Functionalized Thallium Antimony Films as Excellent Candidates for Large-Gap Quantum Spin Hall Insulator

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Group III-V films are of great importance for their potential application in spintronics and quantum computing. Search for two-dimensional III-V films with a nontrivial large-gap are quite crucial for the realization of dissipationless transport edge channels using quantum spin Hall (QSH) effects. Here we use first-principles calculations to predict a class of large-gap QSH insulators in functionalized TlSb monolayers (TlSbX2; (X = H, F, Cl, Br, I)), with sizable bulk gaps as large as 0.22 ~ 0.40 eV. The QSH state is identified by Z2 topological invariant together with helical edge states induced by spin-orbit coupling (SOC). Noticeably, the inverted band gap in the nontrivial states can be effectively tuned by the electric field and strain. Additionally, these films on BN substrate also maintain a nontrivial QSH state, which harbors a Dirac cone lying within the band gap. These findings may shed new light in future design and fabrication of QSH insulators based on two-dimensional honeycomb lattices in spintronics.

One of the grand challenges in condensed matter physics and material science is to develop room-temperature electron conduction without dissipation. Two-dimensional (2D) topological insulators (TIs), namely quantum spin Hall (QSH) insulators, are new states of quantum matter with an insulating bulk and metallic edge states1–5. Their helical edge states are spin-locked due to the protection of time-reversal symmetry (TRS), namely the propagation direction of surface electrons is robustly linked to their spin orientation6, leading to dissipationless transport edge channels. However, the working temperature of QSH insulators in experiments like HgTe/CdTe7,8 and InAs/GaSb films9,10 are very low (below 10 K), limited by their small energy gap. The search of QSH insulators with large-gap is urgently required.

Chemical functionalization of 2D materials is an effective way to realize QSH state with desirable large-gaps. The most reported cases include hydrogenated or halogenated stanene11–13 and plumbene14 films. These films are QSH insulators with gaps as large as 0.2 ~ 1.34 eV, sufficient for practical applications at room temperature. Group V films, including As15, Bi16 and Sb17, are large-gap QSH insulators, when functionalized with hydrogen or halogens. Recently, the organic molecule ethynyl-functionalized stanene18,19 films have been reported to be good QSH insulators in the previous works. Progress also undergoes simultaneously in experiments, Bi (111) film has been successfully grown on Bi2Te3 or Bi2Se3 substrates20–23. The common feature of these materials is that they all own 2D honeycomb-like crystal structures, indicating that 2D hexagonal lattice could be an excellent cradle to breed QSH insulators with SOC. These large-gap QSH insulators are essential for realizing many exotic phenomena and for fabricating new quantum devices that can operate at room temperature.

Group III-V materials are of importance applicable to semiconductor devices in semiconductor industry. Especially, the π bonding between p orbitals on group-III and V atoms can generally open a bulk gap with SOC, similar to graphene1. Different from the inversion-symmetry (IS) in graphene, the geometry of group III-V films is not IS (inversion-asymmetry) due to IS breaking. The previous works have shown that the not IS materials host many nontrivial phenomena such as the crystalline- surface-dependent topological electronic states24,25, pyroelectricity26, topological p–n junctions27, as well as topological superconductivity28,29, et al. However, one important...
characteristic of III-V films is that its unsaturated $p_z$ orbital is chemically active, due to the weak $\pi-\pi$ interaction as caused by the bond length between III-V atoms (~3 Å). This feature, together with the out-of-plane orientation of $p_z$ orbital, facilitates strong orbital interaction with external environments, and thus its electronic properties are easily affected by adsorbates and substrates, unfavorable for practical applications in spintronics.

As a representative, here we provide a systematical study on structural and topological properties of 2D TlSb monolayers functionalized with hydrogen and halogens, namely TlSbX$_2$ (X = H, F, Cl, Br, I). We find that the surface functionalization on TlSb, i.e., saturating the $p_z$ orbital composed of TlSb with hydrogen or halogens, can stabilize the 2D TlSb, according to the calculated phonon spectrum of TlSbX$_2$ films. All the systems are found to be QSH insulators, with the bulk gap in the range of 0.22 ~ 0.40 eV, tunable by external strain and electric field. A single pair of topologically protected helical edge states is established for these systems with the Dirac point locating in the bulk gap, and the odd numbers of crossings between edge states and Fermi level prove the nontrivial nature of these TlSbX$_2$ films. These findings may provide a new platform to design large-gap QSH insulator based on group III-V films, which is important for device application in spintronics.

**Results and Discussion**

The geometric structure of TlSbX$_2$ (X = H, F, Cl, Br, I) are displayed in Fig. 1(a), in which the Tl or Sb atoms are saturated with X atoms on both sides of the plane in an alternating manner along the hexagonal axis, and thus breaks IS of TlSbX$_2$. Table 1 lists the calculated equilibrium lattice constants, buckling heights, as well as Tl-Sb, Tl-X, and Sb-X bond lengths after structural optimization. In comparison to pristine TlSb, the Tl-Sb bonds in TlSbX$_2$ slightly expand, while the buckling changes differently due to the weakly hybridization between $\pi$ and $\sigma$ orbitals, stabilizing these structures. The stability of functionalized TlSbX$_2$ is studied through the formation energy defined as

$$E_f = \frac{E_{\text{TlSbX}_2} - (E_{\text{TlSb}} + N_X E_X)}{N_X}$$

where $E_{\text{TlSbX}_2}$, $E_{\text{TlSb}}$, and $E_X$ are the total energy of double-side functionalized TlSbX$_2$, pristine TlSb, and molecule X$_2$, respectively. $N_X$ is the number of X atoms. The calculated formation energies for TlSbH$_2$, TlSbF$_2$, TlSbCl$_2$, TlSbBr$_2$, and TlSbI$_2$ are −1.862, −2.997, −1.613, −1.567, and −1.420 eV, respectively, suggesting that hydrogen

![Figure 1.](image-url) (a) Top and side views of the geometrical structures of TlSbX$_2$ (X = H, F, Cl, Br, I). Blue, red, and gray balls denote hydrogen & halogen, Sb, and Tl atoms, respectively. Shadow area in (a) presents a unit cell. (b) Phonon band dispersion for TlSbH$_2$.  

| Structure | $a$(Å) | $h$(Å) | $E_f$(eV) | $E_f$(eV) | $d_{\text{TlSb}}$(Å) | $d_{\text{Tl-X}}$(Å) | $d_{\text{Sb-X}}$(Å) |
|-----------|--------|--------|----------|----------|----------------------|----------------------|----------------------|
| TlSb      | 4.810  | 0.78   | 0.28     | 0.29     | 2.88                 | —                    | —                    |
| TlSbH$_2$ | 4.911  | 0.87   | 0.22     | 0.25     | 2.97                 | 2.13                 | 1.78                 |
| TlSbF$_2$ | 5.270  | 0.42   | 0.40     | 0.47     | 3.07                 | 2.15                 | 1.95                 |
| TlSbCl$_2$| 5.268  | 0.59   | 0.34     | 0.42     | 3.06                 | 2.51                 | 2.37                 |
| TlSbBr$_2$| 5.098  | 0.70   | 0.32     | 0.44     | 3.03                 | 2.63                 | 2.53                 |
| TlSbI$_2$ | 5.050  | 0.78   | 0.29     | 0.49     | 3.02                 | 2.82                 | 2.74                 |

Table 1. Calculated structural parameters of the TlSbX$_2$ (X = H, F, Cl, Br, I) films, including the lattice parameter $a$(Å), buckled height $h$(Å), bulk gap $E_f$(eV) and $E_f$(eV), while the $d_{\text{TlSb}}$, $d_{\text{Tl-X}}$, and $d_{\text{Sb-X}}$ are the bond lengths of Tl-Sb, Tl-X, and Sb-X atoms, respectively (in Å).
or halogens are chemically bonded to TlSb, indicating a higher thermodynamic stability relative to their elemental reservoirs. The dynamic stability of TlSbH$_2$, as an example, is further confirmed by the phonon dispersion curves in Fig. 1(b), in which all branches have positive frequencies and no imaginary phonon modes, confirming the stability of TlSbH$_2$.

Figure 2(a,d) display the calculated band structure for TlSbH$_2$ and TlSbF$_2$ as representative examples, in which the red and blue lines correspond to band structures without and with SOC. In the absence of SOC, they are both gapless semimetal with the valence band maximum (VBM) and conduction band minimum (CBM) degenerate at the Fermi level. When taking SOC into account, the band structures of TlSbH$_2$ and TlSbF$_2$ produce a semimetal-to-semiconductor transition, with sizeable bulk-gaps of 0.22 eV and 0.40 eV, respectively. As observed in previously reported 2D TIs like ZeTe$_5$, HfTe$_5$, and GaSe$_3$, graphene-like materials, the SOC-induced band-gap opening at the Fermi level is a strong indication of the existence of topologically nontrivial phases.

An important characteristic of the QSH insulator is helical edge states which is key to spintronic applications due to the ability to conduct dissipationless currents. Thus, we calculate the topological edge states by the Wannier90 package. We construct the maximally localized Wannier functions (MLWFs) and fit a tight-binding Hamiltonian with these functions. The calculated edge Green's function of semi-infinite TlSbX$_2$ (X = H, F) is shown in Fig. 3(a,d). One can see that all the edge bands connect completely the conduction and valence bands and span an 2D bulk band gap, yielding a 1D gapless edge states. Besides, the counter-propagating edge states exhibit opposite spin-polarization, in accordance with the spin-momentum locking of 1D helical electrons. In addition, the Dirac point located at the band gap are calculated to have a high velocity of $\sim 2.0 \times 10^5$ m/s, comparable to that of $5.5 \times 10^5$ m/s in HgTe/CdTe quantum well. All these consistently indicate that TlSbX$_2$ (X = H, F) are ideal 2D TIs. The topological states can be further confirmed by calculating topological invariant $Z_2$ after the band inversion. Due to IS breaking in TlSbX$_2$, the method proposed by Fu and Kane cannot be used to calculate the $Z_2$ invariant. Thus, a method independent of the presence of IS is needed. As reported by Yu et al., we employ a...
recently proposed equivalent method for the \(Z_2\) topological invariant based on the U(2N) non-Abelian Berry connection. This approach allows the identification of the topological nature of a general band insulator without any of the gauge-fixing problems that plague the concrete, previous implementation of invariants. Here, we introduce the evolution of Wannier Center of Charges (WCCs)\(^3\) to calculate the \(Z_2\) invariant, which can be expressed as:

\[
Z_2 = P_{\theta}(T/2) - P_{\theta}(0)
\]  

which indicates the change of time-reversal polarization \(P_{\theta}\) between the 0 and \(T/2\). The evolution of the WCC along \(ky\) corresponds to the phase factor, \(\theta\), of the eigenvalues of the position operator, \(\hat{X}\), projected into the occupied subspace. Then the WFs related with lattice vector \(R\) can be written as:

\[
\int_{-\pi}^{\pi} \frac{d\kappa}{2\pi} e^{ik(R-x)} |\mu_{n\kappa}\rangle
\]

Here, a WCC \(x_n\) can be defined as the mean value of \(\langle 0|\hat{X}|n\rangle\), where the \(\hat{X}\) is the position operator and \(|0\rangle\) is the state corresponding to a WF in the cell with \(R=0\). Then we can obtain

\[
x_n = \frac{i}{2\pi} \int_{-\pi}^{\pi} d\kappa \langle \mu_{n\kappa} | \partial_{\kappa} | \mu_{n\kappa} \rangle
\]

Assuming that \(\sum_{\alpha} x_{\alpha}^2 = \frac{1}{2\pi} \int_{BZ} A^2\) with \(S = I\) or \(II\), where summation in \(\alpha\) represents the occupied states and \(A\) is the Berry connection. So we have the format of \(Z_2\) invariant:

\[
Z_2 = \sum_{\alpha} \left[ x_{\alpha}^2(T/2) - x_{\alpha}^2(0) \right] - \sum_{\alpha} \left[ x_{\alpha}^2(0) - x_{\alpha}^2(0) \right]
\]

The \(Z_2\) invariant can be obtained by counting the even or odd number of crossings of any arbitrary horizontal reference line. In Fig. 3(c,f), we display the evolution lines of Wannier function centers (WFC) for TISbH\(_2\) and TISbF\(_2\), respectively. It can be seen that the WFC evolution curves cross any arbitrary reference lines odd times, thus yielding \(Z_2 = 1\).

Now, we turn to the physics of QSH effect in TISbX\(_2\). Since the decorated atoms hybridizes strongly with the dangling bonds of \(p_z\) orbital in TISb, it effectively removes the \(p_z\) bands away from the Fermi level, leaving only the \(s\) and \(p_{xy}\) orbitals, as displayed in Fig. 2(b,c,e,f). However, through projecting the bands onto different atomic orbitals, we find that there are two scenarios for the effect of SOC on the bands around the Fermi level, in which...
the s and px,y band inversion are different from each other. For TlSbH₂, at the Γ point, the two px,y orbitals from TI and Sb atoms are energy degenerate, while the bands away from the Γ point are well separated due to orbital splitting. The Fermi level is located between one s and two px,y orbitals, rendering the s above px,y orbitals in energy, thus forming a normal band order, similar to the cases in conventional III-V semiconductors. While for TlSbF₂, the band structures are changed drastically, as shown in Fig. 2(d–f). In sharp contrast to TlSbH₂, the band order at the Γ point is inverted, i.e., the s is shifted below two px,y orbitals. These two different band orders may be attributed to the chemical bonding and orbital splitting between TI and Sb atoms. To further understand the physics of band inversion, we display in Fig. 4 the schematic of orbital inversion at the Γ point around the Fermi level in TlSbH₂ and TlSbF₂ films. On can see that, the chemical bonding and crystal field splitting between TI and Sb atoms make the s and p_x,y hybridization, and accordingly a smaller energy separation between the bonding and anti-bonding states. Thus, the s' orbital is downshifted while the p_x,y is upshifted, i.e., the s' will be occupied, while the degenerate p_x,y is half occupied, resulting in semi-metallic character (Fig. 2(d)). Though the inclusion of SOC make also the degeneracy of p_x,y orbital split into p_x,y↑ and p_x,y↓, but its s-p band order are not changed. As a result, the mechanism of QSH effect can be roughly classified into two categories: i.e., type-I: SOC-induced p-s-p TI (TlSbH₂), and type-II: Chemical bonding induced p-p-s TI (TlSbF₂). Obviously, it is the s' orbital insertion into p_x,y↑ and p_x,y↓ that the topological bulk-gap (0.22 eV) of TlSbH₂ is smaller twice than that (0.40 eV) of TlSbF₂ film.

Here, we wish to point out that fluorination in TlSb is not the only way to achieve large-gap QSH state, the same results can be obtained by decorating the surface with otherwise halogens, such as Cl, Br, and I. We thus
performed calculations for TlSbX₂ (X = Cl, Br, I) films to check their topological properties, as illustrated in Fig. S1. Table 1 summarizes their lattice constants, Sb-X and Tl-X bond lengths, and nontrivial QSH bulk-gaps at their equilibrium states. The results demonstrate that the electronic structures of all these TlSbX₂ films are similar to TlSbF₂, and exhibit nontrivial topological states (Fig. S2). Interestingly, as can be seen in Fig. 4(c) and Fig. S1, the global gaps in QSH state are obtained to be 0.34, 0.32, and 0.29 eV for TlSbCl₂, TlSbBr₂ and TlSbI₂, respectively, which are sufficient for practical applications at room temperature. However, when comparing the band gaps with each other, we can find some fascinating phenomena that the global band gaps of these systems monotonically decrease in the contrary order of TlSbF₂ > TlSbCl₂ > TlSbBr₂ > TlSbI₂. It is known that, from F to I, the SOC becomes stronger in the order of F < Cl < Br < I, thus the SOC-induced bulk-gap should be increased correspondingly. This interesting contradiction can be attributed to the variation of band components of Tl and Sb atoms near the Fermi level, as the band splitting driven by SOC can directly determine QSH gap. As shown in Fig. 4(c), the ratio ($R_1$) from the Sb-$p_{x,y}$ to X-$p_{x,y}$ orbitals at Γ point can be established by $R_1 = Sb-p_{x,y}/X-p_{x,y}$, which decreases in the order of TlSbF₂ > TlSbCl₂ > TlSbBr₂ > TlSbI₂. Similar results are obtained for the ratio $R_2 = Ti-p_{x,y}/X-p_{x,y}$ in Fig. 4(d). Considering that the Ti and Sb atoms exhibits stronger SOC strength than halogens, it is expected that the larger the ratio is, the larger the contribution to the states near the Fermi level, and thus the larger the SOC strength.

Strain engineering is a powerful approach to modulate electronic properties and topological natures in 2D materials, and thus it is interesting to study these effects in TlSbX₂ films. We employ an external strain on these

Figure 5. The dependence of band gap of the strain (a) TlSbH₂ and (b) TlSbF₂, and the electric field (c) TlSbH₂ and (d) TlSbF₂, respectively.
monolayers maintaining the crystal symmetry by changing its lattices as $\varepsilon = (a-a_0)/a_0$, where $a_0$ is the strained (equilibrium) lattice constants. As shown in Fig. 5(a,b), the magnitude of nontrivial bulk-gaps of TlSbH$_2$ and TlSbF$_2$ can be modified significantly by strain, implying the interatomic coupling can modulate the topological natures of these systems. For TlSbH$_2$, with increasing the strain, the CBM is continuously to shift downward to the Fermi level, while the VBM increases reversibly, leading the band gap to decrease significantly (Fig. 5(a)). When the critical value reaches up to $-3.8\%$, a semi-metallic state with zero density of states at the Fermi level occurs. If increases the strain beyond $-3.8\%$, a trivial topological phase appears. While for TlSbX$_2$ (see also Fig. 5(b) and Fig. S3), both the direct and indirect band gaps decreases steadily with respect to tensile strain. Especially, these QSH states are robust with the strain in the range of $-8 \sim 16\%$. Such robust topology against lattice deformation makes TlSbX$_2$ easier for experimental realization and characterization on different substrate.

On the other hand, from the perspective of potential device applications, the ability to control topological electronic properties via the vertical electric field ($E$-field) is highly desirable. Thus, we study the change of band gaps of TlSbH$_2$ and TlSbF$_2$ under different vertical $E$-field, as shown in Fig. 5(c,d). One can see that the band gaps increase monotonically with increasing $E$-field strength for both cases. For TlSbF$_2$ (Fig. 5(d)), under $-0.8 \text{V/Å} \leq E$-field $\leq 0.8 \text{V/Å}$, the trend of band gaps increase monotonically from 0.34 eV to 0.41 eV, with $E_I$ larger than $E_G$ significantly. While for TlSbH$_2$, when $E$-field $\leq -5.5 \text{V/Å}$, it is a normal metal. But for large $E$-field ($> -0.4 \text{V/Å}$), it becomes a QSH insulator, along with $E_I$ being almost equal to $E_G$. Noticeably, if $E$-field is in the range of $\pm 8\%$, the nontrivial bulk-gaps of other TlSbX$_2$ (X = Cl, Br, I) are still very large (~0.2 – 0.5 eV) (Fig. S3), allowing for viable applications at room temperature. The predicted QSH insulators tuned by vertical $E$-field may provide a platform for realizing topological field-effect transistor (TFET).

The substrate materials are inevitable in device application, thus a free-standing film must eventually be deposited or grown on a substrate. As a 2D large-gap insulator with a high dielectric constant, the BN sheet has been successfully used as the substrate to grow graphene or assemble 2D stacked nanodevices$^{40,41}$. Thus, we use it as a substrate to support TlSbX$_2$ films. Figure 6(a,b) show the geometrical structures of TlSbH$_2$ and TlSbF$_2$ on (2 × 2) BN sheet, where the lattice mismatch is only about 1.68% and 2.80%, respectively. After full relaxation with van der Waals (vdW) forces$^{42}$, they almost retain the original structure with a distance between the adjacent layers of 3.35 Å. The calculated binding energy is about $-69$, $-87$, $-98$, $-108$, and $-114$ meV for TlSbH$_2$, TlSbF$_2$, TlSbCl$_2$, TlSbBr$_2$, and TlSbI$_2$ per unit cell, respectively, showing that they are typical van der Waals solids.

Figure 6. Crystal structures of TlSbX$_2$ grown on BN substrate from the top and side view for (a) TlSbH$_2$ and (b) TlSbF$_2$. (c) TlSbH$_2$ and (d) TlSbF$_2$ correspond to the orbital-resolved band structures with SOC.
Waals heterostructures. The calculated band structure with SOC is shown in Fig. 6(c,d). In these weakly coupled systems, TlSbH2 on the BN sheet remains semiconducting, there is essentially no charge transfer between the adjacent layers, and the states around the Fermi level are dominantly contributed by TlSbH2. If we compare the bands of TlSbH2 with and without BN substrate, little difference is observed. Similar results are also found for all halogenated TlSbX2 films on BN substrate (see also Fig. S4), except that TlSbF2 on the BN sheet exhibits a metallic state. Evidently, all TlSbX2 films on BN substrate are robust QSH insulators.

Conclusions
To conclude, on the basis of first-principles calculations, we predict a class of new QSH insulator of TlSbX2 (X = H, F, Cl, Br, I) films, with a sizable bulk gap (0.22 ~ 0.40 eV), allowing for viable applications in spintronic devices. Two mechanisms, type-I: SOC-induced p-s-p type TI (TlSbH2), and type-II: the chemical bonding induced p-p-s type TI (Halogenated ones) are obtained, significantly different from one in TlSb monolayer. The topological characteristic of TlSbX2 films are confirmed by the Z2 topological order and topologically protected edge states. Furthermore, the band gap and topological phase transition could be tuned by the external strain and vertical E-field. When TlSbX2 deposited on BN substrate, both the band gaps and low-energy electronic structures are only slightly affected by the interlayer coupling from the substrate. These predicted QSH insulators and their vdW heterostructures may provide a platform for realizing low-dissipation quantum electronics and spintronics devices.

Computational method and details. To study the structural and electronic properties of TlSbX2 (X = H, F, Cl, Br, I) films, our calculations were performed using the plane-wave basis Vienna ab initio simulation package known as VASP code43,44. We used the generalized gradient approximation (GGA) for the exchange and correlation potential, as proposed by Perdew-Burk-Ernzerhof (PBE)45, the projector augmented wave potential (PAW)46 to treat the ion-electron interactions. The energy cutoff of the plane waves was set to 500 eV with the energy precision of 10−6 eV. The Brillouin zone was sampled by using a 21 × 21 × 1 Gamma-centered Monkhorst-Pack grid. The vacuum space was set to 20 Å to minimize artificial interactions between neighboring slabs. SOC was included by a second vibrational procedure on a fully self-consistent basis. The phonon spectra were calculated using a supercell approach within the PHONON code47.

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Author Contributions
R.Z. and C.Z. conceived the study and wrote the manuscript. R.Z. and W.J. performed the first-principles calculations. S.L and S.Y calculated the phonon spectrum. P.L. prepared figures 1–3, P.W. prepared figures 4–6. All authors read and approved the final manuscript.

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