Risk factors for pancreatic cancer: underlying mechanisms and potential targets

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Purpose of the review: Pancreatic cancer is extremely aggressive, forming highly chemo-resistant tumors, and has one of the worst prognoses. The evolution of this cancer is multi-factorial. Repeated acute pancreatic injury and inflammation are important contributing factors in the development of pancreatic cancer. This article attempts to understand the common pathways linking pancreatitis to pancreatic cancer.

Recent findings: Intracellular activation of both pancreatic enzymes and the transcription factor NF-κB are important mechanisms that induce acute pancreatitis (AP). Recurrent pancreatic injury due to genetic susceptibility, environmental factors such as smoking, alcohol intake, and conditions such as obesity lead to increases in oxidative stress, impaired autophagy and constitutive activation of inflammatory pathways. These processes can stimulate pancreatic stellate cells, thereby increasing fibrosis and encouraging chronic disease development. Activation of oncogenic Kras mutations through inflammation, coupled with altered levels of tumor suppressor proteins (p53 and p16) can ultimately lead to development of pancreatic cancer.

Summary: Although our understanding of pancreatitis and pancreatic cancer has tremendously increased over many years, much remains to be elucidated in terms of common pathways linking these conditions.

Keywords: pancreatitis, pancreatic cancer, inflammation, autophagy, stellate cells, K-ras

INTRODUCTION: PANCREATIC ANATOMY, PHYSIOLOGY, AND PATHOLOGY

The pancreas is a glandular organ of the digestive system consisting of (a) an endocrine component which secretes insulin, glucagon, and stomatostatins, and (b) an exocrine component that produces numerous digestive enzymes and 1500–2000 ml of iso-osmotic alkaline fluid which is released into the small intestine every day. The exocrine pancreas is composed of both acinar and ductal cells; acinar cells (or acini) are responsible for synthesis, storage and secretion of both active (amylase, lipase) and inactive enzymes (zymogens; trypsinogen) (Ogami and Otsuki, 1998). Over 100 years ago it was first documented that the hormone secretin could stimulate pancreatic secretion. Since then it has become clear that pancreatic secretion is maintained and modulated by a complex interaction between neural, hormonal and mucosal factors (Bayliss and Starling, 1902). Gastric acid influx into the small intestine initiates the release of secretin from duodenal S-cells which then stimulates the release of bicarbonate from pancreatic ductal cells to buffer this increase in intestinal acid. Cholecystokinin (CCK) is released from duodenal endocrine I-cells in response to proteins and fats in the small intestine. CCK stimulates acinar cells both directly (Murphy et al., 2008) and indirectly via stimulation of vagal nerve responses which activate muscarinic acetylcholine receptors on the acinar cell. This results in release of pancreatic enzymes into the small intestine. These normal physiological responses can be altered by many factors that can ultimately lead to pathological responses and development of pancreatitis and pancreatic cancer (Bayliss and Starling, 1902; Ogami and Otsuki, 1998; Weiss et al., 2008). This review will focus on common pathways that link the progression from acute to chronic pancreatitis (CP) and finally pancreatic cancer.

EPIDEMIOLOGY

Acute pancreatitis (AP) is a clinical syndrome which begins with acute injury to the pancreas. It is one of the most frequent causes of hospitalization, amounting to nearly 275,000 hospital admissions every year in the United States at a cost of $2.6 billion (Spanier et al., 2008). The most common causes of pancreatitis include alcohol, gallstones, toxins, hyperlipidemia, and trauma, with a small number of cases remaining idiopathic. These factors initiate distinct changes in pancreatic physiology causing pathological activation of digestive enzymes within acinar cells, decreased pancreatic enzyme secretion, increased inflammatory responses and ultimately cell death (Spanier et al., 2008; Peery et al., 2012). Traditionally AP is self-limited with complete resolution of function after the acute event. In some cases there may be tissue scarring and stricture formation leading to pancreatic flow obstruction and recurrent AP. The link between recurrent acute and CP is unclear. Studies have shown that recurrent episodes of pancreatitis set into motion various inflammatory pathways that can lead to immunological and inflammatory responses. This in
turn leads to increased fibrotic tissue formation and stellate cell activation, well known hallmarks of CP.

CP is a fibro-inflammatory disease involving the pancreatic parenchyma which is progressively destroyed and replaced by fibrotic tissues. Histologically, acinar cell damage, mononuclear cell infiltration, and fibrosis are observed (Shrikerkhande et al., 2003). Traditionally, CP was thought of as a separate disease but years of research have concluded that AP, recurrent AP and CP can be part of the same disease continuum. There are various causes that may lead to CP, but the exact pathophysiology of the disease is still unclear. Three stages of CP development have been described starting with stage one, the pre-pancreatitis phase, which is associated with risk factors for CP such as alcohol, smoking and genetic mutations. This is followed by stage two in the form of AP, with release of inflammatory cytokines. If the attack is severe enough it could activate macrophage dependent stellate cells which ultimately lead to fibrosis, particularly if there is a continuous stimulus causing interplay between pro-inflammatory and anti-inflammatory pathways. Finally there is stage three which is a progression to CP driven by factors that modulate immune responses (Whitcomb, 2011, 2012). Thus CP develops due to complex interactions between an impaired immune response to low grade inflammation and environmental factors that decrease the threshold for recurrent AP like alcohol intake and smoking.

CP has long been thought of as a strong risk factor for pancreatic cancer. Among patients with CP, a meta-analysis has shown a relative risk of 13.3 for developing pancreatic cancer (Raimondi et al., 2010). Chronic inflammation associated with CP facilitates this progression to cancer resulting in the occurrence of three types of precancerous lesions: pancreatic intraepithelial neoplasia (PanINs), intraductal papillary mucinous neoplasms (IPMN), and mucinous cystic neoplasms (MCN). Subsequent evolution of these precursor lesions into pancreatic ductal adenocarcinoma (PDAC) ultimately involves a number of diverse molecular changes (Yonezawa et al., 2008). Despite the strong link between CP and pancreatic cancer, less than 5% of patients with CP actually go on to develop the disease (Raimondi et al., 2010).

Pancreatic cancer is an extremely aggressive, invariably deadly disease without any improvements in patient outcome over the last 2 decades. With over 45,220 new cases of pancreatic cancer diagnosed every year in the USA the estimated number of deaths in 2013 is projected to be around 39,000 making pancreatic cancer the fourth leading cause of cancer deaths in the USA (Yadav and Lowenfels, 2013). The most effective treatment is early resection of the cancer but this is not always possible because of late presentations and aggressive metastasis with chemo-resistance. So only 20% of cases are eligible for surgery and without surgery the median survival is only 6 months with a 5 year survival of 3–5% (Vincent et al., 2011; Siegel et al., 2012; Yadav and Lowenfels, 2013). Pancreatic cancer is not prevalent in smokers (Howes et al., 2004).

Although epidemiology of the disease is well known, the underlying cellular mechanisms of disease initiation and progression are less clear. Chemotherapeutic agents like gemcitabine have been approved for pancreatic cancer not amenable to surgery, but have not shown clear therapeutic effects (Lohr and Jesenofsky, 2009). In order to understand the complexities of molecular mechanisms and drug interactions various mouse models have been developed (Lee et al., 1995; Colby et al., 2008; Jung et al., 2011). In the following sections, common cellular pathways in pancreatitis and pancreatic cancer will be considered, and their role in the transformation of acute to chronic disease, and ultimately cancer, will be discussed.

COMMON CELLULAR PATHWAYS IN TRANSFORMATION OF PANCREATITIS TO PANCREATIC CANCER

Premature activation of digestive zymogens and generation of inflammatory mediators are key initiating events in pancreatitis. Furthermore, these incidents can form the basis for progression from acute to CP and even pancreatic cancer (Figure 1). A
ROLE OF PREMATURE TRYPsinogen ACTIVation

During pancreatitis lysosomal enzymes are mistargeted to zymogen-containing organelles within the acinar cell. The lysosomal hydrolase cathepsin-B prematurely converts the digestive zymogen, trypsinogen, to its active form, trypsin (Figarella et al., 1988; Gorelick and Matovcik, 1995; Lerch et al., 1995; Wartmann et al., 2010). This conversion requires an acidic pH and cathepsin-B activates trypsinogen in a pH dependent manner (Kukor et al., 2002). In addition, cleavage of trypsinogen to active trypsin requires the folding of its N-terminal upon itself to form a globular molecule, a process which is also pH dependent (Nemoda and Sahin-Toth, 2005). It has been shown that a low pH environment sensitizes acinar cells to secretagogue inducedzymogen activation and cell injury. This process is mediated by a vacuolar ATPase (vATPase) and the effects of low pH on zymogen activation can be blocked by the vATPase inhibitor concanamycin (Bhoomagoud et al., 2009). Once trypsinogen has been activated, trypsin can activate more trypsinogen (autoactivation), and additionalzymogens, resulting in autodigestion of the pancreas. Inhibition (Van Acker et al., 2002) or genetic deletion (Halangk et al., 2000) of cathepsin B has been shown to attenuate trypsinogen activation and pancreatic inflammation. There are various protective mechanisms to counter trypsinogen activation, mainly through inhibition or degradation of activated trypsin. These mechanisms include inhibition by Serine protease inhibitor, Kazal type 1 also known as pancreatic secretory trypsin inhibitor (SPINK1/PSTI) and degradation by chymotrypsin-C (CTRC). In addition, the lysosomal hydrolase cathepsin-L degrades trypsinogen to an inactive form of trypsin thus providing protection against prematurezymogen activation. Paradoxically, when cathepsin-L is genetically deleted there is also a switch from acinar cell necrosis to apoptosis with reduced severity of disease (Wartmann et al., 2010). This indicates that cathepsin L may be involved in additional pathways which contribute to pancreatitis. For the most part though, when these protective mechanisms are overwhelmed there is an increased predisposition to develop pancreatitis.

Activation of trypsinogen is thought to be the initiating event in the cascade of zymogen activation associated with pancreatitis. This is supported by work done in mice lacking trypsinogen-7 (T−/−), an ortholog of human cationic trypsinogen (PRSS1). Hyperstimulation with the CCK ortholog cerulein in wild type mice, whereas necrosis and cell death was significantly reduced in T−/− mice (Dawra et al., 2011). However, no effect on inflammation and NFκB activation was observed in T−/− mice (Dawra et al., 2011) suggesting that other mechanisms are also involved in the pathogenesis of AP. Another study found, using a cell free system where acinar cell components can be reconstituted, that activation of otherzymogens, such as chymotrypsinogen and procarboxypeptidase, can occur independently of trypsinogen activation (Thrower et al., 2006). Thus development of pancreatitis appears to include both trypsin dependent and independent events.
low levels was 22 months (Singh et al., 2013). This difference may be due to the ability of cathepsin-L to degrade extracellular matrix allowing for more tumor growth in those tumors expressing high levels of cathepsin-L. Mesotrypsinogen (PRSS3) has been found to be overexpressed in pancreatic cancer cell lines and promotes cell proliferation and invasion in cell culture, while in vivo it causes both tumor growth and metastasis. This data suggests that modulation of the PRSS3 signaling pathway may be a viable approach for treating pancreatic cancer (Jiang et al., 2010).

**CALCIUM SIGNALING**

Ablerrant increases in intracellular calcium levels are critical in acinar cell injury. Localized transient calcium spikes constitute a normal physiologic response whereas a sustained global rise in calcium is a pathological response causing pancreatic injury (Cancela et al., 2002; Petersen et al., 2011). Endoplasmic reticulum ryanodine receptors (RyR) and plasma membrane store operated calcium channels (SOC) are an important means of elevating calcium in pancreatic acinar cells (Glitsch et al., 2002; Parekh, 2003; Husain et al., 2005). For example, mice deficient in the transient receptor potential cation channel, subfamily C, member 3, (TRPC3), a SOC, have reduced calcium elevations in secretagogue, bile acid, and alcohol metabolite-mediated models of pancreatitis (Kim et al., 2009, 2011). Furthermore, ethanol abuse has been shown to impact calcium signaling. Ethanol in the pancreas is converted via non-oxidative pathways into fatty acid ethyl esters (FAEEs) which can cause release of calcium from intracellular stores and premature trypsinogen activation (Wilson et al., 1992; Wilson and Apte, 2003). Ethanol itself does not cause pancreatitis in rats, but it has been reported to worsen cerulein stimulated pancreatitis, suggesting synergistic association. Ethanol causes a dose dependent sensitization of the pancreas to CCK or cerulein mediated pancreatitis. Furthermore, free radicals generated through ethanol metabolism and FAEEs have been shown to damage mitochondial membranes causing ATP depletion (Wilson and Apte, 2003). This alters the bioenergetics of acinar cells and favors necrosis over apoptosis. ATP is also needed for calcium homeostasis and decreased ATP levels cause further increases in pathological calcium levels in the cytosol (Criddle et al., 2006).

Downstream targets of calcium include Protein-Kinase C (PKC) and the calcium-sensitive phosphatase calcineurin (Gukovsky et al., 2004; Satoh et al., 2004; Cosen-Binker et al., 2007; Thrower et al., 2008, 2009; Muili et al., 2012). FK506 (Tacrolimus), a macrolide immunosuppressant that inhibits calcineurin has been shown to markedly reduce intra-pancreatic protease activation and pancreatitis severity in cerulein models of pancreatitis (Kim et al., 2011; Muili et al., 2012). Furthermore, pharmacological or genetic blocking of calcineurin also reduces acinar cell injury in a bile-acid induced model of pancreatitis (Muili et al., 2012). Interestingly, recent studies have shown that NFATc1, a calcineurin responsive transcription factor, is associated with aggressive pancreatic cancer and may mediate drug resistance to anticancer agents (Murray et al., 2013). Thus, calcineurin and its downstream effectors may represent attractive therapeutic targets in the treatment of pancreatitis and pancreatic cancer.

**AUTOPIHAGY**

Autophagy is a process of lysosome-mediated degradation and recycling of cellular components, lipids, and proteins. The materials that are marked for degradation are sequestered into double membrane autophagosomes which join with lysosomes to form single membrane autolysosomes, and recycled products are sent back to the cytoplasm. In the basal state this process helps to remove protein aggregates and damaged organelles such as mitochondria and maintain cellular homeostasis (Gukovsky et al., 2013). However, under oxidative stress, hypoxia, pathogen infection, or radiation exposure autophagy increases significantly to protect the cell from further damage. Autophagy can become dysregulated, due to recurrent injury to pancreatic acinar cells, and result in acinar cell vacuolization, trypsinogen activation, and cell death (Figure 2) (Gukovsky et al., 2012, 2013).

Impairment of autophagy is a key feature of pancreatitis and chiefly involves defective functional lysosomes. Accumulation of large vacuoles in the acinar cell is one of the hallmark characteristics of pancreatitis and many of these vacuoles are

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**FIGURE 2** | Autophagy and pancreatic disease. Autophagy is responsible for clearance of aggregates of the sequestosome p62, damaged mitochondria, apoptotic bodies, the inflammasome, and reduces levels of reactive oxygen species (ROS). This limits injury and inflammation in healthy cells and prevents neoplastic transformation and initiation of PDAC. Therefore the role of autophagy is normally beneficial. In tumor cells, however, autophagy promotes survival, enabling cancer to resist hypoxia, nutrient depletion, and chemotherapy. Pancreatitis and obesity lead to arrested autophagy resulting in elevated cellular injury and inflammation. This can predispose to chronic disease and even progression to PDAC.
autolysosomes with poorly-degraded contents (Mareninova et al., 2009). Furthermore, increased pancreatic levels of the autophagy marker proteins Atg8/LC3-II accompany this vacuole formation (Fortunato et al., 2009; Mareninova et al., 2009; Grasso et al., 2011; Gukovskaya and Gukovsky, 2012). During pancreatitis, autophagic efficiency and degradation of long-lived proteins are reduced. Lysosomal hydrolytic activity is compromised and alterations in lysosome-associated membrane proteins (LAMPs) are seen (Fortunato et al., 2009; Mareninova et al., 2009; Gukovskaya and Gukovsky, 2012; Gukovsky et al., 2012). In addition, levels of the sequestosome, p62, a multi-purpose protein which mediates autophagic clearance and can itself be degraded by autophagy, are elevated. Collectively, these observations indicate loss of lysosomal function and impairment of autophagic flux in AP. These changes have been observed both in human disease and in experimental models of AP (Fortunato et al., 2009; Mareninova et al., 2009; Grasso et al., 2011; Gukovsky et al., 2011, 2012; Alirezaei et al., 2012).

Deficient autophagy can also mediate pathologic accumulation of active trypsin (Hashimoto et al., 2008; Mareninova et al., 2009; Gukovskaya and Gukovsky, 2012). The respective roles of the lysosomal hydrolases, cathepsins B and L were discussed earlier in this review (section Role of Premature Trypsinogen Activation); cathepsin B activates trypsinogen, forming trypsin, whereas cathepsin L degrades both trypsin and trypsinogen. Malfunctioning lysosomes in pancreatitis allow an imbalance between these two cathepsins, resulting in less cathepsin L and accumulation of active trypsin (Mareninova et al., 2009; Gukovskaya and Gukovsky, 2012). In addition, disruption of endogenous trypsin inhibitors, similar to that seen in cases of CP, can abrogate autophagy (Ohmuraya et al., 2005; Romac et al., 2010). When Spink-3 (the mouse ortholog of SPINK-1) is compromised, autophagy is impaired and acinar cell vacuolization and pancreatic degeneration occurs. Although impaired autophagy has primarily been investigated in models of AP, the latter evidence indicates a similar role for autophagy in CP. Furthermore, a critical cellular function of efficient autophagy is to limit inflammation; any compromise in autophagy leads to persistent inflammation, which sets the stage for development of CP.

**Autophagy and inflammation**

Defective autophagy is a key component in promoting persistent inflammatory responses (Levine and Kroemer, 2008; Deretic, 2012). Accumulation of p62 through faulty autophagy can ultimately lead to activation of the transcription factor NF-κB, a critical mediator of inflammation (discussed further in section NF-κB) (Ling et al., 2012; Moscat and Diaz-Meco, 2012). Arrested autophagy also leads to elevations in reactive oxygen species (ROS), due to lack of removal of damaged mitochondria. ROS can activate inflammasomes, large intracellular multiprotein complexes that play a central role in innate immunity (see section Inflammasome) (Nathan and Ding, 2010; Green et al., 2011; Strowig et al., 2012). In addition, inflammasomes are normally eliminated through autophagy; lack of autophagy in pancreatitis therefore maintains their presence in the cell and hence their participation in the inflammatory process (Shi et al., 2012). Finally, impaired autophagy disrupts clearance of apoptotic material from the acinar cell. This leads to secondary necrosis and the release of damage-associated molecular pattern molecules (DAMPs), which induce inflammation. Inflammation is a consistent theme throughout the pancreatic disease continuum; if initial inflammatory events subside, an acute episode results, however persistent inflammation can lead to chronic disease. A more detailed discussion of inflammation and its multi-layered effects follows in section Inflammation and Figure 3.

**INFLAMMATION**

**NF-κB**

NF-κB is a transcription factor which is involved in many cellular signifying pathways involved in inflammation and stress-induced

**FIGURE 3** | Inflammation and pancreatic disease. Insults lead to the activation of NFκB and inflammasomes. NFκB activation leads to the production of cytokines which, in turn, recruit immune cells and activate Stat3. Neutrophils, macrophages and other immune cells infiltrate the pancreas and produce more cytokines amplifying the inflammatory response. Cytokines can lead to the activation of pancreatic stellate cells which can, with repeated bouts of acute pancreatitis lead to fibrosis and the development of chronic pancreatitis. Cytokines can activate oncogenic Kras, a characteristic of nearly 90% of all pancreatic adenocarcinomas. Chronic pancreatitis can also lead to the development of pancreatic cancer.
responses (Senfleben and Karin, 2002). Upon activation NF-κB component RelA/p50 is released from the inhibitor, IκB, and translocates to the nucleus where it increases the expression of pro-inflammatory mediators. Cytokines and adhesion molecules attract additional immune cells and inflammation persists within the pancreas (see section Cytokines and Pancreatitis) (Rakonczay et al., 2008).

Levels of NF-κB rise independently of, but concurrently with, trypsinogen activation (Gukovsky et al., 1998). Pathological rises in calcium levels and activation of PKC isoforms have been implicated in NF-κB activation. Decreased NF-κB activation has been observed following treatment with calcium chelators and experimental data from ethanol and cerulein models of pancreatitis has determined that NF-κB activation is mediated by calcium/calcineurin and PKC pathways (Satoh et al., 2004; Muili et al., 2012).

Ethanol increases the effect of CCK on NF-κB activation via PKC pathways demonstrating the role of alcohol in sensitizing acinar cells to inflammatory responses and pancreatitis (Gukovsky et al., 2004). The sensitizing effects of alcohol have also been observed in in vivo models of the disease; alcohol-fed rats do not experience pancreatitis, but when treated with lipopolysaccharide (LPS; an endotoxin in the cell wall of Gram-negative bacteria) AP develops in the animals. Disease progression occurs leading to acinar cell atrophy and fibrosis, the latter via activation of pancreatic stellate cells (PSCs) [see section Pancreatic Stellate Cells (PSCs)] (Vonlaufen et al., 2011).

The above studies and others point to the detrimental role of NF-κB in pancreatitis. However, some studies have determined it to be beneficial (Gukovsky and Gukovskaya, 2013). For example, transgenic mice with the deletion of IκB, an NF-κB inhibitor, led to constitutive NF-κB activation but a decrease in cerulein-stimulated pancreatitis was observed (Neuhofer et al., 2013). In contrast, transgenic mice overexpressing IκB kinase (IKK2) exhibited high levels of NF-κB activation and spontaneous AP was observed. Over time these mice developed pancreatic damage such as fibrosis, acinar cell atrophy, and inflammatory cell infiltration indicating CP (Huang et al., 2013). One way to reconcile these conflicting results is to point to NF-κB’s dual role as promoter of both pro- and anti-inflammatory pathways. Early events, as described above, show NF-κB as the key initiator to the pro-inflammatory cascade of cytokines and other mediators. However, NF-κB can reduce inflammation by limiting apoptosis, necroptosis, and the inflammasome (Algul et al., 2007; Gaiser et al., 2011; Strowig et al., 2012). In addition, NF-κB activation in inflammatory cells may be quite different, if not opposite, than that observed in acinar cells (Treiber et al., 2011).

Persistent NF-κB activation was found in CP as well as 67% of the pancreatic cancer specimens examined in one study (Wang et al., 1999; Sah et al., 2013). Constitutive NF-κB activation promotes low-grade inflammation creating an environment favorable to the development of cancer (Grivennikov et al., 2010). Studies suppressing NF-κB activity have shown a decrease in tumorigenesis or an induction in cytotoxicity in cancer cell lines (Fujioka et al., 2003; Fabre et al., 2012).

NF-κB activation can also occur via a non-canonical (or alternative) pathway which differs from the canonical pathway in its activation and downstream effectors (Sun, 2012). Namely, in the alternative pathway NF-κB activation occurs with the proteasome-mediated processing of the NF-κB component p100 to p52 which then translocates to the nucleus in combination with RelB. Unlike the canonical pathway which depends on the trimeric IKK complex for activation, the alternative pathway relies on NF-κB-inducing kinase (NIK) and IKKα (Sun, 2011). In pancreatic cancer cells NF-κB activation has been shown to occur by both pathways; in the alternative pathway, NIK is upregulated, often due to the suppression of TNF-associated factor 2 (TRAF2) (Nishina et al., 2009; Wharry et al., 2009). In a recent study, NIK upregulation was observed in each of the 55 human PDAC samples examined and 69% of the samples showed decreased expression of the NIK inhibitor, TRAF2 (Doppler et al., 2013).

NF-κB and its effectors have emerged as targets for the development of potential therapies to treat CP and pancreatic cancer. Examples include anti-inflammatory drugs, polyphenols, and proteasomal inhibitors (Carbone and Melisi, 2012; Aravindan et al., 2013; Doppler et al., 2013). Alternative pathway components such as NIK and TRAF2 are key proteins and may prove favorable as targets for therapies. Therapies trying to induce apoptosis in cancer cells are often stymied by high levels of NF-κB limiting apoptosis. To surmount this, therapies are being tested using NF-κB inhibitors, such as proteasomal inhibitors like bortezomib in combination with apoptotic drugs such as gemcitabine (Ahn et al., 2012; Walsby et al., 2012; Salem et al., 2013).

Inflammasome

The inflammasome is a large multi-protein complex concerned with detection of pathogen- and damage-associated molecular patterns (PAMPs and DAMPS) which arise during insult or injury to the pancreas. A typical inflammasome consists of a sensor or scaffolding protein such as a nucleotide oligomerization domain leucine-rich repeat-containing receptor (NLR), an adaptor protein designated ASC, and pro-caspase-1. Furthermore, inflammasome mediated responses IL-1β maturation through inflammasomal components Nlrp3-ASC. Subsequent generation of IL-1β results in further cytokine production, recruitment of immune cells, and apoptosis (Hoque et al., 2011).

The role of the inflammasome in the pathogenesis of acute alcoholic pancreatitis has also been explored recently (Gu et al., 2013). In alcohol-fed rats, treated with lipopolysaccharide (LPS), pancreatic acinar cells had enhanced expression of cytokines and chemokines, including the inflammasome-associated factors IL-18 and caspase-1. Furthermore, inflammasome mediated responses were found to be initiated through TLR4-signaling. Similar results were observed in acinar cells derived from patients with acute/recurrent pancreatitis.

The inflammasome thus has a central role in promoting chronic inflammation in pancreatitis but its contribution to pancreatic cancer remains largely unexplored. Generation of IL-1β...
and IL-18 may be the linking factor between inflammation and tumor initiation/progression although current understanding is limited (Drexler and Yazdi, 2013). In terms of treatment for pancreatitis, targets in the inflammasome pathway merit investigation, although the implication for pancreatic cancer therapy is less clear.

**Cytokines and pancreatitis**

In the early stages of AP, NF-κB (section NF-κB), and other transcription factors such as activator protein-1 (AP-1) and nuclear factor of activated T-cells (NFAT) are triggered resulting in the production and release of cytokines from the acinar cell. Immune cells such as neutrophils, macrophages, monocytes, and lymphocytes are recruited to the pancreas where they, in turn, produce and secrete additional cytokines resulting in an amplification of the inflammatory response. Key cytokines observed in serum and the pancreas during AP, include the interleukins IL-1β, IL-6, IL-8, as well as tumor necrosis factor (TNF-α) and soluble receptor for tumor necrosis factor (sTNFRe); furthermore, serum levels correlate with disease severity (Mayer et al., 2000; Fisic et al., 2013). Anti-inflammatory mediators such as interleukins IL-10, IL-11, IL-22, TNF-α receptors, and IL-1 receptor antagonist (IL-1ra) are produced in an effort to limit the inflammatory response; IL-10 and IL-22 have been shown to reduce AP in experimental animal models (Feng et al., 2012; Koike et al., 2012; Xue et al., 2012; Fisic et al., 2013).

Cytokines released during AP appear to also have roles in CP. In contrast to its beneficial role in AP, IL-10 has been shown to be instrumental in the development of CP in an experimental animal model (Gu et al., 2009). Furthermore, cytokines TGF-β, TNF-α, IL-1, IL-6, and IL-10 have been shown to activate pancreatic stellate cells which could either result in tissue repair or the development of fibrosis [see section Pancreatic Stellate Cells (PSCs)] (Apte et al., 1999; Mews et al., 2002).

Therapies for AP currently under study aim to inhibit pro-inflammatory pathways, such as TNF-α, with neutralizing antibodies, or up-regulate anti-inflammatory cytokines such as IL-10 or IL-22 (Feng et al., 2012; Xue et al., 2012; Sender et al., 2013). Elevation of anti-inflammatory cytokines as a therapy should be approached with caution though, as up-regulation of cytokines that reduce AP might also predispose to CP. Further study of these pathways is required to resolve these complex issues, prior to development of suitable therapies.

**STAT3 and pancreatic cancer**

Inflammation has been shown to be a key driver of pancreatic cancer (Guerra et al., 2011; Yadav and Lowenfels, 2013). Immune cells recruited to the pancreas and pancreatic stellate cells together secrete a host of cytokines, growth factors and matrix modifying enzymes that create a microenvironment favorable to PanIN development and progression (Steele et al., 2013). Signal transducer and activator of transcription 3 (Stat3), a transcription factor activated by cytokines such as IL-6 and growth factors such as epidermal growth factor (EGF) is a key mediator of inflammation (Grivennikov et al., 2010). Constitutively active Stat3 has been observed in 30–100% of human pancreatic adenocarcinoma samples examined (Scholz et al., 2003). Stat3 has also been shown to be required for the activation and progression of PDAC (Scholz et al., 2003; Corcoran et al., 2011; Fukuda et al., 2011; Lesina et al., 2011). Interestingly, there is evidence for cross-talk between Stat3 and NF-κB: Stat3 promotes constitutively high levels of NF-κB while NF-κB, in turn, may regulate Stat3 activation by recruiting immune cells that secrete Stat3-activating cytokines (Bollrath and Greten, 2009; Lee et al., 2009; Grivennikov and Karin, 2010).

Like NF-κB, Stat3 is an attractive target for therapies treating pancreatic cancer. Inhibitors of a Stat3 kinase, Jak2, have reduced solid tumor growth in animal models (Hedvat et al., 2009). Two triterpenoids under study in animal models are Stat3 and NF-κB inhibitors (Liby et al., 2010). Such compounds may also lend themselves to be used in combination therapies with other drugs such as gemcitabine.

**COX-2 overexpression**

The enzymes cyclooxygenase 1 and 2 (COX-1 and 2) are important rate limiting factors in prostaglandin production. Whereas COX-1 is constitutively expressed, there is very little COX-2 immunoreactivity in normal pancreatic acinar cells. However, during inflammation COX-2 is upregulated and in CP it is over-expressed in acinar, islet, and ductal cells. The presence of COX-2 in ductal cells points toward its role in modulating growth factors and cytokines from ductal cells in fibrosis and inflammatory pathways (Eibl et al., 2004). COX-2 has been linked to development of pancreatic dysplasia and PDAC and may form a potential link between CP and subsequent development of pancreatic cancer. Elevated COX-2 has been associated with pancreatic cancer cell proliferation (Sun et al., 2009) and tumor growth (Colby et al., 2008; Mukherjee et al., 2009; Hill et al., 2012). Moreover, a recent study has shown that a combination therapy, involving pharmacologic inhibitors of COX-2 and histone deacetylases (HDAC), a family of enzymes that regulate paramount cellular activities, results in a complete inhibition of tumor growth.

**HEAT SHOCK PROTEINS**

Heat shock proteins (Hsp) are a family of survival proteins. Their function in AP has often been considered protective although the opposite is true in pancreatic cancer; they largely account for the continued persistence of pancreatic tumors (Bhagat et al., 2002; Banerjee et al., 2013). Triptolide is a naturally derived compound, and its water-soluble pro-drug, Minnelide, have been shown to down-regulate expression of Hsp 70 in pancreatic cancer cells, resulting in cell death (Banerjee et al., 2013). This occurs via decreased glycosylation of the transcription factor Sp1, and subsequent down-regulation of pro-survival pathways like NF-κB. Inhibition of Hsp70 and ultimately cell death follows. Given the efficacy of this drug in preclinical trials, Minnelide studies have now moved to Phase I clinical trials.

**Pancreatic stellate cells (PSCs)**

Pancreatic stellate cells (PSCs) play an essential role in pancreatic fibrosis in CP and pancreatic cancer. These star-shaped cells were first described in 1998 by two independent groups and since then they have been extensively studied (Apte et al., 1998; Bachem et al., 1998). Stellate cells lie in a quiescent state in periarcinal, perivascular, and periductal areas and store Vitamin-A lipids...
droplets in the cytoplasm (Apte et al., 1998). During pancreatic injury, acinar cells, inflammatory cells, platelets, and endothelial cells produce cytokines and growth factors such as transforming growth factor beta (TGF-β) TNF-α, IL-1, IL-6, and activin A which activate PSCs in a paracrine manner. PSCs also produce a range of growth factors and cytokines themselves and could be activated in an autocrine manner. Upon activation PSCs start expressing α-Smooth muscle actin (α-SMA), with a myofibroblast like phenotype, synthesizing excess extracellular matrix components (ECM) such as collagen-1 and fibronectin (Omary et al., 2007; Vonlaufen et al., 2008; Masamune and Shimosegawa, 2009; Masamune et al., 2009; Erkan et al., 2012a). In addition to their pivotal role in fibrogenesis, PSCs synthesize matrix degradation enzymes like matrix metalloproteinases (MMPs) and their inhibitors (tissue inhibitors of metalloproteinases or TIMPs) (Phillips et al., 2003) that remodel the pancreatic parenchyma (Yokota et al., 2002; Omary et al., 2007). Therefore PSCs may play a role in maintenance of pancreatic architecture through regulation of ECM turnover.

PSCs interact with, and may regulate, other pancreatic cell types such as acinar cells and cancer cells. CCK has been shown to initiate acetylcholine release from PSCs which subsequently stimulates exocrine functions in acinar cells (Phillips et al., 2010). These findings suggest a novel role for PSCs in physiological regulation of acinar cells. Whether such an interaction can initiate pathological responses such as those observed in AP, remains to be determined. It has also been reported that PSCs interact with cancer cells and promote cancer progression through multiple mechanisms including elevated proliferation, migration and metastasis (Bachem et al., 2005; Hwang et al., 2008; Vonlaufen et al., 2008; Xu et al., 2010; Mantoni et al., 2011; Erkan et al., 2012a,b). PSCs have been shown to induce epithelial to mesenchymal transition (EMT) in pancreatic cancer cells. EMT is a critical process in cancer progression, which allows a polarized epithelial cell to assume a mesenchymal phenotype, enabling it to acquire invasive and metastatic properties and resistance to apoptosis and therapies. Furthermore, recent studies have shown that PSCs can augment stem cell-like phenotypes in pancreatic cancer cells, enhancing tumorigenicity (Hamada et al., 2012). Interactions between PSCs and other pancreatic cell types therefore appear to be an essential component of pancreatic regulation and disease development. Further research on the role of PSCs in development of pancreatitis and pancreatic cancer is required, given the emerging multi-functional roles these cells play.

**Kras**

Kras is a guanine nucleotide binding protein and individual Kras proteins act as binary molecular “switches” to activate a range of important cellular signaling pathways. Kras can bind either guanosine triphosphate (GTP) or guanosine diphosphate (GDP). When occupied by GDP, Kras does not activate downstream signaling pathways and is effectively “switched off.” Extracellular signals coming from the environment in the form of growth factors, cytokines, damage molecules (DAMPs), hormones, or other molecules activate Kras. These molecules indirectly interact with guanine nucleotide exchange factors (GEFs), replacing GDP for GTP and switching Kras “on.” The active Kras subsequently interacts with a wide range of downstream signaling pathways including STAT3, NFκB, COX-2, and Scr. Some of these pathways can generate signals, such as inflammatory mediators that further activate Kras through positive feedback. Normal Kras is rapidly inactivated by GTPase-activating proteins (GAPs) that help hydrolyze GTP to GDP. Although individual Kras molecules may act as a “binary switch,” populations of Kras proteins have varying degrees of activity; at the cellular level, Kras is never truly “on or off.” It is the number of active Kras proteins which define the level of the resulting downstream signals. However, specific point mutations in Kras, particularly those that affect Kras-GAP interactions, limit GTP hydrolysis resulting in sustained activity for Kras. Such pathological responses can ultimately lead to cancer.

Oncogenic Kras was first linked to pancreatic cancer over 20 years ago. The most common mutation in the majority of pancreatic tumors was identified as KrasG12D (Almoguera et al., 1988; Smit et al., 1988). Development of genetic mouse models with this mutation enabled researchers to learn more about pancreatic cancer development, although these models were found to have limitations (Di Magliano and Logsdon, 2013). The mouse models do not exactly match human disease; oncogenic Kras is expressed in all pancreatic cells in mice, unlike pancreatic tumors in humans. A combination of approaches, including the use of human pancreatic cancer cell lines, primary human cultures and human xenograft tumors in mice has yielded a broader view of disease mechanisms.

Mouse models have been used to demonstrate how cellular changes induced during pancreatitis, may actually lead to cancer progression in the presence of a Kras mutation. Induction of AP with the CCK ortholog cerulein in wild-type mice leads to acinar cell damage, infiltration of immune cells, and edema; the level of damage peaking within a 24 h period. Tissue repair rapidly occurs, and normal pancreatic histology is restored within 1 week. In contrast, pancreata from mice with a Kras mutation (the KC models) fail to undergo tissue repair after cerulein treatment (Morris et al., 2010; Collins et al., 2012a). In these mice, acinar to ductal metaplasia progresses forming dysplastic ductal structures, surrounded by extensive fibrosis, within 1 week. After 3 weeks, the majority of ductal structures exhibit characteristics of PanINs. With time, higher-grade PanIN lesions populate the pancreas resulting in development of carcinoma.

Merely the presence of a mutant copy of Kras may not be entirely sufficient for development of pancreatic cancer. It is widely thought that a threshold level of mutant Kras activity must be reached for cancer progression to occur (Di Magliano and Logsdon, 2013). In addition, sustained Kras activity may lead to cellular stress which could result in apoptosis or senescence. Factors which allow the cells to overcome the senescence barrier such as inflammation or loss of tumor suppressor genes such as p16 or p53 may allow transformation to cancerous cells. In mouse models of oncogenic Kras, pancreatic lesions rarely progress to carcinoma unless additional mutations are introduced. Tumor suppressors such as p53 and p16 are spontaneously lost at different rates, depending on levels of inflammation and/or Kras activity. KC mice express endogenous levels of oncogenic Kras,
and the tumor suppressor p53 has a tendency to be mutated or lost in the later stages of tumor development (Hingorani et al., 2003). In contrast, mice engineered to express high levels of oncogenic Kras in pancreatic cells (Elastase-CreER;CGL-KrasG12D, or LGL model), rapidly lose p16 (Ji et al., 2009). These observations are consistent with those seen in patients, whereby pancreatic adenocarcinoma does not occur without the accumulation of multiple genetic alterations, potentially over the course of many years (Yachida et al., 2010). Loss, inactivation, or mutation of a range of tumor suppressors (e.g., Tp53 and p16) is commonly detected in human pancreatic tumors.

Oncogenic Kras activation mediates many downstream cellular targets including RAF-mitogen activated protein kinase, Phosphoinositide-3-kinase (PI3K) and RalGDS pathways. The PI3-kinase-AKT pathway can play an important role in cell survival and malignant transformation and is Ras dependent (Fernandez-Medarde and Santos, 2011). It has been shown that Kras plays a role in activation of the Hedgehog pathway. Inhibition of the Hedgehog pathway dramatically decreases proliferation of pancreatic cancer cells due to its impact on the cell cycle regulators, Cyclin D1, N-myc, and Wnt proteins (Morton et al., 2007). Since both Notch and Hedgehog pathways are not activated in normal pancreas, it is postulated that there is a link between their activation and molecular and genetic alterations that occur during repetitive cell damage and repair processes.

A more detailed view of the critical role played by Kras in pancreatic disease is beyond the scope of this current review. Kras is an integral player in pancreatic disease progression and may play a role in transition of pancreatitis to pancreatic cancer. Cellular processes involved in pancreatitis, such as inflammation and autophagy, may interact with Kras and its downstream pathways, resulting in pancreatic lesions and PDAC development. The interplay of Kras with autophagy will be discussed further in the next section. Finally, in conjunction with other genetic mutations, Kras can facilitate progression to pancreatic cancer. In terms of therapy for pancreatic cancer, Kras is an attractive target. In mouse models, inactivation of oncogenic Kras results in tumor regression and the animals remain healthy over time with no signs of relapse (Collins et al., 2012a,b; Ying et al., 2012). Thus development of effective inhibitors for Kras, or targeting its downstream effectors such as the kinase Akt or MAP Kinase may be the direction to go in terms of drug development.

**AUTOPIHAGY AND DEVELOPMENT OF PANCREATIC CANCER**

Earlier in this review, the role of autophagy in development of acute and CP was discussed. Autophagy also plays a complex part in the development of pancreatic cancer, with reports indicating both pro-tumorigenic and tumor-suppressive roles (Li et al., 1999; Yue et al., 2003; Levine and Kroemer, 2008; Guo et al., 2011, 2013; Takamura et al., 2011; Wei et al., 2011; Yang et al., 2011; Aghajan et al., 2012; Mah and Ryan, 2012; White, 2012). PDAC cells have higher basal levels of autophagy than most other types of tumor cells, facilitating their survival under stressful conditions including nutrient deprivation, hypoxia, metabolic stress and chemotherapy (Aghajan et al., 2012). As the tumor environment is hypoxic, autophagy is often induced by hypoxia-inducible factor-α signaling, or adenosine monophosphate activated protein kinase (AMPK), the latter also being associated with pancreatitis (Shugrue et al., 2012). Elevated levels of autophagy in PDAC cells are critical in removal of ROS, preventing DNA damage and maintaining energy homeostasis, thus optimizing PDAC cell survival and proliferation (Yang and Kimmelman, 2011).

In contrast, in non-transformed epithelial cells, PDAC initiation is suppressed by autophagy. ROS production, genomic damage, inflammation, and cellular injury are limited. In addition, oncogenic aggregates of p62 are eliminated. However, as discussed earlier, when impairment of autophagy and lysosomal dysfunction occurs pancreatitis is initiated. This can lead to chronic pancreatic injury and compensatory proliferation of stem cells, resulting in ductal metaplasia and regenerative responses which contribute to tumorigenesis. Pathways such as Notch, Hedgehog, and Wnt-β catenin are activated in pancreatic tissues in CP during the regenerative response and dysregulation of these pathways has been attributed to pancreatic tumorigenesis (Bhanot and Moller, 2009).

Several clinical trials are currently using inhibitors of autophagy, such as hydroxychloroquine (which halts lysosomal acidification and autophagosome degradation), in the treatment of PDAC (Amaravadi et al., 2011). Inhibition of autophagy has been shown to retard growth of pancreatic xenograft tumors in mice, and development of tumors in mice with pancreata containing oncogenic Kras (Yang et al., 2011). However, a recent study demonstrated that treatment of PDAC maybe more complex (Rosenfeldt et al., 2013). In a humanized genetically-modified mouse model of PDAC, the role of autophagy in tumor development was found to be inherently linked to the status of the tumor suppressor p53. Kras mice developed a small number of pre-cancerous lesions that became PDAC over time. However, it was found that mice also lacking the essential autophagy genes Atg5 or Atg7 accumulated low grade pre-malignant PanIN lesions, which did not progress to high grade PanINs and PDAC. In contrast, in mice lacking Kras and p53, a loss of autophagy no longer blocked tumor progression, but actually accelerated the onset of tumors and increased uptake of glucose to fuel tumor growth. Furthermore, this study showed that treatment of the mice with hydroxychloroquine actually accelerated tumor formation in mice with oncogenic Kras but lacking p53. Thus the role of autophagy in pancreatic cancer is extremely complex and care needs to be taken when designing appropriate therapies.

**OBESITY AND PANCREATIC DISEASE**

Obesity is a major health problem worldwide and leads to increases in risk for cardiovascular disease, stroke, and a variety of cancers (Hotamisligil and Erbay, 2008; Osborn and Olefsky, 2012). Obesity can result in low grade chronic inflammation which renders patients vulnerable to these diseases, although the underlying cellular mechanisms between obesity and inflammation remain vague (Weisberg et al., 2003; Hotamisligil and Erbay, 2008; Johnson et al., 2012; Osborn and Olefsky, 2012). Obesity is known to increase the number of CD8+ T-cells and decrease T-regulatory cells, promoting recruitment of macrophages (Johnson et al., 2012). Elevated levels of inflammatory mediators such as TNF-α, IL-1β, IL-6,
and IL-18 are seen within adipose tissue and also systemically through inflammasome activation in macrophages (Stienstra et al., 2011). Inflammatory mediators secreted by macrophages further augment general inflammation. In addition, levels of the pro-inflammatory hormone leptin are increased by obesity and decreases in adiponectin, its anti-inflammatory counterpart, are observed. Obesity, or a high fat diet (HFD), can also affect autophagy, increasing ER stress and inflammation (Yang et al., 2010; Hasnain et al., 2012). Obesity inhibits autophagy by activating Akt and mTOR signaling pathways, and down-regulating autophagic genes such as Ulk1/Atg1, Atg5, Atg6/Beclin 1.

Obesity has been linked to increased risk and severity of pancreatitis (Frossard et al., 2009; Navina et al., 2011). Deletion of leptin (ob/ob) or the leptin receptor (db/db), or administration of an HFD, in mice caused obesity and increased severity of pancreatitis. Following induction of pancreatitis with cerulein, levels of pancreatic IL-1β, IL-6, CCL2/MCP-1, and neutrophil infiltration were much greater in ob/ob and db/db mice compared to their lean littermates (Zyromski et al., 2008). Furthermore, in a model of AP induced by a combination of IL-12 and IL-18, severe disease occurred in ob/ob mice compared to wild type mice (Sennello et al., 2008). Finally, in a model of taurocholate-induced pancreatitis TNF-α levels increased while IL-10 was reduced, resulting in necrosis of adipose tissue (Franco-Pons et al., 2010). Thus obesity-related inflammatory mediators appear to play a pivotal role in severity of pancreatitis.

Obesity and HFD have further been identified as prominent risk factors for pancreatic cancer (Wiseman, 2008). Consumption of an HFD in mice with oncogenic Kras expression increased PanIN formation, fibrosis, inflammation, and PDAC, resulting in reduced survival (Philip et al., 2013). In contrast, control mice lacking Kras expression and fed with HFD, or Kras-expressing mice fed a control diet (CD), showed minimal pancreatic pathology. This model underscores the risk posed by an HFD in humans that express pancreatic oncogenic Kras. Activity of Kras and its downstream effectors such as COX-2 and phospho-ERK are elevated. Infiltration of macrophages into the stroma and activation of quiescent PSCs producing α-SMA and collagen I also occurs. COX-2 forms a positive feed-forward loop thus maintaining Kras activity and further augments inflammation, fibrosis, and recruitment of inflammatory mediators to the pancreas. This ultimately leads to development of PanINs and PDAC. Given that many healthy individuals express oncogenic Kras, consumption of HFD could put them at greater risk of developing PDAC. Consuming a reduced fat diet and ingestion of COX-2 inhibitors could limit pancreatic inflammation and fibrosis and may prevent formation of PanINs and progression to PDAC.

CONCLUSION

Although our knowledge of underlying mechanisms of pancreatitis and pancreatic cancer have advanced in the past few years much remains unknown. Recent studies have strongly implicated smoking, alcohol, and obesity as common etiological factors in pancreatitis-to-cancer pathways. At the cellular level, aberrant zymogen activation, particularly through mutations in trypsinogen, can lead to repeat bouts of AP. This can result in low grade inflammation, autophagy, stellate cell activation, and fibrosis, culminating in chronic disease. Furthermore, oncogenic Kras mutations and modifications of tumor suppressor genes (p16 and p53) may all contribute to progression from CP to PDAC (Figure 1). Development of multiple drugs that target various aspects of this complex tapestry of cellular pathways will be paramount in halting disease initiation and progression.

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