Marginal seas, surrounded by continents with dense populations, are vulnerable and have a quick response to climate change effects. The seas typically have alternatively rotating layered circulations to regulate regional heat and biogeochemical transports. The circulations are composed of dynamically active hotspots and governed by the couplings between unique extrinsic inflow and intrinsic dynamic response. Ambiguities about the circulations’ structure, composition, and physics still exist, and these ambiguities have led to poor numerical simulation of the marginal sea in global models. The South China Sea is an outstanding example of a marginal sea that has this typical rotating circulation. Our study demonstrates that the rotating circulation is structured by energetic hotspots with large vorticity arising from unique dynamics in the marginal sea and is identifiable by the constraints of Stokes Theorem. These hotspots contribute most of the vorticity and most of energy needed to form and maintain the rotating circulation pattern. Our findings provide new insights on the distinguishing features of the rotating circulation and the dominant physics with the objectives of advancing our knowledge and improving modeling of marginal seas.
Global marginal seas are separated from the major ocean basins by topographic features and links to the adjacent oceans such as the Atlantic Ocean (e.g., Baltic Sea, Mediterranean Sea, Gulf of Mexico), Indian Ocean (e.g., Red Sea), or Pacific Ocean (South China Sea, SCS). These marginal seas exhibit rotating circulation patterns that are forced by an inflow-outflow water exchange with the adjacent oceans through straits such as Skagerrak Strait (connecting to Baltic Sea), Gibraltar Strait (Mediterranean Sea), Florida Strait (Gulf of Mexico), Bab-al-Mandeb Strait (Red Sea), and Luzon Strait (SCS). Other forcings include the local wind forcing and the coupled internal dynamics processes mainly governed by local topography and thermohaline structures. These marginal seas generally have a deep basin surrounded by a steep slope, and their circulation is regulated by coupled external-internal dynamics. To demonstrate and explain the dynamics, we use as our example of a typical marginal sea, the SCS, which is the largest marginal sea in the Southeast Asia (Fig. 1a) and is surrounded by countries with ~22% of the world’s total population based on the data of World Population Prospects 2019 from United Nations (https://population.un.org/wpp/).

Forcing by the Southeast Asia monsoonal wind stress and water exchanges with the adjacent oceans through the straits along the periphery of the SCS (Fig. 1a) produce a vertically dependent rotating circulation. The rotating circulation is cyclonic, anticyclonic, and cyclonic (CAC) circulation in the upper (<750 m), middle (750–1500 m), and deep (>1500 m) layers, respectively (Fig. 1a). This three-dimensional circulation has a profound influence on pathways of water mass path and the transports of energy and biogeochemical substances in the SCS.

Identifying the rotating layered circulation was initially based on estimates and speculation for only parts of the basin, at certain depths, or across specific transects. The circulation was represented with respect to geopotential or isopycnal levels. Under the wind stress curl forcing and due to the path of the SCS throughflow, the CAC circulation in the SCS consists of complex small-scale circulations that are regulated by local dynamics. Furthermore, the CAC circulation’s existence is based only on domain integration of vorticity, which is formulated as the Stokes’ Circulation Theorem (see Eq. 1 in Methods section), over the entire basin and relative to geopotential levels (Fig. 1b–d). We found that the layered CAC circulation does not exist in an isopycnal coordinate system (Fig. 1d) where the Stokes’ circulation has a different physical meaning with when the circulation is viewed at the geopotential levels. Our results show that the difference of circulations defined in geopotential and isopycnal coordinates is particularly significant over the continental slope where change of isopycnal depth is dramatic. Similar problems in depicting the circulation also exist in other marginal seas.

It is the layered influx-outflux-influx of planetary vorticity through the Luzon Strait (LS, Fig. 1a) and the corresponding vortex squeezing or stretching in the SCS basin that cause the CAC circulation to form in the basin. Although the coupled internal-external dynamics have recently been found to link to the vertical transport associated with the cross-slope motion and three-dimensional energy transport and transfer, the detailed dynamics that frame the CAC circulation in the SCS remains unknown.

We validated CAC circulation in the SCS with geostrophic currents derived from GDEM (Fig. 1c) and with both observations and geophysical fluid dynamics reasoning. We also found that most of the global circulation models with the same or higher resolutions, such as CMEMS, OFES, HYCOM, and others (not shown) have not well captured the layered CAC circulation in the SCS (Fig. 1c). These global models failed to simulate the exchanges between the SCS and the adjacent oceans. Yet these exchanges provided crucial external fluxes to couple...
with internal dynamics for the formation of the CAC circulation. A similar modeling problem has also been reported for other marginal seas\cite{29-31}. There are many reasons for this deficiency in the global model. A better interaction and thus better representation of circulation physics in the complex marginal sea such as flow-topography interaction internally and strait dynamics externally are certainly critical to improving of regional simulations in the global models, and it is the aim of this study to provide both.

**Results**

In the SCS, the CAC circulation is strongly spatially variable and contributed mainly by vorticities ($\zeta_f$) in several key regions of the basin according to Stokes’ Circulation Theorem (Fig. 2a). To distinguish these key regions of the CAC circulation from the other circulation, we defined a favorable vorticity ($\zeta_f$) that creates the CAC circulation for which $\zeta_f > 0$ in the upper and deep layers, and $\zeta_f < 0$ in the middle layer.

Figure 2b shows regions with relatively larger $\zeta_f$ that we recognized as hotspots for the CAC circulation. These hotspots are distinguished by $\zeta_f$ that is greater than $\zeta_f$ averaged over the entire domain for each layer. In this study, the hotspots are bounded by $\zeta_f > 2.2 \times 10^{-3}$ m s$^{-1}$, $\zeta_f < -1.1 \times 10^{-6}$ m s$^{-1}$, and $\zeta_f > 0.91 \times 10^{-6}$ m s$^{-1}$ in the upper, middle, and deep layers, respectively. In the end, we identified four distinct hotspots with strong $\zeta_f$. These hotspots are along the continental slope (SLO), off Luzon Strait (LS), off Mindoro Strait (MS), and in the southwestern basin (SWB) (Fig. 2b). Vorticity in these hotspots spatially matches the net vorticity averaged over each layer in Fig. 2a, which indicates that the hotspots dominantly contribute most of the vorticity that forms the CAC circulation.

Figure 2b shows that the total $\zeta_f$ contributions of IR$_{VORT}$ (see Eq. (2) in Methods section), from the hotspots to the basin CAC circulation are 58%, 55%, and 49% with the corresponding areas of 35.2%, 31.0%, and 33.3% in the upper, middle, and deep layers, respectively. Most of the contributions are from SLO (Fig. 2b) and the contribution along the western SLO to the CAC circulation is prominent (Fig. 2a). Thus, although the SLO occupies ~28.5%, 23.2%, and 19.1% of the basin area, the slope current flowing along the curved slope region has IR$_{VORT}$ of 47%, 39%, and 23% in the upper, middle, and deep layers, respectively. Nevertheless, even though IR$_{VORT}$ is relatively small for LS, MS, and SWB, because of the areas they occupy are ~4.6%, 2.6%, and 7.1% of the basin area, respectively, these hotspots are still dynamically active and important to the CAC circulation, as shown by their contributions per area or AR$_{VORT}$ values.

In addition to have a relatively large vorticity contribution to CAC as shown by IR$_{VORT}$ values, the hotspots are also characterized with active dynamics measured by AR$_{VORT}$ (see Eq. (3) in Methods section). AR$_{VORT}$ reflects the intensity of the active dynamics or the contributions of $\zeta_f$ per unit area to the CAC circulation in each hotspot. In Figs. 2 and 3, all AR$_{VORT}$ > 1, which means the intensity of $\zeta_f$ for each hotspot is much stronger than for the rest of the basin. Unlike IR$_{VORT}$, AR$_{VORT}$ values in LS, MS, and SWB (Fig. 2b) are much larger than that in SLO, suggesting that the active dynamics in the CAC circulation are induced either by the exchanging flows between the SCS and the adjacent oceans through LS and MS or by flow over a topographic trough in SWB, respectively.

$\zeta_f$ in the hotspots is induced either by horizontal velocity shear in the jet-like slope current or by the curvature vorticity regulated...
by the curving isobaths along SLO. Figure 3a indicates that it is the shear vorticity that dominates the total vorticity in the hotspots. Our results (Supplementary Fig. 1) show that the relatively large contributions of shear vorticity are mainly found on the seaward side of the slope current along SLO, and the curving slope topography’s contribution is less important contribution to \( \zeta \). In addition, we found that the vorticity and dynamics that the hotspots contribute persist throughout the year even though the intensity of the CAC circulation is highly variable in time (Fig. 1b).

**Discussion**

We investigated the vorticity balance in the hotspots to gain insight into the physics that determine how the CAC circulation forms in the SCS. Figure 4a shows the terms of the vorticity equations (see Eqs. (4) and (5) in Methods section) normalized within the hotspots. \( \Omega_{cor} \) in all three layers predominantly contributes the vorticity to all the hotspots, which is indicated by \( \Omega_{cor} > 0 \) in the upper and deep layers and \( \Omega_{cor} < 0 \) in the middle layer. \( \Omega_{cor} \) is related intrinsically to the stretching or squeezing of the water column along SL. In addition, \( \Omega_{ad} \) extrinsically linked the influx of planetary vorticity (see Eq. (6) in Methods) into SLO in the upper and deep layers and the outflux in the middle layer. Our analyses (Supplementary Fig. 2) showed that an additional contribution of positive \( \Omega_{adv} \) in the upper layer forms in LS because of the seaward influx of positive relative vorticity on the western side of the Kuroshio jet and because there is a larger kinetic energy (KE) gradient (see Eq. (7) in Methods section). We see that \( \Omega_{adv} \) is small in the other layers.

The balance between the bottom pressure torque (\( \Omega_{bp} \)) and \( \Omega_{cor} \) in the hotspots reflects the intrinsic dynamics of the flow interacting with topography in response to the external influx or outflux across the boundaries of the hotspots. In the deep layer, the major sinks for the positive \( \Omega_{cor} \) are nonlinear advection (\( \Omega_{adv} \)) and bottom stress curl (\( \Omega_b \)). A larger negative \( \Omega_{adv} \) occurs in the deep layers of SWB, MS, and LS where an abruptly changing bottom topography forms stronger nonlinearities in the flow. \( \Omega_b \) is negative in all layers and is relatively large in the upper and deep layers because of a stronger current and topographic influences, respectively.

The vorticity balance and underlying physics in the hotspots generally agree with the vorticity balance and physics for the entire basin, as shown by the dashed lines in Fig. 4a, which confirms the dominant role of the hotspots in forming the CAC circulation. However, some unique dynamics occurring within the hotspots deviate from the conditions occurring in the whole basin. For example, over an annual timescale, the wind stress curl imparts a net positive \( \zeta \) for the cyclonic circulation in the upper layer over the entire basin. However, in SLO where the \( R_{vort} \) is the largest, the negative wind stress curl in the northern basin during the winter balances the positive wind stress curl in the southern basin in the summer, and the annual net effect of wind stress curl on \( \zeta \) in the hotspots is negligible. We identified that the net wind stress curl contributing to the annual mean CAC circulation comes mainly from the west of the Luzon islands...
during winter. Another unique hotspot dynamics phenomenon is the presence of positive $\Omega_{bgf}$ in the deep layers of the hotspots. Our analyses (Supplementary Fig. 3) showed that the $\Omega_{bgf}>0$ is induced by topographic troughs in SWB and LS where a relatively strong positive $\Omega_{bgf}$ occurs as flows climb up the troughs and squeeze the water column to balance the negative $\Omega_{cor}$. This finding suggests that through the follow-topography interaction, topography in a marginal sea can play an active, rather than just passive, role in forming the CAC circulation.

The energy balance provides insight into how the hotspots contribute energy to the CAC circulation. The mean kinetic energy (MKE) from the hotspots reflects the strength of the mean circulation that maintains the CAC circulation while the terms of the MKE equation (see Eqs. (9) and (10) in Methods section) provides the associated energy source and sink. Like the vorticity contribution from the hotspots, there is a large amount of MKE in these hotspots in all layers\(^{20}\), which further points to the active dynamic role of the hotspots have in the CAC circulation.

Figure 3b shows that in the upper layer, the hotspots substantially contribute MKE ($I_{RM} = 81\%$) to the CAC circulation (Fig. 3b). Most of the MKE (76%) occurs along SLO (Fig. 2a), indicating that the slope current primarily sustains the CAC circulation. The averaged $AR_M$ for the hotspots is 2.21 times larger than the average for the entire basin (Fig. 3b), ranging from 0.7 in MS to 2.5 in SLO (Fig. 2a).

In the upper layer, the major contributors to the MKE are $WP_M$ and $\text{DIV}_M$ (Fig. 4b). $\text{DIV}_M$ primarily occurs in LS in the upper layer, because of the kinetic energy influx from the Kurishio intrusion. Major $WP_M$ exists in SLO in the upper layer and indicates the dominance of wind power input in driving the slope current. Unlike the small net wind stress curl contribution to the vorticity balance along SLO (Fig. 4a), the wind power input is the major kinetic energy source that drives and amplifies the slope current component of the CAC circulation. Wind power explains why the slope current in the SCS is 3 to 4 times greater than the volume of the Kurishio intrusion through LS (~5.9 Sv, Fig. 1a)\(^{25}\). The strengthened slope current also enhances the basin-wide recirculation for maintaining the CAC circulation. MKE in the upper layer is dissipated by $\text{VIS}_M$ and converted to eddy kinetic energy by $\text{BTM}_M$.

In the middle layer, there is a smaller, but still significant $I_{RM}$ (~68%) (Fig. 3b) in the hotspots, where SLO accounts for ~43% of the total MKE, and LS and MS, combined, have ~25% of the MKE (Fig. 2a). Contributions of $AR_M$ are up to 2.32 times larger than the basin average in the middle layer, with very large contributions from MS and SLO: 5.73 times larger than the basin average in MS and 1.90 times larger than the basin average in SLO (Fig. 2a). Furthermore, along SLO and near MS and LS in the middle layer, mean pressure work $PW_M$ mainly induces MKE and is balanced by barotropic $\text{BTM}_M$, baroclinic instability $\text{BCI}_M$, and $\text{VIS}_M$ (Fig. 4b). These results indicated that the extrinsic pressure flux and the intrinsic dynamic response are the major source and sink for the hotspot energy in the middle layer, respectively.

In the deep layer, although $AR_M$ is the smallest due to the relatively small geographic sizes of the hotspots, the hotspots are dynamically active enough to contribute 50% ($I_{RM} = 0.5$) to the CAC circulation in the basin. Interestingly, there is a larger contribution of energy in SWB where there is topographic trough (Fig. 3b). The mean $AR_M$ of the hotspots is 1.49, ranging from a very strong $AR_M$ of ~4.72 in SWB to a relatively small value of 0.69 in SLO (Fig. 2a).

The origin of MKE in the hotspots of the deep layer is primarily from the strong circular current and a meandering current in SWB and SLO, respectively. The major source of the MKE in this layer is generated by $\text{BCI}_M$ (Fig. 4b) or by potential energy released due to the vertical motion over the trough. The important role of topography is obvious in the deep layer, as is also shown by the larger $\Omega_{bgf}$ in SWB (Fig. 4a).

EKE is also distinguished in hotspots, with significant contributions of $\text{IRE}_E$ (0.53, 0.47, 0.43) and $\text{ARE}_E$ (1.46, 1.60, 1.28) in different (upper, middle, deep) layers (Fig. 3b). The large values of $\text{IRE}_E$ in all layers occur mainly along SLO, while the active local dynamics in other hotspots also generate significant $\text{ARE}_E$ (Fig. 2a). Besides input by wind power $WP_E$ in the upper layer, EKE is mainly contributed by internal pressure work and conversion from MKE through internal $\text{BCI}_E$ due to active dynamics of the hotspots in both middle and deep layers (Fig. 4c). The distinguished EKE in the hotspots shows their important contribution to the variability of the basin circulation.

This study shows the active dynamics of the hotspots that govern the characteristics, composition, and formation of the CAC circulation, and clarifies ambiguities regarding the physics of the CAC circulation in the SCS. The findings demonstrate an innovative concept for diagnosing the dominant physics of layered, rotating circulation in marginal seas. By illustrating the importance of internal hotspots dynamics, and thus the coupled internal-external mechanism, we present the challenge in improving our physics comprehension and the associated modeling in the marginal seas.

Methods

In our study, we used the China Sea Multi-scale Ocean Modeling System (CMOMS, https://odcmap.uah/cmoms/)\(^{10,25}\). The CMOMS model domain covers the northwest Pacific Ocean (NPO) and all the China Seas (SCS, East China Sea, Bohai Sea and Yellow Sea). The rectangular model domain extends from the southwest at (0.95°N, 99°E) to the northeast at (50°N, 145°E). The horizontal grid size of the model decreases gradually from ~10 km in the southern part to ~7 km in the northern part of the domain. Vertically, we adopted a 30-level stretched generalized terrain-following coordinate system.

We initialized the model with a mean hydrographic field from the Cross-Calibrated Multi-Platform (CCMP) dataset (ftp://podac-ftp.pla.navy.mil/allData/ccmp/L3/0/lk). The model was spun up for a 50-year forcing by climatological atmospheric fluxes that had a 6-hourly frequency and 0.25° horizontal resolution. We integrated the CMOMS model forward from 1988 to 2011. Along the open boundaries of our model domain, we applied a novel open boundary condition that accommodated both tidal and subtidal forcing\(^{32}\). We obtained the tidal forcing along the open boundaries\(^{33}\) and subtidal forcing from the Ocean General Circulation Model for the Earth Simulator (OFES) global model\(^{27}\).

CMOMS is dynamically configured and numerically implemented in the SCS, and the model results are observationally and physically well-validated\(^{10,25}\). For our analyses, we used 20-year model variables from 1992 to 2011 that were averaged over 7 days at synoptic-scales.

Stokes Circulation Theorem for the entire basin relative to geopotential levels is mathematically represented as

$$\Gamma = \oint \text{V}_{\text{H}} \cdot dl = \oint \text{V}_{\text{H}} \times \text{dA}_{\text{ASC}}.$$  \hspace{1cm} (1)

where $\Gamma$ is the basin circulation integrated along the boundary of the SCS basin with area $A_{\text{ASC}}$; $\text{V}_{\text{H}}$ is horizontal velocity vector; and $\oint$ is the vertical line integral over a specific layer $\text{dA}_{\text{ASC}}$.

The relative vorticity vector $\text{V}_{\text{H}}$ is the vertical line integral over a specific layer $\text{dA}_{\text{ASC}}$.

$$\zeta = \text{V}_{\text{H}} = \text{V}_{\text{H}} \times \text{dA}_{\text{ASC}}.$$  \hspace{1cm} (2)

$\zeta$ and the mean kinetic energy (MKE) contributions from our identified hotspots to the CAC circulation are measured by the relative contribution $\text{I}_{RM}$, and by the intensity (contribution per unit area) of the active dynamics within the hotspots which are represented as $\text{I}_{RM}$.

$$\left(\text{I}_{RM}, \text{I}_{RM}, \text{I}_{RM}\right) = \left(\frac{\oint \text{dA}_{\text{output}}}{\oint \text{dA}_{\text{ASC}}}, \frac{\oint \text{MKE} \times \text{dA}_{\text{ASC}}}{\oint \text{dA}_{\text{ASC}}}, \frac{\oint \text{EKE} \times \text{dA}_{\text{ASC}}}{\oint \text{dA}_{\text{ASC}}}ight)$$  \hspace{1cm} (3)

These parameters determine the dynamic characteristics of the hotspots in the CAC circulation.

We express the vorticity equation, integrated by volume ($V$) over a specific layer in the hotspots, as

$$\text{vort} = \Omega_{cor} + \Omega_{str} + \Omega_{bgf} + \Omega_{cm} - \Omega_{bc} + \Omega_{ss},$$  \hspace{1cm} (4)
and Eq. (4) is fully expressed as

$$\int_V \sigma_d \, dV = - \int \left[ (\alpha + \beta) \partial_t \sigma_d + \nabla \cdot (\sigma_d \mathbf{v}) \right] dV + \int \int \left[ (\alpha + \beta) \mathbf{v} \cdot \nabla \sigma_d + \mathbf{v} \cdot \nabla (\sigma_d \mathbf{v}) \right] dV$$

$$+ \int \int \int \left[ (\alpha + \beta) \frac{\partial \mathbf{v}}{\partial t} \cdot \nabla \sigma_d + \frac{\partial \mathbf{v}}{\partial t} \cdot \nabla (\sigma_d \mathbf{v}) \right] dV$$

$$- \int \int \int \int \left[ (\alpha + \beta) \mathbf{v} \cdot \nabla \sigma_d + \mathbf{v} \cdot \nabla (\sigma_d \mathbf{v}) \right] dV$$

$$\left( \sigma_d = \frac{1}{2} \rho_0 (\mathbf{u} \cdot \nabla \mathbf{v} + \mathbf{v} \cdot \nabla \mathbf{u}) \right)$$

where $\Omega$ is volume-integrated changing rate of vorticity. The terms $\mathbf{L}_a$ and $\mathbf{L}_b$ are the depths, and $\tau_a$ and $\tau_b$ are the stress at the top and bottom for each specific layer, respectively. $f$ is a Jacobean operator and $N$ is the nonlinear advection. $\mathbf{L}_a$ and $\mathbf{L}_b$ are pressure at the top and bottom of each layer, respectively, and $D$ is the total water depth. $S_a$ and $S_b$ are the meridionally and zonally oriented sections, respectively. We de-
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**Author contributions**

J.G. conceived the study, performed the analyses, built the mechanism, and wrote the paper. H.K., Z.Y., Z.L., C.H., and J.L. contributed to processing data, improving the paper, and assisted in interpreting the results.

**Competing interests**

The authors declare no competing interests.

**Additional information**

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