Aluminium pigments are used in industrial and decorative coatings, automotive industry and printing inks. The encapsulation of aluminium pigments is used because the industry wants to avoid the organic solvents, for environmental reasons, by shifting towards water-borne systems. However, aluminium pigments easily react with water and a large amount of hydrogen is released leading to degradation of optical properties. This work presents experimental results concerning the encapsulation of aluminium pigments in silica matrices, by a sol-gel method using TEOS as precursor and ethylendiamine or ammonia as catalysts for the hydrolysis and condensation reactions. The samples of encapsulated pigments were characterized by gas stability tests: a boiling method and a gassing method.

Keywords: aluminium pigments, coatings, encapsulation, sol-gel process

The aluminium pigment

The paints industry uses natural and synthetic pigments to provide a large spectrum of colours and a variety of finishes. The pigments have the purpose to provide the colour, the gloss, the opacity, corrosion inhibition and fireproofing properties of the paint. Additionally, the pigments increase the solid content of the coating or help the binder to stick.

The pigments can be organic or inorganic. The organic pigments are glossy, stable, have good transparency and great tinting strength. The inorganic pigments are cheaper than organic pigments, are heat and light stable and are used for corrosion protection. Also, the inorganic pigments are used to make specialty products (for example, with anticorrosion properties, black and white, or metallic appearance). Metal effect pigments [2] consist of small metal pellets (aluminium, titanium, copper, zinc, bronze) which act like little mirrors and reflect the incident light [8]. Another special effect pigment is pearl lustre pigment that simulates the lustre of natural pearls. This type of pigments alternates transparent layers with different refracting indices [8].

Aluminium pigments are widely used in the paints industry. They are manufactured (fig. 1) by milling of atomized aluminium powder, in a ball mill, with mineral spirit/white spirit and fatty acids [9-11]. The particles are shaped into very thin flakes, which enable superb hiding power and dispersion into various paints simply by mixing and blending. The lubricating additives determine the wetting behaviour of the pigment. When stearic acid is used, the metallic flakes float on the wet film, building a layer on the film surface (leafing pigments). This creates a characteristic metallic effect. The disadvantage of leafing effect is poor recoatability and low abrasion and corrosion resistance. When unsaturated fatty acids such as oleic acid are used as additives, the pigments are fully wetted and distribute homogeneously throughout the paint film (non-leafing pigments). Non-leafing pigments are better protected from abrasion and corrosion and can be easily over-coated. The metallic effect is based on the reflection of light on the smooth surface of the pigment. However, light scattering occurs at the edges of the flakes and due to the micro-roughness of the pigment surface.
The optical appearance of the pigment is affected by following factors [12]:
- particle size (described as average diameter, D50); large particles promote brilliance. Fine particles give a more homogeneous effect due to light scattering, but the paint appears darker. Typical sizes are 8-25μm for usual applications, but can be as small as 3μm for offset printing and as large as 50μm for high sparkle effect.
- particle shape/pigment morphology.
- particle size distribution. Fine and very fine particles lead to loss of metallic appearance, but too many coarse particles have a detrimental effect on the visual effects and during the application process.
- pigment orientation. The best metallic effect is obtained when the flakes arrange parallel to the surface [13]. A decisive role is played by the paint formulation and application conditions [14]. The pigment orientation leads to the flop-effect [15], meaning that the maximum brilliance is observable close to the gloss angle, while the object appears darker when viewed from a different angle. Three-dimensional objects such as car bodies appear much more sculpted and of higher quality.

In addition to optical properties, other requirements are related to mechanical properties [15], humidity resistance, intercoat adhesion, electrical resistance (in automotive parts [16]), shock-proof (in TV cabinet coatings), chemical resistance (in consumer electronics, appliances, automotive interior), weather resistance (for external use). Numerous aesthetic, physical and chemical requirements make the difference between low- and high-value pigments. This short review clearly shows that aluminium pigments are complex products which must be carefully manufactured [17]. Their properties are determined by many characteristics of the raw material (for example, diameter and morphology of the atomized aluminium powder [18]), the auxiliary materials (such as composition of the white spirit used during milling, content of oleic or stearic acid in the fatty-acid additive, [19, 20]) and the detailed process conditions (for example solvent content of the filter cake).

Traditionally, aluminium pigments are used in solvent-borne formulations. However, the concerns about the human health and environment have pushed the industry to develop new environment-friendly products, such as water-based coatings. The problem is that AP reacts with water and a large amount of hydrogen is released. This is a very dangerous reaction which also damages the metallic appearance. Chemical reactions that may take place in a neutral, acid or base are the following [5, 21].

\[
\begin{align*}
2Al + 3H_2O &\rightarrow Al_2O_3 + 3H_2 \tag{1} \\
2Al + 6H_2O &\rightarrow 2Al(OH)_3 + 3H_2 \tag{2} \\
2Al + 6H^+ &\rightarrow 2Al^{3+} + 3H_2 \tag{3} \\
2Al + 2HO^+ + 6H_2O &\rightarrow 2[Al(OH)_3]^+ + 3H_2 \tag{4}
\end{align*}
\]

There are two ways to avoid these reactions [22]. One is by absorbing appropriate inhibitors on the surface of the aluminium pigment. Examples of inorganic inhibitors are vanadates, molybdates, phosphates, chromium (IV) complexes or other heavy metal based compounds [11, 21-23]. Using the inorganic inhibitors is an economical alternative for stabilization the aluminium pigment in aqueous media [24]. The drawback is that heavy metal compounds are toxic and carcinogenic [3]. For this reason, they are less and less used in the surface treatment methods [22]. Examples of organic inhibiting agents are low molecular-weight substances (phenols and aromatic acids), surfactants (alkyl phosphates, other anionic amphiphiles) and high molecular-weight compounds (polyelectrolytes). They contain a functional group that interacts specifically with the aluminium surface [25]. Du et al. [26] reviews the kinds of corrosive inhibitors used and their action mechanisms. Protective values of more than 99% were observed. Despite the very large number of organic inhibitors reported in the literature, their practical applicability is limited: the protective action is restricted to slightly alkaline medium (pH = 8 – 10); most of the organic inhibitors are expensive; no attention was paid to the optical, electrical and mechanical properties that are very important to practical applications.

A less toxic alternative is the encapsulation of the aluminium pigment [27], which involves the formation of a polymer protective layer around the aluminium flake [28].

**Encapsulation methods**

The encapsulation of aluminium pigment can be achieved using inorganic, organic or inorganic-organic polymers [29]. To encapsulate with organic polymers, in-situ polymerization is used. For inorganic and inorganic-organic encapsulation, the environment-friendly sol-gel process is used.

**Sol-gel process**

Generally, the sol-gel method is used to synthesize an inorganic nanostructure network by hydrolysis and condensation reactions of a silane. During the process, covalent bonds are formed between substrate surface and the inorganic nanostructure [22]. This process is strongly influenced by temperature and pH [30].

The precursors used for sol-gel process are alkoxides, amongst which tetraethoxysilane (TEOS) is the most used one [31]. TEOS is a special case of silane because it forms a SiO₂ network without organic modification [32]. For stabilization the aluminium pigment. Examples of inorganic inhibitors are vanadates, molybdates, phosphates, chromium (IV) complexes or other heavy metal based compounds [11, 21-23]. Using the inorganic inhibitors is an economical alternative for stabilization the aluminium pigment in aqueous media [24]. The drawback is that heavy metal compounds are toxic and carcinogenic [3]. For this reason, they are less and less used in the surface treatment methods [22]. Examples of organic inhibiting agents are low molecular-weight substances (phenols and aromatic acids), surfactants (alkyl phosphates, other anionic amphiphiles) and high molecular-weight compounds (polyelectrolytes). They contain a functional group that interacts specifically with the aluminium surface [25]. Du et al. [26] reviews the kinds of corrosive inhibitors used and their action mechanisms. Protective values of more than 99% were observed. Despite the very large number of organic inhibitors reported in the literature, their practical applicability is limited: the protective action is restricted to slightly alkaline medium (pH = 8 – 10); most of the organic inhibitors are expensive; no attention was paid to the optical, electrical and mechanical properties that are very important to practical applications.

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In the first step, the alkoxide hydrolyses and silanols are formed. Then, the condensation reaction takes place, where water is eliminated and siloxanic bonds are formed. Then, the macromolecules are linked with the substrate by hydrogen bonds. Finally, more water is removed and the pigment encapsulated in silica layer is obtained.
Eqs. (5-7) represent the hydrolysis and condensation of the alkoxides.

**Hydrolysis**

\[ -Si-OH + H_2O \leftrightarrow -Si-OH + ROH \]  
(5)

**Si-bonding, water forming condensation**

\[ -Si-OH + -Si-OH \leftrightarrow -Si-O-Si-OH + H_2O \]  
(6)

**Si-bonding, alcohol forming condensation**

\[ -Si-OR + -Si-OH \leftrightarrow -Si-OR + -Si-OH \]  
(7)

Eqs. (8-9) are the reactions between the hydrolysed / non-hydrolysed silane and metal.

**Al-bonding, water forming condensation**

\[ -Si-OH + Al-OH \leftrightarrow -Si-O-Al + H_2O \]  
(8)

**Al-bonding, alcohol forming condensation**

\[ -Si-OR + Al-OH \leftrightarrow -Si-OR + Al-OR \]  
(9)

**Inorganic encapsulation of aluminium pigments**

The inorganic route is dominated by coating with silica, leading to aluminium pigments that are comparable in performance to chromate-inhibited pigments and offering a heavy metal-free alternative [25].

**Table 1**

| **Precursor**                                | **Main results**                                                                 |
|----------------------------------------------|----------------------------------------------------------------------------------|
| Tetraethoxyllane (TEOS), [3, 6]              | -corrosion protection factor: 99.3%                                               |
|                                              | -small change of the average grain size after coating                              |
|                                              | -characterization by FTIR, EDS, SEM, AFM, BET                                       |
|                                              | -the effects of medium of reaction, adding sequence of catalyst and number of coating were investigated. Stability tests confirmed improved corrosion resistance. |
| Tetraethoxyllane (TEOS) Tris(2-methoxyethoxy) vinylsilane (VTMOEO), [7] | | |
|                                              | -water-ethanol solution                                                             |
|                                              | -the influences of the precursor, catalyst and solvent dosage, as well as the reaction temperature and reaction time on the corrosion inhibition efficiency were investigated. |
|                                              | -corrosion inhibition efficiency reached 99.2% in alkaline media of pH = 11        |
|                                              | -characterization by SEM, FTIR, EDS, XPS                                           |
| Tetraethoxyllane(TEOS) Vinylmethylsiloxane(VTES) [31, 25] | -a dense netlike layer is formed on the surface of aluminium pigments, where TEOS and VTES are successfully bonded to Al surface. New Si-O-Al groups are observed. |
|                                              | -corrosion protection efficiencies of 99.8% at pH = 1 and 99.9% at pH = 11.        |
|                                              | -characterization by FTIR, SEM, XPS                                               |
| Tetraethoxyllane (TEOS) Hexadeceyltrimethoxyllane Dimehyldimethoxyllane [22] | -aluminium flakes were coated with a silica layer (TEOS as precursor), followed by condensation of hexadeceyltrimethoxyllane or dimethylidimethoxyllane. |
|                                              | -anticorrosive properties in alkaline solutions and under boiling water conditions. |
| Tetraethoxyllane (TEOS) Titanium tetrabutoxide (TBT) [27] | -aluminium pigments coated with SiO2 and then with TiO2. |
|                                              | -the stability of the product in acid was examined by measuring the volume of generated hydrogen |
|                                              | -properties characterized by FTIR, SEM and EDS.                                    |
The sol/gel process [33] provides pigments with long-term stability in water-based coatings and excellent optical properties. No degradation under high shear stress was observed, a property which is important in the automotive industry. Moreover, the silicate-coated pigments show an excellent weather fastness and good adhesion when the pigmented coatings are exposed to humidity tests [34].

During encapsulation, a polymer layer is formed at the surface of the aluminium pigment. When the silanes contain reactive groups such as vinyl, amino, epoxy or thiol, the inorganic layer can promote attachment of organic polymers to the metallic surface.

Several alkoxides were used as precursors. The reactions were carried on in the presence of ethylenediamine as catalyst, in water-ethanol solutions. Good corrosion properties were observed. Table 1 presents an overview of the results reported in the literature.

For industrial applications, the cost, the availability and the toxicity of raw materials is very important. Therefore, TEOS has potential for large scale applications, while the other alkoxides could be used as precursor in small scale or very special applications. The next sections give few details about other encapsulation methods.

### Organic encapsulation of aluminium pigments

The emulsion polymerization-like process for the encapsulation of commercial aluminium was suggested as a way to improve the performance of aluminium pigment in waterborne coatings [36]. Analytical investigations by SEM, TEM, STEM-EDX, XPS, and TOC were performed and revealed the coagulation of polymer particles on the pigment surface. Moreover, increased stability of the aluminium pigments in the presence of alkaline water was demonstrated [36].

### Inorganic-organic encapsulation of aluminium pigments

Materials in which a layer of organic material surrounds an inorganic particle core have been investigated as a means to improve the stability and surface chemistry of the core particle. They aim to circumvent a) poor compatibility of SiO₂ coatings with resins and organic compounds found in water-borne coatings; b) poor adhesion of the organic polymer to the metallic surface. Because of their unique properties, the fabrication of core-shell particles is attracting a great deal of interest [44]. Table 3 summarizes the results concerning encapsulation of aluminium pigments in composite matrices.

#### Table 2

| Polymer | Main results |
|---------|-------------|
| Acrylic resin (containing hydroxyl groups) [37] | After removal of the fatty acid from the surface of aluminium particles by washing with ethanol solution, aluminium particles can be encapsulated in hydroxyl group-containing acrylic resin by chromatography. The encapsulated aluminium pigments have excellent adhesive performance in the paint film. |
| Polyacrylic acid [38] | Composite particles were prepared by in situ polymerization. The influence of dosage of acrylonitrile, amide, isopropyl and water, as well as reaction temperature and reaction time, on corrosion inhibition efficiency and gloss were investigated. Maximum corrosion inhibition efficiency was 99.7%. Using TGA, SEM, laser particle size analysis, FTIR and XPS, polycrylic acid was found to be coated on the surface of clear aluminium particles. |
| Poly(methyl methacrylate) (PMMA) [39] | PMMA: flaky aluminium composite particles were prepared by in situ emulsion polymerization of methyl methylacrylate (MMA), as demonstrated by FTIR and EDS. Evolved hydrogen detection showed that corrosion resistance of aluminium pigments had been remarkably improved. |
| Poly(methyl methacrylate) (PMMA) [40] | PMMA coating was applied on aluminium pigment particles by polymerization of MMA. A surfactant (sodium dodecyl sulfate) is first adsorbed on the aluminium pigment surface and a hydrophobic monomer and initiator (benzoyl peroxide) is subsequently solubilized inside the hydrophobic domain of the surfactant aggregates that cover the pigment. PMMA was formed on the pigment particles. The amount of organic material was up to 24% of the particle weight. Good protection from an alkaline solution was obtained. |
| Poly(methyl methacrylate) (PMMA) [41] | With ammonium peroxoate as the initiator, the kinetics of grafting polymerization onto flaky aluminium powder was studied. The experimental apparent grafting polymerization rate was determined and the activation energy of grafting, homogeneous and total polymerization rates were calculated. |
| Poly(methyl methacrylate) (PMMA) [42] | PMMA grafted aluminium powder was prepared by surface-initiated in situ polymerization. The process was divided into two steps: adsorption of the initiator and polymerization of the monomer. The percentage of adsorption and adhesion efficiency were 31.3% and 52.9%, respectively. |
| Poly(trimethyloxy)propane triacrylate) aluminium [42, 43] | Composite particles were prepared by in situ polymerization. The effect of monomer concentration, initiator concentration and feeding mode on conversion, percentage of grafting and grafting efficiency was studied. It was found that poly (trimethyloxy)propane triacrylate) had been successfully grafted on the surface of flaky aluminium through chemical bond. Evolved hydrogen detection and pulling experiments showed that the corrosion resistance and adhesive property of composite particle had been markedly enhanced. |
Experimental part

Materials and methods

The encapsulation experiments were performed on aluminium pigments produced by Alba Aluminiu Ltd. Before encapsulation experiments, the AP were washed with isopropanol and dried at 80°C. The non-leaching special pigment used is commercially available under the name of Alba Aluminiu Metal 50. It shows a metallic effect, given by the pigment capacity to change the tint depending on the angle of view. This characteristic is called flop effect. The particles shape of Metal 50 product is cornflake. Metal 50 has an average grain size, D50 ~21 µm, being used for auto refinishing, auto parts and accessories, plastics, metallic carpentry.

Tetraethoxysilane (TEOS) 98%, ammonia 25%, ethylene glycol butyl ether 99% from Sigma Aldrich Ltd, and isopropanol 99.9% from Chimreactiv Ltd were used as received.

The encapsulation process

The aluminium pigment and TEOS dispersed in isopropanol was introduced into a three-neck round-bottom flask which was connected to a condenser, a thermometer and a stirrer (fig. 3). Several experiments were performed, with Al/TEOS mass ratio ranging from 1:0.5 to 1:1.5. The flask was heated in a thermostatic bath, at temperature between 30 and 60°C. After heating, the basic catalyst (ammonia or ethylendiamine) was added. The reaction takes place, the encapsulated pigment is separated by decanting and dried under vacuum at 80°C. The encapsulated aluminium pigments can be characterized by physical and industrial methods.

Physical characterisation methods

The physical characterization methods include:

- Scanning Electron Microscopy (SEM), which uses a focused beam of high-energy electrons to generate a variety of signals at the surface of solid samples. The signals that derive from electron-sample interactions reveal information such as: morphology, chemical composition, crystalline structure and orientation of materials making up the sample. The differences between the untreated aluminium pigment and encapsulated aluminium pigment can be observed. In this work, the SEM analysis were performed using a Vega 3 LMH model equipped with SE, BSE detectors and EDX spectrometer, produced by Tescan. It is a fully PC controlled SEM with conventional tungsten heated cathode working in high vacuum.

- Transmission Electron Microscopy (TEM), a method that reveals the thickness of the polymer layer on the aluminium pigment surface.

- Fourier Transform Infrared Spectroscopy (FTIR) is a technique that gives an infrared spectrum of absorption or emission of a sample. The spectrum of an encapsulated aluminium pigment shows the vibration bands for Si-O-Al, Si-O-Si and Si-OH bonds.

- Thermogravimetry Analysis (TGA) is a method that measures the changes in physical and chemical properties of the sample as temperature is increased. This method offers information about temperature stability of the layer around the aluminium flake.

It should be emphasized that the hiding power of aluminium pigments is due to their ability to reflect the incident light, which confers opacity to the system in which they are embedded. For this reason, a quick but important test used by industry consists in comparing the hiding power of the encapsulated AP with the hiding power of the original (raw material) AP.

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b. Physical characterisation methods

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Aluminium pigments easily react with water and a large amount of hydrogen gas is released. It is a must to avoid...
this reaction and it is very important to measure the quantity of hydrogen produced. From the industry point of view, characterizing the stability of encapsulated aluminium pigments is a very important issue. The stability test, which measures the quantity of hydrogen released in a certain period of time, will be described in the following section.

c. Stability testing methods

The industrial standard for testing the stability of aluminium pigments, as applied by Alba Aluminiu Ltd, is known as the gassing method (fig. 4). The encapsulated aluminium pigment dispersed in the water-based coating is added in a flask, which is heated in a water bath. The flask is connected to a two-chambers recipient. The lower chamber is filled with water. When hydrogen is released due to the chemical reaction, it forces the water from the lower to the upper chamber. This method measures the released hydrogen in 7-14 days at a temperature between 40-60°C.

The drawback of this method is the long analysis time required. This is inconvenient for the research activities, when many samples are prepared and must be tested. Therefore, a simple boiling method was set-up as a fast screening tool for checking the stability of encapsulated samples (fig. 5). Encapsulated AP dispersed in butyl glycol is added into a two-neck flask with water inside. The mixture is heated to high temperature (for example, 95°C), which is controlled by means of a thermostat. The flask is on top of a magnetic stirrer and has attached a thermometer to measure the temperature and a condenser for the water vapors. The condenser has attached a tube filled with water which connects to a storage tank that allows to measure the volume of water displaced by hydrogen.

Due to higher temperature, the rate of aluminium-water reaction is much larger compared to the gassing method. Therefore, measurable volumes of hydrogen are released in a short time, which makes the method suitable for quick testing of the AP stability. Note that, for the same amount of released hydrogen, the measured volume is temperature-dependent. Therefore, when the stability of different Al-pigment samples is compared, the stability tests should be performed at the same temperature, or the temperature must be measured and the volumes corrected accordingly.

Results and discussions

Encapsulation

The mechanism of the encapsulation process is presented in figure 2. The important parameters that influence this process are pH and temperature, which determine the crosslinking and the silica layer thickness. Basic catalysts such as ammonia or ethylenediaime where used to adjust the pH value.

The encapsulated sample were analysed by SEM to reveal the morphology of the flakes after encapsulation. Figure 6 shows the difference between raw material and encapsulated AP. It can be seen that the encapsulated sample is like a cloud, meaning that a silica layer was formed around the aluminium flake.

After the encapsulation process is finished, a very quick and useful test checks the hiding power of the encapsulated pigment. If the hiding power is good, it means that the opacity of the paint is good, and it can be used to cover a substrate. Figure 7 shows the difference between the hiding power of the raw material and of two
encapsulated samples, one with good hiding power and the other one with poor hiding power. In the right example, it can see that the opacity of the paint is insufficient to hide the substrate.

**Stability**

The result of gassing stability test in water-based coating performed by Alba Aluminiu Ltd was that encapsulated aluminium pigment releases 5 mL of hydrogen in one week. Comparatively, aluminium pigments protected by heavy-metal inhibitors release about 15 mL of hydrogen in one week.

The results of boiling stability test are presented in the figure 8. The plots show the amount of hydrogen released by 1g of pigment during time; it can be observed that a large quantity of hydrogen is released because the pigment is unstable in water (left). Also, the release of hydrogen is faster at higher temperature. The stability test for encapsulated aluminium pigment was performed at 95°C. The plot (right) shows that the encapsulation greatly enhanced the stability of the pigment. Also, the stability of aluminium pigment protected by encapsulation is as good as the stability of the aluminium pigment protected by heavy-metal inhibitors (right).

**Conclusions**

Environment-friendly aluminium pigments that can be used in water-based coatings can be obtained encapsulation in a SiO<sub>2</sub>, inorganic polymer. The encapsulation is based on sol-gel process which is an economical technology that is suitable for industrial applications. From the environment point of view, the solvent (isopropanol, n.b.p. 82.6°C) and the catalyst (ethylenediamine, n.b.p. 116°C) can be easily recovered solvent (isopropanol, n.b.p. 82.6°C) and the catalyst (ethylenediamine, n.b.p. 116°C) can be easily recovered by distillation and re-used in the process.

The boiling stability test is a quick method that has good, reliable results which are confirmed by industrial stability method. The stability of the SiO<sub>2</sub>-encapsulated aluminium pigment is the same as the stability of the aluminium pigment inhibited with toxic and carcinogenic heavy-metal compounds.

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Fig. 8. Results of boiling stability tests. The stability of raw material at different temperature (left) and the stability of protected AP at 95°C (right)
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