The use of PROMs and shared decision-making in medical encounters with patients: An opportunity to deliver value-based health care to patients

Olga C. Damman PhD1 | Anant Jani PhD2 | Brigit A. de Jong MD, PhD3 | Annemarie Becker MD, PhD4,5 | Margot J. Metz PhD6 | Martine C. de Bruijne MD, PhD1 | Danielle R. Timmermans PhD1 | Martina C. Cornel MD, PhD7 | Dirk T. Ubbink MD, PhD8 | Marije van der Steen MSc9 | Muir Gray MD, DSc2 | Carla van El PhD7

1 Amsterdam UMC, Vrije Universiteit Amsterdam, Department of Public and Occupational Health, Amsterdam Public Health Research Institute, Amsterdam, The Netherlands
2 Value Based Healthcare Programme, Department of Primary Care, University of Oxford, Oxford, United Kingdom
3 Amsterdam UMC, Vrije Universiteit Amsterdam, Department of Neurology, MS Center Amsterdam, Amsterdam Neuroscience Research Institute, Amsterdam, The Netherlands
4 Department of Pulmonary Diseases, Amsterdam UMC, Vrije Universiteit Amsterdam, Amsterdam, The Netherlands
5 Amsterdam UMC, Universiteit van Amsterdam, Amsterdam, The Netherlands
6 Tranzo Scientific Center for Care and Wellbeing, Tilburg School of Social and Behavioral Sciences, GGz Breburg and Tilburg University, Tilburg, The Netherlands
7 Amsterdam Public Health Research Institute, Department of Clinical Genetics, Amsterdam UMC, Vrije Universiteit Amsterdam, Amsterdam, The Netherlands
8 Department of Surgery, Amsterdam UMC, Universiteit van Amsterdam, Amsterdam, The Netherlands
9 Department of Strategy and Policy, Amsterdam UMC, Vrije Universiteit Amsterdam, Amsterdam, The Netherlands

Correspondence
Olga Damman, Amsterdam UMC, Vrije Universiteit Amsterdam, Department of Public Health

Abstract

Background: The recent emphasis on value-based health care (VBHC) is thought to provide new opportunities for shared decision-making (SDM) in the Netherlands, especially when using patient-reported outcome measures (PROMs) in routine medical encounters. It is still largely unclear about how PROMs could be linked to SDM and what we expect from clinicians in this respect.

Aim: To describe approaches and lessons learned in the fields of SDM and VBHC implementation that converge in using PROMs in medical encounters.

Approach: Based on input from three Dutch forerunner case examples and available evidence about SDM and VBHC, we describe barriers and facilitators regarding the use of PROMs and SDM in the medical encounter. Barriers and facilitators were structured according to a conversational model that included monitoring and managing, team talk, option talk, choice talk, and decision talk. Key lessons learned and recommendations were synthesized.

Results: The use of individual, \( N = 1 \) PROMs scores in the medical encounter has been largely achieved in the forerunner projects. Conversation on monitoring and managing is relatively well implemented, and option talk to some extent, unlike team talk, and decision talk. Aggregated PROMs information describing outcomes of treatment options seemed to be scarcely used. Experienced barriers largely corresponded to what is known from the literature, eg, perceived lack of time and lack of tools summarizing the options. Some concerns were identified about increasing health care consumption as a result of using PROMs and SDM in the medical encounter.

Conclusion: Successful implementation of SDM within VBHC initiatives may not be self-evident, even though individual, \( N = 1 \) PROMs scores are being used in the medical encounter. Education and staff resources on meso and macro levels may facilitate...
1 | INTRODUCTION

Shared decision-making (SDM) has become the preferred conversation model between clinicians and patients in health care, explicitly involving patients in decision-making about diagnostic and treatment options.1-5 In SDM, patients and clinicians work together to select tests and treatments, using the best available evidence with incorporation of patients’ values and preferences.3,6 This requires cooperation and activation of both clinicians and patients. Despite being so heavily embraced by scientific scholars and policymakers, formal implementation of SDM in practice has proven to be challenging.3,7-10 One key barrier seems to be the perceived time needed to practice SDM,11-13 addressing both risk communication and clarification of patients’ values.12,14,15 Although clinicians generally support SDM, they are also often hesitant to practice it and often revert to a more authoritarian conversational model.10,16-18

In the Netherlands, the recent emphasis on value-based health care (VBHC) in health policy is thought to provide new opportunities for SDM, especially by using information based on patient-reported outcome measures (PROMs) in routine medical encounters. VBHC is a health care delivery model organized around patients’ needs,19 aimed at improving outcomes that matter to patients/populations while optimizing resource utilization.20-22 PROMs are standardized questionnaires for patients to measure how they experience their health or quality of life, such as the SF-36 and EQ-5D.23,24 In mental health care, this same type of questionnaires is used in a process called ROM (routine outcome monitoring), typically throughout the treatment process to monitor patients’ condition in line with the symptoms being treated.25,26 Three types of value have been distinguished in VBHC22: (a) personal value—the delivery of services informed by what matters to the individual patient via SDM; (b) technical value—determined by how well resources are used within services for each purpose, favouring the right intervention for the right patient at the right moment; and (c) allocative value—determined by how assets are allocated to services for different purposes, thus maximizing health benefits at a population level. For a patient with knee arthritis, the choice between being able to kneel in the garden vs having a knee prosthesis and less pain may be a matter of personal value; performing a technically optimal surgery and discharging the patient as soon as he can take care of himself at home may be a matter of technical value; identifying the patients that gain most quality-adjusted life years out of the many with arthritis, if only a limited number of surgeries can be performed, may be a matter of allocative value.

VBHC could provide an additional route to promote SDM implementation,27,28 especially when and where teams of professionals redesign health care pathways bottom-up.29 Convincing evidence30-36 shows that SDM delivers triple value as outlined above and thus fits the imperative of VBHC. SDM is known to increase patients’ awareness and understanding of available options and the quality of decision-making,30,31 which help to positively impact patient outcomes and health care resource utilization.32-36 In the Netherlands, formal institutions such as the Dutch Healthcare Institute and Netherlands Federation of University Medical Centres (NFU) mention SDM as a key element of VBHC and outcomes-oriented health care.8,37,38

There is, however, little clarity about how PROMs can be linked to SDM in the medical encounter and what we should expect from clinicians in this respect. Individual, N = 1, PROMs scores could be used to prepare patients and clinicians for patient activation in general, as well as for value clarification in particular.3,39 Aggregated PROMs scores could be used to inform patients more completely about benefits and harms of options. All in all, for treatment choices being made, it is important to have insight not only into clinical outcomes derived from clinical registries (eg, survival rates) but also into quality of life as reported by patients.40 In theory, use of PROMs in the medical

**FIGURE 1** Suggested elements of SDM, use of PROMs and VBHC
encounter could thus contribute to the delivery of value.32,41 Figure 1 presents a conceptual model integrating these suggested elements. Figure 2 shows examples of individual, N = 1, and aggregated PROMs scores.

However, the use of PROMs and SDM both regularly meet with hesitance and scepticism from clinicians.7,29,42,44 Furthermore, VBHC primarily focuses on optimizing outcomes, while SDM mainly addresses the process of patient-clinician interaction (although the link with outcomes is increasingly made).3 It therefore seems worthwhile to synthesize lessons learned so far in this emerging arena. This paper aims to describe approaches and lessons learned in the fields of SDM and VBHC implementation that converge in using PROMs in medical encounters. We did this by (a) summarizing available evidence about SDM and VBHC (including barriers and facilitators); (b) descriptive evidence collected from leading representatives at three forerunner projects (multiple sclerosis [MS] outpatient clinic; lung cancer care unit; outpatient specialist mental health care); and (c) synthesis on key lessons learned and recommendations to successfully implement PROMs and SDM in the medical encounter at the micro, meso, and macro levels.

2 | SDM IMPLEMENTATION

SDM is usually implemented using two approaches, namely, training of professionals and development and dissemination of decision aids or option grids.3,29 In SDM training, typically, a conversational model is offered to professionals, breaking down the conversation into different steps. This paper used the four steps as described by Stiggelbout et al.,3 in turn influenced by the work of Elwyn and colleagues44,45: team talk (indicating that the patient has a choice and offering assistance in this choice as a professional); option talk (communicating about treatment options and their risks and benefits); choice talk (inviting the patient to express his or her preferences and underlying values and weighing options against those preferences [note that this is sometimes also labelled as “preference talk”]); and decision talk (involving [the preferences of] the patient in the eventual shared treatment decision). In several SDM models, it is also emphasized that patients’ understanding of their condition and its management should be gauged in a first step.46,47 We have therefore included this as well, called “management and monitoring.”

Many hurdles for SDM implementation have been described.11,29,48 Legaré and Witteman48 concluded that the three most common barriers among clinicians are “perceived time constraints, perceptions that SDM cannot be applied because of patients’ characteristics, and the nature of the clinical situation.” It has been demonstrated that clinicians themselves think they are already involving patients in decisions,49 while observations in practice suggest they do not.9 There is no robust evidence that SDM costs more time than usual care.30,31,48 Studies consistently show that patients themselves opt for the SDM model.50-53 However, less is clear about how SDM relates to the nature of the clinical situation.5,8,29 Besides organizational- and system-level barriers, such as lack of fit to existing workflows of professionals7,11 and lack of clinical guidelines emphasizing equipoise of options,29 there may be barriers related to each phase of the conversational model, hindering SDM at the micro level of the medical encounter.

As said, one of the most apparent barriers for professionals is the experienced lack of time. While it has been argued that “this is the most frequently cited barrier for any change in clinical practice,”48 it is not refuted that time during the medical encounter with patients is usually limited.13 It may be expected that clinicians allocate their sparse time to option talk instead of team or choice talk,15,45 because information provision about the chosen option is legally required in light of informed consent procedures.54 However, Kunneman and colleagues55 showed the importance of team talk; if no choice awareness was fostered, the subsequent SDM steps were poorly executed. Choice talk, which is not legally required, is a task that most doctors will be especially unfamiliar with, probably due to lack of training. Box 1 shows how clinical specialties may vary in addressing values related to patient preferences, prior to introducing SDM or VBHC.

Currently, it may remain unclear for clinicians what type of values need to be discussed in SDM. Asking what treatment patients prefer is different from asking about broad motivation goals in life,56,57 and discussion of motivations obviously requires time, especially with patients with low health literacy.15 However, if patient values remain unexplored and no assistance is provided in preference construction, patients will likely more often agree to treatment they do not actually prefer, leading to silent misdiagnoses and overtreatment.2

3 | VBHC IMPLEMENTATION

VBHC implementation can occur top-down (ie, based on external incentives) and bottom-up (ie, based on motivations of professionals/teams/departments). In practice, often mixtures are observed. Figure 3 describes common components of VBHC implementation.

3.1 | Defining and measuring outcomes that matter to patients

One important step is usually to define and measure outcomes that matter to patients,27,58,59 which make outcomes, prioritized and

FIGURE 2 Key components of VBHC
It has been argued that outcomes reflecting patients’ priorities were traditionally infrequently measured in clinical practice, compared to clinical outcomes such as survival rates. It has been argued that outcomes reflecting patients’ priorities were traditionally infrequently measured in clinical practice, compared to clinical outcomes such as survival rates. Another problem was that definitions used often varied between institutions or countries, hindering comparisons. Therefore, the development and collection of standard outcome sets have gained ground.

3.2 | Organization of care around the patient or patient group

Organization of care around the patient or patient group is used to bring together the full range of providers and professionals around the patient and his/her needs. Patient journeys (ie, maps of the journey from the patient’s perspective) are often being made transparent, to identify problems experienced by patients and improve delivery of
services accordingly. Another key element is that each professional involved should add optimal value by performing those tasks best suiting his/her expertise at the optimal moment. Therefore, interdisciplinary coordination of care combined with effective communication is essential, especially in shifts and referral situations. Increasingly, patients are empowered to monitor and treat their own disease, implying that they need to be included in care coordination and communication. When patient information is translated from hospital settings to primary or community care, an essential question is how to optimally share this information to guarantee high value, while also optimally using patients’ active involvement.

3.3 Use of PROMS in medical encounters with patients

Currently, the main rationale for collecting PROMs is to enable evaluation and comparison at an aggregate level. Yet it is increasingly recognized that PROMs should also be discussed with patients in routine medical encounters. Such use has been theorized to (a) increase patients’ motivation to complete PROMs; (b) improve management and monitoring of patients’ problems; (c) improve doctor-patient communication; and (d) improve SDM and informed patient decisions. PROMs are typically made available in the medical encounter through electronic health records and dashboards, displaying individual, N = 1, PROMs scores of patients over time. There is not much experience yet on the merits of using PROMs in medical encounters, but the mere collection of PROMs is known to increase awareness of patients’ concerns and to enhance patient-provider communication. Use of PROMs in medical encounters is a task that most clinicians are unfamiliar with, and clinicians may perceive that it will take time. It may also be problematic that not all systems allow clinicians to actively monitor and discuss symptoms or to refer patients to other facilities, i.e., in stepped care models. There may also be concerns about overconsumption, as a result of more active monitoring, although there is evidence that activating patients is generally associated with lower costs, rather than higher costs.

3.4 Evaluation and comparison

The ultimate aim of PROMs collection is to learn from outcomes at the level of the individual patient and patient groups to improve value of health care delivery. These data can help professionals, teams, or departments to evaluate whether their care met the needs of their patients and to compare performance to other groups within and outside their institution. When teams start to work in a different way, they can monitor the patients’ experiences over time.

4 FORERUNNER CASE EXAMPLES FROM THE NETHERLANDS

Amsterdam UMC at the VUmc campus in the Netherlands has made commitment to VBHC, including the triple value framework, as a model for health care delivery. The rationale was to manage health care based on outcomes that matter to patients most and, by doing so, to deliver better patient and population outcomes while optimizing resource utilization. This meant a shift from focusing just on the technical value of interventions to also including personal and allocative value to ensure that care being delivered met the needs of the patients and populations the Amsterdam UMC is accountable to. Different pilot projects have been conducted, e.g., at the multiple sclerosis (MS) clinic, the gender clinic, the nephrology department, and the lung cancer care unit. Within each pilot, the standard approach was to establish a multi-stakeholder group, including patients, to design the VBHC concept including a core outcomes set, care standard, and patient journey; develop the VBHC pathway, including collection of PROMs and clinical outcomes, ICT infrastructure with PROMs dashboards; training professionals in SDM and team collaboration; implement outcomes measurement and try to learn and improve based on insights garnered; and create better insight into costs. For this paper, input from the MS clinic and lung cancer care unit was collected.

In Dutch mental health care, routine outcome monitoring (ROM) was broadly implemented in a SDM context for patients with psychiatric disorders such as depression, anxiety, bipolar, psychotic, and personality disorders. The standard approach was to implement ROM tailored to the patient group in routine clinical practice; develop a conversation model in SDM using ROM as a personalized source of information; and support clinicians with training and booster sessions to apply the SDM model using ROM as a source of information.

Table 2 describes the approaches used to implement PROMs and SDM in medical encounters in three Dutch forerunner case examples, two from Amsterdam UMC and one in Dutch mental health care. Experienced and/or foreseen barriers, facilitators, and blind spots are also described. This information was collected by asking the clinicians who lead the pilots in Amsterdam UMC (B.d.J. and A.B.) and the leading representative for implementation in mental health care (M.M.) to provide descriptive evidence.

4.1 Monitoring and management

In all three case examples, the standard approach is to start the medical encounter with patients by using visualized individual, N = 1, PROMs scores in dashboards. Such dashboards can be made available through and before the consultation, depending on used technology. In the MS pilot, patients are explicitly asked before the consultation what topics they want to focus on. Common questions asked in the medical encounter include what symptoms or complaints are bothering patients most and what they want to achieve in potential treatment. Support of management teams and adequate ICT structures are mentioned as key facilitators of using individual, N = 1, PROMs for monitoring and management. Experienced barriers relate to technology problems and lack of time of supporting personnel to get PROMs results in the consultation on time. A blind spot mentioned is a lack of knowledge on how to support patients in correct interpretation of
TABLE 1  SDM-ROM model used in Dutch mental health care: shared decision-making using routine outcome monitoring as an information source (Steps 1 to 5).

| Step | Description |
|------|-------------|
| 1. Introduction | ✓ Refer to expectations about shared process.  
✓ Discuss which role the patient desires in decision-making.  
✓ Connect with patient’s wishes and goals. “What does he/she want to achieve in treatment?”  
✓ Explain about ROM as an information source. |
| 2. Give meaning to ROM | ✓ Discuss ROM outcomes  
✓ Steps: Identify, Understand, Appreciate, Act. |
| 3. Explore options | ✓ Discuss options, advantages and disadvantages, in a neutral manner. |
| 4. Weigh options | ✓ Weigh advantages and disadvantages: “What’s important for you?” |
| 5. Shared Decision | ✓ Can a choice be made?  
✓ Together, select most appropriate option.  
✓ Make follow-up appointments. |

PROMs scores as well as in using them in the conversation. Because it was acknowledged that professionals may naturally focus on clinical and physical aspects/complaints, an important question is how to guarantee that PROMs information is actually being addressed.

4.2 Team talk

Compared with this rather clear management and monitoring, the other steps of the conversational model, starting with team talk, are less well organized. Symptoms bothering the patient are thought to shift the discussion towards quality of life considerations into decisions about management and treatment. In some cases (eg, MS, mental health care), it is also explicitly expected that dashboards will increase choice awareness among clinicians and patients. However, there is no specific approach yet regarding how to actually engage patients in such team talk. In some areas (eg, MS and advanced LC stages), a current barrier/blank spot may be that clinicians continue to prioritize clinical outcomes (eg, survival) above PROMs and give treatment advice accordingly. Especially when there is no clear equipoise of options concerning survival, team talk seems to be largely skipped. So although monitoring and management based on PROMs puts the patient’s perspective more central, the conversational model for decision-making still often remains authoritarian.

4.3 Option talk

Apparent from the projects was that clinicians feel a need to share existing options with patients, both concerning health care providers/facilities (eg, MS and LC) and management and treatment options (eg, MS, LC, mental health care). Individual, N = 1, PROMs scores are thought to give direction for the options to be discussed. For example, if lung cancer patients have a bad condition and many complaints, the need to discuss the nontreatment option seems harder for clinicians. In the MS clinic, treatment and provider options are now more routinely discussed by the interdisciplinary team before consultations. Although option talk does seem to take place, the three projects also described lack of overview of all existing options for the specific patient groups, for example, regarding transmural care, rehabilitation, and primary care. This corresponds to previous findings in the Dutch context that clinicians perceive a lack of adequate (patient) information on available options.

Despite options being discussed with patients, less emphasis is placed on informing patients about the exact risks and benefits of those options. This seems to be largely due to a perceived lack of time, a lack of decision aids, or insufficient awareness of those tools that could help in risk communication. Alternatively, it may be that clinicians do know about available decision aids, but think they are not suitable for informing their individual patients, due to a lack of personalized estimates. In this respect, aggregated PROMs information on the level of treatment options is not routinely available and/or used in the three projects. Facilitators for option talk are training in SDM, training in team collaboration (contributing to better knowledge/overview of options), and availability of clinical guidelines or quality standards describing all treatment options and the importance of SDM.

4.4 Choice talk

Patients are routinely asked about what they consider important, but there are no specific approaches yet to preference construction based on trade-offs between patients’ values. Experienced facilitators focus on the availability of individual, N = 1, PROMs scores, that could prepare both patients and professionals for discussion of patient values. Barriers are that SDM training did not include sufficient time for the topic of value clarification and a lack of decision aids that invite patients earlier to bring forward what they care about. More generally, if professionals do not feel the need to practice SDM, because there is no clear equipoise of options or simply because they are unfamiliar with SDM, patients’ preferences are described to be heavily influenced by clinicians’ advice in the projects.

4.5 Decision talk

When there is equipoise of options, such as for early-stage lung cancer, decision talk is often explicitly initiated, mostly after patients have
### TABLE 2  
SDM and use of PROMs/ROM in routine medical encounters: experiences of Amsterdam UMC at the VUMC campus and Dutch specialist mental health care

| General characteristics | Pilot 1: MS | Pilot 2: Lung cancer | Pilot 3: Mental health care |
|-------------------------|------------|----------------------|----------------------------|
| Number of patients included thus far: | 25 | No patients or institutions included yet. The aim is to include 3 institutions. | Number of patients included thus far: unknown |
| Number of centers involved: 1 | (Amsterdam MC center) | Number of different disciplines involved: needs to be defined. In any case, pulmonologists, thoracic surgeons, radiotherapists, psychologists, and nurses involved. | Number of centers involved: 21 |
| Number of different disciplines involved: 6 (neurologists, rehabilitation clinicians, MS nurses, psychologists, physiotherapists, and welfare workers). | | | Number of different disciplines involved: 3 (Clinicians, psychologists, and nurses). |
| Period: one year | Period: one year | Period: one year | |

**General approach**

**Approach:**
- MS patients, together with health professionals, created a list of 25 outcome parameters, based on validated questionnaires, related to symptoms associated with MS.
- Before the annual check-up at the MS center, the patient receives online questionnaires resulting in a digital PROMs list accessible for patient and health care providers after filling out. At the end of the questionnaires, the patient can select topics he/she wants to focus on in the annual check-up, which informs the composition of health care providers that will join the interdisciplinary outpatient evaluation during the annual check-up.

**Approach:**
- LC patients, together with health professionals, create a list of outcome, related clinical outcomes, PROMS and PREMS. PROMS will be measured digitally every three 3 months as part of a clinical study in all stages of lung cancer. In this study, patients will also monitor 12 common alarming symptoms (e.g., fever, coughing up blood) on a weekly basis. If feasible, this will be extended to all patients with LC. In addition, a dashboard will be constructed with information on all outcome parameters that can be used for internal improvement of care, but also for discussion with patients in routine medical encounters.

**Approach:**
- Outcome parameters measured by ROM instruments and frequency of measuring was tailored to the patient group and determined by multidisciplinary teams of clinicians and patient representatives. Outcome parameters are: symptoms (i.e., depression, anxiety, psychotic complaints, and personality traits), quality of life, social, and personal recovery (participation in society and live the life patients choose). The frequency of measuring:
  - In short-term treatment: during intake, treatment (at least every three 3 months) and at the end of treatment;
  - In long-term treatment: during intake, treatment (at least once a year) and at the end of treatment. ROM is planned before treatment evaluation.
- Patients fill out the ROM-questionnaires digitally at home or at the mental health care organization. Clinicians are provided with a SDM-ROM conversation model (see Table 1).

**Monitoring and management:**

**Discussing individual, N = 1, PROMs scores with patient before, during, and after care delivery including trends over time**

**Approach:**
- During the interdisciplinary outpatient clinic, the PROMs scores (N = 1) are visualized on a dashboard. The idea is that in addition to common physical symptoms (e.g., deterioration in walking and seeing), less visible symptoms (e.g., pain, fatigue, and sexual problems), and/or symptoms as prioritized by patients, are being monitored and managed.

**Approach:**
- During routine medical encounters, the PROMs scores (N = 1) and clinical outcomes are visualized on a dashboard. Both the weekly registered 12 symptoms and the PROMs monitored every 3 months can be used in discussions about management and treatment. Both types of information are thought to provide input for decisions about (continuation of) treatment and for clinical

**Approach:**
- ROM results (N = 1) are visualized on a dashboard. Patients get insight into their own ROM results by a patient portal or by the clinician (who can make a print out for the patient). During treatment encounters, patients are asked what they want to achieve in treatment (see step 1 in Table 1), and patients and clinicians give meaning to ROM results together and use

(Continues)
evaluations of potential cancer recurrence. In general, PROMs will be used as input to discuss with patients what they want to achieve with treatment. ROM as an input to evaluation of current treatment (see step 2 in Table 1).

| Barriers: Accessible and good working technology platform | Barriers: The time needed for nurses to send questionnaires at the right time | Barriers: Currently patients are dependent on the (attitude of the) clinician for the feedback of ROM-results, because implementation of patient portals for viewing results independently is in an initial phase. |
| --- | --- | --- |
| Facilitators: Support of the management team of the hospital | Facilitators: Support of the management team of the hospital | Facilitators: Development and implementation of ROM together with clinicians and patient representatives. Support of management and secretary (for logistics and planning). |
| Advanced visualization of the bars and graphs of the PROMs scores (N = 1) | Blind spots: Professionals may focus on the 12 symptoms for rapid diagnostics and not so much on the use of PROMs for SDM. There is no specific approach on how to support patients in discussing and interpreting PROMs results with their clinicians | Blind spots: There is no specific approach on how to support patients in discussing and interpreting ROM results with their clinicians, and how they can use these results in SDM. |
| SDM step 1: Team talk (Indicating that the patient has a choice and offering assistance in this choice as a professional) | Approach: Patients are invited to select topics he/she wants to focus on in the annual check. There is no specific strategy yet to subsequently address patient participation in the decisions to be made about treatment and management. | Approach: Clinicians invite patients to share their expectations about a shared process and the role they would fulfill. ROM outcomes are referred to as one of the information sources (see Step 1 in Table 1). |
| Barriers: Lack of time. | Barriers: Stage I non-small cell LC: Dependence of the pulmonologist to recognize equipoise of options and to also address this issue in multidisciplinary meeting. | Barriers: Insufficient awareness among clinicians of the importance of team talk (step 1 in Table 1). |

(Continues)
Advanced stages of LC: Rapid progress in the scientific literature about the outcomes of treatment, difficult for professionals to keep up and judge whether there is equipoise and how to include patients in the decision.

Lack of time.

Lack of training of professionals in SDM.

Facilitators: The basic concept of a routine encounter with the complete team with different specialties including the patient/care giver. Advanced visualization of the bars and graphs of the PROMs scores (N = 1).

Facilitators: Advanced visualization of the PROMS scores in dashboards, which will give input about patients’ complaints and concerns. As such, this information could shift the discussion from a focus on survival to a focus on quality of life, and thus on SDM.

Facilitators: Team training, booster sessions in the conversation model SDM using ROM.

Blind spots: It may be that clinicians will continue to aim at treatment and skip the stage of team talk, because from a medical perspective, there is no equipoise of options (for advanced stages of LC). However, for patients this is likely to be different. A question is how patients will be invited to express their concerns based on the PROMs scores.

Blind spots: Insufficient awareness among patients that they can participate in decision-making. Clinicians do not pay enough attention to this first step. Too little personalized support for patients to participate in decision-making.

Blind spots: It may be that most professionals tend to focus on the management of the discussed symptoms, and less so on the discussion about patient participation in the decisions to be made.

Blind spots: The facility to work with a whole team of caregivers instead of one individual may be more expensive than thought.

Blind spots: It may be that using PROMs and SDM may lead to additional diagnostics and therapies being chosen.

Blind spots: It may be that clinicians will continue to aim at treatment and skip the stage of team talk, because from a medical perspective, there is no equipoise of options (for advanced stages of LC). However, for patients this is likely to be different. A question is how patients will be invited to express their concerns based on the PROMs scores.

Blind spots: Insufficient awareness among patients that they can participate in decision-making. Clinicians do not pay enough attention to this first step. Too little personalized support for patients to participate in decision-making.

SDM step 2: Option talk (Communicating about treatment options and their risks and benefits (i.e., risk communication) e.g., using option grids or PDAs)

Approach: Based on the individual, N = 1, PROMs scores combined with additional discussion during interdisciplinary outpatient clinic evaluation, the different treatment/management options are discussed with the team and patient/care giver. The non-treatment option is also routinely discussed. There is currently a lack of formal decision aids or option grids, but when these will become available (with the release of the new clinical guideline), the idea is that they will be adopted in this stage of option talk.

Approach: For stage I non-small cell LC, patients are routinely informed about the options and their main benefits and risks (surgery versus stereotactic ablative radiotherapy [(SABR)]. Patients are given the web link to a decision aid that provides this info.

For advanced stages of LC, there is no standard approach to communicate about treatment versus no-treatment and the associated benefits and harms. Professionals base their advice on the evidence in the medical literature.

Options outside the clinic are routinely discussed based on PROMs scores, such a visit to a medical psychologist or a dietician.

Approach: Based on the individual, N = 1, ROM results and other sources of information (www.ggzstandaarden.nl; www.thuisarts.nl; local clinical pathways), options with their risks and benefits are discussed with the patient, in a neutral manner. (See step 3 Explore options [(conversation model SDM using ROM, Table 1)].)
### TABLE 2 (Continued)

| Barriers | Facilitators | Blind spots |
|----------|--------------|-------------|
| Insufficient knowledge among professionals and patients about all potential treatment/management options, also in primary care. | **Facilitators:** Training of professionals in SDM. Training of professionals in how to collaborate as a team. | **Blind spots:** There is no (comparative) information on costs of different treatment/management options, which is often important for patients in decision-making. |
| Lack of decision aids or option grids that facilitate comparison of different options, time needed to wait for a new release of clinical guidelines. | | |
| No access yet to aggregated PROMs scores, so information about benefits and harms of treatment options is incomplete. | | |
| Lack of training of professionals in SDM and the importance of option talk. | | |
| Lack of decision aids or option grids that facilitate comparison of treatment versus no-treatment for advanced stages of LC. | **Facilitators:** Stage I non-small cell LC: availability of a decision aid for patients. | **Blind spots:** There is incomplete information about benefits and harms of treatment options, especially experienced quality of life (aggregated PROMs scores). |
| Lack of decision aids or option grids that facilitate comparison of different options. Development of prediction models are in an initial phase; as a result, limited knowledge about benefits, harms, and its probabilities. | **Facilitators:** Training, booster sessions and supervision of clinicians in SDM. Availability of quality standards, which describe all treatment options in mental health care from the perspective of patients, are is published nationally. (www.ggzstandaarden.nl) Patients could prepare by reading the patient version (www.thuisarts.nl). Clinicians could use the standards to get an overview of treatment options. | **Blind spots:** Limited insight among clinicians into the importance and difficulty of exploring treatment options in a neutral way. |

**SDM step 3: Choice talk (Inviting the patient to express his or her preferences and underlying values (value clarification), and discussing the trade-off between those values, e.g., using value clarification methods or PDAs)**

**Approach:** During the interdisciplinary outpatient clinic, the patient and his/her care givers are routinely invited to express their preferences and values between the different treatment options. The idea is that the individual, \(N = 1\), PROMs scores and their answers in the questionnaire will help patients in expressing those preferences and values, and this in choice talk.

**Approach:** During medical encounters before treatment (or no-treatment) is chosen, patients are routinely asked the questions that they find important in their current life and what they aim for by treatment. The idea is that the individual, \(N = 1\), PROMs scores will help patients in answering those questions.

**Approach:** During treatment encounter, the clinician invites the patient to weigh the risks and benefits of options, based on the starting question "What is important for you?" The idea is that the individual, \(N = 1\), ROM results will help patients in choice talk by answering this question. (See step 4 Weigh options (conversation model SDM using ROM, Table 1)).

**Barriers:** Lack of decision aids that facilitate more thorough value

**Barriers:** Lack of decision aids that facilitate more thorough value

**Barriers:** Lack of invitation by professionals and lack of (personalized) tools i.
| SDM step 4: Decision talk (Involving the (preferences of) the patient in the treatment decision) | Approach: At the end of the annual check-up, the team including the patient/care giver decide together which additional diagnostics and/or therapeutic options will be chosen. | Approach: For stage I non-small cell LC, the pulmonologist, together with the patient, decides about treatment after the patient has used the decision aid and indicated his/her treatment preference and underlying reasons.

For advanced stages of LC, the pulmonologist typically gives a treatment recommendation (treatment/no treatment), after being informed about the context and treatment goals of the patient. |
| --- | --- | --- |
| Barriers: All barriers described in the previous steps. | Barriers: Lack of time to truly have a good conversation about what preferences of the patient can mean for the decision. For advances stages of LC: lack of positive attitude towards SDM among medical professionals, due to a lack of experienced equipoise. | Barriers: Clinicians’ expectations that a good conversation about which option fits best, takes much time, especially when relatives of the patient are involved. |
| Facilitators: Sufficient training in SDM and in team collaboration, and all other facilitators described in the previous steps. | Facilitators: The availability of PROMs scores that can better prepare medical professionals and patients for a discussion about quality of life (harms of treatment), and for SDM. All facilitators described in the previous steps. | Facilitators: Training of professionals in SDM. All facilitators described in the previous steps. |

(Continues)
completed a decision aid. When there is less equipoise, or when SDM is not fully embraced among teams, it often remains unclear to what extent decision talk is practiced. In the MS example, where patients are invited to an interdisciplinary consultation involving multiple professionals, more awareness now exists among professionals that there are decisions to be made with the patient. At the end of the annual check-up, the MS team explicitly involves the patient in those decisions. Experienced barriers in the three projects mainly relate to a perceived lack of time to practice decision talk. Interesting in this context was a concern of professionals about how to involve patients’ relatives. A blind spot described is that decision talk may need additional appointments, therapeutic sessions, or treatment options, which may result in increasing health care consumption and costs. However, choosing to postpone or refrain from (invasive) treatments may also reduce costs at a later stage.

5 | SYNTHESIS

5.1 | Micro level

Based on the description of the representatives of the three projects, the use of individual, N = 1, PROMs for management and monitoring of the condition of the patient can be adopted without much difficulty by clinicians. Based on this notion and corresponding to what is known about using PROMs in the medical encounter,25,80,81,85,89 professionals’ awareness of patients’ concerns seems to increase. However, when drilling down to the other SDM steps, it seems to be less evident to apply those individual scores in order to involve patients in decision-making about their options. In line with this, aggregated PROMs information about outcomes of options in terms of quality of life seems to be scarcely used. This latter finding corresponds to a recent study,40 showing that professionals stressed the opportunity to monitor changes in individual PROMs over time but not so much aggregated PROMs to make treatment decisions.

Option talk appeared to be practiced to some extent, but the other SDM steps (team talk, choice talk, and decision talk) were more difficult to achieve. The mere availability of PROMs, although helpful, is not sufficient for achieving SDM in VBHC initiatives. Team talk should be emphasized as a key step in training of professionals, because lack of team talk typically leads to suboptimal implementation of the subsequent steps.55 More attention is also needed for the integration of PROMs in choice talk. This talk about the trade-offs between patients’ values is a core element of both SDM and VBHC’s “personal value”, but also known to be difficult to apply.15 Yet training of professionals in choice talk will probably not provide the magic bullet, given their limited time for the consultation.13 The use of decision aids as well as patient activation tools (eg, Ask 3 Questions Campaign90) could further enable clinicians practicing choice talk and probably also boost the integration of aggregated PROMs scores.40 Although patients’ health literacy was not explicitly addressed in the project descriptions, the difficulty of explaining PROMs information and activating patients in using information was mentioned. It is known that just providing numbers will not help patients in decision-making.91-93 To help patients with correct interpretation of PROMs information in the consultation, risk communication guidelines from the SDM field may be helpful.91,94-96

5.2 | Meso level

At the level of the department or organization, one key lesson is to continue to invest in training health care staff in SDM, not only in broad principles but also in the steps of the conversational model and the collaboration of the (multidisciplinary) team in trying to achieve this. In this training, attention should be devoted to the importance of SDM in delivering high value for patients, the employment of available PROMs and decision aids, and avoiding the silent misdiagnosis of patients’ preferences and overtreatment.2 In general, it also seems important to clearly instruct what SDM entails, because previous studies suggest the concept is often unclear for clinicians.29,49

Another key insight is the need to allocate time for staff to explicitly deliver SDM and all of its steps. This means that more staff will likely need to be hired and/or innovations need to be adopted that increase the productivity and efficiency of the teams. Especially with regard to routine administrative and logistics tasks that take so much of clinicians’ time it is important to gain time to spend on SDM. Nurses or physician assistants could be trained in choice talk and using
decision aids. When teams are reorganizing local care pathways around patients’ needs within VBHC implementation, the following aspects could be addressed: (a) definition of the aim(s) of using PROMs in the medical encounter; (b) identification of possible preference-based decisions (ie, equipoise of options); (c) definition of responsibilities and tasks of team members during the patient’s journey (including initiation of team talk and who communicates what during the journey); and (d) based on a-c, decisions about allocation of time and effort. As noted by Pieterse et al and also described for the MS pilot, SDM often already starts before the actual consultation with patients, and this could provide a valuable perspective in redesigning care pathways.

Clinicians’ beliefs about equipoise of options seem to be especially important to target at an early stage. The term “clinical equipoise” is usually referred to as a form of uncertainty among medical experts over whether one treatment is more beneficial to the patient than another treatment. In the SDM context, equipoise usually means a state of equilibrium, and this can also be the result of trials showing comparable clinical outcomes of two options (ie, mostly survival). However, it is known that evaluation of treatment options is a subjective process, and this is true for both clinicians, researchers and patients. While a clinical trial may support the notion of equipoise between option A and B, clinicians or groups of clinicians may continue to believe that A is better than B. Moreover, patients themselves may weigh evidence differently, especially when they attach importance to nonclinical outcomes, and the increasing use of PROMs may widen the scope of outcomes considered. The relationship between equipoise and patient characteristics influencing the effectiveness of treatments is also interesting, ie, on an aggregate level, two treatments may be comparable, but this may not be the case for an individual patient. This all leads to the question of how we define and construct notions of equipoise and how to act when different stakeholders’ beliefs on equipoise differ. The notion of team talk in the SDM conversational model may help health care staff to more routinely discuss this.

With respect to innovations, a recommendation is to invest in technology that enables professionals’ use of PROMs and decision aids, preferably linked to electronic health records and clinical guidelines. If equipoise of options does not pop-up somewhere for professionals during the patient’s journey, it is no wonder that patients are left with short descriptions of options, potentially biased towards clinicians’ preferences. Smartphrases for clinicians and patients stating that there is a choice, followed by lists of available options or decision aids seem to have potential to achieve this. However, as already argued by Elwyn et al, implementation of such tools should ideally be done through thorough needs assessments, to deal with professional/organizational resistance to those tools.

We realize that for the described recommendations, tough trade-offs and resource allocation decisions are required from departments or organizations. Stakeholders will thus need to understand costs/benefits associated with these investments. As apparent from the case examples, there are concerns about whether using PROMs and SDM in the medical encounter might result in more care consumption. Insight is therefore needed to see how costs/benefits compare and how these relate to other areas organizations need to invest in. Another option might be to identify lower value activities that can be disinvested from (eg, standard visits to clinics when patients have no concerns), so that resources can be funnelled to the initiatives above. The general principles that will facilitate these processes are to look at long to medium term instead of just short-term outcomes and to include SDM-linked outcomes sets. SDM can yield return on investment, but it may take longer to realize the returns relative to interventions predicated in the biomedical model. For example, as evidenced from the Dutch examples in this manuscript, fully implementing SDM first requires a one-time up-front investment to train staff in the processes and procedures linked to SDM and second requires a change in care pathways—overlaid onto both of these steps is culture change required within the provider setting to allow, facilitate, and actively promote SDM such that it becomes “business as usual.” SDM will likely yield return on investment once fully integrated into the culture and practice of organizations, mainly by patients’ commitment to (less-invasive) treatment. Initial savings will offset the initial one-time up-front investment, thus allowing for subsequent savings to actually generate a return on investment.

5.3 | Macro level

At the system level, there need to be policy drivers and centrally coordinated initiatives to support key aspects of SDM, especially team talk and choice talk. In the Netherlands, there is already investment from central institutions into SDM implementation programmes and use of PROMs in medical encounters. One underexposed aspect, however, is the availability of subsidized good-quality training programmes on SDM across the country. Financial incentives may be needed to facilitate the use of SDM training and decision aids; in the Dutch context, these financial incentives would also need to be delivered through health insurance companies. However, one macro level aspect that should be acknowledged is that professionals are not only expected to work with PROMs and SDM but also within organizational systems such as stepped care. Eventually, this might lead to questions about the freedom that professionals have to use PROMs and SDM, when they also need to achieve technical and allocative/population value.

Those dilemmas and accompanied learning objectives related to both SDM and other aspects of high value health care delivery could be more explicitly incorporated into medical curricula, to become part of clinicians’ routine thinking and practice. In the Netherlands, SDM learning objectives have been adopted in medical curricula to some extent, but this implementation has not reached its full potential. In line with the conceptualization of Montori, and the Dutch Council for Health and Society, we believe that it is SDM and sensitivity to patients’ values and context (or “personal value”) that should be the main objective of training future clinicians and not merely outcomes over costs.
Another lesson learned is that central investment in high-quality and standardized decision aids, option grids, and quality standards as is now practiced in the Netherlands101 will continue to be of great importance. We recommend to also include preferred presentation formats of dashboards in those quality standards. An important question is whether current decision aids adequately take account of value clarification, as studies have not yet revealed best practices.57,102 In addition, investment in research into getting SDM into practice seems necessary, as well as in building better technology to integrate PROMs and SDM into routine practice and into value (outcomes/cost) derived from the routine use of SDM in practice to show to what extent value is being delivered.

6 STRENGTHS AND LIMITATIONS

Combining analysis of literature on SDM and VBHC with insights from forerunner projects allowed us to assess current trends in using PROMs and SDM and exigencies of implementing it in practice. The comparison of different initiatives yielded insights from a range of disciplines and health care practices, including somatic and mental health care. Limitations are the fact that reports from the Amsterdam UMC projects were obtained from one leading representative per project, so potentially conflicting views could not be ascertained. Furthermore, the projects started relatively recently, so insights may still further develop or change.

7 CONCLUSION

The use of individual, N = 1, PROM scores in the medical encounter seems to be relatively easily adopted by clinicians, mainly for monitoring and management of the patient’s condition. In theory, this information could greatly enhance choice talk (ie, value clarification) in SDM, but training of professionals seems necessary. Easy access to information could greatly enhance choice talk (ie, value clarification) in SDM, and standardized decision aids, option grids, and quality standards as is now practiced in the Netherlands101 will continue to be of great importance. We recommend to also include preferred presentation formats of dashboards in those quality standards. An important question is whether current decision aids adequately take account of value clarification, as studies have not yet revealed best practices.57,102 In addition, investment in research into getting SDM into practice seems necessary, as well as in building better technology to integrate PROMs and SDM into routine practice and into value (outcomes/cost) derived from the routine use of SDM in practice to show to what extent value is being delivered.

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ORCID

Olga C. Damman  https://orcid.org/0000-0002-4482-5042

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