Could the ketogenic diet induce a shift in thyroid function and support a metabolic advantage in healthy participants? A pilot randomized-controlled-crossover trial

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Abstract

Background
The ketogenic diet (KD) has been shown to result in body mass loss in people with diseases as well as healthy people, yet the effect of the KD on thyroid function and metabolism are unknown.

Objective
We aimed to determine the effects of a KD, compared with an isocaloric high-carbohydrate low-fat (HCLF) diet, on resting metabolic rate and thyroid function in healthy individuals.

Design
Eleven healthy, normal-weight participants (mean(SD) age: 30(9) years) completed this randomized crossover-controlled study. For a minimum of three weeks on each, participants followed two isocaloric diets: a HCLF diet (55%carbohydrate, 20%fat, 25%protein) and a KD (15%carbohydrate, 60%fat, 25%protein), with a one-week washout period in-between. Importantly, while on the KD, the participants were required to remain in a state of nutritional ketosis for three consecutive weeks. Crossover analyses and linear mixed models were used to assess effect of diet on body mass, thyroid function and resting metabolic rate.

Results
Both dietary interventions resulted in significant body mass loss (p<0.05) however three weeks of sustained ketosis (KD) resulted in a greater loss of body mass (mean (95%CI); -2.9 (-3.5, -2.4) kg) than did three weeks on the HCLF diet (-0.4 (-1.0, 0.1) kg, p < 0.0001).
Compared to pre-diet levels, the change in plasma T3 concentration was significantly different between the two diets (p = 0.003), such that plasma T3 concentration was significantly lower following the KD diet (4.1 (3.8, 4.4) pmol/L, p < 0.0001) but not different following the HCLF diet (4.8 (4.5, 5.2) pmol/L, p = 0.171. There was a significant increase in T4 concentration from pre-diet levels following the KD diet (19.3 (17.8, 20.9) pmol/L, p < 0.0001), but not following the HCLF diet (17.3 (15.7, 18.8) pmol/L, p = 0.28). The magnitude of change in plasma T4 concentration was not different between the two diets (p = 0.4). There was no effect of diet on plasma thyroid stimulating hormone concentration (p = 0.27). There was a significantly greater T3:T4 ratio following the HCLF diet (0.41 (0.27, 0.55), p < 0.0001) compared to pre-diet levels but not following the KD diet (0.25 (0.12, 0.39), p = 0.80).

Conclusions

Although the diets were isocaloric and physical activity and resting metabolic rate remained constant, the participants lost more mass after the KD than after the HCLF diet. The observed significant changes in triiodothyronine concentration suggest that unknown metabolic changes occur in nutritional ketosis, changes that warrant further investigation.

Trial registration

Pan African Clinical Trial Registry: PACTR201707002406306 URL: https://pactr.samrc.ac.za/.

Introduction

The most common reason that individuals report for initiating a ketogenic diet (KD) is a desire to lose weight [1]. Randomized controlled trials have reported that more body mass is lost when subjects follow a KD compared to a high-carbohydrate, low-fat (HCLF) diet [1–13]. In the absence of knowledge on the metabolic mechanisms that underpin the two diets, greater body mass loss on a KD has been attributed either to spontaneous reduction in energy intake [8,12,14] or reduced hunger and/or increased satiety. Reduced hunger or increased satiety is believed to result from the increased fat consumption [4,15–17], or to a direct effect of β-hydroxybutyrate (BOHB, the major circulating ketone body) acting as a satiety signal, and directly inhibiting appetite [18]. Investigations into the effect of a KD, compared with a conventional high-carbohydrate, low-fat (HCLF) diet, on metabolism, and in particular, on thyroid function and resting metabolic rate, are rare [2,14].

Thyroid hormones play a significant role in metabolism, and it is well-established that thyroid hormone status correlates directly with body mass and energy expenditure [19]. However, the effects of the KD on thyroid function are unclear [2,14]. Higher levels of thyroxine (T4) with no change in triiodothyronine (T3) have been reported in one study [14], while in another, ketosis resulted in lower levels of T3 [20]. Several other studies have reported reductions in T3 with carbohydrate restriction, but there are limitations to these studies as the majority had small sample sizes and perhaps, more importantly, measurements in those studies were done after only four [21,22] or seven [23] days of carbohydrate restriction. The utilization of ketone-bodies (accounting for 50–85% of oxidative metabolism) becomes significant only after three to seven days of starvation [24,25]. Hence, in these latter studies, the participants may not have reached nutritional ketosis, and so would not have had time to become “keto-adapted”; a state in which a coordinated set of metabolic adaptations have occurred that
ensure appropriate inter-organ fuel supply given the reduced availability of glucose [26]. Nutritional ketosis, defined as circulating ketone levels higher than 0.5 mmol.ℓ⁻¹, therefore may take several weeks to be achieved [26].

Investigations into the effect of a KD, compared with a conventional HCLF diet, on metabolism, and in particular, on thyroid function and resting metabolic rate are rare [2,14]. Most randomized-controlled trials (RCTs) have been done in overweight/obese populations, some with pre-existing chronic conditions such as insulin resistance, metabolic syndrome, or dyslipidaemia [27–35]; these can all influence thyroid function. Furthermore, most previous studies have not controlled for energy-intake (i.e. dietary interventions were not isocaloric), nor did they control for physical activity (energy output) [29,30,35].

In the study reported here, we aimed to determine the effect of a ketogenic diet on resting metabolic rate, and on thyroid function, in the absence of a variation in energy intake or energy expenditure in normal weight subjects who were free of disease. To achieve those ends, we used a randomized controlled-cross-over study in which healthy participants completed several weeks on a KD (with nutritional ketosis sustained for a minimum of three consecutive weeks) and several weeks on an isocaloric high carbohydrate—low fat (HCLF) diet. Throughout the study, the daily energy intake was based on the habitual intake of each individual. Similarly, energy expenditure was controlled by asking the participants to maintain physical activity at customary levels throughout the study.

Subjects and methods

Ethical clearance was obtained from the University of the Witwatersrand’s Human Research Ethics Committee (Medical), which adheres to the principles of the Declaration of Helsinki (Clearance certificate no. M150849). All participants gave verbal and written consent. The study design of this RCT has been detailed elsewhere (S3) [36]. In brief, using standardized and customized screening questionnaires for sleep quality and general health, volunteers were screened to ensure that they were free from any chronic illness, depression, sleep disorders, for at least six months prior to the start of the study. Volunteers were excluded if they were overweight or obese (BMI ≥ 26 kg/m²), had any adverse cardiac or metabolic conditions such as type 1 or 2 diabetes mellitus, hypercholesterolemia, or hypertension confirmed by a clinician. The Pittsburgh Sleep Quality Index (PSQI) [37] and the General Health Questionnaire (GHQ) [38] were used to assess quality of sleep and psychological health, respectively. Eligible participants were asked to maintain the same level of physical activity throughout the study, which was monitored using the standardized global physical activity questionnaire (GPAQ) [39]. Thereafter eligible participants completed, in randomized order, two isocaloric dietary interventions (KD and a HCLF diet) for a minimum of three weeks on each diet, with a one-week washout (habitual diet) between the diets. At the start of the study, the participants were required to complete and record their habitual diet for one week. Those data allowed us to determine the average daily energy intake of each participant. For the two dietary interventions, the total energy consumed each day was based on the average daily energy intake during that initial week. Thus, the two diets were isocaloric, but varied in macronutrient content, with the KD comprising 15% carbohydrate, 60% fat and 25% protein, and the HCLF diet comprising 55% carbohydrate, 20% fat and 25% protein. Randomization of the order of the diets was done using the Microsoft Excel 2010 (Version 14.0) CHOOSE and RANDBETWEEN functions. These functions (= CHOOSE(RANDBETWEEN(1,2), “A”, “B”) allowed the PI to assign each new participant to one of the two diets for the first intervention.

Each participant was provided with an individualized meal plan with their customized respective macronutrient content for each dietary intervention. In addition, other informative
material on macronutrients and the energy density of food, including lists of acceptable foods, was provided to each participant. Provided that the macronutrient ratio (55% carbohydrate, 20% fat and 25% protein) and the total caloric intake (according to individualized habitual intake) were adhered to, participants on the HCLF diet were free to consume most kinds of carbohydrate (including starchy carbohydrates such as rice and pasta), but were asked to refrain from eating sugar and sugary food and/or drinks. In contrast, during the KD intervention, carbohydrate consumption was not only very low (accounting for only 15% of dietary intake, whilst energy from fat was at 60%, and protein the remaining 25%), but participants were limited to specific kinds of carbohydrate-rich food, such as leafy green vegetables, lettuce, and broccoli. In addition to providing individualized meal plans, the PI educated each participant on the fundamentals of each dietary intervention and provided each participant with a food list and other resources that contained information on dietary macronutrient composition and calories, to assist each participant in making food choices of their preference, but in line with the dietary intervention. Further, to assist with participant compliance, the principal investigator (PI) was available at all times to advise and motivate participants. When participants came into the study already supplementing their diet with vitamins and/or minerals, they were requested to continue doing so. If participants were not taking any supplements, they were asked to refrain from doing so. The participants were asked to also maintain the same level of physical activity (habitual and structured) throughout the study. Physical activity was assessed by calculating a metabolic equivalent (MET) score from the validated global physical activity questionnaire (GPAQ) [39], which was completed at the end of each week throughout the study. The GPAQ assesses time spent in physical activities ranging from walking to vigorous intensity activity and creates a MET score (MET minutes per week) which accounts for both duration and type of physical activity—a higher MET score indicates more time spent in higher intensity activity.

Each day throughout the study (i.e. during the first week of data collection on the habitual diet, during the two dietary interventions, and during the washout period), the participants kept a detailed account of their daily dietary consumption using a specialized, but commercially available, online application that quantified energy intake, MyFitnessPal (MyFitnessPal, Inc. Version 18.8.5, Under Armour). By connecting smart devices, the PI remotely monitored, and critically assessed the macronutrient composition and energy intake of each participant. At the end of each day, each participant received feedback from the PI; either positive/encouraging comments, or advice on how to best amend their diet for the purpose of the study.

An important requisite of the study was that, during the KD, the participants maintained a state of nutritional ketosis for a minimum of three consecutive weeks. To ensure that nutritional ketosis was reached and maintained, frequent measurement of blood levels of β-hydroxybutyrate (BOHB) were made using blood obtained via finger prick and a handheld β-ketone analyser (Freestyle Optium, Abbott Diabetes Care Ltd, United Kingdom). Levels of BOHB were measured before and after each dietary intervention, and during the KD. We defined nutritional ketosis as BOHB levels above 0.4 nM. Once nutritional ketosis was reached (usually within 6–10 days of starting the KD), BOHB levels were measured every second day to ensure that the nutritional ketosis was sustained. When a participant did not remain in a ketogenic state, the dietary intervention period was prolonged until a consecutive 3-week sustained ketogenic state was achieved. Ketosis was achieved on average 9 (5–19 (min-max) days after starting the KD), and was maintained for an average of 29 (23–56) days.

Before and after each dietary intervention (i.e. four times in total), after an overnight fast, the participants reported to the Movement Physiology Research Laboratory, housed in the School of Physiology at the University of the Witwatersrand’s Medical School. At each visit,
anthropometric measurements were made, by the same PI, and a venous blood sample was obtained for the assessment of thyroid function. The level of thyroid stimulating hormone (TSH), free triiodothyronine (T3) and free thyroxine (T4) were measured by a reputable external laboratory (Clinical Laboratory Services, Johannesburg, South Africa).

After blood was taken, measurements of resting oxygen consumption and carbon dioxide production were made. From those measurements the respiratory exchange ratio (RER) was calculated, as an indication of the source of fuel that was being metabolised on each diet. Briefly, each participant lay down with a face mask covering their nose and mouth. The mask was attached to a metabolic cart (Quark Ergo, COSMED, Rome, Italy). The participants lay still for a period of 15 minutes and the last 5 minutes of oxygen consumption and carbon dioxide production was averaged and used for the calculation of RER. In addition, resting metabolic rate (RMR), expressed in energy terms, was calculated from oxygen consumption using the following formula [40]:

\[
RMR = \text{Oxygen consumption (} \ell \text{. min}^{-1} \text{)} \times 4.8 \text{ kcal.} \ell^{-1}. \]

The result was then multiplied by 60 to convert minutes to hours, and divided by body mass.

All outcomes were measured at baseline, and before and after three weeks of HCLF and three weeks of sustained nutritional ketosis. The study primary outcome of this study was diet-induced change in basal metabolic rate and thyroid function.

Statistical analyses

To quantify the compliance of the participants on each diet, the prescribed total energy intake that was reported by each participant over the screening week was used to determine the proportion of macronutrients that were required within each diet. Each participant was required to achieve these pre-determined targets during the time on each interventional diet (KD and HCLF). Compliance for both energy and macronutrient (calories and grams of each macronutrient) were calculated as the percentage that the reported daily calories / grams of macronutrient consumption was of the daily target (as determined by individualized habitual caloric, and macronutrient composition of each dietary intervention), and are reported as mean (95% confidence interval). The STATA pckcross command was used to test for period, sequence, and carryover effects for body mass, thyroid hormones, and RMR prior to testing for diet effects. The sequence of the diets were denoted as “ab” if the individual received the HCLF diet first and “ba” if the individual received the KD diet first. Carryover effects were tested even though a washout period was included in the study design. Analysis of all variables indicated that there was no significant carryover effect and therefore the carryover variable was excluded from subsequent models. Linear mixed effects models were then performed for all variables, testing for the main effects of diet and period and the interaction between the two, and using participants as random effects. Analyses were first conducted on absolute variables measured after each period i.e. baseline, wasout, and each of the two diets. The change from baseline for outcomes was also analysed between each diet. The baseline value that was used depended on the sequence that the diets were consumed, i.e. baseline for sequence “ab” and wasout for sequence “ba”. A linear mixed effects model was also used to test the difference in the change in outcome between the diets, using diet and period and the diet X period interaction as fixed effects and participant as random effects. The difference in physical activity level was assessed using a generalised linear mixed model with fixed effects being diet and period and participant being a random effect. All of the data were analysed using Stata IC (Version 15.1, StatsCorp LLC, Texas, USA) and a two-tailed probability of \( p < 0.05 \) was considered to be statistically significant. All data are expressed as mean (95% CI), unless otherwise stated.

A sample size calculation indicated that a total of 20 participants would provide 85% power to obtain a medium effect size for BMR (Cohen’s \( d \) effect size = 0.3) [41].
Results

Eighteen individuals volunteered to take part in the study. One volunteer was excluded due to abnormal thyroid function, three others were excluded due to high BMI, two were excluded because of their advanced age with possible confounding factors due to menopause, and lastly, one volunteer was unable to report to the laboratory for regular testing and therefore did not finish the study. As displayed in Fig 1, eleven healthy male (n = 1) and female (n = 10) volunteers completed the study, with four participants completing the KD first. The demographic and screening tool results of the cohort are given in Table 1.

Table 1. Characteristics of the cohort prior to starting the first dietary intervention (n = 11).

| Variable                        | Mean (SD) |
|---------------------------------|-----------|
| Age (years)                     | 30 (9)    |
| Body mass (kg)                  | 67 (12)   |
| Height (m)                      | 1.7 (0.1) |
| Body mass index (kg.m⁻²)        | 24 (2)    |
| General Health Questionnaire¹   | 3 (3)     |
| Pittsburgh Sleep Quality Index² | 4 (1)     |

¹ Scores < 6 indicate good health [42].
² Scores ≤ 5 indicate good sleep quality [43].
Dietary compliance and physical activity

Participants were adherent to the diets to within 96 (93, 99) % of the daily target of calories consumed for the HCLF diet and 96 (93, 98) % of the daily target of calories consumed for the KD (data are mean (95%CI)). Similarly, compliance for macronutrient consumption (grams of each macronutrient consumption over target proportions according to calories and dietary intervention), showed that participants were adherent to their targets within 93 (88, 98) % for carbohydrates, 87 (78, 97) % for fat, and 85 (76, 95) % for protein for the HCLF diet; and 76 (65, 86) % for carbohydrates, 91 (85, 97) % for fat, 91 (83, 99) % for protein for the KD diet. The dietary intake of carbohydrates on the KD had lowest compliance (76%), however, the mean daily intake was lower than the target intake, and this was likely the result of attempting to reach a state of ketosis.

Measurements of blood ketone level (BOHB) confirmed that during the KD the subjects were in a state of nutritional ketosis, and the respiratory exchange ratio (RER) suggested more fat oxidation on the KD, thus supporting a classification of nutritional ketosis (Table 2).

Analysis of the MET scores (Table 2) from the GPAQ confirmed that physical activity levels remained the same throughout the study, and importantly between the dietary interventions (P = 0.57).

Dietary effects on body mass and RMR

Crossover analysis indicated that there was a significant diet (p = 0.0006) and period (p < 0.0001) effect on absolute body mass (Fig 2). Linear mixed effects analysis revealed that both of the diets resulted in a loss in body mass from baseline (Fig 2 and Table 3). The magnitude of the change in body mass on each of the diets was significantly greater on the KD diet (-2.9 (-3.5, -2.4) kg) compared to the HCLF diet (-0.4 (-1.0, 0.1) kg, mean (95%CI), p < 0.0001) (Table 3). There were no effects of diet on RMR (p > 0.05).

Dietary effects on thyroid hormones

Fig 3 shows the changes in absolute thyroid hormone concentrations throughout the study for all participants. Crossover analysis indicated a significant effect of diet (p < 0.0001), sequence (p = 0.02), and period (p < 0.0001) on absolute T3 concentration. There was also a significant

### Table 2. Macronutrient energy intake, blood β-hydroxybutyrate (BOHB), and respiratory exchange ratio (RER) after the habitual diets of baseline and washout, and after the ketogenic diet (KD) and high-carbohydrate low-fat (HCLF) diet, for the whole cohort (n = 11).

| Variable                        | Baseline       | KD             | Washout      | HCLF          |
|---------------------------------|----------------|----------------|--------------|---------------|
| Total energy intake (cal)       | 1537.3 (534.3) | 1471.5 (418.9) | 1554.9 (473.9) | 1456.0 (518.3) |
| Carbohydrates (% of total intake) | 43.1 (4.6)    | 11.7 (3.2)    | 44.2 (5.3)   | 51.6 (4.0)    |
| Fat (% of total intake)         | 36.9 (7.9)   | 64.3 (6.2)    | 37.2 (9.0)   | 23.8 (5.6)    |
| Protein (% of total intake)     | 19.4 (4.3)   | 24.5 (3.2)    | 19.2 (4.5)   | 22.2 (2.1)    |
| BOHB†                          | 0.2 (0.2)    | 1.0 (0.5)     | 0.2 (0.1)    | 0.3 (0.2)     |
| RER‡                           | 0.90 (0.10)  | 0.79 (0.05)   | 0.86 (0.04)  | 0.88 (0.07)   |
| Physical Activity (MET score, min.wk$^{-1}$) | 1458 (510–3015) | 1680 (1030–2283) | 1280 (964–1365) | 1995 (720–2467) |

Data are represented as mean (SD) except for physical activity which is presented as median (IQR).

BOHB: β-hydroxybutyrate; RER: respiratory exchange ratio; RMR: resting metabolic rate.

† values > 0.4 indicate ketosis.

‡ values > 0.7 indicate that fat is the predominant fuel source; values of 0.85 suggests a mix of fat and carbohydrates; values > 1.00 indicate that carbohydrate is the predominant fuel source.

**data from n = 10.

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effect of diet (p < 0.007) and period (p = 0.0004) on absolute T4 concentration. There was no diet (p = 0.27), period (p = 0.21), or sequence effect (p = 0.31) on TSH concentration (Table 3).

A linear mixed effects model showed that compared with pre-dietary intervention values (baseline / washout, depending on the sequence), T3 concentration was significantly lower following the KD diet (4.1 (3.8, 4.4) pmol/L, p < 0.0001) but it was not different following the HCLF diet (4.8 (4.5, 5.2) pmol/L, p = 0.171, Fig 3 and Table 3). The magnitude of change in T3 concentration following the KD diet (-0.66 (-1.21, -0.12) pmol/L) was also significantly different from either baseline or washout according to diet sequence.

Table 3. Outcome measures of change in body mass and thyroid function between the two dietary interventions (n = 11).

| Variable                        | KD (mean (95% CI)) | HCLF (mean (95% CI)) | Diet effect p value | Period effect p value |
|--------------------------------|--------------------|----------------------|--------------------|-----------------------|
| Change in body mass (kg)*      | -2.9 (-3.5, -2.4)  | -0.4 (-1.0, 0.1)     | <0.0001            | 0.785                 |
| RMR (kcal.h⁻¹.kg⁻¹)##          | 5.53 (3.62, 7.42)  | 5.08 (3.18, 6.98)    | 0.082              | 0.016                 |
| Thyroid Stimulating Hormone (TSH, mIU/L) | 2.06 (1.57, 2.55) | 2.32 (1.84, 2.82)    | 0.071              | 0.042                 |
| Thyroxine (free T4, pmol.L⁻¹)  | 19.3 (17.8, 20.9)  | 17.3 (15.7, 18.8)    | 0.0066             | 0.0004                |
| Triiodothyronine (free T3, pmol.L⁻¹) | 4.1 (3.8, 4.4)    | 4.8 (4.5, 5.2)       | <0.0001            | 0.0005                |
| T3:T4 ratio                    | 0.25 (0.12, 0.39)  | 0.41 (0.27, 0.55)    | 0.0467             | 0.0817                |

Data are presented as mean (95% CI). All measures are within normal ranges: TSH (0.27–4.20 mIU/L), T4 (12.0–22.0 pmol/L), T3 (3.1–6.8 pmol/L). KD, ketogenic diet; HCLF, high-carbohydrate low-fat diet.

*change from either baseline or washout according to diet sequence.

##data from n = 9.

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Fig 2. The individual changes in body mass (kg) during the dietary interventions. Solid black lines: participants who did the ketogenic diet (KD) diet first ('ba' intervention sequence); Grey lines: participants who did the high-carbohydrate low-fat (HCLF) diet first ('ab' intervention sequence); dashed line: mean for all participants.

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Table 3. Outcome measures of change in body mass and thyroid function between the two dietary interventions (n = 11).
Nutritional ketosis: Thyroid and metabolic effects

A

TSH (mIU/L)

4.5
4
3.5
3
2.5
2
2
1.5
1
1
0.5
0

B

T4 (pmol/L)

26
24
22
20
18
16
14
12
10

C

T3 (pmol/L)

6
5.5
5
4.5
4
3.5
3

Baseline    KD    Washout    HCLF
different to that following the HCLF diet (0.60 (0.06, 1.14), pmol/L, p = 0.003). There was a significant increase in T4 concentration from baseline/washout following the KD diet (19.3 (17.8, 20.9) pmol/L, p < 0.0001), but not on the HCLF diet (17.3 (15.7, 18.8) pmol/L, p = 0.282, Fig 3 and Table 3). There was no difference in the magnitude of change in T4 concentration between the two diets (KD: 2.9 (1.6, 4.1), pmol/L; HCLF: 1.1 (-0.1, 2.3) pmol/L, p = 0.360). As such, although all thyroid hormone levels remained within the accepted “normal range”, the magnitude of change (from baseline/washout) was significantly different between the two diets was significant for T3, but not T4 concentration.

There was a significant effect of diet on T3:T4 ratio (p = 0.047) but no effect of sequence (p = 0.06) or period (p = 0.08). There was a significantly greater T3:T4 ratio following the HCLF diet (0.41 (0.27, 0.55), p < 0.0001) compared to baseline/washout but not following the KD diet (0.25 (0.12, 0.39), p = 0.80) compared to baseline/washout (Table 3).

Discussion

In this randomized controlled-crossover study in healthy, normal-weight individuals, we found that, despite no difference in energy intake (diet) or energy expenditure (resting metabolic rate and physical activity) between the diets, three consecutive weeks in a state of sustained nutritional ketosis resulted in greater loss of body mass than did three weeks on an isocaloric HCLF diet. The greater loss of body mass occurred despite no change in the resting metabolic rate or physical activity level. Further, although plasma TSH and T4 remained unchanged after each diet, the plasma T3 concentration decreased more on the KD than it did on the HCLF diet. The level of all of the thyroid hormones remained within the normal range.

To our knowledge, this is the first RCT that has assessed the resting metabolic rate (RMR) of people on a KD. The maintenance of a healthy body mass, or the loss of body mass during dieting, is generally framed in terms of the balance between energy intake and energy expenditure. On the expenditure side, RMR is the largest contributor, and the least modifiable component [44], while exercise associated thermogenesis (EAT), non-exercise associated thermogenesis (NEAT), and the thermic effect of food (TEF) are more modifiable. Since NEAT is the largest of those three components, a major aspect of our experimental design was to reduce the confounding effect of changes in NEAT by monitoring physical activity and encouraging the participants to maintain their normal levels of NEAT and EAT. That intervention was especially important because metabolic compensation following weight-loss can result in a decrease in NEAT and a decrease in the motivation to exercise, which will lead to a decrease in EAT [44]. At the same time, our experimental design ensured that energy intake was constant at each participant’s habitual daily energy consumption. By controlling those factors we mitigated compensatory changes in metabolism, allowing us to determine whether dietary macronutrient composition alone (i.e. changes in the relative contribution of each macronutrient, without changing total energy intake) could affect metabolic rate, and related thyroid function.

Using thermodynamics to explain the results on each of the diets creates a conundrum. On the HCLF diet, the average energy intake was less than RMR, therefore if we assume that participants lost a mixture of fat (37 kJ.g⁻¹) and protein (17 kJ.g⁻¹), and assuming that about half of the body mass loss was accounted for by water, the body mass loss on that diet would be within the range that was observed in our study. On the KD, the energy intake was also less

Fig 3. The individual changes in circulating levels of thyroid stimulating hormone (TSH) (panel A), free thyroxine (T4; panel B) and triiodothyronine (T3; panel C) during the dietary interventions. Solid black lines: participants who did the ketogenic diet (KD) diet first (‘ba’ intervention sequence); Grey lines: participants who did the high-carbohydrate low-fat (HCLF) diet first (‘ab’ intervention sequence); dashed line: mean for all participants.

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than RMR and using the same logic as above, participants should have lost 31 grams.day\(^{-1}\), or 641 grams in three weeks. However, participants lost close to 3 kg, with a range of results between a loss of 1.5 and 4.8 kg. Therefore, the normal thermodynamics of energy balance seems to not explain our results.

However, we are not the first to report such a phenomenon. Some [1–13,45], but not all [29,31,33–35,46–50] RCTs that have investigated changes in body mass during a KD compared to a HCLF diet have reported more body mass loss on the KD. The effects are ascribed to either a spontaneous reduction in energy intake because of increased satiety, or reduced hunger, that comes with increased fat consumption [4,15–17]. Comparisons to the present study are difficult, however, as many of the studies were conducted in people with chronic disease, many did not account for energy intake (i.e. dietary interventions were not isocaloric), and most did not measure physical activity or encourage similar energy expenditure across interventions. In addition, since energy intake and physical activity were the same on the two intervention diets in this study, those explanations for the change in body mass do not hold, for our study.

When someone on a low-carbohydrate diet loses body mass, it is often attributed to the water loss that accompanies a decrease in glycogen storage. But that water loss is always short-lived [2]. While some argue that a reduction in carbohydrate intake leads to the mobilization of adipose tissue, thus preserving lean body tissue [3,14], others argue that carbohydrate restriction may lead to the preferential loss of lean body tissue [51]. Further, it is argued that there is a “metabolic advantage” with the KD. For example, one study reported that in overweight adolescents, despite an increase in energy intake on the KD, the participants lost more body mass on the KD than on the HCLF diet [13]. Accordingly, it is suggested that a non-restricted KD may be more effective for weight-loss than a low-energy diet due the maintenance of a higher metabolic rate [13]. Thus, high energy intake on a KD diet may ameliorate the metabolic responses to energy restriction that are observed on very-low-energy diets [13,52,53]. More studies, preferably done over longer periods (>1 year), are required to determine the effect of the KD versus a HCLF diet on metabolic rate, with and without a energy restriction.

A likely candidate for a “metabolic advantage” of the KD is a change in thyroid function. Although the complex interactions of the various pathways that involve thyroid hormones are still not fully understood, it is well recognized that thyroid hormones influence key metabolic pathways that are involved in energy balance (energy expenditure and storage), and that they are also active in lipid and carbohydrate metabolism. Hence, thyroid hormones influence metabolic rate and adaptive thermogenesis, and thus have a significant impact on body mass. Variations in thyroid hormone transporter expression, local ligand activation and inactivation, the relative expression of thyroid hormone receptor isoforms, as well as the activity of receptor corepressors and coactivators, can all impact on thyroid function [19]. For the purpose of this discussion, we will highlight only a few key mechanisms, and speculate on areas that might warrant investigation to provide insight into the phenomenon that we, and others, have described.

When thyrotropin releasing hormone (TRH) is released from the pituitary, it stimulates the thyroid to release thyroid hormone. About 80% of the secretion from the thyroid is T4, with about 20% T3 [54]. The affinity of the intracellular thyroid hormone receptor (TR) is about 10 times higher for T3 than for T4, and so thyroid activity is generally taken to be proportional to T3, the active form of the hormone [54]. Because metabolic rate and energy expenditure are related to thyroid activity, it might be expected that the lower T3 on the KD should have led to an energy excess on that diet. But the participants lost more body mass on the KD than on the HCLF diet. Clearly a simple interpretation, based on the circulating level of active hormone, is wrong.
Thyroid hormone exerts its action when it binds to TR that are intracellular, and the intracellular activity of thyroid hormone depends mainly on the intracellular, rather than the circulating, concentration of the hormone. Locally, T4 is converted to T3 by intracellular deiodinases. 5′-deiodinase type (D1) is situated in the plasma membrane. The T3 that is produced by D1 exits the cell within about 30 minutes and enters the plasma pool. D2 is situated on the endoplasmic reticulum, close to the nucleus where the TR are located, and T3 created by D2 remains in the cell for about 8 hours. D3 is also located in the plasma membrane, where it deiodinates both T3 and T4, inactivating them to T2. Thus, the activation of TR, and subsequent changes in gene expression with profound effects on metabolism, depends on the circulating levels of T3 and T4 as well as the local activity of D2 versus D1 and D3. The specific activity of the deiodinases can be modified by several environmental factors [54], and so it is possible that the KD induced changes in the activity of D2 relative to D1 and D3 that resulted in the maintenance, or perhaps even an increase, in a component of energy expenditure that we did not measure, despite a reduction in T3.

The importance of signalling from the nutritional status of the cell is increasingly recognized as a contributor to the control of metabolic processes [19]. A promising target to explain the metabolic effects of the KD is the nuclear receptor corepressor (NCoR). At least in rodents, the expression of NCoR is reduced when they consume a high fat diet [19]. Further, NCoR knockouts develop obesity, and the knockout has tissue specific effects [55], with an increase in mitochondrial content, an increase in oxidative metabolism, and an increase in insulin sensitivity in skeletal muscle, but increased triglyceride synthesis and insulin sensitivity in adipose tissue [55]. Our participants all had a healthy BMI, meaning that any changes resulting from altered NCoR signalling would have been more noticeable in skeletal muscle than adipose tissue, a situation that would be markedly different in obese subjects. NCoR is recognized as an essential metabolic regulator [56], and more research is warranted to determine its role in metabolism during nutritional ketosis.

The Retinoid X Receptor (RXR), a member of the nuclear receptor superfamily, could also be worthy of further investigation. RXR knockout mice are resistant to weight gain when they are fed a high-fat diet, in part due to an increase in the activity of lipoprotein lipase in skeletal muscle [57]. Furthermore, bile acids are increasingly recognized as general metabolic integrators, and bile acid stimulating pathways are associated with a thyroid hormone-mediated increase in energy expenditure [19,58–60]. More research is required on bile acid synthesis, as well as, the receptor for bile acids [61] and their possible role in metabolic changes with the KD.

In a continuous state of nutritional ketosis, other endocrine adaptations are likely involved in processes such as lipogenesis, lipolysis, and protein synthesis and degradation. For example, infusions of BOHB reduce proteolysis during starvation [62]. However, it is likely that other anabolic hormones, such as growth hormone, work in conjunction with BOHB. In a state of nutritional ketosis, changes in cortisol, insulin, insulin-like growth factor-1, glucagon, testosterone, growth hormone, and catecholamines, could also play a role. In one of the rare studies that assessed an array of hormones following dietary intervention, no changes in cortisol, testosterone, glucagon, sex-hormone-binding globulin, insulin-like growth factor-1, or T3 uptake were found in healthy men of normal weight after six weeks on a low-carbohydrate diet [14]. However, insulin levels were significantly reduced, and as we observed in the current study, total serum T4 was increased [14]. Serum T3 levels were not reported in that study [14], but others have reported lower T3 levels following carbohydrate restriction [20–23]. An early study in endurance-trained cyclists, also reported lower T3 after two weeks on a KD, compared to a eucaloric balanced diet [20]. The authors speculate that the fall in T3 may be an adaptation that conserves muscle glycogen [20]. While there are variations in participant demographics
and study designs, the general outcome seems to be that carbohydrate restriction, or nutritional ketosis, results in a shift in the ratio of circulating thyroid hormones, without a change in TSH, with an increase in circulating inactive T4, and a reduction in active T3. Our findings of a significantly higher fT3/fT4 after the HCLF dietary intervention compared with after the KD dietary intervention is in line with some [63–65], but not all [66] studies that have investigated associations between T3/T4 ratio with metabolic syndrome. Evidence suggesting that a higher ratio is associated with increased risk of metabolic syndrome and/or insulin resistance has been reported in obese Japanese boys with metabolic syndrome compared to boys without [63], European men and women [64] and in euthyroid middle-aged adults [65].

Although animal and human studies show that exposure to cold enhances the activity of brown adipose tissue (BAT) [67,68] which generates heat and helps to maintain body temperature, the role of BAT in diet induced thermogenesis and the maintenance of energy homeostasis remains controversial [69,70]. BAT is activated by insulin [67], but that activation is not the same as the activation by cold. In response to insulin, the glucose uptake by BAT increased 5-fold with no change in perfusion, whereas when BAT was activated by cold, glucose uptake increased 12-fold and perfusion doubled, and the perfusion of BAT was positively associated with whole-body energy expenditure [67]. We did not measure the metabolic activity in BAT in our participants. However, it is intriguing that the shift in thyroid hormones that we saw after KD were similar to the shift reported during cold exposure [68]. Extrapolation of these results might suggest that the metabolic adaptations that occur during nutritional ketosis, and a likely reduced insulin release, may be similar to metabolic activity that occurs in BAT during cold exposure. Other important metabolic factors, such as an increase in D2 activity [19,58,60], need to occur for thermogenesis in BAT. These possibilities together with reasons why metabolic rate was not higher in our KD participants, require further investigation before we can conclude that a KD induces an increase in metabolic activity in BAT.

The results of our study need to be viewed in the context of the study limitations, as well as the study strengths. One study limitation that needs to be considered is the sample size. We recruited 11 healthy, normal weight individuals who fulfilled our study criteria and who were willing to partake in a challenging randomized crossover design study protocol. Our sample size calculation (on RMR) indicated that total of 20 participants would have provided 85% power to obtain a medium effect size for RMR (Cohen’s d effect size = 0.3). Nevertheless, our sample size had sufficient power to detect changes in body mass and thyroid hormones during the dietary interventions, and we believe that our findings add important insight into the literature. Ideally, our protocol would be improved by; 1) a larger sample size, 2) a longer dietary intervention period, 3) obtaining objective physical activity data to ensure that NEAT remained the same throughout the study, and 4) a more equal representation of males to females (as opposed to a 1:10 ratio). Lastly, although statistical analyses confirmed no sequence effect, our study could have been strengthened had the numbers of participants beginning each diet been more balanced. In light of our discussion above, measurements of specific receptor activity, and / or D2 activity, and perhaps also T2 and reverse T3 [71] would also provide insight into the mechanisms underlying our results. Future studies should also look deeper into the 'period' effect that was evident in this pilot study. It is possible that with increasing time spent participating in studies involving dietary interventions, there is increased awareness of food choices, which over time, could lead to weight loss over time, regardless of dietary intervention.

This study design had the following strengths. Our participants were healthy, normal weight individuals who were free from chronic conditions, and the participants completed the two diets in a random order, such that they each acted as their own control. By frequently measuring blood ketone levels, we ensured that each participant remained in a state of nutritional...
Ketosis for three consecutive weeks and, by measuring RER, we were able to determine the predominant fuel substrate. Although dietary adherence relied on honest subjective reporting, our objective physiological measures, such as BOHB and RER, confirmed the presence or absence of nutritional ketosis, and we found a participants were 96% complaint with the daily target of calories consumed for both diets. Further, to limit dietary regression we supplied customized meal plans according to the individual preferences of each participant, and daily energy per macronutrient, in addition to other educational sources containing information for matching alternative foods. We further requested daily recording of food intake, and the participants received daily feedback. Lastly, we ensured that physical activity was consistent across the study.

**Conclusions**

This study has shown that despite the two diets (KD and HCLF) being isocaloric, and despite no difference in physical activity, a greater reduction in body mass occurred on the KD in healthy weight participants, despite no change in RMR. The change in concentration in thyroid hormones (particularly, active T3) suggests that nutritional ketosis may induce metabolic changes that warrant further investigation.

**Supporting information**

- S1 File. This is the document for CONSORT checklist for RCTs. (DOC)
- S2 File. This is the SPIRIT Figure. (DOC)
- S3 File. This is the protocol that was published in Trials: Iacovides et al (2018). (PDF)
- S4 File. This is the full protocol for which ethics was approved. (DOCX)
- S1 Raw data. (ZIP)

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