Enabling the Multi-User Generalized Degrees of Freedom in the Gaussian Cellular Channel

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Abstract—There has been major progress over the last decade in understanding the classical interference channel (IC). Recent key results show that constant bit gap capacity results can be obtained from linear deterministic models (LDMs). However, it is widely unrecognized that the time-invariant, frequency-flat cellular channel, which contains the IC as a special case, possesses some additional generalized degrees of freedom (GDoF) due to multi-user operation. This was proved for the LDM cellular channel very recently but is an open question for the corresponding Gaussian counterpart. In this paper, we close this gap and provide an achievable sum-rate for the Gaussian cellular channel which is within a constant bit gap of the LDM sum capacity. We show that the additional GDoFs from the LDM cellular channel carry over. This is enabled by signal scale alignment. In particular, the multi-user gain reduces the interference by half in the 2-user per cell case compared to the IC.

I. INTRODUCTION

Starting with the work of Etkin, Tse, and Wang [1], interest has been growing in constant-gap approximations of network information theory problems. In [1] the interference channel (IC), a long standing problem which has not yet been solved, was investigated. The capacity region has been found within a constant gap of 1 bit. This astonishing result motivated constant bit-gap capacity investigations of other network topologies and models, e.g. [2], [3], [4], and [5]. Deriving constant bit-gap results for Gaussian channel models can be a demanding problem. This is partly due to the noise properties of the channel models. A second branch of research therefore investigated approximate Gaussian channel models. This started with the work of Avestimehr, Diggavi, and Tse [6], in which the so-called linear deterministic model (LDM) was first defined and investigated. This network model approximates the Gaussian model by translating the real channel input signals in corresponding binary vectors by means of binary expansion. Power is represented by a shift of the bit vectors, and noise is introduced as a truncation of these vectors. These properties significantly reduce the complexity and difficulty of the model. Not only does the LDM makes things easier to prove but also hints at correct intuition for its Gaussian counterpart. In [4] it was demonstrated that the achievable scheme for the linear deterministic IC (LD IC) is within a constant gap of 42 bit, of the Gaussian channel. Other successful transitions of LDM methods and insights into the Gaussian counterpart include [3], [7], [5], and [8]. In many cases, the special properties of the LD models provide a possibility of interference alignment on the signal scale. The investigation of the Many-to-One channel [3], was the first to convert this interference alignment to the Gaussian case. Here, lattice coding was used in addition to a layered encoding and successive decoding strategy. The strategy splits the total usable power in many power packages, where each one gets encoded separately by a lattice code. Also each package is viewed as a single Gaussian channel in the decoding process. Since lattice codes obey certain group properties, the addition of many interferers is seen as another codeword at the receiver. This enables interference alignment on the signal scale. The idea was picked up in [5] and [8] which investigated the mixed Many-to-One and One-to-Many models. In these investigations LDM interference alignment strategies were also translated to the Gaussian channel, resulting in new achievable rates. Another interesting model is the interfering MAC (IMAC) and interfering BC (IBC). These terms were defined in [9], were it was shown that, using delay properties, a form of multi-user gain can be achieved. Furthermore, with k—users, an interference-free transmission is proven by means of degrees of freedom. Another notable investigation is [10], where the capacity region of the IMAC was characterized for several strong interference cases. Also an upper-bound was provided for the whole interference range. A different strategy was used in [11], where the LD IMAC and LD IBC were investigated. Based on the LD MAC-P2P investigations in [12] and [13], multi-user gain was shown and the sum capacity has been found for the weak interference case.

Contributions: In this paper we convert the achievable scheme of [11] to the Gaussian models by modifying the methods of [3] and [5]. We show that with layered lattice codes, signal scale alignment can be achieved in the IMAC and IBC. We demonstrate that multi-user gain can be enabled which basically reduces the interference by half (2-user weak interference case) in comparison to the IC. To the best of our knowledge, this is the first demonstration of signal scale alignment and multi-user gain in time-invariant and frequency-flat cellular channel models.
II. SYSTEM MODEL

A. IMAC Model

We consider the Gaussian interfering multiple access channel (IMAC), in which there are two Gaussian MACs interfering among themselves. There are four independent messages, two in every MAC cell. Each receiver also receives interference from the opposite MAC cell. An illustration of this model is given in Figure 1. The channel equations are given by

\[ Y^j = \sum_{i=1}^{2} \sum_{k=1}^{2} h_{ik}^j X_{ik} + Z^j \]

where \( i \) and \( j \) denote the transmitter and receiver cell, respectively and \( k \) is the user index. \( Z^j \in \mathcal{CN}(0,1) \) is assumed to be zero mean and unit variance Gaussian noise. Also each transmitted signal has an associated average power constraint \( \mathbb{E}\{|X_{ik}|^2\} \leq P \).

B. IBC Model

The Gaussian interference broadcast channel (IBC) consists of 2 transmitters and 4 receivers. As in the IMAC case, there are four independent messages inside the network. Each BC transmitter sends a signal with two messages, one for each receiver of the respective BC-cell. Also every receiver is impaired by interference from the other cell. The IBC channel equations are therefore given by

\[ Y_k^j = \sum_{i=1}^{2} h_{ik}^j X_i + Z_k^j \]

where \( Z_k^j \in \mathcal{CN}(0,1) \) is again a zero mean and unit variance Gaussian random variable and \( X \) has an associated average power constraint \( \mathbb{E}\{|X_i|^2\} \leq P \).

Interference Regime: From now on we assume without loss of generality that \( h_{11}^j \geq h_{12}^j \) for \( i = j \). Also, we assume equal interference strength at the receivers: \( h_{11}^i = h_{12}^i \) for \( i \neq j \). This restriction is justified in the case, when the distance between the two cells is much bigger than the cell dimensions itself. Furthermore we define two expressions, the signal-to-noise ratio and the interference-to-noise ratio as:

\[ |h_{ik}^j|^2 P = \begin{cases} \text{SNR}_{ik}^j & \text{if } i = j \\ \text{INR}_{ik}^j & \text{if } i \neq j \end{cases} \]

We also introduce two parameters \( \alpha_i, \beta_i \) which combine these ratios with \( \text{SNR}_{i1} = P \), \( \text{SNR}_{i2} = P^{\beta_i} \), and \( \text{INR}_{i}^j = P^{\alpha_j} \). These parameters correspond to \( \alpha, \beta \) which are used in the LDM channel model [11]. Now we can restrict the investigation to the weak interference regime defined through \( \alpha_i \in [0, \frac{1}{2}] \) and \( \alpha_i < \beta_i \). Note that in the case of \( \alpha_i \geq \beta_i \) the IMAC as well as the IBC relapse into the IC in terms of capacity. In both models we assume \( P > 1 \). For convenience we use the standard terms: common and private signal, for the part which is seen at both cells and the part which is only received in the intended cell, respectively. Also note that the following techniques work for all channel parameters in the defined regime, except for a singularity at \( \beta_i = 1 \) in which the additional gain for that corresponding cell will be zero.

III. LINEAR DETERMINISTIC APPROXIMATION

The LDM models the input symbols at Tx, as bit vectors \( X_i \). This is achieved by a binary expansion of the real input signal. The resulting bits constitute the new bit vector. The function \( \phi \) for \( p, q \in \mathbb{N}_0 \), following the notation of [12], is defined as

\[ \phi(p, q) := \begin{cases} q + \frac{l(p, q)}{2} & \text{if } l(p, q) \text{ is even} \\ p - \frac{l(p, q) - 1}{2} & \text{if } l(p, q) \text{ is odd} \end{cases} \]
A. Example of the symmetric restricted IMAC

Power partitioning: In this example we consider the fairly restricted symmetric IMAC channel, where $\alpha = 0.5$ and $\beta = 0.75$ and both cells have a scaled direct channel gain of 1. The power as observed at each receiver is partitioned into $P^{(1-\beta)}$ intervals. These intervals play the role of bit levels in the LDM. Signal power $\theta_i$ is defined as

$$\theta_i = q_i - q_1 = P_i^{(1-(l-1)(1-\beta_i))} - P_i^{(1-(l-1)(1-\beta_i))}$$

with $l$ indicating the specific level (Fig. 3). Each user $k$ of cell $i$ decomposes its signal into a sum of independent sub-sig- nals $X_{ik} = \sum_{l=1}^{n_{ik}} X_{ik}(l)$. For every sub-signal a lattice code is chosen as described in [14], such that the spherical shaping region of the lattice has an average power per dimension of $\theta_i$ and is good for channel coding. Moreover, aligning sub-signals use the same code (with independent shifts).

$$R \leq \log \left( \frac{P}{N} \right),$$

where $l(p, q) := \left\lfloor \frac{p}{q} \right\rfloor$ for $q > 0$ and $l(p, 0) = 0$. The optimal construction of the coding matrices is a direct extension of the MAC-P2P scheme in [12]. The maximum sum rate is achieved by orthogonal coding. This means that there is either an independent bit or nothing send from a specific level. At the receiver there is no overlap with levels used by another transmitter. This technique can be interpreted as interference alignment, because the levels for the LD IMAC, are chosen in the following way. Both interference signals of a cell align at the receiver as much as possible in the unused levels of the direct transmission. In the LD IMAC, levels of the common part are chosen such that they align at the receivers of the unintended cell as much as possible on levels, which receive the private message intended for the opposite receiver. See figure 2 for an example.

IV. CODING SCHEMES FOR IBC AND IMAC

A. Example of the symmetric restricted IMAC

\begin{figure}[h]
  \centering
  \includegraphics[width=\textwidth]{figure3.png}
  \caption{Illustration of power partitioning, with the resulting 4 signal power levels and level use for coding.}
  \end{figure}

\begin{equation}
\theta_i = q_i - q_1 = P_i^{(1-(l-1)(1-\beta_i))} - P_i^{(1-(l-1)(1-\beta_i))}
\end{equation}

with $l$ indicating the specific level (Fig. 3). Each user $k$ of cell $i$ decomposes its signal into a sum of independent sub-sig- nals $X_{ik} = \sum_{l=1}^{n_{ik}} X_{ik}(l)$. For every sub-signal a lattice code is chosen as described in [14], such that the spherical shaping region of the lattice has an average power per dimension of $\theta_i$ and is good for channel coding. Moreover, aligning sub-signals use the same code (with independent shifts).

In [14] it was shown that under the restriction of

$$R \leq \log \left( \frac{P}{N} \right),$$

a lattice code $(\gamma \Lambda_C + v) \cap S$ exists with arbitrary small error probability. This code consists of a lattice $\Lambda_C \subset \mathbb{R}^n$, a scaling factor $\gamma \in \mathbb{R}$, a translation $v \in \mathbb{R}^n$ and a spherical shaping region $S \subset \mathbb{R}^n$ with power $P$ per dimension. $N$ denotes the noise variance per dimension.

Decoding procedure: Decoding occurs per level, treating subsequent levels as noise. If a sub-signal was decoded it gets subtracted from the remaining signal and the process continues with the next level. In case of an interference-affected level, only the sum of both sub-signals gets decoded and subtracted. Because each level is treated as a Gaussian point-to-point channel, decodability is assured providing that the rate is chosen appropriately according to [10]. With a signal power of $\theta_i$, it only remains to specify the total noise of each level, consisting of the Gaussian noise at the receiver and the signal power of all subsequent levels, including the interference. An achievable rate for each level in the example (Fig. 3) is therefore

$$r_1 = \log \left( \frac{P - P^{0.75}}{1 + 4P^{0.75}} \right), r_2 = \log \left( \frac{P^{0.75} - P^{0.5}}{1 + 4P^{0.5}} \right),$$

$$r_3 = \log \left( \frac{P^{0.5} - P^{0.25}}{1 + 4P^{0.25}} \right), r_4 = \log \left( \frac{P^{0.25} - 1}{5} \right).$$

The total achievable rate is the summation over all levels

$$R_{\Sigma} = 2 \min(r_1, r_3) + 2 \min(r_2, r_3) + 2r_4,$$

where the minima are necessary, because $X_{11}(1), X_{12}$ and $X_{21}(1), X_{22}$ need to be decodable at both receivers. The total achievable rate is therefore

$$R_{\Sigma} = 4r_3 + 2r_4$$

$$\begin{align*}
&= 4 \log \left( \frac{P^{0.5} - P^{0.25}}{1 + 4P^{0.25}} \right) + 2 \log \left( \frac{P^{0.25} - 1}{5} \right) \\
&> 4 \log \left( \frac{P^{0.5} - P^{0.25}}{1 + P^{0.25}} \right) - 4 \log 4 + 2 \log \left( \frac{P^{0.25} - 1}{5} \right) \\
&> 4 \log \left( \frac{1 + P^{0.5} - P^{0.25}}{1 + P^{0.25}} \right) + 2 \log \left( \frac{P^{0.25} - 1}{5} \right)
\end{align*}$$
with case. The effective noise becomes to specify the effective noise per level for the IMAC and IBC codewords can be decoded providing a rate of (10). It remains underlying LDM scheme. As in section IV, the sub-signal intervals and signal power is given by (9). The choice of private part above \( P_{\alpha} < H \) henceforth, \( w \) and \( n_{ik}^j = \lceil \log |h_{ik}^j|^2 P \rceil \) one can see, that the proposed scheme can achieve the upper bound in (6) within a constant gap of 2(7 + log 5) Bits.

**Lemma 1.** The decoding bound for the direct path rate \( r_d \) is always greater than the decoding bound for the interference path rate \( r_i \)

\[
r_d \geq r_i.
\]

**Proof:** IMAC: A decoding bound for the direct rate is given as \( r_d = \log \left( \frac{\theta_i}{1 + 4q_i} \right) \).

The observed interference power is therefore \( P^{\alpha - 1} \theta_i \) with a corresponding noise of \( 1 + 4q_i P^{\alpha - 1} \). Hence, the decoding bound for the interference rate is

\[
r_i = \log \left( \frac{P^{\alpha - 1} \theta_i}{1 + 4q_i P^{\alpha - 1}} \right) = \log \left( \frac{\theta_i}{P^{1 - \alpha} + 4q_i} \right).
\]

Subtracting both rates one gets

\[
r_d - r_i = \log \left( \frac{\theta_i}{1 + 4q_i} \right) - \log \left( \frac{\theta_i}{P^{1 - \alpha} + 4q_i} \right) = \log \left( \frac{P^{1 - \alpha} + 4q_i}{P^{1 - \alpha} + 4q_i} \right) \geq 0,
\]

since \( \alpha \leq 1, P \geq 1 \) and therefore \( P^{1 - \alpha} \geq 1 \). The IBC case follows on the same lines.

**B. IMAC / IBC - The general (weak) interference case**

We proceed in parallel to section IV with the general case. In the general case, \( \beta_i \) and \( \alpha_i \) can be any value in the defined regime and therefore any number of levels can be utilized. The power splitting is done as in the example with \( P^{(1 - \beta_i)} \) intervals and signal power is given by (7). The choice of codeword decomposition and level usage is dependent on the underlying LDM scheme. As in section IV, the sub-signal codewords can be decoded providing a rate of (10). It remains to specify the effective noise per level for the IMAC and IBC case. The effective noise becomes

\[
N_i(l) = 1 + wq_i = 1 + uP^{1 - l(1 - \beta_i)}, \tag{13}
\]

where \( u = 2 \) and \( 4 \) for the IBC and IMAC, respectively. Henceforth, \( i \neq j \) for \( i, j \in \{1, 2\} \) in all equations. For the case of \( \alpha < 0.5 \), there is additional available power in the private part above \( P_{\alpha}^i \). The additional rate can be expressed with

\[
R_{A_i} = \begin{cases} 
R_{f_i} & \text{if } \alpha < 0.5 \\
0 & \text{if } \alpha = 0.5 
\end{cases}, \tag{14}
\]

where

\[
R_{f_i} = \log \left( \frac{P^{1 - l(1 - \beta_i)} - P_{\alpha}^i}{1 + wP_{\alpha}^i} \right)
\]

\[
> \log \left( \frac{P^{1 - l(1 - \beta_i)}}{2P_{\alpha}^i} \right) - (1 + wu)\tag{15}
\]

Furthermore, if \( L_i = \frac{\alpha}{1 - \beta_i} \notin N \), the alignment structure has a remainder term allocated at the lowest power level. The additional rate for the part is dependent on the alignment structure and can be written as

\[
R_{r_i} := \begin{cases} 
P^{(l(p - q) - L_i) \beta_i} - 1 & \text{if } L_j \text{ is odd}, \\
0 & \text{if } L_j \text{ is even} 
\end{cases}
\]

because in the even case the additional signal levels cannot be used due to the specific alignment scheme. The rates for each level inside the alignment structure can be expressed as

\[
R_{l_i}(l) = \log \left( \frac{\theta_i}{1 + uP_{\alpha}^i} \right) + \log \left( \frac{P^{1 - l(1 - \beta_i)} - P_{\alpha}^i}{1 + uP_{\alpha}^i} \right).
\]

**Theorem 1.** The overall achievable sum rate \( R_{\Sigma} \) is

\[
R_{\Sigma} > \log P_{1}^{2} - \log P_{1}^{\alpha_2} + \log P_{2}^{2} - \log P_{2}^{\alpha_2} + \phi(log P_{1}^{\alpha_2}, log P_{1}^{1 - \beta_1}) + \phi(log P_{2}^{\alpha_2}, log P_{2}^{1 - \beta_2}) - 9 - 6|L_2| - 6|L_1|
\]

with \( \phi \) defined as in (8). Note that \( l(p, q) \) is equivalent to \( [L_i] \).

**Proof:** The total achievable sum rate is the summation over the interference alignment structure \( R_{A_i} \), and additional parts \( R_{A_i} \) and \( R_{r_i} \). We show the proof exemplary for the IMAC channel in case that \( \alpha < 0.5 \) and \( |L| = \text{even} \). The IBC proof follows on the same lines. Also note that the rates for the alignment structure are solely of the parts below \( P_{\alpha}^i \). This is necessary for ensuring decodability of both, direct and interference sub-signals (see lemma 1).

\[
R_{\Sigma} = \sum_{i=1}^{2} R_{A_i} + R_{r_i} + 2\log \left( \frac{P^{1 - l(1 - \beta_i)} - P_{\alpha}^i}{1 + uP_{\alpha}^i} \right)
\]

\[
+ \sum_{i=1}^{2} \log \left( \frac{P^{1 - l(1 - \beta_i)}}{1 + uP_{\alpha}^i} \right)
\]

\[
+ \left[ L_2 \right] 2\log \left( \frac{P^{1 - l(1 - \beta_i)}}{1 + uP_{\alpha}^i} \right)
\]

\[
+ \sum_{i=1}^{2} \log \left( \frac{P^{1 - l(1 - \beta_i)}}{1 + uP_{\alpha}^i} \right) + \sum_{i=1}^{2} R_{A_i} + R_{r_i}
\]
LD-IMAC/IBC: Upper Bound

\[
> \log P_1[1, \beta_1] + \frac{[L_2]}{2} \log P_2(1, \beta_2) + \sum_i 2 R_{A_i} + R_{R_i} \\
> \log P_1[1, \beta_1] + \frac{[L_2]}{2} \log P_2(1, \beta_2) + \sum_i 2 R_{A_i} + R_{R_i}
\]

\[
= \log P_1[1, \beta_1] + \frac{[L_2]}{2} \log P_2(1, \beta_2) + \sum_i 2 R_{A_i} + R_{R_i} - 6 |L_1|
\]

where (a) follows with

\[
R_{i_1} = \sum_{l=1}^{[L_1]} 2 \log \left( \frac{P^\alpha_{i_1} - (l-1)(1-\beta_1) - P^\alpha_{i_1} - (l-1)(1-\beta_1)}{1 + 4 P^\alpha_{i_1} - (l-1)(1-\beta_1)} \right) + \sum_{l=1}^{[L_2]} 2 \log \left( \frac{P^\alpha_{i_1} - (l-1)(1-\beta_1) - P^\alpha_{i_1} - (l-1)(1-\beta_1)}{1 + 4 P^\alpha_{i_1} - (l-1)(1-\beta_1)} \right)
\]

\[
> -4.5 |L_1| + [L_2] + \sum_{k=1}^{[L_2]} \log \left( \frac{P^\alpha_{i_1} - (l-1)(1-\beta_1)}{2 P^\alpha_{i_1} - (l-1)(1-\beta_1)} \right)
\]

\[
= -5.5 |L_1| + \log P_1[1, \beta_1] + \sum_{l=1}^{[L_1]} \log \left( \frac{P^\alpha_{i_1} - (l-1)(1-\beta_1)}{2 P^\alpha_{i_1} - (l-1)(1-\beta_1)} \right)
\]

\[
> \log P_1[1, \beta_1] + \frac{[L_2]}{2} \log P_2(1, \beta_2) - 6 |L_1| + 1
\]

One can see that the achievable rate of the Gaussian channel is within a constant gap of \(2(2 + \log 4) + 6 |L_1| + 6 |L_2|\) of the LDM rate using the correspondence \(n_{jk} = \log [h_{jk}^2 |P|]\).

See figure 4 for a graphical comparison.

V. CONCLUSIONS

We have demonstrated a technique for signal scale alignment in cellular multi-user networks. We provide the achievable rate for the IMAC and IBC. The rate gap is dependent on the number of power-levels and therefore on the strength-difference between the direct signals. This is consistent with the intuition, since for the limit case of \(P_i = P \beta_i\), the cellular multi-user model relapses into the IC. In that case there is no power difference to exploit for scale alignment. Furthermore, we have demonstrated that multi-user gain is achievable in time and frequency constant models. Multi-user gain reduces the interference by half in the 2-user case in comparison to the IC. Moreover, straightforward extensions of the techniques in 4 show, that the sum rate of the Gaussian IMAC and IBC channel can be upper bounded by the LDM channel bounds within a constant gap. We believe that this investigation provides crucial insights for cellular networks in general and can be helpful in future investigations.

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