Improved antibiotic prescribing using indication-based clinical decision support in the emergency department

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Abstract
Background: Evaluate an indication-based clinical decision support tool to improve antibiotic prescribing in the emergency department.

Methods: Encounters where an antibiotic was prescribed between January 2015 and October 2017 were analyzed before and after the introduction of a clinical decision support tool to improve clinicians’ selection of a guideline-approved antibiotic based on clinical indication. Evaluation was conducted on a pre-defined subset of conditions that included skin and soft tissue infections, respiratory infections, and urinary infections. The primary outcome was ordering of a guideline-approved antibiotic prescription at the drug and duration of therapy level. A mixed model following a binomial distribution with a logit link was used to model the difference in proportions of guideline-approved prescriptions before and after the intervention.

Results: For conditions evaluated, selection rate of a guideline-approved antibiotic for a given indication improved from 67.1% to 72.2% ($P < 0.001$). When duration of therapy is included as a criterion, selection of a guideline-approved antibiotic was lower and improved from 24.7% to 31.4% ($P < 0.001$), highlighting that duration of therapy is often missing at the time of prescribing. The most substantial improvements were seen for pneumonia and pyelonephritis with an increase from 87.9% to 97.5% and 62.8% to 82.6%, respectively. Other significant improvements were seen for abscess, cellulitis, and urinary tract infections.

Conclusion: Antibiotic prescribing can be improved both at the drug and duration of therapy level using a non-interruptive and indication-based-clinical decision support approach. Future research and quality improvement efforts are needed to incorporate duration of therapy guidelines into the antibiotic prescribing process.

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1 | INTRODUCTION

1.1 | Background

Emergency physicians are among the top 5 prescribers of antibiotics with an estimated 14.7 million antibiotic prescriptions written each year.1 Antibiotic therapy is often the first-line treatment for many types of common infections with emergency departments (ED) being where patients first seek care.2,3 Unfortunately, current estimates indicate that upward of 47 million antibiotics prescribed each year are either unnecessary or inappropriate.4-10 In addition, antibiotics are one of the most costly drug classes, exceeding chemotherapeutic agents and blood clotting modifiers,11 with the United States spending $10 billion annually, including $6.5 billion in the ambulatory setting.12,13 The Centers for Disease Control and Prevention (CDC) and World Health Organization (WHO) have recognized antibiotic resistance as one of three global threats to human health.14,15

Antibiotic stewardship programs have used a multi-faceted approach, including both restrictive and enablement strategies, with improvement in infection treatment, reduced adverse events,16,17 improved patient safety, reduced treatment failure, reduced rates of antibiotic resistance,18,19 and cost savings for hospitals.16,20-23 A 2017 Cochrane review evaluated 221 studies using antibiotic stewardship program interventions to improve prescribing practices for hospital in patients and concluded these interventions are effective in decreasing antibiotic treatment duration and increasing compliance with antibiotic guidelines/policy.24 Additionally, interventions directed to physicians decreased patient length of stay. The use of both enablement and restriction interventions were independently associated with improvements in interrupted time series studies with enablement consistently increasing the effect of the interventions.16

1.2 | Importance

Innovative use of health information technology (HIT) has been recognized as one of the cornerstones of antibiotic stewardship programs.24-29 However, to date, many electronic health record systems fall short in their ability to provide real-time antimicrobial recommendations targeted to a clinical indication or to incorporate guidelines into clinical workflow. Rather, interventions have taken the form of an alert, often met with implementation and adoption issues, and alert fatigue.27,30 Prior research has shown drug alerts, even for severe allergic reactions, are overridden upward of 90% of the time.31

In this study, we use an indication-based prescribing approach that allows the clinician to access antibiotic recommendations based on current guidelines. Because the platform is non-interruptive and optional, there are no alerts or overrides triggered by its use. Indication-based prescribing is a new paradigm of thinking about how we prescribe medications, and current research suggests that indication-based prescribing can, in fact, be safer and more efficient.32,33 Efforts to include indication when prescribing a medication have received support by the National Coordinating Council on Medication Errors Reporting and Prevention34 and the National Association of Boards of Pharmacy.35 However, to the best of our knowledge, an indication-based approach to prescribing antibiotics in the ED has not been studied.

1.3 | Goals of this investigation

The goal of this study is to evaluate an indication-based antibiotic prescribing platform in the ED and measure the improvement in antibiotic selections made by clinicians before and after its implementation, considering both the drug selection and duration of therapy.

2 | MATERIALS AND METHODS

2.1 | Study design and setting

This study took place at the University of Colorado Hospital in Aurora, Colorado, a level 1 trauma center and tertiary academic teaching hospital with \( \approx 149,275 \) ED visits annually. The facility is staffed by board-certified emergency physicians, residents in their post graduate training years (1-4), and advanced practice providers (certified physician assistants or nurse practitioners). The Colorado Multiple Institutional Review Board approved this study.

2.2 | Selection of participants

Adult patients (>18 years) were included in this study. Eligible visits included patient encounters between February 1, 2015 and January 31, 2017 where a clinician prescribed an antibiotic. A subset of common infections was selected for our evaluation that included skin and soft tissue infections, respiratory infections, and urinary infections. Each type of infection was mapped to its corresponding ICD-10 diagnosis codes using the clinical classification groupers (CCS) accessible from the Agency for Health Research and Quality.36 Encounters in which multiple infectious diagnoses existed during the encounter were excluded from subsequent analyses.

2.3 | Intervention

The clinical decision support intervention implemented was a software application, SwiftRx (Denver, CO), embedded in our institution’s...
FIGURE 1  (A) Accessibility of the clinical decision support intervention (SwiftRx) from within activity tab in the patient’s chart and in the disposition screen. (B) View of clinical decision support intervention (SwiftRx) during ordering process with options for user to select a recommended antibiotic or an acceptable alternative.
support intervention, after an indication is entered (eg, pneumonia) and recommendation selected (eg, doxycycline), the indication, dose, duration, dispense amount, and any refills are all pre-populated, saving the clinician time and additional clicks. Training for attendings, advanced practice providers, and residents was performed during a faculty meeting and a weekly morbidity and mortality conference by the medical director and faculty champions. The training presentation lasted ≈15 minutes with time allotted for any follow-up questions on September 15, 2016. Use of the tool was made optional and available to clinicians for use within the ED only.

2.4 | Outcome measures

The primary outcome measures for this study was clinicians’ adherence to our institution’s treatment guidelines for a given infection in alignment with our local antibiogram. Stratifying by diagnosis, we analyzed the number of antibiotic prescriptions ordered and classified selections into a guideline-approved or unapproved selection for each encounter (ie, according to the department of infection prevention and antimicrobial stewardship recommendations). Approved was defined as the optimal/first-line agent or an acceptable-alternative. Unapproved was defined as a suboptimal selection that should not be used for the considered infection (eg, first-line should have been selected). For example, when the clinician enters their diagnosis of pneumonia, the platform recommends doxycycline as the optimal/first-line agent and azithromycin as an acceptable alternative. Other acceptable alternatives include Augmentin XR and Levofoxacin (in cases of severe beta lactam allergy). Two analysis were then conducted with the first evaluating the selection of the antibiotic and the second considering if the selection and the duration of therapy were correct.

We used the CDC days of therapy metric to assess how often the duration of therapy was correct (eg, 7 days for pneumonia, 5 days for cellulitis). Days of therapy was not used as a confounder in our analyses.

2.5 | Primary data analysis

Antibiotic selection choices were analyzed according to our classification schema above. Data fields extracted from the electronic health record during this time included encounter ID, patient ID, generic medication name, medication ID, medication dose prescribed, quantity, date of prescription, primary diagnosis (ICD-10 code), and allergies. Selections were evaluated both on choice of the antibiotic and days of therapy. To meet the criteria for a given selection, the prescription order must meet all medication and days of therapy requirements. If any of the requirements were not met, the prescription would be considered unapproved. If a medication did meet all requirements for a recommendation line, it was considered approved.

A pre-/post-variable was the primary predictor of interest and was created by determining whether the encounter occurred before or after October 4, 2016. The intervention period included a pre-period of 9 months that was selected to establish a baseline before the intervention date. The intervention date was based on contractual agreements between the health system and vendor, and the post-period was selected based on data available to the study group at the time of analysis. A mixed-model following a binomial distribution with a logit link was used to model the difference in proportions of approved prescriptions pre- and post- the clinical decision support intervention. Physician correlation was adjusted for using an exchangeable covariance structure within the mixed model. To account for within-provider correlation, a logistic regression mixed-model with a random intercept was used to model the difference in proportions of approved prescriptions pre- and post- the clinical decision support intervention. All analyses were conducted using SAS version 9.4 and R version 3.5.2.

3 | RESULTS

Over the study period, there were 53,266 eligible encounters where an antibiotic was prescribed. Among these, 12,016 included a
diagnosis that was an exact match to one of the pre-specified infection types (eg, skin and soft tissue infections, respiratory infections, or urinary infection). A total of 472 encounters were excluded as they contained multiple diagnoses (eg, urinary tract infection, suicidal ideations, gastritis) leaving 11,544 eligible encounters available for evaluation (Figure 2). Conditions by infection type are shown in Table 1 with urinary tract infections and cellulitis being the most frequent. We found that prior to the clinical decision support intervention, a guideline-approved antibiotic was selected 67.1% of the time (Table 2). After the introduction of our clinical decision support intervention, approved selections rose to 72.2% (P < 0.001). When days of therapy were included as a criterion, guideline-approved antibiotic selection was 24.7%. After introduction of the clinical decision support intervention, approved selections increased to 67.1% (0.65, 0.69) 5.1

Conditions with the largest percent improvements after the clinical decision support intervention at the drug and days of therapy level included pyelonephritis (23.1% to 46.8%), abscess (8.3% to 27.6%), and cellulitis (8.1% to 15.6%). When only the appropriate drug selection is considered, the largest improvements were seen for the indication of pneumonia (87.9% to 97.5%), pyelonephritis (62.8% to 82.6%), urinary tract infection (75.4% to 82.8%), and abscess (82.0% to 88.9%) (P < 0.001). These findings are summarized in Table 3. Our results indicate that although clinicians often select a guideline-appropriate antibiotic, they often miss entering the correct duration of therapy.

4 LIMITATIONS

Our study was limited by several factors. Specifically, the post-intervention group (3 months) was a smaller sample than the pre-intervention group (9 months). This smaller sample may not only increase variability but could also be subject to seasonal variations that are not accounted for because of the restricted date range. The lack of a suitable control group restricted the ability to do more traditional inferential statistics on this specific analysis. This, in part, was because of the lack of independence between the two groups (the same clinicians are in both pre- and post-intervention groups as well as the lack of complete pairing of subjects pre- and post-intervention). In other words, it was not guaranteed that a clinician who made a prescription decision in the pre-intervention group was also included in the post-intervention group (and vice versa). Another limitation is that our study only evaluated a subset of infectious conditions and did not account the presence of multiple overlapping infections. Future studies will be needed to evaluate if an indication-based prescribing approach will be generalizable to a larger number of infectious conditions or when multiple infections are present during the encounter (eg, urinary tract infection, pneumonia) that may influence antibiotic selection. Last, our analysis did not consider existing contraindications or comorbidities that could limit prescribing choices through our intervention.

5 DISCUSSION

We evaluated 11,544 eligible encounters where an antibiotic was prescribed for a urinary, respiratory or skin and soft tissue infection and found that an indication-based clinical decision support approach can improve the selection of an appropriate antibiotic (P < 0.001). The most substantial improvements were seen for pneumonia and pyelonephritis with an increase from 87.9% to 97.5% and 62.8% to

| Infection type | Condition | Pre-intervention (n, %) | Post-intervention (n, %) |
|----------------|-----------|-------------------------|--------------------------|
| Skin and soft tissue infections | Abscess | 774 (11.1) | 587 (12.0) |
| | Cellulitis | 1468 (21.1) | 1096 (22.4) |
| | Impetigo | 33 (0.5) | 19 (0.4) |
| | Periorbital cellulitis | 42 (0.6) | 50 (1.0) |
| Respiratory infections | Bronchitis | 61 (0.9) | 24 (0.5) |
| | Influenza | 8 (0.1) | 8 (0.2) |
| | Mastoiditis | 2 (0.0) | 3 (0.1) |
| | Otitis media | 309 (4.4) | 206 (4.5) |
| | Peritonsillar abscess | 60 (0.9) | 55 (1.2) |
| | Pharyngitis | 433 (6.2) | 304 (6.6) |
| | Pneumonia | 420 (6.0) | 285 (6.2) |
| | Sinusitis | 232 (3.3) | 111 (2.4) |
| | Tonsillitis | 42 (0.6) | 30 (0.6) |
| | Upper respiratory infection | 221 (3.2) | 141 (3.1) |
| Urinary infections | Pyelonephritis | 911 (13.1) | 501 (10.9) |
| | Urethritis | 62 (0.9) | 37 (0.8) |
| | Urinary tract infection | 1874 (27.0) | 1135 (24.7) |
| Total | | 6952 | 4592 |

| TABLE 2 Estimated population means and confidence intervals based on duration of therapy as a classification criterion |
|----------------------------------|------------------|-----------------|------------------|
|                                  | Duration as criterion<sup>a</sup> | Duration not a criterion | Duration as criterion<sup>a</sup> |
| ---                             | Mean (%) (CI) | Difference (%) | P value<sup>*</sup> | Mean (%) (CI) | Difference (%) | P value<sup>*</sup> |
| ---                             |                |                |                  |                |                |                  |
| Pre-                            | 24.7 (0.22, 0.27) | 6.7 | <0.001 | 67.1 (0.65, 0.69) | 5.1 | <0.001 |
| Post-                           | 31.4 (0.28, 0.34) |                |                  | 72.2 (0.70, 0.74) |                |                  |

<sup>a</sup>Duration as a criterion implies the correct antibiotic and days of therapy were selected by the clinician for a given indication. Duration not as a criterion requires only that the correct antibiotic was selected and not the days of therapy.

<sup>*</sup>P values using a Wald test.
An important finding from our study was the lack of days of therapy being correctly entered for a given infection, a recommendation that may change annually depending on antibiotic resistance patterns and guidelines. One explanation is that antibiotic orders are often created in the electronic health record with a generic duration or frequency (7 or 14 days) and not based on indication. This frequency dates back to the Roman Emperor Constantine who decided a week constitutes 7 days. Although the application of Constantine units for antibiotic prescribing remains unknown, we do know it is not evidenced-based. Current research has shown that shorter durations are equally efficacious to longer durations. A recent study by Walk-Dickler et al found ten conditions in which shorter duration of therapy was equivalent to longer durations in prospective randomized controlled trials. Another explanation is that clinicians may know the antibiotic that would be appropriate for a given infection but not know the frequency or appropriate duration. Having to seek out this information requires clinicians to go outside of their workflow, increasing their time on task, and reducing their efficiency. A study by Garabedian et al compared an indication-based approach to an electronic health record vendor and the need to access outside references dropped from 58.8% to 28.8% (P < 0.001). Similarly, the number of clicks required using an indication-based approach was significantly less (18.39 vs 46.50; P < 0.001).

Prior studies on acceptance of clinical decision support recommendations to improve antibiotic prescribing have seen mixed and variable results. In a study by Butler et al, an alert implemented in the electronic health record to trigger appropriate antibiotic treatment from an order-set for *Clostridium difficile* increased use of the order-set but did not change guideline compliance. Davis et al evaluated the use of clinical decision support to improve guideline adherence for the treatment of upper respiratory infections, urticaria, and constipation and found marginal increases in the control group (39% to 40%) and intervention group (38% to 42%), respectively. Buising et al found an increase in antibiotic guideline compliance following introduction of their clinical decision support intervention (65% to 85% in the intervention group, P = 0.05). In a meta-analysis by Curtis et al, antibiotic prescribing with clinical decision support improved from 15% to 85% in the non-interventional group to 32% to 92% in the intervention group. Chow et al found a marked increase in adoption of their intervention after removing the ability of the user to bypass or close the application (ie, a hard-stop intervention) with an increase from 23% to 87% while maintaining an acceptance rate of recommendations was 67%. In contrast, our study showed an improvement from 67% to 72% with optional use of the intervention and without the introduction of an alert or hard-stop, highlighting the value of clinical decision support when designed around clinical workflows.

### TABLE 3 Condition-specific mixed-model estimates based on classification criterion

| Conditiona | Duration as criterionb | Duration not a criterionb |
|------------|-----------------------|--------------------------|
| Abscess | Pre- 8.4 (5.3, 13.0) | Post- 23.7 (20.1, 36.9) |
| Cellulitis | Pre- 8.05 (5.7, 11.2) | Post- 15.6 (12.1, 20.0) |
| Pneumonia | Pre- 67.3 (61.7, 72.5) | Post- 79.6 (73.3, 84.8) |
| Otitis media | Pre- 49.9 (42.5, 58.0) | Post- 52.8 (41.8, 62.9) |
| Tonsillitis | Pre- 16.7 (8.1, 30.8) | Post- 17.3 (8.2, 33.3) |
| Urinary tract infection | Pre- 41.2 (36.9, 45.3) | Post- 41.0 (37.2, 45.3) |
| Pyelonephritis | Pre- 23.1 (18.9, 27.9) | Post- 46.8 (38.8, 54.9) |

*a Duration as a criterion requires only that the correct antibiotic was selected and not the days of therapy.

*b Conditions evaluated before and after the clinical decision support intervention, with means, percent difference, and statistical significance reported.

*P values using a Wald test.
et al found that clinical decision support systems that use an indication-based prescribing approach required fewer pharmacy interventions to correct the clinician’s prescription (5%) compared to those using a standard electronic health record order entry process (15%–40%).

To standardize antibiotic ordering, many health systems use order sets; however, these lack the breadth to encompass the large number of infectious conditions typically seen in the ED and are usually focused on key quality and process measures (eg, stroke, sepsis, acute coronary syndrome). In our study, we found improvements in adherence to guideline recommendations and while some improvements were not statistically significant, one could contend that they were clinically significant as patients were getting the appropriate antibiotic more often after the intervention, potentially limiting treatment failures, adverse events, or readmissions. Despite modest overall improvements (5.1% without days of therapy, 6.7% with days of therapy), we believe there is value to improving clinician’s adherence to guidelines for a specific condition and in some instances, the improvement was considerable (>20%).

Last, there is a cost associated with medication errors that now exceeds $40 billion each year. Although many recognize the value of clinical decision support to improve appropriate prescribing, few clinical decision support implementations to date have fully delivered on the promise to improve healthcare processes and outcome. Those that have, have struggled to be widely scaled. To date, there are no payment polices that support clinical decision support implementation, but as more organizations take on risk in the new value-based care environment, these tools may generate more interest. To improve health outcomes, decrease avoidable costs, and improve antibiotic prescribing behavior, future clinical decision support solutions will need to be highly focused on user interface design, mature beyond the order set, consider both drug and indication, and be aligned with the prescriber’s clinical workflow.

6 | CONCLUSION

Antibiotic prescribing can be improved both at the drug and duration of therapy level using a non-interruptive and indication-based clinical decision support approach. Future research and quality improvement efforts are needed to incorporate duration of therapy guidelines into the antibiotic prescribing process.

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CONFLICT OF INTEREST

FRG has been funded by the Agency for Healthcare Research and Quality during this study period. During the study period, he received no funding or equity from the company RxREVU (SwiftRx), which he now serves as a consultant and receives equity compensation. KB also received no funding or equity from the company RxREVU during the study period but now receives equity compensation. FRG and KB’s financial interests have been reviewed by University of Colorado Hospital and University of Colorado School of Medicine in accordance with their institutional policies. No other disclosures were reported.

AUTHOR CONTRIBUTIONS

FRG, KB, and JW conceived of the study, collected the data and wrote the paper. MK and KW conducted the statistical analysis. All authors contributed substantially to its revision. FRG and JW take responsibility for the paper as a whole.

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