Advancement of Microwave-Assisted Biosynthesis for Preparing Au Nanoparticles Using *Ganoderma lucidum* Extract and Evaluation of Their Catalytic Reduction of 4-Nitrophenol

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**ABSTRACT:** This study describes the biosynthesis of gold nanoparticles (AuNPs) using the extract of *Ganoderma lucidum* in the buffer zone of Bach Ma National Park, Vietnam, as a reducing and protecting agent using microwave-assisted synthesis. The as-synthesized AuNPs were characterized using transmission electron microscopy, scanning electron microscopy, X-ray diffraction, energy-dispersive X-ray spectroscopy, and Fourier transform infrared spectroscopy. Compared to the conventional method, the proposed microwave-assisted method produced AuNPs having a small size of 22.07 ± 8.11 nm in a short synthesis time period. In excess NaBH₄, the as-prepared AuNPs demonstrated good catalytic activity for reducing 4-nitrophenol to 4-aminophenol. Furthermore, AuNPs demonstrated improved reusability after four cycles. The pseudo-first-order apparent rate constant was estimated to be 0.086 min⁻¹ at 303 K. Both the catalytic mechanism and reaction path of reduction were proposed. Moreover, activation energy and thermodynamic parameters, including activation enthalpy and entropy, were examined.

**1. INTRODUCTION**

4-Nitrophenol (4-NP) is one of the most poisonous and refractory contaminants generated from the production process of agriculture, pigments, and drugs;¹ it affects the blood, kidney, and central nervous system and has the ability to convert hemoglobin to methemoglobin, thus resulting in severe hypoxia.²-⁴ 4-Aminophenol (4-AP) is less poisonous and is used as a precursor for producing analgesics and antipyretics.⁵,⁶ Therefore, in both the environment and human life, the reduction of 4-NP to 4-AP using NaBH₄ as a reducing agent plays an important role. This reaction could occur only in the presence of a metal nanostructure as a catalyst.⁷

Among catalysts, gold nanoparticles (AuNPs) are one of the nanosized materials that have attracted considerable attention from researchers for the phenomenon of surface plasmon resonance (SPR) because of their unique optical properties.⁸,⁹ AuNPs are synthesized using different physical and chemical methods.¹⁰ The physical approach has many limitations, including high energy requirements, complex expensive instruments, and a low yield.¹¹ In terms of chemical synthesis, using a basic laboratory setup, the chemical approach is extremely cost-effective and has a high yield.¹² However, chemical methods use poisonous and volatile reactants, which are extremely dangerous and detrimental to both the environment and human health. Hence, researchers are interested in developing eco-friendly and inexpensive alternative protocols such as one-step synthesis of AuNPs utilizing some molecules as both the reducing and capping agent.¹³,¹⁴ Consequently, for synthesizing AuNPs, the scientific community developed a novel green strategy. This method’s protocol has been established using biobased reagents such as bacteria, fungi, marine algae, lichens, and plants.¹⁵

The idea of using plant extract of *Ganoderma lucidum* (*G. lucidum*) to generate nanostructures as a reducing and protecting agent has received the concern of scientists, such as silver nanoparticles¹⁶ and reduced graphene oxide-magnetite nanocomposites.¹⁷ *G. lucidum* is one of the biological agents and is commonly known as lingzhi in Chinese and reishi in Japanese; it has been used as a medicinal mushroom for the past centuries.¹⁸ The extract is used to promote an extremely long life, a healthy immune system, and mental clarity because of its strong anti-inflammatory function. The *G. lucidum* composition contains multiple bioactive components such as

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polysaccharides, triterpenes, proteins, and a small amount of amino acids and vitamins,19 which leads to it having medicinal properties, e.g., antiallergic,20 anti-inflammatory,21 antiviral,22 and anticancer activities.23 Therefore, as a reducing and preventing agglomeration agent, in the “green synthesis” process of AuNPs, the plant extract of G. lucidum was used.

Many studies reported that the rate of synthesizing metallic nanoparticles could be significantly higher using assistance techniques such as heat-treatment,24 gamma irradiation,25 ultrasonication,26,27 hydrothermal,28,29 and microwave irradiation.30,31 Among these assistance techniques, microwave-assisted synthesis has been receiving attention as an inexpensive heating method because of its short reaction time, low cost, and high product output.32 Thus, electromagnetic waves with irradiation ranging from 300 MHz to 300 GHz (corresponding to the wavelength varying from 1 m to 1 mm)33 and microwave irradiation could directly interact with molecules and accelerate them. Thus, radiation energy dissipates to these molecules leading to an increase in the inner temperature of objects and homogeneous heating.34 However, conventional heating methods tend to transfer heat from the surface to inner objects using convective thermal gradients; furthermore, the surface temperature of objects is higher than that of other parts, which leads to both a nonuniform heat distribution and a reduction in the productivity of the reaction.35 Consequently, microwave-assisted synthesis seems a potential candidate for novel techniques that assist in the synthesis method of materials, particularly nanosized materials.36

In this study, the advancement of microwave-assisted green synthesis compared to conventional heating for preparing AuNPs was successfully demonstrated; furthermore, the optical and morphological properties, crystal phase, and size distribution of AuNPs were examined. Finally, the catalytic properties of AuNPs for reducing 4-NP were evaluated. Both activation energy and thermodynamic parameters were determined by reduction under different temperatures. This is the first study on the comprehensive microwave-assisted biosynthesis of AuNPs using G. lucidum extract and evaluation of their catalytic reduction of 4-nitrophenol.

2. RESULTS AND DISCUSSION

2.1. Characterization of the Obtained Materials. Before the reaction, the mixture, including the G. lucidum aqueous extract and chloroauric acid, was yellow. After the reaction, the color of the mixture changed to dark purple. Because of SPR, this observation contributed to the confirmation of the formation of AuNPs. Moreover, a UV−vis spectrometer was used to examine the optical properties of MW-AuNPs and CH-AuNPs. Figure 1 shows the UV−vis spectra of these Au colloidal suspensions. Note that CH-AuNPs had the SPR peak at 537 nm; however, the SPR peak of MW-AuNPs shifted to a shorter wavelength at 525 nm. Moreover, this peak was sharper and at higher intensity than that of the CH-AuNP sample. Thus, with the help of microwave-assisted synthesis, additional particles with a uniform size distribution were obtained.

Figure 2 shows different magnified transmission electron microscopy (TEM) images and corresponding particle size distribution analysis of CH-AuNPs (a−c) and MW-AuNPs (d−f).

are obtained by measuring the size of particles from the corresponding TEM image (Figure 2a,d). CH-AuNPs have a wide range of size distribution at ~33.34 ± 14.95 nm. However, the size distribution of MW-AuNPs was smaller with sizes at ~22.07 ± 8.11 nm; moreover, these particles are quite uniform. As seen from the scanning electron microscopy (SEM) images in Figure 3, MW-AuNPs are more homogeneously spherical and dispersed compared to the sample of CH-AuNPs.

Figure 4 shows the X-ray diffraction (XRD) patterns used to describe the crystal structure of the obtained nanoparticle samples. Au nanocrystals in the two samples demonstrated
similar diffraction peaks to those from the standard XRD pattern of AuNPs (JCPDS No. 04–0784). There are four distinct peaks at $2\theta = 37.7, 43.9, 64.2, \text{ and } 77.2^\circ$, corresponding to (111), (200), (220), and (311) reflection planes of the fcc structure of metallic Au. Moreover, the crystallinity of MW-AuNPs was higher than that synthesized using conventional methods. The average crystallite size of AuNPs in MW-AuNPs and CH-AuNPs samples was determined using Scherrer’s equation:

$$D = \frac{K\lambda}{\beta \cos \theta}$$

(1)

where $D$ is the crystallite size (nm); $K$ is the shape factor, usually taken as 0.9; $\lambda$ is the wavelength of X-rays in nanometers ($\lambda_{Cu K\alpha} = 0.15405 \text{ nm}$); $\theta$ is the diffracted angle of the peak; and $\beta$ is the full width at half maximum of the peak in radians. The results show that the average crystallite size of MW-AuNPs was less than that of CH-AuNPs (16.651 nm for MW-AuNPs and 18.656 nm for CH-AuNPs).

The XRD pattern of both samples has the same pattern as that of the TEM particle size. This observation could be explained by comparing the heating mechanism between these two methods: conventional heating and microwave heating (Figure 5).

Conventional heating comprises a combination of conductive and convective heat transfer, which leads to a slow increase in temperature. However, under microwave irradiation treatment, the temperature of the mixture significantly increased because of dipole rotation and ionic conduction. Therefore, Au ions were homogenously heated with localized superheating, thus increasing the effective interactions between Au ions and functional groups such as hydroxyl and carbonyl in the aqueous extract of $G. \text{lucidum}$. Therefore, the reduction rate of the ion $\text{Au}^{3+}$ to $\text{Au}^0$ significantly increased, which contributed to reduction in the reaction time and increase in the product yield. Moreover, microwave-assisted synthesis promptly promoted nucleation and simultaneously all nuclei grew. Therefore, with the help of microwave-assisted synthesis, MW-AuNPs have high crystallinity and produce smaller and uniform particles.

FTIR spectroscopy was performed to demonstrate functional groups capping on the surface of AuNPs. Figure 6 shows superheating, thus increasing the effective interactions between Au ions and functional groups such as hydroxyl and carbonyl in the aqueous extract of $G. \text{lucidum}$. Therefore, the reduction rate of the ion $\text{Au}^{3+}$ to $\text{Au}^0$ significantly increased, which contributed to reduction in the reaction time and increase in the product yield. Moreover, microwave-assisted synthesis promptly promoted nucleation and simultaneously all nuclei grew. Therefore, with the help of microwave-assisted synthesis, MW-AuNPs have high crystallinity and produce smaller and uniform particles.

FTIR spectroscopy was performed to demonstrate functional groups capping on the surface of AuNPs. Figure 6 shows the FTIR spectra of GL extract, CH-AuNPs, and MW-AuNPs. In the spectrum of GL extract (Figure 6a), the absorption peak observed at 3408 cm$^{-1}$ was attributed to the stretching vibration of hydroxyl groups. The peaks at 2914 and 1614 cm$^{-1}$ were attributed to the methylene and carbonyl groups (–C=O), respectively. Moreover, the peak appearing at ~2918 cm$^{-1}$ was attributed to the stretching of the C=N group. In terms of the spectra of CH-AuNPs and MW-AuNPs...
(Figure 6b,c), there seems to be a similarity to the FTIR spectra of GL extract; these results confirm that there were certain functional groups in the surface of AuNPs in both CH-AuNP and MW-AuNP samples. However, the intensity of these peaks slightly decreased; this phenomenon could be explained by the hydrolysis of the GL extract in an alkaline environment (pH = 9) as well as the oxidation of hydroxyl groups and carbonyl groups, which involved reducing the ion Au$^{3+}$ to Au$^{0}$ during the synthesis of AuNPs in two different protocols.

The elemental compositions of MW-AuNPs were examined using the energy-dispersive X-ray spectroscopy (EDX) technique; furthermore, the EDX elemental mapping is shown in Figure 7. The EDX spectra show that the four main elements are Au, O, C, and Cl in the sample (Figure 7a); moreover, the amount of Au was the highest at 53.66% (Table 1). The elemental distribution was observed using the elemental mapping image (Figure 7b–e); these results show the well distribution of four elements, particularly Au in the nanostructure.

2.2. Stability of the Suspension. The stability of the colloidal solution of the MW-AuNP sample was examined by obtaining the UV–vis spectra of samples stored at different times at room temperature; the result is shown in Figure 8a.

![Figure 7](attachment:figure7.png)

**Figure 7.** EDX spectrum of MW-AuNPs (a) and EDX elemental mapping of Au (b), C (c), O (d), and Cl (e).

![Figure 8](attachment:figure8.png)

**Figure 8.** (a) UV–vis spectra of a colloidal solution of Au at different storage times and (b) scheme of preventing agglomeration between AuNPs.

The original sample demonstrated an SPR peak at an absorption of 525 nm. Although this absorption peak shifted to a longer wavelength (~530 nm) after 20 days with a slight decrease in the strength of absorption peaks, there was no visual agglomeration phenomenon of the colloidal solution of Au during the survey time. This indicates that the sample of MW-AuNPs is stable and could be stored for 3 weeks. This phenomenon could be explained by the fact that these functional groups of the GL extract have the ability to form a layer surrounding the surface of AuNPs (Figure 8b) to prevent agglomeration between AuNPs. Therefore, in the synthesis process of AuNPs, GL extract acts as both a reducing and stabilizing agent.

### Table 1. Elemental Composition of MW-AuNPs Analyzed Using EDX

| element | weight (%) | atom (%) |
|---------|------------|----------|
| C       | 31.35      | 68.99    |
| O       | 13.94      | 23.03    |
| Cl      | 1.05       | 0.78     |
| Au      | 53.66      | 7.20     |
2.3. Catalytic Activity of MW-AuNPs for the Reduction of 4-NP. The reduction reaction of 4-NP to 4-AP with NaBH4 was used to evaluate the catalytic properties of AuNPs. In an aqueous medium, the 4-NP solution demonstrates an absorption peak at 317 nm; then, while adding NaBH4, the absorption peak shifted to a longer wavelength at 400 nm (Figure 9a) with change in color from light yellow to deep yellow, which was attributed to the generation of 4-nitrophenolate ions. In the absence of the AuNP catalyst, the reduction of 4-NP cannot occur; furthermore, the color of the reaction mixture was immutable. In terms of the presence of a small amount of Au catalyst, by increasing the reaction time not only was there releasing of additional air bubbles but also the yellow color of 4-nitrophenolate became colorless. The time dependence of reduction of 4-NP was examined by monitoring the UV−vis spectra and is illustrated in Figure 9b. During the reaction time, the intensity of the peak at 400 nm related to 4-NP gradually decreased; however, the absorption peak of 4-AP at 300 nm increased and the reduction of 4-NP was complete after 30 min. As per the reaction condition, the NaBH4 amount was considerably higher than the amount of 4-NP such that the concentration of 4-NP remains constant during the reduction reaction. Therefore, the reduction of 4-NP abides by the pseudo-first-order kinetics; moreover, the apparent kinetic rate constant \( k_{app} \) was determined using eq 2:

\[
\ln \left( \frac{A_t}{A_0} \right) = -k_{app} t
\]

where \( A_0 \) and \( A_t \) are the absorbance of 4-NP (400 nm) at 0 and \( t \) min, respectively. The \( k_{app} \) value was calculated using the slope of the linear plot of \( \ln(A_t/A_0) \) versus reaction time; the result is shown in Figure 9c. At 303 K, the apparent kinetic rate was 0.086 min\(^{-1}\) with \( R^2 = 0.9868 \).

In this study, the catalytic performance of AuNP synthesis obtained for the 4-NP reaction is compared with the previous results of Au nanosized materials and is presented in Table 2.

| biological source            | particle size (nm) | \( k_{app} \) (min\(^{-1}\)) | reference |
|------------------------------|-------------------|------------------------------|-----------|
| Crinum latifolium leaf       | 17.6              | 0.206                        | 43        |
| Trichosporon montevideense   | 12–53             | 0.032                        | 44        |
| jujube                       | 8–13              | 0.070                        | 45        |
| Lactuca indica leaf          | 14.5              | 0.078                        | 46        |
| Bryonia rhameoides           | 25                | 0.564                        | 47        |
| Ganoderma lucidum            | 22                | 0.086                        | this work |

In this study, the \( k_{app} \) value was considerably less than the other material; these results could explain that the particle size of AuNPs synthesized by the extract of \( G. \) lucidum with the assistance of microwave-assisted spectroscopy was larger than that of other AuNP samples, as shown in Table 2; furthermore, the organic molecules covering the surface of MW-AuNPs could partially defend the active sites of nanoparticles, which affects the catalytic activity for reducing 4-NP.

For effective practical applications, the reusability of AuNPs as a catalyst plays an extremely important role. For the recycle process, AuNPs after each usage was centrifuged and washed three times with distilled water and then ethanol, followed by drying overnight for the next cycle of catalysis using the same reaction conditions. Figure 10 shows the effectiveness of
reducing AuNPs. There was a slight decrease in the value of the reduction efficiency after four cycles, which contributes to the fact that AuNPs are a potential catalyst for reducing 4-NP.

2.4. Proposed Mechanism for 4-NP Reduction by NaBH₄ in the Presence of Gold Nanoparticles. Figure 11 shows the reaction mechanism for the catalyst reduction of 4-NP in the presence of AuNPs and obeys the Langmuir–Hinshelwood model. At the start of the process, excess BH₄⁻ ions from NaBH₄ are hydrolyzed in water to generate active hydrogen and then approach the AuNP catalyst and form the Au–H complex between the Au atom and active hydrogen. In the subsequent step, the 4-NP molecules adsorb on the surface of AuNPs, and 4-NP, which was reduced by the active hydrogen of the Au hydride, complex to form 4-AP. Finally, 4-AP was desorbed from the surface of AuNPs, which can then recycle the surface for the next catalytic cycle.

2.5. Effect of Reaction Temperature. As various temperatures ranging from 303 to 333 K, the activation energy and thermodynamic parameters for reducing 4-NP by AuNPs were analyzed using the same protocol. The catalytic equipment was placed in a thermostat such that the temperature could be exactly adjusted. When increasing the reaction temperature, the movement of reactant molecules vigorously increase, leading to a more effective collision. Therefore, the k_app values for reducing 4-NP increased with the increase in temperature; these results are observed in Figure 12a. To calculate the activation energy and thermodynamic parameters such as the activation enthalpy (ΔH°), the activation entropy (ΔS°) was calculated using the following equations:

Arrhenius equation:  
\[ \ln \frac{k_{app}}{T} = \ln A - \frac{E_a}{RT} \]  

Eyring equation:  
\[ \ln \frac{k_{app}}{T} = \ln k_0 \frac{\Delta S^\#}{h} + \frac{\Delta H^\#}{RT} - \frac{\Delta S^\#}{R} \]  

Gibbs equation:  
\[ \Delta G^\# = \Delta H^\# - T \cdot \Delta S^\# \]  

Figure 10. Reusability of the AuNP catalyst.

Figure 11. Schematic for the mechanism of reducing 4-NP in the presence of AuNPs as a catalyst.
where \( A \) is a constant, \( R \) is the gas constant (8.314 J·mol\(^{-1}\)·K\(^{-1}\)), and \( k_{\text{app}} \) is the apparent rate constant at the investigated temperature \( T \); \( T \) is the absolute temperature (in kelvin); \( E_a \) is the activation energy; \( k_B \) is the Boltzmann constant (1.381 \times 10^{-23} \text{ J·K}^{-1}); and \( h \) is Planck’s constant (6.63 \times 10^{-34} \text{ J·s})

\[ E_a = \text{slope of the linear plot of } \ln(k_{\text{app}}/T) \text{ versus } 1/T. \]

\( E_a \) was defined using the slope of the linear plot of \( \ln(k_{\text{app}}/T) \) versus \( 1/T \) (Figure 12b-black line) and obtained as 36.57 kJ·mol\(^{-1}\). However, \( \Delta H^\theta \) and \( \Delta S^\circ \) were obtained to be 33.931 kJ·mol\(^{-1}\) and -153.44 J·mol\(^{-1}\)·K\(^{-1}\), respectively (Table 3), as per the slope and intercept of the linear plot of \( \ln(k_{\text{app}}/T) \) versus \( 1/T \) (Figure 12b-red line). We then obtained a positive \( \Delta H^\theta \), which indicates that the formation of an activated complex between 4-NP molecules and AuNPs was endothermic. Furthermore, the negative value of \( \Delta S^\circ \) indicates that there was the formation of an activated complex between molecules of 4-NP and AuNPs. Moreover, in the adsorption of 4-NP on the surface of AuNPs, the value of Gibbs free energy was positive, which indicates that the reaction required energy to convert reactants to products; furthermore, when the energy requirement was suitable, the reduction occurred.

### Table 3. Result of Thermodynamic Parameters for Reducing 4-NP in the Presence of AuNPs as a Catalyst

| temperature (°C) | \( \Delta H^\theta \) (kJ·mol\(^{-1}\)) | \( \Delta S^\circ \) (J·mol\(^{-1}\)·K\(^{-1}\)) | \( \Delta G^\circ \) (kJ·mol\(^{-1}\)) |
|------------------|--------------------------------|---------------------|---------------------|
| 303              | 33.931                          | -153.44             | 80.424              |
| 313              | 33.931                          | -153.44             | 81.959              |
| 323              | 33.931                          | -153.44             | 83.493              |
| 333              | 33.931                          | -153.44             | 85.028              |

### 3. CONCLUSIONS

This study proposed that spherical AuNPs with an average size of 22 nm were synthesized using the \( G. \) lucidum extract with the help of microwave-assisted synthesis. The catalytic activity of reducing 4-NP was significantly higher in the presence of AuNPs with high stability and reusability for four catalytic cycles. The results of the thermodynamic studies of the reaction demonstrated that the activation energy value was 36.57 kJ·mol\(^{-1}\). Furthermore, both activation enthalpy and activation entropy were reported to be 33.931 kJ·mol\(^{-1}\) and -153.44 J·mol\(^{-1}\)·K\(^{-1}\), respectively. This study's outcome indicated that, as a reducing and preventing agglomeration agent, the plant extract of \( G. \) lucidum was used during the "green synthesis" process of AuNPs.

### 4. EXPERIMENTAL SECTION

#### 4.1. Material.

The fruit bodies of \( G. \) lucidum obtained from the buffer zone of Bach Ma National Park from Nam Dong District, Thua Thien Hue province, were dried and ground to powder.

HAuCl\(_4\)·3H\(_2\)O was purchased from Shanghai Zhanyun Chemical. NaBH\(_4\), 4-NP, NH\(_2\)OH (25%), and ethanol (99%) were purchased from Sigma-Aldrich, USA. All the chemicals were used as-received without additional purification.

#### 4.2. Method.

#### 4.2.1. Preparation of \( G. \) lucidum Extract.

The hot aqueous extract of GL was prepared using a reflux method. A 5.0 g of fruit body powder of \( G. \) lucidum was added to distilled water (500 mL) and heated to 85 °C for 4 h with stirring. Then, the mixture was centrifuged at 4300 rpm for 20 min to remove the insoluble part. For biosynthesizing AuNPs, the resultant product was filtered and \( G. \) lucidum extract was stored in a refrigerator.

#### 4.2.2. Biosynthesis of Gold Nanoparticles Using GL Extract.

AuNPs were then synthesized as per two processes using the conventional heating method and with the assistance of microwave irradiation: CH-AuNPs and MW-AuNPs. In a typical process, 10 mL of 1 mM chloroauric acid was added to distilled water (500 mL) and heated to 85 °C for 4 h with stirring. Then, the mixture was centrifuged at 4300 rpm for 20 min to remove the insoluble part. For biosynthesizing AuNPs, the resultant product was filtered and \( G. \) lucidum extract was stored in a refrigerator.

#### 4.3. Catalytic Activity of Gold Nanoparticles in the 4-NP Reduction Reaction.

The catalytic activity of AuNPs in the 4-NP reduction reaction was performed in an aqueous solution at room temperature. For a typical catalytic reaction, 1
mL of 4-NP (1 mM) was mixed with 1 mL of NaBH₄ (0.1 M) and 8 mL of deionized water. In the subsequent step, 1 mg of the Au nanocatalyst was added and then the reaction was carried out at room temperature. Using UV–vis spectrometry, in the scanning range from 200 to 500 nm, the UV–vis spectra of the mixture were recorded with time to track the shifting absorption of the mixture.

4.4. Characterization. After synthesizing AuNPs, the optical properties of these samples were examined using a UV–Vis V-630 spectrophotometer. Both the crystal phase and purity of nanosized materials were examined using XRD (D8-Bruker, Germany) equipped with CuKα radiation (λ = 1.5406 Å). Both morphology and particle size distribution of the synthesized products were observed using a transmission electron microscope (JEOL-1010, Japan) integrated with a scanning electron microscope (JEOL JSM-6510, Japan). Moreover, the elemental and atomic weight composition of samples were examined by EDX. Furthermore, to identify the functional groups of multiple biomolecules in these samples, FTIR analysis was then performed using a R-Prestige-21 Shimadzu FTIR spectrophotometer using the KBr pellet method.

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V.P.N. did the conceptualization, methodology, investigation, validation, and writing of the original draft and acquired funding. H.L.T and T.H.N. contributed to investigation and analysis. Supervision, validation, and writing—review and editing were done by D.Q.H. and T.H.T.

Notes

The authors declare no competing financial interest.

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