Classic e-Delphi survey to provide national consensus and establish priorities with regards to the factors that promote the implementation and continued development of non-medical prescribing within health services in Wales

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ABSTRACT

Objective To provide national consensus and establish priorities with regards to the factors that promote the implementation and continued development of non-medical prescribing within health services.

Design Classic e-Delphi survey.

Setting National study in Wales.

Participants Pharmacists, nurses and allied health professionals with the independent/supplementary prescribing qualification.

Results A total of 55 non-medical prescribers agreed to become members of the expert panel of whom 42 (76%) completed the round 1 questionnaire, 40/42 (95%) completed round 2 and 34/40 (85%) responded to round 3. Twenty-one statements were developed, and consensus was achieved on nine factors representing those necessary for the successful implementation of non-medical prescribing and five representing actions required for its continued development. Strategic fit between non-medical prescribing and existing service provision, organisation preparedness, visible benefits, good managerial and team support, and a clear differentiation of roles were each important influences.

Conclusion Given the high degree of consensus, this list of factors and actions should provide guidance to managers and commissioners of services wishing to initiate or extend non-medical prescribing. This information should be considered internationally by other countries outside of the UK wishing to implement prescribing by non-medical healthcare professionals.

INTRODUCTION

Globally, healthcare systems are implementing strategies, including expanded scopes of practice for healthcare professionals, to improve healthcare delivery. One such example is the expanded scope of practice by non-medical healthcare professionals (including nurses, pharmacists and allied health professionals (AHPs)) to include prescribing capability. Nurses and pharmacists in several countries including Canada, Sweden, New Zealand, the Netherlands, Ireland, Australia, USA and UK can now prescribe medicines.1–4 Faster and more efficient access to medicines, a need to address doctor shortages, the development of advanced practitioner roles and more...
effective use of healthcare professionals’ knowledge and skills are each drivers for this role. In the UK, appropriately qualified registered nurses, pharmacists and AHPs can prescribe any medicine within their area of competence both independently (ie, responsible for the assessment, diagnosis and decisions about the clinical management required in patients with diagnosed or undiagnosed conditions) or via supplementary prescribing. Supplementary prescribing comprises only requiring applicants to be at degree level, has facilitary prescriber can prescribe. A written agreement, between the doctor, patient and supplementary prescriber, on the medicines the supplementary prescriber can prescribe.

The model of prescribing training in the UK, which is government funded, typically 6 months in duration, and only requiring applicants to be at degree level, has facilitated the rise in non-medical prescriber (NMP) numbers over the last 5 years. Around 32,000 nurses, 4000 pharmacists and 1000 AHPs and optometrists have independent and supplementary prescribing capability. This represents about 5% of the UK nursing workforce, 7% of the pharmacy workforce and between 1% and 2% of the AHP workforce eligible to prescribe. These prescribers work in a variety of healthcare settings (including primary and secondary care) and prescribe medicines across a broad range of therapeutic areas. NMPs are safe, and patient reported outcomes are comparable with medical prescribers and stakeholders are satisfied reporting increased accessibility of services. Furthermore, findings of an economic evaluation of NMPs in the North West of England identified that in terms of impact on patient outcomes, each of the 1566 NMP participants contributed an average added value of nearly £1500 over a 1-month period, that is, together a total of £2.7 million for the month.

Despite this evidence, national evaluations report that non-medical prescribing has been implemented inconsistently across Clinical Commissioning Groups and health boards (HBs) within the UK, and there is great variance with regards to the numbers of prescribers working within HBs/National Health Service Trusts, the numbers working within healthcare teams and the range of services and roles in which NMPs work.

These variations may lead to significant differences in the experiences of service users and have a differential effect on the workforce, with some non-medical healthcare professionals expected to integrate prescribing into their clinical practice in some organisations, while prescribing remains the domain of doctors in others. There is some evidence available that has explored the factors that influence the use of prescribing by specific healthcare professional groups. For example, strong relationships with other prescribers and the importance of trust in these relationships have been reported to influence the integration of prescribing into practice by nurses. There is also evidence available that has examined the implementation of prescribing by specific healthcare professional groups working in certain services and settings. For example, nurse prescribing has been reported to be successfully implemented in diabetes service delivery because of the established roles nurses already have within diabetes teams and the strong collaborative working that is already in place. Pharmacists have described how implementation is smoother when prescribing is introduced into pre-existing structures and care arrangements, thus facilitating tasks already performed prior to its implementation. However, it would be a useful exercise to identify the key factors that promote the implementation and development of non-medical prescribing within health services in Wales.

**METHODS**

**Design**

Where there is a dearth of research evidence and a desire to reach consensus, formal or structured methods are commonly used. A commonly used formal consensus method is the Delphi technique. This technique uses rounds of questionnaires to collect data and achieve group consensus.

A classic Delphi survey was adopted whereby round 1 of the Delphi survey involved item generation, that is, the first questionnaire contained open-ended questions inviting participants to provide their views on the factors that promote the implementation and development of non-medical prescribing within health services in Wales from which subsequent questionnaires were developed.

**Recruitment**

Experts, as opposed to a random sample representative of the target population, are employed as panel members in the Delphi technique, and it is recommended that explicit criteria are used to select panel members. ‘Expert’ in this study was defined as a pharmacist, nurse or AHP with the independent and supplementary prescribing qualification. Purposive sampling was used to recruit a panel reflecting the range of non-medical healthcare professionals able to prescribe medicines using independent and supplementary prescribing. To ensure the full range of these healthcare professionals were included on the panel and that they were representative of independent/supplementary prescribers across Wales, all participants who had recently completed a national non-medical prescribing survey (n=379) were invited to contact the researchers if they were interested in becoming an expert member of the Delphi panel.

There is no agreement within the literature as to the best number of participants to include in a Delphi
survey. Although a large expert panel is recommended for heterogenous samples, it is unclear what constitutes large. Therefore, we included all NMPs who were keen to take part and fulfilled our definition of ‘expert’. Seventy NMPs expressed an initial interest to participate. Each was given a Participant Information Sheet and provided with the opportunity to address any queries they may have had with a researcher. Fifty-five NMPs agreed to take part. Return of completed questionnaires provided implied consent to participate.

**Data collection**

The survey was conducted across three rounds. Bristol Online Survey—a tool for creating web surveys—was used to develop each round of the online questionnaire survey. A link to each survey was distributed via email to all participants followed by two reminder emails, at 1 week intervals, per survey round. Data collection took place between October 2016 and December 2016.

**Round 1: elicitation of the factors and actions required to promote the implementation and continued development of non-medical prescribing in service delivery**

Participants were asked to provide their views on the factors that promote the implementation and continued development of non-medical prescribing within health services in Wales. Participants were able to provide as

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**Table 1** Non-medical prescribers who responded to each round

| NMP         | Round 1 n=42 |          | Round 2 n=40 |          | Round 3 n=34 |          |
|-------------|--------------|----------|--------------|----------|--------------|----------|
|             | n            | %        | n            | %        | n            | %        |
| Nurse       | 35           | 63.6     | 33           | 60       | 28           | 51.0     |
| Pharmacist  | 4            | 7.3      | 4            | 7.3      | 3            | 5.5      |
| Physiotherapist | 2       | 3.6      | 2            | 3.6      | 2            | 3.6      |
| Radiographer| 1            | 1.9      | 1            | 1.9      | 1            | 1.9      |

NMP, non-medical prescriber.

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**Figure 1** Summary of the Delphi process.
much information as they wished within the free text space provided.

Refining factors and actions
The data were analysed by an experienced qualitative researcher using thematic analysis. Data were read, and words, phrases and sentences relating to the implementation and continued development of non-medical prescribing were identified. This information was then condensed and grouped into themes. This process was supported by the use of NVivo 10 qualitative data analysis software package. To cross-check data analysis and ensure data quality, consistency in approach and transparency of analytical decision making, 50% of the data were read and coded independently by a second experienced qualitative researcher. Differences in interpretation were resolved through discussion between the two researchers and the wider research team. A number of statements representing each theme were then developed.

Box 1  Factors that promote the implementation of non-medical prescribing

- Team members, managers and those working in senior roles within the health board/trust/general practice need to recognise non-medical prescribing as a positive contributor to improve service efficiency.
- The successful implementation of non-medical prescribing requires that organisations are ready and prepared for this role, that is, structures and processes are in place that enable prescribing.
- It is important that the value of non-medical prescribing is demonstrated through patient satisfaction.
- Healthcare teams, managers and those responsible for service redesign and workforce planning need to understand the non-medical prescribing role and its potential.
- Both management and team support are required for the non-medical prescribing role to be fully implemented.
- It is important that there is a strategic fit between non-medical prescribing and existing service provision so that non-medical prescribing is seen as enhancing service efficiency rather than altering the structural organisation of care.
- Good interprofessional relationships are required in order to promote the necessary supportive culture for the acceptance of the non-medical prescribing role.
- Clinical supervision is essential for the successful implementation of non-medical prescribing.
- Continuing professional development is vital for the successful implementation of non-medical prescribing.

Table 2  Demographic data of participants in round one survey

| Role* | Round 1 (n=42), n (%) |
|-------|----------------------|
| Specialist nurse | 21 (50) |
| Community nurse | 3 (7) |
| General practice nurse | 9 (21) |
| Senior clinical nurse | 2 (5) |
| Pharmacist | 4 (10) |
| Physiotherapist | 2 (5) |
| Radiographer | 1 (2) |
| Care setting | |
| Primary | 6 (14) |
| Secondary | 20 (48) |
| Tertiary | 4 (10) |
| Community/intermediate | 3 (7) |
| More than one of the above | 9 (21) |
| Service provided | |
| Hospital inpatient | 9 (21) |
| Hospital outpatient | 10 (24) |
| General practice | 4 (10) |
| Out of hours | 1 (2%) |
| Community/intermediate care | 3 (7) |
| More than one of the above | 15 (36) |
| Prescribing qualification | |
| Nurse independent/supplementary prescriber | 35 (83) |
| Pharmacist independent/supplementary prescriber | 4 (10) |
| Physiotherapist supplementary prescriber | 1 (2) |
| Physiotherapist independent/ supplementary prescriber | 1 (2) |
| Radiographer supplementary prescriber | 1 (2) |

*Specialist nurses: (clinical nurse specialist, specialist nurse practitioner and nurse clinician); community nurses (team lead); general practice nurses (advanced nurse practitioner, practice nurses and nurse practitioners); senior clinical nurse (ward manager). Pharmacist: (senior clinical pharmacist, advanced practitioner and medicines management pharmacist); physiotherapist: (clinical specialist and advanced practitioner); radiographer.
**Box 2  Actions required for the continued development of non-medical prescribing across health services**

- Staff involved in strategic planning and policy development need to provide non-medical prescribers (NMPs) with timely, relevant, adequate and up-to-date information on legislation, policy and good practice surrounding prescribing and medicines management.
- NMPs should be involved in the development of prescribing and medicines management policies and guidelines.
- A consistent strategic approach to the implementation and progression of non-medical prescribing (ie, workforce planning, selection of candidates for training, provision of clinical supervision, continuing professional development (CPD) and organisational preparation for the role) is required.
- There is a need for improved access to clinical supervision.
- There is a need for improved peer support.
- There is a need for improved CPD.
- The non-medical prescribing qualification should be a job specification for certain roles (eg, advanced practitioner) and should not be lower than a Band 7.
- A proactive approach to succession planning needs to be adopted.
- Frontline practitioner prescribers in senior roles need to be involved in service redesign and workforce planning.
- Increased funding should be available for those wishing to undertake non-medical prescribing training.
- Study leave should be available for those wishing to undertake non-medical prescribing training.
- Clinical governance systems within which NMPs work need to be improved.

**RESULTS**

A total of 55 NMPs agreed to become members of the expert panel of whom 42 (76%) completed round 1 questionnaire, 40/42 (95%) completed round 2 and 34/40 (85%) responded to round 3. Table 1 provides a description of the different types of NMPs who responded to each round. Figure 1 provides a summary of each round of the Delphi process.

**Round 1**

Forty-two (76%) participants responded to the initial survey. Most of these participants were nurses (see table 1).

Most respondents (20% or 47%) worked in secondary care and provided hospital in-patient or out-patient services. The demographic characteristics of these participants are described in table 2.

Twenty-one statements were developed, that is, nine statements representing the factors that promote the implementation of non-medical prescribing, and 12 statements representing the actions required in order to continue its development (see box 1 and 2).

### Table 3  Factors that promote the implementation of non-medical prescribing

| Description                                                                 | Median | IQR  |
|----------------------------------------------------------------------------|--------|------|
| Team members, managers and those working in senior roles within the health board/trust/general practice need to recognise non-medical prescribing as a positive contributor to improve service efficiency. | 4.0    | 0.0  |
| Healthcare teams, managers and those responsible for service redesign and workforce planning need to understand the non-medical prescribing role and its potential. | 4.0    | 0.0  |
| The successful implementation of non-medical prescribing requires that organisations are ready and prepared for this role that is, structures and processes are in place that enable prescribing. | 4.0    | 0.5  |
| Both management and team support are required for the non-medical prescribing role to be fully implemented. | 4.0    | 1.0  |
| It is important that there is a strategic fit between non-medical prescribing and existing service provision so that it is seen as enhancing service efficiency rather than altering the structural organisation of care. | 4.0    | 1.0  |
| Good interprofessional relationships are required in order to promote the necessary supportive culture for the acceptance of the non-medical prescribing role. | 4.0    | 1.0  |
| Clinical supervision is essential for the successful implementation of non-medical prescribing. | 4.0    | 1.0  |
| Continuing professional development is vital for the successful implementation of non-medical prescribing. | 4.0    | 1.0  |
| It is important that the value of non-medical prescribing is demonstrated through patient satisfaction. | 4.0    | 1.5  |

*Shaded areas signify the factors that promote the implementation of non-medical prescribing within health services in Wales for which there was high level of agreement among panel members.*
Forty out of 42 (95%) participants responded to the second round. See tables 3 and 4 for a description of the median scores and IQRs for each of the statements. Participant responses showed a high level of importance across 14 of the statements (nine representing the factors that promote the implementation of non-medical prescribing and five statements representing the actions required in order to continue its development); each statement having a median greater than or equal to four and a IQR less than or equal to 1.5. There was consensus on a lack of importance across seven statements representing the actions required for the continued development of non-medical prescribing, that is, each statement had a score less than or equal to 3.5 and an IQR less than or equal to 1.5.

**Round 3**
Thirty-four (85%) participants responded to the third round. Statements representing factors that promote the implementation and continued development of non-medical prescribing are shown in priority order in tables 5 and 6.
DISCUSSION

Statement of principal findings

The aim of this study was to provide national consensus and establish priorities with regards to the factors that promote the implementation and continued development of non-medical prescribing within health services. A classic Delphi survey was adopted whereby round 1 of the survey rounds involved item generation, and this enabled panellists to reach a consensus, with consistent high levels of agreement reached, on 14 statements. Confidence in consensus resulted in a list of factors and actions, in priority order, that promote the implementation and continued development of non-medical prescribing within health services in Wales.

Strengths and weaknesses

The main strength of the work is that it is based on responses from a national panel of defined experts, had a good response rate and provides information on the factors that promote the implementation and continued development of non-medical prescribing within health services. However, some limitations also need to be recognised. First, the composition of the expert panel, with most experts being nurses, and only small numbers of respondents representing pharmacists and AHPs (ie, physiotherapists and podiatrists). Our results may therefore reflect a nursing perspective not applicable to all NMPs. Second, we could have included patients as members of the expert panel. We acknowledge that for care to be patient centred, patients need to participate in the research that informs healthcare decisions, however, given resource constraints and the, problems associated with large heterogenous samples (ie, difficulties surrounding data collection/analysis, reaching consensus and verifying results), only NMPs were included as panel members. Third, perceptions of factors that promote the implementation and development of non-medical prescribing may have been influenced by the setting/services in which respondents worked. Most expert panel members worked in secondary care and hospital inpatient or outpatient services and so may not reflect the views of NMPs working in other settings/services. Fourth, it is important to recognise that the results of Delphi studies are ‘group consensus’ and not necessarily ‘best’, ‘expert’ or ‘correct’ results.29 Despite these limitations, this study has provided a list of factors, in priority order, that promote the implementation and continued development of non-medical prescribing within health services in Wales.

Comparison with other studies

The extent to which there is a strategic fit between non-medical prescribing and existing service provision, and that non-medical prescribing is seen as enhancing service efficiency rather than altering the structural organisation of care, was viewed as a key factor that promoted its implementation. This aligns with research that has explored innovations in health services,33 34 innovations more likely to be adopted where there are clear advantages and few barriers to its adoption, and supports the findings of earlier studies in which researchers have investigated nurse and pharmacist prescribing.23–25 35–37

Researchers exploring prescribing by nurses working in diabetes23 and dermatology37 services delivery reported that pre-existing and established roles (including their involvement in medicines management activities such as medicines reviews and running clinics) facilitated the implementation of prescribing. Prescribing was viewed as improving service efficiency without the need to alter existing arrangements or roles. Similarly, prescribing by pharmacists has been reported to be successful where it helped them complete tasks to which they already contributed or performed in part. For example, prior to prescribing, medication was reported to be provided without a prescription. The ability to issue a prescription legitimised this practice and facilitated the completion of the task.24

The extent to which organisations are ready and prepared for non-medical prescribing was another important factor reported to promote its implementation. Processes such as access to clinical supervision and continuing professional development (CPD) were reported to be important influences. The availability of study leave to undertake prescribing training, the involvement of NMPs in the development of prescribing and medicines management policies and guidelines and a consistent strategic approach to the implementation of non-medical prescribing were each reported actions required for its continued development in Wales. Our findings are consistent with research reporting on a

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**Table 6** Actions required for the continued development of non-medical prescribing in priority order

| Rank | Action |
|------|--------|
| 1    | A proactive approach to succession planning needs to be adopted. |
| 2    | NMPs should be involved in the development of prescribing and medicines management policies and guidelines. |
| 3    | Study leave should be available for those wishing to undertake non-medical prescribing training. |
| 4    | The non-medical prescribing qualification should be a job specification for certain roles (eg, advanced practitioner) and should not be lower than a Band 7. |
| 5    | A consistent strategic approach to the implementation and progression of non-medical prescribing (ie, workforce planning, selection of candidates for training, provision of clinical supervision, continuing professional development and organisational preparation for the role) is required. |
national evaluation of physiotherapist and podiatrist prescribers. These researchers reported that in order to embed prescribing, there is a need for a clear NMP strategy at an organisational level. The findings also concur with research involving nurse and pharmacist prescribers. As with the findings in this study, pharmacists have been found to be more likely to prescribe when they have access to clinical information, and up-to-date prescribing policies were in place. Similarly, access to CPD has been reported to encourage prescribing by nurses. Furthermore, where prescribing has been introduced to nurses working in well-established roles, this has been reported to have helped its successful implementation, as conditions such as CPD and access to clinical supervision are already in existence.

Visible benefits were reported to be another important influence on the implementation of prescribing. Benefits included patient satisfaction and the need for non-medical prescribing to be recognised as a positive contributor to enhance service efficiency. Similarly, visible benefits including perceived improvements to patient care have been reported to facilitate the adoption of prescribing by nurses, and convenience for both patients and pharmacists have been reported to encourage prescribing by pharmacists.

Good managerial and team support, good interprofessional relations and a clear differentiation of roles (the non-medical prescribing qualification was viewed as a job specification for advanced practitioner roles) were also other important influences. This is consistent with findings of previous research in which a receptive context for change, that is, a history of collaborative working, was reported to have paved the way for good relations between nurse prescribers and doctors, with the promotion of the supportive culture necessary for acceptance of the prescribing role. More recently, the influence of professional relationships on the prescribing boundaries of nurses, and the integration of prescribing by nurses into practice, has been highlighted. Similarly, pharmacists have also reported that the nature and extent of pharmacist prescriber relations with physicians has a substantial influence on whether or not they prescribe, many pharmacists expressing a reluctance to prescribe when they believed the physician was unsupportive. It is interesting to note that the prescribing qualification was seen as a job specification for advanced practitioner roles and viewed as no lower than a band 7. New UK standards for prescribing education for nurses will enable first-level registered nurses with only 1 year qualified experience (and so likely to be lower than a band 7) to access the prescribing programme.

Meaning of the study: possible explanations and implications for clinicians and policy makers
It is evident that non-medical prescribing has been implemented inconsistently across health services in Wales. The findings of this study provide guidance to managers and commissioners of services wishing to implement non-medical prescribing in new areas of practice, or further develop services already in existence. This will help to promote consistency across services. The findings also provide guidance for countries outside of the UK wishing to implement non-medical prescribing, although their origin from a UK perspective means there is a need for adaptation to other healthcare systems.

Unanswered questions and future research
Research designed to test and refine the factors identified by expert members would be helpful. Testing these factors in different contexts and across different non-medical prescriber groups would also be useful, as barriers and facilitators to non-medical prescribing implementation may differ in emphasis across services and professions.

CONCLUSION
Given the high degree of consensus, this list of factors and actions should provide guidance to managers and commissioners of services wishing to initiate or extend non-medical prescribing. This information should be considered internationally by other countries outside of the UK wishing to implement prescribing by non-medical healthcare professionals.
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