Quantitative Analysis of Outer Retinal Tubulation in Age-Related Macular Degeneration From Spectral-Domain Optical Coherence Tomography and Histology

Katie M. Litts, Thomas Ach, Kristen M. Hammack, Kenneth R. Sloan, Yuhua Zhang and Christine A. Curcio

1Department of Ophthalmology, University of Alabama School of Medicine, University of Alabama at Birmingham, Birmingham, Alabama, United States
2Vision Science Graduate Program, University of Alabama at Birmingham, Birmingham, Alabama, United States
3Department of Ophthalmology, University Hospital Würzburg, Würzburg, Germany
4Department of Computer and Information Sciences, University of Alabama at Birmingham, Birmingham, Alabama, United States
5Vitreous Retina Macula Consultants of New York, New York, New York, United States
6Department of Ophthalmology, New York University School of Medicine, New York, New York, United States

Correspondence: Christine A. Curcio, Department of Ophthalmology, Eysight Foundation of Alabama Vision Research Laboratories, University of Alabama School of Medicine, 1670 University Boulevard, Room 360, Birmingham, AL 35294-0099, USA; curcio@uab.edu.

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Purpose. To assess outer retinal tubulation (ORT) morphology from spectral-domain optical coherence tomography (SD-OCT) volumes and donor eye histology, analyze ORT reflectivity, and estimate the number of cones surviving in ORT.

Methods. In SD-OCT volumes from nine patients with advanced AMD, ORT was analyzed en face and in B-scans. The hyperreflective ORT border in cross-section was delineated and surface area calculated. Reflectivity was compared between ORT types (Closed, Open, Forming, and Branching). A flatmount retina from a donor with neovascular AMD was labeled to visualize the external limiting membrane that delimits ORT and allow measurements of cross-sectional area, center-to-center cone spacing, and cone density. The number of cones surviving in ORT was estimated.

Results. By en face SD-OCT, ORT varies in complexity and shape. Outer retinal tubulation networks almost always contain Closed cross-sections. Spectral-domain OCT volumes containing almost exclusively Closed ORTs showed no significant direction-dependent differences in hyperreflective ORT border intensity. The surface areas of partial ORT assessed by SD-OCT volumes ranged from 0.16 to 1.76 mm². From the flatmount retina, the average cross-sectional area of cone inner segments was 49.1 ± 7.9 μm². The average cone spacing was 7.5 ± 0.6 μm. Outer retinal tubulation cone density was 20,351 cones/mm². The estimated number of cones in ORT in a macula ranged from 26,399 to 186,833 cones, which is 6% to 44% of the cones present in a healthy macula.

Conclusions. These first estimates for cone density and number of cones surviving in ORT suggest that ORT formation considerably distorts the photoreceptor mosaic. Results provide additional insight into the reflectivity characteristics and number of ORT cones observable in living patients by SD-OCT, as cones persist and disease progresses.

Keywords: spectral-domain optical coherence tomography, photoreceptors, cones, Müller cells, age-related macular degeneration, outer retinal tubulation, ellipsoid, histology
In this study, we used morphometric analysis of SD-OCT volumes and a human donor eye flatmount retina to illustrate and quantify characteristics of ORT; including a description of ORT shape in en face images and B-scans, intensity of the reflective ORT band, estimates for the area, center-to-center spacing, spatial density, and the number of surviving cones in an ORT. Because of our initial impressions of variations in the intensity circumscribing the ORT lumen in cross-section at the level of translocating mitochondria according to histology, we checked the intensity of reflectivities around the ORT band in cross-section. New data on the morphology and reflectivity characteristics of the ORT network may provide insight into ORT development and stability. This analysis may enhance our knowledge about macular cone degeneration and disease progression, not only in AMD, but also in other inherited retinal diseases displaying ORT, and inform future considerations about neuroprotective strategies.

METHODS

This research adhered to the tenets of the Declaration of Helsinki and was approved by the Institutional Review Board at the University of Alabama at Birmingham and the Western Institutional Review Board.

Spectral-Domain Optical Coherence Tomography Volumes

Ten macular SD-OCT volumes (Heidelberg Spectralis; Heidelberg Engineering, Heidelberg, Germany) from 10 eyes of nine patients (82.1 ± 8.2 years, seven women, two men) with ORT associated with neovascular AMD were acquired at Vitreous Retina Macula Consultants of New York (Table). The SD-OCT volumes are identified as tubulation cross-section (TCS) 1 through TCS10 in the Table. The spacing between B-scans in the SD-OCT volumes was 11 μm unless otherwise noted. The width of the B-scans was 15° in all volumes. Outer retinal tubulation rendered by OCT B-scans are referred to as ORT cross-sections. Outer retinal tubulations viewed en face are referred to as ORT networks. En face images for each SD-OCT volume were generated with the 3D View Transverse option in the Heidelberg Spectralis software (version 1.9.10.0), with a representative transverse plane selected to maximize the view of the ORT network in each SD-OCT volume. En face images were overlaid on infrared reflectance images in the Heidelberg Spectralis software.

Spectral-domain OCT volumes were analyzed with custom image reconstruction software using ImageJ (http://image.nih.gov/ij/; provided in the public domain by the National Institutes of Health, Bethesda, MD, USA), Java, and FIJI. Custom plugins are available from the authors upon request. The inner and outer aspects of each hyperreflective ORT band cross-section were manually delineated with polygons, using a custom ImageJ plugin (Fig. 2). The delineation of the hyperreflective ORT band did not include hyperreflectivity in the lumen (attributable to free-floating cells). To create a three-dimensional ORT model, the inner and outer polygon delineations were converted to a stack by a custom Java program and then a surface model by using FIJI. The surface model was smoothed and displayed by using MeshLab (http://meshlab.sourceforge.net; by the 3D-CoForm Project). Numerical measurements (described below) were made by using the raw polygonal data. The image of the smoothed surface model is for illustration only.

In each B-scan of the 10 SD-OCT volumes, all delineated ORT cross-sections were labeled as Closed, Open, Forming, or Branching, as follows. Closed ORT is a hyporeflective space...
| Volume Samples | TCS1 | TCS2 | TCS3 | TCS4 | TCS5 | TCS6 | TCS7 | TCS8 | TCS9 | TCS10 |
|----------------|------|------|------|------|------|------|------|------|------|-------|
| Age/sex        | 81/F | 76/F | 73/M | 81/F | 89/F | 74/M | 79/F | 88/F | 98/F | 98/F  |
| Dimensions of SD-OCT volume |      |      |      |      |      |      |      |      |      |       |
| No. of B-scans | 98   | 54   | 131  | 131  | 108  | 116  | 131  | 77   | 75   | 77    |
| Retina surface area, mm² | 4.73 | 2.64 | 6.6  | 6.75 | 5.16 | 5.59 | 5.74 | 3.28 | 5.44 | 5.44  |
| B-scan spacing, μm | 11   | 11   | 11   | 11   | 11   | 11   | 11   | 11   | 11   | 11    |
| ORT eccentricity range, mm |      |      |      |      |      |      |      |      |      |       |
| Maximum, temporal | 0.9  | 0.8  | 1.1  | 1.5  | 1.9  | 1.9  | 1.5  | 1.0  | 0.4  | 1.8   |
| Minimum, nasal | −1.0 | 0.5  | −1.3 | 0.3  | −0.8 | 0.6  | −2.6 | −0.5 | −0.7 | 1.1   |
| ORT in fovea? | Yes  | Yes  | Yes  | Yes  | No   | Yes  | No   | Yes  | Yes  | No    |
| ORT cross-sections |      |      |      |      |      |      |      |      |      |       |
| Closed | 78% | 65% | 66% | 76% | 86% | 81% | 92% | 100% | 65% | 98% |
| Open | 7% | 30% | 22% | 8% | 2% | 12% | 2% | 0% | 9% | 1% |
| Branching | 6% | 0% | 5% | 0% | 7% | 7% | 4% | 0% | 0% | 1% |
| Forming | 9% | 4% | 10% | 15% | 6% | 0% | 2% | 0% | 26% | 0% |
| Total | 215 | 46 | 215 | 84 | 251 | 223 | 161 | 26 | 34 | 98 |
| Surface area and cone No. |      |      |      |      |      |      |      |      |      |       |
| ORT surface area, estimated, mm² | 1.54 | 0.19 | 1.55 | 0.32 | 1.54 | 1.76 | 0.74 | 0.21 | 0.16 | 0.44 |
| Retinal area in volume occupied by ORT | 33% | 7% | 25% | 5% | 30% | 31% | 13% | 5% | 13% | 8.879 |
| No. of cones in ORT, estimated | 31,260 | 3,834 | 31,613 | 6,496 | 31,328 | 35,743 | 15,008 | 4,279 | 3,212 | 8,879 |
| No. of ORT cones in macula | 186,833 | 41,052 | 135,411 | 27,207 | 180,762 | 73,914 | 36,878 | 26,399 | 72,967 |
| Surviving ORT cones from healthy macula | 44% | 10% | 32% | 6% | 41% | 43% | 18% | 9% | 6% | 17% |
| ORT intensity analysis |      |      |      |      |      |      |      |      |      |       |
| Intensity differences |      |      |      |      |      |      |      |      |      |       |
| Mixed* | 270° > | Mixed† | 270° > | 270° > | Mixed‡ | 270° > | NS | 270° > | NS |
| ORT angle vs. ORT type |      |      |      |      |      |      |      |      |      |       |
| P < 0.0001 | P < 0.0001 | P < 0.0001 | P < 0.0001 | P < 0.0001 | P < 0.0001 | P < 0.0001 | P < 0.0001 | P < 0.0001 | P < 0.0001 |
| 270° >; Closed 0° >, Closed 90°; Forming 180° > Forming 0°, Forming 270°. |
| Closed 0° > Closed 90°; Open 0° > Open 90°, Open 180°, Open 270°. |

FOVEA ≤ 0.6 mm. NS, not significant; 270° >, 270° is statistically greater than 0°, 180°, and 270°.

* 270° > 0°, 90°; 180° > 90°; Closed 0° > Closed 90°; Closed 270° > Closed 90°; Forming 180° > Forming 0°, Forming 270°.
† 270° >; 90° <.
‡ 0° >; Closed 0° > Closed 90°; Open 0° > Open 90°, Open 180°, Open 270°.
completely surrounded by a hyperreflective band (Fig. 2A). Open ORT is a hyporeflective space surrounded by a hyperreflective band that does not connect on the outer aspect (Fig. 2B). Forming ORT is a free edge that scrolls into an ORT cross-section in adjacent B-scans (Fig. 2C). Branching ORT is multiple hyporeflective lumens with a common hyperreflective band (Fig. 2D). Individual ORT cross-sections seen as separated in adjacent scans were marked as branching when their hyperreflective bands appear to overlap.

Outer Retinal Tubulation Intensity and Statistical Analyses

Because of our initial impressions of variations in the intensity of the hyperreflective ORT band in cross-section surrounding the ORT lumen, we sought a difference in the intensity around circular or ovoid ORT bands in cross-section. The polygonal delineations described above were superimposed onto their respective ORT cross-sections and centered in a 1024 × 1024-pixel window, where each pixel ranged from 5.14 to 5.82 μm depending on the SD-OCT volume. From these images, raw (linear) SD-OCT intensity (ranging from 0–1 in a 32-bit image) along the colored lines, and the average first maximum intensity value is reported in Figure 5 and Supplementary Figure S2 for each SD-OCT volume. Each line of the cross in the centroid of ORT cross-sections is 20 μm long.

One-way analysis of variance (ANOVA) was performed per SD-OCT volume among angles to test whether mean maximum intensity values differed from each other, under the null hypothesis that all ORT angles had the same intensity. Post hoc Tukey’s Honestly Significant Difference test was performed to determine which group means differed. For SD-OCT volumes with more than one ORT type, a multiple-way ANOVA was also performed between the interaction of ORT type and angle to test whether at least one mean maximum intensity value differed from each other, under the null hypothesis that all interactions of ORT type and angle had the same intensity. Mean maximum intensity values and 95% confidence intervals are reported for comparisons between groups for each volume. Statistical analysis was performed with Matlab software (MathWorks, Natick, MA, USA). For all tests, P < 0.05 was considered significant.

Histology

As recently described, an eye from an 86-year-old Caucasian female donor with neovascular AMD, preserved 4.2 hours after death, was prepared as a 20 × 20-mm square tissue flatmount, including the macula and near periphery. The flatmount,
which included retina still attached to the RPE and Bruch’s membrane, was labeled with Alexa 647 Phalloidin (Life Technologies, Grand Island, NY, USA) to visualize the filamentous-actin (F-actin) cytoskeleton in the ELM meshwork through which ORT cones point into the lumen. Tissue was imaged with confocal epifluorescence microscopy (BX51; Olympus, Tokyo, Japan) at λex = 488 nm, as previously described. This wavelength excitation reveals lipofuscin in RPE, cones, and other retinal neurons. Images were composited by using the internal software of the microscope (cellSens, version 7; Olympus).

**Calculations**

In the flatmount retina, for eight locations with ORT, the cross-sectional area of cone inner segments was approximated by the area of hexagonal gaps in the ELM meshwork. Assuming triangular cone packing, center-to-center cone spacing was calculated as \( \sqrt{\frac{\text{Cone Area}}{\pi}} \) (see Supplementary Fig. S1 for derivation). The spatial density of cones within ORT (cells/mm\(^2\)) was determined from the inverse of the cross-sectional cone area.

The surface area of the retina covered by the SD-OCT volume was calculated by multiplying the length and width (pattern size from the Heidelberg Spectralis software) of the volume. To estimate the surface area of the partial ORT network in each SD-OCT volume, a surface at the ELM was approximated by constructing an offset polygon 5 μm abluminal from the inner polygon in each B-scan. The ORT surface area was calculated by multiplying the perimeter of each offset polygon by the distance between successive B-scans. The number of cones in each partial ORT network was estimated by using the surface area from each SD-OCT volume and cross-sectional area of cone inner segments determined from the flatmount retina. For comparison to the number of cones in a healthy macula with a diameter of ~21° (6 mm), the estimated number of cones in each partial ORT network was corrected by the ratio between the area of normal macula and the area covered by the SD-OCT volume. This correction assumes that the entire macula has the same coverage by ORT as in the SD-OCT volume. Outer retinal tubulation eccentricity was calculated as the distance from the center of each ORT cross-section on each B-scan to the designated foveal B-scan. For the ORT cross-sections in each SD-OCT volume, the eccentricity range from nasal to temporal is reported.

**Results**

In this study, we used 10 SD-OCT volume scans from nine patients with advanced AMD (Table) to assess ORT dimensions and histology of one donor eye to estimate cone density and number of cones surviving in ORT.

En face SD-OCT imaging of high-density volumes connects hyperreflective ORT bands in cross-sections and hyporeflective ORT lumens into a vast network (Fig. 3). Similar to ORT cross-sections in B-scans, ORT viewed in en face is a hyperreflective lumen surrounded by a hyporeflective band, and distinguishable from a cyst (Fig. 3, TCS6). These en face ORT networks vary from small tubes to complex branching cavitory spaces (Fig. 3). Similar to an en face view, the ORT network in an SD-OCT volume can be visualized in a 3D model (Fig. 4). The model represents the manual delineation of the hyperreflective ORT band in cross-sections, stacked together.

A total of 1353 ORT cross-sections were analyzed in 998 B-scans of 10 SD-OCT volumes (Table). Through a SD-OCT volume, the shape and size of ORT cross-sections can differ (Table and Supplementary Fig. S2). For example, one ORT (TCS5) contained 66% Closed, 22% Open, 3% Branching, and 10% Forming cross-sections, whereas, another ORT (TCS8) contained 100% Closed cross-sections (Table). The percentages of ORT types in cross-section for all volumes analyzed are listed in the Table.

To determine if the intensity of the hyperreflective ORT band in cross-section varies with angle, we sampled intensities at 90° angles around all hyperreflective ORT bands as described in Methods (Fig. 2 and Supplementary Fig. S2, blue boxes). The maximum intensity value of the ORT band for each ORT type (Closed, Open, Branching, Forming) and angle (0°, 90°, 180°, 270°) sampled varied slightly through an ORT (Fig. 5 and Supplementary Fig. S2). Three SD-OCT volumes (TCS1, TCS3, TCS6) had mixed results (Fig. 5A). In half of the SD-OCT volumes, the intensity at 270° was significantly higher, since Forming and Open ORT cross-sections (Fig. 5B) lack a connected hyperreflective band and allowed signal to be captured by highly reflective scars lying between the ORT and Bruch’s membrane. Two SD-OCT volumes (TCS8, TCS10) showed no significant differences in ORT band intensity at any multiple of 90° (Fig. 5C; Table). Spectral-domain OCT volumes containing almost exclusively Closed ORT (TCS8, TCS10) showed no significant variation in ORT band intensity with angle. Figure 5 shows three representative examples of intensity differences. Data for SD-OCT volumes, TCS1 through TCS10, are in Supplementary Figure S2.

In a flatmount retina from a donor with neovascular AMD, ORT was viewed en face and visualized by the ELM cytoskeleton meshwork (Fig. 6, red). Autofluorescent lipofuscin (Fig. 6, green) was located in four places: RPE cells of an intact RPE layer near an atrophic area (Fig. 6A), in individual dissociated RPE25 (Fig. 6C), as granule aggregates shed from apparently apoptotic RPE (Fig. 6B), and in individual cone inner segments passing through gaps of the ELM meshwork (Fig. 6B).25 Outer retinal tubulation abutting the edge of atrophy may appear as a finger-like projection into the atrophic area (Fig. 6D), similar to the shape of ORT identified in en face SD-OCT images (Fig. 3, TCS4). Each gap in the ELM meshwork is occupied by a single cone pointing toward the lumen, and the cone inner segments tile the luminal surface of a Closed ORT in a hexagonal packing arrangement. Mean cross-sectional area for cone inner segments at the ELM of this ORT was 49.1 ± 7.9 μm². Center-to-center cone spacing was 7.5 ± 0.6 μm. The spatial density of ORT cones was 20,351 cones/mm².

From the SD-OCT volumes, which cover part of the macula as shown in Figure 3, the estimated ORT surface area at the ELM ranged from 0.16 to 1.76 mm² (Table). The estimated number of cones in partial ORT at eccentricities from 0.3 to 2.6 mm ranged from 3212 to 35,743 cones (Table). Assuming the entire macula is covered by ORT to the same degree indicated in the partial SD-OCT volume, the number of ORT cones in one advanced AMD macula ranged from 26,399 to 186,833 (Table).

**Discussion**

**Variation in ORT**

This study provides the first quantitative measurements of ORT, including estimates for morphologic variants, intensity of the hyperreflective ORT band, cone density, and number of surviving cones, some of which may be capable of supporting vision in ORT in advanced AMD. Commonly identified in SD-OCT B-scans from patients with advanced AMD2–6 and some inherited diseases with photoreceptor and RPE damage or loss,2–5 ORT can be best understood as connecting cavities containing degenerating cone photoreceptors interleaved by Müller cells, lining the walls.
The variation in size and shape of ORT cross-sections in SD-OCT B-scans and transverse sections in SD-OCT en face images may provide insight into the dynamism and stability of ORT as they evolve over years.\(^3\) Outer retinal tubulations have been imaged transversely and described qualitatively in other studies.\(^2\)–\(^4\) The ORT networks in this study range from tubes to branching networks. Perilesional ORT was observed in a location in the flatmount retina (Fig. 6A). Not all of the ORT networks over scars in retinas with neovascular AMD in this study are considered pseudodendritic.\(^4\) Half of the SD-OCT volumes (Fig. 2; TCS1, TCS3, TCS5, TCS6, TCS7) may be considered pseudodendritic\(^3\) and advanced owing to their multiple branches. Although the SD-OCT volumes included here did not include the entire ORT network in each retina, we can still get a sense of their topography when viewed en face and in cross-sections. The distribution of ORT types in SD-OCT B-scans and complexity of ORT en face may hint at the stability of ORT over time. In these SD-OCT volumes, Closed ORT dominated over other forms and thus seems to represent a more stable formation of ORT, whereas less common Open and

**Figure 3.** Outer retinal tubulation varies in size and shape by SD-OCT en face reconstruction. Infrared reflectance (IR) images of 10 eyes (first and third columns). Green box is location of en face transverse reconstruction image from each of 10 SD-OCT volumes (Heidelberg Spectralis) of nine patients with neovascular AMD showing ORT network (yellow arrowbeads) overlaid on IR image (second and fourth columns). Cyst (pink arrowbead).
Forming ORT cross-sections may be more transient. As Müller cells seem to be prime movers in ORT formation by sealing off cones from the RPE–Bruch’s complex and remaining until the end, forming ORT may be the most dynamic of the ORT types. Longitudinal monitoring on the time course of years with high-density SD-OCT scans would be required, as ORT are anticipated to involute slowly. How ORT networks acquire different shapes en face or how ORT cross-sections transition between ORT types will require future research.

As the size and shape of ORT cross-sections vary, so does the intensity of the ORT band in cross-section. The source of reflectivity contributing to the hyperreflective ORT band in SD-OCT B-scans has been attributed to a combination of migrating mitochondria, which are light scatterers, within the inner segments and the gliotic Müller cells forming the ELM. Because of the combination of reflectivity-generating signal of the ORT band, we sought a difference in the intensity around the ORT band in cross-section. However, this analysis did not reveal a consistent trend with the intensity of the ORT band. The two SD-OCT volumes that contained almost all Closed ORT showed no difference in the ORT band intensity. The variability of the Forming and Open ORT bands and underlying scars misaligning ORT on top of them may affect their reflectivity in SD-OCT B-scans. If there was any residual waveguiding not captured by conventional SD-OCT, directional OCT could provide more insight into the reflectivity of forming ORT and ORT tilted by underlying scar, which may appear differently with off-axis reflectivity. Understanding reflectivity in multimodal imaging may be important in determining the viability of cones in ORT and their capacity for vision. A decrease in the intensity of band 2, currently referred to as the ellipsoid zone, has been shown to correspond with decreased cone function. Additionally, the intensity of band 2 has been shown to correlate with retinal function by multifocal electroretinography. Additional exploration is needed to understand the relationship between the intensity of the ORT band and state of cone degeneration in the ORT band.

**Number of Cones in ORT**

In this study, the retina surface area included in SD-OCT volumes ranged from 2.64 mm² to 6.75 mm² of the 28.27 mm² area of a macula (3-mm radius). In these SD-OCT volumes, ORTs took up 5% to 33% of the retina imaged (Table), which could contain some of the last surviving cones in the macula. In this study, the average center-to-center cone spacing measured from a single flat mounted ORT, assuming triangular packing, was 7.5 ± 0.6 μm. This result is a plausible diameter for cone inner segments in the macula, compared to previous measurements in histology and in living human eyes with split-detection adaptive optics scanning light ophthalmoscopy.
In this study, average ORT cone density was calculated to be 20,351 cones/mm², based on a flatmount retina with eccentricities varying between 2.2 and 5.5 mm. In a healthy retina at these eccentricities, cone density is 11,189 cones/mm² at 2 mm and 5,901 cones/mm² at 5 mm. In a healthy fovea, mean peak cone density is 197,000 cones/mm². In older people, foveal cone densities range from 116,600 to 210,000 cones/mm², depending on eccentricity. Many studies have calculated cone density in the living human eye. By adaptive optics scanning laser ophthalmoscopy, peak cone density has been calculated to range from 136,132 to 247,061 cones/mm² in a cohort of young adults.

As compared to healthy maculas, cone density in ORT is decreased relative to the fovea and increased relative to the perifovea, suggesting considerable distortion of the photoreceptor mosaic, as suggested by histology.

The estimated number of surviving cones in partial ORT captured by SD-OCT, with eccentricities from 0.3 to 2.6 mm, ranged from 3212 to 35,743 cones, and the estimated number of surviving cones in ORT in an entire macula ranged from 26,399 to 186,833, which is 6% to 44% of the 421,000 cones in a 6-mm-diameter healthy macula. Identifying that cones persist in ORT in areas of atrophy or over disciform scars may lead to future detection by adaptive optics–assisted retinal imaging. If surviving cones in ORT are identified by adaptive optics–assisted retinal imaging, the cone density will be significantly less than that in a healthy retina. In vivo multimodal imaging of ORT will allow longitudinal monitoring of disease progression and microscopic in vivo timeline of cone degeneration.

**Limitations and Conclusions**

This study had limitations. The manual delineations of ORT in B-scans may introduce errors. Since there is no validated automatic segmentation software to identify ORT, our study was a first step toward future automation. Subretinal scarring makes generating en face images challenging, since in some retinas ORT cross-sections are not in the same transverse plane. Therefore, it may not be possible to view entire ORT networks in the same en face image. Intensity of the hyper-reflective ORT band was sampled on a linear scale at intervals that could result in oversampling. Additionally, we identified ORT in one flatmount donor retina, and more specimens would certainly be desirable.

Nonetheless, we were able to provide insight into ORT as a network of various shapes and intensity in cross-section, and estimate the number of cones surviving in ORT. Outer retinal tubulation inception and longitudinal dynamics, including specific criteria for detecting and measuring ORT change in clinical SD-OCT scans, are yet to be elucidated. However, these results provide additional insight into the reflectivity and cones of ORT, a distinctive process of neurodegeneration and gliosis, observable in living patients by SD-OCT, as cone photoreceptors persist and disease progresses. Although we studied ORT in AMD, what we learned can inform the understanding, diagnosis, treatment, and prevention of many diseases involving...
ing macular cones that degenerate, such as choroideremia, now the subject of a gene therapy trial.\textsuperscript{37} Future monitoring of ORT could inform the future considerations about neuroprotective strategies.

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