CORRECTION OF INTEGRATED X-RAY INTENSITIES FOR PREFERRED ORIENTATION IN HEXAGONAL CLOSE-PACKED POWDERS USING EDXRD

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Abstract: It is shown that energy-dispersive x-ray diffraction (EDXRD) method can be used for correction of integrated intensities for preferred orientation in hexagonal close-packed powders. The theory is based upon examination of the polar axis density distribution and upon the use of hexagonal harmonics in its representation. The reflexion method by Schulz added with defocusing correction was used. Measurements were carried out on three zinc samples with different degrees of orientation, the largest correction being 54 percent.

1. INTRODUCTION

The theory of x-ray diffraction on powder samples assumes a completely random orientation of crystallites. In practice this demand is seldom realized. Therefore, the correction of integrated intensities for preferred orientation is necessary, when accurate intensity measurements are required.

Järvinen, Merisalo, Pesonen and Inkinen¹ and Pesonen, Järvinen and Kurki-Suonio² have developed for this purpose the symmetrized harmonic method and applied it successfully to cubic and hexagonal close-packed crystals. Later Laine, Kivilä and Lähteenmäki³ have applied this method to the study of preferred orientation in cubic powders using the energy-dispersive x-ray diffraction (EDXRD) method.

The purpose of this work is to test the EDXRD-method to studying of the preferred orientation in hexagonal close-packed powder samples and to determine the correction of integrated intensities. The theory is based upon examination of the polar axis density distribution and upon the use of hexagonal harmonics in its representation.
2. THEORY

Järvinen et al.\(^1\) presented that the observed integrated intensity \(I_{\text{obsd}}^{hkl}\), the polar axis density \(W(\nu_{hkl}, \phi_{hkl})\) and the intensity \(I_{\text{ran}}^{hkl}\) corrected for preferred orientation are related to each other by the expression

\[
I_{\text{ran}}^{hkl} = \frac{I_{\text{obsd}}^{hkl}}{W(\nu_{hkl}, \phi_{hkl})},
\]

where \(\nu_{hkl}, \phi_{hkl}\) are the conventional spherical coordinates of the scattering vector with respect to the internal crystallographic axes. For an ideal sample the orientation distribution is completely random, corresponding to the polar axis density \(W(\nu_{hkl}, \phi_{hkl}) = 1\) and hence \(I_{\text{ran}}^{hkl} = I_{\text{obsd}}^{hkl}\). Furthermore, the polar axis density can be given by

\[
W(\nu, \phi) = \sum_{ij} C_{ij} K_{ij}(\nu, \phi),
\]

where \(K_{ij}\) are the symmetrized harmonics for the relevant point-group symmetry and \(C_{ij}\) are the coefficients to be determined experimentally. By integration of the expression (2) of the polar axis density, \(W(\nu, \phi)\), we can write

\[
I_{\text{hlkl}}(\alpha) = T_{hkl} \sum_{ij} C_{ij} K_{ij}(\nu_{hkl}, \phi_{hkl}) P_i(\cos \alpha),
\]

where the parameter \(T_{hkl} = I_{\text{ran}}^{hkl}(0)\) and \(P_i(\cos \alpha)\) is the Legendre polynomial of the order \(i\). The values of the harmonic functions, \(K_{ij}\), and of the Legendre polynomials have been calculated. Thus by measuring the integrated intensities of the texture specimen \(I_{\text{hlkl}}(\alpha)\) for different reflexions as a function of polar angle, \(\alpha\), the unknown coefficients, \(C_{ij}\), and the parameter \(T_{hkl}\), can be solved with Equation (3). By substituting these into Equation (2), we obtain the polar axis density. After that the correction of integrated x-ray intensities for preferred orientation can be made according to Equation (1).

In deriving Equation (3) no assumptions have been made about the crystal structure of the material studied. In the case of hexagonal close-packed crystals, the harmonic functions \(K_{ij}\) are the hexagonal harmonics. Pesonen et al.\(^2\) concluded that when the orientation is not strong, it is sufficient to calculate the polar axis density to determine the coefficients \(C_{ij}\) corresponding to \(i \leq 10\). Thus we obtain nine different hexagonal harmonics\(^2\).
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\[ K_{i0} = P_i(\cos \nu); \quad i = 0, 2, 4, 6, 8, 10 \]

\[ K_{61} = \sin^2 \nu \cos \theta \]

\[ K_{81} = \frac{2000}{2401} (15 \cos^2 \nu - 1) K_{61} \]

\[ K_{i0, i0.24} = 0.24242(323 \cos^4 \nu - 102 \cos^2 \nu + 3) K_{61}, \quad (4) \]

where \( \nu \) and \( \theta \) are the spherical coordinates. In the case of the hexagonal crystals \( \cos \nu \) can be calculated from the equation

\[ \cos \nu = \frac{h_1 h_2 + k_1 k_2 + \frac{1}{2}(h_1 k_2 + h_2 k_1) + \frac{3}{4} \frac{a^2}{c^2} l_1 l_2}{\left[ \left( h_1^2 + k_1^2 + h_1 k_1 + \frac{3}{4} \frac{a^2}{c^2} l_1^2 \right) \left( h_2^2 + k_2^2 + h_2 k_2 + \frac{3}{4} \frac{a^2}{c^2} l_2^2 \right) \right]^{1/2}}. \]

In Table I, the values calculated of hexagonal harmonics for the first six reflexions of zinc are given.

The accuracy of the coefficients \( C_{ij} \) obtained from the measurement of a certain reflexion \( hkl \) depends on the values of the functions \( K_{ij}(\nu_{hkl}, \phi_{hkl}) \). When \( |K_{ij}| \) of the reflexion \( hkl \) is as close to unity as possible, \( C_{ij} \) is then the most accurate. We can see from Table I, that by choosing the reflexions 100, 002 and 110, the coefficients \( C_{ij} \) thus obtained were the most accurate.

3. EXPERIMENTAL

As a test material the zinc powder was used. The particle size was from 12-18 \( \mu \)m. According to microscopic examination the shape of the particles was elongated. Three specimens were prepared by the compression of powder into a cylindrical sample chamber (diameter 13 mm), applying pressures of 6 MPa (sample 1), 210 MPa (sample 2) and 300 MPa (sample 3). For decreasing the porosity the pressure chamber was evacuated. In spite of using as low pressure as possible (6 MPa), we were unable to prepare a sample free from orientation effects.

The measurements were carried out by the method originally proposed by Schulz\(^3\) using the energy-dispersive x-ray diffraction. A flat-faced specimen was placed in the sample holder of Siemens texture goniometer and the specimen was in polychromatic radiation of an x-ray tube (copper target, 40 kV and 18 mA). The symmetrical horizontal and vertical divergence of the primary beam were both 0.6°. The width and the height of the receiving slit were both 1.0°. The sample rotated about its own normal and it was also inclined with respect to the vertical plane at stepping intervals of \( \Delta \alpha = 10° \) up to \( \alpha = \pm 60° \). The integrated intensities were measured by Si(Li)-semiconductor detector. For optimizing the fixed \( 2\theta \)-angle it was calculated the dependence of the \( 2\theta \)-angle on photon energy for different hkl-reflexions of
TABLE I

The Values of the Hexagonal Harmonics for the First Six Reflexions of Zinc

| hkl | cosv | v | Φ  | K_20 | K_40 | K_60 | K_80 | K_10,0 | K_10,1 |
|-----|------|---|----|------|------|------|------|--------|--------|
| 002 | 1    | 0°| ---|  1   |  1   |  1   |  0   |  1     |  0     |
| 100 | 0    | 90°| 0°  | -0.5000 | 0.3750 | -0.3125 | 1     | 0.2743 | -0.8330 | -0.2461 | 0.7273 |
| 101 | 0.4228 | 65°| 0°  | -0.2319 | -0.1555 | 0.3140 | 0.5539 | -0.2409 | 0.7758 | 0.0318 | -0.6596 |
| 102 | 0.6822 | 47°| 0°  | 0.1981  | -0.4226 | -0.0672 | 0.1528 | 0.3176 | 0.7613 | 0.0115 | 0.9442 |
| 103 | 0.8137 | 35°| 0°  | 0.4932  | -0.1900 | -0.4075 | 0.0386 | -0.0870 | 0.2872 | 0.2711 | 0.7211 |
| 110 | 0    | 90°| 30° | -0.5000 | 0.3750 | -0.3125 | -1    | 0.2734 | 0.8330 | -0.2461 | -0.7273 |
zinc. This dependence is shown in Figure 1 in which the energies of the fluorescence peaks can also be seen. On the basis of Figure 1 the optimum value of 27° for the fixed 2θ-angle was obtained. The reflexions 002, 100 and 101 were chosen to be examined. According to the theory the reflexion 110 would be slightly better in determining the coefficients \( C_{ij} \) than the reflexion 101, but it overlaps badly with the reflexion 103.

With the Bragg angle mentioned above the reflexions 002, 100 and 101 are diffracted at the energies 10.74 keV, 11.50 keV and 12.70 keV, respectively.

4. RESULTS AND DISCUSSION

The integrated intensities obtained were corrected by the symmetry and defocusing effects. We were unable to prepare a sample quite free from orientation effects and thus we could not determine the correction of defocusing experimentally. Therefore, the correction of defocusing was performed mathematically by means of equation derived by Gale and Griffiths:

\[
\frac{I(\alpha)}{I(0)} = \frac{\tau \sqrt{2}}{2 \text{erf} \frac{W}{2 \tau \sqrt{2}}} \left\{ a_1 \text{erf} \alpha_1 - a_2 \text{erf} \alpha_2 + \frac{1}{\sqrt{\pi}} \left[ \exp(-\alpha_1^2) - \exp(-\alpha_2^2) \right] \right\},
\]

(6)
where \( \tau = \omega / \sqrt{2\pi} \), \( \omega \) is the integral breadth of the diffraction peak, when the polar angle \( \alpha = 0 \), \( \alpha_1 = (a + \frac{1}{2}W) / \tau \sqrt{2} \), \( \alpha_2 = (a - \frac{1}{2}W) / \tau \sqrt{2} \) and \( a = (E/R) \cos \theta \tan \alpha \), where \( W \) is the width of the receiving slit, \( E \) is the height of the x-ray beam on the surface of the sample and \( R \) is the distance of the primary slit from the sample. The integral breadths, \( \omega \), were calculated from the diffraction spectra of sample 1. After being corrected for defocusing and symmetry, the intensity ratios \( I_{hkl}(\alpha) / I_{hkl}(0) \), of the textured samples 2 and 3 are presented in Table II.

**TABLE II**

Corrected Intensity Ratios of Textured Samples 2 and 3 at Different Values of Polar Angle

| \( \alpha^\circ \) | Sample 2 | Sample 3 | Sample 2 | Sample 3 | Sample 2 | Sample 3 |
|-----------------|----------|----------|----------|----------|----------|----------|
| 0               | 1.000    | 1.000    | 1.000    | 1.000    | 1.000    | 1.000    |
| 10              | 0.998    | 0.997    | 1.021    | 1.024    | 1.018    | 1.052    |
| 20              | 0.995    | 0.997    | 1.072    | 1.047    | 1.059    | 1.102    |
| 30              | 0.993    | 0.996    | 1.129    | 1.187    | 1.110    | 1.173    |
| 40              | 0.899    | 0.881    | 1.299    | 1.252    | 1.168    | 1.257    |
| 50              | 0.682    | 0.712    | 1.419    | 1.554    | 1.220    | 1.301    |
| 60              | 0.602    | 0.544    | 1.593    | 1.705    | 1.282    | 1.393    |

For the determination of the coefficients \( C_{ij} \) and the parameters \( T_{hkl} \), the measured and corrected (for defocusing and symmetry) integrated intensities were substituted into Equation (3). The Legendre polynomials \( P_i(\cos \alpha) \) and the hexagonal harmonics \( K_{ij} \) are known. The coefficients \( C_{ij} \) were determined by applying Equation (3) to the \( I_{\text{obsd}}(\alpha) \) values of the three reflexions 002, 100 and 101. It has been shown\(^2\) that in the case of three favourable reflexions the \( \alpha \) range could be reduced to \( \alpha_{\text{max}} = 60^\circ \). The equation group was solved by the computer program.

The problem, how many terms we need to include from the series in Equation (3), was tested. The calculations were carried out by using the first three terms (\( i \leq 4 \)), the first four terms (\( i \leq 6, j = 0 \)) and also the first nine terms (\( i \leq 10, j = 0,1 \)) of the series. The inclusion of high order terms up to \( i \leq 10 \) increases the influence of statistical and systematic errors on the corrections. When only the first three terms were included, the corrections of preferred orientation were systematically too small. So, the limitation
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to the first four low order terms leads in this case to a
more reliable correction of the preferred orientation. In
Table III there are presented the coefficients $C_{ij}$ of the
samples 2 and 3 determined in the way described above.

### TABLE III

The Coefficients $C_{ij}$ of Samples 2 and 3 Determined
from the Reflexions 002, 100 and 101 by Applying
Equation (3) and Including the First Four Terms
of the Series ($i \leq 6$, $j = 0$)

| hkl   | $C_{00}$ | $C_{20}$ | $C_{40}$ | $C_{60}$ |
|-------|----------|----------|----------|----------|
| a) Sample 2 |          |          |          |          |
| 002   | 1.0000   | 0.7691   | -0.1664  | -0.0981  |
| 100   | 1.0000   | 0.7798   | 0.1012   | -0.0406  |
| 101   | 1.0000   | 0.8933   | -0.1280  | -0.0662  |
| b) Sample 3 |          |          |          |          |
| 002   | 1.0000   | 0.8060   | -0.0722  | -0.1898  |
| 100   | 1.0000   | 0.9815   | 0.1404   | -0.0938  |
| 101   | 1.0000   | 1.0986   | -0.2253  | -0.1151  |

When the coefficients $C_{ij}$ have been solved, the polar
axis density $W_{hkl}$ can be obtained from Equation (2). There-
after the integrated intensities $I_{hkl}$ corrected for preferred
orientation were calculated from Equation (1). Both the polar
axis densities and the integrated intensities corrected for
preferred orientation are presented in Table IV. In addi-
tion, in this table the observed intensities, when $\alpha = 0$, and
the parameter $T_{hkl}$ are included. The intensities have been
normalized by choosing $I_{100}^{\text{obsd}}(0) = 100$ for sample 3. The cor-
rection for orientation $A_1$ and the relative difference of the
polar axis densities $A_2 = (I_{\text{ran}}^{hkl} - T_{hkl}^{hkl})/T_{hkl}$ are also given.

Looking at the polar axis densities it can be noticed
that the compression-produced preferred orientation in hex-
agonal zinc powders is that in which the 002 plane tends to
lie parallel to the surface of the specimen. Furthermore, in
sample 3 preferred orientation is a little stronger than in
sample 2, as might be expected. Comparing the orientation
in hexagonal zinc and in cubic aluminium measured previously, it
is observed that the orientation effect is much stronger in
The Normalized Observed Intensities $I_{\text{obsd}}^{hku}$, the Polar Axis Densities $W_{hklu}$, the Corrected Intensities $I_{\text{ran}}^{hku}$, the Parameter $T_{hklu}$, the Corrections for Orientation $\Delta_1$ and the Relative Differences of the Polar Axis Densities $\Delta_2$ for the First Three Reflexions of Zinc Obtained from Samples 2 and 3

| hkl | $I_{\text{obsd}}^{hku}$ | $W_{hklu}$ | $I_{\text{ran}}^{hku}$ | $T_{hklu}$ | $\Delta_1$ (%) | $\Delta_2$ (%) |
|-----|---------------------|--------|---------------------|--------|---------------|---------------|
| a)  | Sample 2            |        |                     |        |               |               |
| 002 | 243.2               | 1.505  | 161.6               | 158.4  | -50.5         | 2.07          |
| 100 | 105.5               | 0.661  | 159.7               | 161.0  | 33.9          | -0.87         |
| 101 | 744.7               | 0.792  | 940.3               | 941.8  | 20.8          | -0.16         |
| b)  | Sample 3            |        |                     |        |               |               |
| 002 | 257.0               | 1.544  | 166.4               | 163.2  | -54.4         | 2.01          |
| 100 | 100.0               | 0.621  | 161.0               | 163.6  | 37.9          | -1.61         |
| 101 | 705.0               | 0.744  | 947.6               | 970.6  | 25.6          | -2.37         |

hexagonal zinc. Therefore, it is rather difficult in the case of hexagonal crystal symmetry to prepare a sample quite free from orientation effects. So it is very important to correct the measured integrated intensities from hexagonal powders for preferred orientation.

It can also be seen from Table IV that the relative differences of the polar axis densities are all smaller than 2.5% in spite of the fact that the correction of the integrated intensities varied from 20.8% to 54.4%.

The energy-dispersive x-ray diffraction (EDXRD) method shows to be well suited for the correction of the integrated x-ray intensities for preferred orientation in hexagonal powders. However, there are some difficulties such as the overlapping of the diffraction lines and the low intensity. Moreover, it is very difficult to prepare from hexagonal material a specimen free from orientation effects. In this case the defocusing correction must be performed using a mathematical calibration curve. Thus the correction of the integrated intensities of the hexagonal materials is more laborious than one of the cubic powders.

If we compare the EDXRD method with the conventional angle-dispersive x-ray diffraction (ADXRD) method it is considerably more rapid, because several diffraction lines can
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be recorded simultaneously. The countrate and the statistics can still be increased using a synchrotron radiation as an x-ray source. The adjustment of the texture goniometer system is easier in EDXRD than in ADXRD, because the Bragg angle is fixed and during one measurement the sample rotates only in its own plane (ψ rotation). It is not possible to omit the correction of defocusing by using a sufficiently large receiving slit, because then the diffraction lines often overlap.

The results obtained for powder samples of zinc suggest that correction of the integrated intensities for preferred orientation using the EDXRD method is possible in the case of hexagonal crystal symmetry.

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