Unicorns and Giraffes in the binary zoo: stripped giants with subgiant companions

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ABSTRACT

We analyze two binary systems containing giant stars, V723 Mon (“the Unicorn”) and 2M04123153+6738486 (“the Giraffe”). Both giants orbit more massive but less luminous companions, previously proposed to be mass-gap black holes. Spectral disentangling reveals luminous companions with star-like spectra in both systems. Joint modeling of the spectra, light curves, and spectral energy distributions robustly constrains the masses, temperatures, and radii of both components: the primaries are luminous, cool giants (\(T_{\text{eff, giant}} = 3,800 \text{ K} \) and \(4,000 \text{ K} \), \(R_{\text{giant}} = 22.5 R_{\odot} \) and \(25 R_{\odot} \)) with exceptionally low masses (\(M_{\text{giant}} \approx 0.4 M_{\odot} \)) that likely fill their Roche lobes. The secondaries are only slightly warmer subgiants (\(T_{\text{eff, 2}} = 5,800 \text{ K} \) and \(5,150 \text{ K} \), \(R_2 = 8.3 R_\odot \) and \(9 R_\odot \)) and thus are consistent with observed UV limits that would rule out main-sequence stars with similar masses (\(M_2 \approx 2.8 M_{\odot} \) and \(1.8 M_{\odot} \)). In the Unicorn, rapid rotation blurs the spectral lines of the subgiant, making it challenging to detect even at wavelengths where it dominates the total light. Both giants have surface abundances indicative of CNO processing and subsequent envelope stripping. The properties of both systems can be reproduced by binary evolution models in which a \(1 \rightarrow 2 M_{\odot} \) primary is stripped by a companion as it ascends the giant branch. The fact that the companions are also evolved implies either that the initial mass ratio was very near unity, or that the companions are temporarily inflated due to rapid accretion. The Unicorn and Giraffe offer a window into a rarely-observed phase of binary evolution preceding the formation of wide-orbit helium white dwarfs, and eventually, compact binaries containing two helium white dwarfs.

Key words: stars: black holes – binaries: spectroscopic – stars: evolution – stars: individual: V723 Mon – stars: individual: 2MASS J04123153+6738486

1 INTRODUCTION

Identification of detached stellar-mass black holes (BHs) in binaries is a major goal of numerous spectroscopic and photometric surveys. Observational campaigns have been ongoing for more than half a century (e.g. Guseinov & Zel’dovich 1966; Trimble & Thorne 1969), but the number of published candidates has increased dramatically in the last few years (e.g. Thompson et al. 2019; Liu et al. 2019; Giesers et al. 2019; Rivinius et al. 2020; Gomez & Grindlay 2021; Lennon et al. 2021; Saracino et al. 2022), spurred by the proliferation of wide-field surveys and by growing interest in the progenitors of gravitational wave sources. Most candidates identified to date are controversial, and non-BH explanations for the observed data have been proposed for many systems (e.g. van den Heuvel & Tauris 2020; Shenar et al. 2020; Bodensteiner et al. 2020; El-Badry & Quataert 2021; El-Badry & Burdge 2022; Stevance et al. 2022; El-Badry et al. 2022b; Frost et al. 2022). In several of these systems, spectral disentangling has shown the presence of two luminous stars directly.

Two recently identified candidates are V723 Mon (“the Unicorn”; Jayasinghe et al. 2021) and 2M04123153+6738486 (“the Giraffe”; Jayasinghe et al. 2022). Both systems contain red giant stars in relatively wide orbits (60 and 81 days) and exhibit strong ellipsoidal variability due to tidal deformation of the giants. The giants’ orbits imply more massive companions: \(M_2 \approx 1.5 \rightarrow 3.5 M_{\odot} \), depending on the assumed inclination and giant mass. But both systems are faint in the UV, ruling out main-sequence companions of the required mass.

Although normal, main-sequence companions are ruled out, there is some evidence of a second luminous source in both systems. In the Unicorn, this is manifest as apparent continuum dilution of the giant’s absorption lines at blue wavelengths; in the Giraffe, there is evidence of a second set of absorption lines. Phase-dependent variability of the Balmer lines is observed in both systems, suggesting ongoing mass transfer. Previous analyses (Jayasinghe et al. 2021, 2022) concluded that the second luminous source in both systems is too faint to be a stellar companion of the required mass. These works proposed that the second light originates from an accretion disk around a BH companion (in the Giraffe), or from a diffuse circumbinary nebula (in the Unicorn).

There is no robust X-ray detection in either system. If the companions are BHs that accrete the giants’ winds at the Hoyle-Lyttleton rate, the observed upper limits (\(L_X \lesssim 10^{30} \text{ erg s}^{-1} \) and \(L_X \lesssim 10^{32} \text{ erg s}^{-1} \)) imply radiative efficiencies of \(\eta \lesssim 10^{-5} \). If the sec-
ond light is interpreted as arising from accretion, the accretion flows would have X-ray-to-optical luminosity ratios $L_X/L_{\text{opt}} \lesssim 10^{-3}$.

In this paper, we study the luminous secondaries in both systems in more detail, using a combination of spectral disentangling and fitting of binary spectral models. Given that the optical and X-ray properties of the secondaries are different from what is expected from an accretion flow onto a BH, we ask whether there is a plausible scenario in which the observed data can be explained by two luminous stars. We find that such a scenario does exist in both systems and reproduces the observed spectra, spectral energy distributions, and light curves more successfully than scenarios involving a BH.

The rest of this paper is organized as follows. Section 2 summarizes previous analyses of both systems. In Section 3, we reanalyze the available data for the Giraffe, including the optical and infrared spectra, broadband spectral energy distribution (SED), and light curves. In Section 4, we carry out a similar analysis for the Unicorn. We investigate the formation history and future evolution of the systems using binary evolution calculations in Section 5. We summarize our results and discuss the systems in the context the broader binary population in Section 6.

2 SUMMARY OF BOTH SYSTEMS

2.1 The Giraffe

The Giraffe (Jayasinghe et al. 2022) is a binary in Camelopardalis containing a luminous red giant ($L_{\text{giant}} \approx 200 L_\odot$) and a companion in an 81-day circular orbit. The spectroscopic mass function, $f(M_2) = (M_2 \sin i)^3 / (M_{\text{giant}} + M_2)^2 = 0.58 M_\odot$, implies a relatively massive companion. By modeling the system’s SED, light curves, and RVs, Jayasinghe et al. (2022) inferred a giant mass of $\approx 0.6 M_\odot$, inclination of $\approx 41$ degrees, and unseen companion mass of $\approx 3 M_\odot$. A main-sequence star of this mass would overwhelm the observed SED at blue wavelengths, so they concluded that the companion is a BH.

When they subtracted the best-fit spectral model for the giant from the observed optical spectra, Jayasinghe et al. (2022) found residuals resembling the narrow-lined absorption spectrum of a star. The residual spectrum moves in anti-phase with the giant, but with an RV amplitude 5 times smaller. Assuming these RV’s trace the reflex motion of the companion’s center of mass, they imply a mass ratio $M_{\text{giant}}/M_2 \approx 0.2$. Ascribing this secondary spectrum to a stellar companion was noted as the most natural explanation by Jayasinghe et al. (2021) also noted that tidal deformation of the giant is expected to cause changes in the giant’s line profiles with orbital phase. They proposed that this tidal deformation is responsible for both the apparent deviations of the giant’s RVs from a Keplerian orbit (as explored quantitatively by Masuda & Hirano 2021) and for the apparent evidence of a luminous secondary in the spectra.

Jayasinghe et al. (2021) found that the giant’s absorption lines are shallower than expected at blue wavelengths, which they interpreted as “veiling” by another light source. The ellipsoidal variability amplitude also becomes weaker toward bluer wavelengths, suggesting dilution by a non-variable object that is warmer than the giant. They did not find the spectrum of this diluting component to have absorption lines or other star-like features and therefore attributed it to continuum from a diffuse circumbinary component.

The depth and width of the Unicorn’s Balmer lines varies with orbital phase, with the lines appearing deepest at phase $\phi \approx 0.5$. Jayasinghe et al. (2021) attributed this to emission from an accretion disk surrounding the putative BH, which would be eclipsed at phase $\phi = 0.5$. Weak X-rays were detected in Swift XRT data, with a luminosity $L_X \sim 10^{30}$ erg s$^{-1}$, but Hare et al. (2021) showed that this detection is likely spurious. The X-ray luminosity is less than $10^{-3} \times$ the inferred optical luminosity of the veiling component.

After correcting for the Gaia parallax zero point (Lindegren et al. 2021) and underestimated parallax uncertainties, the inferred parallax of V723 Mon is $2.20 \pm 0.04$ mas, corresponding to a distance of 454 ± 8 pc. Because the system is nearby, its inferred properties are only weakly sensitive to assumptions about the parallax zero point.

3 DATA AND MODELING: THE GIRAFFE

We begin with the Giraffe. The narrow lines of the secondary in this system make the analysis of the spectra more straightforward than in the Unicorn.

3.1 Near-infrared spectra

The Giraffe was observed by the APOGEE survey (Majewski et al. 2017), which obtained spectra at 4 epochs with resolution...
$R \approx 22,500$ in the $H$–band (15,000–17,000 Å). We fit these spectra with a binary spectral model, as described in detail in Appendix A.

We find that two luminous components contribute to the APOGEE spectra: a giant with effective temperature of $4050 \pm 100$ K, and a subgiant with effective temperature $5200 \pm 200$. The giant dominates the $H$–band light, contributing 80% of the flux, but the 20% contribution from the secondary component, appearing to be a subgiant, is unambiguous. This is somewhat unexpected, because Jayasinghe et al. (2022) found that the light contributions of the secondary detected in the optical became negligible at $\lambda \geq 7,000$ Å. We find the subgiant to move in anti-phase with the giant, with an implied dynamical mass ratio $M_{\text{giant}}/M_2 = 0.21 \pm 0.02$. This is in good agreement with our findings from the optical spectra and implies that we detect the same secondary in the near-infrared and optical, and derive consistent characteristics.

### 3.2 Optical spectra

We analyzed 8 epochs of Keck/HIRES spectra of the Giraffe, which are sampled roughly uniformly in orbital phase and are described in detail in Jayasinghe et al. (2022). These data provide useful coverage of most of the wavelength range between 3900 and 8000 Å, with resolution $R \approx 60,000$ and typical per-epoch SNR of 20 per pixel at 5000 Å.

We first fit the optical spectra using a binary spectral model, following the approach outlined in Appendix A and El-Badry et al. (2018b). We fit all usable orders simultaneously, self-consistently accounting for the wavelength-dependent flux ratio. We fit separate visits independently, estimating uncertainties based on the epoch-to-epoch scatter. The spectral model was trained on synthetic spectra from the BOSZ grid (Bohlin et al. 2017) calculated with ATLAS12 and SYNTHE (Kurucz 1970, 1979, 1993). In good agreement with the results from the APOGEE spectra, we find a giant with effective temperature of $4000 \pm 100$ K, and a subgiant with effective temperature $5150 \pm 200$ K. The best-fit metallicity (assumed the same for both components) is $[\text{Fe/H}] \approx -0.5$. The subgiant’s inferred flux contributions increase toward the blue orders: we find that it contributes $\approx 35\%$ of the light at $6,000$ Å, but $\approx 70\%$ at $4,000$ Å.

#### 3.2.1 Spectral disentangling

Our spectral disentangling approach is based on the algorithm presented by Simon & Sturm (1994), which aims to solve for the rest-frame component spectra that can best reproduce a set of observed composite spectra under the ansatz that the component spectra do not change with time and only shift back and forth in RV. Under this assumption, solving for the two component spectra is an over-constrained linear algebra problem, which can be solved without spectral models. We use an optimized implementation of this algorithm, with the addition of a regularization term to minimize spurious undulations introduced in disentangling (e.g. Hensberge et al. 2008), that will be detailed in future work (Seeburger et al., in prep).

We disentangle several $\approx 100$ Å-wide HIRES orders independently. For an initial estimate of the RVs, we use values determined by fitting a binary model. We then use an MCMC sampler (Foreman-Mackey et al. 2013) to refine the RVs of the giant and the dynamical mass ratio, under the assumption that the components move in anti-phase and obey conservation of linear momentum. Disentangling does not uniquely determine the flux ratio: there is a perfect degeneracy between the flux ratio and relative line depths (e.g. Ilijic 2004). We set the flux ratio in each order to the median value inferred for that order when fitting the composite spectra with a binary model. Prior to disentangling, we normalize each order by dividing the reported flux by a first order polynomial fit. This is not meant to correspond to the true continuum, which is ill-defined for cool stars in the blue orders, but simply brings all spectra to a common scale.

Figure 1 illustrates the results of disentangling. We fit all 8 epochs simultaneously but show only two. Disentangling is successful in the sense that all the observed spectra can be well-described as a sum of two Doppler-shifted components. It is clear that two luminous components with absorption spectra are required, because the shape of the composite spectra changes from epoch to epoch. This is also evident from the APOGEE spectra (Appendix A) but is more obvious in the optical, where the subgiant contributes a larger fraction of the total light. Our MCMC fitting yields a dynamical mass ratio $M_{\text{giant}}/M_2 = 0.198 \pm 0.005$. This constraint is robust across independent orders and in good agreement with the value inferred by Jayasinghe et al. (2022). The same is true for our inferred single-epoch RVs.

In Figure 2, we compare the disentangled spectra to the best-fit Kurucz models. Consistent with the results from the APOGEE spectra, we find a giant with $T_{\text{eff}} \approx 4000$ K and a subgiant with $T_{\text{eff}} \approx 5150$ K (Table 1). Both components have metallicity $[\text{Fe/H}] \approx -0.5$ and relatively low $v\sin i \approx 12$ and $16$ km s$^{-1}$. Both components are well-fit by the synthetic spectral models. We emphasize that this does not “have to” occur: disentangling imposes no model-based priors on the shape of the component spectra. The fact that both components look like stellar spectra thus increases our confidence that there are two stellar components and that the assumptions built into disentangling are not too far from reality.

#### 3.2.2 CNO abundances

Our initial fitting assumed that all metals trace the solar abundance pattern up to a global metallicity scaling factor. To explore possible deviations from this assumption due to CNO processing, we fit the abundances of C, N, and O independently, under the assumption that both components have the same surface abundances. We found $[\text{C}/\text{Fe}] = -0.5 \pm 0.1$, $[\text{N}/\text{Fe}] = 0.6 \pm 0.1$, and $[\text{O}/\text{Fe}] = 0.0 \pm 0.1$; that is, a significant enhancement of nitrogen and deficit of carbon compared to the solar abundance pattern. The implied carbon-to-nitrogen ratio, $[\text{C}/\text{N}] = -1.1$, is extremely low compared to typical low-mass giants (e.g. Hasselquist et al. 2019) and strongly suggestive of CNO processing (e.g. El-Badry et al. 2022a).

### 3.3 Distance and radius

#### 3.3.1 Distance

The Giraffe’s Gaia eDR3 parallax is $\pi = 0.231 \pm 0.013$. Applying the parallax zeropoint correction from Lindegren et al. (2021) and inflating the parallax uncertainty according to El-Badry et al. (2021a) yields a corrected parallax $\pi_{\text{corr}} = 0.271 \pm 0.017$, corresponding to a distance $d \approx 3.69 \pm 0.22$ kpc. The is slightly smaller than the fiducial value of 4 kpc adopted by Jayasinghe et al. (2022).

Jayasinghe et al. (2022) noted that some works have found the zeropoint from Lindegren et al. (2021) to “overcorrect” parallaxes. However, this is mainly the case for very bright stars ($G \lesssim 10$). In particular, Zinn (2021) found the correction to perform well for giants with similar colors and magnitudes to the Giraffe, so we expect the corrected Gaia parallax to be reliable.

Jayasinghe et al. (2022) noted that the spectrophotometric parallax calculated by Hogg et al. (2019) for the source implies a some-
what larger distance than the parallax. However, the spectral type—luminosity correlation that is leveraged by their spectrophotometric model is unlikely to hold for a stripped giant. Indeed, any spectroscopic distance indicator trained on “normal” stars is expected to overestimate the distance to a stripped star: at fixed $T_{\text{eff}}$ and $\log g$, luminosity is proportional to mass, so a star that is half as massive as expected will be half as luminous.

### 3.3.2 Radii

We infer component radii by fitting the SED. We predict bandpass-averaged mean magnitudes for both components using empirically-calibrated theoretical models from the BaSeL library (v2.2; Lejeune et al. 1997, 1998). We assume a Cardelli et al. (1989) extinction law with total-to-selective extinction ratio $R_V = 3.1$, and we use an extinction prior from the Green et al. (2019) 3D dust map. We use pystellibs\(^2\) to interpolate between model spectra, and pyphot\(^3\) to calculate synthetic photometry.

We first fit a single-star model, and found an angular diameter $\Theta = 2R/d = 65.5 \pm 1$ mas and $T_{\text{eff}} = 4200 \pm 60$ K, with extinction $E(B-V) = 0.55$. Including the distance uncertainty, this implies $R_{\text{giant}} = 26 \pm 2 R_\odot$. This is slightly smaller than found by Jayasinghe et al. (2022), mostly because of the different assumed distance.

Figure 1. Spectral disentangling of the Giraffe system. Top and bottom panels show two different epochs (among a total of 8), chosen to be near opposite quadratures. Black lines show the observed Keck/HIRES spectra. Blue and red lines show the disentangled spectra of the giant and subgiant, which are identical in both panels except for RV shifts and whose sum (cyan) matches the observed spectra, as well as those in the other 6 epochs that are not shown. Both components resemble stellar spectra (see Figure 2).

However, a 2nd luminous source contributes in both the optical and APOGEE spectra: our fitting implies a 20% contribution in the $H$-band, and 30-60% contributions in the optical. We therefore fit the SED with a sum of two luminous stars, with the additional constraint that the secondary should contribute 20% of the total light at 16,000 Å. The results of this fitting are shown in Figure 3. The best-fit radius of the giant is slightly smaller – $(25 \pm 1.5) R_\odot$ – than when we fit a single-star model. The effective temperature is also lower, and in better agreement with the spectroscopic fit. This fit implies that the secondary contributes an increasing fraction of the total light toward bluer wavelengths, and should dominate the light at $\lambda \leq 4,500$ Å. This is in good agreement with the flux ratios we infer from the optical spectral (bottom panel of Figure 3; only the $H$-band point was included in the fit).

Jayasinghe et al. (2022) found that the secondary contributes only $\leq 10\%$ of the light in the $r$-band, and contributes negligibly at $\lambda \geq 7,000$ Å. However, this seems unlikely, given that we find a 20% contribution at 16,000 Å. This apparent tension is likely primarily a result of how Jayasinghe et al. (2022) measured the contributions from the secondary: rather than fitting the spectra with two components simultaneously, they fit with a single-star model, and then subtracted off the resulting best-fit model. But this model is different from the actual spectrum of the primary, because it tries to fit the light of the secondary as well (e.g. El-Badry et al. 2018a).

\(^2\) [http://mfouesneau.github.io/docs/pystellibs/](http://mfouesneau.github.io/docs/pystellibs/)

\(^3\) [https://mfouesneau.github.io/docs/pyphot/](https://mfouesneau.github.io/docs/pyphot/)
3.4 Light curves and constraints from ellipsoidal variability

We analyzed the Giraffe’s $g$- and $r$-band light curves from the 8th data release of the Zwicky Transient Facility (ZTF; Bellm et al. 2019); these are shown in Figure 4. We focus on ZTF data because it has the smallest empirical photometric uncertainty of the available ground-based light curves. Photometry from TESS is also available, but it does not span a full orbital period and thus is not well suited for determining the full ellipsoidal variability amplitude and the amount of gravity darkening.

We phased the ZTF data to the RV ephemeris calculated by Jayasinghe et al. (2022) and compared them to a range of models calculated with PHOEBE (Prša & Zwitter 2005; Prša et al. 2016). We focus on two main features of the light curves: (a) the total variability amplitude, which provides a joint constraint on inclination, the amount of dilution from the secondary, and the giant’s Roche lobe filling factor, and (b) the strength of gravity darkening, as manifest in the different depths of the light curve minima at phase 0 and 0.5.

The min-to-max variability amplitude of the phased light curves is 14 ± 1% in both $g$- and $r$- bands. One might be tempted to infer that this means there is no more dilution from the secondary in $g$ than in $r$. However, we find that with no dilution, the predicted variability amplitude from PHOEBE is ≈ 20% larger in $g$ than $r$ due to wavelength-dependent limb and gravity darkening. This implies that there is 15-20% more dilution from the secondary in $g$ than in $r$.

We fix the mass ratio to $q = 0.2$ based on the RVs and account for dilution from the secondary by modeling it as a star in PHOEBE, with temperature and radius consistent with the SED and spectral fit. The observed ellipsoidal variability amplitude then limits possible solutions to a one-dimensional slice in the inclination vs. Roche
lobe filling factor plane. If we assume that the giant fills its Roche lobe – which seems reasonable given that it clearly is close (given the ellipsoidal variability) and there is an accretion disk around the companion seen in Hα – then the observed variability amplitude and RV-inferred mass ratio imply an inclination of \( \sin i = 50 \pm 3 \) degrees (Figure 5; this is basically independent of the giant’s mass). The uncertainty on this inclination is mainly due to uncertainty in how much dilution there is from the companion, and uncertainty in the observed variability amplitude itself. For an inclination of 50 degrees, the mass function \( f(M_2) = 0.58 M_\odot \) implies a companion mass of \( M_2 = 1.83 M_\odot \) if \( M_1 = 0.35 M_\odot \) (this value is justified in Section 3.5). This yields a mass ratio \( M_1/M_2 = 0.197 \), in agreement with the RV-inferred mass ratio.

The model favored by Jayasinghe et al. (2022) has a lower inclination of 41 degrees, and thus would predict a lower ellipsoidal variability amplitude without the contributions of the companion. However, their modeling included significantly less dilution from a luminous secondary (e.g., they inferred a dilution factor of only 7% in the \( \text{r}-\) band, whereas in our model, it is \( \approx 35\% \)).

We proceed under the assumption that the giant fills its Roche lobe. A scenario in which it does not fill its Roche lobe seems a priori less likely for two reasons. First, it requires more fine tuning, because we would have to observe the giant just after detachment, rather than during the much longer period where there is ongoing mass transfer (Section 5). This is true irrespective of the nature of the companion. Second, the observed double-peaked Hα emission suggests that there is a sizable accretion disk, which is not expected in a detached system with only wind mass transfer.

Figure 4 compares the ZTF \( r- \) and \( g- \) band light curves of the Giraffe to PHOEBE model predictions for a luminous subgiant companion (left) and a BH companion (right). The models make similar predictions, because the amplitude of the main signal – near-sinusoidal variation on half the orbital period due to tidal deformation of the giant – is covariant between inclination, Roche lobe filling factor, and dilution. There is, however, a key difference between the two models: the predicted asymmetry due to gravity darkening is stronger – in agreement with the data – in the case with a luminous companion. This is a result of the higher inclination and Roche lobe filling factor in the subgiant model, and another piece of evidence for the luminous companion.

Although both light curve models explain most of the observed variability, there are some systematic difference between the shape of the observed light curve and both models. Most obviously, the observed light curve maximum at phase \( \approx 0.25 \) is higher than the one at phase \( \approx 0.75 \). The scatter in the phased data is also somewhat larger than expected given the photometric uncertainties, suggesting
some long-term variability. Both of these features could be due to spots on the giant, or changes in the disk around the secondary.

### 3.5 Summary of the observational constraints

Limits on the masses of both components are summarized in Figure 5. Given the inferred giant radius of $R_{\text{giant}} = (25 \pm 1.5)R_\odot$, the constraint that it fills its Roche lobe translates to $M_{\text{giant}} = (0.33 \pm 0.06)M_\odot$ (e.g. El-Badry & Burdge 2022). The mass ratio from the companion’s reflex motion then implies $M_2$ between 1.38 $M_\odot$ and 2 $M_\odot$. The inclination required to match the observed mass function over this range ranges for 48 to 58 deg, with higher masses implying lower inclinations. The inclination constraint from the observed ellipsoidal variability amplitude is slightly more stringent (lower panel of Figure 5), excluding inclinations above $56$ deg. We thus conclude (before considering possible evolutionary scenarios) that giant masses ranging from 0.29 to 0.39 are most plausible, corresponding to companion masses ranging from 1.5 to 2 $M_\odot$.

#### 3.5.1 Plausible subgiant secondaries

The properties we infer for the companion are consistent with any subgiant in the dynamically-inferred plausible mass range. Figure 6 compares our constraints on the subgiant’s temperature, radius, and luminosity to single-star evolutionary tracks. We calculate these with MESA (Paxton et al. 2011) for two different assumptions above convective overshooting, which can significantly change the models’ luminosity in the Hertzsprung gap.5

Subgiants with a wide range of masses ascend the Hayashi track at similar temperatures – always with $T_{\text{eff}} \approx 5000$ K. These are always faint in the UV and thus do not conflict with the UV limits. Our observed temperature and luminosity of the secondary thus do not strongly constrain its mass (particularly given that recent

5 We use default parameters in MESA version r15140 and initial $Z = 0.006$. In the models with overshooting, we use the exponential scheme in all overshooting regions with overshoot_f = 0.014 and overshoot_f0 = 0.004. Both sets of calculates use mixing_length_alpha = 2.0 and atmosphere boundary conditions from Castelli & Kurucz (2003).
accretion could have affected its evolution in a way not captured by single-star models), but there is no tension between the dynamically inferred $M_2 = 1.5 - 2 \, M_\odot$ and the properties of the secondary.

4 THE UNICORN

4.1 Optical spectra

We analyze the 7 Keck/HIRES spectra of the Unicorn that were also analyzed by Jayasinghe et al. (2021). These provide useful coverage of most of the range between 3500 and 8000 Å, with typical per-epoch SNR of 100 per pixel at 5000 Å and resolution $R = 60,000$. The phase coverage samples most of the dynamic range in the giant’s RVs, but does not include observations near $\phi = 0.5$ (when the companion would be eclipsed for sufficiently high inclinations).

The qualitative appearance of the spectra changes dramatically from the blue to red orders. Bluerward of 3800 Å, the spectra have broad absorption lines, indicative of rapid rotation (e.g. Figure 10). They are well-fit by a single-star model with $T_{\text{eff}} \approx 5800$ K, log $g \approx 3$, and $\sqrt{\sin i} \approx 70$ km s$^{-1}$. The source here appears to be almost stationary, with epoch-to-epoch RV variations of $\lesssim 20$ km s$^{-1}$. On the other hand, the redder regions of the spectra are dominated by narrow lines from a cooler and much more slowly rotating star ($\sqrt{\sin i} \approx 15$ km s$^{-1}$). This component is much more RV variable, with epoch-to-epoch RV variations exceeding 120 km s$^{-1}$. Jayasinghe et al. (2021) focused their analysis on red wavelengths, so the properties of the giant they report correspond to the object that dominates there.

4.1.1 Spectral disentangling and fitting

We fit the optical spectra (the Unicorn was not observed by APOGEE) using a binary spectral model. As in the Giraffe, we find that two luminous components contribute to the optical spectra: a giant with effective temperature of 3800 ± 100 K, and a subgiant with effective temperature 5800 ± 200 K. The fractional flux contributions of the subgiant are larger than in the Giraffe. However, its inferred rotation velocity, $\sqrt{\sin i} \approx 70$ km s$^{-1}$, is significantly larger, so its spectral lines are not visually obvious in the composite spectra.
We performed spectral disentangling following the same approach used for the Giraffe. Two luminous components are again successful in describing the composite spectra (Figure 7), and the disentangled spectra are well-fit by synthetic spectral models (Figure 8). The giant spectrum again shows evidence of CNO processing, with 

\[ \frac{\text{C}}{\text{N}} \approx -1.1. \]

Jayasinghe et al. (2021) found that although continuum dilution was evident at blue wavelengths, the spectrum of the diluting component appeared smooth, without star-like spectral features. To explore this further, we attempted to fit a single-epoch spectrum of the Unicorn with a single-star model that includes veiling by a second source that contributes only continuum, but no absorption lines. We modeled this source’s spectrum as the continuum from a Kurucz model (without absorption lines, similar to a blackbody). The results are shown in Figure 9; the three panels show independently-fit regions of the spectrum. Although a single star + continuum model provides a better fit than a pure single-star model, it performs much worse than a binary model, particularly at blue wavelengths. The shortcomings of the single-star + veiling model become less severe at redder wavelengths, where the secondary contributes less light and has fewer strong lines. Across all orders and epochs, the \( \chi^2 \) difference between the best-fit binary and single star + veiling models is more than 10\(^6\).

As with the Giraffe, we find flux contributions of the secondary that are larger than estimated previously. At 7,000 Å, where Jayasinghe et al. (2021) found the veiling to become negligible, the secondary still contributes 45% of the light. Indeed, the total luminosity of the secondary, \( L_2 \approx 70 L_\odot \), is comparable to the luminosity of the giant, \( L_1 \approx 105 L_\odot \). The best-fit temperature we find for the giant, \( T_{\text{eff, giant}} \approx 3,800 \text{K} \), is significantly cooler than the value of 4,400 K found by Jayasinghe et al. (2021).

### 4.1.2 Variations in the H-alpha line

Jayasinghe et al. (2021) found that the H\( \alpha \) absorption line in the giant changes in width and depth as a function of orbital phase, and becomes deepest at \( \phi \approx 0.5 \), when the companion would be behind the giant. They interpreted this as the result of emission from an accretion disk around the companion, which in their model would be eclipsed at \( \phi = 0.5 \). We investigated the origin of this variability using the Keck/HIRES spectra.

We initially found that the observed H\( \alpha \) absorption line of the giant is significantly deeper than predicted by the best-fit Kurucz model. We suspected, however, that this was mainly a result of the fact that ab-initio spectral models generically fail to reliably predict the Balmer lines and other strong lines in cool giants (e.g. Bergemann et al. 2016, their Figure 2). To test this, we tried modeling the spectrum of the giant with an appropriately broadened empirical spectrum of a giant with \( T_{\text{eff}} \approx 3800 \text{K} \), \( \log g \approx 1.2 \), and \( \text{[Fe/H]} = -0.5 \) from the GALAH survey (Buder et al. 2021). When combined with a model spectrum for the subgiant, this yielded a good fit to all 7 composite spectra. The composite line profile varies significantly from epoch to epoch, but this is mainly because there are two sets

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**Figure 7.** Spectral disentangling of the Unicorn. Top and bottom panels show two different epochs, chosen to be near opposite quadratures. Black lines show the observed Keck/HIRES spectra. Blue and red lines show the disentangled spectra of the giant and subgiant (same in both panels except RV shifts), whose sum (cyan) matches both observed spectra, as well as those in the other 5 epochs that are not shown. The RVs of both components at each epoch are printed in the lower right. The observed spectra are well-modeled as the sum of two components. The subgiant component (blue) contributes \( \approx 65\% \) of the light at these wavelengths, but its contributions to the spectra are subtle because its absorption lines are broadened by rapid rotation.

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**Figure 8.** The observed spectra are well-modeled as the sum of two components. The subgiant component (blue) contributes \( \approx 65\% \) of the light at these wavelengths, but its contributions to the spectra are subtle because its absorption lines are broadened by rapid rotation.

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**Figure 9.** The observed spectra are well-modeled as the sum of two components. The subgiant component (blue) contributes \( \approx 65\% \) of the light at these wavelengths, but its contributions to the spectra are subtle because its absorption lines are broadened by rapid rotation.
of spectral lines moving back and forth. We thus found no strong evidence of emission in the Balmer lines during the “out-of-eclipse” observations.

However, Jayasinghe et al. (2021) did find that the Hα line at \( \phi \approx 0.5 \) becomes significantly deeper than at other phases (their Figure 14). One possible explanation is that this is due to an accretion stream from the giant’s inner Lagrange point to the secondary, which would move between the subgiant and Earth at phase 0.5. Variations in the secondary’s spectrum with phase will be explored further in future work (Jayasinghe et al., in prep).

### 4.1.3 Dynamical mass ratio

We also explored constraints on the Unicorn’s mass ratio from the reflex motion of the companion. In contrast to the slowly-rotating subgiant in the Giraffe, the companion in the Unicorn has broad lines, making measurement of its RVs nontrivial. We attempted several different methods.

First, we cross-correlated a subgiant template spectrum against the bluest few HIRES orders, where the contributions of the giant are negligible. This yielded RVs that unambiguously move in anti-phase with the giant (Figure 10). However, the implied RV semi-amplitude is sensitive to the choice of subgiant template and \( v \sin \varv \), with different plausible templates yielding semi-amplitudes ranging from 4 to 11 \( \text{km s}^{-1} \). Using an observed single-epoch spectrum instead of a synthetic template resulted in a semi-amplitude of \( \approx 6 \text{ km s}^{-1} \), but the precise value varied with the wavelength range being fit. Finally, we fit for the subgiant semi-amplitude during disentangling of the redder orders, where both components contribute comparable frac-
Figure 9. Spectral fits to a single-epoch spectrum of the Unicorn. Black line shows cutouts of the spectrum. Red line shows the best-fit binary model, the parameters of which are listed in the middle panel. Cyan line shows the best-fit single-star + veiling model, in which the secondary is modeled as a blackbody that contributes continuum but no absorption lines. The binary model achieves a far better fit, particularly at blue wavelengths. For the best-fit binary model, the giant (component "1") contributes 85%, 80%, and 63% of the light in each panel. Its contributions to the spectrum are less obvious at longer wavelengths due to its higher rotation velocity. The giant dominates the light at $\lambda \geq 6,500$ Å.

4.2 Spectral energy distribution and radius

We infer the radii of both components from the SED, following the same approach used for the Giraffe. We do not include the SkyMapper photometry, which is likely saturated. The results are shown in Figure 11. The inferred giant radius is $R \approx 22.5 \pm 1 R_\odot$, and the effective temperatures of both components are consistent with our spectroscopic fits. The subgiant is predicted to contribute $\approx 20\%$ of the light at $\lambda > 16,000$ Å, also similar to the Giraffe.

4.3 Ellipsoidal variability and mass constraints

The large-amplitude ellipsoidal variability observed in the Unicorn implies that the giant must nearly fill its Roche lobe, once dilution from the companion is accounted for. For example, the observed min-to-max variability amplitude in the V-band is $\approx 17\%$, but a
(presumably) barely-variable companion contributes $\approx 60\%$ of the $V$-band light. This implies that the min-to-max variability amplitude of the giant is $\approx 37\%$, which implies a Roche lobe filling factor $R_{\text{giant}}/R_{\text{Roche lobe}} \gtrsim 0.97$. As in the Giraffe, we interpret this and the time-variability of the Balmer lines as evidence for ongoing mass transfer, and proceed under the assumption that the giant fills its Roche lobe.

In this case, the orbital period and observed giant radius constrain the giant mass to $M_{\text{giant}} = 0.44 \pm 0.06 M_\odot$. The inclination is constrained by the amplitude of ellipsoidal variability and the lack of

**Figure 10.** Left: Comparison of two single-epoch spectra of the Unicorn at relatively blue wavelengths, where the subgiant dominates. Although the lines are broad, there is definite evidence of RV variability. Right: RVs for the secondary inferred by cross-correlating different subgiant model spectra with the observed blue spectra. The inferred RVs are somewhat sensitive to the adopted model spectra (and to the spectral region considered), but strongly suggest that the two components move in anti-phase, with $M_{\text{giant}}/M_2 \lesssim 0.17$.

**Figure 11.** Spectral energy distribution of the Unicorn. Gray points show observed fluxes; red and blue lines show model spectra for the giant and subgiant. We assume a distance of 454 pc and reddening $E(B-V) = 0.15$. Bottom panel shows the fraction of the total light that is contributed by the subgiant; gray points show measurements of this quantity from spectral fitting.
Stripped giants in the binary zoo

4.3.1 Tidal RV

Independent of ellipsoidal variability, another diagnostic of the giant’s tidal distortion is the “tidal RV”; i.e., the apparent deviation from a purely Keplerian orbit due to distortion of the giant’s surface. This was investigated for the Unicorn by Masuda & Hirano (2021).

In the regime where $M_2 \gg M_{\text{giant}}$, the tidal RV at fixed orbital period scales as $K_{\text{tidal}} \sim 1/\rho_{\text{giant}} \sin^2(i)$, where $\rho_{\text{giant}}$ is the giant’s mean density. Compared to the Jayasinghe et al. (2021) model, the model we propose has lower inclination (62 ± 72 deg vs 87 deg) and lower $\rho_{\text{giant}}$ by a factor of 2. These factors work in opposite directions, but the $\rho_{\text{giant}}$ factor wins out, such that before contributions of the secondary are taken into account, our model implies a $K_{\text{tidal}} \approx 50\%$ larger than their model. This is in somewhat worse agreement with the data than the Jayasinghe et al. (2021) model, though the Masuda & Hirano (2021) analysis also implies a low giant mass ($\approx 0.6 M_\odot$) when the smaller giant radius we find is taken into account.

The giant’s inferred RVs may, however, be biased due to the presence of a 2nd peak to the cross-correlation function (CCF). To investigate this, we generated mock composite spectra matching our inferred parameters for the Unicorn and computed CCFs using the giant’s spectrum as a template. The rapidly-rotating subgiant adds a broad second peak to the CCF and pulls the CCF-inferred giant RVs toward the subgiant, leading to a maximum bias of $\approx 1.5 \text{ km s}^{-1}$. This bias is large compared to the tidal RV amplitude and threatens to overwhelm the tidal signal. However, the bias varies approximately sinusoidally with phase, and so much of it is absorbed into the giant’s inferred orbit (meaning that $K_{\text{giant}}$ is underestimated by $\approx 1 \text{ km s}^{-1}$).

Once this is accounted for, the maximum bias in the tidal RV due to an unaccounted-for secondary is about $0.5 \text{ km s}^{-1}$, with the exact value depending on the region of the spectrum considered and the parameters of both stars. We conclude that contributions of a luminous secondary lead to non-negligible changes in the inferred tidal RV and most likely explain the modest tension between our model and the tidal distortion measured by Masuda & Hirano (2021).

4.3.2 Comparison to single-star models

In Figure 12, we compare our measured temperature, radius, and luminosity for the secondary to MESA single-star evolutionary models for subgiants with a range of masses. We again show tracks with and without convective overshooting. The dynamically-implied mass of the secondary is $M_2 \approx 2.7 \pm 0.2 M_\odot$. This is consistent with the tracks without overshooting. The tracks with overshooting imply a somewhat lower mass, $M_2 \approx 2.4 \pm 0.2 M_\odot$.

Figure 12. MESA single-star evolutionary tracks with masses compatible with our constraints on the secondary in the Unicorn. Red symbol shows our inferred parameters of the secondary. Dotted and solid lines show models with and without convective overshooting. The dynamically-implied mass of the secondary is $M_2 \approx 2.8 \pm 0.2 M_\odot$. This is consistent with the tracks without overshooting. The tracks with overshooting imply a somewhat lower mass, $M_2 \approx 2.4 \pm 0.2 M_\odot$.  

- $M=2.4M_\odot$
- $M=2.6M_\odot$
- $M=2.8M_\odot$
- $M=3.0M_\odot$
- with overshooting
- without overshooting

Eclipses. For plausible giant and subgiant parameters, we find that inclinations $i \leq 62$ deg are ruled out because they would underpredict the observed ellipsoidal variability amplitude even with a Roche lobe-filling giant. Inclinations $i > 72$ deg would produce detectable eclipses and are similarly ruled out.

Jayasinghe et al. (2021) interpreted the increased Balmer absorption at $\phi \approx 0.5$ (Section 4.1.2) as evidence for eclipses of the companion and a near edge-on inclination. Such a scenario is difficult to reconcile with the secondary detected in the spectra. Irrespective of the nature of the secondary, the spectra imply that it is an optically thick source with $T_{\text{eff}} \approx 5800$ K and surface area similar to an $R \approx 8 R_\odot$ sphere. Such a companion would cause eclipses in the light curve for edge-on inclinations, even if it were e.g. a disk around a BH. Given our constraints on the secondary $T_{\text{eff}}$ and optical luminosity, it also cannot be a larger circumbinary structure; this would have much larger luminosity and would outshine the giant at all wavelengths.

We adopt a fiducial inclination of $i = 70$ deg, which matches the observed variability amplitude for our fiducial parameters, but we consider values from 62 to 72 deg plausible. For $i = 70$ deg, our PHOEBE models predict ellipsoidal variability amplitudes of 9.5% in the $B$ band and 17.2% in the TESS $T$ band; both of these are in good agreement with the observed values.

Given the observed mass function, $f(M_2) = 1.71 M_\odot$, these masses and inclinations correspond to companion masses $2.60 \leq M_2/M_\odot \leq 3.3$, with $M_2 \approx 2.8 M_\odot$ for our fiducial model. This is not far from the range inferred by Jayasinghe et al. (2021), because our lower assumed $M_{\text{giant}}$ and lower assumed inclination move the implied companion mass in opposite directions.
detailed abundances of the accreted envelope) can account for the apparent tension.

5 EVOLUTIONARY STATE: LOW-MASS GIANTS ON THE WAY TO BECOMING HELIUM WHITE DWARFS

Low-mass helium white dwarfs (He WDs) are the cores of giants stripped through binary mass transfer before central helium ignition. Hundreds of such WDs have been discovered in the last decade as companions to main-sequence stars, other WDs, and neutron stars (e.g. Brown et al. 2010; Maxted et al. 2014; Masuda et al. 2019; El-Badry et al. 2021b,c). Most helium WDs in wide orbits ($P_{\text{orb}} \gtrsim \text{few hours}$) are thought to form via stable mass transfer, with the giant’s envelope being gradually stripped over tens of Myr as it ascends the giant branch and the orbit expands. During the mass transfer process, the donor stars are low-mass giants, with masses of order $0.2 \sim 0.5 M_\odot$. A few such systems have been observed during the stripping process (e.g. Miller et al. 2021). Once almost all of the giant’s envelope has been removed, mass transfer ceases and what is left of the giant contracts, “freezing in” the current orbital period.

We propose that the giants in the Giraffe and Unicorn are currently in this stripping phase. This evolutionary scenario is qualitatively similar to the one proposed to explain the BH candidates LB-1, HR 6819, and NGC 1850 BH1 (e.g. Shenar et al. 2020; El-Badry & Quataert 2021; El-Badry & Burdge 2022). The main difference is that the donors in the Giraffe and Unicorn (a) are at an earlier evolutionary stage and (b) have an even lower mass than in those systems, and thus will most likely evolve directly to helium WDs rather than igniting core helium burning and becoming sdOB stars first. This however depends sensitively on the masses of the giants (e.g. Heber 2016), and for a future time as low-mass sdOB stars is also not ruled out.

A prototypical example of a system with the proposed evolutionary history is the binary Regulus, which at $V = 1.4$ is one of the 20 brightest stars in the sky. That system contains a proto-helium WD in a 40-day orbit with a main-sequence companion (Gies et al. 2008, 2020). Both component masses in Regulus are consistent, within their uncertainties, with our measurements for the Unicorn. The system’s evolutionary history is likely very similar to that of the Unicorn and Giraffe (Rappaport et al. 2009), except that mass transfer has already ended and the stripped giant’s core has contracted.

Because there is a tight correlation between the radius of a giant (which sets the orbital separation and period) and the mass of its degenerate core, one expects a tight correlation between the mass of helium WDs formed by stable mass transfer and orbital period (e.g. Rappaport et al. 1995; Tauris & Savonije 1999; Lin et al. 2011; Chen et al. 2017). This relation – which predicts a core mass of $\approx 0.3 M_\odot$ for detached systems at periods of 60-80 days – has been mostly validated by observations (Tauris & van den Heuvel 2014). For systems in which mass transfer is still ongoing, the giant mass can be higher, so $M \gtrsim 0.3 M_\odot$ is a lower limit on the expected giant mass in this scenario.

5.1 Binary evolution models

We calculated a small grid of binary evolution models using MESA (Modules for Experiments in Stellar Astrophysics, version r12778; Paxton et al. 2011, 2013, 2015, 2018, 2019). MESA simultaneously solves the 1D stellar structure equations for both stars, while accounting for mass and angular momentum transfer using simplified prescriptions.

We assumed an initial composition of $X = 0.712, Y = 0.28, Z = 0.006$ for both stars. Opacities are taken from OPAL (Iglesias & Rogers 1996) at $\log(T/K) \geq 3.8$ and from Ferguson et al. (2005) at $\log(T/K) < 3.8$. Nuclear reaction rates are from Angulo et al. (1999) and Caughlan & Fowler (1988). We use the photosphere atmosphere table, which uses atmosphere models from Hauschildt et al. (1999) and Castelli & Kurucz (2003). The MESA binary module is described in Paxton et al. (2015). We used the evolve_both_stars inlist in the MESA test suite as a starting point for our calculations, and most inlist parameters are set to their default values. Roche lobe radii are computed using the fit of Eggleton (1983). Mass transfer rates in Roche lobe overflowing systems are determined following the prescription of Kolb & Ritter (1990). The orbital separation evolves such that the binary’s total angular momentum is conserved, as described in Paxton et al. (2015).

We first highlight models that approximately reproduce the observed parameters of the Giraffe. A wide range of initial binary parameters can produce a $0.3 \sim 0.4 M_\odot$ Roche-lobe filling giant with an orbital period of $\sim$80 days (e.g. Kalomeni et al. 2016). Here we explore only a subset of the possible parameter space. We initialize the calculation with a detached binary containing two zero-age-main sequence stars on a circular orbit. We experimented with initial periods ranging from 7 to 20 days, initial primary masses ranging from 1 to 2 $M_\odot$, and initial mass ratios ranging from 0.8 to 1. We model mass transfer as being fully conservative for simplicity. This is a reasonable assumption during most of the calculation, but it is unlikely to hold during the initial period of thermal-timescale mass transfer, when the mass transfer rate briefly exceeds the thermal limit by a factor of $\sim 10$.

We present one model in detail in Figure 13 and 14, and comment on the effects of varying initial parameters in Section 5.2. The model we highlight has initial primary mass $1.15 M_\odot$, initial mass ratio 0.997, and initial period of 13 days. (We comment on the assumption of initial mass ratio $q \approx 1$ in Section 6.2.) The evolution of the primary, which is today the giant, is shown in Figure 13. Mass transfer begins as the primary is on its way up the giant branch, when its radius is $11 R_\odot$. Because of the nearly-equal mass ratio, the secondary has also already left the main-sequence at that time, with a radius of $6.5 R_\odot$.

Mass transfer is at first rapid, proceeding on the donor’s thermal timescale and reaching $M = 3 \times 10^{-4} M_\odot$ yr$^{-1}$, but slows once the giant has become the less-massive component, such that the mass transfer leads to orbit widening. Subsequent evolution is governed by the donor’s nuclear evolution, and the system gradually expands as a semi-detached binary for $\sim$50 Myr. It reaches an orbital period of 81 days $\approx$ 45 Myr after the onset of mass transfer, when the giant’s mass and radius are $M_{\text{giant}} = 0.37$ and $R_{\text{giant}} = 24.3 R_\odot$. At this time the secondary mass and radius are $M_2 = 1.93 M_\odot$ and $R_2 = 10 R_\odot$, yielding a mass ratio $M_{\text{giant}}/M_2 = 0.19$. The predicted surface carbon-to-nitrogen ratio is $[C]/[N] = -1.0$, in good agreement with the observed value. This is a consequence of material that was inside the giant’s convective core during its main-sequence evolution becoming exposed at the surface once the envelope is stripped: without mass transfer, the surface $[C]/[N]$ ratio for $a \approx 1 M_\odot$ giant would be near 0 (e.g. Hasselquist et al. 2019).

A qualitatively similar scenario can produce the Unicorn, but the total initial mass must be larger in order to produce the observed final mass. For near-conservative mass transfer, the current system masses imply an initial primary mass between about 1.6 and 1.9 $M_\odot$; values up to $\approx 3 M_\odot$ are possible if mass transfer is highly nonconservative. We refer to Rappaport et al. (2009) for a detailed exploration of the
initial masses and periods required to produce such a system, which are quite similar to those that can produce the Regulus system.

5.1.1 Future evolution

The orbit of the model shown in Figure 13 continues to expand until it reaches a period of 104 days. At this point, the giant is a $\approx 0.32 \, M_\odot$ helium core, with $a \approx 0.006 \, M_\odot$ diffuse hydrogen envelope. Mass transfer ceases and the giant rapidly contracts and heats up, leaving behind a $\approx 0.33 \, M_\odot$ helium WD. Although they do not occur in this calculation, we note that one or more shell flashes may occur as the proto-He WD reaches the cooling track (e.g. Driebe et al. 1998; Istrate et al. 2014).

We terminate the calculation once the He WD settles in its cooling track. Eventually, the companion will ascend the giant branch until it overflows its Roche lobe at a radius of order $30 \, R_\odot$. This will likely lead to an episode of common envelope evolution, most likely leaving behind a compact binary containing two He WDs or a sdOB + He WD binary (e.g. Tutukov & Yungelson 1988; Nelemans et al. 2000). Such binaries have recently been discovered in significant numbers via light curve variability (e.g. Burdge et al. 2020), and many more are expected to be found by LISA.

Depending on the orbital period after common envelope ejection, a sdOB + He WD binary may begin another episode of mass transfer while the sdOB star is still burning helium, evolving into an AM CVn phase (e.g Brooks et al. 2015). If the binary comes in contact when both components are already degenerate, the most likely outcome is a merger leaving behind a single sdOB star (Schwab 2018). The details are uncertain because they depend sensitively on the component masses and post-common-envelope separation (and the outcome of compact WD binary interactions is uncertain even for known masses; e.g. Shen 2015), but in any case, both systems likely have an exciting future.

5.2 Varying model parameters

Here we consider what range of initial parameters could produce systems like the Giraffe and Unicorn.

- **Initial primary mass**: A relatively wide range of initial primary masses can produce a stripped, Roche-lobe filling $0.3 - 0.4 \, M_\odot$ giant in a 60- or 81-day orbit. This is because the radii of giants with degenerate cores is set mainly by core mass (e.g. Rappaport et al. 1995). Primaries with larger initial masses begin mass transfer earlier in their evolution up the giant branch, but their masses and radii subsequently follow similar tracks to lower-mass stars at a given orbital period.

The total mass of the Giraffe today is constrained to $2 \leq M_{\text{tot}}/M_\text{dot} \leq 2.5$, and that of the Unicorn is constrained to $3.1 \leq M_{\text{tot}}/M_\text{dot} \leq 3.8$. This limits the initial primary mass to $1 \leq M_1/M_\text{dot} \leq 1.3$ (Giraffe) and $1.6 \leq M_1/M_\text{dot} \leq 1.9$ (Unicorn), unless mass transfer is significantly non-conservative. Highly nonconservative mass transfer is not implausible: many of the models we explore go through an initial period of very rapid thermal-timescale mass transfer, with $M$ up to $10^{-3} \, M_\odot$ yr$^{-1}$ when a subgiant donor first overflows its Roche lobe.

- **Initial mass ratio**: If the mass ratio at the onset of Roche lobe overflow is too unequal, the giant will be vulnerable to runaway dynamical mass transfer and a common envelope event. The critical mass ratio beyond which this occurs depends on the structure of the star and remains imperfectly understood (e.g. Hjellming & Webbink 1987; Soberman et al. 1997; Pavlovskii & Ivanova 2015). We found mass transfer in our calculations to be stable for initial mass ratios $M_{\text{giant}}/M_2 \leq 1.15$ at the onset of RLOF, with some dependence on initial period. However, only initial mass ratios very near unity allow the companion to evolve off the main sequence prior to the onset of mass transfer (Section 6.2).

- **Initial orbital period**: Longer initial periods cause mass transfer to begin when the donor is more evolved and has had time to form a larger helium core. This leads to a somewhat larger giant mass and radius when the giant reaches a period of 60 or 81 days. If the efficiency of mass transfer is modeled as constant, there are simple analytic relations between the current and initial periods and mass ratios (e.g. Soberman et al. 1997, their Equation 29). These allow us to model the systems’ period evolution backward in time given constraints on their current orbital periods and mass ratios. The results are shown in Figure 15 for both systems. For the Giraffe, we assume $M_{\text{giant}}/M_2 = 0.198$, as is observationally well-constrained.
Figure 14. Properties of the MESA model from Figure 13 as a function of time since first Roche lobe overflow (left) and orbital period (right). Dashed vertical line marks a period of 81 days, when the model’s parameters are similar to those observed in the Giraffe. The lifetime of the current phase, with a low-mass giant undergoing stable Roche lobe overflow to a subgiant companion, is \( \approx 30 \text{ Myr} \).
For the Unicorn, we assume the same values are with both subgiants’ rotation. There are, however, other cases of mass transfer binaries with highly unequal mass ratios (implying the donor has lost a large mass). Even in the Giraffe, the subgiant is rotating too rapidly to be spinning-down the Giraffe, but do not yet play a significant role in the Unicorn. The difference in the timescales estimated for the two systems is, however, driven mainly by differences in $k_2$ and $\beta$, which are calculated purely from evolutionary models.

### 5.4 Comparison to other similar systems

In Figure 16, we compare the Giraffe and Unicorn to several binaries in related evolutionary stages. HR 6819 (Bodensteiner et al. 2020; El-Badry & Quataert 2021) and LB-1 (Shenar et al. 2020) are recently-detached systems in which the stripped star is still bloated. NGC-1850 BH1 (El-Badry & Burdge 2022) and HD 15124 (El-Badry et al. 2022a) are still mass-transferring. HZ 22 (Greenstein 1973) and 59 Cyg (Peters et al. 2013) contain stripped stars that have already contracted and heated significantly. These objects are chosen as a representative, but not exhaustive, subsample of the stripped binary population; see e.g. Schooteemeijer et al. (2018) and Wang et al. (2021) for other similar systems.

Figure 16 also shows the MESA model from Figures 13 and 14, as well as a model for a more massive donor with initial mass of $4 M_\odot$. This model is described in El-Badry & Quataert (2021) and approximately reproduces the observed properties of the HR 6819 system. The qualitative evolution of the two models is similar. The most important difference is that a more massive donor ignites helium burning in its core while mass transfer is still ongoing and subsequently becomes a (non-degenerate) sdOB star rather than a He WD. Even higher-mass donors are expected to follow similar trajectories at higher luminosity (e.g. Götberg et al. 2018). The Unicorn and Giraffe have the coolest and most diffuse donors of the highly-stripped objects shown here. Irrespective of whether their future evolution involves core helium ignition, the models shown in Figure 16 imply that they will soon contract and heat up.

### 6 SUMMARY AND DISCUSSION

We have re-assessed the BH candidates 2M04123153+6738486 (“the Giraffe”) and V723 Mon (“the Unicorn”), which both contain red giant stars in wide orbits with companions of unknown nature. We find that both systems’ properties are best explained by a stripped low-mass ($0.3 – 0.5 M_\odot$) giant with a luminous subgiant companion. Our constraints for both systems are summarized in Table 1. Like several other recent BH candidates that likely contain two luminous stars (e.g. Shenar et al. 2020; Bodensteiner et al. 2020; El-Badry & Quataert 2021; El-Badry & Burdge 2022), these systems contain stripped stars with masses significantly lower than would be inferred from single-star evolutionary models.

The most important difference between our analysis and previous work is that we fit both systems with binary spectral models. In contrast, Jayasinghe et al. (2021, 2022) fit a single-star model and then analyzed the residuals. This approach leads to biases in the inferred parameters of both components, because the best-fitting single-star model is not an appropriate model for the system.
model of a subgiant (Figures 2 and 8). Our fitting implies that the flux contribution of the secondary is significantly larger than assumed previously: the secondaries in both systems contribute about half the light in the optical, and they dominate at the bluest observed wavelengths (Figures 3 and 11).

- **Inferred system parameters**: Dilution from the secondary reduces the amplitude of ellipsoidal variability (Figure 4), such that the giants in both systems must be nearly Roche lobe filling. Given the signatures of mass transfer in the spectra, we assume both systems are semi-detached, with ongoing stable mass transfer from the giants to the companions.

Accounting for contributions from a luminous companion, we find that the observed light curves and SED imply a giant mass of $0.3 - 0.4 M_\odot$ and a companion mass of $1.5 - 2.0 M_\odot$ in the Giraffe (Figure 5). Given our inferred effective temperature and radius of the secondary, such a companion mass is consistent with single-star models for subgiants (Figure 6).

In the Unicorn, we also find a low-mass giant, with $M_{\text{giant}} = 0.44 \pm 0.06 M_\odot$. The companion is more massive than in the Giraffe, with $M_2 = 2.8 \pm 0.2 M_\odot$. This dynamical mass may be larger by 10-20% than the value predicted by single-star evolutionary models given the companion’s radius and temperature, potentially a consequence of recent rapid accretion. However, this depends sensitively on the adopted stellar models (Figure 12).

Both systems have sub-solar metallicity, [Fe/H] $\approx -0.5$, and are strongly enhanced in nitrogen at the expense of carbon compared to the solar abundance pattern, with $[\text{C/N}] \approx -1.1$. We interpret this as evidence of CNO processing in the giants’ cores prior to envelope stripping.

- **Evolutionary history**: We can reproduce the observed properties of both systems with binary evolution calculations in which the giant is a low-mass stripped object undergoing stable mass transfer to a companion on its way to becoming a helium white dwarf or low-mass core helium-burning sdOB star in a wide orbit (Figures 13 and 14). This makes both systems likely progenitors to Regulus-type binaries containing a helium WD in a wide orbit around a normal star; these are longer-period versions of El CVn binaries (Maxted et al. 2014; van Roestel et al. 2018). This evolutionary history is quite similar to that proposed for several other recently deposed BH candidates (Figure 16). Eventually, the subgiant companions will evolve and overflow their Roche lobes, most likely leaving behind a compact binary containing two He WDs, a WD and an sdOB star, or two sdOB stars.

### 6.1 Could the secondaries be associated with accretion onto a BH?

There are several reasons to believe that the secondaries we detect in optical and IR spectra are not accretion disks around BHs, as was proposed for the Giraffe by Jayasinghe et al. (2022). There are abundant observations of astrophysical disks in similar contexts to the one proposed for the Giraffe – in low-mass X-ray binaries, cataclysmic variables, Be stars, and T Tauri stars – and their spectra generally do not look like slowly-rotating stellar photospheres. They
are usually dominated by emission lines, not absorption lines (e.g. Shahbaz et al. 1996; Warner 2003). If absorption lines are present in a disk around a compact object, they should be broadened by at least the Keplerian velocity at the radius where most of the light is emitted. The observed $v \sin i \lesssim 20 \text{ km s}^{-1}$ for the secondary in the Giraffe – if it traced material in orbit around $a \approx 3 \, M_\odot$ BH – would correspond to material on AU scales – significantly larger than the binary separation. Such material could exist in a circumbinary disk, but it would then not be expected to trace the secondary’s center of mass, as it is observed to do.

An accretion disk that emits significantly in the optical but not in X-rays is also unexpected. Irrespective of whether accretion is radiatively efficient or not, we expect $L_X \gtrsim L_{\text{opt}}$ for accretion disks around compact objects at the relevant accretion rates (e.g. Quataert & Narayan 1999). For example, the LMXB studied by Strader et al. (2016) – a rare system with an absorption spectrum from the disk at some epochs – has $L_X \approx 100 L_{\text{opt}}$. For the Unicorn and Giraffe, the X-ray upper limits imply $L_X \lesssim 10^{-5} L_{\text{opt}}$ and $L_X \lesssim 10^{-3} L_{\text{opt}}$. The implied radiative efficiencies in both systems are also much lower than expected for realistic models of accretion flows (e.g. Sharma et al. 2007).

6.2 Subgiant companions: fine-tuning required?

The basic evolutionary scenario we propose for the giants in the Giraffe and Unicorn can occur for a relatively broad range of initial binary masses and separations, and the existence of helium WDs as wide companions to main-sequence stars implies that it is not uncommon in nature. There is one aspect, however, which had to be fine-tuned in our MESA models: in order for the secondary to be observed as a subgiant today, the initial mass ratio has to be very close to 1 (initial $q \approx 0.995$ in the Giraffe, and $q \gtrsim 0.98$ in the Unicorn). Otherwise, the two stars would not terminate their main-sequence evolution at the same time, and the secondary would be observed as a main-sequence star rather than a subgiant. Unless the secondary has already formed a helium core and started to expand when mass transfer begins, accretion will rejuvenate it.

On the other hand, there is a strong selection effect against systems with non-subgiant accretors: they will have blue/UV excess, making the companions straightforward to detect. That is, if the companions in the Giraffe and Unicorn were still on the main-sequence, these systems would not have been identified as BH candidates in the first place. Nearly-equal mass binaries are not uncommon (e.g. Tokovinin 2000; El-Badry et al. 2019) and are required to produce any observed binary containing two evolved stars. At least one similar system with a subgiant accretor has been observed (Miller et al. 2021).

One possibility that could solve the fine-tuning “problem” (if indeed it exists) is that the accreting star may not actually be near the end of its main-sequence evolution, but could be only temporarily inflated due to recent accretion on a timescale short enough that its envelope did not have time to thermally adjust. Such temporary expansion does indeed occur in several of the MESA models we explored with main-sequence accretors and high initial mass transfer rates. In our models, these accretors reach equilibrium again before the giant is stripped down to $\approx 0.4 \, M_\odot$, but it is worth exploring whether there is a plausible model in which the recent mass transfer rate was higher and the accretors are only temporarily inflated. We defer investigation of such a scenario to future work.

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DATA AVAILABILITY

Data used in this study are available upon request from the corresponding author.

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Figure A1 and Table A1.

We first inspected the cross-correlation functions (CCFs) of the individual visit spectra with a library of stellar template spectra. In DR17, the published CCFs are derived from the spectra of the Sun and Arcturus. We interpolate between spectral models (Kurucz 1970, 1979, 1993), with a linelist calibrated to the solar spectrum. In DR17, the published CCFs are derived from the spectra of the Sun and Arcturus. We interpolate between spectral models (Kurucz 1970, 1979, 1993), with a linelist calibrated to the solar spectrum.

Using the binary spectral fitting approach described by El-Badry et al. (2018b), we fit each of the APOGEE visits with a sum of two single-star spectral models. To predict the spectra of the component stars, we used the library of $H$-band Kurucz spectra described by Ting et al. (2019). These are based on 1D LTE ATLAS/SYNTHE models (Kurucz 1970, 1979, 1993), with a linelisted calibrated to the spectra of the Sun and Arcturus. We interpolate between spectral models using a neural network, as described by El-Badry et al. (2018a) and Ting et al. (2019). Observed and synthetic spectra are pseudo-continuum normalized using a Chebyshev polynomial fit to the synthetic spectra. The free parameters of the fit are the effective temperature ($T_{\text{eff}}$), log surface gravity ($\log g$), metallicity ($[\text{M}/\text{H}]$), and the amount of rotational broadening ($v$). The fit is performed using a weighted nonlinear least-squares method.

**APPENDIX A: APOGEE SPECTRA OF THE GIRAFFE**

The Giraffe was observed 4 times by the APOGEE survey (Majewski et al. 2017); these data were also used by Jayasinghe et al. (2022). We analyzed the DR17 version (Abdurro’uf et al. 2021) of the aPSstar and aPSVisit files. The results of our analysis are summarized in Figure A1 and Table A1.

We first inspected the cross-correlation functions (CCFs) of the individual visit spectra with a library of stellar template spectra. In DR17, the published CCFs are derived from the spectra of the Sun and Arcturus. We interpolate between spectral models (Kurucz 1970, 1979, 1993), with a linelist calibrated to the solar spectrum. In DR17, the published CCFs are derived from the spectra of the Sun and Arcturus. We interpolate between spectral models using a neural network, as described by El-Badry et al. (2018a) and Ting et al. (2019). Observed and synthetic spectra are pseudo-continuum normalized using a Chebyshev polynomial fit to regions of the spectrum without strong lines, which were identified by Ness et al. (2015).
Figure A1. Detection of the Giraffe’s secondary in APOGEE spectra. Left panels show cross-correlation functions (CCFs) of the individual visit spectra with a giant star template. Two CCF peaks are evident in 3 of the 4 visits, suggesting the presence of two luminous components. Dashed black and red lines show the RVs we infer for the giant and secondary by fitting the spectra with a binary model. Upper right panels show the result of fitting two visits with a binary spectral model: red line shows the best-fit binary model, and cyan shows the best-fit single-star model. The binary model provides a significantly better fit, with a total $\chi^2$ difference of about 10,000 per epoch. Clear differences in line profile shape are evident between epochs, as expected in a double-lined system. The secondary contributes 20% of the $H$-band flux in these fits. Bottom right panel shows our inferred RVs of both components over 4 epochs. The epoch-to-epoch RV shifts of the secondary are 5 times smaller than those of the primary. This implies a mass ratio $q = M_{\text{giant}}/M_2 = 0.21 \pm 0.02$, consistent with what Jayasinghe et al. (2022) found with optical spectra.

Our inferred parameters are listed in Table A1. To infer uncertainties on spectral parameters that are more realistic than the formal
fitting errors, we fit the 4 visits separately, reporting the mean value and standard deviation across visits. Small cutouts of fits to two of the single-epoch spectra are shown in Figure A1, where we also plot the inferred RVs for both components.

There is little doubt that a second component contributes significantly to the observed spectra: there are many absorption lines that are obviously better fit with a binary model. The total $\chi^2$ difference between the best-fit single star and binary models across all visit spectra is 30,000. The difference is even larger, increasing to 55,000, if we fit all 4 visit simultaneously; i.e., requiring that the same star or pair of stars can explain all of them. This is because the presence of the second component changes the morphology of the composite spectrum from epoch to epoch. Compare, for example, the relative depth of the pair of lines at 16220 Å in Figure A1: the lines have almost equal depth in epoch 3, but the line on the left is significantly shallower in epoch 1.

We find that the RVs of the two components vary in anti-phase with each other. The ratio of their epoch-to-epoch RV shifts allows us to measure a dynamical mass ratio of $M_{\text{giant}}/M_2 = 0.21 \pm 0.02$. This is perfectly consistent with the mass ratio found by Jayasinghe et al. (2022) in the optical, and strongly implies that secondary we detect in the APOGEE spectra is the same one detected in the optical.

This fitting also allows us to measure the flux ratio in the $H$-band, which serves to constrain the radius of the secondary. We find $f_2/f_{\text{tot}}$ between 0.19 and 0.22 in all visits and adopt $f_2/f_{\text{tot}} = 0.2 \pm 0.01$ as the fiducial value. This is larger than the flux contribution inferred by Jayasinghe et al. (2022) by extrapolating from the optical. However, it is likely that their analysis underestimated the contributions of the secondary as discussed in Section 3.3.2.

Our fitting yields an effective temperature of 4050 ± 100 K for the primary, and 5200 ± 200 for the secondary. We find slow rotation values of 11 ± 2 km s$^{-1}$ and 16 ± 4 km s$^{-1}$ for the primary (the giant) and the secondary (the subgiant). The inferred metallicity is subsolar, [Fe/H] = −0.55 ± 0.06. The temperature we infer for the giant when fitting a binary model is 100 K cooler than the value we infer with a single-star model. Because the subgiant is warmer than the giant (though still cooler than the value inferred by Jayasinghe et al. 2022, which was $T_{\text{eff}} = 6350±700$ K), its fractional contribution to the total spectrum is expected to increase toward bluer wavelengths. This is consistent with our findings from the optical spectra (Figure 3.2).

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### Table A1.

Inferred parameters from fitting APOGEE spectra of the Giraffe with a binary model. Uncertainties reflect the scatter across different visits. RV values are the radial velocities of both components in the 4 epochs shown in Figure A1. We assume both components have the same [Fe/H] and [$\alpha$/Fe]. $f/f_{\text{tot}}$ is the continuum flux ratio at APOGEE wavelengths, which is modeled as constant over 15,000–17,000 Å.

| Component | $T_{\text{eff}}$ | $\log(g/cm\,s^{-2})$ | $v_{\text{rot}}\sin i$ | $RV_1$ | $RV_2$ | $RV_3$ | $RV_4$ | [Fe/H] | [$\alpha$/Fe] | $f/f_{\text{tot}}$ |
|-----------|------------------|-----------------------|------------------------|--------|--------|--------|--------|--------|-------------|-----------------|
| primary   | 4.05 ± 0.1       | 1.6 ± 0.1             | 11 ± 2                 | -87.18 | -60.32 | -7.61  | -11.00 | -0.55 ± 0.06 | 0.15 ± 0.05     | 0.80 ± 0.01     |
| secondary | 5.2 ± 0.2        | 3.4 ± 0.3             | 16 ± 4                 | -37.3  | -40.2  | -53.7  | -52.3  | –       | –            | 0.20 ± 0.01     |