Peru’s national folic acid fortification program and its effect on neural tube defects in Lima

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Objective. To evaluate the impact of Peru’s national folic acid fortification program on folic acid content in wheat flour, and the effect on birth prevalence of neural tube defects (NTDs) in Lima, and to compare the program’s legislative requirements with international standards.

Methods. Bread was sampled from six sites across Peru and tested for folic acid. Data were obtained from the largest obstetric hospital in Lima on the prevalence of births (live and still) with NTDs during both the pre-fortification period (2004–2005) and post-fortification years (2007–2008).

Results. Folic acid content in the sampled bread met national legislative requirements but was less than one-half of the level recommended for Peru by the World Health Organization (WHO) (2.6 mg/kg wheat flour). Birth prevalence of NTDs was 18.4/10 000 in the pre-fortification period and 20.0/10 000 during post-fortification years. Relative risk for NTDs after fortification was 1.02 (95% confidence interval 0.77–1.35, P = 0.90).

Conclusions. Peruvian legislative requirements for folic acid fortification are below international (WHO) recommendations; birth prevalence of NTDs in Lima is higher than international benchmarks; and no decrease in NTDs following fortification of flour with folic acid (according to Peruvian national standards) was observed. As increasing the level of folic acid in flour remains the most sustainable way of preventing NTDs, it is recommended that Peru increase its folic acid fortification requirements to meet those recommended by WHO (2.6 mg/kg).

Key words. Neural tube defects; folic acid; food, fortified; public policy; Peru.

Neural tube defect (NTD) spectrum (failure of the embryonic neural tube to close properly) is the most common congenital defect of the central nervous system (1), affecting the brain and/or spinal cord of 300 000 newborns worldwide each year (2, 3). NTDs usually occur in either the lower back or the cranium. Upper NTDs (those occurring at the 11th thoracic vertebra and above) can cause complete failure of the brain to develop (anencephaly), encephalocele, and other less common disorders. Spina bifida is the most common NTD of the lower spinal cord. Anencephaly is fatal at or within hours of birth. For a child born with an NTD other than anencephaly to survive infancy, pediatric neurosurgery is required in all but the most minor cases. Even with successful surgery, the life of the child is permanently affected. Children with spina bifida often remain paraplegic for life, develop hydrocephalus, suffer gastrointestinal and genitourinary problems, and have a shortened life expectancy (4).

Global birth prevalence of NTDs ranges from 5.5/10 000 (in the United States) (5) to as high as 82.1/10 000 (in
Currently creating financial savings to the health of a population while concurrently improving folic acid levels on a national level (9–11).

In eight countries to evaluate the change in prevalence of NTDs before and after fortification (5, 11–17) (Table 1). Of the eight previous studies, seven found a statistically significant decrease in NTDs with fortification. Saudi Arabia observed a 60% reduction in the prevalence of NTDs (from 19/10 000 to 7.6/10 000) (11). Brazil showed no significant change and no downward trend in NTDs. Further studies are expected to elucidate the consequence of fortification in Brazil (13).

NTDs are largely preventable through fortification of flour with folic acid. Prevention of NTDs helps improve the health of a population while concurrently creating financial savings to the health care system. Treating NTDs requires the participation of neurosurgeons, pediatric urologists, pediatric anesthesiologists, pediatric rehabilitation professionals, and other specialists who may not exist in sufficient quantities in many countries. In the United States, the lifetime cost for caring for a child with spina bifida has been estimated at US$319 000 (18). In Chile, the estimated lifetime cost is US$87 000. The cost of Chile’s national folic acid fortification is US$275 000 per year and prevents 103 cases of spina bifida annually. Financially this translates into a US$9 million cost savings or US$33 saved for every US$1 spent on fortification (19).

In 2006, Peruvian legislators ratified a bill to fortify wheat flour with folic acid at 1.2 mg folic acid/kg wheat flour (1.2 mg/kg) (20). The expected result of this legislation was a 25% decrease in NTDs (9).

The purpose of this study was to evaluate the impact of Peru’s folic acid legislation on the folic acid content in bread, and the effect on prevalence of live/still births with NTDs in Lima, and to compare Peru’s fortification program with international standards.

### MATERIAls AND METHODS

**Folic acid fortification measurements**

Bread was sampled through random sampling and folic acid levels were measured for six samples of wheat bread. Two samples were obtained (“A” and “B”) in each of the three cities in which the University of Utah (Salt Lake City, UT, USA) has collaborative research relationships (representing three departments) in Peru: Huaraiz (Ancash), Trujillo (La Libertad), and Lima (Lima). In each city, student research assistants randomly entered two markets and collected approximately one kilogram of bread from the fresh bread bins. After desiccation, the samples were submitted to an industrial, chemical, and food analysis laboratory (Sociedad de Asesoramiento Técnico, SAT) in Lima for folic acid evaluation. The bread samples were weighed and folic acid assays completed on each sample to determine the concentration of folic acid in each sample. Using the estimate that 77% of the dry weight of bread is wheat flour (21), the concentration of folic acid in the wheat flour was calculated.

**Neural tube defect birth prevalence**

To determine the prevalence of live/still births with NTDs, a retrospective review and analysis was conducted of hospital electronic data from the National Institute of Maternal and Perinatal Care (Instituto Nacional Materno Perinatal, INMP) in Lima. INMP, administered by the Peruvian Ministry of Health, is the largest birthing hospital in Peru, delivering over 18 000 births or 11% of all births in Lima and 3% of the 609 000 Peruvian births annually (22). This hospital was selected because it kept records of births with NTDs, had no significant change in the method of diagnosing or recording cases during the specified period, had a large sample size that allowed detection of cases of an infrequent disease, and had an electronic data collection system that made the data accessible for analysis.

At INMP, NTDs are diagnosed and recorded by attending physicians at the hospital. Subsequently, diagnoses are entered according to their International Classification of Diseases-10 (ICD-10) codes into a hospital-wide computerized database. The hospital database was searched for the number of newborns with a diagnosis of one or more anomalies associated with the ICD-10 codes for spina bifida, myelomeningocele, meningococe, lipomyelomeningocele, anencephaly, and encephalocele. At INMP, only spina bifida, anencephaly, and encephalocele were registered diagnoses in the database.

Data from all births at the hospital from 2001 through 2008 were collected and

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**TABLE 1. Rate of neural tube defects (NTDs)**

| Country         | Rate per 10 000 births | Fortification level (ppm; mg folic acid/kg flour) | Year of study | Source |
|-----------------|------------------------|-----------------------------------------------|--------------|--------|
|                 | Before fortification   | After fortification  | Change (%)  | Year of study | Source |
| Argentina       | 32.1                   | 17.6                           | −45           | 2.2               | 2003   | (12) |
| Brazil          | 7.2b                   | 5.1b                           | NSc           | 1.5               | 2004   | (13) |
| Canada          | 15.8                   | 8.6                            | −46           | 1.5               | 1998   | (14) |
| Chile           | 17.0                   | 10.1                           | −40           | 2.2               | 2000   | (15) |
| Costa Rica      | 9.7b                   | 6.3b                           | −35           | 1.0               | 1997   | (16) |
| Peru            | 18.7                   | 20.0                           | NS            | 1.2               | 2005   | (17) |
| Saudi Arabia    | 19.0b                  | 7.6b                           | −60           | 1.5               | 2001   | (11) |
| South Africa    | 14.1                   | 9.8                            | −30.5         | 1.5               | 2003   | (17) |
| United States   | 7.6                    | 5.5                            | −26           | 1.4               | 1998   | (5)   |

a Spina bifida, anencephaly, and encephalocele.

b Per live births.

c NS: not statistically significant.
analyzed. For the purposes of this report, the years from 2004–2005 were designated as “pre-fortification” and the years from 2007–2008 as “post-fortification.”

Statistical analyses were conducted using SAS statistical software (version 9.2, Cary, NC, USA). The birth prevalence is reported for each diagnosis for both the pre- and post-fortification periods. Relative risks and 95% confidence intervals (CIs) were calculated for spina bifida, anencephaly, and encephalocele comparing pre- and post-fortification rates.

To further validate the findings and examine for underlying trends, a run-time control chart was generated using data from all newborns with discharge diagnoses of NTDs. The run-time-averaged chart included control limits of ±3 standard deviations.

Comparison to South American fortification programs

To create a context in which to evaluate the Peruvian fortification program (23), a literature review of the programs of other South American countries was conducted, and the international guidelines established by the World Health Organization (WHO), based on each country’s average daily flour consumption (24), were obtained. Published reports of flour consumption by country throughout South America, levels of fortification in each country, and change in NTDs in each country since fortification, where available, were analyzed. Comparisons were then made between countries of levels of fortification, and within each country between the WHO recommendations for fortification and the actual level of fortification required by law.

The institutional review board of the University of Utah approved the human research portion of the study prior to its initiation. Permission was also obtained from INMP’s Ethics Committee. Anonymity of the data was guaranteed by the electronic database. The data were mined from the database by ICD-10 code and delivered to the researchers with no patient-identifiable data.

RESULTS

Folic acid assays performed on six Peruvian bread samples yielded levels of folic acid from 1.21 mg/kg to 2.19 mg/kg, with an average level of 1.78 mg/kg. The results were as follows: Huaraz “A,” 1.21 mg/kg; Huaraz “B,” 2.01 mg/kg; Lima “A,” 1.98 mg/kg; Lima “B,” 1.72 mg/kg; Trujillo “A,” 2.19 mg/kg; and Trujillo “B,” 1.56 mg/kg. In all of the samples, the level of folic acid was higher than Peru’s legislative requirement of 1.2 mg/kg (23) (Figure 1).

During the pre-fortification period there were 38,503 total births at the INMP, including 40 cases of spina bifida (10.4/10,000), 29 cases of anencephaly (7.5/10,000), and 2 cases of encephalocele (0.5/10,000), for a total of 72 NTDs. The birth prevalence of NTDs in the post-fortification years was 20.0/10,000 total births.

As noted in Table 2, when comparing the pre- and post-fortification periods, the birth prevalence of spina bifida increased by 59% (relative risk [RR] 1.59, 95% CI 1.15–2.22); anencephaly decreased by 64% (RR 0.36, 95% CI 0.18–0.70); and the birth prevalence of encephalocele decreased by 100% (RR 1.00, 95% CI 1.00–1.00). The overall birth prevalence of NTDs increased 2% (RR 1.02, 95% CI 0.77–1.35).

The period from 2001 to 2008 showed no significant difference in the birth prevalence of NTDs at INMP. The run-time-averaged chart revealed an average control limit (CL) of 19.5 NTDs per 10,000 births, with an upper control limit (UCL) of 26.6 and a lower control limit (LCL) of 12.5. There were no significant trends (three or more data points trending the same direction) or changes (data points outside the upper or lower control limits) before or after implementation of the fortification laws (Figure 2).

DISCUSSION

These results demonstrate that, although folic acid fortification of wheat flour in Peru is meeting national legislative requirements, children born at the INMP did not experience the decrease

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**FIGURE 1.** Folic acid fortification levels (mg/kg) recommended by the World Health Organization and required by Peruvian law versus those found in six samples of wheat bread collected from three cities (Huaraz, Lima, and Trujillo), Peru, 2009

![Graph showing folic acid fortification levels](image-url)
in NTDs that has been found in other nations with mandated fortification of wheat flour with folic acid.

Peru’s average daily wheat flour consumption per capita is estimated at 104 g/day (25). For this level of wheat flour consumption, WHO recommends folic acid fortification with 2.6 mg/kg (24) to achieve the current recommendations that women of childbearing age consume 0.4 mg of folic acid daily to decrease the risk of NTDs (26–28). Thus, Peru’s fortification law of 1.2 mg/kg (23) is less than one-half of the recommended fortification level. Also, when compared to all other countries with laws requiring fortification, Peru’s fortification is the fifth-lowest level of any fortifying nation in the world (9) and the third-lowest in the western hemisphere, with only Mexico and Ecuador ranking lower.

Limitations

This study had several limitations. First, Peru currently does not have a national birth defect surveillance system and data on NTDs can only be obtained by petitioning individual hospitals. The data reported here come from a single major hospital in an urban area and may not be representative of the entire country. This limitation is not unique to this study, however, as all pre- and post-fortification analyses conducted outside North America were based on data from a single hospital or city database. While this type of sampling has inherent biases, it is the current best practice for countries without comprehensive national databases. In addition, this study was conducted at a referral hospital. Therefore, the number of birth defects found in the study may have been inflated by antenatal referrals for high-risk pregnancies. Pre- and post-fortification comparisons should be considered valid, however, as INMP policy, documentation, and data storage systems were not altered throughout the study period.

Second, this study was carried out at an urban health facility. Differences between rural and urban settings in the proportion of total caloric consumption of wheat flour and tuber roots are well established (29, 30). This leads to variations in nutritional status and con-
sumption of fortified foods. However, in South America, urban populations consume more wheat flour than populations in rural areas, where more tubers are grown and consumed. Therefore, if no drop in NTDs was found in Lima following the fortification program, it could be assumed that there was no improvement in the rural areas of the country either.

Third, while the overall birth prevalence of NTDs did not change pre- and post-fortification, the birth prevalence of spina bifida increased, and the reason for this is not known. Possible explanations include an increase in patients referred to INMP from the catchment area, or an absolute increase in the birth prevalence of spina bifida within the population throughout the study period. According to INMP, however, patient referral patterns (along with ultrasound methods at its facility and the referring hospitals) have not changed over the past 10 years.

Strengths

This study had four key strengths. The first two were a direct result of the study site. As the largest maternity hospital in Peru, INMP is responsible for nearly 3% of all Peruvian births. This large sample of the population was ideal for analyzing uncommon conditions such as NTDs. In addition, INMP’s methods for diagnosis and data recording, storage, and analysis were established seven years prior to the initiation of the retrospective study, which ensured internal data consistency throughout the study period. The third and fourth strengths were the fact that this study was the first to test and report bread fortification levels in Peru, and it used the first Peruvian data on pre- and post-fortification birth prevalence of NTDs.

A recent paper by Tarqui-Mamani et al. investigated pre-fortification levels of NTDs at INMP from 2001–2005 based on hospital chart review (31). The study found an average rate of 13.6 NTDs per 10 000 births. While slightly lower than the rate found in the current study, this finding confirms that pre-fortification levels of NTDs among newborns in Peru are relatively high compared to other countries that fortify their wheat flour with folate. Both studies demonstrate NTD prevalence significantly higher than the rate of 5/10 000 expected in a population with a well-fortified diet (32).

The question remains as to why there was no difference before and after folic acid fortification. The authors’ hypothesis is that women of childbearing age are not getting enough folic acid in their diet. In the aforementioned study from Brazil (13), there was no downward trend or statistical difference in NTD prevalence pre- and post-fortification, similar to what was found in this study. In both Brazil and Peru, folic acid fortification levels of wheat flour are lower than that recommended by WHO, whereas in all but one of the countries with published studies that showed statistically significant decreases in NTDs, fortification levels are higher than those recommended by WHO (Figure 3). The one country that fortifies wheat flour at a lower rate than recommended by WHO yet still has shown a decrease in NTDs is Costa Rica. Costa Rica is the only country in Central and South America with mandatory folic acid fortification of four foods:

![FIGURE 3. Folic acid wheat flour fortification levels (mg/kg) required by law, versus World Health Organization (WHO) recommendations, and subsequent decreases in neural tube defects (NTDs), various countries, 1997–2005](image-url)

- Ecuador: 0%
- Colombia: 0%
- Paraguay: 26%
- Peru: 50%
- Bolivia: 31%
- Brazil: 46%
- USA: 45%
- Saudi Arabia: 40%
- South Africa: 35%
- Canada: 0%
- Argentina: 85%
- Chile: 50%
- Uruguay: 0%
- Costa Rica: 100%

Data based on current study results (Lima, 2005) and literature review (5, 11–17).

Costa Rica law fortifies 4 food groups, delivering a total daily fortification above WHO recommended 400 mcg/day.
wheat flour (1.8 mg/kg); maize flour (1.8 mg/kg); rice (1.8 mg/kg); and milk (0.4 mg/kg). This requirement brings Costa Ricans’ total daily consumption to an estimated 437 mcg/day, yielding an observed decrease in NTDs of 35% (16).

Recommended fortification levels are determined by dietary habits rather than prevalence of NTDs. WHO recommendations vary by country based on per capita wheat flour consumption, with the goal of supplying 400 mcg folic acid to the daily diet of women of childbearing age (24). For example, based on its wheat flour consumption, Saudi Arabia mandated a fortification level of 1.5 mg/kg (versus the WHO recommendation of 1.3 mg/kg) to reach a sufficient level of folic acid in the diet to significantly reduce NTDs (11). Peru mandates only 1.2 mg/kg of fortification (versus the WHO recommendation of 2.6 mg/kg) and has not reached the required levels of folic acid intake to decrease NTDs.

Red blood cell (RBC) folate studies in Peru show that prior to fortification, women were not receiving the necessary folic acid in their diets. Research in Lima revealed that 2.4% of women of childbearing years had an RBC folate level deficiency (<140 µg/L), with a median of 281 ng/ml and a mean of 306 ng/ml (33, 34). While this may seem like a low prevalence of deficiency, it translated to more than 59,000 women of childbearing age with folate deficiency in Lima. These results also mean that 50% of the population had RBC folate levels below 281 ng/ml, far below WHO’s recommendation of 400 ng/ml, and the 576 ng/ml suggested by Daly (35), as the dose above which minimal benefit in preventing NTDs is seen.

There are several other risk factors for NTDs, such as genetic defects (1), obesity (36), various folate antagonist medications (37), green tea consumption (38), and vitamin B12 deficiencies (39). While all of these factors may contribute to the high rate of NTDs in Peru, until RBC folate levels are optimized, it must be assumed that 1) current fortification is inadequate and the necessary daily intake of 400 mcg of folic acid is not being achieved, and 2) with correction of these folic acid deficits, Peru will see a decrease in NTDs, based on the results of other countries that have been studied.

The results of this study also challenge the notion that the relationship between folic acid fortification and NTD prevention is linear. Previous studies have established that while RBC folate levels respond linearly to additional consumption of folic acid, the decrease in NTDs plateaus with an RBC folate level of about 576 ng/ml (35, 40). Therefore, it has been suggested that the dose-response curve plateaus at this point, creating an upper therapeutic limit. This pattern is seen in the United States, which had a smaller benefit to fortification than that predicted by the linear model. The authors of the current study propose that prevention of NTDs follows the traditional S-shaped dose-response curve at low doses as well (i.e., at doses below the threshold dose, a minimal response would be observed), and that once the threshold dose is achieved, small increases in dose provide a large increase in therapeutic response. This could explain why Saudi Arabia saw a 60% decrease in NTDs. While the threshold dose for therapeutic response is unknown, this study suggests that there is a threshold dose that was not obtained in Peru.

Future studies are needed to further define the dose-response curve, to delineate where the threshold dose lies, and to clarify why women with the same RBC folate levels have varied clinical responses. At the international level, future research could help identify better ways to educate health care providers, administrators, and legislators to facilitate policy change.

In Peru, advocates for children can use evidence from this study indicating folic acid levels are low and maternal and infant complications are high to help inform other stakeholders that the legislation on fortification of wheat flour in Peru has not yet achieved its objectives.

Conclusion

This study confirmed that wheat millers are complying with Peru’s fortification legislation but identified no significant change in the birth prevalence of NTDs at one large hospital in Lima pre- and post-fortification of wheat flour with folic acid. It also found that Peru and other countries are not fortifying their food products to the levels recommended by WHO, and that Peru and Brazil may not be experiencing any decrease in their NTD rates. These results indicate some countries may be fortifying their food items with folic acid at levels below a threshold for improvement.

It is the responsibility of all public health policymakers to use the best available recommendations and data in directing the health policies of their respective countries. In the case of folic acid fortification, it is in the best interest of both population health and the national economy to ensure folic acid fortification to the levels recommended by WHO or higher. The level of fortification recommended by WHO appears to allow adequate folic acid intake to reach the threshold therapeutic dose for decreasing the birth prevalence of NTDs within a population. Public health policy should be adjusted to meet this daily nutritional goal to ensure that legislation will yield a measurable benefit. Policies mandating fortification below those threshold levels may be no better than no fortification at all.

NTDs remain a significant public health problem in Peru, increasing morbidity and perinatal mortality. NTD prevalence also has important implications in terms of the cost to the referral facilities providing NTD treatment and care. Already, national groups such as the Spina Bifida and Hydrocephalus Association of Peru (Asociación de Espina Bifida e Hidrocefalia del Perú, ASESBIH) and the Pediatric Society of Peru are advocating for this change. Therefore, despite the limitations of this study, Peruvian Ministry of Health officials should consider the evidence presented here on the need to increase folic acid fortification of wheat flour to international recommended levels (2.6 mg/kg) and thereby achieve higher levels of NTD prevention to reduce their impact on both child health and health care costs.

Acknowledgments. The authors thank Delmi Pino and ASESBIH for their untiring efforts to reduce NTDs in Peru and to provide care for children born with NTDs with dignity and compass.
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Manuscript received on 16 April 2012. Revised version accepted for publication on 9 September 2012.

Ricks et al. • Effect of folic acid fortification on neural tube defects, Lima, Peru
RESUMEN

Objetivo. Evaluar la repercusión que el programa nacional del Perú de fortificación con ácido fólico tiene en el contenido de ácido fólico de la harina de trigo y en la prevalencia de nacimientos de niños con defectos del tubo neural en Lima, así como comparar los requisitos legislativos del programa con las normas internacionales.

Métodos. Se hizo un muestreo del pan en seis zonas de Perú y se analizó su contenido de ácido fólico. Se obtuvieron datos del mayor hospital obstétrico de Lima referentes a la prevalencia de nacimientos de niños vivos o mortinatos con defectos del tubo neural durante el periodo anterior a la fortificación (2004–2005) y el posterior a la misma (2007–2008).

Resultados. El contenido de ácido fólico en las muestras de pan obtenidas satisfizo los requisitos legislativos nacionales, si bien fue inferior a la mitad de la concentración que la Organización Mundial de la Salud (OMS) recomienda para el Perú (2,6 mg/kg de harina de trigo). La prevalencia de nacimientos de niños con defectos del tubo neural fue de 18,4/10 000 en el periodo anterior a la fortificación y de 20,0/10 000 en los años posteriores a la fortificación. El riesgo relativo de los defectos del tubo neural después de la fortificación fue de 1,02 (intervalo de confianza de 95%: 0,77–1,35; P = 0,90).

Conclusiones. Los valores que la legislación peruana exige en lo que respecta a la fortificación con ácido fólico son inferiores a los que se recomiendan internacionalmente (OMS). La prevalencia de nacimientos de niños con defectos del tubo neural en Lima es superior a las cifras de referencia internacionales. Por otra parte, no se observó ninguna disminución de los defectos del tubo neural después de fortificar la harina con ácido fólico (según los valores normalizados nacionales peruanos). Dado que el aumento de la concentración de ácido fólico en la harina sigue siendo la manera más sostenible de prevenir los defectos del tubo neural, se recomienda que el Perú haga más estrictos los requisitos relativos a la fortificación con ácido fólico para ajustarse a los valores recomendados por la OMS (2,6 mg/kg).

Palabras clave Defectos del tubo neural; ácido fólico; alimentos fortificados; política social; Perú.