Oil adsorption ability of three-dimensional epicuticular wax coverages in plants

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Primary aerial surfaces of terrestrial plants are very often covered with three-dimensional epicuticular waxes. Such wax coverages play an important role in insect-plant interactions. Wax blooms have been experimentally shown in numerous previous studies to be impeding locomotion and reducing attachment of insects. Among the mechanisms responsible for these effects, a possible adsorption of insect adhesive fluid by highly porous wax coverage has been proposed (adsorption hypothesis). Recently, a great decrease in insect attachment force on artificial adsorbing materials was revealed in a few studies. However, adsorption ability of plant wax blooms was still not tested. Using a cryo scanning electron microscopy approach and high-speed video recordings of fluid drops behavior, followed by numerical analysis of experimental data, we show here that the three-dimensional epicuticular wax coverage in the waxy zone of Nepenthes alata pitcher adsorbs oil: we detected changes in the base, height, and volume of the oil drops. The wax layer thickness, differing in samples with untreated two-layered wax coverage and treated one-layered wax, did not significantly affect the drop behavior. These results provide strong evidence that three-dimensional plant wax coverages due to their adsorption capability are in general anti-adhesive for insects, which rely on wet adhesion.

Epicuticular waxes are cuticular lipids, which are complex mixture of cyclic (e.g. triterpenoids) and long-chain aliphatic compounds, such as primary and secondary alcohols, primary aldehydes, fatty acids, and alkanes1–12, deposited onto the plants surface12. They cover all aerial primary surfaces of higher plants in the form of relatively smooth two-dimensional films or layers, from which also three-dimensional projections can emerge1. Two-dimensional waxes vary in thickness from extremely thin films consisting of a few molecular layers in aquatic plants to noticeable crusts up to 0.5 mm thick in land plants. Three-dimensional wax projections, which originate by self-assembly eg refs 5–9, range in size from 0.5 to 100 μm1–10 and show various morphologies — platelets, rodlets, tubules, threads etc.1. The morphology of wax projections depends on the chemical composition of the wax and is determined by the dominating chemical compound or compound class1,2,11.

Epicuticular waxes play an important role in interactions between plants and their environment, also in insect-plant interactions. They protect plants against herbivory, are involved in the so called greasy pole syndrome preventing the robbery of nectar and other resources by ants, serve as a selective barrier protecting associated ants against non-specialised ant species on stems of myrmecophylic species, impair attachment, locomotion, and foraging behaviour of predatory and parasitoid insects, contribute to temporary capture of pollinators in plants with kettle trap flowers, and play a key role in prey capturing and retention by trapping organs (pitchers) of carnivorous plants (reviewed by Gorb and Gorb12).

It is a well-known fact that plant surfaces bearing wax projections decrease the insect locomotion (reviews by Eigenbrode13 and Müller14). The effect of the three-dimensional wax coverages on insect attachment has been experimentally studied using different approaches with a number of insect species and some plant species. Insects usually showed successful attachment to the smooth surfaces without wax or with removed wax bloom, but performed poorly on the surfaces covered with wax projections (see review by Gorb and Gorb15). Not only the presence of wax, but also the projection size and density of the wax coverage may affect insect attachment. This was observed on plant surfaces and additionally revealed on bio-inspired artificial wax-covered surfaces15,16. However, most plant wax surfaces studied only temporarily reduced the attachment ability of insects17.

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We have previously proposed four hypotheses explaining the mechanisms of insect adhesion reduction on plant surfaces covered with three-dimensional epicuticular waxes \cite{27}. (1) Wax projections create micro-roughness, which greatly decreases the real contact area between the plant surface and insect attachment organs called adhesive pads (roughness hypothesis). (2) Wax projections are easily detachable structures contaminating insect pads (contamination hypothesis). (3) Highly porous wax coverage may absorb the fluid secretion from the insect pad surface (fluid absorption hypothesis). (4) Insect pad secretion may dissolve epicuticular plant waxes (wax-dissolving hypothesis). This would result in the appearance of a thick layer of fluid, making the plant surface slippery.

Recently, only the first two hypotheses have been experimentally tested. The effect of surface roughness on insect attachment has been revealed in a number of studies showing the worst insect attachment on rough substrates with a nominal asperity size of 0.3 and 1.0 μm e.g. refs 18–21 corresponding to those in most wax-covered plant surfaces. Also the contamination of insect pads by the plant waxes has been detected for several insect and plant species\cite{22–27}. It has been found that plants differ in their contaminating effects on insect pads: the contaminating ability depended on the micro-morphology of the wax projections and was related to both the largest dimension and the aspect ratio of the projections\cite{27}.

As for the fluid adsorption hypothesis, only a very few relevant studies demonstrating the effect of the fluid reduction on the insect attachment to artificial surfaces have been performed. The essential reduction or even the loss of the attachment ability on smooth substrates after treating the pads of the bug Rhodnius prolixus with lipid solvent or after walking of the aphid Aphis fabae on silica gel for a certain time were recorded\cite{28–30}. A significant attachment force reduction caused by a decrease of the pad secretion was measured in the stick insect Carausius morosus on smooth polyimide substrates that selectively adsorbed the watery component of the secretion\cite{30}. Also rough nano-porous substrates highly reduced the attachment forces of the beetle Coccinella septempunctata if compared to smooth solid substrates\cite{31}. Since the comparison of the contact angles of water and oil between solid and nano-porous surfaces indicated a strong adsorption ability of the nano-porous samples for both polar and non-polar fluids, it was concluded that due to their high porosity, the nano-porous samples can adsorb the fluid from insect pads, thereby reducing insect attachment.

However, information on the adsorption ability of three-dimensional epicuticular waxes in plants is lacking in literature. The aim of the present study was to test whether the wax coverage of the waxy zone in the pitcher of the carnivorous plant Nepenthes alata is able to adsorb fluids. The wax bloom of N. alata was used here as a model plant system because it has been comprehensively studied in regards to its micro-morphology\cite{26,32–34} as well as physicochemical\cite{35} and material properties\cite{26,36}. The main functions of this pitcher surface are prey trapping and retention fulfilled through the reduction of insect attachment e.g. refs 25,26,37 and 38. Additionally, the two-layered structure of the wax coverage (Fig. 1) made it possible to vary the porous layer thickness.

We performed experiments with the N. alata pitcher surfaces bearing either intact, two-layered wax coverage (UL) or only one, lower wax layer (LL) after the upper wax projections were mechanically removed. As in nature, the upper wax projections can be dislodged from the waxy zone by insects trying to escape from the trap, we used both UL and LL pitcher samples in this study. The artificial smooth solid sample (SS) served as a reference surface. We measured adsorption rates of two fluids – polar double-distilled water and non-polar mineral oil. Water was used to simulate the wet phases of the bi-phasic (water-oil emulsion) adhesive pad secretion reported for locusts, stick insect, ants, and flies e.g. refs 18,39–45. The oil was employed as a rough approximation of the oily pad secretion found previously in beetles e.g. refs 46–50.

**Results**

**Modification of the water drops.** With the only exception of the contact angles (94.50 ± 1.09° on SS, 140.76 ± 3.67° on UL, 151.60 ± 2.84° on LL) (Fig. 2a), the behavior of the water drops was very similar on all tested surfaces. We observed no changes in the drop shape during the experimental time of 30 s (see Supplementary Movie S1). The drop base usually remained the same. The drop height either did not change or was reduced by up to 1.5% of the initial value on both UL and LL or by 0.5–5.0% of the initial value on SS. The loss of the drop volume (by 5–8.5% of the initial value) happened at three different regimes/stages: the initial stage 1 at small times (up to 3–6 s), some intermediate stage 2 and the stage 3 at large times (Fig. 4c). The relation in the drop volume (by 5–8.5% of the initial value) occurred extremely fast during the first several seconds, then the base either almost did not change or went down by 25–40% of the initial value (Fig. 3). The intensive spread of the drop base (by 30–220% of the initial value) (Fig. 3 (UL) and Supplementary Movie S3). The intensive spread of the drop base usually remained the same. The drop height either did not change or was reduced by 0.5–5.0% of the initial value on both UL and LL or by 0.5–5.0% of the initial value on SS. The loss of the drop volume (by 5–8.5% of the initial value) happened at three different regimes/stages: the initial stage 1 at small times (up to 3–6 s), some intermediate stage 2 and the stage 3 at large times (Fig. 4c). The relation in the drop volume (by 5–8.5% of the initial value) occurred extremely fast during the first several seconds, then the base either almost did not change or went down by 25–40% of the initial value (Fig. 3). The intensive spread of the drop base (by 30–220% of the initial value) occurred extremely fast during the first several seconds, then the base either almost did not change or went down by 25–40% of the initial value (Fig. 3). The intensive spread of the drop base (by 30–220% of the initial value) occurred extremely fast during the first several seconds, then the base either almost did not change or went down by 25–40% of the initial value (Fig. 3). The intensive spread of the drop base (by 30–220% of the initial value) occurred extremely fast during the first several seconds, then the base either almost did not change or went down by 25–40% of the initial value (Fig. 3).

Modification of the oil drops. In the case of the oil, which readily wetted all test surfaces (Fig. 3, upper row), the drops behaved differently during 30 s of the experiment depending on the surface (Fig. 3). On SS (Fig. 4 and Supplementary Movie S1), the spreading was accompanied by the continuous growth of the drop base (by 21–38% of the initial value) and continuous decrease in the drop height (by 25–40% of the initial value) (Fig. 3 (SS) and 4a,b). These processes occurred much faster at small times and were slower at large times. Some reduction in the drop volume accounted for 0.5–2% of the initial value. The volume V decreased gradually and showed similar linear dependence on time t for all tested surfaces: \( V = -0.001 t \) (n = 7) on SS, \( V = -0.0013 t \) (n = 6) on UL and \( V = -0.0008 t \) (n = 6) on LL (one way ANOVA: \( F_{2,18} = 3.118, P = 0.072 \) (Fig. 2b).

Cryo SEM investigations of the oil drops applied onto the pitcher wax coverage revealed the high affinity between oil and the wax material: fluid readily wetted and completely penetrated the wax coverage down to the cuticle (Fig. 1b–g).

The oil drops showed extremely quick spreading over the UL surface during the first several seconds; then after a certain time without observable changes, they sunk in either over the same drop base or with its shrinkage (Fig. 3 (UL) and Supplementary Movie S3). The intensive spread of the drop base (by 30–220% of the initial value) occurred extremely fast during the first several seconds, then the base either almost did not change or went down (by 33–57% of the highest value) (Fig. 5a). The drop height decreased by 62–88% of the initial value exceedingly...
quickly at the very beginning and much slower at larger times (Fig. 5b). The great reduction in the drop volume, which accounted by 40–88% of the initial value, happened through three stages: the “quick” stage 1 at small (first 2–5 s, up to 10 s) times (exponential function $\ln(V/V_0) = \gamma t$, $\gamma = -0.0783 \pm 0.0513$), some intermediate stage 2 and the “slow” stage 3 at large times (power-law function $\ln(V/V_0) = \alpha \ln(t)$ with $\alpha = -0.4525 \pm 0.1993$) (Fig. 5c–e).

On LL, the oil drops spread very quickly over the surface during the first several up to 10 s, then did not change in shape for a short time and afterwards started to shrink/disappear (Fig. 3 (LL) and Supplementary Movie S4). The drop base increased extremely fast during the first few (up to maximum 10) seconds by 41–160% of the initial value, then decreased either slightly (only by 10% of the maximal value) or greatly (by 40–75% of the maximal
Figure 2. The water drops on the test surface samples. (a) Contact angles on the artificial smooth solid sample SS and two *Nepenthes alata* pitcher samples with two wax layers UL and one wax layer LL. (b) Time-dependant values of the volume of the water drop on the smooth solid sample SS. The straight black line indicates the linear fitting function $V = -0.001 t$. The inset shows the change of the volume on all tested surfaces: the smooth solid sample (SS, blue) and the pitcher samples with one (LL, green) and two wax layers (UL, red).

Figure 3. Modification of the oil drops on the test surface samples. Video sequences of the drop behavior on the smooth solid sample SS and the pitcher samples with the two-layered wax UL and one-layered wax LL during 30 s of the experiments: at 0 s, 1 s, 5 s, 10 s, 20 s and 30 s after deposition of the drops on the sample surface.
value) (Fig. 6a). The drop height decreased by 73–95% of the initial value: first (from several to up to 10 s) faster, then slower (Fig. 6b). The great decrease in the drop volume (by 32–94% of the initial value) occurred during the “quick” stage at small (0–10 s) times (exponential function \( \ln(\frac{V}{V_0}) = \gamma t \) with \( \gamma = -0.0644 \pm 0.0262 \)) and the “slow” stage at larger times (power-law function \( \ln(\frac{V}{V_0}) = \alpha \ln(t) \) with \( \alpha = -0.5100 \pm 0.1790 \)) (Fig. 6c–e). The intermediate stage 2 was either not present or very short.

The comparisons of \( \gamma \)-values in the exponential functions (for the “quick” stage at small times) and \( \alpha \)-values in the power-law functions (for the “slow” stage at large times) (Fig. 7) showed significant differences between surfaces (\( H_{2,22} = 12.904, P = 0.002; \alpha: H_{2,22} = 10.857, P = 0.002 \); both Kruskal-Wallis one way ANOVA on ranks). Both values were considerably lower on SS compared to both UL and LL and did not differ between the latter two surface samples (Table 1).

### Discussion

Our experiments with the water drops on the artificial smooth solid sample (SS) and two *N. alata* pitcher samples with either one (LL) or two wax layers (UL) demonstrated very similar behavior of the fluid, despite of some differences in the surface wettability between the samples. Permanence of the drop shape and base as well as very little, linear decrease in the drop height and volume during the experimental time indicate that neither spreading nor adsorption of water took place on the tested samples. Little changes in the drop height and volume resulted most likely from the evaporation of the fluid. Hence, non-significant difference in \( k \)-values (\( V = kt \)) between different samples means that similar processes, apparently evaporation of water, occur at similar rates on the tested surfaces.
We have found that performance of the oil drops differed essentially from that of the water drops. On all tested surfaces, distinct changes in shape of the oil drops as well as growth of the drop base together with the reduction of the drop height were clearly seen, especially at small times, and losses of the drop volume were revealed. We also detected certain dissimilarities in the drop behavior if compare SS with both UL and LL.

The continuous, but relatively moderate spreading of the oil drop over SS, which was relatively rapid at the beginning and slow at high times, resulted in the expanding drop base and the reducing drop height. Changes in both drop parameters were essentially lower than those in UL and LL. The obtained decrease in the oil drop volume on SS appeared to be higher than the expected one caused by the evaporation of oil. This happened possibly due to errors of experimental and/or calculation methods used in the study. However, taking into account great differences in the volume loss values between SS compared to both UL and LL, these errors can be neglected. As both $\gamma$-value ($\ln(V/V_0) = \gamma t$) and $\alpha$-value ($\ln(V/V_0) = \alpha\ln(t)$) in SS were nearly 35 times lower than those in UL and LL, we can conclude that SS did not adsorb oil, whereas both UL and LL could do this.

For the oil drops on both UL and LL, we suggest the following scenario. During the initial stage, which was usually shorter (just several seconds) in UL and longer (up to 10 s) in LL, the liquid simultaneously quickly penetrated into the porous media located under the drop and spread over the surface. This stage contributed to the most decrease in the oil drop volume observed in the experiments, especially on UL. At large times (starting from ca. $10^{10}$ s after the drop deposition on the surface), mainly imbibition of the liquid to lateral directions into the porous media, which resulted in the shrinkage of the drop base (more pronounced on LL compared to UL) and the expanding of the wetted region inside the porous layer, took place. During the intermediate stage, which was noticeable in UL, the pores under the drop base became saturated and the adsorption of the fluid towards the lateral directions into the porous media started. At that time, the drop base did not expand or even slightly

Figure 5. Modification of the oil drops on the two-layered wax sample of the pitcher UL. (a–c) Time-depandant values of the base (a), height (b) and volume of the drop (c). (d,e) Log-linear (d) and log-log plots (e) of the time-dependence of the change in the oil drop volume $V/V_0$. The straight black lines touching the experimental curves in (d) and (e) correspond to the exponential and scaling dependencies in different time intervals, respectively.

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Figure 6. Modification of the oil drops on the one-layered wax sample of the pitcher LL. (a–c) Time-dependant values of the base (a), height (b) and volume of the drop (c). (d,e) Log-linear (d) and log-log plots (e) of the time-dependence of the change in the oil drop volume $V/V_0$. The strait black lines touching the experimental curves in (d) and (e) correspond to the exponential and scaling dependencies in different time intervals, respectively.

Figure 7. $\gamma$- and $\alpha$-values obtained for the test samples. The $\gamma$- (a) and $\alpha$- (b) values were calculated for fits for the exponential $\ln(V/V_0) = \gamma t$ and power-law $\ln(V/V_0) = \alpha \ln(t)$ functions, respectively, obtained for the artificial smooth solid sample SS ($n = 6$) and pitcher samples with two wax layers UL ($n = 8$) and one wax layer LL ($n = 9$).
decreased. The presence of this stage on UL may be explained by the higher thickness of the porous layer and, consequently, longer pores (both 2–3 times larger than those in LL\(^3\)). We assume that the evaporation of the oil, which should occur at all stages, had probably a minimal effect on the loss of the drop volume compared to the adsorption of the fluid by the porous layer. Large values of the drop volume loss and non-significant differences in both \(\gamma\)- and \(\alpha\)-values on UL and LL indicate that both one-layered and two-layered waxes of the \(N.\) alata pitcher were equally effective in adsorbing oil.

Our results on the behavior of the oil drops on both \(N.\) alata pitcher samples (with one wax layer LL and with the two-layered wax UL) are in line with the previous results on the spreading of small liquid drops of silicone oil over dry porous layers in the case of complete wetting\(^3\). Our observations also clearly showed that the drop motion was caused by the fluid adsorption through the porous media (see a great decrease in the drop volume in Figs 5c and 6c) resulting in the spreading of the drop over already saturated regions of the porous substrates (growth of the drop base) and the imbibition of the liquid from the drop into the porous materials (decrease of the drop base) (see Figs 5a and 6a).

Our previous study on the insect attachment to nano-porous artificial samples, showing the pronounced ability to oil adsorption, revealed extremely poor attachment of ladybird beetles \textit{Coccinella septempunctata} to these substrates due to possible absorption of the secretion fluid from insect adhesive pads by the porous media\(^4\). In the case of epicuticular plant waxes, due to the high affinity between the wax material and oily insect pad secretion, the fluid disappears rapidly from the contact. That is why all kinds of forces contributing to the wet adhesion (capillary interactions and viscous adhesion) will be reduced or even completely eliminated. This will cause a great reduction in the attachment of insect to such substrates. Since the amount of the fluid secreted by insects is extremely small (average amount in the footprint is 0.986 \(\mu\)m\(^2\) in the beetle and 0.019 \(\mu\)m\(^2\) in the fly\(^5\)) and much smaller than the adsorption capability of the waxy zone in \(Nepenthes\) pitcher shown in the present paper, the fluid will be immediately and completely absorbed from the insect pad by the plant wax. The results of the present study provide strong evidence that three-dimensional epicuticular wax coverages in plants are in general anti-adhesive for insects, which rely on wet adhesion.

### Methods

#### Samples

Three types of surface samples were used in the study: (1) the \(N.\) alata pitcher sample with one wax layer of the three-dimensional epicuticular wax coverage (LL), (2) the pitcher sample with the two-layered wax (UL), and (3) the artificial smooth solid sample (SS) served as a reference surface.

Pitchers of the carnivorous plant species \(N.\) alata Blanco (Nepenthaceae), endemic to the Philippines\(^3\), were obtained from plants grown in the greenhouse at the Botanical Garden of the Kiel University (Kiel, Germany). The waxy zone of the freshly harvested pitchers was used to get both UL and LL. The untreated pitcher surface bearing both wax layers\(^26,32,33,36\) was used as UL (Fig. 1a). The LL was obtained after mechanical removal of the upper wax layer by treating the waxy zone with a two-component polyvinylsiloxane (Coltèn Whaledent Dentalvertriebs GmbH, Konstanz, Germany). For this purpose, the fluid polyvinylsiloxane was applied to the pitcher surface and then peeled off after 5 min of polymerization. As SS, we used the epoxy resin sample obtained from a smooth clean glass slide by applying a two-step molding method according to Gorb\(^34\).

#### Experiments

**Cryo scanning electron microscopy.** Pieces of ca. 5 \(\times\) 5 mm were cut out of the central part in the waxyl zone of the \(N.\) alata pitcher using a razor blade. Two types of the pitcher samples were examined: (1) with the intact epicuticular wax coverage and (2) after applying a small droplet of mineral oil (light oil (neat) BioReagent M8410, Sigma-Aldrich Chemie GmbH, Munich, Germany; density 0.84 g/cm\(^3\) at 25 °C, viscosity 20.5 mm\(^2\)/s at 40 °C, Brookfield viscosity 30.0 cps at 25 °C) onto the wax coverage. This oil was selected, because its viscosity corresponds to that previously estimated experimentally for the footprints of beetles\(^5\). The total mount specimens of the inner pitcher surface facing up and fractured samples were examined in a scanning electron microscope Hitachi S-4800 (Hitachi High-Technologies Corp., Tokyo, Japan) equipped with a Gatan ALTO 2500 cryo preparation system (Gatan Inc., Abingdon, UK). Sample preparation and mounting are described in detail by Gorb and Gorb\(^32\) and Benz \textit{et al.}\(^33\).
Apparent contact angle measurements. Contact angles of double-distilled water (surface tension = 72.1 mN/m, dispersion component = 19.9 mN/m, polar component = 52.2 mN/m)\(^1\) on test samples were measured by using a high-speed optical contact angle measuring device OCA200 (DataPhysics Instruments GmbH, Filderstadt, Germany) according to the sessile drop method. For a detailed description of the method, see Gorb and Gorb.\(^2\) We applied 2 μl drops and circle or ellipse fitting for evaluation of the apparent contact angles. On each surface, the contact angles of 10 drops were measured. Data are given as mean ± SD.

Adsorption experiments. In the adsorption experiments, we used the sessile drops (ca. 2 μl) of two fluids — polar double-distilled water and non-polar mineral oil (light oil (neat) BioReagent M8410, Sigma-Aldrich Chemie GmbH, Munich, Germany). The behavior of the drops during the first 30 s after the drop deposition on the test surfaces was video recorded (10 frames per second) using the contact angle measuring device mentioned above. On each sample type, 6 – 9 experiments were carried out with each fluid. By applying the numerical analysis of the experimental results, described below, we obtained time-dependencies for the drop base, height and volume.

Numerical analysis of experimental data. Numerical analysis of the experimental results was organized as follows. We copied the sequence of graphic images from an experimentally recorded movie to MatLab software and transformed them into black and white maps. Numerically, these black and white maps corresponded to the matrices, which contained only 0 and 1 values. This allowed one to determine formally borders of the visualized movie frames. We generated Supplementary Movie S5. Mutual correspondence between the real image of the process, a black and white map of the vertical drop projection (with its borders marked by the colored points), and time-dependant values of the drop volume, base and height. This procedure is illustrated in the numerically generated Supplementary Movie S5. Mutual correspondence between the real image of the process, a black and white map of the vertical drop projection (with its borders marked by the colored points), and time-dependant volume, the base and height of the drop were directly seen from a comparison between different subplots of the movie frames.

In particular, it could be seen directly from the frames that different stages of the process had different rates and different (functional) time dependence. Because of this, it was also useful to plot all time-dependant values in the log-log scale. It allowed us to separate the time intervals, where the corresponding values behaved as a linear, exponential or scaling (power-law) function of time.

Determination of different functional time-dependencies in log-linear and log-log plots (recorded for the same process, shown in the Supplementary Movie S5) is illustrated in the Supplementary Movie S6. Straight lines touching the experimental curve in the first and second subplots of this movie correspond to the exponential and scaling hypothetical functional dependencies in different time intervals, respectively.

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Author Contributions
S. N. G. and E. V. G. planned the research, carried out the cryo SEM investigations and prepared figures. P. H. performed the video recordings. A. E. F. created the MatLab program for the numerical analysis of experimental data and wrote the corresponding part of the text. E. V. G. analysed the data and wrote the article. All authors reviewed the manuscript.

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