Another Record: Ocean Warming Continues through 2021 despite La Niña Conditions

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ABSTRACT

The increased concentration of greenhouse gases in the atmosphere from human activities traps heat within the climate system and increases ocean heat content (OHC). Here, we provide the first analysis of recent OHC changes through 2021 from two international groups. The world ocean, in 2021, was the hottest ever recorded by humans, and the 2021 annual OHC value is even higher than last year’s record value by 14 ± 11 ZJ (1 zetta J = 10^21 J) using the IAP/CAS dataset and by 16 ± 10 ZJ using NCEI/NOAA dataset. The long-term ocean warming is larger in the Atlantic and Southern Oceans than in other regions and is mainly attributed, via climate model simulations, to an increase in anthropogenic greenhouse gas concentrations. The year-to-year variation of OHC is primarily tied to the El Niño-Southern Oscillation (ENSO). In the seven maritime domains of the Indian, Tropical Atlantic, North Atlantic, Northwest Pacific, North Pacific, Southern oceans, and the Mediterranean Sea, robust warming is observed but with distinct inter-annual to decadal variability. Four out of seven domains showed record-high heat content in 2021. The anomalous global and regional ocean warming established in this study should be incorporated into climate risk assessments, adaptation, and mitigation.

Key words: La Niña, ocean heat, ocean warming, attribution, observation

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1. Introduction

The increased concentrations of greenhouse gases in the atmosphere from human activities trap heat within the climate system and result in massive changes in the climate system. As a result, outgoing energy from the Earth system is not balancing the incoming solar radiation, thus creating Earth’s Energy Imbalance (EEI) in the climate system (Trenberth et al., 2014; von Schuckmann et al., 2016a; Wijffels et al., 2016; Johnson et al., 2018; Cheng et al., 2019a). The oceans store over 90% of EEI, leading to an increase of ocean heat content (OHC), which currently requires ~four years for the long-term trend signal to significantly exceed the inter-annual variability at the 95% confidence interval, noting that ENSO is the dominant driver of year-to-year variations in OHC (i.e., Cheng et al., 2019b).

The up-to-date data indicate that the OHC in the upper 2000 m layer of the world’s oceans has increased with a mean rate of $5.7 \pm 1.0$ ZJ yr$^{-1}$ for the 1958–2021 period (IAP/CAS) and $4.7 \pm 1.0$ ZJ yr$^{-1}$ for the 1958–2021 period (NCEI/NOAA) (Fig. 1a). Both datasets show an unambiguous increase in ocean warming since the late 1980s (Fig. 1a). From 1986–2021, the average annual increase is $9.1 \pm 0.3$ ZJ yr$^{-1}$ for IAP and $8.3 \pm 0.7$ ZJ yr$^{-1}$ for NCEI/NOAA. These warming rates represent a maximum of 8-fold increase compared to 1958–85 ($1.2 \pm 0.6$ ZJ yr$^{-1}$ IAP/CAS, $1.5 \pm 1.0$ ZJ yr$^{-1}$ for NCEI/NOAA). Moreover, each decade since 1958 has been warmer than the preceding decades.

The 2021 annual OHC value is higher than the last year’s value, by $14 \pm 11$ ZJ using the IAP/CAS data and by $16 \pm 10$ ZJ using NCEI/NOAA (95% confidence interval). Both projections are the highest on record (Table 1). Differences between the OHC analyses reflect the uncertainties in the calculation due to data quality, mapping differences, and data coverage. Nevertheless, it is evident that according to both IAP/CAS and NCEI/NOAA, the 2021 oceans were the hottest ever recorded by humans.

There are notable inter-annual fluctuations in the OHC record (Fig. 1b); Cheng et al. (2018) indicated that it requires ~four years for the long-term trend signal to significantly exceed the inter-annual variability at the 95% confidence interval, noting that ENSO is the dominant driver of year-to-year variations in OHC (i.e., Cheng et al., 2019b).
The Indo-Pacific basin transitioned from a weak El Niño state in the first six months of 2020 toward a La Niña state during the last half of 2020, and a La Niña state has since continued in 2021. There is a decreasing tendency in OHC during the transition from El Niño to La Niña because of ocean heat release associated with anomalous warming of sea surface conditions in the tropical Pacific Ocean (Cheng et al., 2019b) (Fig. 1b). During La Niña, the ocean absorbs heat, which caused an increase in OHC from late-2020 to early 2021 (Fig. 1b). Similar OHC changes also have occurred during the 2009, 2015, and 2017 El Niño events. The El Niño-driven heat buildup and higher sea levels are most typical of the tropical western Pacific region (see Fig. 2).

In addition to providing an update on the 2021 OHC, we present an improved calculation for previous years. Both IAP/CAS and NCEI/NOAA have re-calculated the 2020 OHC values using the most up-to-date observations. A notable revision of 0–2000 m OHC anomaly from 234 ZJ to 221 ZJ is found for IAP/CAS data. With this correction, the IAP/CAS and NCEI/NOAA OHC time series become consistent in 2020 and 2021 (Fig. 1b), increasing confidence in the near real-time OHC calculation. By comparing the two versions of WOD in situ observational data, it was found that data Quality-Control (QC) flags had not been assigned in the previous dataset (i.e., there is no QC applied to the

Table 1. Ranked order of the hottest five years of the global ocean, since 1955. The OHC values are anomalies for the upper 2000 m in units of ZJ relative to the 1981–2010 average.

| Rank | Year | IAP/CAS | NCEI/NOAA |
|------|------|---------|-----------|
| 1    | 2021 | 235     | 227       |
| 2    | 2020 | 221     | 211       |
| 3    | 2019 | 214     | 210       |
| 4    | 2017 | 202     | 189       |
| 5    | 2018 | 195     | 196       |

Fig. 1. (upper) The global upper 2000 m OHC from 1958 through 2021. The histogram presents annual anomalies relative to a 1981–2010 baseline, with positive anomalies shown as red bars and negative anomalies as blue. Units: ZJ. (bottom) Detrended OHC time series from 2005 to 2021 compared with ONI index.
data), highlighting the importance of QC in OHC calculations. Considering the non-negligible impact of QC on the OHC estimate, comprehensive quantification of QC uncertainty continues to be a priority.

4. Regional patterns of ocean warming

The spatial pattern of upper OHC anomaly (0–2000 m) (Fig. 2a) illustrates several key features, including an anomaly maxima near 40° in both hemispheres and broad anomalous warming in the Atlantic Ocean relative to other ocean basins, but a pronounced minimum in the northern North Atlantic Ocean. The drivers of these features can be explored by using so-called single-forcing large ensembles, within which only a single external climate forcing agent is held fixed over time in a multi-member ensemble experiment (Deser et al., 2020). By averaging across ensemble members and differencing with the fully-forced ensemble, the contributions of internal variability are reduced to allow direct estimation of the response to forcing. Important uncertainties exist in inferring natural changes from such ensembles, including uncertainties related to model structure and imposed external forcing agents. Strategies for addressing these uncertainties are addressed below.

Here, we use the Community Earth System Version 1 (CESM1) Large Ensemble (CESM1-LE; Kay et al., 2015) and Single-Forcing Large Ensemble (CESM1-SF; Deser et al., 2020) to attribute the drivers of observed OHC trend patterns from 1979 to 2020 (Fig. 3). A strong correlation pattern exists between the fully-forced ensemble mean and observed changes (Fig. 3a vs. Fig. 2a), thus providing evidentiary support for both the fidelity of the CESM1-LE and the emergence of forced changes in the presence of internal variability (i.e., Cheng et al., 2018; Fasullo and Nerem, 2018). Many of the salient features apparent in observations are also evident in the ensemble mean, suggesting a role for forcing in their development. Examples include the relative maxima near 40° in each hemisphere, the region of strong cooling in the northern Atlantic southeast of Greenland, the subtle changes in the Pacific Ocean equatorward of 30°, and the relative warming of the Atlantic Ocean as compared to other ocean basins (Fig. 3a). Variability in trends across ensemble members is largest in the oceans north of 30°N (Fig. 3b).

The influence of industrial aerosols (AER, Fig. 3c) is strong and pervasive across much of the global ocean, exerting a strong cooling influence between 30°N and 60°S and significantly contributing to the regional cooling southeast of Greenland. The influence of biomass aerosols (BMB, Fig. 3d) is more regional yet important, with strong cooling signals evident in all basins and a warming contribution to the North Atlantic. The contribution of greenhouse gases (GHG, Fig. 3e) is notable in nearly all regions, with substantial warming, especially near 40°S and across the Atlantic Ocean. A strong contribution to cooling southeast of Greenland is also evident. Lastly, land use and land cover (LULC) contributions are small, with significant areas spanning less than 5% of the global ocean.

Together these results promote an understanding of the observed pattern of ocean warming since 1980. Forcing from greenhouse gases dominates many of the observed features but contributions from AER and BMB are also important. Pervasive warming of the global ocean is driven by GHG and offset somewhat by both AER and BMB (Fig. 3c, d). The elevated warming of the Atlantic Ocean relative to other basins results from both the elevated warming effects of GHG and the reduced cooling effects of AER in the Atlantic. Together these effects are offset somewhat by a strong regional cooling driven by BMB. The region of strong cooling southeast of Greenland is driven by both GHG and AER influences (associated with AMOC changes). It stands out as one of the few regions where GHG and AER effects mutually reinforce each other. Lastly, the relative minimum in the tropical Pacific Ocean...
equatorward of 30° results from the combined influences of relatively weak GHG-driven warming and the offsetting effects of both AER and BMB.

This diagnosis of the drivers of OHC trend patterns relies on the fidelity of the CESM1 and the estimates of external forcing agents used in the CESM1-LE. Further exploration with alternative models and forcings will play an important role in establishing confidence in the interpretations based on the experiments used here. Because of space limitations here, accounting for the uncertainties in forcings (Fasullo et al., 2021; Fyfe et al., 2021; Smith and Foster, 2021) remains a topic for future work. Formal detection and attribution analysis plus single forcing experiments with more climate models are also being conducted.

Comparing the spatial OHC anomalies in 2021 versus 2020 shows an imprint of La Niña (Figs. 2b, c, Cheng et al., 2019b). The NCEI/NOAA data (Fig. 2c) shows a consistent spatial pattern compared with IAP/CAS (Fig. 2b), but the anomalies are spottier and tied to the spatial covariance in mapping strategy. The IAP/CAS product is smoother than NCEI/NOAA because of the stronger effect of smoothing (Cheng et al., 2017). There is a strong increase of OHC in the western Pacific that extends into the South and North Pacific regions to 20°S and 20°N, and a broad decrease of OHC in the eastern Pacific, including coastal Peru and the California oceans (Figs. 2b, c). A reverse zonal gradient (warm in the east and cold in the west) is evident in the Indian Ocean. This pattern in the Indo-Pacific Ocean mainly reflects the thermocline changes during ENSO: the thermocline is steeper during La Niña, with a deeper thermocline in the western Pacific-Indonesian warm pool. Meanwhile, the thermocline uplifts in the tropical eastern Pacific and western Indian oceans, leading to cooling anomalies. The tropical Atlantic Ocean shows a small decrease of OHC (20°S–20°N), likely due to two separate but reinforcing factors: (1) the shift of the Walker circulation during La Niña events increases evaporation in the tropical Atlantic (Wang, 2019) and (2) those same La Niña conditions contributed to an especially active and energetic Atlantic hurricane season as tropical storm-related mixing leads to substantial

Fig. 3. Ensemble mean trends in 0–2000 m OHC in (a) the CESM1-LE and CESM1-SF estimated contributions from (c) industrial and (d) biomass aerosols, (e) greenhouse gasses, and (f) change in land use and land cover. Units: 10^9 J m^-2. Stippled and hatched regions correspond to areas where positive and negative trends exceed twice the standard ensemble error, respectively. (b) The standard deviation of CESM1-LE members in 0–2000 m OHC trend.
5. Basin-wide changes and regional hot spots

A regional assessment of ocean warming is relevant to community risk assessment and societal adaptation, even more so than global metrics. Here, we briefly assess basin OHC changes, including several regional hot spots.

5.1. The northwest Pacific Ocean

The Northwest Pacific Ocean is the most active basin for tropical storms on the planet, accounting for one-third of all tropical cyclones. Changes in its nature are directly relevant to weather conditions and societies in East Asia. The OHC shows strong inter-annual and decadal fluctuations in this area (Fig. 4a), which are predominantly associated with internal climate variabilities such as ENSO and Pacific Decadal Variability (PDV) (Cheng et al., 2019b; Xiao et al., 2020). The typhoon activities in the Northwest Pacific show correlations with PDV and further reveal the remote impacts of the tropical Indian Ocean and Western Pacific (Yang et al., 2018). Nevertheless, the mean OHC over the recent decade (2012–21) is higher than the 1981–2010 average, which could, in turn, impact the regional OHC (Wang et al., 2014).

5.2. The Indian Ocean

There was no significant trend in the Indian OHC during the late 20th century (Fig. 4b), owing to interdecadal changes of the Indo-Pacific Walker circulation that drove an upper-layer cooling of the tropical Indian Ocean and greatly moderated the greenhouse-gas forced warming (Ummenhofer et al., 2021). Unprecedented rapid warming during the 2000s was mainly associated with an increased Indonesian throughflow heat transport under a negative Interdecadal Pacific Oscillation (IPO) condition (La Niña-like) of the tropical Pacific (Lee et al., 2015; Li et al., 2018). The warming trend has been delayed since ~2013, as the IPO shifted back to its positive phase. Hence, the pronounced interannual fluctuations in the past decade were primarily dictated by ENSO. The thermocline of the southern tropical Indian Ocean is particularly sensitive to teleconnection imprints of ENSO (Xie et al., 2002), as evidenced by the thermocline depression and OHC increase during the 2015–16 super El Niño and subsequent thermocline shoaling and OHC decrease during the 2017–18 La Niña (Li et al., 2020; Volkov et al., 2020) (Fig. 4b). The climate of the Southern Ocean also modulates the subtropical sector of the southern Indian Ocean. During past decades, the poleward migration of westerly winds has led to a southward shift of the subtropical circulation gyre and thereby caused a multi-decadal increasing trend in the OHC of the subtropical southern Indian Ocean (Yang et al., 2020; Duan et al., 2021).

5.3. The tropical Atlantic Ocean

The tropical western North Atlantic Ocean 10°–30°N, where hurricanes initiate and develop, has shown a continuous increase of OHC since 1958 (Fig. 4c; Trenberth et al., 2018). La Niña conditions typically are associated with reduced tropical storm activity in the eastern tropical Pacific and a greater risk of enhanced activity in the tropical North Atlantic. In addition to the global warming signature from continuous unabated warming since 1958 (Fig. 4c), this is associated with high levels of Atlantic tropical storm activity during the past two northern summers (Emanuel, 2021a, b). During 2021 there were 21 named storms, ~30% fewer than the record 30 named storms of 2020. When atmospheric conditions are favorable, the increased thermal energy provided by high OHC fuels increased tropical storm activity (in terms of both frequency, intensity, size and/or lifetime) and also increases rainfall (Trenberth et al., 2018).

5.4. The Mediterranean Sea

In 2021, the OHC in the Mediterranean Sea was the highest on record since reliable records exist (Fig. 4d). A marked temperature increase appeared in the last few decades, starting from the Eastern Basin where warmer Intermediate Waters (IW) formed and spread towards the Western Basin on their way back to the North Atlantic (Pinardi et al., 2015; Section 3.1 in von Schuckmann et al., 2016b; Simoncelli et al., 2018, 2019; Storto et al., 2019). The Sicily Channel represents the chokepoint between the Eastern and Western Mediterranean basins where a two-layer system brings Atlantic Waters (0–150 m) eastwards and IW (150–450 m) westwards (Schroeder et al., 2017). A temperature and salinity time series collected since 1993 at 400 m depth at the Sicily Channel show increasing trends for both parameters, stronger than those observed at intermediate depths in different regions of the global ocean (Ben Ismail et al., 2021). The reported IW rate of temperature increase averages 0.026°C yr⁻¹ with a maximum value of 0.034°C yr⁻¹ after 2011. The IW enters the Tyrrenian Sea after crossing the Sicily Channel, where the temperature has been monitored with XBT probes since 1999 along the MX04 Genova-Palermo transect (Fig. 5d) as part of the Ship of Opportunity program of GOOS. Figure 5 presents two Hovmöller plots of mean temperature anomalies in the layer 0–800 m layer computed along the transect considering different baselines: one subtracting the monthly average computed from the MX04 survey (Fig. 5a); the other subtracting the IAP/CAS baseline 1981–2010 (Fig. 5b). Consistent and continuous warming of the IW appears from spring 2013 in the 150–450 m layer and propagates progressively deeper. The mean temperature evolution in the 150–450 m layer (Fig. 5c) indicates a steep increase between 2014 and 2017 and a linear trend over the time period 1999–2021 equal to 0.028°C yr⁻¹, consistent with the one observed in the Sicily Channel. The overall mean temperature variation is approximately 0.6°C, with temperatures ranging from 13.8°C to 14.4°C. The warm IW propagated northwards mainly from 38° to 42°N and, as in the Sicily Channel, the IW exhibited a slight decrease in 2018–2019. The data gathered in September and
Fig. 4. Regional observed upper 2000 m OHC change from 1955 through 2021 relative to 1981–2010 baseline. The time series (black) are smoothed by LOWESS (locally weighted scatterplot smoothing) with a span width of 24 months. The gray shadings are the 95% confidence interval. [Data updated from Cheng et al. (2017)].
December 2021 report mean values greater than or equal to 14.4°C, as in 2017, but the heat penetrates progressively deeper and occupies a larger area.

5.5. The North Atlantic Ocean

The North Atlantic Ocean shows a warming trend for the entire instrumental record, with an increasing trend commencing around 2000 (Fig. 4e). The most dramatic warming occurs in the Gulf Stream region (Figs. 2, 3). Despite the broad-scale warming, a key feature of the North Atlantic Ocean is a cooling region south of Greenland (the so-called “warming hole”) (Seidov et al., 2017). In single forcing experiments, it is demonstrated that both GHG and AER forcings can produce such a cooling signature (Fig. 3). Previous studies have indicated that this cooling trend reveals a slowdown of the Atlantic meridional overturning circulation, which leads to reduced oceanic heat transport into the warming hole region (Rahmstorf et al., 2015; Keil et al., 2020). Other potential culprits include the changes to the Gulf Stream extension region (Seidov et al., 2019; Pieuch, 2020; Boers, 2021), local atmospheric forcing (Li et al., 2021), and Indian Ocean warming (Hu and Fedorov, 2020). In 2021, the North Atlantic OHC was the highest on record since 1958 (Fig. 4e).

5.6. The North Pacific Ocean

The North Pacific (30°–62°N) showed a dramatic increase in OHC after 1990 and reached a record high level in 2021 (Fig. 4f). The North Pacific Ocean warming is broad and deep-reaching. Warming anomalies in 2021 (relative to the 1981–2010 baseline) are ~2°C near the surface and 1°C at ~300 m in the middle North Pacific (Fig. 6). The relentless increases in OHC have direct implications for the frequency, intensity, and extent of marine heat waves (MHWs) and other “hot spots” within the ocean (Holbrook et al., 2019). A series of MHWs have developed here within the past decade. These are typically triggered by benign atmospheric conditions with anticyclonic circulation, decreased evaporative cooling, and lighter winds that lead to less ocean mixing (Holbrook et al., 2019). In the North Pacific winter, such conditions are favored by La Niña through an atmospheric bridge (Trenberth et al., 1998), and this helped set up the North Pacific MHW in 2013-14. Such events impact marine life and propagate through the food web to larger marine creatures. “The Blob” was one such strong event from 2014-16 and demonstrated that the entire ocean food web can be severely impacted due to these extremes (Cornwall, 2019). The 2021 North Pacific warm “blob” was a continuation of the 2020 anomaly. Scannell et al. (2020) indicate that the oceanic conditions (OHC, salinity, and stratification) support the development of marine heat waves in the North Pacific Ocean. The marine heat wave is also associated with an atmospheric circulation pattern. A “high-pressure heat dome” developed in late June over the Pacific Northwest and southwest Canada, along with temperatures that exceeded 40°C (a record-breaking major heatwave), which resulted in widespread wildfires and associated destruction. In September and October 2021, the MHW continued and was strongest in the central North Pacific, consistent with La Niña influences through the atmospheric bridge from the...
tropics.

5.7. The Southern Ocean

According to model simulations, the Southern Ocean (SO) served as a major anthropogenic heat sink in the past century (Frölicher et al., 2015); observation-based estimates suggest that the SO accounted for 30%–60% of the global OHC increase since ~1970 (IPCC, 2019), contemporaneous with significant ocean warming (Fig. 4g; Gille, 2002). Mass subduction of water from the SO mixed layer serves as a rapid pathway for anthropogenic warming signatures to be communicated to the deep ocean (Marshall and Speer, 2012). Based on CMIP5 model simulations, Silvy et al. (2020) showed that the anthropogenic signal ‘emerges’ from the noise and overwhelms the natural variability much more quickly in the well-ventilated SO water masses than in Northern Hemisphere waters. The SO shows a clear warming peak on the northern flank of the Antarctic Circumpolar Current (ACC) (Figs. 2 and 6) (Böning et al., 2008; Gouretski et al., 2013; Roemmich et al., 2015), corresponding to the rapid warming of the Subantarctic Mode Water (SAMW) (Gao et al., 2018) and Antarctic Intermediate Water (Schmitz and Johnson, 2012) with widespread impacts across the entire Southern Hemisphere. By 2021,
the warming exceeded 0.6°C in the major formation regions of the SAMW, compared to the 1981–2010 baseline (Fig. 6b). The Antarctic continental shelf and adjacent seas also show significant warming trends (Schmittko et al., 2014) linked to the detected warming of abyssal and bottom waters over the globe (Purkey and Johnson, 2010, 2013). The surface layer of the subpolar regions shows little warming and has slightly cooled over the past decades, owing to wind-driven upwelling, increased northward heat export to the northern flank of the ACC, and sea ice changes (Armour et al., 2016). Purich et al. (2018) added that the freshening of the SO — linked to the amplification of the global hydrological cycle — may also favor subpolar surface cooling by reducing the entrainment of subsurface warm water. These complex heat uptake and storage patterns are primarily attributable to greenhouse-gas forcing, with stratospheric ozone depletion playing a secondary role (Swart et al., 2018).

6. Summary and implications for climate actions

This study provides up-to-date estimates of OHC through 2021 based on two international datasets. Single forcing simulations are used to analyze the contribution of different forcings on the regional OHC pattern. The regional and global changes both reveal a robust and significant ocean warming since the late-1950s — the entirety of the reliable instrumental record. Natural variability and change in ocean circulation play important roles locally, but the predominant changes result from human-related changes in atmospheric composition. As oceans warm, the water expands, and sea level rises. Preparing for sea level rise and its implications for coastal communities are particularly important.

Changes to engineering design, building codes, and modifications to coastal development plans are recommended in anticipation of increased sea levels and increases in extreme precipitation events, which are already being observed (Abram et al., 2015, 2017; Scambos and Abraham, 2015). In addition, warmer oceans supercharge the weather systems, creating more powerful storms and hurricanes, and increased precipitation. Warmer oceans lead to a warmer and moister atmosphere that promotes more intense rainfall in all storms, especially hurricanes, thereby increasing the risk of flooding (Trenberth et al., 2003; IPCC, 2021). Warming ocean waters threaten marine ecosystems and human livelihoods, for example, coral reefs and fisheries (IPCC, 2019).

The United Nations has proposed 17 inter-linked goals and 169 targets focused on maintaining the health and vitality of human societies and natural ecosystems worldwide (UN, 2021). The Sustainable Development Goals (SDGs) were set up in 2015 by the United Nations General Assembly and are intended to be achieved by 2030. Many goals are closely related to the health of the world’s oceans, and the ocean’s health is vital to the SDGs. For example, the SDG13-Climate Actions; SDG14-Life Below Water; SDG6-Clean Water and Sanitation; SDG2-Zero Hunger; SDG3-Good Health and Well-Being (Abram et al., 2019; von Schuckmann et al., 2020) are all either directly or indirectly related to oceans. In 2021, the United Nations initiated the Decade of Ocean Science for SDGs to increase the awareness of many problems the ocean faces and to build up capability for ocean monitoring and scientific research. This study indicates that there are still uncertainties and knowledge gaps in monitoring ocean warming; for example, the quantification of uncertainty from inter-annual to multi-decadal scales and the impact of data QC and regional differences of OHCs revealed by different datasets. Thus, better awareness and understanding of ocean dynamics are fundamental in combating climate change.

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