$R_{had}$ at the $B$-factory

K.G.Chetyrkin$^{a,b}$, J.H.Kühn$^a$

$^a$Institut für Theoretische Teilchenphysik
Universität Karlsruhe
Kaiserstr. 12, Postfach 6980
D-76128 Karlsruhe, Germany

$^b$Institute for Nuclear Research
Russian Academy of Sciences
Moscow 117312, Russia

Abstract

A systematic theoretical evaluation of the cross section for hadron production in electron-positron collisions in the energy range just below the $B$ meson threshold is presented which includes charm and bottom mass effects and is accurate to order $\alpha_s^3$. The corresponding measurement in the energy region several $GeV$ above the threshold is also discussed.
The hadronic decay rate of the Z boson provides one of the most accurate values for the strong coupling constant \( \alpha_s \). This measurement is free from uncertainties and ambiguities which are inherent in the precise determination of \( \alpha_s \) from shape variables like thrust distribution, energy-energy correlation or jet multiplicities and which originate from the hadronization models, ambiguities in the jet definition etc. With increasing statistics and precision at LEP the uncertainty in \( \alpha_s \) from this measurement alone can be reduced to \( \pm 0.002 \). It would be highly desirable to test the evolution of the strong coupling as predicted by the beta function through a determination of \( \alpha_s \) from essentially the same observable, however, at lower energy. The region from several GeV above charm threshold (corresponding to the maximal energy of BEPC around 5.0 GeV) to just below the B meson threshold at around 10.5 GeV corresponding to the “off resonance” measurements of CESR seems particularly suited for this purpose. As a consequence of the favorable error propagation, the accuracy in the measurement (compared to 91 GeV) may decrease by factor of about 3 or even 4 at 10 and 5.6 GeV respectively, to achieve comparable precision in \( \Lambda_{QCD} \):

\[
\delta \alpha_s(s) = \frac{\alpha_s^2(s)}{\alpha_s^2(M_Z^2)} \delta \alpha_s(M_Z^2) .
\]

Most of the results derived in [1, 2] for massless quarks are applicable also for the case under consideration. However, two additional complications arise:

(i) Charm quarks effects cannot be ignored completely and should be taken into consideration through an expansion in the ratio \( m_c^2/s \), employing the results of [3, 4] for terms of order \( m_c^2/s \) and \( m_c^4/s^2 \).

(ii) Contributions involving virtual bottom quarks are presented, starting from order \( \alpha_s^2 \). Their contribution depends in a nontrivial manner on \( m_b^2/s \). In order \( \alpha_s^2 \) these can be calculated in closed form and are shown to be small. Estimates for the corresponding contributions of order \( \alpha_s^3 \) indicate that these are under control and can be safely neglected, provided that one works within the correctly defined effective four quark theory.

Through most of this paper the results will be formulated in the \( \overline{\text{MS}} \) scheme [5] for a theory with \( n_f = 4 \) effective flavours and with the corresponding definitions of the coupling constant and the quark mass. The relation to a formulation with \( f = 5 \) appropriate for the measurements above the \( b \bar{b} \) threshold will be given at the end of the paper.

We shall now list the independent contributions and their relative importance. Neglecting for the moment the masses of the charmed quark and a fortiori of the \( u, d \) and \( s \) quarks one predicts in order \( \alpha_s^3 \)

\[
R_{NS} = \sum_{f=u,d,s,c} 3 Q_f^2 \left[ 1 + \frac{\alpha_s}{\pi} + 1.5245 \left( \frac{\alpha_s}{\pi} \right)^2 - 11.52033 \left( \frac{\alpha_s}{\pi} \right)^3 \right]
\]  

(1)
for the nonsinglet contribution \([1, 2]\). The second and the third order coefficients are evaluated with \(n_f = 4\) which means that the bottom quark loops are absent. In order \(\alpha_s^2\) the bottom quark loops can be taken into consideration with their full mass dependence. However, as shown in \([3]\) the leading term of order \(\alpha_s^2/m_b^2\), which has also been calculated in \([4]\) in the framework of an effective \(n_f = 4\) theory, provides a fairly accurate description even up to the very threshold \(s = 4m_b^2\). Hence one has to add a correction term

\[
\delta R_{m_b} = \sum_{f=u,d,s,c} 3Q_f^2 \left( \frac{\alpha_s}{\pi} \right)^2 \frac{m_f^2}{m_b^2} \left[ 44 \frac{s}{675} + \frac{2}{135} \log \frac{m_b^2}{s} \right].
\]

(2)

For the singlet term one obtains \([2]\).

\[
R_S = - \left( \frac{\alpha_s}{\pi} \right)^3 \left( \sum_{u,d,s,c} Q_f \right)^2 1.239 = -0.55091 \left( \frac{\alpha_s}{\pi} \right)^3.
\]

(3)

The bottom quark is absent in this sum. In view of the smallness of the \(\alpha_s^2 s/m_b^2\) correction (even close to the \(b\bar{b}\) threshold!) also all other terms of \(\mathcal{O}(\alpha_s^3)\) from virtual \(b\) quarks are neglected. In the same spirit it is legitimate to use the scale invariant value of the \(b\) quark mass \(m_b = \bar{m}_b(\bar{m}_b^2)\) as defined in the 5 quark theory. Starting from a pole mass of 4.7 GeV and using the results derived in \([8, 9]\) we get \(\bar{m}_b = 4.10\) GeV if \(\alpha_s(5)(M_Z) = 0.120\). (Values of \(m_b = 4.59 \pm 0.04\) GeV \([10]\), \(m_b = 4.72 \pm 0.05\) GeV \([11]\) and \(m_b = 4.7 \pm 0.2\) GeV \([12]\) are currently in use for the pole mass.)

In contrast to the bottom mass the effects of the charmed mass can be incorporated through an expansion in \(m_c^2/s\). Quadratic mass corrections have been calculated \([3]\) up to order \(\alpha_s^3\), quartic mass terms up to order \(\alpha_s^2\) \([4]\). Since \(m_c^2/s\) is in itself a small expansion parameter, the order \(\alpha_s^2 m_c^4/s^2\) terms should be sufficient for the present purpose.

The results for these corrections read

\[
\delta R_{m_c} =
\]

\[
3 Q_c^2 \frac{2\bar{m}_c^2}{s} \frac{\alpha_s}{\pi} \left[ 1 + 9.097\frac{\alpha_s}{\pi} + 53.453 \left( \frac{\alpha_s}{\pi} \right)^2 \right]
- 3 \sum_{f=u,d,s,c} Q_f^2 \frac{m_f^2}{s} \left( \frac{\alpha_s}{\pi} \right)^3 6.476
+ 3 Q_c^2 \frac{m_c^4}{s^2} \left[ -6 - 22\frac{\alpha_s}{\pi} + \left( 141.329 - \frac{25}{6} \ln \left( \frac{m_c^2}{s} \right) \right) \left( \frac{\alpha_s}{\pi} \right)^2 \right]
+ 3 \sum_{f=u,d,s,c} Q_f^2 \frac{m_f^4}{s^2} \left( \frac{\alpha_s}{\pi} \right)^2 \left[ -0.4749 - \ln \left( \frac{m_f^2}{s} \right) \right]
- 3 Q_c^2 \frac{m_c^6}{s^3} \left[ 8 + \frac{16\alpha_s}{27\pi} \left( 6 \ln \left( \frac{m_c^2}{s} \right) + 155 \right) \right],
\]

(4)
where \( n_f = 4 \) has been adopted everywhere. Note that terms of order \( \alpha_s^3 m_c^2/s \) are more important than those of order \( \alpha_s^2 m_b^2/s^2 \) in the whole energy region under consideration. For completeness also \( m_c^6/s^3 \) and \( \alpha_s m_c^6/s^3 \) terms are listed, which, however, are insignificant and will be ignored in the numerical analysis.

The charm quark mass is to be taken as \( m_c = \bar{m}^{(4)}_c(s) \) and is to be evaluated in the 4 flavour theory via the standard RG equation with the initial value \( \bar{m}_c(\bar{m}_c) = 1.11 \) GeV corresponding to a pole mass of 1.46 GeV in the case of \( \alpha_s^{(5)}(M_Z) = 0.120 \).

A similar line of reasoning could be pursued for bottom mass terms in the region several GeV above the B meson threshold. It has been argued in [4, 13] ( see also [14]) that mass effects of order \( \alpha_s \) are very well parametrized by taking leading terms of the expansion in \( m_b^2/s \). This holds true not only in the high energy region but even a few GeV above the threshold. With this motivation in mind the terms of order \( \alpha_s^2 m_b^2/s \) and \( \alpha_s m_b^6/s^3 \) will be included in the formula below. The result is expected to provide a reliable answer for \( \sqrt{s} \) around 15 GeV and perhaps even down to 13 GeV. The corresponding formula reads as follows

\[
\delta R_m = 3(Q_c^2 m_c^2/s + Q_b^2 m_b^2/s)12\frac{\alpha_s^{(5)}}{\pi} \left[ 1 + 8.736\frac{\alpha_s^{(5)}}{\pi} + 45.657 \left( \frac{\alpha_s^{(5)}}{\pi} \right)^2 \right] 
- 3 \sum_{f=d,s,c,b} Q_f^2 (m_c^2/s + m_b^2/s) \left( \frac{\alpha_s^{(5)}}{\pi} \right)^3 6.126 
+ 3 Q_c^2 m_c^4/s^2 \left[ -6 - 22\frac{\alpha_s^{(5)}}{\pi} + \left( 139.488 - \frac{23}{6} \ln(m_c^2/s) + 12 \frac{m_b^2}{m_c^2} \right) \left( \frac{\alpha_s^{(5)}}{\pi} \right)^2 \right] 
+ 3 Q_b^2 m_b^4/s^2 \left[ -6 - 22\frac{\alpha_s^{(5)}}{\pi} + \left( 139.488 - \frac{23}{6} \ln(m_b^2/s) + 12 \frac{m_c^2}{m_b^2} \right) \left( \frac{\alpha_s^{(5)}}{\pi} \right)^2 \right] 
+ 3 \sum_{f=d,s,c,b} Q_f^2 m_f^4/s^2 \left( \frac{\alpha_s^{(5)}}{\pi} \right)^2 \left[ -0.4749 - \ln \left( \frac{m_f^2}{s} \right) \right] 
+ 3 \sum_{f=d,s,c,b} Q_f^2 m_f^4/s^2 \left( \frac{\alpha_s^{(5)}}{\pi} \right)^2 \left[ -0.4749 - \ln \left( \frac{m_f^2}{s} \right) \right] 
- 3 Q_b^2 m_b^6/s^3 \left[ 8 + \frac{16 \alpha_s^{(5)}}{27} \left( 6 \ln(m_b^2/s) + 155 \right) \right].
\]

Above the B meson threshold it is more conveniently to express all quantities for \( n_f = 5 \) theory and thus in [14] all the coupling constant and quark masses are evaluated in the 5 flavour theory at the scale \( \mu = \sqrt{s} \).

The transition from the 4 to 5 flavour theory is performed as follows: The charm mass is naturally defined in the \( n_f = 4 \) theory. In order to obtain the value of \( m_c = \bar{m}^{(5)}_c(s) \) the initial value \( \bar{m}^{(4)}_c(1\text{GeV}) \) is evolved via the \( n_f = 4 \) RG equation to the point \( \mu^2 = m_b^2 \) and then from there up to \( \mu^2 = s \), however, now
with the \( n_f = 5 \) RG equation. The bottom mass, on the other hand, is naturally defined in the \( n_f = 5 \) theory irrespective of the characteristic momentum scale of the problem under consideration. As a numerical value we take the \( \bar{m}_b(s) \) obtained from the scale invariant mass \( \bar{m}_b(\bar{m}_b) \) after running the latter with the help of the \( n_f = 5 \) RG equation.

Finally, \( \alpha_s^{(4)} \) and \( \alpha_s^{(5)} \) are related through the following equation \[13\]

\[
\frac{\alpha_s^{(4)}(\mu)}{\pi} = \frac{\alpha_s^{(5)}(\mu)}{\pi} \left[ 1 + \frac{1}{6} \frac{\alpha_s^{(5)}(\mu)}{\pi} + \left( \frac{x^2}{36} + \frac{11x}{24} + \frac{7}{72} \right) \left( \frac{\alpha_s^{(5)}(\mu)}{\pi} \right)^2 \right], \tag{6}
\]

with \( x = \ln(\bar{m}_b^2(\mu)/\mu^2) \). Given \( \Lambda_{QCD}^{(5)} \), eq. \[13\] is employed to find \( \Lambda_{QCD}^{(4)} \) by setting \( \mu = \bar{m}_b(\bar{m}_b) \).

In tables 1 – 6 the predictions for \( R \) are listed for different values of \( \alpha_s^{(5)}(M_Z) \) together with the values of \( \alpha_s(s) \) and the running masses. (Note that our predictions are presented without QED corrections from the running \( \alpha \) and initial state radiation.) Figure 1 shows the behavior of the ratio \( R(s) \) as a function of energy below and above the bottom threshold, for \( \alpha_s(M_Z) = 0.120, 0.125 \) and 0.130. The light quark \( (u, d, s, c) \) contribution is displayed separately also above 10.5 GeV. It is evident that the predictions from the 4 and 5 flavour theories join smoothly. The additional contribution from the \( b\bar{b} \) channel is presented down to 11.5 GeV, where resonances start to contribute and the perturbative treatment necessarily ceases to apply. Evidently the \( b\bar{b} \) channel is present with full strength down to the resonance region – an important consequence of QCD corrections. From our discussion it should be evident that the theoretical prediction is well under control. Mass effects are small below the \( b\bar{b} \) threshold as well as a few GeV above. An experimental test is of prime importance.

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Table 1: Values of $\Lambda_{\overline{\text{MS}}}^{(5)}$, $\Lambda_{\overline{\text{MS}}}^{(4)}$, $\alpha_s^{(4)}(s)$, $m_c^{(4)}(s)$ and $\bar{m}_b(\bar{m}_b)$ at $\sqrt{s} = 5.0$ GeV for different values of $\alpha_s^{(5)}(M_Z^2)$.

| $\alpha_s^{(5)}(M_Z^2)$ | $\Lambda_{\overline{\text{MS}}}^{(5)}$ | $\Lambda_{\overline{\text{MS}}}^{(4)}$ | $\alpha_s^{(4)}(s)$ | $m_c^{(4)}(s)$ | $\bar{m}_b(\bar{m}_b)$ |
|--------------------------|---------------------------------|---------------------------------|------------------|------------------|------------------|
| 0.1150 | 175 MeV | 246 MeV | 0.202 | 0.824 GeV | 4.16 GeV |
| 0.1175 | 203 MeV | 280 MeV | 0.210 | 0.777 GeV | 4.13 GeV |
| 0.1200 | 233 MeV | 317 MeV | 0.218 | 0.725 GeV | 4.10 GeV |
| 0.1225 | 266 MeV | 357 MeV | 0.227 | 0.666 GeV | 4.07 GeV |
| 0.1250 | 302 MeV | 399 MeV | 0.236 | 0.599 GeV | 4.04 GeV |
| 0.1275 | 341 MeV | 444 MeV | 0.245 | 0.522 GeV | 4.01 GeV |
| 0.1300 | 383 MeV | 493 MeV | 0.255 | 0.433 GeV | 3.98 GeV |

Table 2: Predictions for $R(s)$ at $\sqrt{s} = 5.0$ GeV; the contributions to $\delta R_{m_c}$ are shown separately for every power of the quark mass.

| $\alpha_s^{(5)}(M_Z^2)$ | $R_{NS}$ | $R_S$ | $\delta R_{m_c^2}$ | $\delta R_{m_c^4}$ | $\delta R_{m_b}$ | $R$ |
|--------------------------|--------|--------|------------------|------------------|-----------------|-----|
| 0.1150 | 3.558 | -0.00015 | 0.05 | -0.0066 | 0.0012 | 3.603 |
| 0.1175 | 3.567 | -0.00016 | 0.047 | -0.0052 | 0.0013 | 3.610 |
| 0.1200 | 3.576 | -0.00018 | 0.044 | -0.0040 | 0.0014 | 3.618 |
| 0.1225 | 3.586 | -0.00021 | 0.040 | -0.0028 | 0.0015 | 3.624 |
| 0.1250 | 3.596 | -0.00023 | 0.034 | -0.0018 | 0.0017 | 3.630 |
| 0.1275 | 3.606 | -0.00026 | 0.028 | -0.0011 | 0.0019 | 3.634 |
| 0.1300 | 3.617 | -0.00029 | 0.020 | -0.0005 | 0.0020 | 3.638 |
Table 3: Values of $\Lambda^{(5)}_{\overline{MS}}$, $\Lambda^{(4)}_{\overline{MS}}$, $\alpha^{(4)}_s(s)$, $m_c^{(4)}(s)$ and $\bar{m}_b(\bar{m}_b)$ at $\sqrt{s} = 10.5$ GeV for different values of $\alpha^{(5)}_s(M_Z^2)$.

| $\alpha^{(5)}_s(M_Z^2)$ | $\Lambda^{(5)}_{\overline{MS}}$ | $\Lambda^{(4)}_{\overline{MS}}$ | $\alpha^{(4)}_s(s)$ | $m_c^{(4)}(s)$ | $\bar{m}_b(\bar{m}_b)$ |
|--------------------------|-------------------------------|-------------------------------|--------------------|----------------|------------------|
| 0.1150                   | 175 MeV                       | 246 MeV                       | 0.166              | 0.739 GeV      | 4.16 GeV         |
| 0.1175                   | 203 MeV                       | 280 MeV                       | 0.171              | 0.694 GeV      | 4.13 GeV         |
| 0.1200                   | 233 MeV                       | 317 MeV                       | 0.176              | 0.644 GeV      | 4.10 GeV         |
| 0.1225                   | 266 MeV                       | 357 MeV                       | 0.182              | 0.589 GeV      | 4.07 GeV         |
| 0.1250                   | 302 MeV                       | 399 MeV                       | 0.187              | 0.527 GeV      | 4.04 GeV         |
| 0.1275                   | 341 MeV                       | 444 MeV                       | 0.193              | 0.457 GeV      | 4.01 GeV         |
| 0.1300                   | 383 MeV                       | 493 MeV                       | 0.199              | 0.376 GeV      | 3.98 GeV         |

Table 4: Predictions for $R(s)$ at $\sqrt{s} = 10.5$ GeV; the contributions to $\delta R_{m_c}$ are shown separately for every power of the quark mass.

| $\alpha^{(5)}_s(M_Z^2)$ | $R_{NS}$ | $R_S$ | $\delta R_{m_c}$ | $\delta R_{m_c}^4$ | $\delta R_{m_b}$ | $R$   |
|--------------------------|---------|------|------------------|-------------------|----------------|-------|
| 0.1150                   | 3.518   | -0.000081 | 0.0068          | -0.00022         | 0.0022 | 3.526 |
| 0.1175                   | 3.523   | -0.000089 | 0.0063          | -0.00017         | 0.0024 | 3.532 |
| 0.1200                   | 3.530   | -0.000097 | 0.0057          | -0.00013         | 0.0026 | 3.538 |
| 0.1225                   | 3.536   | -0.00011  | 0.0050          | -0.000088        | 0.0028 | 3.543 |
| 0.1250                   | 3.542   | -0.00012  | 0.0042          | -0.000056        | 0.0030 | 3.549 |
| 0.1275                   | 3.548   | -0.00013  | 0.0033          | -0.000032        | 0.0032 | 3.555 |
| 0.1300                   | 3.555   | -0.00014  | 0.0023          | -0.000015        | 0.0034 | 3.560 |

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Table 5: Values of $\Lambda_{MS}^{(5)}$, $\Lambda_{\overline{MS}}^{(5)}$, $\alpha_s^{(5)}(s)$, $m_c^{(5)}(s)$ and $m_b^{(5)}(s)$ at $\sqrt{s} = 13$ GeV for different values of $\alpha_s^{(5)}(M_Z^2)$.

| $\alpha_s^{(5)}(M_Z)$ | $\Lambda_{MS}^{(5)}$ | $\alpha_s^{(5)}(s)$ | $m_c^{(5)}(s)$ | $m_b^{(5)}(s)$ |
|------------------------|----------------------|----------------------|----------------|----------------|
| 0.1150                 | 175 MeV              | 0.162                | 0.720 GeV      | 3.52 GeV       |
| 0.1175                 | 203 MeV              | 0.167                | 0.675 GeV      | 3.47 GeV       |
| 0.1200                 | 233 MeV              | 0.172                | 0.626 GeV      | 3.42 GeV       |
| 0.1225                 | 266 MeV              | 0.177                | 0.572 GeV      | 3.36 GeV       |
| 0.1250                 | 302 MeV              | 0.183                | 0.511 GeV      | 3.30 GeV       |
| 0.1275                 | 341 MeV              | 0.188                | 0.443 GeV      | 3.23 GeV       |
| 0.1300                 | 383 MeV              | 0.194                | 0.364 GeV      | 3.17 GeV       |

Table 6: Predictions for $R(s)$ at $\sqrt{s} = 13$ GeV; the contributions to $\delta R_m$ are shown separately for every power of the quark masses.

| $\alpha_s^{(5)}(M_Z^2)$ | $R_{NS}$ | $R_S$ | $\delta R_{m^2}$ | $\delta R_{m^4}$ | $\delta R_{m^6}$ | $R$       |
|-------------------------|---------|------|------------------|------------------|------------------|-----------|
| 0.1150                  | 3.863   | -0.000019 | 0.027          | -0.012          | -0.0016         | 3.876     |
| 0.1175                  | 3.869   | -0.000021 | 0.027          | -0.011          | -0.0015         | 3.884     |
| 0.1200                  | 3.875   | -0.000023 | 0.027          | -0.011          | -0.0014         | 3.891     |
| 0.1225                  | 3.882   | -0.000025 | 0.027          | -0.0099         | -0.0013         | 3.898     |
| 0.1250                  | 3.888   | -0.000027 | 0.027          | -0.0092         | -0.0011         | 3.905     |
| 0.1275                  | 3.895   | -0.000030 | 0.027          | -0.0086         | -0.0010         | 3.912     |
| 0.1300                  | 3.902   | -0.000032 | 0.026          | -0.0079         | -0.00091        | 3.919     |
Figure 1: The ratio $R(s)$ below and above the $b$ quark production threshold at 10.5 GeV for $\alpha_s(M_Z) = 0.120, 0.125$ and $0.130$. The contributions from light quarks are displayed separately.