Correlation Between Simultaneous Ultrasound-Guided Fine Needle Aspiration and Core Needle Biopsy Results in Thyroid Nodules of 44 Cases

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Abstract

Ultrasound (US)-guided fine needle aspiration biopsy (FNA) is currently the most common diagnostic method for determining surgical cases and preventing unnecessary surgery as it is easy, reliable and cost-effective. However, current multi-center studies indicate that about 5-47% of the cases that have undergone FNA are evaluated as inadequate/indeterminate for diagnosis due to factors related to the assessor, the technique and the pathologist's experience. It is reported that using US-guided percutaneous core needle biopsy (CNB) is useful, especially in cases where diagnostic surgery is planned as the cases have been reported as 'Inadequate for diagnosis' or 'Atypia with unclear significance' Follicular lesion with unclear significance(AUS/FLUS) after inadequate repetitive FNA results. Using FNA and CNB together has been reported to provide higher diagnostic sensitivity than either method alone in certain studies. The aim of this study is prospective evaluation of simultaneous US-guided FNA and CNB results of 44 cases who had thyroid nodules. All cases were evaluated according to the TIRADS classification used for sonographic malignancy risk categorization and recorded together with the demographic data (age/gender). FNA results were evaluated according to the Bethesda classification. We tried to adapt the results of the evaluated CNBs descriptively to the Bethesda classification to facilitate comparison of the methods. In our study, when the inadequate and indeterminate diagnosis groups were separated, all our cases diagnosed as benign and malignant with FNA and CNB were compliant. However, the ratio of insufficiency shows a significant elevation compared to CNB in our FNA procedures (4.54% vs. 43.18%). We observed that simultaneous FNA and CNB use decreased the inadequate/indeterminate diagnosis group significantly.

Introduction

Ultrasound (US)-guided fine needle aspiration biopsy (FNA) is currently the most common diagnostic method for determining surgical cases and preventing unnecessary surgery as it is easy, reliable and cost-effective. However, current multi-center studies indicate that about 5-47% of the cases that have undergone FNA are evaluated as inadequate/indeterminate for diagnosis due to factors related to the assessor, the technique and the pathologist's experience [1,2]. Repeated FNA results were compared to understand usefulness of the two techniques together [13]. Our aim in this study was to evaluate the diagnostic sensitivity and consistency of simultaneous US-guided FNA and CNB results in our unit. According to the results of this study, FNA and CNB methods can be used simultaneously in our daily routine to decrease the ratio of inadequate samples.

Materials and Methods:

A total of 44 cases where FNA and CNB methods had been used simultaneously between September and November 2014 were included in the study. There were no cases that were excluded. CNB method was identical to the method that was used in the current study of Guler et al. [18]. After the CNB procedure FNA was performed with 22G needles. All cases were evaluated according to the TIRADS classification used for sonographic malignancy risk categorization and recorded together with the demographic data (age/gender) [19,20]. If available, the previous FNAs of the cases were re-investigated and included in the study.

For us, the pathologists, the advantage of this method is the opportunity provided for immunohistochemical (IHC) and adjunct molecular studies in addition to histological examination. However, a disadvantage of the CNB histological evaluation is that standardized diagnostic criteria similar to the Bethesda criteria have not been yet identified [4].
hypoechoic, cystic/solid areas and well-circumscribed nodules—probably benign, TIRADS 4 a minimum of two solid components, hypoechoic, irregular borders, microcalcifications or taller than wide shape—Suspicious, TIRADS 5 a minimum of four solid components, hypoechoic, irregular borders, microcalcifications or taller than wide shape—Probably malignant, and TIRADS 6 malignant cases proven by biopsy [20].

FNAs were evaluated according to the Bethesda classification [2]. We adapted the results of the evaluated CNBs descriptively to the Bethesda classification to facilitate comparison of the two methods. Stromal or other tissue samples not containing a follicular structure were classified as inadequate. Cases having no structural or nuclear papillary carcinoma characteristics but showing nuclear coarsening of unknown significance and groove presence, or rare micro follicular architecture on a colloid-poor background and that had suspicious focal (non-membranous, luminal staining) IHC HBME-1 positivity were included in the AUS/FLUS category.

The CNB samples sent consisted of a minimum of 2 cores and were fixed with formalin (10% buffered formalin). Biopsy samples were processed with routine automatic procedures and were evaluated with serial hematoxylin-eosin (HE)-stained profiles of 2 microns thickness. All cases also underwent IHC HBME1 staining (Mesothelioma Ab-1, Mouse, ready to use, Thermo Scientific, USA) in order to investigate its benefit in the diagnosis of CNB samples. The FNA samples used after the CNB procedure were smeared onto 2-4 slides, fixed in alcohol (96%) and PAP stained.

The diagnostic correlation and the histological characteristics of the material obtained from cases that underwent resection were compared with the morphological characteristics observed in the FNA/CNB samples.

**Results**

The 44 cases that underwent simultaneous FNA and CNB within the scope of the study consisted of 43 females and 1 male. The age distribution was 19-76 years and the mean age was 48 years.

We had only 1 case diagnosed as malignant with FNA (100%) and it also received the same diagnosis with CNB. However, the resected material of this case was not referred to our department.

One out of 2 cases thought to be suspicious for malignancy with CNB was also found as suspicious for malignancy with FNA. The other case was included in the inadequate sample group and the resection result of both cases was malignant.

FNA smears that were reported as AUS/FLUS were distributes as 1 in the malignant category, 5 in the benign category, and 2 in the AUS/FLUS category with CNB. The resection material of 1 case diagnosed with CNB as malignant, FNA-AUS/FLUS, was again diagnosed as malignant.

We had 3 cases included in the AUS/FLUS category with CNB, and of those diagnosed with FNA only 2 were AUS/FLUS.

Of the 16 cases diagnosed as benign with FNA, 15 were reported as benign and 1 as inadequate sample with CNB.

Of the 36 cases diagnosed as benign with CNB, 15 were also diagnosed as benign with FNA but 5 were interpreted in the AUS/FLUS category and 16 as inadequate. Cases grouped in the AUS/FLUS category contained extensive blood.

CNB was able to be diagnostic in 18 of the 19 cases deemed inadequate with FNA. The diagnostic distribution of these cases was as follows: 1 suspicious for malignancy, 16 benign and 1 AUS/FLUS. One of the inadequately sampled cases was also coincident inadequate in the CNB sample.

While 1 of the 2 cases in the inadequate group with CNB was also inadequate with FNA, the other was interpreted as benign on FNA.

The number of cases that were reported as inadequate when the two methods were used together was only 1 (2.2%).

The FNA and CNB diagnoses are compared in (Figure 1).

Of the 44 cases, there were 29 TIRADS 3—Probably benign, 8 TIRADS 2—Benign and 7 TIRADS 4—Suspicious cases on radiology and we then used FNA and CNB for diagnosing these samples.

Of the 7 samples sent to our department with TIRADS 4—Suspicious radiologically, 1 was inadequate, 2 were benign, 2 were AUS/FLUS, 1 was suspicious for malignancy and 1 had malignant cytology on FNA, while 4 were benign, 1 was AUS/FLUS, 1 suspicious for malignancy and 1 malignant on CNB.

Twenty-six of the cases reported as TIRADS 3 were benign on CNB. There were 2 cases of AUS/FLUS and 1 case suspicious for malignancy. When the 15 cases that were TIRADS 3—Probably benign and where FNA was inadequate were ignored, 12 of the 29 cases were considered to be benign and 2 as AUS/FLUS with FNA.

Of CNB results of 8 cases with TIRADS 2—Benign radiologically 7 were benign and 1 inadequate. There were 3 cases that were inadequate on FNA while the remaining cases consisted of 2 benign and 3 AUS/FLUS.

Histologic and cytologic findings of the case which was reported as Suspicious for malignancy in both CNB and FNA samples were as follows: Thyrocytes showing nuclear coarsening and hypochromasia in a colloid-poor micro follicular and trabecular pattern were present in the CNB samples of the case thought to be suspicious for malignancy both with FNA and CNB, and the HBME-1 was positive immunohistochemically. Nuclear coarsening in the thyrocytes, hypochromasia, focal contour irregularities and nuclear crowding were found in the FNA smears of this case. An encapsulated nodule 1.5 cm in diameter consisting of thyrocytes showing a follicular pattern and papillary nuclear characteristics overlapping with the morphology seen in the CNB samples was identified in the bilateral total thyroidectomy material of the case and was reported as follicular variant papillary...
thyroid carcinoma-encapsulated variant. A microcarcinoma focus 0.5 cm in diameter was also found in the other lobe.

TIRADS-FNA/CNB results are compared in (Table 1).

| TIRADS | FNA | Malignant/suspicious for malignancy (4.54%) | Benign (36.36%) | AUS/FLUS (15.9%) | Inadequate (43.18%) | CNB | Malignant/suspicious for malignancy (6.81%) | Benign (81.81%) | AUS/FLUS (6.81%) | Inadequate (4.54%) |
|--------|-----|-------------------------------------------|----------------|------------------|---------------------|-----|-------------------------------------------|----------------|------------------|---------------------|
| 1 (n: 0) | - | - | - | - | - | - | - | - | - |
| 2 (n: 8) | - | 2 | 3 | 3 | - | 7 | - | 1 |
| 3 (n: 29) | - | 12 | 2 | 15 | 1 | 26 | 2 | |
| 4 (n: 7) | 2 | 2 | 2 | 1 | 2 | 4 | 1 | - |
| 5 (n: 0) | - | - | - | - | - | - | - | - |
| 6 (n: 0) | - | - | - | - | - | - | - | - |

Table 1: FNA and CNB results of the cases and TIRADS correlation.

There were only 3 cases all of which we compared with FNA, CNB and permanent resection results (Table 2).

| Cases | Case1 | Case2 | Case3 |
|-------|-------|-------|-------|
| CNB   | Suspicious for malignancy | Suspicious for malignancy | Malignant |
| FNA   | Suspicious for malignancy | Inadequate | AUS/FLUS |
| Resection | Malignant | Malignant | Malignant |

Table 2: Comparison of CNB, FNA and resection results of 3 cases.

The case which was reported as AUS/FLUS on FNA and malignant on CNB had cytologic and histologic findings as follows:

On cytologic materials, thyrocytes forming groups and layers were seen in relatively cellular smears masked with blood in some areas. Mild nuclear coarsening and oval shape was accompanied by hypochromasia focally. No colloid was observed in the smear. No papillary configuration, nuclear groove or intranuclear pseudo inclusion were seen (Figure 2a and 2b).

On evaluation after CNB, follicle structures without colloid that were at variable diameters and showed a dense pattern in the partially hyalinized stroma were observed. Significant nuclear contour irregularity was observed in the large thyrocytes. Mild hypochromasia and nuclear grooves were observed in some of the thyrocytes. There was no papillary structure. Pseudo inclusions were not seen (Figure 3a and 3b).

Diffuse strong membranous staining was found with IHC using HBME-1 (Figure 4).

Histologically, resection included pure follicle structures consistent with follicular variant papillary carcinoma (Figure 5a and 5b).

The sizes of the nuclei were slightly larger at resection sections compared with CNB and FNA. Hypochromasia was not obvious at CNB sections in contrast to “orphan-annie” morphology of resection sections.

The common characteristic of other cases evaluated as AUS/FLUS in FNA were thyrocytes being masked with blood. Mild nuclear coarsening and hypochromasia were present in the thyrocytes with lymphocytic thyroiditis background on CNB of these cases.
the case and was reported as follicular variant papillary thyroid carcinoma. A microcarcinoma focus 0.8 cm in diameter similar to the previous one was also found in the other lobe.

**Figure 3a:** Follicular structure at various diameters without colloid and a dense pattern in the partially hyalinized stroma were seen (x4 HE).

**Figure 3b:** Large thyrocytes with significant nuclear contour irregularity without papillary structure and pseudo-inclusions was observed. There was no papillary structure (x40 HE).

**Figure 4:** Immunohistochemically, HBME-1 had membranous positivity in atypical thyrocytes (x40).

**Figure 5a:** Histologically, resection slides included pure follicle structures (x4 HE).

**Figure 5b:** Distinct hipochromasia, nuclear contour irregularity and groove were seen (x40 HE).

**Discussion**

The FNA procedure is a cost-effective routine diagnostic method in the evaluation of thyroid nodules and is adequate for making the diagnosis in most nodules. However, the success rate is very closely related to the experience of the person using the technique and the result can be inadequate or indeterminate in almost half of the cases according to certain studies [1,2]. Although FNA repetition according to an algorithm is preferred in these cases, diagnostic surgery is recommended for some that are suspicious on radiologic investigations [1,2]. The rate of inadequate and indeterminate diagnoses is similarly 13-61.8% in repeated FNAs [5,7,9,21-23]. CNB use is recommended as an alternative method instead of diagnostic surgery in such cases [5-16].

Studies show that the compliance between FNA and CNB results (benign/malignant) in cases that are not inadequate or indeterminate is high [6,8,9]. However, inadequate/indeterminate diagnosis with repeated FNA is also significantly higher than with CNB (30-40% versus 5.8%) [2,5,7,9,14,24,25].
In our study, when the inadequate and indeterminate diagnosis groups were excluded, all our cases diagnosed as benign and malignant with FNA and CNB were compliant. However, the ratio of insufficiency showed a significant elevation compared to CNB in our FNA procedures (4.54% vs. 43.18%). The most important reason of the high inadequate ratio found in FNA samples was the majority of the preparation being masked with blood due to the procedure being conducted after CNB.

The AUS/FLUS diagnostic group is ideally not expected to exceed 3-6% [22,25] and is used as a junk box for reasons such as defects in optimization of the sample/preparation (smear characteristics and fixation) and experience of the assessor, increasing the percentage of this group to 33% [17]. The AUS/FLUS diagnosis rate in our group was higher than the ideal at 15.9%. The most important reason was the extensive blood in the smears similar to the inadequate diagnosis group. The masking effect of blood as well as the inability to ensure optimal fixation in a bloody preparation caused an increase in the percentage of this group. The number of cases included in this group in our CNB samples was 3.5 times lower than the FNA samples. The reason could be the advantage of obtaining the histological profile as well as tissue preparation and fixation not being dependent on the relevant person. Thus, the restriction of being dependent on the evaluator can be considered excluded. On the other hand, high inadequate results on FNA procedures can be due to using the technique after CNB procedure. Since there are no standardized diagnostic criteria for cases interpreted as AUS/FLUS in CNB, a healthy interpretation can only be possible when compared with the resection material of these cases. However, we had only 3 cases (6.8%) which underwent resection. These were the limitations of this study.

Thyroid CNB samples are not clearly identified and classified with a system such as the Bethesda Classification unlike the FNA samples. Perhaps a morphologic classification is required for CNB with its increasing use for thyroid nodules. However, a classification other than the Bethesda Classification was recommended in a study conducted in a large case group (4). We used the Bethesda Classification to ensure consistency between the FNA and CNB samples and facilitate the comparison in our study.

Comparison of the radiologic TIRADS evaluation and FNA-CNB results of the cases revealed a significant correlation (77%). CNB morphologies of the cases that were considered malignant by radiology but reported benign showed that the most striking characteristic was the presence of hyalinization and calcification in the stroma in most cases. Only 1 of the TIRADS 3—probably benign cases was suspicious for malignancy with CNB and the final diagnosis was malignant after resection. The importance of working with an experienced thyroid radiology and surgery team in ensuring radiology-biopsy correlation cannot be overemphasized.

We observed that the thyrocytes were similar in size with small nuclei and there were colloid-rich macrofollicular, normofollicular and microfollicular structures in various dimensions in the thyroid tissues in most of the cases evaluated as benign. Immunohistochemical HBME-1 staining results of the cases with these characteristics were negative as expected. Metaplastic changes such as Hurthle cell metaplasia could be differentiated easily in FNA and resection material. It was possible to diagnose lymphocytic thyroiditis easier than with FNA in cases where we saw significant lymphocytic infiltration in the stroma accompanied by Hurthle cell metaplasia. IHC HBME-1 staining in the lymphocytic infiltration was a characteristic that should be taken into account and may be misleading in these cases.

Mild nuclear coarsening in the thyrocytes, mild hypochromasia and psammoma bodies in some were seen in CNB cases evaluated as AUS/FLUS. However, IHC HBME-1 staining that could support malignancy was not present in these samples. While uncertainties such as thyrocytes covered by blood affect the evaluation according to the Bethesda Classification were placed in the AUS/FLUS category in FNA. This category was used for samples that contained features that can also be seen in benign nodules such as reactive changes like hypochromasia and enlarged nuclei that are one step behind the samples reported as suspicious for malignancy. Considering that lobectomy is recommended for cases diagnosed as AUS/FLUS in repetitive FNA and/or when no significant result is obtained with molecular studies according to the new ATA criteria, it would be interesting to find out the malignancy rate in the resection material of these cases that have an AUS/FLUS diagnosis with CNB and better defined characteristics than with FNA according to our results [1,26-29]. However, since resection was not performed in any of the cases we evaluated as AUS/FLUS in CNB and/or FNA, our experience on this subject is inadequate.

The morphologic characteristics of 2 cases that were evaluated as suspicious for malignancy in CNB overlapped, while the FNA of one was suspicious for malignancy and the other inadequate. The reason of insufficiency is the samples covered by blood or diluted with blood as seen in all other FNA samples. FNA could have been performed first to avoid this situation but it was not attempted and we do not know what degree of limitation it could cause in the CNB samples performed afterwards.

In one of the cases that underwent resection with a result of suspicious for malignancy had a dominant microfollicular pattern, more significant in CNB and the resection material of the case was reported as follicular variant papillary thyroid carcinoma, consistent with CNB. This was because microfollicular structures of the same case in FNA samples were not obvious or the evaluating pathologist, not being a cytopathologist, may have missed this feature. According to this result, CNB can be thought to be superior to FNA in determining the variants of clinical importance prior to resection, regardless of the assessor’s experience.

Conclusion

It was observed that using simultaneous FNA and CNB methods decreased the inadequate/indeterminate diagnosis group significantly in our study. Although the presence of smears covered by blood and/or diluted with blood developed due to the use of FNA following CNB and greatly limited the diagnostic accuracy of FNA, the simplicity of morphological and IHC evaluation in CNB favors it as the preferred diagnostic method. However, comparison of the diagnostic success of FNA and CNB results is not possible due to the inadequate number of cases that underwent resection in the sample group we evaluated. Our experience in the pathological evaluation of CNB and FNA samples is growing with the gradually increasing number of cases in thyroid radiology as well as in surgery. We need to widen our case group to be able to obtain more definite results and make better-informed comments.
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