Current therapeutic strategies of heterotopic ossification – a survey amongst orthopaedic and trauma departments in Germany

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Abstract

Background: Heterotopic ossification (HO) is a complication after tissue trauma, fracture and surgery (i.e. total hip arthroplasty). Prophylaxis is the most effective therapy. If HO formations become symptomatic and limit patients’ quality of life, revision surgery is indicated and is usually combined with a perioperative oral prophylaxis (NSAIDs) and/or irradiation. However, a long-term use of NSAIDs can induce gastro-intestinal or cardiac side-effects and possible bony non-unions during fracture healing. Subject of this study was to assess the current status of HO prophylaxis after injuries or fractures and to evaluate current indications and strategies for excision of symptomatic HO.

Methods: Between 2013 and 2014, a questionnaire was sent to 119 orthopaedic and trauma surgery departments in Germany. Participation was voluntary and all acquired data was given anonymously.

Results: The cumulative feedback rate was 71 %. Trauma and orthopaedic surgery departments in Germany recommend oral HO prophylaxis after acetabulum and femoral neck fractures, elbow dislocation, and fracture or dislocation of the radial head. Pain upon movement and an increasing loss of range of motion in the affected joint are considered to be clear indications for HO surgery. A partial removal of ROM-limiting HO formations was also considered important. The vast majority of all departments include perioperative oral HO prophylaxis and/or irradiation if surgical HO removal is planned. The choice and duration of NSAIDs is highly variable.

Conclusion: HO is of clinical significance in current traumatology and orthopaedics. Certain fractures and injuries are prone to HO, and prophylactic measures should be taken. The respondents in this survey assessed current therapeutic strategies for HO formations similarly. These concepts are in line with the literature. However, the duration of perioperative oral HO prophylaxis varied greatly among the specialist centres. This is significant as a long-term use of NSAIDs fosters a potential risk for the patients’ safety and could influence the clinical outcome. National and international guidelines need to be developed to further reduce HO rates and improve patients’ safety in trauma and orthopaedic surgery.

Keywords: Heterotopic ossification, Trauma, Therapy, Fracture, Prophylaxis
Background
Heterotopic ossification (HO) can occur after tissue trauma (i.e. gunshot wounds), cerebral or spinal cord injury, bone fractures or surgery such as total hip arthroplasty (THA) [1, 2]. The pathogenesis of HO is not fully understood.

An effective HO prophylaxis requires identifying known risk factors in the patient, using gentle surgical techniques and applying perioperative non-steroidal anti-rheumatic drugs (NSAIDs), COX-2 blockers and low-dose irradiation [2]. This is well established for THA. Little is known about the significance of HO prophylaxis in blunt extremity trauma and fracture treatment. Nevertheless, some studies have suggested that NSAIDs, which suppress inflammatory prostaglandins during initial tissue inflammation, could cause delayed fracture healing in animals [3, 4]. Large and symptomatic HO formations can only be treated surgically [5]. However, revision surgery itself can induce a HO relapse.

Historically, Germany had a separation of trauma and orthopaedic surgery departments. Consequently, different therapy strategies evolved in both fields. Several years ago this separation was, however, revoked and a single residency program was created. Nonetheless, differences still remain in both disciplines.

The intention of this study was to investigate the following questions:

1. Is HO clinically relevant?
2. Are HO-prone injuries assessed in a similar manner in different specialist centres?
3. Are there standard HO prophylactic measures after fractures and tissue trauma?
4. What are the current indications and strategies for surgical HO removal if HO formations limit patients’ quality of life?

Methods
After a current literature review, the authors developed a questionnaire. The 17 questions aimed to assess prophylactic and therapeutic strategies for heterotopic ossification in orthopaedic and trauma surgery. Indications for surgery and surgical techniques were graded on a 4-point scale (insignificant, of little importance, important or very important).

The study was carried out from 2013 to 2014 in 34 orthopaedic and 30 trauma surgery departments in German university hospitals. When there were separate departments in the same hospital, both departments were addressed. Furthermore, we contacted 55 German hospitals that had been granted a certificate (EndoCert®) for high standards in THA from the German Association of Orthopaedic Surgery and were listed on the official homepage until January 9th 2014. The study participants were trained orthopaedic/truma surgeons, not patients. The respondents agreed to participate voluntarily in this trial by sending back the completed questionnaire in an anonymous fashion. Therefore, an informed consent was waived. The collected data included estimations, therapy assessments or ratings and did not allow any conclusion about the participants’ identity. An approval by the local IRB was waived according to the guideline for Good Clinical Practice and the Declaration of Helsinki as this trial did not involve the collection of sensitive data from humans.

Statistical analysis
Statistics were generated with Microsoft Excel 2008. Statistics used for this study were descriptive only as several answers to the questions included estimations from the questionnaire recipients. Hence, standard parameters such as mean, median, maximum and minimum were detected, but no statistical analysis for significance was performed.

Results
The total response rate was 71 % (85 of 119). The feedback rate was 76 % (42 of 55) among non-university hospitals, 67 % (20 of 30) in trauma and 68 % (23 of 34) in the orthopaedic departments of university hospitals.

The majority of surgeons offer a regular radiological follow-up that enables a realistic assessment of the course of treatment. Most respondents assessed HO-prone injuries in a similar manner and therefore recommend an oral HO prophylaxis after certain fractures (see Table 1 for details).

The indications for HO excision were assessed similarly. Pain at rest, pain during joint movement and a reduced range of motion (ROM) are considered important or very important. Thirty-seven percent of the respondents found a skeleton scintigraphy to be useful. The evaluation of surgical strategy and techniques for HO excision were moderately different among orthopaedic and trauma surgeons (see Table 2 for details).

In HO excision, the majority of surgeons change their HO prophylactic strategy by applying irradiation and/or administering a different NSAID. The choice of medication and the amount of time it was taken were inconsistent. Irradiation is usually planned pre-operatively in Germany (see Table 3 for details).

Discussion
In recent years, strategies for HO in total hip arthroplasty have been discussed in various studies [6]. A national treatment guideline in Germany (2009) recommends NSAID use for HO prophylaxis after THA and elbow injuries/surgery [7]. No recommendation was given for fractures, tissue trauma and spinal or cerebral injuries. Fracture osteosynthesis and manipulation of soft tissue and joints seem to be
HO risk factors [8, 9]. Gunshot or blast injuries can also cause HO formations [10].

The aim of this study was to evaluate current prophylactic strategies after injuries and to analyse therapeutic concepts of symptomatic HO. Additionally, we intended to investigate whether data taken from recent literature had found its way into daily trauma and orthopaedic practice. However, this review has certain limitations. Surveys usually cannot provide strong evidence of cause and effect. Future studies could include face to face follow-up visits for patients who were treated for HO, since the subjective error from patients is hard to remove. Secondly, the survey included closed questions, which are generally considered to be of lesser validity than open-ended questions, which were also included. Ultimately, the results of this study are limited by response rate and the subjective estimations from the respondents. The response rate was 71 % (85/119), which is considered high [11, 12].

A main finding of this study is that orthopaedic and trauma surgeons alike consider HO to be clinically relevant after certain fractures and injuries. Nevertheless, there are differences in current HO prophylaxis. Trauma surgery departments have a higher rate of HO prophylaxis after risk fractures/injuries compared to orthopaedic university departments and non-university EndoCert® hospitals. The oral HO prophylaxis duration was also slightly higher among trauma surgeons, but on average for three weeks post trauma.

The literature provides numerous clinical trials which found that an oral HO prophylaxis (indomethacin) can reduce the incidence of large HO formations (Brooker grades 3 and 4) after certain fractures [13]. It must be taken into account that NSAIDs can lead to delayed fracture healing and non-unions in animals [3, 4]. Despite these results, many patients receive NSAIDs for both, post-operative pain and anti-inflammatory (HO prophylactic) treatment after trauma. An evidence-based recommendation for the most effective drug and perioperative application time for oral HO prophylaxis is currently missing from the literature.

The respondents in this study mostly considered acetabular fractures, elbow dislocation, femoral neck and shaft fractures and radial head dislocation or fracture to be most prone to HO. Current literature supports this assessment. A recent retrospective study (2013) found a 47 % incidence of HO (56 of 120 patients) after surgery for acetabular fracture [14]. After elbow fracture surgery involving the proximal radius and/or ulna, HO was reported in 37 % of cases (48 of 142) [15]. Johansson et al. analysed femoral neck fracture treatment (THA vs. internal fixation): Seventy-one percent (32 of 45) of hips with THA developed HO compared to 2.5 % (1 of 39) in the internal fixation group [16]. Mechanical ventilation time, indomethacin and incidence of head injuries did not differ between the two groups. Plate osteosynthesis seems to create a higher risk for HO formation than intramedullary nailing in multiple trauma patients [17]. A possible explanation might be that plate osteosynthesis is often used for intraarticular fracture treatment and could induce higher muscle injuries, whereas intramedullary nailing is mostly used for diaphysal fractures. Another risk factor for HO formation in multiple trauma patients is brain injury. A higher rate of HO is found

| Feed back rate | Germany |
|----------------|---------|
| University (trauma) | 67 % (n = 20 of 30) |
| University (orthopaedic) | 68 % (n = 23 of 34) |
| Non-university hospitals | 76 % (n = 42 of 55) |
| Total | 71 % (n = 85 of 119) |

| HO prophylaxis for risk fractures | Germany |
|----------------------------------|---------|
| University (trauma) | 79 % |
| University (orthopaedic) | 67 % |
| Non-university hospitals | 59 % |
| Total | 67 % |

| Mean time of prophylaxis (days) | Germany |
|---------------------------------|---------|
| University (trauma) | 25 (min. 14 – max. 42) |
| University (orthopaedic) | 17 (min. 7 – max. 42) |
| Non-university hospitals | 21 (min.7 – max.84) |
| Total | 21 (min. 7 – max. 84) |

| Regular radiological follow-up | Germany |
|--------------------------------|---------|
| University (trauma) | 85 % |
| University (orthopaedic) | 96 % |
| Non-university hospitals | 67 % |
| Total | 79 % |

| Injuries and fractures prone to HO | Germany |
|-----------------------------------|---------|
| Acetabulum fracture | 79 % |
| Elbow dislocation | 42 % |
| Radial head dislocation | 16 % |
| Radial head fracture | 21 % |
| Femoral neck fracture | 11 % |
| Femoral shaft fracture | 0 % |
| Clavicula fracture | 0 % |
| AC joint injury | 0 % |
| Other | 0 % |

Table 1: HO prophylaxis in fracture treatment and summary of injuries and fracture types that are prone to HO formation according to respondents; HO = heterotopic ossification; AC = acromio-clavicular; min. = minimum, max. = maximum.
Table 2 Indication and techniques for surgical HO removal; HO = heterotopic ossification

| Indications for surgery | University (trauma) | University (orthopaedic) | Non-university hospitals | Total |
|-------------------------|---------------------|--------------------------|--------------------------|-------|
| Pain at rest            |                     |                          |                          |       |
| Insignificant           | 10 %                | 0 %                      | 0 %                      | 3 %   |
| Of little importance    | 40 %                | 17 %                     | 22 %                     | 25 %  |
| Important               | 35 %                | 57 %                     | 67 %                     | 55 %  |
| Very important          | 15 %                | 26 %                     | 11 %                     | 17 %  |
| Pain during joint movement |                   |                          |                          |       |
| Insignificant           | 0 %                 | 0 %                      | 0 %                      | 0 %   |
| Of little importance    | 11 %                | 0 %                      | 0 %                      | 3 %   |
| Important               | 53 %                | 64 %                     | 69 %                     | 64 %  |
| Very important          | 37 %                | 36 %                     | 31 %                     | 33 %  |
| Reduced ROM of affected joint |             |                          |                          |       |
| Insignificant           | 0 %                 | 0 %                      | 0 %                      | 0 %   |
| Of little importance    | 5 %                 | 9 %                      | 3 %                      | 5 %   |
| Important               | 20 %                | 43 %                     | 56 %                     | 43 %  |
| Very important          | 75 %                | 48 %                     | 41 %                     | 52 %  |
| Increase of HO formation|                     |                          |                          |       |
| Insignificant           | 16 %                | 13 %                     | 14 %                     | 14 %  |
| Of little importance    | 26 %                | 39 %                     | 36 %                     | 34 %  |
| Important               | 42 %                | 44 %                     | 28 %                     | 37 %  |
| Very important          | 16 %                | 4 %                      | 22 %                     | 14 %  |
| Active HO formation in scintigraphy |             |                          |                          |       |
| Insignificant           | 21 %                | 4 %                      | 14 %                     | 13 %  |
| Of little importance    | 63 %                | 61 %                     | 39 %                     | 50 %  |
| Important               | 16 %                | 26 %                     | 33 %                     | 28 %  |
| Very important          | 0 %                 | 9 %                      | 14 %                     | 9 %   |
| Techniques of surgical excision |               |                          |                          |       |
| Complete excision of HO formation |       |                          |                          |       |
| Insignificant           | 5 %                 | 0 %                      | 3 %                      | 3 %   |
| Of little importance    | 40 %                | 36 %                     | 30 %                     | 34 %  |
| Important               | 45 %                | 55 %                     | 53 %                     | 51 %  |
| Very important          | 10 %                | 9 %                      | 14 %                     | 12 %  |
| Excision of ROM-limiting HO |                   |                          |                          |       |
| Insignificant           | 5 %                 | 0 %                      | 2 %                      | 3 %   |
| Of little importance    | 15 %                | 30 %                     | 23 %                     | 22 %  |
| Important               | 30 %                | 48 %                     | 46 %                     | 43 %  |
| Very important          | 50 %                | 22 %                     | 29 %                     | 32 %  |
| Tissue interposition after HO removal |             |                          |                          |       |
| Insignificant           | 45 %                | 13 %                     | 25 %                     | 27 %  |
| Of little importance    | 40 %                | 70 %                     | 61 %                     | 60 %  |
| Important               | 10 %                | 17 %                     | 14 %                     | 13 %  |
| Very important          | 5 %                 | 0 %                      | 0 %                      | 0 %   |
when serious brain injury occurred and there was a need for mechanical ventilation [18].

If HO formations increase, they can cause postoperative pain, bony impingement with impaired range of motion of the affected joints, leading ultimately to revision surgery. This survey shows that current indications and strategies for surgical HO removal are similar with trauma and orthopaedic surgeons. Surgical removal of HO is complicated as the formations are often soft and integrated in the muscle or connective tissue. Some studies demonstrated that excision of HO can lead to a significantly improved ROM, but pain can often not be minimized [19]. Salazar et al. [20] described a significant, postoperative improvement in ROM after resection of HOs following elbow fractures when preoperative ROM was partially or completely restricted. Consequently surgeons should carefully consider these results from the literature when HO excision is indicated. At this stage, there is limited data as to whether HO formations should be removed completely or partially.

If revision surgery is indicated, the vast majority of surgeons combine irradiation and an oral prophylaxis in their therapy regimen. However, this review has found that there are still vast differences in the choice of drug and the perioperative application time (minimum 7 days, maximum 98 days). A majority of trauma surgeons prefer the use of indomethacin in HO surgery.

An evidence-based recommendation for the most effective HO prophylaxis (type of drug, application time) following HO excision is still missing in the current literature. The combination of irradiation and NSAID use for HO treatment of various joints has been successfully described [21]. The outcome of irradiation does not seem to increase the risk of malignancy [22].

There are few alternative or new treatment options for HO: The long-term effect of bisphosphonates to prevent HO remains unclear [23]. Basic research found selective retinoic acid receptor γ (RAR γ) agonists able to suppress BMP signalling and chondrogenesis in a murine model [24]. Inhibitors of substance P and mast cell blockers (cromolyn) showed significant reduction in HO rates in animals and seem to be promising future therapeutics [25]. The use of RNAi might become an additional new alternative [26]. These options are currently at an experimental stage but could become available in the near future to further reduce HO rates in orthopaedic and trauma surgery.

### Conclusion

This survey indicates that orthopaedic and trauma surgeons in Germany consider HO to be of clinical relevance in their daily practice. The injuries that are at risk of HO and require HO prophylaxis were usually assessed in a

| Table 3 Perioperative irradiation and oral HO prophylaxis; HO = heterotopic ossification; Gy = Gray |
|---------------------------------------------------------------|
| University (trauma) | University (orthopaedic) | Non-university hospitals | Total |
|---------------------|--------------------------|--------------------------|-------|
| **Postoperative oral HO prophylaxis** | 100 % | 81 % | 86 % | 88 % |
| **Perioperative irradiation** | 90 % | 96 % | 91 % | 92 % |
| **Change in prophylaxis strategy** | 65 % | 35 % | 31 % | 38 % |
| **Diclofenac** | 0 % | 25 % | 30 % | 17 % |
| **Median dosage per day** | - | 150 mg | 150 mg | 150 mg |
| **Ibuprofen** | 11 % | 25 % | 10 % | 13 % |
| **Median dosage per day** | 1800 mg | 1200 mg | 1200 mg | 1600 mg |
| **Indomethacin** | 89 % | 50 % | 30 % | 57 % |
| **Median dosage per day** | 150 mg | 150 mg | 100 mg | 100 mg |
| **Etoricoxib** | 0 % | - | 20 % | 9 % |
| **Median dosage per day** | - | - | 90 mg | 90 mg |
| **Celecoxib** | 0 % | - | 10 % | 4 % |
| **Median dosage per day** | - | - | 400 mg | 400 mg |
| **Other** | - | - | - | 0 % |
| **Mean time of oral prophylaxis (days)** | 28 (min.14 – max.42) | 42 (min.14 – max.98) | 21 (min.7 – max.42) | 30 (min.7 – max.98) |
| **Pre-operative irradiation** | 75 % | 86 % | 90 % | 83 % |
| **Post-operative irradiation** | 25 % | 14 % | 10 % | 17 % |
| **Times of irradiation** | 1 | 1 | 1 | 1 |
| **Median dosage in Gy** | 7 | 7 | 7 | 7 |
similar manner. Indications for surgery of symptomatic HO formations and surgical techniques were equally weighted and are in concordance with the literature. The perioperative oral prophylaxis (i.e. choice of NSAID or COX-2 blocker, application duration) which is often combined with revision surgery was highly variable. This is significant for clinical practice as a long-term use of NSAIDs fosters a potential risk to the patients’ safety and could influence the clinical outcome. This survey was able to demonstrate that in 2014 there was still a need for clinical trials as well as national and international treatment guidelines to detect the most effective HO prophylaxis for risk fractures/injuries and following HO excision. This could further reduce HO rates and improve patients’ safety in trauma and orthopaedic surgery.

Abbreviations
AC: Acromio-clavicular; BMP: Bone morphogenetic protein; COX: Cyclooxygenase; EndoCrt®: A certificate for high standards in THA granted by the German Association of Orthopaedic Surgery; Gy: Gray; HO: Heterotropic ossification; min.: Minimum; max.: Maximum; NSAID: Non-steroidal anti-inflammatory drug; RAR γ: Retinoic acid receptor γ; RNAi: Ribonucleic acid i; ROM: Range of motion; THA: Total hip arthroplasty.

Competing interests
The authors declare that they have no competing interests.

Authors’ contributions
SW, TR accountable for the conception and execution of the research, the integrity and analysis of the data, and the writing of the manuscript. BeCr, MaWe, FW, HRS, JG, GH accountable for the execution of the research, the integrity and analysis of the data. JM accountable for the integrity and analysis of the data and the writing of the manuscript. All authors read and approved the final manuscript.

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