Measurement of long-range angular correlation and quadrupole anisotropy of pions and (anti)protons in central $d+Au$ collisions at $\sqrt{s_{NN}} = 200$ GeV

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We present azimuthal angular correlations between charged hadrons and energy deposited in calorimeter towers in central \(d+Au\) and minimum bias \(p+p\) collisions at \(\sqrt{\mathcal{S}_{NN}} = 200\) GeV. The charged hadron is measured at midrapidity \(|\eta| < 0.35\), and the energy is measured at large rapidity \((-3.7 < \eta < -3.1, \text{Au-going direction})\). An enhanced near-side angular correlation across \(|\Delta\eta| > 2.75\) is observed in \(d+Au\) collisions. Using the event plane method applied to the Au-going energy distribution, we extract the anisotropy strength \(v_2\) for inclusive charged hadrons at midrapidity up to \(p_T = 4.5\) GeV/c. We also present the measurement of \(v_2\) for identified \(\pi^\pm\) and (anti)protons in central \(d+Au\) collisions, and observe a mass-ordering pattern similar to that seen in heavy ion collisions. These results are compared with viscous hydrodynamics calculations and measurements from \(p+Pb\) at \(\sqrt{\mathcal{S}_{NN}} = 5.02\) TeV. The magnitude of the mass-ordering in \(d+Au\) is found to be smaller than that in \(p+Pb\) collisions, which may indicate smaller radial flow in lower energy \(d+Au\) collisions.

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Small collision systems, $d+Au$ and $p+Pb$, have been studied at the Relativistic Heavy Ion Collider (RHIC) and the Large Hadron Collider (LHC) to understand baseline nuclear effects for heavy-ion collisions in which hot nuclear matter is made. The $d+Au$ and $p+Pb$ systems have generally been considered too small to create significant quantities of hot nuclear matter. This assumption has been challenged in $p+Pb$ at $\sqrt{s_{NN}} = 5.02$ TeV with the measurements of (i) near-side azimuthal correlations across a large pseudorapidity gap [1–3], also observed in high multiplicity $p+p$ collisions at 7 TeV [4], and (ii) the elliptic anisotropy parameter $v_2$ measured by multiple particle correlations [5, 6].

Hydrodynamic models, successfully applied to heavy ion data at RHIC and the LHC, can qualitatively reproduce the $v_2$ results from $p/d+\text{nucleus}$ [7–9]. If hydrodynamics is the primary cause of the observed effects then there should be a mass-ordering of the magnitudes of $v_2$ for identified particles, in which heavier particles have smaller $v_2$ values at low $p_T < 1.5$ GeV/$c$ [10, 11]. Recently, such mass-ordering has been observed in $p+Pb$ collisions at LHC for $v_2$ of $\pi^\pm$ and $p, \bar{p}$ [12]. Finite near-side correlations can also arise from enhanced two-gluon emission at high parton densities as in the Color Glass Condensate (CGC) model [13–15].

Long-range angular correlations and elliptic anisotropy of inclusive and identified hadrons in $p+p$ and $d+Au$ collisions at RHIC can provide crucial tests as to whether a hydrodynamically expanding medium is created in these small systems. The $v_2$ in $d+Au$ has been measured from hadron pair correlations, within a limited rapidity range $0.7 > |\Delta \eta| > 0.48$ and under the assumption that jet-like correlations are the same in various multiplicity-selected events [16]. In this Letter, we report measurements of azimuthal correlations in top 5% central $d+Au$ and minimum bias $p+p$ collisions between charged hadrons at midrapidity ($|\eta| < 0.35$) and energy deposited at large rapidity $-3.7 < \eta < -3.1$ (Au-going direction). We also report $v_2$ for inclusive hadron and identified pions and (anti)protons in $d+Au$ at midrapidity using an event plane across $|\Delta \eta| > 2.75$.

The data were obtained from $p+Pb$ in the 2008 and 2009 experimental runs and $d+Au$ in the 2008 run with the PHENIX detector. The event centrality class in $d+Au$ collisions is determined as a percentile of the total charge measured in the PHENIX beam-beam counter covering $-3.9 < \eta < -3.0$ on the Au-going side [17–20]. For the 5% most central $d+Au$ collisions, the corresponding number of binary collisions and number of participants are estimated by a Glauber model to be $18.1 \pm 1.2$ and $17.8 \pm 1.2$ respectively [17].

Charged particles used in this analysis are reconstructed in the two PHENIX central-arm tracking systems, consisting of drift chambers and multi-wire proportional pad chambers (PC) [21]. Each arm covers $\pi/2$ in azimuth and $|\eta| < 0.35$, and the tracking system achieves a momentum resolution of $\delta p/p \approx 0.7\% \pm 1.1\% \times p$ (GeV/$c$).

The drift-chamber tracks are matched to hits in the third layer of the PC, reducing the contribution of tracks originating from decays and photon conversions. Hadron identification is achieved using the time-of-flight detectors, with different technologies in the east and west arms, for which the timing resolutions are 130 ps and 95 ps, respectively. Pions and (anti)proton tracks are identified with over 99% purity at momenta up to 3 GeV/$c$ [18, 22] in both systems.

Energy deposited at large rapidity in the Au-going direction is measured by the towers in the south-side Muon Piston Calorimeter (MPC-S) [23]. The MPC-S comprises 192 towers of PbWO$_4$ crystal covering $2\pi$ in azimuth and $-3.7 < \eta < -3.1$ in pseudorapidity, with each tower subtending approximately $\Delta \eta \times \Delta \phi \approx 0.12 \times 0.18$. Over 95% of the energy detected in the MPC is from photons, which are primarily produced in the decays of $\pi^0$ and $\eta$ mesons. Photons are well localized, as each will deposit over 90% of its energy into one tower if it hits the tower’s center. To avoid the background from noncollision noise sources ($\sim 75$ MeV) and cut out the deposits by minimum ionization particles ($\sim 245$ MeV), we select towers with deposited energy $E_{\text{Tower}} > 3$ GeV.

We first examine the long-range azimuthal angular correlation of pairs consisting of one track in the central arm and one tower in the MPC-S. Because the towers are mainly fired by photons, and the azimuthal extent of each energy deposition is much smaller than the size of azimuthal angular correlation from jets or elliptic flow, these track-tower pair correlations will be good proxies for hadron-photon correlations without attempting to reconstruct individual photon showers. We construct the signal distribution $S(\Delta \phi, p_T)$ of track-tower pairs over relative azimuthal angle $\Delta \phi \equiv \phi_{\text{Track}} - \phi_{\text{Tower}}$, each with weight $w_{\text{Tower}}$, in bins of track transverse momentum $p_T$.

$$S(\Delta \phi, p_T) = d(w_{\text{Tower}} N_{\text{Track}(p_T)-\text{Tower}}) / d\Delta \phi$$

(1)

Here $\phi_{\text{Track}}$ is the azimuth of the track as it leaves the primary vertex, $\phi_{\text{Tower}}$ is the azimuth of the center of the calorimeter tower. The $w_{\text{Tower}}$ is chosen as the tower’s transverse energy $E_T = E_{\text{Tower}} \sin(\theta_{\text{Tower}})$. Because the calorimeter is operating in a linear regime the overall $E_T$ pattern on each event will simply be the sum of the patterns from each impinging particle, so we expect no distortion effect due to occupancy. To correct for the nonuniform PHENIX azimuthal acceptance in the central arm tracking system, we then construct the corresponding “mixed-event” distribution $M(\Delta \phi, p_T)$ over track-tower pairs, where the tracks and tower signals are from different events in the same centrality and vertex position class. We then construct the normalized correlation function
whose shape is proportional to the true pairs distribution over $\Delta \phi$. 

$$C(\Delta \phi, p_T) = \frac{S(\Delta \phi, p_T)}{M(\Delta \phi, p_T)} \int_0^{2\pi} M(\Delta \phi, p_T) \, d\Delta \phi$$

FIG. 1. The azimuthal correlation functions $C(\Delta \phi, p_T)$, as defined in Eq. 2, for track-tower pairs with different track $p_T$ selections in 0%–5% central $d$+Au collisions (left) and minimum bias $p$+$p$ collisions (right) at $\sqrt{s_{NN}} = 200$ GeV. From top to bottom, the track $p_T$ bins are 0.2–1.0 GeV/c, 1.0%–2.0 GeV/c and 2.0%–4.0 GeV/c. The pairs are formed between charged tracks measured in the PHENIX central arms at $|\eta| < 0.35$ and towers in the MPC-S calorimeter ($-3.7 < \eta < -3.1$, Au-going). A near-side peak is observed in the central $d$+Au which is not seen in minimum bias $p$+$p$. Each correlation function is fit with a four-term Fourier cosine expansion; the individual components $n = 1$ to $n = 4$ are drawn on each panel, together with the fit function sum.

Figure 1 shows the correlation functions $C(\Delta \phi, p_T)$ for different $p_T$ bins, for the 5% most central $d$+Au collisions and for minimum bias $p$+$p$ collisions. Central $d$+Au collisions show a visible enhancement of near-side pairs, producing a local maximum in the distribution at $\Delta \phi \approx 0$, which is not seen in the $p$+$p$ data. We analyze the distributions by fitting each $C(\Delta \phi, p_T)$ to a four-term Fourier cosine expansion, $f(\Delta \phi) = 1 + \sum_{n=1}^{4} 2c_n(p_T) \cos(n \Delta \phi)$; the sum function and each individual cosine component are plotted in Fig. 1 for each distribution. We observe that the $p$+$p$ distribution shape is described almost entirely by the dipole term $\cos(\Delta \phi)$, as expected generically by transverse momentum conservation, via processes such as dijet production or soft string fragmentation; The shape in central $d$+Au exhibits both dipole and quadrupole $\cos(2\Delta \phi)$ terms with similar magnitudes. Both $c_3$ and $c_4$ are found to be $\approx 0$, as shown in Fig. 1.

Figure 2 shows the fitted $c_2$ parameters from the $d$+Au and $p$+$p$ with both statistical and systematic uncertainties. We estimate contributions to systematic uncertainties from two main sources: (1) tracking backgrounds from weak decays and photon conversions and (2) multiple collisions in a bunch crossing (pile-up) in $d$+Au collisions. We estimate the tracking background contribution by reducing the spatial matching windows in the third layer of the PC from $3\sigma$ to $2\sigma$, and find that the change is less than 2% fractionally in $c_2$. To study the pile-up effect in $d$+Au collisions we separate the $d$+Au data set into two groups, one from a period with lower luminosity and the other with the higher luminosity. The corresponding pile-up event fractions in central $d$+Au are 3.5% and 7.0%, respectively. The $c_2^{dAu}$ in the lower luminosity data set is around 5% higher than that in higher luminosity across all $p_T$. The average pile-up fraction for the total data sample is around 4%–5% and a systematic uncertainty around 10% is assigned to cover this effect. Additionally, we compare $c_2^{pp}$ results for $p$+$p$ data taken in the 2008 and 2009 running periods, and see a
difference of less than 5\% for \( p_T < 1 \text{ GeV/c} \), increasing to 15\% for \( p_T > 3 \text{ GeV/c} \). To characterize biases that might arise because the tower energy and centrality are measured in the same rapidity range, we have compared results obtained using two different detectors in the Au-going direction to define the event centrality: (i) the reaction-plane detector \((-2.8 < \eta < -1.0)\) [24] and (ii) the ZDC \((\eta < -6.5)\) [25]. The \( c_2 \) values obtained in the two cases differ by 6\% from those reported here.

Some portion of the correlation quadrupole strength \( c_2 \) in the \( d+Au \) data could be due to elementary processes such as dijet fragmentation (mainly from away side) and resonance decays. We can estimate the effect of such processes under the assumptions that (i) all correlations present in minimum bias \( p+p \) collisions are due to elementary processes, and (ii) those same processes occur in the measured \( d+Au \) system as a simple superposition of several nucleon-nucleon collisions. In this case, we would expect the contribution from elementary processes to be equal to the \( c_2^{pp}(p_T) \) but diluted by the increase in particle multiplicity between \( p+p \) and \( d+Au \), if the number of elementary processes is proportional to the multiplicity of the other particle used in pair correlations (see also the “scalar product method”, as in [26, 27]). We estimate the ratio of the \( p+p \) to \( d+Au \) general multiplicities by measuring the ratio of the total transverse energy \( \sum E_T \) seen in the MPC-S calorimeter in \( p+p \) versus \( d+Au \) events, which we find to be approximately 1/(17.9 ± 0.35) and only weakly dependent on the track \( p_T (\leq 2\%) \). We can then separate \( c_2^{dAu}(p_T) \) into elementary and nonelementary components:

\[
c_2^{dAu}(p_T) = c_2^{\text{Non-elem}}(p_T) + c_2^{\text{Elem}}(p_T)
\]

\[
\approx c_2^{\text{Non-elem}}(p_T) + c_2^{pp}(p_T) \frac{\Sigma E_T^{pp}}{\Sigma E_T^{dAu}}
\]

The ratio in Fig. 2(b) shows that the contribution to \( c_2^{dAu} \) from elementary processes is indeed small, ranging from a few percent at the lowest \( p_T \) to around 10\% at the highest \( p_T \), and no more than 13\% with the other centrality selections mentioned above. The presence of the near-side peak in the pairs distribution in the central \( d+Au \) system is reproduced in some physics model calculations. The formation of a medium that evolves hydrodynamically is one such possibility [7–9], but processes such as initial state gluon saturation [14, 15] could also create such an effect.

To quantitatively address the physics of this near-side peak and compare with detailed hydro-dynamics calculations, the \( v_2 \) of charged hadrons, pions, and (anti)protons at midrapidity is measured via event plane method [28]. The \( v_2 \) is measured as \( v_2(p_T) = \langle \cos 2(\phi_{\text{Particle}} - \Psi_2^{\text{obs}}) \rangle / \text{Res}(\Psi_2^{\text{obs}}) \), where the average is over particles in the \( p_T \) bin and over events. The second order event plane direction \( \Psi_2^{\text{obs}} \) is determined using the MPC-S (Au-going). The study of
correlation strength as above indicates that the elementary-process contribution to the event plane $v_2$ result is similarly small, less than 10% fractionally out to $p_T = 4.5 \text{ GeV}/c$. The event plane resolution $\text{Res}(v_2) \sim 0.151 \pm 0.003$ is calculated through the standard three subevents method [28, 29], with the other two event planes being (i) the second order event plane determined from central-arm tracks, restricted to low $p_T$ ($0.2 \text{ GeV}/c < p_T < 2.0 \text{ GeV}/c$) to minimize contribution from jet fragments; and (ii) the first order event plane measured with spectator neutrons in the shower-maximum detector on the Au-going side [$\eta < -6.5$] [25, 29]. The systematic uncertainties on the $v_2$ of charged hadrons are mainly from the tracking background (2%) and pile-up effects (5%), as described above, and also from the difference in $v_2$ from different event plane determinations. To estimate the systematic uncertainty of the latter we compare the $v_2$ extracted with the MPC-S event plane with that using the south (Au-going) beam-beam counter, and the two measurements of $v_2$ are consistent to within 5%. The difference for $v_2$ from the different centrality determinations as discussed previously is less than 3%.

The $v_2$ of charged hadrons for 0%-5% central $d+Au$ events with event plane methods are shown in Fig. 3(a) as $v_2(EP)$ for $p_T$ up to 4.5 GeV/c, along with a polynomial fit through the points. Also shown are our earlier measurement with two particle correlations ($v_2(2p)$) and the $v_2$ measured in the central $p+Pb$ collisions at LHC. Figure 3(b) shows the ratios of all of these measurements divided by the fitting results. The $v_2$ from our prior measurements, with subtraction of peripheral data to reduce jet contributions, exceed the current measurement; differences range from about 15% at $p_T = 1.0 \text{ GeV}/c$ and increases to about 50% at $p_T = 2.2 \text{ GeV}/c$. The difference is about 1.5 $\sigma$ for the top three points with the largest deviations from the fit. It may be due to different jet-like correlation being present in central and peripheral collisions [30]. The present measurement, without peripheral subtraction, is performed with $|\Delta \eta| > 2.75$, far away from the near-side main jet peak. The contribution from jet, which includes both near and away-side, has been found to be less than 10% from the study of $v_2$ shown in Fig. 2. Even if there is a 30% enhancement of jet-like correlation from $p+p$ to central $d+Au$ collisions, it will only raise from 10% to 13% our estimate of the jet-like contribution to the $v_2$ in central $d+Au$ collisions. The present $v_2$ measurement is closer to that of $p+Pb$ collisions [2, 3, 6]. It is about 20% higher than that of $p+Pb$ at $p_T = 1 \text{ GeV}/c$, and the difference decreases to a few percent at $p_T > 2.0 \text{ GeV}/c$.

Figure 4 shows the midrapidity $v_2(p_T)$ for identified charged pions and (anti)protons, with charge signs combined for each species, up to $p_T = 3 \text{ GeV}/c$ using the event plane method; the systematic uncertainties are the same as for inclusive charged hadrons. A distinctive mass-splitting can be seen. The pion $v_2$ is higher than the proton’s for $p_T < 1.5 \text{ GeV}/c$, as has been seen universally in heavy-ion collisions at RHIC [34–39]. Figure 4(a) also shows

![Figure 3](attachment:image.png)

**FIG. 3.** Measured $v_2(EP)$ for midrapidity charged tracks in 0%-5% central $d+Au$ at $\sqrt{s_{NN}} = 200 \text{ GeV}$ using the event plane method in Panel (a). Also shown are $v_2$ measured in central $p+Pb$ collisions at $\sqrt{s_{NN}} = 5.02 \text{ TeV}$ [2, 3, 6], and our prior measurements with two particle correlations ($v_2(2p)$) for $d+Au$ collisions [16]. A polynomial fit to the current measurement and the ratios of experimental values to the fit are shown in the panel (b).
calculations of viscous hydrodynamics with Glauber initial conditions starting at $\tau = 0.5$ fm/$c$ with $\eta/s = 1.0/(4\pi)$, followed by a hadronic cascade [31–33]. The splitting at lower $p_T$ is also seen in the calculation. The identified particle $v_2$ in 0%–20% $p+Pb$ collisions are shown in Fig. 4(b) for comparison [12]. The magnitude of the mass-splitting in RHIC $d+Au$ is smaller than that seen in LHC $p+Pb$, which could be an indicator of stronger radial flow in the higher energy collisions [40].

We have presented measurements of long-range azimuthal correlations between particles at midrapidity and at backward rapidity (Au-going direction) in 0%–5% central $d+Au$ collisions at $\sqrt{s_{NN}} = 200$ GeV. We find a localized near-side azimuthal angular correlation in these collisions for pairs across $|\Delta\eta| > 2.75$ which is not apparent in minimum bias $p+p$ collisions at the same collision energy. The anisotropy strength $v_2$ is measured for midrapidity particles with respect to a event plane determined from a region separated by the same pseudorapidity interval. The $v_2$ values are qualitatively similar to those observed in central $p+Pb$ collisions at $\sqrt{s_{NN}} = 5.02$ TeV. The $v_2$ for identified pions and (anti)protons at midrapidity exhibit a mass ordering, qualitatively similar to observations in relativistic heavy-ion collisions. This ordering can be described by a viscous hydrodynamic model, where they are believed to reflect radial flow in hydrodynamics. The magnitude of mass-splitting in $v_2(p_T)$ is found to be smaller in $d+Au$ collisions in comparison to $p+Pb$ collisions at higher energies, possibly indicating smaller radial flow in $d+Au$ at $\sqrt{s_{NN}} = 200$ GeV.

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