Functional interactions between the transcription and mRNA 3’ end processing machineries mediated by Ssu72 and Sub1

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Transcription and processing of pre-mRNA are coupled events. By using a combination of biochemical, molecular, and genetic methods, we have found that the phylogenetically conserved transcription factor Ssu72 is a component of the cleavage/polyadenylation factor (CPF) of Saccharomyces cerevisiae. Our results demonstrate that Ssu72 is required for 3’ end cleavage of pre-mRNA but is dispensable for poly(A) addition and RNAP II termination. The in vitro cleavage defect caused by depletion of Ssu72 from cells can be rescued by addition of recombinant Ssu72. Ssu72 interacts physically and genetically with the Pta1 subunit of CPF. Overexpression of PTA1 causes synthetic lethality in an ssu72-3 mutant. Moreover, Sub1, which has been implicated in transcription initiation and termination, also interacts with Pta1, and overexpression of SUB1 suppresses the growth and processing defect of a pta1 mutation. Physical interactions of Ssu72 and Sub1 with Pta1 are mutually exclusive. Based on the interactions of Ssu72 and Sub1 with both the Pta1 of CPF and the TFIIB component of the initiation complex, we present a model describing how these novel connections between the transcription and 3’ end processing machineries might facilitate transitions in the RNAP II transcription cycle.

[Keywords: RNAP II; transcription initiation; transcription termination; 3’ end processing; cleavage/polyadenylation]

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Eukaryotic pre-mRNAs undergo several processing events before maturation, including addition of a cap structure to the 5’ terminus of nascent transcripts, removal of introns by splicing, and formation of the poly(A) tail at the 3’ end. This maturation occurs co-transcriptionally in vivo and is facilitated by the recruitment of mRNA processing factors to the phosphorylated C-terminal domain (CTD) of the RNA polymerase II (RNAP II) largest subunit (for reviews, see Bentley 2002; Howe 2002; Maniatis and Reed 2002; Orphanides and Reinberg 2002; Proudfoot et al. 2002). A major focus of current research is understanding the mechanism and functional consequences of coupling transcription with these mRNA processing events.

The achievement of this goal has been helped by our increasing knowledge of the components of the core cleavage/polyadenylation machinery. Several complexes act in concert to recognize and process the pre-mRNA 3’ ends (for reviews, see Zhao et al. 1999a; Edmonds 2002; Shatkin and Manley 2000). In Saccharomyces cerevisiae, accurate cleavage of pre-mRNA requires cleavage factor I (CFI) and cleavage/polyadenylation factor (CPF), whereas poly(A) addition requires CFI, CPF, and the poly(A) binding protein (Pab1). CFI is composed of the Rna14, Rna15, Pcf11, Clp1, and Hrp1 polypeptides. CPF includes seven subunits that are known to function in cleavage and polyadenylation (Cht1/Yhh1, Cft2/Ydh2, Br5/Ysh1, Pta1, Pfs2, Yth1, and Mpe1) and two (Pap1 and Fip1) that are specific for the poly(A) addition step (Wahle and Ruegsegger 1999; Zhao et al. 1999b; Vo et al. 2001). Counterparts of the CFI and CPF complexes have been identified in higher eukaryotes, underscoring the conserved nature of this essential process (Edmonds 2002; Shatkin and Manley 2000, Zhao et al. 1999a).

Recently, we and others have used affinity purification of CPF to show that several additional proteins that have not previously been implicated in mRNA 3’ end formation are nonetheless stably associated with this complex (Roguev et al. 2001; Dichtl et al. 2002a; Gavin et al. 2002, 2003).
Walsh et al. 2002, this study). One of these subunits is Ssu72, a protein that is essential for cell viability in \textit{S. cerevisiae} and is highly conserved among eukaryotic organisms. No homologs of Ssu72 have been identified in prokaryotes or Archea, suggesting that the function of Ssu72 is specific to eukaryotes.

The yeast \textit{SSU72} gene was initially identified based on a genetic interaction with \textit{SUA7}, the gene encoding the general transcription factor TFIIIB [Sun and Hampsey 1996]. Yeast strains that are mutant for both \textit{sua7} and \textit{ssu72} exhibit a synthetic growth defect and a dramatic enhancement of the downstream start site shift associated with defective TFIIIB [Sun and Hampsey 1996]. The link between Ssu72 and transcription is further supported by the identification of \textit{tbp1} and \textit{rpb2} alleles, encoding altered forms of the two largest subunits of RNAP II, as suppressors of the \textit{ssu72-2} mutation [Pappas and Hampsey 2000; M. Reyes and M. Hampsey, unpubl.]. The \textit{ssu72-2} allele adversely affects noninduced RNAP II transcription with little or no effect on RNAP I or RNAP III transcription [Pappas and Hampsey 2000]. Ssu72 directly binds RNAP II, and this interaction occurs, at least in part, through the Rpb2 subunit [Pappas and Hampsey 2000; Dichtl et al. 2002a].

The yeast Sub1 protein was also identified based on a genetic interaction with TFIIIB. Sub1 exhibits sequence similarity to the human transcriptional coactivator PC4 [Ge et al. 1994; Henry et al. 1996], and Sub1 affects both basal and activated transcription in vitro [Henry et al. 1996]. The \textit{SUB1} gene was initially isolated as a high copy suppressor of an \textit{sua7} mutation that affects start site selection [Knaus et al. 1996]. Furthermore, \textit{sub1Δ} and \textit{ssu72} mutations exhibit the same pattern of allele-specific interactions with \textit{sua7} [Wu et al. 1999]. Consistent with these genetic interactions, Sub1 and Ssu72 directly bind TFIIIB [Knaus et al. 1996; Wu et al. 1999]. These results imply a functional relationship between Sub1 and Ssu72, and suggest that both proteins are involved in transcription initiation mediated by TFIIIB.

Interestingly, the CFI subunit, Rna15, and its mammalian homolog, CstF p64, also interact with the Sub1 and PC4 proteins, respectively, in a manner that is thought to inhibit the termination activity of CFI [Calvo and Manley 2001]. In light of this Sub1-CFI connection and the relationship between Sub1 and Ssu72, the association of Ssu72 with the CFI complex is especially intriguing. Do Sub1 and Ssu72, in addition to their roles in transcription, also affect mRNA 3′ end processing? To explore this possibility, we used a combination of biochemical and genetic assays to investigate the interactions of Ssu72 and Sub1 with the CFI complex and to determine their effects on mRNA 3′ end processing. We demonstrate that Ssu72 physically and genetically interacts with the Pta1 subunit of CFI and is directly involved in cleavage of pre-mRNA but not in poly[A] addition. It is also an unusual cleavage factor in that it is not required for transcription termination. Surprisingly, we also found that Pta1 physically and genetically interacts with Sub1 and that Pta1 cannot simultaneously bind to Ssu72 and Sub1, suggesting that sequential pairing with different partners may regulate the activity of these three proteins at different steps in mRNA synthesis. These results define two novel and physiologically significant points of contact between the transcription and mRNA 3′ end processing machineries.

### Results

#### Ssu72 is associated with CFI

To isolate proteins that interact with the Pta1 subunit of CFI, we used the tandem affinity purification (TAP) method [Puig et al. 2001], which takes advantage of an epitope containing both protein A and a calmodulin-binding peptide. By using extract prepared from a yeast strain in which a TAP-tagged version of Pta1 was the sole source of Pta1, we found that Pta1 was associated with known CFI subunits such as Cft1, Cft2, Brs5, Pta1, Pap1, Pfs2, Pip1, and Yth1 and several novel proteins that included Ref2, Mpe1, Ptl1, Swd2, Glc7, Ssu72, and YOR179C [Fig. 1A]. The CFI proteins and Pab1 were not detected in the purified CFI [data not shown]. This profile of CFI-associated proteins is in accord with that found by several other groups using TAP-tagged CFI subunits [Roguev et al. 2001; Dichtl et al. 2002a; Gavin et al. 2002; Walsh et al. 2002]. The fact that Ssu72, a transcription initiation factor that interacts with TFIIIB, copurified with the CFI complex is particularly interesting and suggests a potential connection between the cleavage/polyadenylation and transcriptional machineries.

To further confirm the specific association of Ssu72 with CFI, we constructed a yeast strain [named here as \textit{SSU72-td}] that expresses the fusion protein Ubiquitin-Arg-DHFR-HA-Ssu72, and performed communoprecipitation assays with extract prepared from this strain. Known components of CFI are brought down with antibody against the HA epitope on the Ssu72 fusion but not with antibody against Pip1, an extraneous protein [Fig. 1B, lanes 1,3]. The anti-HA antibody failed to immunoprecipitate the Rna15 subunit of CFI. Taken together, these results support the idea that Ssu72 is an integral subunit of CFI.

#### Ssu72 interacts with the Pta1 subunit of CFI both in vitro and in vivo

We next used GST pull-down assays to determine which CFI components interacted directly with Ssu72. A strong interaction was detected between Ssu72 and Pta1 [Fig. 2A]. Ssu72 interacted weakly but specifically with Pcf11, Cft2, Brs5, and Pfs2, whereas interactions with Rna14, Rna15, Pip1, Yth1, Pap1, Cpl1, or Hrp1 were undetectable [Fig. 2A; data not shown]. These interactions do not depend on the presence of RNA, as similar results were obtained when samples were treated with RNase A [data not shown]. The direct interaction of Ssu72 and Pta1 was further confirmed by communoprecipitation assays using anti-Pta1 antibody and by GST pull-down
Specific to the ssu72-3 (Fig. 2B). Moreover, Pta1-mediated growth inhibition is exhibited no growth impairment on either carbon source because strains transformed with the pYES vector had no effect on isogenic strains expressing either PTA1 allele, because ssu72-3 mutant, which is not viable when PTA1 is overexpressed. The RNA14 gene produces three transcripts that vary in length at their 3’ ends through the use of different cleavage sites [Fig. 2C; Minvielle-Sebag et al. 1991]. Wild-type yeast enhance processing of the upstream poly[A] site under stressful conditions such as growth on a poor carbon source or at high temperature [Mandart 1998; Sparks and Dieckmann 1998], and mutations in the CFI subunits Rna14 and Rna15 prevent this shift [Mandart 1998]. Northern blot analysis revealed that the ssu72-3 mutant made less of the 1.1-kb RNA14 transcript at 25°C compared with wild-type cells, and did not increase usage of this site after shift to growth at 37°C [Fig. 2D].

SSu72 is required for 3’ end processing in vitro

The analysis described above suggests that Ssu72 is important for accurate regulation of RNA14 3’ end processing in vivo. However, we do not know whether increased use of the RNA14 upstream processing site in wild-type cells is due to preferred selection of this site from multiple poly[A] sites on a single transcript or to increased termination immediately downstream of the first site. An additional caveat to interpreting this data is that the steady-state levels of these mRNAs could be subject to differential changes in stability. To more directly test whether Ssu72 was involved in cleavage and polyadenylation and/or transcription termination, we used in vitro processing and transcription run-on assays.

Whole cell extracts from isogenic wild-type and ssu72 temperature-sensitive mutant strains grown at 25°C...
were tested for in vitro 3’/H11032 end processing activity at 30°C by using a synthetic radiolabeled GAL7 precursor RNA. As seen for wild-type extract (Fig. 3A, lanes 2,8), this substrate is efficiently cleaved, and all cleaved RNA is polyadenylated. The ssu72 extracts show activity comparable to the isogenic controls (Fig. 3A, cf. lanes 2 and 5, lanes 8 and 11 and 14). However, the activities of the ssu72-2, ssu72-3, and ssu72-7 mutants were dramatically reduced compared with wild type when extracts were preincubated at 37°C (Fig. 3A). There was no accumulation of cleaved unadenylated RNA.

To discriminate between effects of ssu72 mutations on cleavage or poly[A] addition, poly(A) addition assays were carried out by using an RNA substrate containing only sequences upstream of the GAL7 poly[A] site. Heat treatment of the ssu72-2 and ssu72-3 mutant extracts had no effect on poly[A] addition when compared with wild-type extracts, although poly[A] addition appeared to be mildly impaired after prolonged heat treatment of the ssu72-7 extract (Fig. 3B). Taken together, our results indicate that Ssu72 is required for execution of cleavage but is dispensable for poly[A] addition. This unusual property defines Ssu72 as the first yeast cleavage factor that does not also function in poly[A] addition.

We next tested whether the processing defect can be complemented with extracts prepared from strains containing mutations in CFIA and CPF subunits. As described previously (Minvielle-Sebastia et al. 1994; Zhao et al. 1999b), extracts from rna14-1, rna15-2, or pta1-2 strains were defective for processing in vitro (Fig. 3C, lanes 4–6), but were able to rescue the defective activity of the heat-treated ssu72-3 extract (Fig. 3C, lanes 7–9). Similar results were also obtained with the ssu72-2 and ssu72-7 extracts (data not shown).

Temperature-sensitive mutants often exhibit reduced levels of not only the mutant protein but also other wild-type proteins that interact with the mutant protein. For example, levels of the Pta1, Cft1, and Brr5 subunits of CPF are reduced in pta1 mutant extracts (Zhao et al. 1999b). Western blot analysis showed that the amount of Pta1 and other CPF or CFI subunits were not affected in ssu72 mutant extracts in comparison with wild-type, and were not changed on heat treatment of extract (Fig. 3D). We were not able to assay Ssu72 in mutant extracts.
because Ssu72 antibody is not available. However, Dichtl et al. [2002a] have shown that the ssu72-2 mutant protein is stable at 37°C, indicating that heat treatment of our extracts is probably affecting Ssu72 function rather than stability. The Western blot analysis, the retention of efficient poly(A) addition, and the rescue of ssu72 mutant extracts suggest that Ssu72 is the only component of the 3’ end processing machinery that is perturbed by the heat treatment, and support the conclusion that Ssu72 is directly involved in 3’ end processing.

Levels of Pta1 and Ssu72 are tightly coordinated in vivo

To further investigate the function of Ssu72, we depleted Ssu72 in vivo by using the degron strategy [Dohmen et al. 1994], which is based on integrating a heat-inducible degradation signal [Ubiquitin-Arg-DHFR] in frame with the N terminus of the gene of interest. This fusion also introduces a HA epitope for detection of the fusion protein. Cells [SSU72-td] expressing this Ssu72 fusion protein grow at 25°C with no growth defect but arrest after shift to 37°C [data not shown], consistent with SSU72 being an essential gene [Sun and Hampsey 1996]. The Ssu72 protein is rapidly degraded after shift to 37°C and is not detectable after 30 min (Fig. 4A, lane 4). Surprisingly, the level of Pta1, but not that of other CPF and CFI subunits, decreased on Ssu72 depletion (Fig. 4A). These results are consistent with the strong physical and genetic interactions between Ssu72 and Pta1 (Fig. 2) and indicate that the amounts of Ssu72 and Pta1 are tightly coordinated in vivo.

Extracts were prepared from the SSU72-td strain at different time points after shift to 37°C and assayed for in vitro processing activity. Extracts from cells grown at 25°C efficiently cleaved and polyadenylated the precur-
sor RNA (Fig. 4B, lane 2). However, extracts from cells after the shift to 37°C were defective in processing [Fig. 4B, lanes 3–5]. In contrast, the effects of Ssu72 depletion on poly[A] addition were much less pronounced [Fig. 4B, lanes 7–10], and are most likely due to loss of Pta1, which is important for both steps (Zhao et al. 1999b). The differential effect of Ssu72 depletion on cleavage and poly[A] addition is evident as early as 15 min after the shift to 37°C (Fig. 4B, lane 3). At this time point, the loss of Ssu72 protein coincides with a clear loss of cleavage activity, with no effect on poly[A] addition.

Addition of recombinant Ssu72-His6 or defective rna15-1 extract can rescue an extract prepared after a 15-min depletion to a level comparable to that of undepleted extract [Fig. 4C]. Rescue by recombinant protein after longer depletion times was not as effective, probably because of the concomitant loss of Pta1. Exogenously added Ssu72-His6 has no effect on the rna15-1 extract (data not shown), indicating that the rescue is specific for the Ssu72-depleted extract. These results confirm that Ssu72 is directly involved in cleavage but not poly[A] addition.

The ssu72 mutants do not affect transcription termination

The findings that the CFIA subunits Rna14, Rna15, and Pcf11 and the CPF subunit Cft1 are required for proper transcription termination downstream of poly[A] sites [Birse et al. 1998; Dichtl et al. 2002b] prompted us to investigate whether Ssu72 affects termination. For this purpose, we performed transcription run-on analysis [TRO] using the CYC1 gene [Birse et al. 1998]. This assay involves incubating permeabilized yeast cells in a transcription buffer that inhibits initiation but allows elongation over a short distance. Radioactive RNA synthesized in these cells is hybridized to immobilized single-strand DNA probes complementary to different regions of the CYC1 reporter gene [Fig. 5A]. In wild-type cells, RNAP II transcription rapidly declines beyond the polyadenylation site, indicating termination of transcription [Fig. 5A]. A similar hybridization pattern is seen for the ssu72-2 mutant grown at 25°C and 37°C. To ensure that we could detect transcription beyond the poly[A] site if it was occurring, we examined transcription from the rna15-1 mutant, which is known to give read-through transcription at 37°C [Birse et al. 1998]. In this case, a strong signal was seen with the downstream probes.

Quantitative analysis of the data for wild type and ssu72-2 cells is presented in Figure 5B. Similar results were obtained by using the ssu72-3 and ssu72-7 mutants (data not shown). While this work was in progress, a similar result for ssu72-2 was reported by Dichtl et al. (2002a) for cells grown continuously in galactose-containing medium. We did not examine termination efficiency using the conditions in which they observed stalling of RNAP II upstream of the CYC1 processing site on Ssu72 inactivation (growth in 2% raffinose, 0.08% glucose, followed by a shift to 2% galactose).

Because the ssu72-2, ssu72-3, and ssu72-7 alleles may not affect the domain of Ssu72 involved in termination, we tested the effect of Ssu72 depletion. In the SSU72-td strain at 25°C, the levels of transcription through regions C4–C6 of the reporter gene are higher than those in strains used for the experiment with ssu72-2. However, there is no increase at all in read-through transcription on shifting the SSU72-td strain to 37°C for 45 min [Fig. 5B], a time when Ssu72 is no longer detectable and cleav-

Figure 4. In vivo depletion of Ssu72 affects the cleavage step of pre-mRNA 3′ end processing. [A] The level of Pta1 decreases on Ssu72 depletion in vivo. Western blot analysis was performed with extracts from wild-type (WT, lane 1) or SSU72-td (lanes 2–5) cells grown at 25°C (lanes 1,2) or shifted to 37°C for the indicated times (lanes 3–5). Antibodies used are indicated on the right. (B) Depletion of Ssu72 in vivo affects in vitro 3′ end processing. The coupled cleavage/polyadenylation assay [lanes 2–5] was carried out as described in Figure 3A with the extracts [70 µg] from the SSU72-td strain described in A. Poly(A) addition assays [lanes 7–10] were performed as described in Figure 3B. Unretracted precursor [Pre] is shown in lanes 1 and 6. (C) Recombinant Ssu72-His6 can rescue the Ssu72-depleted extract. Extract [35 µg] from each Ssu72-depletion [lanes 3–5] was supplemented with 200 ng of Ssu72-His6 [lanes 6–8] or rna15-1 extract [lanes 10–12]. (Lane 1) Unretracted precursor. (Lane 9) Activity of the rna15-1 extract.
age activity is almost completely lost (Fig. 4). Taken together, these results indicate that Ssu72 does not have a role in transcription termination.

Sub1 physically and genetically interacts with Pta1

The functional relationship between Ssu72 and Sub1 (see Introduction) prompted us to investigate whether Sub1 might also interact with Pta1. By GST pull-down assay, a GST-Pta1 fusion interacted as strongly with in vitro translated Sub1 as does GST-TFIIB (Fig. 6A, lanes 3, 5). The same assay showed no interaction between GST-Ssu72 and Sub1 (Fig. 6A, lane 4). A complex of His6-tagged Pta1 and Sub1 could also be detected by immunoprecipitation with Pta1-specific antibody, although in this case, a trace amount of Sub1 bound nonspecifically in the absence of Pta1 (Fig. 6A, lanes 6, 7). These interactions were resistant to RNase A treatment (data not shown). We cannot rule out that the interaction of Pta1 and Sub1 is mediated by a protein endogenous to the reticulocyte lysate used for in vitro translation, but the genetic interactions described below make this possibility unlikely.

We next tested whether SUB1, which is dispensable for cell viability, is rendered essential in combination with pta1-2. The pta1-2 mutant was transformed with the URA3 plasmid pYCp-PTA1, and SUB1 was then deleted from its chromosomal locus. As expected, the wild-type and sub1Δ strains exhibited normal growth at 25°C (Fig. 6C, top and bottom sectors). The strains were then placed on medium containing 5-fluoroorotic acid, which counter-selects pYCp-PTA1. Whereas the pta1-2 SUB1 strain remains viable, the pta1-2 sub1Δ failed to grow (Fig. 6C, right panel). This result defines a synthetic lethal relationship between pta1-2 and sub1Δ, a result that is consistent with suppression of pta1-2 by SUB1 overexpression and provides further evidence of a functional interaction between Sub1 and Pta1 in vivo.

Sub1 compensates for the defective activity of pta1-2 in vitro

We next asked whether suppression of the pta1-2 growth defect by high-copy SUB1 expression reflects a role for Sub1 in 3' end processing. Extracts from wild type, pta1-2 mutant, and SUB1 overexpressing strains were assayed for processing in vitro. Consistent with suppression of the pta1-2 growth defect by SUB1 overexpression, processing activity is restored in the pta1-2 mutant by high copy SUB1 expression but not by vector alone (Fig. 6D). It is possible that restoration of pta1-2 activity is due to an indirect effect of SUB1 overexpression, which is known to stimulate transcriptional activation in vivo [Knaus et al. 1996]. To address this issue, we performed Western blot analysis by using the same processing extracts. We observed no increase in the amount of Pta1 or Sub1 in the samples.
of Pta1 in the pta1-2 strain overexpressing SUB1 (data not shown), indicating that Sub1 levels do not affect PTA1 expression. Taken together, our results suggest that extra Sub1 compensates for the defective 3’ end processing activity of pta1-2.

Sub1 cannot bind to Pta1 in the presence of Ssu72

By GST pull-down assays, we have shown that Pta1 interacts with Ssu72 and Sub1, and that Ssu72 does not interact with Sub1. Furthermore, SSU72 and SUB1 genetically interact with pta1 in an allele-specific manner. These results prompted us to ask whether the Ssu72 and Sub1 interaction with Pta1 is mutually exclusive. In vitro translated, His6-tagged [35S]-Pta1 and [35S]-Sub1 were mixed and immunoprecipitated with Pta1 antibody, resulting in recovery of both Pta1 and Sub1, [Fig. 7, lane 8]. However, only Pta1 could be detected when GST-Ssu72 instead of anti-Pta1 antibody was used to isolate Pta1 from the same mixture of Pta1 and Sub1 [Fig. 7, lane 7]. These results indicate that Pta1 does not simultaneously bind to Ssu72 and Sub1.

Discussion

In this paper, we define an unexpected link between the transcription initiation and pre-mRNA 3’ processing machineries. We have found that Ssu72 is an integral subunit of the CPF complex, a conclusion supported by two other recent studies (Dichtl et al. 2002a; Gavin et al. 2002). Moreover, we demonstrate that Ssu72 is an essential pre-mRNA cleavage factor but is not involved in either poly[A] addition or transcription termination. Ssu72 interacts physically and genetically with the Pta1
the synthesis of both the 5' and 3' ends of mRNAs. This new activity of Ssu72 provides an alternative explanation for the strong decrease in total poly(A)− RNA levels observed with the ssu72-2 mutant [Pappas and Hampsey 2000], as this effect is commonly seen with mutations in 3' end processing factors [Minvielle-Sebastia et al. 1991] and is thought to be mediated by an exosome-dependent mechanism [Torchet et al. 2002]. E. Nedea and J. Greenblatt [pers. comm.] have recently found that Ssu72 also has a role in the formation of snoRNA 3' ends.

Cleavage and polyadenylation in vitro readily occurs on exogenous substrate in the absence of transcription. However, recent work has elegantly demonstrated that 3' end processing in vivo happens most efficiently if it takes place cotranscriptionally [for reviews, see Bentley 2002, Howe 2002, Maniatis and Reed 2002, Proudfoot et al. 2002]. RNAP II increases the efficiency of mRNA 3' end processing through interactions between the RNAP II CTD and processing factors. In addition, a reciprocal interaction exists, with transcription termination down-stream of poly(A) sites, as measured by transcription run-on assays, occurring most efficiently in the presence of authentic processing signals and a functional cleavage apparatus. Mutation of factors needed only for poly(A) addition have no effect on termination [Birse et al. 1998]. In the present study, we show that the ssu72 mutations, unlike other cleavage-defective mutants, do not impair transcription termination. This result may be related to the recent findings using in vitro assays [Tran et al. 2001] and EM visualization of RNAP II transcription [Osheim et al. 1999, 2002] to show that cleavage is not a prerequisite for termination in higher eukaryotic cells. Our results support a model in which termination in eukaryotes is dependent on an earlier event, such as recognition of polyadenylation signals by the processing machinery. Ssu72 must function at a later stage in the establishment of a cleavage-competent complex.

A recent report by Dichtl et al. [2002a] concluded that Ssu72 affects the transition between transcription elongation and termination. These investigators observed enhanced RNAP II pausing upstream of the poly(A) site in transcription run-on assays and, similar to our results, did not find a general termination defect in ssu72-2 cells. A slight increase in extended CUP1 transcripts in vivo suggested a defect in termination but was not very pronounced in comparison to that seen with cleavage-defective rna14, rna15, and pcf11 mutants [Hammell et al. 2002]. Moreover, the normal mechanism for removal of unprocessed transcripts may be perturbed in the ssu72-2 mutant. Dichtl et al. [2002a] saw no effect of the ssu72-2 mutation on processing in vitro, but Ssu72 in the extract was not inactivated by heat treatment, which in our study revealed the cleavage defect. In addition, our results demonstrate a dramatic loss of 3' end cleavage on depletion of Ssu72 and a rescue of processing by recombinant Ssu72 [Fig. 4C]. We conclude that Ssu72 functions primarily in 3' end cleavage.

Similar to Ssu72, the Sub1 protein was initially identified based on its effects on transcription initiation [Henry et al. 1996; Knau et al. 1996]. Recently, Sub1 was shown to function as an antiterminator through its interaction with Rna15 [Calvo and Manley 2001]. In the present study, we demonstrate functional interactions between Pta1 and Sub1, for example, overexpression of SUB1 strongly suppressed the pta1-2 thermosensitive phenotype, and deletion of this nonessential gene is lethal in the pta1-2 mutant. The consequence of the PTA1/SUB1 interaction is the inverse of the phenotypes previously reported for the SUB1/RNA15 interaction [Calvo and Manley 2001]. In this case, overexpression of SUB1 causes synthetic lethality in the rna15-1 mutant, whereas deletion of SUB1 partially suppressed the growth and termination defects of rna15-1. Overexpression of SUB1 rescues the processing defects of a pta1-2 extract [Fig. 6D]. This finding suggests that even though
Sub1 has not copurified with any of the yeast processing factors, it may indeed have a role in the cleavage step. These results also indicate that the interaction between Pta1 and Sub1 may function in a different step from that of Ssu72 with Sub1.

Interestingly, we show mutually exclusive binding of Sub1 and Ssu72 to Pta1 [Fig. 7], similar to that described previously for Sub1 and TBP to TFIIB [Knaus et al. 1996]. Furthermore, although mutually exclusive binding of Ssu72 and Sub1 to TFIIB has not been investigated, the observation that both proteins directly interact with TFIIB and that ssu72-3 and sub1 show the same allele-specific interactions with suat7 [Wu et al. 1999] suggests that Ssu72 and Sub1 may function in a mutually exclusive fashion to both Pta1 and TFIIB. It also suggests the possibility that displacement of one protein from its Pta1 or TFIIB partner by the other protein might be an important transition point between sequential events in mRNA synthesis [Fig. 8].

Our results support the conclusion that Ssu72 and Pta1 probably work together in the CPF complex to facilitate cleavage, with Ssu72 being recruited to the 3' end processing machinery through its interaction with Pta1. Surprisingly, the level of Pta1 was dramatically decreased on Ssu72 depletion [Fig. 4A], whereas other processing factors remained stable. Thus, it is important for the cell to keep the levels of Pta1 and Ssu72 in balance, a premise that might underlie the toxicity associated with overexpression of Pta1 in the ssu72-3 mutant [Fig. 2].

Phosphorylation of the RNAP II CTD by the TFIIH-associated Kin28 kinase mediates the transition from initiation to elongation [Komarnitsky et al. 2000]. Pta1 interacts genetically with Kin28, and Pta1 binds specifically to the phosphorylated form of the CTD [Rodriguez et al. 2000]. Like depletion of Ssu72, the phosphorylation-defective kin28-T17D and kin28-16 mutations cause Pta1 reduction without affecting other subunits of CFI and CPF. A defect in binding of CPF to unphosphorylated CTD may trigger a very specific removal of Pta1. Alternatively, Pta1 might be involved in regulating the activities of both Ssu72 and Kin28.

Pta1 also interacts genetically with Spt3, a protein associated with the TFIID and SAGA complexes [Madison and Winston 1997]. The interaction of Pta1 with four transcription factors [Ssu72, Sub1, Kin28, and Spt3] that act at or near the promoter suggests that Pta1 may be important in recruiting CPF to the initiation complex at an early stage that is then followed by interaction of the CFI/Yhl1 subunit with the phosphorylated CTD [Dichtl et al. 2002b]. This would be consistent with the localization of CPF subunits near the promoter by chromatin-immunoprecipitation [Licatalosi et al. 2002], and reminiscent of the interaction of the mammalian counterpart of CPF [CPSF] with TFIID [Dantonel et al. 1997].

A model depicting how the multiple roles of Ssu72, Pta1, and Sub1 can be integrated into the transcription cycle is shown in Figure 8. This model raises the interesting possibility that the presence of 3′ end processing factors at the 5′ end of the gene might affect the effi-

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**Figure 8.** A model illustrating how Ssu72 and Sub1 might function at different points in the transcription cycle through interactions with TFIIH, RNAP II, cleavage/polyadenylation factor (CPF), and CFI. Based on the available data, the following scenario is possible, although several aspects need to be tested experimentally. [A] In the initiation stage, Ssu72 helps to correctly position RNAP II at the promoter through direct interactions with TFIIH and RNAP II [Sun and Hampsey 1996; Pappas and Hampsey 2000; Dichtl et al. 2002a]. Ssu72 also recruits CPF to the promoter through its Pta1 partner and/or the weaker interactions with other CPF subunits. [B] Sub1 facilitates promoter clearance by its interaction with TFIIH, possibly by disrupting the Ssu72-TFIIH interaction as well as that of TFIIH and TFIID [Knaus et al. 1996]. Sub1 may be brought to the promoter by CPF I through an interaction with Rna15, or alternatively, CFI could displace Sub1 from TFIIH, so that reinitiation can occur. [C] Ssu72 and Sub1 act as positive elongation factors, as suggested previously for Ssu72 [Dichtl et al. 2002a] and Sub1 [Calvo and Manley 2001]. [D] Recognition of processing signals by CPF and CFI triggers transcription termination, in part by releasing Sub1 from CFI I and perhaps transferring it to CPF, where it stimulates cleavage by releasing Ssu72 from Pta1. The inset depicts the mutually exclusive interaction of Ssu72 and Sub1 with Pta1 during the transcription cycle. The C-terminal repeat domain of Rpb1 is depicted by the green extension from RNAP II that is extensively phosphorylated [P] in B–D. The blue line denotes pre-mRNA, capped at the 5′ end ([C,D]) and the 3′ processing site shown by p[A] in D.
ciency of transcription initiation or promoter clearance. Such a mechanism could serve to ensure the proper loading of processing factors onto the transcriptional complex, and thus promote the likelihood of appropriate downstream processing. This would not be an absolute prerequisite for initiation, because reconstituted initiation in vitro does not require CPFs (Hampsey 1998), analogous to the observations that mRNA 3’ end formation in yeast does not require coupling to RNAP II transcription (Dower and Roshash 2002) but is greatly stimulated by it (Licatalosi et al. 2002). A precedent for the involvement of processing factors in early steps of mRNA synthesis (for review, see Manley 2002) exists in the recent findings that the U1 snRNA involved in 5’ splice site recognition is a functional component of the general transcription factor TFIIH (Kwek et al. 2002), that splicing factors and capping factors, as well as Ssu72, can affect elongation (Fong and Zhou 2001; Tian 2001; Dichtl et al. 2002a; Furger et al. 2002), and that the capping enzyme represses RNAP II transcription reinitiation (Myers et al. 2002). We propose that the essential role of Ssu72 lies in its activity as a cleavage factor, and that its effects on transcription initiation and elongation derive from this function, as part of the molecular mechanism coupling 3’ end processing with transcription. In this regard, our data showing that Ssu72 is a bona fide cleavage factor that also affects initiation site selection provide the strongest evidence so far that 3’ end processing factors can influence initiation, a possibility that merits further investigation.

Materials and methods

Yeast strains

The S. cerevisiae strains used in this study are as follows. LR8535 ([MATa his3Δ200 leu2-3,112 ura3-52] and its isogenic derivative YZS84 [ssu72-2]) were described previously (Pappas and Hampsey 2000). YDP170 ([MATa ura3-52 leu2-3,112 his3-Δ1 trp1Δ63 ssu72-2::LEU2 [SSU72 TRP1 CEN]) YDP174 [ssu72-3 TRP1 CEN] and YDP177 [ssu72-7 TRP1 CEN]) were constructed by plasmid-shuffle following deletion of the chromosomal SSU72 gene. The ssu72-3 and ssu72-7 alleles were generated by error-prone PCR and were identified by their thermo-sensitive phenotype. Strains FY23 ([MATa ura3-52 trp1Δ63 leu2Δ1] and FY1284 ([MATa pta1-2 ura3-52 ade8 his4-917]) were described previously (Madison and Winston 1997). Strains XH6 ([MATa ura3-52 leu2Δ1 trp1Δ63 his3Δ200 pta1::TRP1 [YCpLEU2-PTA1]) and XH13 ([MATa ura3-52 leu2Δ1 trp1Δ63 his3Δ200 pta1::TRP1 [YCpLEU2-pta1-3]) are an isogenic pair that have been disrupted at the chromosomal pta1 locus [pta1::TRP1] and differ from each other only by the plasmid-borne pta1 allele, either PTA1 or pta1-3, which was generated by deletion of 285 amino acids from the C terminus of Pta1. FY23 was used as the host to construct the PTA1-TAP and SSU72-TAP strains. The rna14-1 ([MATa ura3-1 trp1-1 ade2-1 leu2-3,112 his3-11,15 mna1-14], rna15-1 ([MATa ura3-1 trp1-1 ade2-1 leu2-3,112 his3-11,15 mna1-15], and mna1-2 ([MATa ura3-1 trp1-1 ade2-1 leu2-3,112 his3-11,15 mna15-2]) strains are described elsewhere (Minvielle-Sebastia et al. 1991).

The PTA1-TAP strain was generated by inserting the TAP sequence immediately upstream of the stop codon of PTA1 as previously described (Puig et al. 2001). In brief, a TAP-TRP1 (KL) bracketed by sequences flanking the PTA1 stop codon was amplified by PCR with pBS1479 as a template by using Deep Vent DNA polymerase (Biolabs) and primers Pta1-tag-For ([5’-GAT GAAGGCTTACAACAGCAGTGCGATTTCATGTCGAGA GCTCATAAAAGAGAAGGATGGAAAAGAA-3']) and Pta1-tag-Rev ([5’-TAGCCAGATGATAGATAGATAGAAACTTGGTTT GCAAGTTGAAGGAAGACACGACTCACTATAGGGCG-3’]). Each primer has a pBS1479 specific sequence (underlined) and a 48-base PTA1-specific sequence. FY23 cells were transformed with the Pta1-TAP-TRP1 PCR product, followed by selection for the TRP1 marker. Transformants harboring PTA1-TAP-TRP1 were verified by PCR and Southern blot. The expression of Pta1-TAP was confirmed by Western blot.

The pssu72-degron plasmid was constructed by insertion of the first 200 bp of the SSU72 open reading frame (ORF) into the HindIII site of plasmid pW66R (Dohmen et al. 1994). The SSU72-Td strain was constructed by transformation of FY23 with the pssu72-degron plasmid linearized at the ClaI site (nucleotide 150 relative to start codon in the SSU72 ORF). Integration of plasmid DNA at the SSU72 locus generated an ORF encoding Ubiquitin-Arg-DHFR-6-HA-Ssu72.

Affinity purification of TAP-tagged Pta1 and its associated proteins

Yeast expressing TAP-tagged Pta1 were grown in 2 L of YPD at 30°C to an OD600 = 1.5 to 2.5. Extracts were prepared as described previously (Zhao et al. 1999b; Gross and Moore 2001), except omitting the ammonium sulfate precipitation step. Proteins were purified according to the standard TAP procedure (Puig et al. 2001), separated on an SDS–10% polyacrylamide gel, and detected by silver staining. The known CPF subunits were confirmed by Western blot analysis. Novel proteins were identified by mass spectrometry using the Core Laboratory for Protein Microsequencing and Proteomic Mass Spectrometry, University of Massachusetts Medical School.

Immunoprecipitation and Western blotting

Yeast whole-cell extracts (500 µg) or recombinant proteins were incubated with antibody bound to protein A agarose beads (GIBCO-BRL) for 2 h at 4°C in 200 µL of buffer IP-150 (10 mM Tris-HCl at pH 7.9, 150 mM NaCl, 1 mM EDTA, 10% glycerol, 1 mM DTT, 1 mM PMSF, 2 µM pepstatin-A, 0.6 µM leupeptin, 0.11% NP-40, and 150 µg/mL BSA). Samples were centrifuged, and the pellets were washed four times with 400 µL of the buffer IP-150 with 0.05% NP-40. The pellets were resuspended in 15 µL of SDS sample buffer. Aliquots of the pellets and supernatants were analyzed by SDS–10% polyacrylamide gel. Western blotting was performed according to standard procedures.

Recombinant proteins and in vitro protein–protein interaction assays

Plasmid pGST-Pta1 was generated by insertion of full-length PTA1 into the Smal site of pGEX-2TK vector. Plasmid pGST-Ssu72 was created by cloning the full-length SSU72 into the BamHI and EcoRI sites of the pGEX-2TK vector. Plasmid pGST-TFIIB was described previously (Wu et al. 1999). Plasmid pSSU72-His6 was created by cloning the SSU72 ORF into plasmid pET21b (Pharmacia) using the NdeI and NotI cloning sites. Recombinant proteins were expressed in and purified from E. coli BL21(DE3) pLyS8 as described previously (Gross and Moore 2001). Radiolabeled proteins used in this study were generated in vitro with the TNT rabbit reticulocyte lysate system (Pro-
Yeast whole-cell extracts, preparation of 32P-labeled full-length assays

37°C. were incubated on medium lacking histidine at either 30°C or HIS3 et al. 1998) and confirmed by PCR. For some of the resulting strain by kanMX6 insertion (Longtine (O'Connor and Peebles 1992).

pta1-2 The deletion and overexpression of SUB1

30°C. cose or 2% galactose as the sole carbon source and incubated at 30°C. total RNA was extracted from yeast by the hot phenol method (Chen and Moore 1992; Zhao et al. 1999b; Gross and Moore 2001).

Deletion and overexpression of SUB1

The pta1-2 mutant was transformed with plasmid YCp-PTA1 (O'Connor and Peebles 1992). SUB1 was deleted from the chromosome of the resulting strain by kanMX6 insertion (Longtine et al. 1998) and confirmed by PCR. For SUB1 overexpression, pta1 mutant strains were transformed with pRS-SUB1, which was generated by cloning SUB1 and its promoter region into the 2µ HIS3 vector pRS423, or with vector alone. Transformants were incubated on medium lacking histidine at either 30°C or 37°C.

Whole cell extract preparations and in vitro 3’ end processing assays

Yeast whole-cell extracts, preparation of 32P-labeled full-length GAL7 RNA and precleaved GAL7 RNA, and in vitro 3’ end processing assays were carried out as described previously (Chen and Moore 1992; Zhao et al. 1999b; Gross and Moore 2001).

RNA analysis

Total RNA was extracted from yeast by the hot phenol method (Sparks and Dieckmann 1998). Poly(A)+ RNA was purified from 100 µg of total RNA using the QIAGEN Oligotex kit. Poly(A)+ RNA was separated on a 1.2% formaldehyde-agarose gel and transferred to Hybond N+ membrane. Probes were radiolabeled with 32P-dATP by using a random primer kit (Biolabs). Northern hybridization was performed as described by Sparks and Dieckmann (1998). Transcription run-on analysis was performed as described in Birse et al. (1998).

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