Improved Estimates for the Parameters of the Heavy Quark Expansion

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Abstract

We give improved estimates for the non-perturbative parameters appearing in the heavy quark expansion for inclusive decays. While the parameters appearing in low orders of this expansion can be extracted from data, the number of parameters in higher orders proliferates strongly, making a determination of these parameters from data impossible. Thus, one has to rely on theoretical estimates which may be obtained from an insertion of intermediate states. In this paper we refine this method and attempt to estimate the uncertainties of this approach.
1 Introduction

The heavy mass expansion has become a mature method for the calculation of inclusive decay rates of heavy hadrons. It relies on the fact that inclusive decay rates and spectra for the decays of $B$ hadrons can be computed in a series in powers of $\Lambda_{\text{QCD}}/m_b$, where the underlying technology is the operator product expansion (OPE) in QCD [1–4].

The nonperturbative input at each order is determined by forward matrix elements of local operators which themselves have again a heavy mass expansion. Up to and including terms of the order $(\Lambda_{\text{QCD}}/m_b)^3$, there appear in total four nonperturbative quantities, which are the kinetic energy parameter $\mu_\pi$, the chromo-magnetic parameter $\mu_G$, the Darwin term $\rho_D$ and the spin-orbit term $\rho_{LS}$.

The data and the theoretical framework for inclusive semileptonic decays rates and the lepton energy and hadronic mass spectra have developed so far that these nonperturbative parameters can be determined or at least strongly constrained. Overall this has lead to a precision determination of $V_{cb}$ with a relative uncertainty of less than 2% [5, 6].

However, going beyond the order $(\Lambda_{\text{QCD}}/m_b)^3$ requires many more nonperturbative parameters. In fact, the number of independent parameters proliferates significantly; at order $(\Lambda_{\text{QCD}}/m_b)^4$ we have already nine parameters [7], while at $(\Lambda_{\text{QCD}}/m_b)^5$ one finds eighteen [8]. Overall there is a factorial growth of this number, which has lead to speculations that the heavy quark expansion is asymptotic, just as the usual perturbative expansion [9, 10].

In order to get an estimate for the effects of the higher orders in the heavy mass expansion it is thus important to get a reliable estimate of these higher-order contributions. To this end, one needs to estimate the forward matrix elements of higher-dimensional operators with heavy quarks.

In previous papers ideas have been developed how to get an estimate for such matrix elements [8]. The methods employed are based on a product of two operators, which on the one hand can be evaluated by inserting a set of intermediate states, while on the other hand one may perform an OPE. Truncating the infinite set of intermediate states to the lowest-lying state only (“lowest-lying state saturation ansatz”, LLSA) one obtains the higher order matrix elements in terms of $\mu_\pi$, $\mu_G$, $\rho_D$ and $\rho_{LS}$.

In the present paper we elaborate on this idea further. First of all, we develop a systematic way to define the LLSA, showing that up to order $1/m_5$ the non-perturbative matrix elements can be expressed in LLSA by four independent parameters, one of which is the chromomagnetic moment parameter $\mu_G$, which is well known from the mass difference of the ground-state mesons. Secondly, we discuss the uncertainties induced by the LLSA; this can not be done rigorously, but on the basis of a simple model one may get an idea on the precision of LLSA.

In the next section we us the OPE to derive formulae which allow to derive the LLSA. The arguments go very much along the lines of [8], where a similar approach has been considered, however, not in a systematic way. We will also comment on some features of this approach. In section 3 we show how to use the formalism developed in section 2 by deriving the higher-order matrix elements up to order $1/m_5$ in terms of only four independent parameters and giving numerical estimates for those. Finally, in section 4 we discuss the uncertainties of the LLSA truncation by setting up a simple model to estimate the systematical uncertainties of LLSA. Then we will conclude and summarize our results in section 5.
2 Framework

We are interested in deriving an expression for an expectation value \( \langle B|O|B \rangle \) in terms of matrix elements of lower dimensional operators. The analog in non-relativistic quantum mechanics for an operator of the form \( O = O_1 O_2 \) is given using the completeness of states,

\[
\langle \psi | O | \psi \rangle = \sum_n \langle \Psi | O_1 | n \rangle \langle n | O_2 | \psi \rangle.
\]

(2.1)

However, in a quantum field theory the operators can be local operators \( O_1(x) \) and \( O_2(x) \), where in general a product taken at the same space time point \( O_1(x) O_2(x) \) is ill defined and needs renormalization. We will consider this problem very similarly to what was worked out in [8], where it was treated less systematically. To set up our framework we first distinguish between spatial and time derivatives. The spatial derivative is defined as

\[
D^\perp_\mu = g^\perp_{\mu\nu}D^\nu
\]

(2.2)

while the time derivative is just given by \( D_t := v \cdot D \). We consider first operators with a chain of only spatial derivatives and define

\[
\mathcal{P}_1 = (i D^\perp_\mu)(i D^\perp_\nu)...(i D^\perp_{\mu\nu})
\]

(2.3a)

and

\[
\mathcal{P}_2 = (i D^\perp_{\nu})(i D^\perp_{\nu})...(i D^\perp_{\nu\nu})
\]

(2.3b)

The Lorentz indices in these equations might be contracted or left open in what follows.

It is useful to introduce a fictitious heavy quark \( Q \) with a mass much larger than the \( b \) quark mass, \( m_Q \gg m_b \). Using this we may form the operators

\[
O_1(x) = \bar{b}(x)\mathcal{P}_1 Q(x)
\]

(2.4a)

\[
O_2(y) = \bar{Q}(y)\mathcal{P}_2 \Gamma b(y)
\]

(2.4b)

where \( \Gamma \) is an arbitrary Dirac matrix, which can be chosen to only appear in the second operator. As discussed in [8], one considers the Fourier transform of the forward matrix element of the time-ordered product

\[
T(v \cdot q) = \int d^4x \ e^{i(v \cdot x) - i(x - y) \cdot q} \langle B(p_B)|\mathcal{T}\{O_1(x)O_2(y)\}|B(p_B)\rangle
\]

(2.5)

and performs the standard steps for a heavy mass expansion: We redefine the quark fields as

\[
b(x) = e^{-im_b v \cdot x} b_v(x) \quad Q(x) = e^{-im_Q v \cdot x} Q_v(x)
\]

(2.6)

which suggests to define the parameter \( \omega = (v \cdot q) + m_b - m_Q \) and thus

\[
T(\omega) = \int d^4x \ e^{i(\omega \cdot x)} \frac{1}{\omega - \epsilon_n + i\epsilon} \langle B(p_B)|\mathcal{T}\{\bar{b}_v(x)\mathcal{P}_1 Q_v(x) \bar{Q}_v(0)\mathcal{P}_2 \Gamma b_v(0)\}|B(p_B)\rangle
\]

(2.7)

We now insert a complete set of intermediate states and use that momentum is the generator of translations, such that for any operator \( O(x) = e^{iP \cdot x} O(0) e^{-iP \cdot x} \). In the rest frame of the decaying \( B \) one finds

\[
T(\omega) = \sum_n \frac{1}{\omega - \epsilon_n + i\epsilon} \langle B(p_B)|\bar{b}_v\mathcal{P}_1 Q_v|n\rangle \langle n|\bar{Q}_v\mathcal{P}_2 \Gamma b_v|B(p_B)\rangle
\]

(2.8)

Here and in what follows, a field without a space-time argument is to be taken at \( x = 0 \).
Here $\epsilon_n$ are the excitation energies, defined from the masses $M_n$ of the excited $Q$ hadron states as $M_n = M_Q + \epsilon_n$, where $M_Q$ is the mass of the pseudo scalar ground state $Q$ meson. The second term is a contribution with intermediate $B$ and $Q$ states; in the limit of infinite quark mass $m_Q$ this contribution vanishes.

For sufficiently large $\omega$ (i.e. $|\omega| \gg \Lambda_{\text{QCD}}$) we can perform an OPE for the correlator $T(\omega)$ in (2.7). The tree-level term of this OPE is simply obtained form contracting the intermediate $Q$ propagator. We are interested in the limit $m_Q \to \infty$, in which case we may replace the propagator by the static propagator in the external gluon field within the $B$ meson

$$T(\omega) = \left\langle B(p_B) \left| \bar{b}_v P_1 \left( \frac{i}{\omega + iv \cdot D + i\epsilon} \right) \left( \frac{1 + \gamma^\mu}{2} \right) P_2 \Gamma b_v \right| B(p_B) \right\rangle,$$  
(2.9)

Combining eq. (2.8) and (2.9) we obtain the relation

$$\sum_n \frac{i(2\pi)^3 \delta^3(p_n^\perp)}{\omega - \epsilon_n + i\epsilon} \langle B(p_B) | \bar{b}_v P_1 Q_v | n \rangle \langle n | \bar{Q}_v P_2 \Gamma b_v | B(p_B) \rangle = \left\langle B(p_B) \left| \bar{b}_v P_1 \left( \frac{i}{\omega + iv \cdot D + i\epsilon} \right) \left( \frac{1 + \gamma^\mu}{2} \right) P_2 \Gamma b_v \right| B(p_B) \right\rangle$$  
(2.10)

in the $m_Q \to \infty$ limit. For large $\omega$ this formula can be expanded in inverse powers of $\omega$ yielding the final relation

$$\sum_{k=0}^{\infty} \sum_n (2\pi)^3 \delta^3(p_n^\perp) \left( \frac{\epsilon_n}{\omega} \right)^k \langle B(p_B) | \bar{b}_v P_1 Q_v | n \rangle \langle n | \bar{Q}_v P_2 \Gamma b_v | B(p_B) \rangle = \sum_{k=0}^{\infty} \left\langle B(p_B) \left| \bar{b}_v P_1 \left( \frac{iv \cdot D}{\omega} \right)^k \left( \frac{1 + \gamma^\mu}{2} \right) P_2 \Gamma b_v \right| B(p_B) \right\rangle.$$  
(2.11)

This equations establishes our goal of relating a matrix element of the schematic form $\langle B | P_1 P_2 | B \rangle$ to products of matrix elements of lower dimensional operators $\langle B | P_1 | n \rangle \langle n | P_2 | B \rangle$. In the following sections we will demonstrate how to put this equation to use and obtain estimates for matrix elements up to order $1/m_b^2$.

Before we go on to show how the master equation (2.11) is applied for calculating forward matrix elements of $B$ mesons, we want to make a few comments on this equation. Firstly, the decomposition of the operator $P := P_1 P_2$ is of course not unique, and any other decomposition $P = P_1' P_2'$ would have been good as well. Different decompositions will give estimates in terms of different lower dimensional matrix elements and in the following we will always chose the decomposition in a way to obtain estimates in terms of the desired parameters (this point will become especially clear in sec. 3.4). Related to this is the position of the Dirac structure $\Gamma$ on the lefthand side of eq. (2.11). Since $\Gamma$ and $P_i$ commute, we could have equally well placed it in the first matrix element with $P_1$ or even split it up as $\Gamma := \Gamma_1 \Gamma_2$.

Secondly, it is obvious from the derivation that this estimate can readily be generalized to two or more insertion of complete sets for estimating higher dimensional matrix elements. As we will see in sec. 3.4 this generalization will become necessary at order $1/m_b^2$.

The last remark on eq. (2.11) concerns the fact that it is only the tree-level approximation of the OPE, as stated in the derivation. This will lead to QCD correction of our estimates coming from higher order terms. However, these corrections can easily be included by performing the OPE in eq. (2.9) to higher order. This will be addressed in a subsequent publication.
3 Contributions from lowest lying states

The sums in (2.10) and (2.11) run over all intermediate states, which have the appropriate quantum numbers. Obviously this sum cannot be performed analytically, and one way of approaching his problem is to truncate the sum. In the following we truncate this sum after the lowest states that can contribute to the matrix element. These are either the ground states \( Q \) and \( Q^* \), the pseudo scalar and vector meson formed from the heavy quark \( Q \) and a light antiquark, or the lowest lying states with angular momentum \( \ell = 1 \). Making use of heavy quark symmetries, these states consist of two degenerate doublets where the spin of the light degrees of freedom is \( j = 1/2 \) and \( j = 3/2 \). As we will see, this will allow us to express the matrix elements up to order \( 1/m_v^2 \) in terms of just four parameters: the kinetic energy \( \mu^2 \), the chromomagnetic moment \( \mu^2 \), and the excitation energies \( \epsilon_{1/2} \) and \( \epsilon_{3/2} \) of the two \( \ell = 1 \) doublets compared to the ground state.

In order to implement spin symmetry, it is useful to define representation matrices for these states as

\[
C(v) = \sqrt{M_C} \frac{1 + \gamma_5}{2} \quad J^P = 0^-, \quad j = 1/2, \quad (3.1a)
\]
\[
C^*(v, \epsilon) = \sqrt{M_C} \frac{1 + \gamma_5}{2} \epsilon_{\mu\nu}^i \quad J^P = 1^-, \quad j = 1/2, \quad (3.1b)
\]
\[
E(v) = \sqrt{M_E} \frac{1 + \gamma_5}{2} \epsilon_{\mu\nu}^i \quad J^P = 0^+, \quad j = 1/2, \quad (3.1c)
\]
\[
E^*(v, \epsilon) = \sqrt{M_E} \frac{1 + \gamma_5}{2} \epsilon_{\mu\nu}^i \quad J^P = 1^+, \quad j = 1/2, \quad (3.1d)
\]
\[
F^{\mu}(v, \epsilon) = \sqrt{M_F} \frac{3 + \gamma_5}{2} \epsilon_{\mu\nu}^i \quad J^P = 1^+, \quad j = 3/2, \quad (3.1e)
\]
\[
F^{*\mu}(v, \epsilon) = \sqrt{M_F} \frac{1 + \gamma_5}{2} \epsilon_{\mu\nu}^i \quad J^P = 2^+, \quad j = 3/2, \quad (3.1f)
\]
\[
G^{\mu}(v, \epsilon) = \sqrt{M_G} \frac{3 + \gamma_5}{2} \epsilon_{\mu\nu}^i \quad J^P = 1^-, \quad j = 3/2, \quad (3.1g)
\]
\[
G^{*\mu}(v, \epsilon) = \sqrt{M_G} \frac{1 + \gamma_5}{2} \epsilon_{\mu\nu}^i \quad J^P = 2^-, \quad j = 3/2, \quad (3.1h)
\]

corresponding to the proper coupling of the light and heavy quark spins and the angular momentum \([11, 12]\). Note that they states parametrized by \( G^\mu \) correspond to \( \ell = 2 \). They will not contribute in LLSA and are just given here for completeness. The polarization vectors \( \epsilon_{\mu}^{(i)} \) and the traceless, symmetric polarization tensors \( \epsilon_{\mu\nu}^{(i)} \) obey

\[
v \cdot \epsilon^{(i)} = 0, \quad \epsilon^{(i)} \cdot \epsilon^{(j)} = -\delta^{ij}, \quad \sum_i \epsilon_{\mu}^{(i)} \epsilon_{\nu}^{(i)*} = -g_{\mu\nu}, \quad (3.2a)
\]
\[
v^\mu \epsilon_{\mu\nu}^{(i)} = 0, \quad \epsilon_{\mu\nu}^{(i)} \gamma_{\mu}^{(j), \mu\nu} = 2\delta^{ij}, \quad \sum_i \epsilon_{\mu\nu}^{(i)} \epsilon_{\alpha\beta}^{(i)*} = g_{\mu\alpha}g_{\nu\beta} + g_{\mu\beta}g_{\nu\alpha} - \frac{2}{3} g_{\mu\nu}g_{\alpha\beta}. \quad (3.2b)
\]

These representations can be used to compute the matrix elements in (2.10) and (2.11). Using the heavy mass limit also for the \( B \) meson, we obtain the “trace formula” \([11, 12]\)

\[
\langle B|\bar{b}\rho Q|n\rangle = \text{Tr}[\hat{C}(v)\Gamma H(v)\mathcal{H}], \quad (3.3)
\]

4
with $\bar{C} = \gamma^0 C^\dagger \gamma^0$ and $H(v)$ being the representations for the state $|J^P,j\rangle$ as given in eq. (3.1). $H$ represents the light degrees of freedom and depends on the derivatives contained in $P$. The important feature, which reduces the number of independent coefficients and allows us to make meaningful predictions, is that $H$ is the same for each pair of doublets. By definition of the heavy representations in eq. (3.1) the light degrees of freedom in $H$ must conserve parity and as such they are composed of the Dirac matrices $\gamma^\mu$ and $i\sigma_{\alpha\beta}$, together with the vector $v_\mu$, the metric $g_{\mu\nu}$ and the $P$-even combination $\epsilon_{\mu\nu\rho\sigma}\gamma^\rho$; the number of different independent combinations equals the number of parameters needed to describe theses matrix elements.

### 3.1 Order $1/m_b$ and $1/m_b^2$

We start with the simplest case, where $P_1$ as well as $P_2$ is only a single derivative. For illustration purposes we will go through the steps of the calculation in some detail. Starting from eq. (2.11), we get from the leading term of the $1/\omega$ expansion

$$\sum_n (2\pi)^3 \delta^3(p_\perp^n) \langle B(p_B) | \tilde{b}_v(iD^\perp_\mu)Q_v | n \rangle \langle n | Q_v(iD^\perp_\nu)\Gamma b_v | B(p_B) \rangle$$

$$= \left\langle B(p_B) \right| \tilde{b}_v(iD^\perp_\mu)(iD^\perp_\nu)^\dagger \frac{1}{2} \Gamma b_v \left| B(p_B) \right\rangle$$

By rotational symmetry, the lowest-lying states that can contribute here are the two $\ell\omega/\omega$ we get from the leading term of the $1/\omega$ expansion $\gamma^\mu$ and $i\sigma_{\alpha\beta}$, together with the vector $v_\mu$, the metric $g_{\mu\nu}$ and the $P$-even combination $\epsilon_{\mu\nu\rho\sigma}\gamma^\rho$; the number of different independent combinations equals the number of parameters needed to describe theses matrix elements.

Note that in $F^{\mu\nu}$ no $i\sigma_{\alpha\beta}^{\mu\nu}$-term appears, as the polarization index for spin $j \geq \frac{3}{2}$ belongs to a Rarita-Schwinger object that obeys $\psi_\mu\gamma^\mu = 0$ (this can be seen explicitly in eq. (3.1e)-(3.1h)). Inserting this into the trace formula, we get

$$\langle B \left| \tilde{b}_v(iD^\perp_\mu)\Gamma Q_v \left| 1^+, \frac{1}{2} \right\rangle = \text{Tr} [\bar{C}(v)\Gamma E^\ast(v,\epsilon)\mathcal{E}_\mu]$$

$$\langle B \left| \tilde{b}_v(iD^\perp_\mu)\Gamma Q_v \left| 1^+, \frac{3}{2} \right\rangle = \text{Tr} [\bar{C}(v)\Gamma F^\ast(v,\epsilon)\mathcal{F}_{\mu\nu}]$$

where we introduced the notation $|J^P,j\rangle$ for the (intermediate) $Q$ states. Evaluating the traces, the only non-vanishing matrix elements for $\Gamma = 1$ are

$$\langle B \left| \tilde{b}_v iD^\perp_\mu Q_v \left| 1^+, \frac{1}{2} \right\rangle = -2\sqrt{M_B M_E} R \epsilon_\mu,$$

$$\langle B \left| \tilde{b}_v iD^\perp_\mu Q_v \left| 1^+, \frac{3}{2} \right\rangle = -2\sqrt{\frac{2}{3}} \sqrt{M_B M_F} R' \epsilon_\mu,$$

and the ones containing $\Gamma = i\sigma_{\alpha\beta}^{\perp\mu\nu}$ are

$$\langle B \left| \tilde{b}_v iD^\perp_\mu i\sigma_{\alpha\beta}^{\perp\mu\nu} Q_v \left| 1^+, \frac{1}{2} \right\rangle = -4\sqrt{M_B M_E} R \epsilon_{[\alpha g_{\beta\mu]}},$$

$$\langle B \left| \tilde{b}_v iD^\perp_\mu i\sigma_{\alpha\beta}^{\perp\mu\nu} Q_v \left| 1^+, \frac{3}{2} \right\rangle = 2\sqrt{\frac{2}{3}} \sqrt{M_B M_F} R' \epsilon_{[\alpha g_{\beta\mu}]}.$$
where the square brackets $[\alpha \beta]$ denote antisymmetrization, i.e. $T_{[\alpha \beta]} = \frac{1}{2} (T_{\alpha \beta} - T_{\beta \alpha})$. The key point of the “lowest-lying state saturation ansatz” (LLSA) is to saturate the sum over all intermediate states by the lowest lying states only, which amounts here to the replacement

$$\sum_n |n\rangle \langle n| = \sum_{Pol} \int dp \left[ |1^+, \frac{1}{2}\rangle \langle 1^+, \frac{1}{2}| + |1^+, \frac{3}{2}\rangle \langle 1^+, \frac{3}{2}| \right] + \cdots$$ (3.8)

in the left-hand side of (3.4), and the ellipses denote the higher excited states, which we shall omit. Using the polarization sums from (3.2a) and integrating over the momentum of the intermediate state we obtain the estimates for the right hand side of (3.4)

$$\langle B \mid \bar{b}_v iD_{\mu}^+ iD_{\nu}^- b_v \mid B \rangle = 2M_B \left( -|R|^2 - \frac{2}{3}|R'|^2 \right) g_{\mu\nu}$$ (3.9a)

$$\langle B \mid \bar{b}_v iD_{\mu}^+ iD_{\nu}^- i\sigma_{\alpha\beta} b_v \mid B \rangle = 2M_B \left( 2|R|^2 - \frac{2}{3}|R'|^2 \right) g_{\mu\alpha} g_{\beta\nu}$$ (3.9b)

For some further details on the derivation of eq. (3.9) see appendix A.1. These equations now allow us to relate $R$ and $R'$ to the kinetic energy $\mu^2_R$ and the chromoamagnetic moment $\mu^2_g$ defined by

$$2M_B \mu_R^2 = -\langle B \mid \bar{b}_v iD_{\mu}^+ iD_{\nu}^- b_v \mid B \rangle g_{\mu\nu},$$ (3.10a)

$$2M_B \mu_g^2 = -\langle B \mid \bar{b}_v iD_{\mu}^+ iD_{\nu}^- i\sigma_{\alpha\beta} b_v \mid B \rangle.$$ (3.10b)

So we finally obtain in the LLSA approximation

$$9|R|^2 = \mu^2_R - \mu^2_G$$ (3.11a)

$$6|R'|^2 = 2\mu^2_R + \mu^2_G$$ (3.11b)

which reproduces the result of [8] that the combination $\mu^2_R - \mu^2_G$ only receives contributions of the $j = 1/2$ spin-symmetry doublet, while the combination $2\mu^2_R + \mu^2_G$ is fed from the $j = 3/2$ states. In the calculations in the next subsections we will use eq. (3.11) to replace the parameters $R$ and $R'$ by $\mu^2_R$ and $\mu^2_G$.

### 3.2 Order $1/m_b^3$

At the order $1/m_b^3$ we have the Darwin term $\rho_D$ and the spin-orbit coupling $\rho_{LS}$, defined by

$$2M_B \rho_D^3 = \frac{1}{2} \langle B \mid \bar{b}_v \left[ iD_{\mu}^+, \left[ i\nu \cdot D, iD_{\nu}^- \right] \right] b_v \mid B \rangle g_{\mu\nu},$$ (3.12a)

$$2M_B \rho_{LS}^3 = -\frac{1}{2} \langle B \mid \bar{b}_v \left\{ iD_{\mu}^+, \left[ i\nu \cdot D, iD_{\nu}^- \right] \right\} i\sigma_{\mu\nu} b_v \mid B \rangle.$$ (3.12b)

Hence we only have to consider the matrix elements

$$\langle B \mid \bar{b}_v iD_{\mu}^+ (i\nu \cdot D) iD_{\nu}^- \Gamma b_v \mid B \rangle$$ (3.13)

in LLSA, since the terms with $(i\nu \cdot D)$ on the very right (or left) must vanish due to the equations of motion. This means that we now have to consider the $1/\omega$-term in our master equation (2.11),

$$\sum_n (2\pi)^3 \delta^3(p_n^+) (-\epsilon_n) \langle B(p_B) \mid \bar{b}_v iD_{\mu}^+ Q_v \mid n \rangle \langle n \mid Q_v iD_{\nu}^- \Gamma b_v \mid B(p_B) \rangle$$ (3.14)

$$= \langle B(p_B) \mid \bar{b}_v iD_{\mu}^+ (i\nu \cdot D) \frac{1+\hat{\gamma}_5}{2} iD_{\nu}^- \Gamma b_v \mid B(p_B) \rangle.$$
According to the LLSA, we again saturate the sum on the left-hand side by the two $\ell = 1$ spin symmetry doublets. Thus we pick up two new parameters $\epsilon_{1/2}$ and $\epsilon_{3/2}$, which are the excitation energies of the two spin symmetry doublets. The matrix elements that appear in this approximation are given in eq. (3.7). Thus we obtain

$$\langle B | \tilde{b}_v iD^\perp_{\mu}(iv \cdot D)iD^\perp_{\nu}b_v | B \rangle = 2M_B \left( \epsilon_{1/2}|R|^2 + \frac{2}{3} \epsilon_{3/2}|R'|^2 \right) g_{\mu\nu}^+ \ (3.15a)$$

$$\langle B | \tilde{b}_v iD^\perp_{\mu}(iv \cdot D)iD^\perp_{\nu}i\sigma_{\alpha\beta} b_v | B \rangle = 2M_B \left( -2\epsilon_{1/2}|R|^2 + \frac{2}{3} \epsilon_{3/2}|R'|^2 \right) g_{\mu[\alpha}^+ g_{\beta\nu]}^+ \ (3.15b)$$

From these we can eliminate $R$ and $R'$ using eq. (3.11). Using the definitions of $\rho_D$ and $\rho_{LS}$ this leads to

$$\rho_D^3 = \frac{1}{2} \epsilon_{1/2}(\mu^2_{\pi} - \mu^2_{\eta}) + \frac{1}{3} \epsilon_{3/2}(2\mu^2_{\pi} + \mu^2_{\eta}), \ \ \ \ \ \ \ \ \ \ \ \ \ \ (3.16a)$$

$$\rho_{LS}^3 = \frac{2}{3} \epsilon_{1/2}(\mu^2_{\pi} - \mu^2_{\eta}) - \frac{1}{3} \epsilon_{3/2}(2\mu^2_{\pi} + \mu^2_{\eta}). \ \ \ \ \ \ (3.16b)$$

Again we observe that the combination $\rho_D^3 + \rho_{LS}^3$ only is driven by the $j = 1/2$ intermediate states, likewise the $j = 3/2$ determines the combination $2\rho_D^3 - \rho_{LS}^3$. The numerical values for these estimates are discussed in sec. 3.5.

### 3.3 Order $1/m_b^4$

At order $1/m_b^4$ we have nine independent matrix elements, four spin-singlets and five spin-triplets. These are parametrized by

$$2M_Bm_1 = \langle B | \tilde{b}_v iD^\perp_{\mu}iD^\perp_{\nu}i\sigma_{\alpha\beta} b_v | B \rangle \left( \frac{1}{3} g_{\mu\nu}^{\alpha\alpha} + g_{\mu\nu}^{\alpha\beta} + g_{\mu\nu}^{\beta\beta} \right) \ (3.17a)$$

$$2M_Bm_2 = \langle B | \tilde{b}_v[iD^\perp_{\mu}, iv \cdot D][iv \cdot D, iD^\perp_{\nu}]b_v | B \rangle g_{\mu\nu}^{\alpha\alpha} \ (3.17b)$$

$$2M_Bm_3 = \langle B | \tilde{b}_v[iD^\perp_{\mu}, iD^\perp_{\nu}][iD^\perp_{\mu}, iD^\perp_{\nu}]b_v | B \rangle g_{\mu\nu}^{\alpha\beta} \ (3.17c)$$

$$2M_Bm_4 = \langle B | \tilde{b}_v \left\{ iD^\perp_{\mu}, [iD^\perp_{\nu}, [iD^\perp_{\mu}, iD^\perp_{\nu}]] \right\} b_v | B \rangle g_{\mu\nu}^{\alpha\alpha} \ (3.17d)$$

$$2M_Bm_5 = -\langle B | \tilde{b}_v[iD^\perp_{\mu}, iv \cdot D][iv \cdot D, iD^\perp_{\nu}]i\sigma_{\alpha\beta} b_v | B \rangle \ (3.17e)$$

$$2M_Bm_6 = -\langle B | \tilde{b}_v[iD^\perp_{\mu}, iD^\perp_{\nu}][iD^\perp_{\mu}, iD^\perp_{\nu}]i\sigma_{\alpha\beta} b_v | B \rangle g_{\mu\nu}^{\alpha\beta} \ (3.17f)$$

$$2M_Bm_7 = -\langle B | \tilde{b}_v \left\{ [iD^\perp_{\mu}, iD^\perp_{\nu}], [iD^\perp_{\mu}, iD^\perp_{\nu}] \right\} i\sigma_{\alpha\beta} b_v | B \rangle g_{\mu\nu}^{\alpha\alpha} \ (3.17g)$$

$$2M_Bm_8 = -\langle B | \tilde{b}_v \left\{ iD^\perp_{\mu}, iD^\perp_{\nu} \right\} [iD^\perp_{\mu}, iD^\perp_{\nu}]i\sigma_{\alpha\beta} b_v | B \rangle g_{\mu\nu}^{\alpha\beta} \ (3.17h)$$

$$2M_Bm_9 = -\langle B | \tilde{b}_v \left\{ iD^\perp_{\mu}, iD^\perp_{\nu} \right\} [iD^\perp_{\mu}, iD^\perp_{\nu}]i\sigma_{\alpha\beta} b_v | B \rangle g_{\mu\nu}^{\beta\beta} \ (3.17i)$$

Two of these matrix elements, $m_2$ and $m_5$ contain time derivatives and are obtained by the $k = 2$ term in eq. (2.11), analogously to $\rho_D$ and $\rho_{LS}$,

$$m_2 = -\frac{1}{3} \left( \epsilon_{1/2}^2(\mu^2_{\pi} - \mu^2_{\eta}) + \epsilon_{3/2}^2(2\mu^2_{\pi} + \mu^2_{\eta}) \right) \ (3.18a)$$

$$m_5 = \frac{1}{3} \left( 2\epsilon_{1/2}^2(\mu^2_{\pi} - \mu^2_{\eta}) + \epsilon_{3/2}^2(2\mu^2_{\pi} + \mu^2_{\eta}) \right). \ (3.18b)$$
expression | expression
--- | ---
$m_1$ | $\frac{5}{9}\mu_\pi^4$ | 9.5 | $m_2$ | $-\frac{\gamma_1}{3}(\mu_\pi^2 - \mu_\rho^2) - \frac{\gamma_2}{3}(2\mu_\pi^2 + \mu_\rho^2)$ | -8.2
$m_3$ | $\frac{2}{3}\mu_\rho^4$ | 7.7 | $m_4$ | $-\frac{1}{3}\mu_\gamma^4 - \frac{4}{3}\mu_\pi^4$ | -26.7
$m_5$ | $-\frac{2\gamma_1}{3}(\mu_\pi^2 - \mu_\rho^2) + \frac{\gamma_2}{3}(2\mu_\pi^2 + \mu_\rho^2)$ | 7.0 | $m_6$ | $-\frac{2}{3}\mu_\gamma^2$ | -0.08
$m_7$ | 0 | 0 | $m_8$ | 0 | 0
$m_9$ | $\frac{1}{3}\mu_\gamma^4$ | 3.9

Table 1: Expressions and values for the dimension seven matrix elements $m_i$. The numerical values are in units of $10^{-2}$ GeV$^4$.

The other matrix elements contain only spatial derivatives. We insert the complete set of states in the middle, and only keep the contributions from the negative parity $j = 1/2$ states, which are related to the matrix elements of the form $\langle B | iD^+iD^b \Gamma | b \rangle$ given in eq. (3.10), so the estimates will contain only $\mu_\pi^2$ and $\mu_\rho^2$. In LLSA we will not keep the contributions of the negative parity $j = 3/2$ states, which would introduce new parameters. The complete list of non-vanishing matrix elements also containing these states are given in app. A.2. The relevant light degrees of freedom are therefore parametrized by

$$C_{\mu\nu} = \frac{1}{3}\mu_\pi^2g_{\mu\nu} - \frac{1}{6}\mu_\rho^2\sigma_{\mu\nu}, \quad (3.19)$$

which leads to

$$\langle B | \tilde{b}_b iD^+_{\mu}iD^+_{\rho}iD^+_{\sigma}b_v | B \rangle = 2MB\frac{1}{18}(2\mu_\pi^4g_{\mu\nu}g_{\rho\sigma} + \mu_\gamma^4g_{\mu\nu}g_{\rho\sigma}), \quad (3.20a)$$

$$\langle B | \tilde{b}_b iD^+_{\mu}iD^+_{\rho}iD^+_{\sigma}i\sigma_{\alpha\beta}b_v | B \rangle = 2MB\frac{\mu_\gamma^2}{9}\left(\mu_\pi^2(g_{\mu[\alpha}g_{\beta]\nu]g_{\rho\sigma} - g_{\mu[\rho}g_{\nu]\sigma]) - 2\mu_\rho^2\left[g_{\mu[\alpha}g_{\beta]\nu]g_{\rho]}^\dagger g_{\rho\sigma}\right]_{\mu\nu}\right). \quad (3.20b)$$

Using the definitions (3.17) the parameters $m_i$ can then easily be calculated. The results are shown in tab. 1 (for the numerical values in this table see the discussion in sec. 3.5).

### 3.4 Order $1/m_b^5$

At order $1/m_b^5$ the number of independent matrix elements proliferates even more, resulting in seven spin-singlet and eleven spin-triplet operators. We chose to define these eighteen parameters according to

$$2MBr_1 = \langle B | \tilde{b}_b iD^+_{\mu} (iv \cdot D)^3 iD^+_{\nu} b_v | B \rangle \quad (3.21a)$$

$$2MBr_2 = \langle B | \tilde{b}_b iD^+_{\mu} (iv \cdot D) iD^+_{\nu} iD^+_{\rho} b_v | B \rangle \quad (3.21b)$$

$$2MBr_3 = \langle B | \tilde{b}_b iD^+_{\mu} (iv \cdot D) iD^+_{\nu} iD^+_{\rho} iD^+_{\sigma} b_v | B \rangle \quad (3.21c)$$

$$2MBr_4 = \langle B | \tilde{b}_b iD^+_{\mu} (iv \cdot D) iD^+_{\nu} iD^+_{\rho} iD^+_{\sigma} iD^+_{\tau} b_v | B \rangle \quad (3.21d)$$

$$2MBr_5 = \langle B | \tilde{b}_b iD^+_{\mu} iD^+_{\nu} (iv \cdot D) iD^+_{\rho} iD^+_{\sigma} b_v | B \rangle \quad (3.21e)$$

$$2MBr_6 = \langle B | \tilde{b}_b iD^+_{\mu} iD^+_{\nu} (iv \cdot D) iD^+_{\rho} iD^+_{\sigma} iD^+_{\tau} b_v | B \rangle \quad (3.21f)$$
As for the $m_1$ parameters these different matrix elements have to be handled in slightly different ways to obtain a result in LLSA. For details of the calculations see appendices A.2 and A.3.

- **r_{1,8}:** In complete analogy to $\rho_{D,LS}$ and $m_{2,5}$ respectively, these two parameters can be obtained from

  \[
  \langle B \vert \bar{b}_i D^\perp_\mu (i\nu D) i D^\perp_\nu b_v \vert B \rangle = 2M_B \left( \varepsilon_{1/2}^3 |R|^2 + \frac{2}{3} \varepsilon_{3/2}^3 |R'|^2 \right) g_{\mu\nu}^{(3.22a)}
  \]

  \[
  \langle B \vert \bar{b}_i D^\perp_\mu (i\nu D) i \sigma_{\alpha\beta} b_v \vert B \rangle = 2M_B \left( -2\varepsilon_{1/2}^3 |R|^2 + \frac{2}{3} \varepsilon_{3/2}^3 |R'|^2 \right) g_{\mu[\alpha} g_{\beta]v}^{(3.22b)}
  \]

- **r_{2-4,9-14}:** We perform the insertion of eq. (2.11) between the second and third space derivative. By rotational symmetry only the states with an even $\ell$ can contribute. Thus in LLSA we only keep the contributions from the two $\ell = 0$ states, $0^-$ and $1^-$, which only contain $\mu_\pi$, $\mu_g$, $\rho_D$ and $\rho_{LS}$. The resulting uncontracted matrix elements are

  \[
  \langle B \vert \bar{b}_i D^\perp_\mu (i\nu \cdot D) i D^\perp_\nu i D^\perp_\sigma b_v \vert B \rangle = 2M_B \frac{1}{18} \left( -2\mu_\pi^2 \rho_D^3 g_{\mu\nu}^{(3.23a)} + \mu_g^2 \rho_{LS}^3 g_{[\mu[\rho] g_{\sigma]\nu]}^{(3.23a)} \right)
  \]

  \[
  \langle B \vert \bar{b}_i D^\perp_\mu (i\nu \cdot D) i D^\perp_\rho i D^\perp_\sigma i \sigma_{\alpha\beta} b_v \vert B \rangle = 2M_B \frac{1}{9} \left( \mu_\pi^2 \rho_{LS}^3 g_{[\mu[\rho[\sigma] g_{\beta]\nu]}^{(3.23b)} + \mu_g^2 \rho_D^3 g_{\mu[\rho[\sigma] g_{\beta]\nu]}^{(3.23b)}
  \right.

  - \left. 2\mu_g^2 \rho_{LS} \left[ g_{[\mu[\rho[\sigma] g_{\beta]\nu]}^{(3.23b)} \right]_{\rho\sigma} \right) \right) \right)
  \]

  Note the analogy of these with eq. (3.20).

- **r_{5-7,15-18}:** Naively, one would like to make the insertion in the middle analogous to eq. (3.14), corresponding to taking the $1/\omega$ piece in eq. (2.11). But, as mentioned above,
Table 2: Expressions and values for the dimension eight matrix elements \( r_i \). The numerical values are given in units of \( 10^{-2} \) GeV\(^5\). The parameters \( \rho_D \) and \( \rho_{LS} \) are given in (3.16).

| \( r_i \) | \[
\frac{2\epsilon_3^2}{3}(\rho_D^3 + \rho_{LS}^3) + \frac{\epsilon_3^2}{3}(2\rho_D^3 - \rho_{LS}^3)
\] | \( r_i \) | \[
-\mu_\pi^2\rho_D^3
\] | \( r_i \) | \[
-\mu_\pi^2\rho_D^3
\] |
|---|---|---|---|
| \( r_1 \) | 3.6 | \( r_2 \) | -7.6 |
| \( r_3 \) | -3.4 | \( r_4 \) | -1.7 |
| \( r_5 \) | < 0.1 | \( r_6 \) | 0.5 |
| \( r_7 \) | 0.3 | \( r_8 \) | -3.2 |
| \( r_9 \) | 6.4 | \( r_{10} \) | -6.2 |
| \( r_{11} \) | -5.1 | \( r_{12} \) | -0.9 |
| \( r_{13} \) | 0 | \( r_{14} \) | 0.9 |
| \( r_{15} \) | < 0.1 | \( r_{16} \) | 0.3 |
| \( r_{17} \) | 0.9 | \( r_{18} \) | 0.4 |

in LLGSA only the ground states contribute to these matrix elements and these have to be estimated in some approximation. Hence we would obtain zero for all these matrix elements in this approximation.

However, the derivation of eq. (2.11) is easy to generalize to the case of multiple insertions of complete states, which will allow us to get an estimate of \( \rho_D \) and \( \rho_{LS} \) appearing in (3.16). When both insertions states are the \( |1^-, 1\rangle \) and \( |1^-, 1\rangle \) state, then the light degrees of freedom are given by eq. (3.19) and no new parameters appear. When (at least) one of them is \( |1^-, 0\rangle \), new parameters appear and we will not consider these at our order in LLGSA. This yields the matrix element estimates

\[
\langle B | b_v iD^\perp_\mu iD^\perp_\nu (iv \cdot D) iD^\perp_\rho iD^\perp_\sigma b_v | B \rangle = \frac{2}{3} M_B | R |^2 \rho_D^3 g_{\mu\rho} g_{\nu\sigma} + \rho_{LS}^3 g_{\mu\nu} g_{\rho\sigma}, \tag{3.24a}
\]

\[
\langle B | b_v iD^\perp_\mu iD^\perp_\nu (iv \cdot D) iD^\perp_\rho iD^\perp_\sigma i\sigma_{\alpha\beta} b_v | B \rangle = -\frac{4}{3} M_B | R |^2 \rho_D^3 g_{\mu\nu} g_{\rho\sigma} + \rho_{LS}^3 g_{\mu\nu} g_{\rho\sigma} \tag{3.24b}
\]

As we shall see below, the numerical values of these matrix elements, that vanish for a single insertion and thus require a double insertion, are indeed considerably smaller than the ones for the other matrix elements, as expected.

Using eq. (3.22)-(3.24) we get the LLGSA estimates for the coefficients \( r_i \), which are given in table 2 (for numerics see again sec. 3.5).

### 3.5 Results and numerical estimates

In the previous subsections we have expressed all higher order matrix elements up to order \( 1/m_b^6 \) in terms of the four known parameters \( \mu_\pi, \mu_G, \epsilon_{1/2} \) and \( \epsilon_{3/2} \).
some of the formulae in terms of \( \rho_D \) and \( \rho_{LS} \) for compacter notation, but these can be replaced using eq. (3.16)). One thing to notice is that some of our estimates are different from the results obtained in [8]. That is not worrisome, as those authors used a slightly different method in computing the matrix elements\(^2\).

To get a feeling for the size of these parameters, we use the values [6, 13–16]

\[
\begin{align*}
\mu_\pi^2 &= 0.414 \text{ GeV}^2, \\
\mu_g^2 &= 0.340 \text{ GeV}^2, \\
\epsilon_{1/2} &= 0.390 \text{ GeV}, \\
\epsilon_{3/2} &= 0.476 \text{ GeV}
\end{align*}
\]  

(3.25)
to obtain numerical values for our estimates. For a comment on the errors of these input parameters see below at the end of this section.

First, we see that the numerical values for the Darwin and spin orbit coupling are given

given by

\[
\begin{align*}
\rho_3^D &= 0.21 \text{ GeV}^3 \\
\rho_3^{LS} &= -0.17 \text{ GeV}^3.
\end{align*}
\]  

(3.26)

Comparing these to the values fitted to experiment [6], given by \( \rho_3^D = (0.154 \pm 0.045) \text{ GeV}^3 \) and \( \rho_3^{LS} = (-0.147 \pm 0.098) \text{ GeV}^3 \), we see that our estimates are in very good agreement and yield consistent results. The numerical values for the higher order parameters \( m_i \) and \( r_i \) with these input parameters are shown in tables 1 and 2. As mentioned earlier, the parameters \( r_5–7 \) and \( r_{15–18} \), for which we needed two insertions to get an estimate, are smaller than the other parameters by about an order of magnitude (except for \( r_{17} \) which is only a little smaller).

Of course these are not precise numbers due to the truncation of the sum in eq. (2.11), but should be only considered as a ballpark estimate. We will give an approximation and discussion of the systematical error from the truncation of the sum in the next section. As we will see, this error is comparatively large, which is also the reason why we have refrained from giving the errors of our input parameters in eq. (3.25) and showing their impact on the numerical values of the matrix element estimates.

However, despite the sizable errors, the use of our estimate is twofold. First of all, we expect to have the correct signs and also the relative sizes of the matrix elements. Secondly, we also expect to have the proper correlations between the matrix elements. As one example for this, one can observe that we obtained \( m_3 = 2m_9 = -m_6 \). While the precise factors will very likely not stand up to scrutiny, their order of magnitude and sign should.

4 Estimate of the uncertainties

Although our approach is quite systematic, an estimate of the uncertainties is not easy. The quality of the uncertainties is very different: While the uncertainties in \( \mu_\pi^2, \mu_g^2, \epsilon_{1/2} \) and \( \epsilon_{3/2} \) and the ones induced by QCD corrections are almost trivial to discuss, the uncertainty induced by the truncation of the sum over intermediate states is very difficult to estimate. Clearly a reliable estimate of this uncertainty would require a nonperturbative solution of QCD. To this end, we have to rely on simple estimates based on toy models. While this will not give us a very robust estimate of the error, we will at least get some insight, how far we can trust the result from the truncated series.

\(^2\)There are some signs that differ from our results which could spoil the predictiveness of our approach. However, we have found an error in eq. (A.17) of [8] which leads to the change of these signs, so we believe that the results in this paper are correct.
The left-hand side of the master formula (2.10) can be written as a dispersion integral over a spectral function \( \rho(\omega) \)

\[
\Delta(\omega) = \int \frac{d\omega'}{2\pi} \frac{\rho(\omega')}{\omega - \omega'} \quad (4.1)
\]

with

\[
\rho(\omega) = \sum_n \left( 2\pi \right)^3 \delta^3(p_n^\perp) \delta(\omega - \epsilon_n) \langle B(p_B) | \bar{b}_v P_1 Q_v | n \rangle \langle n | \bar{Q}_v P_2 \Gamma b_v | B(p_B) \rangle \quad (4.2)
\]

In order to discuss the effect of truncation, we strongly simplify the spectral function and use as a toy model an ansatz which has been discussed by Shifman to investigate duality violations \([10, 17, 18]\). In this toy model, the spectral function consists of infinitely many, equally spaced narrow resonances, hence \( \epsilon_n = n\Lambda \) and thus

\[
\tilde{\rho}(\omega) = \sum_n g(n) \delta(\omega - n\Lambda) \quad (4.3)
\]

Inserting this into the dispersion relation, we get for this toy model

\[
\tilde{\Delta}(\omega) = \frac{1}{2\pi} \sum_n g(n) \frac{1}{\omega - n\Lambda} \quad (4.4)
\]

The factor \( g(n) \) takes into account the decrease of the matrix elements with increasing excitation quantum number \( n \). In order to estimate this, we make use of a non-relativistic model for the heavy mesons with an hard-wall spherical box potential. The solution of the Schrödinger equation for the radial wave functions are the spherical Bessel functions, and the matrix elements that appear in eq. (3.6) for example obey

\[
\langle \ell = 0 | \vec{V} | \ell = 1, m_z, n \rangle \propto \frac{1}{n} \tilde{e}_{m_z} + \ldots ,
\]

where \( \tilde{e}_{m_z} \) denotes the polarization vectors for \( m_z = \pm 1, 0 \). We take this as a general feature which we assume to be true also for the real QCD case: The radially excited states have in their radial wave function \( n \) nodes, where \( n \) is the quantum number for the radial excitations. Each node involves a sign change of the radial wave function, which results in an increasingly smaller overlap of the radially excited states with the ground state; in the non-relativistic model this scales as \( 1/n \).

Assuming this, we set

\[
g(n) = g_0 \frac{1}{n^2}
\]

in which case the summation in (4.4) can be performed, and yields

\[
\tilde{\Delta}(\omega) = \frac{g_0}{2\pi\Lambda} \frac{1}{x^{3/2}} \left[ \gamma + \psi(1 - x) + \frac{\pi^2}{6} x \right]
\]

where \( x = \omega/\Lambda \) and \( \psi(z) \) is the derivative of the logarithm of Euler’s Gamma function. As discussed above, we perform an expansion for large (negative) \( \omega \), and the asymptotic form of \( \tilde{\Delta}(\omega) \) is given by

\[
\tilde{\Delta}(\omega) \rightarrow \frac{g_0}{2\pi\Lambda} \left[ \frac{\pi^2}{6} \right] \left( \frac{1}{x} \right) \quad \text{as} \quad x \rightarrow -\infty
\]
This result has to be compared to the one obtained from the truncation of the series after the first term. Including only the first term in our toy model, we get

\[ \tilde{\Delta}^{(1)}(\omega) = \frac{g_0}{2\pi \Lambda x - 1} \rightarrow \frac{g_0}{2\pi \Lambda} \left( \frac{1}{x} \right) \quad \text{as} \quad x \to -\infty \]  

(4.9)

Comparing eq. (4.8) with eq. (4.9), we can estimate the relative uncertainty from omitting the higher order terms in the series

\[ \left[ \frac{\pi^2}{6} \right] - 1 \sim 64\% \]  

(4.10)

Obviously this result strongly depends on the function \( g(n) \), and the non-relativistic reasoning may fail. One can go through the same steps and assume ad hoc a different power dependence for \( g(n) \), like \( g(n) = g_0 (1/n^3) \) in which case (4.10) becomes \( \zeta(3) - 1 \sim 20\% \). With higher powers of \( 1/n \) the uncertainty in the toy model truncation becomes smaller, supporting the intuitive picture that the overlap of the ground state with wave function with an increasing number of nodes becomes more and more negligible and thus leads to better results for the truncation.

The toy model calculations and also comparisons to simple calculations for different non-relativistic quantum systems all indicate that the uncertainty due to the truncation of the series at lowest order is roughly of the order of 50%. On the first glance this might sound terrible, as it will not allow for a precise prediction unless higher excited states are included (e.g. the \( \ell = 2 \) states (3.1g) and (3.1h)). However, these higher states can be included systematically with more parameters and will then result in more precise estimates.

5 Summary

We have systematically derived equation (2.11) to express matrix elements in HQET by a sum of products of lower dimensional matrix elements, in analogy of an insertion of a complete set of states in non-relativistic quantum mechanics. As given, eq. (2.11) is the tree-level term of an OPE, but it can systematically be generalized to include higher order QCD corrections.

Furthermore, we have explicitly shown how this equation can be used to derive estimates for \( B \) meson matrix elements up to order \( 1/m_b^3 \). In our ansatz we have only kept the lowest contributing states and express all the matrix elements in terms of just four parameters, the kinetic energy \( \mu_2^2 \), the chromagnetic moment \( \mu_g^2 \) and the excitation energies of the lowest contributing states \( \epsilon_1/2 \) and \( \epsilon_3/2 \).

To estimate the error of our estimates that is due to the truncation of the series, we made use of toy models for the spectral function and of comparisons to non-relativistic quantum mechanics. This leads to an estimate of the error of \( \sim 50\% \), when only including the lowest lying states in the sum. Of course this error will be dramatically reduced when higher excitations are included. Furthermore, even with a relatively large error our estimates yield correlations amongst different matrix elements and allow for order of magnitude estimates and the determination of their signs.

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A  Some details of the calculation

In this appendix we collect some of the details for the calculation of the matrix elements. In particular we will give the form of the light degrees of freedom and the non-vanishing matrix elements that we used to get the results of sec. 3. We will give the results for all four doublets appearing in eq. (3.1) even though we are only interested in LLSA, so there is a proliferation of parameters in this appendix, which do not appear in the main body of this paper.

A.1  Dimension 4 matrix elements

The matrix elements at dimension four are of the form \( \langle B \mid \tilde{b}_v i D^+ \Gamma Q_v \mid n \rangle \). The light degrees of freedom for the four \( j = 1/2 \) and \( j = 3/2 \) doublets are given as

\[
\mathcal{E}^\mu = R \gamma^\mu, \quad \mathcal{C}^\mu = \mathcal{E}^\mu (R \to \bar{R}), \quad \mathcal{F}^{\mu\nu} = R' g^{\mu\nu}, \quad \mathcal{G}^{\mu\nu} = \mathcal{F}^{\mu\nu} (R' \to \bar{R}'). \tag{A.1a}
\]

Note the parameters \( \bar{R} \) and \( \bar{R}' \) appearing for the negative parity doublets. Calculating the the matrix elements for all states given in eq. (3.1) (including the \( \ell = 2 \) states) yields for \( \Gamma = \mathbb{I} \) only the following the non-vanishing matrix elements

\[
\langle B \mid \tilde{b}_v i D^+_{\mu} Q_v \mid 1^+, \frac{1}{2} \rangle = -2\sqrt{M_B M_E} R \epsilon_{\mu}, \tag{A.2a}
\]

\[
\langle B \mid \tilde{b}_v i D^+_{\mu} Q_v \mid 1^+, \frac{3}{2} \rangle = -2\sqrt{\frac{2}{3}} \sqrt{M_B M_E} R' \epsilon_{\mu}, \tag{A.2b}
\]

while the non-vanishing matrix elements including \( \Gamma = i \sigma_{\alpha\beta}^+ \) are

\[
\langle B \mid \tilde{b}_v i D^+_{\mu} i \sigma_{\alpha\beta}^+ Q_v \mid 1^-, \frac{1}{2} \rangle = 2i \sqrt{M_B M_C} R (\epsilon_{\alpha\beta\gamma\mu} v^\gamma - 3v_{[\alpha} \epsilon_{\beta\mu\gamma]} v^\gamma), \tag{A.3a}
\]

\[
\langle B \mid \tilde{b}_v i D^+_{\mu} i \sigma_{\alpha\beta}^+ Q_v \mid 0^+, \frac{1}{2} \rangle = 2i \sqrt{M_B M_E} R \epsilon_{\alpha\beta\gamma} v^\gamma, \tag{A.3b}
\]

\[
\langle B \mid \tilde{b}_v i D^+_{\mu} i \sigma_{\alpha\beta}^+ Q_v \mid 1^+, \frac{1}{2} \rangle = -4\sqrt{M_B M_E} R \eta_{[\alpha} g_{\beta\mu]}^+, \tag{A.3c}
\]

\[
\langle B \mid \tilde{b}_v i D^+_{\mu} i \sigma_{\alpha\beta}^+ Q_v \mid 1^+, \frac{3}{2} \rangle = 2\sqrt{\frac{2}{3}} \sqrt{M_B M_E} R' \eta_{[\alpha} g_{\beta\mu]}^+, \tag{A.3d}
\]

\[
\langle B \mid \tilde{b}_v i D^+_{\mu} i \sigma_{\alpha\beta}^+ Q_v \mid 2^+, \frac{3}{2} \rangle = i \sqrt{2} \sqrt{M_B M_E} R' \epsilon_{\alpha\beta\delta\gamma} \eta^\gamma v^\delta, \tag{A.3e}
\]

\[
\langle B \mid \tilde{b}_v i D^+_{\mu} i \sigma_{\alpha\beta}^+ Q_v \mid 1^-, \frac{3}{2} \rangle = -i \sqrt{2} \sqrt{M_B M_G} R' (\epsilon_{\alpha\beta\gamma\mu} v^\gamma - 3v_{[\alpha} \epsilon_{\beta\mu\gamma]} v^\gamma), \tag{A.3f}
\]

where we have denoted the polarization vectors and tensors by \( \eta_\mu \) and \( \eta_{\mu\nu} \), respectively, to avoid confusion with the Levi-Civita-tensor \( \epsilon_{\alpha\beta\mu\nu} \). Note that, because there are only two non-vanishing matrix elements for the \( \Gamma = \mathbb{I} \) case, only these two states will contribute in the expansion of the matrix elements at order \( 1/m_0^2 \) as stated in eq. (3.8). Using the polarization sum rules from eq. (3.2), then yields eq. (3.9). Furthermore these matrix elements yield eq. (3.15), (3.18) and (3.22).

A.2  Dimension 5 matrix elements

For the dimension five matrix elements we parametrize the light degrees of freedom by

\[
\mathcal{C}_{\mu\nu} = \frac{1}{3} \mu_\mu^\mu \gamma_{\nu}^\nu - \frac{1}{6} \mu_\mu^\mu \gamma_{\nu}^\nu, \quad \mathcal{E}_{\mu\nu} = \mathcal{C}_{\mu\nu} (\mu_{\pi,g} \to \bar{\mu}_{\pi,g}), \tag{A.4a}
\]

\[
\mathcal{G}_{\mu\nu\rho} = \lambda_S g_{\rho\mu}^\rho \gamma_{\nu}^\nu + \lambda_A g_{\rho\mu}^\rho \gamma_{\nu}^\nu, \quad \mathcal{F}_{\mu\nu\rho} = \mathcal{G}_{\mu\nu\rho} (\lambda_{A,S} \to \bar{\lambda}_{A,S}). \tag{A.4b}
\]
where \( \{\mu\nu\} \) denotes symmetrization in the indices \((\mu, \nu)\). Note again, that we that we have more parameters. But these will only appear in non-zero matrix elements which do not contribute in the LLSA approximation. We obtain the non-vanishing spin-singlet matrix elements

\[
\langle B | \bar{b}_i D^\mu_\mu iD^{\perp}_\mu Q_\nu | 0^{-\frac{1}{2}} \rangle = \frac{-2}{3} \sqrt{M_B M_C} \rho^{\perp}_{\mu} g^{\perp}_{\mu\nu} \quad (A.5a)
\]

\[
\langle B | \bar{b}_i D^\mu_\mu iD^{\perp}_\mu Q_\nu | 1^{-\frac{1}{2}} \rangle = \frac{1}{i} \sqrt{M_B M_C} \rho^{\perp}_{\mu} g^{\perp}_{\mu\nu} \quad (A.5b)
\]

\[
\langle B | \bar{b}_i D^\mu_\mu iD^{\perp}_\mu Q_\nu | 1^{-\frac{3}{2}} \rangle = -i \sqrt{\frac{2}{3}} \lambda_A \epsilon^{\mu\nu\alpha\beta} v_{\alpha\beta} \quad (A.5c)
\]

\[
\langle B | \bar{b}_i D^\mu_\mu iD^{\perp}_\mu Q_\nu | 2^{-\frac{3}{2}} \rangle = -\sqrt{2} \lambda_S \eta_{\mu\nu} \quad (A.5d)
\]

and the non-vanishing spin-triplet matrix elements

\[
\langle B | \bar{b}_i D^\mu_\mu iD^{\perp}_\mu i\sigma_{\alpha\beta} Q_\nu | 0^{-\frac{1}{2}} \rangle = \frac{-2}{3} \sqrt{M_B M_C} \rho^{\perp}_{\mu} g^{\perp}_{\mu\nu} \quad (A.6a)
\]

\[
\langle B | \bar{b}_i D^\mu_\mu iD^{\perp}_\mu i\sigma_{\alpha\beta} Q_\nu | 1^{-\frac{1}{2}} \rangle = \frac{2}{3} i \sqrt{M_B M_C} \quad (A.6b)
\]

\[
\times \left\{ \mu^2 [\eta_{\mu} \epsilon_{\alpha\beta\gamma\delta} - \eta_{\alpha} \epsilon_{\beta\gamma\delta} v_{\mu} + 2 g^{\perp}_{\mu\nu} \epsilon_{\alpha\beta\gamma\delta} \eta_{\gamma}] \right\} v_{\delta}
\]

\[
\langle B | \bar{b}_i D^\mu_\mu iD^{\perp}_\mu i\sigma_{\alpha\beta} Q_\nu | 1^{-\frac{3}{2}} \rangle = \frac{1}{3} i \sqrt{M_B M_E} \tilde{\rho}^{\perp}_{\mu} (\epsilon_{\mu\alpha \beta} + 2 v_{\mu\nu} \epsilon_{\alpha \beta \gamma} v_{\gamma}^T + 2 v_{\gamma} \epsilon_{\mu\nu} \epsilon_{\alpha \beta \gamma} v_{\alpha \beta \gamma} \eta_{\gamma}) \quad (A.6c)
\]

\[
\langle B | \bar{b}_i D^\mu_\mu iD^{\perp}_\mu i\sigma_{\alpha\beta} Q_\nu | 2^{-\frac{3}{2}} \rangle = \frac{1}{3} i \sqrt{M_B M_E} \{ \lambda_A (e_{\alpha \beta \gamma} v_{\mu} + 2 v_{\gamma} v_{\alpha \beta \gamma} v_{\gamma}^T + 2 v_{\gamma} \epsilon_{\mu \nu} \epsilon_{\alpha \beta \gamma} v_{\alpha \beta \gamma} \eta_{\gamma}) \} v_{\delta}
\]

\[
\langle B | \bar{b}_i D^\mu_\mu iD^{\perp}_\mu i\sigma_{\alpha\beta} Q_\nu | 2^{-\frac{3}{2}} \rangle = i \sqrt{\frac{2}{3}} \sqrt{M_B M_G} \{ -\lambda_S (e_{\alpha \beta \gamma} v_{\mu} + 2 v_{\gamma} v_{\alpha \beta \gamma} v_{\gamma}^T + 2 v_{\gamma} \epsilon_{\mu \nu} \epsilon_{\alpha \beta \gamma} v_{\alpha \beta \gamma} \eta_{\gamma}) \} v_{\delta}
\]

where \([\ldots]_{\alpha\beta}\) denote antisymmetrization like \([\alpha\beta]\), i.e. \([T_{\alpha\beta}] = T_{\alpha\beta}\). The matrix elements for the \( j = 3/2 \) states do not contribute in LLSA and the remaining matrix elements then are used to obtain eq. (3.20) and eq. (3.23).

### A.3 Dimension 6 matrix elements containing \((iv \cdot D)\)

The brown muck is parametrized by

\[
\mathcal{C}_{\mu\nu} = -\frac{1}{3} \rho_D \rho^{\perp}_{\mu} - \frac{1}{6} \rho^{\perp}_{\mu} \rho^{\perp}_{\mu} \quad (A.7a)
\]

\[
\mathcal{G}_{\mu\rho\nu} = \kappa_S g_{\rho[D\mu} \gamma_{\nu]} + \kappa_A g_{\rho[D\mu} \gamma_{\nu]} \quad (A.7b)
\]
The non-vanishing matrix elements are completely analogous to the five dimensional ones given in eq. (A.5) and (A.6),

\[
\langle B | \bar{b}_v i D^\perp_\mu (iv \cdot D) i D^\perp_\nu Q_v | 0^-, \frac{1}{2} \rangle = \frac{2}{3} \sqrt{M_B M_C} \rho^2 \beta g^\perp_{\mu \nu} \\
\langle B | \bar{b}_v i D^\perp_\mu (iv \cdot D) i D^\perp_\nu Q_v | 1^-, \frac{1}{2} \rangle = \frac{1}{3} i \sqrt{M_B M_C} \rho^3 \beta \epsilon^{\mu \nu \alpha \beta} v_\alpha \epsilon_\beta
\]

(A.8a)

\[
\langle B | \bar{b}_v (i D^\perp_\mu) (iv \cdot D) (i D^\perp_\nu) Q_v | 1^-, \frac{3}{2} \rangle = -i \sqrt{\frac{2}{3}} \kappa_A \epsilon^{\mu \nu \alpha \beta} v_\alpha \epsilon_\beta
\]

(A.8b)

\[
\langle B | \bar{b}_v (i D^\perp_\mu) (iv \cdot D) (i D^\perp_\nu) Q_v | 2^-, \frac{3}{2} \rangle = -\sqrt{2} \kappa_S \epsilon^{\mu \nu \sigma} v_\nu
\]

(A.8c)

and

\[
\langle B | \bar{b}_v i D^\perp_\mu (iv \cdot D) i D^\perp_\nu i \sigma^\perp_{\alpha \beta} Q_v | 0^-, \frac{1}{2} \rangle = -\frac{2}{3} \sqrt{M_B M_C} \rho^2 \beta g^\perp_{\mu \nu} \\
\langle B | \bar{b}_v i D^\perp_\mu (iv \cdot D) i D^\perp_\nu i \sigma^\perp_{\alpha \beta} Q_v | 1^-, \frac{1}{2} \rangle = \frac{2}{3} i \sqrt{M_B M_C} \rho^3 \beta \epsilon^{\mu \nu \alpha \beta} v_\alpha \epsilon_\beta \\
\times \left\{ \kappa^3 \epsilon^{\alpha \beta \delta \epsilon} - \epsilon^{[\alpha \beta} [\epsilon^{\delta \epsilon] \mu \nu - 2 g^\perp_{[\alpha} \epsilon^{\delta \epsilon] [\mu \nu]} \right\} v^\delta \\
\langle B | \bar{b}_v i D^\perp_\mu (iv \cdot D) i D^\perp_\nu i \sigma^\perp_{\alpha \beta} Q_v | 0^+, \frac{1}{2} \rangle = -\frac{1}{3} i \sqrt{M_B M_C} \rho^3 \beta \epsilon^{\mu \nu \alpha \beta} v_\alpha \epsilon_\beta + 2 v_{[\alpha} \epsilon^{\delta \epsilon] [\mu \nu]} \\
\langle B | \bar{b}_v i D^\perp_\mu (iv \cdot D) i D^\perp_\nu i \sigma^\perp_{\alpha \beta} Q_v | 1^+, \frac{3}{2} \rangle = -\frac{1}{3} i \sqrt{M_B M_C} \rho^3 \beta \epsilon^{\mu \nu \alpha \beta} v_\alpha \epsilon_\beta + 2 v_{[\alpha} \epsilon^{\delta \epsilon] [\mu \nu]} \\
\langle B | \bar{b}_v i D^\perp_\mu (iv \cdot D) i D^\perp_\nu i \sigma^\perp_{\alpha \beta} Q_v | 2^+, \frac{3}{2} \rangle = -\frac{1}{3} i \sqrt{M_B M_C} \rho^3 \beta \epsilon^{\mu \nu \alpha \beta} v_\alpha \epsilon_\beta + 2 v_{[\alpha} \epsilon^{\delta \epsilon] [\mu \nu]} \\
\times \left\{ \kappa^3 \epsilon^{\alpha \beta \delta \epsilon} - \epsilon^{[\alpha \beta} [\epsilon^{\delta \epsilon] \mu \nu - 2 g^\perp_{[\alpha} \epsilon^{\delta \epsilon] [\mu \nu]} \right\} v^\delta \\
\langle B | \bar{b}_v i D^\perp_\mu (iv \cdot D) i D^\perp_\nu i \sigma^\perp_{\alpha \beta} Q_v | 0^-, \frac{3}{2} \rangle = \sqrt{2} \kappa^3 \epsilon^{\mu \nu \alpha \beta} v_\alpha \epsilon_\beta + \sqrt{2} \kappa^3 \epsilon^{\mu \nu \alpha \beta} v_\alpha \epsilon_\beta \\
\times \left\{ \kappa^3 \epsilon^{\alpha \beta \delta \epsilon} - \epsilon^{[\alpha \beta} [\epsilon^{\delta \epsilon] \mu \nu - 2 g^\perp_{[\alpha} \epsilon^{\delta \epsilon] [\mu \nu]} \right\} v^\delta
\]

(A.9a)

(A.9b)

(A.9c)

(A.9d)

(A.9e)

(A.9f)

These matrix elements then contribute to eq. (3.23) and (3.24), again ignoring the contributions coming from the \( j = 3/2 \) states in the LLSA approximation.

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