Dynamics of Natural Regeneration: Implications for Landscape Restoration in the Atlantic Forest, Brazil

Alexandre Siminski*, Daisy Christiane Zambiazi², Karine Louise dos Santos¹ and Alfredo Celso Fantini²

¹ Postgraduate Program in Agricultural and Natural Ecosystems, Department of Agriculture, Biodiversity and Forests, Federal University of Santa Catarina, Curitibanos, Brazil; ² Postgraduate Program in Agroecosystems, School of Agrarian Sciences, Federal University of Santa Catarina, Florianópolis, Brazil

This study aimed to understand post-agricultural natural forest regeneration in the Southern Brazilian Atlantic Forest and its possible role as a cost-effective, passive approach to forest restoration. The study characterized vegetation structure, floristic composition, and the dynamics of secondary forest chronosequences. Data were collected from 159 plots (10 x 10 m each) across forest remnants in Santa Catarina State, covering forest ages that ranged from 2 to 60 years of regeneration after swidden agriculture. Only areas with no signs of degradation were sampled in order to provide a description of vegetation characteristics that could be used to identify and monitor natural regeneration. A total of 11,455 woody plants were identified and classified into 334 species representing 71 families. As the succession process unfolds, the continuous turnover of species makes forests more diverse and structurally complex. Floristic similarity among forest types is observed during the early stages of succession, but decreases over time. Pioneer species dominate young secondary forests, representing about 40% of the basal area up to 10 years of regeneration. Shade-tolerant species start colonizing the sites at early ages; however, they become more important structural elements only after 30 years of succession. The observed patterns of forest structure and species diversity largely conform to the post-agricultural succession seen in many tropical forests. The high species diversity found in this study highlights the importance of natural regeneration as a strategy to restore ecosystems. Floristic data can be used as a reference for choosing suitable species for active restoration, as well as contributing to the design of integrated restoration strategies. We herein reinforce the potential of natural regeneration as part of large-scale restoration programs, which would be particularly attractive to family farmers by the low cost of supplies and labor.

Keywords: forest succession, secondary forest, passive restoration, swidden agriculture, chronosequences
INTRODUCTION

The Brazilian Atlantic Forest region, home to more than 125 million people, contributes to 70% of the gross domestic product, and it is the center of the Brazilian industrial economy (Scarano and Ceotto, 2015). At the same time, the biome is considered one of the “hottest” of biodiversity hotspots, holding 2,420 vertebrates and 20,000 plant species, most of them with high levels of endemism (Rezende et al., 2018). However, historical fragmentation and loss of biodiversity have also made it one of the world’s most threatened hotspots, as 84–88% of forest cover has vanished (Soares-Filho et al., 2014). In addition, many plant and animal species are endangered, the equivalent of 60% of the entire list of threatened species for both flora and fauna in Brazil (Joly et al., 2014). Maintaining the remnants of such species-rich biome has been a challenge for conservationists in a region marked by a permanent pressure for economic development.

Fast conversion of forest to other land uses and forest degradation represent a global phenomenon, calling for international organizations to establish programs to restore lost or degraded forest ecosystems. The Aichi Target, for example, set a goal of restoring at least 15% of degraded ecosystems (Jørgensen, 2013). The Bonn Challenge, another initiative, has set a global target of restoring 150 and 350 Mha of degraded/deforested lands by 2020 and 2030, respectively (www.bonnchallenge.org; IUCN, 2011). Aligned with these efforts, the Brazilian government in 2009 launched the Atlantic Forest Restoration Pact, an ambitious action plan to restore 15 Mha of degraded/deforested lands by 2050 in the Atlantic Forest region (www.pactomataatlantica.org.br/) (Crouzeilles et al., 2019).

To guide these efforts in restoring forest ecosystems, three main approaches have been used: (i) natural regeneration (passive restoration or spontaneous regeneration), which relies on the resilience of the ecosystem without, or after, removing the degrading factors; (ii) assisted regeneration, which prescribes the application of practices to facilitate recovery processes; and (iii) active restoration (plantation), which consists of intensive human intervention to accelerate recovery (Holl, 2020). The choice of restoration method should be based on site resilience, as well as the characteristics and goals of the project. Many authors suggest an integrated strategy whereby, for example, passive restoration, nucleation and plantation methods are combined to achieve high levels of species diversity, biomass productivity and ecosystem functions, while balancing inputs and costs (Vogel et al., 2015; Bechara et al., 2016; Meli et al., 2017; Trentin et al., 2018).

Assisted and active regeneration methods can be effective in accelerating restoration and reaching the final target ecosystem condition (Campoe et al., 2010; Rodrigues et al., 2011; Roa-Fuentes et al., 2015; Sujii et al., 2017; Brancalion et al., 2019a). However, costs are usually high (Benini et al., 2017; Crouzeilles et al., 2017) and mainly affordable only to large companies, such as the mining sector, or large-scale funded projects. Moreover, the success of restoration initiatives is not guaranteed by high levels of investment as outcomes vary from near-total success to complete failure (Souza and Batista, 2004; Crouzeilles et al., 2016; Toledo et al., 2018). Barriers to ecological restoration in highly degraded landscapes include lack of seed sources, poor germination, lack of colonizing fauna, weed infestation, as well as soil degradation (Garcia et al., 2016).

Survival of seedlings is one of the major limiting factors to action-based restoration initiatives (Holl, 2002; Grossnickle, 2012), leading to failure in forming a tree cover or maintaining the achieved characteristics of the ecosystem in the long run. This lesson was learned from one of the pioneer and large-scale restoration experiences in the Mata Atlântica region (Kageyama and Ishiki, 1992). The project succeeded in recovering a forest-like structure over a large deforested land, but the length of time it took for establishment of the forest, in addition to the failure of certain species to grow, forced reevaluation of the methodology (Souza and Batista, 2004).

Idealizing a quick restoration of ecosystem functions, legislators have demanded high species diversity as a requirement of restorations activities. For instance, the formerly enacted Resolution SMA 08/2008 regulated forest restoration projects in São Paulo State and required at least 80 species before a project could be considered complete (Secretaria do Meio Ambiente do Estado de São Paulo, 2008). Gomes et al. (2013) challenged the rationale of such requirement, arguing over such issues as the availability and variety of seedlings needed, the cost, and, mainly, the uncertain survival of many species. The authors also argued that even young, naturally regenerated forests frequently do not shelter such diversity of tree species.

Across the Brazilian Atlantic Forest region, as in much of the tropical world, socio-ecological systems demand low input of labor and supplies in exchange for optimal land/forest restoration projects. In Santa Catarina State, for example, 78% of farms are smaller than 50 hectares, and they are owned by family farmers (IBGE, 2019), most of whom cannot afford, or are not willing, to invest in the requirements mandated by environmental laws (Alarcon et al., 2010). Alarcon et al. (2017) reported that farmers in Santa Catarina State would agree to restore deforested land that they owned, but only in exchange for some monetary compensation. In such contexts, then, passive restoration becomes the most practical strategy.

Natural regeneration has been considered an effective nature-based solution for recovering tree biodiversity (Brancalion et al., 2019b; Rozendaal et al., 2019; Crouzeilles et al., 2020), and it may play an important role in the scale-up of landscape restoration to achieve multiple social and environmental benefits at minimal cost, especially in tropical regions (Chazdon and Guariguata, 2016). This approach can rapidly restore the forest at sites recently cleared, considering such factors as the presence of residual trees, seedlings and seed banks of local species, and biodiversity-rich forest across the landscape (Lamb et al., 2005). Moreover, the success of this approach in the Atlantic Forest region could possibly pave the way for reversing deforestation and degradation, mitigating water and food insecurity, improving livelihoods, and promoting ecosystem-based adaptation to climate change (Rezende et al., 2018).

Naturally regenerating forests can provide a wide array of ecosystem goods and services, as well as, support rural economies and livelihoods (Chazdon et al., 2020). Even young secondary
forests, that are spread out in isolated patches could help mitigate climate change and recover species of high conservation concern, while, at the same time, improving landscape connectivity (Oliveira et al., 2019a; Matos et al., 2020), and working as a source of seed dispersal for the restoration process. Natural regeneration has also been suggested as a cost-effective method of achieving high carbon sequestration potential with multiple benefits for biodiversity and ecosystem services (Chazdon et al., 2016). Such combination of factors is frequently present in our region of interest since forest cover for Santa Catarina is estimated at around 30% (Vibrans et al., 2013a, 2020). Several studies have demonstrated the resilience of local native forests and their rapid regrowth, even after repeated swidden cultivation, a prevalent land use in the region until recently (Siminski and Fantini, 2007; Liebsch et al., 2008; Siminski et al., 2011; Fantini et al., 2017; Lintemani et al., 2019).

Professionals and researchers frequently evaluate degraded areas based on a mature forest model. Instead, the resilience of the forest at landscape scale should be taken into account, as well as, the potential of natural regeneration to re-establish a degraded ecosystem to a sound condition at a local scale. Therefore, it is important that practitioners recognize how these forests respond to human disturbances at multiple levels of ecological organization and spatiotemporal scales in order to respond to the threats posed and the potential opportunities offered by human-modified landscapes in the context of sustainability and regeneration (Joly et al., 2014; Arroyo-Rodríguez et al., 2017).

It is in this context that we evaluated different chronosequences of secondary forests to explore how attributes of regrowth change over time and across forest types in the Atlantic Forest. In a previous study in the same region, we focused on the average of floristic and structural variables in three forest types (Siminski et al., 2011). Now, we examine the data of individual plots that represent different forest ages in order to understand the dynamics of the diversity and structure of secondary forests through succession from 2 to 60 years. The values of species richness, diversity, density, basal area, and aboveground biomass were regressed against forest age to understand the dynamics of forest structure through succession. Species turnover was assessed through the proportion of ecological groups and the identity of the most dominant species. In order to better depict the importance of each ecological group for forest structure, we also regressed the relative values of plant density, basal area, and biomass. We designed our study in a way that examines how species composition, diversity and forest structure of naturally regenerated secondary forests change over time across forest types. Based on data analysis and information collected from vegetation surveys, we discuss the quantitative and qualitative aspects of secondary forests that can be used as indicators to evaluate and monitor natural regeneration in forest restoration programs.

MATERIALS AND METHODS

Study Areas

The study was carried out in 48 small farms located in the counties of Anchieta, Garuva, Concórdia, Três Barras, Caçador, and São Pedro de Alcântara in Santa Catarina state (Figure 1). These areas include in three different forest types recognized in the Mata Atlântica Biome, as defined by Brazilian Atlantic Forest Law 11.428/2006 (BRASIL, 2006): Seasonal Deciduous Forest (Anchieta and Concórdia), Mixed Ombrophilous Forest (Três Barras and Caçador) and Dense Ombrophilous Forest (Garuva and São Pedro de Alcântara) (Veloso et al., 1991).

Seasonal Deciduous Forest (SDF) occurs in the Uruguay River basin at elevations ranging from 200 to 600 meters a.s.l., where the predominant climate is Cfa (temperate humid with hot summers), according to Köppen's classification, with an annual precipitation of 1,600 to 2,000 mm (Alvares et al., 2013). It is characterized by a closed canopy dominated by species of Lauraceae, Asteraceae and emergent deciduous species, mostly Fabaceae (Siminski et al., 2011; Vibrans et al., 2012a; Gasper et al., 2013b).

Mixed Ombrophilous Forest (MOF) is usually distributed across plateaus ranging from 500 to 1,500 m a.s.l., with annual precipitation ranging from 1,600 to 2,100 mm. The climate is Cfb (humid temperate climate with moderately hot summers), according to Köppen's classification (Alvares et al., 2013). This forest is characterized by the presence of a supercanopy dominated by Araucaria angustifolia and a subcanopy rich in species of Lauraceae, Myrtaceae, Asteraceae, Solanaceae, and Fabaceae (Siminski et al., 2011; Gasper et al., 2013a; Vibrans et al., 2013b).

Dense Ombrophilous Forest (DOF) occurs across the coastal regions at elevations below 700 m a.s.l., where the climate is Cfa (temperate humid with hot summers), according to Köppen's classification. DOF is characterized as having the hottest temperatures among all forest types and an annual precipitation ranging from 1,500 to 2,000 mm (Alvares et al., 2013). DOF has an evergreen canopy dominated by Lauraceae, Melastomataceae, Fabaceae, Rubiaceae, and Myrtaceae, together with an abundance of epiphytes (Orchidaceae and Bromeliaceae) and palm trees (Siminski et al., 2011; Vibrans et al., 2013c; Gasper et al., 2014).

Data Collection and Analysis

We studied chronosequences of secondary forests from 2 to 60 years of age that had regenerated naturally on land previously cultivated under traditional swidden agriculture. We sampled only secondary forests originated from abandoned agricultural fields, with no signs of degradation, because they represent the majority of regenerating forests in the region (Lingner et al., 2020; Vibrans et al., 2020). We sampled only forests located within typical farms in the region (i.e., farms with a mosaic of regenerating forest patches, avoiding samples from isolated secondary forest patches). Our sampling did not take into consideration forest size, slope and aspect, or distance from mature forests; consequently, we did not measure such landscape variables in this paper. At each farm, we asked permission to inventory the forest. Then, the landowner was asked to explain the history of land use, and disturbance, including how long the land had been abandoned. Secondary forest in the region presents a sequence of stages recognizable from its physiognomy: shrubs, small trees, arboreal and advanced arboreal species (Siminski
et al., 2011; Supplementary Figure 1). We tried to sample an even number of plots at each stage of succession. One plot was set at the center of a homogeneous forest patch, thus avoiding the edge effect on forest structure and diversity.

A total of 159 plots (10 × 10 m each) were inventoried in 2007 (a sampled area of 15,900 m²), covering secondary forests at different ages in all three forest types: Anchieta (18), Garuva (20), Concórdia (20), Três Barras (8), Caçador (30), and São Pedro de Alcântara (63). In each plot, all woody plants higher than 1.5 m were identified to species level, either in the field or aided by taxonomists. Plants were identified using the classification updated on the Angiosperm Phylogeny Website, version IV (Stevens, 2017), as well as Species 2000 & ITIS Catalog of Life (Roskov et al., 2019). All individuals were measured for diameter (DBH, cm) and total height (m). Sampled species were classified into ecological groups (pioneer, early secondary, late secondary, and climax), following the criteria proposed by Budowski (1965), which were, in turn, based on bibliographic research (Carvalho, 2003, 2006, 2008, 2010; Santos et al., 2004; Mantovani et al., 2005; Martins, 2005; Klauberg et al., 2011; Vibrans et al., 2012b, 2013b,c; Ferreira et al., 2013; Barbosa et al., 2017). For simplicity, we will use the term “plants” to mean all individuals measured, irrespective of life form (shrubs, trees, palm trees, and tree ferns).

For each secondary forest plot, we calculated the following attributes of vegetation structure: plant density (stems.ha⁻¹), basal area (m².ha⁻¹), aboveground biomass (AGB, Mg.ha⁻¹), and importance value (IV) (Mueller-Dombois and Ellenberg, 1974). We also calculated the following attributes of species diversity: species richness (number of species) estimated by rarefaction curve (Mao et al., 2005) and bootstrap method (Smith and van Belle, 1984), rarefied species richness (Hurlbert, 1971), family richness (number of families), species richness, Shannon’s diversity (H’, nuts. ind⁻¹) and Simpson’s diversity (1-D). Rarefied species richness was calculated down to the same number of individuals in the smallest plot (i.e., five individuals). In order to quantify the dominance of species in each forest type, within ecological groups, we calculated the importance value (IV). IV was calculated as the sum from (i) relative frequency; (ii) relative density; and (iii) relative dominance. It was estimated for each species, and the dominant species were selected for each forest type. Dominant species were defined as that set of species, the accumulated IVs which, when ranked from highest to lowest, reached 50% of the total for a given forest type (Finegan, 1996).
Diversity metrics and IV were estimated using the vegan package (Oksanen et al., 2019), BiodiversityR package (Kindt, 2020), the FitoR script (Dalagnol et al., 2013) in RCore Team (RStudio Team, 2019), and the RStudio interface (RStudio Team, 2019). Both rarefaction curve and species richness by bootstrap method were estimated using EstimateS 9.1.0 (Colwell, 1999). Forest biomass was estimated using the allometric equation for tropical forests proposed by Chave et al. (2014): $AGB_{est} = 0.0559 \times (\rho D^2 H)$, where $\rho$ is wood density, $D$ is the diameter of stem, and $H$ is the total plant height. Wood density was obtained from the database of Chave et al. (2006) for Neotropical tree species and Oliveira et al. (2019b) for species of the Subtropical Atlantic Forest.

Linear mixed-effects models were used to evaluate how forest structure (density, basal area and biomass) and diversity change over time (Gelman and Hill, 2007; Bolker, 2008; Zuur et al., 2009). For analysis of plant density (stems.ha$^{-1}$), basal area (m$^2$.ha$^{-1}$), and biomass (MG.ha$^{-1}$), we transformed the values to meet the normality of the data and back transformed values are represented in Figure 2. We used square transformation and tested the normality with the Shapiro-Wilk test. Thus, one null model was established for each dependent variable: richness dataset, Shannon and Simpson index, density, basal area and biomass. The null model was calculated as: $y_i = \alpha + b_i + \epsilon_i$, where $y$ is the dependent variable, $\alpha$ is the intercept, $b_i$ is the random effect, and $\epsilon_i$ is error (residual). Another model was established with fixed effect to test the significance among models using ANOVA with Chi-square statistics. This model was calculated as: $y_i = \alpha + \beta x_i + b_i + \epsilon_i$, where $y$ is the dependent variable, $\alpha$ is the intercept, $\beta$ is the slope, $x_i$ is the fixed effect, $b_i$ is the random effect, and $\epsilon_i$ is error (residual). For each variable, the model has age as a fixed effect, and forest type is the random effect. Next, we evaluated how the contribution of the different ecological groups changes along succession. To accomplish this, we grouped all species into the four ecological groups described above and calculated their relative density, basal area, and biomass. We then analyzed the effects of age and ecological group (fixed effects) on the relative values of each response variable, using forest type as the random effect in mixed-effects models. For all variables individually, age and ecological group was included as fixed effect while forest type as random effect and ecological group was used nested within forest type as random slope. This model was calculated as: $y_{ij} = \alpha + \beta x_i + a_{ij} + b_{ij} + \epsilon_{ij}$, where $y$ is the dependent variable, $\alpha$ is the intercept, $\beta$ is the slope, $x_i$ is the fixed effect, $a_{ij}$ is the random effect, $b_{ij}$ is error (residual). First, we established the null model for each variable described above and tested the model with fixed effect. Forest type was the random effect. Ecological groups were used as the random slope, allowing the difference among groups to reflect variation on the slope. In total, we fitted five models to estimate relative values of plant density, basal area and biomass, with and without interaction among fixed effects, and with and without random slope nested with random effect.

We also applied a model selection procedure, whereby the models with the lowest delta-Akaike Information Criterion (\(\Delta AIC\)) were chosen as the best model, for each dependent variable. The \(\Delta AIC\) is the result of the differences among the AIC of the models fitted for each dependent variable (Supplementary Tables 3, 4). For the best model selected we also calculated the conditional and marginal R-square, which explained the proportion of total variance through both fixed and random effects (Supplementary Table 2). The models were fitted with maximum likelihood to compare the null models and models with ANOVA. After selecting the best models for each variable, the distributions of each selected model were verified using the viresreg() function of the viresreg package (Breheny and Burchett, 2017). To plot the predictors for each model, we used the bootMer() function of the lme4 package based on the Perform model-based (Semi-)parametric bootstrap for mixed models with 1,000 simulations (Bates et al., 2015). The analyses were performed with the lme4 package (Bates et al., 2015), ggpubr package (Kassambara, 2020), bbmle package (Bolker, 2020), lmerTest package (Kuznetsova et al., 2017), MuMIn package (Barton, 2020) in RCore Team (RStudio Team, 2019), and the RStudio interface (RStudio Team, 2019). For absolute and relative values, a line was fitted to each variable with the predictors of the chosen model (Supplementary Table 3), using the ggplot2 package (Wickham, 2009), viridis package (Garnier, 2018a), viridisLite package (Garnier, 2018b), and cowplot package (Wilke, 2019).

## RESULTS

### Forest Species Composition and Diversity

A total of 11,455 woody plants were identified and classified into 334 species representing 71 families. The most common families were Myrtaceae (36 species), Fabaceae (32), Asteraceae (26), Lauraceae (24), Rubiaceae (20), and Melastomataceae (16) (Supplementary Table 1; see also Supplementary Table 5 for plants with DBH > 5 cm). Secondary forests in the DOF region presented a higher number of species (220) compared to MOF (122) and SDF (127). Thirty-nine species were common to all three forest types, representing 11% of the registered species. The three forest types presented a high number of exclusive species: 137, 47, and 53 species, respectively, for DOF, MOF, and SDF. The five most abundant species were Myconia cinnamomifolia, Myrsine coriacea, Thoouchina pulchra, Miconia cabucu, and Hieronyma alchorneoides (DOF); Baccharis daracunculifolia, Matayba elaegnoides, Eupatorium sauvithriannum, Capania vernalis, and Schinus terebinthifolius (MOF); Baccharis daracunculifolia, Trema micrantha, Nectandra lanceolata, Dalbergia frutescens, and Capania vernalis (SDF) (Table 1). For exotic species, we registered 21 individuals of Hovenia dulcis in the sampled area, distributed in four plots (11%) of SDF forest and in one plot (3%) of MOF forest.

Family and species richness varied among plots in forests of the same age within each forest type (Figures 2A,B). In DOF, the median richness was 18 species, ranging from 2 to 52 species per plot. Median richness in MOF and SDF was smaller than that in DOF (median values of 10 and 12 species, respectively), as well as the maximum number of species per plot (respectively, 25 and 28 species) (Supplementary Figure 2). The number of species per plot increased rapidly from the early ages to 40 years.
of succession in all the forest types. By the age of 20 years, most plots within forest types presented around 20 different species, but some plots in DOF reached more than 40 species. Family richness, on the other hand, showed no clear pattern of increase with forest growth in MOF and SDF. Median family richness in these forests was observed to be eight and 10 families per plot, respectively. In DOF, family richness was higher than that in the other forest types, with a median of 14 families per plot. Different from MOF and SDF, however, the number of families per plot in DOF was higher in young forests and decreased as forests aged (Figure 2A).

Species richness of the secondary forests studied varied with age and across forest types (Figures 2B,C). The rarefaction curves show that DOF had higher species richness than MOF and SDF when controlling for different number of samples (Supplementary Figures 3A,B). As an example, 100 species were found after sampling 6, 12, and 15 plots, respectively, in DOF, SDF, and MOF. The curves also suggest that our sampling did not find the maximum number of species expected in these forest types (87% in SDF, 85% in MOF, and 91% in DOF). When we analyzed rarefied species richness with a fixed number of five individuals per plot, the number of species increased rapidly in the first years of succession. At 6 years of fallow forest, we could reach a richness of five species (Figure 2C).

Shannon and Simpson indices also showed rapid increase of species diversity of secondary forests throughout succession
The species listed within each forest type accumulate IV up to 50% (from a base of 100).

| Ecological group | Species                         | DOF     | MOF     | SDF     |
|------------------|---------------------------------|---------|---------|---------|
| Pioneer          | Myrsine coriacea                | 4.8     | 3.1     | -       |
|                  | Cecropia glaziovii              | 1.6     | -       | -       |
|                  | Dodonaea viscosa                | 1.1     | -       | -       |
|                  | Baccharis calvenscens           | 1.0     | -       | -       |
|                  | Baccharis dracunculifolia       | -       | 6.1     | 6.8     |
|                  | Mimosa scabrella                | -       | 5.6     | -       |
|                  | Eupatorium vauhterianum         | -       | 3.2     | -       |
|                  | Schinus terebinthifolius        | -       | 2.3     | 1.7     |
|                  | Sapium glandulatum              | -       | 2.2     | -       |
|                  | Boehmeria caudate               | -       | -       | 1.5     |
| Early secondary  | Miconia cinnamomifolia          | 8.9     | -       | -       |
|                  | Tibouchina pulchra              | 5.3     | -       | -       |
|                  | Miconia cabucu                  | 3.5     | -       | -       |
|                  | Hieronyma alchomeoides          | 3.5     | -       | -       |
|                  | Miconia rigidiaesculca          | 2.1     | -       | -       |
|                  | Vernonanthura discolor          | 1.5     | -       | -       |
|                  | Jacandra micrantha              | 1.5     | -       | -       |
|                  | Tibouchina trichopoda           | 1.3     | -       | -       |
|                  | Piper gaudichaudianum           | 1.3     | -       | -       |
|                  | Piptocarpha angustifolia        | 1.1     | -       | -       |
|                  | Casearia sylvestris             | 1.1     | -       | 1.9     |
|                  | Cupania vernalis                | 1.1     | 2.9     | 3.0     |
|                  | Clethra scabra                  | 1.1     | 3.7     | -       |
|                  | Trema micrantha                 | -       | -       | 3.4     |
|                  | Alchornea triplinervia          | -       | -       | 3.0     |
|                  | Parapaptenia rigida             | -       | -       | 2.9     |
|                  | Cordia ecalycula                | -       | -       | 2.2     |
|                  | Cecrela fissilis                | -       | -       | 1.7     |
| Late secondary   | Euterpe edulis                  | 2.3     | -       | -       |
|                  | Cabrales canjerana              | 1.0     | -       | -       |
|                  | Mastaya elaegnoides             | -       | 7.4     | 1.7     |
|                  | Ocotea pulchella                | -       | 2.9     | -       |
|                  | Ilex paraguariensis             | -       | 2.2     | 1.5     |
|                  | Nectandra laineolete            | -       | -       | 6.8     |
|                  | Nectandra megapotanica          | -       | -       | 6.3     |
|                  | Dalbergia frutescens            | -       | -       | 3.0     |
| Climax           | Psychotria longipes             | 1.8     | -       | -       |
|                  | Cyatha schanschin               | 1.1     | -       | -       |
|                  | Myrcia eugeniocephoides         | 1.1     | -       | -       |
|                  | Cyatha vestita                  | 1.1     | -       | -       |
|                  | Ocotea puberula                 | -       | 9.2     | -       |
|                  | Strychnos brasiliensis          | -       | -       | 1.4     |
|                  | Ocotea diosynrifolia            | -       | -       | 1.4     |
| Sum of IV        | 50.2                             | 50.8    | 50.2    |
| Number of species| 23                               | 12      | 17      |

The species listed within each forest type accumulate IV up to 50% (from a base of 100).

*Dominant species were defined as that set of species, the accumulated importance values (IVs) which, when ranked from highest to lowest, reached 50% of the total for a given forest type (Finegan, 1996).
relative biomass of pioneer and early secondary decreased, late secondary and climax species increased their share of the total biomass (Figure 3C).

The importance of each species to forest structure through succession varied within each ecological group and forest type (Table 1). Typical pioneer species, such as Baccharis dracunculifolia and Myrsine coriacea, were dominant in young forests and widely represented in all forest types. On the other hand, pioneers, such as Cecropia glaziovii, Mimosa scabrella, and Schinus terebinthifolius, were typical of DOF, MOF, and SDF forests, respectively. The early secondary species Miconia cinnamomifolia, Tibouchina pulchra, Clethra scabra, Trema micrantha, Parapiptadenia rigida, Miconia cabucu, Hieronyma alchorneoides, and Alchornea triplinervia also presented high density, dominance, frequency, or a combination of the three, becoming the most important species of the ecosystem and characterized by typical successional routes within a forest type. These are fast-growing arboreal species capable of forming a closed, or almost closed canopy, shading the environment and dramatically changing local abiotic conditions (Supplementary Figure 1). Important canopy species of secondary forests belong to the late secondary group, such as Nectandra lanceolata, Nectandra megapotamica, and Ocotea pulchella. This ecological group also presented important understory species, such as Ilex paraguariensis and Euterpe edulis. The importance of climax species was characterized by individuals tolerant to the already shaded environment of the forest, and the growth of this guild promoted a new increase in forest canopy diversity.

DISCUSSION

Dynamics of Natural Regeneration

Taken together, data from our chronosequences indicated that the natural regeneration of secondary forests followed a continuous process of succession from simple to more complex
ecosystems. Biodiversity, as measured by richness and diversity indices, and forest structure, as measured by basal area and biomass, increased through time in our chronosequence, results similar to reports of other studies at sites with a history of swidden-fallow land use (Saldarriaga et al., 1988; Tabarelli and Mantovani, 1999; Aide et al., 2000; Liebsch et al., 2007, 2008). The sampled secondary forest older than 40 years presented structural characteristics (density, basal area, biomass, and diversity) similar to the reference ecosystem, as informed by sites of old growth forests from the official Floristic and Forest Inventory of Santa Catarina—IFFSC (Vibrans et al., 2012b, 2013b,c; also available at www.iff.sc.gov.br).

The high number of species (220) found in DOF, compared to the other forest types was not surprising, as this region holds around 85% of all arboreal species found in Santa Catarina (Vibrans et al., 2013c; Gasper et al., 2014). However, in all cases, the numbers are remarkably high, considering that inventories were based on small plot size (10 × 10 m). Moreover, the high diversity among plots within forest regions was corroborated by the rarefaction curves and the estimator richness. In the DOF region, 100 species were found after taking inventory of only 10 plots, but the number of species grew to more than 200 without reaching a ceiling. In the other two forest types the numbers were smaller, but, again, the rarefaction curves point out that the ceiling for richness was never reached.

The values for diversity indexes found in our study may have resulted from the criteria used for plant inclusion (all plants higher than 1.5 m). The sampling method used, where non-contiguous plots were inventoried, may have raised the levels of diversity. The number of species with low abundance found in our inventories may also have increased the diversity indexes. In an inventory carried out in secondary forest in the same three forest types, Oliveira et al. (2019a) observed that ~50% of the observed species were rare, having a diminished abundance and/or small area of occurrence. These results reveal the remarkable ability of the Atlantic Forest to hold its diversity of species, despite the reduction in remaining forest cover (Scarano and Cotto, 2015). Our results show that the forests became more diverse through succession. The species diversity, as indicated by the Shannon and Simpson indices grew in the 1st years of succession. For example, by the age of 15 years, virtually all sampled plots presented a Simpson Diversity Index higher than 0.75. The diversity indices estimated for old-growth forests, as reported by IFFSC studies, show the Shannon Index ranging from 1.79 to 3.64 for SDF (Vibrans et al., 2012b); from 1.01 to 3.51 for MOF (Vibrans et al., 2013b), and from 2.00 to 4.20 for DOF (Vibrans et al., 2013c). It is well-known that species richness can increase rapidly in secondary forests (Aide et al., 2000; Liebsch et al., 2007, 2008; Letcher and Chazdon, 2009), while species composition and interactions recover at a much slower pace. In addition, non-arboreal life forms, like epiphytes, lianas, and ferns, will naturally colonize secondary forests (Guariguata and Ostertag, 2001; Siminski et al., 2013; Chazdon and Guariguata, 2016). By that age, some forests may have reached the maximum basal area allowed by conditions of the site. Despite decreasing plant density, this growth resulted from the continuous replacement of shrubs and small trees by larger individual trees, the growing diameter of which contributed exponentially to the basal area. For the same reason, part of the large variation of basal area among plots in our data may have resulted from the small plot area we used, such that a single tree could have had a strong impact on the result.

Biomass accumulation can also be a main driver of plant community changes during tropical forest succession (Lohbeck et al., 2015). The studied secondary forests were highly
productive, possibly owing to the coexistence of species that ensure a greater complementarity and facilitation among species in regenerated forests and the consequent efficiency in the use of available resources (Gardon et al., 2020). Among forest attributes, time since the beginning of restoration (forest age) and plant community composition play a relevant role in aboveground biomass stocks for ecosystem restoration. While fast-growing species present a higher contribution during the early years, the contribution of slow-growing species is significant at later stages of succession, as pointed out by Gardon et al. (2020).

**Implication for Landscape Restoration in the Atlantic Forest**

This study shows that natural regeneration allows the restoration of forest structure and diversity. Here we discuss the local and landscape conditions that allowed a successful natural regeneration and that might be necessary to attain effective forest restoration. Additionally, we discuss indicators that can be used by practitioners to evaluate and monitor forest restoration through natural regeneration in the region.

The potential of natural regeneration to promote forest restoration, depends on local and landscape conditions that enable succession to proceed. First of all, the remnant forest cover in the State of Santa Catarina is high (around 30%, according to Vibrans et al., 2013a, 2020; Lingner et al., 2020). Because of the small size of the farms and the legal restriction against deforestation, these remnants are evenly spread across the State (Siminski and Fantini, 2007), forming a mosaic of secondary and mature forests, which helps to build connectivity among them, facilitating propagule dispersal (Crouzeilles et al., 2020). In addition, the regenerating sites studied show a striking resilience after land use for swidden agriculture, especially keeping soil in good condition (Lintemani et al., 2019), which is necessary in facilitating seed banks and stump sprouts to become important elements of the regeneration process after the land is abandoned. Another factor contributing to fast regeneration of forests may be the long fallow period, up to 30 years, as practiced by farmers in many places, like the Itajaí Valley and the Atlantic Coast (Klein, 1980; Siminski and Fantini, 2007). Such long fallow periods allow the soil to restore fertility (Lintemani et al., 2019) and physical properties. A long period of regeneration is also critical to allow the vegetation to become a source of propagules within the mosaic of regenerating forest and for sustaining an array of dispersers responsible for spreading the propagules to neighboring forest tracts. We recognize that natural regeneration in other regions or different previous land use may present a lower potential to restore degraded/deforested ecosystems (Stanturf et al., 2001; Holl and Aide, 2011; Chazdon and Guariguata, 2016; Crouzeilles et al., 2017; Holl, 2020; César et al., 2021), which can limit its application in specific cases.

In this study, we provide quantitative and qualitative indicators of forest recovery that can be used by practitioners to evaluate and monitor forest restoration within the three forest types herein examined. Quantitative information on forest structure and diversity can be retrieved directly from graphs, which indicate the average levels for the three forest types at all ages (fitted line). Additionally, qualitative information about canopy cover and species composition can help identify recovery dynamics. In the DOF region, for example, a closed canopy happens as early as 15 years after the beginning of succession when Miconia cinnamomifolia forms a nearly homogeneous canopy (Schuch et al., 2008) (Supplementary Figure 1). This event marks a transition from open ecosystems to intensely shaded forests, having a strong impact on pioneer species (Figures 3A–C). Many different successional routes are found in the Atlantic Forest, so each forest has its own species that drives the canopy coverage. Accordingly, this event happens at different times. For example, in the MOF region, the appearance of Mimosa scabrella, a fast-growing pioneer, may cause the formation of a closed canopy in forests as young as 10 years of age (Steenbock et al., 2011). The closing of the canopy favors climax tree species, and the process of vertical stratification of the forest starts. It also makes the environment suitable for other life forms like epiphytes.

Even under apparently favorable conditions, it is important to recognize that some limiting factors may impair or slow down the regeneration process. Lower resilience may be driven by low levels of seed dispersal and/or an absence of forest remnants, a common situation in some highly human-modified landscapes. A scenario with these limiting factors could fully, or partially, demand other restorative actions, such as plantation approaches, to help recovery of the dynamics of regeneration of forest structure, species composition and their interactions (Souza and Batista, 2004; de Melo and Durigan, 2007; Rodrigues et al., 2011; Suganuma and Durigan, 2015; Garcia et al., 2016; Pontes et al., 2019). When an active approach is needed, planted species contribute to internal seed dispersal, supplying seeds to assist secondary succession, as well as improve connectivity across the landscape (Rodrigues et al., 2011). In this sense, the floristic data presented in Supplementary Table 1 can be used as a reference for choosing suitable species for active restoration. We identified those species most common in the early successional stages of regeneration, which will enhance the probability of success of large-scale plantations. We also identified a set of species that occur all across the study area, comprising another group of species suggested for active restoration, as they will potentially adapt to a variety of ecological conditions. Finally, our results made evident the importance of species unique to each forest type, which are critical for qualifying a restoration project.

Another important issue of concern is the occurrence of undesirable species. As pointed out by D’Antonio and Vitousek (1992), Fiore et al. (2019), and Weidlich et al. (2020), a negative association exists between these species and forest community structure and species assembly. In the DOF region, for example, the high susceptibility to fire of Melinis minutiflora, an aggressive grass that forms dense communities right after the abandonment of the land, may set succession back to the starting point more than once. In the SDF region, Hovenia dulcis may become an important element of the forest structure and composition (Dechoum et al., 2015). Colonization by H. dulcis is mostly associated with forest gaps, but the species is also able to invade
closed-canopy forest fragments and persist in the more advanced communities (Dechoum et al., 2015; Padilha et al., 2015). However, whether invasive exotic species should be tolerated in restored forests is still a matter of debate in Brazil. Grazing cattle is another common threat to forest restoration all across the Brazilian Atlantic Forest because it may prevent the colonization of many plant species and slow down the pace of succession (Kilca et al., 2020). Fortunately, the problem can be reduced at low cost by building electrical or live fences, technologies already known by local farmers.

Monitoring forests undergoing a restoration process through natural regeneration is obviously crucial to determine the success of the initiative and, ultimately, to determine if the regeneration will flow unassisted. Indicators of potential for natural regeneration can be quantified and mapped, and frameworks can be used for that purpose and to guide decision makers on recognizing natural regeneration as an effective restoration strategy (Chazdon and Guariguata, 2016). Successful monitoring requires the practitioner to understand the whole picture of the succession process in the region (the landscape scale). The practitioners must also be able to recognize features of a particular community (local scale) that signal its qualitative progress.

Based on the data analysis and information of our study, we proposed a set of aspects we should have in mind when evaluating an area being restored through natural regeneration: (1) the matrix surrounding the site to be restored, e.g., predominantly rural or urban, extensive agricultural or industrial tree plantation zone, and proximity to advanced secondary and mature forests; (2) surrounding landscape that indicates frequent natural regeneration or impaired succession; (3) presence of diverse and well-structured regenerated late secondary forests in the region; (4) composition and structure of a given forest patch fit to a stage within a known successional route; (5) presence of juveniles typical of next stages of succession; (6) presence of factors that could delay or prevent succession, such as extreme isolation of the area, invasive species or cattle grazing; and (7) availability of low-cost modifications when limiting factors are present. Developing the skills to interpret the state of a secondary forest beyond the data from an inventory is a matter of training and experience, and training programs would help practitioners to fulfill such requirements.

CONCLUSION

In naturally regenerating forests, our results showed a continuous turnover of species and ecological groups and an increase in the complexity of forest structure over time. The forest types presented a floristic similarity at early stages of succession, but tended to differentiate over time. While initial succession is important to start forest structure restoration, advanced secondary forests showed the capacity to shelter increasing levels of diversity and likely provide a wider array of ecosystem services. Along with remnants of old-growth forests, these naturally regenerated secondary forests can play an invaluable role in rebuilding healthy ecosystems.

The success of natural regeneration in the region of our study is so conspicuous that we claim it should be the standard approach to restore degraded forest/lands. Because of its low cost, a restoration program based on natural regeneration could prompt landowners to set unproductive areas aside for forest regrowth, especially small farmers, who are otherwise unwilling or financially unable to engage in such programs. However, a combination of local and landscape factors also contributes to the high potential of natural regeneration, such as a significant number of mid and late secondary forests spread across the landscape, the relatively low intensity of previous land use, long fallow periods, and favorable climatic conditions.

The data on species composition, diversity and structure of secondary forests found in our study are valuable to define a set of objective parameters to evaluate and monitor restoration programs, building a reference framework to evaluate the success of restoration initiatives. We reinforce the potential of natural regeneration as part of large-scale restoration programs, which would be particularly attractive to family farmers because of their low cost of supplies and labor in the Atlantic Forest region.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author/s.

AUTHOR CONTRIBUTIONS

All authors agree to be accountable for the content of the work. The authors integrally contributed to the data evaluation and analysis, as well as the writing and discussion of the manuscript.

FUNDING

Financial support came from the Conselho Nacional de Desenvolvimento Científico e Tecnológico (CNPq, Brazil; 141730/2006-4, 201423/2007-3, 304351/2015-6, 423027/2016-6) and from the Fundação de Apoio à Pesquisa Científica e Tecnológica do Estado de Santa Catarina (FAPESC - Edital nº 01/2006 and 03/2017).

ACKNOWLEDGMENTS

We gratefully acknowledge the expert help of Dr. Marcos Sobral, Dr. Ademir Roberto Ruschel, and Prof. Dr. Ademir Reis in the taxonomic identification of species. We thank the Laboratório de Ecologia e Manejo de Ecossistemas Florestais - LEMEF and Núcleo de Pesquisas em Florestas Tropicais - NPFT for the support.

SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/ffgc.2021.576908/full#supplementary-material
REFERENCES

Aide, T. M., Zimmerman, J. K., Pascarella, J. B., Rivera, L., and Marcano-Vega, H. (2000). Forest regeneration in a chronosequence of tropical abandoned pastures: implications for restoration ecology. Restor. Ecol. 8, 328–338. doi: 10.1046/j.1526-100x.2000.80048.x

Alarcon, G. G., Beltra, Â. D. V., and Karam, K. F. (2010). Conflitos De Intereesse Entre Pequenos Produtores Rurais E A Conservação De Áreas De Preservação Permanente Na Mata Atlântica. Floresta 40, 295–310. doi: 10.5380/res.v40i2.17825

Alarcon, G. G., Fantini, A. C., Salvador, C. H., and Farley, J. (2017). Additionality is in detail: farmers’ choices regarding payment for ecosystem services programs in the Atlantic forest, Brazil. J. Rural Stud. 54, 177–186. doi: 10.1016/j.jrurstud.2017.06.008

Alves, C. A., Stape, J. L., Sentelhas, P. C., de Moraes Gonçalves, J. L., and Sparovek, G. (2013). Köppen’s climate classification map for Brazil. Meteorol. Zeitschrift 22, 711–728. doi: 10.11219/2941-2013/0507

Arroyo-Rodríguez, V., Melo, F. P. L., Martinez-Ramos, M., Bongers, F., Chazdon, R. L., Meave, J. A., et al. (2017). Multiple successional pathways in human-modified tropical landscapes: new insights from forest succession, forest fragmentation and landscape ecology research. Biol. Rev. 92, 326–340. doi: 10.1111/brv.12231

Barbosa, L. M., Shirasuna, R. T., Lima, F. C., de, and Ortiz, P. R. T. (2017). Lista de espécies indicadas para Restauração Ecologica para diversas regiões do Estado de São Paulo. São Paulo: Instituto de Botânica.

Barthlott, W., Schmit-Neuerburg, V., Nieder, J., and Engwald, S. (2001). Diversity and abundance of vascular epiphytes: a comparison of secondary vegetation and primary montane rain forest in the Venezuelan Andes. Plant Ecol. 152, 145–156. doi: 10.1023/A:1011483901452

Barton, K. (2020). MuMIn: Multi-Model Inference. R package version 1.43.7. Available online at: https://cran.r-project.org/package=MuMIn (accessed January 5, 2021).

Bates, D., Mächler, M., Bolker, B. M., and Walker, S. C.s (2015) . Fitting “bbmle”: Tools for General Maximum Likelihood Estimation. R J.

Bechara, F. C., Dickens, S. J., Farrer, E. C., Larios, L., Spotswood, E. N., Mariotte, A. M. A., Aide, T. M., et al. (2016). Carbon sequestration potential of second-growth forest regeneration in the Latin American tropics. Sci. Adv. 2, 1–10. doi: 10.1126/sciadv.1501639

Chazdon, R. L., and Guarguata, M. R. (2016). Natural regeneration as a tool for large-scale forest restoration in the tropics: prospects and challenges. Biotropica 48, 716–730. doi: 10.1111/btp12381

Chazdon, R. L., Lindenmayer, D. B., Guargiuta, M. R., Crouzille, R., Rey Benayas, J. M., and Lazos Cheviero, E. (2020). Fostering natural forest regeneration on former agricultural land through economic and policy interventions. Environ. Sci. Lett. 15:ab796e. doi: 10.1088/1748-9326/ab796e

Clements, F. E. (1916). Plant Succession: An Analysis of the Development of Vegetation. Washington, DC: Carnegie Institution of Washington Publication. doi: 10.5962/bibl.title.56234

Colmanetti, M. A. A., Shirasuna, R. T., and Barbosa, L. M. (2015). Flora vascular não arbórea de um reforestamento implantado com espécies nativas. Hoehnea 42, 725–735. doi: 10.1590/2236-9006-26/RAD/2015

Colwell, R. K. (2019). EstimateS: Statistical Estimation of Species Richness and Shared Species From Samples. Boulder, CO: University of Colorado, Museum of Natural History.

Crouzilles, R., Beyer, H. L., Monetore, L. M., Feltran-Barbieri, R., Pessaão, A. C. M., Barros, F. S. M., et al. (2020). Achieving cost-effective landscape-scale forest restoration through targeted natural regeneration. Conserv. Lett. 13:e12729. doi: 10.1111/conl.12709

Crouzilles, R., Curran, M., Ferreira, M. S., Lindenmayer, D. B., Grellle, C. E. V., and Rey Benayas, J. M. (2016). A global meta-analysis on the ecological drivers of natural regeneration than for active restoration in tropical forests. Ecol. Appl. 25, 2021–2034. doi: 10.1890/13-0369.1

Crouzilles, R., Ferreira, M. S., Chazdon, R. L., Lindenmayer, D. B., Sansevero, J. B. B., Monteiro, L. L. M., et al. (2017). Ecological restoration success is higher for natural regeneration than for active restoration in tropical forests. Sci. Adv. 3, 1–8. doi: 10.1126/sciadv.1701345

Dalagnol, R., Christo, A. G., Higuchi, P., and Rodrigues, A. V. (2013). Função Para Calculo dos Descritores Fitosociológicos e Similaridade Entre Sítios. Available at: https://github.com/ricdol/toflor (accessed January 20, 2020).

Dalagnol, R., Christo, A. G., Higuchi, P., and Rodrigues, A. V. (2013). Função Para Calculo dos Descritores Fitosociológicos e Similaridade Entre Sítios. Available at: https://github.com/ricdol/toflor (accessed January 20, 2020).

D’Antonio, C. M., and Vitousek, P. M. (1992). Biological invasions by exotic grasses, the grass/fire cycle, and global change. Annu. Rev. Ecol. Syst. 23, 63–87. doi: 10.1146/annurev.ecolsys.23.110919.004311

do Melo, A. C. G., and Durigan, G. (2007). Structural evolution of planted riparian forests in the medium Paranapanema Valley, SP, Brazil. Sci. Cons. 35, 101–111.

Dechoum, M. S., Zenni, R. D., Castellani, T. T., Zulba, S. M., and Rejmanek, M. (2015). Invasions across secondary forest successional stages: effects of local plant community, soil, litter, and herbivory on Hovenia dulcis seed germination and seedling establishment. PLANT Ecol. 216, 823–833. doi: 10.1007/s11258-015-0470-z

Dury, W. H., and Nisbet, I. C. T. (1973). Succession. J. Arnold Arbor. 54, 331–368.
Vibranis, A. C., Sevegnani, L., Gasper, A. L. de, and Lingner, D. V. (2013c). Inventario Florístico Florestal de Santa Catarina: Floresta Ombrófila Densa. Vol. 4. 1st Edn. eds. A. C. Vibranis, L. Sevegnani, A. L. de Gasper, and D. V. Lingner (Blumenau, SC: Idefurb).

Vogel, H. F., Campos, J. B., and Bechara, F. C. (2015). Early bird assemblages under different subtropical forest restoration strategies in Brazil: passive, nucleation and high diversity plantation. Trop. Conserv. Sci. 8, 912–939. doi: 10.1177/194008291500800404

Weidlich, E. W. A., Flórido, F. G., Sorrini, T. B., and Brancalion, P. H. S. (2020). Controlling invasive plant species in ecological restoration: a global review. J. Appl. Ecol. 57, 1806–1817. doi: 10.1111/1365-2664.13656

Wickham, H. (2009). ggplot2: Elegant Graphics for Data Analysis. New York: Springer-Verlag.

Wilke, C. O. (2019). cowplot: Streamlined Plot Theme and Plot Annotations for “ggplot2.” R package version 1.0.0. CRAN, 1?40. Available online at: https://wilkelab.org/cowplot (accessed September 29, 2020).

Zuur, A. F., Ieno, E. N., Walker, N. J., Saveliev, A. A., and Smith, G. M. (2009). Mixed Effects Models and Extensions in Ecology with R, 1st edn. London: Springer-Verlag New York. doi: 10.1007/978-0-387-87458-6

Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

The handling editor declared a shared affiliation, though no other collaboration, with one of the authors, DZ, at the time of review.

Copyright © 2021 Siminski, Zambiazi, dos Santos and Fantini. This is an open-access article distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or reproduction in other forums is permitted, provided the original author(s) and the copyright owner(s) are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms.