Comparison of first and second waves of COVID-19 through severity markers in ICU patients of a developing country

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ABSTRACT

Background: Many countries are experiencing outbreaks of the second wave of COVID-19 infection. With these outbreaks, the severity of the disease is still ambiguously projected. Certain inflammatory markers are known to be associated with the severity of the disease and regular monitoring of these biomarkers in intensive care unit admissions is paramount to improve clinical outcomes.

Objectives: This study was aimed to compare the severity markers of the patients infected during the first wave versus the second wave in an intensive care unit.

Methods: We conducted a retrospective study obtaining patient’s data from hospital records, admitted during the first wave in March-May 2020, and compared the data with those COVID-19 patients admitted during the second wave from October-November 2020. A descriptive comparison was done among the patients admitted to intensive care unit (ICU) during both waves of the pandemic.

Results: 92 patients from first wave and 68 patients from second wave were included in the analysis, all admitted to ICU with equal gender distribution. Increased age and length of ICU stay was observed during the first wave. BMI, in-hospital mortality and invasive ventilation were statistically indifferent between both the waves. There was significantly higher APACHE-II during first wave (p = 0.007), but SOFA at day 1 (p = 0.213) and day 7 of ICU stay remain indifferent (p = 0.119). Inflammatory markers were less severe during second wave while only neutrophils and lymphocytes were found to peak higher.

Conclusion: Most of the severity markers were less intense during the early analysis of second wave.

1. Introduction

The first case of the coronavirus disease-19 (COVID-19) pandemic was documented in the city of Wuhan, Hubei province, China in December 2019, following which an additional 24.7 million cases inclusive of 830,000 deaths have been reported globally by the World Health Organization (WHO) till August 2020 [1]. A handful of countries have witnessed a two-wave pattern of announced cases, called ‘the second wave following the first wave’ [2]. The infectivity rate of COVID-19 has decreased after the first wave, which amounted to an epidemic threshold of 1.0 in March and April 2020 [1]. The crude fatality rate (CFR) predicted for the first wave of COVID-19 in China was 5.65%, while in critically ill patients it rose to a drastic 3.6 times higher than the above-mentioned value [3]. Death incidence increased at the 15th to 16th week of the first wave [4]. A prominent rise has been reported in COVID-19-associated deaths portraying definitive affinity towards the male gender, increasing age, black race, poor socioeconomic status, a household with more than four members, presence of comorbidities, early discharge from hospital, and transmission of disease from asymptomatic health care workers [4].

During the 8th week of the global pandemic, the casualty rate was (25%) over the expected percentage at that particular time of the year [4]. The maximum
peak of global disease occurred in the province of Daegu and Gyeongbuk during February and March, with a predicted doubling time of 2.8 days for Daegu and 3.6 days for Gyeongbuk, respectively [1]. The second wave in contrast to the first wave made itself strongly evident in newly documented cases, yet there was no observed tangible rise in death tolls [5]. In countries like Germany and Spain, the peak of the second wave was expected to yield 2–3 million infections along with a mortality count in thousands [6]. The death rate was reported to be diminished during the second wave as compared to the first wave in 43 out of 53 countries, accounting for no rise in fatality rate around the globe [2]. The declined casualty ratio in the second wave as compared to the first wave can be due to an increased demise of the elderly population and those with comorbidities especially in countries with peaking infection rates [2]. A decrease in CFR during the second wave of COVID-19 is a positive manifestation indicating a decline in transmission of the viral illness [2].

Outbreak of second wave of COVID-19 infection was reported in late days of October 2020 by majority of countries comprising of Pakistan, Belgium, France, Germany, Spain, Ireland and Czech Republic with a significant rise in new cases recorded thus attaining their highest number of total cases [7,8]. Japanese population suffered second wave of COVID-19 in late March and early May with sudden rise in new cases [7]. With these outbreaks, the severity of the disease is still ambiguously projected. Apart from circulating cytokines levels, there are certain inflammatory markers known to be associated with the severity of the disease such as C-reactive protein (CRP), lactate dehydrogenase (LDH), ferritin, procalcitonin (PCT), and D-dimer [9]. Some of them are non-specific markers of sepsis/inflammation while others are consistent with cytokine releasing syndrome (CRS), hence been elevated with the severity of the disease [10]. Intensive care unit (ICU) mortality is well predicted by certain score systems, Acute Physiology And Chronic Health Evaluation II (APACHE-II) and Sequential Organ Failure Assessment (SOFA) score [11,12]. Regular monitoring of these biomarkers/scores in intensive care unit admissions with COVID-19 is paramount to improve clinical outcomes.

This study was aimed to compare the severity markers of the patients infected during the first wave versus the second wave in an intensive care unit at a tertiary care hospital of a developing country.

2. Methods

We conducted a retrospective study obtaining patient’s data from hospital records, admitted during the first wave in March 2020, and compared the data with those COVID-19 patients admitted during the second wave from October 2020 onwards. Only the severity markers of patients admitted to the intensive care unit (ICU) were compared. This study excluded those patients who were managed in isolation wards and discharged without experiencing any severe course of the disease. The study variables primarily included the laboratory parameters liable for predicting the severity of COVID-19 infection like C-reactive protein (CRP), lactate dehydrogenase (LDH), ferritin, procalcitonin (PCT), D-dimer, serum urea and creatinine levels, total leukocyte count (TLC), neutrophils, lymphocytes, and platelet counts. The admitting values of Day 1 were recorded, followed by the peak values (highest rise) during the ICU stay along with the day of reaching peak value in each parameter were recorded. Lymphocyte and platelet counts tend to decrease with severity hence their lowest levels and days to attain the lowest levels were recorded during the ICU stay. APACHE-II and SOFA scores were also calculated at admission in ICU.

After collecting the severity parameters, a descriptive comparison was performed among the patients admitted during both waves of the pandemic. All the data was assembled in SPSS version 25.0 (IBM Corp., Armonk, NY). Data were presented as either median and interquartile range for continuous, or frequency and percentages for categorical variables. The normality of the data was checked through the Shapiro-Wilk test. Non-parametric test i.e., Mann-Whitney U test was used to compare differences between two independent groups when the data was not normally distributed. Chi-square test or Fisher’s exact test was applied to frequency distribution accordingly. Box plots were also used to show the distribution of numerical data and skewness among the two waves of the COVID-19 pandemic.

3. Results

A total of 160 patients were included in the analysis, all admitted to ICU with 92 patients from the first wave in March-May 2020, and 68 patients from the second wave in October-November 2020. Equal gender distribution was observed in both sets of patients (p = 0.869), however, increased age was evident during the second wave (p < 0.001). The mean length of ICU stay was increased during the first wave (p < 0.001). BMI, in-hospital mortality and invasive ventilation were statistically indifferent between both the waves. There was significantly higher APACHE-II score during first wave (p = 0.007), but SOFA at day 1 (p = 0.213) and day 7 of ICU stay remain indifferent (p = 0.219). The comparative frequencies of multisystem involvement and in-hospital events are shown in Table 1.
Concerning the laboratory markers, total leukocyte count was equally affected during both waves, but peak levels were attained early during the second wave (p = 0.017). Neutrophil count was high on day 1 of the second wave (p = 0.001), and higher peak levels were observed in the second wave (p < 0.001). However, mean days to reach peak levels were statistically insignificant (p = 0.981). Similarly, lymphocytes were lower on day 1 with persistently lower levels were observed during the second wave (p < 0.001), but with no difference in duration to reach lowest levels (p = 0.834). Similar platelet counts were observed between both the waves, except for early attainment of lowest levels during the second wave (p = 0.018) as shown in Table 2.

Similar rise in serum urea levels was observed during both waves, while the day of peak was attained early in the second wave (p = 0.040) as shown in Figure 1(a). Although, creatinine was deranged equally during both waves. CRP usually peaked after day 1 of ICU stay in both the waves with higher peak levels were observed during the first wave (p = 0.006). Similarly, serum ferritin prominently peaked during the first wave (p < 0.001), usually on day 4 of ICU stay (p = 0.006). Serum LDH also peaked higher during the first wave (p < 0.001), but peak levels were reached earlier during the second wave (p = 0.001). Higher peak procalcitonin levels were observed during the first wave (p = 0.004),

### Table 1. Baseline, demographic characteristics along with in-hospital events and severity indices of the study population (n = 160).

| Variables                  | First wave | Second wave | p-value |
|----------------------------|------------|-------------|---------|
| BMI (kg/m²)                | 25.00 (4.50) | 25.50 (4.00) | 0.301* |
| Male gender                | 58 (63.0%) | 42 (61.8%) | 0.869** |
| Age (in years)             | 56.00 (19.00) | 65.00 (18.50) | <0.001* |
| In-hospital mortality      | 23 (25.0%) | 13 (19.1%) | 0.378** |
| Invasive ventilation       | 25 (27.1%) | 11 (16.1%) | 0.100** |
| Non-invasive ventilation   | 28 (30.4%) | 23 (33.8%) | 0.649* |
| APACHE-II                  | 15.00 (7.70) | 13.00 (5.50) | 0.007* |
| SOFA score at Day 1 of ICU stay | 4.00 (2.50) | 3.00 (2.00) | 0.213* |
| SOFA score at Day 7 of ICU stay | 3.50 (2.00) | 2.50 (2.00) | 0.119* |
| Systolic blood pressure (mmHg) | 124.00 | 125.50 | 0.862* |
| Diastolic blood pressure (mmHg) | 74.50 (12.00) | 76.25 (12.50) | 0.342* |
| Acute kidney injury        | 21 (22.8%) | 12 (17.6%) | 0.423* |
| ARDS                       | 16 (17.4%) | 10 (14.7%) | 0.649* |
| Mild ARDS                  | 3 (3.2%)  | 1 (1.4%)  | 0.864* |
| Moderate ARDS              | 9 (9.8%)  | 7 (10.2%) | 0.649* |
| Severe ARDS                | 4 (4.3%)  | 2 (2.9%)  | 0.649* |
| MODS                       | 9 (9.8%)  | 4 (5.9%)  | 0.372** |
| Thrombotic events          | 11 (11.9%) | 6 (8.8%) | 0.423* |
| Bilateral lung involvement | 42 (45.6%) | 26 (38.2%) | 0.348** |
| Cardiac event              | 6 (6.5%)  | 3 (4.4%)  | 0.734* |
| Hemodialysis support       | 14 (15.2%) | 8 (11.7%) | 0.531** |
| Vasopressor support        | 15 (16.3%) | 7 (10.2%) | 0.275** |

* Mann Whitney U-test, ** Chi-square test, † Fisher Exact test. Data presented as median (IQR) or frequency (percentage).

**Abbreviation:** BMI: body mass index; APACHE: Acute Physiology And Chronic Health Evaluation II; SOFA: Sequential Organ Failure Assessment; ARDS: Acute respiratory distress syndrome; MODS: Multiple Organ Dysfunction Syndrome; ICU: intensive care unit.

### Table 2. Comparison of serial biochemical markers among the first and second waves of COVID-19 (n = 160).

| Variables                  | First wave | Second wave | p-value |
|----------------------------|------------|-------------|---------|
| Length of stay in hospital | 12.00 (6.50) | 9.00 (4.50) | <0.001* |
| Length of ICU stay in days | 8.00 (5.75) | 5.00 (4.00) | <0.001* |
| Total leukocyte count (x10⁹/L) | 16.10 (8.58) | 16.90 (10.28) | 0.403* |
| Peak on Day 1 of ICU stay | 10.00 (5.78) | 10.00 (6.85) | 0.587* |
| Peak levels during ICU stay | 16.10 (8.58) | 16.90 (10.28) | 0.403* |
| Days to reach peak levels during ICU stay | 6.00 (5.00) | 3.00 (4.00) | 0.017* |
| Neutrophil count (%)       | 79.50 (13.75) | 86.00 (13.50) | 0.001* |
| Peak levels during ICU stay | 85.00 (10.00) | 92.00 (7.00) | <0.001* |
| Days to reach peak levels during ICU stay | 4.00 (5.50) | 4.00 (5.00) | 0.981* |
| Lymphocyte count (%)       | 16.00 (10.75) | 8.00 (10.00) | <0.001* |
| Lowest count during ICU stay | 10.00 (10.00) | 4.00 (4.00) | <0.001* |
| Days to reach lowest count during ICU stay | 4.00 (5.00) | 4.00 (5.00) | 0.834* |
| Platelet count (x10⁹/L)    | 220.00 (112.00) | 204.00 (100.50) | 0.221* |
| Peak levels during ICU stay | 84.00 (83.00) | 83.00 (81.95) | 0.947* |
| Days to reach peak levels during ICU stay | 38.50 (42.75) | 53.50 (47.00) | 0.079* |
| Serum creatinine (mg/dL)   | 121.00 (112.00) | 172.00 (140.50) | 0.016* |
| Peak levels during ICU stay | 172.00 (140.50) | 152.00 (109.50) | 0.293* |
| Days to reach peak levels during ICU stay | 3.50 (7.00) | 2.00 (3.00) | 0.018* |
| Serum Ferritin (mg/mL)     | 949.50 (1127.75) | 767.50 (723.75) | 0.035* |
| Peak levels during ICU stay | 1528.00 (1872.00) | 999.00 (831.50) | <0.001* |
| Days to reach peak levels during ICU stay | 4.00 (6.00) | 2.00 (2.00) | 0.006* |
| Serum Lactate dehydrogenase (U/L) | 513.50 (303.25) | 534.00 (337.00) | 0.846* |
| Peak levels during ICU stay | 765.00 (528.75) | 577.00 (443.75) | <0.001* |
| Days to reach peak levels during ICU stay | 4.00 (4.00) | 2.00 (3.00) | <0.001* |
| Procalcitonin (mg/mL)      | 0.26 (1.23) | 0.24 (0.93) | 0.343* |
| Peak levels during ICU stay | 1.11 (18.69) | 0.23 (1.94) | 0.004* |
| Days to reach peak levels during ICU stay | 8.00 (9.00) | 3.00 (2.00) | 0.002* |
| D-dimer (mcg/mL)           | 2.57 (5.46) | 1.11 (1.04) | 0.004* |
| Peak levels during ICU stay | 8.88 (19.75) | 3.90 (6.20) | <0.001* |
| Days to reach peak levels during ICU stay | 4.00 (5.00) | 4.00 (3.00) | 0.500* |

* Mann–Whitney U-test
† Peak for lymphocytes and platelets are taken as lowest count. Data presented as median (interquartile range).

**Abbreviation:** ICU: intensive care unit; COVID-19: coronavirus disease 19.
on day 8 of the ICU stay (p = 0.002). D-dimer was higher on day 1 (p = 0.004) as well as a prominent peak was observed during the first wave (p < 0.001), however the peak was usually reported on day 4 during both the waves (p = 0.500) as shown in Figure 1(b). Figure 2 shows the box plots to show the distribution of laboratory markers and skewness among the two waves of the COVID-19 patients. Figure 3 demonstrates the cross compactors of each marker with different clinical outcomes. Higher peaking inflammatory markers were observed during the first wave correlating with mortality and ventilatory support but during the second wave, neutrophil and lymphocyte counts better correlated with the in-hospital events.

Figure 1. (a) Comparison of peaks of laboratory markers among the first and second waves of COVID-19. (b) Comparison of peaks of inflammatory markers among the first and second waves of COVID-19.
4. Discussion

In a study conducted in Wuhan China, peak levels of severity markers were assessed between survivors and non-survivors [13]. Total leukocyte count peaked on the 15th to 17th day of infection with values above 14.0 x10^9/µL in non-survivors and 9.0 x10^9/µL in survivors, respectively. Peak absolute neutrophil count was 13000 cell/µL in non-survivors while 6000 cells/µL in survivors with maximum levels reached by day 17. Peak lymphocytopenia was evident on day 5 and day 17 among non-surviving patients while patients that survived had a peak on day 19 of the infection. Peak D-dimer levels were attained on day 13 among critically ill patients with values above 1000 mg/L. Deranged blood urea nitrogen along with serum creatinine peaked at day 17th among non-surviving patients, while onset of abnormal range was attained after day 11. Another
study conducted on hematological parameters of COVID-19 patients' revealed a lymphocyte count ranging from 500 to 1000 cells/µL in non-surviving, in comparison to surviving patients having counts of more than 2000 cells/µL. The same study showed an absolute neutrophil count ranging from 10,000 to 15,000 cell/µL, along with a lower hemoglobin and platelet levels in non-surviving patients. The lowest platelet counts were slightly above 200,000 in non-surviving and 250,000 in surviving patients, respectively [14]. Another study conducted in invasively ventilated patients of COVID-19 showed a 7-day follow-up of laboratory markers [15]. Total leukocyte count was highest on day 7 for non-surviving patients, conversely, it declined to a minimum value on day 7 for surviving patients (14 vs 10 x10^9/µL). Peak lymphocytopenia was evident on day 6 of the post-intubation period with a count of 400 cell/µL.

Figure 2. Box plots representing the distribution of laboratory markers and skewness among the two waves of the COVID-19 patients.
The neutrophil count was highest on post-intubation day 1, meanwhile, non-survivors had shown a decline on day 7 of post-intubation. Persistent declining thrombocyte count was a feature in the non-surviving patients from admission till post intubation period. BUN was highest on day 7 and creatinine on day 5 post-intubation. Peak CRP level of 125 mg/dL was noticed on day 4 of non-surviving intubated patients, contrary to this surviving patients had levels below 50 mg/dL on the same day followed by a declining pattern [15].

Lowest lymphocyte count of 400 cells/µL, peak CRP levels of 214.7 mg/L, peak procalcitonin 0.4 ng/mL, peak dimer 2.02 mcg/mL, peak LDH 475 U/L, peak ferritin 1442 ng/mL, and peak thrombocytopenia of 166 x10^9/µL were observed according to one study [16]. Another similar study compared these markers among survivors and non-survivors showed that lymphocyte count decreased significantly in both survivors (from 0.94 to 0.51 x 10^9 cells/L) and non-survivors (from 1.24 to 0.61 x 10^9 cells/L), respectively. Among survivors, the increase in CRP level was from 158.0 to 178.7 mg/L while among non-survivors, the increase in CRP level was from 166.8 to 207.7 mg/L [17]. There was an equal rise in serum ferritin among the survivors (1321.13 to 2141.18 ng/mL) and non-survivors (1227.01 to 1662.7 ng/mL). The rise in serum LDH levels in survivors was 829.59 to 1018.6 U/L while in non-survivors it was 816.2 to 1056.61 U/L. D-dimer levels increased significantly in both survivors and non-survivors (from 7.2 to 28.8 µg/mL, and from 8.75 to 29.52 µg/mL, respectively) [17]. Similarly, another study concluded peak D-dimer levels of 42.2 µg/ml on day 22 of admission in non-survivors, peak lymphocytopenia on day 7 for survivors (0.91 x 10^9 cells/L), and day 22 for non-surviving patients (0.42 x 10^9 cells/L). Surviving patients exhibited peak ferritin levels of 635 µg/L on day 13, while non-surviving patients had peak levels of more than 2000 µg/L from day 16 to day 19 [18]. LDH levels were highest from day 10 to day 13 in surviving patients (302 U/L) while non-survivors evinced a constant incremental pattern from day 16 to a peak value of 590 U/L on day 25 [18].

One study compared severity markers with four stages of illness and found slightly rising total leucocyte count in a severely affected group of patients. Granulocyte counts portrayed a proportional increase in both severely and non-severely affected groups of

Figure 3. Cross compactor of biochemical markers in each wave with different patient clinical outcomes.
patients. Lymphocyte count was significantly depre-
ciated in the severely affected group, consequently, 
granulocyte to lymphocyte ratio depicted a persistent 
rise in the same group. CRP showed a significantly 
higher pattern in stage 1 to 3 illness of severe group, 
but declined and became indifferent to the mild 
group in stage 4 illness [19]. Another study compared 
the mean values of CRP and d-dimer levels on day 1 
and day 7 of hospitalization and found that CRP 
levels in deceased patients rose from 125 to 225 mg/ 
L while no significant difference was observed with 
upgrading patients to ICU, performing dialysis, or 
being on a ventilator. Similarly, D-dimer levels of non-surviving patients were 4.0 mcg/mL at day 1 
and 6.5 mcg/mL at day 7, respectively. Compared to 
patients not requiring additional treatment modal-
ties, D-dimer levels rose from 2.5 to 7.0 mcg/mL in 
ICU admitted patients, 4.5 to 7.0 mcg/mL in ventila-
ator acquiring patients and 2.5 to 4.0 mcg/mL in 
patients requiring dialysis, respectively [20]. 
A couple of studies documented the severity markers 
after using tocilizumab on severely affected patients 
showed peak CRP levels of 74.3 mg/L declining to 
38.6 mg/L after 3 days of therapy [21], with no sig-
nificant difference among survivors and non-
survivors. Another extended study documented 
effects of Tocilizumab on different severity markers 
among survivors and non-survivors. CRP levels 
showed a decline from 190 to 40 mg/L on day 10 in 
non-surviving patients, while a reduction of 138 to 
11 mg/L between days 7–15 was observed in surviv-
vors. Serum ferritin showed a declining pattern from 
1923 to 554 ng/mL on day 15 in non-survivors and 
from 1066 to 382 ng/mL in surviving patients, respec-
tively. LDH levels reduced slightly from 431 to 342 U/ 
L over the period of 15 days post Tocilizumab use. 
An initial rise of 485 to 621 U/L occurred in non-
surviving patients till 7th day with a subsequent 
decline up to 490 U/L on day 15 [22]. D-dimer levels 
showed an initial rise up to day 4 post Tocilizumab 
use in (0.51 to 1.59 mcg/mL) non-surviving and (0.29 
to 0.79 mcg/mL) surviving patients, respectively. 
Subsequently a drop in both sets of patients became 
comparable on day 10 (0.42 vs 0.38 mcg/mL). 
However, an increment of (0.67 mcg/mL) was 
recorded in non-surviving patients compared to 
a progressive decline in survivors (0.26 mcg/mL) 
on day 15 [22]. 
Lastly, platelet to lymphocyte ratio (PLR) was 
monitored in one study revealing peak levels of 
262 in non-severe and 626 in severely affected 
patients. The corresponding peak platelet counts 
were 301 and 392 x10³/µL, respectively [23]. 
Another study conducted on hematological para-
meters of COVID-19 patients showed a mean PLR 
of 152 in non-severe and 257 in severely affected 
patients, respectively [24]. Another 
study conducted previously on a similar popula-
tion revealed follow-ups of severe laboratory mar-
kers in recovered and non-surviving COVID-19 
patients, with most of the trends were similar to 
our study [25]. An analysis conducted on 154 ICU 
admissions of COVID-19 showed APACHE-II score of 15 and SOFA score of 2.62 with signifi-
cantly higher scores were predictive of mortality 
[26]. Another study of 45 patients including 20 
intubated patients had APACHE-II score of 14 
and SOFA score of 4 showing a higher risk for 
tubulation. Additionally, SOFA score correlated 
with lymphocytopenia and elevated LDH [27]. 
Similarly, APACHE-II >15 and SOFA >4 were 
predictive of ICU mortality from a study con-
ducted in Wuhan, China [28]. Out of 59 studied 
COVID-19 infected patients, the mortality was 
observed high (in 41 of them) [28]. 
The limitations of this study are single-center, 
retrospective design, and a limited number of 
patients. The patients included from the second 
wave were admitted early during the outbreak, 
and might have been less severe in the disease 
course as compared to the patients selected from 
the peak time of first wave.

5. Conclusion
Most of the inflammatory markers were less severe 
during the early analysis of second wave, while 
only neutrophils and lymphocytes were observed 

to reach higher peak levels during this time. 
Severity of the disease was also more predictive 
from the latter during the second wave, which 
might be due to the dampening of inflammatory 
response from early use of immunosuppressants, 
antibiotics/antivirals and anticoagulants as guided 
by the recent research which was not available 
during the first wave. The second wave is still on 
peak in Pakistan with daily rising number of cases 
and mortality. We hope that the emerging wave 
proves less fatal as observed through the severity 
markers in our results, and the crude fatality rate 
might fall as compared to the first wave.

Data availability statement
Data will be made available on reasonable request from the 


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