Review

Swallowing evaluation with videofluoroscopy in the paediatric population

Valutazione della funzione deglutitoria in videofluoroscopia nei pazienti pediatrici

G. LO RE, F. VERNUCCIO, M.L. DI VITTORIO, L. SCOPELLITI, A. DI PIAZZA, M.C. TERRANOVA, D. PICONE, C. TUDISCA, S. SALERNO

Section of Radiology Di.Bi.Med., University Hospital “Paolo Giaccone”, Palermo, Italy

SUMMARY

Paediatric swallowing disorders can have several causes, from prematurity and congenital anomalies to gastro-oesophageal reflux and infective or inflammatory pathologies of the upper digestive tract. In neonates, the swallowing process is reflexive and involuntary. Later in infancy, the oral phase comes under voluntary control, while the pharyngeal phase and oesophageal phases remain involuntary. Swallowing difficulties can severely compromise pulmonary health and nutritional intake of paediatric patients. Videofluoroscopic Swallow Study (VFSS) is a radiographic procedure that provides a dynamic view of the swallowing process and is frequently considered to be definitive evaluation for objective assessment of dysphagia in paediatric patients. This review focuses on the different possible aetiologies of paediatric swallowing disorders and related videofluoroscopic swallowing study procedures and appearances.

KEY WORDS: Swallowing disorders • Videofluoroscopic swallowing study • Paediatric • Dysphagia

Introduction

Children are estimated to swallow 600-1,000 times a day. Feeding and swallowing are developmental phenomena involving highly complex interactions that begin in embryologic and foetal periods and continue throughout infancy and early childhood. Swallowing enables saliva and bolus to be propelled from the mouth through the pharynx into the oesophagus. When referring to swallowing, both sensory inputs (as taste, somesthetic sensitivity, oral stereognosis, vibrotactile detection, proprioception, nociception, chemical and thermal sensitivity) and motor outputs (as mastication, respiration and swallowing) are implicated.

“Paediatric dysphagia” is not related to a specific diagnosis but refers to any disturbance of the normal swallow sequence in infants and children, as difficulties in transporting a bolus from the oral cavity to the back of the tongue or moving food into the oesophagus, compromising safety and adequacy of nutritional intake.

Pre- and post-natal development of swallowing mechanisms

Through understanding of the development of feeding and swallowing skills, it is possible to shed light on how and why infants may demonstrate signs of oropharyngeal dysphagia. During embryologic life, between the 4th and the 7th weeks of gestation, many processes relevant to swallowing development take place. After the incorporation of the endoderm of the yolk sac into the embryo to form the primordial gut and rupture...
of pharyngeal membrane to form primitive choanae, separation of oesophagus and trachea from the primitive foregut is essential to avoid liquid aspiration during their passage through oesophagus 11.

Thereafter, the foetal period (from the 9th week of gestation to birth) is characterised by continuous differentiation of tissues and organs 11 and by a dramatic development of swallowing, sucking and oral sensorimotor function; this latter depends from brainstem and cerebral system development and is the fundamental system for correct functioning of the former 5 12.

Sensory cranial nerve input to the brain stem swallowing centre depends on the V, VII, IX and X cranial nerves while primary motor cranial nerve output is provided primarily by the V, VII, IX, X and XII nerves and by the cervical C1-C3 nerves 5. Correct development of cranial nerves is mandatory for adequate swallowing. Myelination of the roots of some cranial nerves is seen during the 20th-24th weeks of gestation, and during the 35th-38th weeks the nervous system matures sufficiently to carry out integrative functions as nipple feeding 11.

Moreover, other cerebral regions are implicated in sensory and motor system development such as the nucleus tractus solitarius, nucleus ambiguous, dorsal motor nucleus, hypoglossal nucleus and cerebral cortex 14.

Foetal swallowing is important to regulate amniotic fluid volume and composition, as well as maturation of the foetal gastrointestinal tract and renal foetal system 5 15. Oral motor skills also develop within a system that changes during post-natal life both in structural growth and neurological control: the successful use of the suckle reflex masters sucking and its coordination with breathing, the child’s motor function (mostly involving his/her tongue) masters the stabilisation of the jaw 16 17.

The swallowing anatomic components of infants are different from adult ones. In the infant, the oral cavity is smaller and teeth have not erupted. We can also typically find a smoother tongue and harder palate. The larynx and hyoid bone are higher in the neck to the oral cavity, while in adults the larynx goes down to a lower area in the neck. The epiglottis is almost attached to the soft palate so that the larynx is open to the nasopharynx 18.

The proper integration of the respiratory and feeding functions is mandatory because during feeding the time left for safe air exchange is reduced, minute ventilation is decreased, exhalation is prolonged and inhalation shortened. Thus, proper maturation and practice of the above functions during the first years of life enhances oral motor patterns, and this latter influences feeding performance 16.

Swallowing requires both voluntary and involuntary actions and can be summed up into four phases (oral, triggering of swallowing reflex, pharyngeal and oesophageal) that involve structures and muscles of the nose, mouth, throat, chest, abdomen and digestive tract 19. The oral phase consists of both preparatory and transit phases. During the preparatory phase, food and/or liquid are prepared in the oral cavity by suckling or mastications in order to form a bolus that, in the transit phase, is moved posteriorly through the oral cavity. During the pharyngeal phase, bolus is transported through the pharynx, and then through the cervical and thoracic oesophagus into the stomach during the oesophageal phase 11 20.

In neonates, the swallowing process is reflexive and involuntary and each of the abovementioned phases may mature at different times and/or rates. Later in infancy, the oral phase is voluntary and triggering of the swallow reflex is generally an involuntary activity, but it can be commanded voluntarily, while the pharyngeal and oesophageal phases remain involuntary 6 11.

A child affected by chronic dysphagia will likely show delayed progression of normal feeding skills, recurrent respiratory disease and, consequently, growth deficiency. Aspiration is one of the abnormalities that may be encountered as an anomaly in the development during post-natal life and consists of passage of ingested material, refluxed contents, or oral secretions through the vocal folds into the lower respiratory tract. Recurrent or chronic aspiration is a serious risk factor in the paediatric population, resulting in infection, chronic lung disease and even death.

The physiological avoidance of aspiration depends not only on anatomical separation of respiratory and digestive tracts in embryologic life, but also on central neural processing. Fluids contacting the laryngeal mucosa evoke laryngeal chemoreflexes 21 resulting in many possible responses such as rapid swallowing, apnoea, laryngeal constriction, hypertension and bradycardia, or cough; as the infant matures the former reflexes (rapid swallowing and apnoea) become less probable, while cough and laryngeal constriction become more prominent 22. However, sex-related differences have been demonstrated between early oral, tongue, pharyngeal and laryngeal motor activities: oral and upper airway skills emerge earlier in females and the latter (pharyngeal and laryngeal movements) are less rhythmic and complete in males throughout the second semester 23.

Paediatric swallowing disorders: aetiology

An altered swallow sequence may compromise safety, efficiency, or adequacy of nutritional intake. Because
swallowing and breathing share a common space in the pharynx, swallowing difficulties can have a bad effect on pulmonary health in addition to impairing nutritional intake. Swallowing disorders occur in approximately 1% of children in the general population. Swallowing disorders in the paediatric population are often different compared to those responsible for adult dysphagia. Many aetiologies should be kept in mind during differential diagnoses (Table I).

Clinical assessment

Before exposing the paediatric patient to radiation during videofluoroscopic swallowing study (VFSS), accurate clinical assessment should be made by taking clinical history, evaluating sensorimotor function of the anatomical structure for swallowing and directly observing the child during a meal.

A clinical evaluation of feeding should involve a speech language pathologist (SLP) with experience in feeding disorders during an individual session or during a clinical group session by a feeding team.

In order to assess different potential causes of paediatric dysphagia, the clinician has to focus on physiological-medical disorders, behavioural disturbances and developmental issues. Medical disorders may be chronic, temporary, or progressive and affect many systems related to swallowing including the respiratory, nervous and/or metabolic systems, digestive tract and craniofacial structures. Behavioural disorders must be considered as a possible contributing cause of dysphagia: the patient may adopt aggressive or unfit behaviour, refuse to be fed or have little motivation to engage in feeding-based activities. The paediatric patient may also develop inadequate skills for swallowing because of privation of correct practice for acquisition of mature skills or as a consequence of a medical or behavioural disorder. Schedule for Oral-Motor Assessment (SOMA) and the Dysphagia Disorder Survey are two of the more common assessment tools that the clinician can use to examine swallowing function in the paediatric population. Nevertheless, it must be said that often clinicians do not use formal assessment tools when evaluating feeding skills in children with suspected dysphagia. Several studies also highlight the inaccuracy of clinical evaluation alone in predicting airway involvement, given that silent aspiration is not uncommon in the paediatric population. When altered swallowing function is suspected in the paediatric patient, instrumental assessment should be requested to confirm the presence of dysphagia and detect aspiration risk.

Videofluoroscopic swallowing study

VFSS is considered to be the best instrumental evaluation for objective swallowing assessment, and not just in paediatric patients.

| Table I. Different aetiologies of dysphagia. |
|-----------------------------------------------|
| Causes of oropharyngeal dysphagia               |
| Neurological diseases (34.9%)                  |
| Motor neuron disease; myopathy; birth asphyxia; cerebral palsy; microcephaly; periventricular leukomalacia |
| Infective/flogistic pathologies                |
| Neurosyphilis; herpetic meningoencephalitis; congenital cytomegalovirus infection; dermatomyositis; epiglottitis |
| Structural disorders (congenital or acquired)  |
| Restricted lingual frenulum; cleft lip/palate; choanal atresia or stenosis (e.g. Charge syndrome); goitre; caustic injuries |
| Causes of esophageal dysphagia                  |
| Motility disorders                             |
| Achalasia; scleroderma; diffuse oesophageal spasm |
| Intrinsic structural disorders                 |
| Diverticula; stenosis; oesophageal plications   |
| Extrinsic structural disorders                 |
| Vertebral anomalies; foreign body: mediastinal lesions |
| Oesophagitis                                   |
| Herpes-simplex virus; Candida; gastro-oesophageal reflux disease; Crohn’s disease; eosinophilic oesophagitis; caustic agents |
| Causes related to prematurity (10-49%)          |
| Low gestational age at birth; low birth weight; comorbidities associated with prematurity |
| Cardio-respiratory diseases                    |
| Broncho-pulmonary dysplasia; laryngo-/tracheo-/bronchomalacia; cyanotic and acyanotic heart defects |
| Iatrogenic complications                       |
| Tracheostomy; feeding tube; respiratory support |
It allows concurrent visualisation of the oral, pharyngeal and oesophageal stages of swallowing and is essential to confirm airway protection adequacy and exclude swallowing dysfunction after clinical evaluation of feeding.

Therefore, VFSS provides crucial diagnostic information and leads to a reduction in chest infections risk by detecting clinically “silent” tracheal aspiration (aspiration before, during, or after swallowing in the absence of cough or visible signs of choking), especially in neurologically-based feeding disorders.

Thus, the indications for VFSS in the paediatric patient comprise:

- observation of oral preparatory, oral transit, pharyngeal and/or oesophageal phases of swallowing;
- patient hostility towards endoscopic examination;
- suspected or diagnosed anatomical anomalies of nasal cavities, oropharyngeal tract or upper oesophageal structures that are a hindrance to endoscopic evaluation;
- suspected swallowing disorder as a contributory cause of a persistent feeding refusal or a respiratory disorder;
- planning treatment to improve swallowing efficiency and reduce the risk of aspiration.

Contraindications for VFSS include:

- patients who have never fed orally;
- impossibility to adopt correct posture during the exam because of medical instability, agitation or lethargy;
- allergies to barium/iodine contrast;
- patient who cannot be transferred to the radiology department.

Another commonly used instrumental evaluation of swallowing for paediatric patients is Fiberoptic Endoscopic Evaluation of Swallowing (FEES), which a sensory testing of laryngeal adductor response (LAR) can be added to (Fiberoptic Endoscopic Evaluation of Swallowing and Sensory test or FEESST).

During FEES an endoscope allows observation of dynamical changes of the larynx and pharynx during the pharyngeal phase of swallowing and passage of bolus. FEES can be performed at the bedside and repeated in a brief period and in different clinical conditions, so that it should be considered a very valuable instrumental method in follow-up.

FEES, on the other hand, allows assessment of the pharyngeal phase only and make indirect considerations about the oesophageal and oral phases; it is only acceptable for either very young children or for older cooperating children and is not very helpful to assess repeated swallowing.

Therefore, the question about whether VFSS can be considered as the gold standard to assess swallowing disorders is still open. Studies have shown that both VFSS and FEES have comparable sensitivity, specificity and predictive abilities, and a valuable approach may include both examinations as complementary, when available.

**Practical and radiological technique**

VFSS is a fast radiographic procedure. During the exam, barium contrast agents (administered at various consistencies – from solid to liquid – according to the situation) or, if necessary, hydrosoluble no-ionic iodated agents are transported in the oro-pharyngeal cavity and oesophagus, and the sequential phases of this passage are captured in real time using fluoroscopy.

An optimal approach to the patient can be achieved thanks to multidisciplinary management of the procedure by radiologist, radiographer and deglutologist.

Families have to be prepared for what to expect from the procedure, and advised that for best execution of the exam and cooperation of the children, it is advisable to bring appetising foods to be mixed with the contrast agent, familiar utensils and a seating system that children usually use during meals.

Moreover, in the radiology department there should be a child-friendly environment, such as a fluoroscopy room with visual distracters (toys, boxes of rewards) and a familiar caregiver.

At present there is not a unique protocol for VFSS in infants, since the procedure is strongly influenced by individual medical conditions, feeding modality, preferred food consistencies, age and size of the paediatric patient.

Regarding the question of lack of a unique VFSS protocol, in 2013 the International Dysphagia Diet Standardisation Initiative (IDDSI) was founded to develop globally standardised terminology to refer to thickened liquids and texture modified foods used for patients of all ages affected by dysphagia.

During a consensus meeting, a first group of descriptors of texture and flow behaviour were developed to propose a framework to > 3,100 people in 57 countries around the world, obtaining positive feedback. The final IDDSI framework consists of levels from 0 to 7 including both liquids and foods on a continuum and every level is identified by a number, colour codes and a text label. Level 1 (slightly thick liquids) has particular utility for paediatric patients, even if it cannot be always available in all healthcare.

However, during VFSS barium sulphate powder is usu-
ally mixed with different textures of liquid, semi-solid and solid food (as cookies or crackers) and administered to the patient. As some authors suggest\(^1\), one-half cup of thin barium can be mixed either with 1½ teaspoons of thickener to obtain a nectar consistency or with 1½ tablespoons thickener to create a honey-like texture. Density of barium sulfate suspension is often expressed in a weight/weight (w/w) ratio, which indicates the number of grams of active ingredient per 100 g of product; otherwise, it is expressed in a weight/volume (w/v) ratio which expresses the number of grams of active ingredient per 100 mL of product\(^49\). Varibar thin liquid (40% w/v, after reconstitution; E-Z-EM Inc., Westbury, NY) and E-Z-HD (98% w/w; E-Z-EM Inc., Westbury, NY) are barium sulphate suspensions commonly used for VFSS.

Even though nectar and honey-like consistencies can be created using thickener, several barium sulfate suspensions are commercially available such as Varibar\(^\circledR\) Thin Liquid, Varibar\(^\circledR\) Nectar, Varibar\(^\circledR\) Honey, Varibar\(^\circledR\) Pudding\(^49\). For infants (0-1 year), some authors\(^38\) state that the examination should start with liquids, as this texture often results to be the prevailing one in an infants' diet, and disparate type of nipples with different flows can be used\(^1\)\(^50\).

In patients older than 1 year, it is possible to previously evaluate their food and drink preferences\(^1\). However, the use of the patient's favourite food mixed with barium may facilitate cooperation to accept other types of food, resulting in a wider range of information. Finally, after having started the study, the radiologist and the SLP may change the volume and viscosity of the barium texture on the basis of patient’s symptoms and signs detected\(^37\).

The patient should not chew gum or eat for several hours prior to VFSS\(^51\) and if the child cannot autonomously feed because of a gastrostomy or nasogastric tube (NG tube), it is recommended\(^37\) to take small tastes of the foods for 1-2 weeks prior to the VFSS. When a NG tube is in place, its removal is not necessary in most cases, as swallowing evaluation can be performed anyway\(^52\) and having the tube repassed is a traumatic manoeuvre for the paediatric patient\(^37\).

Cleft lip and/or palate patients require adequate feeding methods during the VFSS. A special need feeder is a one-way valve bottle designed for infants who have sucking difficulties: it is activated by compression movements alone, so the cleft lip and/or palate patient can overcome the obstacle of sucking dysfunction during feeding\(^1\).

During VFSS, the presence of a family member who feeds the paediatric patient should be recommended, especially in infants, using child preferred utensils, like the baby’s own feeding bottle, thus contributing to make the patient seat in a friendly feeding position to achieve the optimal conditions for VFSS\(^30\).

During the procedure, patient positioning depends on his/her size, age and medical conditions\(^38\). Babies, infants and children up to 3 years should be seated in usual position in their own wheelchair or a preformed seat with secure straps mounted on the X-ray equipment. When the child size exceeds preformed seat dimensions, as the fluoroscopy table is vertically positioned, the patient can sit on a step set on the lower side of the table\(^37\)\(^51\).

The child has to be primarily positioned in the lateral view to assess oro-pharyngeal cavity, larynx and cervical oesophageal region. The radiologist activates the fluoroscope for few seconds prior to the administration of barium contrast-impregnated food or liquid and keeps it on as long as the bolus reaches the cervical region of oesophagus\(^45\). During the oral phase, the radiologist must assess bolus containment before the swallow (Fig. 1), the rhythmicity of jaw movements and coordination of tongue movements.

The lips, nasal cavity, cervical spine column and pharyngo-oesophageal segments are, respectively, in the anterior, superior, posterior and inferior limits of the field of view\(^53\)\(^54\).

The Antero-Posterior (A-P) view is not always routinely obtained by clinicians, since the diagnostic contribution

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**Fig. 1.** Videofluorography lateral view in a 10-year-old patient. During the oral phase, a leakage of barium in the oesophagus (arrow) indicates inadequacy of bolus containment.
made by an A-P view essentially concerns assessment of structural and functional symmetry and detection of unilateral abnormalities of the pharyngeal wall, as is the case with pharyngeal paresis or paralysis. Milliampere (mA) and kilovolt (kV) settings are typically dependent on the patient’s age, height and weight. For a 6-month-old to 5-year-old child, the usual mA and kV settings are 58-60 kVp with 1-1.1 mA, while for a 10-year-old patient these are 62 kVp and 1.5 mA. As several authors state, using a pulse rate of 30 pulses/sec is essential to detect rapid aspiration and to recognise any bolus flow event related to the oropharyngeal phase of swallowing (Fig. 2).

Interpreting results
During VFSS, assessment of swallowing consists in observing the orally preparatory, oral transit, pharyngeal and oesophageal phases. Bolus formed during orally preparatory phase is held inside the oral cavity and does not move into the open larynx thanks to the base of the tongue and soft palate which close the oral cavity posteriorly.

During the oral transit phase, an anterior-to-posterior elevation of tongue push the bolus posteriorly toward the pharynx, so that pharyngeal reflex is triggered. Larynx closes by contraction of the aryepiglottic folds.

The pharyngeal phase takes place in less than a second and begins when bolus passes through the anterior faucial arch and reaches the posterior pharyngeal wall; bolus is then pushed toward the cricopharyngeal sphincter by contraction of pharyngeal constrictor muscles. Spill of bolus into the nasopharynx is prevented by elevation of the soft palate and larynx closes true and false vocal cords and aryepiglottic folds to block the way to trachea. As the oesophageal phase begins, the cricopharyngeal muscle relaxes and bolus moves through cervical and thoracic oesophagus and into the stomach thanks to oesophageal peristalsis.

Deterioration in swallowing function can be demonstrated by several abnormalities such as delay in the initiation of the swallowing reflex, residue of contrast-impregnated food and liquid, epiglottal undercoating, penetration and aspiration.

The presence of aspiration is characterised by the entry of ingested material below the level of the true vocal folds into the trachea and if aspiration occurs, the material can enter the airway before, during and/or after the pharyngeal swallow. When the bolus blocks the patency of the airways a chocking event occurs, exposing infants to a life-threatening condition. Penetration is present when bolus material enters the laryngeal vestibule down to the level of the true vocal folds, but it does not cross the vocal folds (Fig. 3).
The Penetration-Aspiration Scale \textsuperscript{59} is a widely employed interval scale for a reliable quantification of penetration and aspiration events observed during VFSS. The final 8-point version of the scale is multidimensional since several types of behaviours are evaluated. Contrast-material not entering the airway is scored 1, while penetration can be scored from 2 to 5. Score 2 is given if contrast material remains above the vocal folds but no residue is visible, while score 3 is given if visible residue remains. If contrast material contacts the vocal folds but is ejected from the airway penetration this is scored 4, while if there is no ejection of material and residue is visible, penetration is scored 5.

Aspiration is a more severe event than penetration: it can be scored from 6 to 8 according to whether aspirated material is partially or totally expelled from the airway (score 6), subglottic residue is visible despite the patient’s effort (score 7) or aspiration occurs without the patient’s attempt to expel contrast material (score 8).

Another abnormality seen on VFSS is epiglottic undercoating which occurs when material penetrates underneath the epiglottis above the laryngeal vestibule \textsuperscript{37}. Deteriorated swallowing can be also displayed by a swallow reflex delayed more than 1 sec \textsuperscript{37}.

On VFSS some patients show a normal swallowing process in the first few swallows but, as feeding progresses, abnormalities appear. On the other hand, certain patients may have greater difficulty during first few swallows and, as they become more organised, improve their function with additional swallows. Thus, during the procedure, multiple swallows have always to be examined \textsuperscript{60}. If during basic examination no symptoms appear, provocative manoeuvres can be used to evoke swallowing abnormalities, always with caution, such as body position change, always keeping in mind the patient’s individual history. Protective and therapeutic manoeuvres, such as modifications regarding neck or body position, are available to prevent aspiration and limit considerable risks deriving from a sudden inability to breathe \textsuperscript{37}.

VFSS must be rapidly aborted when severe aspiration occurs, oxygen level saturation drops, or if the child does not respond to protective or therapeutic manoeuvres \textsuperscript{37,51}. The procedure should end after having achieved all the goals of the study, trying to minimize the radiation exposure with the maximum level of clinical and radiological results \textsuperscript{45,61}.

Radioprotection issues

Videofluoroscopic analysis of swallowing is considered to be the best instrumental evaluation to objectively assess swallowing function after clinical feeding, confirming airway protection adequacy during the event \textsuperscript{30}. However, there are limitations to the procedure such as cost, time constraints and, mostly, radiation exposure.

Although the radiation dose from VFSS is relatively low, between 0.2 and 0.85 mSv \textsuperscript{62-66} (for a chest x-ray acquired in P-A the patient receives a radiation dose of 0.02 mSv) \textsuperscript{67} any radiation from medical tests must be minimised to comply with the “As Low As Reasonably Achievable” principle \textsuperscript{68}. This is particularly true in the paediatric population. Long-term effects of radiation are increasingly acknowledged, particularly in infants, since adverse effects of radiation exposure are known to be age-dependent: children are more sensitive to radiation-induced cancer than adults and the radiogenic risk of developing a radiation-related cancer is 2-3 times higher for a young child compared with an adult exposed to an identical radiation dose \textsuperscript{69,71}. Therefore, optimisation of the procedure is important to reduce the dose using registration or fluoroscopy with low exposure data, if possible, due to intrinsic high contrast differences between barium and soft tissue. Also, specific age, weight protocols and diagnostic reference levels should be set within each department for the different ages of patients \textsuperscript{72-76}. In order to maintain a low dose, the radiologist should make the timing of the fluoroscopy coincide with the oral and pharyngeal phases of swallowing. In VFSS, fluoroscopy time has been shown to be highly correlated with kerma area product (KAP) values and is known as a practical tool for monitoring patient radiation dose \textsuperscript{77}. Guidelines have been adopted to limit radiation exposure times, but multiple variables may influence the duration of the exam. In particular, factors influencing radiation exposure time in VFSS include medical diagnosis category, swallowing impairment severity, the clinician’s experience and use of a standardised protocol.

Conclusions

Feeding and swallowing disorders present in different manners and the underlying aetiology may be difficult to determine. An evaluation of clinical history and physical examination may screen some abnormalities, but often do not provide help in identifying the underlying cause of feeding and swallowing disorders. VFSS is considered to be the best instrumental evaluation for complete assessment from the oral to pharyngeal and oesophageal phases. In addition, the procedure strongly contributes to reducing the risk of chest infections by detecting clinically “silent” tracheal aspiration.
However, behavioural, structural and physiological disorders often coexist, complicating diagnosis and management. For this reason, a multidisciplinary approach to diagnosis and management is helpful.

Conflict of interest statement
None declared.

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**Address for correspondence:** Chiara Tudisca, Section of Radiology Di.Bi.Med., University Hospital “Paolo Giaccone”, via del Vespro 127, 90127 Palermo, Italy. Tel. +39 091 238657254. Fax +39 091 9552924. E-mail: chiaratudisca@gmail.com

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