Quantifying Intrinsic and Extrinsic Variability in Stochastic Gene Expression Models

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Abstract

Genetically identical cell populations exhibit considerable intercellular variation in the level of a given protein or mRNA. Both intrinsic and extrinsic sources of noise drive this variability in gene expression. More specifically, extrinsic noise is the expression variability that arises from cell-to-cell differences in cell-specific factors such as enzyme levels, cell size and cell cycle stage. In contrast, intrinsic noise is the expression variability that is not accounted for by extrinsic noise, and typically arises from the inherent stochastic nature of biochemical processes. Two-color reporter experiments are employed to decompose expression variability into its intrinsic and extrinsic noise components. Analytical formulas for intrinsic and extrinsic noise are derived for a class of stochastic gene expression models, where variations in cell-specific factors cause fluctuations in model parameters, in particular, transcription and/or translation rate fluctuations. Assuming mRNA production occurs in random bursts, transcription rate is represented by either the burst frequency (how often the bursts occur) or the burst size (number of mRNAs produced in each burst). Our analysis shows that fluctuations in the transcription burst frequency enhance intrinsic noise but do not affect the intrinsic noise. On the contrary, fluctuations in the transcription burst size or mRNA translation rate dramatically increase both intrinsic and extrinsic noise components. Interestingly, simultaneous fluctuations in transcription and translation rates arising from randomness in ATP abundance can decrease intrinsic noise measured in a two-color reporter assay. Finally, we discuss how these formulas can be combined with single-cell gene expression data from two-color reporter experiments for estimating model parameters.

Introduction

Genetically identical cell populations exposed to same extracellular environment exhibit considerable variability in the level of a given protein or mRNA. This variation in the level of a given protein is often referred to as gene expression noise. Increasing evidence suggests that noise plays important functional roles in many cellular processes. For example, tight control of expression noise is vital for optimal functioning of housekeeping proteins [6–8], and diverse diseased states have been attributed to an elevated expression noise [9–11]. Not surprisingly, genes actively use different regulatory mechanisms to reduce stochastic fluctuations in protein levels [12–22,22–25]. Expression noise is also exploited to drive genetically identical cells to different cell-fates [26–31], and to buffer cellular populations from hostile changes in the environment [27,32–34].

Gene expression noise can be decomposed into intrinsic and extrinsic noise [35–37]. More specifically, intrinsic noise is the protein variability that arises from the inherent stochastic nature of biochemical reactions associated with transcription, translation, mRNA and protein degradation. Given that many mRNA species are present at low copy numbers inside cells, random birth and death of individual mRNA transcripts generates considerable intrinsic noise [38–41]. Let $Z$ be any cell-specific factor (such as cell cycle stage, abundance of RNA polymerases/ribosomes, cellular environment, etc.) that affects expression of a given gene. Then, cell-to-cell differences in $Z$ will create intercellular expression variability, that is referred to as extrinsic noise. Variations in $Z$ induce fluctuations in model parameters (such as the transcription and translation rate), and extrinsic noise can be effectively quantified through analysis of deterministic gene expression models with corresponding parameter fluctuations [42].

We define intrinsic and extrinsic noise in the context of a two-color experiment, where the gene of interest is duplicated inside the cell (Figure 1). Consider two identical copies of a promoter that is common to both copies of the gene, $P$. Let $p_1(t)$ and $p_2(t)$ denote the level of these proteins at time $t$ inside the cell. Since cell-specific factor $Z$ is common to both copies of the gene, cell-to-cell variations in $Z$ will make $p_1(t)$ and $p_2(t)$ correlated. The contribution of $Z$ to expression noise is quantified via the extrinsic noise defined as

\[
\text{Extrinsic Noise} = \frac{\langle p_1 p_2 \rangle - \langle p_1 \rangle \langle p_2 \rangle}{\langle p_1 \rangle \langle p_2 \rangle},
\]

and is related to the covariance between reporter levels. If reporter levels are perfectly correlated, and assuming $\langle p_1 \rangle = \langle p_2 \rangle$, $\langle p_1^2 \rangle = \langle p_2^2 \rangle$. 
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Extrinsic Noise = Total Noise = \( \frac{\left\langle p_1^2 \right\rangle - \left\langle p_1 \right\rangle^2}{\left\langle p_1 \right\rangle^2} \),

which is the total noise in protein level measured by its coefficient of variation squared. Intrinsic noise is the protein variability that is not accounted for by extrinsic noise, and is defined as

Intrinsic Noise = Total Noise - Extrinsic Noise

In summary, a two-color assay can be used to decompose the total protein noise level into intrinsic and extrinsic noise components, computed via (1) and (3), respectively.

Analytical formulas for intrinsic and extrinsic noise are derived for a class of stochastic gene expression models with fluctuations in the transcription or translation rate. Assuming mRNA production occurs in random bursts, transcription rate is represented by either the burst frequency (how often the bursts occur) or the burst size (number of mRNAs produced in each burst). Our results show that fluctuations in the transcription burst frequency enhance extrinsic noise but do not affect the intrinsic expression noise. However, fluctuations in the transcriptional burst size or mRNA translation rate increase both intrinsic and extrinsic noise. A recent study has implicated fluctuations in ATP levels as a major driver of gene expression variability [43]. Since ATP affects both transcription and translation, simultaneous fluctuations in multiple model parameters is investigated. Interestingly, simultaneous fluctuations in the transcription and translation rates decrease intrinsic noise in certain parameter regimes. Finally, usefulness of these formulas in interpreting two-color reporter experiments and estimating model parameters is discussed.

Gene Expression with Constant Parameters

We begin by introducing the standard stochastic gene expression model [44–47], where all model parameters are fixed, and expression variability arises due to the stochastic nature of transcription and translation processes.

Model Formulation

Transcription has been shown to occurs in “bursts” with each burst producing multiple mRNA copies [48–53]. Assume mRNAs are produced in bursts of size \( B_m \) that occur at a rate \( k_m \). We refer to \( k_m \) and \( B_m \) as the transcriptional burst frequency and burst size, respectively. Consistent with measurements [50], \( B_m \) is assumed to be a geometrically distributed random variable with probability distribution

\[ \text{Probability}\{B_m=i\} = z_i = (1-s)^i s, \quad 0 < s \leq 1, \quad i = \{0,1,2, \ldots\} \]

and mean burst size \( \langle B_m \rangle = (1-s)/s \). Proteins are produced from each mRNA at a translation rate \( k_p \). Finally, mRNAs and proteins degrade at constant rates \( \gamma_m \) and \( \gamma_p \), respectively. The stochastic model considers transcription, translation and degradation as probabilistic events that occur at exponentially-distributed time intervals [54,55]. Moreover, whenever a particular event occurs, the mRNA and protein population count is reset accordingly. Let \( m(t) \) and \( p(t) \) denote the number of molecules of the mRNA and protein at time \( t \), respectively. Then, the reset in \( m(t) \) and \( p(t) \) for different events is shown in the second column of the table in Figure 2. The third column lists the propensity functions \( f(m,p) \) which determine how often an event occurs. In particular, the probability that a particular event will occur in the next infinitesimal time interval \((t,z + dt)\) is given by \( f(m,p)dt\).

Computation of Intrinsic Noise

It is relatively straightforward to derive differential equations describing the time evolution of the different statistical moments of the mRNA and protein count. For the above model, the time-derivative of the expected value of any differentiable function \( \varphi(m,p) \) is given by

\[ \frac{d\langle \varphi(m,p) \rangle}{dt} = \langle \sum_{\text{Events}} \Delta \varphi(m,p) \times f(m,p) \rangle, \]

where \( \Delta \varphi(m,p) \) is the change in \( \varphi \) when an event occurs, \( f(m,p) \) is

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**Figure 1. Decomposing gene expression variability into extrinsic and intrinsic noise using a two-color reporter assay.** Two identical copies of a promoter express two different reporter proteins. Correlation in reporter levels is a measure of extrinsic noise that arises from cell-to-cell differences in shared cellular factors. Intrinsic noise is the protein variability that is not accounted for by extrinsic noise, and typically originates from the inherent stochastic nature of biochemical processes.

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| Event             | Population Reset | Propensity Function |
|-------------------|------------------|---------------------|
| mRNA Birth        | \( m(t) \to m(t) + i \) | \( k_m \alpha(i), i = 0, 1, \ldots \) |
| mRNA Death        | \( m(t) \to m(t) - 1 \) | \( \gamma_m m(t) \) |
| Protein Birth     | \( p(t) \to p(t) + 1 \) | \( k_p m(t) \) |
| Protein Death     | \( p(t) \to p(t) - 1 \) | \( \gamma_p p(t) \) |

The coefficient of variance squared used as a metric for quantifying the extent of variability/noise in protein copy numbers. From the steady-state protein variance and mean we obtain

\[
CV_{\text{fixed}}^2 = \frac{\langle B_m^2 \rangle + \langle B_m \rangle \gamma_p}{2 \langle B_m \rangle \langle m \rangle} + \frac{1}{\langle p \rangle} + \frac{1}{\langle m \rangle} + \frac{1}{\langle p \rangle},
\]

which represents the total intrinsic noise in protein level for fixed parameters. As \( B_m \) is geometrically distributed, \( \langle B_m^2 \rangle = 2 \langle B_m \rangle^2 + \langle B_m \rangle \), and (9) reduces to

\[
CV_{\text{fixed}}^2 = \frac{\langle B_m \rangle + 1}{\langle m \rangle} \frac{\gamma_p}{\gamma_p + \gamma_m} + \frac{1}{\langle p \rangle} + \frac{1}{\langle m \rangle} + \frac{1}{\langle p \rangle}.
\]

The first term on the right-hand-side of (10) represents the noise in mRNA copy numbers that is transmitted to the protein level [15,46]. The second term is the Poissonian noise arising from random birth-death of protein molecules. Next, the noise additional to (10) that comes from fluctuations in individual model parameters (such as \( k_m, \langle B_m \rangle \), and \( k_p \)) is quantified.

**Transcription Burst Frequency Fluctuations**

Consider a cell-specific factor \( Z \) at the transcriptional level (such as a transcription factor). Then, fluctuations in \( Z \) can affect the transcriptional frequency \( k_m \) or burst size \( B_m \) in the model. The former case of burst frequency fluctuations is considered first.

### Modeling Parameter Fluctuations

Let \( z(t) \) denote the level of a cellular factor \( Z \) inside the cell at time \( t \). Fluctuations in \( z(t) \) are modeled through a simple birth-death process with probabilities of formation and degradation in the infinitesimal time interval \((t, t + dt)\) given by

\[
\begin{align*}
\text{Probability}\{z(t + dt) = z(t) + 1\} &= k_z dt \quad (11a) \\
\text{Probability}\{z(t + dt) = z(t) - 1\} &= \gamma_z z(t) dt \quad (11b)
\end{align*}
\]

where \( k_z \) and \( \gamma_z \) represent the production and degradation rate of \( Z \), respectively. For the process described in (11), the steady-state mean, coefficient of variation squared \( CV_z^2 \) and the auto-correlation function \( R_z(t) \) are given by
Thus by changing \( k_z \) and \( \gamma_z \), both the extent and time-scale of fluctuations in \( z(t) \) can be independently modulated. Note the inverse relationship between \( \langle z \rangle \) and \( CV_z^2 \) implies Poisson statistics. Fluctuations in \( Z \) are incorporated in the model by assuming that the transcription burst frequency is no longer a constant but given by \( k_m z(t)/\langle z \rangle \), making it a random process with mean \( k_m \) and coefficient of variation squared \( CV_z^2 \). Throughout this manuscript, \( CV_z^2 \) represents the extent of parameter fluctuations [42].

### Computation of Total Noise

The stochastic model consists of six birth-death events that change cellular factor, mRNA and protein copy numbers by integer amounts. Using the propensity functions in Figure 2 and (11) in (5) we obtain

\[
\frac{d\langle \phi(m,p,z) \rangle}{dt} = \langle \gamma_z \phi(m,p,z - 1) - \phi(m,p,z) \rangle + \langle k_z \phi(m,p,z + 1) - \phi(m,p,z) \rangle + \langle \gamma_p \phi(m,p - 1,z) - \phi(m,p,z) \rangle + \langle k_m \phi(m,p + 1,z) - \phi(m,p,z) \rangle + \langle \gamma_m \phi(m - 1,p,z) - \phi(m,p,z) \rangle + \frac{k_m}{\langle z \rangle} \sum_{i=0}^{\infty} z_i \phi(m + i,p,z) - \phi(m,p,z))
\]

for any differentiable function \( \phi(m,p,z) \). Appropriate choices of \( \phi(m,p,z) \) result in

\[
\frac{d\langle z \rangle}{dt} = k_z - \gamma_z \langle z \rangle, \quad \frac{d\langle m \rangle}{dt} = k_m \langle B_m \rangle \langle z \rangle / \langle z \rangle - \gamma_m \langle m \rangle, \quad \frac{d\langle p \rangle}{dt} = k_p \langle m \rangle - \gamma_p \langle p \rangle
\]  

(14a)

\[
\frac{d\langle z^2 \rangle}{dt} = k_z + \gamma_z \langle z \rangle + 2k_z \langle z \rangle - 2\gamma_z \langle z^2 \rangle \quad \text{(14b)}
\]

\[
\frac{d\langle m^2 \rangle}{dt} = k_m \langle B_m \rangle \langle z \rangle / \langle z \rangle + \gamma_m \langle m \rangle + 2k_m \langle B_m \rangle \langle m \rangle / \langle z \rangle - 2\gamma_m \langle m^2 \rangle \quad \text{(14c)}
\]

\[
\frac{d\langle p^2 \rangle}{dt} = k_p \langle m \rangle + \gamma_p \langle p \rangle + 2k_p \langle mp \rangle - 2\gamma_p \langle p^2 \rangle \quad \text{(14d)}
\]

\[
\frac{d\langle mp \rangle}{dt} = k_p \langle m^2 \rangle + k_m \langle B_m \rangle \langle p \rangle / \langle z \rangle - \gamma_p \langle mp \rangle - \gamma_m \langle mp \rangle \quad \text{(14e)}
\]

\[
\frac{d\langle mz \rangle}{dt} = k_z \langle m \rangle + k_m \langle B_m \rangle \langle z \rangle / \langle z \rangle - \gamma_m \langle mz \rangle - \gamma_z \langle mz \rangle \quad \text{(14f)}
\]

\[
\frac{d\langle pz \rangle}{dt} = k_p \langle mz \rangle + k_p \langle m \rangle - \gamma_p \langle pz \rangle - \gamma_z \langle pz \rangle \quad \text{(14g)}
\]

which yield the steady-state variability in protein level as

\[
CV_{\text{burst-freq}}^2 = \frac{\langle B_m \rangle + \frac{1}{\langle m \rangle} \langle \gamma_p \rangle + \frac{1}{\langle p \rangle}}{\frac{\gamma_m}{\langle m \rangle} + \frac{\gamma_p}{\langle p \rangle} + \gamma_z} + CV_{\text{mz}}^2 - \frac{\gamma_m \langle B_m \rangle \langle z \rangle / \langle z \rangle - \gamma_m \langle m \rangle}{\gamma_m + \gamma_p + \gamma_z} \quad \text{(15)}
\]

The first two terms on the right-hand-side of (15) represent the noise level with fixed parameters (Eq. (10)). The third term is the additional noise due to burst frequency fluctuations. Next, (15) is decomposed into intrinsic and extrinsic noise components as measured by the two-color reporter assay (Figure 1).

### Computation of Intrinsic and Extrinsic Noise

Extrinsic noise can be approximated by the coefficient of variation squared of the protein level in a deterministic gene expression model with corresponding parameter fluctuations [42]. The deterministic counterpart to the stochastic model is the set of ordinary differential equations

\[
\frac{dm(t)}{dt} = \frac{k_m \langle B_m \rangle z(t)}{\langle z \rangle} - \gamma_m m(t) \quad \text{(16a)}
\]

\[
\frac{dp(t)}{dt} = \frac{k_p m(t)}{\langle p \rangle} - \gamma_p p(t) \quad \text{(16b)}
\]

Driven by the stochastic process \( z(t) \) defined in (11). For this hybrid model, where some states are continuous and other are discrete, the time derivative of \( \langle \phi(m,p,z) \rangle \) is given by (see Theorem 1 in [59])

\[
\frac{d\langle \phi(m,p,z) \rangle}{dt} = \langle \gamma_z \phi(m,p,z - 1) - \phi(m,p,z) \rangle + \langle k_z \phi(m,p,z + 1) - \phi(m,p,z) \rangle + \langle \gamma_p \phi(m,p - 1,z) - \phi(m,p,z) \rangle + \langle k_m \phi(m,p + 1,z) - \phi(m,p,z) \rangle + \langle \gamma_m \phi(m - 1,p,z) - \phi(m,p,z) \rangle + \frac{k_m}{\langle z \rangle} \sum_{i=0}^{\infty} z_i \phi(m + i,p,z) - \phi(m,p,z))
\]

(17)

and leads to moment dynamics identical to (14) except for

\[
\frac{d\langle m^2 \rangle}{dt} = 2k_m \langle B_m \rangle \langle m \rangle / \langle z \rangle - 2\gamma_m \langle m^2 \rangle \quad \text{(18a)}
\]

\[
\frac{d\langle p^2 \rangle}{dt} = 2k_p \langle m \rangle - 2\gamma_p \langle p^2 \rangle \quad \text{(17)}
\]
Quantification of protein noise level from (14) (with (14c)–(14d) replaced by (18a)–(18b)) gives the extrinsic noise, which is subtracted from (15) for the intrinsic noise. This analysis results in

\[ \text{Total noise} = CV^2_{\text{burst-freq.}} = \text{Intrinsic noise} + \text{Extrinsic noise} \]  
(19a)

\[ \text{Intrinsic noise} = CV^2_{\text{fixed}} = \frac{\langle B_m \rangle + 1}{\langle m \rangle} \frac{\gamma_p}{\gamma_m + \gamma_m} + \frac{1}{\langle p \rangle} \]  
(19b)

\[ \text{Extrinsic noise} = CV^2 z \frac{\gamma_m \gamma_p (\gamma_m + \gamma_p + \gamma_i)}{(\gamma_m + \gamma_p) \{\gamma_m + \gamma_p (\gamma_m + \gamma_p + \gamma_i)\}}. \]  
(19c)

As expected, extrinsic noise increases with extent of parameter fluctuations \( CV^2 z \). On the contrary, intrinsic noise is independent of \( CV^2 z \) and is equal to \( CV^2_{\text{fixed}} \). An important limit considered previously is the case where parameter values (in this case transcription burst frequency) are drawn from a static distribution \([36]\). In our model, this corresponds to a scenario where the time-scale of fluctuations in \( z(t) \) are slow compared to mRNA/protein turnover rates. When \( \gamma_z \ll \gamma_m, \gamma_p \), Eq. (19c) reduces to \( \text{Extrinsic noise} = CV^2 \), and this result is consistent with previous calculations of extrinsic noise for parameter values drawn from a static distribution (see Eq. 25 in [36]).

**Transcription Burst Size Fluctuations**

Consider an alternative scenario of a fixed transcription burst frequency but varying burst size. Assume mRNAs are produced in geometrically distributed bursts with mean \( \langle B_m \rangle z(t) / \langle z \rangle \), where \( z(t) \) is the level of the cellular factor inside the cell at time \( t \). This implies

\[ \text{Probability} \{ B_m = i \} = z_i = (1 - s(t)) s(t), \quad i = \{ 0, 1, 2, \ldots \}, \]  
(20)

and mean burst size

\[ \sum_{t=0}^{\infty} x_i = \frac{1 - s(t)}{s(t)} = \frac{\langle B_m \rangle z(t)}{\langle z \rangle}, \quad \Rightarrow \frac{\langle B_m \rangle z(t)}{\langle z \rangle} = \frac{1}{1 + \frac{\langle B_m \rangle z(t)}{\langle z \rangle}}. \]  
(21)

**Computation of Total Noise**

Time derivative of statistical moments is obtained from

\[ \frac{d \langle \phi(m, p, z) \rangle}{dt} = \gamma_p \langle \phi(m, p, z - 1) - \phi(m, p, z) \rangle + \gamma_m \langle \phi(m, p, z + 1) - \phi(m, p, z) \rangle \]

\[ + \langle \gamma_p \phi(m, p, z - 1) - \phi(m, p, z) \rangle + \langle \gamma_m \phi(m, p, z + 1) - \phi(m, p, z) \rangle + \langle \gamma_m \phi(m, p, z + 1) - \phi(m, p, z) \rangle \]

\[ + \langle \sum_{t=0}^{\infty} k_m x_i \phi(m + i, p, z) - \phi(m, p, z) \rangle, \]

where \( x_i \) is given by (21). Equation (24) yields moment dynamics identical to (14) except for the time derivative of \( \langle m^2(t) \rangle \). For \( \phi(m, p, z) = m^2 \),

\[ \frac{d \langle m^2 \rangle}{dt} = \gamma_m \langle m \rangle - 2 \gamma_m \langle m^2 \rangle + k_m \langle \sum_{t=0}^{\infty} z_i \rangle^2 + 2 k_m \langle m \sum_{t=0}^{\infty} z_i \rangle \]  
(23)

Using the fact that for a geometric distribution

\[ \sum_{i=0}^{\infty} x_i^2 = 2 \left( \sum_{i=0}^{\infty} x_i \right)^2 + \sum_{i=0}^{\infty} x_i, \]  
(24)

and (21), (23) is written as

\[ \frac{d \langle m^2 \rangle}{dt} = \gamma_m \langle m \rangle - 2 \gamma_m \langle m^2 \rangle + \frac{k_m \langle B_m \rangle}{\langle z \rangle} \left( 2 \langle B_m \rangle \langle z \rangle + \langle z \rangle^2 + 2 \langle m z \rangle \langle z \rangle \right). \]  
(25)

Steady-state analysis of (14) (with (14c) replaced by (25)) results in

\[ \frac{CV^2_{\text{burst-size}}}{\langle m \rangle} = \frac{\gamma_p \gamma_m (\gamma_m + \gamma_p + \gamma_i)}{\gamma_m + \gamma_p \{\gamma_m + \gamma_p (\gamma_m + \gamma_p + \gamma_i)\}}, \]  
(26)

the total protein noise level for transcriptional burst size fluctuations. As expected when \( CV^2 z = 0 \) (no parameter fluctuations) (26) reduces to (10). Comparison of (26) with (15) reveals that for a given \( CV^2 z \), burst size fluctuations generates larger variability in protein level than burst frequency fluctuations.

**Computation of Intrinsic and Extrinsic Noise**

For burst size fluctuations, the deterministic model used for quantifying extrinsic noise will be identical to (16). Since both transcriptional burst size and frequency appear together, replacing \( k_m z(t) / \langle z \rangle \) with \( k_m \) and \( \langle B_m \rangle \) with \( \langle B_m \rangle z(t) / \langle z \rangle \) in (16) does not alter the model. Thus, extrinsic noise is same irrespective of whether fluctuations are in the transcriptional burst size or frequency. Using (19c) and (26)

\[ \text{Total noise} = CV^2_{\text{burst-size}} = \text{Intrinsic noise} + \text{Extrinsic noise} \]  
(27a)

\[ \text{Intrinsic noise} = \frac{\langle B_m \rangle (1 + CV^2) + 1}{\langle m \rangle} \frac{\gamma_p}{\gamma_m + \gamma_m} + \frac{1}{\langle p \rangle} \]  
(27b)

\[ \text{Extrinsic noise} = CV^2 \frac{\gamma_m \gamma_p (\gamma_m + \gamma_p + \gamma_i)}{\gamma_m + \gamma_p \{\gamma_m + \gamma_p (\gamma_m + \gamma_p + \gamma_i)\}}. \]  
(27c)

In contrast to (19), intrinsic noise linearly increases with \( CV^2 z \) for burst size fluctuations (Figure 3).
Translation Rate Fluctuations

Next, we consider mRNA translation rate fluctuations and set it equal to $k_{pz}(t) = S_z T$. From Figure 2, this implies that the propensity function for the translational event is now nonlinear and given by $k_{pz}(t)m(t) = S_z T$. Since mRNA production is no longer dependent on $Z$, $z(t)$ and $m(t)$ are independent random processes.

Computation of Total Noise

Statistical moments of $z(t), m(t), p(t)$ are obtained from (13) with $k_m z(t)/\langle z \rangle$ replaced by $k_m$, and $k_p$ replaced by $k_p z(t)/\langle z \rangle$. Using the fact that $z(t)$ and $m(t)$ are independent yields

$$\frac{d\langle z \rangle}{dt} = k_z - \gamma_z \langle z \rangle, \quad \frac{d\langle m \rangle}{dt} = k_m \langle B_m \rangle - \gamma_m \langle m \rangle, \quad \frac{d\langle p \rangle}{dt} = k_p \langle m \rangle \langle z \rangle / \langle z \rangle - \gamma_p \langle p \rangle \quad (28a)$$

$$\frac{d\langle z^2 \rangle}{dt} = k_z + \gamma_z \langle z \rangle + 2k_z \langle z \rangle - 2\gamma_z \langle z^2 \rangle \quad (28b)$$

$$\frac{d\langle m^2 \rangle}{dt} = k_m \langle B_m^2 \rangle \langle z \rangle + \gamma_m \langle m \rangle + 2k_m \langle m \rangle \langle z \rangle - 2\gamma_m \langle m^2 \rangle \quad (28c)$$

$$\frac{d\langle p^2 \rangle}{dt} = k_p \langle m \rangle \langle z \rangle / \langle z \rangle + \gamma_p \langle p \rangle + 2k_p \langle mpz \rangle / \langle z \rangle - 2\gamma_p \langle p^2 \rangle \quad (28d)$$

$$\frac{d\langle mp \rangle}{dt} = k_p \langle m^2 \rangle \langle z \rangle / \langle z \rangle + k_m \langle B_m \rangle \langle p \rangle \langle z \rangle - \gamma_m \langle mp \rangle - \gamma_p \langle mp \rangle \quad (28e)$$

Figure 3. Gene expression variability for individual-parameter fluctuations. Intrinsic and extrinsic noise measured in two-color assay as a function of $CV^2_z$ (extent of parameter fluctuations) for fluctuations in the transcription burst frequency (left), transcription burst size (middle) and mRNA translation rate (right). Intrinsic noise is independent of $CV^2_z$ for transcription burst frequency fluctuations. However, for transcription burst size or translation rate fluctuations, intrinsic noise increases with $CV^2_z$. Extrinsic noise always increases with $CV^2_z$ and is the largest for translation rate fluctuations.

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Note that the moment dynamics is not closed, in the sense that, the time derivative of the second order moments \( \langle p^2(t) \rangle \) depends on the third order moment \( \langle m(t) p(t) z(t) \rangle \). This phenomenon occurs due to nonlinear propensity functions and typically closure methods are needed to solve for the moments [56,57]. The independence of \( z(t) \) and \( m(t) \) is exploited for moment closure. More specifically,

\[
\frac{d\langle p^2 \rangle}{dt} = k_p \langle p^2 \rangle + k_m \langle B_m \rangle \langle p^2 \rangle + k_p \langle m^2 z^2 \rangle / \langle z^2 \rangle - \gamma_p \langle p^2 \rangle - \gamma_m \langle mpz \rangle \tag{29}
\]

which is dependent on the fourth order moment \( \langle m^2 z^2 \rangle \). As

\[
\langle m^2 z^2 \rangle = \langle m^2 \rangle \langle z^2 \rangle, \tag{30}
\]

equations (28)–(30) form a closed system of equations that yield total variability in protein level as

\[
CV_{\text{transl.-rate}}^2 = \frac{\langle B_m \rangle + 1}{\langle m \rangle} \left( \frac{\gamma_p}{\gamma_m + \gamma_p} + \frac{CV_{\text{transl.}}^2 \gamma_p}{\gamma_m + \gamma_p + \gamma_z} \right) + \frac{1}{\langle p \rangle} \tag{31}
\]

**Computation of Intrinsic and Extrinsic Noise**

Strategy for decomposing (31) into its intrinsic/extrinsic components is similar to previous sections: extrinsic noise is first computed from a deterministic model and then subtracted from (31) for the intrinsic noise. Consider the differential equation model

\[
\frac{dm(t)}{dt} = k_m \langle B_m \rangle - \gamma_m m(t) \tag{32a}
\]

\[
\frac{dp(t)}{dt} = k_p m(t) z(t) / \langle z^2 \rangle - \gamma_p p(t). \tag{32b}
\]

with translation rate fluctuations. Replacing \( k_m z(t) / \langle z^2 \rangle \) by \( k_m \) and \( k_p \) by \( k_p z(t) / \langle z^2 \rangle \) in (17), we obtain moment dynamics identical to (28) except for

\[
\frac{d\langle m^2 \rangle}{dt} = 2k_m \langle B_m \rangle \langle mz \rangle - 2\gamma_m \langle m^2 \rangle \tag{33a}
\]

\[
\frac{d\langle p^2 \rangle}{dt} = 2k_p \langle mpz \rangle / \langle z^2 \rangle - 2\gamma_p \langle p^2 \rangle. \tag{33b}
\]

The steady-state analysis of (28)–(30) (with (28c)–(28d) replaced by (33a)-(33b)) yields

\[
\text{Total noise} = CV_{\text{transl.-rate}}^2 = \text{Intrinsic noise} + \text{Extrinsic noise} \tag{34a}
\]

Intrinsic noise = \[ \frac{\langle B_m \rangle + 1}{\langle m \rangle} \left( \frac{\gamma_p}{\gamma_m + \gamma_p} + \frac{CV_{\text{transl.}}^2 \gamma_p}{\gamma_m + \gamma_p + \gamma_z} \right) + \frac{1}{\langle p \rangle} \]

Extrinsic noise = \[ \frac{CV_{\text{transl.}}^2 \gamma_p}{\gamma_p + \gamma_z}. \tag{34c} \]

As in (27), fluctuations in the translation rate enhance both intrinsic and extrinsic noise (Figure 3).

**Simultaneous Model Parameter Fluctuations**

Previous sections focused on expression variability generated by fluctuations in individual parameters. However, stochasticity in the abundance of certain cellular factors (such as ATP) can simultaneously affect both transcription and translation. Motivated by this scenario, we investigate how perfectly correlated fluctuations in the transcription rate (measured by either the transcriptional burst frequency or burst size) and translation rate affect intrinsic and extrinsic noise.

**Transcription Burst Frequency and Translation Rate Fluctuations**

Assume transcriptional bursts occur at a rate \( k_m z(t) / \langle z^2 \rangle \) with a geometrically distributed burst size independent of \( z(t) \) and given by (4). Each mRNA produces proteins at a rate \( k_p z(t) / \langle z^2 \rangle \), which is perfectly correlated with burst frequency. Let

\[
\mu = (\langle z \rangle, \langle m \rangle, \langle p \rangle, \langle z^2 \rangle, \langle m^2 \rangle, \langle p^2 \rangle, \langle mz \rangle, \langle pz \rangle, \langle mp \rangle)^T \tag{35}
\]

be a vector containing all the first and second order moments of the population counts. Then, using (13) with \( k_m \) replaced by \( k_p \) replaced by \( k_p z(t) / \langle z^2 \rangle \), time evolution of \( \mu \) can be compactly represented as

\[
\frac{d\mu}{dt} = \dot{\mu} = \dot{\mu} + A_1 \dot{\mu} + B_1 \dot{\mu}, \quad \dot{\mu} = (\langle mpz \rangle, \langle p^2 \rangle, \langle m^2 z \rangle, \langle m^2 z^2 \rangle, \langle z^4 \rangle)^T. \tag{36}
\]

where vector \( \dot{\mu} \), matrices \( A_1, B_1 \) depend on model parameters and \( \mu \) is a vector of third order moments. As one would expect, nonlinear propensity function for the translation event leads to unclosed moment dynamics. It turns out that incorporating certain higher order moments in \( \mu \) can close moment equations. More specifically, the time derivative of

\[
\dot{\mu} = (\dot{\mu}, \dot{\mu}, \langle m^2 z \rangle, \langle m^2 z^2 \rangle, \langle z^4 \rangle)^T \tag{37}
\]

is closed and is given by

\[
\frac{d\mu}{dt} = \dot{\mu} + A_3 \dot{\mu} \tag{38}
\]

for some vector \( \dot{\mu} \) and matrix \( A_3 \). Steady-state analysis of (38)
results in an exact analytical formula for the total steady-state protein noise level. In previous sections (individual parameter fluctuations), average protein copy number was invariant of $CV^2_z$ and given by (8). However, simultaneous transcription/translation rate fluctuations enhance mean protein level from (8) to

$$S_pT \sim k_{mp}S_{Bm}T_{zm}(1 + CV^2_z/\gamma_m + \gamma_z).$$

To resolve total noise into its intrinsic/extrinsic components the following deterministic model is used

$$\frac{dm(t)}{dt} = k_m\langle B_m \rangle z(t) - \gamma_m m(t)$$

$$\frac{dp(t)}{dt} = k_p(m(t)z(t) - \gamma_p p(t)),$$

where the mean mRNA and protein levels are given by (see (39))

$$\langle m \rangle = \frac{k_m\langle B_m \rangle}{\gamma_m}, \quad \langle p \rangle = \frac{k_{mp}\langle B_m \rangle}{\gamma_m\gamma_p}(1 + CV^2_z).$$

For (40), the moment generator equation is obtained by replacing $k_p$ with $k_p(z(t)/\langle z \rangle)$ in (17). Performing an identical analysis as (36)–(38) for the hybrid model (40) yields the extrinsic noise, which is subtracted from the total noise to obtain the intrinsic noise. Unfortunately, these expressions are too complex to be listed here but are illustrated in Figure 4. Interestingly, simultaneous fluctuations in the burst frequency and translation rate can either increase or decrease intrinsic noise depending on model parameters.

To further elucidate the relationship between intrinsic noise and $CV^2_z$, the case of slow fluctuations in $z(t)$ compared to mRNA/protein turnover rates (i.e., $\gamma_z \ll \gamma_m/\gamma_p$) is considered. In this case noise expressions reduce to

$$\frac{\langle B_m \rangle + 1}{\langle m \rangle} \left(1 + \frac{CV^2_z}{\gamma_m + \gamma_p} \right) \frac{\gamma_p}{\gamma_m + \gamma_p} + \frac{1}{\langle p \rangle} \frac{1}{\langle p \rangle},$$

$$CV^2_z(4 + 6CV^2_z + CV^4_z)$$

where the mean mRNA and protein levels are given by (see (39))

$$\langle m \rangle = \frac{k_m\langle B_m \rangle}{\gamma_m}, \quad \langle p \rangle = \frac{k_{mp}\langle B_m \rangle}{\gamma_m\gamma_p}(1 + CV^2_z).$$

Equation (41a) reveals that when
intrinsic noise monotonically decreases with $CV_z^2$. On the other hand when

$$\frac{\langle B_m \rangle + 1}{\gamma_m + \gamma_p} \leq \frac{1}{k_p}$$

(43)

intrinsic noise first increases with $CV_z^2$, reaches a maximum at

$$CV_z^2 = \frac{\frac{\langle B_m \rangle + 1}{\gamma_m + \gamma_p} - \frac{1}{k_p}}{\frac{\langle B_m \rangle + 1}{\gamma_m + \gamma_p} + \frac{1}{k_p}}$$

(45)

and then decreases with increasing $CV_z^2$.

Transcription Burst Size and Translation Rate Fluctuations

Let transcriptional bursts occur at a constant rate $k_m$ with a geometrically distributed burst size that is dependent on $z(t)$ and given by (21). mRNA translation rate is assumed to be perfectly correlated with burst size and is set equal to $k_p z(t)/\langle z \rangle$. The time evolution of moments is obtained from (22) with $k_p$ replaced by $k_p z(t)/\langle z \rangle$. As in the previous section, although the time derivative of $\mu$ (Eq. (35)) is not closed, the evolution of $\mu$ (Eq. (37)) is given by a closed system of linear equations that yield an exact expression for the total protein noise level. Recall that extrinsic noise is similar for transcription burst size and burst frequency fluctuations. Hence, calculation of extrinsic noise for model (40) is used to resolve the total noise into its intrinsic and extrinsic components. These results show that simultaneous transcription burst size/translation rate fluctuations not only generate a larger intrinsic noise but also have qualitatively different trends compared to burst frequency/translation rate fluctuations (Figure 4).

For slow fluctuations in $z(t)$ compared to mRNA/protein turnover rates

Intrinsic noise = $\frac{\langle B_m \rangle + 1}{\langle m \rangle}$

$$\left(1 + \frac{CV_z^2}{1 + \langle B_m \rangle}(4 + 6CV_z^2 + CV_z^4)\right) \frac{\gamma_p}{\gamma_m + \gamma_p} + \frac{1}{\langle p \rangle}$$

(46a)

Extrinsic noise = $\frac{CV_z^2(4 + 6CV_z^2 + CV_z^4)}{(1 + CV_z^2)^2}$

(46b)

where $\langle m \rangle$ and $\langle p \rangle$ are given by (42). Analysis of (46a) shows that when

$$\frac{4\langle B_m \rangle + 1}{\gamma_m + \gamma_p} > \frac{1}{k_p}$$

(47)

intrinsic noise first decreases with increasing $CV_z^2$ and then increases (Figure 4).

Discussion

Given the different functional roles of gene expression noise inside cells [3,32], much work has focused on understanding how variations in the level of a protein arises between otherwise identical cells. A class of models were introduced where stochasticity arises from two sources: i) Random production and degradation of individual mRNA transcripts/protein molecules stemming from the inherent probabilistic nature of biochemical reactions and ii) Fluctuations in model parameters that correspond to randomness in cell-specific factors. Exact analytical formulas for total variability in protein level were derived, in spite of the fact that in many cases parameter fluctuations lead to nonlinear propensity functions. These formulas were decomposed into intrinsic and extrinsic noise components as measured by the two-color reporter assay (Figure 1).

Which Mechanism Generates the Largest Gene Expression Noise?

Individual-parameter fluctuations. Comparison of (19), (27) and (34) shows that for low values of $\langle B_m \rangle$, fluctuations in the translation rate create the most variability in protein copy numbers. On the other hand for high $\langle B_m \rangle$, burst size fluctuations generate the most variability. Burst frequency fluctuations always generate the lowest noise.

Multiple-parameter fluctuations. Equations (41) and (46) reveal that simultaneous fluctuations in translation and transcription rates can dramatically increase expression variability. For example, consider protein half-life = time-scale of parameter fluctuations = 24 hours, mRNA half-life = 8 hours, mean mRNA count/cell = 100, $\langle B_m \rangle = 40$ and $\langle p \rangle > \langle m \rangle$. Then, for constant parameters, $CV_z^2_{fixed} = 0.1$ (Eq. (10)). Assuming ATP affects transcriptional burst size and translation rate, 10% variability in ATP abundance ($CV_z^2 = 0.1$) enhances noise level three-fold from 0.1 to 0.32. In comparison, burst size fluctuations of similar magnitude only increase 0.1 to 0.16. These results reinforce recent observations that intercellular variation in ATP abundance can be a major driver of gene expression noise [43]. An implicit assumption in this analysis is that protein and mRNA degradation is insensitive to ATP. Since both ATP-dependent and ATP-independent degradation pathways exist within cells, further work on ATP-sensitive degradation rates is clearly needed.

Relationship between Intrinsic Noise and $CV_z^2$

Using Monte Carlo simulation techniques previous studies had shown that parameter fluctuations can alter intrinsic noise measurements in a two-color assay [42,60]. Building up on these results, a systematic analytical analysis of how fluctuations in both individual and multiple model parameters affect randomness in protein populations counts was performed. Main findings are as follows:

- Intrinsic noise is invariant of fluctuations in the transcription burst frequency (i.e., how often mRNA bursts occur from the promoter).
Intrinsic noise increases with $CV^2$ (extent of parameter fluctuations) for fluctuations in the transcription burst size (i.e., mean number of mRNAs produced in each burst) or mRNA translation rate.

For simultaneous fluctuations in the burst frequency and translation rate, intrinsic noise decreases with $CV^2$ for low protein abundance (Figure 4). Intuitively, for low protein abundance (as determined by (43)), the Poissonian term $1/\text{mean}$ has a significant contribution to intrinsic noise (second term on the right-hand-side of (41a)). Simultaneous fluctuations increase mean protein level (see (39)), decreasing intrinsic noise. For high protein abundance, ignoring the second term in (41a) yields

\begin{equation}
\text{Intrinsic noise} = \frac{\langle B_m \rangle + 1}{\langle m \rangle} \left(1 + \frac{CV^2}{1 + CV^2} \right) \frac{\gamma_p}{\gamma_m + \gamma_p} \tag{49}\end{equation}

which first increases, and then decreases with $CV^2$. The maximal value is achieved at $CV^2 = 1$.

Simultaneous fluctuations in the transcription burst size and translation rate typically increases intrinsic noise. However, for low protein abundance intrinsic noise exhibits a U-shape profile with $CV^2$ (Figure 4).

In contrast to intrinsic noise, extrinsic noise always monotonically increases with $CV^2$.

We comment on how these trends change if Fano factor (variance/mean), instead of coefficient of variation, is used for quantifying noise. This is particularly important in the case of multiple-parameter fluctuations, where mean protein levels are dependent on $CV^2$ (see (39)). Our analysis shows that in contrast to the above trends, the intrinsic noise Fano factor always monotonically increases with $CV^2$ for simultaneous fluctuations in the transcription and translation rates.

Recall that our results correspond to a model where mRNAs are produced in instantaneous transcriptional bursts. For a promoter that stochastically toggles between active and inactive states, this approximation corresponds to an unstable active state [47], where the promoter quickly transitions back to the inactive state after producing a burst of mRNA transcripts form the active state. It turns out that some of the above intrinsic noise versus $CV^2$ trends are also valid outside the instantaneous burst limit. For example, Monte Carlo simulations have shown that for fluctuations in the translation rate or transcription burst size, intrinsic noise increases with $CV^2$ when promoter spends a finite amount of time in active and inactive states [60]. Future work will extend analytical formulas for intrinsic and extrinsic noise to cases where the promoter stochastically transitions between different transcriptional states.

Estimation of Model Parameters from Noise Measurements

Gene expression noise is often used to calculate the mean transcriptional burst size and frequency for a specific gene or promoter [40,48,51,52]. Recall from (10) that for fixed model parameters

\begin{equation}
CV^2_{\text{fixed}} = \frac{\langle B_m \rangle + 1}{\langle m \rangle} \frac{\gamma_p}{\gamma_p + \gamma_m} \tag{50}\end{equation}

Given measurements of $CV^2_{\text{fixed}}$ and $\langle p \rangle$, a priori knowledge of $\gamma_p$, $\gamma_m$, $k_p$, mean burst size $\langle B_m \rangle$ and frequency $k_m$ can be computed from (50). Typically, $CV^2_{\text{fixed}}$ is assumed to be equal to the intrinsic noise measured in a two-color assay. However, our results show that this is only valid for transcription burst frequency fluctuations. For all other cases, $CV^2_{\text{fixed}} = \text{intrinsic noise}$, and using intrinsic noise for $CV^2_{\text{fixed}}$ in (50) will lead to erroneous parameter estimates [42].

Analytical formulas developed here can be used to back calculate $CV^2_{\text{fixed}}$ from intrinsic and extrinsic noise measurements. This point is illustrated for the physiologically relevant parameter regime

\begin{equation}
\langle B_m \rangle \gg 1 \quad \text{(Large burst size)}, \tag{51a}\end{equation}

\begin{equation}
\langle p \rangle \gg \langle m \rangle \quad \text{(High protein abundance)}, \tag{51b}\end{equation}

\begin{equation}
CV^2 \ll 1 \quad \text{(Small parameter fluctuations)}. \tag{51c}\end{equation}

In this regime, intrinsic noise is expressed as

\begin{equation}
\text{Intrinsic noise} = CV^2_{\text{fixed}} (1 + f \times \text{Extrinsic noise}), \tag{52}\end{equation}

where $f = 0$ for burst frequency fluctuations and $f > 0$ in all other cases. Analytical expressions for $f$ are provided in the Text S1, and it depends only on mRNA, protein turnover rates and time-scale of parameter fluctuations (more specifically on ratios $\gamma_p/\gamma_m$ and $\gamma_p/\gamma_m$). Consider a stable reporter protein where $\gamma_p = \gamma_m = \text{time-scale of cell division}$, and $\gamma_m = 4\gamma_p$, then

\begin{equation}
f = 1.8 \tag{53a}\end{equation}

(Simultaneous fluctuations in burst size and translation rate)

\begin{equation}
f = 0.4 \tag{53b}\end{equation}

( Simultaneous fluctuations in burst frequency and translation rate)

\begin{equation}
f = 1.6 \quad \text{(Translation rate fluctuations)} \tag{53c}\end{equation}

\begin{equation}
f = 2.1 \quad \text{(Translation burst size fluctuations)} \tag{53d}\end{equation}
Therefore, if extrinsic noise = 0.5 in an experiment, from (52) and (53d), $\frac{CV^2_{\text{fixed}}}{\text{intrinsic noise}} = 2$ for burst size fluctuations. Traditional approach of assuming $CV^2_{\text{fixed}} = \text{intrinsic noise}$ would overestimate $CV^2_{\text{fixed}}$ by 100%. Using $f = 0.4$ for simultaneous burst frequency/translation rate fluctuations gives $CV^2_{\text{fixed}} = 0.83 \times \text{intrinsic noise}$, and $CV^2_{\text{fixed}} = \text{intrinsic noise}$ may not be a bad approximation in this case. It can be shown that

$$0 \leq f \leq \frac{\left(\frac{\gamma_p}{\gamma_p + \gamma_m}\right)(\gamma_p + \gamma_m)}{\gamma_p \gamma_m (\gamma_p + \gamma_m + \gamma_z)}$$ 

with upper (lower) bound being realized for burst size (frequency) fluctuations. Without prior knowledge on the source of extrinsic noise, (54) yields the following bounds on $CV^2_{\text{fixed}}$:

$$\text{Intrinsic noise} \leq CV^2_{\text{fixed}} \leq \text{Intrinsic noise},$$

for the physiologically relevant parameter regime (51). Thus, our results provide the necessary correction factors for accurately determining $CV^2_{\text{fixed}}$ from two-color reporter experiments, which would be useful for estimating $\langle B_m \rangle$ and $k_p$.

In conclusion, our analysis reveals how stochastic synthesis and degradation of biomolecules combines with parameters fluctuations to generate heterogeneity in protein level across a clonal cell population. These results will help understand how stochastic variability is regulated inside cells, and for extracting meaningful information from single-cell gene expression measurements. Future work will consider scenarios where randomness in cellular factor levels simultaneously affects synthesis and degradation pathways, or only degradation. Unfortunately, exact solutions are unavailable in many of these cases. However, preliminary analysis has found moment closure techniques useful for obtaining closed-form solutions for the statistical moments. A recent study has generalized notions of intrinsic and extrinsic noise from statistical moments to temporal correlations [61]. In particular, the autocorrelation function of $p(t)$ can be decomposed into intrinsic and extrinsic components based on the two-color assay [61]. Future work will derive analytical expressions for protein auto- correlation and cross-correlation functions in stochastic models with parameter fluctuations, and study how noise signature within them can be used for probing genetic systems.

### Supporting Information

**Text S1 Formulas for factor $f$ in Eq. 52.**

(PDF)

### Author Contributions

Conceived and designed the experiments: AS. Performed the experiments: AS MS. Analyzed the data: AS MS. Wrote the paper: AS.

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