Design of Constraints for Seeking Maximum Torque per Ampere Techniques in an Interior Permanent Magnet Synchronous Motor Control

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Abstract: The efficient control of permanent magnet synchronous motors (PMSM) requires the development of a technique for loss optimization. The best approach is the implementation of power loss minimization algorithms, which are hard to model and design. Therefore, the developers typically involve maximum torque per ampere (MTPA) control, which optimizes Joule loss only. The conventional MTPA control requires knowledge of motor parameters and can only properly operate when these parameters are constant. However, motor parameters vary depending on operating conditions; thus, conventional techniques cannot be used. Furthermore, many industrial drives are designed for self-commissioning, and they do not have prior information on motor parameters. In order to solve this problem, various MTPA-seeking techniques, which track the minimum of motor current, have been developed. The dynamic performance between these seeking algorithms and maximum deviation from the true MTPA trajectory are defined by the constraints in most cases, in which proper design improves the dynamic behavior of MTPA-seeking algorithms. This paper considers a PMSM, which was designed to operate in the saturation area and whose MTPA trajectory significantly deviates from the same curve constructed for the initial unsaturated parameters. This paper considers existing approaches, explains their pros and cons, and demonstrates that these methods do not utilize full potential of the motor. A new constraint design was proposed and explained step by step. The experiment verifies the proposed technique and demonstrates improvements in efficiency and dynamic behavior of the seeking algorithm.

Keywords: synchronous motor; adaptive control; MTPA control; parameter variation; constraints design

1. Introduction

Permanent magnet synchronous machines (PMSM) have a higher efficiency, torque-to-weight ratio, and power per volume value, which causes their popularity in motor drives, where efficiency and compactness are two of the main requirements. However, efficient control of PMSM requires full utilization of their potential and minimization of the consumed current at the commanded torque [1,2].

The feature of PMSMs is the presence of a reluctance torque component besides the main magnetic torque component. The reason for this feature is magnetic asymmetry along direct and quadrature axes, which is typically caused by the machine design. However, even-surface-mounted permanent magnet synchronous motors (SPMSM), which have equal direct and quadrature inductances at low-load conditions, typically demonstrate magnetic asymmetry under load. Therefore, efficient control systems have to consider this feature and utilize a reluctance torque component as well. Special control algorithms, which provide full utilization of motor torque, are called maximum torque per ampere (MTPA) techniques. These techniques differ by the type of operation (offline or online), usage of motor parameters (used or not required), etc., but an MTPA control became an
inevitable part of PMSM control systems, and it is hard to find a commercial motor drive without one of the MTPA algorithms.

The conventional approach to the calculation of the MTPA trajectory is differentiating the machine torque equation with respect to the amplitude of a stator current. For the purpose of simplification, the motor parameters are supposed to be stable and their dependencies on other variables are not considered [3,4]. However, motor parameters vary with the change of operating conditions: steel saturation impacts inductances, rotor temperature impacts flux-linkage, etc. Therefore, these variations have to be taken into account [5–7].

In order to adapt the MTPA control techniques to parameters variation, different online approaches were proposed. The authors of [8–15] proposed the usage of conventional MTPA equations together with the motor parameters online estimation techniques. The papers [8–10] considered motor inductance variation and proposed estimators for online inductance monitoring, whereas the papers [11,12] took into account only flux-linkage change and [13–15] considered simultaneous variation of these motor parameters. All these methods use the conventional MTPA equations obtained under the assumption that motor parameters are constant and do not vary; however, the motor parameters are updated using various estimation techniques. As a result, these approaches demonstrate the acceptable tolerance for motor drives where motor parameters vary slowly and their derivatives may be neglected, but they fail in the fast-dynamic systems, where motor parameter derivatives are significant. One more disadvantage of these methods is the necessity of motor parameter prior knowledge, which is used as a reference value for estimators and includes tuning of the adaptation mechanism.

In order to exclude these inconveniences, a group of techniques, which do not require motor parameters, have been proposed. These methods are called seeking algorithms, and they track minimum current consumption, which corresponds to the MTPA trajectory, using either injection of the additional signal [16–29] or perturbing the motor drive and analyzing response [30–38].

The algorithms proposed in [16–18] were designed for the direct torque control (DTC) and field-oriented control schemes, which use injections of high-frequency signals toward the motor, followed by an analysis of its response, which is used for extremum tracking. The main idea is to estimate the local torque derivative at a constant current and to find the position of a zero derivative, which corresponds to the MTPA condition. Similar methods adapted for open-loop \( v/f \)-constant control were studied in [21,22]. These techniques may operate without information of motor parameters. However, the knowledge of their approximate values may be used as a starting point for tuning and may significantly improve the dynamic.

The main disadvantage of injection-based techniques is noise and vibration caused by signal injection, which significantly restricts the application area of these methods; therefore, recently, a virtual signal injection control (VSIC) [23–29] was proposed, which eliminates the abovementioned drawback. The methods of this group inject a high-frequency signal into the system mathematically, without real modification of the signals applied to the motor. They use measured parameters: voltage current, speed, etc., and estimate the sign of the motor torque local derivative, which indicates the position of the operational point related to the torque extremum at the MTPA curve. At the next stage, this information is used to shift the operational point toward the torque extremum and is used to track that point. Since the stator resistance is neglected, when the authors developed VSIC, these algorithms worked better at higher speeds, but may fail in the low-speed range, where the applied voltage is comparable with the voltage drop across the stator. Furthermore, the sensitivity to stator resistance makes implementation of VSIC for motor drives impossible, which has a relatively high resistance (e.g., with aluminum windings) or which has to operate in a wide speed range.

Another approach for online tracking of MTPA conditions includes methods for real-time nonmodel-based optimization [39] and uses the perturb and observe (PandO) principle. The main idea of this approach is to perturb a system by modification of its input
signal in the “test” purpose and observe the response to the applied perturbation. For this purpose, the authors of [31–34] suggested modification of the stator current phase with the following analysis of changes in its magnitude, while the authors of [36] adopted a similar idea to the stator voltage vector. The PandO techniques do not use either system models or parameters, which makes them convenient for a wide range of applications having unknown parameters or variables in a wide range. However, the main drawback is poorer dynamic and stability issues. If the operation of a seeking algorithm is not limited, it may incorrectly detect the gradient of the observing signal and move the system far from extremum, significantly worsening the performance and sometimes making the system unstable.

Most of the seeking techniques were designed under the assumption that the torque is constant or changes slowly. Therefore, they may fail in transients, where speed and torque are modified by the external factors. As a result, the system response to the injected signal or applied perturbation is mostly defined by that external factor, so seeking algorithms fail and move the operational point far away from the MTPA trajectory. As soon as the transient ends, the system operates as expected and tracking algorithms can return the operational point at the MTPA trajectory. In order to limit deviation from the MTPA trajectory, the seeking algorithms have to use constraints, which limit uncontrollable modification of the stator current and its phase. Furthermore, proper design of these constraints significantly decreases deviation from the correct trajectory and notably decrease reaction time of the system. In turn, lower deviations from the desired trajectory increase system efficiency in the dynamic modes. Therefore, proper selection of constrains is extremely important for the seeking techniques, where the dynamic response is one of the weakest points.

Despite numerous publications dedicated to the online techniques, the problem of constraints design was not studied in detail, and it is hard to find recommendations on their selection. In [37] it was recommended to limit the maximum phase of the stator current with a predefined constant; however, recommendations on its selection were not provided. The authors of [34] use the adjustment of the stator current phase in order to track the MTPA trajectory. They suggested the limitations of this angle with theoretical minimum and maximum values plus some gaps. This approach provides system stability, however, it results in poorer dynamic stability, because this limitation does not depend on the current operational point in the MTPA curve. In [17] it was suggested to use a theoretical MTPA curve calculated for rated and unsaturated parameters, as a reference value and slightly modify it with a small angle calculated by the MTPA tuning block. However, recommendations on the selection of the maximum modification angle were not provided.

As is clearly seen, the suggestions on the selection of constraints are general and quite simple; therefore, online techniques demonstrate poorer dynamic behavior and efficiency. Furthermore, the previous researchers did not consider the effects of motor parameters variations and the corresponding change of MTPA trajectory. Therefore, after analysis of the published research, it was decided to develop an algorithm for the design of constraints for the MTPA trajectory.

The contribution of this paper is the development of a constraint design algorithm for MTPA seeking techniques. The proposed algorithm was developed for operation with control systems of commercial motor drives; however, it can be easily extended to self-commissioning systems. The developed algorithm is compatible with all MTPA seeking techniques such as [30–32] and improves their dynamic behavior and efficiency. The proposed method was implemented and compared with existing algorithms. The experimental results proved superiority of the proposed technique and improvement of dynamic behavior and efficiency of the test motor drive.
2. Conventional MTPA Approach

2.1. Motor Equations

The simplified design of the interior permanent magnet synchronous motor (IPMSM) is shown in Figure 1. It has three pole pairs formed by the interior permanent magnets in the rotor and three-phase winding at the stator. The interaction of the flux formed by permanent magnets and the flux formed by the three-phase stator winding produces the torque.

![Figure 1. Simplified design of IPMSM.](image)

The basic electrical equations of IPMSM in the synchronous reference frame $dq$ under assumption that hysteresis loss, eddy currents, etc., can be neglected, are:

\[
\begin{align*}
    u_d &= i_d r_s + \frac{d\psi_d}{dt} - \omega \psi_q, \\
    u_q &= i_q r_s + \frac{d\psi_q}{dt} + \omega \psi_d,
\end{align*}
\]

(1)

where:

- $u_d, u_q$—$d$- and $q$-axis voltage components, respectively,
- $i_d, i_q$—$d$- and $q$-axis currents components, respectively,
- $\psi_d, \psi_q$—$d$- and $q$-axis flux linkages respectively,
- $r_s$—stator resistance,
- $\omega$—electrical angular velocity.

The flux linkages can be expressed as:

\[
\begin{align*}
    \psi_d &= L_d i_d + \Psi_m, \\
    \psi_q &= L_q i_q;
\end{align*}
\]

(2)

where:

- $L_d, L_q$—full $d$- and $q$-axis inductances, respectively,
- $\Psi_m$—permanent magnet flux linkage.

Combining (1) and (2) the motor equations in synchronous reference frame $dq$ can be derived:

\[
\begin{align*}
    u_d &= i_d r_s + L_d^{\text{diff}} \frac{d i_d}{dt} - \omega L_q i_q, \\
    u_q &= i_q r_s + L_q^{\text{diff}} \frac{d i_q}{dt} + \omega L_d i_d + \omega \Psi_m;
\end{align*}
\]

(3)

where $L_d^{\text{diff}}$ and $L_q^{\text{diff}}$ are differential inductances of $d$- and $q$-axis, respectively.

The torque produced by the motor is defined as and contains full inductances:

\[
T = \frac{3}{2} p i_q ((L_d - L_q) i_d + \Psi_m)
\]

(4)

where:

- $p$—number of pole pairs.
Equations (3) and (4) are conventional equations of IPMSM, which are used for the design of an overwhelming majority of control systems.

2.2. MTPA Equations

As it could be found from the torque Equation (4), the total torque produced by machine includes magnetic component proportional to the rotor magnetic flux and reluctance component proportional to the difference between direct and quadrature inductances. The magnetic and reluctance torques depend on the phase of the stator current as sine and sine of doubled angle, respectively, therefore the resulting torque of a machine also has a maximum which has to be defined and used for efficient control.

In order to do this, the magnitude of the stator current vector is fixed at $I_s$ and the relation between the current components, which corresponds to the maximum can be found. The quadrature component of the stator current is:

$$i_q = \sqrt{I_s^2 - i_d^2}$$

(5)

Combining (5) and (4) with the following differentiation, with respect to $i_d$, results in the expression, which is used for the calculation of the maximum of torque [16]:

$$i_d = -\frac{\Psi_m}{4(L_d - L_q)} - \sqrt{\frac{\Psi_m^2}{16(L_d - L_q)^2} + \frac{I_s^2}{2}}$$

(6)

Equation (6) can be rewritten in terms of the current components:

$$i_d = -\frac{\Psi_m}{2(L_d - L_q)} - \sqrt{\frac{\Psi_m^2}{4(L_d - L_q)^2} + i_q^2}$$

(7)

The last two equations define the MTPA condition of synchronous machines with constant parameters. They are used for the implementation of the conventional MTPA control and move stator current vector along the trajectory, the typical shape is depicted in Figure 2. Good examples of MTPA implementation according to this approach can be found in [40–42].

![Figure 2. MTPA trajectory in $i_d$-$i_q$ plane.](image)
Since Equations (6) and (7) are calculation intensive, the MTPA trajectory is frequently written in terms of the stator current and its phase, which is a function of the stator current amplitude. In this case, the MTPA trajectory is described by the following equations:

\[
\begin{align*}
    i_d &= -I_s \sin(\gamma), \\
    i_q &= I_s \cos(\gamma);
\end{align*}
\] (8)

\[
\gamma(I_s) = \arccos \left( -\Psi_m + \sqrt{\Psi_m^2 + 8(L_d - L_q)I_s^2} \right). \tag{9}
\]

Despite the MTPA angle being hard for calculation, it is a smooth function, which can be easily approximated with a first- or second-order polynomial, which can be easily seen from Figure 3. Good examples of MTPA implementation according to this approach can be found in [43–45].

![Figure 3. Dependence of the MTPA angle \( \gamma \) on the stator current amplitude.](image)

### 3. Impact of the Motor Parameters Variation on the MTPA Trajectories

In order to design constraints properly, the impact of motor parameters on the MTPA trajectory must be analyzed. The analysis was performed for the motor used in the experimental verification. The rated parameters are demonstrated in Table 1.

| Parameter                  | Value |
|----------------------------|-------|
| Number of pole pairs       | \( p = 3 \) |
| Rated power, kW            | 1.4   |
| Rated torque, N·m          | 5.0   |
| Phase resistance, \( \Omega \) | 2.05  |
| \( d \)-axis inductance, mH | 83    |
| \( q \)-axis inductance, mH | 115   |
| Back-EMF constant, V·s/rad | 0.2   |

#### 3.1. Flux-Linkage Decrease

The rotor flux-linkage decreases with the rise of magnet temperature and may fall by 5–10%, depending on the magnet material. The degradation of magnets due to demagnetization may result in an additional 10%; therefore, the maximum decrease of flux-linkage can be considered as 20% [12]. A decrease of the rotor flux-linkages causes mitigation of the magnetic component of the motor torque. Thus, if the reluctance component is not changing, the MTPA angle increases, which is illustrated in Figures 4 and 5.
changing, the MTPA angle increases, which is illustrated in Figures 4 and 5. The motor inductances decrease due to the steel saturation, which is caused by the amplitude of the applied stator current. Their variation significantly depends on the machine design and may be as high as 70–80% in synchronous reluctance motors (SynRM) and PM assisted synchronous reluctance motors (PMASynRM). In IPMSMs the maximum decrease of full inductance is typically about 50–60% [8]. At the same time, the reluctance torque of a synchronous motor depends on the difference between the direct and quadrature inductances; therefore, analysis of the inductance variation on the MTPA trajectory is more complicated, because both inductances vary simultaneously. Furthermore, the motor may saturate in the direct and quadrature directions in a different way, causing the inductance difference $\Delta L = L_d - L_q$ to rise, even if both inductances are falling. A good example of this phenomena is a motor under test, which is considered in this paper. The flux path of this electrical machine along the direct axis is saturated faster than the path along quadrature axis. As a result, the direct axis inductance decreases faster than the quadrature inductance and the inductance difference increases. However, with the following increase of the stator current, the quadrature inductance decreases, and the inductance difference reduces, reaching lower values than the initial values for the zero current. This phenomenon is illustrated in Figure 6, which demonstrates inductance variation with respect to the stator current.

3.2. Motor Inductances Decrease

The motor inductances decrease due to the steel saturation, which is caused by the amplitude of the applied stator current. Their variation significantly depends on the machine design and may be as high as 70–80% in synchronous reluctance motors (SynRM) and PM assisted synchronous reluctance motors (PMASynRM). In IPMSMs the maximum decrease of full inductance is typically about 50–60% [8].

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Figure 4. MTPA trajectories in $i_d,i_q$ plane at different flux-linkages.

Figure 5. Dependence of the MTPA angles $\gamma$ on the stator current amplitude at different flux-linkages.

$$\text{Rated } \Psi^m$$

$$80\% \text{ of the rated } \Psi^m$$

$$\gamma^\circ$$

$\Psi^m$, A

$\Psi^m$, A

$\Psi^m$, A

$\Psi^m$, A
Figure 6. Motor inductances vs. current at the MTPA trajectory.

In this figure U, S and P denote unsaturated, saturated and partly saturated zones, respectively. It can be observed, that the inductance difference in the unsaturated (with rated parameters) zone \( \Delta L_{D} \), is less than the inductance difference in the partly saturated zone \( \Delta L_{P} \); however, it is higher than the inductance difference in the saturated zone \( \Delta L_{S} \). As a result, the true MTPA trajectory of this machine, which reflects complicated dependencies of motor inductances on the stator current, Figure 6, has complicated waveforms, which are demonstrated in Figures 7 and 8. In Figure 8, \( \gamma_U \) and \( \gamma_S \) correspond to the MTPA angle trajectories drawn for the unsaturated and saturated motor inductances, respectively, and \( \gamma \) stays for the true MTPA angle.

Figure 7. Test motor MTPA trajectories in \( i_{d}i_{q} \) plane.

Figure 8. Dependence of the test motor MTPA angles \( \gamma \) with respect to the stator current amplitude.

It should be noted that some researchers consider unsaturated and saturated MTPA curves as boundary conditions for motor operation and design algorithm using this assumption [17]. However, the provided example demonstrates that such boundaries are
incorrect for such motors. The MTPA trajectory may leave the area limited with curves for unsaturated and saturated conditions, and this phenomenon should be taken into account.

4. Proposed Method for Design of Constraints

In order to solve the abovementioned problems, a new algorithm for the design of constraints for MTPA seeking techniques has been developed and considered in this paper. This idea will be explained using a test motor as an example and the corresponding constraints for an MTPA trajectory seeking algorithm are constructed. For higher convenience, the MTPA trajectories are designed in the $i_d i_q$ plane and for an MTPA angle $\gamma$, simultaneously. Therefore, the proposed technique can be easily adapted for control schemes involving MTPA formulation based on the Equations (6)–(8).

The proposed method is demonstrated in Figure 9 and includes the following steps. Initially, the direct and quadrature inductances variations with the stator current increase are measured, and the corresponding dependence of the inductance difference $\Delta L$ is calculated. These measurements are repeated for several motor samples and the resulting data are averaged. After that, the MTPA trajectory for the measured inductances is plotted, i.e., curve “A” in Figures 10 and 11. At the next stage, the maximum possible decrease of the rotor flux-linkage is calculated, and the corresponding curve is plotted. For the test motor, which uses NdFeB magnets, the motor designers estimated the maximum temperature decrease is 3% and the maximum aging and demagnetization degradation is 5%, which results in a maximum reduction of 8%. The corresponding MTPA trajectories are depicted as curve “B” in Figures 10 and 11. After that, the maximum possible deviation of motor parameters from their rated values at the stage of production is taken into account. This deviation is not significant and is caused by assembling inaccuracies, materials from different lots, etc. The factory quality assurance engineers reported that the possible variation of the flux-linkage is less than 1%, while the inductance deviation may be as high as 12%. At the same time, the character of inductance dependencies, demonstrated in Figure 6, is almost unchanged and deviation results only in scaling of those curves. At the next stage, the previously constructed curves “A” and “B” are modified using obtained information on the maximum deviation of motor parameters, which results in curves “C” and “D” in Figures 10 and 11, respectively. These curves define the zone, limiting the possible location of the true MTPA trajectory for all motors in the series.

After the area containing the MTPA trajectory is defined, it must be extended with the gaps necessary for the proper operation of the MTPA seeking technique and stable detection of the torque extremum corresponding to the MTPA condition. The term “gap” relates to the disturbed parameter, and its dimension is the same; however, implementation of the constraints depends on the MTPA technique and typically is performed in the $i_d i_q$ or $I_s \gamma$ planes. These gaps depend on the exact MTPA tracking algorithm and have to enclose the area, which fully includes border trajectories and deviation from them caused by the injection of additional signals. The MTPA algorithm involved in the experiments, was a seeking technique, which permanently disturbs the phase angle of the stator current and measures its amplitude, trying to minimize it [33]. This algorithm can stably detect the maximum of the torque curve, when it lies in the middle of the disturbance step $\Delta \gamma$. Therefore, the gap, necessary for proper operation of this technique, which can guarantee the correct detection of extremum, is half of the disturbance angle, which was $2^\circ$. Thus, the curves “C” and “D” have to be moved at this gap angle, which results in “E” and “F” in Figures 10 and 11, respectively. These curves define our desired constraints, which can improve the performance of seeking an MTPA algorithm.

These curves could be implemented as a look-up table (LUT), approximating polynomial or a set of splines; however, the best way of approximation depends on the complexity of the constraint curves.

The proposed method of constraints design was developed for operation with a series of motors, where inductances could be measured in advance. However, it could be easily adapted for self-commissioning drives, which operate without prior knowledge of motor
parameters. In this case, at the stage of parameter identification, the control system has to additionally identify dependence of the inductance difference on the stator current. After that, the curve “A” can be constructed. The construction of the curve “A” is made using data from Figure 6 by substituting inductances as functions from the current magnitude and the current magnitude itself into (6). Then evaluation of (5) allows to obtain both current components in $i_d$-$i_q$ plane. If it is desired to evaluate MTPA angle $\gamma$ with respect to the current magnitude, then Equation (9) is used.

At the next stage, the maximum variation of the flux-linkage has to be defined. Since this information is unavailable, the high-border estimation can be used: 12–15% for NdFeB magnets and 25–30% for ferrite magnets. Thus, the curve “B” can be constructed. The higher margin values increase response time of MTPA algorithms; therefore, it is desired to decrease the margins as much as possible. The recommended values of the flux linkage deviation came from the worst motor prototypes known to the authors and cover the overwhelming majority of motor drives. However, if a developer is confident that the maximum possible deviation of the flux linkage is less than the recommended values, the margins have to be decreased. Since self-commissioning routine tunes the drive for operation with the exact sample of motor, the curves “C” and “D”, which define motor parameter variation at manufacturing, could be skipped. After that, the curves “E” and “F” can be constructed by moving the curves “A” and “B” at the gap angle, which is equal to the half of disturbance angle for the test algorithm.

![Figure 9. Flowchart of constraints design algorithm.](image-url)
the maximum operation speed by up to +50% of the rated one by demagnetizing the rotor voltage and two shunt-based current sensors, placed at the bottom legs of the inverter. The information on the motor electrical signals is provided by the DC-link synchronous reference frame, where the rotor speed and position are provided by the estimation algorithm. The information on the motor electrical signals is provided by the DC-link synchronous reference frame, where the rotor speed and position are provided by the estimation algorithm. The performance of the field-weakening algorithm, which increases the maximum operation speed by up to +50% of the rated one by demagnetizing the rotor voltage and two shunt-based current sensors, placed at the bottom legs of the inverter.

Figure 10. MTPA constraints design in \( i_{dq} \) plane.

Figure 11. MTPA constraints design for MTPA angle \( \gamma \).
5. Experimental Setup

The experimental verification of the proposed algorithm was performed using the test rig demonstrated in Figure 12. It included a prototype of the three phase PMSM developed for rotary and reciprocating compressors, the rated parameters are shown in Table 1. However, motor direct and quadrature inductances strongly depend on the stator current and vary as depicted in Figure 6.

The motor under test was connected with a Magtrol water cooling power brake 1-PB-65 capable of providing load torque up to 10 N\(\cdot\)m. This power brake was controlled by the Magtrol DSP6001 dynamometer controller, which received commands from the computer and reported speed and torque. This load equipment provided low-ripple and precise torque application, which is necessary for the accurate measurements of speed-torque characteristics of the motor drive. The electrical signals were measured by the Yokogawa DL 850 oscilloscope, capable of operating with raw data and oscillograms and a Yokogawa WT-1800E power analyzer used for measurements of power and efficiency.

The inverter involved in these experiments was a commercial device designed for the control of rotary compressors of air conditioners. It was based on the FSBB15CH60F (15 A/600 V) Fairchild smart power module and designated for operation in 220 V (50/60) Hz standard grids. This inverter had a conventional structure for low-cost applications, which involved two shunt-based current sensors in the inverter legs and one voltage sensor in the DC-link. The signals from the sensors were pre-amplified by internal operational amplifiers of the microcontroller and then processed by a 12-bit ADC. The control system of the inverter used in the experiments included the iHart i910 Cortex M3 core microcontroller operating at 80 MHz and controlled the inverter switches at 10 kHz. The experimental sample of the inverter was extended with an RS-232 communication interface used for the connection with the computer in order to send commands and monitor the internal data.

The IPMSM control system implemented for verification of the proposed algorithm, was taken from a commercial motor drive discussed in [46] (Figure 13). It performs a sensorless control of IPM motors, using a back-EMF based speed and position estimation technique discussed in [47]. In order to exclude reverse rotation at the start, the estimator is enhanced with an initial rotor position estimation algorithm [48]. The performance of the estimation algorithm was verified with the help of an incremental position encoder [49,50], which demonstrated that it operates at speeds over 10 Hz with the maximum estimation error of 3–4 electrical degrees. Since the control system was designed for operation with compressors, it was enhanced with a silent stoppage algorithm considered in [51].

The control scheme contains an outer speed loop and inner current loops in the synchronous reference frame, where the rotor speed and position are provided by the estimation algorithm. The information on the motor electrical signals is provided by the DC-link voltage and two shunt-based current sensors, placed at the bottom legs of the inverter.

The IPMSM control system includes a field-weakening algorithm, which increases the maximum operation speed by up to +50% of the rated one by demagnetizing the rotor field with a negative \(i_d\) current. The motor drive includes an adaptive MTPA control algorithm, required to increase efficiency. This algorithm uses a seeking technique, which was considered in [31]; therefore, this method for MTPA control can be used for the experimental validation of the proposed idea.
Figure 13. Control block diagram of the IPM motor drive with seeking MTPA algorithm.

The motor under test was connected with a Magtrol water cooling power brake 1-PB-65 capable of providing load torque up to 10 Nm. This power brake was controlled by the Magtrol DSP6001 dynamometer controller, which received commands from the computer and reported speed and torque. This load equipment provided low-ripple and precise torque application, which is necessary for the accurate measurements of speed-torque characteristics of the motor drive. The electrical signals were measured by the Yokogawa DL 850 oscilloscope, capable of operating with raw data and oscillograms and a Yokogawa WT-1800E power analyzer used for measurements of power and efficiency.

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6. Experimental Results

In order to check the performance of the proposed method, a number of experiments have been carried out. The seeking MTPA algorithm used for testing, involves the PandO principle and permanently disturbs the phase of the stator current, analyzing changes of its magnitude. Thus, the constraints for this technique were set as curves “E” and “F” from Figure 11 and implemented using LUT, containing 33 points for each curve with linear interpolation between them. The disturbance angle $\Delta \gamma$ used by the seeking algorithm was 4° and its calculation step $N$ was selected as 20 electrical revolutions. The maximum...
calculation time $T_{\text{max}}$ of the MTPA tuning algorithm was set to 0.5 s, which results in a minimum motor speed, at which an MTPA tuning algorithm can operate:

$$n_{\text{min}} = \frac{60 \cdot N}{p \cdot T_{\text{max}}} = \frac{60 \cdot 20}{3 \cdot 0.5} = 800 \text{ rpm} \quad (10)$$

This speed perfectly fits the requirements of the control system of compressors, where the operational area requires speeds over 900~1200 rpm.

In order to check the dynamic behavior of the seeking algorithm with different constraints, the load torque contained equal intervals of constant load, and positive and negative ramps. For the performance evaluation of the proposed idea, the measurements were performed at the lowest allowed operational speed, which is the most difficult condition for the seeking algorithms. Despite the minimum operational speed of the selected seeking technique being 800 rpm, the experiments were conducted at 1200 rpm, which is the minimum permitted speed for reciprocating the compressors utilizing the considering drive. After obtaining the data on estimated MTPA angle, the efficiency of the motor was measured in operation of the MTPA-seeking algorithm with the considered sets of constraints. In this test, the data were averaged at the interval of ten load periods (200 s), which minimizes the impact of random perturbations.

For comparison of the developed method with the prior art, the constraints were designed according to their recommendations.

### 6.1. Fixed Limits

In this method the constraints for the stator current phase were selected to consider the case where motor parameters are unknown and self-commissioning is not performed. The fixed predefined numbers equal to the theoretical minimum and maximum values were selected. As can be observed from Figure 11, the minimum value of the MTPA angle $\gamma$ is $0^\circ$, whereas the maximum angle is defined by curve “F” (about $32^\circ$). For a pure reluctance motor the maximum angle cannot exceed $45^\circ$ if it is desired to cover 100% of all motor designs. These angles were selected as the limits for the variation of the seeking algorithm disturbance factor. These limits are depicted in Figure 14 together with the MTPA trajectories and curves used for the design.

![Figure 14](image_url) Constraints design for MTPA angle $\gamma$ using fixed limits.

### 6.2. Rated MTPA Curve with Gaps

According to this method, the constraints are designed using the MTPA curve for rated motor parameters, which is shifted to higher and lower directions at the fixed gap. In this experiment, the gap was selected as the seeking algorithm disturbance angle $\Delta \gamma$,
which is equal to $4^\circ$. At the same time, the MTPA angle for the test motor may not be negative; therefore, the lower limit is set to zero in this area, where parallel shift of the rated MTPA curve resulted in negative values. These limits are depicted in Figure 15 together with the MTPA trajectories and curves used for design.

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6.3. Operation at Low Load

In this experiment, the performance of the proposed technique was evaluated and compared with existing algorithms at low loads, where the motor was not saturated (zone “U”). Two cycles of the load torque used in this experiment are depicted in Figure 16a. The performance of seeking the algorithm operation with “Fixed limits”, “Rated MTPA curve with gaps” and proposed constraints are demonstrated in Figure 16b–d, respectively. The measured efficiency for these constraint sets was: 47.4%, 51.9% and 52.1%, respectively.

6.4. Operation at Medium Load

In this experiment, the performance of the proposed technique was evaluated and compared with existing algorithms at low loads, where the motor was partly saturated (zone “P”). Two cycles of the load torque used in this experiment are depicted in Figure 17a. The performance of the seeking algorithm operation with “Fixed limits”, “Rated MTPA curve with gaps” and proposed constraints are demonstrated in Figure 17b–d, respectively. The measured efficiency for these constraint sets was: 85.2%, 87.1% and 88.4%, respectively.

6.5. Operation at High Load

In this experiment, the performance of the proposed technique was evaluated and compared with existing algorithms at low loads, where the motor was highly saturated (zone “S”). Two cycles of the load torque used in this experiment are depicted in Figure 18a. The performance of the seeking algorithm operation with “Fixed limits”, “Rated MTPA curve with gaps” and proposed constraints are demonstrated in Figure 18b–d, respectively. The measured efficiency for these constraint sets was: 85.6%, 86.1% and 86.3%, respectively.
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Figure 16. Operation of seeking algorithm with different constraints at low load: (a) Torque profile; (b) Fixed limits; (c) Rated MTPA curve with gaps; and (d) Proposed technique.
6.4. Operation at Medium Load

In this experiment, the performance of the proposed technique was evaluated and compared with existing algorithms at low loads, where the motor was partly saturated (zone "P"). Two cycles of the load torque used in this experiment are depicted in Figure 17a. The performance of the seeking algorithm operation with "Fixed limits", "Rated MTPA curve with gaps" and proposed constraints are demonstrated in Figure17b–d, respectively. The measured efficiency for these constraint sets was: 85.2%, 87.1% and 88.4%, respectively.

Figure 17. Operation of the seeking algorithm with different constraints at medium load. (a) Torque profile; (b) Fixed limits; (c) Rated MTPA curve with gaps; and (d) Proposed technique.
6.5. Operation at High Load

In this experiment, the performance of the proposed technique was evaluated and compared with existing algorithms at low loads, where the motor was highly saturated (zone "S"). Two cycles of the load torque used in this experiment are depicted in Figure 18a. The performance of the seeking algorithm operation with "Fixed limits", "Rated MTPA curve with gaps" and proposed constraints are demonstrated in Figure 18b–d, respectively. The measured efficiency for these constraint sets was: 85.6%, 86.1% and 86.3%, respectively.

Figure 18. Operation of the seeking algorithm with different constraints at high load. (a) Torque profile; (b) Fixed limits; (c) Rated MTPA curve with gaps; and (d) Proposed technique.

7. Discussion

It is clearly observable from the provided experimental results, that the seeking algorithm does not operate properly at transients. Furthermore, its behavior depends on the sign of the torque derivative: if it is positive, the estimated angle fluctuates near its previous value, if the derivative is negative, the estimated angle goes to a lower or higher limit, depending on the direction at the previous stage. As a result, the constraints design plays an important role in the dynamic performance by limiting this uncontrollable change.
The experimental results showed that the operation of the seeking algorithm with fixed constraints results in a poorer dynamic and significantly decreases motor efficiency in dynamic modes. Therefore, this method may be recommended only for simple systems, which mainly operate under constant load torque.

The seeking algorithm with constraints designed using a rated MTPA curve with gaps, demonstrates better dynamic and efficiency than the same algorithm with the fixed constraints. It demonstrates acceptable results in the regions, where the true MTPA curve is close to the rated curve. However, its operation is not optimal at the loads, where the true MTPA trajectory significantly deviates from the rated MTPA curve and leaves the area enclosed by the constraints. The experimental motor is a good example of this case, which is illustrated by Figure 17. As a result, this method of constraints design can be suggested for motors, where the MTPA trajectory does not significantly deviate from the rated MTPA trajectory.

It can be observed that the operation of the seeking algorithm with constraints, which is designed according to the proposed method, demonstrated better efficiency and dynamic behavior. Therefore, it is recommended for the motor drives, where the motor was designed for operation with considerable saturation and where the MTPA curve significantly deviates from the curve constructed for the unsaturated parameters.

At the same time, it should be noted that the operation of the seeking algorithm with a different set of constraints at a constant or slowly changing load torque is similar and they differ only in dynamic.

8. Conclusions

This paper proposes a new method for constraints design for MTPA seeking techniques. This algorithm takes into account possible motor parameter variation due to operational conditions and deviation at the stage of production. The authors considered existing approaches to constraints design and experimentally compared performance of the MTPA-seeking algorithm with constraints designed according to existing and proposed algorithms. It was shown that the proposed method improves efficiency and dynamic operation of MTPA seeking techniques, especially for the motors, which operate in the saturation zone and whose MTPA curve significantly deviates from the MTPA trajectory calculated for the unsaturated parameters.

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