Bone marrow transplantation was first attempted, albeit unsuccessfully, in 1939, when human bone marrow cells were injected intravenously to treat a patient with aplastic anemia. Since that time, despite uneven progress and problems with entities such as graft-versus-host disease (GVHD), the procedure has become an accepted treatment for various hematologic deficiencies and malignant conditions.

Stem cell transplantation can be performed with cells from a family member or an unrelated volunteer (allogeneic transplantation) or with stem cells previously collected from the patient (autologous transplantation). The choice between the more risky allogeneic transplant and an autologous procedure depends on patient age, the underlying disease, donor availability and institutional preference. Once a transplant recipient is discharged from hospital and returns to his or her local community, the primary care physician can play an important role in care. Recipients of stem cell transplants may be severely immunocompromised for many months after transplantation, especially if they are still taking immunosuppressive drugs. Furthermore, endocrine and metabolic deficiencies can develop, and transplant survivors are at risk of a second malignant disease.

This review is intended as a basic overview of allogeneic and autologous stem cell transplantation with a special focus on long-term follow-up issues relevant to primary care providers.

Box 1: Common indications for hematopoietic stem cell transplantation

**Allogeneic**
- Acute leukemia
- Myelodysplastic syndrome
- Chronic myeloid leukemia
- Severe aplastic anemia
- Indolent lymphoma
- Chronic lymphocytic leukemia
- Severe immunodeficiency syndromes
- Hemoglobinopathies

**Autologous**
- Progressive large-cell lymphoma
- Progressive Hodgkin’s disease
- Multiple myeloma
- Relapsed germ cell tumour
The actual transplantation of the cells is a simple process involving intravenous infusion of a liquid stem cell product through a large-bore central venous catheter over 1 to 2 hours. The stem cells are then able to travel or “home” to the bone marrow cavity to re-establish hematopoiesis over the next 2 weeks. It is the care of the patient after transplantation that can present much more of a challenge to the multidisciplinary care team, especially in the setting of allogeneic transplant. In this article we aim to familiarize primary care practitioners with some of the basics of allogeneic and autologous transplantation, as well as issues relevant to the care of transplant recipients over the short and the long term.

Allogeneic transplantation

This section describes the 4 components of allogeneic transplantation — conditioning, transplantation, engraftment and immunoreconstitution (Fig. 2) — and provides information about the patient’s hospital stay and the risks associated with this type of transplantation.

The conditioning regimen: destroying the disease

In preparation for allogeneic stem cell transplantation, the recipient undergoes a conditioning regimen of high-dose chemotherapy and, in some cases, radiotherapy to eradicate the underlying malignant disease and to suppress

The diagram shows the course of events and risks associated with allogeneic transplantation. Blue boxes represent the 4 components of transplantation as outlined in the text; yellow boxes represent the various risks at different stages. RBC = red blood cells.
the recipient’s immune system so that it will not reject the donor’s stem cells.

The first conditioning regimen to be developed — high-dose cyclophosphamide combined with total body irradiation (TBI) — remains in common use, and a variety of other TBI and non-TBI preparative regimens have also been developed. Conditioning is administered over approximately 1 week and produces both hematologic (pancytopenia) and nonhematologic side effects. The latter, referred to collectively as regimen-related toxicity, can affect many organ systems (Box 2), but painful oropharyngeal mucositis is especially difficult for the patient (Fig. 3) and may necessitate continuous infusion of narcotics and total parenteral nutrition.

Transplantation: countdown to day 0

By convention, pretransplant conditioning days are numbered in a countdown fashion, from day –7 to day 0 (the actual date of transplantation). The days after transplantation are then numbered upward, such that 10 days after the transplant would be day +10. This universal system is useful for describing the timing of events, such as engraftment.

From day 0 until engraftment, the patient’s protective immunity is reduced, and he or she is vulnerable to infection. In part, this vulnerability is due to breaks in the natural mucosal and skin barriers secondary to mucositis and the necessary indwelling central venous catheter, but neutropenia and other immunodeficiencies also contribute to the risk. Febrile neutropenia, an expected occurrence, requires prompt treatment with broad-spectrum antibiotics. In addition, all patients routinely receive antifungal and antiviral prophylaxis.

Throughout the neutropenic period, the patient is confined to a single room equipped to provide the safest possible environment. A positive-pressure room equipped with high-efficiency particulate air (HEPA) filters remains the “industry standard.” Although a strict hand-washing protocol is mandatory, gowns, gloves and masks are rarely required. Restriction to an isolation room is physically and emotionally difficult for patients, and many experience a feeling of isolation, which compounds their natural anxiety.

Engraftment: stem cell function begins

Engraftment is the process whereby the donor cells begin to produce new blood components within the recipient’s bone marrow cavity. In practice, engraftment is said to have occurred when the absolute neutrophil count consistently exceeds 0.5 × 10^9/L. Platelet and red blood cell engraftment generally follows. The patient is supported with blood products until engraftment occurs. Engraftment usually occurs between day +10 and day +20 and is earlier (within this range) when blood stem cells, rather than bone marrow cells, are used. Failure to engraft (primary graft failure or graft rejection) and subsequent irreversible decline of blood counts (secondary graft failure) are serious complications. Fortunately, these conditions develop in less than 5% of recipients, and they are particularly rare after matched-sibling transplant.

Immunoreconstitution: a double-edged sword

Restoration of T-cell and B-cell immunity, which may take 12 months or longer, is critical to the recipient’s recovery process. It is only when the donor’s immune system is fully functional within the recipient that the risk of opportunistic infection decreases to premorbid levels. However, the presence of immunocompetent donor T cells can also lead to the recognition of host tissue as foreign and hence the development of GVHD.

GVHD is classified as acute when it occurs in the first 100 days after transplantation and chronic when it persists or develops after day +100 (see “Chronic GVHD”). Clinically significant acute GVHD occurs in about 40% of matched-sibling and 80% of unrelated-donor transplant recipients. Acute GVHD is characterized by a rash (Fig. 4), hepatic dysfunction, diarrhea and vomiting. Certain patients are at greater risk (Box 3). Grade III and IV acute GVHD is associated with a mortality rate of 80%, although many of these deaths are due to superimposed infection, as a result of both the immunosuppressive effects of GVHD and the medications used to treat the condition (cyclosporine, corticosteroids and antithymocyte globulin). Administration of GVHD prophylaxis is standard practice for transplants involving anyone other than identical twins; a combination of methotrexate and cyclosporine is commonly used. The graft
can also be manipulated to deplete the T-lymphocyte population, but this is associated with a higher risk of infection, graft failure and relapse.\textsuperscript{21} If GVHD occurs, it is initially treated with high-dose corticosteroid therapy, which results in a satisfactory response in 50% to 75% of patients. Despite the morbidity and mortality associated with GVHD, its presence may be desirable in some situations because of its association with a lower risk of recurrence of the malignant disease (graft-versus-tumour effect).\textsuperscript{24}

**The hospital stay and beyond**

The average length of hospital stay for allogeneic transplantation is 5 weeks, but the stay can be much longer if complications develop. Furthermore, 25% of patients require at least one readmission during the first 3 months after transplantation. After discharge, the patient’s care is continued through the daycare unit, where visits are required for blood work (to assess graft function, late regimen-related toxicity and GVHD), transfusion support and administration of prophylactic antimicrobials.

**Risks**

Treatment-related mortality in the first 12 months after matched-sibling stem cell transplantation is about 20% to 30%.\textsuperscript{25} The figure is higher among recipients of an allograft from an unrelated donor, reaching almost 50% at most adult transplant centres.\textsuperscript{26} Patients who do not succumb to transplant-related complications may still have a recurrence of their underlying malignant disease, almost always within the first 2 years after the transplant. The risk of relapse depends on the status of disease at the time of transplantation, but even acute leukemia in first complete remission recurs in 25% of patients.\textsuperscript{26}

**Autologous transplantation**

The rationale for autologous transplantation is that cryopreservation of the patient’s own stem cells allows delivery of high-dose chemotherapy and radiotherapy that would otherwise produce lethal bone marrow suppression. The first 3 phases of autologous transplantation are similar to those described above for allogeneic transplantation, except the donor and recipient are the same person. The fourth phase differs, in that the patient’s immune recovery is more rapid and there is no potential for GVHD.

Before the collection of autologous stem cells, the patient is usually given chemotherapy to debulk the malignant disease. Thereafter, further chemotherapy or stem cell growth factor (granulocyte colony-stimulating factor) is given to stimulate the stem cells to leave the bone marrow and enter the peripheral blood.\textsuperscript{27} The patient then undergoes 1 or 2 (sometimes 3) leukapheresis procedures during which 10 to 20 L of blood are processed; the component containing the stem cells is cryopreserved until it is needed for re-infusion on day 0.

Although stem cells can remain viable after many years of cryopreservation, in practice the patient begins the conditioning regimen within a few days to a few weeks after their collection. As with allogeneic transplantation, conditioning takes about 1 week, after which the cryopreserved stem cells are thawed and infused intravenously (Fig. 5). Because immunoreconstitution occurs more quickly than with allografting, autologous transplantation is better toler-

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**Box 3: Risk factors for acute graft-versus-host disease (GVHD)**

- HLA mismatch between donor and recipient
- Use of an unrelated donor
- Older age of recipient or donor (or both)
- Donor allosensitization by pregnancy or transfusion
- Sex mismatch between donor and recipient
- Use of T-cell-replete graft
- Severe regimen-related toxicity
- Compromised delivery of GVHD prophylaxis*

*If the patient has renal or liver dysfunction or severe mucositis, the dose of medication may be reduced to minimize any toxic effects.

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**Fig. 3**: Oropharyngeal mucositis, which may occur as a result of conditioning before stem cell transplantation.

**Fig. 4**: Rash of graft-versus-host disease.
ated by patients (transplant-related mortality at day +100 is only 5% to 10%). This in turn allows autologous transplantation to be performed in older patients, and some patients are well enough to receive part or all of their treatment in the outpatient setting. Even when admission to hospital is required for autologous transplantation, the stay rarely exceeds 3 weeks.

**Long-term follow-up**

During the initial 3 months after transplantation, recipients are assessed a minimum of once weekly in the outpatient transplant clinic. Thereafter, long-term survivors without serious complications are seen in the specialty clinic every 3 to 6 months. At this point, the primary care physician begins to play a crucial role in long-term care.

The family physician needs to be aware that organ damage from the conditioning regimen, chronic GVHD (see specifics in next column) and immunosuppressive drugs may all lead to an ongoing risk of complications. Infections are of particular concern in allogeneic transplant recipients, may be more severe than in otherwise healthy patients and can involve unusual (or opportunistic) organisms. The use of immunosuppressive agents for many months or years can also predispose allogeneic transplant survivors to a multitude of additional health problems (Box 4). With these factors in mind, recommendations for follow-up care of all stem cell transplant recipients are listed in Box 5.

**Chronic GVHD**

Chronic GVHD is a syndrome unique to allogeneic stem cell transplantation, with manifestations resembling those seen in autoimmune disease (Fig. 6). The highly variable findings may include oral and ocular changes (sicca syndrome), cholestatic hepatic dysfunction and cutaneous scleroderma (Box 6). Chronic GVHD occurs in at least half of HLA-identical sibling transplants, and its presence is a major risk factor for infection. Treatment of chronic GVHD usually begins with a combination of corticosteroids and cyclosporine for a minimum of 6 months, with the use of immunosuppressive drugs further increasing the risk of infectious complications.

**Box 4: Potential medical complications of long-term immunosuppressive therapy**

- Osteopenia or osteoporosis
- Avascular necrosis
  - Hips
  - Shoulders
  - Knees
- Hypertension
- Diabetes mellitus
- Hyperlipidemia
- Accelerated atherosclerotic vascular disease
- Renal insufficiency
- Predisposition to infection
  - Bacterial
  - Viral
  - Opportunistic
- Renal insufficiency
- Myopathy
- Second malignant disease
  - Lymphoma
  - Other
- Depression
- Cosmetic changes
  - Obesity
  - Hirsutism
  - Acne
  - Skin striae

Fig. 5: Patient receiving infusion of autologous stem cell preparation.
Infections, vaccinations and safe living after transplantation

Although patients surviving for 10 years or more after transplantation have near-normal immunity, persistent hypogammaglobulinemia, impaired cellular immunity and splenic hypofunction all contribute to an increased risk of infection for up to 5 years after transplantation, especially in allogeneic transplant recipients with chronic GVHD. Recurrent sinopulmonary infections (e.g., sinusitis, pneumonia, bronchitis) are common in the first 2 years after any stem cell transplant, and reactivation of latent varicella-zoster virus occurs in almost 50% of survivors. The reactivation typically presents as a crusting vesicular dermatomal rash (shingles) 6 to 12 months after transplantation. Prompt treatment with oral antiviral drugs may limit postherpetic neuralgia and can prevent more serious dissemination of disease. Reactivation of cytomegalovirus (CMV), most common in allogeneic recipients who are taking corticosteroids for GVHD, can also be life-threatening. Prophylactic ganciclovir is usually administered to CMV-antibody–positive patients who require corticosteroid treatment during the first 3 to 6 months after allogeneic transplantation. Pneumocystis jiroveci (formerly carinii) pneumonia can occur in both autologous and allogeneic recipients, and prophylaxis with trimethoprim–sulfamethoxazole or intravenously administered pentamidine is usually recommended until 3 months after transplantation for autologous recipients and until discontinuation of all immunosuppressive drugs in the allogeneic setting.

Antibody titres to vaccine-preventable diseases decline during the first 4 years after transplantation; this decline cannot be prevented by pretransplantation vaccination of either an allogeneic donor or a transplant recipient. Recipients are usually immunocompetent by 2 years after transplantation, and many can begin revaccination as early as 1 year after transplantation. Vaccinations are not suggested (and live vaccines are contraindicated) for allogeneic transplant recipients who are receiving immunosuppressive drugs and those with active chronic GVHD. Recommended guidelines for vaccination of patients after allogeneic and autologous stem cell transplantation are presented in Table 1.

Safe-living practices are a common concern for transplant survivors. Food preparation should be meticulous (including washing hands with soap before and after handling food, using separate utensils for cutting meats and other foods, and careful refrigeration of perishable foods), consumption of fresh water should be avoided and sexual practices may need to be modified during the immunoreconstitution phase. Travel to developing countries is not recommended during the first 2 years after transplantation. Although pets are not strictly prohibited, steps should be taken to minimize contact with the animal’s saliva or excrement (e.g., patients should avoid changing litter boxes or cleaning bird cages).

Box 5: Recommendations for follow-up care of stem cell transplant recipients

- Assess for chronic GVHD (see Box 6) every 3 months*
- Complete dental examination every 6 months
- Complete annual physical examination
  - Prostate examination (may include determination of PSA level) (from age 30)
  - Breast examination and mammography (from age 30)
  - Pelvic examination and Papanicolaou smear
  - Thyroid examination
  - Skin examination for premalignant or malignant change
  - Screening for colorectal cancer†
  - Ophthalmologic examination
  - Complete and differential blood count, creatinine level, liver function, TSH level, cholesterol level
  - Serum immunoglobulin levels‡
  - Chest radiography
  - Bone densitometry
  - Assess for menopausal symptoms (may include determination of FSH and LH levels)
  - Assess for cardiac risk factors (may include exercise treadmill test, as needed)

Note: PSA = prostate-specific antigen, TSH = thyroid-stimulating hormone, FSH = follicle-stimulating hormone, LH = luteinizing hormone.
*For allogeneic transplant recipients.
†At a minimum, to include digital rectal examination and stool examination for occult blood.
‡Discontinue when patient has been off immunosuppressive drugs for 12 months.

Fig. 6: Oral lichenoid mucosal reaction and periodontal disease, occurring as part of graft-versus-host disease.
Fertility

Counselling about fertility has become an important issue, given improvements in survival after stem cell transplantation. Infertility in both men and women is the rule after conditioning with TBI-based regimens.41 Pregnancy has been reported, if rarely, in women who have undergone TBI; however, in one study, 6 (37%) of 16 pregnancies that occurred in 13 TBI recipients terminated in spontaneous abortion.42 Although generally not occurring until a number of years after transplantation, recovery of spermatogenesis has also been reported in a minority of men treated with TBI.41 Counselling of patients who have received non-TBI conditioning is less well defined, since preservation of fertility is possible, even likely, when cyclophosphamide alone is used for patients with aplastic anemia. Contraception should be discussed with the patient as necessary; the younger a female patient is at the time of transplantation, the higher the possibility of a successful pregnancy after high-dose chemotherapy or radiotherapy.41 One study found a 25% incidence of preterm labour and low-birthweight infants among women who had undergone marrow transplantation but no increased risk of congenital anomalies.41 Semen analysis in male patients and measurement of follicle-stimulating hormone and luteinizing hormone levels in women can be performed to determine menopausal status and the potential for recovery of fertility.

Second malignant disease

Solid cancers are more common in patients who have undergone either autologous or allogeneic stem cell transplantation than in the general population.46,47 In patients who have undergone autologous transplantation, greater risks of myelodysplastic syndrome/acute myelogenous leukemia (MDS/AML), lymphoproliferative disorders and solid tumors have all been observed.48 Although MDS/AML is not a common problem for allogeneic transplant recipients, the observed-to-expected ratio for solid tumors at 10 years was 8.3.46 The observed-to-expected ratio is significantly greater than that in the general population for cancers of the bone (13.4), oropharynx (11.1), connective tissue (8.0), central nervous system (7.6), liver (7.5) and thyroid (6.6) and for malignant melanoma (5.0).46 The lifetime risk of a solid tumour is highest among young patients who have received TBI-containing conditioning. These studies highlight the importance of regular screening of all stem cell transplant survivors for the development of a second malignant disease (Box 5).

Long-term survival

Information on long-term survival and late deaths in 6700 allogeneic transplant recipients has been reported by the International Bone Marrow Transplant Registry.47 This study found that, for many years after transplantation, the mortality rate in this group was higher than that in the general population, mainly because of recurrent leukemia and complications related to chronic GVHD. Secondary graft failure usually occurs within the first 6 months after transplantation, but very late graft rejection has been reported and may herald disease relapse.18 Although GVHD is not an issue for autologous transplant recipients, these patients may also experience late complications. Progressive restrictive lung disease, premature atherosclerotic heart disease and endocrine deficiencies have all been reported in survivors of autologous transplantation.49 Furthermore, although relapse usually occurs within the first 2 years after transplantation, it has been observed up to 8 years later.

In long-term follow-up studies, the vast majority of transplant survivors have reported good performance status. In allogeneic recipients, the presence or absence of significant chronic GVHD is the main determinant of performance status. In all survivors of stem cell transplantation, fatigue and sexual dysfunction are common complaints, with fear of relapse and supportive care medications being contributing factors.50–51 Physicians caring for survivors need to be aware of these complex medical and psychological issues in order to provide the support required.

Recent developments: cord blood and “mini” transplants

Over the past 2 decades, significant medical advances have led to a reduction in morbidity and mortality among
recipients of stem cell transplants, and new techniques have further increased the recipient pool. The successful transplantation of unrelated umbilical cord blood cells from central storage facilities was one of the most exciting developments of the 1990s. Part of the interest in umbilical cord blood as a stem cell product is the fact that, before its widespread collection for cryopreservation and banking, it had no known use and was usually discarded. However, cord blood provides an essentially unlimited supply of donors, and these donors appear to possess an immature (and therefore more tolerant) immune system, which allows for a greater degree of mismatching between donor and recipient.10,11 A drawback to cord blood transplantation is that the number of stem cells in the product is relatively low for a large recipient (e.g., an older child or an adult). As a result, the vast majority of successful cord blood transplants have been done in small children; nonetheless, some adults have become long-term survivors.12

In the late 1990s, investigators at the MD Anderson Cancer Center reported success with a new stem cell transplantation procedure referred to as a non-myeloablative or “mini” transplant.13 This procedure uses a mild conditioning regimen that is more tolerable for older patients and those with concurrent illnesses. The goal of this approach is not to destroy the patient’s cancer with the usual high-dose treatment but rather to suppress the recipient’s immune system enough to allow a donor’s stem cells to engraft and slowly generate a “graft-versus-tumour effect.” Mini-transplants are now in widespread use, and although their potential to cure some malignant diseases is supported by relatively short-term follow-up, it is clear that the procedure is not without risk. Further investigation of mini-transplants is required before their role in stem cell transplantation for the treatment of hematologic disease can be defined.

Conclusions

Hematopoietic stem cell transplantation is commonly used for the treatment of hematologic malignant disease. Primary care physicians should be aware of the potential complications of the procedure. Many changes in stem cell transplantation have occurred over the past 3 decades, and further refinement will occur. Up-to-date information for physicians, patients, and donors is available through the Web site of the Leukemia/Bone Marrow Transplant Program of British Columbia (www.vch.ca/bmt).

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Table 1: Vaccination schedule for recipients of hematopoietic stem cell transplants

| Vaccine                           | Time after transplantation |
|-----------------------------------|-----------------------------|
|                                   | 12 mo | 14 mo | 24 mo |
| **Inactivated vaccine or toxoid** |       |       |       |
| Tetanus–diphtheria toxoid*        | ✓     | ✓     | ✓     |
| Hemophilus influenzae b           | ✓     | ✓     | ✓     |
| Hepatitis B†                      | ✓     | ✓     | ✓     |
| 23-valent pneumococcal polysaccharide | ✓     | NA    | ✓     |
| Influenza‡                        |       |       |       |
| Inactivated polio                 | ✓     | ✓     | ✓     |
| Hepatitis A                       | NI    | NI    | NI    |
| Meningococcus                     | NI    | NI    | NI    |
| **Live-attenuated vaccine**       |       |       |       |
| Measles–mumps–rubella§            | CI    | CI    | ✓     |
| Varicella vaccine†                | CI    | CI    | CI    |

Note: NI = not indicated, NA = not applicable CI = contraindicated. Reprinted, with modifications, from Biology of Blood and Marrow Transplantation (2000;6:659-734), with permission of the American Society for Blood and Marrow Transplantation.

*After the first 2 years, recipients should be revaccinated with tetanus–diphtheria toxoid every 10 years.
†Recommended because patients may require transfusion support in the future.
‡Hifu. Seasonal administration is recommended, beginning before transplantation and resuming at some point more than 6 months after transplantation.
§Use of live vaccines is indicated only for immunocompetent patients. The first dose of measles–mumps–rubella vaccine is given at 24 months, and the second dose is recommended 6–12 months later.
¶To protect the transplant recipient from varicella exposure, all varicella-susceptible close contacts of the recipient should be vaccinated against varicella.
