A longitudinal study of brain anatomy changes preceding dementia in Down syndrome

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ABSTRACT

Background: We longitudinally assessed Down syndrome individuals at the age of risk of developing dementia to measure changes in brain anatomy and their relationship to cognitive impairment progression.

Methods: Forty-two Down syndrome individuals were initially included, of whom 27 (mean age 46.8 years) were evaluable on the basis of completing the 2-year follow-up and success in obtaining good quality MRI exams. Voxel-based morphometry was used to estimate regional brain volumes at baseline and follow-up on 3D anatomical images. Longitudinal volume changes for the group and their relationship with change in general cognitive status and specific cognitive domains were mapped.

Results: As a group, significant volume reduction was identified in the substantia innominata region of the basal forebrain, hippocampus, lateral temporal cortex and left arcuate fasciculus. Volume reduction in the substantia innominata and hippocampus was more prominent in individuals whose clinical status changed from cognitively stable to mild cognitive impairment or dementia during the follow-up. Relevantly, longitudinal memory score change was specifically associated with volume change in the hippocampus, prospective memory with prefrontal lobe and verbal comprehension with language-related brain areas.

Conclusions: Results are notably concordant with the well-established anatomical changes signaling the progression to dementia in Alzheimer's disease, despite the dense baseline pathology that developmentally accumulates in Down syndrome. This commonality supports the potential value of Down syndrome as a genetic model of Alzheimer's neurodegeneration and may serve to further support the view that Down syndrome patients are best candidates to benefit from treatment research in Alzheimer's disease.

1. Introduction

Down syndrome (DS) or chromosome 21 trisomy is the most common genetic cause of intellectual disability (Ballard et al., 2016). In addition to interference with brain development, aging is also disturbed in DS with an early presence of neurodegenerative changes (in virtually all DS individuals aged 40 or over) and clinical dementia in up to 70% of cases by the age of 60 (Dekker et al., 2015; Wiseman et al., 2015). The brain in older DS individuals displays many of the neuropathological features found in Alzheimer's disease (Head et al., 2016). This commonality is of capital importance in the research context, as it indicates a direct link between a genetic anomaly and neurodegeneration that may potentially contribute to elucidating the pathogenesis of Alzheimer's disease (Wiseman et al., 2015).

Previous neuroimaging research is prominent in indicating that demented DS patients do indeed show brain alterations in systems with typical degeneration in Alzheimer's disease (Emerson et al., 1995; Teipel and Hampel, 2006; RJ1 et al., 2008; Beacher et al., 2009; Powell et al., 2014; Sabbagh et al., 2015; Rafii et al., 2015; Lin et al., 2016). Nevertheless, existing cross-sectional studies are still not conclusive in distinguishing baseline DS dense brain pathology established during brain development from ongoing degenerative changes when progressing towards dementia. We present a longitudinal study on DS patients at the age of risk to developing dementia aimed to measure changes in
Table 1

| Characteristics of study participants and cognitive testing. |
|---------------------------------------------------------------|
|                                                               |
| Primary sample (n = 42) | Final sample (n = 27) |
|------------------------|-----------------------|
| Age (mean, SD years)   | 46.0 (5.3)            |
| Gender (male/female)   | 21/21                 |
| Medical background (%) | 28.6                  |
| Cardiovascular         | 11.9                  |
| Respiratory            | 57.1                  |
| Metabolic/Endocrine    | 71.4                  |
| Ophthalmological       | 4.8                   |
| Otostholaryngological  |                      |
| Disability levels (%)  | ±0.6%                 |
| Mild                   | 33.3                  |
| Moderate               | 66.7                  |
| Severe                 | 0%                    |
| Profound               | 0%                    |
| Performance IQ, K-BIT (mean, SD) | 59.3 (9.2) |
| Knowledge (%)          | ±60.7%                |
| Illiterate             | 33.3                  |
| Read/Write             | 66.7                  |
|                       |                       |

SD, standard deviation.

| Cognitive testing (mean (SD) range) |
|-------------------------------------|
| Memory-Word List Learning           | 24.3 (7.7) |
| Verbal Comprehension                | 8.9 (2.4)  |
| Block Construction                  | 6.2 (2.3)  |
| Object Recognition                  | 3.3 (1.5)  |
| Prospective Memory                  | 3.0 (1.4)  |

2.2. Clinical assessment

Each participant underwent comprehensive medical, neurological and psychiatric evaluations and subsequent tailored neuropsychological testing to clinically establish (or rule out) the diagnoses of mild cognitive impairment (MCI) and dementia in terms of cognitive deterioration overlapping with developmental cognitive deficits associated with DS. The diagnosis of MCI and dementia was based on expert clinical judgement as is recommended in DS (Sheehan et al., 2015; Krinsky-McHale and Silverman, 2013; Fenoll et al., 2017). Operatively, a diagnosis of MCI was established on the basis of (i) a report of cognitive impairment by the patient (confirmed by a reliable informant) or by a reliable informant implying a change from previous capacities and (ii) no clinically relevant deterioration in adaptive skills and general cognition. The diagnosis of dementia was established when the patient met MCI criteria (i) and showed a perceptible deterioration in adaptive skills associated with memory impairment and at least one of the following disorders: aphasia, apraxia, agnosia or disturbance in executive functioning. At baseline, 2 DS individuals met MCI criteria and none for dementia.

2.3. Specific neuropsychological testing

To establish the correlation between regional brain volume changes over time and cognitive decline, one neuropsychological test was selected for each major Alzheimer’s disease domain: memory impairment, aphasia, apraxia, agnosia and disturbance in executive functioning.

2.3.1. Memory

A version of the Rey Auditory-Verbal Learning Test (Geffen et al., 1990) adapted for people with intellectual disability (Esteba-Castillo et al., 2017) was used. The test measures learning, delayed recall and recognition. Only performance on learning was used. Participants were read a list of 12 words and were asked to evoke as many words as they could remember. The same list was repeated over five trials. Word-list learning over trials was measured as the sum of recalled words in trials 1 to 5.

2.3.2. Verbal comprehension-verbal abstract reasoning

This test combines an adapted version of the conventional “similarities” subtest (4 items) used in many intelligence batteries (participants are given two words or concepts and have to describe how they are similar) with comprehension of verbal sentences (5 items) reflecting different social situations (Esteba-Castillo et al., 2017). Each response was rated as 0 (incorrect), 1 (partial) or 2 (correct) with total maximum score of 18.

2.3.3. 3D block construction

As a measurement of constructional apraxia, we used a variation of the cubes subtest of the Developmental Neuropsychological Assessment-NEPSY battery (Korkman et al., 1998) adapted for people with intellectual disability (Esteba-Castillo et al., 2017). The participants used hand movements to construct 3D block patterns with methacrylate cubes to match a model. A total of 10 models were consecutively presented and 1 point was given for each correct construction (maximum score = 10).

2.3.4. Object recognition

The recognition of objects (unusual views) subtest of the Cambridge Examination for Mental Disorders of Older People with Down’s Syndrome and Others with Intellectual Disabilities-CAMDEX-DS (Ball et al., 2006), validated for the Spanish population, was used (Esteba-Castillo et al., 2013). The test involves the recognition of objects (6 items) on images taken from unusual angles. The number of correct from Down syndrome individuals.

Our study was conducted in accordance with The Code of Ethics of the World Medical Association (Declaration of Helsinki). The study protocol was approved by the Clinical Research Ethical Committee of the Parc de Salut Mar (Barcelona). Written informed consent was obtained from parents. Verbal or written assent was additionally obtained
answers was duly registered.

2.3.5. Prospective memory
This task requires the interaction of executive and mnemonic components to remember intentions. We used two prospective memory items from the Rivermead Behavioral Memory Test (RBMT) (Wilson et al., 1985) adapted for people with intellectual disability (Estebanez et al., 2017). In the first situation, the participant had to remember (following a 20-min interference) to ask for his or her next appointment when cued by the explorer. In the second situation, the participant, upon cueing, was required to ask for a previously hidden object. Scores were lower when the number of given cues in each situation were higher (i.e., higher scores indicated better performance). The sum of scores from both situations was used to indicate the overall test performance.

2.4. MRI acquisition
A 1.5-Tesla Signa Excite system (General Electric, Milwaukee, WI) equipped with an eight-channel phased array head coil and single-shot echoplanar imaging (EPI) software was used. High-resolution 3D anatomical images were obtained using an axial TI-weighted three-dimensional fast spoiled gradient inversion recovery prepared sequence. A total of 134 contiguous slices were acquired with inversion time 400 ms, repetition time 11.9 ms, echo time 4.2 ms, flip angle 15°, field of view 30 cm, 256 × 256 pixel matrix, and slice thickness 1.2 mm. Each participant was assigned an MRI practice session with a specifically designed mask scanner to allow for habituation and minimize the probability of head motion during actual MRI sessions.

2.5. Image pre-processing
All the anatomical images were visually inspected prior to analysis by a trained operator to detect artefacts and motion effect. Eight participants were discarded at baseline and 4 at follow-up as a result of poor image quality. Gray and white matter tissue volumes were estimated at a voxel level using Statistical Parametric Mapping (SPM). SPM voxel-based morphometry (VBM) DARTEL algorithms were used with the following processing steps: segmentation of anatomical images into gray and white matter tissue probability maps in their native space; estimation of the deformations that best align the images together by iteratively registering the segmented images with their average; and, finally, the generation of spatially normalized and smoothed segmentations (10x10x10 mm FWHM) using the deformations estimated in the previous step. The analyses were performed with scaling by Jacobian determinants (estimates of volume change during normalization) to consider tissue volume. Normalized images were finally transformed to the standard SPM template, resliced to 1.5 mm resolution in Montreal Neurological Institute (MNI) space. In addition, the VBM tool allowed us to obtain global measurements (in ml) for gray matter, white matter and global CSF spaces in each participant.

2.6. Image analysis
As in a previous longitudinal assessment of brain volume changes over time (Soriano-Mas et al., 2011), we generated difference images (baseline voxel values minus follow-up voxel values) for both gray matter and white matter segments that served to map regional volume change in the whole sample (using one-sample t-test) and to compare the degree of anatomical change between individuals with and without cognitive deterioration during follow-up (using two-sample t-test). In addition, we estimated voxel-wise the correlations between volumetric longitudinal changes (i.e., the generated difference images) and cognitive longitudinal changes (baseline cognitive scores minus follow-up cognitive scores) using linear regression in SPM. Paired t-test was used to assess group mean differences between baseline and follow-up for global brain volumes (gray matter segment plus white matter segment) and CSF spaces.

Results were considered significant with clusters of 1701 ml (504 voxels) at a height threshold of p < 0.005, which satisfied the FWE (family wise error) rate correction of P_{FWE} < 0.05 according to Monte Carlo simulations. Results below this threshold are also reported (FWE small volume corrected) for the hippocampus, which is a primary interest anatomical structure with a narrow section diameter.

3. Results

3.1. Behavioral results

The study follow-up had a mean duration of 23 months (SD, 2 months). The clinical status of five participants changed from cognitively stable to MCI and 2 more from cognitively stable to dementia. Therefore, 26% of cases (n = 7) in our sample showed clinical evidence of cognitive impairment progression in a period of approximately 2 years. In the whole group, score change for the selected cognitive tests was not significant, except for constructional apraxia assessment with Block Construction (Table 1).

3.2. Imaging results

Global brain volumes were measured to assess potential changes indicating a general effect over time on brain tissue. The brain volume at baseline in the follow-up sample was (mean ± SD) 995 ± 88 ml and the CSF space volume 267 ± 49 ml. No significant change was identified for these measurements after the follow-up. At the end of the study, the mean brain volume was 1000 ± 81 ml (paired t = 0.6; p = 0.548) and the mean CSF volume 269 ± 44 ml (paired t = 0.3; p = 0.772). Such an absence of general effects conforms more anatomical specificity to the findings obtained in the regional analysis below.

In the regional (VBM) analysis, significant gray matter volume reduction over time in the whole DS sample was identified in a region located at the basal forebrain and ventral aspect of the basal ganglia involving the substantia innominata, in the right orbitofrontal cortex and in the lateral aspect of the right temporal lobe (Fig. 1 and Table 2). Changes in the homologous temporal lobe region in the left hemisphere were not significant, although volume reduction was present at a lower cluster size threshold (Table 2). Significant white matter volume reduction was identified in a region implicating the right hippocampus and in the left arcuate fasciculus (Fig. 1 and Table 2).

DS individuals with cognitive impairment progression showed significant gray matter volume reduction compared with the remaining sample also in the region of the substantia innominata, and in the left hippocampus (Fig. 2 and Table 2). Albeit less extensive, volume reduction in the substantia innominata region notably overlapped with changes in the whole sample. Fig. 1 shows a box-plot of volume reduction in individuals with and without cognitive impairment progression at the brain coordinate showing the largest (peak) volume reduction in the whole sample.

In the analysis of correlations between anatomical and cognitive longitudinal change, the following results were observed: a correlation between memory score reduction and gray matter volume reduction in a small portion of the left hippocampus and amygdala. A correlation between verbal comprehension score reduction and gray matter volume reduction within the Wernicke area (and related auditory cortex) and volume reduction in part of the left arcuate fasciculus. A correlation between prospective memory score reduction and both gray and white matter volume reduction in an extensive portion of the prefrontal lobe bilaterally, bilateral gray matter volume reduction in the paracentral lobule, and bilateral white matter volume reduction involving the inferior temporal cortex and the hippocampus (Fig. 3 and Table 2).

All these associations were observed in the expected positive direction (i.e., tissue volume reduction in parallel with cognitive score
In addition, the analysis generated two results in the opposite direction involving a negative correlation between memory score change and volume change in the right thalamus, and between object recognition score change and volume change in white matter adjacent to the left caudate nucleus (Table 2). Finally, all the analyses were repeated adjusting by sex. We found no relevant sex effects (Table 2).

Table 2
Regional brain volume change and correlation with change in cognitive scores.

| Volume reduction | Number of voxels (ml) | x y z, coordinates (mm) | t  | t’  | Adj. sex |
|------------------|-----------------------|-------------------------|----|-----|----------|
| Whole sample     |                       |                         |    |     |          |
| Substantia Innominata Region (gray matter) | 2358 (8.0) | 1.5–10.5 | 5.9 | 5.9 |
| R Lateral Temporal Cortex (gray matter) | 573 (1.9) | 49.5–18 – 10.5 | 4.3 | 4.2 |
| L Lateral Temporal Cortex (gray matter) | 316 (1.1) | –63 – 18 – 6 | 4.0 | 3.9 |
| R Orbitofrontal Cortex (gray matter) | 550 (1.9) | 19.5–37.5–21 | 5.4 | 5.3 |
| R Hippocampus Region (white matter) | 1375 (4.6) | 27–33 – 6 | 5.0 | 4.9 |
| L Arcuate Fasciculus (white matter) | 1314 (4.4) | –43.5–30–7.5 | 5.8 | 5.8 |

Cognitive impairment progression group > remaining sample
| Number of voxels (ml) | x y z, coordinates (mm) | t  | t’  | Adj. sex |
|-----------------------|-------------------------|----|-----|----------|
| Substantia Innominata Region (gray matter) | 907 (3.1) | 0–1.5–10.5 | 4.8 | 5.1 |
| L Hippocampus (gray matter) | 365 (1.2) | –30 – 25.5–13.5 | 4.1 | 4.1 |

Correlation between change in volume and change in cognitive score
| Memory-Word List Learning | Number of voxels (ml) | x y z, coordinates (mm) | t  | t’  | Adj. sex |
|---------------------------|-----------------------|-------------------------|----|-----|----------|
| Substantia Innominata Amygdala (gray matter) | 444 (1.5) | –25.5–25.5–10.5 | 3.9 | 3.5 |
| L Thalamus (gray matter) | 665 (2.4) | 10.5–16.5–1.5 | –4.2 | –3.9 |
| Verbal Comprehension     |                       |                         |    |     |          |
| L Wernicke Area (gray matter) | 759 (2.6) | –49.5 – 15 – 10.5 | 4.0 | 4.0 |
| L Arcuate Fasciculus (white matter) | 1462 (4.7) | –36 0 30 | 4.0 | 3.5 |

Object Recognition
| Number of voxels (ml) | x y z, coordinates (mm) | t  | t’  | Adj. sex |
|-----------------------|-------------------------|----|-----|----------|
| L Caudate Nucleus (adjacent white matter) | 1591 (5.4) | –12 18 1.5 | –4.7 | –4.4 |

Executive Function-Prospective Memory
| Number of voxels (ml) | x y z, coordinates (mm) | t  | t’  | Adj. sex |
|-----------------------|-------------------------|----|-----|----------|
| L Prefrontal (gray matter) | 6578 (22.2) | –25.5 6 37.5 | 5.3 | 5.2 |
| R Prefrontal (gray matter) | 2773 (9.4) | 39 49.5 22.5 | 4.3 | 4.2 |
| Paracentral Lobule (gray matter) | 4850 (16.4) | 12–15 70.5 | 4.5 | 4.4 |
| L Prefrontal (white matter) | 5154 (17.4) | –19.5 16.5 24 | 6.5 | 6.3 |
| R Prefrontal (white matter) | 6478 (21.9) | 24 31.5 18 | 6.9 | 7.1 |
| L Inferior Temp/Hippocampus (white matter) | 1019 (3.4) | –28.5 – 18 – 13.5 | 3.8 | 3.7 |
| R Inferior Temp/Hippocampus (white matter) | 2079 (7.0) | 39–28.5–21 | 3.9 | 3.9 |

x y z, coordinates (mm) given in Montreal Neurological Institute (MNI) space. Statistics at corrected threshold \( P_{\text{FWE}} < 0.05 \) estimated using Monte Carlo simulations.
- Adjusted by sex.
- Subthreshold.
4. Discussion

Despite challenges inherent to longitudinal assessments particularly in individuals with a degree of intellectual disability, our study shows that the identification of brain anatomical changes preceding dementia in DS is feasible. Significant volume reduction after two years was identified in the substantia innominata region of the basal forebrain, the hippocampus, the lateral temporal cortex and the left arcuate fasciculus. Volume reduction in the substantia innominata and hippocampus was more prominent in the individuals showing variation in their general cognitive status during this period. Score change in different cognitive domains correlated with anatomical changes in specific brain systems. Of relevance were the associations of longitudinal change in the domain of memory with hippocampal, prospective memory with the prefrontal lobe and verbal comprehension with language-related brain areas.

Neurons of the cholinergic basal forebrain show neurofibrillary tangles in the earliest and presymptomatic stages of Alzheimer’s disease (Theofilas et al., 2015; Mesulam et al., 2004; Mesulam, 2013), and both neurofibrillary tangle accumulation and neuronal loss are parallel to cognitive decline (Mesulam et al., 2004; Liu et al., 2015). The nucleus basalis of Meynert is the major element of the cholinergic complex located in the region of the substantia innominata below the anterior commissure and basal ganglia (Liu et al., 2015). In young people with DS, the nucleus basalis contains fewer neurons than in controls and the difference may accentuate in older individuals (Casanova et al., 1985; Mann et al., 1984) with combined developmental and degenerative changes. In our study, we have established a direct link between basal forebrain volume reduction and cognitive decline in the early stages of dementia in DS. Thus, basal forebrain degeneration would also seem to be an optimal marker of progression to dementia in DS.

Hippocampal volumetry is one of the most validated, accessible and widely used biomarkers in Alzheimer’s disease, capable to reliably predicting time-to-progression from mild cognitive impairment to Alzheimer’s dementia (Mak et al., 2017; Jack et al., 2010). In cross-sectional studies, the hippocampus volume is consistently smaller in young DS individuals compared with control subjects. However, volume alteration again is more important in older and demented individuals superimposed on developmental deficiency (Teipel and Hampel, 2006; Beacher et al., 2009; Aylward et al., 1999; Krasuski et al., 2002). Our results indicate that longitudinal assessment of hippocampal volume in DS may sufficiently distinguish the degenerative component from baseline alterations. We are aware of only one longitudinal assessment of brain volumetry published in DS, which precisely focused on the hippocampus. In the follow-up study by Aylward et al. (1999), changes in hippocampus volume over time were not statistically significant for either demented (n = 6) or non-demented (n = 13) DS subjects probably due to the small sample size.

A set of neocortical areas with relevant vulnerability to Alzheimer’s disease neuropathology have collectively been called the cortical signature of the disease based on the fact that cortical thinning relates to symptom severity in the earliest stages (Dickerson et al., 2009) and during clinical progression (Verfaillie et al., 2016), and may even be detectable in asymptomatic individuals approximately a decade prior to dementia (Dickerson et al., 2011). All in all, the main elements of the cortical Alzheimer’s disease signature were the superior prefrontal, inferior parietal and anterior temporal cortices. Previous research has shown that individuals with DS have a significantly greater age-related reduction in volume compared with normal control subjects in regions broadly corresponding to the vulnerable Alzheimer’s disease cortical signature with a particularly large effect in the prefrontal cortex (Beacher et al., 2010). Our study shows a significant association between longitudinal volume change in an extensive portion of the superior aspect of the prefrontal lobe and prospective memory score change.

Prospective memory is a relatively complex task requiring the interaction of mnemonic and executive components to remember intentions after delay and interference. Neuroimaging studies in normal subjects have shown a consistent activation of the prefrontal lobe during prospective memory paradigms (Burgess et al., 2011). Our results suggest that prospective memory could reflect the functional status of the prefrontal lobe during the progression to dementia in DS, despite being one of the most developmentally affected brain structures (Fenoll et al., 2017; Pujol et al., 2015). Longitudinal behavioral studies also support the view that frontal lobe symptoms, in the form of disturbance in executive functioning, are early signs of dementia in DS (reviewed in Dekker et al., 2015).

All in all, cognitive score changes in the selected tests were small. The selection of relatively highly performing individuals may partially explain this event, probably added to some retest effects. Nevertheless, the correlations between cognitive score change and regional volume change showed notable neural system specificity. The only apparently non-consistent findings in our study were the negative correlations between volume change in the thalamus and memory score change, and between the white matter adjacent to the left caudate nucleus and object recognition. We consider that they may correspond to spurious associations, but, paradoxically, larger (Fortea et al., 2010) or relatively preserved (Benzinger et al., 2013) caudate nucleus volumes were also identified in mutation carriers in familial AD prior to dementia.

One challenge in MRI studies in populations with intellectual disability is to avoid excessive head motion during the acquisition. In this sample we used MRI practice sessions with a specifically designed mock scanner to minimize the problem. In addition, we decided to exclude cases with detectable image degradation, as no correction procedure is wholly efficient once the images have been acquired. Although accurate control of head motion effects may be a strength of the study, participant selection is also a limitation. In this context, our findings cannot generalize to all individuals with DS and conclusions should be limited to individuals with no severe or profound disability (Table 1). It is also relevant to note that our sample does not optimally represent the prevalence of dementia and MCI in the assessed age range (40 years old upwards). Both the selection of relatively highly performing individuals...
and the loss of participants entail a degree of limitation for the use of MRI to monitor Down syndrome individuals in clinical practice and clinical trials. A final limitation relates to using a 1.5-T system, as opposed to a 3-T system with higher MRI signal.

In conclusion, volume changes identified in our longitudinal assessment and their associations with cognitive impairment progression are, in general, notably consistent with well-established anatomical changes signaling the progression to dementia in Alzheimer’s disease. Thus, brain involution in the older DS individuals would seem to resemble the degenerative process of Alzheimer’s disease, despite the fact that it occurs in a complex situation with dense baseline pathology and a high potential for interactions between developmental and age-associated changes. Brain systems affected early in Alzheimer’s disease such as the basal forebrain, hippocampus and the prefrontal lobe were selectively affected in DS preceding dementia in our study. Finally, we would also emphasize the bidirectional implications of our findings, by supporting both the potential value of DS as a genetic model of Alzheimer’s neurodegeneration and the view that patients at risk of developing dementia in DS are best candidates to benefit from treatment research in Alzheimer’s disease.

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Potential conflicts of interest

We have no competing interests.

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