Entanglement-spectrum characterization of ground-state nonanalyticities in coupled excitation-phonon models

Vladimir M. Stojanović
Institut für Angewandte Physik, Technische Universität Darmstadt, D-64289 Darmstadt, Germany
(Dated: January 31, 2020)

The polaron concept captures physical situations involving an itinerant quantum particle (excitation) that interacts strongly with bosonic degrees of freedom and becomes heavily boson-dressed. While the Gerlach-Löwen theorem rules out the occurrence of nonanalyticities of ground-state-related quantities for a broad class of polaron models, examples were found in recent years of sharp transitions pertaining to strongly momentum-dependent interactions of an excitation with dispersionless (zero-dimensional) phonons. On the example of a lattice model with Peierls-type interaction, such level-crossing-type small-polaron transitions are analyzed here through the prism of the entanglement spectrum of the excitation-phonon system. By evaluating this spectrum in a numerically-exact fashion it is demonstrated that the behavior of the entanglement entropy in the vicinity of the critical coupling strength chiefly originates from one specific entanglement-spectrum eigenvalue, namely the smallest one. While the discrete translational symmetry of the system implies that those eigenvalues can be labeled by the bare-excitation quasimomentum quantum numbers, here it is shown numerically that they are predominantly associated to the quasimomenta 0 and π, including cases where a transition between the two takes place deeply in the strong-coupling regime.

PACS numbers: 03.67.Mn, 71.38.Ht

I. INTRODUCTION

Recent years have witnessed an ever-increasing proliferation of techniques from quantum-information theory into the field of condensed-matter physics1. The first major surge of interest in this direction entailed the use of bipartite entanglement and the concept of entanglement entropy to characterize various quantum phase transitions, primarily in the realm of strongly-correlated and quantum-spin systems. For a quantum system that can be partitioned into two entangled subsystems, the entanglement entropy is defined as the von Neumann entropy of the reduced density matrix pertaining to either one of the two subsystems, obtained by tracing out the degrees of freedom of the other one. This entropy – a single number – represents a quantitative measure of entanglement in any given state of a bipartite quantum system.

Over the past decade, the concept of the entanglement spectrum attracted considerable interest in the context of the symmetry-protected topological states of matter. It arises naturally – simply by noticing that each reduced density matrix can be written in the form $\rho = \exp(-H_E)$, i.e., as the canonical density matrix corresponding to a “Hamiltonian” $H_E$ at the inverse temperature $\beta_E = 1$. In the same vein, the entanglement entropy can be thought of as the thermodynamic entropy $S$ of a system described by $H_E$. This last Hamiltonian, the negative logarithm of the reduced density matrix, became known as the modular (or entanglement) Hamiltonian and the set of its eigenvalues the entanglement spectrum. Such spectra have already proven their worth as they were shown to capture the edge physics of topologically ordered phases4,5, a research direction pioneered by Li and Haldane6. They also led to nontrivial physical insights in other condensed-matter areas, e.g., interacting spin chains7,8, topological insulators and superconductors9,10, integer quantum Hall effect11, interacting bosons12,13 and fermions14,15, the Hofstadter problem16,17, and many-body localization18,19. This can be attributed to the fact that entanglement spectrum provides a more detailed characterization of the pattern of entanglement in a given system than the corresponding entropy2.

One area of condensed-matter physics that has not been explored yet from the entanglement-spectrum viewpoint is that of small polarons20–22 – quasiparticles emerging in lattice models based on the molecular-crystal paradigm23. Those models describe a short-ranged coupling of an itinerant excitation to dispersionless phonons24, representing an abstraction for the physical situation in which an excess charge carrier or an exciton in a crystal of a narrow-band semiconductor (or an insulator) interacts with optical phonons of the host crystal. A strong excitation-phonon (e-ph) coupling leads to a heavily phonon-dressed excitation, acquiring at the same time a large effective band mass. In particular, if the spatial extent of its wave function does not exceed one unit cell of the host crystal the ensuing phonon-dressed quasiparticle is referred to as small polaron20.

Naturally arising from investigations of transport properties of narrow-band electronic materials25–28, in the course of time studies of small-polaron models spawned a research area important in its own right29,30. While the bulk of such studies have been devoted to the time-honored Holstein model29, which captures the dependence of the excitation’s on-site energy upon Einstein-phonon displacements on the same site (local e-ph coupling), over the past two decades considerable attention was devoted to various models with nonlocal-coupling mechanisms31–33. The most well known among them is the Peierls-coupling mechanism (also known as Su-
Schrieffer-Heeger- or off-diagonal coupling\textsuperscript{32}, which accounts for the effective dependence of the hopping amplitude between adjacent lattice sites upon the difference of local Einstein-phonon displacements on those sites.

An important point of distinction between various coupled e-ph models is provided by the Gerlach-L"{o}wen theorem\textsuperscript{43-46}. This rigorous result rules out nonanalyticities in ground-state-related properties for all models with e-ph vertex functions that are either completely momentum-independent (Holstein-type coupling\textsuperscript{33}), or depends on the phonon quasimomentum, but not on that of the excitation (e.g., Fr"{o}hlich-type coupling\textsuperscript{45}). Thus, couplings that depend on both the excitation and phonon quasimomenta do not belong to the domain of applicability of this theorem. Moreover, for some particular e-ph interactions of this type -- with the Peierls-type coupling being the prime example -- level-crossing-type sharp transitions were shown to exist\textsuperscript{44}. Namely, at certain critical coupling strengths their ground states change their character from nondegenerate zero-quasimomentum ones to twofold-degenerate ones corresponding to a symmetric pair of nonzero quasimomenta. To demonstrate one such transition, a quantum simulator based on superconducting qubits and resonators was proposed\textsuperscript{47,48}.

In this paper, the sharp transition in a one-dimensional (1D) model with Peierls-type coupling is analyzed from the point of view of the entanglement spectrum of the underlying (bipartite) e-ph system. In particular, the main aim of this paper is to analyze the dependence of the entanglement-spectrum eigenvalues on the effective e-ph coupling strength. Its principal finding is that the behavior of the entanglement entropy in the vicinity of the critical coupling strength is to a large extent determined by the smallest eigenvalue. It is also demonstrated that -- as a consequence of the discrete translational symmetry of the system -- the eigenvalues from the entanglement spectrum can be labeled by the bare-excitation quasimomentum quantum numbers. This is complemented by the numerical finding that this quantum number in the model under consideration takes values 0 and $\pi$. Among cases where a transition between the two occurs at a coupling strength far larger than the critical one.

The remainder of this paper is organized as follows. In Sec. II the relevant coupled e-ph Hamiltonian is introduced (Sec. II A), along with a short description of the computational methodology utilized here to compute its ground-state properties (Sec. II B). In Sec. III after recapitulating the most general properties of entanglement in bipartite systems (Sec. III A), basic aspects of entanglement spectra in such systems are briefly reviewed (Sec. III B), followed by some general symmetry-related considerations and their specific application to the coupled e-ph system at hand (Sec. III C). The main findings of this paper are presented and discussed in Sec. IV. Finally, the paper is summarized, with conclusions and some general remarks, in Sec. V. An involved mathematical derivation is relegated to Appendix A.

II. MODEL AND METHOD

A. Hamiltonian of the system

The system under consideration comprises a spinless-fermion excitation nonlocally coupled to dispersionless phonons. It is described by a 1D e-ph model, whose Hamiltonian can succinctly be written as

$$H = H_e + H_{ph} + H_{e-ph}.$$  

(1)

Here $H_e$ is the excitation hopping (i.e., kinetic-energy) term in the tight-binding representation, given by

$$H_e = -t_e \sum_n (c_{n+1}^\dagger c_n + H.c.),$$  

(2)

with $t_e$ being the corresponding hopping amplitude; $c_n^\dagger$ ($c_n$) creates (destroys) an excitation at site $n$ ($n = 1, \ldots, N$). [For simplicity, the excitation on-site energy is set to zero in the following.] At the same time $H_{ph}$ stands for the free-phonon term ($\hbar = 1$ in what follows)

$$H_{ph} = \omega_{ph} \sum_n b_n^\dagger b_n,$$  

(3)

where $b_n^\dagger$ ($b_n$) creates (destroys) an Einstein phonon with frequency $\omega_{ph}$ at site $n$. Finally, the e-ph coupling term describes the lowest-order (linear) dependence of the effective hopping amplitude between two adjacent sites, say $n$ and $n + 1$, on the difference of the local phonon displacements $u_{n+1}$ and $u_n$ (where $u_n \propto b_n^\dagger + b_n$) at those sites (Peierls-type coupling). It is given by

$$H_{e-ph} = g \omega_{ph} \sum_n (c_{n+1}^\dagger c_n + H.c.) (b_{n+1} - b_n^\dagger),$$  

(4)

with $g$ being the dimensionless coupling strength.

The eigenstates of the Hamiltonian $H$ in Eq. (1) ought to be good-quasimomentum states, i.e., joint eigenstates of $H$ and the total quasimomentum operator

$$K_{tot} = \sum_k k c_k^\dagger c_k + \sum_q q b_q^\dagger b_q,$$  

(5)

since the latter commutes with $H$. In the following, the eigenvalues of $K_{tot}$ are labelled with $K$ and quasimomenta are dimensionless, i.e., expressed in units of the inverse lattice period. In particular, use is made of periodic boundary conditions, with $N$ permissible quasimomenta in the Brillouin zone given by $k_n = 2\pi n/N$, where $n = -N/2 + 1, \ldots, N/2$ ($N$ is assumed to be even).

By switching to momentum space, the e-ph coupling Hamiltonian of Eq. (1) can be recast in the generic form

$$H_{e-ph} = N^{-1/2} \sum_{k,q} \gamma_{e-ph}(k,q) c_{k+q}^\dagger c_k b_{-q}^\dagger + b_q,$$  

(6)

where its corresponding vertex function is given by

$$\gamma_{e-ph}(k,q) = 2ig \omega_{ph} \left[ \sin k - \sin(k + q) \right].$$  

(7)
Because the latter depends both on \( k \) and \( q \), the Peierls-coupling term in Eq. (1) does not satisfy the conditions for the applicability of the Gerlach-Löwen theorem\(^\text{[44]}\).

Ground-state properties of small polarons are customarily discussed in terms of an effective coupling strength. For the most general (momentum-dependent) vertex function \( \gamma_{\text{e-ph}}(k,q) \), the effective coupling strength is defined as \( \lambda_{\text{eff}} = \langle \gamma_{\text{e-ph}}(k,q) \rangle^2 / 2t_0 \omega_{\text{ph}} \), where \( \langle \cdots \rangle_{\text{BZ}} \) stands for the Brillouin-zone average. For \( \gamma_{\text{e-ph}}(k,q) \) given by Eq. (7), this reduces to \( \lambda_{\text{eff}} \equiv 2g^2 \omega_{\text{ph}}/e_s \). In particular, the ground state of the Hamiltonian (1) with Peierls-type coupling undergoes a sharp level-crossing-type transition (i.e., first-order nonanalyticity) at a critical value \( \lambda_{\text{eff}}^c \sim 1 \) of \( \lambda_{\text{eff}} \).\(^{44,49}\) For \( \lambda_{\text{eff}} < \lambda_{\text{eff}}^c \) the ground state is the (nondegenerate) \( \lambda \) while for \( \lambda_{\text{eff}} \geq \lambda_{\text{eff}}^c \) it is twofold-degenerate and corresponds to a symmetric pair of nonzero quasimomenta \( K = \pm K_{gs} \). Upon increasing \( \lambda_{\text{eff}} \) beyond its critical value, \( K_{gs} \) also changes – which is reflected in the ground-state energy as a sequence of further first-order nonanalyticities – and saturates at \( K_{gs} = \pi/2 \) for a sufficiently large \( \lambda_{\text{eff}} \). Importantly, both \( \lambda_{\text{eff}}^c \) and the values of \( \lambda_{\text{eff}} \) that correspond to the latter nonanalyticities are not universal, being dependent on the adiabaticity ratio \( \omega_{\text{ph}}/t_0 \).

It is worthwhile to mention that a similar sharp transition was found\(^{47,50}\) in a model where Peierls-type coupling is complemented by e-ph interaction of the breathing-mode type\(^{26}\). It is important to stress that a dependence on both the excitation and phonon quasimomenta \( (k,q) \) is not a sufficient condition for the existence of a ground-state nonanalyticity; a counterexample is furnished, e.g., by the Edwards model\(^{36,47,49}\).

### B. Computational methodology

The ground-state properties of the e-ph system at hand are here computed using the conventional Lanczos diagonalization method for sparse matrices\(^{49,50}\), combined with a controlled truncation of the (otherwise infinite-dimensional) phonon Hilbert space.

The Hilbert space of the e-ph system is spanned by states of the form \( |n\rangle_e \otimes |m\rangle_{\text{ph}} \), where \( |n\rangle_e \equiv c_n^\dagger |0\rangle_e \) represents an excitation localized at site \( n \), \( |m\rangle_{\text{ph}} \equiv (m_1, \ldots, m_N) \) is the set of phonon occupation numbers, and \( |m\rangle_{\text{ph}} = \prod_{i=1}^N (1/\sqrt{m_i}) b_i^{m_i} |0\rangle_{\text{ph}} \) (here \( |0\rangle_e \) and \( |0\rangle_{\text{ph}} \) are the excitation and phonon vacuum states, respectively). With the restriction to a truncated phonon space comprising states with at most \( M \) phonons, all \( m \)-phonon states with \( 0 \leq m_i \leq m \) are included, where \( m = \sum_{i=1}^N m_i \leq M \). The dimension of the total Hilbert space is given by \( D = D_c \times D_{\text{ph}} \), where \( D_c = N \) and \( D_{\text{ph}} = (M+N)!/(M!N!) \). A generic state in this Hilbert space is given by

\[
|\psi\rangle = \sum_{n,m} C_{n,m} |n\rangle_e \otimes |m\rangle_{\text{ph}},
\]

where the information about the phonon content of this state is contained in the coefficients \( C_{n,m} \).

The truncation of the phonon Hilbert space follows a well-established procedure in which the system size \( (N) \) and maximum number of phonons retained \( (M) \) are gradually increased until the convergence for the ground-state energy and phonon distribution is reached\(^{12}\). The convergence criterion adopted here is that the relative error in these quantities upon further increase of \( N \) and \( M \) is not larger than \( 10^{-4} \). While for Holstein-type coupling the system size is practically inconsequential\(^{26}\), this is not the case for the nonlocal Peierls-type coupling investigated here. In particular, the stated criterion is here satisfied for a system with \( N = 6 \) sites and \( M = 8 \) phonons, the values adopted in the following.

### III. ENTANGLEMENT SPECTRUM

To set the stage for further discussion, the concept of entanglement spectra for bipartite quantum systems is briefly introduced here, complemented by its specific application to the coupled e-ph system under consideration. To begin with, a reminder is presented about some basic aspects of entanglement in bipartite systems, including the definition of von Neumann entanglement entropy (Sec. III A). The most general features of entanglement spectra, exemplified by their intimate connection to the Schmidt decomposition\(^{36,51,52}\), are then briefly reviewed (Sec. III B). Finally, Sec. III C is devoted to general considerations on labeling the entanglement-spectrum eigenvalues with quantum numbers of certain symmetry-related observables, as well as their concrete use in the coupled e-ph system at hand.

#### A. Bipartite systems, entanglement entropy, and application to the coupled e-ph system

The Hilbert space of a quantum system that can be divided up into two subsystems \( A \) and \( B \) has the form of a tensor product \( \mathcal{H} = \mathcal{H}_A \otimes \mathcal{H}_B \) of the component spaces. In what follows the respective dimensions of \( \mathcal{H}_A \) and \( \mathcal{H}_B \) will be denoted by \( d_A \) and \( d_B \).

In a pure state \( |\Psi\rangle \) – not necessarily normalized – the density matrix of the full system is given by

\[
\rho = \frac{|\Psi\rangle \langle \Psi|}{\langle \Psi|\Psi\rangle}. \tag{9}
\]

The reduced (marginal) density matrix \( \rho_A \) of the subsystem \( A \) is obtained by tracing \( \rho \) over the degrees of freedom of the subsystem \( B \): \( \rho_A = \text{Tr}_B \rho \). The von Neumann (entanglement) entropy, defined as

\[
S_E = -\text{Tr}_A (\rho_A \ln \rho_A), \tag{10}
\]

describes the quantum correlations in the state \( |\Psi\rangle \). Note that \( S_E = -\text{Tr}_A (\rho_A \ln \rho_A) = -\text{Tr}_B (\rho_B \ln \rho_B) \), where the reduced density matrix \( \rho_B \) is obtained by tracing over the degrees of freedom of the subsystem \( A \).
In accordance with general relation in Eq. (10), the density matrix corresponding to the ground state $|\psi_{gs}\rangle$ of the coupled e-ph system ($A \rightarrow e$, $B \rightarrow ph$) with the tensor-product Hilbert space $\mathcal{H} = \mathcal{H}_e \otimes \mathcal{H}_{ph}$ is given by

$$\rho_{e-ph} = \frac{|\psi_{gs}\rangle\langle \psi_{gs}|}{\langle \psi_{gs}|\psi_{gs}\rangle}.$$  

(11)

The reduced excitation density matrix is then given by

$$\rho_e = \text{Tr}_{\text{ph}}(\rho_{e-ph}),$$  

(12)

and the ground-state entanglement entropy $S_{gs}$ of the system is defined as

$$S_{gs} = -\text{Tr}_e(\rho_e \ln \rho_e).$$  

(13)

\section*{B. Entanglement spectrum: generalities}

Let $\{|s_A\rangle, s_A = 1, \ldots, d_A\}$ and $\{|s_B\rangle, s_B = 1, \ldots, d_B\}$ be orthonormal bases in the component spaces $\mathcal{H}_A$ and $\mathcal{H}_B$ of the above bipartite system. A generic pure quantum state $|\Psi\rangle$ of the bipartite system can be decomposed in the orthonormal bases $\{|s_A\rangle \otimes |s_B\rangle\}$, i.e., the tensor product of $\{|s_A\rangle\}$ and $\{|s_B\rangle\}$:

$$|\Psi\rangle = \sum_{s_A=1}^{d_A} \sum_{s_B=1}^{d_B} c_{s_A,s_B} |s_A\rangle \otimes |s_B\rangle.$$  

(14)

The coefficients $c_{s_A,s_B}$ in this last expansion can be thought of as the matrix elements of a (generically rectangular) matrix $M$, which will henceforth be referred to as the entanglement matrix. Through singular-value decomposition (SVD) this matrix can be recast as

$$M = UDV^\dagger,$$  

(15)

where $U$ is a matrix of dimension $d_A \times \min(d_A, d_B)$ that satisfies $U^\dagger U = 1$ and $V$ satisfies $VV^\dagger = 1$; $D$ is a diagonal square matrix of dimension $\min(d_A, d_B)$ where all entries – the singular values of the matrix $M$ – are non-negative and can be written as $\{e^{-\xi_\alpha/2}\}$, $\alpha = 1, \ldots, \min(d_A, d_B)$.

Using the above SVD of the entanglement matrix, one arrives at the Schmidt decomposition\textsuperscript{22}

$$|\Psi\rangle = \sum_{\alpha=1}^{\alpha_{\max}} e^{-\xi_\alpha/2} |\psi^\alpha_A\rangle \otimes |\psi^\alpha_B\rangle,$$  

(16)

where $\alpha_{\max} = \min(d_A, d_B)$ and

$$|\psi^\alpha_A\rangle = \sum_{s_A=1}^{d_A} U^\dagger_{\alpha,s_A} |s_A\rangle, \quad |\psi^\alpha_B\rangle = \sum_{s_B=1}^{d_B} V^\dagger_{\alpha,s_B} |s_B\rangle,$$  

(17)

are the singular vectors of the matrix $M$. The latter allow one to express the reduced density matrices as

$$\rho_A = \sum_{\alpha=1}^{\alpha_{\max}} e^{-\xi_\alpha} |\psi^\alpha_A\rangle \langle \psi^\alpha_A|,$$  

$$\rho_B = \sum_{\alpha=1}^{\alpha_{\max}} e^{-\xi_\alpha} |\psi^\alpha_B\rangle \langle \psi^\alpha_B|.$$  

(18)

Thus the joint spectrum of $\rho_A$ and $\rho_B$ can be obtained from the Schmidt decomposition of the state $|\Psi\rangle$ [cf. Eq. (1b)] (or, equivalently, from the SVD of the entanglement matrix) and is given by the set $\{e^{-\xi_\alpha}\}$ (i.e., squares of the above singular values). In particular, the entanglement spectrum corresponds to the set $\{\xi_\alpha\}$ of the negative logarithms of the joint eigenvalues of $\rho_A$ and $\rho_B$.

\section*{C. Symmetry-related considerations and application to the coupled e-ph system}

In what follows, it is shown that the entanglement-spectrum eigenvalues of the e-ph system can be labeled by the quantum number associated with the excitation quasimomentum operator, this being a special case of more general symmetry-related considerations.

Consider a Hermitian operator (observable) $O$ acting on the tensor-product Hilbert space $\mathcal{H} = \mathcal{H}_e \otimes \mathcal{H}_{ph}$ that can be decomposed as $O = O_A + O_B$, where $O_A$ acts only on $\mathcal{H}_A$ and $O_B$ only on $\mathcal{H}_B$. Assuming that the state $|\Psi\rangle$ is an eigenstate of $O$, it immediately follows that its corresponding density matrix $\rho$ commutes with $O$. Furthermore, $[O, \rho] = 0$ implies that $\text{Tr}_{\text{B}}[O, \rho] = \text{Tr}_{\text{B}}[O_A, \rho] + \text{Tr}_{\text{B}}[O_B, \rho] = 0$. By virtue of the fact that $\text{Tr}_{\text{B}}[O_B, \rho] = 0$, which can be verified by evaluating this last trace in the eigenbasis of the operator $O_B$, and

$$\text{Tr}_\mathcal{B}[O_A, \rho] = [O_A, \text{Tr}_\mathcal{B}\rho] = [O_A, \rho_A],$$  

(19)

one readily finds that $[O_A, \rho_A] = 0$. Therefore, one can simultaneously diagonalize $\rho_A$ and $O_A$, and label the entanglement-spectrum eigenvalues $\{\xi_\alpha\}$ according to the quantum number of $O_A$.

It is pertinent to apply these general symmetry-related considerations to the coupled e-ph system at hand, which possesses a discrete translational symmetry. Owing to this symmetry, mathematically expressed by $[H, K_{tot}] = 0$, the ground state $|\psi_{gs}\rangle$ of the system is an eigenstate of the operator $K_{tot}$ [cf. Eq. (1)]. This operator – the generator of discrete translations – plays the role of the observable $O$ in the above discussion. Namely, it can be decomposed as $K_{tot} = K_e + K_{ph}$, where $K_e = \sum_k e_k^\dagger e_k$ acts only on $\mathcal{H}_e$ and $K_{ph} = \sum_{q,b} \epsilon_b^\dagger b_q b_q^\dagger$ on $\mathcal{H}_{ph}$. Following the above general reasoning, one concludes that the operator $K_e$ commutes with the reduced density matrix $\rho_e$ corresponding to $|\psi_{gs}\rangle$ [cf. Eq. (12)]. Thus, the operators $K_e$ and $\rho_e$ can be diagonalized simultaneously and the entanglement-spectrum eigenvalues $\{\xi_1, \ldots, \xi_N\}$ can be labeled by the quantum number of $K_e$, i.e., they correspond to different quasimomenta in the Brillouin zone permissible by the periodic boundary conditions (cf. Sec. II A). In particular, the excitation-quasimomentum eigenvalue $K_e^\alpha \equiv \langle \xi_\alpha | K_e | \xi_\alpha \rangle$ corresponding to $\xi_\alpha$ ($\alpha = 1, \ldots, N$) is given by Eq. (1b) in Appendix A.
IV. RESULTS AND DISCUSSION

The strategy employed here to analyze the coupled e-ph system entails the following steps. After the ground-state vector \(|\psi_{gs}\rangle\) – represented by the coefficients \(C_{n,m}^{gs}\) [cf. Eq. (8)] – is obtained through Lanczos diagonalization of the e-ph Hamiltonian (1) for each value of \(\lambda_{\text{eff}}\) in the chosen range \([0, 4]\), the reduced density matrix is determined with the aid of Eqs. (11) and (12). Its matrix elements \((\rho_e)_{nn'} (n, n' = 1, \ldots, N)\) are given by

\[
(\rho_e)_{nn'} = \frac{\sum_{m} C_{n,m}^{gs} C_{n',m}^{gs*}}{\sum_{p=1}^{N} \sum_{m} |C_{p,m}^{gs}|^2}.
\]  

(20)

The entanglement-spectrum eigenvalues and their associated eigenvectors are then obtained by simply solving the \((N \times N)\)-dimensional eigenproblem of \(\rho_e\).

In what follows, the entire range of e-ph coupling strengths is analyzed – from the weak-coupling regime characterized by a weakly-dressed (quasi-free) excitation to the strong-coupling regime with a heavily-dressed one (small polaron). The analysis was repeated for different values of the adiabaticity ratio, covering the adiabatic \((\omega_{\text{ph}}/t_e < 1)\) and antiadiabatic \((\omega_{\text{ph}}/t_e > 1)\) regimes, as well as the intermediate case \((\omega_{\text{ph}}/t_e = 1)\).

Before embarking on the analysis of the ground-state entanglement spectrum of the system it is instructive to discuss its corresponding entanglement entropy \(S_{gs}\) [cf. Eq. (13)]. In Fig. 1 this quantity is depicted for three different values of the adiabaticity ratio and clearly shows a first-order nonanalyticity at a critical value \(\lambda_{c_{\text{eff}}}\) of \(\lambda_{\text{eff}}\). This critical value decreases – albeit rather slowly – with \(\omega_{\text{ph}}/t_e\). Beyond this critical value, the entanglement entropy grows monotonically and for a sufficiently large coupling strength saturates at the value ln \(N\) characteristic of maximally-entangled states; for \(N = 6\), this maximal value is \(S_{gs}^{\text{max}} \approx 1.79\) [cf. Fig. 1].

The numerically-obtained entanglement spectrum has the same qualitative structure for all values of the adiabaticity ratios, which appears to be consistent with the previously established general conclusion that the gross features of small polarons in the presence of Peierls-type coupling are for the most part insensitive to the value of \(\omega_{\text{ph}}/t_e\). Its corresponding eigenvalues, i.e., their dependence on \(\lambda_{\text{eff}}\), are depicted in Figs. 2 (\(\alpha = 1, 2\), and \(3\)) and 3 (\(\alpha = 4, 5, 6\)) for all three relevant regimes. While the nonanalytic behavior is manifested in all six eigenvalues, what is noticeable from Figs. 2 and 3 is that this nonanalyticity is much more pronounced in the three eigenvalues shown in Fig. 2 than in those displayed in Fig. 3.

Importantly, from Fig. 2 it can be inferred that the beha-
behavior of the ground-state entanglement entropy $S_{gs} = \sum_{\alpha=1}^{6} \xi_\alpha e^{-\xi_\alpha}$ [displayed in Fig. 4] – especially for $\lambda_{eff} \geq \lambda_{eff}^c$ – is determined almost entirely by that of its smallest eigenvalue ($\alpha = 1$), i.e., the ground state of the entanglement Hamiltonian [cf. Fig. 2(a)]. Namely, the remaining five eigenvalues – especially those corresponding to $\alpha = 2$ and $\alpha = 4$, depicted in Figs. 2(b) and 3(b), respectively – have a rather weak dependence on $\lambda_{eff}$ beyond the critical coupling strength, thus giving nearly constant contributions to $S_{gs}$ for $\lambda_{eff} \geq \lambda_{eff}^c$. Another feature that sets the $\alpha = 1$ eigenvalue apart is that it is the only one which monotonously increases with $\lambda_{eff}$ below $\lambda_{eff}^c$, with all the other eigenvalues showing fairly similar decreasing behavior for $\lambda_{eff} < \lambda_{eff}^c$. Interestingly, not only that the $\lambda_{eff}$-dependence of its corresponding contribution $S_{\alpha=1} \equiv \xi_{\alpha=1} e^{-\xi_{\alpha=1}}$ (cf. Fig. 4) mimics the behavior of the total ground-state entanglement entropy $S_{gs}$, but this entanglement-spectrum eigenvalue itself also shows a very similar dependence on $\lambda_{eff}$ as $S_{\alpha=1}$ and $S_{gs}$.

As regards the relative importance of different entanglement-spectrum eigenvalues, a useful insight can be gleaned by evaluating the relative contributions $S_{\alpha}/S_{gs}$ of those eigenvalues to the total entanglement entropy at different coupling strengths. The actual calculation shows that the eigenvalues $\alpha = 1, 4,$ and $5$ give much larger contributions to $S_{gs}$ than the remaining ones. To be more specific, they account for around 80% of $S_{gs}$, with their maximal contributions being attained in the vicinity of the critical coupling strength. Their individual relative contributions, depicted in Fig. 5, are completely independent of the adiabaticity ratio (hence the value of $\omega_{ph}/t_e$ is not indicated in the plot).

As discussed in Sec. III C, resulting from the presence

![FIG. 3: Entanglement-spectrum eigenvalue $\xi_{\alpha}$ in the ground state of a system of size $N = 6$ as a function of the effective coupling strength: (a) $\alpha = 4$, (b) $\alpha = 5$, and (c) $\alpha = 6$.](image1)

![FIG. 4: Contribution $S_{\alpha=1} \equiv \xi_{\alpha=1} e^{-\xi_{\alpha=1}}$ of the $\alpha = 1$ entanglement-spectrum eigenvalue to the ground-state entanglement entropy $S_{gs}$.](image2)

![FIG. 5: Relative contributions $S_{\alpha}/S_{gs}$ of the entanglement-spectrum eigenvalues $\alpha = 1, 4, 5$ to the total ground-state entanglement entropy (independent of the adiabaticity ratio).](image3)
of a discrete translational symmetry is the possibility to label the entanglement-spectrum eigenvalues by the quantum number of the excitation-quasimomentum operator $K_e$; its values are the quasimomenta $k_n$ in the Brillouin zone permitted by the periodic boundary conditions. Based on the expression given by Eq. (A6) in Appendix A it is straightforward to determine the quasimomenta associated to different eigenvalues $\xi_\alpha$.

The actual calculation shows that for $\omega_{ph}/t_e \geq 1$ (i.e., in the antiadiabatic and intermediate cases) one eigenvalue, more precisely $\alpha = 3$, corresponds to the quasimomentum $\pi$ at all coupling strengths, while the five remaining eigenvalues correspond to 0. This is illustrated in Fig. 6(a) for the special case $\omega_{ph}/t_e = 1$. The corresponding behavior for $\omega_{ph}/t_e < 1$, i.e., in the adiabatic regime, has an additional interesting feature. Namely, while in this regime there are eigenvalues corresponding to 0 and $\pi$ at all coupling strengths, one also finds cases where a specific eigenvalue corresponds to 0 in a certain interval of coupling strengths and to $\pi$ otherwise. For instance, Fig. 6(b) illustrates one such example for $\omega_{ph}/t_e = 0.5$, where for a certain coupling strength slightly below $\lambda_{eff} = 3$ – thus lying deeply in the strong e-ph coupling regime – such a transition occurs between the quasimomenta 0 and $\pi$ for the $\alpha = 3$ and $\alpha = 6$ entanglement-spectrum eigenvalues.

The occurrence of this last generalized transition in the adiabatic regime seems to provide a differentiation between the e-ph entanglement pattern in this regime and the other regimes (antiadiabatic, intermediate). This can be linked to the fact that the latter transition takes place at a coupling strength for which maximally-entangled small-polaron states are still not reached in the adiabatic case, unlike in the other two cases [cf. Fig. 1].

An immediate question is whether a concrete physical meaning can be attributed to it, this being related to the much more general issue as to how universal is the entanglement spectrum. In Ref. 4, for instance, based on several physical examples it was argued that the entanglement Hamiltonian of a physical system may undergo transitions in which its ground state and low-energy spectrum exhibit singular changes, even when the system actually remains in the same phase. In other words, the entanglement spectrum may exhibit spurious quantum phase transitions that do not have any genuine physical counterpart, a property that it seems to share with the less general concept of entanglement entropy. While this general issue was previously discussed in connection with broken-symmetry or topological phases of many-body systems, here it comes up in the qualitatively different context of small-polaron states that do not spontaneously break the discrete translational symmetry of the underlying excitation-phonon Hamiltonian.

V. SUMMARY AND CONCLUSIONS

To summarize, in this paper the onset of nonanalytic behavior of ground-state-related properties in models with strongly momentum-dependent excitation-phonon coupling was investigated from the point of view of the underlying entanglement spectrum. This was accomplished through a case study of a lattice model with Peierls-type coupling whose entanglement spectrum was obtained in a numerically-exact fashion. The accompanying analysis was carried out in the full range of the relevant effective excitation-phonon coupling strength – from weak- (quasifree excitation) to strong coupling (heavily-dressed excitation, i.e., small polaron) – and in different regimes of the adiabaticity ratio.

The main finding of the present work is that the dependence of the ground-state entanglement entropy on the excitation-phonon coupling strength – and, in particular, the first-order nonanalyticity that it shows at the critical coupling strength – chiefly originates from the smallest entanglement-spectrum eigenvalue. Another nontrivial conclusion drawn is that this particular eigenvalue shows a very similar dependence on the effective coupling strength as the entanglement entropy itself. In addition, as a special case of quite general symmetry-related arguments it was demonstrated that the discrete translational symmetry of the system implies that the entanglement-spectrum eigenvalues can be labeled by the bare-excitation quasimomentum quantum number. Finally, it was shown numerically that these eigenvalues...
are predominantly associated to quasimomenta 0 and π. Interestingly, it was also found that in particular in the adiabatic regime a generalized transition between these two quasimomenta – for specific entanglement-spectrum eigenvalues – takes place deeply in the strong-coupling regime. This feature sets apart the adiabatic regime from the two other relevant regimes.

The present work extends the range of applications of the concept of entanglement spectrum to polaronic systems. Generally speaking, what makes the ground-state nonanalyticities in models of the kind investigated here particularly appealing is that they take place in a system of finite size and are thus amenable to a rigorous numerical analysis. It would be interesting to test the generality of the conclusions drawn here in a future work by studying other models with strongly momentum-dependent excitation-phonon coupling whose ground states show a similar nonanalytic behavior. Furthermore, the local (single-qubit) addressability of the previously proposed analog quantum simulators of these models, particularly appealing is that they take place in a system of finite size and are thus amenable to a rigorous numerical analysis.

Acknowledgments

The author acknowledges useful discussions with G. Alber and I. Salom. This research was supported by the Deutsche Forschungsgemeinschaft (DFG) as part of the project S4 within CRC 1119 CROSSING.

Appendix A: Derivation of the expression for \( \langle \xi_\alpha | K_e | \xi_\alpha \rangle \)

To begin with, it is worthwhile noting that the expectation value of the operator \( K_e \) with respect to the entanglement-spectrum eigenvector (i.e., entanglement-Hamiltonian eigenstate) \( |\xi_\alpha\rangle \) (\( \alpha = 1, \ldots, N \))

\[
\langle \xi_\alpha | K_e | \xi_\alpha \rangle = \sum_k k \langle \xi_\alpha | c_k^* c_k | \xi_\alpha \rangle \quad (A1)
\]

can be rewritten as

\[
\langle \xi_\alpha | K_e | \xi_\alpha \rangle = \sum_k k \parallel c_k | \xi_\alpha \rangle \parallel^2, \quad (A2)
\]

where \( \parallel \ldots \parallel \) stands for the norm of a vector. On the other hand, the eigenvector \( |\xi_\alpha\rangle \) can be expanded in the basis of the \( N \)-dimensional excitation Hilbert space \( \mathcal{H}_e \)

\[
|\xi_\alpha\rangle = \sum_{n=1}^{N} \xi_{\alpha,n} |n\rangle_e \equiv \sum_{n=1}^{N} \xi_{\alpha,n} c_n^\dagger |0\rangle_e, \quad (A3)
\]

where \( \xi_{\alpha,n} = \langle n | \xi_\alpha \rangle \) is the projection of \( |\xi_\alpha\rangle \) onto \( |n\rangle_e \).

By Fourier transforming the momentum-space operator \( c_k \) in Eq. \( (A2) \) back to real space and noting that \( c_n c_n^\dagger |0\rangle_e \equiv \delta_{n,n'} |0\rangle_e \), one readily obtains

\[
c_k |\xi_\alpha\rangle = \frac{1}{\sqrt{N}} \sum_{l=1}^{N} e^{ikl} \xi_{\alpha,l} |0\rangle_e. \quad (A4)
\]

It immediately follows that

\[
\parallel c_k |\xi_\alpha\rangle \parallel^2 = \frac{1}{N} \sum_{l,l'=1}^{N} e^{ik(l-l')} \xi_{\alpha,l}^* \xi_{\alpha,l'} \quad (A5)
\]

and, finally, by reinserting this last result into Eq. \( (A2) \),

\[
\langle \xi_\alpha | K_e | \xi_\alpha \rangle = \frac{1}{N} \sum_k \left[ \sum_{l,l'=1}^{N} e^{ik(l-l')} \xi_{\alpha,l}^* \xi_{\alpha,l'} \right]. \quad (A6)
\]

From this last expression the quasimomenta corresponding to different entanglement-spectrum eigenvalues \( \xi_\alpha \) can easily be determined numerically, using the previously obtained components \( \xi_{\alpha,l} \) of their corresponding eigenvectors \( |\xi_\alpha\rangle \) and carrying out the \( k \) summation over the \( N \) permissible quasimomenta in the Brillouin zone (cf. Sec. II.A).

---

1 For a review, see N. Laflorencie, Phys. Rep. 646, 1 (2016).
2 For an extensive review, see L. Amico, R. Fazio, A. Osterloh, and V. Vedral, Rev. Mod. Phys. 80, 517 (2008).
3 H. Li and F. D. M. Haldane, Phys. Rev. Lett. 101, 010504 (2008).
4 A. Chandran, V. Khemani, and S. Sondhi, Phys. Rev. Lett. 113, 060501 (2014).
5 See, e.g., A. Wehrl, Rev. Mod. Phys. 50, 221 (1978).
6 M. Hayashi, A Group Theoretic Approach to Quantum Information (Springer, Berlin, 2017).
7 F. Pollmann, E. Berg, A. M. Turner, and M. Oshikawa, Phys. Rev. B 81, 064439 (2010).
8 R. Thomale, A. Sterdyniak, N. Regnault, and B. A. Bernevig, Phys. Rev. Lett. 104, 180502 (2010).
9 D. Poilblanc, Phys. Rev. Lett. 105, 077202 (2010).
10 A. M. Läuchli and J. Schliemann, Phys. Rev. B 85, 054403 (2012).
11 L. Fidkowski, Phys. Rev. Lett. 104, 130502 (2010).
12 J. Schliemann, Phys. Rev. B 83, 115322 (2011).
13 X. Deng and L. Santos, Phys. Rev. B 84, 085138 (2011).
14 S. Ejima, F. Lange, and H. Fehske, Phys. Rev. Lett. 113, 020401 (2014).
15 F. Parisen Toldin and F. F. Assaad, Phys. Rev. Lett. 121, 200602 (2018).
16 Z. Huang and D. P. Arovas, Phys. Rev. B 86, 245109.
