Recent Progresses in Oxygen Reduction Reaction Electrocatalysts for Electrochemical Energy Applications

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Abstract
Electrochemical energy storage systems such as fuel cells and metal–air batteries can be used as clean power sources for electric vehicles. In these systems, one necessary reaction at the cathode is the catalysis of oxygen reduction reaction (ORR), which is the rate-determining factor affecting overall system performance. Therefore, to increase the rate of ORR for enhanced system performances, efficient electrocatalysts are essential. And although ORR electrocatalysts have been intensively explored and developed, significant breakthroughs have yet been achieved in terms of catalytic activity, stability, cost and associated electrochemical system performance. Based on this, this review will comprehensively present the recent progresses of ORR electrocatalysts, including precious metal catalysts, non-precious metal catalysts, single-atom catalysts and metal-free catalysts. In addition, major technical challenges are analyzed and possible future research directions to overcome these challenges are proposed to facilitate further research and development toward practical application.

Keywords Oxygen reduction reaction · Electrocatalyst · Precious metal catalyst · Non-precious metal catalyst · Single-atom catalyst · Metal-free catalyst

1 Introduction
Fossil fuels, including crude oil, coal and natural gas, as well as nuclear materials are major sources of energy that currently cover the majority of global energy demands [1]. However, fossil fuel reserves are limited and are expected to be depleted within a couple of centuries [1]. In addition, the combustion of fossil fuels is the main source of greenhouse gases (GHG), especially CO₂, which is believed to be the culprit for global warming [2]. And as a major source of demand for energy, transportation currently accounts for 30% of global energy consumption and 23% of GHG emission [3, 4]. Based on this, it is of great importance to find green alternatives to combustion engines and reduce GHG emissions. Here, proton exchange membrane (PEM) fuel cells and metal–air batteries stand out among various alternative techniques [5, 6] and are both GHG emission free [5–8]. For PEM fuel cells, hydrogen is used as the fuel and oxygen from air as the oxidant to produce power with only water as the end product whereas for metal–air batteries, metals such as Li, Zn, Mg, Al, etc., can be used the fuel electrode and oxygen (air) as the cathode oxidant to produce power. And although different “fuels” are required at the anodes of PEM fuel cells and metal–air batteries, the cathode reaction is always the oxygen reduction reaction (ORR), which in most cases is believed to be the rate-determining step of the overall electrochemical process due to its sluggish kinetics, thus leading to insufficient performances in corresponding devices [9–12]. To overcome this, various cathode electrocatalysts with high activity towards ORR are normally used in which precious metal catalysts, especially
Pt-based catalysts, are considered to be the most active [13–15]. However, these precious metal catalysts commonly suffer from drawbacks such as high prices and less tolerance to contaminants [16, 17]. Therefore, researchers are devoting great attention to the development of non-precious metal catalysts (NPMC) to replace precious metals in which Fe, Co, Ni, Mg and other metals are being explored to fabricate catalysts with remarkable ORR activity [10, 18–24]. In addition, researchers are also exploring metal-free catalysts that have shown promising ORR activities [16, 17, 25–33]. And based on all of this, this review will present the progresses, challenges and perspectives in the development of ORR electrocatalysts. Furthermore, possible research directions are proposed to facilitate future research and development.

2 Oxygen Reduction Reaction

In general, ORR occurs mainly through two pathways in which one is the 4-electron reduction of O2 to H2O in acidic media or OH\textsuperscript{−} in basic media whereas the other is the 2-electron reduction of O2 to H2O2 in acidic media or H2O\textsubscript{2−} in basic media. The thermodynamic reaction potentials for each pathway are listed in Table 1.

As for kinetic ORR sequences, these are more complex and involve many intermediate and elementary steps (electron transfer or chemical reactions) that are dependent on the nature of the catalyst and electrolyte [34] in which in alkaline electrolytes, ORR can occur through associative or dissociative mechanisms [30, 35–37]. For the associative mechanism in alkaline media, ORR begins with the associative adsorption of O2 and the overall reaction mechanism can be summarized as follows (*represents a surface free site on the catalyst):

### Table 1 ORR pathways on electrodes in aqueous electrolytes [34]

| Electrolyte   | Reaction pathway                                      | Reaction potential (V vs. NHE) |
|---------------|-------------------------------------------------------|--------------------------------|
| Alkaline electrolyte | 4e\textsuperscript{−} pathway:                         |                                |
|                | O\textsubscript{2} + 2H\textsubscript{2}O + 4e\textsuperscript{−} → 4OH\textsuperscript{−} | +0.401                         |
|                | Peroxide pathway:                                      |                                |
|                | O\textsubscript{2} + H\textsubscript{2}O + 2e\textsuperscript{−} → HO\textsubscript{2} + OH\textsuperscript{−} | +0.065                         |
|                | HO\textsubscript{2} + H\textsubscript{2}O + 2e\textsuperscript{−} → 3OH\textsuperscript{−} | +0.867                         |
|                | Or                                                     | Decomposition                  |
| Acidic electrolyte | 4e\textsuperscript{−} pathway:                        |                                |
|                | O\textsubscript{2} + 4H\textsuperscript{+} + 4e\textsuperscript{−} → 2H\textsubscript{2}O | +1.229                         |
|                | Peroxide pathway:                                      |                                |
|                | O\textsubscript{2} + 2H\textsuperscript{+} + 2e\textsuperscript{−} → H\textsubscript{2}O\textsubscript{2} | +0.67                          |
|                | H\textsubscript{2}O\textsubscript{2} + 2H\textsuperscript{+} + 2e\textsuperscript{−} → 2H\textsubscript{2}O | +1.77                          |
|                | Or                                                     | Decomposition                  |

O\textsubscript{2} + * = O\textsubscript{2(ads)}    \textsuperscript{(1)}

O\textsubscript{2(ads)} + H\textsubscript{2}O + e\textsuperscript{−} → OOH\textsubscript{(ads)} + OH\textsuperscript{−} \textsuperscript{(2)}

OOH\textsubscript{(ads)} + e\textsuperscript{−} → O\textsubscript{(ads)} + OH\textsuperscript{−} \textsuperscript{(3)}

O\textsubscript{(ads)} + H\textsubscript{2}O + e\textsuperscript{−} → OH\textsubscript{(ads)} + OH\textsuperscript{−} \textsuperscript{(4)}

OH\textsubscript{(ads)} + e\textsuperscript{−} → OH\textsuperscript{−} + * \textsuperscript{(5)}

Here, four electrons in total are accepted by O2, resulting in 4 OH\textsuperscript{−} ions being produced to complete a 4-electron ORR. Alternatively, if OOH\textsubscript{(ads)} accepts an electron, desorption may occur in which peroxide ions are formed and leave the catalytic site, resulting in the termination of the reaction chain and 2-electron ORR as represented in the following equation:

OOH\textsubscript{(ads)} + e\textsuperscript{−} → OOH\textsuperscript{−} + * \textsuperscript{(6)}

As for the dissociative mechanism in alkaline media, this reaction is simpler in which instead of going through Reactions (1) to (3), O2 adsorbed on free sites directly dissociates into two O\textsubscript{(ads)}, also consuming four electrons and completing a 4-electron ORR as represented in the following equations:

\[ \frac{1}{2}O_2 + * \rightarrow O_{(ads)} \] \textsuperscript{(7)}

O\textsubscript{(ads)} + H\textsubscript{2}O + e\textsuperscript{−} → OH\textsubscript{(ads)} + OH\textsuperscript{−} \textsuperscript{(4)}

OH\textsubscript{(ads)} + e\textsuperscript{−} → OH\textsuperscript{−} + * \textsuperscript{(5)}

As for acidic electrolytes, a similar reaction mechanism occurs in which in the presence of protons, the reaction route will change to:

O\textsubscript{2(ads)} + H\textsuperscript{+} + e\textsuperscript{−} → OOH\textsubscript{(ads)} \textsuperscript{(2′)}

OOH\textsubscript{(ads)} + H\textsuperscript{+} + e\textsuperscript{−} → O\textsubscript{(ads)} + H\textsubscript{2}O \textsuperscript{(3′)}

O\textsubscript{(ads)} + H\textsuperscript{+} + e\textsuperscript{−} → OH\textsubscript{(ads)} \textsuperscript{(4′)}

OH\textsubscript{(ads)} + H\textsuperscript{+} + e\textsuperscript{−} → H\textsubscript{2}O\textsubscript{2} + * \textsuperscript{(5′)}

Here, four protons and four electrons are consumed and O2 is completely reduced into two H2O. In addition, Reaction (6) will also change to Reaction (6′):

OOH\textsubscript{(ads)} + H\textsuperscript{+} + e\textsuperscript{−} → H\textsubscript{2}O\textsubscript{2} + * \textsuperscript{(6′)}

And based on these mechanisms, it is clear that the improvement of kinetics and the reduction in overpotentials for ORR in both acidic and alkaline media involve avoiding the production of H2O\textsubscript{2} or HO\textsubscript{2−} for more efficient 4-electron pathways. And as mentioned above, suitable electrocatalysts can effectively influence reaction mechanisms and guide ORR through more efficient pathways.
3 Electrocatalysts for Oxygen Reduction Reactions

3.1 Metal catalysts for Oxygen Reduction Reactions

3.1.1 Precious Metal Catalysts

In general, precious metal catalysts, especially Pt-based catalysts, possess high stability and superior electrocatalytic activity in which in many studies, Pt is often chosen as the reference or baseline catalyst in the exploration of other catalysts such as NPMCs [38]. And although Pt nanoparticles supported by high-surface-area carbon remain the most successfully commercialized ORR electrocatalysts, Pt is rare and expensive, which limits large-scale application. Overall, the goal of catalyst development, including for Pt and other precious metal-based catalysts, as summarized by Wei et al. [39] is to increase the total number and/or the intrinsic activity of active sites (Fig. 1). To achieve this, researchers have developed many Pt-based catalysts with tunable sizes and morphologies [40–44], including core–shell structured [45–49] and alloy catalysts [50–55]. Here, core–shell structured and alloy catalysts are promising because they can not only reduce Pt loading by incorporating other metal(s) to allow for higher mass activity (A mgPt$^{-1}$), but can also surpass the intrinsic activity of Pt catalysts through interactions between Pt and metal counterparts or core metals that can affect electronic structures [43, 56, 57].

Pt Alloy Catalysts Pt alloys with other metals possess superior ORR activities as first discovered three decades ago [58] in which the origin of this higher ORR activity is based on the modification of the electronic structure of Pt, which can affect the adsorption strength of oxygen-containing species on active sites [49, 51, 59–63]. Here, a volcano relationship was demonstrated by Nørskov et al. [64] in which the adsorption energy should be neither too small nor too big to balance reactant adsorption ability and resultant desorption ability. Similar relationships have also been established for Pt alloying with different metals and the tuning of metal ratios (Fig. 2) [50, 53, 65]. In addition, Pt-skin-like surfaces can form during initial treatment and potential cycling to provide more active sites and higher ORR activities to catalysts [58]. Despite these results however, Pt alloyed with transition metals also tends to suffer from leaching or dissolution, which will lead to insufficient stability [49, 56] and undermine the advantages of Pt alloy catalysts.

Core–shell Structured Catalysts Pt bimetallic catalysts with core–shell structures are a special type of Pt alloy catalyst in which Pt shells can protect transition metal cores. Here, not only can the dissolution of transition metal cores be mitigated, the interactions between Pt and core metal(s) are also strong, thus inheriting the advantages of Pt alloy catalysts [48, 56, 57]. In addition, because only small amounts of surface Pt is utilized during catalytic reactions in these core–shell structures, Pt utilization is maximized, which fulfills the principles of atom economy [66, 67]. For example, Strasser et al. [68] reported that dealloyed Pt–Cu core–shell structures can possess extraordinary ORR activity. Here, these researchers reported that strain can form in Pt-enriched shells supported on Cu cores with a smaller lattice parameter and that the compressive strain in the shell can tune the electronic band structure of Pt and weaken the chemisorption of reactive intermediates, thus resulting in increased ORR activity [37].

Researchers have also observed that Pt alloy catalysts with much higher oxide coverage and faster oxide growth
than pure Pt can display excellent ORR activity [69, 70], which conflicts with the conventional knowledge that pure metal surfaces should be more catalytic active than corresponding metal oxide surfaces, indicating that more complex mechanisms are involved for Pt alloy electrocatalysts. Therefore, further investigation into the effects of composition, structure, size and shape of Pt alloys on ORR activity is essential to the design and fabrication of more efficient and economically practical catalysts [38].

### 3.1.2 Non-precious Metal Catalysts

NPMCs have been intensively investigated due to the possibility of eliminating the usage of Pt or other precious metals in which the unique structure of NPMCs (oxides, nitrides, phosphides, etc.) can provide vast possibilities for the tuning of catalyst activity, selectivity, and durability [71, 72].

**Transition Metal Oxides**

Transition metal oxides, including single metal oxides and mixed metal oxides, are representative ORR electrocatalysts that are earth abundant, inexpensive, easy to prepare, environmentally benign and more importantly, possess comparable catalytic activities to Pt-based catalysts.

Transition metals of group VIIB and VIII elements such as Mn possess multiple valence states and various oxides such as MnO, MnO$_2$, Mn$_2$O$_3$ and MnO$_2$, in which the valence states of Mn including Mn(II), Mn(III) and Mn(IV), respectively [38]. Here, the electrocatalyst application of these manganese oxides (MnO$_x$) have been intensively investigated after the ORR activity of MnO$_2$ was first reported in the early 1970s [73–78]. As a result, the chemical composition, texture, morphology, oxidation state and crystalline structure of MnO$_2$ have been examined in electrocatalytic applications. For example, the crystallographic structures of MnO$_2$ were reported to possess catalytic activities in alkaline electrolytes following the order: α-MnO$_2$ > amorphous MnO$_2$ > β-MnO$_2$ > γ-MnO$_2$ [79, 80] in which these activities were believed to be related to their intrinsic tunnel size and electrical conductivity. Delmondo et al. [81] also reported the thermal evolution of MnO$_x$ nanofibers with changed ORR activity in which at a lower temperature zone (475–575 °C), MnO$_x$ existed as a single phase of Mn$_2$O$_4$ whereas a single phase of Mn$_2$O$_3$ was found at a higher temperature zone (above 725 °C). And although both phases exhibited good ORR activity, the mixture of these two phases at temperatures between 575 and 625 °C provided poor activity and selectivity. Researchers have also reported that Mn$^{III}$/Mn$^{IV}$ species were the intermediates during ORR and that the doping of low-valent metal elements into MnO$_x$ can enhance overall ORR activity due to the ability of these elements to stabilize Mn$^{III}$/Mn$^{IV}$ species [82]. Alternatively, Risch et al. [83] also studied the redox process of MnO$_2$ during ORR using in situ soft X-ray absorption spectroscopy and reported that Mn$^{III}$/Mn$^{II}$ instead of Mn$^{III}$/Mn$^{IV}$ species were the species relevant to ORR and that the Mn$^{III}$/Mn$^{IV}$ pair was believed to be more related the oxygen evolution reaction (OER). Furthermore, researchers have also reported that aside from valence status, the micro-morphology of manganese oxides can also affect electrocatalytic activity in which suitable morphologies such as nanorods [84, 85], nanowires [86, 87] and nanospheres [88] can profoundly enhance ORR activity.

Cobalt oxides also possess high electrocatalytic activities and tunable compositions and are another type of promising candidates to catalyze ORR [73]. The most commonly investigated cobalt oxide is Co$_3$O$_4$ in which Co$^{2+}$ and Co$^{3+}$ ions coexist in the crystal structure. And although the exact catalytic mechanism for Co$_3$O$_4$ active sites remains unclear, different assumptions have been made. For example, Xu et al. [89] reported that Co$_3$O$_4$ nanorods with more exposed surface Co$^{3+}$ ions can lead to higher catalytic ORR activities that can surpass the activity of Pd catalysts, suggesting that surface Co$^{3+}$ ions on Co$_3$O$_4$ electrocatalysts play a determinant role in ORR performance. Alternatively, He et al. [90] believed that increased ORR activity is associated with higher amounts of Co$^{2+}$ whereas higher amounts of Co$^{3+}$ will lead to better OER activity in which these researchers suggested that Co$^{3+}$ active sites can enhance the adsorption of OH$^-$ anions, which can act as reactants for OER. These researchers also suggested that more Co$^{2+}$ active sites can aid in the adsorption of O$_2$ and subsequent electron transfer to generate OH$^-$ through ORR. Osgood et al. [91] also suggested that the increased availability and faster frequency of these reactants occurring at active sites due to the respective Co oxidation states can enhance reaction rates. And because ORR is a surface-structure-sensitive reaction and Co cations play an essential role, the tailoring of Co$_3$O$_4$ morphology to provide more active sites is an effective strategy to enhance ORR activity.

Intrinsically, single metal oxides possess poor electric conductivity; therefore, supporting them on conductive substrates such as carbon materials is necessary to enhance catalytic performance [80, 92–94]. Moreover, the interaction between metal oxides and substrates can affect ORR activity [92, 93, 95, 96]. For example, Savinova et al. [92] reported that in addition to being a conductive support to enhance the conductivity of MnO$_x$ and providing additional active sites, carbon support materials can actually compete with oxides during O$_2$ adsorption. And based on this observation, these researchers suggested that carbon materials with high surface areas should be avoided to relieve this competition. Alternatively, Tong et al. [93] coupled Co$_3$O$_4$ to B,N-decorated graphene (CoO$_x$/BNG) and reported that Co–N–C species formed and acted as a bridge between Co$_3$O$_4$ nanoparticles and BNG, resulting in excellent ORR activities,
which these researchers ascribed to the interactions between CoOₓ and BNG.

Mixed-metal (bi-metal, tri-metal or more) oxides have also been extensively investigated to catalyze ORR because they naturally possess metals with different valence states. And of these mixed-metal oxides, spinel-type and perovskite-type oxides are the most investigated [73].

(a) Spinel-type oxides [97–102]: Spinel-type oxides are a group of oxides with the formula: A²⁺B³⁺O₄²⁻, in which A is a divalent metal ion (Mg²⁺, Fe²⁺, Mn²⁺, Ni²⁺, etc.) and B is a trivalent metal ion (Al³⁺, Fe³⁺, Co³⁺, Cr³⁺, etc.). Typical spinel structures (Fig. 3a) are usually cubic close-packed oxides with two tetrahedral and four octahedral sites per formula unit in which if B³⁺ ions occupy octahedral holes and A²⁺ ions occupy tetrahedral holes; the structure is called a “normal spinel.” Alternatively, if B³⁺ ions occupy tetrahedral holes and half of the octahedral holes and A²⁺ ions occupy the rest of the octahedral holes, the structure is called an “inverse spinel.” And in some occasions, these two types of structures can coexist in one material with the corresponding structure called a “mixed spinel” [103]. Spinel oxides can exhibit good ORR activity in alkaline electrolytes [97–102, 104] and Mn and Co are the two most investigated metal species because both possess multiple valence states and can provide various possibilities [23, 75, 101, 102, 105]. For example, Zhou et al. [102] explored the effects of Mn–Co compositional change on ORR activity by studying the catalytic properties of a series of edge sharing [CoₓMn₁₋ₓO₆] octahedra units and reported that the ORR activity of spinels mainly originate from octahedral units. Here, these researchers proposed that the super-exchange interaction (Mn–O–Co) between mixed [MnO₆]–[CoO₆] octahedra through the oxygen “edge” in spinel lattices can dominate the electronic behavior of octahedral metal cations.

Overall, spinel oxides are normally conductors or semiconductors due to their mixed valence states in which electronic transfer can occur with relatively low activation energies between the cations of different valence states through hopping, allowing spinel oxides to not only be used as catalysts but also as electrode materials [73], which can resolve issues faced by single metal oxides.

(b) Perovskite-type oxides: Perovskites are oxides with a general formula of ABO₃ and have been extensively investigated due to their bifunctional catalytic ability in alkaline electrolytes [73, 107–110]. The basic structure of perovskite-type oxides involves an orthorhombic structure with A-site cations being coordinated to 12 oxygen ions to form a cuboctahedral coordination environment and B-site cations being coordinated to six oxygen ions to form an octahedral geometry (Fig. 3b) [106], allowing for high stability at high temperatures. In addition, ~90% of natural metallic elements are known to be stable in a perovskite-type oxide structure [108] in which perovskites can be partially substituted to form materials with the formula A₁₋ₓA′ₓB₁₋ₓB′ₓO₃. The properties of these perovskites can also largely differ from origin oxides, and therefore, the structural variation of perovskite-type oxides makes them promising for catalytic applications.

La-based perovskite oxides with La ions located in A-sites have been extensively investigated and applied in various applications [111–116], particularly in the catalysis of ORR [109, 110, 116]. For example, Sunarso et al. [109] investigated the ORR activities of La-based perovskite oxides in alkaline media and reported that the increasing order of ORR activity for La-based perovskite oxides with different transition metal ions in B-sites was: LaCrO₃ < LaFeO₃ < LaNiO₃ < LaMnO₃ < LaCoO₃. In addition, these researchers also reported that if half of the B-site metals were replaced with Ni, the order of ORR activity changes to: LaNiO₃ < LaNi₀.₅Fe₀.₅O₃ < LaNi₀.₅Cr₀.₅O₃ < LaNi₀.₅Mn₀.₅O₃ and that the ORR onset potentials of the substituted oxides were improved, suggesting the beneficial effects of substitution by two transition metal cations.

**Transition Metal Nitrides** The significant electronegativity difference between metals and N can allow for charge delocalization in nitrides, which can create basic or acidic sites with good catalytic activity towards isomerization,
dehydrogenation, hydrogenation, etc. [73]. The first nitride to be used to catalyze ORR in 1963 was TiN, which was found to possess good ORR activity and excellent electronic conductivity in alkaline electrolytes [117]. Because of this, transition metal nitride catalysts have been intensively explored for ORR catalysis. For example, Mn₄N was found to possess high ORR activity in alkaline electrolytes and provide a direct 4-electron ORR mechanism mechanism [118]. In addition, molybdenum nitrides have also been investigated as ORR electrocatalysts [119–121]. Overall, the research into metal nitrides focuses more on N-doped carbon-supported metal nitride composites, not only because these N-doped carbon materials can provide extra ORR activity through the synergistic effects between supports and nitrides, but also because of the possible formation of metal–Nₓ moieties during synthesis, which can show high catalytic activity towards ORR [122–126].

As for metal–Nₓ moieties, metal and N can both contribute to catalytic activity; however, it is difficult to determine individual contribution. To address this, Wang et al. [127] recently reported the successful exclusion of Fe–Nₓ moieties in Fe–N–C systems (Fe₂N and N-doped graphene) in which these researchers used DFT calculations to determine that e-FeₓN (x ≤ 2.1) was more active than ζ-Fe₂N in the catalysis of ORR.

Researchers have also reported that CuₓN can be prepared through a one-phase process in which the crystal size of the product can be easily tuned using different primary amines as capping agents. Here, the resulting nanocrystal structures can lead to improved ORR activities in alkaline fuel cells [128] and this enhanced activity can be partially attributed to the nanostructure. This is because nanostructured materials possess additional advantages for electrocatalysis due to the favorable access of electrolytes to reactive sites, thus promoting the mass transfer of reactant gases and ions conducting in the catalyst layer [73, 129, 130]. For example, Chen et al. [131] demonstrated that TiN nanoparticles can be directly synthesized on carbon black supports using an mpg–C₃N₄–carbon black composite as a template and resulted in strong contact between the TiN and carbon support in the resulting catalyst and high ORR activity in a corresponding acidic fuel cell.

**Transition Metal Phosphides** Recently, the electrocatalytic performance of transition metal phosphides (TMPs) have also been explored [132–134]. Although TMPs such as iron phosphide [135], nickel phosphide [136], cobalt phosphide [137] and others [138–145] have been reported to possess catalytic activities toward hydrogen evolution reaction (HER), they have rarely been employed as ORR catalysts. However, researchers such as Yang et al. [146] reported that urchin-like CoP nanocrystals can possess both HER and ORR activities in acidic media. Doan-Nguyen et al. [147] also prepared Co₃P nanorods through the thermal decomposition of metal salt precursors and reported uniform crystals with ORR activity and stability in alkaline electrolytes. In addition, TMPs have also been composited with other materials such as carbon materials to achieve better performances. For example, Chen et al. [148] synthesized M₂P (M = Co, Mn, Ni)/heteroatom-doped carbon nanotube composites and reported that all of their M₂P were successfully synthesized and exhibited ORR activities in the order of: Mn₂P > Co₁P > Ni₂P in alkaline media.

**ORR activity catalyzed by TMPs** was also reported by Zhang et al. [149]. Here, FeP embedded in N, P dual-doped porous carbon nanosheets was synthesized through the pyrolysis of precursor powders consisting of iron phytate and folic acid (Fig. 4a) with the resulting TEM images (Fig. 4b) showing 18–40 nm carbon nanosheet confined FeP nanoparticles. And in subsequent electrochemical evaluations (Fig. 4c), it was found that the onset potential of FeP@NPCs was comparable to that of Pt/C and that the half-wave potential was ~ 15 mV more positive than Pt/C. Here, these superb performances were ascribed to the enhancement of charge delocalization and the asymmetric spin density of carbon atoms due to the doping of P and the good electric conductivity and high intrinsic ORR activity of the TMPs.

### 3.2 Single-Atom Catalysts

The concept of single-atom catalysts (SACs) was first proposed by Qiao et al. [150] in 2011 and has been extended to many catalytic areas and is a fast-growing area of research [36, 151–153]. In SACs, each metal atom can act as one catalytic active site or center in which the interaction between single atoms and supports can lead to promising properties [154].

#### 3.2.1 Precious Metal Single-Atom Catalysts

Precious metals can provide excellent ORR activities but are cost prohibitive. Therefore, the synthesis of precious metal SACs is an effective method to maximize utilization and reduce costs. In addition, the uniformity of the surrounding environment of centered metal atoms can allow for better rational designs and modeling for mechanism studies [44, 155–159]. However, actual ORR activities in these precious metal SACs are not ideal in which most reported precious metal SACs provided ORR activities that were barely comparable to that of Pt nanoparticles (Pt/C) [44, 155, 157–159]. Furthermore, facets on precious metal nanoparticles such as Pt(111) appeared to be actually more active than precious metal single atoms. For more information concerning precious metal SACs, a recent review has recently been published [160].
3.2.2 Non-precious Metal Single Atom Catalysts

The use of inexpensive transition metals to replace precious metals can reduce costs and facilitate large-scale application. Because of this, this has become a trend in the design and fabrication of ORR catalysts, including non-precious metal SACs.

**Metal–nitrogen Complex** Transition metal–nitrogen complex materials (M–N$_x$/C, M = Co, Fe, Ni, Mn, etc. and normally $x = 2$ or 4) with limited metal and inexpensive carbon supports have showed promising ORR activities [10, 20, 21, 24, 129, 161] and based on synthesis processes, M–N$_x$/C catalysts can be classified into non-pyrolyzed catalysts with organic states and pyrolyzed catalysts with inorganic states. Here, non-pyrolyzed M–N$_x$/C catalysts can maintain well-defined structures of macrocycle complexes during simple synthesis procedures and can provide favorable structural control for their activity. Alternatively, pyrolyzed M–N$_x$/C catalysts are normally obtained through heat-treating non-pyrolyzed M–N$_x$/C and can result in superb catalytic activities.

(a) **Non-pyrolyzed M–N$_x$/C materials** Transition metal macrocycle compounds have been employed in many catalysis applications for various processes over the decades [129, 162]. And after M–N$_x$/C materials (cobalt phthalocyanines, CoPc, metal–N$_4$ chelates) were reported to be able to catalyze ORR in 25% KOH electrolyte [163], transition metal porphyrins such as tetraphenyl porphyrin, tetramethoxy tetraphenyl porphyrin and phthalocyanine (Pc) have been thoroughly studied in the development of novel ORR electrocatalysts [73, 129, 164–167]. Although the results of these studies have not been ideal because the activity and stability of these non-pyrolyzed M–N$_x$/C catalysts were insufficient for energy storage systems [129], they can act as example systems for fundamental research because their well-defined structures can allow researchers to easily correlate catalyst structural features to exhibited ORR activities and stabilities in which the ORR activity catalyzed by these well-defined structured catalysts has been found to be directly related to the metal ion centers coordinated by ligands [168]. Here, corresponding ORR activity in alkaline electrolytes can be plotted using a volcano correlation based on various reports and through comparisons of DFT-calculated O$_2$ binding energies on the metal centers of different M–N$_x$/C catalysts (Fig. 5a) [169] in which researchers have suggested that binding energies should be neither...
too weak to facilitate the adsorption of $O_2$ nor too strong to allow for the release of intermediates such as $H_2O_2$ for subsequent reaction steps. Moreover, an interesting phenomenon was also reported in which the two sides of the volcano plot can separate 4-electron pathway catalysts from 2-electron pathway catalysts. Recently, Xu et al. [170] took one step further and proposed a universal descriptor $\varphi$ to correlate the $\Delta G_{OH^*}$ of most transition metal single atoms supported on graphene and reached the conclusion that the catalytic activity of SACs was highly correlated with the coordination number and electronegativity of the metal center as well as the electronegativity of the nearest neighboring atom. These researchers subsequently proved their theory using experimental data and further extended $\varphi$ to single transition metal atoms supported on macrocyclic molecules (Fig. 5b, c), resulting in the finding that Fe-pyridine/pyrrole–$N_4$ materials were the most suitable SACs for ORR. And overall, the results in this study can provide good rational design principles for future SAC research.

(b) Pyrolyzed $M$–$N_x$/$C$ materials Non-pyrolyzed $M$–$N_x$/$C$ materials suffer from poor stability and low ORR activity. A significant breakthrough was made however in which the high-temperature (400 to 1000 °C) treatment of non-pyrolyzed $M$–$N_x$/$C$ materials was introduced to catalyst synthesis, leading to significant enhancements in both catalytic activity and stability [73, 129, 171–173]. And with progress in the synthesis methods of pyrolyzed $M$–$N_x$/$C$ materials, researchers have found that macrocycle compounds were not necessarily the only precursor choice and that the simple pyrolysis of transition metal, carbon and nitrogen containing precursors can also produce catalytically active $M$–$N_x$/$C$ catalysts for ORR, providing tremendous possibilities for the development of efficient non-noble metal catalysts. To further enhance the ORR activity and stability of pyrolyzed $M$–$N_x$/$C$ catalysts, research has also been dedicated to the optimization of other important factors, including transition metal type and loading, carbon supports, nitrogen content and heat treatment conditions and duration [168]. Several transition metals including Fe, Co, Mn, Ni, Cu and Cr have also been investigated as active centers for $M$–$N_x$/$C$ catalysts, and many researchers have suggested that Fe and Co are the most active transition metal ion centers for ORR catalysis [72, 129, 168]. In addition, researchers have also reported that the ORR activity of $M$–$N_x$/$C$ catalysts can be significantly enhanced if one catalyst contained two or more different types of metal ion centers in which in the synthesis of pyrolyzed $M$–$N_x$/$C$ catalysts, metal precursors were either inorganic metal salts or organometallic complexes. Furthermore, the introduction of N onto catalyst surfaces is considered to be the most critical step in determining catalyst performance [73]. Here, N precursors include gaseous materials such as $NH_3$ or $CH_3CN$ [174], organic small molecules such as pyrrole [175], phenanthroline [24] or ethylenediamine [176] and N-containing polymers such as polyaniline [177]. As for carbon supports, researchers have reported that disordered carbon degree and micro-porosity were both influential factors [178, 179]. And therefore, the development

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**Fig. 5** a Activity volcano correlation for the reduction of $O_2$ in 0.1 m NaOH on different molecular $MN_4$ catalysts adsorbed on ordinary pyrolytic graphite. Reprinted with permission from [169]. b Scatter of adsorption free energy of OH versus the descriptor $\varphi$ for all single transition metal atoms supported on macrocyclic molecules. c Scatter of adsorption free energy of H versus the descriptor $\varphi$ for all single transition metal atoms supported on macrocyclic molecules. The black line represents the function obtained from single transition metal atoms supported on graphene. Mean absolute differences (MAE) between predicted values based on the black line and calculated values are given. Reprinted with permission from [170]
of highly efficient M–Nₓ/C catalysts requires holistic optimizations. For example, Lefèvre et al. [24] synthesized a Fe-based catalyst with ORR catalytic activities comparable to Pt in a polymer electrolyte fuel cell and reported that optimal site densities can be achieved by mixing and pyrolyzing precursors in a specific procedure involving two-phase heat treatment under different atmospheres.

Active sites are important for oxygen electrocatalysts; however, the actual configuration of these active sites remains unclear, especially in the case of pyrolyzed M–Nₓ/C catalysts [73]. And although many results have suggested that transition metal ions coordinated by pyridinic N functional groups are the active sites, many alternative coordination structures for active sites have been proposed as well, including edge plane M–Nₓ/C and M–N₄/C species, basal plane macrocyclic M–Nₓ/C species and graphitic nitrogen N/C species. However, none of these can provide enough evidence to clarify the overall picture [168]. Furthermore, Jia et al. [180] recently proposed three types of Fe–Nₓ/C active centers (Fig. 6) and suggested that different Fe–N₄ switching behaviors during ORR in acidic media existed and are controlled by the dynamic structure associated with Fe³⁺/Fe²⁺ redox transition [169] in which the higher catalytic activity of pyrolyzed Fe–Nₓ/C may be associated with the more electron-withdrawing environment around the Fe–N coordination structure as induced by pyrolysis, leading to a positive shift in the Fe³⁺/Fe²⁺ redox potential for ORR.

**Other Single Atom Catalysts**

The ORR activity of SACs is highly dependent on the properties of metal centers and their surrounding environments. In addition, the binding of metal centers with N, the synthesis of MN₄ structures, the introduction of more nonmetal elements and the formation of similar structures can all enhance SAC performances. Recently, Li et al. [181] also reported a simple impregnation-pyrolysis method to produce SACs with M–N–P–C complex structures from biomass in which SACs with Fe, Co, Ni, Mn and Cr metal centers were prepared by simply changing the metal precursor. As a result, the Fe–N–P–C material reportedly provided a high ORR activity comparable to that of most state-of-the-art transition metal catalysts. And by using DFT, XPS and XAFS, the structures of these M–N–P–C catalysts and the catalytic reaction cycles were studied (Fig. 7) [182], revealing that similar to MN₄ structures, the performance of M–N–P–C composites was determined by metal centers in which ORR activity was in the order of: Fe–NPWB > Co–NPWB > Cu–NPWB > Mn–NPWB > Ni–NPWB > Cr–NPWB. Moreover, these researchers also reported that the ORR activity of these catalysts correlated to the 1st ionization energy of each metal center.

### 3.3 Metal-Free Catalysts

The replacement of precious metals with transition metals is an effective method to reduce costs and improve performance in ORR catalysts. In addition, the use of functionalized carbon materials as supports can significantly reduce the amount of transition metals needed in corresponding catalysts. Aside from these methods, researchers have also reported that metal-free carbon materials can show ORR activity in alkaline electrolytes in which carbon nanomaterials have been recognized as ideal candidates for many applications including metal-free ORR catalysis due to

![Fig. 6 Three different FeIII,N and FeII,N structures of the pyrolyzed catalyst. Reprinted with permission from [180]](image)

![Fig. 7 Schematic of ORR on Fe–N–P–C catalysts. The inset in the cycle shows the free energy diagram for ORR on this catalyst in alkali media. For U<−0.45 V (vs. NHE), all steps were thermodynamically accessible. Reprinted with permission from [182]](image)
their unique surface and bulk properties, wide availability, environmental acceptability and corrosion resistance [183, 184]. In addition, various forms of carbon materials possess different electronic, optical, spectroscopic and electrochemical properties [38]. Furthermore, the introduction of heteroatoms such as N into the surface of carbon materials can further modify electron modulation and tune surface electronic structures, leading to efficient catalysts for different processes, including ORR [34].

3.3.1 Single Heteroatom-Doped Carbon Materials

Most pristine carbon materials show low catalytic activity for ORR in aqueous electrolytes [73], and although some catalytic ORR activity can be found in graphitic structured carbon materials with edge-plane sites, these usually present a two-step 2-electron pathway [38, 185]. Here, researchers have reported that one method to improve ORR activity is to introduce heteroatoms onto the surface of carbon materials, which can break the electroneutrality of carbon to create charged sites favorable for O₂ adsorption and the effective utilization of carbon π electrons for ORR [34].

Nitrogen-Doped Carbon Materials N is an efficient functional component or dopant for carbon materials that can be used to mitigate activity degradation and as a result has been intensively investigated [38]. For example, Gong et al. [186] found that vertically aligned N-containing carbon nanotubes (VA-NCNTs, Fig. 8a, b) can act as a metal-free ORR catalyst and possessed improved electrocatalytic activity, long-term operation stability and high tolerance towards the crossover effects of methanol and CO poisoning. In addition, these researchers found that VA-NCNTs can even outperform commercial Pt/C catalysts in alkaline electrolytes (Fig. 8c) and attributed the improved electrocatalytic activity to the change in electronic structure during the doping of carbon nanotubes in which the incorporation of electron-accepting N atoms in the conjugated nanotube carbon plane can produce relatively high positive charge density on adjacent carbon atoms (Fig. 8d). And as a result of the synergistic effects of N-doping and vertically aligned structure, superior ORR performances with a 4-electron pathway can be obtained.

The nature of active sites and ORR mechanisms for N-containing carbon materials remain unclear due to the difficulty in selectively controlling the form of N-groups [34, 38]. To address this, one possible method is to use organic precursors with well-defined uniform N-containing structures as precursors for synthesis. However, the carbonization of these organic precursors can form sp² carbon structures, which will generally lead to the migration of N atoms onto carbon surfaces, resulting in the formation of different N-groups [187]. Recently, Guo et al. [188] addressed this issue by selectively introducing pyridinic N and graphitic N separately into highly oriented pyrolytic graphite (HOPG) and demonstrated that pyridinic N-dominated HOPG possessed relatively high ORR activity in acidic media and that ORR activity was linearly related to the concentration of pyridinic N. These researchers also tested CO₂ temperature programmed desorption and found that acidic CO₂ molecules were only adsorbed on ORR active pyridinic N sites, suggesting that the introduction of pyridinic N sites can create Lewis basic sites on carbon adjacent to N atoms, leading to the proposal of a possible mechanism for ORR (Fig. 9).

Phosphorus-Doped Carbon Materials P possesses smaller electronegativity (2.19) and a larger atom size than carbon and has also been investigated as a possible element to substitute sp² carbon atoms and modify electronic structures [34]. And although there have been many efforts to develop highly active ORR catalysts with P-doped carbon materials, the results have been underwhelming as compared with N-doped carbon materials [189–192]. One possible explanation for this is that P sites are far too active as compared with N sites, which hinders the removal of ORR intermediates from active site and thus slows down the overall reaction [193].

Boron-Doped Carbon Materials The use of B with an electronegativity of 2.04 to substitute carbon atoms can also break uniform charge density, and in the past decade, researchers have successfully synthesized B-doped carbon materials and employed them as ORR electrocatalysts [194–197]. Similar to P-doped carbons however, the performance of B-doped carbon materials is insufficient as compared with N-doped carbon materials. For example, although
B-doped carbon nanotubes can exhibit excellent methanol and CO tolerances as compare with Pt/C catalysts, ORR activities are insufficient [197].

### 3.3.2 Multiple Heteroatom-Doped Carbon Materials

The introduction of N atoms with an electronegativity of 3.04 into the sp² lattice of carbon with an electronegativity of 2.55 can alter the charge neutrality of carbon materials and create active sites for ORR, allow for metal-free catalysts with good electrocatalytic ORR activities. In addition, the co-doping of mono-doped carbon materials with other nonmetal elements can further allow for the tuning of surface electronegativity. Therefore, the doping of additional elements into N-doped carbon materials can provide the possibility to further tune electronic structures to obtain catalysts with even better ORR activities.

**Boron and Nitrogen Co-Doped Carbon Materials**

The co-doping of N and B can severely disturb the charge neutrality of carbon frameworks because N is an electron giver and B is an electron receiver [34] in which an early study demonstrated that the onset ORR potential of B and N co-doped carbon catalysts was 0.14 V higher than that of N-doped carbon catalysts in acidic electrolytes and that B–N–C and edge-N surface structures were believed to synergistically contribute to overall activity [198, 199]. For example, Wang et al. [200] co-doped VA-CNTs with B and N (VA-BCN) and reported that the resulting B and N co-doped catalyst possessed significantly enhanced performances as compared with N-doped VA-NCNTs and B-doped carbons (Fig. 10a). These researchers also compared LSV curves (Fig. 10b) and found that the N-groups appeared to be able to increase the onset potential and limiting current, whereas the B-groups appeared to be only able to increase the limiting current rather than the onset potential. Furthermore, these researchers also optimized B and N content in BCN graphene in a later study and reported even better ORR performances than that of Pt/C (Fig. 10c). These researchers also reported that higher concentrations of B led to enlarged HOMO–LUMO gaps and reduced conductivity, thus hindering electron transfer kinetics. Therefore, the reduction of B content can result in smaller HOMO–LUMO gaps and higher limiting currents [201].

**Phosphorus and Nitrogen Co-Doped Carbon Materials**

The co-doping of P and N into carbon frameworks can also severely disturb charge neutrality because P can act as an electron receiver. For example, Yu et al. [33] developed metal-free heteroatom-doped carbon catalysts for ORR and achieved superior ORR activities using N and P co-doped VA-CNTs (PN-ACNT) in which as compared with mono N- or P-doped CNTs, this PN-ACNT exhibited larger limiting currents and slightly higher onset potentials in alkaline media. Here, this synergistic effect was believed to be due to the promotion of Ho²⁻ reduction by P within the overall potential range [34]. Furthermore, the understanding of local chemical interactions between P and N heteroatoms in carbon matrixes is important to elucidate catalytic mechanisms and better design electrocatalysts for ORR. Based on this, Zhang et al. [30] synthesized N and P co-doped carbon materials with less ordered structures through a polymerization-pyrolysis process using phytic acid and aniline as
precursors to introduce P and N. As a result, the resulting electrocatalyst (NPMC-1000) exhibited excellent ORR activities with onset and half-wave potentials of 0.94 V and 0.85 V vs. RHE, respectively (Fig. 11a), both of which are comparable to that of Pt/C although the limiting current was only slightly lower than Pt/C. These researchers also determined the electronic structure and catalytic reaction of N, P co-doped carbon structures using computational simulations and obtained a volcano plot of the overpotential versus the free energy of OH* adsorption for various reaction sites in alkaline environments (Fig. 11b). Here, N-doped, P-doped and N, P co-doped structures were reported to possess minimum ORR overpotentials of 0.44, 0.47 and 0.47 V, respectively, whereas the overall minimum ORR overpotential of N, P co-doped graphene was 0.44 V, which is lower than that of Pt (~ 0.45 V) [30], indicating that different doping structures were present on N, P co-doped carbon and that all of these doping structures made contributions to overall ORR activity.

**Sulfur and Nitrogen Co-Doped Carbon Materials**

The electronegativity of S atoms (2.58) is similar to that of carbon atoms (2.55) and the electron delocalization between them can be neglected [202]. However, in situations of co-doping with N, the small changes caused by S may allow for the tuning of surface properties in resultant catalysts. Because of this, many N and S co-doped metal-free ORR catalysts with different morphologies, surface properties and performances have been synthesized using different methods and a variety of precursors [202–208]. For example, Chen et al. [208] synthesized a N, S co-doped carbon beehive catalyst (NS-CB) through the pyrolysis of egg whites in N₂ in the presence of molten NaCl and KCl salts. Here, egg whites were used as a precursor for C, N and S whereas the molten salt environment facilitated the doping of N and S into the carbon matrix. And as a result, the resulting NS-CB provided an onset potential of 1.037 V versus RHE and a half-wave potential of 0.923 V versus RHE, both of which were comparable to those of Pt/C. In another example, Liang et al. [202] explored the effects of N, S co-doping on ORR activity and suggested that the spin density of an atom can determine the ability of the atom to become an active site in which if co-doped with N and S, the spin density of carbon atoms bond to N (C1 in Fig. 12) can be significantly elevated. In addition, C2–C5 atoms can also be activated because N and S co-doping can induce asymmetrical spin and charge density. And due to both of these advantages, overall ORR activity can be enhanced.

### 4 Summary, Technical Challenges and Possible Research Directions

In the practical application of PEM fuel cells and metal–air batteries, the development of inexpensive and efficient electrocatalysts for cathodic ORR is essential. Therefore, this review has outlined the most recent progresses in this field and reviewed various types of electrocatalysts, including precious metal catalysts, NPMCs, SACs and metal-free catalysts. And to facilitate future research and development, existing technical challenges are summarized and possible future research directions are proposed as follows.

#### 4.1 Challenges

To facilitate the large-scale application of PEM fuel cells and metal–air batteries, ORR electrocatalysts need to be highly active/stable and cost-effective. Here, a major challenge for precious metal catalysts is the reduction of precious metal usage while retaining ORR activity. And although alloys and core–shell structures of precious metals appear promising and can provide more active sites with limited precious metal atoms such as atomically dispersed atomic layers, the interactions between precious metals and...
inexpensive supporting alloys or underneath transition metals are not yet fully understood in terms of the tuning of precious metal electronic structures and the achievement of even higher ORR activities. Another challenge for precious metal catalysts is the insufficient stability in cases in which metal loading is low. And although SACs possess extremely low metal loadings and high metal utilization, their stability and ORR activity are low. As for NPMCs, high activity has been achieved in transition metal SACs, particularly SACs involving metal–nitrogen complexes with Fe or Co atom as metal centers. And of various NPMCs, Fe–N_x/C materials synthesized through pyrolysis appear to be the most promising due to the many advantages mentioned above. However, the syntheses of these metal–nitrogen complexes remain challenging due to metal oxides, metal carbides and other side products being produced in pyrolysis. These side products also make the isolation of M–N_x/C active sites difficult and limit ORR activity as well as the possibility of studying corresponding ORR mechanisms. Furthermore, the yield of single atoms is normally ignored in literature, which is evident due to the fact that increasing the yield of single atoms in M–N_x/C materials remains challenging. Moreover, the activity enhancement of M–N_x/C materials appears to have encountered a bottleneck and novel synthesis strategies are required to further improve both ORR activity and stability.

As for metal-free catalysts, the major challenge is the further enhancement of ORR activity and stability without the use of any metal(s) in which researchers have suggested that the high activity of many “metal-free” catalysts actually originates from trace metal impurities [209, 210]. In addition, carbon materials can decompose in extreme environments such as high electrode potentials, which needs to be addressed to allow for the potential application of these metal-free catalysts. Moreover, the actual active sites and catalytic mechanisms of metal-free catalysts remain unclear.

4.2 Possible Research Directions

To overcome the challenges mentioned above, future research directions on the development of cost-effective and efficient ORR electrocatalysts are proposed as follows:

1. The loading of precious metals needs to be further reduced and the activity and stability of these catalysts need to be further enhanced in which the particle size of precious metal catalysts with core–shell structures should be further reduced to construct active facets with less atoms. In addition, precious metal alloys should be confined in thin carbon layers to protect from dealloying and the interactions between carbon layers and precious metal alloys should be utilized to tune ORR activity.

2. The stability of SACs in practical applications needs to be improved. This can be achieved through doping strategies to generate synergistic effects to enhance catalytic ORR activity and through the fundamental understanding of catalytic mechanisms in SACs using both theoretical and experimental validations to design and optimize novel SACs.

3. The activity bottleneck of M–N_x/C materials needs to be resolved. Here, the partial substitution of N into M–N_x/C structures with other nonmetal elements such as B, P or S is a promising research direction. And because the ORR activity and stability of M–N_x/C materials are highly related to both the metal center species and its coordination environment, the precise tuning of M–N_x/C structures is also a promising research direction.

4. The creation of diatomic dispersed transition metal electrocatalysts. The alloying of two metal elements can alter the properties of each metal element due to interactions between them. These interactions also remain if only two atoms (one of each metal element) are presented. Therefore, the creation of active structures with two different transition metal atoms bond to each other can utilize both the interactions between the two metal atoms and between the metal atoms and the coordinating environment, thus allowing for the control of ORR activity and stability.

5. The “metal-free” of metal-free catalysts needs to be addressed. This way, the real origin of activity in metal-free catalysts can be revealed and the active sites and catalytic mechanisms of metal-free catalysts can be further clarified.

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