In this paper we investigate the impact of using a polarization modulator in The Short Wavelength Instrument for the Polarization Explorer (SWIPE) of the large scale polarization explorer (LSPE). The experiment is optimized to measure the linear polarization of the cosmic microwave background at large angular scales during a circumpolar long-duration stratospheric balloon mission, and uses multi-mode bolometers cooled to .3 K. The 330 detectors cover three bands at 140, 220, and 240 GHz. Polarimetry is achieved by means of a large rotating half-wave plate (HWP) and a single wire-grid polarizer in front of the arrays. The polarization modulator is the first polarization-active component of the optical chain, reducing significantly the effect of instrumental polarization. A trade-off study comparing stepped versus spinning HWPs drives the choice towards the second. Modulating the cosmic microwave background polarization signal at four times the spin frequency moves it away from 1/f noise from the detectors and the residual atmosphere. The HWP is cooled to 1.6 K to reduce the background on the detectors. Furthermore, its polarized emission combined with the emission of the polarizer produces spurious signals modulated at 2f and 4f. The 4f component is synchronous with the signal of interest and has to characterized to be removed from cosmological data.

KEYWORDS

cosmic microwave background – cosmology – half-wave plate – polarimetry – systematics

1 INTRODUCTION

One of the most interesting goals in cosmology nowadays is the detection of the primordial curl component (B-mode) of the cosmic microwave background (CMB) polarization. A detection of B-modes at large angular scales would strongly reinforce the evidence that cosmological inflation occurred (see, e.g., Baumann & Peiris 2009), since this peculiar polarization pattern is produced by gravitational waves generated by inflation in the early Universe.

Detection of this tiny signal requires wide field-of-view millimeter-wave polarimeters, with large format arrays of detectors. Technologies replicating a single pixel in a very large low-power array, compatible with the cooling power of current cryogenic systems, are transition edge sensor (TES) bolometers (see, e.g., Ade et al. 2015) and kinetic inductance detectors (KIDs) (see, e.g., McCarrick et al. 2018; A. Paiella et al. 2019).

The magnitude of the gravitational-wave B-mode signal is known to be less than 1 μK rms. Detecting such a small signal from the ground or from a stratospheric balloon is a challenge because of variable atmospheric transmission and noise. 1/f noise is particularly troublesome. For this reason, rapid modulation of linear polarization by a half-wave plate (HWP) is one of the most promising modulation techniques to reduce the effects of atmospheric and instrument fluctuations. This is especially true for instruments with large optical throughput and large numbers of pixels. One of the main advantages
of this approach consists in the rotation of the polarized component of the radiation at twice the rotation frequency, which is picked up in the detector timestreams modulated at four times the mechanical rotation frequency. On the other hand, most of the spurious signals (Essinger-Hileman et al. 2016) are modulated at $1f, 2f, and 4f$, so part of the systematic effects are efficiently removed by spectral filtering. Another advantage of using the HWP consists in the ability to make the polarimeter broadband with a multi-plate HWP design (Pisano et al. 2014) or with optimized meta-material meshes (Pisano et al. 2008).

Thanks to its continuous polarization modulator, the long-duration balloon SWIPE-LSPE (de Bernardis et al. 2012) will map the CMB polarization at large angular scales, with a wide sky coverage ($\sim 25\%$), to improve the limit on the ratio of tensor to scalar perturbation amplitudes down to $r = 0.1$.

After a brief overview of the short wavelength instrument for the polarization explorer (SWIPE) instrument, in this paper we present the motivation for the choice of the polarization modulation strategy and describe its implementation. Because of the requirements of cryogenic temperature and continuous rotation, we selected a superconducting magnetic bearing (SMB) (Johnson et al. 2017; Matsumura et al. 2016) as the technology to spin the HWP and to modulate the polarized signal at a sufficiently high frequency (order of few hertz). An innovative frictionless clamp/release device (Columbro et al. 2018), based on electromagnetic actuators, keeps the rotor in position at room temperature and releases it below the superconductive transition temperature when magnetic levitation works properly.

### 2 SHORT WAVELENGTH INSTRUMENT FOR THE POLARIZATION EXPLORER

The large-scale polarization explorer (LSPE) (Aiola et al. 2012) is an experiment designed to measure the polarization of the CMB at large angular scales and to constrain the B-modes produced by tensor perturbations. LSPE is a joint experiment: STRIP (a low-frequency ground-based telescope) and SWIPE (a high-frequency balloon-borne telescope), which will provide high-precision complementary measurements of CMB polarization in the range 44–240 GHz.

SWIPE is a balloon-borne telescope flown on a stratospheric balloon, above most of the Earth’s atmosphere. The payload will fly in a circumpolar long duration mission ($\sim 15$ days long) during the polar night. The balloon will be launched from the Longyearbyen airport (Svalbard Islands, 78°N latitude). The instrument will spin in azimuth, observing a large fraction of the northern sky ($\sim 25\%$) using the Earth as a giant solar shield.

The SWIPE optical system consists in a Stokes polarimeter, cooled cryogenically in a large aluminum cryostat suitable for balloon-borne photometry (Masi et al. 1999) to enhance its performance. A stiff structure made of fiberglass tubes supports the toroidal $^4\text{He}$ tank, which is surrounded by two vapor-cooled shields, at 170 and 40 K. The total volume of the $^4\text{He}$ tank is approximately 250 L, the outer diameter of the system is $\sim 140$ cm, and the height is $\sim 160$ cm. A $^3\text{He}$ sorption fridge is used to cool down the detector arrays to a temperature of $0.3$ K (Figure 1).

A sequence of three thermal mesh filters at 170, 40, and 4 K, above the lens, reflects away the large optical load from the cryostat window and the sky. The rotating HWP (500 mm diameter) is the next optical component, followed by a polyethylene lens (500 mm diameter, 800 mm focal length). The converging beam encounters a large photo-lithographed wire grid (500 mm in diameter), which acts as a polarization analyzer. The wire grid is tilted at $45^\circ$ and splits the converging beam into two curved multifrequency focal planes.

The baseline detectors for the SWIPE instrument are spider-web bolometers with TES thermistors (Gualtieri et al. 2016) and a large absorber area to couple efficiently to a multimode beam. The spider-web absorber was designed to minimize its cross-section for the incident cosmic rays present in the stratosphere, which are potentially very dangerous for CMB measurements.

The horns designed for the SWIPE instrument couple efficiently with radiation propagating in free space, and the dimensions of the circular waveguide allow the propagation of multimode beams of radiation (Legg et al. 2016). Moreover, they ensure high suppression of stray radiation through the incoherent superposition of the propagating modes at large angles. Each focal plane has 163 horns, for a total...
of 326 multimode pixels divided in the three frequency bands: 140 GHz (width 30%), 220 GHz (width 5%), and 240 GHz (width 5%).

## 2.1 Polarization modulation

In order to estimate the Stokes parameters $Q$ and $U$ with a single detector, in presence of an overwhelming unpolarized background and important $1/f$ noise, the polarized signal has to be modulated. The sky rotates by several degrees during a long-duration polar flight with an observing strategy similar to SWIPE (i.e., full azimuth scan at constant elevation, at $\sim 2$ rpm): this provides a first level of modulation. A high-pass filter at 30 mHz can applied to remove slow drifts and part of the $1/f$. Because of the slow modulation, however, significant low-frequency noise remains. Moreover, turning a measurement of $Q$ completely into a measurement of $U$ requires a sky rotation of $45^\circ$, which cannot be achieved with sky rotation alone.

So there is room to improve significantly by adopting a further level of modulation. Rotating the entire instrument about its optical axis is one way to allow the same detector to measure both $Q$ and $U$. However, while the desired polarization sensitivity rotates with the instrument, many undesired instrumental systematic effects arise. To improve the filter efficiency, the simplest way is to rotate one element of the Stokes polarimeter with respect to the rest. If we choose to rotate the polarizer at a frequency $f$, the intensity measured by the detector will be modulated at twice the mechanical frequency, while if we rotate the HWP with respect to the polarizer, we obtain

$$I(t) = \frac{1}{2} (T + Q \cos 4\omega t + U \sin 4\omega t).$$

The HWP can be stepped or spun. The former is easier to implement, but produces a slow modulation. The latter is more difficult to implement because of the constraints on the dissipated power in the cryogenic system, but a mechanical spin frequency of $\sim 1$ Hz allows moving the signal of interest to $\sim 4$ Hz. If the modulation frequency is well above the $1/f$ knee of the noise power spectrum, white-noise sensitivity can be achieved on long timescales in polarization. Continuous HWP rotation for polarization modulation is thus very efficient to suppress $1/f$ noise. The sky data is extracted via demodulation during data analysis, knowing the position of the HWP with the same sampling rate of the detectors.

A first analysis of the effectiveness of this strategy in the case of SWIPE has been described by Buzzelli et al. (2018). Here we perform an independent analysis, focusing on the efficiency in the removal of atmospheric and instrumental $1/f$ noise and other systematic effects.

In order to test the impact of the HWP on CMB polarization measurements by SWIPE, we use a code able to generate a realistic SWIPE scanning strategy in the presence of a spinning or stepped HWP. The main parameters are reported in Table 1. We complete this software with a map-making algorithm, which collapses data timelines into maps.

We performed noise-free simulations of the observation of the CMB sky through the SWIPE scan strategy to understand the effect of the application of a high-pass filter on the measured data to reconstruct the input sky map. The high-pass filter in fact is used to remove the contribution of $1/f$ noise, but it also removes a fraction of the CMB sky signal.

As a first indicator, we compute the $SD$ of the difference between the output and the input maps. This provides an estimate of the quality of the reconstructed map. Figure 2 shows the results as a function of the cut-on frequency of the high-pass filter (filter slope $= 1$ mHz$^{-1}$). The stepped HWP case is represented by dotted lines, while the continuously spinning HWP case is represented by solid lines.

Lower values of the $SD$ correspond to a better reconstruction of the input map. We find that cut-on frequencies higher than 10–20 mHz result in significant differences between the original and the reconstructed maps. The $Q$ and $U$ maps are reconstructed better for the spin case, while the SD of the difference map is smaller by one order of magnitude with respect to the stepped case, for cut-on frequencies $> 30$ mHz.

Since the stepped rotation produces a modulated signal at frequencies $< 10$ mHz, the result above is already a strong indication in favor of the continuous spinning case.

### Table 1

| Strategy | HWP rotation (Hz) | Payload rotation (rpm) | Mission duration (days) |
|----------|------------------|------------------------|------------------------|
| Step     | $1.4 \times 10^{-3}$ | 2.0                    | 14                     |
| Spin     | 1.0               | 2.0                    | 14                     |

HWP = half-wave plate.
The SD indicator does not provide any information about the angular scales that are reconstructed better or worse. As a second, more informative indicator, we calculated the angular power spectra $C_l$. We analyzed two extreme cases in opposite directions, with cut-ons at 10 and 500 mHz. Panel (a) of Figure 3 shows the reconstructed temperature power spectra (TT), compared to the power spectrum of the input map (green). We find that the TT signal is reconstructed well only for the lower cut frequency case, for both strategies. Panel (b) of Figure 3 shows the E-modes power spectra (EE): we find that with a stepped HWP we are able to reconstruct the spectrum if and only if the cut frequency is very low, whereas for the spinning case we can reconstruct the polarized signal regardless of the cut frequency.

$\Delta T = \int \frac{dB(v,T)\epsilon_f(v)A\Omega d\nu}{\int \frac{\partial B(v,T)}{\partial T}\epsilon_f(v)A\Omega d\nu}$. (3)

Small differences in the absorption coefficient ($\sim 10^{-3}$) of the HWP produce a polarized emission; this radiation is comparable to or higher than $f_c$, a lot of noise will contaminate our data. We conclude that a continuously spinning HWP is better suited to this kind of experiment, allowing us to filter out very efficiently $1/f$ noise. In fact, in the continuously spinning HWP case, the cosmological signal is contained in a narrow band around $4f_{\text{spin}}$, allowing the use of a band-pass filter to reject all the noise outside this band.

3 | HWP PROPERTIES

3.1 | Temperature

While a continuously rotating HWP is the best solution from the point of view of $1/f$ noise rejection, the choice of the operating temperature is not trivial. The spurious signals produced by the HWP, because of non-idealities in the HWP itself and in the optical system of the polarimeter, is due at least in part to the emissions of the HWP and of the polarizer, which decrease with their temperature. However, the lower the temperature, the weaker the cooling power of the cryogenic system. So we need a trade-off, based on quantitative estimates.

Each detector sees the HWP as a gray body with emissivity $\epsilon_{\text{HWP}}(v)$. The power load on the focal plane is

$$P(v, T) = \int B(v, T)\epsilon_{\text{HWP}}(v)\epsilon_f(v)A\Omega d\nu,$$

where $B(v, T)$ is the blackbody spectrum and $\epsilon_f(v)$ the transmission of the band-pass filters mounted on each detector (Figure 4). Figure 5 shows the power load produced by the HWP for each band as a function of the HWP temperature. The colored dash lines correspond to the background power produced by the two main contributions: the sky and the window (9 pW at 140 GHz, 4 pW at 220 GHz, and 13 pW at 240 GHz). The contribution of the HWP emission on the total power load should be as small as possible. At $\sim 10$ K, the power load produced by the HWP is comparable to the background power, while at 4 K or less, the contribution becomes negligible.

3.2 | Spurious signals

The most important spurious signals in a Stokes polarimeter of this kind comes from polarized signals produced internally, which can be confused with the cosmological signal modulated at $4f$ (Salatino et al. 2011). For a tensor to scalar ratio $r = .1$, the expected detected signal due to the CMB only has a typical (rms) amplitude of about $\sim 7$ $\mu$K. To convert the power emitted by each optical element into equivalent CMB fluctuations, we use the following relation:

$\Delta T = \int \frac{B(v, T)\epsilon_f(v)A\Omega d\nu}{\int \frac{\partial B(v, T)}{\partial T}\epsilon_f(v)A\Omega d\nu}$. (3)
and transmitted by the polarizer itself, so that it is modulated at $4f$. If we assume 1.6 K as the temperature of the polarizer (as in SWIPE), the signals of all three bands correspond to a few $\mu$K, the same order of magnitude of the cosmological signal. Instead of the previous $4f$ signal, this one is simpler to characterize because the HWP reflectivity is basically independent of the temperature. Otherwise, this spurious signal could be reduced dramatically by cooling down the polarizer below 1 K (i.e., connecting it at .3 K. This is not always possible because of the limited cooling power of sub-K fridges).

4 CONCLUSIONS

In this paper we discussed the importance of using a continuously rotating HWP in the Stokes polarimeter of the SWIPE experiment. We generated a realistic SWIPE scanning strategy in presence of spinning or stepped HWPs and evaluated the ability of reconstructing the input sky map simulating the CMB. The ability of spinning the HWP to reconstruct the input map, despite of the cut frequency of the applied high-pass filter, was demonstrated. This drove the choice to this configuration for SWIPE. So we modulate the cosmological signal at four times the mechanical rotation frequency and move it far away from the $1/f$ noise, which is easily filtered out.

The temperature of the HWP (1.6 K in SWIPE) was selected to minimize the background power on the detector and the effect of spurious signals that arise at $2f$ and $4f$. The magnitude of the latter is also related to the temperature of the polarizer (1.6 K in SWIPE) and has to be characterized carefully to remove it from cosmological sky data, since it is of the same order of magnitude. Note, however, that this spurious signal is not synchronous with the scan of the sky and has to be considered more like an additional source of noise in the measurements.

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