Characteristics of Medical Adverse Events/Near Misses Associated With Laparoscopic/Thoracoscopic Surgery: A Retrospective Study Based on the Japanese National Database of Medical Adverse Events

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Objectives: The aim of this study was to clarify the characteristics of adverse events/near misses during laparoscopic/thoracoscopic surgery.

Methods: Using relevant key words for minimally invasive surgeries, 540 records were identified in the database of the Japan Council for Quality Health Care. After data review and the classification of adverse events, 746 events associated with laparoscopic (laparo group) and/or thoracoscopic (thoraco group) surgery were identified. We calculated the frequency of each event, compared the frequency regarding recurrent events, and evaluated the types of event that had resulted in deaths between the 2 groups.

Results: There were 582 events in the laparo group, 159 in the thoraco group, and 5 in those undergoing combined surgery. Overall, injury of other organs (11.4%, 85/746), retention of a foreign body (9.1%, 68/746), breakage/failure of medical equipment or devices (6.2%, 46/746), massive bleeding (5.9%, 44/746), misperception of anatomy (5.6%, 42/746), and vascular injury (4.8%, 36/746) were frequently reported. There were marked differences in the frequency of injury of other organs (laparo group: 13.4%, 78/582; thoraco group: 4.4%, 7/159), massive bleeding (laparo group: 3.4%, 20/582; thoraco group: 14.5%, 23/159), and vascular injury (laparo group: 2.6%, 15/582; thoraco group: 12.6%, 20/159) between the 2 groups. Among the 56 patient-death reports, 132 adverse events were identified.

Conclusions: We observed recurrent incidents and differences in the frequency between the 2 groups. Surgeons should keep in mind these characteristics. Retention of a foreign body and the breakage/malfunctioning of instruments might be reduced by the introduction of specialized checklists.

Key Words: medical accident, near miss, laparoscopic surgery, thoracoscopic surgery, minimally invasive surgery

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METHODS

After institutional review board approval, we searched for medical adverse events/near misses related to laparoscopic/thoracoscopic surgery in the JCQHC open database (http://www.med-safe.jp). At the end of March 2016, 1026 hospitals had participated in medical adverse event collection, 642 hospitals had participated in the collection of details about near misses, and their data had been reported through the JCQHC Web site in a checklist/pull-down list and narrative manner. Regarding medical adverse events, university hospitals, national hospitals, and advanced treatment hospitals have mandatorily participated in this program, and other medical institutions have voluntarily joined it. In terms of near-miss data collection, such data have been voluntarily collected.
medical field involved, and reporter’s assessment regarding the possibility of disabilities remaining. Because their data are in Japanese, Japanese words meaning “laparoscopic surgery,” “thoracoscopic surgery,” “robot-assisted surgery,” and “endoscopic surgery” were used for data extraction. We initiated the present study in April 2014, and our latest search was conducted in June 2016. In the latest search, 934 potential records were extracted. Initially, the first author (T.A.) reviewed all of the reports and then extracted the adverse events described in each report. After the data review, we excluded 394 records from the analysis because of incidents related to procedures other than laparoscopic or thoracoscopic surgery (n = 170), incidents that occurred outside the operating theater (e.g., fall from a bed on the night of surgery, n = 151), incidents associated with diagnostic or therapeutic gas-troscopic endoscopy/colon fiber procedures such as endoscopic submu-scosal dissection (n = 56), incidents with insufficient information (n = 14), reports of events not considered as an incident or near miss (n = 2), and 1 overlapping report. Regarding the allocation of adverse events to the remaining 540 records, we followed the rules described as follows:

1. Because there were many blanks for the medical field, we allocated the main field related to each report based on the narrative part of the report as well as the original allocation.
2. We tried to allocate adverse events faithfully according to the description of each report. We sometimes allocated several adverse events, although each event might be closely related, such as anastomotic failure/ileus/peritonitis or vascular injury/massive bleeding.
3. Regarding the problems related to medical equipment and devices, we considered apparent damage or a part falling off an instrument as “breakage” and malfunction without macroscopic damage as “failure.”
4. Regarding drain dislocation inside the body, we allocated “problems related to a drainage tube” when immediately realized and “retention of a foreign body” when it was not initially realized and later found as a foreign body.
5. We allocated “massive bleeding” when (1) description of massive bleeding in the text, (2) blood loss of more than 2000 mL that meant an immediate life-threatening situation and required appropriate management, or (3) bleeding that resulted in death, were found in the reports.
6. Vascular division or division of the common bile duct because of misperception of the anatomy was counted as “misperception of anatomy” and not vascular injury or injury of the bile duct.
7. Cases of death due to an unknown cause were recorded as intraoperative death/early postoperative death.

In 146 reports, we allocated multiple events (2 events: n = 104, 3 events: n = 28, 4 events: n = 11, 5 events: n = 2, 6 events: n = 1). Finally, 746 events associated with laparoscopic and/or thoracoscopic surgery were included in the analysis.

**Analyses**

We firstly gained an overall view of adverse events. Regarding the frequent incidents, we examined their details. Thereafter, we compared the differences in frequent incidents between the laparoscopic/laparoscopy-assisted surgery (laparo group) and thoracoscopic/thoracoscopy-assisted surgery (thoraco group). For the analyses, regarding the 41 events related to robotic surgery

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**TABLE 1. Summary of Number of Adverse Events According to Medical Fields, Target Organs, and Surgical Methods**

| Specialty       | Target Organs                     | Surgical Methods                                      |
|-----------------|-----------------------------------|-------------------------------------------------------|
| Abdominal surgery | 429* (57.5%) Lung                 | 108 (14.5%) Laparoscopic/laparoscopy-assisted surgery |
| Respiratory surgery | 112 (15%) Esophagus               | 36 (4.8%) Thoracoscopic/thoracoscopy-assisted surgery |
| Urology         | 107 (14.3%) Thymus gland/pleura/mediastinal tumor | 19 (2.5%) Laparoscopy + thoracoscopy combined surgery |
| Gynecology      | 83 (11.1%) Stomach                | 83 (11.1%)                                             |
| Anesthesiology  | 8 (1.1%) Stomach + other organ    | 11 (1.5%)                                             |
| Internal medicine | 4 (0.5%) Gallbladder              | 69 (9.2%)                                             |
| Unknown         | 2 (0.3%) Gallbladder + other organ | 4 (0.5%)                                              |
| Orthopedics     | 1 (0.1%) Liver                    | 40 (5.4%)                                             |
|                 |                                   | Pancreas                                              |
|                 |                                   | 4 (0.5%)                                              |
|                 |                                   | Appendix/cecum                                        |
|                 |                                   | 17 (2.3%)                                             |
|                 |                                   | Inguinal hernia                                       |
|                 |                                   | 19 (2.5%)                                             |
|                 | Small intestine/duodenum          | 7 (0.9%)                                              |
|                 | Colonic + other organ             | 5 (0.7%)                                              |
|                 | Rectum                            | 58 (7.8%)                                             |
|                 | Kidney                            | 48 (6.4%)                                             |
|                 | Adrenal gland                     | 9 (1.2%)                                              |
|                 | Prostate                          | 39 (5.2%)                                             |
|                 | Bladder                           | 9 (1.2%)                                              |
|                 | Uterus/ovary                      | 83 (11.1%)                                            |
|                 | Unknown                           | 4 (0.5%)                                              |
|                 | Others                            | 12 (1.6%)                                             |

*Esophageal surgery was included.*
(prostate: n = 25, stomach: n = 9, uterus/ovary: n = 4, lung: n = 2, bladder: n = 1), surgeries for abdominal organs were considered as the laparo group and those for the lung as the thoraco group for the analyses. Regarding the 8 events related to esophageal surgery, in which the surgical approach was not described, we treated those as the thoraco group. Lastly, we analyzed the type of adverse event associated with patient deaths.

RESULTS

Supplementary Table 1, http://links.lww.com/JPS/A109, summarizes the background information from the 540 records included in the present study. Doctors were the main professionals in charge of incidents (424/540, 78.5%). Fifty-six records (10.4%) described patient deaths, and the reporters described the marked possibility of disabilities remaining in 55 records (10.2%). As described in the “Methods” section, we allocated 746 events to the records. Table 1 summarizes the number of adverse events according to medical fields, target organs, and surgical methods. Of the 746 incidents, 57.5% (429/746) occurred in abdominal surgery, followed by respiratory surgery (15%, 112/746), urology (14.3%, 107/746), and gynecology (11.1%, 83/746). Regarding the surgical approach, 582 events (78%, 582/746) occurred in the laparo group, 159 (21.3%, 159/746) in the thoraco group, and 5 (0.7%, 5/726) in those undergoing combined surgery.

Overall Results

Figure 1 shows the results for all events. The most frequent category was injury of other organs (11.4%, 85/746), followed by the retention of a foreign body (9.1%, 68/746), breakage or failure of medical equipment or devices (6.2%, 46/746), massive bleeding (5.9%, 44/746), misperception of anatomy (5.6%, 42/746), and vascular injury (4.8%, 36/746). Details of miscellaneous events are shown in Supplementary Table 2, http://links.lww.com/JPS/A111.
| Incidents                                      | Laparoscopic/Laparoscopy-Assisted Approach | Thoracoscopic/Thoracoscopy-Assisted Approach |
|-----------------------------------------------|-------------------------------------------|---------------------------------------------|
| Injury of other organs, n = 85                | Colon/rectum 27                           | Heart 3                                     |
|                                               | Small intestine 20                         | Lung 1                                      |
|                                               | Ureter 14                                 | Spleen 1                                    |
|                                               | Bladder 5                                 | Liver 1                                     |
|                                               | Pleen 4                                   | Esophagus 1                                 |
|                                               | Prostate/urethra 2                        |                                             |
|                                               | Pancreas 2                                |                                             |
|                                               | Pancreas + small intestine 1              |                                             |
|                                               | Liver 1                                   |                                             |
|                                               | Diaphragm 1                               |                                             |
|                                               | Unknown 1                                 |                                             |
| Total, n = 78                                 |                                           |                                             |
| Retention of foreign body, n = 68             | Gauze/swab/sponge 20                      | Surgical instruments 6                     |
|                                               | Surgical instruments 18                   | Hookwire* 2                                 |
|                                               | Drain 6                                   | Gauze/swab/sponge 2                        |
|                                               | Vessel tape 3                             | Epidural tube 1                             |
|                                               | Needle 2                                  | Needle 1                                    |
|                                               | Sacrificed bowel 2                       |                                            |
|                                               | Resected specimen 1                      |                                            |
|                                               | Gastric tube 1                            |                                            |
|                                               | Nelaton catheter 1                        |                                            |
|                                               | Ureteral catheter 1                      |                                            |
|                                               | Urethane for covering needle 1            |                                            |
| Total, n = 56                                 |                                           |                                             |
| Breakage or failure of medical equipment or devices, n = 46 | Endoscopic forceps 11                  | Endovascular gastrointestinal stapler device 2 |
| Breakage                                      | Ultrasonic coagulation incision device 5 |                                           |
|                                               | Port 4                                    | Needle 1                                   |
|                                               | Needle 2                                  | Epidural tube 1                             |
|                                               | Endoscope 2                               |                                            |
|                                               | Gauze 1                                   |                                            |
|                                               | Sponge 1                                  |                                            |
|                                               | Wound protector 1                         |                                            |
|                                               | Suction pump 1                            |                                            |
|                                               | Anesthetic device 1                       |                                            |
|                                               | Handle attached to surgical light 1       |                                            |
|                                               | Tube 1                                    |                                            |
| Total, n = 31                                 |                                           |                                             |
| Failure                                       | Endovascular gastrointestinal stapler device 2 |                                           |
|                                               | Robot 2                                   | Monopolar cord 1                           |
|                                               | Endovascular clip device 1               |                                            |
|                                               | Endoscopic monitor 1                     |                                            |
|                                               | Anesthetic device 1                       |                                            |
|                                               | Foot pump 1                               |                                            |
|                                               | Light source device 1                    |                                            |
| Total, n = 9                                  |                                           |                                             |
| Massive bleeding, n = 44                      | n = 20                                    | n = 23                                     |

(Continued next page)
Table 2 summarizes the details of the aforementioned recurrent events in the laparo and thoraco groups. In terms of injury of other organs, bowel injury such as that involving the colon/rectum (n = 27) and small intestine (n = 20) was common, followed by urinary tract injury (ureter: n = 14, bladder: n = 5). Among the 85 injuries, 47.1% (40/85) were detected during the procedures, whereas 52.9% (45/85) were initially missed. In 6 events, injuries were caused by a pneumoperitoneum needle or surgical port placement, and vascular injury simultaneously occurred in 2 of the 6. Regarding the retention of a foreign body, surgical instruments (laparo group: n = 18, thoraco group: n = 6) and a gauze/swab/sponge (laparo group: n = 20, thoraco group: n = 2) were the 2 most common items left in the body. In 14 cases (20.6%, 14/68), retention was incidentally recognized more than 1 year after the operation. Among cases involving the breakage of medical equipment or devices, the breakage of endoscopic forceps was the most frequent (laparo group: n = 11, thoraco group: n = 1), followed by that of an ultrasonic coagulation incision device (laparo group: n = 5) and port (laparo group: n = 4, thoraco group: n = 1). In the 11 cases (endoscopic forceps/ultrasonic coagulation incision device: n = 10, epidural tube: n = 1), breakage events subsequently resulted in the retention of a foreign body. In addition, 2 events of failure of medical equipment or devices caused vascular injuries, which resulted in massive bleeding (endovascular gastrointestinal stapler: n = 1, endovascular clip device: n = 1). Regarding misperception of anatomy, the most frequent event was that the common bile duct being mistaken for a cystic or an accessory duct (n = 8). The anal side of the bowel being mistaken for the oral side (n = 5), superior mesenteric artery being mistaken for the left renal artery (n = 3), vena cava being mistaken for the right renal vein (n = 2), misperception of the mesenteric artery branch (n = 2) and interlobular fissure (n = 1), and the misidentification of the location of a tumor (n = 2) or pulmonary vein branch (n = 2) were reported multiple times. Other misperceptions are described in Supplementary Table 2.

**Comparative Study Between the Laparo and Thoraco Groups**

Figure 2 shows a comparative study of the proportion of these 6 categories between the laparo and thoraco groups. There was a marked difference in the rate regarding other organ injury (laparo group: 13.4%, 78/582; thoraco group: 4.4%, 7/159), massive bleeding (laparo group: 3.4%, 20/582; thoraco group: 14.5%,
Supplementary Table 4, http://links.lww.com/JPS/A112. Figure 3

As described previously, there were 56 patient deaths reported. Target organs were the lung (n = 14), liver (n = 9), esophagus (n = 8), stomach (n = 5), gallbladder (n = 4), colon (n = 3), kidney (n = 3), stomach plus other organs (n = 2), prostate (n = 2), rectum (n = 2), uterus/ovary (n = 1), small intestine/duodenum (n = 1), inguinal hernia (n = 1), and thymus (n = 1). Regarding the adverse events, 132 events were identified. A median of 2 events (range, 1–6) was identified in 1 report. Overall, various adverse events were detected in death cases. A summary of the adverse events associated with patient death divided by surgical methods. In the thoraco group, various categories of events were observed such as multiple organ failure, postoperative pneumonia/respiratory failure, and vascular injury (laparo group: 12.6%, 20/159; thoraco group: 4.4%, 7/159), massive bleeding (laparo group: 3.4%, 20/582; thoraco group: 14.5%, 23/159), and vascular injury (laparo group: 2.6%, 15/582; thoraco group: 12.6%, 20/159) between the 2 groups.

Analysis of Death Reports

As described previously, there were 56 patient deaths reported. Target organs were the lung (n = 14), liver (n = 9), esophagus (n = 8), stomach (n = 5), gallbladder (n = 4), colon (n = 3), kidney (n = 3), stomach plus other organs (n = 2), prostate (n = 2), rectum (n = 2), uterus/ovary (n = 1), small intestine/duodenum (n = 1), inguinal hernia (n = 1), and thymus (n = 1). Regarding the adverse events, 132 events were identified. A median of 2 events (range, 1–6) was identified in 1 report. Overall, various adverse events were detected in death cases. A summary of the adverse events associated with patient death divided by surgical methods. In the thoraco group, bleeding events were frequently observed (massive bleeding, vascular injury, postoperative bleeding, hemorrhagic shock), whereas in the laparo group, various categories of events were observed such as multiple organ failure, postoperative pneumonia/respiratory failure, and anastomotic failure.

DISCUSSION

The results from the JCQHC open database showed that injury of other organs (11.4%, 85/746), retention of a foreign body (9.1%, 68/746), breakage/failure of medical equipment or devices (6.2%, 46/746), massive bleeding (5.9%, 44/746), misperception of anatomy (5.6%, 42/746), and vascular injury (4.8%, 36/746) were the top 6 medical adverse events/near misses associated with laparoscopic/thoracoscopic surgery. Probably because we focused on the incidents occurring in the operative theater, doctors were the main professionals in charge of incidents in the present study. Injury of other organs was more frequently reported in laparoscopic surgery, whereas in thoracoscopic surgery, massive bleeding and vascular injury were frequent, which were also major causes of patient death in the latter group. Our observation further confirmed the already recognized risks related to minimum invasive surgery that surgeons should refamiliarize themselves with. In addition, our study highlighted novel potential threats inherent in the recently advancing surgery.

Regarding the injured organs, the bowel was the most commonly injured organ, followed by injury of the urinary tract. In the present study, 54% (45/85) of the injuries were initially missed. The previous misadventure data regarding laparoscopic surgery also showed that approximately two-thirds of injuries were initially missed, and, in some cases, that delay caused serious complications, such as peritonitis and sepsis. In the 6 reports, injuries were caused by a pneumoperitoneum needle or surgical port placement, and vascular injury simultaneously occurred in 2 of the 6 reports. Injury of other organs or vascular structures at the time of entry is also a well-known complication, and the reported incidence was around 0.4 to 0.7 per 1000, respectively. Regardless of entry procedures such as the open technique (Hasson) and Veress needle technique, surgeons should pay close attention to adjustment structures, especially in patients with a history of surgery. To decrease the incidence of injury of other organs, surgeons should keep in mind that those complications could happen anytime, from trocar insertion to wound closure. The surgeon and assistants should always manipulate the organs gently, be careful during the exchange of devices to prevent organ injuries outside the visual view, and have sufficient knowledge about surgical devices to prevent thermal injuries or a catastrophic situation caused by improper usage. Before terminating procedures, target as well as other organs should be examined carefully to identify previously unrecognized organ injuries. Generally speaking, because surgical experience is associated with the complication rate, novice surgeons should start their laparoscopic surgeries with experienced surgeons.

Regarding foreign body retention, the incidence was estimated to be around 1 per 8000 to 1 per 18,000. Although it was an uncommon event, it might cause severe complications, such as bowel perforation, sepsis, or patient death. Up to the present, sponges have been considered to be the most common surgical items left in patients. For example, Gawande et al observed that, based on medical malpractice claims and incident reports related to the retention of a surgical instrument or sponge filed between

FIGURE 2. Comparative study of the 6 recurrent categories between laparoscopic/laparoscopy-assisted surgery and thoracoscopic/thoracoscopy-assisted surgery. There was a marked difference in the rate regarding other organ injury (laparo group: 13.4%, 78/582; thoraco group: 4.4%, 7/159), massive bleeding (laparo group: 3.4%, 20/582; thoraco group: 14.5%, 23/159), and vascular injury (laparo group: 2.6%, 15/582; thoraco group: 12.6%, 20/159) between the 2 groups.
1985 and 2001 with a large malpractice insurer representing one-third of the physicians in Massachusetts, a total of 61 retained bodies in 54 patients were reported, and, of those, 69% were sponges and 31% were instruments. Thirty-seven patients required a second operation for the removal of the object and management of complications, and their case-control study revealed that emergency surgery, an unplanned change in the operation, and a higher body mass index were significantly correlated with the retention of a foreign body.5 In the present study, surgical instruments and a gauze/swab/sponge were the 2 most frequent items left in the body, and, in 16.2% (11/68) of the events, the breakage of medical devices resulted in retention. Our study revealed a strong association between the breakage of medical instruments and subsequent retention of part of them in laparoscopic/thoracoscopic surgery. Regarding the risk of the breakage of medical instruments, Yasuhara et al7 also reported that, based on incident/near miss–incident reports and the request forms for the repair of broken instruments at the Surgical Center of the University of Tokyo Hospital, instruments for laparoscopy- and thoracoscopy-assisted surgeries were broken more frequently intraoperatively than any other type of instrument ($P < 0.01$, $\chi^2$ test), and they suggested the occult risk of foreign body retention of part of the instruments. Our observation and that of Yasuhara et al revealed the inherent risk of recent sophisticated surgical devices and suggested that physicians and manufacturers must always consider their durability. The limited visual field of a camera would increase the risk of retention, especially when breakage occurs outside the visual field. In the United States, a national surgical patient safety project named “Nothing Left Behind” has been ongoing since 2004 to prevent the retention of surgical items,8 and they also pointed out the growing problem of retained small miscellaneous items named “surgical junk” in the new era of health care.9 Although several researchers reported that a specially designed checklist for laparoscopic procedures in conjunction with a regular briefing protocol was feasible to decrease the number of adverse events,10,11 leaving “surgical junk” might be an

**FIGURE 3.** Summary of medical adverse events associated with patient deaths divided by surgical methods. In the thoraco group, bleeding events were frequently observed (massive bleeding, vascular injury, postoperative bleeding, hemorrhagic shock), whereas in the laparo group, various categories of events were observed, such as multiple organ failure, postoperative pneumonia/respiratory failure, and anastomotic failure.

### Laparoscopic/ laparoscopy-assisted surgery

| Event                                      | Count |
|--------------------------------------------|-------|
| Multiple organ failure                     | 2     |
| Postoperative pneumonia/respiratory failure| 8     |
| Anastomotic failure                        | 7     |
| Postoperative bleeding                      | 6     |
| Massive bleeding                            | 6     |
| Sepsis/septic shock                        | 5     |
| Intraoperative death/early postoperative death| 4    |
| Disseminated intravascular coagulation      | 4     |
| Injury of other organs                      | 4     |
| Biliary fistula                             | 4     |
| Hemorragic shock                           | 3     |
| Ileus                                      | 3     |
| Peritonitis                                | 3     |
| Necrotizing fasciitis                       | 2     |
| Misperception of anatomy                    | 2     |
| Gastrointestinal hemorrhage                 | 2     |
| Bowel necrosis                              | 2     |
| Others                                     | 7     |

### Thoracosopic/ thoracoscopy-assisted surgery

| Event                                      | Count |
|--------------------------------------------|-------|
| Massive bleeding                           | 13    |
| Vascular injury                            | 8     |
| Postoperative pneumonia/respiratory failure| 4     |
| Postoperative bleeding                      | 4     |
| Postoperative cerebral ischemia/infarction  | 4     |
| Intraoperative death/early postoperative death| 2    |
| Disseminated intravascular coagulation      | 2     |
| Hemorrhagic shock                          | 2     |
| Others                                     | 6     |

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unavoidable problem inherent with recent sophisticated and advanced medical devices.

In the present study, we did not observe incidents caused by insufflation failure, which is thought to be one of the main causes of electrosurgical complications. Montero et al 12 reported in their study, involving 4 major urban hospitals, that of the 226 laparoscopic instruments tested (165 reusable), insufflation failure occurred more frequently in reusable (19%, 31/165) than in disposable (3%, 2/61, P < 0.001) instruments. Although the clinical significance of these insufflation failures remains unknown, surgeons should recognize the high incidence of insufflation failures of surgical instruments, and careful visual inspection of instruments and insufflation evaluation with a porosity detector before surgery might reduce the risk of instrument malfunction and thermal injury.

Regarding vascular injury, the injury of the pulmonary artery was the most frequently reported (n = 9), followed by that of the superior vena cava (n = 3), pulmonary vein (n = 3), descending aorta (n = 2), and external iliac artery (n = 2). Because these vascularatures are all major trunks, they do not reflect the true incidence of vascular injury and it is highly likely that many minor vascular injuries, for example, due to endoscopic repair by suturing, hide behind those events. Because of the nature of the JCQHC database, subsequent adverse events after major vascular injury would necessitate the submission of reports by each physician. In fact, we observed high frequencies of massive bleeding and vascular injury, especially in thoraco group death cases. In video-assisted thoracoscopic lung resection, the incidence of vascular injury was reported to be 2.9% to 8.2%, 13–15 and the mortality was reported to be 1.4% to 1.5%. 13,15,16 Such major vascular injuries might be an unavoidable part of surgery because surgeons take on more challenging cases after the accumulation of experience.

To minimize those complications, surgeons should recognize again that (1) vascular injury could occur at the time of entry while inserting a Veress needle or the first trocar; (2) creating a good operating view, not restricted to tunnel vision, is mandatory for safe surgery; and (3) they should learn how to react to unexpected bleeding. As Decaluwe et al 11 previously pointed out, it is very important for surgeons to develop the skill to recognize a dangerous situation before bleeding actually occurs and to decide on open conversion before devastating bleeding.

In the present study, 2 events of failure of medical equipment or devices caused vascular injuries that resulted in massive bleeding (endovascular gastrointestinal stapler: n = 1, endovascular clip device: n = 1). In real-world clinical practice, we suggest that there are many similar incidents of malfunction that go unreported. For example, Kwakneski et al 17 reported in their survey to the minimally invasive program directors in the United States that most minimally invasive surgeons encountered laparoscopic linear stapler malfunction and 25% caused significant alterations of the timing of instrument. A specialized checklist might reduce those incidents.

In 2014, safety regarding advanced laparoscopic surgery became a major social concern in Japan because a series of postoperative deaths were reported in succession from 2 different hospitals, whereby 8 patients died within 4 months after laparoscopic liver surgery at 1 university hospital (http://www.gunma-u.ac.jp/wp-content/uploads/2015/08/H280730jikokeo-saishu-a.pdf) and 11 patients undergoing advanced laparoscopic abdominal surgeries died after a short period of time at another cancer center between 2008 and 2014. Because these patient death events would be recorded in the JCQHC open database (we could not verify this because of the anonymous nature of each report), the number of patient death events might be biased, especially in laparoscopic liver surgery. However, those reports and our present observations reinforce the importance of the “safety-first” principle and transparency when proceeding with cutting-edge surgeries.

There were several limitations of the present study. First, because reporting behavior itself depends on each health care professional, the underreporting of near misses and minor postoperative complications that patients often experience but recover from with appropriate treatments is expected. For example, minor complications such as paralytic ileus after abdominal surgery would happen much more in daily clinical practice. However, we consider that unexpected errors such as foreign body retention or significant misidentification of anatomy, or severe complications, especially those which resulted in patient death, could be largely covered in the present database. Second, as described previously, the first author (T.A.) categorized all of the reports. Although the medical safety manager of our hospital (Y.N.) supervised the present analysis, subjective bias of categorization might still remain. Third, we simply analyzed the number of each incident reported. The risk of each event was unknown, because we did not know the total population for each procedure performed in the participating hospitals. Nevertheless, we consider that several important features of medical errors associated with minimally invasive surgery were demonstrated.

CONCLUSIONS

We observed the frequent incidents such as injury of other organs, retention of a foreign body, breakage or failure of medical equipment or devices, massive bleeding, misperception of anatomy, and vascular injury in minimally invasive surgery that surgeons should familiarize themselves with, and differences in the frequency between the laparo and thoraco group. Surgeons should keep in mind these characteristics. In addition, our study suggested novel risks inherent in recent sophisticated surgical instruments, which might cause the retention of tiny parts when breakage occurs outside the visual field, or the malfunctioning of instruments. A specialized checklist might reduce those incidents.

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