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On the invertibility of the XOR of rotations of a binary word

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Abstract

We prove the following result regarding operations on a binary word whose length is a power of two: computing the exclusive-or of a number of rotated versions of the word is an invertible (one-to-one) operation if and only if the number of versions combined is odd.

(This result is not new; there is at least one earlier proof, due to Thomsen in his PhD thesis [12]. Our proof may be new.)

Keywords: invertibility, exclusive-or, rotation, binary words, circulant matrix.

1 Introduction and proof of main result

This short note considers some simple operations on binary words.

We only consider binary words whose length is a power of two, as this is typically the case for actual computer operations (e.g., with 32-bit or 64-bit words).

We focus on operations based on rotations and exclusive-ors, as these are typically standard built-in operations.

Simple invertible operations such as these are used in many applications, such as pseudo-random number generation [7, 9], encryption [4], and cryptographic hash function design [10].

We state and prove the main result, and then provide some related discussion afterwards.

Theorem 1 If \(n\) is a power of two, \(v\) is an \(n\)-bit word, and \(r_1, r_2, ..., r_k\) are distinct fixed integers modulo \(n\), then the function

\[
R(v) = R(v; r_1, r_2, ..., r_k) = (v \ll r_1) \oplus (v \ll r_2) \oplus ... \oplus (v \ll r_k)
\]  

(1)

is invertible if and only if \(k\) is odd, where \((v \ll r)\) denotes the \(n\)-bit word \(v\) rotated left by \(r\) positions, and where “\(\oplus\)” denotes the bit-wise “exclusive-or” of \(n\)-bit words.

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Proof: Let $V = \{0, 1\}$, and let $V^n$ denote the set of all $n$-bit words. We identify $V^n$ with $GF(2)^n$, the set of $n$-element vectors over the finite field $GF(2)$.

With this identification, $R$ is a linear operation over $V^n$; $R(v)$ may be obtained by multiplying $v$ by an $n \times n$ circulant matrix over $GF(2)$ having $k$ ones per row and per column. (An equivalent statement of our theorem is that when $n$ is a power of two, an $n \times n$ circulant matrix over $GF(2)$ is invertible if and only if the number $k$ of ones in each row is odd.)

We define the Hamming weight (or weight) of an $n$-bit word $v$ to be the number of ones in $v$.

Our proof identifies words in $V^n$ with polynomials in $GF(2)[x]$ of degree less than $n$.

For each $n$-bit word $v$ we define an associated polynomial $v(x)$ in $GF(2)[x]$ in the natural way: if

$v = (v_{n-1}, v_{n-2}, \ldots, v_1, v_0)$

then the associated polynomial $v(x)$ is

$v(x) = \sum_{i=0}^{n-1} v_i x^i.$

For example, the unit-weight word $u_i$ having a one in position $i$ is associated with the polynomial $u_i(x) = x^i$. This association between words and polynomials is one-to-one.

Let $f_n(x) = x^n + 1$, a polynomial in $GF(2)[x]$. We now work with polynomials modulo $f_n(x)$, so that rotation can be effected by polynomial multiplication modulo $f_n(x)$, as is typically done when working with cyclic error-correcting codes (see [6, Section 9.2]) or circulant matrices (see [1]).

Now the word

$(v \ll r)$

is associated with the polynomial

$v(x) * u_r(x) \pmod{f_n(x)}$;

reducing modulo $f_n$ captures the effects of the rotation. In other words, multiplying by $u_r(x)$ modulo $f_n(x)$ represents a left-rotation by $r$ positions.

Computing $R(v)$ combines the effect of several rotations, so the word $R(v)$ is associated with the polynomial

$v(x) * r(x) \pmod{f_n(x)}$

where

$r(x) = x^{r_1} + x^{r_2} + \cdots + x^{r_k}.$

Note that $R$ is an invertible operation if and only if $r(x)$ is relatively prime to $f_n(x)$; (This result is due to Guan et al. [5, Theorem 2.4]; see also Bini et al. [1, Theorem 2.2].) If $\gcd(r(x), f_n(x)) = 1$, then an inverse to $r(x)$ modulo $f_n(x)$ can be found by the extended version of Euclid’s algorithm, otherwise no inverse exists. These propositions hold whether or not $n$ is a power of two.

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If $n$ is a power of two, then
\[ f_n(x) = x^n + 1 = (x + 1)^n, \]
since we are working in $GF(2)$ (see [6, Thm. 1.46]). In this case, $r(x)$ is relatively prime to $f_n(x)$ if and only if $r(x)$ is relatively prime to the polynomial $x + 1$.

Polynomials that are not relatively prime to $x + 1$ must be multiples of $x + 1$, since $x + 1$ is irreducible. A polynomial in $GF(2)[x]$ is a multiple of $x + 1$ if and only if its value at $x = 1$ is 0. But $r(1) = 0$ if and only if $r(x)$ has an even number of non-zero coefficients. Therefore $r(x)$ is relatively prime to $f_n(x)$ if and only if $k$ is odd.

Thus, when $n$ is a power of two, $R$ is an invertible operation on $GF(2)^n$ if and only if $k$ is odd.

2 Discussion

The inverse operation to $R$ can be found using Euclid’s extended algorithm on input polynomials $r(x)$ and $f_n(x)$, to find polynomials $s(x)$ and $t(x)$ such that
\[ s(x) \cdot r(x) + t(x) \cdot f_n(x) = 1. \]

The inverse operation $S$ to $R$ corresponds to the polynomial $s(x)$, representing another function of the same form as $R$ (that is, an xor of rotations). In matrix terms, the inverse of a circulant matrix is another circulant matrix.

In terms of computational complexity, $R(v)$ is easy to compute when $k$ is small, requiring not more than $k$ rotations and $k - 1$ xors. Although the inverse $S$ has the same form as $R$, it may require considerably more work to compute. For example, if $r(x)$ has degree $d$, then $s(x)$ must have degree at least $n/d$ and at least $n/d$ terms, so that evaluating $S(v)$ requires at least $\log_2(n/d)$ additions, since each addition in a computation chain can at most double the number of terms. Here multiplication by $x^r$ (rotations) are “free” and we are only counting exclusive-ors. The exact complexity, in terms of rotations and xors, of evaluating $R(v)$ or $S(v)$ may be non-trivial to determine precisely, and we leave these questions as open problems. Thus, when $k$ and $d$ are small $R$ may be considered to be in some sense “very modestly one-way”—easier to compute in one direction than another. Stephen Boyack [4] has interesting related results on the complexity of matrix operations over $GF(2)$ and their inverses.

Efficient invertible operations are useful in many applications. A linear operation somewhat similar to the one studied here is the “xorshift” operation:
\[ v = v \oplus (v \ll r) \]
where “$\ll$" is the “left-shift” operator; xorshift has been used in pseudo-random number generation [7, 9] and hash-function design [10]. Schnorr and Vaudenay [11, Lemma 5] study the related operation
\[ (v \land d) \oplus (v \ll r) \]
where “∧” denote bitwise “and” and where $d$ is a constant $n$-bit word; they show that this operation is invertible if and only if the iterates ($d \ll\ll (r \cdot i)$) take for each bit position the value 0 for some i.

The result of this paper may be useful to those working on similar applications. For example, we began our study of $R$ when thinking about possible improvements to the MD6 hash function \cite{10}. We also note that the $k = 3$ version of the operation discussed here is used in the C2 cipher \cite{2} (although not in manner that required its invertibility (it is part of the feedback function in a Feistel block-cipher)), and in the SHA hash function standard message expansion computation \cite{8} (as the $\Sigma$ function; invertibility of $\Sigma$ is not claimed or proven).

When $n$ is not a power of 2, we don’t know of any comparably simple characterization of when $R(v)$ is invertible, other than the requirement that $\gcd(f_n(x), r(x)) = 1$; perhaps simpler characterizations can be found for some cases, such as when $n = 3 \cdot 2^k$.

3 Related Work

Lars Knudsen points out that a different proof for the same result is available in the Ph.D. thesis \cite{12} Theorem 3.3, pages 86–87 of Søren Thomsen. Thomsen’s cute proof considers powers $R^{2^i}$ of the original operation, notes that

$$R^{2^i}(v; r_1, r_2, \ldots, r_k) = R(v; 2r_1, 2r_2, \ldots, 2r_k)$$

from which it follows that $R$ is invertible since $R^n$ will be the identity function (if and only if $k$ is odd).

4 Conclusions

This note provides an alternate proof of a characterization as to when an easily computed operation, based on the exclusive-or of rotated versions of a word, is invertible.

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