Quantum backflow in a ring

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Free motion of a quantum particle with the wave function entirely comprised of plane waves with non-negative momenta may be accompanied by negative probability current, an effect called quantum backflow. The effect is weak and fragile and has not yet been observed experimentally. Here we show that quantum backflow becomes significantly more pronounced and more amenable to experimental observation if, instead of letting the particle move along a straight line, one forces it to move in a circular ring.

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I. INTRODUCTION

The probability density of a quantum particle may flow in the direction opposite to that of the particle’s momentum [1,2], an effect called quantum backflow (QB) [3]. The effect is inconceivable from the viewpoint of classical physics, and in this respect can be paralleled with other genuinely quantum phenomena such as tunneling or Schrödinger’s cat states. Unlike the latter, however, QB is relatively unexplored and yet to be observed experimentally.

The QB effect can be formulated as follows: Consider a nonrelativistic particle moving freely along a straight line, the $x$ axis. Let the particle’s wave function be comprised only of plane waves $\frac{1}{\sqrt{2\pi}} e^{ikx}$ with non-negative momenta, $\hbar k \geq 0$, so that at time $t$ the wave function reads

$$\psi(x, t) = \int_0^\infty dk \phi(k) e^{-i\hbar kt/2\mu} \frac{e^{ikx}}{\sqrt{2\pi}}.$$  

(1)

where $\mu$ is the particle’s mass, and $\phi(k)$ is a complex-valued function normalized according to $\int_0^\infty dk |\phi(k)|^2 = 1 = \int_{-\infty}^{+\infty} dx |\psi(x, t)|^2$. The associated probability current $j_\psi(x, t)$ is given by

$$j_\psi = \frac{\hbar}{\mu} \text{Im} \left\{ \psi^* \frac{\partial \psi}{\partial x} \right\}.$$  

(2)

The QB effect consists in the fact that $j_\psi$ can be negative, for some $x$ and $t$, in spite of the particle’s momentum being non-negative with certainty. In other words, even though the momentum of a particle is pointing “to the right,” the probability density can (locally in space and time) flow “to the left.” This is clearly impossible in the classical world.

One of the most surprising features of QB is that the effect has a nontrivial dimensionless scale associated with it: The probability current through a given point, say $x = 0$, integrated over an arbitrary time window, $-T/2 < t < T/2$,

has a (finite) greatest lower bound. More precisely [3,5,6],

$$\inf_{\psi} \int_{-T/2}^{T/2} dt j_\psi(0, t) = -c_{\text{line}},$$

(3)

where

$$c_{\text{line}} \simeq 0.0384517$$

(4)

is the so-called Bracken-Melloy bound. Finding the exact value of $c_{\text{line}}$ remains an open challenge. It is interesting to note that $c_{\text{line}}$ is independent of the time window $T$, the particle’s mass $\mu$, or Planck’s constant $\hbar$.

Many questions related to QB have been addressed in the literature. These include QB against a constant force [7], the pertinence of QB to the arrival-time problem [8–11], position dependence of the backflow current [5,12,13], probability backflow in relativistic quantum systems [14–16], QB in escape problems [17,18], and QB in many-particle systems [19]. Recently, the problem of QB has been generalized to states with position-momentum correlations [20].

As of today, QB has not been experimentally observed in any true quantum system. One of the difficulties hindering experimental observation of QB is a relatively small value of $c_{\text{line}}$ [23]. As pointed out in Ref. [3], one natural strategy for detecting QB would be to work with an electrically charge particle, for which a measurement of the probability current is equivalent to that of the electric current. If the charge of the particle is $q$ (for concreteness taken to be positive) and the current measurement time is $T$, then the magnitude of the detected backflow electric current approximately equals $-\frac{q}{T} \int_{-T/2}^{T/2} dt j_\psi$, which cannot exceed $c_{\text{line}} q/T$. The fact that $c_{\text{line}}$ is less than 4% hampers the direct detection of the backflow current.

Another obstacle to observing QB experimentally is the difficulty of preparing a state with an appreciable backflow.

1See Ref. [4] for a short introduction to the quantum backflow effect.

2An experimental scheme for observing QB in Bose-Einstein condensates was proposed in Ref. [21]. Also, there has been a recent experimental realization of an optical analog of the QB effect [22].
current. Theoretical considerations show [24] that states whose integrated backflow current is close to the Bracken-Melloy bound \( c_{\text{line}} \) are characterized by infinite position width and infinite mean energy and therefore are not realizable in a laboratory setting. The value of the integrated backflow current seems to become significantly smaller than \( c_{\text{line}} \) if one restricts their attention to the states with a finite position width and/or finite mean energy (see Refs. [3, 25] for some examples), although no systematic study of this question has yet been carried out.

In this paper we show that the QB effect becomes much more pronounced and more amenable to experimental investigation when considered for a quantum particle moving in a circular ring. In particular, we show that, for the particle-in-a-ring system, the integrated backflow current can be over three times larger than the Bracken-Melloy bound, and that the corresponding backflow-maximizing state has finite energy (and, by construction, finite spatial extent). Some space-related aspects of QB in systems with rotational motion, such as an electron in a constant magnetic field, have been addressed in Ref. [26] and very recently in Ref. [27]. Here however we are interested in the time-dependence of QB, and more specifically look for states maximizing the integrated backflow current.

II. PARTICLE IN A RING

We consider a nonrelativistic particle of mass \( \mu \) constrained to move in a circular ring of radius \( R \). The ring lies in the \( xy \) plane of a Cartesian coordinate frame and is centered around the origin. The unit vectors along the \( x, y \), and \( z \) axes are denoted by \( e_x, e_y, \) and \( e_z \), respectively. The triplet \((e_x, e_y, e_z)\) is right-handed. We further assume that the particle has an electric charge \( q \), for concreteness taken to be positive, and that there is a constant spatially uniform magnetic field \( B \) pointing along the \( z \) axis, i.e., \( \mathbf{B} = Be_z \).

The particle is described by a time-dependent wave function \( \Psi(\theta, t) \), where \( \theta \) is the polar angle between \( e_z \) and the position radius vector of the particle. The wave function is periodic, \( \Psi(\theta + 2\pi, t) = \Psi(\theta, t) \), and satisfies the Schrödinger equation \( i\hbar \frac{\partial \Psi}{\partial t} = H \Psi \) with the Hamiltonian [28, 29]

\[
H = \frac{\hbar^2}{2\mu R^2} (\ell_z - \beta)^2, \tag{5}
\]

where \( \ell_z = -i \frac{\hbar}{\mu R^2} \partial / \partial \theta \), so that \( \hbar \ell_z \) is the projection of the canonical angular momentum on \( e_z \). Here,

\[
\beta = \frac{qR^2B}{2\hbar c} \tag{6}
\]

is the dimensionless magnetic flux through the ring, with \( c \) denoting the speed of light. (A constant term \( \frac{\hbar^2}{8\mu R^2} \) has to be added to the Hamiltonian, Eq. (5), if the latter is derived using the Dirac method [28]. This term however plays no role in the context of the present work.) The wave function is normalized to unity,

\[
\int_0^{2\pi} d\theta |\Psi(\theta, t)|^2 = 1. \tag{7}
\]

The probability density \( |\Psi(\theta, t)|^2 \) satisfies the continuity equation

\[
\frac{\partial |\Psi|^2}{\partial t} + \frac{\partial J_\phi}{\partial \theta} = 0, \tag{8}
\]

where \( J_\phi(\theta, t) \) is the probability current, defined as

\[
J_\phi = \frac{\hbar}{\mu R^2} \text{Im} \left\{ \Psi^* \frac{\partial \Psi}{\partial \theta} \right\} - \frac{\hbar\beta}{\mu R^2} |\Psi|^2. \tag{9}
\]

The Schrödinger equation, probability density, and probability current are invariant under the gauge transformation \( \beta \rightarrow \beta + \frac{\alpha}{\theta} \) and \( \Psi \rightarrow e^{i\alpha} \Psi \), where \( \chi(\theta) \) is an arbitrary real function. Eigenstates \( \psi_m \) and eigenenergies \( E_m \) of the Hamiltonian satisfy \( H\psi_m = E_m\psi_m \) and are given by

\[
\psi_m(\theta) = \frac{e^{im\theta}}{\sqrt{2\pi}}, \quad E_m = \frac{\hbar^2}{2\mu R^2} (m - \beta)^2 \quad (m \in \mathbb{Z}). \tag{10}
\]

The set of eigenstates is orthonormal and complete.

All Hamiltonian eigenstates \( \psi_m \) with \( m \geq [\beta] \), where \( [\cdot] \) is the ceiling function, have non-negative (gauge-invariant) kinetic angular momentum and probability current. Indeed, \( \psi_m \) is an eigenstate of the kinetic angular-momentum operator \( \hbar(\ell_z - \beta) \) with the eigenvalue \( \hbar (m - \beta) \geq 0 \), and the probability current corresponding to \( \psi_m \) is \( J_{\phi m} = \frac{\hbar}{2\pi\mu R^2} (m - \beta) \geq 0 \).

Now, in the spirit of the original QB problem, we consider states \( \Psi(\theta, t) \) comprised of the Hamiltonian eigenstates with non-negative kinetic angular momentum:

\[
\Psi(\theta, t) = \sum_{m=[\beta]}^{\infty} c_m \psi_m(\theta)e^{-iE_m t} / \hbar, \tag{11}
\]

where, in view of Eq. (7), complex amplitudes \( c_m \) satisfy the normalization condition

\[
\sum_{m=[\beta]}^{\infty} |c_m|^2 = 1. \tag{12}
\]

The corresponding probability current is obtained by substituting Eq. (11) into Eq. (9) and reads

\[
J_{\phi}(\theta, t) = \frac{\hbar}{2\mu R^2} \sum_{m, n=[\beta]}^{\infty} (m + n - 2\beta) \times c_m^* c_n \psi_m^*(\theta) \psi_n(\theta) e^{i(E_m - E_n) t} / \hbar. \tag{13}
\]

We now focus on the probability current through a fixed point on the ring, say \( \theta = 0 \), integrated over a time window \( -T/2 < t < T/2 \), and define the dimensionless quantity

\[
P_{\phi} = \int_{-T/2}^{T/2} dt J_{\phi}(0, t). \tag{14}
\]

Substituting Eqs. (13) and (10) into Eq. (14) and evaluating the time integral, we find

\[
P_{\phi} = \sum_{m, n=[\beta]}^{\infty} c_m^* K_{mn} c_n, \tag{15}
\]

with

\[
K_{mn} = \frac{\alpha}{\pi} (m + n - 2\beta) \text{ sinc } [\alpha (m + n - 2\beta) (m - n)]. \tag{16}
\]
Here,

\[ \alpha = \frac{\hbar T}{4\mu R^2} \]  

(17)

is a (positive) dimensionless parameter, and the sinc function is defined as \( \text{sinc} \ z = \frac{\sin z}{z} \) if \( z \neq 0 \) and \( \text{sinc} \ 0 = 1 \).

Our aim is to investigate the integrated probability current (15) in view of the normalization condition (12). Since \( P_\psi \) is invariant with respect to the transformation \( \beta \to \beta + 1 \) and \( c_m \to c_{m-1} \), \( m \in \mathbb{Z} \), it is sufficient to only consider the parametric interval

\[ -1 < \beta \leq 0. \]  

(18)

On this interval \( [\beta] = 0 \), and so Eqs. (15) and (12) take the form

\[ P_\psi = \sum_{m,n=0}^{\infty} c_m^* K_{mn} c_n, \]  

(19)

and

\[ \sum_{m=0}^{\infty} |c_m|^2 = 1, \]  

(20)

respectively. Hereafter, we rely on Eqs. (18)–(20).

It is worth noting that \( P_\psi \) is unbounded from above. This is readily established by taking \( c_m = \delta_{mn} \), with \( m_1 \geq 0 \), for which \( P_\psi = 2\alpha(m_1 - \beta)/\pi \), and observing that \( P_\psi \to \infty \) as \( m_1 \to \infty \). However, the nontrivial questions are whether \( P_\psi \) can be negative, and whether \( \inf P_\psi \) is finite.

We begin our study by considering an example scenario in which \( \Psi \) is comprised of only two eigenstates, \( \psi_{m_1} \) and \( \psi_{m_2} \), with \( 0 \leq m_1 < m_2 \). Thus, we take

\[ c_m = \begin{cases} \cos \frac{\varphi}{2} & \text{if } m = m_1 \\ e^{i\gamma} \sin \frac{\varphi}{2} & \text{if } m = m_2 > m_1 \\ 0 & \text{otherwise} \end{cases} \]  

(21)

with \( 0 \leq \varphi \leq \pi \) and \( 0 \leq \gamma < 2\pi \). This parametrization ensures that Eq. (20) is fulfilled. Substituting Eq. (21) into Eq. (19), we obtain

\[ P_\psi = \frac{\alpha}{\pi} [A - B \cos \varphi + A \text{sinc} (\alpha AB)] \cos \gamma \sin \varphi, \]  

(22)

where

\[ A = m_1 + m_2 - 2\beta, \quad B = m_2 - m_1. \]  

(23)

We now look for the minimum of \( P_\psi \) with respect to \( \varphi \) and \( \gamma \) (for fixed values of \( \alpha, \beta, m_1, \) and \( m_2 \)), i.e.,

\[ \mathcal{P}(m_1,m_2)(\alpha,\beta) = \min_{\varphi,\gamma} P_\psi. \]  

(24)

A straightforward calculation yields

\[ \mathcal{P}(m_1,m_2) = \frac{\alpha}{\pi} (A - \sqrt{B^2 + A^2 \text{sinc}^2 (\alpha AB)}). \]  

(25)

Figure 1 shows the dependence of \( \mathcal{P}(0,1) \) (corresponding to \( m_1 = 0 \) and \( m_2 = 1 \)) on \( \alpha \) for five different values of \( \beta \). There are two main messages conveyed by this figure. First, it confirms that the integrated probability current can indeed be negative. Second, it shows that, already for some very simple states (such as a superposition of \( \psi_0 \) and \( \psi_1 \)), the magnitude of the integrated negative probability current can significantly exceed the Bracken-Melloy bound \( c_{\text{line}} \). In fact, numerical evaluation shows that \( \min_{\alpha,\beta} \mathcal{P}(0,1) \simeq -0.101727 \approx -2.6c_{\text{line}} \).

Consideration of cases other than \( (m_1, m_2) = (0, 1) \) does not reveal a more pronounced backflow. It is easy to verify (25), the magnitude of the integrated negative probability current can significantly exceed the Bracken-Melloy bound \( c_{\text{line}} \). In fact, numerical evaluation shows that \( \min_{\alpha,\beta} \mathcal{P}(0,1) \simeq -0.101727 \approx -2.6c_{\text{line}} \).

This scaling relation, in conjunction with the bound on \( \mathcal{P}(0,1) \) established above, implies that \( \min_{\alpha,\beta,m_1,m_2} \mathcal{P}(m_1,m_2)(\alpha,\beta) \simeq -0.101727 \).

We now turn to the general case and minimize the integrated probability current \( P_\psi \), Eq. (19), subject to the normalization constraint on \( c_m \), Eq. (20). This problem is equivalent to unconstrained minimization of a real-valued functional \( \mathcal{I}[c_m] = \sum_{n=0}^{\infty} c_n^* K_{mn} c_m - \lambda \sum_{n=0}^{\infty} c_n^* c_n \), where \( \lambda \) is a Lagrange multiplier. The corresponding Euler-Lagrange equation reads

\[ \sum_{n=0}^{\infty} K_{mn} c_n = \lambda c_m. \]  

(27)

Note that both the matrix \( K_{mn} \), defined by Eq. (16), and its eigenvalue spectrum \( \{\lambda\} \) depend parametrically on \( \alpha \) and \( \beta \). Then, the infimum of \( P_\psi \) is given by that of the eigenvalue spectrum, i.e.,

\[ \mathcal{P}(\alpha,\beta) \equiv \inf_{\psi} P_\psi = \inf_{\psi} \{\lambda\}. \]  

(28)

In the limit \( \alpha \to 0 \), which corresponds to \( R \to \infty \) and/or \( T \to 0 \), we recover the Bracken-Melloy bound:

\[ \lim_{\alpha \to 0} \mathcal{P}(\alpha,\beta) \to -c_{\text{line}}. \]  

(29)
This can be readily seen by defining \( u = m \sqrt{\alpha} \) and \( f(u) = c_m/\alpha^{1/4} \) and, for \( \alpha \to 0 \) and \( \beta \) fixed, rewriting Eq. (27) as

\[
\frac{1}{\pi} \int_0^\infty dv (u + v) \sin (u^2 - v^2) f(v) = \lambda f(u).
\]

(30)

The last equation is the integral eigenvalue problem originally formulated by Bracken and Melloy [3], and the infimum of its eigenvalue spectrum is \( -c_{\text{line}} \).

In general, for arbitrary \( \alpha \) and \( \beta \), we compute \( \mathcal{P}(\alpha, \beta) \) numerically. To this end, we truncate the sum in Eq. (27) at a large value \( n = N \) (of the order of 1000–10 000), compute the spectrum \( \{\lambda^{(N)}\} \) of the corresponding finite-dimensional problem, \( \sum_{m=0}^N K_{m\alpha} c_n = \lambda^{(N)} c_m \), and find its minimum \( \lambda^{(N)}_{\text{min}} = \min \{\lambda^{(N)}\} \). We repeat this calculation for a sequence of increasing \( N \) and extrapolate \( \lambda^{(N)}_{\text{min}} \) to \( N \to \infty \). This procedure yields a numerical estimate for \( \mathcal{P}(\alpha, \beta) = \lim_{N \to \infty} \lambda^{(N)}_{\text{min}} \). Further details on the numerical evaluation of \( \mathcal{P} \) are provided in the Appendix.

Figure 2(a) shows the dependence of \( \mathcal{P} \) on \( \alpha \) for the same five values of \( \beta \) as in Fig. 1. We see that \( \mathcal{P}(\alpha, \beta) \) decreases as \( \beta \) approaches 0. It is easy to show that \( \mathcal{P}(\alpha, 0) = 0 \) if \( \alpha \) is an integer multiple of \( \pi \). For all other values of \( \alpha \), the value of \( \mathcal{P}(\alpha, 0) \) appears to be negative.

Figure 2(b) shows the curve \( \mathcal{P}(\alpha, 0) \) in a small interval around \( \alpha/\pi \approx 0.370 \), where \( \mathcal{P} \) attains its smallest value. A careful numerical investigation yields the following estimate for the infimum of the integrated probability current:

\[
\inf_{\alpha, \beta} \mathcal{P} = -c_{\text{ring}}, \quad c_{\text{ring}} \approx 0.116816.
\]

(31)

It is interesting to note that \( c_{\text{ring}} \) is more than three times larger than the Bracken-Melloy constant \( c_{\text{line}} \).

![FIG. 2. Infimum of the time-integrated probability current Eq. (28) as a function of \( \alpha \). (a) \( \mathcal{P}(\alpha, \beta) \) for five different values of \( \beta \). (b), (c) Zoom-ins into \( \mathcal{P}(\alpha, 0) \).](image)

This result is in stark contrast to the fact that mean energy \( \langle E \rangle = \sum_m |c_m|^2 E_m \) with \( E_m \sim m^2 \), as given by Eq. (10). More precisely, we find

\[
\frac{\langle E \rangle T}{\hbar} \approx 0.3855.
\]

(33)

This result is in stark contrast to the fact that mean energy of the state maximizing probability backflow on a line is infinite.

We also compute the time-dependent probability current for the backflow-maximizing state \( \Psi \). We do this by numerically evaluating the double sum in Eq. (13) for

![FIG. 3. Characteristics of the backflow-maximizing state. (a) Blue circles show the magnitude of the expansion coefficients \( c_m \), for \( m \geq 1 \), in the units of \( |c_0| \). The black solid line represents the curve \( |c_m/c_0| = m^{-2} \). (b) Probability current \( J_\psi(0, t) \) (in units of \( 1/T \)) as a function of time \( t \). The interval \( -T/2 < t < T/2 \) is identified by two red vertical lines.](image)
\( \alpha / \pi = 0.370 \, 396 \, 5, \quad \beta = 0, \quad \text{and} \quad \theta = 0. \) Figure 3(b) shows \( J_0(0, t) \) (in units of \( 1/T \)) as a function of \( i/T \). The integral of \( J_0(0, t) \) over the time interval \(-T/2 < t < T/2\), identified in the figure by two red vertical lines, gives a value close to \(-c_{\text{ring}}\). It is interesting to observe that, unlike in the problem of QB on a line, \( J_0(0, t) \) displays an erratic dependence on time and fails to be everywhere negative on the interval \(-T/2 < t < T/2\).

### III. CONCLUSION

In conclusion, we have shown that the backflow effect is more pronounced and more amenable to experimental investigation when considered for a particle moving in a circular ring rather than along a straight line. In particular, the integrated backflow current in the ring scenario can be as high as \( c_{\text{ring}} \approx 0.116 \, 816 \), which is more than three times larger than the corresponding bound in the case of a line, \( c_{\text{line}} \approx 0.038 \, 451 \, 7 \). Also, in the ring case, the energy and spatial extent of the backflow-maximizing state are finite; this gives a significant disadvantage over the line case in which both of these quantities diverge. Moreover, in the ring case, even very simple states can generate substantial backflow: e.g., a superposition of the ground and first-excited states can yield backflow as high as 87\% of the overall bound \( c_{\text{ring}} \). These definite advantages of the particle-in-a-ring system open a new possibility for the first experimental observation of the QB effect.

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### APPENDIX: DETAILS OF THE NUMERICAL EVALUATION OF \( P(\alpha, \beta) \)

Here we give a detailed description of the computational procedure used to evaluate \( P(\alpha, \beta) \). To make the description concrete, we take \( \alpha / \pi = 0.370 \, 396 \, 5 \) and \( \beta = 0 \) (these parameter values correspond to \( P \ll c_{\text{ring}} \)). All numerical calculations were performed in Python and utilized the NUMPY package.

First, using the function NUMPY.LINALG.EIGH, we compute the eigenvalues \( \lambda^{(N)} \) of the \( N \)-dimensional system \( \sum_{m=0}^{N} \mathcal{K}_{mn} \mathcal{c}_n = \lambda^{(N)} \mathcal{c}_m \) and select the smallest eigenvalue \( \lambda_{\text{min}}^{(N)} \). We perform this computation for 15 different values of \( N \), ranging between 800 and 10,000. The results are as follows:

- \( \lambda_{\text{min}}^{(800)} = -0.116 \, 815 \, 649 \, 609 \, 460 \, 832 \, 51 \),
- \( \lambda_{\text{min}}^{(1000)} = -0.116 \, 815 \, 649 \, 328 \, 050 \, 89 \),
- \( \lambda_{\text{min}}^{(1200)} = -0.116 \, 815 \, 649 \, 115 \, 148 \, 84 \),
- \( \lambda_{\text{min}}^{(1400)} = -0.116 \, 815 \, 649 \, 328 \, 050 \, 89 \),
- \( \lambda_{\text{min}}^{(1600)} = -0.116 \, 815 \, 649 \, 744 \, 512 \, 46 \).

Then, using the function NUMPY.POLYFIT, \( \lambda_{\text{min}}^{(N)} \) is fit by the following quadratic polynomial in \( 1/N \):

\[
\lambda_{\text{min}}^{(N)} \approx a_0 + \frac{a_1}{N} + \frac{a_2}{N^2},
\]

with

- \( a_0 = -0.116 \, 815 \, 649 \, 728 \, 316 \, 78 \),
- \( a_1 = -5.363 \, 071 \, 11 \, 822 \, 449 \, 864 \times 10^{-8} \),
- \( a_2 = 0.025 \, 874 \, 903 \, 267 \, 757 \, 55 \).

The fit, shown in Fig. 4, is very accurate: the corresponding residual (i.e., the sum of the squares of the fit errors) is approximately equal to \( 7.3 \times 10^{-20} \). This allows us to approximate the sought value of \( P \equiv \lim_{N \to \infty} \lambda_{\text{min}}^{(N)} \) by \( a_0 \).

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