Blow-up phenomena and local well-posedness for a generalized Camassa-Holm equation with peakon solution

Xi Tu\textsuperscript{1}\textsuperscript{*} and Zhaoyang Yin\textsuperscript{1,2}\textsuperscript{†}
\textsuperscript{1}Department of Mathematics, Sun Yat-sen University, Guangzhou, 510275, China
\textsuperscript{2}Faculty of Information Technology, Macau University of Science and Technology, Macau, China

Abstract

In this paper we mainly study the Cauchy problem for a generalized Camassa-Holm equation. First, by using the Littlewood-Paley decomposition and transport equations theory, we establish the local well-posedness for the Cauchy problem of the equation in Besov spaces. Then we give a blow-up criterion for the Cauchy problem of the equation. We present a blow-up result and the exact blow-up rate of strong solutions to the equation by making use of the conservation law and the obtained blow-up criterion. Finally, we verify that the system possesses peakon solutions.

2000 Mathematics Subject Classification: 35Q53, 35A01, 35B44, 35B65.
Keywords: A generalized Camassa-Holm equation; Local well-posedness; Besov spaces; Blow-up.

Contents

1 Introduction 2
2 Preliminaries 4
3 Local well-posedness 7
4 Blow-up 14

\textsuperscript{*}E-mail: tuxi@mail2.sysu.edu.cn
\textsuperscript{†}E-mail: mcsyzy@mail.sysu.edu.cn
1 Introduction

In this paper we consider the Cauchy problem for the following generalized Camassa-Holm equation,

\[
\begin{align*}
    u_t - u_{txx} &= \partial_x(2 + \partial_x)[(2 - \partial_x)u]^2, \quad t > 0, \\
    u(0, x) &= u_0(x),
\end{align*}
\]

which can be rewritten as

\[
\begin{align*}
    m &= u - u_{xx}, \\
    m_t &= 2m^2 + (8u_x - 4u)m + (4u - 2u_x)m_x + 2(u + u_x)^2, \quad t > 0, \\
    m(0, x) &= u(0, x) - u_{xx}(0, x) = m_0(x).
\end{align*}
\]

The equation (1.1) was proposed recently by Novikov in [39]. It is integrable and belongs to the following class [39]:

\[
(1 - \partial_x^2)u_t = F(u, u_x, u_{xx}, u_{xxx}),
\]

which has attracted much interest, particularly in the possible integrable members of (1.3).

The most celebrated integrable member of (1.3) is the well-known Camassa-Holm (CH) equation [5]:

\[
(1 - \partial_x^2)u_t = 3u u_x - 2u_x u_{xx} - u u_{xxx}.
\]

The CH equation can be regarded as a shallow water wave equation [5, 16]. It is completely integrable [5, 8, 17]. Integrability is not a straightforward concept, and this provides good background material on this important aspect of the CH-equation. It also has a bi-Hamiltonian structure [7, 27], and admits exact peaked solitons of the form \(ce^{-|x-ct|}\) with \(c > 0\), which are orbitally stable [20]. It is worth mentioning that the peaked solitons present the characteristic for the traveling water waves of greatest height and largest amplitude and arise as solutions to the free-boundary problem for incompressible Euler equations over a flat bed, cf. [6, 10, 14, 15, 41].

The local well-posedness for the Cauchy problem of the CH equation in Sobolev spaces and Besov spaces was discussed in [11, 12, 21, 40]. It was shown that there exist global strong solutions to the CH equation [9, 11, 12] and finite time blow-up strong solutions to the CH equation [9, 11, 12, 13]. The existence and uniqueness of global weak solutions to the CH
equation were proved in [18, 46]. The global conservative and dissipative solutions of CH equation were investigated in [3, 4].

The second celebrated integrable member of (1.3) is the famous Degasperis-Procesi (DP) equation [23]:

\[(1 - \partial_x^2) u_t = 4uu_x - 3u_xu_{xx} - uu_{xxx}.\]  

(1.5)

The DP equation can be regarded as a model for nonlinear shallow water dynamics and its asymptotic accuracy is the same as for the CH shallow water equation [24]. The DP equation is integrable and has a bi-Hamiltonian structure [22]. An inverse scattering approach for the DP equation was presented in [19, 37]. Its traveling wave solutions was investigated in [33, 42].

The local well-posedness of the Cauchy problem of the DP equation in Sobolev spaces and Besov spaces was established in [28, 29, 49]. Similar to the CH equation, the DP equation has also global strong solutions [34, 50, 52] and finite time blow-up solutions [25, 26, 34, 35, 49, 50, 51, 52]. On the other hand, it has global weak solutions [2, 25, 51, 52].

Although the DP equation is similar to the CH equation in several aspects, these two equations are truly different. One of the novel features of the DP different from the CH equation is that it has not only peakon solutions [22] and periodic peakon solutions [51], but also shock peakons [36] and the periodic shock waves [26].

The third celebrated integrable member of (1.3) is the known Novikov equation [39]:

\[(1 - \partial_x^2) u_t = 3uu_xu_{xx} + u^2u_{xxx} - 4u^2u_x.\]  

(1.6)

The most difference between the Novikov equation and the CH and DP equations is that the former one has cubic nonlinearity and the latter ones have quadratic nonlinearity.

It was showed that the Novikov equation is integrable, possesses a bi-Hamiltonian structure, and admits exact peakon solutions \(u(t, x) = \pm \sqrt{c} e^{\pm ct} \) with \(c > 0\) [30].

The local well-posedness for the Novikov equation in Sobolev spaces and Besov spaces was studied in [44, 45, 47, 48]. The global existence of strong solutions was established in [44] under some sign conditions and the blow-up phenomena of the strong solutions were shown in [48]. The global weak solutions for the Novikov equation were studied in [32, 43].

To our best knowledge, the Cauchy problem of (1.1) has not been studied yet. In this paper we first investigate the local well-posedness of (1.2) with initial data in Besov spaces \(B^s_{p,r}\) with \(s > \max\{\frac{1}{2}, \frac{1}{p}\}\). The main idea is based on the Littlewood-Paley theory and transport equations theory. Then, we prove a blow-up criterion with the help of the Kato-Ponce commutator estimate. By virtue of conservation laws, we obtain a blow-up result. Finally, we conclude the exact blow-up rate of strong solutions to (1.1). Finally, we verify new peakon solutions of (1.1) in distributional sense.
The paper is organized as follows. In Section 2 we introduce some preliminaries which will be used in sequel. In Section 3 we prove the local well-posedness of (1.1) by using Littlewood-Paley and transport equations theory. In section 4, we are committed to the study of blow-up phenomena of (1.1). Taking advantage of a conservation law and a priori estimates, we derive a blow-up criterion, a blow-up result and the exact blow-up rate of strong solutions to (1.1). The last section is devoted to the study of the equation (1.1) possessing a class of peakon solutions.

2 Preliminaries

In this section, we first recall the Littlewood-Paley decomposition and Besov spaces (for more details to see [1]). Let \( C \) be the annulus \( \{ \xi \in \mathbb{R}^d | \frac{3}{4} \leq |\xi| \leq \frac{5}{4} \} \). There exist radial functions \( \chi \) and \( \varphi \), valued in the interval \([0, 1]\), belonging respectively to \( D(B(0, \frac{4}{3})) \) and \( D(C) \), and such that

\[
\forall \xi \in \mathbb{R}^d, \chi(\xi) + \sum_{j \geq 0} \varphi(2^{-j}\xi) = 1,
\]

\[
|j - j'| \geq 2 \Rightarrow \text{Supp} \varphi(2^{-j}\xi) \cap \text{Supp} \varphi(2^{-j'}\xi) = \emptyset,
\]

\[
j \geq 1 \Rightarrow \text{Supp} \chi(\xi) \cap \text{Supp} \varphi(2^{-j'}\xi) = \emptyset.
\]

Define the set \( \tilde{C} = B(0, \frac{2}{3}) + C \). Then we have

\[
|j - j'| \geq 5 \Rightarrow 2^{j'}\tilde{C} \cap 2^jC = \emptyset.
\]

Further, we have

\[
\forall \xi \in \mathbb{R}^d, \frac{1}{2} \leq \chi^2(\xi) + \sum_{j \geq 0} \varphi^2(2^{-j}\xi) \leq 1.
\]

Denote \( \mathcal{F} \) by the Fourier transform and \( \mathcal{F}^{-1} \) by its inverse. From now on, we write \( h = \mathcal{F}^{-1}\varphi \) and \( \tilde{h} = \mathcal{F}^{-1}\chi \). The nonhomogeneous dyadic blocks \( \Delta_j \) are defined by

\[
\Delta_j u = 0 \quad \text{if} \quad j \leq -2, \quad \Delta_{-1} u = \chi(D)u = \int_{\mathbb{R}^d} \tilde{h}(x-y)u(x-y)dy,
\]

and,

\[
\Delta_j u = \varphi(2^{-j}D)u = 2^{jd} \int_{\mathbb{R}^d} h(2^jy)u(x-y)dy \quad \text{if} \quad j \geq 0,
\]

\[
S_j u = \sum_{j' \leq j-1} \Delta_{j'} u.
\]

The nonhomogeneous Besov spaces are denoted by \( B^s_{p,r}(\mathbb{R}^d) \)

\[
B^s_{p,r} = \{ u \in S' \| u \|_{B^s_{p,r}(\mathbb{R}^d)} = \left( \sum_{j \geq -1} 2^{js}\| \Delta_j u \|_{L^p(\mathbb{R}^d)}^r \right)^{\frac{1}{r}} < \infty \}.
\]
Next we introduce some useful lemmas and propositions about Besov spaces which will be used in the sequel.

**Proposition 2.1.** Let $1 \leq p_1 \leq p_2 \leq \infty$ and $1 \leq r_1 \leq r_2 \leq \infty$, and let $s$ be a real number. Then we have

$$B^s_{p_1, r_1}(\mathbb{R}^d) \hookrightarrow B^{s - \frac{d}{r_2}}_{p_2, r_2}(\mathbb{R}^d).$$

If $s > \frac{d}{p}$ or $s = \frac{d}{p}$, $r = 1$, we then have

$$B^s_{p, r}(\mathbb{R}^d) \hookrightarrow L^\infty(\mathbb{R}^d).$$

**Lemma 2.2.** A constant $C$ exists which satisfies the following properties. If $s_1$ and $s_2$ are real numbers such that $s_1 < s_2$ and $\theta \in (0, 1)$, then we have, for any $(p, r) \in [1, \infty]^2$ and $u \in S'_h$,

\[(2.1) \quad \|u\|_{B^{s_1 + (1 - \theta)s_2}_{p, r}} \leq \|u\|_{B^{s_1}_{p, r}}^{\theta} \|u\|_{B^{s_2}_{p, r}}^{1 - \theta} \quad \text{and} \quad \|u\|_{B^{s_1 + (1 - \theta)s_2}_{p, r}} \leq \frac{C}{s_2 - s_1} \frac{1}{\theta} + \frac{1}{1 - \theta} \|u\|_{B^{s_1}_{p, \infty}}^{\theta} \|u\|_{B^{s_2}_{p, \infty}}^{1 - \theta}.
\]

**Corollary 2.3.** For any positive real number $s$ and any $(p, r)$ in $[1, \infty]^2$, the space $L^\infty(\mathbb{R}^d) \cap B^s_{p, r}(\mathbb{R}^d)$ is an algebra, and a constant $C$ exists such that

$$\|uv\|_{B^s_{p, r}(\mathbb{R}^d)} \leq C(\|u\|_{L^\infty(\mathbb{R}^d)}\|v\|_{B^s_{p, r}(\mathbb{R}^d)} + \|u\|_{B^s_{p, r}(\mathbb{R}^d)}\|v\|_{L^\infty(\mathbb{R}^d)}).$$

If $s > \frac{d}{p}$ or $s = \frac{d}{p}$, $r = 1$, then we have

$$\|uv\|_{B^s_{p, r}(\mathbb{R}^d)} \leq C\|u\|_{B^s_{p, r}(\mathbb{R}^d)}\|v\|_{B^s_{p, r}(\mathbb{R}^d)}.$$ 

**Lemma 2.4.** (Morse-type estimate, [1, 21]) Let $s > \max\{\frac{d}{p}, \frac{d}{r}\}$ and $(p, r)$ in $[1, \infty]^2$. For any $a \in B^{s-1}_{p, r}(\mathbb{R}^d)$ and $b \in B^s_{p, r}(\mathbb{R}^d)$, there exists a constant $C$ such that

$$\|ab\|_{B^{s-1}_{p, r}(\mathbb{R}^d)} \leq C\|a\|_{B^{s-1}_{p, r}(\mathbb{R}^d)}\|b\|_{B^s_{p, r}(\mathbb{R}^d)}.$$ 

**Remark 2.5.** Let $s \in \mathbb{R}, 1 \leq p, r \leq \infty$. Then the following properties hold true:

(i) $B^s_{p, r}(\mathbb{R}^d)$ is a Banach space and continuously embedding into $S'(\mathbb{R}^d)$, where $S'(\mathbb{R}^d)$ is the dual space of the Schwartz space $S(\mathbb{R}^d)$.

(ii) If $p, r < \infty$, then $S(\mathbb{R}^d)$ is dense in $B^s_{p, r}(\mathbb{R}^d)$.

(iii) If $u_n$ is a bounded sequence of $B^s_{p, r}(\mathbb{R}^d)$, then an element $u \in B^s_{p, r}(\mathbb{R}^d)$ and a subsequence $u_{n_k}$ exist such that

$$\lim_{k \to \infty} u_{n_k} = u \quad \text{in} \quad S'(\mathbb{R}^d) \quad \text{and} \quad \|u\|_{B^s_{p, r}(\mathbb{R}^d)} \leq C\liminf_{k \to \infty} \|u_{n_k}\|_{B^s_{p, r}(\mathbb{R}^d)}.$$ 

(iv) $B^0_{2, 2}(\mathbb{R}^d) = H^s(\mathbb{R}^d)$. 

2 PRELIMINARIES
Now we introduce a priori estimates for the following transport equation.

\[
\begin{aligned}
    \left\{ \begin{array}{l}
    f_t + v \nabla f = g, \\
    f|_{t=0} = f_0.
    \end{array} \right.
\end{aligned}
\]

(2.3)

Lemma 2.6. (A priori estimates in Besov spaces, \([19, 27]\)) Let \(1 \leq p \leq p_1 \leq \infty\), \(1 \leq r \leq \infty\), \(s \geq -d \min\left(\frac{1}{p_1}, \frac{1}{p}\right)\). For the solution \(f \in L^\infty([0,T]; B^s_{p,r}(\mathbb{R}^d))\) of (2.3) with velocity \(\nabla v \in L^1([0,T]; B^s_{p,r}(\mathbb{R}^d) \cap L^\infty(\mathbb{R}^d))\), initial data \(f_0 \in B^s_{p,r}(\mathbb{R}^d)\) and \(g \in L^1([0,T]; B^s_{p,r}(\mathbb{R}^d))\), we have the following statements. If \(s \neq 1 + \frac{1}{p}\) or \(r = 1\),

\[
\|f(t)\|_{B^s_{p,r}(\mathbb{R}^d)} \leq \|f_0\|_{B^s_{p,r}(\mathbb{R}^d)} + \int_0^t \left(\|g(t')\|_{B^s_{p,r}(\mathbb{R}^d)} + C V_{p_1}(t') \|f(t')\|_{B^s_{p,r}(\mathbb{R}^d)}\right) dt',
\]

(2.4)

\[
\|f\|_{B^s_{p,r}(\mathbb{R}^d)} \leq \left(\|f_0\|_{B^s_{p,r}(\mathbb{R}^d)} + \int_0^t \exp(-CV_{p_1}(t')) \|g(t')\|_{B^s_{p,r}(\mathbb{R}^d)} dt'\right) \exp(C V_{p_1}(t)),
\]

(2.5)

where \(V_{p_1}(t) = \int_0^t \|\nabla v\|_{B^s_{p_1,\infty}(\mathbb{R}^d)} dt'\) if \(s < 1 + \frac{d}{p_1}\), \(V_{p_1}(t) = \int_0^t \|\nabla v\|_{B^{s-1}_{p_1,\infty}(\mathbb{R}^d)} dt'\) if \(s > 1 + \frac{d}{p}\) or \(s = 1 + \frac{d}{p_1}, r = 1\), and \(C\) is a constant depending only on \(s, p, p_1\) and \(r\).

Lemma 2.7. \([35]\) Let \(1 \leq p \leq \infty\), \(1 \leq r \leq \infty\), \(\sigma > \max\left(\frac{1}{p}, \frac{1}{p_1}\right)\). For the solution \(f \in L^\infty(0,T; B^\sigma_{p,r}(\mathbb{R}))\) of (2.3) with the velocity \(v \in L^1(0,T; B^{\sigma+1}_{p,r}(\mathbb{R}))\), the initial data \(f_0 \in B^\sigma_{p,r}(\mathbb{R})\) and \(g \in L^1(0,T; B^\sigma_{p,r}(\mathbb{R}^d))\), we have

\[
\|f\|_{B^{\sigma+1}_{p,r}(\mathbb{R})} \leq \left(\|f_0\|_{B^{\sigma+1}_{p,r}(\mathbb{R})} + \int_0^t \exp(-CV(t')) \|g(t')\|_{B^{\sigma+1}_{p,r}(\mathbb{R})} dt'\right) \exp(C V(t)),
\]

(2.6)

where \(V(t) = \int_0^t \|v\|_{B^{\sigma+1}_{p,r}(\mathbb{R})}\) and \(C\) is a constant depending only on \(\sigma, p\) and \(r\).

Lemma 2.8. \([35]\) For the solution \(f \in L^\infty(0,T; B^{1+\frac{1}{p}}_{p,r}(\mathbb{R}))\) of (2.3) with the velocity \(v \in L^1(0,T; B^{2+\frac{1}{p}}_{p,r}(\mathbb{R}))\), the initial data \(f_0 \in B^{1+\frac{1}{p}}_{p,r}(\mathbb{R})\) and \(g \in L^1(0,T; B^{1+\frac{1}{p}}_{p,r}(\mathbb{R}^d))\), we have

\[
\|f\|_{B^{1+\frac{1}{p}}_{p,r}(\mathbb{R})} \leq \left(\|f_0\|_{B^{1+\frac{1}{p}}_{p,r}(\mathbb{R})} + \int_0^t \exp(-CV(t')) \|g(t')\|_{B^{1+\frac{1}{p}}_{p,r}(\mathbb{R})} dt'\right) \exp(C V(t)),
\]

(2.7)

where \(V(t) = \int_0^t \|v\|_{B^{2+\frac{1}{p}}_{p,r}(\mathbb{R})}\) and \(C\) is a constant depending only on \(p\) and \(r\).

Lemma 2.9. \([1]\) Let \(s\) be as in the statement of Lemma 2.6. Let \(f_0 \in B^s_{p,r}(\mathbb{R}^d)\), \(g \in L^1([0,T]; B^s_{p,r}(\mathbb{R}^d))\), and \(v\) be a time-dependent vector field such that \(v \in L^\rho([0,T]; B^{-M}_{\infty,\infty}(\mathbb{R}^d))\) for some \(\rho > 1\) and \(M > 0\), and \nabla v \in L^\rho([0,T]; B^{\frac{d}{p_1}}_{p_1,\infty}(\mathbb{R}^d))\), if \(s < 1 + \frac{d}{p_1}\),

\[
\nabla v \in L^1([0,T]; B^{\frac{d}{p_1,\infty}}_{p_1,\infty}(\mathbb{R}^d)), \text{ if } s < 1 + \frac{d}{p_1},
\]
Lemma 2.10. \((\text{Kato-Ponce commutator estimates, [31]}\). If \(s > 0\), \(f \in H^s(\mathbb{R}) \cap W^{1,\infty}(\mathbb{R})\), \(g \in H^{s-1}(\mathbb{R}) \cap L^\infty(\mathbb{R})\) and denote that \(\Lambda^s = (1 - \Delta)^{s/2}\), then

\[
\|\Lambda^s(fg) - f\Lambda^s g\|_{L^2(\mathbb{R})} \leq C(\|\Lambda^s f\|_{L^2(\mathbb{R})}\|g\|_{L^\infty(\mathbb{R})} + \|f\|_{L^\infty(\mathbb{R})}\|\Lambda^{s-1}g\|_{L^2(\mathbb{R})}).
\]

Notations. Since all space of functions in the following sections are over \(\mathbb{R}\), for simplicity, we drop \(\mathbb{R}\) in our notations of function spaces if there is no ambiguity.

3 Local well-posedness

In this section, we establish local well-posedness of (1.2) in the Besov spaces. Our main result can be stated as follows. To introduce the main result, we define

\[
E_{p,r}^s(T) \triangleq \begin{cases} 
C([0, T]; B_{p,r}^s(\mathbb{R}^d)) \cap C^1([0, T]; B_{p,r}^{s-1}(\mathbb{R}^d)), & \text{if } r < \infty, \\
C_w([0, T]; B_{p,\infty}^s(\mathbb{R}^d)) \cap C^{0,1}(0, T; B_{p,\infty}^{s-1}(\mathbb{R}^d)), & \text{if } r = \infty.
\end{cases}
\]

Theorem 3.1. Let \(1 \leq p, r \leq \infty\), \(s > \max\{\frac{1}{p}, \frac{1}{2}\}\), and \(m_0 \in B_{p,r}^s(\mathbb{R}^d)\). Then there exists some \(T > 0\), such that (1.2) has a unique solution \(u\) in \(E_{p,r}^s(T)\). Moreover, the solution depends continuously on the initial data \(m_0\).

Proof. In order to prove Theorem 3.1 we proceed as the following six steps.

Step 1: First, we construct approximate solutions which are smooth solutions of some linear equations. Starting for \(m_0(t, x) \triangleq m(0, x) = m_0\), we define by induction sequences \((m_n)_{n \in \mathbb{N}}\) by solving the following linear transport equations:

\[
\begin{cases} 
\partial_t m_{n+1} - (4u_n - 2\partial_x u_n)\partial_x m_{n+1} = 2m_n^2 + (8\partial_x u_n - 4u_n)m_n + 2(u_n + \partial_x u_n)^2 \\
m_{n+1}(t, x)|_{t=0} = S_{n+1}m_0.
\end{cases}
\]

We assume that \(m_n \in L^\infty(0, T; B_{p,r}^s)\), \(s > \max\{\frac{1}{p}, \frac{1}{2}\}\).

Since \(s > \max\{\frac{1}{p}, \frac{1}{2}\}\), it follows that \(B_{p,r}^s\) is an algebra, which leads to \(F(m_n, u_n) \in L^\infty(0, T; B_{p,\infty}^s)\). Hence, by Lemma 2.9 and the high regularity of \(u\), (3.2) has a global solution \(m_{n+1}\) which belongs to \(E_{p,r}^s\) for all positive \(T\).
Step 2: Next, we are going to find some positive $T$ such that for this fixed $T$ the approximate solutions are uniformly bounded on $[0,T]$. We define that $U_n(t) \triangleq \int_0^t \| m_n(t') \|_{B^s_{p,r}} \, dt'$. By Lemma 2.6 and Lemma 2.8 we infer that

\[
\| m_{n+1} \|_{B^s_{p,r}} \leq e^{CU_n(t)} \left( \| S_{n+1} m_0 \|_{B^s_{p,r}} + \int_0^t e^{-CU_n(t')} \| F(m_n, u_n) \|_{B^s_{p,r}} \, dt' \right)
\]

(3.3)

Since $s > \frac{1}{p}$, $B^s_{p,r}$ is an algebra and $B^s_{p,r} \hookrightarrow L^\infty$, we deduce that

\[
\| 2m_n^2 + (8\partial_x u_n - 4u_n)m_n + 2(u_n + \partial_x u_n)^2 \|_{B^s_{p,r}} \\
\leq 2\| m_n^2 \|_{B^s_{p,r}} + \| (8\partial_x u_n - 4u_n)m_n \|_{B^s_{p,r}} + 2\| u_n + \partial_x u_n \|_{B^s_{p,r}}^2 \\
\leq C\| m_n \|_{B^s_{p,r}} \| m_n \|_{L^\infty} + C\| m_n \|_{B^s_{p,r}} \| 8\partial_x u_n - 4u_n \|_{L^\infty} \\
+ C\| u_n + \partial_x u_n \|_{B^s_{p,r}} \| m_n \|_{L^\infty} + C\| u_n + \partial_x u_n \|_{B^s_{p,r}} \| u_n + \partial_x u_n \|_{L^\infty}
\]

(3.4)

Plugging (3.4) into (3.3), we obtain

\[
\| m_{n+1} \|_{B^s_{p,r}} \leq e^{CU_n(t)} \left( \| S_{n+1} m_0 \|_{B^s_{p,r}} + C \int_0^t e^{-CU_n(t')} \| m_n \|_{B^s_{p,r}}^2 \, dt' \right)
\]

(3.5)

where we take $C \geq 1$.

We fix a $T > 0$ such that $2C^2T \| m_0 \|_{B^s_{p,r}} < 1$. Suppose that

\[
\| m_n(t) \|_{B^s_{p,r}} \leq \frac{C\| m_0 \|_{B^s_{p,r}}}{1 - 2C^2\| m_0 \|_{B^s_{p,r}} T} \leq \frac{C\| m_0 \|_{B^s_{p,r}}}{1 - 2C^2\| m_0 \|_{B^s_{p,r}} T} \triangleq M, \quad \forall t \in [0,T].
\]

Since $U_n(t) = \int_0^t \| m_n(\tau) \|_{B^s_{p,r}} \, d\tau$, it follows that

\[
e^{CU_n(t)-CU_n(t')} \leq \exp \left\{ \int_{t'}^t \frac{C^2\| m_0 \|_{B^s_{p,r}}}{1 - 2C^2\| m_0 \|_{B^s_{p,r}} T} \, d\tau \right\}
\]

\[
\leq \exp \left\{ - \frac{1}{2} \int_{t'}^t \frac{d(1 - 2C^2\tau\| m_0 \|_{B^s_{p,r}})}{1 - 2C^2\tau\| m_0 \|_{B^s_{p,r}}} \right\}
\]

(3.7)

\[
= \left( 1 - 2C^2t'\| m_0 \|_{B^s_{p,r}} \right)^{-\frac{1}{2}}.
\]

Set $U_n(t') = 0$ when $t' = 0$. We obtain

\[
e^{CU_n(t)} = \exp \left\{ C^2 \int_0^t \frac{\| m_0 \|_{B^s_{p,r}}}{1 - 2C^2\| m_0 \|_{B^s_{p,r}} \tau} \, d\tau \right\}
\]

8
we infer that

Thus, 

where 

By using (3.6), (3.7) and (3.8), we have

By Lemma 2.7 and Lemma 2.8 and using the fact that \( m \) is uniformly bounded in 
\[
(3.10)
\]
\[
(3.8)
\]

Thus, \((m_n)_{n \in \mathbb{N}}\) is uniformly bounded in 
\[
(3.9)
\]

Step 3: From now on, we are going to prove that \( u_n \) is a Cauchy sequence in \( L^\infty(0; T; B_{p,r}^{s-1}) \).

For this purpose, we deduce from (3.10) that

where

By Lemma 2.7 and Lemma 2.8 and using the fact that \( m_n \) is bounded in 
\[
(3.10)
\]
\[
(3.8)
\]

we infer that

\[
\|m_{n+m+1}(t) - m_{n+1}(t)\|_{B_{p,r}^{s-1}} \leq C \left( \|(S_{n+m+1} - S_{n+1})m_0\|_{B_{p,r}^{s-1}} \right)
\]
Applying Lemma 2.4 with \(d = 1\), we have

\[
\|(u_{n+l} - u_n)\partial_x R^1_{n,t}\|_{B^{-1}_{p,r}} \leq \|u_{n+l} - u_n\|_{B^0_{p,r}} \|\partial_x (4m_{n+1} + 2u_{n+l} + 2u_n)\|_{B^{-1}_{p,r}} \\
\leq \|m_{n+l} - m_n\|_{B^1_{p,r}} \|4m_{n+1} - 2u_{n+l} - 2u_n\|_{B^1_{p,r}} \\
\leq C\|m_{n+l} - m_n\|_{B^1_{p,r}} (\|m_{n+1}\|_{B^0_{p,r}} + \|u_{n+l}\|_{B^0_{p,r}} + \|u_n\|_{B^0_{p,r}}) \\
\leq CM\|m_{n+l} - m_n\|_{B^1_{p,r}},
\]

(3.12)

\[
\|(u_{n+l} - u_n)R^2_{n,t}\|_{B^{-1}_{p,r}} \leq \|u_{n+l} - u_n\|_{B^0_{p,r}} \|\partial_x (-2m_{n+1} + 2u_{n+l} + 2u_n)\|_{B^{-1}_{p,r}} \\
\leq C\|m_{n+l} - m_n\|_{B^1_{p,r}} (\|m_{n+1}\|_{B^0_{p,r}} + \|u_{n+l}\|_{B^0_{p,r}} + \|u_n\|_{B^0_{p,r}}) \\
\leq CM\|m_{n+l} - m_n\|_{B^1_{p,r}},
\]

(3.13)

\[
\|\partial_x (u_{n+l} - u_n)\partial_x R^3_{n,t}\|_{B^{-1}_{p,r}} \leq \|\partial_x (u_{n+l} - u_n)\|_{B^1_{p,r}} \|\partial_x (-2m_{n+1} + 2u_{n+l} + 2u_n)\|_{B^{-1}_{p,r}} \\
\leq C\|m_{n+l} - m_n\|_{B^1_{p,r}} (\|m_{n+1}\|_{B^0_{p,r}} + \|u_{n+l}\|_{B^0_{p,r}} + \|u_n\|_{B^0_{p,r}}) \\
\leq CM\|m_{n+l} - m_n\|_{B^1_{p,r}},
\]

(3.14)

\[
\|\partial_x (u_{n+l} - u_n)R^4_{n,t}\|_{B^{-1}_{p,r}} \leq \|\partial_x (u_{n+l} - u_n)\|_{B^1_{p,r}} \|8m_{n+1} + 2u_{n+l} + 2u_n\|_{B^0_{p,r}} \\
\leq C\|m_{n+l} - m_n\|_{B^1_{p,r}} (\|m_{n+1}\|_{B^0_{p,r}} + \|u_{n+l}\|_{B^0_{p,r}} + \|u_n\|_{B^0_{p,r}}) \\
\leq CM\|m_{n+l} - m_n\|_{B^1_{p,r}},
\]

(3.15)

\[
\|(m_{n+l} - m_n)R^5_{n,t}\|_{B^{-1}_{p,r}} \leq \|m_{n+l} - m_n\|_{B^1_{p,r}} \|2m_{n+l} + 2m_n + 8\partial_x u_{n+l} - 4u_{n+l}\|_{B^0_{p,r}} \\
\leq C\|m_{n+l} - m_n\|_{B^1_{p,r}} (\|m_{n+l}\|_{B^0_{p,r}} + \|\partial_x u_{n+l}\|_{B^0_{p,r}} + \|u_{n+l}\|_{B^0_{p,r}}) \\
\leq C\|m_{n+l} - m_n\|_{B^1_{p,r}} \|m_{n+l}\|_{B^0_{p,r}} \\
\leq CM\|m_{n+l} - m_n\|_{B^1_{p,r}}.
\]

(3.16)

Plugging (3.12)-(3.16) into (3.11) yields that

\[
\|m_{n+1}(t) - m_n(t)\|_{B^{-1}_{p,r}} \leq C_T \left(\|(S_{n+1} - S_{n+1})m_0\|_{B^{-1}_{p,r}} + \int_0^t CM\|m_{n+l} - m_n\|_{B^{-1}_{p,r}} dt'\right).
\]

(3.17)

Since

\[
\|\sum_{q=n+1}^{n+l} \Delta_q m_0\|_{B^{-1}_{p,r}} \leq C2^{-n}\|m_0\|_{B^{-1}_{p,r}},
\]

10
and that \((m_n)_{n \in \mathbb{N}}\) is uniformly bounded in \(L^\infty([0,T]; B^s_{p,r})\), then it follows that

\[
\|m_{n+1}(t) - m_n(t)\|_{B^{s-1}_{p,r}} \leq C_T (2^{-n} + \int_0^t \|m_{n+1} - m_n\|_{B^{s-1}_{p,r}} \, d\tau).
\]

It is easily checked by induction

\[
\|m_{n+1} - m_n\|_{L^\infty(0,T; B^s_{p,r})} \leq \frac{(TC_T)^{n+1}}{(n+1)!} \|m_1 - m_0\|_{L^\infty(0,T; B^s_{p,r})} + C_T 2^{-n} \sum_{k=0}^{n} 2^k (TC_T)^k.
\]

Since \(\|m_n\|_{L^\infty(0,T; B^s_{p,r})}\) is bounded independently of \(n\), we can find a new constant \(C'_T\) such that

\[
\|m_{n+1} - m_n\|_{L^\infty(0,T; B^s_{p,r})} \leq C'_T 2^{-n}.
\]

Consequently, \((m_n)_{n \in \mathbb{N}}\) is a Cauchy sequence in \(L^\infty(0,T; B^s_{p,r})\). Moreover it converges to some limit function \(m \in L^\infty(0,T; B^s_{p,r})\).

**Step 4:** We now prove the existence of solution. We prove that \(m\) belongs to \(E^s_{p,r}\) and satisfies (1.2) in the sense of distribution. Since \((m_n)_{n \in \mathbb{N}}\) is uniformly bounded in \(L^\infty(0,T; B^s_{p,r})\), the Fatou property for the Besov spaces guarantees that \(m \in L^\infty(0,T; B^s_{p,r})\).

If \(s' \leq s - 1\), then

\[
(3.18) \quad \|m_n - m\|_{B^{s'}_{p,r}} \leq C \|m_n - m\|_{B^{s-1}_{p,r}}.
\]

If \(s - 1 \leq s' < s\), by using Lemma 2.2, we have

\[
(3.19) \quad \|m_n - m\|_{B^{s'}_{p,r}} \leq C \|m_n - m\|^\theta_{B^{s-1}_{p,r}} \|m_n - m\|^{1-\theta}_{B^{s}_{p,r}}
\]

where \(\theta = s - s'\). Combining (3.18) with (3.19) for all \(s' < s\), we have that \((m_n)_{n \in \mathbb{N}}\) converges to \(m\) in \(L^\infty([0,T]; B^{s'}_{p,r})\). Taking limit in (3.2), we conclude that \(m\) is indeed a solution of (1.2). Note that \(m \in L^\infty(0,T; B^s_{p,r})\). Then

\[
\|2m^2 + (8\partial_x u - 4u)m + 2(u + \partial_x u)^2\|_{B^{s}_{p,r}}
\]

\[
\leq \|2m^2\|_{B^{s}_{p,r}} + \|(8\partial_x u - 4u)m\|_{B^{s}_{p,r}} + \|2(u + \partial_x u)^2\|_{B^{s}_{p,r}}
\]

\[
\leq C \|m\|_{B^{s}_{p,r}} \|m\|_{L^\infty} + C \|m\|_{B^{s}_{p,r}} \|8\partial_x u - 4u\|_{L^\infty}
\]

\[
+ C \|8\partial_x u - 4u\|_{B^{s}_{p,r}} \|m\|_{L^\infty} + C \|u + \partial_x u\|_{B^{s}_{p,r}} \|u + \partial_x u\|_{L^\infty}
\]

\[
(3.20) \quad \leq C \|m\|^2_{B^{s}_{p,r}}.
\]

This shows that the right-hand side of (1.2) also belongs to \(L^\infty(0,T; B^s_{p,r})\). Hence, according to Lemma 2.9 \(m\) belongs to \(C([0,T]; B^s_{p,r})\) (resp., \(C_w([0,T]; B^s_{p,r})\)) if \(r < \infty\) (resp., \(r = \infty\)). Lemma 2.4 implies that \((4u - 2u_x)m_x\) is bounded in \(L^\infty(0,T; B^{s-1}_{p,r})\). Again using the equation (1.2) and the high regularity of \(u\), we see that \(\partial_t u\) is in \(C([0,T]; B^{s-1}_{p,r})\) if \(r\) is finite. Apparently, we know that \(m \in E^s_{p,r}\).
Step 5: Finally, we prove the uniqueness and stability of solutions to (1.2). Suppose that 
\( M = (1 - \partial_t^2)u, \) \( N = (1 - \partial_t^2)v \in E^{s}_{p,r} \) are two solutions of (1.2). Set \( W = M - N. \) Hence, we obtain that

\[
\begin{align*}
\partial_t W - (4u - 2\partial_x u)\partial_x W &= (u - v)(\partial_x G^1 + G^2) + \partial_x (u - v)(\partial_x G^3 + G^4) + WG^5, \\
W(t, x)|_{t=0} &= M(0) - N(0) = W(0),
\end{align*}
\]

where

\[
\begin{align*}
G^1 &= 4N + 2u + 2v, \\
G^2 &= -4N + 2u + 2v, \\
G^3 &= -2N + 2u + 2v, \\
G^4 &= 8N + 2u + 2v, \\
G^5 &= 2M + 2N + 8\partial_x u - 4u.
\end{align*}
\]

We define that \( U(t) \triangleq \int_0^t \|m(t')\|_{B^{s-1}_{p,r}} dt' \). By (2.5) of Lemma 2.6 and using the fact that \( m \) is bounded in \( L^\infty(0, T; B^{s}_{p,r}) \), we infer that

\[
\|W\|_{B^{s-1}_{p,r}} \leq C e^{CU(t)} \left( \|W(0)\|_{B^{s-1}_{p,r}} + \int_0^t e^{-CU(t')} (\|u - v\|_{B^{s}_{p,r}} + \|\partial_x G^1\|_{B^{s-1}_{p,r}} + \|\partial_x G^3\|_{B^{s-1}_{p,r}} + \|W G^5\|_{B^{s-1}_{p,r}}) dt' \right).
\]

(3.22)

Taking advantage of Lemma 2.3 with \( d = 1 \), we have

\[
\begin{align*}
\|(u - v)\partial_x G^1\|_{B^{s}_{p,r}} &\leq \|u - v\|_{B^{s}_{p,r}} \|\partial_x (4N + 2u + 2v)\|_{B^{s-1}_{p,r}} \\
&\leq \|W\|_{B^{s-1}_{p,r}} \|4N + 2u + 2v\|_{B^{s}_{p,r}} \\
&\leq C \|W\|_{B^{s-1}_{p,r}} (\|N\|_{B^{s}_{p,r}} + \|u\|_{B^{s}_{p,r}} + \|v\|_{B^{s}_{p,r}}) \\
&\leq C M \|W\|_{B^{s-1}_{p,r}},
\end{align*}
\]

(3.23)

\[
\begin{align*}
\|(u - v) R^2_{n,1}\|_{B^{s}_{p,r}} &\leq \|u - v\|_{B^{s-1}_{p,r}} \|4N + 2u + 2v\|_{B^{s}_{p,r}} \\
&\leq C \|W\|_{B^{s-1}_{p,r}} (\|N\|_{B^{s}_{p,r}} + \|u\|_{B^{s}_{p,r}} + \|v\|_{B^{s}_{p,r}}) \\
&\leq C M \|W\|_{B^{s-1}_{p,r}},
\end{align*}
\]

(3.24)

\[
\begin{align*}
\|\partial_x (u - v)\partial_x G^3\|_{B^{s-1}_{p,r}} &\leq \|\partial_x (u - v)\|_{B^{s}_{p,r}} \|\partial_x (-2N + 2u + 2v)\|_{B^{s-1}_{p,r}} \\
&\leq C \|W\|_{B^{s-1}_{p,r}} (\|N\|_{B^{s}_{p,r}} + \|u\|_{B^{s}_{p,r}} + \|v\|_{B^{s}_{p,r}}) \\
&\leq C M \|W\|_{B^{s-1}_{p,r}},
\end{align*}
\]

(3.25)

\[
\begin{align*}
\|\partial_x (u - v) G^4\|_{B^{s-1}_{p,r}} &\leq \|\partial_x (u - v)\|_{B^{s}_{p,r}} \|8N + 2u + 2v\|_{B^{s}_{p,r}}
\end{align*}
\]
\begin{align}
&\leq C\|W\|_{B^{s-1}_{p,r}} (\|N\|_{B^{s}_{p,r}} + \|u\|_{B^{s}_{p,r}} + \|v\|_{B^{s}_{p,r}}) \\
&\leq CM\|W\|_{B^{s-1}_{p,r}},
\end{align}
(3.26)

\begin{align}
\|WG^5\|_{B^{s-1}_{p,r}} &\leq \|W\|_{B^{s-1}_{p,r}}(2M + 2N + 8\partial_x u - 4u)_{B^{s}_{p,r}} \\
&\leq C\|W\|_{B^{s-1}_{p,r}} (\|M\|_{B^{s}_{p,r}} + \|N\|_{B^{s}_{p,r}} + \|\partial_x u\|_{B^{s}_{p,r}} + \|u\|_{B^{s}_{p,r}}) \\
&\leq CM\|W\|_{B^{s-1}_{p,r}}.
\end{align}
(3.27)

Plugging (3.23)-(3.27) into (3.22) yields that

\begin{equation}
e^{-CU(t)}\|W\|_{B^{s-1}_{p,r}} \leq C\|W(0)\|_{B^{s-1}_{p,r}} + \int_0^t CMe^{-CU(t')}\|W\|_{B^{s-1}_{p,r}} dt'.
\end{equation}
(3.28)

Applying Gronwall's inequality yields

\begin{equation}
\sup_{t \in [0,T]} \|W(t)\|_{B^{s-1}_{p,r}} \leq e^{CT} \|W(0)\|_{B^{s-1}_{p,r}}.
\end{equation}
(3.29)

In particular, \(u_0 = v_0\) in (3.29) yields \(u(t) = v(t)\).

**Step 6:** Continuity with respect to the initial data. If \(s' = s - 1\), by (3.29), the conclusion is valid. If \(s' < s - 1\), by using Lemma 2.2 and (3.29), we have

\begin{align}
\|M(t) - N(t)\|_{B^{s'}_{p,r}} &\leq C\|M(t) - N(t)\|_{B^{s-1}_{p,r}} \\
&\leq e^{CT} \|M(0) - N(0)\|_{B^{s-1}_{p,r}} \\
&\leq Ce^{CT} \|M(0) - N(0)\|_{B^{s-1}_{p,r}}^{\theta_1} (\|M(0)\|_{B^{s}_{p,r}} + \|N(0)\|_{B^{s}_{p,r}})^{(1-\theta_1)},
\end{align}
where \(s - 1 = \theta_1 s' + s_1 (1 - \theta_1), \ s_1 < s\).

If \(s - 1 < s' < s\), by using Lemma 2.2 and (3.29) again, we get

\begin{align}
\|M(t) - N(t)\|_{B^{s'}_{p,r}} &\leq C\|M(t) - N(t)\|_{B^{s-1}_{p,r}}^{\theta_2} (\|M(t) - N(t)\|_{B^{s}_{p,r}}^{1-\theta_2}) \\
&\leq C\|M(t) - N(t)\|_{B^{s-1}_{p,r}}^{(1-\theta_2)} e^{CT\theta_2} \|M(0) - N(0)\|_{B^{s-1}_{p,r}}^{\theta_2} \\
&\leq Ce^{CT\theta_2} (\|M(t)\|_{B^{s}_{p,r}} + \|N(t)\|_{B^{s}_{p,r}})^{(1-\theta_2)} \|M(0) - N(0)\|_{B^{s}_{p,r}}^{\theta_2},
\end{align}
where \(s' = \theta_2 (s - 1) + (1 - \theta_2)s\).

Consequently, we complete the proof of Theorem 3.1.
4 Blow-up

After obtaining local well-posedness theory, a natural question is whether the corresponding solution exists globally in time or not. This section is devoted to the blow-up phenomena.

We first show the following conservation law to (1.1).

**Lemma 4.1.** Let $u_0 \in H^{s}$, $s > \frac{5}{2}$. Then the corresponding solution $u$ guaranteed by Theorem (3.1) has constant energy integral

$$\int_{\mathbb{R}} (u^2 + u_x^2) dx = \int_{\mathbb{R}} [u_0^2 + (u'_0)^2] dx = \|u_0\|_{H^1}^2.$$

**Proof.** Arguing by density, it suffices to consider the case where $u \in C_0^\infty$. Applying integration by parts, we obtain

$$\int_{\mathbb{R}} um dx = \int_{\mathbb{R}} u(u - u_{xx}) dx = \int_{\mathbb{R}} u^2 dx + \int_{\mathbb{R}} u_x^2 dx.$$

By (1.1), we infer that

$$\frac{d}{dt} \int_{\mathbb{R}} um dx = \int_{\mathbb{R}} (\partial_t um + \partial_t mu) dx = \int_{\mathbb{R}} \partial_t u^2 dx.$$

It is easy to see that the Degasperis-Procesi equation transforms into the equation (1.1) under the transformation

$$u \to 2(2 - \partial_x)u.$$

The following important estimate can be obtained by Lemma 4.1 and Lemma 3.2 in [34].

**Lemma 4.2.** Let $u_0 \in H^{s}$, $s > \frac{5}{2}$. Let $T$ be the maximal existence time of the solution $u$ guaranteed by Theorem (3.1). Set $w = (2 - \partial_x)u$ and $w_0 = (2 - \partial_x)u_0$. Then we have

$$\|w\|_{L^\infty} \leq 6\|w_0\|_{L^2}^2 t + \|w_0\|_{L^\infty}, \quad \forall t \in [0, T].$$
Remark 4.3. From Lemma 4.2 we have

\[
\|u_x\|_{L^\infty} \leq 54T\|u_0\|_{H^1}^2 + 4\|u_0\|_{H^1} + \|u_{0x}\|_{L^\infty}
\]

(4.2)

\[
\leq 54T\|u_0\|_{H^1}^2 + 5\|u_0\|_{H^2}^2.
\]

Then, we present a blow-up criterion for (1.1).

Lemma 4.4. Let \(u_0(x) \in H^s(\mathbb{R})\), \(s > \frac{5}{2}\), and let \(T\) be the maximal existence time of the solution \(u(x,t)\) to (4.1) with the initial data \(u_0(x)\). Then the corresponding solution blows up in finite time if and only if

\[
\limsup_{t \to T^-} \int_0^T \|u_{xx}\|_{L^\infty} \, dt = \infty.
\]

Proof. Arguing by density, it suffices to consider the case where \(u \in C_0^\infty\). The equation (1.1) can be rewritten as

\[
u_t - u_{txx} = -4\partial_x^2(uu_x) + 2\partial_x(u_xu_{xx}) + 2\partial_x(u_x^2) + 16uu_x.
\]

Set \(\Lambda^2 = 1 - \partial_x^2\). Then applying \(\Lambda^{s-1}u\Lambda^{s-1}\) to (4.3) yields

\[
\frac{d}{dt} \int_{\mathbb{R}} ([\Lambda^{s-1}u] \Lambda^{s-1}u_x^2) \, dx
\]

\[
= -8 \int_{\mathbb{R}} \Lambda^{s-1}u_x \Lambda^{s-1}(\partial_x^2(uu_x)) \, dx + 4 \int_{\mathbb{R}} \Lambda^{s-1}u_x \Lambda^{s-1}(\partial_x(u_xu_{xx})) \, dx
\]

\[
+ 4 \int_{\mathbb{R}} \Lambda^{s-1}u_x \Lambda^{s-1}(u_x^2) \, dx + 32 \int_{\mathbb{R}} \Lambda^{s-1}u \Lambda^{s-1}(uu_x) \, dx
\]

\[
= -24 \int_{\mathbb{R}} \Lambda^{s-1}u_x \Lambda^{s-1}(uu_x) \, dx - 8 \int_{\mathbb{R}} \Lambda^{s}u \Lambda^{s}(uu_x) \, dx
\]

(4.4)

\[
- 4 \int_{\mathbb{R}} \Lambda^{s-1}u_x \Lambda^{s-1}(u_xu_{xx}) \, dx - 4 \int_{\mathbb{R}} \Lambda^{s-1}u_x \Lambda^{s-1}(u_x^2) \, dx.
\]

We estimate the terms on the right-hand side of (4.4), respectively. Applying the Cauchy-Schwarz inequality, Lemmas 2.10, Corollary 2.8 and Remark 4.3, we derive

\[
\int_{\mathbb{R}} \Lambda^{s-1}u_x \Lambda^{s-1}(uu_x) \, dx
\]

\[
= \int_{\mathbb{R}} \Lambda^{s-1}u(\Lambda^{s-1}(uu_x) - u^{s-1}u_x) \, dx - \frac{1}{2} \int_{\mathbb{R}} u_x(\Lambda^{s-1}u)^2 \, dx
\]

\[
\leq C(\|\Lambda^{s-1}u\|_{L^2} \|u_x\|_{L^\infty} + \|\Lambda^{s-2}u_x\|_{L^2} \|u_x\|_{L^\infty}) \|\Lambda^{s-1}u\|_{L^2} + \|u_x\|_{L^\infty} \|\Lambda^{s-1}u\|_{L^2}^2
\]

\[
\leq C\|u\|_{H^{s-1}}^2 \|u_x\|_{L^\infty}
\]

(4.5)

\[
\leq C(\|u\|_{H^{s-1}}^2 + \|u_x\|_{L^\infty}^2)
\]

\[
\int_{\mathbb{R}} \Lambda^{s-1}u_x \Lambda^{s-1}(u_xu_{xx}) \, dx
\]

\[
= \int_{\mathbb{R}} \Lambda^{s-1}u_x(\Lambda^{s-1}(u_xu_{xx}) - u_x^{s-1}u_{xx}) \, dx - \frac{1}{2} \int_{\mathbb{R}} u_{xx}(\Lambda^{s-1}u_x)^2 \, dx
\]
\[ \leq C(\|u_{xx}\|_{L^\infty} \|\Lambda^{s-1}u_x\|_{L^2} + \|u_{xx}\|_{L^\infty} \|\Lambda^{s-2}u_{xx}\|_{L^2}) \|\Lambda^{s-1}u_x\|_{L^2} + \|u_{xx}\|_{L^\infty} \|\Lambda^{s-1}u_x\|_{L^2} \]

\[ \leq C\|u\|_{H^s}^2 \|u_{xx}\|_{L^\infty}, \]

\[ \int_{\mathbb{R}} \Lambda^{s-1}u_x \Lambda^{s-1}(u_x^2)dx \leq \|\Lambda^{s-1}u_x\|_{L^2} \|\Lambda^{s-1}u_x^2\|_{L^2} \leq C\|u_x\|_{H^{s-1}} \|u_x^2\|_{H^{s-1}} \leq C\|u\|_{H^s}^2 \|u_x\|_{L^\infty} \]

\[ \leq C_T \|u\|_{H^s}^2. \tag{4.6} \]

By the same token, we get
\[ \int_{\mathbb{R}} \Lambda^s u \Lambda^s(u u_x)dx \leq C\|u\|_{H^s}^2 \|u_{xx}\|_{L^\infty} \leq C_T \|u\|_{H^s}^2. \]

Combining (4.4) with the above inequalities and Remark 4.3, we have
\[ \frac{d}{dt}\|u\|_{H^s}^2 \leq C_T \|u\|_{H^s}^2 \|u_{xx}\|_{L^\infty} \]

\[ \leq C_T \|u\|_{H^s}^2 \|u_{xx}\|_{L^\infty}. \tag{4.7} \]

Applying Gronwall’s inequality to (4.8), we have
\[ \|u\|_{H^s}^2 \leq \|u_0\|_{H^s}^2 e^{C_T \int_0^T \|u_{xx}\|_{L^\infty} d\tau}. \tag{4.9} \]

If \( \|u_{xx}\|_{L^\infty} \) is bounded, from (4.9), we know that \( \|u(t, x)\|_{H^s} \) is bounded. By using the Sobolev embedding theorem \( H^s(\mathbb{R}) \hookrightarrow L^\infty(\mathbb{R}), \ s > \frac{1}{2}, \) we have
\[ \|u_{xx}\|_{L^\infty} \leq C\|u\|_{H^s}. \tag{4.10} \]

with \( s > \frac{5}{2}. \) If \( \|u\|_{H^s} \) is bounded, \( s > \frac{5}{2}, \) from (4.10), we know that \( \|u_{xx}\|_{L^\infty} \) are bounded. Moreover, if the maximal existence time \( T < \infty \) satisfies
\[ \int_0^T \|u_{xx}\|_{L^\infty} d\tau < \infty, \]

we obtain from (4.9)
\[ \lim_{t \to T} \sup_{0 \leq \tau \leq t} \|u\|_{H^s} < \infty, \]

which contradicts the assumption that \( T < \infty \) is the maximal existence time. Thus we have
\[ \int_0^T \|u_{xx}\|_{L^\infty} d\tau = \infty. \]

\[ \square \]
By solving the inequality (4.14), we get.

The following main theorem of this section shows that there are some initial data for which the corresponding solutions to (1.1) with some certain condition will blow up in finite time.

**Theorem 4.5.** Assume that \( u_0 \in H^s \), \( s > \frac{5}{2} \) and \( u''_0(x_0) < -4(54T\|u_0\|^2_{H^1} + 6\|u_0\|_{H_2^4}) \), then the corresponding solution of (1.1) blows up in finite time.

**Proof.** By a standard density argument, here we may assume \( s = 3 \) to prove the theorem.

Note that \( G(x) = \frac{1}{2}e^{-|x|} \) and \( G(x) \star f = (1 - \partial_x^2)^{-1} f \) for all \( f \in L^2 \) and \( G \star m = u. \) Then we can rewrite (1.2) as follows:

\[
(4.11) \quad u_t - 4uu_x = -u_x^2 + G \star (2u_x^2 + 6u^2) + u_x^2.
\]

Differentiating this relation twice with respect to \( x \), we find

\[
\begin{align*}
\partial_t u_{xx} + (2u_x - 4u)u_{xxx} &= -2u_{xx}^2 + 8uu_{xx} - 12uu_x - u_x^2 \\
&+ G \star \{\partial_x(2u_x^2 + 6u^2) + (u_x^2)\}.
\end{align*}
\]

Note that

\[
|G \star (2u_x^2 + 6u^2)| + |G \star (u_x^2)| \leq 5\|u\|_{H_1}^2 \leq 5\|u_0\|_{H_1}^2.
\]

Therefore,

\[
(4.13) \quad \partial_t u_{xx} + (2u_x - 4u)u_{xxx} \leq -u_{xx}^2 + 15u_x^2 - 12uu_x + 5\|u_0\|_{H^1}^2 \\
\leq -u_{xx}^2 + 16u_x^2 + 36u^2 + 5\|u_0\|_{H^1}^2 \\
\leq -u_{xx}^2 + 16\|u_x\|_{L^{\infty}}^2 + 23\|u_0\|_{H^1}^2 \\
\leq -u_{xx}^2 + 16(54T\|u_0\|_{H_1}^2 + 6\|u_0\|_{H_2^4}^2 + 23\|u_0\|_{H_1}^2) \\
\leq -u_{xx}^2 + 16(54T\|u_0\|_{H_1}^2 + 6\|u_0\|_{H_2^4}^2)^2 \\
= -u_{xx}^2 + C_T^2,
\]

where \( C_T \equiv 4(54T\|u_0\|_{H_1}^2 + 6\|u_0\|_{H_2^4}^2) \).

Defining now \( w(t) := \inf_{x \in \mathbb{R}}[u_{xx}(t, q(t, x))] \), \( \frac{dw(t)}{dt} = 2u_x(t, q(t, x)) - 4u(t, q(t, x)) \), we obtain from the above inequality the relation

\[
(4.14) \quad \frac{dw}{dt} \leq -w^2 + C_T^2, \quad t \in (0, T).
\]

Since \( w(0) < -C_T \), it then follows that

\[
w(t) < -C_T, \quad \forall t \in [0, T).
\]

By solving the inequality (4.14), we get

\[
(4.15) \quad 0 \leq \frac{2C_T}{w + C_T} \leq 1 - \frac{w(0) - C_T e^{-2tC_T}}{w(0) + C_T e^{-2tC_T}}.
\]
By (4.15) and the fact $\frac{w(0) - C_T}{w(0) + C_T} > 1$, there exists

$$0 < T \leq \frac{1}{2C_T} \ln \frac{w(0) + C_T}{w(0) - C_T},$$

such that

$$w(t) \leq -C_T + \frac{2C_T}{1 - \frac{w(0) + C_T}{w(0) - C_T}e^{-2C_T t}} \to -\infty,$$

as $t \to T$. This proves that the wave $u(t, x)$ breaks in finite time. \qed

Finally, we prove the exact blow-up rate for blowing-up solutions to (1.1). We now introduce the following useful lemma.

**Lemma 4.6.** [13] Let $T > 0$ and $u \in C^1([0, T); H^2)$. Then for every $t \in [0, T)$, there exists at least one point $\xi(t) \in \mathbb{R}$ with

$$m(t) \triangleq \inf_{x \in \mathbb{R}}(u_x(t, x)) = u_x(t, \xi(t)).$$

The function $m(t)$ is absolutely continuous on $(0, T)$ with

$$\frac{dm}{dt} = u_{tx}(t, \xi(t)) \text{ a.e. on } (0, T).$$

**Theorem 4.7.** Let $u_0 \in H^s$, $s > \frac{5}{2}$, and let $T$ be the blow-up time of the corresponding solution $u$ to (1.1), which is guaranteed by Theorem 4.3. Then

$$\lim_{t \to T} \inf_{x \in \mathbb{R}}[u_{xx}(t, x)](T - t) = -\frac{1}{2}.$$  \hspace{1cm} (4.17)

**Proof.** As mentioned earlier, we only need to prove the theorem for $s = 3$.

Differentiating (4.11) with respect to $x$, we find

$$\partial_t u_x(t, x) + (2u_x - 4u)u_{xx} = 2u_x^2 - 6u^2 + G \ast [(2u_x^2 + 6u^2) + \partial_x(2u_x^2)],$$  \hspace{1cm} (4.18)

which along with (4.12), leads to

$$\partial_t (u_{xx} - 2u_x)(t, x) + (2u_x - 4u)(u_{xxx} - 2u_{xx})$$

$$= -2u_x^2 + 8u_xu_{xx} - 5u_x^2 + 12u^2 - 12uu_x$$

$$+ G \ast [(-3u_x^2 - 12u^2) + \partial_x(6u^2)],$$

$$= -2(u_{xx} - 2u_x)^2 + 3u_x^2 + 12u^2 - 12uu_x$$

$$+ G \ast [(-3u_x^2 - 12u^2) + \partial_x(6u^2)].$$  \hspace{1cm} (4.19)

By (4.19), in view of $|G\ast[(-3u_x^2 - 12u^2) + \partial_x(6u^2)| \leq 12\|u_0\|_{H^1}^2$, Lemma 4.2 $u^2 \leq \frac{1}{2}\|u_0\|_{H^1}^2$, and Remark 4.3, we obtain

$$\left|\frac{d(u_{xx} - 2u_x)}{dt} + 2(u_{xx} - 2u_x)^2\right|$$
\[ \leq 4u_x^2 + 48u^2 + 12\|u_0\|_{H^1}^2 \]
\[ \leq 4u_x^2 + 36\|u_0\|_{H^1}^2 \]
\[ \leq 4(54T\|u_0\|_{H^1}^2 + 5\|u_0\|_{H^2}^2)^2 + 36\|u_0\|_{H^1}^2 \]
\[ \leq 4(54T\|u_0\|_{H^1}^2 + 6\|u_0\|_{H^2}^2)^2, \quad \forall t \in (0, T). \]

Defining now \( w(t) := \inf_{x \in \mathbb{R}}[2(u_{xx} - 2u_x)(t, q(t, x))] \), we obtain from the above inequality the relation

\[
(4.20) \quad |dw/dt + w^2| \leq \widetilde{C}_T^2, \quad \forall t \in (0, T),
\]

where \( \widetilde{C}_T \triangleq 2\sqrt{2}(54T\|u_0\|_{H^1}^2 + 6\|u_0\|_{H^2}^2) \).

For every \( \varepsilon \in (0, 1/2) \), in view of (4.16), we can find a \( t_0 \in (0, T) \) such that

\[
 w(t_0) < -\sqrt{\frac{\widetilde{C}_T^2}{\varepsilon}} < -\widetilde{C}_T.
\]

Thanks to (4.16) and (4.20), we have \( w(t) < -\widetilde{C}_T \). This implies that \( w(t) \) is decreasing on \([t_0, T)\), hence,

\[
 w(t) < -\sqrt{\frac{\widetilde{C}_T^2}{\varepsilon}} < -\sqrt{\frac{\widetilde{C}_T^2}{\varepsilon}}, \quad \forall t \in [t_0, T).
\]

Noticing that \(-w^2 + \widetilde{C}_T^2 \leq \frac{dw(t)}{dt} \leq -w^2 + \widetilde{C}_T^2, \quad a.e. \ t \in (t_0, T), \) we get

\[
(4.21) \quad -1 - \varepsilon \leq \frac{d}{dt}(-\frac{1}{w(t)}) \leq -1 + \varepsilon, \quad a.e. \ t \in (t_0, T).
\]

Integrating (4.21) with respect to \( t \in [t_0, T) \) on \((t, T)\) and applying \( \lim_{t \to T} w(t) = -\infty \) again, we deduce that

\[
(4.22) \quad (-1 - \varepsilon)(T - t) \leq \frac{1}{w(t)} \leq (-1 + \varepsilon)(T - t).
\]

Since \( \varepsilon \in (0, 1/2) \) is arbitrary, it then follows from (4.22) that (4.17) holds. Noting that Remark 4.3 we get \( \lim_{t \to T}(\inf_{x \in \mathbb{R}} u_x(t, x)(T - t)) = 0 \).

This completes the proof of the theorem. \( \square \)

## 5 Peakon solutions

In this section, we show that (1.1) possesses the following peakon solutions

\[
(5.1) \quad u(t, x) = \begin{cases} 
-\frac{c}{6}e^{ct - x}, & x \geq ct, \\
-\frac{c}{2}e^{x - ct} + \frac{c}{2}e^{2x - 2ct}, & x < ct,
\end{cases}
\]

where \( c \) is any real positive constant. Of course the above solutions are not classical solutions but weak solutions. We first introduced the definition of weak solutions for (1.1).
Definition 5.1. Functions $u$ was called weak solutions for (5.1) if for each $\varphi(t,x) \in C^1([0,T]; C_0^\infty(\mathbb{R}))$, we have

$$\int_0^T \int_{\mathbb{R}} \left( (\varphi_t - \varphi_{txx})u - \partial_x(2 + \partial_x)\varphi[(2 - \partial_x)u]^2 \right) dx dt \quad (5.2)$$

Directly calculating, we deduce that

$$\int_{\mathbb{R}} u(0)(T) \varphi(T) dx - \int_{\mathbb{R}} u_0(x) \varphi(0) dx.$$  

Hence, for any $\varphi(t,x) \in C^1([0,T]; C_0^\infty(\mathbb{R}))$, using integration by parts, we obtain

$$\int_{\mathbb{R}} u \varphi_t dx = \int_{cT}^{xT} \left( -\frac{C_2}{2} e^{2t} e^{-ct} + \frac{C_3}{3} e^{2t} e^{-ct} \right) \varphi(t) dx + \int_{ct}^{\infty} \left( -\frac{C_5}{6} e^{ct} e^{-ct} \right) \varphi(t) dx$$

Hence, for any $\varphi(t,x) \in C^1([0,T]; C_0^\infty(\mathbb{R}))$, using integration by parts, we obtain

$$\int_{\mathbb{R}} u \varphi_t dx = \int_{-\infty}^{ct} \left( -\frac{C_2}{2} e^{2t} e^{-ct} + \frac{C_3}{3} e^{2t} e^{-ct} \right) \varphi(t) dx + \int_{ct}^{\infty} \left( -\frac{C_5}{6} e^{ct} e^{-ct} \right) \varphi(t) dx$$

Taking advantage of integration by parts and the fact that (5.3), we get

$$\int_{\mathbb{R}} \partial_x(2 + \partial_x)\varphi[(2 - \partial_x)u]^2 dx$$

$$= \int_{-\infty}^{ct} \partial_x(2 + \partial_x)\varphi e^{2t} e^{-ct} dx + \int_{ct}^{\infty} \partial_x(2 + \partial_x)\varphi e^{2t} e^{-ct} dx$$

$$= \frac{c^2}{4} \int_{-\infty}^{ct} \left\{ \partial_x[(2 - \partial_x)\varphi e^{2t} e^{-ct}] - 2(2 - \partial_x)\varphi e^{2t} e^{-ct} \right\} dx$$

$$+ \frac{c^2}{4} \int_{ct}^{\infty} \left\{ \partial_x[(2 - \partial_x)\varphi e^{2t} e^{-ct}] + 2(2 - \partial_x)\varphi e^{2t} e^{-ct} \right\} dx$$

$$= \frac{c^2}{4} \int_{-\infty}^{ct} (2\partial_x\varphi - 4\varphi)e^{2t} e^{-ct} dx + \frac{c^2}{4} \int_{ct}^{\infty} (4\varphi - 2\varphi) x e^{2t} e^{-ct} dx$$

$$= -\frac{c^2}{4} \int_{-\infty}^{ct} \varphi e^{2t} e^{-ct} dx + \frac{c^2}{2} \int_{-\infty}^{ct} \left[ \partial_x(\varphi e^{2t} e^{-ct}) - 2\varphi e^{2t} e^{-ct} \right] dx$$

$$+ \frac{c^2}{2} \int_{ct}^{\infty} \varphi e^{2t} e^{-ct} dx - \frac{c^2}{2} \int_{ct}^{\infty} \left[ \partial_x(\varphi e^{2t} e^{-ct}) + 2\varphi e^{2t} e^{-ct} \right] dx$$
\[ \frac{c^2}{4} [4\varphi(ct) - \varphi_x(ct)] - 2c^2 \int_{-\infty}^{Ct} \varphi e^{2(x-ct)} dx + \frac{c^2}{4} \varphi_x(ct) dx \]

(5.5)

\[ = c^2 \varphi(ct) - 2c^2 \int_{-\infty}^{ct} \varphi e^{2(x-ct)} dx. \]

By (5.1), we obtain

\[ - \int_{\mathbb{R}} u \varphi_{txx} = - \int_{-\infty}^{ct} \left( -\frac{c}{2} e^{x-ct} + \frac{c}{3} e^{2(x-ct)} \right) \varphi_{txx} dx - \int_{ct}^{\infty} \left( -\frac{c}{6} e^{-x} \varphi_{txx} \right) dx \]

\[ = \int_{-\infty}^{ct} \frac{c}{2} e^{x-ct} \varphi_{txx} dx - \int_{-\infty}^{ct} \frac{c}{3} e^{2(x-ct)} \varphi_{txx} dx + \int_{ct}^{\infty} \frac{c}{6} e^{-x} \varphi_{txx} dx \]

(5.6)

\[ = \sum_{i=1}^{3} I_i, \]

where

\[ I_1 = \int_{-\infty}^{ct} \frac{c}{2} e^{x-ct} \varphi_{txx} dx, \]

\[ I_2 = - \int_{-\infty}^{ct} \frac{c}{3} e^{2(x-ct)} \varphi_{txx} dx, \]

\[ I_3 = \int_{ct}^{\infty} \frac{c}{6} e^{-x} \varphi_{txx} dx. \]

An application of integration by parts, yields

\[ I_1 = \frac{c}{2} \int_{-\infty}^{ct} e^{x-ct} \varphi_{txx} dx \]

\[ = \frac{c}{2} \int_{-\infty}^{ct} \left[ \partial_x (e^{x-ct} \varphi_{tx}) - e^{x-ct} \varphi_{txx} \right] dx \]

\[ = \frac{c}{2} \varphi_{tx}(ct) - \frac{c}{2} \int_{-\infty}^{ct} \left[ \partial_x (e^{x-ct} \varphi_t) - e^{x-ct} \varphi_t \right] dx \]

\[ = \frac{c}{2} \varphi_{tx}(ct) - \frac{c}{2} \varphi_t(ct) + \frac{c}{2} \int_{-\infty}^{ct} e^{x-ct} \varphi_t dx \]

(5.7)

\[ I_2 = - \frac{c}{3} \int_{-\infty}^{ct} e^{2(x-ct)} \varphi_{txx} dx \]

\[ = - \frac{c}{3} \int_{-\infty}^{ct} \left[ \partial_x (e^{2(x-ct)} \varphi_{tx}) - 2e^{2(x-ct)} \varphi_{txx} \right] dx \]

\[ = - \frac{c}{3} \varphi_{tx}(ct) + \frac{2c}{3} \int_{-\infty}^{ct} \left[ \partial_x (e^{2(x-ct)} \varphi_t) - 2e^{2(x-ct)} \varphi_t \right] dx \]

\[ = - \frac{c}{3} \varphi_{tx}(ct) + \frac{2c}{3} \varphi_t(ct) - \frac{4c}{3} \int_{-\infty}^{ct} e^{2(x-ct)} \varphi_t dx \]
\[
(5.8) \quad I_3 = \frac{c}{6} \int_{ct}^{\infty} (e^{x-ct} \varphi_{txx}) \, dx
\]
\[
= \frac{c}{6} \int_{ct}^{\infty} [\partial_x (e^{x-ct} \varphi_{tx}) + e^{x-ct} \varphi_{tx}] \, dx
\]
\[
= -\frac{c}{6} \varphi_{tx}(ct) + \frac{c}{6} \int_{ct}^{\infty} \partial_x (e^{x-ct} \varphi_t) \, dx
\]
\[
= -\frac{c}{6} \varphi_{tx}(ct) + \frac{c}{6} \varphi_t(ct) + \frac{c}{6} \int_{ct}^{\infty} e^{x-ct} \varphi_t \, dx
\]
\[
= -\frac{c}{6} \varphi_{tx}(ct) - \frac{c}{6} \varphi_t(ct) + \frac{c}{6} \int_{ct}^{\infty} e^{x-ct} \varphi \, dx.
\]

Combining the above three equalities with (5.6), we have

\[
(5.9) \quad -\int \varphi_{tx} \frac{d}{dx} \left( \frac{c}{2} \int_{-\infty}^{ct} \partial_t (e^{x-ct} \varphi) \, dx + \frac{c^2}{2} \int_{-\infty}^{ct} e^{x-ct} \varphi \, dx - \frac{4c}{3} \int_{-\infty}^{ct} \partial_t (e^{2(x-ct)} \varphi) \, dx - \frac{8c^2}{3} \int_{-\infty}^{ct} (e^{2(x-ct)} \varphi) \, dx + \frac{c}{6} \int_{ct}^{\infty} \partial_t (e^{x-ct} \varphi) \, dx - \frac{c^2}{6} \int_{ct}^{\infty} e^{x-ct} \varphi \, dx,\right.
\]

which along with (5.4) and (5.5), implies

\[
(5.10) \quad \int_{\mathbb{R}} \left( \varphi_t - \varphi_{tx} \right) u - \partial_x (2 + \partial_x) \varphi [(2 - \partial_x) u] \, dx = -c \int_{-\infty}^{ct} \partial_t (e^{2(x-ct)} \varphi) \, dx - c^2 \varphi(ct)
\]
\[
= -c \int_{-\infty}^{ct} (e^{2(x-ct)} \varphi) \, dx
\]
\[
= \partial_t \int_{-\infty}^{ct} (u - u_{xx}) \varphi \, dx
\]
\[
= \partial_t \int_{\mathbb{R}} (u - u_{xx}) \varphi \, dx.
\]

Integrating (5.10) over \([0, T]\) with respect to \(t\) and using integration by parts, we get

\[
\int_{0}^{T} \int_{\mathbb{R}} \left( (u - u_{xx}) \varphi_t - \partial_x (2 - \partial_x) \varphi [(2 - \partial_x) u] \right) \, dx \, dt
\]
\[
= \int_{\mathbb{R}} (u(T) - u_{xx}(T)) \varphi(T) \, dx - \int_{\mathbb{R}} (u_0(x) - u_{0xx}) \varphi(0) \, dx.
\]

Thus we verify that (5.1) are weak solutions of (1.1).
Acknowledgements. This work was partially supported by NNSFC (No.11271382), RFDP (No. 20120171110014), the Macao Science and Technology Development Fund (No. 098/2013/A3) and the key project of Sun Yat-sen University. The authors thank the referees for their valuable comments and suggestions.

References

[1] H. Bahouri, J. Y. Chemin and R. Danchin, *Fourier analysis and nonlinear partial differential equations*, Grundlehren der Mathematischen Wissenschaften, 343, Springer, Heidelberg (2011).

[2] G. M. Coclite and K. H. Karlsen, On the well-posedness of the Degasperis-Procesi equation, *J. Func. Anal.*, 233 (2006), 60–91.

[3] A. Bressan and A. Constantin, *Global conservative solutions of the Camassa-Holm equation*, Archive for Rational Mechanics and Analysis, 183 (2007), 215-239.

[4] A. Bressan and A. Constantin, *Global dissipative solutions of the Camassa-Holm equation*, Analysis and Applications, 5 (2007), 1-27.

[5] R. Camassa and D. D. Holm, *An integrable shallow water equation with peaked solitons*, Physical Review Letters, 71 (1993), 1661-1664.

[6] R. Camassa, D. Holm and J. Hyman, *A new integrable shallow water equation*, Advances in Applied Mechanics, 31 (1994), 1-33.

[7] A. Constantin, *The Hamiltonian structure of the Camassa-Holm equation*, Expositiones Mathematicae, 15(1) (1997), 53-85.

[8] A. Constantin, *On the scattering problem for the Camassa-Holm equation*, Proceedings of The Royal Society of London, Series A, 457 (2001), 953-970.

[9] A. Constantin, *Global existence of solutions and breaking waves for a shallow water equation: a geometric approach*, Annales de l’Institut Fourier (Grenoble), 50 (2000), 321-362.

[10] A. Constantin, *The trajectories of particles in Stokes waves*, Inventiones Mathematicae, 166 (2006), 523-535.

[11] A. Constantin and J. Escher, *Global existence and blow-up for a shallow water equation*, Annali della Scuola Normale Superiore di Pisa - Classe di Scienze, 26 (1998), 303-328.

[12] A. Constantin and J. Escher, *Well-posedness, global existence, and blowup phenomena for a periodic quasi-linear hyperbolic equation*, Communications on Pure and Applied Mathematics, 51 (1998), 475-504.

[13] A. Constantin and J. Escher, *Wave breaking for nonlinear nonlocal shallow water equations*, Acta Mathematica, 181 (1998), 229-243.
[14] A. Constantin and J. Escher, *Particle trajectories in solitary water waves*, Bulletin of the American Mathematical Society, **44** (2007), 423-431.

[15] A. Constantin and J. Escher, *Analyticity of periodic traveling free surface water waves with vorticity*, Annals of Mathematics, **173** (2011), 559-568.

[16] A. Constantin and D. Lannes, *The hydrodynamical relevance of the Camassa-Holm and Degasperis-Procesi equations*, Archive for Rational Mechanics and Analysis, **192** (2009) 165-186.

[17] A. Constantin and H. P. McKean, *A shallow water equation on the circle*, Comm. Pure Appl. Math., **55** (1999), 949–982.

[18] A. Constantin and L. Molinet, *Global weak solutions for a shallow water equation*, Communications in Mathematical Physics, **211** (2000), 45-61.

[19] A. Constantin, R. I. Ivanov and J. Lenells, *Inverse scattering transform for the Degasperis-Procesi equation*, Nonlinearity, **23** (2010), 2559–2575.

[20] A. Constantin and W. A. Strauss, *Stability of peakons*, Communications on Pure and Applied Mathematics, **53** (2000), 603-610.

[21] R. Danchin, *A few remarks on the Camassa-Holm equation*, Differential Integral Equations, **14** (2001), 953-988.

[22] A. Degasperis, D. D. Holm, and A. N. W. Hone, *A new integral equation with peakon solutions*, Theor. Math. Phys., **133** (2002), 1463–1474.

[23] A. Degasperis and M. Procesi, *Asymptotic integrability, in: Symmetry and Perturbation Theory*, A. Degasperis and G. Gaeta, eds., World Scientific (1999), 23-37.

[24] H. R. Dullin, G. A. Gottwald, and D. D. Holm, *On asymptotically equivalent shallow water wave equations*, Phys. D, **190** (2004), 1–14.

[25] J. Escher, Y. Liu and Z. Yin, *Global weak solutions and blow-up structure for the Degasperis-Procesi equation*, J. Funct. Anal., **241** (2006), 457–485.

[26] J. Escher, Y. Liu and Z. Yin, *Shock waves and blow-up phenomena for the periodic Degasperis-Procesi equation*, Indiana Univ. Math. J., **56** (2007), 87–177.

[27] A. Fokas and B. Fuchssteiner, *Symplectic structures, their Bäcklund transformation and hereditary symmetries*, Physica D, **4**(1) (1981/82), 47–66.

[28] G. Gui and Y. Liu, *On the Cauchy problem for the Degasperis-Procesi equation*, Quart. Appl. Math., **69** (2011), 445-464.

[29] A. A. Himonas and C. Holliman, *The Cauchy problem for the Novikov equation*, Nonlinearity, **25** (2012), 449-479.

[30] A. N. W. Hone and J. Wang, *Integrable peakon equations with cubic nonlinearity*, Journal of Physics A: Mathematical and Theoretical, **41** 2008, 372002, 10pp.
REFERENCES

[31] T. Kato and G. Ponce, Commutator estimates and the Euler and Navier-Stokes equation, Comm. Pure Appl. Math., 44 (1988), 891-907.

[32] S. Lai, Global weak solutions to the Novikov equation, Journal of Functional Analysis, 265 (2013), 520-544.

[33] J. Lenells, Traveling wave solutions of the Degasperis-Procesi equation, J. Math. Anal. Appl., 306 (2005), 72–82.

[34] Y. Liu and Z. Yin, Global Existence and Blow-up Phenomena for the Degasperis-Procesi Equation, Commun. Math. Phys., 267 (2006), 801–820.

[35] Y. Liu and Z. Yin, On the blow-up phenomena for the Degasperis-Procesi equation, Int. Math. Res. Not. IMRN, 23 (2007), rnm117, 22 pp.

[36] H. Lundmark, Formation and dynamics of shock waves in the Degasperis-Procesi equation, J. Nonlinear. Sci., 17 (2007), 169–198.

[37] H. Lundmark and J. Szmigielski, Multi-peakon solutions of the Degasperis-Procesi equation, Inverse Prob., 19 (2003), 1241–1245.

[38] W. Luo and Z. Yin, Local well-posedness and blow-up criteria for a two-component Novikov system in the critical Besov space, Nonlinear Analysis. Theory, Methods Applications, 122 (2015), 1–22.

[39] V. Novikov, Generalization of the Camassa-Holm equation, J. Phys. A, 42 (2009), 342002, 14 pp.

[40] G. Rodríguez-Blanco, On the Cauchy problem for the Camassa-Holm equation, Nonlinear Analysis. Theory Methods Application, 46 (2001), 309-327.

[41] J. F. Toland, Stokes waves, Topological Methods in Nonlinear Analysis, 7 (1996), 1-48.

[42] V. O. Vakhnenko and E. J. Parkes, Periodic and solitary-wave solutions of the Degasperis-Procesi equation, Chaos Solitons Fractals, 20 (2004), 1059–1073.

[43] X. Wu and Z. Yin, Global weak solutions for the Novikov equation, Journal of Physics A: Mathematical and Theoretical, 44 (2011), 055202, 17pp.

[44] X. Wu and Z. Yin, Well-posedness and global existence for the Novikov equation, Annali della Scuola Normale Superiore di Pisa. Classe di Scienze. Serie V, 11 (2012), 707-727.

[45] X. Wu and Z. Yin, A note on the Cauchy problem of the Novikov equation, Applicable Analysis, 92 (2013), 1116–1137.

[46] Z. Xin and P. Zhang, On the weak solutions to a shallow water equation, Communications on Pure and Applied Mathematics, 53 (2000), 1411–1433.

[47] W. Yan, Y. Li and Y. Zhang, The Cauchy problem for the integrable Novikov equation, Journal of Differential Equations, 253 (2012), 298–318.
[48] W. Yan, Y. Li and Y. Zhang, *The Cauchy problem for the Novikov equation*, Nonlinear Differential Equations and Applications NoDEA, **20** (2013), 1157–1169.

[49] Z. Yin, *On the Cauchy problem for an integrable equation with peakon solutions*, Ill. J. Math., **47** (2003), 649–666.

[50] Z. Yin, *Global existence for a new periodic integrable equation*, J. Math. Anal. Appl., **283** (2003), 129–139.

[51] Z. Yin, *Global weak solutions to a new periodic integrable equation with peakon solutions*, J. Funct. Anal., **212** (2004), 182–194.

[52] Z. Yin, *Global solutions to a new integrable equation with peakons*, Indiana Univ. Math. J., **53** (2004), 1189–1210.