Phase-Sensitive Sum-Frequency Generation Measurements Using a Femtosecond Nonlinear Interferometer

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ABSTRACT: Phase-sensitive sum-frequency spectroscopy is a unique tool to interrogate the vibrational structure of interfaces. A precise understanding of the interfacial structure often relies on accurately determining the phase of \( \chi^{(2)} \), which has recently been demonstrated using a nonlinear interferometer in conjunction with a frequency-scanning picosecond laser system. Here, we implement nonlinear interferometry using a femtosecond laser system for broadband sum-frequency generation. The phase of the vibrational response from a self-assembled monolayer of octadecanethiol on gold is determined using the nonlinear femtosecond interferometer. The results are compared to those obtained using the more traditional heterodyne-detected phase measurements. Both methods give a similar phase spectrum and phase uncertainty. We also discuss the origin of the phase uncertainties and provide guidelines for further improvement.

INTRODUCTION

Sum-frequency vibrational spectroscopy (SFVS) has emerged as one of the main tools for characterizing molecular structure and dynamics at interfaces because of its high surface sensitivity and molecular specificity.1−7 However, in a conventional homodyne SFVS measurement, the SF signal is proportional to the square of the complex second-order nonlinear susceptibility. Analysis of the intensity spectrum through fitting can be ambiguous as the outcome of the fitting procedure is rarely unique.8,9 Thus, the phase information is often lost in a homodyne SF measurement. Therefore, the direct measurement of Im\( \chi^{(2)} \) or, equivalently, the phase of \( \chi^{(2)} \) is frequently desirable.10,11

Since the seminal papers reporting the phase measurement methodology published by Shen et al.,12 13 the technique of phase-sensitive sum-frequency vibrational spectroscopy (PS-SFVS) has greatly developed in the past ten years,12−23 and phase accuracy has been a prime concern. Based on the existing beam configurations, one origin of phase uncertainty is the height difference between the sample surface and the reference surface. To improve the phase accuracy, Shen and co-workers adopted a collinear beam geometry.12 13 The collinear geometry greatly reduces the phase dependence on the height difference between the real sample and the reference sample because the path length is experienced by both sum frequency beams generated from the local oscillator (LO) and real sample or reference.12 Tahara and his co-workers adopted a noncolinear beam configuration but implemented a height sensor in the setup, connected to a feedback system to monitor and correct the height of the sample in situ during a measurement.23 The height accuracy can be controlled within ±1 μm. Martin Wolf and his co-workers developed a time-domain spectrometer, which combined collinear beam geometry and simultaneous referencing. This design also greatly reduces phase uncertainty.19

Although configurations differ depending on the laser system or the sample of interest, the underlying principle is the same: A phase measurement requires interference between two signals. In many setups, the phase measurement is termed a “heterodyne measurement”, and a SF signal generated from what is referred to as the “local oscillator” (LO) interferes with the SF signal from a phase reference. Subsequently or simultaneously, the LO signal interferes with that of the sample of interest. Combining the two allows one to deduce the phase of the sample of interest.

It has proven challenging to elucidate details of the spectral shape of Im\( \chi^{(2)} \) in a completely unambiguous manner. This is related to the experimental challenges associated with determining the reference phase reliably and is well illustrated by the recent discussion of the presence—or not—of a positive peak in the SFG response of the O−H stretch mode at the water–air interface at low frequencies.24,25 While this

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controversy for the water—air interface seems to have been resolved, the same controversy now exists for the ice surface, where different versions of the phase spectrum have been reported.\textsuperscript{26,27} Hence it is clear that a novel way of determining the phase of the SFG spectrum should be very helpful.

Recently, some of us have reported the development of a nonlinear interferometer scheme.\textsuperscript{17,28} The nonlinear interferometer operates somewhat differently. In normal operation, the setup involves monitoring the interference between two identical, phase reference materials, called reference and a “calibration sample”. Since both reference and calibration samples have the same phase, the nonlinear interferometer operating point can be set from the interference. Subsequently, the calibration sample is removed and replaced by the sample of interest. The sample signal interferes with the reference—the phase standard—to directly deduce the sample phase.

So far, this nonlinear interferometer design has only been demonstrated using a picosecond laser system.\textsuperscript{17,28} In the SFG community, an increasing number of experimental setups are based on a femtosecond laser system because a femtosecond laser system offers a broad IR spectrum, high peak intensity, and high repetition rate, which allows reducing the acquisition time. Here, we implemented the interferometer with a femtosecond laser system and demonstrated it by comparing the obtained phase spectrum with the one obtained using the heterodyne phase-measurement configuration.\textsuperscript{14,23,29}

## Experiment

Experiments were performed on two different setups, both using a femtosecond Ti:Sapphire amplifier laser (Spectra-Physics) with an output of 5 W at 800 nm wavelength. The pulse duration of the laser is 50 fs with a repetition rate of 1 kHz. Roughly 2 W is used to pump an optical parametric oscillator to generate signal and idler beams (TOPAS). Subsequently, the signal and idler beams are sent to a difference frequency generator (crystal: AgGaS\textsubscript{2}), producing broad IR with a bandwidth around 400 cm\textsuperscript{-1} with a pulse energy around 5 \(\mu\)J. Another roughly 1 W of the laser output goes through an etalon to generate the narrowband (with just 15\textendash25 cm\textsuperscript{-1} line width), temporally lengthened, 800 nm wavelength pulse of \(\sim\)20 \(\mu\)J used as the visible beam in the SFVS process. A self-assembled monolayer of octadecanethiol (ODT) on gold was prepared by immersing a bare gold mirror (Thorlabs) in dilute (1 mM) ODT solutions in absolute ethanol overnight. The sample was rinsed with absolute ethanol to remove the residual adsorbates and subsequently dried with nitrogen.\textsuperscript{30,31} The exact same sample was measured on both setups directly after each other, making a direct comparison between the two setups possible.

The layout of the nonlinear interferometer as shown in Figure 1a is similar to that described previously.\textsuperscript{17,28} Visible excitation, depicted with the green line, consists of 800 nm; infrared excitation is depicted with the red line. White light (WL) plays an important role in repositioning the sample.\textsuperscript{17,28} The green laser beam is split at the BS. The split beams traverse paths B', B, D', and D, respectively, and combine at the BC. The combination generates interference that is used in a feedback control system, so it is also referred to as the tracker laser. The alignment and operating procedures for the femtosecond laser system are similar to the picosecond laser system described in refs 15 and 18, with one difference. In the picosecond configuration, the tracker laser and SF alignment laser are a He–Ne laser with wavelength of 633 nm. For the femtosecond setup, this is not suitable because the wavelength of He–Ne falls into the SF bandwidth (600\textendash700 nm). Consequently, in this implementation, all alignment and tracker lasers are diode lasers with a wavelength of 532 nm.

As shown in Figure 1a, the SFs from the reference and the sample are combined at the BC. The SF signal can be detected in two channels. In the current measurement, only one channel (ChI) is collected, and the sum-frequency signal with ppp (the SF, Vis, and IR are p-polarized) polarization combination is used. The collected SF signal can be expressed as

\[
I = I_s + I_{ref} + 2M\sqrt{I_{ref}}\cos(\delta_s - \delta_{ref} + \delta_i) \tag{1}
\]

where \(I_s\) and \(I_{ref}\) are the homodyne SF intensity from sample and reference detected separately in ChI. \(\delta_s\) and \(\delta_{ref}\) denote the phases of the sample and reference, respectively (please note that for \(\delta_s\) and \(\delta_{ref}\) in the equation the phase is not the pure phase from \(\chi^{(2)}\) from the sample or reference; actually, in both cases, it also includes the phase from the Fresnel Factors); \(\delta_i\) is the instrument phase caused by the difference in the optical path between the lower and the upper paths; the quantity \(M\) is the interference range; and \(\delta_s\) and \(M\) can be determined when the same material as reference is placed at the sample position, which is termed a calibration sample. In our measurement, bare gold serves as the reference. Therefore, during alignment and calibration, another bare gold is placed at the sample position; it is the calibration sample. Because the reference and the calibration samples are the same, their phases are the same. Equation 1 becomes

![Figure 1. Layout of the nonlinear interferometer (a) and heterodyne phase measurement (b). Nonlinear interferometer: IR BS and Vis BS are the infrared and visible beam splitter, respectively; SF BC is the SF beam combiner; A, E, and B represent the optical paths of the Vis, IR, and SF beams for the lower arm, respectively; C, F, and D are the optical path of the Vis, IR, and SF beams for the lower arm, and B' and D' are the mirror optical paths of B and D, respectively. The colorful beam is white light (WL). A divergent green beam (not shown) follows the same paths as the WL: B', B, D', and D. A collimated green alignment beam (not shown) also traverses B', B, D', and D and also serves as a tracker beam. In panel (b), M, PM, CM, and F are mirrors, a phase modulator, concave mirror, and filter, respectively.]

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The instrument phase, $\delta_1$, is selected by the operator; it is controlled by shifting the BC. At the maximum of the SF interference, $\delta_1 = 0^\circ$, and at the midpoint, $\delta_1 = \pm 90^\circ$. The plus sign applies if the BC is shifted up and the minus sign if it is shifted down. This is termed setting the operating point. A near $-90^\circ$ instrument operating point aids in distinguishing positive and negative phases. $M$ is obtained by determining the maximum and minimum interference signal $I_{\text{max}}$ and $I_{\text{min}}$. As $\cos(\delta_1)$ equals 1 and $-1$ in these cases, one obtains $M = \frac{I_{\text{max}} - I_{\text{min}}}{4I_{\text{cal-sample}}I_{\text{ref}}}$. $M (0 \leq M \leq 1)$ has several contributions including beam geometric overlap at the combiner. The optical paths A–D are balanced using linear interferometry; the balance is maintained with the feedback control. 

**RESULTS AND DISCUSSION**

After calibration, i.e., determining $M$ and $\delta_1$, the sample (ODT/gold) replaces the gold calibration sample as described by Shultz et al.\textsuperscript{17,28} The green curve in Figure 2 shows the intensity spectrum $I_e$ (i.e., homodyne spectrum) of the ODT/gold sample obtained by blocking the signal from the reference. The blue curve depicts the interference signal $I$ (including the homodyne signals from sample and reference and their cross-term contribution) with the operating point of the interferometer close to $-90^\circ$. As $I_{\text{ref}}$ is also separately measured and $M$ and $\delta_1$ are known from the calibration, we can extract $\delta_{\text{ODT/gold}} - \delta_{\text{bare gold}}$ using eq 1. The resulting phase spectrum is shown in magenta in Figure 2. The gold sample substrate is known to produce a nonresonant signal containing a nonresonant phase. The nonresonant phase of the ODT/gold is expected to be different from that of bare gold as the phase is expected to shift with adsorption of a monolayer since the $\chi^{(2)}$ response of gold is largely due to the surface states.\textsuperscript{31–34} The surface states are directly involved in binding the SAM to the surface. Assuming that the nonresonant response of the ODT/gold surface is constant across the 2750–3050 cm$^{-1}$ band, the measured phase of ODT/gold can be estimated from the data in Figure 2. Relative to the phase of bare gold, the phase of the nonresonant part is about $5^\circ$ (average of the baseline on the left and right sides of the resonances), and the phase of the resonant part only varies within a few degrees in the measured frequency window. The small phase change upon passing through the vibrational resonances is a result of the large nonresonant amplitude. The relationship between the phase and the amplitude ratio of resonance/nonresonance is discussed in detail by Hore et al.\textsuperscript{35}

We also performed the phase measurement with the heterodyne setup (the layout of the setup is depicted in Figure 1b). Details of the analysis procedure can be found in ref 12. The result is plotted together with that obtained from the interferometer in Figure 3. The main phase features of ODT/gold obtained from the two different setups are similar. The dips and peaks between 2800 and 3000 cm$^{-1}$ originate from the C–H vibrations of the ODT layer. The higher S/N of the spectrum obtained with the heterodyne measurement originates from the data processing. In the analysis, Fourier transformation with window filtering is used. The small vertical shift between the two data sets originates from measuring different spots on the slightly heterogeneous sample. The error bars on the curves in Figure 3 illustrate the variation between experiments in which we removed and replaced the sample in the measurement position.

In the following, we will discuss the possible origins of the phase uncertainty for the two methods. In the heterodyne measurement, the height of the sample position and the reference is determined by a height sensor with a height uncertainty $d = \pm 1 \mu m$. The incident angle of the Vis and the IR beams in our heterodyne setup is $47^\circ$ and $62^\circ$ with respect to the surface normal, respectively. Then the phase uncertainty is given by

$$\phi \sim \frac{2\pi d}{\lambda_{\text{Vis}}} \cos \theta_{\text{Vis}} + \frac{2\pi d}{\lambda_{\text{IR}}} \cos \theta_{\text{IR}} - \frac{2\pi d}{\lambda_{\text{IR}}} \cos \theta_{\text{IR}} \sin \phi \cos \phi$$

The derivation details in SI), more or less in agreement with the experimental error bar in Figure 3. For the heterodyne measurement, the phase reproducibility can be improved by
decreasing the angle between the Vis and IR beams. As shown in Figure 4, the phase uncertainty becomes vanishingly small for very small angles.\textsuperscript{22}

![Figure 4. Phase uncertainty caused by the sample position changed by 1 \( \mu m \) at different beam geometries in heterodyne phase measurement.](image)

In the interferometer, the sample can be placed in a much more precise way than in the heterodyne measurement by taking advantage of the white light fringes and tracker beam interferogram. However, the phase is much more sensitive to a height difference because of the separated arms configuration. Referring to Figure 1a, in the procedure of sample placement, the recovery of the white light fringe and tracker beam interferogram allows us to get \( B' + B \) equals \( D' + D \). However, for the phase of the SFG signal, it is important that the phase difference between the lower and upper arm including the IR, Vis, and SFG path, \((k_1C + k_2F + k_3D) - (k_1A + k_2E + k_3B)\), is the same for the real sample and calibration sample. As the optical paths of E and F are not incorporated in the feedback control system, there is no compensation possible if the position of the IR BS is changed. Moreover, the position compensation in the linear interferometer through the feedback control can only partly balance the SFVS phase because of the fact that the frequencies and beam paths between Vis and SF are different. Table 1 shows the phase change caused by a vertical drift over a distance \( d \) for different elements in the interferometer, keeping all other components at fixed height.

In our current lab environment, the temperature change is about 0.2 °C during sample replacement. This temperature change could induce a position change of the optics estimated to be 50 nm. If the position of the IR BS changes by 50 nm, the phase change amounts to \(-\frac{4\pi d}{\lambda_{IR}} \cos \theta_{IR} \sim \frac{180}{\pi} \sim 5^\circ\) accordingly. If the position of the Vis BS changes 50 nm, the SF BC compensates it by changing its position by –50 nm. A phase change of \(-\frac{4\pi d}{\lambda_{IR}} \cos \theta_{IR} + \frac{4\pi d}{\lambda_{VIS}} \cos \theta_{VIS}\) will thus be introduced. Besides the position change of the optics induced by temperature variation, another possible origin of phase uncertainty might come from alignment errors (for example, in recovering the white light fringe by replacing the sample) yet to be identified.

Although the interferometer can recover the position of the sample with interferometric accuracy, it remains more sensitive to temperature changes than the heterodyne measurement. Hence, to get an interferometer with a stable phase, one needs to reduce the temperature fluctuation as much as possible during the measurement, especially in the sample replacement procedure. Combining automated sample replacement (preventing body heat from affecting the measurements) with good temperature control could overcome this problem. Although the alignment of the nonlinear interferometer is more sensitive to temperature fluctuations, there are several advantages. The separation of reference and sample arms supports a wide choice of the phase reference, and the splitting ratio can be chosen to balance the sample and reference SF intensities, enabling identification of weak signals.

### CONCLUSION

A nonlinear interferometer designed for phase measurement has been demonstrated using femtosecond laser pulses by measuring the phase of the CH stretch vibrations of ODT/gold. The consistent results from the nonlinear interferometer and heterodyne setups indicate that the nonlinear interferometer works well with a femtosecond laser system. Comparable phase accuracy has been demonstrated in our current lab environment.

### ASSOCIATED CONTENT

#### Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.jpcc.9b00861.

Derivation of the phase change due to height change for heterodyne measurement and nonlinear interferometer (PDF)

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#### Notes

The authors declare no competing financial interest.

### Table 1. Phase Change Caused by a Vertical Drift over Distance \( d \) for Several Elements (See the Derivation Details in SI) in the Interferometer Setup\textsuperscript{a}

| optical element | IR BS | Vis BS | SF BC | LO | sample |
|-----------------|-------|--------|-------|----|--------|
| phase change (180/\( \pi \))\textsuperscript{a} | \(-\frac{4\pi d}{\lambda_{IR}} \cos \theta_{IR}\) | \(-\frac{4\pi d}{\lambda_{VIS}} \cos \theta_{VIS}\) | \(\frac{4\pi d}{\lambda_{SF}} \cos \theta_{SF}\) | \(\sum_i \frac{2\pi d}{\lambda_i} \cos \theta_i\) | \(\sum_i \frac{2\pi d}{\lambda_i} \cos \theta_i\) |

\(\text{a} \) represents the IR, Vis, and SF beam.
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