The voltage dip and doubly fed induction generator with considering uncertainty conditions

Zahra Rafiee, Mansour Rafiee, Mohammad Reza Aghamohammadi
Faculty of Electrical Engineering, Shahid Beheshti University, Daneshju Blvd, Velenjak, Tehran, 1983969411, Iran

ABSTRACT
The paper presents the transient behavior of the doubly fed induction generator (DFIG) in the wind turbine (WT) in the normal and voltage dip condition. When voltage dip occurs in to the grid, the rotor current increases and the DC-link voltage increases too and start to oscillate. In this paper, the proportional integral (PI) controllers are used to control the DFIG-based wind farms for regulating the electronic devices including rotor side converter (RSC) and grid side converter (GSC) to control the active and reactive power of DFIG. The PI parameters are tuned by imperialist competitive algorithm (ICA). So, the transient behaviour of the DFIG-based WF is explored when the voltage dip occurs. Hence, the induced electric motive force in to the rotor is measured. Also, an existed uncertainty for mutual inductance is considered caused by saturated curve during three-phase fault conditions and the behaviour of DFIG-based WT is examined and analyzed. All of simulation is done by Matlab/Simulink®.
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Corresponding Author:
Zahra Rafiee,
Faculty of Electrical Engineering,
Shahid Beheshti University,
Daneshju Blvd, Velenjak, Tehran, 1983969411, Iran.
Email: z RAFIEE@sbu.ac.ir

1. INTRODUCTION
Wind energy is growing fast for generating electricity because it is an important source of renewable energy resources [1]. With increasing penetration level of wind energy into the grid, wind turbine should remain connected to the grid to support the stability during various grid fault scenarios based on grid codes’ requirement [2]. The ability of the wind turbines to stay connected to the network during the voltage dips is called the low voltage ride through (LVRT) [3]. DFIG is one of the important generator used in the wind farm but it is very sensitive to the grid disturbances [4].

When a voltage dip occurs in the DFIG-based WT, two major issues are happened in the DFIG-based WT. The first one is the DC-link overvoltage, and the second one is rotor and stator over-current. Both of them can be supplied the excessive energy which cannot be transmitted into the electrical grid during voltage dip. The power electronics converters in the DFIG-based WT can’t undergo overcurrents and overvoltages because they have a relatively low power rating. Also, the DC-Link capacitor is damaged when the overvoltage occurs. Hence, studying the LVRT capability of the DFIG-based WT is very requirement [5]. For the purpose of transient analysis of the DFIG, the passive LVRT capability method has been developed. Therefore, dynamic modelling of the DFIG has been needed. Additionally, for transient stability enhancement, the LVRT is improved by a Demagnetization Current Controller [6].

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Many of research activities have focused on the impact of the disturbances on the DFIG-based WT in the fault conditions. So, they have proposed using a DC chopper to smooth DC link overvoltage and a crowbar resistor to suppress rotor overcurrent as the first solution in VD conditions [7]. When a fault occurs the conventional crowbar causes the rotor of the DFIG becomes short circuit, as it is installed across the rotor terminals. Consequently, the rotor is blocked and the DFIG begins absorbing reactive power from the grid. But the new model of the crowbar resistance only limits the current during the fault using the power electronic devices and new methods of control [8]. Some researchers present devices which absorb DC link overvoltage [9, 10].

While the crowbar resistance and DC chopper are necessary for the protection systems, the usage of the energy storage system and the fault current limiters can increase the costs and decrease the system reliability [11]. Also, some researchers have designed more advanced control strategies for the RSC and the GSC [12], though some of these algorithms are too complicated and control parameters must choose precisely and properly. For example, in [13] has been proposed a control strategy to maximize the wind energy captured in a DFIG, at low to medium wind speeds. The robust nonlinear controller using the Hamiltonian controller in the presence of disturbances for the DFIG is proposed in [14]. To avoid dealing with the zero dynamic limitation brought by the bidirectional power flow through the RSC and the GSC in a DFIG, a new energy-based modeling and control scheme for the GSC is proposed in [15]. The other auxiliary hardware for LVRT enhancement such as a stator dynamic composite fault current limiter in the stator [16], a super capacitor energy storage system connected to the point of common coupling (PCC) [17], a bridge type fault current limiter connected to the PCC [18], a STATCOM connected to PCC [19], DC-link switchable resistive-type fault current limiter [20], a dynamic voltage restorer [21], a new stator-damping resistor unit and rotor current control [22], an active crowbar protection in the rotor [23], and etc. Moreover, using hardware strategies actually installs extra hardware in the DFIG and can increase the costs and may reduce the reliability of the system.

This paper presents effects a voltage dip event on the rotor and stator of the DFIG. Then, it proposes a very simple control strategy using PI controllers to regulate and drive the RSC and GSC. So, to achieve to decrease the rotor over current and DC link over voltage through the VD conditions, PI controllers’ parameters, using the ICA are tuned and optimized. The uncertainty in DFIG parameters such as mutual inductance and saturated curve is also considered for DFIG in VD condition and the effects of it analyzed. This paper is organized as follows: in section II, the modelling of the DFIG-based WT is introduced. In section III, the transient behaviour of DFIG in VD condition is examined. In section IV, the simulation results by MATLAB/SIMULINK® are shown to validate the proposed method.

2. DFIG-BASED WIND FARM MODEL

It is necessary to examine the exchange of the active and reactive power between a DFIG-based wind farm and an electrical network using a precise model. The schematic diagram of a DFIG-based wind farm connected to the grid is shown in Figure 1. The DFIG-based WT, including the doubly fed induction generator, Three-bladed wind turbine, the back-to-back converters (GSC and RSC), the drive train, and the control systems, are connected to the network through a three-phase transformer. The control system consists of three control parts including the WF control, the WT control, and the DFIG control. The WT part controls the mechanical power of the wind turbine through the pitch angle and generates the reference value of the rotor speed of the DFIG based on the measured wind speed and the reference value for active power tuned by Transmission System Operator (TSO) [24]. The DFIG control part, including the RSC and GSC controllers, and the voltage regulator for the DC-link control the active and reactive power of the DFIG using the PI controllers. This study has used the DFIG-based wind farm connected to the infinite bus for explaining the transient behavior of the DFIG-based wind farm in a voltage sag event. The single line diagram of the test system is shown in Figure 1. The parameters of the DFIG based WT are listed in Appendix.

![Figure 1. The single line diagram of the test system](image-url)
3. THE TRANSIENT BEHAVIORS OF DFIG

DFIG is highly sensitive to changes in its terminal voltage. Generally, when a disturbance occurs in the network causing a VD at the DFIG terminal, if the necessary actions are not taken, the following problems can arise:

− Stator flux fluctuations
− Increase in the electromotive force in the rotor winding
− Increase in the rotor current
− Increase in the DC link voltage
− Swing in torque and speed

In order to provide a better physical understanding of the DFIG behavior during a VD condition, some of the above phenomena are investigated analytically as follows.

3.1. The increase in the electromotive force in the rotor winding

In this section, the behavior of the stator flux fluctuation and the induced electromotive force in the rotor winding is investigated analytically and corresponding equations are derived for two cases including normal and VD conditions.

3.1.1. Normal condition

By reducing DFIG terminal voltage, the stator flux changes and large electromotive force (about 3 to 4 times the nominal value) is induced in the rotor winding. This phenomenon can be explained as follows. 1 and 2 show the relationship between fluxes, currents and voltages of the stator and rotor windings.

\[
\lambda_s = L_s i_s + L_m i_r 
\]

\[
\lambda_r = L_m i_s + L_r i_r 
\]

\[
u_s = R_s i_s + \frac{d\lambda_s}{dt} 
\]

\[
u_r = R_r i_r + \frac{d\lambda_r}{dt} 
\]

Using (1), the stator current can be expressed as follows:

\[
i_s = \frac{\lambda_s}{L_s} - \frac{L_m}{L_r} i_r/L_s 
\]

Substituting (5) in (2) gives the following for rotor flux:

\[
\lambda_r = L_m \left(\frac{1}{L_s} \lambda_s - \frac{L_m}{L_s} i_r \right) + L_r i_r - \frac{L_m}{L_s} \lambda_s + L_r \left(1 - \frac{L_m^2}{L_s L_r}\right) i_r 
\]

\[
\lambda_r = L_m \lambda_s^L/L_s + (\sigma_r L_m^L i_r) 
\]

where \((1 - \frac{L_m^2}{L_s L_r})\) is defined as rotor leakage coefficient \(\sigma_r\). Derivative of (7) yields (8).

\[
\frac{d\lambda_r}{dt} = \frac{L_m}{L_s} \frac{d\lambda_s}{dt} + (\sigma_r L_m^L) \frac{di_r}{dt} 
\]

By expressing (4) in the stator reference frame, and substituting (8) into (4), it yields:

\[
u_r^S = R_r i_r^S + \frac{d\lambda_r^S}{dt} = \frac{L_m}{L_s} \frac{d\lambda_s^S}{dt} + \left(R_r + \sigma L_m d\right) i_r^S 
\]

In (9), the first term refers to the induced voltage in the rotor winding due to the stator flux fluctuations which is denoted as \(\text{EMF}_r^S\).
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\[ EMF_r^s = \frac{L_m}{L_s} \frac{d j \omega_f^s}{dt} \quad (10) \]

By substituting the stator voltage phasor \( u_s = V_s e^{j \omega t} \) in (3) and neglecting the stator resistance we obtain:

\[ V_s e^{j \omega t} = u_s = R_s i_s + d \lambda_s / dt \approx d \lambda_s / dt \quad (11) \]

By expressing (11) in the stator reference frame, and integrating of stator voltage phasor it yields:

\[ \lambda_s^r = \int [u_s dt] = \int [V_s e^{j \omega t} dt] = V_s e^{j \omega t} / j \omega_e \quad (12) \]

By expressing (12) in the rotor reference frame, the stator flux in the rotor winding can be derived as follows:

\[ \lambda_s^r = V_s e^{j \omega t} e^{-j \omega_e t} / j \omega_e = V_s e^{j (\omega_e - \omega) t} / j \omega_e = V_s e^{j \omega_e t} / j \omega_e \quad (13) \]

By expressing (10) in the rotor reference frame, it will yield the following:

\[ EMF_r^r = \frac{L_m}{L_s} \frac{d \lambda_s^r}{dt} = \frac{L_m}{L_s} d \left( \frac{V_s e^{j (\omega_e - \omega) t}}{j \omega_e} \right) = \frac{L_m}{L_s} V_s e^{j \omega_e t} = \frac{L_m}{L_s} V_s e^{j \omega t} \quad (14) \]

Generally, at normal conditions, slip (s) varies between -0.3 and +0.3 yielding a low value for \( EMF_r^r \)

### 3.1.2. Voltage dip condition

In this section, the effect of a symmetrical VD condition on the rotor induced EMF is investigated. For evaluating the changes in the stator flux due to a VD at the PCC, the rotor is assumed to be open-circuit and the impact of the rotor current on the stator flux is neglected. By combining (1) and 3 for stator flux and neglecting rotor current \( i_r = 0 \) and representing stator voltage \( u_s \) by phasor, (15) will be obtained:

\[ \frac{d}{dt} \lambda_s^r + \frac{R_s}{L_s} \lambda_s^r = u_s = V_s (1 - g) e^{j \omega_e t} \quad (15) \]

By integrating (15) in the stator reference frame, (16) will be obtained.

\[ \lambda_s^r = \frac{V_s (1 - g) j \omega_e t}{j \omega_e} + \frac{V_s g e^{-t / \tau_s}}{j \omega_e} \quad (16) \]

where \( \tau_s = L_s / R_s \) is the time constant of the stator winding and \( g \) refers to the VD percentage. The first and second terms of (16) represent the positive sequence and DC component of the stator flux respectively. The stator flux in the rotor reference frame can be expressed as follows:

\[ \lambda_s^r = \left( \frac{V_s (1 - g) j \omega_e t}{j \omega_e} + \frac{V_s g e^{-t / \tau_s}}{j \omega_e} \right) e^{-j \omega_e t} = \frac{V_s (1 - g) j \omega_e t}{j \omega_e} e^{-t / \tau_s} + \frac{V_s g}{j \omega_e} e^{-t / \tau_s} \quad (17) \]

When the voltage at the PCC decreases due to a symmetrical fault in the network, the induced electromotive force in the rotor reference frame \( EMF_r^r \) is derived from (17):

\[ EMF_r^r = \frac{L_m}{L_s} d \left( \frac{V_s (1 - g) j \omega_e t}{j \omega_e} e^{-t / \tau_s} - V_s g (1 - g) e^{-t / \tau_s} \right) / L_s \quad (18) \]

By neglecting \( 1 / \tau_s \), (18) can be simplified as:

\[ EMF_r^r = \frac{L_m}{L_s} \left[ \int V_s (1 - g) j \omega_e t e^{-t / \tau_s} - V_s g (1 - g) e^{-t / \tau_s} - \frac{L_m}{L_s} V_s e^{j \omega t} \right] / L_s \quad (19) \]

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From (19), it can be seen that at the initial moments of the fault \( t=0 \), the induced \( EMF_r^T(0) \) is relatively large due to the DC offset in the flux. For example, for \( s=-0.2 \) and \( g=1 \), the value of the \( EMF_r^T(0) \) becomes \( 1.2V_s L_m / L_s \) in the initial moments, which is 6 times the normal value. Also, (19) shows that the induced EMF depends on the speed of the generator, the amount of VD, and the stator voltage before VD. Therefore, the initial value of the induced EMF immediately after a VD can be evaluated as follows:

\[
EMF_r^T(0) = \frac{L_m}{L_s} [sV_s (1-g) + V_s g (1-s)]
\]

(20)

3.2. Analytical analysis of the flux and induced EMF during VD

In this section, by using analytical equations derived for DFIG, the variation of stator flux and induced EMF are illustrated. For this purpose, a 2.5 MW, 690 volts DFIG is used and by solving (17) and (18), the variation of the stator flux and induced EMF are obtained. In this study, at \( t=200 \) ms, when the slip is -0.2, a three-phase VD (\( g=0.9 \)) occurs. Figure 2(a) and 2(b) show the stator flux fluctuations in the stator and rotor reference frames respectively. Figure 2(c) shows the induced EMF variation in the rotor due to stator flux fluctuation. The variation of the induced EMF in the rotor at the initial moment of a VD event with respect to VD\% and slip is illustrated in Figure 2(d). As it can be seen, the maximum EMF is induced when \( g=1.0 \) and \( s=-0.3 \), which refers to a higher speed of DFIG.

![Figure 2](image_url)

Figure 2. (a) Stator flux fluctuations in the stator reference frame, (b) Stator flux fluctuations in the rotor reference frame, (c) The induced EMF in the rotor winding due to stator flux fluctuation, and (d) The variation of the EMF at the initial moment of VD event with respect to slip and voltage dip

4. THE PRINCIPLE OF PI CONTROL PROPOSED METHOD

4.1. Proposed control method for studying the the saturation curve

In this approach, it has been used the six PI controllers for driving and controlling of the DFIG-based WF. PI controllers have set very simple, two PI controllers for RSC, 3 PI controllers for GSC and one PI controller for driving the speed of the rotor. Figure 3 shows the block diagram of the proposed control method for DFIG-based WT. For tuning the PI parameters the ICA has been used that it has been done in steady-state condition and transient behaviour [25, 26]. The innovation used in this paper is the use of six PI controllers without any extra hardware strategy which causes to increase cost. Also, proposed control method is very simplicity and decreases the calculation content.
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5. RESULTS AND DISCUSSIONS

For finding the influences of the saturation curve of the DFIG, a three-phase fault occurs into the grid causing a voltage dip in PCC of the DFIG. Considered value for VD is 0.9 per unit and it takes 300 milliseconds and occurs in t=0.5s. Finally, the DFIG response to VD condition has been examined using two scenarios including (a) saturation curve (WSC) and (d) without using saturation curve (WoSC) in which the mutual inductance equals to 2.9 p.u. Figure 4(a) presents the variation of the objective function in terms of the iteration and Figure 4(b) shows the saturation curve of DFIG of $L_m$.

In the steady state condition, according to saturation curve, $L_m$ equals to 2.9 p.u but during 90% VD, it is equal to 3.7 p.u.

Figure 3. The proposed control method DFIG-based wind farm connected to infinite bus.

Figure 4. (a) Objective function curve, (b) saturation curve

Figure 5 shows the DFIG-based wind turbine response under 90% symmetric three-phase VD. As depicted in Figures 5(a), when VD occurs the oscillation of active power is disappeared after 0.625s using WoSC and 0.67s using WSC. As shown in Figures 5(b), during VD, the oscillation of the reactive power of the DFIG is vanished after 0.69s using WoSC and 0.6s using WSC. As depicted in Figures 5(c), using WSC, the increase of the PCC voltage is equal to 0.6553 p.u and the oscillation is finished at 0.69s, while by using WoSC, the PCC voltage is 0.6875 p.u and the oscillation is finished at 0.563 p.u. The fluctuation of the $q$-axis of the rotor current in synchronous reference frame depicted in Figures 5(d) is vanished at 0.5625s using WoSC and 0.65s using WSC, but in these two scenarios, the $d$-axis of the rotor current does not have fluctuation when VD occurs. The fluctuation of the $q$-axis of the stator current is depicted in Figures 5(e) is vanished at 0.5625s using WoSC and 0.625s using WSC, but in these two scenarios, the $d$-axis of the rotor current has a low fluctuation when VD occurs. As can be seen in Figures 5(f), the machine speed does not have the sensitive differences in the mentioned scenarios.

Depending on the results, when using WSC, the fluctuations of the variables of the DFIG-based WT increase because when the VD occurs, on the basis of the saturation curve, the $L_m$ varies and increases.
The values of $L_m$ create an uncertainty when the VD happens. So, it needs to use the robust controllers in which the uncertainties such as saturation curve, wind speed, or variations of machine variables can not influence on them. In addition, with regard to the results in Figure 5(c), the $L_m$ value affects on the increase of voltage profile of the PCC during the VD condition and decreases it.

![Figure 5](image-url)

**Figure 5.** (a) The active power, (b) the reactive power, (c) the PCC voltage, (d) the d and q-axis rotor current, (e) the d and q-axis stator current, and (f) the machine speed of the DFIG without and with saturation curve under 90% VD condition

### 6. CONCLUSIONS

In this research, the transient behaviour of the DFIG under VD condition is examined. Depending on the results, when the slip of machine is maximum and the machine works on the super-synchronous speed,
the induced EMF is maximum. Also, with considering the uncertainty in the mutual inductance, using saturation curve, the variables of the DFIG in response to VD condition have more oscillations. Also, under VD condition using saturation curve, the values of the voltage profile in the PCC decreases. So, it needs to use the robust controllers in which the uncertainties such as saturation curve, wind speed, or variations of machine variables can not influence on the operation of the DFIG. This study has used the simple model of GSC and RSC including a gain without delay unit. This model is clearly sufficient to show the influence of saturation curve because the full model of GSC and RSC do not have effect on the mutual inductance.

APPENDIX

DFIG-based wind farm parameters:

Rated power DFIG=1.5 MW, stator voltage=575 V, magnetization inductance generator=2.9 pu, stator leakage inductance=0.18 pu, rotor leakage inductance=0.16 pu, rotor resistance=0.016 pu, stator resistance=0.023 pu, number of pair of poles=3, conversion ratio from stator to rotor: 575/1975, frequency=60 Hz; H=0.658.

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BIOGRAPHIES OF AUTHORS

Zahra Rafiee, received her BS degree in Power Electricity Engineering from the Department of Electrical Engineering, Bu Ali Sina University, Hamadan Iran, in 2006 and an MS degree in Power Electricity Engineering from the Department of Electrical Engineering, Bu Ali Sina University, Hamadan Iran, in 2010. She studies PhD in Electrical Engineering in Shahid Beheshti University. Her research interests are in stability in power system, protection of network, optimization control algorithms, power system stabilizer and, distributed generation, application of neural network and fuzzy logic in electricity.

Mansour Rafiee, was born in 1967 in Iran. He works in Shahid Beheshti University as a member of the Academic Staff and currently he is the head of distributed generation research lab. Also he has been the Vice President of Meyar Tose’e Niroo-a leading Iranian company in developing, design and consultancy of renewable energy projects since 2003.

Mohammad Reza Aghamohammadi, was born in Iran on August 5, 1955. He received his BSC degree from Sharif University of Technology 1981, MSc degree from Manchester University (UMIST) in 1984 and his PhD from Tohoku University, Japan in 1994. He is an associate professor of the electrical engineering department and head of Iran Dynamic Research Center. His research interest includes application of intelligent techniques and non-model based approaches for dynamic security assessment and enhancement of power systems.