Automatic Identification of English Verb Particle Constructions using Linguistic Features

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Abstract

This paper presents a method for identifying token instances of verb particle constructions (VPCs) automatically, based on the output of the RASP parser. The proposed method pools together instances of VPCs and verb-PPs from the parser output and uses the sentential context of each such instance to differentiate VPCs from verb-PPs. We show our technique to perform at an F-score of 97.4% at identifying VPCs in Wall Street Journal and Brown Corpus data taken from the Penn Treebank.

1 Introduction

Multiword expressions (hereafter MWEs) are lexical items that can be decomposed into multiple simplex words and display lexical, syntactic and/or semantic idiosyncrasies (Sag et al., 2002; Calzolari et al., 2002). In the case of English, MWEs are conventionally categorised syntactico-semantically into classes such as compound nominals (e.g. New York, apple juice, GM car), verb particle constructions (e.g. hand in, battle on), non-decomposable idioms (e.g. a piece of cake, kick the bucket) and light-verb constructions (e.g. make a mistake). MWE research has focussed largely on their implications in language understanding, fluency and robustness (Pearce, 2001; Sag et al., 2002; Copestake and Lascarides, 1997; Bannard et al., 2003; McCarthy et al., 2003; Widows and Dorow, 2005). In this paper, our goal is to identify individual token instances of English verb particle constructions (VPCs hereafter) in running text.

For the purposes of this paper, we follow Baldwin (2005) in adopting the simplifying assumption that VPCs: (a) consist of a head verb and a unique prepositional particle (e.g. hand in, walk off); and (b) are either transitive (e.g. hand in, put on) or intransitive (e.g. battle on). A defining characteristic of transitive VPCs is that they can generally occur with either joined (e.g. He put on the sweater) or split (e.g. He put the sweater on) word order. In the case that the object is pronominal, however, the VPC must occur in split word order (c.f. *He handed in it) (Huddleston and Pullum, 2002; Villavicencio, 2003).

The semantics of the VPC can either derive transparently from the semantics of the head verb and particle (e.g. walk off) or be significantly removed from the semantics of the head verb and/or particle (e.g. look up); analogously, the selectional preferences of VPCs can mirror those of their head verbs or alternatively diverge markedly. The syntax of the VPC can also coincide with that of the head verb (e.g. walk off) or alternatively diverge (e.g. lift off).

In the following, we review relevant past research on VPCs, focusing on the extraction/identification of VPCs and the prediction of the compositionality/productivity of VPCs.

There is a modest body of research on the identification and extraction of VPCs. Note that in the case of VPC identification we seek to detect individual VPC token instances in corpus data, whereas in the case of VPC extraction we seek to arrive at an inventory of VPC types/lexical items based on analysis of token instances in corpus data. Li et al. (2003) identify English VPCs (or “phrasal verbs” in their parlance) using hand-coded regular expressions. Baldwin and Villavicencio (2002) extract a simple list of VPCs from corpus data, while Baldwin (2005) extracts VPCs with valence information under the umbrella of deep lexical acquisition.\footnote{The learning of lexical items in a form that can be fed directly into a deep grammar or other richly-annotated lexical resource}

The method of Baldwin (2005) is aimed at VPC extraction and takes into account only the syntactic features of verbs. In this paper, our interest is in VPC identification, and we make use of deeper semantic information.

In Fraser (1976) and Villavicencio (2006) it is argued that the semantic properties of verbs can determine the likelihood of their occurrence with...
particles. Bannard et al. (2003) and McCarthy et al. (2003) investigate methods for estimating the compositionality of VPCs based largely on distributional similarity of the head verb and VPC. O’Hara and Wiebe (2003) propose a method for disambiguating the verb sense of verb-PPs. While our interest is in VPC identification—a fundamentally syntactic task—we draw on the shallow semantic processing employed in these methods in modelling the semantics of VPCs relative to their base verbs.

The contribution of this paper is to combine syntactic and semantic features in the task of VPC identification. The basic intuition behind the proposed method is that the selectional preferences of VPCs over predefined argument positions, should provide insight into whether a verb and preposition in a given sentential context combine to form a VPC (e.g. Kim handed in the paper) or alternatively constitute a verb-PP (e.g. Kim walked in the room). That is, we seek to identify individual preposition token instances as intransitive prepositions (i.e. prepositional particles) or transitive particles based on analysis of the governing verb.

The remainder of the paper is structured as follows. Section 2 outlines the linguistic features of verbs and their co-occurring nouns. Section 3 provides a detailed description of our technique. Section 4 describes the data properties and the identification method. Section 5 contains detailed evaluation of the proposed method. Section 6 discusses the effectiveness of our approach. Finally, Section 7 summarizes the paper and outlines future work.

2 Linguistic Features

When verbs co-occur with particles to form VPCs, their meaning can be significantly different from the semantics of the head verb in isolation. According to Baldwin et al. (2003), divergences in VPC and head verb semantics are often reflected in differing selectional preferences, as manifested in patterns of noun co-occurrence. In one example cited in the paper, the cosine similarity between cut and cut out, based on word co-occurrence vectors, was found to be greater than that between cut and cut off, mirroring the intuitive compositionality of these VPCs.

(1) put = place

EX: Put the book on the table.
ARGS: bookOBJ = book, publication, object
ANALYSIS: verb-PP

(2) put on = wear

EX: Put on the sweater.
ARGS: sweaterOBJ = garment, clothing
ANALYSIS: verb particle construction

While put on is generally used in the context of wearing something, it usually occurs with clothing-type nouns such as sweater and coat, whereas the simplex put has less sharply defined selectional restrictions and can occur with any noun. In terms of the word senses of the head nouns of the object NPs, the VPC put on will tend to co-occur with objects which have the semantics of clothes or garment. On the other hand, the simplex verb put in isolation tends to be used with objects with the semantics of object and prepositional phrases containing NPs with the semantics of place.

Also, as observed above, the valence of a VPC can differ from that of the head verb. (3) and (4) illustrate two different senses of take off with intransitive and transitive syntax, respectively. Note that take cannot occur as a simplex intransitive verb.

(3) take off = lift off

EX: The airplane takes off.
ARGS: airplaneSUBJ = airplane, aeroplane
ANALYSIS: verb particle construction

(4) take off = remove

EX: They take off the cape.
ARGS: theySUBJ = person, individual
capeOBJ = garment, clothing
ANALYSIS: verb particle construction

Note that in (3), take off = lift off co-occurs with a subject of the class airplane, aeroplane. In (4), on the other hand, take off = remove and the corresponding object noun is of class garment or clothing. From the above, we can see that head nouns in the subject and object argument positions can be used to distinguish VPCs from simplex verbs with prepositional phrases (i.e. verb-PPs).
3 Approach

Our goal is to distinguish VPCs from verb-PPs in corpus data, i.e. to take individual inputs such as Kim handed the paper in today and tag each as either a VPC or a verb-PP. Our basic approach is to parse each sentence with RASP (Briscoe and Carroll, 2002) to obtain a first-gloss estimate of the VPC and verb-PP token instances, and also identify the head nouns of the arguments of each VPC and simplex verb. For the head noun of each subject and object, as identified by RASP, we use WordNet 2.1 (Fellbaum, 1998) to obtain the word sense. Finally we build a supervised classifier using TiMBL 5.1 (Daelemans et al., 2004).

3.1 Method

Compared to the method proposed by Baldwin (2005), our approach (a) tackles the task of VPC identification rather than VPC extraction, and (b) uses both syntactic and semantic features, employing the WordNet 2.1 senses of the subject and/or object(s) of the verb. In the sentence He put the coat on the table, e.g., to distinguish the VPC put on from the verb put occurring with the prepositional phrase on the table, we identify the senses of the head nouns of the subject and object(s) of the verb put (i.e. he and coat, respectively).

First, we parse all sentences in the given corpus using RASP, and identify verbs and prepositions in the RASP output. This is a simple process of checking the POS tags in the most-probable parse, and for both particles (tagged $RP$) and transitive prepositions (tagged $I$) reading off the governing verb from the dependency tuple output (see Section 3.2 for details). We also retrieved the head nouns of the subject and object(s) of each head verb directly from the dependency tuples. Using WordNet 2.1, we then obtain the word sense of the head nouns.

The VPCs or verb-PPs are represented with corresponding information as given below:

$$P(\text{type}|v, p, w_{\text{SUBJ}}, w_{\text{DOBJ}}, w_{\text{IOBJ}})$$

where $\text{type}$ denotes either a VPC or verb-PP, $v$ is the head verb, $p$ is the preposition, and $w_{*}$ is the word sense of the subject, direct object or indirect object.

Once all the data was gathered, we separated it into test and training data. We then used TiMBL 5.1 to learn a classifier from the training data, which was then run and evaluated over the test data. See Section 5 for full details of the results.

Figure 1 depicts the complete process used to distinguish VPCs from verb-PPs.

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**Figure 1:** System Architecture

3.2 On the use of RASP, WordNet and TiMBL

RASP is used to identify the syntactic structure of each sentence, including the head nouns of arguments and first-gloss determination of whether a given preposition is incorporated in a VPC or verb-PP. The RASP output contains dependency tuples derived from the most probable parse, each of which includes a label identifying the nature of the dependency (e.g. SUBJ, DOBJ), the head word of the modifying constituent, and the head of the modified constituent. In addition, each word is tagged with a POS tag from which it is possible to determine the valence of any prepositions. McCarthy et al. (2003) evaluate the precision of RASP at identifying VPCs to be 87.6% and the recall to be 49.4%. However the paper does not evaluate the parser’s ability to distinguish sentences containing VPCs and sentences with verb-PPs.

To better understand the baseline performance of RASP, we counted the number of false-positive examples tagged with $RP$ and false-negative examples tagged with $I$, relative to gold-standard data. See Section 5 for details.

We use WordNet to obtain the first-sense word sense of the head nouns of subject and object phrases, according to the default word sense ranking provided within WordNet. McCarthy et al. (2004) found that 54% of word tokens are used with their first (or default) sense. With the performance of current word sense disambiguation (WSD) systems hovering around 60-70%, a simple first-sense WSD system has room for improvement, but is sufficient for our immediate purposes.
To evaluate our approach, we built a supervised classifier using the TiMBL 5.1 memory-based learner and training data extracted from the Brown and WSJ corpora.

4 Data Collection

We evaluated our method by running RASP over Brown Corpus and Wall Street Journal, as contained in the Penn Treebank (Marcus et al., 1993).

4.1 Data Classification

The data we consider is sentences containing prepositions tagged as either RP or II. Based on the output of RASP, we divide the data into four groups:

- **Group A**: RP tagged data (i.e. the preposition is never tagged as II in combination with the given head verb).
- **Group B**: RP and II tagged data (i.e. instances of that same combination identified as verb-PPs).
- **Group C**: II tagged data (i.e. instances identified as verb-PPs by RASP where there were also instances of that same combination identified as verb-PPs).
- **Group D**: II tagged data (i.e. instances identified as verb-PPs where there were also instances of that same combination identified as VPCs).

From the sentences containing VPCs and verb-PPs, we retrieved a total of 8,165 nouns, including

| Group   | RP & II tagged data | II tagged data |
|---------|---------------------|----------------|
| Group A | 5,223               | 0              |
| Group B | 1,312               | 0              |
| Group C | 0                   | 995            |
| Group D | 0                   | 217            |
| Total   | 6,535               | 995            |
|         |                     | 4,895          |
|         |                     | 217            |

Table 2: The number of VPC and verb-PP token instances occurring in groups A, B and C at varying frequency cut-offs

FPR and vice versa. In particular, other than the reported results of McCarthy et al. (2003) targeting VPCs vs. all other analyses, we had no a priori sense of RASP’s ability to distinguish VPCs and verb-PPs. Therefore, we manually checked the false-positive and false-negative rates in all four groups and obtained the performance of parser with respect to VPCs. The verb-PPs in group A and B are false-positives while the VPCs in group C and D are false-negatives (we consider the VPCs to be positive examples).

To calculate the number of incorrect examples, two human annotators independently checked each verb–preposition instance. Table 1 details the rate of false-positives and false-negative examples in each data group, as well as the inter-annotator agreement (calculated over the entire group).

|        | FPR  | FNR  | Agreement |
|--------|------|------|-----------|
| Group A| 4.08%| —    | 95.24%    |
| Group B| 3.96%| —    | 99.61%    |
| Group C| —    | 10.15%| 93.27%    |
| Group D| —    | 3.4% | 99.20%    |

Table 1: False positive rate (FPR), false negative rate (FNR) and inter-annotator agreement across the four groups of token instances

and low-frequency verb–preposition combinations where the confidence of there definitely no being a VPC usage is low, the token sample is too small to disambiguate effectively and the overall impact would be negligible even if we tried. We do, however, return to considered data in group D in computing the precision and recall of RASP.

Naturally, the output of RASP parser is not error-free, i.e. VPCs may be parsed as verb-PPs and vice versa. In particular, other than the reported results of McCarthy et al. (2003) targeting VPCs vs. all other analyses, we had no a priori sense of RASP’s ability to distinguish VPCs and verb-PPs. Therefore, we manually checked the false-positive and false-negative rates in all four groups and obtained the performance of parser with respect to VPCs. The verb-PPs in group A and B are false-positives while the VPCs in group C and D are false-negatives (we consider the VPCs to be positive examples).

To calculate the number of incorrect examples, two human annotators independently checked each verb–preposition instance. Table 1 details the rate of false-positives and false-negative examples in each data group, as well as the inter-annotator agreement (calculated over the entire group).

4.2 Collection

We combined together the 6,535 (putative) VPCs and 995 (putative) verb-PPs from groups A, B and C, as identified by RASP over the corpus data. Table 2 shows the number of VPCs in groups A and B and the number of verb-PPs in group C. The first number is the number of examples occurring at least once and the second number that of examples occurring five or more times.

From the sentences containing VPCs and verb-PPs, we retrieved a total of 8,165 nouns, including
Table 3: Breakdown of subject and object head nouns in group A&B, and group C

| Type               | Groups A&B | Group C |
|--------------------|------------|---------|
| common noun        | 7,116      | 1,239   |
| personal pronoun   | 629        | 79      |
| demonstrative pronoun | 127    | 1       |
| proper noun        | 156        | 18      |
| who                | 94         | 6       |
| which              | 32         | 0       |
| No sense (what)    | 11         | 0       |

We found that about 10% of the nouns are pronouns (e.g. I, he, she), proper nouns (e.g. CITI, Canada, Ford) and demonstrative pronouns (e.g. one, some, this), which occurred as the head noun of a subject or object of a VPC in group A or B. We similarly retrieved 1,343 nouns for verb-PPs in group C. Table 3 shows the distribution of different noun types in these two sets.

We replaced all proper nouns with corresponding common noun hypernyms based on manual disambiguation, as the coverage of proper nouns in WordNet is (intentionally) poor. The following are examples of proper nouns and their common noun hypernyms:

| Proper noun | Common noun hypernym |
|-------------|----------------------|
| CITI        | bank                 |
| Canada      | country              |
| Ford        | company              |
| Smith       | human                |

When we retrieved the first word sense of nouns from WordNet, we selected the first sense and the associated hypernyms (up to) three levels up the WordNet hierarchy. This is intended as a crude form of smoothing for closely-related word senses which occur in the same basic region of the WordNet hierarchy. As an illustration of this process, in Figure 2, apple and orange are used as edible fruit, fruit or food, and the semantic overlap is picked up on by the fact that edible fruit is a hypernym of both apple and orange. On the other hand, food is the fourth hypernym for orange so it is ignored by our method. However, because we use the four senses, the common senses of nouns are extracted properly. This approach works reasonably well for retrieving common word senses of nouns which are in the immediate vicinity of each other in the WordNet hierarchy, as was the case with apple and orange. In terms of feature representation, we generate an individual instance for each noun sense generated based on the above method, and in the case that we have multiple arguments for a given VPC or verb-PP (e.g. both a subject and a direct object), we generate an individual instance for the cross product of all sense combinations between the arguments.

We use 80% of the data for training and 20% for testing. The following is the total number of training instances, before and after performing hypernym expansion:

| Type               | Groups A&B | Group C |
|--------------------|------------|---------|
| Training Instances |            |         |
| Before expansion   | 5,223      | 24,602  |
| After expansion    | 1,312      | 4,158   |
| Group C            | 995        | 5,985   |
Table 4: Data set sizes at different frequency cut-offs

| Group | Frequency of VPCs | Size       |
|-------|-------------------|------------|
| B     | \( f \geq 1 \)    | test:272   |
|       | \( f \geq 5 \)    | train:1,040|
| BA    | \( f \geq 1 \) & \( f \geq 1 \) | test:1,327 |
|       | \( f \geq 5 \) & \( f \geq 5 \) | train:4,163|
| BC    | \( f \geq 1 \) & \( f \geq 1 \) | test:498   |
|       | \( f \geq 5 \) & \( f \geq 5 \) | train:1,809|
| BAC   | \( f \geq 1 \) & \( f \geq 1 \) & \( f \geq 1 \) | test:1,598 |
|       | \( f \geq 5 \) & \( f \geq 5 \) & \( f \geq 5 \) | train:5,932|

5 Evaluation

We selected 20% of the test data from different combinations of the four groups and over the two frequency thresholds, leading to a total of 8 test data sets. The first data set contains examples from group B only, the second set is from groups B and A, the third set is from groups B and C, and the fourth set is from groups B, A and C. Additionally, each data set is divided into: (1) \( f \geq 1 \), i.e. verb–preposition combinations occurring at least once, and (2) \( f \geq 5 \), i.e. verb–preposition combinations occurring at least five times (hereafter, \( f \geq 1 \) is labelled \( f_{\geq 1} \) and \( f \geq 5 \) is labelled \( f_{\geq 5} \)).

In the group C data, there are 217 verb-PPs with \( f_{\geq 5} \), which is slightly more than 20% of the data so we use verb-PPs with \( f_{\geq 1} \) for experiments instead of verb-PP with \( f_{\geq 5} \). The first and second data sets do not contain negative examples while the third and fourth data sets contain both positive and negative examples. As a result, the precision for the first two data sets is 1.0.

Table 5 shows the precision, recall and F-score of our method over each data set, relative to the identification of VPCs only. A,B,C are groups and \( f # \) is the frequency of examples.

Table 6 compares the performance of VPC identification and verb-PP identification.

Table 7 indicates the result using four word senses (i.e. with hyponym expansion) and only one word sense (i.e. the first sense only).

6 Discussion

The performance of RASP as shown in Tables 5 and 6 is based on human judgement. Note that we only consider the ability of the parser to distinguish sentences with prepositions as either VPCs or verb-PPs (i.e. we judge the parse to be correct if the preposition is classified correctly, irrespective of whether there are other errors in the output).
Table 7: Results with hypernym expansion (4WS) and only the first sense (1WS), in terms of precision (P), recall (R) and F-score (F)

to give us the best performance at VPC identification. We achieved a slightly better result than the 95.8%-97.5% performance reported by Li et al. (2003). However, considering that Li et al. (2003) need considerable time and human labour to generate hand-coded rules, our method has advantages in terms of both raw performance and labour efficiency.

Combining the results for Table 5 and Table 6, we see that our method performs better for VPC identification than verb-PP identification. Since we do not take into account the data from group D with our method, the performance of verb-PP identification is low compared to that for RASP, which in turn leads to a decrement in the overall performance.

Since we ignored the data from group D containing unambiguous verb-PPs, the number of positive training instances for verb-PP identification was relatively small. As for the different number of word senses in Table 7, we conclude that the more word senses the better the performance, particularly for higher-frequency data items.

In order to get a clearer sense of the impact of selectional preferences on the results, we investigated the relative performance over VPCs of varying semantic compositionality, based on 117 VPCs \((f \geq 1)\) attested in the data set of McCarthy et al. (2003). According to our hypothesis from above, we would expect VPCs with low compositionality to have markedly different selectional preferences to the corresponding simplex verb, and VPCs with high compositionality to have similar selectional preferences to the simplex verb. In terms of the performance of our method, therefore, we would expect the degree of compositionality to be inversely proportional to the system performance. We test this hypothesis in Figure 3, where we calculate the error rate reduction (in F-score) for the proposed method relative to the majority-class baseline, at various degrees of compositionality. McCarthy et al. (2003) provides compositionality judgements from three human judges, which we take the average of and bin into 11 categories (with 0 = non-compositional and 10 = fully compositional). In Figure 3, we plot both the error rate reduction in each bin (both the raw numbers and a smoothed curve), and also the number of attested VPC types found in each bin. From the graph, we see our hypothesis born out that, with perfect performance over non-compositional VPCs and near-baseline performance over fully compositional VPCs. Combining this result with the overall results from above, we conclude that our method is highly successful at distinguishing non-compositional VPCs from verb-PPs, and further that there is a direct correlation between the degree of compositionality and the similarity of the selectional preferences of VPCs and their verb counterparts.

Several factors are considered to have influenced performance. Some data instances are missing head nouns which would assist us in determining the semantics of the verb–preposition combination. Particular examples of this are imperative and abbreviated sentences:

\[(7) \quad \begin{align*}
  a. \quad \text{Come in}.
  
  b. \quad (\text{How is your cold?}) \quad \text{Broiled out}.
\end{align*}\]

Another confounding factor is the lack of word sense data, particularly in WH questions:

\[(8) \quad \begin{align*}
  a. \quad \text{What do I hand in?}
  
  b. \quad \text{You can add up anything.}
\end{align*}\]
7 Conclusion

In this paper, we have proposed a method for identifying VPCs automatically from raw corpus data. We first used the RASP parser to identify VPC and verb-PP candidates. Then, we used analysis of the head nouns of the arguments of the head verbs to model selectional preferences, and in doing so, distinguish between VPCs and verb-PPs. Using TiMBL 5.1, we built a classifier which achieved an F-score of 97.4% at identifying frequent VPC examples. We also investigated the comparative performance of RASP at VPC identification.

The principal drawback of our method is that it relies on the performance of RASP and we assume a pronoun resolution oracle to access the word senses of pronouns. Since the performance of such systems is improving, however, we consider our approach to be a promising, stable method of identifying VPCs.

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