The Physical Mechanisms Behind the Change in the Precipitation Recycling Rate in the Mid- and Lower Reaches of the Yangtze River

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The precipitation recycling rate (PRR) is an important index when trying to understand the physical mechanisms behind the effects of different sources of water vapor on regional precipitation. We studied the change in the PRR in the mid- and lower reaches of the Yangtze River (MLRYR), the correlation between the PRR and the external source of water vapor and local evaporation, and the possible reasons for the interannual variation of the PRR. Our study was based on an evaluation model of the PRR and used precipitation data from meteorological stations in China and NCEP/NCAR reanalysis datasets. Our results show that the mean PRR in the MLRYR for the time period 1961–2017 was largest in autumn (about 0.3) and smallest in summer (about 0.23), with a clear upward trend (passed the 95% significance F-test), except in summer. The highest trend coefficient of the PRR was in autumn (0.38), indicating that the contribution of an external source of water vapor to local precipitation was reduced. The PRR of the MLRYR was strongly correlated with the input of water vapor through the western and southern boundaries. Water vapor was mainly sourced from the Northwest Pacific Ocean, the South China Sea and the Bay of Bengal. The anomalous Northwest Pacific cyclone induced by the Pacific sea surface temperature restrained the input of water vapor into the MLRYR from the Western Pacific, the South China Sea and the Bay of Bengal, contributing to the upward trend in the PRR. We suggest that increases in the sea surface temperature in the Pacific Ocean, South China Sea and especially the Indian Ocean will have an important impact on precipitation in East Asia.

Keywords: the middle and lower reaches of the Yangtze River, precipitation recycling rate, water vapor flux, regression analysis, influence mechanism

INTRODUCTION

The mid- and lower reaches of the Yangtze River (MLRYR) are located in the East Asian monsoon region and have a higher annual precipitation than most other regions of China. The global climate has significantly warmed in recent decades [1]. Many studies have shown that the total rainfall in the MLRYR has not changed significantly, but the number of extreme precipitation events has
significantly increased [2–5], which poses new challenges in the prediction of precipitation [6]. The source of water vapor for regional precipitation depends on the amount of water vapor produced by local evaporation and the amount of water vapor transported into the region by horizontal movement through the atmosphere [7–12]. Many researchers have used the precipitation recycling rate (PRR) to quantitatively evaluate the contribution of these two sources of water vapor to regional precipitation. The PRR is defined as the proportion of precipitation formed by the local evaporation of water vapor in the total precipitation [7, 13–17]. However, water vapor that forms precipitation through local evaporation cannot be observed, so numerous precipitation recycling models have been developed to assess the regional PRR [7, 8, 13–19].

Budyko [13, 14] developed a one-dimensional linear model to estimate the recycling of precipitation in large-scale regions and analyzed the PRR in the former Soviet Union, showing that the annual average PRR was about 10%. Brubaker et al. [7] extended the Budyko model to the two-dimensional plane and evaluated the PRR in some parts of the global continent. The highest PRR was seen in Africa (up to 48% in August) and the lowest in Eurasia (almost zero in February) and the PRR in dry areas was higher than that in humid areas. Guo et al. [20] adopted this two-dimensional model to study the trend of PRR over the Qinghai–Tibetan Plateau. They showed that, with increasing temperatures, the PRR in the arid region of the western Qinghai–Tibetan Plateau showed a downward trend (~2.5%/10a), whereas in other regions presented an upward trend. The PRR in the northeastern Qinghai–Tibetan Plateau showed the strongest growth trend of 3.1%/10a.

Burde et al. expanded the Budyko model into a two-dimensional model [15] and refined and improved it [21], showing that the average annual PRR in the Amazon basin was 41% [22]. Eltahir et al. [16, 17] fundamentally improved the Budyko model by eliminating the influence of the non-uniformity of the regional spatial distribution and studied the precipitation recycling process in the Amazon region. Their results showed that the average annual PRR in the Amazon basin was about 35%.

Hai et al. [23] used the Eltahir model to study the characteristics of the hydrological cycle in the Tarim river basin. They showed that about 14% of the water vapor for the annual precipitation came from evaporation in the Tarim river basin and 86% from the surrounding area. Schär et al. [19] simplified the Brubaker model based on the whole-layer moisture balance model. Li et al. [24] then used this model to study the PRR in arid and semi-arid regions in the northern hemisphere and showed that the PRR in arid regions has a clear seasonal variation (from <1 to >25%) and that the PRR in arid regions showed a significant negative correlation with precipitation.

Dominguez et al. [8] considered the influence of the water vapor content of the atmosphere on the recirculation of precipitation and established a dynamic recirculation model to study the spatiotemporal distribution of the summer PRR in the United States. They concluded that the average summer PRR in the United States was between 11 and 28% and that the PRR in the southeastern United States was the highest in August, reaching 36%.

Amey Pathak et al. [25] used the Dominguez dynamic recirculation model to study the recirculation of precipitation in the Indian subcontinent during the monsoon period and showed that the PRR in India was high in the northeast and low in the southwest. The PRR in northern India was highest in August, with some areas >40%. Hua et al. [26] used this model to conduct a systematic study on precipitation recycling in China and concluded that the PRR in China was low in the southeast and high in the west (range 8–28%). Van der Ent et al. [19] proposed a complex numerical scheme to evaluate the PRR of the global continent. They showed that the PRR of the whole continent was about 40% and the regional PRR increased with an increase in the regional spatial scale.

Previous studies have shown that the PRR is helpful in understanding the causes of changes in precipitation in the MLRYR. It is therefore important to discuss the variation of the PRR in the MLRYR. Yi et al. [27] calculated and analyzed different sources of water vapor for precipitation in the MLRYR based on the Eltahir and Brubaker models and found that the average annual PRR in the Yangtze river basin was about 10% and the PRR was highest (about 19%) in late summer and early autumn and lowest (about 3%) in early spring.

Fu Xiang et al. [28] used the Eltahir model to study precipitation recycling in the MLRYR in the context of global climate change and found that, on average, about 30% of the precipitation in the MLRYR in the summer rainstorm period came from local evaporation. Kang et al. [29] used the Eltahir model to evaluate the PRR in central and southern China. They showed that 20% of the precipitation in the upper reaches of the Yangtze River was from the evaporation of water vapor in central and southern China, as was 40% of the precipitation in the MLRYR. The PRR was highest (about 40%) in August, September and October and was <25% in May, June and July. The PRR has shown an increasing trend over the last 20 years.

These studies on the PRR of the MLRYR reflect the contribution of the circulation of internal and external sources of atmospheric water, but the models are calculated based on the average value for the whole region and the results are approximate. Previous research on the PRR of the MLRYR has mostly been limited to annual changes and the interannual trend analyses need to be supplemented. The mechanism for the changes in the PRR of the MLRYR requires further study.

We improved the evaluation model for the PRR based on gridded data and studied the characteristics of the annual and trend changes of the PRR in the MLRYR. We then analyzed the mechanism for the variation in the PRR in the MLRYR from the perspective of the sea surface temperature (SST) and atmospheric circulation.

Datasets and Methods

We used the specific humidity (q), wind field (V), surface pressure (p_{surface}) and other daily data from the National Centers for Environmental Prediction/National Center for Atmospheric Research NCEP/NCAR (2.5° × 2.5°) reanalysis dataset [30] to calculate the water vapor flux in the whole layer Q:
Based on the average value for the whole region, the settlement evaporation in the subregion $\Omega$ is a part of the total evaporation $\Omega_e = \frac{1}{g} \int_{\text{surface}}^{300 \text{hPa}} q \nu dp$. (1)

The evaporation data were calculated using the method proposed by Su et al. [31], who integrated multiple datasets, including the NCEP-R1, NCEP-R2, MERRA (Modern-Era Retrospective analysis for Research and Applications), ERA-Interim and JRA-55 (Japanese 55-year Reanalysis) datasets. Su et al. [31] showed that evaporation data calculated by this method are more reliable because it avoids dependence on a single dataset.

The precipitation data were daily data from meteorological stations in China between 1961 and 2017, which were interpolated onto a (2.5° × 2.5°) grid for subsequent calculations. The Western Pacific subtropical high index was provided by the National Climate Center, China Meteorological Administration. The SST was from the monthly mean COBE-SST data provided by the National Oceanic and Atmospheric Administration (NOAA). The SST was from the JRA-55 (Japanese 55-year Reanalysis) datasets. Su et al. [31], who integrated multiple datasets, including the National Climate Center, China dataset.

We based the calculation of the PRR on the evaluation model proposed by Eltahir [16, 17]. The water vapor provided by region $\Omega$ forms the proportion of precipitation in subregion $\Delta A$—that is, the contribution rate of region $\Omega$ to precipitation in the subregion is $\rho = (I_\Omega + e)/(I + e)$, where $I$ is the total amount of water vapor flowing into subregion $\Delta A$ through the advection term, $I_\Omega$ is that part of $I$ sourced from the parent region $\Omega$ and $e$ is the evaporation in the subregion. Because this model is calculated based on the average value for the whole region, the settlement result is approximate. Based on gridded data within the region, we improved this calculation as follows:

$$\rho_\Omega(x, y) = \frac{I(x, y) + e(x, y)}{I(x, y) + e(x, y)}$$

where $I(x, y)$ is the water vapor input to the grid point $(x, y)$, $I(\Omega)$ is the total water vapor input to region $\Omega$, region $\Omega$ is regarded as a whole and the total water vapor input through each boundary represents the water vapor input into the whole region, $e(x, y)$ is the water vapor evaporated from grid point $(x, y)$ and $e(\Omega)$ is the total water vapor evaporated from area $\Omega$. $I_\Omega$ is the water vapor input into the study area from outside through the four boundaries. It can be calculated from the vertically integrated water vapor flux, which can be expressed as Eq. 1. It is worth noting that only the water vapor flowing into the region needs to be calculated as $I_\Omega$.

The PRR $r_\Omega(\Delta t)$ in the region within time $\Delta t$ is then:

$$r_\Omega(\Delta t) = \frac{\sum_{t=t_\begin{small}begin}^{t=\text{end}} \sum_{x,y} [P(x, y, t) \cdot \rho_\Omega(x, y, t)]}{\sum_{t=t_\begin{small}begin}^{t=\text{end}} \sum_{x,y} [P(x, y, t)]}$$

(3)

In this equation, $t_{\text{end}} = t_{\text{begin}} + \Delta t$ and $P(x, y, t)$ is the precipitation of grid point $(x, y)$. As long as the improved $\rho_\Omega(x, y, t)$ is substituted into Eq. 3, the PRR can be calculated. We also compared several other major PRR models, include the Schär and Brubaker models, which are based on Euler hydrodynamics. However, these models may underestimate the...
PRR, so we improved the calculation scheme of the model based on its physical meaning and then compared the results with those of the Lagrange trajectory tracking method [32]. The results for the PRR in summer using the Schär, Brubaker, our model and the Lagrange method were 16.8, 9.3, 22.7 and 23.97%, respectively. The results of our PRR model (22.7%) were therefore close to those of the Lagrange method (23.97%). This further verifies the rationality of our model from the perspective of both Euler and Lagrange.

We used conventional meteorological statistical methods—such as regression and correlation analysis, the climatic trend coefficient and the climatic tendency rate (regression coefficient) [33]—to analyze the increase and decrease in the time series.

RESULTS

Temporal Variation of the Precipitation Recycling Rate in the Mid- and Lower Reaches of the Yangtze River

Figure 2 shows the annual mean and seasonal PRR in the MLRYR. The average annual PRR in the MLRYR was about 0.26 and there were clear interannual variations. From 1961 to 2016, the linear trend was increasing with a trend coefficient of 0.51 (regression coefficient 0.48%/10a) that passed the 95% significance test. The PRR in spring, summer, autumn and winter were about 0.24, 0.23, 0.3 and 0.28, respectively, and all seasons showed an upward trend with trend coefficients of 0.36, 0.09, 0.38 and 0.35, respectively (regression coefficients 0.44, 0.09, 0.68 and 0.61%/10a, respectively). The 95% significance test was passed in spring, autumn and winter.

Correlation Between the Precipitation Recycling Rate and External Sources of Water Vapor and Local Evaporation

The PRR was affected by the input of external sources of water vapor and local evaporative water vapor: the greater the input of external sources of water vapor, the smaller the PRR and the larger the local evaporative water vapor, the larger the PRR.
The correlation between the PRR in each season along the MLRYR and the input of water vapor at each boundary and local evaporation (Table 1) shows that the PRR of the MLRYR was mainly related to the input of water vapor through the western and southern boundaries. This further suggests that the PRR of the MLRYR is mainly affected by the input of water vapor from the western and southern boundaries and local evaporation.

The water vapor transported into the MLRYR was mainly from the Northwest Pacific Ocean, the South China Sea and the Bay of Bengal (Figure 3), which is consistent with previous research [32, 34–37]. The input of water vapor into the MLRYR was mainly from the western and southern boundaries, with less water vapor from the northern and eastern boundaries (Figure 3).

**Possible Reasons of the Interannual Variation of the Precipitation Recycling Rate**

Anomalous Northwest Pacific anticyclones (cyclones) promote (inhibit) the transport of water vapor over the Northwest Pacific, the South China Sea and the Bay of Bengal [38, 39]. The Mongolian cyclonic (anticyclonic) anomaly promotes (inhibits) the transport of water vapor by westerly winds. The regression coefficients of the PRR and the 850 hPa wind field show that, in the lower troposphere, the PRR in the MLRYR is affected by the Northwest Pacific cyclone in every season. This means that the MLRYR is dominated by northerly winds, which inhibits the input of water vapor from the Western Pacific, the South China Sea and the Bay of Bengal. The anticyclone near Lake Baikal also weakens the input of water vapor in the zone of westerly winds. The PRR in the MLRYR is therefore higher under the combined action of the Northwest Pacific cyclone and the anticyclone near Lake Baikal. By contrast, the input of water vapor through the southern and western boundaries is promoted, which favors a lower PRR in the MLRYR (Figure 4).

**Figure 5** shows the regression coefficient field of the PRR and the 500 hPa height field in the mid-troposphere in each season. The spatial distribution of the Northwest Pacific to East Asia continent mainly presents a “− +” pattern. Previous studies [40] have shown that when the mid- and high-latitude height field of Eurasia presents a “+ − +” distribution from west to east, then Eurasia has a “two ridges and one trough” distribution, which favors the frequent formation of a blocking high in Central Asia. The distribution of the regression field for spring and summer is similar.

There are significant negative anomalies in the Northwest Pacific, which weaken the Northwest Pacific subtropical high. When the Northwest Pacific subtropical high is strong, the southwesterly air flow in the west of the Northwest Pacific enhances the transport of water vapor, whereas a weak Northwest Pacific subtropical high weakens the transport of water vapor. Table 2 shows that the PRR in the MLRYR was mainly negatively correlated with the area index, intensity index and ridge index of the Western Pacific subtropical high (the correlation between the PRR and the ridge index was better). At...
the same time (except in autumn), East Asia showed a “+ −” dipole distribution from north to south, which suppressed the transport of water vapor to the north.

The SST is an important factor affecting the atmospheric circulation, regional precipitation and its recycling through atmospheric circulation. Previous studies have shown that the anomalous anticyclone in the Philippine Sea may be caused by the response of Rossby waves to restrained convective heating. It is induced by both in situ cooling of the ocean surface and the subsidence forced remotely by warming of the central Pacific. The development of the anticyclone almost coincides with the increased local cooling of the sea surface [41, 42].

Huang et al. [43] showed that the dynamic effect of the atmospheric circulation and zonal wind anomalies in the lower troposphere over the tropical Western Pacific on the El Niño Southern Oscillation cycle may be through the excitation of...
equatorial oceanic Kelvin waves and Rossby waves in the equatorial Pacific. Wu et al. [44] showed that the western North Pacific anticyclone is maintained by the combined effects of local forcing of the negative SST anomalies in the western North Pacific and remote forcing from the Indian Ocean basin mode. During the mature phase of El Niño, the convective cooling anomalies over the western tropical Pacific caused by weakened convection trigger an atmospheric Rossby wave response, resulting in the generation of the western North Pacific anticyclone [45]. The western North Pacific anticyclone can persist from the winter when El Niño is at its peak to the subsequent summer. It is maintained by a number of factors, including the sustained presence of convective cooling anomalies, the local air–sea interaction over the western tropical Pacific and the persistent SST anomalies in the tropical Indian and tropical North Atlantic oceans.

The western North Pacific anticyclone can influence atmospheric circulation over East Asia and rainfall in China, not only simultaneously, but also in the subsequent summer after an El Niño year, leading to more rainfall over southern China. The El Niño Southern Oscillation is an important system for transporting water vapor from the Northwest Pacific and the South China Sea to China. We therefore analyzed the regression coefficient field of the PRR in the MLRYR and the SST in the same time period (Figure 6). The regression field was similar to the La Niña distribution. This is shown in Table 2, where the PRR is negatively correlated with the oceanic El Niño index.

|          | MAM  | JJA  | SON  | DJF  |
|----------|------|------|------|------|
| Area     | −0.09| −0.16| 0.23 | −0.06|
| Intensity| −0.13| −0.15| 0.16 | −0.09|
| Ridge line| −0.31*| −0.13| −0.33*| −0.47**|
| Ridge point| 0.13| 0.21| −0.29*| −0.04|
| Oceanic El Niño index| −0.32*| −0.05| 0.23| −0.30*|

*Passed the 95% significance test; **passed the 99% significance test.

**Figure 6** | Regression coefficient field of the PRR in the MLRYR and the SST in (A) spring, (B) summer, (C) autumn and (D) winter (units: %/K). The dotted area indicates that it passed the 95% significance test.
regional PRR. There is an inverse correlation between the PRR and the surface wind speed in the MLRYR (Table 3), which may be because the influence of the monsoon on the external input of water vapor is greater than that on local evaporation.

**DISCUSSION AND CONCLUSION**

We improved the calculation method in the previously proposed PRR evaluation model. We used precipitation data from stations in China from 1961 to 2017 and the corresponding NCEP/NCAR specific humidity and wind field reanalysis datasets to study the change in the PRR in the MLRYR. Our results showed that the PRR in the MLRYR was largest in autumn (0.3), followed by winter (0.28) and the smallest in summer (0.23). The PRR in the MLRYR showed an upward trend in all seasons (all, except summer, passed the 95% significance test). The trend coefficient of the PRR was largest (0.38) in autumn and smallest (0.09) in summer. The regression coefficient was highest in autumn (0.68%/10a), followed by winter (0.61%/10a) and the smallest in summer (0.09%/10a). The PRR showed a clear interannual variation.

We analyzed the physical factors influencing the change in the PRR in the MLRYR from the perspectives of the SST and atmospheric circulation using the reanalysis and SST datasets. We found that a high SST in the Northwest Pacific promotes local upward motion, which favors the development of cyclones and inhibits the input of water vapor from the Western Pacific, the South China Sea and the Bay of Bengal. The anomalous anticyclone near Lake Baikal inhibits the input of water vapor from westerly winds.

In the regression coefficient field of the PRR and the 500 hPa height field, the Northwest Pacific–Asian continent mainly presents a “+ −” spatial distribution, which weakens the Northwest Pacific subtropical high. By contrast, East Asia (except in autumn) presents a “+ −” dipole distribution from north to south, which inhibits the northward transport of water vapor and leads to a higher PRR in the MLRYR.

In terms of local factors, the PRR in the MLRYR is mainly affected by precipitation and cloud cover. When precipitation and cloud cover are small, evaporation is high, increasing the PRR in the MLRYR. By contrast, the PRR is low when precipitation and cloud cover are high and evaporation is low.

The anticyclonic circulation of the Northwest Pacific Ocean is the key system for the transport of water vapor from the Western Pacific, the South China Sea and the Bay of Bengal to the MLRYR. Previous studies have linked the anticyclonic circulation in the Northwest Pacific with the local wind–evaporation–SST feedback in the western North Pacific and the propagation of Kelvin waves caused by warming of the tropical Indian Ocean [41–45, 49]. However, the characteristics of the regression field of the PRR and SST in the MLRYR in autumn are almost the opposite of those in other seasons (Figure 7). Yoo [50] showed that climate change caused by global warming weakened the atmospheric response in the central Pacific during El Niño years and atmospheric feedback increased during La Niña years. Huang et al [51] showed that the response of the vertical circulation of the atmosphere to local SST anomalies may be

![FIGURE 7](image-url) Regression coefficient field of the PRR in the MLRYR and the 500 hPa vertical velocity in (A) spring, (B) summer, (C) autumn and (D) winter [units: /Pa/s)]. The dotted area indicates that it passed the 95% significance test and the black rectangular box represents the MLRYR.
weakened under global warming. These results may explain the abnormal situation of the regression field of the PRR and SST in autumn and require further research.

Previous studies have shown that the East Asian winter and summer monsoons have both weakened with global warming [52–55]. The MLRYR are located in the monsoon region and the weaker monsoon has led to a decrease in the input of water vapor to this region. Global warming has also increased evaporation, which favors an increase in the PRR. These factors may explain the increasing trend of the PRR in the MLRYR. The contribution and influence of different sources of water vapor on the regional precipitation were evaluated using the PRR, which provides a new perspective for analyzing the cause of precipitation and further improving our ability to predict precipitation [7]. Future work will analyze the respective roles of water vapor from external advection and local evaporation in the precipitation process and the contribution of external inputs of water vapor and local evaporation to precipitation in different stages of the meiyu in the MLRYR on an hourly scale.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article supplementary material, further inquiries can be directed to the corresponding authors.

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AUTHOR CONTRIBUTIONS

Y-P W and G-L F were responsible for the improvement of the model of rainfall recycling rate assessment and the editing and revision of the paper. G-L F and W-J D provided meteorological data, climate background and theoretical guidance for the middle and lower reaches of the Yangtze River. W-K G was responsible for drawing, analysis and writing of the paper. And X-Y W and W-Z G collected, sorted and analyzed the responsible data. J-H Y and X-Y B have recalculated and analyzed the data in our manuscript to demonstrate the correctness of the original results and helped to verify the language grammar and edit the references according to our editor’s commend.

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