Impact of LHC Run-1 on particle astrophysics

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Abstract. An overview of the impact of the first three years of LHC operation on two of the most important open questions in astroparticle physics is presented. Measurements in proton-proton collisions at the energy frontier that provide valuable information on the identity of the highest-energy particles in the cosmos as well as new constraints on the nature of dark matter, are summarized.

1 Introduction

The nature of the dark matter that pervades the universe \cite{1} as well as the identity and origin of the highest-energy cosmic rays reaching Earth \cite{2} are among the most pressing open questions in particle astrophysics today. During the last 3 years, the CERN Large Hadron Collider (LHC) has been colliding protons (and nuclei) at the highest center-of-mass energies ever studied in the laboratory (up to $\sqrt{s} = 8$ TeV). Many measurements carried out by the different experiments provide new insights on the solution of both open issues. On the one hand, detailed LHC studies of the theory of the strong interaction (quantum chromodynamics, QCD) have resulted in valuable constraints on the Monte Carlo models used to interpret the extended air-showers produced by ultra-high-energy cosmic rays (UHECR) colliding with air nuclei in the upper atmosphere. On the other, searches of dark matter (DM) particles—which escape the LHC detectors but can be discovered as an excess of events with missing transverse energy—have been carried out in different final-states corresponding to various visible particles accompanying DM production. The latest developments in UHECR and DM physics as well as the most relevant LHC measurements providing information on both key problems are summarized hereafter.

2 Impact of LHC data on ultra-high-energy cosmic rays

The highest energy hadronic interactions on Earth occur in collisions of cosmic rays—protons and nuclei produced in various astrophysical sources— with air nuclei as they enter the atmosphere \cite{2}. The highest cosmic-ray energies measured are of the order of $10^{20}$ eV (Fig. 1 left), corresponding to the Greisen-Zatsepin-Kuzmin (GZK) cutoff of CRs propagating through intergalactic space and dissociating in collisions with the microwave background \cite{3} and/or to the maximum energy reachable in astrophysical accelerators \cite{4}. The experimental determination of the primary UHECR energy and mass relies upon the comparison of the properties of the produced extensive air-showers.

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Figure 1. Left: Cosmic rays flux (scaled by $E_{\text{CR}}^{-2.5}$) as a function of CR energy (equivalent c.m. energies for various colliders are shown on the top axis) [11]. Right: Schematic “microscopic” view of an extensive air shower produced by an ultrarelativistic cosmic ray (proton or Fe-ion) colliding with a nucleus in the upper atmosphere.

(EAS, Fig. 1 right) –such as the shower peak position $X_{\text{max}}$, and the number of electrons and muons on ground $N_{\text{e,}\mu}$ to Monte Carlo (MC) hadronic simulations: EPOS [5, 6], QGSJet01 [7], QGSJet-II [8] and Sibyll [9]. The dominant source of uncertainty in the interpretation of the EAS data stems from our limitations to model particle production in strongly-interacting systems at c.m. energies up to $\sqrt{s}_{\text{GZK}} \approx 400$ TeV, i.e. more than two orders of magnitude higher than those studied at particle colliders before the LHC. Indeed, even at asymptotically high energies the collision between two hadronic objects is sensitive to non-perturbative (hadronization, beam remnants, soft peripheral diffractive scatterings) as well as semihard (saturation of gluon densities, multiparton interactions) dynamics that need to be directly constrained from experimental data [10].

The LHC has extended by more than a factor of three the c.m. energies for which we have direct p-p measurements available, going beyond the “knee” structure of the CR spectrum at $E_{\text{CR}} \approx 10^{15.5}$ eV (Fig. 1 left). The following LHC inclusive observables are sensitive to the non-perturbative and semihard QCD dynamics implemented in hadronic MCs commonly used in UHECR physics:

- **Inelastic p-p cross section $\sigma_{\text{inel}}$.** Hadronic cross sections are not directly computable from the QCD Lagrangian[1], but are constrained by basic quantum mechanical relations (such as the Froissart bound, the optical theorem, and dispersion relations) which can be combined with experimental data to make predictions. The measured inelastic p-p cross section, $\sigma_{\text{inel(\text{visible})}} = 73 \pm 2$ mb at $\sqrt{s} = 7$ TeV [13–16], was mostly overpredicted by the MCs (Fig. 2 left), which tended to over (under) estimate the diffractive contributions at high (low) masses. The measured value of $\sigma_{\text{inel}}$ at the LHC implies a reduced $\sigma_{\text{inel(p-Air)}}$ cross section and subsequently a deeper $X_{\text{max}}$ shower position of UHECR.

- **Pseudorapidity density of charged particles at midrapidity $dN_{\text{ch}}/d\eta|_{\eta=0}$ and event-by-event distribution of the charged particle multiplicity $P(N_{\text{ch}})$.** At LHC energies about 70% of the produced

\[ \text{Although the possibility of using lattice QCD calculations [12] one day, should not be discarded.} \]
hadrons come from (multi)parton interactions (with exchanged momenta of order 1–2 GeV) followed by their fragmentation into hadrons. The measurements of $dN_{\text{ch}}/d\eta|_{\eta=0}$ and $P(N_{\text{ch}})$ have been crucial to improve the modeling of multiparton interactions (at large values of $N_{\text{ch}}$) and diffraction (for low $N_{\text{ch}}$). None of the pre-LHC CR hadronic models predicted precisely both distributions, although taken together they “bracketed” the experimental data [10].

• Energy distribution of (very) forward particles. Knowledge of the particle and energy flows emitted at very small polar angles in hadronic collisions—dominated by underlying event activity, beam remnants and diffractive fragments—is also crucial for understanding the first stages of the EAS development. Most of the UHECR models reproduced appropriately the data at pseudorapidities $|\eta|=3–5$ [17–19], but less so for the neutral activity close to the beam rapidity [20].

Two main conclusions can be extracted from the confrontation of data to pre-LHC MCs [10]. First, although no model reproduced consistently all results, when taken together they “bracketed” the LHC data and could be globally trusted to interpret the EAS properties. Second, the absence of a drastic change in the properties of multiparticle production at the LHC (corresponding to energies $E_{\text{CR}}\approx 10^{16}$ eV), confirmed that the CR “knee” at $10^{15.5}$ eV is not due to the production of new (unobserved) particles, as speculated in some cases, but to the change from a light to a more heavy mass CR composition. The update of the event generators to account for the LHC measurements has led to a convergence of their predictions: the $X_{\text{max}}$ slope has decreased (increased) for EPOS (QGSJET-II) and their $N_\mu$ values have raised at the highest CR energies (although they are still below the measured $N_\mu$). Around the GZK cutoff, the CR data prefer a mixed proton-iron composition (Fig. 2 right), with reduced model uncertainties (from $\sim 50$ g/cm$^2$ to $\sim 20$ g/cm$^2$ for values of $X_{\text{max}}$ where the proton-iron difference is about 100 g/cm$^2$) [11].

3 Impact of LHC data on dark matter searches

Dark matter is required to explain many astrophysical and cosmological observations inferred from its gravitational effects on visible matter, radiation, and the large-scale structure of the universe. The astronomical evidences for DM have grown steadily since (i) the observed faster-than-Kleperian rotation curves of stars in the Milky Way (in the 1930s) and, in general, in spiral galaxies (in the
late 1970s); strongly supported by (ii) the collision of galaxies in the Bullet Cluster [22], where gravitational-lensing reveals interaction-less galaxies lying ahead of the visible collisional gas; and more recently among others by e.g. (iii) the relative motion between the Milky Way and Andromeda, which indicates a combined virial mass $M_{\text{virial}} = 3.2 \times 10^{12} \times M_\odot$, ten times larger than that estimated from the visible stars and gas [23]. The existence of DM is also supported by many cosmological observations such as (i) the large-scale structure of the universe given by galaxy distribution surveys confronted to statistical simulations [24]; (ii) the peak heights of the cosmic microwave background measured by WMAP and Planck [25, 26] (lower than that expected in the absence of DM); plus (iii) other measures of matter density (baryon acoustic oscillations, big bang nucleosynthesis, supernova distances, etc.). The post-Planck global fit of the energy budget of the universe yields a 26.8% DM content, yielding a local halo density $\rho_{\text{dm}} \approx 0.3 \text{ GeV/cm}^3$ with average speed $v_{\text{dm}}$ of a few hundred km/s, and a flux of $10^5 \text{ cm}^{-2} \text{s}^{-1}$ on Earth.

DM particles are gravitationally-interacting, stable, massive, cold, non baryonic, and an early universe thermal relic. Weakly interacting massive particles (WIMP) beyond the Standard Model (SM), constitute paradigmatic candidates with such properties. For masses $m_\chi = 10 \text{ GeV–1 TeV}$ and typical weak interaction $\chi$-SM cross sections in the pb-range ($\sigma_{\chi \to \text{SM}} \approx \sigma_{\text{EW}}$), one obtains the observed density $\Omega_{\text{dm}} \propto m_\chi^2/g_{\text{SM}}^4 \approx 0(10\%)$, a fact often referred to as the “WIMP miracle”. Alternative viable possibilities exist, however, with lower and/or higher $m_\chi$ and $\sigma_{\chi \to \text{SM}}$ values (Fig. 3 left) [27]. Popular theoretical extensions of the SM provide DM candidates such as (R-parity conserving) SUSY with a stable lightest supersymmetric particle (LSP, such as the neutralino or the gravitino), or the lightest Kaluza-Klein tower in extra-dimensions models. Other possibilities include heavy R-handed or sterile neutrinos, axions, or new particles of an unknown hidden sector. Apart from the astrophysical probes, three complementary DM search strategies exist with different generic combinations of DM and SM particles in the final- and initial-states (Fig. 3 right):

- Direct detection in underground experiments sensitive to $\chi$-nucleon scattering ($\chi N \to \chi N$): The signals sought are anomalous nuclear recoils of order $E_n = N \cdot \rho_{\text{dm}} \cdot \sigma_{\text{dm-SM}} \cdot v_{\text{dm}} \approx 10 \text{ keV}$. Ultralow-background scintillation/ionization/phonon detectors are required with sensitivities above 1 event/100 kg/year. The current limits in the WIMP-nucleon (spin-independent) interaction are
Figure 4. Exclusion curves in $\chi$-N interaction cross-section vs. $\chi$-mass for spin-independent (left) and spin-dependent (right) models for various underground, space, and LHC (monojet and monophoton) searches [35,36].

approaching $\sigma_{\chi-N} \approx 10^{-45}$ cm$^2$ (i.e. the zb range!) for masses $m_\chi \approx 50$ GeV (Fig. 4 left), although there have been conflicting (non-reproducible) signals consistent with $\sigma_{\chi-N} \approx 10^{-41}$ cm$^2$ at lower masses $m_\chi \approx 10-50$ GeV by different experiments (DAMA, CRESST) as well as not yet statistically-significant excesses in the same region (CDMS).

- Indirect detection in space detectors sensitive to SM-pairs from DM-DM annihilation ($\chi \chi \to X_{sm} \bar{X}_{sm}$ and/or DM decays ($\chi \to X_{sm} \bar{X}_{sm}$). The typical annihilation cross sections for thermal relic WIMPs are of the order of $\sigma \cdot v_\chi \approx 3 \cdot 10^{-26}$ cm$^3$/s and final-states with photons (FERMI, Veritas), neutrinos (IceCube), or cosmic rays (PAMELA, AMS) are being searched. Some excesses with respect to the SM expectations have been seen e.g. in the PAMELA and AMS cosmic-ray positron fluxes above a few tens of GeV [28,29], as well as in the 130-GeV line of the FERMI $\gamma$-ray fluxes from the galaxy center [30]. DM interpretations are, however, complicated by the existing uncertainties in the astrophysical propagation of the detected particles and/or by possible extra background sources (pulsars, unaccounted SM contributions, etc.).

- Collider searches through DM (pair) production in the final state (e.g. $pp \to \chi \chi + X_{sm}$). The typical DM collider signature is large missing transverse energy (MET) from undetected LSP coming from the decay cascade of heavier SUSY particles, (often) accompanied with multiple jets and/or leptons. Almost all SUSY searches at the LHC rely on extra MET from a stable $\chi$, for which no evidence has been found so far [31,32]. Similarly, the first negative results of invisible Higgs decays to DM-pairs with masses $m_\chi < m_{h/2}$ looking at excesses in the MET distribution of associated Higgs+Z-boson production– provide limits in the branching ratio: $\text{BR}(H \to \chi \chi, l\bar{l}) < 65\%$ [33] and 75% [34]. The most generic searches of DM-pair production in final states with large MET plus initial-state QCD or QED radiation leading to unbalanced mono-jet, mono-photon (or mono-lepton) topologies, are discussed next in more detail.

Generic DM searches at the LHC have looked for mono-jet [35,36] and mono-photon [37,38] excesses above the corresponding SM backgrounds. The dominant SM processes producing such topologies are $Z(vv)+j, \gamma (~70\%)$ and $W(lq_{\text{iso}})+j, \gamma (~30\%)$, since other electroweak and QCD backgrounds can be efficiently removed applying vetoes in isolated leptons and $\Delta\phi$ azimuthal balance cuts. The resulting mono-$j,\gamma$ excesses (or lack thereof) can then be interpreted within generic effective field
theories for a contact (i.e. mediated by a heavy particle $M_*$) SM-DM interaction characterized by 2 parameters: $m_{\chi}$ (the mass of the DM particle, usually considered a Dirac fermion) and $\Lambda = M_* / \sqrt{g_{\chi}g_{qg}}$ (the scale of the effective interaction, with $g_{\chi}$ and $g_{qg}$ the couplings of the mediator to the WIMP and to quark/gluons). The corresponding interaction cross sections, $\sigma(\chi N \rightarrow \chi N) \propto (g_{\chi}^2 g_{qg}^2 / M_*^4) \cdot \mu_{\chi N}^2$, where $\mu_{\chi N}$ is the reduced mass of DM-nucleon system, are derived for two types of DM-SM couplings: spin-independent (SI) and spin-dependent (SD). The current LHC exclusion limits (90% CL) in the $\sigma_{\chi-N}$ versus $m_{\chi}$ are shown in Fig. 4 for SI (left) and SD (right) couplings in monojet and monophoton searches \[35, 36\]. Dark matter-nucleon scattering cross section are excluded above $\sigma_{\chi-N} \approx 10^{-39}$ cm$^2$ (SI) and $\sigma_{\chi-N} \approx 10^{-41}$ cm$^2$ (SD) for masses $m_{\chi} \approx 0.1$–10 GeV. Within the approximations of the underlying effective field theory, these are the most constraining limits for a dark matter particle with mass below $m_{\chi} = 3.5$ GeV for spin-independent interactions, a region unexplored by direct detection experiments. For the spin-dependent model, these are the most stringent constraints over the $m_{\chi} = 0.1$–200 GeV mass range.

4 Summary

The latest developments in ultra-high-energy cosmic-rays (UHECR) and dark matter (DM) physics have been succinctly summarized. Results from the first three years of LHC operation with proton-proton collisions up to 8 TeV that provide new insights and constraints on the nature of both particle astrophysics phenomena, have been reviewed.

In the UHECR domain, numerous LHC studies of the non-perturbative and semihard QCD regime have confirmed, first, that the “knee” at $E_{\text{CR}} \sim 10^{15.5}$ eV is not due to the production of new (unobserved) particles but to a change from light to a more heavy CR composition \[10\]. Second, the retuning of the cosmic-rays hadronic MCs using LHC data supports a mixed composition of proton and heavier ions (a particles and/or iron) at the tail of the cosmic ray spectrum up to $E_{\text{CR}} \sim 10^{20}$ eV, with reduced model uncertainties (from $\sim 50$ g/cm$^2$ to $\sim 20$ g/cm$^2$ for the values of the $X_{\text{max}}$ shower maximum in the atmosphere) \[11\]. Upcoming LHC data studies will also help to solve the current disagreement between the observed and predicted number of muons at ground for the highest-energy showers.

In the DM sector, the LHC experiments have carried out many $p p \rightarrow \chi \chi + X_{\text{sm}}$ searches, complementary to those from direct measurements in underground experiments ($\chi N \rightarrow \chi N$) and indirect ones in space detectors ($\chi \chi \rightarrow X_{\text{sm}} X_{\text{sm}}$). Searches of (R-parity conserving) SUSY at the LHC rely all in excess MET from leading DM candidates, such as neutralinos or gravitinos, for which no evidence has been found so far. The LHC has provided also the first constraints on direct Higgs boson couplings to the dark sector via $HZ \rightarrow \chi \chi ll$. The most generic DM searches in unbalanced monojet and monophoton final-states –where the DM particle is produced accompanied of initial-state QCD or QED radiation– have resulted in the lowest current limits for the $\chi$-$N$ interaction cross-section, $\sigma_{\chi-N} \approx 10^{-39}$ cm$^2$ (spin independent) and $\sigma_{\chi-N} \approx 10^{-41}$ cm$^2$ (spin-dependent), at low dark-matter masses $m_{\chi} \lesssim 10$ GeV. The upcoming LHC operation in 2015, with $p$-$p$ collisions at twice the energy of the first run, will provide a substantial increase in the sensitivity for dark matter searches.

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