Defective nanomaterials for electrocatalysis oxygen reduction reaction

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The difficulties in O₂ molecule adsorption/activation, the cleavage of the O–O bond, and the desorption of the reaction intermediates at the surface of the electrodes make the cathodic oxygen reduction reaction (ORR) for fuel cells show extremely sluggish kinetics. Thus, it is important to the exploitation of highly active and stable electrocatalysts for the ORR to promote the performance and commercialization of fuel cells. Many studies have found that the defects affect the electron and the geometrical structure of the catalyst. The catalytic performance is enhanced by constructing defects to optimize the adsorption energy of substrates or intermediates. Unfortunately, still many issues are poorly recognized, such as the effect of defects (types, contents, and locations) in catalytic performance is unclear, and the catalytic mechanism of defective nanomaterials is lacking. In this review, the defective electrocatalysts divided into noble and non-noble metals for the ORR are highlighted and summarized. With the assistance of experimental results and theoretical calculations, the structure–activity relationships between defect engineering and catalytic performance have been clarified. Finally, after a deeper understanding of defect engineering, a rational design for efficient and robust ORR catalysts for PEMFCs is further guided.

KEYWORDS
oxygen reduction reaction, defects, Pt and Pt-based alloy, N–C, metallic oxide

1 Introduction

Hydrogen, as a kind of environment-friendly and high mass-energy density energy source, has great potential to replace fossil energy against the energy crisis and environmental pollution. Proton exchange membrane fuel cells (PEMFCs) or alkaline fuel cells (AFCs) are critical devices for hydrogen energy utilization (Li J. C. et al., 2020; Abdin et al., 2020). They can efficiently convert chemical energy from normal hydrogen into electric power. The sluggish kinetics of ORR on the cathode side is the most prominent issue, resulting in lower power density. It is urgent to develop highly active and stable ORR electrocatalysts (Nie et al., 2015; Ji et al., 2020).

Traditionally, Pt or Pt-based alloy is the most excellent ORR catalyst discovered so far. The commercial Pt/C catalysts can meet the requirements of some application scenarios
with ultra-high Pt loading in membrane electrodes. However, oxygen or hydroxide species are too strongly adsorbed on the surface of Pt nanoparticles, which makes it difficult for desorption and thus increases the overpotential of the ORR (Stamenkovic et al., 2007). Although the alloying strategies, optimizing geometric structures, and reducing nanoparticle sizes have made a great improvement to the ORR performance of noble metal ORR catalysts (Li et al., 2022; Feng et al., 2022), it is necessary to develop more sophisticated methods of catalyst preparation to achieve high-power fuel cells with ultra-low Pt mass loading set by the U.S. Department of Energy in 2025 (0.44 A mg⁻¹ at 0.9 V at an ultralow Pt loading of 0.125 mg cm⁻² in fuel cells). Furthermore, limited resources and the high price of Pt make it necessary to develop low-cost and abundant resources of non-noble metal catalysts. The N-doped carbon materials (N–C) and transition metal–nitrogen–carbon (M–N–C, M = Fe, Co, and Ni) complexes show excellent oxygen reduction activity, which can be prepared just by pyrolysis of N-containing organic precursors without or with metal elements (Cao et al., 2016; Wang et al., 2017; Xie et al., 2020; Yan et al., 2022). The enhanced ORR performance is achieved by optimizing the pore structure to a high specific surface area or increasing the atomic ratio of N and mono-dispersed metal elements to improve the number of active sites. "Fenton reaction" and corrosion of carbon materials at high potential lead these catalysts to suffer poor durability in acidic media (Han et al., 2021; Yang et al., 2021).

In general, defects are ubiquitous in solid materials and can be divided into four categories, namely, point defects, line defects, plane defects, and volume defects according to the size of the defect. The tiny regions deviating from the ideal crystal structure affect the fluctuation of crystal material properties, such as electrical, optical, magnetic, and chemical activity. The artificial control of defect types, contents, and locations makes defect engineering a common method in designing advanced functional materials (Wang et al., 2016; Chen et al., 2016; Feng et al., 2020). In catalytic science, the defects could destroy the periodicity structure of the crystal and redistribute the local electronic state of the catalyst. For instance, the step edge can reduce the d-band center of the Pt or Pt-based alloy, making the intermediate species easier to desorption and thus improving its ORR activity. Bunched Pt–Ni alloy nanocages with rich low-coordination step atoms possess higher ORR activity and stability (Tian et al., 2019). However, a lack of theoretical and systematic understanding of diversity and complex defect structures has been preventing defects engineering to be an accurate way to regulate catalytic activity and stability (Xie et al., 2019). Recently, the development of the fine structure characterization techniques and theoretical computational chemistry, such as X-ray absorption fine structure spectrum (XAFS), spherical aberration-corrected transmission electron microscope (STEM), and density functional theory (DFT), enable understanding the relationship between defect structure and catalytic performance in depth. The types, contents, and locations of the defects can be more intuitively described and observed by these advanced characterization techniques, and the electronic structure of defective catalysts can be approximately calculated by theoretical calculation (Li W. et al., 2020). This makes it possible to correlate the relationship between defect structure and the adsorption energy of the substrate and intermediate species. Even though many researchers applied the defects to improve catalytic performance from various aspects, the role of the defects in the catalytic activity and stability is still necessary to be deeply discussed to form a systematic and comprehensive understanding. In this review, we systematically summarize the noble and non-noble defective ORR electrocatalysts from the tuning of the electronic structure to the optimizing of the adsorption energy and reaction path. It is expected that the relationship between the defective ORR electrocatalysts and their activity and stability can be clarified to guide the rational design of desirable catalysts.

2 The structure–activity relationship between defects and electrocatalytic performance

2.1 Noble metal catalysts

Over the past decades, noble metals are regarded as superior ORR electrocatalysts with a 4-electron pathway. Nørskov et al. present a volcanic diagram to describe the relationship between the active and O binding energy, which shows that Pt has the best ORR catalytic activity. However, the intermediate species (e.g., O* and OH*) are strongly bonded on the surface of Pt catalysts, causing desorption of those species to be the crucial step (Nørskov et al., 2004; Stamenkovic et al., 2006). The step edges are the most widely studied for Pt ORR electrocatalysts. The step edges usually correspond to high index crystal faces, and the step atoms are coordinated unsaturated. The step atoms can optimize the electronic structure to lower the d-band center so that the intermediate species are easily desorbed from the surface to improve the ORR activity. For example, Duan’s group synthesized ultrafine jagged Pt nanowires with numerous step edges (Figure 1A). (Li et al., 2016) The FT-EXAFS spectrum shows that Pt–Pt long length is shorter than Pt foil, which indicates that the lattice of ultrafine jagged Pt nanowires is shirked compared to that of bulk Pt (Figure 2B). The coordination numbers of bulk Pt are 8 or 9 at (100) or (111) faces, respectively, while it is 6–8 in the ultrafine jagged Pt nanowires, and this is contributed by under-coordination step Pt atoms. As a result, the half-wave potential of ultrafine jagged Pt nanowires (0.935V vs. RHE) is higher than that of regular Pt nanowires (0.90 V vs. RHE) and commercial Pt/C (0.86 V vs. RHE), and the ORR performance has barely changed after 6000 cycles.
PtM alloy catalysts generally have better ORR activity than pure Pt catalysts due to more weakly bound oxygen species energy (about 0.2 eV) than bulk Pt. The role of 3d metals(M) as the solute element for Pt-based alloy electrocatalysts can cause the Pt lattice to shrink and change the surface electronic structure, lowering the d-band center. The ORR activity of PtM alloy can be further boosted by the introduction of surface defects (Bu et al., 2016; Li et al., 2022b). For instance,
Figure 2

(A) Schematic diagram of the edge-rich graphene preparation. (B) C1s XPS spectra of graphene and plasma-treated graphene (Tao et al., 2016).

(C) N1s XPS spectra of model catalysts. (D) LSV curves of model catalysts. (E) Schematic for the ORR pathway on N-doped carbon materials (Guo et al., 2016). (F) Schematic representation showing the selectivity inside and outside of montmorillonite during nitrogen-doped graphene synthesis (Ding et al., 2013). (G) STEM image and corresponding EDS element mapping of P-doped Fe-N-C. (H) Models of FeN₄CP1 and FeN₄CP3. (I) Free energy of the ORR on FeN₄C, FeN₄CP1, and FeN₄CP3 sites (Li W. et al., 2020). (J) Schematic diagram of synthesizing a long-term durability Fe–N–C catalyst. (K) Possible FeN₄ site conversion during the CVD process calculated by DFT (Liu et al., 2022).
Guo et al. reported Pt-skin zigzag-like Pt₃Fe nanowires, and the surfaces of Pt-skin zigzag-like Pt₃Fe nanowires and zigzag-like Pt₃Fe nanowires all exist with stable high-index facets and step atoms (Figure 1E). The DFT calculation of the bridge site (B) at the step edge and the hollow site (H) on the facet confirmed the oxygen adsorption energy of the high-index crystal faces with step atoms closer to the optimal value to superior ORR activity on the Pt-skin zigzag-like Pt₃Fe nanowires (Figures 1F,G). The step atoms and the ligand effect cause the ORR activity of the Pt-skin zigzag-like Pt₃Fe nanowires to be higher than that of most reported PtFe-based nanoparticle catalysts and zigzag-like Pt₃Fe nanowires. The mass and specific activity are 2.1 and 1.9 times more than those of zigzag-like PtFe nanowires, respectively. It only decreases 26.7% and 24.6% after ultra desorption. (Zhang et al., 2017; Mariano et al., 2017; Chattot catalysis more suitable for oxygen species adsorption or surface strain to make the surface electronic structure of the plane defects (stacking faults) at grain boundaries in twin crystals typically. Optimization of this kind of twin defects can tune the surface strain to make the surface electronic structure of the catalyst more suitable for oxygen species adsorption or desorption. (Zhang et al., 2017; Mariano et al., 2017; Chattot et al., 2018). For example, Qin et al. prepared penta-twinning ultrafine Pt–Cu–Mn nanoframes with high-density twin defects by a wet-chemical method (Figures 1H, I) (Qin, et al., 2020). It shows about 1.5% compressive strain caused by the increased proportion of twin defects than the Pt–Cu–Mn pentagonal nanoframes. The DFT calculation indicates that the compressive strain increases the occupation of anti-bonding states to lower the adsorption energy of OH⁺ on the compressed surface (Figures 1J–L). Thus, ultrafine Pt–Cu–Mn nanoframes emit the highest half-wave potential and mass activity of the ORR, which well-matches with the experimental results. The grain boundary densities may have a positive correlation to the ORR performance. Similarly, Yu Huang’s group compared ultrathin Pt nanoplates, Pt single-grain-boundary nanocrystals, Pt nanowires, and commercial Pt/C with different grain boundary densities to evaluate the ORR performance (Zhu et al., 2020). The results also suggest that the half-wave potential and mass activity have an order with the variation of grain boundary densities (ultrathin Pt nanoplates > Pt nanowires > Pt single-grain-boundary nanocrystals > commercial Pt/C), and the mass activity of ultrathin Pt nanoplates is 9.52, 10.7, and 13.72 times higher than that of Pt nanowires, Pt single-grain-boundary nanocrystals, and commercial Pt/C, respectively (Figure 1M). The strain is not observed in these assembled nanostructures, and the differences in ORR activity are attributed to the varied grain boundary density. The average resident number and time of oxygen residence on the surfaces are 15.02 times higher on ultrathin Pt nanoplates than on Pt single-grain-boundary nanocrystals by the molecular dynamic simulations (Figures 1N, O). Finally, a quantitative simulation curve to the quadratic equation (\( y = 15.69x^2 + 0.38 \)) is established for the specific activities and the grain boundary densities (Figure 1P).

2.2 Non-noble metal catalysts

2.2.1 Defective carbon-based catalysts

For wide application of PEMFCs, it is important to explore low-cost, high-activity, and durable catalysts to replace noble metal ORR catalysts. Carbon nanomaterials with excellent electrical conductivity and tolerance to acidic/alkaline media are facile to synthesize at low prices. The high surface area and controllable pore structure are conducive to carrying more active sites and material transfer. It has attracted considerable interest to replace noble metal ORR catalysts (Xu et al., 2020). However, the oxygen molecules are difficult to be adsorbed and activated on their surface. The modulation of spin distribution or charge transfer on the \( sp^2 \) carbon plane can greatly improve the ORR activity. As shown in Figures 2A, B, the C1s peaks of graphene and plasma-treated graphene show that the ratio of C–C (\( sp^2 \)) to defect peak (\( sp^3 \)) decreased from 8.88 to 6.35. It indicated that the intrinsic defects are constructed to form edge-rich graphene. The electronic structure of unsaturated \( sp^2 \) carbon atoms on the edge sites is more capable of oxygen adsorption and O–O bond cleavage than that of \( sp^3 \) C atoms. With the increase of the density for edge sites, the ORR activity is significantly improved. (Tao et al., 2016).

The limit of activity is determined by the intrinsic activity of active sites. Compared to intrinsic defects, the doped defects in carbon-based materials are more effective in improving intrinsic activity. The heteroatoms can regulate the charge density or spin distribution of neighboring carbon atoms (Zhang and Xia, 2011). The N element is considered to be the most effective among the heteroatoms that can be doped. The N-doped carbon materials can be prepared by a simple method, such as carbonizing N-containing organic polymers or annealing carbon materials under an NH₃ atmosphere. The doped-N are present in four types: pyridinic-N, quaternary-N (graphitic-N), pyrrolic-N, and oxidized-N. (Yan et al., 2017). Owing to N species being randomly formed in the pyrolysis progress, a single structure of doped-N is hard to be prepared for understanding the role of different types of N species (Xia et al., 2016). Therefore, the different types of N-dominated model catalysts are prepared by mild bombardment with a nitrogen ion and Ar⁺ beam or annealing under the NH₃ atmosphere of highly oriented pyrolytic graphite (HOPG) with well-defined \( \pi \) conjugation (Guo et al., 2016). The N1s XPS results confirm that the N concentrations of model catalysts are 0.73 atomic % of graphitic N-dominated HOPG and 0.60 atomic % of pyridinic N-dominated HOPG (Figure 2C). As shown in Figure 2D, the pyridinic N-dominated HOPG with low nitrogen content and a high ratio of pyridinic–N possesses the highest ORR activity than other model catalysts, and the ORR activity is positively
correlated with the density of pyridinic-N. The acidic CO₂ molecules are only adsorbed on the pyridinic N-dominated HOPG. This phenomenon and DFT calculation confirmed that the pyridinic-N restructures the electronic structure of neighboring C atoms to exist in a localized density of states in the occupied region near the Fermi level. Thus, the C atoms can donate electron pairs to behave as the Lewis base site to reduce O₂. A possible four-electron or 2 + 2-electron ORR mechanism on this Lewis base site is proposed in Figure 2E. In detail, the four-electron mechanism occurs at a single site, and the protonated O₂ molecules directly break to form OH species and then into two H₂O molecules. For the 2 + 2-electron pathway, the protonated O₂ molecules form H₂O₂ with another proton and are desorbed from the site. Then, the H₂O₂ is reabsorbed on another site to be reduced by two another proton and are desorbed from the site. Then, the O₂ fuel cells. (Liu et al., 2022).

Although Fe–N–C has a relatively high ORR activity, poor stability is another key issue against its application. There are two types of active sites with different coordination environments for the ORR in Fe–N–C catalysts. One is the FeN₄C₁₂ site with four pyrrole-N as ligands to the Fe atom, and the other is the FeN₄C₁₀ site with four pyridine-N ligands. The FeN₄C₁₂ site shows better ORR intrinsic activity but poor stability to the FeN₄C₁₀ site. The FeN₄C₁₂ site is irreversibly oxidized to FeO₂ in ORR progress, and the FeN₄C₁₀ site has stronger resistance to Fe atom stripping and oxidation (Kramm et al., 2012; Zitolo et al., 2015; Wan and Shui, 2022). Therefore, regulating the ratio of the two type sites in the Fe–N–C catalyst to balance the activity and stability is very important.

Recently, a long-term durable Fe–N–C catalyst was synthesized via chemical vapor deposition of a thin N–C on the surface of an NH₄Cl-treated Fe–N–C catalyst (Figure 2F). The DFT calculation suggested that the formation energy of the FeN₄C₁₂ is more positive than that of the FeN₄C₁₀ and the kinetics is sluggish for the conversion of FeN₄C₁₂ to FeN₄C₁₀. The research found that the carbon defects constructed by NH₄Cl treatment play a decisive role in the preparation of this catalyst. The highly active FeN₄C₁₂ site surrounded by rich carbon defects will be transformed into the high-stability FeN₄C₁₀ site in the chemical vapor deposition process. A possible evolutionary pathway is shown in Figure 2K by DFT calculation. The carbon defects reduce the active energy and accelerate the kinetics of this transformation. The vacant sites would introduce the transformation of pyrrolic-N into pyridinic-N in the chemical vapor deposition process. Finally, the Fe–N–C catalyst contains 65% FeN₄C₁₂ and 29% FeN₄C₁₀ to be a more stable catalyst with 53% FeN₄C₁₂ and 42% FeN₄C₁₀. This active site transformation balances catalytic activity and stability of the Fe–N–C catalyst, and a long-term stable cycle is achieved in H₂/O₂ fuel cells. (Liu et al., 2022).

### 2.2.2 Metal oxide catalysts

In addition to the aforementioned catalysts, nano-metal oxides (e.g., Co₃O₄, MnO₂, and Mn₃O₄) are also found to exhibit ORR activity in alkaline media (Osgood et al., 2016; Wang et al., 2018). However, the metal oxides are semiconductor materials with low electrical conductivity in general, which require loading their nano-particles onto the conducting carrier. The different concentrations of oxygen vacancies can be easily prepared on the surface of the metal oxides to improve their intrinsic activity and conductivity by...
chemical etching; thermal treatment under H\textsubscript{2}, Ar, and N\textsubscript{2} atmospheres; and plasma treatment. For example, after the Ar-plasma treatment to \(\alpha\)-MnO\textsubscript{2}, the energy of Mn K-edge XANES spectra for the treated \(\alpha\)-MnO\textsubscript{2} is 0.2 eV lower than that of pristine \(\alpha\)-MnO\textsubscript{2}, and the bond lengths for Mn-O and Mn-Mn are also shorter than those of untreated \(\alpha\)-MnO\textsubscript{2} (Figures 3A,B). These results are sufficient to prove that the oxygen vacancies are constructed by the Ar-plasma treatment. The oxygen vacancies cause severe Jahn–Teller distortion in the MnO\textsubscript{6} octahedra, resulting in the electronic structure of the surface and making it more suitable for the ORR process. Finally, the \(\alpha\)-MnO\textsubscript{2} treated for 3 min possesses a higher half-wave potential, limiting current, and initial potential than \(\alpha\)-MnO\textsubscript{2}. However, no matter whether the plasma treatment time is less or more than 3 min, the ORR activity is greatly reduced, which indicates that the concentration of oxygen vacancy defects has a decisive effect on catalytic activity (Figure 3C). (Cheng et al., 2013) Chen’s group also obtained almost the same conclusion about the O vacancies for enhancing the ORR activity. (Cheng et al., 2013). They prepared MnO\textsubscript{2} with different concentrations of oxygen vacancies by heat-treating MnO\textsubscript{2} in Ar or the mixed gas of Ar and H\textsubscript{2} atmosphere at different temperatures. They further studied the ORR catalytic mechanism of MnO\textsubscript{2} with oxygen vacancies by DFT calculation. The defect site makes it easier to activate oxygen, and the bond length of the adsorbed oxygen molecules increases significantly on the oxygen vacancy sites. As shown in Figure 3D, the free energy of the rate-determining step of formation OOH\textsuperscript{*} decreases from 3.09 eV on the perfect MnO\textsubscript{2} (110) surface to 0.96 and 0.55 eV by introducing one and two O vacancies on the MnO\textsubscript{2} (110), respectively. However, the barrier of the one-oxygen-vacant surface is 0.98 eV, which is lower than the barrier of the two-oxygen-vacant surface. This also reflects well the experimental results, and it is further illustrated that a moderate oxygen vacancy in MnO\textsubscript{2} is favorable for boosting the ORR performance. Similarly, oxygen defects show a similar mechanism and the relationship of ORR performance in spinel oxides. Mai’s group calcined NiCo\textsubscript{2}O\textsubscript{4} growing \textit{in situ} on hollow carbon spheres (HCSs) in a low concentration of air atmosphere (molar ratio of N\textsubscript{2} to air = 1:9). (Yuan et al., 2018). Compared to a perfect crystal formed by calcining in pure air, the higher emission peak at 410 nm of photoluminescence spectroscopy suggests that the calcined catalyst in a low-concentration air atmosphere shows more oxygen vacancies than other selected...
catalysts (Figure 3E). The oxygen vacancies would change the density of states of NiCo$_2$O$_4$ and cause the Fermi level/work function to increase/decrease (Figure 3F). The electrons are more easily transferred from the oxygen vacancies to reactants to enhance the ORR activity. It is worth noting that those kinds of metal oxide catalysts are naturally prone to decomposition in acidic media and cannot be used in PEMFCs. Therefore, the application of AFCs is more limited to the development of key materials such as alkaline membranes and non-noble metal catalysts for hydrogen oxidation.

3 Summary and outlook

In summary, with the rapid development of solid catalysis theory, nanotechnology, and material characterization technology, more and more attention has been paid to the role of defects in catalysis. Defect engineering shows great promise for the development of practical and affordable electrocatalysts for the ORR. In this review, the relationship between defects and their activity and stability in ORR catalysts was summarized. The defects can effectively accelerate the ORR process by optimizing the local electronic state of the catalyst. The concentration and distribution of structural defects are discussed in detail to deepen the understanding between defects and catalysis. Finally, there are some outlooks for the construction of efficient and low-cost ORR defect catalysts to address the major challenges of clean energy technologies:

1) Precise construction and large-scale synthesis of defect materials for the ORR. The types, contents, and locations of defects greatly affect the catalytic activity. The complexity of defects makes it difficult to carry out qualitative and quantitative controllable construction. To apply the defective catalyst in PEMFCs on a large scale, it is necessary to develop more controllable methods to prepare efficient catalysts by combining defect engineering with other nanotechnology processes (including interface/surface engineering, the control of morphology and size, and regulation of active central composition).

2) In situ characterization of the dynamic structural evolution of the defect sites under operating conditions. The high energy of the defect sites is conducive to the adsorption of reaction species, and it is continuously generated and always in dynamic evolution in the catalysis progress. Although some advanced in situ techniques can track the structural evolution under ultra-high vacuum, the obtained results may be very different under the restriction condition from the operating conditions. Therefore, to better guide the synthesis of efficient defect catalysts, it is particularly important to track the dynamic evolution and real structure of defects in the catalytic process.

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Conflict of interest

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