Autophagy: The multi-purpose bridge in viral infections and host cells

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Summary
Autophagy signaling pathway is involved in cellular homeostasis, developmental processes, cellular stress responses, and immune pathways. The aim of this review is to summarize the relationship between autophagy and viruses. It is not possible to be fully comprehensive, or to provide a complete "overview of all viruses". In this review, we will focus on the interaction of autophagy and viruses and survey how human viruses exploit multiple steps in the autophagy pathway to help viral propagation and escape immune response. We discuss the role that macroautophagy plays in cells infected with hepatitis C virus, hepatitis B virus, rotavirus gastroenteritis, immune cells infected with human immunodeficiency virus, and viral respiratory tract infections both influenza virus and coronavirus.

KEYWORDS
autophagy, host cell, interaction, virus

1 | INTRODUCTION

The presence of numerous membrane-enclosed structures is the most remarkable morphological feature of eukaryotic cells.1 Macroautophagy is one of the best examples of membrane mobilization which occurs during the process of self-degradation of cellular component pathway in the cytoplasm. This process aids cell survival in response to multiple stress situations such as nutrient or growth factor deprivation, reactive oxygen species (ROS), hypoxia, and the presence of intracellular pathogens.2

Autophagy (from the Greek, "auto" meaning oneself, "phagy" meaning to eat) is a conserved intracellular homeostatic process where waste cellular components and infectious agents are surrounded by a double layer membrane to create a compartment called the phagosome.3 The phagosome then is delivered and fuses with the lysosome compartments to degrade its contents.4 The double layer membrane (phagophore) is most likely originated from a lipid bilayer donated by the endoplasmic reticulum (ER) and/or the trans-Golgi.5 Autophagy is as a cellular recycling mechanism that generates ATP and controls damage by removing non-functional proteins and organelles.6 In addition to its homeostatic role, autophagy also functions in caspase-independent autophagic cell death, a subset of type II programmed cell death. Autophagic cell death is characterized by the extensive sequestration of portions of the cytoplasm into autophagosomes, giving the cell a characteristic vacuolated appearance.7,8

1.1 | History of autophagy

In 1962, Ashford and Porter detected membrane-bound vesicles encompassing semi-digested mitochondria and ER in the hepatocytes of rats that had been treated with glucagon.9 One year later, in 1963, at the Ciba Foundation symposium on lysosomes, Christian de Duve coined the term "autophagy" to define the presence of single-membrane or double-membrane vesicles that contain parts of the cytoplasm and organelles.10 Studies into the molecular control of autophagy, starting in the early 1990s by pioneering work from Ohsumi’s group reported that the morphology of autophagy in yeast was similar to that recognized in mammals.11 In addition, several selective modes of autophagy such as lipophagy (the autophagy-mediated degradation of lipid droplets) and mitophagy (selective degradation of mitochondria by autophagy) were first proposed based on the experiments with cultured hepatocytes and whole livers.12-15

1.2 | Autophagy steps

Three forms of autophagy that play different physiological functions have been identified: chaperone-mediated autophagy, micro-autophagy, and macroautophagy.6 This review focuses on the mutual interaction between viruses and macroautophagy (hereafter autophagy). Currently, 40 autophagy-related genes (ATG) have been discovered and characterized.16,17 There are 5 key steps in autophagy:
(1) phagophore formation and nucleation; (2) conjugation of Atg5-Atg12 complex, interaction with Atg16L and polymerization of the complex Atg12-Atg5/Atg16L at the immature phagophore; (3) extension of the phagophore membrane by microtubule-associated protein 1 light chain 3 alpha (LC3) processing and insertion; (4) engulfing of random or selective targets for degradation; and (5) fusion with the lysosome to form autolysosome, followed by proteolytic degradation of captured contents by lysosomal proteases of captured contents.18

1.3 | Autophagy induction

Autophagy induction is regulated through 3 main pathways. The first pathway takes place by mammalian target of rapamycin (mTOR), a serine/threonine-specific protein kinase, and negatively modulates autophagy.19 The mTOR inhibits serine/threonine-protein kinase ULK1 from recruiting its partners to form the autophagosome.20,21 TOR, a vital regulator of cell growth, plays an important role in cell growth and autophagy formation in response to nutritional status. During lack of nutrients, AMP protein-activated kinase (AMPK) negatively regulates mTOR and results in autophagy induction.22 However, in response to insulin or growth factors, class I phosphatidylinositol 3-kinase (PI3K)-triggered phosphorylation of protein kinase B (also known as Akt) activates mTOR, thus inhibiting autophagy.21

Atg6/Beclin-1 is involved in the second pathway of autophagy induction. The interaction of Beclin-1 with vesicular protein sorting 34 (Vps34) stimulates the catalytic function of Vps34 and increases levels of phosphatidylinositol triphosphate.23 Vps34 is specifically involved in autophagy when it interacts with Beclin-1.24 Vps34 only phosphorylates phosphatidylinositol (PI) as a substrate to generate phosphatidylinositol triphosphate, which is indispensable for phagophore elongation and recruitment of auxiliary Atg proteins to the phagophore.23 Furthermore, Beclin-1 is a binding partner of the anti-apoptotic protein B-cell chronic lymphocytic leukemia/lymphoma 2 (Bcl-2) protein, and BCL2-bound Beclin-1 is inaccessible for autophagosome formation.25 Antagonistic interactions between viral proteins and Beclin-1 subvert autophagosome formation and maturation by blocking fusion with lysosomes and exacerbating virulence.26 Other regulatory proteins, such as Rubicon, UV radiation-resistance-associated gene (UVRAG), BIF-1, Atg14L, and Ambra, form complexes with Vps34 and Beclin-1 at the ER and nucleated phagophore to both stimulate and suppress autophagy.27-29

The third pathway that contributes to autophagosome formation and elongation involves ubiquitin-like conjugation processes that generate membrane-bound protein complexes.30 Atg7 and Atg10 mediate the conjugation of Atg12 to Atg5, which subsequently interact with Atg16. The Atg12-Atg5/Atg16L complex binds to the outer membrane of autophagosome and then dissociates upon completion of the autophagosome.31 The second conjugation reaction involves LC3, a mammalian homolog of yeast Atg8. The precursor proLC3 is constitutively cleaved by Atg4 to produce LC3-I. Upon receiving the signal to induce autophagy, Atg7 and Atg3 mediate the conjugation of LC3-I to the membrane lipid phosphatidylethanolamine to form LC3-II. The LC3-II integrates the outer and inner membranes of the autophagosome and helps complete membrane elongation and closure.31

LC3 is the only reliable marker of autophagosome and autolysosome formation and is routinely detected in several cell lines.32 The LC3 exists in 2 forms: Cytosolic LC3-I and its lipidated derivative LC3-II. During autophagy processing, the level of membrane-associated 16 kDa LC3-II increases, while the level of soluble 18 kDa LC3-I decreases. Thus, the relative amount of LC3-II is linked to the dynamic turnover of LC3-II via the lysosomal activity.33-34 In this process, LC3-I is covalently conjugated to phosphatidylethanolamine through LC3 lipid coupling mechanism, resulting in LC3-II. LC3-II then moves from the cytosol to the autophagosome membranes34,35 where it remains associated with the expanding limiting membrane, sealed autophagosomes, and mature autophagosomes/autolysosomes.36-38 Thus, LC3-II levels usually correlate with autophagosome numbers.34 To evaluate if substrate degradation is autophagy dependent, it is useful to perform assays measuring LC3-II levels assays in the presence and absence of a lysosomal vacuolar-type H(+) -ATPase inhibitor such as Bafilomycin A1 (Baf-A1).38

2 | VIRUSES AND AUTOPHAGY

Autophagy acts as a double-edged sword in pathogenesis of viral disease.39 Xenophagy describes autophagy that serves as an innate immune response to eliminate intracellular infectious pathogens.40 Hosts deficiency in autophagy are prone to microbial infections.41 Autophagy occurs at basal levels to maintain cellular homeostasis, but the stress of viral infection can trigger autophagy induction. During infection, autophagy can play either a pro-viral or antiviral role, depending on the virus, the cell type, and the cellular environment.42,43 Autophagy can function differently in response to positive-strand and negative-strand RNA viruses, DNA viruses, viral genotypes, step of viral life cycle, and stage of pathogenesis.44 During host cell infection, viruses and viral proteins can be targeted for degradation by autophagy to stop viral replication. Conversely, some viruses have evolved strategies to avoid autophagy-induced lysosomal degradation by subverting autophagy into autophagosomal shelters harboring viral replication. In fact, these viruses exploit the autophagy pathway by replicating in the autophagosome-like structures themselves.45-53 Manipulation of autophagy can be a promising strategy to eliminate intracellular pathogens.38

2.1 | Antiviral function of autophagy and the role of autophagy in suppression of anti-viral immune response

Autophagy is an integral part of immune response to viral infections and plays a key role both in innate and adaptive immunity responses. Autophagy is essential for delivering cytoplasmic viral RNA to the endosomal pathway through unique ability to deliver cytosolic pathogen-associated molecular patterns into the closeness of endosomal pattern recognition receptors (PRRs) and MHC loading compartments. For example, influenza viruses are sensed in the lysosomes of plasmacytoid dendritic cells (pDCs) through TLR7 after endocytosis of virions.54 Human immunodeficiency virus (HIV) 1 can escape immune system through a new mechanism in DCs. HIV-1 was revealed
to block macroautophagy initiation via inducing the mTOR pathway. This suppression resulted in a deficiency of TLR4 and TLR8 activation through viral replication intermediates and of antigen presentation to CD4+ T cells. Macroautophagy was presented to be essential for MHC class II antigen processing of a HIV gag-derived CD4+ T cell epitope. HIV gag-specific CD4+ T cell clone significantly decreased TNF-α secretion upon induction with macroautophagy-deficient DCs, pulsed with inactivated HIV virus. While CD8+ T cell activation was not compromised when macroautophagy was suppressed. This study indicated that efficient HIV antigen processing for MHC class II presentation needs macroautophagy. HCV abuses autophagy to inhibit IFN signaling. It also temporally regulates the autophagic flux to sequester and deplete TRAF6 to promote viral RNA replication and control of host innate immune responses. It is interesting to note that a paradigm has been appeared in which Th1 cytokines induce autophagy, while, Th2 cytokines suppress autophagy activation. In HIV infection, inhibition of autophagy in bystander macrophage/monocytic was dependent on IL-10 and Src-Akt and STAT3 triggered by HIV-1 Tat. Autophagy has been involved in both the delivery of cytosolic antigens to the major histocompatibility complex classes I and II. The HIV envelope proteins are recognized to subvert MHC class II presentation via enhancing mTOR signaling. Importantly, immunity-associated GTPase family M(IRMG) IRMG-interacting viral proteins, such as HCV-NS3 and HIV-1-Nef, induce autophagy in an IRGM-dependent pathway in order to improve viral infectivity. The result of recent study suggests that efficient cross-presentation of viral antigens needed macroautophagy in antigen donor cells. To assess cross-presentation via macroautophagy wild-type mouse embryonic fibroblasts (MEFs) and Bax/Bak−/− MEFs were applied. While wild-type MEFs can complete caspase-dependent apoptosis, Bax/Bak−/− MEFs cannot undergo this type of cell death but can upregulate macroautophagy under the treatment conditions. Both cells were infected with influenza A virus, then treated with pro-apoptotic compounds and subsequently transferred in vivo to prime experiment. Mice immunized with Bax/Bak−/− MEFs expressed a significantly higher CD8+ T cell response specific to both immunodominant of influenza haemagglutinin and nucleoprotein. Last but not least, autophagy hijacked by nonstructural protein 4 viroporin-activated calcium/calmodulin-dependent kinase-β signaling is indispensable for rotavirus replication.

2.2 | Interplay between HCV and autophagy

Autophagy is involved in different liver physiology and pathophysiology processes, including the removal of misfolded proteins, nutrient and lipid metabolism, regulation of selective organelle degradation, and response to hepatitis virus infection. Hepatitis C virus (HCV) and hepatitis B virus (HBV) are the main causes of chronic liver infection and lead to liver fibrosis, cirrhosis, and ultimately malignant transformation of the hepatocytes. HCV is a hepatotropic membrane-enveloped, positive-sense, single-stranded RNA.

Infections of the Flaviviridae family such as HCV, Dengue virus, and Japanese encephalitis virus are reported to activate autophagy in cell culture experiments. Upon binding to the host cell, HCV is internalized through clathrin-mediated endocytosis. To release the viral nucleocapsid into the cytoplasm, the viral and endosomal membranes fuse in a pH-dependent manner. HCV RNA is transported to the ER, where HCV non-structural proteins NS4B and NS5A induce ER membrane alteration (known as “membranous web” formation). This alteration provides a scaffold for the replication of the HCV and protects the virus from host immune defenses. HCV NS4B, a membranous web formation inducer, may elicit a stress response that triggers autophagy as shown in Figure 1. Autoptagy that is basal, rather than stress-induced, may provide an initial membranous platform for incoming RNA translation. Once replication is established, this platform becomes unnecessary for translation of HCV RNA progeny.

Growing evidence indicates that HCV infection-triggered cellular stress indirectly induces autophagy. The accumulation of mis-folded or unfolded protein in the ER causes ER stress which in turn results in the activation of 3 distinct pathways: the inositol-requiring enzyme 1 (IRE1), activating transcription factor 6 (ATF6), and double-stranded (ds) RNA-activated protein kinase, including ER kinase (PERK). These proteins trigger downstream signaling pathways to cope with misfolded proteins. This process, called the unfolded protein response (UPR), alleviates ER stress by reducing protein synthesis, upregulating ER chaperone protein expression to facilitate protein folding, and augmenting protein degradation via autophagy and the ER-associated degradation pathway. If the UPR fails to alleviate ER stress, it will induce apoptosis. In addition, ER stress releases calcium from the ER resulting in an increase of mitochondrial metabolic activity. This activity leads to an overproduction of ROS, which leads to mitochondrial oxidative. Damaged mitochondria are then selectively targeted for degradation by mitophagy via activation of the mitochondrial fission protein dynamin-related protein-1; this process is reported to occur during HCV infection. HCV upregulates expression levels of serine/threonine kinase PTEN-induced putative kinase 1 and Parkin (an E3 ubiquitin ligase), both of which then translocate to the outer mitochondrial membrane. The new Parkin on the mitochondrial surface recruits its substrate to induce mitophagy. HCV-activated mitophagy could decrease HCV-induced apoptosis in order to promote viral persistence. The HCV core protein has been revealed to stimulate both the ATF6 and PERK pathways that, in turn, enhance the expression of the ATG12 and LC3 genes. Induction of autophagy and mitophagy is essential to sustain the survival of infected cells and establish a chronic HCV infection.

Interaction between autophagy and HCV is time dependent. The co-localization of ATG5 and HCV RNA polymerase NS5B is detected 48 hours following infection. In contrast after 5 days post-infection, the co-localization has disappeared. In the normal autophagic pathway, the UVRAG enables the fusion between autophagosomes and lysosomes. Induced by HCV in the early stages of infection, Rubicon, RUN domain and cysteine-rich domain containing Beclin 1-interacting protein, blocks UVRAG function thus prevents fusion of autophagosomes and lysosomes. By contrast, the induction of UVRAG in the late stages of HCV infection disables the inhibitory effect of Rubicon, leading to the maturation of autophagosomes. Another study demonstrated that knockdown of ATG7 and Beclin-1 reduced viral shedding without any significant effect on the intracellular viral proteins and RNA levels.
Lipophagy is a selective type of autophagy responsible for the degradation of intracellular lipid stores during the HCV life cycle. This indicates that HCV possibly develops lipoprotein degradation using autophagy, thereby, bypassing virus-produced lipid droplet accumulation in host cells. Additionally, pharmacological inhibition of autophagy leads to a substantial accumulation of intracellular cholesterol deposits; inhibiting cholesterol synthesis by statins considerably drops autophagy levels, as well as viral replication. Taken together, these data indicate that inhibition of lipid-selective autophagy might be connected to the beginning of steatosis in chronically HCV-infected patients.

Induced autophagy response in persistent HCV infection selectively downregulates the functional type I IFN-α receptor, but not the type II (IFN-γ) or type III (IFN-λ) IFN receptors. In the same context, the expression of the nucleoside transporters ENT1 and CNT1 is also reduced, suggesting a possible contribution of autophagy in the IFN-α combined with ribavirin resistance mechanisms against HCV. By contrast, by studying transgenic mice that expressed HCV proteins, it was clear that IFN-β could arouse the autophagic degradation of HCV core and NS3/4A proteins, negatively regulating HCV replication. Pharmacological autophagy inhibitors, such as chloroquine (CQ) and bafilomycin A1, inhibit HCV infection specifically by stimulation of type I IFN antiviral immunity.

2.3 HBV interaction with autophagy

Hepatitis B virus (HBV), a member of the Hepadnaviridae family, with a DNA virus that infects hepatocytes, enhances and recruits autophagy in favor of its DNA replication. HBV is responsible for one of the most common chronic infections of the liver in humans, and chronic hepatitis caused by HBV is the main etiologic agent of hepatocellular carcinoma. HBV small envelope proteins (SHBs) mediate ER stress and UPR induction, and the effect of SHBs on autophagy was eliminated when the 3 sensors of ER stress (ie, IRE1, PERK, and ATR6) were silenced with siRNAs. Recently, it has been verified that HBV is able to activate the ER-associated degradation pathway and trigger the expression of ER degradation-enhancing mannosidase-like proteins, which then facilitate the degradation of HBV surface proteins through the autophagic pathway.

Autophagy dysfunction has been related to several diseases including cancer. However, a recent report showed that in HBV-associated hepatocellular carcinoma (HCC), autophagy was downregulated,
and there was a reverse relation between the autophagic activity and the level of microRNA-224 (miR-224) in these tumor cells.92 Autophagosomal formation can sequester miR-224 and subsequently degrade it. Increased miR-224 results in downregulation of its target gene Smad4, a transcription factor that activates the TGF-β signaling pathway.92 Smad4 inhibition can shift TGF-β’s role from a tumor suppressor to a tumor enhancer factor.93 The induction of autophagy is mediated by HBV X-Protein (Trans-Activator X Gene) through interaction and activation of phosphatidylinositol-3-kinase class 3 (PI3KC3).88

### 2.4 | Rotaviruses and autophagy

Rotavirus consists of 11 segments of double-stranded RNA94 and causes age-dependent and life-threatening dehydrating viral gastroenteritis in children worldwide. The full mechanism by which rotavirus induces diarrhea remains unclear, but it is triggered to some extent by virus-encoded non-structural protein 4 (NSP4), which acts as a viral enterotoxin through viroporin activity.95,96 During rotavirus infection, NSP4 co-localizes with the LC3 protein and surrounds viroplasms, the viral factories in the cell.97 Rotavirus hijacks the autophagy membrane trafficking pathway through NSP4 to transfer ER-associated viral proteins NSP4 and VP7 to virus producing viroplasms.54 The interaction of NSP4 with immature virus particles at the boundary between viroplasms and NSP4/LC3-containing membranes mediates the assembly of the outer capsid proteins onto the double-layered particle, finally forming the infectious virion which is a triple-layered particle.98 NSP4 stimulates the release of ER luminal calcium into the cytoplasm of the host cell, thereby stimulating calcium/calmodulin-dependent kinase beta (CaMK-β) that phosphorylates 5’ adenosine monophosphate-activated protein kinase (AMPK) to initiate autophagy.54 Phosphorylated AMPK is able to inhibit the mTOR complex 1 (mTORC1) or directly phosphorylate ULK1, both of which result in autophagy induction.99

Rotavirus-induced autophagosomes are unable to fuse with lysosomes, suggesting that rotavirus infection triggers autophagosome formation but blocks maturation. Inhibiting autophagosome maturation may help the rotavirus evade the antiviral function of autophagy, although it is unclear whether rotavirus inhibits autophagosome maturation directly or indirectly. Rotaviruses that use 2 non-structural proteins, NSP4 and NSP2, can de-polymerize the microtubule network100,101 and render the cell incompetent for autophagy processing, because microtubules are essential for autophagosome membrane trafficking.102 Recently, it was reported that rotavirus induces autophagic signals to promote virus replication while also suppressing protein degradation. Rotavirus infection enhances expression of several stress-related genes, which suggests that NSP4 may be involved in the lipolysis of viroplasms via lipophagy.102 In addition, it was reported that lipidated LC3 plays an important role exclusively in the late stages of the viral life cycle to enhance virus replication.104,105

### 2.5 | Autophagy involved in HIV infection in a cell type dependent manner

Acquired immunodeficiency syndrome (AIDS) is caused by HIV. HIV targets and replicates in vital cells of the human immune system, mainly CD4+ T cells, macrophages, and dendritic cells (DCs).106,107 HIV infection causes acquired immunodeficiency, primarily due to the depletion of CD4 lymphocytes (< 200 cells μL−1 blood volume). When CD4+ T cell numbers drop below this critical level, the immune system loses its ability to fight opportunistic diseases, which are the principal causes of mortality in HIV-infected patients. The mechanism by which the virus depletes these cells has not been fully elucidated but appears to result from viral strategies employed during multiple parts of its life cycle to evade the innate and adaptive immune responses and to ensure viral persistence.

A major challenge for developing a cure for HIV is the existence of a quiescent pool of infected cells. In the latent phase, HIV pro-viruses persist in long-lived cells, such as hematopoietic stem cells, central memory CD4+ T cells, DCs, and cells from the monocyte-macrophage lineage including microglial cells, which are the main HIV reservoirs in the central nervous system (CNS).107 The potential mechanisms of HIV persistence have been discussed recently in a review by Hong and Mellors108. Viral latency is commonly a reversible state defined by non-productive infection of individual cells. This state provides an important mechanism for viral persistence and escape from immune recognition.109 However, the term latency is quite complex for HIV-1 because the virus is still able to infect the resting CD4+ T cells at moderate levels.110

Autophagy plays multiple roles in immunity. Besides its degradative function, the autophagy pathway plays a role in innate immunity; the pathway delivers cytosolic microbial and viral products to PRRs, known as Toll-like receptors (TLRs), in antigen-presenting cells such as macrophages and DCs.111,112 Autophagy also controls inflammation. For example, autophagy supports the secretion of the pro-inflammatory cytokine interleukin (IL)-1β from macrophages,113 curbs inflammation by downregulating type I IFN signaling,66 captures and removes endogenous inflammasome agonists,114 and causes immune mediator secretion.115 In T cells, autophagy contributes to antigen presentation by MHC class II from macrophages and DCs and affects T cell repertoires and polarization.115

In recent years, several studies uncovered that autophagy, used by the cell as an antiviral immune defense, is usurped by HIV-1 to facilitate viral protein processing and virion assembly.116 Moreover, autophagy is an intracellular degradation process responsible for the clearance of aggregate-prone cytoplasmic proteins that cause neurodegenerative diseases such as Parkinson’s and Alzheimer’s diseases. Aberrant activation and dysregulation of autophagy in HIV infection contribute to HIV-associated neurocognitive disorders (HAND). Here, we discuss the dysregulation of autophagy by HIV-1 proteins in the immune system and the CNS.

Findings in this field can help elucidate the mechanisms involved in HIV-1 infection and viral replication, and contributing to HIV therapeutic development. HIV-1 infects a variety of immune cells, but its largest cytopathic effect is the shrinkage of CD4+ T cell population through mechanisms including pyroptosis of abortively infected T cells,119 apoptosis of infected bystander cells,120 direct viral killing of infected cells, and killing of infected CD4+ T cells by CD8 cytotoxic lymphocytes.121

In the context of autophagy, depletion of the CD4+ T cell population during HIV-1 infection is proposed to occur by Env-mediated cell
death in uninfected CD4+ T cells by induction of autophagy as depicted in Figure 2. While autophagy is induced in uninfected CD4+ T cells, it is inhibited in infected cells through the transcriptional level downregulation of Beclin-1. In 2002, Castedo described the precise mechanism by which uninfected T cells die upon co-receptor engagement with HIV Env. This study demonstrates that CXCR4 engagement leads to the activation of mTOR and subsequent phosphorylation and activation of p53. Activated p53 increases the expression of Bax and activates the mitochondrial death pathway. These data suggest that HIV-1 manipulates autophagy during infection to circumvent the immune response. Accordingly, a significant increase in the autophagic activity has been detected in the peripheral blood mononuclear cells of elite controllers; individuals may remain asymptomatic for more than 10 years due to their ability to maintain a viral load below the limits of detection in the absence of antiretroviral therapy. A study by Sagnier et al found that autophagy restricts HIV-1 infection by selectively degrading the viral trans-activator Tat, highlighting the anti-HIV effect of autophagy.

Interestingly, HIV-1 has evolved strategies to counteract the antiviral effect of autophagy. For example, the viral protein Vif can inhibit the early steps of autophagy, allowing for the productive infection of CD4+ T cells. HIV-1 negative factor protein (Nef) inhibits autophagosome maturation in infected macrophages through its association with Beclin 1, thereby avoiding degradation. In DCs, HIV-1 Env has been proposed to increase cell-associated HIV-1 by activating mTOR and S6 kinase (S6K), thus inhibiting autophagy and transferring infection into CD4+ T cells (trans-infection).

Cells belonging to the monocyte-macrophage lineage are more resistant to cytopathic effects and are able to harbor viruses for longer time periods. A study by Espert et al demonstrated the resistance of macrophages to HIV Env-mediated bystander cell death, in contrast to CD4+ T cells. The secreted form of HIV-Tat blocks autophagy by activating Src-Akt and STAT3 signaling pathways, previously known to inhibit autophagy. Moreover, HIV-Tat induces production of IL-10. Interestingly, IL-10 inhibits autophagy initiation in uninfected bystander macrophages, independently of the presence of Env. The Tat protein also dysregulates IFN-γ signaling and suppresses autophagy in macrophages. The protein inhibits STAT1 phosphorylation and reduces upregulation of LC3B and autophagosome formation. Additionally, HIV-Tat restricts mycobacteria capture by autophagosomes, thereby providing a favorable environment for opportunistic microbes in HIV-infected individuals. Furthermore, the HIV-1 precursor Gag co-localized and interacted with the autophagy factor LC3, suggesting that autophagy promoted productive Gag processing and viral particle production in macrophages.

Taken together, these studies demonstrate that HIV evades degradation by autophagy to enhance the success of its infection. The role of autophagy in HIV infection is different in macrophages than it is in DCs or CD4+ T cells because, HIV-1 infected cells from the monocyte-macrophage lineage are more resistant to apoptosis, a major obstacle to eradication of the virus. Therefore, autophagy could be a possible key player in macrophage reservoir promotion for the virus.

Dendritic cells (DCs), which are located in the mucosa (including oral and vaginal mucosal surfaces) and lymphoid tissues, are crucial in the generation and regulation of immune responses to HIV infection. DCs play a pivotal role in the early dissemination of HIV, likely among the first cells that encounter HIV during sexual transmission. However, the role autophagy plays in DCs during HIV infection has been understudied. Blanchet et al showed HIV Env-mediated downregulation of autophagy by activating mTOR. This study found...
that downregulation of autophagy leads to a decrease in TLR-mediated innate immune response and blocks presentation of HIV-1 antigens to CD4+ T cells in the context of MHC class II (adaptive immunity). Thus, disruption of autophagy in DCs could significantly contribute to HIV-1 disease progression, allowing for opportunistic infections and cancers to evade innate and adaptive immune responses.

Conversely, pDCs secrete IFN-α in response to infectious or non-infectious HIV-1 through induction of autophagy following TLR7 signaling. Natural killer (NK) cells are activated by pDCs responding to HIV-1. The ability of NK cells to lyse infected cells is increased by IFN-α. Thus, autophagy is required for suppression of HIV-1 replication by cytolytic function of the innate immune system.

2.6 | Autophagy in HIV-associated neurological disorders

The CNS is considered to be a viral reservoir, because antiretroviral therapy drugs have limited access to this area. There are several lines of evidence that suggest that brain cells harbor genome-integrated HIV. HIV-associated neurological disorders occur almost immediately after systemic infection. Autophagy as an intracellular clearance pathway for misfolded proteins facilitates the protective role against various neurodegenerative disorders, such as Huntington’s and Parkinson’s diseases. Defects of autophagy in the CNS have emerged as a feature of neuroAIDS. Autophagy markers are moderately increased in postmortem brains of individuals with HIV when compared with brains of uninfected individuals or HIV-infected individuals without encephalitis. This suggests that dysregulation of autophagy may be important in the pathogenesis of neuroAIDS. Previous reports demonstrated that inflammatory molecules released by simian immunodeficiency virus-infected microglia significantly reduced the autophagy processing in neurons resulting in decreased neuronal survival. This reduction of autophagy was correlated with an accumulation of sequestosome-1/p62 in neurons from cell cultures. Similar phenotype of p62 aggregations have been discovered in the post-mortem brains of HIV demented patients when compared with subjects without neurological disease.

Moreover, dysregulated autophagy also contributes to aging-related neuropathology in HIV patients who develop HAND. These results are similar to the bystander effect of HIV-1 Env that increases autophagy in CD4+ cell, described previously.

In addition to gp120, the HIV-1 transactivator protein Tat causes neuronal injury. One study suggests that Tat contributes to HIV-1 neuropathogenesis by altering the autophagy pathway. The authors show that Tat induces autophagic degradation by promoting fusion of autophagosomes and lysosomes, leading to abnormal neuronal autophagy and dysregulated degradation of critical intracellular components.

In conclusion, autophagy plays key roles in immune defenses against invading bacterial and viral pathogens. These data support the role autophagy plays in HIV-1 infection and pathogenesis. HIV-1 counteracts autophagy-mediated degradation and is able to manipulate autophagy for its own benefit, favoring viral replication in the targeted cell types. Meanwhile, HIV proteins induce autophagy and promote autophagic T cell death in uninfected cells, thereby contributing to HIV-1 pathogenesis. Although challenges still remain, particularly the dual role autophagy plays in HIV-1 infection, these observations provide novel insight into the pathogenesis of HIV-1 and allow us to consider new strategies toward a cure for HIV.

2.7 | Cross-talk between influenza virus and autophagy

Influenza A virus (IAV) (family Orthomyxoviridae, genus Influenza virus A) has caused severe respiratory diseases for hundreds of years in humans and different animal species, resulting in considerable morbidity and mortality. IAVs are multi-step infectious agents that recruit host cell machinery to support the replication and transportation of their own viral components. Understanding this viral strategy requires characterization of the intracellular pathways by which the influenza virus replicate. Here, we focus on autophagy as one of the most prominent processes involved in the efficient replication of IAV inside the host cell.

Autophagy was originally characterized as a critical process for influenza virus replication. This infection triggers autophagy in different types of cells and enhances autophagosome formation and autophagic flux. Baf-A1 is a highly specific inhibitor for vacuolar-type proton (V-H+) pumps, which are responsible for the acidification of endosomes and lysosomes, and are necessary for influenza virus replication. Administration of Baf-A1 at low concentrations effectively inhibits IAV replication without impacting host cell viability. This dose of Baf-A1 inhibits the formation of autophagosomes, resulting from either an inhibition of autophagosome formation or an increase in degradative flux. However, the most common scenario with an inhibitor of autophagy like Baf-A1 is an increase in levels of LC3-II, and is indicator of increased autophagosome formation.

In addition, autophagy may act as an innate immune mechanism to restrict virus replication. Autophagy inhibition by IAV can be considered a novel immune escape mechanism or a by-product of altered autophagosome physiology. If the viral escape mechanism is broken, virus replication and virulence are impaired suggesting that induction of autophagosome degradation during viral infections might restrict virus replication within infected cells. Viruses can upregulate autophagosome formation, or, alternatively, autophagosome degradation in lysosomes could be blocked. Several pieces of evidence support the latter scenario, whereby after influenza infection, autophagosomes do not fuse with acidic compartments. Blocking autophagosome degradation would lead to accumulation of autophagosomes and LC3-II to evade presentation of viral antigens.

It has been reported that autophagy is involved in the replication of IAV, and inhibiting autophagy will inhibit the replication of this virus. In 2007, Dorothee Schmid found that autophagy in IAV-infected lung epithelial cells is inhibited. Autophagosomes accumulate because they do not fuse with lysosomes, leading to increased virus survival. A study on the antiviral activity of statins found that the expression level of LC3-II was independent of the presence of Baf-A1, confirming that influenza virus increases autophagosome formation by LC3-II accumulation and inhibits autophagosome maturation.
Two viral proteins, NS1 and M2, are associated with autophagy signaling. NS1 binds to p85β, the regulatory subunit of PI3K, while M2 keeps autophagy at moderate levels by limiting the degree of lysosome fusion with autophagosomes. M2 protein, a virus-specific membrane protein, is expressed at the surface of infected cells. It has a short (24 residues long), highly conserved extracellular N-terminal domain, an internal hydrophobic anchorage domain (approximately 19 residues long), and a C-terminal amphipathic cytoplasmic domain (54 residues long) facing inside the viral particle. The M2 protein makes a proton channel involved in 2 virus replication functions. First, M2 serves as a proton selective ion channel, causing un-coating of the virus and exposure of its contents to the host cytoplasm. Second, it initiates viral replication and maturation of the haemagglutinin glycoprotein. In addition, the M2 protein is necessary and sufficient to block and inhibit autophagy (autophagosome maturation) and to trigger autophagy initiation. IAV takes advantage of autophagy inhibition. Autophagy inhibition hides IAV from immune attack despite not being useful for its replication. The first 60 amino acids of M2 seem sufficient for inhibition of autophagosomes maturation after binding to Beclin-1. The specific inhibitor of the M2 H+ channel, amantadine, could not abrogate the inhibition of autophagy flux. This suggests that a block of autophagosome maturation does not involve M2 ion channel activity. Thus, the main functional part of the M2 protein is believed to be located within the internal domain that is responsible for proton conductive activity.

The mTOR signaling pathway negatively regulates autophagy. The phosphorylated form of the effector protein kinase mTOR is known to inhibit autophagy. It has been proposed that the highly pathogenic avian influenza virus H5N1 induces autophagy by suppressing phosphorylated mTOR signaling. Thus, inhibition of autophagy could reduce H5N1-mediated cellular damage. In terminal autophagy, mTORC2 upregulates p70S6K activity (the original natural substrate of mTORC1) required for LC3-II formation. Interestingly, when the complex mTORC2/p70S6K blocks lethal autophagy in the absence of apoptosis, drug inhibitors of lethal autophagy limit viral production in cells. Increased autophagy shows altered autophagy signaling with increased mTORC1 and PI3K/mTORC2 activity and p70S6K phosphorylation. Blocking PI3K, mTORC2, or p70S6K activity prevents lethal autophagy and decreases infectious virus production.

Pan H et al (2914) reported that the activation of NF-κB signaling promoted H5N1 pseudotyped particles-induced autophagosome formation both in human lung epithelial cell lines and mouse lung tissues. The positive feedback between autophagy and NF-κB and p38 MAPK signaling cascades could be an important mechanism contributing to H5N1 pseudotyped particles-induced lung inflammation.

Autophagy also performs as a key mechanism contributing to the inflammatory responses induced by H1N1 and H9N2. Ectopic P-granules autophagy protein 5 homolog (Egpg5), which is essential for basal autophagy and functions of ATG genes complex in the formation of degradative autolysosomes, regulates basal expression of multiple cytokines in the lung. Egpg5 deficiency in myeloid cells in the lung causes an increase in IL-1β, IL-6, and IL-13 cytokines in lung macrophages. Optimal cytokine levels exert protective effects against viral replication, thus enhancing the innate immune response to influenza.

One study demonstrated that the activation of the PI3K/AKT pathway, which is closely related to the autophagic process, has a biphasic effect on influenza virus replication. Deficiency in autophagy causes impaired survival of memory CD8+ T cells during infection with influenza virus. Delayed apoptosis and highly stimulated autophagy were observed in IAV-infected cells. In a report that studied H3N2 infection, it was shown that lipidated LC3-II began to accumulate a few hours post-infection in A549 cells and very early post-infection in Ana-1 cells. This also confirmed that H3N2 induced autophagy in both A549 and Ana-1 cells.

Influenza A virus induces the nucleotide-binding domain and leucine-rich repeat (NLR) family, including the pyrin domain containing 3 (NLPR3) inflammasome, causing mitochondrial damage and leading to the release of ROS. NLRP3 forms an inflammasome complex with ASC (essential adaptor of inflammasomes) and caspase-1, thus inducing the production of IL-1α and IL-18 in response to mitochondrial ROS. Deficiency in autophagy results in the accumulation of dysfunctional mitochondria. This event produces excessive ROS upon stimulation, which potently activates the NLRP3 inflammasome. Upon infection with IAV, the cytosolic PRR Nod2 and its downstream regulator RIPK2 induce mitophagy by inducing phosphorylation of ULK1 to prevent excessive activation of the NLRP3 inflammasome.

As illustrated in Figure 3, influenza A virus can be involved in IAV interaction with the host autophagy pathway in several ways, including the following:

1. ROS production, which prevents the conversion of LC3-II to LC3-I by degrading Atg4 and leads to increased levels of LC3-II;
2. Binding between viral M2 protein and Beclin 1;
3. Upregulation of the expression of several ATG, which increase autophagic flux; and
4. IAV subversion of autophagy through the LC3-interacting region (LIR) on M2, which leads to LC3 redistribution to the plasma membrane in infected cells.

Influenza virus induces the autophagosome accumulation by blocking autophagosome degradation. Previously, it was hypothesized that M2 protein causes this accumulation by preventing autophagosome fusion with lysosomes, and that the proton channel activity of M2 is involved in this blocking activity. In fact, Gannage et al showed that M2 proton channel activity is not involved in blocking autophagosome fusion with lysosomes. They verified this result by using amantadine hydrochloride, an inhibitor of M2 ion channel activity, which was unable to prevent autophagosome accumulation in IAV-infected cells. Finally, they showed that the N-terminal domain of M2 blocks autolysosome formation and acts independently of its proton channel function.

Targeting cellular proteins could be effective in fighting influenza infections. To harness the full potential of this strategy, we need a greater understanding of the host intracellular pathways that influenza viruses use to replicate. The mechanism that blocks autophagosome-lysosome fusion by the virus requires particular focus on its molecular details.
Other respiratory viruses and autophagy

Coronaviruses, which infect a variety of hosts from human to birds, mainly cause mild respiratory infections, with the exception of severe acute respiratory syndrome coronavirus (SARS-CoV).\(^{187}\) The main symptoms of SARS-CoV infection are fever, dry cough, and shortness of breath. It has been shown that membrane-associated papain-like protease PLP2 (PLP2-TM) of SARS-CoV is an autophagy-inducing protein. PLP2-TM induces the accumulation of autophagosomes and blocks the fusion of autophagosomes with lysosomes. Furthermore, PLP2-TM interacts with the key autophagy regulators, LC3 and Beclin 1, and promotes Beclin 1 interaction with STING, the key regulator for antiviral IFN signaling.\(^{188}\) Coronavirus NSP6 proteins limit autophagosome expansion to remove host proteins that would inhibit replication. This may favor coronavirus infection by compromising the ability of autophagosomes to deliver viral components to lysosomes for degradation.\(^{189}\) As evidenced by the large quantity of information gathered here, the relationship between viruses and autophagy is complex,\(^{190}\) and fundamental mechanisms of autophagy involvement in viral infection are still in being elucidated.

Autophagy is a determining factor when considering negative-sense RNA viruses such as influenza viruses. A study by our research team showed that when autophagy induction is promoted before virus infection, virus titer enhanced significantly 24 hours post-infection, but it was not significant 48 hours post-infection. In contrast when autophagy formation is induced after virus infection, the virus replication was inhibited 24 and 48 hours post-infection.\(^{191}\) Additionally, we showed that inhibition of autophagy using 3-MA significantly reduced viral replication.\(^{191}\)

In chronic HCV infection, selectively autophagy induction downregulates the IFN-α receptor-1 chain and the expression of the nucleoside transporters ENT1 and CNT1, thus leading to drug resistance.\(^{86}\) Therefore, in new treatments of HCV chronic infection, it is necessary to include an autophagy inhibitor drug. On the other hand, telomerase activation is crucial for immortalization and establishment of malignant tumor cells.\(^{192}\) It is well documented that telomerase activation by viral oncoproteins such as HBV X protein (HBx)\(^{193}\) and HCV core\(^{194}\) is connected to carcinoma. The results of our recent study suggest that the induction of autophagy reduces telomerase activity in tumor cells.\(^{195}\) Thus, pharmacological induction of autophagy may reduce telomerase activity and tumor progression.

Growing evidence suggests that autophagy contributes to MHC class I and II antigen presentation and processing of certain endogenously produced peptides. Hence, precisely targeting antigens to autophagy pathways via fusion with the LC3 protein may be a promising strategy for eliciting CD4\(^{+}\) and CD8\(^{+}\) T cell responses. Autophagy-targeted candidate vaccine composed of 19-kDa Mycobacterium tuberculosis lipoprotein (LpqH) DNA and microtubule-associated
protein light chain-3 (LC3) gene, which transfers LpqH to autophagosomes to enhance protective efficiency against Mycobacterium tuberculosis. Mice immunized with the aforementioned candidate vaccine had lower mycobacterial loads in the lung and spleen with increased IgG2a and IFN-γ and IL-2 levels. Our team formulated Beclin-1, an autophagy initiator, as an adjuvant with an HEV candidate DNA vaccine in a mouse model. The immunized mice induced robust immune response but have not significant effect on HEV protein candidate vaccine. The role of autophagy in apoptosis of uninfected bystander cells, adaptive immunity by viral processing and MHC-antigen presentation, and in innate immunity by affecting pathogen sensing opens novel approaches for an HIV-1 vaccine and combination treatment strategies. Autophagy controls immunogenic signaling throughout cancer therapy which can be exploited to design therapeutic combinations with methods that either activate or inhibit autophagy to promote the therapeutic efficacy of oncolytic virus immunotherapy.

Many viruses use the host autophagy pathway, but relatively little is known regarding the specific mechanisms by which viruses manipulate autophagy for their gain. We hypothesize that autophagy may be important for the maturation of viral particles and proteins that need to be processed and cleaved with cellular protease under acidic condition. Upon virus escape to cytoplasm, positive strand RNA viruses initiate polyprotein synthesis. The viral precursor protein may be engulfed by autophagy and delivered to the lysosome for processing by cellular protease. For example, the HIV-1 precursor Gag interacts with the autophagy factor LC3, suggesting that autophagy promoted productive Gag processing and that viral particles were produced in macrophages.

### CONCLUSION

In this review, we summarize the multifaceted roles of autophagy in normal physiology and viral infection and pathogenesis (Table 1). We highlight recent advances in understanding the relationship between autophagy and viral infection, and how autophagy plays dual roles in the disease progression. These data provide an overview of the molecular mechanisms that underlie autophagy, the role of this pathway in the pathogenesis of viruses, and strategies for therapeutic modulation.

### TABLE 1 Cross-talking between autophagy and viral infections

| Viruses   | Autophagy Induction Mechanism                                                                 | Autophagy Inhibition Mechanism                                      |
|-----------|---------------------------------------------------------------------------------------------|---------------------------------------------------------------------|
| HCV       | -HCV NS4B triggers a stress response that induces autophagy. Also, it leads to the lipidation of LC3 and forms complexes with Rab5, Vps34, and Beclin-1.  
-HCV triggers lipoprotein degradation through lipophagy.  
-HCV causes mitochondrial damage and oxidative stress, and impaired mitochondria are selectively eliminated mitophagy.  
-NS5B/ATG5 interaction could be necessary for the establishment of HCV replication.  
HCV-NS3 induces autophagy in an IRGM-dependent pathway.  
HCV activates a selective autophagy for lipids (lipophagy) protects cells from an excessive lipid accumulation. | --- |
| HBV       | -the X protein of HBV interacts to, and induces, both phosphatidylinositol-3-kinase class 3 (PI3KC3) and death-associated protein kinase (DAPK), activating autophagic signaling.  
-autophagy inhibits tumorigenesis of HBV-associated Hepato cellular carcinoma (HCC) by degradation of microRNA-224.  
-HBV small surface protein (SHB) activates autophagy. | -HBV X protein blocks autophagic degradation via inhibition of lysosomes. |
| Rotavirus  | -Rotavirus NSP4 cause to release of calcium from the ER lumen, triggering autophagic signaling. | --- |
| HIV       | -Tat: Tat enhances autophagic degradation through increasing fusion process in neurons.  
-Gag: Gag macrophages Gag-derived proteins co-localized and interacted with the autophagy factor LC3, and autophagy promoted productive Gag processing.  
-Env: Env attaches to CXCR4 and leads to autophagy triggers cell death in neurons and uninfected T cells.  
-Env induces autophagy and promotes autophagic T cell death, in bystander T cell.  
-Nef: Nef induces autophagy in an IRGM-dependent pathway. | -Nef serves as an “anti-autophagic maturation factor” and blocks the late proteolytic stage of autophagy.  
-Nef mimics Baf A1 and blocks the formation of autophagolysosome in human astrocytes.  
-Tat suppressed IFN-γ-induced autophagy in human macrophages.  
-Tat blocks autophagy through Src-Akt and STAT3 signaling in uninfected macrophages and monocytes. |
| Influenza virus | -NS1 is able to upregulate autophagy.  
-proteolytic cleavage of viral HA activates autophagy. | -M2 blocks autophagosome–lysosome fusion by means of its viroporin activity. |
Manipulation of autophagy heralds the potential for highly effective treatments for a wide range of clinical diseases, including both bacterial and viral infections as well as autoimmune and inflammatory disease states which deserve attention. Although our knowledge of the autophagy pathway is quickly progressing, there is still much to be learned regarding the specific molecules that regulate this pathway and the mechanisms by which viruses target these molecules to facilitate their replication. Further investigations are required to be examined in preclinical and clinical trials.

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CONFLICTS OF INTEREST

None declared.

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