CLUSTER GLIMPSES WITH RAVEN: AO-CORRECTED NEAR AND MID-INFRARED IMAGES OF GLIMPSE C01 AND GLIMPSE C02†

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ABSTRACT

We discuss images of the star clusters GLIMPSE C01 (GC01) and GLIMPSE C02 (GC02) that were recorded with the Subaru IRCS. Distortions in the wavefront were corrected with the RAVEN adaptive optics (AO) science demonstrator, allowing individual stars in the central regions of both clusters—where the fractional contamination from non-cluster objects is lowest—to be imaged. In addition to J, H, and K′ images, both clusters were observed through a narrow-band filter centered near 3.05 μm; GC01 was also observed through two other narrow-band filters that sample longer wavelengths. Stars in the narrow-band images have an FWHM that is close to the telescope diffraction limit, demonstrating that open-loop AO systems like RAVEN can deliver exceptional image quality. The near-infrared color–magnitude diagram of GC01 is smeared by non-uniform extinction with a 1σ dispersion ΔAK = ±0.13 mag. Spatial variations in AK are not related in a systematic way to location in the field. The Red Clump is identified in the K luminosity function (LF) of GC01, and a distance modulus of 13.6 is found. The K LF of GC01 is consistent with a system that is dominated by stars with an age >1 Gyr. As for GC02, the K LF is flat for K > 16, and the absence of a sub-giant branch argues against an old age if the cluster is at a distance of ~7 kpc. Archival SPITZER [3.6] and [4.5] images of the clusters are also examined, and the red giant branch-tip is identified. It is demonstrated in the Appendix that the [3.6] surface brightness profiles of both clusters can be traced out to radii of at least 100 arcsec.

Key words: Galaxy: stellar content – globular clusters: individual (GLIMPSE C01, GLIMPSE C02)

1. INTRODUCTION

Star clusters are fundamental astrophysical calibrators, providing information that can be used to constrain the evolution of stars, stellar systems, and galaxies. From a technical perspective, they are also ideal targets for characterizing the performance of adaptive optics (AO) systems, as the image quality and its variation with location across the science field can be assessed in a straightforward manner from images of richly populated stellar fields. In this paper, we investigate the stellar contents of two star clusters at low Galactic latitude and demonstrate the performance of the RAVEN multi-object AO (MOAO) system.

Glimpse C01 (GC01) is a massive (log(M⊙) ~ 5) cluster that was identified as part of the GLIMPSE (Galactic Legacy Infrared Mid-plane Survey Extraordinaire; Benjamin et al. 2003) survey. The radial velocity of GC01 is consistent with it belonging to the Galactic disk, though there is a 10% probability that a halo object would have a similar radial velocity (Davies et al. 2011). Dust and contamination from non-cluster sources are major obstacles for efforts to probe the stellar content of GC01. Near-infrared (NIR) images reveal dust lanes in and around GC01 (Ivanov et al. 2005), and a bright emission feature cuts across [5.8] and [8.0] SPITZER images of the cluster (Kobulnicky et al. 2005). The location of stars in the (J − H, H − K) two-color diagram (TCD) indicates that AV ranges between 12 and 18, with no systematic dependence on location (Kobulnicky et al. 2005). Such a non-uniform dust distribution will smear features in color–magnitude diagrams (CMDs) and luminosity functions (LFs).

Previous studies of GC01 have found a wide range of possible ages. Using a mix of the GLIMPSE survey and shallow ground-based NIR images, Kobulnicky et al. (2005) conclude that GC01 is an old, massive globular cluster, located at a distance of 3.1−5.2 kpc. They note that an old age is consistent with a lack of radio emission. Ivanov et al. (2005) construct a shallow CMD of sources in the central 20 arcsec of GC01. They identify a giant branch and a red clump (RC), and conclude that if the former sequence is populated by old red giant branch (RGB) stars, then [Fe/H] ≤ −1. Ivanov et al. (2005) estimate a distance of 3.8 ± 0.7 kpc from the brightnesses of the RC and the tip of the giant branch. Davies et al. (2011) measure a central mass density for GC01 that exceeds that in globular clusters, but is consistent with that in dynamically unevolved young clusters, such as the Arches (e.g., Espinoza et al. 2009). Based on the high central density and other lines of evidence, Davies et al. (2011) suggest that GC01 has an age between 400 and 800 Myr, but also state that ages up to 2 Gyr are not ruled out.
There are hints that GC01 may be experiencing significant evolution at the present day, making it a potentially important laboratory for studies of cluster evolution, while also providing additional clues regarding its age. Mirabal (2010) discusses an X-ray source that is located along the MIR emission feature that slices through the cluster, and suggests that it is either the result of a pulsar wind nebula—possibly associated with a cluster member—or emission from a bow shock that forms as interstellar gas associated with GC01 is stripped from the cluster. The location of the source and its energy output are consistent with the latter mechanism (Mirabal 2010). If there is an interstellar medium (ISM) in GC01, then it opens the possibility that a young population might be present.

GC01 has a mass of $(8 \pm 3) \times 10^4 M_\odot$ (Davies et al. 2011). There are young clusters with comparable masses in the present-day Galaxy, such as Westerlund 1 and 2 $(6 \times 10^4$ and $7 \times 10^3$ solar; Portegies Zwart et al. 2010; Hur et al. 2015), and the Arches $(2 \times 10^4$ solar; Espinoza et al. 2009). Given the likelihood that GC01 has lost mass due to tidal effects and internal evolution, and so was more massive in the past, the existing age estimates suggest that it could be one of the most massive clusters to have formed in the Galaxy during the past few gigayears. GC01 is thus of potential importance for studies of the evolution of the Galactic disk.

Glimpse C02 (GC02) has not been as extensively studied as GC01, likely because it is the more heavily obscured of the two, with $A_V > 20$ (Kurtev et al. 2008). The distribution of points on the $(J-H, H-K)$ TCD shown in Figure 3 of Kurtev et al. (2008) indicates that there is substantial field star contamination within 60 arcsec of the cluster center, further complicating efforts to determine cluster properties. Still, the CMD presented by Kurtev et al. (2008) includes stars as faint as $K \sim 16$, from which they estimate a distance of $4.6 \pm 0.7$ kpc based on the brightnesses of the RC and the tip of the red sequence, which they assume to be populated by stars evolving on the RGB. Spectroscopy of candidate cluster members and the slope of the red sequence suggest that $[Fe/H] \sim -0.3$, raising the prospect that GC02 may be one of the most metal-rich globular clusters in the Galaxy.

The existing studies of GC01 and GC02 do not sample the main-sequence turn-off (MSTO). While reaching the MSTOs of these clusters will be difficult—and it is noted later in this paper that measuring the brightness of the MSTO in GC01 without spectroscopic information may prove to be problematic due to differential reddening—it is still possible to gain additional information about their ages based on the properties of evolved cluster members, such as those that are undergoing core Helium burning. The fractional contamination from non-cluster stars is lowest in the central regions of GC01 and GC02, though the high stellar density introduces complications due to crowding. Efforts to isolate stars in the crowded central regions of clusters require good angular resolution, and in the present paper we discuss observations that cover the $1-3.5 \mu m$ wavelength interval with angular resolutions between 0.1 and 0.25 arcsec FWHM of fields near the centers of both clusters. The data were obtained with the Infrared Camera and Spectrograph (IRCS) on the Subaru telescope, with the wavefront corrected for atmospheric distortion by the RAVEN AO science demonstrator. These observations demonstrate that angular resolutions close to the telescope diffraction limit can be obtained with an MOAO system at wavelengths near 3 $\mu m$.

The Subaru observations are supplemented with archival SPITZER images of both clusters.

The data were recorded at the Subaru telescope during parts of three nights in 2015 June and July. Distortions in the wavefront were corrected using the RAVEN MOAO science demonstrator (Andersen et al. 2012; Lardière et al. 2014), with the corrected signal directed to the imaging arm of the IRCS (Tokunaga et al. 1998). The IRCS $1024 \times 1024$ ALLADIN III detector can be sampled with pixel scales of either 0.02 arcsec pixel$^{-1}$ or 0.052 arcsec pixel$^{-1}$, and both modes were employed here.

Core observational elements of RAVEN include (1) three natural guide star (NGS) wavefront sensors (WFSs) that can be deployed over a 3.5 arcmin diameter field, and (2) two science pick-offs, each of which contains an $11 \times 11$ element deformable mirror (DM) that corrects the wavefront at that location using information gleaned from the WFSs. There is also a WFS designed for use with a laser beacon, but this was not used for these observations. The light from the science pick-offs can feed the imaging and spectroscopic modes of the IRCS. Each pick-off samples a 5.5 arcsec radius field, though vignetting and a slight overlap of the science fields when projected onto the IRCS detector limits the useable field to $\sim 6 \times 9$ arcsec. RAVEN was built as a pathway science demonstrator with a limited budget. Future MOAO systems will likely include more NGSs and science pick-offs to increase the field of view and the order of correction, thereby better exploiting the multiplex advantage that can be realized with MOAO.

RAVEN has three operating modes: MOAO, ground-layer AO (GLAO), and classical single conjugate AO (SCAO). Wavefront corrections for the MOAO and GLAO modes are applied with the system operating open loop—i.e., the control of the DMs is based solely on the signal obtained from the WFSs at that moment with no feedback from previous corrections. The SCAO system runs closed-loop, in which information from past corrections is used to control the DMs. The observations discussed here were recorded in GLAO mode.

An observing log that lists filters, central wavelengths, total exposure times, pixel sampling, FWHM, and the dates of observation is shown in Table 1. The total exposure time entries in this table are the number of detector co-adds (i.e., the number of detector reads times the number of co-adds per read) times the integration time per co-add. Additional information about the filters can be found on the Subaru telescope website.\footnote{http://www.naoj.org/Observing/Instruments/IRCS/camera/filters.html}

Because GC01 and GC02 are viewed at low Galactic latitudes, there are a number of potential NGSs, and this...
enabled the selection of a guide star asterism that girded the clusters, thereby increasing the chances of achieving good image quality. The \(J\), \(H\), and \(K'\) images were recorded with 0.052 arcsec \(\text{pixel}^{-1}\) sampling, with a science pick-off positioned near each cluster center. The GC01 field is centered at 18:48:49.9 (R.A.) and –01: 29: 47.9 (decl.), while the GC02 field is centered at 18:18:29.7 (R.A.) and –16: 58: 34.3 (decl.). The co-ordinates are E2000. The GC01 field is within an arcsec of the cluster center, while the GC02 field is ~5 arcsec north of that cluster center.

The second pick-off sampled a background field near the edge of the RAVEN science field. While the background field contains some cluster stars, the density of objects in both GC01 and GC02 is ~50 times lower than in the field sampled by the other pick-off (see Appendix). Star counts suggest that field stars are the dominant component at distances >60 arcsec from the centers of both clusters (Section 3).

One set of exposures was recorded with the cluster center positioned in or near pick-off # 1, and another was recorded with the cluster centered in or near pick-off # 2. While the intent was to observe the same field in each case, positioning uncertainties meant that there was not 100% overlap. The stellar densities in the background were found to be negligible when compared with the cluster centers, and so the background fields are not discussed further.

The \(J\), \(H\), and \(K'\) data were recorded without on-sky dithering. While on-sky dithering can be employed to suppress bad pixels, pick-off # 2 is projected onto a part of the IRCS detector that contains a dense collection of bad pixels, and these bad pixels occupy a large fraction of the science field at this pixel scale. A very large dither throw is required to suppress these bad pixels, and the resulting field coverage would then be greatly diminished. In the end, the pick-off # 1 images were the primary source of photometric measurements. Stars in portions of the pick-off # 2 field that (1) do not overlap with the area sampled by pick-off # 1, and (2) are not affected by bad pixels were retained for the photometric analysis of GC01.

The \(H_2O\), PAH, and H3+ filters are designed to sample specific molecular transitions, and so have narrow bandpasses (0.152 \(\mu m\) for \(H_2O\), 0.05 \(\mu m\) for PAH, and 0.022 \(\mu m\) for H3+). We do not use these filters to sample the intended transitions, but instead use them as a means to image fields near the cluster centers at wavelengths where better AO correction can be achieved than in the NIR, and also to extend the spectral-energy distribution (SED) of bright stars to wavelengths >2.5 \(\mu m\), thereby providing greater leverage for estimating reddening. The narrow bandpasses of these filters help prevent saturating the detector given the high background levels that are inherent to these wavelengths.

The narrow-band data were recorded with 0.02 arcsec \(\text{pixel}^{-1}\) sampling to prevent saturating the detector with the high background levels that are intrinsic to ground-based observations longward of 2.5 \(\mu m\); this pixel scale also allowed for better sampling of the point-spread function (PSF). The \(H_2O\), PAH, and H3+ images were recorded with on-sky dithering, as the collection of bad pixels described earlier subtends a smaller angular size on the pick-off # 2 science field than is the case with 0.052 arcsec pixel sampling. The dither pattern consisted of five pointings that tracked the four corners and the center of a square-shaped asterism. An initial inspection of the \(H_2O\) observations of GC02 indicated that only a handful of stars could be detected with moderate exposure times in the longer wavelength filters, and so GC02 was observed only through the \(H_2O\) filter.

RAVEN operates at ambient temperature and contains optics that are optimized for wavelengths shortward of 2.5 \(\mu m\). Thus, when observing at wavelengths >2.5 \(\mu m\), there is a high thermal background when compared with systems that are cryogenically cooled and have optical designs that are tailored to the MIR. The higher background levels hinder the ability to go deep at these wavelengths, though this can be offset in part by improvements in the delivered image quality, which can be significant at long wavelengths.

Images of GC01 and GC02 in the \(H_2O\) filter are shown in the right hand column of Figure 1. The FWHM of sources in the \(H_2O\) images is ~0.11 arcsec, which is only 0.03 arcsec larger than the telescope diffraction limit at this wavelength. Stars are seen throughout the GC01 \(H_2O\) image. The FWHM measurements of these objects are spatially stable, with a dispersion of ±0.01 arcsec, which is the estimated random uncertainty in each FWHM measurement. The image quality of stars in GC01, when observed through the other narrow-band filters, is also near the diffraction limit.

\(K'\) images of both clusters are shown in their left hand column of Figure 1. The FWHM measurements of sources in both clusters are ~0.2 arcsec in \(K'\). Despite the larger FWHM, many more stars can be seen in the \(K'\) images due to the lower background, coupled with the higher optical throughput of the system at wavelengths >2.5 \(\mu m\).

### 2.2. Processing of the Images

The data were reduced using a standard processing sequence for NIR imaging. The main steps that are applied prior to image

| Cluster | Filter | \(\lambda_{\text{center}}\) (\(\mu m\)) | Exposure Time (seconds) | Pixel Scale (arcsec \(\text{pixel}^{-1}\)) | FWHM (arcsec) | Date Observed in 2015 (UT) |
|---------|--------|---------------------------------|------------------------|---------------------------------|---------------|--------------------------|
| GC01    | J      | 1.25                            | 4 x 50                 | 0.052                           | 0.23          | June 28                  |
|         | H      | 1.63                            | 20 x 10                | 0.052                           | 0.26          | June 28                  |
|         | K'     | 2.12                            | 14 x 35                | 0.052                           | 0.23          | June 28                  |
|         | \(H_2O\) | 3.05                        | 90 x 2                 | 0.02                            | 0.10          | July 2                   |
|         | PAH    | 3.30                            | 100 x 2                | 0.02                            | 0.10          | July 2                   |
|         | H3+    | 3.41                            | 100 x 2                | 0.02                            | 0.10          | July 2                   |
| GC02    | J      | 1.25                            | 4 x 120                | 0.052                           | 0.21          | June 24                  |
|         | H      | 1.63                            | 5 x 60                 | 0.052                           | 0.21          | June 24                  |
|         | K'     | 2.12                            | 6 x 60                 | 0.052                           | 0.21          | June 24                  |
|         | \(H_2O\) | 3.05                        | 100 x 2                | 0.02                            | 0.10          | July 2                   |
combination are (1) flat-fielding, (2) the subtraction of a mean sky level from each image, and (3) the removal of signatures from thermally emitting objects along the optical path. The first step removes multiplicative signatures, while the second and third steps remove features that are additive.

Pixel-to-pixel sensitivity variations and non-uniform optical throughput introduce multiplicative signatures into the data. Flat-field calibration frames were constructed from images of light from the telescope calibration unit that was directed into the IRCS. One set of exposures was recorded with the calibration light source turned on, and a second set was recorded with the light source turned off. The subtraction of the mean “off” exposure from the mean “on” exposure removes the additive signatures of thermal emission and dark current from the flat-field pattern, leaving only multiplicative effects. The calibration frame was normalized to unity, and the science images were divided by the result.

Variations in the background light level occur over short periods of time at these wavelengths, and these were removed before combining frames. These variations are due to a number of factors, including moon phase, the location of the moon on the sky with respect to the target, changes in the levels of atmospheric emission, and differential flexure between the telescope and instrument, which changes pupil mask alignment, thereby affecting the thermal background level. Pseudo-sky levels were found by taking the mode of the pixel intensity distribution in each image, and the results were subtracted from the images. The background level found in this way contains contributions from the night sky, the telescope and instrument optics, and unresolved stars in each cluster. The latter component means that the removal of the pseudo-sky level will negate the ability to measure surface brightness. However, this does not affect the ability to perform photometry on individual stars, as only local sky values are important.

Images that sample wavelengths longward of $\sim 1.5 \mu m$ are susceptible to thermal emission from warm sources along the optical path, such as dust on the cryostat window. Because these sources are out of focus, they produce diffuse pupil-like images. Thermal background calibration frames were constructed by median-combining flat-fielded images of a sky field, while a background calibration frame for the narrow-band observations was constructed for each filter using the dithered observations of GC01. These were subtracted from the sky-subtracted flat-fielded data.

The processed images were aligned using a bright star as a reference point, and then rotated to a common position angle. Image registration was checked by examining the locations of stars throughout the field, and the alignment of the shifted/rotated images was found to hold at the sub-pixel level. The results from each filter+exposure time pair were combined by

![Figure 1. Sections of the final processed $K'$ (left hand column) and $H_2O$ ($\lambda_{cen} = 3.05 \mu m$; right hand column) images of GC01 (upper row) and GC02 (bottom row). Each panel samples a $4.6 \times 6.1$ arcsec area. The faint halo around each source in the $H_2O$ images is the Airy pattern.](image-url)
photometric magnitudes obtained from the images, we use DAOPHOT
8 http://www.naoj.org/Observing/Instruments/IRCS/camera/filters.html

When referring to the sequences in the cluster CMDs, and an arti-
ficial star was selected for the PSF, there is still contamination from faint neighbors in the crowded cluster fields. Contaminating stars were subtracted out by applying progressively cleaned versions of the PSF. The photometric measurements were made with a single PSF for each field + filter combination, as experiments indicated that the application of a spatially variable PSF did not yield tighter CMDs.

Photometric standard stars were observed in all three NIR filters on four different nights (the three nights when GC01 and GC02 were observed, plus one additional night). The instrumental $K'$ measurements were transformed into $K$ magnitudes. The zeropoints measured from the standard stars are listed in Table 2. The NIR zeropoints are 0.3–0.4 mag brighter than the fiducial values listed on the IRCS web pages.8 This suggests that RAVEN has a lower throughput than other AO systems used at Subaru, due in part to the transfer optics required to feed the light from the science pick-offs to the IRCS.

Sample completeness was estimated by running artificial star experiments. Artificial stars were assigned colors that fall along the sequences in the cluster CMDs, and an artificial star was only considered to be recovered if it was detected in at least two filters with a maximum matching radius of one-half the FWHM. The dispersions in the recovered magnitudes and completeness fractions were computed after applying an iterative 2.5$\sigma$ rejection filter to the mean difference between input and measured brightnesses in 0.5 mag intervals. With the exception of the $J$ observations of GC02, the magnitude at which incompleteness sets in is defined by crowding in the NIR data, rather than photon statistics. The photometric faint limits are thus much brighter than what would otherwise be expected from images recorded with an 8 m telescope.

| Filter | Zeropoint |
|--------|-----------|
| I      | 25.69 ± 0.09 |
| H      | 25.92 ± 0.09 |
| K      | 25.22 ± 0.09 |
| H$_{2}$O | 22.10 ± 0.1 |
| PAH    | 20.97 ± 0.1 |
| H$_{3}$+ | 20.34 ± 0.1 |

Note.

* Estimated uncertainty.

The (K, J – K) and (K, H – K) CMDs of GC01 are shown in Figure 2. The stars plotted in these CMDs were matched in filter pairs (i.e., $J$ and $K$ or $H$ and $K$, depending on the CMD), rather than requiring a match in all three filters. A maximum matching radius of one-half of the FWHM of the wider of the two PSFs was adopted—sources in one filter that did not have a match within this radius are not included in the CMDs. The 50% completeness levels determined from the artificial star experiments are indicated.

The error bars in Figure 2 show the ±1$\sigma$ uncertainties calculated from the artificial star experiments. The scatter near the faint end of the CMDs more-or-less matches the error bars. However, the scatter near $K \sim 13$ in the (K, J – K) CMD of GC01 exceeds that expected from random photometric uncertainties, and we attribute this to differential reddening. A reddening vector, with an amplitude corresponding to $\Delta A_K = 0.5$ mag, is shown in each panel of Figure 2, and it can be seen that $\Delta A_K$ of a few tenths of a magnitude can explain the scatter in the (K, J – K) CMD near $K = 13$. This scatter prevents us from measuring the slope of the giant branch, which might otherwise be used to estimate metallicity.

The fiducial giant branch sequence from the middle panel of Figure 4 of Ivanov et al. (2005) is shown as a solid green line in Figure 2. The Ivanov et al. (2005) photometry is in the $K_s$ filter system, and so their measurements may differ from those in $K$ by up to a few hundredths of a magnitude (e.g., Table 2 of Persson et al. 1998). The Ivanov et al. (2005) fiducial skirts the blue edge of the IRCS+RAVEN CMD. Assuming that the location of the Ivanov et al. (2005) fiducial indicates a lower mean extinction in the outer regions of GC01 then—based on the Nishiyama et al. (2009) reddening law—the typical $A_K$ toward the center of GC01 is ~0.15 mag higher than at larger radii. This higher reddening is perhaps not surprising given the broad range in $A_K$ that is found throughout GC01, coupled with the warm dust lane that cuts through the cluster center (Kobulnicky et al. 2005).

Ivanov et al. (2005) identify a concentration of stars near $K \sim 13$ in their CMD of objects within 20 arcsec of the cluster center that they suggest is the RC. The RC in the left hand panel of their Figure 4 forms a tilted sequence—likely due to differential reddening—and the locus of this sequence is shown in Figure 2. There is not an obvious corresponding sequence in the IRCS+RAVEN (K, J – K) CMD. Nevertheless, a concentration of stars due to the RC appears in the CMD after correcting for differential reddening (see below).

The NIR SED of stars near the center of GC01 is examined in Figure 3, where the (J – H, H – K) TCD is shown. The dotted line is the locus of points in Figure 5 of Ivanov et al. (2005), and there is good agreement with the RAVEN measurements. Fiducial sequences for red giants and Iab supergiants from Bessell & Brett (1988) are also shown in Figure 3, as is a reddening vector that tracks the Nishiyama et al. (2009) reddening law. Differences between various reddening laws become significant for highly obscured objects like GC01. Extrapolating the GC01 observations along the Nishiyama et al. (2009) relation comes closer to intersecting the area of the TCD that contains the unreddened colors of giants than extrapolating along vectors defined by the Rieke & Lebofsky (1985) and the $R_V = 3.1$ Cardelli et al. (1989) reddening laws. The Nishiyama et al. (2009) reddening law is thus adopted for the remainder of the paper.
Under ideal circumstances, reddenings for individual stars could be estimated by projecting each point on the TCD back to the unreddened giant sequence. However, there are large random uncertainties in the photometric measurements. Photometric variability contributes further to the smearing, though Adelman (2001) finds that variability is likely not a factor among RC stars, at least over timescales of a few years. Because scatter in the observations impedes efforts to identify an intrinsic color for individual stars, reddenings are estimated here by assuming that the stars in the GC01 CMDs have a common intrinsic color. This assumption is reasonable as our CMDs sample giants with MK between 0 and −3, and solar metallicity isochrones generated from the Marigo et al. (2008) models indicate that a narrow range of spectral types (K2III to K5III) is expected in this MK interval in old systems.

If the stars in the CMDs are assumed to have the intrinsic J − H and H − K colors of a K3 III star, then the mean reddening toward the center of GC01 is $A_K = 1.24 \pm 0.01$ based on the Nishiyama et al. (2009) reddening law. The uncertainty is the standard error of the mean. The reddening depends on the adopted intrinsic colors, and if it is assumed that the intrinsic NIR colors of each star match those of—say—an M1 III giant, which falls near the middle of the giant sequence in Figure 3, then the mean extinction would be $A_K = 1.13 \pm 0.01$.

The 1σ dispersion in $A_K$ computed from the TCD is ±0.13 mag. Given the scatter due to photometric errors and the assumption that all stars have the same intrinsic color, then this is likely an upper limit to the smearing caused by differential reddening. Sources with $A_K$ between 1 and 1.5 are well-mixed throughout the RAVEN+IRCS field, suggesting that significant variations in line-of-sight reddening toward stars in GC01 occur over sub-arcsec angular scales. An arcsec corresponds to a spatial scale of ~0.025 pc at the distance of GC01. Given that the obscuring material is either at the distance of GC01 or is in the foreground, the ISM toward the center of GC01 contains structure over spatial scales of no more than ~10⁴ au.

The CMDs constructed from the unreddened photometric measurements are shown in the top panels of Figure 4. The vertical sequences in these CMDs are the direct result of adopting a single intrinsic color when computing reddenings. The number of sources in the CMDs in Figures 2 and 4 are not the same because only stars that were detected in all three NIR filters have been de-reddened. Hence, the CMDs in Figure 4 contain fewer stars than those in Figure 2.

While the assumption of a common NIR SED for all stars suppresses color-related information in the reddening-corrected photometry, the reddening-corrected CMDs still contain useful information. There is a local peak in the number of stars near $K_0 \sim 11.9$–12.0 in Figure 4, which we identify as the RC. These stars have $K = 13.1$–13.2 if $A_K = 1.24$, which agrees with the RC magnitude found by Ivanov et al. (2005) at larger...
The shape of the LF in Figure 5 provides clues to the age of GC01, though features in LFs can be affected by stellar variability and uncertainties in the line-of-sight extinction, both of which cause smearing along the magnitude axis. Davidge (2000) discusses the K LF of the metal-rich globular cluster NGC 6528, and those data provide an empirical point of comparison for GC01. The LF of NGC 6528 shown in Figure 3 of Davidge (2000) climbs toward fainter magnitudes with the RC forming a pronounced peak. A smaller peak due to the RGB-bump (Iben 1968) is seen ~1 mag fainter than the RC. Finally, there is a marked jump in the NGC 6528 LF ~2 mag fainter than the RC that is due to the onset of the sub-giant branch (SGB).

There are similarities and differences between the GC01 and NGC 6528 LFs. The amplitude of the RC with respect to fainter stars in GC01 is comparable to that in NGC 6528. However, when considered over a wide range of magnitudes the LF of GC01 is more-or-less flat, and the ratio of stars that are brighter than the RC to those that are fainter is higher in GC01 than in NGC 6528. Unfortunately, the GC01 data do not go faint enough to sample the magnitude where the onset of the SGB occurs in NGC 6528.

Model LFs of SSPs constructed from the Marigo et al. (2008) isochrones are compared with the GC01 LF in Figure 5. The distance modulus applied to each model was set to match the brightness of the RC predicted by that model. The models have been scaled along the vertical axis to match the observations between $K_0 = 10$ and 12. This magnitude interval contains the majority of detected stars and is where the sample is statistically complete.

The amplitude of the RC in the LF contains information about age. The models in Figure 5 demonstrate that the amplitude of the drop to the faintward side of the RC is age-sensitive. Similar behavior can be seen in the compilation of open cluster CMDs examined by Van Helshoecht & Groenewegen (2007) that were used to establish their $M_K$ calibration of the RC. The nine clusters in their Figure 8 that show few if any stars faintward of the RC (IC4651, NGC 2090, NGC 2380, NGC 2477, NGC 2527, NGC 3680, NGC 3960, NGC 5822, and NGC 7789) have a mean age $\log(t_{yr}) = 8.87 \pm 0.07$, where the uncertainty is the formal error of the mean and the ages are taken from Table 3 of Van Helshoecht & Groenewegen (2007). In contrast, the mean age of the clusters that have a well-defined sequence faintward of the RC (Be 39, Mess 66, NGC 188, NGC 1817, NGC 2243, NGC 2506, NGC 2582, NGC 6633, NGC 6791, NGC 6819) is $\log(t_{yr}) = 9.25 \pm 0.12$. The difference in mean age between these two groups is significant at the 2.7$\sigma$ level.

The LFs of the log($t_{yr}$) = 8 and log($t_{yr}$) = 9 populations greatly over-estimate the amplitude of the drop in the LF faintward of $K_0 = 12$, though the former model matches the LF shape and number of stars when $K_0 < 11$. In both cases, the difference between the $K_0 = 12$ and 12.5 bins is exceeded by the models at more than the 10$\sigma$ level. The log($t_{yr}$) = 9 model also predicts a steep rise in the number counts at magnitudes brighter than the RC and a large increase in number counts at $K_0 = 13$ due to the onset of the main sequence. Corresponding features are not seen in the observations.

The log($t_{yr}$) = 9.9 model matches best the entire LF, though the agreement is far from ideal because the model does not reproduce the overall flat nature of the GC01 LF. In fact, the bright portions of the GC01 LF show similarities to the log($t_{yr}$) radii. There is also a drop in the number of stars in the ~0.5 mag interval fainter than the RC. The artificial star experiments indicate that the data are complete to $K_0 \sim 13.4$, and so the drop in number counts immediately below the RC in the CMD is not due to sample incompleteness.

The change in number counts near $K_0 = 12$ is examined in the lower panel of Figure 4, where cumulative number counts in 0.2 mag intervals are shown. The green dashed line is a least squares fit to the cumulative counts with $K_0 \leq 12$. The rate of growth in number counts changes significantly near $K_0 = 12$. Models of stellar evolution predict such a change to occur at magnitudes below the RC (see below).

Van Helshoecht & Groenewegen (2007) examine the brightness of the RC in clusters that span a range of metallicities and ages. They conclude that $M_K(\text{RC}) = -1.57 \pm 0.05$ in systems that have metallicities within a few tenths of a dex of solar and ages between 0.3 and 8 Gyr. Assuming that GC01 falls within this age range and has a near-solar metallicity, then it has a distance modulus $=13.6$, corresponding to a distance of 5.2 kpc.

The K LF of GC01, constructed from the de-reddened ($K, H - K$) CMD, is shown in Figure 5. The range of magnitudes has been restricted to those where artificial star experiments predict that the data are complete. A 0.5 mag bin width was adopted because it is the smallest that would produce meaningful numbers of stars per bin in this magnitude range. The discussion that follows will not change significantly if a different starting point for binning is adopted. The models that are compared with the GC01 LF (see below) were constructed using the same binning parameters as the observations in an effort to further mitigate against binning errors.

![Figure 3](image-url) Figure 3. ($J - H$, $H - K$) two-color diagram of stars near the centers of GC01 (open squares) and GC02 (filled squares). The error bars show the ±1σ uncertainties estimated from artificial star experiments for objects in GC01 with $K = 14$. The solid and dashed red lines are fiducial sequences for Galactic giants and Iab supergiants from Bessell & Brett (1988). A reddening vector that follows the Nishiyama et al. (2009) reddening law and has a length that corresponds to $\Delta K = 0.5$ mag is shown. The trend defined by this vector links the fiducial and GC01 observations. The dotted green line is the locus of points plotted in Figure 5 of Ivanov et al. (2005).
The CMDs of GC01. The subscript \( \text{CMD} \). Assuming a K3III diagram and assuming intrinsic colors matching those of a K3 giant. The CMD is comparable to that in the GC01 CMD in CMDs of GC02 are shown.

The locations of points in GC02 on the \((J - H, H - K)\) TCD is shown in Figure 3. As was the case with GC01, the Nishiyama et al. (2009) reddening vector links the fiducial and observed sequences. Applying the procedure discussed in Section 3.1, the mean reddening based on the TCD is \( \langle A_K \rangle = 1.95 \pm 0.03 \) if the stars have a K3III spectral-type. This is based on only a handful of measurements, and an estimate that involves more points can be obtained using the mean color in the \((K, H - K)\) CMD. Assuming a K3III spectral-type, then \( E(H-K) = 1.26 \), so that \( A_K \sim 1.73 \), with an extinction in \( H \) when compared with \( J \). If \( A_K \sim 1.7 \) mag (see below), then the total extinction in \( J \) as \(~2.5\) mag higher than in \( H \), and this accounts for the difference in 50\% completeness levels between the two CMDs in Figure 6. The scatter in the \((K, H - K)\) CMD is comparable to that in the GC01 CMD in Figure 2, although the GC02 \((K, H - K)\) CMD goes 2–3 mag deeper. The difference in photometric depth is due to the lower density of sources at a given \( K \) in GC02, with the result that crowding sets in at a fainter magnitude than in GC01. In the Appendix, it is shown that the [3.6] surface brightness near the center of GC02 is \(~2.5\) magnitudes arcsec\(^{-2}\) lower than in GC01. If it is assumed that the two clusters have the same distances and that their LFs have the same shape—but are scaled according to surface brightness—then this lower surface brightness can account for much of the difference in depths between the GC01 and GC02 observations.

The locations of points in GC02 on the \((J - H, H - K)\) TCD is shown in Figure 3. As was the case with GC01, the Nishiyama et al. (2009) reddening vector links the fiducial and observed sequences. Applying the procedure discussed in Section 3.1, the mean reddening based on the TCD is \( \langle A_K \rangle = 1.95 \pm 0.03 \) if the stars have a K3III spectral-type. This is based on only a handful of measurements, and an estimate that involves more points can be obtained using the mean color in the \((K, H - K)\) CMD. Assuming a K3III spectral-type, then \( E(H-K) = 1.26 \), so that \( A_K \sim 1.73 \), with an extinction in \( H \) when compared with \( J \). If \( A_K \sim 1.7 \) mag (see below), then the total extinction in \( J \) as \(~2.5\) mag higher than in \( H \), and this accounts for the difference in 50\% completeness levels between the two CMDs in Figure 6. The scatter in the \((K, H - K)\) CMD is comparable to that in the GC01 CMD in Figure 2, although the GC02 \((K, H - K)\) CMD goes 2–3 mag deeper. The difference in photometric depth is due to the lower density of sources at a given \( K \) in GC02, with the result that crowding sets in at a fainter magnitude than in GC01. In the Appendix, it is shown that the [3.6] surface brightness near the center of GC02 is \(~2.5\) magnitudes arcsec\(^{-2}\) lower than in GC01. If it is assumed that the two clusters have the same distances and that their LFs have the same shape—but are scaled according to surface brightness—then this lower surface brightness can account for much of the difference in depths between the GC01 and GC02 observations.

The locations of points in GC02 on the \((J - H, H - K)\) TCD is shown in Figure 3. As was the case with GC01, the Nishiyama et al. (2009) reddening vector links the fiducial and observed sequences. Applying the procedure discussed in Section 3.1, the mean reddening based on the TCD is \( \langle A_K \rangle = 1.95 \pm 0.03 \) if the stars have a K3III spectral-type. This is based on only a handful of measurements, and an estimate that involves more points can be obtained using the mean color in the \((K, H - K)\) CMD. Assuming a K3III spectral-type, then \( E(H-K) = 1.26 \), so that \( A_K \sim 1.73 \), with an
Figure 5. $K_0$ LF of GC01, with number counts from the de-reddened CMDs shown in Figure 4. $K_0$ is the de-reddened $K$ magnitude, while $n_{0.5}$ is the number of stars per 0.5 mag interval in $K$. Artificial star experiments indicate that the data are complete to $K_0 = 13.5$, and the completeness in the faintest bin is $\sim$50%. The error bars show $\pm 1\sigma$ uncertainties calculated from counting statistics. Model LFs constructed from solar metallicity isochrones from Marigo et al. (2008) with ages $\log(t_{yr}) = 8.0, 9.0, 9.9$ are shown as dashed lines. The models have been normalized to match the number of sources with $K_0$ between 10 and 12. This magnitude interval contains many of the detected stars, and sample magnitudes where the number counts are complete. The youngest models do not match the overall trends in the LF: the log($t_{yr}$) = 8 model underestimates the number of sources with $K_0 > 12$ by more than an order of magnitude, whereas the log($t_{yr}$) = 9.0 model overestimates the amplitude of the RC. The log($t_{yr}$) = 9.9 model matches the amplitude of the discontinuity near $K_0 = 12$ and yields the best overall match with the observations, although the number of stars with $K_0$ between 10 and 11.5 is underestimated by a factor of two.

estimated uncertainty of $\pm 0.1$ mag. This reddening is adopted for the remainder of the paper given the larger number of points involved in its calculation. If the RC occurs near $K = 14.4 \pm 0.15$ (Kurtev et al. 2008), then the distance modulus of GC02 is $14.2 \pm 0.2$, corresponding to a distance of $6.9 \pm 0.5$ kpc. As with GC01, the RC magnitude calibration from Van Helsbech & Groenewegen (2007) has been adopted, and the $\pm 0.05$ mag uncertainty in the $M_K$ of the RC has been included when calculating the uncertainty in the distance.

The majority of stars in the CMDs are fainter than the RC, indicating that IRC+RAVEN may have detected stars in GC02 that are evolving on the lower giant branch. This opens the possibility of estimating an age based on the presence/absence of the SGB. The $K$ LF of GC02, with number counts taken from the $(K, H - K)$ CMD, is shown in Figure 7. There is an increase in number counts between $K = 15$ and 17, at which point the LF levels off. There are no stars detected near $K = 14.4$, likely due to the modest density of stars in this field. The LF of GC02 thus differs from that of GC01 in Figure 5, which is flat in the 1.5 mag interval fainter than the RC.

Kurtev et al. (2008) suggest that GC02 is an old metal-rich globular cluster. As such, it should have an LF that is similar to those of the old, metal-rich clusters NGC 6528 and Liller 1. However, the onset of the SGB that occurs $\sim 3$ mag in $K$ fainter than the RC in the LFs of the metal-rich globular clusters NGC 6528 and Liller 1 that are shown in Figure 3 of Davidge (2000) is not evident in the GC02 LF. The absence of an SGB could indicate that the distance modulus of GC02 is in error, though in Section 4.2.2, it is shown that the brightness of the RGB-tip in GC02 measured from Spitzer images is consistent with that found by Kurtev et al. (2008).

Another possibility is that GC02 may have an age that is very different from that of NGC 6528 and Liller 1. Model LFs constructed from solar metallicity isochrones from Marigo et al. (2008) are compared with the observations in Figure 7. The log($t_{yr}$) = 9.2 and 9.9 models are shown for a distance modulus of 13.7, and these predict that the RC occurs in the $K = 14$ to 14.5 interval, as observed. However, the RC in the log($t_{yr}$) = 9.0 model occurs $\sim 0.5$ mag fainter than found by Kurtev et al. (2008) if the distance modulus is 13.7. A distance modulus of 13.2 was thus assumed for this model to force agreement with the observed magnitude of the RC.

There are sizeable error bars at all magnitudes in Figure 7, and the modest number of stars means that the amplitude of the RC with respect to stars in adjacent magnitude bins, which was used to explore the age of GC01, cannot be used to constrain the age of GC02. Neither the log($t_{yr}$) = 9.2 nor 9.9 models match the overall shape of the LF. While the log($t_{yr}$) = 9.9 model agrees with the number counts between $K = 15$ and 17, the model counts climb when $K > 17.5$ due to the onset of the SGB, and this is not seen in the observations. A similar disagreement is also seen near the faint end of the log($t_{yr}$) = 9.2 model.

4. SPITZER AND MIR NARROW-BAND PHOTOMETRY

Observations at wavelengths $> 2.5 \mu m$ provide information about the properties of late-type cluster members. The line-of-sight extinction at these wavelengths is lower than at shorter wavelengths, and—when compared with the NIR and visible regions—there is also improved contrast between the redder stars and the (bluer) main body of the cluster. This raises the possibility that bright red stars in the dense central cluster regions might be resolved with only minimal contamination from bluer, intrinsically fainter cluster members. Finally, the SEDs of stars at these wavelengths provide checks on the reddenings measured at shorter wavelengths.

Two data sets are used in this section to examine the photometric properties of stars in GC01 and GC02 at wavelengths longward of 2.5 $\mu m$. One data set consists of the narrow-band images that were recorded with RAVEN+IRCS and were described in Section 2. While having a modest science field, these images have angular resolutions that approach the diffraction limit of an 8 m telescope, and so provide checks on crowding among bright red stars in data sets that have poorer angular resolutions. These data are also used to extend the SEDs of bright stars in both clusters to wavelengths longward of 2.5 $\mu m$, and are used to check reddening.

The other data set consists of [3.6] and [4.5] images that were recorded as part of the GLIMPSE survey. The Spitzer observations cover a large area on the sky, allowing a comprehensive census of the brightest stars in and around the clusters. Details of the GLIMPSE survey are discussed by Benjamin et al. (2003). The survey was conducted in all four IRAC bands with an exposure time per 1.2 arcsec pixel of 2 s. The images used here were extracted from post-basic calibrated
data (PBCD) mosaics that have been re-sampled to 0.6 arcsec pixels. 0.3 × 0.3 degree sections of the PBCD mosaics that are centered on both clusters were downloaded from the NASA/IPAC Infrared Science Archive. The angular resolution of the [3.6] and [4.5] observations is ∼1.7 arcsec FWHM (Fazio et al. 2004), potentially complicating efforts to resolve individual stars near the cluster centers. A [3.6] image of each cluster is shown in Figure 8.

Photometric measurements of the SPITZER images of both clusters and of the RAVEN GC01 observations were made with ALLSTAR (Stetson & Harris 1988), with PSFs constructed using the procedures described in Section 3. Because of the low stellar density, stellar brightnesses in the GC02 RAVEN H2O data were measured with the PHOT routine in DAOPHOT (Stetson 1987). The SPITZER photometry was calibrated using the zeropoints listed in Table 7 ofReach et al. (2005). The calibration of the narrow-band measurements is based on observations of Gliese 748 (GI748). The SED of GI748 in the 2.0–3.5 μm interval is assumed to follow that of GI273, which has the same spectral-type as GI748 (M3.5V) and has flux densities tabulated by Rayner et al. (2009). The magnitudes measured from the narrow-band observations are in the AB system (Oke & Gunn 1983).

GI748 is a binary system, with a component separation of 0.1–0.2 arcsec, that is listed as a photometric standard by Elias et al. (1982) and Leggett et al. (2003). However, after the RAVEN+IRCS data were obtained, we became aware of the work of Franz et al. (1998), who found that the difference in V magnitude between the two components differed by up to 0.24 mag over a 2.5 year period. The standard deviation of the magnitude difference obtained over 14 different epochs is ±0.09 mag. While this is a source of concern, the narrow-band filters sample the tail end of the SED of both components of GI748, and so the SED shape—and hence color—of GI748 at wavelengths >3 μm likely does not vary significantly with time.

The uncertainty in the photometric calibration of the narrow-band measurements is estimated to be ±0.1 mag, and the zeropoints are shown in Table 2. This calibration of the narrow-band photometry indicates that the overall throughput of RAVEN+IRCS drops considerably with wavelength when λ > 2.5 μm. We note that the RAVEN optics were not designed to work at these wavelengths, and so the poor throughput is not a surprise.

4.1. Glimpse C01

4.1.1. GC01 Narrow-band Observations

The (PAH, H2O – PAH) and (PAH, PAH–H3+) CMDs of GC01 are shown in Figure 9. The reddening vector has a near-vertical trajectory in both CMDs, and so differential reddening mainly blurs features in the CMDs along the magnitude axis. The smearing is expected to be ±0.09 mag along the PAH axis.
based on the dispersion in the extinction found from the NIR TCD. Smearing along the color axis is modest, and the giant branch of GC01 is clearly seen in both CMDs.

The narrow-band measurements can be used to check the reddening estimated from the TCD in the previous section. The mean SED of GC01 stars in the 1–3.5 μm interval, normalized to the signal in $K$, are shown in the top panel of Figure 10. Also plotted in Figure 10 is the SED of the K3III star HR8925 based on the $JHK$ magnitudes and the flux density measurements given by Rayner et al. (2009). The SED of HR8925 has been reddened by applying the Nishiyama et al. (2009) reddening law for $A_K = 1.1$ and 1.4, which is the ±1σ range in $A_K$ found for GC01 in Section 3.1. The mean GC01 SEDs between 1 and 3.5 μm match that of the reddened HR8925 SED—the long wavelength measurements are thus consistent with the extinction found at shorter wavelengths.

The PAH observations of GC01 have an angular resolution that is approximately two times finer than the NIR measurements, and so can be used to assess crowding in the NIR data. The PAH LF constructed from sources in the (PAH, H$_{2}$O − PAH) CMDs is shown in Figure 11. The onset of the PAH LF in Figure 11 occurs near PAH $\sim$ 13.5.

Also shown in Figure 11 is the $K$ LF of GC01, where only sources that are in the same area that was observed through the PAH filter have been counted. The PAH measurements have not been corrected for differential reddening, and so for consistency the $K$ number counts in Figure 11 were taken from the ($K$, $H − K$) CMD, which also was not corrected for differential extinction. The $K$ LF in Figure 11 has also been shifted along the magnitude axis by an amount equal to the

![Figure 7](image)

**Figure 7.** $K$ LF of GC02, with number counts taken from the ($K$, $H − K$) CMD. $n_{0.5}$ is the number of points per 0.5 mag interval in $K$. The error bars show ±1σ uncertainties computed using counting statistics. Artificial star experiments indicate that the data are 50% complete in the last bin, and are complete in the brighter bins. The green lines are model LFs constructed from the solar metallicity Marigo et al. (2008) isochrones with $\log(t_{ff}) = 9.9$, 9.2, and 9.0. The models have been normalized to match the observations between $K = 16$ and 18. The $\log(t_{ff}) = 9.2$ and 9.9 models assume a distance modulus of 14.2, whereas the $\log(t_{ff}) = 9.0$ model has been assigned a distance modulus of 13.7 to force the model brightness of the RC to occur at $K = 14.4$.

$K − PAH$ color of a $K$ giant that is viewed through $A_K = 1.24$ mag of extinction.

If sources blend together they will appear as a single object that is brighter than the individual components. If the frequency of blending is high among the most luminous members of a system, then a population of objects that is brighter than the individual brightest stars will be seen, and the overall effect of blending on the LF will be to shift it along the magnitude axis to brighter values. The two LFs in Figure 11 agree over an ~2 mag interval at the bright end, suggesting that crowding is not an issue among the brightest stars in the $K$ observations.

4.1.2. GC01 SPITZER Observations

The ([4.5], [3.6]−[4.5]) CMDs of sources in different annuli centered on GC01 are shown in Figure 12. The vertical plume in ([4.5], [3.6]−[4.5]) CMDs can be populated by a diverse mix of stars with a wide range of effective temperatures. Unlike at shorter wavelengths and with the exception of all but the coolest sources, there is only a small dispersion in the intrinsic [3.6]−[4.5] colors of stars as the [3.6] and [4.5] filters sample the descending red edge of the SED.

Comparisons between star counts made from the SPITZER observations at various distances from the cluster center indicate that cluster members dominate the number counts out to 30–48 arcsec from the center of GC01. Of the 80 stars
with [4.5] between 8 and 12 in the 30–48 arcsec CMD in Figure 12, source counts in the 60+ arcsec CMD suggest that only 15 of these are field stars if non-cluster stars are assumed to be uniformly distributed. The number of field stars is actually slightly lower than this because there is modest contamination from the outer regions of GC01 at radii >60 arcsec (see the Appendix).

The ([4.5], [3.6]–[4.5]) CMD of objects between 18 and 48 arcsec from the center of GC01 is compared with isochrones from Marigo et al. (2008) in Figure 13. A distance modulus of 13.6 and $A_K = 1.24$ has been assumed, with extinction applied according to the Nishiyama et al. (2009) reddening law. The 60% silicate and 40% AlOx mix for circumstellar dust from Groenewegen (2006) has been adopted, though the models are not sensitive to the chemistry of the circumstellar envelope at these wavelengths (e.g., Davidge 2014). Models with solar and half-solar metallicities are shown.

Boyer et al. (2015) define extreme AGB stars to have unreddened [3.6]–[4.5] colors >0.1. If such objects are present in GC01, then they will form a population of objects with [4.5] > 8 that will also have [3.6]–[4.5] colors that exceed those of the GC01 locus as defined when [4.5] > 8. While we cannot distinguish between AGB stars that do not have warm circumstellar dust envelopes and RGB stars based solely on [3.6]–[4.5] colors, cluster members that are brighter than the RGB-tip should be evolving on the AGB.

The peak observed stellar brightness in a system depends not only on its age and metallicity, but also on the overall mass of the system, because there is a low probability of occupation in the portions of CMDs that sample rapid phases of evolution. The isochrones predict that the AGB may extend to [4.8] ≤ 5 at the distance of GC01. There are objects as bright as [4.5] = 6 in the innermost annulus. Given that all stars in the middle two panels have [4.5] ≥ 8, then if the stars with [4.5] = 6 are blends they must be unresolved asterisms that are made up of multiple stars. Such blending is feasible given the density of moderately bright objects in our field (e.g., Figure 11).

The CMDs of objects located between 18 and 48 arcsec from the cluster center indicates that there is a drop in star counts when [4.5] ≤ 8. This is not due to saturation in the cores of stellar images because numerous stars that have [4.5] between 8 and 6 mag are seen in the right hand panel of Figure 12. We note that [4.5] = 8 is more-or-less consistent with the peak brightness found by Ivanov et al. (2005) in $K$ if it is assumed that the stars do not have excess thermal emission, as expected given their [3.6]–[4.5] colors. The expected location of the RGB-tip is indicated for each model, and—unless GC01 has an age <1 Gyr—the vast majority of stars detected in the SPITZER images within 60 arcsec of the center of GC01 are evolving on the RGB. To the extent that the distance modulus and models are correct and that the RGB-tip brightness occurs near [4.5] = 8, then the models suggest that GC01 has an age between 1 and 2.5 Gyr.

The [4.5] LF of GC01 stars in the 18–48 arcsec interval is shown in Figure 14. The LF is restricted to [4.5] < 11.5 because this is where the artificial star experiments suggest that sample completeness exceeds 50%. A statistical correction for non-cluster stars was made by subtracting number counts of

**Figure 9.** (PAH, H$_{2}$O – PAH) and (PAH, PAH–H$_{3}+$) CMDs of GC01. Magnitudes are in the AB system. The error bars show typical ±1σ uncertainties calculated by ALLSTAR. Reddening vectors that follow Equation (4) of Nishiyama et al. (2009) are also shown.
sources that are more than 60 arcsec from the cluster center after scaling to account for differences in area. While diffuse cluster light can be traced to radii in excess of 60 arcsec (see the Appendix), the density of cluster stars at these radii is much lower than in the inner regions of the cluster, and the star counts are dominated by field stars. In fact, the correction for field stars produces only a modest change in number counts in the GC01 LF. Artificial star experiments indicate that the uncertainties in [4.5] are $\lesssim0.1$ mag in the magnitude range shown, and so bin-to-bin blurring is modest.

Comparisons are made with model LFs in Figure 14. The model LFs do not vary greatly with metallicity in the range of magnitudes considered, and so only solar metallicity models are shown. The models have been shifted along the vertical axis to match the number counts between $[4.5]=9$ and 11 to avoid magnitudes where the sample is not complete. The overall shape of the LF is consistent with that predicted by models of stellar evolution. This is a robust result, that is largely independent of the assumed age.

4.2. Glimpse C02

4.2.1. GC02 Narrow-band Observations

Given that only a modest number of stars were detected in the H$_2$O observations of GC02, we do not examine the (H$_2$O, K – H$_2$O) CMD of this cluster. The mean SED of bright stars near the center of GC02 in the 1–3.1 $\mu$m interval is examined in the lower panel of Figure 10. It should be recalled that GC02 was not observed through the PAH and H3+ filters because of the inherent faintness of the member stars (Section 2). The lack of PAH and H3+ measurements notwithstanding, the H$_2$O observations extend the mean SED well past 2 $\mu$m. The dashed red lines show the SED of the K3III star HR8925 reddened by $\pm0.1$ mag about the $A_K$ found from the ($K$, $H-K$) CMD. The SEDs of bright stars observed near the center of GC02 are consistent with them being highly reddened late-type giants.

4.2.2. GC02 SPITZER Observations

The ([4.5], $[3.6]$–[4.5]) CMDs of objects in four radial intervals centered on GC02 are shown in Figure 15. A vertical plume of cluster members is evident at small radii. There are no objects near the top of the GC02 CMD with $[3.6]$–[4.5] colors that fall redward of the main locus of points, which would be candidate highly evolved AGB stars belonging to GC02. The brightest stars in the 24–36 arcsec CMD have magnitudes that are comparable to those in the 0–18 arcsec CMD, suggesting that the brightest objects detected near the center of GC02 in the $[3.6]$ and [4.5] images may not be blends.

The CMD of GC02 is compared with isochrones from Marigo et al. (2008) in Figure 16. There is an $\sim0.2$ mag offset along the $[3.6]$–[4.5] axis between the GC02 sequence and the models. A similar offset is not seen in the GC01 photometry (e.g., Figure 13). We have compared our PSF-based photometric measurements for a sample of isolated objects with $[3.6]$ between 9 and 10 with those in published GLIMPSE source catalogs made from aperture measurements, and find agreement to within a few hundredths of a magnitude. Thus, the offset in $[3.6]$–[4.5] is not the result of errors in our photometric measurements.

Figure 10. Mean SEDs of bright stars in GC01 (top panel) and GC02 (bottom panel) in the 1–3.5 $\mu$m interval. All magnitudes are measured with respect to those in $K$. The error bars in the cluster measurements show the error in the mean at each wavelength. The solid red line is the SED of the K3III star HR8925, constructed from the magnitudes and flux densities given by Rayner et al. (2009). The error bars in the HR8925 curves are those cited by Rayner et al. (2009). The dashed lines show the SED of HR8925 after applying the Nishiya et al. (2009) reddening law. There is good agreement between the cluster and HR8925 SEDs.

Figure 11. LFs of GC01 in the PAH (solid green line) and $K$ (dashed black line) filters. The $K$ and PAH number counts are complete in the magnitude range shown, while the random photometric errors in this magnitude range are a fraction of the 0.5 mag bin size. The PAH number counts are taken from the (PAH, H$_2$O – PAH) CMD, while those in $K$ are from the ($K$, $H – K$) CMD in Figure 2. The $K$ magnitudes have been shifted along the magnitude axis to account (1) for the $K$ – PAH color of a K giant and (2) for differences in extinction between the $K$ and PAH filters. Neither data set has been corrected for differential reddening, and the LFs consider only sources that are in the area that is common to both the PAH and $K$ observations. That the LFs agree near the bright end indicates that blending is not a factor among the brightest stars in the $K$ image, despite the larger FWHM of the $K$ PSF.
We are unsure as to the origin of the offset in [3.6]–[4.5] color, though there is a tendency in the r > 60 arcsec CMD for objects with [4.5] > 12 to have larger [3.6]–[4.5] colors than those with [4.5] < 12. There is heavy extinction toward GC02, and a correlation between magnitude and color will occur if the brightest stars, many of which are presumably nearby if they are not cluster members, are subject to lower levels of extinction than the fainter objects, which presumably tend to be more distant, and so have a greater chance of being more heavily obscured. However, the size of the offset in [3.6]–[4.5] is hard to explain with reddening. Uncertainties in $A_K$ of a few magnitudes have only a minor impact on the position of the models at these wavelengths, and the extinction toward GC02 would have to be $A_K \sim 4$ to produce the color difference. As for the possibility of an abnormal reddening law toward GC02, the variations in line-of-sight extinction that are seen at visible wavelengths are much reduced at wavelengths longward of 1 $\mu$m (e.g., Indebetouw et al. 2005). Given the unexplained red [3.6]–[4.5] colors, we caution that the [3.6] and [4.5] photometry of GC02 may have uncertainties of a few tenths of a magnitude.

Uncertainties in the photometry on the scale of 10%–20% notwithstanding, the models predict that stars evolving on the AGB in GC02 will depart from a near-vertical trend of $\sim 2$–3 mag above $[4.5] = 9.5$, which is the brightness that we assign to the RGB-tip. The uncertainties in the calibration of the \textit{SPITZER} data near GC02 indicate that the RGB-tip brightness is uncertain by a few tenths of a magnitude. Still, this [4.5] mag for the RGB-tip corresponds to $K \sim 10$, which is the magnitude of the brightest star along the cluster ridgeline drawn in the middle panel of Figure 2 of Kurtev et al. (2008). The isochrones suggest that the majority of stars detected in the \textit{SPITZER} images are evolving on the RGB, though there is one object with $[4.5] = 7.3$ that may be on the AGB if it is a cluster member. The intrinsic brightness of the RGB-tip in GC02 is similar to that in GC01, and if the RGB-tip occurs near $[4.5] = 9.5$, then the isochrones predict an age between 1 and 2.5 Gyr.

The [4.5] LF of stars between 0 and 36 arcsec in GC02 is shown in Figure 17. The entries have been corrected for non-cluster sources by subtracting the LF of objects with $r > 60$ arcsec after adjusting for differences in areal coverage. As was the case for GC01, while stars that belong to GC02 are present at radii $>60$ arcsec, their number density is low when compared with those at smaller radii (e.g., see the Appendix), and stars in the field dominate the number counts. The fractional contamination by non-cluster stars becomes significant when $[4.5] > 12$, and so only this part of the LF is shown.

The [4.5] LF is compared to solar metallicity model LFs in Figure 17. The statistical significance of any difference between the observations and the various models in Figure 17 is low. The shallow nature of the GLIMPSE survey prevents the RC from being sampled in GC02, and this severely limits conclusions that might otherwise be drawn from comparisons with model LFs.
AO-corrected images that span the 1–3.5 μm wavelength interval have been used to probe the stellar contents of the star clusters GC01 and GC02. These clusters are heavily reddened and are subject to significant contamination from non-cluster stars owing to their location at low Galactic latitudes. NIR and MIR imaging of their central regions, where the fractional contamination from foreground and background stars is lowest, offers a promising means of determining their age and distance.

\[ \text{JHK and narrow-band images, with the latter sampling the 3–3.5} \mu \text{m wavelength interval, were recorded with the IRCS and the RAVEN AO science demonstrator on the Subaru telescope. Stars in the narrow-band images have an FWHM that is within a few hundredths of an arcsec of the telescope diffraction limit, demonstrating that good image quality can be delivered by MOAO systems that work in open-loop. While the narrow-band images are shallower than the NIR images, they provide a means of checking if crowding has affected photometric measurements obtained from images that have poorer angular resolutions. The narrow-band images also allow the SEDs of cluster stars to be extended into the MIR, providing additional wavelength leverage for checking reddening estimates. The SEDs obtained here cover the 1–3.5 \mu \text{m wavelength interval, and are consistent with the brightest objects in each field being heavily reddened K giants. The combined NIR and MIR SEDs are consistent with the mean reddenings obtained from the NIR photometry, which are } A_K = 1.24 \pm 0.13 \text{ for GC01 and } A_K = 1.73 \pm 0.10 \text{ for GC02.}

Archival [3.6] and [4.5] Spitzer images that were recorded for the GLIMPSE survey have also been examined. While having an angular resolution that is almost an order of magnitude larger than that of the IRCS + RAVEN images, the angular coverage of the GLIMPSE survey allows for a statistical assessment of non-cluster sources to be made. The CMDs constructed from the SPITZER data provide information about the luminosity and spatial distribution of bright stars in each cluster. The RGB-tip measurements obtained from the SPITZER data are consistent with those made previously in the NIR.

We preface our discussion of these clusters with a cautionary note. The bright stellar content in the central regions of some dynamically evolved clusters is not representative of what is seen outside of their cores (e.g., Davidge 1995), and this may bias efforts to probe stellar content. Number counts made from the Spitzer images suggest that stars in GC01 can be detected in statistically significant numbers with respect to foreground/background objects out to at least 30 arcsec from the cluster center, and the same holds for GC02. Assuming a distance of 5.2 kpc for GC01, then 30 arcsec corresponds to ∼0.8 pc, which is comparable to the core radius of a typical globular cluster, and is an order of magnitude smaller than the typical half light radius (e.g., van den Bergh & Mackey 2004). In the Appendix, we show that light from GC01 and GC02 can be

Figure 13. Comparisons with solar (left hand panel) and half-solar (right hand panel) metallicity isochrones from Marigo et al. (2008). The crosses show objects that are between 18 and 48 arcsec from the center of GC01, and the error bars show ±1σ uncertainties from artificial star experiments. Models with ages of 1 Gyr (solid red), 2.5 Gyr (dashed red), and 10 Gyr (dashed green) are shown for a distance modulus of 13.6 and \( A_K = 1.24 \). The predicted brightnesses of the RGB-tip for ages—from bottom to top—1 Gyr, 2.5 Gyr, and 10 Gyr are also shown.
the slope of the GC01 LF between number counts in the magnitude interval that is indicated. The models match have been assumed, with the models shifted along the vertical axis to match the members has been made by subtracting the area-scaled LF of sources with isochrones are also shown. A distance modulus of 13.6 and between 18 and 48 arcsec from the cluster center. The error bars show at large radii.

presents a daunting obstacle for efforts to identify cluster stars will undoubtedly provide additional clues about the distance and GC02 that have not been explored to date, and these stars of cluster members awaits discovery in the large areas of GC01 isochrones. A distance modulus of 13.6 and within the range of LMC clusters where it has been suggested that multiple episodes of star formation have occurred, and it is intriguing that the $K$ LF of GC01 shows characteristics at the bright end that are consistent with young and intermediate age populations, while the faint end of the LF is more consistent with that of an old population.

The age estimate gleaned here from evolved stars can be checked by measuring the brightness of the MSTO. However, differential reddening smears the photometric measurements, thereby complicating this task. One strategy to reduce the impact of differential reddening would be to use deep AO-corrected integral field unit spectroscopy in the NIR to identify candidate MSTO stars. If spectral types can be established, then intrinsic colors can be assigned, making it possible to construct a de-reddened CMD that samples the MSTO.

While GC01 is viewed through $A_V \sim 11$ mag of extinction, spectra of its integrated light at optical wavelengths will also provide insights into its stellar content and metallicity. The detection of deep Balmer absorption lines would be one signature of an intermediate age population. The metallicity of GC01 could also be measured from the strengths of various atomic and molecular features in the integrated spectrum. Given that GC01 falls within the Solar Circle, a solar or supersolar metallicity would argue that it formed in situ. A metallicity that is one-half solar would be consistent with it having formed from material that likely originated outside of the Solar Circle if its age is less than a few Gigayears.

5.2. GC02

GC02 is a challenging target for stellar content studies because it is heavily extincted, though the absorbing material appears to be uniformly distributed. Kurtev et al. (2008) consider GC02 to be an old, metal-rich globular cluster.

Figure 14. [4.5] LF of GC01, based on the ([4.5], [3.6]–[4.5]) CMDs of objects between 18 and 48 arcsec from the cluster center. The error bars show $\pm 1\sigma$ uncertainties computed from counting statistics. A correction for non-cluster members has been made by subtracting the area-scaled LF of sources with $r > 60$ arcsec. Model LFs from solar metallicity Marigo et al. (2008) isochrones are also shown. A distance modulus of 13.6 and $(A_K) = 1.24$ have been assumed, with the models shifted along the vertical axis to match the number counts in the magnitude interval that is indicated. The models match the slope of the GC01 LF between $[4.8] = 8$ and 11.

traced out to radii of at least 100 arcsec. Thus, a rich population of cluster members awaits discovery in the large areas of GC01 and GC02 that have not been explored to date, and these stars will undoubtedly provide additional clues about the distance and age of these clusters. Contamination from non-cluster stars presents a daunting obstacle for efforts to identify cluster stars at large radii.

5.1. GC01

GC01 is of interest for studies of the evolution of the Galactic disk because it is one of the most massive clusters that may have formed during intermediate epochs (Davies et al. 2011). The formation of large, compact clusters is often associated with interactions and/or starburst events (e.g., Ashman & Zepf 2001). Does the age of GC01 coincide with a past event that may have influenced Galactic evolution? Davies et al. (2011) conclude that GC01 is not an old globular cluster, and assign it an age between 0.3 and 2 Gyr, with the most probable age between 0.4 and 0.8 Gyr. Davies et al. (2011) further suggest that the formation of GC01 may be linked to a past encounter between the Galactic disk and the Magellanic Clouds. In fact, Rezaei et al. (2014) find peaks in the SFRs of the LMC and SMC $\sim 0.7$ Gyr in the past, which they suggest may be linked to an interaction with the Galaxy.

The observations discussed here do not support the formation of GC01 within the past gigayear, though the amplitude of the RC in the $K$ LF is consistent with the older end of the Davies et al. (2011) age range. While our data suggest that GC01 may be too old to have formed as part of the most recent interaction with the Magellanic Clouds, it does not rule out its formation during previous interactions. This being said, proper motion measurements suggest that the Magellanic Clouds may either be on their first approach to the Galaxy or that their orbital period about the Galaxy is much longer than once thought (Besla et al. 2007). In any event, the presence of young clusters with masses $>10^4 M_\odot$ like Westerlund 1 and the Arches suggests that clusters with masses approaching that of GC01 may form naturally throughout the lifetime of the Galaxy, without the need for an external trigger.

Uncertainties in the origins of GC01 notwithstanding, its study may provide clues into the evolution of compact intermediate age clusters. There are hints that star formation is occurring along the GC01 line of sight. SPITZER images of GC01 show a prominent dust lane projected against the cluster (Kobulnicky et al. 2005), and the star-to-star differences in mean extinction found in Section 3 indicate that the dust distribution is clumpy, with a characteristic size that is consistent with that of individual stellar systems (e.g., Larson 1995). There are also candidate young stellar objects (YSOs) seen near GC01 on the sky (Kobulnicky et al. 2005). It is not known if the dust clumps and candidate YSOs are physically associated with the cluster, or are chance superpositions.

Goudfrooij et al. (2014) present evidence for multiple periods of star-forming activity in massive LMC clusters, and investigate the characteristics of clusters where such activity might occur. Mechanisms other than multiple episodes of star formation have been proposed to explain the properties of these clusters (e.g., Brandt & Huang 2015; Niederhofer et al. 2014, and references therein). The estimated mass of GC01 falls within the range of LMC clusters where it has been suggested that multiple episodes of star formation have occurred, and it is intriguing that the $K$ LF of GC01 shows characteristics at the bright end that are consistent with young and intermediate age populations, while the faint end of the LF is more consistent with that of an old population.

The age estimate gleaned here from evolved stars can be checked by measuring the brightness of the MSTO. However, differential reddening smears the photometric measurements, thereby complicating this task. One strategy to reduce the impact of differential reddening would be to use deep AO-corrected integral field unit spectroscopy in the NIR to identify candidate MSTO stars. If spectral types can be established, then intrinsic colors can be assigned, making it possible to construct a de-reddened CMD that samples the MSTO.

While GC01 is viewed through $A_V \sim 11$ mag of extinction, spectra of its integrated light at optical wavelengths will also provide insights into its stellar content and metallicity. The detection of deep Balmer absorption lines would be one signature of an intermediate age population. The metallicity of GC01 could also be measured from the strengths of various atomic and molecular features in the integrated spectrum. Given that GC01 falls within the Solar Circle, a solar or supersolar metallicity would argue that it formed in situ. A metallicity that is one-half solar would be consistent with it having formed from material that likely originated outside of the Solar Circle if its age is less than a few Gigayears.
However, the K LF constructed from the RAVEN+IRCS observations does not show the onset of the SGB that is expected if the cluster is old. Tighter constraints on the age of GC02 could be obtained using deeper, diffraction-limited NIR images. For example, if GC02 is old but is more distant than assumed here, then the SGB should show up with deeper images. If GC02 has an intermediate age and there is no large-scale differential reddening, then it should be possible to detect the MSTO in the \((K, J - K)\) CMD of GC02. Given the distance and mean reddening of GC02 then the MSTO should occur near \(K = 18 - 19\) and \(J = 23 - 24\) if stars as young as 1 Gyr are present. While the \((K, H - K)\) CMD of GC02 in Figure 6 does reach the required depth in \(K\), the expected separation in color between the giant branch and 1 Gyr main-sequence stars on the \((K, H - K)\) CMD at this brightness is only \(<0.2\) mag, which is comparable to the uncertainties in the photometry at this magnitude. The integrated spectrum of GC02 at visible—red wavelengths should also contain deep hydrogen lines if it has an age of \(~1-2\) Gyr.

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Appendix

The Light Profiles of GC01 and GC02

Published light profiles of GC01 (Ivanov et al. 2005; Kobulnicky et al. 2005; Davies et al. 2011) and GC02 (Kurtev et al. 2008) are based on star counts and/or isophotal measurements. These are restricted to the central few tens of arcsec in each cluster because this is where the number density of cluster members exceeds that of field stars. The light profiles of GC01 and GC02 can be extracted out to much larger radii if the brightest resolved field stars are suppressed and the cluster light profiles are azimuthally smoothed to boost the signal-to-noise ratio. We demonstrate this using GLIMPSE [3.6] images.

The [3.6] image of each cluster was rotated about the cluster center in 15° increments and the rotated images were then combined by taking the median flux at each rotated pixel location. This process multiplexes the faint signal from the cluster light profiles at large radii and suppresses signals from individual objects, the majority of which will be field stars at large radii. Some artifacts of individual stars survive the median combination procedure, and these were suppressed by applying a \(5.1 \times 5.1\) arcsec \((i.e., \, three \, times \, the \, FWHM \, of \, the \, [3.6] \, PSF) \) running top-hat filter. This procedure tacitly assumes circular isophotes and obliterates information over the angular scale of the smoothing filter, with the result that the light distribution near the cluster center cannot be tracked.

The [3.6] surface brightness profile of each cluster is shown in Figure 18, and light can be traced out to distances of at least 100 arcsec from the cluster centers. GC01 is much more centrally concentrated than GC02, and the light profile of the former may be truncated at radii \(~110\) arcsec. Signal from GC02 can be traced out to at least 200 arcsec.
Figure 16. Same as Figure 13, but showing the CMD of sources within 36 arcsec of the center of GC02. The isochrones assume $A_K = 1.73$ with a distance modulus 14.2 (Section 3.2). The models predict that the vast majority of stars in the CMD are evolving on the RGB. The object with $[4.5] = 7.3$ is likely evolving on the AGB if it is a cluster member. The 0.2 mag offset in $[3.6]$–$[4.5]$ between the observations and models is discussed in the text.

Figure 17. $[4.5]$ LF of GC02. A statistical correction for non-cluster members was applied by subtracting a scaled version of the LF of sources at $r > 60$ arcsec from the cluster center. This correction does not affect the shape of the GC02 LF when $[4.5] < 12$. Model LFs constructed from solar metallicity Padova isochrones are shown for a distance modulus of 13.7 and $A_K = 1.7$.

Figure 18. $[3.6]$ surface brightness profiles of GC01 and GC02, constructed using the azimuthal median-filtering technique described in the text. A $5.1 \times 5.1$ arcsec top-hat filter was applied to suppress artifacts of incomplete field star removal. Information on angular scales $<6$ arcsec is thus lost, and so the lower radial limit for this plot is set at 6 arcsec. Light from both clusters can be traced out to distances $>100$ arcsec from the cluster centers.
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