Suggestions for Revegetation over the Next 30 Years Based on Precipitation in the Three North Region of China

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Abstract: Afforestation in the Three North Region (TNR) of China has received wide concern due to the low survival rate and threats to water security associated with the lack of available precipitation for vegetation. It is crucial to provide a spatial layout for revegetation according to the available precipitation to achieve the vegetation cover target. This study investigated the spatial pattern of precipitation, determined the suitable vegetation distribution based on the ecological water requirements and precipitation, and proposed an optimized revegetation scheme by comparing the actual and suitable vegetation patterns. The results indicated that the actual vegetation that matched the pixel-level precipitation accounted for 67.24% of the total vegetation area in the TNR. However, 18.50% of the actual forest, 21.82% of the actual shrublands, and 19.95% of the actual grasslands were overloaded with respect to precipitation. The total suitable vegetation area was reduced slightly compared to the actual vegetation area. There is still some potential for the revegetation of forest and shrublands, mainly those in the eastern and south-eastern parts of the TNR. The optimized revegetation area in the TNR was $3.04 \times 10^6 \text{ km}^2$, including a maintenance management type of $2.19 \times 10^6 \text{ km}^2$, an upgrade type of $0.49 \times 10^6 \text{ km}^2$, and a degradation type of $0.37 \times 10^6 \text{ km}^2$. Maintenance management (natural restoration) and transformation to vegetation types with lower ecological water requirements were recognized as important revegetation practices in the TNR. This study provides guidelines to adjust the Three North Shelterbelt Project policies based on precipitation data to reduce the negative impact of revegetation on the hydrological cycle.

Keywords: precipitation; threshold; optimizing scheme; the Three North Shelterbelt Program

1. Introduction

Desertification is the most critical challenge facing arid and semiarid areas worldwide. Many countries have adopted afforestation to mitigate desertification, such as the Great Plains Shelterbelt [1], the Great Stalin Plan for the Transformation of Nature [2], and the Great Green Wall of the Sahara [3,4]. Desertification in northern China is still severe, with natural and anthropogenic causes, such as arid or semiarid climates, over-grazing, logging, and crop planting [5–8]. Currently, several programs, such as the Three North Shelterbelt Program (TNSP), the Grain for Green Program, and the Natural Forest Protection program, have been initiated to combat desertification in Northern China [9,10]; among them, the TNSP is the most ambitious program with the largest extent and the longest time span.
The TNSP has been implemented for more than 40 years [11]. Wang et al. [12] argued that desertification in the arid region in northern China improved overall but deteriorated locally from 2000 to 2015 based on MODIS data. Gerlein-Safdi et al. [13] claimed that vegetation biomass in China’s TNSP has been increasing, and reforestation could increase ecosystem productivity and decrease desertification. Cao et al. [14] showed that the establishment of fruit plantations in the TNSP produced $235.5 \times 10^9$ RMB/year, while the benefits of natural recovery of vegetation were estimated to be $447.43 \times 10^9$ RMB/year. The contribution rates of shelterbelts in the TNSP were calculated to be 4.28–9.45% among different climatic potential productivity zones [15].

However, the TNSP has received the most attention among ecological restoration projects in China [6,16,17]. It was reported that China had completed $46.1 \times 10^6$ hm$^2$ afforestation by 2017 in the Three North Region (TNR). However, only 60% of the planted trees or shrubs were kept, and only 46.9% of the trees and shrubs developed into a forest [18]. Water scarcity was recognized as the most important reason for the loss [19]. With insufficient water availability, the planted trees usually prematurely aged, as evidenced by decreasing growth rates, top withering, dead stems, etc. [20,21]. Moreover, afforestation without considering water availability imposed passive impacts on water resources and the hydrological cycle in arid and semiarid climate zones [10,16,22]. Cao et al. [14] indicated that afforestation of the TNSP in areas with insufficient precipitation to sustain tree growth would cause a rapid drop in groundwater level, which would degrade the ecosystem in arid and semiarid regions [23].

According to the components of a water budget (precipitation, irrigation, evapotranspiration, storage change, and discharge), vegetation can grow when water input (precipitation and irrigation) is higher than water output (evapotranspiration and discharge). In arid and semiarid regions, groundwater and surface water can scarcely be used for vegetation irrigation, as the priority of water use for vegetation is lower than that for households, industry, and agriculture [22]. Precipitation available for vegetation in the TNR is precipitation after subtracting discharge (surface runoff and percolation) and storage change (regarded as zero) [24–27]. However, due to neglecting the available precipitation in the early stage of the TNSP, numerous planted trees in the TNR still survived by irrigation or by depletion of groundwater; otherwise, these trees suffer from premature ageing [20,25]. Sustaining tree plantations has become an economic burden for the local community due to irrigation water fees and the risk to socioeconomic water security and sustainable development [28,29]. Therefore, it is critical to clarify where the ecological water requirement of the actual vegetation exactly matched or did not match the available precipitation in the TNR and to support suitable recommendations for improvement.

Furthermore, revegetation in the TNR will continue to be implemented by the government to mitigate desertification and improve the livelihood of local farmers in the next 30 years [11,24]. Revegetation based on available precipitation has been recognized as the most important principle for vegetation development in the TNR [9]. Shrubs and grassland were also recruited in revegetation, which contributed to vegetation cover increases with lower water requirements than trees [7,27]. Nevertheless, there is still no clear plan for the spatial layout of revegetation of the TNSP that assimilates the available precipitation for vegetation and recruitment of shrubs and grassland [25–27].

This paper calculated the available precipitation for vegetation at the pixel level with the water balance equation, determined the suitable vegetation distribution based on the ecological water requirements for vegetation in different climate zones, assessed the suitability of actual vegetation by comparing it with the suitable vegetation pattern, and proposed the optimization scheme of revegetation in the next 30 years. This study attempts to answer several key questions of revegetation about the TNSP in the future: (1) Does actual vegetation spatially match the suitable vegetation determined by available precipitation? (2) Is there a broader scope for revegetation? (3) How can we do revegetation in the future?
2. Materials and Methods

2.1. Study Area

The TNR includes the north-western, central north, and north-eastern parts of China, covering 13 provinces. The total area of the TNR is 4.49 million square kilometers, which accounts for approximately 46.79% of China’s landmass (Figure 1). It is situated between 33°50′ N–53°33′ N latitude and 73°33′ E–135°05′ E longitude. The altitude ranged from −160 to 7365 m. The climate shifts from humid in the east to semiarid and arid in the west. The average annual precipitation decreases from 625 mm in the east to 109 mm in the west. The land cover types include forest (12.07%), grassland (29.93%), cropland (15.35%), settlement (1.61%), wetland (4.39%), and barren and sparse vegetation land (36.65%) in reference to a 1 km land use/cover distribution map [30].

The TNSP was designed to protect the forest ecosystem and increase forestland cover in the TNR [14]. It has been implemented since 1978 and is planned to be finished in 2050, lasting over 70 years and divided into three stages (1978–2000, 2001–2020, and 2021–2050) [11]. At the end of 2018, the afforestation area was more than 30 million hectares, and the ratio of vegetation cover to land increased from 5.05% in 1978 to 13.57% in 2018 [31].

![Climate zones](image1) ![Vegetation](image2)

**Figure 1.** Climate zones (a) and actual vegetation (b) of the TNR. ATZ: arid temperate zone; AWTZ: arid warm temperate zone; CTZ: cold temperate zone; HMTZ: humid mid-temperate zone; QTAZ: Qinghai-Tibet alpine zone, STZ: Semi-arid temperate zone; SWTZ: semi-humid warm temperate zone; LC: Land cover; NSV: not suitable for vegetation (the same as below).

2.2. Framework of Optimizing the Spatial Distribution of Revegetation

The optimization scheme for spatial distribution of revegetation in the TNR was determined based on the precipitation, EWR threshold, and actual land cover pattern at the pixel level (Figure 1).

First, the gridded APV was calculated from 1980 to 2018 and from 2019 to 2049 with gridded precipitation from 1980 to 2018 and from 2019 to 2049, respectively. This paper adopted the 50% accumulation frequency as the precipitation of each meteorological station with Hydrological Frequency Distribution Curve Fitting software (HFDCF, teaching version) by PE3 (Pearson III) as the best-fit model [32,33]. The annual precipitation from 2019 to 2049 was simulated with the autocorrelation regressive integrated moving average (ARIMA) model [34]. The precipitation data were interpolated in ArcGIS with the cokriging interpolation method incorporating the DEM data with cross-validation using a cross-validation tool in ArcGIS [35,36].

Second, the paper combined the gridded APV from 1980 to 2018 and 2019 to 2049 to obtain the gridded LAPV. According to the four components of the water budgets (precipitation, evapotranspiration, storage change, and discharge) [37–39], water resources from precipitation that are available for vegetation growth can be calculated by subtracting storage change and discharge from the total precipitation [40]. The discharge includes surface runoff, subsurface runoff, and percolation. In the TNR, the storage change is nearly 0 within one year, and there is almost no subsurface runoff [41]. The available annual precipitation for vegetation was computed with the annual precipitation, subtracting...
annual surface runoff and annual percolation. The surface runoff and percolation were estimated with their ratios to annual precipitation obtained from the in situ data of the climax community of different climate zones (Table 1).

| Climate Zones                  | Climax Community            | Dominant Species                                                                 | Ratios of Surface Runoff to Precipitation (%) | Ratios of Percolation to Precipitation (%) |
|--------------------------------|-----------------------------|----------------------------------------------------------------------------------|-----------------------------------------------|---------------------------------------------|
| Semi-humid warm temperate zone | Deciduous Broadleaf Forest  | Quercus wutaishanica Blume, Betula platyphylla Suk., Robinia pseudoacacia Linn., Populus davidiana Dode, etc. | 1.31 *                                         | 12.76 †††                                   |
| Arid warm temperate zone      | Shrubs, desert              | Festuca ovina Linn., Geranium wlfordii Maxim., Alchemilla tianshanica Juz., etc. | 2.96 ‡                                         | 1.05 §§†† †† †††                               |
| Humid mid-temperate zone      | Deciduous coniferous and broad-leaved mixed forest, Deciduous Broadleaf Forest | Pinus koraiensis Siebold et Zuccarini, Larix gmelini (Ruprecht) Kuzeneva, Betula platyphylla Suk., Tilia manshurica Rmpr.et Maxim., Quercus mongolica Fischer ex Ledebour, etc. | 1.38 ‡‡ | 16.82 ††† |
| Semi-arid temperate zone      | Meadow, shrubs              | Stipa capillata Linn., Hippophae rhamnoides Linn., etc.                           | 2.30 ‡‡ | 11.46 ††† |
| Arid temperate zone           | Typical steppe              | Stipa Grandis P. Smir., Stipa krylovii Roshev., etc.                               | 1.43 ‡‡ | 7.14 ††† |
| Cold temperate zone           | Deciduous coniferous forest | Larix gmeliniti                                                                    | 0.36 ** | 16.39 ††† |
| Qinghai-Tibet alpine zone     | Shrubs, subshrubs, alpine deserts | Carex, Kobresia myosoroides (Villars) Foiri, Polygonum viviparum Linn., Potentilla fruticosa Linn., etc. | 2.77 †† | 34.92 §§§ |

Note: The ratio of surface runoff/percolation to precipitation of each climate zone was computed as the average of ratios of different in situ studies in this climate zone. Sources of the ratios of surface runoff to precipitation: * [42–46], † [47], ‡ [48–53], § [54,55], ‡‡ [56–59], ‡‡‡ [51,60], and ‡‡‡ [61]. Sources of the ratios of percolation to precipitation: †† [46], ††† [47], ††† [49,62–65], †† †† [66], †††† [66,67], †††† [63], and §§§ [68].

Third, integrated suitable vegetation in the TNR was produced with suitable vegetation based on LAPV and irrigation water. Suitable vegetation based on LAPV was produced based on the LAPV referring to the EWR threshold for natural vegetation of different climate zones. The suitable vegetation based on irrigation water was made with irrigation water availability and an EWR threshold. Irrigation water was calculated as 30% of the surplus water [69]. The surplus water was estimated by the balance of water supply and water consumption at the watershed level. Water supply was simulated with the InVEST model [70], while water consumption was estimated with land cover data and water consumption per hectare [71]. The vegetation EWR threshold was determined as the actual vegetation evapotranspiration at a 10% accumulation frequency among all grid cells from low to high in each climate zone. The actual vegetation evapotranspiration was calculated based on the vegetation potential evapotranspiration with Fu’s equation [72,73]. Finally, this study compared gridded actual vegetation and gridded integrated suitable vegetation to assess the suitability of actual vegetation. The EWRs of different vegetation
types in the same climate zone were as follows: forest > shrubs > grassland > barren land. “Matching” means that actual vegetation is the same as suitable vegetation, “surplus” refers to the EWR of actual vegetation being lower than that of suitable vegetation, while “overloaded” means that the EWR of actual vegetation is higher than that of suitable vegetation. Accordingly, the optimization scheme for the spatial distribution of revegetation in the TNR includes the upgrade type (surplus type), maintenance management type (matching type), and degradation type (overloaded type) (Figure 2).

Figure 2. Framework of the optimization scheme for revegetation at the pixel level in the TNR. The rank of ecological water requirements (EWRs) of different vegetation types was as follows: forest > shrubs > grassland > barren land. The “vegetation upgrade” type refers to the suitable vegetation type being forest while the actual vegetation type is shrubs, grassland or barren land, or the suitable vegetation is shrubs while the actual vegetation is grassland or barren land, and so on. The “maintenance management” type means that the actual vegetation type is the same as the suitable vegetation. The “vegetation degrading” type refers to the suitable vegetation type being grassland, while the actual vegetation type is forest or shrub, and so on.

For the upgrade type, actual vegetation can be converted to the vegetation types of higher EWR with an available precipitation surplus by closing hillsides to facilitate afforestation, planting, seeding, etc. The upgrade vegetation types include shrub to forest types, from grassland to shrubs, from desert to grassland, from grassland to forest, from...
desert to forest, and from desert to shrubs. The suitable vegetation type was the same as the actual vegetation type, and the most important practice was to limit human activities to maintain the management type. As the actual vegetation was unsustainable for the degradation type, the appropriate measure was to gradually return the vegetation types to those matching the available precipitation by gradually stopping irrigation, felling prematurely ageing trees, planting suitable shrub or grass, etc. The degradation vegetation type includes forest to shrubs, forest to grassland, forest to desert, shrubs to grassland, shrubs to desert, and grassland to desert.

2.3. Data Sources and Pre-Processing

The meteorological datasets were obtained from the National Meteorological Information Centre of China (http://data.cma.cn/, 15/06/2019). The annual precipitation data of 729 stations in the TNR from 1951 to 2018 were adopted in this study. The land use/cover at 1 × 1 km resolution in the TNR in 2015 is from the Chinese Land Use Change Database (CLUSD) [30]. The SRTM 90-m Digital Elevation Database was utilized. All of the gridded data processing, data spatial analyses, and calculations were conducted through ArcGIS v. 10.0 software (Environmental Systems Research Institute, Inc., Redlands, CA, USA). All data were resampled to a 1 × 1 km grid to reduce uncertainties arising from data processing.

3. Results

3.1. Spatial Pattern of Precipitation

3.1.1. Precipitation at a 50% Accumulation Frequency from 1980–2018

The precipitation at a 50% accumulation frequency from 1980 to 2018 in the TNR decreased from southeast to northwest. The precipitation in the northeastern region was greater than that in the western regions due to the abundant water vapor brought by the Southeast Asian monsoon (Table 2). The precipitation in most areas of Northeast China, the Beijing-Tianjin-Hebei Metropolitan Area, and the eastern part of the Loess Plateau was more than 400 mm. The 400-mm precipitation line was also the transition line between forests and grasslands in northern China. The more it expanded to the northwest, the lower the precipitation. The 200-mm precipitation line extended from the north of the Hunshandak Sands in Inner Mongolia towards the southwest to the eastern part of the Qinghai-Tibet Plateau. The 200-mm precipitation line was considered the transition line between deserts and grasslands. The western part of the TNR experienced intense drought conditions, as the precipitation in most regions was lower than 200 mm. Only the precipitation in the area surrounding Tianshan Mountain was slightly more than 200 mm (Figure 3).

Table 2. Annual precipitation at a 50% accumulation frequency in the TNR.

| Climate Zones                        | Area (10^6 hm^2) | AP (mm)   | PP (mm)   |
|--------------------------------------|------------------|-----------|-----------|
| Arid Warm Temperate Zone             | 121.78           | 90.58 ± 69.49 | 137.81 ± 94.96 |
| Cold Temperate Zone                  | 6.26             | 453.18 ± 37.62 | 499.78 ± 53.31 |
| Humid Medium Temperate Zone          | 64.91            | 550.83 ± 107.03 | 649.62 ± 132.68 |
| Semi-humid Warm Temperate Zone       | 38.91            | 485.79 ± 60.43 | 542.60 ± 98.27 |
| Arid Temperate Zone                  | 87.89            | 189.79 ± 81.61 | 254.01 ± 107.11 |
| Qinghai-Tibet Alpine Zone            | 68.19            | 143.74 ± 131.54 | 227.74 ± 175.64 |
| Semi-arid Temperate Zone             | 61.43            | 325.88 ± 76.50 | 382.30 ± 109.76 |

Note: AP: Actual Precipitation is the precipitation at a 50% accumulation frequency from 1980 to 2018; PP: Predicted Precipitation is the predicted precipitation at a 50% accumulation frequency from 2019 to 2049. All precipitation data are presented as the means ± standard deviation (SD).
3. Results

3.1. Spatial Pattern of Precipitation

3.1.1. Precipitation at a 50% Accumulation Frequency from 1980–2018

According to the ARIMA simulation, the precipitation at a 50% accumulation frequency from 1980 to 2018 in most of the TNR increased compared to the precipitation at a 50% accumulation frequency from 1980 to 2018 (Table 2). The precipitation in the Horqin Sandy Land of eastern Inner Mongolia, northern Xinjiang, and northern Shaanxi increased significantly. The 400-mm precipitation line generally moved towards the northwest. However, the 400-mm precipitation line in south-eastern Inner Mongolia and western Hebei moved southeast. The area with precipitation lower than 200 mm in the western region of the TNR decreased (Figure 3).

3.1.2. Precipitation at a 50% Accumulation Frequency in Next 30 Years

According to the ARIMA simulation, the precipitation at a 50% accumulation frequency from 2019 to 2049 in most of the TNR increased compared to the precipitation at a 50% accumulation frequency from 1980 to 2018 (Table 2). The precipitation in the Horqin Sandy Land of eastern Inner Mongolia, northern Xinjiang, and northern Shaanxi increased significantly. The 400-mm precipitation line generally moved towards the northwest. However, the 400-mm precipitation line in south-eastern Inner Mongolia and western Hebei moved southeast. The area with precipitation lower than 200 mm in the western region of the TNR decreased (Figure 3).

3.2. Spatial Pattern of Available Precipitation for Vegetation

Based on the precipitation accumulation frequency of 50% from 1980 to 2018, the average actually available precipitation for vegetation (AAPV) among different climate zones in the TNR was estimated to range from 88.61 to 450.73 mm. The AAPVs of the humid medium temperate zone, the semihumid warm temperate zone, and the cold temperate zone were much higher than those of other climate zones (Table 3 and Figure 4). The AAPV of the arid warm temperate zone was lower than 90 mm, which was deficient for vegetation growth. Based on predicted precipitation with a 50% accumulation frequency from 2019 to 2049, the average predicted available precipitation for vegetation (PAPV) was calculated to range from 121.22 to 523.54 mm. The PAPV of most areas was higher than the AAPV but lower in Tianshan Mountain of Xinjiang Province, Liaohe Basin in Liaoning Province, and the southern part of the Loess Plateau in Shaanxi Province. The low available precipitation for vegetation (LAPV) was the average lowest amount of precipitation between the AAPV and PAPV at the pixel level. The LAPV of each climate zone was lower than both the AAPV and PAPV (Table 3 and Figure 4).

Table 3. Available precipitation for vegetation in the TNR.

| Climate Zones               | Area ($10^6$ hm$^2$) | AAPV (mm)       | PAPV (mm)       | LAPV (mm)       |
|-----------------------------|-----------------------|-----------------|-----------------|-----------------|
| Arid Warm Temperate Zone    | 121.78                | 88.61 ± 66.87   | 121.22 ± 82.41  | 88.16 ± 65.53   |
| Cold Temperate Zone         | 6.26                  | 377.29 ± 31.30  | 409.16 ± 44.52  | 376.79 ± 31.63  |
| Humid Medium Temperate Zone | 64.91                 | 450.73 ± 87.53  | 523.54 ± 97.12  | 444.83 ± 85.52  |
| Semi-humid Warm Temperate Zone | 38.91               | 417.65 ± 51.92  | 468.42 ± 60.26  | 413.90 ± 50.38  |
| Arid Temperate Zone         | 87.89                 | 179.10 ± 74.00  | 233.17 ± 92.28  | 178.75 ± 73.95  |
| Qinghai-Tibet Alpine Zone   | 68.19                 | 105.84 ± 88.78  | 158.60 ± 116.56 | 104.68 ± 86.91  |
| Semi-arid Temperate Zone    | 61.43                 | 283.35 ± 65.27  | 319.87 ± 81.23  | 280.70 ± 63.97  |

Note: AAPV: Actually Available Precipitation for Vegetation; PAPV: Predicted Available Precipitation for Vegetation; LAPV: Low Available Precipitation for Vegetation, calculated as the average lowest precipitation amount between the AAPV and PAPV of each pixel. All precipitation data are presented as the means ± standard deviation (SD).
3.3. Irrigation Water for Green Infrastructures of Communities

Runoff from precipitation should preferentially be consumed by agriculture, industry, and households, and then ensure the river ecological function. Next, the surplus water from runoff can be used to irrigate the green infrastructures of communities. Only 47 of 100 subbasins in the TNR supported irrigation water for green infrastructures. The total water available for irrigation was estimated to be $5.7 \times 10^9$ m$^3$. The average amount of water used to irrigate green infrastructures ranged from 2.90 to 70.99 mm. The subbasins with surplus water available for green infrastructure irrigation were mainly located in areas of Inner Mongolia Province, the southern part of Jilin Province, the northern and western part of Hebei Province, the eastern part of Shanxi Province, and the eastern and northern part of Xinjiang Province (Figure 5).

3.4. Threshold of Ecological Water Requirement for Vegetation

According to Penman’s equation, the EWR for vegetation ranged from 18.96 to 712.03 mm. Spatially, the EWR in Northeast China, the Beijing-Tianjin-Hebei region, the southern part of the Loess Plateau in Shaanxi Province, and the Yili River Valley in Xinjiang Province was much higher than that in other areas. With a higher leaf area and higher level of actual evapotranspiration, more trees resulted in a higher EWR in these areas. As the actual vegetation evapotranspiration at a 10% accumulation frequency among all grid cells from low to high for different types of vegetation in each climate zone, the EWR of different vegetation types in the semi-humid warm temperate zone and the humid medium temperate zone were higher than those in the arid temperate zone, the arid warm temperate zone, and the Qinghai-Tibet alpine zone (Table 4). The possible reason could be the leaf area of the tree species, and that the growth rates in the semi-humid warm temperate zone and the humid medium temperate zone were much higher.

(a) AAPV
(b) PAPV
(c) LAPV

Figure 4. Spatial pattern of available precipitation for vegetation in the TNR. (a) AAPV: Actually Available Precipitation for Vegetation; (b) PAPV: Predicted Available Precipitation for Vegetation; (c) LAPV: Low Available Precipitation for Vegetation, calculated as the average lowest precipitation amount between the AAPV and PAPV of each pixel.
3.3. Irrigation Water for Green Infrastructures of Communities

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3.5. Suitable Distribution of Vegetation Based on Precipitation

Considering the EWRT of different vegetation and climate zones, the suitable vegetation distribution was determined with the LAPV. Excluding cropland, wetland, construction land, and barren land, the suitable vegetation with LAPV was calculated to be $1.84 \times 10^6$ km$^2$, covering 40.92% of the total area in the TNR. There were $0.50 \times 10^6$ km$^2$ of forests, $0.15 \times 10^6$ km$^2$ of shrubs, and $1.19 \times 10^6$ km$^2$ of grasslands.

With irrigation water for green infrastructures in communities, approximately 34,405 km$^2$ of forests and 2030 km$^2$ of shrubs could be constructed. Most of the green infrastructures are located in the southern part of the Inner Mongolia Plateau, Taihangshan Mountain, Hexi Corridor, and the southern foot of Tianshan Mountain (Figure 6). Although the direct water from precipitation is not enough to support forests and shrubs in these areas, it is crucial to develop forest and shrub areas to improve the living environment for local people.
Integrating the suitable vegetation distribution with LAPV and irrigation water, the suitable vegetation distribution based on precipitation was estimated to be 1.84 × 10^6 km^2, covering 41.01% of the total area in the TNR. The forest land was 0.54 × 10^6 km^2, with a ratio of 11.99% to the total area in the TNR; the shrub land was 0.14 × 10^6 km^2, with a ratio of 3.17%; and the grassland was 1.16 × 10^6 km^2, with a ratio of 25.84%. The suitable forest area was mainly located in Northeast China, Yanshan Mountain, the south-eastern part of the Loess Plateau, and Altai Mountain. Suitable shrubs were situated in the transition zone between forest and grassland, especially in the south-eastern foot of Daxinganling Mountain, northern foot of Yanshan Mountain, and north-western part of the Loess Plateau (Figure 6).

### 3.6. Suitability Assessment of Actual Vegetation

Compared with the actual vegetation in the TNR, the suitable vegetation decreased slightly by 44.37 × 10^3 km^2, and the vegetation ratio to the total area in the TNR was reduced by 0.99% (Table 5). The suitable shrubland increased by 97.85 × 10^3 km^2, the suitable forestland increased by 14.45 × 10^3 km^2, whereas the suitable grassland decreased by 156.67 × 10^3 km^2 (Table 5). There was still potential for forests and shrubs to increase, mainly in the eastern and south-eastern parts of the TNR.

### Table 5. Differences in area and coverage between actual vegetation and suitable vegetation.

| Vegetation Types | Area (10^3 km^2) | Vegetation Coverage (%) |
|------------------|-----------------|-------------------------|
| Grassland        | 1316.94         | 29.33                   |
| Shrubs           | 128.09          | 3.49                    |
| Forest           | 440.62          | 11.99                   |
| Sum              | 1885.65         | 41.01                   |

(a) Suitable vegetation distribution based on LAPV

(b) Vegetation distribution based on irrigation water

(c) Suitable vegetation distribution based on precipitation

**Figure 6.** Suitable vegetation distribution based on direct and indirect precipitation in the TNR. LC: land cover; NSV: not suitable for vegetation (including cropland, wetland, and construction land). (a). Suitable vegetation distribution based on LAPV was determined directly using LAPV and EWRT. (b). Vegetation distribution based on irrigation water was determined using surplus runoff from precipitation preferentially consumed by agriculture, industry, households, and the river ecological function and EWRT. (c). Suitable vegetation distribution based on precipitation was developed by integrating (a,b).
The results indicate that the optimized area of revegetation in the TNR was calculated to be based on the EWR of the actual vegetation being more than the suitable vegetation, e.g., the actual vegetation is forest while the suitable vegetation is grassland.

### 3.7. Optimizing Scheme of Revegetation in the TNR

The overloaded status of vegetation in the TNR has been reported in other studies [25–27]. Wang et al. [25] indicated that most of the afforestation in Inner Mongolia suffered from water shortages, ranging from 74.6 to 609.9 mm. Wang et al. [27] also showed that the area of forests distributed in unsuitable regions reached 7.31% of the entire Loess Plateau of China based on rainfall data. Zhang et al. [26] claimed that the carrying capacity for vegetation in Horqin, China, would decrease by 15% based on the leaf area index simulated with precipitation and other environmental factors. The overloaded vegetation can hardly provide any ecological and economic benefits and might exacerbate the water shortage in the long term, which should be addressed by the revegetation scheme in the TNSP in the future.

**Table 6. Area and ratio of different matching types of actual vegetation.**

| Actual Vegetation Types | Matching Types | Area (10^3 km^2) | Ratio (%) |
|-------------------------|----------------|-----------------|-----------|
| Forest                  | Matching       | 359.11          | 81.50     |
|                         | Overloaded     | 81.52           | 18.50     |
|                         | Sum            | 440.62          | 100.00    |
| Shrub                   | Matching       | 34.12           | 26.64     |
|                         | Surplus        | 66.02           | 51.54     |
|                         | Overloaded     | 27.95           | 21.82     |
|                         | Sum            | 128.09          | 100.00    |
| Grassland               | Matching       | 874.75          | 66.42     |
|                         | Surplus        | 179.52          | 13.63     |
|                         | Overloaded     | 262.67          | 19.95     |
|                         | Sum            | 1316.94         | 100.00    |
| In total                |                | 1885.65         | –         |

Note: Matching refers to the actual vegetation being equal to the suitable vegetation; surplus refers to the EWR of the actual vegetation being less than the suitable vegetation, e.g., the actual vegetation is shrub while the suitable vegetation is forest; overloaded refers to the EWR of the actual vegetation being more than the suitable vegetation, e.g., the actual vegetation is forest while the suitable vegetation is grassland.

**Figure 7. Suitability of actual vegetation.** Matching refers to the actual vegetation being equal to the suitable vegetation; surplus refers to the EWR of the actual vegetation being less than the suitable vegetation, e.g., the actual vegetation is shrub while the suitable vegetation is forest; overloaded refers to the EWR of the actual vegetation being more than the suitable vegetation, e.g., the actual vegetation is forest while the suitable vegetation is grassland.
3.7. Optimizing Scheme of Revegetation in the TNR

This research developed an optimization optimizing scheme for future revegetation in the TNR by contrasting the suitable and actual vegetation distributions in the TNR. The results indicate that the optimized area of revegetation in the TNR was calculated to be $3.04 \times 10^6 \text{ km}^2$. It includes $2.19 \times 10^6 \text{ km}^2$ of maintenance management type, $0.49 \times 10^6 \text{ km}^2$ of upgrade type, and $0.37 \times 10^6 \text{ km}^2$ of degradation type (Table 7).

Table 7. Revegetation of different types in the TNR.

| Type of Revegetation | Vegetation Type Change          | Area (10^3 km^2) | Ratio (%) |
|----------------------|---------------------------------|------------------|-----------|
| Maintenance          | Forest                          | 359.11           | 11.80     |
| management type      | Shrub                           | 34.12            | 1.12      |
|                      | Grassland                       | 874.75           | 28.75     |
|                      | Desert                          | 917.27           | 30.14     |
|                      | Sum                             | 2185.25          | 71.82     |
| Upgrade type         | From shrub to forest            | 66.02            | 2.17      |
|                      | From grassland to forest        | 103.28           | 3.39      |
|                      | From desert to forest           | 10.07            | 0.33      |
|                      | From grassland to shrub         | 76.24            | 2.51      |
|                      | From desert to shrub            | 7.10             | 0.23      |
|                      | From desert to grassland        | 222.77           | 7.32      |
|                      | Sum                             | 485.49           | 15.95     |
| Degradation type     | From forest to shrub            | 25.08            | 0.82      |
|                      | From forest to grassland        | 42.67            | 1.40      |
|                      | From shrub to grassland         | 20.08            | 0.66      |
|                      | From forest to desert           | 13.77            | 0.45      |
|                      | From shrub to desert            | 7.87             | 0.26      |
|                      | From grassland to desert        | 262.67           | 8.63      |
|                      | Sum                             | 372.13           | 12.23     |

Note: For maintenance management type, the most important practice was to limit human activities. For the upgrade type, actual vegetation develops into the vegetation types of higher EWR with a precipitation surplus by closure for vegetation, planting, seeding, etc. As the actual vegetation was unsustainable for the degradation type, the appropriate measure was to gradually return the vegetation types to those matching the available precipitation by stably stopping irrigation, felling prematurely ageing trees, planting suitable shrub of grass, etc.

The revegetation type of most areas in the TNR was maintenance management. There was $917.3 \times 10^3 \text{ km}^2$ of desert maintenance management type, mainly located in the western part of Inner Mongolia and eastern part of Xinjiang Province. Approximately $874.7 \times 10^3 \text{ km}^2$ of grassland maintenance management type was situated in the middle and eastern parts of Inner Mongolia, the Qinghai-Tibet Plateau, and Tianshan Mountain in Xinjiang Province. The area of forest maintenance management type was calculated to be $359.1 \times 10^3 \text{ km}^2$ and was especially distributed on Daxinganling Mountain, Xiaoxinganling Mountain, Changbaishan Mountain, Yanshan Mountain, and the Loess Plateau (Table 7 and Figure 8a).

The area of the upgrade type was much less than that of the maintenance management type. A desert of $222.8 \times 10^3 \text{ km}^2$ could be converted to grassland with grass reseeding, fly sowing grass, etc., mostly located in the Alxa High Plain, the southern foot of Tianshan Mountain, and the northern part of Xinjiang Province. Grasslands of $103.3 \times 10^3 \text{ km}^2$ could develop into the forests with afforestation, mostly situated in the southwestern foot of Daxinganling Mountain, Yanshan Mountain, and the Loess Plateau (Table 7 and Figure 8b).

Among all degradation types, the grassland area altered to the desert was estimated to be $262.7 \times 10^3 \text{ km}^2$, mostly located in Xinjiang Province and the western part of Inner Mongolia. Forests of $42.67 \times 10^3 \text{ km}^2$ should be converted to grassland, especially distributed in the Horgin Sand, the Bashang Plateau in Hebei Province, Inner Mongolia, and the southern foot of Tianshan Mountain (Table 7 and Figure 8c).
With humid and semi-humid climates, the eastern and south-eastern parts of the TNR were covered with broad-leaf forest, needle-leaf forest, and mixed forest [74]. Most of the natural forest was converted to croplands, cities, towns, villages, roads, or plantations, and the remaining natural forest gradually degraded to a secondary forest, shrubland, grassland, or desert as human activities increased [75,76]. The shrubland, grassland, and desert in the eastern and south-eastern parts of the TNR could be restored to forests engineering practices, plantations, enclosures to limit human disturbances, etc. A few studies claimed that vegetation gain was observed in the humid and semi-humid climate regions of the TNR [77,78], and the contribution of human intervention could not be neglected. There is still a large quantity of shrubs and grassland in the humid and semi-humid climate regions of the TNR, which supports the potential for forest revegetation [79,80]. However, human disturbances would be a major challenge for forest restoration. It would take a long time for trees to grow into a forest. In addition, Zhang et al. [26] indicated that the carrying capacity for vegetation in semi-arid areas in the TNR, such as Junggar and Mu Us, would increase in the future based on precipitation and other environmental factors. The significantly increasing trend of precipitation since the 1950s in the western part of the TNR would promote revegetation [81].

Afforestation has been proposed as a strategy to mitigate environmental degradation to improve agricultural production, prevent soil erosion by water and wind, and increase the income of local people in the TNR since 1978 [54,82]. Before 2010, tree or shrub planting was preferable in the TNSP because people would receive higher payments [83]. Additionally, the government urged people to plant more trees and shrubs to reach the goal of the forest cover rate [31]. Water shortages in arid and semiarid regions were neglected. This resulted in a low survival rate of trees, unstable ecosystems, inefficient labor, and wasted investments [18,84–86]. Therefore, it became impractical to meet the intended forest cover goal. It is crucial to adjust the strategy according to precipitation in the TNR. Afforestation should not be the only option for revegetation. According to our study, the most widely adopted practice in the TNR should be natural restoration. Referring to precipitation, some
types of vegetation can be transformed into vegetation with lower EWR, such as from forest to shrubland or from shrubland to grassland. This optimization scheme would help develop stable ecosystems, facilitate consistent delivery of ecosystem services, and mitigate the passive effects of revegetation on the hydrologic cycle in the TNR.

4. Conclusions

This study assessed the suitability of actual vegetation in the TNR and provided suggestions for the revegetation of the TNSP in the future. First, this article indicated that 67.24% of the actual vegetation matched the suitable vegetation, while 18.50% of the actual forest, 21.82% of the actual shrubland, and 19.95% of the actual grassland were overloaded. Secondly, it supported the optimization scheme for the TNSP, including maintenance management type of $2.19 \times 10^6 \text{ km}^2$, upgrade type of $0.49 \times 10^6 \text{ km}^2$, and degradation type of $0.37 \times 10^6 \text{ km}^2$.

The results of this paper provided important policy-making implications for the governmental implementation of the TNSP in the next 30 years. The available precipitation for vegetation should be considered the most important principle for the revegetation of the TNSP. The optimization scheme for the TNSP was based on the available precipitation for vegetation at the pixel level, which could support and provide guidance for the government to implement the TNSP. There is still some potential for forest and shrubland growth, mostly located in the eastern and south-eastern parts of the TNR. Comparing the actual vegetation and suitable vegetation, approximately $0.37 \times 10^6 \text{ km}^2$ of vegetation should be degraded into vegetation types matching their available precipitation, including forest to shrubs, grassland, or desert, and shrubs to grassland or desert. This has not been proposed as an option for the TNSP before. This would be a preferable choice for governments that can no longer afford plantation irrigation fees. This study also recognized maintenance management (natural restoration) as an important practice of revegetation in the TNR. The optimization scheme would contribute to achieving the target of increasing vegetation cover in the TNR, constructing stable ecosystems, and mitigating the depletion of groundwater.

However, there were some uncertainties in our study. The precipitation data from the meteorological stations were interpolated without considering the effects of topography, which would affect the accuracy of suitable vegetation distribution. According to our study, much vegetation in Xinjiang Province should be degraded into a type with less EWR, such as from forest to grassland and from grassland to desert. Some of this mismatch between actual and suitable vegetation in Xinjiang Province included two types: vegetation surviving with irrigation [86], which should be restored, and vegetation surviving from snowmelt and glaciers, which need not be restored [87]. This uncertainty would be clarified only with the field investigation on the sources of vegetation available water. In addition, all of the vegetation in the Qinghai-Tibet alpine zone fell into the maintenance management type because of its high vulnerability [88,89]. The optimization scheme of vegetation in the Qinghai-Tibet alpine zone should be developed with much more prudent investigation in the future, such as through surveys on vegetation and their water requirements by vegetation zone, topography, altitude, etc.

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