Major histocompatibility complex class I expression impacts on patient survival and type and density of immune cells in biliary tract cancer

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Background: Biliary tract cancers (BTC) are rare malignant tumours with a poor prognosis. Previously, we have presented a detailed characterisation of the inflammatory infiltrate in BTC. Here, we analysed the impact of the expression of major histocompatibility complex class I (MHC I) on patient survival and the quantity, as well as the quality of tumour-infiltrating immune cell types in BTC.

Methods: MHC I expression was assessed semi-quantitatively in 334 BTC, including extrahepatic (n = 129) and intrahepatic cholangiocarcinomas (n = 146), as well as adenocarcinomas of the gallbladder (n = 59). In addition, 71 high-grade biliary intraepithelial lesions (BilIN 3) were included. Results were correlated with data on antitumour inflammation and investigated with respect to their association with clinicopathological variables and patient survival.

Results: BTC showed a wide spectrum of different MHC I expression patterns ranging from complete negativity in some tumours to strong homogenous expression in others. In BilIN 3, significantly higher MHC I expression levels were seen compared to invasive tumours (P = 0.004). Patients with strong tumoural MHC I expression had a significantly higher overall survival probability (median survival benefit: 8 months; P = 0.006). MHC I expression strongly correlated with the number of tumour-infiltrating T-lymphocytes (CD4+ and CD8+) and macrophages.

Conclusions: Differences of MHC I expression predict patient outcome and show correlations with specific components of the inflammatory infiltrate in BTC. These findings contribute to a better understanding of immune response and immune escape phenomena in cholangiocarcinogenesis.

Biliary tract cancers (BTC) are a diverse group of tumours that can be classified into three major subtypes: cholangiocarcinomas of intrahepatic (ICC) and extrahepatic (ECC) origin and adenocarcinomas of the gallbladder (GBAC). For BTC patients with locally advanced or metastatic disease, the prognosis is poor with a median survival of <1 year (Hezel et al, 2010). Common therapeutic options include surgery and chemotherapy, but because BTC patients often present with advanced disease not amenable to...
surgery and current chemotherapeutic regimens are rather ineffectiv

e, novel treatment options are of paramount importance (Pate,

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Tumour cells are often surrounded by infiltrating inflammatory cells, particularly lymphocytes and macrophages (Smyth et al., 2006) and there is compelling evidence that tumour-infiltrating T-lymphocytes have a favourable effect on patient survival in several malignant tumours, including colorectal (Galon et al., 2006; Mlecnik et al., 2011), ovarian (Zhang et al., 2003), breast (Mahmoud et al., 2011) and pancreatic (Fukunaga et al., 2004) cancer. By investigating a large cohort of BTC, we were able to show a favourable prognostic effect of tumour-infiltrating T-lymphocytes in ECC and GBAC, but not in ICC (Goepfert et al., 2013).

Tumour antigens drive the development of tumour-specific adaptive immune responses (Boon et al., 1994). Specifically, CD8⁺ T-lymphocytes attack tumour cells presenting tumour-associated antigen peptides via major histocompatibility complex type I (MHC I) molecules on their surface by producing interferon gamma. Interferon-gamma-dependent mechanisms of tumour cell cytostasis and killing comprise cell cycle inhibition, apoptosis, angiostasis and induction of antitumourigenic activity of macrophages (Dunn et al., 2004). MHC I molecules are constitutively expressed on normal epithelial cells. Changes of MHC I expression are often observed in tumours and downregulation of MHC I has been described as a frequent feature in various tumour types, including pancreatic adenocarcinoma (Ryschich et al., 2005; Rodriguez et al., 2007; Campoli and Ferrone, 2008; Du and Wang, 2011). In the neoplastic setting, MHC I is regarded to exert a central role in the regulation of CD8⁺ cytotoxic T-lymphocyte recognition of carcinoma-specific antigens, and loss of MHC I expression has been suggested as one major escape pathway for the evasion of CD8⁺ cytotoxic T-lymphocyte surveillance. In several tumour types, including colorectal cancer, it has been reported by others and us that downregulation of MHC I expression is more frequently observed in metastatic stages and poorly differentiated tumours (Kasajima et al., 2010; Du and Wang, 2011).

As the role of MHC I in cholangiocarcinogenesis has not yet been examined systematically, we focused in this study on the impact of MHC I expression on quantity and quality of the tumour-infiltrating immune cell infiltrate, as well as on associations of MHC I expression with patient survival in BTC. This approach extends our current knowledge of the interaction between the immune system and BTC, and provides a solid translational resource for both future functional studies and clinical trials.

**Materials and Methods**

Clinicopathological characteristics of BTC patients. Tissue samples from 334 patients (median age: 64.2 years) who underwent bile duct and/or liver surgery at the University Hospital Heidelberg between 1995 and 2010 were included in our cohort. Only patients with primary adenocarcinomas of the biliary tract and without other known malignancies at the time of diagnosis were included. Our BTC cohort comprised of 129 ECC (93 perihilar and 36 distal), 146 ICC and 59 GBAC. In addition, 71 high-grade intraepithelial lesions (BilIN 3) were included; 59 of these were corresponding to invasive BTC samples of our cohort. Patients who received neoadjuvant radiochemotherapy were excluded. Survival data was available for 302 patients (Supplementary Table 1). Tumours were restaged according to the 7th TNM Classification of Malignant Tumours and classified after the World Health Organization tumour classification system (Bosman et al., 2010). Clinicopathological data of the complete BTC study cohort are provided in Table 1. Clinicopathological data stratified for the BTC subtypes are provided in Supplementary Tables 2–4. The use of the tissue for this study was approved by the institutional ethics committee (206/05).

**Tissue microarray construction.** From all 334 BTC, 3 μm sections were cut and stained with H&E. Representative areas from the tumour center and invasive margins were marked by two pathologists with a special expertise in BTC pathology (BG and

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**Table 1. MHC I expression in BTC stratified by clinicopathological parameters**

| Number (%) | MHC I | MHC I | P-value | \( \chi^2 \) test |
|------------|-------|-------|---------|-----------------|
| All cases  | 334 (100) | 175 (52.4) | 159 (47.6) | |
| Age | | | | |
| 64–92 years | 169 (50.6) | 90 (53.3) | 79 (46.7) | 0.835 |
| 31–64 years | 165 (49.4) | 85 (51.5) | 80 (48.5) | |
| Sex | | | | |
| Male | 163 (48.8) | 78 (47.9%) | 85 (52.1) | 0.13 |
| Female | 171 (51.2) | 97 (56.7%) | 74 (43.3) | |
| BTC subgroups | | | | |
| ICC | 146 (43.7) | 85 (58.2) | 61 (41.8) | 0.118 |
| ECC | 129 (38.6) | 59 (45.7) | 70 (54.3) | |
| GBAC | 59 (17.7) | 31 (52.5) | 28 (47.5) | |
| Histology subgroups | | | | |
| Ductal | 274 (82) | 146 (53.3) | 128 (46.7) | 0.187 |
| Papillary | 22 (6.6) | 8 (36.4) | 14 (63.6) | |
| Mucinous | 8 (2.4) | 3 (37.5) | 5 (62.5) | |
| Intestinal | 10 (3) | 4 (40) | 6 (60) | |
| Other | 20 (6) | 14 (70) | 6 (30) | |
| UICC stage | | | | |
| 1 | 38 (14.2) | 15 (39.5) | 23 (60.5) | 0.074 |
| 2 | 67 (25.1) | 32 (47.3) | 35 (52.2) | |
| 3 | 71 (26.6) | 33 (46.5) | 38 (53.5) | |
| 4 | 91 (34.1) | 56 (61.5) | 35 (38.5) | |
| pT | | | | |
| T1 | 73 (21.9) | 40 (54.8) | 33 (45.2) | 0.474 |
| T2 | 131 (39.2) | 62 (47.3) | 69 (52.7) | |
| T3 | 103 (30.8) | 59 (57.3) | 44 (42.7) | |
| T4 | 27 (8.1) | 14 (51.9) | 13 (48.1) | |
| pN | | | | |
| N0 | 118 (45.6) | 53 (44.9) | 65 (55.1) | 0.153 |
| N1 | 141 (54.4) | 77 (54.6) | 64 (45.4) | |
| M | | | | |
| M0 | 315 (94.3) | 161 (51.1) | 154 (48.9) | 0.094 |
| M1 | 19 (5.7) | 14 (73.7) | 5 (26.3) | |
| G | | | | |
| G1 | 18 (5.4) | 5 (27.8) | 13 (72.2) | 0.05 |
| G2 | 228 (68.3) | 118 (51.8) | 110 (48.2) | |
| G3 | 88 (26.3) | 52 (59.1) | 36 (40.9) | |
| L | | | | |
| L0 | 154 (46.1) | 76 (49.4) | 78 (50.6) | 0.357 |
| L1 | 180 (53.9) | 99 (55) | 81 (45) | |
| V | | | | |
| V0 | 238 (71.3) | 118 (49.6) | 120 (50.4) | 0.133 |
| V1 | 96 (28.7) | 57 (59.4) | 39 (40.6) | 0.397 |

Abbreviations: BTC = biliary tract cancer, ECC = extrahepatic cholangiocarcinoma, GBAC = adenocarcinoma of the gallbladder, ICC = intrahepatic cholangiocarcinoma, IRS = immunoreactive score, MHC I = major histocompatibility complex class I, UICC = Union for International Cancer Control. P-values were calculated by \( \chi^2 \) test.
we applied a three-tier grouping algorithm, in which 19.2% of cases showed low (IRS 0–6) MHC I expression and 52.4% (n = 64) displayed strong (IRS 9–12). 43.7% of cases (n = 146) moderate (IRS 6–8) and 37.1% of cases (n = 124) weak (IRS 0–4) MHC I expression. Differences in MHC I expression between the BTC subtypes were not observed (Supplementary Figure 1). Overall, intraepithelial neoplastic lesions (BillIN 3) showed significantly higher MHC I expression compared to invasive BTC (P = 0.004; Figure 2) with 67.6% (n = 48) of BillIN 3 samples displaying high (IRS 8–12) MHC I expression and only 32.4% (n = 23) low (IRS 0–6) MHC I expression. A matched-pair analysis (n = 56) of MHC I expression in BillIN 3 lesions directly

**RESULTS**

**MHC I expression in BTC, BTC subtypes and in intraepithelial neoplastic lesions.** MHC I immunohistochemistry showed a cytoplasmic and pronounced membranous staining pattern in tumour cells, stromal cells and immune cells; nuclear staining was not observed. BTC exhibited a wide spectrum of MHC I expression ranging from strong positivity in > 80% of tumour cells (IRS = 12) to complete negativity in all tumour cells (IRS = 0). Representative pictures are shown in Figure 1. On the basis of this continuum of different MHC I expression patterns, tumours could be divided into two distinct expression groups: 47.6% (n = 159) of tumours showed high (IRS = 8–12) MHC I expression and 52.4% (n = 175) showed low (IRS = 0–6) MHC I expression (Table 1). In addition, we applied a three-tier grouping algorithm, in which 19.2% of cases

**Immunohistochemistry and tissue microarray evaluation.** For the detection of MHC I, a monoclonal mouse IgG antibody directed against MHC I (clone number EMR8-5; dilution 1: 5000; MBL, Nagoya, Japan) was used. This antibody detects human HLA class I (HLA-A, -B or -C). Staining was performed on an automated system (Discovery Ultra, Ventana, Tuscon, AZ, USA) following the manufacturer’s instructions. MHC I antigen expression was scored by applying a semi-quantitative immunoreactive score (IRS) resulting in expression values ranging from 0 (no expression) to 12 (strong expression in more than 80% of tumour cells), as previously described (Goeppert et al, 2010). Briefly, staining intensity (0: no staining, 1: weak staining, 2: moderate staining, 3: strong staining), as well as percentage of immunostained cells (0: no cells stained, 1: <10% of cells stained, 2: 10–50% of cells stained, 3: 51–80% of cells stained, 4: > 80% of cells stained) are scored separately and the IRS for each individual case is calculated by multiplication of the intensity and the percentage score. Immunohistochemistry to detect and count specific inflammatory cells (CD4, CD8, CD20, CD68, CD117, Ki-67) was performed as recently described (Goeppert et al, 2013). For technical reasons, some tissue microarray dots could not be evaluated (e.g. loss of tissue, staining artifacts). Therefore, the number of included cases for the analysis of MHC I expression and for the different immune cell types is slightly different.

**Statistical analyses.** Statistical analyses were performed with the statistical computing environment R version 3.1 and IBM SPSS STATISTICS version 19 (SPSS, Chicago, IL, USA). Spearman’s rank order correlation was used to determine whether there was a positive or negative correlation between the degrees of tumour-infiltrating immune cells and proliferative activity. The significance of differences in the density of immune cells for differing tumour stages and other clinicopathological variables was assessed by Mann–Whitney U test, Kruskal–Wallis test and \( \chi^2 \) test as indicated. Statistically significant trends associated with increasing UICC stages, tumour grades or T-stages were identified by Jonkheere–Terpstra and Cochran–Armitage trend test, respectively. Univariate survival analysis was performed for overall survival by calculating Kaplan–Meier curves. Significance of differences between the groups was assessed using log-rank test. For multivariate analysis, concordance regression was applied, representing a generalisation of Cox proportional hazards regression, which is robust to violations of the proportional hazards assumption. Test results with \( P \)-values ≤ 0.05 were considered statistically significant. All tests were two-sided.

Figure 1. MHC I expression in BTC. Representative pictures of (A) strong MHC I expression and (B) weak MHC I expression in perihilar ECCs (original magnification: 50×; inlet 200×).

Figure 2. MHC I expression in pre-invasive intraepithelial neoplastic lesions (BillIN 3) compared with invasive BTC. \( P \)-value calculated by Mann–Whitney U-test.

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compared with the respective corresponding invasive BTC. confirmed the loss of MHC I in the evolution of an intraepithelial into an invasive neoplastic lesion. However, here the loss was only borderline significant, possibly because of the lower sample size \((P = 0.066; \text{ Supplementary Figure 2})\). Additionally evaluated samples of non-neoplastic biliary tract epithelia showed a higher MHC I expression compared to BilIN 3 lesions (data not shown).

**Association of MHC I expression on tumour cells with clinicopathological variables in BTC.** Analysis of MHC I expression with clinicopathological data revealed a trend for higher MHC I expression in lower UICC stages \((P = 0.074; \text{ Table 1})\). Furthermore, a significant association of high MHC I expression and low tumour grade could be observed \((P = 0.05; \text{ Table 1})\). Other clinicopathological variables did not show an association with MHC I expression (Table 1).

**Correlation of MHC I expression on tumour cells with the density of tumour-infiltrating immune cells in BTC.** Next, we investigated the association between MHC I expression on tumour cells and the density of specific immune cell types in the same cohort. We found that MHC I expression was associated with the number of tumour-infiltrating immune cells (Figures 3 and 4). However, the statistical strength of correlations varied. Correlation was strongest for CD68+ macrophages followed by CD8+ T-lymphocytes and CD4+ T-lymphocytes, whereas correlation with the density of CD20+B-cells was only weak (Figure 4). In addition, MHC I expression did not correlate with the proliferation rate (number of Ki-67 positive tumour cells in percent) in BTC.

We then analysed the association of tumour-infiltrating immune cells and MHC I expression separately for the BTC subtypes. Thereby, we found that the associations between inflammatory infiltrates and MHC I expression observed in the whole cohort (see above) were also evident in ECC, whereas in ICC, MHC I expression was correlated significantly only with the number of tumour-infiltrating CD8+ T-lymphocytes; in GBAC, a significant correlation of MHC I expression was only seen for CD68+ macrophages (Supplementary Figures 3–5).

**MHC I expression on tumour cells is an independent prognosticator of overall survival in BTC patients.** Classical survival prognosticators such as UICC-stage proved to have high prognostic impact in our BTC cohort (Supplementary Table 1). Higher MHC I expression on tumour cells was also significantly associated with longer overall survival in BTC patients \((P = 0.029\) and \(P = 0.006; \text{ respectively; Figure 5})\). Although patients with low
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DISCUSSION

In this study, we provide a comprehensive analysis of MHC I expression patterns in BTC. We used a previously described, large and well-characterized BTC cohort and could thereby correlate MHC I expression on tumour cells with the amount of specific cells of the tumour-infiltrating inflammatory infiltrate and patient prognosis.

A variety of functional data demonstrated that in the course of carcinogenesis neoplasms are able to acquire the ability to evade an immune cell-mediated antitumour effects than ECC and GBAC. Noteworthy, already BilIN 3 showed a down-regulation of FasL at early stages and downregulation of FasL at progressed stages, thereby indicating a potential role of this immune escape mechanism in cholangiocarcinogenesis (Shimonishi et al, 2000). Interestingly, in vitro experiments showed that primary and malignant cholangiocytes undergo CD40-mediated Fas-dependent apoptosis, but are insensitive to direct activation with exogenous FasL (Humphreys et al, 2010). Taken together, the current data suggest that ICC is less sensitive to immune cell-mediated antitumour effects than ECC and GBAC.

In this study, we also investigated MHC I expression in pre-invasive BilIN 3 and found higher expression levels compared with invasive BTC. Noteworthy, already BilIN 3 showed a down-regulation of MHC I expression compared to non-neoplastic biliary tract epithelia. A decrease of MHC I expression in tumour-adjacent normal mucosa and in non-invasive intraepithelial neoplastic lesions has been previously noted in other cancer types (Kaklamanis et al, 1992; Tsoulias et al, 1992, 1993; Grandis et al, 2000). These data imply that in a variety of tumours loss of MHC I expression can act as an early onset mechanism of tumour immune escape, which might be effective in BTC as well. In addition, the prevalence of reversible (soft) and/or irreversible (hard) MHC I alterations has been proposed to have a major impact on the ability of T-lymphocytes to destroy malignant cells (Garrido et al, 2010). However, in this study we have not investigated specific mechanisms by which MHC I downregulation may be triggered in BTC.

We observed that MHC I expression on tumour cells is not only correlated with the number of tumour-infiltrating T-lymphocytes, but...
also with the amount of tumour-infiltrating macrophages. This interesting finding highlights the recently widely acknowledged importance of macrophages in the tumour-immune system interaction. However, in our experimental setting it is only speculative whether macrophages are attracted by chemokines produced by T-lymphocytes or vice versa. The exact mechanisms that may underlie this association remain to be addressed in future functional studies. Nevertheless, macrophages may also play an important role in the tumour-immune system interaction in BTC, as it has been shown for other cancer types (Shimura et al, 2000; Kawai et al, 2008). However, intratumoural macrophage count was not associated with significant differences in overall survival in BTC (Goepert et al, 2013) which indicates that macrophage density per se might not be of utmost importance in the antitumour immune response in this tumour type.

Recently, immune response-modulating targeted therapies have been very successfully tested as anticancer agents in a variety of human tumours. The first substance in this regard was Ipilimumab, a monoclonal antibody directed against cytotoxic T lymphocyte-associated antigen-4 (CTLA-4). Ipilimumab has been approved for therapy in malignant melanoma (Weber et al, 2008) and is under evaluation in a broad variety of other solid neoplasms (Kirkwood et al, 2012). Recently, several other even more promising drugs, which block programmed death 1 protein (PD-1) and one of its ligands programmed death ligand 1 (PD-L1) have also been tested with respect to their antitumour activity and have been or will be approved for therapy in a variety of solid tumours, including non-small cell lung cancer and melanoma (Brahmer et al, 2015; Errico, 2015; Garon et al, 2015; Larkin et al, 2015).

Consequently, the clinical effects of these drugs are now explored in other tumour entities as well. It is conceivable to speculate that the pre-existing density of inflammatory cells and the expression levels of MHC I on tumour cells might be connected to the response to immune modulation drugs.

In conclusion, we here report that MHC I expression in BTC is correlated with type and density of tumour-infiltrating immune cells. Furthermore, the loss of MHC I expression in tumour cells is associated with shortened overall survival in BTC patients. The respective associations were specifically strong in ECC, but associated with shortened overall survival in BTC patients. The respective associations were specifically strong in ECC, but associated with shortened overall survival in BTC patients. The respective associations were specifically strong in ECC, but associated with shortened overall survival in BTC patients.

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**CONFLICT OF INTEREST**

The authors declare no conflict of interest.

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