Prevalence, factors associated and treatment outcome of hyperbilirubinaemia in neonates admitted to St Francis hospital, Nsambya, Uganda: a descriptive study

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Abstract
Background: With targeted management of neonatal hyperbilirubinemia in high-income countries, there has been a drastic drop in both the prevalence and mortality. On the contrary, over two-thirds of the global burden of neonatal hyperbilirubinemia is in Sub-saharan Africa and South East Asia with a high mortality risk of 16-35%. Neonatal hyperbilirubinemia is not a leading global cause of neonatal mortality, however leads to irreversible neurological damage and death when managed poorly. Three-quarters of the babies admitted to the national referral hospital in Uganda had significant hyperbilirubinemia; 16.6% of these babies died. We aimed at determining the prevalence, treatment outcome and describing factors associated with hyperbilirubinemia in neonates admitted to St Francis hospital, Nsambya.

Methods: A cross sectional study was carried out. A total of 242 files of babies with a preliminary diagnosis of hyperbilirubinemia were retrieved retrospectively. Relevant data was extracted from the files and analysed using STATA version 14.0.

Results: The prevalence of significant hyperbilirubinemia was 22.7% (55/242). Seventy-seven percent of the babies admitted did not require treatment for hyperbilirubinemia. No factors were found to be significantly associated with significant hyperbilirubinemia. The case fatality for severe hyperbilirubinemia was 20% (6/30); half of these babies had haemolytic disease of the newborn.

Conclusion: Establishment of local guidelines will prevent unnecessary admissions and ensure timely treatment is administered. Longitudinal studies are required to discover factors associated with neonatal hyperbilirubinemia in this region.

Keywords: neonatal jaundice, hyperbilirubinemia, phototherapy, exchange transfusion.

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Introduction
Over the last 25 years there has been a global reduction in the under-5 mortality rate by 52%, however the drop in neonatal mortality has been by only 42%¹. Neonatal deaths represented 45.1% of all under-5 deaths globally in 2015, the commonest causes being birth asphyxia, prematurity and sepsis². Neonatal hyperbilirubinemia was estimated to cause approximately 8/100,000 of all under-5 deaths worldwide in 2016³. Sub-Saharan Africa and South East Asia contribute 70% of the global cases of severe neonatal hyperbilirubinemia annually with a mortality risk of 16-35%⁴.

Neonatal hyperbilirubinemia is mostly benign, but a few babies will develop severe disease (severe hyperbilirubinemia) leading to long term neurological disabilities and/or death. Research carried out in high-income

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countries (HICs) to ascertain risk factors for severe disease has led to the development of guidelines and a large drop in neonatal hyperbilirubinaemia-associated complications and mortality\textsuperscript{5}. However, in many low and middle income countries (LMICs) hyperbilirubinaemia is not identified as a cause of serious morbidity and mortality both by health workers and caretakers\textsuperscript{4}. Thus, very few LMICs have established or adapted guidelines to help manage these babies appropriately\textsuperscript{3}. Maternal factors that have been associated with severe disease include age > 25 years, race (e.g. African Americans and Orientals), history of jaundice in another family member and vacuum delivery\textsuperscript{6}. Neonatal risk factors include breastfeeding at discharge following delivery in a hospital, low birth weight and male sex\textsuperscript{8}. A Nigerian study showed that babies referred to a hospital for treatment (having been born elsewhere/being outborn) and a low socioeconomic status were associated with a higher chance of developing severe disease, with the outborn being about 10 times more at risk\textsuperscript{7}. Excessive weight loss and sepsis\textsuperscript{8} have also been associated with severe hyperbilirubinaemia.

In Nigeria, Glucose-6-Phosphate Dehydrogenase (G6PD) deficiency was as high as 31\% in babies\textsuperscript{9} who presented to a private hospital with hyperbilirubinaemia. An Indian study showed babies with ABO incompatibility were 2.6 times more likely to develop hyperbilirubinaemia\textsuperscript{10} and 41.7\% of babies with severe hyperbilirubinaemia in a South African study had ABO incompatibility\textsuperscript{11}.

There is paucity of data on neonatal hyperbilirubinaemia in Uganda. A follow-up study carried out in 2009 at Mulago national referral hospital (unpublished data), revealed that 42/200 (21\%) of the neonates admitted to the special care baby unit for various reasons developed neonatal hyperbilirubinaemia. More than three quarters (33/42) of these babies had significant jaundice and 16.6\% of these babies died\textsuperscript{12}. The annual analytic report for St. Francis hospital, Nsambya for 2013 showed that neonatal jaundice was the 5th commonest cause of admission to the baby unit. Neonatal jaundice and its complications accounted for 6\% of the deaths in the unit (unpublished report).

Globally, neonatal hyperbilirubinaemia is not among the commonest causes of neonatal mortality. However, when not managed appropriately and promptly it leads to long term neurological damage and death. Discovering the burden of disease, identifying the risk factors and gaps in the management of hyperbilirubinaemia in our population will enable us manage it better. This study therefore aimed at looking at the prevalence, treatment outcome and factors associated with hyperbilirubinaemia in neonates admitted to the baby unit.

Methods
Study setting, design and eligible criteria
The study was conducted among neonates admitted to St Camillus baby unit at St Francis hospital, Nsambya. St Francis hospital, Nsambya is located within Kampala, the capital city of Uganda. It is a private, not for profit Catholic-based hospital and a teaching hospital for Mother Kevin postgraduate medical school of Uganda Martyr's University. The newborn unit receives between 170-240 high risk babies per month from labour wards within the hospital and surrounding health facilities. During the study period 4840 babies were admitted to the Baby unit. The study was a cross sectional retrospective chart review. Files of babies admitted with a preliminary diagnosis of hyperbilirubinaemia in the 2 year period between July 2012 and June 2014 were retrieved. Neonates with a direct serum bilirubin level greater than 20\% of their total serum bilirubin level (TSB) were excluded from the study. These babies were excluded because their mode of management is different.

Sample size determination and selection of patients
We used the Kish Leslie (1965) formula\textsuperscript{13} for sample size computations of cross-sectional study designs. Overall, a total number of 375 neonates were admitted during this study period with a preliminary diagnosis of hyperbilirubinaemia. We however lacked data in the Ugandan settings documenting prevalence of neonatal hyperbilirubinaemia and thus adopted the prevalence of 35\% which was documented in a study from Nigeria\textsuperscript{14}. In the computation, we set the alpha level at 99\%, which would allow us assess prevalence with a 1\% level of error. We further aimed at allowing for an attrition rate of 4\% to cater for chart reviews with missing or insufficient information. Using these parameters, we computed a sample size of 242 babies with a unit design effect.

During this study period, all babies that came to the Paediatric outpatient clinic and had visible yellow discoloration of the skin or/sclera had a TSB done. The protocol being used was that any neonate with a TSB \geq 20mg/dl or assessed by the attending doctor as having signs of neurological involvement (observed as poor feeding/convulsions or abnormal posturing) at a
TSB of <20mg/dl was admitted and managed with an exchange transfusion. Decision as to whether to admit a baby with a TSB < 20mg/dl with no signs of neurological involvement was at the discretion of the attending doctor. Very often, however, the unavailability of appropriate blood for exchange transfusion would lead to suboptimal management with a single lullaby LED phototherapy unit or fluorescent phototherapy units. All other babies with hyperbilirubinaemia were treated with a Fluorescent (Contrex Medical st A20) phototherapy unit or locally made Fluorescent phototherapy units. Fluorescent blue or white light tubes were used and changed when they would stop functioning.

Study measurements
The primary study outcome was the severity of hyperbilirubinaemia. The secondary study outcome measure was the treatment given and the status at discharge; either alive or dead.

Analysis
Relevant data was extracted from the files and entered into a pre-designed questionnaire. This information was entered in a computer using Epi-Data version 3.1 and with the help of a statistician was cleaned and analysed using STATA version 14.0. For analysis, the babies were grouped according to their age on admission (in hours) and whether they were term or preterm (less than 37 weeks of gestation was considered preterm). The American Academy of Paediatrics guidelines (AAP) for neonates with low risk (those >38/40 gestational age and well) was used for term babies and medium risk (those 35-37/40 and well) was used for preterm babies to identify which babies required exchange transfusion and which required intensive phototherapy. The graphs for babies with high risk were not used since the risk factors of sepsis, birth asphyxia, e.t.c were not reported in most of the files. The AAP guidelines were used because of the absence of comprehensive guidelines for the management of neonatal hyperbilirubinaemia in the country. The guideline at the hospital at the time of the study was only for those that required exchange transfusion.

For this study, severe hyperbilirubinaemia was defined as the TSB at which babies should receive exchange transfusion (in accordance to the AAP guidelines). Significant hyperbilirubinaemia was defined as the TSB levels at which a baby should receive treatment—either intensive phototherapy or exchange transfusion—in accordance to the AAP guidelines. Continuous data that was normally distributed was summarised as means and standard deviations and appropriate tests applied to skewed data. Bivariate and multivariate analyses using logistic regression were used to identify factors associated with significant hyperbilirubinaemia. All factors that were analysed at bivariate level were considered for multivariate analysis because literature showed that they were found to be associated with severe hyperbilirubinaemia.

Ethical considerations
Waiver of consent was obtained from the Research and Ethics Committee of St Francis hospital, Nsambya.

Results
Only 17/242 (7.0%) of the babies were born preterm (median age of 34 weeks IQR 30, 35 weeks), whereas the remaining 225/242 (93.0%)) were term babies. At admission, all the babies were below 30 days of age. Specifically, 6/242 (2.5%) babies were aged ≤24.0 hrs; 26/242 (10.7%) were aged 24.1–48.0 hrs; 49/242 (20.3%) were aged 48.1–72.0 hrs; 28/242 (11.6%) were aged 72.1–96.0 hrs and 133/242 (66.5%) were ≥96.1 hrs old.

See Study profile of study participants, Figure_1.
The mean TSB at admission for babies with severe hyperbilirubinaemia was 31.9± SD 6.7mg/ dl and for significant hyperbilirubinaemia, was 25.8 ±SD 8.6mg/ dl. The mean TSB of babies who did not require any treatment was 13.7± SD 3.6mg/dl.

At the time of discharge, the mean TSB of babies with significant hyperbilirubinaemia and those not requiring treatment was comparable, being 12.6±4.2mg/dl and 11.4± SD 2.4mg/dl respectively. The socio-demographic factors of the baby and mother are as in Table 1.
Table 1: Socio-demographic characteristics of study participants

| Variable (n)* | Total in category | Percentage |
|---------------|-------------------|------------|
| Maternal age (222) |                   |            |
| 16-24 years   | 66                | 29.7%      |
| ≥25 years     | 156               | 71.3%      |
| Parity (234)  |                   |            |
| 1             | 108               | 46.2%      |
| ≥2            | 126               | 53.9%      |
| Place of delivery (218) |     |            |
| Hospital      | 193               | 88.5%      |
| Health centre | 18                | 8.3%       |
| Home/TBA      | 7                 | 3.2%       |
| Baby’s birth weight (237) |     |            |
| <2.5kgs       | 24                | 10.1%      |
| ≥2.5kgs       | 213               | 89.9%      |
| Baby’s gender (217) |         |            |
| Male          | 143               | 65.9%      |
| Female        | 74                | 34.1%      |
| Gestational age at birth (247) |       |            |
| Term          | 230               | 93.1%      |
| Preterm       | 17                | 6.9%       |
| ABO incompatibility (149) |       |            |
| Compatible    | 78                | 52.4%      |
| Incompatible  | 71                | 47.7%      |
| Rhesus incompatibility (149) |       |            |
| Incompatible  | 6                 | 4.0%       |
| Compatible    | 143               | 96.0%      |

*Where n is ≤ 242 due to missing data for the variable

Prevalence of neonatal hyperbilirubinæmia
During the study period, the prevalence of neonatal hyperbilirubinæmia in the baby unit was 7.75%. On admission, severe hyperbilirubinæmia (defined as babies that required an exchange transfusion according to the AAP guidelines) was in 30/242 (12.4%) of the babies and significant hyperbilirubinæmia (defined as both the babies that required intensive phototherapy and those that required exchange transfusion according to the AAP guidelines) was in 55/242 (22.7%) of the babies. One hundred and eighty seven babies (77.3%) did not require treatment for hyperbilirubinæmia (according to the AAP guidelines).

Factors associated with significant hyperbilirubinæmia
We sought to find out if any factors were associated with significant hyperbilirubinæmia. No factors were found to be associated as shown in Table 2.
### Table 2: Factors associated with significant hyperbilirubinaemia

| Variable (n)* | Total in category | Number (%) with Significant hyperbilirubinaemia | COR (95% CI) | P value | AOR (95% CI) | P value |
|---------------|-------------------|-----------------------------------------------|-------------|--------|--------------|--------|
| Maternal age (216) |                  |                                               |             |        |              |        |
| 16-24 years   | 63                | 11 (17.5)                                     | -           | 0.3700 | -            | 0.118  |
| =25 years     | 153               | 35 (22.9)                                     | 1.40 (0.66, 2.97) |        | 7.14 (0.61, 84.06) |        |
| Parity (227)  |                  |                                               |             |        |              |        |
| 1             | 103               | 20 (19.4)                                     | -           | 0.3139 | -            | 0.251  |
| =2            | 124               | 31 (24.8)                                     | 1.38 (0.73, 2.61) |        | 0.42 (0.09, 1.85) |        |
| Place of delivery (214) |            |                                               |             |        |              |        |
| Hospital      | 189               | 40 (21.2)                                     | -           | 0.2582 | -            | 0.4534 |
| Health centre | 18                | 6 (33.3)                                      | 1.86 (0.66, 5.27) |        | 2.63 (0.24, 29.26) |        |
| Home          | 7                 | 3 (42.9)                                      | 2.79 (0.60, 12.99) |        | 4.46 (0.26, 75.83) |        |
| Baby's birth weight (232) |        |                                               |             |        |              |        |
| <2.5kgs       | 24                | 6 (25.0)                                      | -           | 0.8340 | -            | 0.140  |
| =2.5kgs       | 208               | 48 (23.1)                                     | 0.90 (0.34, 2.39) |        | 0.23 (0.03, 1.62) |        |
| Baby's gender (209) |                  |                                               |             |        |              |        |
| Male          | 137               | 34 (24.8)                                     | -           | 0.2596 | -            | 0.526  |
| Female        | 72                | 13 (18.1)                                     | 0.67 (0.32, 1.36) |        | 0.60 (0.12, 2.93) |        |
| ABO incompatibility (144) |      |                                               |             |        |              |        |
| Compatible    | 75                | 17 (22.7)                                     | -           | 0.0317 | -            | 0.122  |
| Incompatible  | 69                | 27 (39.1)                                     | 0.46 (0.22, 0.94) |        | 0.30 (0.06, 1.39) |        |
| Rhesus incompatibility (144) |   |                                               |             |        |              |        |
| Incompatible  | 6                 | 3 (50.0)                                      | -           | -      |              | 0.202  |
| Compatible    | 138               | 41 (29.7)                                     | 0.42 (0.08, 2.18) |        | 0.11 (0.004, 3.20) |        |

*Where n is less than 242 due to missing data for that variable

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**Outcome of severe hyperbilirubinaemia**

Of the babies admitted with hyperbilirubinaemia, 235/242 (97%) were discharged alive. Seven babies died, giving a mortality of 2.9%. Six of the babies had severe hyperbilirubinaemia and one baby died due to severe anaemia. Of the 6 babies with severe hyperbilirubinaemia, 1 had rhesus incompatibility and 2 had ABO incompatibility. The case fatality rate for the babies with severe hyperbilirubinaemia was 20% (6/30). No preterm baby died.
Treatment given
The babies that received phototherapy were 240/242 (99.2%). Of these, 209/242 (86.4%) babies received fluorescent light treatment while 12.8% (31/242) received LED phototherapy light. The median number of days with LED phototherapy was 3 days (IQR 1-5 days) whereas the median treatment with fluorescent phototherapy was 4 days (IQR 3-5 days).

Of the babies with severe hyperbilirubinaemia (30/242), exchange transfusion was carried out in 7% (17/242) while 13/242 received only phototherapy treatment.

Case fatality was 38.5% (5/13) among the babies that received phototherapy treatment alone, and 11.8% among those who received an exchange transfusion. Only 1 preterm baby received an exchange transfusion. Four babies had the procedure carried out twice. Blood transfusions were carried out in 5 babies, 3 of whom had severe hyperbilirubinaemia.

Discussion
This study sought to find out the prevalence, treatment outcome and factors associated with neonatal hyperbilirubinaemia at St Francis hospital, Nsambya.

The prevalence of severe hyperbilirubinaemia is high but similar to that in an Egyptian study where 14.6% of the babies had extreme hyperbilirubinaemia with cut off value of $\geq 513 \mu\text{mol/L (28.5mg/dl)}$\textsuperscript{15}. This reflects the already known high burden of disease in LMICs\textsuperscript{5}. When stratified and compared to the AAP guidelines, approximately two thirds of the babies did not require treatment for hyperbilirubinaemia since their TSB levels were below the phototherapy line. This is a large number of patients however, many of these babies presented with other symptoms such as fever and poor feeding with probably sepsis complicating the presentation of hyperbilirubinaemia.

No factors were found to be associated with significant hyperbilirubinaemia. Literature shows a diverse number of factors associated with neonatal hyperbilirubinaemia\textsuperscript{16}. A recent study in Uganda showed a 10.6% prevalence of G6PD deficiency in neonates\textsuperscript{15}. This particular condition was not sought for in our babies and could have been a major cause of neonatal hyperbilirubinaemia.

In our study, only about half of the babies with severe hyperbilirubinaemia (17/30) had an exchange transfusion. The exchange transfusion rate is low for a LMIC but is similar to that seen in Bangladesh. It is much lower than that seen in an Iranian hospital where it was 35.5%\textsuperscript{18} and in Nigeria where it ranged from 0-35%\textsuperscript{19}. Obtaining fresh blood for an exchange transfusion is challenging as has been noted in other LMICs\textsuperscript{20}. In our study, 4 babies that died, required an exchange transfusion but did not receive it.

Mortality in this study is high, but comparable to other LMICs\textsuperscript{15,21}. However, it is much lower than a number of Nigerian studies\textsuperscript{19,22}.

The case fatality rate is extremely high, but similar to a longitudinal study carried out in Nigeria where it was 14.5%\textsuperscript{23}. Again we see that late presentation of the patients and unavailability of resources for appropriate management may be responsible for this mortality. Among the babies with severe hyperbilirubinaemia, the case fatality was 3 times higher in those who received phototherapy alone compared to those who received an exchange transfusion. Phototherapy is a recognized and acceptable form of managing hyperbilirubinaemia and has been shown to reduce exchange transfusion rates in many settings\textsuperscript{24}. In our study, however, most of the phototherapy units were substandard. Olusanya et al note that the overcrowding of phototherapy lights and their use by untrained staff compromises their effectiveness in many LMICs\textsuperscript{25}. They recommend the use of low-cost phototherapy units that are regularly monitored and properly maintained in order to reduce the exchange transfusion rates in this region which have their own complications.

Strengths and limitations
This study, being among the first of its kind in East Africa, gives us a quick look at the magnitude of the problem in this region.

The retrospective type of study design did not permit us to identify factors associated with significant hyperbilirubinaemia since there was a lot of missing relevant data such as gender of the babies, blood groups of the mother and babies.

Severe hyperbilirubinaemia could have been underestimated since the risk factors for severe disease e.g. sepsis, birth asphyxia, etc. were not put into consideration and thus graphs for low and medium risk were used rather than the high risk graph, of the AAP guidelines.
Conclusion and recommendations

Appropriate, relevant guidelines for the management of neonatal hyperbilirubinaemia need to be established to prevent unnecessary admissions and ensure safe and timely treatment is given to those who require it. Exchange transfusion is a life-saving procedure for babies coming late with neonatal hyperbilirubinaemia and availability of fresh blood should be prioritized in this age group.

Larger longitudinal studies are required to establish factors associated with neonatal hyperbilirubinaemia in this region.

Competing interests
The authors state no competing interests.

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