Influence of vegetation on occurrence and time distributions of regional new aerosol particle formation and growth

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Abstract. Occurrence frequency ($f_{NPF}$) of regional atmospheric new aerosol particle formation (NPF) and consecutive growth events were studied with respect to vegetation activity, aerosol properties, air pollutants and meteorological data in Budapest over the time interval of 2008–2018. The data set evaluated contained results of in situ measurements on land surface mostly performed at the Budapest platform for Aerosol Research and Training Laboratory, of satellite-based products recorded by MODIS on Terra and of modelled vegetation emission-related properties from an advanced regional biogeochemical model. Annual mean relative occurrence frequencies were considerable (with an overall mean of 21 %), remained at a constant level (with an overall SD of 5 %) and did not exhibit tendentious change over the years. The shape of the distributions of monthly mean $f_{NPF}$ exhibited large variability from year to year, while the overall distribution already possessed a characteristic pattern. This structure of the NPF occurrence distributions was compared to those of environmental variables including concentrations of gas-phase H$_2$SO$_4$, SO$_2$, O$_3$, NO, NO$_2$, CO, PM$_{10}$ mass and NH$_3$, of particle numbers in the size fractions of 6–1000, 6–100 and 100–1000 nm, condensation sink, air temperature ($T$), relative humidity, wind speed (WS), atmospheric pressure, global solar radiation, gross primary production of vegetation, leaf area index and stomatal conductance. There were no evident systematic similarities between $f_{NPF}$ on one hand and all variables on the other hand, except for H$_2$SO$_4$ and perhaps NH$_3$. The spring maximum in the NPF occurrence frequency distribution often overlapped with the time intervals of positive $T$ anomaly on vegetated territories. The link between the potential heat stress exerted on plants in sultry summer intervals and the summer $f_{NPF}$ minimum could not be proved. The relevance of environmental variables was assessed by their ratios on NPF event day and on non-event days. Gas-phase H$_2$SO$_4$ concentration showed the largest monthly ratios, followed by O$_3$. The
WS, biogenic precursor gases and SO2 can generally favour NPF events although their influence seemed to be constrained. Association between the fNPF and vegetation growth dynamics was clearly identified.

1 Introduction and objectives

New aerosol particle formation (NPF) from atmospheric vapours and consecutive particle diameter growth events (Kulmala et al., 2014) were observed in all major continental environments in the world (e.g. Kerminen et al., 2018; Nieminen et al., 2018 and references therein). Their relevance for global aerosol burden, climate system and health risk issues are increasingly recognised (Spracklen et al., 2006; Makkonen et al., 2009, 2012; Merikanto et al., 2009; Yue et al., 2010; Sihto et al., 2011; Kerminen et al., 2012; Carslaw et al., 2013; Braakhuis et al., 2014; Salma et al., 2015; Dunne et al., 2016; Gordon et al., 2016; Ohlwein et al., 2019).

Occurrence of NPF events is one of the fundamental properties of the phenomenon. The main circumstances influencing the regional NPF occurrence involve atmospheric chemical composition together with concentration and size distribution of aerosol particles, photochemical processes and meteorological properties (Kulmala et al., 2014). Some precursor compounds and their oxidation products such as SO2 and H2SO4, volatile organic compounds (VOCs) and extremely low volatility organic compounds (ELVOCs) or highly oxygenated molecules (HOMs), NH3 or amines, iodine oxides and HIO3 were shown to influence NPF events (O’Dowd et al., 2002; Sipilä et al., 2010, 2016; Metzger et al., 2010; Kirkby et al., 2011, 2016; Riihinen et al., 2011; Almeida et al., 2013; Donahue et al., 2013; Schobesberger et al., 2013; Ehn et al., 2014; Riccobono et al., 2014; Jokinen et al., 2015; Bianchi et al., 2016; Tröstl et al., 2016; Yao et al., 2018; Kürten, 2019; He et al., 2020). Further chemical species such as isoprene or NO2 showed inhibiting effects (Kiendler-Scharr et al., 2009; Lehtipalo et al., 2016; Heinritzi et al., 2020; Simon et al., 2020). It was pointed out that NPF can proceed from HOMs alone when it is assisted by air ions (Kirkby et al., 2016). These conclusions were derived mostly from environmental or plant atmosphere chamber experiments. Meteorological parameters such as solar radiation, air temperature (T), water vapour content or relative humidity (RH), wind speed (WS), boundary mixing layer height can also favour or depress NPF events (Birmili and Wiedensohler 2000; Hamed et al., 2011; Hirsikkko et al., 2012; Jun et al., 2014; Dada et al., 2017). The actual occurrence may also be associated with some limiting or triggering atmospheric processes in the region (Manninen et al., 2010; Dall'Osto et al., 2013).
Galactic cosmic rays do not seem to contribute extensively to the overall nucleation under ordinary environmental conditions (e.g. in the presence of atmospheric chemical bases or HOMs) and particularly in the lower troposphere (Kirkby et al., 2011; 2016; Almeida et al., 2013; Riccobono et al., 2014; Dunne et al., 2016). As a consequence of all these factors, NPF events happen with a varying frequency in space and time.

The annual relative occurrence frequency of NPF events is typically between 10% and 40% for many geographical regions (Brines et al., 2015; Xiao et al., 2015; Kerminen et al., 2018; Nieminen et al., 2018; Bousiotis et al., 2019; Lee et al., 2019). The frequency changes substantially over a year since most multifactorial conditions and the complex interplay among the environmental variables influencing it have prominent seasonal variation (Tunved et al., 2006). Many studies reported spring or summer maximum (Qian et al., 2007; Wu et al., 2007; Meija and Morawska, 2009; Manninen et al., 2010; Salma et al., 2011; Vakkari et al., 2011; Hirsikko et al., 2012; Nieminen et al., 2014; Dall’Osto et al., 2018). This is, however, not universal, and the order of the seasons can vary among individual territories. Reliable experimental determination of the annual and monthly mean frequencies of regional NPF require several-year-long semi-continuous measurements since the occurrence can be influenced by inter-annual differences in chemical, aerosol and meteorological properties and in biogenic cycling.

It was also attempted to predict the distributions of the monthly mean occurrence frequency by combining the effects of environmental variables which can be derived from routine atmospheric measurements (e.g. Clement et al., 2001; Boy and Kulmala, 2002; Mikkonen et al., 2006). Conclusive prognostic or explanatory methods could not be, however, achieved (Kerminen et al., 2018). This also means that the driving factors of NPF occurrence and their time variation have remained largely unidentified, poorly understood and unexplained. The lack of this knowledge and experimental information also hinders our understanding the role of anthropogenic activities in related societal issues of aerosol particles such as their climate and health effects.

Several-year-long, semi-continuous, critically evaluated and complex atmospheric data sets are available for the Budapest area. The major objectives of this study are 1) to determine and discuss the annual mean relative occurrence frequency and the distributions of monthly mean frequency of NPF events in Budapest for seven full measurement years in 2008–2018, 2) to
investigate and explain the changes in the shape of the distributions and their annual mean with respect to basic meteorological data, criteria air pollutants and other environmental factors including vegetation-related variables and 3) to evaluate and interpret the effects of vegetation on NPF occurrence together with the incidence between them. The involvement of the vegetation-related factors into the ambient NPF and their combination with the environmental influencing properties represent a noteworthy novelty in the research field. The present survey also prepares the full exploitation of the data base by advanced multi-variate statistical methods.

2 Data sets

The following environmental variables were considered in the study: number of NPF event days and non-event days, particle number concentrations in the diameter ranges from 6 to 1000 nm (N), from 6 to 100 nm (N6–100) and from 100 to 1000 nm (N100–1000), concentrations of gas-phase H2SO4, SO2, O3, NO, NOx, NO2, CO, PM10 mass and NH3, condensation sink (CS), T, RH, WS, atmospheric pressure (P), global solar radiation (GRad), gross primary production (GPP) of vegetation, leaf area index (LAI), stomatal conductance (SCT) and characteristics of vegetation growth dynamics such as start of spring (SoS) and green-up duration (GuD). Most variables were determined experimentally, while the variables (last five properties) related to vegetation were derived from an advanced regional biogeochemical model or from satellite-based vegetation products. The data sets actually evaluated in comparisons contained daily median atmospheric concentrations, daily means and standard deviations (SDs) for all variables and daily maximum values for GRad (GRadmax). The individual data were averaged over each month, then separately for NPF event days and non-event day in each month, and finally over all measurement years in the city centre.

The time intervals investigated comprise seven full measurement years, i.e. Y1) from 3 November 2008 to 2 November 2009, Y2) from 19 January 2012 to 18 January 2013, Y3) from 13 November 2013 to 12 November 2014, Y4) from 13 November 2014 to 12 November 2015, Y5) from 13 November 2015 to 12 November 2016, Y6) from 28 January 2017 to 27 January 2018 and Y7) from 28 January 2018 to 27 January 2019. In Sect. 3.5, we also included the NPF occurrence data for the last full measurement year completed, i.e. from 28 January 2019 to 27 January 2020 (Y8). Our specific purpose by adding this year was to improve the statistics in studying the effect of vegetation on NPF events. Local time (LT=UTC+1 or daylight-saving
time, UTC+2) was chosen as the time base of the data because it had been observed that the daily activity time pattern of inhabitants largely influences many atmospheric processes in cities (Salma et al., 2014; Sun et al., 2019; Mikkonen et al., 2020).

2.1 Experimental data and their treatment

The concentrations \( N \), \( N_{6-100} \) and \( N_{100-1000} \) were determined by a flow-switching type differential mobility particle sizer (DMPS; Salma et al., 2011, 2016b). Its main components include a radioactive \(^{60}\)Ni bipolar charger, a Nafion semi-permeable membrane dryer, a 28-cm long Vienna-type differential mobility analyser and a condensation particle counter (TSI, model CPC3775). The measurements were performed in a diameter range from 6 to 1000 nm in the dry state of particles. The system was updated twice during the years, in spring 2013 and winter 2016. The major parts of the DMPS system were cleaned and serviced but remained unchanged. Extensive data validation, calibration or comparative exercises were realised in summer 2015 and in autumn 2019, which yielded acceptable results (Salma et al., 2016a; Mikkonen et al., 2020).

The DMPS data were used for identification and classification of the regional NPF events using daily particle number size distribution surface plots (Dal Maso et al., 2005; refined by Kulmala et al., 2012; Németh et al., 2018). The following main classes were separated: event days, non-event days, undefined days and days with missing data (for more than 4 h during the midday). Relative occurrence frequency of NPF events \( f_{\text{NPF}} \) was determined for each month and year as the ratio of the number of event days to the total number of relevant days within the time interval dealt with. In order to evaluate the timing relationships between vegetation growth and NPF events (Sect. 3.5), the start of the NPF occurrence peak in spring (see later Fig. 2) had to be further refined. This was achieved by considering weekly time scale for determining the occurrence frequency. These data, however, showed larger scatter mainly due to the discrete daily character of NPF events. Therefore, the weekly occurrence frequency data sets for winter and spring were subjected to 1-month smoothing to derive less fluctuating time trends. The start of the NPF occurrence spring peak was set at the date (day of year) of the 20 %-value of the difference between the maximum smoothed spring peak frequency and the mean winter level of weekly frequencies (on the early side of the peak).

The DMPS measurements took place at two urban locations in Budapest, Hungary (Fig. 1). In the measurement year 2012–2013, they were performed in the near-city background, while in...
all other years, they were accomplished in the city centre. The former location is situated at the NW border of Budapest in a wooded area of the Konkoly Astronomical Observatory (N 47° 30' 00", E 18° 57' 47", 478 m above mean sea level, a.m.s.l.) of the Hungarian Academy of Sciences. This site characterises the air masses which enter the city since the prevailing wind direction in the area is NW. The measurements in the city centre were conducted at the Budapest platform for Aerosol Research and Training (BpART) Laboratory (N 47° 28' 30", E 19° 3' 45", 115 m a.m.s.l.) of the Eötvös University (Salma et al., 2016a). It represents a well-mixed average atmospheric environment for the overall city centre.

The concentrations of SO$_2$, O$_3$, NO/NO$_x$/NO$_2$, CO and PM$_{10}$ mass were measured by UV fluorescence (Ysselbach 43C), UV absorption (Ysselbach 49C), chemiluminescence (Thermo 42C), IR absorption (Thermo 48i) and beta-ray attenuation (Thermo FH62-I-R) methods, respectively with a time resolution of 1 h. The data were acquired from the closest measurement station of the National Air Quality Network in Budapest located in 4.5 km from the urban site, and of 6.9 km from the near-city background site in the upwind prevailing direction (Salma and Németh, 2019).
It was previously shown that the NPF events observed in the Budapest ordinarily happen above a larger territory in the Carpathian Basin (Németh and Salma, 2014) as a spatially coherent regional atmospheric phenomenon (Salma et al., 2016b). Local urban NPF events are superimposed on regional events in several occasions, which result in growth curves with multiple or broad onsets. In these cases, the regional events were included in the evaluations. Considering that NPF events in the Carpathian Basin ordinarily extend over larger horizontal scales, long-term concentrations of NH₃, which are available for the K-puszta measurement station, were also accepted in the study. This station (N 46° 57’ 56”, E 19° 32’ 42”, 125 m a.m.s.l.) is situated on the Great Hungarian Plain in a distance of 68 km from the BpART Laboratory, and it is part of the European monitoring and evaluation of the long-range transmission of air pollutants (EMEP) network. The NH₃ concentrations were measured in daily air samples collected by filter pack method on citric acid-impregnated cellulose filter by UV-Vis spectrophotometry according to the EMEP protocol (EMEP Manual, 2002; Horváth et al., 2009).

Most meteorological measurements for the city centre took place on site at a regular station of the Hungarian Meteorological Service (HMS, station no. 12843) in approximately 70 m from the BpART Laboratory. The data of T, RH, WS and P were obtained by standardised meteorological methods (Vaisala HMP45D temperature and humidity probe, Vaisala WAV15A anemometer and Vaisala PTB210 digital barometer, respectively, all Finland) with a time resolution of 10 min (except for Y1, when it was 1 h). The WS was measured above the rooftop level. The basic meteorological data for the near-city background were derived by a mobile meteorological station installed at the measurement location at a height of ca. 2 m from the ground with a time resolution of 10 min. Global solar radiation was measured by the HMS (station no. 44527; CMP11 pyranometer, Kipp and Zonnen, The Netherlands) situated in 10 km in E direction with a time resolution of 1 h. Since 2018, the GRad has been also measured on site by the BpART Laboratory on the rooftop of the building complex using an SMP3 pyranometer (Kipp and Zonnen, the Netherlands) with a time resolution of 1 min. The annual mean GRad ratio and SD for 1-h mean values at the BpART Laboratory and HMS station in 2018 were 1.03±0.23 for GRad>100 W m⁻².

The Open Database for Climate Change Related Impact Studies in Central Europe (FORESEE, v. 3.1; Dobor et al., 2014) was utilized to estimate the daily maximum T data for vegetated territories within the modelled area (Fig. 1) to study the effect of T on biogenic emissions. The
updated data base (http://nimbus.elte.hu/FORESEE/) contains observation-based spatially interpolated daily meteorological fields on a regular grid with a spatial resolution of 1/6°×1/6° for the interval of 1951–2019 using the E-OBS 17e dataset (Cornes et al., 2018). From the daily T data 8-day means were calculated at the pixel level on the finer grid of the MODIS products using elevation as supplementary data (Kern et al., 2016). From these T data, area mean values were calculated for those pixels which represent vegetated territories (Sect. 2.2.2). Finally, the difference of the daily maximum T values from its related multi-annual mean in its corresponding 8-day time interval were determined as anomaly in maximum air temperature. In order to assist the later comparison of this differential effect with that of other environmental properties, the T anomaly was divided by the SD of the overall mean maximum air temperature (thus, it was expressed in units of SD). The quantity is referred as standardised T anomaly. Standardised NPF occurrence frequency anomaly –used in Sect. 3.3 – was calculated in an analogous manner to T.

2.2 Modelled data

Condensation sink for vapour molecules (represented by H$_2$SO$_4$) onto the surface of existing aerosol particles was computed for discrete size distributions (Kulmala et al., 2013; Dal Maso et al., 2002, 2005) by computation scripts developed at the University of Helsinki. Dry particle diameters were considered in the calculations. One of the key components in NPF process is the gas-phase H$_2$SO$_4$ (Sihto et al., 2006; Sipilä et al., 2010; Eruppe et al., 2011; Lehtipalo et al., 2018). Its long-term atmospheric measurements are challenging and, therefore, rare. The H$_2$SO$_4$ concentrations were determined utilising a recently improved calculation method of Dada et al. (2020) by adopting the fitted coefficients for Budapest and for radiation intensities >50 W m$^{-2}$. The [H$_2$SO$_4$] data obtained were also compared to its proxy derived as [SO$_2$]xGRad/CS (Petäjä et al. 2009), which was used previously for many years. There was reasonable agreement between their relative changes, e.g. with an overall Pearson’s coefficient of correlation of $R=0.85$.

2.2.1 Vegetation properties related to emissions

In order to evaluate the impact of biogenic VOC (BVOC) sources on NPF event occurrence, three compound vegetation properties, i.e. GPP, LAI and SCT were derived. These three parameters may be indirectly associated with vegetation emissions and finally with BVOC concentrations. They were computed by the Biome-BGCMuSo biogeochemical model (v6; Thornton and Rosenbloom, 2005; Hidy et al., 2016). This is a widely used, process-based
model with multilayer soil module that simulates the storage and flux of H\textsubscript{2}O, C and N between terrestrial managed agro-ecosystems and the atmosphere. The system is driven by daily meteorological data, eco-physiological properties, soil parameters and some optional input data such as CO\textsubscript{2} concentration and some site-specific management information to simulate the biogeochemical processes of a biome. It also accounts for fertilization, harvest and crop rotation. The system utilised here was parameterized specifically to the Carpathian Basin, and its proper functioning and outputs were validated by agricultural-related data products and eddy-covariance measurements (Barcza et al., 2010; Hidy et al., 2016).

Primary production of the vegetation on land depends on many factors, principally on local hydrology, soil fertility, plant species composition, photosynthetically active radiation and disturbance. It is often thought to be proportional to general biogenic activity which involves BVOC emissions as well. In Biome-BGCMuSo the GPP was calculated using Farquhar’s photosynthesis routine (Farquhar et al., 1980). The LAI is a measure for the total area of leaves per unit ground area and is directly related to the amount of light that is intercepted by plants. Virtually, it is considered as a driving parameter for biosphere-atmosphere exchange of CO\textsubscript{2} and water vapour (Bonan, 2015). The SCT is a measure of the transport rate of H\textsubscript{2}O vapour exiting through the stomata of leaves, and it also controls parallel assimilation of CO\textsubscript{2}. It is a function of the density, aperture and size of stomata, and is also related to the boundary layer resistance of the leaf and the concentration gradient of H\textsubscript{2}O vapour between the leaf and the atmosphere. Light is the primary stimulus engaged in this process, the second key factor is the photosynthesis, while plants themselves can also regulate their transpiration rate via their SCT. Other (organic) gases from plants are also emitted through stomata, and, therefore, the SCT can also be related to the fluxes of BVOCs from vegetation to the atmosphere. The three vegetation-related variables were derived in model calculations for a circular geographical area around Budapest with a radius of 100 km (Fig. 1). Biome-BGCMuSo was run with generic maize, winter wheat, grassland and broadleaf forest parameterization representing the main plant functional types (PFT) in the region. The model results were aggregated according to the share of PFT within the given pixel area.

### 2.2.2 Vegetation growth dynamics

Two phenological indices which are related to vegetation growth dynamics in springtime were estimated. They are the SoS, which is the onset of vegetation growth after the winter dormancy and the GuD, which expresses the time period of initial leaf development after SoS. Their
determination was accomplished by utilizing the satellite-based, Normalized Difference Vegetation Index (NDVI) data sets. The NDVI data are graphical indicators of the greenness of the biomes. They were derived from the latest version (C006) of the official MOD09A1 atmospherically corrected surface reflectance product (Vermote, 2015). This was generated from the measurements of the MODerate resolution Imaging Spectroradiometer (MODIS) operating on board of the satellite EOS AM1, Terra (Justice et al., 1998). The data were downloaded for the h19v04 sinusoidal tile with a spatial resolution of 500 m and a temporal resolution of 8 d (LP DAAC, 2019) in hierarchical data format for the interval of 2009–2019.

The land cover data sets for a circular area with a radius of 100 km around Budapest were derived from the official MCD12Q1 land cover product (Sulla-Menashe and Friedl, 2018) with a spatial resolution of 500 m for years 2001–2018 according to the International Geosphere Biosphere Programme (IGBP) classification scheme (Fig. 1). In the modelling, the following vegetation types were studied: 1) croplands (58 % of all, 117817 pixels), 2) grasslands (13 %), 3) deciduous broadleaf, mixed and evergreen needleleaf forests (12 %; of them, 98 % deciduous trees) and 4) all vegetation, i.e. all territory types except for urban and built-up lands (6 %), water bodies (0.9 %) and permanent wetlands (0.6 %).

Daily-resolution data set was calculated after quality filtering and pre-processing, and then the characteristics of the spring development were assessed by the methodology of Kern et al. (2020). From remote-sensing point of view, the green-up dynamics is characterized by a sharp, mostly linear rise in the NDVI curve that represents leaf flushing (Seyednasrollah et al., 2018), and which can be characterized by the date of leaf unfolding (as the SoS). The GuD is the time difference between the date of the end of greening (EoG) and of the SoS. To achieve this, the NDVI span was calculated as the difference between the maximum and the minimum NDVI during spring green-up. The SoS and the EoG were set at the date (day of year) when NDVI reached the lower and upper 20 % of the NDVI span, respectively (e.g. Shen et al., 2015). Both the SoS and GuD data were determined at the pixel level for each year. Their SDs were also calculated as characteristics of the spatial variability of the derived metrics. The vegetation growth for all years and the methodological procedure are summarized in Fig. S1 in the Supplement. The data sets were processed using the Interactive Data Language (v. 8.6, Harris Geospatial Solutions, USA).
3 Results and discussion

Annual averages of the environmental variables over most measurement years were already summarized in accompanying publications (Salma and Németh, 2019; Mikkonen et al., 2020), and, therefore, the new quantities studied are only overviewed in Table 1. The data are in line with or comparable to the values ordinarily obtained for the area (Barcza et al., 2010; Salma et al., 2016b).

Table 1. Number of NPF event days \((n_{\text{NPF}})\), annual median gas-phase \(\text{H}_2\text{SO}_4\) concentration and \(\text{NH}_3\) mixing ratio, gross primary production (GPP) of vegetation, leaf area index (LAI) and stomatal conductance (SCT) for the seven measurement years. The measurement unit are indicated in brackets.

| Measurement year/Variable | 2008–2009 | 2012–2013 | 2013–2014 | 2014–2015 | 2015–2016 | 2017–2018 | 2018–2019 |
|--------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| \(n_{\text{NPF}}\) \((\times10^5 \text{ cm}^{-3})\) | 83        | 96        | 72        | 81        | 35        | 83        | 64        |
| \([\text{H}_2\text{SO}_4]\) \((\times10^5 \text{ cm}^{-3})\) | 8.8       | 14.5      | 8.4       | 8.7       | 11.5      | 10.0      | 10.4      |
| \([\text{NH}_3]\) \((\text{ppb})\) | 2.1       | 2.0       | 2.8       | 2.3       | 2.5       | 2.6       | 3.1       |
| GPP \((\text{gC m}^{-2} \text{ d}^{-1})\) | 2.5       | 2.6       | 3.0       | 2.7       | 3.1       | 2.8       | 2.9       |
| LAI (%) | 71        | 73        | 81        | 82        | 86        | 93        | 70        |
| SCT \((\times10^{-3} \text{ m s}^{-1})\) | 1.68      | 1.62      | 2.1       | 1.73      | 2.1       | 1.90      | 1.75      |

During the seven measurement years, the total number of NPF events was 514. The annual means of the relative NPF event occurrence frequency are considerable. The overall six-year mean and SD for the city centre were \((21 \pm 5)\) %. The \(f_{\text{NPF}}\) in year 2015–2016 was unusually low (its value was ca. 13 %, thus lower by 35 % relatively than the overall mean), but there is no plausible explanation for this extreme in the present annual data set. The overall mean frequency and its SD imply that the annual \(f_{\text{NPF}}\) did not change substantially and, more importantly, in a tendentious manner over the decennial interval studied. It is added as background information that 1) there was also no significant decreasing trend in major precursors or interacting gaseous chemical species such as \(\text{SO}_2\) or \(\text{NO}_2\) in the area over the time interval of interest (Mikkonen et al., 2020, see also Figs. S2 and S6, respectively) and 2) the overall annual median formation rate of particles with a diameter of 6 nm was 4.6 cm\(^{-3}\) s\(^{-1}\), the median growth rate for 10-nm particles was 7.3 nm h\(^{-1}\) over the years studied, and they were without larger fluctuations and showed summer maximum (Salma and Németh, 2019).
3.1 Distributions of NPF event occurrence

Distributions of the monthly mean occurrence frequency of event days for each measurement year are shown in Fig. 2. There are obvious similarities and differences among the distributions. The largest diversity was realised in the measurement year 2015–2016 (that also exhibited the smallest annual mean \( f_{\text{NPF}} \)). Its shape was flattened and featureless. All the other distributions were much closer to each other in many respects.

![Figure 2. Distributions of monthly mean relative occurrence frequency of NPF event days for the seven measurement years. The horizontal lines indicate annual means. The value for January 2009 is zero, while the values for August and October 2016 are not available. The measurements in 2012–2013 were performed in the near-city background, while in the other years, they were accomplished in the city centre.](https://doi.org/10.5194/acp-2020-862)
exhibits an evident structure which consists of an absolute and a local maximum in April with a monthly mean occurrence frequency of (41±18) % and in September with a mean of (28±10) %, respectively and an absolute and a local minimum in January with a mean of (5.9±5.5) % and in August with a mean of (19.5±9.4) %, respectively. The relatively large uncertainty intervals of the monthly mean frequencies were caused by inter-annual variability (Fig. 2), and they are also influenced by the absolute number of NPF event days in separate months, which is substantially smaller in winter months than in the other months.

**Figure 3.** Mean distribution of the monthly mean relative occurrence frequency of NPF event days for the joint six-year-long data set in the city centre. The error bars show ±1 SD, the horizontal line in cyan indicates the overall mean and the yellow band represents its ±1 SD. The smooth curve in red serves to guide the eye.

It seems that the overall mean distribution does not change further extensively if another new year (for example Y8) is added into the data set. This is also the reason why Fig. 3 and a related plot which was presented earlier (Fig. 1 in Salma and Németh, 2019) are similar. This all implies that the shape of the overall distribution can already be considered to be representative. Moreover, it appears to be characteristic and remarkable. Furthermore, it closely agrees with a multi-year general shape even for some very diverse and detached environments such as boreal forest (Nieminen et al., 2014). It seems, therefore, to be sensible to study the factors that lead to this general structure. We chose to display the up-to-date overall distribution here to foster its comparison to that of environmental variables within the present article.

### 3.2 Distributions of environmental variables

Distributions of the monthly mean values for environmental variables for the seven measurement years were derived. The pots for H$_2$SO$_4$ concentration are shown in Fig. 4 as example. The distributions for some other selected variables, i.e. of SO$_2$, GRad$_{max}$, CS, O$_3$,
NO₂, NH₃, RH, WS and T are presented in Figs. S2–S10, respectively. The monthly averages which are missing in these figures were not available.

Figure 4. Distribution of monthly median gas-phase H₂SO₄ concentration for the seven measurement years. The values for August–October 2016 and February 2018 are not available. The horizontal lines indicate annual medians. The measurements in 2012–2013 were performed in the near-city background, while in the other years, they were accomplished in the city centre.

By comparing Figs. 4 and 2, we can conclude that there are similar overall tendencies in their shape for several years. The concentration of H₂SO₄ also tended to have a maximum in spring and another one in August or September. Its seasonal variation could jointly be affected mainly by [SO₂], CS and GRad (Petäjä et al. 2009). Concentration of SO₂ showed a maximum in winter (Fig. S2), GRad max had a broad and obvious maximum in summer (Fig. S3), while CS tended to exhibit minimum values in summer (Fig. S4). It seems that in the first approximation, the fNPF distribution is linked to the temporal cycling of H₂SO₄ concentration. It does not explain,
however, the temporal variability alone and other environmental variables have to play
important roles in NPF occurrence.

For most of the other environmental properties, their connections with occurrence frequency
were even weaker or featureless (than for [H$_2$SO$_4$] and $f_{NPF}$ pair) with some similar tendencies
reached in sporadic years. The only exception seemed to be NH$_3$ concentration (Fig. S7). Its
overall mean distribution was derived by averaging the data for the corresponding years, and
it is shown in Fig. 5. The shape of the resulting distribution resembles the form and structure
of the overall $f_{NPF}$ distribution (cf. Fig. 3). It also contains an absolute maximum in April and
an absolute minimum in January-February, and perhaps a local maximum in August. The
situation is, however, complicated by the dissociation equilibrium in NH$_4$NO$_3$ (solid or liquid),
NH$_3$ (gas) and HNO$_3$ (gas) thermodynamic system, which is rather sensitive to $T$, RH and
particle size or solution concentration and pH (Mozurkewich, 1993; Nenes et al., 2020).
Ambient gas-phase [NH$_3$] are regulated and modified by this equilibrium as well. The
similarities in the shapes and the coincidence in the extremes for the two variables may suggest
that the availability of NH$_3$ gas – as a base chemical compound in the atmosphere – can enhance
the nucleation of H$_2$SO$_4$ molecules in the ambient air thus, NPF event occurrence. This is in
line with results from chamber experiments (Kirkby et al., 2011), while the involvement of
NH$_3$ in NPF under relatively warm ambient conditions (close to the land surface in the
atmosphere) has not been completely clarified yet (Kürten, 2019). It also raises a question
whether other relevant atmospheric chemical bases such as amines have in general or in
synergy with NH$_3$ a similar role in the area.

Figure 5. Mean distribution of the monthly mean NH$_3$ mixing ratio for the joint six-year-long data set in the city
centre. The error bars show ±1 SD, the horizontal line in cyan indicates the overall mean and the yellow band
represents its ±1 SD. The smooth curve in red serves to guide the eye.
Pearson’s coefficients of correlation between \( f_{NPF} \) and the other variables in the joined monthly mean data set were typically \( |R| < 0.50 \), except for RH, GR\( \text{max} \), NO, O\(_3\) and NH\(_3\), which were \(-0.72\), \(-0.70\), \(-0.61\), \(0.58\) and \(0.53\), respectively. It is added that the variables influence the occurrence jointly, and, therefore, the pair wise correlation may not be fully satisfactory for revealing their relationships.

Another possible explanation of the characteristic structure could be related to the idea that NPF events often occur in elevated heights (as they are favoured at lower \( T \)) and the nucleated particles are mixed down toward the land surface by general effects of turbulent mixing and air temperature which can have annual cycling. Dedicated measurements on this issue have been in progress to clarify this proposal (Carnerero et al., 2018).

### 3.3 Temperature anomaly

Possible impacts of \( T \) on NPF occurrence exerted indirectly through vegetation was further investigated by using anomalies. The anomaly emphasises the deviation of an environmental property (for a given time interval, here for a month or week) from its multi-year trend. The standardised anomaly is expressed in units of SD of the whole data set considered. The anomalies in maximum \( T \) and in NPF occurrence frequency were determined as described in Sec. 2.1, with SDs of \( 3.1 \degree C \) and \( 13 \% \), respectively. Their time distributions (Fig. 6) resembled fluctuations as expected.

First, the possible impacts of standardised anomaly in maximum \( T \) above vegetated territories on the extreme values of monthly NPF occurrence frequency was examined. This can be achieved by comparing the column plots in Fig. 2 with the \( T \) anomaly lines in Fig. 6 for each year. (Their joint graph is shown in Fig. S11.) In many cases (e.g. in spring 2008, 2012, 2017 and 2018), the absolute (spring) maximum of the occurrence frequency overlapped with or followed a substantial positive \( T \) anomaly. The exceptions were the years 2014–2015 (Y4) and 2015–2016 (Y5). This suggests that NPF events are generally favoured or possibly are linked to larger \( T \)s in spring. The impact of \( T \) is, however, part of more comprehensive environmental interactions. No similar observation could be made with respect to the absolute minimum \( f_{NPF} \).

This implies that the lowest NPF occurrence in winter is most likely not restricted by \( T \).

The effect of the potential heat stress exerted on plants in sultry summer intervals has become a relevant issue in the Carpathian Basin because of clime change. During these extremely warm
intervals, the plants could emit less VOCs since their stomata are more closed to reduce the rate of transpiration (Sect. 2.2.1). The coincidence between the positive $T$ anomaly and summer minimum $f_{\text{NPF}}$ could not be, however, established in the present data set.

As the next step, the variability of the standardised anomaly in maximum $T$ above vegetated territories and in monthly NPF occurrence frequency were investigated (Fig. 6) to assess the sensitivity of NPF to $T$. Some temporal tendencies between the two anomalies change in line although their variability seems loose or not coherent in some other intervals. This can partially be explained by multi-factorial impacts of environmental variables including vegetation-derived quantities. There could also be some delay in the relationship between $T$ and $f_{\text{NPF}}$. It

Figure 6. Time distribution of anomalies standardised to annual SD of the variable in maximum air temperature above vegetated territories (red lines) and in monthly mean NPF occurrence frequency (column charts) for the seven measurement years.
emphasizes again the need for multi-variate statistical evaluation methods comprising cross-connections among the variables, which is going to be part of a next dedicated study.

In addition, the monthly or 8-d mean values evaluated so far do not necessarily capture the potential relationships among the variables fully since they may take effect on shorter, e.g. daily time scale, which could be of not less importance from the point of NPF view. In order to extend our study to shorter time intervals, we continued investigating the daily mean data.

### 3.4 Event-day-to-non-event-day ratios

The monthly and annual mean ratios of the environmental variables on NPF event day and on non-event days in the city centre are summarised in Table 2. The relative occurrence frequencies of event days were also given for comparative purposes. The ratios can be influenced again by the number of event days. The uncertainty of the ratios for the modelled variable could be as high as 30 % or even larger if the monthly mean data are relatively small (e.g. for GPP, LAI and SCT in winter). The variables with annual ratios of approximately $r_{\text{an}}>1.1$ can be considered to favour or to be associated with NPF occurrence in general, the variables with approximately $r_{\text{an}}<0.9$ can be regarded to disfavouring events, while the variables with $r_{\text{an}}$ between these two limits possibly have low influence on NPF.

It is the $\text{H}_2\text{SO}_4$ that shows the largest annual mean ratio. The atmospheric concentration of $\text{H}_2\text{SO}_4$ was larger by a factor of ca. 1.5 on event days than on non-event day. The ratio was even larger in winter months (a mean factor of 1.8) over which the other chemical and meteorological conditions for NPF are less favourable than in general. In winter, NPF events happen if $\text{H}_2\text{SO}_4$ is available in relatively large excess concentrations which can ensure the required supersaturation. For all the other months, the mean ratios were also larger than unity. The smallest monthly mean ratio was obtained in July (and possibly in August and September). This all confirms the primary role of $\text{H}_2\text{SO}_4$ in the phenomenon.

The second largest annual mean ratio was found for $\text{O}_3$. Its larger concentrations are often associated with general photochemical activity and secondary organic aerosol (SOA) formation (McFiggans et al., 2019). Its influence – represented by the ratio of the monthly mean event-day-to-non-event-day ratio to its annual mean ratio – in winter was the largest (1.64) of all relative ratios. This all implies that the photochemical reactivity, involving e.g. the $\text{H}_2\text{SO}_4$...
formation in the gas phase and the VOC oxidation, also plays an important role particularly in those months when the absolute oxidative property is relatively low (Fig. S5 for O$_3$).

| Interval/Variable | Annual |
|-------------------|--------|
| f$_{NPF}$         | 21     |
| $\text{H}_2\text{SO}_4$ | 1.54   |
| O$_3$             | 1.42   |
| GRad$_{max}$      | 1.32   |
| $N_{100}$         | 1.25   |
| N                 | 1.17   |
| WS                | 1.16   |
| GPP               | 1.14   |
| SCT               | 1.10   |
| $\text{SO}_2$     | 1.08   |
| LAI               | 1.05   |
| NO$_2$            | 1.02   |
| P                 | 1.00   |
| NO                | 0.99   |
| NH$_3$            | 0.96   |
| PM$_{10}$         | 0.95   |
| CO                | 0.94   |
| CS                | 0.90   |
| $N_{100-1000}$    | 0.89   |
| RH                | 0.87   |

The GRad$_{max}$ exhibited the third largest annual mean ratio, and its monthly mean ratios were also above unity. This property is related to the both variables discussed above and, therefore, those arguments are valid here as well. It was shown that the presence of clouds decreases the probability of NPF occurrence by attenuating solar radiation intensity below the cloud layer (Baranizadeh et al., 2014; Dada et al., 2017), and that an ongoing event can even be interrupted by a sudden appearance of clouds (Hirsikko et al., 2013; Salma et al., 2016a).

The large annual mean ratios for $N$ and in particular for $N_{100}$ are rather consequences of NPF events than their causes. Ultrafine (UF) particles are generated by NPF and growth processes...
in a large number. It is worth noting that the largest ratios of 1.5–1.6 happened in April, May and August, while the smallest ratio, which was below unity (0.88), was realised in January. This can partially be linked to the monthly variation of the particle formation and growth rates in Budapest as well (Salma and Németh, 2019). The interpretations of these ratios are in line with our earlier assessments or findings according to which 1) the concentrations of particles are increased by a factor of 2–3 on event days in central Budapest and 2) the NPF contribution as a single source of UF particles is ca. 13 % as a lower estimate and on longer run (Salma et al., 2017).

The effect of WS seems to be also noteworthy. On annual scale, higher WSs can be related to larger event occurrences. The distribution of its monthly mean, however, reveals a more complex relationship. In the months with large relative occurrence frequency (i.e. in April, May and September), the mean ratios were below unity (0.92 in April), in the winter months, they were extensively above unity (1.52), while they were very close to unity in the other months. This behaviour will be explained latter in connection with CS and N_{100–1000}.

Precursor BVOC gases – approximated by GPP, LAI and SCT – and SO2 may generally favour NPF occurrence although their influence could not be quantified and seems to be low. The reason for this could partially be that the oxidation rates of precursors appear to be more important than their atmospheric concentrations (Salma and Németh, 2019), and that the effect of photochemical processes could be delayed in time. The concentrations of BVOCs are expected to be considerable in Budapest in spring. The typical mean contribution of biogenic sources to the total carbon in the PM2.5 size fraction was the second largest with a share of ca. 40 % (Salma et al., 2020). Unfortunately, there is no experimental information available on absolute concentrations or amounts of VOC in the area. The effects of NO2, P and NO seemed to be even more constrained. Concentrations of CO and PM10 mass are often accounted as surrogates for urban air quality; and the polluted air seems to suppress NPF occurrence through high CS. Again, the monthly mean event-day-to-non-event-day ratios for NO, PM10 mass and CO were the smallest (typically 0.66, 0.76 and 0.78, respectively) in winter, when the preconditions of events are reached in a more difficult manner.

The mean ratios of CS and N_{100–1000} were close to each other and mostly below unity. Their lowest values of around 0.53 were reached in December and January. This implies that the NPF events preferably took place in winter on those days when the concentrations of pre-existing...
particles were relatively small. The whole issue can be explained if considering that the basic preconditions of NPF events are realised by competing sources and sinks for condensing vapours. The source strength in winter is generally low due to lower solar radiation intensities and less biogenic precursor gases in the air. New particle formation events can occur at these low source intensities if the condensation and scavenging sinks – which are related to low particle number concentrations – are even smaller (Lehtinen et al., 2007). This can happen, for instance, due to a stronger wind (Fig. S9) which brings in low concentrations of regional and chemically aged particles ($N_{100-1000}$) into city centres. The reasoning above is in line with and confirm our earlier findings related to diurnal and seasonal variations of UF particles (Salma et al., 2014, 2017).

The smallest annual mean event-day-to-non-event-day ratio was obtained for RH. All monthly mean ratios were also below unity and were similar to each other with an annual mean and SD of $0.87 \pm 0.04$ (cf. Fig. S8). This unambiguously indicates that RH counteracts to NPF occurrence. It can serve as scavenger for OH radical (Petäjä et al., 2009). The dependency was already observed in earlier studies on continental NPF processes (Hamed et al., 2011).

It is noted for completeness that the mean event day minus non-event day $T$ difference in the city centre for various months were 1.2 (Dec), 0.4 (Jan), −0.8 (Feb), 0.4 (Mar), 1.5 (Apr), 1.9 (May), 0.1 (Jun), −0.8 (Jul), 0.1 (Aug), −0.5 (Sep), 1.8 (Oct) and 1.4 °C (Nov). (The mean event-day-to-non-event-day ratios for $T$ in a unit of K were all 1.00.) The monthly mean air temperature data do not indicate obvious relationships with $f_{\text{NPF}}$ (cf. also Fig. S10). This is contrasting with its effect on NPF dynamic properties, for which the $T$ causes summer maxima (Lee et al., 2019; Salma and Németh, 2019). The latter effect can be facilitated, for instance, through gas-phase auto-oxidation reactions involved in HOMs formation (Frege et al., 2018).

The monthly and annual mean ratios of $\text{[NH}_3]/\text{CS}$, GPP/CS, LAI/CS and SCT/CS on NPF event days and on non-event days in the city centre were also derived considering that $\text{NH}_3$ and BVOCs could in principle play a driving role in the events. The monthly ratios did not exhibit tendentious variation and did not resemble the distribution of occurrence frequency (Fig. 3). This and the concentration ratios for $\text{NH}_3$ do not explicitly support the indications on its possible outstanding role (Sect. 3.2) and, therefore, further dedicated systematic studies are required in the area to arrive at conclusive overall interpretation of $\text{NH}_3$. The plans should preferably comprise other chemical species as well such as BVOCs or anthropogenic organics.
It is added that the effect of an environmental variable can depend on its absolute value as well. This can exhibit seasonal or other variability in time (Kerminen et al., 2018). The absolute values can also change from site to site and, furthermore, there can be different interactions or biases among some variables at different sites. Moreover, even dominant nucleation or growth mechanisms can vary at a fixed location depending on the availability of precursors or of different types of oxidation agents (e.g. OH, O₃ or NO₃, Bianchi et al., 2016). These all factors can modify the effect of a variable. Strictly speaking, the interpretations above are, therefore, related to the region investigated. These aspects likely explain why the effects of some variables were interpreted inconclusively. For instance, both higher (Birmili and Wiedensohler 2000; Zhao et al., 2015) and lower (Wu et al., 2007) SO₂ concentrations on event days relative to non-event days were reported at diverse locations.

### 3.5 Vegetation growth

The SoS and the GuD data are summarised in Table 3 for all vegetation. It is seen that the spring typically starts in the Budapest area around 28 March, and that the green-up of vegetation takes ordinary 40 days. These characteristics were, however, diverse when different vegetation types were considered. The SoS increased monotonically in the order of croplands, grasslands and forests. The spring started 2–3 days earlier for cultivated crops than for all vegetation, the start was almost identical for grass and all vegetation, while it was delayed by ca. 9 days for forest with respect to all vegetation. At the same time, the GuD for grasslands and croplands were identical (42–43 d), while the green-up was faster by 32 % for forests (27 d) than for all vegetation. This all can likely be explained by phyto-physiological properties of the different plants, seeding routine of cultivated crops and increasing intensity of solar radiation (and T) in the course of springtime.

#### Table 3. Start of spring (SoS) with its SD and the green-up duration (GuD) with its SD for all vegetation within a 100-km diameter circular area around Budapest for all measurement years. The years in brackets indicate the calendar year of the spring.

| Property | Year/Unit | Y1 (2009) | Y2 (2012) | Y3 (2014) | Y4 (2015) | Y5 (2016) | Y6 (2017) | Y7 (2018) | Y8 (2019) | Mean |
|----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|------|
| SoS      | date      | 02 Apr    | 03 Apr    | 18 Mar    | 30 Mar    | 26 Mar    | 25 Mar    | 02 Apr    | 23 Mar    | 28 Mar|
| SoS      | day of year | 92        | 94        | 78        | 89        | 86        | 84        | 92        | 82        | 87   |
| SD       | d         | 12        | 14        | 17        | 18        | 17        | 12        | 15        | 16        | –    |
| GuD      | d         | 33        | 39        | 42        | 43        | 42        | 41        | 32        | 49        | 40   |
| SD       | d         | 18        | 15        | 20        | 18        | 19        | 16        | 17        | 19        | –    |
The scatter plot of the SoS date for all vegetation and the start of NPF event occurrence spring peak is shown in Fig. 7. It is recalled that the measurements in 2012–2013 (Y2) were conducted in a forest clearing in the near-city background (Sect. 2.1), and that the growth characteristics are different for various vegetation types as just concluded above. For this reason, the data point for year Y2 was excluded from the further evaluations. We kept displaying it in Fig. 7, but it is shown in a different (black) colour from the other points to emphasize this. It is seen that the NPF spring occurrence reacted more sensitively than the visible vegetation spring or green-up in general. This outcome agrees with our long-term sensing perceptions. More importantly, a clear relationship between the NPF and SoS timing could be identified. The Pearson’s coefficient of correlation of the data set was $R=0.80$. Their link was expressed by a linear fit utilizing weighted least-squares method. The goodness of the fit was quantified by the coefficient of determination, which was $R^2=0.63$. The statistical quantities above support that the association between vegetation dynamics and NPF occurrence is significant. We are aware that the two properties are likely biased by other variables such as e.g. GRad, and, therefore, the interpretation of their causal relationship or direct links are subject to further dedicated investigations.

![Figure 7](image_url)

**Figure 7.** Scatter plot of the start of spring date considering all vegetation and the start of NPF occurrence spring season (peak). Labels for the measurement years Y1–Y8 and the calendar year of their spring (in brackets) are also shown. The error bars indicate ±1 SD. The solid red line represents the linear fit, while its dashed parts were obtained by extrapolation. The data point for Y2 (2012) in black colour represents a forested environment, and, therefore, it was excluded from the fitting. The coefficient of determination ($R^2$) for the fit is also given. The line of equality is displayed in black colour for orientating purpose only.
The relationships of GuD for all vegetation and the total number of NPF events in spring, the maximum monthly occurrence frequency in spring and the monthly mean occurrence frequency in spring are shown in Fig. S1a–c, respectively. The data points imply that the vegetation growth rate does not affect the NPF spring characteristics. This could suggest that a faster vegetation green-up, which is expectedly connected also to generally larger concentrations of BVOCs, does not appear to influence the NPF occurrence.

4 Conclusions

Annual mean NPF occurrence frequencies in a continental Central European area were considerable (with an overall mean of 21%), remained at a constant level (with an overall SD of 5%) and did not exhibit tendentious change over 2008–2018. The shapes of the distributions of monthly mean occurrence frequency for the years varied substantially. The overall mean distribution, however, possessed a pattern. Its structure was likely caused by multifactorial influences of environmental properties. The most important components quantified in this ambient study included gas-phase H$_2$SO$_4$, O$_3$, GRad, WS, CS and RH. The factors also involved precursor gases of vapours and their photochemical transformation processes.

A large fraction of chemical compounds contributing to NPF events in cities is expected to originate from anthropogenic precursors. Their emissions may peak any time of year depending on urban activities and human habits. Nevertheless, the \( f_{\text{NPF}} \) distributions seem to follow a general spring maximum and winter minimum behaviour. This could be associated with a very universal and widespread phenomenon. Emissions from vegetation or availability of (biogenic) atmospheric chemical bases can be involved. We investigated here the role of some vegetation-related factors in combination with environmental influencing properties in ambient NPF process. This approach represents a noteworthy novelty. We showed that there are several important links between the plant phenology in the area and event occurrence as far as both their timing properties and some absolute measures/magnitudes are concerned. Tight pair wise relationships between \( f_{\text{NPF}} \) on one hand and a large variety of environmental variables on the other hand could not be, however, proved. This suggests that the environmental players comprising vegetation exert their impact in a joint manner as a sensitive outcome of interacting components.
The relationships between vegetation and NPF can further be investigated at a molecular level utilising long-term advanced/sophisticated on-line mass spectrometry of organic chemical species of vegetation origin among precursors, nucleating vapours and in molecular clusters. Understanding these very complex and internally interacting multicomponent chemical mixtures also requires complementing field and laboratory studies with modelling.

Data availability. The observational data are available from the corresponding author upon reasonable request.

Supplement. The supplement related to this article is available online.

Author contributions. IS designed and organised the research study. WT, PA, ZB and IS performed and assisted in most aerosol and meteorological measurements. WT accomplished most of the data treatment and prepared most figures. AK derived and evaluated the products from MODIS, temperature anomaly data and created the maps in Fig. 1. ZB calculated the Biome-BGCMeSo results. IS, MK, VMK, AK and ZB interpreted the results. IS wrote the manuscript with comments from all coauthors.

Competing interests. The authors declare that they have no conflict of interest.

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