Meta-analysis Comparing Fluorescence Imaging with Radioisotope and Blue Dye-Guided Sentinel Node Identification for Breast Cancer Surgery

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ABSTRACT

Introduction. Conventional methods for axillary sentinel lymph node biopsy (SLNB) are fraught with complications such as allergic reactions, skin tattooing, radiation, and limitations on infrastructure. A novel technique has been developed for lymphatic mapping utilizing fluorescence imaging. This meta-analysis aims to compare the gold standard blue dye and radioisotope (BD-RI) technique with fluorescence-guided SLNB using indocyanine green (ICG).

Methods. This study was registered with PROSPERO (CRD42019129224). The MEDLINE, EMBASE, Scopus, and Web of Science databases were searched using the Medical Subject Heading (MESH) terms ‘Surgery’ AND ‘Lymph node’ AND ‘Near infrared fluorescence’ AND ‘Indocyanine green’. Studies containing raw data on the sentinel node identification rate in breast cancer surgery were included. A heterogeneity test (using Cochran’s Q) determined the use of fixed- or random-effects models for pooled odds ratios (OR).

Results. Overall, 1748 studies were screened, of which 10 met the inclusion criteria for meta-analysis. ICG was equivalent to radioisotope (RI) at sentinel node identification (OR 2.58, 95% confidence interval [CI] 0.35–19.08, \( p < 0.05 \)) but superior to blue dye (BD) (OR 9.07, 95% CI 6.73–12.23, \( p < 0.05 \)). Furthermore, ICG was superior to the gold standard BD-RI technique (OR 4.22, 95% CI 2.17–8.20, \( p < 0.001 \)).

Conclusion. Fluorescence imaging for axillary sentinel node identification with ICG is equivalent to the single technique using RI, and superior to the dual technique (RI-BD) and single technique with BD. Hospitals using RI and/or BD could consider changing their practice to ICG given the comparable efficacy and improved safety profile, as well as the lesser burden on hospital infrastructure.

The vast majority (\( \sim 90\% \)) of clinically node-negative breast cancer patients undergo a sentinel lymph node biopsy (SLNB) staging procedure.\(^1\) During SLNB, lymph nodes are sampled to assess whether any metastatic spread has occurred. The aim is to accurately identify the ‘sentinel’ or guardian nodes through lymphatic mapping. This involves injecting a tracer either into or around the tumor, and then subsequently following the drainage pathway to identify the nodes that have ‘taken-up’ the tracer. Conceptually, this is meant to recreate the pathway by which tumor metastasis might spread to axillary nodes. Thus, following this pathway from the tumor to the first lymph node(s) visualizes the sentinel node(s), and the status of these nodes is predictive of the nodal status of the residual axillary basin.\(^2–5\) While there remains a drive to ‘de-
Fluorescence-guided sentinel node biopsy. 3739

Fluorophores, which are European Medicines Agency (EMA)-approved for human use, include indocyanine green (ICG), methylene blue, fluorescein, and aminolevulinic acid (ALA).34 Of these, ICG dominates clinical practice, being used for various angiographic studies such as retinography, cardiac function, and liver function.35–37 ICG has been trialed in various off-label studies for intraoperative analysis of tissue viability during bowel anastomosis or plastic surgery reconstructions, biliary surgery, parathyroid identification, tumor identification, and lymphography and sentinel node identification in various malignancies.37–40 A number of studies assessing the potential of ICG to aid in sentinel lymph node mapping through the use of fluorescence imaging have now been published, including a critical mass of high-quality prospective studies.21–30 Previous meta-analyses comparing the sentinel node detection rates between ICG and BD and RI38,41,42 were limited by source data reporting the identification rate per patient (i.e. at least one node was found in each patient) rather than in total nodes, thus obtaining less precise results. The present meta-analysis utilized studies reporting total nodal identification rates for the various modalities, therefore providing a comprehensive comparison on the effectiveness of axillary sentinel node identification using ICG as opposed to the ‘gold standard’ BD and RI.

METHODS

A systematic literature search was performed using the MEDLINE, EMBASE, Scopus, and Web of Science databases for all articles published before September 2019. The study was registered on PROSPERO (CRD42019129224). The following Medical Subject Headings (MeSH) were used in combination and/or with operators: (‘intraoperative’[All Fields] OR ‘intra-operative’[All Fields] OR ‘surger*[All Fields] OR ‘surgical’[All Fields] OR ‘operat*[All Fields] OR ‘surgery’[MeSH Terms]) AND (‘sentinel node*[All Fields] OR ‘lymph node*[All Fields]) OR ‘axillary node*[All Fields] OR ‘mammary node*[All Fields] OR ‘breast node*[All Fields] OR ‘supraclavicular node*[All Fields] OR ‘lymph node*[MeSH Terms]) AND (‘ICG*[All Fields] OR ‘indocyanine green*[All Fields] OR ‘indocyanine green*[MeSH Terms]) AND (‘near infrared*[All Fields] OR ‘NIR*[All Fields] OR ‘NIRF*[All Fields] OR ‘multispect*[All Fields] OR ‘hyperспект*[All Fields] OR ‘infrared’[MeSH Terms]). The search terms were adjusted as needed for each database. Articles were filtered for those focusing on fluorescence imaging of sentinel nodes in breast cancer. Covidence systematic review software35 (Veritas Health Innovation, Melbourne, VIC, Australia) was used to deduplicate,
screen studies, and extract data through two independent reviewers (MK and NJ). Any conflicting studies were then put to a third reviewer (DRL). Quality assessment was performed using QUADAS2, with only the highest-quality studies, (i.e. those scoring 14/14) being included for meta-analysis.

Studies were only included if the paper (1) focused on sentinel node evaluation in breast cancer; (2) described a clinical trial or cohort study of at least 10 patients; (3) included patients who were undergoing a primary intervention of the breast/axilla; (4) compared ICG with either radiocolloid and/or BD (either patent blue, methylene blue, or isosulphan blue); (5) reported the total number of sentinel nodes identified per tracer; and, finally, (6) full text was available in the English language. Studies were excluded if: (1) the lymph nodes assessed were not related to a primary breast cancer; (2) patients had undergone previous breast and/or axillary surgery and/or received neoadjuvant chemoradiotherapy; (3) ICG was used as the only tracer with no comparator (of BD or RI); (4) they were case studies or studies including < 10 patients; and (5) they were systematic reviews or meta-analyses, animal studies, abstract only, or if the full text was not available in English.

Data extraction included: the type of study, patient number, mean age and range, mean body mass index (BMI) and range, camera used, dyes used, the sentinel node identification rate for each modality, and the metastatic status of the nodes. Statistical analysis was performed using MATLAB (MATLAB and Statistics Toolbox Release 2018b, The MathWorks, Inc., Natick, MA, US). A heterogeneity test (using Cochran’s Q) determined the use of fixed- or random-effects models for pooled odds ratios (ORs), both of which were reported with 95% confidence intervals.

RESULTS

Overall, 1748 articles were identified from the initial literature search. After de-duplication, the remaining 1050 articles underwent title and abstract screening. Of these, only 88 proceeded to full-text evaluation. Finally, 19 studies met the study inclusion criteria, and were subsequently assessed for quality using QUADAS2 (see electronic supplementary material), and the top-scoring articles for each category of ICG versus RI, ICG versus BD, and ICG versus dual technique (scoring 14 out of a possible 14 points) were included for meta-analysis (Fig. 2). Therefore, 10 studies were included in the final meta-analysis (Table 1).

Only six of these 10 studies were prospective, non-randomized clinical trials, whereas the remaining four were cohort studies. Five studies used the Photodynamic Eye™ (PDE) camera system (Hamamatsu Photonics, Shizuoka, Japan), two employed the mini-FLARE™ camera system (Beth Israel Deaconess Medical Center, Boston, MA, USA), one utilized the Fluorescence Image-guided Resection Equipment (FIRE) system (Key Laboratory of Molecular Imaging of Chinese Academy of Sciences, Beijing, China), one capitalized on the HD Laparoscopic System™ (Karl Storz, Tuttingen, Germany), and one exploited the Irillic.nm System (Irillic Pvt. Ltd., Bangalore, India).
In total, these studies encompassed 944 patients receiving sentinel node biopsy as part of their standard cancer treatment using fluorescence imaging alongside a comparator tracer, including radioactive colloid and/or BD. Patient demographics (age, BMI, etc.) were comparable between studies, as demonstrated in Table 1. Cancer subtype was comparable between studies, with the majority of patients (74–83%) having invasive ductal carcinoma, 3–17% with ductal carcinoma in situ, 6–10% with invasive lobular carcinoma, and 13% with mixed disease.22–25,29,30

Three studies compared all SLNB localization modalities (ICG, RI, and BD), six compared ICG and RI alone, and another two compared ICG and BD alone. Of the ICG-RI groups, one further subdivided the cohort based on whether or not albumin had been combined with ICG. Only one study reported the signal/background ratio (SBR) for the lymph nodes, which ranged from 8.3 to 10.3 in signal strength.30 However, the majority set a predetermined threshold SBR for what they would consider to be a positive signal (typically 1.1–1.2).22,23

Four studies, encompassing 185 patients and 430 lymph nodes, compared ICG and BD (Table 2); 417/430 lymph nodes were identified with ICG, whereas 332/430 lymph nodes were identified with BD. ICG identified an additional 0.33 sentinel lymph nodes (95% CI −0.07 to 0.73, \( p = 0.051 \)) per patient than BD, however this was not statistically significant. Without accounting for study power or variance, the odds of detecting versus not detecting a sentinel node using ICG was 32.1 (417 SLNs detected/13 SLNs not detected), and 3.4 for BD (332 SLNs detected/98 SLNs not detected). Given the homogeneity of the data (Q-value = 0.08, with \( p = 0.99 \)), a fixed model was applied to calculate the OR between the two modalities. In fixed-model analysis (which accounted for study variance through weighting), the OR of detecting versus

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**FIG. 2 PRISMA flowchart detailing the study selection process.** Overall, 1748 studies were identified, of which 698 were duplicates and were subsequently removed. The remaining 1050 studies underwent title and abstract screening, of which 959 were irrelevant. Through cross-referencing, an additional 15 articles were identified and were included in the full-text assessments. Of the full-text articles, only 19 studies met the eligibility criteria, of which only 10 were of high quality. PRISMA Preferred Reporting Items for Systematic Reviews and Meta-Analyses.
not detecting SLNs when using ICG compared with BD was 8.89 (95% CI 5.04–15.68) (Fig. 3a). Upon random-effect model analysis, the OR of detecting versus not detecting SLNs using ICG as opposed to BD was 9.45 (95% CI 2.23–40.8). The improvement in sentinel node localization with ICG versus BD was statistically significant ($p = 0.001$) [see the electronic supplementary material].

Seven studies, encompassing 693 patients and 1278 lymph nodes, compared ICG and RI (Table 3); 1150/1278 lymph nodes were identified with ICG, whereas 1079/1278 lymph nodes were identified with RI. When ICG was used, 0.01 (95% CI $-0.37$ to $0.35$) more sentinel lymph nodes were identified per patient than when compared with RI, however this was not statistically significant ($p = 0.48$). Without accounting for study power or variance, the odds of detecting versus not detecting a sentinel node using ICG was 9.0 (1150 SLNs detected/128 SLNs not detected), and 5.4 for RI (1079 SLNs detected/199 SLNs not detected). Given the heterogeneity of the data (Q-value = 132.60, with $p = 0$), a random model was applied to calculate the OR between the two modalities. The OR of detecting versus not detecting SLNs using ICG versus RI was 2.58 (95% CI 0.35–19.08) (Fig. 3b). No statistically significant difference was found between the two tracer modalities with respect to sentinel node identification ($p = 0.18$).
FIG. 3 Forrest plots comparing the ORs of identifying a sentinel node using fluorescence imaging compared with the other standard modalities. (a) OR using ICG compared with BD using a fixed model. The ORs of identifying a sentinel node using ICG are significantly higher compared with BD (OR 8.89, 95% CI 5.04–15.69). (b) OR using ICG compared with RI using a random model. The ORs of identifying a sentinel node using ICG are not significantly different compared with RI (OR 2.58, 95% CI 0.35–19.08). (c) OR using ICG compared with the dual technique using a fixed model. The ORs of identifying a sentinel node using ICG are significantly higher compared with the dual technique (OR 4.22, 95% CI 2.17–8.20).

TABLE 3 Comparison between ICG and RI in the sentinel node identification rate

| References         | Year | No. of patients | Dyes                      | SLN identification rate | SLN per patient rate |
|--------------------|------|-----------------|---------------------------|-------------------------|----------------------|
|                    |      |                 |                           | ICG         | RI       |                  | ICG     | RI     |
| Ballardini et al.  | 2013 | 134             | ICG and RI                | 245/246     | 231/246  | 1.83             | 1.72    |
| Mieog et al.       | 2011 | 24              | ICGHS and BD and RI       | 35/35       | 35/35    | 1.46             | 1.46    |
| Polom et al.       | 2012 | 59              | ICG and RI                | 68/72       | 58/72    | 1.15             | 0.98    |
| Samorani et al.    | 2015 | 301             | ICG and RI                | 583/589     | 458/589  | 1.94             | 1.52    |
| Sorrentino et al.  | 2018 | 71              | ICG alone/RI alone        | 76/82       | 78/82    | 1.07             | 1.10    |
| Valente et al.     | 2018 | 29              | ICG and RI                | 124/235     | 202/235  | 1.35             | 2.20    |
| van der Vorst et al. | 2012 | 12              | ICG and BD and RI         | 19/19       | 17/19    | 1.58             | 1.42    |
|                    |      |                 | ICG and RI                | 18/18       | 18/18    | 1.50             | 1.50    |

ICG indocyanine green, RI radioisotope, SLN sentinel lymph node, BD blue dye, ICGHS indocyanine green conjugated to human albumin
For the ICG versus gold-standard group of combined RI-BD, only two high-quality studies were available for comparison.23,27 If the cohort was expanded to include all eligible studies regardless of quality scoring, then only one more study could be included.45 These studies encompassed 156 patients and 373 lymph nodes (Table 4); 363/373 lymph nodes were identified with ICG, whereas 329/373 lymph nodes were identified with the dual technique. ICG identified an additional 0.218 sentinel lymph nodes per patient (95% CI 0.061–0.375, \( p = 0.003 \)), which was statistically significant. Given the homogeneity of the data (\( Q \)-value = 1.67, with \( p = 0.64 \)), a fixed model was applied to calculate the OR between the two modalities. In fixed-model analysis (which accounted for within-study precision through weighting), the OR of detecting versus not detecting SLNs when using ICG compared with the dual technique was 4.22 (95% CI 2.17–8.2) (Fig. 3c). The improvement in sentinel node localization with ICG versus the dual technique was statistically significant (\( p < 0.001 \)).

Multiple studies reported no severe adverse events associated with the dyes.22,24,26,28 Only Mieog et al.23 reported surgical complications such as wound infection or axillary hematoma, which occurred in 3 of 24 patients. No studies reported long-term complications such as decreased sensation, restricted movement, or lymphedema. Surgical times were equivalent for lumpectomy with SLNB irrespective of which dye was used.28,30 Cost analysis was also reported to be equivalent between dyes, both per surgery and per patient.28

**DISCUSSION**

This meta-analysis demonstrates that fluorescence-guided sentinel node mapping with ICG is 8.89 times more likely to identify a sentinel node than BD alone, and 4.22 times more likely than the dual technique. Conversely, there was no statistically significant difference in sentinel node identification rates when comparing ICG with RI alone. These results may have significant implications for centers that are unable to use RI or those that only use BD for SLNB, since detection rates could significantly and safely be improved if they were to change practice to using fluorescence imaging with ICG instead.

The difference between the number of sentinel nodes identified per patient was not statistically significant when compared with individual dyes (0.33 more for ICG vs. BD, with \( p = 0.051 \); 0.01 less for ICG vs. RI, with \( p = 0.48 \)). However, the difference was statistically significant when ICG was compared with the dual technique (0.218 more for ICG vs. dual, with \( p = 0.03 \)). To date, there are no studies comparing the number of sentinel nodes taken and morbidity rates, although it could be hypothesized that the risk for long-term complications such as lymphedema, sensory deficit, and shoulder function increases with each additional node sampled. Unsurprisingly, there was a significant difference in morbidity rates when comparing SLNB with axillary dissection as per the ALMANAC5 and ASOCOG46 trials (25% vs. 70%, \( p < 0.001 \)), therefore the number of sentinel nodes sampled evidently affects postoperative complications. However, even with the additional statistically significant 0.218 sentinel nodes per patient, we question whether such a modest increase would have a significant clinical impact.

According to the ALMANAC study, which used the dual technique for SLNB, surgeons require approximately 40 cases to become proficient at this procedure.47 This is in keeping with the literature review performed by Sanidas et al., which identified that surgeons in specialist centers were able to reliably perform SLNB after 20–30 cases, but required up to 60 cases in community hospitals.48 SLNB with BD has been reported to be more difficult to learn than with RI, as RI is able to give guidance through tissue, whereas BD is only useful upon direct visualization of the node.49 To date, no trials have evaluated the learning curve of SLNB with ICG; however, as ICG is able to provide real-time lymphatic mapping even prior to incision, it could be hypothesized to be the easiest of the three techniques.49 However, injury to the lymphatic vessels may cause ICG to leak and give false positive signals. The surgeons included in the above meta-analysis were already proficient at SLNB.

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**TABLE 4** Comparison between ICG and gold standard in the sentinel node identification rate

| References                        | Year | No. of patients | Dyes               | SLN identification rate | SLN per patient rate |
|-----------------------------------|------|----------------|--------------------|-------------------------|----------------------|
|                                   |      |                |                    | ICG         | RI or BD     | ICG | RI        |
| Mieog et al.23                    | 2011 | 24             | ICGHSA and BD and RI | 35/35       | 35/35        | 1.46| 1.46      |
| Schaafsma et al.45                | 2013 | 16             | half-dose ICG and RI and BD | 21/21       | 21/21        | 1.31| 1.31      |
| Schaafsma et al.45                | 2013 | 16             | ICG and RI and BD   | 27/27       | 27/27        | 1.69| 1.69      |
| Somashekhar et al.27              | 2020 | 100            | ICG and RI and BD   | 280/290     | 246/290      | 2.80| 2.40      |

ICG indocyanine green, SLN sentinel lymph node, RI radioisotope, BD blue dye, ICGHSA indocyanine green conjugated to human albumin
using the dual technique, therefore any anecdotal comments on ICG SLNB learning curves were related to the novel equipment rather than the procedure itself. Future work should focus on investigating the learning curve in ICG SLNB to ensure its safe clinical adoption.

ICG and RI are able to provide deep insight into SLNB location, prior to skin incision. BD and RI are static in their feedback, as they only provide signal where sufficient accumulation of the tracer has occurred in sentinel nodes. Conversely, ICG is able to provide dynamic real-time visualization of lymphatic channels as ICG travels from the injection site towards the sentinel node. Surgeons are also therefore able to adjust skin incisions accordingly, to facilitate optimal exposure of sentinel nodes. This requires a lesser degree of navigational technical skill for the surgeon than with RI (with only auditory feedback of the highest concentration points via gamma probe) or BD (where the feedback is after dissection upon direct visualization of the node). Both ICG and BD are administered subdermally by the surgeon at the start of the operation. This therefore adds approximately 4–6 min to the operative time to enable travel from the injection site to the sentinel node. However, if the time taken for injection of the tracer is excluded, the procedural times are comparable. Of note, radiocolloid tends to be injected intratumorally by a radiologist up to 1 day prior to the operation, highlighting the lack of conjugation with albumin would be less costly. These findings have important implications with regard to cost efficiency, as lower dose/particle density of ICG and the lack of conjugation with albumin would be less costly.

According to the literature, many factors have been suggested as potentially affecting fluorescence imaging; such as age, BMI, or hospital setting. There are conflicting results regarding the effects of age on the identification rate of SLN, with some studies reporting decreased SLN detection for those over 60 years of age, while other studies report no difference. A high BMI has been suggested to decrease the identification rate, likely due to the limited penetration of the fluorescence through the extra tissue layers. Additionally, hospital setting has been suggested as potentially affecting fluorescence imaging; however, in the study by He et al., it was not shown to affect SLN identification rates as long as all surgeons were adequately trained.

At present, none of the contrast agents discussed above are capable of selectively accumulating in metastatic lymph nodes, as they are designed to map sentinel nodes and predict the oncological status of the residual nodal basin. This meta-analysis supports this conclusion, as pooled sensitivity and specificity using a bivariate model found RI to be slightly more accurate than ICG at identifying cancerous nodes during SLNB (AUROC: RI = 0.87, 95% CI 0.84–0.89; ICG = 0.69, 95% CI 0.65–0.73), with both techniques being highly sensitive (ICG = 0.96, RI = 0.96) but not specific (ICG = 0.02, RI = 0.17) [see the electronic supplementary material]. A possible explanation for this is that the size/molecular weight of the tracer...
molecules may affect their ability to flow through the lymphatic system, which may become altered during cancer metastasis. RI and BD, which are smaller in size, will be more able to pass, whereas ICG, which is a large peptide chain, may be trapped earlier on. The study performed by Meric-Bernstam et al. failed to find any difference between the fluorescence of healthy or cancerous lymph nodes; however, this study was insufficiently powered to confirm statistical significance. Nevertheless, the possibility of being able to identify which lymph nodes are macroscopically cancerous at the outset could limit excessive axillary node sampling and ameliorate the risk of subsequent complications. Microscopic disease is unlikely to provide a sufficient signal for fluorescent detection, however given that microscopic deposits can be treated effectively with radiotherapy, and modern practice is to not perform lymphadenectomy for micrometastatic disease, the demand for such as a metastatic contrast agent would be to highlight nodes replaced by large macrometastatic deposits.

The key to any technology being embraced within the hospital setting is in it being as effective as the current gold standard, at a low cost. Despite fluorescence-guided surgery requiring additional expenditure, such as a dedicated camera and non-reusable materials, overall, there are cost savings associated with this approach over gold-standard dual mapping with BD and RI. For example, Technitium is approximately five times more expensive than ICG. These additional costs are due to the additional hospital infrastructure to accommodate radioactive substances, specialist staff required for administration, patient monitoring post-injection, and patient travel, as well as the increased cost of the tracer itself. Furthermore, given the short activity half-life of RIs, with limited nuclear medicine facilities capable of production and distribution, there is also the risk of inability to procure the tracer. The addition of BD to a combined dye–isotope technique adds further material costs ($15–108), and is only really financially competitive when used in isolation as it does not necessitate specific hardware, additional hospital visits, or specialist hospital infrastructure. Therefore, from a cost standpoint alone, ICG is preferable to RI and BD for SLNB.

Limitations

The current study has a number of important limitations that merit consideration. There were no randomized controlled trials comparing outcomes between the different modalities, as all trials to date were comparative cohort studies. There was heterogeneity between studies with regard to dyes being compared, equipment, and hospital setting. There was diversity between studies in dyes as some compared ICG with BD, others compared ICG with RI, and only three studies compared all three dyes. Furthermore, the dose of ICG administered was variable, however ICG has a wide range of doses at which it is effective. Additionally, some studies combined ICG and albumin, however given that in those studies no difference was found between the ICG versus ICG–albumin cohort, these data were included. Despite variation with regard to which cameras were used, all had been tailored to ICG and its unique absorption and emission spectra (805 nm and 830 nm, respectively). Each camera was equipped with a light source capable of activating ICG, and an NIR camera capable of detecting the emitted light (PDE detects signals > 820 nm, MiniFLARE > 700 and 800 nm, and FIRE at 700–900 nm). With regard to variation of hospital setting, although there was no difference in the multicenter trial performed by He et al. it could prove more difficult for district general hospitals to embrace new techniques as they may have decreased exposure. We attempted to account for interstudy variability using the QUADAS2 scoring, and only studies with low bias and high applicability were included.

CONCLUSION

Fluorescence-guided axillary sentinel lymph node biopsies provide a safe and effective alternative to BD or radiocolloid. SLNB with ICG is not inferior to the dual technique or RI alone, but is superior to BD. The use of ICG would not only be justified in terms of SLNB efficacy, but would eliminate the risks associated with ionizing radiation, skin tattooing, and hypersensitivity reactions.

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