Fusion of fNIRS and fMRI data: identifying when and where hemodynamic signals are changing in human brains

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IN T R O D U C T I O N

Functional near infrared spectroscopy (fNIRS) offers unsurpassed high temporal resolution and provides quantitative hemodynamic information for both oxyhemoglobin (HbO2) and deoxyhemoglobin (HbR), which plays an important role in the in vivo study of cognitive processing in the human brain (Jobsis, 1977; Cope and Delpy, 1988; Hoshi, 2003; Singh et al., 2005; Huppert et al., 2009; Ye et al., 2009; Yuan et al., 2010a,b; Brunno et al., 2011; Eggetemeir et al., 2011; Gagnon et al., 2012; Yuan, in press). Similar to its fMRI counterpart, functional magnetic resonance imaging (fMRI) is also a non-invasive imaging method that measures the hemodynamic responses to even-related neural activity with excellent spatial resolution and low temporal resolution. To take advantages of the complementary information from these two imaging modalities, two broad methods for fNIRS and fMRI/fMRI integration have been developed for different clinical cases: (1) “spatial constraint,” in which spatial information from fMRI/MRI images are utilized to aid diffuse optical imaging based on fNIRS measurements (Carpenter et al., 2007; Ferradal et al., 2013); (2) “temporal correlation,” where fMRI bold signals are processed to generate the correlation with HbO2 and HbR concentration changes converted from fNIRS recordings (Cui et al., 2011; Tak et al., 2011; Gagnon et al., 2012). However, so far the linking between HbO2/HbR signals and fMRI spatial maps has not been extensively investigated, which represents one of the main challenges for fNIRS and fMRI fusion. Therefore, it is crucial for us to develop new imaging techniques to reveal the connections between these two measurements so that we are able to examine the dynamic interplay between space and time of hemodynamic responses with high spatiotemporal resolution.

In this study we implemented a new imaging method to fuse functional near infrared spectroscopy (fNIRS) measurements and functional magnetic resonance imaging (fMRI) data to reveal the spatiotemporal dynamics of the hemodynamic responses with high spatiotemporal resolution across the brain. We evaluated this method using multimodal data acquired from human right finger tapping tasks. And we found the proposed method is able to clearly identify from the linked components of fMRI and fNIRS where and when the hemodynamic signals are changing. In particular, the estimated associations between fNIRS and fMRI will be displayed as time varying spatial fMRI maps along with the fNIRS time courses. In addition, the joint components between fMRI and fNIRS are combined together to generate full spatiotemporal “snapshots” and movies, which provides an excellent way to examine the dynamic interplay between hemodynamic fNIRS and fMRI measurements.

METHODS

In terms of jICA, the joint spatial and temporal independences of fNIRS and fMRI are assumed to satisfy the following generative model for the data (Calhoun et al., 2006a,b),

\[
X^\text{NIRS} = AS^\text{NIRS}, \quad X^\text{fMRI} = AS^\text{fMRI}
\]

in which \(X^\text{NIRS}\) is the group data from the chromophore concentration change of HbO2 or HbR for \(n\) subjects/\(n\) sections of a single subject and \(X^\text{NIRS} = [x^\text{NIRS}_1, x^\text{NIRS}_2, \ldots x^\text{NIRS}_n]^T\), \(X^\text{fMRI}\) is the group data...
FIGURE 1 | (A) The data review for $\Delta$HbO2 measurements; (B) the data review for $\Delta$HbR measurements; (C) Channel configurations along the scalp for right finger tapping tasks; (D) the section averaged fMRI image (left side), $\Delta$HbO2 results (middle) and $\Delta$HbR results (right side). The axes (bottom middle and bottom right) illustrate the time scale, in ms, whereas the scale for the middle and right columns records chromophore concentrations in $\mu$M.
The fMRI maps are thresholded at separate panel in the figure: IC 2 movement noise (ICs 1 and 3). Correlated with finger tapping tasks [Independent components (ICs) 2, finger tapping tasks: three components were found to be significantly different from fMRI for n subjects/n sections of a single subject and $X_fMRI = [X_1^{fMRI}, X_2^{fMRI}, \ldots, X_n^{fMRI}]$, $S_fMRI$ are the fMRI sources and $S_{fNIRS} = [S_1^{fNIRS}, S_2^{fNIRS}, \ldots, S_n^{fNIRS}]^T$, and $S_{fNIRS}$ are the fNIRS sources and $S_{fMRI} = [S_1^{fMRI}, S_2^{fMRI}, \ldots, S_n^{fMRI}]^T$. The shared line mixing matrix $A$ are written,

$$A = \begin{bmatrix} a_{11} & a_{12} & \ldots & a_{1n} \\ a_{21} & a_{22} & \ldots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n1} & a_{n2} & \ldots & a_{nn} \end{bmatrix}$$

It is note Equation 1 can be rewritten as a single matrix equation,

$$\begin{bmatrix} X_1^{fNIRS} \\ X_2^{fNIRS} \\ \vdots \\ X_n^{fNIRS} \\ X_1^{fMRI} \\ X_2^{fMRI} \\ \vdots \\ X_n^{fMRI} \end{bmatrix} = A \begin{bmatrix} S_1^{fNIRS} \\ S_2^{fNIRS} \\ \vdots \\ S_n^{fNIRS} \\ S_1^{fMRI} \\ S_2^{fMRI} \\ \vdots \\ S_n^{fMRI} \end{bmatrix}$$

We employ the infomax ICA method for jICA of Equation 3, which utilizes a gradient ascent iteration algorithm to maximize the entropy of the output of a single layer neural network (Bell and Sejnowski, 1995). The resulting updated equation for the algorithm to calculate the shared unmixing matrix $W$ (i.e., the inversion of $A$), the fused independent fNIRS sources $u^{fNIRS}$ and fMRI sources $u^{fMRI}$ is as follows,

$$\Delta w = \eta \left[ I - 2y^{fNIRS}(u^{fNIRS})^T - 2y^{fMRI}(u^{fMRI})^T \right] W$$

in which $y^{fNIRS} = g(u^{fNIRS})$, $y^{fMRI} = g(u^{fMRI})$, $u^{fNIRS} = WX^{fNIRS}$, $u^{fMRI} = WX^{fMRI}$, and $g(x) = 1/(1 + e^{-x})$ is the non-linearity in the neural network. The initial value for $W$, $W(0)$ is a matrix composed of random vectors.

The jICA method generally doesn’t provide us the details on how the components between fNIRS and fMRI interact with one another. To achieve this, the spatiotemporal “snapshots” of the most significant components are generated in two ways (Calhoun et al., 2006a,b). First we need to calculate the linear combination of the fMRI components weighted by their joint fNIRS time courses for a specific point in time. If the $n$ spatial (fMRI) and temporal (fNIRS) components are given by $S = [s_1 \ s_2 \ \ldots \ s_n]$, and $T = [t_1 \ t_2 \ \ldots \ t_n]$, where $t_i$ is a $T \times 1$ vector containing the fNIRS time points and $s_i$ is a $V \times 1$ vector representing the V brain voxels, the fMRI movie is computed as $M^{fMRI} = [T] \times S^T$. It is noted the absolute value is utilized here because the joint components are fused using a single parameter. And a change in
FIGURE 3 | jICA decomposition of ∆HbR and fMRI joint data for right finger tapping tasks: two components were found to be significantly correlated with right finger tapping task (ICs 1 and 3) while three were well-correlated with the physiology and body movement noise (ICs 2, 4, and 5). Each of these components is shown in a separate panel in the figure: IC1 (A), IC3 (B), IC2 (C), IC4 (D) and IC5 (E). The fMRI maps are thresholded at |Z| > 1.5 for display purposes. The averaged event-related HbR time course is shown in yellow (same for all figures) and the ∆HbR component is plotted in cyan. Positive (orange) and negative (blue) Z-values are shown in the image. The axes (bottom) illustrate the time scale, in ms, whereas the scale (left) records chromophore concentrations in μM for (Continued)

the amplitude of the fMRI component is directly linked to the change in the fNIRS component by this parameter. Meanwhile the fNIRS movie is estimated by $M_{\text{fNIRS}} = T \times |S|^2$, in which the time course for a given fMRI voxel is computed.

RESULTS

BEHAVIOR TASKS AND fNIRS-fMRI RECORDINGS

The fNIRS tests are implemented with a block design for a right finger tapping task. The experiment is performed with a 24-channel fNIRS system (Oxymon MKIII, Artinis), which has 8 sources, 8 detectors, and 24 channels. In this system, two continuous wave lights at wavelengths 781 and 856 nm are emitted at each source fiber. In the case of block design for right finger tapping tasks, the onset time for the first trigger was at 42 s, then followed by a 21 s period of activation alternated with a 30 s period of rest. This was repeated 10 times for the subject. As such, the total recording time was 552 s. During the task period, subject was instructed to perform a finger flexion and extension action repeatedly. Data segmentation, which is also known as epoching in signal processing, is utilized to chop up the continuous fNIRS data into small time periods. The general way to do this is to extract segments surrounding the event codes from the experiments, e.g., from $-35$ s prior to the event onset until 35 s after the event code in this study. The original photon density datasets could be downloaded from (http://bisp.kaist.ac.kr/NIRS-SPM/Sample_data). The converted ∆HbO2 and ∆HbR measurements (the unitless differential path length factor $DPF = 4$; sampling rate: 9.75 Hz) are filtered, segmented and plotted in Figures 1A,B, respectively. The configurations of 24 channels located on the scalp are also provided in Figure 1C. We found channels 8–12 are very unique because their locations are close to the left motor cortex so that they are able to reveal the hemodynamic responses from both the right finger tapping stimuli and other events. As a result, signal averaging is implemented for the datasets from these five channels to generate the representative ∆HbO2/∆HbR measurements with reduced noise. In particular, five-section (runs 2, 4, 6, 8, and 10) measurements are selected from the representative ten-run fNIRS recordings for further fusion analysis since they show the best signal noise ratio during the stimulus processing.

For fMRI recordings, the fiber length was 10 m to connect the optodes in the MR scanner to the NIRS instrument in the MR control room. A 3.0 T MRI system (ISOL, Republic of Korea) was used to measure the BOLD response. During the blocked task paradigm, the echo planar imaging (EPI) sequence was used with $TR/TE = 3000/35$ ms, flipangle = 80°, 35 slices, and 4 mm slice thickness (Ye et al., 2009). The fMRI data could be downloaded partly from the same website for the same subject or requested from the KAIST lab. Based on the datasets provided, we generated five mean MRI images which are considered as five-section fMRI measurements (Calhoun et al., 2001; Ye et al., 2009).
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Fusion of fNIRS data and fMRI images

FIGURE 4 | Continued

FIGURE 4 | $\Delta HbO_2$ components and fMRI "snapshots": on the left of each window is shown a linear combination of the fMRI maps that are well-correlated with finger tapping tasks (composite ICs 2, 4, and 5), weighted by the $\Delta HbO_2$ part of the components at a specific point in time. On the right of each window is shown the estimated $\Delta HbO_2$ components that are correlated with right finger tapping tasks. The time courses for IC2 (in blue), IC4 (in green) and IC5 (in red) are also plotted on the right of each window. Such a display provides a dynamic way to visualize the brain activity at different time points: −20943 ms (A), −11217 ms (B), −8210 ms (C), −5559 ms (D), −2729 ms (E), 2044 ms (F) and 10884 ms (G).

Then we will combine the five-section averaged fNIRS recordings in temporal domain and five mean fMRI images in space to extract the joint independent components for hemodynamic fusion. It should be noted here the mean fMRI images are not generated from the raw fMRI images at each TR. As such, the fMRI image for each section may not accurately match its correlated fNIRS measurements in terms of recording times, which may have some influence on the final results. However, the influences should not be that significant since we use mean data from each section for fusion. The five-section averaged fMRI image and $\Delta HbO_2/\Delta HbR$ results are presented in Figure 1D for references.

RESULTS OF fNIRS-fMRI FUSION

The computed joint components are provided in Figures 2, 3, in which the spatial components and regions of brain activity from the fMRI maps are plotted on the right while the correlated temporal joint components along with the mean $\Delta HbO_2/\Delta HbR$ signals are given on the left. We can see from Figures 2, 3 that the time courses of temporal $\Delta HbO_2/\Delta HbR$ components correspond well to different peaks on the mean $\Delta HbO_2/\Delta HbR$
FIGURE 5 | ΔHbO₂ components and fMRI “snapshots”: on the left of each window is shown a linear combination of the fMRI maps that are well-correlated with physiology and body movement noise (composite ICs 1 and 3), weighted by the ΔHbO₂ part of the components at a specific point in time. On the right of each window is shown the estimated ΔHbO₂ components that are correlated physiology and body movement noise. The time courses for IC1 (in blue) and IC3 (in green) are also plotted on the right of each window. Such a display provides a dynamic way to visualize how the noise affects the brain activity at different time points: −20584 ms (A), −12806 ms (B), 984 ms (C), 5757 ms (D) and 11062 ms (E).
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Fusion of fNIRS data and fMRI images

FIGURE 6 | Continued

FIGURE 6 | ΔHbR components and fMRI “snapshots”: on the left of each window is shown a linear combination of the fMRI maps that are well-correlated with finger tapping tasks (composite ICs 1 and 3), weighted by the ΔHbR part of the components at a specific point in time. On the right of each window is shown the estimated ΔHbR components that are correlated with right finger tapping tasks. The time courses for IC1 (in blue) and IC3 (in green) are also plotted on the right of each window. Such a display provides a dynamic way to visualize the brain activity at different time points: −13460 ms (A), −10452 ms (B), −1082 ms (C), 1747 ms (D), 4045 ms (E), 9527 ms (F) and 13768 ms (G).

resolution structures that underlines temporally well-resolved fNIRS responses. Meanwhile, deep brain structures, which are not readily detectable with scalp fNIRS alone, can now be identified by the fusion technique when aided by the correlated spatial components from fMRI.

It is observed from Figure 2 that the first and third ΔHbO2-fMRI joint components basically identifies body movement and negative eye blink activities in pre-frontal lobe while the second, fourth and fifth ΔHbO2-fMRI joint components are fused with hemodynamic activity in left primary motor cortex (PMC), supplementary motor area (SMA), and motor association cortex such as parietal cortex. In particular, the fifth joint component basically identifies the neural activity in the SMA and parietal cortex. These observations are further validated by Movies 1, 2, which show the dynamic interplay between space and time of ΔHbO2-fMRI hemodynamic responses. It is noted Movie 1 displays the spatiotemporal changes of neural activation patterns for finger tapping tasks. Further, we can see from Figure 3 that the second, fourth, and fifth ΔHbR-fMRI joint components basically reveal body movement and other physiology noise while the first and third ΔHbR-fMRI joint components identify the patterns of brain activity in the left motor cortex and motor association cortex. In particular, the first ΔHbR-fMRI joint component reveals brain activity in SMA and parietal cortex. These spatiotemporal...
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Fusion of fNIRS data and fMRI images

FIGURE 7 | Continued

findings from fused ΔHbR-fMRI data are further processed to generate Movies 3, 4, in which we can see the hemodynamic changes for a finger tapping task with high temporal and spatial resolution, and we can also see clearly how physiology and body movement noise corresponds to the neural activity. Importantly, we can observe from Figures 2, 3 as well as Movies 1, 3 that strong brain activity mainly occurs in the left PMC, which validates stimuli in the right finger tapping task will yield the activations in the left PMC.

Alternatively, we can see from Figure 4 the estimated ΔHbO2 time courses (i.e., linear combinations of the significant ΔHbO2 components) at specific positions in the cerebral cortex. The first “snapshot” in Figure 4A is associated with physiology and body movement noise while the second to fourth “snapshots” show the ΔHbO2 concentration change during onset and stimulus processing of right finger tapping, which are validated by the spatial maps of fMRI image on the left. We can further observe from Figure 4 that the fifth “snapshot” captures the maximized spatiotemporal hemodynamic responses while the sixth and seventh ones show the decreased trends of neural activity. So if we examine the different “snapshots” in Figure 4, the spatiotemporal dynamics of the right finger tapping are revealed. In addition, the negative and positive peaks of the estimated ΔHbO2 time courses in Figure 5 directly correspond to the physiology and body movement noise though partly they capture the weak and negative hemodynamic responses of right finger tapping in the case of strong body movement.

The ΔHbR-fMRI “snapshots” in Figure 6 identify SMA and parietal cortex with early peak hemodynamic responses. Later responses occurring in left PMC show peaks of the ΔHbR responses during stimulus processing. In particular, “snapshots” 2–7 display the hemodynamic changes in detail with high spatiotemporal resolution for finger tapping task. Figure 7 provides the portions of estimated ΔHbR time courses at specific positions of fMRI images, in which we observe the peaks basically corresponding to the activities of physiology noise, eye blinks, and body movement.

In summary, we have used a combination of hemodynamic fNIRS and fMRI data to visualize the neural activation patterns with high spatiotemporal resolution. The fusion techniques have shown the potential by using the joint hemodynamic data to identify unique neural information that cannot be revealed in either technique alone. In particular, we implemented a joint decomposition of fNIRS and fMRI data, which was linked or fused by a common mixing parameter. The present method does...
not involve the solution of inverse problem for diffuse optical imaging or involve the use of the threshold for the fMRI data. However, we do need to decompose the combined data into specific components by using jICA, which are composed of fNIRS and fMRI portions. Separating the data into joint components will provide us a useful way to examine component specific differences among different patient groups or different stimulus tasks.

We have to point out the present investigation has significant limitations though we are encouraged that the results we have found seem meaningful and valid. For example, we only validate data from a single subject even without accurate measurements from fMRI. In future we would like to examine our method to incorporate different subject groups and complex neural stimuli as well as multiple time points acquired from multiple channels of fNIRS systems.

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Yuan, Z. (in press). Spatiotemporal movement noise. Movie 3 | ΔHbO2 and fMRI fusion movie for finger tapping tasks.
Movie 2 | ΔHbO2 and fMRI fusion movie for in vivo physiology and body movement noise.
Movie 3 | ΔHbr and fMRI fusion movie for finger tapping tasks.
Movie 4 | ΔHbr and fMRI fusion movie for in vivo physiology and body movement noise.

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SUPPLEMENTARY MATERIAL

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