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The relationship between management and resilience in healthcare. A systematic review

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The relationship between management and resilience in healthcare. A systematic review

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Keywords: leadership; management; quality; resilience in healthcare; and systematic review

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Abstract

Introduction: Management has been identified as a critical component of organizational resilience when responding to adverse events and crises, as managers must ensure an effective operational response and provide direction and guidance to teams. While there are many management approaches, strategies, and interventions that have been applied and studied in healthcare, the impact of them in relation to resilience in healthcare has not been explored, particularly at the organizational level. Understanding the impact of management approaches, strategies, and interventions on resilience has the potential to inform healthcare organizations on how to better utilize management to prepare and respond to organizational adverse events.

The objective of this mixed methods systematic review is to understand the relationship between management and organizational resilience in healthcare, including management approaches and strategies that promote resilience in healthcare.

Methods and analysis: A search through MEDLINE, Cumulative Index to Nursing and Allied Health Literature (CINAHL), PUBMED, and EMBASE will be conducted. This review will consider empirical quantitative, qualitative, and mixed methods studies published in English from 2010 to the present that meet the inclusion and exclusion criteria. Selected studies will be assessed in detail and extracted data will be reviewed by two independent reviewers. Results of the search will be reported in full in the final systematic review and presented in a Preferred Reporting Items for Systematic Reviews and Meta-Analysis (PRISMA) flow diagram. This review will follow a convergent integrated approach to data synthesis and integration.

Ethics and dissemination: This systematic literature review includes no collection of primary data; hence ethical approval will not be sought. The outcomes from this review will be disseminated in a
peer-reviewed journal, as conference presentation, and as condensed summary for managers in healthcare and policy makers.

**Systematic review registration number:** This systematic review protocol was registered with PROSPERO (registration number: 223362).

**Strengths and limitations of this study**

1. This is the first systematic review investigating the relationship between management strategies and resilience in healthcare.
2. Quantitative, qualitative, and mixed methods studies are included.
3. The Quality Assessment Tool is applied as quality framework for systematic reviews encompassing a variety of methodologies (i.e. quantitative, qualitative and mixed methods).
4. Resilience is a broad field and studies might be missed by the chosen databases.
5. We expect to find limited research evidence which may also limit the ability to develop recommendations on management strategies that promote resilience.

**Introduction**

Over the past decade, resilience in healthcare has emerged and is a growing area of study in the field of health quality and safety science. While varying definitions of resilience in healthcare exist, for the purposes of this systematic review, the definition by Wiig et al., (2020) will be used to define resilience in healthcare as “the capacity to adapt to challenges and changes at different system levels, to maintain high quality care.”
Resilience in healthcare applies both resilient engineering (RE) and Safety-II approaches that enable individuals, teams, and organizations to adapt to adverse events, challenges, and changes. For example, when faced with adverse events, organizations characterized by resilient performance have a greater ability to adapt to the unexpected challenges while maintaining quality patient care, in comparison to healthcare organizations without these characteristics.

Management has been identified as a critical component of organizational resilience when responding to adverse events and crises, as managers must ensure an effective operational response and provide direction and guidance to teams. While there are many management approaches, strategies, and interventions that have been applied and studied in healthcare, the impact of them on promoting resilience in healthcare has not been explored, particularly at the organizational level. Other studies have identified a connection between management and resilience in healthcare and have suggested there is value in conducting studies to better understand the relationship. Specifically, “the higher the skills of leadership, the higher the ability to be resilient and to overcome challenges”.

Understanding the impact of management approaches, strategies, and interventions on resilience has the potential to inform healthcare organizations on how to better utilize management to prepare and respond to organizational adverse events, challenges, and changes.

A preliminary search of PROSPERO, MEDLINE, and the Cochrane Database of Systematic Reviews was conducted and, to our knowledge, no current or underway systematic reviews on this topic were identified. While there are systematic reviews pertaining to resilience in healthcare, none exist that specifically target the relationship between management and resilience in healthcare.

The objectives of the systematic review are to:

1) Identify and synthesize studies pertaining to management and resilience in healthcare.

2) Explore the relationship between and impact of management approaches and strategies on promoting resilience in healthcare.
Review questions

1) What is the relationship between management and resilience in healthcare?

2) What type of management strategies and approaches promote resilience in healthcare?

Keywords

The keywords for this mixed methods systematic review protocol include: leadership; management; quality; resilience in healthcare; and systematic review.

Inclusion criteria

This review will consider quantitative, qualitative, and mixed methods studies that evaluate the relationship between management and resilience in healthcare and/or explore management/leadership activities, strategies, approaches, and interventions that promote resilience in healthcare. Specifically, studies considered for inclusion will include leaders, managers, management, and leadership, as well as healthcare professionals, employees, and staff working within hospitals, nursing homes, long-term care facilities, home care services, primary care settings, and health organizations, including those that are privately or publicly funded, and located in urban or rural areas. All studies included will be based on empirical data. Studies published in English from 2010 to the present will be included. Only research on resilience at the organizational level will be included. Additionally, studies considered for inclusion will include the following outcome measures: 1) the impact of management on resilience in healthcare; and 2) the influence of management and resilience in terms of quality outcomes in healthcare. Other outcomes may be considered based on what is discovered during the review, such as adaptive capacity.
Exclusion Criteria

This review will exclude quantitative, qualitative, and mixed methods studies that:

1) Do not focus on management and resilience in healthcare.
2) Focus on non-health disciplines and/or non-healthcare settings.
3) Include emotional, psychological, individual, community, or disaster resilience.
4) Do not include formal leaders and only focus on leaders without a title and/or champions.
5) Are unpublished.

Methods and analysis

This review protocol was registered with PROSPERO (registration number: 223362). The review will be prepared using the Preferred Reporting Items for Systematic Reviews and Meta-Analysis (PRISMA) guidelines for reporting systematic reviews.

Search strategy

The search strategy will aim to locate published studies. An initial limited search of MEDLINE, Cumulative Index to Nursing and Allied Health Literature (CINAHL), PUBMED, and Embase was undertaken to identify articles on the topic. The text words contained in the titles and abstracts of relevant articles, as well as the index terms used to describe the articles were used to develop a full search strategy that was tested through MEDLINE (see Appendix A). The search strategy, including all identified keywords and index terms, will be adapted for each included information source. The reference list of all studies selected for critical appraisal will be screened for additional studies.
Information sources

The following electronic databases will be searched: MEDLINE, CINAHL, PUBMED, and Embase. Additionally, a hand-search through Google Scholar and relevant journals in the field will be conducted.

Study selection

Following the search, all identified citations will be collated and uploaded into EndNote X9 and duplicates removed. Titles and abstracts will then be screened by two independent reviewers for assessment against the inclusion criteria for the review. Potentially relevant studies will be retrieved in full and assessed in detail against the inclusion criteria by two independent reviewers. Reasons for exclusion of full text studies that do not meet the inclusion criteria will be recorded and reported in the systematic review. Any disagreements that arise between the reviewers at each stage of the study selection process will be resolved through discussion, or with a third reviewer. The results of the search will be reported in full in the final systematic review and presented in a PRISMA flow diagram.

An assessment form (including relevance to research questions, the context, data source, informants, type of study) will be designed for the first stage as a framework for decision making on whether studies will be included or excluded. If the researchers are ambiguous about whether to include a paper after reading the abstract, an assessment of the full article will be performed.

Assessment of methodological quality

Quantitative, qualitative, and mixed methods studies selected for retrieval will be assessed by two independent reviewers for methodological validity prior to inclusion in the review using the Quality Assessment Tool by Hawker et al., who proposed a quality framework for systematic reviews encompassing a variety of methodologies (i.e. quantitative, qualitative and mixed methods).
Authors of studies will be contacted to request missing or additional data for clarification, where required. Any disagreements that arise between the reviewers will be resolved through discussion, or with a third reviewer. The results of critical appraisal will be reported in narrative form and in a table.

Following critical appraisal, studies that do not meet a certain quality threshold will be excluded. This decision will be based on the exclusion criteria and the Quality Assessment Tool.

Data extraction

Data will be extracted from the quantitative, qualitative, and mixed methods studies included in the review by two independent reviewers. The data will be extracted and incorporated in a framework that will include specific details about the publication (authors, date of publication, country), research question(s), study design (quantitative, qualitative, mixed methods), population (e.g. sample size, age, gender, occupational status, managers, employees), context (hospital, nursing homes, home care services, location of the study), culture, study methods, the phenomena of interest relevant to the review objective, contextual level (team, organization), theoretical underpinnings, management strategy and approach, main findings, and other relevant data. Findings, and their illustrations will be extracted and assigned a level of credibility.

Data synthesis and integration

This review will follow a convergent integrated approach for mixed methods systematic reviews. The quantitative data will then be converted into ‘qualitized data’. This will involve transformation into textual descriptions or narrative interpretation of the quantitative results to respond directly to the review questions. A convergent integrated approach will involve assembling the qualitized data with the qualitative data. Assembled data are categorized and pooled together based on similarity in meaning to produce a set of integrated findings in the form of line of action statements.
Patient and public involvement

There is no patient and public involvement in the development of the protocol. The following systematic literature review will be presented for the SHARE (Centre for Resilience in Healthcare) research centre’s patient and stakeholder panel (PSI panel) and additionally for associated co-
researchers within the research centre.

Discussion

All organizations are in a constant state of change. In order for organizations to manage the need for continuous changes, both resilience and management are described as important factors for succeeding. It is well known that both management and resilience are important predictors for quality in healthcare. However, research has mostly studied resilience and management in isolation. Correspondingly there has been raised a call for new studies to include management in resilience studies, and thereby a shift of the empirical focus from the traditional micro-level focus within resilience literature, to include meso-level and macro level.

To this end, this systematic literature will provide a foundation for new studies combining management and resilience by establishing an overview of what is currently known about this linkage and furthermore implications for new areas of research.

Ethics and dissemination

This study will not collect any primary data and does not require ethical approval. The results will be published in an international peer-reviewed journal and will be shared with stakeholders and at an international conference.
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Contributors:

EP drafted the protocol with guidance from HBL, ER, And SW. EP, HBL, ER and SW developed a draft
of the initial search strategy and contributed to the refinement of the selection criteria. EP developed
the overarching framework for the methodology and drafted the manuscript. SW conceptualized the
study and is in lead of the project group. All project members had the opportunity to contribute,
revise the manuscript, and have approved the final version.

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Conflicts of interest

There is no known conflict of interest for this review.

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Appendices

Appendix A: Search strategy

The following search strategy was conducted through MEDLINE (Ovid):

1) resilience.mp.
2) safety II.mp.
3) organizational resilience.mp.
4) adaptive capacity.mp.
5) 1 or 2 or 3 or 4
6) management.mp.
7) manager.mp.
8) leadership.mp.
9) leader.mp.
10) 6 or 7 or 8 or 9
11) healthcare.mp. or exp "Delivery of Health Care"
12) health care.mp.
13) 11 or 12
14) 5 and 10 and 13
The relationship between management and resilience in healthcare. A study protocol for a systematic review

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| Keywords: | Health & safety < HEALTH SERVICES ADMINISTRATION & MANAGEMENT, Quality in health care < HEALTH SERVICES ADMINISTRATION & MANAGEMENT, Clinical governance < HEALTH SERVICES ADMINISTRATION & MANAGEMENT |
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The relationship between management and resilience in healthcare. A study protocol for a systematic review

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Keywords: leadership; management; quality; resilience in healthcare; and systematic review
Abstract

Introduction: Management has been identified as a critical component of organizational resilience when responding to adverse events and crises, as managers must ensure an effective operational response and provide direction and guidance to teams. While there are many management approaches, strategies, and interventions that have been applied and studied in healthcare, the impact of them in relation to resilience in healthcare has not been explored, particularly at the organizational level. Understanding the impact of management approaches, strategies, and interventions on resilience has the potential to inform healthcare organizations on how to better utilize management to prepare and respond to organizational adverse events.

The objective of this mixed methods systematic review is to understand the relationship between management and organizational resilience in healthcare, including management approaches and strategies that promote resilience in healthcare.

Methods and analysis: A search through MEDLINE, Cumulative Index to Nursing and Allied Health Literature (CINAHL), PUBMED, and EMBASE will be conducted between 01.08.21 and 31.12.21. This review will consider empirical quantitative, qualitative, and mixed methods studies published in English from 2010 to the present that meet the inclusion and exclusion criteria. Selected studies will be assessed in detail and extracted data will be reviewed by two independent reviewers. Results of the search will be reported in full in the final systematic review and presented in a Preferred Reporting Items for Systematic Reviews and Meta-Analysis (PRISMA) flow diagram. This review will follow a convergent integrated approach to data synthesis and integration.
Ethics and dissemination: This systematic literature review includes no collection of primary data; hence ethical approval will not be sought. The outcomes from this review will be disseminated in a peer-reviewed journal, as conference presentation, and as condensed summary for managers in healthcare and policy makers.

Systematic review registration number: This systematic review protocol was registered with PROSPERO (CRD42020223362).

Strengths and limitations of this study

1. This is the first systematic review investigating the relationship between management strategies and resilience in healthcare.

2. The results from this systematic review will provide an overview of what is currently known about the relationship between management and resilience.

3. Understanding the impact of management approaches, strategies, and interventions on resilience have the potential to inform healthcare organizations on how to better utilize management to prepare to mitigate risk and respond to organizational adverse events.

4. Resilience is a broad field and studies might be missed by the chosen databases.

5. We expect to find limited research evidence which may also limit the ability to develop recommendations on management strategies that promote resilience.
Over the past decade, resilience in healthcare has emerged and is a growing area of study in the field of health quality and safety science. In healthcare, safety is often associated with quality, which according to Institute of Medicine (2001) includes six dimensions: patient safety, clinical effectiveness, patient centeredness, coordination, efficiency, and equity. While varying definitions of resilience in healthcare exist, for the purposes of this systematic review, the definition by Wiig et al., (2020) will be used to define resilience in healthcare as “the capacity to adapt to challenges and changes at different system levels, to maintain high quality care” as it connects resilience in healthcare to quality care.

Resilience in healthcare applies both resilient engineering (RE) and Safety-II approaches that enable individuals, teams, and organizations to adapt to adverse events, challenges, and changes. For example, when faced with adverse events, organizations characterized by resilient performance have a greater ability to adapt to the unexpected challenges while maintaining quality patient care, in comparison to healthcare organizations without these characteristics.

Management has been identified as a critical component of organizational resilience when responding to adverse events and crises, as managers must ensure an effective operational response and provide direction and guidance to teams. While there are many management approaches, strategies, and interventions that have been applied and studied in healthcare, the impact of them on promoting resilience in healthcare has not been explored, particularly at the organizational level. In recent work, Mintzberg states that management and healthcare often have been studied separately in previous research. As such, there is an ongoing demand for a more detailed understanding of management roles and involvement to improve safety and to achieve quality healthcare services. In terms of management for patient safety and quality in healthcare, focus has often been directed towards the prevention of adverse events (Safety 1 perspective). The introduction of resilience therefore
provided a new perspective for understanding quality and safety in healthcare with stronger emphasis
on how things go right (Safety II perspective). Accordingly, new studies of management are needed to
understand the impact of managers in terms of resilience.

However, some studies have identified a connection between management and resilience in healthcare and have suggested there is value in conducting studies to better understand the relationship. Specifically, “the higher the skills of leadership, the higher the ability to be resilient and to overcome challenges.” Understanding the impact of management approaches, strategies, and interventions on resilience at the micro, meso and macro-level has the potential to inform healthcare organizations on how to better utilize management to prepare and respond to organizational adverse events, challenges, and changes.

Healthcare organizations are known for being difficult to control and manage, mainly because they introduce a conflict between clinical logic and administrative logic. Healthcare managers include a range of positions from nursing and physician managers close to the front-line, to head of departments at the meso-level, to top-management in e.g. nursing homes and hospitals. However, in all these different positions healthcare managers are instrumental for balancing and converging policy and front-line practices, and studies of healthcare management is as such a way of exploring both directions.

A preliminary search of PROSPERO, MEDLINE, and the Cochrane Database of Systematic Reviews was conducted and, to our knowledge, no current or underway systematic reviews on this topic were identified. While there are systematic reviews pertaining to resilience in healthcare, none exist that specifically target the relationship between management and resilience in healthcare. Patriarca et al. in their literature review explores system safety and resilience engineering in terms of anesthesiologic practices. Iflaifel et al. have also provided a valuable review of the resilience literature. Even though management is highlighted as key for enhancing resilience in healthcare in this review, management strategies and approaches are not of focus. Furthermore, Berg et al. described...
methodological strategies in resilience studies, which differs from our aim of understanding leadership strategies for resilience in this review. Valuable understanding of the resilience field is also provided by Ellis et al., yet not much attention of management strategies is included. As such, new systematic literature reviews are needed to provide understanding of the relationship between management and resilience in healthcare.

This systematic review contributes to this by investigating the following objectives:

1) Identify and synthesize studies pertaining to management and resilience in healthcare.
2) Explore the relationship between and impact of management approaches and strategies on promoting resilience in healthcare.

Review questions

1) What is the relationship between management and resilience in healthcare?
2) What type of management strategies and approaches promote resilience in healthcare?

Keywords

The keywords for this mixed methods systematic review protocol include: leadership; management; quality; resilience in healthcare; and systematic review.

Inclusion criteria

This review will consider quantitative, qualitative, and mixed methods studies that evaluate the relationship between management and resilience in healthcare and/or explore management/leadership activities, strategies, approaches, and interventions that promote resilience in...
healthcare. Specifically, studies considered for inclusion need to be focused on management and resilience. This includes studies with management focus from different perspectives and levels such as managers themselves, but also the perspective of their employees. All studies included will be based on empirical data, including data collection and data from observations, interviews (all types), and surveys. Studies published in English from 2010 to the present will be included, as the resilience in healthcare literature was mainly developed from 2012 and onwards. Only research on organizational resilience will be included, but the impact of organizational resilience for the different levels (micro, meso, and macro) is also to be included in this review. Additionally, studies considered for inclusion will include the following outcome measures: 1) the impact of management on resilience in healthcare; and 2) the influence of management and resilience in terms of quality outcomes in healthcare. Other outcomes may be considered based on what is discovered during the review, such as adaptive capacity.

Exclusion Criteria

This review will exclude quantitative, qualitative, and mixed methods studies that:

1) Do not focus on management and resilience in healthcare.
2) Focus on non-health disciplines and/or non-healthcare settings.
3) Include emotional, psychological, individual, community, or disaster resilience.
4) Do not include formal leaders and only focus on leaders without a title and/or champions.
5) Are unpublished and/or are opinion pieces, theoretical reflections, and articles without methods sections.
Methods and analysis

This review protocol was registered with PROSPERO (CRD42020223362). The review will be prepared using the Preferred Reporting Items for Systematic Reviews and Meta-Analysis (PRISMA) guidelines for reporting systematic reviews.

Search strategy

The search strategy will aim to locate published studies. An initial limited search of MEDLINE, Cumulative Index to Nursing and Allied Health Literature (CINAHL), PUBMED, and Embase was undertaken to identify articles on the topic. The text words contained in the titles and abstracts of relevant articles, as well as the index terms used to describe the articles were used to develop a full search strategy that was tested through MEDLINE (see Appendix A). The search strategy, including all identified keywords and index terms, will be adapted for each included information source. The reference list of all studies selected for critical appraisal will be screened for additional studies.

Information sources

The following electronic databases will be searched between 01.08.21 and 31.12.21: MEDLINE, Cumulative Index to Nursing and Allied Health Literature (CINAHL), PUBMED, and EMBASE.

Study selection

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selection process will be resolved through discussion, or with a third reviewer. The results of the search
will be reported in full in the final systematic review and presented in a PRISMA flow diagram 18.

An assessment form (including relevance to research questions, the context, data source, informants,
type of study) will be designed for the first stage as a framework for decision making on whether
studies will be included or excluded 19. If the researchers are ambiguous about whether to include a
paper after reading the abstract, an assessment of the full article will be performed.

Assessment of methodological quality

Quantitative, qualitative, and mixed methods studies selected for retrieval will be assessed by two
independent reviewers for methodological validity prior to inclusion in the review using the Quality
Assessment Tool by Hawker et al. 19, who proposed a quality framework for systematic reviews
encompassing a variety of methodologies (i.e. quantitative, qualitative and mixed methods).
Additionally, the included studies will also be assessed and categorized in terms of the methodological
approach (e.g. meta-analysis, RCT, case-control, cross-sectional, case studies, observation, interview,
action research).

Any disagreements that arise between the reviewers will be resolved through discussion, or with a
third reviewer. The results of critical appraisal will be reported in narrative form and in a table.

Following critical appraisal, studies that do not meet a certain quality threshold will be excluded. This
decision will be based on the exclusion criteria and the Quality Assessment Tool.
Data extraction

Data will be extracted from the quantitative, qualitative, and mixed methods studies included in the review by two independent reviewers. The data will be extracted and incorporated in a framework that will include specific details about the publication (authors, date of publication, country), research question(s), study design (quantitative, qualitative, mixed methods), population (e.g. sample size, age, gender, occupational status, managers, employees), context (hospital, nursing homes, home care services, location of the study), culture, study methods, the phenomena of interest relevant to the review objective, contextual level (team, organization), theoretical underpinnings, management strategy and approach, main findings (management strategies, management approaches, change in clinical practice, change in organizational practice, cultural change, change in use of technology, learning processes, processes of anticipation, monitoring, responding, innovations, adaptations, adaptive capacity, capacity-demands handling, and trade-offs), and other relevant data. Findings, and their illustrations will be extracted and assigned a level of credibility.

Data synthesis and integration

This review will follow a convergent integrated approach for mixed methods systematic reviews. The included quantitative data will be summarized in order to allow for convergence of findings from different methodological approaches. The convergent integrated approach involves assembling the summarized quantitative data with the qualitative data. Assembled data are categorized and pooled together based on similarity in meaning to produce a set of integrated findings in the form of line of action statements.
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There is no patient and public involvement in the development of the protocol. The following systematic literature review will be presented for the SHARE (Centre for Resilience in Healthcare) research centre’s patient and stakeholder panel (PSI panel) and additionally for associated co-researchers within the research centre.

Discussion

All organizations are in a constant state of change. In order for organizations to manage the need for continuous changes, both resilience and management are described as important factors for succeeding. It is well known that both management and resilience are important predictors for quality in healthcare. However, research has mostly studied resilience and management in isolation. Correspondingly, there has been raised a call for new studies to include management in resilience studies, and thereby a shift of the empirical focus from the traditional micro-level focus within resilience literature, to include meso-level and macro-level.

To this end, this systematic literature will provide a foundation for new studies combining management and resilience by establishing an overview of what is currently known about this linkage and furthermore implications for new areas of research.

In the major project, Resilience in Healthcare, that this review is related to, we continue our innovative work to stronger link resilience to healthcare quality to have a larger impact on conceptualizing and approaching resilience in healthcare with a multi-level perspective.

Ethics and dissemination

This study will not collect any primary data and does not require ethical approval.
The results will be published in an international peer-reviewed journal and will be shared with stakeholders and at an international conference.

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Contributors:

EP drafted the protocol with guidance from HBL, ER, And SW. EP, HBL, ER and SW developed a draft of the initial search strategy and contributed to the refinement of the selection criteria. EP developed the overarching framework for the methodology and drafted the manuscript. SW conceptualized the study and is in lead of the project group. All project members had the opportunity to contribute, revise the manuscript, and have approved the final version.

Funding

This research received no specific grant from any funding agency in the public, commercial or not-for-profit sectors.

Conflicts of interest

There is no known conflict of interest for this review.
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Appendices

Appendix A: Search strategy

The following search strategy was conducted through MEDLINE (Ovid):

1) resilience.mp.
2) safety II.mp.
3) organizational resilience.mp.
4) adaptive capacity.mp.
5) 1 or 2 or 3 or 4
6) management.mp.
7) manager.mp.
8) leadership.mp.
9) leader.mp.
10) 6 or 7 or 8 or 9
11) healthcare.mp. or exp "Delivery of Health Care"
12) health care.mp.
13) 11 or 12
14) 5 and 10 and 13
The relationship between management and resilience in healthcare. A study protocol for a systematic review

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| **Manuscript ID:** | bmjopen-2020-047855.R2 |
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**Primary Subject Heading:**
- Health services research

**Secondary Subject Heading:**
- Medical management

**Keywords:**
- Health & safety < HEALTH SERVICES ADMINISTRATION & MANAGEMENT, Quality in health care < HEALTH SERVICES ADMINISTRATION & MANAGEMENT, Clinical governance < HEALTH SERVICES ADMINISTRATION & MANAGEMENT
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The relationship between management and resilience in healthcare. A study protocol for a systematic review

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Keywords: leadership; management; quality; resilience in healthcare; and systematic review
Abstract

Introduction: Management has been identified as a critical component of organizational resilience when responding to adverse events and crises, as managers must ensure an effective operational response and provide direction and guidance to teams. While there are many management approaches, strategies, and interventions that have been applied and studied in healthcare, the impact of them in relation to resilience in healthcare has not been explored, particularly at the organizational level. Understanding the impact of management approaches, strategies, and interventions on resilience has the potential to inform healthcare organizations on how to better utilize management to prepare and respond to organizational adverse events.

The objective of this mixed methods systematic review is to understand the relationship between management and organizational resilience in healthcare, including management approaches and strategies that promote resilience in healthcare.

Methods and analysis: A search through MEDLINE, Cumulative Index to Nursing and Allied Health Literature (CINAHL), PUBMED, and EMBASE will be conducted between 01.08.21 and 31.12.21. This review will consider empirical quantitative, qualitative, and mixed methods studies published in English from 2010 to the present that meet the inclusion and exclusion criteria. Selected studies will be assessed in detail and extracted data will be reviewed by two independent reviewers. Results of the search will be reported in full in the final systematic review and presented in a Preferred Reporting Items for Systematic Reviews and Meta-Analysis (PRISMA) flow diagram. This review will follow a convergent integrated approach to data synthesis and integration.
Ethics and dissemination: This systematic literature review includes no collection of primary data; hence ethical approval will not be sought. The outcomes from this review will be disseminated in a peer-reviewed journal, as conference presentation, and as condensed summary for managers in healthcare and policy makers.

Systematic review registration number: This systematic review protocol was registered with PROSPERO (CRD42020223362).

Strengths and limitations of this study

1. This is the first systematic review investigating the relationship between management strategies and resilience in healthcare.

2. The results from this systematic review will provide an overview of what is currently known about the relationship between management and resilience.

3. Understanding the impact of management approaches, strategies, and interventions on resilience have the potential to inform healthcare organizations on how to better utilize management to prepare to mitigate risk and respond to organizational adverse events.

4. Resilience is a broad field and studies might be missed by the chosen databases.

5. We expect to find limited research evidence which may also limit the ability to develop recommendations on management strategies that promote resilience.

Introduction

Over the past decade, resilience in healthcare has emerged and is a growing area of study in the field of health quality and safety science. In healthcare, safety is often associated with quality, which...
according to Institute of Medicine (2001) includes six dimensions: patient safety, clinical effectiveness, patient centeredness, coordination, efficiency, and equity. While varying definitions of resilience in healthcare exist, for the purposes of this systematic review, the definition by Wiig et al., will be used to define resilience in healthcare as “the capacity to adapt to challenges and changes at different system levels, to maintain high quality care” as it connects resilience in healthcare to quality care.

Resilience in healthcare applies both resilient engineering (RE) and Safety-II approaches that enable individuals, teams, and organizations to adapt to adverse events, challenges, and changes. For example, when faced with adverse events, organizations characterized by resilient performance have a greater ability to adapt to the unexpected challenges while maintaining quality patient care, in comparison to healthcare organizations without these characteristics.

Management has been identified as a critical component of organizational resilience when responding to adverse events and crises, as managers must ensure an effective operational response and provide direction and guidance to teams. While there are many management approaches, strategies, and interventions that have been applied and studied in healthcare, the impact of them on promoting resilience in healthcare has not been explored, particularly at the organizational level. In recent work, Mintzberg states that management and healthcare often have been studied separately in previous research. As such, there is an ongoing demand for a more detailed understanding of management roles and involvement to improve safety and to achieve quality healthcare services. In terms of management for patient safety and quality in healthcare, focus has often been directed towards the prevention of adverse events (Safety 1 perspective). The introduction of resilience therefore provided a new perspective for understanding quality and safety in healthcare with stronger emphasis on how things go right (Safety II perspective). Accordingly, new studies of management are needed to understand the impact of managers in terms of resilience.

However, some studies have identified a connection between management and resilience in healthcare and have suggested there is value in conducting studies to better understand the
Specifically, “the higher the skills of leadership, the higher the ability to be resilient and to overcome challenges”\(^6\). Understanding the impact of management approaches, strategies, and interventions on resilience at the micro, meso and macro-level has the potential to inform healthcare organizations on how to better utilize management to prepare and respond to organizational adverse events, challenges, and changes.

Healthcare organizations are known for being difficult to control and manage, mainly because they introduce a conflict between clinical logic and administrative logic\(^{13}\). Healthcare managers includes a range of position from nursing and physician managers close to the front-line, to head of departments at the meso-level, to top-management in e.g. nursing homes and hospitals. However, in all these different positions healthcare managers are instrumental for balancing and converging policy and front-line practices, and studies of healthcare management is as such a way of exploring both directions\(^{10}\).

A preliminary search of PROSPERO, MEDLINE, and the Cochrane Database of Systematic Reviews was conducted and, to our knowledge, no current or underway systematic reviews on this topic were identified. While there are systematic reviews pertaining to resilience in healthcare, none exist that specifically target the relationship between management and resilience in healthcare \(^4\)\(^{14}\)\(^{15}\). Patriarca et al., in their literature review, explores system safety and resilience engineering in terms of anesthesiologic practices\(^{16}\). Iflaifel et al. have also provided a valuable review of the resilience literature. Even though management is highlighted as key for enhancing resilience in healthcare in this review, management strategies and approaches are not of focus\(^4\). Furthermore, Berg et al. described methodological strategies in resilience studies, which differs from our aim of understanding leadership strategies for resilience in this review\(^{14}\). Valuable understanding of the resilience field is also provided by Ellis et al., yet not much attention of management strategies is included\(^{15}\). As such, new systematic literature reviews are needed to provide understanding of the relationship between management and resilience in healthcare\(^8\).
This systematic review contributes to this by investigating the following objectives:

1) Identify and synthesize studies pertaining to management and resilience in healthcare.

2) Explore the relationship between and impact of management approaches and strategies on promoting resilience in healthcare.

**Review questions**

1) What is the relationship between management and resilience in healthcare?

2) What type of management strategies and approaches promote resilience in healthcare?

**Keywords**

The keywords for this mixed methods systematic review protocol include: leadership; management; quality; resilience in healthcare; and systematic review.

**Inclusion criteria**

This review will consider quantitative, qualitative, and mixed methods studies that evaluate the relationship between management and resilience in healthcare and/or explore management/leadership activities, strategies, approaches, and interventions that promote resilience in healthcare. Specifically, studies considered for inclusion need to be focused on management and resilience. This includes studies with management focus from different perspectives and levels such as managers themselves, but also the perspective of their employees. All studies to be included will be based on empirical data, including data collection and data from observations, interviews (all types), and surveys. Studies published in English from 2010 to the present will be included, as the resilience
in healthcare literature was mainly developed from 2012 and onwards. Only research on organizational resilience will be included, but the impact of organizational resilience for the different levels (micro, meso, and macro) is also to be included in this review. Additionally, studies considered for inclusion will include the following outcome measures: 1) the impact of management on resilience in healthcare; and 2) the influence of management and resilience in terms of quality outcomes in healthcare. Other outcomes may be considered based on what is discovered during the review, such as adaptive capacity.

**Exclusion Criteria**

This review will exclude quantitative, qualitative, and mixed methods studies that:

1) Do not focus on management and resilience in healthcare.

2) Focus on non-health disciplines and/or non-healthcare settings.

3) Include emotional, psychological, individual, community, or disaster resilience.

4) Do not include formal leaders and only focus on leaders without a title and/or champions.

5) Are unpublished and/or are opinion pieces, theoretical reflections, and articles without methods sections.

**Methods and analysis**

This review protocol was registered with PROSPERO (CRD42020223362). The review will be prepared using the Preferred Reporting Items for Systematic Reviews and Meta-Analysis (PRISMA) guidelines for reporting systematic reviews.
Search strategy

The search strategy will aim to locate published studies. An initial limited search of MEDLINE, Cumulative Index to Nursing and Allied Health Literature (CINAHL), PUBMED, and Embase was undertaken to identify articles on the topic. The text words contained in the titles and abstracts of relevant articles, as well as the index terms used to describe the articles were used to develop a full search strategy that was tested through MEDLINE (see Appendix). The search strategy, including all identified keywords and index terms, will be adapted for each included information source. The reference list of all studies selected for critical appraisal will be screened for additional studies.

Information sources

The following electronic databases will be searched between 01.08.21 and 31.12.21: MEDLINE, Cumulative Index to Nursing and Allied Health Literature (CINAHL), PUBMED, and EMBASE.

Study selection

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**Assessment of methodological quality**

Quantitative, qualitative, and mixed methods studies selected for retrieval will be assessed by two independent reviewers for methodological validity prior to inclusion in the review using the critical appraisal tools developed by the Joanna Briggs Institute (JBI). Specifically, for quantitative studies (and quantitative component of mixed methods studies), the two independent reviewers will use the ‘Checklist for Randomized Controlled Trials’ and/or ‘Checklist for Quasi-Experimental Studies’ (non-randomized experimental studies) depending on the type of quantitative study. Further, for qualitative studies (and qualitative component of mixed methods studies), the two independent reviewers will use the ‘Checklist for Qualitative Research’. Additionally, the included studies will also be assessed and categorized in terms of the methodological approach (e.g. meta-analysis, RCT, case-control, cross-sectional, case studies, observation, interview, action research).

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Conflicts of interest

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Appendices

Appendix A: Search strategy

The following search strategy was conducted through MEDLINE (Ovid):

1) resilience.mp.
2) safety II.mp.
3) organizational resilience.mp.
4) adaptive capacity.mp.
5) 1 or 2 or 3 or 4
6) management.mp.
7) manager.mp.
8) leadership.mp.
9) leader.mp.
10) 6 or 7 or 8 or 9
11) healthcare.mp. or exp "Delivery of Health Care"
12) health care.mp.
13) 11 or 12
14) 5 and 10 and 13