Flat space (higher spin) gravity with chemical potentials

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Abstract: We introduce flat space spin-3 gravity in the presence of chemical potentials and discuss some applications to flat space cosmology solutions, their entropy, free energy and flat space orbifold singularity resolution. Our results include flat space Einstein gravity with chemical potentials as special case. We discover novel types of phase transitions between flat space cosmologies with spin-3 hair and show that the branch that continuously connects to spin-2 gravity becomes thermodynamically unstable for sufficiently large temperature or spin-3 chemical potential.

Keywords: flat space holography, higher spin gravity, three-dimensional gravity, flat space cosmology thermodynamics

ArXiv ePrint: 1411.3728
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1 Introduction

Chemical potentials are ubiquitous in physics (and chemistry) ever since their introduction by Gibbs. In gauge theories chemical potentials $\mu$ are usually introduced by giving the 0-component of the gauge connection a vacuum expectation value (see e.g. [1]).

$$A_0 \rightarrow A_0 + \mu$$ (1.1)

In the present work we are interested in flat space higher spin gravity with chemical potentials. We start by summarizing briefly selected recent developments that provide the motivation for our work. This is not meant to be a comprehensive review, but merely serves to put our motivation in the context of the current research directions.

Higher spin gravity in Anti-de Sitter (AdS) has led to numerous holographic studies, many of which were inspired by the seminal work by Klebanov and Polyakov [2–4] who conjectured a holographic correspondence between the $O(N)$ vector model in three dimensions and Fradkin–Vasiliev higher spin gravity on $\text{AdS}_4$ [5–7] (see [8–10] for reviews and [11–15] for some key developments). One of the attractive features of higher spin holography for the purpose of checking the holographic principle is that it is a weak/weak correspondence, i.e., relates higher spin gravity theories to very simple conformal field theories (CFTs) [16, 17]. By contrast, the usual AdS/CFT correspondence [18–20] is a weak/strong correspondence, which makes it useful for applications, but harder to check in detail, since calculations are often feasible only on one side of the correspondence.

We pause now briefly our mini-history to reconsider our goal of introducing chemical potentials in flat space higher spin gravity. It may not be immediately clear how to do this technically. However, when the theory allows a (classically) equivalent reformulation as gauge theory one can again use a prescription like (1.1).

Gravity, including higher spin gravity, in three dimensions does allow for such a reformulation, namely as Chern–Simons theory [21–23]. Indeed, exploiting this formulation chemical potentials were introduced in spin-3 AdS gravity in the past few years, first in the form of new black hole solutions with spin-3 fields by Gutperle and Kraus [24] (see also [25]), next perturbatively in the spin-3 chemical potential [26], then to all orders by Compère, Jottar and Song [27] and independently by Henneaux, Perez, Tempo and Troncoso [28]. A comprehensive recent discussion of higher spin black holes with chemical potentials is provided in [29]. The discussion so far was focused mostly on AdS and holographic aspects thereof [30], see [31–34] for reviews.

As advocated in [36], higher spin gravity has turned out to be a fertile ground for non-AdS holography, without the necessity for additional exotic matter degrees of freedom, including Lobachevsky holography [37, 38], Lifshitz holography [39, 40], de Sitter holography [35] and flat space holography [41, 42]. This is not only of interest in its own right, but particularly for verifying the generality of the holographic principle [43, 44], which should apply beyond AdS/CFT if it is a true aspect of Nature.

Three-dimensional flat space higher spin gravity is especially remarkable, since in higher dimensions massless interacting higher spin theories in flat space are forbidden
by various no-go results [45–47] (see [48] for a nice summary). It is therefore interesting that in three dimensions such theories exist [41, 42], though unitarity again poses strong constraints on the theory and rules out the simplest realizations of unitary flat space higher spin gravity [49].

The numerous recent advances in (three-dimensional) higher spin gravity are matched by exciting developments in (three-dimensional) flat space holography. Starting from the Barnich–Compère boundary conditions [50], some key developments were the BMS/CFT or BMS/GCA correspondences\(^1\) [54, 55], the flat space chiral gravity proposal [56], the counting of flat space cosmology microstates [57, 58], the existence of phase transitions between flat space cosmologies and hot flat space [59] and numerous other aspects of flat space holography [60–72].

In AdS\(_3\)/CFT\(_2\) it is rewarding to study Bañados–Teitelboim–Zanelli (BTZ) black holes [73, 74]. The flat space analogue of these objects are flat space cosmologies [75, 76]. Much like it is possible to consider BTZ black holes or their higher spin versions with chemical potentials switched on, it is plausible that there should be a flat space counterpart thereof, both in flat space gravity and in flat space higher spin gravity.

Flat space higher spin gravity in three dimensions combines all these research avenues and may serve to gain a better and deeper understanding of higher spin gravity, flat space holography, microscopic aspects of flat space cosmologies, string theory in the tensionless limit and, more broadly, quantum gravity and the holographic principle itself.

In the present work we consider specifically spin-3 gravity in flat space. Our main goal is to introduce chemical potentials for the spin-2 and spin-3 field in flat space, and to address some of their consequences, in particular the entropy and free energy of flat space cosmologies with spin-3 charges. Technically, we do this by working in the Chern–Simons formulation of spin-3 gravity and introducing chemical potentials as in (1.1), i.e., by deforming the zero-component of the gauge connection, analog to [29].

One of the most surprising results that we find is that the “physical” branch that connects continuously to spin-2 physics becomes thermodynamically unstable at large temperature or large spin-3 chemical potential. The phase transition can be of first or zeroth order, which differs qualitatively from the situation in AdS, where the corresponding phase transitions discovered so far were of zeroth order [77–79].

This paper is organized as follows. In section 2 we review aspects of flat space spin-2 and spin-3 gravity. In section 3 we include chemical potentials and present the main results. In section 4 we display our results applied to flat space Einstein gravity with chemical potentials. In section 5 we discuss some applications to flat space cosmologies, calculate their entropy and free energy, discover novel types of phase transitions, remark on flat space orbifold singularity resolution in spin-3 gravity and mention further developments and open issues.

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\(^1\)BMS stands for Bondi–van der Burg–Metzner–Sachs [51, 52], the asymptotic symmetry algebra of flat spacetimes at null infinity, and GCA for Galilean conformal algebra [53].
2 Flat space higher spin gravity

In this section we review results of flat space higher spin gravity and present them in a way that is considerably simpler than in the original publications. In section 2.1 we recall the Chern–Simons formulation in terms of an isl(3) connection. In section 2.2 we display a recent representation of this connection reminiscent of similar representations in the AdS case. In section 2.3 we present the canonical charges and their algebra. In section 2.4 we provide simple formulas for metric and spin-3 field by means of a twisted trace.

2.1 Chern–Simons formulation

Like in the AdS case, it is very convenient to use the Chern–Simons formulation of the theory. The Chern–Simons action

\[ I[A] = \frac{k}{4\pi} \int \langle \text{CS}(A) \rangle \]  

contains a coupling constant \( k \) (the Chern–Simons level; \( k = 1/(4G_N) \), where \( G_N \) is Newton’s constant) and the Chern–Simons 3-form

\[ \text{CS}(A) = A \wedge dA + \frac{2}{3} A \wedge A \wedge A. \]  

The bilinear form \( \langle \cdot, \cdot \rangle \) will be specified below. In the present work the connection will always be isl(3), i.e., it can be decomposed into a linear combination of isl(3) generators \( G_n \) as

\[ A = \sum_{n=1}^{16} A^n G_n = \sum_{n=-1}^{1} (A^n L_n + A^n M_n) + \sum_{n=-2}^{2} (A^n U_n + A^n V_n) \]  

with the generators \( L_n, M_n, U_n, V_n \) obeying the isl(3) algebra.

\[ [L_n, L_m] = (n - m)L_{n+m} \]  
\[ [L_n, M_m] = (n - m)M_{n+m} \]  
\[ [L_n, U_m] = (2n - m)U_{n+m} \]  
\[ [L_n, V_m] = (2n - m)V_{n+m} = [M_n, U_m] \]  
\[ [U_n, U_m] = \sigma (n - m)(2n^2 + 2m^2 - nm - 8)L_{n+m} \]  
\[ [U_n, V_m] = \sigma (n - m)(2n^2 + 2m^2 - nm - 8)M_{n+m} \]

with the generators \( L_n, M_n, U_n, V_n \) obeying the isl(3) algebra.

The \( L_n \) generate (Lorentz-)rotations, \( M_n \) generate translations, and \( U_n, V_n \) generate associated spin-3 transformations. The factor \( \sigma \) fixes the overall normalization of the spin-3 generators \( U_n \) and \( V_n \). In the present work we choose\(^2\)

\[ \sigma = -\frac{1}{3}. \]  

\(^2\)The minus sign in (2.5) guarantees that the generators \( L_n \) and \( U_n \) form an sl(3, \( \mathbb{R} \)) subalgebra, corresponding to the maximally non-compact real form of \( A_2 \).
It is also noteworthy that one can equip the algebra (2.4) naturally with a $\mathbb{Z}_2$ grading so that the generators $L_n, U_n$ are even and $M_n, V_n$ are odd. Then even with even gives even, even with odd gives odd and odd with odd vanishes. If one constructs isl(3) as an İnönü–Wigner contraction from so(2, 2) it is possible to do so introducing a Grassmann parameter $\epsilon$, and the contraction then consists in dropping all expressions quadratic in $\epsilon$; the $\epsilon$-independent generators are then the even generators and the generators linear in $\epsilon$ are the odd generators [66].

We exploit this Grassmann trick in appendix A.1 to define the generators. Moreover, we use it to define a (twisted) trace over a product of $k$ isl(3) generators $G_{n_i}$ (with $i = 1 \ldots k$) that is useful to construct the spin-2 and spin-3 fields from the isl(3) connection.

\[ \tilde{\text{tr}} \left( \prod_{i=1}^{k} G_{n_i} \right) := \frac{1}{2} \text{tr} \left( \prod_{i=1}^{k} \left( \frac{d}{d\epsilon} G_{n_i} \times \gamma^* \right) \right) \] (2.6)

The right hand side contains the usual matrix trace and involves the matrix (A.4). Here are some relevant properties of the twisted trace:

- **Oddness.** The twisted trace vanishes identically if at least one of the generators $G_{n_i}$ is even, i.e., one of the generators $L_n$ or $U_n$. Therefore, the only non-vanishing twisted traces involve exclusively the odd generators $M_n$ and $V_n$.

- **Relation to matrix trace.** If $k$ is even, then all factors of $\gamma^*$ cancel and the twisted trace is equivalent to the ordinary trace, upon taking into account the oddness property and up to a factor $\frac{1}{2}$. If $k$ is odd, then one factor of $\gamma^*$ remains, which ensures that the twisted trace does not vanish identically for all odd numbers of odd generators (the ordinary trace, however, does vanish for all odd numbers of odd generators, essentially due to the vanishing trace of the Pauli matrix $\sigma_3$).

- **Relation to sl(3) trace.** If we consider just the sl(3) block of the generators then the twisted trace is equivalently defined as the matrix trace over the products of the corresponding sl(3) blocks, again upon taking into account the oddness property. For the sake of this property we introduced the factor $\frac{1}{2}$ in the definition (2.6).

We shall employ the twisted trace (2.6) to define the spin-2 and spin-3 fields.

With respect to the above generators the (degenerate) bilinear form is given by

\[ \langle L_m, M_n \rangle = -2\eta_{mn} \quad \langle U_m, V_n \rangle = \frac{2}{3} K_{mn} \]. (2.7)

Here $\eta_{mn}$ given by $\eta = \text{antidiag} (1, -\frac{1}{2}, 1)$ is proportional to the sl(2) Killing form and the sl(3) part is given by $K = \text{antidiag} (12, -3, 2, -3, 12)$, both of which have non-zero entries only on the anti-diagonal. The bilinear form can be represented as a trace as follows [again using the matrix (A.4)]:

\[ \langle G_{n_1}, G_{n_2} \rangle = \tilde{\text{tr}}(G_{n_1}G_{n_2}) := \frac{d}{d\epsilon} \left|_{\epsilon=0} \frac{1}{4} \text{tr}(G_{n_1}G_{n_2}\gamma^*) \right) \] (2.8)

We shall refer to this trace as “hatted trace” to discriminate it from the twisted trace (2.6) and the ordinary matrix trace.
2.2 Spin-3 flat space connection

Explicit expressions for $isl(3)$ connections that obey asymptotically flat boundary conditions were established independently in [41] and [42] (see also [80]). However, we shall not use either of these expressions, but use instead the one introduced in [70] since it is considerably simpler. Namely, we represent the connection $A$ as gauge transformation of another connection $a$ with very simple properties and simple gauge group element $b$:

$$A = b^{-1} (d+a) b$$

(2.9)

with

$$b = \exp \left( \frac{r}{2} M_1 \right)$$

(2.10)

and

$$a = a_u(u, \varphi) \, du + a_\varphi(u, \varphi) \, d\varphi$$

(2.11)

The form (2.9) is reminiscent of the similarly useful form of the AdS connection in spin-2 gravity (see e.g. [81, 82]) and higher spin gravity [83, 84].

We use coordinates $u$, $r$ and $\varphi \sim \varphi + 2\pi$ adapted to flat space in (outgoing) Eddington–Finkelstein coordinates. As we shall see below, the background line-element in the absence of chemical potentials is then given by

$$ds^2 = -du^2 - 2 \, dr \, du + r^2 \, d\varphi^2.$$  

(2.12)

The manifold is topologically a filled cylinder. The asymptotic boundary cylinder (corresponding to null infinity) is reached in the limit where the radial coordinate $r$ tends to infinity.

The boundary conditions of [41, 42] simplify to conditions on $a_u(u, \varphi)$ and $a_\varphi(u, \varphi)$.

$$a_u = M_+ - \frac{M}{4} M_- + \frac{V}{2} V_2$$

(2.13a)

$$a_\varphi = L_+ - \frac{M}{4} L_- + \frac{V}{2} U_2 - \frac{N}{2} M_- + Z V_2$$

(2.13b)

Note that any connection $A$ with the properties (2.9)-(2.13) automatically solves the Chern–Simons field equations

$$F = dA + [A, A] = 0$$

(2.14)

provided the state-dependent functions in (2.13) are constrained as follows.

$$\dot{M} = \dot{V} = 0 \quad \dot{N} = \frac{1}{2} M' \quad \dot{Z} = \frac{1}{2} V'$$

(2.15)

Dots (primes) denote derivatives with respect to retarded time $u$ (angular coordinate $\varphi$). The constraints (2.15) are solved in terms of four arbitrary functions of the angular coordinate $\varphi$, all of which appear in the canonical charges [41].

$$M = M(\varphi) \quad V = V(\varphi) \quad N = N(\varphi) + \frac{a}{2} M'(\varphi) \quad Z = Z(\varphi) + \frac{a}{2} V'(\varphi)$$

(2.16)
The functions of Brown–Henneaux type \[85\] are constructed in the usual way \[83, 84\]. We use here the notation of \[37\].

The first step is to identify all gauge transformations that preserve the flat space boundary conditions. This was done already in \[41, 42\]. We rephrase now these results in terms of the new representation of the connection (2.9)-(2.11). To this end, we similarly define the gauge parameter as

\[
\varepsilon = b^{-1} \varepsilon^{(0)} b \tag{2.17}
\]

with the same group element (2.10) as before. The results of \[41, 42\] for the boundary condition preserving gauge transformations then translate into the following expression:

\[
\varepsilon^{(0)} = \varepsilon L_+ - \varepsilon' L_0 + \frac{1}{2} (\varepsilon'' - \frac{1}{2} \mathcal{M} \varepsilon - 8V\chi) L_-
+ \tau M_+ - \tau' M_0 + \frac{1}{2} (\tau'' - \frac{1}{2} \mathcal{M} \tau - N \varepsilon - 8V\kappa - 16Z\chi) M_-
+ \chi U_2 - \chi' U_1 + \frac{1}{2} (\chi'' - \mathcal{M} \chi) U_0 - \frac{1}{6} (\chi'' - \frac{5}{2} \mathcal{M} \chi' - \mathcal{M}' \chi) U_{-1}
+ \frac{1}{24} (\chi'''' - 4\mathcal{M} \chi'' - \frac{5}{2} \mathcal{M}' \chi' - \mathcal{M}'' \chi + \frac{3}{2} \mathcal{M}^2 \chi + 12V\varepsilon) U_{-2}
+ \kappa V_2 - \kappa' V_1 + \frac{1}{2} (\kappa'' - \mathcal{M} \kappa - 2V\chi) V_0
- \frac{1}{6} (\kappa''' - \frac{5}{2} \mathcal{M} \kappa' - \mathcal{M}' \kappa - 5V\chi' - 2V'\chi) V_{-1}
+ \frac{1}{24} (\kappa'''' - 4\mathcal{M} \kappa'' - \frac{5}{2} \mathcal{M}' \kappa' - \mathcal{M}'' \kappa + \frac{3}{2} \mathcal{M}^2 \kappa - 8V'\chi'' - 7V''\chi' - 2V'''\chi + 6\mathcal{M} \mathcal{N} \chi + 12V\tau + 24Z\varepsilon) V_{-2} \tag{2.18}
\]

The functions \(\varepsilon, \sigma, \chi\) and \(\rho\) depend on \(\varphi\) only, and we have the relations \(\tau = \sigma + u\varepsilon'\) and \(\kappa = \rho + u\chi'\). When acting with such a gauge transformation on an \(isl(3)\) connection \(A\) with the properties (2.9)-(2.16)

\[
\delta_\varepsilon A = d\varepsilon + [A, \varepsilon] \tag{2.19}
\]

the gauge transformed connection \(\widehat{A} = A + \delta_\varepsilon A\) also has the properties (2.9)-(2.16), in general with some shifted values for the state dependent functions, \(\widehat{\mathcal{M}} = \mathcal{M} + \delta_\varepsilon \mathcal{M}\), and similarly for \(\mathcal{N}, \mathcal{V}\) and \(\mathcal{Z}\).

The canonical charges also follow the general prescription of (non-)AdS holography summarized in \[37\]. Their field variation, also known as the canonical currents, is given by

\[
\delta Q[\varepsilon] = \frac{k}{2\pi} \oint d\varphi \, \text{tr} (\varepsilon^{(0)} \delta a_\varphi) \tag{2.20}
\]

Note the appearance of the hatted trace (2.8).

\[\text{There are three differences to the results in [41], whose conventions we use: 1. due to the convenient representation (2.17) with (2.10) we do not have any } \tau \text{-dependent terms, which are automatically generated through the Baker–Campbell–Hausdorff formula, 2. we have corrected three numerical coefficients, which all differ by factor of } -3 \text{ from the expressions given in [41], namely the coefficients of the } \mathcal{V} \text{- and } \mathcal{Z} \text{-terms in the components } L_- \text{ and } M_- \text{, and 3. we have rescaled } \tau \text{ by a factor of } 2 \text{ to make the results look more symmetric. We note finally that we use } \varepsilon \text{ in two ways in this paper, as Grassmann parameter and as function in the boundary condition preserving gauge transformations (2.18), but we believe that the meaning should always be clear from the context.}\]
Inserting the expressions (2.13) and (2.18) into the canonical currents (2.20) yields

$$\delta Q[\varepsilon] = \frac{k}{2\pi} \oint d\varphi \left( \varepsilon \delta \mathcal{L} + \frac{1}{2} \sigma \delta \mathcal{M} + 8\chi \delta \mathcal{U} + 4\rho \delta \mathcal{V} \right)$$

(2.21)

It is now evident that the canonical currents can be integrated in field space to canonical boundary charges

$$Q[\varepsilon, \tau, \chi, \kappa] = \frac{k}{2\pi} \oint d\varphi \left( \varepsilon L + \frac{1}{2} \sigma M + 8\chi U + 4\rho V \right).$$

(2.22)

The canonical charges are integrable, finite and conserved in (retarded) time, \(\partial_u Q = 0\).

The algebra of the canonical charges was derived classically [41, 42] and quantum-mechanically [41] starting from the Poisson-bracket algebra of the canonical charges (2.22) and then expanding in Fourier modes, e.g.

$$\mathcal{L}(\varphi) \propto \sum_{n \in \mathbb{Z}} \mathcal{L}_n e^{-i n \varphi}$$

(2.23)

and similarly for the other three state-dependent functions appearing in (2.22). After a suitable shift of the zero mode \(M_0 \rightarrow M_0 + \frac{k}{2}\) and converting Poisson-brackets into commutators one obtains finally the asymptotic symmetry algebra as a commutator algebra of the modes \(L_n, M_n, U_n\) and \(V_n\). It is an \(\hat{\text{I}}\text{nö}\text{ü–Wigner}\) contraction of two copies of the \(\mathcal{W}_3\) algebra, with the following non-vanishing commutators.

\[
\begin{align*}
[L_n, L_m] &= (n - m)L_{n+m} \\
[L_n, M_m] &= (n - m)M_{n+m} + k(n^3 - n)\delta_{n+m,0} \\
[L_n, U_m] &= (2n - m)U_{n+m} \\
[L_n, V_m] &= (2n - m)V_{n+m} \\
[M_n, U_m] &= (2n - m)V_{n+m} \\
[U_n, U_m] &= -\frac{1}{3}(n - m)(2n^2 + 2m^2 - nm - 8)L_{n+m} \\
&\quad - \frac{16}{3k}(n - m)\Lambda_{n+m} + \frac{88}{45k^2}(n - m)\Theta_{n+m} \\
[U_n, V_m] &= -\frac{1}{3}(n - m)(2n^2 + 2m^2 - nm - 8)M_{n+m} \\
&\quad - \frac{8}{3k}(n - m)\Theta_{n+m} - \frac{k}{3}(n^2 - 1)(n^2 - 4)\delta_{n+m,0}
\end{align*}
\]

We used the definitions of bi-linears in the generators

\[
\begin{align*}
\Theta_m &= \sum_p M_p M_{m-p} \\
\Lambda_m &= \sum_p :L_p M_{m-p}: - \frac{3}{10}(m + 2)(m + 3)M_m
\end{align*}
\]

where normal ordering is defined by \(:L_n M_m: = L_n M_m\) if \(n < -1\) and \(:L_n M_m: = M_m L_n\) otherwise. It is interesting to note that the algebra (2.24), with some standard assumptions, does not have unitary highest weight representations for non-vanishing \(k\) [49].
2.4 Metric and spin-3 field

The metric in AdS higher spin gravity is usually defined as trace over the zuvielbein [83, 84]. In flat space higher spin gravity the line-element takes the form
\[ ds^2 = g_{\mu\nu} \, dx^\mu \, dx^\nu = \eta_{mn} A_M^m A_M^n + \mathcal{K}_{mn} A_V^m A_V^n \]  
(2.25)
which for the connection (2.9)-(2.16) simplifies to
\[ g_{\mu\nu} \, dx^\mu \, dx^\nu = M \, du^2 - 2 \, du \, dv + 2N \, du \, d\varphi + r^2 \, d\varphi^2 . \]  
(2.26)
This is the same result as in Einstein gravity [60]. Exploiting the Grassmann-structure there is a neat way to define the metric again as a trace. Namely, take the matrix representation of the generators \( L_n, M_n, U_n \) and \( V_n \) (see appendix A.1) and the twisted trace definition (2.6). Then the metric is equivalently defined by
\[ g_{\mu\nu} = \frac{1}{2} \, \tilde{\text{tr}}(A_\mu A_\nu) . \]  
(2.27)
Only bilinear expressions in the odd generators contribute to the line-element, which is precisely the statement of (2.25) or (2.27).

The spin-3 field is similarly defined from the cubic sl(3)-Casimir or, equivalently, by using again the twisted trace
\[ \Phi_{\mu\nu\lambda} = \frac{1}{6} \, \tilde{\text{tr}}(A_\mu A_\nu A_\lambda) \]  
(2.28)
which for the connection (2.9)-(2.16) simplifies to
\[ \Phi_{\mu\nu\lambda} \, dx^\mu \, dx^\nu \, dx^\lambda = 2V \, du^3 + 4Z \, du^2 \, d\varphi . \]  
(2.29)
Only expressions trilinear in the odd generators contribute to the spin-3 field.

3 Flat space higher spin gravity with chemical potentials

In this section we generalize the discussion to flat space spin-3 gravity with chemical potentials \( \mu_M, \mu_L, \mu_V, \mu_U \) for the spin-2 and spin-3 fields. We start by stating our main result in section 3.1 and perform consistency checks in section 3.2. In section 3.3 we discuss the canonical charges and variational principle in the presence of chemical potentials. Finally, we display results for the metric and the spin-3 field in section 3.4.

3.1 Statement of the main result

To include chemical potentials we solve the equations of motion (2.14) assuming the representation of the connection as in (2.9)-(2.11). Following the procedure of [29] we also assume that the form of \( a_\varphi \) remains unchanged by chemical potentials, in order to maintain the structure of the canonical charges. We obtain
\[ a_u = a_u^{(0)} + a_u^{(\mu_M)} + a_u^{(\mu_L)} + a_u^{(\mu_V)} + a_u^{(\mu_U)} \quad a_\varphi = a_\varphi^{(0)} \]  
(3.1)
with \( a_u^{(0)}, a_\varphi^{(0)} \) being the connection (2.13) in the absence of chemical potentials and potentials

\[
\begin{align*}
a_u^{(\mu M)} &= a_u^{(\mu M)} u_{M} - \mu_u M_0 + \frac{1}{2} \left( \mu'_u - \frac{1}{2} \mathcal{M}_u M_0 \right) M_1 + \frac{1}{2} \mathcal{N}_u M_1 V_{-2} \quad (3.2a) \\
a_u^{(\mu L)} &= a_u^{(\mu L)} - \frac{1}{2} \mathcal{N}_u L_1 M_1 + Z \mu_v V_{-2} \quad (3.2b) \\
a_u^{(\mu V)} &= \mu_v V_2 - \mu'_u V_1 + \frac{1}{2} \left( \mu''_u - \mathcal{M}_u \mu_v \right) V_0 + \frac{1}{6} \left( - \mu''_u + \mathcal{M}'_u \mu_v + \frac{7}{2} \mathcal{M}'_u \mu_v \right) V_{-1} + \frac{1}{24} \left( \mu'''_u - 4 \mathcal{M}_u \mu'_v - \frac{7}{2} \mathcal{M}'_u \mu_v + \frac{7}{2} \mathcal{M}'_u \mu_v - \mathcal{M}''_u \mu_v \right) V_{-2} - 4 V \mu_v M_1 \quad (3.2c) \\
a_u^{(\mu N)} &= a_u^{(\mu N)} M_0 - 8 \mathcal{Z} \mu_v M_1 - \mathcal{N}_u \mu_v V_0 + \left( \frac{5}{2} \mathcal{N}_u \mu'_v + \frac{1}{3} \mathcal{N}_u \mu_v \right) V_{-1} + \left( - \frac{1}{3} \mathcal{N}_u \mu''_v - \frac{1}{24} \mathcal{N}_u \mu''_v - \frac{1}{12} \mathcal{N}_u \mu''_v + \frac{1}{4} \mathcal{M} \mathcal{N}_u \mu_v \right) V_{-2} \quad (3.2d)
\end{align*}
\]

where the subscript \( M \rightarrow L \) denotes that in the corresponding quantity all odd generators and chemical potentials are replaced by corresponding even ones, \( M_n \rightarrow L_n, V_n \rightarrow U_n, \mu_M \rightarrow \mu_L \) and \( \mu_V \rightarrow \mu_U \), i.e.

\[
\begin{align*}
a_u^{(\mu M)} |_{M \rightarrow L} &= \mu_L - \mu'_L L_0 + \frac{1}{2} \left( \mu'_L - \frac{1}{2} \mathcal{M}_L \mu_L \right) L_1 + \frac{1}{2} \mathcal{N}_L \mu_L U_{-2} \quad (3.2e) \\
a_u^{(\mu V)} |_{M \rightarrow L} &= \mu_U U_2 - \mu'_U U_1 + \frac{1}{2} \left( \mu''_U - \mathcal{M}_U \mu_U \right) U_0 + \frac{1}{6} \left( - \mu''_U + \mathcal{M}'_U \mu_U + \frac{7}{2} \mathcal{M}'_U \mu_U \right) U_{-1} + \frac{1}{24} \left( \mu'''_U - 4 \mathcal{M}_U \mu'_U - \frac{7}{2} \mathcal{M}'_U \mu_U + \frac{7}{2} \mathcal{M}'_U \mu_U - \mathcal{M}''_U \mu_U \right) U_{-2} - 4 V \mu_U L_1 \quad (3.2f)
\end{align*}
\]

As before, dots (primes) denote derivatives with respect to retarded time \( u \) (angular coordinate \( \varphi \)).

The equations of motion (2.14) impose the conditions

\[
\begin{align*}
\dot{\mathcal{M}} &= -2 \mu''_u + 2 \mathcal{M}_u \mu'_u + \mathcal{M}'_u \mu_u + 24 \mathcal{V}_u \mu'_u + 16 \mathcal{V}'_u \mu_u \quad (3.3a) \\
\dot{\mathcal{N}} &= \frac{1}{2} \dot{\mathcal{M}} |_{L \rightarrow M} + 2 \mathcal{N}_u \mu'_u + \mathcal{N}'_u \mu_u + 24 \mathcal{Z}_u \mu'_u + 16 \mathcal{Z}'_u \mu_u \quad (3.3b) \\
\dot{\mathcal{V}} &= \frac{1}{12} \mu'''_u - \frac{5}{12} \mathcal{M}_u \mu''_u - \frac{5}{8} \mathcal{M}'_u \mu''_u - \frac{3}{8} \mathcal{M}_u \mu'_u + \frac{3}{8} \mathcal{M}_u \mu'_u + \frac{1}{12} \mathcal{M}^2 \mu'_u \\
&\quad - \frac{1}{12} \mathcal{M}'_u \mu'_u + \frac{1}{3} \mathcal{M}_u \mathcal{M}_u \mu'_u + 3 \mathcal{V}_u \mu'_u + \mathcal{V}'_u \mu_u \quad (3.3c) \\
\dot{\mathcal{Z}} &= \frac{1}{2} \dot{\mathcal{N}} |_{L \rightarrow M} - \frac{5}{12} \mathcal{N}_u \mu''_u - \frac{5}{8} \mathcal{N}'_u \mu''_u - \frac{3}{8} \mathcal{N}_u \mu'_u + \frac{3}{8} \mathcal{N}_u \mu'_u - \frac{1}{12} \mathcal{M} \mathcal{N}_u \mu_u + 3 \mathcal{Z}_u \mu'_u + \mathcal{Z}'_u \mu_u \quad (3.3d)
\end{align*}
\]

with the inverse substitution rules to above, viz.

\[
\begin{align*}
\dot{\mathcal{M}} |_{L \rightarrow M} &= - \mu'''_u + \mathcal{M}_u \mu'_u + \mathcal{M}'_u \mu_u + 12 \mathcal{V}_u \mu'_u + 8 \mathcal{V}'_u \mu_u \quad (3.3e) \\
\dot{\mathcal{N}} |_{L \rightarrow M} &= \frac{1}{24} \dot{\mathcal{M}} |_{L \rightarrow M} - \frac{1}{24} \mathcal{M}_u \mu''_u - \frac{5}{24} \mathcal{M}_u \mu''_u - \frac{5}{24} \mathcal{M}_u \mu'_u - \frac{1}{24} \mathcal{M}_u \mu'_u + \frac{1}{24} \mathcal{M}_u \mathcal{M}_u \mu'_u \\
&\quad - \frac{1}{24} \mathcal{M}_u \mathcal{M}_u \mu'_u + \frac{1}{2} \mathcal{M}_u \mathcal{M}_u \mu'_u + \frac{3}{2} \mathcal{V}_u \mu'_u + \frac{1}{2} \mathcal{V}'_u \mu_u \quad (3.3f)
\end{align*}
\]

The chemical potentials \( \mu_M, \mu_L, \mu_V \) and \( \mu_U \) are arbitrary functions of the angular coordinate \( \varphi \) and the retarded time \( u \). In many applications they are constant so that many formulas simplify.

In the next subsection we provide several checks on the correctness of the results presented above and discuss in a bit more detail how we obtained them.
3.2 Checks

Note first that in the absence of chemical potentials, $\mu_M = \mu_L = \mu_V = \mu_U = 0$, corresponding results from section 2 are recovered. In particular, the on-shell conditions (3.3) simplify to (2.15). In the presence of chemical potentials the on-shell conditions (3.3) contain information about the asymptotic symmetry algebra (2.24). For example, the $\mu_L$-terms in (3.3a) are an infinitesimal Schwarzian derivative, while the $\mu_U$-terms exhibit transformation behavior of a spin-3 field. Since any solution to the field equations must be locally pure gauge, and any solution that obeys our boundary conditions can be generated by the boundary condition preserving gauge transformations (2.18), it should be possible to obtain (3.2) directly from a gauge transformation. Indeed, comparing the expressions for (2.18) with the expressions in (3.2) we see that they coincide upon identifying $\epsilon \rightarrow \mu_L$, $\tau \rightarrow \mu_M$, $\kappa \rightarrow \mu_V$ and $\chi \rightarrow \mu_U$. This comparison provides an independent check on the correctness of our results.

It is possible to derive the results of section 3.1 in various ways. For instance, one can start from equation (3.7)-(3.12) in [29] and use the Grassmann-approach of [66] to derive the flat space connection with chemical potentials, dropping in the end all terms quadratic in the Grassmann-parameter. This is the procedure we have used. The map that leads from (3.7)-(3.12) in [29] (left hand side) to the results presented in section 3.1 (right hand side) is given by

\[
\begin{align*}
x^\pm &= \epsilon u \pm \varphi \quad (3.4a) \\
2a_\pm(x^+, x^-) &= a_\pm(u, \varphi)/\epsilon \pm a_\varphi(u, \varphi) \quad (3.4b) \\
2L_n^\pm &= L_n \pm M_n/\epsilon \quad (3.4c) \\
2W_n^\pm &= U_n \pm V_n/\epsilon \quad (3.4d) \\
\frac{24}{c_\pm} L^\pm(x^\pm) &= M(u, \varphi) \pm 2\epsilon N(u, \varphi) \quad (3.4e) \\
-\frac{3}{c_\pm} W^\pm(x^\pm) &= V(u, \varphi) \pm 2\epsilon Z(u, \varphi) \quad (3.4f) \\
\frac{1}{4} \xi^\pm(x^+, x^-) &= 1 + \mu_M(u, \varphi) \pm \mu_L(u, \varphi)/\epsilon \quad (3.4g) \\
\frac{1}{4} \eta^\pm(x^+, x^-) &= \mu_V(u, \varphi) \pm \mu_U(u, \varphi)/\epsilon \quad (3.4h)
\end{align*}
\]

After using the map (3.4) one is supposed to drop all terms quadratic (or higher power) in the Grassmann parameter $\epsilon$. Note that no inverse powers of $\epsilon$ appear anywhere in the connection, despite of their appearance in various expressions above.

Equivalently, one can do a straightforward Inönü–Wigner contraction, sending the AdS radius to infinity. Alternatively, one could directly solve the flat space field equations (2.14) with the condition that $a_\varphi$ remains unchanged as given in (2.13) and only $a_u$ obtains contributions from chemical potentials. All these procedures lead to the same results displayed above in section 3.1.
3.3 Canonical charges with chemical potentials

Since the canonical currents (2.20) only depend on $a$, which has not changed by introducing chemical potentials, the results for the canonical charges remain unchanged and all expressions displayed in section 2.3 also apply to the case of non-vanishing $\mu_M, \mu_L, \mu_V$ and $\mu_U$. In fact, this property was the very reason why we allowed only a deformation of $a_u$.

In particular, from (2.22) we have the following four zero-mode charges.

$$Q_M = \frac{k}{2} \mathcal{M} \quad Q_L = k \mathcal{L} \quad Q_V = 4k \mathcal{V} \quad Q_U = 8k \mathcal{U} \quad (3.5)$$

They can be interpreted, respectively, as mass, angular momentum, odd and even spin-3 charges.

The canonical charges will be important for our later discussion of entropy in section 5.1 below. They also feature prominently in the variational principle. To determine the boundary term required for a well-defined variational principle we vary first the bulk action (2.1).

$$\delta I[A] = \text{bulk} + \frac{k}{4\pi} \int \langle A \wedge \delta A \rangle \quad (3.6)$$

Evaluating the boundary term explicitly yields ($\simeq$ denotes equality up to total $\phi$-derivative terms, which vanish upon integration over the $\phi$-cycle)

$$\langle A_u \delta A_u - A_u \delta A_u \rangle \simeq \mathcal{M} \delta \mu_M + 2 \mathcal{M} \delta \mu_L + 12 \mathcal{V} \delta \mu_V + 24 \mathcal{Z} \delta \mu_U + 4 \mu_M \delta \mathcal{V} + 8 \mu_L \delta \mathcal{V} + 8 \mu_U \delta \mathcal{V}. \quad (3.7)$$

This confirms the result [41] that the bulk action (2.1) has a well-defined variational principle in the absence of spin-3 chemical potentials. In their presence, however, the last two terms are incompatible with a well-defined variational principle. Therefore, we subtract a boundary counterterm to restore a well-defined variational principle for this case,

$$\Gamma[A] = I[A] - I_b[A] \quad \text{with} \quad I_b[A] = \frac{k}{4\pi} \int \text{d}u \text{d}\phi \langle \bar{A}_u A_u \rangle \quad (3.8)$$

where $\bar{A}_u = b^{-1} \bar{a}_u b$ with the same group element $b$ as before [see Eq. (2.10)] and

$$\bar{a}_u = a_u - 2(1 + \mu_M) M_u - 2 \mu_L L_u - 2 \mu_V V_u - 2 \mu_U U_u. \quad (3.9)$$

In total we get ($Q_N$ is $Q_L$ with $L$ replaced by $N$, and similarly for $Q_Z$ with $Q_U$)

$$\delta \Gamma|_{\text{EOM}} = \frac{k}{4\pi} \int \text{d}u \text{d}\varphi \left( \langle A_u \delta A_u - A_u \delta A_u \rangle - \delta \langle \bar{A}_u A_u \rangle \right)$$

$$= \int \text{d}u \left( Q_M \delta \mu_M + Q_N \delta \mu_L + Q_V \delta \mu_V + Q_Z \delta \mu_U \right). \quad (3.10)$$

In conclusion, the action (3.8) has a well-defined variational principle, in the sense that the first variation of the full action vanishes on-shell for arbitrary (but fixed) chemical potentials. As expected, the response functions (3.10) are determined by the canonical charges, and the chemical potentials act as sources.
3.4 Metric and spin-3 field in presence of chemical potentials

Plugging the results for the connection with chemical potentials, (3.1)-(3.3) with (2.9)-(2.11), into the definitions for the metric (2.27) yields

\[
g_{\mu\nu} \, dx^\mu \, dx^\nu = g_{uu} \, du^2 + g_{u\varphi} \, 2 \, du \, d\varphi - (1 + \mu_{3,\mu}) \, 2 \, dr \, du + r^2 \, d\varphi^2 \tag{3.11}\]

with

\[
\begin{align*}
g_{uu} &= r^2 \left( \mu_L^2 - 4 \mu_L^2 \mu_U + 3 \mu_U^2 + 4 \mathcal{M} \mu_U^2 \right) + r \, g^{(r)}_{uu} + g^{(0)}_{uu} + g^{(r')}_{uu} \\
g_{u\varphi} &= r^2 \mu_L - r \mu_M' + N(1 + \mu_M) + 8 Z \mu_U
\end{align*} \tag{3.12a}
\]

where

\[
g^{(0)}_{uu} = \mathcal{M}(1 + \mu_M)^2 + 2(1 + \mu_M) \left( N \mu_L + 12 \mathcal{M} \mu_U + 16 \mu_U \right) + 16 \mu_L \mu_U [ \mathcal{V} (1 + \mu_M)^2 + \frac{2}{3} (1 + \mu_M)^2 (6 \mathcal{Z} \mu_U + \mathcal{M}^2 \mu_U + 2 \mathcal{M} \mathcal{N} \mu_U)] + \frac{\mathcal{N}}{2} (1 + \mu_M) (N \mu_L + 12 \mathcal{M} \mu_U + 12 \mathcal{M} \mu_U + 12 \mathcal{Z} \mu_U) + 64 \mathcal{V}^2 \mu_U^3 \\
&- \frac{8}{3} \left( \mathcal{M}^2 \mu_U^3 - N \mu_U^2 \right) - \frac{8}{3} \mathcal{M} \mu_U \mu_U (4 \mathcal{M} \mu_U + 5 N \mu_U) + r^3 \Phi^{(r)}_{uu} + r^2 \Phi^{(r')}_{uu} + r \Phi^{(0)}_{uu} \tag{3.12b}
\]

and the contributions \(g^{(r)}_{uu}\) and \(g^{(0)}_{uu}\) are presented in (B.1) in appendix B.

Similarly, we obtain from the definition of the spin-3 field (2.29)

\[
\Phi_{\mu\nu\lambda} \, dx^\mu \, dx^\nu \, dx^\lambda = \Phi_{uuu} \, du^3 + \Phi_{ruu} \, dr \, du^2 + \Phi_{u\varphi u} \, du \, d\varphi - (2 \mu_U \, r - r \mu_U' + 2 \mathcal{N} \mu_U) \, dr \, du \, d\varphi + \mu_U \, dr \, du - \left( \mu_U' r^3 - \frac{1}{3} r^2 (\mu_U^2 - \mathcal{M} \mu_U + 4 \mathcal{M} \mu_U) + r \mathcal{N} \mu_U' - \mathcal{N}^2 \mu_U \right) \, du \, d\varphi^2 \tag{3.13}
\]

with

\[
\begin{align*}
\Phi_{uuu} &= r^2 \left[ 2(1 + \mu_M) \mu_U (\mathcal{M} \mu_U + 4 N \mu_U) - \frac{1}{3} \mu_U^2 (\mathcal{M} \mu_U + 4 N \mu_U) \\
&+ 16 \mu_L \mu_U (\mathcal{V} \mu_U + Z \mu_U) - \frac{4}{3} \mathcal{M} \mu_U^2 (\mathcal{M} \mu_U + 2 N \mu_U) \right] + 2 \mathcal{N} (1 + \mu_M)^3 \frac{2}{3} (1 + \mu_M)^2 (6 \mathcal{Z} \mu_U + \mathcal{M}^2 \mu_U + 2 \mathcal{M} \mathcal{N} \mu_U) \\
&+ \frac{2}{3} (1 + \mu_M) (N \mu_L + 12 \mathcal{Z} \mu_U) (2 \mathcal{M} \mu_U + N \mu_U) + 12 \mathcal{M} \mu_U^2 + N^2 \mu_U^2 \\
&+ 16 \mu_U \mu_U (N \mathcal{V} - \frac{1}{3} \mathcal{M} \mathcal{Z}) + \frac{64}{3} \mathcal{Z} \mu_U \mu_U (N \mu_L + 12 \mathcal{M} \mu_U + 12 \mathcal{Z} \mu_U) + 64 \mathcal{V}^2 \mu_U^3 \\
&- \frac{8}{3} (\mathcal{M}^2 \mu_U^3 - N \mu_U^2 \mu_U (4 \mathcal{M} \mu_U + 5 N \mu_U) + r^3 \Phi^{(r)}_{uuu} + r^2 \Phi^{(r')}_{uuu} + r \Phi^{(0)}_{uuu} \tag{3.14a}
\end{align*}
\]

\[
\begin{align*}
\Phi_{ruu} &= -2 \mu_L \mu_U - \frac{4}{3} (1 + \mu_M) (2 \mathcal{M} \mu_U + N \mu_U) - 2 N \mu_L \mu_U \\
&- 16 \mathcal{N} \mu_U (\mathcal{V} \mu_U + 2 \mathcal{Z} \mu_U) + r \Phi^{(0)}_{ruu} \tag{3.14b}
\end{align*}
\]

\[
\begin{align*}
\Phi_{u\varphi u} &= r^2 \left[ 2 \mathcal{M} (1 + \mu_M) \mu_U - \frac{4}{3} \mu_U (\mathcal{M} \mu_U - 4 N \mu_U) + 16 \mu_U (\mathcal{V} \mu_U + Z \mu_U) \right] + 4 \mathcal{N} (1 + \mu_M)^3 \frac{2}{3} N (1 + \mathcal{M} \mu_U + N \mu_U) + 2 N \mu_U (N \mu_L + \frac{32}{3} \mathcal{Z} \mu_U) \\
&- \frac{16}{3} (\mathcal{M} \mathcal{Z} - 3 \mathcal{V} N) \mu_U^2 + r^3 \Phi^{(r)}_{u\varphi u} + r^2 \Phi^{(r')}_{u\varphi u} + r \Phi^{(0)}_{u\varphi u} \tag{3.14c}
\end{align*}
\]

where the contributions \(\Phi^{(r)}_{uuu}, \Phi^{(r')}_{uuu}, \Phi^{(r)}_{uuu}, \Phi^{(0)}_{uuu}, \Phi^{(r)}_{ruu}, \Phi^{(0)}_{ruu}, \Phi^{(r)}_{u\varphi u}, \Phi^{(0)}_{u\varphi u}, \Phi^{(r)}_{u\varphi u}, \Phi^{(0)}_{u\varphi u}\) are collected in appendix B.

Note that for zero-mode solutions with constant chemical potentials, \(\mathcal{M}' = \mathcal{N}' = \mu_M' = \mu_L' = \mu_U' = 0\), all the expressions in appendix B vanish and thus the spin-2 and spin-3 fields simplify considerably in this case (see also appendix C).
4 Flat space Einstein gravity with chemical potentials

If we set to zero the spin-3 charges and spin-3 chemical potentials, $V = Z = \mu_V = \mu_U = 0$, we recover flat space Einstein gravity with chemical potentials $\mu_M$ and $\mu_L$. While this is merely a special case of the more general results of section 3, it seems convenient for future applications to collect these results separately and to elaborate on them. This is what we do in this section.

In section 4.1 we present the general solution for the $\mathfrak{sl}(2)$ gauge connection and the metric with arbitrary spin-2 chemical potentials. In section 4.2 we focus on zero mode solutions with constant chemical potentials and provide a canonical interpretation of the latter. In section 4.3 we linearize the solutions in the chemical potentials, which is useful for some applications, like the holographic dictionary, which we address in section 4.4.

4.1 General solution

The connection is given by (2.9), (2.10), (2.11) with

\[
a_u = (1 + \mu_M) M_+ - \mu_M' M_0 + \frac{1}{2} \left( \mu_M'' - \frac{1}{2} \mathcal{M}(1 + \mu_M) - \mathcal{N} \mu_L \right) M_-
+ \mu_L L_+ - \mu_L' L_0 + \frac{1}{2} \left( \mu_L'' - \frac{1}{2} \mathcal{M}\mu_L \right) L_-
\]

(4.1a)

\[
a_\varphi = L_+ - \frac{\mathcal{M}}{4} L_- - \frac{\mathcal{N}}{2} M_-
\]

(4.1b)

The corresponding line-element reads

\[
g_{\mu\nu} \, dx^\mu \, dx^\nu = \left[ r^2 \mu_L^2 + 2r(\mu_L(1 + \mu_M) - \mu_L' \mu_M') + \mathcal{M}(1 + \mu_M)^2 + 2(1 + \mu_M)(\mathcal{N} \mu_L - \mu_M'') \right] \, du \, d\varphi - (1 + \mu_M) \, 2 \, dr \, du + r^2 \, d\varphi^2
\]

(4.2)

with the on-shell conditions

\[
\dot{\mathcal{M}} = -2\mu_M'' + 2\mathcal{M}\mu'_L + \mathcal{M}' \mu_L
\]

(4.3a)

\[
\dot{\mathcal{N}} = -\mu_M'' + \mathcal{M}\mu'_L + \frac{1}{2} \mathcal{M}' \mu_M + 2\mathcal{N} \mu'_L + \mathcal{N}' \mu_L
\]

(4.3b)

4.2 Zero mode solutions with constant chemical potentials

We consider now zero mode solutions, $\mathcal{M}' = \mathcal{N}' = 0$, with constant spin-2 chemical potential, $\mu'_M = \mu'_L = 0$. Then the results above simplify further. The line-element reads

\[
g_{\mu\nu} \, dx^\mu \, dx^\nu = \left[ r^2 \mu_L^2 + \mathcal{M}(1 + \mu_M)^2 + 2\mathcal{N}(1 + \mu_M) \mu_L \right] \, du^2
+ (r^2 \mu_L + \mathcal{N}(1 + \mu_M)) \, 2 \, du \, d\varphi - (1 + \mu_M) \, 2 \, dr \, du + r^2 \, d\varphi^2
\]

(4.4)

with the on-shell conditions $\dot{\mathcal{M}} = \dot{\mathcal{N}} = 0$.

If we set to zero the even chemical potential, $\mu_L = 0$, then the line-element (4.4) simplifies to the vacuum solution (2.12), but with $u$ replaced by $\tilde{u} = (1 + \mu_M) u$. Therefore, a constant odd chemical potential $\mu_M$ effectively rescales the retarded time coordinate. In canonical general relativity language the odd chemical potential $\mu_M$ rescales the lapse function.
If instead we set to zero the odd chemical potential, $\mu_M = 0$, then the line-element (4.4) simplifies to

$$g_{\mu\nu} \, dx^\mu \, dx^\nu = \left( M - \frac{N}{r^2} \right) du^2 - 2 \, dr \, du + r^2 \left( d\varphi + \frac{N}{r^2} \, du + \mu_L \, du \right)^2. \quad (4.5)$$

Comparing this result with the vacuum solution (2.12) in ADM-like form,

$$g_{\mu\nu} \, dx^\mu \, dx^\nu = \left( M - \frac{N}{r^2} \right) du^2 - 2 \, dr \, du + r^2 \left( d\varphi + \frac{N}{r^2} \, du \right)^2 \quad (4.6)$$

we see that the even chemical potential $\mu_L$ changes only the last term. In canonical general relativity language the even chemical potential $\mu_L$ shifts the shift vector.

4.3 Perturbative solutions linearized in chemical potentials

A different kind of simplification arises when linearizing in the chemical potentials. Expanding the metric (3.11) in the chemical potentials,

$$g_{\mu\nu} = \bar{g}_{\mu\nu} + h_{\mu\nu} + O(\mu_M^2, \mu_L^2, \mu_M \mu_L) \quad (4.7)$$

with the background line-element $\bar{g}_{\mu\nu} \, dx^\mu \, dx^\nu$ given by the right hand side of (2.26), yields for the linear terms

$$h_{\mu\nu} \, dx^\mu \, dx^\nu = 2 (M \mu_M + N \mu_L) \, du^2 + \left( r^2 \mu_L + N \mu_M \right) 2 \, du \, d\varphi - 2 \mu_M \, dr \, du$$

$$+ 2 \left( r \mu'_L - \mu''_M \right) \, du^2 - 2 r \mu'_M \, du \, d\varphi. \quad (4.8)$$

The terms in the second line vanish for constant chemical potentials.

4.4 Comparison with holographic dictionary

From a holographic perspective, the first two terms in the linearized solution (4.8) show the typical coupling between sources (chemical potentials) and vacuum expectation values (canonical charges). The $r^2 \mu_L \, du \, d\varphi$ term and the $\mu_M \, dr \, du$ term correspond to the essential terms in the two towers of non-normalizable solutions to the linearized equations of motion.

In the holographic dictionary, these non-normalizable contributions should correspond to sources of the corresponding operators in the dual field theory. Indeed, this is what happens as shown in [68]. Note, however, that [68] worked in Euclidean signature, restricted to zero mode solutions and imposed axial gauge for the non-normalizable solutions to the linearized Einstein equations on a flat space background, so a direct comparison is not straightforward. Exploiting our interpretation of constant chemical potentials as modifications of lapse and shift (see section 4.2) we can interpret the results of [68] as follows (see their section 3.4): their quantity $\delta \xi_J$ corresponds precisely to the (linearized) even chemical potential $\delta \xi_J \sim \mu_L$, and their quantity $\delta \xi_M$ corresponds to twice the (linearized) odd chemical potential, $\delta \xi_M \sim 2 \mu_M$. This identification is perfectly consistent with the holographic interpretation summarized above.

Here and in what follows the attribute “non-normalizable” always means “breaking the Barnich–Compère boundary conditions” [50] or the corresponding spin-3 version [41, 42].

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5 Applications

In this section we address some applications, without claiming to be exhaustive.

In section 5.1 we calculate the entropy of flat space cosmologies with spin-3 charges by solving all holonomy conditions. In section 5.2 we determine the free energy and discover novel types of phase transitions. In section 5.3 we conclude with some remarks on the recent spin-3 singularity resolution of flat space orbifolds. In section 5.4 we provide an outlook to some further possible applications.

As supplements, in appendix C we discuss zero-mode solutions with constant spin-3 and vanishing spin-2 chemical potentials and in appendix D we consider more general solutions to the field equations, dubbed “chemically odd”, by restricting to odd chemical potentials only and by allowing specific deformations of $\phi$.

5.1 Entropy

To discuss thermodynamical aspects we restrict ourselves to zero mode solutions with constant chemical potentials. The main quantity of interest is the entropy of solutions like flat space cosmologies with spin-3 charges switched on. As we shall demonstrate by solving holonomy conditions, entropy is given by a hatted trace,

$$S = 2k_\beta L \hat{\text{tr}}(a_u a_\varphi) \bigg|_{\text{EOM}} = \beta_L (2(1 + \mu_M)Q_M + 2\mu_LQ_L + 3\mu_VQ_V + 3\mu_UQ_U).$$

(5.1)

The quantity $\beta_L$ is not necessarily the inverse temperature, but rather the length of the relevant cycle appearing in the holonomy condition below. The zero mode charges $Q_i$ are displayed in (3.5).

We start by proposing the holonomy condition that we want to solve.

$$\exp(i\beta_L a_u) = 1$$

(5.2)

This condition is completely analogous to corresponding holonomy conditions for higher spin black holes in AdS [24]. To solve the holonomy condition (5.2) we exploit the representation summarized in appendix A.2 in terms of $9 \times 9$ matrices. By a similarity transformation we can diagonalize the ad-part of a generic matrix of the form (A.5).

$$\begin{pmatrix}
A^{-1}_{8 \times 8} & \mathbb{O}_{8 \times 1} \\
\mathbb{O}_{1 \times 8} & 1
\end{pmatrix}
\begin{pmatrix}
ad_{8 \times 8} & \text{odd}_{8 \times 1} \\
\text{odd}_{1 \times 8} & 0
\end{pmatrix}
\begin{pmatrix}
A_{8 \times 8} & \mathbb{O}_{8 \times 1} \\
\mathbb{O}_{1 \times 8} & 1
\end{pmatrix}
= \begin{pmatrix}
(A^{-1} \text{ad} A)_{8 \times 8} & (A^{-1} \text{odd})_{8 \times 1} \\
\mathbb{O}_{1 \times 8} & 0
\end{pmatrix}$$

(5.3)

A matrix of this form is easily exponentiated. Assuming that ad has zero as eigenvalue...
with geometric and algebraic multiplicity \( n \) and denoting \( v = A^{-1}\text{odd} \) yields

\[
\exp \left( (A^{-1}\text{ad}A)_{8 \times 8} (A^{-1}\text{odd})_{8 \times 1} \right) = \begin{pmatrix}
1 & & & & & & & v_1 \\
& \ddots & & & & & & \\
& & 1 & & & & & v_n \\
& & & e^{\lambda_1} & & & & v_{n+1} \frac{e^{\lambda_1} - 1}{\lambda_1} \\
& & & & \ddots & & & \\
& & & & & \ddots & & \\
& & & & & & e^{\lambda_8 - n} & v_8 \frac{e^{\lambda_8 - n} - 1}{\lambda_8 - n} \\
& & & & & & & 1
\end{pmatrix}.
\]

(5.4)

In our case \( n = 2 \) \([= \text{rank } \text{sl}(3)]\) and the holonomy condition (5.2) is then solved by the relations

\[
\lambda_k = 0 \mod \frac{2\pi}{\beta_L}, \quad k = 1..6; \quad v_m = 0, \quad m = 1..2.
\]

(5.5)

The first set of relations (5.5) is precisely the same as in AdS spin-3 gravity for one chiral half. Therefore, we must be able to represent these conditions in the same way as it was done in AdS. In fact, a plausible guess for the two holonomy conditions that follow from the first set of relations (5.5) is given by (compare with corresponding conditions in the AdS case, particularly Eqs. (3.32) and (3.33) in [29])

\[
\frac{1}{4} \text{tr}(a_u a_u) \bigg|_{\epsilon = 0} = \mathcal{M} \mu_L^2 + 24 \nu \mu_L \mu_U + \frac{4}{3} \mathcal{M}^2 \mu_U^2 = \frac{4\pi^2}{\beta_L^2} \quad \text{(5.6)}
\]

\[
\frac{1}{3} \sqrt{\det a_u} \bigg|_{\epsilon = 0} = |\nu \mu_L^3 + \frac{1}{3} \mathcal{M}^2 \mu_L^2 \mu_U + 4 \mathcal{M} \nu \mu_L \mu_U | = 32 \nu \mu_U^3 = 0 \quad \text{(5.7)}
\]

We prove now that this is indeed the correct result. Since the matrix \( A^{-1}\text{ad}A \) is diagonal, it must lie in the Cartan subalgebra of \( \text{sl}(3) \); diagonalizing simultaneously \( L_0 \) and \( U_0 \) we find

\[
A^{-1}\text{ad}A = \text{diag}(0, 0, f_L + 2f_U, f_L - 2f_U, -f_L + 2f_U, -f_L - 2f_U, 2f_L, -2f_L)
\]

(5.8)

with some functions \( f_L, f_U \) of the charges and chemical potentials that can be determined by explicitly calculating the characteristic polynomial of the matrix \( i\beta_L a_u \) for the eigenvalues \( \lambda \) as derived from the solution (3.2) (with constant charges and chemical potentials) and comparing it with the characteristic polynomial that follows from (5.8). The first set of relations (5.5) yields the conditions

\[
f_L = \frac{m\pi}{\beta_L}, \quad f_U = \frac{(n - \frac{m}{2})\pi}{\beta_L} \quad n, m \in \mathbb{Z}.
\]

(5.9)

Thus, the first half of the holonomy conditions leads to a discrete family of solutions parametrized by two integers \( n \) and \( m \). For the choice \( m = 2 \) and \( n = 1 \) these conditions reproduce precisely the guess (5.6) and (5.7). This choice is unique by requiring that in the absence of spin-3 chemical potentials and spin-3 charges the holonomy conditions reduce
to the ones for flat space cosmologies. We will therefore always make this choice in the present work.

So far we have obtained and solved only half of the holonomy conditions. The other half emerges from imposing the second set of relations (5.5). After a straightforward calculation\(^5\) we find that one of these conditions is linear in the charges and chemical potentials, while the other is quadratic in the charges and linear in the chemical potentials

\[
\mathcal{M}(1 + \mu_M) + \mathcal{L}\mu_L + 12\mathcal{V}\mu_V + 16\mathcal{U}\mu_U = 0 \quad (5.10)
\]

\[
9\mathcal{V}(1 + \mu_M) + 6\mathcal{U}\mu_L + \mathcal{M}^2\mu_V + 2\mathcal{L}\mathcal{M}\mu_V = 0. \quad (5.11)
\]

These results are considerably simpler than the corresponding holonomy conditions in AdS, which are at least quadratic in chemical potentials and charges.

The linear holonomy condition (5.10) simplifies entropy (5.1) to

\[
S = \beta_L \left( \mu_L Q_L + \mu_U Q_U \right). \quad (5.12)
\]

For the special case \(\mu_U = 0\) entropy (5.12) depends only on spin-2 charges and chemical potentials (see appendices C and D). Moreover, the solution to the four holonomy conditions (5.6), (5.7), (5.10), (5.11) becomes elementary.

\[
\mathcal{M} = \frac{4\pi^2}{\beta_L^2 \mu_L^2}, \quad \mathcal{L} = -\mathcal{M} \frac{1 + \mu_M}{\mu_L}, \quad \mathcal{V} = 0, \quad \mathcal{U} = -\mathcal{M}^2 \frac{\mu_V}{6\mu_L}. \quad (5.13)
\]

For that case entropy is given by the Bekenstein–Hawking area law \((k = 1/(4G_N))\), where \(G_N\) is Newton’s constant

\[
S|_{\mu_U=0} = k\beta_L |\mu_L\mathcal{L}| = k \frac{2\pi|\mathcal{L}|}{\sqrt{\mathcal{M}}} = k \text{area}_{\text{horizon}}. \quad (5.14)
\]

We included absolute values to ensure that entropy is positive regardless of the sign of the charge \(\mathcal{L}\). Inverse temperature

\[
\beta = -\frac{\partial S}{\partial Q_M} = -\frac{2\partial S}{k\partial \mathcal{M}} = 2\pi \frac{|\mathcal{L}|}{\mathcal{M}^{3/2}} \quad (5.15)
\]

then coincides with the spin-2 result (see e.g. [59]; note that in their conventions \(\mathcal{M} = r_+^2\) and \(|\mathcal{L}| = |r_0 r_+|\)).

\[
T = \frac{1}{2\pi} \frac{\mathcal{M}^{3/2}}{|\mathcal{L}|}. \quad (5.16
\]

\(^5\)There are numerous different ways to obtain these results, but it is not always easy to extract the simple conditions (5.10) and (5.11). For instance, one can contract the AdS holonomy conditions using the map (3.4), but this leads naturally to non-linear relations between charges and chemical potentials. Two combinations of these relations immediately provide the holonomy conditions (5.6) and (5.7), but it takes a bit of work to extract the other two conditions in their simplest form. Alternatively, one can explicitly construct the matrix \(A\) in (5.3) that diagonalizes the sl(3) part of the generators and then determine the two eigenvectors associated with the two zero eigenvalues. This approach makes it clear from the start that the remaining two holonomy conditions must be linear in the chemical potentials. The procedure we used is a simpler version thereof that avoids complete diagonalization, but merely puts the generators into block form with a \(2 \times 2\) block of zeros, since the remaining two holonomy conditions are restricted to the subspace associated with the zero eigenvalues.
The minus sign in the definition (5.15) is reminiscent of the inner horizon first law of black hole mechanics [86–90] as explained in [59]. From the corresponding first law

$$- dQ_M = T dS + \Omega dQ_L$$

(5.17)

we deduce the angular potential

$$\Omega = - T \frac{\partial S}{\partial Q_L} \bigg|_{Q_M} = - T \frac{\partial S}{k\partial L} \bigg|_{Q_L}$$

(5.18)

which again coincides with the spin-2 result [59].

In the general case \( \mu_U \neq 0 \) not all holonomy conditions are linear. Instead, we have to solve one quadratic and one cubic equation, similar to the AdS case. Defining \( \mu = \mu_L \mu_U \) and \( \eta = \mu_L / \mu_U + \frac{1}{2} M^2 / V \) the holonomy conditions (5.6), (5.7) simplify to

$$\eta^3 + \eta \left( 4M - \frac{M^4}{27V^2} \right) + 32V - \frac{16M^3}{27V} + \frac{2M^6}{729V^3} = 0$$

(5.19)

$$\mu = \frac{4\pi^2}{\beta_L^2} \left( \mu_L M + 24V + \frac{4\mu_U}{3\mu_L} M^2 \right)^{-1}$$

(5.20)

Solving the cubic equation (5.19) yields a result for the ratio \( \mu_L / \mu_U \), which can then be plugged into the linear equation (5.20) to determine the product of the chemical potentials. The sign of the discriminant \( D \) of the cubic equation (5.19) is given by

$$\text{sign } D = \text{sign} (M^3 - 108V^2)$$

(5.21)

If \( D \) is negative there is exactly one real solution; this happens only if the spin-3 charge \( V \) is sufficiently large or if the mass \( M \) is negative. For a critical tuning of the charges, \( \text{criticality: } 108V^2 = M^3 \) (5.22)

the discriminant vanishes, \( D = 0 \), and there is a unique real solution \( \eta = 0 \). However, the linear equation (5.21) has no finite solution for \( \mu \) in this case. Therefore, starting from finite and positive \( M \) it is not possible to smoothly increase the spin-3 charge \( V \) beyond the critical value (5.22).

Henceforth, we shall always assume the inequality

$$M > (108V^2)^{1/3} \geq 0.$$  

(5.23)

In other words, we consider from now on exclusively the case of positive discriminant, \( D > 0 \). In this case there are three real solutions for \( \eta \). The resulting entropy is real for all three branches. However, only one branch recovers the same entropy (5.12) as for the spin-2 case in the limit \( V \to 0 \). Therefore, we take that branch.

On this particular branch, there is a neat way to express all results in terms of the charges \( M, L, U \) and a new parameter \( R \) that depends on the ratio of spin-3 and spin-2 charges \( V^2 / M^3 \), just like in the AdS case [24]:

$$\frac{R - 1}{4R^{3/2}} = \frac{|V|}{M^{3/2}} \quad R > 3$$

(5.24)
The restriction to $\mathcal{R} > 3$ guarantees that we sit on the correct branch. The chemical potentials then read

$$1 + \mu_M = -\frac{2\pi |\mathcal{L}|}{\mathcal{M} \sqrt{\mathcal{M} \beta_L}} \cdot \frac{4\mathcal{R}(2\mathcal{R}^2 + 6\mathcal{R} - 9) - 24\mathcal{P} \sqrt{\mathcal{R}}(10\mathcal{R}^2 - 15\mathcal{R} + 9)}{(\mathcal{R} - 3)^3(4 - 3/\mathcal{R})^{3/2}}$$  (5.25)

$$\mu_L = \frac{2\pi \text{sign} \mathcal{L}}{\sqrt{\mathcal{M} \beta_L}} \cdot \frac{2\mathcal{R} - 3}{(\mathcal{R} - 3)\sqrt{4 - 3/\mathcal{R}}}$$  (5.26)

$$\mu_U = \frac{3\pi \text{sign} \mathcal{L}}{\mathcal{M} \beta_L} \cdot \frac{\sqrt{\mathcal{R}}}{(\mathcal{R} - 3)\sqrt{4 - 3/\mathcal{R}}}$$  (5.27)

$$\mu_V = \frac{3\pi |\mathcal{L}|}{\mathcal{M}^2 \beta_L} \cdot \frac{2\sqrt{\mathcal{R}}(10\mathcal{R}^2 - 15\mathcal{R} + 9) - 16\mathcal{P} \mathcal{R}(2\mathcal{R}^2 + 6\mathcal{R} - 9)}{(\mathcal{R} - 3)^3(4 - 3/\mathcal{R})^{3/2}}$$  (5.28)

while entropy is given by

$$S(\mathcal{M}, \mathcal{L}, \mathcal{R}, \mathcal{P}) = 2\pi k \frac{|\mathcal{L}|}{\sqrt{\mathcal{M}}} \cdot \frac{2\mathcal{R} - 3 - 12\mathcal{P} \sqrt{\mathcal{R}}}{(\mathcal{R} - 3)\sqrt{4 - 3/\mathcal{R}}}$$  (5.29)

with the dimensionless ratio

$$\mathcal{P} = \frac{\mathcal{U}}{\sqrt{\mathcal{M} \mathcal{L}}}.$$  (5.30)

The expression for entropy (5.29) is the main result of this section. The pre-factor containing the spin-2 charges $\mathcal{M}, \mathcal{L}$ coincides with the spin-2 result (5.14). The spin-3 correction depends non-linearly on one of the combinations of spin-3 charges, $\mathcal{R}$, and linearly on the other, $\mathcal{P}$.

For some purposes it can be useful to have a simpler perturbative result for entropy in the limit of small spin-3 charge $\mathcal{V}$ (large $\mathcal{R}$), which we present below.

$$S(\mathcal{M}, \mathcal{L}, \mathcal{V}, \mathcal{U}) = 2\pi k \frac{|\mathcal{L}|}{\sqrt{\mathcal{M}}} \left(1 + \frac{15\mathcal{V}^2}{8\mathcal{M}^3} - \frac{6\mathcal{U}|\mathcal{V}|}{\mathcal{M}^2 \mathcal{L}}\right) + \mathcal{O}(\mathcal{V}^3)$$  (5.31)

We close the entropy discussion by addressing sign issues. We have assumed that the mass is positive, $\mathcal{M} > 0$, motivated by the necessity of this condition in the spin-2 case. The sign of $\mathcal{L}$ does not matter, which is why we included absolute values in the final result for entropy (5.29). Here is our argument. Suppose that $\mathcal{L} > 0$ ($\mathcal{L} < 0$). Then we exploit the sign ambiguity in the definitions of $\mu_L, \mu_U$ by choosing $\mu_L > 0$ ($\mu_L < 0$) so that the first term in (5.12) is always positive and thus entropy is positive in the limit of vanishing spin-3 fields. The sign of $\mathcal{V}$ is taken care of by the definition (5.24), which ensures positive $\mathcal{R}$ regardless of the sign of $\mathcal{V}$. Thus, the only remaining signs of potential relevance are the signs of the spin-3 charge $\mathcal{U}$ and the corresponding chemical potential $\mu_U$. The latter is fixed through the sign choice of $\mu_L$ explained above, but the former is free to change, and this change is physically relevant. This implies that the quantity $\mathcal{P}$ defined in (5.30) can have either sign, so that the last term in the entropy (5.29) can have either sign. Demanding positivity of entropy then establishes an upper bound on $\mathcal{U}$. 

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5.2 Grand canonical free energy and phase transitions

In the previous section we found that there are three branches of solutions of all the holonomy conditions, and we simply took the branch that connects continuously to the spin-2 results in the limit of vanishing spin-3 charges. However, it is not guaranteed that this procedure picks out the correct branch from a thermodynamical perspective in the whole parameter space. What we should do is to compare the free energies of all branches for given values of the chemical potentials and check which of the branches leads to the lowest free energy. This is precisely the aim of this subsection.

We start by writing the general result for the (grand canonical) free energy, regardless of the specific branch (we set \( k = 1 \) in this subsection). We already have a thermodynamic potential, namely entropy in terms of extensive quantities (charges), so all we need to do is to Legendre transform with respect to all pairs charge/chemical potential.\(^6\)

\[
F(T, \Omega, \Omega_V, \Omega_U) = -Q_M - TS - \Omega Q_L - \Omega_V Q_V - \Omega_U Q_U
\]

(5.32)

The zero mode charges are given by (3.5) and the intensive quantities by the chemical potentials.

\[
T^{-1} = \beta = -\frac{\partial S}{\partial Q_M}|_{L,V,U} = -\beta_L (1 + \mu_M)
\]

(5.33)

\[
\beta \Omega = -\frac{\partial S}{\partial Q_L}|_{M,V,U} = -\beta_L \mu_L
\]

(5.34)

\[
\beta \Omega_V = -\frac{\partial S}{\partial Q_V}|_{M,L,U} = -\beta_L \mu_V
\]

(5.35)

\[
\beta \Omega_U = -\frac{\partial S}{\partial Q_U}|_{M,L,V} = -\beta_L \mu_U
\]

(5.36)

In order to express free energy in terms of intensive variables we have to invert the holonomy conditions and solve for the charges in terms of chemical potentials. Before doing so, it is instructive to consider free energy expressed in terms of charges in certain limits. In the large \( R \) limit (weak contribution from spin-3 charges) we recover the spin-2 result

\[
F_{\text{weak}} = -\frac{M}{2} + \mathcal{O}(P/\sqrt{R}) + \mathcal{O}(1/R).
\]

(5.37)

In the \( R \to 3 \) limit (strong contribution from spin-3 charges) we obtain

\[
F_{\text{strong}} = -\frac{M}{6} + \mathcal{O}(R - 3)^2.
\]

(5.38)

Thus, we have a universal ratio

\[
\frac{F_{\text{weak}}}{F_{\text{strong}}} = 3.
\]

(5.39)

The results (5.37)-(5.39) are valid on all branches and show that the free energy approaches the correct spin-2 value.

\(^6\)Alternatively, one could use the on-shell action method by Bañados, Canto and Theisen [91].
Performing the Legendre transformation (5.32) with the entropy (5.12) yields

$$F = - Q_M + T \beta L \mu_V Q_V = - \frac{M}{2} - 4 \Omega_v V. \quad (5.40)$$

In order to obtain free energy as function of intensive variable we have to solve the non-linear holonomy conditions (5.6), (5.7) for the charges in terms of the chemical potentials. Solving (5.6) for $V$ allows us to express free energy in terms of the mass $M$ and of chemical potentials.

$$F = - \frac{M}{2} + \frac{M \Omega \Omega_V}{6 \Omega_u} + \frac{2 \Omega^2 \Omega_V}{9 \Omega} = \frac{-2 \pi^2 T^2 \Omega_V}{3 \Omega_u} \quad (5.41)$$

Plugging the solution for the spin-3 charge $V$ in terms of the mass $M$ into the other holonomy condition (5.7) establishes a quartic equation for the mass $M$, which leads to four branches of solutions for free energy. The discriminant of that equation is positive, provided the spin-3 chemical potential obeys the bound

$$\Omega^2 U < \frac{9(2\sqrt{3} - 3)}{64} \frac{\Omega^4}{4 \pi^2 T^2} \approx 0.065 \Omega_4^{4/3} T^2. \quad (5.42)$$

Another way to read the inequality (5.42) is that it provides an upper bound on the temperature for given spin-3 chemical potential $\Omega_u$. The maximal temperature is given by

$$T_{\text{max}} = \frac{3\sqrt{2\sqrt{3} - 3}}{8} \frac{\Omega^2}{2 \pi |\Omega_u|}. \quad (5.43)$$

In the limit of small $\Omega_u$ it turns out that only one of the branches has finite free energy. This is the branch that continuously connects with spin-2 results, on which free energy yields

$$F = - \frac{2 \pi^2 T^2 \Omega^2}{3 \Omega_u} \left( 1 - \frac{32 \pi^2 T^2 \Omega_v \Omega_u}{3 \Omega_u^3} + \frac{80 \pi^2 T^2 \Omega_u^2}{3 \Omega_u^4} + O(\Omega_u^3) \right). \quad (5.44)$$

The term before the parentheses reproduces the spin-2 result for free energy. The term in the parentheses depends only on two linear combinations of the chemical potentials [on $t$ and $v$ introduced in (5.46) below]. As in the spin-2 case [59] there will be a phase transition between flat space cosmologies and hot flat space at some critical temperature.

A novel feature of the spin-3 case is that there are additional phase transitions between the various flat space cosmology branches. To see this we consider the difference between the free energies of two branches.

$$\Delta F_{12} = \frac{2 \Omega \Omega_V}{9 \Omega} \left( M_1 - M_2 \right) \left( M_1 + M_2 + \frac{3 \Omega (\Omega \Omega_V - 3 \Omega_u)}{4 \Omega_u \Omega_v} \right) \quad (5.45)$$

There are two zeros in the difference (5.45), an obvious one when the masses of the two branches coincide, $M_1 = M_2$, and a non-obvious one when the expression in the last parentheses in (5.45) vanishes. We focus in the following on the difference between the branch that continuously connects to spin-2 results (branch 1) and the other branch that
ceases to exist if the bound \((5.42)\) is violated (branch 2). The other two branches are then branch 3 and 4; they will play only minor roles.

To reduce clutter we assume from now on that temperature and the chemical potentials are non-negative. Moreover, we introduce dimensionless combinations of chemical potentials
\[
\begin{align*}
  t &= 2\pi T \frac{\Omega_v}{\Omega v}, \\
  v &= \Omega_v \frac{\Omega}{\Omega v}. 
\end{align*}
\] (5.46)
The quantity \(t\) is a dimensionless temperature, while \(v\) is essentially a ratio of odd over even spin-3 chemical potential. Expressing the difference of free energies \((5.45)\) between branches 1 and 2 as function of these two combinations, up to a non-negative overall constant, yields
\[
\Delta F_{12} \propto 15v - 18 - \sqrt{64t^2 + 9 + \frac{8t(64t^2 + 27)}{N(t)}} + 8tN(t). 
\] (5.47)
with
\[
N(t) = (512t^3 + 648t + 9\sqrt{4096t^4 + 3456t^2 - 243})^{1/3}. 
\] (5.48)
The positive real zero of the term under the square-root in \((5.48)\) corresponds precisely to the critical temperature \((5.43)\). For each value of dimensionless temperature \(t\) there is a simple zero in \(\Delta F_{12}\) since it depends linearly on \(v\). We call the corresponding value of \(v\) ‘critical’ and denote it by subscript ‘c’. For vanishing temperature we find from equating \((5.47)\) to zero
\[
v_c|_{t=0} = \frac{3}{2} 
\] (5.49)
while at the critical temperature \((5.43)\) we find similarly
\[
v_c|_{t=t_c} = \frac{3}{4} \sqrt{2\sqrt{3}-3} = 2. 
\] (5.50)
The corresponding free energy differences near these temperatures read, respectively
\[
\begin{align*}
  \Delta F_{12} &\propto 12v - 18 - 12tv + \frac{8t^2}{3}v + \mathcal{O}(t^2) \quad \text{\((5.51)\)} \\
  \Delta F_{12} &\propto 9v - 18 - 8\sqrt{1 + \frac{2}{\sqrt{3}} (t - t_c)v - \frac{16}{27} (t - t_c)^2 v + \mathcal{O}(t - t_c)^3} \quad \text{\((5.52)\)}
\end{align*}
\] We arrive therefore at the following picture, depending on the value of the parameter \(v\):\(^7\)

- \(0 < v < \frac{3}{2}\): Branch 1 is thermodynamically unstable for all temperatures.
- \(v = \frac{3}{2}\): Branch 1 degenerates with branch 2 at vanishing temperature and is thermodynamically unstable for all positive temperatures.
- \(\frac{3}{2} < v < 2\): Branch 1 degenerates with branch 2 at some positive temperature. Below that temperature branch 1 is thermodynamically unstable. At that temperature there is a phase transition from branch 2 to branch 1. Above that temperature branch 1 is stable (modulo the phase transition to hot flat space \([59]\)).

\(^7\)Positivity of entropy imposes additional constraints on the existence of branches; we checked that the existence of the first order phase transition between branches 1 and 2 that we describe below is not influenced by such constraints.
Figure 1: Plots of free energy as function of temperature. In all plots $\Omega = 1$, $\Omega_V = 0.1$. Upper Left: $\Omega_V = 0.4$. Upper Middle: $\Omega_V = 0.2$. Upper Right: $\Omega_V = 0.18$. Lower Left: $\Omega_V = 0.15$. Lower Middle: $\Omega_V = 0.12$. Lower Right: $\Omega_V = 0.01$. The branch with smooth spin-2 limit is displayed as thick line, the second branch as dashed line, the other two branches as dotted lines (in the upper plots these lines are at positive $F$). For a movie of these plots see http://quark.itp.tuwien.ac.at/~grumil/mp3/free_energy_fs3.avi.

- $v = 2$: Branch 1 degenerates with branch 2 at the maximal temperature (5.43) and is thermodynamically stable for all temperatures (again modulo the phase transition to hot flat space).

- $v > 2$: Branch 1 is thermodynamically stable for all temperatures (with the same caveat as above).

To illustrate the results above we show an example in figure 1. In all six graphs the thick line depicts free energy for branch 1 and the dashed line for branch 2 (the other two branches are not essential for this discussion; if visible they are plotted as dotted lines). The three upper plots show explicitly the phase transition between branches 1 and 2, depending on the choice of $v$. The three lower plots show that there are further phase transitions involving the branches 3 and 4, if branch 1 is unstable for all values of temperature. In addition to all these new phase transitions there is the ‘usual’ phase transition to hot flat space [59], which in the present case can be of zeroth, first or second order. Since there are several phase transitions possible there exist also multi-critical points where three or four phases co-exist.

The most striking difference between the AdS results by David, Ferlaino and Kumar [77] and our flat space results is that we observe the possibility of first order phase transitions between various branches (see the right upper and middle lower plot in figure 1). By contrast, in AdS the only phase transitions (other than Hawking–Page like) arise because two of the branches end, at which point the free energy jumps (we also recover these zeroth order phase transitions in flat space, see e.g. the left lower plot in figure 1).
5.3 Remarks on flat space singularity resolutions

String theory is believed to resolve (some of) the singularities that arise in classical gravity, see e.g. [92] and references therein. If this is true and if higher spin gravity can be thought of as emerging from string theory in the tensionless limit, then it is suggestive that also higher spin gravity could resolve (some of) the singularities that arise in Einstein gravity. Regardless of how plausible this line of reasoning appears, it is certainly of interest to investigate the issue of singularity resolution in (three-dimensional) higher spin gravity.

Indeed, Castro et al. discovered corresponding singularity resolutions for black holes [93] and conical surpluses [94] in three-dimensional AdS higher spin gravity. More recently, Krishnan et al. considered the singularity resolutions of the Milne universe [65] and the null orbifold [95] in three-dimensional flat space higher spin gravity. We discuss now some of their findings from the perspective developed in the present work, starting with the second example, the null orbifold singularity resolution.

In our conventions the null orbifold is a configuration with $M = N = V = Z = \mu_m = \mu_L = \mu_V = \mu_U = 0$, i.e.

$$a_u = M_+ \quad a_\phi = L_+ .$$

This configuration leads to the null orbifold line-element

$$ds^2 = -2 du dr + r^2 d\phi^2$$

with vanishing spin-3 field. The null orbifold exhibits a singularity at $r = 0$, see e.g. [96–99].

One of the claims of [95] is that there is a spin-3 gauge transformation that resolves this singularity. In the language of the present work, this resolution involves the following connection

$$a_u = M_+ \quad a_\phi = L_+ + \frac{9}{2} p V_0$$

which leads to the line-element

$$g_{\mu\nu} dx^\mu dx^\nu = -2 du dr + \left(r^2 + 27 p^2\right) d\phi^2$$

and spin-3 field

$$\Phi_{\mu\nu\lambda} dx^\mu dx^\nu dx^\lambda = 3p \left(dr du d\phi + \left(r^2 - 9 p^2\right) d\phi^3\right).$$

Up to a different choice of coordinates and overall normalization of the spin-3 field, the results (5.56) and (5.57) coincide precisely with Eq. (3.16) in [95].

Comparing the original null orbifold configuration (5.53) with the resolved one (5.55) we see that the difference is in the $a_\phi$ component, not the $a_u$ component. Therefore, we cannot interpret the additional terms proportional to $p$ as coming from a chemical potential as introduced in section 3.

We check now whether the (spin-3) transformation that maps (5.53) to (5.55) is a small gauge transformation. If $p$ is a state-dependent function then the term proportional to $p$ in (5.55) leads to a contribution to the canonical currents of the form (see appendix D)

$$\delta Q \sim \oint d\phi \left(\chi'' - \mathcal{M} \chi\right) \delta p$$

(5.58)
which is finite and conserved, but not integrable in field space unless \( p = p(M) \). However, if \( p \) is not a state-dependent parameter but merely some (gauge-)parameter then its field-variation vanishes, \( \delta p = 0 \), and the canonical charges remain unchanged.

Therefore, we conclude that the spin-3 singularity resolution discussed in [95] is based on a small gauge transformation, i.e., it neither changes the canonical charges nor the chemical potentials. Our results thus support their conclusions.

The same remarks apply to the spin-3 singularity resolution of the Milne universe [65], which manifestly uses a gauge transformation of the type discussed in appendix D, with state-independent constant gauge parameters \( v_0 \neq 0 \neq v_2 \).

5.4 Further applications, developments and generalizations

Above we have presented some applications of flat space (spin-3) gravity with chemical potentials. Below we mention several other possible applications and generalizations that we leave for future work.

- **Flat space higher spin Cardy formula.** The usual Cardy formula [100, 101] was generalized in (at least) two ways: 1. by including higher spin fields [102–104] and 2. by taking the flat space limit [57, 58, 71, 72]. It seems both natural and interesting to combine these two generalizations and to derive a Cardy-like formula for the entropy of spin-3 flat space cosmologies that (hopefully) matches our result (5.1).

- **Flat space family of solutions beyond flat space cosmologies.** It could be rewarding to study in detail solutions of the holonomy conditions (5.9) for integers \( m \neq 2 \) and \( n \neq 1 \). The ensuing family of solutions could play an analogous role for flat space (higher spin) gravity as the \( \text{SL}(2, \mathbb{Z}) \) family of Euclidean saddlepoints in AdS spin-2 gravity.

- **Flat space spin-3 holographic dictionary.** Following our discussion in section 4 it would be interesting to continue the flat space holographic dictionary, in particular by identifying the sources (or non-normalizable modes) for the spin-3 field. To this end we linearize the result (3.13) in \( \mu_v \) and \( \mu_u \).

\[
\Phi_{\mu\nu\lambda} \, dx^\mu \, dx^\nu \, dx^\lambda = \Phi_{\mu\nu\lambda} \, dx^\mu \, dx^\nu \, dx^\lambda + \Psi_{\mu\nu\lambda} \, dx^\mu \, dx^\nu \, dx^\lambda + \mathcal{O}(\mu_v^2, \mu_u^2, \mu_v \mu_u) \tag{5.59}
\]

For simplicity, we set to zero the spin-2 chemical potentials and charges, as well as the spin-3 charges, and assume that all the spin-3 chemical potentials are constant. The background solution \( \Phi_{\mu\nu\lambda} \, dx^\mu \, dx^\nu \, dx^\lambda \) is given by the right hand side of (2.29). With these assumptions, the linear piece in the chemical potentials yields

\[
\Psi_{\mu\nu\lambda} \, dx^\mu \, dx^\nu \, dx^\lambda = -2r^2 \mu_v \, dr \, du \, d\varphi + \mu_v \, dr^2 \, du. \tag{5.60}
\]

By analogy to the discussion after (4.8), we conjecture that the two terms in (5.60) should correspond to the essential pieces in the two towers of non-normalizable solutions to the linearized spin-3 equations of motion.
• **isl(\(N\)).** Everything we have done in the present work should generalize straightforwardly to higher spin gravity theories based on an isl(\(N\)) connection, with \(N > 3\). In fact, for the principal embedding we expect that all our conclusions remain essentially unchanged. All flat space results should be obtainable from a suitable İnönü–Wigner contraction of corresponding AdS results based on an sl(\(N\)) \(\oplus\) sl(\(N\)) connection. Similar generalizations in AdS were considered in [78, 79, 105].

• **Non-principal embeddings.** Whenever the corresponding AdS results are known, again we expect that all flat space results should be obtainable from a suitable İnönü–Wigner contraction. There could be interesting surprises for non-principal embeddings in the flat space limit, however, as the discussion in [49] shows.

• **Gravitational anomalies.** It is of interest to generalize the result (5.29) for entropy to theories which are obtained as flat space İnönü–Wigner contractions from AdS theories with gravitational anomalies so that \(c_L = c - \bar{c} \neq 0\). In [71] such an expression was found, which correctly reproduces (5.29) (up to a different choice of normalization of \(L\) and \(U\)). Moreover, it also gives a prediction for the thermal entropy of flat space cosmology solutions in the presence of gravitational anomalies. This result can be obtained using the methods presented in section 5.1 upon replacing the hatted trace with (one quarter of the) trace and the level \(k\) with \(c_L/24\).

• **First order phase transitions in AdS higher spin gravity.** Some of our results resemble corresponding AdS results. For instance, the branch that continuously connects to spin-2 gravity also becomes unstable beyond a critical temperature in AdS [77]. Moreover, this temperature agrees quantitatively with our result (5.43), upon replacing our ratio \(\Omega^2/|\Omega_U|\) by their \(\mu^{-1}\). However, the first order phase transitions discovered in section 5.2 do not arise in AdS, despite of the fact that the main ingredient we used was to solve the non-linear holonomy conditions (5.6), (5.7) for the charges in terms of chemical potentials, and these holonomy conditions are identical to the ones in AdS higher spin gravity [29]. It could be interesting to make a scan through all possibilities in AdS higher spin gravity to see if some novel first order phase transitions can arise, and if not, to understand better why AdS and flat space behave so differently in this regard.

• **Holographic entanglement entropy.** Entanglement entropy of Galilean CFTs, the dual field theories that arise in flat space spin-2 gravity, was derived recently [106]. It would be very interesting to generalize the discussion to the spin-3 case (or even higher spins), both on the field theory and the higher spin gravity sides, along the lines of [107, 108] or by suitably contracting the results of [109].

**Acknowledgments**

We thank Hamid Afshar, Arjun Bagchi, Geoffrey Compère, Stephane Detournay, Reza Fareghbal, Wout Merbis, Stefan Prohazka, Friedrich Schöller and Joan Simon for discussions.
This work was supported by the START project Y 435-N16 of the Austrian Science Fund (FWF) and the FWF projects I 952-N16, I 1030-N27 and P 27182-N27. During the final stages MG was supported by the FWF project P 27396-N27.

A Matrix representations of isl(3) generators

A.1 6 × 6 representation

In most of our work we use the following matrix representation of isl(3) generators in terms of 6 × 6 block-diagonal matrices. It is convenient to write them as a 3 × 3 block tensored by a simple diagonal 2 × 2 matrix. The block structure is a remnant of the decomposition of the AdS algebra so(2, 2) ∼ so(2, 1) ⊕ so(2, 1) before the İnönü–Wigner contraction.

Even spin-2 generators:

\[ L_+ = \begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix} \otimes I_{2 \times 2} \]
\[ L_0 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix} \otimes I_{2 \times 2} \]
\[ L_- = \begin{pmatrix} 0 & -2 & 0 \\ 0 & 0 & -2 \\ 0 & 0 & 0 \end{pmatrix} \otimes I_{2 \times 2} \] (A.1)

Even spin-3 generators:

\[ U_2 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 2 \end{pmatrix} \otimes I_{2 \times 2} \]
\[ U_1 = \begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & -1 & 0 \end{pmatrix} \otimes I_{2 \times 2} \]
\[ U_0 = \begin{pmatrix} 0 & -4 & 0 \\ 0 & 0 & 2 \end{pmatrix} \otimes I_{2 \times 2} \]
\[ U_{-1} = \begin{pmatrix} 0 & -2 & 0 \\ 0 & 0 & 2 \\ 0 & 0 & 0 \end{pmatrix} \otimes I_{2 \times 2} \]
\[ U_{-2} = \begin{pmatrix} 0 & 0 & 8 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \otimes I_{2 \times 2} \] (A.2)

All odd generators can be written as a product of corresponding even generators times a \( \gamma^* \)-matrix,

\[ M_n = \epsilon L_n \times \gamma^* \quad V_n = \epsilon U_n \times \gamma^* \] (A.3)

with \( \epsilon \) a Grassmann-parameter, \( \epsilon^2 = 0 \), and

\[ \gamma^* = \begin{pmatrix} I_{3 \times 3} & 0_{3 \times 3} \\ 0_{3 \times 3} & -I_{3 \times 3} \end{pmatrix} \] (A.4)

Equivalently, one can replace in the formulas (A.1), (A.2) everywhere the factor \( I_{2 \times 2} \) by the diagonal Pauli matrix \( \sigma_3 = \text{diag}(1, -1) \) times the Grassmann parameter \( \epsilon \) in order to obtain the odd generators from the corresponding even ones.

A.2 8 + 1 representation

For deriving entropy and holonomy conditions we use the following matrix representation of isl(3) generators in terms of 8 + 1-dimensional matrices with a “tensor”- and a “vector”-block. Generic generators \( G \) are written in the form

\[ G = \begin{pmatrix} \text{ad}_{8 \times 8} & \text{odd}_{8 \times 1} \\ \text{0}_{1 \times 8} & \text{0} \end{pmatrix} \] (A.5)
where \( \text{ad}_{8 \times 8} \) is an \( 8 \times 8 \) matrix that is an element of \( \text{sl}(3) \) in the adjoint representation and \( \text{odd}_{8 \times 1} \) is an \( 8 \times 1 \) column vector. The even generators \( L_n \) and \( U_n \) have \( \text{ad} \neq \mathbb{O} \), \( \text{odd} = \mathbb{O} \); the odd generators \( M_n \) and \( V_n \) have \( \text{ad} = \mathbb{O} \), \( \text{odd} \neq \mathbb{O} \). In fact, we can (and will) use the odd generators as unit basis vectors,

\[
\text{odd}_{M_n} = E_{n+2} \quad \text{odd}_{V_n} = E_{n+6} \quad (A.6)
\]

with

\[
E_i = \begin{pmatrix} \delta_{i-1} \cdots 0, 1, 0, \cdots \end{pmatrix}^T \quad i = 1..8. \quad (A.7)
\]

The ad-parts of the even generators compatible with the algebra (2.4) are then given by the following \( 8 \times 8 \) matrices.

\[
\begin{aligned}
\text{ad}_{L_{-1}} &= -
\begin{pmatrix}
0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 2 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 2 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 3 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 4 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 
\end{pmatrix} \\
\text{ad}_{L_{0}} &=
\begin{pmatrix}
1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & -1 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 2 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 3 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & -1 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & -2 
\end{pmatrix} \\
\text{ad}_{L_{1}} &=
\begin{pmatrix}
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 
\end{pmatrix} \\
\text{ad}_{U_{-2}} &=
\begin{pmatrix}
0 & 0 & 0 & 0 & 4 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & -2 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & -4 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 
\end{pmatrix} \\
\text{ad}_{U_{-1}} &=
\begin{pmatrix}
0 & 0 & 0 & 0 & -2 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & -1 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 
\end{pmatrix} \\
\text{ad}_{U_{0}} &=
\begin{pmatrix}
0 & 0 & 0 & 0 & -2 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & -1 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 
\end{pmatrix} \\
\text{ad}_{U_{1}} &=
\begin{pmatrix}
0 & 0 & 0 & -4 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 
\end{pmatrix} \\
\text{ad}_{U_{2}} &=
\begin{pmatrix}
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 
\end{pmatrix} 
\end{aligned}
\]

## B Non-constant contributions to spin-2 and spin-3 fields

In this appendix we collect contributions that vanish identically for zero mode solutions with constant chemical potentials, \( \mathcal{M}' = \mathcal{N}' = \mu'_m = \rho'_i = \mu'_v = \rho'_v = 0 \). We start with
expressions for the metric appearing in (3.12a).

\[
g^{(r)}_{uu} = \frac{16}{3} M (\mu_1^u \mu_2^u - \mu_3^u \mu_4^u) - \frac{8}{3} N \mu_1^u \mu_2^u - \frac{8}{3} N' \mu_1^u \mu_2^u
+ 2 (\mu_1^u + \mu_2^u - \mu_3^u \mu_4^u) - \frac{4}{3} (\mu_1^u \mu_2^u - \mu_3^u \mu_4^u) + 2 (\mu_1^u \mu_2^u - \mu_3^u \mu_4^u)
\] (B.1a)

\[
g^{(Q)}_{uu} = -\frac{2}{3} M' \mu_1^u \mu_2^u - \frac{2}{3} N' \mu_1^u \mu_2^u - \frac{2}{3} M' \mu_1^u \mu_2^u + \frac{2}{3} N' (\mu_1^u \mu_2^u - \mu_3^u \mu_4^u) - \frac{2}{3} M (2 \mu_1^u \mu_2^u - \mu_1^u \mu_2^u)
- \frac{4}{3} N' (\mu_1^u \mu_2^u - \frac{2}{3} \mu_3^u \mu_4^u + 4 \mu_3^u \mu_4^u) - 2 (1 + \mu_3^u \mu_4^u) \mu_1^u + \mu_3^u + \frac{2}{3} (\mu_1^u \mu_2^u - \mu_3^u \mu_4^u) + \frac{1}{3} \mu_1^u \mu_2^u
\] (B.1b)

We continue with the spin-3 field. The four coefficient-functions in \( \Phi_{uuu} \) contained in (3.14a) read explicitly

\[
\Phi^{(r2)}_{uuu} = -\frac{4}{3} M' \mu_1^u \mu_2^u - \frac{4}{3} M' \mu_1^u \mu_2^u + 2 \mu_1^u \mu_2^u \mu_3^u + \frac{4}{3} \mu_1^u \mu_2^u \mu_4^u - 2 \mu_1^u \mu_2^u \mu_5^u + \mu_1^u \mu_2^u \mu_6^u + \mu_1^u \mu_2^u \mu_7^u \mu_8^u \mu_9^u
\] (B.2a)

\[
\Phi^{(r)}_{uuu} = \Phi^{(r2)}_{uuu} \Phi^{(r2)}_{uuu} + \Phi^{(r2)}_{uuu} \Phi^{(r2)}_{uuu} + \Phi^{(r2)}_{uuu} \Phi^{(r2)}_{uuu} + M \Phi^{(r3)}_{uuu} + N \Phi^{(r3)}_{uuu}
\] (B.2b)

\[
\Phi^{(r)}_{uuu} = \Phi^{(r2)}_{uuu} + \Phi^{(r2)}_{uuu} + M \Phi^{(r3)}_{uuu} + N \Phi^{(r3)}_{uuu} + M \Phi^{(r3)}_{uuu} + N \Phi^{(r3)}_{uuu}
\] (B.2c)

with the quadratic part

\[
\Phi^{(r2)}_{uuu} = \frac{2}{3} M' \mu_1^u \mu_2^u + \frac{4}{3} N' \mu_3^u \mu_4^u \mu_5^u \] (B.3a)

\[
\Phi^{(r2)}_{uuu} = \frac{1}{3} M' \mu_1^u \mu_2^u - \frac{1}{3} M' \mu_1^u \mu_2^u + \frac{10}{3} N' \mu_3^u \mu_4^u \] (B.3b)

\[
\Phi^{(r2)}_{uuu} = \frac{10}{3} M' \mu_1^u \mu_2^u + \frac{10}{3} M' \mu_1^u \mu_2^u + \frac{4}{3} N' \mu_3^u \mu_4^u \] (B.3c)

\[
\Phi^{(r2)}_{uuu} = (1 + \mu_3^u) (\mu_1^u \mu_2^u - \mu_3^u \mu_4^u) + 2 \mu_1^u \mu_2^u - \mu_3^u \mu_4^u - 2 \mu_1^u \mu_2^u \mu_3^u + \mu_1^u \mu_2^u \mu_3^u + \mu_3^u \mu_4^u \mu_5^u + \mu_3^u \mu_4^u \mu_6^u + \mu_3^u \mu_4^u \mu_7^u + \mu_3^u \mu_4^u \mu_8^u + \mu_3^u \mu_4^u \mu_9^u
\] (B.3d)

the linear part

\[
\Phi^{(r2)}_{uuu} = \frac{16}{9} M' \mu_1^u \mu_2^u \mu_3^u \mu_4^u + \frac{20}{9} M N' \mu_3^u \mu_4^u \mu_5^u - \frac{8}{9} M N \mu_3^u \mu_4^u \mu_5^u - \frac{8}{9} N' \mu_3^u \mu_4^u \mu_5^u \] (B.4a)

\[
\Phi^{(r2)}_{uuu} = \frac{2}{3} M' \mu_1^u \mu_2^u \mu_3^u \mu_4^u + \frac{2}{3} M' \mu_1^u \mu_2^u \mu_3^u \mu_4^u - \frac{2}{3} N' \mu_3^u \mu_4^u \mu_5^u \] (B.4b)

\[
\Phi^{(r2)}_{uuu} = \frac{2}{3} M' \mu_1^u \mu_2^u \mu_3^u \mu_4^u + \frac{2}{3} M' \mu_1^u \mu_2^u \mu_3^u \mu_4^u + \frac{4}{3} N' \mu_3^u \mu_4^u \mu_5^u \] (B.4c)

\[
\Phi^{(r2)}_{uuu} = \frac{2}{3} (1 + \mu_3^u) \mu_3^u \mu_4^u - \frac{2}{3} (1 + \mu_3^u) \mu_3^u \mu_4^u \mu_5^u + \frac{2}{3} (\mu_3^u \mu_4^u \mu_5^u - \mu_3^u \mu_4^u \mu_5^u - 8 \mu_3^u \mu_4^u \mu_5^u) \] (B.4d)

\[
\Phi^{(r2)}_{uuu} = \frac{2}{3} (1 + \mu_3^u) \mu_3^u \mu_4^u - \frac{2}{3} \mu_3^u \mu_4^u \mu_5^u - \frac{2}{3} \mu_3^u \mu_4^u \mu_5^u - \frac{2}{3} \mu_3^u \mu_4^u \mu_5^u + \frac{2}{3} \mu_3^u \mu_4^u \mu_5^u
\] (B.4e)

\[
\Phi^{(r2)}_{uuu} = \frac{2}{3} (1 + \mu_3^u) \mu_3^u \mu_4^u - \frac{2}{3} \mu_3^u \mu_4^u \mu_5^u + \frac{2}{3} \mu_3^u \mu_4^u \mu_5^u - \frac{2}{3} \mu_3^u \mu_4^u \mu_5^u
\] (B.4f)

\[
\Phi^{(r2)}_{uuu} = \frac{2}{3} (1 + \mu_3^u) \mu_3^u \mu_4^u - \frac{2}{3} \mu_3^u \mu_4^u \mu_5^u + \frac{2}{3} \mu_3^u \mu_4^u \mu_5^u - \frac{2}{3} \mu_3^u \mu_4^u \mu_5^u
\] (B.4g)

\[
\Phi^{(r2)}_{uuu} = 16(1 + \mu_3^u) \mu_3^u \mu_4^u - 16 \mu_3^u \mu_4^u \mu_5^u + 16 \mu_3^u \mu_4^u \mu_5^u
\] (B.4h)
\[ \Phi^{(r, \text{rest})}_{\mu \nu} = -\frac{1}{3} (1 + \mu_{\alpha}) \partial_{\mu} \partial_{\nu} \gamma + (1 + \mu_{\alpha}) \left( \partial_{\mu} \partial_{\nu} \gamma - \partial_{\nu} \partial_{\mu} \gamma \right) + \frac{1}{3} (1 + \mu_{\alpha}) \left( \partial_{\mu} \partial_{\nu} \gamma - \partial_{\nu} \partial_{\mu} \gamma \right) \]

\[ - \mu_{\alpha}^{\gamma}_{\mu} \partial_{\mu} \partial_{\nu} \gamma + 2 \mu_{\alpha}^{\gamma}_{\mu} \partial_{\nu} \partial_{\mu} \gamma - 2 \mu_{\alpha}^{\gamma}_{\mu} \partial_{\nu} \partial_{\alpha} \partial_{\mu} \gamma + \mu_{\alpha}^{\gamma}_{\mu} \partial_{\mu} \partial_{\nu} \gamma + \frac{1}{3} \nu_{\alpha}^{\gamma}_{\mu} \partial_{\mu} \partial_{\nu} \gamma \]

\[ + \frac{2}{3} \mu_{\alpha}^{\gamma}_{\mu} \partial_{\nu} \partial_{\mu} \gamma - \frac{2}{3} \mu_{\alpha}^{\gamma}_{\mu} \partial_{\nu} \partial_{\alpha} \partial_{\mu} \gamma + \frac{1}{3} \mu_{\alpha}^{\gamma}_{\mu} \partial_{\mu} \partial_{\nu} \gamma \]

\[ - \frac{1}{3} \nu_{\alpha}^{\gamma}_{\mu} \partial_{\mu} \partial_{\nu} \gamma + \frac{3}{2} \mu_{\alpha}^{\gamma}_{\mu} \partial_{\nu} \partial_{\mu} \gamma - \frac{1}{3} \nu_{\alpha}^{\gamma}_{\mu} \partial_{\mu} \partial_{\nu} \gamma + \frac{1}{3} \nu_{\alpha}^{\gamma}_{\mu} \partial_{\mu} \partial_{\nu} \gamma \]

(B.4i)

and the constant part

\[ \Phi^{Q(Y)}_{\mu \nu} = \frac{3}{3} M \left( \frac{2}{2} \mu_{\alpha}^{\gamma}_{\mu} \partial_{\mu} \partial_{\nu} \gamma - \frac{2}{3} \mu_{\alpha}^{\gamma}_{\mu} \partial_{\mu} \partial_{\nu} \gamma - 8 \mu_{\alpha}^{\gamma}_{\mu} \partial_{\mu} \partial_{\nu} \gamma + \frac{2}{2} \mu_{\alpha}^{\gamma}_{\mu} \partial_{\mu} \partial_{\nu} \gamma \right) \]  

(B.5a)

\[ \Phi^{Q(Q)}_{\mu \nu} = \frac{4}{3} \mathcal{N} \left( 6 \mu_{\alpha}^{\gamma}_{\mu} \partial_{\mu} \partial_{\nu} \gamma - 5 \mu_{\alpha}^{\gamma}_{\mu} \partial_{\mu} \partial_{\nu} \gamma + 4 \mu_{\alpha}^{\gamma}_{\mu} \partial_{\mu} \partial_{\nu} \gamma \right) \]  

(B.5b)

\[ \Phi^{(M)}_{\mu \nu} = \frac{3}{3} M^{\gamma}_{\mu \nu} + \frac{3}{3} N^{\gamma}_{\mu \nu} - \frac{1}{1} (1 + \mu_{\alpha}) \partial_{\mu} \partial_{\nu} \gamma - \frac{2}{3} \mu_{\alpha}^{\gamma}_{\mu} \partial_{\mu} \partial_{\nu} \gamma + \frac{1}{3} \mu_{\alpha}^{\gamma}_{\mu} \partial_{\mu} \partial_{\nu} \gamma \]  

(B.5c)

\[ \Phi^{(N)}_{\mu \nu} = \frac{3}{3} M^{\gamma}_{\mu \nu} + \frac{3}{3} N^{\gamma}_{\mu \nu} - \frac{1}{1} (1 + \mu_{\alpha}) \partial_{\mu} \partial_{\nu} \gamma - \frac{2}{3} \mu_{\alpha}^{\gamma}_{\mu} \partial_{\mu} \partial_{\nu} \gamma + \frac{1}{3} \mu_{\alpha}^{\gamma}_{\mu} \partial_{\mu} \partial_{\nu} \gamma \]  

(B.5d)

\[ \Phi^{(L)}_{\mu \nu} = \frac{3}{3} M^{\gamma}_{\mu \nu} - \frac{3}{3} N^{\gamma}_{\mu \nu} + \frac{1}{3} M^{\gamma}_{\mu \nu} \partial_{\mu} \partial_{\nu} \gamma - \frac{10}{9} N^{\gamma}_{\mu \nu} \partial_{\mu} \partial_{\nu} \gamma \]  

(B.5e)

\[ \Phi^{(N)}_{\mu \nu} = \frac{2}{2} M^{\gamma}_{\mu \nu} + \frac{2}{2} N^{\gamma}_{\mu \nu} - \frac{1}{1} (1 + \mu_{\alpha}) \partial_{\mu} \partial_{\nu} \gamma - \frac{2}{3} \mu_{\alpha}^{\gamma}_{\mu} \partial_{\mu} \partial_{\nu} \gamma + \frac{1}{3} \mu_{\alpha}^{\gamma}_{\mu} \partial_{\mu} \partial_{\nu} \gamma \]  

(B.5f)

\[ \Phi^{(N)}_{\mu \nu} = \frac{2}{2} M^{\gamma}_{\mu \nu} + \frac{2}{2} N^{\gamma}_{\mu \nu} - \frac{1}{1} (1 + \mu_{\alpha}) \partial_{\mu} \partial_{\nu} \gamma - \frac{2}{3} \mu_{\alpha}^{\gamma}_{\mu} \partial_{\mu} \partial_{\nu} \gamma + \frac{1}{3} \mu_{\alpha}^{\gamma}_{\mu} \partial_{\mu} \partial_{\nu} \gamma \]  

(B.5g)

\[ \Phi^{(L)}_{\mu \nu} = -2 (1 + \mu_{\alpha}) \mu^\gamma_{\mu \nu} + 8 \mu^\gamma_{\mu \nu} \partial_{\mu} \partial_{\nu} \gamma - 16 \mu^\gamma_{\mu \nu} \]  

(B.5h)

\[ \Phi^{(Z)}_{\mu \nu} = -16 (1 + \mu_{\alpha}) \mu^\gamma_{\mu \nu} + 16 \mu^\gamma_{\mu \nu} \partial_{\mu} \partial_{\nu} \gamma - 32 \mu^\gamma_{\mu \nu} \partial_{\mu} \partial_{\nu} \gamma + 16 \mu^\gamma_{\mu \nu} - 4 \mu^\gamma_{\mu \nu} \]  

(B.5i)

\[ \Phi^{(r, \text{rest})}_{\mu \nu} = \frac{1}{6} (1 + \mu_{\alpha}) \mu^\gamma_{\mu \nu} - \frac{1}{3} (1 + \mu_{\alpha}) \mu^\gamma_{\mu \nu} + \frac{1}{3} (1 + \mu_{\alpha}) \mu^\gamma_{\mu \nu} \]  

(B.5j)

The remaining non-constant contributions appearing in (3.14) are given by

\[ \Phi^{(r)}_{\mu \nu} = -(1 + \mu_{\alpha}) \mu^\gamma_{\mu \nu} + 2 \mu^\gamma_{\mu \nu} \partial_{\mu} \partial_{\nu} \gamma + \mu_{\alpha}^\gamma_{\mu} \partial_{\mu} \partial_{\nu} \gamma \]  

(B.6a)

\[ \Phi^{(0)}_{\mu \nu} = \frac{1}{3} (1 + \mu_{\alpha}) \mu^\gamma_{\mu \nu} + 2 \mu^\gamma_{\mu \nu} \]  

(B.6b)

and

\[ \Phi^{(r)}_{\mu \nu} = -2 \mu_{\alpha}^\gamma_{\mu} \partial_{\mu} \partial_{\nu} \gamma \]  

(B.7a)

\[ \Phi^{(0)}_{\mu \nu} = -(1 + \mu_{\alpha}) \mu^\gamma_{\mu \nu} + 2 \mu^\gamma_{\mu \nu} \partial_{\mu} \partial_{\nu} \gamma + \frac{2}{3} \mu_{\alpha}^\gamma_{\mu} \partial_{\mu} \partial_{\nu} \gamma \]  

(B.7b)

\[ \Phi^{(r)}_{\mu \nu} = -\frac{1}{3} M (1 + \mu_{\alpha}) \mu^\gamma_{\mu} - \frac{1}{3} M (1 + \mu_{\alpha}) \mu^\gamma_{\mu} + \frac{2}{3} M \mu^\gamma_{\mu} \partial_{\mu} \partial_{\nu} \gamma - 2 N \mu_{\alpha}^\gamma_{\mu} \partial_{\mu} \partial_{\nu} \gamma \]  

(B.7c)

\[ \Phi^{(0)}_{\mu \nu} = N \left( \frac{1}{3} (1 + \mu_{\alpha}) \mu^\gamma_{\mu} + 2 \mu^\gamma_{\mu} \partial_{\mu} \partial_{\nu} \gamma + 8 \mu_{\alpha}^\gamma_{\mu} \right) \]  

(B.7d)
C Flat space cosmologies with spin-3 chemical potential

The general result for spin-2 and spin-3 fields, (3.11)-(3.14) together with the formulas from appendix B, is fairly lengthy. It is therefore useful to consider a simple non-trivial class of configurations for applications. In this appendix we achieve this by studying zero mode solutions with most (but not all) chemical potentials switched off. This analysis provides flat space cosmology solutions with spin-3 hair, which can be considered as the flat space analogue of BTZ black holes with spin-3 hair [31, 33].

We consider now zero mode solutions, \( M' = N' = V' = 2 = 0 \), with vanishing spin-2 chemical potentials, \( \mu_M = \mu_L = 0 \), and constant spin-3 chemical potentials, \( \mu_V' = \mu_U' = 0 \). If we have \( \mu_U \neq 0 \) then \( g_{uu} \) acquires a contribution quadratic in the radial coordinate \( r \), see (3.12a). Since we want to consider solutions that in the spin-2 sector look like flat space cosmologies [75, 76] we must not have such a contribution. Therefore, we switch off the even spin-3 chemical potential as well, \( \mu_U = 0 \). In this case entropy (5.29) simplifies to the spin-2 result (5.14).

The metric (3.11) simplifies to

\[
g_{\mu\nu} \, dx^\mu \, dx^\nu = (M + 24V\mu_v + \frac{4}{3}M^2\mu_v^2) \, du^2 - 2 \, dr \, du + (L + 8U\mu_v) \, 2 \, du \, d\varphi + r^2 \, d\varphi^2 \quad (C.1)
\]

and the spin-3 field (3.13) simplifies to

\[
\Phi_{\mu\nu\lambda} \, dx^\mu \, dx^\nu \, dx^\lambda = (2V + 64V^2\mu_v^2 + 8MV\mu_v^2 + \frac{2}{3}M^2\mu_v^2 - \frac{8}{27}M^3\mu_v^3) \, du^3 \\
- (16V\mu_v^2 + \frac{4}{3}M\mu_v) \, dr \, du^2 + (4U - \frac{16}{3}(M\mu_v - 3V\lambda))\mu_v^2 + \frac{4}{3}ML\mu_v) \, du^2 \, d\varphi \\
+ \mu_v \, dr^2 \, du - 2L\mu_v \, dr \, du \, d\varphi + \frac{1}{3} \left( - r^2M\mu_v + 3L^2\mu_v \right) \, du \, d\varphi^2. \quad (C.2)
\]

The metric thus receives a contribution from the spin-3 charges \( V \) and \( U \), by contrast to what happens in the absence of a spin-3 chemical potential [41, 42]. Switching on a spin-3 chemical potential therefore leads to deformed geometries, some of which can be interpreted as flat space cosmologies with spin-3 hair.

More specifically, flat space cosmologies with mass parameter \( m \) and angular momentum parameter \( j \),

\[
ds^2 = m \, du^2 - 2 \, dr \, du + 2j \, du \, d\varphi + r^2 \, d\varphi^2 \quad (C.3)
\]

are obtained for the choices

\[
V = \frac{3(m - M) - 4M^2\mu_v^2}{72\mu_v} \quad U = \frac{j - L}{8\mu_v}. \quad (C.4)
\]

Note, however, that these solutions are singular in general, because the holonomy conditions in sections 5.1 require \( V = 0 \), which uniquely determines the mass parameter \( m \) for regular solutions as

\[
m = M + \frac{4}{3}M^2\mu_v^2. \quad (C.5)
\]

Similarly, the last equation (5.13) together with (C.4) determines the angular momentum parameter \( j \) for regular solutions as

\[
j = L - \frac{4}{3}M^2\frac{\mu_v^2}{\mu_L}. \quad (C.6)
\]
D  Chemically odd configurations

If we keep only the odd chemical potentials, \( \mu_M \neq 0 \neq \mu_v \), and switch off the even ones, \( \mu_c = 0 = \mu_u \), then the connection (3.1) simplifies considerably. In particular, the component \( a_u \) now only contains odd generators. This feature permits us to consider a simple generalization where \( a_\varphi \) is deformed.

Namely, we replace the connection components (3.1) by

\[
a_u = a_u^{(0)} + a_u^{(\mu_M)} + a_u^{(\mu_v)} \quad a_\varphi = a^{(0)} + a^{(v)} \tag{D.1}
\]

with the same expressions (3.2), (3.3) as before and with the additional term

\[
a^{(v)} = \sum_{n=-1}^{1} m_n(\varphi) M_n + \sum_{n=-2}^{2} v_n(\varphi) V_n . \tag{D.2}
\]

The additional term \( a^{(v)} \) commutes with the group element \( b \) as defined in (2.10) and with all contributions to \( a_u \), since all commutators involve exclusively two odd generators. Moreover, the expression \( da^{(v)} \) vanishes since \( a^{(v)} \) has only a \( \varphi \)-component and all the functions therein depend on \( \varphi \) only. Therefore, the additional term (D.2) does not contribute to gauge curvature and the full connection (2.9)-(2.11) with (D.1), (2.13), (3.2), (3.3) and (D.2) solves the Chern–Simons field equations (2.14).

The asymptotic behavior of the metric and spin-3 field remain essentially unchanged, so that it may be tempting to consider these generalized flat space solutions of the equations of motion as legitimate field configurations. However, as we now show the canonical charges are in general not well-defined, unless there are some further restrictions on the functions \( m_n \) and \( v_n \) in (D.2).

The boundary condition preserving gauge transformations do acquire additional terms, \( \varepsilon^{(0)} + \Delta \varepsilon^{(0)} \), as compared to (2.18).

\[
\Delta \varepsilon^{(0)} = (v_1 M\chi + \frac{1}{2} v_2 (5 M\chi' + 2 M\chi)) M_0 + \Delta \varepsilon_{M-1} M_{-1} + \Delta \varepsilon_{V-1} V_{-1} + \Delta \varepsilon_{V-2} V_{-2} \tag{D.3}
\]

with

\[
\Delta \varepsilon_{M-1} = \frac{1}{4} v_1 (M\epsilon + 16 V\chi) + \left( \frac{1}{2} v_2 M^2 - \frac{1}{2} v_2 M - \frac{1}{3} v_2 M' - \frac{1}{3} v_2 M'' \right) \chi
- \left( \frac{5}{6} v_2 M + \frac{7}{3} v_2 M' \right) \chi' - \frac{13}{6} v_2 M'' + 4 v_2 V\epsilon - \frac{2}{3} v_1 M\chi' - \frac{11}{12} v_1 M\chi' \tag{D.4}
\]

\[
\Delta \varepsilon_{V-1} = -\frac{7}{12} m_1 M\chi' - 2 m_1 M\chi' - \frac{1}{6} m'_1 M\chi + 4 v_1 V\chi + \frac{1}{2} v_1 M\epsilon
- 32 (v_2 V') \chi - 16 v_2 V\epsilon - \frac{5}{3} v_2 M'\epsilon - \frac{1}{6} v_2 M\epsilon \tag{D.5}
\]

\[
\Delta \varepsilon_{V-2} = \frac{1}{48} \left( -2 m_0 M'\chi - 5 m_0 M\chi' - 6 m_1 M^2 \chi + 6 m_1 M'' \chi + 15 m_1 M'' \chi'' + 18 m_1 M' \chi' - 24 m_1 V\epsilon + 6 v_0 (16 V\chi + M\epsilon) - 48 v_1 V\chi' - 48 v_1 V\chi' - 3 v_1 M' \epsilon
- 3 v_1 M' \epsilon - 12 m_{-1} M\chi + v_2 (32 V' \chi + 32 V\chi') + 64 V\chi' - 3 M' \epsilon + 2 M' \epsilon + 4 M' \epsilon'
+ 2 M (-24 V\chi + v'_0)) + 2 m''_1 M\chi + 6 m'_1 M' \chi + 9 m'_1 M\chi' - 48 v_1 V\chi' - 3 v_1 M\epsilon
+ 32 v_2 M\epsilon + 2 v_2 M' \epsilon + 64 v_2 M\epsilon' + 64 v_2 V\epsilon' + 4 v_2 M' \epsilon + 4 v_2 M' \epsilon' \right) \tag{D.6}
\]
Insertion of $\varepsilon^{(0)} + \Delta \varepsilon^{(0)}$ into the general result for the canonical currents (2.20) then yields

$$
\delta \hat{Q}[\varepsilon] = \delta Q[\varepsilon] + \frac{k}{4\pi} \oint d\varphi \left( 2M \delta m_1 \varepsilon + 16\nu\delta v_2 \varepsilon - 8\delta m_{-1} \varepsilon - 4\delta m_0 \varepsilon' - 4\delta m_1 \varepsilon'' \right)
- \frac{5}{3} M \delta v_0 \chi - \frac{10}{3} M \delta v_1 \chi' - \frac{5}{3} M' \delta v_1 \chi - \frac{10}{3} M \delta v_2 \chi'' - \frac{14}{3} M' \delta v_2 \chi' - \frac{10}{3} M'' \delta v_2 \chi + 2M^2 \delta v_2 \chi 
+ 32\nu \delta m_1 \chi + 32\nu \delta v_2 \chi + 8\delta v_{-1} \chi' + \frac{5}{3} \delta v_0 \chi'' + \frac{5}{3} \delta v_1 \chi''' + \frac{1}{3} \delta v_2 \chi''')
$$

(D.7)

where $\delta Q[\varepsilon]$ is the previous contribution (2.21). The first two new terms, the whole second line and the first term in the last line are not integrable in general, which means that the canonical charges are not well-defined.

The simplest way to obtain integrable canonical charges is to demand

$$
\delta m_1 = \delta v_2 = \delta v_1 = \delta v_0 = 0.
$$

(D.8)

With the conditions (D.8) the canonical charges then read

$$
\hat{Q}[\varepsilon] = Q[\varepsilon] + \frac{k}{\pi} \oint d\varphi \left( -2\delta m_{-1} \varepsilon - \delta m_0 \varepsilon' + 8\delta v_{-2} \chi + 2\delta v_{-1} \chi' \right).
$$

(D.9)

Note that the canonical charges change if we allow any of the quantities $m_n$ or $v_n$ to be state-dependent functions. The quantities $m_0$ and $m_{-1}$ can be absorbed by redefinitions of the state-dependent functions and suitable diffeomorphisms. This can be seen as follows.

For simplicity, let us assume $V = Z = \mu_V = 0$. Switching on $m_0(\varphi)$ and $m_{-1}(\varphi)$ in the deformation of $a_\varphi$ (D.2) leads to the line-element

$$
g_{\mu\nu} \, dx^\mu \, dx^\nu = (\mathcal{M}(1 + \mu_M)^2 - 2(1 + \mu_M)\mu_M'' + (\mu_M')^2) \, du^2 - (1 + \mu_M) 2 \, dr \, du 
+ (\mathcal{N} - 2m_{-1})(1 + \mu_M) - (r + m_0)\mu_M' \, 2 \, du \, d\varphi + (r + m_0)^2 \, d\varphi^2.
$$

(D.10)

The associated canonical charges are given by

$$
\hat{Q}[\varepsilon, \tau] = \frac{k}{\pi} \oint d\varphi \left( (\mathcal{L} - 2m_{-1} + m_0)\varepsilon + M\tau \right).
$$

(D.11)

The coordinate transformation $r + m_0 \rightarrow r$ together with the redefinition of the function $\mathcal{L} + m_0' \rightarrow \mathcal{L}$ allows to eliminate the function $m_0$ from the line-element (D.10) and the canonical charges (D.11). A redefinition of the function $\mathcal{L} - 2m_{-1} \rightarrow \mathcal{L}$ eliminates the function $m_{-1}$ from the line-element (D.10) and the canonical charges (D.11). Therefore, the functions $m_0$ and $m_{-1}$ play no physical role. We expect that essentially the same is true for the quantities $v_{-1}$ and $v_{-2}$, replacing diffeomorphisms by spin-3 gauge transformations.

Note, however, that there are more complicated ways to obtain integrable charges than demanding (D.8). We do not study this issue exhaustively here, but just provide one non-trivial example. Choosing

$$
m_1 = \nu(\varphi) \mathcal{M} \quad v_0 = -12\nu(\varphi) \mathcal{V} + f(\mathcal{M}) \quad \delta v_{\pm 2} = \delta v_{\pm 1} = \delta m_0 = \delta m_{-1} = 0
$$

(D.12)

we obtain integrable canonical charges

$$
\hat{Q}[\varepsilon] = Q[\varepsilon] + \frac{k}{\pi} \oint d\varphi \nu(\varphi) \left( \frac{1}{4} \mathcal{M}^2 \varepsilon - \mathcal{M} \varepsilon'' + 8\mathcal{M} \nu \chi - 8\mathcal{V} \chi'' \right) + Q_f[\varepsilon]
$$

(D.13)
with

$$Q_f[\varepsilon] = \frac{2k}{3\pi} \oint d\varphi \left( f(M)\chi'' - \int_0^M \frac{df(m)}{dm} \chi \right).$$

(D.14)

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