Heterologous vector versus homologous mRNA COVID-19 booster vaccination in non-seroconverted immunosuppressed patients: a randomized controlled trial

Impaired response to COVID-19 vaccination is of particular concern in immunosuppressed patients. To determine the best vaccination strategy for this vulnerable group we performed a single center, 1:1 randomized blinded clinical trial. Patients who failed to seroconvert upon two mRNA vaccinations (BNT162b2 or mRNA-1273) are randomized to receive either a third dose of the same mRNA or the vector vaccine ChAdOx1 nCoV-19. Primary endpoint is the difference in SARS-CoV-2 spike antibody seroconversion rate between vector and mRNA vaccinated patients four weeks after the third dose. Secondary outcomes include cellular immune responses. Seroconversion rates at week four are significantly higher in the mRNA (homologous vaccination, 15/24, 63%) as compared to the vector vaccine group (heterologous vaccination, 4/22, 18%). SARS-CoV-2-specific T-cell responses are reduced but could be increased after a third dose of either vector or mRNA vaccine. In a multivariable logistic regression analysis, patient age and vaccine type are associated with seroconversion. No serious adverse event is attributed to COVID-19 booster vaccination. Efficacy and safety data underline the importance of a booster vaccination and support the use of a homologous mRNA booster vaccination in immunosuppressed patients.

Trial registration: EudraCT No.: 2021-002693-10.
patients undergoing hemodialysis. Several studies have reported on the efficacy and safety of an additional booster vaccination in immunosuppressed patients. These mainly consist of the administration of a third mRNA vaccine in a homologous vaccination strategy. Evolving evidence, however, suggests that a heterologous vaccination strategy might be more efficient in nonimmunosuppressed healthy volunteers. However, data on immunogenicity and safety of homologous versus heterologous booster vaccination strategy in patients who did not seroconvert are currently limited. We, therefore, performed a blinded randomized controlled trial to address immunogenicity and safety of the third dose in non-seroconverted immunosuppressed patients, comparing mRNA and vector vaccines.

**Results**

**Patient characteristics**

Seventy-five patients under immunosuppressive therapy who had been immunized with two doses of an mRNA vaccine were screened for eligibility. Twenty-four patients were excluded due to the presence of detectable SARS-CoV-2-specific antibodies. Fifty-one non-seroconverted patients were randomized, of whom 25 were assigned to receive a vector and 26 to receive an mRNA vaccine as the third dose; five patients withdrew consent between the screening and the baseline visit (Fig. 1). Thus, a total of 22/25 patients were vaccinated with a vector vaccine, and 24/26 received an mRNA vaccine. All patients subsequently presented at follow-up visits and completed the trial at week 4 after vaccination. Patient diagnoses and other characteristics were similar between the two randomized groups (Table 1).

**Humoral vaccination response**

Seroconversion rates at week 4 after the third vaccination were significantly lower in the vector (4/22, 18%) as compared to the mRNA group (15/24, 63%; p = 0.006) (Fig. 2a, b). Post hoc analysis revealed that median anti-RBD antibody levels were significantly higher in mRNA as compared to vector-vaccinated patients (p = 0.004, Supplementary Fig. 1a). Within mRNA vaccinees, 4/24 patients received a third dose of mRNA-1273. No significant difference was observed between BNT162b2 and mRNA-1273 (p = 0.253, Supplementary Fig. 1a). A more detailed patient characteristics of seroconverted and non-seroconverted patients can be found in Supplementary Table 1. In a follow-up extension study, anti-RBD antibody levels were determined 12 weeks after vaccination in 39 patients. No significant decrease in antibody levels was observed between week 4 and week 12 after the third vaccination (p = 0.917, Supplementary Fig. 1b).

**Cellular vaccination response**

SARS-CoV-2 spike-specific T-cell responses were determined by ELISPOT assay before and after booster vaccination. Ten healthy controls (HC), who were vaccinated three times with BNT162b2 and developed a humoral immune response, served as a positive control. Material from patients under immunosuppressive therapy collected before the COVID-19 outbreak (n = 5) served as pre-pandemic control. Characteristics of HC and prepandemic patients can be found in Supplementary Tables 2 and 3. After primary immunization, patients under immunosuppressive therapy had reduced numbers of spike-specific T-cells as compared to vaccinated HC (Fig. 3a, b), suggesting diminished T-cell responses in immunosuppressed patients. The number of spot-forming cells (SFC) in response to the spike peptide pools (S1 and S2) significantly increased after booster vaccination with either the vector (p = 0.0026) or the mRNA vaccine (p = 0.0396). No difference in SFCs/10⁶ PBMCs was observed between patients who received the third vaccination with either an mRNA- or a vector-based vaccine (p = 0.772, Fig. 3a, b; Supplementary Figs. 3 and 4a, b). Similar responses were detected in individual comparisons of S1 and S2 peptide pools (Supplementary Fig. 4c). No correlation was observed between SARS-CoV-2 spike-specific T-cells and anti-RBD antibodies (Supplementary Fig. 4d). Comparable cellular immune responses against Wuhan and Omicron spike peptides were found in vector- and mRNA-vaccinated patients (Supplementary Fig. 4e).

**Predictors of vaccination response**

Leukocyte subsets were analyzed before the third vaccination. No difference was observed between seroconverted and non-seroconverted patients (Supplementary Table 4). Pairwise correlations of anti-RBD antibody level at week 4 and individual cell subsets were calculated. No significant correlation was observed between anti-RBD antibody levels and any leukocyte subset (Fig. 4a and Supplementary Fig. 5), suggesting that no quantitative deficiencies contribute to seroconversion. In a multivariable logistic regression model, boost
with vector vaccine and age over 65 favored the likelihood of non-seroconversion (OR 0.05 95% CI 0.01–0.28 and OR 0.14 95% CI 0.02–0.73, respectively, Fig. 4b). Accordingly, higher age was associated with lower anti-RBD antibody levels (Supplementary Fig. 6).

Reactogenicity
Adverse events were monitored using a paper-based patient diary daily throughout the first week after vaccination and were addressed until week 4. One serious adverse event unrelated to vaccination (hospitalization for urinary tract infection) occurred during the four-week follow-up. The prevalence of systemic reactogenicity was similar in the vector and mRNA booster vaccine groups. 7/22 (32%) of vector-vaccinated patients developed arthralgia compared to 4/24 (17%) of patients with mRNA booster vaccination. Myalgia was reported in 7/22 (32%) vector-vaccinated patients compared to 9/24 (38%) mRNA-vaccinated patients. Fatigue was present in 11/22 (50%) vectors and in 7/22 (32%) vector-vaccinated patients compared to 9/24 (38%) mRNA-vaccinated patients. Local pain at the injection site was reported in 10/24 (42%) mRNA-vaccinated patients compared to 9/24 (38%) mRNA-vaccinated patients. Local pain was more frequent in mRNA (9/24, 38%) than in vector-vaccinated patients (5/22, 23%). Headache was reported in 8/24 (33%) of the mRNA-vaccinated patients compared to 9/22 (41%) of the vector-vaccinated patients.

Duration of side effects was prolonged in the mRNA as compared to the vector-vaccinated group, especially for local reaction (mRNA: 1.46 versus vector: 0.46 days per patient), myalgia (mRNA: 1.46, vector: 0.73 days per patient), and headache (mRNA L3.3, vector: 0.73 days per patient) (Fig. 5).

No thrombocytopenia or antibodies against platelet factor 4 (PF4) were observed after additional booster vaccination. None of the patients experienced an anaphylactoid reaction. In patients with previous organ transplantation, no acute transplant rejection was observed. Anti-HLA antibodies of patients with solid-organ transplantation were analyzed 12 weeks after the third vaccination with either a vector or an mRNA vaccine. No anti-HLA antibodies were detected in any of the patients treated with either a vector or an mRNA-based vaccine (n = 36). None of the patients developed de novo anti-HLA antibodies.

Discussion
In this blinded randomized, controlled clinical trial, we enrolled patients under immunosuppressive therapy, who had no detectable anti-RBD antibodies upon primary vaccination with two doses of an...
mRNA vaccine. After booster vaccination, the seroconversion rate was significantly higher in the mRNA (homologous) than in the vector-vaccinated (heterologous) group. Overall, an additional COVID-19 vaccination resulted in humoral immune response in 41% of this initially vaccination-refractory patient population. Although the SARS-CoV-2-specific T-cell response was reduced in immunosuppressed patients as compared to HC, the cellular response could be increased after mRNA- and vector-based booster vaccination.

Patients without detectable anti-RBD antibody levels were included in our study, reflecting individuals at exceptionally high risk for severe COVID-19 disease courses. Although none of the patients seroconverted after primary vaccination, the heterogeneity of the patient cohort has to be considered since it has been reported that underlying disease-specific medication can contribute to vaccination response\(^{34-36}\). While antibody levels have been determined at multiple time points during clinical routine before booster vaccination, it cannot be ruled out that individual patients had developed transient antibodies prior to study inclusion. Although case series and clinical trials have previously addressed the immunogenicity of a third vaccination in immunosuppressed patients, data comparing homologous versus heterologous vaccination strategies are currently limited\(^{22-26,30,33}\). A significant advantage was observed for the homologous booster dose in our study: the primary outcome showed a 44% higher seroconversion rate for mRNA (homologous) versus vector (heterologous) vaccination. In line with these data, anti-RBD antibody levels were significantly higher in patients who received an mRNA-based vaccination. To assess the stability of the humoral immune response, patients were invited to participate in an open-label extension study. Anti-RBD antibody levels were sustained over a period of 12 weeks, indicating a stable humoral immune response in immunosuppressed patients over at least 3 months.

In patients under B-cell-depleting therapy, such as rituximab, we and others have previously reported that seroconversion was impaired but a cellular immune response was preserved, supporting the importance of peripheral B-cells for seroconversion\(^{4,21,29,35,37,38}\). In the current trial, we excluded patients under rituximab treatment to ensure that absence of peripheral B-cells was not the main factor for an insufficient humoral immune response to COVID-19 vaccination. In addition, patients were stratified by the presence or absence of peripheral B-cells. Overall, the numbers of CD19\(^+\) peripheral B-cells did not correlate with anti-RBD antibody levels. However, when we analyzed SARS-CoV-2 spike-specific memory B-cells we observed a significant correlation with anti-RBD antibody levels, suggesting that the presence of SARS-CoV-2-specific memory B-cells can be interpreted as predictive of antibody production\(^{39}\). ELISpot assays were performed using PBMCs to determine the in vivo effect of immunosuppressants on the development of circulating spike-specific T-cells. In line with previously published data on cellular responses in patients under
As T-cell responses to SARS-CoV-2 spike peptides could be in viruses48,49, we analyzed responses to the more variable S1 part by pre-existing cross-reactive immunity against other human corona variants is preserved in most infected and vaccinated individuals43 with recent data reporting that the cellular response to SARS-CoV-2 and Omicron variants showed largely comparable results. This is in line with our study, T-cell responses to spike peptide pools from Wuhan-based booster vaccination, which was still diminished as compared to non-seroconversion after primary vaccination. A significant increase in the RBD and more conserved membrane-proximal S2 moiety of the spike protein separately. The results obtained revealed some variability between S1 and S2 responses in different groups, these, however, were not statistically significant. Although our data suggest that immunosuppressive therapy leads to impaired cellular immune responses, a bigger patient cohort would be needed to define the exact role of immunosuppressants. In addition, future in vitro assays should be performed to address the specific effects of different immunosuppressants on T-cell reactivation. Furthermore, we did not observe a significant correlation between SARS-CoV-2-specific T-cells and anti-RBD antibody levels, indicating more diverse roles of immunosuppressants in antibody production.

One of the biggest concerns of an additional booster vaccination in immunosuppressed patients relates to the risk of adverse reactions, which were documented by a patient diary within our study. No serious adverse event was recorded which could be related to booster vaccination. Reactogenicity was similar upon third vaccination as reported previously in heterologous vaccination regimens50. Common side effects (e.g., fatigue, headache, and myalgia) were observed, that were within the range reported in the approval studies51. While a longer duration of adverse events in patients boosted with a homologous mRNA vaccine than with the heterologous vector vaccine was observed in our patient cohort, this still lasted on average only 1.5 days.

Concerns have been raised that cell culture-derived vector vaccines can induce HLA-sensitization in transplant candidates and recipients, which may negate future transplantations or activate low-level chronic anti-graft responses5. To address this issue, we determined anti-HLA antibodies in solid-organ transplantation patients vaccinated either with vector or mRNA vaccine. In line with the most recent assessment report from the EMA and previous research52,53, no newly developed anti-HLA antibodies were detected 12 weeks post-vaccination.

Fig. 4 | Predictors of vaccination response. a Pairwise correlation plot of antibodies to the receptor-binding domain (RBD) of the viral spike (S) protein after third vaccination and leukocyte subsets in patients. Correlation coefficients are displayed as Kendall’s τ. Source data are provided as a Source Data file. b Odds ratios (OR) of logistic regression assessing seroconversion after third vaccination (n = 46). OR were calculated using a multivariate logistic regression model. OR for peripheral CD4+, CD8+, and CD19+ cells are presented per 100 cells/μl. Numbers indicate OR, bars represent the 95% confidence interval. *p = 0.002, **p = 0.034.

Fig. 5 | Safety. Local and systemic reactogenicity evaluated daily during the first 7 days after vaccination.
patient cohorts will be needed to understand the effect of disease entity and immunosuppression on seroconversion and whether the enhanced humoral and cellular immune response in initially non-seroconverted patients also translates into clinical protection. Our data show that a humoral immune response can be mounted even in non-seroconverted patients who did not respond to primary vaccination and support a homologous vaccination strategy in immunosuppressed patients, also considering the acceptable safety profile.

Methods

Trial design and participants
In this prospective blinded randomized controlled trial, adults (age ≥ 18 years) under immunosuppressive treatment without measurable SARS-CoV-2 spike protein-specific antibodies at least 4 weeks after their second COVID-19 vaccination were included. All patients had previously received two doses of an mRNA vaccine (BNT162b2 or mRNA-1273). Major exclusion criteria were known allergies to vaccines, previous infection with SARS-CoV-2, detectable anti-spike antibodies at the time of inclusion, or prior use of B-cell-depleting agents, such as rituximab. Healthy controls (HC) without immunosuppressive therapy as well as prepandemic immunosuppressed patients were recruited from the Vienna General Hospital and served as controls for the determination of SARS-CoV-2-specific T-cell responses. The detailed trial protocol can be found in the Supplementary Information. The trial was registered in the European Clinical Trials Database (EudraCT No.: 2021-002693-10) on the July 15, 2021.

Randomization
The presence of detectable B-cells is critical for seroconversion, therefore patients were block-randomized in a 1:1 ratio based on the presence or absence of peripheral B-cells using a computerized algorithm (Randomizer). Patients were assigned to receive either a third dose of an mRNA vaccine (BNT162b2 or mRNA-1273 containing 100 μg mRNA), corresponding to their primary vaccination compound or a vector-based COVID-19 vaccine (ChAdOx1 nCoV-19).

Interventions
The study was designed as described previously39 and is outlined in more detail in the Supplementary Information. In brief, four trial visits were performed. In addition, an optional open-label fifth visit was offered to the patients 12 weeks after vaccination. At screening, the eligibility of the patients was verified. An additional booster dose was applied at visit two (baseline), the cellular immune response was assessed at week one, the humoral immune response at week 4, and optionally at the extension visit at week 12 after the third vaccination. Safety was evaluated by a patient diary for the first week, then queried at week 4.

Blinding
In this trial, laboratory assessors and patients were blinded to the type of vaccine used. This was done to ensure an objective assessment of vaccine reactogenicity by the patients. Blinding of vaccines was ensured by the Central Pharmacy of the Vienna General Hospital, where dose aliquots were prearranged in syringes without reference to the vaccine type used. The study procedures followed Good Clinical Practice guidelines and the Declaration of Helsinki. The trial protocol was approved by competent authorities and the ethics committee of the Medical University of Vienna (No.: ISB3/2021). At inclusion, all patients and healthy controls provided their written informed consent. All trial visits were conducted monocentric in a tertiary hospital (Vienna General Hospital). The trial started on July 22, 2021, with the inclusion of the first patient. The last patient finalized the 4-week follow-up on October 8, 2021.

Laboratory testing
Laboratory tests, including quantification of peripheral leukocytes, anti-SARS-CoV-2 antibody testing, determination of SARS-CoV-2-specific T- and B-cell responses, and anti-HLA antibodies are described in more detail in the Supplementary Information.

Outcomes and sample size
The primary outcome of the study was the difference in antibody seroconversion rates between the two intervention groups (vector versus mRNA vaccine). According to the manufacturer's specification, seroconversion was defined as an anti-RBD antibody concentration of over 0.8 BAU/ml.

Secondary endpoints included overall seroconversion rate and SARS-CoV-2 antibody levels at week 4 and cellular immune response before and 1 week following vaccination. Assessment of safety included incidence and severity of adverse events over 28 days. A paper-based patient diary was used to evaluate reactogenicity. The study sample size was targeted at 150 individuals, thus based on a Chi-squared test comparing the two groups, the minimal detectable difference was 21% at a power of 80%. During recruitment, routine access to the additional vaccination dose, as offered in our trial, was facilitated for high-risk patients in Austria, which substantially slowed our inclusion rates. To allow timely study completion, the decision was taken to stop inclusion prematurely at 75 enrolled patients, which would provide power to detect an effect size of at least 32% for our patient cohort. The observed effect size was ultimately larger, which eliminates considerations about a potential type II error, i.e., failure to reject the null hypothesis although it is actually false.

Statistical analysis
The analysis included all patients vaccinated with an additional dose. Differences in seroconversion rates were statistically compared by utilizing a Chi-squared test. Post hoc analyses, including a comparison of antibody levels, type of mRNA vaccine, antibody stability, as well cellular immunity, were performed by Kruskal–Wallis or Mann–Whitney U test in unpaired groups or paired Wilcoxon test in paired groups. Factors associated with seroconversion rates were assessed by multivariable logistic regression analysis. Variables that might influence seroconversion were included in the model (age over 65, number of T- and B-cell subsets, type of booster vaccination, and triple immunosuppression). Univariate associations between continuous variables were described via Kendall rank correlation coefficient (r). For graphical presentation, in figures with a log-scale, not-detectable anti-RBD antibodies and cellular responses have been set to 0.1. For all analyses conducted, two-sided tests were used. Data collection was facilitated by Microsoft Excel 365. GraphPad Prism (version 9.1.0) and “R” version 4.0.3 (R Development Core Team. Vienna, Austria) were used for graphical presentation and statistical analysis. Following packages were utilized: “ggplot2”, “ggbeeswarm”, “corrplot”, and “sjPlot” for creating plots, “pwr” for power calculation, and “tableone” to create baseline tables.

Reporting summary
Further information on research design is available in the Nature Research Reporting Summary linked to this article.

Data availability
Source data of the main figures are provided as a source data file and in supplementary Table 5. De-identified participant data are available upon request. Proposals must be submitted to the corresponding authors and will be reviewed within 2 months. Once the proposal has been approved, data can be transferred through a secure online platform after the signing of a data access agreement and a confidentiality agreement. Source data are provided with this paper.

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Author contributions
All authors contributed to manuscript preparation. M.B., D.A., D.S., D.M., M.Z., S.T., and S.W. contributed to the study design. D.M., H.R., L.X.H., and D.S. contributed to data analysis. T.P., H.H., and K.S. performed antibody measurements. L.X.H., J.A., M.K., M.M., and P.H. contributed to cellular assays, M.B., D.A., J.S., D.M., and L.X.H. drafted the primary manuscript. S.T., D.M., M.B., P.Ma., E.S., L.G., N.H., A.W., L.S., L.H., K.K., F.K., T.H., A.K., T.D., A.P., P.Mu., K.U.-U., A.Z., G.N., and T.R. contributed to patient recruitment, R.R.S. provided prepanademic controls, G.F.F. performed HLA-antibody testing, R.T., U.W., V.G., and E.O.S. determined leukocyte subsets, M.Z. performed randomization, D.M. and D.S. as well as L.X.H. and M.B. contributed equally and are co-first and co-last authors.

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