Neutrophil Activation and Enhanced Release of Granule Products in HIV-TB Immune Reconstitution Inflammatory Syndrome

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Background: Tuberculosis immune reconstitution inflammatory syndrome (TB-IRIS) remains incompletely understood. Neutrophils are implicated in tuberculosis pathology but detailed investigations in TB-IRIS are lacking. We sought to further explore the biology of TB-IRIS and, in particular, the role of neutrophils.

Setting: Two observational, prospective cohort studies in HIV/TB coinfected patients starting antiretroviral therapy (ART), 1 to analyze gene expression and subsequently 1 to explore neutrophil biology.

Methods: nCounter gene expression analysis was performed in patients with TB-IRIS (n = 17) versus antiretroviral-treated HIV/TB coinfected controls without IRIS (n = 17) in Kampala, Uganda. Flow cytometry was performed in patients with TB-IRIS (n = 18) and controls (n = 11) in Cape Town, South Africa to determine expression of neutrophil surface activation markers, intracellular cytokines, and human neutrophil peptides (HNPs). Plasma neutrophil elastase and HNP1-3 were quantified using enzyme-linked immunosorbent assay. Lymph node immunohistochemistry was performed on 3 further patients with TB-IRIS.

Results: There was a significant increase in gene expression of S100A9 (P = 0.002), NLRP12 (P = 0.018), COX-1 (P = 0.025), and IL-10 (P = 0.045) 2 weeks after ART initiation in Ugandan patients with TB-IRIS versus controls, implicating neutrophil recruitment. Patients with IRIS in both cohorts demonstrated increases in blood neutrophil count, plasma HNP and elastase concentrations from ART initiation to week 2. CD62L (L-selectin) expression on neutrophils increased over 4 weeks in South African controls whereas patients with IRIS demonstrated the opposite. Intense staining for the neutrophil marker CD15 and IL-10 was seen in necrotic areas of the lymph nodes of the patients with TB-IRIS.

Conclusions: Neutrophils in TB-IRIS are activated, recruited to sites of disease, and release granule contents, contributing to pathology.

Key Words: tuberculosis, HIV-1, neutrophils, immune reconstitution inflammatory syndrome, IRIS

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INTRODUCTION

When patients with HIV-associated tuberculosis (TB) begin antiretroviral therapy (ART), approximately 18% develop TB-associated immune reconstitution inflammatory
syndrome (TB-IRIS).\textsuperscript{1} TB-IRIS is an exaggerated immune response to \textit{M. tuberculosis} antigens associated with reconstitution of the immune system. It is characterized by excessive inflammatory responses and deterioration in clinical status.\textsuperscript{1,2}

According to the International Network for the Study of HIV-associated IRIS (INSHI) case definitions, 2 forms of TB-IRIS exist: “paradoxical” (clinical worsening of a patient on TB treatment before starting ART) and “unmasking” (undiagnosed TB becoming apparent after starting ART).\textsuperscript{3}

TB-IRIS has been associated with perturbations in both the adaptive and innate immune systems.\textsuperscript{4,5} These include increased secretion of neutrophil-associated mediators such as S100A8/A9 and matrix metalloproteinases,\textsuperscript{6–8} perforin and granzyme B by CD4\textsuperscript{+} T cells,\textsuperscript{9} higher expression and imbalance of C1Q and C1-inhibitor (complement system),\textsuperscript{10} activation of monocytes,\textsuperscript{11} inflammasome and Toll-like receptor signaling,\textsuperscript{12,13} as well as elevated chemokine and cytokine production\textsuperscript{14–16} with a particular role for the IL-10 family.\textsuperscript{17} Although rapid changes in CD4\textsuperscript{+} T-cell count have long been associated with all forms of IRIS, recent research has focused on these latter phenomena of inflammasome activation and release of soluble mediators from innate cells.\textsuperscript{4,12} However, the clinical syndromes associated with TB-IRIS, especially suppurative lymphadenitis and abscess formation, implicate neutrophils as critical effector cells mobilized by these inflammatory signals.

To gain further understanding into the biology of TB-IRIS, we recruited and prospectively followed patients with HIV-associated TB (HIV+TB+) at risk of developing IRIS at 2 clinical sites, in Uganda and South Africa. First, we conducted an assessment of gene expression in putative pathways. On the basis of previous research summarized above, we chose to study the T-cell receptor, cytokine genes including the IL-10 pathway,\textsuperscript{17} and the inflammasome.\textsuperscript{12,13} Subsequently, in a separate cohort, we performed functional assays chosen on the basis of genes that were overexpressed in patients with IRIS versus controls; these experiments focused on neutrophils which, although implicated,\textsuperscript{6} have not been extensively studied before in TB-IRIS.

\section*{MATERIALS AND METHODS}

\subsection*{Patient Recruitment and Study Visits}

\textbf{Cohort 1}

Patients with a confirmed diagnosis of both HIV and TB, on TB treatment [for a median (IQR) of 40 (24–59) days] and who were eligible for ART initiation according to the July 2008 Ugandan National Treatment Guidelines (CD4 count <250 cells/\muL), were recruited in 2009 at Mulago National Tuberculosis and Leprosy Clinic and the Infectious Diseases Institute in Kampala for gene expression studies, as previously described\textsuperscript{18}; see Supplemental Digital Content Table 1, http://links.lww.com/QAI/B91. Patients were reviewed at week 0 (before ART initiation), week 2, and months 1–12 (after ART initiation). Patients who developed TB-IRIS (cases) were defined according to the INSHI clinical case definitions\textsuperscript{3} and were matched by age (<10 years difference between patients), CD4 cell count before ART initiation [mean (SD) difference, 5.3 (6.8) cells/\muL], and sex with those who did not develop TB-IRIS (non-IRIS controls). Sampling at the IRIS time point was performed before patients received corticosteroids. All patients provided written informed consent. The Uganda National Council of Science and Technology, Makerere Faculty of Medicine Ethics Committee (IRB-Makerere-05_2007), Infectious Disease Scientific Review Committee, University of Antwerp Ethics Committee, and the Institute of Tropical Medicine, Antwerp, Belgium (CME_UZA_7/29/157) approved the study.

\textbf{Cohort 2}

Recruitment of patients for neutrophil studies took place in Cape Town, South Africa as part of the longitudinal tissue destruction in tuberculosis 2 study (Supplemental Digital Content Table 1, http://links.lww.com/QAI/B91). Patients were recruited in 2013 at Ubuntu clinic, a primary care HIV treatment clinic in site B, Khayelitsha. HIV-infected patients at high risk of developing TB-IRIS (CD4 count <200 cells/\muL at enrollment) were followed up during anti-TB treatment and initiation of ART until 12 weeks of ART. Samples for neutrophil studies were collected at ART initiation (week 0), week 2, and week 4 of ART. TB-IRIS diagnosis was made retrospectively after week 12 by a consensus panel using the INSHI case definition; controls (non-IRIS) were those patients who were also sampled at ART initiation and week 2/week 4 follow-up visits but did not develop the syndrome.\textsuperscript{3} At the IRIS/week 2 time point, 2 TB-IRIS and 1 non-IRIS control were receiving corticosteroids. Ethical approval was obtained from the Faculty of Health Sciences Human Research Ethics Committee, University of Cape Town (HREC REF: 516/2011); all patients provided written informed consent.

Samples for detailed analysis were available from 34 patients in cohort 1 (17 cases and 17 controls) and 29 patients in cohort 2 (18 cases and 11 controls). Supplemental Digital Content Figure 1, http://links.lww.com/QAI/B91 summarizes the study design.

\subsection*{Sample Collection and Processing}

For cohort 1, venous blood (30–40 mL) was collected in EDTA tubes (BD Pharmingen, Franklin Lakes, NJ) at week 0 and week 2 after initiation of ART. Peripheral blood mononuclear cells (PBMCs) were isolated by Ficoll-Hypaque gradient centrifugation and cryopreserved for further processing (see below). For cohort 2, blood samples (30–40 mL) were collected in sodium heparin vacutainers (BD Pharmingen) at weeks 0, 2, and 4 after initiation of ART and were processed for plasma generation within 2 hours of collection; an aliquot (1 mL) of blood was removed for functional assays as described below.

\subsection*{nCounter Gene Expression Analysis}

RNA was extracted from PBMC using standard techniques (Supplemental Digital Content Methods, http://links.lww.
Determination of Neutrophil Activation and Degranulation

We investigated neutrophil activation in whole blood by flow cytometry, measuring cell surface expression of CD11b, CD16, CD62L, CD66a,c,e\(^{19}\), and IL-8RA. An aliquot of whole blood was stained on ice with CD11b-PE-Cy7, CD16-APC-H7, CD62L-FITC, CD66a,c.e-PE, IL-8 RA-APC (BD Pharmingen), and viability dye (eFluor 450; eBiosciences, San Diego, CA or ViViD; Invitrogen, Carlsbad, CA). After washing, the stained sample was fixed in 2% paraformaldehyde and acquired on a Becton Dickinson Fortessa flow cytometer (BD Biosciences). Data analysis was performed with FlowJo software (FlowJo 10.1r5; Tree Star, Ashland, OR) using the gating strategy in Supplemental Digital Content Figure 2, http://links.lww.com/QAI/B91.

Immunohistochemistry Staining of Lymph Nodes

Patient selection, lymph node (LN) preparation, and immunohistochemistry were performed as previously described\(^{20}\) and summarized in Supplemental Digital Content Methods, http://links.lww.com/QAI/B91.

Statistical Analysis

Comparison between 2 groups was performed using \( t \) tests (unpaired for IRIS versus non-IRIS comparisons or paired for within-group comparisons between baseline and week 2), Mann–Whitney \( U \) test or Wilcoxon test for continuous variables, and Fisher exact tests for categorical variables. Statistics were performed using GraphPad Prism Version 7.0 (La Jolla, CA) and Quilcore Omics Explorer version 3.2. (Lund, Sweden) Significance was inferred below a 2-tailed \( P \) value of 0.05.

Gene expression analysis to identify discriminating transcripts between the groups [based on \( P \) value <0.05 and q value (false discovery rate–adjusted \( P \) value) <0.1] was performed using Quilcore Omics Explorer and displayed on a heatmap. The IRIS (pink) and non-IRIS (blue) patients (columns) and genes (rows) were ordered using principal component analysis (PCA) and R statistic, respectively. Gene expression at the week 2 time point on the heatmap was classified as high or low (relative to the entire cohort) if colored red and green, respectively. A PCA plot, with the projection score and variance filtering set at 0.38 and 0.43, respectively, was used to detect strong signals within the data on gene transcript abundance. PCA identifies the major vectors (“components”) which differentiate multiparameter data sets. The genes were colored according to their R statistic with green and red if higher in non-IRIS controls or IRIS patients, respectively, and the distance between individual genes reflects their correlation coefficient.

RESULTS

Patient Characteristics

Supplemental Digital Content Table 1, http://links.lww.com/QAI/B91 summarizes demographic and basic laboratory data for both cohorts. At ART initiation, there were no statistical differences in patient characteristics between those who subsequently developed IRIS and those who did not. The median (IQR) time to IRIS presentation across both studies was 14 (10–15) days.

RNA Analysis Reveals Higher Expression of Genes Implicated in Neutrophil Inflammation in Patients With TB-IRIS Compared With Controls

We used NanoString nCounter technology to ascertain gene expression in PBMC of patients with IRIS and non-IRIS patients at the IRIS timepoint (median of 14 days) or after 2 weeks of ART in controls. The nCounter gene expression values obtained were log2 transformed before analysis to normalize data as per standard transcriptomic analytical pathways; a false discovery rate (q value) of 0.1 was applied to account for multiple comparisons. A heatmap to visualize the pattern of transcript abundance in patients with IRIS and non-IRIS controls revealed over 70 discriminating transcripts with modest clustering of patients with IRIS (pink) and non-IRIS controls (blue); there was generally lower gene expression (green) in the patients with IRIS compared with the non-IRIS controls (Fig. 1A). On the contrary, cyclooxygenase-1 (COX-1), interleukin-10 (IL-10), nucleotide-binding domain, leucine-rich repeat containing receptor (NLR) family pyrin domain containing 12 (NLRP12/Pypaf-7), and S100 calcium-binding protein A9 (S100A9) were significantly more abundant in the patients with IRIS than in the non-IRIS controls at 2 weeks of ART.

PCA was then used to detect correlation patterns within the discriminating transcripts. The 4 genes (COX-1, \( \delta = 0.96, fc = 1.9, R = 0.38, P = 0.052, q = 0.051; \) IL-10, \( \delta = 0.75, fc = 1.7, R = 0.35, P = 0.045, q = 0.077; \) NLRP12, \( \delta = 1.27, fc = 2.4, R = 0.40, P = 0.018, q = 0.077\) were shown in Supplemental Digital Content Table 2, http://links.lww.com/QAI/B91. Probeset sequences for the gene sets of interest (T-cell receptors, the inflammasome, IL-10 pathway, and cytokines; 148 genes in total) are shown in Supplemental Digital Content Table 2, http://links.lww.com/QAI/B91.
and S100A9, which were more abundant in patients with IRIS versus non-IRIS controls clearly correlated with each other and separated from the other transcripts (Fig. 1B).

Next, we quantitatively analyzed these 4 transcripts using the log2 transformed nCounter gene expression values. As shown in Supplemental Digital Content Figure 3, http://links.lww.com/QAI/B91, S100A9 expression significantly increased at the 2-week time point in the patients with IRIS versus non-IRIS controls clearly correlated with each other and separated from the other transcripts (Fig. 1B).

TB-IRIS Is Characterized by Neutrophilia

The most upregulated gene in TB-IRIS identified in our expression analysis was S100A9, which is implicated in neutrophil accumulation in TB. Similarly, NLRP12 (Pypaf-7) is crucial for neutrophil recruitment in other models of infection, including to the lungs, whereas (among its other actions) COX-1 generates eicosanoids, which activate neutrophils. We have also shown that neutrophil markers strongly colocalise with IL-10 in human tuberculous granulomas. Our gene expression data, therefore, suggested a role for neutrophils in TB-IRIS pathogenesis and we examined this in another patient cohort, subsequently recruited in Cape Town.
The patients with IRIS in both cohorts demonstrated an increase in peripheral neutrophil counts from ART initiation to the IRIS time point/week 2 (cohort 1 median (IQR) 1.77 (1.04–2.37) × 10^9/L to 2.91 (2.29–5.56) × 10^9/L, \( P = 0.049 \), Figure 2A; and cohort 2 median (IQR) 2.45 (1.48–4.00) × 10^9/L to 5.00 (3.35–7.23) × 10^9/L, \( P = 0.001 \), Figure 2B). There were no changes in non-IRIS controls from ART initiation to 2 weeks. At 2 weeks, patients with IRIS in cohort 1 had significantly higher neutrophil counts versus the controls [median (IQR) 2.91 (2.29–5.56) × 10^9/L and median (IQR) 1.70 (0.97–2.52) × 10^9/L, respectively, \( P = 0.003 \), Figure 2A]

There were no differences between patients with IRIS and controls’ total lymphocyte or monocyte counts at either baseline or at the 2-week/IRIS time point.

Patients With TB-IRIS Demonstrate Activation of Neutrophils, as Defined by Surface Marker Expression

Neutrophil cell surface activation markers (CD11b, CD16, CD62L, and CD66a,c,e) were analyzed in whole blood from a subset of patients in cohort 2 (n = 6 per group) using flow cytometry. There was a significant linear trend toward decreased expression of CD62L, as defined by median fluorescence intensity, on the neutrophils of patients with TB-IRIS over the first 4 weeks from ART initiation (\( P = 0.014 \)), with a significant difference between neutrophil CD62L expression at ART initiation (mean, 3881; SD, 2746) versus 4 weeks (mean, 1229; SD, 483; \( P = 0.042 \); Fig. 3A). Significantly higher expression of CD62L was observed in non-IRIS controls (mean, 3422; SD, 1196) compared with patients with TB-IRIS (mean, 1269; SD, 483; \( P = 0.005 \);
Fig. 3A) at week 4, consistent with significantly increased CD62L expression on non-IRIS controls’ neutrophils from ART initiation (mean, 1596; SD, 427) to 2 weeks (mean, 2387; SD, 517; \( P = 0.003 \)) and further to 4 weeks (mean, 3422; SD, 1196; \( P = 0.009 \); Fig. 3A). Supplemental Digital Content Figure 2B, http://links.lww.com/QAI/B91 presents representative CD62L MFI at the week 2/IRIS time point.

A similar pattern was seen for CD16 expression (Fig. 3B), although comparisons did not reach statistical significance. Median fluorescence intensity of CD11b decreased in the control group from ART initiation (mean, 12,130; SD, 4253) to week 4 (mean, 5562; SD, 2584; \( P = 0.047 \); Fig. 3C) but no difference was seen in the IRIS group. No differences were seen in CD66a,c,e expression (Fig. 3D) or in IL-8 RA (data not shown).

**Patients With TB-IRIS Exhibit Increased Neutrophil Elastase and HNP 1–3 Plasma Concentrations**

Neutrophil elastase is implicated in inflammation and tissue damage,\(^{25}\) and we measured this marker in plasma samples from cohort 2. Neutrophil elastase concentration increased significantly in patients with TB-IRIS between ART initiation (median 154 ng/mL; IQR, 122.5–191.3) and week 2 (median 274 ng/mL; IQR, 228–324; \( P = 0.0004 \); Fig. 4A). At 2 weeks after ART initiation, there was a significantly higher plasma neutrophil elastase concentration in patients with TB-IRIS compared with non-IRIS controls (median, 274 ng/mL; IQR, 228–324 versus median, 175 ng/mL; IQR, 119–253 \( P = 0.005 \); Fig. 4A).

Analysis of plasma HNP 1–3 concentrations in cohort 2 revealed an increase in patients with TB-IRIS from ART initiation (median, 0 pg/mL; IQR, 0–1775) to the week-2 time point (median, 2675 pg/mL; IQR, 990–11,353; \( P = 0.005 \); Fig. 4B). In cohort 1, HNP1-3 concentrations also increased from week 0 (median, 7153 pg/mL; IQR, 5998–8896) to week 2 (median, 13,821 pg/mL; IQR, 7271–22,975; \( P = 0.001 \)), when they were higher compared with controls (median, 7510 pg/mL; IQR, 6007–8751; \( P = 0.038 \); Fig. 4C).

Analysis of a wider cohort recruited identically in Uganda confirmed significant differences in HNP concentration between patients with TB-IRIS and non-IRIS controls at the IRIS time point/week 2, with resolution of these differences by later time points (Supplemental Digital Content Figure 4, http://links.lww.com/QAI/B91).

**LN Granulomas From Patients With IRIS Show Significant Neutrophil Infiltration and IL-10 Production**

We proceeded to characterize neutrophil infiltration and accumulation in LNs of patients with TB-IRIS in situ using immunohistochemistry. There was intense staining in the center of the biopsies for the neutrophil marker CD15, correlating with areas of significant necrosis (Fig. 5). LNs from patients with TB-IRIS also stained strongly for IL-10, largely correlating with neutrophils, as previously shown.\(^{20}\)
DISCUSSION

TB-IRIS immunopathogenesis remains incompletely defined and a lack of predictive markers makes its diagnosis and treatment complex. Given the temporal association of IRIS with reconstitution of CD4+ T lymphocyte numbers on ART, many studies have focused on Th1 cells. However, TB-IRIS is not explained simply by a change in CD4 numbers, and innate cells are also implicated in the syndrome. Neutrophils are increasingly recognized in TB pathology, as we have described in TB-meningitis IRIS, but they had not previously been studied in this detail.

We recruited patients with HIV+TB+ at risk of developing IRIS (cohort 1) and investigated transcript abundance of genes relating to inflammasome, T-cell receptor, cytokines, and their receptors. The gene transcripts that were most abundant in patients with IRIS versus non-IRIS controls, and clearly discriminatory on a PCA plot, were S100A9, IL-10, NLRP12, and COX-1. Increased expression of inflammasome and neutrophil-associated genes in TB-IRIS is consistent with previous results, but the lower abundance of TCR-associated genes in patients with TB-IRIS was unexpected and deserves further analysis. This may reflect poor reconstitution of normal T-cell function in TB-IRIS and again supports the concept that the phenomenon is driven by innate inflammation without an orchestrated acquired immune response.

Among the more abundant transcripts, S100A9 contributes to inflammation in TB because of its role in neutrophil recruitment and it has been proposed as a promising biomarker for TB diagnosis. NLRP12 also plays an important role in neutrophil recruitment. We have reported increased levels of the IL-10 cytokine family in IRIS and observed significant IL-10 staining in tuberculous granulomas where it associates with neutrophil markers and necrosis. The source of IL-10 in TB-IRIS remains unclear, with conflicting data on whether regulatory T-cell populations are expanded (reviewed in Ref. 4). Again, it may be that innate cells are responsible for the production of immunosuppressive cytokines. Gene expression data, therefore, suggested a role of neutrophils in the development of...
TB-IRIS and we recruited a further cohort to perform neutrophil functional assays.

In both cohorts, we first demonstrated that patients meeting INSHI criteria for IRIS exhibited an increase in neutrophil count from ART initiation. We observed that neutrophils accumulate intensely at sites of disease in TB-IRIS and associate with areas of necrosis. The neutrophils of the patients with IRIS were activated, shedding their CD62L/L-selectin over time with a significant drop from ART initiation to 4 weeks (despite the initiation of corticosteroids in 3 patients); the reverse pattern being observed in controls. A similar trend to CD62L was seen for CD16. We have previously shown that at ART initiation, neutrophils in antiretroviral-naive HIV-infected patients are activated, rapidly undergo cell death, and their ability to kill M. tuberculosis is impaired compared with HIV-uninfected controls. Our data confirms that abnormal activation is reversed on ART in patients with an uncomplicated clinical course (undergoing protective immune reconstitution), whereas in IRIS, the neutrophil dysfunction becomes exaggerated (these patients undergo pathogenic immune reconstitution).

We did not see differences between the groups in other activation markers, including CD11b and CD66a,c,e. However, loss of CD16 and CD62L occurs preferentially as activation markers, including CD11b and CD66a,c,e. How-ever, because peripheral blood does exhibit significant perturbations in TB-IRIS, is easily accessible for serial measurements, and contains many components of both the innate and acquired immune systems, we believe that analysis of this compartment is informative.

In conclusion, our data suggest that TB-IRIS is characterized by aberrant immunological recovery with inflammasome activation and neutrophil recruitment instead of reconstitution of normal T-cell receptor function. Within the context of local and systemic inflammation, recruited neutrophils are activated, are likely to undergo rapid cell death, and will release cytotoxic granule contents. This drives tissue damage and further inflammation, paradoxically associated with immunosuppressive IL-10 release, which may compromise host control of any remaining viable mycobacteria. Because neutrophils are likely to be key effector cells mediating pathological damage in TB-IRIS, it seems logical to consider host-directed therapies to reduce neutrophil recruitment (eg, CXCR2 inhibitors39 and anti-C5a inhibitors40) or to promote neutrophil apoptosis (eg, statins31); however, these questions require further research.

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