Direct observation of nanoparticle-surfactant assembly and jamming at the water-oil interface

Yu Chai1,2, Jaffar Hasnain3, Kushaan Bahl4, Matthew Wong5, Dong Li1, Phillip Geissler3,5, Paul Y. Kim5, Yufeng Jiang5,6, Peiyang Gu7,8, Siqi Li8, Dangyuan Lei9, Brett A. Helms1,5, Thomas P. Russell5,10,11,12*, Paul D. Ashby1,5*

Electrostatic interactions between nanoparticles (NPs) and functionalized ligands lead to the formation of NP surfactants (NPSs) that assemble at the water-oil interface and form jammed structures. To understand the interfacial behavior of NPSs, it is necessary to understand the mechanism by which the NPSs attach to the interface and how this attachment depends on the areal coverage of the interface. Through direct observation with high spatial and temporal resolution, using laser scanning confocal microscopy and in situ atomic force microscopy (AFM), we observe that early-stage attachment of NPs to the interface is diffusion limited and with increasing areal density of the NPSs, further attachment requires cooperative displacement of the previously assembled NPSs both laterally and vertically. The unprecedented detail provided by in situ AFM reveals the complex mechanism of attachment and the deeply nonequilibrium nature of the assembly.

INTRODUCTION

The assembly of solids at the liquid interface has been the subject of a substantial body of fundamental and applied research for decades. Owing to interfacial segregation, and as argued by Pieranski and others (6–11), it depends on the area displaced by the particle at the interface and the interfacial energies of the solid with the liquid phases and between the liquids. As the particle size decreases, the binding energy of the particle to the interface decreases and, at the size scale of nanoparticles (NPs), is of order of several kT. This results in adsorption and desorption of the NPs, so the assembly is dynamic (12–14). The binding energy of NPs to the interface can be markedly increased if NPs that are soluble in one liquid interact with end-functionalized ligands in the second immiscible liquid, forming what has been termed “NP surfactants” (NPSs) (15–18). Initially, the ligands, which have a surfactant character, assemble at the interface. Then, the NPs diffuse to the interface and bind tightly with the ligands forming the NPSs. NPSs then organize at the interface, where at low areal densities they form a fluid interfacial layer, and at high areal densities the film jams forming a solid-like layer (18). The very high binding energy during adsorption drives the system into this nonequilibrium state, leading to mechanisms of attachment that may be fundamentally different from nonfunctionalized NPs. Here, we report the direct visualization of the adsorption process with exquisite spatial and temporal resolution using in situ atomic force microscopy (AFM) coupled with laser scanning confocal microscopy (LSCM). The remarkable detail of these studies reveals the attachment mechanism and provides insights into jamming phenomena.

RESULTS AND DISCUSSION

The interface between two immiscible liquids is characterized by an interfacial tension, γ (19). For the water–silicone oil interface of interest in this study, γ ≈ 40 mN m⁻¹. If we disperse negatively charged NPs in the aqueous phase, γ is not affected because the NPs do not assemble at the interface owing to the inherent negative charge of the water-oil interface. Conversely, polymeric surfactants, for example, amine-terminated polydimethylsiloxane (PMDS-NH₂), dissolved in the silicone oil will assemble into a monolayer at the interface to reduce γ. The magnitude of the reduction will depend on the concentration of PMDS-NH₂ and the molecular weight of the PDMS chain (20, 21). However, PMDS-NH₂ dissolved in the oil and NPs in the aqueous solution, NPSs form with extremely high binding energy. The rate at which the NPs are attached to the interface will depend on the interfacial area available. Initially, with a completely unoccupied interface, the attachment will depend solely on the diffusion of the NPs to the interface, and therefore on the concentration of the NPs in the aqueous phase. The adsorption kinetics can be derived from Fick’s law, as discussed by Ward and Tordai (22). We assume that the adsorption is nearly irreversible, i.e., there is no back diffusion, which gives rise to a depletion layer adjacent to the interface. The areal density of adsorbed NPs is, therefore, given by

$$M = 2C \left( \frac{D}{\pi} \right)^{1/3} t^{1/3}$$

(1)

where M is the areal density of adsorbed NPs, D and C are the diffusion coefficient and the concentration of NPs in the aqueous phase, respectively, and t is time (23, 24).

Shown in Fig. 1A is a schematic of the attachment process where the carboxylic acid–functionalized NPs diffuse to the interface,
interact with the PMDS-NH\textsubscript{2} surfactant assembled at the interface, and form the NPs, anchoring the NPs to the interface. By labeling the 500-nm-diameter NPs with a fluorescent marker, LSCM can be used to image the adsorption process at low resolution. Individual NPs are high-contrast points in the image. Adsorption at two different times is shown in Fig. 1B, where the increase in the fluorescence signal and, therefore, the number of NPs attached to the interface is evident. The number of attached NPs as a function of time was determined as shown in Fig. 1C and fig. S1, where the solid line represents a 0.5 power law, i.e., Fickian diffusion control of the attachment. From the fit in Fig. 1C, the prefactor was found to be 1.2 × 10\textsuperscript{9} s\textsuperscript{-0.5}. Taking C = 7.6 × 10\textsuperscript{15} m\textsuperscript{-3} and interfacial area as 7.2 × 10\textsuperscript{-7} m\textsuperscript{2} (the field of view is shown in Fig. 1B), the diffusion coefficient of the 500-nm NPs in the aqueous phase was calculated to be 4.1 × 10\textsuperscript{-12} m\textsuperscript{2} s\textsuperscript{-1}. For comparison, we also estimated the diffusion coefficient of 500-nm NPs in the aqueous phase as 8.8 × 10\textsuperscript{-13} m\textsuperscript{2} s\textsuperscript{-1} from the Stokes-Einstein equation, \(D = \frac{k_B T}{6 \pi \eta R}\), where \(k_B\), \(T\), \(\eta\), and \(R\) are the Boltzmann constant, temperature, viscosity of the water, and diameter of NPs, respectively. The diffusion coefficient determined from dynamic light scattering (DLS) was 1.0 × 10\textsuperscript{-12} m\textsuperscript{2} s\textsuperscript{-1}, in agreement with the Stokes-Einstein value. The higher diffusivity, as measured by adsorption to the interface, could possibly result from the surfactant molecules inverting the interfacial charge. The electrostatic attraction between the NPs and interface could bias the diffusion near the interface, effectively increasing the depletion (25). The 5 mM MES [2-((N-morpholino)ethanesulfonic acid)] buffer solution has a Debye length of ~5 nm, which is smaller than but on the same order as the depletion region due to the lack of back diffusion. The difference in the diffusion coefficient may also result from a misestimate of the concentration of the NPs because they are reported by mass from the manufacturer, but the particle sizes vary from batch to batch. Nonetheless, the results support a diffusion-controlled adsorption to the interface, where the energy barrier to attachment is lower than the thermal energy of the system and the NPs, once in contact with the interface, remain at the interface.

As more NPs form and assemble at the water-oil interface, LSCM loses the ability to distinguish individual NPs as the separation distance between the NPs is less than the resolution of the instrument. In situ AFM, on the other hand, can directly visualize the spatial and temporal attachment of NPs to the water-oil interface. Figure 1D shows in situ AFM images of locally and almost fully packed assembly of bidisperse 100- and 300-nm NPs. Over large length scales, Fig. 1D shows subtle variation of the out-of-plane position of the NPs, but the change in position between nearby NPs is quite small such that the wetting of individual NPs at the interface can readily be measured. A section taken from the top of Fig. 1D across a couple 100-nm NPs and a 300-nm particle is shown in Fig. 1E. The section data are fit to a model to determine particle diameter and position relative to the interface position. The resulting diameters are 110, 110, and 290 nm, in very good agreement with DLS measurements (fig. S2), and the calculated contact angle values from the fit are 63°, 60°, and 39°, respectively. The binding energy of the NPs to the interface is a function of particle size; surface tension of the oil-water interface, which is ~23 mN m\textsuperscript{-1} for a 10% PMDS-NH\textsubscript{2} surfactant solution (fig. S3); and the difference, \(\Delta \gamma\), between the NP aqueous-phase surface energy, \(\gamma_{\text{pw}}\), and the NP surfactant/oil
surface energy, $\gamma_{PO}$, where the larger the difference, the weaker the binding. Using the contact angle values, the difference in surface energy, $\Delta \gamma$, is calculated to be 11 and 18 mJ m$^{-2}$ for the 100- and 300-nm NPs, respectively. The binding energy for the two NPs is approximately the same at $5.9 \times 10^{-17}$ J and $7.4 \times 10^{-17}$ J for the 100- and 300-nm NPs, respectively, where the difference in surface energies is compensated for by the difference in particle size (see more details in the Supplementary Materials) (6). The binding energies are on the order of $10^4 k_B T$, which are larger than other NPS systems (6, 10, 26) but are mostly due to the relatively large NPs. The large binding energies led to no instance of the NPS system disassociating being observed.

Although both types of NPs have nominally the same carboxyl surface chemistry, a difference in the $\Delta \gamma$ values led to different wetting conditions. This is most likely due to different surface charge densities between the batches of NPs. Using titration to neutralize the streaming potential, surface charge densities of $-3.2 \times 10^{-6}$ nC µm$^{-2}$ and $-1.9 \times 10^{-5}$ nC µm$^{-2}$ were measured for the 100- and 300-nm NPs, respectively (reported by Micromod Partikeltechnologie GmbH). The increase in surface charge density will more strongly influence the attachment of the surfactant to the NPs and increase the NPS surface energy, $\gamma_{PO}$, driving the particle further into the oil phase.

In situ AFM also probes the dynamics of the NPs in the interface. The white circled particle in Fig. 1D is a 100-nm NP that attached to the interface in the 3-min time interval. Figure 1E shows the positions of NPs at $t_0$ and $t_0 + 3.0$ min in red and blue, respectively. From the comparison image, small displacements in random directions of all the assembled NPs indicate that the free attachment of NPs to the water-oil interface does not require a structural rearrangement of assembled NPs. When there is sufficient space to accommodate the addition of a new NP, the motion is simply due to diffusion at the interface. The NPs in the interface may also have dynamics in the normal direction, such as the previously observed slow relaxation into the interface of micrometer-sized particles (27–30). Similar relaxation is not evident in the results in Fig. 1 because most of the NPs have comparable contact angles, and the settling likely happens faster than the time resolution of the measurement. However, the dynamics slow down when the NPs are more densely packed at the interface.

When the local areal density of the NPSs assembled at the interface increases and there is insufficient free space to accommodate the entry of a new NP, the assembled NPs do require rearrangement. Time-dependent in situ AFM images of the interface with coassembled 100- and 300-nm NPSs are shown in Fig. 2B at time $t_0$ and $t_0 + 5$ min. At $t_0$, there is a very dense layer of NPSs assembled on the interface (both 100 and 300 nm), and fluctuations in the areal density are evident along with regions of closest packed structure. While these fluctuations are quite interesting, they were not quantified in this study. However, there is no bare interface with sufficient area to accommodate the entry of a new 300-nm NP to the assembly. After a 5-min time period, a 300-nm NP (circled in white) does attach to the interface, accompanied by several 100-nm NPs that disappear from view (labeled by faded red circles in Fig. 2C) and two 100-nm NPs that markedly change their positions (indicated by the arrows in Fig. 2C). These results show that a cooperative structural change of the NPSs assembled at the interface is required to accommodate the attachment of additional NPs from the bulk phase at these high packing densities as has been previously modeled using Monte Carlo simulations (31). In addition to the 100-nm NPs that laterally shifted their positions, several are no longer detectable. NPSs dissociating into their constituent parts have not been observed by AFM, nor has complete transfer of the NPs into the oil phase away from the interface been measured by fluorescence. The missing NPs are likely entrapped beneath the 300-nm NP, while lack of access to the oil side of the assemblies prevents ruling out the possibility of NPs completely transferring into the oil phase.

In situ LSCM imaging provides insight into the process of addition of NPs to already dense assemblies. Here, the process of adding large 500-nm NPs to a dense film of small 70-nm NPs was investigated. To prepare a self-assembled layer of NPSs, a dispersion of 70-nm NPs was placed over a droplet of PMDS-NH$_2$ in silicone oil on a glass-bottom Petri dish. After 1 hour of assembly, a dispersion of 500-nm NPs was introduced into the water phase. After a set period of time, all excess NPs were removed by flushing with pure water, and the sample was imaged immediately. Because the washing process freezes the assembly at a specific time point, new samples were required for each exposure time of the 500-nm NP dispersion in contact with the already assembled layer of 70-nm NPSs at the interface. Figure 3A shows the LSCM images of two samples with different exposure times. Only the 500-nm NPs are distinctly observable at this resolution, so the fluorescence from the 70-nm NPs is not shown. It is seen that the number of 500-nm NPs assembled at the interface increases with time. The attachment kinetics of the 500-nm NPs is shown in Fig. 3B. Compared with Fig. 1C, a substantially longer time is required to attach the same number of 500-nm NPs to the already densely assembled interface than onto a bare interface. Because the 500-nm NPs must first displace the 70-nm NPs before or during attachment, the attachment can be viewed as a reaction-controlled process (32). In movies S1 and S2, it is evident that the 500-nm NPs (green) diffuse to the assembled interfacial layer, but many do not attach, indicating that attachment requires multiple attachment attempts, further supporting the conclusion that this is a reaction-controlled process, i.e., attachment with an energy barrier. When NPs approach the interface, there is initially a
charge repulsion, because the surface of the NPs assembled at the interface exposed to the water phase will be negatively charged. Yet, interstitial sites, local fluctuations in the packing density of the NPs assembled at the interface, and intermittent excursions of the PDMS-NH$_3^+$ into the aqueous phase provide sufficient opportunities for the arriving NPs to make contact with the PDMS-NH$_3^+$ at the interface and hold the newly arrived NPs at the interface. Subsequently, more PDMS-NH$_3^+$ attach to the NPs as it is drawn to its steady-state position. The time scale in which this settling occurs depends strongly on the local spatial distribution of other NPs at the interface and the coordinated displacements required to accommodate the new NP. Some NPs may be pushed into the oil phase and be lost from the field of view. Alternatively, a graded anchoring of the ligands could occur, causing a physical lateral displacement of the NPs already assembled at the interface. There is evidence for both mechanisms by in situ AFM.

The in situ AFM images in Fig. 3D show time-dependent imaging of 300-nm NPs attaching to an interface already occupied by 30-nm NPs. Several 300-nm NPs are seen to attach to the interface (the newly attached NPs are indicated). Images at time $t_0$ and $t_0 + 4.5$ min show seven new 300-nm NPs attached to the interface in this 4.5-min time period. The 300-nm NPs attaching to the interface already populated with 30-nm NPs show a large variation in position normal to the interface. Two extreme examples are highlighted by red circles in Fig. 3D. Further analysis by single-particle fitting (fig. S4) yields the distribution based on the center of mass $(Z_0)$ and size of the assembled NPs (fig. S5) at $t_0$. The far left value of the center-of-mass distribution is comparable to the position in the interface of the 300-nm particle that had likely equilibrated to a contact angle of $39^\circ$ in Fig. 1. Thus, the vast majority of NPs measured at the interface have not yet reached an equilibrium position. Figure S6 unambiguously shows the local height fluctuations of 30-nm NPs assembled at the water-oil interface and the local jamming of these NPs. The bending of the 30-nm NPs near the adsorbed 300-nm NP, i.e., the dark ring, provides additional evidence of local jamming (Fig. 3C). Furthermore, the interface is pinned to the 30-nm NPs and only wets a small area on newly attached 300-nm particles further slowing its equilibration. The existence of a dense layer of 30-nm NPSs already assembled at the interface slows the equilibration of the larger 300-nm NPs into the interface, resulting in a large distribution depending on their relative arrival time to the interface and the local reorganization kinetics of the existing NPS assemblies.

The time-dependent adsorption process of a 300-nm NP is shown in Fig. 3E, where both the adsorption and relaxation of the NP can be observed. In Fig. 3F, the line profiles of the region of interest in Fig. 3E show that at $t_0 + 3.0$ min, the 300-nm NP attaches to the water-oil interface; then, at $t_0 + 6.0$ min, the out-of-plane displacement of the NP, $Z_0$, decreases, as the NP settles into the interface. This is similar to the slow relaxation of larger NPs into the interface that are dependent on the contact line hopping along the rough particle surface (27–30). While the relaxation shown here is dependent on a different mechanism, namely, the smaller NPs rearranging at the interface, both processes have complex energy landscapes with local energetic minima instead of a single energetic well associated with simple adsorption of smooth particles. When large NPs adsorb to an interface already populated by very small NPs, such as the data shown in Fig. 3 (D and E), the system is so far from equilibrium that no contact angle information can be measured because the large NP sits atop the small NP, but the interface is pinned at the small NP.

LSCM experiments were used to investigate a 50:50 (by weight) mixed dispersion of 70- and 500-nm NPs to probe the dynamic coassembly. Figure 4A shows the time-dependent LSCM images of the interfacial attachment of 70-nm (yellow) and 500-nm (green) NPs to the oil-water interface. Both the small and large NPSs coassemble at the interface, although only the 500-nm NPSs are clearly resolved. An interesting feature to note is the existence of many dark areas, i.e., cracks, at the interface. These cracks, more than likely, arise from a retraction of the three-phase contact line (water-oil-substrate) after the contact between the water and oil phases is made and the oil droplet volume rising up at the center creating more area as the interfacial film is pinned at the edges. The formation of cracks instead of a homogeneous expansion of the NP density suggests attractive pair interactions between the assembled NPSs due to the partial screening of electrostatic repulsions between NPSs at the interface (32) or an entangling of the ligands anchored to the NPs. It also shows the nonequilibrium nature of the assembly of NPSs in the in-plane direction, as discussed previously. After crack formation, new interfacial areas are exposed. As a result, NPs in the bulk water phase can further attach to the interface, as shown in Fig. 4B. Continuous imaging of the same spot shows that cracks eventually self-heal (movie S3), an important trademark of structured liquids that maintains the integrity of the overall structured liquids. Similar to the results shown in Figs. 2 and 3, a 500-nm NP can also attach to the water-oil interface that has been covered by 70-nm NPSs, as shown in Fig. 4C (yellow-colored area labeled by white circle). Both free attachment and reaction-controlled attachment are observed simultaneously.
To summarize, we investigated NPS assembly at the water-oil interface and the factors that control the adsorption process. We found that the early stage of attachment of NPs to the interface followed a diffusion-controlled process and demonstrated, by in situ AFM, that structural changes could occur, depending on the local areal density of NPs. In addition to the free attachment of NPs to the bare interface, we showed that NPs could also attach to the water-oil interface even with a dense assembly of NPSs already present at the interface. The attachment process is a reaction-controlled process with the existing assembly providing an electrostatic barrier to the approach of the NPs to the interface and a coordinated rearrangement of the NPSs to accommodate the attachment of the NP. In situ AFM showed both a slow relaxation of the assemblies in the plane of the interface and laterally inhomogeneous areal densities of the assemblies, with lateral fluctuations in the packing density. Details on the attachment process under different conditions are uncovered with unprecedented spatial and temporal resolution, providing invaluable insight into adsorption and jamming, which aids in the design and fabrication of responsive assemblies.

**MATERIALS AND METHODS**

MES (low moisture content, ≥99%) and silicone oil (60,000 cSt, PDMS) were bought from Sigma-Aldrich. Polydimethylsiloxane (monoaminopropyl terminated, asymmetric, 18 to 25 cSt, PMDS-NH₂) was bought from Gelest. Carboxylated silica NPs with fluorescent dye were bought from Micromod Partikeltechnologie GmbH. All chemicals were used without any further treatment.

**Sample preparation**

NP solutions were made by diluting the stock NP solutions with 5 mM MES (pH 6.5) buffer solution. Depending on the experiments, the final NP solutions were 70 nm (1 mg ml⁻¹; WS-1), 500 nm (1 mg ml⁻¹; WS-2), 50:50 mixture of 30 and 300 nm (1 mg ml⁻¹; WS-3), 50:50 mixture of 100 and 300 nm (1 mg ml⁻¹; WS-4), and 50:50 mixture of 70 and 500 nm (1 mg ml⁻¹; WS-5). Oil solutions were made by dissolving PMDS-NH₂ in silicon oil (60,000 cSt), where the final solutions had two concentrations: 1% (w/w; OS-1) and 10% (w/w; OS-2).

**LSCM imaging: The attachment of single-sized NPs and two-sized NPs**

A tiny oil droplet (OS-1, less than 1 μl) was dipped on a glass-bottom Petri dish, followed by adding 50 μl of WS-2 or WS-5 to completely cover the oil droplet immediately followed by the LSCM imaging, where the delay time between the water-oil first in contact and the first frame of LSCM images was recorded. The number of NPs in each frame was counted by a homemade Python Script involving the package of Trackpy (34). NPs (500 nm) were used for LSCM measurements to better resolve individual particle locations.

**LSCM imaging: The attachment of 500-nm NPs to the interface with assembled NPs**

A tiny oil droplet (OS-1, less than 1 μl) was dipped on a glass-bottom Petri dish, followed by adding 50 μl of WS-1 to completely cover the oil droplet. One hour later, 50 μl of WS-2 was added, and the time was recorded as T₁. After a predetermined adsorption time, the sample was washed by pure water to remove excess NPs in the water phase, and the time was recorded as T₂. Last, all samples were measured in situ by LSCM, where the adsorption time was defined as T = T₂ - T₁.

**In situ AFM**

Using in situ AFM to observe the assembly of NPs at the liquid interface is a relatively new technique. It was first introduced by Costa et al. (35, 36) in 2016 using a thin layer of water solution (less than 100 μm) placed on a piece of mica, confined by another mica washer, and covered by organic solvent. We later developed another sample geometry, where the oil phase was below the water phase. Several advantages result from this new geometry. First, it gives more freedom to choose the oil phase, including organic solvent (37, 38) and silicone oil (39, 40). Second, it minimizes evaporation of the organic/oil phase is covered by the water phase. It allows surfactants and NPs to be dispersed in different phases; therefore, the different species only interact at the interface, greatly increasing the range of application for in situ AFM in NP assembly. A tiny oil droplet (OS-2) was dipped on a glass-bottom Petri dish, followed by adding 60 μl of WS-4 to completely cover the oil droplet. All in situ AFM imaging was conducted on a Cypher ES AFM (Asylum Research, Oxford Instruments) with BioLever mini (Olympus) probes in tapping mode. Imaging large topographical features is challenging by AFM, so the largest NP used for AFM experiments was 300 nm. A detailed description of the sample preparation and imaging conditions for in situ AFM experiments can be found in the Supplementary Materials.

**SUPPLEMENTARY MATERIALS**

Supplementary material for this article is available at http://advances.sciencemag.org/cgi/content/full/6/48/eabb8675/DC1

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