A Polishing-free Etching Method for Microstructure Observation of Fine-grained Ceramics

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Abstract

Microstructural studies are very important because of their decisive role in the properties of advanced ceramics. During the sample preparation process, it is critical to grind, polish, and etch the fracture surface of the ceramic for effective microstructure observation. Here, a sample preparation process is proposed by directly etching the fracture surface of the ceramic without the time-consuming grinding and polishing. We used this method to create micrographs for MgAl$_2$O$_4$, Al$_2$O$_3$, and ZrO$_2$ ceramics with an average grain size less than 1 μm; these were clearly resolved by SEM. More importantly, the damage resulting from grinding or polishing is minimized and SEM images taken from samples prepared via this new method are closer to the original morphology of the microstructures. This method also greatly simplifies the sample preparation process and is especially suitable for fine-grained ceramics.

Introduction

The microstructure of ceramics includes pores, inclusions, grains, and grain boundaries [1, 2]. To obtain ceramics with excellent properties, the first goal is to determine the relationship between the microstructure and properties of advanced ceramics. The observation and interpretation of the microstructure are not new subjects in ceramic science. To effectively resolve the microstructure of ceramics, high-quality sample preparation processes before SEM observation are important.

Traditionally, the fracture surface or the polished surface after etching was chosen for microstructure observation. The contrast between grains and grain boundaries is easily distinguished for a ceramic that fractures in a transgranular fracture mode. If the roughness of the fracture surface is relatively low, then observing the fracture surface is enough to obtain the microstructure morphology [3, 4]. However, if the roughness of the fracture surface is high—especially when the grain size is larger than the depth of field (DOF) of the microscope—the microstructure morphology cannot be imaged successfully. In this case, post-processing steps including grinding, polishing, and etching must be carried out on the fracture surface to obtain a flat surface with sufficient contrast between the grains and grain boundaries.

For a ceramic that fractures in the intergranular fracture mode, the contrast between the grains and grain boundaries cannot be easily distinguished on the fracture surface due to the existence of glassy phases and impurities segregated on grain boundaries. In this case, similar post-processing steps, including grinding, polishing, and etching, are needed for successful imaging [5–7]. However, during the grinding and polishing processes, the practical morphology of the microstructure could be somehow destroyed [8–10]. Lateral cracks and grain pullout can result from the machining processes and are barriers to detailed information on the microstructure. Although newly developed advanced polishing technologies, such as mechanical polishing [9], chemical polishing [11], or ion-beam polishing [12], can sharply minimize the destruction of the original microstructure of ceramics, extensive hands-on experience is still needed to properly prepare high-quality samples.
During the machining process of fine-grained ceramics, surface flaws such as lateral cracks or grain pullout are much more serious than in coarse-grained ceramics. The residual polishing particles will even hide the practical information of grains or pores. The methods mentioned above for observing the microstructures of ceramics on the fracture surface or the polished and etched surface are not suitable for fine-grained ceramics. Advanced ceramics with grain sizes on the submicron scale or lower can be easily fabricated. As a result, the surface roughness that resulted from the fractured surface of the fine-grained ceramics is small enough to be ignored for microscopic imaging on the submicron scale. In other words, the grain size of advanced ceramics is much smaller than the the DOF of microscopes. High-quality micrographs can thus be obtained successfully. Hence, the fracture surface of fine-grained ceramics is sufficient to be effectively imaged after proper etching.

In this paper, we report a novel strategy of sample preparation for observing the microstructure of ceramics with fine grains. A thermal etching process was applied directly on the fracture surfaces of MgAl$_2$O$_4$, Al$_2$O$_3$, and ZrO$_2$ ceramics. Abundant microstructural information was obtained from the SEM pictures taken on these etched fracture surfaces. The surface flaws that resulted from the machining process could be minimized. The resulting micrographs are closer to the original microstructure of the ceramics.

**Materials And Method**

| Powder  | Company       | Forming process   | Sintering temperature | Etching temperature |
|---------|---------------|-------------------|-----------------------|---------------------|
| MgAl$_2$O$_4$ | Baikowski S25CR | Gel-casting       | 1500                  | 1200                |
| Al$_2$O$_3$  | Baikowski SMA6  | Gel-casting       | 1400                  | 1000                |
| ZrO$_2$    | Tosoh Zpex    | CIP (200 MPa)     | 1400 (pre-sintering)  | 1000                |
|           |               |                   | 1260 (HIP)            |                     |

Both MgAl$_2$O$_4$ and Al$_2$O$_3$ powders were provided by Baikowski, France. ZrO$_2$ powder was provided by Tosoh, Japan. The average particle sizes (D50) of MgAl$_2$O$_4$, Al$_2$O$_3$, and ZrO$_2$ powder were 330 nm, 370 nm, and 220 nm, respectively. These were all tested by a laser particle size analyzer (Master Sizer 2000, Malvern, Britain). Ceramics of both MgAl$_2$O$_4$ and Al$_2$O$_3$ were formed by gel-casting with Dolapix CE64 [13] and PIBM gelling system, respectively [14, 15]. The ZrO$_2$ ceramic was formed by cold isostatic pressing at 200 MPa. After formation, the ceramics were heated to 800 °C to burn out the binder and then sintered in air at different temperatures for densification. The ZrO$_2$ ceramic was post-HIP treated at 1260 °C for 3 h at 200 MPa argon pressure. The processing conditions of all of the three ceramics are listed in Table 1. The fracture surfaces were thermal-etched for SEM observations. The thermal etching
temperatures of MgAl$_2$O$_4$, Al$_2$O$_3$, and ZrO$_2$ ceramics were 1200 °C, 1000 °C, and 1000 °C, respectively. For comparison, the just-fractured surfaces without etching were observed along with the thermally etched surfaces after polishing. The polishing process was performed using 6 µm and 2 µm diamond slurries. The micrographs were all obtained with field emission scanning electron microscopy (FE-SEM, SU9000, Hitachi, Japan).

Results And Discussion

Figure 1 shows the microstructure of the MgAl$_2$O$_4$ ceramic sintered at 1420 °C for 3 h. Panels include micrographs of fracture surface (a) and thermal etched fracture surfaces (b) and (c). In Fig. 1 (a), the fracture surface is almost flat with no contrast between the grains and grain boundaries. Obviously, the as-sintered MgAl$_2$O$_4$ ceramic fractured in an intergranular fracture mode. Traditionally, polishing and etching processes are required for ceramics fractured in this mode before observing the microstructure. However, in this process, machining through grinding or polishing were not needed due to the previously formed flat surface after fracturing. In Fig. 1 (b) and (c), clear grain boundaries can be distinguished after directly thermally etching the fractured surface. Grain sizes were distributed on a scale of 50–500 nm; all were imaged successfully. The original pores after etching are still similar as that in Fig. 1 (a). In summary, the as-prepared fine-grained MgAl$_2$O$_4$ ceramic described here has grains small enough to be totally imaged on the micrometer scale by SEM. As a result, the machining step was diminished, and the etching process proceeded directly on the fracture surface. The simplified sample preparation method is not only time-saving but can also protect the original microstructure from damage during machining.

On the contrary, if the machining processes such as grinding or polishing were followed, then all destructions such as scratches and pullout grains may occur. Figure 2 shows the three typical destructions on the microstructure of the MgAl$_2$O$_4$ ceramic that resulted from polishing and etching. Surprisingly, Fig. 1 and Fig. 2 were SEM pictures taken from the same sintered MgAl$_2$O$_4$ ceramic, but they appear completely different. In Fig. 2 (a) and (b), many grains as small as 30 nm or less are shown. However, the actual grain sizes are distributed between 50 nm and 500 nm. As against the same ceramic sample shown in Fig. 1, one sees that the machining and etching processes on the ceramic surface in Fig. 2 resulted in some misleading phenomena such as ultra-small grains. The abrasive particles might be left on the ceramic surface after polishing.

Fig. 2 (b) shows obvious scratches that resulted from grinding. These affect the imaging quality and the calculated average grain size. Fig. 2 (c) shows a single pore with a size of about 1 µm. This is several times bigger than the average grain size. During grinding and polishing, some grains may be pulled out due to the weak grain boundaries; the pore-like information is then detailed on the SEM picture. The large pore in Fig. 2 (c) may result from the grain pullout during machining. These types of damage (false ultra-small grains, scratches, etc.) are barriers for researchers and obscure the true features of the ceramic microstructure.
For a ceramic that fractures in the transgranular fracture mode, observing the fracture surface is preferred for obtaining the actual original morphology of the microstructure. No etching process is usually necessary due to the contrast between the grains and the grain boundaries that already exist because the fracturing behavior occurs just along the grain boundaries. Glassy phases and impurities may exist on the grain boundaries of these ceramics. This will also affect the imaging quality of SEM. To increase contrast, one can groove the grain boundaries by an etching process on the fracture surface before observation. The microstructure can be of higher quality after etching.

Figure 3 (a) shows the thermal etched fracture surface of the ZrO$_2$ ceramic. No pore can be identified here because the ZrO$_2$ ceramic was pre-sintered first, and then HIPed at 1260 °C for 3 h with 200 MPa argon pressure. The ceramic was fully densified. The grain boundaries after grooving by thermal etching are more distinct than without etching [Fig. 3 (b)]. Comparing the two micrographs from the different pre-processing steps suggests that although the etched fracture surface shows better contrast, it somehow changes the original state of the fracture surface. Clearly, the edges and corners of the grains in Fig. 3 (a) were erased via thermal etching. Nevertheless, the micrographs obtained from the fracture surface with or without etching processes were better than Fig. 3 (c), which resulted from polishing and etching processes. Although it seems that no obvious destruction occurred on the microstructure of the ZrO$_2$ ceramic, some white impurities do remain on the surface. In summary, the etching process on the fracture surface may not be necessary for ceramic fractures just along the grain boundaries or in cases with clear grain boundaries.

However, not all ceramics fractured in a transgranular mode show clear contrast between the grains and grain boundaries. In this case, proper etching processes are necessary before SEM observation. For example, the alumina ceramic fractures in a transgranular fracture mode, but the contrast between the grains and grain boundaries is low. Figure 4 (a) and (b) show SEM pictures of an as-fractured surface without etching and a thermal etched fracture surface of the alumina ceramic, respectively. Obviously, the pores observed from Fig. 4 (a) and (b) were very similar. Figure 4 (b) shows better contrast between the grains and grain boundaries due to the glassy phases or impurities that were erased by etching.

**Conclusions**

A novel method that directly etching the fracture surfaces instead of etching the polished surfaces is more convenient for SEM sample preparation. More important, the microstructure close to the original fracture surface can be detected instead of the possible misleading information due to the destruction that occurred during the machining process. This proposed method is especially suitable for fine-grained ceramics.

**Declarations**

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Figures

Figure 1
Micrographs of MgAl2O4 ceramics. (a) Fracture surface; (b) and (c) Thermal etched fracture surfaces.

Figure 2
Micrographs of a thermally etched polished surface of MgAl2O4 ceramics. (a) Misled small grains; (b) Scratches on the surface; (c) Pore-like flaws.

Figure 3
Micrographs of ZrO2 ceramics. (a) Thermal etched fracture surface; (b) As-fractured surface; (c) Polished and thermal etched surface.
Figure 4

Micrographs of Al2O3 ceramics. (a) As-fractured surface; (b) Thermal etched fracture surface.