Improving Arabic Diacritization with Regularized Decoding and Adversarial Training

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Abstract

Arabic diacritization is a fundamental task for Arabic language processing. Previous studies have demonstrated that automatically generated knowledge can be helpful to this task. However, these studies regard the auto-generated knowledge instances as gold references, which limits their effectiveness since such knowledge is not always accurate and inferior instances can lead to incorrect predictions. In this paper, we propose to use regularized decoding and adversarial training to appropriately learn from such noisy knowledge for diacritization. Experimental results on two benchmark datasets show that, even with quite flawed auto-generated knowledge, our model can still learn adequate diacritics and outperform all previous studies, on both datasets.

1 Introduction

Modern standard Arabic (MSA) is generally written without diacritics, which poses a challenge to text processing and understanding in downstream applications, such as text-to-speech generation (Drago et al., 2008) and reading comprehension (Hermena et al., 2015). Restoration of such diacritics, known as diacritization, becomes an important task for Arabic natural language processing (NLP). Among different diacritization methods (Pasha et al., 2014; Shahrour et al., 2015; Zitouni et al., 2006; Habash and Rambow, 2007; Darwish et al., 2017), the neural ones (Abandah et al., 2015a; Fadel et al., 2019a,b; Zalmout and Habash, 2019, 2020; Darwish et al., 2020) achieve the best performance due to their better capability in incorporating contextual features. To further improve diacritization, automatically generated knowledge from off-the-shelf toolkits, such as morphological features, parts-of-speech tags, and automatic diacritization results, have been extensively applied to this task (Zitouni et al., 2006; Arabiyat, 2015; Darwish et al., 2017, 2020). However, current models treat such knowledge instances as gold references and always directly concatenate them with input embeddings (Arabiyat, 2015; Darwish et al., 2020), which may lead to inferior results since the knowledge may be inaccurate, especially if the toolkits were trained on data with different criteria.

Diacritization can be performed by character-based sequence labeling (Zitouni et al., 2006; Belinkov and Glass, 2015; Fadel et al., 2019b). We follow this paradigm and propose a neural approach in this paper, using regularized decoding and adversarial training to incorporate auto-generated knowledge (i.e., the diacritization results generated from off-the-shelf toolkits). Specifically, the regularized decoder treats the auto-generated knowledge as separate gold labels and learns to predict them in a separate decoding process, which is then used to update the main model. The adversarial training is applied to the encoding process by determining whether the diacritization for an input follows the gold label or the auto-generated knowledge. In doing so, our model can dynamically distinguish between auto-generated knowledge instances instead of treating them all as gold references, so as to effectively identify what knowledge should be leveraged for different inputs. Importantly, regularized decoding and adversarial training are exclusively applied to the training stage: we only need the main tagger for inference once the model has been trained. Experimental results and further analyses illustrate the effectiveness of our approach, where our model outperforms strong baselines and achieves state-of-the-art results on two benchmark datasets: Arabic Treebank (ATB) (Maamouri et al., 2004) and Tashkeela (Zerrouki and Balla, 2017).
2 The Proposed Approach

As shown in Figure 1, our approach for diacritization follows the sequence labeling paradigm, where it has two training stages for the main tagger ($M$). In the first training stage (presented in the orange box in Figure 1), $M$ is enhanced by regularized decoding ($RD$) and adversarial training ($AT$) to discriminatively learn from the auto-generated labels. Specifically, given an input Arabic character sequence $X = x_1 \cdots x_n$, $M$ and $RD$ aim to predict two types of diacritization labels, $\hat{Y}$ and $\hat{Y}^K$, which follow the gold and auto-generated label criteria, respectively. $AT$ ensures that the main tagger only learns useful information from either gold or auto-generated labels. Therefore, the first training stage can be conceptually formalized by

$$\hat{Y}, \hat{Y}^K = f(M(H^S, X), RD(H^S, X), AT(H^S))$$  \hspace{1cm} (1)

where $H^S$ denotes the output vectors of the shared encoder $SE$ (whose input is $X$) that is designed to learn the information shared by the gold and auto-generated labels. As a result, the goal of this training stage is to minimize the loss defined by

$$\mathcal{L} = \mathcal{L}_M + \mathcal{L}_K + \mathcal{L}_A$$  \hspace{1cm} (2)

where $\mathcal{L}_M$, $\mathcal{L}_K$ and $\mathcal{L}_A$ refer to the losses that come from $M$, $RD$, and $AT$, respectively.

Afterwards, in the second training stage (presented in the green box in Figure 1), $M$ is further trained alone on the gold labels $\hat{Y}$ without using auto-generated $\hat{Y}^K$, $RD$ and $AT$, to fine-tune its parameters, where all parameters in $SE$ obtained through the first training stage are fixed. For inference, only $M$ is used without requiring any additional input other than $X$ to obtain the diacritization results. In the following sections, we first describe $M$, then elaborate the details of $RD$ and $AT$.

2.1 The Main Tagger

The main tagger uses an encoder-decoder architecture, as shown in Figure 1, in which a shared encoder $SE$ and a private encoder $PSE$ are applied to model the contextual information. Particularly, $SE$ is proposed to facilitate the process of leveraging auto-generated knowledge, which is expected to learn information shared by the gold labels and the auto-generated knowledge. It takes the character embeddings of $X$ (the embedding of $x_i$ is denoted as $e_i$) as input and encodes them to the shared hidden vectors (denoted as $h_i^S$ for $x_i$) by

$$[h_1^S, \ldots, h_n^S] = SE([e_1, \ldots, e_n])$$  \hspace{1cm} (3)

Similarly, $PSE$ is also applied to the word embeddings and produces the result $h_i^M$. Then, we concatenate $h_i^S$ and $h_i^M$ and map the resulting vector to the output space with a fully connected layer: $o_i = W_o(h_i^S \oplus h_i^M) + b_o$, where $\oplus$ is concatenation and $W_o$ and $b_o$ are the trainable matrices and bias vector, respectively. Finally, a softmax decoder is applied to $o_i$ to predict the label $\hat{y}_i$:

$$\hat{y}_i = \arg \max \frac{\exp(o_i^{M,t})}{\sum_{t=1}^{T} \exp(o_i^{M,t})}$$  \hspace{1cm} (4)

where $T$ denotes the set of all diacritization labels and $o_i^{M,t}$ is the value at dimension $t$ in $o_i^M$. Therefore the loss for $M$ is

$$\mathcal{L}_M = - \sum_{i=1}^{n} \log p(y_i^*|X)$$  \hspace{1cm} (5)

where $p(y_i^*|X)$ denotes the probability of labeling $x_i$ by the gold label $y_i^*$.

2.2 Regularized Decoding

When leveraging auto-generated knowledge, it is important to note that such knowledge may be inaccurate or follow different annotation criteria, which is required to be appropriately addressed to pre-

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2 We use a set of symbols to label different diacritization results, which are illustrated in Appendix A.
Although auto-generated knowledge can be back-propagated through $\mathcal{RD}$, it could be overwhelmed by the information directly learned from the gold label. We further improve our model by balancing the information learned from both $\mathcal{M}$ and $\mathcal{RD}$ with $\mathcal{AT}$, which is proposed to equalize both sides and emphasize the shared information from them.\(^3\) In doing so, we connect a discriminator, which is a binary classifier, to $\mathcal{SE}$. The discriminator takes all $h^S$ from $\mathcal{SE}$, averages them by \(\frac{1}{n}\sum_{i=1}^{n} h^S_i\), and then passes the resulting vector to a fully connected layer with a softmax function to compute its bias towards either type (i.e., the gold or auto-generated) of diacritization labels:

\[
[p_m, p_k] = \text{softmax}(W^D \cdot h^S + b^D) \quad (7)
\]

where $W^D$ and $b^D$ are the trainable matrix and bias vector, respectively, that map $h^S$ to a two-dimensional vector, with $p_m$ and $p_k$ representing normalized probabilities that satisfy $p_m + p_k = 1$ and indicating the bias of $\mathcal{SE}$ towards gold and auto-generated labels, respectively. Then we apply a negative log-likelihood loss function to the discriminator, formalized as

\[
\mathcal{L}_D = -\log p_m - \log p_k \quad (8)
\]

and an adversarial loss to the parameters in $\mathcal{SE}$ via

\[
\mathcal{L}_S = -p_m \log p_m - p_k \log p_k \quad (9)
\]

As a result, the goal of $\mathcal{AT}$ is to minimize the loss

\[
\mathcal{L}_A = \mathcal{L}_D - \lambda \mathcal{L}_S \quad (10)
\]

\(^3\) $\mathcal{AT}$ follows the idea that the $\mathcal{SE}$ should have no bias towards the information learned from $\mathcal{M}$ and $\mathcal{RD}$.

| | ATB | Tashkeela |
|---|---|---|
| | w/ case ending | w/o case ending | ACC | w/ case ending | w/o case ending | ACC |
| BiLSTM | DER | WER | DER | WER | 93.38 | 92.98 |
| $+\mathcal{RD}$ | 2.28 | 6.62 | 1.98 | 4.15 | 2.59 | 6.82 |
| $+\mathcal{RD}+\mathcal{AT}$ | 1.87 | 5.17 | 1.59 | 3.09 | 2.10 | 6.08 |
| Transformer | 2.22 | 6.36 | 1.92 | 4.00 | 2.70 | 7.98 |
| $+\mathcal{RD}$ | 2.07 | 5.90 | 1.70 | 3.45 | 2.11 | 6.11 |
| $+\mathcal{RD}+\mathcal{AT}$ | 1.83 | 5.09 | 1.56 | 3.07 | 2.06 | 5.98 |

\[(a) \text{ AraBERT}\]

| | ATB | Tashkeela |
|---|---|---|
| | w/ case ending | w/o case ending | ACC | w/ case ending | w/o case ending | ACC |
| BiLSTM | DER | WER | DER | WER | 93.84 | 93.27 |
| $+\mathcal{RD}$ | 1.97 | 5.65 | 1.69 | 3.48 | 2.08 | 6.02 |
| $+\mathcal{RD}+\mathcal{AT}$ | 1.81 | 5.06 | 1.53 | 3.02 | 2.03 | 5.86 |
| Transformer | 2.05 | 5.80 | 1.77 | 3.61 | 2.66 | 7.65 |
| $+\mathcal{RD}$ | 1.85 | 5.11 | 1.56 | 3.02 | 1.96 | 5.62 |
| $+\mathcal{RD}+\mathcal{AT}$ | 1.77 | 4.88 | 1.49 | 3.01 | 1.87 | 5.54 |

\[(b) \text{ ZEN 2.0}\]

Table 1: Experimental results (i.e., DER and WER with and without the case ending being considered and accuracy) of baselines and our models with $\mathcal{RD}$ and $\mathcal{AT}$ using AraBERT (a) and ZEN 2.0 (b) on the test sets of ATB and Tashkeela, “BiLSTM” and “Transformer” denote the encoders (i.e., $\mathcal{RD}$ and $\mathcal{PE}$) used in the models.
We train our model for 20 epochs in total, with the \( \lambda \) where \( \lambda \) is a positive coefficient that controls the influence of \( L_S \) in the adversarial training, so that to minimize \( L_D \) and maximize \( L_S \) synchronously.

### 3 Experiments

#### 3.1 Settings

In our experiments, We use two benchmark datasets, i.e., ATB (Arabic Treebank Part 1, 2, and 3) (Maamouri et al., 2004) and Tashkeela (Zerrouki and Balla, 2017), following the same settings in previous studies.\(^6\) For implementation, we run Farasa\(^7\) (Abdelali et al., 2016) on the two datasets and collect their diacritization results for regularized decoding. Since the quality of text representation normally dominates the model performance (Pennington et al., 2014; Song et al., 2017, 2018; Peters et al., 2018; Song and Shi, 2018; Devlin et al., 2019), in our experiments, we test two types of widely used and powerful encoders, i.e., BiLSTM and Transformer (Vaswani et al., 2017), for \( SE \) and \( PE \). For the embeddings, we use AraBERT (Antoun et al., 2020) and the large version of ZEN 2.0 (Song et al., 2021) with their default settings (i.e. 12 layers of multi-head attentions with 768 dimensional hidden vectors for AraBERT and 24 layers of multi-head attentions with 1024 dimensional hidden vectors for ZEN 2.0) to perform the initialization (we use the output of the last layer).\(^8\)

We train our model for 20 epochs in total, with the first 10 for the first training stage and the rest for the second stage. Particularly, in the second training stage, we evaluate our model on the development set for every 100 steps to locate the best performing model. For evaluation, we follow previous studies (Abandah et al., 2015b; Fadel et al., 2019b) to use diacritization error rate (DER) and word error rate (WER) with and without considering the case ending.\(^9\) We also use diacritization accuracy following Zalmout and Habash (2017, 2019, 2020).\(^10\)

#### 3.2 Overall Results

In the main experiment, we run the baselines and our models using different configurations (i.e., using AraBERT or ZEN 2.0 embeddings and using BiLSTM or Transformer encoders) with and without \( RD \) and \( AT \). The experimental results (DER and WER with and without considering the case endings, and accuracy) on the test sets of ATB and Tashkeela are reported in Table 1.\(^9\)

|            | ATB                      | Tashkeela                  |
|------------|--------------------------|----------------------------|
|            | w/ case ending | w/o case ending | w/ case ending | w/o case ending |
|            | DER          | WER               | DER          | WER               | DER          | WER               | DER          | WER               |
| Fadel et al. (2019a) | -           | -                 | 2.46         | 8.12              | 1.24         | 3.81               | -           | -                 |
| Abandah and Abdel-Karim (2019) | -           | -                 | 2.00         | 4.20              | -           | -                 | -           | -                 |
| Fadel et al. (2019b) | -           | -                 | -            | -                 | 2.60         | 7.69               | 2.11        | 4.57              |
| Alqahtani et al. (2019) | -           | -                 | 2.80         | 8.20              | -           | -                 | -           | -                 |
| Alqahtani et al. (2020) | 2.54        | 7.51              | -            | -                 | -           | -                 | -           | -                 |
| Zalmout and Habash (2020) | -           | -                 | 93.90        | -                 | -           | -                 | -           | -                 |

\(\text{ATB}\) and \(\text{Tashkeela}\) are reported in Table 1.

There are several observations. First, under different configurations (i.e., using AraBERT or ZEN 2.0 and with BiLSTM or Transformer encoders), \( RD \) improves the baseline on both datasets, which shows that \( RD \) is effective to help diacritization with auto-generated knowledge even if they follow different criterion. Second, further consistent improvement can be observed when \( AT \) is applied on top of \( RD \), with only 3K (0.015‰ of the entire model size) more trainable parameters required to achieve this effect.\(^10\) These observations confirm the effectiveness of forcing \( SE \) to learn from the information shared by gold and auto-generated labels with an appropriate model design.

\(^6\)We illustrate the dataset details in Appendix B.

\(^7\)We report the hyper-parameter settings of different models and the best combinations of them in Appendix D.

\(^8\)Their dev set’s results and the mean and standard deviation of test set results are reported in Appendix E and F.

\(^9\)Model sizes are reported in Appendix G.

\(^10\)We provide details of DER and WER in Appendix C.
In addition, we also compare the results of our best models (with RD and AT) with previous studies (including Farasa’s results) on the test sets of both datasets. The results are shown in Table 2, where our model with BiLSTM encoder outperforms previous models and achieves state-of-the-art performance on both datasets.

3.3 Case Study

To explore how our approach with RD and AT leverage auto-generated knowledge, we conduct a case study on an example sentence from the test set of ATB. The input and its diacritization results from Farasa, the BiLSTM baseline, and our approach with AraBERT (BiLSTM+RD+AT) are illustrated in Figure 2, where the correct diacritization results are highlighted in green, and the incorrect ones from Farasa and BiLSTM are highlighted in orange and red, respectively. It is clearly observed that our approach leverages the necessary information learned from Farasa (i.e., the “∼u” label) and prevents its unreliable results from affecting the final diacritics. Specifically, for the highlighted Arabic character “ة”, where the Farasa output suggests the diacritic “i” (kasra), our approach leverages this knowledge and corrects the BiLSTM baseline. For the other two highlighted characters, although the Farasa output (i.e., “∼u” (Shadda+Damma) for “م” and “#” (No Diacritic) for “ي”) also produces diacritization results that are different from the BiLSTM baseline and do not match the gold standard, our approach is able to learn from their patterns and make correct predictions. Therefore, although Farasas output does not match the gold labels in most cases (see the Farasa results in Table 2), the proposed RD and AT can leverage such knowledge and improve the main tagger accordingly.

4 Conclusion

In this paper, we propose to incorporate auto-generated knowledge (diacritization labels in another criterion) for Arabic diacritization with regularized decoding and adversarial training. In detail, the regularized decoding treats the auto-generated knowledge as separate “gold” labels and learns to predict them in another decoding process; the adversarial training is used to ensure that the shared information from gold and auto-generated labels are learned to help diacritization. With the regularized decoding and adversarial training, the main tagger in our approach is able to smartly leverage auto-generated knowledge provided by an existing diacritization tagger. Experimental results on two benchmark datasets illustrate the validity and effectiveness of our model, where state-of-the-art performance is obtained on both datasets.

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Appendix A. Diactritization Labels

Table 3 presents the 15 diactritization labels used in our study, following Fadel et al. (2019b).

| Class Label | Class Name | Class Shape | Class Label | Class Name | Class Shape |
|-------------|------------|-------------|-------------|------------|-------------|
| a           | Fatha      | ـ | Shadda      | ـ | ـ |
| i           | Kasra      | ـ | Shadda+Fatha | ـ | ـ |
| o           | Sukun      | ـ | Shadda+Damma | ـ | ـ |
| u           | Damma      | ـ | Shadda+Kasra | ـ | ـ |
| K           | Kasratan   | ـ | Shadda+Fathatan | ـ | ـ |
| F           | Fathatan   | ـ | Shadda+Dammatan | ـ | ـ |
| N           | Dammatan   | ـ | ـ | ـ | ـ |

Table 3: Diactritization labels used in this study.

Appendix B. Datasets

In our experiments, we use two benchmark datasets, i.e., ATB (Arabic Treebank Part 1, 2, and 3)\(^{11}\) (Maamouri et al., 2004) and Tashkeela\(^{12}\) (Zerrouki and Balla, 2017). For ATB, we follow the same data split policy as Diab et al. (2013), which is based on the 10-80-10 rule. That is, we firstly split each part of ATB into three portions (with each portion containing 10%, 80%, and 10% of documents, respectively). Then, we combine the first, second, and third portion of all three parts to form the development, training, and test set, respectively. For Tashkeela, we use the cleaned version\(^{13}\) from Fadel et al. (2019b) with the standard train/dev/test split. The statistics of the datasets in terms of the number of words, lines, and the average number of characters in each word are reported in Table 4.

|       | ATB | Tashkeela |
|-------|-----|-----------|
| Word # | 503K | 2.1M |
| Line # | 15.7K | 50K |
| C/W   | 4.37 | 3.97 |

Table 4: Statistics of the benchmark datasets, where the number of words and lines, and average characters per word (C/W) are reported.

Appendix C. Evaluation of DER and WER

It is worth noting that previous studies (Zitouni et al., 2006; Arabiyat, 2015; Fadel et al., 2019a; Abandah and Abdel-Karim, 2019; Alqahtani et al., 2019, 2020) use different methods to compute diacritic error rate (DER) for ATB and Tashkeela datasets. Therefore, we follow the schema in Zitouni et al. (2006); Arabiyat (2015); Abandah and Abdel-Karim (2019) to compute DER for ATB and follow Fadel et al. (2019a); Alqahtani et al. (2019, 2020) to compute that for Tashkeela.

Specifically, for ATB, we compute DER by: (1) all words are counted including numbers and punctuators; (2) each latter or digit in a word is a potential host for a set of diacritics; and (3) all diacritics on a single letter are counted as a single binary (True or False) choice. For Tashkeela, the schema is similar to the one for ATB but all non-Arabic letters are ignored in computing DER because they do not hold a diacritic. For word error rate (WER), the way to compute it is identical for both datasets, where the diacritization result for an Arabic word is regarded as incorrect if there is at least one incorrectly restored diacritic. We follow previous studies (Abandah et al., 2015b; Fadel et al., 2019a) to evaluate our results in terms of diacritic error rate (DER) and word error rate (WER). We use the implementation\(^{14}\) provided by Fadel et al. (2019a) to compute DER (with two criteria) and WER of different models on both datasets, where the DER and WER with and without considering the case endings are both included in our evaluation.

Appendix D. Hyper-parameter Settings

Table 5 reports the hyper-parameters tested in tuning our models. We test all combinations of them for each model and use the one achieving the highest F1 score in our final experiments.

| Hyper-parameters | Values |
|------------------|--------|
| Learning Rate    | 1e−5, 3e−5, 5e−5 |
| Warmup Rate      | 0.06   |
| Dropout Rate     | 0.1    |
| Batch Size       | 16, 32, 64 |

Table 5: The hyper-parameters tested in tuning our models. The best ones used in our final experiments are highlighted in boldface.

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\(^{11}\)We download the ATB part 1, 2 and 3 from https://catalog.ldc.upenn.edu/LDC2010T13, https://catalog.ldc.upenn.edu/LDC2011T09 and https://catalog.ldc.upenn.edu/LDC2010T08.

\(^{12}\)https://github.com/AliOsm/arabic-text-diactritization/tree/master/dataset

\(^{13}\)We download the data from https://github.com/AliOsm/arabic-text-diactritization/tree/master/dataset

\(^{14}\)https://github.com/AliOsm/arabic-text-diactritization/blob/master/helpers/diacritization_stat.py.
Appendix E. Experimental Results on the Development Set

Table 6 reports the DER and WER (with case ending) of different models evaluated on the development set of ATB and Tashkeela.

| Models          | ATB DER | ATB WER | Tashkeela DER | Tashkeela WER |
|-----------------|---------|---------|---------------|---------------|
| BiLSTM          | 2.52    | 7.00    | 2.58          | 7.66          |
| +RD             | 2.46    | 6.47    | 2.20          | 6.47          |
| +RD+AT          | 2.14    | 5.65    | 2.12          | 6.30          |
| Transformer     | 2.46    | 6.79    | 2.71          | 7.96          |
| +RD             | 2.35    | 6.28    | 2.07          | 6.08          |
| +RD+AT          | 2.09    | 5.50    | 2.05          | 6.03          |
| (a) AraBERT     |         |         |               |               |
| BiLSTM          | 2.41    | 6.67    | 2.51          | 7.45          |
| +RD             | 2.21    | 6.00    | 2.09          | 6.16          |
| +RD+AT          | 2.03    | 5.43    | 2.52          | 6.22          |
| Transformer     | 2.39    | 6.49    | 2.65          | 7.79          |
| +RD             | 2.03    | 5.40    | 2.21          | 6.09          |
| +RD+AT          | 1.96    | 5.24    | 2.01          | 5.87          |
| (b) ZEN 2.0     |         |         |               |               |

Table 6: DER and WER (with case ending) of models with different configurations (i.e., based on BiLSTM and Transformer) evaluated on the development set of ATB and Tashkeela.

Appendix F. Mean and Deviation of the Results

In the experiments, we test models with different configurations. For each model, we train it with the best hyper-parameter setting using five different random seeds. We report the mean (µ) and standard deviation (σ) of DER and WER (with case ending) on the test set of ATB and Tashkeela in Table 7.

Appendix G. Model Size and Running Speed

Table 8 reports the number of trainable parameters and the inference speed (lines per second) of the baseline (i.e., BiLSTM and Transformer encoder with and without regularized decoding (RD)) and our models with both RD and adversarial training (AT) on ATB and Tashkeela. All models are performed on NVIDIA Quadro RTX 6000 GPUs.