Uplink Performance Analysis of Cell-Free mMIMO Systems under Channel Aging

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Abstract—In this paper, we investigate the impact of channel aging on the uplink performance of a cell-free (CF) massive multiple-input multiple-output (mMIMO) system with a minimum mean squared error (MMSE) receiver. To this end, we present a new model for the temporal evolution of the channel, which allows the channel to age at different rates at different access points (APs). Under this setting, we derive the deterministic equivalent of the per-user achievable signal-to-interference-plus-noise ratio (SINR). In addition to validating the theoretical expressions, our simulation results reveal that, at low user mobilities, the SINR of CF-mMIMO is nearly 5 dB higher than its cellular counterpart with the same number of antennas, and about 8 dB higher than that of an equivalent small-cell network with the same number of APs. On the other hand, at very high user velocities, and when the channel between the UEs and the different APs age at same rate, the relative impact of aging is higher for CF-mMIMO compared to cellular mMIMO. However, when the channel ages at the different APs with different rates, the effect of aging on CF-mMIMO is marginally mitigated, especially for larger frame durations.

Index Terms—Cell Free mMIMO, channel aging, performance analysis.

I. INTRODUCTION

Cell-free (CF) massive multiple-input multiple-output (mMIMO) systems are considered the natural successor to the cellular mMIMO technology for physical layer access in next-generation wireless systems [1]–[4]. The canonical CF-mMIMO setup consists of a large number of access points (APs) spread over a given physical area, and connected to a single central processing unit (CPU). Since the signals received from all the UEs at multiple APs are processed jointly at the CPU, a CF system becomes a distributed mMIMO system. In contrast, a cellular mMIMO system consists of a single base station/AP with a massive number of antennas serving all the users in the cell. CF-mMIMO systems offer the advantage of more uniform coverage compared to conventional cellular systems, while retaining the benefits of mMIMO systems such as high spectral efficiency [5] and simple linear processing at the APs/CPU [3]. However, CF-mMIMO also inherits mMIMO’s dependence on accurate channel state information (CSI) [6]. However, the available CSI may be impaired due to several factors such as pilot contamination [8], channel aging [9], and hardware impairments [4].

The phenomenon of channel aging, classically known as time-selectivity, is a manifestation of the temporal variation in the wireless channel caused due to user mobility and phase noise [5]–[7], [9]–[12]. It has been shown that while the power scaling and the array gains achieved by mMIMO are retained under channel aging [5], the achievable signal-to-interference-plus-noise ratio (SINR) gradually decays over time as the channel estimates become outdated, which, in turn, limits the system dimensions [7], [12]. While there have been recent efforts to counter the effect of aging via channel prediction [13], the applicability of these techniques to cellular or cell free mMIMO systems remains to be seen.

In terms of the effect of aging on the underlying architecture, a key difference between cellular and CF mMIMO systems is that in the latter system, the channels between the APs and UEs could potentially evolve over time at different rates. Together with the CPU based joint processing of the uplink signals, this makes the analysis (and ultimately the effect) of channel aging on CF systems different from cellular mMIMO [11]. However, despite its significance, to the best of our knowledge, the effect of channel aging on CF-mMIMO systems has not been investigated in the previous literature.

In this paper, we characterize the effect of channel aging on the uplink achievable SINR of a CF-mMIMO system. First, we develop a model for the relative speed of the users with respect to the different APs. Then, using results from random matrix theory [14], we derive an analytical expression for the deterministic equivalent of the SINR, i.e., the SINR averaged over all fading channel realizations, as a function of the UE locations and velocities. Through simulations, we compare the achievable SINR against (a) the conventional cellular mMIMO setup, and (b) a small cell system [2], to assess the relative impact of channel aging on the three systems. We conclude that CF-mMIMO systems generally provide significantly better SINR compared to the other two systems in the presence of channel aging, but the relative impact of aging is more severe on CF-mMIMO at very high user velocities and long frame durations.

II. SYSTEM MODEL AND CHANNEL ESTIMATION

We consider a CF-mMIMO system with $M$ APs each having $N$ antennas and serving a total of $K$ users. The APs are connected to a CPU over a delay-free unlimited capacity front-haul link. The locations of the APs and the UEs are...
considered to be points on the two dimensional plane, with the locations of the $m$th AP and the $k$th UE represented by using the complex numbers $d_{a,m}$ and $d_{a,k}$, respectively. Also, the path loss between the $k$th user and the $m$th AP is modeled by using a piecewise linear multi-slope model as

$$\beta_{mk} = \mu_t |(d_{a,m} - d_{a,k})|^\eta, \ d_{l-1} < |d_{a,m} - d_{a,k}| < d_l,$$

(1)

where $\mu_t$ is a normalization constant, $\eta$ is the $l$th slope, and $d_l$ is the $l$th threshold, $1 \leq l \leq L$, with $d_L = \infty$ \[15\].

The fast fading channel between the $k$th user and the $m$th AP at the $n$th instant, denoted by $h_{mk}[n] \in \mathbb{C}^{N \times 1}$, is assumed to evolve in time according to the relation \[13, 16\]

$$h_{mk}[n + \tau] = \rho_{mk}[\tau]h_{mk}[n] + \tilde{\rho}_{mk}[\tau]z_{h,mk}[n + \tau; n], \quad (2)$$

where $\rho_{mk}[\tau]$ is the correlation coefficient of the channel between the $k$th UE and the $m$th AP at lag $\tau$. The channel state $h_{mk}[n]$ and the innovation $z_{h,mk}[n + \tau; n]$ are assumed to be distributed as $CN(0, \mathbf{I}_N)$, with $E[h_{mk}[n]z_{h,mk}^H[n + \tau; n]] = \mathbf{O}_N$, where $\mathbf{I}_N$ and $\mathbf{O}_N$ are the $N \times N$ identity and all zero matrices, respectively. We also assume that the innovation process $z_{h,mk}[t; n]$ is wide sense stationary, and temporally white over the time index $t$, for a given anchor index $n$. In \[2\] and throughout the paper, for any variable $x \in [-1, 1]$, we denote $\bar{x} = \sqrt{1 - x^2}$.

Conventionally, the correlation coefficient $\rho_{mk}[\tau]$ is assumed to follow the Jacakes’ model \[16\], or the first order autoregressive (AR-1) model \[12\]. It is dependent on the user’s speed through the Jacakes’ spectrum. However, this model is developed under the assumption that the scatterers are localized around the UEs. In case of CF-mMIMO systems, in general, it is not reasonable to expect that scatterers are localized only around the UE, and assume that the correlation coefficient is the same across all APs. Moreover, to the best of our knowledge, measurement campaigns elucidating the variation of the temporal correlation coefficient across APs are not available in the literature. Therefore, in this paper, we consider a simple generalization of the existing Jacakes’ spectrum based model, where the correlation coefficient depends on the relative speed of the UEs with respect to the different APs. To elaborate, we consider $v_{mk}$ to be a random variable that is i.i.d. across the APs with mean $v_k$ (i.e., depending only on the user index) and support $[(1 - \Delta)v_k, (1 + \Delta)v_k]$, where $0 \leq \Delta \leq 1$. The correlation coefficient is then defined as $\rho_{mk}[\tau] = \rho_{mk}(\Delta f \Delta d T_{\tau})$, where $\rho_0(\cdot)$ is the Bessel function of zeroth-order and first kind \[16\]. $f_{d, mk} = v_{mk} f_c / c$ is the Doppler frequency of the $k$th user with respect to the $m$th AP, $f_c$ is the carrier frequency, $c$ is the speed of light, and $T_s$ is the sampling interval.

Note that $\Delta = 0$ results in the conventional model, where the correlation coefficient is the same at all APs. Nonetheless, even in this case, the effect of aging on a CF-mMIMO is different from cellular mMIMO. This is because, in cellular mMIMO, only the associated base station decodes the signal from a given UE, and the UE’s signal arriving at other base stations is treated as interference. When $\Delta > 0$, the channel ages at different APs at different rates, and we will see in the section on numerical results that this has a marginally beneficial effect on a CF system at high user velocities and large frame durations.

The uplink frame, consisting of a total of $T$ channel uses, is segmented into two subframes: training and data transmission. Over the first $P (\leq T)$ channel uses, the UEs transmit uplink pilots to the APs with the $l$th UE employing a pilot energy $E_{upl}$. For simplicity, we consider that the individual pilot signals occupy a single time slot, and the UEs whose pilots share the $p$th slot are contained in the set $\mathcal{U}_p$. These pilots are used by the respective APs to estimate the channels from these UEs. In the next phase, consisting of $T - P$ channel uses, the UEs transmit uplink data. In this paper, we assume the system to have a “level 4” centralization of data processing according to the classification in \[17\]. That is, the APs share all the available information with the CPU, including the channel estimates and the received data symbols. At the CPU, the received symbols are processed using an MMSE combiner to obtain estimates of the symbols transmitted by the users.

During the $p$th uplink training slot, the signal received by the $m$th AP is given by

$$y_{mk}[p] = \sum_{l \in \mathcal{U}_p} \sqrt{\beta_{ml} E_{upl}} h_{ml}[p] + \sqrt{N_0} w_{l[p]}$$

$$= \sqrt{\beta_{mk} E_{upk}} h_{mk}[p] + \sum_{l \in \mathcal{U}_p \setminus k} \sqrt{\beta_{ml} E_{upl}} h_{ml}[p] + \sqrt{N_0} w_{l[p]}.$$

The $m$th AP uses the pilot signal received at time $p$, $y_{mk}[p]$, to obtain the MMSE estimate of the $k$th UEs channel at time $P$, i.e., $h_{mk}[P]$. We denote the MMSE estimate of $h_{mk}[P]$ by $\hat{h}_{mk}$. The estimate $\hat{h}_{mk}$ is used by the CPU to decode the $k$th UE’s signal over the entire frame.

Using the well-known time-reversal property \[18\] of \[2\], we can write

$$y_{mk}[p] = \rho_{mk}[P - p] \sqrt{\beta_{mk} E_{upk}} h_{mk}[P]$$

$$+ \tilde{\rho}_{mk}[P - p] \sqrt{\beta_{mk} E_{upk}} z_{h,mk}[p, P]$$

$$+ \sum_{l \in \mathcal{U}_p \setminus k} \sqrt{\beta_{ml} E_{upl}} h_{ml}[p] + \sqrt{N_0} w_{l[p]}.$$

Consequently, we have

$$\hat{h}_{mk} = \frac{\rho_{mk}[P - p] \sqrt{\beta_{mk} E_{upk}} y_{mk}[p]}{\sum_{l \in \mathcal{U}_p} \sqrt{\beta_{ml} E_{upl}} + N_0},$$

$$h_{mk}[P] = a_{mk} \hat{h}_{mk} + \tilde{a}_{mk} \hat{h}_{mk}, \quad (4)$$

with $E[h_{mk}^H \hat{h}_{mk}] = \mathbf{O}_N$ and $a_{mk} = \sqrt{\frac{\rho_{mk}[P - p] \sqrt{\beta_{mk} E_{upk}}}{\sum_{l \in \mathcal{U}_p} \sqrt{\beta_{ml} E_{upl}} + N_0}}$

Therefore, for $P + 1 \leq n \leq T$, we have

$$h_{mk}[n] = \rho_{mk}[n - P] a_{mk} \hat{h}_{mk} + \rho_{mk}[n - P] \tilde{a}_{mk} \hat{h}_{mk}$$

$$+ \tilde{\rho}_{mk}[n - P] z_{h,mk}[n; P]. \quad (5)$$

With the system model and channel estimates in hand, we can now proceed with the uplink SINR analysis.

III. UPLINK SINR ANALYSIS

During the data phase, i.e., over the next $T - P$ channel uses, the UEs simultaneously transmit the data to all the APs. If the $k$th UE transmits the symbol $s_{k[n]}$ at the $n$th instant
Then, the MMSE combining vector for the $k$th user's signal is $R_{yy}^{-1}[n]\hat{g}_k[n]$, and the decoded signal for $k$th user is given as
\[ r_k[n] = \hat{g}_k^H[n]R_{yy}^{-1}[n]\hat{g}_k[n]s_k[n] + \sum_{l \neq k} \hat{g}_k^H[n]R_{yy}^{-1}[n]g_l[n]s_l[n] + \sum_{l \neq k} \hat{g}_k^H[n]R_{yy}^{-1}[n]w[n]. \]

Since the combining vector is based on the MMSE channel estimate, we can use the worst-case noise theorem [19], and treat interference as noise to get our main result, namely, the deterministic equivalent of the uplink achievable SINR.

**Theorem 1.** The deterministic equivalent of the uplink achievable SINR for the $k$th user at the $n$th instant, conditioned on the spatial locations of the users, is given by
\[ \gamma_k[n] = \frac{\eta_{k}[n]}{\eta_{k}[n] + \eta_{2.3}[n] + \eta_{2.4}[n] + \eta_{w,k}} \xrightarrow{a.s.} 0, \]
where $\eta_{k}[n]$ is the desired signal power, $\eta_{2.3}[n]$ corresponds to the residual inter-user interference after MMSE combining, $\eta_{2.4}[n]$ to the interference due to channel estimation errors, $\eta_{3.3}[n]$ the interference due to channel aging, and $\eta_{w,k}$ is due to AWGN. These can be expressed as
\[ \eta_{k}[n] = NE_{usk}(\sum_{m=1}^{M} \zeta_{mk}[n-P]\varphi_{mk}[n])^2 \]
with $\zeta_{mk}[n-P] = \beta_{mk}\rho_{mk}\rho_{mk}[n-P]$, and $e_{k,i}[n]$ is iteratively computed as $e_{k,i}^{(r)}[n] = N \sum_{m=1}^{M} \sum_{l \neq k} \frac{\zeta_{ml}[n-P]\varphi_{ml}[n]}{1 + e_{k,i}[n]} \xrightarrow{a.s.} 0$ with the initialization $e_{k,i}^{(0)}[n] = \frac{1}{N}$. 

Here, for convenience, we have defined $\hat{g}_k[n] = (1_N \otimes (\rho_{k}[n-P] \otimes A \otimes \sqrt{\bar{E}}) \otimes \hat{H}) \text{diag}(\bar{E})$, $\hat{G} = \hat{g}_1[n], \ldots, \hat{g}_K[n]$, and $\Psi = \text{diag}(\psi_m)$, as a diagonal matrix with $\psi_m$, corresponding to the noise and interference power at the $m$th AP. Also defining $\xi_{k,l}[n] = (1_N \otimes (\rho_{k}[n-P] \otimes a_{k} \otimes \sqrt{\bar{E}}) \otimes \hat{H}_k)$, and $\xi_{h,l}[n; P] = (1_N \otimes (\rho_{l}[n-P] \otimes \sqrt{E_{usl}}) \otimes z_{h,l}[n; P]$, we can write the concatenated signal received by the CPU at the $n$th instant as
\[ \tilde{y}[n] = \sum_{k=1}^{K} \sqrt{\beta_{mk}}E_{usk}[h_{mk}[n]s_k[n] + \sqrt{N_0}w[n]]. \]
with \( \zeta_{ml}[n-P] = \beta_{ml} \rho_{ml}^2[n-P] \),

\[
\eta_{e,k}[n] = N_0 \mathcal{E}_{usk} \sum_{m=1}^{M} \zeta_{mk}[n],
\]

\[
\eta_{l,k}[n] = \mathcal{E}_{usk} \sum_{i=1}^{K} \zeta_{ml}[n-P] \zeta_{ml}[n-P] \dot{\epsilon}_{kl}[n],
\]

where

\[
\dot{\epsilon}_{kl}[n] = \hat{\varphi}_{kl}[n] + \frac{\sum_{m=1}^{M} \mathcal{E}_{usk} \zeta_{ml}[n-P] \zeta_{ml}[n-P] \hat{\varphi}_{kl}[n] \hat{\varphi}_{kl}[n] }{1 + \sum_{m=1}^{M} \mathcal{E}_{usk} \zeta_{ml}[n-P] \zeta_{ml}[n-P] \hat{\varphi}_{kl}[n] \hat{\varphi}_{kl}[n] } - 2 \Re \left\{ \sum_{m=1}^{M} \mathcal{E}_{usk} \zeta_{ml}[n-P] \mathcal{E}_{usk} \zeta_{ml}[n-P] \hat{\varphi}_{kl}[n] \hat{\varphi}_{kl}[n] \right\}
\]

with \( \Re \{ \cdot \} \) denoting the real part of a complex number, and

\[
\hat{\varphi}_{ml}[n] = \left( \frac{K}{\sum_{p=1}^{P} \zeta_{mp}[n-P] + \psi_m} \right)^{-1},
\]

\[
\dot{\epsilon}_{kl}(0) = \frac{\psi_m}{\gamma_0} \text{ Also,}
\]

\[
\hat{\varphi}_{kl}[n] = \hat{\varphi}_{ml}[n] \left( \zeta_{mk}[n-P] + \sum_{l \neq k} \frac{\mathcal{E}_{usk} \zeta_{ml}[n-P] \hat{\varphi}_{kl}[n] }{1 + \epsilon_{k,l}[n]} \right)
\]

with

\[
\dot{\epsilon}_{kl}[n] = (I_K - \dot{J}) \hat{u}_p, \quad [\dot{J}]_{pq} = E_{usk} \text{Tr}(\sum_p E_{st} \mathcal{J}_{\mathcal{U}^2}[\hat{\mathbf{u}}])
\]

\[
E_{usk} \text{Tr}(\sum_p E_{st} \mathcal{J}_{\mathcal{U}^2}[\hat{\mathbf{u}}])
\]

Proof. We will soon post an updated version of this document with a detailed proof.

In the special case where the channel correlation coefficient \( \rho[n] \) is independent of the UE and AP indices, it can be shown that \( \eta_{e,k}[n], \eta_{l,k}[n] \) are proportional to \( \rho^3[n-P] \), while \( \eta_{e,k}[n] \) is proportional to \( \rho^2[n-P] - \rho^4[n-P] \). Since \( \rho[n] \) is a decreasing function of \( n \), their overall effect is an increase in interference and a decrease in the SINR with time.

### IV. Numerical Results

In this section, we perform Monte-Carlo simulations to corroborate the analytical results on the SINR of CF-mMIMO systems under channel aging. We consider a unit area including \( K=16 \) UEs served by \( N=256 \) single-antenna APs. The signaling bandwidth is 5 MHz and the carrier frequency is 5 GHz. The frame duration is \( T=1024 \) channel uses. For all the experiments, we assume that the transmit SNR for both data and pilots is 20 dB. For the multi-slope path loss model in (1), we assume \( L = 2, d_0 = 0.1 \) units and \( d_1 = \sqrt{2} \) units while \( \gamma_0 = 0 \) and \( \gamma_1 = 3 \). To segregate the effects of pilot contamination and channel aging, we consider orthogonal pilots, transmitted over a duration of 16 channel uses.

For the computation of the average SINR at a given UE, the UEs and APs are dropped uniformly at random locations over a square with unit area. For each spatial realization of UEs and APs, 100 independent channel realizations are used to compute the average uplink SINR achieved by a UE. This SINR is averaged over 100 independent spatial realizations of the UE and AP locations. Thus, the average SINR is computed by averaging over 10,000 independent channel instantiations.

In Fig. 1, we plot the average per user SINR at the CPU as a function of the time index for different UE velocities with \( \Delta = 0 \), i.e., the channel ages at the same rate at all APs. We see that the theoretical and simulated curves match perfectly for CF-mMIMO. In the figure, theoretical and simulated curves are represented by the lines (no markers) and the markers (no lines), respectively. Also, we also compare the relative effects of channel aging on CF-mMIMO against those on cellular mMIMO and small cells. In the case of cellular mMIMO, we consider a single BS at the cell center equipped with \( N = 256 \) antennas. For the case of small cells, we consider \( M = 256 \) single-antenna APs deployed over the area of interest (the same as in the CF case), with each UE associated with its nearest AP, under the transmit power assumptions considered in (2). The theoretical expressions for the SINR achieved in these two systems can be derived in a similar manner as the expressions presented in this paper. We omit the details due to lack of space. CF-mMIMO achieves much higher SINR than both cellular mMIMO and small cells. Moreover, we observe that the impact of higher mobility on CF is more severe than that on cellular mMIMO or small cells.

In Fig. 2, we study effect of variation in the relative speed of the UE with respect to different APs for different numbers of APs. We do this by varying the parameter \( \Delta \) described earlier under the assumption that the UEs are moving at an average speed of \( v_{max} = 300 \) km/h, and for different numbers of APs. We depict the average SINR of the CF-mMIMO system against the time index. Interestingly, a larger value of \( \Delta \) mitigates the effects of channel aging. In addition, we see that the relative loss in the SINR due to channel aging remains approximately unchanged as the number of APs is increased. In other words, contrary to intuition, an increase in
the system dimension does not worsen the effects of aging. In other words, the benefits of higher dimensions offsets the degradation due to aging.

In Fig. 3 we illustrate the average SINR per user at the 1024th sample as a function of the UE velocity for different values of $\Delta$. Also, we compare the achievable SINR against cellular mMIMO and small cells. We observe that at low to medium user mobility, CF-mMIMO significantly outperforms both cellular mMIMO and small cells. However, at high mobilities, the performance of CF-mMIMO becomes comparable to, if not worse than both cellular mMIMO and small cells. This strengthens the observation made in [20], that the effect of channel aging on wireless communication systems is highly dependent on the system model, and warrants careful analysis.

V. CONCLUSIONS AND FUTURE WORK

In this paper, we studied the effect of channel aging on the uplink of a general CF-mMIMO system. We elaborated on the effects of different relative speeds of the UEs with respect to the APs, and derived the deterministic equivalent SINR under centralized MMSE combining at the CPU. We observed that CF-mMIMO systems offer much better uplink SINR compared to cellular mMIMO systems and small cells at low user mobilities. However, at high velocities and long frame durations, the relative impact of aging is higher on CF-mMIMO systems. We also note that unlike the Jakes' model, there is no well-accepted model for characterizing the effects of mobility on mmWave channels. Therefore, the study of the effect of user mobility in mmWave massive MIMO requires well designed measurement campaigns to quantify the temporal correlation, variation in the angle of arrival/departures, and other channel characteristics. Hence, the study of channel aging in CF systems operating at the mmWave bands could be the topic for future work.

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