Word-order typology in Multilingual BERT: 
A case study in subordinate-clause detection

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Abstract

The capabilities and limitations of BERT and similar models are still unclear when it comes to learning syntactic abstractions, in particular across languages. In this paper, we use the task of subordinate-clause detection within and across languages to probe these properties. We show that this task is deceptively simple, with easy gains offset by a long tail of harder cases, and that BERT’s zero-shot performance is dominated by word-order effects, mirroring the SVO/VSO/SOV typology.

1 Introduction

Analysing the ability of pre-trained neural language models, such as BERT (Devlin et al., 2019), to abstract grammatical patterns from raw texts has become a prominent research question (Jawahar et al., 2019; Rogers et al., 2020). Results remain mixed. While BERT-based models have been shown to learn syntactic representations that are similarly structured across languages (Chi et al., 2020), some grammatical patterns, such as discontinuous constituents, remain challenging for them even when training data is plentiful (Kogkalidis and Winholds, 2022). In practical terms, zero-shot performance of BERT-based models is lower for typologically distant languages (Pires et al., 2019), and they can profit from direct exposure to typological features during fine-tuning (Bjerva and Augenstein, 2021).

In this study, we add another datapoint to the conversation by analysing the ability of BERT-based models to capture the distinction between main and subordinate clauses across languages. This task is promising for two reasons. First, it highlights variability in the way main and subordinate clauses are structured across languages, thus acting as an informative probe into the relationship between BERT and typological categories. Second, the task is arguably relevant for downstream performance on natural-language understanding, where (some notion of) syntactic scope and compositionality should support tasks such as analysing commitment (Jiang and de Marneffe, 2019; Zhang and de Marneffe, 2021) or factuality (Lotan et al., 2013), text simplification (Sikka and Mago, 2020), or paraphrase detection (Timmer et al., 2021). In order to operationalise it in a cross-lingual fashion, we use the Universal Dependencies framework (UD; Nivre et al., 2020) with its large multilingual collection of corpora.

Our analysis proceeds in two stages. First, we survey the performance of BERT models fine-tuned and tested on the same language across 20 typologically diverse languages (§ 3). For the majority of languages, distinguishing main and subordinate clauses is easily solved with base-size models and relatively small training sets. However, some languages demonstrate a non-negligible number of errors, which we analyse.

Then we study the performance of Multilingual BERT (mBERT) in a zero-shot setting (§ 4), where we fine-tune the model on labeled data in 10 different languages and then test its performance on 31 datasets representing 27 different languages. We find that the performance of mBERT is dominated by word-order effects well known from the typological literature (Comrie, 1981): the Arabic model shows best-in-class performance on Irish, and the Japanese model has best-in-class performance on Korean, while both have poor performance overall. European languages with large training sets provide good inductive bias for typologically diverse languages but fail on SOV languages.

2 Experimental Setup

Data To make our analysis maximally comparable across languages, we start from the Parallel Universal Dependencies (PUD) collection (Zeman et al., 2017), which contains translations for a set of 1000 English sentences. PUD only contains test corpora. As these are too small to be further
split into train/test subsets, we use other corpora to fine-tune the models. We also add corpora for languages not covered by PUD for better typological coverage. See Appendix § A.2 for the full list.

**Model** The experimental setup is identical in the single-language and zero-shot settings. A pre-trained mBERT model (a variant of bert-base) and several pre-trained single-language BERT models, all provided by HuggingFace (Wolf et al., 2020), are fine-tuned on the binary classification of predicates into main vs. subordinate clauses. We operationalize main clauses as those headed by predicates with the UD label root and subordinate clauses through the UD labels acl, ccomp, advcl, csubj, and xcomp. The last hidden state of the embedding model for the first subword of each predicate is fed to a two-layer MLP with a tanh activation after the first layer, and the model is fine-tuned using cross-entropy loss. For the single-language setup, the model is fine-tuned for five epochs, and we report the best result on the validation set. Most models begin overfitting after the second epoch, so in the zero-shot setting all models are fine-tuned for two epochs.

### 3 Single-Language Models

The main results obtained by the models fine-tuned and tested on the same language are shown in Table 1. Results are above 90% for almost all languages, while a majority baseline (always assign subordinate clause) attains an accuracy of 50–70% depending on the language. (Table 3 in the Appendix provides more details about the models and corpora, including exact baseline results.) At first glance, neither the size of the training set nor the size of the model seem to be a major factor: mBERT demonstrates better performance when fine-tuned on the small Afrikaans and Hebrew datasets than when trained on a bigger Chinese dataset. When fine-tuned on the English data, it attains the same performance as an English-only bert-large.\(^1\)

A more fundamental distinction seems to exist between major European languages, the results on which are generally at > 97% accuracy (except for German), and Mandarin Chinese, Vietnamese, and Korean where results are around 90%. Our analysis indicates that these differences are partly due to discrepancies in UD annotations across corpora but also due to genuine syntactic differences. An example of an annotation-related confound is the treatment of quotations. The PUD corpora that we use preferentially as test sets treat quotations as sentential complements of communication verbs. Some of the corpora we use for fine-tuning, however, analyse the cases where quotation precedes the verb of speech as parataxis. The head predicate of the quotation therefore receives the label root and becomes the main predicate of the whole sentence, leading to spurious mistakes in the analysis of PUD corpora, where they are annotated as ccomp’s. This discrepancy accounts for the lion’s share of classification mistakes in German and some mistakes in Mandarin.

In contrast, an example of genuine ambiguity is provided by the Mandarin gênjû construction. This construction means ‘according to’ and can incorporate both nominal and verbal constituents. Thus, gênjû shàng biáogé zhòng qì gê yuánsù de guánxì from the Mandarin GSD corpus, which we used for fine-tuning, means ‘based on the relationship of the seven elements in the above table’, and the annotation treats this construction as an oblique prepositional phrase. Cf. the following example from the Mandarin PUD corpus: gênjû kêxing xing yánjiú gújì ‘according to the feasibility study / the feasibility study estimates that / as the feasibility study estimates’. The analysis of this sentence in PUD makes gújì ‘estimate’ the main predicate of the sentence, while an alternative analysis would make it the head of an adverbial clause, and yet another analysis would label it as a nominal element. The ability of Mandarin words to act as different parts of speech in different contexts (especially in case of verbs, which can act as clause heads, auxiliaries, complementisers, and compound elements)

| Language | Mandarin | Vietnamese | Korean | Arabic | Hindi | German | Armenian | Turkish | Welsh | Indonesian |
|----------|----------|------------|--------|--------|-------|--------|----------|---------|-------|------------|
| Accuracy | 88.7     | 90         | 90.4   | 91.2   | 93.6  | 94.1   | 94.3     | 95.1    | 95.6  | 96         |

| Language | Basque | Spanish | Irish | English | Hebrew | Afrikaans | French | Japanese | Czech | Russian |
|----------|--------|---------|-------|---------|--------|-----------|--------|----------|--------|--------|
| Accuracy | 96.9   | 97.1    | 97.4  | 97.9    | 98.2   | 98.8      | 99     | 99.1     | 99.6  | 99.7   |

Table 1: Performance of single-language models.
makes this kind of disambiguation difficult even for human annotators, which in turn makes it hard to formulate the exact rule that language models are supposed to extract from the data. A similar situation holds for Vietnamese.\(^2\)

A different type of systematic ambiguity is presented by Korean, which also demonstrates poorer performance. Korean has about sixty markers connecting two clauses, and many of those allow for both coordinative and conjunctive readings, which makes either the first or the second clause the main one, respectively (Cho and Whitman, 2020, 220–227). Examples of this type are responsible for a large share of mistakes in Korean.

Overall, these results indicate that subordinate-clause detection is a long-tail task: major easily learnable patterns account for more than 90% of test cases for all languages, but in some languages there is an assortment of harder cases that prevent language models from efficiently generalising.

4 Zero-Shot Setting

4.1 Quantitative Results

We now turn to the analysis of the performance of the models in the zero-shot setting. The model described in § 2 is fine-tuned for two epochs on five European languages (English, Russian, Czech, French, and German) and five Eurasian languages (Standard Arabic, Mandarin Chinese, Turkish, Korean, and Japanese) with larger training corpora (the ones shown in Table 3). Each of the fine-tuned models is then applied in a zero-shot way to a range of test corpora from the UD collection.\(^3\)

Based on the results in Table 2, several observations can be made. First, there is a set of European languages with large training corpora that can act as ‘general approximators’: they demonstrate high performance across the board. The best overall performance is attained by Russian, which has the second-largest training corpus (nearly 33k sentences). German, with the largest training corpus (nearly 56k sentences) performs worse than both Russian and English (the second best, with only circa 6k training sentences). While this good result for English may be attributed to more informative pre-training (English Wikipedia is much larger than the German one), such a bias would also have favoured German compared to Russian. An alternative explanation is provided by the more idiosyncratic German word-order patterns (V2 in main clauses vs. V-last in subordinate clauses), which help it achieve best-in-class performance on the similar Afrikaans. Notably, Russian beats English even though PUD corpora were translated from English and therefore should contain some traces of its morphosyntactic patterns (Rabinovich et al., 2017; Nikolaev et al., 2020).

At the other end of the spectrum, we find mediocre general approximators (Arabic, Turkish) and outright bad ones (Japanese and Korean). At first glance, their performance could be an artefact of lower-quality annotations or suboptimal tokenisation (Mielke et al., 2021). This, however, does not explain a remarkable set of results that is clearly due to word-order patterns. While the fine-tuned model for Arabic, a VSO language, performs worse on its own test corpus than models fine-tuned on European languages, it provides best-in-class performance on Irish, another VSO language (96% accuracy). The English-based model is not far behind (95%), but given the overall large gap in performance between them across the board, it seems that congruent word-order patterns provide a strong inductive bias for subordinate-clause identification.

Unfortunately, VSO languages are rare,\(^4\) and it is impossible to check if this pattern generalises to other language pairs. However, our test-corpus suite includes data on strict SOV languages (Japanese, Korean) and languages where SOV is the dominant (Hindi, Turkish) or a common (Mandarin, Basque) pattern. These provide us with a large number of language pairs with different degrees of word-order congruence and fairly clear patterns of model performance. First, universal approximators, despite good performance on VSO languages, struggle on strict SOV languages, especially Japanese, while SOV languages demonstrate consistently good performance among themselves. E.g., Korean demonstrates best-in-class performance on Turkish, tied with Turkish itself, while Japanese has best-in-class performance on Korean. Turkish also demonstrates decent perfor-

\(^2\)Syntactic category classification for Vietnamese is still in debate. That lack of consensus is due to the unclear limit between the grammatical roles of many words as well as the frequent phenomenon of syntactic category mutation” (Nguyen et al., 2004).

\(^3\)Where available, we experiment with two test sets for the same language to assess domain-induced variance. As Table 2 shows, the difference in scores between different testing corpora for the same language can reach 5–6%, but it does not change the overall pattern.

\(^4\)Out of 1376 languages in WALS (Dryer and Haspelmath, 2013), 95 are VSO, 564 are SOV and 488 are SVO.
Another language with strong SOV tendencies is Mandarin Chinese, which has been argued to be in transition from SVO to SOV order (Sun and Givón, 1985). Mandarin, which we already found difficult to model in § 3, is very hard to generalise to, with no source languages attaining accuracy above 71–72%. Tellingly, Turkish is the only other language with decent results on both Mandarin test sets. Mandarin is also the only language to always beat the majority-class baseline.

### 4.2 Case Study: English–Mandarin

In order to get a better understanding of the difficulties that models face in the zero-shot setting we analysed the mistakes that the English-based fine-tuned model made when making predictions on Mandarin data.

Setting aside errors stemming from annotation discrepancies, the major source of model mistakes seems to be the fact that Mandarin complex sentences are predominantly right-headed: 99% of **advcl**, 100% of **acl**, and 96% of **dep** have their parent node to the right. In contrast, 75% of English **advcl** and 98% of English **acl** are left-headed in PUD. This makes an English-based zero-shot model prejudiced against finding root nodes in the final clause of the sentence, and it incorrectly analyses a wide range of right-headed Mandarin complex clauses. Statistically, there are 142 sentence-initial subordinate clauses mistakenly analysed as main clauses and only 6 reverse errors. By contrast, there are 278 sentence-final main clauses mistakenly analysed as subordinate ones and 82 reverse errors.

Sometimes this divergence further interacts with ways in which English and Mandarin alternate between clause coordination and subordination. Thus, Mandarin tends to describe sequences of events as a pair of an adverbial clause and a main clause (after having taken a shower, he dried himself) instead of as two coordinated clauses (he took a shower and he dried himself). English UD treats the first conjoined clause as the matrix one, while it is often **advcl** in Mandarin, and the absence of overt unambiguous complementisers makes it hard to

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**Table 2: Performance of zero-shot models.** Rows: source languages; columns: target languages and corpora. Underlined values fail to beat the majority-class baseline (always predict subordinate clause). See § A.1 for language abbreviations and § A.2 for details about corpora.

|       | pl pud | pt pud | ru pud | ru syntag | sv pud | eu pud | hi pud | tr pud | ja gsd | ja pud | ko pud | vi th pud | zh gsd | zh pud | mean |
|-------|--------|--------|--------|-----------|--------|--------|--------|--------|--------|--------|--------|-----------|--------|--------|------|
| English | 95     | 97     | 93     | 93        | 96     | 88     | 87     | 83     | 66     | 70     | 67      | 82        | 84     | 67     | 71   |
| Russian| 98     | 95     | 100    | 95        | 98     | 87     | 90     | 90     | 68     | 72     | 70      | 79        | 80     | 64     | 69   |
| Czech  | 97     | 93     | 94     | 96        | 92     | 87     | 88     | 88     | 64     | 66     | 68      | 78        | 79     | 65     | 71   |
| French | 98     | 96     | 98     | 98        | 87     | 90     | 90     | 90     | 68     | 72     | 70      | 79        | 80     | 64     | 69   |
| German | 89     | 94     | 93     | 98        | 97     | 81     | 86     | 78     | 64     | 66     | 68      | 77        | 76     | 63     | 69   |
| Arabic | 85     | 86     | 85     | 89        | 71     | 70     | 65     | 57     | 59     | 74      | 79        | 66      | 66     | 80   |
| Mandarin| 85    | 85     | 89     | 85        | 89     | 88     | 87     | 89     | 80     | 78     | 77      | 74        | 80     | 81     | 84   |
| Turkish | 76     | 73     | 71     | 71        | 69     | 79     | 83     | 64     | 82     | 83     | 88      | 63        | 68     | 72     | 71   |
| Korean | 66     | 58     | 59     | 59        | 53     | 74     | 76     | 94     | 87     | 88     | 88      | 52        | 61      | 67     | 66   |
| Japanese| 54     | 52     | 55     | 55        | 50     | 57     | 63     | 99     | 98     | 95     | 54      | 70        | 72     | 66     | 60   |

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5E.g., as discussed in §3, the model expects direct quotes to have the form **ccomp** (quote) + **root** (verb of speech) and not **root** (quote) + **parataxis** (verb of speech).

6dep labels different kinds of hard-to-analyse relations and is frequent in Mandarin PUD (397 occurrences).
for the model to see beyond mere frequencies.

A similar situation obtains with some English postposed descriptive subordinate clauses, such as it’s X–that Y constructions and non-restrictive relative clauses. In these cases, Mandarin uses a coordinative construction, in which the head, according to the UD analysis, is on the right conjunct, corresponding to the English acl, and the first conjunct is attached to it using the dep label. Again, the English-based model expects to find the root in the first of the two clauses, and there is no overt complementiser to suggest otherwise.

4.3 Attention Patterns

An analysis of the properties of the models underlying these findings is beyond the scope of this paper, but preliminary checks of the attention patterns show that successful models strongly attend to complementisers in the last two layers. As SVO and VSO languages tend to have complementisers before subordinate clauses and SOV languages after (Hawkins, 1990), fine-tuning biases models towards looking for them in only one direction. The attention of subordinate-clause heads to main-clause heads is weaker, presumably due to higher lexical variety in that position.

5 Related Work

Both aspects of our analysis – subordinate-clause detection and the study of word-order effects – have been addressed but not in conjunction and not in a multiple-source-language setting. Our study extends previous approaches by providing a ZS ‘upper baseline’ derived from the study of the performance of several monolingual models and then conducting a novel many-sources-to-many-target analysis of zero-shot performance.

Lin et al. (2019) test BERT on the auxiliary-classification task (main vs. subordinate clause) as part of their investigation of BERT’s linguistic knowledge. Rönnqvist et al. (2019) extend this analysis to the multilingual setting with a focus on Nordic languages.

Word-order differences have been shown to impact the performance of English-based cross-lingual models, especially in the domain of syntactic parsing (Ahmad et al., 2019) and with tasks that rely on syntactic information (Liu et al., 2020; Arviv et al., 2021), while reordering has been long known to be an efficient preprocessing step in syntactic transfer (Rasooli and Collins, 2019) and machine translation, both statistical (Wang et al., 2007) and neural (Chen et al., 2019).

6 Conclusion

We extend previous work on syntactic capabilities of BERT, mostly focusing on English, by providing a more comprehensive analysis of its performance on the task of subordinate-clause detection in multiple languages and language pairs in the zero-shot setting. We show that the performance of single-language models is uneven across languages: East and Southeast Asian languages with less rigid boundaries between POS categories and coordination and subordination prove harder to model. We also show that mBERT’s performance in the zero-shot setting, while being largely correlated with the size of the pre-training and fine-tuning corpora, with Russian being the best source language across the board, is well aligned with the word-order topology: language pairs with congruent word orders demonstrate better results, with both SVO and SOV orders having higher in-group than across-group accuracies. A single pair of VSO languages in the data further corroborates this finding, showing that the verb-final order is not important per se.

The clause-initial position of complementisers in VSO languages partly blurs this effect and helps SVO languages with large training corpora serve as good sources for fine-tuning, but even Russian and English fail on SOV languages, where complementisers tend to be postposed and dependent-clause predicates never appear in the sentence-final position. This shows that at least for some tasks, training on a single source language is not enough. Moreover, our results from single-language modelling seem to indicate that even superficially simple syntactic tasks vary in difficulty across languages, which imposes a hard limit on how well cross-lingual projection can perform.

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1 It’s fantastic that they got the Paris Agreement, but...
2 However, they could not find this same pattern in tissues such as the bladder, which are not directly exposed.
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A Appendix

A.1 Abbreviations

af Afrikaans
ar Standard Arabic
cs Czech
cy Welsh
de German
en English
es Spanish
eu Basque
ga Irish
fi Finnish
fr French
he Hebrew
hi Hindi
hy Eastern Armenian
id Indonesian
is Icelandic
it Italian
ja Japanese
ko Korean
pl Polish
pt Portuguese
ru Russian
sv Swedish
th Thai
tr Turkish
vi Vietnamese
zh Mandarin Chinese

A.2 Corpora

In addition to the Parallel Universal Dependencies collection (Zeman et al., 2017), the following corpora were used to train and/or validate models:

- Afribooms: UD Afrikaans-AfriBooms,
  https://github.com/UniversalDependencies/UD_Afrikaans-AfriBooms
- ArmTDP: Universal Dependencies treebank for Eastern Armenian,
  https://github.com/UniversalDependencies/UD_Armenian-ArmTDP
- BDT: Basque UD treebank,
  https://github.com/UniversalDependencies/UD_Basque-BDT
- CCG: Corpws Cystrawennol y Gymraeg (Syntactic Corpus of Welsh),
  https://github.com/UniversalDependencies/UD_Welsh-CCG
- EWT: Universal Dependencies English Web Treebank,
  https://github.com/UniversalDependencies/UD_English-EWT
- GSD (French): UD French GSD,
  https://github.com/UniversalDependencies/UD_French-GSD (Guillaume et al., 2019)
- GSD (Japanese): UD Japanese Treebank,
  https://github.com/UniversalDependencies/UD_Japanese-GSD
- GSD (Korean): Google Korean Universal Dependency Treebank,
  https://github.com/UniversalDependencies/UD_Korean-GSD (Chun et al., 2018)
- GSD (Mandarin): Traditional Chinese Universal Dependencies Treebank,
  https://github.com/UniversalDependencies/UD_Chinese-GSD
A.3 Single-language model results

The results attained by the models fine-tuned and tested on the same language are shown in Table 3. See § A.2 for the details about the train and test corpora.
### Table 3: Performance of single-language models across languages.

| Language   | Train corpus | Test corpus | Model          | #Train | #Test | Main-Main | Main-Sub | Sub-Main | Sub-Sub | Acc.  |
|------------|--------------|-------------|----------------|--------|-------|-----------|----------|----------|---------|-------|
| Mandarin   | GSD-Train    | PUD         | mBERT HFL-BERT-WWM | 3196   | 736   | 556       | 180      | 122      | 1364    | 86.4  |
| Mandarin   | GSD-Train    | PUD         | mBERT           | 3196   | 736   | 570       | 166      | 85       | 1401    | 88.7  |
| Vietnamese | VTB-Train    | VTB-Dev     | mBERT           | 1105   | 619   | 510       | 109      | 90       | 1283    | 90    |
| Korean     | GSD-Train    | PUD         | mBERT           | 2201   | 618   | 603       | 15       | 149      | 936     | 90.4  |
| Arabic     | PUDT-Train   | PUD         | mBERT           | 3755   | 520   | 436       | 84       | 31       | 752     | 91.2  |
| Hindi      | HDTB-Train   | PUD         | mBERT           | 5167   | 565   | 506       | 59       | 32       | 831     | 93.6  |
| German     | HDT-Train    | PUD         | mBERT           | 55938  | 441   | 427       | 14       | 49       | 578     | 94.1  |
| Armenian   | ArmTDP-Train | ArmTDP-Dev  | mBERT           | 1165   | 149   | 145       | 4        | 21       | 269     | 94.3  |
| Turkish    | KENET-Train  | PUD         | mBERT           | 6784   | 731   | 653       | 78       | 25       | 1338    | 95.1  |
| Welsh      | CCG-Train    | CCG-Dev     | mBERT           | 377    | 341   | 315       | 26       | 27       | 824     | 95.6  |
| Indonesian | GSD-Train    | PUD         | mBERT           | 2770   | 572   | 553       | 19       | 42       | 923     | 96    |
| Basque     | BDT-Train    | BDT-Dev     | mBERT           | 3181   | 1029  | 979       | 50       | 39       | 1758    | 96.9  |
| Spanish    | GSD-Train    | PUD         | mBERT           | 7247   | 548   | 513       | 35       | 5        | 824     | 97.1  |
| Irish      | IDT-Train    | IDT-Dev     | mBERT           | 2323   | 236   | 226       | 10       | 8        | 441     | 97.4  |
| English    | EWT-Train    | PUD         | mBERT LARGE-CASED | 5968   | 556   | 529       | 27       | 4        | 915     | 97.9  |
| Hebrew     | HTB-Train    | HTB-Dev     | mBERT           | 2342   | 206   | 201       | 5        | 4        | 297     | 98.2  |
| Afrikaans  | Afribooms-Train | Afribooms-Train | mBERT           | 643    | 97    | 96        | 1        | 2        | 142     | 98.8  |
| French     | GSD-Train    | PUD         | mBERT TOHOKU-BERT-LARGE | 7712   | 572   | 563       | 9        | 6        | 956     | 99    |
| Japanese   | GSD-Train    | PUD         | mBERT           | 5101   | 844   | 838       | 8        | 18       | 2090    | 99.1  |
| Czech      | PDT-Train    | PUD         | mBERT           | 26277  | 504   | 502       | 2        | 3        | 779     | 99.6  |
| Russian    | Syntagrus-Train | PUD         | mBERT           | 32851  | 595   | 593       | 2        | 2        | 961     | 99.7  |

#Train and #Test denote the number of sentences in the train and test corpus respectively. In the ‘Main-Main’, ‘Main-Sub’, ‘Sub-Main’, and ‘Sub-Sub’ columns, the part before the hyphen is the gold label of a predicate (main/subordinate clause) and the second part is the guessed label. Acc: Accuracy.