Targeting AMPK Signaling as a Neuroprotective Strategy in Parkinson’s Disease

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Accepted 12 February 2018

Abstract. Parkinson’s disease (PD) is the second most common neurodegenerative disorder. It is characterized by the accumulation of intracellular α-synuclein aggregates and the degeneration of nigrostriatal dopaminergic neurons. While no treatment strategy has been proven to slow or halt the progression of the disease, there is mounting evidence from preclinical PD models that activation of 5′-AMP-activated protein kinase (AMPK) may have broad neuroprotective effects. Numerous dietary supplements and pharmaceuticals (e.g., metformin) that increase AMPK activity are available for use in humans, but clinical studies of their effects in PD patients are limited. AMPK is an evolutionarily conserved serine/threonine kinase that is activated by falling energy levels and functions to restore cellular energy balance. However, in response to certain cellular stressors, AMPK activation may exacerbate neuronal atrophy and cell death. This review describes the regulation and functions of AMPK, evaluates the controversies in the field, and assesses the potential of targeting AMPK signaling as a neuroprotective treatment for PD.

Keywords: 5′-AMP-activated protein kinase, metformin, resveratrol, mitochondria, autophagy, Parkinson’s disease, alpha-synuclein

INTRODUCTION

Parkinson’s disease (PD) is the second most prevalent neurodegenerative disease, afflicting approximately 0.3% of the global population, with nearly 1 million Americans currently diagnosed. Its incidence is strongly correlated with age, tripling with each decade of life after age 60 [1]. Although the etiology of PD is still poorly defined, great progress has been made in characterizing the disease’s progression and manifestations. The neuropathological hallmarks of PD are the loss of dopaminergic (DA) neurons within the substantia nigra pars compacta (SNc) and the widespread occurrence of intracellular protein aggregates called Lewy bodies that consist primarily of accumulated α-synuclein (αSyn). These pathological features are associated with numerous motor and non-motor symptoms, including bradykinesia, rigidity, resting tremor, depression, cognitive impairment, and autonomic dysfunction. Although some of these symptoms can be therapeutically managed for many years after disease onset, PD pathology is progressive and there is still no proven treatment strategy to slow or halt the course of the disease. The
development of effective treatments is thus one of the primary objectives of current PD research [1].

The vast majority of PD cases are sporadic, often with no readily identifiable cause. However, in some cases, PD can be directly linked to certain genetic mutations. PD-causing mutations, such as those in genes related to autophagy (e.g., LRRK2) and mitochondrial quality control (e.g., PINK1 and Parkin), have highlighted the relevance of these functions to the pathogenesis of PD. Various environmental factors have also been linked to PD. Exposure to neurotoxins such as the pesticides rotenone and paraquat or MPTP, which was inadvertently self-administered by a number of drug users in the 1980s, can all produce PD-like pathology [2, 3]. Although exposure to such toxins may explain only a small subset of PD cases, their neurotoxic mechanisms have further informed the pathological underpinnings of PD, and they are now commonly used to reproduce the hallmark features of PD in preclinical models. Ultimately, most cases of PD likely arise from a combination of genetic susceptibility (including epigenetic changes), environmental factors, and aging processes; with the contribution of each of these factors varying among affected individuals [1, 4–6].

Accumulating research has pointed to several neuropathological factors that appear particularly relevant to the development and progression of PD. These factors include: mitochondrial dysfunction that may lead to bioenergetic failure and increased reactive oxygen species (ROS), proteostatic failure and the accumulation of αSyn aggregates, and neuroinflammation [1]. Various experimental treatment approaches for PD have sought to address these factors, often individually, but approaches that target them collectively may be more effective. Each of these factors is regulated to some extent by 5′-AMP-activated protein kinase (AMPK), a ubiquitous enzyme that is considered a master sensor of intracellular energy stress that plays a crucial role in adaptive responses to falling energy levels (e.g., from low nutrient availability or cellular stress) [7]. AMPK signaling declines with age, and increasing its activity is associated with extended lifespan in model organisms [8]. Activating AMPK has broad neuroprotective properties, increasing cell survival to diverse stressors, including starvation, hypoxia, ischemia, and excitotoxicity [9–12]. This review will briefly summarize the structure, regulation, and functions of AMPK and critically describe the results of several recent studies that have explored AMPK activation as a neuroprotective treatment strategy in models of PD.

STRUCTURE OF AMPK

AMPK is a heteromeric serine/threonine kinase comprised of three subunits: a catalytic α subunit and regulatory β and γ subunits. Multiple isoforms of each subunit exist that can assemble into a total of 12 potential AMPK complexes [13]. The differences between these individual complexes is still being investigated, but there is evidence that they can have differing inputs, outputs, functions, and subcellular localizations [14]. So, although AMPK is present in essentially all eukaryotic cells, differential expression of these isoforms may provide a degree of specificity and tissue-specific metabolic regulation [15]. Each subunit contains important catalytic or regulatory motifs essential for the overall function of AMPK. The α subunit contains the catalytic kinase domain within which resides AMPK’s primary activation site, known conventionally as Thr172 [16]. At least three upstream kinases are responsible for the phosphorylation of Thr172: liver kinase B1 (LKB1), calcium/calcium-dependent protein kinase 2 (CaMKK2), and TGF-β activated kinase 1 (TAK1) [17–19]. The α subunit also contains a regulatory serine/threonine-rich loop (ST loop) near its C-terminus that negatively regulates AMPK activity [20]. The β subunit contains a carbohydrate-binding module (CBM), as well as a myristoylation site at its N-terminus that facilitates targeting of AMPK to cellular membranes, including lysosomes and mitochondria [21]. The γ subunit contains 4 nucleotide binding sites, of which three are functional in mammals (sites 1, 3, and 4); these sites bind AMP, ADP, and ATP interchangeably with varying selectivity [22]. In addition to these binding sites, AMPK also contains at least one additional allosteric site at the interface between the α and β subunits that has been termed the allosteric drug and metabolite (ADaM) site [23]. Although no endogenous ligand has yet been identified for this site, a number of exogenous activators have been identified or developed that bind there, providing an additional therapeutically relevant target for modulating AMPK [24].

REGULATION OF AMPK

The primary function of AMPK is to sense and respond to changes in cellular energy. Unsurprisingly then, the canonical regulator of AMPK is the ratio of AMP (and ADP) to ATP. The binding of adenine nucleotides at the γ subunit has three
main functions in regulating the catalytic activity of AMPK [25]. The first is to modulate phosphorylation of Thr172. Until phosphorylated at this residue, AMPK is essentially inactive. Binding of AMP increases net phosphorylation by LKB1 [26], and AMP and ADP may promote phosphorylation by CaMKK2 as well [27], although this has been challenged [26]. Second, binding of AMP or ADP extends the functional “half-life” of AMPK by protecting pThr172 from dephosphorylation [13]. In the absence of such protection, pThr172 is rapidly dephosphorylated by phosphatases, including protein phosphatase 2A (PP2A) and 2C (PP2C) [28]. And third, in addition to promoting and protecting the phosphorylation of Thr172, AMP allosterically increases the catalytic activity of AMPK by as much as 10-fold [26]. These functions are achieved through a conformation change in the structure of AMPK. However, an opposing conformational change is induced by ATP binding, which decreases the catalytic activity of AMPK and increases the rate of dephosphorylation [29]. So, as the ratio of ATP to AMP increases within a cell, AMPK activity is inhibited.

In addition to its regulation by the adenine nucleotides, AMPK activity is also modified by certain hormones, cellular stressors, and other factors that do not necessarily alter the ratio of AMP to ATP. LKB1 was the first AMPK activating kinase to be identified [17]. It is constitutively active, but its phosphorylation of AMPK can be modulated by both AMP, as discussed above, and through a mechanism independent from AMP [30]. In response to glucose deprivation, a complex forms between AMPK and LKB1 at the lysosomal surface that facilitates Thr172 phosphorylation [31, 32]. CaMKK2, which may be the dominant AMPK activating kinase in neurons, is activated by increased intracellular calcium [18]. Intracellular calcium is increased as a second messenger by release from intracellular stores and through ion-channel mediated influx from the extracellular space in response to a multitude of upstream signals [33]. Through this signaling cascade, AMPK activity can be modulated by a wide range of factors including metabolic hormones like ghrelin [34] and neuronal activity [18]. The third upstream AMPK kinase, TAK1, is activated by various cytokine receptors, thus connecting AMPK activation to extracellular inflammatory, cell growth, and apoptotic signals [35, 36].

Regulatory regions within the α and β subunits of AMPK also modulate its activity. The ST loop can be phosphorylated at multiple residues by a number of different kinases, which results in a net decrease in Thr172 phosphorylation, possibly by interfering with upstream kinase binding [37]. It is through this mechanism that hormones such as insulin and leptin can decrease AMPK activity [20, 38]. It has also been proposed that the CBM within the β subunit of AMPK provides a mechanism for AMPK to directly sense the availability of stored cellular energy in the form of glycogen and that glycogen binding to the CBM decreases AMPK activity [39]. However, this idea remains controversial, as other studies have not observed an inhibitory effect of glycogen on AMPK function [29, 40]. Nitric-oxide (NO) can activate AMPK via inositol-requiring enzyme 1 (IRE1) [41], providing synchronization between NO production and AMPK activity [42, 43]. There is also some evidence that AMPK is regulated by ROS [44]. AMPK can be indirectly activated by the energy imbalance caused by ROS [45], but ROS may also directly affect AMPK activity via oxidative modifications at various AMPK cysteine residues [46, 47]. Ultimately, AMPK is sensitive to and regulated by a wide range of intra- and extracellular signals that allow it to respond to both local energy needs within cells and to synchronize whole-body energy homeostasis [48].

A number of exogenous small-molecule agents have been identified that can modulate the activity of AMPK, and many of these are already available for use in humans. Examples include anti-hyperglycemic medications such as metformin and pioglitazone, the dietary supplement β-guanidinopropionic acid (GPA), and a huge number of naturally derived phytochemicals, such as resveratrol, quercetin, and berberine [13, 49]. Each of these activates AMPK indirectly, either by increasing the ratio of AMP to ATP or by stimulating upstream regulators of AMPK [45]. Metformin, for example, activates AMPK via both methods [50]. It accumulates in mitochondria where it inhibits complex I of the electron transport chain, thereby increasing the ratio of AMP to ATP [51]. It also activates AMPK by promoting LKB1-mediated phosphorylation of AMPK at the lysosomal surface, facilitating formation of the same LKB1 complex that is normally triggered by glucose deprivation [52]. Resveratrol, a phytochemical found in the skin of grapes and various berries, also activates AMPK via two mechanisms. It inhibits ATP-synthase, increasing the ratio of AMP to ATP, and also stimulates SIRT1-mediated deacetylation of LKB1, thereby increasing AMPK activity [53]. AMPK and SIRT1 have an important reciprocal relationship that is critical for many of their downstream...
effects [54]. Unlike metformin and resveratrol, GPA activates AMPK without inhibiting the electron transport chain. Rather, it increases the ratio of AMP to ATP by decreasing the function of creatine kinase [55].

A number of pharmacological agents have also been developed that can activate AMPK directly [24]. The first of these was AICAR, which, when metabolized to the nucleotide ZMP, binds to and activates AMPK by mimicking the functions of AMP [56]. Other agents such as A769662 activate AMPK via the ADaM site [24, 57]. Intriguingly, it was found that A769662 could maintain allosteric activation of AMPK even in the absence of Thr172 phosphorylation [58], suggesting that AMPK could be pharmacologically regulated independent of upstream kinase activity. However, this finding may only apply to certain in vitro conditions [59]. Several newly developed synthetic activators of AMPK have differing affinities for the various AMPK isoforms, which may allow for more targeted and function specific activation of AMPK [60]. There are thus an increasing number of pharmacological methods to modulate the activity of AMPK that could be utilized therapeutically in patients with or at risk of developing PD.

FUNCTIONS OF AMPK AND THEIR RELEVANCE TO PD

Activating AMPK has a variety of effects that may be relevant to PD. This includes changes in cellular metabolism, promotion of autophagy, enhanced mitochondrial quality control, increased antioxidant capacity, and reduced inflammation (Fig. 1). Each of these functions and their relevance to PD are discussed below. Targeting the regulation of so many downstream pathways through a single kinase may be advantageous given the relevance that many of these functions have to PD. Yet this diversity also presents a challenge when attempting to isolate which downstream function(s) or target(s) of AMPK are responsible for the beneficial or detrimental effects that result from activating or inhibiting AMPK. Furthermore, while many of these pathways promote cell survival, AMPK may also have a role in mediating programmed cell death (PCD), particularly in cases of severe cellular stress or bioenergetic failure. Thus, the potentially positive effects of AMPK activation must be balanced with its potential for negative effects.

Cellular energy metabolism

Impaired cellular energy metabolism is seen in patients with PD [61] and has been implicated in the progression of both sporadic and genetic forms of the disease [62–64]. In adult animals, the majority of ATP is derived from glucose through mitochondrial oxidative phosphorylation (OXPHOS) [65]. Aging, which is the most common risk factor for PD, is associated with decreased mitochondrial function, reduced energy metabolism, and lower AMPK function [66–68]. Decreased ATP generating capacity makes neurons more vulnerable to bioenergetic failure when faced with additional stressors that impair cellular energy metabolism, such as αSyn or environmental toxins [43, 69, 70]. The neuronal populations that degenerate in PD share some common characteristics that make them particularly vulnerable to bioenergetic failure [71, 72]. For instance SNc DA neurons, which are inextricably linked with PD, have high energy demands and low spare respiratory capacity [73]. Neurons in general consume a substantial amount of energy in order to maintain the ionic gradient across their plasma membranes, and because they do not store glycogen they are especially sensitive to fluctuations in energy demand and reliant on neighboring astrocytes to provide nutrients [74]. Unfortunately SNc DA neurons have relatively few surrounding astrocytes to provide this supplementary energy [75]. They also have long unmyelinated axons and extensive arborization making their energy demands higher than most neurons [76]. To maintain basal DA tone across the expansive region of their innervation, they fire tonically with pacemaker activity driven by L-type calcium channels [77]. These channels are associated with higher ROS production, greater mitochondrial dysfunction, increased energy demand, and heightened vulnerability to PD-related toxins [77–79]. These features make SNc DA neurons particularly vulnerable to compromised bioenergetic status and may explain their relatively selective degeneration in PD [80–83].

AMPK plays a critical role in sensing and responding to changing energy needs within cells. Upon activation, AMPK functions broadly to inhibit processes that consume energy and promote processes that generate energy [25]. Increasing the activity of AMPK may therefore be a viable strategy to increase cellular energy and stave off bioenergetic failure in vulnerable neurons. AMPK stimulates the uptake of glucose into cells through the translocation of glucose transporters to the plasma membrane.
AMPK signaling. AMPK activity is regulated by the ratio of AMP to ATP and by at least 3 upstream kinases. Metformin, β-guanidinopropionic acid (GPA), and resveratrol activate AMPK by increasing the AMP:ATP ratio and/or by stimulating liver kinase B1 (LKB1) mediated phosphorylation of AMPK. AMPK has numerous functions, including changes to cellular energy metabolism, increased macroautophagy, enhanced mitochondrial quality control, redox homeostasis, and anti-inflammatory effects. A few specific functions within each area are listed, with direct phosphorylation targets of AMPK shaded. These functions are explained in more detail in the text.

and through the removal of glucose from stored glycogen [7, 84]. AMPK also increases utilization of other OXPHOS substrates such as glutamine and fatty acids (FAs) [85]. FAs are a particularly important source of ATP during periods of calorie restriction. AMPK promotes uptake and transport of FAs for catabolism by β-oxidation, while simultaneously inhibiting the energy consuming processes of synthesizing FAs, cholesterol, and triglycerides [86]. In addition to these acute effects, AMPK also mediates long-term metabolic reprogramming by activating transcriptional regulators such as forkhead box transcription factors (FOXO) and peroxisomal proliferator-activated receptor γ coactivator 1α (PGC-1α), which facilitate numerous functions, including lipid metabolism and mitochondrial function [15, 54].

Cell growth and the synthesis of new proteins require a considerable expenditure of energy and can be inhibited by AMPK to conserve cellular ATP. Mammalian target of rapamycin complex 1 (mTORC1) integrates growth factor and nutrient signals to promote protein synthesis and cell growth [25]. AMPK inhibits the activity of mTORC1 via activation of its negative regulator Tuberous Sclerosis Complex 2 (TSC2) [87] and by inhibiting Raptor, a subunit of mTORC1 [88]. AMPK also decreases protein synthesis by suppressing ribosomal RNA synthesis [89]. Collectively these processes increase ATP production through OXPHOS, while limiting anabolic processes that consume ATP.

**Macroautophagy**

Autophagy is a housekeeping and nutrient recycling process in which cellular components, debris, and other macromolecules are delivered to or engulfed by lysosomes for degeneration [25]. Impaired autophagy is central to the progression of PD [90]. Deletion of genes essential for autophagy, such as ATG7, produces PD-like neurodegeneration in mice [91]. Accumulation of αSyn is one of the pathological hallmarks of PD and may be both a cause and a consequence of impaired autophagy [90]. αSyn is normally cleared by a combination of the ubiquitin-proteasome system (UPS), chaperone-mediated autophagy (CMA), and macroautophagy [92]. However, oligomerization of αSyn prevents clearance by UPS and CMA, increasing the necessity of macroautophagy-mediated clearance, which involves the formation of an autophagosome to sequester cytoplasmic constituents and transport them to lysosomes. [93, 94]. Yet, there is evidence that macroautophagy is also impaired in PD [95].
Transcription factor EB (TFEB), which mediates lysosomal biogenesis and promotes macroautophagy, is sequestered by αSyn in both human PD brain tissue and in a rat PD model [96]. In the rat model, overexpressing αSyn is associated with dysfunctional macroautophagy, increased SNc DA neurodegeneration, and impaired motor function. However, these deficits can all be ameliorated by overexpressing TFEB or Beclin-1 (another autophagy regulator), suggesting that macroautophagy can be upregulated in PD to reduce αSyn aggregation and neurodegeneration [96, 97].

AMPK promotes autophagy by initiating the macroautophagic cascade through direct activation of unc-51 like autophagy activating kinase (ULK1) [98] and indirectly through its inhibition of mTORC1, which is an inhibitor of ULK1 [99]. ULK1 initiates autophagosome formation and maturation via phosphorylation of Beclin-1 [100]. AMPK promotes lysosomal biogenesis via increased TFEB activity [102] and activates FOXO3 mediated transcription of proteins necessary for macroautophagy [101]. AMPK is thus well positioned as a pharmacological target to stimulate macroautophagy as a treatment strategy in PD [103].

Mitochondrial quality control

Mitochondria are critical organelles that provide most of the energy needed for cellular functions through the production of ATP by OXPHOS. As a byproduct of OXPHOS, mitochondria also produce ROS that over time can damage mitochondria and impair their function [77]. This decreases cellular energy production and can result in chronic ROS overproduction, creating a cycle of increasing mitochondrial dysfunction [63]. It is therefore essential that cells maintain a healthy mitochondrial pool through the quality control mechanisms of mitochondrial biogenesis, fission-fusion dynamics, and mitophagy [104]. However, there is evidence that mitochondrial quality control declines with age and especially in patients with PD [105, 106]. This may explain the increased mitochondrial deficits observed in these groups, such as the accumulation of mitochondrial DNA deletions [107–109]. The aggregation of αSyn may also play a crucial role in disrupting mitochondrial dynamics, leading to increased mitochondrial dysfunction in PD [110, 111]. Mitochondrial dysfunction is associated with bioenergetic failure, increased ROS, and cell death [70]. By facilitating mitochondrial quality control, AMPK activation may be an effective strategy to ameliorate mitochondrial dysfunction and prevent neurodegeneration.

AMPK promotes mitochondrial biogenesis through transcriptional and post-translational activation of PGC-1α, a transcriptional coactivator that has emerged as a master regulator of mitochondrial biogenesis [112, 113]. PGC-1α function is down-regulated in Parkinson’s disease [114], and genes controlling cellular bioenergetics and mitochondrial biogenesis regulated by PGC-1α are underexpressed in PD [115]. Interestingly, the death of cultured DA neurons through overexpression of αSyn or treatment with rotenone can be prevented by overexpressing PGC-1α, suggesting that increased PGC-1α activity is sufficient to ameliorate certain PD-like pathologies [115]. Furthermore, PGC-1α may be necessary for the beneficial effects of AMPK in models of PD [116].

Mitochondrial dynamics, orchestrated by ongoing fission and fusion events, contribute to the dilution and sequestration of mitochondrial damage. Mitochondrial fusion and fission are regulated through the expression and phosphorylation of Mitofusin proteins and Drp1, respectively. AMPK promotes mitochondrial fusion, producing larger and more highly branched mitochondrial networks, through activation of PGC-1α which preferentially increases the transcription of Mitofusin proteins over Drp1 [117, 118]. AMPK may also inhibit mitochondrial fission through inhibition of mTORC1 [119]. However, AMPK has also been shown to facilitate fission through direct phosphorylation of mitochondrial fission factor, which recruits Drp1 to the outer mitochondrial membrane (OMM) [120]. Thus, AMPK may have a dynamic role mediating fission and fusion depending on cellular energy status. During mild energy shortage AMPK may promote fusion to maximize energy production, but in response to more severe cellular stress fission may be promoted to facilitate mitophagy [121].

Mitophagy is the autophagic process leading to lysosomal degradation of damaged mitochondria, which accumulate during aging and under oxidative stress conditions. The main form of mitophagy is mediated by PINK1 and parkin. Under normal conditions in healthy mitochondria, PINK1 is taken up by mitochondria through the OMM, into the inner membrane where it is cleaved and degraded. However, in damaged mitochondria, PINK1 becomes stably associated with the OMM and escapes cleavage. Parkin is then recruited to the OMM and
Fig. 2. AMPK regulates mitochondrial quality control. AMPK facilitates mitochondrial quality control through direct phosphorylation of target proteins and transcriptional regulation of relevant genes. AMPK promotes mitochondrial biogenesis via increased transcription and post-translational phosphorylation of PGC-1α. PGC-1α is a master regulator of mitochondrial biogenesis that activates mitochondrial transcription factor A (TFAM), which drives transcription and replication of mitochondrial DNA. PGC-1α also facilitates mitochondrial fission and fusion through expression of Drp1 and Mitofusin2 (MFN2), and it promotes mitophagy and lysosomal biogenesis via activation of transcription factor EB (TFEB). AMPK can further promote mitophagy through phosphorylation of ULK1, which facilitates autophagosome formation and targeting of damaged mitochondria to lysosomes. Additionally, phosphorylation of mitochondrial fission factor (MFF) facilitates mitophagy through increased fission.

activated by PINK1. Upon efficient stabilization and activation on the OMM, parkin ubiquitinates proteins on the OMM initiating recruitment to the autophagosome [122]. During energy stress, AMPK facilitates mitophagy through increased fission and by promoting autophagosome initiation and lysosomal targeting through phosphorylation of ULK1 [123]. Thus, AMPK has a key role in mitochondrial homeostasis, coupling fission to mitophagy and signaling the nucleus to initiate biogenesis of new mitochondria to replace damaged ones (Fig. 2).

Redox homeostasis

Within cells ROS are generated both deliberately (e.g., NADPH oxidase) and as a byproduct of other cellular processes (e.g., OXPHOS), and they have many important biological roles, such as immune defense and cellular signaling. In healthy cells their production is tightly controlled and matched with antioxidant enzymes (glutathione peroxidase (GPX), catalase, and superoxide dismutase (SOD)) and transporter proteins (e.g., uncoupling protein 2 (UCP2)). However, when unchecked ROS can produce oxidative damage whereby they extract electrons from and destabilize other molecules, including proteins, lipids, and DNA [63]. As individuals age, antioxidant capabilities decline and mitochondrial dysfunctions accumulate leading to increased ROS [124]. As the primary source of ROS, mitochondria are especially vulnerable to oxidative stress. Damaged mitochondria produce more ROS creating a feed-forward cycle or oxidative stress and cellular damage that compounds across an organism’s lifespan [70]. ROS are strongly implicated in the pathogenesis of PD [125], and heightened oxidative stress is seen in the brains of PD patients relative to age-matched controls [126].

AMPK activation can decrease ROS through multiple mechanisms. As discussed above, AMPK can curtail the overproduction of ROS by increasing mitochondrial quality control. It can also decrease ROS by increasing cellular antioxidant defenses. AMPK increases production of NADPH (which
is necessary for recycling the antioxidant cofactor GSH) by increasing glucose utilization through the pentose phosphate pathway, inhibiting FA synthesis, and stimulating FA β-oxidation [70, 127]. AMPK can also decrease ROS by activating PGC-1α and FOXO3 thereby increasing transcriptional regulation of antioxidant defenses including GPX, catalase, SOD, and UCP2 [128–132]. Activating AMPK may therefore be a viable strategy to decrease the production of ROS and increase cellular antioxidant capabilities, thereby reducing the potential for oxidative stress in vulnerable neurons such as the nigrostriatal DA system.

**Anti-inflammation**

Microglia are the resident macrophages of the central nervous system (CNS). In their “resting” state known as M2, they help to maintain CNS homeostasis and participate in cellular repair. However, upon activation, M2 microglia can rapidly switch to their “reactive” form known as M1 [133]. Microglia are activated by pathogens and a number of different extracellular signals including glutamate, proinflammatory cytokines, and ROS. Reactive microglia aggressively respond to these inflammatory signals by generating ROS through NADPH oxidase (NOX) and NO through inducible nitric-oxide synthase (iNOS) to help degrade pathogens or damaged cells [133, 134]. As opposed to acute reactivity, chronic inflammation has increasingly detrimental effects and has been strongly implicated in PD [135]. Chronic neuroinflammation increases with age and is especially elevated in the brains of PD patients [70, 136, 137]. Interestingly, increased chronic inflammation coincides with the gradual age-related decline of AMPK function, suggesting a potential correlation [8, 138].

Indeed, there is a strong negative association between inflammation and AMPK activity. AMPK exerts powerful anti-inflammatory effects, including inhibition of NOX-mediated ROS production [139, 140], iNOS-mediated NO production [141], and NF-κB-mediated production of proinflammatory cytokines such as IL-1 and TNFα [28, 142]. Furthermore, the transition from M2 to M1, involves a critical metabolic switch from OXPHOS to glycolysis. AMPK may exert an anti-inflammatory effect by counteracting this metabolic switch [133, 138]. The anti-inflammatory effects of AMPK activation are extremely robust and consistent across models, cell types, and different AMPK activators [143, 144]. Given the purported role of neuroinflammation in the pathogenesis of PD, AMPK activation may be a means to promote neuroprotection [145].

**Programmed cell death**

Activation of AMPK may promote cell survival by some combination of all the above mechanisms. However, AMPK may also play a role in mediating programmed cell death (PCD) under severe stress conditions. There are multiple overlapping pathways that mediate PCD, including intrinsic caspase-dependent apoptosis, autophagic cell death, and parthanatos. A detailed discussion of these pathways is beyond the scope of this review, but each has been implicated in PD neurodegeneration [146]. AMPK is sensitive to falling cellular energy levels and apoptotic signals, and may promote PCD by providing the necessary ATP [147]. This may be a protective strategy to prevent uncontrolled necrotic cell death that could damage neighboring cells. There is also some evidence that AMPK activation can promote PCD via FOXO3 mediated transcription of proapoptotic proteins [148]. FOXO3 mediates multiple conflicting transcriptional programs, many of which promote cell survival and are associated with increased cell viability and lifespan [149–152]. However, during cellular stress, c-Jun N-terminal kinase (JNK) can phosphorylate FOXO3 at serine 574 producing a proapoptotic transcriptional program [153]. Specifically, FOXO3 can increase transcription of Bim, facilitating formation of the BAX/BAK mediated mitochondrial outer membrane pore and release of apoptotic initiators cytochrome c and apoptosis-inducing factor (AIF), and this has been linked to prolonged AMPK activation [154, 155]. Neocortical neurons transfected with constitutively active AMPK or treated with a high concentration of AICAR, display increased apoptotic markers in a Bim dependent manner. Down regulation of AMPK with siRNA attenuated NMDA-induced cell death [154]. However, AMPK also promotes transcription of anti-apoptotic proteins that prevent PCD [36], and other studies have suggested that AMPK activation protects cells from PCD by restoring energy balance through increased mitochondrial metabolism [156, 157]. The effects of AMPK on PCD are thus complex and likely depend on multiple factors. Understanding the conditions in which AMPK activation promotes versus inhibits neuronal survival will be critical if it is to be a viable neuroprotective treatment for PD.
AMPK ACTIVATION AS A TREATMENT STRATEGY FOR PD

Given the many functions of AMPK and their relevance to the biochemical changes occurring in PD, it is not surprising that a substantial number of studies have investigated the neuroprotective effects of activating AMPK in models of PD. However, it remains unclear whether AMPK activation using available approaches will translate into a viable treatment strategy for PD [158]. The two cardinal pathologies of PD are the degeneration of SNc DA neurons and the accumulation of αSyn aggregates in Lewy bodies. In both cases, contradictory findings have been reported regarding the effects of AMPK activation, which are discussed in detail below.

Protection of nigrostriatal DA neurons

As discussed above, AMPK regulates numerous cellular functions that might facilitate neuroprotection in PD, including energy homeostasis, macroautophagy, inflammation, antioxidant defenses, and mitochondrial quality control. The selective degeneration of SNc DA neurons and the ensuing cascade of motor-behavior deficits that characterize PD can be replicated in model systems via the administration of dopaminergic toxins such as MPTP, or through expression of PD-associated genetic mutations. Numerous studies have evaluated AMPK in these models to determine if increasing AMPK activity can provide neuroprotection and ameliorate these deficits.

Across many different model systems, including rodents, flies, and cultured cells, AMPK activation can produce significant neuroprotection. Increasing AMPK activity through its transfectional overexpression in SH-SY5Y cells ameliorated cell loss induced by MPP+ (the active metabolite of MPTP), whereas decreasing AMPK activity with the AMPK inhibitor compound C or expressing a dominant-negative form of AMPK (AMPK-DN) exacerbated cell loss [159]. Mutations in LRRK2 and Parkin are the most common causes of heritable PD, and in Drosophila these mutations produce a clear age-related PD phenotype, with loss of DA neurons, motor deficits, and mitochondrial dysfunction. Each of these abnormalities is rescued by expression of a constitutively active form of AMPK (AMPK-CA) and exacerbated by expression of AMPK-DN or AMPK knockdown with siRNA [160]. The neuroprotective effects of genetic AMPK manipulations are informative, but for clinical viability these effects should ideally be replicable with pharmacological AMPK activators. And indeed, a number of AMPK activating compounds do have similar neuroprotective effects [160]. Of the available pharmacological activators, resveratrol, GPA, and metformin have particular clinical relevance because they have each been tested or are used clinically (although not currently for PD) and are well tolerated in humans [55, 161, 162]. However, these agents also have AMPK-independent effects, so it is not always clear whether their effects are related to AMPK activation [49].

Treatment with resveratrol for 10 weeks attenuated 6-OHDA-induced degeneration of SNc DA neurons and decreased pro-inflammatory cytokines in rats [163]. In mice, daily administration of resveratrol for 1-2 weeks prevented MPTP-induced depletion of striatal dopamine (DA) and tyrosine hydroxylase (TH) and loss of DA neurons in the SNc [164]. Many of resveratrol’s potentially therapeutic effects are mediated through AMPK activation, including increased macroautophagy, mitochondrial biogenesis, and expression of antioxidant genes [165, 166]. However, neither of the above PD-related studies verified whether AMPK was involved in the observed protection of SNc DA neurons. GPA appears to have similar neuroprotective effects. It extends lifespan in Drosophila via activation of AMPK [167], and it is neuroprotective in MPTP treated mice [168]. A diet of 1% GPA for 4 weeks robustly increased AMPK activity and mitochondrial respiratory capacity in the striatum, and fully prevented MPTP-induced loss of DA neurons in the SNc and ameliorated DA depletion in the striatum. These neuroprotective effects were hypothesized to be AMPK-dependent but this was not directly tested [168].

Compared to resveratrol and GPA, metformin has been more extensively tested in models of PD. Metformin is the most widely prescribed medication for type-2 diabetes (T2D) and has well-established effects in the liver but is also taken up in the brain [169]. Treatment of mice with metformin for 5 weeks significantly ameliorated MPTP-induced degeneration of SNc DA neurons, partially restored striatal DA content, and fully restored normal motor behavior on the rotarod test [170]. Metformin also increased macroautophagy, reduced αSyn, decreased reactive microglia, and attenuated expression of proinflammatory cytokines. These findings were further tested in SH-SY5Y cells, where metformin similarly increased cell survival and attenuated lactate dehydrogenase (LDH) release following treatment.
with MPP+. Metformin also increased markers of macroautophagy, decreased ROS, and lowered the number of dysfunctional mitochondria. Each of these effects was prevented by the AMPK inhibitor compound C and by the macroautophagy inhibitor 3-Methyladenine (3-MA). These findings suggest that AMPK activation via metformin is neuroprotective through multiple mechanisms, including decreased neuroinflammation, clearance of αSyn, and increased mitochondrial quality control [170]. Similar results were observed in mice treated with MPTP for 1 week followed by 1 week of metformin treatment [171]. Metformin ameliorated the loss of SNC DA neurons, restored striatal DA content, attenuated motor deficits, and decreased reactive astrogliosis in the striatum and SNC. Metformin also increased expression of the neurotrophic factor BDNF. In MPP+ treated SH-SY5Y cells, inhibiting the BDNF receptor (TrkB) blocked the neuroprotective effects of metformin, suggesting that BDNF signaling might be a critical pro-survival mechanism of metformin treatment [171]. Increased BDNF was also observed in mice treated with metformin for 21 days, concurrent with MPTP for the first 5 days [172]. Interestingly, after 4 days of treatment, motor deficits were equivalent between MPTP and MPTP+metformin treated mice, indicating that metformin did not attenuate the acute toxicity of MPTP. However, by day 20 motor function was significantly improved in the metformin treated mice, while remaining unchanged in the MPTP-only group. Metformin treated mice also had increased expression of the antioxidant enzymes SOD and catalase, decreased evidence of oxidative stress, and attenuated loss of SNC DA neurons [172]. These findings suggest that the chronic treatment regimen of metformin may have promoted neuronal repair, with BDNF possibly playing an important role.

However, it is unclear whether all of these neuroprotective effects are due to increased AMPK activity. In mice treated with metformin for 2 weeks, increased PGC-1α expression was necessary for the attenuation of MPTP-induced SNC DA neuronal loss. Although AMPK activity was not assessed in vivo, metformin increased cell viability and PGC-1α expression in MPP+ treated SH-SY5Y cells, and there was no evidence that AMPK activity was increased [173]. Similarly, a phosphoproteomic analysis in mice found that 2 weeks of metformin treatment (at doses similar to those used in the neuroprotective studies above) up- or downregulated over 60 neuronal phosphoproteins but had no effect on AMPK [174]. Metformin has numerous functions independent from AMPK activation [175], so it is conceivable that some of the neuroprotective benefits of metformin observed in models of PD are not related to increased AMPK activity. Indeed, AMPK knockout in DA neurons did not diminish the neuroprotective effects of metformin in MPTP-treated mice [176]. Metformin treatment ameliorated SNC DA neuron loss and decreased reactive gliosis to a similar extent in both WT and KO mice. This seemingly indicates that metformin’s neuroprotective effects were AMPK-independent. However, AMPK was still functional in glial cells and other non-DA cells, so AMPK may still have mediated these effects (potentially by decreasing neuroinflammation), but additional studies will be necessary to clarify the precise role of AMPK in these models.

The lack of specificity among the pharmacological tools used to activate and inhibit AMPK presents a challenge for determining whether their effects are due to AMPK or some other off-target effect. Most known AMPK activating drugs have substantial AMPK-independent effects [177]. For instance, at high concentrations AICAR can induce apoptosis via an AMPK-independent mechanism [178]. The selectivity of AMPK inhibitors is also problematic. The most frequently used small molecule inhibitor of AMPK, compound C, potently inhibits other kinases and has numerous AMPK-independent effects, including antiproliferative effects and cytotoxicity [179]. Thus, conclusions about the effects of AMPK based on these modulators must be considered with caution. There is a significant need to develop more targeted approaches to manipulate AMPK activity, both for research purposes and as potential therapeutics.

**Clearance of αSyn**

The accumulation of αSyn into intraneuronal aggregates termed Lewy bodies is a pathological hallmark of PD that is associated with numerous detrimental effects, including impaired gene expression, increased ROS, mitochondrial dysfunction, and cell death [102]. Overexpression of αSyn is cytotoxic in vivo and in vitro [96, 180], and mutations of SNCA that lead to overexpression of αSyn in humans are sufficient to cause PD [1]. Post-mortem examinations of PD brain tissue have also noted evidence of impaired macroautophagy. Specifically, there is evidence of defective autophagic flux, whereby autophagosomes accumulate but are
In rats, activating AMPK with AICAR or metformin decreased AMPK activity, but they could be rescued by overexpressing cells also had decreased viability and SNc DA neurons, while overexpression of the /H9251 resveratrol-induced clearance of that increased macroautophagy was essential for expression in retinoic acid differentiated SH-SY5Y cells overexpressing /H9251 genetically-induced /H9251 viability in cultured MN9D cells [186]. These protective effects were prevented by inhibiting AMPK with compound C [185]. Further illustrating the importance of AMPK-mediated macroautophagy in PD, Clk1 deficient mice, which have impaired AMPK regulating proteins become inhibited, leading to increased AMPK activity in mice and in SH-SY5Y cells overexpressing /H9251. Unphosphorylated /H9251 activates the phosphatase PP2A, which promotes the dephosphorylation of both /H9251 and AMPK, whereas pS129-/H9251 inhibits PP2A [192, 193]. Furthermore, pS129-/H9251 was found to sequester PIKE-L, an inhibitor of AMPK, leading to increased AMPK activity in mice and in SH-SY5Y cells overexpressing /H9251 [189]. Thus, unphosphorylated /H9251 may inhibit AMPK function through PP2A, but as /H9251 becomes increasingly phosphorylated this inhibition declines and other AMPK regulating proteins become inhibited, leading to increased AMPK activity [193]. Yet increased AMPK in these conditions may not necessarily correspond with normal physiological AMPK activation. There is evidence that AMPK is highly dysregulated in cultured neurons overexpressing /H9251 and in human cells with Lewy body pathology, with AMPK accumulating with /H9251 outside the nucleus or around the rim of Lewy bodies [194]. Increasing AMPK activity further in this case may be detrimental to both cell survival and /H9251 clearance. In mouse primary neuronal cultures, overexpression of /H9251 or treatment with lactate increased pS129-/H9251 and AMPK activity (as measured by pThr172). Overexpression of AMPK-DN or AMPK knockdown prevented lactate induced increases in pS129 and total /H9251 and ameliorated the decreased neurite length in cells overexpressing /H9251 or treated with lactate. Conversely, AMPK-CA expression increased /H9251, decreased neurite length, and impaired /H9251 turnover in 3D5 cells [194].
The role of pS129 in αSyn aggregation, seeding, and toxicity is controversial [191]. There is some evidence that pS129 reduces αSyn toxicity and promotes its macroautophagic clearance [195]. However, other studies have observed that pS129 increases the toxicity of αSyn and promotes aggregation [171, 193]. The pathological role of pS129 may change across the course of PD. Early in disease progression pS129 may be protective, but as the severity of PD increases, pS129 may become increasingly detrimental [190]. Interestingly, AMPK may be one of the endogenous kinases that phosphorylates αSyn. Expression of AMPK-CA in vitro increased pS129-αSyn, while expression of AMPK-DN deceased it [189, 194]. However, treatment with metformin either in vitro or in vivo has repeatedly been shown to decrease phosphorylation of αSyn, and this effect is apparently through an AMPK-independent mechanism [171, 174, 196, 197]. Metformin increases the activity of PP2A, thereby decreasing pS129-αSyn, and this effect was necessary for the protective action of metformin in MPP+ treated SH-SY5Y cells [171]. Thus, the effects of metformin on αSyn phosphorylation and clearance might be distinct from the effects of AMPK itself, with differing neuroprotective effects depending on the state of disease progression (Fig. 3). A pure AMPK activator may be more beneficial in the early stages of PD or as a preventative, whereas metformin may be more beneficial later in the disease progression. Further research will be necessary to clarify whether AMPK activation promotes or inhibits the clearance of αSyn and whether the beneficial effects of AMPK activators such as metformin are due to AMPK.

**Atrophy and cell death: A dark side of AMPK?**

The majority of studies that have evaluated AMPK activity in models of PD have reported increased neuroprotection. However, several well-designed studies have conversely noted that AMPK activation facilitated neuronal atrophy and death in response to PD-related toxins. Perhaps the most obvious mechanism by which AMPK might facilitate neurodegeneration is through excessive macroautophagy and impaired protein synthesis that limits cellular regrowth, both of which could be mediated partly through AMPK’s inhibition of mTORC1 [95]. In a study of cultured murine midbrain DA neurons, cell loss induced by MPP+ was exacerbated by treatment with the canonical mTORC1 inhibitor rapamycin, while treatments that inhibited macroautophagy were neuroprotective [198]. Likewise, 6-OHDA increased autophagy, ROS, and apoptotic cell death in SH-SY5Y cells, and inhibiting macroautophagy with 3-MA or an AMPK inhibiting neurotrophic factor ameliorated these deficits and increased cell viability [199]. MPP+ similarly increased macroautophagy and markers of PCD in SH-SY5Y cells, and expression of AMPK-DN attenuated these effects, while 3-MA prevented cell death as measured by LDH release [189]. In both primary neurons and PC12 cells, administration of 6-OHDA, rotenone, or MPP+ each increased AMPK activity, decreased cell viability, and promoted apoptosis. Cell viability was improved by inhibiting AMPK with compound C, expressing AMPK-DN, or by inhibiting macroautophagy through overexpression of mTORC1 [200].

Detrimental effects of AMPK activation have also been observed in vivo. Lentiviral expression of AMPK-DN in the SNC of mice decreased MPTP-induced macroautophagy, ameliorated TH loss in the striatum and SNC, and attenuated motor deficits [189]. Treatment of mice with metformin for 1-week reduced MPTP-induced reactive microglia and pro-inflammatory cytokines in the SNCs (indicating a strong anti-inflammatory effect), but metformin treatment did not attenuate the loss of SNC DA neurons, and it actually worsened MPTP-induced DA and DOPAC depletion. In an in vitro follow up, metformin decreased cell viability in response to MPP+, increased necrosis and ROS, and further exacerbated ATP loss [201]. Similarly, treatment of mice with metformin following 6-OHDA, increased
nuclear translocation of AIF, decreased TH intensity in the SNc, increased cellular atrophy, and decreased the number of SNc DA neurons. Expression of AMPK-DN ameliorated all of these effects, substantially reducing neuronal atrophy and modestly decreasing PCD [202].

Although increased macroautophagy may facilitate αSyn clearance, nutrient recycling, and help promote mitophagy, the above data suggest that increasing macroautophagy as a neuroprotective strategy may have limits [203]. Excessive macroautophagy may cannibalize important cellular machinery, or cellular stress may irreparably impair the macroautophagic process such that autophagy cannot be completed successfully or safely. For example, high levels of ROS can impair the membrane integrity of lysosomes, leading to protease leakage that can damage other cellular components [181]. If lysosomal function is compromised, stimulating macroautophagy via AMPK activation may lead to a pathological accumulation of autophagosomes that cannot be effectively cleared [183]. The inhibition of cell growth pathways by AMPK may also promote neurodegeneration. Sustained AMPK activation may be detrimental by locking cells into a state of atrophy and preventing cellular regrowth following a severe but not necessarily lethal stressor [202].

More research is necessary to clarify the conditions in which AMPK activation is neuroprotective versus detrimental. Variables such as cell type, bioenergetic status, the specific cellular stressors (including baseline cellular stress), and the length and intensity of AMPK activation are all likely relevant factors [48, 53]. For example, the relationship between AMPK activity and cell death under some conditions may be biphasic, such that at certain levels AMPK activation promotes cell survival, but at higher intensities or over a longer duration it promotes PCD [155]. Determining the optimal treatment regimen and the appropriate level of AMPK activation to maximize its neuroprotective benefits, while limiting its potential adverse effects, will be essential. The neuroprotective effects of AMPK activators, such as metformin, appear to have a narrow effective dose ranges in both in vivo and in vitro models [171, 204]. There is evidence from studies in mice that a chronic low level of AMPK activity may be most beneficial [188]. Furthermore, the therapeutic efficacy of AMPK activation may depend on the stage and severity of PD. Several researchers have suggested that AMPK could be neuroprotective during the early stages of PD, but become less effective or potentially detrimental during more progressed stages of the disease [181, 188, 205].

The widespread clinical use of metformin for diabetes has provided a window into the effects of an AMPK activating agent on PD risk in humans. Several large cohort studies have tracked the development of PD in patients receiving metformin. In a Taiwanese T2D population, treatment with metformin and a sulfonylurea significantly reduced the risk of developing PD compared to patients not receiving oral anti-hyperglycemic treatment. Sulfonylureas alone increased PD risk while metformin had no effect alone [206]. However, a more recent cohort study from Taiwan found that T2D patients treated with metformin had a significantly higher cumulative incidence of PD and dementia compared to other T2D patients, and PD risk increased with higher doses of metformin and longer treatment durations [207]. These results suggest that metformin is unlikely to be a panacea for treating PD, and it may actually have an adverse effect. However, the effects of metformin on PD incidence in diabetic patients may be distinct from its effects in non-diabetics. And these results may not necessarily apply to other AMPK activating drugs. In a Norwegian diabetic population, the incidence of PD was compared between metformin users and glitazone users (pioglitazone and/or rosiglitazone) across a 10-year period. Although glitazones are potent peroxisome proliferator-activated receptor γ (PPARγ) agonists, they also activate AMPK [49]. Glitazone use was associated with a significantly lower incidence of PD compared to metformin use [208]. Although no other comparison groups were included in this study, the annual incidence rate of PD among the general Norwegian population is 12.6/100,000 people [209], which is noticeably higher than that observed in the glitazone treated diabetic patients (8.2/100,000 patient-years) and marginally lower than in metformin treated patients (14.2/100,000 patient-years) [208]. These clinical observations further suggest that the relationship between AMPK and PD may be complex. Further preclinical research should be undertaken to better understand the potential positive and negative effects of AMPK activation and to identify the best drug candidates for future clinical trials.

CONCLUSIONS

The development of therapeutic strategies to decrease the accumulation and spread of αSyn and
slow or halt the degeneration of DA neurons in PD is a major unmet medical need. Many of the core mechanisms that underlie the pathology of PD can be regulated, at least in part, through the activation of AMPK. Although contradictory findings regarding the effects of AMPK activation in various models of PD provide reason for caution in deploying this strategy clinically, future preclinical studies will hopefully clarify the basis of these discrepancies. Finding the appropriate level of AMPK activation and developing more selective pharmacological activators may be key for effective therapeutic utilization of AMPK activation and may decrease the incidence of undesirable effects that have been observed in some prior studies. Alternatively, given the vast range of AMPK’s regulatory effects, identifying the specific downstream pathways that are most beneficial for treating PD may be necessary so that these pathways can be more selectively targeted without activating pathways responsible for the detrimental effects. Through additional careful research and more targeted pharmacotherapeutics, activating PD-relevant AMPK-dependent pathways may emerge as a powerful strategy to decrease neurodegeneration and ultimately curtail the progression of PD.

ACKNOWLEDGMENTS

This work was supported by the National Institute on Aging (NIH R01AG048918 to John D. Elsworth).

CONFLICTS OF INTEREST

The authors have no conflicts of interest to report.

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