Thienopyrrolo[3,2,1-jk]carbazoles: Building Blocks for Functional Organic Materials

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ABSTRACT: The facile preparation of three regioisomeric thienopyrrolo[3,2,1-jk]carbazoles applying a convenient C–H activation approach is presented. The incorporation of thiophene into the triarylamidine framework significantly impacted the molecular properties in comparison to the analogous indolo[3,2,1-jk]carbazole scaffold. Dependent on the exact substitution pattern, the absorption onsets of the new materials are shifted toward slightly higher wavelengths compared to the analogous indolo[3,2,1-jk]carbazole, whereas the emission maxima of the sulfur derivatives is shifted from 375 to 410 nm. In analogy, the HOMO–LUMO energy gap of the thienopyrrolo[3,2,1-jk]carbazoles is reduced compared to indolo[3,2,1-jk]carbazole. Therefore, the developed thienopyrrolo[3,2,1-jk]carbazoles enrich the family of triarylamidine donors and constitute a novel building block for functional organic materials.

The rapid development of functional organic materials and their applications (e.g., organic photovoltaic (OPV),
organic field effect transistors (OFETs),
organic light emitting diodes (OLEDs),
sensing technology)
requires new molecular building blocks for the design and synthesis of novel organic compounds. The possibility to tune molecular properties as well as the macroscopic features (such as crystallization behavior, charge transport properties) by the subtle manipulation of molecular building blocks is essential in the development of new organic materials with tailor-made functionality.

Recently we have introduced indolo[3,2,1-jk]carbazole (ICz) as a new molecular scaffold for the design of host materials for phosphorescent OLEDs. A convenient synthetic approach toward ICz based on C–H activation renders the widespread application of this molecular building block possible. ICz can be considered a fully planarized derivative of either triphenylamine (TPA) or N-phenyl-carbazole (PCz)—triazine building blocks which are widely employed as electron donors. However, stepwise planarization of TPA decreases the electron-donating properties of the triarylamidine, as the lone pair of the central nitrogen is incorporated into the aromatic system of one (PCz) or two (ICz) pyrrole rings (Scheme 1) and induces low electron-accepting properties. Accordingly, ICz can be considered a bipolar building block, and therefore creates opportunities for novel application of this molecular scaffold. The versatility of the ICz building block has been demonstrated by its application as a donor in push–pull photosensitizers for dye-sensitized solar cells. Furthermore, ICz has been employed as weak electron acceptor in thermally activated delayed fluorescent (TADF) emitters. However, the preparation of deep blue TADF emitters revealed the necessity to further increase the electron accepting properties of the ICz scaffold. Therefore, we developed a series of nitrogen substituted ICz derivatives (NICz, Scheme 1) and tuned the energy levels of the highest occupied molecular orbitals (HOMOs) and lowest unoccupied molecular orbitals (LUMOs) over a wide range.

Inspired by these results, we set out to reverse the effect of increased electron deficiency by replacement of one benzene unit of the ICz scaffold with thiophene. Substitution of one benzene ring with thiophene should increase the electron-donating power and destabilize the HOMO level of the annulated system, yet retain planarity, which is an important feature for intermolecular interactions, the overall alignment, and thus interaction of individual molecules in the solid state. Accordingly, three novel thienopyrrolo[3,2,1-jk]-carbazoles were prepared.

Ring-closing C–H activation has been chosen as the key step in the synthesis of the thienopyrrolo[3,2,1-jk]carbazoles. Therefore, the preparation of the respective precursors was required. These substrates were obtained by attaching a carbazole unit to different halogen substituted thiophenes (Scheme 2). The widespread availability of various halogen substituted thiophenes renders this strategy particularly attractive and provides a certain flexibility in the preparation of the different thienopyrrolo[3,2,1-jk]carbazole regioisomers. Two approaches were considered, employing Buchwald–Hartwig amination and Ullmann condensation.
Several references regarding Buchwald−Hartwig reactions employing thiophenes can be found in the literature.\textsuperscript{31−35} However, employing various procedures no product could be obtained using thiophenes 1−3 in combination with carbazole. Thus, Ullmann condensation was explored as potential alternative. After screening various conditions, a modified protocol by Xu et al.\textsuperscript{36} was employed. Thiophenes 1−3 and carbazole were converted in a solvent-free Cu catalyzed condensation at 250 °C (Scheme 2). Following this approach carbazoles 5 and 6 were obtained. Although the yields were low (50 and 25%), the precursors for C−H activation could be prepared in one single step from readily available starting materials. Notably, the higher reactivity of iodine compared with bromine under the employed Ullmann condition and the favorable 2-position of thiophene enabled the selective preparation of 6 starting from 2-ido-3-bromothiophene (3). Admittedly, an excess of thiophene had to be employed in the condensation to prevent overreaction, guarantee the formation of a solution and avoid the sublimation of carbazole. Starting from 2-bromo-3-iodothiophene no selectivity could be achieved in the Ullman condensation. Therefore, precursor 4b had to be prepared in two steps. After Ullman condensation of 3-bromothiophene (1) and carbazole, 4a could be selectively brominated to yield 4b.

Two different catalyst systems for the ring closing C−H activation were explored regarding their efficiency. The comparison of the conversion of 9 with (a) Pd(OAc)\textsubscript{2} in combination with the N-heterocyclic carbene precursor [NHC]Cl and (b) Pd[NHC](allyl)Cl (Scheme 2) with K\textsubscript{2}CO\textsubscript{3} in DMAc (N,N-dimethylacetamide) at 130 °C\textsuperscript{19,37,38} revealed the superiority of the preformed catalyst. Using preformed Pd[NHC](allyl)Cl, a yield of 69% was observed, whereas the yield was lower (54%) employing the salt of the ligand. Following this approach, the new thienopyrrolo[3,2,1-jk]carbazoles 7, 8, and 9 could be obtained in good yields of 79, 64, and 69%, respectively.
To investigate the effect of the sulfur incorporation as well as the position of the thiophene in the molecular framework, photophysical and electrochemical characterizations were performed. Accordingly, UV–vis absorption and emission spectra at room temperature as well as low temperature phosphorescent emission spectra were recorded in deoxygenated DCM (dichloromethane; 5 μM) and toluene/iPrOH (10:1, 1 mg/mL). The results of these investigations are summarized in Figure 1 and compared with plain ICz.

![Image](image-url)

**Figure 1.** Normalized UV–vis absorption (blue), singlet emission (green), and triplet emission (red) of the target molecules and ICz.

Obviously, different absorption characteristics, owing to the fusion position of the thiophene in the molecular framework can be observed, especially regarding π→π* transitions of the conjugated molecular scaffold in the region between 320 and 380 nm. Notably, the most prominent absorption peaks are found below 300 nm. In analogy to ICz (284 nm), 7, 8 and 9 feature distinct absorption peaks at 280, 284, and 283 nm. In structurally related PCz and ICz this transition can be attributed to a π→π* transition with a strong contribution of the lone pair of the central nitrogen atom. At longer wavelengths the absorption of 8 closely resembles that of ICz. The lowest energy transition of 8 is located at 368 nm with a shoulder at 354 nm. Additionally, one sharp peak can be observed at 314 nm accompanied by a smaller peak at somewhat lower wavelength (301 nm). The according transitions of ICz can be found at 363, 350, 320, and 308 nm. Therefore, it can be concluded that the annulation pattern of 8 does not significantly change the nature of the absorption transition compared to parent ICz but shifts the relative location of the energy levels. In contrast, 7 and 9 with the thiophene fused on the b face display different absorption properties between 320 and 380 nm. In the absorption spectrum of 7 two distinct peaks at 327 and 347 nm can be found, whereas 9 exhibits a weaker, rather broad and unstructured absorption in this region.

Regarding the HOMO–LUMO energy gaps, thiophene incorporation has similar effects on the thienopyrrolo[3,2,1-jk]carbazoles. In analogy to the absorption profile, 8 exhibits the same HOMO–LUMO energy gap as ICz (3.30 eV). In the case of 7 and 9 the HOMO–LUMO energy gap is shifted toward lower energies of 3.25 and 3.22 eV. Notably, the incorporation of a thiophene subunit decreases the HOMO–LUMO energy gap of the ICz scaffold, which is the opposite effect of nitrogen incorporation. Therefore, the presented thienopyrrolo[3,2,1-jk]carbazoles are a valuable addition to the toolbox of ICz based building blocks for functional organic materials broadening the scope of this particular class of materials.

7 Compared to nonplanarized 3-(N,N-diphenylamino)-thiophene and 9-(2-thienyl)-9H-carbazole the absorption of the developed thienopyrrolo[3,2,1-jk]carbazoles is significantly shifted toward higher wavelengths. 3-(N,N-Diphenylamino)thiophene features one broad absorption band around 290 nm. In contrast the absorption of 9-(2-thienyl)-9H-carbazole is more structured with an absorption maximum at 291 nm and two small bands at 322 and 333 nm, respectively. Notably, the absorption spectrum of planarized congener 9 qualitatively resembles that of 9-(2-thienyl)-9H-carbazole, but the low energy peaks of 9 are distinctly broader and red-shifted. Accordingly, the absorption onset of 9 at 385 nm is red-shifted compared to that of 9-(2-thienyl)-9H-carbazole (345 nm). The occurrence of the red-shifted absorption can be explained by an effective conjugation between the thiophene and carbazole and thus enlarged π-system, due to the planarization of the molecular scaffold. In 9-(2-thienyl)-9H-carbazole this conjugation is significantly decreased due to steric reasons.

The fluorescence emission maxima of the newly developed compounds are shifted toward higher wavelengths compared with ICz and strictly follow the order of the HOMO–LUMO energy gaps. The emission of 8 resembles that of ICz with a maximum at 380 nm and a shoulder at longer wavelengths. Compound 7 exhibits two approximately equally intense emission peaks at 390 and 402 nm, whereas 9 features broader and unstructured emission with a maximum at 410 nm. In contrast to the singlet emission, 9 shows the highest triplet energy (E_T) among the thienopyrrolo[3,2,1-jk]carbazoles. The triplet emission of 9 is broad and relatively unstructured with the highest energy shoulder at 2.77 eV. Red-shifted by about 13 nm, 8 has an E_T of 2.71 eV. A substantially lower E_T was determined for 7 (2.57 eV). Compared with ICz (2.84 eV), the triplet energies of the novel systems are somewhat lower. Nevertheless, the observed E_T values are sufficiently high for...
potential use in light blue (8 and 9) and green (7) PhOLED devices.

The exact energetic location of the frontier orbitals of organic materials is of enormous importance for charge injection and transport in electronic devices. Thus, the HOMO and LUMO levels of the target molecules were determined via cyclic voltammetry (Table S1). The investigated thienopyrrolo[3,2,1-jk]carbazoles exhibited irreversible oxidation, as typically found for indolo[3,2,1-jk]carbazoles and 9H-carbazole derivatives, owing to the instability of the radical cations formed.1,18 The observed HOMO–LUMO energy gaps are in good agreement with the optical measurements. Notably, the HOMO levels of the three regioisomers are significantly influenced by the position of the sulfur atom. The HOMO levels of 7, 8, and 9 are located at −5.68, −5.56, and −5.53 eV, and therefore are considerably higher compared with that of ICz (−5.78 eV). Strikingly, this tendency constitutes an ideal complementation for the nitrogen substitution, which generally lowers the HOMO energy level (Figure 2).21 Consequently, the development of the thienopyrrolo[3,2,1-jk]carbazole series allows to tune the HOMO energy levels of the ICz based building blocks over a wide range of 0.95 eV, which is of tremendous importance for the design of new materials with tailor-made molecular properties. Owing to a smaller HOMO energy gap of the thienopyrrolo[3,2,1-jk]carbazoles, the LUMO levels of the target molecules at −2.38 eV(7), −2.28 eV (8), and −2.30 eV (9) are comparable to that of ICz (−2.27 eV).

The facile synthesis of three novel building blocks employing a previously refined C–H activation protocol was described. In addition to a straightforward synthesis, photophysical and electrochemical characterization of the target molecules was performed. It could be shown that molecular properties such as HOMO energy levels and the HOMO–LUMO energy gap could be influenced by the incorporation of sulfur into the parent scaffold. Furthermore, a fine-tuning can be achieved by variation of the substitution position. These novel building blocks serve as a useful addition to an ever-growing toolbox for the development of organic electronics.

### EXPERIMENTAL SECTION

Unless explicitly mentioned otherwise, all reagents from commercial suppliers were used without further purification. Thin layer chromatography (TLC) was performed using TLC-aluminum foil (Merck, silica gel 60 F254). Preparative column chromatography was performed using a Büchi SepapureTM Flash system. The appropriate PP-cartridges were packed with silica gel (Merck, 40–63 μm). Nuclear magnetic resonance (NMR) spectra were recorded on a Bruker Advance III HD 600 MHz spectrometer equipped with a cryoprope Prodigy at 600.2 MHz (1H) and 150.9 MHz (13C). -H and 13C-spectra are given as stated: chemical shift in parts per million (ppm) referenced to the according solvent (1H: CDCl3 δ = 7.26 ppm, CD3OD δ = 5.32 ppm; 13C: CDCl3 δ = 77.2 ppm, CD3OD δ = 53.5 ppm) with tetramethylsilane (TMS) at δ = 0 ppm. Multiplicities of the signals are given as 1H: s = singlet, d = doublet, ddd = doublet on doublet, dd = doublet on doublet, dt = doublet on triplet, t = triplet and m = multiplet. J-Modulated spin echo experiments were conducted to discern quaternary carbons from CH to facilitate characterization since only these two types of carbons are present in the described molecules. For better visibility quaternary carbons are depicted as negative and CH carbons as positive. Cyclic voltammetry was performed using a three-electrode configuration consisting of a Pt working electrode, a Pt counter electrode and an Ag/AgCl reference electrode and a PGSTAT128N potentiostat provided by Metrohm Autolab B.V. Measurements were carried out in a 0.5 mM or saturated (for poorly soluble substances) solution in anhydrous ACN with Bu4NBF4 (0.1 M) as supporting electrolyte. The solutions were purged with nitrogen for 15 min prior to measurement. HOMO and LUMO energy levels were calculated from the onset of oxidation and reduction, respectively. The onset potential was determined by the intersection of two tangents drawn at the background and the rising of oxidation or reduction peaks. Ferrocene was used for calibration. Absorption measurements were conducted using a PerkinElmer Lambda 750 spectrometer with degassed DCM solutions (5 μM) Fluorescence and phosphorescence spectra were recorded on a PerkinElmer LS 55 fluorescence spectrometer. For fluorescence measurements 5 μM degassed solutions in DCM were used. Phosphorescence spectra of 1 mg/mL solutions in degassed toluene:iPrOH (10:1) were recorded at 77 K. HRESIMS spectra (m/z 50–1900) were obtained on a maXis UHR ESI-Qq-TOF mass spectrometer (Bruker Daltonics, Bremen, Germany) in the positive ion mode by direct infusion. The sum formulas of the detected ions were determined using Bruker Compass DataAnalysis 4.1 based on the mass accuracy (Δm/z ≤ 5 ppm) and isotopic pattern matching (SmartFormula algorithm).

9-(3-Thienyl)-9H-carbazole (4a). 3-Bromothiophene (1) (2.35 g, 14.4 mmol, 1.2 equiv), 9H-carbazole (2.71 g, 12 mmol, 1 equiv), K2CO3 (2.49 g, 14.4 mmol, 1.2 equiv) and CuSO4·5H2O (150 mg, 0.6 mmol, 0.05 equiv) were put in a reaction vial equipped with a stirring bar and flushed with argon three times. The vial was closed and put on a preheated heating block at 250 °C for 2.5 h. After this time the reaction was cooled to room temperature, the dark brown solid was dissolved in a mixture of DCM and H2O, the phases were separated, and the aqueous phase was extracted three times with DCM. The combined organic phases were washed once with brine, dried over Na2SO4, filtered, and the solvent removed in vacuo. The crude

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**Figure 2.** Schematic representation of the experimentally determined energy levels of HOMOs and LUMOs of the developed thienopyrrolo[3,2,1-jk]carbazoles as well as indolo[3,2,1-jk]carbazole and selected azaindolo[3,2,1-jk]carbazoles.21

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product was purified via column chromatography (LP/DCM 3%) and 4a was obtained as a light brown solid (2,134 g, 71%). 1H NMR (600 MHz, CDCl₃) δ 8.15 (d, J = 7.7 Hz, 2H), 7.56 (d, J = 5.0, 3.2 Hz, 1H), 7.50–7.42 (m, 5H), 7.34 (dd, J = 5.1, 1.4 Hz, 1H), 7.31 (dd, J = 7.9, 6.7, 1.3 Hz, 2H). 13C NMR (151 MHz, CDCl₃, J-MOD) δ 141.1, 136.0, 126.4, 126.2, 125.6, 123.3, 120.4, 120.1, 119.5, 110.1. Melting point 91–93 °C. HRMS (ESI) m/z calc for C₁₆H₁₁BrNS⁺ [M + H]⁺ 327.9794, found 327.9795.

Thieno[2,3′:4,5′]pyrrolo[3,2,1-ji]carbazole (7). The synthesis of 7 was performed according to the general procedure. Starting from 4b (799 mg, 2.43 mmol, 1 equiv), K₂CO₃ (672 mg, 4.86 mmol, 2 equiv) and (NHC)Pd(allyl)Cl (70 mg, 0.122 mmol, 0.05 equiv) crude product was obtained after a reaction time of 2h via column chromatography (LP/DCM 4%). The product was further purified by recrystallization from cyclohexane and via HPLC (n-heptane/i-PrOH 0.02% for 1 min to 0.1% over 10 min.) to yield 7 as a white solid (474 mg, 79%). 1H NMR (600 MHz, CDCl₃) δ 8.10 (d, J = 7.7 Hz, 1H), 7.94 (d, J = 7.3 Hz, 1H), 7.84 (d, J = 7.5 Hz, 1H), 7.74 (d, J = 8.0 Hz, 1H), 7.54 (t, J = 7.4 Hz, 1H), 7.53–7.47 (m, 3H), 7.33 (d, J = 7.6 Hz, 1H). 13C NMR (151 MHz, CDCl₃, J-MOD) δ 145.2, 141.2, 138.6, 129.6, 128.1, 126.8, 124.0, 123.2, 123.1, 121.8, 119.8, 118.4, 117.8, 117.5, 111.8, 111.5. Melting point 127 °C. HRMS (ESI) m/z calc. for C₁₆H₁₂NS⁺ [M-H⁺]⁻ 248.0528, found 248.0526.

Thieno[3′,4′:5′]pyrrolo[3,2,1-ji]carbazole (8). The synthesis of 8 was performed according to the general procedure. Starting from 5 (581 mg, 1.77 mmol, 1 equiv), K₂CO₃ (489 mg, 3.54 mmol, 2 equiv) and (NHC)Pd(allyl)Cl (51 mg, 0.089 mmol, 0.05 equiv) crude product was obtained after a reaction time of 24h via filtration over silica. The product was further purified via HPLC (n-heptane/i-PrOH 0.02% for 1 min to 0.1% over 10 min.) to yield 8 as a white solid (282 mg, 64%). 1H NMR (600 MHz, CDCl₃) δ 8.11 (d, J = 7.8 Hz, 1H), 7.96 (d, J = 7.5 Hz, 1H), 7.79 (d, J = 7.3 Hz, 1H), 7.73 (d, J = 8.1 Hz, 1H), 7.57 (d, J = 2.2 Hz, 1H), 7.54 (dd, J = 8.3, 7.5, 1.2 Hz, 1H), 7.46 (t, J = 7.4 Hz, 1H), 7.33 (dd, J = 8.0, 7.5, 1.1 Hz, 1H), 7.04 (d, J = 2.3 Hz, 1H). 13C NMR (151 MHz, CDCl₃, J-MOD) δ 151.7, 141.0, 138.2, 137.7, 128.4, 126.6, 123.0, 122.6, 121.2, 119.6, 119.0, 118.6, 116.3, 113.9, 111.6, 96.6. Melting point 147 °C. HRMS (ESI) m/z calc. for C₁₄H₁₂NS⁺ [M-H⁺]⁻ 248.0528, found 248.0527.

Thieno[2′,3′:4,5′]pyrrolo[3,2,1-ji]carbazole (9). The synthesis of 9 was performed according to the general procedure. Starting from 6 (488 mg, 1.49 mmol, 1 equiv), K₂CO₃ (412 mg, 2.98 mmol, 2 equiv) and (NHC)Pd(allyl)Cl (42 mg, 0.074 mmol, 0.05 equiv) crude product was obtained after a reaction time of 4h via column chromatography (LP/DCM 4%). The product was further purified by recrystallization from cyclohexane and via HPLC (n-heptane/i-PrOH 0.02% for 1 min to 0.1% over 10 min.) to yield 9 as a white solid (255 mg, 69%). 1H NMR (600 MHz, CDCl₃) δ 8.11 (d, J = 7.8 Hz, 1H), 7.96 (d, J = 7.5 Hz, 1H), 7.95 (d, J = 7.3 Hz, 1H), 7.73 (d, J = 8.1 Hz, 1H), 7.57 (d, J = 2.2 Hz, 1H), 7.54 (dd, J = 8.3, 7.5, 1.2 Hz, 1H), 7.46 (t, J = 7.4 Hz, 1H), 7.33 (dd, J = 8.0, 7.5, 1.1 Hz, 1H), 7.04 (d, J = 2.3 Hz, 1H). 13C NMR (151 MHz, CDCl₃, J-MOD) δ 151.7, 141.0, 138.2, 137.7, 128.4, 126.6, 123.0, 122.6, 121.2, 119.6, 119.0, 118.6, 116.3, 113.9, 111.6, 96.6. Melting point 147 °C. HRMS (ESI) m/z calc. for C₁₄H₁₀NS⁺ [M-H⁺]⁻ 229.0457, found 229.0457.

ASSOCIATED CONTENT
Supporting Information
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NMR spectra; cyclic voltammograms; HRMS spectra

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