Asymmetric Cationic Polymerization of Benzofuran through a Reversible Chain-Transfer Mechanism: Optically Active Polybenzofuran with Controlled Molecular Weights

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ABSTRACT: Benzofuran (BzF) is a prochiral, 1,2-disubstituted, unsymmetric cyclic olefin that can afford optically active polymers by asymmetric polymerization, unlike common acyclic vinyl monomers. Although asymmetric cationic polymerization of BzF was reported by Natta et al. in the 1960s, the polymer structure has not been clarified, and there are no reports on molecular weight control. Herein, we report dual control of the optical activity and molecular weight of poly(BzF) using thioether-based reversible chain-transfer agents for asymmetric cationic polymerization with β-amino acid derivatives as chiral additives and aluminum chloride as a catalyst. This asymmetric moderately living cationic polymerization leads to an increase in molecular weight and specific optical rotation with monomer conversion. In addition, asymmetric block polymers consisting of opposite absolute configurational segments were synthesized using both enantiomers sequentially as chiral additives. Finally, a comprehensive analysis of the polymerization products and the model reaction revealed that the optical activity of poly(BzF) originates from the threo-diisotactic structure, which occurs by regio-, trans-, and enantioselective propagation.

INTRODUCTION

Optically active natural macromolecules with highly controlled structures, such as proteins, deoxynucleobenucic acids, and polysaccharides, possess unique and specialized functions that are indispensable for maintaining our lives. This constantly motivates research on the controlled synthesis of optically active polymers, which have unique structures and functions that can improve our modern life.1−3

Vinyl polymers are one of the largest families of synthetic polymers and are obtained by the chain-growth polymerization of a wide variety of olefinic compounds.4,5 They are generally synthesized from prochiral vinyl (CH2═CHX) or vinylidene (CH2═CXY) monomers and can possess asymmetric carbons (∼CH2−C*HX~ or ∼CH2−C*XY~) in the main chains.6−9 However, these vinyl polymers rarely show optical activity, even if their asymmetric carbons are structured to have high isotacticity with only one type of chiral center consisting of consecutive R or S sequences.1 This is because the whole polymer chain can be regarded as having a mirror plane, where the chain end groups are ignored due to the long polymer chains, i.e., the chiral center in the main chain is pseudoasymmetric. However, when the side chain has a very bulky substituent, the one-handed helical conformation of the main chain is conserved, resulting in optically active vinyl polymers as a special case.1−3

Benzofuran (BzF) is a prochiral, 1,2-disubstituted, and unsymmetric cyclic olefin with both vinyl ether- and styrene-like structures (Scheme 1). This monomer readily undergoes cationic polymerization to form rigid polymers with high glass-transition temperature (Tg) and high transparency, which is applicable to transparent thermoplastics.10−14 Recently, it attracted further attention as a biobased and chemically recyclable monomer from a sustainable viewpoint.13,14 In 1961, Natta et al. reported the synthesis of optically active polymers by the cationic polymerization of BzF using aluminum catalysts (AlCl3 or EtAlCl2) and asymmetric cocatalysts (β-amino and camphorsulfonic acid) in toluene at −75 °C.15 The optical activity can be ascribed to main chain configurational chirality because in principle, an ideal structure of

Received: March 8, 2022
Published: June 3, 2022
diisotactic poly(BzF) with both erythro- and threo-structures does not have a mirror plane, unlike the common vinyl polymers mentioned above.\textsuperscript{16−18} Since then, but at the latest in the 1960s, similar asymmetric (asymmetric synthesis, asymmetric induction, or asymmetric chirogenic)\textsuperscript{9} cationic polymerizations predominantly forming one type of chiral center in the main chain have been reported for BzF and related unsymmetrical cyclic olefins.\textsuperscript{19−21} However, it is still unknown whether threo- or erythro-structures are constructed as well as whether vinyl ether- or styrene-type cations form. Namely, cis- or trans-addition and 1,2- or 2,1-addition are not clarified. In addition, dual control of the chirality and molecular weight of poly(BzF) has not been attained. Later, in the 2010s, only moderate molecular weight control was reported using an achiral initiating system consisting of cumyl chloride and SnCl\textsubscript{4} in CH\textsubscript{2}Cl\textsubscript{2} at −78 °C.\textsuperscript{22} Novel polymerization methods for controlling both the chirality and molecular weight of poly(BzF) have not been realized.\textsuperscript{28−36} Herein, we report the asymmetric living cationic polymerization of BzF, where both molecular weight and optical activity are controlled using thioether as CTA in conjunction with chiral N-substituted \(\beta\)-phenylalanines and AlCl\textsubscript{3} as chiral catalysts. In particular, bulky chiral \(\beta\)-phenylalanine derivatives provide much higher specific optical rotation than that reported with native \(\beta\)-phenylalanine, while an appropriate thioether controls the polymer molecular weight up to several tens of thousands. A detailed analysis of the products obtained in the polymerization and its model reaction reveals that the asymmetric cationic polymerization proceeds via the selective trans-threo addition of vinyl ether-type cation and that the optical activity is a consequence of triple selectivities: in terms of regio-, trans-, and enantio- selectivity. Furthermore, an
asymmetric block polymer is prepared using a pair of β-phenylalanine-based enantiomers sequentially as opposite chiral additives.

## RESULTS AND DISCUSSION

### Asymmetric Cationic Polymerization Using Chiral Amino Acid Derivatives

To reinvestigate and improve the asymmetric cationic polymerization of BzF, various chiral α- and β-amino acids and their derivatives were employed in conjunction with AlCl₃ as a Lewis acid catalyst in toluene at −78 °C. Here, AlCl₃ and a chiral additive were premixed at a 2:1 ratio in toluene at 20 °C for 24 h, according to a previous report.⁵ Although each of them was mostly insoluble in toluene, the mixed catalyst solution became homogeneous during aging, indicating the formation of aluminum compounds complexed with chiral amino acid derivatives. This solution was used as a mixed catalyst for the cationic polymerization of BzF.

With (S)-α-phenylalanine ((S)-1), an α-amino acid, almost no polymerization occurred, most likely due to the significant loss of the Lewis acidity of AlCl₃ by its strong chelation (entry 1 in Table 1). Although protection of the amino group with tert-butoxycarbonyl (t-Boc) enabled the polymerization, the optical activity of the resulting polymer was very low ([α]D = −0.7) (entry 6). On the other hand, with the β-amino acid, i.e., (S)-β-phenylalanine ((S)-2), which was used in a previous report,⁶ polymerization proceeded and resulted in polymers with high molecular weight (Mₘ = 1.50 × 10⁵) and low specific optical rotation ([α]D = +8.6) (entry 2). The optical activity was lower than the reported value ([α]D = +56.7),⁶ while the polymerization conditions were the same.

On the other hand, its enantiomer, (R)-β-phenylalanine ((R)-2), afforded polymers with almost the same molecular weight (Mₘ = 1.64 × 10⁵) and the opposite optical rotation with a similar absolute value (−7.3) (entry 3), indicating that the optical rotation of the polymers was determined by the chirality of the β-amino acid. Furthermore, other β-amino acids with alkyl groups, (R)-aminobutyric acid ((R)-3) and (S)-β-homovaline ((S)-4), which have the same absolute configuration as that of (S)-2, also gave optically active polymers with similarly positive optical rotations, +15.9 and +9.5, respectively (entries 4 and 5). Thus, β-amino acids were effective chiral additives for the asymmetric cationic polymerization of BzF in conjunction with AlCl₃.

To further improve the optical activity, substituted β-phenylalanine derivatives were synthesized and employed. When (S)-2a with a t-Boc-substituted amino group was used, the specific optical rotation of the resulting polymer dramatically increased (+52.7) (entry 7). On the other hand, the polymer obtained with (S)-2f, in which the carboxy group was esterified with a methyl group, showed almost no optical activity (entry 12). The carboxylic acid group in β-phenylalanine seemed essential for asymmetric induction into poly(BzF), and the substituents on the amino groups could improve the enantioselectivity.

Then, various (S)-β-phenylalanine derivatives with acetyl ((S)-2b), benzoyl ((S)-2c), pivaloyl ((S)-2d), and isopropyl ((S)-2e) substituents on the amino group were prepared. All of these N-substituted β-amino acids afforded high-molecular-weight polymers with high specific optical rotations over +50 (entries 8–11). In particular, (S)-2d with a bulky pivaloyl group showed the highest value (+93.4), indicating that bulkiness around the nitrogen atom improved the enantioselectivity during propagation. Thus, N-substituted β-amino acid derivatives functioned efficiently as chiral additives for the asymmetric cationic polymerization of BzF in the presence of AlCl₃. In particular, bulky substituted groups on the amino group significantly improved the enantioselectivity.

The feed ratio of AlCl₃ and chiral additives was also investigated (Table S1). The use of a higher amount of (S)-2a (AlCl₃/(S)-2a = 1:2 and 1:1) deactivated the polymerization. In contrast, a very fast polymerization occurred at a smaller amount of (S)-2a (AlCl₃/(S)-2a = 1:0.25) to give polymers with almost no optical activity. Although polymerization occurred at AlCl₃/(S)-2a = 1:0.67, the optical activity was low. In addition, without premixing the catalysts, the optical activity became slightly lower. These results indicate that premixed catalyst at a 1:0.50 ratio of AlCl₃ of (S)-2a is most efficient for the asymmetric cationic polymerization. Although the isolation and determination of aluminum complexes with β-amino acid derivatives are still under investigation, the enantioselectivity is governed by the chiral counteranions generated in situ from the chiral additives and Lewis acids.

### Asymmetric Living Cationic Polymerization via Reversible Chain Transfer to Thioether

To achieve dual control of the optical activity and molecular weight of the resulting polymers, asymmetric living cationic polymerization of BzF was investigated using reversible CTAs in the presence of chiral catalysts. Here, an aromatic thioether (CTA1), a thiophenol adduct of p-methoxy styrene, was used as CTA since it has been reported that thiethers function efficiently for both vinyl ether and styrene derivatives.⁷ The chiral additives used herein were unsubstituted ((S)-2), t-Boc-substituted ((S)-2a), and pivaloyl-substituted ((S)-2d) (S)-β-phenylalaines, among which the last was the most effective in asymmetric cationic polymerization, as mentioned above.

Even in the presence of CTA1, all polymerizations proceeded almost quantitatively without a significant decrease in the catalytic activity in toluene at −78 °C (Figure S1). Upon the addition of CTA1, the molecular weight of the obtained polymers drastically decreased from one hundred thousand to several thousands and agreed well with theoretical values, assuming that one polymer chain was generated from one CTA.
molecule (Figure 1 and entries 1, 6, and 9 in Table S2). In addition, a linear increase in the $M_n$ values to the monomer conversion as well as relatively narrow and unimodal molecular weight distribution (MWD) curves ($M_w/M_n = 1.3-1.5$) was observed throughout the polymerizations, indicating that CTA1 could efficiently function as a reversible chain-transfer agent in the cationic polymerization of BzF.

The polymer obtained with CTA1 and (S)-2d was then analyzed by $^1$H NMR and MALDI-TOF-MS (Figure 2). The proton signals ascribed to the repeating BzF units were relatively sharp, suggesting high stereoregularity, which will be discussed later. In addition, small but characteristic signals assignable to methoxy (d) and methyl (a) protons at the $\alpha$-end originating from CTA1 were observed at 3.7 and 1.0−1.3 ppm, respectively. The molecular weight ($M_w$ (NMR)) calculated using the integral ratios of the repeating units to the $\alpha$-end was close to that obtained by SEC ($M_w$ (SEC)). The MALDI-TOF-MS spectra showed almost only one main series of peaks separated by 118 Da, which corresponds to the molar mass of BzF. The absolute molar masses of the individual peaks were nearly the same as those of poly(BzF), with both $\alpha$- and $\omega$- chain ends derived from CTA1, indicating that almost all of the polymer chains were generated from CTA1 and reversibly.

Figure 1. $M_n$ and SEC curves of the polymers obtained in asymmetric living cationic polymerization of BzF using CTA1: [BzF]/[CTA1]/[AlCl3]/[chiral additive] = 200/4.0/40/20 mM in toluene at −78 °C.

Figure 2. $^1$H NMR (A) (CDCl3, 55 °C) and MALDI-TOF-MS (B) spectra of poly(BzF) obtained in asymmetric living cationic polymerization of BzF using CTA1 and (S)-2d.
terminated by the thiophenol moiety to possess high chain end fidelity, which can be used as macro CTA later.

To further investigate the controllability of molecular weight, the feed ratio of BzF to CTA1 was changed (Figure S2). The $M_n$ of the obtained polymers increased in direct proportion to the product of the feed ratio ($[\text{BzF}]_0/[\text{CTA1}]_0$) and conversion by at least 30,000, where all polymers possessed relatively narrow MWDs. These results indicate that the moderately living cationic polymerization of BzF is achievable using a thioether as a reversible chain-transfer agent.

Then, we considered the optical activity of the polymers obtained in the presence of CTA1 and β-phenylalanine derivatives. All of the obtained polymers showed optical activity, and the absolute values of specific optical rotation were higher than those obtained in the absence of CTA (Figure 1; entries 6 and 9 in Table S2 vs entries 7 and 10 in Table 1, respectively), except for the case with (S)-2a, in which opposite optical rotation was observed (Figure 1; entry 1 in Table S2 vs entry 3 in Table 1). In particular, the polymer obtained with (S)-2d in the presence of CTA1 showed the highest optical rotation (+123.5). In addition, the formation of chiral pol(BzF) was confirmed by circular dichroism (CD) (Figure S3). Similar CD spectra as well as positive specific optical rotations were also observed for those obtained with (S)-2a and (S)-2b (Figure S3 and entries 6 and 8 in Table S2).

Thus, dual control of the optical activity and molecular weight of poly(BzF), i.e., asymmetric living cationic polymerization of BzF, was achieved using thioether as a chain-transfer agent and β-amino acid derivatives as chiral additives in the presence of Lewis acid catalysts.

**Asymmetric Block Polymerization.** This success prompted us to examine the synthesis of asymmetric block copolymers. Prior to this, we used each enantiomer of 2a, i.e., (S)-2a and (R)-2a, for living cationic polymerization of BzF with CTA1 and AlCl3. The polymerizations proceeded at almost the same rate (Figure 3A) and produced polymers with almost the same molecular weight, which similarly increased with monomer conversions, maintaining a unimodal and narrow distribution (Figure S4). As in the polymers obtained without CTA, each polymer showed opposite optical activity with similar absolute values (entries 6 and 7 in Table S2). More interestingly, in both cases, the absolute values of the optical specific rotations increased with increasing the molecular weights, and the plots were almost mirror images (Figure 3B). Moreover, the obtained polymers exhibited mirror-imaged CD spectra (Figure 3C). In addition, the lack of dependence of the CD spectra on the measured temperature suggests that the optical activity results not from conformational chirality but from configurational chirality in the main chains (Figure S5). Thus, (S)-2a and (R)-2a gave moderately
living polymers with opposite main chain configurational chirality when used with CTA1 and AlCl3.

The asymmetric block polymerization was then examined using (S)-2a and (R)-2a sequentially as chiral additives in the cationic DT polymerization based on the dormant thioether terminal. Poly(BzF) with thioether terminals could be isolated and used as a macro CTA because the thioether group was stable, and its amount was maintained quantitatively, as indicated by the 1H NMR and MALDI-TOF-MS (see above). The asymmetric moderately living cationic polymerization was thus conducted using (S)-2a in the presence of CTA1 and AlCl3 to obtain optically active polymers with a positive specific optical rotation (+49.3) and controlled molecular weight (Mn = 7100, Mw/Mn = 1.40) (Figure 4A).

The obtained polymer, which was isolated by precipitation in methanol, was employed as a macro CTA for the next asymmetric cationic DT polymerization of BzF with (R)-2a as the enantiomer additive. The second polymerization also proceeded smoothly, leading to a further shift of the unimodal SEC curve to a high molecular weight (Figure 4A). The Mn values increased in direct proportion to monomer conversion and agreed well with the theoretical values (filled red circles in Figure 4B), indicating that block polymerization successfully proceeded using the macro CTA. On the other hand, the optical activity decreased in direct proportion to monomer conversion from +49.3 to +6.1 (open red circles in Figure 4B). With this change, the intensity of the CD signals gradually decreased and finally approached zero (Figure S6). Thus, the synthesis of an asymmetric stereoblock polymer, which consists of two segments with opposite absolute configurations of asymmetric carbon in the main chain, was successfully achieved using each enantiomer sequentially as chiral additives in the cationic DT polymerization.

Regio- and Stereospecificity in Asymmetric Cationic Polymerization. In this final part, regio- and stereospecificity in the asymmetric cationic polymerization of BzF were analyzed comprehensively. There are two possibilities: that the propagation of BzF occurs via a vinyl ether- or styryl-type cation, i.e., 2,1- or 1,2-addition, although the regioselectivity has not been clarified.10–12 To reveal this, we prepared two thioether regioisomers, CTA2 and CTA3, which are both thiophenol adducts of BzF and can generate a vinyl ether- and styryl-type cation of BzF, respectively. We employed them in the asymmetric cationic polymerization of BzF with (S)-2a and AlCl3 in toluene at −78 °C.

With CTA2, which can generate vinyl ether-type cations via chain transfer, the polymerization proceeded smoothly, although it became slower at the later stage in comparison to that with CTA1 (Figure 5A). The SEC curves shifted to high molecular weight, although a slight shoulder was observed at high monomer conversion (Figure 5B). On the other hand, polymerization did not occur using CTA3, suggesting that CTA3 could not generate the styryl-type cation and acted as an inhibitor rather than a chain-transfer agent by forming a stable sulfonium intermediate due to a stronger C−S bond of CTA3. These results indicate that CTA2, which can generate a more stable oxocarbenium cation, functions efficiently as a CTA and that asymmetric cationic propagation occurs by forming a vinyl ether-type cation via predominant 2,1-addition. Furthermore, model cationic addition reactions between CH2CH(OBu)Cl and BzF with SnCl4/nBu4NCl indicated that 2,1-addition predominantly occurred in toluene at −78 °C (2,1-/1,2- = 79/21), although the regioselectivity was opposite in CH2Cl2 (2,1-/1,2- = 14/86) (see Figure S7).37

To examine solvent effects on the asymmetric moderately living cationic polymerization, CH2Cl2 was used for BzF in conjunction with CTA1, AlCl3, and (R)-2a at −78 °C. The polymerization was faster in CH2Cl2 than in toluene due to the higher polarity, which is generally true in cationic polymerizations (Figure S8). Although the molecular weights of the resulting polymers were close to the theoretical values at low monomer conversion, they became gradually higher at high monomer conversion, suggesting that Friedel–Crafts reactions between polymer chains occurred due to more dissociated cationic propagation species in CH2Cl2. Furthermore, the specific optical rotation of the polymer obtained in CH2Cl2 was lost (+1.0), whereas that obtained in toluene was −77.3. These results indicate that the choice of the solvent is important and that toluene is suitable for attaining dual control of the molecular weight and optical activity of the resulting polymers.

The polymers obtained in toluene and CH2Cl2 were analyzed in more detail by 1H and 13C NMR to clarify the stereoregularities. The 1H NMR spectrum of the polymers obtained in CH2Cl2 showed broader peaks than those obtained in toluene (Figure 6A,B), indicating lower stereoregularity in CH2Cl2. The stereoregularity was evaluated in more detail by 13C NMR, in which the characteristic peak of methine carbon (47–50 ppm) in the main chain attached to the phenyl group was used (Figures 6C,D, and S9). In particular, the polymers obtained in toluene showed a very sharp peak at 49 ppm and a small distinctive peak at approximately 48 ppm. The peaks at 49 (X) and 48 ppm (Y) can be most probably ascribed to the trans-threo selectivity during cationic polymerization. The 13C NMR spectra of trans- and cis-2,3-dihydror-2,3-dimethyl benzofuran as model compounds and poly(2,3-dihydrofuran) as similar cyclic vinyl ether polymers, where the peaks observed at a lower and higher magnetic filed were assigned to the trans- and cis-carbons, respectively.38–41 The peak intensity ratio of X/Y was 95:5, indicating a high trans-threo selectivity during cationic polymerization. The asymmetric block polymerization was then examined using (S)-2a and (R)-2a sequentially as chiral additives in the cationic DT polymerization based on the dormant thioether terminal. Poly(BzF) with thioether terminals could be isolated and used as a macro CTA because the thioether group was stable, and its amount was maintained quantitatively, as indicated by the 1H NMR and MALDI-TOF-MS (see above). The asymmetric moderately living cationic polymerization was thus conducted using (S)-2a in the presence of CTA1 and AlCl3 to obtain optically active polymers with a positive specific optical rotation (+49.3) and controlled molecular weight (Mn = 7100, Mw/Mn = 1.40) (Figure 4A).

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polymerization with AlCl₃ and (R)-2a in toluene at −78 °C. This ratio was almost the same as that obtained without CTA1 (Figure S10). In contrast, in the ¹³C NMR spectrum of the polymers obtained in CH₂Cl₂, a peak assignable to the cis structure became more visible, and additional peaks at 49–50 ppm became larger, where the X/Y ratio was 89:11. Although the exact stereostructures of poly(BzF) are difficult to determine due to possible concurrent 2,1- and 1,2-propagation, which could affect the peak shapes, it can be concluded that trans-threo addition is at least predominant in toluene at −78 °C.

Thermal properties of the obtained polymers with different tacticities and different optical activities were evaluated by differential scanning calorimetry (DSC) (Figure S11). All polymers showed nearly the same high T_g's (184–189 °C) and no melting temperatures irrespective of their different stereostructures.

Based on these results, the stereostructures of the optically active poly(BzF) can be elucidated. Since BzF is a 1,2-disubstituted cyclic unsymmetric monomer, there are four possible tactic stereostructures for poly(BzF), i.e., threo-diisotactic, threo-disyndiotactic, erythro-diisotactic, and erythro-disyndiotactic (Figure 7). Although the four stereo-regular structures are chiral due to the absence of mirror planes in the polymer chains, only three-diisotactic and erythrodisotactic structures can become optically active because they are composed of enantiomeric trans-threo and cis-erythro sequences, respectively. The three-disyndiotactic and erythro-disyndiotactic poly(BzF)s are also chiral, but their optical activities may be negligibly small because they consist of racemic trans-threo and cis-erythro sequences, respectively. Considering that optically active poly(BzF) obtained in toluene consisted predominantly of trans-threo structures, the main structure of poly(BzF) obtained in the asymmetric cationic polymerization is threo-diisotactic, which has been clarified since the first discovery of optically active poly(BzF) by Natta in 1961.

### CONCLUSIONS

Dual control of the optical activity and molecular weight of poly(BzF) was achieved using optically active β-amino acid derivatives as chiral additives and thioethers as reversible chain-transfer agents in the presence of AlCl₃ as Lewis acid catalysts. The configurational chirality of the main chains was...
achieved by enantioselective propagation induced by the chiral catalysts, while the molecular weight was controlled by reversible chain transfer between the growing cationic species and dormant C–S terminals. The asymmetric living cationic polymerization enabled the synthesis of an asymmetric block polymer consisting of segments with opposite absolute configurations. Furthermore, a comprehensive analysis of the resulting polymers and model reactions revealed that the optical activity of poly(BzF) originates from a threo-diisotactic polymer consisting of segments with opposite absolute configurations. Thus, the high versatility and applicability of the cationic DT mechanism for enabling molecular weight control is confirmed even in asymmetric polymerization and widens the scope of precision polymer synthesis for multiple-factor control of polymer structures.

### ASSOCIATED CONTENT

**Supporting Information**

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/jacs.2c02569.

Materials, experimental procedures, additional polymerization results, SEC, NMR, IR, and CD spectra (PDF)

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**Notes**

The authors declare no competing financial interest.

### ACKNOWLEDGMENTS

This work was partially supported by JSPS KAKENHI Grant-in-Aid for Early-Career Scientists (Nos. JP18K14274 and JP20K15332) for M.U. and for Scientific Research (A) (No. JP22H00333) for M.K., the Asahi Glass Foundation for M.U. and Nagoya University Research Fund. The authors thank Prof. Yoshitaka Aramaki (Nagoya University) and Prof. Takashi Ooi (Nagoya University) for technical support with HRMS and IR measurements, and Prof. Daisuke Taura (Meijo University) and Prof. Eiji Yamashita (Nagoya University) for technical support with CD measurements, fruitful discussions on chirality and optical activity of polymers, and valuable comments on the manuscript.

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