Comparing Type Systems for Deadlock Freedom

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Abstract

Message-passing software systems exhibit non-trivial forms of concurrency and distribution; they are expected to follow intended protocols among communicating services, but also to never "get stuck". This intuitive requirement has been expressed by liveness properties such as progress or (dead)lock freedom and various type systems ensure these properties for concurrent processes. Unfortunately, very little is known about the precise relationship between these type systems and the classes of typed processes they induce.

This paper puts forward the first comparative study of different type systems for message-passing processes that guarantee deadlock freedom. We compare two classes of deadlock-free typed processes, here denoted $\mathcal{L}$ and $\mathcal{K}$. The class $\mathcal{L}$ stands out for its canonicity: it results from Curry-Howard interpretations of classical linear logic propositions as session types. The class $\mathcal{K}$, obtained by encoding session types into Kobayashi's linear types with usages, includes processes not typable in other type systems. We show that $\mathcal{L}$ is strictly included in $\mathcal{K}$, and identify the precise conditions under which they coincide. We also provide two type-preserving translations of processes in $\mathcal{K}$ into processes in $\mathcal{L}$.

Keywords: Concurrency, process calculi, linear types, session types, deadlock freedom, linear logic.

1. Introduction

In this paper, we formally relate different type systems for concurrent processes specified in the $\pi$-calculus [MPW92]. A fundamental model of computation, the $\pi$-calculus stands out for its expressiveness, which enables us to represent and reason about message-passing programs in functional, object-oriented, and distributed paradigms [SW01]. Another distinctive aspect of the $\pi$-calculus is its support for rich type systems that discipline process behavior [San02]. Following Milner’s seminal work on sorting [Mil91], various type systems for the $\pi$-calculus have revealed a rich landscape of models for concurrency with disciplined communication: examples include graph types [Yos96], linear types [KPT96], generic types [IK04], and session types [Hon93, THK94, HVK98]. In the last decade, logical foundations for message-passing concurrency, in the style of Curry-Howard correspondence (“propositions as types”) between linear logic and session types, have been put forward [CP10, Wad12]. By disciplining the use of channels, types for message-passing processes strongly influence their expressiveness. Contrasting different type systems through the classes of well-typed processes that they induce is a central theme in this work.

Our interest is in session-based concurrency, the model of concurrency captured by session types. Session types promote a type-based approach to communication correctness: dialogues between
participants are structured into sessions, basic communication units; descriptions of interaction sequences are then abstracted as session types which are checked against process specifications. In session-based concurrency, types enforce correct communications through different safety and liveness properties. Two basic (and intertwined) correctness properties are communication safety and session fidelity: while the former ensures absence of errors (e.g., communication mismatches), the latter ensures that well-typed processes respect the protocols prescribed by session types.

A very desirable liveness property for safe processes is that they should never “get stuck”. This is the well-known progress property, which asserts that a well-typed term either is a final value or can further reduce [Pie02]. In calculi for concurrency, this property admits several formalizations; two of them are deadlock freedom and lock freedom. Intuitively, deadlock freedom ensures that communications will eventually succeed unless the whole process diverges [Kob06]; lock freedom is a stronger property: it guarantees that communications will eventually succeed, regardless of whether processes diverge, modulo some fairness assumption on the scheduler [Kob02a]. Notice that in the absence of divergent behaviors, deadlock freedom and lock freedom coincide.

Another formalization, which we call here session progress, has been proposed for session-based concurrency [CD10, CDM14]: “a process has session progress if combined with another process providing the corresponding co-actions (a so-called catalyzer), then the composition reduces”. We will say that a process is composable if it can be composed with an appropriate catalyzer for some of its actions, or uncomposable otherwise. Carbone et al. [CDM14] proved that session progress and (dead)lock freedom coincide for uncomposable processes; for composable processes, session progress states potential (dead)lock freedom. We will return to this informal distinction between composable and uncomposable processes below (Definition 2.2 will make it formal).

There is a vast literature on type systems for which typability enforces (dead)lock freedom or session progress—see, e.g., [Kob02a, Kob06, DdY08, CD10, CP10, CPT16, Pad13, VV13, Pad14, GKL14, KL17, DG18, BTP19]. Unfortunately, these sophisticated systems rely on different principles and/or consider different variants of the (session) π-calculus. Also, different papers sometimes use different terminology and notions. As a result, very little is known about the relationship between these type systems. These observations led us to our research questions: How do the type systems for liveness properties relate to each other? What classes of deadlock-free processes do they induce?

This paper presents the first formal comparison between different type systems for the π-calculus that enforce liveness properties related to (dead)lock freedom. More concretely, we tackle the above open questions by comparing \( \mathcal{L} \) and \( \mathcal{K} \), two salient classes of deadlock-free (session) typed processes:

- The class \( \mathcal{L} \) contains session processes that are well-typed under the Curry-Howard correspondence between (classical) linear logic propositions and session types [CP10, CPT16, Wad12]. This suffices, because the type system derived from such a correspondence simultaneously ensures communication safety, session fidelity, and deadlock freedom.

- The class \( \mathcal{K} \) contains session processes that enjoy communication safety and session fidelity (as ensured by the type system of Vasconcelos [Vas12]) as well as satisfy deadlock freedom. This class of processes is defined indirectly, by combining Kobayashi’s type system based on usages [Kob02a, Kob06, Kob07] with encodability results by Dardha et al. [DGS12].

Let us clarify the nature of processes in \( \mathcal{L} \) and \( \mathcal{K} \). As Definition 4.4 formally states, processes in \( \mathcal{L} \) and \( \mathcal{K} \) are typed under some typing context, possibly non empty. As such, these classes contain both composable processes (if the typing context is not empty) and uncomposable processes (otherwise).
Thus, strictly speaking, processes in $L$ and $K$ have session progress (as described above), which is strictly weaker than deadlock freedom, because a process satisfying session progress does not necessarily satisfy deadlock freedom. This is trivially true for composable processes, which need a catalyzer [CDM14]. However, since we shall focus on uncomposable processes, for which session progress and deadlock freedom coincide, we shall refer to $L$ and $K$ as classes of deadlock-free processes.

There are good reasons for investigating $L$ and $K$. On one hand, due to its deep logical foundation, the class $L$ appears to us as the canonical class of deadlock-free session processes, upon which all other classes should be compared. Indeed, this class arguably offers the most principled yardstick for comparisons. On the other hand, the class $K$ integrates session type checking with the sophisticated usage discipline developed by Kobayashi for $\pi$-calculus processes. This indirect approach to deadlock freedom, developed in [CDM14, Dar16], is general: it can capture sessions with subtyping, polymorphism, and higher-order communication. Also, as discussed in [CDM14], $K$ strictly includes classes of session typed processes induced by other type systems for deadlock freedom [DdY08, CD10, Pad13].

Contributions

This paper contributes technical results that, on the one hand, separate the classes $L$ and $K$ by precisely characterizing the fundamental differences between them and, on the other hand, unify these classes by showing how their differences can be overcome to translate processes in $K$ into processes into $L$. More in details:

- To separate $L$ from $K$, we define $\mu K$: a sub-class of $K$ whose definition internalizes the key aspects of the Curry-Howard interpretations of session types. In particular, $\mu K$ adopts the principle of “composition plus hiding”, a distinguishing feature of the interpretations in [CP10, Wad12], by which concurrent cooperation is restricted to the sharing of exactly one session channel.

We show that $L$ and $\mu K$ coincide (Theorem 4.1). This gives us a separation result: there are deadlock-free session processes that cannot be typed by systems derived from the Curry-Howard interpretation of session types [CP10, CPT16, Wad12], but that are admitted as typable by the (indirect) approach of [CDM14, Dar16].

- To unify $L$ and $K$, we define two translations of processes in $K$ into processes in $L$ (Definition 5.4). Intuitively, because the difference between $L$ and $K$ lies in the forms of parallel composition they admit (restricted in $L$, liberal in $K$), it is natural to transform a process in $K$ into another, more parallel process in $L$. In essence, the first translation, denoted $\langle \cdot \rangle$ (Definition 5.4), exploits type information to replace sequential prefixes with representative parallel components; the second translation refines this idea by considering value dependencies, i.e., causal dependencies between independent sessions not captured by types. We detail the first translation, which satisfies type-preservation and operational correspondence properties (Theorems 5.1 and 5.2).

Our separation result is significant as it establishes the precise status of logically motivated systems with respect to previous disciplines, not based on Curry-Howard principles. It also provides a new characterization for linear logic-based processes, leveraging a very different type system for deadlock freedom [Kob06]. Also, our unifying result is insightful because it shows that the differences
between the two classes of processes manifest themselves rather subtly at the level of process syntax, and can be eliminated by appropriately exploiting information at the level of types.

To further illustrate these salient points, consider the process

\[ P \triangleq (\nu xy)(\nu wz)(x(s).w(s) \mid \overline{y}(n),\overline{z}(u)) \]

where, following the syntax of the type system of Vasconcelos [Vas12], we use the restriction \((\nu xy)\) to indicate that \(x\) and \(y\) are the two endpoints of the same channel (and similarly for \((\nu wz)\)). Also, \(n\) denotes a terminated channel. Process \(P\) belongs to the class \(K\). It consists of two processes in parallel, each implementing two separate sessions in sequence; as such, \(P\) does not respect the monolithic structure imposed by “composition plus hiding”. Our separation result is that \(P\) falls outside \(\mu K\), the strict sub-class of \(K\) that adheres to “composition plus hiding”. Now, process \(P\) has an operationally equivalent cousin, the process

\[ Q \triangleq (\nu xy)(\nu wz)(x(s).w(s) \mid \overline{y}(n) \mid \overline{z}(u)) \]

which is structurally equivalent to one that is more parallel and respects “composition plus hiding”:

\[ Q \equiv (\nu wz)((\nu xy)(x(s).w(s) \mid \overline{y}(n)) \mid \overline{z}(u)) \]

Our unifying result is that all processes in \(K\) but outside of \(\mu K\) have these kind of more parallel, operationally equivalent cousins, which respect the “composition plus hiding” principle that characterizes class \(L\).

To our knowledge, our work is the first to formally compare fundamentally distinct type systems for deadlock freedom in message-passing processes. Previous comparisons by Carbone et al. [CDM14] and Caires et al. [CPT16, §6], are informal: they are based on representative “corner cases”, namely examples of deadlock-free session processes typable in one system but not in some other.

Figure 1 summaries the different type systems and ingredients needed to define \(K\) and \(L\).

**Paper Organization**

In § 2 we summarize the session \(\pi\)-calculus and the type system of [Vas12]. In § 3 we present the two typed approaches to deadlock freedom for sessions. § 4 defines the classes \(L\) and \(K\), the strict sub-class \(\mu K\), and shows that \(L = \mu K\) (Theorem 4.1). § 5 presents our first translation of \(K\) into \(L\) and establishes its correctness properties. The optimization with value dependencies is discussed in §5.3. Enforcing deadlock freedom by typing is already challenging for processes without constructs such as recursion or replication. For this reason, here we concentrate on finite processes; in §6 we discuss the case of processes with unbounded behavior (with constructs such as replication and recursion) and connections with other logical interpretations of session types. §7 compares with related works and §8 collects some concluding remarks. Omitted technical details are included in the appendices.

This paper is a revised version of the workshop paper [DP15], extended with new material: we present full technical details and additional examples not presented in [DP15]. Also, we present updated comparisons with related works. The separation result based on the coincidence with the sub-class \(\mu K\), given in §4, is new. Moreover, the first translation, presented in [DP15] and given in §5, has been substantially simplified and its correctness properties have been refined. The content of §6 is also original to this presentation.
2. Session π-calculus

We present the session π-calculus and its corresponding type system: the linear fragment of the type system by Vasconcelos [Vas12], which ensures communication safety and session fidelity (but not progress nor deadlock freedom). Below we follow the definitions and results from [Vas12], pointing out differences where appropriate.

2.1. Process Model

Let \( P, Q, \ldots \) range over processes, \( x, y, \ldots \) over channel names (or session endpoints), and \( v, v', \ldots \) over values; for simplicity, the sets of values and channels coincide. In examples, we use \( n \) to denote a terminated channel that cannot be further used.

We briefly comment on the syntax of processes, given in Figure 2 (upper part). The main difference with respect to the syntax in [Vas12] is that we do not consider boolean values nor conditional processes (if-then-else). Process \( \pi(v).P \) denotes the output of \( v \) along \( x \), with continuation \( P \). Dually, process \( x(y).P \) denotes an input along \( x \) with continuation \( P \), with \( y \) denoting a placeholder. Rather than the non-deterministic choice of the untyped π-calculus [MPW92], the session π-calculus includes operators for (deterministic) internal and external labelled choices, denoted \( x \triangleleft l_j.P \) and \( x \triangleright \{l_i : P_i\}_{i \in I} \), respectively. Process \( x \triangleleft l_j.P \) uses \( x \) to select \( l_j \) from a labelled choice process \( x \triangleright \{l_i : P_i\}_{i \in I} \), so as to trigger \( P_j \); labels are indexed by the finite set \( I \) and are pairwise distinct. We also have the inactive process (denoted \( 0 \)), the parallel composition of \( P \) and \( Q \) (denoted \( P \parallel Q \)), and the double restriction operator, noted \( \nu \{x y\} P \): the intention is that \( x \) and \( y \) denote dual session endpoints with scope \( P \). We omit \( 0 \) whenever possible and write, e.g., \( \pi(n) \) instead of \( \pi\{n\}.0 \). We will write \( P \) to denote the session π-calculus processes generated by the grammar in Figure 2.

Notions of free and bound names in processes are exactly as in [Vas12]. That is, name \( y \) is bound in \( x(y).P \) and names \( x \) and \( y \) are bound in \( \nu \{x y\} P \). A name that does not occur bound within a process is said to be free; the set of free names of \( P \) is denoted \( \text{fn}(P) \). We write \( P[v/z] \) to...
\[ P, Q ::= \pi\langle v \rangle.P \quad \text{(output)} \quad \mid 0 \quad \text{(inaction)} \]
\[ x(y).P \quad \text{(input)} \quad \mid P \mid Q \quad \text{(composition)} \]
\[ x\triangleleft_{l_j}.P \quad \text{(selection)} \quad \mid (\nu_{xy})P \quad \text{(session restriction)} \]
\[ x \triangleright \{ l_i : P_i \}_{i \in I} \quad \text{(branching)} \]
\[ v ::= x \quad \text{(channel)} \]

\[(\text{R-Com}) \quad (\nu_{xy})(\pi\langle v \rangle.P \mid y(z).Q) \rightarrow (\nu_{xy})(P \mid Q[z/v])\]
\[(\text{R-Case}) \quad (\nu_{xy})(x \triangleleft_{l_j}.P \mid y \triangleright \{ l_i : P_i \}_{i \in I}) \rightarrow (\nu_{xy})(P \mid P_j) \quad j \in I\]
\[(\text{R-Par}) \quad P \rightarrow Q \implies P \mid R \rightarrow Q \mid R\]
\[(\text{R-Res}) \quad P \rightarrow Q \implies (\nu_{xy})P \rightarrow (\nu_{xy})Q\]
\[(\text{R-Str}) \quad P \equiv P', \ P \rightarrow Q, \ Q' \equiv Q \implies P' \rightarrow Q'\]

Figure 2: The session \(\pi\)-calculus: syntax (top) and semantics (bottom).

denote the (capture-avoiding) substitution of free occurrences of \(z\) in \(P\) with \(v\). Finally, we follow Barendregt's variable convention, whereby all names in binding occurrences in any mathematical context are pairwise distinct and also distinct from the free names.

The operational semantics is given in terms of a reduction relation, noted \(P \rightarrow Q\), and defined by the rules in Figure 2 (lower part). Reduction relies on a standard notion of structural congruence, noted \(\equiv\), defined by the following axioms:

\[ P \equiv Q \mid P \quad (P \mid Q) \equiv P \mid (Q \mid R) \quad P \mid 0 \equiv P \]
\[ (\nu_{xy})P \mid Q \equiv (\nu_{xy})(P \mid Q) \quad (\nu_{xy})0 \equiv 0 \quad (\nu_{wx})(\nu_{yz})P \equiv (\nu_{yz})(\nu_{wx})P\]

Key rules in Figure 2 are \((\text{R-Com})\) and \((\text{R-Case})\), denoting the interaction of output/input prefixes and selection/branching constructs, respectively. Observe that interaction involves prefixes with different channels (endpoints), and always occurs in the context of an outermost double restriction. Rules \((\text{R-Par})\), \((\text{R-Res})\), and \((\text{R-Str})\) are standard [Vas12]. We write \(\rightarrow^*\) to denote the reflexive, transitive closure of \(\rightarrow\).

2.2. Type System

The syntax of session types, ranged over \(T, S, \ldots\), is given by the following grammar.

\[ T, S ::= S.T \mid !T.S \mid \&\{ l_i : S_i \}_{i \in I} \mid \oplus \{ l_i : S_i \}_{i \in I} \mid \text{end} \]

The type \(?T.S\) is assigned to an endpoint that first receives a value of type \(T\) and then continues according to the protocol described by \(S\). Dually, type \(!T.S\) is assigned to an endpoint that first outputs a value of type \(T\) and then continues according to the protocol described by \(S\). Type \(\&\{ l_i : S_i \}_{i \in I}\) is used for external choices, and generalises input types; dually, type \(\oplus\{ l_i : S_i \}_{i \in I}\) is used for internal choices, and generalises output types. Finally, \(\text{end}\) is the type of an endpoint with a terminated protocol. Notice that session types describe protocols as sequences of actions; they do not admit parallel operators.
With respect to the syntax of types in [Vas12], we only consider channel endpoint types (no ground types such as \texttt{bool}). Also, types in the system in [Vas12] can be qualified as either linear or unrestricted. Our session types are linear—the only unrestricted session type is \texttt{end}. Focusing on linear types suffices for our purposes, and leads to simplifications in typing rules and auxiliary notions, such as well-formedness (see below).

A central notion in session-based concurrency is \textit{duality}, which relates session types offering opposite (i.e., complementary) behaviors; it stands at the basis of communication safety and session fidelity. Given a session type \( T \), its dual type \( \mathit{dual}(T) \) is defined as follows:

\[
\begin{align*}
\mathit{end} &
\triangleq \mathit{end} \\
\mathit{dual}(T) &
\triangleq \mathit{dual}(T) \\
\mathit{dual}(?T.S) &
\triangleq \mathit{dual}(?!T.S) \\
\mathit{dual}(\oplus\{l_i:S_i\}_{i\in I}) &
\triangleq \mathit{dual}(\&\{l_i:S_i\}_{i\in I}) \\
\mathit{dual}(\&\{l_i:S_i\}_{i\in I}) &
\triangleq \mathit{dual}(\oplus\{l_i:S_i\}_{i\in I})
\end{align*}
\]

Typing contexts, ranged over by \( \Gamma, \Gamma' \), are produced by the following grammar:

\[
\Gamma, \Gamma' ::= \emptyset \mid \Gamma, x : T
\]

where \( \emptyset \) denotes the empty context. We standardly require the variables appearing in a context to be pairwise distinct. It is often convenient to treat typing contexts as sets of typing assignments \( x : T \). This way, e.g., we write \( x : T \in \Gamma \) if \( \Gamma = \Gamma' \), \( x : T \), for some \( \Gamma' \). We write \( \text{un}(\Gamma) \) if and only if \( x : T \in \Gamma \) implies \( T = \mathit{end} \). We sometimes write \( \text{un}^{\text{in}}(\Gamma) \) to indicate that \( \text{un}(\Gamma) \). Given a context \( \Gamma \) and a process \( P \), a session typing judgment is of the form \( \Gamma \vdash \mathit{ST} P \). If \( \Gamma \) is empty, we write \( \vdash \mathit{ST} P \).

Typing rules are given in Figure 3. Rule (\text{T-Nil}) states that \( \emptyset \) is only well-typed under a fully terminated context. Rule (\text{T-Par}) types the parallel composition of two processes by composing their corresponding typing contexts.

\footnote{In the presence of unrestricted types, as given in [Vas12], Rule (\text{T-Par}) requires a \textit{splitting operator}, noted \( \circ \) in [Vas12]. However, since we consider only linear session types, the \( \circ \) operator boils down to \( \cdot \).} Rule (\text{T-Res}) types a restricted process by requiring that the
two endpoints have dual types. Rules (T-In) and (T-Out) type the receiving and sending of a value over a channel \(x\), respectively. Finally, Rules (T-Brch) and (T-Sel) are generalizations of input and output over a labelled set of processes.

The main guarantees of the type system in [Vas12] are \textit{communication safety} and \textit{session fidelity}, i.e., typed processes respect their ascribed protocols, as represented by session types. We have the following results:

**Theorem 2.1** (Strengthening – Lemma 7.3 in [Vas12]). Let \(\Gamma \vdash_{ST} P\) and \(x \notin \text{fn}(P)\). If \(\Gamma = \Gamma', x : T\) then \(\Gamma' \vdash_{ST} P\).

**Theorem 2.2** (Preservation for \(\equiv\) – Lemma 7.4 in [Vas12]). If \(\Gamma \vdash_{ST} P\) and \(P \equiv Q\), then \(\Gamma \vdash_{ST} Q\).

**Theorem 2.3** (Preservation – Theorem 7.2 in [Vas12]). If \(\Gamma \vdash_{ST} P\) and \(P \rightarrow Q\), then \(\Gamma \vdash_{ST} Q\).

Following [Vas12], we say that processes of the form \(x\langle v \rangle.P\), \(x(z).Q\), \(x\triangleright l.j.P\), and \(x\triangleleft l\{i : P_i\}_i\) are prefixed at \(x\). We call redexes processes of the form \(\pi(v).P \mid y(z).Q\) and \(x\triangleleft l.j.P \mid y\triangleright \{i : P_i\}_i\).

The following notion of well-formedness, a specialization of the definition in [Vas12], is key to single out meaningful typed processes:

**Definition 2.1** (Well-Formedness). A process is \textit{well-formed} if for each of its structural congruent processes of the form \((\nu x_1 y_1) \ldots (\nu x_n y_n)(P \mid Q \mid R)\) the following condition holds.

- If \(P\) is prefixed at \(x_1\) and \(Q\) is prefixed at \(y_1\) then \(P \mid Q\) is a redex.

We have the following result:

**Theorem 2.4** (Safety – Theorem 7.3 in [Vas12]). If \(\vdash_{ST} P\) then \(P\) is well-formed.

Therefore, if \(\vdash_{ST} P\) and \(P\) reduces to \(Q\) in zero or more steps, then \(Q\) is well-formed; this is Theorem 7.1 in [Vas12].

We close by introducing some useful terminology:

**Definition 2.2** ((Un)Composable Processes). Let \(\Gamma \vdash_{ST} P\). If \(\Gamma\) is empty, we say that \(P\) is \textit{uncomposable}; otherwise, if \(\Gamma\) is non-empty, we say \(P\) is \textit{composable}.

We use the adjectives composable and uncomposable to distinguish typable processes depending on their associated typing context. Note that the adjectives open and closed have their usual meaning, associated to the free names of a process, possibly untyped. As an example, process \(0\) is a closed process that can be typed under a non-empty typing context, and so it is composable.

2.3. Deadlock Freedom

As already motivated, a desirable liveness property for session \(\pi\)-calculus processes is that they should never “get stuck”. Unfortunately, the session type system given in [Vas12] (and summarized above) does not exclude deadlocked processes. Intuitively, this is because typed processes may contain cyclic causal dependencies enforced by communication prefixes in processes but not described by their session types. Indeed, a particularly insidious class of deadlocks is due to cyclic interleaving of channels in processes, as illustrated by following example.

**Example 2.1** (A Deadlocked Process). Process \(P \triangleq (\nu xy)(\nu wz)(\pi(n).\pi(n) \mid z(t), y(s))\) represents the implementation of two independent sessions, \(xy\) and \(wz\), which get intertwined (blocked) due to the nesting induced by input and output prefixes. Process \(P\) is well-typed in [Vas12] under \(n : \text{end} \vdash_{ST} P\), even if \(P\) is unable to reduce.
Below we define deadlock freedom in the session $\pi$-calculus by following [KL17, Def. 5.2]:

**Definition 2.3** (Deadlock Freedom). A process $P$ is deadlock-free if the following condition holds:

whenever $P \rightarrow^* P'$ and one of the following holds

- $P' \equiv (\nu \tilde{xy})(x \langle v \rangle.Q_1 \mid Q_2)$
- $P' \equiv (\nu \tilde{xy})(y.Q_1 \mid Q_2)$
- $P' \equiv (\nu \tilde{xy})(x \leftarrow l_j.Q_1 \mid Q_2)$
- $P' \equiv (\nu \tilde{xy})(x \triangleright \{ l_i : P_i \}_{i \in I} \mid Q)$

then there exists $R$ such that $P' \rightarrow R$.

**Remark 1** (Defining Deadlock Freedom). Definition 2.3 is closely related to the definition of deadlock and deadlock freedom in [Kob02a] (Definition 2.4), which states that a process $P$ is in deadlock if it reaches one of the first two items stated in Definition 2.3 and cannot reduce from there. Then, a process $P$ defined as deadlock-free if it never reduces to a deadlocked process. We shall be following the type system in [Kob06], where the notion of deadlock freedom is defined informally: a process is deadlock-free if when “given a request, it will eventually returns a result unless the process diverges”.

**Example 2.2** (A Deadlock-Free Process). It is easy to see that process $P$ from Example 2.1 is not deadlock-free as per Definition 2.3. A deadlock-free variant of process $P$ would be $P' \equiv (\nu \tilde{xy})(\nu \tilde{wz})(\pi(n).P) \mid y(s).z(t))$, which also is typable: $n : \mathbf{end} \models_{ST} P'$. Observe how the difference between $P$ and $P'$ is in the parallel component on the right-hand side: the two input prefixes have been swapped.

3. Two Approaches to Deadlock Freedom

We introduce two approaches to typing deadlock-free processes. The first comes from interpretations of linear logic propositions as session types [CP10, CPT16, Wad12] (§3.1). The second approach exploits encodings of session processes and types [DGS12] into the linear types with usages for the $\pi$-calculus (§3.2). Based on these approaches, in §4 we will formally define the classes $\mathcal{L}$ and $\mathcal{K}$ mentioned in the introduction.

### 3.1. Linear Logic Foundations of Session Types

The linear logic interpretation of session types was introduced by Caires and Pfenning [CP10, CPT16], and developed by Wadler [Wad12] and others. Here we consider an interpretation based on classical linear logic (CLL) with mix principles, following [Cai14, CP17].

The syntax and semantics of processes are as in §2 with the following differences. First, we have a single restriction construct $(\nu x)P$ instead of the double restriction $(\nu xy)P$. Second, we have a forwarding process, denoted $[x \leftrightarrow y]$, which intuitively “fuses” or “links” channels/names $x$ and $y$. More formally, we have:

$$P, Q ::= \pi(v).P \mid x(y).P \mid x \leftarrow l_j.P \mid x \triangleright \{ l_i : P_i \}_{i \in I} \mid (\nu x)P \mid [x \leftrightarrow y] \mid P \mid Q \mid \mathbf{0}$$

In what follows, the bound output $(\nu y)\pi(y).P$ will be abbreviated as $\pi(y)P$. Also, we write $(\nu \tilde{x})P$ to abbreviate $(\nu x_1)\ldots(\nu x_n)P$. 

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Differences in the reduction rules are summarized in Figure 4. In particular, observe how interaction of input/output prefixes and of selection/branching constructs is no longer covered by an outermost restriction.

As for the type system, we consider the so-called linear logic types which correspond to linear logic propositions (without exponentials). They are given by the following grammar:

$$A, B ::= \bot \mid 1 \mid A \otimes B \mid A \bowtie B \mid \&\{l_i : A_i\}_{i \in I} \mid \oplus\{l_i : A_i\}_{i \in I}$$

Intuitively, $\bot$ and $1$ are used to type a terminated endpoint. Type $A \otimes B$ is associated to an endpoint that first outputs an object of type $A$ and then behaves according to $B$. Dually, type $A \bowtie B$ is the type of an endpoint that first inputs an object of type $A$ and then continues as $B$. The interpretation of $\&\{l_i : A_i\}_{i \in I}$ and $\oplus\{l_i : A_i\}_{i \in I}$ as types for branching and selection behaviors is precisely as in session types (cf. §2.2).

A full duality on linear logic types corresponds to the negation operator of $\text{CLL} (\cdot)^\bot$. The dual of type $A$, denoted $\overline{A}$, is inductively defined as follows:

$$
\begin{align*}
\overline{1} & \triangleq \bot \\
\overline{\bot} & \triangleq 1 \\
\overline{A \otimes B} & \triangleq \overline{A} \bowtie \overline{B} \\
\overline{A \bowtie B} & \triangleq \overline{A} \otimes \overline{B} \\
\overline{\&\{l_i : A_i\}_{i \in I}} & \triangleq \oplus\{l_i : \overline{A_i}\}_{i \in I} \\
\overline{\oplus\{l_i : A_i\}_{i \in I}} & \triangleq \&\{l_i : \overline{A_i}\}_{i \in I}
\end{align*}
$$

Recall that $A \rightarrow B \triangleq A \bowtie B$. As explained in [Cai14], considering mix principles means admitting $\bot \rightarrow 1$ and $1 \rightarrow \bot$, and therefore $\bot = 1$. We write $\bullet$ to denote either $\bot$ or $1$, and therefore $\overline{\bullet} = \bullet$. That is, we consider the conflation of dual types $\bot$ and $1$ as explored by Atkey et al. [ALM16].

Typing contexts, ranged over $\Delta, \Delta', \ldots$, are produced by the following grammar:

$$\Delta, \Delta' ::= \cdot \mid \Delta, x : A$$

where $\cdot$ denotes the empty typing context.

Typing judgments are of the form $P \vdash_{\text{LL}} \Delta$. Figure 5 gives the corresponding typing rules. One salient point is Rule (T-cut), which types two processes that have exactly one channel of dual type in common ($x$ in the rule) by composing them in parallel and immediately restricting this common channel. This implements the “composition plus hiding” principle, which monolithically integrates parallel composition and restriction in a single rule. Indeed, unlike the system in [Vas12], there is no dedicated rule for restriction, which also appears in the bound output induced by Rule (T-⊗). Also,
(T-1) \[0 \vdash_{\text{LL}} x : \bullet\]

(T-0) \[P \vdash_{\text{LL}} \Delta \quad \frac{\vdash_{\text{LL}} x : \bullet, \Delta}{P \vdash_{\text{LL}} x : \bullet, \Delta} \]

(T-id) \[\frac{\nu x (P \parallel Q) \vdash_{\text{LL}} \Delta, \Delta'}{\nu x (P \parallel Q) \vdash_{\text{LL}} \Delta, \Delta'}\]

(T-⊥) \[\frac{P \vdash_{\text{LL}} \Delta, y:A, x:B}{x(y).P \vdash_{\text{LL}} \Delta, x:A \otimes B} \]

(T-⊗) \[\frac{P \vdash_{\text{LL}} \Delta, y:A \quad Q \vdash_{\text{LL}} \Delta', x:B}{(x(y).(P \parallel Q)) \vdash_{\text{LL}} \Delta, \Delta', x:A \otimes B} \]

(T-⊕) \[\frac{P \vdash_{\text{LL}} \Delta, x:A \quad j \in I}{x \triangleq l_j, P \vdash_{\text{LL}} \Delta, x : \{l : A_i\} \in I} \]

(T-⊗) \[\frac{P \vdash_{\text{LL}} \Delta, x:A \quad Q \vdash_{\text{LL}} \Delta', x:A}{Q \vdash_{\text{LL}} \Delta, \Delta'} \]

(T-⊙) \[\frac{P \vdash_{\text{LL}} \Delta, y:A \quad Q \vdash_{\text{LL}} \Delta', x:B}{Q \vdash_{\text{LL}} \Delta, \Delta', x:A \otimes B} \]

(T-⊕) \[\frac{P \vdash_{\text{LL}} \Delta, x:A \quad j \in I}{x \triangleq l_j, P \vdash_{\text{LL}} \Delta, x : \{l : A_i\} \in I} \]

(T-⊗) \[\frac{P \vdash_{\text{LL}} \Delta, y:A \quad Q \vdash_{\text{LL}} \Delta', x:B}{(x(y).(P \parallel Q)) \vdash_{\text{LL}} \Delta, \Delta', x:A \otimes B} \]

(T-⊕) \[\frac{P \vdash_{\text{LL}} \Delta, x:A \quad j \in I}{x \triangleq l_j, P \vdash_{\text{LL}} \Delta, x : \{l : A_i\} \in I} \]

(T-⊗) \[\frac{P \vdash_{\text{LL}} \Delta, y:A \quad Q \vdash_{\text{LL}} \Delta', x:B}{Q \vdash_{\text{LL}} \Delta, \Delta', x:A \otimes B} \]

Figure 5: Typing rules for the π-calculus with linear logic types.

Rule (T-mix) conveniently enables to type the independent parallel composition of processes, i.e., the composition of two processes that do not share any sessions. (This is referred to as communication-free concurrency in [ALM16].) Following Definition 2.2, we say that process \(P \vdash_{\text{LL}} \Delta\) is composable if \(\Delta \neq \cdot\) and uncomposable otherwise.

We now collect main results for this type system; see [CPT16, Cai14, CP17] for details. We first state type preservation:

**Theorem 3.1 (Type Preservation).** If \(P \vdash_{\text{LL}} \Delta\) and \(P \rightarrow Q\) then \(Q \vdash_{\text{LL}} \Delta\).

We now state deadlock freedom. For any \(P\), define \(\text{live}(P)\) if and only if \(P \equiv (\nu \tilde{n})(\pi.Q \parallel R)\), where \(\pi\) is an input, output, selection, or branching prefix.

**Theorem 3.2 (Deadlock Freedom).** If \(P \vdash_{\text{LL}} \cdot\) and \(\text{live}(P)\) then \(P \rightarrow Q\), for some \(Q\).

3.2. Deadlock Freedom by Encodability

The second approach to deadlock-free session processes is indirect, in that establishing deadlock freedom for session processes appeals to encodings into a dyadic π-calculus whose type system enforces deadlock freedom by exploiting usages, obligations, and capabilities [Kob02a, Kob06].

We follow closely the definitions and results in [Kob06]. Next, we introduce the syntax of the (dyadic) π-calculus (§3.2.1), types with usages (§3.2.2), typing rules (§3.2.2), and finally the technical results leading to deadlock freedom (§3.2.3). The encodings of session processes into dyadic processes and of session types into types with usages are given in §3.2.4.
3.2.1. The Dyadic π-calculus
Syntax. The syntax of the dyadic π-calculus is as follows:

\[
\begin{align*}
  v &::= x & \text{(channel)} & & l_j.v & \text{(variant value)} \\
  P,Q &::= \pi(v).P & \text{(output)} & & 0 & \text{(inaction)} \\
  &\mid x(z).P & \text{(input)} & & P \mid Q & \text{(composition)} \\
  \text{case } v \text{ of } \{l_i.x_i \triangleright P_i\}_{i \in I} & \text{(case)} & (\nu x)P & \text{(session restriction)}
\end{align*}
\]

We discuss differences with respect to §2 and [Kob06]:

- While the syntax of processes given in §2 (and in [Kob06]) supports monadic communication, we consider dyadic communication: an output prefix involves a tuple of values \(v_1, v_2\) and an input prefix involves a tuple of variables \(z_1, z_2\). For the sake of notational uniformity, we write \(\bar{v}\) and \(\bar{z}\) to stand for \(v_1, v_2\) and \(z_1, z_2\), respectively. Dyadic communication is convenient, as the encoding of session processes into “standard” π-calculus processes [DGS12] requires transmitting tuples whose length is at most two.

- While in [Kob06] input and output prefixes are annotated with a capability annotation \(t \in \mathbb{N} \cup \infty\), we omit such annotations to enhance clarity.

- Rather than the branching and selection constructs in §2 (and the if-then-else process considered in [Kob06]), in the dyadic π-calculus presented above we have the case construct

\[
\text{case } v \text{ of } \{l_i.x_i \triangleright P_i\}_{i \in I}
\]

which uses the variant value \(l_j.v\) [SW01].

- We do not consider the let construct and replication processes in [Kob06].

- In line with §3.1 and [Kob06] (but differently from §2) we use \((\nu x)P\) as restriction operator.

As before, we write \((\nu \bar{v})P\) to denote the process \((\nu x_1) \cdots (\nu x_n)P\). Notions of free and bound names are as usual; we write \(\text{fn}(P)\) to denote the set of free names of \(P\).

Reduction Semantics. Following [Kob06], the reduction semantics of dyadic π-calculus processes relies on the structural relation \(\preceq\):

**Definition 3.1** (Def A2 in [Kob06]). The structural relation \(\preceq\) is the least reflexive and transitive relation closed under the following rules (where \(P \equiv Q\) denotes \((P \preceq Q) \land (Q \preceq P)):

\[
\begin{align*}
  P \mid Q &\equiv Q \mid P & (P \mid Q) \mid R &\equiv P \mid (Q \mid R) \\
  P \mid 0 &\equiv P & (\nu x)P \mid Q &\equiv (\nu x)(P \mid Q) \quad \text{if } x \text{ is not free in } Q \\
  (\nu x)0 &\equiv 0 & (\nu x)(\nu y)P &\equiv (\nu y)(\nu x)P \\
  P \preceq Q &\implies P \mid R \preceq Q \mid R & P \preceq Q &\implies (\nu x)P \preceq (\nu x)Q
\end{align*}
\]

Thus, \(P \preceq Q\) intuitively means that \(P\) can be restructured into \(Q\) by using the above rules. We shall refer to \(\equiv\) as structural equivalence. The reduction rules are then as follows:

\[
\begin{align*}
  \text{(Rπ-Com)} &\quad \pi(\bar{v}).P \mid x(\bar{z}).Q \rightarrow P \mid Q[\bar{v}/\bar{z}] \\
  \text{(Rπ-Case)} &\quad \text{case } l_j.v \text{ of } \{l_i.x_i \triangleright P_i\}_{i \in I} \rightarrow P_j[\bar{v}/x_j] \quad j \in I \\
  \text{(Rπ-Par)} &\quad P \rightarrow Q \implies P \mid R \rightarrow Q \mid R \\
  \text{(Rπ-Res)} &\quad P \rightarrow Q \implies (\nu x)P \rightarrow (\nu x)Q \\
  \text{(Rπ-Str)} &\quad P \preceq P', P \rightarrow Q, Q' \preceq Q \implies P' \rightarrow Q'
\end{align*}
\]

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Rules are self-explanatory. We only discuss Rule $(\pi-\text{Case})$, which is the main difference with respect to the reduction semantics in [Kob06]. Note that by using the variant value $l_j.v$, this rule simultaneously selects $P_j$ and induces the substitution $[v/x_j]$. This is different from Rule $(\pi-\text{Case})$ for selection and branching (cf. Figure 2), which selects a branch but does not involve a communication. As before, we write $\rightarrow^*$ to denote the reflexive, transitive closure of $\rightarrow$.

Since the definition of deadlock freedom in [Kob06] is only informal (cf. Remark 1), we shall adopt the following definition, which mirrors Definition 2.3:

**Definition 3.2** (Deadlock Freedom). A process $P$ is deadlock-free if the following condition holds: whenever $P \rightarrow^* P'$ and one of the following holds

- $P' \equiv (\nu\bar{x})(\overline{\pi(\bar{v})}.Q_1 | Q_2)$
- $P' \equiv (\nu\bar{x})(\bar{x}(\bar{y}).Q_1 | Q_2)$
- $P' \equiv (\nu\bar{x})(\text{case} l_j.v \text{ of } \{ l_i.x_i \triangleright P_i \}_{i \in I} | Q)$

then there exists $R$ such that $P' \rightarrow R$.

### 3.2.2. Types with Usages

The type system for deadlock freedom in [Kob06] exploits types with usages. Usages rely on obligations and capabilities, which are endowed with levels to describe inter-channel dependencies:

- An obligation of level $n$ must be fulfilled by using only capabilities of level less than $n$. Said differently, an action of obligation $n$ may be prefixed by actions of capabilities less than $n$.
- For an action with capability of level $n$, there must exist a co-action with obligation of level less than $n$ or equal to $n$.

We shall rely on usages as defined next, a strict subset of those defined in [Kob06].

**Definition 3.3** (Usages). The syntax of usages $U, U', \ldots$ is defined by the following grammar:

$$U ::= 0 \quad \text{(not usable)}$$
$$\quad | \ ?_\alpha U \quad \text{(used in input)}$$
$$\quad | \ !_\alpha U \quad \text{(used in output)}$$
$$\quad | \ (U_1 | U_2) \quad \text{(used in parallel)}$$
$$\quad | \ \uparrow^t U \quad \text{(lift obligation levels of } U \text{ up to } t)$$

where the obligation $\circ$ and the capability $\kappa$ range over the set $\mathbb{N} \cup \infty$. We shall refer to usages generated with the first three productions above (not usable, input, output) as sequential usages.

Usage $0$ describes a channel that cannot be used at all. A usage $?_\alpha U$ (resp. $!_\alpha U$) is associated to a channel that can be used once for input (resp. output) and then according to usage $U$. The usage $U_1 | U_2$ can be associated to a channel that is used according to $U_1$ and $U_2$, possibly in parallel. The usage $\uparrow^t U$ acts as an operator that lifts the obligation levels in $U$ up to $t$. We let $\alpha$ range over ‘?’ and ‘!’.

**Notation 3.1** (Co-actions). We write $\overline{\pi}$ to denote the co-action of $\alpha$, i.e., $! = ?$ and $? = !$. 

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We rely on a number of auxiliary definitions for usages; they all follow [Kob06]:

**Definition 3.4 (Capabilities and Obligations).** Let $U$ be a usage. The input and output capability levels (resp. obligation levels) of $U$, written $\text{cap}_i(U)$ and $\text{cap}_o(U)$ (resp. $\text{ob}_i(U)$ and $\text{ob}_o(U)$), are defined as:

\[
\begin{aligned}
\text{cap}_o(0) & \triangleq \infty & \text{ob}_o(0) & \triangleq \infty \\
\text{cap}_o(\pi_k^o,U) & \triangleq \infty & \text{ob}_o(\pi_k^o,U) & \triangleq \infty \\
\text{cap}_o(\alpha_k^o,U) & \triangleq \kappa & \text{ob}_o(\alpha_k^o,U) & \triangleq 0 \\
\text{cap}_o(U_1 | U_2) & \triangleq \min(\text{cap}_o(U_1), \text{cap}_o(U_2)) & \text{ob}_o(U_1 | U_2) & \triangleq \min(\text{ob}_o(U_1), \text{ob}_o(U_2)) \\
\text{cap}_o(\uparrow^t U) & \triangleq \text{cap}_o(U) & \text{ob}_o(\uparrow^t U) & \triangleq \max(t, \text{ob}_o(U))
\end{aligned}
\]

We write $\text{ob}(U)$ for $\max(\text{ob}_i(U), \text{ob}_o(U))$.

The reduction relation on usages, noted $U \rightarrow U'$, intuitively says that if a channel with usage $U$ is used for communication then it should be used according to $U'$ afterwards. It relies on an auxiliary structural relation on usages.

**Definition 3.5 (Structural relation on usages [Kob06]).** Let $\preceq$ be the least reflexive and transitive relation on usages defined by the following rules:

\[
\begin{align*}
U_1 | U_2 \preceq U_2 | U_1 & \quad \uparrow^t (U_1 | U_2) \preceq (\uparrow^t U_1) | (\uparrow^t U_2) & \quad (U_1 | U_2) | U_3 \preceq U_1 | (U_2 | U_3) \\
U_1 \preceq U_1' \land U_2 \preceq U_2' & \implies U_1 | U_1' \preceq U_2 | U_2' \quad \uparrow^t \alpha^o_k U \preceq \alpha^o_k \max(\kappa).U & \quad U \preceq U' \implies \uparrow^t U \preceq \uparrow^t U'
\end{align*}
\]

**Definition 3.6.** The reduction relation $\rightarrow$ on usages is the smallest relation closed under the following rules:

\[
\begin{align*}
(\text{U-Com}) & \quad ?^o_k.U_1 | !^o_k.U_2 \rightarrow U_1 | U_2 \\
(\text{U-Par}) & \quad U \rightarrow U' \implies U | U'' \rightarrow U' | U'' \\
(\text{U-SubStruct}) & \quad U \preceq U_1, U_1 \rightarrow U_2, U_2 \preceq U' \implies U \rightarrow U'
\end{align*}
\]

The reflexive, transitive closure of $\rightarrow$ (written $\rightarrow^*$) is defined as expected.

The following key definition ensures that if some action has a capability of level $n$ then the obligation level of its co-actions should be at most $n$.

**Definition 3.7 (Reliability).** Let $\alpha$ and $\pi$ be co-actions (cf. Notation 3.1). We write $\text{con}_\alpha(U)$ when $\text{ob}_o(U) \leq \text{cap}_o(U)$. We write $\text{con}_\pi(U)$ when $\text{con}_\pi(U)$ and $\text{con}_\pi(U)$ hold. Usage $U$ is reliable, noted $\text{rel}(U)$, if $\text{con}(U)$ holds for all $U'$ such that $U \rightarrow^* U'$.

**Example 3.1.** We illustrate reliability and obligation/capability levels. Consider the usage $U = ?^o_{\kappa_1}.0 | !^o_{\kappa_2}.0$. We establish the conditions required for $\text{rel}(U)$ to hold. This in turn requires determining a few ingredients:

- $\text{ob}_i(U) = \min(\text{ob}_i(\gamma^o_{\kappa_1}.0), \text{ob}_i(\gamma^o_{\kappa_2}.0)) = \min(\infty, o_2) = o_2$
- $\text{cap}_i(U) = \min(\text{cap}_i(\gamma^o_{\kappa_1}.0), \text{cap}_i(\gamma^o_{\kappa_2}.0)) = \min(\kappa_1, \infty) = \kappa_1$
- $\text{ob}_o(U) = \min(\text{ob}_o(\gamma^o_{\kappa_1}.0), \text{ob}_o(\gamma^o_{\kappa_2}.0)) = \min(o_1, \infty) = o_1$
- $\text{cap}_o(U) = \min(\text{cap}_o(\gamma^o_{\kappa_1}.0), \text{cap}_o(\gamma^o_{\kappa_2}.0)) = \min(\infty, \kappa_2) = \kappa_2$
This way, $\text{con}^0(U)$ denotes $\kappa_2 \leq \kappa_1$; similarly, $\text{con}^1(U)$ denotes $\kappa_1 \leq \kappa_2$. Then we have that $\text{con}(U)$ holds when both $\kappa_2 \leq \kappa_1$ and $\kappa_1 \leq \kappa_2$ hold. This is indeed the condition needed for $\text{rel}(U)$ to hold; notice that $U \to 0 \mid 0$ is the only reduction possible, and that $\text{con}(0 \mid 0)$ trivially holds. Notice that letting $\kappa_1 = \kappa_2 = \kappa_2 = 0$ suffices for $\text{rel}(U)$ to hold.

Having defined usages (and their associated notions), we move to define types.

**Definition 3.8 (Types with Usages).** The syntax of types $\tau, \tau', \ldots$ builds upon usages as follows:

$$\tau ::= \text{chan}(\bar{\tau}; U) \quad (\text{channel type})$$

$$\langle l_i : \tau_i \rangle_{i \in I} \quad (\text{variant type})$$

Above, $\bar{\tau}$ indicates a sequence of types of length at most two. Type $\text{chan}(\bar{\tau}; U)$ is associated to a channel that behaves according to usage $U$ to exchange a tuple of values with types $\tau_1, \tau_2$. Notice that $\bar{\tau}$ can be empty; in that case, we write $\text{chan}(\_; U)$: a channel with this type behaves according to $U$ without exchanging any values.

Differences with respect to the syntax of types in [Kob06] are: (i) we do not consider Boolean nor product types, and (ii) we consider the variant type $\langle l_i : \tau_i \rangle_{i \in I}$ from [SW01] to denote the disjoint union of labeled types, where labels $l_i$ ($i \in I$) are pairwise distinct. Variant types are essential to encode selection and branching in session types [DGS12].

Typing contexts, ranged over $\Gamma, \Gamma', \ldots$, are produced by the following grammar:

$$\Gamma, \Gamma' ::= \emptyset \mid \Gamma, x : \tau$$

where ‘$\emptyset$’ denotes the empty context. Given a context $\Gamma = x_1 : \tau_1, \ldots, x_n : \tau_n$, we write $\text{dom}(\Gamma)$ to denote its domain, i.e., the set $\{x_1, \ldots, x_n\}$.

Following [Kob06], we use ‘$\prec$’ to denote a partial order that statically tracks the order in which channels are created. That is, $x \prec y$ means that $x$ was created more recently than $y$. Typing judgments are indexed by ‘$\prec$’; they are of the form $\Gamma \vdash \prec \tilde{v}$ (for values) and $\Gamma \vdash \prec P$ (for processes).

Before commenting on the typing rules, given in Figure 6, we present some important auxiliary notions, extracted from [Kob06].

**Definition 3.9 (Auxiliary Operators on Types).** We define the following auxiliary operators:

1. The unary operation $\uparrow^t$ on usages extends to types as follows:

   $$\uparrow^t (\text{chan}(\bar{\tau}; U)) = \text{chan}(\bar{\tau}; \uparrow^t U)$$

2. The composition operation on types, denoted $\mid$, is defined as follows:

   $$\text{chan}(\bar{\tau}; U_1) \mid \text{chan}(\bar{\tau}; U_2) \triangleq \text{chan}(\bar{\tau}; (U_1 \mid U_2))$$

   $$\langle l_i : \tau_i \rangle_{i \in I} \mid \langle l_i : \tau_i \rangle_{i \in I} \triangleq \langle l_i : \tau_i \rangle_{i \in I}$$

The generalisation of $\mid$ to typing contexts, denoted $(\Gamma_1 \mid \Gamma_2)(x)$, is defined as follows:

$$(\Gamma_1 \mid \Gamma_2)(x) = \begin{cases} 
\Gamma_1(x) \mid \Gamma_2(x) & \text{if } x \in \text{dom}(\Gamma_1) \cap \text{dom}(\Gamma_2) \\
\Gamma_1(x) & \text{if } x \in \text{dom}(\Gamma_1) \setminus \text{dom}(\Gamma_2) \\
\Gamma_2(x) & \text{if } x \in \text{dom}(\Gamma_2) \setminus \text{dom}(\Gamma_1) 
\end{cases}$$

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3. The operator ":\_\_\_\_" combines a type assignment \( x : \text{chan}(\overline{\tau} ; \alpha^n_x) \) and a context \( \Gamma \) into a new context. Precisely, \( x : \text{chan}(\overline{\tau} ; \alpha^n_x) ; \_\_\_\_ \Gamma \) represents the context \( \Gamma' \), defined as follows:

\[
\begin{align*}
dom(\Gamma') & \equiv \{ x \} \cup \dom(\Gamma) \\
\Gamma'(x) & \equiv \begin{cases} 
\text{chan}(\overline{\tau} ; \alpha^n_x, U) & \text{if } \Gamma(x) = \text{chan}(\overline{\tau} ; U) \\
\text{chan}(\overline{\tau} ; \alpha^n_x) & \text{if } x \notin \dom(\Gamma)
\end{cases} \\
\Gamma'(y) & \equiv \begin{cases} 
\uparrow^{\kappa}\Gamma(y) & \text{if } y \neq x \wedge x \prec y \\
\uparrow^{\kappa+1}\Gamma(y) & \text{if } y \neq x \wedge x \nprec y
\end{cases}
\end{align*}
\]

The typing rules for values and processes are given in Figure 6. All rules are as in [Kob06], except for the new rules (Tπ-LVal) and (Tπ-Case), which type a choice: the former types a variant value with a variant type; the latter types a case process using a variant value as its guard. We discuss the remaining rules. Rules (Tπ-VAR) and (Tπ-Tup) are standard. Rule (Tπ-Nil) states that the terminated process is typed under the empty context. Rule (Tπ-Res) states that \((\nu x)P\) is well-typed if the usage for \( x \) is reliable (cf. Definition 3.7). Rule (Tπ-Par) states that the parallel composition of processes \( P \) and \( Q \) (typable under \( \Gamma_1 \) and \( \Gamma_2 \), respectively) is well-typed under the composed typing context \( \Gamma_1 | \Gamma_2 \) (Definition 3.9(2)). Note that, unlike Rule (Tπ-Out) in Figure 5, \( P \) and \( Q \) need not to share any channels to be composed. Rules (Tπ-Out) and (Tπ-Ins) type output and input processes with dyadic communication in a typing context where the "\_\_\_\_\_\_\_\_\_" operator (Definition 3.9(3)) is used to increase the obligation level of the channels in continuation \( P \).

3.2.3. Properties

Type soundness of the type system given in Figure 6 implies that well-typed processes are deadlock-free (cf. Definition 3.2). We now state these technical results from [Kob06] and discuss
changes in their proofs, which require minimal modifications.

First, typing is preserved by the structural relation \( \preceq \) (cf. Definition 3.1):

**Lemma 3.1.** If \( \Gamma \vdash \preceq P \) and \( P \preceq Q \) then \( \Gamma \vdash \preceq Q \).

The proof of type preservation in [Kob06] relies on other auxiliary results (such as substitution), which we do not recall here. To state the type preservation result, we need the following auxiliary definition:

**Definition 3.10** (Context Reduction). We write \( \Gamma \rightarrow \Gamma' \) when one of the following hold:

1. \( \Gamma = \Gamma_1, x : \text{chan}(\tilde{\tau}; U) \) and \( \Gamma' = \Gamma_1, x : \text{chan}(\tilde{\tau}; U') \) with \( U \rightarrow U' \) (cf. Definition 3.6), for some \( \Gamma_1, \tilde{\tau}, U \) and \( U' \).

2. \( \Gamma = \Gamma_1, x : \langle l \mapsto \tau \rangle_{i \in I} \) and \( \Gamma' = \Gamma_1, x : \tau_j \), with \( j \in I \), for some \( \Gamma_1 \) and \( x \).

Above, Item (1) is as in [Kob06]. Item (2) is required for characterizing reductions of the case construct. We now state type preservation.

**Theorem 3.3** (Type Preservation). If \( \Gamma \vdash \preceq P \) and \( P \rightarrow Q \), then \( \Gamma' \vdash \preceq Q \) for some \( \Gamma' \) such that \( \Gamma' = \Gamma \) or \( \Gamma \rightarrow \Gamma' \).

The proof is by induction on the derivation \( P \rightarrow Q \), following closely the proof in [Kob06]. An additional case is needed due to the reduction rule of the case construct (Rule \((\text{RS\text{-}Case})\)):

\[
\text{case } l_j v \text{ of } \{ l_i x_i \triangleright P_i \}_{i \in I} \rightarrow P_j[v/x_j] \quad (j \in I)
\]

As a result of this reduction, we have \( \Gamma \rightarrow \Gamma' \) because of Definition 3.10(2).

The following important result extends Theorem 2 in [Kob06] with the case construct:

**Theorem 3.4** (Deadlock Freedom). If \( \emptyset \vdash \preceq P \) and one of the following holds

- \( P \preceq (\nu \tilde{\nu})(\tilde{\nu}(\tilde{v}).Q_1 \mid Q_2) \)
- \( P \preceq (\nu \tilde{\nu})(\tilde{v}(\tilde{z}).Q_1 \mid Q_2) \)
- \( P \preceq (\nu \tilde{\nu})(\text{case } l_j v \text{ of } \{ l_i x_i \triangleright P_i \}_{i \in I} \mid Q) \)

then \( P \rightarrow R \), for some process \( R \).

In [Kob06], the proof of this theorem relies on a notion of normal form in which input and output prefixes act as guards for if-expressions and let-expressions (not present in our syntax); our case construct can be easily accommodated in such normal forms. The proof in [Kob06] also uses: (i) an extension of \( \preceq \) for replicated processes, (ii) mechanisms for uniquely identifying bound names, and (iii) an ordering on processes. In our case, we do not need (i) and can re-use (ii) and (iii) as they are. With these elements, the proof argument proceeds as in [Kob06]. We finally have:

**Corollary 3.1.** If \( \emptyset \vdash \preceq P \) then \( P \) is deadlock-free, in the sense of Definition 3.2.

It is worth noticing how both Theorem 3.2 and Theorem 3.4 have similar formulations: both properties state that processes can always reduce if they are well-typed (under the empty typing context) and have an appropriate structure (cf., condition \( \text{live}(P) \) in Theorem 3.2 and condition \( P \preceq (\nu \tilde{\nu})(\tilde{v}(\tilde{z}).Q \mid R) \) or \( P \preceq (\nu \tilde{\nu})(\tilde{\nu}(\tilde{v}).Q \mid R) \) in Theorem 3.4).
Proposition 3.1. Let \( P \) be a deadlock-free session process. Then \( \llbracket P \rrbracket_u^f \) is a deadlock-free \( \pi \)-process.

3.2.4. On Deadlock Freedom by Encoding

We use encodings to relate classes of (typed) processes induced by the type systems given so far. To translate a session typed process into a usage typed process, we follow the encoding suggested in [Kob07] and developed in [DGS12], which mimics the sequential structure of a session by sending its continuation as a payload over a channel. This continuation-passing style encoding of processes is denoted \( \llbracket \cdot \rrbracket_u^f \), where \( f \) is a renaming function from channels to fresh names; see Figure 7. We write \( f_x \) to stand for \( f(x) \) and \( f, \{ x \mapsto c \} \) to denote that the entry for \( x \) in the renaming \( f \) is updated to \( c \). We write \( f, \{ x, y \mapsto c \} \) to mean that both \( x \) and \( y \) are updated to \( c \).

We also need to formally relate session types to usage types. To this end, we define \( \llbracket \cdot \rrbracket_u \) in Figure 8. Two points are noteworthy: (i) it suffices to generate a usage type with obligations and capabilities equal to 0, and (ii) only sequential usages are needed to encode session types. We now extend this encoding from types to typing contexts:

**Definition 3.11.** Given \( \llbracket \cdot \rrbracket_u \) as in Figure 8, and with a slight abuse of notation, we write \( \llbracket \cdot \rrbracket_u^f \) to denote the encoding of session type contexts \( \Gamma \) into usage typing contexts that is inductively defined as follows:

\[
\llbracket \emptyset \rrbracket_u^f \triangleq \emptyset \quad \llbracket \Gamma, x : T \rrbracket_u^f \triangleq \llbracket \Gamma \rrbracket_u^f, f_x : \llbracket T \rrbracket_u
\]

The next results relate deadlock freedom, typing and the encodings in [DGS12], thus formalising the indirect approach to deadlock freedom.

**Proposition 3.1.** Let \( P \) be a deadlock-free session process. Then \( \llbracket P \rrbracket_u^f \) is a deadlock-free \( \pi \)-process.

\[\begin{align*}
\llbracket [0] \rrbracket_u^f & \triangleq 0 \\
\llbracket (\mu x) P \rrbracket_u^f & \triangleq (\nu c) [P]_u^f, \{ x, y \mapsto c \} \\
\llbracket P | Q \rrbracket_u^f & \triangleq [P]_u^f | [Q]_u^f \\
\llbracket \pi (u). P \rrbracket_u^f & \triangleq (\nu c) \llbracket f_x(c) \rrbracket_u^f, [P]_u^f, \{ x \mapsto c \} \\
\llbracket x(y). P \rrbracket_u^f & \triangleq f_x(y, c), [P]_u^f, \{ x \mapsto c \} \\
\llbracket x \triangleq l_j. P \rrbracket_u^f & \triangleq (\nu c) \llbracket f_x(l_j, c) \rrbracket_u^f, [P]_u^f, \{ x \mapsto c \} \\
\llbracket x \triangleright \{ i_1 : P_1 \} \in I \rrbracket_u^f & \triangleq f_x(y). \text{case } y \text{ of } \{ i_1 \triangleright \{ P_i \}_u^f, \{ x \mapsto c \} \}_{i \in I} \\
\end{align*}\]
\[ [\forall (y). P]_{\ell} \triangleq [\forall (z). ([z \leftrightarrow y] | [P]_{\ell})] \]
\[ [\nu x y] P_{\ell} \triangleq (\nu w)[P]_{\ell}[w/x][w/y] \quad w \notin fn(P) \]

Figure 9: Encoding session \( \pi \)-calculus processes into the linear logic processes (cf. \S 3.1). It is defined as an homomorphism for the other process constructs.

Proof. The encoding of terms given in Figure 7 preserves the nature of the prefixes in \( P \) (outputs are directly translated as outputs, and similarly for inputs) and is defined homomorphically with respect to parallel composition. Then, it is easy to see that if \( P \) reduces then \( [P]_{\ell}^{f} \) can immediately match that reduction; thanks to the definitions of deadlock freedom in each language (Definition 2.3 and Definition 3.2, respectively), this suffices to conclude the thesis.

The following result states deadlock freedom by encodability, following [CDM14].

Corollary 3.2. Let \( \vdash_{ST} P \) be a session process. If \( \vdash_{\prec} [P]_{\ell}^{f} \) is deadlock-free then \( P \) is deadlock-free.

4. Separating Classes of Deadlock-Free Typed Processes

Up to here, we have summarized three existing type systems for the \( \pi \)-calculus:

- Session types, with judgment \( \Gamma \vdash_{ST} P \) (\S 2.2);
- Session types based on linear logic, with judgment \( P \vdash_{LL} \Delta \) (\S 3.1);
- Usage types, with judgment \( \Gamma \vdash_{\prec} P \) (\S 3.2.2).

Here we establish formal relationships between these type systems. As already mentioned, our approach consists in defining \( L \) and \( K \), the class of deadlock-free session-typed processes induced by the type systems in \S 3.1 and \S 3.2.2, respectively. Our main result is that \( L \subset K \) (Corollary 4.1), a strict inclusion that separates these two classes of processes. To obtain this separation result, we define \( \mu K \), a strict sub-class of \( K \) which we show to coincide with \( L \).

4.1. Classes of Deadlock-Free Processes

4.1.1. The Classes \( L \) and \( K \)

We start by defining classes \( L \) and \( K \), for which we require some auxiliary definitions. The following encoding addresses minor syntactic differences between session typed processes (cf. \S 2) and the processes typable in the linear logic interpretation of session types (cf. \S 3.1). Such differences concern free output actions and the double restriction operator:

Definition 4.1. Let \( P \) be a session process. The auxiliary encoding \( [\cdot]_{\ell} \) from the session processes (cf. \S 2) into the linear logic processes (cf. \S 3.1) is defined in Figure 9.

We also need an encoding of session types into linear logic propositions. The encoding, also denoted \( [\cdot]_{\ell} \) and given in Figure 10, simply follows the essence of the linear logic interpretation. We extend it to typing contexts as follows:
Definition 4.2. The encoding $[\cdot]_\ell$ of session type contexts $\Gamma$ into linear logic typing contexts is defined as:

$$[\emptyset]_\ell \triangleq \emptyset \quad [\Gamma, x : T]_\ell \triangleq [\Gamma]_\ell, x : [T]_\ell$$

It is not difficult to see that the encoding in Definition 4.1 is operationally correct. That is, $[\cdot]_\ell$ preserves and reflects reductions of session processes. It also preserves the encoding of types in Figure 10 and Definition 4.2.

The next definition allows us to abstract away from differences in the way type systems handle process $0$: in the usages type system, process $0$ can only be typed under the empty typing context, whereas in the session type systems the typing context can be non-empty (subject to conditions).

Definition 4.3 (Core Context). Given $\Gamma \vdash_{ST} P$, we write $\Gamma^\downarrow$ to denote the core context of $\Gamma$ with respect to $P$. Context $\Gamma^\downarrow$ is defined so that $\Gamma = \Gamma^\downarrow$, $\Gamma'$ holds for some $\Gamma'$, satisfying the following conditions: (i) $\text{un}(\Gamma')$; (ii) $x : \text{end} \in \Gamma'$ implies $x \notin \text{fn}(P)$; and (ii) $x : T \in \Gamma^\downarrow$ implies $x \in \text{fn}(P)$. Notice that, by Theorem 2.1 (strengthening), $\Gamma \vdash_{ST} P$ implies $\Gamma^\downarrow \vdash_{ST} P$.

Recall that we write $\mathcal{P}$ to denote the class of session $\pi$-calculus processes generated by the grammar in Figure 2. Formally, $\mathcal{L}$ and $\mathcal{K}$ are classes of processes in $\mathcal{P}$, defined below.

Definition 4.4 ($\mathcal{L}$ and $\mathcal{K}$). The classes $\mathcal{L}$ and $\mathcal{K}$ are defined as follows:

$$\mathcal{L} \triangleq \left\{ P \in \mathcal{P} : \exists \Gamma. (\Gamma \vdash_{ST} P \land [P]_\ell \vdash_{LL} [\Gamma]_\ell) \right\}$$

$$\mathcal{K} \triangleq \left\{ P \in \mathcal{P} : \exists \Gamma, f. (\Gamma \vdash_{ST} P \land [\Gamma^f]_\ell \vdash_{\prec} [P]_\ell) \right\}$$

In words, $\mathcal{L}$ contains those well-typed session $\pi$-calculus processes whose corresponding translation as a process in § 3.1 (using $[\cdot]_\ell$ as in Definition 4.1) is also typable in the linear logic interpretation, with propositions obtained using the encoding on types $[\cdot]_u$ (Figure 10). Similarly, $\mathcal{K}$ contains those well-typed session $\pi$-calculus processes whose corresponding translation as a dyadic $\pi$-calculus process (using $[\cdot]_u$ as in Figure 7) is also typable under Kobayashi’s type system, with usage types derived using the encoding on types $[\cdot]_u$ (Figure 8).

Notice that $\mathcal{L}$ and $\mathcal{K}$ contain both composable and uncomposable processes (cf. Definition 2.2). As informally discussed in the Introduction, processes in $\mathcal{L}$ and $\mathcal{K}$ satisfy the progress property, defined in [CD10] and further studied in [CDM14]. As a consequence:

- Uncomposable processes in $\mathcal{L}$ (typable with $\Delta = \cdot$) are deadlock-free by following Theorem 3.2. Similarly, uncomposable processes in $\mathcal{K}$ (typable with $\Gamma = \emptyset$) are deadlock-free by the indirect approach formalised by Theorem 3.4 and Definition 3.2 and Corollary 3.2.
Composable processes in $L$ (typable with $\Delta \neq \cdot$) and $K$ (typable with $\Gamma \neq \emptyset$) may be stuck, because they lack communicating counterparts as described by their (non-empty) typing context. These missing counterparts will be formalized as a catalyzer [CD10] that allows a process to further reduce, thereby “unsticking it”.

Although we are interested in the (sub)class of processes that satisfy deadlock freedom, we have defined $L$ and $K$ more generally as processes in $P$ satisfying progress; this simplifies the definition and presentation of our technical contributions.

4.1.2. The Class $\mu K$

We now define $\mu K$, a strict class of $K$ obtained by internalizing key features of linear logic interpretation in §3.1 into the rules of Figure 6. Considering the type system is summarized in §3.2, $\mu K$ will arise from a type system modified as follows:

Types Because session types are strictly sequential, we restrict Definition 3.8 to channel types of the form $\text{chan}(\tau_1, \tau_2; U)$ where $U$ is a sequential usage (cf. Definition 3.3). All other notions (obligations and capabilities, the semantics of usages, the notion of reliability) are kept unchanged.

Typing Rules The type system considers judgments of the form $\Gamma \vdash^\mu_P P$. The typing rules are given in Figure 11; they are based on those in Figure 6 with the following modifications. First,
Lemma 4.1. We rely on the analogue property for values (if \( \Gamma \vdash v \) then \( \Gamma \vdash \nu v \)), which accounts for independent parallel composition as expressed by the mix principle in §3.1.

We use this modified type system to define \( \mu \mathcal{K} \), following Definition 4.4:

**Definition 4.5 \((\mu \mathcal{K})\).** The class of processes \( \mu \mathcal{K} \) is defined as follows:

\[
\mu \mathcal{K} \triangleq \{ P \in \mathcal{P} : \exists \mathcal{F}, f. (\Gamma \vdash_{ST} P \land \|\mathcal{F}\|_{\nu} \vdash_{\mu} \|\mathcal{F}\|_{\nu}) \}
\]

Considerations about (un)composable processes in \( \mu \mathcal{K} \) hold exactly as described for \( \mathcal{K} \).

4.2. **Main Results**

We start by separating \( \mathcal{K} \) and \( \mu \mathcal{K} \). First, we have the following:

**Lemma 4.1.** Let \( P \) be a dyadic \( \pi \)-calculus process as in §3.2.1. If \( \Gamma \vdash_{\mu \prec} P \) then \( \Gamma \vdash_{\prec} P \).

**Proof.** By induction on the type derivation for \( P \), with a case analysis on the last applied typing rule. We rely on the analogue property for values (if \( \Gamma \vdash v \) then \( \Gamma \vdash \nu v \)), which is immediate. We discuss only the cases featuring key differences between \( \vdash_{\mu \prec} \) (Figure 11) and \( \vdash_{\prec} \) (Figure 6) arise:

- If the last applied rule is \((\tau \pi \text{-OUT})\), then \( P = (\nu y)\pi(y, y').P' \) and

\[
\Gamma_1, y : \text{chan}(\tau ; U_1) \vdash_{\mu \prec} y : \text{chan}(\tau ; U_1), y' : \text{chan}(\tau' ; U) \quad \Gamma_2, y : \text{chan}(\tau ; U_2) \vdash_{\mu \prec} P
\]

\[
\frac{\text{rel}(U_1 | U_2)}{\Gamma \vdash_{\mu \prec} (\nu y)\pi(y, y').P}
\]

with \( \Gamma = \{ y : \text{chan}(\tau, \tau' ; U_1) \} \vdash_{\prec} (\Gamma_1 \mid \Gamma_2) \). We have \( \Gamma_1, y : \text{chan}(\tau ; U_1) \vdash_{\prec} y : \text{chan}(\tau ; U_1), y' : \text{chan}(\tau' ; U) \). Also, by IH: \( \Gamma_2, y : \text{chan}(\tau ; U_2) \vdash_{\prec} P \).

We show that \( P \) can be typed using Rules \((\tau \pi \text{-OUT})\) and \((\tau \pi \text{-RES})\) in sequence. First we have:

\[
\Gamma_1, y : \text{chan}(\tau ; U_1) \vdash_{\prec} P
\]

\[
\frac{x : \text{chan}(\tau, \tau' ; U_1) \vdash_{\prec} \text{chan}(\tau ; U_1), y' : \text{chan}(\tau' ; U) \quad \Gamma_2, y : \text{chan}(\tau ; U_2) \vdash_{\prec} P}{\Gamma \vdash_{\prec} \pi(y, y').P}
\]

Now, because by assumption we have \( \text{rel}(U_1 \mid U_2) \), we can use Rule \((\tau \pi \text{-RES})\) to derive

\[
\frac{x : \text{chan}(\tau, \tau' ; U_1) \vdash_{\prec} \pi(y, y').P \quad \text{rel}(U_1 \mid U_2)}{\Gamma \vdash_{\prec} (\nu y)\pi(y, y').P}
\]

- If the last applied rule is \((\tau \pi \text{-PAR+RES})\), then \( P = (\nu x)(P_1 \mid P_2) \) and

\[
\frac{\Gamma_1, x : \text{chan}(\tau ; U_1) \vdash_{\prec_{\mu \pi \pi}} P_1 \\ \Gamma_2, x : \text{chan}(\tau ; U_2) \vdash_{\prec_{\mu \pi \pi}} P_2 \quad \text{dom}(\Gamma_1) \cap \text{dom}(\Gamma_2) = \emptyset}{\Gamma \vdash_{\prec_{\mu \pi \pi}} (\nu x)(P_1 \mid P_2)}
\]

where \( \Gamma_1 \vdash_{\tau \pi \pi} \text{dom}(\Gamma_1) \cap \text{dom}(\Gamma_2) = \emptyset \). By IH, we have \( \Gamma_1, x : \text{chan}(\tau ; U_i) \vdash_{\prec_{\mu \pi \pi}} P_i \), with \( i \in \{1, 2\} \).
We show that $P$ can be typed using Rules $(\tau\text{-Par})$ and $(\tau\text{-Res})$ in sequence. First, we have:

$$
\begin{align*}
\Gamma_1, x : \text{chan}(\tau; U_1) \vdash \prec_{\ll} \ll_1 \ll_2 P_1 & \quad \Gamma_2, x : \text{chan}(\tau; U_2) \vdash \prec_{\ll} \ll_1 \ll_2 P_2 \\
\Gamma_1 \upharpoonright \Gamma_2 \mid x : \text{chan}(\tau; U_1 \cup U_2) \vdash \prec_{\ll} \ll_1 \ll_2 P_1 \cup P_2
\end{align*}
$$

where we have weakened, in both cases, the partial orders for $P_1$ and $P_2$. Now, because by assumption we have $\text{rel}(U_1 \cup U_2)$, we can use Rule $(\tau\text{-Res})$ to derive

$$
\begin{align*}
\Gamma_1 \upharpoonright \Gamma_2 \mid x : \text{chan}(\tau; U_1 \cup U_2) \vdash \prec_{\ll} (\nu x) (P_1 \cup P_2) \quad \text{rel}(U_1 \cup U_2)
\end{align*}
$$

- Finally, if the last applied rule is $(\tau\text{-IndPar})$, then process $P = P_1 \cup P_2$, which can be immediately typed using Rule $(\tau\text{-Par})$.

As a result of Lemma 4.1, properties derived from typing in §3.2 (type preservation and deadlock freedom) hold in the modified type system $\vdash^\mu_{\ll}$ and apply to processes in $\mu K$. Thus, $\mu K \subseteq K$.

The modifications defined in §4.1.2 make $\vdash^\mu_{\ll}$ a strict subsystem of the system $\vdash_{\ll}$ in §3.2. Indeed, because the converse of Lemma 4.1 does not hold, there are processes in $K$ but not in $\mu K$:

**Lemma 4.2.** $\mu K \subset K$.

**Proof.** Because $\mu K$ is obtained by restricting the typing rules of $K$, it is immediate that $\mu K \subseteq K$.

In the following, we show that this inclusion is strict, by showing that $K$ contains (deadlock-free) session processes not in $\mu K$. A representative example is:

$$
P \triangleq (\nu a_1 b_1)(\nu a_2 b_2)(a_1(x). \overline{a_2}(x) \mid \overline{b_1}(n). b_2(z))
$$

This process is not in $\mu K$ because $\llbracket P \rrbracket_\mu^a$ involves the composition of two parallel processes which share two sessions. Hence, $\llbracket P \rrbracket_\mu^a$ is typable in $\ll_{\prec}$ (using Rules $(\tau\text{-Par})$ and $(\tau\text{-Res})$ in Figure 6) but not in $\ll_{\ll}$, because of the forms of composition admitted by Rule $(\tau\text{-IndPar}+\text{Res})$ in Figure 11.

We now show that $L$ and $\mu K$ coincide. We need an important property, given by Lemma 4.4, which connects our encodings of (dual) session types into usage types with reliability (Definition 3.7), a central notion to the type systems for deadlock freedom in Figure 6 and Figure 11. First we have the following result, which connects our encodings of types and the notion of duality.

**Lemma 4.3.** Let $T,S$ be session types. Then, the following hold: (i) $\llbracket T \rrbracket \equiv \llbracket S \rrbracket$ if and only if $\llbracket T \rrbracket_\ell = \llbracket S \rrbracket_\ell$; (ii) $\llbracket T \rrbracket = \llbracket S \rrbracket$ if and only if $\llbracket [U]_u \equiv \llbracket S \rrbracket_\ell$.

**Proof.** By induction on the duality relation of session types.

Given a channel type $\tau = \text{chan}(\overline{\tau}; U)$, we write $u(\tau)$ to denote the usage $U$.

**Lemma 4.4.** Let $T$ be a session type. Then $\text{rel}(u(\llbracket T \rrbracket_u) \mid u(\llbracket T \rrbracket_u))$ holds.

**Proof.** The proof proceeds by induction on the structure of $T$, using Lemma 4.3.
\begin{itemize}
  \item \( T = \text{end} \). By duality, \( T = \text{end} \). Then \( \sem{\cdot}_u = \sem{T}_u = \text{chan}(\cdot ; 0) \). Thus, \( u(\sem{\cdot}_u) = u(\sem{T}_u) = 0 \mid 0 \). Notice that \( 0 \mid 0 \not\rightarrow \) and that \( \text{con}(0 \mid 0) \) holds trivially. Therefore, by Definition 3.7, \( \text{rel}(0 \mid 0) \).
  
  \item \( T = !T_1 T_2 \) for some \( T_1, T_2 \). By definition of duality, \( \overline{T} = ?T_1 \overline{T_2} \). Then,

\[
\begin{align*}
\sem{T}_u &= \text{chan}(\sem{T_1}_u, \sem{T_2}_u \mid !_0) \\
\overline{\sem{T}}_u &= \text{chan}(\sem{T_1}_u, \sem{T_2}_u \mid ?_0)
\end{align*}
\]

Thus, \( u(\sem{T}_u) = u(\overline{\sem{T}}_u) = !_0 \mid !_0 \). Notice that \( !_0 \mid !_0 \rightarrow 0 \mid 0 \not\rightarrow \). We examine \( \text{con}(\cdot) \) for both usages. First, \( \text{con}(!_0 \mid !_0) \) holds because \( 0 \leq 0 \); then, \( \text{con}(0 \mid 0) \) trivially holds. Therefore, by Definition 3.7, \( \text{rel}(!_0 \mid !_0) \) holds.

\item \( T = ?T_1 T_2 \), for some \( T_1, T_2 \). By definition of duality, \( \overline{T} = !T_1 \overline{\overline{T_2}} \). Then,

\[
\begin{align*}
\sem{T}_u &= \text{chan}(\sem{T_1}_u, \sem{\overline{T_2}}_u \mid ?_0) \\
\overline{\sem{T}}_u &= \text{chan}(\sem{T_1}_u, \sem{T_2}_u \mid !_0)
\end{align*}
\]

Thus, \( u(\sem{T}_u) = u(\overline{\sem{T}}_u) = ?_0 \mid !_0 \) and the thesis holds as in the previous case.

\item \( T = \&\{i : S_i\}_{i \in I} \), for some \( S_i \). By definition of duality, \( \overline{T} = \oplus\{i : \overline{S_i}\}_{i \in I} \). Then,

\[
\begin{align*}
\sem{T}_u &= \text{chan}(\{i : \sem{S_i}_u\}_{i \in I} \mid ?_0) \\
\overline{\sem{T}}_u &= \text{chan}(\{i : \sem{\overline{S_i}}_u\}_{i \in I} \mid !_0)
\end{align*}
\]

Thus, \( u(\sem{T}_u) = u(\overline{\sem{T}}_u) = ?_0 \mid !_0 \) and the thesis holds just as in case \( T = ?T_1 T_2 \).

\item \( T = \oplus\{i : S_i\}_{i \in I} \), for some \( S_i \) and \( i \in I \): similar to the previous cases.
\end{itemize}

We then have the following result:

**Theorem 4.1.** \( \mathcal{L} = \mu \mathcal{K} \).

**Proof (Sketch).** We prove two lemmas: (i) If \( P \in \mathcal{L} \) then \( P \in \mu \mathcal{K} \) and (ii) If \( P \in \mu \mathcal{K} \) then \( P \in \mathcal{L} \). Both lemmas are proven by structural induction on \( P \); see § Appendix A.1 (Page 44) for details.

Therefore, we have the following corollary, which attests that the class of deadlock-free session processes induced by linear logic interpretations of session types (cf. § 3.1) is strictly included in the class induced by the indirect approach of [DGS12] (cf. § 3.2).

**Corollary 4.1.** \( \mathcal{L} \subset \mathcal{K} \).

The fact that (deadlock-free) processes such as \( P \) (cf. Lemma 4.2) are not included in \( \mathcal{L} \) is informally discussed by Caires et al. in [CPT16, §6]. The discussion in [CPT16] highlights the principle of “composition plus hiding” (enforced by Rule \( (\text{t-cut}) \), cf. Figure 5) as a feature that distinguishes logically motivated session type systems from other type systems (in particular, the one in [GH05]), which can type \( P \) but also deadlocked variants of it (cf. Example 2.1). However, Caires et al. give no formal comparisons with other classes of deadlock-free processes.
5. Translating $\mathcal{K}$ into $\mathcal{L}$

Having established and characterized the differences between deadlock-free session $\pi$-calculus processes in $\mathcal{L}$ and $\mathcal{K}$, in this section we explore how fundamental these differences really are. To this end, we define a type-preserving translation from processes in $\mathcal{K}$ into processes in $\mathcal{L}$.

One leading motivation for looking into a translation is that the separation result established by Corollary 4.1 can be seen as being subtle, in the following sense. Consider a process $P$ that belongs to $\mathcal{K}$ but not to $\mu\mathcal{K}$ because one of its subprocesses does not conform to the (restrictive) form of typed parallel composition enforced by Rule $(T_{\pi+Res})$ in Figure 11. The fact that $P \in \mathcal{K} \setminus \mu\mathcal{K}$ implies that $P$ features forms of session cooperation that are intrinsically sequential and admitted by Rules $(T_{\pi Res})$ and $(T_{\pi Par})$ in Figure 6; this means that subprocesses of $P$ must become more independent (concurrent) to be admitted in $\mu\mathcal{K}$. We illustrate this intuition with an example:

**Example 5.1** (Idea of the Translation). Recall process $P$ in Lemma 4.2:

$$P \triangleq (\nu a_1 b_1)(\nu a_2 b_2)(a_1(x), \overline{a_2}(x) | \overline{b_1}(n), b_2(z))$$

We have that $P \in \mathcal{K}$ but $P \notin \mu\mathcal{K}$: each sub-process features two independent sessions occurring in sequence.

Consider now the following variant of $P$, in which the left subprocess has been kept unchanged, but the right subprocess has been modified to increase concurrency:

$$P' \triangleq (\nu a_2 b_2)((\nu a_1 b_1)(a_1(x), \overline{a_2}(x) | \overline{b_1}(n), 0) | b_2(z), 0)$$

Indeed, by replacing $\overline{b_1}(n), b_2(z)$ with $\overline{b_1}(n), 0 | b_2(z), 0$, we have that $P' \in \mu\mathcal{K}$.

Here we propose a translation that converts any typable session process into a process in $\mathcal{L}$ (i.e. $\mu\mathcal{K}$). The translation, given in § 5.2, follows the idea of Example 5.1: given a parallel process as input, return as output a process in which one of the components is kept unchanged, but the other is translated by using representatives of the sessions implemented in it, composed in parallel. Such parallel representatives are formally defined as characteristic processes and catalyzers, which we introduce next.

### 5.1. Characteristic Processes and Catalyzers

We need some preliminary notions. A characteristic process of a session type $T$ represents the smallest process in $\mathcal{L}$ inhabiting it.

**Definition 5.1** (Characteristic Processes of a Session Type). Given a name $x$, the set of characteristic processes of session type $T$, denoted $\langle T \rangle^x$, is inductively defined as follows:

- $\langle \text{end} \rangle^x \triangleq \{0\}$
- $\langle ?T'.S \rangle^x \triangleq \{x(y).(P | Q) : P \in \langle T' \rangle^y \land Q \in \langle S \rangle^x\}$
- $\langle !T'.S \rangle^x \triangleq \{\pi(y).(P | Q) : P \in \langle T' \rangle^y \land Q \in \langle S \rangle^x\}$
- $\langle &\{i : S_i\}_{i \in I} \rangle^x \triangleq \{x > \{l_i : P_i\}_{i \in I} : \forall i \in I. P_i \in \langle S_i \rangle^x\}$
- $\langle \oplus \{i : S_i\}_{i \in I} \rangle^x \triangleq \bigcup_{i \in I} \{x < l_i, P_i : P_i \in \langle S_i \rangle^x\}$
The previous definition extends to typing contexts by composing in parallel independent characteristic processes, one for each of the session types declared in the context. This reflects that sessions in a context declare independent structures of communication.

**Definition 5.2** (Characteristic Processes of a Session Typing Context). Given a context $\Gamma = \langle w_1:T_1, \ldots, w_n:T_n \rangle$, we shall write $\langle | \Gamma | \rangle$ to stand for the set $\{ (P_1 \mid \cdots \mid P_n) : P_i \in \langle T_i \rangle_{w_i} \}$.

Characteristic processes are well-typed in the system of §3.1 (cf. Figure 5):

**Lemma 5.1.** Let $T$ be a session type and $\Gamma$ be a session context.

1. For all $P \in \langle | T | \rangle_{x}$, we have $P \vdash_{LL} \exists x : [T]_\ell$.
2. For all $P \in \langle | \Gamma | \rangle$, we have $P \vdash_{LL} \exists \exists \Gamma : [\Gamma]_\ell$.

**Proof.** The proof of Part 1 is by induction on the structure of $T$. The proof of Part 2 is by induction on the size of $\Gamma$, using Part 1. See §Appendix B.1 (Page 50) for details.

Let us use ‘·’ to denote a hole and $\nu C[\cdot]$ to denote process contexts (i.e., a process with a hole). Building upon characteristic processes, a catalyzer for a typing context is a process context that implements the behaviors it declares.

**Definition 5.3** (Catalyzers of a Session Typing Context). Given a session typing context $\Gamma$, we define its set of associated catalyzers, noted $C_{\Gamma}$, inductively as follows:

$$
C_{\Gamma} \triangleq \begin{cases} 
\{ \nu \} & \text{if } \Gamma = \emptyset \\
\{ \nu x \}(C[\cdot] \mid P) : C[\cdot] \in C_{\Gamma'} \land P \in \langle | T | \rangle_{x} & \text{if } \Gamma = \Gamma', x : T 
\end{cases}
$$

Given a context $\Gamma = x_1 : T_1, \ldots, x_n : T_n$, let us write $\overline{\Gamma}$ to denote the context $x_1 : \overline{T_1}, \ldots, x_n : \overline{T_n}$, i.e., the context obtained by “dualising” all the types in $\Gamma$. The following statement formalizes the complementarity, in terms of session behaviors, between a well-typed process in $\mathcal{L}$ and its associated catalyzers:

**Lemma 5.2** (Catalyzers Preserve Typing). Let $P \vdash_{LL} \exists \exists \Gamma, [\Gamma]_\ell$ and $C[\cdot] \in C_{\overline{\Gamma}}$. Then $C[P] \vdash_{LL} \exists \exists \overline{\Gamma}, [\overline{\Gamma}]_\ell$.

**Proof.** Follows from Definition 5.3, which defines $C[\cdot]$ as a composition of characteristic processes, and Lemma 5.1 (Part 1), which ensures the appropriate type for each of them.

5.2. Translating $\mathcal{K}$ into $\mathcal{L}$

Our translation, given in Definition 5.4, transforms a session-typed process in $\mathcal{K}$ into a set of $\mathcal{L}$ processes. Unsurprisingly, a delicate point in this translation is the treatment of parallel composition, which follows the intuition of the transformation of $P$ into $P'$ motivated in Example 5.1: keep half of a parallel process unchanged, and increase the parallelism in the other half. This way, our translation returns a set of processes because we wish to account for both these two alternatives, for the sake of generality.

Intuitively, given a well-typed session process $P_1 \mid P_2$, our translation produces two sets of processes: the first one collects processes of the form $Q_1 \mid G_2$, where $Q_1$ composes the translation of $P_1$ within an appropriate catalyzer and $G_2$ is a characteristic process that implements all sessions declared in the typing of $P_2$. Similarly, the second set collects processes of the form $G_1 \mid Q_2$, where
Q_2 composes the translation of P_2 within an appropriate catalyzer and G_1 is a characteristic process that implements all sessions declared in the typing for P_1. This way, by translating one subprocess and replacing the other with parallel representatives (G_1 and G_2), the translated processes are more independent, and the circular dependencies—the heart of deadlocked processes—are systematically ruled out.

We require some auxiliary notations.

**Notation 5.1 (Bound Names).** Concerning bound names and their session types:

- We annotate bound names with their session types: we write \( (\nu x_1 : T_1) \cdots (\nu x_n : T_n)P \)
  and \( x(y : T).P \), for some session types \( T, T_1, \ldots, T_n \).

- We sometimes write \( \Gamma, x : T \vdash_{ST} P \) as shorthand notation for \( \Gamma, x_1 : T_1, \ldots, x_n : T_n \vdash_{ST} P \). Similarly, we write \( (\nu x y : T)P \) to abbreviate \( (\nu x_1 y_1 : T_1) \cdots (\nu x_n y_n : T_n)P \).

Using these notations for bound names, we introduce the following notation for well-typed parallel processes, in which “hidden” sessions are explicitly denoted by brackets:

**Notation 5.2 (Hidden/Bracketed Sessions).** We shall write

\[
\Gamma_1, [x : S] \star \Gamma_2, [y : T] \vdash_{ST} (\nu x y : \overline{S})(P_1 | P_2)
\]

whenever \( \Gamma_1, \Gamma_2 \vdash_{ST} (\nu x_1 y_1) \cdots (\nu x_n y_n)(P_1 | P_2) \) holds with \( \Gamma_1, x_1 : S_1, \ldots, x_n : S_n \vdash_{ST} P_1, \Gamma_2, y_1 : T_1, \ldots, y_n : T_n \vdash_{ST} P_2 \), and \( S_i = \overline{T_i} \), for all \( i \in \{1, \ldots, n\} \).

We are now ready to give the first translation from session processes into \( \mathcal{L} \):

**Definition 5.4 (Translation into \( \mathcal{L} \)).** Let \( P \) be such that \( \Gamma \vdash_{ST} P \) and \( P \in \mathcal{K} \). The set of \( \mathcal{L} \) processes \( \{ \Gamma \vdash_{ST} P \} \) is defined in Figure 12.

Our translation operates on typing judgments: a well-typed session process is translated using the information declared in its typing context. Although the translation could be defined for arbitrary session processes (even deadlocked ones), membership in \( \mathcal{K} \) plays a role in operational correspondence (see below). We discuss the different cases in Figure 12:

- The process \( 0 \) is translated into the singleton set \( \{ 0 \} \) provided that the associated typing context \( \Gamma \) contains only completed sessions (recall that \( \Gamma^{un} \) stands for \( un(\Gamma) \)).

- The translation of output- and input-prefix processes is self-explanatory; in the former case, we translate the free output available in \( \mathcal{K} \) by exploiting a forwarding process in \( \mathcal{L} \) (cf. Definition 4.1). The translation of selection and branching processes also follows expected lines.

- The last case of the definition handles processes in parallel, possibly with restricted sessions; we use Notation 5.2 to make such sessions explicit. As hinted at above, the translation of a parallel process \( (\nu x y : S)(P_1 | P_2) \) in \( \mathcal{K} \) results into two different sets of \( \mathcal{L} \) processes: the first set contains processes of the form \( C_1[Q_1] | G_2 \), where, intuitively:
  - \( Q_1 \) belongs to the set that results from translating subprocess \( P_1 \) with an appropriate typing judgment, which includes \( x : S \).
Figure 12: Translation $\cong$ (cf. Definition 5.4).

- $C_1$ belongs to the set of catalysts that implement the context $\overline{x:T}$, i.e., the dual behaviors of the sessions implemented by $P_1$ (cf. Definition 5.3). This step thus removes the double restriction operator.

- $G_2$ belongs to the set of characteristic processes for $\Gamma_2$, which describes the sessions implemented by $P_2$ (cf. Definition 5.2).

The explanation for the processes of the form $G_1 \mid C_2[Q_2]$ in the second set is completely dual. As we will see, processes $C_1[Q_1] \mid G_2$ (and $G_1 \mid C_2[Q_2]$) preserve by construction the typing of $(\nu \overline{x:y} : \overline{S})(P_1 \mid P_2)$: process $C_1[Q_1]$ (resp. $C_2[Q_2]$) is typable with context $\Gamma_1$ (resp. $\Gamma_2$); process $G_2$ (resp. $G_1$) is typable with context $\Gamma_2$ (resp. $\Gamma_1$)—see Theorem 5.1 below.

We illustrate the translation by means of an example.

Example 5.2 (The Translation $\cong$ at Work). Consider again the process $P$ used in Lemma 4.2. Let $T \cong \mathsf{end}$ and $S \cong \mathsf{?end}$. Clearly, $\overline{S} = T$. We have the following derivation.

\[
\begin{array}{c}
\frac{a_1 : S, a_2 : T \vdash_{ST} a_1(x) \cdot \overline{a_2(x)} \cdot 0}{a_2 : T, b_2 : S, a_1 : T, n : \mathsf{end} \vdash_{ST} a_1(x) \cdot \overline{a_2(x)} \cdot 0 \mid b_1(n) \cdot b_2(z) \cdot 0} \\
\frac{}{a_1 : \mathsf{?end}, b_1 : \mathsf{!end}, n : \mathsf{end} \vdash_{ST} (\nu a_2 b_2)(a_1(x) \cdot \overline{a_2(x)} \cdot 0 \mid b_1(n) \cdot b_2(z) \cdot 0)}
\end{array}
\]
Before detailing the set \( \langle n : \textbf{end} \vdash_{\text{st}} P \rangle \), we spell out the main ingredients required:

\[
\langle a_1 : S, a_2 : T \vdash a_1(x) \cdot \overline{a_2}(x) : 0 \rangle = \{ a_1(x) \cdot \overline{a_2}(z) \mid (x \leftrightarrow z) \mid 0 \} = \{ \overline{b_1}(u) \mid \overline{b_2}(z) \mid 0 \}
\]

\[
\langle b_1 : T, b_2 : S, n : \textbf{end} \vdash_{\text{st}} \overline{b_1}(n) \cdot b_2(z) : 0 \rangle = \{ \overline{b_1}(u) \mid \overline{b_2}(z) \mid 0 \}
\]

\[
\langle T \rangle^x = \{ x(z) \mid (0 \mid 0) \}
\]

\[
\langle S \rangle^x = \{ x(z) \mid (0 \mid 0) \}
\]

\[
C_{a_1 : T, a_2 : S} = \{ (v_{a_1})_1(R_1) \mid (v_{a_2})_1(R_2) \mid [\ldots]) : R_1 \in \langle S \rangle^{a_1} \cdot R_2 \in \langle T \rangle^{a_2} \}
\]

\[
= \{ (v_{a_1})_1(a_1(z)) \cdot (0 \mid 0) \mid (v_{a_2})_1(\overline{a_2}(z)) \cdot (0 \mid 0) \mid [\ldots]) \}
\]

\[
C_{b_1 : S, b_2 : T} = \{ (v_{b_1})_1(Q_1) \mid (v_{b_2})_1(Q_2) \mid [\ldots]) : Q_1 \in \langle T \rangle^{b_1} \cdot Q_2 \in \langle S \rangle^{b_2} \}
\]

\[
= \{ (v_{b_1})_1(\overline{b_1}(z)) \cdot (0 \mid 0) \mid (v_{b_2})_1(\overline{b_2}(z)) \cdot (0 \mid 0) \mid [\ldots]) \}
\]

Exploiting Notation 5.2, judgement \( n : \textbf{end} \vdash_{\text{st}} P \) can be written as

\[
[a_1 : S, a_2 : T] \ast n : \textbf{end}, \langle b_1 : T, b_2 : S \rangle \vdash_{\text{st}} (v_{a_1}b_1)(v_{a_2}b_2)(a_1(x) \cdot \overline{a_2}(x) : 0) \mid \overline{b_1}(n) \cdot b_2(z) : 0)
\]

We may now define the translation of \( P \) into \( \mathcal{L} \):

\[
\langle [a_1 : S, a_2 : T] \ast n : \textbf{end}, \langle b_1 : T, b_2 : S \rangle \vdash_{\text{st}} (v_{a_1}b_1)(v_{a_2}b_2)(a_1(x) \cdot \overline{a_2}(x) : 0) \mid \overline{b_1}(n) \cdot b_2(z) : 0) \rangle
\]

\[
= \{ C_1[Q_1] \mid \langle n : \textbf{end} \rangle^x : C_1 \in C_{a_1 : T, a_2 : S}, Q_1 \in \langle a_1 : S, a_2 : T \vdash_{\text{st}} a_1(x) \cdot \overline{a_2}(x) : 0 \rangle \}
\]

\[
\cup \{ C_2[Q_2] : C_2 \in C_{b_1 : S, b_2 : T}, Q_2 \in \langle b_1 : T, b_2 : S, n : \textbf{end} \vdash_{\text{st}} \overline{b_1}(n) \cdot b_2(z) : 0 \rangle \}
\]

\[
= \{ (v_{a_1})(\overline{a_2}(z)) \cdot (0 \mid 0) \mid (v_{a_2})(a_2(z)) \cdot (0 \mid 0) \mid a_1(x) \cdot \overline{a_2}(x) \cdot (x \leftrightarrow z) \cdot (0) \} \mid \langle n : \textbf{end} \rangle^x,
\]

\[
(v_{b_1})(b_1(z)) \cdot (0 \mid 0) \mid (v_{b_2})(b_2(z)) \cdot (0 \mid 0) \mid \overline{b_1}(u) \cdot (\langle n : \textbf{end} \rangle^x)
\]

Above, \( P \) has two parallel components and so set \( \langle n : \textbf{end} \vdash_{\text{st}} P \rangle \) has two elements, representing the two different possibilities for “dividing” the sequential structure of \( P \) into more parallel processes.

5.2.1. Properties

We present two important results about our translation. First, it is type preserving, up to the encoding of types given in Figure 10:

**Theorem 5.1** (The Translation \( \langle \cdot \rangle \) is Type Preserving). Let \( \Gamma \vdash_{\text{st}} P \). Then, for all \( Q \in \langle \Gamma \vdash_{\text{st}} P \rangle \), we have that \( Q \vdash_{\text{LL}} \langle \Gamma \rangle \).

**Proof.** By induction on the derivation \( \Gamma \vdash_{\text{st}} P \). See § Appendix B.2 (Page 52) for details. ∎

Theorem 5.1 is meaningful, for it says that the session type “interface” of a process (i.e., the set of sessions it implements) is not modified by the translation. That is, \( \langle \cdot \rangle \) modifies the process structure by closely following the session typing discipline.

To establish properties with respect to reduction, we start with a useful notation:

**Notation 5.3.** Let us write \( \Gamma \vdash_{\text{st}} P_1, P_2 \) whenever both \( \Gamma \vdash_{\text{st}} P_1 \) and \( \Gamma \vdash_{\text{st}} P_2 \) hold. Similarly, let us write \( P_1, P_2 \vdash_{\text{LL}} \Gamma \), whenever both \( P_1 \vdash_{\text{LL}} \Gamma \) and \( P_2 \vdash_{\text{LL}} \Gamma \) hold.

Before showing how our translation satisfies an operational correspondence result (cf. Theorem 5.2), we illustrate the need for a useful auxiliary definition, which will allow us to relate processes typable under the same typing context but featuring a different parallel structure (cf. Definition 5.5).
Example 5.3 (The Parallel Structure of Typable Processes). Let $P \vdash_{\text{LL}} \Delta$ where $P \triangleq (\nu x)(\pi(v).P_1 \mid x(z).P_2)$ and $\Delta = \Delta_1, \Delta_2, v : T$. Consider the following reduction from $P$, obtained using the rules in Figure 4:

$$(\nu x)((\pi(v).P_1 \mid x(z).P_2) \rightarrow (\nu x)(P_1 \mid P_2[v/z])) \triangleq Q$$

Here we are using a free output process, namely $\pi(v).P_1$, as a shortcut for $\pi(z)((v \leftrightarrow z) \mid P_1)$; recall that this process is typable using Rules (\nu-id) and (\nu-\otimes) in Figure 5. Observe that name $z$ is free with scope $P_2$; for typing to hold, it must be the case that $z : T$ in $P_2$.

By Theorem 3.1, $Q \vdash_{\text{LL}} \Delta_1, \Delta_2, v : T$. Let us consider the typing of $P$ and $Q$ in relation to their parallel structure. We can notice that even though the typing context $\Delta$ remains the same under reduction, the “parallel decomposition” changes after reduction, as highlighted by the underbrackets. In particular, the type assignment $v : T$, at first related to the left-hand side component in $P$, “jumps” after reduction to the right-hand side component in $Q$. This phenomenon is due to value passing: after reduction, a substitution occurs in the continuation process $P_2$, where $v$ might be used in different ways. For instance, $v$ could occur within a sequential position within $P_2$ or at top-level in one of its parallel subprocesses.

Now, consider process $Q'$, which contains subprocesses $P_1$ and $P_2$ from $Q$ but has a different form of parallelism:

$$Q' \triangleq (\nu x)(P_1 \mid (\nu z)(P_2 \mid P_2[v/z])))$$

Here, $P_2$ and $P_v$ are characteristic processes implementing $T$ along $z$ and $v$, respectively. Clearly, $Q' \vdash_{\text{LL}} \Delta_1, \Delta_2, v : T$, just as $Q$, but its parallel structure is different: in $Q'$ we have two separate subprocesses in parallel, one typed according to $\Delta_2$, the other according to $v : T$. In $(\nu z)(P_2 \mid P_2[v/z])$, process $P_z$ provides one of the counterparts for $P_2$; this interaction is hidden by restriction $(\nu z)$ and so the resulting typing context is $\Delta_2$. By using $P_v$, the type interface of $Q'$ is exactly as that of $Q$; by using it in parallel position, their parallel structure will be different whenever $z$ occurs in $P_2$ in a sequential position.

We are interested in capturing the parallelization relation between processes such as $Q$ and $Q'$ in Example 5.3, because it serves to explain how translated processes behave under reduction. This relation is formalised in Definition 5.5 below:

Definition 5.5 (Parallelization Relation). Let $P$ and $Q$ be processes such that $P, Q \vdash_{\text{LL}} \Gamma$. We write $P \triangledown Q$ if and only if there exist processes $P_1, P_2, Q_1, Q_2$ and contexts $\Gamma_1, \Gamma_2$ such that the following hold:

$$P = P_1 \mid P_2 \quad Q = Q_1 \mid Q_2 \quad P_1, Q_1 \vdash_{\text{LL}} \Gamma_1 \quad P_2, Q_2 \vdash_{\text{LL}} \Gamma_2 \quad \Gamma = \Gamma_1, \Gamma_2$$

This definition says that two processes $P$ and $Q$, typed under the typing context $\Gamma$, are related by $\triangledown$, if they can be decomposed into parallel subprocesses, which are typed under the same decomposition of $\Gamma$. This way, for processes $Q$ and $Q'$ from Example 5.3, relation $Q \triangledown Q'$ holds.

By definition, the relation $\triangledown$ is reflexive. It will appear in our operational correspondence result, given next. Below, let $\leftrightarrow$ denote structural congruence (cf §2.1) extended with a reduction by Rule (\nu-F\nuo) (cf. §3.1). We may now state:
Theorem 5.2 (Operational Correspondence for \( \langle \_ \rangle \)). Let \( P \) be such that \( \Gamma \vdash_{ST} P \) for some typing context \( \Gamma \). Then, we have:

1. If \( P \rightarrow P' \), then for all \( Q \in \langle \Gamma \vdash_{ST} P \rangle \) there exist \( Q', R \) such that \( Q \rightarrow Q', Q' \vdash R \), and \( R \in \langle \Gamma \vdash_{ST} P' \rangle \).
2. If \( Q \in \langle \Gamma \vdash_{ST} P \rangle \), such that \( P \in \mathcal{K} \), and \( Q \rightarrow Q' \), then there exist \( P', R \) such that \( P \rightarrow P' \), \( Q' \vdash R \), and \( R \in \langle \Gamma \vdash_{ST} P' \rangle \).

Proof. By induction on the length of the derivations \( P \rightarrow P' \) and \( Q \rightarrow Q' \). See §Appendix B.3 for details.

Part 1 of Theorem 5.2 certifies that our translation tightly preserves the behavior of the session process given as input. The parallelization relation \( \vdash \) (cf. Definition 5.5) is crucial when the reduction \( P \rightarrow P' \) involves value passing: in that case, process \( Q' \), obtained from the translated process \( Q \), may have a different parallel structure than \( R \)—just as \( Q \) and \( Q' \) from Example 5.3. Part 2 relates the behavior of a translated process with respect to that of the session process given as input, with \( \vdash \) playing a role similar as in Part 1. Unlike Part 1, in Part 2 we require \( P \) to be in \( \mathcal{K} \), i.e., \( P \) must be deadlock-free for the correspondence to hold. Indeed, if \( P \) is not in \( \mathcal{K} \) then \( Q \) (its deadlock-free, translated variant) could have reductions not enabled in \( P \) due to deadlocks.

5.3. Discussion: Translating \( \mathcal{K} \) into \( \mathcal{L} \) Exploiting Value Dependencies

Process prefixes can induce causality relations not accounted for by session types. One kind of causality relations are at the heart of deadlocked processes, in which session interleavings in process prefixes leads to circular dependencies between independent sessions (cf. Example 2.1). Another kind of causality relations are the value dependencies induced by value exchanges: they occur when a value received in one session is sent along a different one.

Example 5.4 (A Value Dependency). Let \( P \) be the process

\[
P \triangleq (\nu a_0 b_0)(\overline{a_0}(n).a_1(u).\overline{a_2}(u).0 \mid b_0(v).(b_2(y).y(x).0 \mid (\nu w z)(\overline{b_1}(w).\overline{x}(n).0)))
\]

Also, let \( U \triangleq ?\text{end}.\text{end} \). Consider the typing judgment for the leftmost parallel process \( \overline{a_0}(n).a_1(u).\overline{a_2}(u).0 \):

\[
a_0 : !\text{end}.\text{end}, a_1 : U.\text{end}, a_2 : !U.\text{end} \vdash_{ST} \overline{a_0}(n).a_1(u).\overline{a_2}(u).0
\]

Although the typing for \( a_1 \) and \( a_2 \) states that they are independent sessions, actually they are causally related: the process forwards along \( a_2 \) the value of type \( U \) received on \( a_1 \). By considering the typing for \( P \)

\[
a_1 : ?U.\text{end}, a_2 : !U.\text{end}, b_2 : ?U.\text{end}, b_1 : !U.\text{end} \vdash_{ST} P
\]

we see that the forwarded value is \( w \), which is delegated by the rightmost parallel process, \( (\nu w z)(\overline{b_1}(w).\overline{x}(n).0) \).

In the terminology of Boreale and Sangiorgi [BS98], value dependencies are both subject and object dependencies. In \( \overline{a_0}(n).a_1(u).\overline{a_2}(u).0 \) there is a subject dependency: the input on \( a_1 \) enables the output on \( a_2 \); there is also an object dependency: the name received on \( a_1 \) is used as object in
the output on \( a_2 \). Indeed, Boreale and Sangiorgi argue that in most cases an object dependency is also a subject dependency.

While intuitive, the translation \( (\cdot) \) does not preserve value dependencies: in translating a process with parallel components, a subprocess containing a value dependency can be replaced by an equally typed process in which such a dependency is no longer present:

**Example 5.5** (Value Dependencies in typed process in which such a dependency is no longer present: with parallel components, a subprocess containing a value dependency can be replaced by an equally typed process in which such a dependency is no longer present.)

Consider the set of processes \( L \) where \( G \) is presented in the online appendix [DP20]. The optimization is obtained as follows:

\[
Q = G_1 | G_2 | C_{b_1, ?U.end} b_0(v), (b_2(y).y(x).0 | (\nu w z)(\overline{b_1}(w).z(n).0))
\]

where \( G_1 \in \{ ?U.end \}^{a_1} \) and \( G_2 \in \{ U.end \}^{a_2} \). Since \( G_1 \) and \( G_2 \) are independently defined, the name received along \( a_1 \) in \( G_1 \) cannot be the same session sent along \( a_2 \) in \( G_2 \). Thus, the value dependence between \( a_1 \) and \( a_2 \) in \( P \), has disappeared in its translation as \( Q \).

To address this limitation of \( (\cdot) \), we have defined an optimized translation that preserves value dependencies. Here we discuss the key elements of this optimization; the full technical development is presented in the online appendix [DP20]. The optimization is obtained as follows:

1. Detecting value dependencies requires gathering further information on how types are implemented by process prefixes. To this end, we extend the type system of §2 with annotated output and input session types, written \( !^n S.T \) and \( ?^n S.T \) (with \( n \geq 0 \)), which specify the position of an associated prefix within the process. This way, e.g., type \( !^0 S.T \) is associated to an output prefix at top-level. Also, we have typing judgments \( \Gamma \circ \Psi \vdash_{st} P \) where the typing context \( \Gamma \) is as before and \( \Psi \) is a dependency context that describes all communication prefixes in \( P \) and their distance to top-level. This context contains tuples of the form \( (a_1, u, 1) \) and \( (a_2, u, 2) \); the former can be read as “there is an input on \( a_1 \) with placeholder \( u \) one prefix away from top-level”, whereas the latter can be read as “there is an output on \( a_2 \) with object \( u \) two prefixes away from top-level”. Using \( \Psi \), we formally define value dependencies as pairs: we write \( (a^n, b^m) \) to denote a value dependency of an (output) prefix along session \( b \) on an (input) prefix along session \( a \).

2. We exploit the value dependencies in \( \Psi \) to refine the definitions of characteristic processes (Definition 5.1) and catalyzer contexts (Definition 5.3) of a typing context \( \Gamma \):

   - The set of characteristic processes of \( \Gamma \) that exploits \( \Psi \) is denoted \( \{ \Gamma \}^{\Psi} \); it implements value dependencies in \( \Psi \) using so-called bridging sessions that connect the characteristic processes of the two types involved in the dependency.

   - The set of catalyzer contexts of \( \Gamma \) handles value dependencies in \( \Psi \) by “isolating” them using dedicated forwarder processes and characteristic processes.

3. Using these refined definitions, we define the optimized translation \( (\cdot)^V \). Main differences with respect to the translation defined in Figure 12 appear in the translation of parallel processes. As before, process \( (\nu \overline{xy} : S)(P_1 | P_2) \) is translated into processes of two forms: \( C_{1}[Q_1] | G_2 \) and \( G_1 | C_{2}[Q_2] \), where \( Q_1 \) (resp. \( Q_2 \)) stands for the translation of \( P_1 \) (resp. \( P_2 \)). The difference is
that the catalyzers $C_1, C_2$ and the characteristic processes $G_1, G_2$ are now obtained exploiting value dependencies (as explained in (2)). As $\Psi$, the optimized translation $\langle \Psi \rangle^]\text{ST}\rangle$ satisfies type preservation and operational correspondence.

The following example illustrates how $\langle \Psi \rangle^]\text{ST}\rangle$ improves over $\langle \Psi \rangle$.

**Example 5.6** (Revisiting Examples 5.4 and 5.5). Let $P$ be as in Example 5.4:

$$P \equiv \langle \nu a_0 b_0 \rangle (\bar{a}_0(n), a_1(u), \bar{a}_2(u), 0 \mid b_0(v), (b_2(y), y(x), 0 \mid (\nu wz)(\bar{b}_1(w), \bar{z}(n), 0)))$$

In the extended type system, we have $\Gamma \vdash_{\text{ST}} P$ where

$$\Gamma \equiv a_1 : ?U.\text{end}, a_2 : ?U.\text{end}, b_2 : ?U.\text{end}, b_1 : !U.\text{end}$$

$$\Psi \equiv \langle a_0, n, 0 \rangle, (a_1, u, 1), (a_2, u, 2), (b_0, v, 0), (b_2, y, 1), (y, x, 2), (b_1, w, 1), (z, n, 2)$$

Using $\Psi$, we can detect the value dependence $(a_1^1, a_2^1)$. Consider now $Q'$, one particular process included in the set $\langle \Gamma \circ \Psi \vdash_{\text{ST}} P \rangle^]\text{ST}\rangle$:

$$Q' = \langle \nu c_{a_1 a_2} \rangle (G'_{a_1} \mid G'_{a_2}) \mid C_{a_0} : c:a_1:a_2: b_0(v), (b_2(y), y(x), 0 \mid (\nu wz)(\bar{b}_1(w), \bar{z}(n), 0)))$$

where $G'_{a_1} \in \langle ?U.\text{end} \rangle^]\Psi\rangle$ and $G'_{a_2} \in \langle !U.\text{end} \rangle^]\Psi\rangle$ are obtained using the refined definition of characteristic processes. With the refined definition, we have that

$$G'_{a_1} = a_1(y), \bar{a}_1(w), ([y \leftrightarrow w] \mid 0)$$

$$G'_{a_2} = c_{a_1 a_2}(y), \bar{a}_2(w), ([y \leftrightarrow w] \mid 0)$$

Thus, the characteristic processes of a type are still independently defined but now implement a bridging session $c_{a_1 a_2}$, which ensures that the name received along $a_1$ in $G'_{a_1}$ is the same name outputted along $a_2$ in $G'_{a_2}$. The characteristic process of a typing context guarantees that bridging sessions are properly composed. This way, the value dependence between $a_1$ and $a_2$, present in $P$, has been preserved in $Q'$ as a result of the refined translation.

Although intuitive, the way in which $\langle \Psi \rangle^]\text{ST}\rangle$ improves over $\langle \Psi \rangle$ is somewhat implicit, because value dependencies are accounted for by the revised definitions of characteristic processes and catalyzers as restricted (hidden) sessions. Therefore, the implemented value dependencies in these definitions does not influence the observable behavior of the translated process. Formalizing the advantages of $\langle \Psi \rangle^]\text{ST}\rangle$ over $\langle \Psi \rangle$ is an interesting question for future work, because it requires devising new notions of observables for typed processes that “look inside” reductions to ensure that values are forwarded appropriately between the two independent sessions.

6. Discussion

**Processes with Unbounded Behavior.** Our investigation has been motivated by the proliferation of type systems for ensuring safety and liveness properties of mobile, concurrent processes. Different type systems enforce different such properties, which include various forms of (dead)lock-freedom, termination, and confluence. In this work, our criteria have been twofold. On the one hand, we have aimed at obtaining objective formal comparisons between well-established type systems, sticking to their original formulations as much as possible. On the other hand, we have concentrated
on the intrinsic challenges of statically enforcing deadlock freedom. We have focused on typed processes without constructs for expressing processes with unbounded behavior, such as replication or recursion. This focus was useful to be consistent with these criteria; next we discuss some of the issues involved in going beyond this class of finite processes.

In the Curry-Howard correspondences for session types, sharing exponentials ! and ? at the level of propositions/types can be interpreted as input-guarded replication !\(x(z).Q\) at the level of proofs/processes. In the classical setting considered here, a channel typed with !\(A\) denotes a server able to offer an arbitrary number of copies (including zero) of a process with behavior of type \(A\) upon request (an input); dually, a channel typed with ?\(A\) denotes a request to such a server (an output). This requires an additional cut rule for exponential (unrestricted) contexts (denoted \(\Theta\)) as well as typing rules for realizing the sharing semantics of !\(A\) and ?\(A\). Other rules are adjusted to account for \(\Theta\); for instance, the mix rule becomes:

\[
\frac{P \vdash_{\text{LL}} \Delta; \Theta \quad Q \vdash_{\text{LL}} \Delta'; \Theta}{P \mid Q \vdash_{\text{LL}} \Delta, \Delta'; \Theta}
\]

The resulting logically justified reduction rule for replication is as follows:

\[
(\nu x)(\pi(y).P \mid !x(z).Q) \rightarrow (\nu x)((\nu y)(P \mid Q) \mid !x(z).Q) \quad (1)
\]

The process before the reduction features one linear cut along session \(x\); after the reduction, the process contains two cuts: the cut on \(y\) is linear, whereas the one on \(x\) is exponential.

The definition of the typed languages \(L\) and \(K\) (Definition 4.4) can be extended to consider typed processes with replication, which is used in [CP10, CPT16] (in the input-guarded variant described above) and in [Kob06] (where unguarded replication !\(P\) is accounted for). Since the type system \(\vdash_{\text{ST}}\) in [Vas12] admits rather expressive forms of recursion (that go well beyond the server-request interactions enabled by input-guarded replication), the class of “full \(L\)” processes would be a strict sub-class of session-typed processes.

Now, considering processes with unbounded behavior entails including termination properties into the analysis of (dead)lock-freedom. Crucially, the full Curry-Howard interpretation for session types, including exponentials as explained above, is known to be strongly normalizing and confluent [PCPT14, CP17]. Therefore, unbounded behavior in full \(L\) concerns unboundedly many copies of finite, deterministic interactive behaviors. The fact that a single type system simultaneously enforces deadlock freedom, termination, and confluence sharply contrasts to the situation for non-logical type systems: to our knowledge, only the hybrid type system in [KS10] simultaneously ensures these three properties (see below). Clearly, it would be unfair to compare processes that enjoy different properties, i.e., processes in \(L\) against well-typed processes in type systems that enforce some, but not all, of deadlock freedom, termination, and confluence. By focusing on finite processes, we have found a fair ground to objectively compare different type systems.

The integration of non-logical type systems for (dead)lock-freedom, termination, and confluence is far from trivial, and requires advanced mechanisms. Kobayashi and Sangiorgi [KS10] targeted this goal by defining a parametric hybrid type system based on usages, which enforces the three properties through different methods (not necessarily type systems). As in [Kob02a], the syntax of usage types in [KS10] includes replicated usages \(*U\), i.e., unboundedly many parallel copies of usage \(U\). (The authors remark that the recursive usages \(\mu U\) from [Kob06] are also sound.) To enable fair comparisons against full \(L\), Kobayashi and Sangiorgi’s type system should be restricted so that it allows only input-guarded replicated processes (cf. (1)) and simultaneously enforces (dead)lock-freedom, termination, and confluence. Identifying the syntactic/semantic conditions that enable
this restriction seems challenging. Defining such a restricted variant of [KS10] would most likely
mean developing a very different type system, therefore departing from the compact abstractions
given by usage types. Hence, comparing such a different type system against the canonical language
full \( \mathcal{L} \) would also be unfair.

We notice that Curry-Howard interpretations of session types have been extended with forms
of (co)-recursive types, which extend (full) \( \mathcal{L} \) by admitting as typable certain forms of (productive)
unbounded behavior—see the works by Toninho et al.[TCP14] and by Lindley and Morris [LM16].
Indeed, the framework in [LM16] can be roughly seen as the extension of the logic-based type system
in \S 3.1 with co-recursion. Although these extensions bring closer logically motivated and non-logical
type systems (which often do not ensure termination), the forms of co-recursive unbounded behavior
enabled by [TCP14, LM16] preserve the intrinsic confluent, deterministic behavior inherited from
logical foundations. This prevents fair comparisons with type systems for deadlock freedom of
unbounded/cyclic communication structures which do not guarantee confluence/determinism, such
as those by Giachino et al. [GKL14, KL17]. In contrast, the type system for deadlock freedom by
Padovani [Pad14] ensures a form of partial confluence, inherited from [KPT99]. Hence, it would
see that there is common ground for comparing the linear type system in [Pad14] and the logically
motivated session type system in [LM16]. The actual feasibility of relating these different type
systems remains unclear, and should be established in future work.

Other Curry-Howard Interpretations of Session Types. As already discussed, our work concerns
the Curry-Howard correspondences between (classical) linear logic propositions and session types
developed in [CP10, CPT16, Wad12]. In the following, we briefly discuss our results in the context of
other correspondences between (variants of) linear logic and session types [DG18, KMP19, QKB21].

Dardha and Gay [DG18] developed Priority-based Classical Processes (PCP), a session type
system that rests upon an extension of classical linear logic with Kobayashi’s obligations/capabilities,
simplified to priorities by following Padovani [Pad14]. Unlike the type system in [Wad12], the type
system of PCP admits processes with safe cyclic topologies. To this end, PCP cuts ties with the
“composition plus hiding” principle that is at the heart of \( \mathcal{L} \): the Rule (\( \tau\)-cut) is replaced by rules
(\( \tau\)-mix) and (\( \tau\)-cycle) that separately treat parallel composition and restriction, respectively. As a re-
sult, a multicut rule, which allows composing two processes that may share more than one session,
is derivable in PCP. This way, the class of typable processes induced by PCP strictly includes \( \mathcal{L} \).

Kokke et al. [KMP19] developed Hypersequent Classical Processes (HCP), an interpretation
of session types based on the same classical linear logic as in \[Wad12\] but with a hypersequent
presentation. The design of HCP neatly induces a labeled transition semantics for typed processes;
it has been further investigated in, e.g., [QKB21, FKD+21]. Also, similarly to PCP, HCP includes
separate typing rules for restriction and parallel composition. However, HCP cannot type the
kind of safe cyclic process topologies that are typable in PCP: as such, the separate treatment for
restriction and parallel composition (enabled by the hypersequent presentation of CLL) does not
enhance by itself the topology of processes, and the typable processes in HCP have a tree topology
as in \( \mathcal{L} \). We conjecture that our separation and unifying results also hold, with minor modifications,
for a variant of \( \mathcal{L} \) based on HCP. We leave such technical investigation as future work.

Qian et al. [QKB21] recently proposed Client-Server Linear Logic (CSLL) and a corresponding
session type system for \( \pi \)-calculus processes, which uses the hypersequent presentation of [KMP19].
By introducing coexponentials, CSLL captures more expressive client-server behaviours than those
usually admitted by interpretations of CLL as session types. Their approach consists in abandoning
the mix principles as adopted by most systems based on CLL (including the one we define in \S 3.1).
This way, the mix rules in CSLL only concern disjoint concurrency, as captured by hyperenvironments. As already discussed, in our work the Rule (T-mix) enables us to type the independent parallel composition of processes, as needed in several aspects of our development, such as our separation result based on $\mu\mathcal{K}$. Exploring how to adapt our results to a system without mix principles is an interesting item for future work.

**Correctness Criteria for the Translation.** The translation $\triangleleft$ defined in § 5 is supported by an operational correspondence result, Theorem 5.2. Operational correspondence is a well-established correctness criterion, which allows us to certify the quality of a language translation. There exist other correctness criteria, which typically serve different purposes [Gor10, Par08]. A notable criterion is full abstraction, i.e., that a translation from a source to a target language preserves the respective equivalences. While full abstraction is not informative enough to assert the quality of a translation (see [GN16, Par16] for a discussion), it is quite appropriate to formalise the transfer of reasoning techniques between different calculi. Studying $\triangleleft$ from the perspective of full abstraction would be insightful and requires developing (typed) behavioural equivalences for $\mathcal{K}$ and $\mathcal{L}$. We leave such technical developments as future work.

7. Related Work

The analysis of deadlock freedom in concurrency has a rather long and rich history, as discussed in details in [AGN97]. Focusing on type-based approaches to deadlock freedom for communicating processes, early works are by Kobayashi [Kob97] and by Abramsky et al. [AGN97]. The work in [AGN97] develops a semantic approach to deadlock freedom for asynchronous communicating processes, building upon categorical foundations. The work in [Kob97] proposes a type system for the $\pi$-calculus that builds upon two key ideas: (i) the introduction of usage of channels as types (usage types), and (ii) the classification of channels into reliable and unreliable (where reliable channels are ensured to have deadlock-free interactions). These ideas have proved rather influential: based on them, a number of extensions and enhancements to type systems for deadlock-free, message-passing processes have been introduced. Kobayashi [Kob07] offers a unified presentation of these developments. The work of Kobayashi and Laneve [KL17] builds upon notions of usage types and reliable channels for the type-based analysis of unbounded process networks.

The introduction of type systems based on usage types coincided in time with the introduction of (binary) session types as a type-based approach to ensure safe structured communications [Hon93, THK94, HVK98]. In their original formulation, session types for the $\pi$-calculus ensure communication safety and session fidelity, therefore ruling out some (but not all!) of the causes of deadlocked behaviors in communicating processes. In session-based concurrency, deadlocks are due to circular dependencies inside a session, but are also found in subtle entanglements between different protocols/sessions. In particular, session type systems [Vas12] cannot guarantee deadlock freedom of interleaved sessions. To our knowledge, Dezani et al. [DdY08] were the first to address progress/deadlock freedom for session-typed processes. Subsequent works on type-based analyses for deadlock freedom in structured communications include [BCD+08, CV09, CD10, CP10, Pad13, VV13, Pad14].

As discussed in detail in this paper, another approach to deadlock freedom is based on linear logic under a Curry-Howard correspondence [CP10, Wad12], where circular dependencies of communication in processes are eliminated by design, due to Rule (T-cut). As already mentioned, the type system PCP [DG18] allows to type safe cyclic process topologies. Following PCP, Kokke and
Dardha [KD21b] define Priority GV (PGV) and its implementation in Linear Haskell [KD21a], which is a core concurrent \( \lambda \)-calculus with priorities, whereas the work of Van den Heuvel and Pérez [vdHP21] extends PCP with asynchronous communication. Balzer et al. [BTP19] develop a type system that enforces deadlock freedom for a language with manifest sharing, which supports possibly recursive processes in which cycles arise under an acquire-release discipline for shared channels. We note that none of the above works propose a formal comparison between different type systems for deadlock freedom, as we achieve in this paper.

Building upon a translation first suggested by Kobayashi [Kob07], the work of Dardha et al. [DGS12, Dar14, DGS17] offered a formal relationship between usage types and session types. Such a relationship made it possible to use type-based analysis techniques for usage types in the analysis of session-typed process specifications; this insight was formalized by Carbone et al. [CDM14, Dar16]. The effectiveness of the approach in [CDM14] is supported by informal comparisons with respect to different type systems for deadlock freedom (including those in [DdY08] and [CD10]) using processes typable in one framework but not in another.

A comparison with the workshop version of our work [DP15] is relevant. In [DP15], we introduced the notion of degree of sharing to classify processes in \( \mathcal{K} \). Intuitively, the degree of sharing quantifies the greatest number of channels shared by parallel components: processes with a degree of sharing equal to 0 do not share any channels (concurrency without interaction), processes with a degree of sharing equal to 1 share at most one session, and so on. The degree of sharing determines a strict hierarchy of processes (denoted \( \mathcal{K}_0, \mathcal{K}_1, \ldots \) in [DP15]). This hierarchy, however, is only static—it is not closed under reduction.

A recent work by Van den Heuvel and Pérez [vdHP20] also compares session type systems based on Curry-Howard foundations. In [vdHP20], the focus is on the classes of typable processes induced by classical and intuitionistic presentations of linear logic; the main result is that the class induced by intuitionistic linear logic is strictly contained in the class induced by classical linear logic. That is, classical linear logic leads to more permissive session type systems. The developments in this paper involved several process languages, each with its own type system—note that the type system that defines \( \mathcal{L} \) (Figure 5) falls under the classical perspective. In contrast, the comparisons in [vdHP20] concern different logically-motivated type systems for the same process language.

Loosely related to our work (in particular to the translation in §5) is previous work on deadlock resolution in the \( \pi \)-calculus by Giunti and Ravara [GR13] and on unlocking blocked processes by Francalanza et al. [FGR15]. The approach in [GR13] relies on a typing algorithm that detects a particular class of deadlocks (so-called self-holding deadlocks), but instead of rejecting the code, fixes it by looking into the session types and producing new safe code that obeys the protocols and is deadlock-free. Building upon [GR13], the work in [FGR15] investigates methods for resolving circular-wait deadlocks across parallel compositions, with a focus on finite CCS processes.

8. Concluding Remarks

We have presented a formal comparison of fundamentally distinct type systems for deadlock-free, session typed \( \pi \)-calculus processes. To the best of our knowledge, ours is the first work to establish precise relationships of this kind. Indeed, prior comparisons between type systems for deadlock freedom are informal, given in terms of specific processes typable in one type system but not in some other.

An immediate difficulty in giving a unified account of different typed frameworks for deadlock freedom is the variety of process languages, type structures, and typing rules that define each
framework. Our comparisons involve: the framework of session processes put forward by Vasconcelos [Vas12]; the interpretation of linear logic propositions as session types by Caires [Cai14]; the $\pi$-calculus with usage types by Kobayashi in [Kob02a]. Finding some common ground for comparing these three frameworks is not trivial—several translations/transformations were required in our developments to account for numerous syntactic differences. We made an effort to follow the exact definitions in each framework. Overall, we believe that we managed to concentrate on essential semantic features of two salient classes of deadlock-free session processes, here defined as $L$ and $K$ (cf. Definition 4.4).

One main technical contribution is the identification of the precise conditions under which $L$ and $K$ coincide. We introduced $\mu K$, a strict sub-class of $K$ that coincides with $L$. The class $\mu K$ arises as the specialization of Kobayashi’s type system [Kob06] that results from internalizing the key aspects of the Curry-Howard interpretation of session types, most notably, the principle of “composition plus hiding”, which allows to compose only processes that share exactly one session. This way, $\mu K$ represents a new characterization of $L$, given in terms of a very different type system for deadlock freedom adopting usages, capabilities and obligations, put forward by Kobayashi. The identification of $\mu K$ and its coincidence with $L$ thus provide an immediate way of separating the classes of processes in $L$ and $K$. As another technical contribution, but on the opposite direction, we determined how to unify these two classes by developing an intuitive translation of processes in $K$ into processes in $L$, which addresses the syntactic differences between different classes of deadlock-free processes in a type-respecting manner. Although based on simple ideas, our technical developments substantially clarify our understanding of type systems for liveness properties (such as deadlock freedom) in the context of $\pi$-calculus processes.

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Appendix A. Omitted Proofs for §4

Appendix A.1. Proof of Theorem 4.1 (Page 24)

We divide the proof into the following two lemmas: Lemma Appendix A.2 (see below) and Lemma Appendix A.3 (Page 48).

Lemma Appendix A.1 (Substitution Lemma for CP). If \( P \vdash_{LL} \Gamma, x : T \) and \( v \not\in \text{fn}(P) \), then \( P[v/x] \vdash_{LL} \Gamma, v : T \).

Lemma Appendix A.2. If \( P \in L \) then \( P \in \mu K \).

Proof. By structural induction on \( P \). By Definition 4.4 and Definition 4.5, we have that
\[
L = \{ P \in P : \exists \Gamma, (\Gamma \vdash_{ST} P \land \llbracket P \rrbracket_\ell \vdash_{LL} \llbracket \Gamma \rrbracket_\ell) \}
\]
\[
\mu K = \{ P \in P : \exists \Gamma, f. (\Gamma \vdash_{ST} P \land \llbracket \Gamma \rrbracket_\ell \vdash_{\prec} \llbracket P \rrbracket_\ell) \}
\]
where \( \llbracket \cdot \rrbracket_\ell \) is as in Definition 4.1. Following the syntax in Figure 2, there are seven cases to consider:

1. \( P = 0 \): By assumption we have both \( \Gamma \vdash_{ST} 0 \), for some context \( \Gamma \) (with \( \text{un}(\Gamma) \)), and \( 0 \vdash_{LL} x_1 \cdot \ldots \cdot x_n \cdot \), for some \( x_1, \ldots, x_n \) such that \( x_i : \text{end} \in \Gamma \), for all \( i \in \{1, \ldots, n\} \). Because \( \Gamma^i = 0 \), we must show that \( \emptyset \vdash_{\prec} 0 \). The thesis then follows immediately from Rule (\( T \pi \)-Nil) in Figure 11.

2. \( P = x(y).P' \): By assumption and Definition 3.11, Definition 4.1, and Definition 4.4, we have
\[
\Gamma, x : ?T.S \vdash_{ST} x(y).P' \quad \text{(A.1)}
\]
for some context \( \Gamma \) and session types \( S, T \). By inversion on typing judgement on (A.1):
\[
\Gamma, x : ?S.T \vdash_{ST} P'
\]
By Definition 3.11 and Definition 4.5 and by Figure 7, we then must show:
\[
f_x : \text{chan}(\llbracket T \rrbracket_u, \llbracket S \rrbracket_u : \tau \circ \circ \circ ; 0); \llbracket \Gamma \rrbracket_\ell \vdash_{\prec} \llbracket P \rrbracket_\ell \quad \text{(A.2)}
\]
By induction hypothesis on the premise of (A.2) we have:
\[
\llbracket \Gamma^i \rrbracket_\ell, f'_x : \llbracket S \rrbracket_u, f'_y : \llbracket T \rrbracket_u \vdash_{\prec} \llbracket P \rrbracket_\ell \quad \text{(A.3)}
\]
for some renaming function \( f' \). We let \( f \) be such that \( f' = f, \{ x \mapsto c \} \). We can then use \( f \) to rewrite the above judgement (A.3), as follows:
\[
\llbracket \Gamma^i \rrbracket_\ell, c : \llbracket S \rrbracket_u, y : \llbracket T \rrbracket_u \vdash_{\prec} \llbracket P' \rrbracket_\ell \quad \text{(A.4)}
\]
Then, the thesis follows from (A.4) after applying Rule (\( T \pi \)-In) in Figure 11.
3. \( P = \pi(y).P' \): By assumption and Definition 3.11, Definition 4.1, and Definition 4.4, we have:

\[
\Gamma, x : !T.S, y : T \vdash_{ST} \pi(y).P'
\]

\[
\Gamma(z).([x \leftrightarrow y] \mid \llbracket P' \rrbracket_\ell) \vdash_{LL} \langle \Gamma \rangle_\ell, x : \llbracket T \rrbracket_\ell \otimes \llbracket S \rrbracket_\ell, y : \llbracket T \rrbracket_\ell
\]

for some context \( \Gamma \) and session types \( S, T \). By inversion on typing judgement on (A.5) we have:

\[
\Gamma, x : !T.S, y : T \vdash_{ST} \pi(y).P'
\]

(A.6)

By Definition 4.5 and Figure 7, we must show:

\[
f_x : \text{chan}([T]_u, [S]_u : !0) \vdash (y : [T]_u \mid [\Gamma^i]_u) \vdash^\mu \nu c \, \llbracket x, c \rrbracket_\ell, \llbracket P' \rrbracket_u^{f, \{x \mapsto c\}}
\]

By induction hypothesis on the premise of (A.6) we have:

\[
[\Gamma^i]_u^f, f_x : [S]_u \vdash^\mu \llbracket P' \rrbracket_u^f
\]

for some renaming function \( f' \). We let \( f \) be such that \( f' = f, \{x \mapsto c\} \). We can then rewrite the above judgement in terms of \( f \) as follows:

\[
[\Gamma^i]_u^f, c : [S]_u \vdash^\mu \llbracket P' \rrbracket_u^{f, \{x \mapsto c\}}
\]

(A.7)

By applying Rule (\( \tau_x \text{-} \text{Chan} \)) we can derive both

\[
y : [T]_u \vdash^\mu y : [T]_u ((\ast)) \quad \text{and} \quad c : [S]_u \vdash^\mu c : [S]_u ((**)\text{.)}
\]

Let \( U_1 = u([S]_u) \) and \( U_2 = u([S]_u) \). Lemma 4.4 ensures \( \text{rel}(U_1 \mid U_2) \). We can then apply Rule (\( \tau_x \text{-} \text{BOUR} \)) in Figure 11 on \((\ast), (**)\text{.), and the induction hypothesis in (A.7):

\[
f_x : \text{chan}([T]_u, [S]_u : !0) \vdash (y : [T]_u \mid [\Gamma^i]_u) \vdash^\mu \nu c \, \llbracket x, c \rrbracket_\ell, \llbracket P' \rrbracket_u^{f, \{x \mapsto c\}}
\]

(A.8)

which concludes this case.

4. \( P = \text{xy}\{l_i : P_i\}_{i \in I} \). Then, by assumption and Definition 3.11, Definition 4.1, and Definition 4.4 we have:

\[
\Gamma, x : \&\{l_i : T_i\}_{i \in I} \vdash_{ST} x : \{l_i : P_i\}_{i \in I}
\]

\[
x : \&\{l_i : P_i\}_{i \in I} \vdash_{LL} \langle \Gamma \rangle_\ell, x : \&\{l_i : \llbracket T_i \rrbracket_\ell\}_{i \in I}
\]

(A.9)

for some context \( \Gamma \) and session types \( T_i \) for \( i \in I \). By inversion on the typing judgement given in (A.9) we have:

\[
\Gamma, x : T_i \vdash_{ST} P_i \quad \forall i \in I
\]

(A.10)

By Definition 3.11 and Definition 4.5, and by Figure 7, we must show:

\[
f_x : \text{chan}(\llbracket l_i : [T_i]_u \rrbracket_{i \in I} : !0) \vdash^\mu \llbracket \Gamma^i \rrbracket_u^f \vdash_{LL} f_x(y). \text{case } y \text{ of } \{l_i : P_i : \llbracket P_i \rrbracket_u^{f, \{x \mapsto c\}}\}_{i \in I}
\]
By induction hypothesis on the premise of (A.10) we have:

\[
\llbracket \Gamma \rrbracket_u^{f'}, f'_x : \llbracket T_1 \rrbracket_u \vdash \llbracket P \rrbracket_u^{f'} \quad \forall i \in I
\]

for some renaming function \( f' \). We let \( f \) be such that \( f' = f, \{ x \mapsto c \} \). We can then rewrite the above judgement as follows:

\[
\llbracket \Gamma^{f'} \rrbracket_u, c : \llbracket T \rrbracket_u \vdash \llbracket P \rrbracket_u^{f', \{ x \mapsto c \}} \quad \forall i \in I
\]

By applying Rule \((\pi \cdot \text{Var})\), we obtain \( y : \langle \ell_1 : \llbracket T_1 \rrbracket_u \rangle_{i \in I} \vdash \llbracket \ell \rrbracket_u \) \( y : \langle \ell_1 : \llbracket T_1 \rrbracket_u \rangle_{i \in I} \). Then we apply in order Rules \((\pi \cdot \text{Case})\) and \((\pi \cdot \text{Indr})\) (cf. Figure 11) on the judgement above to conclude:

\[
f_x : \text{chan}(\langle \ell_1 : \llbracket T_1 \rrbracket_u \rangle_{i \in I} ; \rho_0) ; \llbracket \Gamma^{f'} \rrbracket_u \vdash \llbracket \ell \rrbracket_u \text{ case } \{ \ell_1 . c \vdash \llbracket \ell \rrbracket_u^{f, \{ x \mapsto c \}} \}_{i \in I}
\]

5. \( P = x \triangleleft l_j . P_j \). Then, by assumption and Definition 3.11, Definition 4.1, and Definition 4.4, we have:

\[
\begin{align*}
\Gamma, x : \oplus \{ \ell_1 : T_1 \}_{i \in I} & \vdash \text{st } x \triangleleft l_j . P_j \\
x \triangleleft l_j . \llbracket P_j \rrbracket_{\ell} & \vdash \text{ll } \llbracket \Gamma \rrbracket_{\ell}, x : \oplus \{ \ell_1 : \llbracket T_1 \rrbracket_{\ell} \}_{i \in I}
\end{align*}
\]

for some context \( \Gamma \) and session types \( T_i \), for \( i \in I \). By inversion on typing judgement on (A.11) we have:

\[
\frac{\Gamma, x : T_j \vdash \text{st } P_j \quad \exists j \in I}{\Gamma, x : \oplus \{ \ell_1 : T_1 \}_{i \in I} \vdash \text{st } x \triangleleft l_j . P_j}
\]

By Definition 4.5 and Figure 7, we must show:

\[
f_x : \text{chan}(\langle \ell_1 : \llbracket T_1 \rrbracket_u \rangle_{i \in I} ; \rho_0) ; \llbracket \Gamma^{f'} \rrbracket_u \vdash \llbracket \ell \rrbracket_u \text{ case } \{ \ell_1 . c \vdash \llbracket \ell \rrbracket_u^{f, \{ x \mapsto c \}} \}_{i \in I}
\]

By induction hypothesis on the premise of (A.12) we have:

\[
\llbracket \Gamma^{f'} \rrbracket_u, f'_x : \llbracket T \rrbracket_u \vdash \llbracket P \rrbracket_u^{f', \{ x \mapsto c \}}
\]

for some renaming function \( f' \). We let \( f \) be such that \( f' = f, \{ x \mapsto c \} \). We can then rewrite the above judgement as follows:

\[
\llbracket \Gamma^{f'} \rrbracket_u, c : \llbracket T \rrbracket_u \vdash \llbracket P \rrbracket_u^{f', \{ x \mapsto c \}}
\]

By applying Rules \((\pi \cdot \text{Var})\) and \((\pi \cdot \text{LVar})\) we obtain:

\[
c : \llbracket T \rrbracket_u \vdash \llbracket \ell \rrbracket_u \text{ case } \{ \ell_1 : \llbracket T_1 \rrbracket_u \}_{i \in I}
\]

Let \( U_1 = u(\llbracket T \rrbracket_u) \) and \( U_2 = u(\llbracket T \rrbracket_u) \) Lemma 4.4 ensures \( \text{rel}(U_1 \mid U_2) \). We can then apply Rule \((\pi \cdot \text{BOut})\) (cf. Figure 11), without a free channel (only a bound one), on (A.13) and (A.14). We have:

\[
\frac{c : \llbracket T \rrbracket_u \vdash \llbracket \ell \rrbracket_u \text{ case } \{ \ell_1 : \llbracket T_1 \rrbracket_u \}_{i \in I} \quad \llbracket \Gamma^{f'} \rrbracket_u, c : \llbracket T \rrbracket_u \vdash \llbracket P \rrbracket_u^{f', \{ x \mapsto c \}} \quad \text{rel}(U_1 \mid U_2)}{f_x : \text{chan}(\langle \ell_1 : \llbracket T_1 \rrbracket_u \rangle_{i \in I} ; \rho_0) ; \llbracket \Gamma^{f'} \rrbracket_u \vdash \llbracket \ell \rrbracket_u \text{ case } \{ \ell_1 . c \vdash \llbracket \ell \rrbracket_u^{f, \{ x \mapsto c \}} \}_{i \in I}}
\]

which concludes this case.
6. \( P = (\nu xy) P' \): Then, by assumption and Definition 3.11, 4.1, and 4.4, we have that there exist \( P_1, P_2 \) such that \( P' = P_1 \mid P_2 \) with

\[
\Gamma \vdash_{\text{st}} (\nu xy)(P_1 \mid P_2)
\]

(A.15)

for some context \( \Gamma \). By inversion on the typing judgements given in (A.15) we have the following derivation, for some session type \( T \):

\[
\begin{align*}
\Gamma_1, x : T &\vdash_{\text{st}} P_1 \\
\Gamma_2, y : T &\vdash_{\text{st}} P_2 \\
\Gamma &\vdash_{\text{st}} (\nu xy)(P_1 \mid P_2)
\end{align*}
\]

(A.16)

where \( \Gamma = \Gamma_1, \Gamma_2 \). Because \( P \in \mathcal{L} \), we have that \( \Gamma_1 \) and \( \Gamma_2 \) are disjoint and that channel endpoints \( x \) and \( y \) (or the single name \( w \) in \( \mathcal{L} \)) form the only shared channel between processes \( P_1 \) and \( P_2 \). By Definition 4.5 and Figure 7, we must show:

\[
\llbracket \Gamma \rrbracket_u^f \vdash_{\text{st}} (\nu w) \llbracket (P_1)_u^{f,(x\rightarrow w)} \mid (P_2)_u^{f,(y\rightarrow w)} \rrbracket_u
\]

By induction hypothesis on the premises of (A.16), we have:

\[
\llbracket \Gamma_1 \rrbracket_u^f, f' : [T]_u \vdash_{\llbracket \text{st} \rrbracket} \llbracket P_1 \rrbracket_u^{f,(x\rightarrow w)} \quad \text{and} \quad \llbracket \Gamma_2 \rrbracket_u^f, f' : [T]_u \vdash_{\llbracket \text{st} \rrbracket} \llbracket P_2 \rrbracket_u^{f,(y\rightarrow w)}
\]

for some renaming function \( f' \). We let \( f \) be such that \( f' = f, \{x, y \mapsto w\} \), hence we have \( f'_x = f'_y = w \). We can now rewrite the above judgements in terms of \( f \) as follows:

\[
\llbracket \Gamma_1 \rrbracket_u^f, w : [T]_u \vdash_{\llbracket \text{st} \rrbracket} \llbracket P_1 \rrbracket_u^{f,(x\rightarrow w)} \quad \text{and} \quad \llbracket \Gamma_2 \rrbracket_u^f, w : [T]_u \vdash_{\llbracket \text{st} \rrbracket} \llbracket P_2 \rrbracket_u^{f,(y\rightarrow w)}
\]

Let \( U_1 = u(\llbracket T \rrbracket_u) \) and \( U_2 = u(\llbracket T \rrbracket_u) \). By Lemma 4.4, \( \text{rel}(U_1 \mid U_2) \). Combining these facts, we can apply Rule (\( \pi\text{-par+res} \)) in Figure 11:

\[
\llbracket \Gamma_1 \rrbracket_u^f, w : [T]_u \vdash_{\llbracket \text{st} \rrbracket} \llbracket P_1 \rrbracket_u^{f,(x\rightarrow w)} \quad \text{and} \quad \llbracket \Gamma_2 \rrbracket_u^f, w : [T]_u \vdash_{\llbracket \text{st} \rrbracket} \llbracket P_2 \rrbracket_u^{f,(y\rightarrow w)} \quad \text{rel}(U_1 \mid U_2)
\]

with \( \prec_i=\prec \cup \{(w, y) \mid y \in \text{fn}(P_i) \setminus \{w\} \} \) \((i \in \{1, 2\})\). This completes the proof for this case.

7. \( P = P_1 \mid P_2 \): This case is similar to the previous one. By assumption we have

\[
\Gamma \vdash_{\text{st}} P_1 \mid P_2
\]

(A.17)

and inversion on typing on (A.17) we infer:

\[
\begin{align*}
\Gamma_1 \vdash_{\text{st}} P_1 \\
\Gamma_2 \vdash_{\text{st}} P_2
\end{align*}
\]

(A.18)

where \( \Gamma = \Gamma_1, \Gamma_2 \). As before, because \( P \in \mathcal{L} \), we have that \( \Gamma_1 \) and \( \Gamma_2 \) are disjoint: they share no endpoints. By Definition 4.5 and Figure 7, we must show:

\[
\llbracket \Gamma_1 \rrbracket_u^f \vdash_{\llbracket \text{st} \rrbracket} \llbracket P_1 \rrbracket_u^f \mid \llbracket P_2 \rrbracket_u^f
\]

which follows by induction hypothesis on the premises of (A.18) and by applying Rule (\( \text{\pi_indpar} \)) (cf. Figure 11).
Lemma Appendix A.3. If $P \in \mu K$ then $P \in L$.

Proof. By structural induction on $P$. Recall that by Definition 4.5 and Definition 4.4, we have that

$$
\mu K \triangleq \{ P \in P : \exists \Gamma, f. (\Gamma \vdash ST P \wedge \mu^f_\Gamma [P]) \}
$$

$$
L \triangleq \{ P \in P : \exists \Gamma. (\Gamma \vdash ST P \wedge [P]_L \subseteq [\Gamma]_L) \}
$$

Following the syntax of processes in Figure 2, there are seven cases to consider:

1. $P = 0$: By assumption we have both $\Gamma \vdash ST 0$, for some $\Gamma$ (with $\text{un}(\Gamma)$), and $\mu^f_\Gamma [0]$. Notice that $\Gamma^f = \emptyset$. We have to show $0 \vdash LL_x L x_1 : \cdots : L x_n : \cdots$ for some $x_1, \ldots, x_n$ such that $x_i : \text{end} \in \Gamma$, for all $i \in \{1, \ldots, n\}$. The thesis follows by using Axiom (T-1), followed by $n - 1$ applications of Rule (T-⊥) in Figure 5.

2. $P = x(y).P'$: Then, by assumption, Figure 7 and Definition 4.5, we have both

$$
\Gamma, x : ?T.S \vdash ST x(y).P' \quad (A.19)
$$

for some context $\Gamma$, session types $S, T$, and renaming function $f$. By inversion on typing judgement on (A.19) we have:

$$
\Gamma, x : ?T.S \vdash ST x(y).P' \quad (A.20)
$$

By Definition 4.1 and Figure 10, we must show:

$$
x(y).\mu_\Gamma \subseteq [T] \subseteq [S]
$$

By induction hypothesis on the premise of (A.20) we have:

$$
\mu_\Gamma \subseteq [T] \subseteq [S]
$$

and the thesis follows easily from (A.21) using Rule (T-\$) (cf. Figure 5).

3. $P = x(y).P'$: Then, by assumption, Figure 7 and Definition 4.5, we have both

$$
\Gamma, x : !T.S, y : T \vdash ST x(y).P' \quad (A.22)
$$

for some typing context $\Gamma$, session types $S, T$ and renaming function $f$. By inversion on typing judgement on (A.26) we have:

$$
\Gamma, x : !T.S, y : T \vdash ST x(y).P' \quad (A.23)
$$

By Definition 4.1 and Figure 10, we must show:

$$
x(z).(z \leftrightarrow y) \vdash \mu_\Gamma \subseteq [T] \subseteq [S]
$$
By induction hypothesis on the premise of (A.23) we have:

\[
\llbracket P' \rrbracket_\ell \vdash_{LL} \llbracket [T] \rrbracket_\ell, x : \llbracket S \rrbracket_\ell
\]

and then we can conclude using Rule (T-\otimes) in Figure 5:

\[
\llbracket P' \rrbracket_\ell \vdash_{LL} \llbracket [T] \rrbracket_\ell, y : \llbracket T \rrbracket_\ell, z : \llbracket T \rrbracket_\ell
\]

where \([y \leftrightarrow z] \vdash_{LL} y : \llbracket T \rrbracket_\ell, z : \llbracket T \rrbracket_\ell\) follows from Rule (T-id).

4. \(P = x \ll i, P'\): Then, by assumption, Figure 7, and Definition 4.5, we have both

\[
\Gamma, x : \oplus \{l_i : S_i\}_{i \in I} \vdash_{ST} x \ll i, P'
\]

for some typing context \(\Gamma\), session types \(S_i\) for \(i \in I\), and renaming function \(f\). By inversion on typing judgement on (A.24) we have:

\[
\Gamma, x : S_j \vdash_{ST} P' \quad \exists j \in I
\]

By Definition 4.1 and Figure 10, we must show:

\[
x \ll i, \llbracket P' \rrbracket_\ell \vdash_{LL} \llbracket [T] \rrbracket_\ell, x : \oplus \{l_i : \llbracket S_i \rrbracket_\ell \}_{i \in I}
\]

By induction hypothesis on the premise of (A.25) we have:

\[
\llbracket P' \rrbracket_\ell \vdash_{LL} \llbracket [T] \rrbracket_\ell, x : \llbracket S_j \rrbracket_\ell
\]

and then we can conclude by using Rule (T-\otimes) in Figure 5:

\[
x \ll i, \llbracket P' \rrbracket_\ell \vdash_{LL} \llbracket [T] \rrbracket_\ell, x : \oplus \{l_i : \llbracket S_i \rrbracket_\ell \}_{i \in I}
\]

5. \(P = x \ll \{l_i : P'_i\}_{i \in I}\): Similar to the previous case.

6. \(P = (\nu x y)P'\): Then, by assumption, Figure 7, and Definition 4.5 we have:

\[
\Gamma \vdash_{ST} (\nu x y)P'
\]

\[
\llbracket \Gamma^i \rrbracket_\nu \vdash_{\nu u} (\nu w)[P'_u]^f_{(x,y \rightarrow w)}
\]

for some context \(\Gamma\). By Definition 4.1 and Figure 10, we must show:

\[(\nu w)((P_1)[w/x] | (P_2)[w/y]) \vdash_{LL} \llbracket \Gamma_1 \rrbracket_\ell, \llbracket \Gamma_2 \rrbracket_\ell\]

for some \(\Gamma = \Gamma_1, \Gamma_2\).

By inversion on (A.27), using Rule (T-Par+Res) in Figure 11, we can infer the following:

49
\begin{itemize}
  \item Let \( w : \text{chan}(\overline{T} ; U) \), then \( \text{rel}(U) \).
  \item Since \( \text{rel}(U) \), then it must be the case that \( U = U_1 \mid U_2 \), which in turn implies that \( \llbracket P'_1 \rrbracket_x^u f.(x \rightarrow w) = \llbracket P'_2 \rrbracket_y^u f.(y \rightarrow w) \), for some \( P'_1, P'_2 \).
  \item Processes \( \llbracket P'_1 \rrbracket_x^u f.(x \rightarrow w) \) and \( \llbracket P'_2 \rrbracket_y^u f.(y \rightarrow w) \) share exactly one channel, namely \( w \).
\end{itemize}

By inversion on the encoding and renaming function \( f \), and on the typing judgement on (A.26) we have:

\[
\begin{align*}
  &\frac{\Gamma_1, x : S \vdash P_1 \quad \Gamma_2, y : S \vdash P_2}{\Gamma_1, P_1 \mid P_2} \\
  &\frac{\Gamma_1, \Gamma_2 \vdash (\nu w)(P_1 \mid P_2)}{(\nu w)(\Gamma_1 \mid \Gamma_2)}
\end{align*}
\]

for some session type \( S \) and \( \Gamma = \Gamma_1, \Gamma_2 \). By applying the induction hypothesis on the premises of (A.28), we have both

\[
\begin{align*}
  &\llbracket P_1 \rrbracket_x^\ell \vdash (\nu w) P_1 \quad \llbracket P_2 \rrbracket_y^\ell \vdash (\nu w) P_2 \\
  &\llbracket P_1 \rrbracket_x^\ell \vdash \llbracket S \rrbracket_x^\ell \quad \llbracket P_2 \rrbracket_y^\ell \vdash \llbracket S \rrbracket_y^\ell
\end{align*}
\]

We then conclude by using Lemma A.3 and Rule (T\text{-cut}) (cf. Figure 5):

\[
\begin{align*}
  &\llbracket P_1 \rrbracket_x^\ell \vdash \llbracket S \rrbracket_x^\ell \quad \llbracket P_2 \rrbracket_y^\ell \vdash \llbracket S \rrbracket_y^\ell \\
  &\llbracket (\nu w)(\llbracket P_1 \rrbracket_x^\ell \mid \llbracket P_2 \rrbracket_y^\ell) \rrbracket_{\ell} \vdash \llbracket (\nu w)(\Gamma_1 \mid \Gamma_2) \rrbracket_{\ell}
\end{align*}
\]

7. \( P = P_1 \mid P_2 \): similar to the previous case, noticing that since there is no restriction to bind two endpoints together, membership of \( P \) in \( \mu K \) relies on Rule (T\text{-\pi-Par+Res}) in Figure 11 rather than on Rule (T\text{-\pi-\mu-Par}) (as in the previous case). Then, we use Rule (T\text{-mix}) (rather than Rule (T\text{-\mu-cut})) to type the composition of \( P_1 \) and \( P_2 \) (cf. Figure 5).

\[\square\]

**Appendix B. Omitted Proofs for § 5**

**Appendix B.1. Proof of Lemma 5.1**

We repeat the statement in Page 26:

**Lemma 5.1.** Let \( T \) be a session type and \( \Gamma \) be a session context.

1. For all \( P \in \llbracket T \rrbracket^u \), we have \( P \vdash_{\text{LL}} x : \llbracket T \rrbracket_{\ell} \).
2. For all \( P \in \llbracket \Gamma \rrbracket \), we have \( P \vdash_{\text{LL}} \llbracket \Gamma \rrbracket_{\ell} \).

**Proof.** We consider both parts separately.

**Part 1.** The proof proceeds by induction on the structure of \( T \). Thus, there are five cases to consider:
1. Case $T = \textbf{end}$. Then, by Definition 5.1, we have $\langle\textbf{end}\rangle^\ell = \{0\}$. We conclude by the fact that $\langle\textbf{end}\rangle^\ell$ is of the form $T$. By encoding of types in Figure 10, we have

$$ P_1 \vdash_{\text{LL}} y : \langle T \rangle^\ell \quad P_2 \vdash_{\text{LL}} x : \langle S \rangle^\ell $$

Now, by applying Rules (\text{-mix}) and (\text{-y}) (cf. Figure 5) we have

$$ P_1 \vdash_{\text{LL}} y : \langle T \rangle^\ell \quad P_2 \vdash_{\text{LL}} x : \langle S \rangle^\ell $$

By encoding of types in Figure 10, we have $\langle\text{?}.T.\rangle^\ell = \langle T \rangle^\ell \otimes \langle S \rangle^\ell$, which concludes this case.

2. Case $T = ?T.S$. Then, by Definition 5.1, $P$ is of the form $x(y). (P_1 | P_2)$, with $P_1 \in \langle T \rangle^y$ and $P_2 \in \langle S \rangle^x$. By applying the induction hypothesis twice, on $T$ and $S$, we obtain

$$ P_1 \vdash_{\text{LL}} y : \langle T \rangle^\ell \quad P_2 \vdash_{\text{LL}} x : \langle S \rangle^\ell $$

By encoding of types in Figure 10, we have $\langle\text{?}.T.\rangle^\ell = \langle T \rangle^\ell \otimes \langle S \rangle^\ell$, which concludes this case.

3. Case $T = !T.S$. Then, by Definition 5.1, $P$ is of the form $\pi(y). (P_1 | P_2)$, with $P_1 \in \langle T \rangle^y$ and $P_2 \in \langle S \rangle^x$. By applying the induction hypothesis twice, on $T$ and $S$, we obtain

$$ P_1 \vdash_{\text{LL}} y : \langle T \rangle^\ell \quad P_2 \vdash_{\text{LL}} x : \langle S \rangle^\ell $$

By encoding of types in Figure 10, we have $\langle!T.\rangle^\ell = \langle T \rangle^\ell \otimes \langle S \rangle^\ell$, which concludes this case.

4. Case $T = \&\{i : S_i\}_{i \in I}$. Then, by Definition 5.1, $P$ is of the form $x \triangleright \{i : P_i\}_{i \in I}$, with $P_i \in \langle S_i \rangle^x$, for all $i \in I$. By induction hypothesis on those $S_i$, we obtain $P_i \vdash_{\text{LL}} x : \langle S_i \rangle^\ell$, for all $i \in I$. Then, by Rule (\text{-κ}) (cf. Figure 5) we have

$$ P_i \vdash_{\text{LL}} x : \langle S_i \rangle^\ell \quad \forall i \in I $$

By encoding of types in Figure 10, $\langle\&\{i : S_i\}_{i \in I}\rangle^\ell = \&\{i : \langle S_i \rangle^\ell\}_{i \in I}$, which concludes this case.

5. Case $T = \oplus\{i : S_i\}_{i \in I}$. Then, by Definition 5.1, $P$ is of the form $x \triangleleft l_j.P_j$, with $P_j \in \langle S_j \rangle^x$ and $j \in I$. By induction hypothesis on $S_j$, we obtain $P_j \vdash_{\text{LL}} x : \langle S_j \rangle^\ell$. Then, by Rule (\text{-θ}) (cf. Figure 5) we have

$$ P_j \vdash_{\text{LL}} x : \langle S_j \rangle^\ell $$

By encoding of types in Figure 10, we have $\langle\oplus\{i : S_i\}_{i \in I}\rangle^\ell = \oplus\{i : \langle S_i \rangle^\ell\}_{i \in I}$, which concludes this case.
Part 2. Given \( \Gamma = w_1 : T_1, \ldots, w_n : T_n \), the proof is by induction on \( n \), the size of \( \Gamma \). The base case is when \( n = 1 \): then, by Part 1, \( \langle \Gamma \rangle = \langle T_1 \rangle^{w_1} \), and the thesis follows immediately. The inductive step (\( n > 1 \)) proceeds by using the inductive hypothesis and Rule (T-mix). Let \( \Gamma = \Gamma', w_n : T_n \). Then, by inductive hypothesis, \( P_i \in \langle \Gamma' \rangle \) implies \( P_i \vdash_{\text{LL}} \langle \Gamma' \rangle \). Recall that \( \langle \Gamma' \rangle \in \langle T_1 \rangle_{\ell}, \ldots, \langle T_{n-1} \rangle_{\ell} \) by Definition 4.2. Also, by Part 1, \( P' \in \langle T_n \rangle^{w_n} \) implies \( P' \vdash_{\text{LL}} w_n : \langle T_n \rangle_{\ell} \). Since by Definition 5.2, \( P_i \vdash_{\text{LL}} P' \) by composing \( P_i \) and \( P' \) using Rule (T-mix):

\[
\frac{P_i \vdash_{\text{LL}} w_1 : \langle T_1 \rangle_{\ell}, \ldots, w_{n-1} : \langle T_{n-1} \rangle_{\ell} \quad P' \vdash_{\text{LL}} w_n : \langle T_n \rangle_{\ell}}{P_i \vdash_{\text{LL}} P' \vdash_{\text{LL}} w_1 : \langle T_1 \rangle_{\ell}, \ldots, w_n : \langle T_n \rangle_{\ell}}
\]

This concludes the proof. \( \square \)

Appendix B.2. Proof of Theorem 5.1

We repeat the statement in Page 29:

**Theorem 5.1.** (\( \langle \cdot \rangle \) is Type Preserving) Let \( \Gamma \vdash_{\text{ST}} P \). Then, for all \( Q \in \langle \Gamma \vdash_{\text{ST}} P \rangle \), we have that \( Q \vdash_{\text{LL}} \langle \Gamma \rangle \).

**Proof.** The proof proceeds by cases on the judgement \( \Gamma \vdash_{\text{ST}} P \) used in the translation given in Definition 5.4, and by inversion on the last typing rule applied (given in Figure 3). There are seven cases to consider:

1. \( \Gamma \vdash_{\text{ST}} 0 \). Then, by inversion the last rule applied is (T-Nu), with \( \text{un} \langle \Gamma \rangle \). By Definition 5.4 we have \( \langle \Gamma \vdash_{\text{ST}} 0 \rangle = \{ 0 \} \). By applying Rule (T-1) (cf. Figure 5) we have:

\[
0 \vdash_{\text{LL}} x : \bullet \quad \text{(T-1)}
\]

The thesis follows immediately by the encoding of types \( \langle \cdot \rangle \) in Figure 10 and Definition 4.2, which ensure that \( \langle x : \text{end} \rangle_{\ell} = \langle x : \text{end} \rangle_{\ell} = x : \bullet \).

2. \( \Gamma', x : !T.S, v : T \vdash_{\text{ST}} \pi(v).P' \), where \( \Gamma = \Gamma', x : !T.S, v : T \) and \( P = \pi(v).P' \). By inversion the last rule applied is (T-out):

\[
\frac{\Gamma', x : S \vdash_{\text{ST}} P'}{\Gamma', x : !T.S, v : T \vdash_{\text{ST}} \pi(v).P'} \quad \text{(T-out)}
\]

By Definition 5.4,

\[
\langle \Gamma', x : !T.S, v : T \vdash_{\text{ST}} \pi(v).P' \rangle = \{ \pi(z) \cdot \langle [v \leftrightarrow z] \mid Q \rangle : Q \in \langle \Gamma', x : S \vdash_{\text{ST}} P' \rangle \}
\]

By Rule (T-id) and by Lemma 4.3 we have:

\[
[v \leftrightarrow z] \vdash_{\text{LL}} v : \langle T \rangle_{\ell}, z : \langle T \rangle_{\ell} \quad \text{(T-id)} \quad \text{(B.1)}
\]

By induction hypothesis, for all \( Q \in \langle \Gamma', x : S \vdash_{\text{ST}} P' \rangle \) we have that \( Q \vdash_{\text{LL}} \langle \Gamma' \rangle_{\ell}, x : \langle S \rangle_{\ell} \). Let \( Q' \) be a process in this set. By applying Rule (T-\( \odot \)) on \( Q' \) and on (B.1) we have:

\[
[v \leftrightarrow z] \vdash_{\text{LL}} v : \langle T \rangle_{\ell}, z : \langle T \rangle_{\ell} \quad Q' \vdash_{\text{LL}} \langle \Gamma' \rangle_{\ell}, x : \langle S \rangle_{\ell} \quad \pi(z) \cdot \langle [v \leftrightarrow z] \mid Q' \rangle \vdash_{\text{LL}} \langle \Gamma' \rangle_{\ell}, x : \langle T \rangle_{\ell} \odot \langle S \rangle_{\ell}, v : \langle T \rangle_{\ell} \quad \text{(T-\( \odot \))}
\]

By encoding of types \( \langle \cdot \rangle \) in Figure 10 we have \( \langle T.S \rangle_{\ell} = \langle T \rangle_{\ell} \odot \langle S \rangle_{\ell} \), and by Definition 4.2, we have \( \langle \Gamma', x : !T.S, v : T \rangle_{\ell} = \langle \Gamma' \rangle_{\ell}, x : \langle T \rangle_{\ell} \odot \langle S \rangle_{\ell}, v : \langle T \rangle_{\ell}, \) which concludes this case.
3. $\Gamma_1, \Gamma_2, x : !T.S \vdash_{ST} (\nu z y) \pi(y).(P_1 \mid P_2)$, where $\Gamma = \Gamma_1, \Gamma_2, x : !T.S$. By inversion, this judgement is derived by a sequence of applications of rules, the last rule applied is \((T-\text{Res})\), and before that \((T-\text{Out})\) and \((T-\text{Par})\) as follows:

$$
\begin{align*}
\frac{\Gamma_1, z : T \vdash_{ST} P_1 \quad \Gamma_2, x : S \vdash_{ST} P_2}{\Gamma_1, z : T, \Gamma_2, x : S \vdash_{ST} P_1 \mid P_2} \quad \text{\((T-\text{Par})\)} \\
\frac{\Gamma_1, \Gamma_2, x : !T.S, y : T, z : T \vdash_{ST} \pi(y). (P_1 \mid P_2)}{\Gamma_1, \Gamma_2, x : !T.S \vdash_{ST} (\nu z y) \pi(y). (P_1 \mid P_2)} \quad \text{\((T-\text{Out})\)}
\end{align*}
$$

By Definition 5.4, we have

$$
\langle \Gamma_1, \Gamma_2, x : !T.S \vdash_{ST} (\nu z y) \pi(y). (P_1 \mid P_2) \rangle = \{ \pi(z).(Q_1 \mid Q_2) : Q_1 \in \langle \Gamma_1, z : T \vdash_{ST} P_1 \rangle \land Q_2 \in \langle \Gamma_2, x : S \vdash_{ST} P_2 \rangle \}
$$

By induction hypothesis, for all processes where $Q_1 \in \langle \Gamma_1, z : T \vdash_{ST} P_1 \rangle$ we have $Q_1 \vdash_{LL} [\Gamma_1]_{\ell}, z : [T]_{\ell}$

and

$$
Q_2 \in \langle \Gamma_2, x : S \vdash_{ST} P_2 \rangle \text{ we have } Q_2 \vdash_{LL} [\Gamma_2]_{\ell}, x : [S]_{\ell}
$$

Let $Q'_1$ and $Q'_2$ be processes in the first and second set, respectively. By applying Rule \((T-\otimes)\) on $Q'_1$ and $Q'_2$ we have:

$$
\begin{align*}
\frac{Q_1 \vdash_{LL} [\Gamma_1]_{\ell}, z : [T]_{\ell} \quad Q_2 \vdash_{LL} [\Gamma_2]_{\ell}, x : [S]_{\ell}}{\pi(z).(Q_1 \mid Q_2) \vdash_{LL} [\Gamma_1]_{\ell}, [\Gamma_2]_{\ell}, x : [T]_{\ell} \otimes [S]_{\ell}} \quad \text{\((T-\otimes)\)}
\end{align*}
$$

By the encoding of types $[\ ]_{\ell}$ in Figure 10 we have $[!T.S]_{\ell} = [T]_{\ell} \otimes [S]_{\ell}$, and by Definition 4.2, we have $[\Gamma_1, \Gamma_2, x : !T.S]_{\ell} = [\Gamma_1]_{\ell}, [\Gamma_2]_{\ell}, x : [T]_{\ell} \otimes [S]_{\ell}$, which concludes this case.

4. $\Gamma', x : ?T.S \vdash_{ST} x(y : T).P'$, where $\Gamma = \Gamma', x : ?T.S$. By inversion, the last typing rule applied is \((T-\text{In})\):

$$
\frac{\Gamma', x : S, y : T \vdash_{ST} P' \quad \Gamma', x : ?T.S \vdash_{ST} x(y : T).P'}{\Gamma', x : ?T.S \vdash_{ST} x(y : T).P'} \quad \text{\((T-\text{In})\)}
$$

By Definition 5.4 we have

$$
\langle \Gamma', x : ?T.S \vdash_{ST} x(y : T).P' \rangle = \{ x(y).Q : Q \in \langle \Gamma', x : S, y : T \vdash_{ST} P' \rangle \}
$$

By induction hypothesis, $Q \in \langle \Gamma', x : S, y : T \vdash_{ST} P' \rangle$ implies $Q \vdash_{LL} [\Gamma']_{\ell}, x : [S]_{\ell}, y : [T]_{\ell}$.

Let $Q'$ be a process in this set. By applying Rule \((T-\otimes)\) on $Q'$:

$$
\begin{align*}
\frac{Q' \vdash_{LL} [\Gamma']_{\ell}, x : [S]_{\ell}, y : [T]_{\ell} \quad x(y).Q' \vdash_{LL} [\Gamma']_{\ell}, x : [T]_{\ell} \otimes [S]_{\ell}}{x(y).Q' \vdash_{LL} [\Gamma']_{\ell}, x : [T]_{\ell} \otimes [S]_{\ell}} \quad \text{\((T-\otimes)\)}
\end{align*}
$$

where by the encoding of types $[\ ]_{\ell}$ in Figure 10 we have $[?T.S]_{\ell} = [T]_{\ell} \otimes [S]_{\ell}$, and by Definition 4.2, we have $[\Gamma', x : ?T.S]_{\ell} = [\Gamma']_{\ell}, x : [T]_{\ell} \otimes [S]_{\ell}$, which concludes this case.
5. $\Gamma', x : \oplus\{i : S_i\}_{i \in I} \vdash_{ST} x \triangleq l_j.P'$, where $\Gamma = \Gamma', x : \oplus\{i : S_i\}_{i \in I}$. By inversion, the last typing rule applied is ($T$-$\text{Sel}$):

$$\Gamma', x : S_j \vdash_{ST} P' \quad j \in I$$

$$\Gamma', x : \oplus\{i : S_i\}_{i \in I} \vdash_{ST} x \triangleq l_j.P' \quad (T$-$\text{Sel}$)

By Definition 5.4 we have

$$\langle \Gamma', x : \oplus\{i : S_i\}_{i \in I} \vdash_{ST} x \triangleq l_j.P' \rangle = \{x \triangleq l_j.Q : Q \in \langle \Gamma', x : S_j \vdash_{ST} P' \rangle\}$$

By induction hypothesis, for all $Q \in \langle \Gamma', x : S_j \vdash_{ST} P' \rangle$ we have $Q \vdash_{LL} [\Gamma']_\ell, x : \parallel\{S_i\}_\ell$. Let $Q'$ be a process in this set. By applying Rule ($T$-$\otimes$) on $Q'$ we have:

$$\frac{Q' \vdash_{LL} [\Gamma']_\ell, x : \parallel\{S_i\}_\ell}{x \triangleq l_j.Q' \vdash_{LL} [\Gamma']_\ell, x : \oplus\{i : \parallel\{S_i\}_\ell\}_{i \in I}} \quad (T$-$\otimes$)

By the encoding of types $\parallel\{}$ in Figure 10, and Definition 4.2, we have

$$[\Gamma', x : \oplus\{i : S_i\}_{i \in I}]_\ell = [\Gamma']_\ell, x : \oplus\{i : \parallel\{S_i\}_\ell\}_{i \in I}$$

which concludes this case.

6. $\Gamma', x : \&\{i : S_i\}_{i \in I} \vdash_{ST} x \triangleright \{i : P_i\}_{i \in I}$, where $\Gamma = \Gamma', x : \&\{i : S_i\}_{i \in I}$. By inversion, the last typing rule applied is ($T$-$\text{Bra}$):

$$\Gamma', x : S_i \vdash_{ST} P_i \quad \forall i \in I$$

$$\Gamma', x : \&\{i : S_i\}_{i \in I} \vdash_{ST} x \triangleright \{i : P_i\}_{i \in I} \quad (T$-$\text{Bra}$)

By Definition 5.4 we have that

$$\langle \Gamma', x : \&\{i : S_i\}_{i \in I} \vdash_{ST} x \triangleright \{i : P_i\}_{i \in I} \rangle = \{x \triangleright \{i : Q\}_{i \in I} : Q \in \langle \Gamma', x : S_i \vdash_{ST} P_i \rangle\}$$

By induction hypothesis, $Q_i \in \langle \Gamma', x : S_i \vdash_{ST} P_i \rangle$ implies $Q_i \vdash_{LL} [\Gamma']_\ell, x : \parallel\{S_i\}_\ell$, for all $i \in I$. Let $Q_i'$ be a process in the corresponding set, for all $i \in I$. By applying Rule ($T$-$\&$) on each of $Q_i'$ we have:

$$\frac{Q_i' \vdash_{LL} [\Gamma']_\ell, x : \parallel\{S_i\}_\ell}{x \triangleright \{i : Q_i'\}_{i \in I} \vdash_{LL} [\Gamma']_\ell, x : \&\{i : \parallel\{S_i\}_\ell\}_{i \in I}} \quad (T$-$\&$)

By the encoding of types $\parallel\{}$ in Figure 10 we have $\parallel\{\{i : S_i\}_{i \in I}\} = \&\{i : \parallel\{S_i\}_\ell\}_{i \in I}$, and by Definition 4.2, we have $[\Gamma', x : \&\{i : S_i\}_{i \in I}]_\ell = [\Gamma']_\ell, x : \&\{i : \parallel\{S_i\}_\ell\}_{i \in I}$, which concludes this case.

7. $\Gamma_1, \overleftarrow{x : S}, \Gamma_2, \overleftarrow{y : T} \vdash_{ST} (\nu \overleftarrow{xy} : \overleftarrow{S})\overleftarrow{(P_1 \mid P_2)}$, where by inversion we have $\Gamma_1, \overleftarrow{x : S} \triangleright_{ST} P_1$ and $\Gamma_2, \overleftarrow{y : T} \triangleright_{ST} P_2$, and the last typing rule applied is ($T$-$\text{Res}$), and before that Rule ($T$-$\text{Par}$) is used, as follows:

$$\Gamma_1, \overleftarrow{x : S} \triangleright_{ST} P_1 \quad \Gamma_2, \overleftarrow{y : T} \triangleright_{ST} P_2$$

$$\Gamma_1, \Gamma_2, x : S, y : T \triangleright_{ST} P_1 \mid P_2 \quad (T$-$\text{Par})$$

$$\Gamma_1, \Gamma_2, \overleftarrow{x : S}, \overleftarrow{y : T} \vdash_{ST} (\nu \overleftarrow{xy} : \overleftarrow{S})\overleftarrow{(P_1 \mid P_2)} \quad (T$-$\text{Res})$$

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Notice that since restriction is the only means of creating dual session channel endpoints (co-
variables) and the only restricted names in \( P \) are \( \overline{x,y} \), it then follows that \( \Gamma_1 \cap \Gamma_2 = \emptyset \). Hence,
by the definition of the ‘\( \cdot \)’ operator we have that \( \Gamma_1, \Gamma_2 = \Gamma_1, \Gamma_2 \).

By Definition 5.4, we have that \( \Gamma_1, [x : S], \Gamma_2, [y : T] \vdash_{\text{ST}} (\nu \overline{x,y} : S)(P_1 \mid P_2) \) is the following set of processes:

\[
\{ C_1|Q_1 \mid G_2 : C_1 \in C_{\overline{x,T}}, Q_1 \in \langle \Gamma_1, x : S \mid \vdash_{\text{ST}} P_1 \rangle, G_2 \in \langle \Gamma_2 \rangle \} \quad (B.2)
\]

\[
\cup \quad \{ G_1 | C_2[Q_2] : C_2 \in C_{\overline{y,S}}, Q_2 \in \langle \Gamma_2, y : T \mid \vdash_{\text{ST}} P_2 \rangle, G_1 \in \langle \Gamma_1 \rangle \} \quad (B.3)
\]

We start by inspecting the set of processes in \((B.2)\). By induction hypothesis on the left-hand
side premise of Rule \((T\text{-Par})\), marked (1), we have:

for all processes \( Q \in \langle \Gamma_1, x : S \vdash_{\text{ST}} P_1 \rangle \) we have that \( Q \vdash_{\text{LL}} [\Gamma_1]_\ell, x : [S]_\ell \)

Let \( Q' \) be an arbitrary process in this set. By Lemma 5.2 we have that \( C_1|Q' \vdash_{\text{LL}} [\Gamma_1]_\ell \). By
Lemma 5.1(b) since \( G_2 \in \langle \Gamma_2 \rangle \), we have that \( G_2 \vdash_{\text{LL}} [\Gamma_2]_\ell \). Since \( \Gamma_1 \) and \( \Gamma_2 \) are disjoint, by
Rule \((T\text{-mix})\) we have the following derivation, which concludes the inspection of \((B.2)\):

\[
\frac{C_1|Q' \vdash_{\text{LL}} [\Gamma_1]_\ell \quad G_2 \vdash_{\text{LL}} [\Gamma_2]_\ell}{C_1|Q'| \vdash_{\text{LL}} [\Gamma_1]_\ell [\Gamma_2]_\ell} \quad (T\text{-mix})
\]

We inspect now the the set of processes in \((B.3)\). By induction hypothesis on the right-hand
side premise of Rule \((T\text{-Par})\), marked (2), we have:

for all processes \( R \in \langle \Gamma_2, y : T \vdash_{\text{ST}} R_0 \rangle \) we have that \( R \vdash_{\text{LL}} [\Gamma_2]_\ell, y : [T]_\ell \)

Let \( R' \) be an arbitrary process in this set. By Lemma 5.2, \( C_2[R'] \vdash_{\text{LL}} [\Gamma_2]_\ell \). By Lemma 5.1(b) since \( G_1 \in \langle \Gamma_1 \rangle \), we have \( G_1 \vdash_{\text{LL}} [\Gamma_1]_\ell \). Since \( \Gamma_1 \) and \( \Gamma_2 \) are disjoint, by
Rule \((T\text{-mix})\) we have the following derivation:

\[
\frac{C_2[R'] \vdash_{\text{LL}} [\Gamma_2]_\ell \quad G_1 \vdash_{\text{LL}} [\Gamma_1]_\ell}{C_2[R'] \vdash_{\text{LL}} [\Gamma_2]_\ell [\Gamma_1]_\ell} \quad (T\text{-mix})
\]

We thus conclude that every process belonging to the set in \((B.2)\) or \((B.3)\) is typed under the
typing context \([\Gamma_1]_\ell, [\Gamma_2]_\ell\), concluding this case (and the proof). \(\square\)

**Appendix B.3. Proof of Theorem 5.2 (Page 31)**

We repeat Definition 5.5 (cf. Page 30):

**Definition Appendix B.1.** Let \( P, Q \) be processes such that \( P, Q \vdash_{\text{LL}} \Gamma \). We write \( P \triangleleft Q \) if and only if there exist \( P_1, P_2, Q_1, Q_2 \) and \( \Gamma_1, \Gamma_2 \) such that the following hold:

\[
P = P_1 \mid P_2 \quad Q = Q_1 \mid Q_2 \quad P_1, Q_1 \vdash_{\text{LL}} \Gamma_1 \quad P_2, Q_2 \vdash_{\text{LL}} \Gamma_2 \quad \Gamma = \Gamma_1, \Gamma_2
\]

**Lemma Appendix B.1** (Substitution Lemma for Sessions [Vas12]). If \( \Gamma_1 \vdash_{\text{ST}} v : T \) and \( \Gamma_2, x : T \vdash_{\text{ST}} P \) and \( \Gamma = \Gamma_1, \Gamma_2 \), then \( \Gamma \vdash_{\text{ST}} P[v/x] \).

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Lemma Appendix B.2 (Substitution Lemma for \(\langle\cdot\rangle\)). If \(P \in \langle \Gamma, x : T \vdash ST \rangle\) and \(v \notin \text{fn}(P, Q)\), then \(P[v/x] \in \langle \Gamma, v : T \vdash ST \rangle\).

Proof. Immediate from Definition 5.4 and Lemma Appendix B.1.

Proposition Appendix B.1 (Composing Characteristic Processes). Let \(\Gamma\) and \(T\) be a typing context and a type, respectively.

- If \(P_1 \in \langle \Gamma \rangle\) and \(P_2 \in \langle T \rangle\) then \(P_1 | P_2 \in \langle \Gamma, x : T \rangle\).
- If \(Q \in \langle \Gamma, x : T \rangle\) then there are \(Q_1, Q_2\) such that \(Q = Q_1 | Q_2\) with \(Q_1 \in \langle \Gamma \rangle\) and \(Q_2 \in \langle T \rangle\).

Proof. Immediate from Definition 5.2.

We repeat the statement of the operational correspondence given in Page 31:

Theorem 5.2. (Operational Correspondence for \(\langle\cdot\rangle\)) Let \(P\) be such that \(\Gamma \vdash ST P\) for some typing context \(\Gamma\). Then, we have:

1. If \(P \rightarrow P'\), then for all \(Q \in \langle \Gamma \vdash ST P \rangle\) there exist \(Q', R\) such that \(Q \rightarrow Q', Q' \vdash R\), and \(R \in \langle \Gamma \vdash ST P' \rangle\).
2. If \(Q \in \langle \Gamma \vdash ST P \rangle\), such that \(P \in \mathcal{K}\), and \(Q \rightarrow Q'\), then there exist \(P', R\) such that \(P \rightarrow P', Q' \vdash R\), and \(R \in \langle \Gamma \vdash ST P' \rangle\).

Proof. We consider both parts separately.

Part 1. The proof is by induction on the height of the derivation \(P \rightarrow P'\) (cf. Figure 2). There are two main cases to consider, which are reductions inferred using Rules (r-Com) and (r-Case); there are also cases corresponding to Rules (r-Par), (r-Res), and (r-Stns), which are straightforward via the induction hypothesis. For convenience, below we annotate bound names with their types: this way, e.g., \((\nu xy : S)\) means that \(x : S\) and \(y : S\).

1. Case (r-Com): Then we have:

   \[ P \triangleq (\nu xy : S')(\pi(v).P_1 | y(t : T).P_2) \rightarrow (\nu xy : S'')(P_1 | P_2[v/t]) \triangleq P' \]

   Since \(\Gamma \vdash ST P\), by inversion we get \(S' = !T.S\) for some session types \(S, T\). Then, \(S'' = S\).

   Again by inversion we have the following derivation:

   \[
   \begin{array}{c}
   \Gamma_1, x : S \vdash ST P_1 \\
   \Gamma_1, v : T, x : !T.S \vdash ST \pi(v).P_1 \\
   \Gamma_2, y : ?T.S \vdash ST y(t : T).P_2 \\
   \end{array}
   \quad
   \begin{array}{c}
   \Gamma_2, y : ?T.S \vdash ST y(t : T).P_2 \\
   \end{array}
   \]

   By Definition 5.4, the translation of \(P\) is as follows:

   \[
   \begin{array}{c}
   \langle \Gamma \vdash ST P \rangle = \langle (\Gamma_1, v : T), \Gamma_2 \vdash ST (\nu xy : !T.S)(\pi(v).P_1 | y(t : T).P_2) \rangle \\
   = \{ C_1[Q_1] | G_2 : C_1 \in C_{x : !T.S}, Q_1 \in \langle \Gamma_1, x : !T.S, v : T \vdash ST \pi(v).P_1 \rangle, G_2 \in \langle \Gamma_2 \rangle \} \\
   \cup
   \{ G_1 | C_2[Q_2] : C_2 \in C_{y : ?T.S}, Q_2 \in \langle \Gamma_2, y : ?T.S \vdash ST y(t : T).P_2 \rangle, G_1 \in \langle \Gamma_1, v : T \rangle \} \\
   \end{array}
   \]

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where:

\[ A_1 = \{ (\nu x)(\overline{\pi}(w),[v \leftrightarrow w] \mid P_1^x) \mid P_x \mid G_2 : P_1^x \in \langle \Gamma_1, x : S \vdash_{ST} P_1 \rangle, P_x \in \langle \overline{？T.S} \rangle^x, G_2 \in \langle \Gamma_2 \rangle \} \]  

(B.4)

\[ A_2 = \{ G_1 \mid (\nu y)(g(t),P_2^y) \mid P_y : P_2^y \in \langle \Gamma_2, y : \overline{S}, t : T \vdash_{ST} P_2 \rangle, P_y \in \langle \overline{？T.S} \rangle^y, G_1 \in \langle \Gamma_1, v : T \rangle \} \]  

(B.5)

Before spelling out the translation of \( P' \), we record some considerations. By Theorem 5.1, the translation preserves types: \( Q \in \langle \Gamma \vdash_{ST} P \rangle \) implies \( Q \vdash_{LL} [\Gamma]. \) Since \( P \rightarrow P' \), Theorem 2.3 ensures \( \Gamma \vdash_{ST} P' \). Again, by Theorem 5.1, \( O \in \langle \Gamma \vdash_{ST} P' \rangle \) implies \( O \vdash_{LL} [\Gamma]. \) Also, since \( \Gamma_2, y : \overline{S}, t : T \vdash_{ST} P_2 \), then by Lemma Appendix B.1 we have \( \Gamma_2, y : \overline{S}, v : T \vdash_{ST} P_2[?/t] \) and by well-typedness \( v \notin \text{fn}(P_2) \). By Definition 5.4, the translation of \( P' \) is as follows:

\[
\langle \Gamma \vdash_{ST} P' \rangle = \langle \Gamma_1, (\Gamma_2, v : T) \vdash_{ST} \langle \nu xy : S \rangle (\langle P_1 \mid P_2[?/t] \rangle) = \{ C_1'[Q_1] \mid G_2' : C_1' \in C_{\overline{S}x}, Q_1 \in \langle \Gamma_1, x : S \vdash_{ST} P_1 \rangle, G_2' \in \langle \Gamma_2, v : T \rangle \} \\
\cup \{ G_1' \mid C_2'[Q_2] : C_2' \in C_{y:S}, Q_2 \in \langle \Gamma_2, y : \overline{S}, v : T \vdash_{ST} P_2[?/t] \rangle, G_1' \in \langle \Gamma_1 \rangle \}
\]

where:

\[
B_1 = \{ G_2' \mid (\nu x)(Q_1 \mid P_x') : P_x' \in \langle \overline{S} \rangle^x, Q_1 \in \langle \Gamma_1, x : S \vdash_{ST} P_1 \rangle, G_2' \in \langle \Gamma_2, v : T \rangle \} \]  

(B.6)

\[
B_2 = \{ G_1' \mid (\nu y)(Q_2 \mid P_y') : P_y' \in \langle S \rangle^y, Q_2 \in \langle \Gamma_2, y : \overline{S}, v : T \vdash_{ST} P_2[?/t] \rangle, G_1' \in \langle \Gamma_1 \rangle \} \]  

(B.7)

We now show that every process in \( \langle \Gamma \vdash_{ST} P \rangle \) reduces into a process in \( \langle \Gamma \vdash_{ST} P' \rangle \). We address two distinct sub-cases:

(i) We show that every \( Q \in A_1 \) (cf. Equation (B.4)) reduces to a \( Q' \in B_1 \) (cf. Equation (B.6));

(ii) We show that every \( Q \in A_2 \) (cf. Equation (B.5)) reduces to a \( Q' \) such that \( Q'' \vdash R \), with \( R \in B_2 \) (cf. Equation (B.7)).

**Sub-case (i).** Let \( Q = G_2 \mid (\nu x)(\overline{\pi}(w),[v \leftrightarrow w] \mid P_1^x) \mid P_x \) be an arbitrary process in \( A_1 \), with \( P_x \in \langle \overline{？T.S} \rangle^x \). By Definition 5.1:

\[
\langle \overline{？T.S} \rangle^x = \{ x(t), \langle Q_t \mid Q_x \rangle : Q_t \in \langle T \rangle^t \land Q_x \in \langle \overline{S} \rangle^x \}
\]
We may then let \( P_s = x(t).(Q_t \mid Q_s) \) where \( Q_t \in \{T\}^t \) and \( Q_s \in \{S\}^s \). Considering this, and by applying Rules (R-ChCom) and (R-Fwd) (cf. Figure 4) we have:

\[
Q = G_2 \mid (\nu x)([v \leftrightarrow w].(P_1) \mid P_x)
\]

\[
= G_2 \mid (\nu x)([v \leftrightarrow w].(P_1) \mid x(t).(Q_t \mid Q_x))
\]

\[
\rightarrow G_2 \mid (\nu x)((\nu w)([v \leftrightarrow w].(P_1) \mid Q_w \mid Q_x))
\]

\[
\rightarrow G_2 \mid Q_v \mid (\nu x)(P_1 \mid Q_x) \triangleq Q'
\]

(Recall that \( \leftrightarrow \) is structural congruence extended with a reduction by Rule (R-Fwd).) We shall show that \( Q' \in B_1 \). Let us consider/recall the provenance of its different components:

(a) \( G_2 \in \{\Gamma_2\} \)

(b) Since \( Q_v \) stands for \( Q_t[w/t][v/w] \), we have \( Q_v \in \{T\}^v \).

(c) \( P_1 \in \{\Gamma_1, x : S \vdash_{ST} P_1\} \).

(d) \( Q_x \in \{S\}^x \)

By Proposition Appendix B.1, Items (a) and (b) above entail:

(e) \( G_2 \mid Q_v \in \{\Gamma_2, v : T\} \)

In turn, by considering Items (c), (d), and (e), together with Equation (B.6), it is immediate to see that \( Q' \in B_1 \). Therefore, \( Q' \in \{\Gamma \vdash_{ST} P'\} \), as desired.

**Sub-case (ii).** Let \( Q = G_1 \mid (\nu y)(y(t).P_2 \mid P_y) \) be an arbitrary process in \( A_2 \). Since \( P_y \in \{\!\!T.S\!\!\}^y \), by Definition 5.1 we have \( P_y = \bar{y}(k).(Q_k \mid Q_y) \), where \( Q_k \in \{T\}^k \) and \( Q_y \in \{S\}^y \). Considering this, and by applying Rule (R-ChCom) (cf. Figure 4), we have:

\[
Q = G_1 \mid (\nu y)(y(t).P_2 \mid P_y)
\]

\[
\rightarrow G_1 \mid (\nu y)((\nu k)(P_2[k/t] \mid Q_k) \mid Q_y) \triangleq Q''
\]

We shall show that \( Q'' \vdash R \), for some \( R \in B_2 \). Let us consider/recall the provenance of its different components:

(a) \( G_1 \in \{\Gamma_1, v : T\} \)

(b) By Lemma Appendix B.2, \( P_2[k/t] \in \{\Gamma_2, y : S, k : T \vdash_{ST} P_2[k/t]\} \).

(c) \( Q_k \in \{T\}^k \)

(d) \( Q_y \in \{S\}^y \)

Furthermore, we can infer:

(e) From (a) and Proposition Appendix B.1, there must exist \( G_1^* \) and \( G_v \) such that \( G_1 = G_1^* \mid G_v, G_1^* \in \{\Gamma_1\} \), and \( G_v \in \{T\}^v \).

(f) By combining (b), (c) and (d), together with Proposition Appendix B.1, we have that \( (\nu y)((\nu k)(P_2[k/t] \mid Q_k) \mid Q_y) \in \{\Gamma_2\} \).
Given this, we can rewrite $Q''$ as follows:

$$Q'' = G_1' | G_v | (\nu y)( (\nu k)(P_2' [k/t] | Q_k) | Q_y)$$

(B.8)

We now consider an arbitrary $R \in B_2$. By Equation (B.7), we have that

$$R \triangleq G_1' | (\nu y)(Q_2 | P_y')$$

(B.9)

with

(a') $G_1' \in \{\Gamma_1\}$

(b') $Q_2 \in \{\Gamma_2, y : \overline{S}, v : T \vdash_{ST} P_2[y/t]\}$

(c') $P_y' \in \{S\}$

By Lemma 5.1 and (c'), we can infer: $P_y' \vdash_{LL} y : [S]\ell$; and by Theorem 5.1 and (b'), we can infer: $Q_2 \vdash_{LL} \{\Gamma_2, y : \overline{S}, v : T\}\ell$. Finally, we have:

(d') $(\nu y)(Q_2 | P_y') \vdash_{LL} \{\Gamma_2\}\ell, \{v : T\}\ell$

We now compare $Q''$ and $R$ (as in Equation (B.8) and Equation (B.9), respectively). By Lemma 5.1 and (e) and (f) above, it is easy to see that $Q'' \not\vdash R$. Therefore, there is an $R$ such that $Q'' \not\vdash R$, as desired. This concludes the analysis for Case (r-Com).

2. Case (r-Case):

$$P \triangleq (\nu xy : S')(x <_l J, Q \triangleright \{i : R_i\}_{i \in I}) \quad \rightarrow \quad (\nu xy : S'')(Q | R_j) \triangleq P'$$

Since $\Gamma \vdash_{ST} P$, then by inversion $S' = \oplus\{i : S_i\}_{i \in I}$ for some $S_i$, with $i \in I$. For simplicity, let us write $T_i$ to denote the dual of any $S_i$. As a result of the reduction, we have $S'' = S_j$ for some $j \in I$. Again by inversion we have the following derivation:

(T-Sn.)

$$\Gamma_1, x : S_j \vdash_{ST} Q \quad \exists j \in I$$

(T-Bch)

$$\Gamma_2, y : T_i \vdash_{ST} R_i \quad \forall i \in I$$

$$\Gamma_1, x : \oplus\{i : S_i\}_{i \in I} \vdash_{ST} x <_l J, Q$$

(T-Par)

$$\Gamma_2, y : \&\{i : T_i\}_{i \in I} \vdash_{ST} y \triangleright \{i : R_i\}_{i \in I}$$

(T-Res)

$$\Gamma_1, \Gamma_2 \vdash_{ST} (\nu xy : S') (x <_l J, Q \triangleright \{i : R_i\}_{i \in I})$$

By Definition 5.4 the translation of $P$ is as follows:

$$\llbracket \Gamma \vdash_{ST} P \rrbracket = \llbracket \Gamma \vdash_{ST} (\nu xy : S')(x <_l J, Q \triangleright \{i : R_i\}_{i \in I}) \rrbracket$$

$$= \{C_1 | Q_1 \mid G_2 \in C \& \{i : T_i\}_{i \in I}, Q_1 \in \llbracket \Gamma_1, x : \oplus\{i : S_i\}_{i \in I} \vdash_{ST} x <_l J, Q \rrbracket, G_2 \in \llbracket \Gamma_2 \rrbracket\}$$

$$\bigcup_{A_1} \{G_1 | C_2 | Q_2 \mid G_2 \in C \& \{i : S_i\}_{i \in I}, Q_2 \in \llbracket \Gamma_2, y : \&\{i : T_i\}_{i \in I} \vdash_{ST} y \triangleright \{i : R_i\}_{i \in I}\rrbracket, G_1 \in \llbracket \Gamma_1 \rrbracket\}$$

$$\bigcup_{A_2}$$
where:

\[ A_1 = \{ G_2 \mid (\nu x)(x \preceq l_j, Q^* \mid P_x) : Q^* \in \{ \Gamma_1, x : S_j \vdash_{ST} Q \}, P_x \in \{ \&\{ l_i : T_i \}_i \}_i \} , G_2 \in \{ \Gamma_2 \} \} \quad (B.10) \]

\[ A_2 = \{ G_1 \mid (\nu y)(y \triangleright \{ l_i : R^*_i \}_i \mid P_y) : R^*_i \in \{ \Gamma_2, y : T_i \vdash_{ST} R \}, P_y \in \{ \&\{ l_i : S_i \}_i \}_i \} , G_1 \in \{ \Gamma_1 \} \} \quad (B.11) \]

Before spelling out the translation of \( P' \), we record some considerations. By Theorem 5.1, \( M \in \{ \Gamma \vdash_{ST} P \} \) implies \( M \vdash_{LL} [\Gamma]_\ell \). Since \( P \Rightarrow P' \), then by Theorem 2.3 we have \( \Gamma \vdash_{ST} P' \). Again, by Theorem 5.1, \( O \in \{ \Gamma \vdash_{ST} P' \} \) implies \( O \vdash_{LL} [\Gamma]_\ell \). By Definition 5.4 the encoding of \( P' \) is as follows:

\[ \langle \Gamma \vdash_{ST} P' \rangle = \langle \Gamma \vdash_{ST} (\nu x : S_j)(Q \mid R_j) \rangle \]

\[ = \left\{ \begin{array}{l}
G'_2 \mid C'_1[Q^*] : C'_1 \in C_x : T_j, Q^* \in \{ \Gamma_1, x : S_j \vdash_{ST} Q \}, G'_2 \in \{ \Gamma_2 \} \}
\end{array} \right\}_{B_1}

\[ \cup \left\{ \begin{array}{l}
G'_1 \mid C'_2[R^*_j] : C'_2 \in C_y : S_j, R^*_j \in \{ \Gamma_2, y : T_j \vdash_{ST} R \}, G'_1 \in \{ \Gamma_1 \} \}
\end{array} \right\}_{B_2}
\]

where:

\[ B_1 = \{ G'_2 \mid (\nu x)(Q^* \mid P'_x) : Q^* \in \{ \Gamma_1, x : S_j \vdash_{ST} Q \}, P'_x \in \{ T_j \}_i \} , G'_2 \in \{ \Gamma_2 \} \} \quad (B.12) \]

\[ B_2 = \{ G'_1 \mid (\nu y)(R^*_j \mid P'_y) : R^*_j \in \{ \Gamma_2, y : T_j \vdash_{ST} R \}, P'_y \in \{ S_j \}_i \} , G'_1 \in \{ \Gamma_1 \} \} \quad (B.13) \]

We now show that every process in \( \langle \Gamma \vdash_{ST} P \rangle \) reduces into a process in \( \langle \Gamma \vdash_{ST} P' \rangle \). We address two distinct sub-cases:

(i) We show that every \( Q \in A_1 \) (cf. Equation (B.10)) reduces to a \( Q' \in B_1 \) (cf. Equation (B.12));

(ii) Similarly, we show that every \( Q \in A_2 \) (cf. Equation (B.11)) reduces to a \( Q'' \in B_2 \) (cf. Equation (B.13)).

**Sub-case (i).** Let \( Q = G_2 \mid (\nu x)(x \preceq l_j, Q^* \mid P_x) \) be an arbitrary process in \( A_1 \), with \( Q^* \in \{ \Gamma_1, x : S_j \vdash_{ST} Q \} \) and \( P_x \in \{ \&\{ l_i : T_i \}_i \}_i \}. \) By Definition 5.1, we may then let \( P_x = x \triangleright \{ l_i : P_i \}_i \), such that \( P_i \in \{ T_i \}_i \}, \) for all \( i \in I \). By applying Rule \( \langle R-ChCase \rangle \) (cf. Figure 4), we have:

\[ Q = G_2 \mid (\nu x)(x \preceq l_j, Q^* \mid P_x) \]
\[ \rightarrow G_2 \mid (\nu x)(Q^* \mid P_y) \triangleq Q' \]

We shall show that \( Q' \in B_1 \). Let us consider/recall the provenance of its different components:

(a) \( G_2 \in \{ \Gamma_2 \} \)

(b) \( Q^* \in \{ \Gamma_1, x : S_j \vdash_{ST} Q \} \).
(c) \( P_j \in \langle T_j \rangle^x \).

By considering Items (a) – (c), together with Equation (B.12), it is immediate to see that \( Q' \in B_1 \). Therefore, \( Q' \in \langle \Gamma \vdash \_P \rangle \), as desired.

**Sub-case (ii).** Let \( Q = G_1 \mid (\nu y)(y \triangleright \{ l_i : R_i^i \}_{i \in I} \mid P_y) \) be an arbitrary process in \( A_2 \), with \( R_i^i \in \langle \Gamma_2, y : T_j \vdash \_R \rangle \) and \( P_y \in \langle \Box \{ l_i : S_i \}_{i \in I} \rangle^y \). By Definition 5.1, \( P_y \) is one of the processes in the union \( \bigcup_{i \in I} \{ y \triangleleft l_i, P_i : P_i \in \langle S_j \rangle^y \} \). We then choose \( P_y = y \triangleleft l_j, P_j \) such that \( j \in I \) and \( P_j \in \langle S_j \rangle^y \). By applying Rule \((R-ChCase)\) (cf. Figure 4), we have:

\[
Q \equiv G_1 \mid (\nu y)(y \triangleright \{ l_i : R_i^i \}_{i \in I} \mid y \triangleleft l_j, P_j) \\
\rightarrow G_1 \mid (\nu y)(R_j^j \mid P_j) \equiv Q''
\]

We shall show that \( Q'' \in B_2 \). Let us consider/recall the provenance of its different components:

(a) \( G_1 \in \langle \Gamma_1 \rangle \)

(b) \( R_j^j \in \langle \Gamma_2, y : T_j \vdash \_R \rangle \).

(c) \( P_j \in \langle S_j \rangle^y \).

By considering Items (a) – (c), together with Equation (B.13), it is immediate to see that \( Q'' \in B_2 \). Therefore, \( Q'' \in \langle \Gamma \vdash \_P \rangle \), as desired. This concludes the analysis for Case \((R-Case)\) (and for Part 1).

**Part 2.** Let \( P \in K \) and \( Q \in \langle \Gamma \vdash \_P \rangle \). Suppose that \( Q \rightarrow \rightarrow Q' \); we now show that (i) there is a \( P' \) such that \( P \rightarrow P' \) and (ii) \( Q' \rightarrow R \), for some \( R \in \langle \Gamma \vdash \_P \rangle \).

We first argue for (i), i.e., the existence of a reduction \( P \rightarrow P' \). Notice that for the reduction(s) \( Q \rightarrow \rightarrow Q' \) to occur, there must exist two complementary prefixes occurring at top level in \( Q \). By Definition 5.4 and, crucially, by the assumption \( P \in K \), the same two prefixes occur at top-level also in \( P \) and can reduce; indeed, without the assumption \( P \in K \), it could be that \( P \) cannot reduce due to a deadlock. Hence, \( P \) can mimic the reduction from \( Q \), up to structural congruence: \( P \rightarrow P' \). It then suffices to prove the theorem for \( M \equiv P \), in which the two prefixes involved occur in contiguous positions and can reduce.

To address (ii), we now relate \( P' \) and \( Q' \) by considering two main cases for the reduction originating from \( Q \) (cf. Figure 4): (1) it corresponds to an input-output communication via Rule \((r-Com)\); and (2) it corresponds to a selection-branching interaction via Rule \((R-ChCase)\). (The third case, corresponding to Rule \((r-ChReus)\), is straightforward using the induction hypothesis.) We detail these two cases; the analysis largely mirrors the one given in Part 1:

1. **Case** \( M = \mathcal{E}[\langle \nu xy : S' \rangle (\pi(v).P_1 \mid y(t : T).P_2)] \rightarrow \mathcal{E}[\langle \nu xy : S \rangle (P_1 \mid P_2[v/t])] \equiv P' \).

Since \( \Gamma \vdash \_P \), by Theorem 2.2 \( \Gamma \vdash \_M \). By inversion, \( S' = !T.S \) for some \( S \). Then, again by inversion \( \Gamma = \langle \Gamma_1, v : T \rangle, \Gamma_2 \). By Definition 5.4, \( \langle \Gamma \vdash \_M \rangle = A_1 \cup A_2 \), where:

\[
A_1 = \{ \mathcal{F}[G_2 \mid (\nu x) (\pi(w).(v \leftrightarrow w) \mid P_1^*) \mid P_2^*] : P_1^* \in \langle \Gamma_1, x : S \vdash \_P \rangle, P_2^* \in \langle \Box T.S \rangle^x, G_2 \in \langle \Gamma_2 \rangle \} \\
A_2 = \{ \mathcal{H}[G_1 \mid (\nu y)(y(t).P_2^* \mid P_y^*)] : P_2^* \in \langle \Gamma_2, y : S, t : T \vdash \_P \rangle, P_y^* \in \langle !T.S \rangle^y, G_1 \in \langle \Gamma_1, v : T \rangle \}
\]

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Also by Definition 5.4, we have that \( (\Gamma \triangleright_{\mathsf{ST}} P^\circ) = B_1 \cup B_2 \), where:

\[
B_1 = \{ F[G'_2 \mid (\nu x)(Q_1 \mid P'_2) ] : P'_2 \in \langle S \rangle^y, Q_1 \in (\Gamma_1, x : S \triangleright_{\mathsf{ST}} P_1), G'_2 \in \langle \Gamma_2, v : T \rangle \} \\
B_2 = \{ H[G'_1 \mid (\nu y)(Q_2 \mid P'_y) ] : P'_y \in \langle S \rangle^y, Q_2 \in (\Gamma_2, y : S \triangleright_{\mathsf{ST}} P_2[v/t]), G'_1 \in \langle \Gamma_1 \rangle \}
\]

We now address two distinct sub-cases:

(i) We show that every \( Q \in A_1 \) reduces to a \( Q' \in B_1 \);

(ii) Similarly, we show that every \( Q \in A_2 \) reduces to a \( Q' \) such that \( Q' \triangleright R \) and \( R \in B_2 \).

**Sub-case (i).** Let \( Q = F[G'_2 \mid (\nu x)(\tau(w).([v \leftrightarrow w] \mid P'_1) \mid P_2)] \) be an arbitrary process in \( A_1 \), with \( P_x \in \langle ?T,S \rangle^x \). By Definition 5.1 we have that

\[
\langle ?T,S \rangle^x = \{ x(t).Q_t \mid Q_x : Q_t \in \langle T \rangle^t \land Q_x \in \langle S \rangle^x \}
\]

We may then let \( P_x = x(t).Q_t \mid Q_x \) where \( Q_t \in \langle T \rangle^t \) and \( Q_x \in \langle S \rangle^x \). By applying Rules (R-CuCom) and (R-Fwd) (cf. Figure 4) we have:

\[
Q \triangleq F[G'_2 \mid (\nu x)(\tau(w).([v \leftrightarrow w] \mid P'_1) \mid P_2)] = F[G'_2 \mid (\nu x)(\tau(w).([v \leftrightarrow w] \mid P'_1) \mid x(t).(Q_t \mid Q_x))] \\
\rightarrow F[G'_2 \mid (\nu x)((\nu w)([v \leftrightarrow w] \mid P'_1 \mid Q_\nu \mid Q_x))] \\
\rightarrow F[G'_2 \mid Q_\nu \mid (\nu x)(P'_1 \mid Q_x)] \triangleq Q'
\]

It is then easy to see that \( Q' \in (\Gamma \triangleright_{\mathsf{ST}} P^\circ) \).

**Sub-case (ii).** Let \( Q = H[G_1 \mid (\nu y)(y(t).P'_2 \mid P_2)] \) be an arbitrary process in \( A_2 \). By Definition 5.1, since \( P_y \in \langle ?T,S \rangle^y \), we may then let \( P_y = \nu(k).Q_k \mid Q_y \) where \( Q_k \in \langle T \rangle^k \) and \( Q_y \in \langle S \rangle^y \). By applying Rule (R-CuCom) (cf. Figure 4), we have:

\[
Q \triangleq H[G_1 \mid (\nu y)(y(t).P'_2 \mid P_2)] \\
\rightarrow H[G_1 \mid (\nu y)(y(t).P'_2 \mid \nu(k).Q_k \mid Q_y)] \\
\rightarrow H[G_1 \mid (\nu y)((nu(k)(P'_2[k/t] \mid Q_k) \mid Q_y)] \triangleq Q'
\]

By Lemma Appendix B.2, \( P'_2[k/t] \in (\Gamma_2, y : S, k : T \triangleright_{\mathsf{ST}} P_2[k/t]) \). Also, by Proposition Appendix B.1 we can rewrite \( G_1 \) as \( G_1 = G_1' \mid G_v \), such that \( G_1' \in \langle \Gamma_1 \rangle^\ell \) and \( G_v \in \langle v : T \rangle^\ell \). Then, we can rewrite \( Q' \) as follows:

\[
Q' = H[G_1' \mid G_v \mid (\nu y)((nu(k)(P'_2[k/t] \mid Q_k) \mid Q_y)]
\]

It is then easy to see that \( Q' \triangleright R \), with \( R \in (\Gamma \triangleright_{\mathsf{ST}} P^\circ) \), as wanted.

2. Case \( M = E[(\nu xy : S')(x \triangleleft_{\ell} y \mid y \triangleright \{ l_i : R_i \}_{i \in I})] \rightarrow E[(\nu xy : S'')(Q \mid R)] \).
Since $\Gamma \vdash_{ST} P$, by Theorem 2.2 also $\Gamma \vdash_{ST} M$. By inversion let $S' = \oplus\{l_i : S_i\}_{i \in I}$ for some session types $S_i$ ($i \in I$). By Definition 5.4, $(\Gamma \vdash_{ST} M) = A_1 \cup A_2$, where:

$$A_1 = \{F[G_2 \mid (\nu x)(x \triangleleft l_j.Q^* \mid P_x)] : Q^* \in (\Gamma_1, x : S_j \vdash_{ST} Q), P_x \in \{\&\{l_i : T_i\}_{i \in I}\}^x, G_2 \in \{\Gamma_2\}\}$$

$$A_2 = \{H[G_1 \mid (\nu y)(y \triangleright \{l_i : R^*_i\}_{i \in I} \mid P_y)] : R^*_i \in (\Gamma_2, y : T_i \vdash_{ST} R_i), P_y \in \{\oplus\{l_i : S_i\}_{i \in I}\}^y, G_1 \in \{\Gamma_1\}\}$$

Also, by Definition 5.4, we have that $(\Gamma \vdash_{ST} P') = B_1 \cup B_2$, where:

$$B_1 = \{F[G'_2 \mid (\nu x)(Q^* \mid P'_x)] : Q^* \in (\Gamma_1, x : S_j \vdash_{ST} Q), P'_x \in \{T_j\}^x, G'_2 \in \{\Gamma_2\}\}$$

$$B_2 = \{H[G'_1 \mid (\nu y)(R^*_j \mid P'_y)] : R^*_j \in (\Gamma_2, y : T_j \vdash_{ST} R_j), P'_y \in \{S_j\}^y, G'_1 \in \{\Gamma_1\}\}$$

As before, we now address two distinct sub-cases:

(i) We show that every $Q \in A_1$ reduces to a $Q' \in B_1$;

(ii) Similarly, we show that every $Q \in A_2$ reduces to a $Q' \in B_2$.

**Sub-case (i).** Let $Q = F[G_2 \mid (\nu x)(x \triangleleft l_j.Q^* \mid P_x)]$ be an arbitrary process in $A_1$. By Definition 5.1, since $P_x \in \{\&\{l_i : T_i\}_{i \in I}\}^x$, then $P_x = x \triangleright \{l_i : P_i\}_{i \in I}$ with $P_i \in \{T_i\}^x$, for all $i \in I$. By applying Rule (r-ChCase) (cf. Figure 4), and letting $j \in I$ we have:

$$Q \triangleq F[G_2 \mid (\nu x)(x \triangleleft l_j.Q^* \mid P_x)]$$

$$\rightarrow F[G_2 \mid (\nu x)(Q^* \mid P_j)] \triangleq Q'$$

It is then easy to see that $Q' \in B_1$, and therefore $Q' \in (\Gamma \vdash_{ST} P')$, as desired.

**Sub-case (ii).** Let $Q = H[G_1 \mid (\nu y)(y \triangleright \{l_i : R^*_i\}_{i \in I} \mid y \triangleleft l_j.P_j)]$ be an arbitrary process in $A_2$, with $R^*_i \in (\Gamma_2, y : T_i \vdash_{ST} R_i)$. By Definition 5.1, since $P_y \in \{\oplus\{l_i : S_i\}_{i \in I}\}^y = \bigcup_{i \in I} \{y \triangleleft l_i.P_i : P_i \in \{S_i\}^y\}$, it means that $P_y$ is one of the processes in the union. We may then choose $P_y = y \triangleleft l_j.P_j$ such that $j \in I$ and $P_j \in \{S_j\}^y$. By applying Rule (r-ChCase) (cf. Figure 4) we have:

$$Q \triangleq H[G_1 \mid (\nu y)(y \triangleright \{l_i : R^*_i\}_{i \in I} \mid y \triangleleft l_j.P_j)]$$

$$\rightarrow H[G_1 \mid (\nu y)(R^*_j \mid P_j)] \triangleq Q'$$

Since $P_j \in \{S_j\}^y$ and $P'_y \in \{S_j\}^y$, it is then easy to see that $Q' \in B_2$, and therefore $Q' \in (\Gamma \vdash_{ST} P')$, as desired. This concludes the analysis for this case (and for Part 2).

\[\square\]