesn’t quite correspond to deciding whether a witness exists: it needs to solve a multicolour version of the decision problem. The multicolour decision version of a self-contained $k$-witness problem takes as input a universe $U$ together with a $k$-colouring of the elements of $U$, and asks whether there exists a witness which contains precisely one element of each colour. The following result is immediate from Theorems 1 and 2 on taking the vertex set of the hypergraph to be $U$, the edges to be the $k$-witnesses, and simulating the coloured independence oracle by invoking a multicolour decision algorithm.
THEOREM 9. Let \( \Pi \) be a self-contained \( k \)-witness decision problem, and suppose that the multicolour version of \( \Pi \) can be solved in time \( T(n, k) \) when the universe \( U \) has size \( n \). Let \( c : U \to [k] \) be a colouring, let \( W \) be the set of (uncoloured) witnesses of \( \Pi \), and let \( W^c \) be the set of multicolour witnesses of \( \Pi \) with respect to \( c \). Then given \( U \) and \( c \), in time \( \varepsilon^{-2}2^{kn^{o(1)}}T(n, k) \), there is a randomised algorithm to \( \varepsilon \)-approximate \( |W| \) or \( |W^c| \), or draw an \( \varepsilon \)-approximate sample from \( W \) or \( W^c \).

Such multicolour problems have been studied before in the literature, including \#MISWP(\( \Phi \)), the multicolour version of \#ISWP(\( \Phi \)); see [37] for a survey of results relating the complexity of multicolour and uncoloured problems in this setting. In many cases, the multicolour decision problem reduces straightforwardly to the original decision problem — for example, if our witnesses are \( k \)-vertex cliques in a graph. But this is not true in general; if our witnesses are \( k \)-vertex cliques and \( k \)-vertex independent sets, then the uncoloured decision problem admits a trivial FPT algorithm by Ramsey’s theorem [5], but the \#W[1]-complete problem \#Clique reduces to the multicolour version [37]. In the restricted setting of \#SUB(\( H, G \)), it is straightforward to verify that the multicoloured and uncoloured versions of the problem are equivalent when the graph \( H \) is a core, but this is not known for general \( H \). In fact, a proof of equivalence would imply the long-standing dichotomy conjecture for the parameterised embedding problem (see [13] for recent progress on this conjecture). We believe that Theorem 9 motivates substantial further research into the complexity relationship between multicoloured problems and their uncoloured counterparts.

One consequence of Theorem 9 is that if \#MISWP(\( \Phi \)) admits an FPT decision algorithm, then we obtain FPTRASes for both \#MISWP(\( \Phi \)) and \#ISWP(\( \Phi \)) with roughly the same running time as the original decision algorithm. This generalises a previous result of Meeks [37, Corollaries 4.8 and 4.10] which states that subject to standard complexity-theoretic assumptions, if we restrict our attention to properties \( \Phi \) that are preserved under adding edges, there is an FPTRAS for the counting problems \#MISWP(\( \Phi \)) and \#ISWP(\( \Phi \)) if and only if there is an FPT decision algorithm for MISWP(\( \Phi \)). Theorem 9 strengthens this result in two ways. Firstly, we no longer need the restriction that the property is preserved under adding edges, as we can now consider an arbitrary property \( \Phi \). Secondly, we demonstrate a close relationship between the running-times for decision and approximate counting, meaning that any improvement in a decision algorithm immediately translates to an improved algorithm for approximate counting.

One example where Theorem 9 already gives an improvement (in almost all settings) to the previously-best-known algorithm for approximate counting is the GRAPH MOTIF problem, introduced by Lacroix, Fernandes and Sagot [35] in the context of metabolic networks. This problem takes as input an \( n \)-vertex \( m \)-edge graph with a (not necessarily proper) vertex-colouring, together with a multiset \( M \) of colours, and a solution is a subset \( U \) of \( |M| = k \) vertices such that the subset induced by \( U \) is connected and the colour multiset of \( U \) is exactly \( M \); \( M \) is called a motif, and we call \( U \) a motif witness for \( M \).

There has been substantial progress in recent years on improving the running-time of decision algorithms for GRAPH MOTIF [7, 10, 19, 26, 34], with the fastest randomised algorithm [10] (based on constrained multilinear detection) running in time \( O(2^k k^3 m) \). For the counting version, Guillemot and Sikora [26] addressed the related problem of counting \( k \)-vertex subtrees of a graph whose vertex set has colour multiset \( M \) (which counts motif witnesses \( U \) for \( M \) weighted by the number of trees spanned by \( U \)). They demonstrated that this problem admits an FPT algorithm for exact counting when \( M \) is a set, but is \#W[1]-hard otherwise. Subsequently, Jerrum and Meeks [30] addressed the more natural counting analogue of GRAPH MOTIF in which the goal is to count motif witnesses for \( M \) without weights. They demonstrated that this problem is \#W[1]-hard to solve exactly even if \( M \) is a set, but gave an FPTRAS to solve it approximately. By using this FPTRAS together with Theorems 1 and 2, we prove the following.

COROLLARY 10. Given an \( n \)-vertex instance of GRAPH MOTIF with parameter \( k \) and \( 0 < \varepsilon < 1 \), there is a randomised algorithm to \( \varepsilon \)-approximate the number of motif witnesses or to draw an \( \varepsilon \)-approximate sample from the set of motif witnesses in time \( O(\varepsilon^{2}k^{8k+8\varepsilon} m \log^{4k+8 k+8} n) \).

Theorem 9 also generalises a known relationship between the complexity of uncoloured approximate counting and multicolour decision in the special case of \#SUB(\( H, G \)). In this restricted setting, multicolour decision is actually equivalent to multicolour exact counting; there is an FPT algorithm to exactly count the number of multicolour solutions whenever the treewidth of \( H \) is bounded by a constant, with essentially the same running time as the best-known decision algorithm [4]. On the other hand, even the multicolour decision problem is \#W[1]-hard if \( H \) is restricted to any class of graphs with unbounded treewidth [37]. Alon et al. [29] essentially give a fine-grained reduction from uncoloured approximate counting to multicolour exact counting, giving an algorithm with running time matching the best-known algorithm for multicolour decision. (Note that their running time is slightly better than that obtained by applying Theorem 9, and that uncoloured exact counting is \#W[1]-hard even when \( H \) is a path or cycle [22].)

However, in general it is not true that multicolour exact counting is equivalent to multicolour decision — indeed, there are natural examples (such as counting \( k \)-vertex subsets that induce connected subgraphs) in which the counting is \#W[1]-hard but the decision is FPT [30]. Theorem 9 therefore
In this specific case, the existing decision algorithm turns out to already give an algorithm for exact counting with the same asymptotic complexity; however, there is no theoretical reason why the constant in the exponent could not be improved, and our results mean that any such improvement in a decision algorithm could immediately be translated to a faster algorithm for approximate counting.

**Organisation.** In Section 2, we set out our notation. We sketch the proof of Theorem 1 in Section 3, using a weaker approximation algorithm which we set out in Section 4. We sketch the proof of Theorem 2 (using Theorem 1) in Section 5.

2 Notation

Let \( k \geq 2 \) and let \( G = (V,E) \) be a \( k \)-hypergraph, so that each edge in \( E \) has size exactly \( k \). We write \( e(G) = |E| \). For all \( U \subseteq V \), we write \( G[U] \) for the subgraph induced by \( U \). For all \( S \subseteq V \), we write \( d_H(S) = \{ e \in E(G): S \subseteq e \} \) for the degree of \( S \) in \( H \). If \( S = \{v_1,\ldots,v_{|S|}\} \), then we will sometimes write \( d_H(v_1,\ldots,v_{|S|}) = d_H(S) \).

For all positive integers \( t \), we write \( [t] = \{1,\ldots,t\} \). We write \( \ln \) for the natural logarithm, and \( \log \) for the base-2 logarithm. Given real numbers \( x,y \geq 0 \) and \( 0 < \varepsilon < 1 \), we say that \( x \) is an \( \varepsilon \)-approximation to \( y \) if \( (1-\varepsilon)x < y < (1+\varepsilon)x \), and write \( y \in (1 \pm \varepsilon)x \). We extend this notation to other operations in the natural way, so that (for example) \( y \in x \varepsilon / (2 \pm \varepsilon) \) means that \( x \varepsilon / (2 + \varepsilon) \leq y \leq x \varepsilon / (2 - \varepsilon) \).

When stating bounds on running times of algorithms, we assume the standard randomised word-RAM machine model with logarithmic-sized words; thus given an input of size \( N \), we can perform arithmetic operations on \( O(\log N) \)-bit words and generate uniformly random \( O(\log N) \)-bit words in \( O(1) \) time.

Recall the definitions of \( C(G) \) and the coloured independence oracle of \( G \), and coloured oracle access from Section 1.1. Note that for all \( X \subseteq V(G) \), \( cIND_G(X) \) is a restriction of \( cIND_G \). Thus an algorithm with coloured oracle access to \( G \) can safely call a subroutine that requires coloured oracle access to \( G[X] \).

3 The main algorithm

In this section we sketch the proof of our main approximate counting result, Theorem 1. We will make use of an algorithm with a weaker approximation guarantee. We state its properties in the following lemma, whose proof we will sketch in Section 4.

**Lemma 11.** There is a randomised algorithm \( \text{Coarse}(G,\delta) \) with the following behaviour. Suppose \( G \) is an \( n \)-vertex \( k \)-hypergraph to which \( \text{Coarse} \) has (only) coloured oracle access, where \( n \) is a power of two, and suppose \( 0 < \delta < 1 \). Then in time \( O((1/\delta)k^3n \log^{2k+2}n) \), and using at most \( O((1/\delta)k^3n \log^{2k+2}n) \) queries to \( cIND_G \), \( \text{Coarse}(G,\delta) \) outputs a rational number \( \hat{e} \). Moreover, with probability at least \( 1 - \delta \),

\[
\frac{e(G)}{2(4k \log n)^k} \leq \hat{e} \leq e(G) \cdot 2(4k \log n)^k.
\]

Write \( n = 2^\ell \) for some integer \( \ell \). We first set out a toy algorithm for the purpose of illustration. Let \( t \) be a suitably large integer, and take independent uniformly random subsets \( X_1,\ldots,X_t \subseteq V(G) \) subject to \( |X_i| = 2^{\ell-1} \) for all \( i \in [t] \). It is not hard to show that \( \mathbb{E}(e(G[X_i])) \approx e(G)/2^k \) for all \( i \). Thus, using Hoeffding’s inequality, we can show that the total number of edges \( \sum_{i=1}^{t} e(G[X_i]) \) is concentrated around its mean of roughly \( t e(G)/2^k \). It follows that, with high probability, \( (2^k/t) \sum_{i=1}^{t} e(G[X_i]) \approx e(G) \).

Repeating this expansion procedure yields the following (bad) algorithm. We maintain a list \( L \) of pairs \((w,X)\), where \( w \in \mathbb{Q} \) is positive and \( X \subseteq V(G) \), and we preserve the invariant \( \sum_{(w,X) \in L} w e(G[X]) \approx e(G) \) with high probability. (We expect the quality of approximation to degrade as the algorithm runs, but we ignore this subtlety in our sketch.) Initially, we take \( L = \{(1,V(G))\} \), which clearly satisfies this invariant. At each stage, for each pair \((w,X) \in L \), we independently choose \( t \) uniformly random subsets \( X_1,\ldots,X_t \subseteq X \) subject to \( |X_i| = |X|/2 \) for all \( i \), as above. We then delete \((w,X)\) from \( L \) and replace it by \((2^k/w/t,X_1),\ldots,(2^k/w/t,X_t)\). Thus, as we proceed, \( L \) grows, but the sets \( X \) in \( L \)'s entries become smaller, and the invariant \( \sum_{(w,X) \in L} w e(G[X]) \approx e(G) \) is maintained. Eventually, the entries of \( L \) become so small that for all \((w,X) \in L \), we can use \( cIND_G \) to count \( e(G[X]) \) quickly by brute force, and we are done.

The problem with the algorithm described above is that in order to maintain the invariant with high probability, we must take \( t = \Omega(e^{-2 \log n}) \), and to bring the vertex sets in \( L \) down to a manageable size we require \( O(\log n) \) expansion operations. Thus our final list will have length \((e^{-2 \log n})^\Omega(\log n) \), resulting in an algorithm with superpolynomial running time. We avoid this problem by exploiting a statistical technique called importance sampling, previously applied to the \( k = 2 \) case by Beame et al. [6]. Given a coarse estimate of each \( e(G[X_i]) \), as found by \( \text{Coarse} \), this technique allows us to prune \( L \) to a manageable size in \( O(|L|) \) time, while maintaining the invariant \( \sum_{(w,X) \in L} w e(G[X]) \approx e(G) \) with high probability. We set our algorithm for this, \( \text{Trim} \), in the full version; it gives a substantially shorter list than the algorithm used in [6], thereby improving our running time.

Unlike [6], we also use the output of \( \text{Coarse} \) to improve the efficiency of our expansion procedure. The algorithm described above treats all pairs \((w,X) \in L \) equally,
expanding each one into \( t \) smaller pairs. Thus \( L \) grows by a factor of \( t \) in a single expansion step. Our real algorithm will work differently. For each pair \((w_i, X_i)\), we will choose the number \( t_i \) of replacement pairs according to our coarse estimate of \( w_i e(G[X_i]) \). We will take \( t_i \) to be large if \((w_i, X_i)\) accounts for a large proportion of \( \sum_{(w, X) \in L} w e(G[X]) \), and small otherwise; thus we only spend a lot of time processing a pair if it is “important”. This optimisation, together with the improved importance sampling procedure discussed above, drops our running time by a factor of roughly \( \varepsilon^{-2} \). We therefore improve the results of [6] even when \( k = 2 \). In the full version, we set out our expansion procedure as Halve.

Overall, a sketch implementation of Count is as follows. Let \( I = \log n - \lfloor \log(2k^2) \rfloor \), and let \( \delta = 1/3(2I+1) \). Initially, we take \( L = (V(G), \text{Coarse}(G, \delta)) \), and we maintain the invariants that

\[
\sum_{(w, s, \hat{e}) \in L} w e(G[S]) \approx e(G),
\]

\[
\hat{e} = \text{Coarse}(G[S], \delta) \text{ for all } (w, s, \hat{e}) \in L.
\]

Then we update \( L \leftarrow \text{Halve(Trim}(L)) \) a total of \( I \) times. Each invocation of Trim reduces the length of \( L \) to \( \varepsilon^{-2} \log O(k) n \), and after the \( i \)’th invocation of Halve we have \( |S| = n/2^t \) for all \((w, s, \hat{e}) \in L\). Then, for all \((w, s, \hat{e}) \in L\), we calculate \( e(G[S]) \) by brute force using \( \text{clND}_C \); this is fast since Halve guarantees that \( |S| = O(k^3) \), and since Trim guarantees that \( L \) is short. We then output

\[
\sum_{(w, s, \hat{e}) \in L} w e(G[S]) \approx e(G).
\]

Note we have glossed over several technical details, such as some degradation of our invariant with repeated invocations of Trim and Halve, which we cover in detail in the full version.

### 4 Coarse approximate counting

The heart of our proof for Lemma 11 is a subroutine to solve the following simpler “gap-version” of the approximation problem. Given a \( k \)-partite \( k \)-hypergraph \( G \), to which we have (only) coloured oracle access, and a guess \( M \geq 0 \), we ask: Do \( G \) have more than \( M \) edges? We wish to answer correctly with high probability provided that either \( G \) has at least \( M \) edges, or \( G \) has significantly fewer than \( M \) edges, namely at most \( \gamma M \) edges with \( \gamma = 1/(2^{2k+1}k^{2k} \log^k n) \).

Suppose we can solve this problem probabilistically, perhaps outputting \( \text{Yes} \) with probability at least \( 1/50 \) if \( e(G) \geq M \) (which we call \textit{completeness}) and outputting \( \text{Yes} \) with probability at most \( 1/100 \) if \( e(G) \leq \gamma M \) (which we call \textit{soundness}). We then apply probability amplification to substantially reduce the failure probability, and use binary search to find the least \( M \) such that our output is \( \text{Yes} \) — with high probability, this will approximate \( e(G) \) when our input \( k \)-hypergraph is \( k \)-partite. We then generalise our algorithm from \( k \)-partite inputs to arbitrary inputs using random colour-coding. These parts of the algorithm are fairly standard, so in this section we will only sketch our solution to the gap-problem.

Let \( B \) be a \( k \)-partite \( k \)-hypergraph with vertex classes \( X_1, \ldots, X_k \), and for simplicity suppose \( n = |V(G)| \) is a power of two. The basic idea of the algorithm is to randomly remove vertices from \( G \) to form a new graph \( H \) in such a way that each edge survives with probability roughly \( 1/M \), and then query the coloured independence oracle and output \text{Yes} if and only if at least one edge remains. If \( G \) has at most \( \gamma M \) edges, then a union bound implies we are likely to output \text{No} (soundness); if \( G \) has at least \( M \) edges, then in expectation at least one edge survives the removal, so we hope to output \text{Yes} (completeness). Unfortunately, the number of edges remaining in \( H \) need not be concentrated around its expectation a single vertex \( v \) — so we must be very careful if this hope is to be realised.

Suppose for the moment that \( k = 2 \), so that \( G \) is a bipartite graph with vertex classes \( X_1 \) and \( X_2 \). Then we will form \( X_1' \subseteq X_1 \) by including each vertex independently with some probability \( p_1 \), and \( X_2' \subseteq X_2 \) by including each vertex independently with some probability \( p_2 \). Each edge survives with probability \( p_1 p_2 \), so we require \( p_1 p_2 \leq 1/M \) to ensure soundness. To ensure completeness, we would then like to choose \( p_1 \) and \( p_2 \) such that \( G[X_1', X_2'] \) is likely to contain an edge whenever \( e(G) \geq M \).

To see that such a pair \((p_1, p_2)\) exists, we first partition the vertices in \( X_1 \) according to their degree: For \( 1 \leq d \leq \log n \), let \( X_d \) be the set of vertices \( v \) with \( 2^{d-1} \leq d(v) < 2^d \). By the pigeonhole principle, there exists some \( D \) such that \( X_D \) is incident to at least \( e(G)/\log n \) edges. We take \( p_1 = 2^D/M \) and \( p_2 = 1/2^D \). We certainly have \( p_1 p_2 \leq 1/M \).

Suppose \( e(G) \geq M \). Since \( X_D \) is incident to at least \( e(G)/\log n \) edges, we have \( |X_D| \geq M/2^D \log n \), so with reasonable probability \( X_D' \) contains a vertex \( v \in X_D \). Then \( v \) has degree roughly \( 2^D \) in \( X_2 \), so again with reasonable probability \( X_2' \) contains a vertex adjacent to it.

There is one remaining obstacle: Since we only have coloured oracle access to \( G \), we do not know what \( D \) is! Fortunately, since there are only \( O(\log n) \) possibilities, we can simply try them all in turn, and output \text{Yes} if any one of them yields a pair \( X_1', X_2' \) such that \( G[X_1', X_2'] \) contains an edge. (It is not hard to tune the parameters so that this doesn’t affect soundness.) This is essentially the argument used by Beame et al. [6].

When we try to generalise this approach to \( k \)-hypergraphs, we hit a problem. For illustration, take \( k = 3 \) and suppose \( e(G) \geq M \). Then we wish to guess a vector \((p_1, p_2, p_3)\) such that \( p_1 p_2 p_3 \leq 1/M \) and, with reasonable probability, \( G[X_1', X_2', X_3'] \) contains an edge. As in the \( k = 2 \) case, we can guess an integer \( 0 \leq D \leq 2 \log n \) such that a large proportion of \( G \)'s edges are incident to a vertex in \( X_1 \) of degree roughly \( 2^D \). Also, if we take \( p_1 = 2^D/M \) then it
is reasonably likely that $X'_1$ will contain a vertex of degree roughly $2^D$, say $v_1$. But we cannot iterate this process — the structure of $G[v_1, X_2, X_3]$, and hence the “correct” value of $p_2$, depends very heavily on $v_1$. So for example, when we test the two guesses $(2^D/M, 1/2^D, 1)$ and $(2^D/M, 1, 1/2^D)$, we wish to ensure that the value of $v_1$ is the same in each test. This is the reason for step (C1) in the following algorithm; it is important that we do not choose new random subsets of $X_1, \ldots, X_k$ independently with each iteration of step (C2).
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