Resin Infiltration of Non-Cavitated Caries Lesions: A Systematic Review

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Key Words
Resin infiltration · Non-cavitated caries lesions · Inhibition of caries progression

Abstract
Objective: The aim of this systematic review was to evaluate the in vivo scientific evidence of the ability of resin infiltration (RI) to arrest non-cavitated caries lesions. Materials and Methods: The PubMed database was searched for randomized controlled trials that evaluated the in vivo effect of RI versus placebo or other preventive treatment on the progression of caries lesions. The keywords used were ‘resin infiltration, dental caries’, ‘resin infiltration, carious lesions’, ‘resin infiltration, caries lesions’, ‘caries infiltration’ and ‘Icon DMG’ with the ‘clinical trial’ filter activated. Among the 14 articles originally identified with these keywords, only 4 (related to 3 different in vivo studies) were included for this review. Results: All 4 articles reported on proximal caries lesions. One study had been conducted on 48 high-caries-risk children while the other 3 (n = 22, 22 and 39, respectively) concerned moderate- and low-caries-risk adolescents and adults. The quality of the studies was assessed to be high with respect to randomization, split-mouth design and blinding. All the included studies showed significant differences in caries progression between test and control/placebo groups, indicating that RI may inhibit the carious process. Conclusion: This systematic review revealed that RI appeared to be an effective method to arrest the progression of non-cavitated caries lesions. Additional, long-term studies are required.

Introduction

Approaches for the management of dental caries have changed dramatically in recent years, evolving from the traditional, largely restorative treatment approach to a preventive approach, non-invasion or minimal invasion [1]. In view of a better understanding of the caries process, modern management approaches should aim towards preventing the disease, managing the caries risk and detecting caries lesions as early as possible in order to avoid invasive treatment, but, when indicated, use the least invasive methods [1].

Several approaches have been proposed for the non-invasive management of non-cavitated caries lesions, also known as initial or early caries lesions (from the first signs of demineralization through to the presence of a dentine
These include the remineralization of the lesion with fluoride [2] and casein phosphopeptide amorphous calcium phosphate [3], or the use of therapeutic sealants for occlusal lesions [4]. Another non-invasive alternative treatment is based on experiments conducted by Robinson et al. [5] on caries infiltration with resorcinol-formaldehyde resin. This concept has been modified and commercially developed in Germany for the management of non-cavitated caries lesions; the porosities of an enamel lesion are infiltrated with a low-viscosity resin, a technique known as ‘resin infiltration’ (RI) [6, 7]. In contrast to the sealing of caries lesions that depends upon the external occlusion of the lesion with the sealant material, the potential caries-inhibiting effect of RI is dependent on the occlusion of the pores within the body of the caries lesion. Other indications for RI, relating to the presence of tissue porosity, namely amelogenesis imperfecta, molar incisor hypomineralization, fluorosis and white spots, have also been suggested [8–11].

Scientific evidence for efficacy should underline the implementation of new therapeutic strategies in everyday clinical practice. Systematic reviews serve to compare the results obtained in clinical studies on a specific topic, for instance, to assess the efficacy of a newly introduced therapy. The aim of this review was to evaluate the in vivo scientific evidence regarding the ability of RI to arrest non-cavitated caries lesions (occlusal and proximal).

**Materials and Methods**

**Data Collection**

The PubMed database was searched in September 2014 for randomized controlled trials that evaluated the in vivo effect of RI on caries lesion progression. The search was undertaken with the following keywords: ‘resin infiltration, dental caries’, ‘resin infiltration, carious lesions’, ‘resin infiltration, caries lesions’, ‘caries infiltration’ and ‘Icon DMG’ with the ‘clinical trial’ filter activated.

The articles were included for review on the basis of their compliance with the inclusion criteria, namely (1) the title or abstract was relevant to the topic and (2) they reported an in vivo trial.

Each article that complied with the inclusion criteria was evaluated by two authors (R.D. and S.D.) for study quality [12], namely the generation of the randomization sequence (allocation), the study design, the blind outcome assessment, the control group and the completeness of follow-up (i.e. the drop-out rate).

**Relative Risk for Caries Progression**

We calculated the relative risk (RR) and 95% confidence interval (CI) for caries progression in the test group (i.e. the group who received RI) compared with the control/placebo groups for each of the included studies.

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**Table 1. Results of the search**

| References |
|------------|
| Included articles |
| Ekstrand et al., 2010 [13] |
| Martignon et al., 2012 [14] |
| Paris et al., 2010 [15] |
| Meyer-Lueckel et al., 2012 [16] |
| Excluded articles |
| Off the topic |
| Yazıcıoğlu and Ulukapı, 2014 [17] |
| Vailati et al., 2013 [18] |
| Salomon et al., 2012 [19] |
| Krzeminski et al., 2011 [20] |
| Liu et al., 2011 [21] |
| RI and aesthetics |
| Knösel et al., 2013 [22] |
| RI and shear bond strength of metal orthodontic brackets |
| Hammad and Enan, 2013 [23] |
| RI ex vivo study |
| Soviero et al., 2013 [24] |
| RI in situ study |
| Paris and Meyer-Lueckel, 2010 [25] |
| RI and the effect of two different acid etching agents |
| Paris et al., 2010 [26] |

References 15 and 16 reported on the same study but for two different study periods, i.e. 18 and 36 months, respectively.

**Results**

**Results of the Search**

The results of the search are presented in table 1. Of the 14 articles originally identified with the search keywords listed earlier, only 4 met all the inclusion criteria and were retained for this review. The remaining 10 articles were excluded for the following reasons: 5 were unrelated to the topic of RI, 1 was related to RI and aesthetics, 1 to RI and the shear bond strength of metal orthodontic brackets, 1 was an ex vivo study, 1 was an in situ study and the last one was a report on two different acid etching agents.

**Included Studies**

The 4 included articles reported on the use of RI for arresting proximal caries lesions. The information assessed concerning the quality aspects of these 4 studies is given in table 2. All studies used a split-mouth design, a randomized allocation, a blinded outcome assessment and a control group/groups, with drop-outs being taken into account.

The test group was characterized by RI used in conjunction with fluoride varnish (FV) in the study by Ekstrand et al. [13] and RI alone in the other studies [14–16]. All of the included studies compared RI/RI + FV to 1 or 2 other groups, respectively, ‘control: FV’, ‘placebo:
water application’ and ‘control: sealant, placebo: microbrush’.

Details of the study population (number of patients, age, level of caries risk, primary/permanent teeth), the outcome assessment and the follow-up duration are given in Table 3. The unit of analysis was the related lesion pair or a group of three lesions. The outcome variable was the proportion of lesions that progressed during the study period. In all 4 articles, outcomes were assessed by pairwise comparison of the depth of the lesions scored on bite-wing radiographs. Meyer-Lueckel et al. [16] and Paris et al. [15], however, considered this direct scoring of lesion progression to be a secondary end point and used digital subtraction radiography of the scanned images as the primary end point. Martignon et al. [14] also used digital subtraction radiography at the 1-year review but not thereafter. Ekstrand et al. [13] based their assessment on radiographs and the collection data with a direct assessment using scoring according to the International Caries Detection and Assessment System (ICDAS).

The outcomes for each of the included studies, the RR and the 95% CI for caries progression in the test group (RI group) compared with the other treatment alternatives or placebo are presented in Table 4. All 4 articles showed significant differences in caries progression between the test and control/placebo groups, indicating that RI might inhibit the carious process. RR for proximal caries progression, assessed by pairwise comparison, ranged from 0.11 to 0.8. RR was, respectively, 0.11 (95% CI 0.01–0.82 for RI vs. water), 0.46 (95% CI 0.28–0.77 for RI vs.

Table 2. Quality assessment of included studies

| Study | Randomization | Study design | Blind outcome assessment | Test group(s) | Control/placebo group(s) | Drop-outs |
|-------|---------------|--------------|--------------------------|---------------|--------------------------|-----------|
| Ekstrånd et al., 2010 [13] | Yes | Split-mouth | Yes | RI + FV | FV | 6/48 (12.5%) |
| Martignon et al., 2012 [14] | Yes | Split-mouth | Yes | RI | Sealant Microbrush | 2/39 (5.1%) |
| Paris et al., 2010 [15] | Yes | Split-mouth | Yes | RI | Water application | 2/22 (9.1%) |
| Meyer-Lueckel et al., 2012 [16] | Yes | Split-mouth | Yes | RI | Water application | 2/22 (9.1%) |

Table 3. Study design: population, outcome assessment and period

| Study | Patients | Level of caries risk | Dentition | Lesions considered for outcome (unit of analysis) | Outcome assessment | Follow-up duration |
|-------|----------|----------------------|------------|-----------------------------------------------|-------------------|------------------|
| Ekstrånd et al., 2010 [13] | 48 children (mean age: 7) | High | Primary teeth | 42 lesion pairs | PWC ICDAS score progression | 12 months |
| Martignon et al., 2012 [14] | 39 adolescents and young adults (mean age: 21) | Low: 46% moderate: 28% high: 26% | Permanent teeth | 37 groups of 3 lesions | PWC DSR | 36 months |
| Paris et al., 2010 [15] | 22 young adults (mean age: 25) | Moderate | Permanent teeth | 26 lesion pairs | PWC DSR | 18 months |
| Meyer-Lueckel et al., 2012 [16] | 22 young adults (mean age: 25) | Moderate | Permanent teeth | 26 lesion pairs | PWC DSR | 36 months |

DSR = Digital subtraction radiography; ICDAS = International Caries Detection and Assessment System; PWC = pair-wise comparison.
Resin Infiltration of Caries Lesions and 0.8 (95% CI 0.44–1.47 for RI vs. sealant) among moderate- and high-caries-risk adults at 36 months, and 0.38 (95% CI 0.2–0.7) among high-caries-risk children (RI + FV vs. FV) at 12 months.

**Discussion**

This systematic review revealed that RI appeared to be an effective method to arrest the progression of non-cavitated caries lesions. The calculated RR for the progression of proximal caries across the 4 studies included ranged from 0.11 to 0.8 among moderate- and high-caries-risk adults at 36 months and among high-caries-risk children. Despite the small sample sizes, ranging from 22 [15, 16] to 48 [13], significant differences were found between the control and test groups with fewer proximal lesions progressing in those managed with RI (alone or combined with FV).

The RR and the odds ratio (OR) were the two most widely used measures of association in epidemiology. A recent publication by Schmidt and Kohlmann [27] reports when to use OR and RR. They observed that direct computation of RR is feasible if meaningful prevalences or incidences are available and that cohort study designs allow for the direct calculation of RR from incidences. The situation is more complicated for case-control studies; if meaningful prevalences or incidences are not available, the OR provides a valid effect measure. Computationally, both approaches lead to the same result, but, unfortunately, ORs are often interpreted as if they were equivalent to RR, which ignores their actual meaning, i.e. as a ratio of odds. This inaccuracy can lead to potentially serious problems because the OR always overestimates the RR.

These 4 protocol studies fulfilled CONSORT (Consolidated Standards of Reporting Trials) requirements [12]. All 4 included a sample size calculation. This calculation was based on parameters from previous studies: a study on sealing proximal lesions in adult patients [28] for the Martignon et al. [14], Paris et al. [15] and Meyer-Lueckel et al. [16] studies, and a formula for testing differences in proportions for the paired-sample design by Connor [29] in the Ekstrand et al. [13] study. The dropout rate recorded in the included studies ranged from 5.1 to 12.5%, allowing for relevant results and conclusions as these were all <20%. The split-mouth study design used for all of the included studies is often used in dental research to test different interventions because it has the advantage of enabling an individual to serve as both sub-

| Table 4. Results: lesion progression in test and control groups and RR (95% CI) for caries progression |
|-----------------------------------------------------|
| **Progression differences between test or placebo treatment groups** |
| **At 12 months** | **Ekstrand et al. [13]** |
| PWC | **p < 0.001** |
| RI + FV vs. FV | 0.38 (0.2 – 0.7) |
| ICDAS score | **p = 0.002** |
| RI + FV vs. FV | 0.46 (0.28 – 0.77) |
| **At 36 months** | **Martignon et al. [14]** |
| PWC | **p = 0.0012** |
| RI vs. microbrush | 0.09 (0.01 – 0.65) |
| Sealant vs. microbrush | **p = 0.0291** |
| **At 18 months** | **Paris et al. [15]** |
| PWC | **p = 0.063** |
| RI vs. water | 0.17 (0.02 – 1.29) |
| DSR | **p = 0.002** |
| RI vs. water | 0.2 (0.05 – 0.83) |
| **RR for caries progression in the test group(s) compared with the control group (95% CI)** |
| **At 12 months** | **Ekstrand et al. [13]** |
| PWC | **p < 0.001** |
| RI + FV vs. FV | 0.38 (0.2 – 0.7) |
| ICDAS score | **p = 0.002** |
| RI + FV vs. FV | 0.46 (0.28 – 0.77) |
| **At 36 months** | **Martignon et al. [14]** |
| PWC | **p = 0.0012** |
| RI vs. microbrush | 0.11 (0.01 – 0.82) |
| Sealant vs. microbrush | 0.58 (0.37 – 0.9) |
| RI vs. sealant | 0.8 (0.44 – 1.47) |
| **At 18 months** | **Paris et al. [15]** |
| PWC | **p = 0.063** |
| RI vs. water | 0.17 (0.02 – 1.29) |
| DSR | **p = 0.002** |
| RI vs. water | 0.2 (0.05 – 0.83) |
| **At 36 months** | **Paris et al. [15]** |
| PWC | **p = 0.008** |
| RI vs. water | 0.17 (0.02 – 1.29) |
| DSR | **p = 0.002** |
| RI vs. water | 0.2 (0.05 – 0.83) |

DSR = Digital subtraction radiography; ICDAS = International Caries Detection and Assessment System; PWC = pair-wise comparison.
ject and control, thereby reducing inter-subject variability. It does, however, have limitations, for instance, the need to use appropriate test statistics as well as the potential for problems to arise when testing agents such as fluoride applications, where there can be a carry-across effect to adjacent segments [30]. The 4 articles differed in terms of study design, dentitions and observation periods, and there were some differences in evaluation methods. There was, however, homogeneity between the studies in terms of certain aspects of the protocol and outcome assessment (e.g. the proportion of caries lesions that progressed during the study period shown by pairwise comparison on digitized bite-wing radiographs) which allows for some comparisons to be made. Nevertheless, the compilation of the results was not deemed meaningful because 1 of the included studies was undertaken on primary teeth [13] whereas the others involved permanent teeth where RI was compared to different control/placebo groups [14–16].

The major limitation of this paper is the small sample which was due to the restricted search of the articles published in only one medical database, PubMed. Other databases such as LILACS and SciELO, which include publications in Portuguese and Spanish, or any other language medical databases were not searched. It did not attempt to review aspects such as operator and patient satisfaction with the technique or the cost effectiveness in relation to other approaches.

Conclusion

This systematic review revealed that the use of RI to arrest the progression of non-cavitated caries lesions is encouraging. This suggests that RI is a promising non-invasive approach and might be considered as an additional option to non-operative and operative treatment approaches. However, high-quality, long-term clinical trials, preferably in general dental practice settings, are required to confirm the efficacy of RI for non-cavitated caries lesions in both deciduous and permanent teeth. In particular, in order to determine long-term benefits, comparisons need to made between RI and remineralization strategies for enamel lesions, and between RI and conventional restorations for dentinal lesions.

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