Evaluation of the Efficacy and Safety of Vonoprazan in Patients with Nonerosive Gastroesophageal Reflux Disease: A Phase III, Randomized, Double-Blind, Placebo-Controlled, Multicenter Study

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ABSTRACT

Background: For many patients, current treatments do not adequately resolve heartburn in nonerosive reflux disease (NERD).

Objective: To compare vonoprazan and placebo with respect to the frequency and severity of heartburn in patients with NERD.

Methods: This Phase III, double-blind, placebo-controlled, parallel-group, multicenter study included patients in Japan aged ≥20 years with Grade N or M NERD and recurrent acid reflux symptoms. Patients were blinded and randomized 1:1:1 to receive placebo or vonoprazan 10 mg or 20 mg. The primary efficacy outcome was the proportion of days without heartburn measured by patient scores during the 4-week treatment period.

Results: Eight hundred twenty-seven patients were randomized (placebo: n = 278, vonoprazan 10 mg: n = 278, and vonoprazan 20 mg: n = 271). Median proportion of days without heartburn was 7.4% (placebo), 10.3% (vonoprazan 10 mg), and 12.0% (vonoprazan 20 mg). Proportion of days without heartburn was not statistically significant between the vonoprazan and placebo groups (P = 0.2310 [10 mg] and P = 0.0564 [20 mg]). Mean severity of heartburn was significantly higher with placebo (median score = 1.070) than with vonoprazan 10 mg (median score = 0.990; P = 0.00440) and 20 mg (median score = 0.960; P = 0.01399). Patients whose symptoms improved at Week 2 experienced significantly increased proportion of days without heartburn and reduced mean severity of heartburn at Week 4 with vonoprazan compared with placebo (proportion of days without heartburn: P = 0.0004 [10 mg] and P = 0.0001 [20 mg] and mean severity: P < 0.0001 [10 mg] and P < 0.0001 [20 mg]).

Conclusions: Vonoprazan at doses of 10 mg and 20 mg are not superior to placebo with respect to proportion of days without heartburn, whereas the mean severity of heartburn is lower with vonoprazan compared with placebo in patients with NERD. ClinicalTrials.gov identifier: NCT01474369.

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Introduction

Nonerosive gastroesophageal reflux disease (NERD) negatively influences patient quality of life. NERD is characterized by the presence of the typical symptoms of gastroesophageal reflux disease without visible erosive esophagitis at endoscopy.1,2 Proton pump inhibitors (PPIs) are currently the most effective treatment for NERD.3 However, PPIs do not completely control reflux symptoms in approximately 30% to 55% of patients.1,2,4

Vonoprazan is a member of a novel class of acid suppressants, the potassium-competitive acid blockers.5 Previous studies have shown that vonoprazan is noninferior to lansoprazole for the treatment of a number of acid-related disorders such as erosive

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esophagitis, Helicobacter pylori infection, and peptic ulcer disease. Studies in animals and healthy volunteers have shown that vonoprazan can exhibit its maximum acid-inhibitory effect in a shorter time and that this effect is longer lasting compared with lansoprazole.

The aim of this study was to determine whether vonoprazan was effective in treating NERD. The primary objective was to compare vonoprazan and placebo with respect to the frequency and severity of heartburn in patients with NERD. The secondary objectives were to assess the safety of vonoprazan compared with placebo in patients with NERD, determine the recommended clinical dose, and to determine whether the response after 2 weeks of treatment with vonoprazan was predictive of the response after 4 weeks of treatment.

Patients and Methods

Study design

This study was a multicenter, randomized, parallel, double-blind, placebo-controlled trial conducted at 75 study sites in Japan between November 2011 and February 2013. The study was approved by the institutional review board at each study center and was conducted in accordance with the Declaration of Helsinki/Good Clinical Practice Guideline, and applicable local Japanese regulations. The study was registered with ClinicalTrials.gov: NCT01474369. All patients signed the informed consent form before study procedures were initiated.

Study population

Patients were eligible for inclusion if they were aged at least 20 years at the time of informed consent; had a diagnosis of Grade M or N NERD (Grade M was defined as minimal changes to the mucosa, such as erythema without sharp demarcation, whitish turbidity, and/or invisibility of vessels due to these findings; Grade N was defined as normal mucosa based on Modified Los Angeles Classification13) by endoscopy; had recurrent acid reflux symptoms on ≥2 d/wk and acid reflux symptoms of moderate or higher severity during the 3 weeks before the start of the run-in period; were compliant (≥75%) with antacid therapy during the run-in period and had heartburn on ≥2 days during the week before randomization; and provided all required information in the patient (paper) diary recorded twice daily during the run-in period. Moderate to very severe acid reflux symptoms (heartburn or regurgitation) were defined as rather painful, painful, or painful enough to affect night-time sleep or daily activities.

Patients were excluded if they had a history of surgery that affects gastroesophageal reflux; had acute upper gastrointestinal bleeding or gastric or duodenal ulcer within 30 days before the start of the run-in period; had acute gastritis (defined as epigastralgia as well as multiple gastric mucosal erosions, redness, and edema) or acute exacerbation of chronic gastritis (defined as epigastralgia as well as multiple gastric mucosal erosions, redness, and edema on the gastric mucosa with chronic gastritis or atrophy); had Zollinger-Ellison syndrome or other gastric acid hyposecretion disorders; had a history of chest pain due to cardiac diseases within 1 year or chest pain that may be caused by cardiac disease; had any other concurrent upper gastrointestinal symptoms more severe than heartburn; had surgical treatment for erosive esophagitis and NERD or any surgery affecting gastric acid secretion during the study; had a diagnosis of depression; or required treatment with any excluded medications (including atazanavir and rilpivirine hydrochloride).

Randomization, treatment, and follow-up

The randomization table was generated by designated randomization personnel and was only accessible to authorized persons. Patients were randomized 1:1:1 according to their endoscopic findings (Grade N or M) at Visit 1. Assignment of study drugs was kept blinded by using drug numbers allocated to each study site and blinding information was kept centrally until all data were collected. Serum gastrin and pepsinogen I or II levels were not disclosed during the data collection period to maintain integrity of blinding. The study treatments (vonoprazan 10 mg or 20 mg and placebo) were indistinguishable in appearance.

Patients were randomized to receive vonoprazan 10 mg, vonoprazan 20 mg, or placebo. All study drugs were manufactured by Takeda Pharmaceutical Company Ltd, Osaka, Japan. During the 1-week run-in period, all patients received an oral antacid (Maar-edge Combination-DS for Suspension, 1.2 g, Towa Pharmaceutical Co., Ltd., Osaka, Japan) 3 times daily after each meal (Figure 1). During the 4-week treatment period, patients received a tablet of vonoprazan 10 mg, vonoprazan 20 mg, or placebo once daily after breakfast. During the 1-week follow-up period, all patients received a placebo tablet once daily after breakfast.

All concomitant medications, including vitamin supplements, over-the-counter drugs, and herbal medicines were recorded. Excluded medications were PPIs, H2 receptor antagonists, muscarinic M3 receptor antagonists (eg, tiquizium bromide, and pirenzepine), anticholinergics, prostaglandins, antacids, antigastrin drugs, mucosal protective agents, triple therapy for H pylori eradication, atazanavir sulfate, rilpivirine hydrochloride, or any investigational or postmarketing study products. Treatment compliance was assessed using patient (paper) diaries recorded twice daily and examination of the study drug container. The investigator was permitted to withdraw a patient from the study if the compliance rate was < 50% for 2 successive visits.

Outcome measures

Efficacy outcomes

The primary efficacy outcome was the proportion of days without heartburn experienced by patients during the 4-week treatment period. Additional efficacy outcomes were the cumulative improvement rate of heartburn, and the mean severity of heartburn experienced by patients during the 4-week treatment period. The heartburn symptom was recorded twice a day by patient diary and determined by patient scores (0 = no symptoms, 1 = very mild [symptoms present but often forgotten], 2 = mild [not so painful], 3 = moderate [rather painful], 4 = severe [rather painful]).

![Fig. 1. Study design.](image-url)
Secondary outcomes were determined for the proportion of days without heartburn and the mean severity of heartburn as determined by patient scores. Patient were stratified into subgroups defined as the response to treatment at Week 2 and baseline endoscopic findings (Grade M or N). Improvement at Week 2 was defined as the patient experiencing a proportion of days without heartburn lower than that observed during the run-in period.

Safety outcomes

Treatment-emergent adverse events (TEAEs), serum chemistry, hematology, urinalysis findings, serum gastrin and pepsinogen I/II concentrations, and vital signs and electrocardiogram results were recorded. TEAEs were recorded using the Medical Dictionary for Regulatory Activities code version 16.0 and were coded by treatment group by System Organ Class and Preferred Term.

Statistical analysis

The sample size was calculated according to previous lansoprazole study results. Based on these results, 268 patients per treatment group were required to ensure 90% power of the 2-sample Wilcoxon rank-sum test to verify the intergroup difference with a significance level of 0.05. The required number of patients per treatment group was determined to be 280 after taking into account patient dropout after randomization.

Three analysis sets were defined in this study: the full analysis set (FAS), the safety analysis set, and the per-protocol set (PPS). The FAS and safety analysis set included all patients who were randomized to the study treatments and received at least 1 dose of the study drugs. The PPS included all patients in the FAS who had available measurements for the primary variable, who had a prespecified minimal exposure to the treatment regimen, and who had no major protocol violations.

The proportion of days without heartburn and the mean severity of heartburn during the 4-week treatment period for each treatment group were analyzed in the FAS. Descriptive statistics were used to summarize these 2 outcome measures during the 4-week treatment period by treatment group. A Hodges-Lehmann estimation was used to estimate the difference between the treatment groups and a 2-sample Wilcoxon rank-sum test was used for comparison between treatment groups.

The Kaplan-Meier method was used to estimate the cumulative improvement rate of heartburn during the 4-week treatment period for each treatment group. The event date was defined as the first confirmed date of heartburn improvement that continued until the last day of study treatment. A log-rank test was used to compare the cumulative improvement rate between the vonoprazan groups and the placebo group.

A sensitivity analysis for efficacy outcomes was performed in the PPS to confirm the robustness of the analysis. The same analyses were performed in the subgroups. Analyses were conducted using SAS version 9.2 (SAS Institute Inc, Cary, North Carolina).

Results

Patient disposition, demographic characteristics, and baseline clinical characteristics

A total of 943 patients gave informed consent (Figure 2). Of these, 827 were randomized for treatment (vonoprazan 20 mg = 271 patients, vonoprazan 10 mg = 278 patients, and placebo group = 278 patients). No obvious differences were observed between the 3 treatment groups at baseline (Table I).

Efficacy outcome measures

In the FAS, the proportion of days without heartburn during the 4-week treatment period was not significantly different between the vonoprazan treatment groups and the placebo group (P = 0.2310 [vonoprazan 10 mg] and P = 0.0504 [vonoprazan 20 mg]) (Table I). In the sensitivity analysis comprising the PPS population, the proportion of days without heartburn was significantly higher in the vonoprazan 20 mg group compared with the placebo group (P = 0.0304).

The differences in the cumulative improvement rate of heartburn were not statistically significant between the vonoprazan treatment groups and the placebo group. However, time until the event date (the first confirmed date of heartburn improvement) was shorter in the vonoprazan groups compared with the placebo group (Figure 3). In addition, the proportion of combined days without heartburn and days with very mild heartburn was significantly greater in the treatment groups compared with the placebo group (median [Quartile 1, Quartile 3]: placebo = 60.70% [43.30, 85.70], vonoprazan 10 mg = 72.40% [21.40, 96.00]; P = 0.0099, and vonoprazan 20 mg = 71.40% [20.00, 96.30]; P = 0.0025). The mean severity of heartburn was significantly lower in the vonoprazan treatment groups (median score = 0.990 [vonoprazan 10 mg] and 0.960 [vonoprazan 20 mg]) compared
The proportion of days without heartburn was significantly higher in the vonoprazan treatment groups compared with the placebo group (vonoprazan 10 mg: \( P = 0.0001 \) and vonoprazan 20 mg: \( P = 0.0155 \)). Similarly, the proportion of days without heartburn was significantly higher in the vonoprazan 20 mg treatment group compared with the placebo group in patients with NERD grade M (\( P = 0.0451 \)). There was no significant difference between treatment groups in the proportion of days without heartburn or the mean severity of heartburn in patients with NERD grade N.

### Safety and tolerability measures

The safety profiles of vonoprazan 10 mg and 20 mg were similar to that of placebo. The incidence of TEAEs was 27.7% in the vonoprazan 10 mg group, 28.0% in the vonoprazan 20 mg group, and 32.7% in the placebo group (Table IV). The most common TEAE was nasopharyngitis. Most of the reported TEAEs were mild in intensity and no severe TEAEs were reported during the study period. No deaths were reported. One serious adverse event (SAE) was reported in 1 patient in the vonoprazan 10 mg group and 3 SAEs were reported in 3 patients in the vonoprazan 20 mg group. Of these,
1 SAE (diverticulitis) in the vonoprazan 10 mg group was considered related to the study drug. No SAEs were reported by patients in the placebo group. Mean levels of serum gastrin, pepsinogen I, and pepsinogen II increased after the study drug administration in the vonoprazan 10 mg and 20 mg groups (Table V). No clinically significant changes in gastrin, pepsinogen I, or pepsinogen II were observed in the placebo group.

**Discussion**

To our knowledge, this is the first randomized controlled trial to examine the effect of vonoprazan in patients with NERD. We observed that vonoprazan 10 mg and 20 mg were not superior to placebo in NERD in the FAS with respect to the proportion of days without heartburn. In the PPS, vonoprazan 20 mg was superior to placebo and the proportion of days without heartburn was higher in the vonoprazan 20 mg group. However, according to the...
stratified analysis at Week 2, we observed no meaningful difference between vonoprazan 10 mg and 20 mg doses.

We also found that the difference in mean severity of heartburn was significantly lower with vonoprazan 10 mg and 20 mg compared with the placebo group, although there was no significant difference in cumulative improvement rate of heartburn. Our results are consistent with previous studies of patients with NERD, which indicate that the pathology of NERD may be distinct from erosive acid-related diseases and patients with NERD may not respond as well to some treatments that are effective in GERD with erosive mucosal injury. For example, patients with NERD often respond poorly to some PPIs.12,14,15 However, the severity of acid reflux may correlate with response to therapy. One study suggested that severity of acid reflux in NERD is predictive of response to rabeprazole.15 Similarly, in our study, patients with some observable vague erythema and/or whitish turbidity mucosa (NERD Grade M) experienced significantly lower mean severity of heartburn with vonoprazan compared with placebo. In contrast, there was no significant difference in mean severity of heartburn between vonoprazan and placebo in patients with no observable mucosal change (NERD Grade N).

We observed that patients with NERD who responded to vonoprazan at Week 2 experienced a significantly increased proportion of days without heartburn and significantly reduced severity of heartburn at Week 4 compared with patients who did not respond at Week 2. Similarly, we observed that patients in the vonoprazan treatment groups experienced faster resolution of heartburn compared with placebo. Our results indicate that resolution of symptoms at Week 2 with vonoprazan may be predictive of response at Week 4.

The strengths of our study include its randomized, multicenter study design and the good retention of patients in the follow-up phase. In addition, the study stratified patients into Grades M and N categories; these are standard classifications used by Japanese endoscopists. The limitations of the study include the low numbers of patients in some groups for the subgroup analyses. Because the resolution of symptoms was measured by patient diary entries and was not confirmed by pH monitoring, recorded symptoms may be subjective or not related to NERD; for example, based on the grading scale, it is possible that very mild symptoms could be categorized as no symptoms.

There are a number of other factors that may have confounded the results of our study. First, we included a run-in period with antacid treatment in our study design. Participants were excluded from the study when they responded to antacids in the run-in period. Therefore, our study design allowed the possibility that appropriate patients with acid-induced heartburn may have been excluded. Second, the postobservation period with placebo in this study may have confounded the cumulative heartburn improvement rate. Third, according to the stratified analysis based on response at Week 2, many patients with possibly confounding conditions were included in this study; for example, patients with functional disorders such as functional dyspepsia and functional heartburn. Fourth, it should also be considered that patients with no response to antacid agents during the run-in phase may actually have had functional heartburn and would therefore not be expected to improve with vonoprazan at Week 2 or at Week 4. In contrast, patients who responded to antacid agents were probably more likely to have had true NERD; these patients responded to vonoprazan at Week 2 and continued to show response to vonoprazan at Week 4. Therefore, in patients with heartburn who have normal or equivocal findings at endoscopy, failure to respond to antacid may be predictive of failure to respond to acid-suppressing medicines. Finally, in the group of patients who responded at Week 2, there was no meaningful difference in efficacy between 10 mg and 20 mg vonoprazan despite the fact that the acid inhibitory effect of vonoprazan 20 mg is greater than vonoprazan 10 mg. One possible explanation for this result is that vonoprazan 10 mg is a sufficient dose for the improvement of NERD. The recommended dose of PPIs for treatment of NERD in Japanese patients is half the maximum dose for treatment of erosive esophagitis16; the maximum dose of vonoprazan for treatment of erosive esophagitis is 20 mg.

### Conclusions

Results from the FAS suggest that vonoprazan 10 mg and 20 mg are not superior to placebo with respect to proportion of days without heartburn in patients with NERD. However, the results from the PPS suggest that vonoprazan 20 mg may be effective in the treatment of NERD. In addition, there was no meaningful difference observed between 10 mg and 20 mg doses of vonoprazan. To confirm the clinical influence and efficacy of vonoprazan in patients with NERD, future studies should be designed to overcome the limitations found in our study.

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Role of contributors

All authors participated in the interpretation of study results, and in the drafting, critical revision, and approval of the final version of the manuscript. YK, KA, KS, MS, and AN are employees of Takeda Pharmaceutical Company Limited. YK has received study support from Takeda Pharmaceutical Company Limited and has participated in consultancies / advisory panels and speakers’ bureaus for Takeda Pharmaceutical Company Limited. YK, TM, KS, EU, and KA served as the Central Adjudication Committee. KK conducted the statistical analysis.

Conflict of interest statement

YS, MS, KK, and AN are employees of Takeda Pharmaceutical Company Limited. YK has received study support from Takeda Pharmaceutical Company Limited and has participated in consultancies / advisory panels and speakers’ bureaus for Takeda Pharmaceutical Company Limited. YK, TM, KS, EU, and KA served as the Central Adjudication Committee. KK conducted the statistical analysis.

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Conflicts of Interest

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