Substrate thermal properties influence ventral brightness evolution in ectotherms

Jonathan Goldenberg, Liliana D’Alba, Karen Bisschop, Bram Vanthournout & Matthew D. Shawkey

The thermal environment can affect the evolution of morpho-behavioral adaptations of ectotherms. Heat is transferred from substrates to organisms by conduction and reflected radiation. Because brightness influences the degree of heat absorption, substrates could affect the evolution of integumentary optical properties. Here, we show that vipers (Squamata:Viperidae) inhabiting hot, highly radiative and superficially conductive substrates have evolved bright ventra for efficient heat transfer. We analyzed the brightness of 4161 publicly available images from 126 species, and we found that substrate type, alongside latitude and body mass, strongly influences ventral brightness. Substrate type also significantly affects dorsal brightness, but this is associated with different selective forces: activity-pattern and altitude. Ancestral estimation analysis suggests that the ancestral ventral condition was likely moderately bright and, following divergence events, some species convergently increased their brightness. Vipers diversified during the Miocene and the enhancement of ventral brightness may have facilitated the exploitation of arid grounds. We provide evidence that integument brightness can impact the behavioral ecology of ectotherms.
The evolution of organismal coloration depends on multiple ecological and evolutionary factors, and no single function can fully explain color variation across the animal kingdom. Most previous studies on animal coloration have focused on colors for camouflage and mimicry, e.g., refs. 2–4, or on sexual selection or social signaling, e.g., refs. 4–6. However, pigmented integument can also have significant thermal effects. By selectively absorbing and reflecting solar and environmental radiation, the pigmented tissue can directly affect body temperature. Thicker dashed lines indicate high reflectance; thinner dashed lines show low reflectance. Given the unique properties of melanin (see main text), we reasoned that a brighter integument will reflect more direct or indirect solar energy, while a darker integument will absorb more direct or indirect solar energy. Adapted from Porter & Gates and Porter et al. Drawing: Karen Bisschop.

Fig. 1 Flow of energy between direct-and-indirect solar radiations and the organism. Here, we assumed convective heat exchanges (i.e., wind), evaporative cooling from metabolism, food uptake, and urine/faeces production to be constant. The formulated model is a simplification and presented in a conceptual manner to reflect our hypothesis. The direct solar radiation contains all energy-rich radiations, spanning from the UV-visible (300–700 nm) to the near infrared (NIR: 700–2500 nm). The reflected radiation and the ground thermal radiation contain IR energy. The ground thermal radiation and the thermal conductivity increase with the increase of direct solar radiation. However, different grounds present different specific heat capacities ($c_p$), which ultimately affect the amount of heat released by the substrate. Solid lines represent the energy received by the organism; dashed lines represent the energy reflected by the organism. Thicker dashed lines indicate high reflectance; thinner dashed line show low reflectance. Given the unique properties of melanin (see main text), we reasoned that a brighter integument will reflect more direct or indirect solar energy, while a darker integument will absorb more direct or indirect solar energy. Adapted from Porter & Gates and Porter et al. Drawing: Karen Bisschop.
melanophores. These unique thermal properties of melanins led us to predict that a less melanic (brighter) venter would be favored for animals that live on hot radiative and conductive substrates, because it would allow them to better dissipate transferred heat.

Previous research on squamates has primarily focused on dorsal coloration, perhaps because it is visually recognizable and exposed to direct solar radiation e.g., refs. 7,28-31. Fewer studies have investigated the ecological significance of ventral coloration e.g., refs. 32-35 and only a handful have examined the link between it and thermoregulation36,37. Older studies investigated the effect of substrate use on thermoregulation37 and mentioned the taxonomical significance of ventral color reflectivity38,39. However, none specifically examined the evolution of ventral brightness.

Here we use a comparative approach to investigate the macro-evolutionary processes involved in shaping ventral brightness. We hypothesized that, while the dorsal, head and pattern brightness have evolved through tradeoffs between thermoregulation, protection, and camouflage, ventral brightness has been mainly driven by the $c_p$ of the substrates. We predicted that species inhabiting hot, and highly radiative and superficially conductive substrates (i.e., low $c_p$) would express less melanic (i.e., darker) ventral integument than those on high $c_p$ substrates. Moreover, as latitude in part determines how much of the sun’s radiation is received by the organism, while also directly affecting transmission of energy to the substrate30, we expected higher latitude species to express a greater melanic ventral integument than lower latitude counterparts. Furthermore, body size can have a strong effect on the overall thermal inertia of an organism31, therefore we advanced that larger species will benefit from a brighter venter given the slower cooling rates relative to smaller species31. Finally, we predicted that high altitude species will display a darker ventral integument than low altitude organisms, as high altitudes are generally colder than lower altitudes, and a more melanic venter may confer a thermal advantage. Using vipers as study organisms, we combined ancestral state estimations and Bayesian mixed models to test these hypotheses.

### Results

**Study design and methodology validation.** We analyzed 126 taxonomically unambiguous viper species from 31 genera, covering ~35% of the family Viperidae (The Reptile Database; accessed [July 2020]); Fig. S1). As direct spectrophotometry measurements of live vipers are logistically challenging, we retrieved brightness levels from 4161 images of these species from peer-reviewed articles, field guides, Google Images, documentaries and our own data. We removed images that were clearly over-or-under exposed. To account for different lighting and setup conditions, we took the following steps: (a) selected multiple (head ($M = 8.37, SD = 2.09$), dorsum ($M = 8.64, SD = 2.08$), venter ($M = 4.50, SD = 2.69$), and dorsal pattern ($M = 7.53, SD = 2.78$)) pictures/video frames per species, (b) assessed observer variability, (c) avoided over/under exposed areas, (d) assessed the relationship between brightness data obtained from image analyses and spectrophotometry, (e) assessed the variability of image brightness within species, and (f) verified the relationship between the visible (Vis), near infrared (NIR) and Ultraviolet (UV) spectra.

For (a) We examined all images in ImageJ 1.52i through a custom-made interactive plugin that enables the user to obtain brightness levels (see Methods for more information). Here we defined brightness as the mean of the RGB values10.

For each species we calculated the mean brightness for each of the four body regions (head, dorsum, venter, and dorsal pattern (geometric shapes that contrast with the dorsal ground coloration)) (Table 1, Fig. S2). For (b), to assess the repeatability of the measurements, four observers independently analyzed 12 species (388 images), (c) with the directive to avoid shaded areas and flashed (“burned”) regions. We found positive relationship between the observers (Obs1 vs Obs2: $r = 0.94, R^2 = 0.88, p < 0.0001$, Obs1 vs Obs3: $r = 0.99, R^2 = 0.98, p < 0.0001$, Obs1 vs Obs4: $r = 0.99, R^2 = 0.98, p < 0.0001$, Fig. S3), so only one proceeded with data collection. For (d), we verified the relationship between brightness values obtained through spectrophotometry from 29 living squamate species (including four viper species; Table S2) and brightness obtained through photographs. We found a significant positive relationship ($r = 0.79; R^2 = 0.62; p < 0.0001$, Fig. S4), a solid support for our method, particularly given that images were by necessity from different individuals to include intraspecific variation. For (e), we examined the variation of image brightness within same 29 squamate species. All standard deviations are moderate and similar across species (Fig. S5, Table S3), supporting the use of multiple images to retrieve an overall brightness mean per species. For (f), since 50% of the sun’s energy-rich radiation is confined to the NIR region44,45 and images account only for the Vis spectrum, we verified the relationship between these two spectral regions from spectrophotometry measurements on the same 29 living squamate species (Table S2). We found a strong positive relationship ($r = 0.79; R^2 = 0.62; p < 0.0001$, Fig. S6) as predicted, supporting the use of the vis spectrum as a proxy for the full spectrum. UV radiation also plays a role in the overall heating process of an organism, thus we verified the relationship between the UV range and the Vis spectrum on the same dataset. We found a significant positive relationship ($r = 0.68; R^2 = 0.46; p < 0.0001$, Fig. S7), further supporting use of the Vis range as proxy for the full spectrum.

**Bright ventra associate with low $c_p$ substrates.** We found that integumentary brightness and substrate type were associated in all models of ventral and dorsal body regions. However, environmental and morpho-behavioral variables differ depending on body region. Specifically, ventral brightness, in addition to being positively associated with body mass and negatively with latitude variables, is strongly negatively associated with substrate type (Tables 2, S4–7); species living on substrates with low $c_p$ (i.e., arid grounds) have significantly brighter ventral coloration 69% [61,78] than on any other substrate (Table S8, Fig. 2a).

| Table 1 Data acquisition in ImageJ. |
|-------------------------------------|
| **Body region** | **Region of interests (ROIs)** | **Description** |
|------------------|-----------------------------|----------------|
| Venter           | 3 areas. Each spanning 1/10 of the trunk length$^a$ | Maximum outline within the ROI. Only ventral scales |
| Dorsum           | 3 areas. Each spanning 1/10 of the trunk length$^a$ | Maximum outline within the ROI. Only dorsal scales. |
| Head             | 3 areas | Maximum outline within the ROI. Only parietal (cranial) scale |
| Pattern          | 3 areas | Maximum outline of pattern areas within the dorsum |

Our custom-made macro guides the user throughout analyses. Note that reference selection tool helps the user to stick to the reference length. For more details, please refer to Fig. S2

$^a$We aimed for 1/10 for comparative purposes.
Dorsal brightness is lower than the ventrum (Table S12, Fig. 2b) and it is negatively associated with substrate type, but, unlike the ventrum, also with activity pattern and altitude (Tables 2, S9–13, Fig. 2b). More specifically, diurnal and low altitude species are more likely to be brighter than nocturnal and high altitude animals (Tables 2, S12). Head brightness follows a nearly identical trend to dorsum (Fig. S8), but is only associated with substrate type and altitude (Tables 2, S14–18).

The result is not surprising, as the brightness levels of two regions are strongly associated with each other (Fig. S10B). By contrast, pattern region is only associated with substrate type. (Tables 2, S19–21, Fig. S9).

Evolution of brightness in different body regions. The ancestral state reconstruction of the ventral brightness (Fig. 3a) suggests that the root viper node had a brightness level of 57% [44,71] 95% C.I., and that several groups convergently evolved bright or dark integument. During the mid-late Miocene (~14–6 Mya), brightness of Echis sp–Cerastes sp. (71% [59,84]), Pseudocerastes sp.–Eristicophis sp. (64% [52,76], Bitis sp. (61% [50,72]), Causus sp. (58% [46,70]), Daboia sp. (58% [47,69]), and few species of Crotalus sp. (58% [51,64]), independently increased (Fig. 3a), and decreased in Bothrops sp. (53% [45,60]), Gloydius sp. (43% [33,52]), and Vipera sp. (45% [36,55]). The results are further supported by the Stony’s C1–C5 metrics of convergences based on dorsal and ventral...
brightness of the focal taxa (Table S23); specifically C1 (i.e., the maximum distance between two lineages that has been brought together by subsequent evolution) = 0.54 ($p = 0.00$) coupled with C5 (i.e., the number of convergent focal taxa that reside in a distinct region of the polymorphospace) = 15 ($p = 0.00$) show that the focal taxa significantly cluster together in a separate region of the polymorphospace driven by ventral brightness (Table S23, Fig. S14). As another line of evidence, the 95% C.I. phenogram, which projects the phylogeny in a space defined by the ventral brightness over time (Fig. S15B), shows that ventral brightness of the focal taxa shifted to bright brightness levels independently over time, corroborating our findings.

Brightness on the dorsum also increased across several genera (mostly different genera/species than those whose ventral brightness increased) from the late Oligocene to the mid-Miocene (~24−14 Mya) (Fig. 3b). The head brightness follows the same trend as the dorsum (Fig. 3c). Lastly, unlike all other body regions, brightness of patterns decreased starting in the early Miocene (~20−15 Mya) (Fig. 3d).

**Discussion**

We hypothesized that evolution of ventral brightness in ectotherms confined to the ground is driven by the heat retention properties of their primary substrates. We used ancestral reconstructions and
multinomial models, with vipers as study organisms, to test this hypothesis. Our results show that, as predicted, bright ventral integuments are associated with arid substrates, i.e., low specific heat capacity substrates. This suggests that a bright ventral integument may provide an evolutionary advantage to species living on arid soils by more rapidly dissipating the heat transferred from the ground, thereby potentially avoiding overheating.

Ventral brightness was affected not only by the substrate, but also by latitudinal distribution and body mass. However, contrary to our predictions, altitude did not play a role in shaping ventral brightness.

Latitude in part determines how much the sun’s radiation is received by the organism, while also directly affecting transmission of energy to the substrate. Similarly to Moreno Azocar et al., our results indicate that species closer to the equator are more likely to have brighter venters compared to species at higher latitudes. The authors suggested a potential thermoregulatory function because ventral melanism was significantly affected by cloudiness and minimum net radiation. We propose that darker ventra may provide a thermal advantage in lower energy-rich radiation zones; because melanin not only can absorb and transform solar radiation into heat but also conduct energy, promoting thermal conductance.

Body mass affects thermal inertia in that larger species take longer to change body temperature. Our findings show that larger species have brighter venters, possibly because of the slower cooling rates relative to smaller species. These results suggest that substrate conditions, together with latitude and body mass, shape the ventral brightness evolution in our ectothermic group.

Finally, high altitudes are not only generally colder than low altitudes, but also have higher radiation. Therefore, unlike substrates at low altitudes, those at high altitudes likely experience contrasting abiotic factors, e.g., high solar radiation, low temperatures, that ultimately affect the substrate thermal properties. Our predictions did not account for the effect of solar radiation, but only temperature, at high altitude, but given our results we suggest that the brightness of the venter is not influenced by altitude.

Dorsal and head brightness were also negatively associated with the substrate type, but they were darker than the venters across all substrate types (Fig. 2). Moreover, altitude and, on the dorsum, the activity pattern, were also associated with the dorsal and head brightness, suggesting that brightness of those regions is driven by multiple competing pressures such as camouflage, thermoregulation, and UV protection. Indeed, we found that diurnal and lower altitude species are more likely to have a brighter integument than nocturnal and higher elevation animals on any given substrate. Darker integuments may contribute not only to better camouflage, but also to thermoregulatory functions in colder environments (e.g., allowing the brain to reach optimal temperatures faster) and UV protection (e.g., protecting the animal from higher radiations at higher altitudes). The present results are further supported by Martinez-Freiria et al. who found that darker dorsal colors in European vipers are associated with cold environments. Overall, our findings on the dorsal and ventral brightness suggest that these body regions are subjected to divergent selective forces.

Only substrate type was associated with pattern brightness, with no clear reduction in brightness with higher specific heat capacity substrates. Recently, Pizzagalli et al. found that specific geometric shapes on the viper dorsa are associated with different ground habitats. Our results provide another line of evidence that patterns primarily evolved for a function other than thermoregulation, most likely camouflage.

Substrate properties in a given location can rapidly change following climatic shifts. In the last 50 My Earth experienced multiple climatic changes e.g., ref. 50; for instance, during the Miocene (~23–5 Mya) it underwent regional aridification phases due to new orogenic formations and changes in air circulation. Such environmental variations may affect the performance and the bauplan of ectothermic organisms. Vipers evolved from an ancestral form 49.7 Mya ca. and evolved during these selective climatic fluctuations. The observed increase in ventral brightness in the mid-late Miocene (Fig. 3a), suggests that following aridification, brighter integuments may have enabled species inhabiting arid soils to more rapidly dissipate ground heat.

Integumentary brightness evolved differently in ventral and dorsal regions, further suggesting that it is uncoupled in these body regions. On the ventral side, five groups ((1) Causus sp., (2) Echis sp. - Cerastes sp., (3) Pseudocerastes sp. - Eristicophis sp., (4) Bitis sp., and (5) few members of Cerastes sp.) independently enhanced brightness of their ventral integuments during the mid-late Miocene (~14–6 Mya). Extant members of the African species inhabit the Sahara region. Zhang et al. estimated that this desert formed 7–11 Mya. Interestingly, the rise of these four bright ventral genera (group 2, 3) coincides with this aridification. In parallel, the uplift of East Africa during the late Miocene may have enabled the rise of several Bitis spp., following the shrinkage of rainforests and expansion of open habitats. During the mid-late Miocene, wooded-savannas gradually replaced tropical forests in Southern Africa, creating new vacant niches that could be filled by species with brighter venters such as Causus sp. Similarly, ancestral forms of Cerastes sp. rapidly radiated when the great mountain ranges formed in North America, rapidly aridifying the surrounding environment. On the other hand, the steep decrease in brightness experienced by the Gloydius complex, especially G. ussuriensis, may be linked to the uplift of the Tibetan plateau, which provoked an increase in precipitation in the loess plateau/east Asia around 8–9 Mya producing forests in which dark ventral species may perform better.

Brightening of the dorsum and head may have been a response to the gradual replacement of forests with open areas since 20 Mya ca., where brighter integuments may have provided an evolutionary benefit for camouflage and thermoregulatory purposes.

In contrast to any other body region, the pattern area follows an overall decrease of brightness starting from 20 Mya. Darker and contrasting patchy areas across the dorsum may have provided an evolutionary advantage by disrupting the ground coloration to better blend with the surrounding environment.

While the dorsum is exposed to both solar radiation and prey/predator sight, the ventral region is mostly cryptic in species confined to the ground. Ectotherms are highly susceptible to the surrounding environmental conditions to attain body temperatures that maximize performance. Thus, different brightness levels on different body regions may confer specific advantages to achieve the desired function. Our results support the hypothesis that brightness of exposed body regions is not only selected for thermoregulatory properties, but also for protection and camouflage. In contrast to Smith et al. prediction that ventral color reflectivity would have little effect on thermoregulation, as the animals lie flat against the surface, we found that ventral brightness evolution appears to be mainly shaped by the substrate type. Hence, the upper and lower body regions experienced contrasting selection pressures, leading to the current variation in extant viper color brightness.

We have here provided evidence for the significance of brightness of an often-neglected body region, and laid the groundwork for future studies examining the reflectance, emissivity and thermoregulatory properties of melanin. Moreover, it may be important to implement such results in climate change.
risk assessments due to the potential impact they can have on species distribution.

Methods

Species selection and dataset construction. Vipers (Viperidae Oppel, 1811) are a family of venomous snakes that evolved 50 Mya cp32,33. Unlike other snakes, vipers use a sit-and-wait foraging behavior e.g., ref. 34, and therefore their substrate type likely plays an important role in regulating their body temperature. To date, 365 viper species (The Reptile Database35; accessed [July 2020]) are distributed across the globe ranging from the tropics to the higher latitudes36 (≥60° N). The observed large diversity, coupled with their feeding strategy and a relatively long evolutionary history, makes this family an ideal study organism to investigate how ventral brightness evolved under divergent selective environments.

To determine whether the specific heat capacity ($c_p$) of substrates has played a role in coloration brightness, we extracted information on the substrate condition brightness levels of 126 taxonomically unambiguous viper species from 31 genera distributed across the world. We previously explained in Results section our precautions to retrieve brightness levels in different species from images and we refer the reader to that paragraph. All the references to the collected 4161 images are available at the provided repository.

As integumentary brightness may be evolutionary constrained across different regions of an organism, we took measurements from three regions of interest in each of the four body parts, i.e., head ($M = 8.37$, $SD = 2.09$), dorsum ($M = 8.64$, $SD = 2.08$), venter ($M = 4.50$, $SD = 2.69$), and dorsal pattern ($M = 7.53$, $SD = 2.78$; geometric shapes that contrast with the dorsal ground coloration) (Table 1, Fig. S2) and for each species we calculated the mean brightness for each body section. Clear images of the ventral side are rare, hence we analyzed a lower proportion of images for this cryptic body region. Six species (Atheris squamigera, Trimeresurus albolabris, T. erythros, T. medoensis, T. popeiorum, T. stejnegeri) do not show clear cut outsourcing, hence we dropped any pattern analysis from those cases.

Soils display different $c_p$ based on the amount of water they contain37. Arid substrates (low $c_p$) quickly dissipate the heat via conduction and radiation, while forests grounds (high $c_p$) slowly absorb and diffuse the heat. Accounting for direct $c_p$ values measurements on different soil types (Table S1), we classified substrates based on its $c_p$ gradient, i.e., from low (arid substrates such as deserts and rocky soils) to high (forest grounds). We looked in the literature to find which variables better describe the amount of the sun's energy reaching the animal and substrate38,39, hence we have to remove those species for any analysis involving pattern brightness. To avoid the effect of the heat exchange between substrate-organism, we chose the lowest 300 m for our analyses (from 300 to 瞻300 m) and for the highest we used the lowest 300 m for our analyses (from 300 to 700 m).

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in any of the mostly supported models (DIC < 5) and had a cumulative Akaike of >0.75. Then, to retrieve the posterior...

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Author contributions

J.G., L.D.A., and M.D.S. conceived the project. J.G., L.D.A., and M.D.S. analyzed the data. J.G. prepared the manuscript. J.G., L.D.A., and M.D.S. performed the analyses.

Competing interests

The authors declare no competing interests.

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