Chapter 15
The Lung and Its Transplantation and Artificial Replacement

15.1 Introduction

The human thoracic cavity houses a pair of lungs, the left lung and the right lung. The left lung is slightly smaller (since the heart is placed a bit to the left in the body) and has two lobes, and the right lung is bigger, with three lobes. They are spongy and elastic organs that are broad at the bottom and taper at the top. They consist of air sacs, the alveoli. Many alveoli group together and open into a common space. From this space arise the alveolar ducts, which join together to form bronchioles. The bronchioles connect them to the respiratory tract. The lungs also have blood vessels, the branches of the pulmonary artery and veins (Fig. 15.1).

Each lung is enclosed by two membranes called the outer and the inner pleural membrane. The membranes enclose a space called the pleural cavity that contains a fluid. The lungs are capable of expanding and contracting since they are elastic organs. Lubrication for their regular movement is provided by the fluid in the pleural cavity.

The chest wall is made up of 12 pairs of ribs and the intercostal muscles that are attached to the ribs. A thick membranous structure, called the diaphragm, is present below the lungs and separates the thoracic cavity from the abdominal cavity.

15.2 Respiratory Tract

Apart from the lungs, several associated organs and structures together form the respiratory system. The respiratory system is closely linked with the circulatory system, as the transport of the gases takes place through blood.
15.2.1 Structure

The respiratory system starts with the nose, which covers the nasal cavity. The nasal cavity opens to the atmosphere through the openings called the nostrils. The nasal cavity is divided into two portions by a cartilagenous septum and is lined by fine hairs that filter the dust particles from the air. The nasal cavity is separated from the mouth by hard and soft palates that form its floor. It opens into the region called the pharynx (Fig. 15.2).

The pharynx is a common passage to both food and air. This allows more air whenever required and also allows passage of air in case the nose is blocked. The pharynx continues into the glottis.

The glottis is the narrow opening into the larynx. It is guarded by a flap of tissue called the epiglottis. Several folds of elastic connective tissue are embedded into the posterior end of the glottis. They are called the vocal cords. These extend into the larynx.

The larynx is also called the voice box. The vocal cords stretch across the larynx and vibrate when the air passes through them. This vibration produces various sounds.

The coordinated movement of the lips, cheeks, tongue, and jaws produce specific sounds that result in speech. Speech is an ability that only humans are gifted with,
and this is one of the characteristics that have put human beings at the top of the evolutionary pyramid.

The larynx is held open with the help of cartilages. The “Adam’s apple” is a prominent cartilage of the larynx. The larynx continues as the trachea after the cords.

The trachea is also called the windpipe. The trachea is held open with the help of C-shaped cartilagenous rings. The open ends of the rings are located toward the esophagus, also known as the foodpipe. The trachea is situated in front of the esophagus. The cartilages keep the larynx and trachea from collapsing even when there is no air in them. The trachea then branch into two main branches called bronchi. Each bronchus is also supported by the cartilagenous rings. The bronchus then branches into several bronchioles. The bronchioles progressively lose the cartilages as they become narrower. The bronchioles end as fine tubules called the alveolar ducts (Fig. 15.3).

Each alveolar duct opens into an alveolar sac. An alveolar sac is the extended region into which a group of alveoli or air sacs open. Each alveolus is a saclike structure lined by a single layer of epithelial cells. It is bound on the outside by a network of capillaries. All the alveoli on one side are enclosed by the membrane called the pleural membrane and constitute a lung. The pulmonary artery from the heart containing impure blood enters the lungs and branches into minute capillaries that surround the alveoli. These capillaries then join together to form the pulmonary vein, which carries the purified blood back to the heart.
The common composition of atmospheric air that we breathe in is

\textit{nitrogen—78\%, oxygen—21\%, carbon dioxide—0.03–0.04\%, hydrogen—traces and noble gases in traces.}

Thus, the air naturally contains nearly 500 times more oxygen than carbon
dioxide. This oxygen-rich air is taken in by the nostrils. In the nasal cavity, it is
filtered by the fine hairs in the nose. The cavity also has a rich supply of blood
vessels that keep the air warm. This air then enters the pharynx, then the larynx, and
then into the trachea.

The trachea and the bronchi are lined with ciliated epithelial cells and secretory
cells (goblet cells). The secretory cells secrete mucus, which moistens the air as it
passes through the respiratory tract, and also trap any fine particles of dust or bacte-
ria that have escaped the hairs of the nasal cavity. The cilia beat with an upward
motion such that the foreign particles along with the mucus is sent to the base of the
buccal cavity, from where it may be either swallowed or coughed out (Fig. 15.4).

The air from the bronchus then enters the bronchioles and then the alveoli. The
alveoli form the respiratory surface in humans.

\section*{15.4 Gaseous Exchange}

The capillaries lining the alveoli have blood that has a low concentration of oxygen.
So the oxygen from the air easily diffuses into the blood through the thin barrier of
the alveolus wall. Similarly, since the concentration of carbon dioxide is quite
high in the blood, the gas easily diffuses out into the alveolar space. From here, the
air—which has a comparatively higher concentration of carbon dioxide than the air
that entered it—leaves the lungs (Fig. 15.5).
15.5 Common Lung Diseases

The human lung is a very important and strong organ that has to withstand a strong and continuous assault stemming from a wide range of environmental conditions and the lifestyle in our modern world, which cause a multitude of diseases and conditions, as outlined here:

- **Chronic obstructive pulmonary disease (COPD):** Damage to the lungs results in difficulty blowing air out, causing shortness of breath. Smoking is by far the most common cause of COPD.
- **Emphysema:** A form of COPD usually caused by smoking. The fragile walls between the lungs' air sacs (alveoli) are damaged, trapping air in the lungs and making breathing difficult.
• **Pneumonia**: Infection in one or both lungs. Bacteria, especially *Streptococcus pneumoniae*, are the most common cause.

• **Asthma**: The lungs’ airways (bronchi) become inflamed and can spasm, causing shortness of breath and wheezing. Allergies, viral infections, or air pollution often triggers asthma symptoms.

• **Acute bronchitis**: An infection of the lungs’ large airways (bronchi), usually caused by a virus. Cough is the main symptom of acute bronchitis.

• **Pulmonary fibrosis**: A form of interstitial lung disease. The interstitium (walls between air sacs) become scarred, making the lungs stiff and causing shortness of breath.

• **Pleurisy**: Inflammation of the lining of the lung (pleura), which often causes pain when breathing. Autoimmune conditions, infections, or a pulmonary embolism may cause pleurisy.

• **Lung cancer**: Cancer may affect almost any part of the lung. Most lung cancer is caused by smoking.

• **Tuberculosis**: A slowly progressive pneumonia caused by the bacteria *Mycobacterium tuberculosis*. Chronic cough, fever, weight loss, and night sweats are common symptoms of tuberculosis.

• **Acute respiratory distress syndrome (ARDS)**: Severe, sudden injury to the lungs caused by a serious illness. Life support with mechanical ventilation is usually needed to survive until the lungs recover.

• **Hypersensitivity pneumonitis (allergic alveolitis)**: Inhaled dust causes an allergic reaction in the lungs. Usually, this occurs in farmers or others who work with dried, dusty plant material.

• **Pulmonary hypertension**: Many conditions can lead to high blood pressure in the arteries leading from the heart to the lungs. If no cause can be identified, the condition is called idiopathic pulmonary arterial hypertension.

• **Pulmonary embolism**: A blood clot (usually from a vein in the leg) may break off and travel to the heart, which pumps the clot (embolus) into the lungs. Sudden shortness of breath is the most common symptom of a pulmonary embolism.

• **Severe acute respiratory syndrome (SARS)**: A severe pneumonia caused by a specific virus first discovered in Asia in 2002. Worldwide prevention measures seem to have controlled SARS, which has caused deaths in India but no deaths in the U.S.

### 15.6 Lung Transplantation

**Lung transplantation** is a surgical procedure to totally or partially replace a patient’s diseased lung with a donor’s lung. While lung transplants carry certain associated risks, they can also extend life expectancy and enhance the quality of life for end-stage pulmonary patients.

Lung transplantation is the therapeutic measure of last resort for patients with end-stage lung disease who have exhausted all other available treatments without improvement. A variety of conditions may make such surgery necessary. As of
2005, the most common reasons for lung transplantation in the United States were the following [1]:

27% from chronic obstructive pulmonary disease (COPD), including emphysema
16% from idiopathic pulmonary fibrosis
14% from cystic fibrosis
12% from idiopathic (formerly known as “primary”) pulmonary hypertension
5% from alpha 1-antitrypsin deficiency
2% due to replacing previously transplanted lungs that have failed after a period
12% from other causes.

15.7  Types of Lung Transplants

15.7.1  Lobe

A lobe transplant is a surgery in which part of a living donor’s lung is removed and used to replace part of a recipient’s diseased lung. This procedure usually involves the donation of lobes from two different people, thus replacing a single lung in the recipient. Donors who have been properly screened should be able to maintain a normal quality of life despite the reduction in lung volume.

15.7.2  Single-Lung Transplant

Many patients can be helped by the transplantation of a single healthy lung. The donated lung typically comes from a donor who has been pronounced brain-dead.

15.7.3  Double-Lung Transplant

Certain patients may require both lungs to be replaced. This is especially the case for people with cystic fibrosis, due to the bacterial colonization commonly found within such patients’ lungs; if only one lung were transplanted, bacteria in the native lung could potentially infect the newly transplanted organ.

15.7.4  Heart–Lung Transplant

Some respiratory patients may also have severe cardiac disease that itself would necessitate a heart transplant. These patients can be treated by a surgery in which both lungs and the heart are replaced by organs from a donor or donors. First
performed in 1987, this type of transplant typically involves the transplantation of a heart and lungs into a recipient. Prior to operating on the recipient, the transplant surgeon inspects the donor lung(s) for signs of damage or disease. If the lung or lungs are approved, then the recipient is connected to an interventous line and various monitoring equipment, including pulse oximetry. The patient will be given general anesthesia, and a machine ventilator will breathe for the patient. The donors are usually road accident victims whose organs can be transplanted within 5–6 h of death. There are a large number of patients who are waiting for such transplantation from donors.

15.8 Design of Artificial Lungs

Potkey of VAMC Cleveland, Ohio (2009), recently developed one model of artificial lungs. He indicated more than 35 million Americans are living with chronic lung disease; it is responsible for nearly 350,000 deaths every year in the United States alone [1]. Acute respiratory distress syndrome has a mortality rate of 50% and affects 1.50 lakh Americans each year [2]. Many patients waiting for lung transplants die while on the waiting list. To help combat these problems, artificial lungs have been developed with the goal of replacing or supplementing the respiratory function of the lung. Artificial lungs mimic the function of real lungs, adding oxygen to, and removing carbon dioxide from, the blood. In all cases, however, the performance of artificial lungs is still significantly lower than that of natural lungs. The human lung is a remarkable organ, providing a maximum gas exchange rate for both O₂ and CO₂ of 2–6 l/min [3]. On the other hand, current artificial lungs are only capable of a maximum gas exchange rate of 0.25–0.40 l/min, limiting their use to the short-term respiratory support for patients at rest. This insufficiency is due to the smaller surface area, smaller surface-area-to-volume ratio, and greater membrane thickness of artificial lungs compared to the human lung [3].

Recent advances in the micromachining of silicone elastomer (PDMS) have made possible the creation of a new highly efficient artificial lung (Fig. 15.6) with feature sizes similar to or better than those of the human lung. Such a micromachined artificial lung would have an improved gas exchange performance compared to its conventional counterparts, potentially resulting in increased clinical use.

15.9 Design Overview

Silicone has been used as the membrane material in some commercially available artificial lungs due to its biocompatibility, durability, stability, and high permeability to oxygen and carbon dioxide. However, these devices have limited gas-exchange capability mainly due to the membrane’s thickness (>50 μm). A significant advantage of silicone membranes is that blood plasma leakage does not occur as it does in microporous hollow fiber oxygenators [3]. In fact, hollow fiber oxygenators are
**Fig. 15.6** An exploded view of a micromachined artificial lung showing its flow channels and gas diffusion membrane; for clarity, the blood inlet and outlet are not shown.

**Fig. 15.7** Schema of cardiovascular bypass system

sometimes coated with a thin layer of silicone in order to reduce plasma leakage and increase the device lifetime. Due to these advantages, silicone was utilized as the membrane material in this study (Fig. 15.7).
For Detailed Study, Consult the Following Papers

1. Federspiel WJ, Henchir KA (2004) Lung, artificial: basic principles and current applications. In: Encyclopedia of biomaterials and biomedical engineering. University of Pittsburgh, Pittsburgh, PA
2. Makarewicz AJ, Mockros LF, Mavroudis C (1996) New design for a pumping artificial lung. ASAIO J 42(5):M615–M619
3. Bartlett RH. Project, Development of a total artificial lung, 1 February 2002–30 June 2012 in National Heart, Lung, and Blood Institute

Problems

1. Describe the structure and function of natural lungs. What conditions in a patient create the requirement for an artificial lung?
2. Describe how to develop an artificial lung. Indicate the volume of blood and oxygen and other gases to be handled. Develop a schematic diagram for an artificial lung.