Viscous corrections to the resistance of nano-junctions: 
a dispersion relation approach

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It is well known that the viscosity of a homogeneous electron liquid diverges in the limits of zero frequency and zero temperature. A nanojunction breaks translational invariance and necessarily cuts off this divergence. However, the estimate of the ensuing viscosity is far from trivial. Here, we propose an approach based on a Kramers-Kröning dispersion relation, which connects the zero-frequency viscosity, $\eta(0)$, to the high-frequency shear modulus, $\mu_{\infty}$, of the electron liquid via $\eta(0) = \mu_{\infty}\tau$, with $\tau$ the junction-specific momentum relaxation time. By making use of a simple formula derived from time-dependent current-density functional theory we then estimate the many-body contributions to the resistance for an integrable junction potential and find that these viscous effects may be much larger than previously suggested for junctions of low conductance.

I. INTRODUCTION

Viscosity, namely the effect of momentum transfer between adjacent layers of a liquid, is a fundamental concept in both classical and quantum physics \cite{1-3}. In the case of the electron liquid, it was shown by Abrikosov and Khalatnikov (AK) more than fifty years ago that the viscosity of a homogeneous liquid diverges at zero frequency and zero temperature (in this precise order of limits)\cite{4}. The physical reason for this divergence is related to the fact that at zero temperature the quasi-particles close to the Fermi energy are very long-lived and can transport momentum to distances arbitrarily far away from the source of the perturbation. We thus expect that any potential that breaks translational invariance would cut off such divergence giving rise to a finite (albeit possibly large) d.c. viscosity at zero temperature.

The problem has garnered renewed attention in the past few years in the context of transport in nanoscale systems \cite{5}, where it was shown explicitly that the Landauer formula for the single-particle resistance of a nanojunction $R_s = h/(2e^2 \sum_j T_j)$ (the sum is over the eigenchannels of transmission $T_j$) \cite{6}, fails to include certain many-body effects, which cannot be described as single-particle scattering from an effective potential. \cite{7,8}

Within the framework of the time-dependent current density functional theory \cite{9,10}, one clearly sees that such effects arise from the frequency dependence of the exchange-correlation (xc) field. \cite{11} More precisely, one can split the full exchange-correlation potential into a static component, which controls the transmission probability $T_j$ of the Landauer formula, and a dynamical component, which corrects the Landauer formula \cite{11}. Indeed, even though the control of nanoscale junctions at the atomic level is far from being ideal, it is now clear that the Landauer formula computed within the framework of ground-state density-functional theory (DFT), overestimates the measured conductance by at least an order of magnitude in the case of low-conductance structures (e.g., molecular structures), while it provides reasonable agreement in the case of metallic quantum point contacts, which show high-conductance values \cite{12}. Part of this discrepancy has been attributed to errors in determining the position of the energy levels of the system relative to the electro-chemical potential in the leads – errors which in turn are related to self-interaction corrections, discontinuities in the xc potential as a function of particle number, and so on. \cite{13} However, these corrections do not fully solve the discrepancy between theory and experiments: other many-body effects, in particular those related to the viscous nature of the electron liquid, may play an important role.

II. DYNAMICAL CORRECTIONS TO THE RESISTANCE

Time-dependent current density functional theory provides some insight into the physical character of these many-body corrections. Indeed, it was shown that the dynamical corrections to the xc field give rise to a viscous force \cite{9,10}, similar to the ordinary hydrodynamic viscous force, but controlled entirely by electron-electron interactions - the ordinary hydrodynamic viscosity does not explicitly depend on interactions, but relies implicitly on the presence of collisions capable of establishing a local thermodynamic equilibrium \cite{11}. Thus, the resistance in excess of the Landauer formula could be interpreted as the effect of the extra “friction” arising from the xc viscosity. In Ref. \cite{7}, this additional many-body resistance - which was termed “dynamical” precisely because it vanishes in a strictly ground-state formulation of the theory \cite{11} - was estimated (assuming no current density variation in the junction) in terms of the exchange-correlation (xc) viscosity of the liquid \cite{7,8}

$$R_{\text{dyn}} = \frac{\eta_{\text{xc}}(0)}{e^2 S^2} \int \left[ \frac{4}{3} (\partial_x n^{-1})^2 + (\partial_{\perp} n^{-1})^2 \right] d\vec{r}, \quad (2.1)$$
where \( x \) is the direction of current flow through the junction, \( \perp \) represents the transverse directions, \( S \) is the cross-section area of the nano-junction, \( n \) is the ground-state electron density calculated, e.g., from the self-consistent static density functional theory (DFT) with the \( xc \) functional treated within the local-density approximation (LDA), \( \partial_\perp \) and \( \partial_\parallel \) are derivatives along the current flow and perpendicular to it, respectively. Here, \( \eta_{xc}(0) > 0 \) is the zero-frequency \( xc \) viscosity of the homogeneous electron liquid at the average electron density of the junction, and \( \varepsilon \) is the charge of the electron. Thus the dynamical correction increases the total resistance of nano-junctions to \( R_{tot} = R_s + R_{dyn} \) [13]. Notice that the non-uniformity of the electron density is essential to the effect: \( R_{dyn} \) vanishes, as it should, if \( n \) is spatially uniform.

The precise definition of \( \eta_{xc}(0) \) in current density functional theory is [14]

\[
\eta_{xc}(0) = -n^2 \lim_{\omega \to 0} \frac{3m f_{xc,T}(\omega)}{\omega},
\]

where \( f_{xc,T}(\omega) \) is the transverse component of the dynamical exchange-correlation kernel of the homogeneous electron liquid at density \( n \). The kernel itself is defined as the difference between the inverse current-current response functions of the interacting and non-interacting system at the same density. This quantity admits a perturbative expansion in the interaction parameter \( r_s \) of the electron liquid - the average distance between electrons expressed in units of the Bohr radius. Our initial estimates of \( R_{dyn} \) were based on an extrapolated high-density expansion of \( \eta_{xc} \), which gave \( R_{dyn} \), of about 10\% of \( R_s \) for molecular junctions, but considerably smaller for metallic quantum point contacts [7]. Since then, the use of a more accurate expression for \( \eta_{xc} \) as a function of \( r_s \), namely [16]

\[
\eta_{xc} \simeq \frac{\hbar n}{60 r_s^{-3/2} + 80 r_s^{-1} - 40 r_s^{-2/3} + 62 r_s^{-1/3}},
\]

has been shown to produce considerably smaller corrections for the case of two infinite jellium electrodes separated by a vacuum gap. [17] Indeed, this \( \eta_{xc} \) is of the order of \( 10^{-7} \) Joule-sec/m\(^4\) for typical metallic densities such as \( r_s = 3 \) for gold, thus naively suggesting that these dynamical corrections are small under all circumstances.

Before jumping to conclusions, however, it must be noted that the expression \( \eta_{xc} \) is not the appropriate zero-frequency viscosity, as required by Eq. (2.1), but rather was calculated in Ref. [16] under the implicit assumption \( \omega \gg 1/\tau \) where \( \tau \) is the momentum relaxation time for a quasi-particle. This condition is easily satisfied in the homogeneous electron liquid in the limit of zero temperature \( T \), since \( \tau \) (in the absence of impurities) tends to infinity as \( 1/T^2 \). It is certainly not satisfied in a nano-junction where the main limiting factor to the quasi-particle lifetime is the geometric size of the constriction itself. [8] [18] [20] Indeed, it is precisely the geometrical constriction experienced by the electron wave packets as they move into the nano-junction that introduces a short momentum relaxation time [13], which in turn cuts off the divergence of the viscosity of the uniform electron liquid.

We are then led to consider the opposite and physically correct limit of \( \omega \ll 1/\tau \). But here, we run into the problem that the non-uniformity of the electron liquid must be fully taken into account. If, for instance, one calculates the d.c. viscosity of the homogeneous electron liquid, using the techniques developed by AK [1] one obtains (employing the Thomas-Fermi approximation for the screened electron-electron (e-e) interaction)

\[
\eta_{AK} = \frac{\hbar n}{(r_s)^6} \left( \frac{1.813 \times 10^3}{T} \right)^2 \left( \frac{\pi}{8 \sqrt{r_s + \tilde{r}_s} - \frac{\pi}{4} \right) \right)^{-1}.
\]

where \( \tilde{r}_s = \alpha r_s / \pi \) and \( \alpha = (4/9\pi)^{1/3} \simeq 0.521 \). This expression has two major shortcomings. First and foremost, it diverges at low temperature as \( 1/T^2 \), which is the consequence of undisturbed momentum transport by the long-lived quasi-particles of the homogeneous electron liquid to distances arbitrarily far away from the source of perturbation. Even at room temperature \( \eta_{AK} = 1.12 \times 10^4/T^2 \) Joule-sec/m\(^3\) (temperature \( T \) is in Kelvin) for gold (\( r_s = 3 \)), which, if used in Eq. (2.1) would produce unreasonably large values of \( R_{dyn} \). Second, the AK viscosity is conceptually different from the \( xc \) viscosity: it arises from the full current-current response function, not just from the exchange-correlation kernel, and there is no simple way to separate the latter, since the AK calculation is non-perturbative with respect to the electron-electron coupling strength. Instead, in our case, such a separation is essential, since the larger (elastic) part of the resistance is already taken into account exactly by the Landauer formula, and only the \( xc \) kernel contributes to the dynamical (inelastic) correction. Thus, we need a way to “zero-in” on the \( xc \) viscosity (defined formally in terms of the \( xc \) kernel \( f_{xc} \) in Eq. (2.2)) just as we did in Ref. [16] - but now we must go to the opposite regime of \( \omega \ll 1/\tau \).

Equipped with this understanding, we first show that the viscosity of the electron liquid in the nanojunction can be estimated by a dispersion relation approach, which combines information about the high-frequency elastic properties of the interacting electron liquid with the short momentum relaxation time induced by the constriction. We then estimate the dynamical contribution to the resistance of nanostructures from Eq. (2.1) and show that this contribution is relatively small for nearly transparent junctions but becomes sizeable in the limit of zero transmission.

III. DISPERSION RELATION APPROACH

Let us then start by recalling that the viscosity \( \eta(\omega) \) and the shear modulus \( \mu(\omega) \), regarded as functions of frequency, are respectively the imaginary and the real part...
The Kramers-Kröning dispersion relation tells us that zero frequency to zero at “infinite” frequency. Then, the viscosity, \( \eta \), are in a liquid state, and tends to a finite value at zero-frequency much larger than 1/\( \tau \). The viscosity vanishes at zero frequency, since we are in a liquid state, and the momentum relaxation time, \( \tau \), is limited only by electron-electron interactions and therefore diverges with temperature \( T \) as \( 1/T^2 \).

\[ \mu_{\infty} = \mu_s + \mu_{xc, \infty} \]

\[ = \frac{2}{5} n \epsilon_F - n \left[ \frac{14}{15} \epsilon_{xc}(r_s) + \frac{4}{5} \epsilon'_{xc}(r_s) \right] \]

where \( \mu_s = 2n \epsilon_F / 5 \) is the non-interacting shear modulus (arising from Pauli exclusion principle) with \( \epsilon_F \) being the Fermi energy, and the remainder, \( \mu_{xc, \infty} \), is expressed in terms of the correlation energy per particle, \( \epsilon_{xc} \), and its derivative \( \epsilon'_{xc} \) with respect to the electron liquid parameter \( r_s \). In the homogeneous electron liquid the momentum relaxation time, \( \tau \), is limited only by electron-electron interactions and therefore diverges with temperature \( T \) as \( 1/T^2 \).

\[ 1/\tau_{ee} = \frac{\pi}{8 n \epsilon_F} \left( \epsilon_k - \epsilon_F \right)^2 + \frac{(\pi k_B T)^2}{1 + e^{-(\epsilon_k - \epsilon_F)/k_B T}} \xi_3(r_s) \]

where \( \epsilon_k \) is the energy of the quasi-particle. For a typical density of \( r_s = 3, \xi_3(r_s = 3) \approx 0.5 \) and \( \tau_{ee} \approx 5 \times 10^{-7}/T^2 \) Joule-s/m\(^3\), which is in good agreement with \( \eta_{AK} = 1.12 \times 10^4/T^2 \) Joule-s/m\(^3\). The same level of agreement is found for a wide range of \( r_s \) values of typical metallic systems, as shown in Fig. 1. It is also worth stressing that the agreement we find with our dispersion relation approach is extremely good for \( r_s < 4 \) despite the assumptions we have made in reaching Eq. (3.2). The reason why the agreement between the two viscosities decreases with increasing \( r_s \) can be attributed to the fact that Eq. (3.4) for the relaxation time is strictly valid for small values of \( r_s \). These results thus lend strong support to the present approach to compute viscosity in terms of the relaxation time \( \tau \).

IV. AN INTEGRABLE MODEL

Having checked that the dispersion relation (3.2) gives both a qualitative and a quantitative account of the d.c. viscosity we can now proceed to the estimate of the latter in the presence of a nanojunction. We consider the following confining potential (see Fig. 2 for its schematic) which - despite its simplicity - is a reasonable choice to mimic the scattering properties of nanostructures

\[ V(x, y, z) = \frac{A + B(y^2 + z^2)}{\cosh^2(\alpha x)} \]

where \( x \) is along the direction of charge flow. The parameters \( A \) and \( B \) determine the strength of the potential in the longitudinal and transverse direction, respectively, and \( 1/\alpha \) measures the extension of the potential along the \( x \) direction. Here, we are interested to study transport on
a length scale such that $1/\alpha \ll l_{ee} \simeq \sqrt{D/\tau_{ee}}$, with $D$ being the diffusion constant. This simple integrable model allows us to calculate both the elastic momentum relaxation time $\tau_k$, the longitudinal transmission coefficient $T_k$, (defined as the transmission probability for processes which do not change the incident transverse momentum), and the equilibrium density $n(x)$. In the linear-response regime, $\tau_k$ due to boundary scattering from the potential (4.1) can be estimated by employing the generalized Fermi golden rule,

$$\frac{1}{\tau_k} = L_x L^2 \int_{k' \neq k} \frac{dk'}{4\pi^2 \hbar} \delta (\epsilon_{k'} - \epsilon_k) |\langle \phi_k | V(x, y, z) | \psi_{k'} \rangle|^2 (4.2)$$

with $L_x \sim l_{ee}$ along $x$ and $L_\perp$ being the length of the electrodes in the direction perpendicular to $x$. We use $\epsilon_k = \hbar^2 k^2/2m_e$ with $m_e$ being the electron mass. The generalized Fermi golden rule allows us to study the full range of longitudinal transmission for all values $V(x, y, z)$, while in the Born approximation of the Fermi golden rule one could only study longitudinal transmission near unity since its validity is limited for $V(x, y, z) \ll E_F$.

We choose the incident wave-function $\psi_k(x, y, z)$ with three components of initial momentum $k_x, k_y', k_z'$ as a combination of plane-waves as a simple integrable model. Due to its symmetry in the transverse plane, the potential in Eq. (4.1) does not allow for a constant potential (4.1) - for a constant value of $z$ - used in this work to represent the scattering off a nanojunction.

and $\xi = \tanh(\alpha x)$, where $k_y' = (2p + 1)\pi/L_\perp$ and $k_z' = (2p' + 1)\pi/L_\perp$ with $p, p' = 0, \pm 1, \pm 2, \cdots, s = (-1 + \sqrt{1 - 8m_e A/\alpha^2 \hbar^2})/2$ and $F(\beta, \gamma, \delta, z)$ is the hypergeometric function. The normalization factor $N$ is fixed by

$$\int_{-L_{\perp}/2}^{L_{\perp}/2} dx \, |\varphi_{k'}(x)|^2 = N.$$

We separately evaluate $\tau_k^\parallel, \tau_k^\perp$ the longitudinal and transverse relaxation times, respectively, with $1/\tau_k = 1/(\tau_k^\parallel + 1/\tau_k^\perp).$ We include in $\tau_k^\perp$ only those contributions of momentum relaxation which do not change the index of incident transverse channels, while all other processes are included in $\tau_k^\parallel$. Due to its symmetry in the transverse plane, the potential in Eq. (4.1) does not allow for a constant potential (4.1) - for a constant value of $z$ - used in this work to represent the scattering off a nanojunction.

We use the exact scattering state $\varphi_{k'}(x)$ of the one-dimensional potential $1/\cosh^2(\alpha x)$ along the $x$-direction and plane waves with the same boundary conditions as the incident state in the transverse directions. For the transverse wave-functions, plane-waves are a better choice than the harmonic potential eigenstates in the asymptotic region, since in that region the curvature of the harmonic potential becomes infinitesimally small. Surely, the use of plane waves - instead of an exact solution of the Schrödinger equation (4.1) - would seem a limitation of our calculations. However, we stress that the use of an asymptotic state of $\psi_k(x, y, z)$ is also not the right choice in Eq. (1.1), since the asymptotic state is a better approximation only far away from the action of the potential (where $V(x, y, z) = 0$), while $|\langle \phi_k | V(x, y, z) | \psi_{k'} \rangle|$ of Eq. (1.2) is nonzero only for $V(x, y, z) \neq 0$.

The scattered wave-function $\psi_{k'}(x, y, z)$ with momentum $k_x', k_y', k_z'$ is thus

$$\psi_{k'}(x, y, z) = \frac{2}{\sqrt{N} L_\perp} \varphi_{k'}(x) \cos(k_y' y) \cos(k_z' z), (4.4)$$

with

$$\varphi_{k'}(x) = (1 - \xi^2)^{1/2} \exp[-ik_x' x/\alpha - s - ik_y' x/\alpha + s + 1, -ik_x' x/\alpha + 1, (1 - \xi)/2], (4.5)$$

processes in which the $y$ and $z$ components of the incident momentum change simultaneously. For example, if we choose the incident state with $j, j' = 0$, the allowed contributions in $\tau_k^\perp$ come from the channels with indices $p = 1, 2, \cdots, p_c$, $p' = 0$ and $p = 0, p' = 1, 2, \cdots, p_c$, where $p_c$ is the maximum number of allowed transverse channels.

On physical grounds of local thermodynamic equilibrium in the electrodes, we can assume that the incident state is prepared with the lowest transverse momentum component, i.e., $j, j' = 0$. Therefore, for an incident state at the Fermi energy, $k_x = k_F \sqrt{1 - 2(p/k_F L_\perp)^2}$ and $k_y = k_z = \pi/L_\perp$. The longitudinal transmission co-
efficient $T_{k_x}$ along $x$ for the longitudinal momentum $k_x$ of the incident state is calculated from $\varphi_{k_x}(x)$, and is given by

$$T_{k_x} = \frac{\sinh^2(\pi k_x/\alpha)}{\sinh^2(\pi k_x/\alpha) + \cosh^2(\frac{2}{7}\sqrt{8m_eA/\hbar^2\alpha^2} - 1)} \quad (4.6)$$

with $8m_eA/\alpha^2\hbar^2 > 1$. We find after some algebra

$$\frac{1}{\tau_{k_x}^\parallel} = \frac{m_e}{\hbar^2|k_x|} |I(-k_x^0)|^2 \left( A + BL_\perp^2 \frac{(\pi^2 - 6)}{6\pi^2} \right)^2, \quad (4.7)$$

$$\frac{1}{\tau_{k_x}^\perp} = \frac{m_eB^2L_\perp^4}{\pi^4\hbar^4} \sum_{s=\pm1, p=1,2} 1 \frac{(2p + 1)^2}{|k_x'|^4|p|^4(p+1)^4} |I(sk_x')|^2 \quad (4.8)$$

where $I(k_x') = (1/\sqrt{N}) \int_{-L_{\perp}/2}^{L_{\perp}/2} dx e^{-ik_x'x} \varphi_{k_x'}(x)/\cosh^2(\alpha x)$.

The longitudinal and transverse relaxation times are plotted in Fig. 3 for the electron density $r_s = 3$, and typical dimensions of nanoscale junctions, namely $L_x = 20$ nm, $L_\perp = 5$ nm and $1/\alpha = 2$ nm. We always keep the transverse confinement potential strength ($BL_\perp^2 > 55$ eV) at far above the Fermi energy ($\epsilon_F = 5.57$ eV for $r_s = 3$), and tune the height ($A$) of the longitudinal barrier across the Fermi energy to have different values of the transmission coefficient $T_{k_x}$.

Figure 3 confirms our initial hypothesis, namely that the elastic relaxation time is the dominant contribution to the total relaxation time for $|k| = k_F$ and for a wide range of temperatures: $1/\tau = 1/\tau_{\text{rel}} + 1/\tau_{k_x} \approx 1/\tau_{k_x}$. We finally note that if we start with an incoming state with $j, j' \neq 0$, then the incident longitudinal momentum $k_x$ at the Fermi energy is smaller than that of the incident state $j, j' = 0$ we have considered so far. The corresponding longitudinal transmission $T_{k_x}$ is also relatively smaller for the same longitudinal barrier height $A$. Thus, we find a faster longitudinal relaxation and a slower transverse relaxation time at the same barrier height. However, if we plot the total relaxation time as a function of the longitudinal transmission coefficient by reducing the barrier height, we find that the total relaxation time is qualitatively similar as for the $j, j' = 0$ initial state.

We are now ready to estimate the desired viscosity from Eq. (3.2), and from Eq. (2.1) the dynamical corrections to the single-particle resistance. For this we need only the xc component of the shear modulus, since the xc viscosity in the d.c. limit is $\eta_{xc}(0) = \mu_{xc,\infty} \tau_F$. This quantity is plotted in Fig. 4 with $\mu_{xc,\infty}$ given by the second term on the right hand side of Eq. (5.3) and $\tau_F$ evaluated above for different values of $T_{k_x}$.

Finally, since in the present model the transverse variation of the density is small, we evaluate only the longitudinal contribution to $R_{dyn}$ from Eq. (2.1) using the full wavefunction $\psi_k(x,y,z)$ and by defining the planar average

$$\langle n(x) \rangle = \frac{1}{L_{\perp}} \int_{-L_{\perp}/2}^{L_{\perp}/2} \int_{-L_{\perp}/2}^{L_{\perp}/2} dy dz \sum_{k \leq k_F} |\psi_k(x,y,z)|^2 \quad (4.9)$$

with the proper normalization of $\langle n(x) \rangle$ corresponding to the bulk density of $r_s = 3$ deep into the leads. This quantity is plotted in Fig. 5. Introduction of the potential $V(x,y,z)$ induces two effects: one is the reduction of the xc viscosity entering Eq. (2.1) due to fast momentum relaxation, and the other is the increase of the density gradient. These two effects together determine the value of the dynamical resistance corrections in Fig. 5. In agreement with what was previously reported [7], this dynamical resistance is relatively small at large transmissions due to the small variation of the density across the junction. However, it increases substantially at very low transmissions with values that can greatly exceed, for this particular model, the dynamical resistances estimated previously [17].
very good agreement with the estimates obtained using the standard non-perturbative calculation for the homogeneous electron liquid. This approach tremendously simplifies the calculations of the viscosity and allows to estimate this quantity in the presence of a nano-constriction where the momentum relaxation time is dominated by the elastic collisions at the junction. We have then computed the many-body contribution to the resistance of the junction for an integrable potential and found that while this resistance is relatively small for transparent barriers it is substantially higher for low transmission barriers, a fact which goes in the right direction in explaining the well-known (and yet unsolved) discrepancy between theory and experiments in molecular junctions [12].

VI. ACKNOWLEDGEMENT

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