Large Contributions of Negative Energy States to Forbidden Magnetic-Dipole Transition Amplitudes in Alkali-Metal Atoms

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The influence of negative-energy states (NES) on forbidden magnetic-dipole \( ns_{1/2} - (n+1)s_{1/2} \) transitions in alkali-metal atoms is investigated. We find that the NES contributions are significant in almost all cases and for rubidium metal atoms is investigated. We find that the NES contribution which reduces the transition rate by a factor of 8. We tabulate magnetic-dipole \((M_1)\) transition amplitudes for the alkalis. Our \(M_1\) value for cesium, where accurate measurements are available, differs from experiment by 16%. We briefly discuss the feasibility of an experimental test of NES effects.

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It is well known (see, for example, the discussion in Ref. \[1\]) that the Dirac-Coulomb Hamiltonian has no bound state eigenfunctions in the presence of the electron-electron interaction. The no-pair Hamiltonian \(H_{n.p.}\) derived from quantum electrodynamics has been proposed by Brown and Ravenhall \[2\] for use in relativistic atomic calculations. Although \(H_{n.p.}\) leads to very accurate energies, the omitted effects of electron-positron pairs can be significant for the forbidden magnetic-dipole \((M_1)\) transition amplitudes. The first discussion of pair corrections to the \(M_1\) decay rate for the \(2^3S_1\) state in helium was given by Feinberg and Sucher \[3\] in 1971. Later, when new lifetime measurements of the \(2^3S_1\) metastable state of heliumlike ions became available, more accurate calculations of \(M_1\) were performed by several theoretical groups. Lindroth and Solomonson \[4\] numerically demonstrated the detailed cancellation of one-pair diagrams in the case of heliumlike argon. The decay rates of the same transitions for heliumlike ions with \(Z = 2 - 100\) were calculated within relativistic configuration-interaction approach by Johnson, Plante, and Sapirstein \[5\]. They treated contributions of NES using second-order many-body perturbation theory (MBPT). Indelicato \[6\] considered the effects of NES for \(2^3S_1\) \(M_1\) decay in the multiconfiguration Dirac-Fock (MCDF) approach. Recently we studied NES contributions to transition amplitudes in more detail \[7\]. In the Pauli approximation, we derived an effective one-pair operator that explicitly reveals cancellation between Coulomb and Breit two-body diagrams and, by using it, found a transition without such cancellation: the neutral helium \(2^3S_1 - 3^3S_1\) transition has a very large NES contribution which reduces the \(M_1\) rate by a factor of 2.9.

There have been no other calculations treating NES contributions to \(M_1\) transitions systematically in multi-electron systems, except for He- and Be-like transitions \[8\]. In this letter, we report second-order MBPT calculations of magnetic-dipole \( ns_{1/2} - (n+1)s_{1/2} \) transitions in the alkalis including the analysis of one-pair effects. We have discovered an unusually large NES contribution, which reduces the transition rate by a factor of 8 in Rb, and propose measurements to test NES effects. The results of our calculations for Cs are compared to a previous theoretical determination \[9\] and to experimental values \[10,11\]. For the other alkalis, no measurements exist.

We can argue that forbidden magnetic-dipole amplitudes are the most sensitive among electro-magnetic transition amplitudes to the accuracy of the relativistic description of an atomic system. As we will demonstrate, several factors contribute to the result: correlation effects, spin-orbit interaction, Breit interaction, retardation effects, and, finally, the negative-energy contributions. The relativistic retarded magnetic-dipole matrix element can be represented as \[1\] (atomic units are used throughout the letter)

\[
\langle i | M_1 | j \rangle = c \left( -\kappa_i \right) \langle C_i | \kappa_j \rangle (\kappa_i + \kappa_j) \times 
\int_0^\infty \frac{3}{k} j_1 (kr) \left( G_i F_j + F_i G_j \right) \, dr .
\]

(1)

Here \(G\) and \(F\) are the radial parts of large and small components of atomic orbitals, \(k\) is the photon wavenumber, and \(\kappa = \left( j + \frac{3}{2} \right) (-1)^{j+1}/2\). In the long-wavelength limit and Pauli approximation this relativistic expression reduces to a conventional non-relativistic operator

\[M_1 = L + 2S .\]

(2)

Even if the general angular selection rules for the \(M_1\) operator are satisfied, this matrix element vanishes when the radial wavefunctions are orthogonal, i.e. if \(\kappa_i = \kappa_j, \text{ but } n_i \neq n_j\). The Einstein \(A\)-coefficient for the \(M_1\) transition \(|I\rangle \rightarrow |F\rangle\) is expressed in terms of the reduced matrix element as

\[A_{M_1} = \frac{k^3}{3c^2} \frac{\langle F | M_1 | I \rangle^2}{2J_I + 1} .\]

We start our consideration by utilizing second-order MBPT built on the "frozen-core" Dirac-Hartree-Fock (DHF) potential. This approximation includes both leading correlation and NES effects. We consider matrix elements of the magnetic-dipole operator \(z\) between two valence states \(v\) and \(w\). For the purposes of this paper, the valence state \(v\) represents the ground state orbital \(ns_{1/2}\) and the state \(w\) represents the first excited \(s\)
state \((n + 1)s_{1/2}\). The first order value is given by the second-order correction taken between DHF orbitals \(z_{wv}\). The first-order Dirac-Hartree values dominate for light atoms and become less significant for cesium and francium. This is due to larger second-order \(\text{no-pair}\) contributions. The values of NES contributions (in the third row) appear to be roughly proportional to \(Z\). In the case of cesium they constitute only a small fraction \(4\%\) which is even smaller for francium \(0.6\%\) due to large \(\text{no-pair}\) second-order contributions. However, the NES fraction reaches \(19\%\) in potassium. The rubidium case is the most surprising: there is cancellation of the two \(\text{no-pair}\) terms and consequently a strong dependence of the total value on the negative-energy corrections. Although such cancellation in second order may be coincidental, and more accurate calculations may be necessary, we conclude that a measurement of the \(M_1\) transition in rubidium could provide an excellent test of the NES contributions.

The large relative contribution of NES for forbidden magnetic-dipole transitions is caused by several factors. First, due to unique properties of the \(M_1\) operator \(1\), the \(\text{no-pair}\) amplitude is severely suppressed (by a factor of \(\alpha^2\) in the lowest order). Second, the magnetic-dipole operator \((M_1)_{ij}\) in Eq. \(1\) contains an integral of large and small components of Dirac wavefunctions. For positive-energy states the small component is significantly weaker than the large component (by a factor of \(\alpha Z\) for hydrogen-like ions). For NES, the situation is reversed, and the small component is much larger. In addition, the Pauli approximation expression \(2\) with its particular \(\delta\)-function-like properties is no longer valid and one obtains much larger values for \(M_1\) matrix elements between negative and positive states, than from positive-positive matrix elements. Finally, the energy denominators of order \(2mc^2\) bring the NES contributions to the same level as the contribution from the “regular” positive-energy states. As seen from Table \(I\), NES contributions from the Breit interaction are comparable to those from the Coulomb diagrams because the Breit operator mixes large and small components.

We note that for Rb, Cs and Fr, correlation effects are very important leading to contributions larger than the lowest-order DHF values. The mechanism has been discussed by Dzuba et al. \[9\]. In the Pauli approximation, the \(M_1\) matrix element is proportional to the integral of the product of the large components between the states involved. In the first-order forbidden transitions \(ns_{1/2}-(n+1)s_{1/2}\) between states with different principal quantum numbers, the radial wavefunctions are orthogonal and the \(M_1\) rate is zero. Although it is not zero beyond Pauli approximation, it is strongly suppressed. The situation is quite different for \(p_{1/2}\) and \(p_{3/2}\) matrix elements which are non-zero due to overlapping radial wavefunctions caused by the spin-orbit interaction. As a result, the second order contributions dominate due to such matrix elements connecting core and exciting states. This correlation effect becomes overwhelming for heavier elements where spin-orbit coupling is important.

In Table \(\text{III}\) we compare our cesium results for the magnetic-dipole reduced matrix element with calculations of Dzuba et al. \[9\] and with measurements from several experimental groups. The transition amplitude used in \(1\) is related to the reduced matrix element expressed in atomic units as

\[
(M_1)_{\text{ampl.}} = \frac{1}{\sqrt{6}} \langle n_w s_{1/2} \rangle |M_1||n_v s_{1/2}\rangle \times \frac{\mu_B}{c}.
\]

The experimental entries for the \(M_1\) matrix element in Table \(\text{III}\) were obtained from measurements of \(M_1^{\text{hf}}/M_1\) and a semiempirical value \[9\] of the off-diagonal hyperfine mixing amplitude \(M_1^{\text{hf}} = 0.8094(20) \times 10^{-5} [\mu_B/c]\). The result of our work, despite approximate treatment of correlation effects, is in reasonable agreement (16\%) with the experimental results. Since the negative-energy effects are marginally smaller than these deviations, it is not possible to draw definitive conclusions about NES effects from available experiments in cesium. The second-order expression \(1\) is a leading term of the random-phase approximation (RPA). The calculations of Dzuba et al. \[9\] implicitly included the effect of random-energy states due to the reduction of RPA-like diagrams to the form of a differential equation. However, their analysis did not take into account the Breit interaction. Such an
approach misses an important negative-energy contribution. Indeed, we demonstrate in Table I that the NES contribution from the Breit interaction is much larger than that arising from the Coulomb interaction.

The theoretical calculations of the $M_1$ transition amplitudes in the alkalis clearly demonstrate the important role of negative-energy states. We now discuss experimental possibilities to test these contributions. We compare the NES fractional contributions, defined as the ratio of NES to no-pair contributions, in different alkali-metal atoms in Fig. 2. In the light alkalis (Li, Na, K) the effect is proportional to $Z$ and is maximal for K (19%). For heavy atoms such as Cs and Fr, it is small because of large no-pair contributions. Rubidium, in the middle, has a very large relative effect (65%) and is the most promising. If measurements in the other alkalis reach the precision achieved in Cs, then all alkalis except Fr will be good candidates for testing NES contributions. The accuracy of the calculations, on the other hand, can impose even more severe restrictions than experiment. The accuracy of our calculations, as seen in the deviation from the experiment for Cs, is about 16%; it is expected to be better for lighter elements. More accurate (1%) no-pair calculations are possible, for example, in the relativistic single-double approximation $\text{[13]}$. For Li, precise no-pair configuration-interaction calculations $\text{[14]}$ are also possible with an accuracy much better than 1%.

In conclusion, we have presented the results of the second-order MBPT calculations for the forbidden $M_1$ transitions in the alkalis. Comparisons with experimental and theoretical data for cesium have been made. We have found very large negative-energy state contributions to the $M_1$ transition amplitudes in the alkalis. The NES amplitude is dominant in the case of rubidium, which could provide the best experimental test of negative-energy contributions in atomic structure.

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### Table I

| Element | Li | Na | K | Rb | Cs | Fr |
|---------|----|----|---|----|----|----|
| $Z$     | 3  | 11 | 19| 37 | 55 | 87 |
| I       | 0.91 | 1.16 | 1.15 | 1.38 | 1.51 | 2.09 |
| II, no-pair | 0.12 | 0.03 | -0.08 | -1.86 | -10.69 | -116 |
| II, NES  | 0.02 | 0.13 | 0.20 | 0.31 | 0.40 | 0.64 |
| Total   | 1.05 | 1.06 | 1.27 | -0.17 | -8.78 | -113 |

### Table II

|         | Coulomb | Breit two-body | Breit one-body | Total   |
|---------|---------|---------------|---------------|---------|
| Li      | -0.015  | 0.067         | -0.029        | 0.024   |
| Na      | -0.020  | 0.106         | 0.047         | 0.133   |
| K       | -0.022  | 0.112         | 0.106         | 0.197   |
| Rb      | -0.025  | 0.154         | 0.174         | 0.303   |
| Cs      | -0.026  | 0.183         | 0.239         | 0.395   |
| Fr      | -0.035  | 0.221         | 0.450         | 0.636   |

### Table III

| Reference | $\langle 6s||M_1||7s \rangle \times 10^5$ |
|-----------|------------------------------------------|
| Theory    |                                          |
| This work | -8.78                                   |
| Dzuba et al. $\text{[9]}$, 1985           | -13.7                                   |
| Experiment|                                          |
| Bennett and Wieman $\text{[10]}$, 1999   | -10.40(0.03)                            |
| Bouchiat and Guéna $\text{[11]}$, 1988a  | -10.5 (0.1)                             |

a The average of previous experimental results corrected for the electric-quadrupole contribution.
FIG. 1. Principal Feynman-like time-ordered diagrams contributing to $M_1$ amplitude in the second-order. The wavy lines represent photons and solid lines represent atomic electrons. Double vertical solid line designates inactive (observing) electrons. Diagrams (a) and (d) are due to Coulomb interaction, (b) and (e) due to two-body static Breit interaction, and (c) and (f) due to one-body static Breit interaction. Upper panel of diagrams represents no-pair contributions, and the lower panel — contributions from negative-energy states.

FIG. 2. The relative contributions to the magnetic dipole ($M_1$) matrix element $ns-(n+1)s$ in alkali atoms: the ratio of the NES contributions (row 3 of Table I) to the total no-pair contributions (sum of rows 1 and 2 of Table I).