Twist-3 distribution amplitudes of the pion and kaon from the QCD sum rules

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Twist-3 distribution amplitudes of the pion and kaon are studied in this paper. We calculate the first several moments for the twist-3 distribution amplitudes ($\phi_{p,\pi}^K$ and $\phi_{p,\pi}^{K,\sigma}$) of the pion and kaon by applying the QCD sum rules. Our results show that, (i) the first three moments of $\phi_{p}^{K}$ and the first two moments of $\phi_{p}^{\pi}$ and $\phi_{p}^{\pi,K}$ of the pion and kaon can be obtained with 30\% uncertainty; (ii) the fourth moment of the $\phi_{p}^{\pi}$ and the second moment of the $\phi_{p}^{K}$ can be obtained when the uncertainty are relaxed to 35\%; (iii) the fourth moment of the $\phi_{p}^{\pi}$ can be obtained only when the uncertainty are relaxed to 40\%; (iv) we have $m_{0p}^{\pi} = 1.10 \pm 0.08$ GeV and $m_{0K}^{p} = 1.25 \pm 0.15$ GeV after including the $\alpha_s$-corrections to the perturbative part. These moments will be helpful for constructing the twist-3 wave functions of the pion and kaon.

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I. INTRODUCTION

Hadronic distribution amplitudes, which involve the non-perturbative information, are the important ingredients when applying the QCD to hard exclusive processes via the factorization theorem. These distribution amplitudes are process-independent and should be determined by the hadronic dynamics. They satisfy the renormalization group equation and have the asymptotic solutions as $Q^2 \to \infty$.

From the counting rule, the twist-2 distribution amplitude makes the leading contribution and the contribution from the higher-twist distribution amplitude is suppressed by a factor $1/Q^2$ in the large momentum transfer regions. However as one wants to explain the present experimental data, the non-leading contributions should be taken into account. The non-leading contributions include higher-order corrections, higher-twist and higher Fork state contributions et.al. Therefore one has to study the twist-2 and higher-twist distribution amplitudes as the universal nonperturbative inputs for the exclusive processes.

Distribution amplitudes can be obtained from the hadronic wave functions by integrating the transverse momenta of the quarks in the hadrons. For example, the pionic distribution amplitudes of the lowest Fork state are defined as:

$$
\langle 0 \mid \bar{d}_{\alpha}(z) [z, -z] u_{\beta}(-z) \mid \pi(q) \rangle = -\frac{i}{8} f_{\pi} \int_{-1}^{1} d\xi e^{i\xi(z \cdot q)} \left\{ q^{\gamma_5} \phi_p(\xi) + m_{0\pi}^{\sigma} \gamma_5 \phi^{\pi}_{p}(\xi) + \frac{2}{3} m_{0\pi}^{\sigma} \sigma_{\mu\nu} \gamma_5 q^\mu z^\nu \phi_{\pi}(\xi) \right\}_{\beta\alpha} + \ldots \tag{1}
$$

where $\sigma_{\mu\nu} = \frac{i}{2}(\gamma_\mu \gamma_\nu - \gamma_\nu \gamma_\mu)$, $f_{\pi}$ is the pion decay constant and

$$[z, -z] = \exp \left\{ ig \int_{-z}^{z} dx^\mu A_\mu \right\}
$$
is the Wilson line inserted to preserve gauge invariance of the distribution amplitudes. The $\phi_p(\xi)$, $\phi^{\pi}_{p}(\xi)$ and $\phi^{\pi}_{\pi}(\xi)$ in Eq.(1) are the twist-2 and two twist-3 (non-leading) distribution amplitudes respectively. For the $K$ meson, the definition is similar except for the $d$ quark replaced by the $s$ quark and $m_{0\pi}^{\sigma}$ replaced by $m_{0K}^{\sigma}$.

To isolate the light-cone twist-3 distribution amplitudes $\phi^{\pi}_{p}$ and $\phi^{\pi}_{s}$ of the pion, one can contract the equation (1) with the gamma matrices $\gamma_5$ and $\sigma_{\mu\nu} \gamma_5$ respectively,

$$
\langle 0 \mid \bar{d}(z)i\gamma_5 [z, -z] u(-z) \mid \pi^+(q) \rangle = m_{0\pi}^{p} f_{\pi} \frac{1}{2} \int_{-1}^{1} d\xi \ phi_{p}(\xi)e^{i\xi(z \cdot q)} + \ldots \tag{2}
$$
and
\[
\langle 0 | \bar{d}(z) \sigma_{\mu\nu} \gamma_5 [z, -z] u(-z) | \pi^+(q) \rangle = -i \frac{m_{0\pi}^\sigma f_{\pi}}{3} (q_\mu z_\nu - q_\nu z_\mu) \frac{1}{2} \int_{-1}^{1} d\xi \phi_{\sigma}^\pi(\xi) e^{i\xi(z-q)} + \cdots . \tag{3}
\]

In a similar way, we can define two twist-3 distribution amplitudes \( \phi_K^p \) and \( \phi_K^\sigma \) of the kaon in the following,
\[
\langle 0 | \bar{s}(z)i\gamma_5 [z, -z] u(-z) | K^+(q) \rangle = m_{0K}^p f_K \frac{1}{2} \int_{-1}^{1} d\zeta \phi_{K}^p(\zeta) e^{i\zeta(z-q)} + \cdots \tag{4}
\]
and
\[
\langle 0 | \bar{s}(z) \sigma_{\mu\nu} \gamma_5 [z, -z] u(-z) | K^+(q) \rangle = -i \frac{m_{0K}^\sigma f_K}{3} (q_\mu z_\nu - q_\nu z_\mu) \frac{1}{2} \int_{-1}^{1} d\zeta \phi_{K}^\sigma(\zeta) e^{i\zeta(z-q)} + \cdots . \tag{5}
\]

The dots in the above definitions are refer to those higher-twist distribution amplitudes. We do not consider their influences in the following calculation.

As pioneered in Ref.\[1, 2\], the authors pointed out that the first several moments of the distribution amplitudes could be calculated in the QCD sum rules \[3\]. Those moments are helpful to construct a model for the hadronic distribution amplitudes.

The parameters \( m_{0\pi}^\sigma \) and \( m_{0K}^\sigma \) introduced in the definition are used to normalize the zeroth moments of their corresponding distribution amplitudes. It is shown in this paper that these parameters determined by the QCD sum rules are smaller than those required by the equations of motion (e.g., see Ref.\[4, 5\]).

In this paper, we calculate the first three moments of twist-3 distribution amplitudes of the \( \pi \) and \( K \), defined in Eqs.\(2\)-\(5\), in the QCD sum rules. For the pion case, we had calculated the moments of distribution amplitude \( \phi_{\pi}^\pi \) in the previous paper \[6\]. However there were some mistakes in estimating the contribution from the continuous spectrum and the Borel windows which would severely influence the values of the moments. Now we present the correct expressions for the moments of \( \phi_{\pi}^\pi \) and re-analyse their numerical results in this paper. Furthermore, it is well known that axial currents in a correlator would couple to instantons(see, for example, Ref.\[7\]). And this may cause some complication in the calculation and make the results unreliable. We will not explore their influences in this paper.
This paper is organized as follows. In Sec.II, we give the sum rules of the moments of \( \phi_p^\pi \) and \( \phi_\sigma^\pi \) for the \( \pi \) meson. The sum rules for the moments of \( \phi_p^K \) and \( \phi_\sigma^K \) of \( K \) meson are given in Sec.III. The SU(3) symmetry violation have be taken into account. In Sec.IV, numerical analysis of various moments is presented. The information about the 3-particle twist-3 distribution amplitude obtained from the 2-particle distribution amplitudes are also discussed. The last section is reserved for summary and discussion.

II. QCD SUM RULES FOR THE MOMENTS OF \( \phi_p^\pi \) AND \( \phi_\sigma^\pi \) OF THE PION

In this section we apply the background field method in QCD to calculate the moments \[8, 9, 10, 11, 12, 13\]. Expanding equations (2) and (3) around \( z^2 = 0 \), we have

\[
\left\langle 0 \left| \bar{d}(0) \gamma_5 (iz \cdot \vec{D})^n u(0) \right| \pi^+ (q) \right\rangle = -if_{\pi} m_{0\pi} \langle \xi^n_p \rangle (z \cdot q)^n
\]

and

\[
\left\langle 0 \left| \bar{d}(0) \sigma_{\mu\nu} \gamma_5 (iz \cdot \vec{D})^{n+1} u(0) \right| \pi^+ (q) \right\rangle = -\frac{n+1}{3} f_{\pi} m_{0\pi} \langle \xi^n_\sigma \rangle (q_\mu z_\nu - q_\nu z_\mu)(z \cdot q)^n
\]

respectively. The moments in the equations (6) and (7) are defined by the following expressions,

\[
\langle \xi^n_p \rangle = \frac{1}{2i} \int_{-1}^{1} \xi^n_p \phi_p^\pi (\xi) d\xi , \quad \langle \xi^n_\sigma \rangle = \frac{1}{2i} \int_{-1}^{1} \xi^n_\sigma \phi_\sigma^\pi (\xi) d\xi .
\]

As usual, the SU(2) isospin symmetry can be taken as (nearly) exact. It means that the distribution of longitudinal momentum carried by the quarks (in the light cone framework) should be symmetric between \( u \) and \( d \), i.e., odd moments of the distribution amplitudes \( \phi_p^\pi, \phi_\sigma^\pi \) should be zero. So we consider only the even moments for the pion case in the following.

In order to obtain the sum rules of the moments, we introduce two corresponding correlation functions,

\[
(z \cdot q)^{2n} I_p^{(2n,0)}(q^2) \equiv -i \int d^4 x e^{i q x} \left\langle 0 \left| T \left\{ \bar{d}(x) \gamma_5 (iz \cdot \vec{D})^{2n} u(x), \bar{u}(0) \gamma_5 d(0) \right\} \right| 0 \right\rangle
\]

and

\[
-i (q_\mu z_\nu - q_\nu z_\mu)(z \cdot q)^{2n} I_\sigma^{(2n,0)}(q^2)
\]

\[
\equiv -i \int d^4 x e^{i q x} \left\langle 0 \left| T \left\{ \bar{d}(x) \sigma_{\mu\nu} \gamma_5 (iz \cdot \vec{D})^{2n+1} u(x), \bar{u}(0) \gamma_5 d(0) \right\} \right| 0 \right\rangle .
\]
In the deep Euclidean region \((-q^2 \gg 0)\), one can calculate the Wilson coefficients in the operator product expansion (OPE) for Eqs. (9) and (10) perturbatively. The results with power correction to dimension six and the \(\alpha_s\) corrections to lowest order are written as

\[
I_p^{(2n,0)}(q^2)_{\text{QCD}} = -\frac{1}{2n+1} \frac{3}{8\pi^2} q^2 \ln \frac{-q^2}{\mu^2} - \frac{1}{8} \left(\frac{\alpha_s}{\pi} G^2\right) - 2n - 1 \left(\langle m_u + m_d \rangle \langle \bar{q}q \rangle\right) \\
+ \frac{16\pi}{81} \left(16n^2 + 4n + 21\right) \left(\sqrt{\alpha_s \langle \bar{q}q \rangle}\right)^2 \quad (11)
\]

and

\[
I_\sigma^{(2n,0)}(q^2)_{\text{QCD}} = -\frac{1}{2n+3} \frac{3}{8\pi^2} q^2 \ln \frac{-q^2}{\mu^2} - \frac{1}{24} \left(\frac{\alpha_s}{\pi} G^2\right) - 2n + 1 \left(\langle m_u + m_d \rangle \langle \bar{q}q \rangle\right) \\
+ \frac{16\pi}{81} \left(16n^2 + 12n - 7\right) \left(\sqrt{\alpha_s \langle \bar{q}q \rangle}\right)^2 \quad (12)
\]

On the other hand, in the physical region, correlation functions (9) and (10) can be written in terms of their hadronic spectrum representation (according to Eqs. (6) and (11), (7) and (12) respectively),

\[
\text{Im} \ I_p^{(2n,0)}(q^2)_{\text{had}} = \pi \delta(q^2 - m_\pi^2) f_\pi^2 \left(\frac{m_0^p}{m_\pi}\right)^2 \left(\xi_{p2n}^2\right) + \pi \left(\frac{3}{8\pi^2}ight) \left(\frac{1}{2n+1}\right) q^2 \theta(q^2 - s_\pi) \quad (13)
\]

and

\[
\text{Im} \ I_\sigma^{(2n,0)}(q^2)_{\text{had}} = \pi \delta(q^2 - m_\pi^2) \left(\frac{2n+1}{3}\right) f_\pi \left(\frac{m_o^s}{m_\pi}\right) \left(\xi_{\sigma2n}\right) + \pi \left(\frac{3}{8\pi^2}\right) \left(\frac{1}{2n+3}\right) q^2 \theta(q^2 - s_\sigma) \quad (14)
\]

The correlation function in these two regions can be related by the dispersion relation,

\[
\frac{1}{\pi} \int ds \frac{\text{Im} \ I(s)_{\text{had}}}{s + Q^2} = I(-Q^2)_{\text{QCD}}
\]

In order to improve its convergence, we apply the Borel transformation,

\[
\frac{1}{\pi} \int \frac{1}{M^2} ds \ e^{-s/M^2} \ \text{Im} \ I(s)_{\text{had}} = \hat{L}_M \ I(-Q^2)_{\text{QCD}} ,
\]

where \(M\) is Borel parameter. Substituting (11) and (13) into (15) gives the sum rules for the moments of \(\phi^p_\pi\):

\[
\left(\xi_{p2n}^2\right) \left(\frac{m_0^p}{m_\pi}\right)^2 = \frac{e^{m_\pi^2/M^2}}{f_\pi^2} \left\{ \frac{1}{(2n+1) 8\pi^2} \left[ 1 - (1 + \frac{s_\pi^p}{M^2}) e^{-s_\pi^p/M^2} \right] \\
+ \frac{1}{8} \left(\frac{\alpha_s}{\pi} G^2\right) + 2n - 1 \left(\langle m_u + m_d \rangle \langle \bar{q}q \rangle\right) \\
+ \frac{16\pi}{81} \left(16n^2 + 4n + 21\right) \left(\sqrt{\alpha_s \langle \bar{q}q \rangle}\right)^2 \right\} \quad (16)
\]
Similarly, substituting Eqs. (12) and (14) into Eq. (15) gives the sum rules for the moments of $\phi_{\sigma}^n$:

\[
\langle \xi^{2n} \rangle_{m_0n} m_{0n} = \frac{e^{m_2^2 / M^2} M^4}{f_{\pi}^2} \left\{ \frac{1}{(2n + 1)(2n + 3)} \frac{3}{8\pi^2} \left[ 1 - (1 + \frac{s_\pi^\sigma}{M^2}) e^{-s_\sigma^2 / M^2} \right] + \frac{1}{24} \frac{1}{2n + 1} \frac{\langle \alpha_s G^2 \rangle}{M^4} + \frac{1}{2} \frac{(m_u + m_d) \langle \bar{q}q \rangle}{M^4} \right. \\
+ \left. \frac{16\pi}{81} \frac{16n^2 + 12n - 7}{2n + 1} \frac{\langle \sqrt{\alpha_s \bar{q}q} \rangle^2}{M^6} \right\},
\]

(17)

where $s_\pi^\sigma$ and $s_\sigma^\sigma$ are the threshold values to be chosen properly, and the zeroth moment has been normalized to unit, i.e., $\langle \xi_0 \rangle = \langle \xi_0^0 \rangle = 1$.

III. QCD SUM RULES FOR THE MOMENTS OF $\phi^K_{\rho}$ AND $\phi^K_{\sigma}$ OF THE KAON

For the kaon, we should consider the difference between $s$ quark and $u$ quark (i.e., the violation of the SU(3) flavor symmetry). There is an asymmetry of the distribution of the longitudinal momentum carried by $s$ quark and $u$ quark in the light cone framework. So the odd moments of distribution amplitudes for $K$ meson do not vanish. The violation effects of the SU(3) flavor symmetry for leading-twist distribution amplitudes of $K$ and/or $K^*$ meson were considered in Ref. [14]. So in calculating the odd moments, we retain all the corrections to order $m_s^2$.

Expanding equations (4) and (5) around $z^2 = 0$, one obtains

\[
\left\langle 0 \left| \bar{s}(0) \gamma_5 (iz \cdot \overleftrightarrow{D})^n u(0) \right| K^+ (q) \right\rangle = -i f_K m_0^p \langle \zeta^n_p \rangle (z \cdot q)^n
\]

(18)

and

\[
\left\langle 0 \left| \bar{s}(0) \sigma_{\mu\nu} \gamma_5 (iz \cdot \overleftrightarrow{D})^{n+1} u(0) \right| K^+ (q) \right\rangle = -\frac{n + 1}{3} f_K m_0^\sigma \langle \zeta^n \rangle (q_\mu z_\nu - q_\nu z_\mu) (z \cdot q)^n
\]

(19)

respectively, and the moments are defined by:

\[
\langle \zeta^n_p \rangle = \frac{1}{2} \int_{-1}^{1} \zeta^n \phi_{\rho}^K (\zeta) d\zeta, \quad \langle \zeta^n \rangle = \frac{1}{2} \int_{-1}^{1} \zeta^n \phi_{\sigma}^K (\zeta) d\zeta.
\]

(20)

Similar to the pion case, the correlation functions for calculating the moments of the kaon are defined as,

\[
(z \cdot q)^n \langle \zeta^n \rangle_{K^p} (q^2) \equiv -i \int d^4 x e^{iq \cdot x} \left\langle 0 \left| T \left\{ \bar{s}(x) \gamma_5 (iz \cdot \overleftrightarrow{D})^n u(x), \bar{u}(0) \gamma_5 s(0) \right\} \right| 0 \right\rangle
\]

(21)
\[-i(q_\mu z_\nu - q_\nu z_\mu)(z \cdot q)^n I_{K\sigma}^{(n,0)}(q^2)\]
\[
= -i \int d^4x e^{iq \cdot x} \left\{ 0 \left| \mathcal{T} \left\{ \bar{s}(x)\sigma_{\mu\nu}\gamma_5(i z \cdot \vec{n})^{n+1}u(x), \bar{u}(0)\gamma_5s(0) \right\} \right| 0 \right\} . \tag{22}
\]

As discussed in the previous section, the correlation functions can be calculated perturbatively in deep Euclidean region, i.e., \(Q^2 = -q^2 \gg 0\). Combined with Eqs. (18) and (19), we assume the hadronic spectrum representations of the above correlations as follows,

\[
\text{Im} \, I_{K\sigma}^{(n,0)}(q^2)_{\text{had}} = \pi \delta(q^2 - m_K^2)f_K^2(m_0^p)^2 \langle \zeta_p^n \rangle + \pi \frac{3}{8\pi^2 n+1} q^2 \theta(q^2 - s_K^p) \tag{23}
\]
and

\[
\text{Im} \, I_{K\sigma}^{(n,0)}(q^2)_{\text{had}} = \pi \delta(q^2 - m_K^2)f_K^2 m_0^p m_0^p \langle \zeta_p^n \rangle + \pi \frac{3}{8\pi^2 n+1} q^2 \theta(q^2 - s_K^p) . \tag{24}
\]

Employing the dispersion relation and Borel transformation as done in previous section, the sum rules for the moments of \(\phi_p^K\) can be expressed in the following,

\[
\langle \zeta_p^{2n} \rangle (m_0^p)^2 = \frac{e^{m_K^2/M^2}}{f_K^2} M^4 \left\{ \frac{1}{(2n+1)8\pi^2} \left[ 1 - (1 + \frac{s_K^p}{M^2})e^{-s_K^p/M^2} \right] \right. \\
+ \frac{1}{8} \frac{\alpha_s}{\pi} \frac{G^2}{M^4} \left. + \frac{[(2n+1)m_s - 2m_u] \langle \bar{s}s \rangle + [(2n+1)m_u - 2m_s] \langle \bar{u}u \rangle}{2M^4} \right. \\
+ \frac{16\pi}{81} (8n^2 + 2n - 3) \frac{\alpha_s}{\pi} \frac{\langle \bar{s}s \rangle^2 + \langle \bar{u}u \rangle^2}{M^6} + \frac{16\pi}{3} \frac{\alpha_s \langle \bar{s}s \rangle \langle \bar{u}u \rangle}{M^6} \right\} \tag{25}
\]
and

\[
\langle \zeta_p^{2n} \rangle (m_0^p)^2 = \frac{e^{m_K^2/M^2}}{f_K^2} M^4 \left\{ - \frac{3}{8\pi^2 M^2} \left( 1 - e^{-s_K^p/M^2} \right) + \frac{m_s - m_u}{M^4} \langle \bar{u}u \rangle + \langle \bar{s}s \rangle \right. \\
+ \frac{4\pi m_s^2}{27 M^6} + \frac{36 \alpha_s}{\pi} \langle \bar{s}s \rangle \langle \bar{u}u \rangle - \frac{4 \alpha_s}{\pi} \langle \bar{u}u \rangle^2 \right\} \tag{26}
\]

For the twist-3 amplitude \(\phi_\sigma^K\), we make a similar calculation according to the above procedure and the sum rules for the moments of \(\phi_\sigma^K\) become

\[
\langle \zeta_\sigma^{2n} \rangle m_0^p m_0^p = \frac{e^{m_K^2/M^2}}{f_K^2} M^4 \left\{ \frac{1}{(2n+1)(2n+3)8\pi^2} \left[ 1 - (1 + \frac{s_\sigma^p}{M^2})e^{-s_\sigma^p/M^2} \right] \right. \\
+ \frac{1}{24(2n+1)} \frac{\alpha_s}{\pi} \frac{G^2}{M^4} \left. + \frac{m_s \langle \bar{s}s \rangle + m_u \langle \bar{u}u \rangle}{2M^4} \right. \\
+ \frac{16\pi}{81} (4n+1) \frac{\alpha_s}{\pi} \frac{\langle \bar{s}s \rangle^2 + \langle \bar{u}u \rangle^2}{M^6} - \frac{16\pi}{9(2n+1)} \frac{\alpha_s \langle \bar{s}s \rangle \langle \bar{u}u \rangle}{M^6} \right\} \tag{27}
\]
and
\[
\langle \zeta^1 \rangle m_{0K}^0 m_{0K}^0 = \frac{3}{2} \frac{e^{n^2 K^2/M^2}}{f^2_K} \left\{ -\frac{1}{4\pi^2} \frac{m^2_s}{M^2} \left( 1 - e^{-s^2_K/M^2} \right) + \frac{m_s \langle \bar{s}s \rangle - m_u \langle \bar{u}u \rangle}{M^4} + \frac{m^2_s \langle \frac{\alpha_s G^2}{\pi} \rangle}{6M^6} \left( \ln \frac{M^2}{\mu^2} + 1 - \gamma_E \right) - \frac{1}{3} \frac{m_s g_s \langle \bar{s}\sigma Gs \rangle}{M^6} + \frac{32\pi \alpha_s}{27} \frac{[\langle \bar{s}s \rangle^2 - \langle \bar{u}u \rangle^2]}{M^6} \right\},
\]
where \( \gamma_E = 0.577216 \cdots \) is the Euler constant, \( s^p_K \) and \( s^\sigma_K \) in the above equations are the threshold values to be chosen properly, and the zeroth moments has been normalized, \( \langle \zeta^0 \rangle_p = \langle \zeta^0 \rangle_\sigma = 1 \).

**IV. NUMERICAL ANALYSIS**

To analyse the sum rules (16), (17), (25)-(28) numerically, we take the input parameters as usual: \( f_K = 0.160 \text{ GeV}, \) \( f_\pi = 0.133 \text{ GeV}, \) \( m_s = 0.156 \text{ GeV}, \) \( m_u = 0.005 \text{ GeV}, \) \( m_d = 0.008 \text{ GeV}, \) \( \langle \bar{u}u \rangle = \langle \bar{d}d \rangle = -(0.24 \text{ GeV})^3, \) \( \langle \bar{s}s \rangle = 0.8 \langle \bar{u}u \rangle, \) \( g_s \langle \bar{s}\sigma Gs \rangle = -0.00885 \text{ GeV}^5, \) \( \langle \frac{\alpha_s}{\pi} GG \rangle = 0.012 \text{ GeV}^4, \) \( \alpha_s(1\text{GeV}) = 0.5 \). The renormalization scale \( \mu = M \) is assumed in the following analysis.

As to the threshold values \( s^p,\sigma_{\pi,K} \) in the sum rules, they can be taken to the mass square of the first exciting states in the corresponding channel. Although the windows become broader when the \( s^p,\sigma_{\pi,K} \) are larger, the threshold values can not exceed the first exciting states. In order to get maximum stability of the sum rules, they are taken as the mass square of the first exciting states, i.e.,
\[
s^p_{\pi} = (1.3 \text{ GeV})^2, \quad s^p_{K} = (1.46 \text{ GeV})^2
\]
where the first exciting state is \( \pi'(1300) \) for the pion case, and that for kaon case is \( K(1460) \) [15].

**A. Determination of the normalization constants**

For each distribution amplitude, we introduce a corresponding parameter (e.g., \( m^0_{\phi_p} \) for \( \phi^p \)). These parameters are normalization constants which normalize the zeroth moments to
one. Their values can be determined from the sum rules (16), (17), (25) and (27) with \( n = 0 \). Take \( m_{0\pi}^p \) as an example. To identify a Borel window \( (M^2) \) for the sum rule of \( m_{0\pi}^p \), one requires that the continuum contribution is less than 30% and the dimension-six condensate contribution is less than 10%. This requirement leads to a window \( M^2 \in (0.64, 0.75) \text{ GeV}^2 \) and one can find \( m_{0\pi}^p = 0.96 \pm 0.03 \text{ GeV} \) within this window. And the results are plotted in the Fig 1(a) and Fig 1(b) for \( m_{0\pi}^p \).

FIG. 1: (a) The window for the normalization constant \( m_{0\pi}^p \) without \( \alpha_s \) correction in the perturbative part in the sum rule. The dashed line is the ratio of the dimension-six condensate contribution to the total sum rule \( (n = 0) \) and the solid line is the ratio of the continuum contribution to the total sum rule \( (n = 0) \). (b) The corresponding values of \( m_{0\pi}^p \) within the window.

The same procedure can be applied to get other parameters \( m_{0\pi}^{\sigma}, m_{0K}^{\sigma}, m_{0K}^{p} \), the numerical results are listed in Table I. The continuum contribution to the sum rules are required to be less than 30% and the dimension-six contribution is required to be less than 16% for \( m_{0\pi}^{\sigma}, 10\% \) for \( m_{0K}^{p} \) and \( m_{0K}^{\sigma} \). It should be pointed out that when the \( \alpha_s \) correction to the perturbative part of the sum rule for \( m_{0\pi}^{p}, m_{0K}^{p} \) are taken into account \( [9] \), their values will be increased by 15-20%. For example, \( m_{0\pi}^{p} = 1.10 \pm 0.08 \text{ GeV} \) and \( m_{0K}^{p} = 1.25 \pm 0.15 \text{ GeV} \).

One can see from above that \( m_{0\pi}^{\sigma} \) is smaller than \( m_{0\pi}^{p} \) about 30%. The main reason is that the opposite sign of the dimension-six condensate terms in Eqs. (16) and (17). For the kaon case, the approximate 30% difference between \( m_{0K}^{\sigma} \) and \( m_{0K}^{p} \) is due to the same reason(see Eqs. (25) and (27)).

It was shown that the normalization constants for the twist-3 distribution amplitudes can be obtained from equations of motion \([4]\). So at this point, we would like to compare our results with those obtained by equations of motion and judge upon the accuracy of
TABLE I: Normalization constants $m_0$ and the corresponding Borel windows for the distribution amplitudes $\phi^{\pi}_{p,\sigma}$ and $\phi^{K}_{p,\sigma}$ without $\alpha_s$ correction in the perturbative parts in the sum rules.

|                  | $\phi^{\pi}_{p}$ | $\phi^{\pi}_{\sigma}$ | $\phi^{K}_{p}$ | $\phi^{K}_{\sigma}$ |
|------------------|-------------------|-------------------------|----------------|---------------------|
| $m_0$ (GeV)      | 0.96 ± 0.03       | 0.67 ± 0.06              | 1.06 ± 0.09    | 0.71 ± 0.09         |
| $M^2$ (GeV$^2$)  | 0.64-0.75         | 0.60-0.68                | 0.58-0.93      | 0.66-0.83           |

If the $\alpha_s$ correction to the perturbative part is 15-20% and these corrections make the normalization constants increasing, one expect that the deviation of $m^{\sigma}_{0\pi}$ from $\tilde{\mu}_\pi$ is about 45% and the deviation of $m^{\sigma}_{0K}$ from $\mu_K - (m_u + m_s)$ is about 33%.

### B. Determination of the second moment of $\phi^{\pi}_{p,\sigma}$ and the odd moment of $\phi^{K}_{p,\sigma}$

Let us consider the second moments of $\phi^{\pi}_{p}$ and $\phi^{\pi}_{\sigma}$ for the pion. Just as the determination of normalization constants in the above paragraphs, one should find a window for each moment in the corresponding sum rule. The Borel windows in Table II are obtained under requirement that both the contributions from continuous states and the dimension-six condensate are less than 30%. As an example, we plot the results for the moment $\langle \xi^2_{p} \rangle$ in the Fig 2(a) and the Fig 2(b) and the numerical results are listed in Table II.

Now we turn to the determination of the first moments of $\phi^{K}_{p,\sigma}$. The contribution from the dimension-6 condensate and the continuous states of $\langle \zeta^1_{p} \rangle$ and $\langle \zeta^1_{\sigma} \rangle$ are plotted in Fig 3.
FIG. 2: (a) the window for the moment $\langle \xi_p^2 \rangle$, the dashed and the solid line indicate the ratio of the contribution of dimension-6 condensates and continuous states in the total sum rule respectively; (b) the moment $\langle \xi_p^2 \rangle$ within the Borel window.

For $\langle \zeta_p^1 \rangle$, the dimension-six contribution is less than 1% and the continuum contribution is less than 10%. For $\langle \zeta_p^1 \sigma \rangle$, the contribution of dimension-six condensate and continuous states are less than 10%.

With these windows we can get the values of the corresponding moments. These results are listed in Table II.

FIG. 3: The windows for $\langle \zeta_p^1 \rangle$ and $\langle \zeta_p^1 \sigma \rangle$. The dashed and the solid lines indicate the ratios of the contribution of dimension-6 condensates and the continuous states in the corresponding total sum rule respectively.
TABLE II: Second moments of \( \phi_{p,\sigma}^\pi \), odd moments of \( \phi_{p,\sigma}^K \) and their corresponding Borel windows.

|       | \( \langle \xi_2 \rangle \) | \( \langle \xi_2 \rangle \) | \( \langle \zeta_1 \rangle \) | \( \langle \zeta_1 \rangle \) |
|-------|----------------------------|----------------------------|-----------------|-----------------|
|       | 0.52 \( \pm 0.03 \)       | 0.34 \( \pm 0.03 \)       | \( -0.10 \pm 0.03 \) | \( -0.13 \pm 0.04 \) |
| \( M^2 \) (GeV\(^2\)) | 0.72-0.88                  | 0.71-0.84                  | 0.80-1.85       | 0.77-1.53       |

TABLE III: Fourth moments of \( \phi_{p,\sigma}^\pi \) and second moments of \( \phi_{p,\sigma}^K \). But note that the values of \( \langle \xi_4 \rangle \), \( \langle \zeta_2 \rangle \) given in this table is under the requirement of 35% uncertainty and \( \langle \xi_4 \rangle \) is under the requirement of 40% uncertainty.

|       | \( \langle \xi_4 \rangle \) | \( \langle \xi_4 \rangle \) | \( \langle \zeta_2 \rangle \) | \( \langle \zeta_2 \rangle \) |
|-------|----------------------------|----------------------------|-----------------|-----------------|
|       | 0.44 \( \pm 0.01 \)       | 0.20 \( \pm 0.01 \)       | 0.43 \( \pm 0.04 \) | 0.173 \( \pm 0.002 \) |
| \( M^2 \) (GeV\(^2\)) | 1.06-1.14                  | 1.08-1.22                  | 0.67-1.00       | 0.78-0.85       |

C. Determination of the fourth moment of \( \phi_{p,\sigma}^\pi \) and the second moment of \( \phi_{p,\sigma}^K \)

Now we consider the second moment \( \langle \xi_2 \rangle \) of \( \phi_{p}^K \) for the \( K \) meson. The Borel window for \( \langle \xi_2 \rangle \) is shown in the Fig. 4(a) when the contribution of continuous states and the dimension-six condensate are less than 30%. The numerical results are listed in Table III.

However, for the fourth \( (n = 2) \) moments of \( \phi_{p}^\pi, \phi_{\sigma}^\pi \) of the \( \pi \) meson, we can not find the Borel windows when the contribution of continuous states and the dimension-six condensate are required to be less than 30%. For the second \( (n = 1) \) moment of \( \phi_{\sigma}^K \) of the \( K \) meson, we find that the Borel window is very narrow when the contribution of continuous states and the dimension-six condensate are required to be less than 30%. As we relax the requirement that the contribution of continuous states and the dimension-six condensate are less than 35%, the Borel windows for \( \langle \zeta_2 \rangle \) and \( \langle \xi_4 \rangle \) can be found. For \( \langle \xi_4 \rangle \), one can find the Borel window only when the contribution of continuous states and the dimension-six condensate are less than 40%. The above windows are shown in Fig. 4(b)-4(d).

The values of these moments within their corresponding windows are listed in Table III.
FIG. 4: The windows for the second moments of $\langle \zeta_p^2 \rangle$, $\langle \zeta_\sigma^2 \rangle$ and the fourth moments of $\langle \xi_p^4 \rangle$, $\langle \xi_\sigma^4 \rangle$. The dashed and the solid lines indicate the ratios of the contribution of dimension-6 condensates and the continuous states in the corresponding total sum rule respectively.

D. From two-particle distribution amplitudes to three-particle distribution amplitude

There are three twist-3 distribution amplitudes $\phi^K_\pi p$, $\phi^K_\sigma$ and $\phi^{3\pi}$ for $\pi$ meson. As shown in Ref.[4], they are not independent. By employing equations of motion in QCD, one can obtain some relations between them. For the pion, the relations between the twist-3 distribution amplitudes of the lowest fork state and the 3-particle one are given in Ref.[4]. They obtained two distribution amplitudes of the lowest fork state $\phi^{3\pi}_{p,\sigma}$ from the 3-particle distribution amplitude $\phi^{3\pi}_{3\pi}$ which was given by a direct calculation in the QCD sum rule method[16]. Contrarily, we use the relations from equations of motion to see what we can say about the 3-particle distribution amplitudes with the above results of the distribution amplitudes of the lowest fork state as input. The results can also be compared with those obtained by QCD sum rule directly[16]. The cross checks in these calculations are helpful.
to judge upon the accuracy of the sum rules.

First, let’s discuss the pion case. The three-particle distribution amplitude of the \( \pi \) meson can be defined as,

\[
\langle 0 | \bar{d}(x)\sigma_{\mu\nu}\gamma_5 g_{\alpha\beta}(-vx)u(-x) | \pi^+(q) \rangle = i f_{3\pi} \left[ q_\alpha(q_\mu \delta_{\nu\beta} - q_\nu \delta_{\mu\beta}) - (\alpha \leftrightarrow \beta) \right] \int \mathcal{D} \alpha_i \epsilon^{ix\alpha_1 + \alpha_2 + v_\alpha_3} \phi_{3\pi}(\alpha_i)
\]

(29)

where \( \mathcal{D} \alpha_i = d\alpha_1 d\alpha_2 d\alpha_3 \delta(\alpha_1 + \alpha_2 + \alpha_3 - 1) \). There are the system of recurrence relations for the moments \( \langle \xi^n_p \rangle \) and \( \langle \xi^n_\sigma \rangle \)[4]:

\[
\langle \xi^n_p \rangle = \delta_{n0} + \frac{n-1}{n+1} \langle \xi^{n-2}_p \rangle + 2R_p (n-1) \int_{-1}^1 dv \langle (\alpha_2 - \alpha_1 + v_\alpha_3)^{n-2} \rangle
\]

\(-2R_p \frac{(n-1)(n-2)}{n+1} \int_{-1}^1 dv v \langle (\alpha_2 - \alpha_1 + v_\alpha_3)^{n-3} \rangle \)

(30)

\[
\langle \xi^n_\sigma \rangle = \delta_{n0} + \frac{n-1}{n+3} \langle \xi^{n-2}_\sigma \rangle + 6R_\sigma \frac{n-1}{n+3} \int_{-1}^1 dv \langle (\alpha_2 - \alpha_1 + v_\alpha_3)^{n-2} \rangle
\]

\(-6R_\sigma \frac{n}{n+3} \int_{-1}^1 dv v \langle (\alpha_2 - \alpha_1 + v_\alpha_3)^{n-1} \rangle \)

(31)

where \( \langle (\alpha_2 - \alpha_1 + v_\alpha_3)^n \rangle = \int \mathcal{D} \alpha_i \phi_{3\pi}(\alpha_i) (\alpha_2 - \alpha_1 + v_\alpha_3)^n \) defines the moments of 3-particle distribution amplitude. Instead of taking \( R_p = R_\sigma = R \) as in Ref. [4], we introduce them separately,

\[
R_p = \frac{1}{m^0_{\pi^\star}} f_{3\pi} \quad \text{and} \quad R_\sigma = \frac{1}{m^0_{\pi^\star}} f_{3\pi}
\]

Now, we take second moments into account. The above relation can be reduced to

\[
\langle \xi^2_\sigma \rangle = \frac{1}{3} \langle \xi^0_\sigma \rangle + \frac{12}{5} R_\sigma - \frac{8}{5} R_\sigma \langle \alpha_3 \rangle
\]

and

\[
\langle \xi^2_p \rangle = \frac{1}{3} \langle \xi^0_p \rangle + 4R_p
\]

which gives, from Table [II] and Table [III]

\[
\langle \alpha_3 \rangle = (0.13, 0.27) \quad \text{and} \quad f_{3\pi} = (0.0049, 0.0067) \text{GeV}^2.
\]

(32)

At this point, we compare the moment \( \langle \alpha_3 \rangle \) and the \( f_{3\pi} \) with those calculated directly by the sum rule method in Ref. [16]: \( \langle \alpha_3 \rangle = (0.06, 0.22) \), \( f_{3\pi} \approx 0.0035 \text{GeV}^2 \). One can see that the results from the two approaches are compatible to the order of magnitude.
From the analysis in previous section, we have shown that the fourth moments $\langle \xi_4 \rangle$ and $\langle \xi_4^\sigma \rangle$ cannot be obtained in a reliable way, so we do not use them to give the other moments, i.e., $\langle \alpha_1^2 \rangle$ and $\langle \alpha_1 \alpha_2 \rangle$, etc..

Now we turn to the $K$ meson case. Similar to the pionic case, one can define a three-particle distribution amplitude

$$\langle 0 | \bar{s}(x)\gamma_\mu gG_{\alpha\beta}(-vx)u(-x)|K^+(q) \rangle = i f_{3K} [q_\alpha (q_\mu \delta_{\nu\beta} - q_\nu \delta_{\mu\beta}) - (\alpha \leftrightarrow \beta)] \int \mathcal{D} \alpha_i e^{iqx(-\alpha_1 + \alpha_2 + \alpha_3)} \phi_{3K}(\alpha_i). \quad (33)$$

Following Ref. [4], a similar recurrence relation can be obtained. As the first and second moment of $\phi_{p,\sigma}^K$ are taken into account, the recurrence relation can be truncated to three equations,

$$\langle \xi_1^\sigma \rangle = \frac{3 R'_p}{4 R'_p} \langle \xi_1 \rangle \quad (34)$$
$$\langle \xi_2^\sigma \rangle = \frac{3 R'_p}{5 R'_p} \langle \xi_2 \rangle - \frac{8}{15} R'_p \langle \alpha_3 \rangle_K \quad (35)$$
$$\langle \xi_2^p \rangle = \frac{1 R'_p}{3 R'_p} \langle \xi_0^p \rangle + 4 R'_p \quad (36)$$

where $R'_p, \sigma = f_{3K} / (f_K m_{p,K}^2)$, and the primes on the $R$s and the subscript $K$ indicate that the quantities are related to $K$ meson. From Table II we have $R'_p / R'_p \approx 0.6105 / 0.71$, so the equation (35) is a direct constraint of the first two moments. Our calculation (see Table II) shows that the left hand side of Eq. (35) is about $-0.13$ and the right hand side is about $-0.11$. It can be seen that this equation is approximately fulfilled. Solving the last two equations (35) and (36), we can obtain $f_{3K}$ and $\langle \alpha_3 \rangle_K$:

$$f_{3K} = (0.0071, 0.0105) \text{ GeV}^2, \quad \langle \alpha_3 \rangle_K = (5.01, 5.37) \quad (37)$$

To determine more moments of the three-particle distribution amplitude, we have to include higher moments of the two-particle distribution amplitudes. However, one cannot guarantee the convergence of the operator expansion for bigger $n$.

V. SUMMARY AND DISCUSSION

In this paper we calculate the first three moments of the twist-3 distribution amplitudes $\phi_{p,\sigma}^\pi$ and $\phi_{p,\sigma}^K$ by using the QCD sum rules. It has been shown that the first three moments...
of $\phi^K_p$ and the first two moments of $\phi^\pi_p$ and $\phi^\pi_K$ of the pion and kaon can be obtained with 30% uncertainty. The fourth moments $\langle \xi^4 \rangle_{p,\sigma}$ of $\phi^K_p$ and the second moment $\langle \zeta^2 \rangle_{\sigma}$ of $\phi^K$ can be obtained under 35%-40% uncertainty. When the $\alpha_s$ corrections (we take them from Ref.[9]) to the perturbative part of $m^p_{0\pi}$, $m^p_{0\pi}$ are included, we find that the values of $m^p_{0\pi}$ and $m^K_{0\pi}$ are increased (and the corresponding Borel windows becomes a little narrower) to $m^p_{0\pi} = 1.25 \pm 0.15$ GeV and $m^p_{0\pi} = 1.10 \pm 0.08$ GeV. It may be expected that the $\alpha_s$ corrections to the perturbative parts in the sum rules for $m^p_{0\pi}$ and $m^p_{0\pi}$ will be about 15-20%.

As to the normalization constants $m^p_{0\pi}$ and $m^p_{0K}$, our calculated results show that they are smaller than the values which are given by the equations of motion and at the same time, the calculated $m^p_{0\pi,K}$ are smaller than the corresponding $m^p_{0\pi,K}$. These deviation can be traced to the non-perturbative condensate effects (see the sum rules [16], [17], [25] and [27] for the normalization constants), in particular, the dimension-six condensate terms in opposite sign lead to about 30% difference between these normalization constants. On the other hand, from the sum rules, one can see that the contribution from the continuous state grow too fast which prevent us from taking $M^2$ to be larger values(larger $M^2$ will lead to bigger values of the normalization constants), and then the window for the sigma-sum-rules($m^p_{0\pi,K}$) are much narrower than the non-sigma sum rules($m^p_{0\pi,K}$). So we think the smaller values of $m^p_{0\pi,K}$ may be related to our approximation in the hadronic spectrum representation.

Furthermore, we calculate the moments of the quark-antiquark-gluon distribution amplitude from the numerical results on the distribution amplitudes of the lowest Fork state by applying the exact equations of motion and compare our results with those from Ref.[16]. The comparison shows that they are compatible with each other to the order of magnitude. It is helpful to improve the accuracy of the QCD sum rules approach for getting more precise information on the twist-3 distribution amplitudes.

These moments can provide several constraints upon the twist-3 distribution amplitudes. These constraints will be helpful for building the model of the distribution amplitude. For example, Ref.[17] suggests a model for the twist-3 wave function of the pion based on the QCD sum rule calculation to get a more realistic contribution to the pion form factor. Here we discuss the distribution amplitude $\phi^K_p$ of the kaon(since the first three moments can be obtained reliably). As usual, we expand the distribution amplitudes in Gegenbauer’s polynomials and use the moments to determine their first few coefficients in a truncated
from: \( \phi^K_p(\zeta) = \sum_{n=0}^{2} C^{1/2}_n \zeta^2 a_n \). From the three moments of \( \phi^K_p \) (see Table III and Table IV), we have the twist-3 distribution amplitude approximately,

\[
\phi^K_p(\zeta) = 1 - 0.30 C^{1/2}_1(\zeta) + 0.73 C^{1/2}_2(\zeta)
\]

which is asymmetric since the \( SU(3)_f \) symmetry broken are taken into account.

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