Abstract

Current state-of-the-art NMT systems use large neural networks that are not only slow to train, but also often require many heuristics and optimization tricks, such as specialized learning rate schedules and large batch sizes. This is undesirable as it requires extensive hyperparameter tuning. In this paper, we propose a curriculum learning framework for NMT that reduces training time, reduces the need for specialized heuristics or large batch sizes, and results in overall better performance. Our framework consists of a principled way of deciding which training samples are shown to the model at different times during training, based on the estimated difficulty of a sample and the current competence of the model. Filtering training samples in this manner prevents the model from getting stuck in bad local optima, making it converge faster and reach a better solution than the common approach of uniformly sampling training examples. Furthermore, the proposed method can be easily applied to existing NMT models by simply modifying their input data pipelines. We show that our framework can help improve the training time and the performance of both recurrent neural network models and Transformers, achieving improvements of up to 2.2 BLEU.

1 Introduction

Neural Machine Translation (NMT; Kalchbrenner and Blunsom (2013); Bahdanau et al. (2015)) now represents the state-of-the-art adapted in most machine translation systems (Wu et al., 2016; Crego et al., 2016; Bojar et al., 2017a), largely due to its ability to benefit from end-to-end training on massive amounts of data. In particular, recently-introduced self-attentional Transformer architectures (Vaswani et al., 2017) are rapidly becoming the de-facto standard in NMT, having demonstrated both superior performance and training speed compared to previous architectures using recurrent neural networks (RNNs; (Kalchbrenner and Blunsom, 2013; Sutskever et al., 2014)). However, large scale NMT systems are often hard to train, requiring complicated heuristics which can be both time-consuming and expensive to tune. This is especially true for Transformers which, when carefully tuned, have been shown to consistently outperform RNNs (Popel and Bojar, 2018), but on the other hand, also rely on a number of heuristics such as specialized learning rates and large-batch training.

In this paper, we attempt to tackle this problem by proposing a curriculum learning framework for training NMT systems that reduces training time, reduces the need for specialized heuristics or large batch sizes, and results in overall better performance. It allows us to train both RNNs and, perhaps more importantly, Transformers, with relative ease. Our proposed method is based on the idea of teaching algorithms in a similar manner as humans, from easy concepts to more difficult ones. This idea can be traced back to the work...
of Elman (1993) and Krueger and Dayan (2009). The main motivation is that training algorithms can perform better if training data is presented in a specific order, starting from easy examples and moving on to more difficult ones, as the learner becomes more competent. In the case of machine learning, it can also be thought of as a means to avoid getting stuck in bad local optima early on in training. An overview of the proposed framework is shown in Figure 1.

Notably, we are not the first to examine curriculum learning for NMT, although other related works have met with mixed success. Koci and Bojar (2017) explore impact of several curriculum heuristics on training a translation system for a single epoch, presenting the training examples in an easy-to-hard order based on sentence length and vocabulary frequency. However, their strategy introduces all training samples during the first epoch, and how this affects learning in following epochs is not clear, with official evaluation results (Bojar et al., 2017b) indicating that final performance may indeed be hurt with this strategy. Contemporaneously to our work, Zhang et al. (2018) further propose to split the training samples into a predefined number of bins (5, in their case), based on various difficulty metrics. A manually designed curriculum schedule then specifies the bins from which the model samples training examples. Experiments demonstrate that benefits of curriculum learning are highly sensitive to several hyperparameters (e.g., learning rate, number of iterations spent in each phase, etc.), and largely provide benefits in convergence speed as opposed to final model accuracy.

In contrast to these previous approaches, we define a continuous curriculum learning method (instead of a discretized regime) with only one tunable hyperparameter (the duration of curriculum learning). Furthermore, as opposed to previous work which only focuses on RNNs, we also experiment with Transformers, which are notoriously hard to train (Popel and Bojar, 2018). Finally, unlike any of the work described above, we show that our curriculum approach helps not only in terms of convergence speed, but also in terms of the learned model performance. In summary, our method has the following desirable features:

1. **Abstract:** It is a novel, generic, and extensible formulation of curriculum learning. A number of previous heuristic-based approaches, such as that of Koci and Bojar (2017), can be formulated as special cases of our framework.

2. **Simple:** It can be applied to existing NMT systems with only a small modification to their training data pipelines.

3. **Automatic:** It does not require any tuning other than picking the value of a single parameter, which is the length of the curriculum (i.e., for how many steps to use curriculum learning, before easing into normal training).

4. **Efficient:** It reduces training time by up to 70%, whereas contemporaneous work of Zhang et al. (2018) reports reductions of up to 46%.

5. **Improved Performance:** It improves the performance of the learned models by up to 2.2 BLEU points, where the best setting reported by Zhang et al. (2018) achieves gains of up 1.55 BLEU after careful tuning.

In the next section, we introduce our proposed curriculum learning framework.

2 Proposed Method

We propose competence-based curriculum learning, a training framework based on the idea that training algorithms can perform better if training data is presented in a way that picks examples appropriate for the model’s current competence. More specifically, we define the following two concepts that are central to our framework:

**Difficulty:** A value that represents the difficulty of a training sample and that may depend on the current state of the learner. For example, sentence length is an intuitive difficulty metric for natural language processing tasks. The only constraint is that difficulty scores are comparable across different training samples (i.e., the training samples can be ranked according to their difficulty).

**Competence:** A value between 0 and 1 that represents the progress of a learner during its training. It is defined as a function of the learner’s state. More specifically, we define the competence, \( c(t) \) at time \( t \) (measured in terms of training steps), of a learner as the proportion of training data it is allowed to use at that time. The training examples are ranked according to their difficulty and the learner is only allowed to use the top \( c(t) \) portion of them at time \( t \).

Using these two concepts, we propose Algorithm 1 (a high-level overview is shown in Figure 1, an example visualization of the first two steps is shown...
in Figure 2, and an example of the interaction between difficulty and competence is shown in Figure 3.

Note that, at each training step, we are not changing the relative probability of each training sample under the input data distribution, but we are rather constraining the domain of that distribution, based on the current competence of the learner. Eventually, once the competence becomes 1, the training process becomes equivalent to that without using a curriculum, with the main difference that the learner should now be more capable to learn from the more difficult examples. Given the dependence of this algorithm on the specific choices of the difficulty scoring function, $d$, and the competence function, $c$, we now describe our instantiations for training NMT models.

### 2.1 Difficulty Metrics

There are many possible ways of defining the difficulty of translating a sentence. We consider two heuristics inspired by what we, as humans, may consider difficult when translating, and by factors which can negatively impact the optimization algorithms used when training NMT models. In the rest of this section we denote our training corpus as a collection of $M$ sentences, $\{s_i\}_{i=1}^M$, where each sentence is a sequence of words, $s_i = \{w^i_0, \ldots, w^i_{N_i}\}$.

#### Sentence Length:
We argue that it is harder to translate longer sentences, as longer sentences require being able to translate their component parts, which often consist of short sentences. Furthermore, longer sentences are intuitively harder to translate due to the propagation of errors made early on when generating the target language sentence. Therefore, a simple way to define the difficulty of a sentence $s_i = \{w^i_0, \ldots, w^i_{N_i}\}$ is as follows:

$$d_{\text{length}}(s_i) \triangleq N_i. \quad (1)$$

Note that we can compute this difficulty metric on either the source language sentence or the target language sentence.
language sentence. We only consider the source sentence in this paper.\footnote{NMT models typically first pick up information about producing sentences of correct length. It can be argued that presenting only short sentences first may lead to learning a strong bias for the sentence lengths. In our experiments, we did not observe this to be an issue as the models kept improving and predicting sentences of correct length, throughout training.}

**Word Rarity:** Another aspect of language that can affect the difficulty of translation is the frequency with which words appear. For example, humans may find rare words hard to translate because we rarely ever see them and it may be hard to recall their meaning. The same can be true for NMT models where: (i) the statistical strength of the training examples containing rare words is low and thus the model needs to keep revisiting such words in order to learn robust representations for them, and (ii) the gradients of the rare word embeddings tend to have high variance; they are overestimates of the true gradients in the few occasions where they are non-zero, and underestimates otherwise. This suggests that using word frequencies may be a helpful difficulty heuristic. Given a corpus of sentences, \( \{s_i\}_{i=1}^M \), we define relative word frequencies as:

\[
\hat{p}(w_j) \triangleq \frac{1}{N_{\text{total}}} \sum_{i=1}^M \sum_{k=1}^{N_i} \mathbb{1}_{w_k^i = w_j},
\]

where \( j = 1, \ldots, \#\{\text{unique words in corpus}\} \) and \( \mathbb{1}_{\text{condition}} \) is the indicator function which is equal to 1 if its condition is satisfied and 0 otherwise. Next we need to decide how to aggregate the relative word frequencies of all words in a sentence to obtain a single difficulty score for that sentence. Previous research has proposed various pooling operations, such as minimum, maximum, and average (Zhang et al., 2018), but they show that they do not work well in practice. We propose a different approach. Ultimately, what might be most important is the overall likelihood of a sentence as that contains information about both word frequency and, implicitly, sentence length. An approximation to this likelihood is the product of the unigram probabilities over more terms in \([0, 1]\) and are thus likely to be smaller. We thus propose the following difficulty heuristic:

\[
d_{\text{rarity}}(s_i) \triangleq -\sum_{k=1}^{N_i} \log \hat{p}(w_k^i),
\]

where we use logarithms of word probabilities to prevent numerical errors. Note that negation is used because we define less likely (i.e., more rare) sentences as more difficult.

These are just two examples of difficulty metrics, and it is easy to conceive of other metrics such as the occurrence of homographs (Liu et al., 2018) or context-sensitive words (Bawden et al., 2018), the examination of which we leave for future work.

### 2.2 Competence Functions

For this paper, we propose two simple functional forms for \( c(t) \) and justify them with some intuition. More sophisticated strategies that depend on the loss function, the loss gradient, or on the learner’s performance on held-out data, are possible, but we do not consider them in this paper.

**Linear:** This is a simple way to define \( c(t) \). Given an initial value \( c_0 \triangleq c(0) \geq 0 \) and a slope parameter \( r \), we define:

\[
c(t) \triangleq \min(1, tr + c_0). \tag{4}
\]

In this case, new training examples are constantly being introduced during the training process, with a constant rate \( r \) (as a proportion of the total number of available training examples). Note that we can also define \( r = (1 - c_0)/T \), where \( T \) denotes the time after which the learner is fully competent, which results in:

\[
c_{\text{linear}}(t) \triangleq \min \left(1, \frac{1 - c_0}{T} + c_0 \right). \tag{5}
\]

**Root:** In the case of the linear form, the same number of new and more difficult examples are added to the training set, at all times \( t \). However, as the training data grows in size, it gets less likely that any single data example will be sampled in a training batch. Thus, given that the newly added examples are less likely to be sampled, we propose to reduce the number of new training examples per unit time as training progresses to give the learner sufficient time to assimilate their information content. More specifically, we define the rate in which
We observed that best performance is obtained without a curriculum. Plots of the competence functions we presented are shown in Figure 4. New examples are added as inversely proportional to the current training data size:

$$\frac{dc(t)}{dt} = \frac{P}{c(t)},$$

for some constant $P \geq 0$. Solving this simple differential equation, we obtain:

$$\int c(t) dc(t) = \int P dt \Rightarrow c(t) = \sqrt{2Pt + D},$$

for some constants $P$ and $D$. Then, we consider the following constraint: $c_0 \triangleq c(0) = \sqrt{D} \Rightarrow D = c_0^2$. Finally, we also have that $c(T) = 1 \Rightarrow P = (1 - c_0^2)/2T$, where $T$ denotes the time after which the learner is fully competent. This, along with the constraint that $c(t) \in [0, 1]$ for all $t \geq 0$, results in the following definition:

$$c_{\text{sqrt}}(t) \triangleq \min \left(1, \sqrt{\frac{1 - c_0^2}{T} + c_0^2} \right).$$

In our experiments, we refer to this specific formulation as the “square root” competence model. If we want to make the curve sharper, meaning that even more time is spent per sample added later on in training, then we can consider the following more general form, for $p \geq 1$:

$$c_{\text{root-}p}(t) \triangleq \min \left(1, \sqrt[2]{\frac{1 - c_0^2}{T} + c_0^2} \right).$$

We observed that best performance is obtained when $p = 2$ and then, as we increase $p$, performance converges to that obtained when training without a curriculum. Plots of the competence functions we presented are shown in Figure 4.

### 2.3 Scalability

Our method can be easily used in large-scale NMT systems. This is because it mainly consists of a preprocessing step of the training data that computes the difficulty scores. The implementation we are releasing with this paper computes these scores in an efficient manner by building a graph describing their dependencies, as well as whether they are sentence-level scores (e.g., sentence length), or corpus-level (e.g., CDF), and using that graph to optimize their execution. Using only 8GB of memory, we can process up to 20k sentences per second when computing sentence rarity scores, and up to 150k sentences per second when computing sentence length scores.

### 3 Experiments

For our experiments, we use three of the most commonly used datasets in NMT, that range from a small benchmark dataset to a large-scale dataset with millions of sentences. Statistics about the datasets are shown in Table 1. We perform experiments using both RNNs and Transformers. For the RNN experiments we use a bidirectional LSTM for the encoder, and an LSTM with the attention model of Bahdanau et al. (2015) for the decoder. The number of layers of the encoder and the decoder are equal. We use a 2-layer encoder and a 2-layer decoder for all experiments on IWSLT datasets, and a 4-layer encoder and a 4-layer decoder for all experiments on the WMT dataset, due to the dataset’s significantly larger size. For the Transformer experiments we use the BASE model proposed by Vaswani et al. (2017). It consists of a 6-layer encoder and decoder, using 8 attention heads, and 2,048 units for the feed-forward layers. The multi-head attention keys and values depth is set to the word embedding size. The word embedding size is 512 for all experiments. Furthermore, for the Transformer experiments on the two smaller datasets we do not use any learning rate schedule, and for the experiments on the largest dataset we use the default Transformer schedule. A detailed discussion on learning rate schedules for Transformers is provided near the end of this section. All of our experiments were conducted on a machine with a single Nvidia V100 GPU, and 24 GBs of system memory.

During training, we use a label smoothing factor of 0.1 (Wu et al., 2016) and the AMSGrad optimizer (Reddi et al., 2018) with its default parame-
| Dataset       | # Train | # Dev | # Test |
|---------------|---------|-------|--------|
| IWSLT-15 En→Vi| 133k    | 768   | 1268   |
| IWSLT-16 Fr→En| 224k    | 1080  | 1133   |
| WMT-16 En→De  | 4.5m    | 3003  | 2999   |

Table 1: Number of parallel sentences in each dataset. "k" stands for “thousand” and “m” stands for “million”.

Curriculum Hyperparameters. We set the initial competence $c_0$ to 0.01, in all experiments. This means that all models start training using the 1% easiest training examples. The curriculum length $T$ is effectively the only hyperparameter that we need to set for our curriculum methods. In each experiment, we set $T$ in the following manner: we train the baseline model without using any curriculum and we compute the number of training steps it takes to reach approximately 90% of its final BLEU score. We then set $T$ to this value. This results in $T$ being set to 5,000 for the RNN experiments on the IWSLT datasets, and 20,000 for the corresponding Transformer experiments. For WMT, we set $T$ to 20,000 and 50,000 for RNNs and Transformers, respectively. Furthermore, we use the following notation and abbreviations when presenting our results:

- **Plain**: Trained without using any curriculum.
- **SL**: Curriculum with sentence length difficulty.
- **SR**: Curriculum with sentence rarity difficulty.
- **Linear**: Curriculum with the linear competence shown in Equation 5.
- **Sqrt**: Curriculum with the square root competence shown in Equation 7.

Data Preprocessing. Our experiments are performed using the machine translation library released by Platanios et al. (2018). We use the same data preprocessing approach the authors used in their experiments. While training, we consider sentences up to length 200. Similar to them, for the IWSLT-15 experiments we use a per-language vocabulary which contains the 20,000 most frequently occurring words, while ignoring words that appear less than 5 times in the whole corpus. For the IWSLT-16 and WMT-16 experiments we use a byte-pair encoding (BPE) vocabulary (Sennrich et al., 2016) trained using 32,000 merge operations, similar to the original Transformer paper by Vaswani et al. (2017).

Results. We present a summary of our results in Table 2 and we also show complete learning curves for all methods in Figure 5. The evaluation metrics we use are the test set BLEU score and the time it takes for the models using curriculum learning to obtain the BLEU score that the baseline models attain at convergence. We observe that Transformers consistently benefit from our curriculum learning approach, achieving gains of up to 2 BLEU, and reductions in training time of up to 70%. RNNs also benefit, but to a lesser extent. This is con-
Table 2: Summary of experimental results. For each method and dataset, we present the test set BLEU score of the best model based on validation set performance. We also show the relative time required to obtain the BLEU score of the best performing baseline model. For example, if an RNN gets to 26.27 BLEU in 10,000 steps and the SL curriculum gets to the same BLEU in 3,000 steps, then the plain model gets a score of 1.0 and the SL curriculum receives a score of 3,000/10,000 = 0.3. “Plain” stands for the model trained without a curriculum and, for Transformers, “Plain*” stands for the model trained using the learning rate schedule shown in Equation 9.

**Learning Rate Schedule.** In all of our IWSLT experiments so far, we use the default AMSGrad learning rate of 0.001 and intentionally avoid using any learning rate schedules. However, Transformers are not generally trained without a learning rate schedule, due to their instability. Such schedules typically use a warm-up phase, which means that the learning rate starts at a very low value and keeps increasing until the end of the warm-up period, after which a decay rate is typically used. In order to show that our curriculum learning approach can act as a principled alternative to such highly tuned learning rate schedules, we now present the results we obtain when training our Transformers using the following learning rate schedule:

\[
\text{lr}(t) \triangleq d_{\text{embedding}}^{-0.5} \min \left( t^{-0.5}, t \cdot T_{\text{warmup}}^{-1.5} \right),
\]

where \( t \) is the current training step, \( d_{\text{embedding}} \) is the word embeddings size, and \( T_{\text{warmup}} \) is the number of warmup steps and is set to 10,000 in these experiments. This schedule was proposed in the original Transformer paper (Vaswani et al., 2017), and was tuned for the WMT dataset.

The results obtained when using this learning rate schedule are also shown in Table 2, under the name “Plain*”. In both cases, our curriculum learning approach obtains a better model in about 70% less training time. This is very important, especially when applying Transformers in new datasets, because such learning rate heuristics often require careful tuning. This tuning can be both very expensive and time consuming, often resulting in very complex mathematical expressions, with no clear motivation or intuitive explanation (Chen et al., 2018). Our curriculum learning approach achieves better results, in significantly less time, while only requiring one parameter (the length of the curriculum).
Note that even without using any learning rate schedule, our curriculum methods were able to achieve performance comparable to the “Plain*” in about twice as many training steps. “Plain” was not able to achieve a BLEU score above 2.00 even after five times as many training steps, at which point we stopped these experiments.

**Implementation and Reproducibility.** We are releasing an implementation of our proposed method and experiments built on top of the machine translation library released by Platanios et al. (2018), using TensorFlow Scala (Platanios, 2018), and is available at [https://github.com/eaplatanios/symphony-mt](https://github.com/eaplatanios/symphony-mt). Furthermore, all experiments can be run on a machine with a single Nvidia V100 GPU, and 24 GBs of system memory. Our most expensive experiments — the ones using Transformers on the WMT-16 dataset — take about 2 days to complete, which would cost about $125 on a cloud computing service such as Google Cloud or Amazon Web Services, thus making our results reproducible, even by independent researchers.

4 Related work

The idea of teaching algorithms in a similar manner as humans, from easy concepts to more difficult ones, has existed for a long time (Elman, 1993; Krueger and Dayan, 2009). Machine learning models are typically trained using stochastic gradient descent methods, by uniformly sampling mini-batches from the pool of training examples, and using them to compute updates for the model parameters. Deep neural networks, such as RNNs and Transformers, have highly non-convex loss functions. This makes them prone to getting stuck in saddle points or bad local minima during training, often resulting in long training times and bad generalization performance. Bengio et al. (2009) propose a curriculum learning approach that aims to address these issues by changing the mini-batch sampling strategy. They propose starting with a distribution that puts more weight on easy samples, and gradually increase the probability of more difficult samples as training progresses, eventually converging to a uniform distribution. They demonstrate empirically that such curriculum approaches indeed help decrease training times and sometimes even improve generalization.

Perhaps the earliest attempt to apply curriculum learning in MT was made by Zou et al. (2013). The authors employed a curriculum learning method to learn Chinese-English bilingual word embeddings, which were subsequently used in the context of phrase-based machine translation. They split the word vocabulary in 5 separate groups based on word frequency, and learned separate word embeddings for each of these groups in parallel. Then, they merged the 5 different learned embeddings and continued training using the full vocabulary. While this approach makes use of some of the ideas behind curriculum learning, it does not directly follow the original definition introduced by Bengio et al. (2009). Moreover, their model required 19 days to train. There have also been a couple of attempts to apply curriculum learning in NMT that were discussed in section 1.

There also exists some relevant work in areas other than curriculum learning. Zhang et al. (2016) propose training neural networks for NMT by focusing on hard examples, rather than easy ones. They report improvements in BLEU score, while only using the hardest 80% training examples in their corpus. This approach is more similar to boosting by Schapire (1999), rather than curriculum learning, and it does not help speed up the training process; it rather focuses on improving the performance of the trained model. The fact that hard examples are used instead of easy ones is interesting because it is somewhat contradictory to that of curriculum learning. Also, in contrast to curriculum learning, no ordering of the training examples is considered.

Perhaps another related area is that of active learning, where the goal is to develop methods that request for specific training examples. Haffari et al. (2009), Bloodgood and Callison-Burch (2010), and Ambati (2012) all propose methods to solicit training examples for MT systems, based on the occurrence frequency of n-grams in the training corpus. The main idea is that if an n-gram is very rare in the training corpus, then it is difficult to learn to translate sentences in which it appears. This is related to our sentence rarity difficulty metric and points out an interesting connection between curriculum learning and active learning.

Regarding training Transformer networks, Shazeer and Stern (2018) perform a thorough experimental evaluation of Transformers, when using different optimization configurations. They show that a significantly higher level of performance can be reached by not using momentum during optimization, as long as a carefully chosen learning
rate schedule is used. Such learning rate schedules are often hard to tune because of the multiple seemingly arbitrary terms they often contain. Furthermore, Popel and Bojar (2018) show that, when using Transformers, increasing the batch size results in a better model at convergence. We believe this is indicative of very noisy gradients when starting to train Transformers and that higher batch sizes help increase the signal-to-noise ratio. We show that our proposed curriculum learning method offers a more principled and robust way to tackle this problem. Using our approach, we are able to train Transformers to state-of-the-art performance, using small batch sizes and without the need for peculiar learning rate schedules, which are typically necessary.

5 Conclusion and Future Work

We have presented a novel competence-based curriculum learning approach for training neural machine translation models. Our resulting framework is able to boost performance of existing NMT systems, while at the same time significantly reducing their training time. It differs from previous approaches in that it does not depend on multiple hyperparameters that can be hard to tune, and it does not depend on a manually designed discretized training regime. We define the notions of competence, for a learner, and difficulty, for the training examples, and propose a way to filter training data based on these two quantities. Perhaps most interestingly, we show that our method makes training Transformers faster and more reliable, but has a much smaller effect in training RNNs.

In the future, we are mainly interested in: (i) exploring more difficulty heuristics, such as measures of alignment between the source and target sentences (Kocmi and Bojar, 2017), sentence length discrepancies, or even using a pre-trained language model to score sentences, which would act as a more robust replacement of our sentence rarity heuristic, and (ii) exploring more sophisticated competence metrics that may depend on the loss function, the loss gradient, or on the learner’s performance on held-out data. Furthermore, it would be interesting to explore applications of curriculum learning to multilingual machine translation (e.g., it may be easier to start with high-resource languages and move to low-resource ones later on). We would also like to explore the usefulness of our framework in more general machine learning tasks, outside of NMT.

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