Multiconfiguration time-dependent Hartree impurity solver for nonequilibrium dynamical mean-field theory

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Nonequilibrium dynamical mean-field theory (DMFT) solves correlated lattice models by obtaining their local correlation functions from an effective model consisting of a single impurity in a self-consistently determined bath. The recently developed mapping of this impurity problem from the Keldysh time contour onto a time-dependent single-impurity Anderson model (SIAM) [C. Gramsch et al., Phys. Rev. B 88, 235106 (2013)] allows one to use wave function-based methods in the context of nonequilibrium DMFT. Within this mapping, long times in the DMFT simulation become accessible by an increasing number of bath orbitals, which requires efficient representations of the time-dependent SIAM wave function. These can be achieved by the multiconfiguration time-dependent Hartree (MCTDH) method and its multi-layer extensions. We find that MCTDH outperforms exact diagonalization for large baths in which the latter approach is still within reach and allows for the calculation of SIAMs beyond the system size accessible by exact diagonalization. Moreover, we illustrate the computation of the self-consistent two-time impurity Green’s function within the MCTDH second quantization representation.

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I. INTRODUCTION

Pump-probe experiments with femtosecond time resolution can access the real-time dynamics in materials with strong correlation effects on the time scale of the electronic motion [1, 2] and reveal striking phenomena such as photo-induced insulator-to-metal transitions in correlated Mott and charge-transfer insulators [3, 4] or the pump-induced melting and recovery of charge density waves [5]. In order to understand the underlying physical scenario revealed by those experiments, growing experimental effort has been devoted to establish a microscopic description of strongly correlated lattice models out of equilibrium. Yet the numerical simulation of quantum many-body systems in nonequilibrium beyond weak-coupling perturbation theory remains a challenge, in particular for extended systems in dimensions greater than one, where the time-dependent density matrix renormalization group (DMRG) method [6] or an exact solution of the Schrödinger equation is no longer feasible.

A promising framework to capture both ultrafast dynamics and strong electronic correlation is the nonequilibrium formulation of dynamical mean-field theory (DMFT) [7–9], which generalizes DMFT [10] to the Keldysh formalism. In the framework of DMFT, a lattice model such as the Hubbard model is mapped onto an effective impurity model, which consists of a single site of the lattice (impurity) coupled to a non-interacting medium, where electrons are exchanged between the impurity site and the medium. One of the key developments for advancing DMFT to the nonequilibrium regime is to establish methods to solve the real-time dynamics of this impurity model far from equilibrium. Impurity solvers that have been used so far include real-time continuous-time quantum Monte Carlo [11], which is numerically exact, but restricted to short times, as well as strong-coupling expansions [12] and weak-coupling expansions [13], which have been employed in many studies (see Ref. [9] for an overview) but are restricted to certain parameter regimes. Recently, a Hamiltonian-based impurity solver scheme has been developed, which further maps the DMFT impurity model onto a single-impurity Anderson model (SIAM) with a finite number of bath orbitals [14]. The latter is then solved to self-consistency by an exact diagonalization method being equivalent to time-dependent full configuration interaction (TDCI) [15], and one is thus not restricted to either weak or strong on-site Coulomb interaction.

The mapping of the DMFT impurity model to a SIAM is similar to the related exact-diagonalization approach to DMFT in equilibrium [10], but nevertheless there are important conceptual differences: Apart from the description of the initial state, the representation of the DMFT bath can be made exact for small times, while it requires an increasing number of bath orbitals to reach longer times [14, 16] (note that other representation schemes might be useful to obtain qualitatively correct descriptions with few bath orbitals [17] or to describe the steady state [18]). Intuitively, increasing the number of bath orbitals allows the discrete model to develop the finite memory time that is inherent in the original infinite DMFT bath, i.e., the state can explore a larger Hilbert space without ever returning close to its initial state. De-
Despite the accuracy of the exact diagonalization method, the exponential scaling of the Hilbert space dimension as a function of the number of bath orbitals therefore prohibits us to acquire the dynamics at long time scales.

Various approaches in different areas of physics have been developed to overcome this course of dimensionality by finding efficient representations of the wave function. In condensed matter physics, this includes (time-dependent) DMRG [6], which is based on a matrix product state representation, and tensor-network representations of many-fermion states [19–21]. In the present work, we introduce the multiconfiguration time-dependent Hartree (MCTDH) method, which has originally been developed for the time propagation of nuclear wave packets in molecular quantum dynamics [22–23], to treat the real-time dynamics of the SIAM. The MCTDH method provides a route to represent the wave function with a minimal set of time-dependent basis functions that co-move with the evolving state. This feature can lead to a tremendous reduction of the configuration space. Moreover, the more powerful extension of MCTDH, the multilayer multiconfiguration time-dependent Hartree (ML-MCTDH) method [24–26], allows for well-adapted tree-tensor network decompositions of the many-body wave function.

While MCTDH propagation schemes have recently been used to study transport in the Anderson and Anderson-Holstein model [27–28], the requirements for a nonequilibrium DMFT calculation are often quite demanding: The Hamiltonian representation of the DMFT impurity model typically implies strongly time-dependent parameters, the regime of interest includes strong Coulomb interactions, and, in particular, a fast calculation is required because one needs to perform a large number of simulations to obtain the impurity Green’s function as a function of two time variables. In order to judge the usefulness of the MCTDH method for nonequilibrium DMFT it is thus important to provide a comparison of the numerical performance of the method, i.e., to analyze the ability of the ansatz to compress the SIAM wave function in the typical parameter regime relevant for DMFT and thus to improve on the exponential increase of the numerical effort on the simulation time which we have described above. This is one main goal of this paper.

The article is organized as follows. In Sec. II we give a brief overview on nonequilibrium DMFT and outline the mapping to a SIAM underlying the Hamiltonian-based impurity solver. We then introduce the MCTDH method in Sec. II B and discuss its implementation using the Fock space formalism in Sec. II C. Thereafter, in Sec. III we present numerical results to assess the performance of MCTDH as impurity solver in the context of DMFT. Finally, Sec. IV provides a general conclusion.

II. THEORETICAL FRAMEWORK

In order to combine nonequilibrium DMFT and the multiconfiguration time-dependent Hartree (MCTDH) method, which we propose as impurity solver, we first give a brief introduction to the DMFT framework. For a comprehensive introduction to nonequilibrium DMFT and its applications the reader is referred to Ref. [9].

From a general perspective, we are interested in the real-time evolution of a lattice quantum many-body system like the single-band Hubbard model

\[
H(t) = \sum_{ij} t_{ij}(t) c^\dagger_{i\sigma} c_{j\sigma} + U(t) \sum_i (n_{i\uparrow} - \frac{1}{2})(n_{i\downarrow} - \frac{1}{2}),
\]

which is initially in thermodynamic equilibrium at temperature \( T = 1/\beta \), and evolves unitarily under the time-dependent Hamiltonian \( H(t) \). In Eq. (1), the operator \( c^\dagger_{i\sigma} (c_{i\sigma}) \) creates (annihilates) an electron with spin \( \sigma \) on site \( i \) of the crystal lattice, \( n_{i\sigma} \) is the spin-resolved density, \( t_{ij}(t) \) is the hopping matrix element between sites \( i \) and \( j \), and \( U(t) \) denotes the local Coulomb repulsion. Below, we adopt a parametrized model where energies (times) are measured in terms of the hopping (inverse hopping) amplitude \( (\hbar = 1) \). For practical material simulations, \( t_{ij} \) and \( U \) could in principle be determined in an \textit{ab initio} manner, which is standard for DMFT simulations in equilibrium [29–31]. The unit of time \( \hbar/t_{ij} \) would be between 20 fs for narrow-band organic Mott insulators [2] and few fs for transition metal oxides with a bandwidth in the eV range [32].

A. Nonequilibrium DMFT and Hamiltonian-based impurity solvers

The central task of nonequilibrium DMFT based on the Keldysh formalism [33] is to compute the local contour-ordered Green’s function

\[
G_\sigma(t, t') = -i\langle T_C c_\sigma(t) c^\dagger_\sigma(t') \rangle_{S_{loc}}
\]

of an effective single-site impurity model, which exactly replaces the original translationally invariant lattice problem [1] in the limit of an infinite lattice coordination (and represents an approximation for finite dimensions). We follow Ref. [9] for the notation of contour-ordered functions, i.e., time arguments lie on the L-shaped Keldysh contour \( C \), and \( \langle T_C \ldots \rangle_{S_{loc}} \equiv \text{Tr}[T_C S_{loc} \ldots]/\text{Tr}[T_C S_{loc}] \) denotes the contour-ordered expectation value. The action \( S_{loc} \) of the effective model is illustrated in Fig. I and is given by

\[
S_{loc} = -i \int_C dt \left[ U(t)(n\uparrow(t) - \frac{1}{2})(n\downarrow(t) - \frac{1}{2}) - \mu \sum_\sigma n_\sigma(t) \right] - i \int_C \int_C dt dt' \sum_\sigma A_\sigma(t, t') c^\dagger_\sigma(t) c_\sigma(t'),
\]
where the first part contains the Hamiltonian of an isolated site of the original lattice at a chemical potential \( \mu \), and the second part connects the site to a noninteracting continuous bath which is in nonequilibrium is defined by the hybridization function \( \Lambda_\sigma(t, t') \). In single-site DMFT, the bath must be determined self-consistently from the equations of motion of \( \Lambda_\sigma \) which depend on the impurity Green’s function \( G_\sigma(t, t') \) and the time-dependent hopping parameters \( t_{ij}(t) \). In the simplest case, for a Bethe lattice in the limit of an infinite coordination number \( Z \) with nearest-neighbor hopping (i.e., semi-elliptical density of states), the bath is characterized by a self-consistency relation of closed form [34].

\[
\Lambda_\sigma(t, t') = v(t) G_\sigma(t, t') v(t'),
\]

where the hopping matrix elements in Eq. (1) are rescaled according to \( t_{ij}(t) \rightarrow v(t)/\sqrt{Z} \).

Unfortunately, the DMFT action of the form [3] does not allow for a direct solution of the impurity problem with Hamiltonian-based methods. However, an optimal representation of \( S_{\text{loc}} \) in terms of a time-dependent impurity Hamiltonian with finitely many bath orbitals can be obtained by a suitable decomposition of the two-time hybridization function. Formally, such a mapping requires that all impurity correlation functions \( \langle \mathcal{O}(t_1) \ldots \rangle \) are the same when computed with the action \( S_{\text{loc}} \) or with the final impurity Hamiltonian \( H'(t) \), i.e.,

\[
\frac{\text{Tr} (T_C \{ \exp (S_{\text{loc}}) \mathcal{O}(t_1) \ldots \})}{\text{Tr} (T_C \{ \exp (S_{\text{loc}}) \})} = \frac{1}{\text{Tr} (T_C \{ \exp (-i \int dt H'(t)) \mathcal{O}(t_1) \ldots \})} \frac{\text{Tr} (T_C \{ \exp (-i \int dt H'(t)) \})}{\text{Tr} (T_C \{ \exp (-i \int dt H'(t)) \})}.
\]

A particularly convenient mapping [14] becomes possible for the single-impurity Anderson model (SIAM) where

\[
H' = H_{\text{SIAM}} = H_{\text{imp}} + H_{\text{bath}} + H_{\text{hyb}} \text{ with }
\]

\[
H_{\text{imp}} = -\mu \sum_\sigma n_{0\sigma} + U(t) \left( n_{0\uparrow} - \frac{1}{2} \right) \left( n_{0\downarrow} - \frac{1}{2} \right),
\]

\[
H_{\text{bath}} = \sum_{l=1}^L (\epsilon_{l\sigma} - \mu) c_{l\sigma}^\dagger c_{l\sigma},
\]

\[
H_{\text{hyb}} = \sum_{l=1}^L \left( V_{0l}^\sigma(t) c_{0\sigma}^\dagger c_{l\sigma} + \text{H.c.} \right).
\]

Here, the impurity site is coupled in a star-pattern by hopping processes of amplitude \( V_{0l}^\sigma(t) \) to \( L \) individual noninteracting bath orbitals of energy \( \epsilon_{l\sigma} \), and the operator \( c_{l\sigma}^\dagger \) (\( c_{l\sigma} \)) annihilates (creates) an electron in a spin-orbital \( |\chi_{l\sigma}\rangle \) at bath site \( l \) > 0, and at the impurity site for \( l = 0 \) (see illustration in Fig. [1b]). The hybridization function of the SIAM is given by

\[
\Lambda_\sigma'(t, t') = \sum_{l=1}^L V_{0l}^\sigma(t) g(\epsilon_{l\sigma}, t, t') V_{0l}^\sigma(t'),
\]

where \( g(\epsilon, t, t') = -i[\theta_C(t, t') - f(\epsilon)]e^{-i\epsilon(t-t')} \) is the Green’s function of an isolated bath orbital, \( f(\epsilon) = 1/(e^{\beta\epsilon} + 1) \) denotes the Fermi distribution, and \( \theta_C \) is the contour step function. Since the exponential \( e^{-i\epsilon(t-t')} \) can be absorbed into the time-dependence of the parameters \( V_{0l}^\sigma(t) \), the problem of representing the DMFT action by the Hamiltonian [6] has thus been reduced to a factorization of a “two-time matrix” \( \Lambda_\sigma(t, t') \) in terms of time-dependent functions.

If the bath is initially decoupled from the impurity (this is commonly referred to as the atomic limit), the initial state of the system is entirely described in terms of the impurity density matrix, and \( \Lambda_\sigma(t, t') \) is only nonzero for times \( t, t' > 0 \) on the real part of the contour. The parameters in the SIAM can then be obtained by demanding that the greater and lesser components of the original hybridization function \( \Lambda_\sigma(t, t') \) and \( \Lambda_\sigma'(t, t') \) of Eq. (6) are identical for all times \( t \) and \( t' \).

In practice, this leads to a matrix decomposition of \( \Lambda_\sigma(t, t') \), where the matrix rank \( N_t \) is defined by the discretization of the times \( t \) and \( t' \) according to \( 0, \delta t, 2\delta t, \ldots, (N_t - 1)\delta t \). By choosing the bath energies of the SIAM such that the occupations \( f(\epsilon_{l\sigma} - \mu) \) are either 0 or 1, the greater and lesser components can then be decomposed independently of one another:

\[
-i\Lambda_\sigma(t, t') = \sum_{l=1}^{L/2} \frac{V_{0l}^\sigma(t) V_{0l}^\sigma(t')^*}{V_{0l}^\sigma(t)},
\]

\[
i\Lambda_\sigma'(t, t') = \sum_{l=L/2+1}^L \frac{V_{0l}^\sigma(t) V_{0l}^\sigma(t')^*}{V_{0l}^\sigma(t)},
\]

where we have initially occupied the first half of the spin-orbitals \( |\chi_{l\sigma}\rangle \) and left the other half empty (note that non-uniform partitions are also possible).
It is obvious that Eq. (8) holds in general only in the limit \( L \to \infty \), ensuing from an infinite DMFT bath. However, appropriate representations can usually be obtained already for a rather small number of bath orbitals \([10]\). Furthermore, by using a low-rank Cholesky approximation in Eq. (8) one can guarantee that the representation of the hybridization function is always correct at short times such that a gradual increase of \( L \) allows us to successively approach longer and longer simulation times \([13]\). Finally, we note that if impurity and bath hybridize already in the initial state (i.e., for \( t \leq 0 \) where the Hamiltonian \( H(t) \) is time-independent), the SIAM representation of the DMFT action \([3]\) requires additional bath sites which describe the time evolution of initial correlations, for details see also \([14]\).

### B. Multiconfiguration time-dependent Hartree

Practical applications of DMFT require an efficient solver for the time-dependent Schrödinger equation (TDSE) of the impurity model \([9]\). The MCTDH method \([22,23,35,36]\), which has been applied to a variety of molecular quantum dynamics problems since its inception more than 20 years ago, is a general approach to efficiently solve the TDSE for multidimensional systems that tries to alleviate the exponential increase of computational effort with system size by a compact representation of the time-dependent state vector of the system. We will first describe the original formulation of MCTDH for systems of distinguishable degrees of freedom. The extension to indistinguishable particles (Sec. III C) is then very similar and even uses essentially the same numerical implementation.

The standard wave function ansatz to solve the TDSE for a system with \( f \) degrees of freedom reads

\[
|\Psi(q_1, \ldots, q_f, t)\rangle = \sum_j C_J(t) |\Xi_J\rangle ,
\]

which expands the wave function as a sum of Hartree products of one-dimensional primitive basis functions \( |\chi_j^{(\kappa)}(q_\kappa)\rangle \). For convenience, these functions are chosen orthonormal without loss of generality. Once a primitive basis has been selected, the time evolution of the system is fully determined by the set of time-dependent expansion coefficients \( C_J(t) \), which constitute a multidimensional tensor of rank \( f \). Inserting Eq. (9) into the TDSE and multiplying from the left by \( |\Xi_L\rangle \) results in the linear matrix equation

\[
i \dot{C}_L = \sum_J \langle \Xi_L | H | \Xi_J \rangle C_J .
\]

(10)

The standard approach has an exponential scaling \( N^f \) in the number of expansion coefficients with the dimensionality and is therefore only practicable for a few degrees of freedom.

The MCTDH ansatz for the wave function reads

\[
|\Psi(q_1, \ldots, q_f, t)\rangle = \sum_j A_J(t) |\Phi_J(t)\rangle ,
\]

\[
= \sum_j A_J(t) |\Phi_J(t)\rangle ,
\]

(11)

where the key difference to the standard ansatz is the introduction of time-dependent single-particle functions (SPF) \( |\varphi_{j}^{(\kappa)}(q_\kappa, t)\rangle \), which are taken to be orthonormal for all times. The MCTDH equations of motion for the time-dependent coefficients and SPFs are derived from the Dirac-Frenkel variation principle and read \([22]\)

\[
i \dot{A}_J = \sum_L \langle \Phi_J | H | \Phi_L \rangle A_L ,
\]

\[
i \dot{\varphi}_j^{(\kappa)} = (1 - P^{(\kappa)}) (\rho^{(\kappa)})^{-1} (H)^{(\kappa)} \varphi_j^{(\kappa)} .
\]

Here a vector notation \( \varphi^{(\kappa)} = ((\varphi_1^{(\kappa)}, \ldots, \varphi_{n_{\kappa}}^{(\kappa)})^T \) is used,

\[
P^{(\kappa)} = \sum_{j=1}^{n_{\kappa}} |\varphi_j^{(\kappa)}\rangle \langle \varphi_j^{(\kappa)}| .
\]

is the projector on the space spanned by the SPFs for the \( \kappa \)th degree of freedom, and \( \langle H \rangle^{(\kappa)} \) and \( \rho^{(\kappa)} \) are mean-fields and the density matrix. By defining single-hole functions \( |\Psi_i^{(\kappa)}\rangle \) as linear combinations of Hartree products of \((f - 1)\) SPFs without the SPFs for the \( \kappa \)th degree of freedom \( q_\kappa \),

\[
|\Psi_i^{(\kappa)}\rangle = \sum_{j_1} \cdots \sum_{j_f} \sum_{j_{\kappa - 1}} \sum_{j_{\kappa + 1}} A_{j_1 - j_{\kappa - 1} j_{\kappa + 1} - j_f} \times |\varphi_1^{(1)}\rangle \cdots |\varphi_1^{(\kappa - 1)}\rangle |\varphi_\kappa^{(\kappa + 1)}\rangle \cdots |\varphi_f^{(f)}\rangle ,
\]

one can write \( \langle H \rangle^{(\kappa)} \) and \( \rho^{(\kappa)} \) in compact forms as

\[
\langle H \rangle^{(\kappa)}_{ij} = \langle \Psi_i^{(\kappa)} | H | \Psi_i^{(\kappa)} \rangle
\]

and

\[
\rho^{(\kappa)}_{ij} = \langle \Psi_i^{(\kappa)} | \Psi_i^{(\kappa)} \rangle = \sum_{j_1} \cdots \sum_{j_{\kappa - 1}} \sum_{j_{\kappa + 1}} \sum_{j_f} A^*_{j_1 - j_{\kappa - 1} j_{\kappa + 1} - j_f} A_{j_1 - j_{\kappa - 1} j_{\kappa + 1} - j_f} .
\]

(12)

For a complete set of SPFs, \( n_\kappa = N_\kappa \), one has \( P^{(\kappa)} = 1 \) and hence \( i \dot{\varphi}_j^{(\kappa)} = 0 \), such that Eqs. (12) correspond to the standard method of Eq. (10). With variationally optimal SPFs, the number of basis functions per degree of freedom can be kept smaller than the number of time-independent primitive functions. The number of coefficients in the \( A \)-vector still grows exponentially as \( n^f \), but now to a smaller base (assuming equal \( N_\kappa \) and \( n_\kappa \) for all degrees of freedom, the number of time-dependent
coefficients representing the MCTDH wave function is $n_f + f N n)$. In the limit of all $n_k = 1$, the evolution is described by a single Hartree product of time-evolving SPFs, which corresponds to the time-dependent Hartree method. The advantage of MCTDH over the standard method lies on the fact that a much smaller number of differential equations has to be solved and the accuracy and cost of the calculation can be controlled by choosing the number of SPFs $n_k$ for each degree of freedom. However, both equations in (12) are non-linear, and $\langle \Phi_f | H | \Phi_j \rangle$ as well as the mean fields $\langle H^{(\kappa)} \rangle$ must be rebuilt at every time step, which is usually the largest computational burden of MCTDH calculations.

Although other choices may be possible, the SPFs are often expanded on a set of time-independent orthogonal basis functions as in the standard approach, i.e.,

$$| \varphi^{(\kappa)}_j (q_k, t) \rangle = \sum_{I_\kappa} B_{I_\kappa}^{(\kappa)} | \chi^{(\kappa)}_I (q_k) \rangle. \quad (17)$$

The MCTDH ansatz can then be regarded as a way to compactify the $C_{j_1 \ldots j_f}$ tensor introduced in Eq. (9) as

$$C_{j_1 \ldots j_f} = \sum_{l_1}^{n_1} \cdots \sum_{l_f}^{n_f} A_l_{j_1 \ldots j_f} \prod_{\kappa=1}^f B_{l_{\kappa} j_{\kappa}}^{(\kappa)}. \quad (18)$$

This decomposition, which is graphically represented in Fig. 2, is known as the Tucker tensor decomposition [37] and has the same form as a matrix singular value decomposition generalized to the multidimensional case [38]. The dimensionality of the $A$-vector can be further reduced by combining physical coordinates $q$ in fewer combined modes or logical coordinates $Q$. In this case the ansatz reads

$$| \Psi(q_1, \ldots, q_f, t) \rangle \equiv | \Psi(Q_1, \ldots, Q_p, t) \rangle = \sum_{l_1}^{n_1} \cdots \sum_{l_p}^{n_p} A_{l_1 \ldots l_p} (t) \prod_{\kappa=1}^p B_{l_{\kappa} j_{\kappa}}^{(\kappa)}, \quad (19)$$

where $p$ is the number of MCTDH combined modes and the SPFs are now multidimensional functions. In terms of a more general tensorial decomposition similar to Eq. (18), the mode combination ansatz becomes (cf. Fig. 2c):

$$C_{j_1 \ldots j_f} = \sum_{l_1}^{n_1} \cdots \sum_{l_p}^{n_p} A_{l_1 \ldots l_p} \prod_{\kappa=1}^p B_{l_{\kappa} j_{\kappa}}^{(\kappa)} \quad (20)$$

where $J_\kappa$ refers to a multi-index collecting the $d_\kappa$ degrees of freedom that are combined into one combined mode $\kappa$, i.e., $J_1 = (j_1, \ldots, j_{d_1})$, $J_2 = (j_{d_1+1}, \ldots, j_{d_1+d_2})$, ..., $J_p = (j_{d_1+\ldots+d_{p-1}+1}, \ldots, j_f)$. The use of mode combination allows one to distribute the cost of the calculation in an optimal way between the propagation of the $A$ and $B$ coefficients (i.e., between the $A$-vector and the SPFs). Large combined modes lead to a small $A$-vector that can be efficiently propagated but result in the costly propagation of multidimensional SPFs. Providing a scheme for efficiently propagating multidimensional wave functions is what MCTDH does in the first place by introducing a multiconfigurational ansatz. A natural extension consists in expanding the multidimensional SPFs as sums of products of time-dependent basis functions of lower dimensionality, what is known as multi-layer MCTDH (ML-MCTDH) [24–26]. In terms of the tensorial argument, it consists in decomposing the $B^{(\kappa)}_{l_{\kappa} j_{\kappa}}$-tensors in the same form as Eq. (18) or Eq. (20).

Computationally, MCTDH is most efficient when the system Hamiltonian is given by a sum of products of low-dimensional operators, as this immediately factorizes the matrix elements in Eqs. (12) into products of lower-dimensional integrals (note that the Hamiltonian and mean-field matrix elements need to be reevaluated at every time step because they depend on the wave function via the time-dependent SPFs). For model Hamiltonians fulfilling this product form (ML-)MCTDH has been applied to thousands of degrees of freedom, e.g., [39].

C. MCTDH in second quantization representation

Using Hartree products as the elementary configurations, the MCTDH framework introduced above rather describes the time evolution of distinguishable particles than the dynamics of fermionic or bosonic many-body states. Approaches which explicitly account for the exchange symmetry of the wave function are MCTDH for...
many-body systems of fermionic or bosonic symmetry by working explicitly within the occupation number representation, such that the state vectors are members of Fock space instead of a Hilbert space. This scheme was introduced by Thoss and Wang under the name MCTDH in second quantization representation (MCTDH-SQR) [24]. As we will use MCTDH-SQR instead of MCTDHF to solve the DMFT impurity problem with \( N \) fermionic particles, we shortly review the key points of the scheme and refer the interested reader to the original reference.

For fermions and \( M \) spin-orbitals, the basis of the Fock space is given by

\[
|n_1, n_2, \ldots, n_M\rangle = \prod_{p=1}^{M} (c_p^\dagger)^{n_p} |0_1, 0_2, \ldots, 0_M\rangle, \tag{21}
\]

where \( n_p = 0, 1 \) are the allowed occupations of the spin-orbital \( |\chi_p\rangle, |0_1, 0_2, \ldots, 0_M\rangle \) denotes the empty vacuum state, and \( c_p^\dagger \) denotes the fermionic creation operator, satisfying anticommutation relations with the associated annihilation operator \( c_p \),

\[
\{c_p, c_q^\dagger\} = c_p c_q^\dagger + c_q^\dagger c_p = \delta_{pq},
\]

\[
\{c_p, c_q\} = \{c_p^\dagger, c_q^\dagger\} = 0. \tag{22}
\]

The key step is a Jordan-Wigner transformation of the fermionic degrees of freedom, i.e., the basis vectors in the occupation number representation are represented as a Hartree product of kets \( |n_P\rangle \) for the occupation of each spin-orbital,

\[
|n_1, n_2, \ldots, n_M\rangle \equiv |n_1\rangle \otimes |n_2\rangle \otimes \cdots \otimes |n_M\rangle. \tag{23}
\]

Regarding Eq. (23), the expansion coefficients \( C_{n_1 \ldots n_M} \) then do not fulfill any particular antisymmetry relation upon exchange of their indices and thus can be compactified according to Eq. (20), leading to the standard MCTDH for distinguishable degrees of freedom.

For actual manipulations, the occupation number states of a spin-orbital \( |\chi_j\rangle \) are mapped onto a two-dimensional vector space

\[
|n_j = 0\rangle \leftrightarrow \begin{pmatrix} 0 \\ 1 \end{pmatrix},
\]

\[
|n_j = 1\rangle \leftrightarrow \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \tag{24}
\]

while creation and annihilation and all derived operators acting in Fock space are mapped to products of \( 2 \times 2 \) matrices, i.e.,

\[
\hat{c}_p = \left( \prod_{Q=1}^{P-1} (-1)^{n_Q} \right) \hat{c}_p = \left( \prod_{Q=1}^{P-1} \hat{S}_Q \right) \hat{c}_p,
\]

\[
\hat{c}_p^\dagger = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix},
\]

\[
\hat{S}_p = (-1)^{n_p} \equiv \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}. \tag{25}
\]

The matrix form of the annihilation operator results from the Hermitian conjugate of \( \hat{c}_p^\dagger \),

\[
\hat{c}_p = \left( \prod_{Q=1}^{P-1} (-1)^{n_Q} \right) \hat{c}_p^\dagger,
\]

\[
\hat{c}_p^\dagger = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}. \tag{26}
\]

Moreover, the number of electrons in a Fock state \( |n_1, n_2, \ldots, n_M\rangle \) is given by \( N = \sum_{\rho=1}^{M} n_P \), and the electron number operator \( \hat{n}_P \) for spin orbital \( |\chi_P\rangle \) can be written as

\[
\hat{n}_P \equiv \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}. \tag{27}
\]

It is clear that any operator in second quantization, such as the Hamiltonians in Eqs. (1) and (6), consists of a sum of products of terms acting on one degree of freedom only, where the degrees of freedom are the occupation numbers of each spin-orbital. All the exchange symmetry logic is contained in the products of sign-change operators \( S_p \) acting on the degrees of freedom in front of position \( P \) where a particle is being either created or annihilated, i.e., the (anti)symmetry properties of the system are carried by the operator and not by the state vector as is the case in first quantization.

In practice, virtually any MCTDH implementation for distinguishable particles with the possibility of mode combination or multi-layer MCTDH, e.g., the Heidelberg MCTDH package used here [35], can perform MCTDH-SQR calculations without further modification. All that needs to be done is to define the corresponding system Hamiltonian making use of the representation of second quantization operators as (products of) \( 2 \times 2 \) matrices according to the rules introduced above.

III. RESULTS AND DISCUSSION

In this section, we apply the MCTDH-SQR method as impurity solver and evaluate its performance on the basis of the computational cost. In the first part, we outline the procedure for a simple test bath and compute the time-dependent wave function of the corresponding single-impurity Anderson model (SIAM) including \( L \) bath sites and \( N = N_+ + N_- = L + 1 \) fermions for various on-site
interactions $U$. In the second part, we discuss the self-consistency and illustrate the computation of the two-time impurity Green’s function.

## A. Model setup

To assess the performance of MCTDH-SQR for a time-dependent impurity problem which is representative for a DMFT calculation, we solve an impurity model which is suddenly coupled to a bath with semi-elliptical density of states and temperature $T = \beta^{-1} = 1$, i.e., we choose a hybridization function $\Lambda_\sigma(t, t') = v(t)g_\sigma(t, t')v(t')$, where the coupling $v(t)$ to the bath is given by a Heaviside step function, and $g_\sigma$ is the equilibrium Green’s function of the uncoupled bath,

$$g_\sigma^2(t, t') = \mp i \int d\omega f^\pm(\omega)A(\omega)e^{-i\omega(t-t')} ,$$

with $f^< (\omega) = f(\omega) = 1/(e^{\beta\omega} + 1)$, $f^>(\omega) = 1 - f(\omega)$, and $A(\omega) = \frac{1}{2\pi} \sqrt{4 - \omega^2}$. The complex hopping parameters $V_{\sigma}^b(t)$ in the SIAM then follow from a low-rank Cholesky decomposition of $\Lambda_\sigma(t, t')$ \textcolor{red}{[13]}. Figure \textcolor{red}{3} shows the resulting hopping parameters for a setup with $L = 4$ and $L = 6$ bath sites on a time window up to $t = 10$; the time discretization comprises $n_t = 500$ time steps.

For the MCTDH-SQR setup, we group two bath sites (i.e., four bath spin-orbitals corresponding to four degrees of freedom) into one combined mode. As each spin-orbital can be in either state $|0\rangle$ (empty) or $|1\rangle$ (occupied), the span with $2^4 = 16$ SPFs represents the full Fock space. The impurity is left as a separate mode, which will in practice always be described with the maximum of $2^2 = 4$ SPFs. Starting from the atomic limit, the impurity site is initially decoupled from the bath and is occupied by a single up- or down-spin electron. Consistent with the decomposition scheme outlined in Sec. \textcolor{red}{II A} the bath orbitals have different initial populations: The first half is doubly occupied whereas the second half is empty ($L$ even).

Assuming a SIAM with $N_\uparrow = \frac{L}{2} + 1$ spin-up particles and $N_\downarrow = \frac{L}{2}$ spin-down particles, the dimension of the Hilbert space of the SIAM is given by

$$D_H = \left(\frac{L+1}{L/2+1}\right)\left(\frac{L+1}{L/2}\right).$$

On the other hand, the $A$-vector $A_{j_1...j_p}$ in the MCTDH ansatz of Eq. \textcolor{red}{[19]} has dimension

$$D_A = 2^2(N_{\text{CM}}^b)^{L/L_{\text{CM}}} ,$$

for an orbital partition scheme with $N_{\text{CM}}^b$ bath spin-orbitals in a combined mode and each combined mode being represented by $N_{\text{SPF}}^b$ SPFs (as stated above the impurity degrees of freedom are treated in a single separate mode and are accounted for by the factor $2^2$). From Fig. \textcolor{red}{4} we observe that (despite the exponential scaling of the configuration space with $L$) the application of MCTDH-SQR can become favorable against exact diagonalization (ED) for specific numbers of SPFs $N_{\text{SPF}}^b$ at fixed $L$, provided that the relevant observables of the impurity model are satisfactorily resolved in time. Note also that for large numbers of SPFs the size of the $A$-vector can exceed the size of the Hilbert space of the SIAM. This is due to the fact that MCTDH-SQR is defined in the whole Fock space and an exact calculation corresponds to the sum of all Hilbert space sizes corresponding to all possible occupations.
B. Comparison to exact diagonalization

1. Time evolution of the double occupancy

To examine the quality of the MCTDH ansatz of the SIAM for different numbers of SPFs, we compute the time-dependent impurity double occupancy

$$\langle d(t) \rangle = \langle \Psi(t)|n_{0\uparrow}n_{0\downarrow}|\Psi(t)\rangle$$

for various sizes $L$ of the bath and different on-site interactions and compare it to exact reference data which is obtained by ED. In Fig. 5a and 5b, we show MCTDH data for the SIAM with four bath sites at (a) $U = 2$ and (b) $U = 6$, calculated by exact diagonalization (ED) and MCTDH-SQR with various numbers of SPFs ($N_{SPF}^b$).

FIG. 5: (Color online) Time-dependent double occupancy $\langle d(t) \rangle$ of the impurity site for the SIAM with $L = 4$ bath orbitals at (a) $U = 2$ and (b) $U = 6$, calculated by exact diagonalization (ED) and MCTDH-SQR with various numbers of SPFs ($N_{SPF}^b$).

2. Increase of configuration space with time

We now attempt to estimate the size of the configuration space needed to access a certain maximum time. For this analysis we restrict ourselves to the case of strong coupling where MCTDH converges most rapidly ($U = 10$). The configuration space is determined by two contributions: (i) the number of bath orbitals $L(t_{max})$ needed to accurately represent the dynamics up to $t = t_{max}$ (cf. Sec. 1A), and (ii) a possible reduction of the configuration space with respect to $D_H(L(t_{max}))$ by MCTDH-SQR.

We first determine the configuration space needed within the ED approach. In all panels of Fig. 5, the black solid line indicates the dynamics for the bath which is approximated by 14 sites, which is the largest system size accessible with ED in our implementation. Comparing these reference data with ED results for smaller $L$ (e.g., the black dashed lines in Figs. 5a and 5b for $L = 10$ and $L = 12$, respectively), we can extract a maximum physical time $t_{max}(L)$ which can be reached in the calculation with a certain computational effort, measured by the corresponding Hilbert space dimension $D_H(L(t_{max}))$. The colored symbols in Fig. 7 indicate exponential scaling between $t_{max}$ and $D_H$ for exact diagonalization, where $t_{max}$ is determined by allowing for a maximum deviation of 1% (red crosses) and 10% (orange squares) from the $L = 14$ reference data.

From the plot it becomes clear that it is exponentially hard to reach long times with a Hamiltonian-based rep-
maximum time of about $t_{\text{max}} = 9$ up to which the oscillation of the double occupancy for $N_{\text{SPF}}^b = 8$ is still decaying as function of time.

The result of the analysis is presented by the black dots labeled by $(L, N_{\text{SPF}}^b)$ in Fig. 7. Indeed, we find a deviating scaling for MCTDH which roughly follows the delineated gray band as function of $t_{\text{max}}$. With eight SPFs, the calculation for $L = 16$ also marks the first point where the size of the MCTDH $A$-vector ($D_A \approx 6.7 \times 10^7$) is smaller than the size of corresponding Hilbert space dealt with in the exact diagonalization ($D_H \approx 5.9 \times 10^8$).

C. Impurity Green’s function

For a successful implementation as out-of-equilibrium impurity solver, MCTDH must be capable to access the two-time Green’s function $G_{\sigma\sigma}(t, t')$ on the impurity site of the SIAM, from which the hybridization function is determined in a self-consistent manner. From this local Green’s function one can then also obtain, e.g., the self-energy of the system, the time-dependent momentum distribution or spectroscopic observables of pump-probe experiments [9].

To demonstrate the general procedure and its feasibility within MCTDH-SQR we follow Ref. [14] and consider the real-time dynamics of the Hubbard model on the Bethe lattice, starting from the atomic limit and
from a zero-temperature initial state \((T = 0)\). More precisely, we fix the on-site interaction to \(U = 4\) and study the dynamics of the paramagnetic phase at half-filling when the nearest-neighbor hopping in the infinite-dimensional lattice is ramped up from zero to \(v(t) = 1\) with a cosine-shaped profile (see the red dotted line in Fig. 5). In the Hubbard Hamiltonian \((\mathcal{H})\) we thus consider \(\epsilon_i(t) = \delta_{ij}(v(t))/\sqrt{Z}\) in the limit of infinite coordination number \(Z\).

The DMFT action of the lattice Hubbard model is mapped onto a SIAM with an initial state as described in Sec. II.A (i.e., it contains an equal number of empty and doubly-occupied bath sites with energy \(\epsilon_i = 0\) and a singly-occupied impurity). The hopping parameters \(V_{\sigma}^0(t)\) are spin-independent and are determined self-consistently via the bath hybridization function \(\Lambda_{\sigma}(t,t') = v(t')G_{0\sigma}(t,t')v(t')\), where \(G_{0\sigma} = G_{0\uparrow}\) for all times on the contour. To generate an initial guess for \(\Lambda_{\sigma}(t,t')\) we use the Green’s function of Eq. (25), compare with Fig. 5.

Given the time-dependent MCTDH wave function \(|\Psi(t)\rangle\) of the SIAM for \(N = N_\uparrow + N_\downarrow\) particles, the two independent (lesser and greater) components of the impurity Green’s function, \(G_{0\uparrow}\) and \(G_{0\downarrow}\), can be computed as the over

\[
G_{0\sigma}(t,t') = -i\langle \Psi(t)\mid \Phi^\sigma(t',t')\rangle, \\
G_{0\sigma}^<(t,t') = i\langle \Psi(t')\mid \Phi^\sigma(t',t)\rangle,
\]

where the states \(|\Phi^\sigma(t',t)\rangle\) are defined by \(|\Phi^\sigma(t',t)\rangle = c_{\sigma\downarrow}U(t,t')c_{\sigma\uparrow}^\dagger|\Psi(t')\rangle\), \(|\Phi^\sigma(t',t)\rangle = c_{\sigma\uparrow}U(t,t')c_{\sigma\downarrow}^\dagger|\Psi(t')\rangle\) and \(U(t,t') = T_{\tau}^\tau e^{-i\int_{\tau'}^\tau dt' H(t')}\) denotes the time-evolution operator for the impurity model \((\mathcal{H})\). In practice, we evaluate the two-time Green’s functions as

\[
G_{0\sigma}^>(t,t') = -i\langle \Xi^\sigma(t)\mid \Xi^\sigma(t')\rangle, \\
G_{0\sigma}^<(t,t') = i\langle \Xi^\sigma(t')\mid \Xi^\sigma(t)\rangle,
\]

where \(|\Xi^\sigma(t)\rangle = U(0,t)c_{\sigma\downarrow}|\Psi(t)\rangle\) and \(|\Xi^\sigma(t)\rangle = U(0,t)c_{\sigma\uparrow}|\Psi(t)\rangle\) are the associated \((N + 1)\)- and \((N - 1)\)-particle wave functions.

As the half-filled Hubbard model we start from is particle-hole symmetric, but the SIAM with spin imbalance is not, we use an adapted initial state which is a superposition of two degenerate states: One has a spin-up electron occupying the impurity site, and the other has a spin-down electron on the impurity site. An alternative scheme which we have also implemented to restore particle-hole symmetry is to first construct Green’s functions \(G^A(t,t')\) and \(G^B(t,t')\) with interchanged particle numbers (i.e., \(N_\uparrow \leftrightarrow N_\downarrow\)), and then to average over the two Green’s functions according to \(G(t,t') = \frac{1}{2}[G^A(t,t') + G^B(t,t')].\)

In Figs. 5-6 we present results for the self-consistent impurity Green’s function where the hybridization function has been approximated on a time window \([0, 4]\) by a SIAM with \(L = 8\) bath orbitals. We clearly see that while the density in the system, \(\langle n_\sigma\rangle(t) = \text{Im} G^\sigma_0(t,t) = 0.5\), is a constant of motion, the time-off-diagonal components of the Green’s function containing the spectral information develop as function of the two times (see the black arrows). Moreover, for times \(t, t' > 1.5\) where the double occupation in the system approaches a stationary value, also the Green’s functions attain quasi static structure as function of the physical (center of mass) time \((t + t')/2\).

To bring the results to convergence, we have implemented the self-consistency loop in two ways, either iterating on the full \((t, t')\)-mesh or using the time propagation scheme described in Ref. 14. While the former approach was simpler to implement, the latter is found to be much more efficient because the self-consistency is established for each time slice separately allowing for essentially fewer iterations. Finally, we remark that the tiny changes in the Green’s function at later times \((t > 3)\) are due to the discretization of the DMFT bath with only eight bath sites, see, e.g., the red arrow in Fig. 5 and compare to the time evolution of the double occupancy in Figs. 5-6 which also deviates from the steady state (dashed line) for times \(t > 3\).
IV. CONCLUSION

In this work we have implemented and benchmarked a solution of the impurity problem of nonequilibrium dynamical mean-field theory (DMFT) based on the multiconfiguration time-dependent Hartree (MCTDH) method. The MCTDH method provides a variationally optimized representation of a time-dependent (fermionic or bosonic) wave function, which can reduce the dimension of the underlying basis function space by several orders of magnitude. The resulting compression of the wave function is a crucial feature to overcome the notorious exponential scaling barrier in the Hamiltonian representation of the DMFT action that hinders the access of long simulation times.

For the time-dependent single-impurity Anderson model (SIAM), which represents the core component of the Hamiltonian-based DMFT approach out of equilibrium, we have been able to show that MCTDH can indeed go beyond the capability of exact diagonalization for sufficiently strong Coulomb interactions. For SIAMs with a small number of bath sites, an exact solution is more favorable than MCTDH, because the latter is implemented in second quantization representation (MCTDH-SQR) where the state vector is defined in Fock space and contains redundant electronic configurations which are unphysical for simulating a system with a given number of electrons (and spin-orbitals). For large systems, as needed to solve the DMFT problem at long times, MCTDH can become favorable. Using the concept of mode combination, we have provided the dynamics of a SIAM, which describes a typical DMFT bath with $L = 16$ bath orbitals and is not feasible to be solved by exact diagonalization at reasonable computational cost. This calculation marks the onset of a regime in which the state vector of MCTDH scales more favorably than the Hilbert space with the maximum physical time that can be accessed in the simulation.

Moreover, we have illustrated the feasibility of the MCTDH-SQR algorithm to yield the time-dependent observables as well as the self-consistent real-time Green’s functions for a generic Hubbard-type lattice problem, which shows the potential of the method to act as full DMFT impurity solver. Although the efficiency to directly compute the Green’s function with the standard Heidelberg MCTDH package for system sizes as large as $L \approx 16$ must still be proven, there are no conceptual difficulties to upscale the scope of the method.

In order to push the applicable regime of the MCTDH-based impurity solver to even larger SIAMs and hence to even longer time scales, it is very promising to extend the approach to multi-layer MCTDH by which the scaling barrier is expected to be more efficiently overcome. Thus, to find an optimal tree-tensor network decomposition of the fermionic SIAM wave function within the ML-MCTDH scheme is the main path for future work.

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