A theory of electromagnetism with uniquely defined potential and covariant conserved spin.

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The Lagrangian \( \frac{1}{4} \epsilon_0 c^2 \partial_\mu A_\nu \partial^\mu A^\nu \) is shown to yield a non-gauge-invariant theory of electromagnetism. The potential is uniquely determined by the inhomogeneous wave equation and boundary conditions at infinity. The Lorenz condition and minimal coupling follow from charge conservation. Covariant quantisation is carried out without redefining the metric. It is a valid alternative to the standard approach since it makes the same experimental predictions.

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Maxwell’s equations express electromagnetism in terms of the electric and magnetic fields, \( F_{\mu \nu} \). They imply that a potential field \( A_\mu \) can be defined through \( F_{\mu \nu} = \partial_\mu A_\nu - \partial_\nu A_\mu \), but only up to a contribution of the form \( \partial_\mu \chi \), with \( \chi \) an undefined scalar field. In spite of its incomplete definition, it is \( A_\mu \) and its derivatives, not \( F_{\mu \nu} \), that constitute the functional variables in Lagrangian formalism. The equations can be derived from the standard gauge-invariant Lagrangian

\[
\mathcal{L}_{ST} = \frac{1}{4} \epsilon_0 c^2 F_{\mu \nu} F^{\mu \nu}
\]

or any equivalent Lagrangian differing from it by a four-divergence. Such Lagrangians, however, yield non-gauge-symmetric Noether conservation laws, asymmetric energy-momentum tensors, non-conserved spin and disallow quantisation. Interaction with matter is implemented by addition to Eq. (1) of a matter Lagrangian, \( \mathcal{L}_m \), derived from the free matter Lagrangian by the minimal substitution, \( p_\mu \to p_\mu - e A_\mu \). Clearly, \( \mathcal{L}_m \) also is not gauge-invariant. Although corrective procedures can be found in any textbook on field theory, it would be preferable to avoid these problems from the beginning.

In this paper the Fermi Lagrangian is explored,

\[
\mathcal{L}_F = \frac{1}{2} \epsilon_0 c^2 \partial_\mu A_\nu \partial^\mu A^\nu
\]

as an alternative to Eq. (1). This Lagrangian gives rise to wave equations for \( A_\mu \), which were employed in Fermi’s pioneering paper on field quantisation. \( \mathcal{L}_F \) and its associated Noether currents are occasionally discussed in the context of covariant quantisation, but to my knowledge no study exists of the full consequence of \( \mathcal{L}_F \) as the starting point of electromagnetic field theory.

It will first be shown how the wave equation, furnished with boundary conditions at infinity, uniquely determines the field and that charge conservation leads to the Lorenz condition. Then the conservation laws are discussed and a spin operator is defined. Subsequently, minimal coupling is derived from charge conservation and the force density is discussed. A simple application of the formalism is given. Finally, covariant quantisation is discussed and it is argued that there is no need for a redefinition of the metric.

Consider a Lagrangian consisting of a field and a matter part with minimal substitution, that is \( \mathcal{L} = \mathcal{L}_F + \mathcal{L}_m \). The field equations of motion are the inhomogeneous wave equations

\[
\partial_\lambda \partial^\lambda A_\mu = -\mu_0 j_\mu
\]

with

\[
-\mu_0 j_\mu = \partial \mathcal{L}_m / \partial A^\mu.
\]

Now adopt as a boundary condition that \( A_\mu = 0 \) in a point \( P=(r,t) \) if \( j_\mu = 0 \) in the entire light cone of \( P \). The derivatives of \( A_\mu \) also vanish, if \( j_\mu = 0 \) in the union of the light cones of an infinitesimal environment of \( P \). With this, Eq. (3) fully determines \( A_\mu \) in the point \( P \) if \( j_\mu \) is specified in the entire light cone of \( P \), for the difference of two fields must vanish if they correspond to the same current distribution in the entire light cone of \( P \). An equivalent statement of the boundary condition is that a source at \( P \) does not contribute to the potential outside the lightcone of \( P \).
Maxwell’s equations follow from Eq. (3) and the Lorenz condition, \( \partial_\mu A_\mu = 0 \). It is now shown that the Lorenz condition follows from charge conservation, \( \partial_\mu j^\mu = 0 \), which in turn requires that \( \mathcal{L}_m \) is real. Indeed, from this and Eq. (3) it follows that \( \partial_\lambda \partial_\mu j_\mu = 0 \) in the entire Minkowski space, or, in k-space, \( k_\lambda k_\mu k_\mu A^\mu(k) = 0 \). This implies \( k_\mu A^\mu = 0 \) or \( k_\mu k_\mu = 0 \), or both. If \( k_\mu k_\mu = 0 \) then \( A_\mu \) is a solution of the free wave equation in entire Minkowski space and must vanish due to the boundary condition. This leaves the possibility that \( k_\mu A^\mu(k) = 0 \), so that \( \partial_\mu A^\mu = 0 \). Thus the Lorenz condition is fulfilled if and only if charge is conserved. It is a consequence of a property of matter and in no way an intrinsic restriction of the degrees of freedom of \( A_\mu \). This also means that, whereas in the standard (gauge invariant) theory “non-conservation of charge is inconceivable” [3], this is not the case here.

Another consequence of charge conservation is minimal coupling. If the charged matter is described by a complex field \( \psi \), the conserved current is given by

\[
j_\mu \equiv \frac{e}{2i} \frac{\partial \mathcal{L}_m}{\partial (\partial_\mu \psi)} \psi + c.c.
\]

This expression is equal to Eq. (3) only if \( \partial_\mu \psi \), \( \partial_\mu \bar{\psi} \) and \( e A_\mu \) occur in \( \mathcal{L}_m \) in the combinations \( i \partial_\mu \bar{\psi} - e A_\mu \) and \( i \partial_\mu \psi + e A_\mu \). Thus minimal coupling is necessary and sufficient to assure current conservation in Eq. (4).

The field conservation laws are obtained in a straightforward manner by application of Noether’s theorem to \( \mathcal{L}_F \). The field energy-momentum density is

\[
T^\mu_\nu = \epsilon_{0} c^{2} \partial_\rho A_\rho \partial_\sigma A_\sigma - g^\mu_\nu \mathcal{L}_F
\]

\( T_f \) is symmetric under exchange of its indices, as required by the proportionality of energy flux and momentum density (Ref. [10], §32). Eq. (3) also coincides with the definition of the energy-momentum tensor in general relativity, \( \partial \mathcal{L} / \partial g_{\mu \nu} \), which is always symmetric. \( \mathcal{L}_F \) is the unique Lagrangian that yields an energy momentum tensor with these properties. It is important to note that the total energy-momentum, \( \int d^{3}x (T^\mu_\nu + T^{m}_\nu) \), including the matter contribution, has the same value as in the standard theory. Still, the distribution of energy-momentum, which is not an experimentally accessible quantity, is different. Moreover a quantity of energy-momentum of \( \int d^{3}x J^{\mu} A_\mu \) that is attributed to the matter energy-momentum in the standard theory is attributed to the field energy-momentum here. As a consequence the energy density of the field is not positive definite. Notably, an electrostatic field exhibits a negative energy density. The momentum density of static fields strictly vanishes, whereas the Poynting vector ascribes momentum density to crossed static electric and magnetic fields [11].

The different partition of energy-momentum density between matter and field yields a density of force exerted on the matter that differs from the Lorentz force, namely

\[
f_m^\mu = \partial_\lambda T^\lambda_\mu
\]

\[
= - \partial_\lambda T^\lambda_\mu
\]

\[
= - j_\lambda \partial_\mu A^\lambda.
\]

As an example, consider a system of interacting classical point charges. The Lorentz force on a single particle equals the rate of change of \( d(p_\mu)/ds = m(d(u_\mu)/ds \). However, in the presence of a field this does not correspond to a conserved momentum so that the Lorentz force does not obey Newton’s third law [12]. On the other hand, the force given by Eq. (5) equals the rate of change of the conserved \[13\] total particle momentum \( \sum_i (mu_\mu - qA_\mu(r_i)) \) and does obey Newton’s third law. The Lorentz force law follows from Eq. (7) by setting the rate of change of particle momentum, \( d(mu_\mu - qA_\mu(r_i))/ds = j_\lambda \partial_\mu A^\lambda(r_i) \), equal to the r.h.s. of Eq. (5).

The field angular momentum density is

\[
J^{\lambda_\mu}_\sigma = x_\mu T^{\lambda_\nu}_\sigma - x_\nu T^{\lambda_\mu}_\sigma + \partial_\lambda A^\mu A^\nu - \partial_\lambda A^\nu A^\mu.
\]

The first two terms on the r.h.s. represent the field orbital momentum density, which is conserved because of the symmetry of \( T^{\mu}_\nu \). The remaining terms represent spin angular momentum, which is separately conserved because \( \mathcal{L}_f \) is invariant under Lorentz transformations of \( A_\mu \) alone. In fact, this interpretation fulfills the requirement [14] that the total angular momentum reduces to spin in the rest frame. The rest frame of a field can be defined as the one in which the total momentum vanishes. For a massless travelling wave the momentum can be made arbitrarily small by a suitable choice of frame, but not vanish. The orbital angular momentum vanishes quadratically, while the spin vanishes linearly. If the field is normalised to have energy \( \hbar \omega \), the spin equals \( \pm \hbar \) and the orbital angular momentum vanishes linearly. For a standing wave the interpretation is completely straightforward: both linear and orbital angular momentum vanish so what remains can only be spin.

As an example consider a plane wave with circular polarisation,
\[ A^x = A \cos(\omega t - k z) \]
\[ A^y = A \sin(\omega t - k z) \]
\[ (9) \]

The angular momentum density is equal to \( S_z = S^{xy} = \omega A^2 \). In the standard theory, on the other hand, only orbital angular momentum density exists and, since the Poynting vector is along \( \hat{z} \), its z-component vanishes. The total spin is equal and the difference is recovered in regions of space where the wave is not plane and consequently the Poynting vector no longer parallel to the z-direction. If a light absorbing disc with cross section \( D \) is placed in the bundle, as shown in Fig. 1, it will experience a torque \( N_z \). \( N_z \) is equal to the rate of spin absorption, so that \( N_z = c S_z D \). The same reasoning would yield zero torque in the standard theory, because the z-component of angular momentum density vanishes. In the standard approach the torque is associated with field angular momentum generated behind the absorber. At the edge of the cylindrical shadow cast by the disk a sharply peaked distribution of angular momentum pointing in the negative z-direction occurs. Clearly, the standard description is far from straightforward.

\[ L \]
\[ A \]
\[ S \]

FIG. 1. When an absorbent disk (A) is placed in a plane circularly polarised wave (L) casting a shadow (S), it will experience a torque. In the present approach this equals the rate at which spin density is absorbed. In the standard approach angular momentum is conserved because a sharply localised negative spin density forms at the edge of the shadow region.

It is now straightforward to define spin operators. The expression for the total spin,

\[ S_{\mu \nu} = \frac{1}{(2\pi)^3} \int d^3 x S_0^{\mu \nu} = \frac{1}{(2\pi)^3} \int d^3 x (\partial_0 A^\mu) A^\nu - (\partial_0 A^\nu) A^\mu, \]
\[ (10) \]

has the form of an expectation value of the operator

\[ (g_\mu^\nu g_\rho^\sigma - g_\rho^\nu g_\mu^\sigma) \partial_0. \]
\[ (11) \]

Clearly, eigenfunctions of this operator should be stationary, that is they should be eigenfunctions of \( \partial_0 \). For a real vector field this condition has to be relaxed in the following way: 1) \( A^\mu \) is an eigenfunction of \( \partial_0^2 \) and 2) \( \partial_0 \) shifts the phase of \( A^\mu \) by \( \frac{\pi}{2} \) in addition to multiplication by \( \omega \). Denoting the phase shift operation by \( R \) one has \( \partial_0 A^\mu = \omega R A^\mu \). The factor \( \omega \) can be absorbed into the normalisation of the field. Notably, if the field is normalised to carry energy \( \hbar \omega \) the factor \( \omega \) combines with the normalisation \( \sqrt{\frac{\hbar}{\omega}} \) to give a factor \( \hbar \). For simplicity \( \hbar \) is now set to unity. The
operator $R$ replaces the imaginary number $i$, which is the $\hat R$ operator in the Klein-Gordon case. For example, one has $R^2 = -1$. For a particular propagation direction the spin operator can now be written as

$$S^{\mu\nu} = \frac{1}{R}(g^{\nu}_\alpha g^\mu_\beta - g^{\nu}_\beta g^\mu_\alpha). \quad (12)$$

The commutation relations are

$$[S^{\mu\nu}, S^{\rho\sigma}] = \frac{1}{R} \left( g^{\nu\rho} S^{\mu\sigma} + g^{\mu\rho} S^{\nu\sigma} - g^{\nu\sigma} S^{\mu\rho} - g^{\mu\sigma} S^{\nu\rho} \right). \quad (13)$$

These contain the proper commutation relations of the 3D pseudovector operator $S_i = \epsilon_{ijk} S_{jk}$. One can define $S^+ = \hat e \cdot \nabla$ propagating along $\hat e$ given by Eqs. (1) one has $S^2 |A > = |A >$. It is straightforward to check that $S^+ |A > = 0$ and that $(S^-)^2 |A >$ is an eigenfunction of $S^2$ with $-1$. One has $S^- |A > = \sqrt{2} (0, 0, 0, \sin(\omega t - k z))$, which is an eigenfunction of $S^2$ with vanishing eigenvalue. This longitudinal wave belongs to the Hilbert space but cannot be observed because of charge conservation. It is easily verified that similar conclusions hold for the general eigenfunction of $S^2$, $A^\mu = \hat x (\sin(\omega t) f(\vec k \cdot \vec r) + \cos(\omega t) g(\vec k \cdot \vec r)) + \hat y (\cos(\omega t) f(\vec k \cdot \vec r) - \sin(\omega t) g(\vec k \cdot \vec r))$. Eqs. (12) and (13) define a second set of spin operators, $\hat S_i = S_{0i}$, with eigenfunctions that cannot be observed because of charge conservation.

Now that the validity of the classical theory has been established, the problems encountered in the covariant field quantisation procedure [3], are discussed. Quantisation is achieved by interpreting the total free field energy,

$$H_F = \int d^3 x T_f^{00}$$

$$= \frac{1}{2} \epsilon_0 c^2 \sum_{i=0}^{\infty} \int d^3 x \left( (\partial_i A)^2 - (\partial_i A^0)^2 \right) \quad (14)$$

as the hamiltonian of a collection of harmonic oscillators. The commutation relations between the creation and annihilation operators are

$$[a^\mu(m), a^*_{\nu}(m')] = g_{\mu\nu} \delta(m - m'), \quad (15)$$

where $m$ is a discrete or continuous variable designating the field mode created by $a(m)$. For a travelling plane waves $m$ stands for $\vec k$. Eq. (14) implies that

$$[a_0(m), a_{0}^+(m')] = -\delta(m - m'), \quad (16)$$

which gives

$$< a_{0}^+(m)|\psi_0 > = 0,$$

where $\psi_0$ is the ground state. This constitutes a contradiction if Eq. (14) is interpreted as a relation between components of $A^\mu$ and $g^{\mu\nu}$. The problem disappears, however, if $a^+\mu(k)$ and $a^\mu(k)$ are interpreted as Lorentz vectors with $\mu$-polarisation. In this case Eqs. (12) and (13) involve a scalar product. The distinction between a vector and its components can be emphasized by denoting $a^{(\mu)}(m)$ and $a^{(\nu)}(m)$ This suggests the possibility to define scalar operators $a(m)$ by

$$a^{(\mu)}(m) = e^{(\nu)} a(m) \quad (18)$$

and likewise for $a^+(m)$. Here the $e^{(\mu)}$ are unit vectors that are obtained from $g^{\mu\nu}$ by fixing one of the indices and obey

$$e^{(\mu)}_\alpha e^{(\nu)\alpha} = g^{\mu\nu}. \quad (19)$$

From the postulate

$$[a(m), a^+(m')] = \delta(m - m'), \quad (20)$$
combined with definition (18) and Eq. (19), Eq. (15) is recovered. This procedure has the advantage that the commutativity of orthogonally polarised fields follows from Eq. (19) and needs not to be postulated. The norm of a photon state is positive definite, since from

$$< a^+(0)(m) \psi_0 | a^+(0)(m') \psi_0 > = g^{00} < a^+(m) \psi_0 | a^+(m') \psi_0 > \leq 0,$$

it follows that

$$< a^+(m) \psi_0 | a^+(m') \psi_0 > \geq 0.$$  \hspace{1cm} (22)

It is easily checked that the photon number operator is

$$N(m) = a^+(m)a(m).$$  \hspace{1cm} (23)

The probability interpretation of quantum mechanics is thus maintained, as well as Lorentz covariance, and the notorious 'indefinite metric' problem is avoided. The Lorenz condition is implemented simply by using only polarisations that obey

$$k_\mu e^\mu = 0,$$

where $e^\mu$ is the polarisation of the potential.

In summary, it was shown that the Lagrangian $\mathcal{L}_F = \frac{1}{2} \epsilon_0 c^2 \partial_\mu A_\nu \partial^\mu A^\nu$ leads to a valid theory of electromagnetism with a uniquely defined potential. The Lorenz condition and minimal coupling emerge as consequences of charge conservation. The canonical spin density is covariant and conserved and permits the definition of a photon spin operator. The 'indefinite metric' problem encountered in the covariant quantisation procedure is avoided.

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