Continuing education for the prevention of mild cognitive impairment and Alzheimer’s-type dementia: a systematic review protocol

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Abstract

Background: Because of the enormous social and economic burden of disease, the prevention of mild cognitive impairment and Alzheimer’s-type dementia has become a major global public health priority. Studies show that cognitively stimulating activities during middle adulthood might have a protective effect on the brain by boosting the cognitive reserve. The aim of this review is to identify evidence investigating the effects of continuing education for the prevention of mild cognitive impairment and Alzheimer’s-type dementia in late life.

Methods: Our approach employs a two-stage design: First, we will conduct a systematic review to assess the preventive effects of continuing education on mild cognitive impairment and Alzheimer’s-type dementia. Second, because we expect to find few studies, we will perform a review of systematic reviews on leisure activities that mimic formal continuing education to determine their effects on the prevention of mild cognitive impairment and Alzheimer’s-type dementia. We will search electronic databases (e.g., MEDLINE, PsycINFO, EMBASE, CENTRAL, CINAHL, and Scopus) for published studies and gray literature databases (e.g., trial registries) for unpublished studies. Two authors will independently screen abstracts and full-texts using pre-defined eligibility criteria, select studies, extract data, and assess the quality of included studies or reviews. Outcomes of interest include the incidence of mild cognitive impairment or Alzheimer’s-type dementia, quality of life, functional capacity, and psychological wellbeing. Intermediate outcomes are cognitive (test) performance, cognitive functioning, and social inclusion. The review team is a multidisciplinary group consisting of methodological experts and dementia, geriatrics, and continuing education researchers.

Discussion: We anticipate that our review will highlight serious gaps in the current evidence. Results will build the basis for further research regarding the relation of continuing education and cognitive decline and dementia.

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Keywords: Systematic review, Dementia, Alzheimer, Mild cognitive impairment, Prevention, Continuing education

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**Background**

**Rationale**

As a consequence of the rapidly aging world population, neurocognitive disorders such as mild cognitive impairment or Alzheimer’s-type dementia have become a major public health challenge. The World Health Organization (WHO) has designated the prevention and control of neurocognitive disorders as a public health priority [1].

Alzheimer’s-type dementia is the most common form of dementing illnesses. In 2015, 47.5 million people globally lived with Alzheimer’s disease or another closely related dementing illness [2]. The prevalence is projected to rise up to 135.5 million patients in 2050 [2].

Because of the progressing loss of independent functioning of patients with Alzheimer’s-type dementia, the social and economic burden of the disease is enormous. In 2016, the US economic burden associated with dementia was estimated to be 236 billion U.S. Dollars [3], the total global costs for dementia were 818 billion in 2015 [4].

The exact risk factors of neurocognitive disorders are largely unknown but the risk increases substantially with age. For example, the prevalence rate for Alzheimer’s disease surges from 3.5% in persons aged 75 or older to 46.3% in those aged 95 years or older [5].

Clinically, the onset of Alzheimer’s-type dementia is a slow process of cognitive deterioration. When the decline of cognitive functioning reaches a level that can be measured objectively, it is often referred to as mild cognitive impairment or mild neurocognitive disorder [6]. Petersen describes this as a “transitional period” between normal aging and the diagnosis of Alzheimer’s-type dementia. In this study, mild cognitive impairment refers to “amnestic” mild cognitive impairment (aMCI), which is defined as a condition where memory loss is predominant [7]. In approximately 32% of patients with aMCI, cognitive decline progresses to a degree that the ability of a person to perform everyday activities is significantly impaired. Such a state is called major neurocognitive disorder due to Alzheimer’s-type dementia [6, 8]. Based on the criteria of the Diagnostic and Statistical Manual (DSM-5), Alzheimer’s disease is characterized by a significant decline of intellectual abilities in one or more cognitive domains (learning and memory, language, executive function, complex attention, perceptual motor function, social cognition) outside the context of delirium [8].

The underlying risks for Alzheimer’s-type dementia are not yet thoroughly understood, and no curative treatment has been found [9]. Systematic reviews assessing risk factors indicate that low educational level, decreased physical activity, unhealthy diet, smoking, and alcohol abuse might be predictors of dementia [10–13]. Likewise, chronic medical conditions such as cardiovascular diseases, diabetes, obesity, cancers, depression, thyroid disorder, or genetic factors increase the risk of dementia [10]. Some studies, however, found a protective association of cognitively stimulating activities, such as learning a new language in middle age, with a slower cognitive decline during late life [14–18]. Such results underpin a theory called the “cognitive reserve hypothesis” [19, 20]. According to this theory, through every activity that stimulates the brain, the cognitive reserve gets boosted and the resistance towards any dementia-related brain pathology gets stronger [21]. A larger cognitive reserve acquired by continuing education activities, thus, might protect against cognitive decline [21, 22].

For this systematic review, we define continuing education as structured learning activities and programs provided by formal and non-formal educational institutions for persons beyond the age of compulsory schooling. These activities are designed to help individuals satisfy learning needs and interests, to enrich knowledge, to develop and improve abilities and skills, and to foster personality, social competences, families, networks, health, and professional life. Continuing education is voluntary and based on topics and courses that are not directly connected to any special job position or vocational training [23–28].

To date, the preventive effect of continuing education on cognitive impairment and Alzheimer’s-type dementia has not been assessed in an objective and systematic way.

**Objectives**

The purpose of our review is to summarize the evidence investigating the effects of continuing education on the development of cognitive impairment and Alzheimer’s-type dementia. Based on discussions with experts in the fields of neurocognitive disorder, aging, and continuing education, we designed the following five key questions that will guide our systematic review:

**Key question 1:** In adults 45 years of age or older with normal cognition or merely subjective cognitive impairment, does continuing education lead to a reduction in the risk of mild cognitive impairment or Alzheimer’s-type dementia compared with no continuing education?

**Key question 1a:** (In case no evidence on continuing education is available): In adults 45 years of age or older with normal cognition or merely subjective cognitive impairment, do leisure activities lead to a reduction in the risk of mild cognitive impairment or Alzheimer’s-type dementia compared with no continuing education?

**Key question 2:** What are the potential harms of continuing education?

**Key question 3:** Do benefits and harms differ by subgroups based on age, sex/gender, race or...
Methods
This systematic review protocol has been designed in accordance with the Preferred Reporting Items for Systematic Reviews and Meta-Analyses-Protocol (PRISMA-P) statement [29] (see Additional file 1). Our protocol is registered on PROSPERO (international prospective register of systematic reviews) (registration number CRD42017063944).

Study design
Figure 1 presents an analytic framework of the effects of continuing education on relevant health outcomes. Because we expect few studies that assess formal continuing education, we will also include leisure activities that mimic continuing education regarding content, but are not organized educational activities (e.g., learning a new language privately versus learning a new language as an organized educational activity). Because leisure activities are not our primary focus of interest but can be considered as surrogate interventions in some circumstances, we will address our questions of interest with two different methodological approaches:

1. We will employ a systematic review of primary studies to assess the preventive effects and potential harms of continuing education provided by formal and non-formal institutions.
2. We will use a review of systematic reviews to determine the preventive effects and potential harms of related leisure activities.

A review of systematic reviews is a synthesis of evidence from multiple systematic reviews [30]. For both approaches, we will employ the same criteria for populations, control interventions, outcomes, timing, and settings as outlined below. Criteria for interventions and eligible study designs will be different.

Eligibility criteria
We specified our inclusion and exclusion criteria based on the population, intervention, comparators, outcomes, timing, and settings (PICOTS) identified through a topic refinement exercise with experts in the field (Table 1). We will exclude study designs without control groups to ensure that our pool of included studies can inform the causal link between the intervention and outcomes.

Types of participants
We will include studies with adults (45 years or older) who do not have a clinical diagnosis of cognitive impairment or Alzheimer’s-type dementia. This population also includes adults with subjective cognitive impairment who do not fulfill the criteria for a clinical diagnosis of mild cognitive impairment.

Subgroups of interest are based on age, sex/gender, race or ethnicity, level of education, socio-economic status, and duration of intervention.
We will not include patients younger than 45 years. Furthermore, we will exclude populations with other severe health conditions that are likely to be associated with loss of cognitive function such as HIV (human immunodeficiency virus) infections, multiple sclerosis, stroke, post traumatic brain injuries, infectious diseases, psychiatric conditions (e.g., alcohol abuse, drug abuse, major depressive disorder).

### Types of interventions
The systematic review will examine any intervention that can be categorized as “continuing education”. In accordance
with our definition, we include all courses, classes, lectures, workshops, and trainings that are provided by institutions of formal and non-formal education. The eligible learning activities are based on topics that are not directly connected to any special occupation. Individuals engage in continuing education voluntarily and intentionally to satisfy their learning needs and interests and to develop or improve their abilities and skills [23–28]. Because the focus of this research is on the effects of cognitive activities, all continuing education involving a physical activity will be excluded.

For the review of systematic reviews, we include leisure activities that mimic the content of continuing adult education but in an informal setting. For example, we would include playing chess as a leisure activity if no studies on playing chess in a formal continuing education setting are available. We will not include leisure activities for which formal continuing education programs are unlikely (e.g., going to the cinema), or for which physical exercise is the main goal (e.g., jogging, yoga).

**Types of comparators**

Studies with any type of control group will be included.

**Types of outcome measures**

Our primary outcome of interest will be the incidence of mild cognitive impairment or Alzheimer’s-type dementia. Furthermore, we are interested in any health outcome such as psychological wellbeing, functional capacity, or quality of life. In the absence of evidence on health outcomes, we will include intermediate outcomes such as cognitive functioning, cognitive (test) performance, or social inclusion.

**Timing**

The minimum duration for any intervention is 3 months; the minimum follow-up time is 1 year.

**Setting**

We will include any communities and institutions that offer continuing education. We will exclude institutions such as retirement homes, hospitals, or nursing homes.

**Types of studies**

We will include studies with control groups only. Eligible for inclusion are randomized controlled trials, nonrandomized controlled trials, prospective controlled cohort studies, retrospective controlled cohort studies, and case-control studies.

The review of systematic reviews will include any systematic review or meta-analysis that addresses a question of interest. We define systematic reviews based on the Cochrane handbook as a literature review that attempts to collate all empirical evidence using (a) a clearly stated objective and pre-defined eligibility criteria, (b) an explicit reproducible methodology, (c) a systematic search, (d) an assessment of the validity of the findings of the included studies, and (e) a systematic presentation, and synthesis, of the characteristics and findings of the included studies [30].

**Information sources and literature search**

We will systematically search, review, and analyze the scientific evidence for each key question. To identify articles relevant to each key question, we will begin with a Ovid MEDLINE search for eligible interventions using a combination of medical subject headings (MeSH®) and title and abstract keywords, limiting the search to human-only studies without applying any language limitations (see Additional file 2: Ovid MEDLINE search strategy). We will also search the Cochrane Library, Embase, PsycINFO, CINAHL (Cumulative Index to Nursing and Allied Health Literature), ALOIS (the Cochrane Dementia and Cognitive Improvement Group Specialized Register), and ERIC (Education Resources Information Center) using analogous search terms. We selected these databases based on preliminary searches and consultation with content experts. The search period will go back to January 1990. An experienced information specialist will perform all searches; the electronic Ovid Medline search strategy was peer-reviewed by another information specialist following the PRESS (peer review of the electronic search strategy) statement [31].

In addition, we will search for gray literature (i.e., unpublished studies) relevant to this review. Potential sources of gray literature include ClinicalTrials.gov, the World Health Organization’s International Clinical Trials Registry Platform, websites of relevant organizations, and dissertation databases (e.g., DART-Europe).

In an attempt to avoid retrieval bias, we will manually search the reference lists of landmark studies and background articles on this topic to look for any relevant citations that our electronic searches might have missed. We will include studies that meet all the inclusion criteria and contain enough methodological information to enable us to assess risk of bias.

**Data abstraction and data management**

Two authors will independently review all titles and abstracts identified through searches for eligibility against our inclusion/exclusion criteria. Studies marked for possible inclusion by either reviewer will undergo a full-text review. For studies without adequate information to determine inclusion or exclusion, we will retrieve the full text and then make the determination. All results will be tracked in an EndNote® X8 bibliographic database (Thomson Reuters, New York, NY).

We will retrieve and review the full text of all titles included during the abstract review phase. Two authors will independently review each full-text article for
inclusion or exclusion based on the eligibility criteria described above. If both reviewers agree that a study does not meet the eligibility criteria, the study will be excluded. If the reviewers disagree, conflicts will be resolved by discussion and consensus or by consulting a third member of the review team. We will record the reason that each excluded full-text publication did not satisfy the eligibility criteria so that we can later compile a comprehensive list of such studies.

For studies that meet our inclusion criteria, we will abstract important information into evidence tables. We will design data abstraction forms to gather pertinent information from each article, including characteristics of study populations, settings, interventions, comparators, study designs, modifiable risk factors [32], methods, and results. Trained reviewers will extract the relevant data from each included article into the evidence tables. A second member of the team will review all data abstractions for completeness and accuracy.

Risk of bias assessment
To assess the risk of bias (internal validity) of studies, we will use the Cochrane Risk of Bias Tool for randomized controlled trials [33] and the Risk of Bias in Non-randomized Studies of Interventions (ROBINS-I) tool for nonrandomized studies [34]. For the appraisal of systematic reviews of RCTs, we will use the AMSTAR (Assessing the Methodological Quality of Systematic Reviews) tool [35].

We will consider the risk of bias for each relevant outcome of a study.

Two independent reviewers will assess the risk of bias for each outcome in each study. Disagreements between the two reviewers will be resolved by discussion and consensus or by consulting a third member of the team.

Data synthesis and statistical analysis
If we find three or more similar studies for a comparison of interest, we will consider quantitative analysis (i.e., meta-analysis) of the data from those studies. For all analyses, we will use random-effects models to estimate pooled effects.

To determine whether quantitative analyses are appropriate, we will assess the clinical and methodological heterogeneity of the studies under consideration following established guidance [36]. We will do this by qualitatively assessing the PICOTS of the included studies, looking for similarities and differences. For meta-analyses, we will assess statistical heterogeneity in effects between studies by calculating the chi-square statistic and the $I^2$ statistic (the proportion of variation in study estimates attributable to heterogeneity) [37, 38]. The importance of the observed value of $I^2$ depends on the magnitude and direction of effects and on the strength of evidence for heterogeneity (e.g., $p$ value from the chi-squared test or a confidence interval for $I^2$). If we include any meta-analyses with considerable statistical heterogeneity, we will provide an explanation for doing so, considering the magnitude and direction of effects. We will also examine potential sources of heterogeneity using sensitivity analyses or analysis of subgroups. We plan to stratify analyses and/or perform subgroup analyses when possible and appropriate to examine clinical heterogeneity.

For any quantitative analyses, we will conduct sensitivity analyses including high risk of bias studies. Planned stratifications or categories for subgroup analyses include the subgroups listed in the analytic framework. When quantitative analyses are not appropriate (e.g., because of heterogeneity, insufficient numbers of similar studies, or insufficiency or variation in outcome reporting), we will synthesize the data qualitatively.

To assess publication bias, we will use funnel plots and Kendall’s tests.

We will assess the quality of evidence for individual comparisons and outcomes using the Grading of Recommendations, Assessment, Development and Evaluation (GRADE) approach [39].

Discussion
To the best of our knowledge, this will be the first review to assess the potential benefits and harms of continuing education for the prevention of mild cognitive impairment and Alzheimer’s-type dementia. We anticipate that this review will identify serious gaps in the current evidence. Our results will build the basis for further research and highlight implications for practice. Through our multidisciplinary team, results can easily reach a variety of stakeholders and findings can be disseminated through many channels.

Additional files

| Additional file 1: PRISMA-P 2015 checklist. (DOCX 35 kb) |
| Additional file 2: Ovid MEDLINE search strategy. (DOCX 16 kb) |

Abbreviations
AD: Alzheimer’s disease; aMCI: Amnestic Mild Cognitive Impairment; AMSTAR: Assessing the Methodological Quality of Systematic Reviews; CEDEFOP: European Centre for the Development of Vocational Training; CENTRAL: Cochrane Central Register of Controlled Trials; DSM: Diagnostic and Statistical Manual of Mental Disorders; GRADE: Grading of Recommendations Assessment, Development and Evaluation; ICD: International Classification of Diseases; MCI: Mild Cognitive Impairment; MMSE: Mini-Mental State Examination; OECD: Organisation for Economic Co-operation and Development; PRISMA-P: Preferred Reporting Items for Systematic Reviews and Meta-Analyses extension for Protocols; UNESCO: United Nations Educational, Scientific and Cultural Organization; WHO: World Health Organization

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Authors’ contributions
All authors made substantial contribution to the conception and design of this protocol. As an information specialist, IK developed the search strategy. NM has drafted the protocol. Our dementia experts SA and CG revised the background critically. MK and FK who are working in continuing education research helped in finding the appropriate intervention. GG peer-reviewed the protocol. All authors have given approval for this version to be published. NM is the guarantor of the review.

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The systematic review is a multidisciplinary project performed at the Danube-University, Krems. NM is a MD (medical doctor) working in the Department for Evidence-based Medicine (EBM) and Clinical Epidemiology, and IK with a MSc in cultural studies is an information specialist. SA is a professor of dementia research and clinical psychologist. CG is a professor of geriatrics working at the Center for Geriatric Medicine and Geriatric Nursing. FKA is a post doc researcher at the Department for Continuing Education Research and Educational Management. MK is professor and head of the Department for Continuing Education Research and Educational Management. GG is MD, MPH, professor of epidemiology and head of the Department for Evidence-based Medicine and Clinical Epidemiology.

Ethics approval and consent to participate
Not applicable.

Consent for publication
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The authors declare that they have no competing interests.

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