Strong Backdoors to Bounded Treewidth SAT *

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Abstract

There are various approaches to exploiting “hidden structure” in instances of hard combinatorial problems to allow faster algorithms than for general unstructured or random instances. For SAT and its counting version #SAT, hidden structure has been exploited in terms of decomposability and strong backdoor sets. Decomposability can be considered in terms of the treewidth of a graph that is associated with the given CNF formula, for instance by considering clauses and variables as vertices of the graph, and making a variable adjacent with all the clauses it appears in. On the other hand, a strong backdoor set of a CNF formula is a set of variables such that each possible partial assignment to this set moves the formula into a fixed class for which (#)SAT can be solved in polynomial time.

In this paper we combine the two above approaches. In particular, we study the algorithmic question of finding a small strong backdoor set into the class \(W_{\leq t}\) of CNF formulas whose associated graphs have treewidth at most \(t\). The main results are positive:

1. There is a cubic-time algorithm that, given a CNF formula \(F\) and two constants \(k, t \geq 0\), either finds a strong \(W_{\leq t}\)-backdoor set of size at most \(2^k\), or concludes that \(F\) has no strong \(W_{\leq t}\)-backdoor set of size at most \(k\).

2. There is a cubic-time algorithm that, given a CNF formula \(F\), computes the number of satisfying assignments of \(F\) or concludes that \(sb_t(F) > k\), for any pair of constants \(k, t \geq 0\).

Here, \(sb_t(F)\) denotes the size of a smallest strong \(W_{\leq t}\)-backdoor set of \(F\).

We establish both results by distinguishing between two cases, depending on whether the treewidth of the given formula is small or large. For both results the case of small treewidth can be dealt with relatively standard methods. The case of large treewidth is challenging and requires new and sophisticated combinatorial arguments. The main tool is an auxiliary graph whose vertices represent subgraphs in \(F\)’s associated graph. It captures various ways to assemble large-treewidth subgraphs in \(F\)’s associated graph. This is used to show that every backdoor set of size \(k\) intersects a certain set of variables whose size is bounded by a function of \(k\) and \(t\). For any other set of \(k\) variables, one can use the auxiliary graph to find an assignment \(\tau\) to these variables such that the graph associated with \(F[\tau]\) has treewidth at least \(t + 1\).

The significance of our results lies in the fact that they allow us to exploit algorithmically a hidden structure in formulas that is not accessible by any one of the two approaches (decomposability, backdoors) alone. Already a backdoor size 1 on top of treewidth 1 (i.e., \(sb_1(F) = 1\)) entails formulas of arbitrarily large treewidth and arbitrarily large cycle cutsets (variables that need to be deleted to make the instance acyclic).

Keywords: algorithms, #SAT, parameterized complexity, graph minors

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1 Introduction

Background. Satisfiability (SAT) is probably one of the most important NP-complete problems [8, 22]. Despite the theoretical intractability of SAT, heuristic algorithms work surprisingly fast on real-world SAT instances. A common explanation for this discrepancy between theoretical hardness and practical feasibility is the presence of a certain “hidden structure” in industrial SAT instances [18]. There are various approaches to capturing the vague notion of a “hidden structure” with a mathematical concept.

One widely studied approach is to consider the hidden structure in terms of decomposability. The basic idea is to decompose a SAT instance into small parts that can be solved individually, and to put solutions for the parts together to a global solution. The overall complexity depends only on the maximum overlap of the parts, the width of the decomposition. Treewidth and branchwidth are two decomposition width measures (related by a constant factor) that have been applied to satisfiability. The width measures are either applied in terms of the primal graph of the formula (variables are vertices, two variables are adjacent if they appear together in a clause) or in terms of the incidence graph (a bipartite graph on the variables and clauses, a clause is incident to all the variables it contains). If the treewidth or branchwidth of any of the two graphs is bounded, then SAT can be decided in polynomial time; in fact, one can even count the number of satisfying assignments in polynomial time. This result has been obtained in various contexts, e.g., resolution complexity [2] and Bayesian Inference [5] (branchwidth of primal graphs), and Model Checking for Monadic Second-Order Logic [13] (treewidth of incidence graphs).

A complementary approach is to consider the hidden structure of a SAT instance in terms of a small set of key variables, called backdoor set, that when instantiated moves the instance into a polynomial class. More precisely, a strong backdoor set of a CNF formula $F$ into a polynomially solvable class $C$ (or strong $C$-backdoor set, for short) is a set $B$ of variables such that for all partial assignments $\tau$ to $B$, the reduced formula $F[\tau]$ belongs to $C$ (weak backdoor sets apply only to satisfiable formulas and will not be considered in this paper). Backdoor sets where introduced by Williams et al. [39] to explain favorable running times and the heavy-tailed behavior of SAT and CSP solvers on practical instances. In fact, real-world instances tend to have small backdoor sets (see [23] and references). Of special interest are base classes for which we can find a small backdoor set efficiently, if one exists. This is the case, for instance, for the base classes based on the tractable cases in Schaefer’s dichotomy theorem [35]. In fact, for any constant $b$ one can decide in linear time whether a given CNF formula admits a backdoor set of size $b$ into any Schaefer class [15].

Contribution. In this paper we combine the two above approaches. In particular, we study the algorithmic question of finding a small strong backdoor set into a class of formulas of bounded treewidth. Let $W_{\leq t}$ denote the class of CNF formulas whose incidence graph has treewidth at most $t$. Since SAT and $\#$SAT can be solved in linear time for formulas in $W_{\leq t}$ [13, 34], we can also solve these problems efficiently for a formula $F$ if we know a strong $W_{\leq t}$-backdoor set of $F$ of small size $k$. We simply take the sum of the satisfying assignments over all $2^k$ reduced formulas that we obtain by applying partial truth assignments to a backdoor set of size $k$.

However, finding a small strong backdoor set into a class $W_{\leq t}$ is a challenging problem. What makes the problem difficult is that applying partial assignments to variables is a much more powerful operation than just deleting the variables from the formula, as setting a variable to true may remove a large set of clauses, setting it to false removes a different set of clauses, and for a strong backdoor set $B$ we must ensure that for all the $2^{|B|}$ possible assignments the resulting formula is in $W_{\leq t}$. The brute force algorithm tries out all possible sets $B$ of at most $k$ variables, and checks for each set whether all the $2^{|B|}$ reduced formulas belong to $W_{\leq t}$. The number of membership checks is
of order $2^k n^k$ for an input formula with $n$ variables. This number is polynomial for constant $k$, but the order of the polynomial depends on the backdoor size $k$. Is it possible to get $k$ out of the exponent and to have the same polynomial for every fixed $k$ and $t$? Our main result provides an affirmative answer to this question. We show the following.

**Theorem 1.** There is a cubic-time algorithm that, given a CNF formula $F$ and two constants $k, t \geq 0$, either finds a strong $W_{\leq t}$-backdoor set of size at most $2^k$, or concludes that $F$ has no strong $W_{\leq t}$-backdoor set of size at most $k$.

Our algorithm distinguishes for a given CNF formula between two cases: (A) the formula has small treewidth, or (B) the formula has large treewidth. In Case A we use model checking for monadic second order logic [3] to find a smallest backdoor set. Roberson and Seymour’s theory of graph minors [29] guarantees a finite set of forbidden minors for every minor-closed class of graphs. Although their proof is non-constructive, for the special case of bounded treewidth graphs the forbidden minors can be computed in constant time [1, 21]. These forbidden minors are used in our monadic second order sentence to describe a strong backdoor set to the base class $W_{\leq t}$. A model checking algorithm [3] then computes a strong $W_{\leq t}$-backdoor set of size $k$ if one exists.

In Case B we use a theorem by Robertson and Seymour [31], guaranteeing a large wall as a topological minor, to find many vertex-disjoint obstructions in the incidence graph, so-called wall-obstructions. A backdoor set needs to “kill” all these obstructions, where an obstruction is killed either internally because it contains a backdoor variable, or externally because it contains two clauses containing the same backdoor variable with opposite signs. Our main combinatorial tool is the obstruction-template, a bipartite graph with external killers on one side and vertices representing vertex-disjoint connected subgraphs of a wall-obstruction on the other side of the bipartition. It is used to guarantee that for sets of $k$ variables excluding a bounded set of variables, every assignment to these $k$ variables produces a formula whose incidence graph has treewidth at least $t + 1$.

Combining both cases leads to an algorithm producing a strong $W_{\leq t}$-backdoor set of a given formula $F$ of size at most $2^k$ if $F$ has a strong $W_{\leq t}$-backdoor set of size $k$.

For our main applications of Theorem 1, the problems SAT and #SAT, we can solve Case A actually without recurring to the list of forbidden minors of bounded treewidth graphs and to model checking for monadic second order logic. Namely, when the treewidth of the incidence graph is small, we can directly apply one of the known linear-time algorithms to count the number of satisfying truth assignments [13, 34], thus avoiding the issue of finding a backdoor set.

We arrive at the following statement where $sb_t(F)$ denotes the size of a smallest strong $W_{\leq t}$-backdoor set of a formula $F$.

**Theorem 2.** There is a cubic-time algorithm that, given a CNF formula $F$, computes the number of satisfying assignments of $F$ or concludes that $sb_t(F) > k$, for any pair of constants $k, t \geq 0$.

This is a robust algorithm in the sense of [36] since for every instance, it either solves the problem (SAT, #SAT) or concludes that the instance is not in the class of instances that needs to be solved (the CNF formulas $F$ with $sb_t(F) \leq k$). In general, a robust algorithm solves the problem on a superclass of those instances that need to be solved, and it does not necessarily check whether the given instance is in this class.

Theorem 2 applies to formulas of arbitrarily large treewidth. We would like to illustrate this with the following example. Take a CNF formula $F_n$ whose incidence graph is obtained from an $n \times n$ square grid containing all the variables of $F_n$ by subdividing each edge by a clause of $F_n$. It is well-known that the $n \times n$ grid, $n \geq 2$, has treewidth $n$ and that a subdivision of an edge does not
decrease the treewidth. Hence \( F_n \notin \mathcal{W}_{\leq n-1} \). Now take a new variable \( x \) and add it positively to all horizontal clauses and negatively to all vertical clauses. Here, a \emph{horizontal} (respectively, a \emph{vertical}) clause is one that subdivides a horizontal (respectively, a vertical) edge in the natural layout of the grid. Let \( F_n^x \) denote the new formula. Since the incidence graph of \( F_n \) is a subgraph of the incidence graph of \( F_n^x \), we have \( F_n^x \notin \mathcal{W}_{\leq n-1} \). However, setting \( x \) to true removes all horizontal clauses and thus yields a formula whose incidence graph is acyclic, hence \( F_n^x[x = true] \in \mathcal{W}_{\leq 1} \). Similarly, setting \( x \) to false yields a formula \( F_n^x[x = false] \in \mathcal{W}_{\leq 1} \). Hence \( \{x\} \) forms a strong \( \mathcal{W}_{\leq 1} \)-backdoor set of \( F_n^x \). Conversely, it is easy to construct, for every \( t \geq 0 \), formulas that belong to \( \mathcal{W}_{\leq t+1} \) but require arbitrarily large strong \( \mathcal{W}_{\leq 1} \)-backdoor sets.

One can also define a \emph{deletion} \( \mathcal{C} \)-backdoor set \( B \) of a CNF formula \( F \) by requiring that deleting all literals \( x, \neg x \) with \( x \in B \) from \( F \) produces a formula that belongs to the base class \([27]\). For many base classes it holds that every deletion backdoor set is a strong backdoor set, but in most cases, including the base class \( \mathcal{W}_{\leq t} \), the reverse is not true. In fact, it is easy to see that if a CNF formula \( F \) has a deletion \( \mathcal{W}_{\leq t} \)-backdoor set of size \( k \), then \( F \in \mathcal{W}_{t+k} \). In other words, the parameter “size of a smallest deletion \( \mathcal{W}_{\leq t} \)-backdoor set” is dominated by the parameter “treewidth of the incidence graph” and therefore of limited theoretical interest, except for reducing the space requirements of dynamic programming procedures \([6]\) and analyzing the effectiveness of polynomial time preprocessing \([10]\).

A common approach to solve \#SAT is to find a small \emph{cycle cutset} (or feedback vertex set) of variables of the given CNF formula, and by summing up the number of satisfying assignments of all the acyclic instances one gets by setting the cutset variables in all possible ways \([11]\). We would like to note that such a cycle cutset is nothing but a deletion \( \mathcal{W}_{\leq 1} \)-backdoor set. By considering strong \( \mathcal{W}_{\leq 1} \)-backdoor sets instead, one can get super-exponentially smaller sets of variables, and hence a more powerful method. A strong \( \mathcal{W}_{\leq 1} \)-backdoor set can be considered as an \emph{implied cycle cutset} as it cuts cycles by removing clauses that are satisfied by certain truth assignments to the backdoor variables. By increasing the treewidth bound from 1 to some fixed \( t > 1 \) one can further dramatically decrease the size of a smallest backdoor set.

Our results can also be phrased in terms of Parameterized Complexity \([14]\). Theorem \([2]\) states that \#SAT is uniformly fixed-parameter tractable (FPT) for parameter \((t, sb_t)\). Theorem \([11]\) states that there is a uniform FPT-approximation algorithm for the detection of strong \( \mathcal{W}_{\leq t} \)-backdoor sets of size \( k \), for parameter \((t, k)\), as it is a fixed-parameter algorithm that computes a solution that approximates the optimum with an error bounded by a function of the parameter \([25]\).

**Related work.** Williams \emph{et al.} \([39]\) introduced the notion of backdoor sets and the parameterized complexity of finding small backdoor sets was initiated by Nishimura \emph{et al.} \([26]\). They showed that with respect to the classes of Horn formulas and of 2CNF formulas, the detection of strong backdoor sets is fixed-parameter tractable. Their algorithms exploit the fact that for these two base classes strong and deletion backdoor sets coincide. For other base classes, deleting literals is a less powerful operation than applying partial truth assignments. This is the case, for instance, for RHORN, the class of renamable Horn formulas. In fact, finding a deletion RHORN-backdoor set is fixed-parameter tractable \([28]\), but it is open whether this is the case for the detection of strong RHORN-backdoor sets. For clustering formulas, detection of deletion backdoor sets is fixed-parameter tractable, detection of strong backdoor sets is most probably not \([27]\). Very recently, the authors of the present paper showed \([16, 17]\) that there are FPT-approximation algorithms for the detection of strong backdoor sets with respect to (i) the base class of formulas with acyclic incidence graphs, i.e., \( \mathcal{W}_{\leq 1} \), and (ii) the base class of nested formulas (a proper subclass of \( \mathcal{W}_{\leq 3} \) introduced by Knuth \([20]\)). The present paper generalizes this approach to base classes of bounded
treewidth which requires new ideas and significantly more involved combinatorial arguments.

We conclude this section by referring to a recent survey on the parameterized complexity of backdoor sets [15].

2 Preliminaries

Graphs. Let $G$ be a simple, undirected, finite graph with vertex set $V = V(G)$ and edge set $E = E(G)$. Let $S \subseteq V$ be a subset of its vertices and $v \in V$ be a vertex. We denote by $G - S$ the graph obtained from $G$ by removing all vertices in $S$ and all edges incident to vertices in $S$. We denote by $G[S]$ the graph $G - (V \setminus S)$. The (open) neighborhood of $v$ in $G$ is $N_G(v) = \{u \in V : uv \in E\}$, the (open) neighborhood of $S$ in $G$ is $N_G(S) = \bigcup_{u \in S} N_G(u) \setminus S$, and their closed neighborhoods are $N_G[v] = N_G(v) \cup \{v\}$ and $N_G[S] = N_G(S) \cup S$, respectively. Subscripts may be omitted if the graph is clear from the context.

A tree decomposition of $G$ is a pair $\langle \{X_i : i \in I\}, T \rangle$ where $X_i \subseteq V$, $i \in I$, and $T$ is a tree with elements of $I$ as nodes such that:

1. for each edge $uv \in E$, there is an $i \in I$ such that $\{u, v\} \subseteq X_i$, and
2. for each vertex $v \in V$, $T[\{i \in I : v \in X_i\}]$ is a (connected) tree with at least one node.

The width of a tree decomposition is $\max_{i \in I} |X_i| - 1$. The treewidth [30] of $G$ is the minimum width taken over all tree decompositions of $G$ and it is denoted by $\text{tw}(G)$.

For other standard graph-theoretic notions not defined here, we refer to [12].

CNF formulas and satisfiability. We consider propositional formulas in conjunctive normal form (CNF) where no clause contains a complementary pair of literals. For a clause $c$, we write $\text{lit}(c)$ and $\text{var}(c)$ for the sets of literals and variables occurring in $c$, respectively. For a CNF formula $F$ we write $\text{cla}(F)$ for its set of clauses, $\text{lit}(F) = \bigcup_{c \in \text{cla}(F)} \text{lit}(c)$ for its set of literals, and $\text{var}(F) = \bigcup_{c \in \text{cla}(F)} \text{var}(c)$ for its set of variables. The size of $F$ is $|F| = |\text{var}(F)| + \sum_{c \in \text{cla}(F)} (1 + |\text{lit}(c)|)$.

For a set $X \subseteq \text{var}(F)$ we denote by $2^X$ the set of all mappings $\tau : X \rightarrow \{0, 1\}$, the truth assignments on $X$. A truth assignment $\tau \in 2^X$ can be extended to the literals over $X$ by setting $\tau(\neg x) = 1 - \tau(x)$ for all $x \in X$. The formula $F[\tau]$ is obtained from $F$ by removing all clauses $c$ such that $\tau$ sets a literal of $c$ to 1, and removing the literals set to 0 from all remaining clauses.

A CNF formula $F$ is satisfiable if there is some $\tau \in 2^{\text{var}(F)}$ with $\text{cla}(F[\tau]) = \emptyset$. SAT is the NP-complete problem of deciding whether a given CNF formula is satisfiable [31 [22]. $\#\text{SAT}$ is the $\#\text{P}$-complete problem of determining the number of distinct $\tau \in 2^{\text{var}(F)}$ with $\text{cla}(F[\tau]) = \emptyset$ [38].

Formulas with bounded incidence treewidth. The incidence graph of a CNF formula $F$ is the bipartite graph $\text{inc}(F) = (V, E)$ with $V = \text{var}(F) \cup \text{cla}(F)$ and for a variable $x \in \text{var}(F)$ and a clause $c \in \text{cla}(F)$ we have $xc \in E$ if $x \in \text{var}(c)$. The sign of the edge $xc$ is positive if $x \in \text{lit}(c)$ and negative if $\neg x \in \text{lit}(c)$. Note that $|V| + |E| = |F|$.

The class $W_{\leq t}$ contains all CNF formulas $F$ with $\text{tw}(\text{inc}(F)) \leq t$. For any fixed $t \geq 0$ and any CNF formula $F \in W_{\leq t}$, a tree decomposition of $\text{inc}(F)$ of width at most $t$ can be found by Bodlaender’s algorithm [7] in time $O(|F|)$. Given a tree decomposition of width at most $t$ of $\text{inc}(F)$, the number of satisfying assignments of $F$ can be determined in time $O(|F|^t)$ [13 [34].

Finally, note that, if $\tau \in 2^X$ is a partial truth assignment for a CNF formula $F$, then $\text{inc}(F[\tau])$ is an induced subgraph of $\text{inc}(F)$, namely $\text{inc}(F[\tau])$ is obtained from $\text{inc}(F) - X$ by removing each vertex corresponding to a clause that contains a literal $\ell$ with $\tau(\ell) = 1$. 

4
Backdoors. Backdoor sets are defined with respect to a fixed class $\mathcal{C}$ of CNF formulas, the base class. Let $F$ be a CNF formula and $B \subseteq \text{var}(F)$. $B$ is a strong $\mathcal{C}$-backdoor set of $F$ if $F[\tau] \in \mathcal{C}$ for each $\tau \in 2^B$. $B$ is a deletion $\mathcal{C}$-backdoor set of $F$ if $F - B \in \mathcal{C}$, where $F - B$ is obtained from $F$ by removing all literals in $\{x, \neg x : x \in B\}$ from its clauses.

If we are given a strong $\mathcal{C}$-backdoor set of $F$ of size $k$, we can reduce the satisfiability of $F$ to the satisfiability of $2^k$ formulas in $\mathcal{C}$. If $\mathcal{C}$ is clause-induced (i.e., $F \in \mathcal{C}$ implies $F' \in \mathcal{C}$ for every CNF formula $F'$ with $\text{cl}(F') \subseteq \text{cl}(F)$), any deletion $\mathcal{C}$-backdoor set of $F$ is a strong $\mathcal{C}$-backdoor set of $F$.

The challenging problem is to find a strong or deletion $\mathcal{C}$-backdoor set of size at most $k$ if it exists. Denote by $\text{sh}_k(F)$ the size of a smallest strong $\mathcal{W}_{\leq r}$-backdoor set.

Graph minors. The operation of merging a subgraph $H$ or a vertex subset $V(H)$ of a graph $G$ into a vertex $v$ produces the graph $G'$ such that $G' - \{v\} = G - V(H)$ and $N_{G'}(v) = N_G(H)$. The contraction operation merges a connected subgraph. The dissolution operation contracts an edge incident to a vertex of degree 2.

A graph $H$ is a minor of a graph $G$ if $H$ can be obtained from a subgraph of $G$ by contractions. If $H$ is a minor of $G$, then one can find a model of $H$ in $G$. A model of $H$ in $G$ is a set of vertex-disjoint connected subgraphs of $G$, one subgraph $C_u$ for each vertex $u$ of $H$, such that if $uv$ is an edge in $H$, then there is an edge in $G$ with one endpoint in $C_u$ and the other in $C_v$.

A graph $H$ is a topological minor of a graph $G$ if $H$ can be obtained from a subgraph of $G$ by dissolutions. If $H$ is a topological minor of $G$, then $G$ has a topological model of $H$. A topological model of $H$ in $G$ is a subgraph of $G$ that can be obtained from $H$ by replacing its edges by independent paths. A set of paths is independent if none of them contains an interior vertex of another. We also say that $G$ contains a subdivision of $H$ as a subgraph.

Obstructions to small treewidth. It is well-known that $\text{tw}(G) \geq \text{tw}(H)$ if $H$ is a minor of $G$. We will use the following three (classes of) graphs to lower bound the treewidth of a graph containing any of them as a minor. See Figure 1. The complete bipartite graph $K_{r,r}$ has treewidth $r - 1$. The complete graph $K_r$ has treewidth $r$. The $r$-wall is the graph $W_r = (V,E)$ with vertex set $V = \{(i,j) : 1 \leq i \leq r, 1 \leq j \leq r\}$ in which two vertices $(i,j)$ and $(i',j')$ are adjacent iff either $j' = j$ and $i' \in \{i - 1, i + 1\}$, or $i' = i$ and $j' = j + (-1)^{i+j}$. We say that a vertex $(i,j) \in V$ has horizontal index $i$ and vertical index $j$. The $r$-wall has treewidth at least $\lceil \frac{r}{2} \rceil$ (it is a minor of the $[\frac{r}{2}] \times [\frac{r}{2}]$-grid, which has treewidth $\lceil \frac{r}{2} \rceil$ if $\lceil \frac{r}{2} \rceil \geq 2$ [32]).

We will also need to find a large wall as a topological minor if the formula has large incidence treewidth. Its existence is guaranteed by a theorem of Robertson and Seymour.

Theorem 3 [31]. For every positive integer $r$, there exists a constant $f(r)$ such that if a graph $G$ has treewidth at least $f(r)$, then $G$ contains an $r$-wall as a topological minor.

By [33], $f(r) \leq 20^{6d^2 r^2}$. For any fixed $r$, we can use the cubic algorithm by Grohe et al. [19] to find a topological model of an $r$-wall in a graph $G$ if $G$ contains an $r$-wall as a topological minor.

3 The algorithms

We start with the overall outline of our algorithms. We rely on the following two lemmas whose proofs we defer to the next two subsections.
Figure 1: Some graphs with treewidth 4.

**Lemma 1.** There is a quadratic-time algorithm that, given a CNF formula $F$, two constants $t \geq 0$, $k \geq 1$, and a topological model of a wall$(k,t)$-wall in inc$(F)$, computes a set $S^* \subseteq \text{var}(F)$ of constant size such that every strong $W \leq t$-backdoor set of size at most $k$ contains a variable from $S^*$.

**Lemma 2.** There is a linear-time algorithm that, given a CNF formula $F$, a constant $t \geq 0$, and a tree decomposition of inc$(F)$ of constant width, computes a smallest strong $W \leq t$-backdoor set of $F$.

Lemma 2 will be invoked with a tree decomposition of inc$(F)$ of width at most $\text{tw}(k, t)$. The functions wall$(k, t)$ and $\text{tw}(k, t)$ are related by the bound from [33], implying that every graph either has treewidth at most $\text{tw}(k, t)$, or it has a wall$(k, t)$-wall as a topological minor. Here,

$$\text{tw}(k, t) := 20^{64 \cdot \text{wall}(k, t)^5},$$

$$\text{wall}(k, t) := (2t + 2) \cdot (1 + \sqrt{\text{obs}(k, t)}),$$

$$\text{obs}(k, t) := 2^k \cdot \text{same}(k, t) + k,$$

$$\text{same}(k, t) := 3(\text{nb}(t))^2 t 2^k,$$ and

$$\text{nb}(t) := [16(t + 2) \log(t + 2)].$$

The other functions of $k$ and $t$ will be used in Subsection 3.1.

Theorem 1 can now be proved as follows.

**Proof of Theorem 1.** Let $t, k \geq 0$ be constants, let $F$ be the given CNF formula, with $|F| = n$ and let $G := \text{inc}(F)$. Using Bodlaender’s algorithm [7] we can decide in linear time whether $\text{tw}(G) \leq \text{tw}(k, t)$, and if so, compute a tree decomposition of smallest width in linear time. If indeed $\text{tw}(G) \leq \text{tw}(k, t)$, we use Lemma 2 to find a smallest strong $W_{\leq t}$-backdoor set $B$ of $F$. If $|B| \leq k$ we output $B$, otherwise we output NO.

If $\text{tw}(G) > \text{tw}(k, t)$ then we proceed as follows. If $k = 0$, we output NO. Otherwise, by [33], $G$ has a wall$(k, t)$-wall as a topological minor, and by means of Grohe et al.’s algorithm [19], we can compute a topological model of a wall$(k, t)$-wall in $G$ in time $O(n^3)$. By Lemma 1 we can find in time $O(n^2)$ a set $S^* \subseteq \text{var}(F)$ of constant size such that every strong $W_{\leq t}$-backdoor set of $F$ of size at most $k$ contains a variable from $S^*$. For each $x \in S^*$, the algorithm recurses on both formulas $F[x = 0]$ and $F[x = 1]$ with parameter $k - 1$. If both recursive calls return strong $W_{\leq t}$-backdoor sets $B_{\neg x}$ and $B_x$, then $\{x\} \cup B_x \cup B_{\neg x}$ is a strong $W_{\leq t}$-backdoor set of $F$. We can upper bound its
size $s(k)$ by the recurrence $s(k) \leq 1 + 2 \cdot s(k - 1)$, with $s(0) = 0$ and $s(1) = 1$. The recurrence is satisfied by setting $s(k) = 2^k - 1$. In case a recursive call returns NO, no strong $W_{\leq t}$-backdoor set of $F$ of size at most $k$ contains $x$. Thus, if for some $x \in S^*$, both recursive calls return backdoor sets, we obtain a backdoor set of $F$ of size at most $2^k - 1$, and if for every $x \in S^*$, some recursive call returns NO, $F$ has no strong $W_{\leq t}$-backdoor set of size at most $k$.

The number of nodes of the search tree modeling the recursive calls of this algorithm is a function of $k$ and $t$ only (and therefore constant), and in each node, the time spent by the algorithms is $O(n^3)$. The overall running time is thus dominated by the cubic running time of Grohe et al.’s algorithm, hence we arrive at a total running time of $O(n^3)$.

\[ \square \]

Theorem 2 follows easily from Theorem 1 by computing first a backdoor set and evaluating the number of satisfying assignments for all reduced formulas. We present an alternative proof that does not rely on Lemma 2. Instead of computing a backdoor set, one can immediately compute the number of satisfying assignments of $F$ by dynamic programming if $\text{tw}(\text{inc}(F)) \leq \text{tw}(k, t)$.

**Proof of Theorem 2.** Let $k, t \geq 0$ be two integers and assume we are given a CNF formula $F$ with $|F| = n$ and $\text{sbv}(F) \leq k$. We will compute the number of satisfying truth assignments of $F$, denoted $\#(F)$. As before we use Bodlaender’s linear-time algorithm \[7\] to decide whether $\text{tw}(G) \leq \text{tw}(k, t)$, and if so, to compute a tree decomposition of smallest width. If $\text{tw}(G) \leq \text{tw}(k, t)$ then we use the tree decomposition and, for instance, the algorithm of \[34\] to compute $\#(F)$ in time $O(n)$.

If $\text{tw}(G) > \text{tw}(k, t)$ then we compute, as in the proof of Theorem 1 a strong $W_{\leq t}$-backdoor set $B$ of $F$ of size at most $2^k$ in time $O(n^3)$. For each $\tau \in 2^B$ the formula $F[\tau]$ belongs to $W_{\leq t}$. Hence we can compute $\#(F[\tau])$ in time $O(n)$ by first computing a tree decomposition of width at most $t$, and then applying the counting algorithm of \[34\]. We obtain $\#(F)$ by taking $\sum_{\tau \in 2^B} 2^{d(F, \tau)} \#(F[\tau])$ where $d(F, \tau) = |\text{var}(F) \setminus (B \cup \text{var}(F[\tau]))|$ denotes the number of variables that disappear from $F[\tau]$ without being instantiated.

\[ \square \]

### 3.1 The incidence graph has a large wall as a topological minor

This subsection is devoted to the proof of Lemma 1 and contains the main combinatorial arguments of this paper. Let $G = (V, E) = \text{inc}(F)$ and suppose we are given a topological model of a wall($k, t$)-wall in $G$. We start with the description of the algorithm.

A **wall-obstruction** is a subgraph of $G$ that is a subdivision of a $(2t + 2)$-wall. Since a wall-obstruction, and any graph having a wall-obstruction as a topological minor, has treewidth at least $t + 1$, we have that for each assignment to the variables of a strong $W_{\leq t}$-backdoor set, at least one vertex from each wall-obstruction vanishes in the incidence graph of the reduced formula. Using the wall($k, t$)-wall, we now find a set $O$ of $\text{obs}(k, t)$ vertex-disjoint wall-obstructions in $G$.

**Lemma 3.** Given a topological model of a wall($k, t$)-wall in $G$, a set of $\text{obs}(k, t)$ vertex-disjoint wall-obstructions can be found in linear time.

*Proof.* For any two integers $i$ and $j$ with $1 \leq i, j \leq \text{wall}(k, t)/(2t + 2)$, the subgraph of a wall($k, t$)-wall induced on all vertices $(x, y)$ with $(i - 1) \cdot (2t + 2) + 1 \leq x \leq i \cdot (2t + 2)$ and $(j - 1) \cdot (2t + 2) + 1 \leq y \leq j \cdot (2t + 2)$ is a $(2t + 2)$-wall. A corresponding wall-obstruction can be found in $G$ by replacing edges by the independent paths they model in the given topological model. The number of wall-obstructions defined this way is $\left\lceil \frac{\text{wall}(k, t)}{2t + 2} \right\rceil^2 \geq \left( \frac{\text{wall}(k, t)}{2t + 2} - 1 \right)^2 \geq \text{obs}(k, t)$.

\[ \square \]

Denote by $O$ a set of $\text{obs}(k, t)$ vertex-disjoint wall-obstructions obtained via Lemma 3. A backdoor variable can destroy a wall-obstruction either because it participates in the wall-obstruction, or because every setting of the variable satisfies a clause that participates in the wall-obstruction.
Definition 1. Let \( x \) be a variable and \( W \) a wall-obstruction in \( G \). We say that \( x \) kills \( W \) if neither \( \text{inc}(F[x = 1]) \) nor \( \text{inc}(F[x = 0]) \) contains \( W \) as a subgraph. We say that \( x \) kills \( W \) internally if \( x \in V(W) \), and that \( x \) kills \( W \) externally if \( x \) kills \( W \) but does not kill it internally. In the latter case, \( W \) contains a clause \( x \) containing \( x \) and a clause \( c' \) containing \( \neg x \) and we say that \( x \) kills \( W \) (externally) in \( c \) and \( c' \).

Our algorithm will perform a series of 3 nondeterministic steps to guess some properties about the strong \( W_{k,t} \)-backdoor set it searches. Each such guess is made out of a number of choices that is upper bounded by a function of \( k \) and \( t \). At any stage of the algorithm, a valid strong \( W_{k,t} \)-backdoor set is one that satisfies all the properties that have been guessed. For a fixed series of guesses, the algorithm will compute a set \( S \subseteq \text{var}(F) \) such that every valid strong \( W_{k,t} \)-backdoor set of size at most \( k \) contains a variable from \( S \). To make the algorithm deterministic, execute each possible combination of nondeterministic steps. The union of all \( S \), taken over all combinations of nondeterministic steps, forms a set \( S^* \) and each strong \( W_{k,t} \)-backdoor set of size at most \( k \) contains a variable from \( S^* \). Bounding the size of each \( S \) by a function of \( k \) and \( t \) enables us to bound \(|S^*|\) by a function of \( k \) and \( t \), and this will prove the lemma.

For any strong \( W_{k,t} \)-backdoor set of size at most \( k \), at most \( k \) wall-obstructions from \( O \) are killed internally since they are vertex-disjoint. The algorithm guesses \( k \) wall-obstructions from \( O \) that may be killed internally. Let \( \mathcal{O}' \) denote the set of the remaining wall-obstructions, which need to be killed externally by any valid strong \( W_{k,t} \)-backdoor set.

Suppose \( F \) has a valid strong \( W_{k,t} \)-backdoor set \( B \) of size \( k \). Then, \( B \) defines a partition of \( \mathcal{O}' \) into \( 2^k \) parts where for each part, the wall-obstructions contained in this part are killed externally by the same set of variables from \( B \). Since \(|\mathcal{O}'| = \text{obs}(k,t) - k = 2^k \cdot \text{same}(k,t)\), at least one of these parts contains at least \( \text{same}(k,t) \) wall-obstructions from \( \mathcal{O}' \). The algorithm guesses a subset \( \mathcal{O}_s \subseteq \mathcal{O}' \) of \( \text{same}(k,t) \) wall-obstruction from this part and it guesses how many variables from the strong \( W_{k,t} \)-backdoor set kill the wall-obstructions in this part externally.

Suppose each wall-obstruction in \( \mathcal{O}_s \) is killed externally by the same set of \( \ell \) backdoor variables, and no other backdoor variable kills any wall-obstruction from \( \mathcal{O}_s \). Clearly, \( 1 \leq \ell \leq k \). Compute the set of external killers for each wall-obstruction in \( \mathcal{O}_s \). Denote by \( Z \) the common external killers of the wall-obstruction in \( \mathcal{O}_s \). The presumed backdoor set contains exactly \( \ell \) variables from \( Z \) and no other variable from the backdoor set kills any wall-obstruction from \( \mathcal{O}_s \).

We will define three rules for the construction of \( S \), and the algorithm will execute the first applicable rule.

Rule 1 (Few Common Killers). If \(|Z| \leq 6\text{knb}(t)\), then set \( S := Z \).

Before being able to state the other two rules, we come to the central combinatorial object in this paper. For each wall-obstruction \( W \in \mathcal{O}_s \), we compute a valid obstruction-template. An obstruction-template \( OT(W) \) of a wall-obstruction \( W \in \mathcal{O}_s \) is a triple \((B(W), P, R)\), where

- \( B(W) \) is a bipartite graph whose vertex set is bipartitioned into the two independent sets \( Z \) and \( Q_W \), where \( Q_W \) is a set of new vertices,
- \( P \) is a partition of \( V(W) \) into regions such that for each region \( A \in P \), we have that \( W[A] \) is connected, and
- \( R : Q_W \rightarrow P \) is a function associating a region of \( P \) with each vertex in \( Q_W \).

An obstruction-template \( OT(W) = (B(W), P, R) \) of a wall-obstruction \( W \in \mathcal{O}_s \) is valid if it satisfies the following properties:
(1) **only existing edges:** for each \( q \in Q_W \), \( N_{B(W)}(q) \subseteq N_G(R(q)) \),

(2) **private neighbor:** for each \( q \in Q_W \), there is a \( z \in N_{B(W)}(q) \), called \( q \)'s **private neighbor**, such that there is no other \( q' \in N_{B(W)}(z) \) with \( R(q') = R(q) \),

(3) **degree-Z:** for each \( z \in Z \), \( d_{B(W)}(z) \geq 1 \),

(4) **degree-Q_W:** for each \( q \in Q_W \), \( \text{nb}(t) \leq d_{B(W)}(q) \leq 3\text{nb}(t) \), and

(5) **vulnerable vertex:** for each \( q \in Q_W \), there is at most one vertex \( v \in R(q) \), called \( q \)'s **vulnerable vertex**, such that \( N_G(v) \cap Z \not\subseteq N_{B(W)}(q) \).

We will use the obstruction-templates to identify a set of vertices that has a non-empty intersection with every valid strong \( W_{\leq t} \)-backdoor set of size \( k \). Intuitively, an obstruction-template chops up the vertex set of a wall-obstruction into regions. We will suppose the existence of a valid backdoor set \( B \) of size \( k \) avoiding a certain bounded set of variables and derive a contradiction using the obstruction-templates. This is done by showing that for at least one \( \tau \in 2^B \), many regions remain in \( F[\tau] \), so that we can contract each of them and construct a treewidth obstruction using the contracted vertices. Each vertex from \( Q_W \) models a contraction of a region, and its neighborhood models a potential set of common external killers neighboring the contracted region. This explains Property (1). Property (2) becomes handy when a region has many vertices from \( Q_W \) that are associated with it. Namely, when we contract regions, we would like to be able to guarantee a lower bound on the number of edges of the resulting graph in terms of \( |Q_W| \). To ensure that this lower bound translates into a lower bound in terms of \( |Z| \), we need Property (3). The degree lower bound of the next property will be needed so we can patch together a treewidth obstruction out of the pieces modeled by the vertices in \( Q_W \). The upper bound on the degree is required to guarantee that sufficiently many vertices from \( Q_W \) are not neighboring \( B \). Finally, the last property will be used to guarantee that for every \( q \in Q_W \), if \( B \cap N_{B(W)}(q) = \emptyset \), then there is a truth assignment \( \tau \in 2^B \) such that no vertex from \( q \)'s region is removed from \( \text{inc}(F) \) by applying \( \tau \) (see Lemma 4).

In the following lemma, we give a procedure to compute valid obstruction-templates.

**Lemma 4.** For each wall-obstruction \( W \in O_s \), a valid obstruction-template can be computed in time \( O((|V(W)|^2 + |V(W)| \cdot |Z|)) \).

**Proof.** We describe a procedure to compute a valid obstruction-template \((B(W), P, R)\). It starts with \( Q_W \) initially empty. Compute an arbitrary rooted spanning tree \( T \) of \( W \). For a node \( v \) from \( T \), denote by \( T_v \) the subtree of \( T \) rooted at \( v \). The set of children in \( T \) of a node \( v \) is denoted \( C_T(v) \) and its parent \( p_T(v) \). For a subforest \( T' \subseteq T \), denote by \( Z(T') = Z \cap N_G(T') \) the subset of vertices from \( Z \) that have a neighbor from \( T' \) in \( G \). The weight \( w(T') \) of \( T' \) is \(|Z(T')| \). We denote by \( B_v = Z(T_v) \setminus Z(T_v - \{v\}) \) the vertices from \( Z \) that are closer to \( v \) in \( G \) but to no other node from \( T_v \). If \( uv \in E(T) \), then denote by \( T_u(\{uv\}) \) the subtree obtained from \( T \) by removing all nodes that are closer to \( v \) than to \( u \) in \( T \) (removing the edge \( uv \) decomposes \( T \) into \( T_u(\{uv\}) \) and \( T_v(\{uv\}) \)).

(A) If \( w(T) > 3\text{nb}(t) \), then select a new root \( r(T) \) of \( T \) such that for every child \( c \in C_T(r(T)) \) of \( r(T) \) we have that \( w(T \setminus V(T_c)) \geq \text{nb}(t) \).

(B) Select a node \( v \) in \( T \) as follows. If \( w(T) \leq 3\text{nb}(t) \), then set \( v := r(T) \). Otherwise, select the node \( v \) at maximum depth in \( T \) such that \( w(T_v) \geq \text{nb}(t) \). The vertices from \( T_v \) will constitute one region \( A_v \) of \( P \). Denoting \( s = 3\text{nb}(t) - w(T_v - \{v\}) \), we will now add a set of \( \left\lceil \frac{|B_v|}{s} \right\rceil \) vertices to \( Q_W \). All of them are associated with the region \( A_v \). Denote these new vertices
Now, we prove that this procedure computes a valid obstruction-template.

First we show that in case \( w(T) > 3nb(t) \), Step (A) is able to find a root \( r(T) \) such that there is no \( c \in C_T(r(T)) \) with \( w(T - V(T_c)) < nb(t) \). Suppose that \( T \) has no node \( u \) such that \( w(T_u(uv)) \geq nb(t) \) for every \( v \in \mathcal{N}_T(u) \). Then, there is an infinite sequence of nodes \( u_1, u_2, \ldots \) such that \( u_i \) neighbors \( u_{i+1} \) and \( w(T_{u_i}(u_iu_{i+1})) < nb(t) \). Let \( j \) be the smallest integer such that \( u_i = u_j \) for some integer \( i \) with \( 1 \leq i < j \). Since \( T \) is acyclic, we have that \( i + 2 = j \). But then, \( w(T) \leq w(T_{u_i}(u_iu_{i+1})) + w(T_{u_{i+1}}(u_iu_{i+1})) \leq 2nb(t) - 2 \), contradicting the assumption that \( w(T) > 3nb(t) \).

We observe that all edges of \( \mathcal{B}(W) \) have one endpoint in \( Z \) and the other in \( Q_W \). Thus, \( Z \uplus Q_W \) is a bipartition of \( \mathcal{B}(W) \) into independent sets. The set \( V(W) \) is partitioned into disjoint connected regions since each execution of Step (B) defines a new region equal to the vertices of a subtree of \( T \), which is initially a spanning tree of \( W \) and is removed from \( T \) at the end of Step (B).

Consider one execution of Step (B) of the procedure above. We will show that Properties (1)–(5) of a valid obstruction-template hold for the relevant vertices considered in this execution, and this will guarantee these properties for all vertices. Property (1) is ensured for all new vertices introduced in \( Q_W \), since \( B_u \cup Z(T_v - \{v\}) = Z(T_u) \subseteq N_G(A_v) \). The private neighbor of a vertex \( q_i \) is \( b_{i,s} \) if \( i < \lfloor |B_u|/s \rfloor \) and \( b_{i,B_u} \) if \( i = \lfloor |B_u|/s \rfloor \). Property (3) is ensured for all vertices in \( Z \cap N_G(T_v) \) since all of them receive at least one new neighbor in \( \mathcal{B}(W) \). For the lower bound of Property (4), we first show that at any time during the execution of this procedure, either \( T \) is empty or \( w(T) \geq nb(t) \). Initially, this is true since \( |Z| \geq nb(t) \) (by Rule 1) and every vertex from \( Z \) is an external killer of \( W \). This remains true since Step (A) makes sure that whenever the vertex \( v \) chosen by Step (B) is not the root of \( T \), \( w(T - V(T_v)) \geq nb(t) \). Thus, Step (B) always finds a node \( v \) such that \( w(T_v) \geq nb(t) \). Therefore, every vertex that is added to \( Q_W \) has at least \( nb(t) \) neighbors. For the upper bound of Property (4), observe that since \( W \) has maximum degree at most 3, \( T \) also has maximum degree at most 3. Thus, \( w(T_v - \{v\}) \leq 3nb(t) - 1 \) since each tree in \( T_v - \{v\} \) has weight at most \( nb(t) - 1 \) by the selection of \( v \). Therefore, \( d_{\mathcal{B}(W)}(q_i) \leq 3nb(t) \). Property (5) holds since \( Z(T_v - \{v\}) \subseteq N_{\mathcal{B}(W)}(q_i) \) and thus \( v \) is the only vertex that can be vulnerable for \( q_i \).

We upper bound the running time of the procedure as follows. A spanning tree of \( W \) can be computed in time \( O(|V(W)|) \). In a bottom-up fashion starting at the leaves of \( T \), one can precompute \( Z(T_u(wv)) \) for all \( wv \in E(T) \) in time \( O(|V(W)| \cdot |Z|) \). Then, Step (A) can be implemented such that each execution runs in time \( O(|V(W)|) \). One execution of Step (B) takes time \( O(|V(W)| + |Z|) \). Since Steps (A) and (B) are executed at most \( |V(W)| \) times, the running time is \( O(|V(W)| \cdot (|V(W)| + |Z|)) \).

The bipartite graph \( \mathcal{B}_m(\mathcal{O}_s) \) is obtained by taking the union of all \( \mathcal{B}(W) \), \( W \in \mathcal{O}_s \). Its subgraphs \( \mathcal{B}(W) \), \( W \in \mathcal{O}_s \), share the same vertex subset \( Z \) but the vertex subsets \( Q_W \), \( W \in \mathcal{O}_s \), are pairwise disjoint. The vertex set of \( \mathcal{B}_m(\mathcal{O}_s) \) is \( |Z| \uplus Q_m \), where \( Q_m = \bigcup_{W \in \mathcal{O}_s} Q_W \).

**Rule 2 (Multiple Neighborhoods).** If there is a subset \( L \subseteq Z \) such that \( L \) is the neighborhood of at least \( t \cdot 2^k + 1 \) vertices in \( \mathcal{B}_m(\mathcal{O}_s) \), then set \( S := L \).

Obtain a bipartite graph \( \mathcal{B}(\mathcal{O}_s) \) from \( \mathcal{B}_m(\mathcal{O}_s) \) by repeatedly and exhaustively deleting vertices from \( Q_m \) whose neighborhood equals the neighborhood of some other vertex from \( Q_m \). Denote the vertex set of the resulting graph \( \mathcal{B}(\mathcal{O}_s) \) by \( Z \uplus Q \).
Rule 3 (No Multiple Neighborhoods). Set $S$ to be the $6\text{knb}(t)$ vertices from $Z$ of highest degree in $B(O_\ast)$ (ties are broken arbitrarily).

This finishes the description of the algorithm. The correctness of Rule 1 is obvious since any valid strong $\mathcal{W}_{\ell}$-backdoor set contains $\ell$ variables from $Z$ and $\ell \geq 1$. To prove the correctness of Rules 2 and 3, we need the following lemma.

Lemma 5. Let $W \in O_\ast$ be a wall-obstruction, $\text{OT}(W)$ be a valid obstruction-template of $W$ and $q \in Q_W$. Let $B$ be a valid strong $\mathcal{W}_{\ell}$-backdoor set such that $B \subseteq \text{var}(F) \setminus N_B(W)(q)$. There is a truth assignment $\tau$ to $B$ such that $\text{inc}(F[\tau])$ contains all vertices from $R(q)$.

Proof. Since $B$ is valid, it contains no variable from $R(q) \subseteq V(W)$. Thus, $\text{inc}(F[\tau])$ contains all variables from $R(q)$. A truth assignment $\tau$ removes a clause $c \in R(q)$ from the incidence graph iff $c$ contains a literal $l$ such that $\tau(l) = 1$. We show that no variable from $B$ appears both positively and negatively in the clauses from $R(q)$, and therefore there is a truth assignment $\tau$ to $B$ such that $\text{inc}(F[\tau])$ contains all vertices from $R(q)$.

Assume, for the sake of contradiction, that there is a variable $b \in B$ such that $b \in \text{lit}(c)$ and $\neg b \in \text{lit}(c')$ and $c, c' \in R(q)$. We have that $b \in Z$ because $b$ is in a valid strong $\mathcal{W}_{\ell}$-backdoor set and $b$ is an external killer of $W$. Since $b \in (N_G(R(q)) \cap Z) \setminus N_B(W)(q)$, we conclude that $q$ has a vulnerable vertex $v$. But, since $v$ is the only vulnerable vertex of $q$, by Property (5), $c = c'$. We arrive at a contradiction, since no clause contains a variable and its negation.

Lemma 6. Rule 2 is sound.

Proof. Let $Q_L \subseteq Q_m$ be a set of $t \cdot 2^k + 1$ vertices such that for each $q \in Q_L$, $N_{B_m}(O_\ast)(q) = L$. For the sake of contradiction, suppose $F$ has a valid strong $\mathcal{W}_{\ell}$-backdoor set $B$ of size $k$ with $B \cap S = \emptyset$. By Lemma 5 for each $q \in Q_L$, there is a truth assignment $\tau$ to $B$ such that $\text{inc}(F[\tau])$ contains all vertices from $R(q)$. But there are at most $2^k$ truth assignments to $B$. Therefore, for at least one truth assignment $\tau$ to $B$, there is a set $Q'_L \subseteq Q_L$ of at least $|Q_L|/2^k = t + 1$ vertices such that $\text{inc}(F[\tau])$ contains all vertices from $R(q), q \in Q'_L$. By Property (2), no two distinct $q, q' \in Q_L$ are assigned to the same region. Consider the subgraph of $\text{inc}(F[\tau])$ induced on all vertices in $L$ and $R_q, q \in Q'_L$. Contracting each region $R(q), q \in Q'_L$, one obtains a supergraph of a $K_{t+1,t+1}$. Thus, $\text{inc}(F[\tau])$ has a $K_{t+1,t+1}$ as a minor, implying that its treewidth is at least $t + 1$, a contradiction.

The correctness of Rule 3 will be shown with the use of a theorem by Mader.

Theorem 4 (24). Every graph $G = (V, E)$ with $|E| \geq c(x) \cdot |V|$ has a $K_x$-minor, where $c(x) = 8x \log x$.

For large $x$, the function $c(x)$ can actually be improved to $c(x) = (\alpha + o(1))x\sqrt{\log x}$ where $\alpha = 0.319\ldots$ is an explicit constant, and random graphs are extremal [37].

Lemma 7. Rule 3 is sound.

Proof. Suppose $F$ has a valid strong $\mathcal{W}_{\ell}$-backdoor set $B$ of size $k$ with $B \cap S = \emptyset$. To arrive at a contradiction, we exhibit a truth assignment $\tau$ to $B$ such that $\text{inc}(F[\tau])$ has treewidth at least $t + 1$.

Claim 1. There is a truth assignment $\tau \in 2^B$ and a set $Q' \subseteq Q$ with $|Q'| \geq \frac{|Z| \cdot |Q|}{3\text{nb}(t)2^{2t+1}}$ such that $\text{inc}(F[\tau])$ contains all vertices from $\bigcup_{q \in Q'} R(q)$.
To prove the claim, we first show a lower bound on $|Q \setminus N_{B(O_s)}(B)|$ in terms of $|Z|$ and $|O_s|$.

Since, by Property (3), each vertex $z \in Z$ has degree at least one in $B(W)$, $W \in O_s$, there are at least $|Z| \cdot |O_s|$ edges in $B_m(O_s)$. Since, by Property (4), each vertex from $Q_m$ has degree at most $3nb(t)$, we have that $|Q_m| \geq \frac{|Z| \cdot |O_s|}{3nb(t)}$. By Rule 2 no set of $t \cdot 2^k + 1$ vertices from $Q_m$ has the same neighborhood. Therefore, $|Q| \geq \frac{|Z| \cdot |O_s|}{3nb(t)2^k}$. Let $d$ denote the number of edges in $B(O_s)$ with one endpoint in $B$. Thus, $|N_{B(O_s)}(B)| \leq d$. Since $|S| \geq 6B(nb(t)$ and the degree of any vertex in $S$ is at least the degree of any vertex in $B$, we have that the number of edges incident to $S$ is at least $6nb(t)d$ in $B(O_s)$. Thus, $|Q| \geq \frac{6nb(t)d}{3nb(t)} = 2d$. Therefore, $N_{B(O_s)}(B)$ contains at most half the vertices of $Q$, and we have that $|Q \setminus N_{B(O_s)}(B)| \geq \frac{|Z| \cdot |O_s|}{3nb(t)2^k + 1}$.

By Lemma 5, for every $q \in Q \setminus N_{B(O_s)}(B)$ there is a truth assignment $\tau \in 2^B$ such that $\text{inc}(F[\tau])$ contains all vertices from $R(q)$. Since $2^B = 2^k$, there is a truth assignment $\tau \in 2^B$ and a subset $Q' \subseteq Q \setminus N_{B(O_s)}(B)$ of at most $|Q \setminus N_{B(O_s)}(B)|/2^k \geq \frac{|Z| \cdot |O_s|}{3nb(t)2^k} \geq 4$ vertices such that $\text{inc}(F[\tau])$ contains all vertices from $R(q)$ for every $q \in Q'$. This proves Claim 1.

Let $H' := B(O_s)[Z' \cup Q']$ where $Z' := Z \setminus B$ and $Q'$ is as in Claim 1. Thus, no vertex from $Z'$ and no vertex from $\bigcup q \in Q' R(q)$ is removed from the incidence graph by applying the truth assignment $\tau$ to $F$. We will now merge vertices from $H'$ in such a way that we obtain a minor of $\text{inc}(F[\tau])$. To achieve this, we repeatedly merge a part $A \subseteq P$ into a vertex $z \in Z$ such that $z$ has a neighbor $q$ in $H'$ such that $R(q) = A$. In the incidence graph, this corresponds to contracting $R(q) \cup \{z\}$ into the vertex $z$. After having contracted all vertices from $Q'$ into vertices from $Z'$, we obtain therefore a minor of $\text{inc}(F[\tau])$.

Our objective will be to show that the treewidth of this minor is too large and arrive at a contradiction for $B$ being a strong $W_{t+1}$-backdoor set of $F$.

**Claim 2.** $\text{inc}(F[\tau])$ has a $K_{t+2}$-minor.

To prove the claim, we start with $H''$ and $Q''$ as copies of $H'$ and $Q'$, respectively. We use the invariant that every connected component of $H''[Z']$ is a minor of $\text{inc}(F[\tau])$.

For any part $A$ of the partition $P$, let $R_A$ denote the set of vertices $\{q \in Q' : R(q) = A\}$. As long as $Q'' \neq \emptyset$, select a part $A$ of $P$ such that $|R_A| \geq 1$. Let $U := \bigcup q \in R_A N_{H''}(q)$. By the construction of $H'$ and $B(O_s)$ (Property (2)), we have that $|U| \geq nb(t) + |R_A| - 1$.

If for every vertex $u \in U$, $|N_{H''}(u) \cap U| \geq nb(t)$, then $H''[U]$ has at least $\text{nb}(t) \cdot |U|/2 = \left(8(t + 2) \log(t + 2)\right) \cdot |U|/2$ edges. Then, by Theorem 4 $H''[U]$ has a $K_{t+1}$-minor. By our invariant, $\text{inc}(F[\tau])$ has a $K_{t+2}$-minor.

Otherwise, there exist a vertex $z \in U$ such that $z$ has less than $\text{nb}(t)$ neighbors in $U$. But then, merging $A$ into $z$ adds at least $|U| - \text{nb}(t) + 1 \geq |R_A|$ edges to $H''[Z']$.

In the end, if no $K_{t+2}$-minor was found before $Q'' = \emptyset$, each merge of a part $A$ of $P$ into a vertex from $Z'$ added at least $|R_A|$ edges to $H''[Z']$. Therefore, the final graph $H''[Z']$ contains at least $|Q''| \geq \frac{|Z| \cdot |O_s|}{3nb(t)2^k + 1}$ and $|O_s| = \text{same}(k,t) = 3(nb(t))^22^k$. Thus, $H''[Z']$ has at least $(8(t + 2) \log(t + 2)) \cdot |Z'|$ edges. Consequently, $H''[Z']$ has a $K_{t+2}$-minor by Theorem 4 which is a minor of $\text{inc}(F[\tau])$ by our invariant. This proves Claim 2.

Claim 2 entails that $\text{inc}(F[\tau])$ has treewidth at least $t + 1$. Since $\tau$ is a truth assignment to $B$, this is a contradiction to $B$ being a strong $W_{t+1}$-backdoor set of $F$. This shows the correctness of Rule 3 and proves Lemma 7.

The number of choices the algorithm has in the nondeterministic steps is upper bounded by $\left(\frac{\text{obs}(k,t)}{k}\right) \cdot (2^k \cdot \text{same}(k,t)) \cdot k$, and each series of guesses leads to a set $S$ of at most $6knb(t)$ variables. Thus, the set
is characterized by a finite set \( \mathcal{O} \) of forbidden minors. That is, \( G \in \mathcal{O} \) if and only if \( G \) does not contain any graph from \( \mathcal{O} \) as a minor. Clearly \( \mathcal{O} \) is minor-closed. We denote its finite set of obstructions by \( \mathcal{O}_G \) of forbidden minors. That is, \( \mathcal{O}_G \) is a set of graphs that are adjacent in \( G \) and computes a minimum-sized set of vertices \( X \) such that \( \varphi(X) \) is true in \( A \).

First, we define the labeled graph \( A_F \) for \( F \). The set of vertices of \( A_F \) is \( \text{lit}(F) \cup \text{cla}(F) \). The vertices are labeled by \( \text{LIT} \) and \( \text{CLA} \), respectively. The vertices from \( \text{var}(F) \) are additionally labeled by \( \text{VAR} \). The set of edges is the union of the sets \( \{x\neg x : x \in \text{var}(F)\} \) and \( \{c\ell : c \in \text{cla}(F), \ell \in \text{lit}(c)\} \), edges in the first set are labeled \( \text{NEG} \), and edges in the second set are labeled \( \text{IN} \).

Since a tree decomposition of \( A_F \) may be obtained from a tree decomposition of \( \text{inc}(F) \) by replacing each variable by both its literals, we have that \( \text{tw}(A_F) \leq 2 \cdot \text{tw}(\text{inc}(F)) + 1 \) and we obtain a constant-width tree decomposition of \( A_F \) in this way.

The goal is to find a minimum size subset \( X \) of variables such that for each truth assignment \( \tau \) to \( X \) the incidence graph of \( F[\tau] \) belongs to \( G_{\leq t} \), where \( G_{\leq t} \) denotes the class of all graphs of treewidth at most \( t \). For testing membership in \( G_{\leq t} \) we use a forbidden-minor characterization. As proved in a series of papers by Robertson and Seymour [29], every minor-closed class \( G \) of graphs is characterized by a finite set \( \mathcal{O}(G) \) of forbidden minors. That is, \( \mathcal{O}(G) \) is a finite set of graphs such that a graph \( G \) belongs to \( G \) if and only if \( G \) does not contain any graph from \( \mathcal{O}(G) \) as a minor. Clearly \( G_{\leq t} \) is minor-closed. We denote its finite set of obstructions by \( \mathcal{O}(t) = \mathcal{O}(G_{\leq t}) \). The set \( \mathcal{O}(t) \) is explicitly given in [4] for \( t \leq 3 \) and it can be computed in constant time [1, 21, 22, 23] for all other values of \( k \).

Next we are going to formulate an MSO sentence that checks whether for each truth assignment \( \tau \) to \( X \), the incidence graph of \( F[\tau] \) does not contain any of the graphs in \( \mathcal{O}(t) \) as a minor. We break our MSO sentence into several simpler sentences and we use the notation of [14].

The following sentence checks whether \( X \) is a set of variables.

\[
\var(X) = \forall x. (X x \rightarrow \text{VAR} x)
\]

We associate a partial truth assignment to \( X \) with a subset \( Y \) of \( \text{lit}(F) \), the literals set to 1 by the partial truth assignment. This subset \( Y \) contains no complementary literals, every literal in \( Y \) is either a variable from \( X \) or its negation, and for every variable \( x \) from \( X \), \( x \) or \( \neg x \) is in \( Y \). The following sentence checks whether \( Y \) is an assignment to \( X \).

\[
\text{ass}(X,Y) = \forall y. (Y y \rightarrow ((X y \lor (\exists z. (X z \land \text{NEG} z y))) \land (\forall z. (Y z \rightarrow \neg \text{NEG} z y))))
\]

\[
\land \forall x. (X x \rightarrow (Y x \lor \exists y. (Y y \land \text{NEG} x y)))
\]

To test whether \( \text{inc}(F[\tau]) \) has a graph \( G \) with \( V(G) = \{v_1, \ldots, v_n\} \) as a minor, we will check whether it contains \( n \) disjoint sets \( A_1, \ldots, A_n \) of vertices, where each set \( A_i \) corresponds to a vertex \( v_i \) of \( G \), such that the following holds: each set \( A_i \) induces a connected subgraph in \( \text{inc}(F[\tau]) \), and for every two vertices \( v_i, v_j \) that are adjacent in \( G \), the corresponding sets \( A_i, A_j \) are connected by an edge.
tractable (#)SAT instances. The next sentence checks whether

\[\text{disjoint}(A, B) = \neg \exists x (Ax \land Bx)\]

To check whether \(A\) is disjoint from \(B\), we check that there is no set \(B\) that is a proper nonempty subset of \(A\) such that \(B\) is closed under taking neighbors in \(A\).

\[\text{connected}(A) = \neg \exists B \left[ \exists x (Ax \land \neg Bx) \land \exists x (Bx) \land \forall x (Bx \rightarrow Ax) \land \forall x, y (Bx \land Ay \land (\text{IN}xy \lor \text{NEG}xy)) \rightarrow By \right] \]

The next sentence checks whether \(A\) is closed under complementation of literals.

\[\text{closed}(A) = \forall x, y (\text{NEG}xy \rightarrow (Ax \leftrightarrow Ay))\]

The following sentence checks whether some vertex from \(A\) and some vertex from \(B\) have a common edge labeled IN.

\[\text{edge}(A, B) = \exists x, y (Ax \land Bx \land \text{IN}xy)\]

An assignment removes from the incidence graph all variables that are assigned and all clauses that are assigned correctly. Therefore, the minors we seek must not contain any variable that is assigned nor any clause that is assigned correctly. The following sentence checks whether all vertices from a set \(A\) survive when assigning \(Y\) to \(X\).

\[\text{survives}(A, X, Y) = \neg \exists x (Ax \land (Xx \lor \exists y (XY \land \text{NEG}xy) \lor \exists y (Yy \land \text{IN}yx)))\]

We can now test whether a \(G\)-minor survives in the incidence graph as follows:

\[\text{G-minor}(X, Y) = \exists A_1, \ldots, A_n | \bigwedge_{i=1}^n (\text{survives}(A_i) \land \text{connected}(A_i) \land \text{closed}(A_i)) \land \bigwedge_{1 \leq i < j \leq n} \text{disjoint}(A_i, A_j) \land \bigwedge_{1 \leq i < j \leq n} \forall v_i, v_j \in E(G) \forall (A_i, A_j)\]

Our final sentence checks whether \(X\) is a strong \(W_{\leq t}\)-backdoor set of \(F\).

\[\text{Strong}_t(X) = \forall Y [\text{ass}(X, Y) \rightarrow \forall G \in \text{obs}(t) \neg G\text{-minor}(X, Y))]\]

Recall that we assume \(t\) to be a constant. Hence \(|\text{Strong}_t| = O(1)\). Moreover, the tree decomposition of \(A_F\) that we described has width \(O(1)\). We can now use the result of Arnborg et al. \[\text{[3]}\] that provides a linear time algorithm for finding a smallest set \(X\) of vertices of \(A_F\) for which \(\text{Strong}_t(X)\) holds. This completes the proof of Lemma \[\text{[2]}\].

4 Conclusion

We have described a cubic-time algorithm solving SAT and #SAT for a large class of instances, namely those CNF formulas \(F\) that have a strong backdoor set of size at most \(k\) into the class of formulas with incidence treewidth at most \(t\), where \(k\) and \(t\) are constants. As illustrated in the introduction, this class of instances is larger than the class of all formulas with bounded incidence treewidth. We also designed an approximation algorithm for finding an actual strong backdoor set. Can our backdoor detection algorithm be improved to an exact algorithm? In other words, is there an \(O(n^c)\)-time algorithm finding a \(k\)-sized strong \(W_{\leq t}\)-backdoor set of any formula \(F\) with \(sb_t(F) \leq k\) where \(k, t\) are two constants and \(c\) is an absolute constant independent of \(k\) and \(t\)? This question is even open for \(t = 1\). An orthogonal question is how far one can generalize the class of tractable (#)SAT instances.
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