Pharmacometric analyses to characterize the effect of CSL112 on apolipoprotein A-I and cholesterol efflux capacity in acute myocardial infarction patients

Bo Zheng | Danielle Duffy | Pierluigi Tricoci | Helen Kastrissios | Marc Pfister | Samuel D. Wright | Andreas Gille | Michael A. Tortorici

1CSL Behring, King of Prussia, PA, USA
2CSL Behring, Pasadena, CA, USA
3Certara Strategic Consulting, Princeton, NJ, USA
4University of Basel, Basel, Switzerland

Correspondence
Bo Zheng, CSL Behring, King of Prussia, PA 19406, USA.
Email: bo.zheng@cslbehring.com

Aims: To characterize relationships between apolipoprotein A-I (apoA-I) exposure and cholesterol efflux capacity (CEC) and covariate effects following CSL112 (apoA-I [human]) administration in an integrated population including acute myocardial infarction (AMI) patients.

Methods: A pharmacometric analysis utilized data from seven clinical trials, including patients with AMI, subjects with renal impairment and healthy subjects. A population pharmacokinetic (PK) analysis was performed to relate CSL112 doses to changes in apoA-I plasma concentrations. Covariate analysis was conducted to identify sources of variability in apoA-I exposure. Exposure-response modeling was conducted to describe the relationship between apoA-I exposure and total or ATP binding cassette transporter A1-(ABCA1)-dependent CEC and to identify clinical predictors of CEC.

Results: A two-compartment model described apoA-I PK. ApoA-I clearance was slightly lower in subjects with AMI, whereas baseline apoA-I was marginally higher in female and Japanese subjects. Covariate effects on apoA-I exposure were in the order of 10% and thus not clinically relevant. The relationships between apoA-I exposure and CECs were described by nonlinear models. Simulations showed CEC elevation resulting from apoA-I exposure increment was comparable in AMI and non-AMI subjects; no covariate had clinically meaningful effects on CEC. Simulations also demonstrated that CEC in patients with AMI post 6 g CSL112 dosing was substantially elevated compared to placebo and lower dose levels.

Conclusions: The model-based exposure-response analysis demonstrated, irrespective of body weight, sex and race, that fixed 6 g CSL112 dosing causes a...
1 | INTRODUCTION

Apolipoprotein A-I (apoA-I) is the primary functional component of high-density lipoprotein (HDL). Its key atheroprotective property is its ability to rapidly remove cholesterol from atherosclerotic plaque via cholesterol efflux. Cholesterol is then transported to the liver for excretion. This process, known as reverse cholesterol transport, is the body’s primary mechanism for clearing excess cholesterol that would otherwise accumulate in plaque. One of the major mediators of cholesterol efflux from plaque cells is the ATP binding cassette transporter A1 (ABCA1), and lipid-poor apoA-I is the most efficient acceptor of cholesterol via ABCA1.

Following an acute myocardial infarction (AMI), cholesterol efflux capacity (CEC) is impaired. Having a low CEC has been associated with an increased incidence of cardiovascular events, independently of traditional cardiovascular risk factors and with increased mortality following ST-elevation myocardial infarction. CSL112 (apoA-I [human]), which is a novel intravenous formulation of human apoA-I, that upregulates cholesterol CEC in development for reducing the risk of early recurrent cardiovascular events following an AMI. Upregulating CEC post AMI is hypothesized to rapidly remove cholesterol from plaque, thus reducing the risk of a secondary cardiovascular event by stabilizing plaque.

Clinical safety, efficacy, pharmacokinetics (PK) and pharmacodynamics (PD) of CSL112 post single or multiple doses have been investigated in dose ranges of 5-135 mg kg⁻¹ and 1.7-6.8 g in seven phase 1 and 2 clinical trials. It was observed in these clinical trials that intravenous administration of CSL112 resulted in a rapid and approximately dose-proportional enhancement in plasma apoA-I exposure followed by a gradual decline back to baseline, with a mean half-life of 46.4 and 53.9 h observed for 2 g and 6 g infusions of CSL112 in post-AMI patients (manuscript in preparation). In addition, these trials have demonstrated that CSL112-enhanced plasma apoA-I concentration is associated with a rapid and substantial elevation in CEC, predominantly ABCA1-dependent CEC. In addition, these studies have characterized the PK and PD of CSL112 in both healthy volunteers and subjects with cardiovascular disease (stable and post AMI), and have shown in noncompartmental analysis (NCA) that the PK and PD are largely unaffected by moderate renal impairment (RI).

The largest clinical trial with CSL112 conducted to date was a phase 2b, multicenter, randomized, placebo-controlled dose-ranging study (AEGIS-I), which investigated the safety and tolerability of multiple doses (four weekly doses) of CSL112 (2 g or 6 g). AEGIS-I supported the use of the 6 g dose in patients with a recent AMI, with administration of 6 g CSL112 resulting in 2.46- and 4.30-fold elevations in total and ABCA1-dependent CEC, respectively, immediately after infusion.

As the first quantitative PKPD assessment in the integrated population including patients with AMI in CSL112 development, the objectives of this pharmacometric analysis were to (a) characterize the population PK and exposure-response (exposure-CEC) relationships of apoA-I following CSL112 administration utilizing integrated data from seven phase 1 and 2 studies, and (b) evaluate the potential influence of covariates of interest on these relationships.

2 | METHODS

This pharmacometric analysis comprised two parts: (a) population PK analysis to relate CSL112 doses to changes in apoA-I plasma concentrations in the integrated population including healthy subjects and patients with AMI; and (b) exposure-response analysis to characterize the relationship between apoA-I exposure and associated changes in ABCA1-dependent and total CEC. All seven phase 1 and 2 trials included in this analysis were conducted in accordance with the International Conference on Harmonization Good Clinical Practice.
guidelines, the Declaration of Helsinki (version of 1996) and local legal/regulatory requirements. Details of individual study methodology, including sampling and assay/reagent information, for those studies have been previously described.22 In those studies, apoA-I exposure, total CEC and ABCA1-dependent CEC were measured at multiple time points up to 144 h following the start of a 2-h infusion, using assays as previously described.21 Briefly, an immunonephelometric assay was used to assess apoA-I concentration by measuring turbidity caused by apolipoprotein-antibody complexes. CEC was assessed by incubating serum for 4 h with J774 macrophages preloaded with radiolabelled cholesterol with and without induction of ABCA1 levels by cyclic AMP. Assessment of CEC without cyclic AMP induction provides a value for ABCA1-independent CEC, which is then subtracted from total CEC to calculate ABCA1-dependent CEC. Liquid scintillation counting was used to quantify the efflux of radioactive cholesterol from the cells. The quantity of radioactive cholesterol incorporated into cellular lipids was calculated by means of isopropanol extraction of control wells not exposed to patient serum. The CECs were reported with a unit of %efflux/4 h. Brief descriptions of the sampling schedules for all studies included are provided in Supporting Information Table S1.

2.1 Clinical study data

PK and PD data, which were sourced from healthy subject with normal or impaired renal function, patients with cardiovascular disease (stable and post AMI), in all seven phase 1 and 2 clinical trials of CSL112, were pooled and used for this analysis (Supporting Information Table S1). As the phase 1/2a studies were intensively sampled and the AEGIS-I study (AMI patients only) was sparsely sampled, the data from phase 1/2a studies were used to inform model development in this analysis. The demographic and clinical characteristic data from those trials were also included in this analysis for comprehensive evaluation of their effects on CSL112 PKPD. Subjects were included in the population PK analysis if they had at least one post-dose measurement of apoA-I. Exposure-response relationships were assessed using data from four studies: study CSL112_1002, CSL112_1001, AEGIS-I and CSL112_2001. Subjects were included in the exposure-response analysis if they had at least one ABCA1-dependent or total CEC measurement. For details see Supporting Information Methods.

2.2 Development of the population PK model

A nonlinear mixed effects modelling approach using NONMEM (version 7.3)22 was used to construct the population PK model. All data preparation, summary statistics, graphics, exploratory analyses and post-processing of NONMEM outputs were performed in R (version 3.2.2).23 The previously published two-compartment model21 was used as the starting point for model building, as supported by graphical evaluations of apoA-I PK profiles.12,16 PK measurements collected post dose in treated subjects were the total of endogenous and exogenous (from CSL112 administration) apoA-I plasma concentrations. In subjects assigned to placebo, PK measurements reflected only endogenous apoA-I plasma concentrations. Because the time course of PK profiles in those seven studies was short (≤35 days), and endogenous apoA-I secretion rate is stable and not closely associated with body weight, sex, HDL-cholesterol (HDL-C) and exercise,24–26 endogenous apoA-I concentrations were assumed to be stable over the study period and modelled using a baseline parameter. Differing from the previous model,21 interoccasion variability (IOV), varying from week to week during the treatment period, was applied to apoA-I baseline in the current model to reflect natural fluctuation in endogenous apoA-I level over time. Interindividual variability (IVV, IOV on model parameters and the residual error model were examined in the PK model. The significances of covariates were evaluated using stepwise procedures with forward addition/backward deletion. The magnitude of individual covariate effects was simulated and graphically evaluated in a forest plot by comparing to predefined clinical relevance criteria (bioequivalence range 0.8–1.25). The assessed IV (percentage coefficient of variation, %CV) of apoA-I level and CECs at baseline or post CSL112 administration within dose groups were typically in the range of 10–30% across the completed clinical trials.13,14,21 In fact, CSL112 was well tolerated in a wide dose range (single doses of up to 135 mg kg−1,14 and multiple doses of up to 6.8 g25) and an acceptable safety profile was observed across the tested dose range.27 Therefore, if interindividual difference in apoA-I exposure was within the range of −20% to +25%, or the magnitude of covariate effect was within the range of 80–125%, the covariate was not considered clinically relevant. A nonparametric bootstrap and prediction-corrected visual predictive check (VPC) were used to evaluate the stability, robustness and appropriateness of the final PK model. For details of the methodology see Supporting Information Methods.

2.3 Development of the exposure-response model

Observed plasma apoA-I concentrations vs CEC relationships were first explored graphically by subpopulation, with total and ABCA1-dependent efflux evaluated separately. ABCA1-dependent efflux represents a subfraction of total efflux. Cholesterol efflux following CSL112 administration is predominantly ABCA1-dependent,13,14,21 which due to the upregulation of ABCA1 on atherosclerotic plaque may result in preferential removal of plaque cholesterol. The PKPD model for ABCA1-dependent and total CEC were developed sequentially using NONMEM. A sigmoid $E_{\text{max}}$ model described the relationship between predicted individual apoA-I exposure (IPRED in the PK model output) and ABCA1-dependent CEC. For total CEC, a model combining sigmoid $E_{\text{max}}$ and linear functions was used to characterize the relationship in which sigmoid function parameters (maximum effect [EC50], concentration at half maximum effect [EC50], Gamma) were fixed to the individual estimates from the ABCA1-dependent CEC model. The functional forms of the exposure-response relationships in the models are:

$\text{response} = \frac{\text{maximum effect}}{1 + \left(\frac{\text{concentration}}{\text{EC50}}\right)^\text{Gamma} }$
\[
\text{ABCA1-dependent CEC} = \frac{E_{\text{max}}}{{EC_{50}}} \cdot \frac{\text{Conc}}{\text{Gamma} + \text{Conc}} \cdot \text{Gamma} (1)
\]

\[
\text{Total CEC} = \frac{E_{\text{max}}}{{EC_{50}}} \cdot \frac{\text{Conc}}{\text{Gamma} + \text{Conc}} + \text{slope} \cdot \text{Conc.} + \text{intercept} (2)
\]

where Conc. represents PK model-estimated individual apoA-I concentration (IPRED), \(E_{\text{max}}\) represents maximum effect, \(EC_{50}\) represents the concentration of apoA-I at half-maximal response, Gamma is the Hill coefficient, and slope and intercept are the linear function parameters to describe the part of ABCA1-independent CEC in total CEC. The values of \(E_{\text{max}}\), \(EC_{50}\) and Gamma in the total CEC model were fixed to the individual estimates obtained from the ABCA1-dependent CEC model.

A covariate analysis was conducted to evaluate predictors of CEC. As the covariate analysis was mainly intended to detect potential clinically relevant effects (outside of the range of 0.8-1.25) to identify opportunities for dose adjustments, covariate effects on CEC elevation were then evaluated using simulations in response to different increment in apoA-I exposure, which is equal to 50-125% of a typical subject’s baseline. For details of the methodology see Supporting Information Methods.

2.4 Simulations of apoA-I exposure and CECs within 48 hours post first dose

It was observed that apoA-I and CEC increase immediately and then gradually decline after CSL112 administration. ApoA-I returns to baseline levels within 48-96 hours, depending on the dose and study population.\(^\text{12-14,16,19,20,28}\) As such, elevations in apoA-I level and ABCA1-dependent CEC were sustained for at least 48 hours in post-AMI patients following a 6 g dose.\(^\text{28}\) In addition, elevations in apoA-I and CEC were similar after the first and fourth CSL112 doses in post-AMI patients.\(^\text{28}\) Suppression of CECs post AMI, followed by a gradual return back to baseline level, has been reported.\(^\text{4,6}\) Since the risk of major adverse cardiac events (MACE) remains elevated soon after a coronary event, it is critical for those AMI patients to receive apoA-I treatment as early as possible post AMI. Therefore, exposure and CECs post first dose were analysed due to an interest in assessing the rapid increase immediately post AMI, when CEC has been shown to be suppressed and the MACE risk is highest. Baseline-corrected apoA-I AUC\(_{0-48}\) represents the overall elevation in apoA-I level over 48 hours. AUC\(\text{CEC}_{0-48}/48\) h represents the time-averaged elevation in CEC over 48 hours, which can more reasonably reflect overall elevation in CEC response over 48 hours, in comparison with maximum CEC elevation. In the current analysis, individual empirical Bayesian estimates from the final PKPD models were utilized to obtain baseline-corrected individual apoA-I AUC\(_{0-48}\) and time-averaged total and ABCA1-dependent CEC (AUC\(\text{CEC}_{0-48}/48\) h) after the first dose. These relationships were then examined by sex, race and AMI status. The relationship of baseline-corrected exposures (AUC\(_{0-48}\)) and time-averaged CEC (AUC\(\text{CEC}_{0-48}/48\) h) were also examined by linear or nonlinear models. Consequently, the best model was used to simulate baseline-corrected apoA-I AUC\(_{0-48}\) and time-averaged AUC\(\text{CEC}_{0-48}\) values after the first dose in 1000 virtual subjects. The simulated median and 90% prediction interval were overlaid on the individual exposure-response data and the results were shown graphically to evaluate dose selection in patients with AMI. For details of methodology see Supporting Information Materials.

2.5 Nomenclature of targets and ligands

Key protein targets and ligands in this article are hyperlinked to corresponding entries in http://www.guidetopharmacology.org, the common portal for data from the IUPHAR/BPS Guide to PHARMACOLOGY, and are permanently archived in the Concise Guide to PHARMACOLOGY 2019/20.\(^\text{29}\)

3 RESULTS

3.1 Study population and analysis datasets

Study sampling information and subject characteristics are presented in Table 1. The majority of subjects were male (76%), with a median age of 57 years and mean body weight of 83 kg. The majority (94%) of subjects were Caucasian; 2% were Japanese, all of whom were enrolled in the CSL112_1002 study. The population PK data set included a total of 10 108 observed apoA-I plasma concentrations from 1536 subjects. Of these, 488 subjects received placebo, accounting for 2971 apoA-I plasma concentrations. The maximum dose levels in the PK dataset were 6 g in AMI subject (AEGIS-I study), 6.8 g in patients with stable atherosclerotic disease (study 12-70) and 135 mg kg\(^{-1}\) in healthy subjects (study 09-63), respectively. For the PKPD (exposure-response) dataset, there were 6522 total CEC and 6409 ABCA1-dependent CEC measurements from 1395 subjects. The dose range of the PKPD dataset was up to 6 g.

3.2 Population PK model

The final PK parameter estimates for fixed-effect, the random-effect parameters and the error model parameters together with the results of the nonparametric bootstrap are presented in Table 2. IIV was estimated on all model parameters except Vp and Q as they could not be estimated precisely (large relative standard error, %RSE). The %RSE of the estimated parameters and IIVs were less than 20%, indicating all parameters were precisely estimated. In addition, IOV on baseline apoA-I (BL), where each week of the first 4 weeks and the time after the fourth week post BL measurement corresponded to the five occasions, respectively, was implemented in the final model to reduce OFV (\(\Delta\text{OFV} \sim -970\)) and %RSE. Residual variability was best described by a proportional error model. There were no significant
The condition number was 28.2, which indicated that the model was not overparameterized and that there was no evidence of collinearity. Shrinkage in CL and Vc was approximately 40%, reflecting few observations per subject in the large phase 2 study (AEGIS-I). Nevertheless, goodness-of-fit plots showed that the model adequately described the data over the tested dose range (Supporting Information Figure S1).

A prediction-corrected VPC of the final PK model was performed separately by placebo, single-dose and multiple-dose groups (Figure 1) and also by healthy subjects and patients (Supporting Information Figure S2). It is shown that the model adequately captured the central tendency as well as the variability in apoA-I plasma concentrations over time. In addition, the model captured important features of the data, including an immediate, substantial elevation in apoA-I plasma concentration following CSL112 administration relative to concentrations in placebo subjects and a slight cumulative increase in apoA-I following repeated administration (Figure 1).

### 3.3 CSL112 administration leads to consistent apoA-I exposure irrespective of weight, race (Japanese or non-Japanese), sex or AMI status

The covariate analyses revealed several statistically significant relationships with apoA-I exposure applying power functions in covariate models. Body weight and AMI status were significant covariates on CL, with coefficients of 1.28 and 0.92, respectively. Body weight and dose ≥ 6 g were significant covariates on Vc, with coefficients of 0.53...
and 0.82, respectively. In addition, significant covariates on BL included body weight, Japanese race, sex and study 1001, with coefficients of −0.12, 1.12, 1.08 and 1.16, respectively. As expected, Vc and CL increased with body weight; in line with the latter finding, BL decreased with body weight. There was a relationship between dose and Vc such that total doses of CSL112 over 6 g were associated with lower Vc. CL was 8% lower in subjects who had experienced an AMI compared with healthy subjects and patients with stable atherosclerosis, and there was no overall influence of Japanese race or RI found on apoA-I PK. However, BL was estimated to be 12% higher in

The functional form of the PK-covariate relationships found in the PK model is displayed below:

\[
\begin{align*}
CL &= 0.11 \times \left( \frac{WT}{84} \right)^{1.28} \times 0.92^{AMI} \\
Vc &= 4.84 \times \left( \frac{WT}{84} \right)^{0.53} \times 0.82^{Doseflag} \\
BL &= 1219 \times \left( \frac{WT}{84} \right)^{-0.12} \times 1.16^{Studyflag} \times 1.12^{Jp} \times 1.08^{Sex}
\end{align*}
\]

where AMI = 1 for post-AMI subjects; Doseflag = 1 for total dose ≥ 6 g; Studyflag = 1 for study_1001; Jp = 1 for Japanese subjects; Sex = 1 for female subjects. Those items are equal to 0 for the rest subjects. Abbreviations: AMI, acute myocardial infarction; BL, baseline apoA-I plasma concentration; CL, systemic clearance; IIV, interindividual variability; IOV, interoccasion variability; PK, pharmacokinetic; Q, intercompartmental clearance; RSE, relative standard error; RSE%, relative standard error of the parameter estimates; Vc, volume of the central compartment; Vp, volume of the peripheral compartment; WT, weight.

### Table 2

| Parameter                  | Model estimate (%RSE) | Nonparametric bootstrap (300 replicates) |
|----------------------------|-----------------------|------------------------------------------|
|                            |                       | Median 95% CI (lower limit) 95% CI (upper limit) |
| Fixed effect               |                       |                                           |
| CL (L h\(^{-1}\))         | 0.11 (5.2)            | 0.11 0.10 0.13                           |
| Vc (L)                     | 4.48 (2.2)            | 4.86 4.65 5.09                           |
| Q (L h\(^{-1}\))          | 0.25 (7.9)            | 0.25 0.22 0.29                           |
| Vp (L)                     | 2.47 (6.6)            | 2.53 2.22 2.90                           |
| BL (mg L\(^{-1}\))        | 1219 (0.01)           | 1216 1196 1231                           |
| AMI on CL                  | 0.92 (10.8)           | 0.87 0.72 1.08                           |
| WT on CL                   | 1.28 (12.9)           | 1.25 0.73 1.65                           |
| WT on Vc                   | 0.53 (12.2)           | 0.54 0.41 0.65                           |
| Total dose≥ 6 g on Vc      | 0.82 (2.5)            | 0.81 0.77 0.86                           |
| WT on BL                   | −0.12 (19.6)          | −0.12 −0.17 −0.08                         |
| Female on BL               | 1.08 (1.2)            | 1.08 1.06 1.10                           |
| Japanese on BL             | 1.12 (2.3)            | 1.13 1.08 1.18                           |
| Study 1001 on BL           | 1.16 (2.3)            | 1.17 1.12 1.22                           |
| Random effect              |                       |                                           |
| IIV on CL (%)              | 60.9 (19.8)           | 64.0 48.1 79.1                           |
| IIV on Vc (%)              | 28.2 (18.9)           | 27.9 22.1 34.3                           |
| IIV on BL (%)              | 14.6 (14.6)           | 14.6 13.7 16.0                           |
| IOV on BL (%)              | 11.3 (4.7)            | 10.8 8.7 14.0                           |
| Residual error (%)         |                       |                                           |
| Proportional: AEGIS-I study| 7.9 (4.5)             | 7.9 7.3 8.5                               |
| Proportional: other studies| 5.5 (3.9)             | 5.5 5.2 6.0                               |
| η\(^{-}\) shrinkage (%)    |                       |                                           |
| CL                         | 45.8                  |                                           |
| Vc                         | 36.2                  |                                           |
| BL                         | 18.4                  |                                           |
Japanese subjects than non-Japanese subjects and 8% higher in females than males. In addition, BL was about 16% higher in the CSL112_1001 study population (healthy subjects with normal renal function or moderate RI) compared to other studies, apparently due to unknown interstudy variation.

Although these covariate effects on apoA-I PK were identified as statistically significant effects, none substantially reduced IIV in apoA-I PK and all covariates had minimal impact on apoA-I exposure at steady state (Supporting Information Figure S3). Therefore, they were not deemed to be clinically impactful. This was further demonstrated by examining model-predicted individual AUC₀₋₄₈ after the fourth weekly dose of CSL112 or placebo by race (in healthy subjects) and sex (in subjects with AMI) (Figure 2). There was no significant difference ($P > .05$) in estimates of $AUC₀₋₄₈$ between healthy Japanese and non-Japanese subjects (Figure 2A), confirming no clinically relevant impact of race on apoA-I exposure after multiple doses. In AMI patients (Figure 2B), the median $AUC₀₋₄₈$ in females was 11.1%, 13.0% and 16.5% higher than in males at the placebo, 2 g and 6 g groups, respectively (t-test, $P < .01$). This is likely due to the higher observed baseline apoA-I and lower body weight in females in the AEGIS-I trial. Nevertheless, there was less than a 20% difference in $AUC₀₋₄₈$ between males and females, which suggests that the sex of AMI patients does not have a clinically meaningful effect on apoA-I exposure after multiple administrations of CSL112.

### 3.4 Exposure-response models

Scatter plots of observed total/ABCA1-dependent CEC against observed apoA-I concentration by race, AMI and sex are shown in Figure 3. The plots suggested a positive correlation between apoA-I exposure and CEC that was generally consistent irrespective of covariate. However, ABCA1-dependent CEC vs apoA-I concentrations in study CSL112_1002, which included predominantly Japanese subjects, were generally clustered at the lower end of the range (Figure 3B).

Parameter estimates and results of the nonparametric bootstrap for the final exposure-response models are shown in Table 3. A sigmoidal function, with parameters, $E_{\text{max}}, EC_{50}$ and Gamma, described the relationship between apoA-I concentrations and ABCA1-dependent CEC. A combined sigmoid $E_{\text{max}}$ and linear function, with intercept and slope parameters, described the relationship between apoA-I concentrations and total CEC and IIV, was included on all parameters except for intercept due to large (>50%) RSE. Significant covariates on $EC_{50}$ were AMI, body weight and sex. In addition, AMI was identified as significant covariate on Gamma and slope. Although initial graphical parameter-covariate evaluations (not shown) revealed effects of Japanese race on PD parameters, once AMI and sex were included in the PD models, Japanese race was no longer significant.

Goodness-of-fit plots (Supporting Information Figure S4) and prediction-corrected VPC (Figure 4) suggested that the models reasonably described the data for both the total and ABCA1-dependent CEC analyses.

### 3.5 Limited clinical relevance of covariate effects on CEC elevation

In the covariate analysis of exposure-response models, no tested clinical factor was identified as a statistically significant covariate on $E_{\text{max}}$ in the ABCA1-dependent CEC model, indicating the maximum response or elevation in ABCA1-dependent CEC is consistent across subpopulations after CSL112 administration. Lower hill exponent (Gamma) in AMI subjects hints at a steeper exposure-response relationship in non-AMI subjects than AMI subjects, suggesting the
maximum elevation in ABAC1-dependent CEC could be reached at relatively moderate apoA-I exposure in non-AMI subjects. Interestingly, post-AMI, high body weight or male subjects have lower potency parameter, EC50, suggesting relatively higher elevation or clinical response in ABCA1-dependent CEC even with suboptimal elevation in apoA-I exposure in those subpopulations. The linear function parameter, slope, in the total CEC model was slightly lower in subjects post AMI, suggesting the ABCA1-independent CEC was suppressed post AMI. The clinical relevance of those statistically significant covariates was appraised by simulations based on the exposure-response models. As PK simulations showed that apoA-I exposure was elevated 50-125% from baseline within 48 hours post 6 g CSL112 dose at steady state, effects of covariates on CEC were evaluated using graphical inspection at different apoA-I plasma concentrations at 50-125% increment from the PK model-estimated typical baseline of 1219 mg L⁻¹. The forest plots showing the magnitude of covariate effects on total and ABCA1-dependent CEC are presented in Figure 5. Each of the predicted covariate effects fell within 80-125% of the median prediction for a typical subject, indicating that none of those covariates were clinically relevant for total or ABCA1-dependent CEC within 48 hours post CSL112 administration at steady state.

ApoA-I AUC0-48 and time-averaged total and ABCA1-dependent CEC (AUEC0-48/48 h) after the first CSL112 dose were estimated based on the individual post hoc parameter estimates from the exposure-response models. These exposure-response relationships over 48 hours post dose, stratified by body weight, race, AMI status and sex (not shown), are consistent with the positive correlations observed for the continuous CEC data (Figure 3) in all subpopulations. While Japanese and non-AMI subjects generally showed smaller elevations in time-averaged ABCA1-dependent CEC compared to non-Japanese and AMI subjects, respectively, the distribution of time-averaged total CEC was similar between those subpopulations.

A nonlinear mode (power function) best described the relationship between model-estimated baseline-corrected individual apoA-I exposure (AUC0-48) and time-averaged CEC (AUEC0-48/48 h) for both total and ABCA1-dependent CEC. As shown in Figure 6, baseline-corrected individual apoA-I AUC0-48 and time-averaged total and ABCA1-dependent CEC in studies AEGIS-I and CSL112_1002 were

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**FIGURE 2** Box plots of model-estimated individual apoA-I exposures (AUC0-48) following the fourth infusion by dose and race in healthy subjects (A) and by dose and sex in post-AMI subjects (B), and the median value of AUC0-48 from each group of post-AMI subjects (B). Circles denote outlying data. AMI, acute myocardial infarction; AUC0-48, area under the curve from 0 to 48 hours; Jp, Japanese; M, male; F, female.

**FIGURE 3** Scatter plots of observed apoA-I concentrations vs observed total and ABCA1-dependent CEC by race (Japanese and non-Japanese) (A, B), AMI status (C, D), sex (E, F) and weight (G, H). ApoA-I, apolipoprotein A-I; ABCA1, ATP-binding cassette transporter-1; AMI, acute myocardial infarction; CEC, cholesterol efflux capacity.
overlaid with the model-predicted median and 90% prediction interval for 1000 virtual subjects. Individual predictions from AEGIS-I and CSL112_1002 studies largely fell within the 90% prediction interval and were evenly distributed around the median curve of power model predictions, indicating that the power model well described the nonlinear relationship between apoA-I exposure and time-average CECs in the dose range up to 6 g. In comparison to placebo and 2 g dose, exposures associated with the 6 g dose resulted in CEC responses consistently above the median predicted CEC in the placebo group. There is a steep elevation in CEC in response to the low range of baseline-corrected AUC0-48; the dose of 6 g CSL112 is associated with a close to doubling of time-averaged ABCA1-dependent CEC (AUC0-48/48 h) over 48 hours. Although the curve of median prediction in Figure 6 does not display complete saturation, any further increasing apoA-I exposure results in diminishing returns in cholesterol efflux effect. As the majority (~88%) of model-estimated

| Parameter | Fixed effect | Model estimate (%RSE) | Nonparametric bootstrap (300 replicates) |
|-----------|--------------|-----------------------|-----------------------------------------|
|           |              |                       | Median | 95% CI (lower limit) | 95% CI (upper limit) |
| \( E_{\text{max}} \) (%efflux/4 h) | 11.25 (6.3) | 11.24 | 10.60 | 11.76 |
| \( EC_{50} \) (mg L\(^{-1}\)) | 2023 (1.1) | 2024 | 1926 | 2131 |
| Gamma     | 6.2 (7.9)    | 6.12 | 4.81 | 7.70 |
| Slope (%efflux/4 h/(mg L\(^{-1}\))) | 0.0049 (2.6) | 0.0049 | 0.0047 | 0.0051 |
| Intercept (%efflux/4 h) | 0.52 (18.8) | 0.50 | 0.39 | 0.64 |
| Box-cox parameter for IIV of \( E_{\text{max}} \) | -1.61 (27.5) | -1.62 | -4.23 | -0.20 |
| Box-cox parameter for IIV of Gamma | -2.02 (17.8) | -1.97 | -3.39 | -0.76 |
| AMI on \( EC_{50} \) | 0.83 (10.8) | 0.82 | 0.78 | 0.87 |
| WT on \( EC_{50} \) | -0.18 (12.9) | -0.18 | -0.24 | -0.12 |
| Sex on \( EC_{50} \) | 1.09 (12.2) | 1.09 | 1.05 | 1.13 |
| AMI on Gamma | 0.67 (2.5) | 0.68 | 0.55 | 0.85 |
| AMI on slope | 0.90 (2.3) | 0.89 | 0.86 | 0.92 |

The functional form of the exposure-response relationships in the models are displayed as below:

\[
\text{ABCA1 – dependent CEC} = E_{\text{max}} \cdot \frac{\text{Conc.}}{EC_{50} \cdot \Gamma + \text{Conc.}} \\
\text{Total CEC} = E_{\text{max}} \cdot \frac{\text{Conc.}}{EC_{50} \cdot \Gamma + \text{Conc.}} + \text{slope} \cdot \text{Conc.} + \text{intercept}
\]

where Conc. represents PK model-estimated individual apoA-I concentration. The values of \( E_{\text{max}}, EC_{50} \) and Gamma in the total CEC model were fixed as the individual estimation by the ABCA1-dependent CEC model. Abbreviations: ABCA1, ATP-binding cassette transporter-1; AMI, acute myocardial infarction; CEC, cholesterol efflux capacity; EC50, the concentration of apoA-I at half-maximal response; \( E_{\text{max}} \), maximum effect; Gamma, Hill coefficient; IIV, interindividual variability; PKPD, pharmacokinetic/pharmacodynamic; RSE, relative standard error.

| TABLE 3 | Summary of exposure-response parameter estimates for the final total and ABCA1-dependent CEC |
|----------|------------------------------------------------------------------------------------------------|
| Parameter | Model estimate | Nonparametric bootstrap (300 replicates) |
|          | Median | 95% CI (lower limit) | 95% CI (upper limit) |
| Fixed effect | E\(_{\text{max}}\) (%efflux/4 h) | 11.25 | 11.24 | 10.60 | 11.76 |
|          | EC\(_{50}\) (mg L\(^{-1}\)) | 2023 | 2024 | 1926 | 2131 |
|          | Gamma | 6.20 | 6.12 | 4.81 | 7.70 |
|          | Slope (%efflux/4 h/(mg L\(^{-1}\))) | 0.0049 | 0.0049 | 0.0047 | 0.0051 |
|          | Intercept (%efflux/4 h) | 0.52 | 0.50 | 0.39 | 0.64 |
|          | Box-cox parameter for IIV of E\(_{\text{max}}\) | -1.61 | -1.62 | -4.23 | -0.20 |
|          | Box-cox parameter for IIV of Gamma | -2.02 | -1.97 | -3.39 | -0.76 |
|          | AMI on EC\(_{50}\) | 0.83 | 0.82 | 0.78 | 0.87 |
|          | WT on EC\(_{50}\) | -0.18 | -0.18 | -0.24 | -0.12 |
|          | Sex on EC\(_{50}\) | 1.09 | 1.09 | 1.05 | 1.13 |
|          | AMI on Gamma | 0.67 | 0.68 | 0.55 | 0.85 |
|          | AMI on slope | 0.90 | 0.89 | 0.86 | 0.92 |

Random effect

| Parameter | \( E_{\text{max}} \) (%) | 22.3 (19.8) | 22.0 | 14.2 | 26.1 |
|          | EC\(_{50}\) (%) | 15.4 (18.9) | 15.5 | 13.6 | 17.7 |
|          | Gamma (%) | 34.6 (14.6) | 34.6 | 26.9 | 44.7 |
|          | slope (%) | 14.0 (4.7) | 14.0 | 12.9 | 14.9 |

Residual error

| additive (%efflux/4 h): ABCA1-dependent efflux | 0.63 (3.2) | 0.62 | 0.52 | 0.75 |
| Proportional (%): ABCA1-dependent efflux | 33.1 (1.5) | 33.0 | 29.9 | 35.7 |
| Proportional (%): total efflux | 16.7 (0.01) | 16.7 | 16.2 | 17.1 |

\( \eta \)-shrinkage (%)

| Parameter | \( E_{\text{max}} \) | 46.7 |
|          | EC\(_{50}\) | 28.5 |
|          | Gamma | 40.3 |
|          | Slope | 26.8 |
The target population for the product. 27,30 No dose-response
the phase 2b study of patients with AMI, which is representative of
acceptable safety profile across all populations studied, including in
studies have shown that CSL112 was well-tolerated and had an
assessments of CSL112 that analysed all seven completed clinical
for dosing CSL112 over the course of 1 month. Safety and tolerability
event and that the risk declines after that period, hence the rationale
risk of recurrent AMI is highest during the first month post-index
The choice of four infusions is based on data demonstrating that the
accumulation of either apoA-I or its phospholipid/sucrose excipients.
The pink and purple bands represent the 95% confidence intervals of
the observed median and 10th-90th percentile range, respectively.
also of interest was that female and Japanese subjects generally had
weight forms with higher ability to diffuse out of the bloodstream.
modestly higher baseline (endogenous) concentrations of apoA-I,
which is in line with what is known regarding endogenous HDL and
protein levels are well-established and are thought to be driven by hor-
normal differences. 32 Data collected between 2007 and 2010 by the
National Health and Nutrition Survey in the USA by SRL Inc. in Japan
showed endogenous apoA-I mean (standard deviation) concentrations
were 134.9 (29.0) mg/dL in men compared with 151.0 (29.3) mg/dL in
women. 31 These data generally align with the results of the present
study as endogenous apoA-I levels were found to be 8% lower in male
than in female subjects. In terms of Japanese individuals, hyperalphalipoproteinaemia, which is thought to be largely driven by
mutations in the cholesteryl ester transfer protein (CETP) gene, has
been shown to be more prevalent in Japan 31,33 and this is thought to
be a key reason for the higher levels of endogenous HDL observed in
this population. 31 Nevertheless, despite statistically significant effects
relationship has been observed between the CSL112 dose and the
frequency and intensity of treatment-emergent adverse events (TEAEs) in clinical studies. 27,30 The current analysis is the first inte-
grated analysis to quantitatively investigate the relationship between
apoA-I exposure and CEC response in an integrated population
including patients with AMI, and to assess whether subpopulations
differ in their apoA-I and CEC response to CSL112.
A two-compartment model described the PK data adequately,
and standard diagnostic plots supported the validity of the popula-
tion PK model and exposure-response models. In line with previous
reports, 14,18,21 there was a strong positive correlation between
apoA-I exposure and elevation in CEC, and the present study sup-
ports previous observations of a dose-dependent relationship
between these parameters. 18,21 Moreover, in the present analysis
this relationship was found to be generally consistent across the
range of subpopulations (including sex, race, RI and AMI status)
tested. Using pooled data from seven phase 1 and 2 studies, the pop-
ulation PK analysis demonstrated that CSL112 consistently elevated
apoA-I levels well above those associated with placebo administra-
In addition, based on data pertaining to repeated administration
(four weekly doses) gathered predominantly from the AEGIS-I study,
a slight cumulative effect of repeated dosing on apoA-I levels was con-
firmed by model predictions.

The population PK analysis revealed several statistically signifi-
cant covariate effects, but none substantially reduced IV in apoA-I PK
and all covariates had minimal impact on apoA-I exposure. Conse-
quently, none of the covariates evaluated were deemed to be clini-
cally meaningful. Covariate analysis indicated that AMI status only
had an effect on apoA-I CL with 8% reduction in individuals with AMI,
suggesting that the kinetics of endogenous and CSL112-sourced
apoA-I was not significantly impacted by AMI status. The population
PK analysis found Vc at doses ≥6 g to be slightly lower than that at
low-dose levels, and to be approximately equal to adult plasma vol-
ume. This might be the result of a slowing or saturation of the
remodelling of CSL112, 11 a process which leads to lower molecular
weight forms with higher ability to diffuse out of the bloodstream.
Also of interest was that female and Japanese subjects generally had
modestly higher baseline (endogenous) concentrations of apoA-I,
which is in line with what is known regarding endogenous HDL and
apoA-I levels in these subpopulations. 31,32 Sex differences in lipopro-
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this population. 31 Nevertheless, despite statistically significant effects

FIGURE 4  Prediction-corrected visual predictive check (VPC) of
the exposure-response models for total CEC (A) and
ABCA1-dependent CEC (B). The blue circles represent the prediction-
corrected observed CECs. The solid and dashed red lines represent
the observed median and 10th-90th percentile range, respectively.
The pink and purple bands represent the 95% confidence intervals of
the 90th, median and 10th percentiles of the simulated data. ABCA1,
ATP-binding cassette transporter 1; CEC, cholesterol efflux capacity
individual apoA-I exposure and time-averaged CEC in this exposure-
response analysis were from AMI subjects in studies AEGIS-I, these
exposure-response simulations confirmed the CSL112 6 g dose pro-
vided a substantial CEC response in patients with AMI.

4 | DISCUSSION

Weekly dosing of CSL112 for 4 weeks was selected as the dosing reg-
imen in CSL112’s late phase development. The 1-week interval
between infusions was chosen to maximize continuous exposure to
the drug substance, apoA-I, above baseline levels and to minimize net
accumulation of either apoA-I or its phospholipid/sucrose excipients.
The choice of four infusions is based on data demonstrating that the
risk of recurrent AMI is highest during the first month post-index
event and that the risk declines after that period, hence the rationale
for dosing CSL112 over the course of 1 month. Safety and tolerability
assessments of CSL112 that analysed all seven completed clinical
studies have shown that CSL112 was well-tolerated and had an
acceptable safety profile across all populations studied, including in
the phase 2b study of patients with AMI, which is representative of
the target population for the product. 27,30 No dose-response

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**FIGURE 5** Forest plots of the model-predicted covariate (AMI, sex and body weight) effects on total (A)-(D) and ABCA1-dependent CEC (E)-(H) in response to different increment in apoA-I concentration. Typical subjects were defined as non-Japanese, healthy males with apoA-I baseline concentration of 121.9 mg dL$^{-1}$. Baseline-corrected CECs in response to increment in apoA-I concentration, which is equal to 50% (A, E), 75% (B, F), 100% (C, G) and 125% (D, H) of a typical subject’s baseline, respectively, were simulated and summarized. The covariate effects were displayed as fold change from median predicted CEC in typical subject. For each covariate value, the horizontal line represents the 90% prediction interval for the respective ratio. The vertical dashed lines indicate the predefined clinical relevance criteria (0.80-1.25, bioequivalence range). ApoA-I, apolipoprotein A-I; AMI, acute myocardial infarction; ABCA1, ATP-binding cassette transporter-1; CEC, cholesterol efflux capacity.

**FIGURE 6** Model-predicted individual time-averaged total (A) and ABCA1-dependent (B) CEC (AUC$_{0-48}$/48 h) vs baseline-corrected apoA-I exposure (AUC$_{0-48}$). The black lines and yellow shaded area represent median and 90% prediction interval, respectively, of power-model predictions for 1000 virtual subjects. Blue, green and red circles represent individual model estimations for subjects assigned to placebo, 2 g and 6 g, respectively, in the AEGIS-I and CSL112_1002 studies. Blue horizontal dash lines indicate the efflux capacity threshold, which is the median of predicted CEC in the placebo group. Horizontal bars and black star represent 90% CI and median of individual apoA-I exposures for placebo and the CSL112 2 g and 6 g doses for subjects in both the AEGIS-I and CSL112_1002 studies. ApoA-I, apolipoprotein A-I; ABCA1, ATP-binding cassette transporter-1; AUC$_{0-48}$, area under the curve from 0-48 hours; CEC, cholesterol efflux capacity.
of sex and Japanese race in the population PK analysis, both covariate effects were predicted to have minimal impact on apoA-I exposure. Furthermore, it should be noted that there was little impact on apoA-I exposure at the extremes of body weight, supporting the validity of fixed dosing of CSL112 over weight-based dosing. Four pathways of cellular cholesterol efflux have been identified: passive processes involving simple diffusion and facilitated diffusion (the latter by scavenger receptor class B, type I [SR-BI]-mediated pathway), and two active processes via ABCA1 and ABCG1. The two passive efflux pathways and ABCG1-mediated efflux were measured as ABCA1-independent efflux in the CEC assay without cAMP induction, as ABCA1 expression in murine J774 macrophages is very low in basal conditions. The total CEC was measured with ABCA1 induction by c-AMP incubation, and the ABCA1-dependent CEC was derived from the difference between total and ABCA1-independent CEC. As ABCA1-dependent efflux is an active process involving apoA-I or small HDLs binding to ABCA1 transporter, a nonlinear and saturable relationship. In agreement with those findings, our exposure-ABCA1-mediated efflux was reported in murine cAMP-induced J774 macrophages, whereas SR-BI and ABCG1 efflux show a linear relationship. In agreement with those findings, our exposure-response analysis identified that an E_{max} model (shown in equation 1) best describes the nonlinear and saturable relationship between apoA-I exposure and ABCA1-dependent CEC in cAMP-treated J774 macrophages. A linear model was used to describe ABCA1-independent CEC as part of total CEC (shown in equation 2), measured as the sum of ABCA1-dependent and independent CECs.

The exposure-response analysis demonstrated that, in comparison to placebo and 2 g dose, exposures associated with the 6 g dose resulted in CEC responses consistently above the median predicted CEC in the placebo group, confirming robust clinical response at CSL112 dose level of 6 g. The covariate analysis demonstrated that post AMI, high body weight, male gender were predictors of greater elevation in ABCA1-dependent CEC, especially at suboptimally elevated apoA-I exposure (e.g., 50% increment from apoA-I baseline). It was found that ABCA1-dependent CEC in subjects with high body weight are more sensitive (lower EC_{50}) to serum apoA-I elevation, although the maximum effect (E_{max}) is independent of body weight. This phenomenon is probably associated with higher CEC response to the low apoA-I baseline in subjects with higher body weight. Although the full explanation for differences in apoA-I and HDL-C levels in men vs women is not clear at this time, this covariate analysis still provided a suggestion that CSL112 might provide more beneficial effects on cholesterol homeostasis in male subjects.

In terms of the covariate effect in the total CEC model, less elevation in ABCA1-independent CEC was found in AMI subjects than those subjects without AMI. Other clinical factors (Japanese race, female gender and low body weight) were not predicted to have any statistically significant effects on ABCA1-independent CEC following CSL112 administration, despite the higher baseline apoA-I concentrations observed in these subpopulations. However, the magnitude of covariate effects was within the threshold range of 80-125% of the median prediction for a typical subject and therefore none of the statistically significant predictors of CEC were found to be clinically relevant at substantially elevated apoA-I exposures. A limitation of the PKPD analysis was the lack of assessment of concomitant medications as covariates due to lack of data. Previous studies reported that CEC, and particularly ABCA1-dependent CEC, is suppressed and recovers in the initial days and weeks following an AMI. Published studies include a post hoc analysis of the dal-ACUTE randomized controlled trial of the CETP inhibitor dalcetrapib; in the 150 patients who received placebo in dal-ACUTE, ABCA1-dependent CEC was observed to increase by approximately 15% from baseline to week 20 following an AMI. Although the current analysis demonstrated the magnitude of covariate effects on total and ABCA1-dependent CEC are not clinically relevant (Figure 5), it was found that the occurrence of AMI is associated with slightly higher (~23% higher) elevations in ABCA1-dependent CEC with 50% increase in apoA-I exposure after CSL112 administration than those without an AMI (Figure 5AE). This finding suggests a possible mechanism of recovery or even rebound in CEC following CEC suppression post AMI, although no CEC data prior to AMI occurrence were available in the present analysis to confirm CEC suppression. This is an important finding in the context of the mechanism of action of CSL112 as it is proposed that substantial increases in CEC in these patients will help to increase atherosclerotic plaque stability and prevent recurrent CV events in the days and weeks following an AMI.

Published animal and human studies have demonstrated that 2-4-fold elevation of apoA-I or HDL-C can produce reductions of plaque cholesterol by as much as 50% in intervals as short as 1 week. The phase 2b study (AEGIS-I study) investigated up to 6 g dosing (equal to ~70 mg kg^{-1} in an 85 kg individual) in AMI patients, which is close to the saturating dose of ~120 mg kg^{-1} for plaque removal in mice. In addition, a 6 g dose allows for suitable safety margins for the excipients of phosphatidylcholine and sucrose. In the AEGIS-I study, 2.06-fold, 4.30-fold and 2.45-fold elevation in apoA-I, ABCA1-dependent CEC and total CEC were observed, respectively, immediately after infusion of CSL112 6 g, suggesting that CSL112 may increase not only the amount of circulating apoA-I, but also the activity for ABCA1-dependent efflux on a perapoA-I basis. The current exposure-response analysis characterized the nonlinear relationship between model-estimated baseline-corrected aopA-I AUC_{0-48} and time-averaged CEC (AUEC_{0-48}/48 h) by a power model, and model simulations showed a 6 g dose results in greater CEC elevation over the first 48 h post the first dose, compared to placebo and lower dose levels. There is the possibility of diminishing returns in terms of increasing the dose beyond 6 g, with respect to CEC elevation benefit vs safety risks. Therefore, a 6 g dose, which produces an immediate and profound enhancement of CEC, is hypothesized as an appropriate dose to potentially reduce the high rate of early recurrent events following a heart attack. The clinical efficacy of CSL112 6 g dose in AMI patients will thus be investigated in the phase 3 cardiovascular outcomes trial (AEGIS-II, https://clinicaltrials.gov/ct2/show/NCT03473223).
Exposure-response relationships will be further examined using PK, PD and clinical efficacy data from the larger population following completion of the trial.

In conclusion, pharmacometric results indicated that (a) administration of 6 g CSL112, which was the highest dose tested in patients with AMI, markedly elevates CEC compared to placebo and lower dose levels, based on the modelled quantitative exposure-response relationship; and (b) effects of tested covariates on apoA-I exposure or CEC are not expected to be clinically relevant, suggesting no dose adjustment is needed. This analysis provided a quantitative rationale for investigating a 6 g fixed dose of CSL112 in the ongoing phase 3 cardiovascular outcomes trial (AEGIS-II). These findings are consistent with the results of previous analyses and support the proposed dose strategy for future clinical development of CSL112 in patients with AMI.

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COMPETING INTERESTS
B.Z., D.D., P.T., B.K., S.W., A.G. and M.T. are employees of CSL Behring, H.K. and M.P. are employees of Certara.

CONTRIBUTORS
B.Z., M.T., H.K. and M.P. conceived the study, and B.Z. and H.K. conducted the analyses presented here. D.D. and P.T. led clinical trials of CSL112. S.W. and A.G conceived and lead execution of the pharmacodynamics assays. All authors contributed to the writing of the manuscript, approved the manuscript for submission and agree to be accountable for all aspects of the work.

DATA AVAILABILITY STATEMENT
CSL Behring will only consider requests to share Individual Patient Data (IPD) that are received from systematic review groups or bona fide researchers. CSL Behring will not process or act on IPD requests until 12 months after article publication on a public website. An IPD request will not be considered by CSL Behring unless the proposed research question seeks to answer a significant and unknown medical science or patient care question. Applicable country-specific privacy and other laws and regulations will be considered and may prevent sharing of IPD. Requests for use of the IPD will be reviewed by an internal CSL Behring review committee. If the request is approved, and the researcher agrees to the applicable terms and conditions in a data-sharing agreement, IPD that has been appropriately anonymized will be made available. Supporting documents including study protocol and the Statistical Analysis Plan will also be provided. For information on the process and requirements for submitting a voluntary data sharing request for IPD, please contact CSL Behring at clinicaltrials@cslblehr.com.

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ORCID
Michael A. Tortorici https://orcid.org/0000-0002-2822-5805
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SUPPORTING INFORMATION
Additional supporting information may be found online in the Supporting Information section at the end of this article.

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