Association between Fcγ receptor IIA, IIA and IIIB genetic polymorphisms and susceptibility to severe malaria anemia in children in western Kenya

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Abstract

Background: Naturally-acquired immunity to Plasmodium falciparum malaria develops after several episodes of infection. Fc gamma receptors (FcγRs) bind to immunoglobulin G (IgG) antibodies and mediate phagocytosis of opsonized microbes, thereby, linking humoral and cellular immunity. FcγR polymorphisms influence binding affinity to IgGs and consequently, can influence clinical malaria outcomes. Specifically, variations in FcγRIIA -131Arg/His, FcγRIIIA-176F/V and FcγRIIIB-NA1/NA2 modulate immune responses through altered binding preferences to IgGs and immune complexes. Differential binding, in turn, changes ability of immune cells to respond to infection through production of inflammatory mediators during P. falciparum infection.

Methods: We determined the association between haplotypes of FcγRIIA-131Arg/His, FcγRIIIA-176F/V and FcγRIIIBNA1/NA2 variants and severe malarial anemia (SMA; hemoglobin < 6.0 g/dL, any density parasitemia) in children (n = 274; aged 6–36 months) presenting for their first hospital visit with P. falciparum malaria in a holoendemic transmission region of western Kenya. FcγRIIA-131Arg/His and FcγRIIIA-176F/V genotypes were determined using TaqMan® SNP genotyping, while FcγRIIIBNA1/NA2 genotypes were determined using restriction fragment length polymorphism. Hematological and parasitological indices were measured in all study participants.

Results: Carriage of FcγRIIA-131Arg/FcγRIIIA-176F/FcγRIIIBNA2 haplotype was associated with susceptibility to SMA (OR = 1.70; 95% CI; 1.02–2.93; P = 0.036), while the FcγRIIA-131His/ FcγRIIIA-176F/ FcγRIIIB NA1 haplotype was marginally associated with enhanced susceptibility to SMA (OR: 1.80, 95% CI; 0.98–3.30, P = 0.057) and higher levels of parasitemia (P = 0.009). Individual genotypes of FcγRIIA-131Arg/His, FcγRIIIA-176F/V and FcγRIIIB-NA1/NA2 were not associated with susceptibility to SMA.

Conclusion: The study revealed that haplotypes of FcγRs are important in conditioning susceptibility to SMA in immune-naïve children from P. falciparum holoendemic region of western Kenya.

Keywords: FcγRs, Susceptibility, Polymorphisms, Malaria anemia
Background
In *Plasmodium falciparum* malaria holoendemic transmission regions, such as western Kenya, malaria manifests with a milieu of life-threatening conditions including severe malarial anemia (SMA), metabolic acidosis, high-density parasitemia ($\geq$10,000 parasites/μL), respiratory distress, hypoglycaemia and other infrequent complications such as hypotension [1]. Even though not fully understood, severe clinical malaria is a multi-factorial process involving sequestration of infected red blood cells (iRBCs) in particular organs such as spleen [2], bone marrow suppression leading to dyserythropoiesis [3], and limited, malaria-specific antibody immunity and dysregulation in inflammatory responses [4]. Due to the gradual development of immunity against *P. falciparum* malaria in holoendemic areas, infants and young children suffer the greatest disease burden. The most common clinical manifestation of severe *P. falciparum* malaria infection in pediatric populations of western Kenya is SMA (hemoglobin, Hb < 6.0 g/dL, any density parasitemia) [5].

The binding of immunoglobulin domains to Fc receptors on target cells is important to initiate immunological defense against pathogens including antigen presentation, phagocytosis, cytotoxicity, induction of inflammatory processes and modulation of immune responses [6]. Therefore, Fc gamma receptors (FcγRs) are important in providing a significant link between the humoral and cellular immunity by bridging the interaction between specific antibodies and effector cells [7]. Previous studies demonstrate that polymorphic variability in these receptors is an important determinant of susceptibility to infections [8, 9].

Previous investigations have also shown that the efficacy of the cellular immune response is influenced by FcγR polymorphisms, and consequently, influence clinical outcomes for infectious diseases such as malaria [9, 10]. The human FcγRIIA mediates phagocytic function of monocytes, macrophages and neutrophils. The presence of FcγRIIA-131Arg/131His polymorphism affects the binding to the IgG1 and IgG3 [11]. As reviewed by Grant and colleagues [12], FcγRIIA-131His/His homozygotes is associated with higher IgG2 levels and protection against high parasitemia and has been considered as protective against blood stage *P. falciparum* infection both in African and Asian populations [13].

FcγRIIA is an activating receptor with two co-dominantly expressed alleles, the 176 V and the 176 F that differ in an amino acid at position 176 in the extracellular domain (valine or phenylalanine, respectively) [14]. Dimorphisms in the amino acid at position 176F/V influences the binding of the immunoglobin G (IgG) subtype, with the 176 V variant having higher binding affinity for monomeric forms of IgG1 and IgG3, as compared to the 176F [15] which is potentially important in infectious disease immunity.

On the surface of polymorphonuclear leucocytes, the most abundantly expressed FcγRs is the FcγRIIB. These receptors exhibits two allotypic forms i.e. neutrophil antigens (NA) 1 and 2 which differ in minor amino acids at position 65 and 82 in two extra-glycosylation site in NA2 [16, 17] with different binding affinities. The NA2/NA2 allotype is associated with low immunoglobulin-mediated phagocytosis [18, 19]. The phagocytosis of IgG1- and IgG3-opsonized immune complexes is more efficient on neutrophils bearing FcγRIIB-NA1 relative to FcγRIIB-NA2 [18].

A number of genetic association studies have provided evidence that polymorphic variation in FcγRs have a strong effect on susceptibility to inflammatory mediated diseases [20–24]. Even though FcγRs are important in the immune response to infection, the effect of its haplotypes on susceptibility to SMA in immune-naïve children remain largely undetermined. In the present study, we determined the association between FcγRIIA, IIIA and IIIB haplotypes and SMA, and the influence of these haplotypes on peripheral parasite burden during acute falciparum infections in an extensively phenotyped cohort of children from a *P. falciparum* holoendemic transmission area western in Kenya.

Methods

Study site

The study was conducted at Siaya County Referral Hospital (SCRH), western Kenya, a *P. falciparum* holoendemic transmission region [25]. Over 98% of the inhabitants are from the Luo ethnic tribe, hence providing a homogenous population for immuno-genetic studies. Falciparum malaria prevalence is ~83% in children aged <4 years, with severe disease manifesting as SMA (Hb < 6.0 g/dL) with or without high-density parasitemia (HDP; ≥10,000 parasites/μL of blood) [5].

Study participants
Children [$n = 274$, aged 6–36 months] of both sexes were recruited at SCRH during their initial hospitalization for treatment of malaria. Recruitment followed a two-phase tier of screening and enrolment. The parent/legal guardian of the child received detailed explanation of the study. Enrollment decisions were made after initial HIV-1 screening of the child and a signed informed consent, which included authority to publish the findings. Questionnaires and written informed consent were administered in the language of choice (i.e. English, Kiswahili or Dholuo). Children with acute malaria were stratified into two categories: non-severe malarial anemia (non-SMA) group defined as a positive smear for asexual *P. falciparum* parasitemia (of any density) and Hb ≥ 6.0 g/dL; and SMA group defined by a positive smear for asexual *P. falciparum* parasitemia (of any density) and Hb < 6.0 g/dL [25]. Venous blood samples...
(<3.0 mL) were collected into EDTA-containing vacutainer tubes at the time of enrollment, prior to any treatment interventions or supportive care. Blood samples were used for malaria diagnosis, complete hematological profile measurements, HIV testing, bacterial culture and genetic analyses. Children were excluded from the study for any one of the following reasons; children with CM (a rare occurrence in this holoendemic area); clinical evidence of acute respiratory infection; and prior hospitalization. Participants were included according to the Ministry of Health (MOH)-Kenya guidelines. This included the administration of oral artemether/lumefantrine (Coartem®) for uncomplicated malaria and intravenous quinine (and when indicated, blood transfusion) for severe malaria.

**Laboratory procedures**

Hemoglobin levels and complete blood counts were determined using the Beckman Coulter ACT diff® (Beckman-Coulter Corporation, Miami, FL, USA). To determine parasitemia, 10% Giemsa-stained thick and thin blood smears were prepared and examined under a microscope on high power magnification. *P. falciparum* parasites per 300 white blood cells (WBCs) were determined, and parasitemia (per μL) was estimated using the total WBC count. In order to delineate severe anemia caused by malaria versus other anemia-promoting conditions, human immunodeficiency virus (HIV)-1, bacteremia and sickle-cell trait (HbAS) were determined in all study participants. The effect of these parameters on disease severity was controlled for during in all regression models. Pre- and post-test HIV counseling was provided for all participants. HIV-1 exposure and infection were determined serologically (i.e., Unigold™ and Determine™) and discordant results confirmed through HIV-1 proviral DNA PCR testing, according to previously published methods [26]. Bacteremia was determined using the Wampole Isostat Pediatric 1.5 system (Wampole Laboratories, Town, Country). The presence of the sickle cell trait (HbAS) was determined by cellulose acetate electrophoresis (Helena Bio-Sciences, Oxford, United Kingdom) while G6PD deficiency was determined by fluorescent spot test using the manufacturer’s methods (Trinity Biotech Plc., Bray, Ireland).

**Genotyping of FcyRs polymorphisms**

Blood spots were made on FTA Classic® cards (Whatman Inc., Clifton, NJ, USA), air-dried, and stored at room temperature until used for DNA extraction. DNA was extracted using the Gentra System (Gentra System Inc., Minneapolis, MN, USA) based on the manufacturer’s instructions. The FcyRIIA-131Arg/His (rs1801274, assay ID: C_9077561_20) and FcyRIIIB-NA1/NA2 genotyping for the rs448740 (N65S) and rs147574249 (N82D) was performed according to a previously described RFLP method [27].

**Data analyses**

SPSS® statistical software package version 20.0 (IBM SPSS Inc., Chicago, IL, USA) was used for all statistical analyses. Chi-square analysis was used to examine differences between proportions. Mann-Whitney U test was used for comparisons of demographic and clinical characteristics between the two clinical groups. The association between FcyRIIA-131Arg/His, FcyRIIIB-176F/V and FcyRIIIB-NA1/NA2 genotypes, haplotypes and SMA was determined using bivariate logistic regression analysis controlling for confounding effects of age, gender, co-infections (bacteremia and HIV-1), G6PD deficiency, and sickle cell trait (HbAS). Student’s t-test was used to determine differences in the levels of parasitemia between the carriage and non-carriage of the haplotypes. Levels of parasitemia were log-transformed to normal distribution. FcyRIIA-131Arg/His, FcyRIIIB-176F/V and FcyRIIIB-NA1/NA2 allele frequencies, consistency and/or deviations from Hardy-Weinberg Equilibrium (HWE) were determined using web-based site emerald.tufts.edu/AQ3/~court01/Documents/Court%20lab%20-%20HW. Statistical significance was set at P ≤ 0.05.

**Results**

Demographic clinical and laboratory characteristics of study participants

We conducted a cross-sectional analysis of children (*n* = 274, aged 6–36 months) presenting with acute *P. falciparum* malaria (any density parasitemia) (See Additional file 1). Clinically, the study participants were classified into two categories based on a previous study in an age- and geographically-defined reference population from western Kenya [25], i.e., severe malaria anemia (SMA; Hb < 6.0 g/dL; *n* = 114) and non-SMA (Hb ≥ 6.0 g/dL, *n* = 160). There were more males in the non-SMA category compared to the SMA group (*P* = 0.039). Children with SMA were younger (age in months) [median (IQR); 8.0 (7.0)] than those in the non-SMA group [median (IQR); 13.5 (8.80)], *P* < 0.001. Parasitemia values (log10 of parasites/μL) was comparable between the study groups, SMA [mean (SEM); 4.09 (±0.07)] and non-SMA [mean (SEM); 4.24 (±0.06)], *P* = 0.088. The proportion of participants with high-density parasitemia (HDP) was also comparable between the clinical groups (62.3% in SMA and 71.9% in non-SMA, *P* = 0.094). Similarly, there was no difference in body temperature (°C) between the study groups, SMA [median (IQR); 38.0
and non-SMA [median, (IQR), 38.0; (1.40)], respectively, \( P = 0.430 \). Further analysis revealed that children with SMA had higher respiration rate (breaths/min), [median, (IQR); 12.0] than non-SMA, [median, (IQR); 26.0, (14.00)], \( P < 0.001 \). Analysis of hematological parameters revealed that red blood cells counts (RBCs \( \times 10^{12}/\mu L \)) were higher in children with non-SMA [median, (IQR); 3.72, (1.16)] than those with SMA, [median, (IQR); 2.20, (0.86)], \( P < 0.001 \). The SMA group were also characterized by elevated levels of white blood cells counts (WBC \( \times 10^{3}/\mu L \)) were lower in children with SMA, [median (IQR); 10.95 (5.90)], \( P = 0.025 \). The distribution of G6PD in SMA and non-SMA were comparable (7.0% in SMA and 7.5% in non-SMA, \( P = 0.880 \)). Similarly, the distribution of those with sickle cell trait in SMA and non-SMA were comparable (SMA 19.30% while non-SMA 28.70% respectively, \( P = 0.074 \)). These results are presented on Table 1.

Table 1 Demographic clinical and laboratory characteristics of study participants

| Characteristics | SMA (Hb < 6.0 g/dL) | non-SMA (Hb ≥ 6.0 g/dL) | \( P \)-value |
|-----------------|---------------------|------------------------|---------------|
| Sex, n (%)      |                     |                        |               |
| Male            | 49 (43.00)          | 89 (55.40)             | 0.039<sup>a</sup> |
| Female          | 65 (57.00)          | 71 (44.60)             |               |
| Age, (months)   | 8.0 (7.00)          | 13.5 (8.80)            | <0.001<sup>b</sup> |
| Log<sub>10</sub> of parasitemia | 4.09 (±0.07) | 4.24 (±0.06) | 0.088<sup>c</sup> |
| HDP (≥10,000 parasites/μL) | 71/114 (62.3) | 115/160 (71.9) | 0.094<sup>d</sup> |
| Temperature, (°C) | 38.0 (1.20) | 38.0 (1.40) | 0.430<sup>d</sup> |
| Respiration rate, (breaths/min) | 32.0 (12.00) | 26.0 (14.00) | <0.001<sup>b</sup> |
| Haematological indices |                  |                        |               |
| Hemoglobin, g/dL | 5.00 (1.00)       | 7.95 (3.00)            | <0.001<sup>b</sup> |
| Hematocrit, %   | 15.90 (4.30)       | 25.0 (7.40)            | <0.001<sup>b</sup> |
| RBC, (x 10<sup>12</sup>/μL) | 2.20 (0.86) | 3.72 (1.16) | <0.001<sup>b</sup> |
| RDW, (%)        | 23.00 (5.20)       | 20.45 (4.40)           | <0.001<sup>b</sup> |
| WBC (x10<sup>3</sup>/μL) | 13.50 (8.80) | 10.95 (5.90) | <0.001<sup>b</sup> |
| Platelet Counts (x10<sup>5</sup>/μL) | 150.00 (93.00) | 170.00 (13.10) | 0.025<sup>d</sup> |
| Genetic characteristics |                  |                        |               |
| G6PD n (%)      | 8 (7.00)            | 12 (7.50)              | 0.880         |
| Sickle cell trait, n (%) | 22 (19.30) | 46 (28.70) | 0.074         |

Data are presented as the median [interquartile range] and n (%) of children unless stated otherwise. Parasitic children \( n = 274 \) were categorized as SMA \( n = 114 \) and non-SMA \( n = 160 \) according to modified definition of SMA (Hb < 6.0 g/dL, with any density parasitemia). *Statistical significance was determined by the Chi-square \( \chi^2 \) analysis. **Statistical significance was determined using Mann-Whitney U test. \*Statistical significance was determined using Student’s t-test. Abbreviations: G6PD Glucose-6-Phosphat dehydrogenase, HDP high density parasitemia, RBC-Red blood cells, RDW - Red cell distribution width; WBC-White blood cells. Probability values were considered statistically significant at \( P \leq 0.05 \)

Values in bold are significant \( p \)-values at a cut-off of \( p < 0.05 \)
Within the non-SMA group, the distribution was 77 (48.1%) FF, 60 (37.5%) FV and 23 (14.4%) for VV and the genotypes showed consistency with HWE ($\chi^2 = 3.774$, $P=0.052$). The distribution of these genotypes in overall population showed consistency with HWE ($\chi^2 =2.510$, $P=0.113$) and had an overall mutant allele frequency of 0.30 (V). FcγRIIIB-NA1/NA2 genotypes distribution in the SMA group were 6 (5.3%) NA1, 73 (64.0%) NA1/NA2 and 35 (30.7%) NA2, while in non-SMA there was 8 (5.0%) NA1, 94 (58.8%) NA1/NA2 and 58 (36.2%) NA2. The distributions of the genotypes in both SMA and non-SMA revealed deviation from HWE normality ($\chi^2 = 15.549$, $P < 0.001$, and $\chi^2 = 14.608$, $P < 0.001$, respectively). In addition, HWE deviation was revealed by the FcγRIIIB-NA1/NA2 genotypes' distribution considering the whole study group ($\chi^2 = 29.47$, $P < 0.001$) with variant allele frequency of 0.36 (NA1), Table 2.

### Table 2: Distribution of FcγRIIA-131Arg/His, FcγRIIA-176F/V and FcγRIIB-NA1/NA2 genotypes within the study groups

| Genotypes                  | SMA (Hb < 6.0 g/dL) | Non-SMA (Hb ≥ 6.0 g/dL) | $P$-value | HWE, $P$-value |
|----------------------------|---------------------|-------------------------|-----------|----------------|
| FcγRIIA-131Arg/His         |                     |                         |           |                |
| Arg/Arg, n (%)             | 30 (26.3)           | 39 (24.3)               |           |                |
| Arg/His, n (%)             | 59 (51.8)           | 71 (44.4)               | 0.226b    | 0.402b         |
| His/His, n (%)             | 25 (21.9)           | 50 (31.3)               |           |                |
| X(His) = 0.48              |                     |                         |           |                |
| FcγRIIA-176 F/V            |                     |                         |           |                |
| FF, n (%)                  | 61 (53.5)           | 77 (48.1)               |           |                |
| FV, n (%)                  | 45 (39.5)           | 60 (37.5)               | 0.162b    | 0.113b         |
| VV, n (%)                  | 8 (7.0)             | 23 (14.4)               |           |                |
| X(V) = 0.30                |                     |                         |           |                |
| FcγRIIB-NA1/NA2            |                     |                         |           |                |
| NA1/NA1                    | 6 (5.3)             | 8 (5.0)                 |           |                |
| NA1/NA2                    | 73 (64.0)           | 94 (58.8)               | 0.632b    | <0.001b        |
| NA2/NA2                    | 35 (30.7)           | 58 (36.2)               |           |                |
| X(NA1) = 0.36              |                     |                         |           |                |

**Note:** All data are presented as n (%) of children. Children with parasitemia were categorized on the basis of presence or absence of severe malarial anemia SMA based (defined as Hb < 6.0 g/dL, with any density parasitemia). Statistical significance determined by $\chi^2$ analysis. X; the overall minor allele frequency in the study population. HWE Hardy-Weinberg Equilibrium. Values in bold are significant $p$-values at a cut-off of $p \leq 0.05$.

### Association between FcγRIIA-131Arg/His, FcγRIIA-176F/V and FcγRIIB-NA1/NA2 and severe malarial anemia (SMA, Hb < 6.0 g/dL)

We conducted genetic association analysis based on dominant, additive and recessive models of the FcγR polymorphisms. The FcγRIIA-131His/His dominant model did not reveal association with SMA susceptibility (OR = 0.59, 95% CI, 0.33–1.05, $P = 0.077$). Further analysis did not reveal association between SMA using the additive (OR = 1.52, 95% CI, 0.72–2.93, $P = 0.298$) or the recessive model (OR = 0.98, 95% CI, 0.56–1.75, $P = 0.963$). The dominant (OR = 1.27, 95% CI, 0.79–2.10, $P = 0.343$) and the additive (OR = 0.77, 95% CI, 0.63–1.83, $P = 0.796$) model of the FcγRIIB-NA1/NA2 dimorphism did not show associations with SMA. However, the recessive model of FcγRIIB-NA1/NA2 showed a trend towards protection against SMA, albeit with marginal significance (OR, 0.43, 95% CI, 0.18–1.02, $P = 0.056$). Analysis of all the genetic models of FcγRIIB-NA1/NA2 variation did not reveal any association with SMA; dominant [OR = 0.76, 95% CI, 0.44–1.28, $P = 0.786$], additive [OR = 1.34, 95% CI, 0.78–2.30, $P = 0.288$] and recessive [OR = 1.20, 95% CI 0.36–3.94, $P = 0.767$], Table 3.

### FcγRIIA-131/FcγRIIA-176/FcγRIIB haplotypes distribution within the study groups and association with severe malarial anemia

Prior to performing regression analysis to determine the association between the FcγRIIA-131His/Arg, FcγRIIA-176F/V and FcγRIIB-NA1/NA2 haplotypes and SMA, we compared the distribution of the carriage of the haplotypes within the study groups. In total, eight haplotypes were generated after haplotype construction. We selected four common haplotypes with an overall frequency > 8.0% in the whole population. The haplotypes were distributed as follows; FcγRIIA-131Arg/FcγRIIA-176F/FcγRIIBNA2, (0.33), FcγRIIA-131His/FcγRIIA-
Table 4  FcγRIIA-131/FCRIIB haplotypes distribution within the study groups and association with severe malarial anemia

| FcγRIIA-131/Arg, FcγRIIB NA1/NA2 haplotypes | Study groups | P-value* | SMA (Hb < 6.0 g/dL) |
|-----------------------------------------------|--------------|----------|---------------------|
|                                               |              |          | OR  | 95% CI | P-value** |
| 131 Arg/176 F/NA2 (n = 171)                    | SMA (n = 79) | 79 (69.3) | 1.70 | 1.02–2.93 | 0.036 |
|                                               | non-SMA (n = 92) | 92 (57.5) |      |         |          |
| 131 His/176 F/NA1 (n = 59)                     | SMA (n = 30) | 30 (26.3) | 1.80 | 0.98–3.30 | 0.057 |
|                                               | non-SMA (n = 29) | 29 (18.1) |      |         |          |
| 131 His/176 F/NA2 (n = 87)                     | SMA (n = 32) | 32 (28.1) | 0.76 | 0.44–1.32 | 0.334 |
|                                               | non-SMA (n = 55) | 55 (34.4) |      |         |          |
| 131 His/176 V/NA1 (n = 79)                     | SMA (n = 28) | 28 (24.6) | 0.71 | 0.41–1.25 | 0.234 |
|                                               | non-SMA (n = 51) | 51 (31.9) |      |         |          |

Children with acute malaria (n = 274) were grouped based on SMA (defined as Hb ≤ 6.0 g/dL with any density parasitemia) [25]. Odds ratios (OR) and 95% confidence intervals (CI) were determined using bivariate logistic regression controlling for age, gender, co-infections (HIV-1 and bacteremia), sickle cell trait (HbAS) and G6PD deficiency. The reference groups in the logistic regression analysis were the non-carriage of respective haplotypic structures. n; the number of participants with the respective haplotype. n (%); number (percentage) of participants with respective haplotype in each study group. **P-value determined using Chi-square (χ²). *P-values determined using logistics regression analysis. All P-values were considered statistically significant at P ≤ 0.05. Values in bold are significant p-values at a cut-off of p≤0.05.
revealed that carriage of FcγRIIA-131His/FcγRIIIA-176F/FcγRIIBNA1 haplotype [mean (SEM); 4.37 (± 0.079), n = 59] relative to non-carriage [mean (SEM); 4.12 (± 0.052), n = 215], P = 0.009), was associated with higher parasitemia. Additional analysis showed that the level of parasitemia was comparable between the carriage and non-carriage of FcγRIIA-131Arg/FcγRIIIA-176F/FcγRIIBNA2 haplotype [mean (SEM); 4.18 (± 0.057), n = 171] versus non-carriage [mean (SEM); 4.17 (± 0.074), n = 103], P = 0.973) and FcγRIIA-131His/FcγRIIIA-176F/FcγRIIBNA2 [mean (SEM); 4.23 (± 0.073), n = 87] versus non-carriage [mean (SEM); 4.16 (± 0.056), n = 187, P = 0.521]. Further analysis also showed that the level of parasitemia was also comparable between those with FcγRIIA-131His/FcγRIIIA-176 V/FcγRIIBNA1 haplotype [mean (SEM); 4.21 (± 0.079), n = 79] versus those without the haplotype [mean (SEM); 4.16 (± 0.096), n = 195], P = 0.587), Fig. 1(a-d).

Discussion

Based on the observations that Fc gamma receptors (FcγRs) are important contributory factors for infectious disease immuno-pathogenesis, the association between the FcγRIIA-131Arg/His, FcγRIIIA-176F/V and FcγRIIB-NA1/NA2 polymorphisms and pediatric severe malaria anemia (SMA; Hb < 6.0 g/dL, any density parasitemia) was determined. We further assessed whether the carriage of different haplotypes of FcγRs were associated with parasite levels in P. falciparum infections. The current study demonstrated that the FcγRIIA-131Arg/ FcγRIIIA-176F/ FcγRIIBNA2 haplotype was associated with an increased susceptibility to SMA, while the FcγRIIA-131Arg/ FcγRIIIA-176F/ FcγRIIBNA1 haplotype was associated with increased levels of circulating parasites during infection. However, there was no association between the individual genotypes and SMA in this pediatric population from western Kenya.

The FcγRs constitute a crucial arm of host immune defense against extracellular challenges by infectious agents through engagement of IgGs to enable innate immune effectors cells carry out phagocytosis and other downstream processes leading to immunity [14, 31]. Some polymorphisms in the FcγRs have been identified as genetic determinants of susceptibility to infectious diseases [21, 32]. The FcγRIIA-131Arg/His polymorphism leads to Histidine to Arginine change at 131 located at its second extracellular immunoglobulin-like domain [8, 33]. The FcγRIIA-31His/His has efficient binding to IgG2 as opposed to FcγRIIA-131Arg/Arg. In addition, the IgG2 and IgG3 antibodies have been shown to confer
resistance to malaria by some studies [34, 35]. In our current study, however, we did not find any association between FcγRIIA-131Arg/His polymorphism and SMA. An earlier study [23] in Ghanaian children demonstrated that FcγRIIA-131His/His was associated with an increased risk of severe malaria anemia, but not cerebral malaria or any other malarial complication. Of note is the fact that a number of studies have shown contradictory results on the actual role of this variant on malarial disease severity [36, 37]. These discrepancies may be attributed to clinical definitions of malaria, different genetic backgrounds from ethnic diversity and overall sample (population) size in previous studies.

The FcγRIIIA-176F/V gene displays a functional allelic polymorphism that generates allotypes exhibiting different receptor properties [38]. Our study revealed no association between the FcγRIIIA-176F/V polymorphism and susceptibility to SMA in this pediatric population. This may imply that this particular variant is not independently associated with susceptibility to SMA which is consistent with our previous study involving the combined effect of toll-like receptor 9 and FcγRIIA polymorphisms [39]. The FcγRIIB is a C-terminus linked glycosylphosphatidylinositol (GPI) moiety anchored receptor, exclusively expressed on neutrophils with three characterized allotypes i.e. human neutrophil antigen (HNA-1a or NA1, HNA-1b or NA2 and HNA-1c or SH) [27]. The NA variants, NA1 and NA2, are a product of five non-synonymous SNPs in the first Ig-like domain, with an asparagine to serine switch at amino acid position 65 resulting in glycosylation and reduced affinity in the NA2 allele [19, 38]. In the current study, we did not observe an association between either the NA1 or NA2 allotypes and susceptibility to SMA using common genetic models i.e., dominant, additive and recessive models. However, in Ghanaian children aged 1 to 12 years, the FcγRIIB-NA2 was associated with susceptibility to clinical malaria [40]. In a different study of malaria patients in Thailand, the FcγRIIB-NA2 allotype was associated with cerebral malaria, but not other forms of severe malaria [21]. Given the differences in findings from different populations and a diversity of clinical manifestations associated with malaria, the exact role of FcγRIIB-NA2 in mediating outcome of malarial disease remains to be further explored.

It is important to note that FcγRs function synergistically, especially via crosslinking, resulting in phagocytosis of immunoglobulin-opsonized immune complexes or through stimulation of neutrophil granulation leading to production of reactive oxygen species (ROS) [41, 42]. Moreover, the additive and interaction effects of host genotype and infection affect malaria outcome [43] in malaria. In the current study, haplotypic analysis revealed that carriage of the FcγRIIA-131Arg/FcγRIIA-176F/FcγRIIBNA2 haplotype was associated with susceptibility to SMA. This is not surprising given that the haplotype had a higher frequency in the SMA group relative to the non-SMA group. Consistent with these observations, previous studies have demonstrated that the FcγR-131Arg/Arg is associated with low phagocytic activity and poor immune complex clearance [33], which may imply that its inheritance as a haplotype, together with FcγRIIIA-176F and the FcγRIIBNA2 allotypes, impart decreased cellular responses to IgG-mediated stimulation [15, 18], and subsequently, susceptibility to SMA. Although the exact mechanisms through which the FcγRIIA-131Arg/FcγRIIA-176F/FcγRIIBNA2 haplotype result in severe malaria susceptibility were not evaluated in the current study, it is scientifically plausible to propose that carriage of the haplotype may lead to a reduced crosslinking in neutrophils, hence low phagocytic activity resulting in reduced antibody dependent respiratory burst (ADRB), a mechanism by which neutrophils provide protection against clinical malaria [44–46]. Moreover, the FcγRIIA-131Arg/Arg, FcγRIIA-176F/F and FcγRIIB-NA2 allotypes are associated with low binding to cytophilic antibodies, which have been shown to play major roles in ADRB [47, 48]. Taken together, the FcγRIIA-131Arg/FcγRIIIA-176F/FcγRIIBNA2 haplotype may culminate in a reduced protective inflammatory response leading to enhanced susceptibility in children with SMA.

The finding that the FcγRIIA-131His/FcγRIIIA-176F/FcγRIIBNA1 haplotype was associated with higher parasitemia levels is fascinating given the fact that the FcγRIIA-131His/His and FcγRIIB-NA1 allotypes in this haplotype construct are associated with effective binding to cytophilic IgGs [49], leading to clearance of opsonized parasites as opposed to the FcγRIIIA-176F/F. One possible explanation for this observation could be that high levels of parasitemia in the haplotype may be associated with the diluting effect of the FcγRIIA-176F allele, which has a low binding to cytophilic antibodies [15], and hence reduced clearance of parasites. However, it is worth noting that FcγRIIA binding of IgG is important in induction of natural killer (NK) cells stimulatory properties which results in release of pro-inflammatory mediators, such as IL-1β, interferon-γ and tumor necrosis factor-α [50] whose imbalances have been implicated in pathogenesis of clinical malaria in children.

Differences in the allelic frequencies of FcγRs SNPs observed in the current study likely suggest their indirect influence on malaria susceptibility and pathogenesis in the current population. The deviation from HWE of FcγRIIB NA1/NA2 genotypes in the current study remains consistent with the results of FcγRIIB genetic polymorphisms performed in our previous reporting in which we included 528 children [22]. It is likely that the observed NA1/NA2 genotype frequencies were in part due to consanguinity, however, this effect was not determined in the current study population. As much as HWE inconsistency may be
due to genotyping errors [51], it is worth noting that the likelihood of this error was significantly reduced since in our previous population [22] we genotyped both FcγRIIA -131Arg/His and FcγRIIIB-NA1/NA2 using RFLP method in which the genotype frequencies were comparable to those in the population in which TaqMan genotyping was used for FcγRIIA -131Arg/His. We thus hypothesize that the observed HWE deviation in FcγRIIIB could be due to unidentified mutation likely resulting from disease-related evolutionary selection pressure by *P. falciparum* (and potentially by other infectious disease in the population) that does not affect the neighboring FcγRIIA and FcγRIIIB genes. This, however, remains to be determined most preferably by whole genome sequencing so as to develop a conclusive explanation.

In summary, the current study demonstrates that FcγRs haplotypes, but not individual genotypes are associated with malarial disease severity, demonstrating the combinatorial effects of FcγRs on influencing clinical malaria outcomes. Future studies aimed at longitudinally measuring immune complexes over time will help to delineate the important role of FcγR haplotypes on susceptibility to severe malaria in pediatric populations.

**Additional file**

**Additional file 1**: These details of the raw data for the study participants (N=274) used in the analyses of results presented in the current paper. (XLS 222 kb)

**Abbreviations**

AEBR: Antibody dependent respiratory burst; CM: Cerebral malaria; FcγR: Fc gamma receptor; GPD: Glucose-6-phosphate dehydrogenase; HB: Hemoglobin; HbAS: Hemoglobin AS type; HDP: High density parasitemia; HWE: Hardy Weinberg equilibrium; IgG: Immunoglobulin G; IQR: Interquartile range; MOH: Ministry of Health; NA: Neutrophil antigen; NK: Natural killer cells; SEM: Standard error of mean; SMA: Severe malarial anemia; SNP: Single nucleotide polymorphisms; WBCs: White blood cells.

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**Availability of data and materials**

All data generated or analysed during this study are included in this published article (and its Additional file 1).

**Authors’ contributions**

EOM, WAO, ER, SBA, TW, JMO, DJP and CO designed, carried out the survey studies in the rural population and participated in the drafting of the manuscript. EOM, ER and WAO performed the statistical analyses and participated in the drafting of the manuscript. All authors read and approved the final manuscript.

**Competing interest**

The authors declare that they have no competing interests.

**Consent for publication**

Not applicable.

**Ethics approval and consent to participate**

The study was approved by the Scientific and Ethics Review Unit at the Kenya Medical Research Institute.

**Consent**

Informed written consent was obtained from the parent or legal guardian of all children participating in the study.

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