Is executive dysfunction a risk marker or consequence of psychopathology? A test of executive function as a prospective predictor and outcome of general psychopathology in the adolescent brain cognitive development study

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ABSTRACT

A general psychopathology ('p') factor captures shared variation across mental disorders. One hypothesis is that poor executive function (EF) contributes to p. Although EF is related to p concurrently, it is unclear whether EF predicts or is a consequence of p. For the first time, we examined prospective relations between EF and p in 9845 preadolescents (aged 9–12) from the Adolescent Brain Cognitive Development Study® longitudinally over two years. We identified higher-order factor models of psychopathology at baseline and one- and two-year follow-up waves. Consistent with previous research, a cross-sectional inverse relationship between EF and p emerged. Using residualized-change models, baseline EF prospectively predicted p factor scores two years later, controlling for prior p, sex, age, race/ethnicity, parental education, and family income. Baseline p factor scores also prospectively predicted change in EF two years later. Tests of specificity revealed that bi-directional prospective relations between EF and p were largely generalizable across externalizing, internalizing, neurodevelopmental, somatization, and detachment symptoms. EF consistently predicted change in externalizing and neurodevelopmental symptoms. These novel results suggest that executive dysfunction is both a risk marker and consequence of general psychopathology. EF may be a promising transdiagnostic intervention target to prevent the onset and maintenance of psychopathology.

1. Introduction

Executive functions (EF) consist of related, but separable, cognitive abilities that subserve goal-directed behavior, including inhibitory control, cognitive flexibility, and working memory (Miyake et al., 2000). EF skills are necessary for top-down regulation of thoughts, feelings, and actions and are associated with many aspects of daily functioning (Diamond, 2013). Not surprisingly, EF deficits are present in a wide range of mental disorders (Abramovitch et al., 2021; Snyder et al., 2015). Indeed, poor EF has been posited as a transdiagnostic cognitive deficit linked to psychopathology broadly (McTeague et al., 2016; Snyder et al., 2015; Zelazo, 2020).

Given the high rates of comorbidity across mental disorder categories (Kessler et al., 2005b), accumulating research has focused on transdiagnostic models of psychopathology. A general psychopathology ('p') factor (Caspi et al., 2014; Lahey et al., 2012) capturing shared variation across mental disorders has been identified in diverse samples (Caspi and Moffitt, 2018; Lahey et al., 2017) across the lifespan (Caspi et al., 2020). However, the meaning of the p factor is not yet clear. One hypothesis is that p broadly reflects a general liability to psychopathology; however, others have wondered whether the p factor reflects response biases or statistical artifact (e.g., Watts et al., 2020). Consistent with the evidence showing EF deficits in many forms of psychopathology, one conceptualization of the p factor is that it may partly reflect executive dysfunction as a common cause of all mental disorders. Accordingly, poorer EF has been associated with higher levels of the p factor in multiple studies of children (Cardenas-Iniguez et al., 2020; Huang-Pollock et al., 2017; Martel et al., 2017), adolescents (Bloemen et al., 2018; Castellanos-Ryan et al., 2016; Snyder et al., 2019a; Wade et al., 2019; White et al., 2017), and adults (Caspi et al., 2014; Romer

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The hypothesis that poor EF may contribute to the p factor is supported by neuroimaging and genetics research as well. Specifically, structural and functional neural abnormalities in frontoparietal, default mode, visual association, and cerebello-thalamo-cerebro-cortical circuits involved in cognitive control have been identified in trans-diagnostic meta-analyses (Goodkind et al., 2015; McTeague et al., 2017; Sha et al., 2019; Shanmugan et al., 2016) and in studies of the neural correlates of the p factor (Elliott et al., 2018; Karcher et al., 2020; Moberget et al., 2019; Romer et al., 2019, 2018; Sato et al., 2016; Snyder et al., 2017). Shared genetic influences on EF, cognitive function, and transdiagnostic psychopathology in youth twins also has been identified (Ainaes et al., 2018; Grotzinger et al., 2019; Harden et al., 2020).

However, much of this research was conducted using cross-sectional data, which, by design, cannot establish temporal precedence between EF and psychopathology. As EF skills are necessary for the regulation of thoughts, emotions, and behaviors, theory would suggest that EF deficits precede the onset of psychopathology. Although few in number, some longitudinal studies find poorer executive/cognitive functioning predicts subsequent symptoms (Campbell and von Stauffenberg, 2009; Cannon et al., 2006; Caspi et al., 2020; Kenny et al., 2019; Letkiewicz et al., 2014; Mac Giollaibhui et al., 2018; Nienad et al., 2003; Stange et al., 2016). Also, executive dysfunction has been found during remission (Snyder, 2013) and in unaffected family members of individuals with mental disorders (e.g., Bora et al., 2009), suggesting that EF may be an intermediate phenotype of psychopathology.

Alternatively, it is plausible that executive dysfunctions emerge as a consequence of psychopathology. This could occur because psychopathology during early development could impede the growth of EF (Brieant et al., 2020). Or EF and p may be concurrently related because mental disorder symptoms (e.g., anhedonia, poor sleep, ruminations, obsessions, psychosis, etc.) interfere with neurocognitive test performance. Recent cross-lagged panel studies found that after controlling for cross-sectional associations, EF did not predict later adolescent internalizing and externalizing psychopathology (Brieant et al., 2020; Donati et al., 2021). However, these studies did not examine relations with the p factor.

Of course, some combination of these hypothesized relations between EF and psychopathology may be true. EF may serve both as a risk marker and consequence of psychopathology, and contemporaneous associations between EF and psychopathology may be inflated by symptom-related interference on test performance. To test the hypothesis that EF is an early antecedent risk marker for the p factor, it is thus critical to establish the temporal order of relations between EF and psychopathology using a longitudinal design, and to control for prior symptomatology. Indeed, to the best of our knowledge, no study has prospectively predicted two-year increases in EF, and 4) relations between EF and psychopathology may be generalized across families of disorders.

2. Materials and methods

2.1. Participants

The ABCD sample consists of 11,875 children who participated in a major collaboration between 22 sites across the U.S. to investigate psychological and neurobiological development from preadolescence to early adulthood. Full recruitment details can be found elsewhere (Garavan et al., 2018). Exclusion criteria for children were limited to not being fluent in English, having a parent not fluent in English or Spanish, major medical or neurological conditions, gestational age <28 weeks or birthweight <1200 g, contraindications to MRI scanning, a history of traumatic brain injury, a current diagnosis of schizophrenia, moderate/severe autism spectrum disorder, intellectual disability, or alcohol/substance use disorder. Institutional review board approval was obtained for each site before data collection. All parents provided written informed consent and all children provided assent.

Demographic, clinical, and neuropsychological data were accessed from the National Institutes of Mental Health Data Archive (see Acknowledgments). The current study is based on 9856 unrelated children (randomly selecting one child per family when more than one participated; mean age in months = 118.85, SD = 7.40; 47.5 % females) from the ABCD 3.0 data release (DOI 10.15154/1519007), which included data collected between September 1, 2016 and February 15, 2020. In the 3.0 release, data were available from 100 %, 95 %, and 55 % of the baseline and one- and two-year follow-up samples, respectively, as data collection is ongoing. Participants with complete nonresponse on clinical and neurocognitive test data from each wave were excluded from analyses (Inclusion Ns: baseline N = 9845; one-year follow-up N = 9244; two-year follow-up N = 5332).

2.2. Measures

Full details on measures are presented in Supplementary Methods. Children and their parent/guardian completed assessments during in-person visits at the three waves.

2.2.1. Psychopathology

Child psychopathology at each wave was assessed with the Child Behavior Checklist (CBCL; age 6–18 form) (Achenbach, 2009). The CBCL is a parent rating scale consisting of 119 items describing child behaviors and emotions. Parents rate the extent to which that behavior was characteristic of their child over the past six months on a scale of 0 (“Not True (as far as you know”), 1 (“Somewhat or Sometimes True”), or 2 (“Very True or Often True”).

2.2.2. Executive function

The NIH Toolbox Cognition measures (http://www.nihtoolbox.org) (Weintraub et al., 2013) were administered to children on computer tablets monitored by an experimenter at baseline (Luciana et al., 2018). Uncorrected scores from tests of EF and processing speed were used including the Flanker Task (Fan et al., 2002), Dimensional Change Card Sort Task (Zelazo, 2006), List Sorting Working Memory Test (Tulsky et al., 2014), and Pattern Comparison Processing Speed Test (Carlozzi et al., 2015). None of the tests were administered at the one-year scores prospectively predicted EF scores two years later, controlling for baseline EF. Finally, we conducted tests of specificity to determine whether relations between EF and psychopathology were generalizable across externalizing, internalizing, neurodevelopmental, somatization, and detachment symptoms. We hypothesized that 1) EF and p would be inversely related cross-sectionally, 2) lower baseline EF would prospectively predict one- and two-year increases in p, 3) lower baseline p would prospectively predict two-year increases in EF, and 4) relations between EF and psychopathology would be generalized across families of disorders.

2.2.3. Executive function

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follow-up wave; the Flanker and Pattern Comparison Processing Speed tests were administered to participants at the two-year follow-up wave.

2.2.3. Demographic variables

Parents/guardians reported their child’s sex assigned at birth, age (in months), race, and ethnicity (Hispanic/Latino/a) at baseline. Race and ethnicity were dummy-coded into Black, Asian, Hispanic, and Other variables with Non-Hispanic Caucasian as the reference group. Parental education and total combined family income over the past twelve months were included as measures of socioeconomic status.

2.3. Statistical analysis

Our analyses were conducted in the following steps. First, we used CFA to fit measurement models of the structure of psychopathology and EF. Second, we examined the longitudinal measurement invariance of the psychopathology factors over the three waves to determine the stability of the factors over time. Third, factor scores were extracted from the measurement models using the standard regression method in Mplus. We tested factor score intercorrelations over the three waves to determine their reliability over time. Fourth, we used ordinary least squares (OLS) regression to examine the cross-sectional relations between EF and p factor scores at the baseline and two-year follow-up waves. Fifth, residualized change models were used to examine the prospective relations between EF and p factor scores over the two-year time period. Each of these analyses is described in detail below.

2.3.1. Confirmatory factor analyses

Higher-order and correlated factors models of the structure of psychopathology were fit at each of the three waves using CBCL items. We chose to test a higher-order over a bi-factor model because it has been identified in prior work using ABCD release 2.0 baseline data (Michelini et al., 2019) and recent research has shown that there are no meaningful differences between higher-order and bi-factor models in the ABCD baseline sample (Clark et al., 2020). We used the higher-order factor structure from Michelini et al. (2019), which identified five lower-order factors (externalizing, internalizing, neurodevelopmental, somatization, and detachment) and a higher-order p factor (Fig. 1). We also tested a correlated factors model of these five factors to examine specificity of relations with EF. Michelini et al. (2019) found that 31 CBCL items either did not load on any factor or were low frequency items (<0.5 % rated as 1 or 2). We excluded these items from our CFAs. They also found 10 CBCL items that cross-loaded on more than one factor, which we also excluded to create a more stringent criterion for identifying p. They created six composite scores of items that were highly correlated (r>0.75), which we retained in our analyses (see Supplementary Methods for details).

For the CFA of EF, we tested a one-factor model using the four baseline neurocognitive tests. As only the Flanker and Pattern Comparison Processing Speed tests were administered at the two-year follow-up wave, uncorrected scores from these tests were z-scored and averaged to obtain a measure of EF at baseline and two-year follow-up waves (Flanker and Pattern test scores are correlated: $r = 0.377$ at baseline; $r = 0.424$ at two-year follow-up). These mean EF test scores were used in regression and residualized change models including two-year follow-up data.

The CFAs were performed in Mplus version 8.4 (Muthen and Muthen, 1998) using the weighted least squares means and variance adjusted (WLSMV) algorithm. The WLSMV estimator is appropriate for categorical and nonmultivariate normal data and provides consistent estimates when data are missing at random with respect to covariates (Agapov and Muthen, 2010). Maximum likelihood estimation with robust standard errors (MLR) was used for the one-factor EF model. We assessed each model’s fit to the data using the chi-square value, comparative fit index (CFI), Tucker-Lewis index (TLI), and root-mean square error of approximation (RMSEA). Nonsignificant chi-square tests indicate good model fit; nonetheless, this test generally is overpowered in large sample sizes. CFI and TLI $> 0.90$ indicate adequate fit; RMSEA $< 0.08$ is considered acceptable (Kline, 2015). All CFAs were conducted with the full samples at each wave. CFAs also were conducted using only CBCL and EF test data from participants with data available in the two-year follow-up wave ($N = 5332$).

2.3.2. Measurement invariance and factor score intercorrelations over time

We examined the longitudinal measurement invariance of the psychopathology factors over the three waves to determine whether the factors are equivalently measured over time. We tested models of configural, metric, and scalar invariance. Configural invariance tests whether the same factor structure can adequately fit the data over time. To do this, we tested a model in which the CBCL items loaded on the same factor at each wave. Metric invariance tests whether the factor loadings are equivalent over time. If metric invariance holds, we can examine change in relative status of participants over time using residualized change models. We tested a model in which the factor loadings were equivalent across the three waves. Scalar invariance tests whether the factor loadings and intercepts are equivalent over time. As CBCL items were treated as ordinal and WLSMV estimation was employed, scalar invariance tests equivalence of response category thresholds rather than intercepts. Therefore, for this test, we equated both the factor loadings and thresholds of each CBCL item over the three waves. We used likelihood ratio testing to compare the fit of these three models (using “DIFFTEST” function in Mplus for WLSMV estimation), which tested whether adding additional equality constraints resulted in a significant decrement to model fit. We expected that scalar invariance would not hold given that research shows significant growth in psychopathology throughout youth development (Copeland et al., 2011; Kessler et al., 2005a; Solmi et al., 2021). In addition to the tests of longitudinal measurement invariance of the latent factors, we also examined correlations between the factor scores derived from these latent models as a test of reliability over the three waves.

2.3.3. Regression and residualized change models

First, we used OLS regression to examine cross-sectional relations between EF and p. P factor scores were regressed on EF factor scores at baseline and two-year follow-up waves. Second, we conducted two residualized change regression models of one- and two-year change in p factor scores. In the one-year change model, one-year follow-up p factor scores were regressed on baseline EF factor scores controlling for baseline p factor scores. In the two-year change model, two-year follow-up p factor scores were regressed on baseline EF factor scores controlling for baseline and one-year follow-up p factor scores. Third, we conducted a two-year residualized change model in which two-year follow-up mean EF test scores (i.e., mean of Flanker and Pattern Comparison tests) were regressed on baseline p factor scores controlling for baseline mean EF test scores.

We also tested putative specificity of relations between EF and psychopathology by examining relations between EF and the lower-order factors. Using the regression and residualized change models as described above, relations between EF factor scores and externalizing, internalizing, neurodevelopmental, somatization, and detachment factor scores from the correlated factors model were tested separately.

These analyses were conducted in Mplus using robust estimates (MLR). A random intercept for site was included in all models using the TYPE = TWOLEVEL command to account for nesting within site. Covariates included sex, age, and dummy-coded race/ethnicity. We

\[ \text{1 There were a few instances in which there was no within-site variation for the dummy-coded Black (site 22) and Asian variables (site 7) in regression or residualized change models. In these instances, either site 22 was removed from the analysis (N = 34) or the Asian participants were included in the Other race dummy-coded variable.} \]
corrected for multiple comparisons by using a false discovery rate (FDR) procedure ($q < 0.01$) (Benjamini and Hochberg, 1995).

2.3.4. Sensitivity analyses
Parental education and family income are markers of socioeconomic status, which may influence both EF and psychopathology. Therefore, we conducted sensitivity analyses with parental education and total combined family income included as additional covariates in the regression and residualized change models. We also wanted to ensure that results were not driven by differences between the EF factor scores used in cross-sectional and one-year change models and the mean of the Flanker and Pattern test scores used in two-year change models. We tested all regression and residualized change models using the mean of these EF test scores as additional sensitivity analyses.

3. Results

3.1. Descriptive statistics
Descriptive statistics for all study variables for the full baseline (N = 9845) and one- (N = 9244) and two-year follow-up samples (N = 5332) are summarized in Table 1. Baseline differences in all study variables between participants with available two-year follow-up data (N = 5332) versus those who did not have data available (N = 4513) were tested. The sample of participants with two-year follow-up data were significantly older, had greater proportion of Non-Hispanic White participants, and had higher family income compared to those without follow-up data. The table also shows the mean and standard deviation of CBCL items, Flanker, List, Card Sort, and Processing Speed tests for each group.

Table 1
Baseline Descriptive Statistics of All Study Variables and Differences between Participants with and without Two-Year Follow-Up Data.

| Measures                  | Baseline | Two-Year Wave Data Available | Two-Year Wave Data Unavailable | $X^2/t$ | P-value |
|---------------------------|----------|-------------------------------|-------------------------------|--------|---------|
| N                         | Full Baseline Sample | N Mean (SD) or % | N Mean (SD) or % | N Mean (SD) or % |        |
| Age (months)              | 9845     | 107 132 118.85 (7.40)        | 5332 119.34 (7.34)          |        | <0.001 |
| Sex (% Female)            | 9845     | 47.5 5332 46.8             | 4513 48.4                   |        | 2.71    |
| Non-Hispanic White (%)    | 9845     | 50.7 5332 55.3             | 4513 45.3                   |        | <0.001 |
| Black (%)                 | 9845     | 18.1 5332 14.0            | 4513 12.7                   |        | 128.92  |
| Asian (%)                 | 9845     | 5.0 5332 12.1             | 4513 11.8                   |        | 0.03    |
| Hispanic (%)              | 9845     | 11.9 5332 12.1            | 4513 11.8                   |        | 0.19    |
| Other (%)                 | 9845     | 14.2 5332 13.5            | 4513 11.8                   |        | 1.38    |
| Parent Education (%)      | 9831     | 1.5 5324 1.2             | 4507 1.2                    |        | 1.8     |
| No HS                     |          |                          |                              |        |         |
| Some HS                   |          | 5.4 4.4                  |                              |        |         |
| HS Grad                   |          | 10.8 9.2                 |                              |        |         |
| Some College              |          | 16.5 16.1                |                              |        | <0.001 |
| Associate’s               |          | 12.9 12.7                |                              |        |         |
| Bachelor’s                |          | 27.5 30.0                |                              |        |         |
| Master’s                  |          | 22.1 22.9                |                              |        |         |
| Doctoral                  |          | 3.3 3.4                  |                              |        |         |
| Family Income (%)         | 8980     | 4.0 4930 2.8             | 4050 3.4                    |        | <0.001 |
| <$5000                    |          |                          |                              |        |         |
| $5000–$11,999             |          | 4.1 3.4                  |                              |        | 4.8     |
| $12,000–$15,999           |          | 2.7 2.3                  |                              |        | 3.2     |
| $16,000–$24,999           |          | 5.0 4.2                  |                              |        | 5.9     |
| $25,000–$34,999           |          | 6.3 6.2                  |                              |        | <0.001 |
| $35,000–$49,999           |          | 8.6 8.7                  |                              |        | 8.5     |
| $50,000–$74,999           |          | 13.6 13.9                |                              |        | 13.3    |
| $75,000–$99,999           |          | 14.5 15.7                |                              |        | 15.0    |
| $100,000–$199,999         |          | 30.0 30.7                |                              |        | 20.0    |
| $200,000                   |          | 11.3 12.0                |                              |        | 10.5    |
| Mean CBCL items (z)       | 9845     | 48.92 2.92              | 5332 0.00 (0.39)            | 4513   | <0.001 |
| Mean Flanker (z)          | 9721     | 4.46 2.40              | 5282 0.07 (0.96)            | 4439   | <0.001 |
| Mean List (z)             | 9722     | 5.00 3.24              | 5272 0.08 (0.95)            | 4419   | <0.001 |
| Mean Card Sort (z)        | 9722     | 4.44 2.88              | 5284 0.07 (0.97)            | 4438   | <0.001 |
| Mean Processing Speed (z) | 9704     | 3.55 3.56              | 5276 0.04 (0.99)            | 4428   | <0.001 |

Note. Comparisons were made by chi-square tests for categorical variables and independent samples t-tests for continuous variables. P-values are unadjusted; p-values that survived FDR correction for the 14 tests ($q < 0.01$) are indicated in bold. Slight variations in Ns reflect missing data in some variables. HS = High School.
smaller proportion of Black participants, had higher parental education and family income, and better performance on the four EF tests than participants without two-year follow-up data. To account for these differences, age and race/ethnicity (and sex) were included as covariates in all analyses; parental education and family income were included in sensitivity analyses.

3.2. Confirmatory factor analyses

The one-factor model of EF fit the data well (Fig. 2). For the higher-order and correlated factors models, we removed eight CBCL items that were either cross-loading, did not have enough variability, or resulted in a non-positive definite solution at one or more wave (60 items and composites remained). The models fit the data adequately at each wave (Table 2). Loadings were positive and statistically significant (p < 0.001). Goodness of fit and factor loadings were highly similar between models including the full (N=9845 for baseline; N=9244 for one-year follow-up) compared to the reduced sample (N=5332) (see Supplementary Tables 1–4). Factor scores extracted from baseline models with the full and reduced samples were entirely correlated (r = 1.0); therefore, we used factor scores estimated from models using the full sample at each wave. Descriptive statistics of the factor scores and bivariate correlations with covariates are shown in Supplementary Tables 5 and 6, respectively (see Supplementary Figs. 1–3 for factor score histograms and Supplementary Results for details).

3.3. Measurement invariance and factor score reliability over time

Conducting a direct test of longitudinal measurement invariance of the higher-order model did not converge using WLSMV estimation. Therefore, we tested the invariance of the lower-order psychopathology factors in separate models (Table 3). Likelihood ratio testing showed that imposing metric invariance did not result in a significant decrement in model fit relative to configural invariance. These findings support the use of residualized change models to examine change in relative status of participants over time. At the same time, imposing scalar invariance did result in significantly worse fit relative to metric invariance, suggestive of changes in threshold intercepts over time.

In terms of measurement invariance of the p factor, Table 2 shows that the p factor loadings are highly similar across waves. To provide a test of metric invariance of p, we fixed p factor loadings in the one- and two-year follow-up models to be equivalent to the loadings from the baseline model and we compared model fit. Although not a formal test of metric invariance, fit statistics were highly similar between these models (Supplementary Table 7), suggesting that equating the baseline p factor loadings to be the same in the follow-up waves did not worsen model fit. P factor scores were strongly correlated over time, suggesting high reliability over the waves (Table 4). The lower-order factors also were moderately to strongly correlated over time, with externalizing and neurodevelopmental factors showing the strongest correlations. The mean of the Flanker and Pattern Comparison tests at baseline and two-year follow-up waves also were moderately correlated (r = 0.519, p < 0.001).

3.4. Cross-sectional relations between EF and psychopathology

Consistent with prior research and our first hypothesis, EF factor scores were negatively concurrently related to p factor scores at both baseline and two-year follow-up waves (Table 5). EF factor scores also

Table 2
Model Fit Statistics, Standardized Loadings on p, and Factor Correlations from Confirmatory Factor Analysis Models of the Structure of Psychopathology at the Three Waves.

|                   | Baseline | One-Year Follow-Up | Two-Year Follow-Up |
|-------------------|----------|--------------------|--------------------|
| Higher-Order Model|          |                    |                    |
| \( \chi^2/df \)   | 18571.662/1705 | 17907.856/1705 | 10623.222/1705 |
| CFI               | 0.926    | 0.922              | 0.917              |
| TLI               | 0.923    | 0.919              | 0.914              |
| RMSEA [90 % CI]   | 0.032 [0.031, 0.032] | 0.032 [0.032, 0.032] | 0.031 [0.031, 0.032] |
| Standardized Loadings on p |          |                    |                    |
| EXT               | 0.838    | 0.832              | 0.831              |
| INT               | 0.797    | 0.776              | 0.775              |
| ND                | 0.934    | 0.937              | 0.935              |
| SOMAT             | 0.571    | 0.541              | 0.579              |
| DETACH            | 0.867    | 0.872              | 0.843              |
| Correlated Factors Model |        |                    |                    |
| \( \chi^2/df \)   | 18208.881/1700 | 17688.757/1700 | 10327.043/1700 |
| CFI               | 0.927    | 0.923              | 0.920              |
| TLI               | 0.925    | 0.920              | 0.916              |
| RMSEA [90 % CI]   | 0.031 [0.031, 0.032] | 0.032 [0.032, 0.032] | 0.031 [0.030, 0.031] |
| Factor Correlations|          |                    |                    |
| EXT ↔ INT         | 0.663    | 0.640              | 0.634              |
| EXT ↔ ND          | 0.798    | 0.796              | 0.801              |
| EXT ↔ SOMAT       | 0.457    | 0.427              | 0.461              |
| EXT ↔ DETACH      | 0.708    | 0.703              | 0.662              |
| INT ↔ ND          | 0.719    | 0.698              | 0.685              |
| INT ↔ SOMAT       | 0.563    | 0.523              | 0.557              |
| INT ↔ DETACH      | 0.713    | 0.715              | 0.721              |
| ND ↔ SOMAT        | 0.478    | 0.462              | 0.476              |
| ND ↔ DETACH       | 0.825    | 0.825              | 0.791              |
| SOMAT ↔ DETACH    | 0.516    | 0.485              | 0.517              |

Note. Model fit statistics, standardized loadings on the p factor, and factor intercorrelations are shown for the higher-order and correlated factors models, respectively (all p < 0.001). Ns = 9845, 9244, and 5332 for baseline and one- and two-year follow-up waves, respectively. \( \chi^2 \) = chi-square; df = degrees of freedom; CFI = Comparative Fit Index; TLI = Tucker Lewis Index; RMSEA = Root Mean Square Error of Approximation; CI = confidence interval; EXT = Externalizing; INT = Internalizing; ND = Neurodevelopmental; SOMAT = Somatization; DETACH = Detachment; ↔ = “correlates with.”.
were inversely related to the lower-order psychopathology factor scores at both waves, apart from somatization at the two-year follow-up wave, which was marginally inversely related to EF.

3.5. Does EF prospectively predict psychopathology?

The prospective relationship between baseline EF factor scores and one-year follow-up p factor scores did not reach the statistical significance threshold (FDR correction q < 0.01) (Table 6). However, baseline EF factor scores significantly prospectively predicted two-year follow-up p factor scores controlling for baseline and one-year follow-up p factor scores and covariates. Analyses of specificity showed that baseline EF factor scores negatively prospectively predicted one- and two-year change in externalizing and neurodevelopmental factor scores. Baseline EF factor scores negatively prospectively predicted two-year, but not one-year change in somatization and detachment factor scores. Neither one- or two-year change in internalizing factor scores were significantly predicted by baseline EF.

3.6. Does psychopathology prospectively predict EF?

Baseline p factor scores were significantly prospectively related to EF factor scores two years later, controlling for baseline EF factor scores and covariates (Table 7). Each of the lower-order psychopathology factors at baseline also were significant prospective predictors of change in EF factor scores.

3.7. Sensitivity analyses

Cross-sectional and prospective relations between EF and p factor scores persisted after controlling for parental education and family income (Supplementary Table 8). Relations between EF and the lower-order psychopathology factors also were unaffected by the inclusion of these variables. We found that results were similar when using the mean of the Flanker and Pattern Comparison Processing Speed tests instead of the EF factor scores in the regression and residualized change models (Supplementary Table 9).
Indeed, twin studies suggest that EF and p are common features of mental disorders (McLaughlin et al., 2020) and the p factor (Schaefer et al., 2018; Snyder et al., 2019a) leads to less flexibility in adapting to new situations, poorer conflict resolution, and engagement in maladaptive behaviors, each of which are consequences of general psychopathology in which early life adversity impacts the development of EF skills, which in turn predicts the development of future psychopathology. In the current study, we did not consider the role of early life stress on EF and p, although we did find that relations persisted after controlling for parental education and family income, two indicators of both a risk marker and consequence of general psychopathology, including neurodevelopmental and somatization pathology over time.

4. Discussion

In the ABCD study of preadolescents aged 9–12, we examined cross-sectional and prospective relations between EF and p over a two-year period. Consistent with prior research (Bloemen et al., 2018; Cardenas-Iniguez et al., 2020; Caspi et al., 2014; Castellanos-Ryan et al., 2016; Huang-Pollock et al., 2017; Martel et al., 2017; Snyder et al., 2019a; Wade et al., 2019; White et al., 2017), we found negative cross-sectional relationships between EF and p at the baseline (ages 9–10) and two-year follow-up waves (ages 11–12). Poorer EF was a prospective predictor of increases in general psychopathology over a two-year period. Higher EF factor scores at baseline also prospectively predicted two-year decreases in EF performance. These results suggest that executive dysfunction is a risk factor and consequence of general psychopathology, signifying a potentially complex interplay between EF and psychopathology over time.

How might executive dysfunction lead to the development of future psychopathology in youth? One theory (i.e., iterative reprocessing theory (IR)) (Cunningham and Zelazo, 2007; Zelazo, 2015) posits that situations involving novel, uncertain, or conflicting information require individuals to pause and reflect on their course of action. This reflection necessitates EF skills such as holding information in mind while simultaneously updating such information, inhibitory control over a pre-potent response, and the creation of new rules or switching between rules. Failure to pause and reflect on new information using EF skills leads to less flexibility in adapting to new situations, poorer conflict resolution, and engagement in maladaptive behaviors, each of which are common features of mental disorders. Indeed, twin studies suggest that there are shared genetic influences on EF and p (Alnaes et al., 2018; Grotzinger et al., 2019; Harden et al., 2020). Stress and early life adversity, common predictors of many forms of psychopathology (McLaughlin et al., 2020) and the p factor (Schaefe et al., 2018; Snyder et al., 2019b), also influence EF (Pechtel and Pizzagalli, 2011). Zelazo (2020) proposes a theory of transdiagnostic risk for general psychopathology in which early life adversity impacts the development of EF skills, which in turn predicts the development of future psychopathology. In the current study, we did not consider the role of early life stress on EF and p, although we did find that relations persisted after controlling for parental education and family income, two indicators of...
socioeconomic status. Nevertheless, it will be important to examine the role of early life stress on EF and psychopathology over time in future longitudinal research.

Why might higher levels of childhood p lead to future executive dysfunction? One explanation for this finding is that higher levels of p might hinder children’s ability to practice and develop EF skills. For example, mental disorder symptoms could reduce opportunities to develop EF skills (e.g., greater school absenteeism, less engagement in hobbies/activities) (Egger et al., 2003; Wood et al., 2012) or maladaptive cognitions (e.g., rumination, worries, obsessions, negative automatic thoughts), poorer attentional control, and greater symptom-related distress could overly tax cognitive resources leading to reduced ability to engage EF skills in daily life (i.e., the resource allocation hypothesis; Levens et al., 2009). We cannot rule out the possibility that p predicts future reductions in EF due to concurrent mental disorder symptoms interfering with neurocognitive test performance. However, our results are more consistent with the hypothesis that preadolescent p may impede growth of EF given that we controlled for the cross-sectional relationship between p and EF at baseline, which could control for the disruptive effects of p on EF testing. Recent longitudinal cross-lagged panel studies also found support for the prospective relation between childhood psychopathology and later decreases in adolescent EF (Briant et al., 2020; Donati et al., 2021).

The current findings also are consistent with recent neuroimaging research, which has found structural and functional alterations in frontoparietal, default mode, visual association cortex, and cerebellothalamo-cerebro-cortical neural circuits involved in executive control in those high in p (Elliott et al., 2018; Karcher et al., 2020; Moerget al., 2019; Romer et al., 2019, 2018; Romer and Pizzagalli, 2021; Sato et al., 2016). An important next step will be to determine whether structural and functional alterations in these neural circuits prospectively predict future general psychopathology and whether those relations are mediated by executive dysfunction.

Although the effect sizes of relations between EF and psychopathology factors were small, which could indicate low practical significance, we would argue that this is not the case. We examined the prospective relations between EF and p over a short time frame of two years. EF was found to be a marginal prospective predictor of change in p factor scores over a one-year period but a reliable predictor of change in p over two years, which suggests that the influence of executive dysfunction on future psychopathology may take longer than one year to manifest. We identified these EF deficits in children, prior to the onset of most mental disorders and during a period of extensive neurodevelopmental changes, particularly in neural circuits involved in cognitive control (Giedd et al., 1999), which could explain the small effect sizes in the ABCD sample of preadolescents.

One hypothesis from this work is that the effects of these early risk factors may compound on each other over time to ultimately increase comorbidity and severity of psychiatric symptoms throughout the lifespan. This hypothesis would be consistent with a dynamic mutualism theory, which posits that symptom comorbidity as captured by the p factor may increase over time, and was supported by a recent longitudinal study in children (McElroy et al., 2018). Further, our work would suggest a possible mechanism (i.e., executive dysfunction) through which this dynamic mutualism occurs. Indeed, using longitudinal data from a population-representative birth cohort, Caspi et al. (2020) found that poorer age 3 brain health prospectively predicted higher p factor scores at age 15 years, and that higher p factor scores were associated with greater cognitive decline from childhood to adulthood and older midlife brain age. Future research should examine the dynamic interplay between EF and general psychopathology over longer periods of time throughout youth development.

Tests of specificity revealed that the prospective relations of psychopathology factors with EF were generalizable across externalizing, internalizing, neurodevelopmental, somatization, and detachment symptoms. This suggests that executive dysfunction is a non-specific consequence of all forms of childhood psychopathology. This finding is consistent with recent research showing that signs of accelerated aging (Wertz et al., 2021) and microstructural abnormalities (Romer et al., 2020) related to the p factor are not specific to any particular disorder family. Alternatively, some families of disorders were more consistently prospectively predicted by poorer EF than others. Specifically, baseline EF only consistently prospectively predicted both one- and two-year change in externalizing and neurodevelopmental symptoms. Baseline EF predicted two-year change in detachment and somatization symptoms but did not significantly predict change in internalizing symptoms (although this effect size was similar to the size of relations with the other factors). One possibility is that rates of depression and social anxiety, core internalizing symptoms, typically onset in and rise markedly from adolescence through early adulthood (Avenevoli et al., 2015; Kessler et al., 2005a). EF may be more predictive of internalizing disorders as these youth are followed into adolescence.

In terms of the longitudinal measurement invariance of the psychopathology factors, we found that the externalizing, internalizing, neurodevelopmental, somatization, and detachment factors demonstrated metric (equal factor loadings over time) invariance over the three waves. The loadings on the p factor also were highly similar across waves, consistent with prior adult studies demonstrating longitudinal metric invariance of the p factor (Forbes et al., 2021; Gluschkoff et al., 2019). Alternatively, one study did not find evidence of metric invariance of p over time in a community sample of children aged 3–6 (Olimo et al., 2018). Correlations between factor scores over time generally were high (except for the somatization factor, for which correlations were moderate), suggesting that the transdiagnostic factors were reliable and relatively stable over time, consistent with prior research (Forbes et al., 2021; Gluschkoff et al., 2019; Murray et al., 2016).

Our study was limited in the following ways. First, there were only three waves of data available at the time of this analysis, and the third wave only had 55% of the sample data available (owing to the fact that data collection is ongoing). This limited our analysis approach to tests of linear changes in EF and psychopathology over time, as nonlinear models require at least four timepoints. Second, we also had to restrict our sample to youth with data available for all models examining two-year change, and there were significant differences in study variables between those with and without two-year follow-up data that could have influenced the results. However, fit statistics, factor loadings, and factor scores were highly similar between models identified using the full versus reduced samples. Third, our measures of EF were not comprehensive and were not measured at all three waves, which prevented from examining relations between psychopathology factors and specific facets of EF (i.e., shifting, working memory, inhibition) or from testing associations with EF at one-year follow-up. Fourth, our CFAs relied on parent reports of child symptoms, which could be subject to reporting biases. However, recent ABCD research showed that maternal psychopathology did not bias parent reporting of child psychopathology (Olimo et al., 2021).

Ultimately, this research points to EF as a potential transdiagnostic intervention target to prevent the onset and maintenance of psychopathology in youth, especially externalizing and neurodevelopmental disorders. Although EF interventions have improved EF task performance in children, particularly in those with marked EF deficits (Diamond, 2013), these improvements have not transferred to improved daily functioning or clinical symptoms (Rabipour and Raz, 2012). Many evidence-based psychotherapeutic interventions rely on EF skills to identify thoughts, feelings, and behaviors related to symptoms and engage in cognitive restructuring (e.g., cognitive behavioral therapy). Therefore, children with relatively poorer EF skills may benefit from additional EF skills-focused interventions prior to enrollment in psychotherapy. Further, given the prospective bidirectional relationship between EF and p, our findings suggest that interventions targeting mental disorder symptoms also could lead to improvements in EF.
5. Conclusions

This study’s results suggest that executive dysfunction is both a risk factor for and consequence of general psychopathology independent of sex, age, race/ethnicity, parental education, and family income. As this research was conducted in children, our findings indicate that EF may be an early risk marker for future psychopathology prior to the onset of the most mental disorders in adolescence. EF may be a promising early transdiagnostic treatment target to prevent the onset and maintenance of youth psychopathology. Interventions targeting early psychopathology may also improve subsequent EF performance.

Disclosures

Over the past 3 years, Dr. Pizzagalli has received consulting fees from Albright Stonebridge Group, BlackThorn Therapeutics, Boehringer Ingelheim, Compass Pathway, Concert Pharmaceuticals, Engrail Therapeutics, Neurocrine Biosciences, Otsuka Pharmaceuticals, and Takeda Pharmaceuticals; one honorarium from Alkermes, and research funding from NIMH, Dana Foundation, Brain and Behavior Research Foundation, and Millennium Pharmaceuticals. In addition, he has received stock options from BlackThorn Therapeutics. Dr. Romer has no financial disclosures, and there are no conflicts of interest with the work conducted in this study. All views expressed are solely those of the authors.

Data statement

The data used in this manuscript is available through the National Data Archives Database (DOI 10.15154/1521137).

CRediT authorship contribution statement

Adrienne L. Romer: Conceptualization, Formal analysis, Data curation, Writing - original draft, Visualization. Diego A. Pizzagalli: Resources, Writing - review & editing, Supervision.

Declaration of Competing Interest

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: Over the past 3 years, Dr. Pizzagalli has received consulting fees from Albright Stonebridge Group, BlackThorn Therapeutics, Boehringer Ingelheim, Compass Pathway, Concert Pharmaceuticals, Engrail Therapeutics, Neurocrine Biosciences, Otsuka Pharmaceuticals, and Takeda Pharmaceuticals; one honorarium from Alkermes, and research funding from NIMH, Dana Foundation, Brain and Behavior Research Foundation, and Millennium Pharmaceuticals. In addition, he has received stock options from BlackThorn Therapeutics. Dr. Romer has no financial disclosures, and there are no conflicts of interest with the work conducted in this study. All views expressed are solely those of the authors.

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Appendix A. Supplementary data

Supplementary material related to this article can be found, in the online version, at doi: https://doi.org/10.1016/j.dcn.2021.100994.

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