Genetic polymorphisms in caveolin-1 associate with breast cancer risk in Chinese Han population

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ABSTRACT

Caveolin-1(CAV-1) was demonstrated to be a tumor suppressor gene and be implicated in the development of breast cancer (BC). Numerous potentially functional polymorphisms in CAV-1 have been identified, but their effects on BC were not clear. This case-control study aims to evaluate the relationship between CAV-1 polymorphisms and BC risk. 560 BC patients and 583 healthy controls were enrolled in the present study, all from Chinese Han population. We detected 3 single nucleotide polymorphisms (rs3807987, rs1997623, and rs7804372) in CAV-1 using the Sequenom MassARRAY method. The association between CAV-1 genotypes and BC risk was assessed in six genetic models by calculating the odds ratio (OR) and 95% confidence intervals (95% CIs) with χ²-test. The CAV-1 rs3807987 polymorphism was observed to increase the risk of BC And the A allele of rs3807987 relates to a larger tumor size (≥2cm) and lower incidence of PR-positive BC while the AA genotype of rs7804372 associates with a higher ER and Her-2 positive rate among BC patients. In addition, A/rs1997623G/rs3807987T/rs804372 haplotype was linked to a decreased risk of BC (OR =0.64, 95%CI=0.44-0.93), whereas C/rs1997623A/rs3807987T/rs804372 haplotype was related to an increased BC risk (OR =1.74, 95%CI=1.04-2.92). Our study suggests that CAV-1 rs3807987 and rs7804372 in CAV-1 may serve as predictors for prognosis of BC.

INTRODUCTION

Breast cancer (BC) is the most common cancer type and the main cause of cancer death in women all over the world [1]. In China, it also ranks the top of all the female cancers. In 2012, the morbidity and mortality of BC in China is about 0.221‰ and 0.054‰ respectively [2]. BC is an extremely heterogeneous disease. And multiple factors including hereditary and environmental factors are associated with its carcinogenesis. Genetic factors are believed to play a vital role in the development of BC. About 5-10% of all the BC cases are hereditary, caused by mutations in susceptibility genes [3]. CAV-1 gene is located on human chromosome 7(7q31.1) and contains 3 exons. It encodes the protein...
caveolin-1, which is the essential structural component of caveolae [4, 5]. Caveola is a special type of lipid raft, being rich in proteins and lipids. They are small vesicular invaginations of the plasma membrane in most cell types, especially in endothelial cells and adipocytes. And caveola was shown to have several functions in signal transduction, cellular proliferation and differentiation, and contributes to tumorigenesis and tumor progression [6, 7]. Caveolin-1 has been implicated in the pathogenesis of cell transformation, oncogenesis and metastasis. Studies have shown that caveolin-1 can suppress breast cancer and its expression in BC tissue and cells were reduced compared with normal tissues [8, 9].

It has been indicated that CAV-1 functions as a tumor suppressor gene and is mutated in up to 16-20% of breast cancers. It is also a inhibitor of the Ras-p42/44 mitogen-activated kinase pathway, which involves in cell cycle progression and was shown to be overexpressed in both primary and metastatic breast cancer cells [10, 11]. The loss of CAV-1 can specifically up-regulate cyclin D1 and increase ERα expression, thus enhance estrogen-stimulated growth of BC cells [12]. All these evidence suggested that mutations in human CAV-1 gene are involved in the BC onset and progression.

Single nucleotide polymorphism (SNP) is the most common form of mutation in the genome and is supposed to play a crucial role in genetic susceptibility to cancer. Numerous potentially functional SNPs in CAV-1 have been identified through sequencing. Here, we chose 3 polymorphisms (rs3807987, rs1997623, and rs7804372) which are annotated in NCBI databases. These SNPs have been investigated in multiple cancer types including hepatocellular carcinoma, gastric cancer and prostate cancer. And they were found linked to cancer risk [13–15].

As shown in Table 1, the genotype distributions of the three CAV-1 SNPs (rs3807987, rs1997623, and rs7804372) in the control group were all conformed to HWE (P=0.111, 0.356 and 0.125 respectively). The rs3807987 polymorphism was related to increased BC risk in the heterozygous, dominant, and overdominant models (GA vs. GG: OR=1.41, 95%CI=1.09-1.82, P=0.008; GA+AA vs. GG: OR= 1.36, 95%CI=1.07-1.74, P=0.013; GA vs. GG + AA: OR=1.40, 95%CI=1.09-1.81, P=0.008). Whereas no obvious relationship was found between the other two polymorphisms and BC risk. We further conducted subgroup analyses by age and menopausal status. However, neither of the polymorphisms was found to associate with BC risk in any genetic models (Supplementary Table 1 and Supplementary Table 2).

### RESULTS

#### Characteristics of study subjects

There were no significant differences in age, menopausal status, and procreative times between cases and controls (P>0.05). However, body mass index (BMI) values in two groups were significantly different. BMI values of BC patients were lower than those of healthy controls (P=0.038), which suggests that BMI may be a confounding factor. So, the results of additional analyses were adjusted for BMI. The detailed information of the subjects was described in our previous study [16].

#### Association between CAV-1 polymorphisms and BC risk

As shown in Table 1, the genotype distributions of the three CAV-1 SNPs (rs3807987, rs1997623, and rs7804372) in the control group were all conformed to HWE (P=0.111, 0.356 and 0.125 respectively). The rs3807987 polymorphism was related to increased BC risk in the heterozygous, dominant, and overdominant models (GA vs. GG: OR=1.41, 95%CI=1.09-1.82, P=0.008; GA+AA vs. GG: OR= 1.36, 95%CI=1.07-1.74, P=0.013; GA vs. GG + AA: OR=1.40, 95%CI=1.09-1.81, P=0.008). Whereas no obvious relationship was found between the other two polymorphisms and BC risk. We further conducted subgroup analyses by age and menopausal status. However, neither of the polymorphisms was found to associate with BC risk in any genetic models (Supplementary Table 1 and Supplementary Table 2).

### CAV-1 polymorphisms and clinicopathological features of BC

We also investigated the relationship of CAV-1 SNPs with clinical and histological features of BC, including tumor size, lymph node metastasis, and the statuses of estrogen receptor (ER), progestogen receptor (PR), human epidermal growth factor receptor 2 (Her-2), and Ki67. As for rs3807987, we found that the A allele was related to a larger tumor size (≥2cm) in BC patients (GA+AA vs. GG: OR= 1.52, 95%CI= 1.05-2.21; A vs. G: OR= 1.48, 95%CI=1.07-2.03), and lower incidence of PR-positive breast cancer (GA+AA vs. GG: OR= 0.69, 95%CI= 0.49-0.98; A vs. G: OR= 0.72, 95%CI= 0.54-0.96 (Table 2). For rs7804372, BC patients with AA genotype are more likely to have ER- positive tumors than TT and TA genotype carriers (recessive model: OR =2.15, 95%CI=1.02-4.54). Moreover, the AA genotype of rs7804372 associates with a higher Her-2 positive rate compared with TT and TT+TA genotypes (Table 3). No significant association exists between the rs1997623 polymorphism and any of the clinical parameters (Supplementary Table 3).

#### Association between CAV-1 haplotypes and BC risk

To evaluate the effect of SNPs interaction on BC risk, we performed haplotype analysis. The allele distributions and frequencies of the CAV-1 haplotypes are presented in Table 4, and the most frequent haplotype in controls was chosen as a reference. We observed that the A-G-G-T haplotype was associates with a reduced BC risk (OR =0.64, 95%CI=0.44-0.93, P=0.018) compared with the A-G-G-G haplotype, whereas the C-G-A-A-T haplotype was related to a higher risk of BC (OR =1.74, 95%CI=1.04-9.52).
Table 1: Genotype frequencies of Cav-1 polymorphism in cases and controls

| Model                  | Genotype | Cases (n,%) | Control (n,%) | P  | OR (95% CI) | P (HWE) |
|------------------------|----------|-------------|---------------|----|-------------|---------|
| rs3807987 (G14713A)    | GG       | 345 (61.61%) | 400 (68.61%)  | 1.00 |             | 1.111   |
| Heterozygote           | GA       | 193 (34.46%) | 159 (27.27%)  | **0.008** | 1.41 (1.09-1.82) |      |
| Homozygote             | AA       | 22 (3.93%)   | 24 (4.12%)    | 0.841 | 1.06 (0.59-1.93) |     |
| Dominant               | GG       | 345 (61.61%) | 400 (68.61%)  |    |             | 1.00    |
|                        | GA+AA    | 215 (38.39%) | 183 (31.39%)  | **0.013** | 1.36 (1.07-1.74) |      |
| Recessive              | GG+GA    | 538 (96.07%) | 559 (95.88%)  | 1.00 |             |         |
|                        | AA       | 22 (3.93%)   | 24 (4.12%)    | 0.872 | 0.95 (0.53-1.72) |      |
| Overdominant           | GG + AA  | 367 (65.54%) | 424 (72.73%)  | 1.00 |             |         |
| Allele                 | G        | 883 (78.84%) | 959 (82.25%)  | 1.00 |             |         |
|                        | A        | 237 (21.16%) | 207 (17.75%)  | 0.040 | 1.24 (1.01-1.53) |      |
| rs1997623 (C239A)      | CC       | 507 (90.54%) | 509 (87.31%)  | 1.00 |             | 0.356   |
| Heterozygote           | CT       | 51 (9.11%)   | 70 (12.01%)   | 0.107 | 0.73 (0.50-1.07) |      |
| Homozygote             | TT       | 2 (0.36%)    | 4 (0.67%)     | 0.418 | 0.50 (0.09-2.75) |     |
| Dominant               | CC       | 507 (90.54%) | 509 (87.31%)  | 1.00 |             |         |
|                        | CT+TT    | 53 (9.46%)   | 74 (12.69%)   | 0.083 | 0.72 (0.50-1.04) |     |
| Recessive              | CC+CT    | 558 (99.64%) | 579 (99.31%)  | 1.00 |             |         |
|                        | TT       | 2 (0.36%)    | 4 (0.67%)     | 0.442 | 0.52 (0.10-2.84) |     |
| Overdominant           | CC+TT    | 509 (90.89%) | 513 (91.61%)  | 1.00 |             |         |
| Allele                 | C        | 1065 (95.09%)| 1088 (93.31%) | 1.00 |             |         |
|                        | T        | 55 (4.91%)   | 78 (6.69%)    | 0.069 | 0.72 (0.51-1.02) |     |
| rs7804372* (T29107A)   | TT       | 317 (56.61%) | 338 (58.08%)  | 1.00 |             | 0.125   |
| Heterozygote           | AT       | 207 (36.96%) | 202 (34.71%)  | 0.482 | 1.09 (0.85-1.40) |      |
| Homozygote             | AA       | 36 (6.43%)   | 42 (7.22%)    | 0.708 | 0.91 (0.57-1.46) |     |
| Dominant               | TT       | 317 (56.61%) | 338 (58.08%)  | 1.00 |             |         |
|                        | AT+AA    | 243 (43.39%) | 244 (41.92%)  | 0.616 | 1.06 (0.84-1.34) |     |
| Recessive              | TT+AT    | 524 (93.57%) | 540 (92.78%)  | 1.00 |             |         |
|                        | AA       | 36 (6.43%)   | 42 (7.22%)    | 0.598 | 0.88 (0.56-1.40) |     |
| Overdominant           | TT+AA    | 353 (63.04%) | 380 (65.29%)  | 1.00 |             |         |
| Allele                 | T        | 841 (75.09%) | 878 (75.43%)  | 1.00 |             |         |
|                        | A        | 279 (24.91%) | 286 (24.57%)  | 0.851 | 1.018 (0.84-1.23) |      |

† Adjusted for age and body mass index. rs7804372*: controls missing, n = 1.
No relationship was found between other haplotypes and BC risk.

**DISCUSSION**

Caveolin-1, encoded by *CAV-1* gene, is a 21- to 24-kDa integral membrane protein. It is enriched in specialized plasma membranes invaginations called caveolae, which are found in many cell types. As an intracellular structural protein, caveolin-1 regulates several important signaling transduction related to BC including ER, EGFR, Her2/neu, TGF-β, and mTOR pathways [5, 17]. Since genetic factors play a crucial role in human breast carcinogenesis, polymorphisms in genes that encode proteins involved in the BC development can act as biomarkers and may even turn out to be useful targets for particular therapeutic approaches to BC [3]. Hence, we designed this study to investigate the association of *CAV-1* polymorphisms with BC susceptibility.

A number of studies have explored the associations between *CAV-1* genetic polymorphisms and the risk of various cancer types including hepatocellular carcinoma [13], gastric cancer [14], esophageal cancer [18], colorectal cancer [19], renal cell carcinoma [20], prostate cancer [15], bladder cancer [21] and leukemia [22]. We also found one study investigated the effect of *CAV-1* SNPs on BC susceptibility by Liu et al. In Liu’s study, they determined the six SNPs in *CAV-1* (rs1997623, rs3807987, rs12672038, rs3757733, rs7804372, and rs3807992) and assessed their association with BC risk. The results indicated that the genotype distributions of rs3807987 and rs7804372 were significantly different in BC patients and healthy controls. The GG/AT or GG/AA haplotypes associated with a lower risk of BC (OR=0.69, 95% CI=0.57-0.92), whereas the AG/TT haplotype conferred a higher risk of BC (OR=1.50, 95% CI=1.14-2.12)[23].

The present study has some difference with Liu’s study. First, we assessed three SNPs in *CAV-1* which Liu et al. also investigated, but the results were different. Our study showed that only rs3807987 related to BC susceptibility. The GA or GA+AA genotypes of rs3807987 may increase the risk of BC compared with GG or GG+AA.
genotypes. The difference may be due to sample size and divergence of the population. Liu et al. investigated a Taiwanese population, whereas the volunteers in our study subjects all come from northwest of China. Second, we further explored the association of CAV-1 polymorphisms and clinical features of BC. Our results suggested that rs3807987 polymorphism in CAV-1 was associated with tumor size and PR status while rs7804372 polymorphism was linked to ER and Her-2 status. The underlying mechanisms for this finding are unknown by now, which can be a promising field for future studies. We also performed stratified analyses by age and menopausal status, though there was no significant difference in the subgroups.

Previous studies have demonstrated that the expression of CAV-1 in both mRNA and protein levels is down-regulated in breast cancer and CAV-1 re-expression can inhibit the growth and the invasive and migratory potential of BC cells [12, 24]. In addition, the decreased expression of caveolin-1 in BC is significantly associated with advanced tumor stage, invasion or metastasis, early recurrence, and poor outcome [5]. The underlying mechanism is not fully elucidated. Some studies suggested that mutational CAV-1 can induce cellular transformation, activate MAPK signaling pathway and alter actin networks in BC cells, thus promote invasion-ability of BC. And aberrant promoter methylation of CAV-1 may also play a role in the regulation of BC onset [9, 25]. Our study proved the role of CAV-1 in breast cancer susceptibility and revealed its relation with clinical characteristic of BC. However, there are some limitations in our study should be noticed. Firstly, selection bias may exist since this is

Table 3: The associations between the Cav-1 rs7804372 polymorphism and clinical characteristics of breast cancer

| Variables     | TT   | AT   | AA   | Heterozygote Adjusted OR (95% CI) | Homozygote Adjusted OR (95% CI) | Dominant Adjusted OR (95% CI) | Recessive Adjusted OR (95% CI) | Allele Adjusted OR (95% CI) |
|---------------|------|------|------|----------------------------------|---------------------------------|-------------------------------|-------------------------------|-------------------------------|
| Tumor size    |      |      |      |                                   |                                 |                               |                               |                               |
| <2 cm         | 103  | 70   | 15   | 1.00                             | 0.942(0.650-1.366)              | 0.674(0.334-1.361)            | 0.895(0.628-1.274)            | 0.690(0.347-1.372)          | 0.874(0.658-1.161)          |
| ≥2 cm         | 214  | 137  | 21   | 1.00                             | 1.335(0.993-1.911)              | 0.708(0.355-1.412)            | 1.211(0.862-1.701)            | 0.632(0.321-1.245)          | 1.052(0.799-1.385)          |
| LN metastasis |      |      |      |                                   |                                 |                               |                               |                               |
| Negative      | 140  | 77   | 19   | 1.00                             | 1.00                            |                               |                               |                               |
| Positive      | 177  | 130  | 17   | 0.942(0.650-1.366)              | 0.926(0.652-1.317)              | 2.083(0.972-4.464)            | 1.035(0.739-1.450)            | 2.147(1.015-4.542)          | 1.147(0.872-1.509)          |
| ER            |      |      |      |                                   |                                 |                               |                               |                               |
| Negative      | 141  | 96   | 10   | 1.00                             | 0.926(0.652-1.317)              | 2.083(0.972-4.464)            | 1.035(0.739-1.450)            | 2.147(1.015-4.542)          | 1.147(0.872-1.509)          |
| Positive      | 176  | 111  | 26   | 0.942(0.650-1.366)              | 0.926(0.652-1.317)              | 2.083(0.972-4.464)            | 1.035(0.739-1.450)            | 2.147(1.015-4.542)          | 1.147(0.872-1.509)          |
| PR            |      |      |      |                                   |                                 |                               |                               |                               |
| Negative      | 134  | 101  | 20   | 1.00                             | 0.926(0.652-1.317)              | 2.083(0.972-4.464)            | 1.035(0.739-1.450)            | 2.147(1.015-4.542)          | 1.147(0.872-1.509)          |
| Positive      | 183  | 106  | 16   | 0.942(0.650-1.366)              | 0.926(0.652-1.317)              | 2.083(0.972-4.464)            | 1.035(0.739-1.450)            | 2.147(1.015-4.542)          | 1.147(0.872-1.509)          |
| Her-2         |      |      |      |                                   |                                 |                               |                               |                               |
| Negative      | 216  | 155  | 18   | 1.00                             | 0.926(0.652-1.317)              | 2.083(0.972-4.464)            | 1.035(0.739-1.450)            | 2.147(1.015-4.542)          | 1.147(0.872-1.509)          |
| Positive      | 101  | 52   | 18   | 0.942(0.650-1.366)              | 0.926(0.652-1.317)              | 2.083(0.972-4.464)            | 1.035(0.739-1.450)            | 2.147(1.015-4.542)          | 1.147(0.872-1.509)          |
| Ki67          |      |      |      |                                   |                                 |                               |                               |                               |
| < 14%         | 114  | 72   | 9    | 1.00                             | 0.926(0.652-1.317)              | 2.083(0.972-4.464)            | 1.035(0.739-1.450)            | 2.147(1.015-4.542)          | 1.147(0.872-1.509)          |
| ≥14%          | 203  | 135  | 27   | 0.942(0.650-1.366)              | 0.926(0.652-1.317)              | 2.083(0.972-4.464)            | 1.035(0.739-1.450)            | 2.147(1.015-4.542)          | 1.147(0.872-1.509)          |

OR: odds ratio; CI: confidence interval; LN: lymph node; ER: estrogen receptor; PR: progesterone receptor; Her-2: human epidermal growth factor receptor-2.
a hospital-based case-control study and all the subjects were from the same hospital. Secondly, the sample size was inadequate for a stratified analysis of BC subtypes. Thirdly, the effect of other predisposing factors such as family heredity history, environmental exposures, alcohol consumption, and lifestyle was not assessed due to lack of data. Besides, our study is a clinical research and lacks functional experiments to support the results. Thus, future population-based studies are needed to assess these factors as well for a more accurate evaluation of the effect of CAV-1 genetic polymorphisms on BC risk. And, the functions of these polymorphisms and the underlying mechanisms need to be explored in subsequent studies.

In conclusion, the current study showed that CAV-1 polymorphism rs3807987 associates with BC susceptibility in Chinese Han population. The C rs3807987-T rs7804372 haplotype of CAV-1 may increase the risk of BC whereas the A rs1997623-G rs3807987-A rs7804372 haplotype may be protective for the onset of BC. Moreover, CAV-1 rs3807987 and rs7804372 polymorphisms were related to tumors size and ER/PR/Her-2 status, which may act as predictors for BC prognosis and effect of treatment. Further large prospective studies and functional studies are needed in order to provide more evidence about the influence of CAV-1 genetic variants on BC risk, and to explore the possible molecular mechanism.

### MATERIALS AND METHODS

#### Study subjects

This study was approved by the Ethics Committee of the Second Affiliated Hospital of Xi’an Jiaotong University (Xi’an, China). Patients with BC were selected from the Department of Oncology. At the mean time, healthy individuals who came to the same hospital for a checkup were recruited as controls. All the cases were confirmed by histology or pathology. Patients who had received chemotherapy or radiotherapy before surgery or had another type of cancer were excluded [26, 27]. Finally, 1143 subjects (560 cases and 583 controls) were enrolled in the study. All the subjects were unrelated Chinese Han females. They were well informed of the purpose of our study and all of them signed a consent form.

#### DNA extraction and genotyping

The blood samples of all the subjects were collected in EDTA-coating tubes and stored at −80°C for further use. Genomic DNA was extracted from peripheral blood samples with the Universal Genomic DNA
Extraction Kit (version 3.0; TaKaRa Bio Inc., Kusatsu, Japan) following the manufacturer’s instructions. DNA quantity was evaluated by spectrometry (DU530 UV/VIS spectrophotometer, Beckman Instruments, Fullerton, CA). Tree tag-SNPs (rs3807987, rs1997623, and rs7804372) were selected in our study using the data from HapMap database. We only considered SNPs of which minor allele frequency (MAF) was greater than 0.01. A multiplexed SNP MassEXTEND assay was designed by the Sequenom MassARRAY Assay Design 3.0 Software (Agena Bioscience, Inc., San Diego, CA). SNP genotyping was performed by the Sequenom MassARRAY RS1000. Primers for the three SNPs are shown in Table 5. Data was analysed by Sequenom Type 4.0 Software (Sequenom, Inc).

Statistical analysis

The allele and genotype frequencies of CAV-1 polymorphisms were counted directly. And the Hardy-Weinberg equilibrium (HWE) for each SNP in the control group was examined using Pearson χ²-test. The differences of allele and genotype distribution for each SNP between cases and controls were also determined by Pearson χ²-test while the differences in clinical characteristics were assessed by Student t-test or χ²-test. A two-sided P-value < 0.05 was considered statistically significant in all the tests. Associations of CAV-1 polymorphisms with BC risk and the patients’ clinical characteristics were estimated with an odds ratio (OR) and 95% confidence interval (CI) in the codominant model (homozygous model: aa vs. AA; heterozygous model: Aa vs. AA), the dominant model (AA vs. Aa+aa), the recessive model (aa vs. AA+Aa), the overdominant model (Aa vs. AA+ a), and the allele model (a vs. A) respectively (A: the major allele, a: the minor allele). And logistic regression analysis was used to adjust for confounding factors. PHASE v2.1 software was used to perform the haplotype analysis. All the statistical analyses were accomplished using SPSS 22.0 software (IBM Corporation, NY, USA).

Abbreviations

CAV-1: caveolin-1; BC: breast cancer; SNP: single nucleotide polymorphism; OR: odds ratio; 95% CI: 95% confidence interval; BMI: body mass index; HWE: Hardy-Weinberg equilibrium; LN: lymph node; ER: estrogen receptor; PR: progesterone receptor; Her-2: human epidermal growth factor receptor-2.

CONFLICTS OF INTEREST

The authors declare no conflicts of interest.

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