

Research Article

An Optimal Control Problem Governed by a Kirchhoff-Type Variational Inequality

Chengfu Wang, Pengcheng Wu, and Yuying Zhou

Department of Mathematics, Soochow University, Suzhou 215006, China

Correspondence should be addressed to Yuying Zhou; yuyingz@suda.edu.cn

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This paper is concerned with an optimal control problem governed by a Kirchhoff-type variational inequality. The existence of multiplicity solutions for the Kirchhoff-type variational inequality is established by using some nonlinear analysis techniques and the variational method, and the existence results of an optimal control for the optimal control problem governed by a Kirchhoff-type variational inequality are derived.

1. Introduction

Let $\Omega$ be a bounded domain of $\mathbb{R}^N$ with a smooth boundary $\partial\Omega$, $\mathcal{U}$ be a nonempty bounded closed and convex subset of the space $L^q(\Omega)(1 < q < \min\{4, 2^*\}, 2^* = (2N/N - 2))$, $\psi$: $\Omega \to [0, +\infty)$ be a proper and convex function, and

$$
\mathcal{H}_\psi = \{ u \in H^1_0(\Omega): u(x) \leq \psi(x), \ a.e. x \in \Omega \}.
$$

(1)

Let $H^1_0(\Omega)$ be endowed with the norm $\|\cdot\|$, and let $g$: $\Omega \times \mathbb{R} \to \mathbb{R}$ and $l$: $\Omega \times \mathbb{R} \to \mathbb{R}$. The objective functional $J: \mathcal{U} \times \mathcal{H}_\psi \to \mathbb{R}$ is defined by

$$
J(w, u) = \int_\Omega g(x, u)dx + \int_\Omega l(x, w)dx, \quad \forall (w, u) \in \mathcal{U} \times \mathcal{H}_\psi.
$$

(2)

In this paper, we will be discussing the following optimal control problem governed by a state variational inequality:

$$
(\mathcal{P}) \quad \inf_{(w, u) \in \mathcal{U} \times \mathcal{H}_\psi \text{ s.t. } S(w)} J(w, u),
$$

(3)

where $S(w)$ is the solution set of the following Kirchhoff-type variational inequality: for each $w \in \mathcal{U}$, find $u = u(w) \in \mathcal{H}_\psi$ (the state function of the system), such that

$$
J(w, u) = \int_\Omega g(x, u)dx + \int_\Omega l(x, w)dx, \quad \forall (w, u) \in \mathcal{U} \times \mathcal{H}_\psi,
$$

$$
\mathcal{H}_\psi = \{ u \in H^1_0(\Omega): u(x) \leq \psi(x), \ a.e. x \in \Omega \}.
$$

(4)

and the variational inequality (4) will become to be the usual variational inequality of the Kirchhoff type: for each $w \in \mathcal{U}$, find $u = u(w) \in \mathcal{H}_\psi$, such that

$$
\|h(u)\| = a + b \int_\Omega |\nabla u|^2,
$$

(5)

where $\lambda > 0$, $\mu > 0$, $f(x, t): \Omega \times \mathbb{R} \to \mathbb{R}$, $t: \mathcal{U} \to L^{q'}(\Omega)(q' = (q/q - 1))$ and $h$: $\mathbb{R} \to \mathbb{R}$ satisfies, $(h_1)$ $h$ is continuous on $\mathbb{R}$; $(h_2)$ there exists $a > 0$ such that $h(t) > a$ for all $t \in \mathbb{R}$; $(h_3)$ there exists $b > 0$ such that $\lim_{t \to +\infty} h(t)/t^2 = b$.

A typical example of $h$ is $h(t) = a + bt^2$. Then, $h$ satisfies $(h_1)$ - $(h_3)$.
The Kirchhoff Dirichlet problem was first proposed by Kirchhoff by taking into account a differential equation describing the changes in length of the string produced by transverse vibrations for free vibrations of elastic strings. For more details on the physical and mathematical background of Kirchhoff-type problems, we refer the readers to the papers [1–3] and the references therein. By variational methods, many interesting results about the existence of solutions for Kirchhoff-type problems have been established in the last ten years, see, e.g., [1–7] and the references therein.

The study of variational inequalities like (4) with \( b = 0 \) and related optimal control problems was proposed by Lions [8–10], and this topic has been widely studied by many authors in different aspects (cf. [11–23]). One of the most important methods is the approximation of the variational inequality by an equation where the maximal monotone operator (in this case, the subdifferential of a Lipschitz function) is approached by a differentiable single-value mapping with Moreau–Yosida approximation techniques. This method, mainly due to Barbu [11], leads to several existence results and to first-order optimality systems. Lou [12] discussed the regularity of an obstacle control problem, wherein the variational inequality is associated to the Laplace operator. Lou [13] considered the existence and regularity of the control problem governed by the quasilinear elliptic variational inequality. Bergounioux and Benhadj [15] studied obstacle optimal control for semilinear and bilateral obstacle problems. Chen et al. [21] studied an optimal control problem for quasilinear elliptic variational inequality. Ye and Chen [16] studied the existence and necessary condition of an optimal control problem for a quasilinear elliptic obstacle variational inequality in which the obstacle was taken as the control and the cost functional was specific. Zhou et al. [17] established the existence of the optimal control for an optimal control problem governed by an abstract variational inequality and obtained the existence of the optimal control for the optimal control problem governed by a quasilinear elliptic variational inequality with an obstacle. By using nonlinear Lagrangian methods, Zhou et al. [18] studied an optimal control problem where the state of the system is defined by a variational inequality problem for monotone-type mappings. Khan and Sama [19] obtained the existence of an optimal control for a quasivariational inequality with multivalued pseudomonotone maps. Chen et al. [20] studied an optimal control problem for a quasilinear elliptic variational inequality with source term, established the existence results, and derived the optimality system for this optimal control problem. In [22], Migorski et al. investigated an inverse problem of identifying the material parameter in an implicit obstacle problem given by an operator of \( p \)-Laplacian type. In [23], Khan et al. studied inverse problems of identifying a variable parameter in variational and quasivariational inequalities.

The purpose of the present paper is to investigate the optimal control problem governed by the state Kirchhoff-type variational inequality (4), i.e., the problem (\( \mathcal{P} \)) in the case of \( b > 0 \). This case is more complicated since the so-called nonlocal term \( b \int_\Omega |\nabla u|^2 dx \Delta u \) is involving in the variational inequality. To the best of our knowledge, the study on optimal control problems controlled by the state Kirchhoff-type variational inequality is still lacking in mathematics literatures. Our first intention is to establish the existence and multiplicity of solutions for the inequality (4) by using some nonlinear analysis techniques and the variational method. Then, as an application, we obtain the existence of solutions for the optimal control problem (\( \mathcal{P} \)).

The paper is structured as follows. Section 2 contains some basic definitions and preliminary facts needed in the sequel. In Section 3, we shall show that there exist at least two solutions of the Kirchhoff-type variational inequality (4) when some suitable conditions on \( f, h, \text{ and } \tau \) are satisfied. In Section 4, we apply the obtained results to study the optimal control problem governed by the state variational inequality (4) and obtain the existence of solutions for the problem (\( \mathcal{P} \)).

2. Preliminary

Let \( X \) be a Banach space and \( X^* \) its dual. The following definitions and theorems can be found in, e.g., [24, 25].

**Definition 1.** Let \( X \) be a Banach space, \( \varphi: X \to \mathbb{R} \) be a continuously differentiable functional, and let \( \psi: X \to \mathbb{R} \cup \{+\infty\} \) be a proper (i.e., \( \neq +\infty \)), convex, and lower semicontinuous functional. The functional \( \varphi + \psi: X \to \mathbb{R} \cup \{+\infty\} \) is called Szulkin-type functional. \( \varphi + \psi \) is said to satisfy the Palais–Smale condition (the (PS) condition for short), if every sequence \( \{u_n\} \subset X \) with \( \varphi(u_n) + \psi(u_n) \) bounded and for which there exists a sequence \( \{\varepsilon_n\} \subset \mathbb{R}_+, \varepsilon_n \to 0 \), such that

\[
\langle \varphi'(u_n), v - u_n \rangle + \psi(v) - \psi(u_n) \geq -\varepsilon_n \|v - u_n\|, \quad \forall v \in X,
\]

contains a (strongly) convergent subsequence in \( X \).

**Definition 2.** Let \( I = \varphi + \psi: X \to \mathbb{R} \cup \{+\infty\} \) be a Szulkin-type functional. A point \( u \in X \) is said to be a critical point of \( I = \varphi + \psi \) if

\[
\langle \varphi'(u), v - u \rangle + \psi(v) - \psi(u) \geq 0, \quad \forall v \in X.
\]

The value \( c \) of \( I \) at a critical point \( u \in X \) is said to be a critical value of \( I \), that is, \( I(u) = c \).

**Theorem 1.** Let \( I = \varphi + \psi: X \to \mathbb{R} \cup \{+\infty\} \) be a Szulkin-type functional which is bounded below. If \( I \) satisfies the (PS) condition for \( c = \inf_{u \in X} I(u) \), then \( c \) is a critical value.
Theorem 2. Let \( I = \psi + \varphi : X \rightarrow X \cup \{+\infty\} \) be a Szulkin-type functional and assume that

1. \( I(u) \geq d_0 \) for all \( \|u\| = \rho \) for some \( d_0, \rho > 0 \), and \( I(0) = 0 \)
2. There is \( e \in X \) with \( \|e\| > \rho \) and \( I(e) \leq 0 \)

If \( I \) satisfies the (PS) condition, then \( I \) has a critical point \( u^* \in X \) with critical value \( c_0 = I(u^*) \) such that

\[
c_0 \geq d_0, \\
c_0 = \inf_{\varphi \in \mathcal{K}} \sup_{s \in [0,1]} I(y(t)),
\]

where

\[
\Gamma = \{ g \in C([0,1],X) : g(0) = 0, g(1) = e \}.
\]

Let \( f(x,t) : \Omega \times \mathbb{R} \rightarrow \mathbb{R} \) be a function. We denote by

\[
F(x,t) = \int_0^t f(x,s)ds.
\]

The hypotheses on the \( f \) are the following:

1. \((f_1)\) The function \( f(x,t) \) is measurable in \( x \) for every \( t \in \mathbb{R} \) and continuous in \( t \) for a.e. \( x \in \Omega \);
2. \((f_2)\) \( f(x,t) \leq \alpha(x) + \beta(x) |t|^{q-1} \) uniformly for almost all \( x \in \Omega \), where
   \[
   \alpha \in L^{\infty}(\Omega), \\
   \beta \in L^{\infty}(\Omega), \\
   1 < q < \min\{4,2^*\}, \\
   2^* = \frac{2N}{N-2}.
   \]

3. \((f_3)\) There exists a constant \( \gamma > \max\{2,q\} \) and a function \( \chi \in L^{\infty}(\Omega) \) such that

\[
\limsup_{t \to 0} \frac{F(x,t)}{|t|^\gamma} \leq \chi(x),
\]

uniformly for almost all \( x \in \Omega \);

4. There exist \( x_0 \in \Omega, u_0 \in \mathbb{R} \), and \( \delta > 0 \) such that

\[
F(x,u) > \eta_0, \quad \text{a.e. } x \in U(x_0,\delta),
\]

where \( \eta_0 \) is a positive constant and \( U(x_0,\delta) = \{ x \in \Omega : |x - x_0| < \delta \} \).

Let \( h : \mathbb{R} \rightarrow \mathbb{R}^+ \) satisfy the conditions \((h_1)-(h_3)\). We denote by

\[
H(t) = \int_0^t h(s)ds, \quad \bar{H}(t) = \int_0^t H(s)ds.
\]

Let \( \lambda > 0, \mu > 0 \), and let us introduce the Euler functional \( \varphi_{\lambda,\mu} : H_0^1(\Omega) \rightarrow \mathbb{R} \) corresponding to the Kirchhoff-type variational inequality \((4)\) as

\[
\varphi_{\lambda,\mu}(u) = \|u\|^2 H(\|u\|) - \bar{H}(\|u\|) - \lambda \int_\Omega F(x,u)dx + \mu \int_\Omega \tau(\omega)u dx.
\]

Let \( \mathcal{K} \) be defined by \((1)\). We define the indicator functional of the set \( \mathcal{K} \) by

\[
\phi(u) = \begin{cases} 0, & \text{if } u \in \mathcal{K} \\ +\infty, & \text{if } u \notin \mathcal{K} \end{cases}.
\]

Denote

\[
I_{\lambda,\mu} = \varphi_{\lambda,\mu} + \phi.
\]

Obviously, \( I_{\lambda,\mu} \) is a Szulkin-type functional.

Remark 1. For any \( s \in \mathbb{R}^+ \), if \( h(s) = a + bs^2 \), then \( h \) satisfies \((h_1)-(h_3)\), and

\[
tH(t) - \bar{H}(t) = \frac{a}{2} t^2 + \frac{b}{4} t^4.
\]

The variational inequality \((4)\) will become to be the classic Kirchhoff-type variational inequality: find \( u = u(\omega) \in \mathcal{K} \), such that

\[
(a + b \int_\Omega |\nabla u|^2 dx) \int_\Omega \nabla u (\nabla \varphi - \nabla u) dx \geq \lambda \int_\Omega f(x,u)(v-u) dx - \mu \int_\Omega \tau(\omega)(v-u) dx, \quad \forall v \in K.
\]

3. Existence of Multiple Solutions for a Kirchhoff-Type Variational Inequality

As usual, we denote "\( \rightarrow \)" and "\( \rightarrow^w \)" by the strong and weak convergence in the space \( H_0^1(\Omega) \).

Proposition 1. Let \( \mathcal{U} \) be a nonempty bounded closed and convex subset of the space \( L^q(\Omega) (1 < q < \min\{4,2^*\}) \). If \( f : \Omega \times \mathbb{R} \rightarrow \mathbb{R} \) satisfies \((f_1),(f_2)\), \( h : \mathbb{R} \rightarrow \mathbb{R} \) satisfies conditions \((h_1)-(h_3)\), and \( r : \mathcal{U} \rightarrow L^q(\Omega) (q' = q/q - 1) \) is a weakly continuous mapping. Then, every critical point \( u \in H_0^1(\Omega) \) of \( I_{\lambda,\mu} = \varphi_{\lambda,\mu} + \phi \) is a solution of \((4)\), where \( \varphi_{\lambda,\mu} \) and \( I_{\lambda,\mu} \) are defined by \((16)\) and \((18)\), respectively.

Proof. It is easy to see that \( \varphi_{\lambda,\mu} \in C^1(H_0^1(\Omega), \mathbb{R}^+) \), and for each \( u, v \in H_0^1(\Omega) \),

\[
\langle \varphi_{\lambda,\mu}'(u), v \rangle = h(||u||) \int_\Omega \nabla u \nabla v dx - \lambda \int_\Omega f(x,u) v dx + \mu \int_\Omega \tau(\omega) v dx.
\]

If \( u \in H_0^1(\Omega) \) is a critical point of \( I_{\lambda,\mu} \), from Definition 2, we obtain

\[
\langle \varphi_{\lambda,\mu}'(u), v-u \rangle + \phi(v) - \phi(u) \geq 0, \quad \forall v \in H_0^1(\Omega).
\]

It follows from \((17),(21),(22)\) that \( u \in \mathcal{K} \) and \((4)\) holds. \( \square \)
Proposition 2. Let \( \mathcal{U} \) be a nonempty bounded, closed, and convex subset of the space \( L^q(\Omega) \) \( (1 < q < \min(4,2^*) ) \). If \( f: \Omega \times \mathbb{R} \longrightarrow \mathbb{R} \) satisfies \((f_1), (f_2), h: \mathbb{R} \longrightarrow \mathbb{R} \) satisfies conditions \((h_1) - (h_3)\), and \( \tau: \mathcal{U} \longrightarrow L^q(\Omega) (q' = q/q - 1) \) is a weakly continuous mapping such that \( \tau(\mathcal{U}) \) is a bounded set. Then, \( I_{\lambda, \mu} \) is coercive in the sense of \( I_{\lambda, \mu} (u) \longrightarrow +\infty \) as \( \|u\| \longrightarrow +\infty \) and bounded from below in \( \mathcal{K}_\psi \), where \( \mathcal{K}_\psi \) is defined by \((1)\) and \( I_{\lambda, \mu} \) is defined by \((18)\).

Proof. By \(( f_2)\), Hölder inequality, we have
\[
\begin{align*}
\left| \int_{\Omega} F(x,u(x))dx \right| & \leq \int_{\Omega} a(x)|u(x)|dx + \int_{\Omega} \frac{\beta(x)}{q} |u(x)|^q dx \\
& \leq |\Omega|^{1/q} \|a\|_{L_(\infty)(\Omega)} \|u\|_{L_q(\Omega)} + \frac{\|\beta\|_{L_(\infty)(\Omega)}}{q} \|u\|_{L_q(\Omega)}^q \\
& \leq c_1 \|u\| + c_2 \|u\|^q,
\end{align*}
\]
where \( c_1 \) and \( c_2 \) are positive constants.

Since \( \tau(U) \) is bounded, there exists \( \rho > 0 \), such that
\[
\|\tau(w)\|_{L^2} < \rho, \quad \forall w \in U.
\]
Thus,
\[
\left| \int_{\Omega} \tau(w)udx \right| \leq \left( \int_{\Omega} |\tau(w)|^q dx \right)^{1/q} \left( \int_{\Omega} |u|^q dx \right)^{1/q} \rho \|u\|_{L^q(\Omega)} \leq c_3 \|u\|,
\]
where \( c_3 \) is a positive constant.

By \((h_3)\), we have
\[
\lim_{t \rightarrow -\infty} tH(t) - \tilde{H}(t) = \lim_{t \rightarrow -\infty} h(t) = \frac{b}{4}.
\]
Let \( u \in \mathcal{K}_\psi \). By \((16), (18), (23),\) and \((25)\), we have
\[
I_{\lambda, \mu}(u) \geq \|\nu\| \|H(\|\nu\|) - \tilde{H}(\|\nu\|) - (\lambda c_1 + \mu c_2)\|\nu\| - c_2 \|\nu\|^q.
\]
By noting that \( 1 < q < 4 \) and from \((26)\), we obtain that \( I_{\lambda, \mu} \) is coercive and \( I_{\lambda, \mu} \) is bounded from below in \( \mathcal{K}_\psi \). The proof is complete. \( \square \)

Proposition 3. Let all conditions in Proposition 2 be satisfied. Then, \( I_{\lambda, \mu} \) satisfies the (PS) condition in the sense of Definition 1.

Proof. Let \( \{u_n\} \subset H^1_0(\Omega) \) such that \( \{I_{\lambda, \mu}(u_n)\} \) is bounded, and there exists \( \{\varepsilon_n\} \subset \mathbb{R} , \) with \( \varepsilon_n \downarrow 0 \) and
\[
\langle \phi_{\lambda, \mu}(u_n), v - u_n \rangle + \phi(v) - \phi(u_n) \geq \varepsilon_n \|v - u_n\|, \quad \forall v \in H^1_0(\Omega).
\]
From \((17)\), it can be noted that it is easy to obtain \( \{u_n\} \subset \mathcal{K}_\psi \). By Proposition 2, \( I_{\lambda, \mu} \) is coercive, and then \( \{u_n\} \) is bounded in \( \mathcal{K}_\psi \subset H^1_0(\Omega) \). Hence, by the Sobolev embedding theorem, we may assume that going to a subsequence,
\[
u_n \longrightarrow \nu, \quad u_n \longrightarrow u, \quad \text{in } H^1_0(\Omega)
\]
\[
u_n \longrightarrow \nu, \quad u_n \longrightarrow u, \quad \text{in } L^q(\Omega)
\]

Theorem 3. Let \( U \) be a nonempty bounded closed and convex subset of the space \( L^q(\Omega) \) \( (1 < q < \min(4,2^*) ) \). If \( f: \Omega \times \mathbb{R} \longrightarrow \mathbb{R} \) satisfies \((f_1), (f_2), h: \mathbb{R} \longrightarrow \mathbb{R} \) satisfies the conditions \((h_1) - (h_3)\), and \( \tau: \mathcal{U} \longrightarrow L^q(\Omega) (q' = q/q - 1) \) is a weakly continuous mapping such that \( \tau(\mathcal{U}) \) is a bounded set. Then, there exists \( \lambda > 0, \mu > 1, \) such that for each \( \lambda > \mu, \mu < \mu, \) the Kirchhoff-type variational inequality \((4)\) has two solutions \( u_1, v_1, \) satisfying \( I_{\lambda}(u_1) < 0 < I_{\lambda}(v_1) \).

Proof. Noting the conditions \((f_3)\) and \((f_4)\), we have
\[
0 \leq M_1 = \|a\|_{L^\infty} |u_0| + \frac{1}{q} \|\beta\|_{L^\infty} |u_0|^{q/2} < +\infty.
\]
Denote by
\[
t_0 = \frac{1}{2} \left( \frac{M_1}{\eta_0 + M_1} \right)^{1/N} + 1,
\]
where \( \eta_0 \) is given in \((f_4)\). Let us define
\[ u_t(x) = \begin{cases} 0, & x \in \Omega \cup U(x_0, \delta), \\
 u_0, & x \in U(x_0, \xi \delta), \\
 \frac{u_0}{\delta(1 - t_0)} (\delta - |x - x_0|), & x \in U(x_0, \xi \delta) \cap U(x_t, \delta), \\
 \end{cases} \] (36)

where \( x_0 \) is given in \((f_4)\). Then,

\[
\|u_t\| = \left( \int_{\Omega} |\nabla u_t|^2 \right)^{1/2} = \frac{u_0^2}{\delta(1 - t_0)^2},
\]

where \(\omega_N\) is the volume of unit sphere in \(\mathbb{R}^N\), and \(\delta u_0\) are defined in \((f_4)\). Thus, \(\|u_t\|\) is a positive constant which follows from (37). In the following, assume that \(0 < \mu < 1\).

Therefore, from (25) and the condition \((h_1)\),

\[
\|u_t\| \leq H(\|u_t\|) + \mu \int_{\Omega} \tau(w)u_t \, dx \leq A,
\]

where \(A\) is a positive constant.

By \((f_2),(f_3)\) and \((32)\) and \((36)\) we have

\[
\int_{\Omega} F(x, u_t) \, dx = \int_{U(x_0, \xi \delta)} F(x, u_t) \, dx + \int_{U(x_0, \xi \delta) \setminus U(x_0, \delta)} F(x, u_t) \, dx \geq \int_{U(x_0, \xi \delta)} \eta_0 \, dx - \int_{U(x_0, \delta)} a|u_t| + \frac{\beta}{q} |u_t|^q \, dx \geq \omega_N \delta^{N-2} \eta_0 - \int_{U(x_0, \delta)} M_1 \, dx \geq \omega_N \delta^N \eta_0 \eta_0 - M_1 + t_M M_1 ,
\]

where \(\eta_0\) is defined in \((f_4)\).

Note that \(0 < \mu < 1\), it follows from (16), (18), and (38), and (39) that

\[
I_{\lambda, \mu}(u) = \int_{\Omega} H(u_t) \, dx - \lambda \int_{\Omega} F(x, u_t) \, dx + \mu \int_{\Omega} \tau(w)u_t \, dx \leq A - \lambda \omega_N \delta^{N-2} \eta_0 \eta_0 - M_1 + t_M M_1 .
\]

By (35), we obtain that

\[
\frac{M_1}{\eta_0 + M_1} < t_M^N < 1.
\]

Thus,

\[
\eta_0 t_M^N - M_1 + t_M^N M_1 = \left( t_M^N - \frac{M_1}{\eta_0 + M_1} \right) (\eta_0 + M_1) > 0.
\]

Therefore, there exists \(\lambda > 0\), such that

\[
I_{\lambda, \mu}(u_0) < 0, \forall \lambda \lambda_* .
\]

By Proposition 2, the function \(I_{\lambda, \mu}\) is bounded from below. By Proposition 3, the function \(I_{\lambda, \mu}\) satisfies the \((PS)\) condition. Thus, \(I_{\lambda, \mu}\) attains its global minimum at some \(u_{\lambda, \mu} \in H^1(\Omega)\) by Theorem 4. Obviously,

\[
I_{\lambda, \mu}(u_{\lambda, \mu}) \leq I_{\lambda, \mu}(u_0), \forall \lambda \lambda_* .
\]

Next, we will prove the existence of the second critical point of \(I_{\lambda, \mu}\) via the Mountain Pass theorem (see Theorem 2). By the condition \((f_3)\) for any \(\epsilon > 0\), there exists \(t_* > 0\), such that

\[
F(x, t) \leq \chi(x) + \epsilon, \quad \forall t \in [-t_* , t_*] .
\]

It follows from \((f_2)\) that for all \(|t| > t_* ,\)

\[
|F(x, t)| \leq \left( \chi(x) + \epsilon + \frac{\beta(x) |t|^q}{t_*^q} \right) |t|^q .
\]

By the conditions \((h_1)\) and \((h_2)\), we obtain

\[
\lim_{t \to \infty} \frac{H(t) - H(t)}{t^2} = \lim_{t \to \infty} \frac{\eta_0 (t_0^N - M_1 + t_M M_1)}{t^2} = \frac{\eta_0 (\eta_0 - M_1 + t_M M_1)}{t^2}.
\]

and then there exists a positive constant \(\delta\) such that for all \(t \in (0, \delta) , \)

\[
h(t) - H(t) \leq \frac{\alpha t}{2 t^2} .
\]

Therefore, by \((47)\) and \((49)\), the Hölder inequality and the Sobolev embedding theorem, for all \(\lambda > \lambda_* \) and \(u \in H^1 \cap \{ \|u\| \leq T \} \), we have

\[
I_{\lambda, \mu}(u) = \phi_{\lambda, \mu}(u) + \frac{\lambda}{2} |u|^2 - \lambda c_4 |u|^q - \mu c_5 |u| ,
\]

where \(c_4\) and \(c_5\) are positive constants.

Denote

\[
\Lambda = \min \left\{ \frac{\alpha}{4c_4}, \frac{\alpha}{8c_5}, \frac{1}{2} \gamma \right\},
\]

\[
\mu_* = \min \left\{ \frac{\alpha}{8c_5}, \frac{\alpha}{8c_5}, \frac{1}{2} \gamma \right\} .
\]

Let \(\lambda > \lambda_* \) and \(\mu < \mu_* \). Note that \(? > \max[2, \gamma] .\) It follows from \((50)\) that
There exist positive constants $v$ and $Lq$.

**Theorem 4.** Existence of an Optimal Control Governed by a Kirchhoff-Type Variational Inequality

This section concerns the existence results of an optimal control for the optimal control problem ($\mathcal{P}$).

**Lemma 1.** Suppose $g(x,t): \Omega \times \mathbb{R} \rightarrow \mathbb{R}$ satisfies

$$
(g_1) \quad g(x,t) \text{ is measurable in } x \text{ for every } t \in \mathbb{R} \text{ and continuous in } t \text{ for a.e. } x; \\
(g_2) \quad \text{There exist positive constants } C_i, i = 1, 2, \text{ such that } |g(x,t)| \leq C_1 + C_2|t|^r, \quad \forall (x,t) \in \Omega \times \mathbb{R}.
$$

where $1 < r < 2^*$.

Then, the functional $G$ defined by

$$
G(u) = \int_{\Omega} g(x,u)dx, \quad u \in L^r(\Omega),
$$

is continuous.

**Proof.** Let $\{u_n\}$ be a sequence in $L^r(\Omega)$, such that $u_n \rightarrow u_0$ as $n \rightarrow +\infty$. Since $g$ satisfies the conditions $(g_1)$ and $(g_2)$, the operator $u \mapsto g(x,u)$ from $L^r(\Omega)$ to $L^1(\Omega)$ is continuous. Therefore, we have

$$
\int_{\Omega} g(x,u_n)dx \rightarrow \int_{\Omega} g(x,u)dx,
$$

as $n \rightarrow \infty$. The proof is complete.

**Lemma 2.** Suppose $I(x,t): \Omega \times \mathbb{R} \rightarrow \mathbb{R}$ is $C^1$ and for almost all $x \in \Omega$, $I(x,t)$ is convex with respect to $t \in \mathbb{R}$, and there exist positive constants $C_i, i = 3, \ldots, 6$, such that

$$
\begin{align*}
(I_1) & |f(x,t)| \leq C_3 + C_4|t|^q, \quad \forall (x,t) \in \Omega \times \mathbb{R}, \\
(I_2) & \frac{\partial f(x,t)}{\partial t} \leq C_5 + C_6|t|^{q-1}, \quad \forall (x,t) \in \Omega \times \mathbb{R},
\end{align*}
$$

where $1 < q < 2^*$. Then, the functional $L$ defined by

$$
L(w) = \int_{\Omega} I(x,w)dx, \quad w \in L^q(\Omega),
$$

is weakly lower semicontinuous.

**Proof.** Let $\{w_n\}$ be a sequence in $L^q(\Omega)$, such that $w_n \rightarrow w_0$ as $n \rightarrow +\infty$. Since $I(x,t)$ is $C^1$ and satisfies the conditions $(I_1)$ and $(I_2)$, $L$ is Gâteaux differentiable in the space $L^q(\Omega)$ and $L'(w_0) \in L^q(\Omega)$ (the dual space of $L^q(\Omega)$). Note that for each $x \in \Omega$, $I(x,\cdot)$ is convex, and then

$$
L(w_n) \geq L(w_0) + \langle L'(w_0), w_n - w_0 \rangle.
$$

As $w_n \rightarrow w_0$, from the inequality mentioned above by taking limits, we obtain

$$
\liminf_{n \rightarrow \infty} L(w_n) \geq L(w_0).
$$

The proof is complete.

**Theorem 5.** Existence of an Optimal Control Governed by a Kirchhoff-Type Variational Inequality

This section concerns the existence results of an optimal control for the optimal control problem ($\mathcal{P}$).

Let $\{w_n\}_{n \in \mathbb{N}}$ be a minimizing sequence for problem ($\mathcal{P}$) such that

$$
\lim_{n \rightarrow \infty} J(w_n,u_n) = \inf_{(w,u) \in \mathcal{S}(u)} J(w,u),
$$

where the cost function $J$ is defined by (2).

As $u_n \in S(w_n)$, we have

$$
b[|u_n|] \int_{\Omega} \nabla u_n(\nabla v - \nabla u_n)dx \geq \lambda \int_{\Omega} f(x,u_n)(v-u_n)dx - \mu \int_{\Omega} r(u_n)(v-u_n)dx, \quad \forall v \in \mathcal{V}.
$$

We claim that $\{(w_n,u_n)\}_{n \in \mathbb{N}}$ is bounded. In fact, as $U$ is bounded, $\{w_n\}$ is bounded. Let $v = 0$ in (63). We get
of generality, we may assume $H$ bounded, since $K_{X_hu}, \Omega \ni x, u \in \mathcal{X}_q, \mathcal{R} \ni w \in \mathcal{U}$. Hence, $u_n \rightharpoonup u_0$ in $L^q(\Omega)$ and $u_n \rightharpoonup u_0$ in $H^1_0(\Omega)$ as $n \to \infty$. Since $\mathcal{U}$ and $\mathcal{X}_q$ are weakly closed, $w_0 \in \mathcal{U}$ and $u_0 \in \mathcal{X}_q$. Then, let $v = u_0$ in (63). We get

$$h(\|u_0\|) \int_{\Omega} \nabla u_0 \nabla (u_0 - u_n) \, dx \geq \lambda \int_{\Omega} f(x, u_0) (u_0 - u_n) \, dx - \mu \int_{\Omega} \tau(u_0) (u_0 - u_n) \, dx.$$  

(65)

Since $f$ satisfies the conditions $(f_1)$ - $(f_4)$ and $\tau$ is bounded,

$$\lim_{n \to \infty} \lambda \int_{\Omega} f(x, u_0) (u_0 - u_n) \, dx = 0,$$

$$\lim_{n \to \infty} \int_{\Omega} \tau(u_0) (u_0 - u_n) \, dx = 0.$$  

(66)

Then, from (65) and (66), we have

$$\limsup_{n \to \infty} h(\|u_n\|) \int_{\Omega} \nabla u_n \nabla (u_n - u_0) \, dx \leq 0.$$  

(67)

Since $\{u_n\}$ is bounded and $h$ satisfies $(h_1)$ and $(h_2),$

$$\lim_{n \to \infty} \int_{\Omega} \nabla u_n \nabla (u_n - u_0) \, dx \leq 0.$$  

(68)

Note that $u_n \rightharpoonup u_0$ in $H^1_0(\Omega)$ as $n \to \infty$, and we have

$$\lim_{n \to \infty} \int_{\Omega} \nabla u_0 \nabla (u_0 - u_n) \, dx = 0.$$  

(69)

By (68) and (69), we get

$$\limsup_{n \to \infty} \int_{\Omega} |\nabla (u_n - u_0)|^2 \, dx \leq 0.$$  

(70)

Thus, $u_n \rightharpoonup u_0$ in $H^1_0(\Omega)$ as $n \to \infty$. Therefore, by (63), we have

$$h(\|u_0\|) \int_{\Omega} \nabla u_0 \nabla (v - u_0) \, dx \geq \lambda \int_{\Omega} f(x, u_0) (v - u_0) \, dx - \int_{\Omega} \tau(v) (v - u_0) \, dx, \quad \forall v \in \mathcal{X}_q,$$  

(71)

that is, $u_0 \in S(w_0)$. Denote

$$G(u) = \int_{\Omega} g(x, u) \, dx, \quad u \in \mathcal{X}_q,$$

$$L(w) = \int_{\Omega} l(x, w) \, dx, \quad w \in \mathcal{U}.$$  

Since $u_n \rightharpoonup u_0$ in $H^1_0(\Omega)$ as $n \to \infty$ and the embedding $H^1_0(\Omega) \hookrightarrow L^r(\Omega)$ is compact for $1 < r < 2^*$, we get $u_0 \in L^r(\Omega)$ as $n \to \infty$. By Lemma 1 and Lemma 2, $G: \mathcal{X}_q \to \mathbb{R}$ is continuous and $L: \mathcal{U} \to \mathbb{R}$ is weakly lower semicontinuous. Therefore,

$$J(w_0, u_0) = G(u_0) + L(w_0) \leq \liminf_{n \to \infty} (G(u_n) + L(w_n)),$$

$$\liminf_{n \to \infty} \inf_{w \in \mathcal{U}, u \in \mathcal{S}(w_0)} J(w, u).$$  

(72)

That is, $(w_0, u_0) \in \mathcal{U} \times S(w_0)$ is an optimal control for problem (P). The proof is complete.

**Theorem 6.** Let $\mathcal{U}$ be a nonempty bounded closed and convex subset of the space $L^q(\Omega)$ ($1 < q < \min[4, 2^*])$. Assume that $h$ satisfies the conditions $(h_1)$ - $(h_2), f$ satisfies the conditions $(f_1)$ - $(f_4)$, and $\tau: \mathcal{U} \to L^q(\Omega)$ is a weakly continuous mapping such that $\tau(\mathcal{U})$ is a bounded set. Let $1 < r < 2^*$, $g(u) = C_7 \|u - u_d|^q$, and $l(w) = C_8 \|w - w_d|^q$, for some constants $C_7$ and $C_8$, where $u_d \in L^r(\Omega), w_d \in \mathcal{U}$. There exist $\lambda_*, > 0, \mu_0 < 1$, such that for each $\lambda > \lambda_*, \mu < \mu_0$, there exists an optimal control $(w_0, u_0) \in \mathcal{U} \times S(w_0)$ for the optimal control problem (P).

**Proof.** Firstly, we claim that $J: \mathcal{U} \times \mathcal{X}_q \to \mathbb{R}$ is weakly lower semicontinuous. In fact,

$$J(w, u) = \int_{\Omega} g(u) + \int_{\Omega} l(w),$$

$$= C_7 \int_{\Omega} |u - u_d|^q + C_8 \int_{\Omega} |w - w_d|^q.$$  

(74)

By the weakly lower semicontinuity of the norm, $J: L^q(\Omega) \times L^r(\Omega) \to \mathbb{R}$ is weakly lower semicontinuous. Let $(w_n, u_n) \rightharpoonup (w_0, u_0) \in \mathcal{U} \times \mathcal{X}_q$. Then, $w_n \rightharpoonup w_0$ in $\mathcal{U}$ and $u_n \rightharpoonup u_0$ in $\mathcal{X}_q$. Since $1 < r < 2^*$, it follows from the Sobolev imbedding theorem that the imbedding $H^1_0(\Omega) \hookrightarrow L^r(\Omega)$ is a compact imbedding. Hence, $u_n \rightharpoonup u_0 \in L^r(\Omega)$ and $(w_n, u_n) \rightharpoonup (w_0, u_0)$ in $L^q(\Omega) \times L^r(\Omega)$. Since $J: L^q(\Omega) \times L^r(\Omega) \to \mathbb{R}$ is weakly lower semicontinuous, $J: \mathcal{U} \times \mathcal{X}_q \to \mathbb{R}$ is weakly lower semicontinuous. Then, similar to the rest of the proof given in Theorem 5, we obtain our conclusion.
Data Availability
No data were used to support this study.

Conflicts of Interest
The authors declare that they have no conflicts of interest.

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