CONSTRUCTING SUBDIVISION RULES FROM ALTERNATING LINKS

BRIAN RUSHTON

Abstract. The study of geometric group theory has suggested several theorems related to subdivision tilings that have a natural hyperbolic structure. However, few examples exist. We construct subdivision tilings for the complement of every nonsingular, prime alternating link. These tilings define a combinatorial space at infinity, similar to the space at infinity for word hyperbolic groups.

1. Introduction

This work grew out of a conjecture by Cannon that connects two definitions of a hyperbolic group. Specifically, he conjectured that every word hyperbolic group with a 2-sphere at infinity acts properly discontinuously and cocompactly by isometries on hyperbolic 3-space. Cannon and Swenson [4] were able to reduce this problem to showing that a certain subdivision rule associated with the hyperbolic group is conformal. If we can understand when a subdivision rule is conformal, we can solve this problem.

Recall that a subdivision rule is essentially a set of planar tiles with a combinatorial rule for each tile that subdivides it into smaller tiles. They are defined more rigorously and discussed in detail in [3].

Every hyperbolic 3-manifold group has a conformal subdivision rule [4]. Unfortunately, there are not many explicit examples of subdivision rules associated with hyperbolic groups or with 3-manifold groups. Our purpose is to give an explicit subdivision rule for every prime alternating link complement. While these manifolds are not compact, their study may give hints on how to proceed.

These subdivision rules are interesting in their own right, as they allow us to construct the universal cover of the manifolds (and thus the Cayley graph of the fundamental group) in a directly geometric way. They provide linear recursions for calculating growth functions, and help us visualize the long-term behavior of an infinite group. They are a geometric analogue of power series, in that an infinitely complex object (such as an analytic function or the space at infinity of a group) can be modelled as the limit of simpler objects (such as polynomials or subdivisions of the sphere).

As a final note, subdivision rules for alternating links seem somehow to help us visually distinguish between Thurston’s eight model geometries. For instance, the subdivision rules for the Hopf link, the trefoil, and the Borromean rings are clearly different, with properties suggestive of their $E^3$, $H^2 \times R$, and $H^3$ geometries, respectively. This is discussed in more depth in Section 6.

2. The Complement of a Link

There is a well known decomposition of every non-split alternating link complement into two ideal polyhedra (for instance see [3] or [2]). The boundary of each polyhedron has edges and faces corresponding to the alternating diagram we begin with; different diagrams can give different decompositions. We assume that all alternating diagrams are reduced. A diagram is reduced when no circle in the plane intersects the diagram transversely at a single crossing and nowhere else [1].
One of the more useful properties of this decomposition is that the faces can be oriented in a checkerboard fashion, so that a clockwise face only borders counterclockwise faces, and vice-versa. In fact, this orientation can be used to describe the gluing map between the polyhedra: each face on one polyhedron is glued to the corresponding face on the other polyhedron after a single twist, the direction of the twist agreeing with the orientation. See Figure 1.

Also, we truncate the ideal polyhedra. Thus, every ideal vertex is replaced with a new square face that is not identified with any other face under the gluing map (see Figure 2).

When we glue these new polyhedra together, the squares form the boundary of a compact manifold with boundary, namely the complement of an open tubular neighborhood of the link. We refer to these squares as ‘truncation squares’.

We mention one more property of an alternating link. Recall that a link is split if there is a 2-sphere in its complement separating one component of the link from another. A link is composite if there is a 2-sphere that intersects the link exactly twice, and the two pieces of the link thus separated are non-trivially knotted. A link that is not composite is prime. Menasco showed that given a reduced alternating diagram of an alternating link, the link is split if and only if the diagram is split (i.e. has more than one component). Also, the link is prime if and only if the reduced diagram is prime. A diagram is prime when no two regions share more than one edge.

3. The Universal Cover and Replacement Rules

Our main goal is to study the space at infinity of the fundamental groups of these link complements. Although these link complements all contain a copy of \( \mathbb{Z} \times \mathbb{Z} \) and are therefore not hyperbolic in the sense of Gromov,
they still have a space at infinity in a geometric sense. More specifically, the universal cover will give us a sequence of approximations to this space at infinity. We construct the universal cover by starting with one half of the link complement, gluing on copies truncated polyhedra recursively and looking at the boundary of balls of constant word length. These boundary spheres, and the tilings on them, will approximate the space at infinity. We illustrate the following process using the Hopf link, shown in Figure 3. Note that when we truncate vertices, an ideal polyhedron for the Hopf link is a cube.

To get the recursive subdivision rule, we need to look at the combinatorics of the gluing map. In the original decomposition of alternating links, each face is identified twice, each edge is identified 4 times, and the vertices have been deleted, leaving a cusp, i.e. an ideal vertex. When we truncate ideal vertices, each edge on the new truncation square is identified twice, and each new vertex four times. These edges on the squares will be referred to as ‘truncation edges’. All edges coming from the original diagram will be referred to as ‘link edges’.

As we build the universal cover, the covering map rules will cause some collapsing. The universal cover is dual to the Cayley graph; if we continue to glue a single polyhedron on each open face, we would get the Cayley graph of a free group. These link complement groups are not free, so the relators of these groups will cause some collapsing as we build the Cayley graph. The dual notion to relators is the local homeomorphism condition. Each edge in the manifold locally meets four polyhedra, so each edge in the universal cover must meet four polyhedra.

Our initial polyhedron will have as many faces and link edges as the alternating diagram we began with, along with a truncation square for each vertex of the alternating diagram. We glue a distinct polyhedron onto each non-truncation face of the original polyhedron. So far no other gluings are required by the local homeomorphism conditions. This is shown in Figure 4.

Each link edge on the initial polyhedron has now had two other edges identified to it, one from the face on either side. These edges can only be identified one more time. Such edges will be called ‘loaded’. Throughout this paper, loaded edges are indicated by dotted lines.

We will refer to every face that is not a truncation square as a region. When we glue a half of the link complement onto a region and project, the new regions we added inside of the old one will be called subregions. Those that touch the boundary of the old region will be called edge subregions, and all others are interior subregions. In Figure 4, the cube with faces C and D was glued onto face B. In this example, C is an interior subregion and D is an edge subregion of the region B.

In the next stage, we again glue a polyhedron onto every open face, but now we have ‘loaded pairs’, two faces that share a common loaded edge. We glue a single polyhedron onto both regions of a loaded pair. See Figure 4. Again, all new regions are called edge subregions if they touch the boundary of the loaded pair, and interior subregions otherwise. In Figure 5 every subregion of the loaded pair consisting of D and E is an edge subregion.
The truncation edges are never considered loaded. They identify twice and are never covered up by newer pieces of the link complement.

Note that in Figures 4 and 5 all visible faces fall into three categories: truncation squares (like region A), regions with no loaded edges (like regions B and C), and pairs of regions sharing a loaded edge (like D and E). This is just one instance of a more general phenomenon:

**Theorem 1.** In each stage of constructing the universal cover of a prime, alternating link, a region can have at most one loaded edge.

*Proof.* This proof is inductive. A subregion has loaded edges exactly when it touches the boundary of a region being subdivided. Therefore, we need only show that no subregion touches more than one boundary edge.

Let $R$ be a region with no loaded edges. Place a half of the link complement on it. The interior subregions are free from loaded edges, by definition. Each of the edge subregions touches the boundary in at least one
edge. By primeness of the link, an edge subregion cannot touch the boundary in more than one edge. This follows from the last paragraph of Section 2. So the replacement rule creates only subregions with one loaded edge or none in this case.

Now let’s pass to regions with loaded edges. Since we place a single chunk of the link complement on two such regions, consider a pair of loaded regions at a time. Let \( R_1 \) and \( R_2 \) be two regions that share a loaded edge. Then place a half of the link complement on the two regions. In this case, \( R_1 \) and \( R_2 \) are treated as a unit, with a common boundary formed by the non-loaded edges of the two regions. This can be seen in Figure 5 when a single cube covers up both D and E. Assume a subregion \( L \) has two loaded edges. Then the edges it touches belong to \( R_1 \) or \( R_2 \). If they both come from a single region, for instance, \( R_1 \), we violate the primeness condition. If it touches one edge of each, then we get a contradiction due to orientation: \( L \) must have an orientation opposite of both \( R_1 \) and \( R_2 \), yet \( R_1 \) and \( R_2 \) have opposite orientations. Thus, even in the loaded case, only subregions containing one or zero loaded edges are created. \( \square \)

4. Subdivisions With Boundary

The patterns described in the last section are not exactly subdivision rules. A subdivision rule is defined by a set of closed polygonal tiles, with a rule for subdividing each tile into smaller tiles which are allowed to overlap on their boundaries. If two tiles share an edge, then their subdivisions must agree on that edge, dividing it into the same number of pieces. What we currently have are not subdivision rules, but replacement rules; i.e. some lines are covered up and erased as we go along. In a subdivision rule, every line stays put forever, and we just draw over them, so that every new stage is a refinement of the previous one. This is what makes subdivision rules powerful; the subdivisions approach a limit of sorts.

To get a subdivision rule from a replacement rule, we can simply homotope some of the fresh, unloaded edges to be drawn directly over the loaded edges. Thus, the loaded edges need not be erased. Every loaded edge is bordered by two edge subregions, one on either side. We can pick one of the two regions and merge it into the other region by homotoping the non-loaded part of its boundary onto the loaded edge as in Figure 6.

![Figure 6](image_url)

**Figure 6.** This picture illustrates how the lines of an edge subregion move to replace the loaded edge in a general link. Lines a, b, and c all move over in this example.

If we can find an assignment of regions so that every loaded edge is covered, we will have a subdivision rule. We are now ready to prove our main theorem.

**Theorem 2.** Every prime, non-split alternating link admits a subdivision rule with boundary.

**Proof.** Fix an orientation on the regions of the truncated polyhedron associated to the alternating link. Assign the non-loaded edges of every clockwise edge subregion at each stage to replace the loaded edge. Since exactly one region on either side of an edge is clockwise, this is well-defined at that edge. Now, we have to worry if it is globally well-defined; in particular, if two edge subregions that move happen share an edge or an interior vertex, then their movement must agree on their common vertex or line. And if a
subregion has more than one loaded edge, we can’t use that subregion’s edges to replace the old loaded ones. These three potential problems are shown in Figure 7.

However, by Theorem 1, no subregion has more than one loaded edge, so the last possibility does not occur. We also need to eliminate the first two possibilities: moving regions sharing an edge or a vertex. But two regions that share an edge must have opposite orientation. Since we only move clockwise regions, no two moving regions share an edge. Also, every interior vertex of a region has valence three. Thus, if two subregions share an interior vertex, they share an edge. This can be seen in the first two images in Figure 7. In particular, since no two moving regions share an edge, no two moving regions share an interior vertex. So our assignment is globally well-defined.

This gives a subdivision rule for every alternating link complement. □

Note that we could equally well have chosen all counterclockwise regions to move out.

Let’s illustrate the process described in Theorem 2, again using the Hopf link as our example. Figure 8 shows the three possible replacement patterns we have. Note that these are all views of the same polyhedron, a cube. On the very right we have a loaded pair, which is a combination of a clockwise and counterclockwise region. Two regions are hidden from view, but it is still a cube. You can imagine the loaded edge as passing behind the diagram, connecting the leftmost and rightmost vertices. The clockwise edge subregions in type B are pushed out according to our theorem. The resulting subdivision rule divides the left and right edges into three pieces. Since subdivision tilings must agree on the edges, type A or clockwise tiles must also be divided into three edges, since every clockwise region touches counterclockwise regions. Thus, we add extra vertices to its left and right edges. Those edge subregions become type C or loaded edges. This is because they are ‘receiving’ the clockwise regions being pushed out from type B tiles. This is the process illustrated in Figure 6. Note that in type C tiles, one half behaves as a clockwise region and one half behaves as a counterclockwise region. This allows them to border both clockwise and counterclockwise regions. This is typical of loaded pairs.

The first few subdivisions of tile A can be seen in Figure 9. If you draw the subdivisions by hand, you will note differences between your results and Figure 9. This is because the figures were drawn with Stephenson’s Circlepack [9], along with some preprocessing programs by Floyd [5]. These programs maintain a subdivision tiling’s combinatorial structure while shuffling things about to fit in the ‘nicest’ way. Figures 11 and 13 were also created with these programs.
5. Examples

Let’s look at some more examples. The trefoil is the easiest example after the Hopf link. Its standard diagram can be oriented so that all bigons are clockwise and all triangles are counterclockwise. There are three tile types for the trefoil (four if you count the boundary squares). The replacement tiles are shown in Figure 10 along with the subdivision rule we get by moving all bigons out to replace loaded edges. Edge labels are omitted and grey tiles represent boundary squares. Note that the truncated polyhedron is a hexagonal prism.

Figure 11 shows the first few subdivisions of a type A tile.

Note that the boundary squares on the edge of the tiles should always be placed to line up with the boundary squares of the previously placed regions. What’s happening is that we’re building the universal cover of the torus at each boundary square.

Our last example is the Borromean rings. The truncated polyhedron is a truncated octahedron. Every region (i.e. faces that are not truncation squares) is identical. However, it is impossible to get a subdivision rule that treats all hexagonal regions the same [8]. The subdivision rule is shown in 12.
Figure 10. The replacement rules and subdivision rules for the trefoil.

Figure 13 contains the first few subdivisions of tile A of the Borromean rings. As with the Hopf link and the trefoil, you can see the universal cover of the torus growing at each vertex of the link in a beautiful pattern.

6. FUTURE WORK

The Hopf link is the only prime alternating link with a Euclidean complement, and the trefoil is a representative of other two-braid links, which have geometry associated with $\mathbb{H}^2 \times \mathbb{R}$. The Borromean rings, and all other alternating links that are not two-braids, have hyperbolic geometry \[7\]. This is reflected in their subdivision rules. The Hopf link has polynomial growth and only two boundary planes. The other two-braids have boundary planes that form a circle of sorts; and the Borromean rings and all other alternating links have a set of boundary planes that scatter all over the sphere.

We have found subdivision rules for all surfaces cross the circle and for all unit tangent bundles over surfaces (except for $P^3$, which cannot have an infinite subdivision rule), and a single hyperbolic orbifold. Their
Figure 11. The first few subdivisions of tile A of the trefoil. A few tile types have been indicated to aid in seeing the subdivision, as this is more complicated than the Hopf link.
properties are related to the open manifolds we described in the above paragraph. We hope to make these connections more precise.

Also, these subdivision rules for links have the boundary tori exposed. It would seem possible to alter these subdivision rules by Dehn surgery, yet this has proved difficult in practice. A few examples have been worked out, but a general theory would be nice.

Finally, it would be natural to extend these subdivision rules to non-alternating and/or composite links. The main difficulty here is that non-alternating links and composite links both tend to have more collapsing than prime alternating links. This truncated decomposition will give us two polyhedra as before, but Theorem 1 no longer holds. However, we have found subdivision rules for all torus links [8], and it is easy to find subdivision rules for certain connected sums of Hopf links, so there may be hope for a general theorem.
Figure 13. The first few subdivisions of tile A of the Borromean rings.
BRIAN RUSHTON

REFERENCES

[1] C. C. Adams. The Knot Book. American Mathematical Society, 2004.
[2] I. Aitchison, E. Lumsden, and H. Rubinstein. Cusp structures of alternating links. Invent. math., 109:473–494, 1992.
[3] J. W. Cannon, W. J. Floyd, and W. R. Parry. Finite subdivision rules. Conformal Geometry and Dynamics, 5:153–196, 2001.
[4] J. W. Cannon and E. L. Swenson. Recognizing constant curvature discrete groups in dimension 3. Transactions of the American Mathematical Society, 350(2):809–849, 1998.
[5] W. J. Floyd. tilepack.c, tilepackhistory.c, subdivide.c and subdividehistory.c. Software, available from http://www.math.vt.edu/people/floyd/.
[6] W. Menasco. Polyhedra representation of link complements. In S. J. Lomonaco, editor, Low-dimensional Topology, volume 20 of Contemporary Mathematics, pages 305–325. American Mathematical Society, 1983.
[7] W. Menasco. Closed incompressible surfaces in alternating knot and link complements. Topology, 23:37–44, 1984.
[8] B. Rushton. Alternating links and subdivision rules. Master’s thesis, Brigham Young University, 2009.
[9] K. Stephenson. Circlepack. Software, available from http://www.math.utk.edu/~kens.

DEPARTMENT OF MATHEMATICS, BRIGHAM YOUNG UNIVERSITY, PROVO, UT 84602, USA

E-mail address: brirush@gmail.com