Geant4 Applications for Modeling Molecular Transport in Complex Vacuum Geometries

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This letter discusses a novel use of the Geant4 simulation toolkit to model molecular transport in a vacuum environment, in the molecular flow regime. The Geant4 toolkit was originally developed by the high energy physics community to simulate the interactions of elementary particles within complex detector systems. Here its capabilities are utilized to model molecular vacuum transport in geometries where other techniques are impractical. The techniques are verified with an application representing a simple vacuum geometry that has been studied previously both analytically and by basic Monte Carlo simulation. We discuss the use of an application with a very complicated geometry, that of the Large Synoptic Survey Telescope camera cryostat, to determine probabilities of transport of contaminant molecules to optical surfaces where control of contamination is crucial.

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I. INTRODUCTION

The cryostat of the Large Synoptic Survey Telescope camera (LSSTCam)[1] represents a significant challenge for vacuum design[2]. With a volume of 2.9 m$^3$, it will contain nearly 1000 kg of material, and have more than 40 m$^2$ of exposed surface area within. The geometry of the LSSTCam cryostat presents an environment too complicated for vacuum transport to be modeled by analytical calculations, or by techniques such as thermal conductance calculations in conjunction with finite element analysis[3]. Instead, a fully three dimensional model of the geometry is required, with a full Monte Carlo treatment of the initial conditions and subsequent propagation of particles through their interactions with surfaces. Such calculations have been performed previously for relatively simpler geometries[4]. The complexity of the LSSTCam cryostat would render the specification of the geometry by similar means impossible. Therefore, the Geant4 simulation toolkit[5, 6] presents itself as an ideal platform for developing an application to model in fine detail vacuum transport in the LSSTCam cryostat and similarly complex vacuum structures.

Geant4 is a Monte Carlo simulation toolkit used extensively in particle physics, nuclear physics, and medical physics applications. It is a flexible, self-contained software package that allows the definition of a volume containing real materials arranged into complex geometries and then the transport of elementary or composite particles within the volume. Geant4 follows any fundamental interactions of the particles with the materials, as well as any products of those interactions. The toolkit consists of a large number of C++ class bases which the application developer uses in order to create a specific application that models a physical system. To develop a Geant4 application, particles, their interactions, a detector geometry, and relevant cataloging of outcomes (known as “scoring”) must be implemented.

In this letter we describe Geant4 applications that were developed to model vacuum transport in the molecular flow regime. The general strategy is summarized in §II. In §III an application with a simple geometry to verify...
the techniques used is discussed. In §IV a specific application to the LSSTCam cryostat is described. Several cases of the cryostat geometry and initial conditions of the molecular distribution are evaluated to demonstrate the power and flexibility of this design tool. These general techniques could of course be applied to any vacuum geometry, and this is explored in §V.¹

II. APPLICATION STRATEGY

To model molecular transport in vacuum with the Geant4 toolkit, the vacuum space of interest is defined as the detector geometry. All structures are specified according to their material (stainless steel, copper, G10, silicon, and so on) in the standard Geant4 way taking advantage of the material database. They are also assigned their predicted operating temperatures. Custom particles are specified with implementations of G4ParticleDefinition, to represent the desired molecular species (“Waterons” and “Nitroginos” for example, representing water and nitrogen molecules, respectively) that lack internal structure but have the proper molecular weight, allowing, for example, the mean velocities and residence times to be affected by contact with surfaces of different temperatures.

TABLE I: Test case described in §III — probability of a particle first reaching the opposite end rather than the originating end of a pipe of length $L$ and radius $R$ via molecular vacuum transport. $P_m$ is the probability as determined by analytical calculations and reported in Clausing[7]; $P_m$ is the probability as determined from Monte Carlo methods by Davis[4], and $P_C$ is the probability as determined by a Geant4 application described in §III with 10000 randomized runs.

| $L/R$ | $P_m$ | $P_m$ | $P_C$ |
|-------|-------|-------|-------|
| 0.5   | 0.80  | 0.80  | 0.80  |
| 1.0   | 0.67  | 0.68  | 0.67  |
| 1.5   | 0.58  | 0.57  | 0.57  |
| 2.0   | 0.51  | 0.53  | 0.51  |
| 3.0   | 0.42  | 0.43  | 0.44  |
| 4.0   | 0.36  | 0.36  | 0.35  |
| 5.0   | 0.32  | 0.32  | 0.29  |
| 10.0  | 0.20  | —     | 0.18  |
| 20.0  | 0.11  | —     | 0.10  |

There are no particle-particle interactions, as the physical situations being modeled are high vacuum systems where transport is in the molecular flow regime, so that the “ballistic” approximation is valid. For example, the LSSTCam cryostat will have pressures of $\sim 10^{-6}$ torr upon pump-down and around two orders of magnitude lower when surfaces are cooled to their operating temperatures, so that the molecular mean free path is significantly larger than the dimensions involved. Particles thus only interact with material surfaces, and then in only a particular way that represents how surfaces direct particle transport in the molecular flow regime. When a particle encounters a surface, it is adsorbed at the point of contact and then desorbed with the probability of a given direction proportional to the cosine of the angle with the normal vector to the surface at the point. We specify these interactions in an implementation of G4VDiscreteProcess.

An implementation of G4GeneralParticleSource is used to originate particles with initial momentum directions and positions chosen randomly according to distributions appropriate to the application, and from the regions of interest within the volume of the vacuum. Particles are tagged with the name of the first surface they encounter, which can be considered the originating item, and this is done in an implementation of G4UserSteppingAction with the originating surface name and any other desired information attached with an implementation of G4UserTrackInformation.

Scoring is implemented such that particles propagate until they reach one of several particular specified fates, for example exiting through a pump port, or contacting a particular surface. These terminating fates are implemented through the use of regions specified in the detector construction, which are checked at each step in our implementation of G4UserSteppingAction. Each molecule’s originating item and terminating fate is cataloged in the output data file, which consists of columns representing the different fates with a “1” entered into the proper fate column for each molecule. In this manner the total number of molecules resulting in each fate can be easily summed.

III. CONFIRMATION OF TECHNIQUES WITH A SIMPLE GEOMETRY

To test the validity of using the Geant4 toolkit to model molecular transport in vacuum with the techniques discussed here, we have developed a Geant4 application with a very simple geometry for which transport results can be compared to those in the literature. Following Davis [4], the geometry modeled is a right circular cylinder, where molecules enter at one end with randomly distributed initial radial and azimuthal positions in the plane of the opening and momentum vectors randomly distributed proportional to the cosine of their angle with the surface normal of the opening plane. Molecules are propagated through the cylinder with wall interactions as discussed in §II. The quantity of interest is whether a molecule first reaches the opposite end of the cylinder or returns to the opening through which it entered. Thus this is just the problem of molecular transport probability through a pipe of a given length and radius.

The probabilities vary with the ratio of the length

¹ All applications discussed in this work are available at http://www.slac.stanford.edu/~jacks.
(L) to the radius (R) of the cylinder, and have been calculated analytically by Clausing [7] in 1930 and subsequently by Davis [4] using simple Monte Carlo methods. A Geant4 application for this geometry is implemented and the results for various L/R values compared. The application has regions specified at the two ends of the cylinder that terminate a given molecule’s trajectory when they are entered, and the terminating region is recorded in the output file as described in §II. Table I shows the probabilities for a molecule to reach the opposite opening for different values of L/R, as calculated analytically by Clausing, via Monte Carlo methods by Davis, and by the Geant4 application. The statistical uncertainty on the probabilities we have obtained can be estimated from standard theory as \( \sigma = \sqrt{n/M}/M \), for a situation where there are \( n \) randomized trials with \( M \) possible discrete outcomes. In this case, \( \sigma = 0.005 \). The results from the Geant4 application show very good agreement with the probabilities obtained by the other methods.

### IV. LSSTCam Cryostat Application

Figure 1 shows a CAD rendering of an LSSTCam cryostat layout. The strict requirements on LSST’s photon throughput necessitate a detailed knowledge of the effect of potential molecular contaminants that may deposit on the cold CCD focal plane surface within the cryostat. One crucial input to any model that addresses this question is the transport of molecules within the cryostat. In particular, how likely is a contaminant molecule from the bulk of the vacuum space where most of the electronics and other structures are located to reach the focal plane region rather than being removed from the system elsewhere?

| Geometry | Pump | Getter | CCD | Front Surf. |
|----------|------|--------|-----|------------|
| Baseline | 12%  | 32%    | 56% |            |
| Option 2 | 15%  | 32%    | 54% |            |
| Option 3 | 8%   | 36%    | 56% |            |
| Option 3 + 2xI | 23% | 29% | 47% |
| Option 3 + 4xI | 50% | 20% | 30% |
| Elec. reg. | Geometry | Pump | Getter | CCD | Front Surf. |
| Baseline | 13%  | 31%    | 57% |            |
| Option 2 | 13%  | 35%    | 52% |            |
| Option 3 | 8%   | 37%    | 55% |            |
| Option 3 + 2xI | 24% | 24% | 52% |
| Option 3 + 4xI | 50% | 17% | 33% |

A brief description of the LSSTCam cryostat geometry follows: The cryostat body, which forms the vacuum enclosure, is a truncated conical section with small interior dimension (ID) 0.95 m and large ID 1.06 m. It is sealed at the smaller “front” end by a glass lens designated “L3”. Directly behind L3 is the focal plane consisting of the 3.2 billion 10 \( \mu \)m CCD pixels arranged in 21 raft tower modules (RTMs). Each RTM contains nine 4kx4k pixel CCD detectors, and is itself a self-contained camera with the CCD packages, a Silicon Carbide “raft” on which they are mounted and aligned, conductance barriers, electronics boards, thermal and wall structures, and connectors and cabling. Four triangular shaped RTMs carrying guide and wavefront sensors are located at the corners of the focal plane. The RTMs pass through a Silicon Carbide ceramic honeycomb structure known as the grid, which kinematically supports the raft. Behind the grid, the cryoplate is a mechanical structure that carries the balance of the load of the RTM. The cryoplate contains cryogenic refrigerant channels that provide cooling to the RTM electronics and other structures. Behind the cryoplate, a separate cold circuit removes heat from the rearmost electronics at a higher temperature. There are also various shrouds, chimneys, and plenums that provide thermal radiation shields and direct molecular transport. The rear of the cryostat is an annular feed-through flange containing hermetic signal and cryogenic feed-throughs, and an octagonal plate containing ports for turbomolecular and ion pumps and gauges. In combination, they seal the rear of the vacuum space. Two large molecular sieve
FIG. 3: View of an OpenGL rendering of the “baseline” geometry used in the LSST camera cryostat Geant4 application, along with an example trajectory of a simulated molecule. This particular molecule has undergone a far lower than average number of surface interactions and ended up contacting the front surface of a CCD.

getter pump structures reside just behind L3, facing the focal plane. Additional large area cold activated-charcoal getter pumps will be placed in the transport regions between the front and the rear of the cryostat, but are not yet included in the current models.

Three different primary cryostat layouts have been under consideration for LSSTCam. The first, or “baseline,” design features RTMs with distinct front and rear electronics boards connected by 2m long cables. Inside the RTM, the frontmost boards are interspersed with copper thermal planes and connected to the cryoplate. An additional warmer coldplate structure is provided to support and cool the rearmost boards. A modified design, “Option 2” contains the electronics on a single longer board with built-in copper thermal bars, replaces the coldplate with a simpler tubular structure, and in addition makes several other modifications. “Option 3” modifies the design even further by reconfiguring the radiation shrouds and pumping plenum geometry. To explore the design parameters, further modifications to Option 3 have been examined, for example increasing the diameter of the ion pump ports by either two or four times. These cases are designated “Option 3 + 2xI” and “Option 3 + 4xI” respectively. Figures 2 and 3 show examples of the detector geometry developed for this application as rendered in the OpenGL viewer.

For this application scoring has been implemented such that each particle interacts with surfaces until it reaches one of three fates — either contacting the front surface of the CCDs in the focal plane, contacting the surface of a getter pump located near the focal plane, or exiting the cryostat through one of two ion pump ports. These three fates, any of which ends any given molecule’s trajectory, are determined through the use of regions specified in the detector construction as discussed in §II. As an indication of the complexity of the LSSTCam geometry, it is found that molecules can have anywhere from less than one hundred to hundreds of thousands of surface encounters before encountering one of these three terminating regions.

The probabilities for each of these outcomes for several alternate geometries under consideration are presented in Table II; each is based on simulations of 1000 particles. The statistical uncertainties on these values can be obtained as outlined in §III and are \( \sigma = 1\% \). These results show that in the first three geometries most molecules (>85%) eventually reach the focal plane region rather than exiting through the rear ion pump ports. Once there, they then encounter either the getter pump or the front surface of the CCD detectors. From this one concludes that the getter pumps will need to have a large enough capacity to handle this fraction of the flow. It also suggests these pump surfaces should be made larger or be better positioned. Alternately, modifications to the geometry to make molecular transport into the ion pumps more likely is warranted. The simplest modification would be to increase the diameter of the ion pump ports, and as shown in Table II this by itself greatly increases the likelihood of a molecule exiting through an ion pump port before reaching the focal plane region. The ability to readily test the effects of such modifications makes a strong case for this Geant4 modeling and simulation approach.

A number of features within the cryostat have not yet been rendered for the application detector geometry, because they would be too cumbersome to implement or are not yet specified, nor are they likely to have a significant effect on the bulk molecular transport issues under investigation. These features include fasteners, cables, thermal straps, fluid lines, hermetic feed-throughs, CCD package kinematic mounts, raft hold downs, and perimeter cutouts in the grid. Additionally some features have necessarily been simplified to allow for their specification with Geant4 detector construction. It is unlikely that these simplifications and omissions would have a significant effect on the bulk vacuum molecular transport issues being modeled.

V. DISCUSSION

Geant4 provides a flexible and well-documented framework to simulate particle transport and interactions across complex geometries. These features, in concert with the techniques described herein, provide a unique method to model molecular transport in complex vacuum environments, where analytical calculations or other techniques are impractical. Such is the case in many real applications like the LSSTCam cryostat. As demonstrated in §III, these general techniques reproduce results...
FIG. 4: View of an OpenGL rendering of the geometry of a Geant4 application representing a section of accelerator pipe, where regularly spaced irises form cavities, as discussed in §V. A trajectory of a molecule created with initial position on the wall of the middle section and with random initial momentum is shown.

from analytical calculations and other Monte Carlo methods that are available for comparison.

The techniques we discuss are clearly not unique to a particular vacuum geometry and could be used to model molecular transport in any geometry. Indeed such modeling can also provide additional information as well. As an example, Figure 4 shows a rendering of the geometry of another Geant4 application we have developed, that of an electron accelerator beam pipe with regularly spaced irises that form cavities, with pumps located at either end. This application has been used to determine mean residence lengths of molecules in a given cavity section, and therefore the partial pressures within, given an outgassing rate for the interior surfaces of the pipe. To sum the path lengths within a given volume, one simply carries the net length through in an implementation of G4UserTrackInformation and adds to it in an implementation of G4UserSteppingAction, where the step length is added if the step terminates within the volume of interest.

As discussed in §IV, for the LSSTCam cryostat application, we have so far implemented a simple scoring (i.e.: fraction of particles reaching a focal plane structure versus reaching a pump first). As already indicated, the efficacy of simple changes to the model such as increasing the diameter of the ion pump ports can be readily evaluated. In further studies, the effects of adding, resizing, and moving pump ports and getters will be evaluated. More extensive changes to the geometry and design (e.g.: plenum shape, charcoal getters, molecular flow barriers, etc.) will also be studied.

Thus far, interest has been in the steady state or “worst-case” scenario estimates. However these applications can be easily extended to include temperature-dependent vacuum sticking coefficients representing the mean duration for a molecular species to be adsorbed on a particular surface. This time dependency can be included in evaluating, for example, whether a particle will actually contribute to building monolayers of contaminants on the front surface of the CCDs within a given interval of time. Results from this application are crucial for both evaluating the transport, and therefore focal plane contamination consequences, of different layouts and features under consideration, and for providing a necessary component of the mapping from outgassing of components (that are measured in a test stand[2]) to the resulting effect on the contaminant levels adsorbed on the focal plane.

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