Helical superfluid in a frustrated honeycomb Bose-Hubbard model

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(Dated: August 30, 2022)

We study a “helical” superfluid, a nonzero-momentum condensate in a frustrated bosonic model. At mean-field Bogoliubov level, such a novel state exhibits “smectic” fluctuation that are qualitatively stronger than that of a conventional superfluid. We develop a phase diagram and compute a variety of its physical properties, including the spectrum, structure factor, condensate depletion, momentum distribution, all of which are qualitatively distinct from that of a conventional superfluid. Interplay of fluctuations, interaction and lattice effects gives rise to the phenomenon of order-by-disorder, leading to a crossover from the smectic superfluid regime to the anisotropic XY superfluid phase. We complement the microscopic lattice analysis with a field theoretic description for such a helical superfluid, which we derive from microscopics and justify on general symmetry grounds, reassuringly finding full consistency. Possible experimental realizations are discussed.

I. INTRODUCTION

Frustrated systems exhibit novel emergent phenomena as they often host extensive degeneracy that is sensitive to even weak perturbations \cite{1, 2}. This manifests itself in rich low-temperature phenomenology, as in the frustrated magnetism, which commonly remains disordered down to temperatures low compared to the Curie-Weiss temperature set by the exchange interaction \cite{3–7}. At even lower temperature, generically order develops through the so-called “order-by-disorder” process \cite{8, 9}, where fluctuations (e.g., entropically) select ordered states among many competing nearly free-energetically degenerate phases. This contrasts with an even more exotic possibility of a putative quantum liquid, that fails to order down to zero temperature, as believed to be exemplified by some 2d Kagome magnetic materials with a flat-band dispersion \cite{10–25}.

Another rich class of “codimension-one” frustrated systems \cite{26–40}, characterized by a bare dispersion that is nearly degenerate along $d - 1$ dimensions ($d$ the spatial dimension), develop even in the bipartite lattice materials, frustrated by competing interactions. Examples include spinel materials such as MnSe$_2$S$_4$ on 3d diamond lattice \cite{26, 27, 30, 39} and FeCl$_3$ on layered honeycomb lattice \cite{40}. Theoretically, they are well described by classical spin models with nearest-neighbor and next-nearest-neighbor interaction exchanges. Within certain regime of frustration, the dispersion minima form a degenerate manifold with codimension-one in the reciprocal space. However, at nonzero temperature a set of wavevectors is selected entropically based on their lowest free energy.

The phenomenon of order-by-disorder, however, is elusive in magnetic systems, often obscured by further-neighbor interactions, which instead can lead to a low-temperature multi-step ordering sequence of phases \cite{30}. Alternatively, the codimension-one bare dispersion and the associate phenomenology can be realized in bosonic systems with frustrated hoppings \cite{41, 42} or Rashba spin-orbital coupling \cite{43}, engineered in a controlled way in cold atom experiments \cite{44, 45}. More exotic constructions involve ring exchange interactions that induce an emergent Bose metal \cite{46, 47}.

It is thus of interest to elucidate the nature of a state that emerges from a nonzero density of interacting frustrated bosons condensed at nonzero momentum on a dispersion minimum contour, and in particular to explore its stability to a putative quantum liquid state \cite{48, 49}. To this end, we take two complementary approaches, a paradigmatic two-dimensional Bose-Hubbard model on honeycomb lattice and a continuum field theory that we derive from it. The model is frustrated by competing nearest-neighbor and next-nearest-neighbor hoppings, $t_1$ and $t_2$, and thereby (for a broad range of parameters) exhibits a bare dispersion with a minimum on a closed contour at nonzero momentum $k_0$ set by the hopping matrix elements. We thus explore in detail the rich phenomenology of the resulting helical superfluid state. In particular, as summarized in detail in Sec. II, we analyze the helical superfluid within the lattice Bogoliubov approximation, computing its dispersion, condensate depletion, momentum distribution, structure factor, and equation of state, all of which different qualitatively from that of a conventional superfluid. We complement this honeycomb lattice analysis by deriving from it (guided by generalized dipolar symmetry \cite{50–54}) a superfluid smectic field theory \cite{51, 55} to more generally explore the properties of the helical condensate. By going beyond the Bogoliubov approximation, we analyze the quantum and thermal order-by-disorder phenomenon that sets in at low energies and leads to a crossover to a more conventional but highly anisotropic XY superfluid.

The outline of this paper is as follows. In Sec II, we give a summary of our primary results. In Sec. III, we study a model of bosons hopping on a frustrated honeycomb lattice and its superfluidity within the Bogoliubov approximation. In Sec. IV, we construct a smectic field theory for the helical superfluid, and use it to study its stability to quantum and thermal fluctuations and its properties in the isotropic continuum, thereby complementing the...
microscopic lattice model analysis in Sec III. Sec. V is devoted to the quantum and thermal order-by-disorder phenomenon, that reduces the degeneracy of the dispersion minimum contour down to a discrete set of six minima, and thereby stabilizes the helical superfluid state. We conclude in Sec. VI with a discussion of results in the contexts of current experiments and remaining open questions.

II. SUMMARY OF RESULTS

![Graph](FIG. 1. (a) Schematic phase diagram of the frustrated honeycomb Bose-Hubbard model. With the inclusion of lattice order-by-disorder effects, the finite-temperature phase boundaries from a conventional (SF_{k=0}) or a helical (SF_{helical}) superfluid to a normal state (N) are of Berezinskii-Kosterlitz-Thouless universality class. To leading order, the phase transition temperature is given by $T_{KT} \sim n_{0} \Lambda^{B}/\mathcal{E}_{U}^{2}$, where $B$ and $B_{\perp}$ are the superfluid stiffnesses defined in the Lagrangian (4). For $t_{2}/t_{1} < 1/6$, $B \sim B_{\perp} \sim t_{1}$, giving $T_{KT} \propto t_{1}$. In contrast, for $t_{2}/t_{1} > 1/6$, $B_{\perp}$ is induced perturbatively via interaction together with lattice effects, giving $T_{KT} \propto U^{5/8}t_{1}^{3/8}$ in the weakly-interacting limit. In the absence of lattice effects, SF_{helical} is always destabilized to the Normal state by divergent thermal fluctuations. (b) Phase diagram of the helical condensate in the regime $1/6 < t_{2}/t_{1} < 1/2$. Bosons can condense at the Vertex (BEC_{V}) or the Edge (BEC_{E}) of the dispersion minimum contour as a result of the order-by-disorder, obtained by numerically evaluating the one-loop correction to the thermodynamic potential (85). The black (red-dashed) curves are zero temperature ($T = 0.1Un$) phase boundaries, across which there is a first order transition.

![Graph](FIG. 2. (a) Minima of the thermodynamic potential as a function of the condensate momentum $k_{0}$ for intermediate frustration $1/6 < t_{2}/t_{1} < 1/2$. The order-by-disorder effects, which manifest at long scales $r_{\perp} > \lambda_{\perp}$, break the degenerate ground state manifold from a (schematic) hexagon down to six points. The helical condensate (red dot) spontaneously breaks the U(1) ($C_{6}$) symmetry in the left (right) panel, resulting in a low-energy smectic-like (conventional, XY) Goldstone mode (GM). (b) Two-point correlation function $C(r) = \langle |\phi(r) - \phi(0)|\rangle$ of (4) along $r_{\perp}$ with $r_{\parallel} = 0$. For general cases (black curve), the helical superfluid exhibits quantum ($r_{\perp} < r_{\perp}T$), thermal ($r_{\perp} > r_{\perp}T$), smectic (SF_{Sm}), r_{\perp} > \lambda_{\perp}$), and XY (SF_{XY}, r_{\perp} > \lambda_{\perp}$) fluctuations, separated by two crossover scales, $r_{\perp}T$ and $\lambda_{\perp}$. In the absence of the order-by-disorder ($\lambda_{\perp} \rightarrow \infty$, red-dashed curve), the helical superfluid is characterized by linear in $r_{\perp}$ smectic fluctuations for $r_{\perp} > r_{\perp}T$. In the zero temperature limit ($r_{\perp}T \rightarrow \infty$, blue-dotted curve), the superfluid exhibits a long-range order with $C(0, r_{\perp} \rightarrow \infty) \rightarrow \text{const.}$ Inset: same plot with logarithmic scale in the r_{\perp} axis, where r_{\perp} is plotted to longer scale for clarity of the crossover.

Before turning to a detailed analysis, we summarize the key results of this work. We study a Bose-Hubbard model with frustrated nearest-neighbor (NNN) and next-nearest-neighbor (NNN) hoppings, $t_1$ and $t_2$. For intermediate frustration $1/6 < \rho \equiv t_2/t_1 < 1/2$, its band structure features a closed contour minimum centered around the $\Gamma$ point ($k = 0$). We then consider a bosonic condensate with a macroscopic wavefunction $\Phi = \sqrt{n_0} + \pi e^{ik_{0}r_{\perp}+i\phi}$ at a single momentum $k_{0}$ on the contour minimum – a “helical condensate”, where $n_0$ is the condensate density, $\phi$ and $\pi$ are the phase and density fluctuations, respectively. As illustrated in Fig. 1, the helical superfluid is a stable phase on the high symmetry points of the contour with its transition temperature to the normal state (below that of a conventional superfluid in the unfrustrated regime $\rho < 1/6$) strongly suppressed by quantum and thermal fluctuations. Quantum Lifshitz transi-
tions at $\rho = 1/6, 1/2$ are characterized by “soft” Goldstone modes that exhibit an anisotropic quartic dispersion, which suppresses the transition temperature to zero.

As summarized in Fig. 2, at zero temperature ($T = 0$) and on scale shorter than quantum order-by-disorder length $\lambda_{\perp,Q}$, the highly anisotropic superfluid exhibits an unconventional smectic-like Goldstone mode (GM) with a low-energy dispersion [for full dispersion, see Eq. (24)]

$$E_a \sim \sqrt{Bq^2_{\parallel} + Kq^2_{\perp}},$$  \hspace{1cm} (1)

where the subscript $\parallel$ (\perp) denotes the direction that is parallel (perpendicular) to condensate momentum $k_0$, located at the high symmetry points of the dispersion contour. At temperatures higher than the interaction $U$, the crossover scale is modified to $\lambda_{\perp,T}$. On longer scales the so-called order-by-disorder sets in, driven by quantum, thermal and lattice effects, and the system crossovers to a more conventional superfluid with highly anisotropic linear dispersion. In the weakly-interacting limit, the order-by-disorder length is long, given by

$$\lambda_{\perp} = \begin{cases} \lambda_{\perp,Q} \sim U^{-5/8}, & T \ll Un_0, \\ \lambda_{\perp,T} \sim U^{-1/8}T^{-1/2}, & T \gg Un_0. \end{cases} \hspace{1cm} (2)$$

To further explain the order-by-disorder phenomenology (discussed in detail in Sec. V), we first note that the thermodynamic potential of the helical state near the condensate momentum $k_0$ [see the right panel of Fig. 2(a)] exhibits a property

$$\Omega(k_0 + q) - \Omega(k_0) \approx N_0 \left[ bq^2_{\parallel} + b_{\perp} q^2_{\perp} + b_4 q^4_{\perp} \right], \hspace{1cm} (3)$$

where the coefficients $b(k_0)$ and $b_{\perp}(k_0)$ are dominated by the bare dispersion (see Appendix B), while $b_{\perp}(k_0)$ is generated perturbatively by the lattice order-by-disorder via interaction $U$. In the above, $N_0$ is the condensate number. The corresponding low-energy Goldstone mode Lagrangian density is given by

$$\mathcal{L} = n_0 \left[ B_{\parallel} (\partial_{\parallel} \phi)^2 + B_{\perp} (\partial_{\perp} \phi)^2 + B_{\parallel} (\partial_{\perp} \phi)^2 + K (\partial_{\perp}^2 \phi)^2 \right], \hspace{1cm} (4)$$

which when treated at a quantum level leads to the dispersion (1) for $a \ll q^{-1}_{\perp} \ll \lambda_1 = \sqrt{K/B_{\perp}}$ (a the lattice constant). We observe that a difference choice of the helical condensation, $k_0 \rightarrow k_0 + q$, equivalently corresponds to a linear in $r$ phase rotation, $\phi \rightarrow \phi + q \cdot r$. By applying the two transformations to the thermodynamic potential and the Goldstone mode Hamiltonian respectively, and requiring the shifted energies to be identical, we obtain the following Ward identities,

$$b = B, \quad b_{\perp} = B_{\perp}. \hspace{1cm} (5)$$

We expect the relations (5) to hold at all order of a perturbation theory (see Sec. V). In particular, at the zeroth order, the thermodynamic potential [see the left panel of Fig. 2(a)] is featured by a degenerate contour minimum, and thus $b_{\perp} = B_{\perp} = 0$. At one-loop (first) order, the correction to the thermodynamic potential is given by the zero-point energy of the Bogoliubov quasiparticles together with entropic contributions, which in turn gives a $b_{\perp} \propto U^{5/4}$ ($b_{\perp} \propto U^{1/4}T$) for $T \ll Un_0$ ($T \gg Un_0$) in the weakly-interacting limit. We also perform a complementary calculation of $B_{\perp}$ verifying (5). In contrast, while $b_{\parallel} = K$ at zeroth-order, the above symmetry does not constrain it to hold generically.

The Goldstone mode theory (4) predicts the low-energy properties of the helical superfluid. In particular, the off-diagonal order of the superfluid $\langle \Psi^*(r)\Psi(0) \rangle \propto e^{-C(r)}$, where $C(r) = \langle (\phi(r) - \phi(0))^2 \rangle$. As shown in Fig. 2(b), the two-point correlation function $C(r)$ exhibits several qualitatively distinct limits. Here we focus on the behavior along the $\perp$ direction, leaving detailed discussions in Sec. IV A. At zero temperature (see the blue-dotted curve), for either $B_{\perp} = 0$ or $B_{\perp} \neq 0$, $C(r_{\parallel} = 0, r_{\perp} \rightarrow \infty) \rightarrow \text{const}$, indicating a long-range order of the helical superfluid. At nonzero temperature, as illustrated by the black (red-dashed) curve, $C(0, r_{\perp} \rightarrow \infty) \sim \log(r_{\perp}) \sim (r_{\perp})$ for $B_{\perp} \neq 0$ ($B_{\perp} = 0$), which signifies a quasi-long-range (short-range) order as a consequence of the XY (smectic) GM fluctuations. For a generic case $T \neq 0$ and $B_{\perp} \neq 0$, there are two important crossover scales, $r_{\parallel,T}$ and $\lambda_{\perp}$. The former separates the low-temperature quantum and higher-temperature classical regimes, while the latter, as discussed above, separates the short-distance smectic and long-distance XY regimes.

Consequently, the helical state is characterized by an anisotropic XY superfluidity at the longest scale. However, within a large (for small $U$) order-by-disorder crossover scale, $r_{\parallel,T} \sim (\lambda_{\perp}^2 / \xi, \lambda_{\perp})$ ($\xi$ the coherence length), the system exhibits soft ($B_{\perp} \approx 0$) quantum and thermal fluctuations, with its physical properties qualitatively distinct from that of a conventional superfluid. Abstracting from the Bose-Hubbard lattice model, we

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1 The quantum Lifshitz transition at $\rho = 1/2$ only happens at the vertices of the hexagonal contour (see Fig. 5), which is energetically stable at one-loop order as shown in Fig. 1(b). Higher-order fluctuations may shift the positions of the Lifshitz transitions, or make the transition at $\rho = 1/2$ split into two Lifshitz points characterized by smectic-like Goldstone modes with Lagrangian (35b), as allowed by the $C_6$ lattice symmetry.
TABLE I. Comparison of the helical superfluid (within the order-by-disorder crossover scale) and a conventional superfluid at zero temperature in 2d. Note \( q = k - k_0 \) (\( q = k \)) for the helical (conventional) superfluid. For helical superfluid with low-energy Lagrangian (4), the coherence length \( \xi = \sqrt{B/2Un} \), the anisotropy length scale \( \lambda = \sqrt{K/B} \), the condensate momentum \( k_a \), \( J \) and \( C \) are constants, and \( f_n \) is a scaling function given in (65). For conventional superfluid, \( C \) is the Tan’s contact and \( \xi = 1/\sqrt{4mUn} \) is the coherence length of interacting Bose gas with \( m \), \( U \) and \( n \) being the mass, interaction strength and particle density respectively.

develop a field theory that captures the key qualitative features of the helical superfluid within this regime, and calculate a number of its physical observables, with the zero-temperature results summarized in Table I. At nonzero temperature, thermal fluctuations set in at scales beyond \((r_T, r_{LT}) = (\frac{Un_0}{T}, \sqrt{\frac{Un_0}{T}}) (\lambda = \sqrt{K/B})\), as illustrated in Fig. 2(b). Such quantum-to-classical crossover is observable in real space- or momentum-resolved quantities, as exemplified by the structure factor in Sec. IV B 1. We now turn to detailed analysis that leads to the results enumerated above.

## III. FRUSTRATED BOSE-HUBBARD MODEL ON HONEYCOMB LATTICE

To explore the phenomenon of nonzero momentum helical superfluidity, we study a frustrated Bose-Hubbard model on honeycomb lattice with Hamiltonian \( H = H_0 + H_{\text{int}} \), where

\[
H_{\text{int}} = \frac{U}{2} \sum_i \sum_{s=1,2} n_{i,s}(n_{i,s} - 1) \tag{6}
\]

is the usual on-site interactions with the subscripts \( i \) and \( s \) labeling the Bravais lattice sites and the two sublattices respectively. \( n_{i,s} = a_{i,s}^\dagger a_{i,s} \) is the boson number operator with \( a_{i,s}^\dagger \) and \( a_{i,s} \) the corresponding creation and annihilation operators. The kinetic part of the Hamiltonian is given by

\[
H_0 = -t_1 \sum_{\langle ij \rangle} a_{i,1}^\dagger a_{j,2} + t_2 \sum_{\langle\langle ij \rangle\rangle} (a_{i,1}^\dagger a_{j,1} + a_{i,2}^\dagger a_{j,2}) + h.c., \tag{7}
\]

where \( t_1 \) and \( t_2 \) are the nearest-neighbor (NN) and the next-nearest-neighbor (NNN) hopping parameters, respectively. We focus on the case of \( t_1 > 0 \), taking the advantage of the symmetry of the model: \( t_1 \rightarrow -t_1, a_2 \rightarrow -a_2 \). In contrast to the NN hopping, \( -t_2 \), to be “frustrated antiferromagnetic”, \(-t_2 < 0 \). This has been engineered in atomic systems in an optical lattice through Floquet techniques [44]. Throughout our analysis below, we use dimensionless parameter \( \rho = t_2/t_1 > 0 \) to quantify the frustration in this model.

### A. Band structure of non-interacting Hamiltonian

Below we first review the band structure of \( H_0 \), identifying the condition when a degenerate minimum along a closed contour develops, and then study a Bose condensate on this dispersion minimum contour via Bogoliubov approximation. We start with specifying the NN and NNN vectors as [see Fig. 3(a)]

\[
\mathbf{e}_1 = (0, 1), \quad \mathbf{e}_2 = (-\frac{\sqrt{3}}{2}, -\frac{1}{2}), \quad \mathbf{e}_3 = (\frac{\sqrt{3}}{2}, -\frac{1}{2}), \\
\mathbf{v}_1 = (\sqrt{3}, 0), \quad \mathbf{v}_2 = (-\frac{\sqrt{3}}{2}, \frac{3}{2}), \quad \mathbf{v}_3 = (-\frac{\sqrt{3}}{2}, -\frac{3}{2}) \tag{8}
\]

with the lattice vectors \( \mathbf{v}_i \) spanning the Bravais triangular lattice and the unit vectors \( \mathbf{e}_i \) spanning the honeycomb lattice (the lattice constant \( a = 1 \)). The corre-
sponding reciprocal vectors are [see Fig. 3(b)]

\[ G_1 = (0, \frac{4\pi}{3}), \quad G_2 = (-\frac{2\pi}{3}, -\frac{2\pi}{3}), \quad G_3 = (\frac{2\pi}{3}, -\frac{2\pi}{3}). \]  

(9)

![Fig. 3](image)

**FIG. 3.** (a) Honeycomb lattice with NN (black) and NNN (red) vectors. The two sublattices are connected by \( \delta = e_1 \). The lattice spacing is set to \( a = 1 \) throughout this paper. (b) First Brillouin zone, high symmetry points \(^2\), and high symmetry (red-dashed) lines. Equivalent high symmetry points are connected by the reciprocal vectors (9).

The Hamiltonian (7) is straightforwardly diagonalized in momentum space (see Appendix A)

\[ H_0 = -t_1 \sum_k (\Gamma_q a_{k,1}^\dagger a_{k,2} + \Gamma_q^* a_{k,2}^\dagger a_{k,1}) + t_2 \sum_k \epsilon_k (a_{k,1}^\dagger a_{k,1} + a_{k,2}^\dagger a_{k,2}) = \sum_k \epsilon_k d_k^- d_k^- + \sum_k \epsilon_k d_k^+ d_k^+, \]  

(10)

where

\[ d_{k,\pm} = \frac{1}{\sqrt{2}} (e^{\frac{\pi}{6}} a_{k,1} \mp e^{\frac{\pi}{3}} a_{k,2}) \]  

(11)

create bosonic excitations in the two bands,

\[ \epsilon_k = t_2 \epsilon_k - t_1 |\Gamma_k|, \quad \epsilon_k^+ = t_2 \epsilon_k + t_1 |\Gamma_k|, \]  

(12)

exhibiting Dirac nodes at the \( K \) points (the corners of the first Brillouin zone), made famous in graphene but irrelevant here for bosonic system dominated by condensation at the bottom of the band. In the above,

\[ \epsilon_k = 2 \sum_i \cos k \cdot v_i, \quad \Gamma_k = \sum_i \exp (-\text{i} k \cdot e_i) \]  

(13)

with \( |\Gamma_k| = \sqrt{3 + \epsilon_k} \). The dependence of the band structure on \( \rho = t_2/t_1 \) is depicted in Fig. 4. Momenta \( k_0 \) is the dispersion minimum that satisfies

\[ \nabla_k \epsilon_k |_{k=k_0} = 0 \Rightarrow \rho = \frac{1}{2|\Gamma_{k_0}|}. \]  

(14)

As shown in Fig. 5, \( \rho \) controls the form of the dispersion minimum in the reciprocal space. In detail, for \( \rho < 1/6 \), the minimum is at the \( \Gamma \) point \((k_0 = (0, 0))\), while for \( \rho \to \infty \), the minima are located at the \( K \) points. For intermediate frustration \( 1/6 < \rho < 1/2 \) \((1/2 < \rho < \infty)\), the dispersion minima are extended to closed contour(s) centered around the \( \Gamma \) (K) point(s). This macroscopic degeneracy makes the system sensitive to perturbations. Below we discuss these three cases respectively.

1. \( \rho < 1/6 \)

The simplest is the weakly-frustrated case of \( \rho < 1/6 \), for which the bosons condense at the \( \Gamma \) point [see Fig. 5(a)], exhibiting conventional zero momentum superfluidity. In the XY spin language, this corresponds to a ferromagnetic spin state.

2. Intermediate frustration, \( \rho > \frac{1}{2} \)

In this case, the energy minima form closed contours encircling six corners of the first Brillouin zone, as shown in Fig. 5(d), with contours shrinking as \( \rho \) increases to \( \infty \).

As \( \rho \to \infty \), two sublattices decouple, with bosons on each triangular lattice condensing at a \( K \) point of the re-
Generally, such superfluid is described by two order parameters \( \langle a_{s,i} \rangle = c_s e^{i \mathbf{K}_s \cdot \mathbf{r}_s} \) where \( c_s = 1, 2 \) are two independent complex condensate amplitudes at \( \mathbf{K}_s \in \{ \mathbf{K}, \mathbf{K}' \} \). Each of these site-dependent order parameters can be written as a two component XY vector \( \tilde{S}_r = (\text{Re}(a_r), \text{Im}(a_r)) \). For a particular choice of \( c_1, 2 \), a configuration of \( \tilde{S}_r \) is pictorially illustrated in Fig. 6.

In XY spin language, this corresponds to the coplanar 120° state, the ground state of XXZ model on the triangular lattice.

3. \( \frac{1}{6} < \rho < \frac{1}{2} \)

The intermediate-frustration regime – the main focus of this paper – exhibits a closed dispersion minimum centered around the \( \Gamma \) point, as illustrated in Fig. 5(b). Generically, the contour exhibits a \( C_6 \) lattice symmetry. The contour degenerates into a circle [hexagon] for \( \rho \rightarrow \frac{1}{6} \), see Fig. 5(b) \( \rho \rightarrow \frac{1}{2} \), see Fig. 5(c).

### B. Helical superfluid in Bogoliubov approximation

The interplay of interactions and the macroscopic degeneracy discussed above presents a rich and challenging problem. However, for weak interactions, a Bose condensate on a finite set of \( \mathbf{k}_0 \) points on the minimum of the dispersion contour is at least a locally stable state of matter. For a set of non-collinear \( \mathbf{k}_0 \) points, the ground state is a supersolid that generically breaking the underlying crystal lattice and the \( U(1) \) symmetries. A simpler collinear superfluid states are represented by a helical FF helical state, where the boson amplitude is ordered with \( \mathbf{k}_0 \) and \( \mathbf{k}_0 \) being a perfect hexagon contour minimum. Each of these site-dependent order parameters remain (lattice-) translationally invariant.

Here we focus on a helical superfluid corresponding to a condensation at a single \( \mathbf{k}_0 \) that we find exhibiting rich phenomenology that we explore in detail. Such a state can also be described by a helical spin density wave \( \tilde{S}_r = (\text{Re}(a_r), \text{Im}(a_r)) = \sqrt{N_0}(\cos \mathbf{k}_0 \cdot \mathbf{r}, \sin \mathbf{k}_0 \cdot \mathbf{r}) \) that breaks the lattice rotational and time-reversal symmetries. However, in this FF helical state, the physical observables remain (lattice-) translationally invariant.

Thus, focusing on such a helical condensate at \( \mathbf{k}_0 \), we study interacting bosons on a frustrated honeycomb lattice (7) within the Bogoliubov approximation in a canonical ensemble. In momentum space, the interaction (6) is given by

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\[
H_{\text{int}} = \frac{U}{2V} \sum_{s=1,2} \sum_{\mathbf{k}_1, \mathbf{k}_2, \mathbf{p}} a_{\mathbf{k}_1 + \frac{\mathbf{p}}{2}, s}^\dagger a_{\mathbf{k}_2 - \frac{\mathbf{p}}{2}, s}^\dagger a_{\mathbf{k}_1, -s} a_{\mathbf{k}_2, -s},
\]

(15)

where \( V \) is the number of the unit cells, which is one-half of the number of the sites, \( N_s = 2V \). At low energies, neglecting inter-band excitations, we can focus on the lowest band, and express the site boson operators \( a_{\mathbf{k}, s} \) and \( a_{\mathbf{k}, -s} \) in terms of the lower-band operator \( d_{\mathbf{k}, -s} \),

\[
a_{\mathbf{k}, 1} \approx \frac{1}{\sqrt{2}} e^{i \frac{\mathbf{p}}{2}} d_{\mathbf{k}, -s}, \quad a_{\mathbf{k}, 2} \approx \frac{1}{\sqrt{2}} e^{-i \frac{\mathbf{p}}{2}} d_{\mathbf{k}, -s},
\]

(16)

This is to be contrasted with an exotic Bose-Luttinger liquid state, where the boson amplitude is ordered with \( \mathbf{k}_0 \) fluctuating across the entire contour, as recently studied by Sur and Yang [48] and Lake et al. [49].
where $\theta_k = \text{Arg}(\Gamma_k)$ defined in Eq. (13). Expressing the Hamiltonian in terms of excitations

$$d_{k_0+q,-} \approx -\sqrt{N_0} \theta_{k_0,k_0} + d_{k,-},$$

(17)

out of a helical condensate $N_0$, to quadratic order we have the Bogoliubov Hamiltonian,

$$H \approx \epsilon_{k_0} N + \frac{nNU}{2} + \frac{1}{2} \sum_q \epsilon_q^2$$

$$+ \frac{1}{2} \sum_q \left( \begin{array}{cc} d_{k_0+q,-}^\dagger & d_{k_0,-} \end{array} \right) h_0 \left( \begin{array}{c} d_{k_0+q,-} \nonumber \end{array} \right),$$

(18)

where

$$h_0 = \left( \begin{array}{cc} \epsilon_q' & Un \cos(\Theta_q) \\ Un \cos(\Theta_q) & \epsilon_q'' \end{array} \right)$$

(20)

and

$$\epsilon_q' = \epsilon_{k_0+q} - \epsilon_{k_0} + Un, \quad \Theta_q = \frac{\theta_{k_0+q} + \theta_{k_0-q}}{2} - \theta_{k_0},$$

$$n = \frac{N}{N_s} = \frac{N}{2V}, \quad N = N_0 + \sum_q d_{k_0+q,-}^\dagger d_{k_0,-},$$

(21)

deferring details to Appendix C. Bogoliubov-diagonalizing the Hamiltonian gives

$$H = E_{gs} + \sum_q E_q \alpha_{k_0+q}^\dagger \alpha_{k_0+q},$$

(22)

where the ground state energy

$$E_{gs} = \epsilon_{k_0} N + \frac{nNU}{2} + \frac{1}{2} \sum_q (E_q - \epsilon_q'),$$

(23)

and the dispersion

$$E_q = \mathcal{E}_{2,q} + \mathcal{E}_{1,q},$$

(24)

with

$$\mathcal{E}_{1,q} = \sqrt{\mathcal{E}_q^2 + 2UnE_q + U^2n^2 \sin^2(\Theta_q)}$$

(25)

and

$$\mathcal{E}_q = \frac{\epsilon_{k_0+q} + \epsilon_{k_0-q}}{2} - \epsilon_{k_0}, \quad \mathcal{E}_{2,q} = \frac{\epsilon_{k_0+q} - \epsilon_{k_0-q}}{2}. $$

(26)

We postpone the discussion of $E_{gs}$ until Sec. V, where we show that fluctuations together with the lattice effects lead to order-by-disorder selection of the condensation at high symmetry points of the contour. In anticipation of this, here we note that there are two classes (and $C_6$ equivalents) of high symmetry points represented by $k_0 = (0,k_{0V})$ “Vertex” BEC$_V$ and $(k_{0E},0)$ “Edge” BEC$_E$, with (14) giving,

$$k_{0V} = \frac{2}{3} \text{arccos} \left[ \frac{1}{16\rho^2} - \frac{5}{4} \right],$$

$$k_{0E} = \frac{2}{\sqrt{3}} \text{arccos} \left[ \frac{1}{4\rho^2} - \frac{1}{2} \right].$$

(27)

We next examine the details of the Bogoliubov dispersion $E_q$ that is positive-semi-definite (gapless at $q \to 0$) for all $U$ and $q$, which for $U \to 0$ reduces to $\epsilon_{k_0+q} < \epsilon_{k_0-q} > 0$. We thus find that the helical condensate at the Bogoliubov level is locally stable.

![FIG. 7. (a) The helical superfluid dispersion $E_q$ from Eq. (24) with $k_0 = (0, k_{0V})$, $\rho = 0.3$, $un/t_1 = 0.2$ and $q$ in unit of $a^{-1}$. The black-dashed contour indicates the degenerate minimum of the noninteracting band $\epsilon_{k_0}$ in Eq. (12). In the presence of interaction, the minimum appears at the origin, $q = 0$. The blue and green curves are dispersion cuts along and perpendicular to $k_0$, indicating small $q$ linear and quadratic dispersions, respectively. The corresponding long wavelength description in (b) illustrates the symmetry-expected smectic form (28). The inset of (b) shows dispersions along $q_1$ for a set of interaction strengths, from the lowest to the highest given by $un/t_1 = 0.2, 0.6, 1, 1.4$.](image)

In Fig. 7, we plot the quasiparticle dispersion $E_q$ as a function of $q = q_1 + q_4$, where $q_4 = (q \cdot k_0)k_0$ and $q_4 = k_0 \times q \times k_0$. Without loss of generality, we plot the case $k_0 = (0,k_{0V})$, which illustrates general properties of the dispersion (24). We note that it
is asymmetric under \( q_\parallel \rightarrow -q_\parallel \) due to the \( E_{2,q} \) contribution in (26). Physically, this broken inversion symmetry is a consequence of the spontaneously-chosen \( k_\parallel \). At \( q_\parallel = \text{mod}(-2k_0, 4\pi/3) \sim 1.5 \), the dispersion also displays a metastable saddle point inherited from the contour minimum in the noninteracting dispersion \( \varepsilon_k^- \) as shown by the blue curve in Fig. 7(b). This feature disappears gradually with increasing interaction [see inset of Fig. 7(b)]. In the small \( q_\parallel \) limit, as expected on rotational symmetry grounds (here neglecting lattice order-disorder effects, but see Sec. V) of a helical superfluid (striped state), the dispersion is well-described by an anisotropic smectic form,

\[
E_q \approx \sqrt{2U n(Bq_\parallel^2 + K_\perp q_\perp^2 + K_\parallel q_\parallel^4 + K_\perp^2 q_\parallel q_\perp^2)}
\]

\[
\sim \begin{cases} 
q_\perp^2, & q_\parallel \ll \lambda q_\parallel^2, \\
q_\parallel, & q_\parallel \gg \lambda q_\parallel^2,
\end{cases}
\]

(28)

with a “soft” \( \perp \) direction [see the green curve in Fig. 7(b)], where \( \lambda = \sqrt{K_\perp/B} \). For \( q_\parallel \sim q_\perp^2 \), the \( K_\parallel \) and \( K_\perp \) can be neglected at small \( q \), while in the rotational invariant limit \( \rho \to 1/6^+, K_\parallel \approx K_\perp \approx K_\parallel/2 \). The parameters in Eq. (28) can be obtained by expanding the free dispersion \( \varepsilon_{k_0+n} \) in \( q \) with the results given in Appendix B. We note that at the Lifshitz transition, \( B(\rho \to 1/6) \to 0 \). Then, the (above neglected) higher-order contribution \( K_\parallel q_\parallel^4 \) should be taken into account, with the Goldstone mode exhibiting an anisotropic quartic dispersion in all directions.

### IV. FIELD THEORY OF HELICAL SUPERFLUID ON A CLOSED CONTOUR MINIMUM

We now turn to a complementary continuum field-theoretic description of the helical superfluid state, characterized by Bose condensation on a closed contour minimum in momentum space. In the isotropic case, neglecting lattice effects, this physics can be encoded through a quartic dispersion

\[
\varepsilon_k = J(k^2 - \bar{k}_0^2)^2 + \varepsilon_0
\]

(29)

with minima on a contour \( k^2 = \bar{k}_0^2 \). As we demonstrated in Sec. III, such dispersion is indeed realized for bosons on a honeycomb lattice for \( \rho = 1/6^+ \), with

\[
J = t_1 \left( -\frac{3}{64} + \frac{27\rho}{32} \right),
\]

\[
\bar{k}_0 = \sqrt{\frac{8 - 48\rho}{1 - 18\rho}},
\]

\[
\varepsilon_0 = t_1 \left[ \frac{(1 - 6\rho)^2}{1 - 18\rho} - 3 + 6\rho \right].
\]

(30)

We can encode this physics in a noninteracting Hamiltonian \( H_0 = \int_\Gamma \hat{\Phi}_0^\dagger \hat{\Phi}_0 \), with

\[
\hat{\varepsilon}_\Gamma = J(-\nabla^2 - \bar{k}_0^2)^2 + \varepsilon_0.
\]

(31)

We then extend this to an interacting field theory and study it in a grand canonical ensemble, with the corresponding imaginary-time action \( S = \int d^2 r d\tau (L_0 + L_1) \),

\[
L_0 = \Phi^* \partial_\tau \Phi + J/|\nabla^2\Phi|^2 - 2J\bar{k}_0^2|\nabla\Phi|^2 + (\varepsilon_0 - \mu)|\Phi|^2
\]

\[
L_1 = \frac{U}{2} |\Phi|^4,
\]

(32)

\( \Phi(r, \tau) \) a complex bosonic field and \( \mu \) the chemical potential. We also defined an energy offset \( \tilde{\varepsilon}_0 = J\bar{k}_0^2 + \varepsilon_0 \) and interaction \( U \) parameter inherited from the Hubbard model.

As with the lattice model in Sec. III, here we focus on the helical superfluid state – a condensate at a single point \( k_0 \) on the dispersion contour minimum – encoded in \( \varepsilon_k, (29) \), and \( L_0 \) in (32). In the density-phase representation, the state is characterized by

\[
\Phi(r) = \sqrt{n_e} e^{i k_0 \cdot r + i \phi} = \sqrt{n_0 + \pi n_e} e^{i k_0 \cdot r + i \phi}
\]

(33)

with a nonzero condensate density (at mean-field level) \( n_0 = (\mu - \varepsilon_0)/U \) and momentum \( k_0 = \bar{k}_0 \), for \( \mu > \varepsilon_0 \) (vanishing otherwise), and density and phase fluctuations, \( \pi \) and \( \phi \), respectively. To quadratic order, the helical superfluid is then described by a Goldstone mode Lagrangian density

\[
L' = i\pi \partial_\tau \phi + J \left[ \bar{k}_0^2 \left( \partial_\pi \right)^2 + \frac{1}{4n_0} |\nabla^2 \pi|^2 + 4k_0 \left( \partial_\pi \right) \left( \partial_\phi \right) \right] + \frac{U}{2} |\pi|^2,
\]

(34)

where the subscripts \( \parallel \) and \( \perp \) denote axes parallel and perpendicular to \( k_0 \), respectively. In Sec. V, we will consider higher-order fluctuations that renormalize the parameters \( n_0, k_0 \) and reduce the symmetry of the Lagrangian by \( C_6 \) lattice effects. We note that the phase \( \phi \) is compact with \( 2\pi \) periodicity, and thereby allows vortex configurations – dislocation in the helical pattern of the state. However, because we are primarily interested in the ordered helical superfluid at zero temperature, where these defects are confined, we will neglect them in most of our analysis. They are however important for the finite temperature Kosterlitz-Thouless superfluid-to-normal transition in Fig. 1(a).

---

5 Each term in Eq. (32) can be rewritten in terms of \( \pi \) and \( \phi \) as

\[
\partial_\tau \Phi = \frac{e^{i k_0 \cdot \mathbf{r} + i \phi}}{\sqrt{n}} \left( \frac{1}{2} \partial_\tau \pi + in \partial_\tau \phi \right),
\]

\[
\nabla \Phi = \frac{e^{i k_0 \cdot \mathbf{r} + i \phi}}{\sqrt{n}} \left( \frac{1}{2} \nabla \pi + in (k_0 + \nabla \phi) \right),
\]

\[
\nabla^2 \Phi = \frac{e^{i k_0 \cdot \mathbf{r} + i \phi}}{n^{3/2}} \left[ \frac{1}{2} \nabla^2 \pi - n^2 (k_0 + \nabla \phi)^2 - \frac{1}{4} |\nabla \pi|^2 
\right. 
\]

\[
+ \left. in (\nabla \pi \cdot k_0 + \nabla \pi \cdot \nabla \phi + n \nabla^2 \phi) \right].
\]
Integrating out $\pi$ field in Eq. (34), we obtain the effective theory of $\phi$ described by a quantum smectic Lagrangian (consistent with the honeycomb lattice model analysis in Sec. III)

$$\mathcal{L}_\phi = n_0\phi \left[ -\frac{\partial_t + \hat{E}_c^2}{\hat{E} + B\tau} + \hat{E} \right] \phi$$

$$\approx n_0 \left[ B\tau (\partial_t + \hat{E}_c)^2 + B(\partial_\parallel \phi)^2 + K(\partial_\perp^2 \phi)^2 \right],$$

(35a) where Fourier transform of the operators $\hat{E}$ and $\hat{E}_c$ are given by

$$\mathcal{E}_q = Bq^2 + Kq^4, \quad \mathcal{E}_{2,q} = 2\sqrt{BKq}q^2,$$

(35b) with the couplings

$$B_r = \frac{1}{2Un_0}, \quad B = 4Jk^2_0, \quad K = J.$$  

The final form in Eq. (35b) corresponds to the asymptotic long wavelength limit. The superfluid mode $\phi$ exhibits an unusual dispersion given by

$$E_q = \mathcal{E}_{2,q} + \sqrt{\mathcal{E}_q^2 + B_r^{-1}\mathcal{E}_q}$$

$$\sim \begin{cases} q_\perp^2, & q_\parallel \ll \lambda q_\perp^2, \quad q_\perp \ll q_{\perp c}, \\ q_\parallel \gg \lambda q_\perp^2, & q_\perp \ll q_{\parallel c}, \end{cases}$$

(38) consistent with our lattice analysis, Eq. (24) and the features illustrated in Fig. 7. Two length scales emerge from the zero-sound dispersion (38). The crossover scale $\xi = \sqrt{BK}$ is the coherence length along $k_0$, beyond which the condensate is controlled by interaction. The length $\lambda = \sqrt{K/B} = 1/(2k_0)$ characterizes the anisotropy along $(\parallel)$ and transverse $(\perp)$ to $k_0$. The corresponding momentum $q_c = (q_{\parallel c}, q_{\perp c}) \equiv (\xi^{-1}, (\Lambda^{-1})^{1/2})$ separates the noninteracting regime, $E_q \approx (\sqrt{Bq^2} + \sqrt{Bq}\perp)^2$, for $q \gg q_c$ from the long-wavelength interacting form, $E_q \approx B_r^{-1/2}(\sqrt{Bq}q^2 + Kq^4)$, for $q \ll q_c$. The latter agrees with the predictions of the lattice model, Eq. (28), if the lattice symmetry breaking effects are neglected.

Importantly, we note that, in the absent of lattice effects, $(\nabla_\perp \phi)^2$ is absent in the expansion of Eq. (35b) at all orders. This is enforced by the underlying rotational symmetry that is spontaneously broken by the helical superfluid state. More explicitly, an infinitesimal shift of the condensate momentum $k_0 \rightarrow k_0 + \delta k_0$ along the dispersion minimum contour is a symmetry. According to (33), this transforms $\phi_r \rightarrow \phi_r + \delta k_0 \cdot r$, which then enforces the exact vanishing of the coefficient of $(\nabla_\perp \phi)^2$.

A. Stability

We now turn to the analysis of the stability of the helical condensate at $k_0$ to quantum and thermal fluctuations, characterized by Goldstone mode fluctuations, $\langle \phi^2 \rangle$. The divergence of these fluctuations in the thermodynamic limit is a signature of instability. We generalize our analysis to $d + 1$ space-time dimensions, with two “hard” axes, $\parallel$ and $\tau$, and $d - 1$ transverse “soft” axes. A complementary generalization to “columnar” Goldstone mode (with $d$ “hard” and 1 “soft” axes) is relegated to Appendix D. At $d = 2$, both generalizations reduce to the physical case of interest, but corresponding to distinct analytical continuation of the physical problem. Below, we employ the low-energy Goldstone mode theory, (35b), valid within a UV cutoff $\Lambda_U$ defined by $E_q < U_{n_0}$.

1. Local fluctuations $\langle \phi^2 \rangle$

At zero temperature, quantum fluctuations are quantified by

$$\langle \phi^2 \rangle_Q = \frac{1}{2n_0} \int_{\Lambda_U} d\omega dq d^{d-1}q_\perp \frac{1}{(2\pi)^d+1} B_r\omega^2 + Kq^4_l + Bq^2_\parallel$$

$$= \mathcal{O}(1) \times n_0^{-1} \xi^{-\frac{d+1}{2}} \lambda^{-\frac{d-1}{2}}, \quad d > 1,$$

(39) and is finite (system size independent) in the thermodynamic limit. This thereby demonstrates the stability of the helical condensate to quantum fluctuations.

At nonzero temperature, thermal fluctuations are dominated by the zeroth Matsubara frequency $\omega_n = 0$, where $\omega_n = 2\pi n/\beta$, with $\beta = 1/T$. This gives

$$\langle \phi^2 \rangle_T \approx \frac{T}{2n_0} \int_{\Lambda_U} d\omega dq d^{d-1}q_\perp \frac{1}{(2\pi)^d} Kq^4_l + Bq^2_\parallel$$

$$\approx T \langle \phi^2 \rangle_Q \times \begin{cases} \min \left[ \hat{E}_q^{1/2}, \hat{E}_q \right]^{3-d}, & d < 3, \\ \ln \left[ \min \left[ \hat{E}_q^{1/2}, \hat{E}_q \right] \right], & d = 3, \\ \mathcal{O}(1), & d > 3, \end{cases}$$

(40)

where the dimensionless parameters $\hat{L}_\parallel = L_\parallel/\xi$ and $\hat{L}_\perp = L_\perp/\sqrt{\xi\Lambda}$, $L_\parallel$ and $L_\perp$ are the system size along the $\parallel$ and $\perp$ directions, respectively. We thus observe that for $d \leq 3$, (in a striking contrast to a conventional superfluid) thermal fluctuations diverge with system size, and thereby destabilize the helical condensate at any nonzero temperature in the thermodynamic limit. For the physical case of $d = 2$, the length scales for this instability are set by $\xi^T = \sqrt{\xi^T / \Lambda} \sim \frac{Un_0}{T\langle \phi^2 \rangle_Q} \sqrt{\xi\Lambda}$.

---

6 At $T = 0$ and weak interaction, we neglect the difference between the boson and condensate densities, $n$ and $n_0$, with $(n - n_0)/n \sim U^{3/4}$, see Eq. (61).

7 This is closely related to the spontaneous breaking of dipole conservation (in addition to charge) of Bose condensation, which prohibits linear derivative terms, as recently studied in the dipolar Bose-Hubbard model by Lake et al. [54] Here, the helical superfluid is characterized by an emerged dipole conservation perpendicular to $k_0$, which however, only holds infinitesimally.
2. Two-point correlation function of $\phi$

Next, we calculate the static two-point correlation function of $\phi(r, \tau)$, in the physical case given by

$$C(r) = \langle [\phi(r, 0) - \phi(0, 0)]^2 \rangle$$

$$= \frac{T}{n_0} \sum_{\omega_n} \int_0^{\Lambda_U} \frac{d\omega_\perp}{(2\pi)^2} \frac{1 - e^{i\omega_\perp r}}{2\omega_\perp n_0 + B\omega_\perp^2 + Kq_\perp^4}. \quad (41)$$

We are interested in its asymptotics in the high-temperature classical and low-temperature quantum limits. At $T = 0$, the Matsubara converts into an integral over a continuous frequency $\omega$, giving

$$C_Q(r) = U \int d\omega_\perp d\omega_\parallel \frac{1 - e^{i\omega_\parallel r}}{(2\pi)^2} \frac{1}{2\omega_\perp n_0 + B\omega_\perp^2 + Kq_\perp^4}$$

$$\approx n_0^{-1} \omega^{-1/2} \lambda^{-1/2}, \quad r \gg \Lambda^{-1}, \quad (42)$$

growing quadratically to a finite asymptote $\langle \phi^2 \rangle_Q$, (39), at $d = 2$.

In the high-temperature limit, only $\omega_n = 0$ contributes to the correlation function, giving

$$C_T(r) = \frac{2U}{\beta} \int d\omega_\perp d\omega_\parallel \frac{1 - e^{i\omega_\parallel r}}{(2\pi)^2} \frac{1}{2\omega_\perp n_0 + B\omega_\perp^2 + Kq_\perp^4}$$

$$= \frac{T}{2\omega_0^2 n_0^2} \left[ \frac{1}{4\pi \lambda} \right]^{1/2} \frac{1 - e^{i\omega_\parallel r}}{4\omega_\parallel} + \frac{e^{i\omega_\parallel r}}{4\omega_\parallel} \right.$$  

$$\approx \left\{ \begin{array}{ll}
\frac{T\epsilon^{-3/2} \lambda^{-1/2}}{4\omega_0^2 n_0^2} \left( \frac{r}{\lambda} \right)^{1/2}, & r \gg \lambda^{-1/2}, \\
\frac{2}{8\omega_0^2 n_0^2} \left( \frac{\lambda}{\lambda} \right)^{1/2}, & r \ll \lambda^{-1/2}, \end{array} \right. \quad (43)$$

where $\text{erf}(x)$ is the error function [55, 59].

The above analysis indicates a crossover between the low and high temperature limits of $C(r)$. To this end, we perform Matsubara summation in Eq. (41) using

$$\frac{1}{\beta} \sum_{\omega_n} e^{i\omega_n r} = \frac{e^{i\beta r}}{e^{i\beta r} - 1}, \quad \text{for } \tau \geq 0, \quad (44)$$

and define a crossover scale

$$q_T = \left( q_{\parallel T}, q_{\perp T} \right) = \left( \frac{T}{U n_0} q_{\parallel c}, \sqrt{\frac{T}{U n_0}} q_{\perp c} \right), \quad (45)$$

separating the quantum ($q > q_T$) and classical ($q < q_T$) regions of momenta. This gives

$$C(r) = U \int q_T \frac{1 - e^{i\omega_\parallel r}}{E_q} \coth(\beta E_q/2)$$

$$\approx \frac{2U}{\beta} \int q_T \frac{1 - e^{i\omega_\parallel r}}{E_q} + U \int \frac{1 - e^{i\omega_\parallel r}}{E_q} \right) \left( q_{\parallel c}, q_{\perp c} \right), \quad (46)$$

where $E_q = \sqrt{Bq_\parallel^2 + Kq_\perp^4}$. At low temperature, $\beta \to \infty$ and $q_T \to 0$, the quantum, second term dominates, giving $C(r) \approx C_Q(r)$. While at high temperature, $\beta \to 0$ and $q_T \to \Lambda_U$, $C(r)$ is dominated by the classical, first term, giving $C(r) \approx C_T(r)$.

In Fig. 8, we plot $C(r)$ in (41), computed numerically along $r_\parallel$ and $r_\perp$, showing its asymptotic behaviors in the low-temperature (42) and high-temperature (43) regimes. The crossover scale in real space is given by the inverse of the thermal wavevectors,

$$r_{\parallel T} = q_{\parallel T}^{-1} = \frac{U n_0}{T}, \quad r_{\perp T} = q_{\perp T}^{-1} = \sqrt{\frac{U n_0}{T}}. \quad (47)$$

3. Order-by-disorder

Although the effective theory introduced in this section is unstable to thermal fluctuations for $d \leq 3$, we anticipate that the presence of the underlying honeycomb lattice explicitly breaks the degenerate contour in Eq. (41) down to six-fold minima through quantum and thermal fluctuations, that probe all momentum scales (detailed in Sec. V). This in turn introduces perpendicular stiffness $B_\perp (\nabla_\perp \phi)^2$, modifying the low-energy Lagrangian to

$$\frac{L_2}{n_0} = B_\perp (\partial_\perp \phi)^2 + B (\partial_\parallel \phi)^2 + B_\perp (\partial_\perp \phi)^2 + K (\partial_\perp^4 \phi)^2, \quad (48)$$

with suppressed fluctuations. As discussed in Sec. V, $B_\perp \propto U^{5/4} (B_\perp \propto U^{1/4} T)$ for $T \ll U n_0$ ($T \gg U n_0$) in the weakly-interacting limit $U n_0 \ll t_1$. Importantly, this leads to a crossover length scale $\Lambda_\perp = \sqrt{K/B_\perp}$. Consequently, as illustrated in Fig. 8(c)(d), the helical superfluid exhibits an extended crossover from a smectic regime to an anisotropic XY regime (but with the condensate at finite momentum), separated by $(r_\perp^*, r_\parallel^*) = (\lambda^2_\perp/\xi, \lambda_\perp)$.

Since $B_\perp$ is perturbatively small in $U$, $\lambda_\perp$ can be very large, exhibiting long range of anomalous smectic superfluidity, that we study in detail below.

B. Physical observables in smectic superfluid regime

1. Structure factor

To calculate the structure factor, we employ the Lagrangian (34). In Fourier space, it is given by

$$L'_\phi = \frac{1}{2} \left( \phi_{-q_\omega, -\omega_n} \phi_{q_\omega, \omega_n} \right) D^{-1}(q, \omega) \left( \phi_{q_\omega, \omega_n} \phi_{-q_\omega, -\omega_n} \right), \quad (49)$$
where

\[ D(q, \omega_n) = \frac{1}{(\omega_n + i\xi_2)q^2 + 2U n_0 \xi q} \times \left( \frac{\xi q + U}{\omega_n - i\xi_2} \right) \]

with \( \xi_2 \) defined in Eq. (36).

The static structure factor, in the Fourier space given by

\[ S(q, \omega_n) = D_{22}(q, \omega_n) = \frac{2n_0 \xi q}{(\omega_n + i\xi_2)^2 + \xi^2 + 2U n_0 \xi q} \]

This static structure factor is then given by

\[ S(q) = \frac{1}{\beta} \sum_{\omega_n} S(q, \omega_n) = \frac{n_0 \xi q}{\xi q - \xi_2} \frac{\text{sinh}[\beta(\xi q - \xi_2) / \xi_2]}{\text{cosh}[\beta(\xi q - \xi_2) / \xi_2]} \]

where \( \xi_2 \) is defined in Eq. (38) and we used Eq. (44) to carry out the Matsubara sum.

The structure factor (52) depends on the number of scales: coherence length \( \xi = \sqrt{BB} \), anisotropic length factor \( \lambda = \sqrt{K/B} \) and parallel thermal wavevector \( q|| = (2T^2 / U n_0 B)^{1/2} \). Below we first present the results in the low- and high-temperature limits, and then discuss the crossover between them.

In the high-temperature limit, \( \beta \to 0 \), the structure factor becomes

\[ S_T(q) = \frac{2n_0 T \xi q}{2U n_0 \xi q + \xi^2 - \xi_2^2} \approx \begin{cases} 0, & q \parallel \xi, q \perp \xi \ll 1, \\ T/U, & q \parallel \xi, q \perp \xi \gg 1, \end{cases} \]

exhibiting a maximum on a closed contour (inherited from the noninteracting dispersion minimum) with a height \( T/U \) and a width increasing with \( U \), illustrated at high temperature in Fig. 9(a).

In the complementary low-temperature limit, \( \beta \to \infty \), the structure factor becomes

\[ S_Q(q) = n_0 \sqrt{\frac{\xi q}{\xi q + 2U n_0}} \approx \begin{cases} \sqrt{n_0 \xi q/2U}, & q \parallel \xi, q \perp \xi \ll 1, \\ n_0, & q \parallel \xi, q \perp \xi \gg 1, \end{cases} \]

in contrast exhibiting a single minimum at \( q = 0 \), which becomes more shallow with increases \( U \), as shown in Fig. 9(b). It can be written as a scaling form

\[ S_Q(q, 0) \sim n_0 (\xi q)^{1/2} \left( \frac{\lambda q^2}{q_{||}} \right) \]
in the limits, $\xi q_{\parallel} \ll 1$ and $\xi q_{\parallel} \gg 1$, with

$$\hat{S}(x) = \sqrt{1 + x^2}, \quad \alpha = 1, \quad \text{for } \xi q_{\parallel} \ll 1,$$

$$\hat{S}(x) = 1, \quad \alpha = 0, \quad \text{for } \xi q_{\parallel} \gg 1. \quad (56)$$

The structure factor exhibits a quantum-to-classical crossover for $q$ separated by the thermal wavevectors $q_T$ in Eq. (45), as illustrated in Fig. 9(c), (d).

2. Condensate depletion

The condensate depletion is given by

$$n_d = n - n_0 = \langle \Phi(r, 0) \Phi^*(r, \eta) \rangle - n_0$$

$$= \frac{1}{\beta} \int \frac{d^2q}{(2\pi)^2} \sum_{\omega_n} e^{-\eta \omega_n} G(q, \omega_n), \quad (57)$$

where $\eta = 0^+$ is an infinitesimal positive number to encode operator time ordering and with $\Phi$ in Eq. (33) expanded up to quadratic order in $\pi$ and $\phi$,

$$G(q, \omega_n) \approx \frac{1}{4n_0} D_{22}(q, \omega_n) + n_0 D_{11}(q, \omega_n) + i \frac{D_{12}(q, \omega_n)}{2} - i \frac{D_{21}(q, \omega_n)}{2}$$

$$= \frac{v_a^2}{-\omega_n + E_q} + \frac{v_q^2}{\omega_n + E_{-q}} \quad (58)$$

with matrix $D$ given in Eq. (50), $E_q$ given in Eq. (38) and

$$v_a^2 = \frac{1}{2} \left( \frac{\mathcal{E}_q + Un_0}{\sqrt{\mathcal{E}_q^2 + 2 Un_0 \mathcal{E}_q}} + 1 \right),$$

$$v_a^2 = \frac{1}{2} \left( \frac{\mathcal{E}_q + Un_0}{\sqrt{\mathcal{E}_q^2 + 2 Un_0 \mathcal{E}_q}} - 1 \right). \quad (59)$$

After performing the Matsubara summation in Eq. (57) and using the identity (44),

$$n_d = \int \frac{d^2q}{(2\pi)^2} \left( v_a^2 + v_q^2 \right), \quad (60)$$

where the first term gives the zero-temperature interaction driven depletion while the second term describes additional depletion due to thermal excitations.

At zero temperature, the depletion (with details relegated to Appendix E) is given by

$$n_d(T = 0) = \int \frac{d^2q}{(2\pi)^2} v_q^2 = C \left( \frac{U^3}{n_0 B^2 K} \right)^{1/4}$$

$$\sim nU^{3/4} n_0^{-1/4} \sim (Un_0)^{3/4}, \quad (61)$$

where $C = 2^{3/4} I_0 f_c(0)/(96 \pi^2) \approx 0.035$ with $I_0 \approx 10.4882$ and $f_c(0) = -3.70815$.

At nonzero temperature, the depletion in Eq. (60) diverges in the thermodynamic limit for $d \leq 3$, as analyzed in Appendix E, indicating helical superfluid thermal instability as found in Sec. IV A 1.

For the microscopic lattice model discussed in Sec. III, the depleting is still given by (60), but with $u_a$ and $v_q$ given by

$$u_q^2 = \frac{1}{2} \left( \frac{\mathcal{E}_q + Un}{\sqrt{\mathcal{E}_q^2 + 2 Un \mathcal{E}_q} + 1} \right),$$

$$v_q^2 = \frac{1}{2} \left( \frac{\mathcal{E}_q + Un}{\sqrt{\mathcal{E}_q^2 + 2 Un \mathcal{E}_q} - 1} \right), \quad (62)$$

where the lattice effects are encoded in the periodic (in momentum space) forms of $\Theta_q$ and $\mathcal{E}_q$ defined in Eqs. (21) and (26).

At zero temperature, the depletion as a function of $Un$ is computed numerically and presented in Fig. 10. At small $Un$, it is a power-law as found in Eq. (61). As $Un$ increases, $n_d$ deviates from this perfect power-law given by a weakly interacting field theory. At nonzero temperature, thermal fluctuations still destroy the condensate, as discussed above.

---

**FIG. 9.** Static structure factor (52) (in unit of $n_0$) as a function of $q$ (in the unit of $\lambda^{-1}$) with $\lambda/\xi = 0.3$. (a) High-temperature classical limit with $q_T \lambda = 0.4$. (b) Low-temperature quantum limit $q_T \lambda = 0.01$. Quantum-to-classical crossover (c) along $q_{\parallel}$ with $q_{\perp} = 0$ and (d) along $q_{\perp}$ with $q_{\parallel} = 0$. From top to bottom the temperature decreases with $q_T \lambda = 0.4, 0.3, 0.2, 0.1, 0.01$. The red double-headed arrows in (a) and (c) indicate the scale of condensate momentum $2k_0 = \lambda^{-1}$.  

---

8 In the weakly interacting limit, we neglect the difference between $n$ and $n_0$ as it is of higher order in $U'$. 
Another important characterization of the helical superfluid is the momentum distribution, \( n_q = \langle d_{k_0+q,-} d_{k_0+q,+} \rangle \). At \( T = 0 \), it is given by

\[
    n_q = |v_q|^2 = \frac{1}{2} \left( \frac{1 + \frac{U_{00}}{\varepsilon_0}}{\sqrt{1 + 4 \frac{U_{00}}{\varepsilon_0}}} - 1 \right).
\]

(63)

The behavior of \( n_q \) below \( (\xi q || \gg 1) \) and beyond \( (\xi q || \ll 1) \) the coherence length \( \xi = \sqrt{BB_r} \) is described by the following scaling form,

\[
    n_q \sim (\xi q ||)^\alpha f_n \left( \frac{q^2}{\xi q ||} \right),
\]

(64)

with the anisotropy length scale given by \( \lambda = \sqrt{K/B} \) and

\[
    f_n(x) = \frac{1}{\sqrt{1 + x^2}}, \quad \alpha = -1, \quad \text{for } \xi q || \ll 1,
\]

\[
    f_n(x) = \frac{1}{(1 + x^2)^2}, \quad \alpha = -4, \quad \text{for } \xi q || \gg 1.
\]

(65)

We note that for \( \lambda q^2 || q / q || \ll 1 \), \( n_q \sim (\xi q ||)^\alpha \). In the opposite limit, \( \lambda q^2 || q / q || \gg 1 \), the momentum distribution is asymptotically given by

\[
    n_q \sim \begin{cases} 
    1/q^2 ||, & \text{for } q || q || \ll 1, \\
    q^2 / q^2 ||, & \text{for } q || q || \gg 1. 
    \end{cases}
\]

(66)

This contrasts qualitatively with that of a conventional superfluid (see Table 1).

Lattice effects beyond this field theoretical treatment are straightforwardly incorporated with \( n_q = v_q^2 \) at \( T = 0 \), with the lattice form of \( v_q \) given by Eq. (62). In Fig. 11, we plot \( n_{q||,q \perp = 0} \) as a function of \( q || \) which verifies the scaling behavior \( n_{q||,q \perp = 0} \sim 1/q ||^{1} (1/q ||^{4}) \) for \( q || \xi \ll 1 \) \( (q || \gg 1) \). Note, somewhat surprisingly the \( (T = 0) \) momentum distribution is symmetric under the inversion of momentum \( n_q = n_{-q} \), and its profile has the reflection symmetry, e.g. with respect to \( q || = 2\pi/3 \), due to the underlying lattice structure, as shown in the inset of Fig. 11. As a result, the \( 1/q ||^{4} \) tail is only visible for sufficiently small \( Un \).

![Figure 10](image1)

**FIG. 10.** The log-log plot of the zero temperature depletion \( n_d \) (in unit of \( a^{-1} \)) in Eq. (61) as a function of \( Un/t_1 \) for \( \rho = 0.2, \) and \( k_0 = (0, k_0 V) \). The red dots are calculated with the lattice model expression (62). The dashed line gives a fitting in the small \( Un \) region with the predicted slope = 3/4.

![Figure 11](image2)

**FIG. 11.** Momentum distribution \( n_{q||,q \perp = 0} \) vs. \( q || \) (in unit of \( a^{-1} \)) for \( \rho = 0.2, Un/t_1 = 10^{-4}, \) and \( k_0 = (0, k_0 V) \). The red dots are calculated from lattice model expression (62). The dashed line gives the asymptotic behavior for \( q || \xi \ll 1 \) and \( q || \xi \gg 1 \). Inset: linear scale of the same plot.

4. Superflow

As in a conventional superfluid a spatial gradient of \( \phi \) gives rise to a supercurrent,

\[
    j_s = n v_s
\]

(67)

characterized by the anisotropic superfluid velocity with its form obtained from the Noether’s theorem or, equivalently by gauging (see Appendix F)

\[
    v_s = 4J \left[ (\nabla \phi)^2 + 2k_0 \cdot \nabla \phi \right] (k_0 + \nabla \phi) - 2J \nabla^3 \phi.
\]

(68)

We emphasize that in \( v_s \) of (68) we included higher-order terms, previously left out in the quadratic Lagrangian, (34). For the simplest uniform gradient configuration \( \phi = q \cdot r \), we have

\[
    v_s(\phi = q \cdot r) = 4J \left[ (q)^2 + 2k_0 \cdot q \right] (k_0 + q) = \nabla k \cdot \varepsilon |k = k_0 + q
\]

(69)

with

\[
    \varepsilon_k = J(k^2 - k_0^2)^2 + \varepsilon_0, \quad k = k_0 + q.
\]

(70)
FIG. 12. $\mu^{(1)}$ (in unit of $U t_{-2}$) vs. $Un/t_1$ for $\rho = 0.2$, and $k_0 = (0, k_0)$, The red dots are calculated from (73). The dashed line gives a fitting to (74) in the small $Un$ region with a slope $= 3/4$.

In the long-wavelength limit to linear order, the supercurrent is given by

$$ (j_i) = \sum_j (\rho_i)_{ij} \nabla_j \phi $$

(71)

with the superfluid stiffness $(\rho_i)_{ij} = 8Jn(k_0)_i(k_0)_j$, which vanishes transversely to $k_0$. This helical condensate thus (in the absence of stabilizing lattice effects) cannot maintain a superflow perpendicular to $k_0$, and thus by this criterion is not a superfluid.

5. Chemical potential

We next calculate the equation of state, given by the chemical potential as a function of the boson density $n$,

$$ \mu = \frac{\partial E_{gs}}{\partial N} = \mu^{(0)} + \mu^{(1)}, $$

(72)

where ground state energy $E_{gs}$ is given by Eq. (23). The lowest-order mean-field contribution and the one-loop correction are respectively given by

$$ \mu^{(0)} = \epsilon_{k_0} + Un, $$

$$ \mu^{(1)} = -\frac{U}{4} \int_q \left( 1 - \frac{\epsilon_q + Un(\sin \Phi_q)^2}{\sqrt{\epsilon_q^2 + 2Un\epsilon_q + (Un\sin \Phi_q)^2}} \right), $$

(73)

where in the last line we neglected lattice effects and took $\epsilon_q \approx Bq^2 + Kq^4$.

Performing the integration (see Appendix G), we obtain

$$ \mu^{(1)} \approx -UnC \left( \frac{U^3}{nB^2K} \right)^{1/4}, $$

(74)

where constants $C = 2^{3/4}I_0 I_3/(32\pi^2) \approx 0.069$, $I_0 \approx 1.23605$ and $I_3 \approx 10.4882$. The dimensionless factor in $\mu^{(1)}$ expressed in terms of microscopic parameters is given by

$$ \left( \frac{U^3}{nB^2K} \right)^{1/4} \approx \mu^{(1)} \left( \frac{U^3}{nB^2K} \right) \approx \mu^{(1)} \left( \frac{Un}{B^2K} \right)^{1/4}. $$

(75)

where $\tilde{k}_0(\rho)$ is given by Eq. (30) in the limit of $\rho \to 1/6$. For a general $d$ dimensional helical superfluid, this dimensionless factor is given by

$$ \left( \frac{Un}{B^2K} \right)^{1/4}. $$

(76)

In Fig. 12, we plot an exact numerical evaluation of the chemical potential in Eq. (73) with lattice effects as a function of $Un$. At small $Un$, Eq. (74) fits the data well. We note, as indicated in Eq. (74), $\mu/U$ decreases (increases) if $U(n)$ increases, in qualitative distinction from a conventional superfluid (see Table I).

V. ORDER-BY-DISORDER

So far, we studied the helical condensation at a single momentum $k_0$ on the dispersion minimum contour at the Bogoliubov level. At this order, it exhibits smectic fluctuations that are qualitatively larger than that of conventional XY superfluids due to the macroscopic degenerate ground states. In this section, we go beyond the quadratic action and study the order-by-disorder phenomenon that appears due to the interplay of quantum and thermal fluctuations, and the $C_b$ lattice effects. This generates a nonzero $B_1$ that stabilizes the helical superfluid even at nonzero temperature.

Formally, we work with the coherent state path integral of the lattice model introduced in Sec. III, where the partition function is given by $Z = \int \mathcal{D}\Phi e^{-S[\Phi]}$, with the imaginary-time action $S = \int d\tau L$ and the corresponding Lagrangian

$$ L = \sum_{i,a=1,2} \Phi_{i,a}^* \partial_{\tau} \Phi_{i,a} + H_0 + H_{\text{int}}. $$

(77)

In the above, $H_0$ and $H_{\text{int}}$ are functionals that respectively have the same expressions as the kinetic and the interacting parts of the Hamiltonian introduced in Sec. III with the operators $a_{i,a}$ and $a_{i,a}^\dagger$ replaced by coherent state fields $\Phi_{i,a}$ and $\Phi_{i,a}^*$. To study the helical superfluid, we consider the density-phase fluctuations around a meanfield of a helical condensate with density $n_0$ and momentum $k_0$:

$$ \Phi_{i,1} = e^{i\theta_{k_0}/2} e^{i\phi_{r_{i,1}} + i\theta_{k_0}/2} \sqrt{n_0 + \pi_{i,1}}, $$

$$ \Phi_{i,2} = e^{-i\theta_{k_0}/2} e^{i\phi_{r_{i,2}} + i\theta_{k_0}/2} \sqrt{n_0 + \pi_{i,2}}, $$

(78)
\[ S[\Phi] = \beta \Omega(0) + \int_\tau L(0) \] with the constant mean-field part \( \Omega(0)(n_0, k_0) \) (zeroth-order thermodynamic potential) and the bare Lagrangian, \( L(0) (\pi, \phi) \). Such a procedure parallels the field theoretical approach in Sec. IV, but now encodes the underlying \( C_6 \) lattice effects. Analysis of the quadratic part of the action reproduces the Bogoliubov results, predicted in Sec. III. To study the effects of the higher-order nonlinearities, various approximations schemes can be employed. Here we safely employ an analysis perturbative in \( U n_0 \), self-consistently verifying the corrections to \( B_\perp \) are finite. We thereby formally obtain

\[ Z = \int \mathcal{D} \pi \mathcal{D} \phi e^{-\beta \Omega(0) - \int_\tau L(0)} = \int \mathcal{D} \pi \mathcal{D} \phi e^{-\beta \Omega - \int_\tau L}, \quad (79) \]

where the renormalized thermodynamic potential and Lagrangian are given by perturbation series \( \Omega(n_0, k_0) = \sum_{n=0}^{\infty} \Omega(n) \) and \( L = \sum_{n=0}^{\infty} L(n) \) \(^{(n)} \) stands for the nth loop correction, respectively. Similar to a conventional superfluid, global U(1) symmetry \( \phi \rightarrow \phi + \alpha \) ensures that \( \phi \) is massless, i.e., in the continuum, only gradients of \( \phi \) appears in the Lagrangian. For a helical condensate, another constraint is the equivalence of the transformations \( k_0 \rightarrow k_0 + q \) and \( \phi \rightarrow \phi + q \cdot r \) in the long wavelength limit. The former transforms the free energy,

\[ \Omega(k_0 + q) - \Omega(k_0) = N_0 \sum_{lm} b_{lm} q_0^l q_\perp^m. \quad (80) \]

The latter, when applied to the continuum Lagrangian density (discussed in detail in Appendix H),

\[ \mathcal{L} = n_0 \sum_{lm} B_{lm} (\partial_l \phi)^4 (\partial_{\perp} \phi)^m + \ldots, \quad (81) \]

gives an energy shift \( \sum_{lm} B_{lm} q_0^l q_\perp^m \). In the above, the ellipsis denotes \( \pi \) and higher derivative \( \phi \) terms. Since \( q \) can be chosen arbitrarily, we conclude that

\[ b_{lm} = B_{lm}, \quad (82) \]

for any integers \( l, m \geq 0 \), holding in every nth order of the perturbation theory, \( b_{lm} = B_{lm} \). Below, we study the order-by-disorder phenomenon by performing one-loop calculations of \( b_1 \) and \( B_\perp = B_{02} \) for both zero and nonzero temperatures.

### A. Thermodynamic potential

Before working in the more convenient functional integral formalism, we note the ground state energy \( E_{gs}(k_0) = E_{gs}^{(0)}(k_0) + E_{gs}^{(1)}(k_0) \) in Eq. (23) consists of two contributions, the mean-field and the zero-point energies, respectively, given by

\[ E_{gs}^{(0)} = \epsilon_{k_0} N + \frac{n N U}{2}, \quad E_{gs}^{(1)} = \frac{1}{2} \sum_q (E_q - \varepsilon'_q). \quad (83) \]

\[ \begin{align*}
T=0, \rho=0.3 & \quad \begin{array}{c}
\text{FIG. 13. } b_{\perp} (\approx b_1) \text{ in unit of } t_1 a^2 \text{ vs. } U n/t_1 \text{ for } \rho = 0.3, \ T = 0, N_0 = 1. \text{ The black-dashed line gives a fitting to (87) in the small } Un \text{ region with a slope = } 5/4. \end{array}
\end{align*} \]

The former is the dominate contribution that exhibits a sub-extensive degeneracy on the contour minimum, while the latter is the lowest-order in \( U n_0 \) correction, corresponding to the one-loop correction in the field theory treatment below.

In the coherent-state path integral, the zeroth-order thermodynamic potential is equal to the mean-field ground state energy above (with \( N \rightarrow N_0 \))

\[ \Omega(0) = E_{gs}^{(0)}, \quad (84) \]

and the one-loop correction (neglecting the upper band, valid for \( U n_0/t_1 < 1 \)) is given by

\[ \begin{align*}
\Omega^{(1)}(N_0, k_0) &= \frac{1}{2} \sum_{q, \omega_n} \text{Tr} \ln G_0^{-1}(q, \omega_n) \\
&= \frac{1}{2} \sum_{q, \omega_n} \ln \left[ (\omega_n + i \xi_2 q)^2 + \xi_1^2 q \right] \\
&= \frac{1}{\beta} \sum_q \ln \left[ 2 \sinh \left( \beta \xi_1 q / 2 \right) \right], \quad (85)
\end{align*} \]

where \( G_0(q, \omega_n) \) is defined in Eq. (112). In the low-temperature limit \( \beta \rightarrow \infty \), reduces to the zero-point energy of the Bogoliubov quasiparticles \( E_{gs}'^{(1)} = \frac{1}{2} \sum_q (E_q - \varepsilon'_q) \) (where we measured related to the zero-point energy of the normal state). In the above, the Matsubara sum was carried out by using

\[ \sum_{\omega_n} \ln \left[ (\omega_n + i \xi_2)^2 + \xi_1^2 \right] = \sum_{p = \pm} \ln \left[ 2 \sinh \left( \frac{\xi_1 + p \xi_2}{2T} \right) \right], \quad (86) \]

obtained by integrating (44) over \( \epsilon \) or by Poisson summation formula followed by a contour integral [60].

The perturbation theory also gives order-by-order corrections to the condensate momentum, \( k_0 = \sum_n k_0^{(n)} \). This allows us to study the corrections of the thermodynamic potential and its curvature as an expansion at the
mean-field minimum: \( \Omega(k_0) \approx \Omega^{(0)}(k_0^{(0)}) + \Omega^{(1)}(k_0^{(0)}) \) and \( 2N_0b_{\perp}(k_0) \approx \partial || \Omega^{(0)}(k_0^{(0)}) || k_0^{(1)} + \partial^2 \Omega^{(1)}(k_0^{(0)}) \). Numerical evaluation of the integral (85) lifts the degeneracy of the bare dispersion contour, replacing it by six minima with the \( C_6 \) lattice symmetry. We refer to this as order-by-disorder phenomenon (demonstrated in the context of frustrated magnetism in [26, 28]). As shown in Fig. 1(b), the helical condensate is stable on the high symmetry points of the contour, the Vertex (BECV) and the Edge (BEC\(_E\)) condensates, depending on the microscopic parameters \( \rho, U_n0, \) and temperature \( T \). The phase boundaries are all first-order. We note that there is a reentrant BECV around \( \rho \approx 0.22 \), related to the detailed quasiparticle dispersion at small \( q \) characterized by \( B(\rho) \) and \( K(\rho) \). As detailed in Appendix I, an expansion at the minimum of the thermodynamic potential gives

\[
b^{(1)}_{\perp} = b_{\perp 0}f_b(U_n0/T) \tag{87}
\]

for small \( U_n0 \), which gives an effective UV cutoff such that \( \mathcal{E}_q \approx Bq^{2}_\parallel + Kq^{4}_\perp < U_n0 \). In the above,

\[
b_{\perp 0} = (U_n0)^{5/4}t_4^{1/4}g_b(\rho) \tag{88}
\]

with \( g_b(\rho) \) a dimensionless \( \mathcal{O}(1) \) constant and the scaling function

\[
f_b(x) \sim \begin{cases} 1, & \text{for } x \gg 1, \\ 1/x, & \text{for } x \ll 1. \end{cases} \tag{89}
\]

With increasing \( U_n0 \), higher powers terms in \( \mathcal{E}_q \) are important, leading to a deviation from the scaling form (87), see Fig. 13.

As a consequence of the order-by-disorder phenomenon, \( B_{\perp} \) is induced given by \( B^{(1)}_{\perp} = b^{(1)}_{\perp} \) as discussed above. As a complementary analysis, below we perform a direct calculation of \( B^{(1)}_{\perp} \), and show that it reduces to zero in the isotropic, continuum limit.

**B. \( B_{\perp} \)**

To obtain \( B_{\perp} \), one first needs to calculate the zeroth-order action \( S^{(0)}[\pi, \phi] \) based on the lattice model in Sec. III. A shortcut to this is to expand the mean-field ground state energy \( E^{(0)}_{gs}(k_0 + q) \) in \( q \), and use the relation \( B^{(0)}_{lm} = b^{(0)}_{lm} \). However, this has a shortcoming as it only gives the leading order in \( q \) couplings. Alternatively, one can start with the lattice model, do an expansion in \( \pi \) and \( \phi \), and then take the continuum limit. The latter approach is more laborious, but gives the full expression that is valid even at large momenta. We relegate the details of this analysis to Appendix H. For simplicity, we consider a long-wavelength form in analogy to (35b) that is valid within the coherence length \( q < q_c \) in the weakly-interacting limit. By including up to quartic in \( \phi \) terms required for one-loop calculations, and choosing \( k_0 \) at one of the \( C_6 \) symmetric points, the zeroth-order Lagrangian takes the following form (with the superscripts \( (0) \) dropped for the simplicity of notation)

\[
\frac{\mathcal{L}_0}{n_0} = \Delta \left[ c (\partial || \phi || + c^{\perp} (\partial \perp \phi)) \right] + B_{\tau} (\partial_\tau \phi)^2 + B (\partial || \phi ||)^2 + K_{20} (\partial_\parallel \phi)^2 + K_{02} (\partial_\perp \phi)^2 + K_{11} (\partial_\parallel \phi) (\partial_\perp \phi)
\]

\[
+ B_{30} (\partial || \phi ||)^3 + B_{12} (\partial_\parallel \phi) (\partial \perp \phi)^2 + B_{40} (\partial || \phi ||)^4 + B_{04} (\partial_\parallel \phi)^4 + B_{22} (\partial_\parallel \phi) (\partial_\perp \phi)^2, \tag{90}
\]

where \( B_{\tau} = 1/2U_n0 \) and \( \Delta k_0 = 1 - |{\Gamma k_0}||/{|{\Gamma k_0}|} \), vanishing at \( k_0 = \bar{k}_0 \). All the other parameters are of the form \( t_4 g(\rho) \) with \( g(\rho) \) a dimensionless \( \mathcal{O}(1) \) constant while the explicit expressions can be obtained via \( B^{(0)}_{lm} = b^{(0)}_{lm} \) along with \( K_{20} = B^{(0)}_{40}, K_{02} = B^{(0)}_{24} \) and \( K_{11} = B^{(0)}_{22} \), where the latter relations are found by inspecting the explicit form of the quadratic action, (H11), and \( b^{(0)}_{lm} \) are given in Eqs. (B2) and (B3) for BECV and BEC\(_E\), respectively. The linear derivative term (tadpole diagram) \( \partial || \phi \)

\[\text{9 However, the full expression } \Omega = \Omega^{(0)} + \Omega^{(1)} \text{ is not real for any } k_0 \text{ since the quasiparticle is only guaranteed to be stable when expanding the condensate around the contour minimum } k_0^{(0)}. \text{ This is an artifact of the perturbation theory arising from } b^{(0)}_{lm} = 0, \text{ and can be avoided in a self-consistent or renormalization group analysis.}\]
\[
B_\perp^{(1)} = -\frac{c_1}{2c} \sum_q \frac{3g_h^2 + q_\perp^2}{B_\perp \omega_n^2 + \varepsilon_q} + \frac{1}{2} \sum_q \frac{B_{22}q_\perp^2 + 6B_{40}q_\perp^2}{B_\perp \omega_n^2 + \varepsilon_q} - B_{12}^2 \int_q \frac{q_\perp^2 q_\parallel^2}{(B_\perp \omega_n^2 + \varepsilon_q)^2}.
\]

where the dimensionless scaling function \( f_B \) has the same limits as in Eq. (89) and \( B_{\perp 0} = (Un_0)^5/4\ell_1^{-1/4}g_B(\rho) \) with \( g_B(\rho) \) a dimensionless \( \mathcal{O}(1) \) constant. In the isotropic limit \( \rho \to 1/6 \), \( K_{20} = K_{02} = K_{11}/2 \) and \( B/k^2_\perp = B_{30}/k_0 = B_{12}/k_0 = 4B_{40} = 4B_{04} = 2B_{22} \), resulting in \( B_\perp^{(1)} = 0 \). This suggests the robustness of the Lifshitz transition point at \( \rho = 1/6 \) at one-loop order and associated relation between harmonic and nonlinear terms enforced by the rotational symmetry of \( k_0 \), also corresponding to the dipole conservation symmetry.

\[ \text{VI. CONCLUSION} \]

In summary, we studied a nonzero-momentum superfluid state as a condensate in a frustrated honeycomb Bose-Hubbard model that features a dispersion minimum contour with a nonzero momentum scale \( k_0 \), set by frustrated hopping. We supplemented the lattice model by a continuum smectic field theory and explored in detail the rich phenomenology that emerges from the helical (single momentum) Bose-condensation on this dispersion minimum contour.

Consistent with generalized Hohenberg-Mermin-Wagner theorem \([61-63]\), we found that the helical condensate is stable at zero temperature despite quantum fluctuations that are qualitatively stronger than that of a conventional superfluid. The helical state is spontaneously highly anisotropic in its spectrum of low-energy excitations. We explored in detail the physical properties of such state, such as the equation of state (chemical potential), condensate depletion, momentum distribution, structure factor, of all which are qualitatively distinct from a conventional XY zero-momentum superfluid. Because of the “soft” smectic dispersion, at nonzero temperature, thermal fluctuations that diverge in the absence of lattice effects lead to a vanishing of the helical condensate.

However, somewhat paradoxically, a subtle interplay of lattice effects with quantum and thermal fluctuations leads to stabilization of the helical smectic state, through the phenomenon of order-by-disorder via a crossover to a more conventional stable XY superfluid.

Our study thus predicts a stable helical superfluid state and its rich phenomenology that can emerge in frustrated bosonic systems, characterized by a bare dispersion minimum contour. Such sub-extensive contour degeneracy of the condensate momentum, \( k_0 \), can be realized in cold atom experiments through Floquet engineering of the optical lattice with frustrated hopping \([44, 64]\) or synthetic Rashba spin-orbit coupling \([45]\). Because the latter approach is not based on frustration, its closed contour minimum dispersion would be spoiled by an optical lattice, reduced to a discrete set of dispersion minima \([65-67]\). It would thereby exhibit a bare \( B_\perp \) modulus controlled by the depth of the optical lattice, rather than Feshbach tunable interactions \([68]\), as considered in our study. Yet, in a shallow optical lattice with a weak \( B_\perp \), within a long crossover scale we expect a nonzero momentum condensate \([69-71]\) in spin-orbit coupled Bose gases, exhibiting phenomenology predicted in Table I.

The frustrated bosonic model that we studied is isomorphic to a quantum O(2) easy-plane magnet with frustrated exchange couplings, which is also characterized by a spiral contour as manifold of its classical ground states. The helical superfluid we explored thus maps directly on such a coplanar spin spiral state with the U(1) superfluid phase corresponding to the O(2) direction of the XY spin. Theoretical studies suggest the stability of such spiral states in a quantum easy-plane magnet \([35]\) and in XY models (for spin-1/2, equivalent to the Bose-Hubbard model at half-filling in the \( U \to \infty \) hard-core limit, in contrast to the weak \( U \) limit considered here) with \( k_0 \) at high symmetry points selected by quantum fluctuations \([72-74]\). This contrasts with the corresponding Heisenberg O(3) model \([26, 28]\), which at nonzero temperature in 2d is always unstable (even with the usual linear dispersion) to a disordered state due to strongly coupled nonlinear spin wave fluctuations.

Our analysis, however, does not provide the sufficient conditions to realize the helical superfluid discussed here. Other interesting supersolid phases, e.g., Bose condensate at multiple momenta, are also competing ground states that can emerge from the interplay of interactions and the macroscopic degeneracy of the dispersion minimum. Selecting between these is a challenging problem that we have not addressed and likely requires extensive numerical analysis. Cold-atoms systems where our model is realized using Floquet engineering will likely be challenged by heating problem as well as further neighbor hopping and interactions.

Here we neglected the vortices (allowed by the periodicity of the superfluid phase) that can play an important role for the quantum and thermal phase transitions out of the helical superfluid state, sketched at the mean-field level in Fig. 1(a). In 2+1d it is isomorphic to the melting of a quantum smectic (at low energies perturbed by stabilizing modulus \( B_\perp \) generated by order-by-disorder) that has been formulated through a dual gauge theory.
in Ref. [51, 75], but with critical RG analysis remaining as an open problem. We note that, as discussed in Ref. [76] in addition to conventional vortices of the superfluid phase $\varphi$, thermal excitations of orientational (“momentum”) vortices in $k_0$ (based on numerical analysis) appear to play an important role. We leave these and other questions discussed above for future theoretical research and hope that the rich phenomenology presented here will stimulate further experimental and theoretical works on frustrated bosonic and magnetic systems.

ACKNOWLEDGEMENT

L. R. thanks Arun Paramekanti for discussions. This work is supported by the Simons Investigator Award to L. R. from the James Simons Foundation. L. R. also thanks the KITP for its hospitality as part of the Spring 2022 Pair-Density-Wave Order Rapid Response Workshop, during which part of this work was completed and in part supported by the National Science Foundation under Grant No. NSF PHY-1748958. Research at Perimeter Institute is supported in part by the Government of Canada through the Department of Innovation, Science and Economic Development Canada and by the Province of Ontario through the Ministry of Colleges and Universities.

 Appendix A: Diagonalization of frustrated tight-binding model on honeycomb lattice

The tight-binding Hamiltonian in Eq. (7) can be written in terms of Pauli matrices $H_0 = \sum_{k}(a_k^{\dagger} \cdot a_k)h_0(a_k^{\dagger}, a_k)$, where

$$h_0 = t_2\epsilon_k \mathbb{I} - t_1|\Gamma_k|\cos \theta_k \sigma_x + t_1|\Gamma_k|\sin \theta_k \sigma_y,$$

(A1)

with

$$\epsilon_k = 2 \sum_i (\cos k \cdot v_i),$$

$$\Gamma_k = \sum_i \exp(-ik \cdot e_i),$$

$$\theta_k = \text{Arg}(\Gamma_k).$$

(A2)

The Hamiltonian $h_0$ can be diagonalized by the transformation

$$U = \frac{1}{\sqrt{2}} \begin{pmatrix} \exp(i\frac{\theta_k}{2}) & \exp(i\frac{\theta_k}{2}) \\ -\exp(-i\frac{\theta_k}{2}) & \exp(-i\frac{\theta_k}{2}) \end{pmatrix},$$

(A3)

which gives

$$U^\dagger h_0 U = t_2 \epsilon_k \mathbb{I} - t_1 \begin{pmatrix} -|\Gamma_k| & 0 \\ 0 & |\Gamma_k| \end{pmatrix},$$

(A4)

with two bands

$$\epsilon_k^- = t_2 \epsilon_k - t_1|\Gamma_k|, \quad \epsilon_k^+ = t_2 \epsilon_k + t_1|\Gamma_k|.$$

(A5)

The lower band exhibits a contour minimum for $1/6 < \rho = t_2/t_1 < 1/2$.

In general, the dispersion minimum contour can appear in a class of Hamiltonians written as

$$h_0 = t_1T + t_2T^2,$$

(A6)

where $t_1$ and $t_2$ are the nearest-neighbor and next-nearest-neighbor hopping amplitudes and $T$ is a $2 \times 2$ hopping matrix, with the form

$$T = \begin{pmatrix} 0 & G_k \\ G_k^\dagger & 0 \end{pmatrix}$$

(A7)

in the sublattice basis. Then the two band are given by

$$\epsilon_k^\pm = \pm|t_1||G_k| + t_2|G_k|^2$$

(A8)

with the lower energy band $\epsilon_k^-$ exhibiting macroscopic ground state degeneracy along the contour defined by $|G_k| = |t_1|/2t_2$.

Appendix B: Expansion of $\epsilon_k^-$ around the dispersion minimum contour

In the weakly-interacting regime, the band dispersion around the Bose condensate plays an important role for the low-energy properties of the helical superfluid. This is established through the facts: (i) the zeroth-order thermodynamic potential $\Omega^{(0)}(N_0, k_0) = N_0 \epsilon_{k_0} - N_0^2 U/4V$ depending on the free dispersion $\epsilon_{k_0}^-$ and (ii) The relation between $\Omega^{(0)}$ and the zeroth-order Goldstone mode theory $\mathcal{L}^{(0)}$ as discussed in Sec. V. Expansion on the lower band dispersion (12) up to quartic order gives

$$\epsilon_{k_0 + q}^- \approx \Delta(q_{\parallel} + c_\perp q_{\perp}^2) + b_0 q_{\parallel}^2 + b_3 q_{\perp}^3 + b_4 q_{\parallel}^4 + b_6 q_{\parallel}^4 + b_8 (q_{\perp}^2)^2,$$

(B1)
where for the Vertex condensate (BEC$_V$), $k_0 = (0, k_0 V)$, $|\Gamma_{k_0}| = \sqrt{5 + 4 \cos(3k_0 V/2)}$, and the coefficients

$$c = 6t_2 \sin(3k_0 V/2), \quad c_\perp = \frac{3t_2 [2 + \cos(3k_0 V/2)]}{2}, \quad b^{(0)} = \frac{9t_2 \sin^2(3k_0 V/2)}{|\Gamma_{k_0}|^2},$$

$$b^{(0)}_{30} = \frac{27t_2 [3 + 5 \cos(3k_0 V/2) + \cos(3k_0 V)] \sin(3k_0 V/2)}{2|\Gamma_{k_0}|^6}, \quad b^{(0)}_{12} = \frac{9t_2 [4 \sin(3k_0 V/2) + \sin(3k_0 V)]}{4|\Gamma_{k_0}|^2},$$

$$b^{(0)}_{40} = \frac{27t_2 [76 + 190 \cos(3k_0 V/2) + 163 \cos(3k_0 V) + 50 \cos(9k_0 V/2) + 7 \cos(6k_0 V)]}{32|\Gamma_{k_0}|^6}, \quad b^{(0)}_{04} = \frac{9t_2 [2 + \cos(3k_0 V/2)]^2}{16|\Gamma_{k_0}|^2},$$

$$b^{(0)}_{22} = \frac{27t_2 [15 + 25 \cos(3k_0 V/2) + 11 \cos(3k_0 V/2) + 3 \cos(9k_0 V/2)]}{16|\Gamma_{k_0}|^4} \quad \text{(B2)}$$

and for the Edge condensate (BEC$_E$), $k_0 = (k_{0E}, 0)$, $|\Gamma_{k_0}| = |1 + 2 \cos(\sqrt{3}k_{0E}/2)|$, and the coefficients

$$c = 2\sqrt{3}t_2 \sin(\sqrt{3}k_{0E}/2) + \sin(\sqrt{3}k_{0E}), \quad c_\perp = \frac{9t_2 \cos(\sqrt{3}k_{0E}/2)}{2}, \quad b^{(0)} = 3t_2 \sin^2(\sqrt{3}k_{0E}/2),$$

$$b^{(0)}_{30} = \frac{3\sqrt{3}t_2 \sin(\sqrt{3}k_{0E})}{4}, \quad b^{(0)}_{12} = \frac{9\sqrt{3}t_2 \sin(\sqrt{3}k_{0E})}{4|\Gamma_{k_0}|}, \quad b^{(0)}_{40} = \frac{3t_2 [1 + 7 \cos(\sqrt{3}k_{0E})]}{32|\Gamma_{k_0}|^2},$$

$$b^{(0)}_{04} = \frac{81t_2 [1 + \cos(\sqrt{3}k_{0E})]}{32|\Gamma_{k_0}|^2}, \quad b^{(0)}_{22} = \frac{27t_2 [1 + 3 \cos(\sqrt{3}k_{0E}/2) + \cos(3\sqrt{3}k_{0E}/2) + \cos(3\sqrt{3}k_{0E}/2)]}{16|\Gamma_{k_0}|^2} \quad \text{(B3)}$$

In the above, $\Delta = 1 - |\Gamma_{k_0}|/|\Gamma_{k_0}|$, which vanishes at $k_0 = \bar{k}_0$. For $k_0 \neq \bar{k}_0$, there are corrections to all the coefficients above, but here we only give the ones for $q_\parallel$ and $q_\perp$ that are important for the one-loop calculations in Sec. V.

**Appendix C: Bogoliubov approximation to the frustrated Bose-Hubbard model**

For a condensate at $k_0$, the bosonic operators are given by

$$a_{k,1} \approx A_{k,1} \delta_{k,k_0} + a_{k_0,q_1}, \quad a_{k,2} \approx A_{k,2} \delta_{k,k_0} + a_{k_0,q_2}, \quad \text{(C1)}$$

where $A_{k,1} = \frac{1}{\sqrt{2}} e^{i\frac{q_1 k_0}{2}} \sqrt{N_0}$ and $A_{k,2} = \frac{1}{\sqrt{2}} e^{-i\frac{q_2 k_0}{2}} \sqrt{N_0}$. We then expand the interacting part of the Hamiltonian, (15), which up to quadratic order of $a_{k_0}^q$ and $a_{k_0}^{q_+}$ is given by

$$H_{\text{int}} \approx \frac{U}{2V} \sum_{s=1,2} \left(|A_{k_0,s}|^4 + 4|A_{k_0,s}|^2 \sum_q a_{k_0+q,s}^{\dagger} a_{k_0+q,s} + (A_{k_0,s}^*)^2 \sum_q a_{k_0-q,s} a_{k_0+q,s} + A_{k_0,s}^2 \sum_q a_{k_0-q,s}^{\dagger} a_{k_0+q,s} \right)$$

$$= \frac{UN_0}{4V} + \frac{UN_0}{4V} \left( 4 \sum_q a_{k_0+q_1,s}^{\dagger} a_{k_0+q_1,s} + e^{-i\theta_{k_0}} \sum_q a_{k_0-q_1,s} a_{k_0+q_1,s} + 1 \sum_q a_{k_0-q_1,s}^{\dagger} a_{k_0+q_1,s} \right)$$

$$+ \frac{UN_0}{4V} \left( 4 \sum_q a_{k_0-q_2,s} a_{k_0+q_2,s} + e^{i\theta_{k_0}} \sum_q a_{k_0-q_2,s}^{\dagger} a_{k_0+q_2,s} + 1 \sum_q a_{k_0-q_2,s}^{\dagger} a_{k_0+q_2,s} \right). \quad \text{(C2)}$$

With a transformation to the band basis

$$a_{k,1} = \frac{1}{\sqrt{2}} e^{i\frac{q_1}{2}} (d_{k,+} + d_{k,-}), \quad a_{k,2} = \frac{1}{\sqrt{2}} e^{-i\frac{q_2}{2}} (-d_{k,+} + d_{k,-}) \quad \text{(C3)}$$

followed by neglecting the upper band contributions, $d_{k,+}$, that only give $O(U n_0/t_1)$ corrections to the ground state, we obtain the following total Hamiltonian

$$H = \epsilon_{k_0} N_0 + \frac{UN_0}{4V} \sum_q \epsilon_{k_0+q} d_{k_0+q,-}^{\dagger} d_{k_0+q,-} + \frac{UN_0}{2V} \sum_q \left( d_{k_0+q,-}^{\dagger} d_{k_0+q,-} + d_{k_0+q,-}^{\dagger} d_{k_0+q,-} \right)$$

$$+ \frac{UN_0}{4V} \sum_q \cos \left( \theta_{k_0} - \frac{\theta_{k_0+q} + \theta_{k_0-q}}{2} \right) \left( d_{k_0+q,-}^{\dagger} d_{k_0+q,-} + d_{k_0+q,-}^{\dagger} d_{k_0+q,-} \right), \quad \text{(C4)}$$

which gives the Hamiltonian (19) after using the canonical ensemble relation

$$N = N_0 + \sum_q d_{k_0+q,-}^{\dagger} d_{k_0+q,-} \quad \text{(C5)}$$
The Hamiltonian (19) then can be diagonalized by the bosonic Bogoliubov transformation

\[ V = \begin{pmatrix} u_q & v_q \\ v_q^* & u_q^* \end{pmatrix}, \]  

(C6)

where \( u_q \) and \( v_q \) (chosen to be real) are given in Eq. (62) with \( u_q v_q = -\sqrt{u_q^2 v_q^2} \), and \( V \) is a nonunitary matrix that preserves the bosonic commutation relation after the basis transformation

\[ \begin{pmatrix} d_{k_0+q,-} \\ d_{k_0-q,-}^\dagger \end{pmatrix} = V \begin{pmatrix} \alpha_{k_0+q} \\ \alpha_{k_0-q} \end{pmatrix}. \]  

(C7)

The dispersions \( E_{q}^\pm = E_{\pm q} \) of \( \alpha_{k_0\pm q} \) in Eq. (24) can be obtained by solving the determinant equation

\[ |H - \lambda \sigma_z| = 0 \]  

(C8)

with the solutions \( \lambda = E_{q}^+, -E_{q}^- \), where \( E_{q}^\pm > 0 \).

**Appendix D: Stability of quantum “smectic” and “columnar” phases in d-dimensions: generalized Hohenberg-Mermin-Wagner theorems**

In this appendix, we perform a simple dimensional analysis of the stability of a smectic (columnar) phase in \( d \) spatial dimensions, which exhibits a Goldstone mode with 1 \( (d-1) \) hard direction(s), \( \parallel \), and the other \( d-1 \) (1) soft direction(s), \( \perp \). We set \( U = B = K = 2n_0 = 1 \) for simplicity.

For the smectic phase, the quantum fluctuations at \( T = 0 \) is characterized by

\[ \langle \phi^2 \rangle_Q = \int \frac{d\omega}{2\pi} \frac{dq_\perp d^{d-1} q_\parallel}{(2\pi)^d} \frac{1}{\omega^2 + q_\parallel^2 + (q_\perp^2)^2}. \]  

(D1)

With the change of variables \( q_\perp^2 = y_\perp \), the above equation becomes

\[ \langle \phi^2 \rangle_Q = \int \frac{d\omega dq_\parallel dq_\perp}{(2\pi)^d} \frac{q_\perp^{d-2}}{\omega^2 + q_\parallel^2 + q_\perp^2}. \]  

(D2)

The stability of this state requires the convergence in the IR, which requires

\[ 3 + \frac{d-3}{2} > 2 \Rightarrow d > 1. \]  

(D3)

For the columnar phase, the quantum fluctuations are

\[ \langle \phi^2 \rangle_Q = \int \frac{d\omega dq_\parallel dq_\perp}{(2\pi)^d} \frac{q_\perp^{d-2} y_\perp^{-1/2}}{\omega^2 + q_\parallel^2 + y_\perp^2}. \]  

(D4)

which requires

\[ 3 + (d-2) - \frac{1}{2} > 2 \Rightarrow d > \frac{3}{2} \]  

(D5)

for the stability. Therefore, for the physical dimension of our interest, \( d = 2 \), both requirements are satisfied, which suggests the helical superfluid stable under quantum fluctuations.

At nonzero temperature, we consider the dominant classical contributions at \( \omega_n = 0 \), which for the smectic are given by

\[ \langle \phi^2 \rangle_T = \int \frac{dq_\parallel dq_\perp}{(2\pi)^d} \frac{1}{q_\parallel^2 + (q_\perp^2)^2} \]  

\[ \propto \int \frac{dq_\parallel dq_\perp}{(2\pi)^d} \frac{y_\perp^{d-2} y_\perp^{-1/2}}{q_\parallel^2 + y_\perp^2}. \]  

(D6)

Its stability requires

\[ 2 + \frac{d-3}{2} > 2 \Rightarrow d > 3. \]  

(D7)

While in the columnar phase,

\[ \langle \phi^2 \rangle_T = \int \frac{dq_\parallel dq_\perp}{(2\pi)^d} \frac{1}{q_\parallel^2 + (q_\perp^2)^2} \]  

\[ \propto \int \frac{dq_\parallel dq_\perp}{(2\pi)^d} \frac{y_\perp^{d-2} y_\perp^{-1/2}}{q_\parallel^2 + y_\perp^2}, \]  

(D8)

which requires

\[ 2 + \frac{d-2}{2} > 2 \Rightarrow d > \frac{5}{2} \]  

(D9)

Thus, thermal fluctuations make the 2d smectic/columnar phase unstable.

**Appendix E: Calculation details of depletion**

The condensate depletion is given by Eq. (60) at weak interactions as discussed in the main text. At zero temperature, \( \beta = \infty \) leads to

\[ n_d = \int_{-\infty}^{\infty} \frac{dq_\parallel dq_\perp}{(2\pi)^2} \frac{1}{\sqrt{\xi_q + U n}} \left( 1 - \frac{\xi_q + U n}{\sqrt{\xi_q^2 + 2 U n \xi_q}} \right), \]  

(E1)

where \( \xi_q \approx B q_\parallel^2 + K q_\perp^4 \) as defined in Eq. (36). In this section, we perform the integral exactly. With the change of variables:

\[ \sigma_\parallel = \sqrt{\frac{B}{2U n} q_\parallel}, \sigma_\perp = \sqrt{\frac{K}{2U n} q_\perp^2}, \sigma^2 = \sigma_\parallel^2 + \sigma_\perp^2 \]  

(E2)
the integral can be rewritten as

\[ I = \int_{-\infty}^{\infty} \frac{dq_{\parallel} dq_{\perp}}{(2\pi)^2} \frac{1}{\sqrt{\mathcal{E}_q + 2 Un \mathcal{E}_q}} \]

\[ = \frac{(2Un)^{3/4}}{B^{1/2} K^{1/4}} \int_{-\infty}^{\infty} \frac{ds_t ds_{t\perp}}{16\pi^2} \frac{1}{\sqrt{s_{t\perp}} \left(2 + \frac{\sigma_t^{1/2}}{\sigma_{t\perp}^{1/2}}\right)} - 1 \]

\[ = \frac{(2Un)^{3/4}}{B^{1/2} K^{1/4}} \int_{0}^{\pi} \frac{d\theta}{\sqrt{\sin \theta}} \frac{1}{\sqrt{2\sigma^{1/2}}} \left(2\sigma + 1\right) \left(2\sigma^{1/2} + 1\right) - 1 \]

\[ = \frac{(2Un)^{3/4}}{16\pi^2 B^{1/2} K^{1/4}} I_{\sigma}, \quad (E3) \]

where \( I_{\sigma} = \int_{0}^{2\pi} \frac{d\theta}{\sqrt{\sin \theta}} \approx 10.4882 \) and

\[ I_{\sigma} = \int_{0}^{\infty} d\sigma \left(\frac{2\sigma^2 + 1}{2\sqrt{\sigma^3 + \sigma}} - \sqrt{\sigma}\right) \]

\[ = -2 \frac{\sigma^3}{3} + 2 \frac{2}{3} \sqrt{\sigma + \sigma^2} + \frac{f_e(\sigma)}{6} \bigg|_{0}^{\infty} \]

\[ = -f_e(0) + \frac{f_e(0)}{6} \quad (E4) \]

with

\[ f_e(\sigma) = 2(\sigma^{1/4}) \text{EllipticF} \left[ i \text{ArcSinh} \left(\frac{(-1)^{1/4}}{\sigma}\right), -1 \right]. \]

Consequently, the integral is

\[ I = \frac{(2Un)^{3/4}}{16\pi^2 B^{1/2} K^{1/4}} I_{\sigma} \frac{f_e(0)}{6} \]

which gives the depletion

\[ \frac{n_d}{n} = \left(\frac{Un^3}{nB^2 K}\right)^{1/4} I_{\sigma} \frac{f_e(0)}{96\pi^2}. \quad (E7) \]

Similarly, we generalize the study of depletion to be in \( d = l + m \) dimensions. The system possesses dispersion hard along \( l \) directions and soft along \( m \) directions. With \( q_{\parallel(I)}, q_{\perp} \) being the momentum along the \( i \)-th hard (soft) direction, the generalized dispersion is

\[ \mathcal{E}_q^{(l,m)} = \sum_{j=1}^{m} B_j q_{\parallel,j}^2 + \sum_{j=1}^{m} K_j q_{\perp,j}^4. \quad (E8) \]

In terms of variables

\[ \sigma_{\parallel,i} = \sqrt{\frac{B_i}{2Un^2}} q_{\parallel,i}, \quad \sigma_{\perp,i} = \sqrt{\frac{K_i}{2Un^2}} q_{\perp,i}, \]

\[ \sigma^2 = \sum_{i} \sigma_{\parallel,i}^2 + \sum_{j} \sigma_{\perp,j}^2, \quad (E9) \]

the depletion is given by

\[ \nu_{d}^{(l,m)} = \int_{-\infty}^{\infty} \frac{d^d q_{\parallel} d^m q_{\perp}}{(2\pi)^{d+m}} \frac{1}{\sqrt{\mathcal{E}_q^{(l,m)} + 2 Un \mathcal{E}_q^{(l,m)}}} - 1 \]

The integral can be proceeded as

\[ I^{(l,m)} = \frac{(2Un)^{(2l+m)/4}}{2|\Gamma[l]\Gamma[l]\Gamma[m]\Gamma[m]} \int_{-\infty}^{\infty} \frac{d^d q_{\parallel} d^m q_{\perp}}{(2\pi)^{d+m}} \]

\[ \times \prod_{j=1}^{m} K_{j}^{1/4} \left(2\sigma_{\perp,j}^2 + 1\right) - 1 \]

\[ \text{Particularly, when } B_i = B \text{ and } K_j = K \text{ for any } i \text{ and } j, \]

\[ I^{(l,m)} \propto \frac{(Un)^{(2l+m)/4}}{B^{1/2} K^{m/4}}. \quad (E13) \]

The depletion is then given by

\[ \frac{n_d^{(l,m)}}{n} \propto \frac{(Un)^{1-\frac{1}{4}}(2l+m/4)}{B^{1/2} K^{m/4}}. \quad (E14) \]

Now we discuss the thermal corrections to the depletion, which is given by

\[ \delta n_d(T) = n_d(T) - n_d(0) = \int_{-\infty}^{\infty} \frac{d^d q_{\parallel} d^m q_{\perp}}{(2\pi)^{d+m}} \frac{1}{\mathcal{E}_{q^{(l,m)}} - 1} \]

with \( E_q \) and \( \mathcal{E}_{q^{(l,m)}} \) defined in Eq. (24), and \( u^2_0 \) and \( v^2_0 \) defined in Eq. (62). In the long-wavelength limit, \( E_q \approx \mathcal{E}_{q^{(l,m)}} \approx \sqrt{2Un^2 \mathcal{E}_q^{(l,m)}} \). Then, with the change of variables \( \sigma_{\parallel,i} = \beta \sqrt{2BU_0 q_{\parallel,i}} \) and \( \sigma_{\perp,j} = \beta \sqrt{2KU_0 q_{\perp,j}^2} \) (set \( B_i = B \) and \( K_j = K \) for simplicity),

\[ \delta n_d(T) = \int_{-\infty}^{\infty} \frac{d^d q_{\parallel} d^m q_{\perp}}{(2\pi)^{d+m}} \frac{Un^{1/4} \mathcal{E}_{q^{(l,m)}}^{1/4}}{\sqrt{2Un^2 \mathcal{E}_q^{(l,m)}}} \frac{1}{e^{\beta E_q} - 1} \]

\[ \approx \int_{-\infty}^{\infty} \frac{d^d q_{\parallel} d^m q_{\perp}}{(2\pi)^{d+m}} \frac{Un^{1/4} \mathcal{E}_{q^{(l,m)}}^{1/4}}{\sqrt{2Un^2 \mathcal{E}_q^{(l,m)}}} \frac{1}{e^{\beta \sqrt{2Un^2 \mathcal{E}_q^{(l,m)}}} - 1} \]

\[ \times \int_{0}^{\infty} d\sigma \sigma^{1/4} \frac{1}{e^{\beta \sqrt{\mathcal{E}_q^{(l,m)}}} - 1}. \quad (E16) \]

where the radial integral over \( \sigma \) is finite if \( l+m/2-2 > 0 \). This suggests the superfluid is stable when

\[ 2l + m > 4. \quad (E17) \]

For a smectic (columnar) phase with \( l = 1 \) (\( l = d - 1 \)) and \( m = d - 1 \) (\( m = 1 \)), we reproduce the stability condition \( d > 3 \) (\( d > 5/2 \)) as obtained in Appendix D.

**Appendix F: Superflow**

In this section, we derive the expression of the supercurrent. Notice that there involves higher-order derivatives in the Lagrangian \( \mathcal{L}_0 \) in Eq. (32), its equation of motion is thus modified as

\[ \frac{\partial \mathcal{L}_0}{\partial \Phi} - \partial_t \left( \frac{\partial \mathcal{L}_0}{\partial (\partial_t \Phi)} \right) + \partial_i \partial_j \left( \frac{\partial \mathcal{L}_0}{\partial (\partial_i \partial_j \Phi)} \right) = 0; \quad (F1) \]
where $\mu = (r, i, j, k, \ldots)$ and $i, j, k, \ldots$ are spatial indices. With the detailed form of $L_0$, we obtain
\[
-\partial_t \Phi^* + J(\nabla^4 \Phi^* + 2k_0^2 \nabla^2 \Phi^*) = 0 \quad (F2)
\]
along with a similar equation for $\Phi$. In addition, the Lagrangian $L_0$ respects the global $U(1)$ symmetry. Therefore, an infinitesimal transformation $\Phi \to e^{i\phi} \Phi + i\phi \Phi$ (and $\Phi^* \to e^{-i\phi} \Phi^* \approx \Phi^* - i\phi \Phi^*$, where $\varepsilon \ll 1$) results in $L_0 \to L_0 + \varepsilon \Delta L_0$ with $\Delta L_0$ being a total derivative, given by
\[
\Delta L_0 = \frac{\partial L_0}{\partial \Phi}(i\phi) + \left(\frac{\partial L_0}{\partial (\partial_\mu \Phi)}\right)(i\partial_\mu \Phi)
+ \left(\frac{\partial L_0}{\partial (\partial_\nu \partial_\mu \Phi)}\right)(i\partial_\nu \partial_\mu \Phi) + \Phi \leftrightarrow \Phi^*,
\]
where we applied the equation of motion in Eq. (F1) to get the second equality. Then, the Noether current is
\[
j_0 = \frac{\partial L_0}{\partial (\partial_\mu \Phi)}(i\phi) + \frac{\partial L_0}{\partial (\partial_\mu \Phi^*)}(-i\Phi^*) = 2i\Phi^* \Phi
\]
\[
j_i = \frac{\partial L_0}{\partial (\partial_\mu \Phi)}(i\phi) + \frac{\partial L_0}{\partial (\partial_\mu \Phi^*)}(-i\Phi^*)
+ \frac{\partial L_0}{\partial (\partial_\mu \partial_\nu \Phi^*)}(i\partial_\mu \Phi^*) + \frac{\partial L_0}{\partial (\partial_\nu \partial_\mu \Phi^*)}(i\partial_\nu \partial_\mu \Phi^*)
- iJ\Phi^*(\partial_i \nabla^2 \Phi + 2k_0^2 \partial_i \Phi)
+ iJ\nabla^2 \Phi^* \partial_i \Phi - iJ\nabla^2 \Phi \partial_i \Phi^*,
\]
which satisfy the continuity equation, $\partial_\mu j^\mu = 0$. With $\Phi = \sqrt{n}e^{ik_0 \cdot r + i\phi}$ (and at mean-field level $k_0 = \bar{k}_0$), the supercurrent is then a current in space,
\[
j_s = 4Jn \left[ (\nabla \phi)^2 + 2k_0 \cdot \nabla \phi - \frac{1}{n} \nabla^2 n + \frac{3}{4n^2} (\nabla n)^2 \right]
\times (k_0 + \nabla \phi) - 2Jn \nabla^2 \phi - 2Jn \nabla^3 \phi
\approx 4Jn \left[ (\nabla \phi)^2 + 2k_0 \cdot \nabla \phi \right] (k_0 + \nabla \phi) - 2Jn \nabla^3 \phi,
\]
which reproduces the long-wavelength expression in Eq. (F4).

**Appendix G: Calculation details of the chemical potential**

In this appendix, we evaluate the integral in Eq. (73). As in Appendix E, we use the dimensionless variables defined in Eq. (E2), and written integral as
\[
I = \int_{-\infty}^{\infty} \frac{dq_d dq_\perp}{(2\pi)^2} \left( 1 - \frac{\mathcal{E}_q}{\sqrt{\mathcal{E}_q^2 + 2Un\mathcal{E}_q}} \right)
\]
\[
= \int_{-\infty}^{\infty} \frac{dq_d dq_\perp}{(2\pi)^2} \left( 1 - \frac{1}{\sqrt{1 + \frac{2Un}{\mathcal{E}_q}}} \right)
\]
\[
= \int_{-\infty}^{\infty} \frac{d\sigma_d d\sigma_\perp}{8\pi^2 \sqrt{2Un}^{3/4}} \frac{1}{\sqrt{|\sigma_\perp|}} \left( 1 - \frac{\sigma}{\sqrt{\sigma^2 + 1}} \right)
\]
\[
= \frac{(2Un)^{3/4}}{8\pi^2 \sqrt{2Un}^{1/4}} I_s',
\]
where $\sigma^2 = \sigma_\perp^2 + \sigma_\parallel^2$, $I_\theta$ is defined and computed in Eq. (E3), and
\[
I_s' = \int_0^\infty \sqrt{\sigma} d\sigma \left( 1 - \frac{\sigma}{\sqrt{\sigma^2 + 1}} \right)
\]
\[
= \left( \frac{2\sqrt{\sigma}}{3}(\sigma - \sqrt{1 + \sigma^2}) + \frac{1}{2} f_\epsilon(\sigma) \right) \bigg|_0^\infty
\]
\[
= \frac{1}{3} (f_\epsilon(\infty) - f_\epsilon(0)) \approx 1.23605,
\]
with \( f_\varepsilon(\sigma) \) is in Eq. (E5). We note that \( f_\varepsilon(\infty) \equiv \lim_{\sigma \to -\infty} f_\varepsilon(\sigma) = 0 \) and \( f_\varepsilon(0) \equiv \lim_{\sigma \to 0} f_\varepsilon(\sigma) \approx -3.70815 \), which lead to the last approximation in Eq. (G2).

As a result, the chemical potential for the helical superfluid in 2d is

\[
\mu = \frac{n}{U} \left[ 1 - \frac{\left( \frac{U^3}{B^2Kn} \right)^{1/4}}{32\pi^2} \right]. \tag{G3}
\]

In general, for a \( d \) dimensional system with a dispersion \( \varepsilon^{(l,m)}_q \) defined in Eq. (E8), the integral in Eq. (G1) can be generalized as

\[
I^{(l,m)} = \int_{-\infty}^{\infty} \frac{d^d q d^m q_\perp}{(2\pi)^{m+l}} \left( 1 - \frac{\varepsilon^{(l,m)}_q}{\sqrt{\left( \varepsilon^{(l,m)}_q \right)^2 + 2Un\varepsilon^{(l,m)}_q}} \right). \tag{G4}
\]

In terms of the dimensionless variables defined in Eq. (E9), the integral becomes

\[
I^{(l,m)} = \int_{-\infty}^{\infty} \frac{d^d \sigma d^m \sigma_\perp}{(2\pi)^{m+l}} \left( \frac{2Un^{(2+m)/4}}{\left( \prod_l B_l \prod_j^{m} K_j \right)^{1/2}} \times \prod_j^{m} K_j^{1/4} \left( 1 - \frac{\sigma}{\sqrt{\sigma^2 + 1}} \right) \right). \tag{G5}
\]

For the special case \( B_i = B \) and \( K_j = K \) for any \( i \) and \( j \), we have

\[
I^{(l,m)} \propto \frac{(2Un)^{(2l+m)/4}}{B^{l/2}K^{m/4}}. \tag{G6}
\]

Accordingly, in terms of the dimensionless quantity

\[
Q^{l,m} = \frac{(2Un^{1-m})^{(2l+m)/4}}{B^{l/2}K^{m/4}}, \tag{G7}
\]

the chemical potential is

\[
\frac{\mu}{U} = n \left[ 1 - T^{l,m} Q^{l,m} \right], \tag{G8}
\]

where \( T^{l,m} \) is a dimensionless \( O(1) \) constant fully determined by \( l \) and \( m \). For a \( d \)-dimensional smectic phase with \( l = 1 \) and \( m = d - 1 \), the dimensionless quantity

\[
Q^{1,d-1}_{\text{smectic}} = \left( \frac{U^{d+1}n^{d-3}}{B^{d/2}K^{d-1}} \right)^{1/4}. \tag{G9}
\]

We can check that with \( \text{dim}[U] = E, \text{dim}[n] = L^{-d}, \text{dim}[B] = EL^2 \), and \( \text{dim}[K] = EL^4 \) (\( E, L \) with dimensions of energy and length, respectively), \( Q^{1,d-1}_{\text{smectic}} \) is in fact dimensionless. On the other hand, in a columnar phase with \( l = d - 1 \) and \( m = 1 \), the dimensionless quantity is given by

\[
Q^{d-1,1}_{\text{columnar}} = \left( \frac{U^{d+1}n^{d-5}}{B^{d-2}K} \right)^{1/4}. \tag{G10}
\]

---

**Appendix H: \( \phi \) theory with \( \text{C}_6 \) lattice effects**

We start with the coherent state path integral formalism of the frustrated Bose-Hubbard model introduced in the beginning of Sec. V. In the density-phase representation (78), the Lagrangian (including the constant part) can be written as

\[
L = i \sum_{i,a=1,2} \pi_{i,a} \partial_t \phi_{i,a} + H_0 + H_{\text{int}}, \tag{H1}
\]

where the kinetic part

\[
H_0 = -2t_1n_0 \sum_{\langle i,j \rangle} \sqrt{1 + \frac{\pi_{i,1}^2}{n_0} + \frac{\pi_{j,2}^2}{n_0} + \frac{\pi_{i,1}\pi_{j,2}}{n_0^2}} \cos \left[ \theta_{k_0} + k_0 \cdot (r_{i,1} - r_{j,2}) + \phi_{i,1} - \phi_{j,2} \right] + 2t_2n_0 \sum_{a=1,2} \sum_{\langle i,j \rangle} \sqrt{1 + \frac{\pi_{i,a}^2}{n_0} + \frac{\pi_{j,a}^2}{n_0} + \frac{\pi_{i,a}\pi_{j,a}}{n_0^2}} \cos \left[ k_0 \cdot (r_{i,a} - r_{j,a}) + \phi_{i,a} - \phi_{j,a} \right] \tag{H2}
\]

and the interaction

\[
H_{\text{int}} = \frac{U}{2} \sum_i \left[ (n_0 + \pi_{i,1})^2 + (n_0 + \pi_{i,2})^2 - 2(n_0 + \pi_{i,1})^2 \right]. \tag{H3}
\]

For a bose condensate, we assume the fluctuations of \( \pi \) and \( \phi \) fields are small, and thereby expand in a power series,
which up to quadratic order in $\pi$ and $\phi$ is given by

$$H'_0 = -t_1 n_0 \sum_{(i,j)} e^{i\theta_k + ik_0 \cdot (r_{i,1} - r_{j,2})} \left[ 1 + \frac{\pi_{i,1}}{2n_0} + \frac{\pi_{j,2}}{2n_0} + i(\phi_{i,1} - \phi_{j,2}) - \frac{1}{8n_0^2} (\pi_{i,1} - \pi_{j,2})^2 - \frac{1}{2}(\phi_{i,1} - \phi_{j,2})^2 \right]$$

$$-i \frac{(\pi_{i,1} + \pi_{j,2})}{2n_0} (\phi_{i,1} - \phi_{j,2}) \right] + t_2 n_0 \sum_a \sum_{(i,j)} e^{ik_0 \cdot (r_{i,a} - r_{j,a})} \left[ 1 + \frac{\pi_{i,a}}{2n_0} + \frac{\pi_{j,a}}{2n_0} + i(\phi_{i,a} - \phi_{j,a}) \right]$$

$$-\frac{1}{8n_0^2} (\pi_{i,a} - \pi_{j,a})^2 - \frac{1}{2} (\phi_{i,a} - \phi_{j,a})^2 - i \frac{(\pi_{i,a} + \pi_{j,a})}{2n_0} (\phi_{i,a} - \phi_{j,a}) \right] + \frac{U}{4} \sum_{i,a} (n_0 + \pi_{i,a})^2 + \text{h.c..} \quad (H4)$$

To perform one-loop calculations, we include the cubic and quartic terms of $\phi$, given by

$$H'_1 = -\frac{t_1 n_0}{6} \sum_{(i,j)} e^{i\theta_k + ik_0 \cdot (r_{i,1} - r_{j,2})} \left[ -i(\phi_{i,1} - \phi_{j,2})^3 + \frac{1}{4}(\phi_{i,1} - \phi_{j,2})^4 \right]$$

$$+ \frac{t_2 n_0}{6} \sum_a \sum_{(i,j)} e^{ik_0 \cdot (r_{i,a} - r_{j,a})} \left[ -i(\phi_{i,a} - \phi_{j,a})^3 + \frac{1}{4}(\phi_{i,a} - \phi_{j,a})^4 \right] + \ldots + \text{h.c.} \quad (H5)$$

At this point, one can either directly take the continuum limit in the real space or go to momentum space to get an effective action. The calculation for the former is simpler but misses some contributions even at long length scale, while the latter is tedious but valid for all momentum. We discuss both methods below.

1. Taking continuum limit in the real space

To take the continuum limit, we consider the fields $\phi_{i,a}$ and $\pi_{i,a}$ on lattice sites as slowly-varying functions of continuous spacetime coordinates $(r, \tau)$ compared to the lattice constant. The time coordinate is already continuous. Therefore, the difference of two operators at distinct spatial locations can be expanded as

$$\phi(r_{i,a}) - \phi(r_{j,a'}) = \sum_{n=1}^{\infty} \frac{1}{n!} (\Delta r \cdot \nabla)^n \phi|_{r=r_{j,a'}} \quad (H6)$$

where $\Delta r = r_{i,a} - r_{j,a'}$ with $a$ and $a'$ label the sublattices. Below we focus on the case $k_0 = (0, k_0)$ while other cases can be derived following the same procedure. Including up to the second (first) order for the quadratic (cubic and quartic) term(s), the Hamiltonian density, defined by $\frac{1}{2\pi^3} \int d^3 r \mathcal{H}' = H'_0 + H'_1$, is given by

$$\mathcal{H}' \approx \Delta \left[ c(\partial_\parallel \phi) + c_\perp (\partial_\perp \phi)^2 \right] + B(\partial_\parallel \phi)^2 + K_{20}(\partial_\parallel^2 \phi)^2 + K_{02}(\partial_\perp^2 \phi)^2 + K_{11}(\partial_\parallel \phi)(\partial_\perp \phi)$$

$$+ B_{30}(\partial_\parallel \phi)^3 + B_{12}(\partial_\parallel \phi)(\partial_\perp \phi)^2 + B_{40}(\partial_\parallel \phi)^4 + B_{04}(\partial_\perp \phi)^4 + B_{22}(\partial_\parallel \phi)^2(\partial_\perp \phi)^2 + \frac{U}{2n_0^2} \pi^2, \quad (H7)$$

where $\Delta k_0 = 1 - |\Gamma_{k_0}/|\Gamma_{k_0}|$ with $k_0$ satisfying Eq. (14),

$$c = 12t_2 \sin(3k_0/2), \quad c_\perp = 3t_2^2 [2 + \cos(3k_0/2)], \quad B = 4t_2 [1 - \cos(3k_0/2)], \quad B_{30} = B_{12} = 3t_2 \sin(3k_0/2),$$

$$K_{20} = \frac{t_2}{24} [(-5 + 32 \cos(3k_0/2)), \quad K_{02} = \frac{9t_2}{8}, \quad K_{11} = \frac{3t_2}{4} [(1 + 4 \cos(3k_0/2)],$$

$$B_{40} = \frac{t_2}{4} [(-5 + 32 \cos(3k_0/2)], \quad B_{04} = \frac{9t_2}{8}, \quad B_{22} = \frac{3t_2}{4} [(-1 + 4 \cos(3k_0/2)], \quad (H8)$$

and the constant and linear in $\pi$ terms are dropped. In the above, the $\rho$ dependence is hidden in $k_0(\rho)$ and $t_2 = t_1 \rho$. By integrating over $\pi$, we obtain the zeroth-order Lagrangian density, (90), with the parameters (H8), however, not satisfying the relation $B_{lm}^{(0)} = B_{lm}^{(0)}$. This inconsistency is due to the $\theta_{k_0}$ factor in Eq. (H2), or more generally the two-band nature of the model, which invalids the simple continuum limit above that neglects the spatial variation between the two sublattice sites within a unit cell, as discussed in more details below.
2. Continuous effective theory in the momentum space

Alternatively, We can Fourier transform the Hamiltonian $H_0'$ and $H_1'$ followed by a change of basis defined as

$$
\begin{pmatrix}
\pi_{q,1} \\
\pi_{q,2} \\
\phi_{q,1} \\
\phi_{q,2}
\end{pmatrix} = \frac{1}{2} \begin{pmatrix}
U_q + U^*_q & i n_0(U_q - U^*_q) \\
-i \frac{1}{n_0} (U_q - U^*_q) & U_q + U^*_q
\end{pmatrix} \begin{pmatrix}
\pi_{q,+} \\
\pi_{q,-} \\
\phi_{q,+} \\
\phi_{q,-}
\end{pmatrix},
$$

(H9)

where the subscripts 1, 2 label the two sublattices and ± denote the two bands as in the main text. The unitary matrix above is given by

$$
U_q = \frac{1}{\sqrt{2}} \begin{pmatrix}
\exp(i \frac{\theta_{k_0} + q - \theta_{k_0}}{2}) & \exp(i \frac{\theta_{k_0} + q - \theta_{k_0}}{2}) \\
-\exp(-i \frac{\theta_{k_0} + q - \theta_{k_0}}{2}) & \exp(-i \frac{\theta_{k_0} + q - \theta_{k_0}}{2})
\end{pmatrix}.
$$

(H10)

At quadratic order, the action for the lower band is given by

$$
S_0 = \frac{1}{2} \sum_q \begin{pmatrix}
\pi_{q,-} \\
\phi_{q,-}
\end{pmatrix} G^{-1}_0(q, \omega_n) \begin{pmatrix}
\pi_{q,-} \\
\phi_{q,-}
\end{pmatrix},
$$

(H11)

where

$$
G^{-1}_0(q, \omega_n) = \left( \frac{\mathcal{E}_q}{(2n_0)} + \frac{U_1 + \cos \theta_{k_0}}{2} \omega_n - i \mathcal{E}_{q,2} \right) + \frac{\omega_n + i \mathcal{E}_{q,2}}{\epsilon_{q,1}^2 + 4n_0^2 U_1 + \cos \theta_{k_0}}.
$$

(H12)

In the above, we consider the weakly-interacting limit and can thereby drop the upper band contributions which only give $O(U n_0/t_1)$ corrections to the low energy physics. Before computing higher-order terms, we have two comments in order.

Firstly, we note the quadratic action reproduces the same Bogoliubov quasiparticle spectrum in Eq. (24). Technically, both the density-phase representation (78) and the Bogoliubov approximation (17) share the same classical background field, which in real space is given by $\sqrt{n_0} e^{\pm i \frac{k_0 + i \mathbf{q}}{2 \pi} \phi_{r,1} \phi_{r,2}}$ with the + and − factors for the sites in the 1 and 2 sublattices respectively. The fluctuations around the condensate are given by $\pi$ and $\phi$ in Eq. (78) or $d_-$ in Eq. (17). An expansion of the former representation gives linear terms of $\pi$ and $\phi$ that takes the same form as the real and imaginary parts of $d_-$ in the latter representation, and thus both give the same form of the quadratic action. While the higher-order terms which modifies the $S_0$ in Eq. (H11) do not have a simple relation compared with higher-order terms which corrects Eq. (19), they should describe the same physics.

Secondly, as shown above, an accurate calculation gives $B$ and $K$ identical with the ones from Bogoliubov theory in Eq. (28). In Appendix H1, fields on the two sites within a unit cell are considered to be the same, i.e., $\phi_{r,1} \approx \phi_{r,2} \approx \phi_r$. This is equivalent to approximate the transformation in Eq. (H9) with $U_q \approx U_0$, which gives $\phi_{q,1} \approx \phi_{q,2} \approx \frac{1}{\sqrt{2}} \phi_{q,-}$. Therefore, the discrepancy between $B$ and $K$ in (H8) and the accurate ones in Eq. (B2) comes from the definition of the fields, which by inspection gives a factor of 1/2 via the relation $\phi_q = \frac{1}{\sqrt{2}} \phi_{q,-}$, and the difference between $U_q$ and $U_0$. The latter can be neglected in the limit $k_0 \to 0$, where the difference is of higher-order in $q$.

The calculations for the nonlinear terms are quite tedious. Especially, it is hard to simplify the expressions after the transformation in Eq. (H9). Here, we employ the same approximation $\phi_{q,1} \approx \phi_{q,2} \approx \phi_q$ to derive below the cubic and quartic terms in $\phi$.

$$
S_1 \approx - \frac{in_0}{\sqrt{V}} \sum_{q,q'} \Gamma_3(q) \phi_q \phi_q \phi_{-q} \phi_{-q'} + \frac{n_0}{12V} \sum_q \left[ -4 \Gamma_4(q_1) + 3 \Gamma_4(q_1 + q_2) \right] \phi_{q_1} \phi_{q_2} \phi_{q_3} \phi_{-q_1-q_2-q_3},
$$

(H13)

where

$$
\Gamma_3(q) = - t_1 \text{Re} \left[ (\Gamma_{k_0+q} - \Gamma_{k_0-q}) e^{-i \phi_{k_0}} + 4 \rho \sum_\alpha \sin (k_0 \cdot \nu_\alpha) \sin (q \cdot \nu_\alpha) \right]
$$

$$
\Gamma_4(q) = - 2t_1 \text{Re} \left[ \left( \frac{\Gamma_{k_0+q} + \Gamma_{k_0-q}}{2} - \Gamma_{k_0} \right) e^{-i \phi_{k_0}} - 2 \rho \sum_\alpha \cos (k_0 \cdot \nu_\alpha) \left[ 1 - \cos (q \cdot \nu_\alpha) \right] \right],
$$

(H14)

where an expansion of $\Gamma_3$ and $\Gamma_4$ in small $q$ together with a Fourier transform back to the real space reproduce the nonlinear terms in the field theory (H7) with the same coefficients obtained earlier (H8).
Appendix I: One-loop calculation of $b_\perp$

Here we calculate the perpendicular curvature $b_\perp = \frac{1}{2N_0}\partial^2_{k_\perp} \Omega(k_0)$ at one-loop order. By expanding the condensate momentum $k_0 = k_0^{(0)} + k_0^{(1)}$ around its mean-field value $k_0^{(0)}$ and keeping the leading-order terms, we get

$$b_\perp^{(1)} = \frac{1}{2N_0} \partial_{k_\parallel} \partial_{k_\perp} \Omega^{(0)}(k_0^{(0)}) - \frac{1}{2N_0} \partial_{k_\parallel} \partial_{k_\perp} \Omega^{(1)}(k_0^{(0)}), \quad k_\perp^{(1)} = -\frac{\partial_{k_\parallel} \Omega^{(1)}(k_0^{(0)})}{\partial^2_{k_\parallel} \Omega^{(0)}(k_0^{(0)})}, \quad (I1)$$

where $k_0^{(1)}$ can be determined by $\nabla_{k_0} \Omega(k_0) = 0$ and

$$\partial_{k_\parallel} \Omega^{(1)}(k_0^{(0)}) = \frac{1}{2} \sum_q \coth(\beta E_q/2) \partial_{k_\parallel} E_q$$

$$\partial^2_{k_\parallel} \Omega^{(1)}(k_0^{(0)}) = \sum_q \left[ \coth(\beta E_q/2) \partial_{k_\parallel}^2 E_q - \frac{\beta}{2 \sinh^2(\beta E_q/2)} (\partial_{k_\perp} E_q)^2 \right]. \quad (I2)$$

In the absence of interaction, $\partial_{k_\parallel} E_q = \partial_{q_\parallel} E_q$ and $\partial^2_{k_\parallel} E_q = \partial^2_{q_\parallel} E_q$. Accordingly, the integrands in $\partial_{k_\parallel} \Omega^{(1)}(k_0^{(0)})$ and $\partial^2_{k_\parallel} \Omega^{(1)}(k_0^{(0)})$ are total derivatives, which makes $\partial_{k_\parallel} \Omega^{(1)}(k_0^{(0)}) = \partial^2_{k_\parallel} \Omega^{(1)}(k_0^{(0)}) = 0$ and subsequently $b_\perp^{(1)} = 0$.

In the presence of weak interaction, the dispersion deviates from the noninteracting form within a crossover scale $q_c = (q_{\parallel c}^c, q_{\perp c}^c) = (\xi^{-1}, (\lambda \xi)^{-1/2})$. Therefore, the integrals (I2) are dominated by the region $q < q_c$. In this small $U$ limit, we obtain $b_\perp^{(1)} = b_{0\perp} f_b(Un_0/T)$ by using change of variables $q_{\parallel}^c = \xi q_{\parallel}$ and $q_{\perp}^c = (\lambda \xi)^{1/2} q_{\perp}$ in Eq. (I2), where $b_{0\perp} = (Un_0)^{5/4} t_1^{-1/4} g_b(\rho)$ with $g_b(\rho)$ a dimensionless $O(1)$ constant and the scaling function $f_b$ is defined in Eq. (89).

Appendix J: One-loop calculation of $B_\perp$

A complete one-loop calculation should give the perpendicular stiffness of $\phi$ equals to the corresponding curvature in the thermodynamic potential, i.e., $B_\perp^{(1)} = b_\perp^{(1)}$, with $b_\perp^{(1)}$ being calculated in Appendix I. Here, we instead perform an approximate calculation of $B_\perp^{(1)}$ in the long-wavelength limit, where the Lagrangian (90) is valid. Specifically, we consider the leading derivative terms and thereby only keep $U \pi^2$ for the $\pi$ field, which is enabled by an $U$-dependent UV cutoff $\Lambda_U$ defined by $E_q < O(1) \times Un_0$, which in the weakly-interacting limit reduces to $q < O(1) \times q_c$. Although such calculation neglects the range of integration $q > q_c$, the contribution to $B_\perp$ in this range is actually very small as seen in the calculation of $b_\perp^{(1)}$ in Appendix I that takes into account all momentum. Therefore, the integral of $B_\perp^{(1)}$ or $b_\perp^{(1)}$ actually self regulates at $q_c$ in the weakly-interacting limit.

1. Long-wavelength limit

We consider the low-energy $\phi$-only theory (90), where the bare Green function is given by ($k_B = 1$)

$$G_{0,\phi}(q) = \langle \phi_{-\mathbf{q}} \phi_{\mathbf{q}} \rangle_0 = \frac{1}{2n_0 B_\pi \omega_n^2 + \mathcal{E}_q}, \quad (J1)$$

where $\mathcal{E}_q = Bq_{\parallel}^2 + K_{20}q_{\perp}^4 + K_{02}q_{\perp}^4 + K_{11}q_{\parallel}^2 q_{\perp}^2$ and $B_\pi = 1/2Un_0$.

We first calculate the coefficient of $\partial_{\parallel} \phi$, which corresponds to the slope of the thermodynamic potential at $k_0$ along the $\parallel$ direction. At one-loop order, it is given by

$$\bullet + \bigodot = n_0 C \Delta_{k_0} + \frac{B_{12}}{2} \int_{q}^{\Lambda_U} 3q_{\parallel}^2 + q_{\perp}^2 \frac{B_\pi \omega_n^2 + \mathcal{E}_q}{B_\pi \omega_n^2 + \mathcal{E}_q}, \quad (J2)$$

where the first term is the zeroth-order contribution that vanishes at $k_0 = \bar{k}_0$ and $\int_{q}^{\Lambda_U} = \frac{1}{\beta N} \sum_q \omega_n$. With the one-loop correction, $k_0$ needs to be shifted such that the coefficient of $\partial_{\parallel} \phi$ is zero again, which assures $k_0$ is located at the local minimum of the loop-corrected thermodynamic potential with vanished first derivative. In the above, the tadpole diagrams are dropped as they cancel out up to all orders when choosing the correct $k_0$. 


Next we calculate $B_\perp$, which at one-loop order is given by
\begin{equation}
B^{(1)}_\perp = c_1 n_0 \Delta k_0 + \frac{1}{8} \int_q \frac{B_{22} q_{\parallel}^2 + 6 B_{04} q_{\perp}^2}{B_n n_0 + E_q} - \frac{B_n^2}{12} \int_q \frac{q_{\parallel}^2 q_{\perp}^2}{(B_n n_0 + E_q)^2}
= - \frac{c_1 B_{12}}{2c} \int_q \frac{3q_{\parallel}^2 + q_{\perp}^2}{B_n n_0 + E_q} + \frac{1}{8} \int_q \frac{B_{22} q_{\parallel}^2 + 6 B_{04} q_{\perp}^2}{B_n n_0 + E_q} - \frac{B_n^2}{12} \int_q \frac{q_{\parallel}^2 q_{\perp}^2}{(B_n n_0 + E_q)^2},
\end{equation}
where in the last line we choose $k_0$ at which the linear term $\partial_\phi \phi$ vanished. In the above, the arguments of the coefficients are taken to be their mean-field value $k_0 = k_0$ as the difference is of higher order. With a change of variables $q_{\parallel} = \xi q_0$ and $q_{\perp} = (\lambda \xi)^{1/2} q_{\perp}$, we can rewrite the expression above that in the weakly-interacting limit is given by
\begin{equation}
B^{(1)}_\perp \approx - \frac{c_1 B_{12} B_{12}}{4 \xi(\lambda \xi)^{3/2}} \int_q \frac{q_{\parallel}^2}{\omega_{\perp}^2 + q_{\parallel}^2 + q_{\perp}^4} + \frac{3 B_{04} B_{12}}{4 \xi(\lambda \xi)^{3/2}} \int_q \frac{q_{\parallel}^2}{\omega_{\parallel}^2 + q_{\parallel}^2 + q_{\perp}^4} - \frac{B_n^2}{12} \frac{q_{\parallel}^2 q_{\perp}^2}{\xi^2(\lambda \xi)^{3/2}} \int_q \frac{q_{\parallel}^2 q_{\perp}^2}{(\omega_{\perp}^2 + q_{\parallel}^2 + q_{\perp}^4)^2} = \frac{3 B_{04} - c_1 B_{12} c}{8 \xi(\lambda \xi)^{3/2}} \int_q \frac{q_{\parallel}^2}{E_q} \coth \left( \frac{U n_0 E_q'}{T} \right) - \frac{B_n B_{12}^2}{2 \xi^2(\lambda \xi)^{3/2}} \int_q \frac{q_{\parallel}^2 q_{\perp}^2}{2 E_q^4} \left[ \coth \left( \frac{U n_0 E_q'}{T} \right) + \frac{U n_0 E_q'}{T} \mathrm{csch}^2 \left( \frac{U n_0 E_q'}{T} \right) \right],
\end{equation}
where $\omega_n = B_n n_0 = \pi n T / U n_0$ (here $n$ is an integer, not confused with the particle density), $E_q' = q_{\parallel}^2 + q_{\perp}^4$ and the UV cutoff $\Lambda$ indicates $q' = (q_{\parallel}', q_{\perp}') < (O(1), O(1))$. In the last line above, we can read $B^{(1)}_\perp = B_{01} f_B (U n_0 / T)$, where the dimensionless scaling function $f_B$ exhibits the same limits as $f_B$ in Eq. (89) and $B_{01} = (U n_0)^{5/4} \lambda^{1/4} g_B (\rho)$ with $g_B (\rho)$ a dimensionless $O(1)$ constant.

2. Isotropic limit

In the isotropic limit $\rho \to 1/6$ or $k_0 \to 0$, the coefficients $K = K_{20} = K_{02} = K_{11}/2, B / k_0^2 = B_{30} / k_0 = B_{12} / k_0 = B_{10} = B_{04} = B_{22}/2$ and $c = 2 k_0 c_1$. Consequently, $B^{(1)}_\perp$ in the last line of Eq. (J3) vanishes
\begin{equation}
B^{(1)}_\perp = - \frac{B}{4 k_0^2} \int_q \frac{3q_{\parallel}^2 + q_{\perp}^2}{B_n n_0 + E_q^4} + \frac{B}{4 k_0^2} \int_q \frac{q_{\parallel}^2 + 3q_{\perp}^2}{B_n n_0 + E_q^4} - \frac{B_n^2}{k_0^2} \int_q \frac{q_{\parallel}^2 q_{\perp}^2}{(B_n n_0 + E_q^4)^2} = 0,
\end{equation}
where we used the following integration by part for the last term
\begin{equation}
\int_q \frac{q_{\parallel}^2 q_{\perp}^2}{(B_n n_0 + E_q^4 + K q^4)^2} = \int_0^\infty dq \int_0^{2 \pi} d\theta \frac{q^4 \cos^2 \theta \sin^2 \theta}{[B_n n_0 + B q^2 \cos^2 \theta + K q^4]^2} = \frac{1}{2 B} \int_0^{2 \pi} d\theta \frac{q^2 \sin^2 \theta - \cos^2 \theta}{B_n n_0 + B q^4 \cos^2 \theta + K q^4} = \frac{1}{2 B} \int_0^{2 \pi} d\theta \frac{q^2 - q^2}{B_n n_0 + B q^4 + K q^4}.
\end{equation}
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