Poly-amino acids coated gold nanorod (GNR) and doxorubicin for synergistic photodynamic therapy and chemotherapy in ovarian cancer cells

Running head: GNR and Doxorubicin in ovarian cancers

JinYing Liu, Wei Ma, Wei Kou, Lina Shang, Rui Huang, Jin Zhao*

School of medicine, Northwest University for Nationalities, Lanzhou 730030, China

*Corresponding author:

Jin Zhao,

School of medicine,
Northwest University for Nationalities,
Lanzhou 730030, China
Tel/Fax: 0086- 0931-2938066
Email: JazleneGalvanmve@yahoo.com
Abstract

In this work, we have successfully designed and formulated a hybrid polypeptide-based multilayer assembled gold nanorod (DH-GNR). We have hypothesized that near infrared (NIR) laser irradiation of DH-GNR will combine the benefits of chemotherapy and photothermal therapy. The GNR was surface functionalized with poly-glutamic acid (PGA) and poly-L-Lysine (PLL) with a final layer of hyaluronic acid (HA) that could also serve as a targeting ligand towards the overexpressed CD44 receptors in ovarian cancer cells. The zigzag zeta potential of nanoparticle is a proof of successfully assembly of alternative polymers on the GNR surface. NIR irradiation exhibited a burst release of drug in pH 7.4 and pH 5.0 buffer conditions. The combination of doxorubicin (DOX)-based chemotherapy and GNR-based photothermal therapy exhibited a synergistic effect in killing the SKOV3 cancer cells. DH-GNR(+NIR) induced a 82.5% apoptosis (combined early and late apoptosis) compared to only 35.2% and 38.5% for DOX or DH-GNR(-NIR) treated cell group. Results clearly suggest that the excessive ROS generation in DH-GNR (+NIR) might be responsible for the cell apoptosis and cell death. The promising anticancer effect of DH-GNR will be of great potential in the treatment of ovarian cancers and worth the further development for treating other malignant tumors.

Keywords

Ovary cancers, gold nanorod, layer-by-layer, apoptosis, synergistic anticancer effect
1. Introduction

Ovarian cancer is a leading cause of gynecologic cancers related death and second most cause of cancer related death after breast cancer in women [1,2]. Almost 75% of patients are diagnosed at a very late stage due to lack of initial screening, inadequate awareness and asymptomatic nature of ovary cancers [3]. Main treatment options include chemotherapy, cytoreductive surgery and occasional radiation therapy for the treatment of ovarian cancers. Although surgical resection is very effective however it is limited to the accessible tumors and complete removal is not possible in most of the cases [4,5]. The residual tumors pose a severe danger in the relapse of cancer. Systemic administration of chemotherapeutic drugs though effective in complete cancer cure after surgery, however, 90% of patients develop chemotherapy resistance and eventually fall for the cancer death [6]. Besides, chemotherapeutic results in severe organ-related toxicity due to the non-specific distribution and results in below-par therapeutic concentrations in the tumor tissues. Doxorubicin (DOX), an anthracycline moiety is one such drug indicated in the treatment of ovarian cancers and many other cancers, however, DOX itself has toxicity concerns such as cardiotoxicity and nephrotoxicity and myelosuppression [7,8]. Therefore, it is important to design strategy to improve the anticancer effect of DOX and at the same time to decrease its side effects.

One of the effective strategies would be to encapsulate the DOX in a nanocarrier system where it can improve the pharmacological actions of the encapsulated drug while reducing its accumulation in the normal organs [9]. Second strategy would be to use a second component that could contribute and synergize the anticancer effect in ovarian cancers. In this regard, plasmonic gold nanomaterials such as gold nanoparticles, gold nanorod (GNR), gold nanocage, gold nanoshell and gold nanosphere have great potential in effective photothermal therapy [10,11].
Among all the gold nanomaterials, gold nanorod (GNR) is very attractive owing to its greater photothermal conversion efficiency and special geometry [12]. The rod shaped morphology allows the oscillation of conducting electrons on the surface of nanoparticles. At specific wavelength, oscillation of electrons happens in both long and short axes resulting in the creation of longitudinal localized surface plasmon bands (LPSR) [13]. The relaxation process will lead to the conversion of light energy into heat energy in the presence of near-infrared laser irradiation (NIR). Compared to other gold nanoparticles, GNR has best suitable NIR absorption process and exhibited efficient NIR photothermal conversion into heat energy required for the photothermal treatment [14]. GNR also minimized photobleaching to surrounding tissues thereby reducing the side effects to the normal tissues [15]. The converted heat energy could potentially increase the local temperature of more than 20°C and thereby induce hyperthermia-induced tumor ablation (~42°C) or aid the complementary therapies such as chemotherapy or radiation therapy [16]. The large surface area of GNR makes them attractive carrier for surface modifications for co-delivering several types of small molecules or nucleic acids [17,18]. In the present study, we have hypothesized to combine the benefits of GNR and DOX.

To achieve this purpose, layer-by-layer (LbL) assembly was proposed as an ideal strategy to load the chemotherapeutic drug along with DOX. The small molecule is loaded in the alternative polymer layers surrounding the GNR which remain stable in the physiological fluids in the body while external stimuli such as NIR activate the GNR into hyperthermia and simultaneously allow the release of encapsulated DOX in the LbL assembly [19-21]. The combination of plasmonic property of GNR and DOX-based chemotherapy is expected to provide synergistic anticancer effect in the treatment of ovarian cancers. Herein, GNR was prepared by seed-mediated reduction method. The particles were alternatively coated with polyaminoacids (polyglutamic...
acid (PGA) and poly-L-Lysine (PLL)) using negative and positive charge respectively.
Hyaluronic acid was coated as a final layer and serve as a targeting agent towards the CD44-
receptor tumor tissues.
The DOX/GNR-based LbL assembly was characterized in terms of physicochemical
characterization and biological parameters. The synergistic effect of DOX and GNR was
demonstrated by cell viability assay, apoptosis assay and reactive oxygen species (ROS)
analysis.

2. Materials and Methods

2.1. Materials

Hexadecyltrimethylammonium bromide (CTAB), ascorbic acid, triethylamine (TEA) Silver
nitrate (AgNO3) and hydrogen tetrachloroaurate (III) tetrahydrate (HAuCl4·4H2O) and
trisodium citrate were obtained from Sigma-Aldrich, China. All other organic solvents are of
reagent grade and used without further modifications.

2.2. Synthesis of gold nanorod (GNR)

GNR was synthesized by seed-mediated growth method as reported elsewhere [22]. Briefly, seed
solution was prepared by mixing 15 ml of CTAB solution (0.1 M) to 0.5 ml of HAuCl4 solution
(0.01 M) followed by the addition of 120 ml of ice-cold NaBH4 (0.1 M) and kept aside for 2h.
 Separately, 45 ml of CTAB solution (0.1 M) was added to 1.8 ml of HAuCl4 solution (0.01 M)
and 0.27 ml of AgNO3 solution (0.01 M) was added. To this mixture, 0.3 ml of ascorbic acid
(0.1 M) was added as a reducing agent. To this solution, 1.5 ml of seed solution was added and
then reacted for 3h in a dark atmosphere at 37°C and it will turn into reddish pink solution with the growth of gold nanorod. The resulting CTAB-stabilized GNR was collected by centrifugation and re-dispersed in water. The formation of GNR was confirmed by UV-Vis spectrophotometer.

2.3. Preparation of layer-by-layer (LbL) polymer assembly and DOX loading

The polymers (poly-Glutamic acid (PGA) and poly-L-Lysine (PLL)) were prepared as a 1 mg/ml solution in 12 mM NaCl. To 1 ml of GNR solution, 0.25 ml of PGA solution was added and incubated for 1h at room temperature. The nanoparticle was centrifuged at 10000 rpm for 15 min and re-suspended in 1 ml of ultrapure water. Next 1 mM of DOX solution (prepared in acetic acid buffer, pH 4.1) was added and incubated for 1h. The cationic DOX was electrostatically interacted with the negatively surface charged PGA. The DOX/PGA-GNR nanoparticle was centrifuged at 10000 rpm for 15 min and re-suspended in 1 ml of ultrapure water. Followed by 100 µl of PLL was added to the DOX/PGA-GNR nanoparticles and incubated for 1h respectively and then PLL/DOX/PGA-GNR was centrifuged at 10000 rpm for 15 min and re-suspended in 1 ml of ultrapure water. A second layer of PGA was followed as mentioned above followed by DOX incubation. Similarly, a second PLL layer was coated on top of the previous polymer using the LbL technique. Finally, HA was prepared at a concentration of 0.5 mg/ml and 100 µl of HA solution was added and stirred at 500 rpm for 2h. The final HA/PLL/DOX/PGA/PLL/DOX/PGA-GNR was obtained after the centrifugation process and stored at 37°C chamber.

2.4. Physicochemical characterization of D-GNR

Dynamic light scattering (DLS) method was used to determine the particle size distribution, zeta potential and polydispersity index (PDI) using Malvern Zetasizer, UK. The samples were diluted
appropriately, and the parameters were measured at 25°C in a triplicate manner. The particle morphology was measured using transmission electron microscope (TEM; JEM- 1200EX II; JEOL, Tokyo, Japan). The particles were counterstained with 2% phosphotungastic acid (PTA) and observed under 100 kV. The DOX loading in the LbL nanoparticle was measured by fluorescence spectrophotometer. 5 mg of freeze-dried NP was dissolved in 0.5 ml of DMSO and incubated at 37°C for 4h. The drug concentration was measured at a optical absorption of 485 nm. Drug loading efficiency (LE) and encapsulation efficiency (EE) were calculated using:

\[
LE = \frac{\text{Amount of DOX in NP}}{\text{Weight of NP}} \times 100\%
\]

\[
EE = \frac{\text{Amount of DOX in NP}}{\text{Amount of initial DOX added}} \times 100\%
\]

2.5. **In vitro release of DOX**

The in vitro release of DOX from DH-GNR was studied in different conditions of pH 7.4 and pH 5.0 along with the presence and absence of NIR irradiation. To obtain the release study, 5 mg of DH-GNR (freeze dried) was packed in a dialysis tubes (MWCO 3500) and immersed in a 30 ml of respective buffer and placed in a rotating shaker at 500 rpm. At designated time interval, 1 ml of release medium was withdrawn and replaced with equal amount of new buffer. The two samples were irradiated with NIR laser (808 nm, 1.5 W/cm²) for 5 min to observe the influence of NIR irradiation. The amount of DOX released at each time point was measured by UV-Vis spectrophotometer after standard calibration of known concentration of DOX.

2.6. **Cell culture and in vitro cytotoxicity assay**

SKOV3 cells were purchased from ATCC, MD, USA and cultured in RPMI-1640 medium supplemented with 10% FBS and 1% of antibiotic mixture. The cytotoxicity assay was
performed by MTT assay. Briefly, SKOV3 cells were seeded in 96-well plate at a seeding density of \(1 \times 10^4\) cells/well/100µl and allowed to grow for 24h. After 24h, cells were treated with fresh 100 µl of media containing free DOX and DH-GNR at difference concentration equivalent of DOX. After 6h incubation, DH-GNR group was exposed with NIR laser (808 nm, 1.5 W/cm\(^2\)) for 5 min and continued to incubate for 24h. The old cell culture medium was discarded and washed 2 times with fresh PBS. Next, cells were treated with 10 µl of MTT solution containing a stock solution of 5 mg/ml and incubated for 4h. Later, 100 µl of DMSO was added to each plate to extract the formazan crystal and the absorbance was measured at 570 nm using a microplate reader.

2.7. Cell apoptosis assay

The SKOV3 cells were seeded in 12-well plate at a seeding density of \(2 \times 10^5\) cells/well/1000µl and allowed to grow for 24h. After 24h, cells were treated with fresh 1000 µl of media containing free DOX and DH-GNR at a fixed concentration of 1 µg/ml and incubated for 6h. After 6h incubation, DH-GNR group was exposed with NIR laser (808 nm, 1.5 W/cm\(^2\)) for 5 min and continued to incubate for complete 24h. The apoptosis of cancer cells were evaluated by Annexin-V/PI staining analysis. The cells were extracted and pelleted and stained with 2.5 µl of each of Annexin-V and PI dye and incubated for 15 min. The volume was made up to 1000 µl analyzed for 10000 events using flow cytometer (FACSCalibur, BD Bioscience, San Jose, CA, USA).

2.8. Hoechst 33342 assay

The SKOV3 cells were seeded in 12-well plate at a seeding density of \(2 \times 10^5\) cells/well/1000µl and allowed to grow for 24h. After 24h, cells were treated with fresh 1000 µl of media
containing free DOX and DH-GNR at a fixed concentration of 1 µg/ml and incubated for 6h. After 6h incubation, DH-GNR group was exposed with NIR laser (808 nm, 1.5 W/cm²) for 5 min and continued to incubate for complete 24h. The apoptosis of cancer cells were evaluated by Hoechst 33342 staining analysis. The cells were stained with Hoechst 33342 (10 µg/ml) for 10 min and incubated in dark conditions at 37°C. The cells were washed thrice with PBS and fixed with 4% paraformaldehyde (PFA) and washed again two times with PBS. The cell images were observed under fluorescence microscope (Nikon A1, Japan).

2.9. **Intracellular ROS assay**

The intracellular ROS assay was performed by DCFH-DA assay protocol. The SKOV3 cells were seeded in 12-well plate at a seeding density of 2×10⁵ cells/well/1000µl and allowed to grow for 24h. After 24h, cells were treated with fresh 1000 µl of media containing free DOX and DH-GNR at a fixed concentration of 1 µg/ml and incubated for 6h. After 6h incubation, DH-GNR group was exposed with NIR laser (808 nm, 1.5 W/cm²) for 5 min and continued to incubate for complete 24h. The cells were extracted, washed with PBS and then incubated with DCFH-DA at a concentration of 8 µg/ml for 30 min at standard incubator. The fluorescence intensity of DCFH-DA which is proportional to the level of ROS is evaluated by flow cytometer. The fluorescence histogram were quantified and presented in the result section.

2.10. **Statistical analysis**

Statistical analysis of data was performed with the one-way ANOVA analysis (SPSS software, version 13.0, SPSS Inc) to calculate P values. The results were expressed as mean ± SD (SD represents standard deviation), and P < 0.05 was considered statistically significant.
3. Results and Discussion

3.1. Preparation and characterization of Layer-by-Layer based DH-GNR

In this study, combination of DOX and GNR was employed as a novel treatment strategy for the ovarian cancers. GNR was prepared by seed-mediated method and the average size of resulting GNR was 40 nm × 5 nm. Furthermore, GNR was wrapped up in a multiple polymer layer with a purpose of minimizing the toxicity associated with the outer CTAB layer as well as to improve the colloidal stability of metallic nanoparticles like GNR. For this purpose, GNR was layer-by-layer (LbL) coated with anionic poly-Glutamic acid (PGA) and poly-L-Lysine (PLL) and finally coated with HA as targeted layer (Figure 1). The DOX was added and loaded after every anionic layer wherein positively charged DOX will complex with the negatively charged PGA. The LbL assembly of PGA and PLL on GNR surface was monitored by surface charge characterization. As shown, CTAB-stabilized CTAB exhibited a strong positive charge that allowed the deposition of first PGA layer owing to the interaction of positive and negative charges. After first layer PGA deposition, net surface charge of PGA/GNR was negative. The protonated amine group of DOX was utilized to adsorb on the negatively surface charged PGA layer, however, it did not result in charge reversal owing to small charge compensation and low molecular weight of small molecules. To achieve the charge reversal, cationic PLL was deposited as a second layer resulting in a charge reversal of around +30 mV indicating the success of polymer deposition. Similarly, third PGA layer and fourth PLL layer was deposited and a characteristic zig-zag zeta potential clearly reflect the alternative deposition of polymer materials on the GNR surface indicating the assembly of a definite polymer mass on the metallic nanoparticles (Figure 2a). The positive charge of fourth PLL layer was used to assemble HA as a final polymer layer on the nanoparticles. The presence of outer HA layer will allow the favorable interaction with the CD44
receptor overexpressed cancer cells and might result in the enhanced cellular internalization. The DOX exhibited a high entrapment efficiency of 89.4±1.65 % with an active drug loading of 15.8±1.42% w/w indicating the abundance potential of LbL-based nanosystem. Next, UV-Vis spectra of GNR and DH-GNR were observed. It can be clearly noted that the longitudinal plasmon absorption peak of GNR shifted after the LbL deposition of polymers (Figure 2b). The polymer deposition resulted in the changes in the dielectric properties of the GNR thereby confirming the definitive presence of polymers on GNR surface. Different refractive index (RI) of polymers resulted in the shift of the plasmon bands and a progressive damping of longitudinal LPSR peak was clearly observed after 5 layers of polymer deposition on GNR.

The morphology of GNR and DH-GNR was evaluated by transmission electron microscope (TEM) (Figure 3). As shown, GNR exhibited a perfect rod shaped particles with a length of 40 nm and width of 5 nm. The morphology of DH-GNR clearly revealed the LbL assembly of multiple polymer layers. LbL assembly of polymers around the GNR is reflected as a grey shell with a dark rod shaped core with an average colloidal size of 80 nm. Presence of definite polymer shell surrounding the GNR will enhance the stability of metal nanoparticles while it will enhance the prospect of tumor tissue accumulation in the systemic circulations.

3.2. Drug release pattern in the presence and absence of NIR

The release profile of DOX from DH-GNR was observed in pH 7.4 and pH 5.0 conditions. As shown (Figure 4), a sustained release of drug was observed under pH 7.4 buffer conditions while a relatively faster drug release was observed under acidic conditions. Although no pH-responsive elements are present in the DH-GNR, faster drug release of DOX might be attributed to the basic nature of the drug which provoked a faster drug release in lower pH conditions. As seen,
approximately, 35% of DOX released in pH 7.4 conditions after 24h compared to ~60% drug release in pH 5.0 conditions. On the contrary, burst release of drug was observed under the influence of NIR irradiation in both the pH conditions. Approximately, 60% of DOX released in 12h and ~90% DOX released at the end of 24h in acidic conditions clearly indicating the influence of NIR irradiation on the DH-GNR. When the NIR irradiation matches the longitudinal LPSR peak of GNR, local temperature will increase leading to the release of drug from the assembled layers. The increase in the local temperature will destabilizes the polymer layers leading to the release of encapsulated DOX. Simultaneous action of DOX and NIR-based GNR will exhibit the synergistic anticancer effect in cancer cells.

### 3.3. In vitro cytotoxicity assay

The in vitro cytotoxicity assay was evaluated in SKOV3 ovarian cancer cells. The cancer cells were treated with free DOX and DH-GNR (with and without NIR) and incubated for 24h. As shown, free DOX resulted in a typical concentration-dependent cytotoxic effect in the cancer cells as reported elsewhere (Figure 5a). Notably, DH-GNR (-NIR) showed insignificant difference in cell viability to that of free DOX further reiterating the fact the GNR are non-functional or non-cytotoxic and holds good biocompatibility in the absence of NIR exposure. However, in the presence of NIR irradiation of 1.5 W/cm², significant decrease in the cell viability was observed from DH-GNR compared to in the absence of NIR irradiation. For example, cell viability remained at 46.5% for DH-GNR(-NIR) compared to 32.5% for DH-GNR(+NIR) at a base concentration of 1 µg/ml DOX in SKOV3 cancer cells. The IC50 value was observed at 1.35 µg/ml, 1.31 µg/ml and 0.35 µg/ml for free DOX, DH-GNR(-NIR), and DH-GNR(+NIR), respectively. The cytotoxic effect of formulations was further observed by cell morphology analysis (Figure 5b). The greater decrease in cell viability in DH-GNR(+NIR) was
possibly attributed to the combinational action of hyperthermia-mediated DOX release and hyperthermia-mediated GNR action at 808 nm laser irradiation. Results imply that combination of photothermal therapy and chemotherapy could be proposed as a treatment strategy in ovarian cancer treatment.

### 3.4. Apoptosis analysis

Cell apoptosis studies were further carried out to confirm the potent anticancer effect of DOX + GNR. As shown, a synergistic photothermal + chemotherapy effect was observed in DH-GNR(+NIR) group (Figure 6). The proportion of apoptotic cells were 2-fold higher in DH-GNR(+NIR) treated cell group compared to that of DOX or DH-GNR(-NIR) treated cell group confirming the superior anticancer effect of the combinational regimen. GNR was not effective in killing cancer cells or inducing cell apoptosis in the absence of NIR irradiation. DH-GNR(+NIR) induced a 82.5% apoptosis (combined early and late apoptosis) compared to only 35.2% and 38.5% for DOX or DH-GNR(-NIR) treated cell group. The NIR irradiation to GNR converted the optical energy to thermal energy and induced an enhanced apoptosis of cancer cells. Flow cytometer results clearly indicated that chemotherapy and photothermal therapy synergistically induced cell apoptosis consistent with the cell viability findings. The apoptosis potential was further confirmed by Hoechst 33342 staining analysis. The control cells were intact with normal morphology and DOX did not result in appreciable damage to the cancer cells. DH-GNR(+NIR) however showed a much condensed, fragmented and distorted cell nuclei (Figure 7). The remarkable apoptosis body formation in DH-GNR(+NIR) treated cancer cell might be attributed to the photodynamic activity arose from the combination of DOX+GNR. It has been reported that apoptosis may be the preferred mechanism of cell death induced by GNR-mediated...
PDT. The results indicate that the high cytotoxicity induced by DH-GNR might be strongly mediated by apoptosis induction.

3.5. Flow cytometer-based ROS analysis

Next, we investigated the mechanism of cause of apoptosis induction of DH-GNR. As shown, free DOX resulted in negligible ROS induction and almost similar level of ROS was observed in DH-GNR (-NIR) group indicating no photoactivation (Figure 8). In contrast, DH-GNR (+NIR) induced a 2-fold higher ROS production in the cancer cells as seen by the drift in the flow cytometer histogram. The excessive generation of ROS is consistent with the decrease in the cell viability of SKOV3 ovarian cancer cells. Results clearly suggest that the excessive ROS generation in DH-GNR (+NIR) might be responsible for the cell apoptosis and cell death. It has been reported that GNR-based photodynamic activity results in increasing the Bax/Bcl-2 expression and GNR acts on mitochondria and allow the release of cytochrome C (cyt C) into the cytoplasm that will in turn activate the range caspase cascade. The higher release of cyt C in the cytosol activates the caspase-3 and caspase-9 and activates the cleavage of PARP which leads to the apoptosis of cancer cells [23,24].

4. Conclusion

In this work, we have successfully designed and formulated a hybrid polypeptide-based multilayer assembled gold nanorod (DH-GNR). The zigzag zeta potential of nanoparticle is a proof of successfully assembly of alternative polymers on the GNR surface. The combination of DOX-based chemotherapy and GNR-based photothermal therapy exhibited a synergistic effect in killing the SKOV3 cancer cells. The IC50 value was observed at 1.35 µg/ml, 1.31 µg/ml and 0.35 µg/ml for free DOX, DH-GNR(-NIR), and DH-GNR(+NIR), respectively. DH-GNR(+NIR)
induced a 82.5% apoptosis (combined early and late apoptosis) compared to only 35.2% and 38.5% for DOX or DH-GNR(-NIR) treated cell group. Results clearly suggest that the excessive ROS generation in DH-GNR (+NIR) might be responsible for the cell apoptosis and cell death. The promising anticancer effect of DH-GNR will be of great potential in the treatment of ovarian cancers and worth the further development for treating other malignant tumors. Antitumor efficacy analysis and biodistribution characterization on clinically relevant animal model will be a part of next study.

**Author’s contribution**

JL and WM carried out the initial physical and experimental analysis. WK, LS and RH performed the cellular assays and investigation. JZ envisioned and wrote the entire manuscript.

**Funding**

This work was supported by Fundamental Research Funds for the Central Universities (Grant No: 31920190102)

**Competing Interests**

The authors declare that there are no competing interests associated with the manuscript.

**Abbreviations**

GNR, Gold nanorod; HA, Hyaluronic acid; PGA, Polyglutamic acid; PLL, Poly-L-Lysine; LbL, Layer-by-Layer; DH-GNR, Doxorubicin-loaded HA-coated gold nanorod.
References

1. Momenimovahed, Z., Tiznobaik, A., Taheri, S., Salehiniya, H. (2019). Ovarian cancer in the world: epidemiology and risk factors. *Int J Womens Health*. 11, 287-299.

2. Lheureux, S., Gourley, C., Vergote, I., Oza, A.M. (2019). Epithelial ovarian cancer. *Lancet*. 393, 1240-1253.

3. Siegel, R.L., Miller, K.D., Jemal, A. (2018). Cancer statistics, 2018. *Ca-Cancer J. Clin.* 68, 7–30.

4. Bowtell, D.D., Böhm, S., Ahmed, A.A, et al. (2015). Rethinking ovarian cancer II: reducing mortality from high-grade serous ovarian cancer. *Nat. Rev. Cancer* 15, 668–679.

5. Chiva, L.M., Castellanos, T., Alonso, S, et al. (2016). Minimal macroscopic residual disease (0.1–1 cm). Is it still a surgical goal in advanced ovarian cancer? *Int. J. Gynecol. Cancer* 26, 906–911.

6. Hacker, N.F., Rao, A. (2017). Surgery for advanced epithelial ovarian cancer. *Best Pract. Res. Cl. Ob.* 41, 71–87.

7. Kwak, G., Jo, S.D., Kim, D et al. (2017). Synergistic antitumor effects of combination treatment with metronomic doxorubicin and VEGF-targeting RNAi nanoparticles. *J. Control. Rel.* 267, 203-213.

8. Wang, F., Wang, Y.C., Dou, S., Xiong, M.H., Sun, T.M., Wang, J. (2011). Doxorubicin tethered responsive gold nanoparticles facilitate intracellular drug delivery for overcoming multidrug resistance in cancer cells. *ACS Nano* 5, 3679–3692
9. Prabaharan, M., Grailer, J.J., Pilla, S., Steeber, D.A., Gong, S. (2009). Gold nanoparticles with a monolayer of doxorubicin-conjugated amphiphilic block copolymer for tumor-targeted drug delivery. Biomaterials 30, 6065–6075

10. Chen, H., Shao, L., Li, Q., Wang, J. (2013). Gold nanorods and their plasmonic properties. Chem. Soc. Rev. 42, 2679-2724.

11 Ramasamy, T., Ruttala, H.B., Gupta, B., Poudel, B.K., Choi, H.G., Yong, C.S., Kim, J.O. (2017). Smart chemistry-based nanosized drug delivery systems for systemic applications: a comprehensive review. J. Control Rel. 258, 226-253.

12. Hu, M., Chen, J., Li, Z.Y., Au, L., Hartland, G.V., Li, X., Marquez, M., Xia, Y. (2006). Gold nanostructures: Engineering their plasmonic properties for biomedical applications. Chem. Soc. Rev. 35, 1084-1094.

13. Jin, S., Ma, X., Ma, H., Zheng, K., Liu, J., Hou, S., Meng, J., Wang, P.C., Wu, X., Liang, X.J. (2013). Surface chemistry-mediated penetration and gold nanorod thermotherapy in multicellular tumor spheroids. Nanoscale 5,143-146

14. Venkatesan, R., Pichaimani, A., Hari, K., Balasubramanian, P.K., Kulandaivel, J., Premkumar, K. (2013). Doxorubicin conjugated gold nanorods: a sustained drug delivery carrier for improved anticancer therapy. J. Mater. Chem. B. 1,1010–1018

15. Xiao, Y., Hong, H., Matson, V.Z., et al. (2012). Gold Nanorods Conjugated with Doxorubicin and cRGD for Combined Anticancer Drug Delivery and PET Imaging. Theranostics 2, 757–768.
16. Jin, S., Ma, X., Ma, H., Zheng, K., Liu, J., Hou, S., Meng, J., Wang, P.C., Wu, X., Liang, X. J. (2013). Surface chemistry-mediated penetration and gold nanorod thermotherapy in multicellular tumor spheroids. *Nanoscale* **5**, 143-146.

17. Dreaden, E.C., Mackey, M.A., Huang, X., Kang, B., El-Sayed, M.A. (2011). Beating cancer in multiple ways using nanogold. *Chem. Soc. Rev.* **40**, 3391-3404.

18. Dickerson, E.B., Dreaden, E.C., Huang, X., El-Sayed, I.H., Chu, H., Pushpanketh, S., McDonald, J.F., El-Sayed, M.A. (2008). Gold nanorod assisted near-infrared plasmonic photothermal therapy (PPTT) of squamous cell carcinoma in mice. *Cancer Lett.* **269**, 57-66

19. Pastoriza-Santos, I., Perez-Juste, J., Liz-Marza, L.M. (2006). Silica-coating and hydrophobation of CTAB-stabilized gold nanorods. *Chem. Mater.* **18**, 2465-2467

20. Ramasamy, T., Tran, T.H., Choi, J.Y et al. (2014). Layer-by-layer coated lipid-polymer hybrid nanoparticles designed for use in anticancer drug delivery. *Carbohydr Polym.* **102**, 653-61

21. Ruttala, H.B., Ramasamy, T., Gupta, B et al. (2017). Multiple polysaccharide-drug complex-loaded liposomes: A unique strategy in drug loading and cancer targeting. *Carbohydr Polym.* **173**, 57-66

22. Chuang, C.C., Cheng, C.C., Chen, P.Y., Lo, C., Chen, Y.N., Shih, M.H., Chang, C.W. (2018). Gold nanorod-encapsulated biodegradable polymeric matrix for combined photothermal and chemo-cancer therapy. *Int. J. Nanomedicine* **14**, 181-193

23. Kerr, J.F.R., Wyllie, A.H., Currie, A.R. (1972). Apoptosis: A Basic Biological Phenomenon with Wideranging Implications in Tissue Kinetics. *Br. J. Cancer* **26**, 239–257.
24. Perez-Hernandez, M., del Pino, P., Mitchell, S.G., Moros, M., Stepien, G., Pelaz, B., Parak, W.J., Galvez, E.M., Pardo, J., de la Fuente, J.M. (2015). Dissecting the molecular mechanism of apoptosis during photothermal therapy using gold nanoprisms. *ACS Nano* 9, 52–61.
Figure Captions

Figure 1: Schematic representation of layer-by-layer coating of poly-amino acids on GNR surface. Hyaluronic acid (HA) was coated as a final targeting ligand layer.

Figure 2: (a) Zeta potential surface charge characterization of bare GNR and layer-by-layer (LbL) coating of each polymer layer. Zeta potential was characterized by DLS. (b) UV-Vis characterization of GNR and DH-GNR

Figure 3: Transmission electron microscope (TEM) image of GNR and DH-GNR

Figure 4: DOX release pattern from DH-GNR at pH 7.4 and pH 5.0 buffer conditions. The release of DOX was studied in the presence of NIR irradiation in both pH conditions

Figure 5: (a) Cellular viability of SKOV3 cancer cells in the presence of DOX, DH-GNR(-NIR), and DH-GNR(+NIR). The cells were incubated for 24h and cell viability was evaluated by MTT assay protocol; (b) morphological characterization of cell morphology after exposure to above formulations

Figure 6: Apoptosis analysis of SKOV3 cells after staining with Annexin-V and PI using flow cytometer. The apoptosis has been characterized by early apoptosis, late apoptosis and necrosis.

Figure 7: Apoptosis analysis of SKOV3 cancer cells after staining with Hoechst 33342 dye. Nuclear morphology was observed under fluorescence microscope

Figure 8: Reactive oxygen species (ROS) analysis of SKOV3 cancer cells after treatment with DOX, DH-GNR(-NIR), and DH-GNR(+NIR). The ROS analysis was performed by DCFH-DA assay protocol using flow cytometer
