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Accessibility
A cell epigenotype specific model for the correction of brain cellular heterogeneity bias and its application to age, brain region and major depression

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Abbreviations: CETS, cell epigenotype specific; CGI, CpG island; CHARM, comprehensive high-throughput arrays for relative methylation; DMR, differentially methylated region; FACS, fluorescence activated cell sorting; GO, gene ontology; HM27, Illumina Infinium Human Methylation 27; HM450, Illumina Infinium Human Methylation450 Beadchip; LCM, laser capture microdissection; MDD, major depressive disorder; tDMR, tissue-specific differentially methylated region; TSS, transcription start site; UTR, untranslated region

Introduction

Until recently, the combination of genetic risk factors in conjunction with environmental influence was believed to cause complex non-Mendelian diseases. Over recent years, a paradigm shift has occurred and an increasing number of studies have focused on a search for epigenetic differences and their contribution to disease risk; however, despite the promise of epigenetic etiology in conferring disease risk, the success of the first round of epigenomic studies in psychiatric disease has been limited.1 In the first epigenomic profiling studies performed in major psychosis, Mill et al. found moderate fold changes in prefrontal cortex DNA methylation. In the WDR18 glutamate receptor subunit gene, an 8% DNA methylation difference was detected between males with schizophrenia and controls, while female patients with bipolar disorder were 6% more methylated than controls at the RPL39 gene.2 No significant differences were found in an analysis of 50 loci in the temporal cortex of schizophrenia affected individuals.3 A recent methylome profiling study in major depressive disorder (MDD) did not identify any significant loci after correction for multiple testing; however, it successfully validated 60% of the top nominally significant differences.4 In the above studies, the brain samples interrogated consisted of “bulk” brain tissue preparations representing cellularly heterogeneous mixes of neuronal and non-neuronal cell types.

Cellular heterogeneity in the nervous system is important because DNA methylation has long been established as a distinguishing feature of differing cell types.5,6 Recent DNA methylation microarray profiling studies using the comprehensive high-throughput arrays for relative methylation (CHARM)
REsEaR ch papER REsEaR ch papER

cerebral cortex. Glutamatergic and dopaminergic neurons are implicated in schizophrenia, while serotonergic systems are involved in MDD; however, the relative proportions of these neuronal subtypes are small relative to the cellular architecture in the CNS regions investigated. If psychiatric disease relevant epimutations are occurring within specific subtypes, decreases in the observed effect sizes may be expected if sampling is performed from bulk tissue.

In this paper, we present the generation of cell epigenotype specific (CETS) maps in the cortex and introduce a new bioinformatics model capable of quantifying the proportion of neurons to glia based on DNA methylation measures across multiple CETS markers. Furthermore, we provide a technique for transforming existing DNA methylation data sets derived from bulk tissue preparations generated on Illumina microarrays to remove cell-type heterogeneity bias from DNA methylation profiles. We demonstrate the application of these techniques to the analysis of DNA methylation differences in MDD, with age, and across brain regions.

Results

Identification of CETS markers. Following FACS based isolation of neuronal and non-neuronal nuclei, a paired t-test between neuronal and glial DNA methylation samples per locus identified 32.3% of loci (n = 112,331 out of 347,536 trimmed loci) exhibited a DNA methylation change greater than 5% and were significantly different between neurons and glia after FDR based correction for multiple testing (Fig. 1A). While, on average, the effect size of all FDR significant cell type specific differences is quite small (0.067%), over 14% (n = 37,399) and 1% (n = 2,693) of significant loci exhibit DNA methylation differences greater than 20 and 50%, respectively. An example of
cell type specific epigenetic differences detected is depicted in Figure S2. We observed a significant over-representation of loci exhibiting cell type specific change in the same direction as previously identified differentially methylated regions (DMRs) unique to both neurons and non-neurons at 2,262 overlapping loci from the top 25th percentile of cell type specific differences in our study (Fisher’s OR = 4.6, p value = $1.1 \times 10^{-7}$).

In order to generate CETS markers independent of the effects of disease status and various medication influences, we selected CpGs significantly different between neurons and non-neurons after FDR based correction for multiple testing that were not significant in a separate case-control analysis of the MDD phenotype in either neurons or non-neurons at a raw significance threshold of 5%, resulting in the exclusion of 21,290 loci. We performed independent validation of five loci located within the top 10,000 CETS markers and obtained a significant correlation with microarray values ($R^2 = 0.95$, $p = 2.2 \times 10^{-16}$) (Fig. 1B).

Genomic features associated with neuronal and glial DNA methylation differences. DNA methylation differences distinguishing between neurons and non-neurons were evaluated at CpG positions associated with various gene regulatory features. For each analysis, the distribution of the absolute cell type specific difference within a specific category was compared with that of all CpG loci not falling within that category. CpG islands (CGI) exhibited significantly less cell type specific differences (Wilcoxon Rank Sum test, CGI = $0.040 \pm 1.1 \times 10^{-4}$, non-CGI = $0.085 \pm 7.2 \times 10^{-5}$, Bonferroni $p < 1 \times 10^{-220}$), while CGI shores exhibited significantly more (Wilcoxon Rank Sum test, CGI shore = $0.075 \pm 1.9 \times 10^{-4}$, non-CGI shore = $0.07 \pm 6 \times 10^{-5}$, Bonferroni $p = 1.7 \times 10^{-21}$). CpG loci within 5′ untranslated regions (UTR), first exons (Wilcoxon Rank Sum test, first exon = $0.035 \pm 2.0 \times 10^{-6}$, non-first exon = $0.066 \pm 2.6 \times 10^{-7}$, Bonferroni $p < 1 \times 10^{-220}$), and upstream of transcription start sites (TSS) (TSS = $0.047 \pm 6.1 \times 10^{-7}$, non-TSS = $0.07 \pm 3.7 \times 10^{-7}$, Bonferroni $p < 1 \times 10^{-220}$) exhibited significantly lower cell type specific DNA methylation differences. Conversely, CpGs located within 3′UTRs (Wilcoxon Rank Sum test, 3′UTR = $0.087 \pm 5.7 \times 10^{-6}$, non-3′UTR = $0.062 \pm 2.5 \times 10^{-7}$, Bonferroni $p < 1 \times 10^{-220}$), gene bodies (Wilcoxon Rank Sum test, gene body = $0.082 \pm 6.7 \times 10^{-7}$), non-gene body = $0.05 \pm 3.5 \times 10^{-7}$, Bonferroni $p < 1 \times 10^{-220}$), and enhancer sequences (Wilcoxon Rank Sum test, enhancers = $0.10 \pm 2.6 \times 10^{-6}$, non-enhancers = $0.062 \pm 5.4 \times 10^{-7}$, $p < 1 \times 10^{-220}$) exhibited significantly higher DNA methylation differences between neurons and non-neurons.

Gene ontology analysis of top CETS markers. We selected a stringent set of the top 1,000 CETS markers maximizing the DNA methylation difference between neurons and glia, corresponding roughly to those loci with a FDR p value less than the lower 0.5th percentile of p values within the CETS marker group. The average DNA methylation difference in this group was $56 \pm 9.8 \times 10^{-9}$. Molecular function of genes associated with the top 1,000 CETS markers was investigated using the gProfiler analysis suite. A number of significantly over-represented gene ontology (GO) categories dealing with neuron development and function were identified such as central nervous system development (GO:0007417), cell morphogenesis involved in neuron differentiation (GO:0048667), axonogenesis (GO:0007409), axon guidance (GO:0007411), dendritic spine (GO:0043197), synapse (GO:0045202) and post synaptic density (GO:0014069). The full list of over-represented GO categories can be viewed in Table S1.

A model for quantifying neuronal and glial proportions from methylation data. Using the top 10,000 CETS markers, corresponding roughly to the top 5th percentile of CETS loci, we developed a method capable of quantifying the proportions of neurons and glia based on generalized and disease non-specific cell type epigenetic markers as a proxy for cellular proportions. Mean neuronal and glial DNA methylation profiles were used to generate an in silico gradient of DNA methylation mixes from 100% glia to 100% neurons at our top CETS markers (Fig. 1C). To quantify the neuronal proportion, we fit a linear slope model of the observed DNA methylation in bulk tissue at the top 10,000 CETS loci to the predicted values for each neuronal percentage of the in silico gradient. The proportion of neurons, N, is determined by the in silico gradient percentage corresponding to the best fit (largest F-statistic). The p value of the linear model at the optimal neuronal proportion is taken as a measure of model performance for a given sample after Bonferroni correction for multiple testing.

Model performance was evaluated using a number of metrics. A reconstitution experiment was performed by mixing neuron and glial derived DNA from a single individual in 10 percent increments from 0–100% for a total of 11 mixes and followed by microarray hybridization (Fig. S3). The accuracy of mixed proportions was confirmed by comparing the Euclidean distance between arrays and the expected proportion of DNA methylation difference between mixes ($R^2 = 0.8$, $p = 4.2 \times 10^{-5}$) (Fig. 2B). We next downloaded data generated in a recent analysis of human prefrontal cortex DNA methylation and gene expression across the lifespan (n = 100) and compared the mean gene expression at probes representative of RBFOX3, which encodes the NeuN protein, with neuronal proportions predicted using Illumina Infinium Human Methylation 27 (HM27) microarray loci and observed a significant correlation ($R^2 = 0.17$, $p = 3 \times 10^{-5}$) (Fig. 2C).

We next sought to compare the performance of the CETS algorithm to that of a recently published quadratic programming based algorithm for quantification of cell type proportion from DNA methylation data in blood. Across the three test data sets above, the quadratic algorithm performed similarly to the CETS algorithm in evaluating the proper proportion of mixes ($R^2 = 1$, $p = 2.1 \times 10^{-13}$), FACS based neuronal percentages in bulk tissue ($R^2 = 0.59$, $p = 8.1 \times 10^{-5}$), and NeuN expression ($R^2 = 0.17$, $p = 1.9 \times 10^{-5}$). A major difference between the two algorithms was identified in regards to the robustness of neuronal prediction.
effects by multiplying DNA methylation values at random probes by a range of percentages from 10–100% at a range of randomly selected probes within half of the reconstitution data set, leaving the other half untouched (Fig. S4A and B). Five iterations were performed at each level for a total of 500 comparisons. Quadratic neuronal predictions were found to be highly influenced by the proportion of probes affected by the batch effect \( R^2 = 0.99, \ p = 2.2 \times 10^{-16} \) (Fig. S4C). In the CETS model, the predicted neuronal proportions across all permutations were virtually identical to the original predictions without in silico induced batch effects (mean \( R^2 > 1 - 1.9 \times 10^{-6} \) ) (Fig. S4C).

As the algorithm uses the relative proportions of DNA methylation across CETS markers, the input data required is not limited to data generated on the Illumina Infinium Human Methylation450 Beadchip (HM450) platform. We performed 100 permutations of randomly selected loci within the CETS marker set and determined that numerous combinations of CETS markers of different sizes within the top 10,000 CETS set are capable of accurately determining the correct proportions of mixed neuronal and non-neuronal input DNA (Table 1; Fig. S5).

To confirm this, we applied CETS model quantification to DNA methylation profiles of three NeuN positive and negative prefrontal cortical samples profiled by Iwamoto et al. on Affymetrix Human Promoter 1.0R arrays. The ratio of methyl-enriched to non-enriched signals at 3,841 probes overlapping with the top 10,000 CETS marker locations were used for quantification and generated predictions of 100% and 0% neuronal proportions for all NeuN positive and negative samples, respectively (AUC = 1). These analyses suggest that while the neuronal proportion prediction accuracy is optimized with large sets of CETS markers, the performance of the algorithm in subset of these markers is adequate to generate accurate predictions and is dependent only on relative methylation as opposed to absolute methylation signals.

**Figure 2.** CETS model validation. (A) Scatter plot of predicted neuronal proportions vs. empirical mixes of neuronal and glial DNA in increments of 10%. (B) Scatter plot of predicted neuronal proportion vs. FACS based estimate in 20 bulk tissue samples. (C) Scatter plot of predicted neuronal proportion vs. NeuN gene (RBFOX3) expression \([2 \log (\text{fold change})]\) in 100 bulk brain tissue samples evaluated on Illumina HM27 microarrays and custom gene expression platforms.

**Table 1.** Random probe combination performance

| # Probes | Mean \( R^2 \) | Standard deviation |
|----------|----------------|-------------------|
| 5        | 0.93           | \( 1.0 \times 10^{-1} \) |
| 10       | 0.98           | \( 1.2 \times 10^{-2} \) |
| 25       | 0.99           | \( 9.7 \times 10^{-3} \) |
| 50       | 0.99           | \( 4.6 \times 10^{-3} \) |
| 75       | 0.99           | \( 3.6 \times 10^{-3} \) |
| 100      | 0.99           | \( 2.5 \times 10^{-3} \) |
| 200      | 0.99           | \( 2.1 \times 10^{-3} \) |
| 300      | 0.99           | \( 1.6 \times 10^{-3} \) |
| 400      | 0.99           | \( 1.4 \times 10^{-3} \) |
| 500      | 0.99           | \( 1.3 \times 10^{-3} \) |
| 600      | 0.99           | \( 1.3 \times 10^{-3} \) |
| 700      | 0.99           | \( 1.1 \times 10^{-3} \) |
| 800      | 0.99           | \( 9.5 \times 10^{-4} \) |
| 900      | 0.99           | \( 8.2 \times 10^{-4} \) |
| 1,000    | 0.99           | \( 8.6 \times 10^{-4} \) |
| 2,000    | 0.99           | \( 6.1 \times 10^{-4} \) |
| 3,000    | 0.99           | \( 5.3 \times 10^{-4} \) |
| 4,000    | 0.99           | \( 4.6 \times 10^{-4} \) |
| 5,000    | 0.99           | \( 3.5 \times 10^{-4} \) |
| 6,000    | 0.99           | \( 3.0 \times 10^{-4} \) |
| 7,000    | 0.99           | \( 2.6 \times 10^{-4} \) |
| 8,000    | 0.99           | \( 1.5 \times 10^{-4} \) |
| 9,000    | 0.99           | \( 1.3 \times 10^{-4} \) |
| 10,000   | 0.99           | NA                |

to batch effects within a given microarray experiment. This was tested by generating in silico batch effects at random proportions of probes within the top 10,000 CETS markers and predicting neuronal proportion using both algorithms. We inserted batch effects by multiplying DNA methylation values at random probes by a range of percentages from 10–100% at a range of randomly selected probes within half of the reconstitution data set, leaving the other half untouched (Fig. S4A and B). Five iterations were performed at each level for a total of 500 comparisons. Quadratic neuronal predictions were found to be highly influenced by the proportion of probes affected by the batch effect \( R^2 = 0.99, \ p = 2.2 \times 10^{-16} \) (Fig. S4C). In the CETS model, the predicted neuronal proportions across all permutations were virtually identical to the original predictions without in silico induced batch effects (mean \( R^2 > 1 - 1.9 \times 10^{-6} \) ) (Fig. S4C).

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Transformation of bulk tissue profiles to reduce cell type heterogeneity bias. We generated an algorithm capable of
transforming bulk tissue derived DNA methylation profiles to an expected neuronal or glial profile in order to reduce cell type heterogeneity confounding and improve the power to detect cell type specific differences. The method uses the estimated neuronal proportions generated in the above section to determine the amount of reference neuronal or glial signal to subtract from each data point. If a data point at a given locus is not significant at an FDR level of 5%, no transformation is performed. While the quantification algorithm presented above is applicable to data generated on multiple microarray platforms, this algorithm is applicable only to Illumina HM450 or HM27 platforms since the relationship between neuronal proportion and effect on methylation estimates is assumed to be known a priori based on the Illumina HM450 reference profiles. We evaluated the algorithm’s capability to recover the neuronal profiles in the empirically mixed sample by correlating the 100% neuron DNA methylation profile to the bioinformatically generated neuronal profile for each 10% mix (Table 2 and Fig. 3A and B). The model accounted for over 93% of the variance across the spectrum of potential neuron to glia ratios (Table 2 and Fig. 3A and B).

### Identification of phenotype associations using transformed data

The ability of transformed neuronal profiles to identify cell type specific disease associations was investigated in 20 bulk tissue preparations hybridized to the HM450 microarray and compared with FACS isolated neuronal cell preparations. Each sample was assigned to one of two groups, followed by statistical comparison of the mean neuronal proportion per group and a spot-wise t-test based association to the group classifier in the non-transformed bulk tissue and the CETS model transformed cell type specific profile. The degree of overlap observed between loci achieving a nominal significance of 5% in the FACS separated neurons was compared between the transformed and non-transformed bulk tissue data by Fisher’s exact test. We randomly permuted group assignments and iterated the test 100 times. We observed that the larger the difference in neuronal proportion between groups, the CETS model derived neuronal profile identifies significantly higher overlap with neuron specific group associations as compared with the bulk derived data (R = 0.48, p = 4.7 × 10^{-7}) (Fig. 3C). Similarly, evaluating significance with a linear model incorporating neuronal proportion as a covariate generates significantly higher overlap than that of bulk data (R = 0.57, p = 5.2 × 10^{-10}). These analyses demonstrate the efficacy of the model for identifying cell type specific DNA methylation associations in data generated from bulk tissue hybridizations, although the cell type responsible for the signal will remain to be determined.

### A comparison of FACS based vs. CETS model transformed MDD DNA methylation associations

CETS based quantification was applied to a data set investigating DNA methylation associations in MDD data from the Stanley Medical Research Institute (SMRI).4 Smoothed DNA methylation levels derived from the CHARM package at 8,130 probes overlapping the top 10,000 CETS loci were used for quantification of neuronal proportions. A significantly higher proportion of neurons were observed in the MDD group (mean proportion controls = 0.51 ± 0.0034, mean proportion depression = 0.55 ± 0.0028, Wilcoxon rank sum p = 0.05) (Fig. 4A). A re-analysis of CHARM data was performed following incorporation of neuronal proportion information. CHARM data was transformed by taking the residuals of a linear model of probe fold change information and neuronal proportion for each locus, followed by DMR identification and quantification using the CHARM package standard functions. Of the 420 DMRs originally identified as significant below a nominal p value of 0.05, 33% were identified as nominally significant in the CETS model corrected data. While the original analysis did not return any hits significant after correction for multiple testing, CETS modeled data identified three DMRs located proximal to the *LASS2*, *PCTK1* and *FAM20B* genes. A total of 77 DMRs adjacent to genes exhibited a trend toward significant association to MDD at a significance level below 10%. A full list of nominally significant DMRs generated in the CETS modeled data appears in Table S2.

We cross-referenced nominally significant DMRs from both analyses with FDR significant cell type specific DNA methylation differences generated on the HM450 microarrays above. A significant correlation was observed between nominally significant DMRs from the non-corrected CHARM data and overlapping loci with FDR significant cell type specific DNA methylation differences from our FACS based experiments above (R² = 0.33, p = 2.2 × 10^{-10}) (Fig. 4B), suggesting a portion of the identified DMRs are resultant from the differences in neuronal proportion between groups. Conversely, DMRs identified in CETS model transformed data did not demonstrate a relationship to cell type specific differences (R² = 0.006, p = 0.07) (Fig. 4C).

Independently, we generated MDD specific DNA methylation associations in our FACS sorted neuronal and non-neuronal nuclear fractions within the Caucasian population of the NICHD sample. No loci exhibited significance after correction for multiple testing in either comparison. To assess the replicability of MDD associations across cohorts, the direction of DNA methylation change between MDD and controls was compared at nominally significant DMRs identified from the SMRI CHARM analyses and NICHD analyses. DMRs identified in the CETS modeled SMRI data exhibited a significantly higher proportion of DNA methylation changes in the same direction as the NICHD neuronal data set as compared with the non-transformed CHARM data (CETS modeled overlap = 92%, non-modeled overlap = 36%,
Fisher’s OR = 17.3, p = 0.0053). Similarly in non-neuronal nuclei, a significantly higher agreement between NICHD and SMRI CETS modeled data was observed (CETS modeled overlap = 39%, non-modeled overlap = 11%, Fisher’s OR = 5.1, p = 3 × 10^{-4}). While the degree of MDD specific DNA methylation associations identified in each cohort was not high, these analyses suggest that the CETS model transformation leads to a higher cross cohort agreement and that removal off cellular heterogeneity based confounds can improve the potential for cross cohort replication of disease specific findings.

**Model based comparison of different brain regions and age.** Using the CETS model, we quantified neuronal proportion across 506 individuals and across four brain regions using DNA methylation profiles generated on Illumina HM27 bead-chip microarrays by Gibbs et al. We observed significant variation in neuronal proportion across brain regions relative to the frontal cortex. Frontal cortex was significantly different from pons (neuronal proportion pons = 0.093 ± 0.00029, frontal cortex = 0.31 ± 0.00076, p = 1.6 × 10^{-33}) and cerebellum (neuronal proportion cerebellum = 0.44 ± 0.00062, frontal cortex = 0.31 ± 0.00076, p = 7.5 × 10^{-32}), while the temporal cortex was not significantly different (neuronal proportion temporal cortex = 0.32 ± 0.00082, frontal cortex = 0.31 ± 0.00076, p = 0.12) (Fig. 5A). The degree of difference is consistent with hierarchical clustering of DNA methylation data presented by Gibbs et al. We observe a significant correlation between the relative Euclidean distance of between microarrays and the distance between neuronal proportions (Spearman’s Rho = 0.69, p = 2.2 × 10^{-16}) (Fig. 5B). We performed spot-wise t-tests across tissues and observed highly significant FDR corrected differences similar to that of our neuron vs. non-neuron comparison in Figure 1A with FDR p values ranging as low as 3.1 × 10^{-126} (Fig. 5C). Correcting for neuronal proportion results in no FDR significant differences. Cumulatively, this data suggests that a majority of variation in DNA methylation previously reported between brain regions is due to differing proportions of neuronal to non-neuronal cells.

We next investigated the relationship between predicted neuronal proportion and age per brain region. No correlations were observed in the pons (Spearman’s Rho = -0.11, p = 0.21) or temporal cortex (Spearman’s Rho = 0.078, p = 0.38), while neuronal
previously in FAC sorted neuronal and non-neuronal nuclear populations using Affymetrix tiling microarrays; however, the relatively small sample size of three individuals for this study calls into question the generalizability of these markers for neuronal quantification in the population. Our results confirm and expand upon the original findings by Iwamoto et al. and allow us to define more generalizable cell type specific DNA methylation differences between neurons and glia that are independent of psychiatric phenotype. The cohort investigated in our study is significantly larger and derives from both healthy control brains and those with a psychiatric disease. The inclusion of MDD cases in the sample allowed for the refinement of a more robust set of CETS markers through exclusion of disease associated loci that may exhibit variation in DNA methylation due to disease or medication status.

Figure 4. Identification and correction of cell heterogeneity in MDD. (A) Boxplots of the proportion of CETS model predicted neuronal proportions for control and MDD cases. (B) Scatterplot of the log2 fold change (M value) between MDD and controls in non-corrected CHARM data (x-axis) vs. the percentage of DNA methylation difference in FACS separated neuronal and glial nuclei (y-axis) at overlapping loci between the CHARM and HM450 microarray platforms. (C) Scatterplot of the M value between MDD and controls in CETS model corrected CHARM data (x-axis) vs. the percentage of DNA methylation difference in FACS separated neuronal and glial nuclei (y-axis) at overlapping loci between the CHARM and HM450 microarray platforms.

Figure 5. Brain region specific epigenetic variation is a function of neuronal proportion. (A) Boxplots of the CETS model predicted neuronal proportion (y-axis) in Pons (green), frontal cortex (FCTX, blue), temporal cortex (TCTX, red), and cerebellum (CER, black) (x-axis). (B) A plot of the neuronal proportion (y-axis) vs. euclidean distance of each array (x-axis). Color coding is the same as in A. (C) Volcano plots depicting the -log FDR significance of FCTX vs. Pons (green), TCTX (red), and CER (black).

proportion was found to increase with age in the frontal cortex (Spearman’s Rho = 0.2, p = 0.021) and cerebellum (Spearman’s Rho = 0.53, p = 2.9 \times 10^{-10}) (Fig. 6).

Discussion

We have generated a novel set of bioinformatics tools designed to identify and correct for cellular heterogeneity based bias in genome-scale DNA methylation studies in the brain. Recently, techniques have been developed for the bioinformatics adjustment of cell subfraction heterogeneity in peripheral blood cells based on DNA methylation proxies for FACS sorted cell types; however, to our knowledge, our study represents the first attempt to generate such a model in brain. DNA methylation profiling has been performed previously in FAC sorted neuronal and non-neuronal nuclear populations using Affymetrix tiling microarrays; however, the relatively small sample size of three individuals for this study calls into question the generalizability of these markers for neuronal quantification in the population. Our results confirm and expand upon the original findings by Iwamoto et al. and allow us to define more generalizable cell type specific DNA methylation differences between neurons and glia that are independent of psychiatric phenotype. The cohort investigated in our study is significantly larger and derives from both healthy control brains and those with a psychiatric disease. The inclusion of MDD cases in the sample allowed for the refinement of a more robust set of CETS markers through exclusion of disease associated loci that may exhibit variation in DNA methylation due to disease or medication status.
predicted neuronal proportion to the average gene expression of the RBFOX3 gene, which encodes the NeuN protein, demonstrated the weakest correlation. The strength of the correlation may have been affected by variation of factors known to affect gene expression measurements such as brain pH and postmortem interval. Importantly, we observed an average predicted proportion of 32.2% neurons to non-neurons in the NICHD cortical population and 31.1% and 32.1% in the frontal and temporal cortical samples from the Gibbs et al. study. These values are similar to the published 32.4% and 27% gray matter and total cortical neuronal content, respectively, as determined by Azevedo et al. using isotropic fractionation.

The approach designed for quantifying neuronal proportions was enabled by the large DNA methylation difference and small variance between neuronal and non-neuronal DNA methylation profiles at top CETS loci. The technique measures neuronal proportions by determining the best match to a gradient of DNA methylation profiles for each sample and as such, variation within an individual sample will be tested equally across the in silico gradient, allowing for an even chance of proper neuronal prediction per sample. These features make the predictions robust to batch effects, as demonstrated above. Importantly, we modeled batch effects by induced systematic increases in detected DNA methylation values across randomized proportions of probes across the CETS marker set and demonstrated that prediction values are independent of these factors and out-perform quadratic programming alternatives. The design of the CETS algorithm also makes it applicable across multiple experimental platforms that contain overlapping data points with the top CETS loci, as evidenced by our perfect classification of NeuN positive and negative samples from Iwamoto et al. This feature makes CETS applicable not only to data generated on tiling arrays but also next generation sequencing platforms. The performance of a given platform may vary depending on the distribution of overlaps with top CETS loci; however, the neuronal proportions calculated will remain relative across a given experiment, allowing for the quantification of cellular heterogeneity bias.

Enriched GO categories within the top CETS markers appear to be related to cell fate commitment and generation of neurons. These results are consistent with the interpretation that DNA methylation marks capable of distinguishing neurons from non-neurons also define their cellular identity. We identified enriched neuronal vs. non-neuronal DNA methylation differences in specific genomic categories, including CGI shores, gene bodies, and 3’UTRs. Conversely, CGIs and promoters exhibited significantly less cell type specific differences. These findings are consistent with previous reports identifying DNA methylation variation at CGI shores as important regulators of tissue identity. Gene body methylation, specifically at exonic sequences, has been shown to direct alternative transcriptional splicing. Neuronal cell fate determination is largely influenced by alternative splicing mechanisms during neuronal development, while in mature neurons alternative splicing variation contributes to a number of key neuronal functions including axonal guidance, synaptic vesicle release, synaptic remodeling, and long-term potentiation, among others.

Cell type specific DNA methylation differences in these regions may be related to the GO categories identified in the top CETS loci such as axon guidance, dendritic spine, and postsynaptic density.

We validated the neuronal proportion quantification algorithm using multiple metrics. The technique performed best in the reconstitution experiment. The second method was a comparison to FACS based measurements of neuronal proportion in a set of 20 bulk tissue samples run on the microarrays. In general, FACS based quantification of cortical neuronal populations is a well-accepted technique and has been found to be more accurate than fluorescent microscopy quantifications using a Neubauer chamber. A possible source of higher variation relative to the reconstitution experiments was that microarrays and FACS based quantification were run on the same individual, but on different preparations of the bulk tissue such that variation in the cellular composition of the sectioned tissue per individual may have added to the experimental noise. A second possibility is that variation was induced at the level of selection of FACS gate parameters used to define the neuronal population. The comparison of predicted neuronal proportion to the average gene expression of the RBFOX3 gene, which encodes the NeuN protein, demonstrated the weakest correlation. The strength of the correlation may have been affected by variation of factors known to affect gene expression measurements such as brain pH and postmortem interval. Importantly, we observed an average predicted proportion of 32.2% neurons to non-neurons in the NICHD cortical population and 31.1% and 32.1% in the frontal and temporal cortical samples from the Gibbs et al. study. These values are similar to the published 32.4% and 27% gray matter and total cortical neuronal content, respectively, as determined by Azevedo et al. using isotropic fractionation.

The approach designed for quantifying neuronal proportions was enabled by the large DNA methylation difference and small variance between neuronal and non-neuronal DNA methylation profiles at top CETS loci. The technique measures neuronal proportions by determining the best match to a gradient of DNA methylation profiles for each sample and as such, variation within an individual sample will be tested equally across the in silico gradient, allowing for an even chance of proper neuronal prediction per sample. These features make the predictions robust to batch effects, as demonstrated above. Importantly, we modeled batch effects by induced systematic increases in detected DNA methylation values across randomized proportions of probes across the CETS marker set and demonstrated that prediction values are independent of these factors and out-perform quadratic programming alternatives. The design of the CETS algorithm also makes it applicable across multiple experimental platforms that contain overlapping data points with the top CETS loci, as evidenced by our perfect classification of NeuN positive and negative samples from Iwamoto et al. This feature makes CETS applicable not only to data generated on tiling arrays but also next generation sequencing platforms. The performance of a given platform may vary depending on the distribution of overlaps with top CETS loci; however, the neuronal proportions calculated will remain relative across a given experiment, allowing for the quantification of cellular heterogeneity bias.
The technique allows for the correction of cell heterogeneity bias through either a transformation or an adjustment approach, depending on the platform used. For Illumina platforms containing the same probes, the method can generate a transformed neuronal and glial profile that is independent of bias due to differing neuron to glia proportions. Regardless of platform, neuronal proportion information can be included as a covariate in a linear model, an approach that appears more robust and is recommended in most situations. Our permutation analysis of pair-wise differences suggests that both methods perform well at generating cell type specific findings when the proportion of neurons is unequal between the groups being tested; however, the distinction of whether the identified phenotype associations are resultant from neuronal or non-neuronal cells cannot be determined. However, a comparison of the pair-wise analysis output from neuronal proportion corrected to non-corrected data may indicate the presence of cell type specific associations and may direct future analyses such as laser capture microdissection (LCM) experiments.

We provide a proof of principle analysis of recently published MDD specific DNA methylation profiling data generated in the SMRI cohort using the CHARM algorithm. These analyses demonstrated that a large portion of the originally identified DMRs was positively correlated with cell type specific DNA methylation differences. This observation is expected, as the proportions of neurons to non-neurons were significantly different between MDD and controls. Application of CETS model correction identified novel DMRs that did not correlate with cell type specific epigenetic differences and are therefore more likely to be associated with MDD independent of cell type heterogeneity. Importantly, a number of the originally identified DMRs remained significant after CETS model correction and portion of these had previously not survived multiple correction testing now passed genome-wide significance. Among these genes were LASS2, which was validated and discussed in the original study,4 FAM20B and PCTK1. Epigenetic variation at PCTK1 could be important for MDD, as overexpression of this gene in rats has been demonstrated to result in impaired spatial working memory and cognitive function.35 The degree of overlap across the results generated in the CETS modeled SMRI data and the FAC sorted NICHD data was higher than the overlap with non-corrected data, suggesting that the statistical removal of neuronal to non-neuronal heterogeneity in this sample improved the replicability of identified findings across cohorts and may thus be more relevant to the disease phenotype in the population.

A number of reports have identified correlation of DNA methylation in the brain at numerous loci with age.24,37,38 Recently, Horvath et al. identified an age related co-methylation module in brain and blood38 using many of the same samples investigated above. Our analysis identified positive correlations between CETS model predicted neuronal proportion and age in both the frontal cortex and cerebellum, suggesting that a portion of these findings may be due to variation in cellular content over the course of aging. A recent study incorporating the samples from Gibbs et al. identified a series of genes where expression levels correlated with age in both the frontal cortex and cerebellum.39 After isolation of Purkinje neurons through laser capture microdissection, ~8% (5 out of 60) candidates validated, corroborating our interpretation that a majority of age related associations may be due to cell heterogeneity and may be corrected through CETS model transformation.

DNA methylation at the CETS markers should be robust to age related variation in order to accurately quantify neuronal proportions over a range of ages. The age of the samples used to generate the CETS loci in our study ranged from 13 to 79 y old and did not demonstrate age related variation of neuronal or non-neuronal profiles at the CETS loci. The CETS model is therefore appropriate to evaluate neuronal proportion in brain samples above these ages, such as the study by Gibbs et al.39 The performance of CETS based quantification of neuronal proportions in samples from younger ages will depend on the relative conservation of epigenetic patterns at CETS markers during development. As highlighted in the Numata et al. study, DNA methylation in the prefrontal cortex varies at different developmental time periods and exhibits rapid changes both prenatally and in the early postnatal years, specifically in neural developmental genes.24 Despite this, an analysis of the Numata et al. data demonstrated a significant correlation at the 521 CETS markers present on the HM27 array between the mean DNA methylation profiles of 44 samples less than 13 y old, including 29 prenatal samples, to the remaining 56 samples ranging from 13 to 78 y old (R = 0.95, p = 2.2 × 10^{-16}). While, neuronal proportion quantification in samples younger than age 13 should be interpreted with caution, these analyses suggest that at least a portion of CETS patterns vary only minimally over earlier age ranges and may be appropriate for neuronal quantification in younger samples. Future studies will be necessary to refine specific CETS markers robust to early developmental changes and enable reliable quantification of neuronal proportions in younger samples.

The accuracy of CETS model prediction of neuronal to non-neuronal proportion in non-cortical brain regions will be influenced by the conservation of neuron specific epigenetic marks across regions. Prediction of cerebellar neuronal content was lower than the ~77% reported by Azevedo et al.,18 which is explained by the fact that primarily granule neurons in the cerebellum express NeuN, while Purkinje cells do not.40,41 The above correlation between age and cerebellar neuronal proportion above is therefore most likely reflective of age related changes in ratios of undetected neuronal cell types. This interpretation is consistent with observations that Purkinje neuron levels and ratios of stelate and basket neurons relative to granule neurons have been observed to decrease with age in mice.32-44

Future studies targeting distinct brain regions or cell types within a brain region will be necessary to refine CETS models. Importantly, neuronal content predictions were demonstrated to adequately account for the observed degree of DNA methylation variation across brain regions. Numerous previous studies report large scale DNA methylation differences between brain regions.26,45,46 On both the global and locus specific scale, our analyses demonstrate both a strong correlation between brain region specific DNA methylation differences and cellular proportion, and a drastic reduction in locus specific differences...
identified between brain regions after adjusting for neuronal to non-neuronal proportions per individual. Together, these findings suggest that the extreme differences between neurons and non-neurons are the primary driver of the brain region epigenetic differences identified and that a majority of the previously identified epigenetic variation between brain regions may be largely due to differences in neuron to non-neuron ratios. Control of this detectable heterogeneity will allow for the identification of more subtle epigenetic changes in future studies.

We have generated a publically available R package called “CETS” capable of performing the above analyses in DNA methylation data sets (http://psychiatry.igm.jhmi.edu/kaminsky/software.htm). This tool will not only allow for the generation of novel data independent of cell heterogeneity based bias, but also allowing for a re-analysis of existing data sets. Application of CETS modeling to genome-wide DNA methylation data may lead to new level of understanding of epigenetic regulation in the brain and holds the potential to identify to novel discoveries related to the epigenetic basis of neurological and psychiatric phenotypes.

Materials and Methods

Sample. Post mortem cortical tissue from MDD (n = 29) and matched control (n = 29) samples were obtained from the NICHD Brain Bank of Developmental Disorders. Demographic information appears in Table 3.

Isolation of neuronal and non-neuronal nuclei by fluorescence activated cell sorting (FACS). Neuronal nuclei were isolated from prefrontal cortical tissue as described previously.²⁷ Briefly, 250–750 mg of frozen tissue was homogenized in lysis buffer (0.32 M sucrose, 5 mM calcium chloride, 3 mM magnesium acetate, 0.1 mM EDTA, 10 mM dithiothreitol, 0.1% Triton X-100) and nuclei were isolated via sucrose cushion ultracentrifugation (1.8 M sucrose, 3 mM magnesium acetate, 1 mM dithiothreitol, 10 mM TRIS-HCl, pH 8). Ultracentrifugation was performed at 25,000 rpm for 2.5 h at 4°C (Beckman, L-90K ultracentrifuge, SW32 rotor). For nuclei immunofluorescence staining, anti-NeuN (Ms) and anti-Ms IgG antibodies were incubated together at room temperature before nuclei were added and incubated further in the dark at 4°C for 45–60 min before Fluorescence Activated Cell Sorting (FACS). FACS was performed at the Johns Hopkins Flow Cytometry Core Facility (FACSArA II, BD Biosciences). The sorting primary gate was set to properly capture nuclei based on size and density, while secondary gates were set based on fluorescence signals. Immunonegative (NeuN-) nuclei were counted, collected, and processed in parallel with the fraction of neuronal (NeuN+) nuclei. All nuclei were sorted with an efficiency of greater than 90%. After FACS, nuclei were pelleted and frozen at -80°C in Tissue and Cell Lysis Buffer (MasterPure DNA Purification Kit, Epicenter Biotechnologies) until DNA extraction. All genomic DNA from nuclei was isolated with the MasterPure DNA Purification Kit (Epicenter Biotechnologies) according to the manufacturer’s instructions.

Illumina microarray analysis. Samples quality assessment and microarray analysis were conducted at The Sidney Kimmel Cancer Center Microarray Core Facility at Johns Hopkins University, supported by NIH grant P30 CA006973 entitled Regional Oncology Research Center.

Genomic DNA quality assessment. Genomic DNA quality was assessed by low concentration agarose gel (0.6%) electrophoresis and spectrometry of OD260/280 and OD 260/230 ratio.

Sodium bisulfite conversion. DNA bisulfite conversion was performed using EZ DNA Methylation Kit (Zymo Research) by following manufacturer’s manual with modifications for Illumina Infinium Methylation Assay. Briefly, 0.5–1.0 μg of genomic DNA was first mixed with 5 μl of M-Dilution Buffer and incubate at 37°C for 15 min and then mixed with 100 μl of CT Conversion Reagent prepared as instructed in the kit’s manual. Mixtures were incubated in a thermocycler with 16 thermal cycles at 95°C for 30 sec and 50°C for 1 h. Bisulfite-converted DNA samples were loaded onto 96-column plates provided in the kit for desulphonation and purification. Concentration of eluted DNA was measured using Nanodrop-1000 spectrometer.

Infinium chip assay. Bisulfite-converted DNA was analyzed using Illumina’s Infinium Human Methylation450 Beadchip Kit (WG-314-1001) by following manufacturer’s manual. Beadchip contains 485,577 CpG loci in human genome. Briefly, 4 μl of bisulfite-converted DNA was added to a 0.8 ml 96-well storage plate (Thermo Scientific), denatured in 0.014 N sodium hydroxide, neutralized and amplified with kit-provided reagents and buffer at 37°C for 20–24 h. Samples were fragmented using kit-provided reagents and buffer at 37°C for one hour and precipitated by adding 2-propanol. Re-suspended samples were denatured in a 96-well plate heat block at 95°C for 20 min. Twelve microliters of each sample were loaded onto a 12-sample chip and the chips were assembled into hybridization chamber as instructed in the manual. After incubation at 48°C for 16–20 h, chips were briefly washed and then assembled and placed in a fluid flow-through station for primer-extension and staining procedures. Polymer-coated chips were image-processed in Illumina’s iScan scanner.

Data acquisition. Data were extracted using Methylation Module of GenomeStudio v1.0 Software. Raw microarray signal intensity data was first corrected on Illumina probe type, followed by individual methyl and non-methyl channel quantile normalization using the Limma package in R/Bioconductor. Methylation status of each CpG site was then calculated as β value based on following definition:

### Table 3. Sample demographics

| Cohort | Diagnosis | Sample size | Age (years) | Sex (M:F) | PMI (hours) |
|--------|-----------|-------------|-------------|-----------|-------------|
| NICHD  | CON       | 29          | 32.6 ± 16.1 | 14:15     | 16.31 ± 4.96 |
| MDD    |           | 29          | 32.5 ± 15.9 | 14:15     | 18.1 ± 1.09  |
**Table 4. Primers for validation experiments**

| Gene     | Probe ID    | Primer name | Primer sequence 5’-3’ |
|----------|-------------|-------------|-----------------------|
| PRMD16   | cg14217558  | PRMD_F_out  | GAA TAA GGG GTT AAA TGT TGA GTA A |
|          |             | PRMD_R_out  | TAA AAA AAA ACT AAA ATA AAA ACA CCC CTA |
|          |             | PRMD_F_in   | TTA TAG ATT TAT TTA TTT TTT TAG TTA AGT GGT |
|          |             | PRMD_R_in   | biotin-AAC CTA CAC CTT CCA AAC TAA CAA TA |
|          |             | PRMD_Pyro1  | TGT ATA GGT TAG AGT AAG TTA |
| S100B    | cg18433784  | S100B_F_out | TTT TAG GGT GAT ATT AAT ATT TAT GTA ATA |
|          |             | S100B_R_out | CCT AAT ACA ACC CAC TAC AAA C |
|          |             | S100B_F_in  | biotin-TAG TTT ATG TAT TTA GGT TTT TAG TA |
|          |             | S100B_R_in  | ACC AAA CCT ACA CAC AAA ACT AC |
|          |             | S100B_Pyro1 | AAACATCATTAACAAACACCC |
| TRIM2    | cg22471112  | TRIM_F_out  | GGT AGT GAT TTA AAT TTT TTA ATT TGG A |
|          |             | TRIM_R_out  | AAA ATA TAA ACA ACT AAT CAA CAC AAA C |
|          |             | TRIM_F_in   | biotin-GGG TTG TAA AGA TGA TAA TTT AGT TTT TAT |
|          |             | TRIM_R_in   | ACC AAT AAT CAA CCT CTT TAA ACT CTA T |
|          |             | TRIM_Pyro1  | TAC TTA AAA TAT ATT TCA TCA C |
| SH3TC2   | cg01965939  | SH3_F_out   | GTA GAA GTA GTT ATT TAT GTG TGG ATT A |
|          |             | SH3_R_out   | TAT AAA CCT ACA AAC TAA ACT AAA CTA C |
|          |             | SH3_F_in    | biotin-TTA GAA GAG TGT TGT AAG GGA TAG T |
|          |             | SH3_R_in    | CTC CCT AAA CCT CCT ATA AC |
|          |             | SH3_Pyro1   | AAC TAC ATT ATA CAT TAA ACA AAC CT |
| A2BP1    | cg00480381  | A2B_F_out   | TGA GAA TTA AAT TAA TGA AGA GTA TTT G |
|          |             | A2B_R_out   | CCT CTA AAA CTC ACA ACG TCA ATA |
|          |             | A2B_F_in    | biotin-TTA GTG TAG GTA AGT GTT TTT TAA AAT AGA T |
|          |             | A2B_R_in    | AAA CTA AAA ACC AAA TCC CTT CTA TCA |
|          |             | A2B_Pyro1   | AAC TCT TAA TAT AAA AAT TTT CTT CCC A |

\[ \beta = \frac{\text{signal intensity of methylation-detection probe}}{\text{signal intensity of non-methylation-detection probe} + 100} \]

**Pyrosequencing validation of microarray targets.** Validation of microarray results was performed using sodium bisulfite pyrosequencing on genomic DNA from sorted nuclei. Target genes (A2BP1, PRMD16, S100B, SH3TC2 and TRIM2) were chosen from the top 1,000 CETS loci. Bisulfite conversion was performed using EZ DNA Methylation Gold Kit (Zymo Research) according to the manufacturer’s instructions. Two primer sets were designed to amplify each locus interrogated on the array for each of the five genes. Primer sequences and their respective microarray IDs can be found in Table 4. Nested PCR amplifications were performed with a standard PCR protocol in 25 ml volume reactions containing 3–4 μl of sodium-bisulfite-treated DNA, 0.2 μM primers, and master mix containing Taq DNA polymerase (Sigma Aldrich). After agarose gel electrophoresis to ensure successful amplification and specificity, PCR amplicons were processed for pyrosequencing analysis according to the manufacturer’s standard protocol (Qiagen). All pyrosequencing was performed using a PyroMark MD system (Qiagen) with Pyro Q-CpGt 1.0.9 software (Qiagen) for CpG methylation quantification.

**Public data processing.** DNA methylation data generated by Iwamoto et al.\(^{22}\) used to validate CETS model prediction was downloaded from GEO accession GSE15014. Raw Cel files were processed using the AffyTiling package in R to obtain quantile normalized M values representative of methylation enriched and depleted samples per replicate. Neuronal proportion prediction was performed using the CETS package inputing the mean ratio of methylated to unmethylated signals per replicate at 3,841 probes overlapping the top 10,000 CETS markers. DNA methylation \(\beta\) value data generated by Gibbs et al.\(^{26}\) used for brain region specific analysis was downloaded from GEO accession GSE15745.

**Statistical analysis.** All statistical tests were performed in R (www.r-project.org). Using an Anderson-Darling test from the nortest package, all distributions derived from microarray data rejected the null hypothesis of normality and were subsequently evaluated with non-parametric tests. All statistical tests performed were two tailed and a \(p < 0.05\) is considered significant. Unless otherwise specified, ± denotes the standard error of the mean. CETS model predictions of bulk DNA neuronal proportions excluded neuronal and non-neuronal DNA methylation profiles of the same sample for in silico matrix generation as
per standard bootstrapping techniques. Data are located online under GEO accession GSE41826.

Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

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Author Contributions

Study design: Z.K.; laboratory experiments: J.G.; statistical analysis: Z.K.; discussions on proportion calculation and R package creation: M.A.

Supplemental Material

Supplemental materials may be found here: www.landesbioscience.com/journals/epigenetics/article/23924
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