Sequential CD38 monoclonal antibody retreatment leads to deep remission in a patient with relapsed/refractory multiple myeloma

Maximilian Johannes Steinhardt1*, Xiang Zhou1#, Franziska Krummenast1, Katharina Meckel1, Katharina Nickel1, David Böckle1, Janin Messerschmidt1, Sebastian Knorz1, Alexander Dierks2,3, Anke Heidemeier4, Constantin Lapa2,3, Hermann Einsele1, Leo Rasche1,5 and Klaus Martin Kortüm1#

Abstract
We report on a currently 76-year-old female patient with relapsed/refractory (RR) multiple myeloma (MM) treated at our institution. This patient had received six lines of therapy including tandem autologous stem cell transplant, proteasome inhibitor, immunomodulatory drugs and CD38 antibody MOR202. At the last relapse, she progressed during treatment with pomalidomide and MOR202. In an individualized therapy concept, we started a multi-agent salvage therapy with pomalidomide, bortezomib, doxorubicin, dexamethasone, and CD38 antibody daratumumab (“Pom-PAD-Dara”), which resulted in a stringent complete remission with minimal residual disease (MRD) negativity after nine cycles. So far, our patient shows a progression free survival of more than 12 months. Our case demonstrates the feasibility of successful CD38 antibody retreatment in a patient with heavily pretreated CD38 antibody resistant MM.

Keywords
CD38, MOR202, daratumumab, multiple myeloma, refractory, relapse

Date received: 2 September 2020; accepted: 19 November 2020

Introduction
CD38 is a type II transmembrane glycoprotein, which acts not only as a receptor ruling cell adhesion and signaling events, but also as an ectoenzyme involved in intracellular calcium mobilization. It has been observed that CD38 is expressed at increased levels on malignant plasma cells in multiple myeloma (MM) and, therefore, CD38 has been regarded as a therapeutic target for MM patients. So far, four monoclonal antibodies targeting CD38, that is, daratumumab, isatuximab, MOR202, and TAK-079 are under clinical investigation or are already approved for the treatment of relapsed/
refractory (RR) MM. Among these four agents, daratumumab is the most widely used in therapy and, recently, it has also been approved for first line combination therapy in newly diagnosed MM patients. With CD38 directed therapy becoming a key component in the standards of patient care, we increasingly face the problem of drug resistance. However, there is only limited experience with CD38 antibody re-treatment in RRMM patients. Herein, we report a case of RRMM that was successively treated with MOR202- and daratumumab-containing therapies at our institution.

**Case presentation**

In February 2009, a 65-year old female patient with lower back pain was diagnosed with IgA lambda MM. An M protein level of 19.4 g/l was shown in serum electrophoresis (total Ig A 3000 mg/dl). Bone marrow biopsy revealed a plasma cell infiltration of 40%. Whole body CT scan displayed diffuse bone lesions, and MM induced symptomatic anemia was present. A t(11;14) and a gain1q were found in the Fluorescence in situ Hybridization (FISH) analysis, and an International Staging System (ISS)/Revised International Staging System (R-ISS) stage I was assessed (β2 microglobulin 1.8 mg/l, albumin 4.0 g/dl, lactate dehydrogenase 201 IU/l) resulting in standard risk disease. Following stem cell mobilization with CAD (cyclophosphamide, doxorubicin, dexamethasone) induction, tandem autologous stem cell transplant (ASCT) was performed in March and May 2009, with melphalan given in age-adjusted dosing (140 mg/m²). The patient suffered from grade 3 mucositis, nausea, tachyarrhythmia, and
neutropenic fever. Following tandem ASCT and four cycles of experimental bortezomib consolidation within the DSMMX trial, she achieved stringent complete remission (sCR). Nineteen months later (September 2011) the patient lost complete remission (CR), with reappearance of the serum M protein detected by electrophoresis. In February 2012, the M protein level increased to 12 g/l (total Ig A 1450 mg/dl), and the patient again faced a high plasma cell infiltration of 80% in the bone marrow. The patient received lenalidomide and dexamethasone (Rd) relapse therapy within the control arm of the ASPIRE trial. Thirty-nine cycles of Rd could be given until March 2015 with very good partial remission (VGPR) as the best response. In April 2015, disease progressed under treatment, and we switched therapy to bortezomib and dexamethasone (Vd) within the control arm of the MMY3004 CASTOR trial. Unfortunately, no treatment response could be achieved, and the patient progressed after two cycles of Vd. In this situation, the therapy was escalated to experimental “Pom-PAD” (pomalidomide, bortezomib, doxorubicin, dexamethasone) combination (“individueller Heilversuch”). She achieved a VGPR after six cycles, before therapy was stopped on the patient’s request. However, only 2 months later, the disease progressed again. 12 cycles of KCyd (carfilzomib, cyclophosphamide, dexamethasone) were administered, and the patient obtained a VGPR as the best response. Remarkably, rapid relapse was observed after the last cycle (M protein 14.1 g/l, Ig A 1980 mg/dl), and the patient was recruited into the MOR201C101 study in June 2017, which tested pomalidomide, dexamethasone and the CD38 antibody MOR202. Overall, she received 13 cycles of this treatment, and VGPR was the best serological response. Of note, under therapy, the patient suffered from serological and extramedullary progression with pleural manifestation (Figure 1). At the same time, she was diagnosed with breast cancer, which could be resected in July 2018. After surgery, we decided for an experimental multiagent therapy (“individueller Heilversuch”) including pomalidomide, bortezomib, doxorubicin, dexamethasone, and CD38 antibody daratumumab (“Pom-PAD-Dara”).6 At therapy start, the M protein level had increased to 7.7 g/l (total Ig A 1446 mg/dl), and bone marrow biopsy revealed an infiltration of 80% of plasma cells. In total, nine cycles of “Pom-PAD-Dara” were administered in this patient. She achieved serological sCR with minimal residual disease (MRD) negativity at a sensitivity level of $10^{-5}$, and whole body 18F-fluorodeoxyglucose Positron Emission Tomography/Computed Tomography (FDG-PET/CT) scan showed a significant reduction of both medullary and extramedullary lesions with only minimal activity detected (Figure 1). We stopped therapy on the patient’s request, and remission was kept for a total of more than 12 months. We summarized the treatment regimens and response in Table 1. Supplemental Figures S1 and S2 displayed the Ig A and free lambda light chain level in the entire course of the disease, respectively.

Conclusion

CD38 antibodies induce cell death in MM via various mechanisms, for example, complement-dependent cytotoxicity (CDC), antibody-dependent cellular cytotoxicity (ADCC), antibody-dependent cellular phagocytosis (ADCP), and immunomodulatory effects.4 To date, daratumumab, isatuximab, and MOR202 have shown promising anti-MM activity in diverse clinical trials.7–9 However, the majority of the initially responding patients progressed during therapy with CD38 antibodies.10 The management of these patients represents a new challenge in the clinical practice.

At the last relapse, our patient could not be treated with novel therapies within a clinical trial due to secondary malignancy, that is, invasive breast cancer. In this situation, German laws allow experimental treatment (“individueller Heilversuch”) and we started a multi-agent therapy with “Pom-PAD-Dara,” which resulted in sustained deep remission. Our case showed the synergistic effects of anti-MM agents, and in particular we provided the first evidence of successful CD38 antibody retreatment in patients with prior progression on CD38 targeted therapy. On the other hand, the effect of other anti-MM drugs such as pomalidomide, doxorubicin, and bortezomib, was exerted, and a good response could be obtained in addition to the effect of re-administration of CD38 antibody.

Decreased CD38 expression, overexpression of complement inhibitors (e.g. CD46, CD55, and CD59), acquired genetic or epigenetic alterations have been shown to be potential mechanisms of resistance to CD38 antibodies.11–14 Recently, it was reported that daratumumab refractory MM
patients could respond to re-treatment with daratumumab.\textsuperscript{15,16} In our patient, the sustained deep remission to “Pom-PAD-Dara” after progression during MOR202-containing treatment was consistent with observations in previous reports, and underlined the feasibility of CD38 antibody re-treatment in RRMM patient. Other CD38 directed agents, such as isatuximab, are reported to inherit further cytotoxic mechanisms of action, in particular direct cell death induction, even in cells harboring \textit{p53} mutations.\textsuperscript{17,18} This may suggest potential clinical value in CD38 retreatment strategies. However, clinical studies are needed to further evaluate these effects.

To the best of our knowledge, this is the first case of therapy response to daratumumab based combination in the direct line following to prior MOR202 containing therapy. Our case demonstrated the feasibility and efficacy of CD38 antibody retreatment using another agent in a heavily pretreated, penta-refractory patient. Clinical studies investigating CD38 retreatment strategies, either using the same or different CD38 targeting agents are urgently needed as a better understanding of the underlying resistance mechanisms.

**Table 1.** Overview of treatment and response.

| Line of therapy | Number of cycles | Therapy regimen and dosing                                                                 | Best response |
|-----------------|------------------|--------------------------------------------------------------------------------------------|---------------|
| 1               | 1                | Cyclophosphamide 1500 mg/m\textsuperscript{2} on day 1; doxorubicin 15 mg/m\textsuperscript{2} on days 1–4; dexamethasone 40 mg on days 1–4; followed by stem cell mobilization | sCR           |
| 2               | 17               | Lenalidomide 25 mg on d1-21; dexamethasone 20 mg on days 1, 8, 15, 22; 1 cycle = 28 days      | VGPR          |
| 3               | 2                | Bortezomib 1.3 mg/m\textsuperscript{2} on days 1, 4, 8, 11; dexamethasone 20 mg on days 1, 2, 4, 5, 8, 9, 11, 12; 1 cycle = 21 days | PD            |
| 4               | 6                | Pomalidomide 4 mg on days 1–14; bortezomib 1 mg/m\textsuperscript{2} on days 1, 4, 8, 11; doxorubicin 9 mg/m\textsuperscript{2} on days 1–4; dexamethasone 20 mg on days 1, 2, 4, 5, 8, 9, 11, 12; 1 cycle = 21 days | VGPR          |
| 5               | 12               | Carfilzomib 20/27 mg/m\textsuperscript{2} on days 1, 2, 8, 9, 15, 16; cyclophosphamide 300 mg/m\textsuperscript{2} on days 1, 8, 15; dexamethasone 20 mg on days 1, 2, 4, 5, 8, 9, 11, 12; 1 cycle = 28 days | VGPR          |
| 6               | 13               | MOR202 16 mg/kg on days 1, 8, 15, 22; pomalidomide 4 mg on days 1–21; dexamethasone 40 mg on days 1, 8, 15, 22; 1 cycle = 28 days | VGPR          |
| 7               | 9                | Pomalidomide 4 mg on days 1–14; bortezomib 1.3 mg/m\textsuperscript{2} on days 1, 4, 5, 8, 9, 11, 12; daratumumab 16 mg/kg on days 0, 5; 1 cycle = 21 days | sCR MRD(−) at 10\textsuperscript{−5} |

MRD: minimal residual disease; PD: progressive disease; sCR: stringent complete remission; VGPR: very good partial remission.

**Declaration of conflicting interests**

The author(s) declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

**Funding**

The author(s) disclosed receipt of the following financial support for the research, authorship, and/or publication of this article: This publication was supported by the “Open Access Publication Fund” of the University of Würzburg.

**Ethical approval**

All procedures were in accordance with the ethical standards of the responsible committee on human experimentation (institutional and national) and with the Helsinki Declaration of 1975, as revised in 2008.

**Informed consent**

Written informed consent was obtained from the patient(s) for their anonymized information to be published in this article.

**ORCID iDs**

Xiang Zhou https://orcid.org/0000-0003-3885-0919  
Klaus Martin Kortüm https://orcid.org/0000-0002-7011-0286
Supplemental material
Supplemental material for this article is available online.

References
1. Funaro A and Malavasi F (1999) Human CD38, a surface receptor, an enzyme, an adhesion molecule and not a simple marker. *J Biol Regul Homeost Agents* 13(1): 54–61.
2. Lin P, Owens R, Tricot G, et al. (2004) Flow cytometric immunophenotypic analysis of 306 cases of multiple myeloma. *Am J Clin Pathol* 121(4): 482–488.
3. de Weers M, Tai YT, van der Veer MS, et al. (2011) Daratumumab, a novel therapeutic human CD38 monoclonal antibody, induces killing of multiple myeloma and other hematological tumors. *J Immunol* 186(3): 1840–1848.
4. van de Donk N, Richardson PG and Malavasi F (2018) CD38 antibodies in multiple myeloma: Back to the future. *Blood* 131(1): 13–29.
5. Moreau P, Attal M, Hulin C, et al. (2019) Bortezomib, thalidomide, and dexamethasone with or without daratumumab before and after autologous stem-cell transplantation for newly diagnosed multiple myeloma (CASSIOPEIA): A randomised, open-label, phase 3 study. *Lancet* 394(10192): 29–38.
6. Zhou X, Steinhardt MJ, Grathwohl D, et al. (2020) Multiagent therapy with pomalidomide, bortezomib, doxorubicin, dexamethasone, and daratumumab (“Pom-PAD-Dara”) in relapsed/refractory multiple myeloma. *Clin Cancer Res* 23(24): 7498–7511.
7. Robak P, Drozdz I, Szemraj J, et al. (2018) Drug resistance in multiple myeloma. *Cancer Treat Rev* 70: 199–208.
8. Krejciik J, Frerichs KA, Nijhof IS, et al. (2017) Monocytes and granulocytes reduce CD38 expression levels on myeloma cells in patients treated with daratumumab. *Clin Cancer Res* 23(24): 7498–7511.
9. Dimopoulos MA, Oriol A, Nahi H, et al. (2016) Daratumumab, lenalidomide, and dexamethasone for multiple myeloma. *N Engl J Med* 375(14): 1319–1331.
10. van de Donk N and Usmani SZ (2018) CD38 antibodies in multiple myeloma: Mechanisms of action and modes of resistance. *Front Immunol* 9: 2134.
11. Nooka AK, Joseph NS, Kaufman JL, et al. (2019) Clinical efficacy of daratumumab, pomalidomide, and dexamethasone in patients with relapsed or refractory myeloma: Utility of re-treatment with daratumumab among refractory patients. *Cancer* 125(17): 2991–3000.
12. Alici E, Chrobok M, Lund J, et al. (2016) Re-challenging with anti-CD38 monotherapy in triple-refractory multiple myeloma patients is a feasible and safe approach. *Br J Haematol* 174(3): 473–477.
13. Jiang H, Acharya C, An G, et al. (2016) SAR650984 directly induces multiple myeloma cell death via lysosomal-associated and apoptotic pathways, which is further enhanced by pomalidomide. *Leukemia* 30(2): 399–408.