At the device integration of 9-atom wide armchair graphene nanoribbons (9-AGNRs) into a multi-gate FET geometry, consisting of an ultra-narrow finger gate and two side gates is reported. High-resolution electron-beam lithography (EBL) is used for defining finger gates as narrow as 12 nm and combine them with graphene electrodes for contacting the GNRs. Low-temperature transport spectroscopy measurements reveal quantum dot (QD) behavior with rich Coulomb diamond patterns, suggesting that the GNRs form QDs that are connected both in series and in parallel. Moreover, it is shown that the additional gates enable differential tuning of the QDs in the nanojunction, providing the first step toward multi-gate control of GNR-based multi-dot systems.

1. Introduction

Bottom-up synthesized GNRs have attracted considerable interest as possible future electronic building blocks. This is mainly due to the fact their chemical structure can be controlled with atomic precision, a property that top-down etched GNRs lack.[1–3] Bottom-up synthesized GNRs can, therefore, be regarded as a designer quantum material, where the material properties can be designed by selecting the appropriate chemical precursors and synthetic routes.[4–17] As such, one can largely tune their bandgap,[18,19] form pn-junctions within a single, heterogeneous ribbon,[6] tailor spin-polarized states,[7,20] and even topologically non-trivial phases.[8–10,16,21] Exploiting these properties in electronic devices requires...
contacting strategies that preserve the integrity of the GNRs, while at the same time allowing for charge carriers to flow through. Moreover, many technological applications require electrostatic control over the level structure of the GNRs. For example, field-effect transistors require the presence of a single gate electrode to tune the channel to conductance, while multiple gate electrodes are needed for the realization of qubits.

Several prototypical GNR devices have been studied to date,[10,22–28] exhibiting various charge-transport characteristics, such as high-performance field-effect transistors operating at room temperature,[22] gate-tunable QDs at low temperature,[10,25] and temperature-activated transport through micron-sized films.[26,28] However, many challenges remain in the device integration of these materials. On the one hand, the contacts need to be improved further,[29] as well as the transfer process from the growth substrate to the devices substrate which can lead to defects, impurities, and adsorbates at the interface between GNRs and the electrode material. On the other hand, advanced gating strategies, such as ultrashort transistors[30,31] or multi-gate architectures, are highly desirable for devices that require additional control over the electrostatic landscape of the device. To date, due to the nanoscale size of the GNRs, only field-effect-transistor devices have been realized.[10,22–28] More advanced device architectures with multiple gates that are individually addressed require a very high control over the fabrication of the multiple gates, the electrodes, and the alignment between them.

Here, we report on the integration of GNRs into a multi-gate field-effect transistor with graphene electrodes. Our device design consists of a narrow finger gate and two additional side gates. This geometry improves gating capabilities by allowing for the generation of an asymmetric gate field using the side gates. As such, the different sides of the nanogaps experience a different gate field, providing additional control over the electrostatic landscape of the junction. The narrow gate is ≈10 nm in length, with an effective channel length of <15 nm, and is fabricated using CMOS-compatible processing steps. The graphene electrodes are created using EBL, which has a major advantage of the control of the nanogap position[27] and a proper alignment with the underlying gates. This is in contrast to electrodes created using the electric breakdown procedure that has been commonly used for graphene.[10,25,32] Moreover, our fabrication protocol allows for the integration of the GNRs at the very last stage of device fabrication. Similar approaches with the integration of the sensitive material in the final step have been shown to lead to major improvements in the device performance, as demonstrated for example for MoS2.[33–35] The design of the devices is supported by finite-element calculations for optimizing the various geometrical parameters and maximizing the effective electrostatic potential at the GNRs. Furthermore, low-temperature transport spectroscopy measurements reveal quantum dot (QD) behavior with addition energies in the range of 20–150 meV, and transport characteristics that are tunable using the two side gates. Our observations are supported by simple model calculations that highlight the importance of the asymmetric gate field in the junction area.

2. Results

2.1. Devices Design and Fabrication

A schematic of the proposed device architecture is shown in Figure 1a. The finger-gate (FG) with nanometer-scale dimensions is fine-patterned under the 9-AGNRs junction, while two side-gates (SG1 and SG2) are defined under the source and drain graphene electrodes, respectively. As the π-π-stacking between the GNR and graphene results in a rather weak electronic coupling, we anticipate the formation of quantum dots (QD) at low temperatures.[10,25] The side gates (SG1 or SG2), as they are located close to the nanojunction, are employed to introduce an asymmetric electric gate field that will couple to the QD. We note that the interplay between the gate length, gate separation, gate oxide thickness and the applied potentials is very delicate to optimize to achieve a homogeneous electrostatic potential over the complete channel length. Thinner oxides lead to higher gate coupling but also lower breakdown voltages between the various gates. Moreover, reducing the distance between the gates also increases the screening of the gate potential by the neighboring gates. To investigate this balance, we performed finite-element calculations using Comsol Multiphysics. In Section S1, Supporting Information, we present the effective potential at the GNRs for various thicknesses of the Al2O3 and the gate separation. Graphene is modeled as a surface charge density; its value is calculated using the voltage applied on the gate located below the respective electrode, and the sum of quantum capacitance and geometric capacitance.

We show that thinner oxides down to 12 nm are generally more beneficial. A further result is that reducing the FG-SG separation is beneficial, but only down to 10 nm. Beyond that point, the field exceeds 1 V nm−1, a strength where a breakdown of the oxide is likely to happen.[16]

The starting point of the sample fabrication is a highly-doped silicon (Si) carrier chip with a 285 nm thick silicon dioxide (SiO2), such that the Si substrate acts as a global back gate (BG). The finger gates are patterned on top as follows. First an 8 nm platinum (Pt) film is deposited using electron-beam evaporation. Then, a negative resist hydrogen silesquioxane (HSQ) is spin-coated as a resist to define the etch mask. This resist turns into SiO2-like after EBL exposure and development, leading to a highly-resistive etch mask. A subsequent Ar+-ion milling step transfers the etch mask feature to the metal film, separating the finger from the side gates. This process leads to very sharp features as it is not limited by grain sizes or other edges effects which are common when using electron-beam evaporation with a lift-off process. Our approach results in a finger gate with a length of 10–15 nm and a width of 500 nm. The nanometer-scale dimension of the FG (<d) allows for creating an ultra-short effective channel length while minimizing parasitic gate to source-drain capacitance. The 9-AGNRs junction is electrically isolated from the metal gates using a 30 nm thick aluminum oxide (Al2O3). The graphene electrodes are separated by a nanogap formed with high-resolution patterning by using EBL, as reported elsewhere.[27] Here, the electrode separation d is set to be ≈15 nm, large enough to eliminate direct tunneling contributions between the electrodes, but smaller
than the average length of the 9-AGNRs. In Section S2, Supporting Information, a more detailed description of the fabrication process is given. A scanning electron micrograph (SEM) of the final device before GNR transfer is presented in Figure 1b, alongside a transmission electron micrograph (TEM). The image shows that the FG length is \( \approx 12 \text{ nm} \), and the separation between the gates \( \approx 30 \text{ nm} \).

2.2. Quantum Dot Formation at Low Temperature

Prior to the deposition of the GNRs, the nanogaps were electrically characterized to ensure a clear separation between the electrodes. Devices with currents \( >10 \text{ pA} \) at \( V_{SD} = 4 \text{ V} \) were excluded from further characterization (See Section S3, Supporting Information). Uniaxially aligned medium-density 9-AGNRs were synthesized on an Au(788) single crystal under ultrahigh vacuum conditions (See Experimental Section). A representative scanning tunneling micrograph is presented in Section S4, Supporting Information. The average GNR density is about 2 GNRs per terrace (3–4 nm in width), with an average length between 40–45 nm. The 9-AGNRs were then transferred to the multi-gate device substrate with the predefined and characterized graphene electrodes using a PMMA-based electrochemical delamination process. In order to improve the device performance, a thermal annealing step was performed. After this thermal annealing step the integrity of the 9-AGNRs and their alignment with respect to the source–drain axis was confirmed using polarization-dependent Raman spectroscopy (See Section S4, Supporting Information).

To evaluate the electrical properties of the 9-AGNRs after device integration, we recorded stability diagrams (current–voltage characteristics \( I-V \)) for varying FG voltage \( V_{FG} \) at \( T = 9 \text{ K} \). Two IVs are presented in Figure 1c for different finger gate voltages, both exhibiting blocked current at low bias voltage and multiple steps in current for increasing bias voltages. These steps are typical for quantum dot behavior and confirm the weak coupling between the GNRs and the graphene. Figure 1d shows a stability diagram recorded with the voltages on SG1, SG2, and BG all set to 0 \( \text{V} \). The plot shows irregular and aperiodic Coulomb diamonds (CD) over a gate range of 16 \( \text{V} \) (from \(-8 \text{ V} \) to \( 8 \text{ V} \)), with addition energies \( E_{\text{add}} \) varying between 20 and 150 meV. Given the nanometer size of the GNRs, and in particular their extremely narrow width,
of ≈1 nm, we attribute these Coulomb diamonds to the formation of quantum dots in the GNRs with a discrete level structure.[48,49] The lever arm for the FG, \( \alpha_{FG} = \Delta V_{SD}/\Delta V_{FG} \), is determined to be in the range of 220–340 mV V\(^{-1}\) from the Coulomb diamonds, indicating a very strong gate coupling of the finger gate to the 9-AGNRs. For a large portion of the FG range, no crossing of the corresponding energy level with the Fermi energy of the electrodes is observed, that is, no resonance is visible at zero bias. This behavior is highlighted in Figure 1e for a gate range from −2.8 to −2.1 V and is attributed to transport through two weakly-coupled QDs in series. Here, the serial QDs correspond most likely to different GNRs, but possibly also to the formation of localized states within a single GNR due to the presence of bite defects.[43] In other gate regimes, for example in Figure 1f, the Coulomb diamonds are closing, indicating that in this gate range a single level of a QD is dominating the transport. Although not very likely, this feature could also be associated with multiple quantum dots in series with very similar energies. We also observe multiple overlapping diamonds with different addition energies, suggesting that charge transport occurs through two or more parallel QDs, presumably individual GNRs, with different energy spectra. These differences in addition energies could be caused by different GNR lengths and/or differences in the local environment, such as the presence of charge puddles.[46] The stability diagrams of devices 2–4 show similar features as Device 1 (see Section S3, Supporting Information).

To investigate the additional control offered by the side gates, we recorded a map of the current as a function of \( V_{FG} \) and \( V_{SG1} \) for a fixed source-drain voltage \( V_{SD} = 100 \) mV, as shown in Figure 1g. The plot displays multiple regions of high current that shift with the gate voltages and appear as lines. Three such lines of enhanced current are marked with black dashed lines. From the slopes we extract the relative gate coupling \( \alpha \) between FG and SG1 and find \( \alpha = \alpha_{FG}/\alpha_{SG} = 5.98 \), revealing that the FG couples more strongly to the GNR than the SGs. In this gate range, we do not observe any distinct signature of a double quantum dot system, with access to multiple charge states. We attribute this to the presence of multiple GNRs in the channel. In Section S1, Supporting Information, we perform finite-element calculations to model the electrostatic potential in the junction area as a function of the voltages applied on the side gates and finger gate. Here, a relative gate coupling \( \alpha \) of ≈10 is found, close to the experimentally observed value of ≈6. The difference between experiment and theory may be caused by local variations in the sample geometry, such as the gate and/or the gate oxide, or a slight misalignment of the graphene gap with respect to the finger gate.

2.3. Effect of Side Gates

In Figure 2, we further characterize the effect of the side gates, and in particular on the electronic structure of the QDs formed in the device. We measure the current maps as a function of the finger gate voltage \( V_{FG} \) for different side gate voltages \( V_{SG1} \) and \( V_{SG2} \) (later indicated in brackets). The top panel of Figure 2a presents the (0 V, 0 V) case, focusing on the finger gate voltage range \( \pm 1.6 \) V, where two diamonds of different sizes overlap, indicating two QDs with different physical sizes are weakly coupled and contacted in series. The lower panel shows the \( dI/dV \) plots, from which energy differences between the energy levels for different dots are identified, as indicated by \( \Delta E_1 \) and \( \Delta E_2 \). We note that these energies do not correspond to the addition energies of the two QDs. To extract the relative gating of the two QDs by the side gates, we perform the same stability diagram but now for different values of the side gates. To maximize the asymmetry of the electric field introduced in the nanojunctions, we apply voltages of opposite polarities on the two side gates. In Figure 2b, we plot the current and \( dI/dV \) maps for four different side gate combinations. The figures show that the side gates significantly alter the shape and size of the two diamonds. Figure 2c shows the energy difference \( \Delta E_1 \) and \( \Delta E_2 \) as a function of the voltage difference between \( V_{SG1} \) and \( V_{SG2} \). The plots show that \( \Delta E_1 \) is strongly modulated by the asymmetric gate field, with a shift of about 30 meV/V. \( \Delta E_2 \), on the other hand, has a shift of about 10 meV/V. This observation suggests that the asymmetric field introduced by the side gates leads to differential gating of one QD versus the other. This also implied that one of the QD is closer to one of the side gates.

To rationalize the experimental observations shown in Figures 1 and 2, we performed quantum transport calculations[45] through single and multiple dots in series and parallel using a simple tight-binding model (see details in Experimental Section and in Section S5, Supporting Information). The two QDs have each a different level structure with addition energies that are nonetheless comparable in size. We assume that the main bias potential drop happens at the contact point to electrodes and remains constant over the QDs. This is a good approximation because the coupling to electrodes is weak. In Figure 3a, we show the single QD case, with three current versus FG voltage maps (stability diagrams). The top current map shows the current modulation by only the FG voltage. In the middle and bottom current map, to mimic the electrostatic potential change induced by the two side gates, the overall energy spectrum of the QD is shifted by −50 and −100 meV, respectively. We note that the effective potential experienced by the QD as a result of the two side gates will depend on its position in the nanojunction and the applied side gate voltages. Figures 3b and 3c present the same plots, but for two QDs in parallel and in series, respectively. Here, the symmetry is modeled by moving the energy levels of QD2 with to those of QD1. As the two QDs have a comparable level structure, the stability diagrams for transport in parallel and series appear to be fairly similar. Nevertheless, the current in parallel is about twice as large and an additional crossing point appears very close to those already present for the single QD case. For the series case, the current is twice as small and some crossing points are fading out. The situation becomes very different when QD2 is shifted. In the parallel case, additional diamonds appear and grow in size, while the existing ones are being reduced. In series, the crossing points fade out even more until the diamonds do not close anymore. These plots indicate that the energy shift of one of the QDs in series with respect to the other, as induced by an asymmetric gate field, can drastically alter the electronic properties of the nano-junctions.
3. Conclusion and Discussion

We developed a multi-gate FET geometry to contact uniaxially aligned 9-AGNRs that are contacted by graphene electrodes. At low temperatures, Coulomb blockade and single-electron tunneling is observed, with a very strong gate coupling of the finger gate to the 9-AGNRs, up to 340 meV V$^{-1}$. In addition, we demonstrate the additional tunability offered by the two side gates that are present in our device architecture and allow for differential electrostatic tuning of the multiple QDs present in the junction.

The observed addition energies of $\approx 0.065$ eV are in discrepancy with the DFT-calculated bandgap of 0.73 eV$^{[18]}$ as well as the measured bandgap using scanning tunneling spectroscopy (STS) of 1.40 eV$^{[46]}$. However, in our measurements, many QDs are presumably bridging the two electrodes in parallel and series, making the task of extracting the exact addition energy challenging. In particular, as observed in Figure 3b, the effective diamond sizes are reduced when multiple QDs are connected in parallel, leading to an underestimation of the addition energies of the individual QDs. The effective diamond sizes are reduced when multiple QDs are connected in parallel, leading to an underestimation of the addition energies of the individual QDs. The multiple GNRs in the nanojunction also make it such that the QDs in series do not form an ideal double-dot system, in which each gate tunes primarily the levels of the closest QD. This aspect is further highlighted by the fact that different quantum dots couple differently to the different gates, illustrating the challenges in the exact positioning of the nanoscale gates, nanogaps, and GNRs with respect to each other.

Further efforts in reducing the electrode size for contacting fewer GNRs would be valuable for characterizing the physical properties of a single GNR integrated into devices, possibly by downscaling the width of the graphene electrode, or by using electrode materials that are naturally close to the atomic level, for example, carbon nanotubes.$^{[47]}$ Along similar lines, our approach might be further pushed toward an ultimately-narrow gate by using a carbon nanotube$^{[48]}$ or even the edge of a graphene sheet$^{[30]}$ as gate electrodes, as demonstrated for MoS$_2$-FETs. Finally, several charge jumps are observed in our measured stability diagrams, highlighting the fact that the effect of the substrate needs to be better controlled, for example, via encapsulation in hBN.

Overall, the differential gating of the QDs using multiple gates is a major step forward in the exploration of the transport characteristics of GNRs and their exploitation in future electronic device architectures.$^{[49]}$ With further development, more advanced electronic quantum devices may be envisioned in which several gates are required to achieve the required control over the electrostatic landscape. In particular, the controlled...
formation of double quantum dot systems based on GNRs offers exciting prospects for the realization of GNR-based quantum technologies such as spin qubits that possess operation conditions above dilution refrigerators’ temperatures.

4. Experimental Section

Fabrication of Multi-Gate Device Substrates: A detailed description is given in Section S2, Supporting Information. In brief, a highly p-doped silicon (500 µm) with a thermally grown silicon dioxide layer (285 nm) was used as a base substrate. A titanium/platinum thin film (1 nm/8 nm) was evaporated on top and a negative resist hydrogen silesquioxane (HSQ) was spin-coated as a resist to define the etch mask. This resist turned into SiO₂-like film after EBL exposure and development, leading to a highly-resistive etch mask. A subsequent Ar⁺-ion milling step transferred the etch mask feature to the metal film, separating the finger from the side gates.

Figure 3. Model calculations. Computed current maps for a) a single QD, b) two QDs in parallel, and c) two QDs in series. The respective top stability diagrams show the current without any side gate voltages. To illustrate the role of the asymmetry introduced by the side gates, the middle and lower stability diagrams show the computed current maps for QD2 (for b) and (c)) shifted by 50 and 100 meV, respectively.

Graphene Growth, Transfer, and Nanogap Formation: Polycrystalline graphene was synthesized via chemical vapor deposition, transferred, and pre-patterned as reported elsewhere.[27] After a first pre patterning of the graphene, a 60 nm thick CSAR resist (AR-P 6200.04, Allresist GmbH) was spin-coated. Following the second electron beam exposure, the resist was developed using a suitable developer (AR 600-546, Allresist GmbH) at room temperature for 1 min followed by an IPA rinse. Reactive ion etching, RIE (15 sccm Ar, 30 sccm O₂, 25 W, 18 mTorr) for 6–8 s was used to cut the graphene within the CSAR gap. After RIE, the etching mask was removed by immersing in 1-methyl-2-pyrrolidinone (NMP) (Sigma Aldrich) at room temperature for 10 min followed by 60 min at 80 °C, cooled down for 30 min, rinsed with IPA, and blown dry with N₂. This approach yielded clean and well-separated graphene electrodes (<15 nm nanogaps).

On-Surface Synthesis of Aligned 9-AGNRs and Transfer to Device Substrate: 9-AGNRs were synthesized from 3',6'-diiodo-1,1',2',1''-terphenyl (DITP).[37] Using an Au(788) single crystal (MaTeK, Germany) as growth substrate resulted in uniaxially aligned 9-AGNRs (GNRs grown along the narrow Au(111) terraces).[38] The Au(788) surface was cleaned.
in ultrahigh vacuum by two sputtering/annealing cycles: 1 kV Ar+ for 10 min followed by annealing at 420 °C for 10 min. Next, the precursor monomer DITP was sublimed onto the Au(788) surface from a quartz crucible heated to 70 °C, with the substrate held at room temperature. After deposition of ~60–70% of one monolayer DITP, the substrate was heated (0.5 K s⁻¹) to 200 °C with a 10 min holding time to activate the polymerization reaction, followed by annealing at 400 °C (0.5 K s⁻¹) with a 10 min holding time) to form the GNRs via cyclodehydrogenation. The average GNR length was between 40 and 45 nm. 9-AGNRs were transferred from their growth substrate to the silicon-based substrates with predefined graphene electrodes by an electrochemical delamination method using PMMA as described previously. As the transfer of the 9-AGNRs onto the substrate exposed the graphene electrodes to water, the samples were heated to 200 °C for 120 min at 10⁻⁶ mbar to remove water residues at the graphene/GNR interface and improved the device performance.

Electronic Measurements: All electronic measurements were performed under vacuum conditions (<10⁻⁶ mbar). The devices were measured in a commercially available probe station (Lake Shore Cryogenics, Model CRX-6.5K) at various temperatures (9–350 K). A data acquisition board (ADwin-Gold II, Jäger Computergesteuerte Messtechnik GmbH) was employed to apply the bias and gate voltages and read the voltage output of the I–V converter (DDDPA-300, FEMTO Messtechnik GmbH).

Theoretical Methods: To model transport through the junctions formed by 9-AGNRs connected to two graphene electrodes, a tight-binding (TB) model of a chain of atoms with one orbital per atom was constructed to represent graphene ribbons connected to a 1D chain of atoms as shown in Section S5, Supporting Information. To construct the TB Hamiltonian, all on-site energies were set to zero in the electrodes. The on-site energies for one of the chains representing a ribbon (QD1) were set to zero whereas for the other one (QD2) varied to represent an offset between the energy levels of two ribbons. It was assumed that the main bias voltage drop happen at the connection point to the electrodes and that the bias potential profile was constant over all sites in the scattering region (see Section S5, Supporting Information). The gate voltage was tuned between −2 and 2 V. Scattering theory was employed and transmission coefficients were calculated for each chain (T₁ and T₂) representing each ribbon and then used expression 1/T = 1/T₁ + 1/T₂ to calculate the total transmission (T). To take the effect of addition energy (Coulomb energy) into account, the procedure was followed as in ref. [45] and applied constant addition energy U = 0.4 eV to both QDs. The current then was calculated from the total transmission (T) using the Landauer formula[45] I(Vₒ, Vₛ) = 1/2(T₁(E, Vₒ, Vₛ)∫fₑ(E, −Vₒ/2) − fₑ(E, Vₒ/2)).

Supporting Information

Supporting Information is available from the Wiley Online Library or from the author.

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Conflict of Interest

The authors declare no conflict of interest.

Author Contributions

J.Z. and O.B. contributed equally to this work. M.C., J.Z., O.B., M.L.P., and J.O. contributed to the conceptualization. J.Z., O.B., J.O., S.S., H.S., M.L.P., and I.S. contributed to the methodology. J.Z., O.B., M.L.P., J.O., M.S., A.O., H.S., S.S., and A.H.S.D. contributed to the investigation. R.F., G.B.B., R.D., and K.M. contributed to the resources. M.L.P., J.Z., and O.B. contributed to the visualization. P.R., H.S., M.L.P., and M.C. contributed to the supervision. O.B., J.Z., S.S., H.S., M.L.P., and M.C. contributed to writing the original draft. J.Z., O.B., M.L.P., M.C., H.S., S.S., A.H.S.D., J.O., I.S., M.S., A.O., G.B.B., R.D., K.M., R.F., and P.R. contributed to writing the review and editing.

Data Availability Statement

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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asymmetric gate field, coulomb blockade, graphene nanoribbons, multi-gate architecture, quantum dots

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