Pan-neuroblastoma analysis reveals age- and signature-associated driver alterations

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Neuroblastoma is a pediatric malignancy with heterogeneous clinical outcomes. To better understand neuroblastoma pathogenesis, here we analyze whole-genome, whole-exome and/or transcriptome data from 702 neuroblastoma samples. Forty percent of samples harbor at least one recurrent driver gene alteration and most aberrations, including MYCN, ATRX, and TERT alterations, differ in frequency by age. MYCN alterations occur at median 2.3 years of age, TERT at 3.8 years, and ATRX at 5.6 years. COSMIC mutational signature 18, previously associated with reactive oxygen species, is the most common cause of driver point mutations in neuroblastoma, including most ALK and Ras-activating variants. Signature 18 appears early and is continuous throughout disease evolution. Signature 18 is enriched in neuroblastomas with MYCN amplification, 17q gain, and increased expression of mitochondrial ribosome and electron transport-associated genes. Recurrent FGFR1 variants in six patients, and ALK N-terminal structural alterations in five samples, identify additional patients potentially amenable to precision therapy.
Neuroblastoma is among the most common childhood solid tumors, with diverse clinical behaviors ranging from spontaneous regression to progression despite aggressive therapy. Neuroblastomas can be classified into low-, intermediate-, and high-risk groups based on clinical and molecular features. Low-risk neuroblastomas mostly occur in children under 18 months of age, and standard care for these patients includes surgery alone or observation without surgery as many spontaneously regress. Children in high-risk groups receive dose-intensive chemotherapy, surgery, radiation therapy, and immunotherapy over an 18-month timespan. For children with intermediate-risk disease, surgery and outpatient chemotherapy can achieve a high survival rate. Age plays a significant role in risk group classification and younger children overall have superior survival.

Genomic aberrations in neuroblastoma have been analyzed by multiple approaches. Whole chromosome gains are frequently observed in low-risk neuroblastoma, while gains or losses of chromosome arms (segmental chromosome alterations), including loss of 1p, 3p, 4p, and 11q, and gain of 1q, 2p, and 7q, are associated with poor prognosis. MYCN amplification is the most frequent driver in neuroblastoma, occurring in ~20% of cases and conferring poor prognosis. The SHANK2 tumor suppressor is frequently disrupted by structural variants, TERT activating alterations frequently occur in high-risk neuroblastoma, and ATRX inactivation occurs in a large proportion of adolescent and young adult neuroblastomas but rarely in those arising from younger patients. Interestingly, ATRX alterations are mutually exclusive with TERT-activating variants likely due to their overlapping functions in telomere maintenance. Germline and somatic ALK kinase-domain point mutations have been detected in familial and sporadic neuroblastoma, respectively. Additionally, ALK N-terminal in-frame deletions or truncations have been reported in several neuroblastoma cell lines and several primary neuroblastomas. Functional studies have shown that these shortened ALK isoforms have oncogenic activity.

To better understand neuroblastoma pathogenesis, here we analyze whole-genome, whole-exome, and/or transcriptome sequencing data of 702 neuroblastomas comprised of all age and risk groups, assembled from the St. Jude/Washington University Pediatric Cancer Genome Project (PCGP), the Therapeutically Applicable Research to Generate Effective Treatment (TARGET) project, and 317 additional samples from the Children’s Oncology Group (COG). Such a design allows identification of age-associated molecular aberrations in this developmental malignancy. The size of the cohort also allows identification of rare driver events.

Results

Landscape of somatic mutations in neuroblastoma. We aggregated data from 702 neuroblastomas (679 diagnosis and 23 relapsed), which were profiled by whole genome sequencing (WGS, n = 205), whole exome sequencing (WES, n = 539), and/or RNA-Seq (n = 169); 45% of the samples (n = 317) were new data generated from COG for this study (Supplementary Fig. 1a; the 317 samples were sequenced by WES plus targeted sequencing of the entire ATRX gene to detect structural variants along with sequence mutations). Of the 702 samples analyzed, 685 had DNA sequencing (WGS and/or WES, Supplementary Data 1; all but three had survival outcome information) while 17 had RNA-Seq alone. Single-nucleotide variants, indels, and segmental chromosome copy alterations were analyzed for all 685 samples with WGS or WES; structural variants and focal copy alterations were analyzed for the 205 samples with WGS; and ATRX was considered comprehensively analyzed in all 205 WGS cases as well as 317 WES cases with ATRX targeted sequencing. The 23 relapse samples were excluded from survival analyses and age associations. The sample numbers and data sets used in each figure are described in figure legends. All disease stages were represented in the data set, with 60% of samples being stage 4 disease (Supplementary Table 1). The median age was 2.7 years old (interquartile range 1.2–4.6) and patients were categorized into three biologically and clinically relevant age groups, group A (<1.5 years), group B (1.5–5 years), and group C (>5 years) with 206, 325, and 154 tumors, respectively (Supplementary Fig. 1b). As expected, group A had significantly better outcomes than other groups, with 5-year survival of 89% compared to <60% in groups B and C (P < 2 × 10⁻¹⁶ by log-rank test, Supplementary Fig. 2). To define the subgroup-specific genomic landscape, we identified somatic copy number variation (CNVs, Supplementary Data 2), structural variation (SVs, Supplementary Data 3), single-nucleotide variants (SNVs, Supplementary Data 4), and small insertion–deletions (indels; Methods section). Significantly enriched somatic alterations, as identified through MuSiSig21 GRIN22 (SNVs and indels), and GISTIC23 (CNVs) software, are depicted in Fig. 1. Among segmental chromosome copy alterations, frequent copy number loss occurred at 1p, 3p, 4p, and 11q while copy number gain occurred predominantly on 1q, 2p, 7q, 11q13.3, 12q, and 17q (Fig. 1, Supplementary Figs. 3 and 4, and Supplementary Data 2). A total of 388 (57%) samples had at least one of these segmental copy alterations. Further, 31.4% of samples had nine or more whole-chromosome copy gains (9+ WC gains group, Fig. 1), a local minimum neatly separating ploidy by density plot analysis (Supplementary Fig. 5). The presence of nine or more whole-chromosome gains was more common in younger patients (group A, Fig. 1) and was associated with better survival, particularly in the absence of segmental chromosome alterations, consistent with previous findings (Supplementary Fig. 6). We also found that copy number gains evolutionarily preceded the acquisition of most point mutations in 85% of samples, as most mutations in 3-copy regions affected 1 of 3 copies (occurring after the copy gain) and only a minority affected 2 of 3 copies (occurring before copy gains; Supplementary Fig. 7), reinforcing the importance of copy number gains in early neuroblastoma pathogenesis.

Forty percent of samples had somatic alterations in known driver genes, and 62% of samples had driver gene alterations and/or recurrent segmental chromosome alterations shown in Fig. 1. The most frequently altered genes were MYCN (19% of samples; primarily amplification), TERT (17%; SVs), SHANK2 (13%; SVs), PTPRD (11%; SVs and focal deletions), ALK (10%; SNVs and SVs), and ATRX (8%; multiple mutation types; Fig. 1). Among rare drivers altered in <5% of samples, we observed somatic mutations in FGFR1 (1.0% of samples), TP53 (0.9%), and the Ras pathway (4.2%). Mutations in genes involved in Ras signaling, including PTEN, NF1, NRAS, KRAS, and BRAF, never co-occurred with one another although mutual exclusivity was not statistically significant due to low prevalence (Fig. 1). Each of the driver genes and segmental chromosome copy alternations shown in Fig. 1 have been identified as recurrent events in neuroblastoma7,9,19,24–27, or in other cancer types (FGFR128). Of the 685 samples with WGS or WES, 136 (20%) lacked any of these recurrent alterations and had no whole-chromosome copy alterations. These 136 samples were enriched in low disease stage (only 19% were stage 4 compared to 70% of other samples, P < 2.2 × 10⁻¹⁶ by Fisher’s exact test) and their paucity of somatic alterations was not caused by low tumor purity—pathology review, available for 130 of 136, showed tumor purity exceeding 60% in all cases.

Alterations in SHANK2, a recently identified tumor suppressor in neuroblastoma, located on 11q13.3, most frequently occurred...
by translocation t(11;17) or other types of SVs disrupting the gene (Fig. 1, Supplementary Fig. 8a, and Supplementary Data 3). Interestingly, SHANK2 disruption and t(11;17) were frequently accompanied by 11q13.3 copy number gains associated with the chromosome 11 breakpoint, where six genes at this locus showed increased expression in 11q13.3-gained samples, including CCND1, a cancer gene with well-established oncogenic activity in neuroblastoma.29 Supplementary Fig. 8a, b. P = 0.003 by two-sided Wilcoxon rank-sum test. The CCND1 expression increase in 11q13.3-gained samples was not confounded by stage as the association remained significant when including only stage 4 samples (Supplementary Fig. 8b, P = 0.005 by two-sided Wilcoxon rank-sum test). SHANK2 and t(11;17) alterations were also associated with chromosome 17q gains and increased expression of 17q genes, and t(11;17) frequently joined the termini of 17q and 11q copy alterations (Supplementary Fig. 8a). Twenty of 35 t(11;17) events did not directly disrupt SHANK2, and t(11;17) translocations association with 17q gains, 11q13.3 gains, and CCND1 copy increase and expression suggests that SHANK2 is not the only target gene affected by t(11;17) (Supplementary Fig. 8a).

MYCN, ALK, ATRX, and Ras pathway alterations; segmental deletion of 1p, 3p, and 11q; and segmental gain of 1q, 2p, 7q, 11q13.3, 12q, and 17q were each significantly associated with poor overall survival (Supplementary Fig. 9), as reported previously.30-33 MYCN and ALK co-occurrence predicted particularly poor survival (Supplementary Fig. 10), confirming findings from animal models.34 FGFR1 and TERT alterations trended towards worse survival but were not statistically significant (P = 0.09 and P = 0.1, respectively, by log-rank test; Supplementary Fig. 9). In previous studies, TERT has been associated with both worse survival9 and no difference in survival.35 These reported findings, together with ours, suggest that TERT alterations alone have a modest effect on patient outcomes.

Kinase alterations in FGFR1 and truncated ALK variants. We observed seven somatic mutations in FGFR1, including N546K mutations in six samples from five patients (at both diagnosis and relapse in one patient) and an internal tandem duplication (ITD) in the kinase domain of one additional sample (Fig. 2a), out of the 685 samples with WGS or WES. FGFR1 N546K was previously reported in a single neuroblastoma patient34 and therefore has not been considered a driver gene in neuroblastoma. This variant activates MAPK signaling in another tumor type28, and is recurrent in pediatric low-grade glioma35, indicating it is a driver mutation. All FGFR1 N546K variants were clonal (variant allele fraction (VAF) >0.4 when diploid; see Methods section) while the ITD was subclonal, and these variants co-occurred with MYCN or ATRX alterations in five out of the six patients (P = 0.004 by Fisher’s exact test). Further, the median FGFR1 expression was ranked at the 61st percentile of expression in this cohort (median transcripts per million (TPM) of 16.3), indicating that FGFR1 is expressed in neuroblastoma. Specifically, in the two FGFR1-mutant samples that also had RNA-Seq, FGFR1 expression was at 79.6 TPM (the 87th percentile of expression within the sample) or 21.6 TPM (69th percentile), and the mutant FGFR1 alleles were expressed at similar allele frequencies as in DNA (0.30 VAF in RNA vs. 0.22 in WGS, in one example patient).

ALK was altered in 10% of samples, including known hotspot SNVs at F1174, F1245, and R1275 (n = 61), amplification to 10 or more copies (n = 9), and structural alterations (n = 5) which removed some or all of exons 1–4 while preserving the transmembrane and kinase domains (Fig. 2b). The five
structural events occurred through exon 1–4 deletion in one sample (Supplementary Fig. 11a), tandem duplications removing exons 1–2 or 1–3 in two samples (Supplementary Fig. 11b, c), or inter-chromosomal translocations in intron 2 resulting in removal of exons 1–2 in two samples (Supplementary Fig. 12, Fig. 2b, and Supplementary Data 5), and were similar to ALK truncations previously reported in neuroblastoma. One of these two translocations occurred in sample SJNBL020, and was a t(2:8) event bringing truncated ALK (exon 3 to final exon 29) into proximity to MYC on chromosome 8, including several enhancers near MYC which are active in neuroblastoma, though any effect on ALK transcription could not be assessed due to lack of RNA-Seq (Supplementary Fig. 12a). The other translocation, in sample PATDXC, was a t(2:5) bringing truncated ALK (exons 3–29) adjacent to the TERT promoter. TERT expression was in the 79th percentile, and mono-allelic expression was detected in a run of seven heterozygous SNPs in or around TERT, consistent with cis-activation of a single TERT allele due to the nearby translocation (Supplementary Fig. 12b). The truncated ALK isoforms in these five samples may constitutively activate ALK signaling, as N-terminal deletions have also been reported in several neuroblas- toma cell lines and patient samples. Indeed, deletion of exons 2–3 activates ALK, indicating abrogation of an inhibitory function of this domain. In addition to the five cases referred to above, we observed alternative splicing of ALK in three samples which would lead to loss of N-terminal exons (Supplementary Fig. 13), although WGS data were not available for assessing causality by genetic alterations.

**Mutual exclusivity and co-occurrence of alterations.** We analyzed the significance of mutual exclusivity and co-occurrence of recurrent somatic variants using WGS diagnosis samples (n = 182) due to their comprehensively characterized variant sets, including SVs (Fig. 3a), and events with low prevalence (<5 events, such as FGFR1 which had only two mutations among WGS samples) were not included due to lack of power. Our analysis focuses on variants that were independent which excludes CNVs and SVs resulting from the same re-arrangement event (Fig. 3a). MYCN alterations were mutually exclusive with ATRX and SHANK2; with (t11;17); with deletion of 3p, 4p, and 11q; and with gain of 11q13.3; each of these associations has been reported previously. TERT was mutually exclusive with ATRX as previously reported, as both genes promote telomere lengthening. MYCN and TERT were not mutually exclusive as three cases harbored independent MYCN and TERT alterations (Fig. 3a), which agrees with one previous study but contradicts another. Interestingly, two additional cases harbored SVs simultaneously affecting MYCN and TERT (Supplementary Fig. 14). ALK mutations did not exhibit statistically significant co-occurrence with any gene-specific events (Fig. 3a). Deletion of 3p, 4p, and 11q, and gain of 7q, tended to co-occur, while 1p deletion was mutually exclusive or not co-occur with these alterations, consistent with previous findings (Fig. 3a and Supplementary Fig. 4). Gain of 17q co-occurred with most other segmental chromosome alterations, as expected (Fig. 3a and Supplementary Fig. 4).

**Age-related genomic aberrations.** Mutual exclusivity in driver alterations prompted us to analyze whether it was caused by differential prevalence of these events among the three age groups. Globally, group A showed the lowest mutation burden compared with groups B and C based on SNV count in coding regions (Fig. 3b). Alterations affecting ALK (by SNVs and SVs) and SHANK2 (by SVs) did not exhibit significant bias among age groups (Supplementary Fig. 15b). While SVs disrupting SHANK2 were present in all age groups, inter-chromosomal translocations occurred in the older age groups (groups B and C) but not in group A samples which were affected by intra-chromosomal disruptions with breakpoints ~2.5 Mb apart. By contrast, PTPRD genetic alterations (consisting of gene-disrupting SVs and focal deletions) were significantly higher in groups B and C as they were completely absent from group A (Supplementary Fig. 15a, b), and Ras pathway mutations were enriched in group B, although there was no significant age group difference for PTPRD and Ras pathway mutations when including only stage 4 samples, suggesting their age specificity was related to higher disease stage (Supplementary Fig. 15b). MYCN and TERT alterations were enriched in younger patients (median age of 2.3 years and 3.8 years, respectively) in group B, while ATRX was more common in older children (median age 5.6 years) in group C (P < 1 × 10⁻³ for
each pairwise comparison by Wilcoxon rank-sum test; Fig. 3c, d; see also Supplementary Fig. 15b). These correlations remained significant even when including only stage 4 samples (Supplementary Fig. 15b). Thus, different developmental stages may be more vulnerable to the acquisition of specific oncogenic mutations.

Among segmental chromosome alterations, 1p deletion showed the highest frequency in group B, while frequently co-occurring 3p deletions, 11q deletions, and 7q gains were enriched in groups B and C, whether analyzing all samples or stage 4 samples only (Supplementary Fig. 15b). t(11;17) events likewise showed significantly higher prevalence in groups B and C, though there was no significant correlation when analyzing only stage 4 samples (Supplementary Fig. 15b).

Mutational signatures and genetic correlates of signature 18.
To identify the processes driving mutagenesis, we analyzed SNV mutational signatures based on each mutation's flanking trinucleotide context41. We extracted mutational signatures from the
205 WGS samples, since WES data generally lack sufficient SNVs to identify reliable signatures. We identified five known mutational signatures in primary neuroblastomas, consistent with our previous report\(^1\) (Fig. 4a, showing diagnosis samples only); one relapse-specific signature induced by cisplatin (Supplementary Fig. 16, showing diagnosis and relapse); and one signature representing artefacts from Complete Genomics Inc. (CGI) sequencing\(^1\) (T-10; Fig. 4a and Supplementary Fig. 16). Most of the signatures were present across all age groups, and represent the following biological processes in order of abundance in neuroblastoma: (1) signature 18, which has been causally associated with reactive oxygen species (ROS)-induced mutagenesis in cultured iPSC cells and mouse models\(^{46,47}\); (2) signature 3, putatively caused by homologous recombination deficiency; (3 and 4) clock-like signatures 5 and 1, indicative of unclear causes and 5-methylcytosine deamination, respectively; (5) signature 31, which is cisplatin-induced and was found exclusively at relapse in 5 of 23 relapses\(^{41,44}\); and (6) signature 40, of unknown etiology and found in a single sample (Fig. 4a and Supplementary Fig. 16).

Signature 18 has predominantly been detected in neuroblastoma but is rare in most other cancers\(^5\) and is induced by ROS in some model systems\(^{2,43}\). It primarily induces C > A transversion\(^{41}\) potentially through ROS-induced 8-oxoguanine formation\(^{42,45}\), though whether signature 18 is caused by ROS in neuroblastoma specifically is unknown. We focused analysis on signature 18 due to its specificity for neuroblastoma compared to ubiquitous signatures (signatures 1 and 5), and its unique preference for C > A mutations, enabling identification of driver mutations potentially caused by signature 18, compared to less-discriminatory signatures causing various mutation types with similar frequency (signatures 3 and 5)\(^{41,46}\).

We found that signature 18 may be both an early event in neuroblastoma, causing truncal mutations, and an on-going mutational event causing relapse-specific mutations, based on analysis of five patients with matched diagnosis and relapse samples (Fig. 4b), as suggested previously by cultured neuroblastoma cell models\(^{47}\). Four of the five patients had signature 18 in both shared (early) and relapse-specific (late) mutations, while the fifth lacked the signature at both diagnosis and relapse (Fig. 4b). This suggests an intrinsic and stable propensity of specific neuroblastoma tumors to either possess or lack the signature 18 mutational process.

The prevalence of signature 18 varied considerably, ranging from 0 to 3302 SNVs per tumor (Supplementary Fig. 16). To identify biological processes that may contribute to this variability, we compared gene expression profiles between samples with detectable signature 18 vs. those lacking signature 18 altogether. This analysis included 88 diagnosis samples that had both WGS and RNA-Seq, 28 of which were signature 18-negative and 60 of which were signature 18-positive. Differential expression was analyzed using Limma to generate Benjamin-Hochberg-adjusted \(P\) values for each gene (Fig. 4c). Genes involved in neural function, including \(PIRT\) (affecting peripheral nerve function\(^{48}\), \(TMEFF2\) (which promotes neuron survival\(^{49}\), \(DST\) (a neural cytoskeletal gene\(^{50}\), and \(GABBR1\) (a neurotransmitter receptor\(^{51}\), were among the most significantly increased in expression in signature 18-negative samples. On the other hand, genes statistically increased in signature 18-positive samples included \(UBE2S\) and \(LRRC59\); nuclear-encoded mitochondrial ribosome genes \(MRPL11\), \(MRPS7\), and \(ICT1\) (also \(MRPL58\); other nuclear-encoded mitochondrial genes \(COX8A\) (a subunit of electron transport chain complex IV\(^{52}\), \(ATP5G1\) (ATP synthase subunit\(^{52}\), \(MTTP1\) (promotes mitochondrial fission\(^{53}\); and the histone genes \(HIST1H4H\), \(HIST1H2AG\), and \(HIST1H3H\) (Fig. 4c, d). Indeed, 16.4% of all significantly upregulated genes in signature 18-positive samples (genes marked red in Fig. 4c) had mitochondrial localization according to the Broad Institute’s MitoCarta 2.0 database\(^{34}\), compared to only 6.8% of all other genes (\(P = 0.016\) by Fisher’s exact test; see accompanying Source Data file). Interestingly, several of the mitochondrial genes were found on chromosome 17q, including \(ATP5G1\), \(ICT1\), and \(MRPS7\).

Indeed, 17q gain itself was significantly associated with increased signature 18 proportion (Fig. 4e and Supplementary Fig. 17; \(P = 1.3 \times 10^{-9}\) by Wilcoxon rank-sum test). Further, the neural-enriched samples, with neural expression scores determined using ssGSEA\(^{55}\) of 26 neural genes statistically increased in signature 18-negative samples (Fig. 4c and Supplementary Table 2), clustered together in t-SNE analysis as a group lacking 17q gain (Fig. 4f, left two panels) and signature 18 (Fig. 4f, right panel). These data suggest that the neural-enriched samples lacking signature 18 may represent a distinct subgroup; indeed, a neuronally differentiated transcriptional subgroup was previously identified in neuroblastoma\(^{26}\). We also tested whether other genetic alterations, in addition to 17q gain, were associated with signature 18 (Supplementary Fig. 17). MYCN-altered samples had significantly more signature 18 (Fig. 4e), consistent with reported MYCN-induced ROS generation in neuroblastoma\(^{57}\), as did samples with 1p deletion and 2p gain (\(P < 3 \times 10^{-4}\) for each of these alterations by Wilcoxon rank-sum test; we required a significance level of \(a = 2.94 \times 10^{-3}\) using a Bonferroni adjustment for multiple hypothesis testing of the 17 alterations in Supplementary Fig. 17). MYCN and 17q gains were also statistically enriched in signature 18-positive samples when including only stage 4 samples (Supplementary Fig. 18) and the structural variant burden was not strongly correlated with signature 18 (Pearson \(r^2 = 0.05\), indicating that MYCN and 17q gains’ association with signature 18 was not simply due to higher disease stage or genome complexity.

Samples with 17q gain but no MYCN alteration had statistically higher signature 18 than samples lacking either alteration, indicating that 17q gain’s association with signature 18 was not simply due to its co-occurrence with MYCN (Supplementary Fig. 19a). Further, samples with both 17q gain and MYCN alterations had even higher signature 18 than those with 17q gain alone, suggesting additive interaction (Supplementary Fig. 19a). Finally, MYCN was not the primary driver of increased mitochondrial gene expression in signature 18-positive samples, since mitochondrial genes remained statistically increased when differential gene expression analysis included MYCN alteration status as a covariate, to remove potential confounding effects of MYCN (Supplementary Fig. 19b). This is consistent with 17q gains potentially promoting the mitochondrial gene expression, given that several of the mitochondrial genes are found on 17q. Indeed, when both 17q gains and MYCN status were included as covariates, signature 18 alone was not significantly associated with expression of any gene, and mitochondrial gene expression was associated with 17q gains (Supplementary Fig. 19c) but not MYCN alterations (Supplementary Fig. 19d). This indicates that 17q gains were the primary factor associating signature 18 with mitochondrial gene expression. 17q genes with mitochondrial localization per MitoCarta\(^{54}\) had a statistically greater expression increase in 17q-gain samples (median adjusted \(P = 0.006\) by Limma analysis), compared to non-mitochondrial 17q genes (median adjusted \(P = 0.038\) by Limma analysis; comparison of the adjusted \(P\) values between the two groups by a Wilcoxon rank-sum test yielded \(P = 0.042\)).

To test whether similar signature 18 correlations could be observed in other cancer types, we analyzed mutational signatures in 1603 pediatric cancers with WGS spanning 39 cancer types including all major pediatric cancers, and 831 adult cancers from TCGA\(^{38}\) with WGS spanning 24 cancer types. Pediatric
Fig. 4 Signature 18-induced mutational patterns. a Mutational signatures detected by WGS of 182 diagnosis samples, shown as proportion of mutations caused by the signature across all samples in each age group. Sample numbers are: group A, 39; B, 103; and C, 40. b Signature abundance in absolute SNVs is indicated on y-axis for five patients with matched diagnosis and relapse samples. Shared mutations (present in both diagnosis and relapse, which are thus early mutations) are indicated at left, followed by relapse-specific variants (detected only at relapse) on the right for each patient. c Differential gene expression analysis comparing signature 18-positive (n = 60) vs. signature 18-negative (n = 28) samples in 88 diagnosis samples with both WGS and RNA-Seq using Limma. Y-axis represents -log10 P values; x-axis represents the log expression fold-change (mean expression difference), with genes increased in signature 18-positive samples in the positive direction (red) and genes increased in signature 18-negative samples in the negative direction (blue). Each point represents one gene, and genes with the lowest 1.9% of adjusted P values are shown in color. d Y-axis represents indicated gene’s expression in TPM, comparing signature 18-negative (n = 28) and -positive (n = 60) samples. Box, interquartile range (25th to 75th percentile); middle bar, median. Whiskers are described in R boxplot documentation (a 1.5 × interquartile range rule is used). e Proportion of SNVs caused by signature 18 in 182 diagnosis samples with WGS, comparing samples with vs. without 17q gain; or with vs. without MYCN alterations. Interquartile range, median, and whiskers are as in d. P values are by two-sided Wilcoxon rank-sum test. f t-SNE of 158 diagnosis and relapse samples with RNA-Seq (left two panels) or the subset of these with WGS (n = 96, far right). Overlaid are, from left to right: (1) ssGSEA Z-scores for the neural gene set (Supplementary Table 2) with red indicating more neural expression, (2) the 17q gain status with purple indicating no gain, and (3) proportion of mutations caused by signature 18 in each sample (Z-scores). Dotted outline is to enable comparison of a neural-enriched group between each plot. Source data are provided as a Source Data file.
rhabdomyosarcoma and liver malignancies, as well as adult colorectal cancers, were the only other solid tumor types with >20% of tumors bearing signature 18. As only three signature 18-positive liver tumors were available, and the colon epithelium is subjected to continuous environmental exposures which may confound the search for endogenous signature causes, we focused analysis on rhabdomyosarcoma which had 13 signature 18-positive samples out of 31 samples total, sequenced by both WGS and RNA-Seq. We performed differential gene expression analysis with Limma, comparing signature 18-positive vs. signature 18-negative samples (Supplementary Fig. 20a, b). This revealed statistically increased expression (adjusted \(P \text{ value} < 0.05\)) of four nuclear-encoded mitochondrial ribosome genes\(^9\) (MRPL15, MRPL47, MRPL33, and MRPL13) and several nuclear-encoded components of the mitochondrial electron transport chain, including complex I components (NDUFB3 and NDUFB9), one gene in complex II (SDHD), one gene in complex III (UQCRB), cytochrome c (CYCS) itself (which transports electrons between complexes III and IV), three genes in complex IV (COX6C, COX6A1, and COX8A), and two subunits of ATP synthase (including ATP5MD and ATP5MPL)\(^32\). The specific nuclear-encoded mitochondrial genes in rhabdomyosarcoma were different from those enriched in neuroblastoma signature 18-positive samples, except for COX8A (Fig. 4c, d). Several of the up-regulated mitochondrial genes were found on chromosome 8 (COX6C, NDUFB9, UQCRB, MRPL15, and MRPL13), which is commonly affected by copy gain in rhabdomyosarcoma\(^40\) (Supplementary Fig. 20b). Indeed, rhabdomyosarcomas with chromosome 8 gain had significantly more signature 18 proportions (\(P = 0.0036\) by Wilcoxon rank-sum test; Supplementary Fig. 20c), suggesting that the increased mitochondrial gene expression may have been driven by chromosome 8 gains. Together, these results suggest that certain copy gains (e.g. 17q gains in neuroblastoma, chromosome 8 gains in rhabdomyosarcoma) may lead to increased activity of mitochondria where ROS are frequently generated\(^61\), potentially leading to signature 18\(^42,43\). However, experimental data are ultimately needed to test this hypothesis.

Driver mutations potentially induced by signature 18. We next analyzed which driver SNVs were likely induced by signature 18. Signature 18 is dominated by C>A mutations, and many driver SNVs were indeed C>A variants at signature 18-associated trinucleotide contexts, including ALK F1174L, R1275L, and L1196M; NRAS Q61K and KRAS G12V; ATRX 1690D and E990*; NFI E1868* and E977*; PTPN11 D61Y; and FGFR1 N546K (Fig. 5a), suggesting that signature 18 may induce driver mutations. We quantified the probability that driver mutations in these genes (along with BRAF, TP53, and MYCN) were induced by each mutational signature using an approach described previously\(^42\) which we have applied in other pediatric cancers\(^63\) (Fig. 5b; an example of how the probability calculations were performed for a specific example case is shown in Supplementary Fig. 21). Among the 161 WGS samples with signature reconstruction cosine similarity of 0.9 or above (as the association of individual mutations to signatures requires stringently accurate signature scores), 38 diagnosis samples had at least one SNV in one of the driver genes (e.g. ALK, ATRX, TP53, FGFR1, MYCN, NFI, KRAS, NRAS, PTPN11, and BRAF), for a total of 42 driver SNVs. The majority (22 of 42, or 52%) of these driver SNVs had >50% probability of having been induced by signature 18 (Fig. 5b), including five ALK F1174L mutations, the most common driver SNV, at the \(T>C\)A context, and other ALK mutations at R1275 and L1196M. ALK F1245V (C>T>G/T), by contrast, was likely caused by signature 3 or 5. Eight of nine mutations affecting the Ras pathway (in NFI, NRAS, KRAS, BRAF, or PTPN11) were most likely (>50% probability) induced by signature 18. Among mutations leading to genome instability (in TP53 or ATRX), 3 of 11 were most likely induced by signature 18. The percent of driver SNVs most likely induced by signature 18 (52%) was similar to the percentage of all mutations caused by signature 18 across the 38 samples analyzed (56%, \(P = 0.64\) by Fisher’s exact test), indicating that signature 18 was proportionally likely to cause driver SNVs as SNVs in general. This indicates that signature 18 is likely a driver of disease progression in neuroblastoma, in contrast with passenger mutational signatures, such as the kataegis-associated APOBEC signature in osteosarcoma which causes no known driver SNVs in that cancer type\(^64\).

**Discussion**

We previously reported age-associated ATRX alterations in a cohort of 104 neuroblastoma patients\(^10\). The comprehensive genome-wide analysis performed here allowed us to discover age-associated alterations in MYCN, TERT, PTPRD, and Ras pathway alterations, which together with ATRX represent the majority of common driver gene alterations in neuroblastoma. These findings suggest that the sympathetic nervous system, the tissue from which neuroblastoma arises, is susceptible to different oncogenic insults at different times during development, which could be explored in future investigations using animal models. Notably, telomere lengthening can be achieved either by telomerase expression through SVs in TERT or MYCN amplification (which is associated with higher TERT expression\(^9,65\)), or by an alternative lengthening mechanism via ATRX mutation\(^12,57\). We observed age-associated mutual exclusivity between ATRX and TERT, and between ATRX and MYCN, indicating susceptibility of different ages to specific oncogenic events. This may be due to (1) age-specific oncogene dependencies in the tissue of origin, or (2) different proliferation rates conferred by specific alterations, leading to clinically detectable disease at earlier or later ages.

**ALK** N-terminal variants, present in 5 of 205 (2.4%) WGS samples, removed extracellular domain-encoding exons and were similar to aberrations observed previously\(^16,17\). Alterations removing ALK N-terminal exons activate ALK\(^15\), suggesting they are possible therapeutic targets. While attempts to inhibit ALK in neuroblastoma have been disappointing\(^66\), these efforts have focused on patients with kinase domain point mutations. Since the kinase domain is preserved in the ALK N-terminal variants, functional and pharmacological studies are merited to determine whether ALK kinase inhibitors are effective against these alterations. In addition, we found recurrent FGFR1 N546K mutations in six patients or ~1% of total samples, in addition to the single neuroblastoma case reported previously\(^34\). Functional and pharmacological studies would likewise be beneficial to test whether FGFR1 N546K and the FGFR1 ITD we observed in one additional patient represent valid targets for kinase inhibition in neuroblastoma patients.

Our findings indicate that the signature 18 mutational process is the most common cause of driver SNVs in neuroblastoma. This suggests that this mutagenic process, which is caused by ROS in other settings\(^42,43\) (though not proven in neuroblastoma), may promote evolution and heterogeneity, as many driver SNVs, such as **ALK** mutations, are later events in neuroblastoma\(^11,34\). Mitochondrial ribosomal and electron transport chain gene expression was associated with higher signature 18 in neuroblastoma—a finding that we were able to replicate in rhabdomyosarcoma (Fig. 4c, d and Supplementary Fig. 20a, b)—potentially through 17q gains, where several of these genes reside. Indeed, mitochondrial electron transport chain components I and III are known to generate ROS\(^61\), a reported cause of signature 18 in
Fig. 5 Driver SNVs caused by signature 18. a Spectrum of signature 18, with 6 SNV types indicated at top and the trinucleotide context indicated at bottom. C>A mutations commonly affecting driver genes are indicated at bottom. b Heatmap showing probability that each somatic driver SNV (rows) was caused by each signature (columns). Each row represents one mutation in a specific patient. Trinucleotide context of each mutation is indicated at right. Genes are color-coded as indicated in legend at bottom-right. Only driver SNVs in diagnosis WGS samples with highly reliable signature data (cosine correlation caused by each signature (columns). Each row represents one mutation in a specific patient. Trinucleotide context of each mutation is indicated at right. Genes are color-coded as indicated in legend at bottom-right. Only driver SNVs in diagnosis WGS samples with highly reliable signature data (cosine reconstruction similarity of 0.9 or higher; n = 38 samples and 42 mutations) were analyzed. Source data are provided as a Source Data file.

certain experimental model[42,43], and increased mitochondrial ribosome proteins may promote increased translation of complex I, some of whose subunits are translated by mitochondrial ribosomes.[52] We speculate that the poor outcomes in neuroblastomas with 17q gain may be partly due to their increased mutational burden (Fig. 1), which may result from 17q copy gains leading to increased expression of electron transport chain genes on 17q (or 17q mitochondrial ribosome genes that increase translation of electron transport chain components). This in turn may cause ROS production and potentially signature 18-induced mutagenesis, which fuels point mutations and clonal heterogeneity promoting drug resistance. Experimental models are ultimately needed to test these hypotheses. Indeed, mitochondrial metabolism has also been suggested to play a role in neuroblastoma pathogenesis by others[87]. Together, our findings suggest that there may be therapeutic vulnerabilities in neuroblastomas with 17q gain, potentially through targeting altered mitochondrial function.

Methods

Patients and samples. Neuroblastoma specimens were collected through collaboration with Memorial Sloan-Kettering Cancer Center (MSKCC) and the Therapeutically Applicable Research to Generate Effective Treatments (TARGET) project and the Children’s Oncology Group (COG). Neuroblastoma tissue was obtained from COG member institutions and was used under institutional review board (IRB) approval from The Children’s Hospital of Philadelphia, MSKCC, and St. Jude Children’s Research Hospital. Rhabdomyosarcoma PCGP and all St. Jude Clinical Genomics samples were obtained from St. Jude under IRB approval from that institution. Written informed consent was obtained from patients and/or legal guardians for use of tissue for research. This study complies with the Declaration of Helsinki and all other relevant ethical regulations.

Statistics and reproducibility. All statistical analyses were performed using two-sided tests if applicable. Specific tests used are described in the main text or figure legends. When comparing distributions we used the non-parametric Wilcoxon rank-sum test due to frequently skewed distributions. Each sample was sequenced one time and no technical replicates were performed.

genomic datasets. Neuroblastoma WGS data in the PCGP cohort (samples from MSKCC) were reported previously[10]. WGS, WES, and RNA-seq data from TARGET were downloaded from dbGaP with study identifiers phs000218. WES data for 317 COG samples were generated at St. Jude Children’s Research Hospital. TARGET samples were sequenced by CGI technology for WGS, while the remainder of samples relied on Illumina sequencing. As many as 15 different CNV alterations were identified. We also conducted pharmacogenomic analysis of 22 CRISPR/Cas9-mediated genetic edits in neuroblastoma cell line TC-38.

Copy number variation analysis. CONCERTING version 1.0 was used to identify CNVs[11] after BWA (version 0.5.9) alignment in samples with Illumina-based WGS. For TARGET CGI-based WGS data, we used CNV data from our previous study, in which we adapted the CONCERTING algorithm to call CNVs[11]. After CNVs were called, significant CNV regions were identified with GISTIC version 2.0[49] using WGS data from 205 samples. Focal CNVs with targeted genes were manually curated afterwards (Supplementary Data 6). For WES data, CNVs were called with CanKu[89] (version 0.9.1) after alignment with BWA version 0.5.9. CNV data were manually inspected by plotting copy changes and LOH across the genome for each sample, and if necessary, CNV data were manually centered with presumed diploid regions at copy level 2.0. Presumed diploid regions were considered to be those at the lowest non-LOH-region copy level, and the resulting presumed haploid and triploid regions obligately having LOH.

To determine whether a segmental chromosome alteration had occurred on any autosomal chromosome arm, the last 30 Mb of each chromosomal region (starting from the p- or q-terminus) of each segmental copy gain or deletion was analyzed for CNVs with arms less than 30 Mb in length, the entire chromosome arm was analyzed; for acrocentric chromosomes shorter segments of 15–25 Mb were queried; for 11q13.3 gains, the region of chromosome 11 from 68.5 Mb to 69.5 Mb in GRCh37 coordinates was used as this approximated the minimally gained region per Supplementary Fig. 8b). The copy level (non-logged) at 250 kb intervals was sampled within this 30 Mb range. A median level of 2.3 or higher (where 2.0 is diploid) among sampled regions was required to consider the arm gained; for copy losses, a value 0.3 copies or lower than the sample’s median copy value was required. In addition, the median copy value for the entire opposing (control) arm had to be at least 0.3 less than that of the arm of interest for copy gains; for copy losses, the median copy value for the entire opposing arm had to be at least 0.3 above that of the arm of interest; these criteria enabled identification of segmental chromosome alterations and excluded whole-chromosome copy gains or losses. (However, for 11q13.3 gains, the control region was on the same
chromosome arm but further downstream—the last 30 Mb of chromosome 11—since 11q13.3 copy gains frequently extend to the p arm, making the p arm a poor proxy. For calling samples as having 9 or more whole-chromosome gains or not, the copy at each position was also sampled every 250 kb on each chromosome arm. If at least 80% of the chromosome arm had copy level of 2.25 or above, the arm was considered copy gained. If both arms of the chromosome met this criterion, the whole chromosome was considered gained. Samples with at least 1 whole chromosome gained were considered 9+ WC gain based on density plot analysis (Supplementary Fig. 5). In all, 39 samples had both WGS (based on CGI) and WES (Supplementary Fig. 1a), and these samples were used to test for concordance between WGS-based and the independent methods: (1) review of the clinical database where amplifications or point mutations were both considered positive) as a covariate to subtract potential effects of chromatin status (amplification status was based on three events, while translocations and copy number gains were an early or late event in neuroblastoma evolution, we used evolutionary timing of copy number occurrences. Mutagenesis after the copy gain will occur on 1 of 3 copies, such that the percentage of mutations on 1 of 3 alleles will increase over time. When the molecular time prior to the copy gain (fertilization to copy gain) and the molecular time after copy gain (from copy gain to tumor acquisition/sequencing) are equal, there will be 75% of mutations on 1 of 3 alleles (two-thirds of this after the copy gain (50% overall) and one-third before (25% overall)) and 25% on 2 of 3 alleles (all before the copy gain). Most patients (85%) had more than 75% of mutations on 1 of 3 alleles, indicating that copy gains happened earlier than the majority of point mutations in these patients.

Age associations with genetic alterations. To compare the ages of diagnosis of patients with somatic alterations (including SNVs/indels, CNVs, or SVs) in MYCN, TERT, ATRX, or patients with none of the three variants, we generated the empirical cumulative distribution function using the ecdf function in R, using only diagnosis samples (Fig. 3d). To compare the frequency of all common genetic alterations between the three age groups, we compared the percent of patients in each group with each alteration using Fisher’s exact test, using only diagnosis samples (Supplementary Fig. 15b and Fig. 3c). In Supplementary Fig. 15a, age of each sample with each alteration was analyzed as a continuous variable rather than by age groups, by Wilcoxon rank-sum test as described in the figure legend.

Analysis of allele-specific expression. We applied CisX 27 (version 1.4.0; software to discover novel structural or somatic alterations associated with allele-specific gene expression and thus potential cis-regulation) to determine whether TERT or ALK had germline allele-specific gene expression in sample PATDXC in the region near the t(2;5) translocation, using WGS and RNA-Seq
Mutational signature analysis. For the 205 neuroblastoma samples with WGS, we first determined the trinucleotide context of each somatic SNV, resulting in 96 possible mutation classes to create a 205 × 96 (sample × mutation class) matrix containing the number of SNVs in each class in each sample. We then applied SigProfiler (version 2.3.1) in MATLAB to extract mutational signatures de novo from the dataset. The optimal number of extracted signatures was 6, which had a signature stability above 0.95 and the lowest frobenius error of 6–6 signatures, while seven or more signatures had a signature stability of <0.8. We next tested which of these signatures was explained by the COSMIC vs SNV signatures, signature definitions of which are described in the literature. Five of the six extracted signatures were well-explained (cosine similarity ≥0.9) by some combination of the COSMIC vs SNV signatures; specifically substitution signatures (SBS in the referenced article) 1, 3, 5, 12, 18, 31, 40, 43, and 46 (hereafter queried signatures, since these were further analyzed). The sixth, T-10, was highly similar (cosine similarity of 0.97) to a likely artifactual signature, also referred to as T-10 in our previous study, associated with CGI library preparation; T-10 was included in our queried signatures to absorb artefactual signal and optimize signature detection.

We then used SigProfilerSingleSample (version 1.3.0) to test the presence of the queried signatures described above in 205 neuroblastoma samples with WGS, using the analysis_individual_samples function and the signatures to be included in all samples regardless of rules or sparsity parameter set to include substitution signatures 1, 3, and 5 as these are ubiquitous signatures (1 and 5) or abundant specifically in neuroblastoma (3) but potentially difficult to detect due to flat features. Signatures 12, 46, which were initially detected by the novo signature extraction, were not detected by SigProfilerSingleSample in any sample, leaving 7 signatures (including T-10) detected in our final analysis.

In all, 161 of 205 neuroblastoma samples’ SNV catalogs were explained with cosine similarity ≥0.9 (comparing the original sample to the sample reconstructed by signatures) by the queried signatures (Supplementary Fig. 16). However, the samples with cosine similarity <0.9 had reliable signature data overall, as the cisplatin signature was only detected in relapsed cases regardless of cosine similarity (Supplementary Fig. 16), and the signature 18 proportion strongly correlated with the proportion of C>A mutations even when including samples with low signature similarity (r = 0.96, P = 1.38 × 10^-112 by Pearson correlation; note that other signatures detected in neuroblastoma do not substantially cause C>A mutations indicating that a strong signature 18 C>A correlation is expected). Thus the samples with cosine <0.9 were included in all analyses except the prediction of whether individual driver mutations were caused by a certain signature which requires higher stringency. Most of the low-confidence samples were due to a low mutation burden (≤200 mutations). Of the samples with cosine similarity <0.9, 32 of 46 (69.6%) had ≤200 mutations; in contrast, among included samples, only 3 of 159 (1.9%) had ≤200 mutations.

To identify variants likely caused by specific mutational signatures, we used an approach [5], which we have implemented previously, as follows. The probability that an SNV was caused by a specific signature was calculated as follows. Let Sijk represent the signature strength vector for a given sample (measured in number of SNVs caused by the signature), where a mutation class is calculated as: 

P (i|m) = \frac{S_{ijk} P_{ijk}}{\sum_{l=1}^{N} (S_{ilk} P_{ilk})} \tag{1}

The numerator represents the number of mutations caused by a specific signature i at the mutation context of interest, while the denominator represents the total number of mutations caused by all signatures detected in the sample at the mutation context of interest. Example calculations for a specific mutation are shown in Supplementary Fig. 21.

The rhabdomyosarcoma mutational signature analysis was performed by first testing for the presence of all of the COSMIC v3 signatures among 831 adult cancers sequenced by WGS through TCGA spanning 23 cancer types, using SNV data downloaded through the ICGC data portal; and among 1063 pediatric cancers with WGS spanning 39 cancer types, which have been analyzed as part of the PCPG [52] or St. Jude Clinical Genomics programs (available on St. Jude Cloud). The pediatric cancer data use the GRCh38 reference genome. Signature scores were obtained using SigProfilerSingleSample. After finding that rhabdomyosarcoma was the only solid tumor type where at least 20% of samples were signature 18-positive and where sufficient signature 18-positive samples were available, we focused further analysis on mutational signatures in this cancer type. Chromosome 8 gain status was obtained by performing copy analysis on these rhabdomyosarcoma cancers using CONSENTING version 1.0 [47].

Data availability. WGS data in the PCPG cohort can be obtained from EGA using accession EGAS00001000012. WGS, WES, and RNA-seq data from TARGET can be accessed from dbGaP via accession phs000218. COG WES bam files which are new to this study (n = 317 samples) are available on EGA, under controlled access based on approval of the PCPG Steering Committee (PCPG_data_request@stjude.org) in accordance with the community practice for human genomic data protection, at accession EGAD00001005484, where bam file names ending _D1.bam indicate tumor (diagnosis) samples and bam file names ending _G1.bam indicate germline samples. COG USI patient identifiers associated with each bam file, and the specific repository containing each patient’s raw data, can be found in Supplementary Data 1. All somatic alterations identified are recorded in Supplementary Data 1 and can also be viewed interactively using ProteinPaint [43] at https://pecaj.stjude.cloud/proteinpaint/study/ PanNeuroblastoma.Alterations. Source data are provided with this paper. Any remaining data are available within the Article, Supplementary files, or are available from the authors upon request.

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Author contributions
J.R.D. and J.Z. designed the study. Data analysis (including statistical and computational analysis) was performed by S.W.B., Y.L., X.M., K.H., A.M.G., M.M., X.C., M.R., S.L., E.M.D., C.C., X.Z., J.W., A.N., and J.Z. Material support was provided by M.D.H., M.A.D., and J.M.M. The patient tissue was performed or overseen by J.E., H.L.M., J.N., and L.W. The manuscript was written by S.W.B. and J.Z. with critical feedback from M.A.D., M.D.H., and J.M.M. The study was supervised by M.D.H., M.A.D., and J.Z.
Competing interests
N.V.C. reports receiving commercial research grants from Y-mabs Therapeutics and Abpro-Labs Inc., holding ownership interest/equity in Y-Mabs Therapeutics Inc., holding ownership interest/equity in Abpro-Labs, and owning stock options in Eureka Therapeutics. N.V.C. is the inventor of issued and pending patents filed by Memorial Sloan-Kettering Cancer Center (MSK), including those licensed by MSK to Ymabs Therapeutics, Biotec Pharmacon, and Abpro-labs. Both MSK and N.V.C. have financial interest in Y-mabs. N.V.C. is an advisory board member for Abpro-Labs and Eureka Therapeutics. The remaining authors declare no competing interests.

Additional information
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