Parametrization of ideal classes in rings associated to binary forms

Melanie Matchett Wood
American Institute of Mathematics and Stanford University

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Abstract

We give a parametrization of the ideal classes of rings associated to integral binary forms by classes of tensors in $\mathbb{Z}^2 \otimes \mathbb{Z}^n \otimes \mathbb{Z}^n$. This generalizes Bhargava’s work on Higher Composition Laws, which gives such parametrizations in the cases $n = 2, 3$. We also obtain parametrizations of 2-torsion ideal classes by symmetric tensors. Further, we give versions of these theorems when $\mathbb{Z}$ is replaced by an arbitrary base scheme $S$, and geometric constructions of the modules from the tensors in the parametrization.

1 Introduction

The goal of this paper is to give a parametrization of ideal classes in the rings associated to binary $n$-ic forms. Every integral binary form of degree $n$ has a ring of rank $n$ (a ring isomorphic to $\mathbb{Z}^n$ as a $\mathbb{Z}$-module) associated to it (see, for example, [16], [18], [19]). When the form is irreducible over $\mathbb{Q}$, the associated ring is an order in a degree $n$ number field. For $n = 2$, the ring associated to a binary quadratic form is just the quadratic ring of the same discriminant. For $n = 3$, binary cubic forms parametrize cubic rings exactly ([8], [10]). For $n > 3$ not all rank $n$ rings (or orders in degree $n$ number fields) are associated to a binary $n$-ic form. The special orders which are associated to binary $n$-ic forms have been studied as a natural generalization of monogenic orders in [18], and in [7] it is found that prime splitting in these orders can be understood simply in terms of the factorization of the form modulo the prime. In [19] it is shown that binary $n$-ic forms parametrize rank $n$ rings that have an ideal class with certain special structure. When $n = 4$, there is another particularly simple description given in [20]; that is, binary quartic forms parametrize quartic rings with monogenic cubic resolvents. The cubic resolvent of of a quartic ring is an integral model of the classical cubic resolvent field, and was introduced in [3] to parametrize quartic rings. Thus the orders associated to binary forms are interesting examples of orders in number fields.

*email:mwood@math.stanford.edu
with various nice properties. In this paper, we parametrize the ideal classes of these orders by classes of tensors.

There are very few classes of orders whose ideal classes have been parametrized. When \( n = 2 \), ideal classes of quadratic rings are parametrized by binary quadratic forms, originally by Dedekind and Dirichlet [6] (see also [1] for another parametrization of ideal classes in this case). Bhargava [2] has found a parametrization of ideal classes of cubic rings. This is his space of 2 by 3 by 3 boxes of integers, or classes of elements of \( \mathbb{Z}^2 \otimes \mathbb{Z}^3 \otimes \mathbb{Z}^3 \). The classical parametrization of ideal classes of quadratic rings by binary quadratic forms has had very important applications in number theory, from genus theory to the computation of class groups of quadratic fields. Bhargava’s parametrization of ideal classes of cubic rings is much more recent, but already it can be applied to find the average size of the 2-torsion part of the class group of cubic orders, both for maximal and non-maximal orders (see forthcoming work of Bhargava). In this paper, we prove that classes of elements of \( \mathbb{Z}^2 \otimes \mathbb{Z}^n \otimes \mathbb{Z}^n \) parametrize ideal classes of the rings associated to binary \( n \)-ic forms for all \( n \). This gives an explicit parametrization of class groups of a natural infinite family of orders in rank \( n \) number fields. When \( n = 2, 3 \), these are the results of Bhargava in [1], [2].

One can also study symmetric elements of \( \mathbb{Z}^2 \otimes \mathbb{Z}^n \otimes \mathbb{Z}^n \), that is elements of \( \mathbb{Z}^2 \otimes \text{Sym}_2 \mathbb{Z}^n \). We relate these symmetric tensors to the 2-part of the class group of rings associated to binary \( n \)-ic forms, just as in the cases \( n = 2, 3 \) in [1], [2]. Morales ([15], [14]) has also studied elements of \( \mathbb{Z}^2 \otimes \text{Sym}_2 \mathbb{Z}^n \) and associated modules to them that are related to the 2-part of certain class groups, though he associates modules for a slightly different ring than that in our work. Hardy and Williams [12] have also given class number formulas counting elements of \( \mathbb{Z}^2 \otimes \text{Sym}_2 \mathbb{Z}^2 \) of a given discriminant.

In addition, this paper gives analogous results when the integers are replaced by an arbitrary base scheme \( S \) (or base ring when \( S = \text{Spec } R \)), and so we also generalize the results from [1] and [2] from the integers to an arbitrary base. In Morales’s work [15], he has replaced \( \mathbb{Z} \) by an arbitrary maximal order in a number field in his constructions of modules from symmetric tensors.

In this paper, we give both algebraic and geometric constructions for the modules associated to an element of \( \mathbb{Z}^2 \otimes \mathbb{Z}^n \otimes \mathbb{Z}^n \). The algebraic construction is given by explicit formulas for the action of the ring elements on a \( \mathbb{Z} \)-basis for an ideal. The geometric construction gives the modules as sections of line bundles of schemes naturally given by the tensor, and is quite simple for nice tensors.

As this paper generalizes the results of [2] from \( \mathbb{Z} \) to an arbitrary base, it could be applied to counting problems in number theory over arbitrary orders in number fields or function fields, specifically to finding the average of the the 2-part of the class group of orders that are cubic over a fixed order. For \( n > 3 \), the associated counting problems for elements of \( \mathbb{Z}^2 \otimes \mathbb{Z}^n \otimes \mathbb{Z}^n \) are much harder, and involve reduction theory problems at the boundary of current research. Given how little is known about the average size of 2-parts of class groups in any natural infinite family of degree \( n \) number fields, the application of this paper and new work for the reduction theory of \( \mathbb{Z}^2 \otimes \mathbb{Z}^n \otimes \mathbb{Z}^n \) has potentially very interesting results.

This work can also be interpreted geometrically, because a rank \( n \) algebra over a scheme \( S \) is equivalent to a finite, flat degree \( n \) cover of \( S \). In those terms, this work
explicitly constructs the moduli space of line bundles (and degenerations) on certain finite covers. In the case \( n = 3 \), this includes all cubic covers. In forthcoming work of the author and Erman, this paper is applied over \( S = \mathbb{P}^1 \) to study explicitly the universal Jacobian of the Hurwitz stack of trigonal curves. The explicit description of the moduli space provided by this paper gives a proof of unirationality of the space as well as a framework for computing its Picard group and possibly its Chow ring.

1.1 Outline of results

We can represent an element of the space \( \mathbb{Z}^2 \otimes \mathbb{Z}^n \otimes \mathbb{Z}^n \) as a pair \( A = (A_1, A_2) \) of \( n \times n \) matrices. Let \( \text{Det}(A) \) be the binary \( n \)-ic form \( \text{Det}(A_1 x + A_2 y) \). For a non-zero integral binary form \( f \), let \( R_f \) be the ring of global functions of the subscheme of \( \mathbb{P}^1_\mathbb{Z} \) cut out by \( f \). (The same ring, via other constructions, is associated to \( f \) in [7], [16], [18], and [19] and reviewed in Section 2 of the current paper.) A binary form \( f \) is non-degenerate if it has non-zero discriminant. Let \( G \) be the subgroup of elements \((g_1, g_2)\) of \( \text{GL}_n(\mathbb{Z}) \times \text{GL}_n(\mathbb{Z}) \) such that \( \text{Det}(g_1) \text{Det}(g_2) = 1 \). Over the integers, we have the following version of our main theorem which makes some assumptions on \( f \) for simplicity of statement. (This version follows from Theorem 3.1 using Propositions 5.1, 5.4, and 5.8.)

**Theorem 1.1.** For a primitive non-degenerate binary \( n \)-ic form \( f \), there is a bijection between \( G \)-classes of \( A \in \mathbb{Z}^2 \otimes \mathbb{Z}^n \otimes \mathbb{Z}^n \) such that \( \text{Det}(A) = f \) and (not necessarily invertible) ideal classes of \( R_f \).

If \( f \) is monic and \( \text{Det}(A) = f \), then \( \text{Det}(A_1) = 1 \). We can then act by an element of \( G \) so as to assume that \( A_1 \) is the identity matrix. Further \( G \) action fixing \( A_1 \) (the identity matrix) is just conjugation of \( A_2 \). If \( f = F(x_1, x_2) \) is monic, then \( R_f = \mathbb{Z}[\theta]/F(\theta, 1) \), and Theorem 1.1 generalizes the classical result that ideal classes of monogenic orders correspond to conjugacy classes in \( \mathbb{Z}^n \otimes \mathbb{Z}^n \) whose characteristic polynomial is \( F(t, -1) \). So, we can view Theorem 1.1 as placing rings associated to binary forms in analogy with monogenic rings, as in [7] and [18].

In the case \( n = 3 \), Theorem 1.1 is slightly stronger than the corresponding version in [2], which gives a correspondence between \( A \) associated to invertible ideals and invertible ideal classes of \( R_f \).

As in [2], we must define a notion of balanced to state a more general theorem. We will show in Section 5 that there are several equivalent ways to formulate the notion of balanced, but we first give the one closest to Bhargava’s notion in [1]. For a non-zero form \( f \), there is a naturally associated ideal \( I_f \) of \( R_f \). This ideal class was constructed in [19] and the construction is reviewed in Section 2 of the current paper. A balanced pair of ideals (for \( f \)) is a pair \((M, N)\) of fractional \( R_f \)-ideals such that \( MN \subset I_f \) and \(|M||N| = |I_f|\), where \(|P|\) denotes the norm of \( P \). Two such pairs \((M, N)\) and \((M', N')\) are in the same class if \( M' = \lambda M \) and \( N' = \lambda^{-1} N \) for some invertible element \( \lambda \in R_f \otimes \mathbb{Q} \). Note that an invertible ideal has a unique balancing partner, and thus classes of balanced pairs of invertible ideals are the same as invertible ideal classes.
Theorem 1.2. For non-degenerate $f$, we have a bijection

$$ \{ \text{classes of balanced pairs} (M,N) \text{ for } f \} \longleftrightarrow \left\{ \text{G-classes of } A \in \mathbb{Z}^2 \otimes \mathbb{Z}^n \otimes \mathbb{Z}^n \text{ with } \det(A) = f \right\}. $$

Theorem 1.2 follows from Theorems 3.1 and 5.5. In [2], Bhargava asks for an appropriate formula of balanced for degenerate forms so as to obtain a theorem such as the above. We will give such a notion, which can be seen for example in Theorem 1.3.

We also relate symmetric elements of $A \in \mathbb{Z}^2 \otimes \mathbb{Z}^n \otimes \mathbb{Z}^n$ to the 2-part of class groups of rings associated to binary forms. For nice forms $f$ we get the following, which follows from Theorems 3.1 and 5.5.

**Theorem 1.3.** For every primitive non-degenerate binary $n$-ic form $f$ with coefficients in $\mathbb{Z}$, there is a bijection

$$ \begin{align*}
\begin{cases}
\text{classes of } (M,k) \text{ where } M \text{ is a fractional } R_f \text{-ideal}, k \text{ is an invertible element of } R_f \otimes _{\mathbb{Z}} \mathbb{Q}, \text{ and } M = ([I_f : M])
\end{cases}
\end{align*}
$$

$$ \longleftrightarrow \left\{ \text{GL}_n(\mathbb{Z}) \text{ classes of } A \in \mathbb{Z}^2 \otimes \text{Sym}_2 \mathbb{Z}^n \text{ with } \det(A) = f \right\}, $$

where Sym$_2 \mathbb{Z}^n$ are symmetric $n$ by $n$ matrices, the action of $g \in \text{GL}_n(\mathbb{Z})$ is by multiplication on the left by $g$ and right by $g^t$, and $(M,k)$ and $(M_1,k_1)$ are in the same class if $M_1 = \lambda M$ and $k_1 = \lambda^2 k$ for some invertible element $\lambda \in R_f \otimes _{\mathbb{Z}} \mathbb{Q}$, and $(I_f : M)$ is the fractional ideal of elements $x$ such that $xM \subset I_f k$.

We also give a version of the above in Theorem 1.1 for all non-zero forms $f$, which again uses a more delicate notion of balanced. If we restrict Theorem 1.3 to invertible modules $M$, then the condition $M = ([I_f : M])$ is replaced by $M^2 = I_f k$, and the restricted set is an extension of a torsor of the 2-part of the class group of $R_f$ by $R_f^*/R_f^2$. (We say a torsor instead of a principal homogeneous space because $I_f$ might not be a square in the class group and there would be no such $M$ in that case.)

This paper also gives analogous results over an arbitrary base scheme $S$. We consider $V,U,W$, locally free $\mathcal{O}_S$-modules of ranks 2, $n$, and $n$, respectively. We then study global sections $p \in V \otimes U \otimes W$. We can construct $\det(p) \in \text{Sym}^n V \otimes \wedge^n U \otimes \wedge^n W$, which is a binary $n$-ic form. Fix any $f$ in $\text{Sym}^n V \otimes L$, where $L$ is a locally free rank 1 $\mathcal{O}_S$-module. There is a natural associated rank $n \mathcal{O}_S$-algebra $R_f$ and an $R_f$ module $I_f$ with a natural map $I_f \rightarrow V$ of $\mathcal{O}_S$-modules (see [19], or Section 6 of the current paper). A balanced pair of modules for a non-zero-divisor $f$ is a pair of $R_f$-modules $M$ and $N$, each a locally free rank $n \mathcal{O}_S$-module such that $\wedge^n M \otimes \wedge^n N \cong L^*$, and a map of $R_f$-modules $M \otimes_{R_f} N \rightarrow I_f$, such that when the composition $M \otimes_{\mathcal{O}_S} N \rightarrow M \otimes_{R_f} N \rightarrow I_f \rightarrow V$ is written as $A \in M^* \otimes N^* \otimes V$ we have $\det(A) = fu$, where $u$ is a unit in $\mathcal{O}_S$. (We can then choose a unique isomorphism $\wedge^n M \otimes \wedge^n N \cong L^*$ such that $\det(A) = f$.) We have the following, proven in Theorem 6.2.

**Theorem 1.4.** For every non-zero-divisor binary $n$-ic form $f \in \text{Sym}^n V \otimes L$, there is a bijection

$$ \begin{align*}
\begin{cases}
\text{isomorphism classes of balanced pairs}
\end{cases}
\end{align*}
$$

$$ \longleftrightarrow \left\{ \text{isomorphism classes of } A \in V \otimes U \otimes W, \text{ where } U \text{ and } W \text{ are locally free rank } n \mathcal{O}_S \text{-modules with an isomorphism } \wedge^n U \otimes \wedge^n W \cong L \text{ such that } \det(A) = f \right\}. $$
From a $p \in V \otimes U \otimes W$ we give two constructions of the corresponding ideal classes or modules. The first construction (in Section 6) is algebraic and explicit and the second (in Section 8) is geometric and more intuitive. We give a heuristic description of the geometric construction here. If we have locally free $\mathcal{O}_S$-modules $F$ and $G$, and $s \in F \otimes G$, then we can construct the $k$-minor $\land^k s \in \land^k F \otimes \land^k G$. If $H$ is also a locally free $\mathcal{O}_S$-module, and we have $s \in F \otimes G \otimes H$, then we have a $k$-minor $\land^k H s$ with $H$-coefficients in $\land^k F \otimes \land^k G \otimes \text{Sym}^k H$ (see [21], Section 8.2 for the details of this construction). For $p \in V \otimes U \otimes W$, the $n$-minor with coefficients in $V$ defines a subscheme $T_p(V)$ in $\mathbb{P}(V)$, the 2-minor with coefficients in $U$ defines a subscheme $T_p(U)$ in $\mathbb{P}(U)$, and the 2-minor with coefficients in $W$ defines a subscheme $T_p(W)$ in $\mathbb{P}(W)$. Abusing notation, we let $\pi$ denote the map from all of these schemes to $S$. The heuristic definition of $R_f$ is to take $\pi_* \mathcal{O}_{T_p(V)}$ (or $\pi_* \mathcal{O}_{T_p(U)}$ or $\pi_* \mathcal{O}_{T_p(W)}$—all the $\mathcal{O}_S$-algebras turn out to be the same in the nicest cases), and $M = \pi_* \mathcal{O}_{T_p(U)}(1)$ and $N = \pi_* \mathcal{O}_{T_p(W)}(1)$ (where $\mathcal{O}(1)$ is as pulled back from the corresponding projective bundle). This construction does not work for all $p$ (e.g. the three algebras given for $R_f$ are not necessarily the same) and it is not functorial in $S$. As in the case of binary $n$-ic forms in [19], we use hypercohomology to extend our heuristic geometric construction to a construction that works in all cases and is functorial.

1.2 Outline of the paper

In Section 2 we review the rings and ideals associated to binary $n$-ic forms, and give some computations with these rings and ideals that are critical for the work in this paper. In Section 3 we prove our main theorem (Theorem 3.1) over the integers. We first give the algebraic constructions of a pair of modules from an element of $\mathbb{Z}^2 \otimes \mathbb{Z}^n \otimes \mathbb{Z}^n$ in Section 3.1. In Section 3.2 we prove Theorem 3.1 when the leading coefficient of $f$ is not zero. In Section 3.3 we study the $\text{GL}_2(\mathbb{Z})$ invariance of our construction of modules, and use this to finish the proof of Theorem 3.1. In Section 4 we give the general analogs of Theorem 3.1 for symmetric tensors.

In Section 5 we further study the notion of balanced pairs of modules, and show it is equivalent to a characteristic polynomial condition and an index condition (Proposition 5.1). In Section 5.1 we show that for non-degenerate binary $n$-ic forms all balanceable modules are fractional ideals (Proposition 5.4), and prove that in this case the definition of balanced modules is equivalent to the definition of balanced ideals given above (Theorem 5.5). In Section 5.2 we specialize to the case of primitive non-degenerate forms, where we see that every fractional ideal has a unique balancing partner. This is the final step in the proof of Theorem 1.1.

In Section 6 we prove versions of these main theorems over an arbitrary base. In particular, we prove Theorem 1.4 (as Theorem 6.2) and a symmetric version. In Section 7 we give a geometric construction of the modules from the universal tensor and prove it is the same as the algebraic construction in Section 3.1. The main obstacle is that we give multiple ring constructions and we must show that they agree. The rings are given by global sections of different schemes, but the schemes themselves are not isomorphic. Finally, in Section 8 we give a geometric construction over arbitrary base of the modules from a triple tensor and prove that it commutes with base change.
2 Binary forms, rings, and ideals

Given a binary \( n \)-ic form \( f_0 x_1^n + f_1 x_1^{n-1} x_2 + \cdots + f_n x_2^n \) with \( f_i \in \mathbb{Z} \), there is a naturally associated rank \( n \) ring \( R_f \) (see [16, 18, 19]) and a sequence of \( R_f \)-modules (see [17, 19]). Here we review the facts from [19] about \( R_f \) and these modules that are necessary in this paper, as well as some computations that will be critical in this work. We will eventually need these results over more general rings than \( \mathbb{Z} \), so we will now work over an arbitrary ring \( B \) in place of \( \mathbb{Z} \).

Let \( f = f_0 x_1^n + f_1 x_1^{n-1} x_2 + \cdots + f_n x_2^n \) a binary \( n \)-ic form with coefficients \( f_i \in B \) such that \( f \) is not a zero divisor in \( B[x, y] \). We first give geometric constructions of a ring and ideals from \( f \), as given in [19]. We define \( R_f \) as the \( B \)-algebra of global sections of the regular functions of \( T_f \), the subscheme of \( \mathbb{P}_B^1 \) defined by \( f \). We have line bundles \( \mathcal{O}_{T_f}(k) \) on \( T_f \) pulled back from \( \mathcal{O}(k) \) on \( \mathbb{P}_B^1 \). We define \( I_f \) to be \( \Gamma(\mathcal{O}_{T_f}(n-3)) \) (i.e. the global sections of \( \mathcal{O}_{T_f}(n-3) \)), and \( J_f \) to be \( \Gamma(\mathcal{O}_{T_f}(n-2)) \). This gives \( I_f \) and \( J_f \) the structure of \( R_f \)-modules. Note that our \( I_f \) is the \( I_f^{n-3} \) or \( I_{f-n-3} \) of [19], and our \( J_f \) is the \( I_f^{n-2} \) or \( I_{f-n-2} \) of [19].

Equivalent, but more concrete, constructions of \( R_f \), \( I_f \), and \( J_f \) are also given in [19], and we give those now, as they will be easier to work with. For these constructions, we assume \( f_0 \) is a non-zero divisor in \( B \). Write \( f = F(x, y) \). Let \( B' = B_{f_0} \) (the ring \( B \) with \( f_0 \) inverted). We can also define the \( B \)-algebra \( R_f \) as the subring of \( B'[\theta]/F(\theta, 1) \) generated by \( \zeta_0, \ldots, \zeta_{n-1} \) with

\[
\zeta_0 = 1, \quad \text{and} \quad \zeta_k = f_0 \theta^k + \cdots + f_{k-1} \theta \quad \text{for} \quad k > 0.
\]

The \( \zeta_k \) give a \( B \)-module basis of \( R_f \), and it is shown in [19] Theorem 2.4] that this definition of \( R_f \) agrees with the geometric one given above, and in particular that the \( B \)-module generated by the \( \zeta_i \) is closed under multiplication. Note that if \( f_0 \) is a unit in \( B \), then \( R_f = B[\theta]/F(\theta, 1) \). We can define \( I_f \) and \( J_f \) as sub-\( B \)-modules of \( B'[\theta]/F(\theta, 1) \), such that

\[
I_f \text{ is the } B \text{-module generated by } 1, \theta, \theta^2, \ldots, \theta^{n-3}, \zeta_{n-2}, \zeta_{n-1} \text{ or }
\]

\[
equiv. \text{ the } B \text{-module generated by } 1, \theta, \theta^2, \ldots, \theta^{n-3}, f_0 \theta^{n-2}, f_0 \theta^{n-1} + f_1 \theta^{n-2}
\]

\[
J_f \text{ is the } B \text{-module generated by } 1, \theta, \theta^2, \ldots, \theta^{n-3}, \theta^{n-2}, \zeta_{n-1}.
\]

When \( n = 2 \), we use only the second description of the \( I_f \) given above. In [19] Theorem 2.4], it is shown that these definitions of \( I_f \) and \( J_f \) agree with the geometric ones given above, and in particular that \( I_f \) and \( J_f \) are closed under multiplication by elements of \( R_f \). We have a map of \( R_f \)-modules \( I_f \to J_f \) given by inclusion. This map is not canonical and does not arise geometrically, yet it will be important in our proofs.

The elements \( f_0, \zeta_1, \ldots, \zeta_{n-1} \) are a \( B \)-module basis of \( B'[\theta]/F(\theta, 1) \). Let \( \tilde{\zeta}_i \) be the \( B' \)-module basis of \( \text{Hom}_{B'}(B'[\theta]/F(\theta, 1), B') \) dual to the \( \zeta_i \). So \( \tilde{\zeta}_i(\zeta_j) = \delta_{ij} \) for \( j > 0 \). Also, let \( \tilde{\theta}_i \) be the \( B' \)-module basis of \( \text{Hom}_{B'}(B'[\theta]/F(\theta, 1), B') \) dual to \( 1, \theta, \theta^2, \ldots, \theta^{n-1} \). We can apply these \( \tilde{\zeta}_i \) and \( \tilde{\theta}_i \) to elements in \( I_f \) and \( J_f \) since they lie in \( B'[\theta]/F(\theta, 1) \).
but they are not necessarily dual to a $B$-module basis of $I_f$ or $J_f$. The following are the key computations we will need.

**Proposition 2.1.** For $r \in B'[\theta]/F(\theta, 1)$ and $1 \leq k \leq n - 1$,

$$\tilde{\zeta}_{n-1}(\zeta_k r) = \tilde{\theta}_{n-1-k}(r) - f_k \tilde{\zeta}_{n-1}(r).$$

**Proof.** We will write out $\zeta_k r$ in terms of powers of $\theta$ and then read off the coefficient of $\theta^{n-1}$. First, we write $r = \sum_{j=0}^{n-1} r_j \theta^j$ and so

$$\zeta_k r = (f_0 \theta^k + \cdots + f_{k-1} \theta)(r_{n-1} \theta^{n-1} + \cdots + r_0).$$

To find the $\theta^{n-1}$ coefficient, we only have to look at terms of $r$ with $j \geq n - 1 - k$. From the $r_{n-1-k} \theta^{n-1-k}$ term we get a $\theta^{n-1}$ coefficient of $r_{n-1-k} f_0$. From the remaining terms, we get the sum

$$\sum_{j=n-k}^{n-1} r_j \theta^j(f_0 \theta^k + \cdots + f_{k-1} \theta) = \sum_{j=n-k}^{n-1} r_j \theta^{j-(n-k)}(f_0 \theta^n + \cdots + f_{k-1} \theta^{n-k+1})$$

$$= \sum_{j=n-k}^{n-1} -r_j \theta^{j-(n-k)}(f_k \theta^{n-k} + \cdots + f_n)$$

and the only term of the final sum with a non-zero $\theta^{n-1}$ coefficient is the $j = n - 1$ term which has a $\theta^{n-1}$ coefficient of $-r_{n-1} f_k$. So $\tilde{\theta}_{n-1}(r) = f_0 \tilde{\theta}_{n-1-k}(r) - f_k \tilde{\theta}_{n-1}(r)$, and dividing by $f_0$ proves the proposition. \(\square\)

**Corollary 2.2.** For $r \in B'[\theta]/f(\theta, 1)$,

$$\tilde{\zeta}_{n-1}(\theta r) = \tilde{\zeta}_{n-2}(r).$$

**Proof.** We have

$$\tilde{\zeta}_{n-1} := \tilde{\theta}_{n-1} \frac{1}{f_0} \quad \text{and} \quad \tilde{\zeta}_{n-2} := \tilde{\theta}_{n-2} - \frac{f_k \tilde{\theta}_{n-1}}{f_0},$$

and thus this follows from the above proposition when $k = 1$. \(\square\)

**Lemma 2.3.** If we have a homomorphism $\phi$ of $B$-modules from some $B$-module $P$ to $J_f$, then the image of $\phi$ is in $I_f$ if and only if the image of $\tilde{\zeta}_{n-2} \phi$ is in $B$.

**Proof.** The elements of $I_f$ are just the elements $j \in J_f$ for which $\tilde{\zeta}_{n-2}(j) \in B$. \(\square\)

Thus $\tilde{\zeta}_{n-1}$ and $-\tilde{\zeta}_{n-2}$ give two $B$-module maps from $I_f$ to $B$, or a $B$-module map $I_f \to V = B^2$, where $(-1)^{i+1} \tilde{\zeta}_{n-i}$ gives the map into the $i$th coordinate of $V$. We have chosen the maps in such a way that the map $I_f \to V$ the canonical map given in [19, Equation (3.8)] (where the $k$ in [19, Equation (3.8)] is $n - 3$ for our purposes). This map is useful because it doesn’t lose information about $R_f$-module maps. More formally, we have the following.
Proposition 2.4. For any binary $n$-ic form $f$ and any $R_f$-module $P$, composition with the map $I_f \to V$ gives an injection of $R_f$ modules

$$\text{Hom}_{R_f}(P, I_f) \to \text{Hom}_B(P, V).$$

Proof. Suppose, for the sake of contradiction, that we had a non-zero map $\phi \in \text{Hom}_{R_f}(P, I_f)$ such that the image of $\phi$ was in the kernel of $I_f \to V$. Let $r$ be a non-zero element of $\text{im}(\phi)$. Then, by Proposition 2.1 we have

$$\tilde{\theta}_{n-k}(r) = \tilde{\zeta}_{n-k}(\zeta k r) = 0$$

for $2 \leq k \leq n - 1$. Thus, we see that $r = 0$. \hfill \square

In [19, Corollary 3.7], it is shown that as $R_f$ modules, $J_f \cong \text{Hom}_B(R_f, B)$, and thus we have the following proposition.

Proposition 2.5. For any binary $n$-ic form $f$ and any $R_f$-module $P$, composition with the map $\tilde{\zeta}_{n-1}: J_f \to B$ gives an isomorphism of $R_f$ modules

$$\text{Hom}_{R_f}(P, J_f) \overset{\tilde{\zeta}_{n-1}}{\longrightarrow} \text{Hom}_B(P, B).$$

3 Main theorems

We write an element $A \in \mathbb{Z}^2 \otimes \mathbb{Z}^n \otimes \mathbb{Z}^n$ as pair $A_1, A_2$ of $n \times n$ matrices. The determinant of $A$ is the binary $n$-ic form $\text{Det}(A_1 x_1 + A_2 x_2)$. For non-zero binary form $f$ with integer coefficients, we defined in Section 2 a rank $n$ ring $R_f$ and two modules $I_f$ and $J_f$ for that ring. Recall that we have a map $I_f \to V$ of abelian groups, where $V = \mathbb{Z}^2$. We will next define a notion of a balanced pair of $R_f$-modules. The idea is that the product of the pair should map to $I_f$, but that map should be constrained by the form $f$ itself. Note the definition we now give is different from the one given in the Introduction, but we will see in Theorem 3.3 that the definitions agree for non-degenerate $f$.

Definition. A based balanced pair of modules for $f$ is a pair of $R_f$-modules $M$ and $N$, a choice of basis $M \cong \mathbb{Z}^n$ and $N \cong \mathbb{Z}^n$, and a map of $R_f$-modules $M \otimes_{R_f} N \to I_f$, such that when the composition $M \otimes_{\mathbb{Z}} N \to M \otimes_{R_f} N \to I_f \to V$ is written as a pair of matrices $A_1$ and $A_2$ (viewing elements of $M$ as row vectors and elements of $N$ as column vectors), we have $\text{Det}(A_1 x_1 + A_2 x_2) = f$. If $v_i$, $m_j$, and $n_k$ are the bases of $V$, $M$, and $N$ respectively indicated above, then the $j, k$ entry of $A_i$ is the coefficient of $v_i$ in the image of $m_j \otimes n_k$, i.e. $(-1)^{i} \zeta_{i}(m_j \otimes n_k)$. We will often refer to the based balanced pair as $M, N$, with the bases and balancing map understood.

Definition. A balanced pair of modules for a non-zero form $f$ is a pair of $R_f$-modules $M$ and $N$, each a free rank $n$ $\mathbb{Z}$-module, and a map of $R_f$-modules $M \otimes_{R_f} N \to I_f$, such that when the composition $M \otimes_{\mathbb{Z}} N \to M \otimes_{R_f} N \to I_f \to V$ is written as a pair of matrices $A_1$ and $A_2$, we have $\text{Det}(A_1 x_1 + A_2 x_2) = \pm f$. Given a balanced pair of modules for a non-zero form $f$, there is a unique choice of generator $\chi$ of $\wedge^n M \otimes \wedge^n N$.
such that $\text{Det}(A_1 x_1 + A_2 x_2) = f$ when constructing $A$ with bases of $M$ and $N$ that give $\chi \in \wedge^n M \otimes \wedge^n N$. If we have based balanced pairs $(M, N)$ and $(M', N')$ such that the modules and balancing maps are the same and only the bases differ, then the change of bases must preserve $\chi$ since both based balanced pairs give $\text{Det}(A_1 x_1 + A_2 x_2) = f$.

In this section we prove the following theorem.

**Theorem 3.1.** For every non-zero binary $n$-ic form $f$ with coefficients in $\mathbb{Z}$, there is a bijection

$$
\begin{aligned}
\{\text{based balanced pairs } (M, N) \text{ of modules for } f\} & \longleftrightarrow \{A \in \mathbb{Z}^2 \otimes \mathbb{Z}^n \otimes \mathbb{Z}^n \text{ with } \text{Det}(A) = f\}.
\end{aligned}
$$

Let $G$ be the subgroup of $\text{GL}_n(\mathbb{Z}) \times \text{GL}_n(\mathbb{Z})$ of elements $(g_1, g_2)$ such that $\text{Det}(g_1) \text{Det}(g_2) = 1$. Then, $G$ acts equivariantly in the above bijection (acting of the bases of $M$ and $N$), and we obtain a bijection

$$
\begin{aligned}
\{\text{isomorphism classes of balanced pairs } (M, N) \text{ of modules for } f\} & \longleftrightarrow \{G\text{-classes of } A \in \mathbb{Z}^2 \otimes \mathbb{Z}^n \otimes \mathbb{Z}^n \text{ with } \text{Det}(A) = f\}.
\end{aligned}
$$

We now give a map $\phi$ from balanced based pairs to $\mathbb{Z}^2 \otimes \mathbb{Z}^n \otimes \mathbb{Z}^n$. From the definition of a balanced based pair, we have the map of $\mathbb{Z}$-modules $M \otimes \mathbb{Z} N \rightarrow M \otimes R_f N \rightarrow I_f \rightarrow V$, which can be written as a pair of matrices $A_1, A_2$ as above. This pair of matrices is $\phi(M, N)$.

In Section 3.1 we construct a based balanced pair of modules from an $A \in \mathbb{Z}^2 \otimes \mathbb{Z}^n \otimes \mathbb{Z}^n$. Our construction is completely concrete, and we give formulas for the action of $R_f$ on $M$ and $N$. In Section 3.2 we prove that this construction gives an inverse to the map $\phi$ described above when $f_0 \neq 0$. In Section 3.3 we use the $\text{GL}_2$ equivariance of our construction to reduce to the case that $f_0 \neq 0$, which will prove Theorem 3.1.

### 3.1 Construction of balanced pair of modules

We are given $A \in \mathbb{Z}^2 \otimes \mathbb{Z}^n \otimes \mathbb{Z}^n$, which we can write as a pair $A_1, A_2$ of $n \times n$ matrices. Let $f$ be the determinant of $A$. In this section, we will construct a based balanced pair $(M, N)$ of modules for $f$. We begin by letting $M = \mathbb{Z}^n$ and $N = \mathbb{Z}^n$ as abelian groups. It remains to specify the $R_f$ action on $M$ and $N$ and the map of $R_f$-modules $M \otimes_{R_f} N \rightarrow I_f$. We can write the elements of $M$ as row vectors with entries in $\mathbb{Z}$ and the elements of $N$ as column vectors with entries in $\mathbb{Z}$. Heuristically, the action of $R_f$ will be given by $\theta$ acting on $M$ on the right by $-A_2 A_1^{-1}$ and on $N$ on the left by $-A_1^{-1} A_2$. The trouble with this construction is that $\theta$ is not an element of $R_f$ (unless $f_0$, the $x^n$ coefficient of $f$, is $\pm 1$) and that $A_1$ is not necessarily invertible (it could be the zero matrix!). We could solve both of these problems by inverting $f_0$, but it is possible that $f_0 = 0$. So we will pass to a universal situation, where we can always invert $f_0$.

We replace $\mathbb{Z}$ by the ring $\Lambda = \mathbb{Z}[\{u_{ijk}\}_{1 \leq i \leq 2, 1 \leq j \leq n, 1 \leq k \leq n}]$ (the free polynomial algebra on $2n^2$ variables over $\mathbb{Z}$), and we replace $A$ with the universal tensor $\mathcal{C}$ in $\Lambda^2 \otimes_{\Lambda} \Lambda^n \otimes_{\Lambda} \Lambda^n$, where $\mathcal{C}_i$ has $j, k$ entry $u_{i,j,k}$. We have a binary $n$-ic form $c =
we will now show is actually an action of \( R - C' \) of \( \Lambda' \) common characteristic polynomial \( C \). Since \( \det(\cdot) \) analogous argument can be made for \( M \).

Lemma 3.2. For \( 1 \leq k \leq n - 1 \), the matrix

\[
(1) \quad c_0 (-C_1^{-1}C_2)^k + c_1 (-C_1^{-1}C_2)^{k-1} + \ldots + c_{n-1} (-C_1^{-1}C_2)^0
\]

whose entries a priori are in \( \mathbb{Q}(u_{i,j,k}) \) (the fraction field of \( \Lambda \)) are actually in \( \Lambda \).

Proof. Over the field \( \mathbb{Q}(u_{i,j,k}) \), since \( -C_1^{-1}C_2 \) satisfies its characteristic polynomial, we have

\[
c_0 (-C_1^{-1}C_2)^n + c_1 (-C_1^{-1}C_2)^{n-1} + \ldots + c_{n-1} (-C_1^{-1}C_2)^1 + c_n (-C_1^{-1}C_2)^0 = 0.
\]

Since \( C_1 \) and \( C_2 \) are invertible over the field \( \mathbb{Q}(u_{i,j,k}) \), the last equation is equivalent to

\[
(2) \quad c_0 (-C_1^{-1}C_2)^k + c_1 (-C_1^{-1}C_2)^{k-1} + \ldots + c_{n-1} (-C_1^{-1}C_2)^0 = -(c_{k+1} (-C_2^{-1}C_1)^0 + \ldots + c_n (-C_2^{-1}C_1)^{n-k}).
\]

If we view the matrix entries of both sides of Equation (2) as reduced ratios of elements of the UFD \( \Lambda \), the denominator of the left hand side can only involve \( u_{1jk} \) and the denominator of the right hand side can only involve \( u_{2jk} \). Thus, the matrices \( c_0 (-C_1^{-1}C_2)^k + c_1 (-C_1^{-1}C_2)^{k-1} + \ldots + c_{n-1} (-C_1^{-1}C_2)^0 \) must have all their entries in \( \Lambda \).

By definition, the \( \Lambda \)-algebra \( R_c \) has a basis as a \( \Lambda \)-module given by \( 1, \zeta_1, \ldots, \zeta_{n-1} \), where \( \zeta_k = c_0 \theta^k + \cdots + c_{k-1} \theta \in \Lambda'[\theta]/\mathbb{C}(\theta, 1) \). Thus the action of \( \zeta_k \) on \( N'_c \) is given by a matrix whose coefficients are in \( \Lambda \), and so it restricts to an action on \( N_c \). An analogous argument can be made for \( M_c \). This construction is clearly equivariant for the \( G_\Lambda \) actions.
3.1.2 Balancing Map

Now we will construct a map of $R_c$-modules $M_C \otimes_{R_c} N_C \to I_c$. The matrix $C_1$ gives us an $\Lambda$-module pairing on $M_C$ and $N_C$ into $\Lambda$ by $\alpha \ast \beta = \alpha C_1 \beta$ for $\alpha \in M_C$ and $\beta \in N_C$. In other words, the matrix $C_1$ which acts on $N_C$ on the left as an $\Lambda$-module, gives $n$ homomorphisms of $\Lambda$-modules from $N_C$ into $\Lambda$, one for each row of $C_1$, and we map the $i$th basis element $m_i$ of $M$ to the $\Lambda$-module homomorphism of $N_C$ into $\Lambda$ given by the $i$th row of $C_1$. This gives us a $\Lambda$-module map from $M_C$ into $\text{Hom}_\Lambda(N_C, \Lambda)$ and we have $\text{Hom}_\Lambda(N_C, \Lambda) \cong \text{Hom}_{R_c}(N, J_c)$, by Proposition 2.5. We use the symbol $\circ$ to denote the resulting pairing of $M_C$ and $N_C$ into $J_c$. Thus, $\zeta_{n-1}(\alpha \circ \beta) = \alpha \ast \beta$. This pairing in $J_c$ is clearly equivariant for the $G_\Lambda$ actions.

Now we will show that $\circ$ gives a map of $R_c$-modules $M_C \otimes_{R_c} N_C \to I_c$. To see this, we extend our pairing $\circ$ to $M'_C \otimes_{R_c} N'_C \to J'_c$, which is a $R'_c$ module map for the $R'_c$ action on $N_C$. In fact, we can show that $\circ$ factors through $M'_C \otimes_{R'_c} N'_C$, i.e. $(\theta \alpha) \circ \beta = \alpha \circ (\theta \beta)$ for $\alpha \in M'_C$ and $\beta \in N'_C$. If we fix an $\alpha$ and let $\beta$ vary over the elements of $N'_C$, the expressions $(\theta \alpha) \circ \beta = \alpha \circ (\theta \beta)$ give two homomorphisms from $N'_C$ into $J'_c$ and we can check if they are the same by taking $\tilde{\zeta}_{n-1}$. Now, $\tilde{\zeta}_{n-1}((\theta \alpha) \circ \beta) = (\theta \alpha) \ast \beta = \alpha(-C_1^{-1}C_2)\beta$, so we see that $\circ$ gives a map of $R'_c$ modules $M'_C \otimes_{R'_c} N'_C \to J'_c$ and thus our original $\circ$ is a map of $R_c$-modules $M_C \otimes_{R_c} N_C \to J_c$.

We have an inclusion of $R_c$ modules $I_c \subset J_c$ (given in Section 2), and we will use Lemma 2.3 to see that for all $\alpha \in M_C$ and $\beta \in N_C$, the element $\alpha \circ \beta$ is in $I_c$. Fix an $\alpha \in M_C$. Then by Lemma 2.3 $\alpha \circ N_C \subset I_c$ if and only if $\tilde{\zeta}_{n-2}(\alpha \circ N_C) \subset \Lambda$. By Corollary 2.2 we see that $\tilde{\zeta}_{n-2}(\alpha \circ N_C) = \tilde{\zeta}_{n-1}(\alpha \circ (\theta N_C)) = \alpha \ast (\theta N_C)$. However, we have seen that the pairing $\alpha \ast (\theta N_C)$ is given by the matrix $-C_2$, and thus $\tilde{\zeta}_{n-2}(\alpha \circ N_C) \subset \Lambda$. Thus we have given a map of $R_c$-modules $M_C \otimes_{R_c} N_C \to I_c$. Note that we have defined $\circ$ so that if $m_j$ and $n_k$ are the chosen bases of $M_C$ and $N_C$ respectively,

$$\tilde{\zeta}_{n-1}(m_j \circ n_k) = u_{1jk} \quad \text{and} \quad -\tilde{\zeta}_{n-2}(m_j \circ n_k) = u_{2jk},$$

which makes $M_C$ and $N_C$ a based balanced pair of modules for $c$.

3.1.3 Back to $\mathbb{Z}$

Now given $A \in \mathbb{Z}^2 \otimes \mathbb{Z}^n \otimes \mathbb{Z}^n$, to find the action of $R_f$ on $M$, we take the matrix by which $\zeta_k$ acted on $M_C$ above, and substitute $a_{i,j,k}$ for the $u_{i,j,k}$, and similarly for $N$. Of course, the conditions for this to be a ring action will be satisfied since they are satisfied formally. Also, we have a map of $\mathbb{Z}$-modules $M \otimes \mathbb{Z} N \to I_f$ given by specializing the formulas from the last section, and we can see that this factors through a map of $R_f$-modules $M \otimes_{R_f} N \to I_f$ because the conditions for the factorization and for the map to respect $R_f$-module structure are satisfied formally. Let $\psi(A) = (M, N)$.

3.2 Proof of Theorem 3.1 when $f_0 \neq 0$

Now we prove Theorem 3.1 by showing that $\phi$ and $\psi$ are inverse constructions. Suppose we have $A \in \mathbb{Z}^2 \otimes \mathbb{Z}^n \otimes \mathbb{Z}^n$. Let $\psi(A) = (M, N)$, and let $A' = \phi(M, N)$. By Equation (3), we have that $A' = A$. Now, suppose we have $(M, N)$, a based balanced pair of modules
for \( f \), and \( \phi(M, N) = A \) and \( \psi(A) = (M', N') \). We first check that the action of \( R_f \) is the same on \( M \) and \( M' \) (and \( N \) and \( N' \)), and then we will check that the balancing maps agree. We assume that \( f_0 \neq 0 \). In this case, we may invert \( f_0 \) as in Section 3.1 and obtain \( \mathbb{Z}[\theta]/F(\theta, 1) \)-modules \( M_{f_0} \) and \( N_{f_0} \).

**Proposition 3.3.** If we write elements of \( M_{f_0} \) as row vectors and elements of \( N_{f_0} \) as column vectors, then \( \theta \) acts by \(-A_2A_1^{-1} \) on the right on \( M_{f_0} \) and \( \theta \) acts by \(-A_1^{-1}A_2 \) on the left on \( N_{f_0} \).

**Proof.** We let the map \( M \otimes_{\mathbb{Z}} N \to I_f \) be denoted by \( \alpha \). We define \( \alpha \ast \beta \) to be \( \tilde{\zeta}_{n-1}(\alpha \ast \beta) \).

We fix a non-zero \( \alpha \in M_{f_0} \) and suppose for the sake of contradiction that \( \alpha \ast N_{f_0} = 0 \). Then \( \alpha \ast N_{f_0} = 0 \) by Proposition 2.3 and thus \( \alpha A_1 = \alpha A_2 = 0 \). Thus \( \alpha \) is in the left kernel of \( A_1x_1 + A_2x_2 \) for formal \( x_i \) and so we obtain \( f = \text{Det}(A_1x_1 + A_2x_2) = 0 \), a contradiction. Therefore, if \( \alpha \ast N_{f_0} = 0 \), then \( \alpha = 0 \). We have \((\theta\alpha) \ast \beta = \tilde{\zeta}_{n-2}(\alpha \ast \beta) \) by Corollary 2.2 and \( \tilde{\zeta}_{n-2}(\alpha \ast \beta) = \alpha(\theta A_2) = (\alpha(-A_2A_1^{-1}))(A_1 \beta) \). We conclude that \( \theta\alpha = \alpha(-A_2A_1^{-1}) \). A similar argument can be made for \( N_{f_0} \).

This proposition shows that the pairs of modules \((M, N)\) and \((M', N')\) have the same \( R_f \) action. We know that the map \( \text{Hom}_{R_f}(M \otimes_{R_f} N, I) \to \text{Hom}_{\mathbb{Z}}(M \otimes_{\mathbb{Z}} N, V) \) is injective (from Proposition 2.4), and thus since \( \phi(M, N) = A \) and \( \phi(M', N') = \phi(\psi(A)) = A \), we see that \((M, N)\) and \((M', N')\) have the same balancing map. Therefore, we have proven Theorem 3.1 when \( f_0 \neq 0 \). We will finish the proof at the beginning of the next section, by reducing to this case.

### 3.3 GL(\(V\)) invariance

Let \( V = \mathbb{Z}^2 \), and we have that \( \text{GL}_2(\mathbb{Z}) = \text{GL}(V) \) acts on \( V \otimes \mathbb{Z}^n \otimes \mathbb{Z}^n \) and also on binary \( n \)-ic forms in \( \text{Sym}^n V \). The determinant map is equivariant for these actions. Let \( g \in \text{GL}(V) \), so that \( g(A) = A' \) and \( g(f) = f' \). Then we have isomorphisms \( R_f \cong R'_f \) and \( I_f \cong I'_f \) (e.g. by the geometric construction of [19 Section 2.3]). In fact, \( g \) also gives a map \( V \to V \) such that the diagram

\[
\begin{array}{ccc}
I_f & \xrightarrow{g} & I'_f \\
\downarrow & & \downarrow \\
V & \xrightarrow{g} & V
\end{array}
\]

commutes.

More concretely, consider \( g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{GL}_2(\mathbb{Z}) \). If \( A = (A_1, A_2) \), then \( g(A) = A' = (A'_1, A'_2) = (aA_1 + bA_2, cA_2 + dA_2) \). If \( f = F(x, y) \), then \( g(f) = f' = F(ax + cy, bx + dy) \).

Write \( f' = F'(x, y) \). If \( \theta \) is a root of \( F(x, 1) \), then \( \theta' = \frac{\theta - c}{bd + a} \) is a root of \( F'(x, 1) \).

This induces the map \( R'_f \cong R_f \). Note that \( \theta = \frac{\theta - c}{bd + a} \). We can view \( I_f \) and \( I'_f \) as fractional ideals in the same \( \mathbb{Q} \)-algebra. They are given as fractional ideals of different \( \mathbb{Q} \)-algebras, \( Q_f \) and \( Q'_f \) respectively, in Section 2 but the map \( \theta' \mapsto \frac{\theta - c}{bd + a} \) gives an isomorphism of those \( \mathbb{Q} \)-algebras. Then the map \( I_f \cong I'_f \) is given by

\[
I_f \to Q_f \cong Q'_f \xrightarrow{\times(b\theta' + d)^{n-3}} Q_f' \supset I'_f.
\]
Note that \( \frac{1}{\det A} = \frac{a-bc}{ad-bc} \).

Viewing \( \zeta_{n-1}, \zeta_{n-2} \) and \( \tilde{\zeta}_{n-1}, \tilde{\zeta}_{n-2} \) as maps of \( I_f \) and \( I'_f \), respectively, we have that \( I_f \cong I'_f \) induces

\[
\tilde{\zeta}_{n-1} \mapsto a\zeta_{n-1} - b\zeta_{n-2} \quad \text{and} \quad -\zeta_{n-2} \mapsto \tilde{\zeta}_{n-1} - d\tilde{\zeta}_{n-2},
\]

which exactly gives that our construction of \( (A_1, A_2) \) from \( \zeta_{n-1}, -\zeta_{n-2} \) is equivariant. We can check this on a generating set of \( \text{GL}_2(\mathbb{Z}) \), though it also follows from [19, Proposition 3.3]. If we write an element \( v \) of \( V \) as a column vector, then \( g \) acts on \( V \) by the standard left action. In the map from \( I_f \to V \), an element \( \alpha \in I_f \) maps to

\[
\begin{bmatrix}
\zeta_{n-1}(\alpha) \\
-\zeta_{n-2}(\alpha)
\end{bmatrix}.
\]

Our constructions of \( M, N \), and the balancing map are equivariant under this \( \text{GL}(V) \) action. More precisely, under the identifications \( R_f \cong R'_f \) and \( I_f \cong I'_f \) and the map \( V \to V \), the based modules and balancing map we obtain from \( A \) are the same as the based modules and balancing map we obtain from \( A' \). (This can easily be checked on a basis of \( \text{GL}_2(\mathbb{Z}) \), or alternatively, it follows from the geometric versions of the constructions in Section 7.) For example, if \( \theta \) acts like \( -A_2 A_1^{-1} \), then \( \theta' = \frac{ab-c}{ad-bc} \) will act like \( (-dA_2 A_1^{-1} - c)(bA_2 A_1^{-1} + a)^{-1} = -(A'_2)(A'_1)^{-1}. \) Thus, to check that the \( R_f \) action and balancing map on pairs \( M, N \) and \( M', N' \) agree, we can check after a \( \text{GL}_2(\mathbb{Z}) \) action on \( f \) so that \( f_0 \neq 0 \) (as long as \( f \neq 0 \)). This proves Theorem 3.1.

4 Symmetric tensors

In the map

\[
\{ \text{based balanced pairs } (M, N) \text{ of modules for } f \} \longleftrightarrow \{ A \in \mathbb{Z}^2 \otimes \mathbb{Z}^n \otimes \mathbb{Z}^n \text{ with } \det(A) = f \}
\]

of Theorem 3.1, it is easy to see from the construction that pairs where \( M \) and \( N \) are the same based module exactly correspond to \( A \) such that \( A_1 \) and \( A_2 \) are symmetric matrices.

Definition. A **self balanced module** for a non-zero form \( f \) is an \( R_f \)-module \( M \), that is a free rank \( n \) \( \mathbb{Z} \)-module, and a map of \( R_f \)-modules \( M \otimes_{R_f} M \to I_f \), such that when the composition \( M \otimes_{\mathbb{Z}} M \to M \otimes_{R_f} M \to I_f \to V \) is written as a pair of matrices \( A_1 \) and \( A_2 \) (using a single choice of basis for \( M \)), we have \( \det(A_1 x_1 + A_2 x_2) = f \).

We easily conclude the following.

Theorem 4.1. For every non-zero binary \( n \)-ic form \( f \) with coefficients in \( \mathbb{Z} \), there is a bijection

\[
\left\{ \text{isomorphism classes self balanced modules } M \text{ for } f \right\} \longleftrightarrow \left\{ \text{GL}_n(\mathbb{Z}) \text{ classes of } A \in \mathbb{Z}^2 \otimes \text{Sym}_n \mathbb{Z}^n \right\},
\]

where \( \text{Sym}_n \mathbb{Z}^n \) are symmetric \( n \) by \( n \) matrices, and the action of \( g \in \text{GL}_n(\mathbb{Z}) \) is by multiplication on the left by \( g \) and right by \( g' \).
5 Equivalent formulations of the balancing condition

In order to prove Theorems 1.1 and 1.3 in the Introduction, we will show that for primitive forms, modules that appear in balanced pairs have unique balance partners, and that for non-degenerate forms, modules that appear in balanced pairs can be realized as fractional ideals. First, we will see an equivalent formulation of the definition of balanced.

We define a characteristic $R_f$-module $M$ to be a $R_f$-module $M$ which is a free rank $n$ $\mathbb{Z}$-module such that for any element $\zeta \in R_f$ the action of $\zeta$ on $M$ viewed as a $\mathbb{Z}$-module has the same characteristic polynomial as the action of $\zeta$ on $R_f$ (by multiplication) viewed as a $\mathbb{Z}$-module. Fractional ideals of $R_f$ are characteristic modules of $R_f$.

Given two based modules $M$ and $N$ with bases $\alpha_i$ and $\beta_i$ respectively such that $M \subset N$, the index $[N : M]$ is the absolute value of the determinant of the matrix $Q$ with entries in $\mathbb{Z}$ such that $[\alpha_1 \alpha_2 \ldots \alpha_n] = [\beta_1 \beta_2 \ldots \beta_n] \cdot Q$. Now we will see that our condition of balanced is equivalent to $M$ characteristic and an index condition on the map $M \otimes_{R_f} N \rightarrow I_f$.

**Proposition 5.1.** Consider a non-zero binary form $f$ over $\mathbb{Z}$, and two $R_f$ modules $M$ and $N$, with a $R_f$-module map $M \otimes_{R_f} N \rightarrow I_f$, such that $M$ and $N$ are both free rank $n$ $\mathbb{Z}$-modules. Then this data gives a balanced pair of modules for $f$ if and only if $M \subset \text{Hom}_{R_f}(N, I_f)$, and $[\text{Hom}_{R_f}(N, I_f) : M] = |J_f : I_f|$ (with any inclusion of $I_f$ in $J_f$ as $R_f$-modules), and either $M$ or $N$ is characteristic.

The equality of indexes does not depend on the choice of inclusion of $I_f$ in $J_f$, because any two inclusions differ by multiplication by a non-zero-divisor in $R_f \otimes_{\mathbb{Z}} \mathbb{Q}$. This multiplies both $[\text{Hom}_{R_f}(N, J_f) : M]$ and $|J_f : I_f|$ by the absolute value of the norm of that element.

**Proof.** We can act by $\text{GL}_2(\mathbb{Z})$ so as to assume $f_0 \neq 0$. Then, we use the inclusion of $I_f$ in $J_f$ given in Section 2 and see that $|J_f : I_f| = f_0$.

**Lemma 5.2.** Suppose we have two $R_f$ modules $M$ and $N$, with a map $M \otimes_{R_f} N \rightarrow I_f$, such that $M$ and $N$ are both free rank $n$ $\mathbb{Z}$-modules, either $M$ or $N$ is characteristic, and $M \subset \text{Hom}_{R_f}(N, I_f)$ such that $[\text{Hom}_{R_f}(N, I_f) : M] = f_0$. Let $A$, as usual, denote the map $M \otimes_{\mathbb{Z}} N \rightarrow V$. We write elements of $M$ as row vectors with entries in $\mathbb{Z}$. Then $\theta$ acts on $M' = M_{f_0}$ by $-A_2 A_1^{-1}$ on the right, and $\theta$ acts on $N' = N_{f_0}$ by $-A_1^{-1} A_2$ on the left. Also, $\text{Det}(A_1 x_1 + A_2 x_2) = f$.

**Proof.** We define $m \ast n$ to be $\tilde{\zeta}_{n-1}(m \circ n)$. By Proposition 2.5 we see that $\ast$ is faithful for both $M$ and $N$ if and only if $\circ$ is. We see that $\circ$ is faithful on $M$ since the index of $M$ in $\text{Hom}_{R_f}(N, J_f)$ is not zero. If there were an $n \in N$ such that $M \circ n = 0$ for all $n$, then inverting $f_0$ we would find that $M_{f_0} \ast n = 0$ but $M_{f_0} = \text{Hom}_{\mathbb{Z}_{f_0}}(N_{f_0}, \mathbb{Z}_{f_0})$, and we obtain a contradiction since $N_{f_0}$ is a free $\mathbb{Z}_{f_0}$-module and thus there is some homomorphism from $N_{f_0}$ to $\mathbb{Z}_{f_0}$ which is non-zero on $n$. So, we fix an $\alpha \in M$ and let $\beta$ vary in $N$. Then $\alpha \theta \ast \beta = \tilde{\zeta}_{n-2}(\alpha \circ \beta) = -\alpha A_2 \beta = -\alpha A_2 A_1^{-1} A_1 \beta = (\alpha A_2 A_1^{-1}) \ast \beta$. We similarly obtain the action of $\theta$ on $N$. 

We have that $|\text{Det}(A_1)|$ is the index of $M$ in $\text{Hom}_Z(N,Z)$ or $\text{Hom}_{R_f}(N,J_f)$, which is the index of $I_f$ in $J_f$, i.e. $|f_0|$. Since $M$ is characteristic, we know that $\theta$ and thus $-A_2A_1^{-1}$ acts with characteristic polynomial $F(t,1)/f_0$ on $M_{f_0}$. It follows that $\text{Det}(A_1x_1 + A_2x_2) = \pm f$. We can argue similarly if $N$ is characteristic.

Now, suppose that $M, N$ are balanced. Then we know that $M, N$ are constructed from an element $A \in Z^2 \otimes Z^n \otimes Z^n$ such that $\text{Det}(A) = f$. We can see from the construction of the action of $\theta$ on $M_{f_0}$ that $M$ is characteristic. We have a map $M \to \text{Hom}_{R_f}(N,I_f)$, and composition with $I_f \subset J_f$ gives $M \to \text{Hom}_{R_f}(N,J_f) = \text{Hom}_Z(N,Z)$. The map $M \to \text{Hom}_Z(N,Z)$ is given by $A_1$, and thus $[\text{Hom}_{R_f}(N,J_f) : M] = |f_0|$, which implies $M \subset \text{Hom}_{R_f}(N,I_f)$, and thus the map $M \to \text{Hom}_{R_f}(N,I_f)$ is injective as well.

**Corollary 5.3.** For a non-zero form $f$, if $N$ is a finitely generated invertible module for $R_f$, then there exists a unique balancing partner $M$ for $N$.

**Proof.** If $N$ is a finitely generated invertible module, then $N$ can be realized as an invertible fractional ideal of $R_f$ [1] II.5.7, Proposition 12. Then $\text{Hom}_{R_f}(N,J_f) = N^{-1}J_f$ and $\text{Hom}_{R_f}(N,I_f) = N^{-1}I_f$. In that case, $[\text{Hom}_{R_f}(N,J_f) : \text{Hom}_{R_f}(N,I_f)] = [J_f : I_f]$ and for $M$ to be balanced with $N$ it is necessary and sufficient that $M = \text{Hom}_{R_f}(N,I_f)$.

### 5.1 Non-degenerate forms

When $f$ is a non-degenerate binary $n$-ic form over $Z$, we have the following.

**Proposition 5.4.** If $f$ is a non-degenerate binary $n$-ic form over $Z$ (i.e. $\text{disc}(f) \neq 0$), then all characteristic modules can be realized as fractional ideals. This gives a bijection between isomorphism classes of characteristic $R_f$-modules and fractional ideal classes of $R_f$.

**Proof.** We assume $f_0 \neq 0$ by an action of $\text{GL}_2(Z)$. Then, we see that we can put the action of $\theta$ on a characteristic module $M$ in rational normal form over $Q$, and since it acts with the same separable characteristic polynomial as the action of $\theta$ on $R_f \otimes_Z Q$, in rational normal form these actions must be the same. Thus, we can view $M$ as a $Z$-submodule of $R_f \otimes_Z Q$, or a fractional ideal. Clearly two fractional ideals in the same class give isomorphic modules. Moreover, a module homomorphism between two fractional ideals $I_1 \to I_2$ sends $q \in Q \cap I_1$ to some element $k \in I_2$, and since the map is an $R_f$-module map, we see that it is multiplication by $k/q$.

For a fractional ideal $M$, let $|M|$ denote the norm of $M$, given by the index $[R_f : M]$, which can be defined even if $M$ is not a submodule of $R_f$, since they sit in a common $Q$-vector space. Then, we can reformulate the balancing condition in terms of norms. This is the version of balanced used in [1] and [2].

**Theorem 5.5.** For non-degenerate $f$, we have a bijection

\[
\begin{align*}
\{ \text{isomorphism classes of balanced pairs} \} & \leftrightarrow \{ \text{classes of } (M,N) \text{ where } M \text{ and } N \text{ are fractional } R_f\text{-ideals, } MN \subset I_f \text{ and } |M||N| = |I_f| \}, \\
\{ (M,N) \text{ of modules for } f \} & \leftrightarrow \{ |M||N| = |I_f| \}.
\end{align*}
\]
where \((M, N)\) and \((M_1, N_1)\) are in the same class if \(M_1 = \lambda M\) and \(N_1 = \lambda^{-1} N\) for some invertible element \(\lambda \in R_f \otimes \mathbb{Z} Q\).

**Proof.** All modules that appear in balancing pairs are characteristic by Proposition 5.1 and thus can be realized as fractional ideals. For a balanced pair \(M, N\) of modules, we can take any fractional ideal representative of \(M\otimes N\). We can choose that element to be 1 by taking a different representative for \(R\). We will show that the index condition for balanced is equivalent to the norm condition \(\tau(M) = \Hom(R, Q)\), when working over \(Q\), and therefore over \(Z\) we have that \(MN \rightarrow I_f\) is injective.

A map \(MN \rightarrow I_f\) is just multiplication by some element of \(R_f \otimes Z Q\). The element is not a zero-divisor since \(MN \rightarrow I_f\) is injective, and thus it is invertible in \(R_f \otimes Z Q\). We can choose that element to be 1 by taking a different representative for \(N\) in its ideal class. If we had chosen a different representative for \(M\), this would change the class of \((M, N)\).

Suppose we have a balanced pair \((M, N)\) realized as ideal classes with \(MN \subset I\). We will show that the index condition for balanced is equivalent to the norm condition in the above theorem.

**Proposition 5.6.** Let \(f\) be a non-zero form. If \(M\) and \(N\) are fractional ideals of \(R_f\) with \(MN \subset I_f\), then \([\Hom_{R_f}(N, J_f) : M] = [J_f : I_f]\) if and only if \(|M||N| = |I_f|\).

**Proof.** We can act by \(\text{GL}_2(Z)\) so as to assume \(f_0 \neq 0\). We claim that \(|M||N|\) is the product of \(|J_f|\) with the determinant of the pairing \(\zeta_{n-1}(mn)\). When \(M = R_f\) and \(N = J_f\), we see from Proposition 2.1 that the determinant of the pairing is 1, and thus the claim is true. If we change \(Q\)-bases from \(R_f, J_f\) to \(M, N\), we change the determinant of the pairing by \(N(M)N(N)/N(J_f)\) and thus the determinant of the pairing \(\zeta_{n-1}(mn)\) is \(|M||N|/|J_f|\).

The index of \(M\) in \(\Hom_{R_f}(N, J_f)\) is just the index of \(M\) in \(\text{Hom}_{\mathbb{Z}}(N, Z)\), which is given by the pairing \(\zeta_{n-1}(mn)\). Thus \([\Hom_{R_f}(N, J_f) : M] = |M||N|/|J_f|\). We see that \([\Hom_{R_f}(N, J_f) : M] = [J_f : I_f]\) if and only if \(|M||N| = |I_f|\).

The theorem now follows from the above proposition.

For symmetric tensors, we can make a similar argument to prove the following.
Theorem 5.7. For non-degenerate \( f \), we have a bijection

\[
\begin{align*}
\text{isomorphism classes of self balanced of} & \quad \leftrightarrow \quad \text{classes of } (M,k) \\
\text{modules } M \text{ for } f & \quad \iff \quad \text{modules } M \text{ balanced of } f
\end{align*}
\]

where \( (M,k) \) and \( (M_1,k_1) \) are in the same class if \( M_1 = \lambda M \) and \( k_1 = \lambda^{-2}k \) for some invertible element \( \lambda \in R_f \otimes_{\mathbb{Z}} \mathbb{Q} \).

5.2 Primitive forms

If \( f \) is primitive then \( I_f \) and \( J_f \) are invertible \( R_f \)-modules [19 Proposition 2.1]. For general non-zero forms, we saw in Corollary 5.3 that invertible ideals have unique balancing partners. For primitive \( f \) we have the following.

Proposition 5.8. If \( f \) is a primitive non-degenerate form, and \( N \) is a characteristic \( R_f \)-module, then there exists a unique balancing partner \( M \) for \( N \) (i.e. an \( R_f \)-module \( M \) and map \( M \otimes_{R_f} N \rightarrow I_f \) that gives a balanced pair).

Proof. In this case, we see that \( [\text{Hom}_{R_f}(N,J_f) : \text{Hom}_{R_f}(N,I_f)] = [J_f : I_f] \). This is because \( \text{Hom}_{R_f}(N,J_f) \) and \( \text{Hom}_{R_f}(N,I_f) \) are naturally realized as fractional \( R_f \)-ideals. Then we see that \( \text{Hom}_{R_f}(N,J_f)J_f^{-1}I_f = \text{Hom}_{R_f}(N,I_f) \) and \( \text{Hom}_{R_f}(N,I_f)J_f^{-1}I_f = \text{Hom}_{R_f}(N,J_f) \). Thus \( \text{Hom}_{R_f}(N,J_f) = \text{Hom}_{R_f}(N,I_f)J_f^{-1}I_f \), and \( \text{Hom}_{R_f}(N,J_f) : \text{Hom}_{R_f}(N,I_f) \) is the norm of \( J_fI_f^{-1} \), which is \( [J_f : I_f] \). Then, for \( M \) to be balanced with \( N \) it is necessary and sufficient that \( M = \text{Hom}_{R_f}(N,I_f) \). 

Theorem 1.1 now follows from Propositions 5.8 and 5.4 and Theorem 3.1. We can also apply Proposition 5.8 to symmetric tensors.

Theorem 5.9. For non-degenerate primitive \( f \), we have a bijection

\[
\begin{align*}
\text{isomorphism classes of self balanced of} & \quad \leftrightarrow \quad \text{classes of } (M,k) \\
\text{modules } M \text{ for } f & \quad \iff \quad \text{modules } M \text{ balanced of } f
\end{align*}
\]

where \( (M,k) \) and \( (M_1,k_1) \) are in the same class if \( M_1 = \lambda M \) and \( k_1 = \lambda^2k \) for some invertible element \( \lambda \in R_f \otimes_{\mathbb{Z}} \mathbb{Q} \), and \( (I_fk : M) \) is the fractional ideal of elements \( x \) such that \( xM \subset I_f \).

6 Main theorem over an arbitrary base

The proof of Theorem 3.1 works over an arbitrary base with some modifications. Let \( S \) be a scheme. We consider binary \( n \)-ic forms with coefficients in \( \mathcal{O}_S \), i.e. \( f_0x_1^n + f_1x_1^{n-1}x_2 + \cdots + f_nx_2^n \) with \( f_i \in \mathcal{O}_S \). We say such a form is a zero-divisor if it is a zero divisor in the \( \mathcal{O}_S \)-algebra \( \mathcal{O}_S[x,y] \), which means that for some open \( U \) of \( S \), that \( f \) is zero divisor in \( \mathcal{O}_S[x,y](U) \). We can construct an \( \mathcal{O}_S \)-module \( R_f \) and an \( R_f \)-module \( I_f \).
of matrices $A$ is a unit in $\mathbb{R}$.

Definition. A based balanced pair of modules for $f$ is a pair of $R_f$-modules $M$ and $N$, a choice of basis $M \cong \mathcal{O}_S^n$ and $N \cong \mathcal{O}_S^n$, and a map of $R_f$-modules $M \otimes_{R_f} N \to I_f$, such that when the composition $M \otimes_{\mathcal{O}_S} N \to M \otimes_{R_f} N \to I_f \to V$ is written as a pair of matrices $A_1$ and $A_2$, we have $\det(A_1 x_1 + A_2 x_2) = f$. A balanced free pair of modules for $f$ is a pair of $R_f$-modules $M$ and $N$, each a free rank $n$ $\mathcal{O}_S$-module, and a map of $R_f$-modules $M \otimes_{R_f} N \to I_f$, such that when the composition $M \otimes_{\mathcal{O}_S} N \to M \otimes_{R_f} N \to I_f \to V$ is written as a pair of matrices $A_1$ and $A_2$, we have $\det(A) = f u$, where $u$ is a unit in $\mathcal{O}_S$.

Given a balanced pair of modules for a non-zero-divisor form $f$, there is a unique choice of generator $\chi$ of $\wedge^n M \otimes \wedge^n N$ such that $\det(A_1 x_1 + A_2 x_2) = f$ when constructing $A$ with bases of $M$ and $N$ that give $\chi \in \wedge^n M \otimes \wedge^n N$, we obtain $\det(A) = f$.

Theorem 6.1. For every non-zero-divisor binary $n$-ic form $f$ with coefficients in $\mathcal{O}_S$, there is a bijection

$$\left\{ \text{based balanced pairs } (M, N) \text{ of modules for } f \right\} \leftrightarrow \left\{ A \in \mathcal{O}_S^2 \otimes \mathcal{O}_S^n \otimes \mathcal{O}_S^n \text{ with } \det(A) = f \right\}.$$

Let $G$ be the subgroup of $\text{GL}_n(\mathcal{O}_S) \times \text{GL}_n(\mathcal{O}_S)$ of elements $(g_1, g_2)$ such that $\det(g_1) \det(g_2) = 1$. Then, $G$ acts equivariantly in the above bijection (acting of the bases of $M$ and $N$), and we obtain a bijection

$$\left\{ \text{isomorphism classes of balanced free pairs } (M, N) \text{ of modules for } f \right\} \leftrightarrow \left\{ G\text{-classes of } A \in \mathcal{O}_S^2 \otimes \mathcal{O}_S^n \otimes \mathcal{O}_S^n \text{ with } \det(A) = f \right\}.$$

Proof. To construct a based balanced pair of modules from $A \in \mathcal{O}_S^2 \otimes \mathcal{O}_S^n \otimes \mathcal{O}_S^n$, we can simply pullback the construction from the universal tensor (and we call this construction $\psi$). Again, the balancing map composed with $I_f \to V$ gives the construction $\phi$ of an element of $\mathcal{O}_S^2 \otimes \mathcal{O}_S^n \otimes \mathcal{O}_S^n$ from a based balanced pair. Now, suppose we have $(M, N)$, a based balanced pair of modules for $f$, and $\phi(M, N) = A$ and $\psi(A) = (M', N')$. We need to check that the action of $R_f$ is the same on $M$ and $M'$ (and $N$ and $N'$), and that the balancing maps agree. It suffices to check this everywhere locally over $S$, and so we can assume that $S$ is affine, and $S = \text{Spec } B$. Then, if suffices to check in a larger ring, so we let $E$ be the ring obtained from inverting all the non-zero-divisors in $B[x, y]$.

We have that $B[x, y] \subset E$. We see that $x$ is not a zero divisor in $B[x, y]$, because $xg = 0$ implies that the leading coefficient of $g$ is 0. We consider $G(t_1, t_2) = F(x t_1, y t_1 + \frac{1}{x^2} t_2)$. This is a binary $n$-ic form in variables $t_i$ with coefficients in $E$. Over $E$ we see it is a $\text{GL}_2(E)$ transformation of $f$. We have that $G(1, 0) = F(x, y)$, and thus $f$ is the leading coefficient of the new form. However, $f$ has an inverse in $E$ and thus is not a zero divisor. By the $\text{GL}_2$ invariance of our constructions, we can reduce to checking in the case where $f_0$ is not a zero divisor. In this case we can prove Proposition 3.3 just as in the case of $\mathbb{Z}$.

$\square$
In fact, we can consider a completely general binary $n$-ic form over $S$ given by a locally free rank 2 $\mathcal{O}_S$-module $V$, a locally free rank 1 $\mathcal{O}_S$-module $L$, and a global section $f \in \text{Sym}^n V \otimes L$. We say a form $f$ is a zero-divisor if it is a zero divisor on any open $\mathcal{U}$ of $S$ on which $V$ and $L$ are free (and in this case the notion of zero-divisor is defined above). When $f$ is not a zero-divisor, we have an associated $\mathcal{O}_S$-algebra $R_f := \pi_*(\mathcal{O}_{T_f})$, where $T_f$ is the subscheme of $\mathbb{P}(V)$ cut out by $f$, and $\pi : T_f \to S$. We have line bundles $\mathcal{O}_{T_f}(k)$ on $T_f$ pulled back from $\mathcal{O}(k)$ on $\mathbb{P}(V)$, and we define $I_f := \pi_*(\mathcal{O}_{T_f}(n-3)) \otimes (\wedge^2 V)^{\otimes 2} \otimes L$, which has an action of $R_f$ through the first factor. Then we have a natural map $I_f \to V^* \otimes \wedge^2 V \cong V$ as in [19] Equations (3.8) and (3.9).

Locally on $S$ where $V$ and $L$ are free, the constructions of $R_f$ and $I_f$ pull back from the constructions of $R_f$ and $I_f$ for the universal form over $\mathbb{Z}[f_0, \ldots, f_n]$ as given in Section 2 and used at the start of this section. See [19, Section 3] for more details of these constructions.

We now consider $A \in V \otimes U \otimes W$, where $U$ and $W$ are locally free rank $n$ $\mathcal{O}_S$-modules with an orientation isomorphism $\wedge^n U \otimes \wedge^n W \cong L$. An isomorphism between $A \in V \otimes U \otimes W$ and $A' \in V \otimes U' \otimes W'$ is given by isomorphisms $U \cong U'$ and $W \cong W'$ that take $A$ to $A'$ and respect the orientations. We have the determinant $\text{Det}(A) \in \text{Sym}^n V \otimes \wedge^n U \otimes \wedge^n V \cong \text{Sym}^n V \otimes L$. (see [21, Section 8.2] for the details of this kind of construction). Given a scheme $S$ and a locally free $\mathcal{O}_S$-module $U$, we let $U^*$ denote the $\mathcal{O}_S$-module $\text{Hom}_{\mathcal{O}_S}(U, \mathcal{O}_S)$, even if $U$ is also a module for another sheaf of algebras.

**Definition.** A balanced pair of modules for a non-zero-divisor $f$ is a pair of $R_f$-modules $M$ and $N$, each a locally free rank $n$ $\mathcal{O}_S$-module such that $\wedge^n M \otimes \wedge^n N \cong L^*$, and a map of $R_f$-modules $M \otimes_{R_f} N \to I_f$, such that when the composition $M \otimes_{\mathcal{O}_S} N \to M \otimes_{R_f} N \to I_f \to V$ is written as $A \in M^* \otimes N^* \otimes V$ the image of $\text{Det}(A)$ in $\text{Sym}^n V \otimes L$ (via an isomorphism $\wedge^n M^* \otimes \wedge^n N^* \cong L$) is $fu$, where $u$ is a unit in $\mathcal{O}_S$. When $f$ is a non-zero-divisor, given that $\wedge^n M \otimes \wedge^n N \cong L^*$, there is a unique choice of isomorphism so that the image of $\text{Det}(A)$ is $f$.

**Theorem 6.2.** For every non-zero-divisor binary $n$-ic form $f \in \text{Sym}^n V \otimes L$, there is a bijection

$$\left\{ \text{isomorphism classes of balanced pairs} \right\} \leftrightarrow \left\{ \text{isomorphism classes of } A \in V \otimes U \otimes W, \text{ where } U \text{ and } W \text{ are locally free rank } n \text{ } \mathcal{O}_S \text{-modules with an orientation isomorphism } \wedge^n U \otimes \wedge^n W \cong L, \text{ and } \text{Det}(A) = f \right\}.$$

**Proof.** From $A$, we can construct $R_f$ modules from $U^*$ and $W^*$ by giving the $R_f$ action locally where $U$ and $W$ are free and we can chose bases, and then seeing that it is invariant under change of basis by elements of $\text{GL}_n \times \text{GL}_n$ that preserve the orientation. Similarly, we can construct the balancing map. Again, the construction of $A$ from a balanced pair of modules just combines the balancing map with $I_f \to V$. To see that these constructions are inverse, it suffices to check locally on $S$, where we can assume $V$, $U$, and $W$ are free. \qed
As when working over \( \mathbb{Z} \), we can also get a version on the theorem for symmetric tensors.

**Definition.** A self balanced module for a non-zero-divisor \( f \) is an \( R_f \)-modules \( M \), that is a locally free rank \( n \) \( O_S \)-module and such that \( (\wedge^n M)^{\otimes 2} \) is isomorphic to \( L^* \), and a map of \( R_f \)-modules \( M \otimes_{R_f} N \rightarrow I_f \), such that when the composition \( M \otimes_{O_S} N \rightarrow M \otimes_{R_f} N \rightarrow I_f \rightarrow V \) is written as \( A \in M^* \otimes N^* \otimes V \) we have \( \text{Det}(A) = fu \), where \( u \) is a unit in \( O_S \).

**Theorem 6.3.** For every non-zero-divisor binary \( n \)-ic form \( f \in \text{Sym}^n V \otimes L \), there is a bijection

\[
\{ \text{isomorphism classes of self balanced} \} \leftrightarrow \{ \text{isomorphism classes of } A \in V \otimes \text{Sym}_2 U, \text{where } U \text{ is a locally free rank } n O_S \text{-module with an orientation isomorphism } (\wedge^n U)^{\otimes 2} \cong L \text{, and such that } \text{Det}(A) = f \}.
\]

**7 Geometric construction of modules from the universal tensor**

Just as we have given both concrete and geometric constructions of \( R_f, I_f \), and \( J_f \), in this section we will give geometric constructions of the \( R_f \)-modules \( M \) and \( N \) that were constructed explicitly above for the universal tensor.

**Notation.** Let \( B \) be a ring. When we have a matrix \( M \in B^m \otimes B^n \), we can multiply \( M \) by vectors in two ways. We can multiply \( M \) by a length \( m \) vector on the left, and we can multiply \( M \) by a length \( n \) column vector on the right. When we have an element \( A \in B^\ell \otimes B^m \otimes B^n \), we can multiply it by vectors in three different ways, and we realize that the “on the left” and “on the right” descriptions do not generalize appropriately for three dimensional tensors. We will need a new language. An element \( A \in B^\ell \otimes B^m \otimes B^n \) is comprised of entries \( a_{ijk} \), with \( 1 \leq i \leq \ell, 1 \leq j \leq m, \) and \( 1 \leq k \leq n \). We say that \( a_{ijk} \) is the entry in the \( i \)th aisle, \( j \)th row, and \( k \)th column. Note that aisle, row, and column denote two dimensional submatrices, i.e. codimension one slices of \( A \). For \( A \in B^2 \otimes B^n \otimes B^n \), the \( n \) by \( n \) matrix we called \( A_i \) above is the \( i \)th aisle of \( A \).

If we have a sequence \( x_1, \ldots, x_\ell \), we can form it into a vector and combine it with \( A \in B^\ell \otimes B^m \otimes B^n \) to get the \( m \) by \( n \) matrix we call \( A(x, \cdot, \cdot) \) with \( j, k \) entry \( \sum_i a_{ijk}x_i \). The dots indicate that we have not also multiplied by vectors in the other situations. For example, for \( A \in B^2 \otimes B^n \otimes B^n \), the matrix \( A(x, \cdot, \cdot) \) is what we have previously referred to as \( A_1 x_1 + A_2 x_2 \). Similarly, if we have a sequence \( y_1, \ldots, y_m \), we can form a \( 2 \times m \) array \( A(\cdot, y, \cdot) \) with \( i, k \) entry \( \sum_j a_{ijk}y_j \). We could call this array a matrix, but it is more convenient to continue to refer to its aisles and columns. If we have a sequence \( z_1, \ldots, z_n \), we can form a \( 2 \times m \) array \( A(\cdot, \cdot, z) \) with \( i, j \) entry (in the \( i \)th aisle and \( j \)th row) \( \sum_k a_{ijk}z_k \). In fact, we will always use a \( x \) variable in the first place, \( y \) in
the second place, and a $z$ in the third place, and thus we will use the short hand $A(x)$ for $A(x, \cdot, \cdot)$ and $A(y)$ for $A(\cdot, y, \cdot)$. We may refer to the $j, k$ entry of $A(x)$ by $A(x)_{j,k}$ and the $i, k$ entry of $A(y)$ by $A(y)_{i,k}$. We can also multiply $A$ by more than one vector at a time. For example, $A(x, y, \cdot)$ (denoted by $A(x, y)$ for short) is a length $n$ vector with $\ell$th entry $\sum_i \sum_j a_{ijk}x_i y_j$.

Given a 2-dimensional array $A$ with entries in some ring, we can form the ideal $\mathcal{M}(A)$ of the determinants of its maximal minors. For example, for $A \in B^2 \otimes B^n \otimes B^n$, we have that $\mathcal{M}(A(x)) = \langle \det(A_1x_1 + A_2x_2) \rangle$. We have previously called the subscheme of $\mathbb{P}_B^1$ defined by this ideal $T_{\det(A_1x_1 + A_2x_2)}$. Now, in order to emphasize certain symmetries, we say that $\mathcal{M}(A(x))$ defines a subscheme $T_{A(x)} \subset \mathbb{P}_B^1$. Analogously, we have a subscheme $T_{A(y)} \subset \mathbb{P}_B^{n-1}$ cut out by the determinants of $2 \times 2$ minors of $A(y)$.

The scheme $T_{A(y)}$ has line bundles $\mathcal{O}_{T_{A(y)}}(k)$ pulled back from $\mathcal{O}(k)$ on $\mathbb{P}_B^{n-1}$. Heuristically, we would like to say that $R_{\det(A)}$ is the $B$-algebra of global functions of $T_{A(y)}$ and then we would have an $R_{\det(A)}$-module $\Gamma(\mathcal{O}_{T_{A(y)}}(1))$. However, we have already defined $R_{\det(A)}$ as the $B$-algebra of global functions of $T_{A(x)}$ which is not always isomorphic to $\Gamma(\mathcal{O}_{T_{A(y)}})$. Furthermore, $\Gamma(\mathcal{O}_{T_{A(y)}})$ is not always a free $B$-algebra,

Thus we can think of the tensor $C$ in $\Lambda^2 \otimes \Lambda^n \otimes \Lambda^n$ with $i, j, k$ entry $u_{i,j,k}$. We have a binary $n$-ic form $c = \det(C(x))$ with coefficients in $\Lambda$.

**Theorem 7.1.** The $\Lambda$-algebra $\Gamma(\mathcal{O}_{T_{C(x)}})$ is isomorphic to $R_c$.

**Proof.** Recall that $R_c = \Gamma(\mathcal{O}_{T_{C(x)}})$ by definition. So we need to show that we have an isomorphism of $\Lambda$-algebras $\Gamma(\mathcal{O}_{T_{C(x)}}) \cong \Gamma(\mathcal{O}_{T_{C(y)}})$. We might expect that this would follow because we had an isomorphism of $\Lambda$-schemes $T_{C(y)} \cong T_{C(x)}$, but that is not the case. However, restricted to a large open subscheme of $\text{Spec} \Lambda$, we do get such an isomorphism. Let $S'$ be the open subscheme of $S = \text{Spec} \Lambda$ that is the complement of the closed subscheme $Z$ (defined below). Let $T'_{C(y)} = T_{C(y)} \otimes S S'$, which is an open subscheme of $T_{C(y)}$. Similarly, let $T'_{C(x)} = T_{C(x)} \otimes S S'$, which is an open subscheme of $T_{C(x)}$.

We will show in Lemma 7.6 that we have an isomorphism of $S'$-schemes $T'_{C(y)} \cong T'_{C(x)}$.

The subscheme $Z$ of $S$ will correspond to tensors that are very degenerate. Thus we can think of the tensor $C \otimes S S'$ over $S'$ as the universal “not too degenerate” tensor. The $(n - 1)$-minors of $C(x)$ form a matrix $W(\{x_1^{n-1}, x_1^{n-2}x_2, \ldots, x_2^{n-1}\}, \cdot, \cdot)$. The $i, j, k$ entry of $W \in \Lambda^n \otimes \Lambda^n \otimes \Lambda^n$ is $(-1)^{i+k}$ times the $x_1^{n-1}x_2^{n-1}$ coefficient of the determinant of the submatrix of $C(x)$ obtained by deleting the $j$th row and $k$th column. We can form $\det(W(y))$, a degree $n$ polynomial in the $y_i$, and form the ideal $\mathfrak{w}$ of $\Lambda$ of its coefficients, with $d_i$ the coefficient of $y_i^n$. If we do the analogous construction, starting with $C(y)$, we see that the next-to-maximal minors of $C(y)$ are the entries themselves. Then we can form $\det(C(x)) = c$, and form the ideal $(c_0, \ldots, c_n)$ of its coefficients. Let $Z$ be the subscheme of $S = \text{Spec} \Lambda$ defined by the ideal $(c_0, \ldots, c_n)\mathfrak{w}$.

We will prove the theorem with the following lemmas.

**Lemma 7.2.** The codimension of $Z$ in $S$ is at least 2.
Thus a common divisor of \( d \) must be codimension 1 and thus given by a principal ideal. However, we note that \( c_0 \) and \( c_n \) are disjoint sets of the \( u_{ijk} \). In fact, \( c_0 \) only involves the \( u_{ijk} \) and \( c_n \) the \( u_{ijk} \). Thus \( c_0 \) and \( c_n \) have no nontrivial divisor in the UFD \( \Lambda \), and the subscheme cut out by \( (c_0, \ldots, c_n) \) cannot be codimension 1. Similarly, \( d_j \) does not involve any \( u_{ij} \). Thus a common divisor of \( d_1, \ldots, d_n \) must be trivial, and so the subscheme cut out by \( (d_1, \ldots, d_n) \) cannot be codimension 1. We conclude the subscheme cut out by \( \mathfrak{w} \), which is contained in the subscheme cut out by \( (d_1, \ldots, d_n) \), cannot be codimension 1. □

Lemma 7.3. We have that the restriction map \( \Gamma(\mathcal{O}_S) \rightarrow \Gamma(\mathcal{O}_{S'}) \) is an isomorphism and thus \( \Gamma(\mathcal{O}_{S'}) \) is naturally isomorphic to \( \Lambda \).

Proof. This follows because \( Z \) is codimension at least 2 (e.g. by [13, Chapter 4, Theorem 1.14]). □

From this lemma we conclude that \( \Gamma(\mathcal{O}_{\mathcal{C}(x)}') \) and \( \Gamma(\mathcal{O}_{\mathcal{C}(y)}') \) are \( \Lambda \)-algebras.

Lemma 7.4. The restriction map \( \Gamma(\mathcal{O}_{\mathcal{C}(x)}') \rightarrow \Gamma(\mathcal{O}_{\mathcal{C}(y)}') \) is an isomorphism of \( \Lambda \)-algebras.

Proof. Let \( \pi : \mathcal{C}(x) \rightarrow S \). We will see in Theorem 8.4 that the \( \mathcal{O}_S \)-module \( \pi_* (\mathcal{O}_{\mathcal{C}(x)})' \) is locally free on \( S \). We will show that \( \pi_* (\mathcal{O}_{\mathcal{C}(x)})' \) is isomorphic to the pushforward of \( \pi_* (\mathcal{O}_{\mathcal{C}(x)}') \) to \( S \), and then taking global sections will prove the lemma. We cover \( S \) with opens \( \mathcal{U}_i \) that trivialize \( \pi_* (\mathcal{O}_{\mathcal{C}(x)})' \). Since \( S \) is irreducible, \( \mathcal{U}_i \) is the same dimension as \( S \). On each \( \mathcal{U}_i \), we then have that \( \mathcal{U}_i \cap Z \) is at least codimension 2 in \( \mathcal{U}_i \). Thus, on \( \mathcal{U}_i \), the sheaf \( \pi_* (\mathcal{O}_{\mathcal{C}(x)}') \) is isomorphic to the pushforward of \( \mathcal{O}_{\mathcal{C}(x)}' \) to \( \mathcal{U}_i \) for which the restriction map to \( S' \) is an isomorphism by Lemma 7.3. This means that restricted to \( \mathcal{U}_i \), we have that \( \pi_* (\mathcal{O}_{\mathcal{C}(x)}') \) is isomorphic to the pushforward of \( \pi_* (\mathcal{O}_{\mathcal{C}(x)}') \) to \( S \). □

Lemma 7.5. The restriction map \( \Gamma(\mathcal{O}_{\mathcal{C}(y)}') \rightarrow \Gamma(\mathcal{O}_{\mathcal{C}(y)}') \) is an isomorphism of \( \Lambda \)-algebras.

Proof. The sheaf \( \pi_* (\mathcal{O}_{\mathcal{C}(y)}') \) is locally free on \( S \) by Theorem 8.4. We then use the same argument as in Lemma 7.4 □

Lemma 7.6. We have an isomorphism of \( S' \)-schemes \( T'_{\mathcal{C}(y)} \cong T'_{\mathcal{C}(x)} \).

Proof. First we will give the main idea of the proof. The idea is that we can define a correspondence between points of \( T'_{\mathcal{C}(y)} \) and \( T'_{\mathcal{C}(x)} \) by \( \mathcal{C}(x, y) = 0 \). For points in \( T'_{\mathcal{C}(x)} \), we have \( \det(\mathcal{C}(x)) = 0 \), and thus there should be some values of \( y_j \) such that \( \mathcal{C}(x, y) = 0 \). For these \( y_j \), we have that \( \mathcal{C}(y) \) sends a non-trivial vector \( x \) to 0, and thus is rank 1. Conversely, for points in \( T'_{\mathcal{C}(y)} \), we have that \( \mathcal{C}(y) \) is rank 1, and thus should send a non-trivial vector \( x \) to zero, and \( \mathcal{C}(x, y) = 0 \) implies that \( \mathcal{C}(x) \) has determinant zero. The first difficulty in making this idea rigorous is that the correspondence is only a bijection when \( \mathcal{C} \) is sufficiently non-degenerate, which is why we have had to restrict to the base \( S' \). Over \( S' \), we could prove that the tensors are sufficiently non-degenerate.
to give a bijection of field valued points of $T'_{C(y)}$ and $T'_{C(x)}$. Below, we must work a bit harder to prove an isomorphism of schemes.

First we give a map $T'_{C(y)} \to T'_{C(x)}$. We will give maps from open sets of $T'_{C(y)}$ to $\mathbb{P}^{1}_{S'}$. We will then show that the open sets cover $T'_{C(y)}$. We will then show that these maps agree on overlaps, and finally we will show that the image lands in $T'_{C(x)}$.

Given an $1 \leq i \leq n$, we map $T'_{C(y)}$ to $\mathbb{P}^{1}_{S'}$ via $x_{1} = - \sum_{j} u_{2,j} y_{j}$ and $x_{2} = \sum_{j} u_{1,j} y_{j}$, which we can do on the open set $E_{k} \subset T'_{C(y)}$ defined as the complement of the ideal $(\sum_{j} u_{1,j} y_{j}, \sum_{j} u_{2,j} y_{j})$. Note that $\sum_{j} u_{i,j} y_{j}$ is the $i,k$ entry of $C(y)$, or of the next-to-maximal minor of $C(y)$. Suppose there was a point of $T'_{C(y)}$ not in any $E_{k}$. If we write $y$ for the vector of the $y_{j}$’s, then at this point we have $C(y) = 0$, i.e. $C_{1}(y) = 0$ and $C_{2}(y) = 0$, and thus for formal $x_{i}$, we have $C(x,y) = 0$, and thus $\text{Det}(C(x)) = 0$ at this point, which contradicts our choice of $S'$ to be in the complement of $(c_{0}, \ldots, c_{n})$.

The fact that these maps agree on the intersection of $E_{k}$ and $E_{\ell}$ is exactly given by the fact that on $T'_{C(y)}$ the $2 \times 2$ minor of $C(y)$ including rows $k$ and $\ell$ is 0. To see that the image of our map lands in $E_{k}$ we check on opens $P_{i}$ of $T'_{C(x)}$, where $y_{i}$ is non-zero. We have that $C(x)$ on $E_{k}$ has $j,k$ entry $-u_{1,j,k} \sum_{a} a_{2,\ell} y_{a} + u_{2,j,k} \sum_{a} a_{1,\ell} y_{a}$, and thus $C(x,y)$ has $k$th entry

$$\sum_{j} y_{j} \left( \sum_{a} a_{2,\ell} y_{a} + \sum_{a} u_{1,\ell} y_{a} \right) = \sum_{j} \sum_{a} -u_{1,j,k} y_{j} \sum_{a} a_{2,\ell} y_{a} + \sum_{j} \sum_{a} u_{2,j,k} y_{j} \sum_{a} u_{1,\ell} y_{a} = -C(y)_{1,k} C(y)_{2,\ell} + C(y)_{2,k} C(y)_{1,\ell},$$

which is zero by the definition of $T'_{C(y)}$. On $P_{i}$ we form the column vector $y_{j}/y_{i}$ of regular functions, with $j$th entry $y_{j}/y_{i}$, and we see that $C(x,y/y_{i}) = 0$. Thus we can write the $i$th row of $C(x)$ as a linear combination of the other rows, and conclude that $\text{Det}(C(x)) = 0$.

Next, we will give a map $T'_{C(x)} \to T'_{C(y)}$, which should be seen in analogy to the map $T'_{C(y)} \to T'_{C(x)}$. We will give maps from open sets of $T'_{C(x)}$ to $\mathbb{P}^{n-1}_{S'}$, and show that the open sets cover $T'_{C(y)}$. We will then show that these maps agree on overlaps, and finally we will show that the image lands in $T'_{C(x)}$. Given an $1 \leq i \leq n$, we map $T'_{C(x)}$ to $\mathbb{P}^{n-1}_{S'}$ by letting $y_{j}$ equal the $j,k$ minor of $C(x)$, that is $y_{j}$ equals $(-1)^{j+k}$ times the determinant of the submatrix of $C(x)$ obtained by deleting the $j$th row and $k$th column. We have defined the $y_{j}$’s to be a column of minors of $C(x)$. This is a well-defined map to $\mathbb{P}^{n-1}$ on the open set $F_{k} \subset T'_{C(x)}$ defined as the complement of the ideal of $(n-1)$-minors of $C(x)$ for the $k$th column. Suppose there was a point of $T'_{C(x)}$ not in any $F_{k}$, then at this point we have all minors of $C(x)$ are 0. This means that $W(\{x_{1}^{n-1}, x_{1}^{n-2} x_{2}, \ldots, x_{2}^{n-1}\}, \cdot) = 0$. Thus for formal $y$, we have $W(\cdot, y, \cdot)$ has a non-trivial kernel and thus $\text{Det}(W(y)) = 0$, which contradicts our choice of $S'$ to be in the complement of $w$.

The fact that these maps agree on the intersection of $F_{k}$ and $F_{\ell}$ is exactly given by the fact that the $2 \times 2$ minors of the classical adjoint matrix are divisible by the determinant of the original matrix. To see that the image of our map lands in $T'_{C(y)}$, we check on opens $P_{i}$ of $T'_{C(x)}$, where $x_{i}$ is non-zero. On $P_{i}$ we form the column vector
are in the ideal generated by the elements of its “new” row, and we claim these elements which is the $M^{(non-deleted)}$ rows of $(\text{of relations not assuming the relation in } x \text{ new } k)$ and adding a row that is the $j,\ell$ minor of $C(x)$. Thus $-x'_1x_2 + x'_2x_1$ is the determinant of the matrix obtained from $C(x)$ by replacing the $\ell$th column by the $k$th column, and is zero in any case on $T_C(x)$. This shows that our maps compose to the identity on the inverse image of $F_k$ in $F_\ell$ for all $k$ and $\ell$, and thus on $T_C(x)$.

We now check on the inverse image of $F_k$ in $E_\ell$. Here we start with $y_j$, and then from the $y_j$ we define new $x'_i$. We will compute $-x'_1x_2 + x'_2x_1$. Since we have $x'_1 = - \sum_j u_{jk}y_j$ and $x'_2 = \sum_j u_{jk}y_j$, we have that

$$-x'_1x_2 + x'_2x_1 = \sum_j (u_{1jk}x_1 + u_{2jk}x_2)y_j.$$ 

We note that $u_{1jk}x_1 + u_{2jk}x_2 = C(x)_{j,k}$, and that $y_j$ is defined to be the $j,\ell$ minor of $C(x)$. Thus $-x'_1x_2 + x'_2x_1$ is the determinant of the matrix obtained from $C(x)$ by replacing the $\ell$th column by the $k$th column, and is zero in any case on $T_C(x)$. This shows that our maps compose to the identity on the inverse image of $F_k$ in $F_\ell$ for all $k$ and $\ell$, and thus on $T_C(x)$.

We now check on the inverse image of $F_k$ in $E_\ell$. Here we start with $y_j$, we define new $x_i$, and then from the $x_i$ we define new $y'_j$. At first, we will use formal $y_j$ (i.e. not assuming the relation in $T_C(y)$). Then we will compute $y'_j y_m - y_m y_j$ is in the ideal of relations $\mathcal{M}(C(y))$ that cut out $T_C(y)$. We can form an $n \times n$ matrix $M$ with $a, b$ entry $(-1)^a C(x)_{a,b} y_a$ if $a = j, m$ and $C(x)_{a,b}$ otherwise. Note that $(-1)^m y'_j y_m$ is the $j, k$ minor of $M$ and $(-1)^j y'_j y_m$ is the $m, k$ minor of $M$. For any matrix $N$ the difference of $(-1)^m$ times the $j, k$ minor of $N$ and $(-1)^j$ times the $m, k$ minor of $N$ is in the ideal generated by maximal minors of $\bar{N}$, which is obtained from $N$ by deleting rows $j$ and $m$ and adding a row that is the $j$th row of $N$ plus $(-1)^{j+m}$ times the $m$th row of $N$. The maximal minors of $M$ are not changed if we add multiples of the original (non-deleted) rows of $M$ to the new row of $M$. We add $(-1)^j y_a$ times the original $a$th row of $M$ to the new row of $M$ to obtain $M'$. The maximal minors of $M'$ certainly lie in the ideal generated by the elements of its “new” row, and we claim these elements are in $\mathcal{M}(C(y))$. In the $b, \ell$ column, the element in the new row of $M'$ is is

$$\sum_{i,c} u_{icb}x_i y_c (-1)^j = \sum_{i,c,a} (-1)^{i+j} u_{icb} u_{(3-i)a} y_a y_c = \sum_{i} (-1)^{i+j} C(y)_{i,b} C(y)_{3-i,\ell},$$

which is the $b, \ell$ minor of $C(y)$ and thus in $\mathcal{M}(C(y))$. This shows that our maps compose to the identity on $T_C(x)$.

Thus it follows that we have an isomorphism of $\Lambda$-algebras $R_c = \Gamma(\mathcal{O}_{T_C(x)}) \cong \Gamma(\mathcal{O}_{T_C(y)})$.

From Theorem 7.1 we have an $R_c$-module structure on $\Gamma(\mathcal{O}_{T_C(x)}(1))$. We now see that it is related to the module $M_C$ we constructed in Section 3.1.1 from the universal tensor.
Theorem 7.7. We have an isomorphism of $R_c$-modules
\[ \Gamma(\mathcal{O}_{C(x)}(1)) \cong \text{Hom}_\Lambda(M_c, \Lambda), \]
where $M_c$ is as in the construction $\psi$ of two $R_c$ modules $M_c$ and $N_c$ given in Section 7.6.

Proof. We will see in Theorem 8.4 that $\Gamma(\mathcal{O}_{C(x)}(1))$ is a free $\Lambda$-module with basis $y_1, \ldots, y_n$. Thus, it just suffices to check that the $\zeta_i$ acts on the $y_j$ in a way corresponding to their action on $M_c$. We know that $\zeta_i$ acts on the $y_j$ by a matrix of elements of $\Lambda$, and thus it suffices to determine this action over the generic point of $\text{Spec} \Lambda$, i.e. the fraction field of $\Lambda$. We have that $C(x, y) = 0$ and thus $y \zeta_1 x_1 + y \zeta_2 x_2 = 0$, where $y$ is a row vector of the $y_i$. Thus, where $x_2$ is invertible, $\frac{\partial y}{\partial y_2}$ acts like $-C_2 \zeta_1^{-1}$ on the right on the row vector $y$, and where $x_1$ is invertible, $\frac{\partial y}{\partial x_1}$ acts like $-C_1 \zeta_2^{-1}$ on the right on the row vector $y$. Thus $\frac{\partial y}{\partial x_2}$ acts like $-C_2 \zeta_1^{-1}$ on the left on elements of $\Gamma(\mathcal{O}_{C(x)}(1))$ written as row vectors whose entries are the coefficients of the $y_i$ in the element. We have that $\theta$ acts in elements of $M_c$ by $(-C_2 \zeta_1^{-1})^t$ on the left. Since in the correspondence between the algebraic and geometric construction on $R_c$ we have that $\theta$ corresponds to $\frac{\partial y}{\partial x_2}$, we see that the $\zeta_i$ act on $\Gamma(\mathcal{O}_{C(x)}(1))$ as they act on $\text{Hom}_\Lambda(M_c, \Lambda)$. \qed

We can of course get a completely analogous geometric construction of $N_c$ as $\text{Hom}_\Lambda(\Gamma(\mathcal{O}_{C(x)}(1)), \Lambda)$.

8 Geometric construction over an arbitrary base scheme

Notation. Given a scheme $S$ and a locally free $\mathcal{O}_S$-module $U$, we let $U^*$ denote the $\mathcal{O}_S$-module $\mathcal{H}om_{\mathcal{O}_S}(U, \mathcal{O}_S)$, even if $U$ is also a module for another sheaf of algebras. Let $\mathbb{P}(U) = \text{Proj} \text{Sym}^* U$.

Now we replace $\text{Spec} \Lambda$ by an arbitrary scheme $S$, and we consider $V, U, W$, locally free $\mathcal{O}_S$-modules of ranks $2$, $n$, and $n$, respectively. Let $p \in V \otimes U \otimes W$ denote a global section of $V \otimes U \otimes W$. Let $f = \text{Det}(p) \in \text{Sym}^n V \otimes \Lambda^n U \otimes \Lambda^n W$. In Section 6 we constructed a balanced pair $M, N$ of modules for $f$ from $p$. In this section, we will give a geometric construction of those modules, or rather a geometric construction of $M^*$ and $N^*$ as we have done in the case of the universal form in Section 7. This construction of the modules $M^*$ and $N^*$ from $p \in V \otimes U \otimes W$ will work for all $p$ and be functorial in $S$, i.e. will commute with base change in $S$.

The idea is to replace the subschemes of $\mathbb{P}(V)$, $\mathbb{P}(U)$, and $\mathbb{P}(W)$ cut out by the maximal minors of our tensor (called $T_p(V), T_p(U), T_p(W)$, respectively, in the Introduction) with complexes of sheaves. We will then replace $\pi_*$ with the hypercohomology functors $H^0 R\pi_*$. This has already been done in the construction of $Rf$ and the module $I_f$ in [19, Section 3]. We face some additional challenges in this paper for $T_p(U)$ and $T_p(W)$ because the complexes involved are more complicated. One can also interpret this work as a construction of dg-schemes given by resolutions of the maximal minors instead of just a construction of schemes.
8.1 Arbitrary triple tensors

We now give a more general construction before specifying to the situation of interest in this paper. Let $S$ be an arbitrary scheme, and let $p \in V \otimes U \otimes W$, where $V,U,W$ are locally free $\mathcal{O}_S$-modules of ranks $r_V, r_U, \text{ and } r_W$ respectively. Let $r = r_V$ and assume $r_W \geq r$. We can view $p$ as a map $W^* \to V \otimes U$, and take $r$-minors of this map, with coefficients in $U$, to get $\wedge_r^p : \wedge^r W^* \to \wedge^r V \otimes \text{Sym}^r U$ or equivalently $\wedge_r^p : \wedge^r W^* \otimes \wedge^r V^* \to \text{Sym}^r U$.

Let $\pi : \mathbb{P}(U) \to S$. Let $\mathcal{O}(k)$ be the usual sheaf of $\mathbb{P}(U)$. Then since $\pi_* \mathcal{O}(r) = \text{Sym}^r U$, by the adjointness of $\pi_*$ and $\pi^*$ we get a map

$$\wedge_r^p : \pi^*(\wedge^r W^* \otimes \wedge^r V^*) \to \mathcal{O}(r)$$

or equivalently, for any $k$, we get

$$\wedge_r^p : \pi^*(\wedge^r W^* \otimes \wedge^r V^*) \otimes_{\mathcal{O}_S} \mathcal{O}(k) \to \mathcal{O}(r + k).$$

It is an abuse of notation to call all these maps $\wedge_r^p$, but it better than the alternative. Locally on $S$, where $U, V,$ and $W$ are free, the map $\wedge_r^p : \pi^*(\wedge^r W^* \otimes \wedge^r V^*) \to \mathcal{O}(r)$ has image spanned by the $\binom{r}{r_V}$ $r$-by-$r$ minors of the matrix of the map $W^* \to V \otimes U$, an $r_V$ by $r_W$ matrix with entries in $U$. The idea of our construction is to replace the sheaf $\mathcal{O}/\text{im}(\wedge_r^p)$ of regular functions of the subscheme of $\mathbb{P}(U)$ cut out by those $r$-by-$r$ minors with complex that is generically a locally free resolution of the $\mathcal{O}/\text{im}(\wedge_r^p)$.

From the Eagon-Northcott complex, which resolves $R$ modulo the $\ell$ by $\ell$ minors of a generic matrix (see [9]), we can construct a complex $\mathcal{C}(k)$ with $\mathcal{C}^{-1}(k) \to \mathcal{C}^{0}(k)$ given by

$$\wedge_r^p : \pi^*(\wedge^r W^* \otimes \wedge^r V^*) \otimes_{\mathcal{O}_S} \mathcal{O}(k) \to \mathcal{O}(r + k),$$

and with $\mathcal{C}^i(k) = 0$ for $i > 0$ and $i \leq -(r_W - r) - 2$. For $-(r_W - r) - 1 \leq i \leq -2$, we have

$$\mathcal{C}^i(k) = \pi^*(\wedge^r V^* \otimes K_{-i}(r, W^*, V)) \otimes_{\mathcal{O}_S} \mathcal{O}(i + 1 + k),$$

where $K_{-i}(r, W^*, V)$ is the locally free $\mathcal{O}_S$-module built from $V$ and $W$ that is the $i$th term in the Eagon-Northcott complex for a map $\alpha : W^* \to V$ and $d_i$ is canonically constructed from $p$ (and explained in the next paragraph). Note that $K_{-i}(r, W^*, V)$ only depends on $V$ and $W$ and does not depend on $\alpha$.

We now show how to construct the $d_i$. From the construction of the Eagon-Northcott complex, there is a map

$$\text{Hom}_{\mathcal{O}_Y}(W^*, V) \to \text{Hom}_{\mathcal{O}_Y}(\wedge^r V^* \otimes K_{-i}(r, W^*, V), \wedge^r V^* \otimes K_{-i+1}(r, W^*, V))$$

that sends $\alpha \mapsto d_i$, where $d_i$ is the map in the Eagon-Northcott complex for $\alpha$. We can extend that linear map to

$$\text{Hom}_{\mathcal{O}_Y}(W^*, V) \otimes U \to \text{Hom}_{\mathcal{O}_Y}(\wedge^r V^* \otimes K_{-i}(r, W^*, V), \wedge^r V^* \otimes K_{-i+1}(r, W^*, V)) \otimes_{\mathcal{O}_Y} U$$

to get the maps when there are coefficients. Let $H_i$ be the $\mathcal{O}_Y$-module $\wedge^r V^* \otimes K_{-i}(r, W^*, V)$. We obtain $d_i : H_i \to H_{i+1} \otimes U$, or equivalently $d_i : H_i \otimes H_{i+1} \to U$.
Using adjointness of $\pi_*$ and $\pi^*$, this is equivalent to $d_i : \pi^*(H_i \otimes H^*_{i+1}) \to O_U(1)$, which gives us $d_i : \pi^*(H_i) \otimes O_U(i + 1 + k) \to \pi^*(H_{i+1}) \otimes O_U(i + 2 + k)$.

The complex $C(-r)$ of sheaves on $\mathbb{P}(U)$ has a commutative, homotopy-associative differential graded algebra structure from the commutative, homotopy-associative differential graded algebra structure on the Eagon-Northcott complex (which every resolution of a cyclic module has [5 Proposition 1.1]), and the complex $C(-r + 1)$ is a differential graded module for $C(-r)$. Now we make an important calculation about the cohomology of $C(-r)$ and $C(-r + 1)$.

**Theorem 8.1.** Let $p \in V \otimes U \otimes W$, where $V, U, W$ are locally free $O_S$-modules of ranks $r, r_U, r_W$ respectively. Assume that $r_W \geq r \geq 2$ and that we are in one of the following cases

1. $r = 2$ and $r_U \geq r_W$
2. $r_U = 2$ and $r = r_W$.

If $k = -r$ or $k = -r + 1$, then $R\pi_*C(k)$ has no cohomology in any degree except 0.

**Proof.** Let $j \neq 0$, and we will compute that each term of the complex $C(k)$ has trivial $R^j\pi_*$. By the projection formula, we can ignore the term that is pulled back from $S$. We have $R^j\pi_*$ of the $i$th term $i \leq -1$ of $C(k)$, in the $i$th place, is $R^{j-1}\pi_*C(k)$ viewed as a complex in the 0th place. We have that $R^{j-1}\pi_*(i + 1 + k) = 0$ unless either 1) $j = i$ and $i + 1 + k \geq 0$ or 2) $j = r_U - 1$ and $i + 1 + k \leq -r_U$. Since $i + 1 \leq 0$ and $k \leq -r + 1 \leq -1$, we can never have $i + 1 + k \geq 0$. We consider the two assumptions of the theorem in cases.

1. **Case I:** $r = 2$ and $r_U \geq r_W$. In this case, we have $i + 1 + k \geq -(r_W - r) + k \geq -(r_W - r) - r \geq -r_U$ and thus we can only have $i + 1 + k \leq -r_U$ if $i = -(r_W - r) - 1$, and $k = -r$, and $r_U = r_W$. However, that implies that $i = -r_U + 1$ and thus $j = 0$.

2. **Case II:** $r_U = 2$ and $r = r_W$. In this case, we only are considering $i = -1$, and thus $j = r_U - 1$ implies $j = 0$.

We now need to consider $R^j\pi_*$ of the 0th term of $C(k)$ (for $j \neq 0$). We have that $R^j\pi_*(r + k) = 0$ unless 1) $j = 0$ and $r + k \geq 0$ or 2) $j = r_U - 1$ and $r + k \leq -r_U$. However, we are assuming $j \neq 0$ and $r + k \geq 0$, and thus this can never happen. Thus we conclude that for $k = -r$ and $k = -r + 1$, under our assumptions about $r_U, r$, and $r_W$, the complex $C(k)$ has no cohomology in any degree except 0.

**Corollary 8.2.** Thus $R \wedge_U p = H^0 R\pi_*C(-r)$ is a sheaf of algebras on $S$, and $\mathcal{I} \wedge_U p = H^0 R\pi_*C(-r + 1)$ is a sheaf of $\mathcal{R}$-modules on $S$.

**Proof.** Since $R\pi_*C(-r)$ is equivalent to a single sheaf in degree 0, it has no non-trivial homotopies. Thus $H^0 R\pi_*C(-r)$ has an $O_S$-algebra structure that is not just homotopy-associative but in fact associative. Since $C(-r + 1)$ is a module for $C(-r)$, we have that $\mathcal{I} \wedge_U p = H^0 R\pi_*C(-r + 1)$ is an $H^0 R\pi_*C(-r)$-module.

We can also view the construction of $R \wedge_U p$, as taking the pushforward of the regular functions on the dg-scheme given by our resolution of $O/\text{im}(\wedge_U p)$, instead of on the scheme cut out by $\wedge_U p$. 27
When \( p \) is the universal tensor (of any size), then the Eagon-Northcott complex, and thus \( C(k) \), is exact at every spot except the 0th. Thus, when \( p \) is the universal tensor, \( C(k) \) is quasi-isomorphic to \( \mathcal{O}(k)/\text{im}(\wedge^r k) \). The sheaf \( \mathcal{O}(k)/\text{im}(\wedge^r k) \) is supported on the scheme defined by the \( r \)-by-\( r \) minors in \( \text{im}(\wedge^r k) \), and is isomorphic on that scheme to the pullback of \( \mathcal{O}(k) \) from \( \mathbb{P}(U) \). Thus, when \( \mathcal{C} \) is the universal tensor in \( \Lambda^2 \otimes \Lambda^n \otimes \Lambda^n \), we have that \( \mathcal{R}_{\wedge^r \mathcal{C}} \), is the sheaf of rings given by the global sections \( \Gamma(\mathcal{O}_{\wedge^r \mathcal{C}}) \) (as defined in Section 7), and \( \mathcal{I}_{\wedge^r \mathcal{C}} \) is the \( \mathcal{R}_{\wedge^r \mathcal{C}} \)-module given by the global sections \( \Gamma(\mathcal{O}_{\wedge^r \mathcal{C}}(1)) \).

Theorem 8.1 also allows us to see that the constructions of \( \mathcal{R}_{\wedge^r \mathcal{C}} \) and \( \mathcal{I}_{\wedge^r \mathcal{C}} \) commute with base change. More precisely, let \( \phi : S' \to S \) be a map of schemes. Let \( p' \in \phi^*U \otimes \phi^*V \otimes \phi^*W \) be the pullback of \( p \).

Then the natural map from cohomology

\[
\mathcal{R}_{\wedge^r \mathcal{C}} \otimes_{\mathcal{O}_S} \mathcal{O}_{S'} \to \mathcal{R}_{\wedge^r \mathcal{C}}
\]

is an isomorphism of \( \mathcal{O}_{S'} \)-algebras. Also, the natural map from cohomology

\[
\mathcal{I}_{\wedge^r \mathcal{C}} \otimes_{\mathcal{O}_S} \mathcal{O}_{S'} \to \mathcal{I}_{\wedge^r \mathcal{C}}
\]

is an isomorphism of \( \mathcal{R}_{\wedge^r \mathcal{C}} \)-modules (where the \( \mathcal{R}_{\wedge^r \mathcal{C}} \)-module structure on \( \mathcal{I}_{\wedge^r \mathcal{C}} \)-module structure on \( \mathcal{I}_{\wedge^r \mathcal{C}} \otimes_{\mathcal{O}_S} \mathcal{O}_{S'} \) comes from the \( \mathcal{R}_{\wedge^r \mathcal{C}} \otimes_{\mathcal{O}_S} \mathcal{O}_{S'} \)-module structure.

**Proof.** The key to this proof is to compute all cohomology of the pushforward of the complex \( C(k) \) for \( k = -r \) and \( k = -r + 1 \). We already know from Theorem 8.1 that there is only cohomology in degree 0. Theorem 8.1 will tell us that \( H^0 R\pi_* C(k) \) is locally free for \( k = -r \) and \( k = -r + 1 \). Thus since all \( H^i R\pi_*(C(k)) \) are flat, by [11, Corollaire 6.9.9], we have that cohomology and base change commute. Note that the base change morphisms respect the algebra and module structures on \( \mathcal{R}_{\wedge^r \mathcal{C}} \) and \( \mathcal{I}_{\wedge^r \mathcal{C}} \), and thus since they are isomorphisms, they are algebra and module isomorphisms. \( \Box \)

### 8.2 \( \mathcal{O}_S \)-module structure of \( \mathcal{R}_{\wedge^2 \mathcal{C}} \) and \( \mathcal{I}_{\wedge^2 \mathcal{C}} \)

Now we consider a base scheme \( S \), and \( V, U, W \) locally free \( \mathcal{O}_S \)-modules of ranks 2, \( n \), and \( n \), respectively. In this case, we construct the \( \mathcal{O}_S \)-algebra and module pairs \( \mathcal{R}_{\wedge^2 \mathcal{C}} \) and \( \mathcal{I}_{\wedge^2 \mathcal{C}} \), \( \mathcal{R}_{\wedge^2 \mathcal{C}} \) and \( \mathcal{I}_{\wedge^2 \mathcal{C}} \), and \( \mathcal{R}_{\wedge^2 \mathcal{C}} \) and \( \mathcal{I}_{\wedge^2 \mathcal{C}} \). We will now find the \( \mathcal{O}_S \)-module structure of all of these constructions. This has already been done for the \( \wedge^2 \mathcal{C} \) construction in [19, Section 3.1], and so we consider here the \( \wedge^2 \mathcal{C} \) (as the \( \wedge^2 \mathcal{C} \) constructions will follow identically).
Theorem 8.4. We have an exact sequence of $\mathcal{O}_S$-modules
\[ 0 \to \mathcal{O}_S \to \mathcal{R}_{\lambda_*^2 \mathbb{P}} \to (\text{Sym}^{n-2} V)^* \otimes \wedge^2 V^* \otimes \wedge^n W^* \otimes \wedge^n U^* \to 0, \]
and an $\mathcal{O}_S$-module isomorphism $\mathcal{I}_{\lambda_*^2 \mathbb{P}} \cong U$.

Proof. From Theorem 8.4 we know that for $k = -r$ and $k = -r + 1$ $C(k)$ has trivial $H^j R\pi_*$ for all $j \neq 0$, and all components $C(k)^i_j$ of the complex (the $i$th term of $C(k)$ sitting as a complex in the $i$th place) have $H^0 R\pi_*(C(k)^i_j) = 0$ except for possibly the two extremal terms $i = 0$ and $i = -n + 1$. Thus, by the long exact sequence of cohomology, we have the exact sequences
\[ 0 \to H^0 R\pi_*(\mathcal{O}) \to \mathcal{R}_{\lambda_*^2 \mathbb{P}} \to H^{n-1} R\pi_*(\pi^*(\wedge^2 V^* \otimes K_{-n+1}(2, W^*, V))) \otimes_{\mathcal{O}_S} \mathcal{O}(-n)) \to 0 \]
and
\[ 0 \to H^0 R\pi_*(\mathcal{O}) \to \mathcal{R}_{\lambda_*^2 \mathbb{P}} \to H^{n-1} R\pi_*(\pi^*(\wedge^2 V^* \otimes K_{-n+1}(2, W^*, V))) \otimes_{\mathcal{O}_S} \mathcal{O}(-n+1)) \to 0. \]

We see that
\[ H^{n-1} R\pi_*(\pi^*(\wedge^2 V^* \otimes K_{-n+1}(2, W^*, V))) \otimes_{\mathcal{O}_S} \mathcal{O}(-n)) \]
\[ = \wedge^2 V^* \otimes K_{-n+1}(2, W^*, V) \otimes_{\mathcal{O}_S} H^{n-1} R\pi_*(\mathcal{O}(-n)) \]
\[ = \wedge^2 V^* \otimes K_{-n+1}(2, W^*, V) \otimes_{\mathcal{O}_S} \wedge^n U^*. \]

Since $K_{-n+1}(2, W^*, V) = (\text{Sym}^{n-2} V)^* \otimes \wedge^n W^*$, we obtain the exact sequence desired. Also, note that $H^{n-1} R\pi_*(\mathcal{O}(-n + 1)) = 0$.

We can see that the three $\mathcal{O}_S$-algebras constructed from a tensor $p \in V \otimes U \otimes W$ are isomorphic. In the case that $V, U,$ and $W$ are free, then $p$ is a pull-back from the universal tensor, in which case we know the algebras are isomorphic from Theorem 7.1. If one checks that the algebra isomorphism given by Theorem 7.1 is canonical, that it doesn’t depend on the choice of bases of $V, U,$ and $W$, then that would show that the three $\mathcal{O}_S$-algebras constructed from a tensor $p \in V \otimes U \otimes W$ are all isomorphic because locally, $V, U,$ and $W$ are free, and if the isomorphisms between algebras do not depend on the choice of bases, they will agree on overlaps. In fact, the constructions made in Lemma 7.6 to give the isomorphism of $S'$-schemes $T^i_{C(y)} \cong T^i_{C(x)}$ are all given by minors of matrices and in fact are canonical.

Finally, we can see that the construction of the $\mathcal{R}_{\lambda_*^2 \mathbb{P}}$-module $T^i_{\lambda_*^2 \mathbb{P}}$ from this section agrees with the construction of a module structure on $U^*$ given in the proof of Theorem 6.2. By Theorem 8.3 $T^i_{\lambda_*^2 \mathbb{P}}$ is also a $\mathcal{R}_{\lambda_*^2 \mathbb{P}}$-module structure on $U^*$. Thus, we just need to check that the $\mathcal{R}_{\lambda_*^2 \mathbb{P}}$ actions agree, which we can check locally. By Corollary 8.3 the construction of $T^i_{\lambda_*^2 \mathbb{P}}$ commutes with base change, and the construction of a module structure on $U^*$ from the proof of Theorem 6.2 commutes with base change by construction. Thus it suffices to check that the module structures agree in the case of the universal tensor, which was done in Theorem 7.7.
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