I briefly review Non-Relativistic QCD and related effective theories, and discuss applications to heavy quarkonium decay, and production in electron-positron colliders.

**Keywords:** Heavy Quarkonium; Non-Relativistic QCD.

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1. Introduction

Heavy quarkonia are mesons made out of a heavy quark and a heavy antiquark whose masses ($m_Q$) are larger than $\Lambda_{QCD}$, the typical hadronic scale. These include bottomonia ($b\bar{b}$), charmonia ($c\bar{c}$), $B_c$ systems ($b\bar{c}$ and $c\bar{b}$) and would-be toponia ($t\bar{t}$). In the quarkonium rest frame the heavy quarks move slowly ($v \ll 1$, $v$ being the typical heavy quark velocity in the center of mass frame), with a typical momentum $m_Qv \ll m_Q$ and binding energy $\sim m_Qv^2$. Hence any study of heavy quarkonium faces a multiscale problem with the hierarchies $m_Q \gg m_Qv \gg m_Qv^2$ and $m_Q \gg \Lambda_{QCD}$. The use of effective field theories is extremely convenient in order to exploit these hierarchies. Based on the pioneering work of Caswell and Lepage, a systematic approach to study these systems from QCD has been developed which is generically known as Non-Relativistic QCD (NRQCD). The NRQCD formalism for spectroscopy and inclusive decays to light particles is very well understood (this is also so for electromagnetic threshold production) so that NRQCD results may be considered QCD results up to a given order in the expansion parameters ($\alpha_s(m_Q)$ and $1/m_Q$). The NRQCD formalism for production is more controversial. I will summarize here the main features of NRQCD (and related effective theories) and review recent theoretical progress in decays, and production at electron-positron colliders. I refer to the contribution of Geoff Bodwin for an overview on production
at electron-proton and hadron colliders, and on the status of factorization proofs.\textsuperscript{5}

## 2. Non-Relativistic QCD

The hierarchy of scales exploited in NRQCD is $m_Q \gg m_Q v, m_Q v^2, \Lambda_{QCD}$. The part of the NRQCD Lagrangian bilinear on the heavy quark fields coincides with the one of Heavy Quark Effective Theory (HQET),\textsuperscript{6} but the size assigned to each term differs from it. It also contains analogous bilinear terms for the antiquark, and four fermion operators,\textsuperscript{3} which are classified as color singlet or color octet. The short distance matching coefficients of these operators have imaginary parts. The fact that NRQCD is equivalent to QCD at any desired order in $1/m_Q$ and $\alpha_s(m_Q)$ makes the lack of unitarity innocuous. In fact, it is turned into an advantage: it facilitates the calculation of inclusive decay rates to light particles.

The inclusive decay widths of heavy quarkonium states to light particles are given in terms of sums of NRQCD matrix elements of both color singlet and color octet operators multiplied by the imaginary part of short distance matching coefficients, which can be calculated in perturbation theory in $\alpha_s(m_Q)$. Once a size in terms of $m_Q$ and $v$ is assigned to each of the matrix elements, a systematic expansion in powers of $v$ and $\alpha_s(m_Q)$ is obtained. Earlier QCD factorization formulas were missing the matrix elements of color octet operators. They are inconsistent because the color octet matrix elements are necessary to cancel the factorization scale dependence which arises in loop calculations of the short distance matching coefficients.\textsuperscript{7} The matrix elements cannot be calculated in perturbation theory of $\alpha_s(m_Q)$. The color singlet ones are related to wave functions at the origin but the color octet ones are not, in the general case. Sometimes only the effect of color octet operators in the renormalization group evolution equations is taken into account.\textsuperscript{3} If $m_Q v \gg \Lambda_{QCD}$ they can be estimated using weak coupling techniques,\textsuperscript{9,10} and if $m_Q v^2 \ll \Lambda_{QCD}$ they can be related to wave functions at the origin plus a number of universal non-perturbative parameters.\textsuperscript{11} They can always be calculated on the lattice.\textsuperscript{12} Alternatively they can also be extracted from data.\textsuperscript{13} The imaginary parts of the matching coefficients of the dimension 6 and dimension 8 operators are known at $\mathcal{O}(\alpha_s^3)$,\textsuperscript{14} those of dimension 10 ($S$ and $P$ waves) at $\mathcal{O}(\alpha_s^2)$,\textsuperscript{15,16,17,18} \textsuperscript{19} those of dimension 10 ($D$ wave) at $\mathcal{O}(\alpha_s^3)$,\textsuperscript{20,21} and the one of the color singlet $^3S_1$ operator at $\mathcal{O}(\alpha_s^4)$.\textsuperscript{22,23}

## 3. Potential NRQCD

Unlike HQET, the NRQCD Lagrangian does not enjoy a homogeneous counting, due to the fact that the scales $m_Q v, m_Q v^2$ and $\Lambda_{QCD}$ are still entangled. Potential NRQCD (pNRQCD) aims at disentangling these scales, and hence to facilitate the counting, by constructing a further effective theory in which energy scales larger $m_Q v^2$ are integrated out.\textsuperscript{24} If $\Lambda_{QCD} \lesssim m_Q v^2$, then $m_Q v \gg \Lambda_{QCD}$ and the matching between NRQCD and pNRQCD can be carried out in perturbation theory in
\( \alpha_s(m_Qv) \). This is the so-called weak coupling regime. If \( \Lambda_{QCD} \gg m_Qv^2 \), the matching cannot be carried out in perturbation theory in \( \alpha_s(m_Qv) \) anymore, but one can still exploit the hierarchy \( m_Q \gg m_Qv, \Lambda_{QCD} \gg m_Qv^2 \). This is the so-called strong coupling regime. Since \( m_Q, v \) and \( \Lambda_{QCD} \) are not directly observable, given heavy quarkonium state it is not clear to which of the above regimes, if to any \(^a\), it must be assigned to. A test was proposed \(^{25}\) using the photon spectra of radiative decays. Under this test, CLEO data \(^{26}\) suggests that \( \Upsilon(2S) \) and \( \Upsilon(3S) \) are in the strong coupling regime whereas \( \Upsilon(1S) \) is not. An alternative test using leptonic decays was also carried out leading to the same conclusion \(^{27}\). Even though experimental data is now available \(^{28,29}\) and it was suggested at some point \(^{10}\), no such a test for charmonium states has been carried out yet.

### 3.1. Weak Coupling Regime

The pNRQCD Lagrangian in this regime can be written in terms of a color singlet and a color octet heavy quark-antiquark wave function fields that evolve according to the Hamiltonians \( h_s \) and \( h_o \) respectively, and interact with gluons of energy \( \sim m_Qv^2 \) (ultrasoft). The potentials in those Hamiltonians, as well as the remaining matching coefficients, may be obtained by matching to NRQCD in perturbation theory in \( \alpha_s(m_Qv) \) and \( 1/m_Q \) at any order of the multipole expansion \((1/m_Q)\). The static potential in \( h_s \) is known up to three loops \(^{30,31,32,33,34,35}\) \((the \log contributions at four loops are also known \(^{36}\)), and in \( h_s \) up to two loops \(^{37}\). The renormalon singularities in the static potentials are also understood in some detail \(^{38}\). The \( 1/m_Q \) and \( 1/m_Q^2 \) terms in \( h_s \) are known at two and one loop respectively \(^{39}\). This Lagrangian has been used to carry out calculations at fixed order in \( \alpha_s \): a complete NNLO (assuming \( \Lambda_{QCD} \ll m_Q\alpha_s^2 \)) expression for the spectrum is available \(^{40,41,42,43}\). Most remarkably resummations of logarithms can also be carried out using renormalization group techniques \(^{14,15}\). Thus the hyperfine splitting and the ratio vector/pseudoscalar electromagnetic decay width have been calculated at NNLL and NLL respectively \(^{14,15}\). The hyperfine splitting compares well to data for charmonium, but undershoots considerably the experimental value for bottomonium. A reorganization of the quantum mechanical calculation has been recently proposed that may resolve this problem \(^{16}\). At least it brings the ratio of the vector/pseudoscalar electromagnetic decays mention above in good agreement with experiment for charmonium.

### 3.2. Strong Coupling Regime

The pNRQCD Lagrangian in this regime reduces to a heavy quark-antiquark wave function field interacting with a potential \(^b\), which can be organized in powers of

\(^a\)States close or above the open flavor threshold are expected to belong neither the weak nor the strong coupling regimes.

\(^b\)We ignore for simplicity pseudo-Goldstone bosons.
1/m_Q. These potentials cannot be calculated in perturbation theory of α_s(m_Q v) anymore, but can be calculated in lattice simulations[17]. They must coincide at short distances with perturbative calculations[18] and at long distances they must be compatible with the effective string theory of QCD[19]. The fact that Λ_{QCD} ≫ m_Q v^2 can now be exploited to further factorize NRQCD decay matrix elements into wave functions at the origin and universal bound state independent parameters[11][50]. This further factorization allows to put forward new model independent predictions, for instance the ratios of hadronic decay widths of P-wave states in bottomonium were predicted from charmonium data[11], or the ratio of photon spectra in radiative decays of vector resonances[25].

4. Beyond Inclusive Decays
NRQCD can in principle describe transitions between heavy quarkonium states, but no useful information has been extracted so far from it, beyond the fact that non-relativistic hadronic effective theories implementing heavy quark and chiral symmetries[51][52][53] may be considered hadronic realizations of it. pNRQCD can also describe transitions between heavy quarkonium states below open flavor threshold, and has been applied to magnetic dipole transitions[54]. Decays to heavy light meson pairs can in principle be described by NRQCD as well, but again no much information has been obtained from it so far.

For exclusive decays and for certain kinematical end-points of semi-inclusive decays to light particles, NRQCD must be supplemented with collinear degrees of freedom. This can be done in the effective theory framework of SCET[55]. Exclusive radiative decays of heavy quarkonium in SCET have been addressed[57], where results analogous to those of traditional light cone factorization formulas have been obtained[55]. Recently, SCET, HQET, NRQCD and pNRQCD have been combined to obtain model independent formulas for bottomonium decays to D̄D meson pairs[59]. Concerning semi-inclusive decays, a remarkable success has been achieved by combining SCET, NRQCD and pNRQCD for the photon spectrum of Υ(1S) → γX, a challenge since the early days of QCD[60][61]. Unfortunately, when this formalism is applied to radiative decays of J/ψ the outcome suffers from large uncertainties[10][62].

5. Production
In production processes, like in semi-inclusive and exclusive decays, gluons of energies ∼ m_Q may exist in the initial or final states, and hence NRQCD must be supplemented with additional factorization formulas in order to disentangle them from the dynamics of heavy quarkonium.

5.1. Electromagnetic threshold production
This is the simplest and best understood production process because no gluons of energies ∼ m_Q exist in the initial and final states. In the weak coupling regime,
which is relevant for a precise measurement of top quark mass in the future ILC, the cross-section at NNLO is known for some time and the log resummation at NLL is also available. The calculations at NNLO are almost complete, and partial results also exist for the log resummation at NNNLO. At this level of precision electroweak effects must also be taken into account in the $t\bar{t}$ case.

5.2. Inclusive production

A factorization formula for the inclusive production of heavy quarkonium was put forward in the framework of NRQCD, which was assumed to hold provided that the transverse momentum $p_\perp$ was larger or of the order of the heavy quark mass. The formula contains a partonic level cross section, which can be calculated in perturbation theory in $\alpha_s(m_Q)$, in which the heavy quark pair may be produced in color singlet or a color octet state, and long distance production matrix elements which encode the evolution of the pair to the actual physical state, which cannot be calculated in perturbation theory in $\alpha_s(m_Q)$, and are usually extracted from data. The production matrix elements were assigned sizes according to the NRQCD velocity scaling rules, which correspond to the weak coupling regime. This factorization formalism is receiving a closer look in the framework of fragmentation functions, and has been proved to be correct at NNLO in $\alpha_s(m_Q)$, provided a slight redefinition of the matrix elements is carried out.

The measurement of $\sigma(J/\psi + c\bar{c} + X)/\sigma(J/\psi + X)$ at Belle in 2002 was in clear conflict with LO NRQCD results, and has triggered a number higher order calculations. For $\sigma(J/\psi + c\bar{c} + X)$, a NLO calculation of the color singlet contribution in $\alpha_s(m_Q)$ gives a large correction. This is also the case for the NLO color octet contribution and two-photon contributions have also been calculated. For $\sigma(J/\psi + X(non-c\bar{c}))$, the LO color octet and the NLO color singlet both in $\alpha_s(m_Q)$ and $\alpha_s(m_Q)$ contributions have been calculated. When all these results are put together there is no obvious discrepancy with experiment anymore, but the theoretical uncertainties are still large.

Near certain kinematical end-points NRQCD production processes must be supplemented with collinear degrees of freedom, in analogy to semi-inclusive decays discussed above. This issue has been addressed using SCET.

5.3. Exclusive production

The basic ideas of NRQCD factorization have also been applied to exclusive processes, mostly after the surprisingly large double charmonium cross-section first measured at Belle. Recently, factorization proofs have been put forward for this process as well as for exclusive production in $B$-decays. Although Belle and Babar results for $J/\psi + \eta_c$ production cross section do not quite agree with each other, both numbers are roughly an order of magnitude larger than LO NRQCD predictions. A NLO calculation in $\alpha(m_Q)$ gives a very large correction, which, together
with a number of relativistic corrections, brings the theoretical calculation in agreement with both experimental results within errors.

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