Symmetries in Reversible Programming
From Symmetric Rig Groupoids to Reversible Programming Languages

VIKRAMAN CHOUDHURY, Indiana University, USA and University of Cambridge, UK
JACEK KARWOWSKI, University of Warsaw, Poland
AMR SABRY, Indiana University, USA

The $\Pi$ family of reversible programming languages for boolean circuits is presented as a syntax of combinators witnessing type isomorphisms of algebraic datatypes. In this paper, we give a denotational semantics for this language, using the language of weak groupoids à la Homotopy Type Theory, and show how to derive an equational theory for it, presented by 2-combinators witnessing equivalences of reversible circuits.

We establish a correspondence between the syntactic groupoid of the language and a formally presented univalent subuniverse of finite types. The correspondence relates 1-combinators to 1-paths, and 2-combinators to 2-paths in the universe, which is shown to be sound and complete for both levels, establishing full abstraction and adequacy. We extend the already established Curry-Howard correspondence for $\Pi$ to a Curry-Howard-Lambek correspondence between Reversible Logic, Reversible Programming Languages, and Symmetric Rig Groupoids, by showing that the syntax of $\Pi$ is presented by the free symmetric rig groupoid, given by finite sets and permutations. Our proof uses techniques from the theory of group presentations and rewriting systems to solve the word problem for symmetric groups.

Using the formalisation of our results, we show how to perform normalisation-by-evaluation, verification, and synthesis of reversible logic gates, motivated by examples from quantum computing.

CCS Concepts: • Theory of computation → Type theory; Categorical semantics; Denotational semantics; • Software and its engineering → Functional languages; Syntax; Semantics.

Additional Key Words and Phrases: reversible computing, reversible programming languages, homotopy type theory, denotational semantics, categorical semantics, computational group theory

1 INTRODUCTION

Consider two programs that implement the same permutation using different sequences of type isomorphisms:

$$A + (B + C) \leftrightarrow_1 (A + B) + C \leftrightarrow_1 C + (A + B) \leftrightarrow_1 C + (B + A)$$

$$A + (B + C) \leftrightarrow_1 A + (C + B) \leftrightarrow_1 (A + C) + B \leftrightarrow_1 (C + A) + B \leftrightarrow_1 C + (A + B) \leftrightarrow_1 C + (B + A)$$

These permutations are written as sequences of primitive operations: associativity, symmetry, and composition. Can we find necessary and sufficient equations to identify all such equivalent sequences of type isomorphisms?

Such sequences of type isomorphisms are pervasive in reversible boolean circuits, which are at the core of quantum computing. Typically, they might be formalised as permutations $\{0, 1\}^k \rightarrow \{0, 1\}^k$ on bit strings of some length $k$ [Aaronson et al. 2017; Shende et al. 2003]. But from the perspective of programming languages, permutations and reversible circuits can be more conveniently expressed as isomorphisms over algebraic datatypes as proposed in the $\Pi$ family of reversible languages [James and Sabry 2012, 2014] whose syntax is inspired by the sound and complete axiomatisation of type isomorphisms [Fiore et al. 2002], with respect to the bicartesian structure, that is, coproducts and products. Since $\Pi$ programs correspond to type isomorphisms of finite types, our observation is that the syntax of $\Pi$ is a presentation of the free symmetric rig groupoid (on zero generators).
It is folklore that the groupoid of finite sets and permutations is the free symmetric rig groupoid on zero generators [Baez and Dolan 2000; Kelly 1974; Laplaza 1972]. Our main result formally establishes this correspondence by giving an equational theory for $\Pi$ that exactly includes all the necessary equations to decide equivalence of $\Pi$ programs. As conjectured by Carette and Sabry [2016], these equations correspond to the coherence conditions of symmetric rig groupoids, answering the conjecture in the positive.

**Equivalence by Example.** Without going into proofs, let us try to answer the question for the original pair of examples.

The first step is to realise that the use of associativity is uninteresting, and the only steps with interesting computational content are the swaps. The swaps can be either big or small – they can happen between leaf nodes, or between bigger subtrees, respectively.

First, we normalise the types to a list-like representation $A + (B + (C + 0))$, where $0$ is the empty type. In this representation, each type is identified with its index, $A$ has index 0, $B$ has index 1, and $C$ has index 2. Then, we need to compile each primitive isomorphism to a list of adjacent transpositions, and then compose by appending the lists. A small swap is trivially implemented as a single adjacent transposition. To compile a big swap into adjacent transpositions, we need to traverse the subtrees in-order and recursively swap elements from the left by transposing them across the ones in the middle – this generates a large number of adjacent transpositions. For the two programs, we get the following two lists: $[1, 0, 1, 1, 1]$ (fig. 2a) and $[1, 0, 1]$ (fig. 2b), where the number $k$ encodes a transposition of elements at indices $k$ and $k + 1$.

Swapping is symmetric, that is, swapping two elements and immediately swapping them back produces the same permutation. The first list contains such redundant operations, so to eliminate these, we have to normalise the lists, which we will do by setting up reduction relations and an appropriate rewriting system. This system will normalise both lists to $[0, 1, 0]$ (fig. 2c), which is lexicographically the smallest list that corresponds to this permutation. Since both programs have the same normal forms, they’re equivalent!
The crux of designing an equational theory for $\Pi$ relies on the choice of relations we use to design this rewriting system. First, there should be enough equations relating the programs to their normalised forms, and second, there should be enough equations corresponding to the reduction rules. We will show that these correspond to the coherence conditions for symmetric rig groupoids.

From the normalised list $[0, 1, 0]$, we can construct a permutation on a list of 3 elements $[a, b, c]$ as follows. We think of it as insertion-sorting the elements of the list. Starting from the list $[a, b, c]$, we first insert $b$ at the right place – by applying transposition $0$, we get the list $[b, a, c]$. Then, element $c$ is inserted in the right place by applying transpositions $1$ and $0$ – as a result, we get the desired permutation $[c, b, a]$. Notice that we could specify a more compact way of describing this process, since the key information was only how many shifts we needed to apply to an element.

We could describe this procedure using a code $(0, 1, 2)$, which says how many inversions to apply to elements $a$, $b$, and $c$, respectively.

Using this algorithm, we can turn a type isomorphism into a permutation of finite sets, relating the operational semantics of $\Pi$ as permutations of finite sets of bits, to our denotational semantics. This allows us to establish full abstraction and adequacy.

Outline and Contributions. Our main result is a proof of the soundness and completeness of $\Pi$ with respect to its semantics in the weak symmetric rig groupoid of finite sets and permutations. We state our result as a Curry-Howard-Lambek correspondence for Reversible Logic, Reversible Programming Languages, and Symmetric Rig Groupoids, using which we can build a toolbox of technical devices for reasoning about reversible circuits.

- We start in Section 2 by presenting a few reversible circuits in the popular IBM Qiskit framework to serve as running examples throughout the paper.
- In Section 3, we introduce the two-level language $\Pi$ [Carette and Sabry 2016; James and Sabry 2012] and illustrate how to write reversible circuits and their equivalences using 1-combinators and 2-combinators respectively. We give a semantic account of the language by translating each level-1 program to a bijection between finite sets, and verifying that programs identified by level-2 constructs denote the same bijection.
- Section 4 describes the construction of $\mathcal{U}_{\text{Fin}}$, the groupoid of finite sets and permutations, in Homotopy Type Theory. We define and characterise the notion of a univalent subuniverse, and construct $\mathcal{U}_{\text{Fin}}$ as a univalent subuniverse which classifies all finite types. We establish that paths in $\mathcal{U}_{\text{Fin}}$ are families of loops on finite sets of specified cardinality, given by $\text{Aut}(\text{Fin}_n)$, which produces the permutation group on $\text{Fin}_n$.
- In Section 5, we proceed to give a presentation of the permutation group, as the symmetric group $S_n$ with generators and relations, and solve its word problem. In particular, we present $S_n$ as a Coxeter group, build a rewriting system based on Coxeter relations, and prove confluence and termination. Using our rewriting system, we establish that normal forms for words in $S_n$ are equivalent to Lehmer codes [Lehmer 1960], which are a convenient and compact representation of permutations. Finally, we show that there is an equivalence between Lehmer codes and permutations $\text{Aut}(\text{Fin}_n)$ given by the Lehmer encode-decode algorithm.
- In Section 6, we show how to interpret the language $\Pi$ into the groupoid $\mathcal{U}_{\text{Fin}}$, in stages. First we define a subset $\Pi^+$ of the language which only includes the additive monoidal structure, and show how to translate $\Pi$ programs to $\Pi^+$ programs. Then, we further define a normalised form for this language called $\Pi^\wedge$, which has normalised 1-combinators and 2-combinators corresponding to adjacent transpositions. We show that $\Pi^+$ can be translated to $\Pi^\wedge$ and back. Then, we show how to interpret this language $\Pi^\wedge$ into $\mathcal{U}_{\text{Fin}}$ – the 1-combinators are translated into permutations via words in $S_n$, and 2-combinators are interpreted as 2-paths in $\mathcal{U}_{\text{Fin}}$. We further show how to quote back a permutation in $\mathcal{U}_{\text{Fin}}$ into a 1-combinator using the normal forms for words in
The main result of this section is a symmetric monoidal equivalence between the syntactic groupoids of $\Pi^+$ and $\Pi^\wedge$, with $\mathcal{U}_{\text{fin}}$. Finally, we also establish full abstraction and adequacy of this model with respect to the operational semantics.

- In Section 7, we show applications of our results to reversible circuits, using our formalisation. Our results are stated using HoTT [Univalent Foundations Program 2013], and formalised using the HoTT-Agda library (around 7,500 lines of code). Using the formalisation, we are able to extract procedures for: (1) the synthesis of a reversible circuit from a permutation on a finite set, (2) the verification that a reversible circuit realises a given permutation on finite sets, (3) a normalisation-by-evaluation (NbE) procedure that reduces reversible circuits to canonical normal forms, (4) a sound and complete calculus for reasoning about reversible circuits and their equivalences, and (5) the transfer of theorems about permutations and reversible circuits from one representation to the other.

The proofs of some of our lemmas and propositions and theorems, as well as additional material, are given in the supplementary appendices, and we refer to them in the text. Our accompanying Agda code contains the formalisation of the full syntax, and most of our proofs.

2 REVERSIBLE CIRCUITS IN QISKIT

Classical reversible boolean circuits are at the core of most quantum algorithms and hence are supported by popular platforms for quantum computing such as IBM Qiskit [Aleksandrowicz et al. 2019]. Specifically, the Qiskit framework provides the following universal set of gates for reversible computing: not (boolean negation, called $x$), cnot (conditional negation of the second input if the first is true; called $cx$), and toffoli (conditional negation of the third input if both the first two inputs are true; called $ccx$) gates. Additionally, Qiskit allows implicit re-shuffling of bits by allowing each operation to specify the indices of its input bits.

For concreteness, we demonstrate two different circuits that implement the following reversible function specification $\text{reversibleOr}(h, b_1, b_2) = (h \lor (b_1 \lor b_2), b_1, b_2)$ where $\lor$ is boolean disjunction and $\lor$ is the exclusive-or operation. The circuits are presented in both the textual interface qasm and the graphical interface:

```
ccx q[1], q[2], q[0];
 cx  q[1], q[0];
 cx  q[2], q[0];
```

There is a wealth of manual and algorithmic approaches for producing circuits such as the two above [Maslov 2003; Shende et al. 2003]. The circuit on the left was manually produced using a standard synthesis algorithm for reversible circuits [Miller et al. 2003]. The circuit on the right was produced using an approach that analyzes the recursive structure of the circuit (and would generalise to computing the disjunction of more than two inputs):

From the specification of the circuit, we expect input $011$ to be mapped to $111$. To gain some intuition, we trace the evaluation of each circuit for input $011$. In this context, the most significant
The circuit model of reversible computation discussed in the previous section is a useful abstraction.

In reversible boolean circuits, the number of input bits matches the number of output bits. Thus, a key insight for a programming language of reversible circuits is to ensure that each primitive operation preserves the number of bits, which is just a natural number. The algebraic structure of

The specification of the circuit is relatively straightforward to calculate. Here it is for $a = 11$ and $N = 15$:

$$
g(r, h) = \begin{cases} 
(r, h + 1) & \text{when } r \text{ even and } h \text{ even} \\
(r, h - 1) & \text{when } r \text{ even and } h \text{ odd} \\
(r, 11 - h) & \text{when } r \text{ odd and } 4 > h \geq 0 \text{ or } 12 > h \geq 8 \\
(r, 19 - h) & \text{when } r \text{ odd and } 8 > h \geq 4 \text{ or } 16 > h \geq 12 
\end{cases}
$$

However, as explained in standard accounts of the algorithm (e.g., the Qiskit implementation), producing an efficient modular exponentiation circuit from this specification is not straightforward and is actually the bottleneck in Shor’s algorithm. Typical derivations of the circuit start from elementary gates, build a circuit for reversible disjunction (like the two circuits above), reversible conjunction, a circuit for a half-adder, a circuit for computing the carry, progressing to a circuit for modular addition, which is used to build a circuit for modular multiplication, and then finally a circuit for modular exponentiation taking care at each step to avoid the exponential blowup (e.g., by implementing exponentiation by squaring instead of repeated multiplication) [Beauregard 2003].

### 3 A REVERSIBLE PROGRAMMING LANGUAGE

The circuit model of reversible computation discussed in the previous section is a useful abstraction close to the hardware platform. However, since its main data abstraction is a sequence of wires, it only provides an “assembly-level” programming abstraction (e.g., qasm). As motivated by Lafont [2003], a mathematical model based on permutations of finite sets provides a richer algebraic structure, using which we describe a reversible programming language in this section.

#### 3.1 The $\Pi$ Family of Languages

In reversible boolean circuits, the number of input bits matches the number of output bits. Thus, a key insight for a programming language of reversible circuits is to ensure that each primitive operation preserves the number of bits, which is just a natural number. The algebraic structure of

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Fig. 3. $\Pi$-terms, combinators, and their types.
natural numbers as the free commutative semiring (or, commutative rig), with \((0, +)\) for addition, and \((1, \times)\) for multiplication then provides sequential, vertical, and horizontal circuit composition operators.

These commutative rig identities can be used to design a logic for reversible programming [Sparks and Sabry 2014]. To interpret natural number identities as reversible programs, the logic needs to be equipped with values and types, and a notion of operational semantics and contextual equivalence, giving a computational interpretation of the commutative rig structure [James and Sabry 2012]. On the semantic side, the natural space to consider is the groupoidification of a commutative rig, that is, a symmetric rig groupoid.

Putting these ideas together, the programming language II, whose syntax is given below, embodies the computational content of isomorphisms of finite types, or permutations.

| Value types | \(A, B, C, D\) ::= \(\emptyset \mid 1 \mid A + B \mid A \times B\) |
| Values       | \(v, w, x, y\) ::= \(tt \mid inj_1 v \mid inj_2 v \mid (v, w)\) |
| Program types| \(c\) ::= \((\text{See Fig. 3})\) |

The language of types is built from the empty type (\(\emptyset\)) containing just one value \(tt\), the sum type (\(+\)) containing values of the form \(inj_1 v\) and \(inj_2 v\), and the product type (\(\times\)) containing pairs of values \((v_1, v_2)\).

To see how this language expresses reversible circuits, we present a few examples in the Agda embedding of the language. The Agda syntax is almost identical to the syntax in Fig. 3 except that sequential composition is written using \(\otimes\). First it is possible to directly mimic the qasm-perspective by defining types that describe sequences of booleans. We use the type \(2 = 1 + 1\) to represent booleans with \(inj_1 tt\) representing true and \(inj_2 tt\) representing false. Boolean negation (the \(\times\)-gate) is straightforward to define using the primitive combinator \(\text{swap}_\star\). We can represent \(n\)-ary product of boolean values, thus the type \(2 \times (2 \times 2)\) (abbreviated \(\boxslash 3\)) corresponds to a collection of wires that can transmit three bits. To express the \(cx\) and \(ccx\) gates, we need to encode a notion of conditional expression. Such conditionals turn out to be expressible using the distributivity and factoring identities of rigs as shown below:

\[
\begin{align*}
\text{cif} &: (c_1 c_2 : A \leftrightarrow_1 A) \to (2 \times A \leftrightarrow_1 2 \times A) \\
\text{cif} c_1 c_2 &= \text{dist} \otimes ((\text{id} \leftrightarrow_1 \otimes c_1) \otimes (\text{id} \leftrightarrow_1 \otimes c_2)) \otimes \text{factor}
\end{align*}
\]

The input value of type \(2 \times A\) is processed by the distribute operator \(\text{dist}\), which converts it into a value of type \((1 \times A) + (1 \times A)\). In the left branch, which corresponds to the case when the boolean is true, the combinator \(c_1\) is applied to the value of type \(A\). The right branch, which corresponds to the boolean being false, passes the value of type \(A\) through the combinator \(c_2\). The inverse of \(\text{dist}\), namely \(\text{factor}\) is applied to get the final result. Using this conditional operator, \(cx\) is defined as \(\text{cif} x \text{id} \leftrightarrow_1\) and \(ccx\) is defined as \(\text{cif} cx \text{id} \leftrightarrow_1\). With these conventions, the first circuit in the previous section is transcribed as follows:

\[
\begin{align*}
\text{C[BA]} &\times [\text{CA}]B : C \times (B \times A) \leftrightarrow_1 (C \times A) \times B \\
\text{C[BA]} &\times [\text{CA}]B = (\text{id} \leftrightarrow_1 \otimes \text{swap}_\star) \otimes \text{assoc}_\star
\end{align*}
\]

\[
\begin{align*}
\text{reversibleOr} &\to_1 \boxslash 3 \leftrightarrow_1 \boxslash 3 \\
\text{reversibleOr} &= A[BC] \otimes \text{ccx} \otimes (\text{id} \leftrightarrow_1 \otimes cx) \otimes \text{C[BA]} - [\text{CA}]B \otimes (cx \otimes \text{id} \leftrightarrow_1) \otimes [\text{CA}]B - A[BC]
\end{align*}
\]

where we clearly see the sequences of the three operations \(ccx\), \(cx\), and \(cx\) but, instead of using the indices in the sequence of wires to identify the relevant parameters, here we use structural isomorphisms to re-shuffle the types. We only show one of these re-shuffling isomorphisms and
elide the others. For the second circuit, instead of transcribing it directly, we express it using a slightly more abstract notation:

\[
\begin{align*}
\text{reversibleOr2} : & \mathbb{B} \leftrightarrow_1 \mathbb{B} \\
\text{reversibleOr2} & = A[BC] \cdot B[CA] \otimes \text{cif } (x \otimes \text{id}_\leftrightarrow_1) \otimes B[CA] \cdot A[BC]
\end{align*}
\]

Like the original circuit, we examine the bit at index 1 (corresponding to the component \(B\) in a tuple \((A, (B, C))\): if the bit is true, we perform an \(x\) operation on component \(A\), and otherwise we perform a \(\text{cx}\) operation on \((C, A)\). The two uses of \(x\) gates in the circuit are now unnecessary as they were only needed to encode a two-way conditional expression using a sequence of one-way conditional expressions (the only ones available in the linear circuit model).

All of this is only half the story, however. A sound semantics for \(\Pi\) in weak rig groupoids was established by Carette and Sabry [2016], and conjectured to be complete. For this semantics, coherence conditions for symmetric rig groupoids that identify different syntactic representations of the same permutation [Carette and Sabry 2016; Laplaza 1972], were collected in a second level of \(\Pi\) syntax as level-2 combinators. Each level-2 combinator is of the form \(c_1 \leftrightarrow_2 c_2\) for appropriate \(c_1\) and \(c_2\) of the same level-1 type \(A \leftrightarrow_1 B\) and asserts that \(c_1\) and \(c_2\) denote the same bijection. For example, we have the following level-2 combinators dealing with associativity:

\[
\begin{align*}
\text{assocL} & : [c_1 : A \leftrightarrow_1 B][c_2 : B \leftrightarrow_1 C][c_3 : C \leftrightarrow_1 D] \to ((c_1 \otimes (c_2 \otimes c_3)) \leftrightarrow_2 (c_1 \otimes (c_2 \otimes c_3))) \\
\text{assocR} & : [c_1 : A \leftrightarrow_1 B][c_2 : B \leftrightarrow_1 C][c_3 : C \leftrightarrow_1 D] \to ((c_1 \otimes (c_2 \otimes c_3)) \leftrightarrow_2 (c_1 \otimes (c_2 \otimes c_3))) \\
\text{assocL} & : [c_1 : A \leftrightarrow_1 B][c_2 : C \leftrightarrow_1 D][c_3 : E \leftrightarrow_1 F] \to ((c_1 \otimes (c_2 \otimes c_3)) \otimes \text{assocL}) \leftrightarrow_2 ((c_1 \otimes (c_2 \otimes c_3)) \otimes \text{assocL}) \\
\text{assocR} & : [c_1 : A \leftrightarrow_1 B][c_2 : C \leftrightarrow_1 D][c_3 : E \leftrightarrow_1 F] \to ((c_1 \otimes (c_2 \otimes c_3)) \otimes \text{assocR}) \leftrightarrow_2 ((c_1 \otimes (c_2 \otimes c_3)) \otimes \text{assocR}) \\
\text{assocL} & : [c_1 : A \leftrightarrow_1 B][c_2 : C \leftrightarrow_1 D][c_3 : E \leftrightarrow_1 F] \to ((\text{assocR} \otimes (c_1 \otimes (c_2 \otimes c_3))) \leftrightarrow_2 (\text{assocR} \otimes (c_1 \otimes (c_2 \otimes c_3)))) \\
\text{assocR} & : [c_1 : A \leftrightarrow_1 B][c_2 : C \leftrightarrow_1 D][c_3 : E \leftrightarrow_1 F] \to ((\text{assocL} \otimes (c_1 \otimes (c_2 \otimes c_3))) \leftrightarrow_2 (\text{assocL} \otimes (c_1 \otimes (c_2 \otimes c_3))))
\end{align*}
\]

The full set of level-2 combinators is large; the remaining combinators are listed in appendix A.1.

### 3.2 Semantics

Below we present a simple denotational semantics for our language, using finite types and type isomorphisms. Each \(\Pi\) type \(A\) is mapped to a (finite) set \([A]\) and each combinator \(c : A \leftrightarrow_1 B\) is mapped to a (bijective) function \([A] \to [B]\). We describe this semantics by writing an interpreter in \(\text{Agda}\). First, we state the semantics for types, where \(\bot\) is the empty set, \(\top\) is the singleton set, \(\sqcup\) is the disjoint union of sets, and \(\times\) is the cartesian product of sets.

\[
\begin{align*}
[A] & = \bot \\
[B] & = \top \\
[A + B] & = [A] \sqcup [B] \\
[A \times B] & = [A] \times [B]
\end{align*}
\]

For combinators, we show explicitly how values reduce along each combinator, similar to a big-step operational semantics [Chen and Sabry 2021; James and Sabry 2014].
We use the type theory of the HoTT book [Univalent Foundations Program 2013], that is, we use intensional Martin-Löf Type Theory, with a (univalent) universe \( \mathcal{U} \), and a few Higher Inductive Types (HITs) for propositional and set truncation, and set-quotients. All arguments will hold in a Cubical Type Theory [Angiuli 2019; Cohen, Coquand, Huber, and Mörtberg 2018; Vezzosi, Mörtberg, and Abel 2019] as well. In appendix B.1, appendix B.2, and appendix B.3, we review the basics of identity types, homotopy types, and HITs and refer the reader to the book for more details.

\[
\begin{align*}
[[c_1 \parallel c_2]] \, v & = ([c_2] \circ [c_1])v \\
[[c_1 \oplus c_2]] \, (\text{inl } v) & = \text{inl } ([c_1] \, v) \\
[[c_1 \oplus c_2]] \, (\text{inr } v) & = \text{inr } ([c_2] \, v) \\
[[c_1 \otimes c_2]] \, (v_1, v_2) & = ([c_1] [v_1], [c_2] [v_2])
\end{align*}
\]

**Theorem 3.1.** The semantics is sound in the following sense:

- For every level-1 combinator \( c : A \leftrightarrow B \), we have that \( [c] \) is a bijection between \([A]\) and \([B]\).
- For every pair of combinators \( c_1 \) and \( c_2 \) of the same type \( A \leftrightarrow B \), if there exists a level-2 combinator \( \alpha \) such that \( \alpha : c_1 \leftrightarrow c_2 \), then \( [c_1] = [c_2] \) using extensional equivalence of functions.

4 THE GROUPOID OF FINITE TYPES

In categorical language, the setting for the semantics in the previous section is the category of finite sets and functions \( \text{Set}_{\text{fin}} \). However, as \( II \) only refers to bijective functions, a more precise setting is the groupoid \( B = \text{core}(\text{Set}_{\text{fin}}) \) of finite sets and bijections. \( \text{Set}_{\text{fin}} \) has finite coproducts \((0, \sqcup)\) and finite products \((1, \times)\) and in \( B \) these restrict to additive and multiplicative symmetric monoidal structures, respectively, making \( B \) a symmetric rig groupoid – the vertical categorification of the commutative rig of natural numbers \( \mathbb{N} \) [Baez et al. 2010].

The semantics interprets types of \( II \) as objects in \( B \), 1-combinators as isomorphisms, and for every pair of 1-combinators related by a 2-combinator, their interpretations in \( B \) are equal. The groupoid \( B \) is strict, since the collection of isomorphisms is a set, that is, a discrete category. There is no explicit witness for the equality of two isomorphisms, since we can decide by evaluating two bijections whether they are equal. To be able to establish completeness for \( II \), we want a witness for this equality, so that we can quote back to the syntax and produce a 2-combinator witnessing the equality of the corresponding 1-combinators.

We observe that the implicit equalities between the isomorphisms are pointwise equalities of functions, that is, homotopies. We therefore weaken the groupoid \( B \), exposing these homotopies, by using higher invertible cells. We work in HoTT (Univalent Foundations) as it provides a proof-relevant, constructive metatheory to get a handle on these equalities and provides a rich internal language for describing weak groupoids, using the “types are weak \( \infty \)-groupoids” correspondence.

Every type in HoTT is a weak \( \infty \)-groupoid whose points are the terms of the type, and the (iterated) identity type gives the (higher) morphisms. The groupoid we are interested in has types as points, type equivalences for 1-cells, and higher homotopies for higher cells. (See appendix B for an example on a 3-element set.) This is the groupoid structure for the universe type \( \mathcal{U} \), since the identity type on types can be characterised as type equivalences (by univalence). But, we only want to carve out a subuniverse of finite types, still satisfying univalence, to get the groupoid structure. In this section, we formally define univalent subuniverses, and proceed to construct the particular instance for finite types, \( \mathcal{U}_{\text{fin}} \) (definition 4.7).

4.1 The Type Theory

We use the type theory of the HoTT book [Univalent Foundations Program 2013], that is, we use intensional Martin-Löf Type Theory, with a (univalent) universe \( \mathcal{U} \), and a few Higher Inductive Types (HITs) for propositional and set truncation, and set-quotients. All arguments will hold in a Cubical Type Theory [Angiuli 2019; Cohen, Coquand, Huber, and Mörtberg 2018; Vezzosi, Mörtberg, and Abel 2019] as well. In appendix B.1, appendix B.2, and appendix B.3, we review the basics of identity types, homotopy types, and HITs and refer the reader to the book for more details.
4.2 Univalent Fibrations

Functions between types are functors between groupoids, and type families (or functions to the universe) are indexed families of groupoids. A type family $P : A \to U$ comes equipped with a functor $\pi_1 : \sum_{x:A} P(x) \to A$ which has a lifting operation giving it the structure of a fibration. The transport operation lifts paths in the base space to functions between the fibers. Using the groupoid structure of $A$, for any $x, y : A$ and a path $p : x =_A y$, transport$(p)$ and transport$(p^{-1})$ form an equivalence.

$$transport-equiv(P) : (x =_A y) \to (P(x) = P(y))$$

The type families (or fibrations) we are interested in are the ones where paths in the base space completely determine the equivalences in the fibers – these are called univalent fibrations [Christensen 2015; Kapulkin, Lumsdaine, and Voevodsky 2018; Kapulkin and Lumsdaine 2021].

**Definition 4.1 (Univalent Fibration).** $P$ is a univalent type family (or, $\pi_1 : \sum_{x:A} P(x) \to A$ is a univalent fibration) if transport-equiv$(P)$ is an equivalence.

Univalent fibrations were introduced by Kapulkin, Lumsdaine, and Voevodsky [2018], to build a model of Voevodsky’s univalence principle in simplicial sets. Indeed, univalence characterises paths in the universe as equivalences between types, which follows from the canonical fibration $id : U \to U$ being univalent.

4.3 Univalent Subuniverses

Starting from a univalent universe which classifies all types, we want to define a subuniverse which classifies only certain types, for example, types that satisfy some desired property. We use a prop-valued type family, that is, a predicate on the universe, which picks out only those types, and collect them into a univalent subuniverse. Being univalent ensures that the equality type of the ambient universe is reflected in the subuniverse.

**Definition 4.2 (Universe).** A universe à la Tarski is given by the following pieces of data,

- a code $U : \mathcal{U}$,
- a decoding type family $El : U \to U$.

If $El$ is univalent, we call $(U, El)$ a univalent universe.

**Proposition 4.3 (Univalent Subuniverse).** A universe predicate is a type family $P : U \to U$ whose fibers are propositions, that is, $P(X)$ is a proposition for every $X$. Given such a predicate $P$, the fibration $\pi_1 : \sum_{x:U} P(x) \to U$ is univalent and generates a univalent subuniverse $U_P \triangleq (\sum_{x:U} P(x), \pi_1)$.

The types we are interested in are the finite types. In constructive mathematics, the notion of finiteness is subtle [Spiwack and Coquand 2010]. We use the notion of Bishop-finiteness: a type is finite if it is merely equivalent to a finite set (definitions 4.4 and 4.5).

**Definition 4.4 (Fin).** The type family $Fin : \mathbb{N} \to U$ is the type of finite sets indexed by their cardinality. It is defined equivalently in two different ways,

$$Fin_n \triangleq \sum_{k: \mathbb{N}} k < n \quad \text{or} \quad Fin_0 \triangleq \bot \quad Fin_{n+1} \triangleq \top \sqcup Fin_n$$

Note that $Fin_n$ is a set, and we use both definitions interchangeably.

1Univalent typoids [Petrakis 2019] are a different presentation of univalent subuniverses.
Definition 4.5 (isFin). We say that a type is finite if it is merely equal to Finₙ for some n : ℕ.

\[ \text{isFin}(X) \triangleq \sum_{n : \mathbb{N}} \| X =_u \text{Fin}_n \|_{-1} \]

Note that the natural number n need not be truncated, as justified below.

Lemma 4.6. For any type X, isFin(X) is a proposition.

Since isFin is a predicate on the universe \( \mathcal{U} \), we easily get our univalent subuniverse \( \mathcal{U}_{\text{Fin}} \).

Definition 4.7. The univalent subuniverse of all finite types is given by \( \mathcal{U}_{\text{Fin}} \triangleq \sum_{X : \mathcal{U}} \text{isFin}(X) \). We write \( F_n \triangleq (\text{Fin}_n, n, \text{refl}_n) \), for the image of the inclusion of \( \text{Fin}_n \).

This definition of the groupoid of finite types has also been considered in [Yorgey 2014]. While \( \mathcal{U}_{\text{Fin}} \) has all the finite types, we are also interested in constructing a subuniverse of finite types of a specified cardinality. To do so, we will start with the subuniverse \( B \text{Aut}(T) \), for any type \( T : \mathcal{U} \).²

Definition 4.8 (\( B \text{Aut} \)). The predicate \( P(X) \triangleq \| X = T \|_{-1} \) picks out exactly those types that are merely equal to \( T \), and this generates the subuniverse

\[ B \text{Aut}(T) \triangleq \sum_{X : \mathcal{U}} \| X =_u T \|_{-1} \]

We write \( T_0 \triangleq (T, \| \text{refl}_T \|) \) for the image of the inclusion of \( T \) in \( B \text{Aut}(T) \).

Using \( B \text{Aut} \), we can talk about types that are equivalent to a finite set of specified cardinality, for example, the subuniverse of 2-element sets is given by \( B \text{Aut}(2) \). This has been used to construct the real projective spaces in HoTT [Buchholtz and Rijke 2017], and also to give the denotational semantics for a 1-bit reversible programming language [Carette et al. 2018].

Definition 4.9 (\( \mathcal{U}_{\text{Fin}_n} \)). For any \( n : \mathbb{N} \), we define \( \mathcal{U}_{\text{Fin}_n} \triangleq B \text{Aut}(\text{Fin}_n) \) to be the univalent subuniverse of \( n \)-element sets. Note that, \( \mathcal{U}_{\text{Fin}} \) can be equivalently seen as the collection of all types of finite cardinality, that is, \( \mathcal{U}_{\text{Fin}} \cong \sum_{n : \mathbb{N}} \mathcal{U}_{\text{Fin}_n} \).

Since \( B \text{Aut}(T) \) is a univalent subuniverse, we can characterise its path space. The intuition is that \( B \text{Aut}(T) \) only has one point \( T_0 \), and 1-paths \( T_0 = T_0 \), that is, loops, and higher paths between these loops. The type of loops on \( T_0 \), \( \Omega(B \text{Aut}(T), T_0) \), is shown to be equivalent to \( \text{Aut}(T) \cong T \cong T \), which is the group of automorphisms of \( T \).

Lemma 4.10.

1. If \( T \) is an \( n \)-type, \( B \text{Aut}(T) \) is an \( (n + 1) \)-type.
2. For any \( T : \mathcal{U} \), \( B \text{Aut}(T) \) is 0-connected.
3. For any \( T : \mathcal{U} \), \( \Omega(B \text{Aut}(T), T_0) \cong \text{Aut}(T) \).

Theorem 4.11. \( \mathcal{U}_{\text{Fin}_n} \) is a pointed, connected, 1-groupoid for every \( n : \mathbb{N} \), and \( \Omega(\mathcal{U}_{\text{Fin}_n}, F_n) \cong \text{Aut}(\text{Fin}_n) \). \( \mathcal{U}_{\text{Fin}} \) is a 1-groupoid with connected components for every \( n : \mathbb{N} \).

We have shown that loops in \( \mathcal{U}_{\text{Fin}} \) exactly encode the automorphism group \( \text{Aut}(\text{Fin}_n) \) for every \( n \). This is a general technique called delooping, where a group can be identified with a 1-object groupoid, internally in HoTT. This technique also allows defining higher groups [Buchholtz et al. 2018]. The loopspace of a pointed type automatically has the structure of a group, with \( \text{refl}_\star \) for the neutral element, path composition for the group multiplication, and path inverse for the group inverse operation. The group axioms are given by the higher paths corresponding to groupoid laws.

²Characterisations of univalent fibrations using the \( B \text{Aut} \) construction have been studied by Christensen [2015].
4.4 Rig structure

Similar to $\mathcal{B}$, the groupoid $\mathcal{U}_{\text{Fin}}$ has two symmetric monoidal structures, the additive and the multiplicative ones, and the multiplicative tensor product distributes over the additive one. To construct these, we first state and prove some equivalences on $\text{Fin}$, and some general type isomorphisms. Then we simply lift these equivalences to $\mathcal{U}_{\text{Fin}}$, by the univalence principle.

**Proposition 4.12.** For any $n, m : \mathbb{N}$, and for any types $X, Y, Z$,

\[
\begin{align*}
\text{Fin}_n \cong & \bot \\
\text{Fin}_n \sqcup \text{Fin}_m \cong & \text{Fin}_{n+m} \\
\bot \sqcup X \cong & X \sqcup \bot \\
X \sqcup \bot \cong & X \\
(X \sqcup Y) \sqcup Z \cong & X \sqcup (Y \sqcup Z) \\
X \sqcup Y \cong & Y \sqcup X \\
X \times \bot \cong & \bot \\
X \times \top \cong & X \\
X \times (Y \sqcup Z) \cong & (X \times Y) \sqcup (X \times Z) \\
X \times \top \cong & X \\
X \times (Y \times Z) \cong & (X \times Y) \times (X \times Z) \\
X \times \bot \cong & \bot \\
X \times \top \cong & X \\
X \times (Y \times Z) \cong & (X \times Y) \times (X \times Z)
\end{align*}
\]

**Theorem 4.13.** $\mathcal{U}_{\text{Fin}}$ has two symmetric monoidal structures, the additive and multiplicative ones, given by $(\text{Fin}_0, \sqcup)$ and $(\text{Fin}_1, \times)$, with corresponding natural isomorphisms $\lambda_X$, $\rho_X$, $\alpha_{X,Y,Z}$, and the braiding isomorphism $B_{X,Y}$ up to 1-paths in $\mathcal{U}_{\text{Fin}}$. These isomorphisms satisfy the Mac Lane coherence conditions for symmetric monoidal categories [MacLane 1963], that is, the triangle, pentagon, and hexagon identities, and the symmetry of the braiding, up to 2-paths in $\mathcal{U}_{\text{Fin}}$. The multiplicative structure distributes over the additive structure and satisfies the Laplaza coherence conditions for rig categories [Laplaza 1972].

5 THE GROUP OF PERMUTATIONS

In Section 4, we established that paths in $\mathcal{U}_{\text{Fin}}$ are equivalent to families of loops on $\text{Fin}_n$ for every $n : \mathbb{N}$, that is, automorphisms of finite sets of size $n$, with the loopspace encoding the automorphism group. This is also known to be the finite symmetric group $S_n$, making $\mathcal{U}_{\text{Fin}}$ the horizontal categorification of $S_n$ for every $n$. In this section, we will describe this group syntactically.

In order to study syntactic descriptions of permutations, we will hit the problem of deciding whether two descriptions refer to the same permutation – in group theory, this is the word problem for $S_n$. Putting it in this form allows us to connect it to the broader scope of computational group theory and combinatorics – we can borrow ideas such as Coxeter relations and Lehmer codes. Therefore, the goal of this section is to reconcile two different approaches to defining the symmetric group – as an automorphism group, and as a group syntactically presented using generators and relations. The generators of the group are similar to the primitive combinators in a (reversible) programming language – the group structure gives the composition and inverse operations, and the relations describe how these primitive combinators interact with each other.

First, we will define the required notions of free groups and group presentations, and state some of their most important properties. Then, we introduce our chosen Coxeter presentation for $S_n$. To solve the word problem for $S_n$, we will use a rewriting system, with a suitable, well behaved collection of reduction rules corresponding to the Coxeter presentation equations. Finally, we describe the normal forms in this rewriting system, using Lehmer codes, and prove the correspondence between

---

3The concepts we use can be found in any standard textbook on group theory, or see [UniMath project 2021] for a univalent point of view.
them and the type \( \text{Aut}(\text{Fin}_n) \) of automorphisms on a finite set. The generators and relations we use here will be used to quote back to 1 and 2-combinators in \( \Pi \) (see section 6).

### 5.1 Presenting the permutation group

One way of thinking about presentations of \( S_n \) is via sorting algorithms, which use different primitive operations. A sorting algorithm has to calculate a permutation of a list or a finite set, which satisfies the invariant of being a sorted sequence, which means, the primitive operations of a sorting algorithm are able to generate all the permutations on a given list. So, a chosen set of reversible operations in a sorting algorithm can be a good candidate for the generators of a permutation group. For example, we could generate the permutation group on \( \text{Fin}_n \) by using generators (primitive operations) that:

- swap the \( i \)-th element with the \( (i + 1) \)-th element, that is, adjacent swaps, or
- swap the \( i \)-th element with the \( j \)-th element, for arbitrary \( i \)-s and \( j \)-s, or
- swap the \( i \)-th element with an element at a fixed position, or
- reverses a prefix \( \text{Fin}_k \) of \( \text{Fin}_n \) for \( k \leq n \), or
- cyclically shift any subset of \( \text{Fin}_n \).

Bubble sort uses the primitive operation of adjacent swaps, insertion sort and selection sort use the primitive operation of swapping the \( i \)-th element with the \( j \)-th element, cycle sort uses cyclical shifts of subsequences, pancake sort uses reversals of the prefixes of the list, et cetera. The choice of generators for our presentation is important for the following reasons.

- It affects the difficulty of solving the word problem in \( S_n \) and formalising the proof of its correctness.
- The choice of generators dictates which words become normal forms in this presentation of \( S_n \). These normal forms dictate the shape of the synthesised and normalised boolean circuits, which is the application we have in mind.
- Finally, the generators have to closely match the \( \Pi \) combinators so that we can quote back a permutation to a \( \Pi \) program, for the proof of completeness.

We will show that it is possible to encode all \( \Pi \) combinators using adjacent transpositions (in section 6). Group presentations are built by adding equations to a free group.

### 5.2 Free groups

Usually, there are are many equations, besides the group axioms, that hold for the elements of a group. For example, in the group \( \text{Aut}(2) \), or \( \mathbb{Z}_2 \), we have an equation \( 1 + 1 = 0 \), which is not a consequence of the group axioms, but is specific to this particular group. A free group has the property that no other equations hold except the ones directly implied by the group axioms. For example, the additive group of integers \( \mathbb{Z} \) is the free group on the singleton set.

A group homomorphism between groups \( G \) and \( H \) is a function \( f : G \to H \) between the underlying sets that preserves the group structure. Giving a group homomorphism out of the free group is equivalent to giving a function out of the generating set. This is the universal property of free groups, stemming from the free-forgetful adjunction between the category of groups and sets.

**Proposition 5.1 (Universal Property of \( F(A) \)).** Given a group \( G \) and a map \( f : A \to G \), there is a unique group homomorphism \( f^\# : \text{Hom}(F(A), G) \) such that \( f^\# \circ \eta_A \sim f \). Equivalently, composition with \( \eta_A \) gives an equivalence \( \text{Hom}(F(A), G) \cong A \to G \).

Following the universal-algebraic definition, in HoTT, we could use a naive higher inductive type to define the free group, which enforces the group axioms by adding path constructors (see definition C.2). Using the induction principle, we can easily verify the universal property.
However, since this definition of $F(A)$ has lots of path constructors corresponding to each group axiom, characterising its path space is difficult.

Instead, we will think about elements of the free group as words over an alphabet of letters drawn from the generating set and the set of their formal inverses. If we take the disjoint union of $A$ with itself, that is, $A + A$ as the group’s underlying set, we can use $\text{inl}/\text{inr}$ to mark the elements – $\text{inl} a$ means $a$ and $\text{inr} a$ means $a^{-1}$. Then, we can encode the free group using the free monoid, that is, lists of $A + A$. Additionally, we need to ensure that the inverse laws hold, so we have to coalesce adjacent occurrences of $a$ and $a^{-1}$.

**Definition 5.2 (Free group).** Let $A$ be a set, and List(−) the free monoid. The free group $F(A)$ on $A$ is the set-quotient of List($A + A$) by the congruence closure of the relation $a :: a^{-1} :: \text{nil} \sim \text{nil}$ and $a^{-1} :: a :: \text{nil} \sim \text{nil}$.

**Proposition 5.3.** $F(A) \triangleq \text{List}(A + A)/\sim^*$ has a group structure, with the empty list nil for the neutral element, multiplication given by list append $\ast$, and inverse given by flipping $\text{inl}$ and $\text{inr}$, followed by reversing the list. Further, $F(A)$ with $\eta_A(a) \triangleq \text{inl}(a) :: \text{nil}$ satisfies the universal property of free groups, as stated in Proposition 5.1.

### 5.3 Group presentations

A presentation of a group builds it by starting from the free group $F(A)$ and introducing additional equations that are satisfied in the resulting group. For example, if we take $F(1) \triangleq \mathbb{Z}$ and add an equation $1 + 1 = 0$, the resulting group would be $\mathbb{Z}_2 \cong \text{Aut}(2)$. Note that not all groups have finite (or computable) presentations, and, a group can have any number of different presentations.

**Definition 5.4 (Group presentation).** Let $A$ be a set and $R : \text{List}(A + A) \rightarrow \text{List}(A + A) \rightarrow \mathcal{U}$ a binary relation on List($A + A$). The group $F(\langle A; R \rangle)$ presented by $A$ and $R$, is given by the set-quotient of the free group $F(A)$ by the congruence closure of $R$.

The universal property of the above definition is similar to Proposition 5.1 except the relation has to be preserved by the function mapping out of the generating set.

**Proposition 5.5 (Universal property of $F(\langle A; R \rangle)$).** Given a group $G$ and a map $f : A \rightarrow G$, such that $f$ extended to $F(A)$ respects $R$, there is a unique group homomorphism $f^\# : \text{Hom}(F(\langle A; R \rangle), G)$ such that $f^\# \circ \eta_A \sim f$.

Before, the only way to decide the equality of two elements in a group was to evaluate and check them on the nose, but in a group presentation, this is reduced to deciding whether one word – a representative of the equivalence class of the group’s elements, can be reduced to another word, using the group’s relations. However, these equations are not directed, so it is not always possible to construct a well-behaved rewriting system. In general, the word problem for groups is undecidable.

**Coxeter Presentation.** To present the group $S_n$, the primitive operations we use will be adjacent swaps. When dealing with permutations on an $n+1$-element set, there are $n$ adjacent transpositions – transposition number $k$ swaps elements at indices $k$ and $k + 1$. Thus, the generating set is Fin$_{n}$.

There are three relations that we’re going to specify for this presentation – we visualise them as braid diagrams in Figure 4.

- **4a** Swapping the same two elements twice in a row should be the same as doing nothing.
- **4b** When swapping two distinct pairs of elements, the order in which swapping happens should not matter, that is, we can slide the wires freely.
- **4c** There are two equivalent ways of swapping the first and last elements in a sequence of three elements.
This construction is called a Coxeter presentation of $S_n$. Writing it formally, we encode the rules discussed above using a relation $\sim$ on $\text{List}(\text{Fin}_n)$ (definition 5.6), and then take its congruence closure $\sim^*$ (definition C.3).

**Definition 5.6** ($\sim$: $\text{List}(\text{Fin}_n) \to \text{List}(\text{Fin}_n) \to \mathcal{U}$).

- **cancel**: $\forall n \to (n :: n :: \text{nil}) \sim \text{nil}$
- **swap**: $\forall k, n \to (S \cdot k < n) \to (n :: k :: \text{nil}) \sim (k :: n :: \text{nil})$
- **braid**: $\forall n \to (S \cdot n :: n :: S \cdot n :: \text{nil}) \sim (n :: S \cdot n :: n :: \text{nil})$

The idea for solving the word problem for $S_n$ is to turn these undirected relations into a rewriting system $(\text{List}(\text{Fin}_n), \sim^*)$, so that, by repeatedly applying the reduction rules as long as possible, any two $\sim^*$-equal terms would eventually converge to the same normal form.

For this to work, we first need the system to have the termination property, meaning that there are no infinite reductions. We observe that after throwing out reflexivity and symmetry, the right hand sides of the relations $\sim^*$ are strictly smaller than the left hand sides, in terms of the lexicographical ordering on words in $\text{Fin}_n$ (which is well-founded). Thus, by directing the relation from left to right, we would get the termination property out of the box. Second, we need the normal forms to be unique, so that we can get a normalisation function. This will be true if the rewriting system is confluent – meaning that all critical pairs, that is, terms with overlapping possible reduction rules, have to converge. For example, in our system, the pair in Figure 5a converges. Unfortunately, this is not true for all critical pairs – an example is in Figure 5b, where left and right endpoints are normal with respect to the $\sim^*$ relation.

### 5.4 Rewriting via Coxeter

Because of this counter-example, the relations have to be changed. In this section, we formally define a rewriting system $(\text{List}(\text{Fin}_n), \sim^*)$, partially based on the Coxeter relations, and prove that
it has the desired properties of confluence and termination. We prove that the new relation defined by this system is equivalent, in a technical sense, to the standard Coxeter relation \( \equiv \). First, we need to define a function \( n \downarrow k \).

**Definition 5.7** \( \downarrow : (n : \mathbb{N}) \rightarrow (k : \mathbb{N}) \rightarrow \text{List}(\text{Fin}_{n+k}) \).

\[
\begin{align*}
n \downarrow 0 & \triangleq \text{nil} \\
n \downarrow S \ k & \triangleq (k + n) :: (n \downarrow k)
\end{align*}
\]

The result of this function is the sequence \([k + n - 1, k + n - 2, k + n - 3, \ldots, n]\), which is a sequence of transpositions that moves the element at index \(k + n\) left by \(k\) places, shifting all the elements in between one place right (see fig. 6a). Then, the directed relation \( \Rightarrow \) is defined with the following generators (inlining the congruence closure in \( \Rightarrow \), allowing arbitrary reductions inside the list).

**Definition 5.8** \( \Rightarrow : \text{List}(\text{Fin}_n) \rightarrow \text{List}(\text{Fin}_n) \rightarrow \mathcal{U} \).

\[
\begin{align*}
cancel^* & : \forall n, l, r \rightarrow (l + n :: n :: r) \Rightarrow (l + r) \\
\text{swap}^* & : \forall n, k, l, r \rightarrow (S \ k < n) \rightarrow (l + n :: k :: r) \Rightarrow (l + k :: n + r) \\
braid^* & : \forall n, k, l, r \rightarrow (l + (n \downarrow 2 + k) :: (1 + k + n) :: r) \Rightarrow (l + (k + n) :: (n \downarrow 2 + k) :: r)
\end{align*}
\]

Constructors \( \text{cancel}^* \) and \( \text{swap}^* \) correspond directly to the appropriate constructors of \( \sim \) and can be visualised in the same way as before. The remaining constructor \( \text{braid}^* \) uses the \( \downarrow \) function to exchange the order of a long sequence of transpositions and a single transposition afterwards. For example, for \( n = 0 \) and \( k = 3 \), it allows for the reduction \([4, 3, 2, 1, 0, 4] \Rightarrow [3, 4, 3, 2, 1, 0]\) (see fig. 6b – note the distinction between wires, where numbers represent the values, and transpositions, where numbers represent which wires are crossing).

Note that the previous braid rule is a special case of \( \text{braid}^* \), with \( k = 0 \). As before, the left-hand sides of the relation are (lexicographically) strictly larger than the right-hand sides. We define the transitive closure of \( \Rightarrow \) to be \( \Rightarrow^* \) (definition C.5) and its reflexive-transitive closure to be \( \Rightarrow^\ast \) (definition C.4).

Despite the increased complexity of the generators, the rewriting system \((\text{List}(\text{Fin}_n), \Rightarrow)\) has the properties we desire. It satisfies local confluence, that is, the Church-Rosser (diamond) property – for example, using \( \text{braid}^* \), we can now show the problematic critical pair fig. 5b converges, and, it is terminating, so by Newman’s lemma, it produces a unique normal form. We follow the terminology of Huet [1980]; Kraus and von Raumer [2020] to state our results formally.

**Theorem 5.9.**
(1) $\rightsquigarrow$ is (locally) confluent. For every span $w_2 \rightsquigarrow w_1 \rightsquigarrow w_3$, there is a matching extended cospan $w_2 \rightsquigarrow w \rightsquigarrow w_3$.

(2) $\rightsquigarrow$ is terminating. For every $w \rightsquigarrow^* v$, it holds that $v < w$, where $<$ is the (well-founded) lexicographic ordering on $\text{List}(\text{Fin}_n)$.

(3) $\rightsquigarrow$ is confluent. For every extended span $w_2 \rightsquigarrow^* w_1 \rightsquigarrow^* w_3$, there is a matching extended cospan $w_2 \rightsquigarrow^* w \rightsquigarrow^* w_3$.

(4) For every $w$, there exists a unique normal form $v$ such that $w \rightsquigarrow^* v$.

The modified form of the Coxeter relations are unwieldy and difficult to prove properties about by induction. However, we can make the following observation relating it to $\rightsquigarrow^*$.

**Proposition 5.10.** The relations $\rightsquigarrow^*$ and $\rightsquigarrow^*$ are equivalent in the following sense: for every $w$ and $v$, $w \rightsquigarrow^* v$ iff there is a $u$ such that $w \rightsquigarrow^* u \rightsquigarrow^* v$.

By Theorem 5.9 4, we get a unique choice function $\text{nf} : \text{List}(\text{Fin}_n) \rightarrow \text{List}(\text{Fin}_n)$ that produces a normal form for terms of $\text{List}(\text{Fin}_n)$. We state two important properties enjoyed by $\text{nf}$.

**Proposition 5.11.**

(1) For all $l : \text{List}(\text{Fin}_n)$, we have that $l \rightsquigarrow^* \text{nf}(l)$.

(2) $\text{nf}$ is idempotent, that is, $\text{nf} \circ \text{nf} \sim \text{nf}$.

Finally, we define the type $S_n$ as the set-quotient of $\text{List}(\text{Fin}_n)$ by $\rightsquigarrow^*$.

**Definition 5.12 ($S_n$).** $S_n \triangleq \text{List}(\text{Fin}_n) / \rightsquigarrow^*$

Note that reductions need not be unique, hence $w \rightsquigarrow^* v$ is not necessarily a proposition. So, the quotient $S_n$ is not effective, that is, $q\text{-rel} : w \rightsquigarrow^* v \rightarrow q(w) = q(v)$ is not an equivalence. Using the $\text{nf}$ function, we could instead define a new relation $\approx$ to equate those terms that have the same normal form, $(w \approx v) \triangleq (\text{nf}(w) = \text{nf}(v))$. This relation is prop-valued, and we could quotient $\text{List}(\text{Fin}_n)$ by $\approx$, obtaining an equivalent definition for $S_n$.

**Proposition 5.13.**

(1) $\text{nf}$ splits into a section-retraction pair, that is, we have $\text{List}(\text{Fin}_n) \xrightarrow{s} S_n \xleftarrow{r} \text{List}(\text{Fin}_n)$ such that $s \circ r \sim \text{nf}$ and $r \circ s \sim \text{id}_{S_n}$.

(2) $\text{im}(q) \cong S_n \cong \text{im}(\text{nf})$, where $q$ is the mapping into the quotient, and $\text{im}(f)$ denotes the image of $f$ (see definition B.4).

Notice however, that a group presentation, as defined in Definition 5.2, requires the relation to be on the set of words $A + A$, where the right copy corresponds to the set of formal inverses of the generators. The constructor cancel specifies that the inverse of each element is again the same element, using which we can show that our definition of $S_n$ is equivalent to the definition of a presented group, by lifting the $\rightsquigarrow^*$ relation.

**Theorem 5.14.**

(1) There is a group structure on $S_n$, where the identity element is nil, multiplication is given by list append, and inverse is given by list reversal.

(2) $S_n$ is equivalent to the group presented by generators $\text{Fin}_n$ with the relations given by $\rightsquigarrow^*$ extended to $\text{List}(\text{Fin}_n + \text{Fin}_n)$ along the codiagonal map $\nabla_A : A + A \rightarrow A$.

To decide if two words in $\text{List}(\text{Fin}_n)$ are $\rightsquigarrow^*$-equal, we simply have to compute their normal forms using $\text{nf}$. They correspond to the same permutation if and only if these normal forms are equal, which is decidable for $\text{List}(\text{Fin}_n)$.
5.5 Lehmer Codes

To prove the equivalence between $\text{Aut}(\text{Fin}_n)$ and $S_n$, we will need to define functions back and forth between the two types. The terms in $S_n$ can be identified with equivalence classes of terms in $\text{List}(\text{Fin}_n)$ with respect to the Coxeter relation $\leftrightarrow$. The easiest way to define a function out of this presentation is to define it on the representatives. We know that these are the unique normal forms in the set-quotient given by $q \circ \text{ff}$, but now we will explicitly describe what these representatives look like, using an encoding called Lehmer codes [Lehmer 1960]. Lehmer codes are known in Combinatorial Analysis [Bellman and Hall 1960] where they are sometimes called "subexcedant sequences", or "factoriadics". They can be written as a decimal number in the factorial number system, or as a tuple encoding the digits [Knuth 1997; Laisant 1888]. This gives a convenient way of representing permutations on a computer, partly because they are bitwise-optimal [Berger et al. 2019].

Formally, we define $\text{Lehmer}(n)$ to be an $n + 1$-element tuple, where the position $k \leq n$ stores an element of $\text{Fin}_k$. Since the 0-th position is trivial, in practice it is ignored [Dubois and Giorgetti 2018; Vajnovszki 2011].

**Definition 5.15** (Lehmer: $\mathbb{N} \rightarrow \mathcal{U}$).

$$\text{Lehmer}(0) \triangleq \text{Fin}_0$$

$$\text{Lehmer}(S \ n) \triangleq \text{Lehmer}(n) \times \text{Fin}_{S \ n}$$

Given a permutation $\sigma : \text{Aut}(\text{Fin}_n)$, for any element $i : \text{Fin}_n$, we can define the inversion count of $i$ as the number of smaller elements appearing after it in the permutation.

**Definition 5.16** (Inversion count). Given a permutation $\sigma : \text{Aut}(\text{Fin}_n)$, the inversion count of $i : \text{Fin}_n$ is given by

$$\text{inv}_\sigma(i) = \# \{ j < i \mid \sigma(j) > \sigma(i) \}.$$

Just knowing the inversion counts for all the elements, one can reconstruct the starting permutation. Also, observe that $\text{inv}_\sigma(i) < i$, thus fitting in the $i$-th place of a Lehmer code tuple. As an example, consider the following tabulated presentation of a permutation of $\text{Fin}_n$.

$$\sigma = \begin{pmatrix} 0 & 1 & 2 & 3 & 4 \\ 2 & 1 & 4 & 0 & 3 \end{pmatrix}$$

The Lehmer code for the permutation $\sigma$ is then the 5-tuple

$$l = (\text{inv}_\sigma(0), \text{inv}_\sigma(1), \text{inv}_\sigma(2), \text{inv}_\sigma(3), \text{inv}_\sigma(4)) = (0, 1, 2, 0, 2)$$

To decode the permutation back from this Lehmer code, we perform an algorithm similar to insertion sort. The element of the Lehmer code being currently processed is highlighted in the left column of the table below. Starting from a sorted list, the element at index $k$ has to be given $\lfloor k \rfloor$ inversions. Because of the invariant that all the elements before newly processed one are smaller than it, the proper number of inversions is created by simply shifting the element $\lfloor k \rfloor$ places left.

$$
\begin{array}{l|l}
(0, 1, 2, 0, 2) & [0, 1, 2, 3, 4] \\
(0, 1, 2, 0, 2) & [1, 0, 2, 3, 4] \\
(0, 1, 2, 0, 2) & [2, 1, 0, 3, 4] \\
(0, 1, 2, 0, 2) & [2, 1, 3, 0, 4] \\
(0, 1, 2, 0, 2) & [2, 1, 4, 3, 0] \\
\end{array}
$$

Writing formally, to turn a Lehmer code into a word in $S_n$, we define a function $\text{e}m$. As described above, the number $r$ at position $k$ in the tuple describes how many inversions the element $k$ has.
Thus, we need to perform $r$ many adjacent transpositions to get to the desired position, which is given by $(S_n - r) \not\sim r$.

**Definition 5.17** $(\text{em} : (n : \mathbb{N}) \rightarrow \text{Lehmer}(n) \rightarrow \text{List} (\text{Fin}_{S_n}))$.

\[
\text{em}_0(0) \triangleq \text{nil} \\
\text{em}_{S_n}((r, l)) \triangleq \text{em}_n(l) \# ((S_n - r) \not\sim r)
\]

We can show that the function $\text{em}_n$ gives an equivalence between $\text{Lehmer}(n)$ and $\text{im}(\text{nf})$.

**Theorem 5.18.**

1. For any Lehmer code $c$, $\text{em}_n(c)$ is a normal form with respect to $\sim^*$, that is, $\text{em}_n(c)$ is in $\text{im}(\text{nf})$.
2. Any element of $\text{im}(\text{nf})$ can be constructed from a unique Lehmer code by $\text{em}$, that is, the fibers of $\text{em}_n : \text{Lehmer}(n) \rightarrow \text{im}(\text{nf})$ are contractible.

Therefore, there is an equivalence between $\text{Lehmer}(n)$ and $\text{im}(\text{nf})$.

**Corollary 5.19.** For all $n : \mathbb{N}$, $S_n \simeq \text{im}(\text{nf}) \simeq \text{Lehmer}(n)$.

### 5.6 Running Lehmer codes

Finally, it is time to complete our goal of characterising the permutation groups. Having produced a Lehmer code by normalising words in $S_n$, we need to run it to produce a concrete bijection of finite sets, and, given a bijection between finite sets, we need to encode it as a Lehmer code. We will prove that these maps construct an equivalence between the types $\text{Lehmer}(n)$ and $\text{Aut}(\text{Fin}_{S_n})$.

The idea for this proof is borrowed from [Molzer 2021].

**Definition 5.20** $(\text{Fin}^*_n : \text{Fin}_n \rightarrow \mathcal{U})$. For $n : \mathbb{N}$, the type family $\text{Fin}^*_n$ picks out all elements in $\text{Fin}_n$ except the one in the argument.

\[
\text{Fin}^*_n(i) \triangleq \sum_{j : \text{Fin}_n} i \neq j
\]

Note that $\text{Fin}^*_n(i)$ for $i : \text{Fin}_n$ is a subtype of $\text{Fin}_n$ and is hence a set. We state and prove a few auxiliary lemmas about how $\text{Fin}^*_n$ interacts with $\text{Fin}^*$—these are obtained by counting arguments using the fact that $\text{Fin}_n$ and $\text{Fin}^*_n$ both have decidable equality.

**Lemma 5.21.**

1. For any $k : \text{Fin}_{S_n}$, we have $\text{Fin}^*_n(k) \simeq \text{Fin}_n$.
2. For any $n : \mathbb{N}$, we have $\text{Aut}(\text{Fin}_{S_n}) \simeq \sum_{k : \text{Fin}_{S_n}} (\text{Fin}^*_n(n) \simeq \text{Fin}^*_n(n - k))$.

Using these facts, we can now prove the main result of this section.

**Theorem 5.22.** For all $n : \mathbb{N}$, $\text{Lehmer}(n) \simeq \text{Aut}(\text{Fin}_{S_n})$.

---

4Note that the indices for the type of permutations are off-by-one, because we chose $\text{Fin}_n$ to represent generators for permutations on $\text{Fin}_{S_n}$. 
Proof. For \( n = 0 \), note that \( \text{Lehmer}(0) \) is contractible, and so is \( \text{Aut}(\text{Fin}_{s_0}) \). For \( n = S m \), we compute a chain of equivalences.

\[
\begin{align*}
\text{Lehmer}(S m) & \approx \text{Fin}_{S S m} \times \text{Lehmer}(m) & \text{by definition} \\
\text{Lehmer}(0) & \approx \text{Fin}_{S S m} \times \text{Aut}(\text{Fin}_{S m}) & \text{induction hypothesis} \\
\approx 1 & \approx \Sigma_{k: \text{Fin}_{S S m}} \text{Fin}_{S m} \approx \text{Fin}_{S m} & \text{\( \Sigma \) over a constant family} \\
\approx \text{Aut}(\text{Fin}_{S_0}) & \approx \Sigma_{k: \text{Fin}_{S S m}} \text{Fin}^-_{S S m}(m) \approx \text{Fin}_{S m} & \text{by lemma 5.21 item 1} \\
& \approx \Sigma_{k: \text{Fin}_{S S m}} \text{Fin}^-_{S S m}(m) \approx \text{Fin}^-_{S S m}(m - k) & \text{by lemma 5.21 item 1} \\
& \approx \text{Aut}(\text{Fin}_{S S m}) & \text{by lemma 5.21 item 2}
\end{align*}
\]

By composing Theorem 5.22 and Corollary 5.19, we obtain the final equivalence.

Corollary 5.23. For all \( n : \mathbb{N} \), \( S_n \approx \text{Lehmer}(n) \approx \text{Aut}(\text{Fin}_{s_n}) \).

6 CORRESPONDENCE BETWEEN \( \Pi \) AND \( \mathcal{U}_{\text{Fin}} \)

In this section, we first translate \( \Pi \) to its additive fragment \( \Pi^+ \). This is the language that we interpret to \( \mathcal{U}_{\text{Fin}} \) and back, using the tools developed in the previous sections. Further, we go through an intermediate step of the language \( \Pi^\wedge \), which is a simplified variant of \( \Pi^+ \) that uses adjacent transpositions for combinators, while preserving all the required structure.

\[
\Pi \xrightarrow{\text{eval}} \Pi^+ \xrightarrow{\text{eval}^\wedge} \Pi^\wedge \xrightarrow{\text{quote}^\wedge} \mathcal{U}_{\text{Fin}}
\]

We present the types and 1-combinators of \( \Pi^+ \) and \( \Pi^\wedge \) in Figures 7 and 8 respectively, eliding the 2-combinators for brevity. We enforce that there is a unique 2-combinator between compatible 1-combinators, by relating them with a truncation. These can be found in appendix A.1 and in the accompanying Agda code.

The translations between the languages are defined separately on types, 1-combinators, and 2-combinators. Following the terminology of Normalisation by Evaluation, the translations from the left to the right, going from the syntax towards the semantics, are called \text{eval} and the translations the other way are called \text{quote}.

To state our results formally, we organise the syntax for each language using a technical device, called a syntactic category. We define them formally in the appendix, and only state our results here. For each of the \( \Pi, \Pi^+ \) and \( \Pi^\wedge \) languages, their syntactic categories, respectively \( \Pi_{\text{cat}}, \Pi^+_{\text{cat}} \) and \( \Pi^\wedge_{\text{cat}} \), have 0-cells for types, 1-cells for 1-combinators, and 2-cells for 2-combinators. We can show that these syntactic categories here are actually \((2,0)\)-categories, since all the 1-cells and 2-cells are invertible. They are also locally-strict, or locally-posetal, because there is at most one 2-cell between compatible 1-cells.

We use the \text{eval}/\text{quote} translation maps to construct functors between these categories. We only name the maps on the 0, 1, and 2-cells – the coherences hold by definition or by calculation, which is shown in our accompanying Agda code. We use these functors to state our results establishing the equivalences between the languages.
6.1 $\Pi$ to $\Pi^+$

First, we show how to translate $\Pi$ programs to $\Pi^+$, which is the additive fragment of $\Pi$. The syntax for 1-combinators is given in Figure 7.

$\Pi$ has two 0-ary type constructors, and two binary type constructors – the additive tensor product and the multiplicative one. $\Pi^+$ has all the type constructors of $\Pi$ except multiplication. However, we will show how to recover the multiplicative structure, by defining multiplication as repeated addition. We encode $\times$ in terms of $+$ as follows.

**Definition 6.1** (\(\times : U^+ \to U^+ \to U^+\)).

\[
\begin{align*}
0 \times Y &= 0 \\
1 \times Y &= Y \\
(X_1 + X_2) \times Y &= X_1 \times Y + X_2 \times Y
\end{align*}
\]

**Lemma 6.2.** There are two symmetric monoidal structures on $\Pi^+_\text{cat}$, given by \((0, +)\) and \((1, \times)\), with $\times$ distributing over $+$, giving it a rig structure.

Using this rig structure, we translate $\Pi$ to $\Pi^+$, constructing a rig equivalence from $\Pi_{\text{cat}}$ to $\Pi^+_{\text{cat}}$.

**Definition 6.3** (eval).

\[
\begin{align*}
\text{eval}_0 : U &\to U^+ \\
\text{eval}_1 : (c : X \leftrightarrow Y) &\to \text{eval}_0(X) \leftrightarrow \text{eval}_0(Y) \\
\text{eval}_2 : (\alpha : p \leftrightarrow q) &\to \text{eval}_1(p) \Leftrightarrow \text{eval}_1(q)
\end{align*}
\]

**Theorem 6.4.** eval gives a rig equivalence between $\Pi_{\text{cat}}$ and $\Pi^+_{\text{cat}}$.

6.2 $\Pi^+$ to $\Pi^\wedge$

Next, we show how to translate $\Pi^+$ programs to $\Pi^\wedge$ and back. $\Pi^\wedge$ is a simplified variant of $\Pi^+$, with (unary) natural numbers for 0-cells, 1-combinators generated by adjacent transpositions, and an appropriate set of 2-combinators. We give the syntax, again omitting 2-combinators, in Figure 8.

As described, $\Pi^\wedge$ doesn’t have a tensor product, but we can build it simply by adding up natural numbers, and, we need to verify that this indeed equips $\Pi^\wedge_{\text{cat}}$ with a symmetric monoidal structure.\(^5\)

\(^5\)Since each object is a natural number, this makes $\Pi^\wedge_{\text{cat}}$ a PROP, that is, a products and permutations category.
To produce a braiding \( n + m \leftrightarrow^\wedge m + n \) from adjacent transpositions, we recursively traverse the left subexpression, swapping each element using adjacent transpositions along the elements on the right, placing it in the right position. The computational content of this translation can be visualised using tree transformations, for the recursive case in fig. 9. The challenging part is showing that these moves are coherent with respect to 2-combinators.

**Lemma 6.5.** \( \Pi^\wedge \text{cat} \) has a symmetric monoidal structure, with the unit given by 0 and the tensor product given by natural number addition.

Using this symmetric monoidal structure, we translate from \( \Pi^+ \) to \( \Pi^\wedge \).

**Definition 6.6 (eval\(^+\)).**
\[
\begin{align*}
eval^+_0 &: U^+ \to U^\wedge \\
eval^+_1 &: (c : t_1 \leftrightarrow^+ t_2) \to \eval^+_{0}(t_1) \leftrightarrow^\wedge \eval^+_{0}(t_2) \\
eval^+_2 &: (\alpha : c_1 \leftrightarrow^+ c_2) \to \eval^+_{1}(c_1) \leftrightarrow^\wedge \eval^+_{1}(c_2)
\end{align*}
\]

To go back from \( \Pi^\wedge \) to \( \Pi^+ \), we turn a natural number into a \( \Pi^+ \) type, using right-biased addition, that is, the natural number \( n \) gets mapped to the type \( \mathbb{1} + (\mathbb{1} + (\mathbb{1} + \ldots + \mathbb{0})) \). Since the types are already right-biased, an adjacent transposition in \( \Pi^\wedge \) is easily encoded by using the braiding in \( \Pi^+ \), as shown in fig. 10. Again, these are shown to be coherent.

**Definition 6.7 (quote\(^+\)).**
\[
\begin{align*}
\text{quote}^+_0 &: U^\wedge \to U^+
\text{quote}^+_1 &: (p : X_1 \leftrightarrow^\wedge X_2) \to \text{quote}^+_0(X_1) \leftrightarrow \text{quote}^+_0(X_2)
\text{quote}^+_2 &: (\alpha : p_1 \leftrightarrow^\wedge p_2) \to \text{quote}^+_1(p_1) \leftrightarrow \text{quote}^+_1(p_2)
\end{align*}
\]

**Theorem 6.8.** \( \text{eval}^+/\text{quote}^+ \) give a symmetric monoidal equivalence between \( \Pi^+_\text{cat} \) and \( \Pi^\wedge\text{cat} \).

### 6.3 \( \Pi^\wedge \) to \( \mathcal{U}^\text{Fin} \)

Finally, we show how to interpret \( \Pi^\wedge \) to \( \mathcal{U}^\text{Fin} \), and back from \( \mathcal{U}^\text{Fin} \) to \( \Pi^\wedge \). Types in \( \Pi^\wedge \) are interpreted as 0-cells in \( \mathcal{U}^\text{Fin} \), that is, a natural number \( n \) is mapped to \( \text{Fin}_n \). The 1-combinators in \( \Pi^\wedge \) are mapped to 1-paths in \( \mathcal{U}^\text{Fin} \), that is, 1-loops in each connected component, equivalent to \( \text{Aut}(\text{Fin}_n) \). In \( \Pi^\wedge \), the 1-combinators are generated by adjacent transpositions, so these can be mapped to words in \( S_n \) and then to automorphisms using Corollary 5.23. Finally, 2-combinators are mapped to 2-paths between loops in \( \mathcal{U}^\text{Fin} \).
Definition 6.9 (eval^\land).
\[
\begin{align*}
\text{eval}^\land_0 &: U^\land \rightarrow \mathcal{U}_{\text{Fin}} \\
\text{eval}^\land_1 &: (c : t_1 \leftrightarrow t_2) \rightarrow \text{eval}^\land_0(t_1) = \text{eval}^\land_0(t_2) \\
\text{eval}^\land_2 &: (\alpha : c_1 \leftrightarrow c_2) \rightarrow \text{eval}^\land_1(c_1) = \text{eval}^\land_1(c_2)
\end{align*}
\]

0-cells in \( \mathcal{U}_{\text{Fin}} \) are mapped to their cardinalities in \( \Pi^\land \), 1-loops are decoded to words in \( S_n \) to generate a sequence of adjacent transpositions, producing a 1-combinator in \( \Pi^\land \). Finally, 2-paths are quoted back to 2-combinators in \( \Pi^\land \).

Definition 6.10 (quote^\land).
\[
\begin{align*}
\text{quote}^\land_0 &: \mathcal{U}_{\text{Fin}} \rightarrow U^\land \\
\text{quote}^\land_1 &: (\rho : X_1 = X_2) \rightarrow \text{quote}^\land_0(X_1) \leftrightarrow^\land \text{quote}^\land_0(X_2) \\
\text{quote}^\land_2 &: (\alpha : p_1 = p_2) \rightarrow \text{quote}^\land_1(p_1) \leftrightarrow^\land \text{quote}^\land_1(p_2)
\end{align*}
\]

Theorem 6.11. \( \text{eval}^\land/\text{quote}^\land \) give a symmetric monoidal equivalence between \( \Pi^\land_{\text{cat}} \) and \( \mathcal{U}_{\text{Fin}} \).

The semantics that we presented here takes a different route to constructing the permutation from a \( \Pi \) combinator, compared to the direct interpretation given using the big-step interpreter in Section 3.2. We verify that the two semantics agree, establishing that the semantics is adequate and fully abstract.

Definition 6.12 (\( \langle - \rangle \)).
\[
\begin{align*}
\langle - \rangle_0 &: U \rightarrow \mathcal{U}_{\text{Fin}} \\
\langle - \rangle_1 &: (c : X \leftrightarrow Y) \rightarrow \langle X \rangle_0 =_{\text{ifin}} \langle Y \rangle_0 \\
\langle - \rangle_0 & \triangleq \text{eval}^\land_0 \circ \text{eval}^+_0 \circ \text{eval}_0 \\
\langle - \rangle_1 & \triangleq \text{eval}^\land_1 \circ \text{eval}^+_1 \circ \text{eval}_1
\end{align*}
\]

Theorem 6.13 (Full Abstraction and Adequacy). For any \( c_1, c_2 : X \leftrightarrow Y \), we have that
\[
\llbracket c_1 \rrbracket = \llbracket c_2 \rrbracket \text{ if and only if } \langle c_1 \rangle_1 = \langle c_2 \rangle_1
\]

7 Normalisation of Reversible Circuits

Using our semantics, we can normalise, synthesise, prove equivalence, and generally reason about \( \Pi \) programs. The two key definitions are presented below.

Definition 7.1 (Normalisation of \( \Pi \) programs).
\[
\begin{align*}
\text{norm}_0 &: U \rightarrow U^+ \\
\text{norm}_1 &: (c : X \leftrightarrow Y) \rightarrow \text{norm}_0(X) \leftrightarrow \text{norm}_0(Y) \\
\text{norm}_0 &= \text{quote}^+_0 \circ \text{quote}^\land_0 \circ \langle - \rangle_0 \\
\text{norm}_1 &= \text{quote}^+_1 \circ \text{quote}^\land_1 \circ \langle - \rangle_1
\end{align*}
\]

Normalisation involves translating \( \Pi \) programs to \( \Pi^\land \), computing a permutation, and quoting back to \( \Pi^+ \). Note that the normalisation happens in the step from \( \Pi^\land \) to \( \mathcal{U}_{\text{Fin}} \) and back to \( \Pi^\land \) and that normalisation also provides a decision procedure for program equivalence. Synthesis happens by quoting permutations. More general, user-guided, reasoning can be done using the sound and complete level-2 combinators to rewrite \( \Pi \) programs.

Recall the specification of reversible disjunction from Section 2, the two Qiskit circuits for implementing it, and the corresponding \( \Pi \) definitions \( \text{reversibleOr1} \) and \( \text{reversibleOr2} \). The normal forms for both circuits compute to the following, establishing their equivalence:

\[
\text{reversibleOrNorm} : \mathcal{B}^+ \leftrightarrow_{\Pi} \mathcal{B}^+
\]

\[
\begin{align*}
\text{reversibleOrNorm} &= (\text{id}\leftrightarrow_{\Pi} \circ \text{id}\leftrightarrow_{\Pi} \circ \text{assoc}_L \circ (\text{swap} \circ \text{id}\leftrightarrow_{\Pi}) \circ \text{assoc}_R) \circ \\
& (\text{id}\leftrightarrow_{\Pi} \circ \text{assoc}_L \circ (\text{swap} \circ \text{id}\leftrightarrow_{\Pi}) \circ \text{assoc}_R) \circ \\
& (\text{assoc}_L \circ (\text{swap} \circ \text{id}\leftrightarrow_{\Pi}) \circ \text{assoc}_R)
\end{align*}
\]
Instead of manually producing Π-programs to implement the reversible disjunction specification, it is also possible to simply quote the desired permutation:

```latex
\text{reversibleOrPerm} : \text{Aut} (\text{Fin} 8) \\
\text{reversibleOrPerm} = \text{equiv} \ f \ f \ f \ f \ f \ f \\
\text{where} \ f : \text{Fin} 8 \rightarrow \text{Fin} 8 \\
\begin{align*}
    & f = \text{lookup} \ (0 :: 5 :: 6 :: 7 :: 4 :: 1 :: 2 :: 3 :: \text{nil}) \\
    & f \, f \, f : (x : \text{Fin} 8) \rightarrow f (f \, f \, x) = x \\
\end{align*}
```

The permutation uses the canonical encoding of sequences of bits as natural numbers (e.g., \text{false}, \text{true}, \text{true}) is encoded as 011 or 3). The second entry maps index 1 (= 001) to the value 5 (= 101) which states that since one of the right bits is set in 001 then the leftmost bit in the output is set. Quoting this permutation generates the same normalised program which can then be composed with a map from \( \Pi \) to \( \Pi^+ \) to produce a program matching the desired structured types.

8 DISCUSSION & RELATED WORK

The main theme of our work is the semantic foundation of reversible languages. We prove that a programming language presentation of reversible programming based on algebraic types matches—exactly—the categorified group-theoretic semantics, thereby closing the circle on a complete Curry-Howard-Lambek correspondence for reversible languages. Historically, the first such correspondence was between the \( \lambda \)-calculus, intuitionistic logic, and cartesian-closed categories [Curry et al. 1980]. For reversible languages, the Curry-Howard correspondence was established by Sparks and Sabry [2014] and the Lambek correspondence suggested by Carette and Sabry [2016] and Carette et al. [2021] and established in this work. In the remainder of this section, we discuss some broader related work.

Coherence and Rewriting. Higher-order term-rewriting systems and word problems have a long history of being formalised in proof assistants like homotopy.io, Agda, Coq and Lean [Kraus and von Raumer 2020]. As part of the proof of our main result, we developed a rewriting system for the Coxeter relations for \( S_n \) to solve its word problem. Hiver [2021] describes an explicit algorithm for producing normal forms. It could provide an alternative to our rewriting system. Other encodings of permutations as listed vectors, matrices, inductively generated trees (Motzkin trees), Young diagrams, or string diagrams, proved either difficult to formalise in type theory or difficult to relate directly to the primitive type isomorphisms of \( \Pi \). The automatic Knuth and Bendix [1970] completion produced too many equations making proving correctness and termination intractable.
Coherence theorems are famous problems in category theory, and Mac Lane's coherence theorem [Gurski and Osorno 2013; Joyal and Street 1993; MacLane 1963] for monoidal categories is a particular one. The use of rewriting and proof assistants to prove coherence theorems for higher categorical structures has a long history, see [Beylin and Dybjer 1996; Forest and Mimram 2018].

**Computing with Univalence.** In HoTT, univalence characterises the path type in the universe as equivalences of types. The map \( \text{idtoeqv} : A \simeq_{u} B \rightarrow A \simeq B \) can be easily constructed using path induction. The term \( ua : A \simeq B \rightarrow A \simeq_{u} B \), its computation rule \( ua_{\beta} : (e : A \simeq B) \rightarrow \text{idtoeqv}(ua(e)) = e \), and its extensionality rule \( ua_{\eta} : (p : A \simeq_{u} B) \rightarrow p = ua(\text{idtoeqv}(p)) \) are generally added as postulates when formalising in Agda. Together, \( ua \) and \( ua_{\beta} \) give the full univalence axiom \( (A \simeq B) \simeq (A \simeq_{u} B) \). By giving a computable presentation for a univalent subuniverse, we are able to describe its path space syntactically via a complete equational axiomatisation of the equivalences between types in the subuniverse. In the subuniverse of finite types, \( \text{idtoeqv} \) corresponds to giving a denotation for a program (1-combinator), which is easily done by induction. The \( ua \) map corresponds to synthesising a program from an equivalence (which, in general, is of course undecidable [Krogmeier et al. 2020]). In case of reversible boolean circuits, it is decidable, as we have shown, but still far from trivial, which matches the need to assert the existence of \( ua \) without giving a constructive argument. Then, the computation rule \( ua_{\beta} \) expresses the fact that program synthesis is sound, while \( ua_{\eta} \) corresponds to the soundness of the equational theory \( (\Pi \text{-}2\text{-combinators}) \). Thus, our results suggest a new computational interpretation of the univalence principle, which provides an intuition on why certain constructions are hard (or impossible in the general case). There are other, different approaches to computing with univalence, in [Angiuli, Cavallo, Mörtberg, and Zeuner 2021; Tabareau, Tanter, and Sozeau 2021], and in Cubical Type Theory [Angiuli 2019; Vezzosi, Mörtberg, and Abel 2019].

**Algebraic Theories.** In universal algebra, algebraic theories are used to describe structures such as groups or rings. A specific group or ring is a model of the appropriate algebraic theory. Algebraic theories are usually presented in terms of logical syntax, that is, as first-order theories whose signatures allow only functional symbols, and whose axioms are universally quantified equations. In his seminal thesis, Lawvere [1963] defined a presentation-free categorical notion of universal algebraic structure, called a Lawvere theory. Programming Languages, such as the \( \lambda \)-calculus, can be viewed as algebraic structures with variable-binding operators, which can be formalised using second-order algebraic theories [Fiore and Mahmoud 2010], or algebraic theories with closed structure [Hyland 2017], called \( \lambda \)-theories, making the \( \lambda \)-calculus the presentation of the initial \( \lambda \)-theory \( \Lambda \). Our family of reversible languages \( \Pi \) have been presented as first-order algebraic 2-theories [Beke 2011; Cohen 2009; Yanofsky 2000], which are a categorification of algebraic theories. The types \( \emptyset \) and \( 1 \) are nullary function symbols, the type formers \( + \) and \( \times \) are binary function symbols, the 1-combinators are invertible reduction rules, and the 2-combinators are equations or coherence diagrams of compositions of reduction rules. Just like models of Lawvere theories are given by algebras of (finitary) monads on \( \text{Set} \), models of 2-theories are given by algebras of 2-monads on \( \text{Cat} \). Our development is related to the free symmetric monoidal completion 2-monad.

**Free Symmetric Monoidal Category.** The free symmetric monoidal category has been used to study concurrency [Hyland and Power 2004], Petri nets [Baez et al. 2021], combinatorial structures [Fiore et al. 2008], quantum mechanics [Abramsky 2005a], and bicategorical models of (differential) linear logic [Melliès 2019]. The forgetful functor from \( \text{SymMonCat} \), the 2-category of (small) symmetric monoidal categories, strong symmetric monoidal functors, and monoidal natural transformations, to the 2-category \( \text{Cat} \), has a left adjoint giving the free symmetric monoidal category \( \mathcal{F}_{\text{SM}}(C) \) on a category \( C \). This is a 2-monad on \( \text{Cat} \) [Blackwell et al. 1989], whose algebras are (strict) symmetric
monoidal categories. Its construction is known in the literature [Abramsky 2005a]. Concretely, the
objects of $\mathcal{F}_{\text{SM}}(C)$ are given by lists of objects of $C$, that is, a pair $(n : \mathbb{N}, A : [n] \to C_0)$; morphisms
between $(n, A)$ and $(n, B)$ are pairs $(\pi, \lambda)$ where $\pi$ is a permutation of $[n]$, and $\lambda_i : A_i \to B_{\pi(i)}$
for $1 \leq i \leq n$. Abstractly, this is given by the Grothendieck construction $\int F$ of the functor
$F : \mathcal{B} \to \mathcal{Cat}$ from the groupoid of finite sets and bijections to $\mathcal{Cat}$, assigning each natural number $n$
to the $n$-power $C^n$ of $C$, and each permutation on $[n]$ inducing an endofunctor on $C^n$ by action.
The groupoid $\mathcal{B}$ is the free symmetric monoidal category (groupoid) on one generator, $\mathcal{F}_{\text{SM}}(1)$.

Coherence and normalisation problems for monoids in constructive type theory using coherence
for monoidal categories were studied by Beylin and Dybjer [1996]. In HoTT, coherence for the free
monoidal groupoid over a groupoid and the proof of its universal property has been considered
by Piceghello [2020]. Free commutative monoids in type theory have been studied by Gylterud
[2020], and using HoTT by Choudhury and Fiore [2019]. The free symmetric monoidal groupoid
$\mathcal{F}_{\text{SM}}(A)$ over a groupoid $A$ can be given by $\sum_{X : \mathcal{U} \text{Fin}} A^X$, or it can be presented as an algebraic
2-theory using 1-HITs. These HITs and the proof of their universal property have been considered
by Choudhury and Fiore [2019]; Piceghello [2019]. The proof of the universal property of $\mathcal{F}_{\text{SM}}$ is
asserted by appealing to Mac Lane’s coherence theorem for symmetric monoidal categories, and
using the fact that the finite symmetric group $S_n$ encodes the permutation group on a finite set.
The existence of the proof is folklore. We have produced a proof and formalised it in constructive
type theory. A stronger universal property that $\mathcal{B}$ is biinitial in $\text{RigCat}$, is in [Elgueta 2021].

Reversible Programming Languages. The practice of programming languages is replete with ad
hoc instances of reversible computations: database transactions, mechanisms for data provenance,
checkpoints, stack and exception traces, logs, backups, rollback recoveries, version control systems,
reverse engineering, software transactional memories, continuations, backtracking search, and
multiple-level undo features in commercial applications. In the early nineties, Baker [1992a,b]
argued for a systematic, first-class, treatment of reversibility. But intensive research in full-fledged
reversible models of computations and reversible programming languages was only sparked by
the discovery of deep connections between physics and computation [Bennett and Landauer 1985;
Frank 1999; Fredkin and Toffoli 1982; Hey 1999; Landauer 1961; Peres 1985; Toffoli 1980], and by the
potential for efficient quantum computation [Feynman 1982]. The early developments of reversible
programming languages started with a conventional programming language, e.g., an extended
$\lambda$-calculus, and either (i) extended the language with a history mechanism [Danos and Krivine
2004; Huelsbergen 1996; Kluge 2000; van Tonder 2004], or (ii) imposed constraints on the control
flow constructs to make them reversible [Yokoyama and Glück 2007]. More modern approaches
recognize that reversible programming languages require a fresh approach and should be designed
from first principles without the detour via conventional irreversible languages [Abramsky 2005b;
Di Pierro et al. 2006; Mu et al. 2004; Yokoyama et al. 2008]. The version of $\Pi$ studied in this
paper is restricted to finite types and terminating total computations. It would be interesting to
understand which versions of free monoidal structures correspond to extensions of $\Pi$ with recursive
types [Bowman et al. 2011; James and Sabry 2012] and negative/fractional types [Chen and Sabry
2021].

Permutations. Finding formal systems for expressing various flavors of computable functions
has been a major focus of logic and computer science since its inception. Permutations, being at
the core of reversible computing, are an interesting class of functions, for which there are few
formal systems. We develop such a system bringing in all the associated benefits of syntactic calculi,
noteably, their calculational flavor. Instead of comparing two reversible programs by extensional
equality of the underlying bifunctional types, a calculus offers more nuanced techniques that can enforce additional intensional constraints on the desired equality relation.

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A SUPPLEMENTARY MATERIAL FOR SECTION 3

A.1 2-combinators

The additional level-2 combinators:

\[ \text{idl} \oplus l : c : A \leftrightarrow 1 B \rightarrow (\text{id} \leftrightarrow 1 \circ c) \leftrightarrow 2 c \]
\[ \text{idl} \circ r : c : A \leftrightarrow 1 B \rightarrow c \leftrightarrow 2 \text{id} \leftrightarrow 1 \circ c \]
\[ \text{idr} \oplus l : c : A \leftrightarrow 1 B \rightarrow (c \circ \text{id} \leftrightarrow 1) \leftrightarrow 2 c \]
\[ \text{idr} \circ r : c : A \leftrightarrow 1 B \rightarrow c \leftrightarrow 2 (c \circ \text{id} \leftrightarrow 1) \]
\[ \text{linv} \oplus l : c : A \leftrightarrow 1 B \rightarrow (c \circ ! \leftrightarrow 1 c) \leftrightarrow 2 \text{id} \leftrightarrow 1 \]
\[ \text{linv} \circ r : c : A \leftrightarrow 1 B \rightarrow \text{id} \leftrightarrow 1 \leftrightarrow 2 (c \circ ! \leftrightarrow 1 c) \]
\[ \text{rinv} \oplus l : c : A \leftrightarrow 1 B \rightarrow (l \leftrightarrow 1 c \circ c) \leftrightarrow 2 \text{id} \leftrightarrow 1 \]
\[ \text{rinv} \circ r : c : A \leftrightarrow 1 B \rightarrow \text{id} \leftrightarrow 1 \leftrightarrow 2 (l \leftrightarrow 1 c \circ c) \]

\[ \text{unite}_l \leftrightarrow 2 : [c_1 : O \leftrightarrow 1 O] [c_2 : A \leftrightarrow 1 B] \rightarrow (\text{unite}_l \circ c_2) \leftrightarrow 2 ((c_1 \circ c_2) \circ \text{unite}_l) \]
\[ \text{unite}_l \rightarrow r : [c_1 : O \leftrightarrow 1 O] [c_2 : A \leftrightarrow 1 B] \rightarrow ((c_1 \circ c_2) \circ \text{unite}_l) \leftrightarrow 2 (\text{unite}_l \circ c_2) \]
\[ \text{unite}_r \leftrightarrow 2 : [c_1 : O \leftrightarrow 1 O] [c_2 : A \leftrightarrow 1 B] \rightarrow (\text{unite}_r \circ (c_1 \circ c_2)) \leftrightarrow 2 (c_2 \circ \text{unite}_l) \]
\[ \text{unite}_r \rightarrow r : [c_1 : O \leftrightarrow 1 O] [c_2 : A \leftrightarrow 1 B] \rightarrow ((c_1 \circ c_2) \circ \text{unite}_l) \leftrightarrow 2 (\text{unite}_l \circ (c_1 \circ c_2)) \]
\[ \text{swap}_l \leftrightarrow 2 : [c_1 : A \leftrightarrow 1 B] [c_2 : C \leftrightarrow 1 D] \rightarrow (\text{swap}_l \circ (c_1 \circ c_2)) \leftrightarrow 2 ((c_2 \circ c_1) \circ \text{swap}_l) \]
\[ \text{swap}_r \leftrightarrow 2 : [c_1 : A \leftrightarrow 1 B] [c_2 : C \leftrightarrow 1 D] \rightarrow ((c_2 \circ c_1) \circ \text{swap}_r) \leftrightarrow 2 (\text{swap}_r \circ (c_1 \circ c_2)) \]

\[ \text{id} \leftrightarrow 2 : c : A \leftrightarrow 1 B \rightarrow c \leftrightarrow 2 c \]

\[ \text{triangle}_l \oplus r : (\text{unite}_r \circ [A] \circ \text{id} \leftrightarrow 1 [B]) \leftrightarrow 2 \text{assoc}_r \circ (\text{id} \leftrightarrow 1 \circ \text{unite}_l) \]
\[ \text{triangle}_l \rightarrow r : \text{assoc}_r \circ (\text{id} \leftrightarrow 1 \circ \text{unite}_l) \leftrightarrow 2 \text{unite}_r \circ \text{id} \leftrightarrow 1 \]
\[ \text{pentagon}_l \oplus r : \text{assoc}_r \circ (\text{assoc}_r \circ [A] \circ [B] \circ [C] \circ [D]) \leftrightarrow 2 ((\text{assoc}_r \circ \text{id} \leftrightarrow 1) \circ \text{assoc}_r) \circ (\text{id} \leftrightarrow 1 \circ \text{assoc}_r) \]
\[ \text{pentagon}_r : ((\text{assoc}_r \circ [A] \circ [B] \circ [C] \circ [D]) \circ \text{assoc}_r) \circ (\text{id} \leftrightarrow 1 \circ \text{assoc}_r) \leftrightarrow 2 \text{assoc}_r \circ \text{assoc}_r \]

\[ \text{unite}_l \text{coh} : \text{unite}_l \circ [A] \leftrightarrow 2 \text{swap}_r \circ \text{unite}_r \]
\[ \text{unite}_l \text{coh} : \text{swapp} \circ \text{unite}_r \leftrightarrow 2 \text{unite}_l \circ [A] \]
\[ \text{hexagon}_l : (\text{assoc}_r \circ \text{swap}_r) \circ \text{assoc}_r \circ (\text{id} \leftrightarrow 1) \circ \text{assoc}_r \circ \text{swap}_r \circ \text{id} \leftrightarrow 1 \]
\[ \text{hexagon}_r : (\text{assoc}_r \circ \text{swap}_r) \circ \text{assoc}_r \circ (\text{id} \leftrightarrow 1) \circ \text{assoc}_r \circ \text{swap}_r \circ \text{id} \leftrightarrow 1 \]

**Theorem 3.1.** The semantics is sound in the following sense:

- For every level-1 combinator \( c : A \leftrightarrow 1 B \), if \( \llbracket c \rrbracket \) is a bijection between \( \llbracket A \rrbracket \) and \( \llbracket B \rrbracket \).
- For every pair of combinators \( c_1 \) and \( c_2 \) of the same type \( A \leftrightarrow 1 B \), if there exists a level-2 combinator \( \alpha : c_1 \leftrightarrow 2 c_2 \), then \( \llbracket c_1 \rrbracket = \llbracket c_2 \rrbracket \) using extensional equivalence of functions.

**Proof.** For every primitive combinator \( c \) listed on one side of Fig. 3, let \( l_c \) be the combinator listed on the other side. Thus \( \text{assoc}_l \) is \( \text{assoc}_r \) and \( \text{swap}_r \) is \( \text{swap}_l \) itself. Then we have that \( \llbracket c \rrbracket \) and \( \llbracket l_c \rrbracket \) form an equivalence. For the level-2 combinator \( \text{id} \circ l \), we check \( \llbracket c \circ \text{id} \leftrightarrow 1 \rrbracket = \text{id} \circ \llbracket c \rrbracket = \llbracket c \rrbracket \). The other cases are only slightly more involved calculations. \( \square \)
We give an example of the groupoid structure on a 3-element set. We have \( \text{Fin}_3 = \{0, 1, 2\} \cong 1 \sqcup (1 \sqcup 1) \) which fixes a particular enumeration of the elements. Suppose we have a set \( X = (1 \sqcup 1) \sqcup 1 \), it has the same cardinality as \( \text{Fin}_3 \), so it is represented by the same 0-cell. But, \( X \) can be made equivalent to \( \text{Fin}_3 \) in many different ways since there are many bijections between them. One bijection is \( \{ \text{inl}(\text{inl}(\ast)) \mapsto 0, \text{inl}(\text{inr}(\ast)) \mapsto 1, \text{inr}(\ast) \mapsto 2 \} \) which can be written in two different ways by composing more primitive operations, \( f_1 = \text{assoc}_{\ast}, \text{or} \ f_2 = \text{swap}_{\ast} \circ \text{assoc}_{\ast} \circ \text{swap}_{\ast} \). Another bijection is \( \{ \text{inl}(\text{inl}(\ast)) \mapsto 1, \text{inl}(\text{inr}(\ast)) \mapsto 2, \text{inr}(\ast) \mapsto 0 \} \) which is given by \( f_3 = \ldots \). Since \( f_1 \) and \( f_2 \) produce the same enumeration of the elements of \( X \), they are identified by a homotopy \( h \) which is encoded in the 2-cell between them.

At level 0, all we know is that if \( X : \mathcal{U}_{\text{Fin}_3} \), then \( X \) is merely equal to \( \text{Fin}_3 \), that is \( \|X = \text{Fin}_3\|_{-1} \), and we don’t have access to the bijection. At level 1, if we know that both \( X \) and \( Y \) are equal in \( \mathcal{U}_{\text{Fin}_3} \), then we can extract an equivalence between them, that is, \( (X = Y) \to (X \simeq Y) \). \( \mathcal{U}_{\text{Fin}_3} \) being a univalent subuniverse asserts that there are as many elements (upto higher homotopy) in \( X = Y \) as there are \( X \cong Y \).

### B.1 Identity Types

Given two terms \( x : A \) and \( y : A \), we write \( x =_A y \), or simply \( x = y \), for the identity type, which is the type of equalities or identifications between them. The identity type is generated by reflexivity \( \text{refl}_x : x =_A x \), and the eliminator for the identity type is given by path induction or the \( J \)-rule (definition B.1). This construction can be iterated, giving the identity type between two terms of an identity type, repeating ad infinitum. Using the iterated identity type for morphisms, each type is equipped with the structure of a weak \( \infty \)-groupoid, where each morphism satisfies groupoid laws only up to a higher one. Given an arbitrary type (or groupoid) \( A \), we list some laws that are provable using path induction.

**Definition B.1 (Path Induction).** Given a type family \( C : \prod_{x : A} \prod_{y : A} (x =_A y) \to \mathcal{U} \), and a function \( c : \prod_{x : A} \prod_{y : A} C(x, x, \text{refl}_x) \), there is a function \( f : \prod_{x : A} \prod_{y : A} \prod_{p : x =_A y} C(x, y, p) \) such that \( f(x, x, \text{refl}_x) \triangleq c(x) \).

\[
\begin{align*}
\text{refl}^{-1} : (x =_A y) &\to (y =_A x) \\
\text{assoc} : (p : x =_A y) (q : y =_A z) (r : z =_A w) &\to (p \circ q) \circ r = p \circ (q \circ r) \\
\text{invr} : (p : x =_A y) &\to p \circ p^{-1} = \text{refl}_x
\end{align*}
\]

A homotopy between functions \( f \sim g \) is given by pointwise equality between them \( \prod_{x : A} f(x) =_n g(x) \).

The identity type for functions is equivalent to homotopies between them \( (f =_{A \to B} g) \cong (f \sim g) \), by function extensionality. An equivalence between types \( A = B \) is given by a pair of functions
between them which compose to the identity, \( f \circ g \sim \text{id}_B \) and \( g \circ f \sim \text{id}_A \), and this is equivalent to the identity type for the universe, \((A =_U B) \simeq (A \simeq B)\), by univalence.

Functions between types are functors between groupoids. Given a function \( f : A \to B \), there is a functorial action on the paths given by \( \text{ap} \). Type families, that is, types indexed by terms, are simply functions from a type to the universe, such as \( A \to \mathcal{U} \). For a type family \( P : A \to \mathcal{U} \) and a point \( x : A \), the type \( P(x) \) is called the fiber over \( x \). The transport operation, named \( \text{transport/termtr} \), lifts paths in the indexing type to functions between fibers.

\[
\text{ap}_f : \prod_{x, y : A} x =_A y \to f(x) =_B f(y) \quad \text{transport}_P : \prod_{x, y : A} x =_A y \to P(x) \to P(y)
\]

The type \( \sum_{x : A} P(x) \) is the collection of all the fibers and is called the total space of \( P \). The first projection \( \pi_1 : \sum_{x : A} P(x) \to A \) from the total space to the base space \( A \) has the structure of a fibration, that is, there is a lifting operation (fig. 11) which lifts paths in the base space to paths in the total space. Given a path \( p : x =_A y \) in the base space, and \( u : P(x) \) a point in the fiber over \( x \), we have:

\[
\text{lift}(u, p) : (x, u) = \sum_{x : A} P(x) (y, \text{transport}_P^p(u))
\]

### B.2 Homotopy Types

A type is contractible (-2-type) if it has a unique element, that is, there is a center of contraction and every other point is equal to it. A type is a proposition (-1-type) if its equality types are contractible, that is, it has at most one inhabitant. Iterating this, we can define sets or 0-types (whose equality types are propositions) and 1-groupoids or 1-types (whose equality types are sets), and similarly, higher homotopy \( n \)-types.

\[
\text{isContr}(A) \triangleq \sum_{x : A} \prod_{y : A} y = x \\
\text{isSet}(A) \triangleq \prod_{x, y : A} \text{isProp}(x = y) \\
\text{isProp}(A) \triangleq \prod_{x, y : A} \text{isContr}(x = y) \\
\text{isGpd}(A) \triangleq \prod_{x, y : A} \text{isSet}(x = y)
\]
B.3 Higher Inductive Types

Higher Inductive Types generalise Inductive Types, by allowing path constructors besides point constructors. While point constructors generate the elements of the type, path constructors generate equalities between points in the type. We describe a few basic HITs that we use.

Given a type $A$, the propositional truncation $\|A\|_{-1}$, squashes the elements of $A$ turning it into a proposition. It is given by a HIT with a point constructor $|-| : A \to \|A\|$, and a path constructor $\text{trunc}(x, y) : x =_{\|A\|} y$, which equates every pair of points in the truncation (see definition B.2).

**Definition B.2 (Propositional Truncation).** Given a type $A$, the propositional truncation $\|A\|_{-1}$, or simply $\|A\|$, is a higher inductive type generated by the following constructors,

- an inclusion function $|-| : A \to \|A\|$, 
- for each $x, y : \|A\|$, a path $\text{trunc}(x, y) : x =_{\|A\|} y$,

such that, given any type $B$ with

- a function $g : A \to B$,
- for each $x, y : B$, a path $\text{trunc}^*(x, y) : x =_B y$,

there is a unique function $f : \|A\| \to B$ such that,

- $f(|a|) \equiv g(a)$
- for each $x, y : \|A\|$, $\text{ap}_f(\text{trunc}(x, y)) =_B \text{trunc}^*(f(x), f(y))$.

**Definition B.3 ($\text{fib}_f : B \to \mathcal{U}$).** The fiber of $f : A \to B$ at $b : B$ is

$$\text{fib}_f(b) \triangleq \sum_{a : A} f(a) =_B b.$$  

**Definition B.4 ($\text{im} : (f : A \to B) \to \mathcal{U}$).** The image of $f$ is the (-1)-truncation of its fiber.

$$\text{im}(f) \triangleq \sum_{b : B} \|\text{fib}_f(b)\|_{-1}$$

**Lemma B.5.** The following are equivalent.

(1) $f : A \to B$ is an equivalence.
(2) $f$ has a left and right inverse.
(3) $f$ has contractible fibers.

Another HIT that we use is the set-quotient $A/R$ which takes an set $A$ and a relation $R : A \to A \to \mathcal{U}$. It has an inclusion of points $q : A \to A/R$, and adds paths between related pairs of elements $q\text{-rel} : R(x, y) \to q(x) =_{A/R} q(y)$ (see definition B.6). We recall that the quotient is effective if $R$ is a prop-valued equivalence relation, that is, $R(x, y)$ holds iff $(q(x) =_{A/R} q(y))$.

**Definition B.6 (Set Quotient).** Given a type $A$ which is a set, and a relation $R : A \to A \to \mathcal{U}$, the set-quotient $A/R$ is the higher inductive type generated by

- an inclusion function $q : A \to A/R$,
- for each $x, y : A$ such that $R(x, y)$, a path $q(x) =_{A/R} q(y)$,
- a set truncation, for each $x, y : A/R$ and $r, s : x =_{A/R} y$, we have $r = s$,

with an appropriate induction principle.

**Proposition 4.3 (Univalent Subuniverse).** A universe predicate is a type family $P : \mathcal{U} \to \mathcal{U}$ whose fibers are propositions, that is, $P(X)$ is a proposition for every $X$. Given such a predicate $P$, the fibration $\pi_1 : \sum_{X : \mathcal{U}} P(X) \to \mathcal{U}$ is univalent and generates a univalent subuniverse $\mathcal{U}_P \triangleq \sum_{X : \mathcal{U}} P(X, \pi_1)$.

Univalent typoids [Petrakis 2019] are a different presentation of univalent subuniverses.
Proof. Suppose \((U, E) \triangleq (\sum_{X : \mathcal{U}} P(X), \pi_1)\) is a subuniverse generated by a subtype \(P : \mathcal{U} \to \mathcal{U}\). For any \(X, Y : \mathcal{U}\) such that \(\phi : P(X)\) and \(\psi : P(Y)\), we want to show that \(\text{transport-equiv}(\pi_1) : (X, \phi) \to X \simeq Y\) is an equivalence. We construct \(X \simeq Y \to (X, \phi) \simeq (Y, \psi)\) by \(\text{ua}\) and using the fact that \(P(\cdot)\) is a proposition. That it is an inverse follows by calculation using the appropriate computation rules. \(\Box\)

Lemma 4.6. For any type \(X\), \(\text{isFin}(X)\) is a proposition.

Proof. Suppose we have \((n, \phi) : \text{isFin}(X)\) and \((m, \psi) : \text{isFin}(X)\), we need to show that \((n, \phi) = (m, \psi)\). It is enough to show that \(n = m\). Since \(\mathbb{N}\) is a set, this is a proposition, so we can use the induction principle of propositional truncation to eliminate to \(n = m\), applying it on \(\phi\) and \(\psi\) respectively. This gives us the equalities \(X = \text{Fin}_n\) and \(X = \text{Fin}_m\), which gives us \(\text{Fin}_n = \text{Fin}_m\), from which \(n = m\) follows by applying the first projection. \(\Box\)

Lemma 4.10.

1. If \(T\) is an \(n\)-type, \(\mathcal{B} \text{Aut}(T)\) is an \((n + 1)\)-type.
2. For any \(T : \mathcal{U}\), \(\mathcal{B} \text{Aut}(T)\) is 0-connected.
3. For any \(T : \mathcal{U}\), \(\Omega(\mathcal{B} \text{Aut}(T), T_0) \simeq \text{Aut}(T)\).

Proof. We need to show that the equality type of \(\mathcal{B} \text{Aut}(T)\) is an \(n\)-type. Assume \(X, Y : \mathcal{B} \text{Aut}(T)\). Since \(\mathcal{B} \text{Aut}(T)\) is a univalent subuniverse, we have \((X = Y) \simeq (\pi_1(X) \simeq \pi_1(Y))\). Note that being an \(n\)-type is a proposition. Since \(T\) is an \(n\)-type, and \(\pi_1(X)\) and \(\pi_1(Y)\) are merely equal to \(T\), they’re also \(n\)-types. It follows that \(\pi_1(X) \simeq \pi_1(Y)\) is an \(n\)-type, and hence \(X = Y\) is an \(n\)-type.

Since \(\mathcal{B} \text{Aut}(T)\) is a univalent universe, it follows that

\[
(T_0 =_{\mathcal{B} \text{Aut}(T)} T_0) \simeq (\pi_1(T_0) \simeq \pi_1(T_0)) \equiv (T \simeq T) \equiv \text{Aut}(T).
\]

\(\Box\)

Definition B.7 (Additive symmetric monoidal structure).

\[
O \triangleq F_0
\]

\[
X \oplus Y \triangleq X \sqcup Y
\]

\[
\lambda_X : O \oplus X \simeq X
\]

\[
\rho_X : X \oplus O \simeq X
\]

\[
\alpha_{X,Y,Z} : (X \oplus Y) \oplus Z \simeq X \oplus (Y \oplus Z)
\]

\[
\mathcal{B}_{X,Y} : X \oplus Y \simeq Y \oplus X
\]

Proposition B.8.

\[
\begin{array}{c}
(X \oplus I) \oplus Y \\
\downarrow \rho_{X \oplus 1Y} \\
X \oplus Y
\end{array}
\]

\[
\begin{array}{c}
\lambda_X, IY \\
\downarrow \alpha_{X,Y} \\
X \oplus (I \oplus Y)
\end{array}
\]

\[
\begin{array}{c}
1_{X \oplus \lambda Y} \\
\downarrow \rho_{X \oplus 1Y}
\end{array}
\]
Definition B.9 (Multiplicative symmetric monoidal structure).

\[ I \triangleq F_1 \]
\[ X \otimes Y \triangleq X \times Y \]
\[ \lambda_X : I \times X \simeq X \]
\[ \rho_X : X \times I \simeq X \]
\[ \alpha_{X,Y,Z} : (X \otimes Y) \otimes Z \simeq X \otimes (Y \otimes Z) \]
\[ \beta_{X,Y} : X \otimes Y \simeq Y \otimes X \]

Proposition B.10.

\[ (X \otimes I) \otimes Y \overset{\alpha_{X,1Y}}{\longrightarrow} X \otimes (I \otimes Y) \]
\[ = \overset{\rho_X \otimes 1_Y}{\longrightarrow} X \otimes Y \overset{1_X \otimes \lambda_Y}{\longrightarrow} \]

\[ (W \otimes X) \otimes (Y \otimes Z) \overset{a_{W\otimes X,Y,Z}}{\longrightarrow} ((W \otimes X) \otimes Y) \otimes Z \overset{a_{W,X,Y\otimes Z}}{\longrightarrow} W \otimes (X \otimes (Y \otimes Z)) \]
\[ = \overset{a_{W,X,Y,\otimes Z}}{\longrightarrow} W \otimes ((X \otimes Y) \otimes Z) \]
\[ = \overset{1_w \otimes a_{X,Y,Z}}{\longrightarrow} \]
\[
\begin{align*}
\alpha_{X,Y,Z} & : X \otimes (Y \otimes Z) \\
\beta_{X,Y,Z} & : (X \otimes Y) \otimes Z \\
\gamma_{Y,Z,X} & : (Y \otimes Z) \otimes X \\
\delta_{X,Y} & : X \otimes Y \\
\eta_{X,Y} & : Y \otimes X \\
\end{align*}
\]

**Proposition B.11 (Distributivity).**
\[
\begin{align*}
\delta_l : X \otimes (Y \oplus Z) & \simeq (X \otimes Y) \oplus (X \otimes Z) & a_l : X \otimes 0 \simeq 0 \\
\delta_r : (X \otimes Y) \oplus Z & \simeq (X \otimes Z) \oplus (Y \otimes Z) & a_r : 0 \otimes X \simeq 0
\end{align*}
\]

**C Supplementary Material for Section 5**

### C.1 Groups

From universal algebra, a group is simply a set with a 0-ary constant \( e \) (the neutral element), a binary operation \(-\cdot-\) for group multiplication, and a unary inverse operation \(-1\). The neutral element has to satisfy unit and inverse laws, and the multiplication has to be associative (see definition C.1).

A very simple example of a group is \( \mathbb{Z} \), where the neutral element is 0, the inverse of \( k \) is \(-k\), and the group multiplication is given by integer addition.

**Definition C.1 (Group).** In type theory, a group \( G \) can be defined as a set \( S \) with the following pieces of data:

1. a unit or neutral element \( e : S \)
2. a multiplication function \( m : S \times S \to S \) written as \( (g_1, g_2) \mapsto g_1 \cdot g_2 \), that satisfies
   a. the unit laws, for all \( g : S \), that \( g \cdot e = g \) and \( e \cdot g = g \)
   b. the associativity law, for all \( g_1, g_2, g_3 : S \), that \( g_1 \cdot (g_2 \cdot g_3) = (g_1 \cdot g_2) \cdot g_3 \)
3. an inversion function \( i : S \to S \) written as \( g \mapsto g^{-1} \), that satisfies
   a. the inverse laws, for all \( g : S \), that \( g \cdot g^{-1} = e \) and \( g^{-1} \cdot g = e \)

**Definition C.2 (Free group).** Given a set \( A \), the free group \( F(A) \) on it is given by a higher inductive type with the following point and path constructors. Notice the similarity with the definition of a group structure (definition C.1), but note that each operation here is a generator for \( F(A) \).

- An inclusion function \( \eta_A : A \to F(A) \)
- A multiplication function \( m : F(A) \times F(A) \to F(A) \)
- An element \( e : F(A) \)
- An inverse function \( i : F(A) \to F(A) \)
- For every \( x, y, z : F(A) \), a path assoc : \( m(x, m(y, z)) = m(m(x, y), z) \)
- For every \( x : F(A) \), paths unitr : \( m(x, e) = x \) and unitr : \( m(e, x) = x \)
- For every \( x : F(A) \), paths invr : \( m(x, i(x)) = e \) and invl : \( m(i(x), x) = e \)
- A 0-truncation, for every \( x, y : F(A) \) and \( p, q : x = y \), a 2-path trunc : \( p = q \)
Proposition 5.1 (Universal Property of $F(A)$). Given a group $G$ and a map $f : A \to G$, there is a unique group homomorphism $f^\# : \text{Hom}(F(A), G)$ such that $f^\# \circ \eta_A \sim f$. Equivalently, composition with $\eta_A$ gives an equivalence $\text{Hom}(F(A), G) \simeq A \to G$.

Alternatively, the type of group homomorphisms $h : \text{Hom}(F(A), G)$ satisfying $h \circ \eta_A \sim f$ is contractible.

\[
\begin{array}{c}
\eta_A \\
\downarrow \\
A \longleftarrow F(A) \longleftarrow f \\
\end{array}
\]

Definition C.3 ($\sim^*$: $\text{List}(\text{Fin}_n) \to \text{List}(\text{Fin}_n) \to \mathcal{U}$).

- $\sim^*$-refl : $\forall w \to w \sim^* w$
- $\sim^*$-sym : $\forall w_1, w_2 \to w_1 \sim^* w_2 \to w_2 \sim^* w_1$
- $\sim^*$-trans : $\forall w_1, w_2, w_3 \to w_1 \sim^* w_2 \to w_2 \sim^* w_3 \to w_1 \sim^* w_3$
- $\sim^*$-cong-# : $\forall w_1, w_2, w_3, w_4 \to w_1 \sim^* w_2 \to w_2 \sim^* w_3 \to w_4 \to w_1 \sim^* w_3 \sim^* w_2 \sim^* w_4$
- $\sim^*$-rel : $\forall w_1, w_2 \to w_1 \sim^* w_2 \to w_1 \sim^* w_1$

Definition C.4 ($\sim^+$: $\text{List}(\text{Fin}_n) \to \text{List}(\text{Fin}_n) \to \mathcal{U}$).

- $\sim^+$-refl : $\forall w \to w \sim^+ w$
- $\sim^+$-trans : $\forall w_1, w_2, w_3 \to w_1 \sim^+ w_2 \to w_2 \sim^+ w_3 \to w_1 \sim^+ w_3$

Definition C.5 (\$\sim^+$: $\text{List}(\text{Fin}_n) \to \text{List}(\text{Fin}_n) \to \mathcal{U}$).

- $\sim^+$-refl : $\forall w_1, w_2 \to w_1 \sim^+ w_2 \to w_1 \sim^+ w_2$
- $\sim^+$-trans : $\forall w_1, w_2, w_3 \to w_1 \sim^+ w_2 \to w_2 \sim^+ w_3 \to w_1 \sim^+ w_3$

Theorem 5.18.

1. For any Lehmer code $c$, $\text{em}_n(c)$ is a normal form with respect to $\sim^*$, that is, $\text{em}_n(c)$ is in $\text{im}(\text{nf})$.
2. Any element of $\text{im}(\text{nf})$ can be constructed from a unique Lehmer code by $\text{em}$, that is, the fibers of $\text{em}_n : \text{Lehmer}(n) \to \text{im}(\text{nf})$ are contractible.

Therefore, there is an equivalence between Lehmer($n$) and $\text{im}(\text{nf})$.

Proof. For any code $c : \text{Lehmer}(n)$, we have that

$\text{em}_n(c) = (r_0 \cancel{\sqrt{k_0}}) + (r_1 \cancel{\sqrt{k_1}}) + \cdots + (r_{m-1} \cancel{\sqrt{k_{m-1}}})$

is a concatenation of $n \geq m \geq 0$ non-empty strictly decreasing lists. Reductions in $\sim$ cannot happen inside any of the strictly decreasing $(r \cancel{\sqrt{k}})$: cancel* requires repeating elements, swap* acts when consecutive numbers differ by at least 2, and braid* acts on a non-monotone sequence. This leaves the case of reduction happening on a fragment that borders two (or more) subsequences. Again, cancel* requires two equal consecutive numbers, which would then have to be the last one in some $(r_i \cancel{\sqrt{k_i}})$ sequence, and the first one in the next $(r_{i+1} \cancel{\sqrt{k_{i+1}}})$. But the first number in a sequence $(r_{i+1} \cancel{\sqrt{k_{i+1}}})$ is larger than every number in $(r_i \cancel{\sqrt{k_i}})$ – which also shows why swap* cannot happen. The remaining case of braid* follows similarly, since its argument is a decreasing sequence followed immediately by a number equal to the first element of this sequence. □
Lemma 5.21.

(1) For any $k : \text{Fin}_{\mathbb{S}n}$, we have $\text{Fin}_{\mathbb{S}n}(k) \simeq \text{Fin}_n$.
(2) For any $n : \mathbb{N}$, we have $\text{Aut}(\text{Fin}_{\mathbb{S}n}) \simeq \sum_{k : \text{Fin}_{\mathbb{S}n}} (\text{Fin}_{\mathbb{S}n}(n) \simeq \text{Fin}_{\mathbb{S}n}(n - k))$.

Proof. The first and second propositions follow from simply constructing the bijections using the decidable equality of $\text{Fin}_n$, and making sure to punch-in and punch-out the element $k$ at the right place.

The third proposition performs some combinatorial tricks. On the left, we have the type of automorphisms of $\text{Fin}_{\mathbb{S}n}$. Assume a particular $\sigma : \text{Fin}_{\mathbb{S}n} \rightarrow \text{Fin}_{\mathbb{S}n}$. Pick $k$ to be the inversion count of $n$, the largest element in $\text{Fin}_{\mathbb{S}n}$. Then, the image of $n$ under $\phi$ has to be $n - k$, since all other elements in the set are smaller. Removing those two from the domain and codomain of $\sigma$, the rest of the elements are fixed by $\sigma$, so we compute the bijection between the rest of the elements.

For the other direction, if we are given a $k$ and a bijection $\pi$ between $\text{Fin}_{\mathbb{S}n}(n)$ and $\text{Fin}_{\mathbb{S}n}(n - k)$, we can extend $\pi$ to $\sigma : \text{Fin}_{\mathbb{S}n} \simeq \text{Fin}_{\mathbb{S}n}$ by inserting the element $n$ at the position $n - k$, resulting in the element $n$ having inversion count $k$. □

D SUPPLEMENTARY MATERIAL FOR SECTION 6

Proposition D.1. We can form a weak 2-category $\Pi_{\text{cat}}$ with

- $\Pi$ types ($U$) for 0-cells,
- for $X, Y : U$, a collection of 1-cells $X \leftrightarrow Y$,
- for $p, q : X \leftrightarrow Y$, a collection of 2-cells $p \Leftrightarrow q$.

Proposition D.2. We can form a weak 2-category $\Pi_{\text{cat}}^+$ with

- $\Pi^+$ types ($U^+$) for 0-cells,
- for $X, Y : U^+$, a collection of 1-cells $X \leftrightarrow^+ Y$,
- for $p, q : X \leftrightarrow^+ Y$, a collection of 2-cells $p \Leftrightarrow^+ q$.

Proposition D.3. We can form a weak 2-category $\Pi_{\text{cat}}^\wedge$ with

- $\Pi_{\text{cat}}^\wedge$ types ($U^\wedge$) for 0-cells,
- for $X, Y : U^\wedge$, a collection of 1-cells $X \leftrightarrow^\wedge Y$,
- for $p, q : X \leftrightarrow^\wedge Y$, a collection of 2-cells $p \Leftrightarrow^\wedge q$.

REFERENCES FOR THE APPENDIX

I. Petrakis. Univalent typoids. 2019.