A Failure Identification and Recovery Framework for a Planar Reconfigurable Cable Driven Parallel Robot

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Abstract: In cable driven parallel robots (CDPRs), a single cable malfunction usually induces complete failure of the entire robot. However, the lost static workspace (due to failure) can often be recovered through reconfiguration of the cable attachment points on the frame. This capability is introduced by adding kinematic redundancies to the robot in the form of moving linear sliders that are manipulated in a real-time redundancy resolution controller. The presented work combines this controller with an online failure detection framework to develop a complete fault tolerant control scheme for automatic task recovery. This solution provides robustness by combining pose estimation of the end-effector with the failure detection through the application of an Interactive Multiple Model (IMM) algorithm relying only on end-effector information. The failure and pose estimation scheme is then tied into the redundancy resolution approach to produce a seamless automatic task (trajectory) recovery approach for cable failures.

Keywords: Robotics, identification and fault detection, parallel robots, identification and control methods, fault accommodation and reconfiguration strategies.

1. INTRODUCTION

Cable driven parallel robots (CDPRs) are lightweight mechanisms in which cables replace traditional rigid link actuators. They can be designed and structured to provide manipulability over large workspaces, with a high ratio of stiffness to mass, thus proving useful in application domains such as painting (Gagliardini et al. (2015)), inspection (Izard et al. (2013)), warehousing, and manufacturing, including small-scale and large-scale 3D printing (Chesser et al. (2022); Jamshidifar et al. (2015); Izard et al. (2017)). Traditional CDPRs have fixed workspace domains and generally invariant maps of workspace and performance quality within those domains. Here, the addition of modularity to the design in the form of geometric reconfigurability offers the advantageous flexibility to improve performance at the end-effector or to move the robot into previously inaccessible workspace domains. This has been demonstrated in numerous recent approaches (Rasheed (2019); Zhou et al. (2014); Raman et al. (2020); Seriani et al. (2016)). In this study, we demonstrate a new advantage of incorporating modularity by utilizing the offered kinematic redundancies for failure tolerant control.

Maintaining robot operation despite actuator failure is a rich field of study, especially in aerospace and space robotics where mechanical and controller redundancies are design critical. Despite cable failures being a common problem in CDPRs, however, research on failure tolerant control in this domain remains sparse. As cables can only exert positive tension at the end-effector, this introduces a layer of complexity to more traditional forms of control. The flexibility of the cable introduces unmodeled dynamics and actuator faults which can lead to large uncontrolled tensions in the cables and therefore can cause failures. Here, kinematic redundancy may be employed to overcome cable or actuator failures by utilizing geometric reconfiguration to actively rearrange the attachment points in the structure. This ensures that the static traversable workspace lost through cable failure is quickly recovered. Furthermore, an online-redundancy resolution algorithm ensures that the static workspace travels with the end-effector. The basic idea is depicted in Fig. 1.

This study is part of a larger effort that aims at advancing the development of a cooperative robot (cobot) for assisting concrete delivery in tasks such as 3D concrete printing or human directed concrete applications. This cobot is expected to consist of two subsystems: (i) a cable

Fig. 1. (a) Cable failure (b) Reconfigurability allows the trajectory tracking to continue

* This work is supported in part by the U.S. National Science Foundation under NRI grant 1924721.
driven parallel manipulator that controls a payload over a large workspace (called the macro-manipulator); and (ii) a continuum robot enclosing a concrete delivery tube that provides precisely directed control through congested spaces (called the micro-manipulator) (Srivastava et al. (2022)). The presented work contributes to the development of the first subsystem, for which we have chosen to utilize a kinematically redundant CDPR. We presented the modeling and development of kinematically redundant CDPRs in our previous work (Raman et al. (2021)).

In this paper, we employ geometric redundancies with redundancy resolution control to traverse a trajectory in spite of cable failures. The proposed framework consists of two parts: (i) a failure detection and identification (FDI) section that introduces the use of an Interactive Multiple Model Adaptive Filter; and (ii) a fault tolerant control (FTC) section that utilizes a switching feedforward kinematic controller in tandem with the estimator to form a robust and automatic task recovery scheme.

2. RELATED WORK

The use of kinematic redundancy to allow serial rigid-link robots to compensate for joint failures has had a long history (Visinsky et al. (1994); Maciejewski (1990)). Early studies accounting for cable failures in CDPRs (Roberts et al. (1998)) showed that a static equilibrium could be maintained (upon failure) if the end-effector has been in specific singular configurations. Bosscher and Ebert-Uphoff (2004) noted that there are two kinds of failure modes: cable breakage due to excessive positive cable tensions or slackness due to the lack of any tension. Notash (2012) looked into additional cable failures, such as stuck actuators (applying a passive restraint on the end-effector) or situations in which the actuator moves but the output is biased. However, these works are limited to kinematic models and CDPRs with ideal and inelastic cables. Other approaches (Passarini et al. (2019) and Boumann and Bruckmann (2022)) presented interesting emergency stop strategies (upon cable failure) in cable suspended robots with the focus being on minimizing the safety risk instead of the continued functioning of the robot.

Although FDI, FTC, or task recovery is a well explored domain in aerospace applications, literature on the same topics in the field of cable driven manipulators is almost non-existent. A fairly popular general technique employed for FDI in other domains is the use of Multi Model Adaptive Estimation (MMAE) filters that assume knowledge of all the possible failure modes that may occur. In this study, we use an Interactive MMAE or IMM for short. IMMs have a rich history in trajectory tracking (Mazor et al. (1998)) and behaviour prediction (Gill et al. (2019)) as well as in fault detection and identification (Tudoroiu and Khorasani (2005)). Many of these studies focus on FDI only and do not attempt to integrate controllers for task recovery. One of the few exceptions is the work of Hill et al. (2021) where a nonlinear Model Predictive Controller is applied to recover from reaction wheel failures that are identified through an UKF based IMM for satellite maneuvering. Here, we employ a similar framework for FTC.

For our approach, the cables are modeled as elastic springs. Furthermore, we assume that the employed dynamic models are erroneous and do not correspond perfectly to physical reality (e.g., through parametric uncertainties and process noise). We also assume that encoder readings do not correspond accurately to the true position of the end-effector and constitute an unreliable source of information; a reasonable assumption to make when accounting for elasticity in cables. There are several studies addressing the ineffectiveness of relying solely on forward kinematics from cable length measurements to determine end-effector pose, such as works by Le Nguyen and Caverly (2021); Korayem et al. (2018); Caverly and Forbes (2016) where techniques based on Extended (EKF) and Unscented (UKF) Kalman Filtering based on data from payload mounted IMUs have been implemented to mitigate this issue.

The IMM applies a parallel bank of filters, each corresponding to one of the various failure modes. The output provides an estimate of model corrected pose together with an understanding of the current working mode. Then, the controller computes a mixed joint input to the plant/environment based on real-time information from the IMM. This approach simultaneously detects the fault, provides a corrected estimate, and updates the input, such that the system can robustly cope with sudden failures. This paper serves as a proof-of-concept with key contributions as follows: (i) an Interactive Multi Model Filter is derived and demonstrated as a robust estimator for cable failure detection and identification (FDI) as well as for real-time pose estimation in CDPR applications; and (ii) a first iteration of a proportional-derivative based fault-tolerant control algorithm for task recovery through redundancy resolution post FDI is demonstrated.

3. FAILURE MODES

The loss of cables in a CDPR reduces the degrees of freedom (DOFs) or the quality of the DOF available at the end-effector. We consider 2 DOFs at the end-effector for the four-cable macro CDPR model in this paper. The total working modes considered can be described by 3 motion models: (i) an over-constrained CDPR with 4 cables; (ii) a fully constrained CDPR with 3 cables; and (iii) an under-constrained CDPR with two cables (Fig. 2).

Therefore there is a total of 7 working modes: no cables have failed (mode 1), only cable A, B, C, or D fail (modes 2-5), cables A and B have failed (mode 6), or cables C and D have failed (mode 7). If any other failure combination occurs, the robot becomes non-navigable for significant areas of the workspace.

![Fig. 2. The 3 kinds of failures in a 4 cable kinematically redundant CDPR](image-url)
This section discusses the dynamic model of a planar kinematically redundant CDPR with a 2-DOF platform that is driven by 4 cables and 4 linear actuators housing the cable winch mechanism. The full kinematic model has been presented in our previous work (Raman et al. (2021)). Typical CDPRs require a greater number of cables than the degrees of freedom at the end-effector to be fully constrained. In our model, the cable elements are considered as linear springs with the specific stiffness (stiffness per unit free cable length) of the cable given by $k_i$. Each cable link forms a prismatic joint between the end-effector $\{F\}$, and the base $\{S_1\}$ attachment points. The joint length is then given by $l_{p,i}$, which is actuated by a motor-encoder whose position is given by $\theta_i$. This term should ideally be $r\theta_i$ where $r$ is the motor winch radius, but since $r$ is a constant, we subsume the entire term under a generic notation $\theta_i$. Due to the elasticity, $l_{p,i} \neq \theta_i$. The cable stiffness at the current time step is given by $k_i = k_0,i/l_{p,i}$, where $\theta_i$ is determined from inverse kinematics under the assumption of an available end-effector pose. The tension in the cables is given by $F_{k,i}(\theta_i)$, where $F_{k,i} > \theta_i$, to prevent cable sag. The geometric attachment points, $\{S_2\}$, are not constant as in traditional CDPRs, but instead move along linear actuators along the $x$-axis of the base frames $\{S_1\}$. The longitudinal positions of these attachment points with respect to the base frame are given by $l_{s,i}$. For our model, the linear sliders are considered to be perfect without providing any additional dynamic effects (subject only to limits on velocity). The complete joint input vector can then be expressed as $q = [l_s \quad \theta]$. Note that the lower level control signals translating joint inputs to actuator torque occur independent of the CDPR control loop and are therefore not modeled here.

The cable failure is modeled as a sudden drop in the cable stiffness of the failed joint, i.e., $k_i \rightarrow 0$ over a small time interval of $\Delta t = 0.1s$. The full dynamic model of the system can then be derived via the Lagrangian approach as

$$M\ddot{x}_c + D\dot{x}_c = P(x_c, l_s) K_q \left[ l_p(x_c, l_s) - \theta \right]$$

(1)

where $x_c = [x, y, \phi]$ is the end-effector pose, $P(x_c, l_s)$ is the pulling map or wrench Jacobian. The prismatic length $l_p$ comes from inverse kinematics. Both are dependent on the current pose $x_c$ and geometric attachment points $l_p$ while the joint state stiffness matrix $K_q$ is a diagonal matrix with the elements $(k_1, \ldots, k_4)$. The mass and damping matrices are $M$ and $D$ respectively. This formulation ignores the effects of gravity (as the system is planar).

5. MOTION MODELS

Three motion models are employed to describe the seven working modes. For each working mode, the effect of the corresponding cable failure is incorporated in the dynamic model. The states of the end-effector are given by $x = [x_c, \dot{x}_c]^T$. The resulting non-linear system model is given by

$$\dot{x}(t) = f(x(t), u(t), t) + \omega$$
$$\dot{y} = h(x(t), t) + v$$

(2)

where $\omega$ and $v$ are zero mean Gaussian vector processes accounting for model and measurement noise. The input $u(t)$ to the system is given by the joint input position vector $q(t)$. The non-linear vector functions $f$ and $h$ emerge as

$$f(x, u) = \begin{bmatrix} M^{-1}P(x_c, l_s)K_q \left[ l_p(x_c, l_s) - \theta \right] - M^{-1}Dx_c \end{bmatrix}$$
$$h(x) = \begin{bmatrix} x_c \\ 0 \end{bmatrix}$$

(3)

where the explicit dependence on time has been dropped for ease of notation. The measurement model assumes that noisy position data is directly available, for instance by separately pre-processing and filtering information from an on-board IMU.

6. INTERACTIVE MULTIPLE MODEL ESTIMATION

An interactive multiple model filter (IMM) is a dynamic estimator (Blom and Bar-Shalom (1988)) which can be used when model changes appear suddenly or gradually over time, thus providing means for failure detection. The process flow of an IMM is shown in Fig. 4. In general, the bank of filters in an IMM can be realized by a variety of estimation approaches, i.e., Kalman Filters (KF), Extended Kalman Filters (EKF), Unscented Kalman Filters (UKF), Particle Filters (PF), et cetera. In this initial study, we employ an EKF formulation in which the error dynamics of gravity (as the system is planar).

$$F = \frac{\partial h(x)}{\partial x} \bigg|_x, \quad H = \frac{\partial h(x)}{\partial x} \bigg|_x$$

(4)
Here, $F$ and $H$ can be determined numerically by a complex step derivative approximation. In this study, the EKF is applied in the discrete time domain; hence, the Euler-Maruyama discretization of the motion model yields

$$x_k = x_{k-1} + f(x_{k-1}, q_{k-1})\Delta t + \omega_k \sqrt{\Delta t}$$

$$\phi(x_{k-1}, q_{k-1})$$

$$\hat{y}_k = h(x_{k-1}) + v_k$$

where $\hat{y} \in \mathbb{R}^n$ is the measurement vector, $\omega_k \sim \mathcal{N}(0, Q_k)$ denotes the process noise with covariance $Q_k \in \mathbb{R}^{n \times n}$; and $v_k \sim \mathcal{N}(0, R_k)$ is the measurement noise with covariance $R_k \in \mathbb{R}^{m \times m}$. The sampling time is denoted by $\Delta t$, and $k$ is the current time step.

Let $M$ be the number of all working modes in a CDPR at the $k^{th}$ time step. Then, the input to the filter is the current measurement and mixed state estimate $\hat{x}^+$. The algorithm broadly consists of four stages (Gill (2019)):

1. **Interaction and Mixing**: The weights and the estimates from the last cycle are mixed as per their associated Markov transition probabilities. The predicted probability for the filter to end up in mode $i$ in each cycle, given that it was in the mode $i$ during the previous cycle is given by

$$w^{(i)}_k = \frac{1}{\sum_{j=1}^{M} w^{(i)}_k} p_{ij}$$

$$c^2_i = \sum_{j=1}^{M} w^{(i)}_k p_{ij}$$

with $c^2_i$ being the normalization factor, and where $p_{ij}$ is the Markov transition probability from mode $i$ to mode $j$. This matrix is tuning factor and is determined heuristically. The mixed initial state estimate at the start of the current time step is provided by

$$\hat{x}^{+0j}_k = \sum_{i=1}^{M} w^{(i)}_k \hat{x}^{+i}_k$$

$$P^{+0j}_k = \sum_{i=1}^{M} w^{(i)}_k \left[ \left( \hat{x}^{+i}_k - \hat{x}^{+0j}_k \right) \left( \hat{x}^{+i}_k - \hat{x}^{+0j}_k \right)^T + P^{+i}_k \right]$$

2. **Model Specific Filtering**: The mixed initial estimates are fed into the EKF and processed in two steps, i.e.

- **Propagation**:

$$\hat{x}^{+j}_k = \hat{x}^{+0j}_k + f(\hat{x}^{+0j}_k, q_{k})\Delta t$$

$$P^{+j}_k = \Phi^j_k P^{+0j}_k \Phi^j_k^T + Q_k$$

$$\Phi^j = I + F_k^j\Delta t$$

- **And update**:

$$K^{(j)}_k = P^{+j}_k H_k^T \left[ H_k P^{+j}_k H_k^T + R_k \right]^{-1}$$

$$\hat{x}^{+j}_k = \hat{x}^{+j}_k + K^{(j)}_k [y_k - h(\hat{x}^{+j}_k)]$$

$$P^{+j}_k = [I - K^{(j)}_k H_k] P^{+j}_k$$

(9)

Here, ‘+$’ denotes the a posteriori estimate whereas ‘$-$’ denotes the a priori quantity before the update. The likelihood of a measurement is then given by

$$p(y_k | \hat{x}^{+j}_k) = \frac{1}{\sqrt{\text{det}(2\pi E_k^-)}} \exp \left[ - \frac{1}{2} e_k^- \left( E_k^- \right)^{-1} e_k^- \right]$$

with $E_k^- = H_k^T P^{+j}_k H_k^T + R_k$

and where $e_k^-$ is the estimation error.

3. **Model Probability Update**: Now, the model probabilities are updated via the likelihood with subsequent normalization, i.e.

$$w^j_k = w^{j-1}_k p(y_k | \hat{x}^{+j}_k)$$

$$w^j_k \leftarrow w^j_k \sum_{i=1}^{M} w^i_k$$

(11)

4. **Combination**: Finally, the updated estimate is given by

$$\hat{x}^{+}_k = \sum_{j=1}^{M} w^j_k \hat{x}^{+j}_k$$

$$P^{+}_k = \sum_{j=1}^{M} w^j_k \left[ \left( \hat{x}^{+j}_k - \hat{x}^{+}_k \right) \left( \hat{x}^{+j}_k - \hat{x}^{+}_k \right)^T + P^{+j}_k \right]$$

(12)

The final estimate of $\hat{x}$ is not integrated into the overall controller but the weight vector, $w$, plays an important role. The weight vector $w^j_k$ displays the importance (probability) associated with each model in the bank. If there are no cables failures, for example, the IMM will determine the first model (mode 1) to have the largest weight. This vector also informs the balance of joint inputs to the controller as described in the next section.

7. TASK RECOVERY

We wish to describe a proof-of-concept controller for a CDPR performing 3D printing. Therefore, the primary objective is to maintain end-effector trajectory tracking along a predefined path within the operational workspace of the redundant CDPR despite cable failure. The operational workspace is different from the static workspace. The static workspace is the instantaneous wrench feasible workspace of the CDPR if all the sliders were fixed. The operational workspace is the overall traversable wrench feasible workspace of the CDPR that lies within the total geometric constraints of the system. For the reconfigurable CDPR, the operational workspace lies within the bounds of the blue box in Fig. 1 (geometric limits of the joints), but we cannot make declarations about the quality and existence of the static workspace (for all redundant configurations) within it with equal ease. However, it is sufficient to state that if the next pose in the trajectory is manipulable and wrench feasible, then it is realizable through the controller.

The desired trajectory of the end-effector is known. The corresponding joint velocities are determined through redundancy resolution. The primary motivation of the task recovery controller is to reduce the tracking error as a primary constraint while maintaining the manipulability and wrench feasibility (i.e., avoiding infeasibility and singularity) of the joint space solutions. In general, this process
can be separated into: (i) a task space controller; (ii) a redundancy resolution scheme to maximize manipulability and wrench feasibility; and (iii) a joint level velocity controller.

7.1 Trajectory Tracking

The first iteration for the task recovery task space controller was a straightforward discrete-time Proportional-Derivative (PD) based trajectory tracking algorithm, i.e.

\[ e_p^k = (x_{e,f}^k - x_{e,f}^*) \]
\[ e_d^k = (e_p^k - e_p^{k-1})/\Delta t \]
\[ x_{e,f}^{k+1} = x_{e,f}^k + \delta_p e_p^k + \delta_d e_d^k \]  

(13)

where \( x_{e,f}^k \) is the trajectory point at current time step and \( x_{e,f}^* \) comes from forward kinematics. The forward kinematics are determined from a Levenberg-Marquardt minimization of potential energy in the system given the joint states at the current time step. The next desired position for the end-effector, \( x_{e,f}^{k+1} \), is employed to calculate the required joint states. The control gains \( \delta_p \) and \( \delta_d \) are selected as \([0.6 \ 0.6 \ 0]\) and \([0.1 \ 0.1 \ 0]\), respectively. We do not control motion in the third DOF.

7.2 Redundancy Resolution

For a given end-effector pose, there is an infinite amount of solutions in the joint space for a kinematically redundant CDPR. The optimal joint slider positions are determined from an objective function that seeks to maximize the manipulability ellipsoid at the end-effector. This aids in avoiding singularities as the sliders travel. When a cable fails (second motion model), the manipulability in the third DOF is ignored. The joint sliders are velocity limited from an objective function that seeks to maximize the manipulability ellipsoid at the desired pose. This value is given by

\[ \kappa = \text{max} \{ \text{null} (P) \} \]

where \( \kappa \) is a measure of the sensitivity of the pulling map at the desired pose. This is an equivalent property to the measure of manipulability. This value is given by \( \kappa = \min (\max (1 - \kappa, v_{max} \Delta t), v_{min} \Delta t) \)

(14)

where \( \kappa \) is a measure of the sensitivity of the pulling map at the desired pose. This is an equivalent property to the measure of manipulability. This value is given by \( \kappa = \min (\max (1 - \kappa, v_{max} \Delta t), v_{min} \Delta t) \)

The joint angles \( \theta \) are determined by first extracting the desired tensions in the cables, i.e., given the desired end-effector position together with \( l_{q}^{k+1} \), we determine the minimum positive tensions required to maintain this pose:

\[ \boldsymbol{\tau}^* = \min \boldsymbol{\tau}^T \boldsymbol{\tau} \succ P(x_{e,f}^{k+1}, l_{q}^{k+1}) \boldsymbol{\tau} = 0 \]  

(15)

The joint angles are then determined in a straightforward fashion by

\[ \theta = l_{p}^{k+1} - K_q^{-1} \tau^* \]  

(16)

8. IMPLEMENTATION AND RESULTS

8.1 Simulation Framework

The plant is a dynamic model created in MATLAB which is perturbed with process noise to mimic unmodeled dynamics. The measurements are simulated though a measurement noise perturbation as well. The cables are considered as linear springs (where stiffness is a function of free cable length) with no mass and no sag while all other model parameters are constants. Cable failure is modeled as a drop in cable stiffness to zero. If the stiffness is zero, the cable will have no tension and thus have no impact on the platform dynamics. The plant and estimation run at 100 Hz while the kinematic controller runs at 10 Hz.

8.2 Task Recovery

Figure 6 illustrates the application of the automatic task recovery algorithm for a case when a first cable breaks at 5 seconds and an additional cable fails at 10 seconds. The red trajectory shows the true path of the end-effector while the blue trajectory depicts the expected path. The red line exhibits a clear break away from the trajectory and subsequent recovery with three cables as the systems returns to and continues from the point of first failure. The
Fig. 6. Trajectory tracking and recovery

Fig. 7. Cable failure identified at 5 and 10 seconds

Fig. 8. Slider positions during geometric reconfiguration

Fig. 9. Trajectory error correction during task recovery

second cable failure and subsequent recovery then demonstrates similar behavior further down the trajectory. When the cables break, the IMM responds instantly, identifying the correct model and mixing the inputs accordingly, thus leading to recovery. In Fig. 7, the weights vector correctly assigns the largest values to the current working mode. At the five second mark, we can see that the weight vector has correctly identified the cable A failure, followed by the correct identification of the cable C failure at the ten second mark. The slider positions change in time to reflect the redundancy resolution during task recovery in Fig. 8 while the robustness of the task recovery can be seen in Fig. 9 as the real and desired trajectories converge.

Working in simulation provides us with access to the ground truth and thus, the estimation error \( e = x_e - \hat{x}_e \), therefore allowing for insight into the stability of the IMM.

Fig. 10. Estimation Error

8.3 Drawbacks

When the desired trajectory lies outside of the new static workspace that forms just after cable failure, the robot sometimes struggles to recover the trajectory tracking. This is because the robot may move close to singularity while attempting to minimize the trajectory error too quickly. Sometimes cable failure can also lead to cable slackness. While this property is not explicitly modeled in the system dynamics, the destabilizing effect of this can be identified when the value of spool angles, \( \theta \), is too high (at the instant of failure) to converge to a forward kinematics solution that lies within the new static workspace. For 3D printing tasks, we also require the end-effector to return to the point of break. These drawbacks will be addressed in our next work.

9. CONCLUSION

In this study, we demonstrate for the first time a proof-of-concept approach to failure detection and identification (FDI) with fault tolerant control (FTC) for kinematically redundant CDPRs by automating the cycle of detection and recovery. CDPRs offer a unique advantage by being able to utilize geometric reconfiguration in overcoming the consequences of cable failure. Failure modes are detected/estimated via an Interactive Multiple Model (IMM) algorithm that relies on a bank of parallel Extended Kalman Filters. The actual joint state inputs are accomplished by mixing the simultaneously calculated inputs from a parallel bank of pre-developed trajectory tracking controllers utilizing the IMM weight vector. While IMM approaches have been employed in dynamic fault detection and identification for aerospace applications, its use for fault detection in the robotics domain in general is sparse and truly unique for the cable driven robotics community. Furthermore, the recovery strategy incorporating both redundancy resolution and geometric reconfiguration for task recovery with the utilization of the IMM weights for control mixing is a first in this domain. In addition to providing a novel research idea, this also serves as a vehicle demonstrating the powerful advantage of adding kinematic redundancies to CDPRs. This work is meant to be a first study of a concept that requires advancement and
refinement in future work, for instance by incorporating a closed loop dynamic controller together with a motion planning strategy to recover the trajectory immediately from the point of failure. Further exploration is suggested on how to incorporate redundant actuation to minimize dynamic effects of cable failure to avoid cable and object collision.

ACKNOWLEDGEMENTS

This work is supported in part by the U.S. National Science Foundation under NRI grant 1924721.

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