Beetroot as a Source of Natural Dyes for Ham †

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Abstract: Beetroot (Beta vulgaris L.) was subjected to extraction procedures in order to obtain the respective extracts containing the natural dyes and subjected to cytotoxicity assays in AGS cell line. Encapsulation of the extracts in nanosystems based on soybean lecithin and maltodextrin was performed. Lyophilized extracts before and after encapsulation in maltodextrin were applied in the formulation of leg ham and used in pilot scale of production. The colour of ham samples from the different assays was evaluated visually and by colorimetry.

Keywords: ham; beetroot; Beta vulgaris L.; natural dyes; betalain pigments; betacyanins

1. Introduction

Due to the composition and processing of leg ham, in some cases the final colour is not the most attractive. Legislation does not allow the use of synthetic dyes nor some natural dyes, such as carmine dye (E120) from the cochineal insect, capable of conferring the pink/carmine colour, commercially available and presently used in other food products.

Beetroot (Beta vulgaris L.) is a root vegetable possessing a variety of components with numerous nutritional and health benefits, including water-soluble betalain pigments like betacyanins (red-violet colour) and betaxanthins (yellow-orange colour) [1–3]. The use of beetroot as an ingredient in several products imparts beneficial effects on human health and provides an opportunity for development of different functional foods [4–6].

Considering these facts, Beta vulgaris L. was selected as a source of natural pigments, in order to obtain betalain-rich extracts, which were partially characterized by analytical techniques, and subjected to cytotoxicity studies in the AGS cell line. The extracts were used in nanoencapsulation studies on soybean lecithin and maltodextrin. With the aim of evaluating the colouration capability in ham of lyophilized extracts before and after nanoencapsulation in maltodextrin, they were included in appropriated formulations and used in a leg ham pilot scale of production. Visual observation and colorimetry measurements were carried out with coloured samples obtained in comparison of non-coloured ham.
2. Materials and Methods

2.1. Preparation of Betalain-Rich Extract

The red beetroot (*Beta vulgaris* L.) used was obtained from Portugal in October 2019. A solution of water: ethanol: acetic acid (66.6:33:0.33, v/v/v) (100 mL) was added to the plant material (previously hand-peeled and cut into small pieces (50 g)), and the mixture was kept at room temperature for 48 h. After this time, the mixture was filtered, and then partially evaporated in the rotary evaporator at 40 °C. Then, the extract was frozen at −80 °C and lyophilized (Alpha 1–4 LD Plus—Crist freeze dryer) for five days, resulting in the corresponding dry extract that was stored in a desiccator until use.

2.2. Determination of Betalain Content

Absorbance values of the extract were measured in a Shimadzu UV-3600 Plus UV-vis-NIR spectrophotometer (Shimadzu Corporation, Kyoto, Japan) at 538 nm and 480 nm against a blank of ultrapure water. These values were then used to calculate the betacyanins and betaxanthins content, reported as mg equivalent betanin/L and mg equivalent indicaxanthin/L, respectively. Betacyanins were detected at 538 nm and betaxanthins at 480 nm, according to Equation (1):

\[
\text{betacyanins or betaxanthins content [mg/L]} = \left[\frac{(A \times DF \times MW \times 1000)}{\varepsilon \times l}\right],
\]  

where A is the absorbance at 538 or 480 nm, DF is the dilution factor, MW is the molecular weight, \(\varepsilon\) is the absorption coefficient and \(l\) is the optical path (1 cm). For betacyanin, the molar absorption coefficient is \(6.0 \times 10^4 \text{ M}^{-1} \text{cm}^{-1}\) and \(MW = 550 \text{ g/mol}\). For betaxanthins, the molar absorption coefficient is \(4.8 \times 10^4 \text{ M}^{-1} \text{cm}^{-1}\) and \(MW = 308 \text{ g/mol}\) [7,8].

2.3. HPLC-DAD Analysis

The lyophilized extract was re-dissolved in HPLC-grade water acidified with formic acid (pH 2.0) and filtered by a 0.22 μm size pore membrane. A sample (20 μL) was injected into a Spherisorb ODS2 column with a C18 ODS guard column at a flow rate of 0.8 mL/min. The mobile phase consisted of water: formic acid: acetonitrile (87:10:3, v/v/v; eluent A) and water: formic acid: acetonitrile (40:10:50, v/v/v; eluent B), using a gradient as follows: start with 10% to obtain 25% B after 10 min., 31% B at 15 min., 40% B at 20 min., reaching 50% B at 30 min. Chromatograms were recorded at 280, 320, 350 and 525 nm.

Betalaines were identified by comparing their retention times and UV-vis spectra (200–600 nm) with those of reference substances and with literature data [3].

2.4. AGS Cell Assays

As a model for gastric cells, the human gastric adenocarcinoma cell line AGS was used. Cells were purchased from Sigma-Aldrich (St. Louis, MO, USA) and maintained in medium DMEM + GlutaMAX™-1 with 1% penicillin/streptomycin and 10% FBS, at 37 °C, in a humidified atmosphere of 5% CO₂.

For the assessment of viability, cells were plated at a density of \(1.5 \times 10^4\) cells/well, followed by incubation for 24 h with the samples. After an incubation, 0.5 mg/mL MTT solution was added and further incubated for 2 h. The formazan in each well was dissolved in a solution of DMSO: isopropanol (3:1). Lastly, the absorbance at 560 nm was read in a Thermo Scientific™ Multiskan™ GO microplate reader.

2.5. Nanoencapsulation Studies

For nanoencapsulation studies, the lyophilized extract of beetroot was used. Concentration dilutions of \(2 \times 10^{-5} - 50 \times 10^{-4}\) mg/mL were performed to determine the calibration curve and calculate the encapsulation efficiency.

Liposomes were prepared by the ethanolic injection and thin film hydration methods using a commercial lipid mixture for food industry, soy lecithin (Sternchemie, Hamburg, Germany),
containing (% mol/mol) 22% phosphatidylcholine, 20% phosphatidylethanolamine, 20%
phosphatidylinositol, and 10% acid phosphatidic acid as main components, with a concentration of
$1 \times 10^{-3}$ M. In the ethanolic injection method, $2 \times 10^{-3}$ g/mL extract was added to the lipid mixture and the
solvent was evaporated with an ultrapure nitrogen stream. After evaporation, tetrahydrofuran
(0.75 μL) and ethanol (0.75 μL) were added. Under vortexing, the mixture was added to ultrapure
water (3 mL), and the resulting solution was placed in Amicon tubes and centrifuged (Universal 320
Hettich Zentrifugen, New Delhi, India), for 10 min at 3000 rpm.

For lipid thin film hydration, soybean lecithin ($60 \times 10^{-3}$ g) was added to ethanol (3 mL), and the
mixture was evaporated under a stream of ultrapure nitrogen. To the lipid mixture, $10 \times 10^{-3}$ g/mL of
the aqueous extract solution, previously dissolved in 5 mL of ultrapure water, was added. This
solution was vortexed for 5 min. and sonicated also for 5 min. Then, the resulting mixture was
centrifuged in Amicon filter tubes for 10 min at 3000 rpm.

Both the encapsulated and non-encapsulated fractions were collected and absorption spectra
were recorded in a spectrophotometer Shimadzu UV-3600 Plus UV-vis-NIR spectrophotometer
(Shimadzu Corporation, Kyoto, Japan). The absorbance of the fractions was measured and the
concentrations of the encapsulated and non-encapsulated pigments were determined using the
 calibration curve (absorbance vs. concentration). The encapsulation efficiency, EE(%), was obtained
through Equation (2).

$$\text{EE} \, (\%) = \frac{(\text{Total amount} - \text{Amount of nonencapsulated extract})}{(\text{Total amount})} \times 100 \quad (2)$$

For nanoencapsulation studies using maltodextrin (DE20) the lyophilized extract (0.5 g) was
added to distilled water (3 mL) and then, under stirring, maltodextrin (3 g) was added. The resulting
mixture was centrifuged (Hemle Z-300) at 500 rpm for 16 min. and then subjected to lyophilization
and, based on the final mass obtained, the encapsulation yield, EY (%), was calculated using Equation
(3).

$$\text{EY} \, (\%) = \frac{(\text{final mass after lyophilization})}{(\text{initial mass (maltodextrin + extract))}} \times 100 \quad (3)$$

2.6. Application of the Beetroot Pigments to Ham

The ingredients that make up the brine and the different meats to incorporate the ham were
weighed. Brine was prepared and the chosen extract was added. The temperature and pH of the
resulting mixture were measured. The meat was later added, and with the help of a food processor
(Yammi), the mixture was wrapped to make it homogeneous. The resulting mixture was then
packaged in plastic casing and formed into metal containers and kept for 12 h at 4 °C.

After resting, the paste was cooked at 75 °C, which is complete when the core reaches 72 °C. At
this point, the ham was quickly placed on ice to rapidly reach 4 °C and stored at low temperatures (5
°C) for 24 h. At the end of this period, the ham was deformed and placed in the cold at 4 °C until it
was used for colorimetric assays.

2.7. Colour Measurement

The colour of ham samples was analysed using the CIELab system. The colour parameter values,
namely L* (brightness), a* (green versus red coordinate) and b* (blue versus yellow coordinate) were
determined on a white calibration block using a Konica Minolta Chroma Meter CR-400/410.

The ham samples, with and without beetroot extracts in the formulation used in their
preparation, were cut into slices of about 1.5 mm. The colour measurements were made in a
transparent Petri dish (5 cm²), where the ham slices were placed to be analysed. Each sample was
analysed at five distinct points.

3. Results and Discussion

In order to obtain betalain-rich extracts from beetroot, different experimental conditions,
including various solvent systems, were used, revealing that a solution of water, ethanol and acetic
acid (66.6:33:0.33, v/v/v), at room temperature, with irradiation protection for 48 h, resulted in high
absorbance liquid extract at about 538 and 480 nm, suggesting significant concentrations of betalain pigments. Consequently, this extract was subjected to lyophilization and partially characterized by different analytical techniques, namely UV-vis absorption spectroscopy, HPLC-DAD, and low-resolution mass spectrometry.

The UV-vis absorption spectrum of the extract showed a broad band with absorption maxima at 537 nm and 480 nm, which suggests the presence of betaxanthines and betacyanins, respectively (Figure 1). Thus, considering the UV-vis data and applying Equation (1), the betalains content was estimated, resulting in 22.13 mg/L of betacyanins and 9.63 mg/L of betaxanthins.

![Figure 1. Absorption spectrum of beetroot lyophilized extract in aqueous solution.](image)

The HPLC-DAD studies of the beetroot extract confirmed the presence of betalains, and low-resolution mass spectrometry acquired in positive ionization mode (ESI) displayed a peak with m/z 550.78 (base peak) attributed to betanine according to the literature related to beetroot pigment components [3].

Given the specific ham application intended for this work, as well as other potential food applications, the cytotoxicity of lyophilized extract in human gastric adenocarcinoma (AGS) cells was evaluated as a model for gastric cells. The results confirmed the absence of cytotoxicity up to a concentration of 1 mg/mL, as expected.

The ham preparation process involves mixing different additives and treatments with temperature and acidic pH, which can cause structural changes in the pigments used and consequently in the color obtained with them. Given that betalains are sensitive to certain conditions (pH, temperature and light, among others), and considering their use in coloration of the ham, it was decided to nanoencapsulate the beetroot extract. In this sense, liposomes of soybean lecithin and maltodextrin (DE20) matrices were used, as these systems are reported for nanoencapsulation stabilization of different components, as well as additives in the food industry.

For soybean lecithin, ethanolic injection and thin film hydration methods were used, as they are usually associated with high encapsulation efficiencies [9,10]. The results obtained showed high encapsulation efficiencies without a substantial difference between the two procedures (84.3 ± 3% and 94.5 ± 4%, respectively). However, since the industrial scale application of these processes has both financial and experimental limitations, the maltodextrin (DE20) encapsulation was also carried out, which is easier to perform and economically favorable. The results showed a 100% yield of nanoencapsulation.

The main objective of this work was to evaluate the possibility of applying natural dyes as an alternative to cochineal carmine (E120) and other dyes not allowed for use with ham according to legislation in order to obtain an attractive pink color for the consumer. Thus, extracts of beetroot non-encapsulated and encapsulated in maltodextrin after lyophilization were added to the formulation, and the corresponding leg ham preparations were made on a pilot scale at Primor. In order to evaluate the effect on the color of the pigments present in the extracts, the same type of ham commonly produced and marketed by the company was also prepared.
Visual evaluation of the ham samples together with the values of color parameters obtained in the colorimeter (Table 1) suggest that the colors obtained with extracts in both cases meet the desired one, being necessary to perform more tests with smaller amounts of non-encapsulated extract in order to verify the minimum quantity required to obtain the same color. In addition, it is necessary to confirm the relevance of encapsulation to stabilize the extract during the ham manufacturing process.

Table 1. Colorimetric data of ham samples prepared without and with beetroot extracts (non)encapsulated.

| Sample                                    | Color Parameters |
|-------------------------------------------|------------------|
|                                           | L*   | a*   | b*   | C*   | h    |
| Ham without extract                       | 60.74 | -0.32 | 6.84 | 6.85 | 92.92 |
| Ham with extract (4.55 g/kg)              | 58.37 | 4.64  | 9.64 | 10.69| 64.12 |
| Ham with encapsulated extract (7.29 g/kg) | 61.54 | 0.36  | 8.71 | 8.73 | 87.84 |

1 Mass of the encapsulated extract corresponds to the ratio 1 g of non-encapsulated extract/kg.

Overall, the results obtained in this work suggest that leg ham coloration achieved with lyophilized extracts of Beta vulgaris L. are very promising for future applications in this type of processed meat products.

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