Competition between final-state and pairing-gap effects in the radio-frequency spectra of ultracold Fermi atoms

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The radio-frequency spectra of ultracold Fermi atoms are calculated by including final-state interactions affecting the excited level of the transition, and compared with the experimental data. A competition is revealed between pairing-gap effects which tend to push the oscillator strength toward high frequencies away from threshold, and final-state effects which tend instead to pull the oscillator strength toward threshold. As a result of this competition, the position of the peak of the spectra cannot be simply related to the value of the pairing gap, whose extraction thus requires support from theoretical calculations.

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One key issue concerning ultracold trapped Fermi atoms with a mutual attractive interaction is the detection of their superfluid behavior below a critical temperature $T_c$. As conventional techniques (like the Meissner effect) to identify the occurrence of the superconducting phase do not work for these neutral systems, additional physical effects need be explored to the purpose.

In this context, radio-frequency (RF) spectra were first proposed [1] to signal the presence of a pairing gap for ultracold Fermi ($^6$Li) atoms at low enough temperature. Collecting data at various couplings and for temperatures from below to above $T_c$, the evolution of these spectra was qualitatively interpreted in the light of an underlying pairing gap. These experiments have since been extended to different systems [2] as well as in the presence of spin population imbalance [3], and quite recently also by attaining spatial resolution [4].

Several theoretical attempts have been put forward to interpret the RF spectra of ultracold Fermi atoms [5, 6, 7, 8, 9, 10, 11]. There is at present wide consensus [12], however, that this difficult problem is still unsolved theoretically, and a challenging call has been launched [4] for a comprehensive theoretical interpretation of RF spectra of Fermi gases in the strong-interacting regime.

A definitely important step forward for interpreting these spectra is the inclusion of final-state effects, whereby the atom excited to the final state $|3\rangle$ from the initial state $|2\rangle$ interacts with the atom in the state $|1\rangle$. Here, the states $|1\rangle$ and $|2\rangle$ participate to the Cooper pairing as modulated by the Fano-Feshbach resonance with scattering length $a_{12}$, while the (empty) final state $|3\rangle$ excited by the RF transition interacts with the state $|1\rangle$ via a different Fano-Feshbach resonance with scattering length $a_{13}$ [6]. This final-state interaction should considerably affect the density of states available to the excited atom in channel 1–3, thereby triggering a competition with pairing-gap effects present in channel 1–2.

Purpose of the present paper is to show that considering this competition considerably improves the description of the RF spectra, by accounting for important features that cannot be explained otherwise. We limit here to low temperatures where the pairing gap is well developed, but extension proves feasible also above $T_c$ where the pseudogap takes the place of the pairing gap.

On physical grounds, the approach discussed in the present paper bears strong analogies with the treatment of the exciton effect in the optical spectra of semiconductors [13]. In that case, the long-range Coulomb attraction considerably modifies the absorption spectrum via the density of final states, leading to a finite (in contrast to a vanishing) value of the absorption coefficient at threshold [14]. For the RF spectra of ultracold Fermi atoms, final-state effects are less dramatic owing to the short-range nature of the interactions in both 1–2 and 1–3 channels (which are assimilated by attractive delta-functions with scattering lengths $a_{12}$ and $a_{13}$, respectively). Yet, we shall see that for large enough $|a_{13}|$ these exciton-like effects modify the RF spectra in a definitive fashion.

The importance of taking the effect of $a_{13}$ into account was demonstrated in Ref. [6] for the molecular (two-body) case, which represents the extreme BEC limit of the BCS-BEC crossover. In addition, Ref. [9] considered the effect of $a_{13}$ on the $f$-sum rule within the BCS-RPA approximation, albeit only in the BCS limit of the BCS-BEC crossover. In the present paper, we adopt a diagrammatic representation of the correlation function relevant to the calculation of the RF spectra of ultracold Fermi atoms, to include final-state on top of pairing-gap effects. This representation recovers the results of Refs. [9] and [11] in the respective limits.

Besides the exciton-like effect between the photo-excited atom and the Cooper-pair-mate left behind, $t$-matrix self-energy corrections of level $|3\rangle$ due to interaction with level $|1\rangle$ may account for additional final-state effects associated with the action of the medium on the photo-excited atom. These self-energy corrections, however, should not be included if atoms in level $|3\rangle$ do not reach thermal equilibrium with the environment in the time scale of the experiment. In addition, pairing fluctuations beyond mean field [15] are needed for a fuller
description of the initial state of the RF transition.

Self-energy corrections of level $|3\rangle$ due to interaction with level $|2\rangle$ are instead dropped altogether, because they are irrelevant in the molecular limit and the interaction $2 \rightarrow 3$ does not affect the value of the $f$-sum rule whenever level $|3\rangle$ is empty $[3]$. As the presence of a $t$-matrix self-energy in channel $2 \rightarrow 3$ would spoil this property, inclusion of additional diagrams for the response function would be required to recover it.

In RF experiments with $^{6}$Li atoms, a rf pulse with frequency $\omega_L$ transfers population from state $|2\rangle$ to state $|3\rangle$ and the atom loss from state $|2\rangle$ is measured. For weak excitations, the RF signal $\delta(I(\omega))$ can be calculated within linear-response theory yielding $[2]$

$$\delta(I(\omega)) = -2\gamma^2 \int dq \, d\nu \, \text{Im}\{\Pi^R(r, \nu'; \omega)\}$$  \hspace{1cm} (1)

where $\gamma$ contains the atomic matrix element of the transition and $\Pi^R(r, \nu'; \omega)$ is the Fourier transform of the retarded correlation function $\Pi^R(r, \nu'; t - t') = -i\theta(t - t')(B(r, t), B(r', t'))$ taken at the frequency $\omega = \omega_L + \mu - \mu_3$. Here, $B^I(r) = \psi_3^\dagger(r)\psi_2(r)$ is the relevant local transition operator and $\langle \cdots \rangle$ a thermal average, while $\mu$ and $\mu_3$ are the chemical potentials for the initial (populated) and final (empty) levels, in the order.

Expression (1) applies to alternative dynamical approximations. Following a standard approach for quantum many-body systems $[16]$, the retarded correlation function is calculated via its Matsubara counterpart

$$\Pi(r, r'; \Omega) = \int_0^\beta d\tau \, e^{i\Omega\tau} \langle T_\tau [\psi_2^\dagger(r', 0)\psi_2^\dagger(r, \tau) \times \psi_3(r, \tau)\psi_3^\dagger(r', 0^+)] \rangle$$  \hspace{1cm} (2)

where $T_\tau$ is the time-ordering operator for imaginary time $\tau$ and $\Omega = 2\pi\nu/\beta$ ($\nu$ integer) is a bosonic Matsubara frequency with inverse temperature $\beta$. Analytic continuation from $i\Omega$ to $\omega + i\eta$ (where $\eta$ is a positive infinitesimal) is taken eventually.

Previous calculations $[2, 7, 8]$ attempting comparison with experiments considered a mean-field factorization of the thermal average in Eq.(2), resulting in the approximate expression

$$\Pi(r, r'; \Omega) \simeq \frac{1}{\beta} \sum_n G_2(r', \Omega_n)G_3(r, r'; \Omega_n + \Omega)$$  \hspace{1cm} (3)

where $\Omega_n = (2n+1)\pi/\beta$ ($n$ integer) is a fermionic Matsubara frequency and the $G$’s are single-particle propagators for the initial $|2\rangle$ and final $|3\rangle$ levels of the transition. This expression is represented by the “bubble” of Fig. 1(a). The RF spectrum calculated within the approximation (3) shows the following features: (i) In the absence of interactions, the spectrum reduces to a Dirac delta-function $2\pi\gamma^2 N_2 \delta(\omega_L - \omega_a)$ where $\omega_a$ is the frequency of the atomic transition and $N_2$ the total number of atoms originally in state $|2\rangle$; (ii) Pairing interactions between states $|1\rangle$ and $|2\rangle$ shift this delta peak to higher frequencies and broaden it to the extent that it behaves like $\omega^{-3/2}$ for frequencies larger than the relevant fermionic energy scales, while preserving the sum rule for the total area of the spectrum $[17]$

$$\int_{-\infty}^{+\infty} d\omega \, \delta(I(\omega)) = 2\pi\gamma^2 N_2$$  \hspace{1cm} (4)

(we assume throughout that level $|3\rangle$ is empty).

The slow convergence for large $\omega$ resulting from the approximation (3) appears evident when one attempts comparison with the experimental spectra $[5, 7, 8]$. It further leads to a divergent value for the $f$-sum rule that corresponds to the first moment of the spectrum $\delta(I(\omega))$. In this respect, it was pointed out in Ref. $[9]$ that a finite value for the $f$-sum rule results instead by going beyond the bubble approximation (3) for $\delta(I(\omega))$ and summing the BCS-RPA series, in analogy to the theory of superconductivity when implementing gauge invariance for the response functions $[18]$. In Ref. $[4]$ this extension was regarded appropriate to the weakly-interacting (BCS) regime of the BCS-BEC crossover, where comparison with the experimental spectra is hardly possible.

Figure 1(b) shows the diagrammatic representation of the BCS-RPA series $[10]$ contributing to the correlation function $[2]$, in terms of which one can gain insights about the inclusion of the physical effects discussed.

FIG. 1: Diagrammatic representation of the correlation function $[2]$: (a) BCS bubble and (b) BCS-RPA series. Full and broken lines stand for fermionic single-particle propagators and interactions, respectively, while numbers attached to the end points identify the relevant states. The wiggly lines represent the coupling to the RF field. For simplicity, all diagrams are drawn in four-momentum space.
above, namely: (i) The occurrence of pairing in channel 1-2 (the pairing-gap effect), which can be dealt with even by including fluctuations beyond BCS mean-field; (ii) The presence of an attractive interaction in channel 1-3 (the exciton-like effect), which is evident in the ladder $\Gamma_{13}$; (iii) The possible inclusion of the t-matrix self-energy dressing level $|3\rangle$ (the medium effect). [Pairing fluctuations and t-matrix effects need not be included in the internal lines defining $\Gamma_{13}$.] Note that both pairing-gap and exciton-like effects are also borne out in the two-body calculation of Ref. [6].

We have indeed verified that the results of the two-body calculation of Ref. [4] can be recovered from the many-body calculation of $\delta\langle I(\omega) \rangle$ based on the diagrams of Figs. 1(a) and 1(b), when approaching the BEC limit $1 \ll (k_F a_{12})^{-1}$ where $k_F$ is the Fermi wave vector associated with $N_2$. By this remark, we can rely on the diagrams of Figs. 1(a) and 1(b) to describe the RF spectrum $\delta\langle I(\omega) \rangle$ throughout the whole BCS-BEC crossover, the only limitation being the condition of low-enough temperatures such that the pairing gap is well developed.

The exciton-like effect contained in the diagrams of Fig. 1(b) generates a strong suppression of the tail of RF spectra, by augmenting its power-law convergence by one unity to $\omega^{-5/2}$. In addition, the diagrams of Fig. 1(b) give a vanishing contribution to the sum rule [3] (which, otherwise, could not be preserved). This implies that, to the negative tail for large $\omega$ resulting from the diagrams of Fig. 1(b), there corresponds a positive pile up for small $\omega$ which tends to peak the spectrum toward threshold.

Figure 2 presents the results of our numerical calculation that includes pairing-gap (with fluctuations), exciton-like, and medium effects at the unitary limit $(k_F a_{12})^{-1} = 0$, and compares them with the tomographic spectra of Ref. [4]. Good agreement between the theoretical and experimental spectra results from this comparison especially when the self-energy of level $|3\rangle$ is not included, thus suggesting that atoms in level $|3\rangle$ do not thermalize in the course of the experiment. In particular, our calculation is able to capture the main features of the experimental spectra, namely: (i) The value of the peak position normalized to the local Fermi energy, which increases only slightly from the trap center to the edge; (ii) Their asymmetry, the line shape being sharper on the low-frequency side of the peak; (iii) The strong progressive broadening of the spectra away from the trap center, which is accompanied by a lowering of the peak height.

Note that the overall profiles of Fig. 2 reveal at glance the importance of the scattering length $a_{13}$ for the RF spectra, since at unitarity for $a_{13}$ spectra corresponding to different local densities present a non-universal behavior that can only originate from the presence of an additional length scale in the problem. Note further that the first moment of these spectra (identified by an arrow in each panel) does not relate to the position of the experimental peak, being moved to higher frequencies owing to the prominent role of the tail of the spectrum.

To appreciate how the different physical effects contribute to the theoretical spectra of Fig. 2, we show in Fig. 3 how these spectra evolve with the progressive refinements of the approximations (for definiteness, we show the results for the lower panel of Fig. 2). We begin in Fig. 3(a) with the BCS bubble of Fig. 1(a), evaluated with the BCS gap and chemical potential. We further include in Fig. 3(b) the BCS-RPA series of Fig. 1(b), with the same BCS values for the gap and chemical potential. We now include pairing fluctuations beyond mean field [13] in the fermionic propagator $G_2$. Finally, in Fig. 3(d)
we report the full calculation with all diagrams of Fig. 1 included, and with pairing fluctuations consistently included in all fermionic propagators whose level \( \frac{\Delta}{2} \) couples to the external field (while no self-energy correction is considered for the fermionic propagator \( G_3 \)). In both Figs. 3(c) and 3(d) the gap and chemical potential are evaluated, too, with the inclusion of pairing fluctuations. In all cases, numerical accuracy results in the sum rule \( \Sigma = \frac{\pi}{\hbar^2} \) being satisfied at worse within 5%.

The competition between pairing-gap and final-state effects on the RF spectra can be traced by examining in detail the above evolution. The simplest approximation of the BCS bubble shown in Fig. 3(a) contains pairing-gap effects, which shift the peak of the spectrum to higher frequencies with respect to the atomic transition, but does not include any final-state effect. The exciton-like effect is evident in Fig. 3(b), whereby the oscillator strength is pulled toward threshold with respect to Fig. 3(a) and the tail is strongly suppressed correspondingly. The role of the pairing gap is further emphasized by the inclusion of pairing fluctuations beyond mean field in Fig. 3(c), which give rise to additional pseudogap effects that suppress the density of states near threshold and broaden the low-frequency side of the peak. The competing role of the exciton-like effect is again operative in Fig. 3(d), resulting in the RF spectrum that matches best the experimental data.

We can finally compare the value of the peak position of the calculated RF spectra with the value of the pairing gap for a homogeneous system at unitarity. For this comparison we consider the full line in the upper panel of Fig. 2 at the lowest temperature, from which we extract the value 0.38 for the peak position (normalized to the local Fermi energy), while for the pairing gap Ref. \[12\] provides the value 0.53 (the pairing gap extracted from the single-particle spectral function and order parameter about coincide for these coupling and temperature). This comparison confirms the role of the competition of the physical effects that we have discussed, leading us to conclude that, although RF spectroscopy undoubtedly provides a direct signature for the presence of a pairing gap in ultracold Fermi atoms, the numerical value of this quantity cannot be simply extracted from the peak position of the RF spectra.

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\[\text{FIG. 3: Evolution of the theoretical spectra with the progressive refinement of the approximations. In each plot, the experimental data have been normalized to match the theoretical peak height. (See text for details.)}\]

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