MILLIHertz QUaSi-pериodIC OSScillations IN 4U 1636–536: PUTTING POSSIBLE CONSTRAINTS ON THE NEUTRON STAR SIZE

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ABSTRACT

Based on previous studies of quasi-periodic oscillations (QPOs) in neutron star (NS) low-mass X-ray binaries, mHz QPOs are believed to be related to “marginally stable” burning on the NS surface. Our study of phase-resolved energy spectra of these oscillations in 4U 1636–53 shows that the oscillations are not caused by variations in the blackbody temperature of the NS, but reveals a correlation between the change of the count rate during the mHz QPO pulse and the spatial extent of a region emitting blackbody emission. The maximum size of the emission area, \( R_{\text{BB}} = 216.7^{+93.4}_{-86.4} \text{ km}^2 \), provides direct evidence that the oscillations originate from a variable surface area constrained on the NS and are therefore not related to instabilities in the accretion disk. The obtained lower limit on the size of the NS (11.0 km) rules out equations of state that prefer small NS radii. Observations of mHz QPOs in NS LMXBs with NICER and eXTP will reduce the statistical uncertainty in the lower limit on the NS radius, which together with better estimates of the hardening factor and distance, will allow for improved discrimination between different equations of state and compact star models. Furthermore, future missions will allow us to measure the peak blackbody emission area for a single mHz QPO pulse, which will push the lower limit to larger radii.

Key words: binaries: close – stars: neutron – stars: oscillations – X-rays: binaries – X-rays: individual (4U 1636-53)

1. INTRODUCTION

In low-mass X-ray binaries (LMXBs) a variety of periodic and quasi-periodic phenomena has been observed (van der Klis 2006; Belloni & Stella 2014). This variability has mostly been associated with orbiting material in the accretion flow close to the compact object (e.g., Ingram & Done 2011). In the case of a neutron star (NS) accretor, matter can accumulate on the NS surface and hence some of the variability phenomena can originate from the NS surface. Depending on the properties of the layer of accreted material (temperature, density, and chemical composition of the accreted material) the ignition conditions for hydrogen or helium fusion can be reached and stable or unstable thermonuclear burning can proceed. These conditions are largely set by the mass accretion rate (Fujimoto et al. 1981; Bildsten 1998). If the accretion rate stays below a critical threshold, the accumulated material undergoes unstable nuclear burning, resulting in brief, intense thermonuclear X-ray bursts (type-I bursts; Lewin et al. 1993, 1995; Strohmayer & Bildsten 2006). Theoretical models of hydrogen and helium burning suggest this threshold to be located around the Eddington limit, while observations place the transition of unstable to stable burning close to 10% of the Eddington limit (Fujimoto et al. 1981; Bildsten 1998; van Paradijs et al. 1988; Cornelisse et al. 2003). A possible solution for this discrepancy is to include mixing processes, e.g., due to rotation and rotationally induced magnetic fields (Fujimoto 1993; Yoon et al. 2004; Piro & Bildsten 2007; Keek et al. 2009). Furthermore, the range of accretion rates in which the transition of unstable to stable burning takes place depends strongly on the composition of the burning layer and reaction rates (Keek et al. 2014).

At the transition between unstable and stable nuclear burning, the temperature dependence of the nuclear heating rate and cooling rate almost cancels. This leads to an oscillatory mode of burning called “marginally stable burning.” Multi-zone numerical models of the NS envelope reproduce the oscillations at the transition from stable to unstable burning and derive the period of the oscillations to be the geometric mean of the accretion and thermal timescales for the burning layer, \( (t_{\text{acc}}/t_{\text{th}})^{1/2} \sim 100 \text{ s} \) (Heger et al. 2007).

A phenomenon speculated to be related to “marginally stable burning” on the NS surface are millihertz quasi-periodic oscillations (mHz QPOs) detected in NS LMXBs (Revnivtsev et al. 2001; Yu & van der Klis 2002; Altamirano et al. 2008b; Linares et al. 2012). Millihertz QPOs are observed at luminosities \( (L_{2-20\text{ keV}} = (5-11) \times 10^{36} \text{ erg s}^{-1}) \) close to the transition luminosity between stable and unstable burning and their centroid frequencies are close to the oscillation periods found in models of “marginally stable burning.”

Additional evidence that mHz QPOs are related to nuclear burning has been obtained from the observed anti-correlation between the kHz QPO frequency and the count rate over one mHz QPO cycle, which suggests that the inner edge of the disk moves outward slightly as the luminosity increases during the cycle, perhaps consistent with enhanced radiation drag as the gas orbiting close to the NS is radiated by emission from the NS surface (Yu & van der Klis 2002). The connection between mHz QPOs and nuclear burning has been fostered by the frequency decrease of the mHz QPO in 4U 1636–53 observed prior to the occurrence of a type-I X-ray burst (Altamirano et al. 2008b; Lyu et al. 2014, 2015). This frequency drift can be reproduced by models of nuclear burning that take mixing processes and crustal heating into account.
account, and could be caused by the cooling of deeper layers of the NS atmosphere (Keek et al. 2009).

1.1. 4U 1636–53

4U 1636–53 is a persistent NS low-mass X-ray binary. It belongs to the class of atoll sources (Hasinger & van der Klis 1989). 4U 1636–53 shows intensity variations up to a factor of 10 on an ~40 day cycle (Shih et al. 2005; Belloni et al. 2007), following a narrow track in the color–color diagram and hardness-intensity diagram (Belloni et al. 2007; Altamirano et al. 2008a). 4U 1636–53 was first observed as a strong continuous X-ray source with Copernicus (Willmore et al. 1974) and Uhuru (Giacconi et al. 1974). Since then, the source has been observed over a wide range of wavelengths. Optical photometry revealed an orbital period of ~3.8 hr (van Paradijs et al. 1990), and a companion star with a mass of ~0.4 M⊙, assuming an NS mass of 1.4 M⊙ (Giles et al. 2002). X-ray studies of 4U 1636–53 have shown a variety of variability on different timescales ranging from mHz to kHz. The NS nature of the compact object has been confirmed through the detection of thermonuclear X-ray bursts (Hoffman et al. 1977; Galloway et al. 2006; Zhang et al. 2011), which show asymptotic millisecond burst oscillations, indicating a spin frequency of ~581 Hz (Zhang et al. 1997; Giles et al. 2002; Strohmayer & Markwardt 2002). In addition to variability studies, the X-ray energy spectra, which show broad, asymmetric Fe emission lines, have been investigated (Pandel et al. 2008; Cackett et al. 2010; Ng et al. 2010; Sanna et al. 2013).

2. OBSERVATIONS AND DATA ANALYSIS

In this study, we use two archival XMM-Newton observations of 4U 1636–53 obtained on 2009 March 14 (Obs.ID: 0606070101) and September 5 (Obs.ID: 0606070301). The observations are taken with the EPIC/pn detector in timing mode, and have been included in the studies of Lyu et al. (2014, 2015), who investigated the drift of the mHz QPO frequency, the evolution of spectral parameters with the drift of the frequency, and the duration of and spectral properties during the reappearance interval. We filter and extract the pn event file, using standard SAS (version 14.0.0) tools, paying particular attention to extract the list of photons not randomized in time. For our study, we select the longest, continuous exposure available in each observation before a type-I X-ray burst occurred, which results in an exposure of 13.3 and 10.4 ks, respectively. We use the SAS task epatplot to investigate whether the observations are affected by pile-up. For the September observation, we need to exclude the central three columns (37 ≤ RAWX ≤ 39) to minimize the effect of pile-up. To treat both observations in the same way and to obtain comparable (detector) count rates, we exclude the same columns in the March observation. We extract powered density spectra (PDS) and light curves for each observation using columns 31 ≤ RAWX ≤ 36 and 40 ≤ RAWX ≤ 45. In the PDS, we verify that the noise level is consistent with the one expected for Poissonian noise (Zhang et al. 1995) before we subtract the contribution due to Poissonian noise. Afterward, we normalize the PDS according to Leahy (Leahy et al. 1983) and convert it to square fractional rms (Belloni & Hasinger 1990).

3. LIGHT CURVE AND WAVEFORM

From both observations, we obtain light curves in which mHz QPOs, on a timescale of ~140 s, are clearly present (see Figure 1). In the September observation, the mHz QPOs are observed before the occurrence of a type-I burst, which is also covered by this observation (Lyu et al. 2014). In addition, this observation covers about 20 ks after the type-I burst during which no mHz QPOs have been detected. Based on power density spectra, we obtain an averaged centroid frequency in the range of 6.6–7.2 mHz and 6.1–6.5 mHz, respectively.

We derive the mHz QPO waveform through a multi-step process (similar to the approach used in Yu & van der Klis 2002). At first, we create a template of the waveform: we smooth the light curve by a factor of three, i.e., we replace the value in each bin by the averaged value obtained from this bin and its two adjacent neighbors. We then search for local maxima and minima in windows of 140 s of the smoothed light curve. We make sure that the extrema are alternating (i.e., we excluded cases where there are, e.g., two maxima without a minimum in between), that the highest/lowest peak between two minima/maxima is picked as a maximum/minimum and that the maxima/minima exceed/fall below the averaged count rate by at least 30%. We then align the maxima found to obtain a first template of the waveform. To refine the waveform, the template is correlated with the original (i.e., not smoothed) light curve in steps of one bin width. A set of extrema is obtained using the best correlations in windows of 140 s and the maxima are aligned to get a refined template of the waveform. The correlation and aligning is repeated until a stable waveform is found. It takes 9 (8) steps for the March (September) observation until the difference of the averaged count rate is less than 10^{-3} cts s^{-1} in two consecutive steps. The obtained waveforms consist of a plateau with a rather constant count rate, which we call the “quiescence” state of the mHz QPO; they then show a fast rise and a rather symmetric decline, followed by another plateau with a rather constant count rate (Figure 2). Both plateaus, at the beginning and end, occur at a similar count rate, and the pulse itself is located between 0.4 ≤ φ ≤ 0.65. We want to point out that we assumed in this approach that the shape of the waveform stays
Given are the "quiescence" model and an additional bbodyrad model. The obtained spectral parameters of the phase-resolved spectra combining both observations, the unpulsed level, and the waveform in each half. The waveform obtained from the half closer to the occurrence of the type-I burst agrees well with the waveform found in the other half.

### 4. PHASE-RESOLVED SPECTRA

To obtain phase-resolved energy spectra, we use standard SAS tools and the start and end times of each bin from the light curves. During the extraction of energy spectra, we pay special attention to generate ARF files of the pile-up corrected source region, following the steps laid down in the *XMM-Newton Users Guide*. To extract background spectra, we use columns 3 ≤ RA WX ≤ 5. To get better statistics, we regroup the bins (width:0.045) of the pulse into five bins, corresponding to early rise (0.3 < φ < 0.4), rise (0.4 ≤ φ < 0.5), peak (φ = 0.5), decay (0.5 < φ ≤ 0.65), and late decay (0.65 < φ < 0.8) of the pulse and extract the energy spectrum of each bin for both observations. We also extract a spectrum of the "quiescence" state of the mHz QPO, using 0.0 ≤ φ ≤ 0.3. The spectral fitting is done using ISIS V. 1.6.2 (Houck & Denicola 2000). We fit the "quiescence" spectra of both observations individually with an absorbed blackbody plus disk blackbody model, where the single blackbody accounts for the emission of the NS surface/boundary layer and the disk blackbody component for the emission of the accretion disk (Sanna et al. 2013), to obtain a model of the X-ray emission of the accreting NS. We used the *tbabs* absorption model (Wilms et al. 2000) with abundances of Anders & Grevesse (1989) and the cross sections given in Balucinska-Church & McCammon (1992), with the He cross-section based on Yan et al. (1998). The obtained spectral parameters are given in Table 1. The fact that the blackbody temperature does not show a correlation with the mHz QPO frequency has been reported (Lyu et al. 2015).

In Heger et al. (2007), the mHz QPO is interpreted as an oscillatory burning mode across the whole NS surface. According to this interpretation, the oscillations come from variations in the blackbody temperature. To test this picture, we fit the phase-resolved energy spectra with the model of the "quiescence" spectra, leaving the blackbody temperature free. We find a change of the blackbody temperature (on the 10 eV scale) with the pulse profile, but the obtained reduced chi-squared values are unacceptably large (Table 2) and the spectral residuals clearly show that an additional component at lower energies is present.

Next, we try to fit the phase-resolved energy spectra with the obtained "quiescence" spectra and an additional single

| Parameter | March | Sept. |
|-----------|-------|-------|
| N_H [10^{22} cm^{-2}] | 2.353 ± 0.006 | 2.452 ± 0.006 |
| f_bbody [keV] | 1.81^{+0.03}_{-0.02} | 1.75^{+0.02}_{-0.01} |
| A_bbody [cm^{-2}] | 10.9^{+0.7}_{-0.6} | 8.9 ± 0.5 |
| f_disk [keV] | 0.808 ± 0.012 | 0.772 ± 0.011 |
| R_disk √cosθ [km]\(^a\) | 13.4 ± 0.4 | 12.5^{+0.4}_{-0.3} |
| \(\chi^2/ν\) | 110.3/82 | 91.7/82 |

\(^a\) Derived from normalization assuming a distance of 6.0 kpc (Galloway et al. 2006) and a mass of 1.4 M\(_{⊙} \).
blackbody model (bbodyrad). We fit the energy spectra of both observations individually. In addition, we perform joint fits of energy spectra belonging to the same phase bin of both observations simultaneously. In the case of fitting both observations simultaneously, we use the individual “quiescence” model of each observation and assume that the parameters of the added blackbody model are the same for both observations. It is already evident from the spectral fits of the individual observations that the emission region of the blackbody emission changes during the pulse, while the temperature remains constant within error bars. To increase statistics, we combined data of both observations and obtained a lower temperature of 0.65 ± 0.05 keV, while the apparent area increased from 6.8 ± 3.4 km² at the begin and 9.9 ± 3.5 km² at the end of the pulse to 44.2 ± 4.8 km² during the pulse (Figure 2), assuming a distance to the source of 6.0 kpc (Galloway et al. 2006), with χ² between 1.0 and 1.3. The spectral fitting results for each bin can be found in Table 2. For the March observation, we cannot obtain constrained spectral parameters for the early rise (0.3 < φ < 0.4).

How the uncertainty in the distance affects the size of the apparent area is shown in Figure 3. Because the distance remains the same during the mHz QPO cycle, uncertainties in the distance affect the absolute value of the apparent area, but not the evolution of the apparent area with the mHz QPO phase. The apparent area (R_BB^2) is related to the area measured at the stellar surface (R^2_{\ast}) by the following relation (Sztajno et al. 1985).

\[
R^2_{\ast} = R^2_{BB} \times f^4_\text{col} \times \left(1 - \frac{2M}{R_{NS}}\right)
\]

where G = c = 1. Based on the narrow luminosity range in which mHz QPOs are observed and assuming a He enriched

### Table 2

| Parameter | 0.3 ≤ φ < 0.4 | 0.4 ≤ φ < 0.5 | φ = 0.5 | 0.5 < φ ≤ 0.65 | 0.65 ≤ φ < 0.8 |
|-----------|----------------|----------------|---------|----------------|-----------------|
| Temperature [keV] | 0.61±0.13 | 0.66 ± 0.03 | 0.69 ± 0.02 | 0.62 ± 0.02 | 0.63 ± 0.06 |
| Area [km²] | 6.9±5.5 | 21.8±1.8 | 44.2±5.4 | 31.1±3.3 | 9.9±2.6 |
| \(\chi^2/\nu\) | 227.2/172 | 211.9/172 | 174.2/172 | 224.9/172 | 219.1/172 |
| Temperature [keV] | 0.62±0.11 | 0.68 ± 0.04 | 0.70 ± 0.03 | 0.64 ± 0.03 | 0.76±0.10 |
| Area [km²] | 10.1±10.4 | 20.7±3.5 | 41.4±6.7 | 26.7±9.4 | 5.2±1.8 |
| \(\chi^2/\nu\) | 111.5/85 | 115.7/85 | 64.3/85 | 95.8/85 | 115.5/85 |
| Temperature [keV] | 1.814 ± 0.005 | 1.826 ± 0.004 | 1.853±0.006 | 1.823 ± 0.004 | 1.809 ± 0.004 |
| \(\chi^2/\nu\) | 105.7/86 | 179.0/86 | 310.7/86 | 403.0/86 | 154.8/86 |
| Temperature [keV] | 1.749 ± 0.004 | 1.763 ± 0.004 | 1.769 ± 0.006 | 1.767 ± 0.003 | 1.753 ± 0.004 |
| \(\chi^2/\nu\) | 137.0/86 | 275.6/86 | 378.2/86 | 373.4/86 | 150.4/86 |
| Temperature [keV] | 0.807 ± 0.004 | 0.812 ± 0.004 | 0.827 ± 0.006 | 0.811 ± 0.003 | 0.804 ± 0.003 |
| \(\chi^2/\nu\) | 105.9/85 | 95.8/85 | 111.3/85 | 125.0/85 | 98.2/85 |
| Temperature [keV] | 0.001 ± 0.016 | 0.004 ± 0.016 | 0.019 ± 0.018 | 0.003 ± 0.015 | −0.004 ± 0.015 |
| \(\chi^2/\nu\) | 0.0±0.5 | 0.1±0.5 | −0.1±0.6 | 0.2±0.5 | 0.2±0.5 |

Note.

* Derived from normalization assuming a distance of 6.0 kpc (Galloway et al. 2006) and a mass of 1.4 M☉.

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4 We note here that Revnivtsev et al. (2001) found that in 4U1608–52 the “variable” spectral component, which is related to the mHz QPO, is much softer than the averaged spectrum.
environment (Lyu et al. 2015) we assume a hardening factor \( f_{\text{col}} \) of 1.60\(^{+0.10}_{-0.15}\). The value has been obtained by fitting the atmosphere spectra for different effective gravity values and compositions in the 0.5–1.1\( \times 10^{-7} \) erg s\(^{-1}\) luminosity range from Suleimanov et al. (2012) with a diluted blackbody in the 1–10 keV range, appropriate for the EPIC/pn instrument. The compactness of the NS has been derived as \( M/ R_{\text{NS}} = \beta_{\text{avg}} = 0.126 \) with an upper limit of 0.163 by Nath et al. (2002) based on RXTE observations of the bolometric flux oscillations that occur during the rise of X-ray bursts. They assumed two antipodal hot spots that expand linearly with time. Models with just one hot spot did not allow them to constrain the compactness. Relativistic Doppler shifts and aberration due to rotational motion of the hot spots have been neglected. They also assumed that the background was known. Using the above values, the area covered on the NS surface during the pulse peak corresponds to \( R_{\text{BB}}^2 = 216.7^{\pm9.6}_{\pm8.4} \) km\(^2\). This finding allows us to determine a rough lower limit on the NS radius of 14.7\( ^{\pm3.8}_{\pm2.5} \) km, not taking into account additional geometrical effects (see, e.g., Psaltis et al. 2000), anisotropy (Chenevez et al. 2016; He & Keek 2016), and uncertainties on the distance, and shows that the process that causes the variability seen as mHz QPO originates in spatial expansion of the zone of nuclear burning on the NS surface. Relating the change of the emission area to the rotation of the NS can be excluded because the NS has a spin frequency of ~518 Hz (Strohmayer & Markwardt 2002).

In an alternative scenario, the origin of the mHz QPO is related to thermal/viscous instabilities in the accretion disk. In GRS 1915 +105, variability on timescales of 100–1000 s has been observed that has been attributed to disk instabilities (Taam et al. 1997). However, the shape of the light curve, a gradual increase through a shoulder followed by a sharp drop and a secondary, and in some cases even a tertiary peak observed in GRS 1915+105, differs significantly from the rather symmetric profile we find in the case of 4U 1636–53. We also fit the phase-resolved energy spectra with the “quiescence” model, keeping the absorption and the parameters of the blackbody emission fixed at the values found during the “quiescence” state of the mHz QPO. The parameters of the disk blackbody model are allowed to vary to investigate whether changes in the spectra can be attributed to the emission of the disk component. The inner disk radius stays constant over the mHz QPO cycle (Figure 2 and Table 2). For the inner disk temperature, we find an increase of about 20–30 eV during the central bin, which corresponds to the peak of the mHz QPO, while for all other bins the temperature agrees with that of the “quiescence” spectrum. Taking uncertainties on the temperatures into account, the increase during the central peak is significant on a level of 1.06\( \sigma \) in the March and of 1.85\( \sigma \) in the September observation. In GRS 1915+105, temperature changes between the shoulder and the peaks have been found that are more than a factor of 10 larger than the one seen here (Taam et al. 1997). From the constant inner disk radius, the negligible change in inner disk temperature, and the maximum extension of the blackbody emission area, which is consistent with the size of an NS, it follows that the origin of the mHz QPO being related to instabilities in the accretion disk is highly unlikely.

We would like to mention that, for the March data that are not affected by pile-up, we also extract light curves and power density and energy spectra from the whole 31 \( \leq \) RAWX \( \leq \) 45 range, i.e., without excluding the central three columns. Including the central three columns increases the amount of photons and hence the count rate. The shapes of the waveform and the phase-resolved energy spectra are consistent with the one obtained from the data where the central three columns are excluded and therefore the results presented here are neither affected by the pile-up correction, nor by pile-up itself.

5. CONSTRAINTS ON NS SIZE AND EQUATION OF STATE (EOS)

The fact that the emission of the mHz QPOs can be described by a blackbody model shows that mHz QPOs are related to some process that produces thermal emission. The correlation between the size of the emission region of the blackbody emission and the mHz QPO phase provides evidence that mHz QPOs do not originate in temperature oscillations of the whole NS, but are related to nuclear burning on the NS surface. The maximum size of the blackbody emission found during the mHz QPO peak shows that the emission in a mHz QPO originates only in parts of the NS surface. Putting the results of our phase-resolved spectral study together with what we already know about mHz QPOs from previous studies and including our knowledge about type-I X-ray bursts, we arrive at the following picture. During the accretion of matter from the companion star onto the NS surface, the conditions to ignite “marginally stable” nuclear burning are reached locally. As a result, we detect spatially confined bursts of thermal emission as mHz QPOs. One way to get confinement of burning are magnetic fields (Brown & Bildsten 1998). When the NS has accreted enough matter, “marginally stable” burning is suppressed and an explosive nuclear burning spreading over the whole NS surface takes place, which is observed as a type-I X-ray burst. After the type-I burst, all the matter to fuel nuclear burning is exhausted and no mHz QPOs are detected until enough matter to start “marginally stable” burning has been accreted again.

The lower limit on the NS radius (11.0 km; 2\( \sigma \) lower limit) that we determined based on current estimates of the source distance allows us to rule out NS EoS that prefer a small NS radius, e.g., strange quark matter (Figure 4; see Ozel & Freire 2016, for a recent review of NS EoS). This lower limit includes the uncertainties on the apparent size of the emission...
Figure 4. Mass–radius curves for different equation of states (Ozel & Freire 2016). The lower limit on the NS radius obtained based on current estimates of the source distance allows us to rule out NS EoS that prefer a small NS radius.

area, the hardening factor, and the compactness of the NS. Including the uncertainty on the distance estimation, the lower limit on the NS radius remains above 10 km. Observations of one life cycle of the mHz QPO in 4U 1636–53 (19 ks; Lyu et al. 2015) with NICER will allow us to reduce the statistical uncertainties in the radius to about 0.3 km. With the Low energy Focusing Array on board the enhanced X-ray Timing and Polarisation mission (eXTP) it will be possible to reduce the uncertainties even further to \( \lesssim 0.15 \) km, and to constrain the NS radius on \( \pm 1 \) km using current estimates of the source distance and hardening factor. Given improved constraints on the hardening factor (which will also be obtained with NICER and eXTP) and on the distance, observations of mHz QPOs in NS LMXBs with eXTP and NICER will provide solid lower limits on the NS radius and improve determination between different EoS and between NS and quark star models. Future missions will also allow us to measure the emission area for single mHz QPO pulses. The maximum peak blackbody area of a single mHz QPO pulse will push the lower limit to larger radii than the current results based on an averaged mHz QPO waveform.

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