Abstract
Finding high quality paths within a limited time in configuration space is a challenging issue for path planning. Recently, an asymptotically optimal method called fast marching tree (FMT*) has been proposed. This method converges significantly faster than its state-of-the-art counterparts when addressing a wide range of problems. However, FMT* appears unable to solve the narrow passage problem in optimal path planning, since it is based on uniform sampling. Aiming at solving this problem, a novel region-based sampling method integrating global scenario information and local region information is proposed in this paper. First, global information related to configuration space is extracted from an initial sample set obtained via hybrid sampling. Then, local regions are constructed and local region information is captured to make intelligent decisions regarding regions that are difficult and need to be boosted. Finally, the initial sample set is sent to FMT* using a modified locally optimal one-step connection strategy in order to find an initial and feasible solution. If no solution is found and time permits, the guided hybrid sampling will be adopted in order to add more useful samples to the sample set until a solution is found or the time for doing so runs out. Simulation results for six benchmark scenarios validate that our method can achieve significantly better results than other state-of-the-art methods when applied in challenging scenarios with narrow passages.

Keywords Optimal Path Planning, Narrow Passage Problem, Non-uniform Sampling, Region-based

1. Introduction
Over the past number of decades, path planning in static scenarios has been extensively studied. In terms of configuration space, the basic problem can be generally described as computing a collision-free path for an object to move in, from a given start configuration to a goal configuration. Sampling-based methods such as probabilistic roadmaps (PRM) [1], rapidly exploring random trees (RRT) [2] and the many variants of these have shown outstanding performance, especially in high-dimensional configuration space. However, traditional sampling-based methods do not pay much attention to path quality (path cost), which can be measured in terms of length, clearance, smoothness, energy consumption, etc. Hence, when considering path quality, the majority of traditional methods produce suboptimal solutions. This phenomenon has promoted the study of optimal path planning, which targets improved path quality.

Concerning path quality, recent asymptotically optimal sampling-based methods have shown promising results. Karaman and Frazzoli provide a definition of asymptotic
Another asymptotically optimal method, proposed by Janson and Pavone, is fast marching trees (FMT*) [18]. To alleviate the inherently unordered search of sampling-based methods, FMT* extends graph-search methods to sampling-based methods and concurrently performs graph construction and graph search within cost-to-come space. It is faster than PRM* and RRT* in terms of converging to an optimal solution within many different scenarios. However, FMT* selects a predetermined number of samples and is not an any time method. Generally, an any time method can quickly find an initial feasible solution and then, given more computation time, improve the solution towards an optimal solution [19]. As FMT* selects a predetermined number of samples, if a lower cost path is needed, it must increase the number of samples and replan. Moreover, it is difficult to select an appropriate number of samples, which is problem-dependent. To solve these problems, an anytime version FMT (aFMT*) is adopted in [20]. It chooses a small number of samples and applies FMT*, then doubles this number and repeats the process if time permits. In addition, to speed up convergence, motion planning using lower bounds (MPLB) [20] extends aFMT* by including the lower bounds on cost, while batch informed trees (BIT*) [21] introduces an ellipsoidal heuristic. However, as a sampling-based method, FMT* with a uniform sampling strategy suffers from the notorious narrow passage problem [22], where sampling in small volumes is difficult. If a robot has to pass a narrow passage to arrive at the goal position in a scenario, it may cause significant challenges for FMT* and the above noted extended methods.

To cope with the narrow passage problem, many non-uniform sampling strategies have been proposed to increase the proportion of free configurations in some areas. These include obstacle-based PRM (OBPRM) [23] and Gaussian PRM [24] sample nodes near the surfaces of obstacles; a bridge test sampler [25] generates nodes inside narrow passages. Medial axis PRM (MAPRM) [26] retracts nodes to the medial axis of the free space. Although there exist many sampling strategies, no one outperforms all others for all problem instances. To achieve a better distribution of samples, different sampling strategies are combined in [27, 28]. In addition, region-based methods [29,30] divide the configuration space into some local regions and use local region information to decide where to boost sampling intelligently, or which sampler to apply. However, some region-based methods use only the local region information and no other global information for guiding the region classification. Concerning global information, Rantanen used the connectivity of the entire PRM roadmap to decide which regions were useful [31].

In this paper, we propose a region-based sampling method integrating global scenario information and local region information to solve the narrow passage problem in optimal path planning. The flowchart for doing so is shown in Fig.1. First, hybrid sampling is adopted to sample a small predetermined number of samples. These samples can be
classified into three types: uniform (blue), Gaussian (green) and bridge (red). Second, the global scenario is characterized based on the proportion of these samples. Third, the configuration space is partitioned into regions and local region information is exploited to determine the difficulties of regions. According to the difficulties of regions, we classify the regions into three categories: easy (cyan), normal (purple) and difficult (orange). Then, more samples (yellow) are added to the difficult regions. Finally, the initial sample set is sent to FMT* with a modified locally optimal one-step connection strategy to solve the optimal path planning problem. If our method cannot find a solution on the current sample set and if extra time is available, the following process is repeated: double the number of samples using the guided hybrid sampling and apply FMT*. Since our goal is to find a low-cost solution in challenging scenarios within the shortest space of time, a novel measure of cost called harmonic mean cost is also utilized for evaluation to better describe the performance of asymptotically optimal methods.

The remainder of this paper is organized as follows. Section 2 describes some preliminaries, including FMT*, aFMT*, a modified locally optimal one-step connection strategy of FMT* and quartiles. Section 3 gives the details of our method. Experiments and discussions pertaining to six scenarios are shown in section 4. Finally, we come to conclusions and discuss possible extensions in section 5.

2. Preliminaries

Let \( C \subseteq \mathbb{R}^d \) be a configuration space (C-space) with \( d \) dimension, while \( C_{\text{free}} \) and \( C_{\text{obstacle}} \) denote free space and obstacle space, respectively. The configuration of a robot is described as \( x \in C \). The start configuration is \( x_{\text{init}} \subseteq C_{\text{free}} \) and the goal region \( C_{\text{goal}} \subseteq C_{\text{free}} \) is a set of goal configurations. A function \( \sigma : [0,1] \rightarrow \mathbb{R}^d \) is called a path if it is continuous. Therefore, a path planning problem can be defined as \((C_{\text{free}}, x_{\text{init}}, C_{\text{goal}})\) to find a feasible path \( \sigma \), which lies in \( C_{\text{free}} \) such that \( \sigma(0)=x_{\text{init}} \) and \( \sigma(1) \in C_{\text{goal}} \). In addition, the cost function \( c(\sigma) \) calculates the arc length of \( \sigma \) with respect to the Euclidean metric. Therefore, an optimal path planning problem is to find a feasible path \( \sigma^* \) such that \( c(\sigma^*)=\min\{c(\sigma) : \sigma \text{ is feasible} \} \).

2.1 Fast Marching Tree

Fast marching tree is an asymptotically optimal method. Its anytime version is outlined in Algorithm 1 and its notations and functions are described in Table 1. First, aFMT* samples a small number of free samples; then, these samples, \( x_{\text{init}} \) and at least one sample in \( C_{\text{goal}} \) are placed into the sample set \( V \) (Algorithm 1, line 3). The set \( V \) is partitioned into three subsets, \( V_{\text{initiated}}, V_{\text{open}}, \) and \( V_{\text{closed}} \) (Algorithm 1, line 4). The algorithm repeats the following process: the lowest-cost node \( z \) is chosen from \( V_{\text{open}} \) (Algorithm 1, line 17). For each neighbour \( x \) of \( z \) in \( V_{\text{initiated}} \), aFMT* finds its neighbours \( V_{\text{near}} \) in \( V_{\text{open}} \) and a locally optimal one-step connection from \( y_{\text{min}} \) to \( x \) (Algorithm 1, lines 8-10). If the connection from \( y_{\text{min}} \) to \( x \) is collision-free, add this edge to the tree and move \( x \) from \( V_{\text{associated}} \) to \( V_{\text{open}} \) (Algorithm 1, lines 11-13). After each neighbour \( x \) of \( z \) has been traversed, \( z \) is moved from \( V_{\text{open}} \) to \( V_{\text{closed}} \) (Algorithm 1, line 14). This process repeats until \( V_{\text{open}} \) is empty (Algorithm 1, lines 15-16).

As sampling-based methods, FMT* and extended methods [20, 21] employing a uniform sampling strategy meet the challenge posed by the narrow passage problem. To cope with this situation, they have to increase the number of samples until there are enough samples in narrow passages. Obviously, this is a time-consuming process. Non-uniform sampling strategies are widely used to solve the narrow passage problem and the asymptotic optimality of FMT* with a non-uniform sampling strategy has already been demonstrated in [18]. Therefore, in this paper, a novel non-uniform sampling method will be applied in FMT* to solve the narrow passage problem.

| function/notation | description |
|-------------------|-------------|
| SampleFree(n)     | return \( n \) random free configurations |
| Near(x, V)        | return \( k \) nearest neighbours of \( x \) in \( V \) |
| CollisionFree(x, y)| return false if the straight line from \( x \) to \( y \) collides with obstacles |
| Cost(x, y)        | cost of the straight line from \( x \) to \( y \) |
| CostT(x)          | cost of the path from \( x_{\text{init}} \) to \( x \) on the tree \( T \) |
| CostTToG(x)       | cost of the straight line from \( x \) to \( C_{\text{goal}} \) |
| V_{\text{associated}} | samples that have not been considered for addition to the tree |
| V_{\text{open}} | samples that have been added to the tree and are considered for further connections |
| V_{\text{closed}} | samples that have been added to the tree and are no longer considered for further connections |

Table 1. The Definitions of Notations and Functions in FMT*

Another problem, i.e., the locally optimal one-step connection strategy of FMT* (Algorithm 1, line 10), makes it difficult to add a new node to the tree when \( y_{\text{min}} \) lies in a narrow passage. An illustration of this problem is given in Figure 2(a) - (c). In Figure 2(a), FMT* selects the lowest-cost node \( z \) in \( V_{\text{open}} \) (Algorithm 1, line 17) and finds its neighbours \( X_{\text{near}} \) in \( V_{\text{associated}} \) (Algorithm 1, line 7). For each \( x \) in \( X_{\text{near}} \), FMT* searches for a locally optimal one-step connection (Algorithm 1, line 10). Here, \( x_1 \) and \( x_2 \) select \( y \) as \( y_{\text{min}} \) and \( x_3 \) and \( x_4 \) select \( y \) as \( y_{\text{min}} \). Only the connection from \( z \) to \( x_3 \) is collision-free; thus, \( x_3 \) and this connection are added to the tree (Algorithm 1, lines 11-13). Following this step, \( z \) is moved to \( V_{\text{closed}} \) and is no longer considered for further connections (Algorithm 1, line 14). Then, \( x_3 \) is moved to \( V_{\text{open}} \) (Algorithm 1, line 14) and selected as the next \( z \) (Algorithm 1, line 17). In Figure 2(b), in the second iteration, \( x_1 \), \( x_2 \) still selects \( y \) as \( y_{\text{min}} \) and \( x_3 \) selects \( z \) as \( y_{\text{min}} \) (Algorithm 1, line 10). None
of these connections are collision-free. Then, \( z \) is moved to \( V_{\text{cloud}} \) (Alg.1, line 14) and \( y \) is selected as the next \( z \) (Alg.1, line 17). In Fig 2(c), in the final iteration, \( x_1, x_2, x_3, \) and \( x_4 \) select \( z \) as \( y_{\text{init}} \) (Alg.1, line 10). All of these connections are invalid. Then, \( z \) is removed from \( V_{\text{open}} \) and \( V_{\text{open}} \) is empty. FMT* returns a FAILURE outcome.

To overcome the above problem, we made a modification to the locally optimal one-step connection strategy of FMT*. Namely, if \( x \) has selected \( y \) as \( y_{\text{init}} \) in previous iterations, this \( y \) cannot be \( y_{\text{init}} \) of the \( x \) again. The modified strategy is illustrated in Fig.2(a) and Fig.2(d). In Fig2.(a), the invalid connections are marked by \( x_1 \) and \( x_2 \). In Fig2(d), namely the second iteration, \( x_1, x_2, \) and \( x_3 \) select \( z \) rather than \( y \) as \( y_{\text{init}} \). The connection between \( x_2 \) and \( z \) is collision-free. Then, \( x_2 \) and this connection will be added to the tree, and the tree successfully grows out of this narrow passage.

2.2 Quartiles

In descriptive statistics, the quartiles of a ranked set of data values are the three points that divide the data set into four equal groups, each group comprising a quarter of the data [32]. As shown in Fig.3, the lower quartile \( Q_1 \) is defined as the middle value between the smallest value and the median of the data set. The median \( Q_2 \) is the median of the data set. The upper quartile \( Q_3 \) is the middle value between the median and the highest value of the data set. The interquartile range \( IQR \) is the difference between the upper and lower quartiles. The \( IQR \) is a relatively robust statistic compared to the standard deviation and the range, and can be used to characterize the data outliers lying outside the defined fences. The fences are defined as follows:

\[
\begin{align*}
\text{IQR} & = Q_3 - Q_1 \\
\text{lower inner fence} & = Q_1 - 1.5 \times \text{IQR} \\
\text{lower outer fence} & = Q_1 - 3 \times \text{IQR} \\
\text{upper inner fence} & = Q_3 + 1.5 \times \text{IQR} \\
\text{upper outer fence} & = Q_3 + 3 \times \text{IQR}
\end{align*}
\]

A point beyond an inner fence on either side is considered as a mild outlier, while a point beyond an outer fence is considered as an extreme outlier. The quartiles and the fences will be used in the region classification.

![Figure 2](image1.png)

**Figure 2.** The locally optimal one-step connection strategy of FMT* is illustrated in (a)-(c). The modified locally optimal one-step connection strategy is illustrated in (a) and (d). Obstacles are depicted by solid black lines or dotted lines, respectively.
Here, \( x \) represents the number of \( x \). This measure can estimate the volume of \( C_{\text{free}} \) and \( C_{\text{obstacle}} \). The bigger the volume of \( C_{\text{obstacle}} \), the bigger the \( \# \) collision and the closer the \( \text{freeRatio} \) approaches zero. When the \( \text{freeRatio} \) is close to zero, it is difficult to obtain a free sample through a uniform sampler. Moreover, if collision samples are also added to sample set \( V \) to construct local regions as in [30], more time has to be spent on calculating the nearest neighbours, while nearest neighbour queries may serve as the computational bottleneck of asymptotically-optimal methods [33]. Therefore, hybrid sampling is adopted in this paper and only free samples are added to the sample set \( V \).

The second and third measures are the ratio of bridge samples to uniform samples and the ratio of Gaussian samples to uniform samples, which are named \( \text{buRatio} \) and \( \text{guRatio} \), respectively, as follows:

\[
\text{buRatio} = \frac{\#\text{bridge}}{\#\text{uniform}} \in [0,1]
\]

\[
\text{guRatio} = \frac{\#\text{gaussian}}{\#\text{uniform}} \in [0,1]
\]

The \( \text{buRatio} \) can characterize whether configuration space obstacles are evenly distributed and can be used to guide sampling towards more important areas. When the \( \text{buRatio} \) is close to zero, it is likely that there will be large open areas in the scenario, because the configuration space obstacles are not evenly distributed. On the contrary, when the \( \text{buRatio} \) is close to one, it is likely that the scenario will be cluttered with obstacles. Two corresponding instances are illustrated in Fig.5(a) and Fig.5(b), respectively. In Fig. 5(a), there are large open areas in the scenario and as such, the \( \text{buRatio} \) is small. In Fig.5(b), the scenario is cluttered with obstacles and these obstacles are almost evenly distributed; as such, the \( \text{buRatio} \) is large. Since it is unnecessary to keep a large number of samples in large open areas, we can use the \( \text{buRatio} \) and the \( \text{guRatio} \) to adjust the proportion of three types of samples in the guided hybrid sampling. This will be discussed in following subsections.

In addition, as shown in Fig.6, combining the \( \text{freeRatio} \) and the \( \text{buRatio} \) can provide additional information about narrow passages. When the \( \text{freeRatio} \) and the \( \text{buRatio} \) are both small, it is likely that the scenario contains narrow passages, and more attention should be given to this scenario.

3.3 Local Region Learning

3.3.1 Region Construction

There are many ways in which to construct a set of regions [28-31]. Our region construction method is related to [30] but differs in two aspects. First, we only consider free samples. Second, according to the sample type, start, goal, bridge and Gaussian samples have priority in terms of sampling towards more important areas. When the \( \text{buRatio} \) is close to zero, it is likely that the scenario will be cluttered with obstacles. Two corresponding instances are illustrated in Fig.5(a) and Fig.5(b), respectively. In Fig. 5(a), there are large open areas in the scenario and as such, the \( \text{buRatio} \) is small. In Fig.5(b), the scenario is cluttered with obstacles and these obstacles are almost evenly distributed; as such, the \( \text{buRatio} \) is large. Since it is unnecessary to keep a large number of samples in large open areas, we can use the \( \text{buRatio} \) and the \( \text{guRatio} \) to adjust the proportion of three types of samples in the guided hybrid sampling. This will be discussed in following subsections.

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3.3.2 Region Classification and Boosting

In [30], the entropy of the regions is used to classify the regions into four classes: free, surface, narrow and blocked.
according to the difficulties of regions, we classified them into the following categories: easy, normal and difficult. Each region average radius is pushed into a queue. For this queue, we compute the lower quartile \( Q_1 \), the median \( Q_2 \), the upper quartile \( Q_3 \), the interquartile range \( IQR \), the lower fences and the upper fences. First, the region where average radius is beyond the lower fences or the upper fences can be considered as an "outlier"; thus, this region can be directly classified as easy or difficult. The only exception is the region where the average radius is higher than the upper outer fence and where the region centre is not a start or a goal. This case is illustrated in the purple region in the lower right of Fig.8. As the centre of this region lies in a sharp corner where it is easy to obtain a bridge sample, the average radius of this region is abnormally large. However, this region is not very difficult and is useless. Therefore, this region is classified as normal rather than difficult. Second, combining the \( guRatio \), the \( buRatio \) and the average radius, more regions can be classified as easy or difficult. The region where average radius is beyond \( Q_3 \) has a relatively large average radius and as such, is likely to be a difficult region. The \( guRatio \) and the \( buRatio \) of this region are compared with the \( guRatio \) and the \( buRatio \) of the global scenario. The region where the \( guRatio \) and the \( buRatio \) are higher than the global \( guRatio \) and the global \( buRatio \) can be classified as difficult. Similarly, the region where the average radius is beyond \( Q_1 \) and where the \( guRatio \) and the \( buRatio \) are lower than the global \( guRatio \) and the global \( buRatio \) can be classified as easy. The rest of the regions are simply classified as normal. The above conditions and results are summarized in Tab.2. According to Tab.2, regions can be classified as easy, normal or difficult. A region classification case is illustrated in Fig.8.

However, to achieve relatively good performance in terms of classification, several thresholds should be fine-tuned in different scenarios. Therefore, it is crucial to develop a method that is scenario-independent and requires as little as possible user intervention. In this paper, a novel method based on statistics is introduced to classify the regions.

Since only free samples are stored, the scale of the radius for different regions varies dramatically. As shown in Fig. 7, the region radius is small in open areas, while large in cluttered areas. Intuitively, we can use the region radius as a measure to determine the difficulty of a region. However, maximum and mean are not robust statistics, and they may be susceptible to outliers. Therefore, we chose the average radius rather than the radius to characterize a region. Similarly, the region average radius is small in open areas and large in cluttered areas. In addition, the quartiles were adopted to define the thresholds of the region’s average radius, which was in turn utilized to classify the regions.

Algorithm 3: Region Construction.

\[ \textbf{Input:} \text{initial sample set } V, \text{which contains } n_0 \text{ samples and initial number of samples in each region } k' \]
\[ \textbf{Output:} \text{region set } R \]
\[ \begin{align*}
1 & \text{partition } V \text{ into five subset: } V_{\text{free}}, V_{\text{goal}}, V_{\text{bridge}}, V_{\text{gaussian}}, V_{\text{uniform}} \\
2 & \text{traverse each subset according to the above sequence} \\
3 & \text{while there exists an unmarked sample } x \text{ in the current subset do} \\
4 & \quad \text{N} \leftarrow \text{near}(x, k', V); \\
5 & \quad \text{construct a new region } r; \\
6 & \quad r.\text{center} \leftarrow x; \quad r.\text{v} \leftarrow \{x \cup N\}; \\
7 & \quad r.\text{radius} \leftarrow \text{maximum distance from } x \text{ to each neighbour in } N; \\
8 & \quad r.\text{avgRadius} \leftarrow \text{median distance from } x \text{ to each neighbour in } N; \\
9 & \quad \text{count the number of bridge, Gaussian and uniform samples} \\
10 & \quad \text{in this region: } r.\text{bridge}, r.\text{gaussian and } r.\text{uniform}; \\
11 & \quad r.\text{buRatio} = \frac{r.\text{bridge}}{r.\text{uniform}}; \quad r.\text{guRatio} = \frac{r.\text{gaussian}}{r.\text{uniform}}; \\
12 & \quad \text{add } r \text{ to } R; \\
13 & \quad \text{mark } x \text{ and } N;
\end{align*} \]
Algorithm 4: Region Boosting

Input: region r, sample set V
Output: sample set V
1 if r is difficult then
2 calculate the distances from r.center to each sample in r and add these distances to the queue q;
3 repeat
4 add a sample x in r using our hybrid sampling; add x to the sample set V;
5 update r.bridge, r.gaussian, r.uniform, r.buRatio, r.guRatio;
6 calculate the distance from r.center to x and add this distance to the q;
7 choose k’ shortest distances from q and calculate the median m of k’ shortest distances;
8 until m ≤ Q3;

Table 2. Region Classification

| Condition | Type |
|-----------|------|
| r.arginus ∈ [Q2 − 1.5 * IQR, Q2] | easy |
| r.arginus ∈ [Q2 − 1.5 * IQR, Q2] | normal |
| r.buRatio > buRatio | difficult |
| r.guRatio > guRatio | normal |
| r.buRatio > buRatio | easy |
| r.guRatio > guRatio | difficult |
| r.buRatio > buRatio | normal |
| r.buRatio > guRatio | difficult |

Figure 8. Region classification and boosting. Uniform, Gaussian and bridge samples are coloured blue, green and red, respectively. Boosted samples are coloured yellow. Obstacles are coloured grey.

In regions that have been classified as difficult, samples need to be increased. As shown in Alg. 4, we do not set a fixed threshold for the number of increased samples. Instead, the difficult region is boosted by adding samples until the average radius of k’ nearest samples of the region centre is lower than Q3 (Alg. 4, lines 3-8). The orange region in Fig. 8 is a difficult region that contains a narrow passage. The number of samples in the narrow passage is dramatically increased through region boosting.

When region boosting is finished, the sample set V is sent to FMT* to find an initial feasible path. If FMT* cannot find a feasible solution for the sample set V and extra time is available, more useful samples can be included in the sample set V. This will be presented in the following subsection.

3.4 Guided Hybrid Sampling

Like aFMT*, if FMT* cannot find a feasible path on the sample set V and time permits, our method doubles the number of samples. Compared to aFMT*, the novelty of our method lies in the use of guided hybrid sampling, rather than a uniform sampling strategy. The guided hybrid sampling makes good use of global scenario information and local region information in order to add more useful samples. The algorithm of our method is detailed in Alg. 5. First, if the scenario contains large open areas, which is determined by the buRatio, the guided hybrid sampling will adjust the proportion of uniform, Gaussian and bridge samples in this sampling process according to the guRatio (Alg. 5, lines 4-11). Second, when new samples are added to the sample set, we will calculate which regions the new samples belong to (Alg. 5, line 13). According to the region type, if a new uniform sample is only in easy regions, it will not be added to the sample set (Alg. 5, lines 14-15). In addition, when new samples are added to the sample set, the corresponding regions’ information will be updated. If a new sample is not inside any region, a new region based on this sample will be constructed. Then, this new region will be classified. If it is classified as difficult, it will be boosted. When the guided hybrid sampling is complete, the sample set V will again be sent to FMT* to find a solution.

Double the number of samples using the guided hybrid sampling method and apply FMT* until an initial feasible solution is found or time runs out.

4. Experiments and Discussions

The proposed method is evaluated using six scenarios: 2D bugtrap-hardest, 3D apartment, 3D abstract, 3D twistycool, 2D maze and 2D barriers, which are shown in Fig. 11, respectively. The first scenario is an extension of the bugtrap in Open Motion Planning Library (OMPL 1.0.0) [34], where the area for sampling is enlarged. The final five scenarios are provided by OMPL. To investigate the advantages of our method, the first four challenging scenarios are adopted. In these scenarios, to arrive at the
goal position, the robot has to pass a narrow passage, which presents a significant challenge for FMT* and extended methods. To investigate the performance of our method under the scenarios without narrow passages, the final two prototypical scenarios are also employed.

![Algorithm 5: Guided Hybrid Sampling](image)

We compared our method against aFMT* and RRT*, as these two methods are state-of-the-art asymptotically optimal planners. In addition, to test our modified locally optimal one-step connection strategy of FMT* and compare with other non-uniform sampling strategies, our method was also compared with aFMT* with the modified locally optimal one-step connection strategy (aFMT*+ymin), aFMT* with a bridge test sampler (aFMT*+bridge test), as well as with a combination of the two (aFMT*+bridge test +ymin).

4.1 Experiment Settings

Simulations were run on a Linux operating system (Ubuntu 12.04) on a 3.1GHz Core i5 processor with 4GB of memory. Our methods were implemented in OMPL and the implementations of RRT*, FMT*, the uniform sampler and the Gaussian sampler were taken from OMPL. The implementation of RRT* was a k-nearest version, including delaying collision checks, as well as pruning via branch and bound and node rejection. We took the default values of RRT* parameters from OMPL, a steering parameter of 3.5% of the maximum extent of the C-space and a goal-bias probability of 5%. The implementation of FMT* was a k-nearest version and the tree was expanded in a cost-to-come+cost-to-go space. We also took the default values of FMT* parameters from OMPL and a radius multiplier of 1.1. The implementation of aFMT* was based on FMT* and we sped up this method by reusing both existing samples and connections from previous iterations. The initial number of samples \( n_0 \) in aFMT* was set to 500 and other parameters were identical to FMT*. In our method, the initial number of samples \( n_0 \) was also set to 500 and the \( k \) in the region construction and the region boosting was set to 10. We took the default values of the Gaussian sampler parameters from OMPL and the standard deviation was set to 10% of the maximum extent of the C-space. The bridge test sampler was implemented based on the Gaussian sampler and the standard deviation parameter was equal to the Gaussian sampler. All results were averaged over 100 runs and all methods run until an initial feasible solution was found or time ran out.

We tested the `freeRatio` and the `buRatio` in many different scenarios of OMPL. As shown in Fig.10, the `buRatio` was able to characterize whether configuration obstacles were evenly distributed. For example, the bugtrap-hardest (Fig. 9(a)) had large open areas and as such, its `buRatio` was close to zero. Obstacles were almost evenly distributed in the maze (Fig.9(e)) and as such, its `buRatio` was relatively large. According to our observations of these scenarios and the results in Fig.10, we provide an empirical value of 0.5 as the threshold in the guided hybrid sampling. Where the `buRatio` is smaller than the threshold, we believe that such a scenario contains large open areas and the proportion of uniform, Gaussian and bridge samples needs to be adjusted.
4.2 Self Comparisons

We tested the parameters of our method in Fig.11 and show that our method is not sensitive to parameters. Parameters were tested in the apartment scenario with 16 settings for two parameters, as well as other parameters in default values. Two parameters are shown: $250 \leq n_0 \leq 2000$, which is the initial number of samples; $5 \leq k \leq 80$, which is the initial number of samples in each region. The vertical axis shows the success rate of our method in finding an initial feasible solution within 20 seconds in the apartment scenario. It can be estimated from Fig.11 that a too-small or too-large initial number of samples had an effect on the success rate of our method. The reasons for this can be explained from two perspectives. First, the results of region classification may not be accurate when the initial number of samples is too small. Second, the given time may not be enough for dealing with too many samples. However, when the initial number of samples is moderate, our method achieves better performance.

It should be noted that the mean cost of successful attempts may not be able to describe the real performance of asymptotically optimal planners when the success rate is relatively low. As shown in Fig.12(b), the mean cost function is not monotonic, since it only calculates the cost of successful attempts. For example, the mean cost of RRT* increases from 9 to 12 seconds. It can be estimated that the success rate of RRT* increases during this period of time, while the cost of new solutions achieved by RRT* is larger than before. Therefore, the harmonic mean cost, namely the harmonic mean of cost, is also adopted to describe the performance of asymptotically optimal planners. This has two advantages over mean cost. First, since the harmonic mean cost can deal with the infinite cost, it considers all attempts rather than successful attempts only, which delivers a closer indication of real performance. Second, to some extent, the harmonic mean cost can reflect the success rate of algorithms. In other words, it combines two main measures of asymptotically optimal planners. If two methods have the same success rate, the harmonic mean cost only reflects the difference in terms of real cost.

4.3 Comparing with State-of-the-art Asymptotically Optimal Planners

For each scenario, we show three graphs. The first shows the success rate of finding an initial feasible solution as a function of time. The second shows the mean cost of successful attempts as a function of time. The third shows the harmonic mean cost as a function of time.

It should be noted that the mean cost of successful attempts may not be able to describe the real performance of asymptotically optimal planners when the success rate is relatively low. As shown in Fig.12(b), the mean cost function is not monotonic, since it only calculates the cost of successful attempts. For example, the mean cost of RRT* increases from 9 to 12 seconds. It can be estimated that the success rate of RRT* increases during this period of time, while the cost of new solutions achieved by RRT* is larger than before. Therefore, the harmonic mean cost, namely the harmonic mean of cost, is also adopted to describe the performance of asymptotically optimal planners. This has two advantages over mean cost. First, since the harmonic mean cost can deal with the infinite cost, it considers all attempts rather than successful attempts only, which delivers a closer indication of real performance. Second, to some extent, the harmonic mean cost can reflect the success rate of algorithms. In other words, it combines two main measures of asymptotically optimal planners. If two methods have the same success rate, the harmonic mean cost only reflects the difference in terms of real cost.

4.3.1 Numerical Experiments under Challenging Scenarios with Narrow Passages

**Bugtrap-hardest**: the challenging bugtrap problem is proposed in [35]. To escape the bugtrap, the robot has to pass a narrow opening, while the size of free space is considerably larger than the size of narrow opening. Simulation results for this problem are depicted in Fig.12 and Tab. 3. Our method indicated a higher success rate than other methods, achieving 93% in 20 seconds, while RRT* achieved 30% and the methods with a bridge test sampler remained at 0%. As the boundary nodes were always selected for invalid extensions, RRT* was not considered useful for this scenario. It is difficult to get a sample from a bridge test sampler in large open areas and as such, aFMT* +bridge and aFMT*+bridgestest+ymin were unable to find a solution after 20 seconds. Although the success rates of aFMT* and aFMT*+ymin were similar to our method at 20 seconds, Tab.3 shows that the average number of states for our method were about 11% of the other two methods. The reason for this is that the uniform sampler wasted many samples in the large open areas of the bugtrap-hardest scenario, while our method solved this problem by adjusting the proportion of three types of samples. Taking advantage of FMT*, our method had a lower mean cost than RRT* and reached the lowest harmonic mean cost.

**Apartment**: the particularly challenging apartment scenario is highly cluttered with obstacles. As its freeRatio is low, it is difficult to obtain a free sample. Even worse, the goal position of this problem lies in a narrow corridor. Fig. 13 and Tab.3 present simulation results. Compared to other methods, our method achieved significant improvement
Figure 12. Simulation results for bugtrap-hardest in 2D space

Figure 13. Simulation results for apartment in 3D space

Figure 14. Simulation results for abstract in 3D space

Figure 15. Simulation results for twistycool in 3D space
on success rate over 20 seconds, i.e., 84% and 63% higher than aFMT* and RRT*, respectively. This improvement can be attributed to our region classification and boosting, which can effectively identify and boost difficult regions, and save a significant number of samples in the useless regions. As this scenario is cluttered with obstacles, which is suited for building bridges, aFMT*+bridge and aFMT*+bridge test+ymin also had higher success rates than methods employing a uniform sampler. However, without a boosting strategy, their success rates were still lower than our method. Although the mean cost of our method was somewhat higher than RRT*, we can easily make up this difference by applying a post-processing method, e.g., a short cut method [36]. Additionally, as shown in Tab.3, our method required roughly 35% less states than RRT*. Again, our method reached the lowest harmonic mean cost.

Abstract: the main difficulty of the abstract scenario is that the start position of the robot is quite restricted. Moving out of the horizontal cylinder is difficult and requires several attempts. The plots for this scenario, given in Fig.14, show similar conditions to those found for the apartment scenario. Again, our method achieved the highest success rate over 20 seconds, i.e., 94% and 46% higher than aFMT* and RRT*, respectively. In this scenario, RRT*, aFMT*+bridge and aFMT*+bridge test+ymin performed much better than methods employing a uniform sampler. The reasons for this can be explained as follows. First, it is much easier for RRT* to explore a narrow passage from the inside to the outside than to find the entrance to a narrow passage. Second, there are relatively open areas in this scenario, while more samples focus on the narrow passages by using a bridge test sampler. Moreover, the methods applied in the modified locally optimal one-step connection strategy (aFMT+ymin, aFMT+bridge test+ymin) had a higher success rate than methods without this strategy (aFMT, aFMT+bridge test). Fig.14(b) shows that our method had a lower cost than RRT*. As expected, our method had the lowest harmonic mean cost.

Twistycool: twistycool contains a wall with a small hole and useless open areas, and the difficulty of this scenario arises from the small hole that the robot must move through. Results in Fig.15(a) and Tab.3 show few differences from those in the previous three scenarios. First, the methods with a bridge test sampler (aFMT*+bridge test, aFMT*+bridge test+ymin) had a higher success rate than our method over 20 seconds and the states they needed were less than for our method. Most of the samples achieved by the bridge test sampler were adjacent to the wall, which was sufficient for FMT* to find a path through the hole. In other words, the bridge test sampler is particularly well-suited to this scenario, while our method wasted some samples in open areas. Even so, our method still had a higher success rate and fewer states than RRT*. Second, the mean cost of our method was lower than for methods with a bridge test sampler and higher than RRT*. Therefore, the methods with a bridge test sampler had the lowest harmonic mean cost over 12 seconds, while our method had the lowest harmonic mean cost after 12 seconds.

Some methods can achieve a higher success rate over a shorter period of time in these challenging scenarios, e.g., kinodynamic motion planning by interior-exterior cell exploration (KPIECE) [37] in the abstract scenario and RRT-connect [38] in the apartment scenario. However, since path quality is not considered by these methods, comparisons were not made to them.

4.3.2 Numerical Experiments under Scenarios without Narrow Passages

Maze: navigating a maze is a prototypical problem for path planners. Simulation results for this problem are shown in Fig.16 and Tab.3. Since there were no narrow passages in this scenario, our method and other aFMT* methods had similar success rates, all higher than RRT*. The success rates of all the methods rose rapidly to 100%, the methods with a bridge test sampler were slightly slower than methods employing a uniform sampler and our method. The reason for this is that a uniform sampler performs fewer collision checks per sample than a bridge test sampler. Shown in Tab.3, the number of states for our method was higher than for other aFMT* methods, which can be explained as having resulted from our boosting strategy. Moreover, as our method employed more states, we had a lower mean cost and a lower harmonic mean cost than other aFMT* methods.

Barriers: barriers present another prototypical scenario for path planners. The results for this scenario, given in Fig.
1.22 | 2.06 | 2.10 | 0.87 | 5 | 2.43 | 17.82 | 5.26 | 3 | 18.81 | 18.65 | 2.97 | 7.01 | - | 1.51 | 18.81 | 12.49 | 88%

Our method | 1 | 2 | 1.57 | 657 | 1965 | 1314 | 9302 | 53125 | 27457 | 3.74 | 18.65 | 10.30 | 87%

### abstract

| scenario | method | iteration | state | collision check | time(s) | success rate |
|----------|--------|----------|-------|---------------|---------|--------------|
|          | min    | max      | avg   | min           | max     | avg          | min   | max     | avg   |
| aFMT*    | 1 | 2 | 4.07 | 1002 | 8002 | 4817 | 7473 | 23861 | 19452 | 2.85 | 15.78 | 11.21 | 27%
| aFMT* + bridge test | 1 | 3 | 1.26 | 502 | 502 | 638 | 505 | 17786 | 9674 | 2.69 | 13.30 | 10.55 | 18%
| aFMT* + ymin | 2 | 5 | 4.06 | 1002 | 8002 | 4752 | 21687 | 51424 | 41217 | 5.26 | 19.95 | 13.97 | 32%
| aFMT* + bridge test + ymin | 1 | 2 | 1.06 | 502 | 1002 | 532 | 963 | 51789 | 14762 | 2.29 | 14.85 | 5.42 | 100%
| RRT*     | 1097 | 43305 | 24175 | 1120 | 12465 | 7223 | 3540 | 61188 | 34707 | 1 | 20 | 10.66 | 70%
| Our method | 1 | 4 | 2.61 | 524 | 14206 | 2768 | 7514 | 63742 | 39517 | 1.17 | 19.12 | 8.11 | 99%

### twistycool

| scenario | method | iteration | state | collision check | time(s) | success rate |
|----------|--------|----------|-------|---------------|---------|--------------|
|          | min    | max      | avg   | min           | max     | avg          | min   | max     | avg   |
| aFMT*    | 1 | 2 | 1.08 | 502 | 1002 | 542 | 2677 | 7429 | 3544 | 0.66 | 1.89 | 0.88 | 100%
| aFMT* + bridge test | 1 | 2 | 1 | 502 | 1002 | 507 | 2907 | 7506 | 3635 | 0.84 | 2.22 | 1.02 | 100%
| aFMT* + ymin | 1 | 2 | 1.01 | 502 | 1002 | 507 | 3712 | 9730 | 4304 | 0.83 | 2.43 | 1.00 | 100%
| aFMT* + bridge test + ymin | 1 | 1 | 1 | 502 | 502 | 502 | 3976 | 5259 | 4551 | 1.02 | 1.43 | 1.21 | 100%
| RRT*     | 1901 | 15373 | 8146 | 245 | 3602 | 1598 | 3574 | 27436 | 16000 | 0.5 | 5 | 2.88 | 92%
| Our method | 1 | 1 | 1 | 590 | 805 | 685 | 4566 | 6433 | 5441 | 0.64 | 0.87 | 0.75 | 100%

### maze

| scenario | method | iteration | state | collision check | time(s) | success rate |
|----------|--------|----------|-------|---------------|---------|--------------|
|          | min    | max      | avg   | min           | max     | avg          | min   | max     | avg   |
| aFMT*    | 1 | 4 | 1.54 | 502 | 4002 | 807 | 4207 | 7429 | 3544 | 0.66 | 1.89 | 0.88 | 100%
| aFMT* + bridge test | 1 | 2 | 1.14 | 502 | 1002 | 572 | 1760 | 5555 | 2814 | 0.69 | 2.10 | 1.00 | 100%
| aFMT* + ymin | 1 | 3 | 1.25 | 502 | 2002 | 637 | 1380 | 6774 | 2866 | 0.37 | 2.06 | 0.72 | 100%
| aFMT* + bridge test + ymin | 1 | 1 | 1 | 502 | 502 | 502 | 2543 | 4311 | 3629 | 0.81 | 1.22 | 1.06 | 100%
| RRT*     | 5700 | 28114 | 15382 | 248 | 2100 | 926 | 7854 | 35764 | 19602 | 0.75 | 4 | 1.92 | 98%
| Our method | 1 | 2 | 1.01 | 571 | 1478 | 689 | 2135 | 4594 | 3563 | 0.37 | 0.93 | 0.57 | 100%

### barrier

| scenario | method | iteration | state | collision check | time(s) | success rate |
|----------|--------|----------|-------|---------------|---------|--------------|
|          | min    | max      | avg   | min           | max     | avg          | min   | max     | avg   |
| aFMT*    | 1 | 4 | 1.54 | 502 | 4002 | 807 | 4207 | 7429 | 3544 | 0.66 | 1.89 | 0.88 | 100%
| aFMT* + bridge test | 1 | 2 | 1.14 | 502 | 1002 | 572 | 1760 | 5555 | 2814 | 0.69 | 2.10 | 1.00 | 100%
| aFMT* + ymin | 1 | 3 | 1.25 | 502 | 2002 | 637 | 1380 | 6774 | 2866 | 0.37 | 2.06 | 0.72 | 100%
| aFMT* + bridge test + ymin | 1 | 1 | 1 | 502 | 502 | 502 | 2543 | 4311 | 3629 | 0.81 | 1.22 | 1.06 | 100%
| RRT*     | 5700 | 28114 | 15382 | 248 | 2100 | 926 | 7854 | 35764 | 19602 | 0.75 | 4 | 1.92 | 98%
| Our method | 1 | 2 | 1.01 | 571 | 1478 | 689 | 2135 | 4594 | 3563 | 0.37 | 0.93 | 0.57 | 100%

Table 3. Simulation Results

17, are similar to those of the maze method. Again, our method and other aFMT* methods reached a success rate of 100% faster than RRT*. Our method had the lowest mean cost and the lowest harmonic mean cost. The maximum, minimum and average number of states, iterations, collision checks, as well as the time utilized by each method to find an initial feasible solution in a given time (20s in the first four scenarios, 5s in the final two scenarios), are presented in Tab.3. The results show that our method performed well in all six scenarios, particularly in the challenging scenarios with narrow passages. First, the superiority of our method is revealed both in its higher
success rate and lower harmonic mean cost. Second, compared to other non-uniform sampling strategies and region-based methods, our method allows for the automatic tuning of parameters and is scenario-independent.

5. Conclusions and Future Work

In this paper, a region-specific hybrid sampling method is presented, which significantly enhances the performance of FMT* in the scenarios containing narrow passages. Unlike related region-based sampling methods, the configuration space is globally characterized by the proportion of uniform, Gaussian and bridge samples, and then locally captured by regions with a different radius. Our method attempts to classify regions by integrating global scenario information and local region information, and increase the number of samples in difficult regions. Where our method is unable to find a solution for the initial sample set, more samples – which are biased to difficult regions – will be added to the previous sample set. Our method outperformed other methods, since it captures rich global scenario and local region information in a statistical manner and adjusts parameters automatically according to this information. Experimental results pertaining to six scenarios validated the efficiency of our method.

Despite our method working well in simulations, there remain approaches for enhancing it further. For example, information achieved from FMT* is not employed in our method. It may be possible to use more information for updating regions into having a new status, thus increasing the efficiency of our method.

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