Atrial Fibrillation and Cause-Specific Risks of Pulmonary Embolism and Ischemic Stroke

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**Background**—Atrial fibrillation (AF) is a well-established risk factor for ischemic stroke (IS). Emerging evidence also indicates an association between AF and pulmonary embolism (PE). Because IS may potentially mediate the observed risk of PE in AF, we aimed to assess the impact of AF on the cause-specific risks of PE and IS in a large cohort recruited from the general population.

**Methods and Results**—We observed 29,842 participants from 3 surveys of the Tromsø study (inclusion in 1994–1995, 2001–2002, and 2007–2008) to the end of 2012. Incident events of AF, IS, and PE during follow-up were recorded, and information on potential confounders was obtained at baseline. Cox regression models, with AF as a time-dependent variable, were used to calculate cause-specific hazard ratios (HRs) with 95% confidence intervals (CIs) for PE and IS. There were 2067 participants diagnosed as having AF, 296 with PE and 1164 with IS, during a median of 17.6 years of follow-up. The risks of PE (HR, 10.88; 95% CI, 6.23–18.89) and IS (HR, 6.16; 95% CI, 4.47–8.48) were substantially increased during the first 6 months after AF diagnosis, with crude incidence rates of 18.5 per 1000 person-years for PE and 52.8 per 1000 person-years for IS. The risk estimates remained elevated for both PE (HR, 1.72; 95% CI, 1.10–2.71) and IS (HR, 2.45; 95% CI, 2.05–2.92) throughout the study period.

**Conclusions**—AF was associated with increased cause-specific risks of both PE and IS. Our findings infer that the risk of PE in AF is not explained by intermediate IS. 

**Key Words:** atrial fibrillation • epidemiology • ischemic stroke • pulmonary embolism • risk factor

Atrial fibrillation (AF) is the most common cardiac arrhythmia, affecting >33 million individuals worldwide, and both the incidence and prevalence of AF are increasing. AF contributes substantially to morbidity and mortality, with ischemic stroke (IS) being its most detrimental complication. AF contributes substantially to morbidity and mortality, with ischemic stroke (IS) being its most detrimental complication. AF was associated with increased cause-specific risks of both PE and IS. Our findings infer that the risk of PE in AF is not explained by intermediate IS. 

Findings from recent observational studies support the concept that AF is a risk factor for PE. Several studies in selected hospital-based cohorts have demonstrated increased prevalence of AF in patients with acute PE compared with the general population. In a previous study, we found that patients with AF had an increased risk of subsequent venous thromboembolism (VTE). The risk was especially high during the first 6 months after the diagnosis of AF, particularly for PE, and 15% to 20% of the PE events in the population could be attributed to AF. A similar association between AF and PE was shown in a large population-based registry study from Taiwan.

The apparent association between AF and PE may be attributable to shared risk factors, induced by factors related to AF itself (eg, intracardiac thrombi), or mediated by stroke or stroke-related complications secondary to AF. Both clinical trials and population-based studies have convincingly shown that patients with stroke are at increased VTE risk, especially during the first months after diagnosis. Strokes caused by AF are more severe and frequently result in hospitalization and comorbid conditions, such as dehydration,
immobility, and infections, that may additionally contribute to increase the risk of VTE. Therefore, we aimed to assess the impact of AF on PE risk in the absence of IS.

Methods

Study Population

Study participants were recruited from the fourth (1994–1995), fifth (2001–2002), and sixth (2007–2008) surveys of the Tromsø study, an ongoing prospective health study of the inhabitants in Tromsø, Norway. For these surveys, the entire population (Tromsø 4) or parts of the population (Tromsø 5 and 6) aged ≥25 years living in the Tromsø municipality were invited to participate. The attendance rates were high, ranging from 77% in Tromsø 4 to 66% in Tromsø 6. A total of 30,586 participants aged 25 to 97 years participated in at least 1 of the surveys. Detailed descriptions of the Tromsø study inclusion criteria and participation have been published previously. Participants who did not give their written consent to medical research (n = 181), those not officially registered as inhabitants of the municipality of Tromsø at study enrollment (n = 23), and participants with VTE (n = 78), IS (n = 216), or AF (n = 246) before the inclusion date were excluded. In total, 29,842 participants were included in the study and observed from the date of enrollment until the end of follow-up, December 31, 2012 (Figure 1).

Baseline Measurements

Baseline information was collected by physical examination, nonfasting blood samples, and self-administered questionnaires. Blood pressure was measured after 2 minutes of rest using an automatic device (Dinamap Vital Signs Monitor, Critikon Inc, Tampa, FL). Three readings were taken on the upper right arm at 1-minute intervals, and the average of the 2 last readings was used in the analysis. Hypertension was defined as systolic blood pressure ≥140 mm Hg, diastolic blood pressure ≥90 mm Hg, or current use of antihypertensive drugs. Nonfasting blood samples were obtained from all study participants, and serum was prepared and analyzed at the Department of Clinical Biochemistry, University Hospital of North Norway. Serum total cholesterol, serum high-density lipoprotein cholesterol, and serum triglycerides were measured, as previously described. Height and weight were measured with participants wearing light clothing and no shoes. Body mass index (BMI) was calculated as weight in kilograms per height in meters squared (kg/m²). History of myocardial infarction (MI), diabetes mellitus, and current smoking was obtained from a self-administered questionnaire. Physical activity was defined as ≥1 hour of moderate or hard physical activity (vigorous enough to work up a sweat and cause shortness of breath) per week (yes/no).

Exposure: AF

Incident AF during follow-up was identified by searching the discharge diagnosis registry at the University Hospital of North Norway (diagnoses from both hospitalizations and outpatient clinic visits), the only hospital serving the entire area of Tromsø, and the National Causes of Death registry at Statistics Norway. Because Norway has a unique personal

Figure 1. Inclusion of study participants from the fourth (1994–1995), fifth (2001–2002), and sixth (2007–2008) surveys of the Tromsø study.
identification system that allows exact matching of population register data, the identification number of the Tromsø study participants was linked to the diagnosis registries, using the following diagnosis codes: International Classification of Diseases, Ninth Revision (ICD-9) codes 427.0 to 427.99 and ICD-10 codes I47 and I48. For participants with a diagnosis of cerebrovascular or cardiovascular events without a diagnosis of arrhythmia, paper versions of hospital records (used until 2001) for notes on AF were manually searched, and text searches with the term “atrial fibrillation” were performed in the electronic records. The medical record of each potential patient with AF was reviewed by trained personnel. The diagnosis of AF had to be documented by an ECG, and an independent end point committee adjudicated all events. Classification of AF into paroxysmal and persistent versus permanent forms was performed when possible. People having paroxysmal AF initially, but who later developed a permanent form, were classified as having permanent AF. Participants in whom the AF could not be classified as paroxysmal or persistent and participants with transient AF occurring only during an acute MI or in relation to cardiac surgery were defined as “other AF.”

Outcomes: PE and IS

Incident symptomatic PE during follow-up was identified by searching the hospital discharge diagnosis registry, the autopsy registry, and the radiology procedure registry at the University Hospital of North Norway, as previously described. Medical records of each potential PE case were retrieved for case validation by trained personnel. A PE event was considered verified and recorded when the presence of clinical signs and symptoms of PE was combined with objective confirmation tests (spiral computed tomography, perfusion-ventilation scan, pulmonary angiography, or autopsy) and resulted in a PE diagnosis that required treatment. PE cases from the autopsy registry were recorded when the death certificate indicated PE as the cause of death or a significant condition associated with death.

Incident IS was defined according to the World Health Organization definition as rapidly developing clinical signs of focal or global disturbance of cerebral function, with symptoms lasting ≥24 hours or leading to death with no apparent cause other than vascular origin, and only when computed tomography, magnetic resonance imaging, or autopsy had ruled out intracerebral or subarachnoid hemorrhage. The diagnosis registry at the University Hospital of North Norway (the outpatient clinic included) and the National Causes of Death registry at Statistics Norway were searched for cases of possible nonfatal and fatal IS identified by a broad search for the ICD-8 and ICD-9 codes 430 to 438 and ICD-10 codes I60 to I69 (cerebrovascular diseases). To ensure completeness, additional systematic manual and electronic text searches were performed in the medical records for patients with ICD-8 and ICD-9 diagnosis codes 410 to 414 and 798 to 799 and ICD-10 codes I20 to I25, R96, R98, and R99. Event validation was performed by an independent end point committee on the basis of retrieved data from hospital and out-of-hospital medical records, autopsy records, and death certificates. Event validation followed a detailed protocol, according to established diagnostic criteria.

Statistical Analyses

Participants who developed AF during the study period contributed with nonexposed person-time from the date of enrollment to the date of a diagnosis of AF and with exposed person-time from the date of AF onwards. Participants with incident AF and VTE on the same day (n=9) or AF and IS on the same day (n=51) were excluded from the analyses because the temporal sequence of events could not be determined in these participants. For each participant, nonexposed and exposed person-years were counted from the date of enrollment to the date of a diagnosis of IS or PE, the date the participant died or moved from the municipality of Tromsø, or the end of the study period (December 31, 2012), whichever came first. Participants who died (n=4441) or moved (n=4457) during follow-up were censored at the date of migration or death.

Statistical analyses were performed with STATA, version 14 (Stata Corporation, College Station, TX). Cause-specific models were used, in which attained age was used as time scale, with participants’ age at study enrollment defined as entry time; exit time was defined as age at the censoring event (PE, IS, death, migration, or study end). All subjects had, at most, 1 of the 2 outcomes (ie, PE or IS) on the date of first occurrence in the cause-specific model. Participants who experienced a DVT during follow-up without confirmed concurrent PE were censored at the date of DVT diagnosis. The exposure variable AF was included as a time-dependent variable using multiple observation periods per study participant. None of the participants had AF at study entry, and the variable was updated in subjects who experienced AF during follow-up. Cause-specific hazard ratios (HRs) for PE and IS, according to time since AF diagnosis (any time or <6 and ≥6 months), were calculated. These HRs were further adjusted for potential confounding factors (ie, sex, BMI, smoking status, hypertension, cholesterol levels, and self-reported history of MI and diabetes mellitus). The proportional hazards assumption was tested using Schoenfeld residuals, and no violation was found. Statistical interactions between AF and sex were tested by including cross-product terms in the proportional hazards model. A significant interaction between sex and AF on IS was present, and we consequently
performed analyses stratified by sex for both outcomes. We also performed sensitivity analyses in which participants who experienced AF only within 28 days after cardiac surgery or MI or in the last 7 days of life were excluded.

To show the change in risk of PE and IS over time, HRs of PE and IS were plotted against time (0.5, 1, 3, 5, and 10 years) since AF diagnosis, using GraphPad Prism, version 5.0 (GraphPad Software, San Diego, CA).

Attributable risk, the proportion of events among the exposed participants that can be explained by the exposure, was calculated from incidence rates of PE and IS in the population with AF (Ie) and without AF (I0) \( \frac{9}{C0} \) \( I^0 \)/Ip\]. Population attributable risk, the proportion of events in the study population attributable to the exposure, was calculated by use of the incidence rates of PE and IS in the entire population (Ip) and in I0 \( \frac{100\times(l_p-I_0)}{I_p} \).

Results

Among 29 782 study participants, 2067 (6.9%) developed AF, 1164 (3.9%) had an incident IS, and 700 (2.4%) had a VTE (405 with DVT and 295 with PE) during a median of 17.6 years of follow-up (range, 2 days to 18.3 years). Sixty-eight participants experienced both IS and VTE (PE and/or DVT) during follow-up, and follow-up was terminated at the date of their first event. In those with AF, 198 (9.6%) subsequently developed an IS and 36 (1.7%) developed a PE.

Baseline characteristics of the study participants are shown in Table 1. Participants with AF during follow-up were considerably older than participants without AF, with an average age difference of 16 years (Table 1). Compared with those without AF, participants with AF had a less favorable cardiovascular risk profile, with higher mean cholesterol and triglyceride levels, higher BMI, and a larger proportion of hypertension, diabetes mellitus, smoking, physical inactivity, and self-reported cardiovascular disease (Table 1). Among the 2067 participants with AF during follow-up, 680 had an MI before or after AF diagnosis. Twenty-five people had both PE and MI, and the median time to event for PE in relation to MI was 9.4 months (mean, 4.6 years). One-hundred forty-four people had both IS and MI, and the median time to event for IS in relation to MI was 4.4 years (mean, 5.3 years).

Incidence rates and cause-specific HRs for PE and IS during follow-up are presented in Table 2. Participants with AF had higher relative and absolute risks of both PE and stroke compared with those without AF. For both outcomes, the incidence rates were highest during the first 6 months after AF diagnosis (18 PEs/1000 person-years and 53 ISs/1000 person-years). In this time period, the relative risk of PE was 11-fold higher in those with AF than in those without AF (HR, 10.88; 95% confidence interval [CI], 6.23–18.89).

Furthermore, the risk of PE remained 72% increased in the period ≥6 months up to 17 years after AF diagnosis (HR, 1.72; 95% CI, 1.10–2.71) (Table 2). When examining PE risk at different time points after AF diagnosis, the risk decreased over time and disappeared 3 years after onset of AF (Figure 2A). The risk of stroke was 6-fold increased in the first 6 months after AF diagnosis (HR, 6.16; 95% CI, 4.47–8.48) and 2.5-fold increased during the remaining follow-up period (HR, 2.45; 95% CI, 2.05–2.92) (Table 2). The risk diminished over time but was still 2-fold increased >10 years after onset of AF (Figure 2B). Further adjustments for BMI, smoking, total cholesterol, hypertension, self-reported cardiovascular disease, and diabetes mellitus slightly attenuated the risk estimates for both outcomes (Table 2). Although patients with AF appeared to have a more sedentary lifestyle (Table 1), the impact of AF on PE and IS risk was not altered by adjustment for self-reported physical activity (data not shown). The attributable risks of PE and stroke by AF were

Table 1. Baseline Characteristics of Participants With or Without AF During Follow-Up

| Characteristics                  | Participants Without AF (n=27 715) | Participants With AF (n=2067) | P Value |
|----------------------------------|-----------------------------------|-------------------------------|---------|
| Type of AF                        |                                    |                               |         |
| Paroxysmal/persistent            | ...                               | 41.6 (861)                    | ...     |
| Permanent                        | ...                               | 36.4 (752)                    | ...     |
| Other                            | ...                               | 22.0 (454)                    | ...     |
| Male sex                         | 46.7 (12 949)                     | 55.5 (1148)                  | <0.001  |
| Age, y                           | 45.0 (14.0)                       | 62.4 (11.8)                  | <0.001  |
| BMI, kg/m²                       | 25.2 (3.9)                        | 26.9 (4.2)                   | <0.001  |
| Total cholesterol, mmol/L        | 5.91 (1.29)                       | 6.68 (1.22)                  | <0.001  |
| Triglycerides, mmol/L            | 1.53 (1.04)                       | 1.75 (1.06)                  | <0.001  |
| HDL cholesterol, mmol/L          | 1.49 (0.41)                       | 1.50 (0.43)                  | 0.41    |
| Systolic blood pressure, mm Hg   | 132 (19)                          | 150 (24)                     | <0.001  |
| Diastolic blood pressure, mm Hg  | 77 (12)                           | 85 (13)                      | <0.001  |
| Hypertension*                    | 31.5 (8730)                       | 69.6 (1439)                  | <0.001  |
| Smoking                          | 36.6 (10 103)                     | 27.9 (575)                   | <0.001  |
| Physical activity†               | 33.3 (9222)                       | 21.0 (433)                   | <0.001  |
| Self-reported MI                 | 3.8 (1044)                        | 17.6 (364)                   | <0.001  |
| Self-reported diabetes mellitus  | 1.5 (424)                         | 4.8 (99)                     | <0.001  |

Values are given as percentages (absolute numbers) or as means (SDs). AF indicates atrial fibrillation; BMI, body mass index; HDL, high-density lipoprotein; and MI, myocardial infarction.
* Systolic blood pressure ≥140 mm Hg and/or diastolic blood pressure ≥90 mm Hg and/or current use of antihypertensive drugs.
† One or more hours of moderate or hard physical activity per week.
In sex-stratified analyses, women had a higher risk of thromboembolic complications than men. The population attributable risk of PE and IS by incident AF was 12% and 16%, respectively.

Apart from our previous publication from the Tromsø study, only a few population-based studies have investigated the temporal relationship between AF and PE. In accordance with our findings, Sørensen and coworkers found a substantially higher prevalence of AF in subjects with PE compared with population controls in a registry-based study from Denmark. Similarly, an increased incidence and relative risk of PE by AF after multivariable adjustments were reported from a large registry-based cohort study from Taiwan. The explanations for the observed association between AF and future risk of PE may include shared risk factors, indirect conditions, or a direct relationship.

Current evidence argues against shared risk factors as major contributors to the association between AF and PE. First, shared risk factors are expected to induce a permanent, not a short-term, high risk of PE, as observed in our study. Second, previous studies have shown that cardiovascular risk factors, except for age and BMI, are not associated with VTE. Adjustments for potentially shared cardiovascular risk factors did not affect the PE risk by AF in our study.

Compelling evidence infers that the apparent risk of PE by AF could be explained by intermediate development of IS.

### Discussion

In cause-specific analyses, we found that subjects with AF had increased risk for both PE and IS. For PE, the incidence rate and relative risk were especially high during the first 6 months after onset of AF and remained elevated throughout the entire follow-up. The risk estimates for PE by AF were essentially unchanged after adjustment for potential confounders, such as age, sex, BMI, atherosclerotic risk factors, and cardiovascular disease. A similar risk pattern was observed for the association between AF and IS. In sex-stratified analyses, women had a higher risk of thromboembolic complications than men. The population attributable risk of PE and IS by incident AF was 12% and 16%, respectively.
Clinical and observational studies, including this study, have shown that AF is associated with risk of IS and that patients with IS are at a high risk for venous thrombosis. In a recent publication from our research group, we showed that subjects who developed IS had a transient increased risk of VTE, and DVT in particular, compared with those without IS, with a preponderance of immobility and concurrent medical conditions as predisposing factors for VTE in patients with stroke. In the present study, participants were censored at their first thromboembolic event (PE or IS), thereby eliminating stroke as a possible mediator of PE risk. Furthermore, the difference in phenotypic presentation of venous thrombosis by IS (predominance of DVT) and AF (predominance of PE) supports the notion that the PE risk is not mediated by IS in patients with AF. Therefore, our finding of a cause-specific association between AF and PE risk supports the hypothesis that the association is related to the AF itself (e.g., that right-sided intracardiac thrombi may embolize to the pulmonary circulation).

In accordance with previous findings, we found women with AF to be at a higher overall risk of stroke than men. AF was also a stronger risk factor for PE in women than in men. Similar results were recently reported in a large registry-based study, in which the excess PE risk conferred by AF was significantly higher in women. Several explanatory mechanisms have been proposed for the apparent increased thromboembolic risk in women with AF. A recent review article proposed that hormone replacement therapy, postmenopausal changes in endogenous estrogen levels, or sex differences in cardiac remodeling, hemodynamics, and procoagulant activity could be possible explanations. In addition, there are studies suggesting that women with AF receive suboptimal anticoagulation and poorer preventive treatment of cardiovascular risk factors compared with men. In clinical practice, the increased risk of thromboembolism in women with AF has been acknowledged by the inclusion of female sex as an independent risk factor in the CHA2DS2-VASc score, the preferred stroke risk-stratification scheme in patients with AF. To date, the clinical utility of the CHA2DS2-VASc score for PE prediction has only been assessed in one registry-based study with limited follow-up. In this study, the PE risk was directly associated with the severity of the CHA2DS2-VASc score, and the scoring scheme had similar prediction for PE and IS.

The main strengths of our study include the prospective design, the large number of participants recruited from a general population, and a thorough validation scheme for both exposure and outcomes. In addition, the cause-specific hazards model eliminates the effect of IS on PE risk and vice versa. Some limitations also warrant attention. In the multivariable models in the present study, some subgroup analyses have few events per number of independent predictor variables, rendering less certain estimates, as echoed by the wide CIs. We may further underestimate the true incidence of AF in our study population, because many episodes of AF are asymptomatic. Also, potential AF cases were derived from a discharge diagnosis registry and the Norwegian Cause of Death Registry; thus, patients with AT solely treated in general practice would have been missed. It is also possible that the observed association between AF and PE is confounded by concurrent development of other conditions during follow-up, such as heart failure and chronic kidney disease. In the present study, we found PE risk to be particularly high during the first 6 months after AF, and it disappeared 3 years after AF onset. Concomitant conditions, such as hospitalizations and/or other comorbidities, may contribute to the excessive PE risk observed during this time period. Obstructive sleep apnea may trigger intravascular clot formation and is associated with both AF and VTE. Unfortunately, obstructive sleep apnea data were not collected in the Tromsø study. Other potential confounders, such as BMI, were measured at baseline only and may have changed over time. Nevertheless, previous estimates for VTE by
cardiovascular risk factors based on baseline measures and time-fixed analysis corresponded well with risk estimates based on repeated measurements and time-varying analyses. Furthermore, comparison within the same population ensures that the degree of confounding is similar for both outcomes. Regrettably, we did not have individual information on the use of anticoagulants in participants with AF. In a previous study from our hospital, 70% of patients discharged with a diagnosis of chronic AF were treated with oral anticoagulants and 20% were treated with platelet inhibitors. Similar figures were recently reported from western European countries, including Norway, in a recently published registry-based study. Because anticoagulant treatment efficiently reduces the risk of VTE, it is likely that our findings underestimate the true risk of thromboembolic complications in participants with AF.

In conclusion, the association between AF and future risk of PE could not be explained by intermediate development of IS. Our findings suggest that mechanisms and conditions related to AF itself may partly explain the increased risk of PE.

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### Disclosures

None.

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### Table 3. Crude IRs and HRs for PE and IS in Men and Women Developing AF During Follow-Up Compared With Subjects Without AF

| Variable | Person-Years | Events | Crude IR (95% CI)* | HR (95% CI) |
|----------|--------------|--------|-------------------|------------|
|          |              |        |                   | Model 1†   | Model 2‡   |
| PE       |              |        |                   |            |            |
| Men      |              |        |                   |            |            |
| No AF    | 184 172      | 118    | 0.64 (0.53–0.77)  | Reference  | Reference  |
|          | 4541         | 16     | 3.52 (2.16–5.75)  | 1.98 (1.15–3.41) | 1.91 (1.11–3.31) |
| Women    |              |        |                   |            |            |
| No AF    | 213 212      | 121    | 0.57 (0.47–0.68)  | Reference  | Reference  |
|          | 3310         | 20     | 6.04 (3.90–9.36)  | 3.33 (2.02–5.52) | 3.26 (1.97–5.42) |
| IS       |              |        |                   |            |            |
| Men      |              |        |                   |            |            |
| No AF    | 184 172      | 545    | 2.96 (2.72–3.22)  | Reference  | Reference  |
|          | 4541         | 91     | 20.04 (16.32–24.61) | 2.10 (1.67–2.64) | 1.96 (1.55–2.48) |
| Women    |              |        |                   |            |            |
| No AF    | 213 212      | 397    | 1.86 (1.69–2.05)  | Reference  | Reference  |
|          | 3310         | 107    | 32.32 (26.74–39.07) | 3.89 (3.11–4.87) | 3.65 (2.90–4.58) |

AF indicates atrial fibrillation; CI, confidence interval; HR, hazard ratio; IR, incidence rate; IS, ischemic stroke; and PE, pulmonary embolism.

*Per 1000 person-years.

† Using age as time scale.

‡ Using age as time scale and adjusted for body mass index, smoking, total cholesterol, hypertension, and history of myocardial infarction and diabetes mellitus.
