On the spin density wave transition in a two dimensional spin liquid

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Strongly correlated two dimensional electrons are believed to form a spin liquid in some regimes of density and temperature. As the density is varied, one expects a transition from this spin liquid state to a spin density wave antiferromagnetic state. In this paper we show that it is self-consistent to assume that this transition is second order and, on this assumption, determine the critical behavior of the spin susceptibility, the NMR rates $T_1$ and $T_2$ and the uniform susceptibility. We compare our results to data on high $T_c$ materials.

I. INTRODUCTION

High temperature superconductors may be created adding carriers to magnetic insulators. At low dopings the compounds have long range magnetic order at $T = 0$; at high doping they do not. Therefore, a $T = 0$ magnetic-non-magnetic transition must occur when the carrier density exceeds a critical value. The idea that some of the anomalous properties of high $T_c$ superconductors are due to their proximity to this quantum phase transition has attracted substantial recent interest.

The properties of the transition depend on the ordering wavevector and on the nature of the disordered phase. Several different possibilities have been studied in some detail including antiferromagnet-singlet transitions in insulating magnets\cite{1} and ferromagnetic and antiferromagnetic transitions in Fermi liquids\cite{2,3}. Here we consider an important case which has not so far been discussed in the literature, namely, that the disordered phase is a “spin liquid” and the ordering occurs at the wavevector $|Q| = 2p_F$.

By “spin liquid” we mean a liquid of charge zero spin $1/2$ fermion excitations coupled by a singular gauge field interaction; in the ground state the fermions fill a large Fermi sea which occupies a substantial part of the Brillouin zone\cite{4}. The spin liquid model has been argued to describe the normal phase of high temperature superconductors\cite{5}.

By “2$p_F$” we mean a wavevector which connects two points on the Fermi surface with parallel tangents (See Fig. 1). For a circular Fermi surface any vector $Q$ of magnitude $2p_F$ connects two such points. One important motivation for studying the $2p_F$ case is the high $T_c$ superconducting material $La_{2-x}Sr_xCuO_4$, in which strong magnetic fluctuations have been observed\cite{6}; the fluctuations are peaked at an $x$-dependent wavevector $Q(x)$ which is claimed to be a “$2p_F$” wavevector of the Fermi surface calculated by standard band-structure techniques for this material\cite{7}.

The $2p_F$ spin density wave transition in a spin liquid is different from the $2p_F$ transition in a conventional Fermi liquid because the gauge field interaction leads to divergences in the fermion response functions at wavevectors $|Q|$ near $2p_F$. In this paper we calculate the exponents and the scaling functions characterizing the $2p_F$ transition in the spin liquid. Our starting point is a Hamiltonian, $H = H_{FL} + H_{gauge}$, where $H_{FL}$ describes fermions moving in a lattice and interacting with each other via a short range four fermion interaction $W$: \begin{equation} H_{FL} = \sum_{p,\alpha} \epsilon(p)c_{p,\alpha}^\dagger c_{p,\alpha} + W \sum_{p, p', q, \alpha, \beta} c_{p, \alpha}^\dagger c_{p+q, \alpha} c_{p', \beta}^\dagger c_{p'-q, \beta} \end{equation} and $H_{gauge}$ describes the gauge field and its coupling to the fermions; it is discussed in detail in the literature\cite{8} and below in Section II.
FIG. 1. Sketch of Fermi surface and important wavevectors. The Fermi surface shown here is similar to that claimed to be appropriate to La$_{1.8}$Sr$_{1.4}$CuO$_4$. The vector $\mathbf{Q}$ connects two points on the Fermi surface. It is assumed that the tangent to the Fermi surface at one end of the vector $\mathbf{Q}$ is parallel to the tangent to the Fermi surface at the other end. We parametrize the vector $\mathbf{k}$ by the angle $\theta$ shown on the figure and the magnitude $k_\parallel$ shown on the figure as the solid line part of $\mathbf{k}$.

It has recently been shown that two possibilities arise, depending on the strength of the fermion-gauge-field interaction. If this interaction is weak, a critical value, $W_{\text{c}}$, of the short-range four-fermion interaction separates a disordered phase with non-divergent spin fluctuations at $W < W_{\text{c}}$ from an ordered phase at $W > W_{\text{c}}$. In this paper we evaluate the exponents characterizing the transition at $W = W_{\text{c}}$ in the weak gauge-field coupling case and show how they depend on the strength of the fermion-gauge-field interaction. If, however, the fermion gauge-field interaction is sufficiently strong, then the $2p_F$ spin susceptibility diverges as $T \to 0$ for arbitrary $W < W_{\text{c}}$, although there is no long range order at $T = 0$. We have not studied the transition at $W = W_{\text{c}}$ in the strong gauge-field interaction case, but we give the exponents characterizing the $W < W_{\text{c}}$ phase and discuss the physical consequences.

We assume that transition at $W = W_{\text{c}}$ leads to a spin density wave state with long range order with wave vector $\mathbf{Q}$ and that this transition is of a second order. If $\mathbf{Q} \neq 2p_F$, the gauge field does not modify the fermion susceptibility, so we expect previously developed theories to apply. We now outline our approach to the $\mathbf{Q} = 2p_F$ case. Because we expect the physics in this region to be determined by the exchange of spin density fluctuations we use a Hubbard-Stratonovich transformation to recast Eq. (1.1) as a theory of fermions coupled to spin fluctuations $\mathbf{S}_\mathbf{q}$:

$$H' = \sum \epsilon(p)c_p^\dagger c_p + g \sum_{p,k,\alpha,\beta} c_p^\dagger \sigma_{\alpha\beta} c_{p+k,\beta} S_{-k}$$  \hspace{1cm} (1.2)

$$+ H_{\text{gauge}} + \sum_k S_k^2$$

Here $g$ is a fermion-spin fluctuation coupling constant derived from $W$; in weak coupling $g^2 = W$. One may study $H'$ as it stands or one may integrate out the fermions completely, obtaining a theory of interacting spin fluctuations which is described by the action

$$\mathcal{A}[S] = \sum_{k,\omega} \tilde{S}_{\omega,k} \chi_0^{-1} (k, \omega) \tilde{S}_{-\omega,k}$$  \hspace{1cm} (1.3)

$$+ \frac{1}{4} \sum_{\omega_1, k_1} U_{\omega_1, \ldots, \omega_4} \tilde{S}_{\omega_1, k_1} \cdot \tilde{S}_{\omega_2, k_2} (\tilde{S}_{\omega_3, k_3} \cdot \tilde{S}_{\omega_4, k_4}) \delta (\sum \omega_i) \delta (\sum k_i) + \ldots$$

Here $\tilde{S}_{\omega,k}$ represents a spin fluctuation of Matsubara frequency $\omega$ and wavevector $\mathbf{k}$. $\chi_0$ is the susceptibility and $U$ is a four-spin-fluctuation interaction proportional to $g^4$. We shall derive and interpret this action in more detail below.

This action is difficult to treat even for a Fermi liquid without the gauge field interaction because $\chi_0$ and $U$ diverge...
as \( T, \omega \to 0 \) and \( k \to Q \). The gauge field causes additional singular renormalizations of \( \chi_0, U, g \) and the fermion propagator.

In this paper we present and justify a self-consistent one-loop approximation method for extracting physical results from the formally divergent theory. We supplement this treatment by an analysis based on Eq. (1.2) of the effect of the spin fluctuations on the fermions. We find that the susceptibility is less singular than the susceptibility obtained for transitions with \( Q \neq 2p_F \). This is because the \( 2p_F \) singularity of the fermions leads to a long ranged (RKKY) interactions; this weakens the singularities associated with the transition for the same reason that long ranged dipolar interactions lower the critical dimension of classical models of ferroelectric transition.

The self-consistent one loop approximation succeeds in the spin liquid case because the fermion-gauge field interaction makes the singular part of the fermion response symmetric in the variable \(|k| - 2p_F\). This approach however fails for the conventional Fermi liquid because in this case the singularities in the fermion polarizability are not symmetric in the variable \(|k| - 2p_F\) as discussed below. We have found that this asymmetry implies that fluctuation effects do not permit a second order transition at \( |Q| = 2p_F \) in a Fermi liquid. We will present a detailed treatment elsewhere.

The outline of this paper is as follows. Section II reviews the relevant theory of the spin liquid. Section III is devoted to the transition in the spin liquid for weak fermion gauge-field interaction. Section IV discusses the properties of the small-\( W \) critical phase occurring when the gauge interaction is strong enough that the \( 2p_F \) susceptibility diverges as \( T \to 0 \). Readers uninterested in the details of the derivations may proceed directly to Section V which contains a summary of the results and a conclusion.

## II. GAUGE THEORY OF SPIN LIQUID

In this section we review the properties of the “spin liquid” regime of the \( t-J \) model, which has been argued to describe the normal state of the high-\( T_c \) superconductors. In the spin liquid regime the elementary excitations are charge \( e \), spin 0 “holons”, charge 0, spin \( 1/2 \) fermionic “spinons”, and a gauge field which mediates a long ranged, singular interaction. For temperatures above the Bose condensation temperature of the holons, the holons have a negligible effect on the magnetic properties, which are determined by the properties of the degenerate Fermi gas of spinons (which we call a spin-liquid), coupled by the gauge interaction and by a short-range four-fermion interaction \( W \).

The properties of the spin liquid have been studied by many authors. The fermion propagator has been found to have the form

\[
G = \frac{1}{i\omega_0^{1/3} \omega^{2/3} - v(p_0 + p_\perp / p_0)}
\]  

instead of the Fermi liquid form \( G = [i\omega - v(p_0 + p_\perp / p_0)]^{-1} \). Here \( v_F \) is the Fermi velocity, \( p_0 \) is the radius of curvature of the Fermi line and \( p_\perp(p_\parallel) \) are momentum components normal (tangential) to the Fermi line as measured from the points \( \pm Q/2 \) and \( \omega_0 \) is an energy scale of order \( p_F v_F \) which is defined more precisely in Ref.
Recently, we have shown \(^9\) that the fermion-gauge-field interaction causes a power law divergence in the vertex \(\Gamma_{2p_F}\) which is shown as a black triangle in Fig. 2a. Specifically we found,

\[
\Gamma_{2p_F} \simeq \left[ \frac{1}{\max \left( \frac{\omega}{\omega_0}, \left( \frac{v_F k_{\|}}{\omega_0} \right)^{3/2} \right)} \right]^{\sigma} \tag{2.2}
\]

We were able to calculate \(\sigma\) in the limits \(N \to \infty\) and \(N \to 0\), where \(N\) is the spin degeneracy of the fermions. We found

\[
\begin{align*}
\sigma &\sim \frac{1}{2N} & N \to \infty \\
\sigma &\sim 16\sqrt{2}/9\pi \sqrt{N} & N \to 0.
\end{align*}
\]

Extrapolation of these results to the physical case \(N = 2\) gives the estimates \(1/4 \lesssim \sigma \lesssim 3/4\). The combination of the change in form of the Green function and the divergence of \(\Gamma_{2p_F}\) has profound effects on the polarization operator \(\Pi(\omega, k)\) (which is shown in Fig. 2a) and in the four spin fluctuation interaction \(U\) (which is shown in Fig. 2b, cf. Eq. (3.2)).

If \(1/6 < \sigma < 1/3\), we found \(^{1,2}\)

\[
\Pi(\omega, k_{\|}) = \Pi_0 - \sqrt{\frac{\omega_0 p_0}{v_F^3}} \left[ c_\omega \left( \frac{\omega}{\omega_0} \right)^{3/2 - 2\sigma} + c_k \text{Re} \left( \frac{|k_{\|} v_F}{\omega_0} \right)^{1 - 3\sigma} \right] \tag{2.3}
\]

Here \(c_\omega\) and \(c_k\) are constants of order unity. For \(\sigma < 1/6\), the term involving \(k_{\|}\) has the same sign as \(k_{\|}\). Thus the maximum of \(\Pi\) and therefore the ordering wavevector must occur at a \(|Q|\) different from \(2p_F\) and we expect previous treatments \(^{1,2}\) of the critical behavior to apply. For \(\sigma > 1/6\) the \(k_{\|}\) term is always positive and a second order transition at \(|Q| = 2p_F\) is possible. We restrict our attention to \(\sigma > 1/6\) in the rest of the paper. In this case the nonanalyticity of \(\text{Re} k_{\|}^{1 - 3\sigma}\) affects only the magnitude of \(c_k\), that is not important for the scaling arguments which we will present. We henceforth write the \(k_{\|}\) term as

\[
c_k \left( \frac{k_{\|} v_F}{\omega_0} \right)^{1 - 3\sigma}.
\]

On the other hand, if \(\sigma > 1/3\), \(\Pi\) diverges as \(\omega, k_{\|} \to 0\). We found \(^{1,2}\)
(2.4)

The full susceptibility $\chi$ is obtained by combining the irreducible bubble $\Pi$ with the short-range four fermion vertex $W$. We have shown that the gauge-field interaction renormalizes a sufficiently weak initial $W_i$ to zero. Therefore we expect a $T = 0$ transition as $W$ is varied through a critical value $W_c$. In the weak coupling case $\sigma < 1/3$ the fact that $\Pi(\omega = 0, k\parallel = 0)$ is non-divergent implies that the usual RPA formula

\[
\chi(\omega, k) = \frac{\Pi(\omega, k)}{1 - W \Pi(\omega, k)}
\]

is the correct starting point of a theory of the transition. We discuss the transition occurring when $W = W_c = \Pi(0, 0)^{-1}$ in more detail below in the next section.

In the strong coupling, $\sigma > 1/3$, case we still expect a transition when $W$ exceeds a critical value. However the divergence of $\Pi(0, 0)$ implies that the RPA formula (2.5) is not correct. For $W < W_c$, $\chi(\omega, k) = \Pi(\omega, k)$ with corrections of order the product of $W$ and a positive power of frequency or $k\parallel$. The $T = 0$ critical point separates a $W < W_c$ phase which has power-law spin correlations from a $W > W_c$ phase which has long-range order.

III. WEAK FERMION-GAUGE-FIELD COUPLING

There are two possible approaches to the spin density wave transition at $W = W_c$. One is to integrate out the fermions, obtaining an effective action, $A[S]$, describing the spin fluctuations, $S_{\omega,k}$, and then to analyze this action. If $Q \neq 2p_F$ all terms in the effective action are finite in both the Fermi liquid and in the spin liquid, and the action may be treated by standard renormalization group techniques. As $Q \to 2p_F$, however, the coefficients of the quartic and higher order terms diverge, implying that standard RG techniques cannot be used and that the effect of higher order terms must be investigated. Another approach is to investigate the effect of the spin fluctuations on the fermion propagator. Here we consider both approaches.

Taking the first approach we proceed in four steps. First, we integrate out the fermions obtaining the action $A[S]$ given in Eq. (1.3). Second, we truncate the action, retaining only the quadratic and quartic terms. Third, we solve the truncated action in the self-consistent one loop approximation. This approximation has been extensively used to study three dimensional magnets and gives the same results as the renormalization group above the upper critical dimension. Fourth, we show using the formalism of the effective action $A[S]$ that corrections to the self-consistent one loop approximation lead at most to logarithmic corrections to the self consistent one loop results. Fifth, we confirm this result using the formalism of the spin fluctuations interacting with fermions. Finally, we discuss the physical consequences of the theory.

A. Derivation of truncated action

We begin by evaluating the coefficients $\chi_0$ and $U$ in action (1.3). We shall be interested in momenta close to the momentum $Q$ at which $\chi_0$ is maximal. For wavevectors near $Q$ the momentum and frequency dependence of $\chi$ and $U$ are non-analytic and controlled by Fermi surface singularities. It will be convenient to parametrize the momentum $k$ in terms of a magnitude $k\parallel$ and an angle $\theta$ as shown in Fig. 1. For each angle $\theta$ there is a momentum $p_F(\theta)$ such that $2p_F(\theta)$ spans the Fermi surface. Note $2p_F(\theta = 0) = Q$. We define $k\parallel$ to be the difference $|k| - 2p_F(\theta)$. These definitions are generalizations for the noncircular Fermi surface of coordinates which are the convenient choice for a circular Fermi surface.

The fermion contribution $\Pi(\omega, k\parallel)$ to the inverse susceptibility $\chi_0^{-1}$ can be calculated by summing all diagrams which are irreducible with respect to the fermion-gauge-field interaction and have two external $S_{\omega,k}$ legs. This sum has contributions from short length scale processes which give $\Pi(\omega, k\parallel)$ an analytic dependence on $k\parallel$ and $\theta$ and also contributions from Fermi surface singularities, which lead to a nonanalytic dependence of $\Pi(\omega, k\parallel)$ on $k\parallel$ and $\omega$. The Fermi surface singularities come from the diagram shown in Fig. 2a which leads to the expression (2.3). Thus we get the susceptibility

\[
\chi_0(\omega, k) \simeq g^4 \left[ c_\omega \left( \frac{\omega}{\omega_0} \right)^{2/3 - 2\sigma} + c_k \left( \frac{|k| |v_F|}{\omega_0} \right)^{1 - 3\sigma} + \theta^2 + \left( \frac{\omega}{p_F} \right)^2 \right]
\]
where \( g \) is the fermion-spin fluctuation coupling. The coefficients \( c_\omega \) and \( c_k \) are of the order of unity, they are sensitive to the details of the band structure and the momentum dependence of the interaction. The coefficient \( \kappa^2_0 \) is determined by difference of the interaction from its critical value. Note that we are using Matsubara frequencies so that \( \chi \) is purely real.

The most singular contribution, \( U_{\text{sing}} \), to \( U \) is given by the diagram shown in Fig. 2b. It diverges if the reduced momenta \((k_{||}, \theta)\) and frequencies of all four legs are zero. For our subsequent calculations we shall need to estimate the asymptotic behavior when the momenta and frequencies on the external legs satisfy

\[
k_{||} \approx k_{||a} \approx k_{||b} \gg k_{||c} \approx k_{||d} \\
\omega \approx \omega_a \approx \omega_b \gg \omega_c \approx \omega_d
\]

and \( \theta_a \approx \theta_b ; \theta_c \approx \theta_d \) but with \( \theta_a - \theta_c \) arbitrary. Here \( a, b, c, d \) are any permutation of the legs shown in Fig 2b. By evaluating the diagram in Fig. 2b we find that in this limit the vertex is

\[
U(k_{||}, \omega; \theta_1, \theta_2) \approx \frac{g^4}{\omega_0^2} \sqrt{\frac{\omega_0/\omega}{v_F}} \left[ \frac{1}{\omega_0} \left( \frac{v_F |k_{||}|}{\omega_0} \right)^{6\sigma+1} + \frac{v_F p_f^2 (\theta_1 - \theta_2)^2}{\rho_0 \omega_0} \right] (3.2)
\]

The equation (3.2) is not strictly correct at arbitrary momentum and frequency but gives the correct asymptotics of the vertex, which are all we need. The result may be motivated by the following arguments: the scaling \( \omega_0^{1/3}, \omega_0^{2/3} \sim v_F k_{||} \sim v_F (p_F \theta)^2 / \rho_0 \) comes from the structure of the expanded fermion Green function (2.1); we believe that this is the correct generic scaling in this problem. In the case of the circular Fermi surface the dependence on the large angular separation \((\theta_a - \theta_c)\) follows from rotational invariance; a noncircular Fermi surface can still be mapped locally to a circle, proving this result in the general case. In writing these equations we have included the renormalization of \( \Gamma_{2p_F} \) due to the fermion-gauge-field interaction. The renormalization of the fermion vertex \( g^2 \) due to the gauge field does not enter our discussion of the critical phenomena. To see this, note that the renormalization is due to the logarithmic divergence of the diagram shown in Fig. 3. In the present case the interaction is sharply peaked, so the logarithm is confined to momenta and frequencies less than the scale set by \( \kappa \) (see Eq. (3.1)), and therefore does not affect our treatment of the critical point. The problem is thus to investigate the self-consistency of the theory defined by Eqs. (3.3), (3.1) and (3.2).

![Fig 3](image-url)

**FIG. 3.** Diagram yielding renormalization of the spin-fluctuation contribution to the four fermion vertex \( W \) by gauge field. Here the solid lines are fermions, the dashed line represents the gauge field, and the wavy line represents the spin.

### B. Self-consistent one-loop approximation

We treat the theory defined by Eqs. (3.3), (3.1) in the self-consistent one loop approximation shown diagrammatically in Fig. 4, i.e. we require that the full susceptibility obeys the equation
\[ \chi(k, \omega)^{-1} = \chi_0^{-1}(k, \omega) + g^4 \sum_{\eta, q} \chi(\eta, q) U_{\eta, \omega}^{k, q} \]  
(3.3)

where \( \chi_0 \) is given by (3.1). Using (3.1) and (3.3) we see that the frequency or momentum derivative of the integral (3.3) is infra-red divergent. Estimating this integral we get:

\[ \chi^{-1}(k, \omega) - \chi_0^{-1}(k, \omega) = g^4 \sqrt{\frac{\omega_0 p_0}{v_F^2}} \left[ \left( \frac{|\omega|}{\omega_0} \right)^{2/3 - 2\sigma} + \left( \frac{v_F|k||}{\omega_0} \right)^{1-3\sigma} \right] \]  
(3.4)

which has the same order of magnitude and the same frequency and momentum dependence as the bare \( \chi_0^{-1} \). Here as in Eq. (3.2), the formula gives only the correct asymptotics when one of the variables \( (\omega_0^{1/3}, \omega_0^{2/3}, v_F k||, v_F (p_F \theta)^2/p_0, v_F \kappa^2/p_0) \) is much larger than the others.

![Diagram](image)

**Fig 4.** Diagrams defining the self-consistent one-loop approximation for the susceptibility \( \chi \) (thick wavy line) in terms of the interaction \( U \) (shaded box) and the bare susceptibility \( \chi_0 \) (thin wavy line).

Due to the four spin interaction \( U \) the cutoff, \( \kappa^2(T) \), is renormalized as compared to \( \kappa_0^2 \). At a finite temperature the sum over \( \eta \) in (3.3) is taken over Matsubara frequencies \( \eta_n = 2\pi n T \). The temperature dependent part of the correction is dominated by the first term in this sum. Estimating it at zero external momenta and frequency we get the leading contribution to

\[ \frac{\kappa^2(T)}{p_F^2} = \left( \frac{T}{\omega_0} \right)^{3/2 - 2\sigma} + \frac{\kappa^2(0)}{p_F^2} \]  
(3.5)

Note that \( \chi^{-1}(\theta) \sim \theta^2 \); the singular interaction does not change the power law in the angle dependence. This may be most easily seen via a *reductio ad absurdum*. Suppose the four-spin-wave interaction had led to an exponent less than two for \( \theta \). Then the angular dependence of \( \chi_0 \) in Eq. (3.3) could have been neglected. However for a circular Fermi surface Eqs. (3.2,3.3) are rotationally invariant (apart from terms due to \( \chi_0 \)), and can therefore lead to no angular dependence at all, in contradiction to the hypothesis of angular dependence with an anomalous exponent. The same argument applies to a nonspherical Fermi surface, because it may be mapped into a spherical one, with errors of order \( \theta^2 \). We conclude that in general the self-consistent one-loop equations cannot produce a \( \theta \)-dependence different from \( \theta^2 \).

**C. Marginality of Higher Order Corrections**

We now argue that corrections to the self-consistent solution (3.3) do not change the momentum, frequency and temperature dependences of Eqs. (3.1,3.5). There are two kinds of corrections: those arising from higher orders of
perturbation theory in the $S^4$ coupling using the truncated action, Eq. (2.1), and those arising from higher order $S^6$, $S^8$, ..., nonlinearities omitted from Eq. (1.3). We first consider the renormalization of the vertex $U$ at the second order in $U$. The corresponding diagram is shown in Fig. 5. This diagram is infrared divergent and may therefore be evaluated by writing the integrals with zero external momenta and frequencies and then cutting off the resulting divergence by the largest of the external momenta or frequency. This gives

$$\delta U(\Omega, q, \theta_1, \theta_2) \sim \frac{g^4 p_F}{\omega_0^4} \int_{\omega > 0} \frac{d\omega d\theta dk}{k_1 > q} \int \frac{d\omega d\theta dk_\parallel}{k_1 > q} \left[ \left( \frac{\omega}{\omega_0} \right)^{2/3 - 2\sigma} \right]^2 \left[ \left( \frac{\omega}{\omega_0} \right)^{1 - 3\sigma} \right]^2$$

This estimate shows that higher order corrections in the $S^4$ interaction do not change the power law dependence of the mode coupling interaction, and therefore cannot change the powers coming from the solution of the self-consistent equation. This argument of course does not rule out logarithmic corrections to $\chi^{-1}$.

Fig 5

FIG. 5. Diagram giving leading renormalization of full four spin interaction $U$ (shaded box) in terms of bare $U$ (open box) and spin fluctuations (wavy lines).

The effect of the higher order nonlinearities ($S^6$, $S^8$, etc) may be analyzed similarly. Let us compare the contribution to the susceptibility from one bare $S^2_q$ vertex to the contribution from one bare $S^2_q$ vertex. The former contains one more integral over $(\omega, k_\parallel, \theta)$ and one more factor of the susceptibility $\chi$. The diagram for the bare vertex $S^2_q$ contains also two more fermion Green functions. Performing the extra integration we see that the additional singularity coming from the extra fermion Green functions in the bare vertex and extra factor of susceptibility is precisely cancelled by the phase volume.

These results imply that the theory is marginal, in the sense that higher order interactions give the same infrared behaviour as lower order interactions. The marginality may also be demonstrated by a scaling argument. If we rescale momenta and frequencies via $\omega' = b\omega$, $k'_\parallel = b^{2/3}k_\parallel$, $\theta' = b^{1/3}\theta$, then we must scale the field $S_q' = b^{-4/3+\sigma}S_q$ to keep the quadratic term in the action invariant. The scaling dimension of the $S^2_q$ vertex is then $b^{(-8/3+2\sigma)n}$ (from the fields) times $b^{-4n/3+2-2n\sigma}$ (from the vertex) times $b^{2(2n-1)}$ (from the integrals). Adding the powers we see that the total scaling dimension of the vertex is zero, so all interactions are marginal in the renormalization group sense.
Thus, we conclude that higher order effects of the spin wave interaction do not change the exponents characterizing the divergence of the susceptibility \(\beta\) or the interaction between spin fluctuations \(\gamma\).

**D. Effect of spin fluctuations on fermions**

We now consider the alternative approach of estimating the feedback of the interaction mediated by the spin fluctuations \(\Delta\) on the fermions. The lowest order contribution to the fermion self-energy \(\Sigma\) is

\[
\Sigma(\epsilon, p) = g^2 \int G(\epsilon', p') \chi(\epsilon - \epsilon', p - p') [\Gamma_{(p+p')/2,(\epsilon+\epsilon')/2}(\epsilon - \epsilon', p - p')]^2 (dp'd\epsilon')
\]

(3.7)

We are interested in the leading frequency dependence of \(\Sigma\); the main contribution to this comes when \(\epsilon'\) is on the Fermi line. We then find the angle at which \(\chi\) is peaked; this turns out to be the point which is located symmetrically opposite to \(p\): \(\theta' - \theta + \pi \sim (\kappa^2 + 4\theta^2)^{\frac{1}{2}}\) where \(\theta'\) and \(\theta\) are the polar coordinates of the points \(p'\) and \(p\). Estimating the contribution of this region we get:

\[
\Sigma(\epsilon, \theta) = \frac{-i\epsilon \Delta \kappa}{\left(\frac{\kappa}{p_F}\right)^2 + 4\theta^2}^{\frac{1}{2}}
\]

(3.8)

where \(\Delta \sim 1\). Over most of the Fermi line, this self-energy is small compared to the fermion self-energy \(\omega_0^{1/3} \epsilon^{2/3}\) due to the gauge field interaction. The spin fluctuation contribution becomes important only in a small region \(|\theta| \leq \theta^*\) near the points connected by the wave vector \(Q\), with \(\theta^*\) given by

\[
\theta^* = \left(\epsilon/\omega_0\right)^{\frac{1}{(1-3\sigma)}}
\]

(3.9)

At a finite temperature \(T\) the typical energy of the fermion is \(T\), and it should replace \(\epsilon\) in (3.9):

\[
\theta^*(T) = \left(T/\omega_0\right)^{\frac{1}{(1-3\sigma)}}
\]

(3.10)

Since \(\theta^*(T) \gtrsim \kappa/p_F\) (cf. Eq. (3.5)), in the region \(\theta \lesssim \theta^*(T)\) the self-energy (3.8) remains of the order of \(\omega_0^{1/3} \epsilon^{2/3}\).

We do not have a reliable expression for the self-energy \(\Sigma(\epsilon, \theta)\) in the region \(|\theta| < |\theta^*|\) at \(\epsilon \gg T\). We can, however, argue that fermions in this region make only a marginal contribution to physical quantities such as polarization operator \(\Pi(\omega, k)\). The analytic expression corresponding to Fig. 2a for \(\Pi\) is

\[
\Pi(\omega, k, \theta) = \int dp d\epsilon \Gamma_{2p_F}^2 G(p + k, \epsilon + \omega)G(p, \epsilon)
\]

(3.11)

Here \(k\) is a vector parametrized as shown in Fig. 1 by variables \(k_\parallel, \theta\). As a function of angular variable \(\theta\), \(\Pi(\omega, \theta)\) has a smooth maximum of the width \(\theta' - \theta \sim \omega/\omega_0^{1/3 - \sigma} \sim \theta^*(\omega)\). Thus all physical quantities are controlled by spin fluctuations with \(\theta \gtrsim \theta'\). Using Eq. (3.1) for \(G\) and (2.2) for \(\Gamma_{2p_F}\) we see that the integral over momenta is dominated by fermions with momenta near the Fermi surface which have angles very close to \(\theta\) (the dominant contribution comes from the range of fermion angles \(\theta'\): \(|\theta' - \theta| \sim (\omega/\omega_0)^{1/3} \ll \theta\). Thus for \(k_\parallel\) and \(\theta\) which are important for the susceptibility, for the self-energy \(\Sigma(\epsilon)\) or for the \(T\)-dependence of \(\kappa\), the polarization bubble is controlled by the fermions at \(\theta \gtrsim \theta^*\). Thus, we believe that the fermion-spin-wave interaction leads only to corrections of the order of unity to physical quantities. Certainly, these arguments are based on power counting and can easily miss logarithmically large contributions; however, we have verified that the leading vertex and self-energy corrections do not contain logarithms.

**E. Physical consequences**

We now discuss the physical content of the results. We first note that the fermion-gauge field interaction has two effects on the polarizability near \(Q = 2p_F\): it changes the form of the nonanalyticity at \(\omega = 0\) and \(Q = 2p_F\) (introducing the exponent \(\sigma\)) and it washes out the nonanalyticity associated with the lower boundary of the particle-hole continuum at \(\omega = 2v_Fk_\parallel\). Therefore the scaling form, eq. (3.1), gives the correct result for the imaginary part of the susceptibility, \(\chi''\), which may be measured in neutron scattering. Using this and eq. (2.5) gives
\[ \chi''(q, \omega) = \frac{\Pi''(q, \omega)}{(1 - g^2 \Pi(q, \omega))^2} \] (3.12)

The NMR \( T_1 \) relaxation rate is therefore

\[ \frac{1}{T_1 T} = A^2 g^4 \int dk \int dp d\theta \lim_{\omega \to 0} \frac{Im \Pi(k, \omega)}{\omega} [\chi(0, k, \theta)]^2 \] (3.13)

Here \( A \) is a constant proportional to the hyperfine coupling. We can neglect the weak dependence of \( \Pi \) on \( \theta \) since the singular dependence on \( \theta \) comes only via \( \chi \). For \( T > 0 \) and \( k \) small \( (k < p_F(T/J)^{2/3}) \) we have

\[ \lim_{\omega \to 0} \frac{Im \Pi(k, \omega)}{\omega} = \int G(\epsilon, p + Q/2)G(\epsilon, p - Q/2) \left| \Gamma(0, Q, \epsilon) \right|^2 \frac{(dpd\epsilon)}{2T \cosh^2(\epsilon/2T)} = c_T \left( \frac{p_0}{\omega_0 v_F^2} \right)^{\frac{1}{2} + 2\sigma} \] (3.14)

while for larger \( k \) it decreases as

\[ \lim_{\omega \to 0} Im \Pi(k, \omega) \sim Im \Pi(0, \omega) \left( \frac{T^{-2/3}}{\omega_0} \right) ^{(1+6\sigma)/2} \] (3.15)

The divergence of \( Im \Pi(k = 0, \omega)/\omega \) is the usual Kohn anomaly modified by the fermion-gauge-field interaction. This interaction has two effects. First, the increased fermion damping proportional to \( \epsilon^{2/3} \) weakens the \( T \) dependence from \( T^{-1/2} \) to \( T^{-1/3} \) but does not change the \( q \)-dependence. Second, the extra vertex correction then strengthens the \( T \) dependence to \( T^{-(2\sigma+1/3)} \) and the \( q \)-dependence to \( |q - 2p_F|^{-3(3\sigma+1)/2} \). If \( \sigma > 1/6 \) as we assume throughout the strengthened \( q \)-dependence leads to a divergence of \( 1/T_1 T \sim T^{(1-6\sigma)/3} \) even far from the critical point.

If the system is tuned to the critical point, then the \( T \) dependence of \( \chi \) becomes important and we obtain

\[ \frac{1}{T_1 T} = A^2 \frac{1}{g^4} \left( \frac{v_F p_F}{\omega_0} \right)^{\frac{1}{2} - \sigma} \] (3.16)

If \( \sigma < 1/3 \), the critical contribution is more singular than the background contribution. However as \( \sigma \) is increased to \( 1/3 \), the difference between the critical contribution and the background contribution disappears.

One may calculate the \( T_2 \) rate similarly. The electronic contribution to the NMR \( T_2^{-1} \) rate is related to the real part, \( \chi' \), of the susceptibility:

\[ T_2^{-1} \sim \frac{A^2}{a} \sqrt{\sum_k |\chi'(0, k)|^2} \]

where \( a \) is the lattice constant. If \( \sigma < 1/9 \), the critical contribution to \( T_2^{-1} \) is

\[ T_2^{-1} \sim A^2 \frac{v_F}{ag^4} \sqrt{\frac{p_F}{p_0}} \left( \frac{\omega_0}{T} \right)^{1/6 - 3\sigma/2} \]

Since we have assumed \( \sigma > 1/9 \), \( T_2^{-1} \) is not divergent as \( T \to 0 \).

Proximity to the antiferromagnetic transition has also an effect on the uniform susceptibility. We have shown elsewhere\[12\] that the leading low-\( T \) behavior of \( \chi(q, 0) \) in the limit \( q \to 0 \) is given by

\[ \chi_U = \lim_{q \to 0} \chi(q, 0) = \sum_{k, \nu} D(k, \nu) \chi(k, \nu) \] (3.17)

where the coefficient \( D \) is given by the diagram in Fig. 2b with two of the spin fluctuation vertices replaced by vertices coupling the fermions to the external magnetic field. We denote this coupling to the external magnetic field by \( g_e \).

In particular, terms arising from triangular vertices coupling two spin fluctuations to one small-\( q \) external field lead only to terms proportional to integer powers of \( T \), which are much smaller than the terms we keep. The calculation of \( D \) is very similar to the calculation of \( U \) (Eq. (3.3)) except that the coupling \( D \) has two small \( q \) vertices and only two large \( Q \) vertices \( \Gamma_{2p_F} \); the degree of singularity therefore differs from that of \( U \) and the dependence on \( \theta_1 - \theta_2 \) is absent. We find
\[ D(k_\parallel, \nu) = \frac{p_0^{1/2}}{(v_F \omega_0)^{3/2}} \left( \frac{k}{\omega_0} \right)^{2/3+2\sigma} + \left( \frac{k v_F}{\omega_0} \right)^{3\sigma+1} \] (3.18)

Substituting this expression for \( D \) and Eq. (3.1) for \( \chi \) into Eq. (2.13) we find at \( g = g_c \) that

\[ \chi_U = \text{const} + D_0 (T/\omega_0)^{2/3-\sigma} \] (3.19)

where \( D_0 \) is a constant of order \( g_c^2 p_F/(g^2 v_F) \); \( D_0 \) is positive if \( \kappa/p_F > (T/\omega_0)^{1/3-\sigma} \) and \( D_0 \) is negative if \( \kappa/p_F < (T/\omega_0)^{1/3-\sigma} \). The relation between the sign of \( D_0 \) and the magnitude of \( \kappa \) comes because there are two sources of \( T \)-dependence in Eq. (3.17): one is the \( T \)-dependence of the cut-off \( \kappa \); the other is the discreteness of the frequency variable.

If \( \kappa(T = 0) > 0 \) then a crossover occurs. For \( T^{2/3-\sigma} > \kappa \), the result (3.19) holds. For lower \( T \), the renormalization of the \( 2p_F \) interaction by the gauge field shown in Fig. 6 becomes important and the \( T \) dependence becomes weaker.

We discuss the \( T \)-dependence in the \( g < g_c \) regime in the next section because it is important for \( \sigma > 1/3 \).

**IV. STRONG GAUGE FIELD INTERACTION \( \sigma > 1/3 \)**

If the exponent \( \sigma \) arising from the fermion-gauge-field interaction is greater than the critical value \( 1/3 \), then the fermion spin susceptibility diverges at \( q = 2p_F \) and \( \omega = 0 \) for all \( g < g_c \), leading to a phase with power-law spin correlations at \( T = 0 \). At \( g = g_c \), a transition will occur to a phase with long range order. We have not yet formulated a theory of this transition. In this section we outline the physical consequences expected for the \( g < g_c \) phase.

For \( \sigma > 1/3 \) the Kohn singularity at \( q = 2p_F \) becomes nonintegrable, so \( \chi' \) and \( \chi''/\omega \) diverge at \( T = 0 \) as shown in Eq. (2.4). The power law describing the divergence is \( \theta \)-independent. The NMR relaxation rates are therefore given by summing the appropriate combinations of susceptibilities over \( k_\parallel \). We find

\[ \frac{1}{T_1 T} \sim A^2 p_F p_0^{1/2} \frac{1}{v_F^2} \left( \frac{T}{\omega_0} \right)^{2-2\sigma} \] (4.1)

If \( \sigma < 1/2 \), the rate \( T_2^{-1} \) is non divergent and if \( \sigma > 1/2 \),

\[ \frac{1}{T_2} \sim A^2 \frac{p_F p_0 \omega_0}{v_F^2 a} \left( \frac{T}{\omega_0} \right)^{1-2\sigma} \] (4.2)

11
Here $a$ is the lattice constant. We now consider the uniform susceptibility $\chi(q \to 0, 0)$, which has contributions from diagrams involving the fermion vertex $W$. The leading diagram is shown in Fig. 6. Because, as we have shown in a previous paper, $W$ is renormalized by the gauge-field, $\chi_U$ acquires an anomalous temperature dependence. The renormalization of $W$ is cut off by the largest of the temperature, the energy of any fermion line, the scaled momentum $(v q)^{3/2}$ of any fermion line, or the difference from $\pi$ of the angle $\theta_1 - \theta_2$ between the momentum of the incoming particles, leading to

$$W \sim W_0 \max \left[ \frac{\omega}{\omega_0} \left( \frac{k_{\parallel} v_F}{\omega_0} \right)^{3/2}, (\theta_1 - \theta_2 - \pi)^3 \right]^\beta \tag{4.3}$$

where the exponent $\beta$ is $N$-dependent and is not simply related to $\sigma$. As $N \to \infty$, $\beta(N) = \frac{4}{3} - \frac{1}{N}$; as $N \to 0$, $\beta(N) \sim c_1 \sqrt{N}$ with $c_1 > 0$.

To calculate the temperature dependence of $\chi(q \to 0, 0)$ we insert the vertex given in eq. (4.3) into Fig. 6, and then determine the phase volume in the $(\epsilon', p')$ integrals in which the $T$-dependence of $W$ is important. We find

$$\chi_U = \text{const} + D_0''(T/\omega_0)^{1+\beta} \tag{4.4}$$

where $D_0''$ is a constant of order $(\frac{v_F}{\pi})^2 W$, whose sign is positive for repulsive $W$ and negative for attractive $W$.

V. CONCLUSION

We have determined the scaling behavior of the spin susceptibility near an antiferromagnetic critical point at a “$2p_F$” wavevector of a spin liquid. By a “$2p_F$” wavevector we mean one which connects two points on the Fermi surface with parallel tangents. An example is shown in Fig. 1. We distinguish between very weak, weak and strong fermion-gauge-field coupling. The cases are defined by the value of the exponent $\sigma$ appearing in Eq. (2.3). This exponent depends only on the fermion spin degeneracy, $N$; we do not know how to calculate it analytically for the physically relevant case $N = 2$. For estimates of $\sigma$, see ref. [1]. In the weak coupling case, $\sigma < 1/6$, a second order transition at $|Q| = 2p_F$ is impossible because the maximum of $\chi$ is at $|Q| \neq 2p_F$. In the weak coupling case $1/6 < \sigma < 1/3$ the critical point occurs at $T = 0$ when a short-ranged interaction $W$ equals a critical value $W_c$. In the strongly coupled case $\sigma > 1/3$ there is a critical phase with power law correlations at $T = 0$ for $0 \leq W < W_c$ and an additional transition, which we did not study, at $W = W_c$.

In weak and strong coupling cases the $2p_F$ singularities of the fermions lead to physically important effects. First, the scaling is anisotropic. The dependence of the susceptibility on wavevectors $k_{\parallel}$ which are parallel to the ordering wavevector $Q$ involves a different exponent than does the dependence on wavevectors $k_{\perp}$ perpendicular to $Q$. The $k_{\perp}$ exponent takes the conventional Ornstein-Zernike value 2 while the $k_{\parallel}$ exponent is always between 0 and 1 (cf. eq. (3.1)). The small value of the $k_{\parallel}$ exponent comes from a long range (in position space) interaction due to the $2p_F$ singularity of the fermions. It drastically weakens the singularities associated with the transition. For example, in the weakly coupled spin liquid case the NMR $T_2$ relaxation rate, which is given by $T_2^{-1} \sim \sum_\omega (\chi_\omega')^2$, does not diverge as $T \to 0$, in contrast to transitions in 2D insulating magnets, where $T_2^{-1} \sim 1/T$. The $T_1^{-1}$ rate is weakly divergent, see Eq. (3.10). The uniform susceptibility is nonvanishing as $T \to 0$, but the leading temperature dependence is a power of $T$ between 0 and 1; see Eq. (3.19).

In the strongly coupled spin liquid the scaling is again anisotropic. There is no singular dependence of $\chi(q, 0)$ on the direction of $q$. The only singular dependence is on the difference between $q$ and “$2p_F(\theta)$”, the vector spanning the Fermi surface and parallel to $q$. For $\sigma > 1/3$ the NMR relaxation rate $(T_1 T)^{-1}$ is always divergent as $T \to 0$ (cf. Eq. (4.1)); $T_2^{-1}$ diverges if $\sigma > 1/2$ (cf. Eq. (4.2)). Both quantities diverge more strongly as $\sigma$ is increased. We also found that the leading temperature dependence of $\chi$ is $\chi \sim \text{const} + T^{1+\beta}$ where $\beta$ is an independent exponent which tends to 0 as $\sigma$ becomes large and to $4/3 - 2\sigma$ as $\sigma \to 0$ (cf. Eq. (3.19)). Our results for $T_1$, $T_2$ and the uniform susceptibility are summarized in the Table.

One reason for studying spin fluctuations in two dimensional systems is that the high-$T_c$ superconductors have been shown to have strong antiferromagnetic spin fluctuations. The two best studied high-$T_c$ materials are $La_{2-\delta}Sr_{\delta}CuO_4$ and $YBa_2Cu_3O_7$. In $La_{2-\delta}Sr_xCuO_4$, neutron scattering has observed peaks centered at incommensurate $x$-dependent wavevectors. At $x = 0.14$ the incommensurability was shown to correspond to a “$2p_F$” vector of the LDA bandstructure, suggesting that the results of the present paper should be relevant. NMR experiments have shown that the copper $T_1$ rate $C_u T_1^{-1}$ has the temperature dependence

$$C_u (T_1 T)^{-1} \sim 1/T \tag{5.1}$$
for $100K < T < 500K$. The $T_2$ rate has not been measured in this material, but in other high $T_c$ materials with $(CvT)^{-1} \sim 1/T$, $T_2 \sim T^{-x}$ with $\frac{1}{2} \leq x < 1$. The uniform susceptibility is given by $\chi \sim \text{const} + AT$ at least for $150K < T < 400K$. None of these properties are consistent with the weakly coupled spin liquid results of Section II. The strongly coupled spin liquid results with $\sigma \approx 2/3$ are in rough agreement with the data. In the $YBa_2Cu_3O_{7-\delta}$ materials, $Cv(T_cT)^{-1} \sim 1/T$ and $T_2^{-1} \sim T^{-x}$ for $T$ greater than a $\delta$-dependent "spin-gap" temperature and above this temperature $\chi \sim \text{const} + AT$ with $A \propto \delta$-dependent. The neutron scattering indicates broad and flat-topped peaks centered at the commensurate wavevector $(\pi, \pi)$. It is possible that the observed structure is due to several overlapping and unresolved singularities at a $2p_F$ wavevectors. However, it has also been argued that in $YBa_2Cu_3O_{7-\delta}$ the magnetism is not driven by a $2p_F$ instability, and is peaked at a commensurate wavevector because of a strongly peaked interaction. If the latter point of view is correct, the theory developed here is irrelevant. If the former point of view is correct, then we are forced to conclude that the relevant fixed point is the strongly coupled spin liquid with $\sigma \approx 2/3$.

One other aspect of the strongly coupled spin liquid requires further comment. We noted already that in this case $\chi$ is singular at any $Q$ spanning the Fermi surface. In a translationally invariant system this would predict peaks in the neutron scattering cross section on a ring of radius $2p_F$ centered at the origin. As noted by Littlewood et al. for fermions on a lattice one obtains instead one or more curves traced out by the vectors $Q$ connecting points with parallel tangents, and also one obtains additional families of curves displaced by reciprocal lattice vectors, $G$. One gets further peaking when members of different families of curves intersect. The resulting structure is very sensitive to the details of the Fermi surface and may resemble the data in $YBa_2Cu_3O_{7-\delta}$ as well as the data on $La_{2-x}Sr_xCuO_4$.

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| Weakly Coupled | Strongly Coupled |
|---------------|-----------------|
| Spin Liquid $\frac{1}{6} < \sigma < \frac{1}{3}$ | Spin Liquid $\sigma > \frac{1}{3}$ |
| $T_{1/2}$ | $T_{2/3-\sigma}$ |
| non-divergent | $C + T^{2/3-\sigma}$ |
| $\chi(q \rightarrow 0)$ | $C + T^{1+\beta}$ |