Potential for the Lung Recruitment and the Risk of Lung Overdistension During 21 Days of Mechanical Ventilation in Patients With COVID-19 After Noninvasive Ventilation Failure: the COVID-VENT Observational Trial

Andrey Yaroshetskiy (dr.intensivist@gmail.com)
Sechenov First Moscow State Medical University (Sechenov University)
https://orcid.org/0000-0002-1484-092X

Sergey N. Avdeev
Sechenov First Moscow State Medical University (Sechenov University)

Mikhail E. Politov
Sechenov First Moscow State Medical University (Sechenov University)

Pavel V. Nogtev
Sechenov First Moscow State Medical University (Sechenov University)

Victoria G. Beresneva
Sechenov First Moscow State Medical University (Sechenov University)

Yury D. Sorokin
Sechenov First Moscow State Medical University (Sechenov University)

Vasily D. Konanykhin
Pirogov Russian National Research Medical University

Anna P. Krasnoshchekova
Sechenov First Moscow State Medical University (Sechenov University)

Zamira M. Merzhoeva
Sechenov First Moscow State Medical University (Sechenov University)

Natalia A. Tsareva
Sechenov First Moscow State Medical University (Sechenov University)

Natalia V. Trushenko
Sechenov First Moscow State Medical University (Sechenov University)

Irina A. Mandel
Sechenov First Moscow State Medical University (Sechenov University)

Andrey G. Yavorovskiy
Sechenov First Moscow State Medical University (Sechenov University)
Abstract

Background: Data on the lung respiratory mechanics and gas exchange in the time course of COVID-19-associated respiratory failure is limited. This study aimed to explore respiratory mechanics and gas exchange, the lung recruitability and risk of overdistension during the time course of mechanical ventilation.

Methods: This was a prospective observational study in critically ill mechanically ventilated patients (n=116) with COVID-19 admitted into Intensive Care Units of Sechenov University. The primary endpoints were: «optimum» positive end-expiratory pressure (PEEP) level balanced between the lowest driving pressure and the highest SpO\textsubscript{2} and number of patients with recruitable lung on Days 1 and 7 of mechanical ventilation. We measured driving pressure at different levels of PEEP (14, 12, 10 and 8 cmH\textsubscript{2}O) with preset tidal volume, and with the increase of tidal volume by 100 ml and 200 ml at preset PEEP level, and calculated static respiratory system compliance (C\textsubscript{RS}), PaO\textsubscript{2}/FiO\textsubscript{2}, alveolar dead space and ventilatory ratio on Days 1, 3, 5, 7, 10, 14 and 21.

Results: The «optimum» PEEP levels on Day 1 were 11.0 (10.0-12.8) cmH\textsubscript{2}O and 10.0 (9.0-12.0) cmH\textsubscript{2}O on Day 7. Positive response to recruitment was observed on Day 1 in 27.6% and on Day 7 in 9.2% of patients. PEEP increase from 10 to 14 cmH\textsubscript{2}O and VT increase by 100 and 200 ml led to a significant decrease in C\textsubscript{RS} from Day 1 to Day 14 (p<0.05). Ventilatory ratio was 2.2 (1.7-2.7) in non-survivors and in 1.9 (1.6-2.6) survivors on Day 1 and decreased on Day 7 in survivors only (p<0.01). PaO\textsubscript{2}/FiO\textsubscript{2} was 105.5 (76.2-141.7) mmHg in non-survivors on Day 1 and 136.6 (106.7-160.8) in survivors (p=0.002). In survivors, PaO\textsubscript{2}/FiO\textsubscript{2} rose on Day 3 (p=0.008) and then between Days 7 and 10 (p=0.046).

Conclusion: Lung recruitability was low in COVID-19 and decreased during the course of the disease, but lung overdistension occurred at «intermediate» PEEP and VT levels. In survivors gas exchange improvements after Day 7 mismatched C\textsubscript{RS}.

Trial registration: ClinicalTrials.gov, NCT04445961. Registered 24 June 2020 - Retrospectively registered, http://https://clinicaltrials.gov/ct2/show/NCT04445961?cond=COVID-19&cntry=RU&city=Moscow&draw=3&rank=23

Background

Most patients with COVID-19-associated acute respiratory failure fulfil the criteria of the acute respiratory distress syndrome (ARDS) and often require invasive mechanical ventilation (1-18). In these patients it may be crucial to understand the principal features of gas exchange abnormalities, respiratory mechanics and lung recruitability in order to provide an appropriate adjustment of the positive end-expiratory pressure (PEEP), the tidal volume and the use of recruitment maneuvers. Gattinoni L et al. recently proposed two phenotypes of COVID-19-related ARDS: L-phenotype (low lung elastance and low recruitability) and H-phenotype (high lung elastance and high recruitability) at the late
stage (1). On the contrary, in comparative studies the COVID-related ARDS was similar to the primary non-
COVID-related ARDS (2-3, 18). However, observational studies have shown high variability in the optimum
PEEP levels and in the lung recruitability in these patients, and covered predominantly the first 7 days of
mechanical ventilation (3-5, 7, 9, 10-11, 15-17).

The goal of the study was to investigate respiratory mechanics and gas exchange during the first
21 days of mechanical ventilation with the aim for selection of the «optimum» positive end-expiratory
pressure (PEEP), evaluation of recruitability and a risk of volutrauma in COVID-19-associated acute
respiratory failure.

**Methods**

*Study design*

This was a prospective observational clinical study (ClinicalTrials.gov NCT04445961) conducted
in intensive care units (ICUs) at three hospitals of Sechenov University (Moscow, Russia) from May 1 to
August 14, 2020. The study was approved by the Institutional Ethics Committee (reference number: 16-
20). Written informed consent was waived owing to the observational nature of the study.

*Patients*

All mechanically ventilated patients (both invasive and non-invasive) were daily screened for
eligibility. We included patients with COVID-19-associated respiratory failure requiring invasive
mechanical ventilation after noninvasive ventilation (NIV) failure. Exclusion criteria were: 1)peripheral
oxygen saturation (SpO₂) > 93%, no visible work of auxiliary respiratory muscles (sternocleidomastoid
and scalene), no fatigue on conventional oxygen therapy (oxygen flow < 15 l/min) or non-invasive
ventilation; 2) life-threatening heart rhythm abnormalities and/or systolic blood pressure < 80 mmHg
despite norepinephrine at a dose > 2 µg/kg/min; 3) ICU stay less than 24 hours for any reason; 4) primary
lung diseases (e.g. interstitial lung diseases, lung emphysema) or tumour metastases in lungs; 5) chronic
decompensated diseases with extrapulmonary organ dysfunction (tumour progression, liver cirrhosis,
congestive heart failure); 6) atonic coma.

*Measurements*

At the start of the study all patients were on mechanical ventilation in the assisted pressure-
controlled volume-guaranteed mode in supine position with the tidal volume (VT) set at 6-8 ml/kg of the
predicted body weight (PBW) and positive end-expiratory pressure set at 8 cmH₂O, inspiratory time 0,8-1,1
s to prevent air trapping at exhalation, respiratory rate (RR) set at 16-28 to reach arterial carbon dioxide
tension (PaCO₂) 35-50 mmHg and inspiratory fraction of oxygen (FiO₂) set at minimal level to reach SpO₂
93-96%. Patients were sedated with a propofol infusion up to the Richmond Agitation-Sedation Score
(RASS) -3-4 points and paralyzed if they had inspiratory swings on pressure-time curve and/or visible
work of auxiliary respiratory muscles besides RASS-4.
We measured plateau pressure (Pplat) with the inspiratory hold maneuver for 3 seconds at PEEP levels of 14, 12, 10 and 8 cmH₂O and calculated driving pressure (DP) as Pplat-PEEP and static respiratory system compliance (C_RS) on Days 1, 3, 5, 7, 10, 14, 21 and 28 (if applicable) («PEEP trial»). We set the PEEP level at mentioned time points at the balance point of the lowest DP and the highest SpO₂. After the PEEP setting, we increased tidal volume by 100 ml and 200 ml in 2 steps, respectively, and measured Pplat on each step with DP and C_RS calculation (on Days 1 and 7 as part of recruitment maneuver)(«volume trial»).

For the correct calculation of C_RS during a volume increase we computed the «normalized» C_RS by dividing the tidal volume in ml/kg of the predicted body weight to driving pressure.

Also, on Days 1 and 7, we used the recruitment maneuver (RM) doubling tidal the volume for 15 respiratory cycles at a preset PEEP level (the doubled tidal volume was reached by several steps of 100 ml each). Before the maneuver we set FiO₂ that corresponds to SpO₂ 90%. Prior to and at the end of the maneuver we measured the plethysmography variability index (PVI) by Radical-7 monitor (Masimo Corp, Irvine, CA, USA). We defined RM as effective if SpO₂ rose to 95% and higher in 5 minutes after RM.

Patients were placed in the prone position for at least 16 hours per day if it led to an increase in SpO₂ by more than 5% except for patients with body mass index > 40 kg/m² (they were placed in lateral positions) and patients in whom the prone position led to an increase in driving pressure. The ventilation mode was switched to the Pressure Support mode if a patient was conscious or sedated up to RASS 0-2, had a stable respiratory and hemodynamic state, no visible work of auxiliary respiratory muscles and a stable respiratory pattern after switching. The pressure support level was set according to Pplat and corrected to achieve the Tobin index (respiratory rate/VT) of less than 70. Tracheostomy was performed on the 3rd day of mechanical ventilation.

**Laboratory tests**

Before the PEEP and volume trials we measured partial pressure of oxygen in arterial blood (PaO₂), partial pressure of carbon dioxide in arterial blood (PaCO₂), arterial pH, and end-expiratory carbon dioxide tension (PetCO₂), and calculated PaO₂/FiO₂ ratio, alveolar dead space (V_Dalv/VT) according to Bohr-Enghoff equation and ventilatory ratio (VR) (19).

Routine blood examinations included 1) complete blood count, 2) coagulation profile - fibrinogen, activated partial thromboplastin time, international normalized ratio and D-dimers, 3) serum biochemical tests (C-reactive protein, albumin, creatinine, blood urea nitrogen, total bilirubin, alanine transaminase and aspartate transaminase, lactate dehydrogenase, electrolytes and serum ferritin). The frequency of tests was determined by the attending physician (everyday, as usual).

**Endpoints and statistical analysis**

The primary endpoints were: 1. «Optimum» PEEP level on Days 1 and 7 of mechanical ventilation balanced between the lowest DP and the highest SpO₂; 2. Number of patients with recruitable lung
defined as SpO$_2$ changed from 90% to 95% and more after recruitment maneuver on Days 1 and 7 of mechanical ventilation.

Secondary endpoints included: 1. «Optimum» PEEP level on Days 3, 5, 10, 14 and 21 (set as described above); 2. Driving pressure at different PEEP levels (8, 10, 12, 14 mbar) and different tidal volumes (initial, +100 ml and + 200 ml) at a set PEEP level on Days 1, 3, 5, 7, 10, 14, 21 of the mechanical ventilation; 3. Alveolar dead space on Days 1, 3, 5, 7, 10, 14, 21 of the mechanical ventilation; 4. Plethysmography variation index before PEEP and volume trials and at the end of the recruitment maneuver on Days 1 and 7 of the mechanical ventilation or during the volume trial on Days 3, 5,10 and 21; 5. PaO$_2$/FiO$_2$ ratio and ventilatory ratio on Days 1, 3, 5, 7, 10, 14, 21 of the mechanical ventilation.

Descriptive statistics included proportions for categorical and median (interquartile range) for continuous variables. No imputation was made for missing data. To assess differences between survivors and non-survivors, we performed the Mann-Whitney U test for continuous variables, and Chi-square or Fisher exact test for categorical variables. The Friedman test was used for variable dynamics within group. A two-sided p< 0.05 was considered statistically significant. Statistical analyses were performed using SPSS Statistics version 19.0 (IBM, Armonk, NY, USA).

**Results**

We consecutively identified 176 and enrolled 116 patients (**Figure 1**). Baseline demographic and laboratory characteristics, comorbidities and medications of all patients and subgroups of survivors and non-survivors are summarised in **Table 1**.

The «PEEP trial» (**Figure 2, Table E1**) showed that the PEEP increase from 10 to 14 cmH$_2$O resulted in a significant increase in driving pressure on Days 3, 5, 7, 10 and 14 both in survivors and non-survivors. Driving pressure decreased with PEEP increase from 8 to 12 cmH$_2$O in survivors on Day 1. Driving pressure levels were significantly higher in non-survivors on Days 5, 7 and 10. Driving pressure rose at equal PEEP levels during the study period and it reached 48 cmH$_2$O at PEEP 14 cmH$_2$O in 1 non-survivor at day 28.

Volume increase by 100 ml and 200 ml from the set volume and PEEP («volume trial») resulted in a significant decrease in normalized Cstat on Days 1, 3, 5, 7, 10 and 14 in all patients and on Day 21 in non-survivors (**Figure 3, Table E1**). Differences between normalized Cstat in survivors and non-survivors were significant on Days 5, 7 and 10. At day 21 minimal normalized Cstat in non-survivor at tidal volume +200 ml was 8 cmH$_2$O/ml and driving pressure reached 74 cmH$_2$O (**Table E1**).

Static compliance at a preset tidal volume and PEEP showed a mild-to-moderate decrease and was not significantly different within and between survivors and non-survivors in dynamics (**Figure 4, Table 2**). Driving pressure differed significantly between survivors and non-survivors on Days 5, 7 and 10 and didn't change significantly within survivors but increased in non-survivors on Day 21 (p=0.046).
Primary outcomes

«Optimum» PEEP levels on Days 1 and 7 were 11.0 (10.0-12.8) cmH$_2$O and 10.0 (9.0-12.0) cmH$_2$O, respectively, with no differences between survivors and non-survivors (p=0.705 and p=0.835, respectively) (Figure 5). The recruitment maneuver was effective in 29.3% of patients on Day 1, and in 9.2% on Day 7.

Secondary outcomes

«Optimum» PEEP levels on Days 1, 3, 5, 7, 10, 14 and 21 are presented in Table 2. The «optimum» PEEP levels and tidal volumes were not different between survivors and non-survivors and within groups throughout the study.

Table 2 displays respiratory parameters, ventilatory settings and adjunctive interventions on days 1, 3, 5, 7, 10, 14 and 21 in all patients, survivors and non-survivors. The first day of mechanical ventilation in our study was associated with PaO$_2$/FiO$_2$ levels corresponding to moderate-to-severe ARDS (moderate ARDS 51.7%, severe ARDS 41.4%), high alveolar dead space with hypercapnia and high ventilatory ratio with a relatively preserved respiratory system compliance (Figure 4, Table 2). Data on Day 1 was not significantly different between survivors and non-survivors except for the PaO$_2$/FiO$_2$ ratio that was lower in non-survivors (105.5 (76.2-141.7) mmHg vs 136.6 (106.7-160.8), p=0.002).

Differences in PaO$_2$/FiO$_2$ ratio were significant between survivors and non-survivors at all study points. In survivors, PaO$_2$/FiO$_2$ ratio rose on Day 3 (p=0.008) and then between Days 7 and 10 (p=0.046). In non-survivors, PaO$_2$/FiO$_2$ ratio rose on Day 3 only (p=0.013), decreased on Day 5 (p=0.009), and later was stable until Day 10 and again decreased on Day 14 (p=0.016 as compared to Day 10; p=0.002 - to Day 1).

Alveolar dead space was increased nearly two-fold in all patients, differences between survivors and non-survivors were significant on Days 7 and 10. Alveolar dead space decreased on Day 10 in survivors (p=0.046 as compared to Day 1) and didn’t change in non-survivors. Accordingly, the ventilatory ratio was increased about two-fold in all patients and reached a statistical significance between survivors and non-survivors on Days 10 and 14 (p=0.006 and p=0.009, respectively) but failed to reach significance within subgroups in dynamics.

Patients who survived 28 days demonstrated a continuous increase of PaO$_2$/FiO$_2$ with a stable ventilatory ratio in survivors (n=4), and a stable PaO$_2$/FiO$_2$ ratio with an increase of the ventilatory ratio in non-survivors after Day 10 (n=4); survivors showed a relatively small decrease in static compliance after Day 7 with decrease in the «PEEP-dependency», while non-survivors showed a drop in static compliance with marked overdistension at «intermediate» PEEP levels (Table E1).

ICU and in-hospital mortality was 84.6% (n=99). Non-survivors had a higher prevalence of cardiovascular and renal failure (Table 2). In survivors the duration of mechanical ventilation was 15 (14-
28) days and in non-survivors 7 (4-12) days. We didn’t find any significant differences between survivors and non-survivors in the «non-respiratory» characteristics at baseline.

**ROC-analysis**

ROC-analysis revealed that gas exchange parameters on Days 7 and 10 can be used as a prognostic point for survival prediction: PaO$_2$/FiO$_2$ AUROC on Day 7 was 0.82 (0.72-0.93), p<0.0001; VD/alv/VT AUROC on Day 10 was 0.80 (0.67-0.93), p=0.001; Ventilatory ratio AUROC on Day 10 was 0.75 (0.61-0.89), p=0.006. ROC-analysis for the static compliance measured at the preset PEEP and tidal volume and during the PEEP and volume trials showed non-significant results at all time points.

Laboratory values are presented in Table E2.

**Discussion**

The results of our study can be summarized as follows: 1. All patients on Day 1 of invasive mechanical ventilation had PaO$_2$/FiO$_2$ levels corresponding to moderate-to-severe ARDS, nearly two-fold alveolar dead space and a slightly decreased respiratory system compliance at the tidal volume level; 2. On Day 1, we detected significant differences between survivors and non-survivors only in PaO$_2$/FiO$_2$; after Day 7, survivors had increased PaO$_2$/FiO$_2$ and decreased alveolar dead space irrespective of $C_{RS}$ that remained stable after Day 7; 3. The potential for lung recruitment and response to the PEEP increase in COVID-19 was low, and it further decreased over time; 4. PEEP levels more than 10 cmH$_2$O after Day 7 led to the lung overdistension in most patients; 4. Even a modest volume increase resulted in the lung overdistension that tended to increase over time.

After the publication on COVID-19-related L- and H-phenotypes (1), such phenotypes were identified in primary non-COVID ARDS (2). In our study, we were unable to distinguish these phenotypes in mechanically ventilated patients but observed a slightly decreased compliance in all patients which is consistent with previous COVID reports (3-5) and the lung overdistension at intermediate tidal volumes.

According to Gattinoni L et al., L-phenotype lungs are not recruitable; later, after the development of ARDS (H-phenotype), dependent lung zones collapse and become susceptible to the PEEP rising (1). In our patients, we observed a completely different picture, low recruitability at the beginning with completely non-recruitable lungs after one week of mechanical ventilation. Few data is available on the respiratory mechanics and response to PEEP in COVID-19 patients with acute respiratory failure (1, 3, 9-10, 14-17). Our results contradict previous data from Beloncle FM et al., where the majority of intubated patients were highly recruitable (10). Although we used a different approach to assessing recruitment opportunities, this difference can be due to the fact that our study mainly included patients after NIV failure, while in the study by Beloncle et al mechanical ventilation was the only treatment option. Of note, the high recruitability may be caused by the airway closure, rather than by lung recruitability per se (2, 11).
Haudeborg AF et al. observed that about 30% of COVID patients had recruitable lung (3), but 40% of these patients had airway closure (3, 11) as a marker of compression atelectasis, not alveolar collapse.

Observational studies comparing COVID with primary non-COVID ARDS found that COVID-ARDS was very close to ARDS due to bacterial and another virus pneumonia: slightly decreased compliance and driving pressure around 10 cmH$_2$O with the disproportionately low PaO$_2$/FiO$_2$ (2). Near-normal compliance in COVID-ARDS is in line with «baby lung» concept because «healthy» lung zones (in which the tidal volume is distributed (ventral parts predominantly)) have normal compliance (12). This concept explains why the prone position can be effective in primary ARDS.

We hypothesized that SARS-CoV-2 pneumonia is a primary non-recruitable lung injury that results in a gradual decrease in the total vital lung capacity. To test this hypothesis, we conducted a «stress test» (PEEP trial) and a «strain test» (increase in the tidal volume). We found marked lung overdistension at the intermediate PEEP and volume levels, which can be a marker of severe lung restriction («baby lung»)(13) that tends to increase with time (we can call it «newborn lung» in the most severe cases).

So, COVID-19 patients are at the high risk of ventilation-induced lung injury at intermediate PEEP or tidal volume levels like in other primary ARDS where PEEP generates injurious transpulmonary pressures and has a higher transmission to the pleura causing an increase in alveolar dead space (14). Our data on PEEP levels is consistent with previous reports in similar respiratory mechanics, gas exchange at inclusion, and NIV usage before intubation which showed that higher PEEP levels (as in «higher» PEEP/FiO$_2$ table) cause lung overdistension (6, 14-15). Studies on electro impedance tomography that looked for balance between lung recruitment and overdistension, revealed similar results:” optimum” PEEP levels around 12 cmH$_2$O, lung overdistension at higher PEEP levels and the need in a personalized PEEP titration (16-18). We agree with Sella N et al. (16) that PEEP/FiO$_2$ tables should not be used in COVID-19-associated ARDS. Comparing primary ARDS of non-COVID and COVID origins Brault C et al., Grieco DL et al. and Chiumello D et al. found no major differences between them in the respiratory mechanics and gas exchange, high heterogeneity and the need for a personalized ventilation strategy (18, 20-21), but they didn't perform a «strain test» that can reveal the great volume differences in the rest of the «baby lung». Our “volume trial” found volutrauma at “intermediate” lung volumes that is rarely seen in secondary ARDS. Similar results were obtained in primary ARDS with the upper inflection point on the pressure-volume curve at tidal volumes around 600 ml (22).

Ventilation-perfusion mismatch and high alveolar dead space are of special interest in COVID-19 (23–24). We found a discrepancy between respiratory compliance and gas exchange in dynamics as survivors showed an increase in oxygenation and a decrease in the ventilatory ratio with a stable or even decreased respiratory compliance that can be partly explained by the restoration of lung perfusion and a ventilation-perfusion mismatch in survivors. Patel BV et al. found no correlations between computer tomography findings, PaO$_2$/FiO$_2$ or ventilatory ratio (24).
Recent studies have shown significantly lower mortality with early ECMO (25-27). We suggest that COVID-19 patients without improvement in gas exchange and with signs of lung overdistension on «common» ventilatory settings on Day 7 should be considered for ECMO.

At a first glance, our study had higher mortality than others (4, 6). We used invasive mechanical ventilation as the last step in the respiratory support chain after NIV failure in the conditions of ECMO shortage, not as the primary treatment option after low flow oxygen therapy had failed. Too many questions arose when we used intubation as the only option in primary ARDS based only on the PaO$_2$/FiO$_2$ ratio in patients without extrapulmonary organ failure and signs of impaired lung mechanics such as excessive respiratory muscle load on NIV or high-flow oxygen (28-29).

Our study had several limitations. First of all, because it’s observational design. Second, it covered only patients in whom noninvasive ventilation failed and/or organ dysfunction, i.e. the most severe patients. Third, we didn't measure additional physiologic parameters such as transpulmonary pressure, end-expiratory lung volume etc. Unfortunately, patients can die because of multiple organ failure due to resource shortage during a pandemic (ECMO, renal replacement therapy).

**Conclusions**

Lung overdistension in COVID-19-associated acute respiratory distress syndrome occurs at «intermediate» positive end-expiratory pressures and tidal volume levels; it reflects severe lung restriction and a very high risk of volutrauma. In survivors gas exchange improvements occurred after Day 7 of mechanical ventilation and mismatched the respiratory compliance which didn’t increase but even decreased. Further research is warranted in order to decrease volutrauma and improve survival in COVID-19-associated ARDS.

**Abbreviations**

ARDS - acute respiratory distress syndrome

C$_{RS}$ - static respiratory system compliance

DP - driving pressure

FiO$_2$ - inspiratory fraction of oxygen

ICU - intensive care unit

PaCO$_2$ - arterial carbon dioxide tension

PaO$_2$ - partial pressure of oxygen in arterial blood

PBW - predicted body weight
PEEP - positive end-expiratory pressure  
PetCO₂ - end-expiratory carbon dioxide tension  
Pplat - plateau pressure  
PVI - plethysmography variability index  
RASS - Richmond Agitation-Sedation Score  
RM - recruitment maneuver  
SpO₂ - peripheral oxygen saturation  
VDalv/VT - alveolar dead space  
VR - ventilatory ratio  
VT - tidal volume  

Declarations  

Ethics approval and consent to participate  
The study was approved by the Institutional Ethics Committee of Sechenov University (approval number: 16-20). Written informed consent was waived owing to the observational nature of the study. The waiver was approved by the Institutional Ethics Committee of Sechenov University (approval number: 16-20)  

Consent for publication  
Not applicable  

Availability of data and materials  
The datasets used and/or analysed during the current study are available from the corresponding author on reasonable request  

Competing interests  
AUY reported personal fees from GE, Philips Respironics, Covidien, Fisher & Paykel, Drager, Triton Electronics, Mindray, Pfizer, B Braun, Gilead outside the submitted work. SNA reported personal fees from Behringer Ingelheim, Pfizer, Novartis, AstraZeneca, Chiesi outside the submitted work. No other disclosers were reported.  

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Author contributions:

AIY: study design, data collection, analysis and interpretation, script writing and revision; SNA: study design, data interpretation, manuscript revision, MEP: data collection; VGB: data collection; YDS: data collection, VDK: data analysis and interpretation; APK: data collection; ZMM: data collection; NVT: data collection; IAM: data analysis and interpretation; AGY: data analysis and interpretation. All authors revised the drafted manuscript, and all read and approved its final version.

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Tables

Due to technical limitations, table 1-2 is only available as a download in the Supplemental Files section.

Figures
Figure 1

The study cohort selection

Patients assessed for study eligibility
n=176

Excluded (n=16):
Admitted to the ICU before May 1st (10)
Declined to participate (4)
COVID-19 was excluded (2)

Patients with confirmed COVID-19
n=160

Not intubated (n=31):
Conventional oxygen (8)
Noninvasive ventilation (23)

Mechanically ventilated patients with COVID-19
n=129

Excluded
Died in the first 24 hours (n=13)

116 Mechanically ventilated COVID-19 patients
Included in the study
Figure 2

The driving pressure at different positive end-expiratory pressure levels (PEEP) («PEEP trial») in survivors and non-survivors during 21 days of the mechanical ventilation. (A) Day 1. (B) Day 3. (C) Day 5. (D) Day 7. (E) Day 14. (F) Day 21. Data on survivors (black) and non-survivors (grey) is presented as medians and 95% confidence intervals. The x-axis represents positive end-expiratory pressure levels in cmH2O. * p-value < 0.05, comparison within subgroup of survivors and non-survivors (Friedman test); ** p-value < 0.01, comparison within subgroup of survivors and non-survivors (Friedman test); § p-value < 0.001, comparison within subgroup of survivors and non-survivors (Friedman test).
Figure 3

Normalized static compliance at preset tidal volume and during tidal volume increase («volume trial») in survivors and non-survivors during 21 days of the mechanical ventilation. (A) Day 1. (B) Day 3. (C) Day 5. (D) Day 7. (E) Day 14. (F) Day 21. Data on survivors (black) and non-survivors (grey) is presented as medians and 95% confidence intervals. The x-axis represents three points: initial tidal volume, tidal volume increased by 100 ml and tidal volume increased by 200 ml. Normalized static compliance calculated dividing the tidal volume in ml/kg of the ideal body weight to driving pressure. * p-value < 0.05, comparison within subgroup of survivors and non-survivors (Friedman test); ** p-value < 0.01, comparison within subgroup of survivors and non-survivors (Friedman test); § p-value < 0.001, comparison within subgroup of survivors and non-survivors (Friedman test).
Figure 4

The gas exchange, respiratory mechanics and plethysmogram variability during 28 days of the mechanical ventilation in survivors and non-survivors. (A) PaO2/FiO2. (B) Ventilatory ratio. (C) Alveolar dead space to tidal volume ratio. (D) Static compliance of the respiratory system. (E) The «optimum» positive end expiratory pressure balanced between the lowest driving pressure and the highest peripheral oxygen saturation (SpO2). (F) Change in plethysmogram variability index during the recruitment maneuver (Days 1 and 7) or the tidal volume increase by 200 ml (on Days 3, 5, 10, 14, 21 and 28). Data on survivors (black) and non-survivors (grey) is presented as medians and 95% confidence intervals. The x-axis represents days after initiation of the mechanical ventilation. Abbreviations: PaO2 - partial pressure of oxygen in arterial blood; FiO2 - inspiratory oxygen fraction; VD/VT - alveolar dead space to tidal volume; PEEP - positive end-expiratory pressure; PVI - plethysmogram variability index; SpO2 - peripheral oxygen saturation. * p-value < 0.05, comparison between survivors and non-survivors (Mann-Whitney U test); ** p-value < 0.01, comparison between survivors and non-survivors (Mann-Whitney U test); § p-value < 0.001, comparison between survivors and non-survivors (Mann-Whitney U test).
Figure 5

The «optimum» positive end-expiratory pressure (PEEP) levels on Day 1 and Day 7 in survivors and non-survivors. Data on survivors (black) and non-survivors (grey) is presented as medians and 95% confidence intervals. * p-value < 0.05, comparison between Day 1 and Day 7 in subgroups of survivors and non-survivors;

Supplementary Files

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- ODSBMCAnesth.docx
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