Computational determination of macroscopic mechanical and thermal material properties for different microstructural variants of cast iron

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Abstract: The sensitivity of macroscopic mechanical and thermal properties of grey cast iron is computationally investigated for a variety of graphite microstructures over a wide temperature range. In order to represent common graphite microstructures according to DIN EN ISO 945-1 [1], a synthetic approach is used to algorithmically generate simulation domains. The developed mechanical and thermal model is applied in a large simulation study. The study includes statistical volume elements of the graphite microstructures classes GG-15 and IA2 to IA5, with 10 v. − %, 11 v. − % and 12 v. − % graphite, respectively, for a temperature range from 20 °C to 750 °C. Homogenized macroscopic quantities such as the Young’s moduli, Poisson’s ratios, yield strengths and thermal conductivities are predicted for the different microstructure classes by applying simulation and data analysis tools of the research data infrastructure Kadi4Mat [2] (https://www.kadi.iam-cms.kit.edu/).

Keywords: cast iron with lamellar graphite, graphite microstructure, mechanical properties, thermal properties, material properties, microstructure simulations, homogenization, material data workflow

Introduction

Cast iron with lamellar graphite can be considered as a kind of natural composite material, consisting of a metallic matrix with embedded graphite particles [3–6]. To a large extent, both the mechanical and thermal properties of grey cast iron depend on the graphite microstructure [7]. In this context, the shape, size, arrangement and volume fraction of the graphite particles are referred to as graphite microstructure. Depending on the individual casting practices of the foundries, the graphite appearance of a component, with nominally the same chemical composition, can differ from one foundry to the next [8]. Even with a constant volume fraction of graphite particles, large differences in material properties do occur, depending on the shape, sizes and arrangements of the graphite particles [9–11]. In order to be able to specifically influence the casting process of a component in such a way that its thermomechanical properties are optimal, knowledge of the correlation between the microstructure and the macroscopic properties is necessary. Against this background, continuum mechanical models are developed and are applied with the aid of numerical methods, so as to analyze the sensitivity of the macroscopic mechanical properties of cast iron with lamellar graphite in dependence of the graphite microstructure over a wide temperature range. In the same manner, the influence of the graphite microstructure on the thermal properties is investigated.

Particularly in the case of mechanical modelling, some aspects must be taken into account. In terms of the mechanical properties, cast iron with lamellar graphite has a complicated constitutive behaviour. Grey cast iron is tension-compression stress-
strain asymmetric, which means that it shows non-linear elastic behaviour, under one-dimensional tensile load, and fails quasi-brittle, without pronounced macroscopic plastic deformation. Under one-dimensional compressive load, it behaves ductile and fails at much higher strains [12,13]. The asymmetry is also expressed in load-dependent Young’s modulus and yield strengths [12,13]. There is widespread consensus in the literature that the tension-compression stress-strain asymmetric behaviour is a consequence of the graphite lamellae and the resulting interactions with the ductile matrix [13–16]. Under tensile load, graphite lamellae act as microcracks even at very small macroscopic strains and transmit almost no forces [8,14]. Due to this process, inhomogeneous mechanical fields arise in cast iron [12,14]. Stress peaks occur mainly at the sharp ends of graphite lamellae. At a macroscopic load far below the yield strength of the pure matrix material, local plastic deformations of the matrix occur, due to the high stress concentrations [14]. Under pressure, the lamellae are pressed together and can thus transmit forces [8,14], a larger force-transmitting volume exists. This results in a more homogeneous mechanical fields [16,17]. The mechanical behaviour then resembles that of steel. From this load-dependency a tension-compression stress-strain asymmetry and a bimodality arises. In Haenny and Zambelli [14], it is also assumed that due to the strong difference in thermal expansions of graphite and the matrix, high eigen strains develop during solidification which lead to debonding. This theory is supported by Bonora and Ruggiero [3], as the matrix has a coefficient of thermal expansion that is at least one order of magnitude higher than that of graphite.

There are some publications, which are also focused on the the correlation of the macroscopic properties of cast iron and its microstructure. In the published works, the simulation domains were usually modelled using periodic unit cell approaches or applying digitalized versions of real material samples. The obvious advantage of simulation domains, created from real material samples is, that they accurately represent the reality and cause the lowest possible uncertainty factor with regard to the simulation domain. In addition to the technologically complex creation of simulation domains, based on real material samples, it is not possible to specifically influence microstructure of the graphite. Thus, investigating the influence of these parameters on the macroscopic material properties is only feasible with extremely high effort. On the other hand, with the strongly idealized unit cell approaches, the transferability of the simulation results to reality is again difficult, since important characteristics of the graphite microstructure, such as the cross-linking of the graphite lamellae, are not mapped quantitatively.

As an overview of the most important literature, dealing with a similar problem as the publication, the following articles should be mentioned: Bonora and Ruggiero [3], Velichko et al. [5], Pina et al. [6], Norman and Calmunger [13], Metzger and Seifert [16], Pina et al. [18]. Bonora and Ruggiero [3] addresses the micromechanical behaviour of ferritic cast iron with spheroidal graphite. Special attention was paid to residual stresses arising during cooling from melting to room temperature. Such residual stresses result from different coefficients of thermal expansion between the matrix of the cast iron material and the spheroidal graphite. For the simulations, periodic axisymmetric 2D unit cell simulation domains were used. The constitutive micromechanical model of the matrix corresponded to the elastoplastic von Mises behaviour, while the model of the spheroidal graphite represented linear-elastic material behaviour. Based on a Weibull probability distribution, which depends on the stress state, a method was used to describe the cracking behaviour of spheroidal graphite. Furthermore, the matrix-graphite debonding was imitated, and ductile failure of the matrix was implemented using a continuum damage model.

Cast iron with lamellar graphite shows the best thermal and worst electrical conductivity of all cast iron variants, while cast iron with spheroidal graphite has values similar to steel and the values of cast iron with vermicular graphite lie between the two previously mentioned cast iron types. Against this background, Velichko et al. [5] have tried to work out a correlation of these properties, with quantitative microstructure...
characteristics. Among other things, FIB tomography images were used to create simulation domains, that were applied by means an FEM analysis, in order to determine the electrical conductivity of cast iron with different graphite shapes. The tomography-based simulation domains represented geometrically accurate replicas of a small part of a real cast iron microstructure, which resulted in the reproduction of experimental results. It was concluded that with computational modelling, one should be able to optimise microstructures to produce tailored composites that have maximized specific physical properties. It has also been shown that graphite cross-linking is a determining factor of the macroscopic material properties of cast iron.

Under cyclic mechanical load, cast iron with lamellar, vermicular and spheroidal graphite was examined by Norman and Calmunger [13]. The macroscopic material behaviour was calculated on the basis of a set of unit cell models, where each unit cell included a single randomly oriented graphite particle. All graphite lamellae had the shape of a penny-shaped ellipsoid and showed a purely elastic, transversely isotropic material behaviour. To model the cyclic load appropriately, the matrix behaved like a plastic-isotropic medium with a von Mises flow behaviour and kinematic hardening.

To study the thermal and mechanical properties of cast iron with lamellar graphite, a periodic unit cell model was used in Pina et al. [18], which considered the cross-linking of the graphite particles. The graphite particle of a unit cell had a complex three-dimensional “star shape”, which captured the most important morphological features of a real microstructure in an idealized way. The matrix was regarded as a homogeneous, isotropic-elastoplastic material, while the graphite was treated as a linear-elastic material with a transverse isotropy.

Metzger and Seifert [16] used statistical volume elements of realistic cast irons with lamellar graphite microstructures as a simulation domain, which were generated using the serial sectioning method. The isotropic graphite had a Young’s modulus that depended on the strain state. The matrix possessed a plastic von Mises behaviour, with the parameters of the plastic model adjusted in such a way that the numerical stress-strain curves of the microstructure-based model fitted well with experimental compression tests.

In contrast to the previously published approaches, the present work uses “synthetic methods” to generate complex microstructures. Here, the shape, the spatial expansion and the arrangement of the graphite lamellae, including their cross-linking, are reproduced realistically, whereby the expression of these characteristics can be influenced as desired and the volume fraction of the graphite particles can also be freely defined. In a large temperature range, this approach allows quantitative statements to be made about the influence of a different spatial morphology of the graphite lamellae and different graphite volume fractions on macroscopic mechanical and thermal material properties. All possible manifestations of the graphite microstructure are given by statistical volume elements (SVE). As already predicted by Velichko et al. [5], the obtained data can be used to design customized microstructures with maximized physical properties in order to adjust the casting process and/or the alloy components of a material in such a way that a solidified component has a desired microstructure.

The temperature range from 20 °C to 750 °C, the database, created by means of the conducted simulation study, includes the following macroscopic mechanical and thermal properties: Young’s modulus, Poisson’s ratio and the respective yield strength under tensile and compressive load, as well as the thermal conductivity, depending on the specified shape and arrangement of the graphite particles, varying the size distribution of the graphite lamellae and the volume fraction of the graphite inclusions. The material parameters of the microscopic models depend on the temperature θ.

The DIN EN ISO 945-1 [1] standard allows the graphite microstructure in a cast iron casting to be classified by visual evaluation, based on the shape, the arrangement and the size of the graphite. The standard is used to define the possible variants of graphite microstructures, whereby the simulation study only includes a part of the
variants contained in the DIN EN ISO 945-1 standard, simply to reduce the amount of work. In the study, graphite of type IA2 to IA5 and a purely pearlitic matrix are considered. The graphite particles of the graphite lamellae thus have a spatial expansion from 0.06 mm to 1.0 mm. Table 1 lists the spatial dimensions of the various classes 2 to 5, according to the DIN EN ISO 945-1 standard. The limitation of the simulation study is

| Guide number | Value     | Unit |
|--------------|-----------|------|
| IA2          | 0.5 bis < 1.0 | mm   |
| IA3          | 0.25 bis < 0.5 | mm   |
| IA4          | 0.12 bis < 0.25 | mm   |
| IA5          | 0.06 bis < 0.12 | mm   |

not a restriction of the methodology, i.e. the described procedure can also be applied to cast iron variants with other graphite forms, for example vermicular graphite, or ferritic matrix material.

The creation of a database that maps the relationship between the graphite microstructure and the macroscopic mechanical and thermal properties of cast iron with lamellar graphite can also be done by a large number of experiments, i.e. entirely without numerical methods. Such an approach would require a non-destructive detection of the microstructure, for example before tensile tests are carried out, using the focused ion beam method [19] or microtomography [20]. Since in advance the microstructure actually present in a material is unknown, it is very likely that a far greater number of material samples must be experimentally examined, compared with the number of possible different variants of the microstructure, to get the complete range of different microstructures. Combined with the large temperature range, for which the macroscopic mechanical and thermal properties are to be acquired, an enormous number of expensive and time-consuming experiments would thus be necessary to create the desired database. Furthermore, hypothetical microstructures are only possible to investigate using a digital approach. In the present work therefore digital methods are chosen in preference to an experimental approach. Once the numerical framework for a digital determination of microstructure-dependent, macroscopic mechanical and thermal properties has been developed, the methodology can be transferred to other materials with comparatively little effort and cost, which in turn argues for the development of such a framework.

In the following section 1, the methodology used to create the simulation domains is explained. Subsequently, the microscopic material models, together with the required material parameters, are introduced (section 2), distinguishing between the models for the pearlitic matrix (section 2.1) and the lamellar graphite (section 2.2). This is followed by the mechanical simulations (section 3), including the key points of the numerics and the simulation setup (section 3.1) and a model analysis of the mechanical model (section 3.2). Afterwards the results of the simulation study are presented in section 3.3. The results of the thermal simulations are given in section 4. An overview of the correlations between the microstructure-dependent thermal and mechanical properties is given in section 5. Finally, an overview of the results and possible further work is given, section 6.

1. Creating simulation domains for cast iron with lamellar graphite

Applying microstructure generation, simulation and characterization methods of the research data infrastructure Kadi4Mat, the macroscopic mechanical material behaviour and the thermal conductivity of cast iron with lamellar graphite are investigated, due to a changing graphite microstructure. For this purpose, 3D simulation domains are necessary that depict the observed range of all possible microstructures with sufficient accuracy. The challenge in predicting cast iron properties, based on graphite morphol-
ogy, is associated with the diversity of size, shape and spatial arrangement of graphite inclusions [5]. In particular, with regard to the numerical determination of the thermal conductivity of cast iron, 2D simulation domains do not provide accurate results, as the cross-linking of graphite particles in 2D domains is not taken into account [5], which is the reason why 3D simulation domains have to be used.

In general, simulation domains can be generated using two different methods. On the one hand, simulation domains can be generated directly on the basis of real existing material samples, using different approaches. In Chawla et al. [21] or Metzger and Seifert [16] for example, the 3D microstructure of real material samples, consisting of an aluminium composite material reinforced with silicon carbide or grey cast iron, was reconstructed using the serial sectioning method. Serial sectioning involves generating a large number of micrographs of a material sample, removing very little material between each micrograph by polishing, which are then combined to form a 3D simulation domain. The Focused Ion Beam Method (FIB) and 3D microtomography are suitable as further methods of 3D microstructure detection that do not destroy the material samples. Velichko et al. [19] used the Focused Ion Beam Method to analyse the topology of lamellar, spherical and vermicular graphite in grey cast iron. The 3D microstructure formed during dendritic solidification was studied by Limodin et al. [20], using microtomography. The methods mentioned here allow the digital representation of adequate 3D microstructures. For this purpose, however, special experimental equipment is required. In addition, the practical application is a great challenge. The costs of generating the necessary digital replicas using experimental methods would probably exceed the costs of a experimental identification of mechanical and thermal material properties and are therefore not used.

Besides the generation based on real existing material samples, cast iron simulation domains can also be generated “synthetically”. The idea with synthetic simulation domains is that the complex material behaviour, resulting from the microstructure, is reproduced, even though the geometric representation of the microstructure does not correspond exactly to that of the real material. In the case of cast iron, this is achieved by simulation domains that have a similar statistical occurrence of inclusions compared to real materials [5]. Synthetic methods thus provide simplified and idealized microstructures, yet they must capture the main characteristics of a real microstructure. It is therefore important to identify and consider all relevant properties of the microstructure that have a significant influence on the mechanical and thermal behaviour of the material.

Compared to an experimental approach for creating simulation domains, some advantages of a synthetic approach are absolutely necessary for the simulation study in this work, whereby the range of the microstructures was initially determined by definition. The extent of the microstructures corresponds to the graphite classes IA2 to IA5. With regard to the volume fractions of the graphite flakes, we limit ourselves to 10 v. – %, 11 v. – % and 12 v. – %. As a representative example for a cast iron material in practical application, GG15 is considered being a composition of the cast iron classes IA2 to IA5. The generation of microstructures corresponding to the graphite classes IA2 to IA5 is realized in a synthetic manner, as the size distribution of the graphite lamellae, given for a graphite class of DIN EN ISO 945-1, does not occur in real castings, because a mixture of the different graphite characteristics is in reality always present. Characteristics such as the size distribution of the lamellae and the volume fraction of the graphite can only be influenced precisely when the domain is generated synthetically. During the synthetic creation of the simulation domains, the orientations of the anisotropies of the graphite inclusions can be saved. Thus, the transversal isotropy of the graphite lamellae can be taken into account, which is a challenge when using real microstructures. In general, it can be said that the generation of synthetic microstructures is associated with considerably less effort and can be carried out flexibly, compared to an experimental generation and in a tailored manner but to the disadvantage of accuracy.
The approach that represents the greatest idealisation of synthetic domain generation is the unit cell approach. Here, the microstructure of cast iron is replicated by simple identical and periodic unit cells. A unit cell contains a single graphite particle, embedded in matrix material. In the case of spheroidal graphite cast iron, this procedure reproduces the most important properties of a real graphite microstructure. In the case of more complex microstructures, such as cast iron with lamellar graphite, important characteristics like the cross-linking of the graphite are either not captured or it is difficult to draw analogies to real materials.

Before describing the developed method for synthetic domain generation, one terminology still needs to be clarified. Graphite lamellae have a much larger spatial extent within the basal planes, due to their main growth direction along the a-direction of the hexagonal crystal lattice [22], compared to their extent orthogonal to the basal planes [23]. In the following, the directions of the larger spatial expansions (a-direction) of a graphite lamella are referred to as the longitudinal direction.

1.1. Modeling the microstructure of cast iron with lamellar graphite

In contrast to Pina et al. [18] and Norman and Calmunger [13], the developed methodology for microstructure generation is not a unit cell model. Compared to the unit cell approaches, the use of complex microstructures offers the advantage of a better transferability of the synthetic graphite microstructure to real microstructures. Certain idealising and simplifying assumptions are made, regarding the shape, size, arrangement and distribution of the graphite lamellae. The shape of the inclusions of cast iron with lamellar graphite is often described as lamellar or flake-like [18], which is why the graphite lamellae are modelled as flat corrugated ellipsoids. In a particle-specific x̃,ỹ,z̃ coordinate system, a single lamella is mathematically described by:

\[
\begin{align*}
\frac{x^2}{r^2} + \frac{y^2}{s^2} + \left( \frac{z + \text{amp} \times \sin(f \times x + \text{offset})}{t^2} \right)^2 & \leq 1
\end{align*}
\]

Here, r, s, t are the semi-axes of the ellipsoid, and amp, f, offset are the amplitude, the frequency and the zero phase angle of a corrugation of the ellipsoid along the x̃ axis. The longitudinal axes (a-direction) lie in the x̃-ỹ plane and the z̃ axis is parallel to the c-direction of the hexagonal crystal lattice, which results in t \ll r, s. In fig. 1, the schematic representation of a single graphite lamella is given. Knowing the orientation of the x̃,ỹ,z̃ coordinate system, the orientation of the transversely isotropic material properties can be considered in a x, y, z reference coordinate system. The idealized shape of the graphite lamellae is similar to that of the unit cell model according to Norman.
and Calmunger [13], with the extension that each lamella has a corrugation imposed on it. In Norman and Calmunger [13], a unit cell consists of an isolated, penny-shaped, ellipsoidal graphite particle, embedded in a homogeneous steel matrix. In contrast to the method developed in this work, no cross-linking between the graphite inclusions exists in the unit cell approach. In addition to the basic shape of the graphite particles, statistical distribution functions corresponding to those of real material samples must be defined for the following characteristics, in order to be able to define adequate microstructures: The positioning, the orientation (orientation of the c-axis of an ellipsoid in the $x$, $y$, $z$ reference coordinate system), the length (parameter $r$), the width (parameter $s$) and thickness (parameter $t$). As well as the amplitude (parameter $amp$), the frequency (parameter $freq$) and the zero phase angle (parameter $offset$) of the corrugations of the graphite lamellae. The choice of the position and rotation of the particles in the simulation domain, furthermore that of the zero phase angles, is randomly equally distributed. By applying an equal distribution of the positioning and rotation, a deviation of the synthetic microstructures does occur, compared to a real microstructure. The arrangement of graphite lamellae is often controlled by a growth mode of eutectic cells, as e.g. discussed in Noguchi and Shimizu [24]. The presence of graphite lamellae in clusters is covered in terms of DIN EN ISO 945-1 by the graphite class IB, which is by definition not considered in this work and might be the topic of future research. The length and width distribution of the particles, i.e. the dimensions of the longitudinal axes, are assumed to be subject to a normal distribution. The parameters of the normal distribution of the longitudinal axes of a given microstructure class are selected according to the standard DIN EN ISO 945-1 [1]. An IA2 microstructure is used as an example to explain the choice of parameters. In a IA2 microstructure, graphite lamellae of lengths or widths, $l_{IA2}$, of 0.5 to 1.0 mm occur. In this case, a mean value of $\mu_{IA2} = 0.75$ mm is chosen for the normal distribution. This corresponds to the arithmetic mean of the minimum, $l_{min}$, and maximum length or width, $l_{max}$, of the particles of a graphite expression:

$$\mu = \frac{l_{min} + l_{max}}{2}. \quad (2)$$

The variance, $\sigma^2$, is chosen in such a way that the minimum or maximum spatial extent corresponds to twice the standard deviation:

$$\sigma^2 = \frac{l_{min} + l_{max}}{8}. \quad (3)$$

At this point, it cannot be proven that the assumption of a normal distribution of the lengths and widths of graphite lamellae corresponds to reality, as no experimental investigation results of real 3D microstructures are available in this respect. In our estimation, however, the normal distribution is the most plausible probability distribution. The type of distribution functions and the corresponding parameters of the thickness, the amplitude and the frequency of the corrugation are determined by means of an improved version of the analysis tool presented in Schmid et al. [25], using micrographs from Schmid [26]. For the thickness and the frequency, this results in a normal distribution, while for the amplitude, this leads to a Chi-Square distribution. Here, it is assumed that the distribution functions determined on the basis of 2D micrographs can be transferred to 3D microstructures, which, however, is only partially the case with non-convex geometries.

The algorithm for synthetically generating 3D domains that mimic the microstructure of cast iron with lamellar graphite can be summarized verbally as follows: During the generation of simulation domains of predefined size, graphite particles are gradually inserted into the domains. For each iteration step, the orientation, length, width, thickness as well as the amplitude, frequency and the zero phase angle of the corrugation of a new graphite lamella are determined by means of the applied probability distributions. Then, a position in the simulation domain is selected in such a way, that there is no
overlap between the new graphite lamella and the graphite particles already present in
the simulation domain. As soon as the desired graphite volume fraction is found in the
simulation domain, the algorithm does terminate.

The matrix of cast iron is assumed to be purely pearlitic. Perlite is a heterogeneous
material, consisting of many pearlite colonies. Each colony is composed of many alter-
ning parallel, thin layers of ferrite and cementite. In Langford [27], it was shown that
the elastic properties of ferrite and cementite are almost identical. However, enormous
differences exist, with regard to plastic properties and strength. Due to the different
plastic properties and strengths of ferrite and cementite, the lamellar structure of a single
pearlite colony results in a generally anisotropic material behaviour. In the case of a
uniaxial mechanical load, however, Peng et al. [28] demonstrated that the behaviour of a
single pearlite colony is independent of whether it is loaded parallel or perpendicular
to the alternating lamellar planes. This means that a single pearlite colony behaves
isotropically under uniaxial mechanical loading. Since only mechanical simulations with
macroscopic uniaxial loading are performed in the context of this work, the pearlitic
matrix is idealized as homogeneous and isotropic, similar to the publications [6, 13, 16, 18].
Furthermore, the anisotropy of the thermal conductivity of pearlite colonies is neglected.
This is justified by the fact that pearlite, compared to lamellar graphite, has only a
negligible anisotropy of thermal conductivity. Thus, the simulation study only captures
the influence of a changing graphite microstructure on the macroscopic properties and
not the influence of a changing microstructure of the pearlite.

1.2. The volume elements

Ideally, the simulation domains used should correspond to so-called representative
volume elements (RVE). According to Hill [29], a RVE is understood to be “the smallest
volume over which a measurement can be made that gives a value representative of
the whole (heterogeneous material)”. In experimental macroscopic tests, cast iron with
lamellar graphite behaves isotropically. A grey cast iron RVE would therefore have
to contain a sufficiently large number of transversely isotropic graphite particles, so
that their anisotropy, viewed macroscopically, is statistically eliminated. Due to the
high number of graphite lamellae, necessary to obtain macroscopic isotropic material
behaviour, the simulation study cannot be performed on representative volume elements.
Instead, statistical volume elements (SVE) are used, as in Metzger and Seifert [16].

For graphite lamellae of class IA2, aspect ratios of up to 1/200 can occur between
the thickness and length of a particle [16]. This corresponds to a lamella thickness of
5 µm, with a length of 1000 µm. For numerical reasons, each graphite lamella must have
a minimum height of three voxels, so that the curvature, which is caused due to the
corrugation of the particles, is represented with sufficient accuracy. Thus, from the aspect
ratio of 1/200 of a graphite lamella and a minimum height of three voxels, a length of
600 voxels follows for a single particle, in the extreme case. Simulation domains of at
least 600Δx × 600Δy × 600Δz voxels would be therefore necessary to be able to contain
a single particle, which is not feasible, with regard to the computational effort. In the
generated simulation domains, the maximum aspect ratio of the graphite particles is
restricted to 3/200.

Figure 3 shows an example of a 2D section through a synthetically generated GG-15
material, with a graphite volume fraction of 10 v. − %. Next to it, fig. 3, the micrograph of
a truck brake disc, made of GG-15 Cr Cu Nb HC [26], is shown. The two “micrographs”
represent sections of the same physical size, 2730 µm × 2040 µm. It can be observed
that the synthetically generated and experimental micrographs are visually similar. The
characteristic features of the graphite particles, such as lengths, thicknesses as well as the
amplitudes and frequencies of the corrugations, are apparently well reproduced
by the described method. A significant difference can only be seen with regard to the
arrangement of the graphite particles. However, this difference can be explained by
the fact that no eutectic cell of the type IB graphite is considered by the microstructure
Figure 2. “Micrograph” of a synthetically generated GG-15 material, with a graphite volume fraction of 10 v. − %.

Figure 3. Micrograph of a truck brake disc, made of a GG-15 material [26].
creation algorithm applied. It should be noted that a similarity of the “micrographs” does not necessarily imply a similarity of the underlying 3D microstructure. As soon as the shape of the graphite particles is not convex, the reconstruction of their 3D shape, with the help of a micrograph, is almost impossible for irregular and asymmetrical particles [19].

In fig. 4, spatial images of synthetically generated statistical volume elements of the graphite microstructures GG-15 and IA2 to IA5 are shown from left to right, each with a graphite volume fraction of 10 v. − %. It clearly becomes evident that the number of graphite lamellae in the simulation domains increases from IA2 to IA5, for the same graphite volume fraction, as the average size of the graphite particles decreases. All volume elements shown here possess the same physical size of 1.25 mm × 1.25 mm × 1.25 mm. For the simulation study, statistical volume elements of this size are used. Each statistical volume element consists of 250Δx × 250Δy × 250Δz voxels, while a voxel has a physical size of 5 µm × 5 µm × 5 µm. An equidistant, orthogonal grid is used to spatially discretise the simulation domains. For the variation of the graphite characteristics, according to the DIN EN ISO 945-1 classification, only the normal distribution of the lengths and widths is changed. All graphite microstructures, obtain constant parameters of the probability distribution functions for graphite lamella thickness, amplitude and frequency. Thus, there is no self-similarity between the simulation domains, since, for example, the aspect ratio of the thickness and length changes. This is important, because different simulation results are based only on different microstructures and not on scale effects.

For simulation domains of the same graphite class, e.g. IA2, but with a different graphite volume fraction, the following procedure is used: Each simulation domain of a graphite class contains exactly the same graphite particles as a SVE of the same graphite class with a lower volume fraction. A higher volume fraction is achieved by adding additional graphite lamellae to a volume element with a lower graphite volume fraction, until the desired larger graphite volume fraction is reached. This procedure is required, since no representative volume elements are used. When investigating the influence of an increasing graphite volume fraction, it is thus guaranteed that changes of macroscopic material properties result only from different graphite volume fractions and not from a difference in the “base”microstructure.

2. Model formulation

In the following section, the applied microscopic mechanical and thermal models are introduced and the used material parameters are presented. A distinction is made between the models for the pearlitic matrix and those for the graphite lamellae. The assumptions made are based on Ockham’s philosophy: “The simplest solution is the best solution“. This means that several simplifying assumptions are made to make the complexity of the physical reality manageable and to ensure reasonable computational effort. Any assumption is justified by plausible reasons.

Small deformations are assumed, which means that only macroscopic strains up to 2 % should be considered in one-dimensional tensile and compression tests, in order to avoid significant kinematic errors. This critical value of the macroscopic strain is enforced in all performed simulations. Nevertheless, local regions with higher deformations can occur, which do not fulfil the mathematical requirements for the geometrical linearisation. This happens under tensile load at macroscopic strains < 2 %, as some graphite lamellae act as microcracks causing local stress and strain concentrations [14]. The neglect of the occurring geometric non-linearities is due to a minimisation of the computational costs and is justifiable, since large deformations only occur in a small region of the simulation domains.
Figure 4. Spatial images of the graphite morphology of statistical volume elements of different graphite characteristics. The simulation domains have a spatial extent of $1.25 \, \text{mm} \times 1.25 \, \text{mm} \times 1.25 \, \text{mm}$, for a spatial discretisation using voxels of size $5 \, \mu\text{m} \times 5 \, \mu\text{m} \times 5 \, \mu\text{m}$, and include a graphite volume fraction of $10\,\text{v.~–~%}$. 
2.1. Mechanical and thermal model for pearlite

The matrix of the cast iron is modelled as a homogeneous pearlitic material with isotropic properties. These simplifications are justified in section 1. The mechanical behaviour of the pearlitic matrix is given by a rate-independent $f_2$ plasticity model with non-linear hardening, where the plastic hardening follows the so called Voce law:

$$\sigma_y = \sigma_{y,0} + (\sigma_0 - \sigma_{y,0}) (1 - \exp(-\eta_{\text{akk}})).$$

(4)

Kinematic hardening is not taken into account, which is due to the fact that the initial loading direction does not change during the numerical experiments. As small deformations are assumed, an additive decomposition of the strains is applied.

At room temperature, $\theta = 20 \, ^\circ\text{C}$, a standard value for steels, $E_{\text{m,RT}} = 210 \, \text{GPa}$, is considered to be the Young’s modulus. The Poisson’s ratio of $\nu = 0.3$ is temperature-independent [30]. The yield strength of the pearlitic matrix is $\sigma_{y,\text{m,RT}} = 230 \, \text{MPa}$, which results from compression experiments. To define the model parameters for the saturating non-linear plastic hardening law, the publication of Allain and Bouaziz [31] is used. This work contains an empirical law for describing the plastic hardening of pure pearlite as a function of the interlamellar spacing between ferrite and cementite, which shows great agreement with experimental results. Allain and Bouaziz [31] found that the interlamellar spacing has no influence on the saturation rate, but shifts the yield curves translationally, with respect to the yield strength. The tensile strength and strain hardening parameter given Allain and Bouaziz [31] serve at room temperature as saturation stress, $\sigma_{\infty,\text{m,RT}} = 772 \, \text{MPa}$, and saturation rate, $\eta_{\text{m,RT}} = 19 \, \text{GPa}$. As the strength and toughness of pearlitic steel is highly dependent on its microstructure, it is important to emphasise that the choice of the matrix parameters is subject to uncertainty.

All mechanical material parameters of the pearlitic matrix introduced so far are valid at room temperature. The simulation study covers a temperature range from 20 to 750 °C. The determination of the material parameters at higher temperatures is described afterwards. Steel structures, with regard to their load-bearing capacity, have a high safety relevance in the construction industry. This applies especially to their design against failure at temperatures above room temperature, in order to prevent fatal incidents in the event of fires. For this reason, there are reliable standards and guidelines that describe the change of the mechanical parameters of structural steel as a function of temperature, relative to room temperature. A common standard in Europe is the Eurocode [32], while the USA uses Structural fire protection [33]. Temperature-dependent changes of the different material parameters are therein given by reduction factors. The factors describe the ratio of a certain variable at elevated temperature, in relation to its value at room temperature. There are large differences between the introduced references, with regard to the reduction factors. Investigations by Kodur et al. [34] have shown that the reduction factors for the Young’s modulus and the yield strength of structural steel, mentioned in the Eurocode [32], are better than the equivalent factors in Structural fire protection [33]. For the simulation study, the values contained in tab. 2, corresponding to Eurocode [32], are used as reduction factors. If no explicit reduction factor is given, for a specific temperature at which simulations are carried out, linearly interpolated reduction factors with respect to temperature are used. The reduction factor of the Young’s modulus, $k_E(\theta)$, is applied to the Young’s modulus of the pearlitic matrix at room temperature, $E_{\text{m}}(\theta) = k_E(\theta)E_{\text{m,RT}}$, and the yield strength reduction factor, $k_y(\theta)$, to the yield strength at room temperature, $\sigma_{y,0}(\theta) = k_y(\theta)\sigma_{y,0,\text{RT}}$. The temperature dependence of the saturation stress, $\sigma_{\infty,\text{m}}(\theta)$, and the saturation rate, $\eta_{\text{m}}(\theta)$, follow the procedure described in Bonora and Ruggiero [3]. Therein, each yield curve is assumed to be self-similar, with respect to the material behaviour at room temperature, where the yield stress, $\sigma_{y,\text{m,RT}}$, is scaled with respect to the temperature-dependent yield strength. In fig. 5, the plastic flow curves are shown at different temperatures.
Table 2: Temperature-dependent mechanical material parameters of the pearlitic matrix. Temperature $\theta$; reduction factor of the Young’s modulus, $k_E$; Young’s modulus $E^m$; reduction factor of the yield strength, $k_y$; yield strength $\sigma^m_{y,0}$; saturation stress $\sigma^m_{\infty}$; saturation rate $\eta^m$.

| $\theta$ [°C] | $k_E$ | $E^m$ [GPa] | $k_y$ | $\sigma^m_{y,0}$ [MPa] | $\sigma^m_{\infty}$ [MPa] | $\eta^m$ [GPa] |
|----------------|-------|-------------|-------|---------------------|---------------------|---------------|
| 20             | 1.0   | 210.0       | 1.0   | 230.0               | 772.0               | 19.0          |
| 150            | 0.95  | 199.5       | 1.0   | 230.0               | 772.0               | 19.0          |
| 300            | 0.8   | 168.0       | 1.0   | 230.0               | 772.0               | 19.0          |
| 450            | 0.65  | 136.5       | 0.89  | 204.7               | 687.1               | 19.0          |
| 600            | 0.31  | 65.1        | 0.47  | 108.1               | 362.8               | 19.0          |
| 750            | 0.11  | 23.1        | 0.17  | 39.1                | 131.2               | 19.0          |

Figure 5. Temperature-dependent flow curves of the pearlitic matrix. The Young’s moduli and the yield strengths decrease at higher temperatures. According to Bonora and Ruggiero [3], all flow curves are self-similar to the material behaviour at room temperature.
Figure 6. Temperature-dependent thermal conductivities. Isotropic thermal conductivity of the pearlitic matrix, $\lambda^m$, according to Helsing and Grimvall [35]. Components of the transversely isotropic thermal conductivity of the graphite lamellae $\lambda^g_{\text{hex-a}}$ and $\lambda^g_{\text{hex-c}}$, according to Helsing and Grimvall [35].

The thermal conductivity of pearlite has a weak transverse isotropy, with regard to the orientation of the ferrite and cementite lamellae of a single pearlitic colony. Compared to the anisotropy of graphite, however, this anisotropy is negligible and is therefore not modelled. In fig. 6, the temperature-dependent isotropic thermal conductivity of the pearlitic matrix, $\lambda^m$, is shown. The parameters correspond to typical values of a eutectoid Fe-C system, with a carbon mass fraction of 0.8 m. – %, taken from Helsing and Grimvall [35]. As the temperature-dependent specific heat capacity of the pearlitic matrix, values of steel, given in Eurocode [32] are used, $c^m$, see fig. 7. It should be noted that in literature, the range of specific heat capacities for metallic materials is very wide. For an overview, for example, see Umino [36]. The mass density of the pearlitic matrix has the value $\rho^m = 7838$ kg/m$^3$ [35] and is temperature-independent.

2.2. Mechanical and thermal model for the graphite lamellae

Graphite particles consist of many carbon layers, the so-called basal planes or graphene layers, which are layered parallel on top of each other, in an AB sequence [37]. Individual basal planes consist of a large number of benzol rings, where the carbon atoms are connected by strong covalent bonds in the $a$-direction of the hexagonal crystal system. There is significantly less molecular interaction between the different planes, which is caused by Van der Waals forces in the $c$-direction of the hexagonal crystal system [6, 14,18,38]. The consequence of this crystal structure is a pronounced anisotropy of the mechanical properties and thermal conductivity [18,37,39]. If the metallic bonds within the basal planes are stressed, the lamellae exhibit much higher mechanical properties, compared to stressing the weak Van der Waals bonds between the planes [37]. Within the basal planes, thermal conductivity is also significantly greater than orthogonally to them. In many models, lamellar graphite is assumed to be isotropic, which can be observed in Metzger and Seifert [16], for example. By performing in-situ microtensile tests and numerical studies, Pina et al. [6], however, have shown that graphite isotropy has a major influence on the elastic-plastic behaviour of grey cast iron. It was also concluded that a numerical model with anisotropically modelled graphite reproduces the behaviour of cast iron quantitatively better than a model with isotropic graphite. Based on this informations and considering its atomic structure, lamellar graphite is modelled as
a transversely isotropic material that behaves linearly elastic, as also shown in the works [6,13,18,25]. The stresses are given by Hooke’s law, where the crystal orientations of the graphite lamellae are taken into account by transversal isotropic stiffness tensors, rotated in the $x, y, z$ reference coordinate system. During the algorithmic generation of the simulation domains, see section 1, the orientations of the graphite lamellae are stored and used to rotate the stiffness tensors of each graphite lamella.

In literature, there is broad consensus that the tension-compression stress-strain asymmetry of grey cast iron is a consequence of the graphite particles [13–16]. In Bonora and Ruggiero [3], however, it is pointed out that it is not enough to consider graphite as a permanent microcrack but to consider it in a more complex way. The fact that the particles behave like a cracks under tensile loading can be explained by two possible mesoscopic processes. On one hand, it can be explained as a result of microcrack formation within the graphite particles and / or as a result of the separation of the graphite-matrix transition region, on the other hand. For the two mesoscopic processes, different modelling approaches do exist. In Norman and Calmunger [13], the tension-compression dependence is predominantly attributed to debonding processes, and a simple damage criterion, available in ABAQUS, is used to describe the successive process. Microcracking, which essentially occurs within the graphite lamellae, explains the tension-compression dependence in the experimental work of Haenny and Zambelli [14].

To model the tension-compression dependence of graphite particles, a phenomenological approach is used in this work, where a domain, $\Omega$, with $N \in \mathbb{N}$ graphite lamellae is considered. The approach is to degrade the transversely isotropic stiffness tensor of a graphite particle, $C^\alpha$, with $\alpha \in \{1, \ldots, N\}$, by scalar multiplication with a continuous function, $d^\alpha(\varepsilon^\alpha_{\text{vol}}) : \mathbb{R} \to (d_{\text{min}}, 1)$, with $d_{\text{min}} \in (0, 1]$, of the form:

$$d^\alpha(\varepsilon^\alpha_{\text{vol}})(t) = 1 - \frac{d_{\text{min}}}{2} \left(1 + \tanh \left(-\frac{4\varepsilon^\alpha_{\text{vol}}(t)}{\varepsilon^\alpha_{\text{crit}}_{\text{vol}}}ight) \right) + d_{\text{min}}.$$  (5)

The upper index $\alpha$ is used to assign the degradation function to a particular graphite lamella, existing in the subset $\mathcal{B}^\alpha \subset \Omega$. Each degradation function has a continuous
Figure 8. Degradation function of the graphite stiffness. Threshold value of the volume change, \( \varepsilon_{\text{crit}} \), minimum value of the degradation function, \( d_{\text{min}} \).

transition between the minimum degradation value \( d_{\text{min}} \) and one and depends on the effective volume change of a graphite particle:

\[
\varepsilon_{\text{vol}}(t) = \frac{1}{V^{3/2}} \int_B \frac{\text{tr}(\varepsilon(x))}{3} dV.
\]

Using the first invariant of the strain tensor, \( \varepsilon \), the volume change of a material point is defined. The degradation function assumes its maximum value for \( \varepsilon_{\text{vol}} = -\varepsilon_{\text{crit}} \rightarrow d^a(-\varepsilon_{\text{crit}}) \approx 1 \) and its minimum value, \( d_{\text{min}} \), for \( \varepsilon_{\text{vol}} = \varepsilon_{\text{crit}} \rightarrow d^a(\varepsilon_{\text{crit}}) \approx d_{\text{min}} \). The threshold value of the volume change, \( \varepsilon_{\text{crit}} \), defines the transition width between the minimum and maximum value of the degradation function. Due to the fact that tensile stresses are characterized by positive volume changes, for the threshold value of the volume change, \( \varepsilon_{\text{crit}} \in \mathbb{R}^{>0} \), applies. An exemplary representation of the course of the degradation function is shown in fig. 8, where the meanings of the various function parameters are given. Various influencing factors play an important role in the deformation of a graphite particle, such as the shape of the particle and its orientation with respect to the direction of loading, all of which are taken into account by means of the introduced model [6]. With this approach, it is not necessary to distinguish between the possible mesoscopic failure mechanisms of the graphite lamellae, namely debonding and microcrack formation. Furthermore, it is unnecessary to model crack closure processes, as these are intrinsically included in the presented procedure [16]. The degradation of the graphite stiffness, depending on the effective volume change of a graphite particle, is similar to the model described in Metzger and Seifert [16].

There are many publications that deal with the determination of the linear-elastic parameters of graphite. Although the material parameters differ, they are quite similar, which can be observed in [38,40–42], listed in tab. 3. In this work, the graphite lamellae possess the mechanical properties of monocrystalline graphite, as determined by Bosak et al. [41]. Investigations by Kelly [38] have shown that the temperature dependence of the component \( C_{33} \), belonging to the transversely isotropic stiffness tensor of graphite, is negligible in the considered temperature range. Therefore, the elastic material parameters of graphite are assumed to be temperature-independent in the following, as also in Pina et al. [18]. In the particle-specific \( \tilde{x}, \tilde{y}, \tilde{z} \) coordinate system, the transverse isotropic stiffness tensor of a specific graphite lamella is constructed in such a way that
Table 3: Mechanical material parameters of the graphite lamellae

|                    | Pascal et al. [42] | Bosak et al. [41] | Blakslee et al. [40] |
|--------------------|--------------------|-------------------|---------------------|
| $C_{g11}^{c}$ in MPa | 1126.0             | 1109.0            | 1060.0              |
| $C_{g12}^{c}$ in MPa | 200.0              | 139.0             | 180.0               |
| $C_{g13}^{c}$ in MPa | 39.5               | 0.0               | 15.0                |
| $C_{g33}^{c}$ in MPa | 40.7               | 38.7              | 36.5                |
| $C_{g44}^{c}$ in MPa | 4.51               | 5.0               | 0.18                |
| $C_{g66}^{c}$ in MPa | 485.0              |                   |                     |

the third principal material direction is orthogonal to the longitudinal axes (c-direction of the hexagonal crystal lattice) of the graphite lamella.

From the wide range of published thermal conductivities for graphite, see Holmgren [39] for an overview, the temperature-dependent parameters given by Helsing and Grimvall [35] are used for the simulation study (fig. 6). Compared to the thermal conductivity $\lambda_{\text{hex-c}}^{g}$ running in the c-direction, the component of the transversely isotropic thermal conductivity of graphite, $\lambda_{\text{hex-a'}}^{g}$ running in the a-direction of the hexagonal crystal lattice, is up to an order of magnitude higher [39]. In order to take the crystal orientation of a graphite lamella into account, its transverse isotropic thermal conductivity is transformed into the x, y, z reference coordinate system of the simulation domains. The mass density of the graphite particles is independent of temperature, $\rho^{g} = 2200 \text{ kg/m}^3$ [35].

3. Mechanical simulations

As simulation results, only macroscopic mechanical quantities of the SVEs, calculated according to Hill [43], are presented below. To calculate the effective thermal conductivity, the same procedure is used as in August et al. [44], but with a heterogeneous heat flow that takes into account the anisotropic thermal conductivity of the graphite particles.

3.1. Key points of the numerics and the simulation setup

An equidistant, orthogonal grid is used to spatially discretise the simulation domains.

All mechanical simulations are one-dimensional, moment-free tensile or compression tests on statistical volume elements (SVE). In the loading direction, which is always parallel to an axis of the x, y, z reference coordinate system, an orthogonal displacement is imposed as mechanical boundary condition on both sides of the simulation domain, which freely allows tangential displacements. In the two other spatial directions, one side of the simulation domain is stress-free and the opposite side has an orthogonal displacement equal to zero, whereby tangential displacements are possible. Due to the described boundary condition, transverse contractions are possible without restrictions.

3.2. Analysis of the mechanical model

In section 1, it was explained that cast iron with lamellar graphite behaves isotropically in macroscopic experimental tests. This is not the case for the SVEs used, as the simulation domains do not contain a sufficient number of transversely isotropic graphite particles for their anisotropy to be statistically eliminated. To investigate how strongly anisotropic the SVEs are, tensile tests are carried out on three different graphite microstructures of the SVEs IA2, IA3, IA4 and IA5, each with a graphite volume fraction of 10 v.-% and at room temperature, $\theta = 20 ^\circ \text{C}$. Figure 9 shows the resulting stress-strain diagrams under one-dimensional, moment-free tensile loading of each of the three variants of a graphite class. The corresponding standard deviations of the different graphite microstructures are given as a measure of anisotropy, in the form of
Figure 9. Influence of the graphite class on anisotropy, with a constant domain size and graphite volume fraction. The macroscopic stress-strain characteristics averaged over three variants of a graphite class are shown. The corresponding standard deviations of the various graphite microstructures are given as error bars.

It can be seen that the averaged stress-strain curve of a certain graphite class has a smaller standard deviations as the average particle size decreases. This means that the anisotropy strength decreases for a constant domain size with a decreasing average particles size. This is due to the fact that the number of graphite particles in a SVE increases with smaller particles. For comparison, the statistical volume elements of the IA2 graphite class contain between 250 and 300 particles, in contrast 5500 to 5800 particles are embedded in the IA5 simulation domains. To obtain the same number of particles within a SVE of the graphite class IA5 as in a SVE of the type IA2, the domain size of the IA2 SVE would have to be approximately 20 times larger than that of the IA5 SVE, which would increase the computational effort by the same factor.

In the following, the effect of the minimum value of the degradation function, $d_{\text{min}}$, and the threshold value of the volume change, $\varepsilon_{\text{crit}}^{\text{vol}}$, on the macroscopic stress-strain behaviour of one-dimensional tensile tests is investigated. The simulations are performed at room temperature, $\theta = 20^\circ\text{C}$, on GG-15 SVEs with a graphite volume fraction of 10 v. – %.

The minimum value of the degradation function is varied for the values $d_{\text{min}} = \{0.05; 0.06; 0.07\}$, at a constant threshold of volume change of $\varepsilon_{\text{crit}}^{\text{vol}} = 0.001$. The resulting stress-strain plots for a one-dimensional, moment-free tensile load are shown in fig. 10. The effective macroscopic stiffness of the SVE increases with increasing $d_{\text{min}}$. The reason for this is that graphite particles have higher degraded stiffnesses as the minimum value of the degradation function increases, and thus the macroscopic stiffness of a volume element also increases.

For a constant minimum value of the degradation function of $d_{\text{min}} = 0.05$, the threshold of the volume change is varied for the values $\varepsilon_{\text{crit}}^{\text{vol}} = \{0.00075, 0.001, 0.002\}$. The corresponding stress-strain curves for a one-dimensional, moment-free tensile load are shown in fig. 11. It can be seen that the material behaviour does not change significantly in the investigated range of values.

For the simulation study, $d_{\text{min}} = 0.05$ is chosen as the minimum value of the degradation function and $\varepsilon_{\text{crit}}^{\text{vol}} = 0.1\%$ for the threshold value of the volume change.
Figure 10. Influence of the minimum value of the degradation function on the macroscopic stress-strain behaviour. Simulations at room temperature, using GG-15 SVEs with a graphite volume fraction of 10 v. − %. For a constant threshold of volume change, $\varepsilon_{\text{crit}}^\text{vol} = 0.001$, the minimum value of the degradation function varies for the values $d_{\text{min}} = \{0.05, 0.06, 0.07\}$.

Figure 11. Influence of the threshold value of the volume change on the macroscopic stress-strain behaviour. Simulations at room temperature, using GG-15 SVEs with a graphite fraction of 10 v. − %. For a constant minimum value of the degradation function of $d_{\text{min}} = 0.05$, the threshold of the volume change is varied for the values $\varepsilon_{\text{crit}}^\text{vol} = \{0.00075, 0.001, 0.002\}$.
These values are equivalent to the parameters with the same meaning in Metzger and Seifert [16].

### 3.3. Mechanical simulation study

All material parameters of the following simulations were introduced in section 2.

The mechanical model parameters are identical to the parameters with the same meaning in Metzger and Seifert [16]: Minimum value of the degradation function \( d_{\text{min}} = 0.05 \) and threshold value of the volume change \( \epsilon_{\text{vol}}^{\alpha} = 0.001 \).

Figure 12 and 13 show some typical characteristics of the tension-compression stress-strain asymmetric material behaviour of grey cast iron under tension and compression.

Figure 12 shows the stress-strain curves of a IA2 SVE with 12 v.-% graphite, at room temperature, for one-dimensional, moment-free tensile and compressive load. Contour plots of the degradation function, \( d \), are given as an inlay. The pearlitic matrix occupies the grey areas within the contour plots.

In fig. 13, the material behaviour of grey cast iron, on the level of mechanical fields, is depicted. Shown are contour plots of the von Mises equivalent stress, \( \sigma_{v,M} \), and the accumulated plastic strain, \( \epsilon_{\text{akk}} \), at macroscopic tensile and compressive strains of equal magnitude, \( \epsilon = 0.0035 \), running in the \( x \) direction.

Under tensile load, there is almost no linear range of the stress-strain curve in fig. 12. Starting at a strain of \( \epsilon \sim 0.0002 \), seen at point (A), the effective volume change of the graphite particles, \( \epsilon_{\text{vol}}^{\alpha} \), causes a significant degradation of the stiffnesses of the graphite particles. According to Eq. (5), the region between point (A) and (B) is characterized by the continuous degradation of the graphite stiffnesses. As a result of mimicking microcrack formation and/or interface debonding, a disturbance of the force flow occurs. The stress concentrations occurring on the edges of the graphite lamellae cause local plastic deformations, fig. 13 (figures of tensile stresses), which leads to a further non-linear course of the stress-strain curve, at macroscopically small strains. The observations described are typical characteristic of cast iron with lamellar graphite under tensile loading [14]. According to Noguchi and Shimizu [24], grey cast iron does not show a pronounced yield strength under tensile loading and breaks at a strain between \( \epsilon = 0.005 \) and 0.01, without visible necking at maximum stress.

The material behaviour under compressive load is completely different from that under tensile load. Up to a strain of \( \epsilon \sim 0.001 \), point (C) in fig. 12, there is a clearly identifiable linear section of the stress-strain curve. From the inserted contour plots of the graphite degradation it can be seen that degradation occurs only in a few particles under compressive loading, since predominantly negative values of the effective volume changes, \( \epsilon_{\text{vol}}^{\alpha} \), are present. As a result, the force flow through the graphite particles is only slightly disturbed and hardly any stress concentrations occur on their edges, see fig. 13 (figures compressive loading). For macroscopic strains of equal magnitude, a more homogeneous stress field is present under compressive loading and plastic strains occur in larger areas of the matrix, cf. fig. 13. It can be seen that the tension-compression stress-strain asymmetry leads to a higher Young’s modulus and a higher yield strength under compressive load.

The characteristics described occur in all graphite microstructures and for all temperatures, but are more or less pronounced.

Figure 14 shows contour plots of the von Mises equivalent stress, \( \sigma_{v,M} \), and the accumulated plastic strain, \( \epsilon_{\text{akk}} \), of SVEs of the graphite classes GG-15, IA2, IA3, IA4 and IA5, for a graphite volume fraction of 10 v.-% and a temperature of \( \theta = 20 \, ^\circ\text{C} \). The contour plots show results of a macroscopic tensile strain of \( \epsilon = 0.0035 \), in the \( x \) direction. In all graphite microstructures, the graphite lamellae already lose their ability to transmit forces at a small macroscopic strain. It can be observed that with smaller graphite particles on average, both the contour plots of the von Mises equivalent stress and those of the accumulated plastic strain are more homogeneous. Finer graphite structures lead to more homogeneous mechanical fields [14].
3.3.1. Results of the mechanical simulation study

A detailed simulation study was carried out to investigate the influence of the graphite class and the graphite volume fraction on the macroscopic mechanical properties of cast iron with lamellar graphite. The study includes simulations of SVEs of every possible permutation of the graphite microstructures GG-15, IA2, IA3, IA4 and IA5 [1], with graphite volume fractions of 10, 11 and 12 v. − %. The carbon mass fraction of GG-15 is assumed to be in the range of 3.7 to 3.9 m. − %. With a mass density of $\rho^m = 7838 \text{ kg/m}^3$ [35] for the pearlitic matrix and $\rho^g = 2200 \text{ kg/m}^3$ [35] for graphite respectively, this leads to volume fractions of the graphite lamellae from 10 to 12 v. − %, as in Pina et al. [18]. For each possible variant of the SVEs, one-dimensional, moment-free tensile tests were carried out for the temperatures $\theta = \{20^\circ \text{C}, 150^\circ \text{C}, 300^\circ \text{C}, 450^\circ \text{C}, 600^\circ \text{C}, 750^\circ \text{C}\}$. At the same temperatures, compression tests were simulated for all SVEs with a graphite volume fraction of 12 v. − %.

The most significant findings of the simulation study are explained below. If similar trends occur for different graphite classes, volume fractions or temperatures, this is pointed out, otherwise the trend is only analyzed using one simulation example. For a clearer representation, the stress-strain curves of the simulations under compressive load are given by positive values.

The simulation study was executed using combined methods of the microstructure simulation framework PACE3D [45] (https://www.iam.kit.edu/cms/5317.php) and the data science compendium provided within the research data infrastructure Kadi4Mat [2] (https://www.kadi.iam-cms.kit.edu/). In addition to the components required for performing the simulation study, such as a workflow manager and the repository, the research data infrastructure also includes methods from the data science area. The use of Kadi4Mat allows all simulations to be carried out in an automated and reproducible way, enabling to apply the FAIR principles [46]. The workflow includes for-each-loops that process any graphite class, any graphite volume fraction and any temperature. Using the loops, any component of the simulation study can be run on a remote high-performance

![Stress-strain diagram of an IA2 SVE: one-dimensional and moment-free for tensile and compressive load. For some strain states, the contour plots of the degradation function of the graphite lamellae, degrad, are given as an inlay. The pearlitic matrix is shown in grey. The tension-compression stress-strain asymmetry of grey cast iron can clearly be seen.](https://www.preprints.org)
Figure 13. Contour plots for tensile and compressive load of a statistical volume element of the class IA2, with a graphite volume fraction of 12 v. − %. The von Mises equivalent stress, $\sigma_{v.M.}$, and the accumulated plastic strain, $\varepsilon_{akk}$, are shown, at a macroscopic strain of the same magnitude in the $x$ direction of $\varepsilon = 0.0035$. 
Figure 14. Contour plots for tensile loading of different statistical volume elements with a graphite volume fraction of 10 v. − %. The von Mises equivalent stress, $\sigma_{v,M}$, and the accumulated plastic strain, $\varepsilon_{akk}$, are shown, at a macroscopic tensile strain of $\varepsilon = 0.0035$ in the x direction.
Figure 15. Exemplary Kadi4Mat workflow for conducting the simulation study and persisting the results in the Kadi4Mat repository.

computer. Utilizing the API developed specifically for Kadi4Mat, the large data volumes of the simulations can then be stored as microstructure look-up tables on the repository in a reusable way and shared with collaborators through the creation of records. With the introduced numerical methods and the use of Kadi4Mat, the already conducted simulation study can be very easily extended in further work, or other materials can be investigated without great effort. An exemplary diagram of such a Kadi4Mat-workflow is shown in fig. 15.

A comparison of the material behaviour of all graphite classes with 12 v.-% graphite, at tensile as well as compressive load and room temperature, is given in fig. 16. The stress-strain curves of the different SVEs differ only slightly when subjected to a tensile load up to a strain of \( \varepsilon \sim 0.0002 \), which can be seen at point (A) in fig. 16. This effect occurs because the effective volume change of the graphite particles, \( \varepsilon_{\text{vol}}^{\alpha} \), does not cause a significant degradation of the stiffnesses of the lamellar graphite at strains smaller than the threshold value of the volume change, \( \varepsilon_{\text{crit}}^{\alpha} \), and therefore the graphite microstructure has no huge influence. It can be observed that the difference between the stress-strain curves increases after point (A). Under tensile load, the macroscopic mechanical properties increase with decreasing average particle size. The graphite class IA5 has the highest Young’s modulus and the highest yield strength, while the class IA2 has the lowest Young’s modulus and the lowest yield strength. Quantitative values of these parameters follow later. This is due to the fact that the changes between the average length of particles from IA2 to IA5, see tab. 1, influence the mean volume of the graphite particles cubically and accordingly, the number of particles in the simulation domain does not change linearly. The stress-strain curves for compressive load have a clear pronounced linear section. In the linear segment, the curves of all SVEs are very similar, and the yield strengths are also almost identical. Thus, the graphite class only has a noticeable influence on the macroscopic material behaviour of the cast iron material for stresses above the yield strength. This can be explained by the fact that under compressive load, there are hardly any positive effective volume changes of the graphite particles, \( \varepsilon_{\text{vol}}^{\alpha} \), and thus there is only very little degradation of the graphite particles. The macroscopic material behaviour is therefore predominantly dominated by the matrix material. This phenomenon can also be observed in the experimental results. A clear influence of the graphite class can only be seen in the case of macroscopic plastic deformation. As soon as a non-linear material behaviour occurs, the stress-strain curves of the different SVEs differ significantly. In contrast to the tensile load, the graphite class IA2 has the highest stress-strain curve, while the graphite class IA5 has the lowest. Under compressive load,
Figure 16. Stress-strain curves for tensile and compressive load of all graphite classes with 12 v. − % graphite and for $\theta = 20^\circ$C. For a clearer representation, the stress-strain curves of the compressive simulations are given by positive values.

the characteristic curve of the GG-15 SVE also runs between those of the graphite class IA2 and IA3.

Figure 17 shows the simulation results for the tensile and compressive load of the same SVEs as before, but at the temperature of $\theta = 750^\circ$C. Compared to room temperature, the course of the individual stress-strain curves does not change qualitatively at elevated temperatures. In general, under tensile load, the differences of the stress-strain behaviour between the graphite classes becomes smaller with increasing temperature. If the temperature is 750 °C, there is hardly any difference between the curves anymore. The reason for this is that the stiffness of the pearlitic matrix decreases with increasing temperature and thus becomes similar to that of the degraded graphite, resulting in the fact that the influence of graphite on the macroscopic material behaviour disappears, since the mechanical fields are more homogeneous at higher temperatures.

Such a phenomenon is not present under compressive load. In contrast to the tensile simulations, the curves of the different volume elements do not adapt to one another with increasing temperature, since only a few graphite particles are degraded under compressive load.

Diagram 18 shows the stress-strain curves of a GG-15 SVE with 12 v. − % graphite, under tensile load, for the temperatures $\theta = \{20^\circ$C, $150^\circ$C, $300^\circ$C, $450^\circ$C, $600^\circ$C, $750^\circ$C\}. The quantitative progression of the curves is the same for all temperatures, but developed to different degrees. As already described above, a very small linear section is followed by a region with a not strictly monotonic slope, which subsequently results in a pronounced non-linear material behaviour. The stress-strain curves decrease with increasing temperature, which is caused by the temperature-dependent material parameters of the pearlitic matrix, tab. 2. However, up to a temperature of $\theta = 450^\circ$C, the drop of the characteristic curves is not significant, since for the temperatures $\theta = \{20^\circ$C, $150^\circ$C, $300^\circ$C\}$ only the Young’s modulus changes and not the yield strength and the strain hardening parameters of the matrix, see tab. 2.

As before for tensile load, the influence of temperature on the macroscopic behaviour of the same SVE is similar for compressive load, see fig. 19. With increasing temperature, the absolute stress values of the curves become smaller, whereby the difference between
Figure 17. Stress-strain curves for tensile and compressive load of all graphite classes with 12 v. – % graphite and for $\theta = 750^\circ$C. For a clearer representation, the stress-strain curves of the compressive simulations are given by positive values.

Figure 18. Stress-strain diagrams of a GG-15 graphite microstructure under tensile load, with 12 v. – % graphite and variable temperature.
Figure 19. Stress-strain diagrams of a GG-15 graphite microstructure under compressive load, with 12 v. − % graphite and variable temperature. The stress-strain curves are given by positive values.

θ = 20 °C, 150 °C and 300 °C is low. In general, the course of the curve under compressive load is similar to that of a typical ductile steel, as there is a clearly identifiable linear region, which is followed by a non-linear plastic section.

Finally, the influence of the graphite volume fraction on the mechanical material properties is considered. Diagram 20 shows the stress-strain curves for tensile and compressive load of a graphite microstructure of type GG-15, for the graphite volume fractions of 10 v. − %, 11 v. − % and 12 v. − %. It can be observed that the curves have lower stress values under tensile load, with an increasing graphite volume fraction. Both the Young’s modulus and the yield strength decrease as the volume fraction of graphite increases. This fact is consistent with results and data from publications by Collini et al. [8], Haenny and Zambelli [14] and Noguchi and Shimizu [24], for example. This phenomenon can be explained by the increase in graphite, which weakens the matrix within a SVE.

Under compressive load, the behaviour is just the opposite, as a higher graphite volume fraction leads to higher mechanical properties. In this loading case, only a few graphite stiffnesses are degraded and have a positive effect on the macroscopic material behaviour of the SVEs, as graphite has a higher stiffness than the pearlitic matrix in the non-degraded state.

3.3.2. Mechanical parameters

The simulation results are used to determine Young’s moduli, Poisson’s ratios and yield strengths, whereby it is assumed that the SVEs behave isotropically, which means that Hooke’s law applies, and that a one-dimensional stress state always exists.

In principle, the Young’s modulus of a material is given by the slope of the linear section of a stress-strain curve, at the onset of uniaxial, moment-free tensile loading. The yield strength marks the end of the linear section, and thus the transition of a material from elastic to plastic behaviour. In cast iron materials, however, such a linear section is not clearly pronounced, which makes it difficult to determine Young’s moduli and the yield strengths [47]. According to ASTME111 [48], the calculation of Young’s moduli, in terms of the tangent or secant modulus is permissible, for materials with non-linear
Figure 20. Stress-strain diagrams of GG-15 graphite microstructures under tensile and compressive load, with a variable graphite volume fraction at room temperature. For a clearer representation, the stress-strain curves of the compressive simulations are given by positive values.

Figure 21 shows the procedure for determining the secant moduli and technical yield strengths.

Figure 22 to 27 lists the resulting Young’s modulus, Poisson’s ratios and yield strengths under tensile load. All corresponding parameters under compressive load are given in fig. 28 and 29. It can be observed that under tensile load, all parameters decrease with an increasing graphite volume fraction. The same applies to an increasing average graphite particle size for the Young’s modulus and the yield strengths, whereas the opposite is valid for the Poisson’s ratios. With increasing temperature, the difference in the parameters between the different graphite microstructures becomes smaller under tensile load. The reason for this is, as already mentioned, that the stiffness of the pearlitic matrix is reduced with increasing temperature, and thus becomes similar to that of the degraded graphite. For compressive loading, this phenomenon does not significantly occur, as fewer graphite particles are degraded, making their influence on the macroscopic material behaviour much smaller. In addition, an increasing volume fraction of the graphite particles has a positive effect on the Young’s modulus and the yield strengths under compressive load, since the predominantly non-degraded graphite lamellae have a higher stiffness than the pearlitic matrix. The different parameters for tensile and compressive load are a characteristic of tension-compression stress-strain asymmetrical materials. The parameters of the idealized 1A3 SVE are most similar to the GG-15 microstructure.

At room temperature, under tensile load, the following values result as the secant moduli of the GG-15 SVEs: $E_{p0,1}^{10\%} = 112\,\text{GPa}$, $E_{p0,2}^{11\%} = 108\,\text{GPa}$, $E_{p0,2}^{12\%} =$
Figure 21. Schematic evaluation of the secant module and the yield strength. The secant moduli are evaluated at strains of 0.1 % and 0.2 %. The straight lines for evaluating the yield strengths, R_p, run parallel to the Young’s moduli.

106 GPa. This is in good agreement with the literature, where values of E = 78 to 103 GPa, for example, are given for a GG-15 material [50]. There is also good agreement between the Poisson’s ratio of the simulation results of the GG-15 material under compressive loading, \( v_{p0,1} \), \( \% \) = 0.246, and the literature, \( v = 0.26 \) [50]. The yield strengths result in the values \( R_{p0,1} \), \( \% \) = 206 MPa, \( R_{p0,1}^{11} \), \( \% \) = 202 MPa and \( R_{p0,1}^{12} \), \( \% \) = 199 MPa, which are comparable with literature values of \( R_{p0,1} \) = 98 to 165 MPa [50].

4. Thermal simulations

A simulation study was performed to investigate the influence of the graphite class and the graphite volume fraction on the thermal properties of cast iron with lamellar graphite. The study includes simulations of SVEs of each possible permutation of the graphite classes GG-15, IA2, IA3, IA4 and IA5 [1], with graphite volume fractions of 10, 11 and 12 v. – %, for the temperatures \( \theta = \{ 20 ^\circ C, 150 ^\circ C, 300 ^\circ C, 450 ^\circ C, 600 ^\circ C, 750 ^\circ C \} \).

The simulation study includes the calculation of thermal equilibrium states, under the influence of heat conduction, as the only type of heat transfer. In the performed simulations, only a slight local change in the temperature of a material point can be observed, which is why the local thermal conductivity and the heat capacity are considered to be constant during one simulation. The thermal conductivity model is not verified, as it is a well-established and often used “standard model”.

The temperatures on two opposite boundaries of a SVE are set as constant but different, whereas the boundary conditions of the remaining boundaries are set as adiabatic. For example, if the top of a SVE has the constant temperature \( \theta_T \) and the bottom \( \theta_B \), when \( \theta_T \neq \theta_B \) does apply, a constant heat flux is established between the top and bottom surfaces, at equilibrium. Based on this, the effective thermal conductivity of a heterogeneous material can be calculated using the method presented in August et al. [44], whereby the calculation of the heat flux is carried out by including the tensorial thermal conductivity of the graphite. The calculated thermal conductivities are shown in fig. 30.

According to Hecht et al. [51], a higher thermal conductivity in structures with longer graphite lamellae is the result of a larger proportion of basal planes, available for thermal conduction. The same argumentation results in an increasing effective thermal conductivity in SVEs with an increasing quotient between the height of the lamellae and
Figure 22. Secant moduli of all graphite classes with graphite volume fractions of 10, 11 and 12 v. − %, evaluated for the temperatures 20, 150, 300, 450, 600 and 750 °C, at a tensile strain of 0.1 %.

Figure 23. Secant moduli of all graphite classes with graphite volume fractions of 10, 11 and 12 v. − %, evaluated for the temperatures 20, 150, 300, 450, 600 and 750 °C, at a tensile strain of 0.2 %.
Figure 24. Poisson’s ratios of all graphite classes with graphite volume fractions of 10, 11 and 12\text{ v. \%}, evaluated for the temperatures 20, 150, 300, 450, 600 and 750 °C, at a tensile strain of 0.1 \%.

Figure 25. Poisson’s ratios of all graphite classes with graphite volume fractions of 10, 11 and 12\text{ v. \%}, evaluated for the temperatures 20, 150, 300, 450, 600 and 750 °C, at a tensile strain of 0.2 \%.
Figure 26. Yield strengths of all graphite classes with graphite volume fractions of 10, 11 and 12 v.-%, evaluated for the temperatures 20, 150, 300, 450, 600 and 750 °C, at a tensile strain of $\epsilon = 0.1 \%$.

Figure 27. Yield strengths of all graphite classes with graphite volume fractions of 10, 11 and 12 v.-%, evaluated for the temperatures 20, 150, 300, 450, 600 and 750 °C, at a tensile strain of $0.2 \%$. 
Figure 28. Secant moduli, Poisson’s ratios and yield strengths of all graphite classes with a graphite volume fraction of 12 v. − %, evaluated for temperatures 20, 150, 300, 450, 600 and 750 °C, at a compressive strain of 0.1 %.

Figure 29. Secant moduli, Poisson’s ratios and yield strengths of all graphite classes with a graphite volume fraction of 12 v. − %, evaluated for the temperatures 20, 150, 300, 450, 600 and 750 °C, at a compressive strain of 0.2 %.
the longitudinal axes of the lamellae \cite{5,39}. These statements are also reflected by the simulation results. In fig. \ref{fig:30}, it can be observed that the thermal conductivity of the SVEs increase when the average size of the graphite particles increases from IA5 to IA2. As already observed in Holmgren \cite{39}, it can be seen that the thermal conductivity of grey cast iron decreases with increasing temperature.

The thermal conductivity of the GG-15 SVEs results in the following values for $\theta = 150 \degree C$: $\lambda_{10\,v.-\%} = 41.53 \text{ W/(m K)}$, $\lambda_{11\,v.-\%} = 42.91 \text{ W/(m K)}$ and $\lambda_{12\,v.-\%} = 43.91 \text{ W/(m K)}$. In DIN 1691 \cite{52} a value of $\lambda = 42.5 \text{ W/(m K)}$ is listed for cast iron with lamellar graphite, at a temperature of $\theta = 100 \degree C$, which means that the calculated thermal conductivities are quite plausible.

5. Correlation of thermal and mechanical properties

In this section, some microstructure-dependent correlations between the thermal and mechanical properties are given as an overview in fig. \ref{fig:31} and fig. \ref{fig:32}. The plots capture tensile load at room temperature and a temperature of $\theta = 300 \degree C$. Shown are the secant moduli and yield strengths as a function of the thermal conductivity of all graphite classes and volume fractions. The mapping of the respective graphite classes and volume fractions is given by the legends of the plots. It can be clearly seen, that the mechanical properties under tensile load decrease with increasing length of the graphite particles, whereas the thermal conductivity increases.

6. Summary and outlook

With regard to graphite microstructure, this work investigated the sensitivity of important macroscopic mechanical and thermal properties of grey cast iron over a wide temperature range. In order to represent the range of possible graphite microstructures according to DIN EN ISO 945-1 \cite{1}, a synthetic approach was used to generate simulation domains. The basic shape of a graphite particle was assumed as a flat corrugated ellipsoid, in order to imitate the lamellar or flake-like shape of lamellar graphite \cite{18}. The distribution functions of the graphite inclusions, on which the microstructures are based, follow DIN EN ISO 945-1 \cite{1} and data extracted from micrographs. A comparison between the artificially generated microstructures with micrographs shows an apparently good agreement.

\begin{figure}
\centering
\includegraphics[width=\textwidth]{graph.png}
\caption{Thermal conductivities of all graphite classes with graphite volume fractions of 10, 11 and 12 v. –%, for the temperatures 20, 150, 300, 450, 600 and 750 °C.}
\end{figure}
Figure 31. Secand moduli and yield strengths vs. thermal conductivities of all graphite classes with graphite volume fractions of 10, 11 and 12 v. − % at room temperature. The properties capture tensile load for strains of $\varepsilon = 0.1 \%$ and $\varepsilon = 0.2 \%$. 
Figure 32. Secand moduli and yield strengths vs. thermal conductivities of all graphite classes with graphite volume fractions of 10, 11 and 12 v. − % at a temperature of θ = 300 °C. The properties capture tensile load for strains of ε = 0.1 % and ε = 0.2 %.
On the microscopic length scale, the matrix was idealized as homogeneous pearlite, which behaves isotropically with non-linear hardening, in the sense of the $J_2$ plasticity theory. Graphite has transversely isotropic material properties both in terms of mechanics and in terms of thermal conductivity. To illustrate the low load capacity of graphite, when the weak van der Waals bonds between the basal planes are stressed, the stiffness tensor of the particles was degraded by a function dependent on volume changes. This made it possible to reproduce the tension-compression stress-strain asymmetry, typical for cast iron with lamellar graphite, as well as other important characteristics on the mesoscopic length scale. By taking geometric non-linearities into account, it would be possible to improve the mechanical models.

The developed model was applied in a large simulation study. The study included statistical volume elements of the graphite microstructures GG-15 and IA2 to IA5, with 10 v. − %, 11 v. − % and 12 v. − % graphite respectively, for a temperature range from 20°C to 750°C. Statistical volume elements were only used because of the computing time. Ideally, however, representative volume elements should be used. Moment-free tensile and compression tests served as mechanical load for the simulations. All material parameters used for the microscopic models refer to established values in literature.

In accordance with conventional theories, the results of the study illustrate that the computational approaches are capable to predict reliable material quantities such as Young’s moduli, Poisson’s ratios, yield strengths and thermal conductivities. With respect to the microstructure of graphite, the sensitivity of the macroscopic material behaviour is plausible. However, in the absence of meaningful experimental results, quantitative validation of the results is not possible. In the future, attempts could be made to assess the results quantitatively, which would require appropriate experiments to be carried out.

The methodology developed here offers potential for investigating other materials, such as cast iron with vermicular graphite, steels or fibre reinforced composites.

**Author Contributions:** Conceptualization, Christoph Herrmann, Stefan Schmid, Daniel Schneider; Investigation, Christoph Herrmann; Methodology, Christoph Herrmann, Stefan Schmid; Software, Christoph Herrmann, Stefan Schmid, Daniel Schneider, Michael Selzer; Supervision, Britta Nestler; Validation, Christoph Herrmann; Visualization, Christoph Herrmann; Writing – original draft, Christoph Herrmann; Writing – review & editing, Christoph Herrmann, Stefan Schmid, Daniel Schneider, Michael Selzer and Britta Nestler.

**Funding:** The authors gratefully acknowledge funding of the research through the Future Field Stage 3 initiative Kadi4Mat of the Excellence Strategy of KIT.

**Acknowledgments:** Inputs on the selection of cast iron materials relevant for practical use and processing ranges have been provided in collaboration with the Daimler AG. Furthermore, contributions of the microstructure-mechanics modelling and of the research data infrastructure development have been incorporated by funding through the programmes MSE and KNMFi (43.31.01) within the Helmholtz association. The authors would like to thank Leon Geisen for editorial assistance.

**Conflicts of Interest:** The authors declare no conflict of interest.

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