Zodiacal exoplanets in time – X. The orbit and atmosphere of the young ‘neptune desert’-dwelling planet K2-100b

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ABSTRACT

We obtained high-resolution infrared spectroscopy and short-cadence photometry of the 600–800 Myr Praesepe star K2-100 during transits of its 1.67-d planet. This Neptune-size object, discovered by the NASA K2 mission, is an interloper in the ‘desert’ of planets with similar radii on short-period orbits. Our observations can be used to understand its origin and evolution by constraining the orbital eccentricity by transit fitting, measuring the spin-orbit obliquity by the Rossiter–McLaughlin effect, and detecting any extended, escaping the hydrogen–helium envelope with the 10 830-Å line of neutral helium in the 2s3S triplet state. Transit photometry with 1-min cadence was obtained by the K2 satellite during Campaign 18 and transit spectra were obtained with the IRD spectrograph on the Subaru telescope. While the elevated activity of K2-100 prevented us from detecting the Rossiter–McLaughlin effect, the new photometry combined with revised stellar parameters allowed us to constrain the eccentricity to $e < 0.15/0.28$ with 90/99 per cent confidence. We modelled atmospheric escape as an isothermal, spherically symmetric Parker wind, with photochemistry driven by ultraviolet radiation, which we estimate by combining the observed spectrum of the active Sun with calibrations from observations of K2-100 and similar young stars in the nearby Hyades cluster. Our non-detection (<5.7 m Å) of a transit-associated He I line limits mass-loss of a solar-composition atmosphere through a $T \leq 10000$ K wind to $<0.3 M_{\oplus}$ Gyr$^{-1}$. Either K2-100b is an exceptional desert-dwelling planet, or its mass-loss is occurring at a lower rate over a longer interval, consistent with a core accretion-powered scenario for escape.

Key words: techniques: spectroscopic – Sun: UV radiation – planets and satellites: atmospheres – planets and satellites: physical evolution – stars: activity – planetary systems.

1 INTRODUCTION

The Kepler mission showed that planets are common around other stars and revealed structure in the distribution of planets with size and irradiance (or orbital separation) from their host stars (Fig. 1): This is an important clue to how planets formed, migrated, and evolved. One such feature is the paucity of planets with radii of 2–4 $R_{\oplus}$ on close-in ($P \lesssim 3$ d) orbits. This region is known as the ‘Neptune desert’ (Lundkvist et al. 2016; Berger et al. 2018), since measured masses and radii of planets in that radius range indicate a hydrogen–helium gas-rich composition analogous to Neptune (Weiss & Marcy 2014; Rogers 2015). It has been proposed that the ‘desert’ is the product of the loss of H–He atmospheres by core accretion-powered escape (Ginzburg, Schlichting & Sari 2016), X-ray/ultraviolet (XUV)-powered (photoevaporative) escape (e.g. Owen & Lai 2018), or, if the planets accreted in situ (Chiang & Laughlin 2013), inhibition of accretion of such envelopes by the proximity of the host star, or lack of gas in the inner protoplanetary disc.

Recently, interlopers in the desert have been identified (e.g. Berger et al. (e.g. Berger et al. 2018; Hobson et al. 2019; West et al. 2019). There are several possible explanations for this population: Planets could move into the desert as a result of the central star evolving from the main sequence and increasing in luminosity; many of the exceptions found by Berger et al. (2018) fall in this category. Some planets may be much more massive, with high
molecular-weight envelopes that resist escape to space. They could be the result of catastrophic escape of the H–He envelopes of close-in giant planets (‘hot Jupiters’) that have shrunk to Neptune size (Dong et al. 2018). The mass of these planets can be measured by precision radial velocity (RV) measurements (e.g. Espinoza et al. 2016). Finally, in young planetary systems, escape of the H–He envelope may be incomplete and still ongoing. Since an envelope of at least 5 per cent by mass is required to produce a Neptune-like object (Ginzburg et al. 2016), and the time-scale for escape is supposed to be similar to that of decreasing stellar magnetic activity (a few hundred Myr), one expects an escape rate of at least 1 M\textsubscript{\oplus} Gyr\textsuperscript{−1} during that epoch.

The last two possibilities can be tested with observations that constrain the orbit and atmosphere of such a planet. Some hot Jupiter orbits are inclined with respect to the rotational axis of the star, and thus also the presumed plane of the natal disc. Such orbits can arise when the planet is originally driven on to a highly inclined and eccentric orbit by planet–planet scattering, or a Kozai orbits can arise when the planet is originally driven on to a highly inclined and eccentric orbit by planet–planet scattering, or a Kozai

A young ‘neptune desert’-dwelling planet

2 OBSERVATIONS AND DATA REDUCTION

2.1 K2 and literature photometry

K2 observed K2-100 as part of Campaign 5 (2015 April 27–July 10) in the long-cadence mode (30 min) and again during Campaign 18 (2018 May 12–July 2) in the short-cadence mode (1 min). The Campaign 5 observations were used to identify the planet, and are described in more detail in the discovery paper (Mann et al. 2017a). The Campaign 18 short-cadence observations were proposed to improve the parameters of K2-100b and search for long-period planets (GO18006, GO18023, GO18027, and GO18036), and were described in more detail in the discovery paper (Mann et al. 2017a).

Around young stars, ongoing loss of an atmosphere should manifest itself as an extended, escaping atmosphere, which could be detected by spectroscopy during transit. Escaping neutral hydrogen (H\textsubscript{i}) has been detected by observations in the line of Ly\alpha (e.g. Vidal-Madjar et al. 2003; Leleu et al. 2010; Kulow et al. 2014; Ehrenreich et al. 2015) but this can be done only from space and is impeded by the absorption of the line core by interstellar H\textsubscript{i}, interfering geocoronal Ly\alpha emission, and variable stellar chromosphere emission. Neutral helium (He\textsubscript{i}) in its metastable 2\textsuperscript{s}3\textsubscript{S} or ‘triplet’ state can be detected via absorption in a near-infrared (IR) set of lines around 10 830 Å that are accessible from the ground (Seager & Sasselov 2000; Oklopcic & Hirata 2018). The first detection was with HST from space (Spake et al. 2018) but the introduction of high-resolution IR spectrographs at facilities on the ground has led to detections or claimed detection of He\textsubscript{i} among several transiting planets (Allart et al. 2018; Mansfield et al. 2018; Nortmann et al. 2018; Salz et al. 2018; Allart et al. 2019; Ninan et al. 2019) and some non-detections (Moutou et al. 2003; Kreidberg & Oklopcic 2018; Nortmann et al. 2018; Crossfield et al. 2019).

K2-100b is a 3.5-R\textsubscript{\oplus} planet that was detected by the K2 mission on a 1.67-d orbit transiting the G0-type star K2-100 (TYC 1398-142-1 or EPIC 211990866; Mann et al. 2017a), and which occupies the Neptune desert (Fig. 1). The star is a member of the nearby (189 pc; Gaia Collaboration et al. 2018) young open cluster M-44, the Beehive or Praesepe. The age of the cluster is not known precisely; it is variously estimated as 625 (Perryman et al. 1998), 850 (Brandt & Huang 2015), and 590 Myr (Gossage et al. 2018; Schröder et al. 2020), with an uncertainty of about 100 Myr. The planet’s orbital ephemeris were refined with ground-based detections by Stefansson et al. (2018), allowing for transits to be predicted with accuracy of a minute or less. Mann et al. (2017a) pointed out that the transit duration of ‘b’ and the estimated density of the star are suggestive of an eccentric orbit (e = 0.24\pm0.19), depending on the impact parameter. Stefansson et al. (2018) also derived a transit-based density that was approximately three times higher than the one based on multi-band photometry and a Hipparcos parallax of the cluster (Mann et al. 2017a), consistent with an eccentric orbit. However, this is based on transit photometry obtained with a 30-min cadence, which is only one-third of the transit duration. K2-100b’s host star is highly rotationally variable, challenging both transit and RV measurements. Barragán et al. (2019) used a Gaussian process-regression of RV measurements with spectroscopic indicators of stellar activity to infer a mass of 22 \pm 3 M\textsubscript{\oplus}, i.e. at 3\sigma significance. The mass and radius combination point to a Neptune-like composition and thus a significant H–He envelope, which is normally not associated with planets this close to their host star. To constrain the orbit and atmosphere of K2-100b, we analysed short-cadence photometry obtained by K2 during Campaign 18 (2018), and high-resolution IR spectra obtained with the IRD spectrograph on the Subaru telescope during a transit in 2019.

Figure 1. Radius and irradiance of Kepler-detected planets, based on Berger et al. (2018), showing the location of K2-100b in the ‘Neptune desert’.
changing the transit results as the stellar variation/flat-field fit is biased by the presence of variability, which cannot be easily explained by the model (e.g. Grunblatt et al. 2016). Stellar flares still present in the processed light curve are manually identified and removed. The resulting light curve was used in our Markov chain Monte Carlo (MCMC) analysis (Section 3.2).

In addition to the K2 data, four transits of K2-100b were observed from the ground. The first used the Engineered Diffuser with the Astrophysical Research Council Telescope Imaging Camera (ARCTIC) imager on the ARC 3.5-m Telescope at ApachePoint Observatory. The other three used the MuSCAT 2 multi-bandpass photometer installed in the Carlos Sanchez Telescope (TCS) in the Teide observatory. The diffuser transit observations are described in Stefansson et al. (2018), while the MuSCAT2 observations are described in Barragán et al. (2019). For all data, we used the provided light curve for our MCMC analysis, only normalizing the out-of-transit baseline and updating the uncertainties based on the estimates in Barragán et al. (2019).

2.2 IR spectroscopy
Spectra of K2-100 were obtained during the transit of ‘b’ on 2019 March 24 with the IRD Infrared Echelle spectrograph (Kotani et al. 2018) on the Subaru telescope on Maunakea. IRD accepts light from the target via a multi-mode fibre with input aperture of 0′.48 fed by the facility adaptive optics; a second fibre provides light from the laser comb wavelength reference source. IRD covers the Y, J, and H bands simultaneously with a spectral resolution of 70 000. Using the ephemeris of Stefansson et al. (2018), the transit mid-point occurred at JD = 245 866.881 (UT 9:09:00) with a prediction accuracy of 50 s. Observations commenced at JD = 245 856.681 and ended at JD = 245 856.98 and consisted of a series of 12-min integrations, the first starting 58 min before the predicted ingress (UT 8:21) and the last completing 93 min after the egress (UT 9:58). (The transit duration is 97 min.) Sky conditions were scattered high clouds; the attenuation recorded by the Canada–France–Hawaii Telescope Skyprobe camera (Steinbring, Cuillandré & Magner 2009) shows variable attenuation of up to 2 mag, but generally less than 1.5 mag, during all but the second hour of the night. This resulted in a signal-to-noise ratio (S/N) that was 50–60 per cent of expected. To correct for telluric absorption, we observed two A0-type telluric standard stars (HIP 22923 and HD 71906) immediately before observing K2-100, but these spectra are essentially flat in the vicinity of the He I line, and due to the large variation in airmass and water content over the night, plus the fact that the transit measurement is differential, we ultimately did not make this correction.

Using the IRAF Echelle package (Tody 1986, 1993) and our own custom software, we applied bias subtraction, flat-fielding, and scattered-light subtraction to the spectral images before extracting one-dimensional spectra. A wavelength solution was first determined based on the emission lines of a Th-Ar comparison-lamp, then refined using the spectrum of IRD’s laser comb. We measured the relative Doppler shift of each spectrum and obtained an RV using our customized code (Hirano et al. 2020b). Briefly, the pipeline obtains RVs for individual frames by forward modelling of the observed spectrum relative to a template spectrum of the star. The stellar template is produced by removing telluric lines using rapidly rotating nearly featureless spectrum of an A0 star, and deconvolving the instantaneous point response function of the spectrograph. For our observations of K2-100, the pipeline yielded RVs with a precision of 13–29 m s$^{-1}$, limited by the relatively low S/N and our ability to remove telluric contamination.

3 ANALYSIS

3.1 Stellar Parameters
Mann et al. (2017a) derived a stellar effective temperature $T_{\text{eff}}$ of 6120 ± 90 K using the colour–temperature relations of Ramírez & Meléndez (2005) and Pinsonneault et al. (2012). This estimate agrees with the value of $T_{\text{eff}} = 6180$ and log $g = 4.51$ independently derived by Petigura et al. (2018), matching empirical templates to a Keck HIRES spectrum. Sousa et al. (2018) derived a slightly cooler $T_{\text{eff}}$ of 5981 ± 44 K, log $g = 4.32 ± 0.15$, and [Fe/H] = +0.18 ± 0.04 (a metallicity consistent with the Praesepe mean) by a line-by-line analysis on a VLT-UVES Echelle spectrum.

We re-compute the stellar radius using the Gaia DR2 parallax of 5.26 ± 0.07 mas, which corresponds to a bias-corrected distance of 189 ± 2.5 pc. Using the temperature and bolometric flux derived by Mann et al. (2017a), we estimate a luminosity of 1.93 ± 0.05 L$\odot$ and a radius of 1.23 ± 0.04 R$\odot$. The lack of companions is indicated by absence of resolved sources in AO imaging (Mann et al. 2017a), no drift in RV (Barragán et al. 2019), and a value of 1.04 for the Gaia renormalized unit weight error (RUWE), a measure of the deviation of the astrometry from a single-star solution (Kervella et al. 2019). We retain the extinction correction of Mann et al. (2017a) since the new reddening maps of Green et al. (2019) provide no additional information.

We utilized ISOCLASSIFY (Huber et al. 2017) with the logarithmic-linear grid detailed in Berger et al. (2020) to perform an isochrone analysis of K2-100. We placed a 790 ± 30 Myr prior on its age to ensure our derived age matches cluster estimates. We found a stellar radius of 1.20 ± 0.02 R$\odot$, a stellar mass of 1.23 ± 0.01 M$\odot$, and gravity log $g = 4.37 ± 0.01$, consistent with previous estimates (Mann et al. 2017a). Combined with the precisely established orbital period, this gives a semi-major axis for ‘b’ of 0.0296 ± 0.0005 AU.

3.2 Transit light curve and orbit
With the revised mass and radius of K2-100, we re-visit the question of the orbit of the planet. To analyse the transits for K2-100b, we used the MISTBORN (MCMC Interface for Synthesis of Transits, Tomography, Binaries, and Others of a Relevant Nature) code, 1 first described in Mann et al. (2016). mistborn combines the emcee python module (Foreman-Mackey et al. 2013) for MCMC and the batman package (Kreidberg 2015), which uses Mandel & Agol’s (2002) model of a transiting exoplanet. The free parameters are planet-to-star radius ratio (R$\text{p}$/R$\ast$), impact parameter (b), orbital period (P), epoch of the first transit midpoint (T$\text{0}$), two parameters that describe the eccentricity and argument of periastron ($\sqrt{e}\sin\omega$ and $\sqrt{e}\cos\omega$), mean stellar density ($\rho_\ast$), and two limb-darkening parameters for each of four wavelengths (Kepler, SDSS $r$, SDSS $i$, and SDSS $z$). For limb darkening, we used the triangular limb-darkening parameters ($q_1$ and $q_2$) described by Kipping (2013) to uniformly explore the physically allowed region of parameter space. We performed one MCMC fit with the parameters above and a Gaussian prior on $\rho_\ast$ from our derived stellar parameters, and a second fit locking $e$ and $\omega$ at 0 and with a uniform prior on $\rho_\ast$. We placed Gaussian priors on each limb-darkening parameter derived from the LDTK toolkit (Parviainen & Aigrain 2015), which uses Husser et al.’s (2013) stellar atmosphere models. The formal errors on the derived coefficients are generally 0.01–0.05, but we adopt

1 https://github.com/captain-exoplanet/mistborn.
broader priors (0.05-0.1) to account for differences between model predictions. We ran our MCMC using 150 walkers, each for 90,000 steps following a burn-in of 10,000 steps. An examination of the autocorrelation time confirmed this was more than sufficient for convergence.

Results of each fit are shown in Table 1, the model light curves with the best-fitting parameters (highest likelihood) for each data set are plotted in Fig. 2, and correlations between the major parameters are shown in Fig. 3. Our results are broadly consistent with the most recent light-curve analysis from Barragán et al. (2019). This includes a preference for low or zero orbital eccentricity (e < 0.15 with 90 per cent confidence, and e < 0.28 at 99 per cent confidence) compared to earlier analysis lacking short-cadence K2 data, which suggested a non-zero orbital eccentricity (e = 0.28±0.12; Mann et al. 2017a).

3.3 Stellar rotation

By analysing the time-series of spectroscopic activity signals, Barragán et al. (2019) refined the rotation period of K2-100 to 4.315 d. The star lies within the locus of Praesepe stars in a period–colour diagram (Fig. 4; Rebull et al. 2017) and at the upper boundary of the Kraft break where the convective zone is thin and magnetic braking is weak (Kraft 1967). Nevertheless, a comparison between the 125-Myr-old Pleiades cluster and Praesepe shows a significant spin-down among stars of this V − Ks colour in the intervening ∼0.5 Myr (Rebull et al. 2017); thus, magnetic activity and braking must be ongoing, as is evidenced by the star’s rotational variability. There is no indication that the existence of the planet has influenced the star’s rotational history. A constraint on vsin i was derived using the cross-correlation function (CCF) for IRD spectra and comparing to model spectra with varying amount of rotational broadening (see Fig. 5). Details are given in Appendix A. The resulting value, 14.4 ± 0.8 km s−1, is comparable to the value of 14.8 ± 0.8 km s−1 derived by Mann et al. (2017a) using near-IR Echelle spectra (IGRINS). Barragán et al. (2019) estimated 14 ± 2 km s−1 using optical Echelle (HARPS) data, Petigura et al. (2018) derived vsin i = 13.6 ± 1 km s−1 based on matching a HIRES spectrum to templates, and Sousa et al. (2018) derived vsin i = 13.6 ± 0.3 km s−1 using a UVES spectrum and spectral synthesis. We combine these five completely independent measurements into a single estimate: 13.9 ± 0.3 km s−1. The expected microturbulence parameter for this star based on its Teff (6120 K) and log g (4.36) from Mann et al. (2017a) is 1.23 km s−1 based on the calibrated relation of Bruntt et al. (2012), and is ignored in all calculations here. Macroturbulence is expected to be ≈4.5 km s−1 (Doyle et al. 2014) and Sousa et al. (2018) find 4.19 km s−1, but this will primarily affect the wings of strong lines and is also not included here. The derived rotation velocity based on the rotation period (4.315 d) and R∗ is 14.4 ± 0.5 km s−1 equal to within 1σ of our estimate for vsin i.

Table 1. Parameters of K2-100b.

| Parameter | Measured parameters | e float | e fixed at 0 |
|-----------|---------------------|---------|-------------|
| T0 (BJD-2454833) | 2311.06731±0.00017 | 2311.06738±0.00015 |
| P (d) | 1.67390323 ± 2.5 × 10^{-7} | 1.6739032 ± 2.4 × 10^{-7} |
| Rp/R∗ | 0.028 ± 0.0023 | 0.02876±0.0024 |
| b | 0.767±0.006 | 0.767±0.002 |
| q1, Kepler | 0.284±0.028 | 0.285±0.028 |
| q2, Kepler | 0.366±0.092 | 0.362±0.083 |
| q1,SDSSγ | 0.101±0.073 | 0.108±0.077 |
| q2,SDSSγ | 0.311±0.121 | 0.311±0.121 |
| q1,SDSSγ' | 0.383±0.231 | 0.377±0.19 |
| q2,SDSSγ' | 0.352±0.091 | 0.352±0.09 |
| q1,SDSSγ'' | 0.290±0.160 | 0.290±0.160 |
| q2,SDSSγ'' | 0.325±0.130 | 0.325±0.130 |
| a/R∗ | 5.34±0.12 | 5.53±0.12 |
| i (°) | 81.51±3.31 | 82.15±3.51 |
| δ (%) | 0.0831±0.0013 | 0.0827±0.0014 |
| g1, Kepler | 0.389±0.092 | 0.385±0.097 |
| g2, Kepler | 0.142±0.085 | 0.147±0.099 |
| g1,SDSSγ | 0.175±0.091 | 0.183±0.126 |
| g2,SDSSγ | 0.108±0.129 | 0.117±0.127 |
| g1,SDSSγ' | 0.41±0.17 | 0.42±0.17 |
| g2,SDSSγ' | 0.17±0.11 | 0.17±0.15 |
| g1,SDSSγ'' | 0.32±0.16 | 0.32±0.16 |
| g2,SDSSγ'' | 0.18±0.11 | 0.18±0.11 |
| e | 0.054±0.007 | 0 |
| ω (°) | 136.2±44.7 | 0 |

Figure 2. Phase-folded light curves of transits of K2-100b from K2 Campaigns 5 and 18, ARCTIC, and MusCAT2 observations, with the MusCAT2 data separated by pass-band. For clarity, the data from K2 C18, ARCTIC, and MusCAT, are binned by 40, 10, and 20 measurements, respectively. The best-fitting models are shown in black. Campaign 5 data from K2 were obtained with 30-min cadence, which smooths out the transit ingress and egress.
We conclude the stellar rotational inclination is close to 90° and at the 1σ confidence level $>70°$.

### 3.4 Rossiter–McLaughlin effect and Doppler tomography

The expected amplitude of the RV anomaly due to the Rossiter–McLaughlin effect is

$$\Delta v_{\text{RM}} \approx 0.78 \cdot v \cdot \sin i \cdot \sqrt{1 - b^2},$$

where $\delta$ and $b$ are the transit depth and impact parameter, respectively. The 0.7 factor is a crude correction for limb darkening, since the limb has the largest positive or negative Doppler shift and makes the largest relative contribution to the R-M signal (Winn 2010).

Adopting the projected stellar rotation velocity $v \cdot \sin i \approx 14.4 \text{ km s}^{-1}$ (see Section 3.3), we estimate $\Delta v_{\text{RM}}$ to be $\approx 7 \text{ m s}^{-1}$, which is a factor of two to three times smaller than the RV precision we achieved for individual frames. Visual inspection of the RV data during the transit did not reveal any significant feature indicative of an R-M effect (Fig. 6). We also investigated any variation of the mean line profile during the transit, termed the ‘Doppler shadow’ effect, by looking for changes or residuals in the CCF, but we found that, because of the lower-than-expected S/N of the original spectra, the noise was much larger than the expected signal of K2-100b’s ‘shadow’, and we did not identify any transit signal. Appendix A contains details of the RVs and cross-correlation analysis.

### 3.5 10830-Å He I line

The average of all 15 spectra and the standard deviatory spectrum (rms) in the vicinity of the triplet He I lines are plotted in Fig. 7. The theoretical airglow emission spectrum generated by SKYCALC (Noll et al. 2012; Jones et al. 2013) is also plotted in the rms panel. The airglow spectrum is generated for an arbitrary sky condition, but we
transit-associated absorption is apparent. To place a limit on any difference spectrum is plotted in the bottom panel of Fig. 8. No two combined spectra are plotted in the top panel of Fig. 8. The lines identified by Breckinridge & Hall (1973).

$\pm$ expected Doppler shift ($2\pi \alpha$) normalized by the out-of-transit average, shifted to remove the planet’s and outside the transit (nine spectra). In-transit spectra were normalized to the 99 per cent confidence detection limit. The metastable triplet ($2^3S$) state of the He I transition is primarily populated by recombination of He ionized by extreme-UV (EUV) photons with energies $>26.4$ eV ($\lambda < 504 \text{ Å}$) and primarily depopulated by ionizing near-UV (NUV) photons with energies $>4.8$ eV ($\lambda < 2583 \text{ Å}$; Oklopčić & Hirata 2018). For this reason, interpretation of our observations requires knowledge of stellar emission and irradiation of its planet in the different UV bands.

**4 THE UV IRRADIATION OF K2-100B**

In Section 5, we translate our non-detection of transit-associated 10 830-Å absorption into a limit on the amount of triplet-state He I in a planetary wind and thence to a constrain on atmospheric mass-loss rate. The metastable triplet ($2^3S$) state of the He I transition is primarily populated by recombination of He ionized by extreme-UV (EUV) photons with energies $>26.4$ eV ($\lambda < 504 \text{ Å}$) and primarily depopulated by ionizing near-UV (NUV) photons with energies $>4.8$ eV ($\lambda < 2583 \text{ Å}$; Oklopčić & Hirata 2018). For this reason, interpretation of our observations requires knowledge of stellar emission and irradiation of its planet in the different UV bands.

**4.1 Extreme-UV**

The EUV is heavily extincted by the interstellar medium (ISM) and stars at the distance of the Praesepe cluster have not been detected at those wavelengths. Moreover, the photochemistry of any planetary wind will be sensitive to the spectrum of the EUV emission, not just the overall flux. Since K2-100 is a young, rapidly rotating star with elevated spot coverage and hence magnetic activity, we reconstructed its EUV spectrum as a scaled spectrum of the active Sun as the only analogue for which we have a detailed EUV spectrum. We used the spectrum obtained on 2014 February 28 by the combination of the MEGS-A and MEGS-B channels of the Solar Dynamics Observatory (SDO)’s Extreme UV Variability Experiment (EVE; Woods et al. 2012). This date was near the maximum of solar Cycle 24 and had the highest activity prior to the failure of the MEGS-A channel. The maximum of Cycle 24 was subdued compared to previous cycles but was the only one observed with both channels of EVE, since SDO was only launched in 2010. Since there are no direct EUV measurements for young, solar-type analogues of K2-100, we considered several observable proxies of the flux using nearby calibrator stars, or nearby stars that themselves can serve as analogues. We considered four proxies: stellar disc-averaged emission in X-rays, line emission in the far-UV (FUV), emission in the H&K lines of CaII, and the stellar absorption line of He I itself at 10 830 Å.

**4.2 EUV from X-rays**

Sanz-Forcada et al. (2011) used coronal models to construct a relationship between luminosity in X-rays (0.12–2.5 keV) as measured by the Roentge X-ray Satellite (ROSAT) and the EUV (100–920 Å) luminosity. Randich & Schmitt (1995) report a detection of K2-100 with ROSAT as source KW 100. The estimated PSPC count rate is $1.5 \pm 0.5 \times 10^{-3} \text{ s}^{-1}$, which, for a typical coronal temperature of
0.12 keV, corresponds to a flux of $9.5 \pm 3.2 \times 10^{-15}$ erg s$^{-1}$ cm$^{-2}$ and, for a Gaia-based distance of 189 pc, $4.1 \pm 1.4 \times 10^{28}$ erg s$^{-1}$. The relation of Sanz-Forcada et al. (2011) yields an EUV luminosity of $3.5 \pm 1.2 \times 10^{28}$ erg s$^{-1}$. This may be a lower limit, since France et al. (2016) found that the Sanz-Forcada et al. (2011) coronal models consistently underpredict the contribution of chromospheric and transition regions to the EUV. A second, indirect method of predicting EUV emission from X-ray emission uses the $Ly\alpha$ emission at 1216 Å as an intermediary. The $Ly\alpha$ line of K2-100 cannot be directly observed due to the ISM H I over the intervening 189 pc. Instead, we translated the bolometric-normalized X-ray luminosity of $log R_X = -5.25 \pm 0.15$ into a bolometric-normalized $Ly\alpha$ luminosity using the relationship $log R_X = 1.79 + (1.52 \pm 0.11) log R_{Ly\alpha}$ of Landsman & Simon (1993), and got $R_{Ly\alpha} = -4.65 \pm 0.35$, and $L_{Ly\alpha} = 2.2 \pm 1.8 \times 10^{29}$ erg s$^{-1}$. We then translated this into an EUV (100–500 Å) luminosity using the scaling relations of Linsky, Fontenla & France (2014), first by calculating a stellar-radius-scaled $Ly\alpha$ flux at 1 AU of $49 \pm 41$ erg s$^{-1}$ cm$^{-2}$, then summing the contributions from the first four lines in table 5 of Linsky et al. (2014) to get a mean scaling factor of 0.45. We arrived at a EUV luminosity of $\approx 1.1 \pm 1.2 \times 10^{29}$ erg s$^{-1}$. This is nominally higher than the estimate based on Sanz-Forcada et al. (2011) and over a narrower wavelength range, but the uncertainty is very large.

### 4.3 EUV from Ca II H&K

EUV emission has been related to emission in the H&K lines of singly-ionized calcium from active regions on the Sun (Neupert 1998). We calibrated this approximately linear relationship using the observed value for Ca II H&K bolometric-normalized emission at the maximum of Cycle 24: $R_{H\&K} = -4.93$ (Egel et al. 2017). Barragán et al. (2019) obtained $R_{H\&K} = -4.45 \pm 0.01$ for K2-100, a factor of three times the solar value. With the additional factor of K2-100’s larger radius, this would lead to a 100–920 Å EUV luminosity of $5 \times 10^{28}$ erg s$^{-1}$, consistent with the estimate based on X-rays and the relation of Sanz-Forcada et al. (2011).

### 4.4 EUV from stellar He I

The He I line of the stellar photosphere is also an indicator of stellar activity since the triplet helium in the lower state of the transition is produced by localized EUV emission from active regions on the star. The advantage of this proxy is that the stellar line is measured during the planet’s transit, obviating any effects of time variation in stellar magnetic activity. Zarro & Zirin (1986) and Smith (2016) found a correlation between X-ray emission and EW of the He I line. Sanz-Forcada & Dupree (2008) found that the EW reached a maximum of about 400 m Å and that the correlation disappeared at a different epoch compared to other dwarf stars with $B-V > 0.47$ (Fig. 9). One possibility is that the X-ray luminosity during our IRD observations was much larger ($log R_X \sim -4$), and that the

![Figure 9. EW of the He I line versus normalized X-ray luminosity of dwarf stars from Zarro & Zirin (1986) and Smith (2016) compared to our observations of K2-100.](image)

### Table 2. Nearby analogues of K2-100 from France et al. (2018).

| Name | SpT | Age (Gyr) | Period (d) | $\tau_{conv}$ (d) | Ro | $F_{EUV}^c$ |
|------|-----|-----------|------------|-----------------|----|-----------|
| τ Boo | F7 | 1.6–2.3 | 3.31 | 15.0 | 0.22 | 7.77 |
| τ Dor | F9 | 0.6 | 3.43 | 27.6 | 0.12 | 2.46 |
| HD 25825 | G0 | 0.8 | 7.47 | 27.8 | 0.27 | 9.42 |
| V993 Tau | G0 | 0.8 | 5.87 | 20.2 | 0.29 | 7.49 |

Notes: $^a$From analysis of TESS photometry. $^b$From Douglas et al. (2019) and analysis of ASAS-SN photometry. $^c$Member of the Hyades cluster. $^d$From Radick et al. (1995). $^{10^8}$ erg s$^{-1}$ cm$^{-2}$ (90–360 Å). $^e$Mamajek & Hillenbrand (2008). $^f$Maldonado et al. (2012).

EUV flux was concomitantly higher as well, although the scatter of the data in Smith (2016) precludes a quantitative estimate.

### 4.5 EUV from Hyades analogues

Finally, the EUV emission from K2-100 can also be estimated by identifying nearby analogues that are more readily studied. There are few direct observations of EUV emission from normal stars because of the limited sensitivity of EUVE, the only survey telescope dedicated to that energy regime (Sirk et al. 1997). However, France et al. (2018) found a tight correlation between the EUV flux in 90–360 Å and that in two FUV doublet lines: $N v$ at 1238.82 and 1242.80 Å, and $Si IV$ at 1393.75 and 1402.76 Å. Since the ISM is far less opaque in the EUV than the FUV, this permitted France et al. (2018) to estimate the EUV fluxes of many more nearby stars than previously ascertained by direct observation. From the sample of France et al. (2018), we identified several stars (Table 2) as potential analogues to K2-100 based on spectral type and Rossby number $Ro = Pr/\tau$, where $\tau$ is the global convective overturn timescale. We use the $\tau$ versus $B-V$ relationship developed by Mittag, Schmitt & Schröder (2018) combined with periods to estimate Ro. The two most similar stars are probably HD 25825 and V993 Tau, members of the Hyades cluster which itself may have the same age and metallicity as Praesepe (Brant & Huang 2015). Adopting the mean of the expected EUV emission of these two stars for K2-100, we predict a 90–360 Å luminosity of $2.1 \pm 1.3 \times 10^{29}$ erg s$^{-1}$. This is higher than the proxy-based estimates, and over a comparatively narrower wavelength range, but we deem this approach to be a
more direct and reliable determination than that using proxies. We normalize the solar spectrum at $\lambda < 912\,\text{Å}$ such that the integrated flux in the 90–360 $\text{Å}$ bandpass corresponded to that of the analogue-based estimate. (No stellar radius correction is applied since the analogues are expected to have radii similar to that of K2-100.)

### 4.6 FUV and NUV

As the basis for a FUV+NUV spectrum of K2-100, we took the annually averaged spectrum of the Sun during the historically pronounced solar maximum of 1957 (Cycle 19), obtained from the LASP Interactive Solar Irradiance Datacenter (LISIRD), and scaled it with observed or inferred fluxes. We estimated Ly $\alpha$ emission of K2-100 based on Landsman & Simon’s (1993) relation with X-ray luminosity, i.e. $L_{\text{Ly}\alpha} = (2.2 \pm 1.8) \times 10^{29}$ (see above). We scaled the emission in the FUV to that measured by the GALEX satellite in its FUV channel ($\lambda = 1350–1750\,\text{Å}$; Boyce, Burleigh & Barstow 2007). We identified a source at the location of K2-100 in Galex image 3068361057647984640 (integration time 1560 s) retrieved from the MAST Portal. We measured the count rate in a 50-pixel circular aperture to be 0.080 s$^{-1}$. The background was determined as the scaled mean of a much larger aperture placed nearby and is 0.08 s$^{-1}$. We evaluated the error as the standard deviation of the counts to be 0.03 s$^{-1}$ among nine 50-pixel apertures placed near the source apertures. The flux density using the conversion of Morrissey et al. (2007) is $1.1 \pm 0.4 \times 10^{-16} \text{erg s}^{-1} \text{cm}^{-2} \text{Å}^{-1}$ over a 255.5–912 $\text{Å}$ effective bandpass. Combined with Gaia parallax, this gives an FUV luminosity of $1.2 \pm 0.4 \times 10^{29} \text{erg s}^{-1}$. We compared this with the value predicted by the empirical relations with $B - V$ and $R_{\text{HK}}$ of Findeisen et al. (2011) relation to predict 1.0 $\pm 0.1 \times 10^{29} \text{erg s}^{-1}$. The scatter of stars about the best-fitting FUV relation of Findeisen et al. (2011) is $1.7 \pm 0.8 $ per cent; thus, the observed value and that predicted by the relation differ by only 1σ.

K2-100 was not detected in a 96-s image in the GALEX NUV (1750–28000 $\text{Å}$) channel; thus, we used Findeisen et al.’s (2011) relation to predict $1.0 \pm 0.2 \times 10^{29} \text{erg s}^{-1}$. The predicted GALEX magnitude $m_{\text{NUV}} = 21.2$ is 0.7 mag fainter than the limiting sensitivity for the 96-s integrations of the All-sky Imaging Survey (Martin et al. 2005), explaining the non-detection of the star.

Table 3 lists the estimated luminosities of K2-100 and irradiance of K2-100b in the different wavelength regimes, assuming a circular orbit.

### 5 PLANETARY WIND MODEL

To translate our upper limit on 10830–Å He I line absorption associated with the transit of K2-100b into statements about mass-loss, we modelled the escaping atmosphere of the planet and its photochemistry as a spherically symmetric, isothermal Parker wind (Parker 1958) with temperature $T_w$. Our model largely recapitulates the model of Oklopcić & Hirata (2018), and we refer the reader to that work for the details; however, we calculate the wavelength-dependence optical depths and photoionization rates explicitly, without averages as approximations. Temperature-dependent recombination coefficients for single and triplet He are from Osterbrock (1989), collision-induced transition strengths and energies are from Bray et al. (2000), and neutral collisional de-excitation coefficients are from Roberge & Dalgarno (1982). We assumed a solar-like composition for the wind of 91.3 per cent H and 8.7 per cent He by number. Line profiles were calculated using oscillator strengths from Wiese & Fuhr (2009), assuming Voigt profiles, and integrating over the accessible wind out to the Roche radius, while accounting for the Doppler shift due to the projected wind velocity. These intrinsic profiles do not include the effect of the finite resolution of the spectrograph ($\approx 4 \text{ km s}^{-1}$), or the orbital motion of the planet during the transit, which will blur profiles by $2\pi R_{\text{p}} v_\text{rot}/(R_{\text{p}})$ or about 1.5 $\text{ km s}^{-1}$, since these are small due to the expected thermal broadening ($> 6 \text{ km s}^{-1}$).

Fig. 10 plots the densities of electrons (equivalent to the density of ionized H), and He i in the singlet and triplet states in a model of an isothermal Parker wind from K2-100b. H is essentially entirely ionized by stellar EUV photons and not shown. The vertical grey line delineates the sonic point. The conditions are $M = 1 \text{ M}_{\oplus} \text{ Gyr}^{-1}$ and $T_w = 10000 \text{ K}$.

![Figure 10. Density profiles of electrons (green line) and He i in the singlet and triplet states in a model of an isothermal Parker wind from K2-100b. H is essentially entirely ionized by stellar EUV photons and not shown. The vertical grey line delineates the sonic point. The conditions are $M = 1 \text{ M}_{\oplus} \text{ Gyr}^{-1}$ and $T_w = 10000 \text{ K.}'].
He I line observations are maximally sensitive to winds with \( \approx 15 \text{ km s}^{-1} \). The total EW is 18 m Å and the two strongest lines of the He I triplet are blended by Doppler broadening (\( \approx 10000 \text{ K} \)). The conditions are mass-loss rate \( \dot{M} = 1 \text{ M}_\odot \text{ Gyr}^{-1} \) and wind temperature \( T_w = 10000 \text{ K} \).

We estimate the rate of impinging photoionizing photons (the left-hand side) to be \( 3 \times 10^{15} \text{ s}^{-1} \), while the escape rate of HI atoms for a mass-loss of \( 1 \text{ M}_\odot \text{ Gyr}^{-1} \) is \( 8 \times 10^{34} \text{ s}^{-1} \). Although this simple calculation (but not the model) ignores recombination, it shows that the EUV irradiation field at the orbit of K2-100b is capable of completely ionizing the flow of H. (We verified that at substantially lower EUV irradiance, the model predicts significant HI in the wind.)

Fig. 11 plots the rates of processes that populate (solid lines) or deplete (dashed lines) the \( 2s^3S \) ground state of the 10 830-Å line, normalized by the wind time-scale \( R_s/c_s \), where \( R_s \) and \( c_s \) are the sonic radius and speed, respectively. The conditions are mass-loss rate \( \dot{M} = 1 \text{ M}_\odot \text{ Gyr}^{-1} \) and wind temperature \( T_w = 10000 \text{ K} \).

These model calculations suggest that for a solar-like composition, an upper limit of total EW <5.8 m Å rules out mass-loss rates >1 M\(_\odot\) Gyr\(^{-1}\) for all wind temperatures \( T_w \) considered here, and loss rates >0.3 M\(_\odot\) Gyr\(^{-1}\) for \( T_w <10000 \text{ K} \) (Fig. 13). He I line observations are maximally sensitive to winds with \( T_w = 4000-5000 \text{ K} \), and least sensitive to those at 20 000 K. Wind temperature affects the sound speed, the sonic point, recombination rates, collisional de-excitation and excitation rates. For a given \( M \), increasing \( T_w \) decreases the density and hence the EW of the line. The recombination rate coefficients of Osterbrock (1989) also decrease with temperature and the density is lower so the actual rate is still lower. Photoionization, the primary mechanism that populates the triplet state, is independent of \( T_w \). The ‘a’ pathway of collisional de-excitation (\( q_{1s10} \)) peaks at 18 000 K, and this may explain some of the high-temperature structure in Fig. 13, but we caution that both the low- and high-\( T_w \) calculations depend on extrapolated values for the recombination rates of ionized He to singlet and triplet He I and may not be reliable.

6 Interpretation and Discussion

The EUV and Ly \( \alpha \) emission of K2-100 have not been measured and presumably will never be directly measured due to the neutral H I along the intervening 186 pc to this star. Both the X-ray and FUV emission used to estimate those fluxes were only marginally (3\( \sigma \)) detected and formal uncertainties in estimates of the EUV flux are of order unity. It is therefore prudent to consider the sensitivity of the modelling results to changes in the EUV emission at different wavelengths. We calculated the EW of the 10 830-Å He I line for a mass-loss rate of 1 M\(_\odot\) Gyr\(^{-1}\) and wind temperature of 10 000 K, and repeated the calculation while doubling the UV irradiance in 10 Å-wide windows over the entire spectral region, recording the fractional change in the calculated EW. We did not vary the mass-loss rate, which, of course, would also be expected to change with varying EUV flux. Rather, this numerical experiment examines our ability to robustly translate an observed EW (or an upper limit)

Figure 11. Rates of processes that populate (solid lines) or deplete (dashed lines) the \( 2s^3S \) triplet state in the 10 830-Å transition of He I, normalized by the time-scale \( R_s/c_s \), where \( R_s \) and \( c_s \) are the sonic radius and speed, respectively. The conditions are mass-loss rate \( \dot{M} = 1 \text{ M}_\odot \text{ Gyr}^{-1} \) and wind temperature \( T_w = 10000 \text{ K} \).

Figure 12. Absorption spectrum of a planetary wind in the He I 10 830-Å line, expressed as the per cent of the out-of-transit spectrum. The conditions are \( M = 1 \text{ M}_\odot \text{ Gyr}^{-1} \) and \( T_w = 10000 \text{ K} \). Wavelengths are as observed in air and no Doppler shift is imposed. The EW is 18 m Å, which would have been readily detected in our IRD observations of the transit of K2-100b.

Figure 13. Predicted combined EW (in m Å) of the 10 830-Å triplet He I line versus mass-loss in Earth’s per Gyr (\( \approx 2 \times 10^9 \text{ kg s}^{-1} \)) and wind temperature. The grey area is excluded at 99 per cent confidence by the upper limit of 5.7 m Å.
into a given mass-loss rate and indicates at wavelengths it is most important to establish the stellar emission.

Fig. 14 shows the results for a restricted range in the EUV where the sensitivity was the non-negligible. Outside this range, the sensitivity was <1 per cent, except for a range in the NUV where increasing irradiance produced a slightly lower EW due to elevated photoionization. In essence, this is a convolution of the EUV spectrum of the star (in this case, a scaled version of the Solar spectrum) and the photoionization cross-section of He I. This shows that it is most important to accurately measure the EUV emission in the 15–500 Å range, and in particular at the 305–Å He I resonant line for which there is already strong emission from Sun-like stars (Golding, Leenaarts & Carlsson 2017). Our planetary wind models show that the 2s3S state of He I is primarily produced by recombination of ionized helium, and the range of non-negligible sensitivity corresponds to the range over which He I ionization occurs (λ < 504 Å). This result should serve as a guide for future efforts to estimate the EUV emission from stars for the purpose of He I line observation should concentrate. Oklopčić (2019) discusses how the He I line strength is governed by the balance between EUV radiation, which produces 2s3S He I via ionization of the ground state and subsequent recombination, and mid-UV radiation, which ionizes triplet He I. For a fixed mass-loss rate, wind temperature, and separation from the host star, there is a spectral type for which the triplet-state He I signal is maximum; hotter stars have more mid-UV emission, and cooler stars do not ionize enough ground-state He I. For M of 0.2 M⊙ Gyr⁻¹ and a wind temperature of 7300 K, this optimal spectral type is K type, consistent with the observation that all four successful detections of He I are for planets orbiting K-type stars, and there are only non-detections for planets transiting A-, G-, and M-type stars. Two planets that were not detected, WASP-12b of Kreidberg & Oklopčić (2018) and HD 209485b of Nortmann et al. (2018), orbit G0 stars like K2-100, and are at separations that are well within a factor of 2 of K2-100b. However, those stars are older, less magnetically active, and, presumably, would have lower EUV luminosities. The upper limits for WASP-12b and HD209458b, converted into EW, are 24 (90 per cent) and 8 mÅ, respectively (both 2σ), comparable to our formal 2.5σ limit of 5.7 mÅ.

We can use the UV irradiances calculated and reported in Table 3 to estimate the energy-limited escape rate $M_{EL}$ of the envelope of K2-100b, an upper limit on the escape rate in the absence of other energy sources, and ignoring processes such as erosion by the stellar wind. The relation (Watson, Donahue & Walker 1981; Erkaev et al. 2007) is

$$M_{EL} = \frac{\eta \pi F_{XUV} R_p^3}{KGM_p}$$

where $\eta$ is an efficiency factor that accounts for energy loss by radiative and conductive cooling, ionization, as well as the remaining heat content of the escaping gas; $F_{XUV}$ is the combination of X-ray and UV irradiances; $R_{\text{XUV}} > R_p$ is the effective radius at the level for the atmosphere from which the XUV radiation is absorbed and from which escape occurs; $G$ is the gravitational constant; and $K$ is a correction factor $\approx 1$, which accounts for the fact that the escaping gas needs to reach only the Roche radius (Erkaev et al. 2007). Adopting $\eta = 0.1$ (Shematovich, Ionov & Lammer 2014) and $R_{\text{XUV}} = R_p$, and the mass estimate of Barragán et al. (2019), we obtain an estimate for an energy-limited escape rate of 1.1 M⊕ Gyr⁻¹, driven by the combination of X-ray, EUV, and Ly α irradiation. This scenario is ruled out by our observations. X-rays, EUV, and Ly α may drive drive atmospheric escape with different efficiencies depending on proximity to the host star (Owen & Jackson 2012), but X-rays are a small fraction of the total energy budget. Asymmetry of the escaping atmosphere may also affect our ability to observe triplet He I and thus limit the mass-loss. Otherwise, the efficiency of escape could be low or the irradiance significantly lower than estimated. Our analysis of K2-100b’s orbit based on transit observations limit the eccentricity to 0.15 (90 per cent confidence) or 0.28 (99 per cent confidence) and do not support an origin for this object as a more massive planet on a scattered orbit. Perhaps, photoevaporative escape, expected to be important during the first few hundred Myr when the star was rapidly rotating and magnetically active (Owen 2019), has largely halted, leaving the planet stranded in the desert. Alternatively, K2-100b’s atmosphere is escaping at a lower rate but over a longer (Gyr) interval, consistent with the scenario of core accretion-powered escape (Ginzburg et al. 2016).

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**APPENDIX A: ANALYSES OF THE LINE PROFILE**

The mean profile of spectral lines contains information on the rotation, atmospheric turbulence, and magnetic activity of the star (Gray 2005). During a transit, the line profile variation against time also allows us to investigate the orbital trajectory of the transiting planet with respect to the stellar spin, and thus measure the stellar obliquity (e.g. Collier Cameron et al. 2010). To measure the projected rotation velocity and stellar obliquity for K2-100, we analysed the line profile (variation) for the IRD spectra taken on UT 2019 March 24. Following Hirano et al. (2020a), we exploited the cross-correlation technique to extract the mean profile of K2-100’s spectral lines by the following steps. First, we removed the telluric lines from the spectra using normalized spectra of the A0 star HD 71960 taken immediately before those of K2-100. In doing so, we attempted to fit the observed residual CCF map for K2-100 with a few fitting parameters including $\lambda$ and $v \sin i$. Fig. A1 shows the derived best-fitting CCF residual map for K2-100’s transit and best-fitting model that is consistent with a non-detection. Unfortunately, the expected Doppler shadow of K2-100b is found to be about an order of magnitude smaller than the observed noise in the residual CCF map, and we were unable to constrain the obliquity of K2-100 in this way.

**Figure A1.** Left-hand panel: residual CCF versus time of the spectrum of K2-100. Right-hand panel: best-fitting model with $\lambda = 108^\circ$ but consistent with non-detection. In both panels, the transit ingress and egress times are indicated by the red horizontal lines. The approximate CCF centre and its $\pm 14.4 \text{ km s}^{-1}$ ($\approx 2v \sin i$) are shown by the vertical black lines. Note that the colour-bar scales are different by about an order of magnitude between the two panels.
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