A THZ Tunable Metamaterial Reflective Polarization Converter Based Vanadium Oxide Film

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Abstract

In this paper a simple and tunable reflective polarization converter has been investigated numerically based on metamaterial which composes of a two-corner-cut square patch resonator with a slit embedded into Vanadium dioxide film (VO2) and reflective ground layer. All the results obtained by the CST Microwave Studio show that the polarization conversion ratio (PCR) above 90% is achieved from 2.22-5.42THz at the temperature about 25°C under the linearly and circularly polarized wave incidence normally. In addition, the influences on electromagnetic polarization properties have been demonstrated with the insulator-to-metal phase transition of the Vanadium dioxide film (VO2) film by the method of varying the temperature. At the same time, to be demonstrated, the physical mechanism of changeable polarization conversion has been discussed by the distributions of current densities. According to the results, the designed metamaterial could be applied in the area of temperature-controlled sensing, THz wireless communication, tunable polarized devices.

Keywords: Polarization converter, Vanadium dioxide, Metamaterial

Introduction

Polarization is an enormous important property of the electric-magnetic wave for providing real application in information processing, imaging and sensing [1, 2]. As a fundamental phenomenon, polarization converter, that is traditionally accomplished by natural birefringent material or dichroic crystals, has recently acquired much attentions from researchers in different areas. The results can occur attributed to the advent of Metamaterials (MMs). The artificial electromagnetic materials (MMs) not only overcome shortcomings of the effect weakly and the volume bulky incurred by
traditional materials but also open a promising platform to control the polarization states of light [3, 4]. With regard to MMs converter, many interesting phenomena have been reported in THz spectrum, such as dual-band [5], tri-band [6], multi-band [7] and broadband [8, 9] polarization converter. However, there are only a few attempts to realize dynamic modulation.

Actually, dynamic response materials including GeSbTe (GST) [10], graphene [11], semiconductors [12], vanadium dioxide (VO2) [13-16], which can be excited by external factors, for instance electric bias, temperature, photo excitation, are capable of accomplishing the modulation. The MMs integrated with dynamic inclusions can accomplish tunable polarization conversion modulation. At the present, the thermal-controlled tunable MMs have been seldom investigated [17, 18]. In respect of temperature-controlled phase change MMs, VO2, as a novel phase-controlled material, exhibits an insulator-to-metal phase transition [19, 20] with conductivity of VO2 changes depending on the various temperatures. Hence, in certain perspective, it is possible and worthwhile that tunable polarization conversion can be achieved by the phase transition of VO2.

In this work, a simple and tunable reflective polarization converter based on metamaterial is proposed in THz spectrum, which composes of a two-corner-cut square patch resonator with a slit embedded into Vanadium dioxide film (VO2) and reflective ground layer. Numerical calculation shows that reflective curve for both linear polarization and circular polarization incidence can hold the same trend with PCR above 90% from 2.22 to 5.42THz. In addition, to obtain tunable polarization conversion performance, the polarization responses with the respect to the various conductivities of VO2 have been investigated in detail. At last, to be interested, the surface current densities depending on different conductivity from 200, $10^4$ to $10^5$ S/m have also been researched. Therefore, such dynamic changes of phase transition metamaterial offer a new route for acquiring multifunctional devices, such as switches, polarization modulators.

**Descriptions of the Designed MMs and Simulation Model**
The proposed MMs (Fig. 1(a)) consist of periodical identical unit cells (Fig. 1(b)) which composed of hybrid layer and metallic ground layer separated by polyimide dielectric layer with a relative dielectric constant of 3.5 [21] and a thickness (t1) of 10um (Fig. 1(d)), as schematically shown in Fig. 1(c). It is obviously that the hybrid layer (first layer) comprises a metallic two-corner-cut square patch resonator with a slit and VO2 film. The top and bottom metallic layers are made by the same gold dealt with the Drude model (\( \omega_p = 2\pi \times 2175 \text{THz}, \omega_e = 2\pi \times 4.35 \text{THz} \)) [22] and a thickness (t2) of 0.2um. Among the hybrid layer, the properties of VO2 film can be expressed by the Bruggeman effective model [23]:

\[
\varepsilon(\text{VO}_2) = \frac{1}{4} \left( \varepsilon_d (2-3V) + \varepsilon_m (3V-1) + \sqrt{\varepsilon_d (2-3V) + \varepsilon_m (3V-1)^2 + 8\varepsilon_d \varepsilon_m} \right),
\]

(1)

Where \( \varepsilon_d \) and \( \varepsilon_m \) denote dielectric constants of the insulating and metallic phase, respectively. Moreover, V indicates the volume fraction of the metallic regions. According the above parameters given, the dielectric constant of VO2 can be obtained arbitrary. In our simulation, the relative permittivity of VO2 can be set as 9 [23], in which the conductivity is 200 S/m at the temperature about 25 °C [23]. All the designs, of which the relevant other parameter given below (Table 1), can be carried out by CST Microwave Studio accomplished by frequency domain solver. Periodic
boundary condition and port boundary condition are applied to X/Y direction and Z direction, respectively.

Table 1. All dimension parameters of the designed polarization converter

| Parameters | p  | a  | b  | g1 | g2 | t1 | t2 |
|------------|----|----|----|----|----|----|----|
| Values(μm) | 30 | 29.2 | 27.6 | 0.4 | 0.05 | 10 | 0.2 |

To better understand the performance of the designed polarization converter, the polarization conversion ratio (PCR) can be adopted. Concerning the polarization of incident wave exists along the y-direction, the PCR can be calculated by Eq. (2) as follow. Moreover, for the x-polarized wave incidence, the subscripts about x and y in Eq. (2) can be merely interchanged:

\[
PCR = \frac{|r_{xy}|^2}{|r_{yx}|^2 + |r_{yy}|^2},
\]

(2)

Where \( r_{xy} \) and \( r_{yx} \) are reflective coefficients for cross-polarized and co-polarized wave. The alphabet \( i \) and \( r \) denote incidence and reflection, respectively. For the incident circular polarization, the subscripts about y and x can be replaced \( L \) and \( R \), respectively.

Results and discussions

To characterize the performance of the designed MMs, the reflective amplitude (\( |r_{ij}| \) and PCR) for linear and circular polarization incident wave have been investigated, respectively. The subscript i and j represent either x, y or R, L. As exhibited in Fig. 2(a), cross-reflective coefficients \( |r_{xy}| \) and \( |r_{yx}| \) indicate the same characteristics which hold above 0.85 from around 2.22 and 5.42THz. While, both the co-polarized reflective coefficients \( |r_{xx}| \) and \( |r_{yy}| \) are much lower than cross-polarized \( |r_{xy}| \) and \( |r_{yx}| \), where beneath 0.07 at the nearby frequency of 2.39, 3.78, and 5.27THz. At the same time, the curves of cross-reflection coefficients exist three resonant peaks at 2.39, 3.78 and 5.27THz. In other words, nearly total the y- (x-) polarized wave could be converted the cross polarized, i.e., x- (y-) polarized wave. The further results can also be inferred from Fig. 2(b) that the PCR more than 0.99 stands at the nearby frequency points of 2.39, 3.78 and 5.27THz due to the resonant effects, while the PCR
more than 0.9 is achieved from vicinity of 2.22-5.42THz. What is more, it is more interesting phenomenon from Fig. 2(c) and (d) that the reflective parameters under the circular polarized wave keep same trends with the reflective parameters under the linear polarized wave, namely $|r_{xy}|=|r_{yx}|=|r_{RL}|=|r_{LR}|$, $|r_{xx}|=|r_{yy}|=|r_{RR}|=|r_{LL}|$ and PCR(y)=PCR(x)=PCR(R)=PCR(L). Based on analysis above, the designed MMs can be acted as linear polarization as well as circular polarization converter.

Fig. 2. (a) Simulated reflective coefficient and (b) PCR for incident linear polarization. (c) Simulated reflective coefficient and (d) PCR for incident circular polarization.

To better understand the polarization state of electromagnetic wave under normal illumination, the formula can be expressed as follow [22]:

$$
\theta = \frac{1}{2} \arctan \left( \frac{2p_{r}\cos(\varphi_{r})}{1-|p_{r}|^{2}} \right),
$$

(3)

$$
\eta = \frac{1}{2} \arcsin \left( \frac{2p_{r}\sin(\varphi_{r})}{1+|p_{r}|^{2}} \right).
$$

(4)

Where $p_{r}=|r_{xy}|/|r_{yy}|$ and $\varphi_{r}=\text{arg}(r_{xy})-\text{arg}(r_{yy})$. The $\theta$ denotes the angle between reflective and incident polarization plane, while the $\eta$ characterizes the polarization state of the reflective wave. When the $\eta=0^\circ$ and $\theta\neq90^\circ$, the reflective wave keep linear polarization state with an angle of $\theta$ referred to the incident wave, but not cross-polarization state. What is more, the pure cross-polarization wave can be obtained when $\eta=0^\circ$ and $\theta=90^\circ$. As is shown in Fig. 3, the ellipticity $\eta$ of the incident y-polarized
wave stands less than 18° from 2.22 to 5.42THz at whole frequency band and keeps nearly 0° at the around resonant frequency of 2.39, 3.78 and 5.27THz. The deep meaning implies that a near linear polarization wave is obtained around the three resonant frequency. Moreover, Furtherly the cross-polarization conversion can be maintained when the polarization azimuth angle $\theta$ keeps $\pm90^\circ$ at the same three resonate frequency points in Fig. 3.

![Fig. 3. The polarization azimuth angle ($\theta$) and ellipticity ($\eta$) under the normal incident y-polarized wave](image_url)

To further depict the effects of interesting dynamic tunable reflective polarization conversion for the enhanced hybrid metamaterial, much attentions have been paid on the specific kind thermal material, i.e., VO$_2$. As we all know, the conductivities for VO$_2$ film change with the changeable temperature, thus can result into incident electromagnetic wave phase-transition when VO$_2$ film acts as from insulator state to metallic state. To be concreted, the VO$_2$ film exhibits an insulating state when the value for conductivity is lower than 200 S/m controlled by the temperature labelled with 25 °C, while show an metallic state with the conductivity exceed higher than $10^5$ S/m attained at the temperature of 85 °C [23]. To be convinced, as is demonstrated in Fig. 4, the PCR for incident y-polarized wave decreases obviously with the conductivity increasing from 200 to $10^5$ S/m. Eventually, the PCR is almost 0 when the VO$_2$ film is metal state with the conductivity set as $10^5$ S/m. That is almost all of the incident y-polarized component penetrate through the top hybrid layer and could be transformed into x-polarized component when $\sigma_{VO_2} = 200$ S/m at the temperature of
25 °C. However, the incident y-polarized component reflects by the top hybrid layer when $\sigma_{\text{VO2}}=10^5$ S/m at the temperature of 85 °C. According to the analysis above, it can be concluded that the MMs play a role in thermal-controlled switch devices in the area of polarization conversion.

**Fig. 4.** The PCR of incident y-polarized wave under the different VO2 film conductivities

To understand the physic mechanism, the current densities of incident y-polarized wave are depicted as is shown in Fig. 5. The conductivity of 200, $10^4$ and $10^5$ S/m at 5.27THz are taken as example to describe thermal control effects. It is obviously that strong parallel currents called electric resonance [24-28] can be observed between the top and bottom layer in Fig. 5(a) and (b) at the conductivity of 200S/m. The decomposed Ex component of induced E contributes to cross-polarization conversion. The $E_y$ component of induced E has no impact on polarization for its same direction with incident $E_i$ [29]. However, the current densities reflect gradually weakly between top and bottom layer when $\sigma_{\text{VO2}}$ increases from $10^4$ to $10^5$ S/m as is shown in Fig. 5(c), (d) and (e), (f). Moreover, induced E rotates parallel to incident $E_i$ gradually from Fig. 5(c), (d) to (e), (f). Thus, it can be inferred that the incident wave can change from penetrating state to not penetrating the top layer state with utilizing the thermal controllable VO2. In other words, as the temperature increases, the y to x cross-polarized component conversion decrease sharply. The thermal tunable method provides a new route to promote THz polarization devices.
Conclusions

A simple and tunable reflective polarization converter is proposed based on metamaterial in THz spectrum, which composes of a two-corner-cut square patch resonator with a slit embedded into Vanadium dioxide film (VO2) and reflective ground layer. The results indicate that high PCR can be obtained for the incident linear and circular polarization wave. In addition, the dynamic thermal tunable polarization converter can also be accomplished by adjusting the conductivities of VO2. To further research, the mechanism of tunable polarization conversion is also analysed by investigating the current densities at the top and bottom layer under the condition of changeable conductivities. According to the results, the designed metamaterial could be applied in the area of temperature-controlled sensing, THz wireless communication, tunable polarized devices.
**Author contributions:** (1) All the authors have participated in conceiving the idea, designing and simulating the structure, obtaining the results. (2) All the authors gave the final approval of the version to be submitted.

**Data Availability Statement:** All the data generated during and/or analyzed during the current study are available from the corresponding author on reasonable request.

**Ethics Declarations:**

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