Norms for a neuropsychological test battery to diagnose dementia in the elderly: A study from Sri Lanka

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ABSTRACT
Aims: To pilot a neuropsychological battery for diagnosing dementia and provide normative scores in an elderly Sri Lankan sample. Materials and Methods: Consecutive subjects over the age of 60 yrs were administered tests assessing the individual domains of language, verbal episodic memory, visual perceptuospatial skills and executive functions in the Sinhala language. Results: There were a total of 230 subjects in the final sample. The mean age of the entire sample was 69 years, mean education level was 12 years and the sample comprised 53% female. One-month test-retest reliability ranged from 0.71 to 0.85 for the various tests. Most tests were significantly influenced by age and education level but not gender. The exceptions to this were some language subtests (repetition, grammar comprehension and word picture matching) and two tests of executive functioning (maze completion and alternate target cancellation), which were uninfluenced by age. The subtests where ceiling performance was attained by almost all subjects were repetition, grammar comprehension and word picture matching from the language domain, dot position discrimination from the visuospatial domain and maze completion test from the executive function domain. Scores for various tests after stratifying subjects by age and educational level are given. Conclusions: The tests were well received and could provide a basis for cognitive profiling in similar settings elsewhere.

Key words: Dementia, elderly, neuropsychology, Sri Lanka

Introduction

Testing cognition is central to dementia assessment. It is important in the early diagnosis and differential diagnosis of various dementias, monitoring progression of the disease and assessing effects of therapy.[1-3] Various short and long batteries exist for the above purpose but very few have been validated in developing countries such as Sri Lanka.[4,5]

Such a neuropsychological battery can also been used with appropriate norms to diagnose cognitive impairment in patients with epilepsy, stroke and Parkinson’s disease. In Sri Lanka as opposed to neighboring countries like India to date there have been no such batteries that can be used to diagnose cognitive disorders.

Cross-cultural norming of neuropsychological tests can involve either adapting existing tests to suit the local population or tests can be newly developed and tested for reliability and validity. We have chosen both the above methods to develop and norm a neuropsychological battery for dementia.

We had earlier reported the development and norming of a brief cognitive screen for dementia (the Concise Cognitive Test—CONCOG) in an elderly urban Sri Lankan sample.[6] The aim of this paper is to pilot a neuropsychological battery and provide norms stratified by demographic variables such as age and level of education.

Materials and Methods

Subjects
Participants in this study were people over the age of 60 years who had seen flyers displayed in the hospital

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and had voluntarily offered to undergo the cognitive test battery. These subjects were relatives or spouses of patients attending the orthopedic or general medical outpatient departments. They were initially screened by a board certified doctor for neurological (stroke, epilepsy, head trauma or Parkinson’s disease) or psychiatric disease (depression, psychosis or alcoholism) and visual or hearing impairment that might affect performance on the neuropsychological test battery. They were also administered the Concise Cognitive Test (CONCOG), a brief cognitive test, and only those scoring above 23/30 were included.[6] Depression was screened for by the Patient Health Questionnaire-2 and any participant scoring ≥ 3 out of 6 was excluded.[7] We did not exclude subjects with the mere presence of vascular risk factors such as hypertension, diabetes or hypercholesterolemia as that would have resulted in the creation of a “super normal” sample. All subjects gave written informed consent and the study was approved by the ethics review board of the hospital.

Neuropsychological test battery

The cognitive test battery was constructed to assess the domains of language, verbal episodic memory, visual perceptuospatial skills and executive functions as these are the most common and most important domains affected in dementias.[1-3] The entire test battery was designed such that the administration time was less than 45 minutes. All tasks were administered in the same order to all subjects in a language of their choice (English, Sinhala or Tamil). The overwhelming majority (>85%) of subjects had the tests administered in Sinhala language. For ease of analyses scores of subjects who had the test administered in English or Tamil have been excluded from the final sample.

The various tests included for each domain are as follows:
- Language skills: Tests were chosen to explore the individual language components such as phonology (repetition of words and phrases), syntax (grammar comprehension) and semantic skills (category verbal fluency—animals and vehicles named per minute and spoken word to picture matching). The word-picture matching subtest was adapted from the Cambridge Semantic Battery while the other tests were constructed de novo.[8]
- Episodic verbal memory: A list of eight unrelated words was presented thrice and tested for learning, free recall later and recognition from a list containing the eight original words as well as eight foils. These were adapted from the 10-66 neuropsychological battery.[9]
- Visual perceptuospatial skills: Silhouette naming (10 silhouettes) and dot position discrimination subtests (10 pairs of squares with dots at varying positions) from the visual object and space perception battery were used.[10]
- Executive functions: Go-no-go (adapted from the Frontal Assessment Battery), maze completion and alternate target cancellation (constructed anew) from a grid of multiple symbols were used as the executive function tests.[11]

The neuropsychological tests especially the language tests (repetition, grammar comprehension) and word list for memory testing were first translated into Sinhala, back translated into English and compared by a bilingual psychologist for accuracy in translation. They were then pilot tested on a group of 30 elderly volunteers before finalizing the test version.

Statistical analyses

Data were analyzed using Medcalc statistical software program.[12] Correlations between performance on various tests and age and education level were calculated. The entire sample was divided into four age groups and four education groups. Means, standard deviations and 10th percentile scores for every test for the eight groups were calculated.

Results

There were a total of 230 subjects in the final sample [Table 1]. The mean age of the entire sample was 69 years and the mean education level was 12 years. Females formed 53% of the sample. Correlational analysis revealed that most tests were significantly influenced by age and education level but not gender [Table 2]. The exceptions to this were some language subtests (repetition, grammar comprehension and word picture matching) and two

| Table 1: Summary statistics for the various age and education groups |
|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| Demographic       | N     | Mean (SD) | N     | Mean (SD) | N     | Mean (SD) | N     | Mean (SD) |
| variables (years) |       |           |       |           |       |           |       |           |
| Age               |       |           |       |           |       |           |       |           |
| ≤62 years         | 53    | 60.6 (0.8) | 67    | 65.6 (1.7) | 53    | 70.9 (1.6) | 57    | 79.7 (4.5) |
| 63-68 years       | 67    | 60.6 (0.8) | 67    | 65.6 (1.7) | 53    | 70.9 (1.6) | 57    | 79.7 (4.5) |
| 69-74 years       | 53    | 70.9 (1.6) | 57    | 79.7 (4.5) | 57    | 79.7 (4.5) | 57    | 79.7 (4.5) |
| ≥75 years         | 57    | 79.7 (4.5) | 57    | 79.7 (4.5) | 57    | 79.7 (4.5) | 57    | 79.7 (4.5) |
| Education         |       |           |       |           |       |           |       |           |
| 5-10 year         | 67    | 8.1 (2.3)  | 64    | 11.9 (0.2) | 49    | 14.5 (0.6) | 50    | 18.0 (2.2) |
| 11-12 year        |       |           |       |           |       |           |       |           |
| 13-15 year        |       |           |       |           |       |           |       |           |
| >15 year          |       |           |       |           |       |           |       |           |

SD: Standard deviation
tests of executive functioning (maze completion and alternate target cancellation), which were uninfluenced by age. Age had the strongest influence on word list learning and recall while education had strongest effects on word list learning, recall and semantic (category) fluency for vehicles.

A subset of 30 participants were administered the entire neuropsychological battery twice at 1 month interval and test–retest reliability was calculated. It ranged from 0.71 to 0.85 for the various tests. Inter-rater reliability was not calculated as all the tests were administered by only one of the authors (QJ).

The subtests where ceiling performance was attained by almost all subjects were repetition, word picture matching and grammar comprehension from the language domain, dot position discrimination from the visuospatial domain and maze completion test from the executive function domain.

Table 2: Correlations for various tests with age and level of education

| Cognitive tests | Age | Education |
|-----------------|-----|-----------|
| Repetition      | −0.01 | 0.18* |
| Grammar         | 0.01  | 0.27* |
| Animals         | −0.14* | 0.21* |
| Vehicles        | −0.19* | 0.34* |
| Wpmatch         | 0.01  | 0.29* |
| Wllearning      | −0.34* | 0.28* |
| Wirecall        | −0.35* | 0.28* |
| Wirecognition   | −0.15* | 0.17* |
| Silhouette      | −0.14* | −0.05 |
| Position        | −0.15* | 0.24* |
| ATC errors      | 0.01  | −0.16* |
| Go no go        | −0.17* | 0.25* |
| Maze errors     | 0.02  | −0.12* |

*P<0.05. ATC: Alternate target cancellation

Subjects were stratified into four age groups at approximately five year intervals. They were also stratified into four educational groups according to the prevailing school educational system in Sri Lanka. Scores for subjects stratified according to age and level of education are shown in Tables 3 and 4.

Discussion

The key findings from this study were that, as expected age and education but not gender were strong predictors of performance on various neuropsychological tests. The maximal effects of these tests were seen on semantic verbal fluency tests and episodic memory. These findings are consistent with numerous other studies from different parts of the world.[13–20]

In fact, the largest normative neuropsychological study so far, the 10-66 study across India, China and Latin America, also concluded that gender had negligible effects on test performance. The strongest effect of age was on word list memory and this has also been the case with the 10-66 study. On the other hand, in the present study, education had a significant effect on semantic verbal fluency as well as memory. The study by Gureje et al. in Nigeria also showed a similar effect of education on the CERAD word list learning and recall test, which is similar to the 10-66 word list test adapted for this study.[14] However, in the Singaporean validation of the RBANS battery, executive function tests and tests of attention were affected to the greatest degree by age and education in addition to memory.[16] Our results are not in agreement with the above study probably because of the varying tests used for the assessment of executive skills and differing education levels.

Table 3: Age stratified scores for the various tests

| Cognitive tests | ≤62 years | 63-68 years | 69-74 years | ≥75 years |
|-----------------|-----------|-------------|-------------|-----------|
|                 | Mean      | SD          | 10th P      | Mean      | SD          | 10th P      | Mean      | SD          | 10th P      | Mean      | SD          | 10th P      |
| Grammar[5]      | 4.7       | 0.6         | 4.00        | 4.8       | 0.6         | 4.0          | 4.8       | 0.5         | 4.0          | 4.8       | 0.5         | 4.0          |
| Repetition[6]   | 6.0       | 0.1         | 6.0         | 6.0       | 0.1         | 6.0          | 6.0       | 0.0         | 6.0          | 6.0       | 0.1         | 6.0          |
| Animals         | 13.0      | 3.0         | 10.0        | 13.1      | 3.3         | 10.0         | 13.2      | 4.1         | 9.0          | 11.3      | 2.9         | 8.0          |
| Vehicles        | 11.8      | 2.6         | 9.0         | 11.9      | 2.5         | 9.0          | 10.8      | 3.1         | 7.8          | 10.1      | 1.9         | 8.0          |
| Wpmatch[10]     | 9.8       | 0.6         | 9.0         | 9.5       | 0.9         | 8.0          | 9.6       | 0.9         | 8.0          | 9.5       | 0.8         | 8.0          |
| Wllearning[24]  | 16.5      | 2.8         | 12.8        | 15.9      | 2.6         | 13.0         | 15.5      | 2.7         | 12.0         | 14.2      | 3.2         | 10.0         |
| Wirecall[8]     | 4.7       | 1.7         | 3.0         | 4.3       | 1.7         | 2.0          | 3.8       | 1.6         | 2.0          | 3.2       | 1.9         | 1.0          |
| Wirecognition[16]| 14.6      | 2.3         | 12.0        | 14.7      | 1.4         | 13.0         | 14.3      | 1.6         | 12.0         | 14.2      | 1.7         | 12.0         |
| Position[10]    | 9.6       | 0.8         | 8.7         | 9.3       | 0.9         | 8.0          | 9.1       | 1.4         | 7.0          | 9.0       | 1.2         | 7.0          |
| Silhouette[10]  | 8.1       | 1.4         | 6.0         | 8.0       | 1.4         | 6.0          | 7.5       | 1.5         | 5.8          | 7.5       | 1.6         | 6.0          |
| ATC errors      | 1.4       | 4.8         | 2.2         | 0.9       | 1.4         | 2.0          | 1.8       | 4.1         | 5.2          | 2.6       | 9.9         | 4.0          |
| Maze errors     | 1.9       | 12.3        | 1.0         | 0.2       | 0.4         | 1.0          | 0.34      | 0.6         | 1.0          | 1.8       | 8.0         | 3.0          |
| Go-no-go[10]    | 9.4       | 0.9         | 8.0         | 9.2       | 1.3         | 8.0          | 8.9       | 1.4         | 6.8          | 9.0       | 1.8         | 7.0          |

SD: Standard deviation, ATC: Alternate target cancellation
Table 4: Education stratified scores for the various tests

| Cognitive tests | Education stratified scores | Education stratified scores |
|-----------------|-----------------------------|-----------------------------|
|                 | 5-10 years | 11-12 years | 13-15 years | >15 years |
| Grammar[5]      | Mean | SD | 10\(^{-\text{th}}\) P | Mean | SD | 10\(^{-\text{th}}\) P | Mean | SD | 10\(^{-\text{th}}\) P | Mean | SD | 10\(^{-\text{th}}\) P |
| Repetition[6]   | 4.6  | 0.7 | 3.1          | 4.8  | 0.5 | 4.0          | 4.9  | 0.4 | 4.0          | 4.9  | 0.2 | 5.0          |
| Animals         | 6.0  | 0.2 | 6.0          | 6.0  | 0.0 | 6.0          | 6.0  | 0.0 | 6.0          | 6.0  | 0.0 | 6.0          |
| Vehicles        | 11.6 | 2.8 | 8.0          | 11.9 | 2.7 | 9.0          | 13.3 | 3.1 | 10.0         | 14.1 | 4.5 | 9.5          |
| Wpmatch[10]     | 9.3  | 0.9 | 9.0          | 9.7  | 0.9 | 9.0          | 9.8  | 0.6 | 9.0          | 9.8  | 0.5 | 9.0          |
| Wlearning[24]   | 14.4 | 2.9 | 10.1         | 15.3 | 2.9 | 11.9         | 16.3 | 2.6 | 13.0         | 16.8 | 2.8 | 12.5         |
| Wirecognition[16] | 3.8  | 1.9 | 1.1          | 3.8  | 1.7 | 1.0          | 4.2  | 1.8 | 2.0          | 4.4  | 1.6 | 2.5          |
| Position[10]    | 14.2 | 1.7 | 12.0         | 14.7 | 1.3 | 13.0         | 14.6 | 1.6 | 12.0         | 14.6 | 2.4 | 12.0         |
| Silhouette[10]  | 8.9  | 1.4 | 7.0          | 9.3  | 1.0 | 7.9          | 9.6  | 0.9 | 8.4          | 9.5  | 0.9 | 8.0          |
| ATC errors      | 7.9  | 1.7 | 5.1          | 7.8  | 1.5 | 6.0          | 7.9  | 1.3 | 6.0          | 7.6  | 1.3 | 6.0          |
| Go-no-go[10]    | 3.4  | 10.6| 6.0          | 1.0  | 1.5 | 3.0          | 0.9  | 1.6 | 2.6          | 0.7  | 1.1 | 2.5          |
| Maze errors     | 8.6  | 1.9 | 5.0          | 9.3  | 1.1 | 8.0          | 9.5  | 0.9 | 8.0          | 9.4  | 0.8 | 8.0          |
| Wpmatch         | 2.9  | 13.2| 2.0          | 0.2  | 0.6 | 1.0          | 0.2  | 0.9 | 1.0          | 0.2  | 0.6 | 1.0          |

SD: Standard deviation, ATC: Alternate target cancellation test

There are a few limitations to this study. This was a relatively well-educated sample (mean years of education: 12 yrs) and we did not include rural elders in the test sample. This might significantly limit the applicability of this cognitive test battery to other areas of Sri Lanka and neighboring countries. Nevertheless, this sample is representative of the type of patients encountered at most private hospitals in urban areas. We also could not have tests for visual memory and praxis, which could have made the battery a comprehensive stand alone instrument. Deriving robust norms for most neuropsychological tests would mean repeat testing at yearly intervals and weeding out patients who subsequently develop mild cognitive impairment or dementia.[23] This was not however possible in the present study which was only cross-sectional.

There was good acceptance of all these tests and there were no refusals or inability to complete for lack of understanding. This proves that most neuropsychological tests used to document cognitive impairment in dementia can be minimally adapted and used in different cultural and language settings provided the sample to be tested has an adequate education level.

To the best of our knowledge, this is the first normative study of a neuropsychological test battery in the elderly in Sri Lanka. It remains to be seen how this battery performs in similar settings within Sri Lanka and the neighboring countries.

Conclusions

We have described a neuropsychological test battery to assess cognition in the nondemented elderly and have provided normative scores for these tests. The tests themselves and the scores can be useful to anyone studying cognitive disorders in the elderly in Sri Lanka and neighboring developing countries.

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