Anisotropic s-wave superconductivity in single crystals CaAlSi from penetration depth measurements

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In- and out-of-plane London penetration depths were measured in single crystals CaAlSi ($T_c = 6.2$ K and 7.3 K) using a tunnel-diode resonator. A full 3D BCS analysis of the superfluid density is consistent with a prolate spheroidal gap, with a weak-coupling BCS value in the $ab$-plane and stronger coupling along the $c$–axis. The gap anisotropy was found to significantly decrease for higher $T_c$ samples.

Superconductors with AlB$_2$ structure have received increased attention after the discovery of superconductivity at 39 K and especially after identification of two distinct gaps in MgB$_2$ [1,2,3]. It is believed that two gaps survive, because of reduced interband scattering due to different dimensionality of 2D $\sigma$ and 3D $\pi$ bands. Investigating materials with similar crystal and band structure is therefore important for understanding the mechanism of superconductivity in this class of hexagonal - layer compounds. In this paper we study CaAlSi which has been synthesized long ago [3], but in which superconductivity was discovered only recently [4]. Band structure calculations show highly hybridized three-dimensional interlayer and $\pi^*$ bands [5,6]. Although, most studies of CaAlSi indicate s-wave pairing, deviations from a single isotropic gap behavior have been reported [2,5,7,11]. Magnetic measurements indicate a fully developed s-wave BCS gap [2,12]. Angle-resolved photoemission spectroscopy [10] revealed the same gap magnitude on the two bands with moderate strong coupling value for the reduced gap, $2\Delta/k_B T_c = 4.2$. Together with specific heat measurements [11] it provided reliable evidence for a three-dimensional moderately strong-coupled s-wave BCS superconductivity. On the other hand, $\mu$SR studies have been interpreted as evidence of either one highly anisotropic or two distinct energy gaps [5]. Furthermore, 5-fold and 6-fold stacking sequence of (Al,Si) layers corresponding to two different values of $T_c$ of $\sim 6$ and $\sim 8$ K were found [13]. Therefore, an experimental study of in- and out-of-plane superfluid density is needed to understand anisotropic superconducting gap structure to help understand the mechanism of superconductivity in AlB$_2$ type compounds.

Single crystals of CaAlSi were grown from Ca:Al:Si (1:1:1) ingots using a floating zone method as described elsewhere [15]. Samples have $T_c$ either 6.2 or 7.3 K, which is directly related to different stacking sequences [15]. We measured two of each types of slab-shaped crystals with typical dimensions $0.3 \times 0.3 \times 0.4$ mm$^3$. The penetration depth was measured with an LC tunnel-diode oscillator which is sensitive to changes in susceptibility of several pico-emu or, equivalently, to changes in London penetration depth of about 0.3 Å for our crystals [17]. The quantitative analysis of the frequency shift depends on the sample shape and relative orientation of the excitation field, $H_{ac}$, with respect to the principal axes. Assuming superconducting crystal with isotropic in-plane response determined by the in-plane penetration depth, $\lambda_{ab}(T)$ and possible different value of the $c$–axis penetration depth, $\lambda_c(T)$, at least two experimental arrangements are required to extract $\lambda_{ab}(T)$ and $\lambda_c(T)$ separately. In the $H_{ac}||c$–axis orientation, superconducting currents are generated in the $ab$–plane, thus the susceptibility is determined only by $\lambda_{ab}(T)$ and the frequency shift, $\Delta f(T) = f(T) - f_0$, is given by

$$\Delta f(T) = \frac{f_0 V_s}{2 V_0 (1 - N)} \left[ 1 - \frac{\lambda(T)}{R} \tanh \left( \frac{R}{\lambda(T)} \right) \right] (1)$$

where $V_0$ is the effective coil volume, $N$ is the demagnetization factor, $\lambda(T)$ is the London penetration depth, $R$ is the effective planar sample dimension [17]. With magnetic susceptibility $\chi$ this equation is just $\Delta f(T) = -4\pi \chi(T) \Delta f_0$ where the only sample shape dependent parameter, $\Delta f_0$, is measured directly by pulling the sample out of the coil at low temperature.

Figure 1 shows the in-plane penetration depth determined from the frequency shift using Eq. (1). The zero-temperature value was estimated from the fit to the BCS formula as described below. This value is not important for the analysis of $\Delta \lambda_{ab}(T)$, but is needed to estimate the superfluid density. Muon spin rotation gives $\lambda_{ab}(0) = 2390$ Å [8], measurements of the critical fields $\lambda_{ab}(0) = 2060$ Å, $\lambda_c(0) = 870$ Å [12] and $\lambda_{ab}(0) = 3140$ Å [5] as well as measurements of the reversible magneti-
susceptibility is obtained from the anisotropic London equation, which must be solved numerically to extract the inter-plane penetration depth \( \lambda_c(0) \). Our conclusions are not significantly affected by the variation of these values. The main purpose of Fig. 1 is to compare crystals with different \( T_c \). The inset shows data on a full temperature scale. The signal saturates at the level corresponding to the normal-state skin depth, thus providing additional information - contact-less measurements of the resistivity above the transition. We find 45 \( \mu \Omega \cdot \text{cm} \) and 33 \( \mu \Omega \cdot \text{cm} \) for 6.2 K and 7.3 K, respectively, which is in agreement with direct measurements on single crystals, which found 36 \( \mu \Omega \cdot \text{cm} \) on higher \( T_c \) sample. On the contrary, when \( \lambda_{ab}(T) \) is plotted versus reduced temperature \( T/T_c \), the curves for two samples coincide (no normalization was done for the \( y \)-axis). Open symbols in Fig. 1 show results for \( T_c = 7.3 \) K crystal, whereas closed symbols show \( T_c = 6.2 \) K material. The data are well fit by the standard weak-coupling s-wave BCS model:

\[
\frac{\Delta \lambda_c(T)}{\lambda_c(0)} = \sqrt{\frac{\pi \Delta_c(0)}{2T}} \exp \left( -\frac{\Delta_c(0)}{T} \right) \tag{2}
\]

where, from \( \Delta \lambda_{ab}(T) \), we obtained \( \Delta_{ab}(0) = 1.76k_BT_c \), - a weak-coupling s-wave BCS superconducting gap.

In the \( H_{ac} \parallel ab \) orientation shielding currents flow along both the \( ab \)-plane and the \( c \)-axis. The full magnetic susceptibility is obtained from the anisotropic London equation, which must be solved numerically to extract the inter-plane penetration depth \( \lambda_c(T) \). For a slab \( 2b \times 2d \times 2w \) with magnetic field oriented along the longest side \( w \) the following solution has been obtained:

\[
\frac{\Delta f_c(T)}{\Delta f_0^{H||ab}} = 1 - \frac{\lambda_{ab}}{d} \tanh \left( \frac{d}{\lambda_{ab}} \right) - 2\lambda_{ab}^2 \sum_{n=0}^{\infty} \frac{\tanh \left( \tilde{b}_n/\lambda_c \right)}{k_n^2 b_n^4} \tag{3}
\]

where \( k_n = \pi (n + 1/2) \) and \( \tilde{b}_n = b \sqrt{(k_n \lambda_{ab}/d)^2 + 1} \).

Knowing \( \lambda_{ab}(T) \) from independent measurements in the \( H_{ac} \parallel c \) orientation and measuring the total frequency shift upon extraction of the sample from the coil, \( \Delta f_0^{H||ab} \), Eq. (3) is solved numerically to obtain \( \lambda_c(T) \).

Figure 2 shows the out-of-plane penetration depth obtained from Eq. (3). The main frame shows the \( T_c = 6.2 \) K sample, whereas the inset shows data for \( T_c = 7.3 \) K sample. Solid lines are the fits to the low-temperature BCS expression, Eq. (2). The superfluid density generally depends on the shape
of the Fermi surface and the gap anisotropy [21]. For CaAlSi we can assume a fairly isotropic Fermi surface [4, 5, 22], a superconducting gap isotropic in the ab-plane and anisotropic for the out of plane response. Within the semiclassical approximation [21],

\[
\rho_{ab} = 1 - \frac{3}{4T} \int_0^1 (1-z^2) \left[ \int_0^{\infty} \cos^{-2} \left( \frac{\sqrt{z^2 + \Delta(z)^2}}{2T} \right) d\varepsilon \right] dz
\]

\[
\rho_c = 1 - \frac{3}{2T} \int_0^{\infty} \cos^{-2} \left( \frac{\sqrt{z^2 + \Delta_c(z)^2}}{2T} \right) d\varepsilon \right] dz
\]

where \( z = \cos(\theta) \) and \( \theta \) is the polar angle with \( \theta = 0 \) along the \( c \)-axis. Since there is no general argument for the shape of the gap, we choose the spheroidal form:

\[
\Delta(T, \theta) = \frac{\Delta_{ab}(T)}{\sqrt{1 - \varepsilon \cos^2(\theta)}}
\]

and parameter \(-\infty \leq \varepsilon \leq 1\) is related to eccentricity \( e \) as \( e = \sqrt{1 - \varepsilon} \) where \( c \) is the normalized semi-axis along the \( c \)-axis, but \( \varepsilon \) can assume both negative and positive values. The spheroid is either prolate \((\varepsilon > 0)\), oblate \((\varepsilon < 0)\) or a sphere \((\varepsilon = 0)\). The temperature dependence of the superconducting gap was obtained from the anisotropic gap equation. We found that is well approximated by \( \Delta(T) = \Delta(0) \tan \left( 1.785 \sqrt{T_c/T - 1} \right) \).

The following data analysis was performed. By measuring the same sample in two orthogonal orientations (along the \( c \)-axis and along the \( ab \)-plane), both \( \lambda_{ab}(T) \) and \( \lambda_c(T) \) were obtained. The latter contains contributions from both \( \lambda_{ab}(T) \) and \( \lambda_c(T) \). Equation (6) was then used to numerically evaluate \( \lambda_c(T) \). Low-temperature BCS fits as well as measurements of the reversible magnetization were used to estimate \( \lambda_{ab}(0) = 0.31 \mu m \) and \( \lambda_c(0) = 0.65 \mu m \). Fits over the full temperature range confirmed the assumed values. Then Eqs. (4) and (5) were used to fit the data. As a final step both curves, \( \rho_{ab}(T) \) and \( \rho_c(T) \), were generated from a single set of fitting parameters.

Figure 3 shows data and fitting results for the lower \( T_c = 6.2 \) K samples. Symbols show measured data and solid lines are calculated for the ellipsoidal gap shown in the inset. The fitting procedure yielded the weak-coupling BCS value, \( 2\Delta_{ab}(0)/k_BT_c = 3.53 \), in the \( ab \)-plane and \( \varepsilon = 0.656 \) corresponding to \( 2\Delta_c(0)/k_BT_c = 6.02 \) gap maximum along the \( c \)-axis.

Figure 4 shows similar results for the samples with \( T_c = 7.3 \) K. There is an obvious reduction of the gap anisotropy. The best fit to the ellipsoidal gap yields \( \varepsilon = 0.206 \) resulting in \( 2\Delta_c(0)/k_BT_c = 3.98 \). It should be noted that in most previous works only averaged values of the superconducting gap could be obtained. From heat capacity measurements, \( 2\Delta(0)/k_BT_c = 4.07 \) was obtained [11], whereas ARPES yielded \( 2\Delta(0)/k_BT_c = 4.2 \) [10]. The average effective gap can be obtained from our results by equating volumes of the spheroidal gap and a sphere, \( \Delta_{eff} = \Delta_{ab}(0) (1 - \varepsilon)^{-1/6} \). This gives

![Image](image-url)
$2\Delta_{eff}(0)/k_BT_c = 4.22$ for samples with $T_c = 6.2$ K and $2\Delta_{eff}(0)/k_BT_c = 3.66$ for samples with $T_c = 7.3$ K which is in the correct range of reported values and our earlier fits using Eq. (2). All these values should be compared to the weak-coupling isotropic result of $2\Delta(0)/k_BT_c = 3.53$.

For all samples studied, we find that the temperature dependencies of both in-plane and out-of-plane superfluid density are fully consistent with single-gap anisotropic $s$-wave superconductivity. The gap magnitude in the $ab$-plane is close to the weak-coupling BCS value while the $c$-axis values are somewhat larger. Our results suggest that scattering is not responsible for the difference in $T_c$. Scattering would lead to a suppression of the gap anisotropy \[25\]. The gap with average value $\bar{\Delta}$ and variation $\delta\Delta$ on the Fermi surface can only survive if $\hbar\tau^{-1} \ll \sqrt{\Delta\delta\Delta}$, where $\tau$ is the impurity scattering rate \[24\]. The values of resistivity are very close for both high- and low-$T_c$ samples (45 $\mu$Ω-cm and 33 $\mu$Ω-cm, respectively), see Fig. 2 that the qualitative trend in anisotropy is just opposite. Also, 15% suppression of $T_c$ by non-magnetic impurities requires very large concentrations. This would, indeed, significantly smear the transition, which we did not observe. Therefore, all facts point out that in CaAlSi gap anisotropy abruptly decreases as $T_c$ abruptly increases from $\sim 6$ to $\sim 8$ K. A plausible mechanism comes from the analysis of the stacking sequence of (Al/Si) hexagonal layers \[12\]. There are two structures – 5-fold and 6-fold stacking corresponding to low and higher $T_c$ samples, respectively. Buckling of (Al/Si) layers is greatly reduced in a 6-fold structure, which leads to the enhancement of the density of states, hence higher $T_c$. Our results suggest that reduced buckling also leads to almost isotropic gap function. This may be due significant changes in the phonon spectrum and anisotropy of the electron-phonon coupling.

Measurements of the field dependence of the penetration depth in the vortex state also show a difference between the two sets of samples, as do measurements taken with different field orientations relative to the $c$-axis. Of particular interest is the variation of the second critical field and the so-called peak effect \[14\]. Tunnel-diode studies of these properties will be reported elsewhere.

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