Charge Exchange Spin-Dipole Excitations of $^{90}\text{Zr}$ and $^{208}\text{Pb}$ and Neutron Matter Equation of State

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Abstract

Charge exchange spin-dipole (SD) excitations of $^{90}\text{Zr}$ and $^{208}\text{Pb}$ are studied by using a Skyrme Hartree-Fock(HF) + Random Phase approximation (RPA). The calculated spin-dipole strength distributions are compared with experimental data obtained by $^{90}\text{Zr}$ (p,n) $^{90}\text{Nb}$ and $^{90}\text{Zr}$ (n,p) $^{90}\text{Nb}$ reactions. The model-independent SD sum rule values of various Skyrme interactions are studied in comparison with the experimental values in order to determine the neutron skin thickness of $^{90}\text{Zr}$. The pressure of the neutron matter equation of state (EOS) and the nuclear matter symmetry energy are discussed in terms of the neutron skin thickness and peak energies of SD strength distributions.

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I. INTRODUCTION

The relationship between the neutron matter equation of state (EOS) and the neutron skin thickness has been studied extensively by using the Skyrme Hartree-Fock (HF) model, a relativistic mean field (RMF) model [1, 2, 3]. The neutron matter EOS is essential for studying the properties of neutron stars, e.g., their size [4]. It is also known that isovector nuclear matter properties, including the symmetry energy, correlate strongly with the neutron skin thickness in heavy nuclei [2, 5, 6].

Elastic electron scattering has provided accurate data on the charge distributions of nuclei. Several experimental attempts have been made to measure neutron distributions, for example, by proton elastic scattering [7, 8, 9, 10] and by inelastic alpha scattering to giant dipole resonance excitations [11]. However, empirical results of neutron skin thickness obtained by proton scattering are controversial and do not agree with each other even within experimental error. The accuracy of empirical data on neutron distributions from giant resonance experiments is also rather poor, insufficient to extract accurate information on the neutron matter EOS. One promising tool for studying neutron distributions is the parity violation electron scattering experiment [12]. Unfortunately, no data on parity violation electron scattering experiments is available so far.

The model-independent sum rule strength of charge exchange SD excitation is directly related to information on the neutron skin thickness [13]. Recently, SD excitations were studied in $^{90}\text{Zr}$ by the charge exchange reactions $^{90}\text{Zr}(p,n)^{90}\text{Nb}$ [14] and $^{90}\text{Zr}(n,p)^{90}\text{Y}$ [15], and the model-independent sum rule strengths for the SD excitations were extracted in Ref. [16] by using multipole decomposition (MD) analysis [17]. The charge exchange reactions $(^3\text{He},t)$ on Sn isotopes were also studied to extract the neutron skin thickness [18]. However, one needs the counter experiment ($t,^3\text{He}$) or (n,p) on Sn isotopes in order to extract the model-independent sum rule value from experimental data. This counter experiment is missing in the case of Sn isotopes.

It is known that the SD strength has almost the same amount of contributions to neutrino reactions as that of the Gamow-Teller strength [19]. The Pb target is considered to be the most promising candidate for detecting the heavy-flavor neutrinos from the supernovae. Thus, it is quite important to study the SD strength in the Pb target for a precise evaluation of the cross-sections of charge-induced neutrino reactions.
In this paper, we study the SD excitations and the neutron skin thickness by using the HF and HF+ random phase approximation (RPA) with Skyrme interactions. As a theoretical model, the HF+RPA model has been extensively applied to giant resonances in a broad region of mass table\cite{20, 21}. The same model was used for the study of spin-dependent charge exchange excitations\cite{22, 24, 25, 26}. It was shown that the model successfully predicts GT and SD states in $^{48}$Sc and $^{90}$Nb\cite{24, 25}. First, we calculate the SD states in nuclei with mass A=90 and 208 by using the charge exchange HF + RPA model with various Skyrme interactions. We will compare calculated results of SD strength distributions with empirical data obtained by charge exchange (p,n) and (n,p) reactions on $^{90}$Zr. The sum rule values are also compared with the empirical values in Section 2. Next, the correlations between the neutron matter EOS and the SD sum rules are studied in the Skyrme HF model. We will discuss the neutron matter EOS by using the experimental SD data and other empirical information on the neutron skin. This paper is organized as follows. In Section 2, the SD strength of the HF+RPA calculations is presented for both the $t_{-}$ and $t_{+}$ isospin channels on $^{90}$Zr and $^{208}$Pb. The calculated results are compared with experimental results of $^{90}$Zr(p,n)$^{90}$Nb and $^{90}$Zr(n,p)$^{90}$Y reactions. We study the correlations between the sum rules of SD strength and the pressure of neutron matter EOS in Section 3. A summary is given in section 4.

II. HF+RPA CALCULATIONS OF SD STRENGTH

The operators for SD transitions are defined as

$$\hat{S}_\pm = \sum_{im\mu} t_{m\mu}^i r_i Y_1^{\mu}(\hat{r}_i)$$

with the isospin operators $t_3 = t_z$, $t_{\pm} = (t_x \pm it_y)$. The model-independent sum rule for the $\lambda$–pole SD operator $\hat{S}_\pm^\lambda = \sum_i t_{m\mu}^i r_i[\sigma \times Y_1(\hat{r}_i)]^\lambda$ can be obtained as

$$S_\lambda - S_+^\lambda = \sum_{i \in \text{all}} | \langle i \mid \hat{S}_\pm^\lambda \mid 0 \rangle |^2 - \sum_{i \in \text{all}} | \langle i \mid \hat{S}_+^\lambda \mid 0 \rangle |^2$$

$$= \langle 0 \mid [\hat{S}_\pm^\lambda, \hat{S}_+^\lambda] \mid 0 \rangle = \frac{2\lambda + 1}{4\pi} (N\langle r^2 \rangle_n - Z\langle r^2 \rangle_p).$$

The sum rule for the spin-dipole operator (II) then becomes

$$S_- - S_+ = \sum_{\lambda} (S_\lambda - S_+^\lambda) = \frac{9}{4\pi} (N\langle r^2 \rangle_n - Z\langle r^2 \rangle_p).$$

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It should be noted that the sum rule (3) is directly related to the difference between the mean square radius of neutrons and protons with the weight of neutron and proton numbers. We adopt four Skyrme interactions, namely, SIII, SGII, SkI3 and SLy4, for the HF+RPA calculations. The Landau parameters and nuclear matter properties of these interactions are shown in Table I. For the spin-isospin excitations, the value \( G_0' \) plays the important role of determining the collective properties of the excitation [22]. The RPA equation is solved using the basis expanded by the harmonic oscillator wave functions up to the maximum major quantum number of \( N_{\text{max}} = 10 \) for \(^{90}\text{Zr} \) and \( N_{\text{max}} = 12 \) for \(^{208}\text{Pb} \). The HF calculations are performed without the spin-gradient terms (\( J^2 \) terms) since the adopted Skyrme interactions have been fitted without them [22, 23], but the RPA calculations incorporate the spin-gradient terms. The two-body spin-orbit and two-body Coulomb interactions are neglected in the RPA calculations. We also performed the continuum HF+RPA calculations with one of the interactions and found essentially the same strength distributions as in the present calculations except the width due to the coupling to the continuum [21]. The calculated results are smoothed out by using a weighting function, \( \rho \):

\[
\frac{dB(\text{SD})_{\text{ave}}}{dE_x} = \int \frac{dB(\text{SD})}{dE_x} \rho(E'_x - E_x)dE'_x
\]

where the weighting function is defined as

\[
\rho(E'_x - E_x) = \frac{1}{\pi} \frac{\Delta/2}{(E'_x - E_x)^2 + (\Delta/2)^2}
\]

taking the width parameter \( \Delta \). In the present calculations with the discrete basis, the SD strength is given by

\[
\frac{dB(\text{SD})}{dE'_x} = \sum_i B(\text{SD}; E_i)\delta(E_i - E'_x).
\]

A. Charge exchange SD excitations of \(^{90}\text{Zr} \)

The HF calculations are performed by using four Skyrme interactions in Table I. The proton, charge and neutron radii of \(^{90}\text{Zr} \) are listed in Table I together with the sum rule values \( \Delta S = S_- - S_+ \) calculated through the analytic equation (3). By using the same HF wave functions, the charge exchange RPA calculations give the SD strengths in \(^{90}\text{Nb} \) and \(^{90}\text{Y} \) excited by the \( t_{\pm} r \sigma Y_1(\hat{r}) \) operators from the parent nucleus \(^{90}\text{Zr} \), as shown in Figs. 1 and 2. The experimentally obtained distributions of the SD strengths are also plotted in Figs.
TABLE I: Landau parameters, effective mass $m^*$ and symmetry energy J of Skyrme interactions

|        | SIII | SGII | SkI3 | SLy4 |
|--------|------|------|------|------|
| $F_0$  | 0.309| -0.235| -0.318| -0.273|
| $F'_0$ | 0.862| 0.733| 0.653| 0.818|
| $G_0$  | 0.052| 0.014| 0.569| 1.120|
| $G'_0$ | 0.457| 0.509| 0.203| -0.138|
| $F_1$  | -0.709| -0.646| -1.269| -0.926|
| $F'_1$ | 0.490| 0.521| -0.843| -0.399|
| $G_1$  | 0.490| 0.612| 1.33 | 0.279|
| $G'_1$ | 0.490| 0.432| 0.65 | 1.047|
| $m^*/m$| 0.76 | 0.78 | 0.58 | 0.69 |
| J(MeV) | 28.1 | 26.9 | 34.8 | 32.3 |

1 and 2: The experimental SD strength distributions for the $t_-$ and the $t_+$ channels were obtained from the $^{90}$Zr(p,n)$^{90}$Nb and the $^{90}$Zr(n,p)$^{90}$Y data, respectively, by performing MD analysis [16]. A comprehensive description of the MD analysis can be found in ref. [17].

TABLE II: Proton, neutron and charge radii of $^{90}$Zr. The charge radius is obtained by folding the proton finite size. The sum rule values $\Delta S = S_- - S_+$ of spin-dipole excitations are calculated by Eq. (3) with the HF neutron and proton mean square radii. Experimental data on the charge radius are taken from ref. [27]. The experimental values $r_n - r_p$ are taken from [7, 16]. The radii are given in units of fm, while the SD sum rules are given in units of fm$^2$.

|        | SIII | SGII | SkI3 | SLy4 | exp          |
|--------|------|------|------|------|--------------|
| $r_p$  | 4.257| 4.198| 4.174| 4.225|              |
| $r_c$  | 4.321| 4.263| 4.240| 4.290| 4.258±0.008  |
| $r_n$  | 4.312| 4.253| 4.280| 4.287|              |
| $r_n - r_p$ | 0.055| 0.055| 0.106| 0.064| 0.09±0.07 [7], 0.07±0.04 [16] |
| $\Delta S$ | 146.7| 142.9| 156.9| 146.9|              |

In general, the $t_-$ SD strength distributions for $0^-$ and $1^-$ states in $^{90}$Nb are concentrated in one state at $E_x \sim 30$MeV, having a large portion of the non–energy weighted sum rule (NEWSR) strength, while those for the $2^-$ states are separated into two dominant peaks, as
FIG. 1: (Color online) Charge exchange SD strengths for the operators $\hat{S}_\lambda^Z = \sum_i t_i^z \vec{r}_i \sigma \times Y_1(\vec{r}_i)^\lambda$ calculated by the HF+RPA model with the Skyrme interactions (a) SIII, (b) SGII, (c) SkI3 and (d) SLy4. The excitation energy is referred to the ground state of the parent nucleus $^{90}\text{Zr}$. The dotted, dashed and long-dashed lines show the SD strengths of $\lambda = 0^-, 1^-$ and $2^-$, respectively, while the solid curve shows the sum of three multipoles. The SD strength is averaged by the weighting function (5) with the width $\Delta=1\text{MeV}$. The experimental data shown by the black dots are taken from ref. [16].

The $0^-$ peak appears at $E_x \sim 30\text{MeV}$, having 73%, 65%, and 58% of the NEWSR value for the SIII, SGII and SkI3 interactions, respectively. The calculated results for $1^-$ states show a peak at $E_x \sim 29\text{MeV}$ having 50%, 59% and 48% of the NEWSR value.
FIG. 2: (Color online) Charge exchange SD strengths for the operators $\hat{S}_\pm^I = \sum_i t_i^I \sigma \times Y_1(\hat{r}_i)$ calculated by the HF+RPA model using the Skyrme interactions (a) SIII, (b) SGII, (c) SkI3 and (d) SLy4. The excitation energy is referred to the ground state of the parent nucleus $^{90}$Zr. The SD strength is averaged by the weighting function with a width of $\Delta=1$MeV. The experimental data shown by the black dots are taken from ref. [16]. See the captions to Fig. 1 for details.

for the SIII, SGII and SkI3 interactions, respectively. The three results in Figs. 1(a), (b) and (c) show the $0^-$ peak at a very similar excitation energy, while the values of NEWSR are somewhat different. The same is true for the $1^-$ peak in the three results. For the SLy4 interaction in Fig. 1(d), the $0^-$ and $1^-$ peaks appear at about 3 MeV lower than the other three results, having 76% and 68% of the NEWSR, respectively. This is due to the negative
TABLE III: Peak energies and the average energies of charge exchange SD excitations in the $A=90$ nuclei obtained by the self-consistent HF+RPA calculations: $t_-$ in $^{90}$Nb and $t_+$ in $^{90}$Y. The average energy is calculated by the ratio of EWSR to NEWSR: $\bar{E}(\text{MeV})=m_1/m_0$. See the text for details.

|       | $t_-$ |       | $t_+$ |
|-------|-------|-------|-------|
|       | $E_{\text{peak}}$(MeV) | $\bar{E}$(MeV) | $E_{\text{peak}}$(MeV) | $\bar{E}$(MeV) |
| SIII  | 28.5  | 25.7  | 13.5  | 10.9  |
| SGII  | 27.7  | 26.7  | 11.7  | 9.47  |
| SkI3  | 29.3  | 28.2  | 12.8  | 11.6  |
| SLy4  | 26.1  | 24.9  | 11.4  | 10.5  |

The value of the Landau parameter $G'_0$ in SLy4 for the spin-isospin channel. The dominant configurations of the collective $0^-$ and $1^-$ states are the $(\pi 1h_9/2\nu 1g_{9/2}^{-1})$ and $(\pi 1g_{7/2}\nu 1f_{7/2}^{-1})$ configurations. For the $2^-$ excitations, the number of p-h configurations is larger than those of $0^-$ and $1^-$ and therefore the strength is fragmented in a wider energy range compared with $0^-$ and $1^-$ excitations. There is a small low-lying peak with $J^\pi = 2^-$ at $E_x = 12.4$ (14.1) MeV with 10.0 (9.0)% of the NEWSR value in the case of the SIII (SGII) interaction. This state is mainly due to the $\pi 1g_{9/2}\nu 1f_{5/2}^{-1}$ configuration. The major strengths are found in the two peaks around 21 and 27 MeV in both the SIII and SGII results. The strength around $E_x = 21$ MeV exhausts 50(41)% of the NEWSR value, while the peak around $E_x = 27$ MeV exhausts 30(37)% of the NEWSR value for the SIII (SGII) interaction. The peak energies in the two results are similar, while more SD strength is shifted to the peak around $E_x = 21$ MeV in the case of the SIII interaction. The main configurations of the higher peak at $E_x = 27$ MeV are the same as those of the $0^-$ and $1^-$ peaks, namely, $(\pi 1h_{9/2}\nu 1g_{9/2}^{-1})$ and $(\pi 1g_{7/2}\nu 1f_{7/2}^{-1})$. On the other hand, the main configurations of the peak around $E_x = 21$ MeV are $(\pi 1h_{11/2}\nu 1g_{9/2}^{-1})$, $(\pi 2d_{5/2}\nu 2p_{1/2}^{-1})$ and $(\pi 2d_{5/2}\nu 2p_{3/2}^{-1})$. The $2^-$ strength distributions of the SkI3 and SLy4 interactions are somewhat different than those of the SIII and SGII interactions. There is no isolated low energy peak in the results for the SkI3 and SLy4 interactions. Three large peaks are seen at $E_x = 23.5$, 26.5 and 29.5 MeV together with several small peaks, while the two peaks at 20 and 26 MeV exhaust most of the strengths in the case of SLy4.

The SD strengths calculated by the SD operator $\hat{S}^A_+ = \sum_i t'_+ r_i [\sigma \times Y_1(\hat{r}_i)]^A$ are shown in
Fig. 2 (a), (b), (c) and (d) for the SIII, SGII, SkI3 and SLy4 interactions, respectively. The
strength distributions are divided into two energy regions: a broad bump below 10 MeV
and a peak around $E_x = 13$ MeV. The strengths below 10 MeV are due to the $\lambda^\pi=1^-$ and
$2^-$ states, while the high energy peak is induced mainly by the $1^-$ states. The large $0^-$
strength is also found just above the high energy $1^-$ peak. The summed NEWSR values
of all multipoles below 10 MeV are almost equal to the strength of the high energy peak
around $E_x = 13$ MeV in the case of the SIII and SGII interactions. The high energy peak
of the SIII interaction in Fig. 2(a) is about 2 MeV higher than those of SGII and SLy4, as
listed in Table III. The main configuration for the high energy peaks with $\lambda^\pi=0^-$ $1^-$ and
$2^-$ is the $(\nu 1g_{7/2}\pi 1f_{7/2}^{-1})$ excitation. For the low energy $1^-$ strength, the $(\nu 2d_{3/2}\pi 2p_{3/2}^{-1})$ and
$(\nu 1g_{7/2}\pi 1f_{5/2}^{-1})$ configurations play the dominant roles. The $(\nu 2d_{5/2}\pi 2p_{1/2}^{-1})$, $(\nu 2d_{5/2}\pi 2p_{3/2}^{-1})$
and $(\nu 3s_{1/2}\pi 2p_{3/2}^{-1})$ configurations have a large contribution in the low energy peak of $\lambda^\pi =
2^-$. The $(\nu 2d_{3/2}\pi 1f_{7/2}^{-1})$ configuration contributes substantially to the high energy $2^-$ peak
together with the $(\nu 1g_{7/2}\pi 1f_{7/2}^{-1})$ configuration. The large spread in the distributions of the
SD strengths in Figs. 1 and 2 are due to the fact that the $p-h$ excitations are very different
in unperturbed energy. Thus, the collision-less Landau damping effect plays an important
role in the large observed width of SD resonance, while the coupling to the continuum
plays a minor role. The coupling to 2-particle-2-hole (2p-2h) states were shown to increase
substantially the width of the main peak of the $t_-$ SD excitations of $^{90}$Zr in ref. [28].

The energies of the main peaks $E_{peak}$ are tabulated in Table III along with the average excitation
energies, which are calculated by the ratio of the energy-weighted sum rule (EWSR)
$m_1$ to the non-energy weighted sum rule (NEWSR) $m_0$, $\bar{E}=m_1/m_0$. The $\bar{E}$ is always lower
than the $E_{peak}$ because of the low energy peak in the excitation spectra. For the $t_-$ response,
the SkI3 interaction gives the highest excitation energy for the peak, while the SLy4 is the
lowest. Notice that the energy of SkI3 is the highest due to the small effective mass $m^*/m$,
while the negative Landau parameter $G_0'$ is responsible for the fact that SLy4 yields the
lowest energy value in Table III. The general trend of the average excitation energy $\bar{E}$ is the
same for the $t_+$ response. The SIII, however, gives a somewhat higher energy for the $E_{peak}$
than SkI3 does.

The calculated results of SD strength are shown in Fig. 3 together with the experimentally
obtained distributions of the SD strengths [16]. The spectra for the $t_+$ channel are shifted
by +23.6 MeV, accounting for the Coulomb energy difference between the daughter nuclei
FIG. 3: Charge exchange SD strength $\frac{dB(\text{SD}_-)}{dE}$ (upper panel) and $\frac{dB(\text{SD}_+)}{dE}$ (lower panel) of $^{90}\text{Zr}$. The circles and squares are the experimental data taken from ref. [16]. The spectra $\frac{dB(\text{SD}_+)}{dE}$ are shifted by the Coulomb energy difference between the two daughter nuclei $^{90}\text{Nb}$ and $^{90}\text{Y}$ (+23.6 MeV) to adjust the isospin difference between the two nuclei. The calculated results are plotted with the quenching factor $\text{quf} = 0.68$. The SD strength is averaged by the weighting function with the width $\Delta = 2$ MeV.

$^{90}\text{Nb}$ and $^{90}\text{Yb}$. We introduce the quenching factor $\text{quf} = 0.68$ for both the $t_-$ and $t_+$ channels. For the $t_-$ channel, the experimental strength distribution peaked at $E_x \sim 26$ MeV is well described by the SLy4 interaction. The results of SGII and SIII also give reasonable agreement with the experimental peak energy. None of the calculated results show any substantial strength above $E_x \sim 36$ MeV, while a significant portion of the sum rule value is found above $E_x \sim 36$ MeV in the experimental data. This difference may be due to the lack of coupling to many-particle many-hole states in the present RPA calculations. In ref. [28], the $t_-$ SD strengths in $^{90}\text{Zr}$ have been studied using the RPA model including
the couplings to 2p-2h states. It was found that the mixing between 1p-1h and 2p-2h states
gives a large asymmetric spread in the strength of the SD resonances, and about 30% of the
total strength is shifted to excitation energies above 35 MeV, referred to the parent nucleus
$^{90}$Zr. This result is consistent with the quenching factor adopted in Fig. 3. It should be
mentioned that the peak energy of the $t_-$ SD strength is not changed appreciably by the
coupling to the 2p-2h states, while the peak height is decreased substantially.

For the $t_+$ channel, the two peak structures can be seen in both the calculated and
experimental results. SkI3 and SLy4 describe the SD strength well at the low energy spectra.
The calculated strength up to $E_x=40$ MeV exhausts 100% of the sum rule value, while the
experimental data show appreciable strength above $E_x=40$ MeV. This difference may be
due to the couplings to many-particle many-hole states similar to the $t_-$ channel.

Let us now discuss the integrated SD strength. The integrated SD strength

$$m_0(E_x) = \sum_{\lambda=0^-} \int_0^{E_x} \frac{d\beta(\lambda)}{dE'} dE'$$

is plotted as a function of the excitation energy $E_x$ in Fig. 4 for the operators $\hat{S}_+^\lambda = \sum_i t_+^\lambda r_i [\sigma \times Y_1(\hat{r}_i)]^\lambda$ and $\hat{S}_-^\lambda = \sum_i t_-^\lambda r_i [\sigma \times Y_1(\hat{r}_i)]^\lambda$. The experimental data are taken from ref. [16]. The value $S_-$ is obtained by integrating up to $E_x=50$ MeV from the ground state
of the daughter nucleus $^{90}$Nb ($E_x=57$ MeV from the ground state of the parent nucleus
$^{90}$Zr), while the corresponding value $S_+$ is evaluated up to $E_x=26$ MeV from the ground
state of $^{90}$Y ($E_x=27.5$ MeV from the ground state of the $^{90}$Zr). This difference between
the two maximum energies of the integrals stems from the isospin difference between the
ground states of the daughter nuclei, i.e., T=4 in $^{90}$Nb and T=6 in $^{90}$Y. That is, the 23.6 MeV
difference originates from the difference in excitation energy between the T=6 Gamow-Teller
states in the (p,n) and (n,p) channels [16]. For both the $S_-$ and $S_+$ strength, the calculated
results overshoot the experimental data in the energy range $E_x=20-40$ MeV. These results
suggest the quenching of 30-40% of the calculated strength around the peak region, as was
already mentioned. However, the integrated cross-sections up to $E_x=56$ MeV in Fig. 4
approach the calculated values for both the $t_-$ and $t_+$ channels.

The calculated SD sum rule values in A=90 nuclei obtained by using the HF+RPA results
are tabulated in Table IV for the transitions with $\lambda^\pi=0^-, 1^-$ and $2^-$. Clearly, the $\Delta S$ values
show signs of multipole proportionality ($2\lambda+1$), even though $S_-$ and $S_+$ themselves do not
show any clear multipole dependence. The present RPA results for $^{90}$Zr listed in Table IV

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FIG. 4: Integrated charge exchange SD strength (6) excited by the operators $\hat{S}_- = \sum_{i,m,\mu} t_i^\pi s_m^\mu r_i^\mu (\hat{r}_i)$ and $\hat{S}_+ = \sum_{i,m,\mu} t_i^\pi s_m^\mu r_i^\mu (\hat{r}_i)$ on $^{90}\text{Zr}$. The calculated results are obtained by the HF+RPA model using the Skyrme interactions SIII, SGII, SLy4 and SkI3. The upper panel shows the $S_-$ and $S_+$ strength, while the lower panel shows the $S_- - S_+$ strength. All strengths for the three multipoles $\lambda^\pi=0^-, 1^-$ and $2^-$ are summed up in the results. The experimental data are taken from ref. [16]. No quenching factor is introduced in the calculation of the integrated strength.

satisfy the sum rule value (2) in Table II with high accuracy, to an error of only (0.1~0.2)%. This agreement guarantees the numerical accuracy of the present RPA calculations. This is also the case in $^{208}\text{Pb}$, as will be shown in Section IIB. The $\Delta S = S_- - S_+$ value is shown as a function of $E_x$ in the lower panel of Fig. 4. We note that the $\Delta S$ value saturates both in
TABLE IV: Sum rule values of charge exchange SD excitations in A=90 nuclei obtained by the HF+RPA calculations; S_- for $^{90}$Nb and S_+ for $^{90}$Y. The SD strength is integrated up to $E_x = 50$ MeV for S_- and $E_x = 26$ MeV for S_+, respectively. The experimental data are taken from ref. [16]. The SD sum rules are given in units of fm$^2$. See the text for details.

|       | SIII | SGII | SkI3 | SLy4 |
|-------|------|------|------|------|
| $\lambda^-$ | $S_-$ | $S_+$ | $\Delta S$ | $S_-$ | $S_+$ | $\Delta S$ | $S_-$ | $S_+$ | $\Delta S$ |
| 0^-   | 34.8 | 18.5 | 16.4 | 33.2 | 17.4 | 15.8 | 36.6 | 19.1 | 17.5 | 37.8 | 21.4 | 16.4 |
| 1^-   | 120.8 | 71.7 | 49.1 | 122.0 | 74.3 | 47.7 | 120.8 | 68.2 | 52.7 | 115.8 | 66.4 | 49.4 |
| 2^-   | 130.1 | 48.5 | 81.6 | 125.5 | 45.9 | 79.5 | 139.0 | 51.1 | 87.9 | 138.7 | 56.4 | 82.3 |
| sum   | 285.7 | 138.6 | 147.1 | 280.7 | 137.6 | 143.1 | 296.3 | 138.3 | 158.1 | 292.3 | 144.2 | 148.2 |
| exp   | $S_- = 271 \pm 14$ | $S_+ = 124 \pm 11$ | $\Delta S = 147 \pm 13$ |
strong linear correlation between the two quantities $1, 2, 3$, as will be discussed in Section 3.

### B. Charge exchange SD excitations of $^{208}\text{Pb}$

TABLE V: Proton, neutron and charge radii of $^{208}\text{Pb}$. The charge radius is obtained by folding the proton finite size. The sum rule values $\Delta S = S_- - S_+$ of the SD excitations are calculated by Eq. 3 with the HF neutron and proton mean square radii. Experimental data on the charge radius are taken from ref. 27. Experimental data on $\delta_{np} = r_n - r_p$ are obtained by the proton scattering [8, 9, 10] and the giant dipole excitations of $^{208}\text{Pb}$ [11]. The radii are given in units of fm, while the SD sum rules are given in units of fm$^2$.

|       | SIII | SGI1 | SkI3 | SLy4 | exp |
|-------|------|------|------|------|-----|
| $r_p$ | 5.521| 5.454| 5.421| 5.457| —   |
| $r_c$ | 5.578| 5.512| 5.479| 5.515| 5.503 ± 0.002 |
| $r_n$ | 5.646| 5.589| 5.649| 5.617| —   |
| $\delta_{np} = r_n - r_p$ | 0.125| 0.135| 0.228| 0.160| $0.083 < \delta_{np} < 0.111$ [8], 0.19 ± 0.09 [11] |
| $\Delta S$ | 1086 | 1072 | 1154 | 1098 |

The HF results of $^{208}\text{Pb}$ are summarized in Table V. The RPA results of SD excitations of $^{208}\text{Pb}$ are given in Figs. 5 and 6 for the four different Skyrme interactions, namely, SIII, SGI1, SkI3, and SLy4. For the $t_-$ channel, the strength distributions are spread out in a broad energy region ($15 \text{ MeV} < E_x < 35 \text{ MeV}$) except a tiny peak at $E_x \sim 5 \text{ MeV}$. On the other hand, the strength for the $t_+$ channel is concentrated in a single narrow peak. The highest peak of the $t_-$ channel occurs at $E_x \sim 27-28 \text{ MeV}$ in the cases of the SIII, SGI1, and SLy4 interactions, while it is shifted to higher energies ($E_x \sim 33 \text{ MeV}$) in the case of SkI3. The $0^-$ and $1^-$ excitations have merged into one peak, having more than 40% of the total strength at the high energy side, while the $2^-$ states split into a broad energy region. The low-energy $2^-$ state at around $E_x = 4 \text{ MeV}$ is mainly due to the $(\pi 1h_{9/2} 2\nu 1i_{13/2}^{-1})$ excitation. The $0^-$ peak is predicted to occur at a slightly higher energy than the $1^-$ peak. However, it might be difficult to observe this peak experimentally because of its rather low strength. There are appreciable differences in the peak energies between the Skyrme interactions for...
FIG. 5: (Color online) Charge exchange SD strengths for the operators $\hat{S}_\lambda^+ = \sum_i \epsilon_i^+ r_i [\sigma \times Y_1(\hat{r}_i)]^{\lambda}$ calculated by the HF+RPA model with the Skyrme interactions (a) SIII, (b) SGII, (c) SkI3, and (d) SLy4. The excitation energy is referred to the ground state of the parent nucleus $^{208}$Pb. The SD strength is averaged by the weighting function in Eq. (5) with the width $\Delta = 1$ MeV.

the $t_+$ channel: $E_x \sim 3$ MeV for SGII, $E_x \sim 5$ MeV for SIII and $E_x \sim 6$ MeV for SLy4 and SkI3, as listed in Table VI. The sum rule values $S_-$ and $S_+$ are listed in Table VII. Because of the strong Pauli blocking of neutron excess in $^{208}$Pb, the $S_+$ value is much smaller than the $S_-$ value, at most, 20% of the corresponding $S_-$ value for each multipole. The $S_+$ value is substantial in the case of $A=90$ as shown in Table IV, more than 55% of $S_-$ in some cases. However, $\Delta S = S_- - S_+$ obeys the $(2\lambda+1)$ proportionality, as expected from Eq. (2). The charge exchange $^{208}$Pb($^3$He,$t$)$^{208}$Bi reaction was performed to study the SD strength in $^{208}$Bi. The data were analyzed by a least-squares fitting method and the peak of the SD strength was found to be at $E_x = 24.8\pm0.8$ MeV, as measured from the ground state of $^{208}$Pb [29].
FIG. 6: (Color online) Charge exchange SD strengths for the operators $S_\lambda^\dagger = \sum_i t_+^i r_i [\sigma \times Y_1 (\hat{r}_i)]^\dagger_\lambda$ calculated by the HF+RPA model with the Skyrme interactions (a) SIII, (b) SGII, (c) SkI3 and (d) SLy4. The excitation energy is referred to the ground state of the parent nucleus $^{208}$Pb. The SD strength is averaged by the weighting function in Eq. [5] with the width $\Delta = 1$ MeV.

This empirical peak energy is close to the average energy $\bar{E}$ of SD strength obtained by SIII and SGII in Table VII. Further experimental effort is urgently needed to obtain more quantitative strength distributions, for example, for the multipole decomposition analysis of charge exchange reactions on a $^{208}$Pb target.

One can see only one sharp peak in the $t_+$ channel in Fig. 6. There are only two allowed $1p-1h$ configurations ($\nu 2g_{\frac{9}{2}}/\pi 1h_{\frac{1}{2}}^{-1}$) and ($\nu 1i_{\frac{11}{2}}/\pi 1h_{\frac{11}{2}}^{-1}$) for both $1^-$ and $2^-$ excitations because of the strong Pauli blocking effect of excess neutrons. Moreover, the $\nu 2g_{\frac{9}{2}}$ and $\nu 1i_{\frac{11}{2}}$ states are almost degenerate in energy in the HF potential. They are the reasons why there is only one sharp peak in the $t_+$ channel of $^{208}$Pb. It might be interesting to perform
FIG. 7: Charge exchange SD strength $\frac{dB(SD_\perp)}{dE}$ (upper panel) and $\frac{dB(SD_\parallel)}{dE}$ (lower panel) of $^{208}\text{Pb}$. The spectra $\frac{dB(SD_\perp)}{dE}$ are shifted by +37.2 MeV due to the Coulomb energy difference between the two daughter nuclei $^{208}\text{Bi}$ and $^{208}\text{Tl}$. The arrow in the upper panel shows a peak energy at $E_x = 24.8$ MeV observed by the charge exchange reaction $^{208}\text{Pb}^3\text{He},t^{208}\text{Bi}$ [29].

$^{208}\text{Pb}(n,p)^{208}\text{Tl}$ or $^{208}\text{Pb}(t,^3\text{He})^{208}\text{Tl}$ reactions in order to observe this peak experimentally. The $^{208}\text{Pb}(n,p)^{208}\text{Tl}$ reaction has been reported for the $t_+$ channel, and a broad peak found at $E_x \sim 8$MeV, as measured from the ground state of $^{208}\text{Pb}$ with rather poor statistics [30].

The integrated SD strengths for both the $t_-$ and $t_+$ channels are shown in Fig. 8. The calculated NEWSR shows a saturation at around $E_x \sim 30$ MeV as can be seen in Fig. 8. As noted previously, the $t_+$ channel has only a small contribution to the model-independent
FIG. 8: Integrated charge exchange SD strength of $^{208}\text{Pb}$ for the operators $\hat{S}_- = \sum_{i,m,\mu} t_\mu r_i \sigma^i Y_{1}^{\mu}(\hat{r}_i)$ and $\hat{S}_+ = \sum_{i,m,\mu} t_+ r_i \sigma^i Y_{1}^{\mu}(\hat{r}_i)$ calculated by the HF+RPA model with the Skyrme interactions SIII, SGII, SkI3 and SLy4. The upper panel shows the $S_-$ and $S_+$ strength, while the lower panel shows the $\Delta S = S_- - S_+$ strength. All strengths for the three multipoles $\lambda=0^-, 1^-$ and $2^-$ are summed up in the results.

sum rule $\Delta S$.

The couplings to the 2p-2h states may increase the spread in the SD strength in $A=208$ nuclei as well as $A=90$ nuclei. So far, the charge exchange Gamow-Teller(GT) states in $^{208}\text{Bi}$ were studied by taking into account the couplings to 2p-2h states in the particle-vibration model $^{[24]}$. While a large spread was found in the GT states in the particle-vibration model
TABLE VI: Peak energies and the average energies of charge exchange SD excitations in $A=208$ nuclei calculated by the HF+RPA model; $S_-$ for $^{208}$Bi and $S_+$ for $^{208}$Tl. The average energy is calculated by the ratio of EWSR to NEWSR: $\bar{E}(\text{MeV}) = m_1/m_0$. See the text for details.

|      | $t_-$ | $t_+$ |
|------|-------|-------|
| $E_{\text{peak}}$(MeV) | $\bar{E}$(MeV) | $E_{\text{peak}}$(MeV) | $\bar{E}$(MeV) |
| SIII | 26.7  | 24.2  | 5.0  | 7.3  |
| SGII | 28.1  | 24.6  | 2.5  | 6.0  |
| SkI3 | 32.7  | 27.9  | 5.6  | 7.3  |
| SLy4 | 27.4  | 23.6  | 6.3  | 8.0  |

TABLE VII: Sum rule values of charge exchange SD excitations in $A=208$ nuclei calculated by the HF+RPA model; $S_-$ for $^{208}$Bi and $S_+$ for $^{208}$Tl. The SD strength is integrated up to $E_x = 57$ MeV for $S_-$ and $E_x = 20$ MeV for $S_+$; the excitation energy is referred to the ground state of $^{208}$Pb. The SD sum rules are given in units of fm$^2$. See the text for details.

|      | SIII | SGII | SkI3 | SLy4 |
|------|------|------|------|------|
| $\lambda^\pi$ | $S_-$ | $S_+$ | $\Delta S$ | $S_-$ | $S_+$ | $\Delta S$ | $S_-$ | $S_+$ | $\Delta S$ |
| 0$^-$| 148.6 | 27.0 | 121.6 | 144.1 | 24.3 | 119.8 | 158.0 | 29.7 | 128.3 | 158.5 | 36.0 | 122.5 |
| 1$^-$| 442.7 | 78.8 | 363.9 | 440.4 | 82.3 | 358.1 | 454.5 | 69.2 | 385.3 | 430.8 | 63.6 | 367.2 |
| 2$^-$| 632.2 | 28.3 | 603.9 | 620.7 | 26.4 | 595.3 | 669.8 | 28.2 | 641.6 | 644.5 | 34.1 | 610.5 |
| sum | 1224. | 134.1 | 1089 | 1205. | 132.0 | 1073 | 1282. | 127.1 | 1155. | 1234. | 133.7 | 1100. |

calculations, the peak energy did not change appreciably due to the couplings to 2p-2h states. There have been no microscopic studies of SD states that take into account the couplings to 2p-2h states in $A=208$ nuclei.

III. SD SUM RULES AND NEUTRON MATTER EOS

Sum rules are useful tools to study the collective nature of excitation modes in many-body systems. In particular, for charge exchange excitations, model-independent sum rules are derived and used to analyze experimental data on Gamow-Teller resonances and SD resonances [13]. For SD states, the sum rules can be used to extract the neutron skin thickness,
as was discussed in Section 2. References [1, 2, 3] have reported a strong correlation between the neutron skin thickness and the neutron matter EOS, as obtained by using Skyrme and relativistic mean field theories. In this section, we will study the relation between the SD sum rules and the neutron matter EOS. The strong linear correlation between the neutron skin thickness

$$\delta_{np} = \sqrt{\langle r^2 \rangle_n} - \sqrt{\langle r^2 \rangle_p}$$

(7)

and the pressure of neutron matter

$$P = \rho_n \frac{d(E(\rho_n)/\rho_n)}{d\rho_n}$$

(8)

is essential for this study. Other linear correlations between the neutron skin thickness and various isovector nuclear matter properties have also been pointed out recently [6]. Given these correlations, accurate information on the neutron skin thickness will be quite useful in determining empirically the pressure of neutron matter EOS and isovector nuclear properties, such as the volume and surface symmetry energies.

The correlations between the pressure of neutron matter at the neutron density $\rho_n = 0.1$ fm$^{-3}$ and the charge exchange SD sum rules of $^{90}$Zr and $^{208}$Pb are shown in Figs. 9 and 10 with 12 different Skyrme interactions. The numbers denote different Skyrme parameter sets: 1 for SI, 2 for SIII, 3 for SIV, 4 for SVI, 5 for Skya, 6 for SkM, 7 for SkM$^*$, 8 for SLy4, 9 for MSkA, 10 for SkI3, 11 for SkX and 12 for SGII. The correlation coefficients from the extrapolated lines are $r = 0.888$ and 0.811 for $^{208}$Pb and $^{90}$Zr, respectively. The correlation coefficients are somewhat smaller than those of the calculated correlation between the neutron skin thickness $\delta_{np}$ and the pressure $P$ in ref. 3, but still, we can see fairly good correlations in Figs. 9 and 10.

The rms proton, charge, and neutron radii in $^{90}$Zr calculated by the HF model with the four interactions SIII, SGII, SkI3 and SLy4 are shown in Table II. The calculated charge radii of the SGII and SkI3 interactions show reasonable agreement with the experimental values. However, there is a factor 2 difference in the neutron skin thickness $\delta_{np}$ between the two interactions. As seen in Table II, the neutron skin thickness $\delta_{np}$ obtained by the SD sum rules is consistent with the value previously obtained from the proton scattering data. However, the experimental uncertainty in the value $\delta_{np} = (0.07 \pm 0.04)$ fm obtained by the SD sum rules is half that obtained through the proton data. This small uncertainty will help
FIG. 9: Correlations between the pressure of neutron matter and the SD sum rule values of $^{90}\text{Zr}$ with 12 different Skyrme interactions. The numbers denote different Skyrme parameter sets: 1 for SI, 2 for SIII, 3 for SIV, 4 for SVI, 5 for Skya, 6 for SkM, 7 for SkM$, 8$ for SLy4, 9 for MSkA, 10 for SkI3, 11 for SkX and 12 for SGII. The correlation coefficient is found to be $r = 0.811$.

to disentangle the neutron matter EOS using the strong correlation with the neutron skin thickness. The experimental skin thickness $\delta_{np} = 0.07 \pm 0.04 \text{fm}$ is close to the HF results of SLy4, as well as SGII and SIII. The SkI3 result is not favored over the empirical result, even taking the experimental uncertainties into consideration. We should also note that the experimental peak energy of $t_-$ SD strength in $^{90}\text{Nb}$ coincides with the calculated peak energy of the SLy4 interaction, while that of SkI3 is 4 MeV above the experimental value, as seen in Fig. 8. While all interactions lie within the experimental value $\Delta S = (147 \pm 13) \text{fm}^2$ in Fig. 8, the empirical data favor the interactions indicated by the numbers 2(SIII), 11(SkX), 8(SLy4), 7(SkM$^*$) and 6(SkM). These interactions suggest a soft neutron matter EOS with the pressure $P(\rho_n=0.1\text{fm}^{-3}) = (0.65\pm0.2) \text{ MeV}$. Thus, the preferred nuclear matter symmetry energy extracted from the SD experiment is found to be $J = (30\pm2) \text{ MeV}$ as a result of
FIG. 10: Correlations between the pressure of neutron matter and the SD sum rule values of $^{208}$Pb with 12 different Skyrme interactions. The numbers denote different Skyrme parameter sets: 1 for SI, 2 for SIII, 3 for SIV, 4 for SVI, 5 for Skya, 6 for SkM, 7 for SkM*, 8 for SLy4, 9 for MSkA, 10 for SkI3, 11 for SkX and 12 for SGII. The dashed line represents the result obtained by the least-squares method. The correlation coefficient is found to be $r = 0.888$.

Table V tabulates the rms proton, charge and neutron radii in $^{208}$Pb calculated by the HF model, along with the experimental charge radius. The HF results of SGII and SLy4 account for the experimental charge radius, while there is a large variation in the predictions for the neutron skin thickness $\delta_{np}$. The empirical value of the neutron skin thickness $\delta_{np}$ in $^{208}$Pb was obtained by proton scattering experiments. However, the values obtained depend very much on the experiments and analyses. That is, the experimental errors are still large and some of the values obtained have no overlap, even when the uncertainty in the analyses is taken into account; $\delta_{np} = (0.14 \pm 0.02)$ fm in ref. [9], $\delta_{np} = (0.20 \pm 0.04)$ fm in ref. [10] and $(0.083 < \delta_{np} < 0.111)$ fm in ref. [8]. We quote in Table V the value.
in ref. \[8\] where the analyses were performed comprehensively with many different sets of data including those adopted in refs. \[9, 10\]. Although these results depend on the effective nucleon-nucleon effective interactions in nuclei used in the analysis, the comprehensive study of proton scattering in ref. \[8\] reports rather small neutron skin thicknesses, even smaller than the smallest value in Table \[V\] obtained using the SIII interaction. Again, this small $\delta_{np}$ suggests a soft neutron matter EOS similar to the conclusion reached by the SD sum rules of $^{90}$Zr. The charge exchange $^{208}$Pb($^3$He,t)$^{208}$Bi reaction data \[29\] show an SD peak in $^{208}$Bi at $E_x = 24.8\pm0.8$ MeV measured from the ground state of $^{208}$Pb, as marked by an arrow in Fig. \[7\]. This peak position is close to the calculated value of the SGII interaction, while the SkI3 peak is a few MeV higher than the empirical value. This comparison may exclude the prediction by SkI3, which gives a hard neutron matter EOS in Fig. \[10\] marked by the number 10.

The neutron skin thickness was determined by the giant dipole resonance experiment to be $\delta_{np} = (0.19 \pm 0.09)$fm \[11\]. This analysis depends on the adopted transition density and also the optical potentials so that the result is highly model-dependent. We definitely need more quantitative information, i.e., model-independent information on the neutron skin thickness in $^{208}$Pb for precise determination of the neutron matter EOS as well as the isovector nuclear matter properties. To this end, the charge exchange SD experiments of $^{208}$Pb will provide useful model-independent information with the same accuracy as the parity violation electron scattering experiment.

**IV. SUMMARY**

We have investigated the SD excitations in $^{90}$Zr and $^{208}$Pb using the HF + RPA model with four Skyrme interactions, viz., SIII, SGII, SkI3 and SLy4. It is shown that the Landau damping effect plays an important role in explaining the large observed width of SD resonance, while the coupling to the continuum is rather weak. Among the four interactions, the peak position of the experimental $t_-$ SD strength in $^{90}$Nb is well described by the SLy4 interaction, while the results of SIII and SGII are also acceptable. For the $t_+$ excitation of $^{90}$Zr, a two-peak structure was found in both the experimental and calculated results. The SLy4 and SkI3 results showed good agreement with the observed low energy peak. We pointed out that the calculated results need a quenching factor $q_{uf} \approx 0.68$ to al-
low a quantitative comparison with the experimental data up to $E_x = 36(40)$ MeV for the $t_-(t_+)$ channel in Fig. 3. About 30% of the NEWSR value is found in the excitation energy above $E_x = 36(40)$ MeV for the $^{90}$Zr(p,n) $^{90}$Nb ($^{90}$Zr(n,p) $^{90}$Y) experiments. The calculated SD sum rule $\Delta S = S_- - S_+$ shows good saturation properties above $E_x = 40$ MeV without any quenching factor relative to the observed data despite the fact that sum rules $S_-$ and $S_+$ themselves increase gradually above $E_x \geq 40$ MeV. The neutron skin thickness $\delta_{np} = 0.07 \pm 0.04$ fm extracted from the SD sum rules is close to the calculated values obtained using SLy4 as well as SIII and SGII. However, the extracted value does not favor the SkI3 interaction which gives almost twice as large a neutron skin thickness as SIII and SGII. This is indicative of the soft neutron matter EOS induced by the strong linear correlation between the neutron matter EOS and the neutron skin thickness. We showed that the SD strength of the $t_-$ excitation of $^{208}$Pb has a large width due to the Landau damping effect. In contrast, the $t_+$ excitation of $^{208}$Pb turns out to be a single peak in a rather low energy region because of the strong Pauli blocking effect of the excess neutrons. The peak of the $t_-$ SD strength was observed by $^{208}$Pb($^3$He,t) $^{208}$Bi at $E_x \sim 25$ MeV. This peak energy coincides with the peak calculated using the SGII interaction, while the SkI3 interaction yields a peak that is a few MeV higher than the empirical peak. Thus, the empirical SD sum rule values of $^{90}$Zr and the observed peak energies of the $t_-$ SD strength distributions in $^{90}$Nb and $^{208}$Bi indicate a soft neutron matter EOS with a pressure of $P(\rho_n=0.1\text{fm}^{-3}) = (0.65 \pm 0.2)$ MeV. The nuclear matter symmetry energy is also determined to be $J = (30 \pm 2)$ MeV from the strong correlation between the neutron skin thickness and the symmetry energy. In order to draw a more definite conclusion on the SD sum rules, as well as the neutron skin thickness and the neutron matter EOS, we need quantitative experimental work to obtain the SD sum rules in heavy nuclei like $^{208}$Pb, both in the $t_-$ and $t_+$ channels.

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