Potentiating Antibacterial Effect of Locally Deliver Caffeine Nanoparticles on Systemically Used Antibiotics in Periodontal Treatments

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Abstract

Aims: Subinhibitory concentration of antibiotics at periodontal sites may increase the microbial resistance development; hence, this study was carried out to support the hypothesis that antimicrobial as well as anti-inflammatory action of caffeine and its locally deliver nanoparticles, which can deeply penetrate into the periodontal sites might potentiate and synergize the antibacterial effect of systemically used antibiotics for the treatment of periodontitis. Materials and Methods: In this study, the caffeine-loaded low-molecular-weight chitosan nanoparticles were prepared by ionic gelation methodology. Ex vivo antimicrobial activity of prepared nanoparticles was carried out by periodontitis patient’s stimulated saliva sample. Result: Our finding showed that caffeine nanoparticles in combination with amoxicillin affect the growth of periodontitis microorganisms. Periodontitis microorganism grew on a nutrient agar medium in Petri plates. Agar cups were filled in combination of different concentrations of amoxicillin with or without fixed caffeine concentration containing nanoparticles. High amoxicillin concentration (0.5 µg/ml) with 1 mg of caffeine-containing nanoparticles showed maximum zone of inhibition (1.81 ± 0.24 cm). On the other hand, low amoxicillin concentration (0.3 µg/ml) with 1 mg of caffeine-containing nanoparticles demonstrated significant potentiating inhibitory zone (1.54 ± 0.15 cm) as compare to high amoxicillin concentration (0.5 µg/ml) alone (1.50 ± 0.21 cm). Conclusions: It was shown that caffeine nanoparticles potentiate antibacterial effect of amoxicillin.

Key words: Amoxicillin, caffeine nanoparticles, Ex vivo antibacterial activity, periodontitis microorganisms

INTRODUCTION

Microbial colonization on surface of tooth, margin of gingival, and environment of subgingival may cause to periodontal diseases.¹ It may cause inflammation and destruction of the dentogingival complex.² As per the previous study, utilization of antioxidant therapy may help in maintenance of the periodontal health and minimization of inflammatory levels.³⁻⁵ At submillimolar concentrations, caffeine shows noticeable effects on variety of microorganisms.⁶⁻⁷ These include increasing of intracellular amount of cAMP by phosphodiesterases inhibition; direct or indirect effects on calcium concentrations at intracellular level; and adenosine receptors antagonism. Caffeine also inhibits the ataxia-telangiectasia mutated kinase activity and therefore elimination of G2/M DNA damage checkpoint.⁸⁻⁹

The ionic radiation is being widely utilized in the treatment of cancer treatment. Caffeine can effectively potentiate the lethal effects of ionic radiation.¹⁰⁻¹¹

In early days for the treatment of periodontal diseases, systemic antibiotics such as metronidazole, doxycycline, amoxicillin (in combination with or without clavulanic acid), tetracycline, clindamycin, spiramycin, and azithromycin were used.¹²⁻¹⁴ In case of aggressive periodontal conditions,

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Received: 17-02-2020
Revised: 05-03-2020
Accepted: 11-03-2020
severe and progressive forms of periodontal diseases, utilization of systemic antibacterial agents should be under optimal conditions or restricted.\textsuperscript{[15]} In addition, subinhibitory concentrations of antimicrobials at periodontal pockets may facilitate bacterial resistance development.\textsuperscript{[16-20]}

Recent study suggested that utilization of antioxidant-loaded nanoparticles may inhibit inflammation and bone resorption.\textsuperscript{[21,22]} Moreover, nanoparticles contain multiple simultaneous actions toward various microbes and so, normally microbial cells cannot develop resistance against them.\textsuperscript{[23-26]} In view of the abovementioned information’s, the current research is carried out to investigate the effect of locally deliver caffeine nanoparticles along with systemic antimicrobial for the treatment of periodontal diseases. For this purpose, we have selected amoxicillin as a model antibacterial agent and to carry out antimicrobial experiments, we have used periodontitis patient’s saliva sample.

The salivary microbes have been proposed as a diagnostic marker for dental caries\textsuperscript{[27,28]} and periodontal disease.\textsuperscript{[29]} As previously studied,\textsuperscript{[30]} stimulated saliva contains 3 times higher microbial species as compared to unstimulated saliva. Hence, we had collected stimulated saliva samples using sterile paraffin.

The aim of this research was to examine the enhancing effect of antibacterial agent with caffeine nanoparticles on periodontitis salivary microbiota.

**MATERIALS AND METHODS**

**Materials**

Chitosan (low molecular weight, deacetylated chitin, and poly[D-glucosamine]), sodium tripolyphosphate (technical grade, 85%), and pure caffeine (solubility: 15 mg/ml) were purchased from Sigma-Aldrich (Bengaluru, India). Acetic acid (extra pure) was purchased from FINAR\textsuperscript{®} (Ahmedabad, India). Nutrient agar was purchased from HiMedia Laboratories Pvt. Ltd., Mumbai, India. Double-distilled water was utilized during all experiments. All other chemicals utilized as received were of at least reagent grade.

**Drug polymer compatibility**

To prepare the KBr pellet, the test sample was kindly mixed with a suitable quantity of micronized powder of KBr and disc was prepared. Using FT-IR spectrometer (Bruker, Germany), infrared spectra were performed.

**Preparation of caffeine nanoparticles**

As early prescribed,\textsuperscript{[31-33]} ionic gelation method was used for the preparation of caffeine-loaded nanoparticles. In brief, low-molecular-weight chitosan (LMC) was dissolved in 0.75% (V/V) acetic acid (0.1 M) solution and then further diluted to prepare various concentrations (3.3, 1.6, 0.95, 1.4, and 2.1 mg/ml) of LMC. A cross-linking agent, sodium tripolyphosphate (STPP) was dissolved in double-distilled water to prepare a concentration of 1 mg/ml. The pH 4.4 was maintained by utilizing sodium hydroxide (0.5 M), which was done in dropwise addition. After this, 100 ml of STPP solution was dropwise added to the 100 ml of LMC solution at room temperature under vigorous magnetic stirring. The mixture was then centrifuged at 20,000 rpm for 15 min to collect nanoparticles. A 1 mg/ml concentration containing caffeine-loaded LMC nanoparticles was prepared by first dissolving 100 mg of caffeine into 100 mL of STPP solution. Then, this mixture was dropwise added to different concentrations containing 100 mL of LMC solution in Table 1. Prepared nanoparticles were freeze-dried (Allied Frost, New Delhi), kept at cool and dry place for further studies.

**Mean particle size and zeta potential analysis**

As prescribed earlier,\textsuperscript{[34]} using a dynamic light scattering (Malvern Zetasizer, UK), particle size and zeta potential were carried out. All samples were diluted using distilled water to different intensity concentration and examination was carried out at a temperature of 25°C at a scattering angle of 90°.

**Drug incorporation efficiency and loading capacity**

As prescribed earlier,\textsuperscript{[35]} for the determination of drug incorporation efficiency, precisely weighed 10 mg of nanoparticles were added to 10 ml of acetic acid (0.1 M) and dissolved them completely. Clear supernatant was obtained using centrifugation (10,000 rpm, 10 min). Then, the clear supernatant was filtered (Whatman paper No. 41) and 1 ml of filtrate was mixed with 4 ml of acetic acid (0.1 M). The free concentration of caffeine in the supernatant and the resulting caffeine-loaded nanoparticles concentration were carried out by ultraviolet spectroscopy (UV-1800; Shimadzu, Germany) at 282 nm. The drug incorporation (DI) was calculated as DI = (Caffeine$\text{\textsubscript{total}}$ – Caffeine$\text{\textsubscript{supernatant}}$)/Caffeine$\text{\textsubscript{total}}$ × 100% and the drug loading (DL) was calculated as DL = (Caffeine$\text{\textsubscript{total}}$ – Caffeine$\text{\textsubscript{supernatant}}$)/excipients × 100%.

**Table 1: Particle size and polydispersity index of different batches of caffeine nanoparticles**

| Batch | LMC (mg/mL) | STPP (mg/mL) | Particle size (nm±SD) | Polydispersity index (±SD) |
|-------|-------------|--------------|------------------------|---------------------------|
| A     | 3.3         | 1            | 677.0±17.98            | 0.140±0.05                |
| B     | 2.1         | 1            | 450.0±12.40            | 0.019±0.05                |
| C     | 1.6         | 1            | 325.6±8.98             | 0.141±0.07                |
| D     | 1.4         | 1            | 281.3±3.82             | 0.303±0.14                |
| E     | 0.95        | 1            | 129.2±2.60             | 0.220±0.06                |

LMC: Low-molecular-weight chitosan, STPP: Sodium tripolyphosphate
Morphological evaluation of nanoparticles

As prescribed earlier,[36] transmission electron microscopy is a novel application for characterization of nanoparticles. Hence, using transmission electron microscopy (TEM; Tecnai 20, Philips, Holland), morphological evaluation of caffeine-loaded nanoparticles was carried out. Samples of the caffeine-loaded nanoparticles containing suspension (5–8 µl) were dropped onto copper grids. After complete drying, by utilization of 2% w/v phosphotungstic acid, the samples were stained. To perform the image capture and analysis, S-TWIN objective lens with high resolution was used.

In vitro drug release study

By utilizing a dialysis method,[37] the in vitro release profile of caffeine from the caffeine-loaded nanoparticles was investigated. In this method, phosphate buffer solution (pH 6.8) was used as a release medium. In brief, 0.02% w/v of caffeine solution or caffeine-loaded nanoparticles suspension in phosphate buffer solution (containing approx. 2 mg of caffeine) was introduced into a dialysis bag (avg. flat width = 10 mm, Typical MW cutoff = 14,000, Sigma-Aldrich, Bengaluru, India). At 37°C on mechanical shaking bath (100 cycles/min), this bag was incubated in 50 ml release medium containing glass beaker. At predetermined time intervals, 5 ml sample was withdrawn and it was replaced through an equal quantity of freshly prepared phosphate buffer, pH 6.8. Then, appropriate dilution of sample was done and quantitative measurement was carried out using a UV spectrophotometer at 282 nm.

Patient’s saliva sample collection

Patient suffering with periodontal disease was identified at Parul Sevashram Hospital, Dental Department, Limda, Gujarat, India. In aseptic area, sterile paraffin was applied on patient’s mucosal membrane with brush for stimulation of salivary flow. Approximately 3–5 ml stimulated saliva was collected in sterile glass tube and stored at −5°C ± 3 temperature for further use.

Ex vivo antibacterial activity

Patient’s salivary sample was used in agar well diffusion method to check out potentiating effect of caffeine nanoparticles. For evaluation and confirmation of antimicrobial activity, sterilized nutrient agar (NA) growth medium was used.

A 0.1 ml of patient’s saliva sample was poured with 20 ml NA in Petri plates. With the help of sterilized metal, borer wells were created. As shown in Table 2, in Petri plates, 1 ml of different concentrations of amoxicillin with or without 1 mg caffeine-containing nanoparticles were carefully poured into the wells. All plates were incubated in incubator at temperature of 37°C ± 0.5 for 24 h. The developments of clear zone of inhibition in diameter were determined by taking mean of four equivalent circular diameters. All experiments were carried out for 3 times.

RESULTS

FT-IR spectroscopy

As shown in Figure 1A, total seven bands for LMC can be attributed to N-H and O-H stretching at 3416 cm⁻¹, C-H stretching at 2923 cm⁻¹, the primary amide at 1658 cm⁻¹, the N-H bending from amine and secondary amide at 1598 cm⁻¹, −CH₂ bending at 1426 cm⁻¹, the CH₃ groups (a symmetric deformation) at 1383 cm⁻¹, and antisymmetric stretching of O-C=O and C-H stretching at 1154 cm⁻¹. In the FTIR spectrum [Figure 1B] of caffeine, we observe nine main bands which can be attributed to the stretching vibration region of > C=O at 1024 cm⁻¹ and C-N stretching at 1128 cm⁻¹, combined contribution of > C=O and C=N stretching at 1238 cm⁻¹, a minor peak observed due to the stretching vibration of C=N at 1403 cm⁻¹, C=O stretching, C-H bending, and C=N stretching at 1455 cm⁻¹, C=N stretching at 1598 cm⁻¹, major peaks observed because of carbonyl groups (> C=O), C=O and C=N stretching at 1658 cm⁻¹ and 1699 cm⁻¹, and C-H stretching vibration of methyl (−CH₃) groups at 2954 cm⁻¹.

The FT-IR data provided information of the compatibility between drug and excipients as well as caffeine-loaded nanoparticles formation. In the FTIR spectrum [Figure 1C] of LMC and caffeine, the N-H and O-H bands shifted to 3398 cm⁻¹, the −CH₂ band shifted to 1430 cm⁻¹, and C-O-C and C-H bands shifted to 1182 cm⁻¹. While in caffeine spectrum, the C-N band shifted to 1188 cm⁻¹ and C-H band of CH₃ group stretching shifted to 2954 cm⁻¹. As shown in FTIR spectrum of prepared nanoparticles [Figure 1D], in case of LMC, the N-H and O-H bands shifted to 3410 cm⁻¹, the −CH₂ band shifted to 1430 cm⁻¹, and C-O-C and C-H bands shifted to 1187 cm⁻¹. On the other hand, in caffeine spectrum, the C-N band shifted to 1187 cm⁻¹ and C-H band of CH₃ group stretching shifted to 2924 cm⁻¹.

| Plate no. | Growth medium | Zone of inhibition (cm)±SD |
|-----------|--------------|--------------------------|
| 1         | NA+0.5 µg/ml AMX | 1.50±0.21                |
| 2         | NA+0.5 µg/ml AMX+1 mg Cf-loaded NPs | 1.81±0.24                |
| 3         | NA+0.3 µg/ml AMX+1 mg Cf-loaded NPs | 1.54±0.15                |

Table 2: Ex vivo antibacterial activity
Mean particle size and zeta potential analysis

As shown in Figure 2, batch E with least concentration of chitosan contain lowest particle size (129.2 ± 2.60 nm, n = 3) of prepared nanoparticles. On the other hand, chitosan concentration has positive effect on particle size of nanoparticles. With the increase in concentration of chitosan, the particle size was also increased (batch A to D, 281.3 ± 3.82 to 677.0 ± 17.98 nm, n = 3).

TEM analysis

The TEM results show [Figure 3] that the caffeine-loaded nanoparticles (batch E) have average size of 65.25 nm, which was shown similarity to previously reported nanoparticles by chitosan-sodium tripolyphosphate ionic gelation methodology.[38] Significant improvement in the antimicrobial activity can be achieved by the utilization of nanoparticles, as they are able to penetrate into underlying connective tissue, the periodontal pocket areas below the gum, and even the alveolar bone trabeculae.[37] All prepared nanoparticles have well spherical shape, which is necessary for their utilization in subgingival applications. The drug incorporation was found to be 97% for optimized batch-E, which was similar to previously reported results for chitosan-sodium tripolyphosphate nanoparticles.[39-43]

In vitro drug release

The in vitro cumulative release profiles of caffeine-loaded nanoparticles (batch E) and caffeine solution (control preparation) in phosphate buffer, pH 6.8, are shown in Figure 4. In the opening phase, both formulations demonstrated rapid release rate with maintenance of loading dose. The caffeine
solution was almost 100% released within 24 h, while the slower drug release of caffeine from nanoparticles was approximately 98.16% after 10 days. In effective periodontal treatment, demonstrated biphasic release rates from the developed LMC carrying caffeine-loaded nanoparticles may be significant formulation. The %cumulative release of LMC loaded nanoparticles and the time of release were applied with an equation (ln(1-Q) = -Kt) of the first-order drug releasing, equation (Q = Kr^t) of Higuchi model, and equation (Q = Kt^n) of Ritger–Peppas model. In the result, Ritger–Peppas equation was best fitted (Q = 0.46t^0.26, r=0.997), demonstrating the release of caffeine mainly on diffusion based.

**Ex vivo antibacterial activity**

*Ex vivo* antimicrobial activity was carried out with batch E nanoparticles. From the zone of inhibition studies against periodontitis patient’s saliva, it can be observed that 1 mg caffeine nanoparticles with low amoxicillin concentration (0.3 µg/ml) gave better zone of inhibition [Figure 5c] as compared to high amoxicillin concentration (0.5 µg/ml) alone [Figure 5a]. In that also, 1 mg caffeine nanoparticles with high amoxicillin concentration (0.5 µg/ml) showed the maximum antibacterial activity [Figure 5b]. It was observed that the caffeine-loaded nanoparticles were potentiating the antibacterial action of amoxicillin [Table 2].

**DISCUSSION**

Caffeine diffuse from the nanoparticles may increase the concentration of certain cells of immunocompetent and strengthen the first-line host defense in opposition to microbial invaders.[44] The results of this experimental work exhibit that those caffeine nanoparticles have a direct potentiating antibacterial effect. We observed that the similar caffeine nanoparticles concentration affects the growth of periodontitis microorganisms with a different concentration of amoxicillin. Our findings show that caffeine nanoparticles with low concentration of amoxicillin are potentiating antibacterial effect to periodontitis microorganisms than amoxicillin alone. A significant inhibitory effect of caffeine nanoparticles on periodontitis microorganisms was observed with a 0.5 µg/ml amoxicillin concentration; below this amoxicillin concentration (i.e., 0.3 µg/ml) with caffeine nanoparticles had better inhibitory effect on the growth of microorganisms, whereas a low inhibitory effect of amoxicillin (0.5 µg/ml) alone on periodontitis microorganisms was observed. Caffeine has been also identified to reduce tissue damage and inflammation in different animal models.[45] In contrast, high doses of caffeine were demonstrated increase alveolar bone loss in periodontitis induced rats.[46] However, the caffeine doses given were very high. It was reported that excessive consumption of green tea will lead to higher concentration of caffeine administration[47] and it has been considered as a risk factor for periodontal disease.[48]

As previously studied,[49] caffeine-containing chewing gum demonstrated faster caffeine absorption through the mucosa. In addition, nanoparticles are able to adhere the mucosal membrane may also increase the absorption of caffeine. Caffeine exhibits the antibacterial effect and on human health, they have a negative or a positive impact. On the other hand, misuse or site-specific subinhibitory concentration of
antibacterial in systemic treatment of periodontitis, local delivery of caffeine nanoparticles can have a curative and positive effect.

CONCLUSIONS

Our findings demonstrate a clear inhibitory action of caffeine nanoparticles. The sensitivity of periodontitis microorganism to antibacterial agent with caffeine nanoparticles can vary greatly depending on concentration and potency of antibacterial agent. Periodontitis microorganisms are more sensitive to amoxicillin with caffeine nanoparticles than amoxicillin alone. This research could be considered into account of the periodontal treatments.

ACKNOWLEDGMENT

This work was financially supported by Nano Mission, Department of Science and Technology, New Delhi (SR/NM/NB-1063/2016). The authors are highly thankful to Ms. Nadar Sowmya Sivakumar and Ms. Mishra Aabha Omprakash for their kind help to carry out this research work.

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Source of Support: Nil. Conflicts of Interest: None declared.