Family-Based Psychosocial Support and Education as Part of Pulmonary Rehabilitation in COPD
A Randomized Controlled Trial

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BACKGROUND: Involving family as part of the patient’s rehabilitation plan of care might enhance the management of COPD. The primary aim of this study was to investigate the impact of a family-based pulmonary rehabilitation (PR) program on patients and family members’ coping strategies to manage COPD.

METHODS: Family dyads (patient and family member) were randomly assigned to family-based (experimental) or conventional (control) PR. Patients from both groups underwent exercise training three times a week and psychosocial support and education once a week, during 12 weeks. Family members of the family-based PR attended the psychosocial support and education sessions together with patients. In the conventional PR, family members did not participate. Family coping and psychosocial adjustment to illness were assessed in patients and family members of both groups. Patients’ exercise tolerance, functional balance, muscle strength, and health-related quality of life were also measured. All measures were collected pre/post-program.

RESULTS: Forty-two dyads participated (patients: FEV1, 70.4% ± 22.1% predicted). Patients (P = .048) and family members (P = .004) in the family-based PR had significantly greater improvements in family coping than the control group. Family members of the family-based PR had significantly greater changes in sexual relationships (P = .026) and in psychologic distress (P = .033) compared with the control group. Patients from both groups experienced significant improvements in exercise tolerance, functional balance, knee extensors strength, and health-related quality of life after intervention (P < .001).

CONCLUSIONS: This research supports family-based PR programs to enhance coping and psychosocial adjustment to illness of the family system.

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Pulmonary rehabilitation (PR) has been demonstrated to be effective for patients with COPD during stable periods or shortly after an exacerbation. This intervention has been also acknowledged as an important component of integrated care to manage COPD. However, successful integrated care interventions demand the involvement of both patients and family members in care planning, implementation, and oversight.

The impact and challenges of living with a patient with COPD at all grades are well described, including physical and emotional burden and distressing symptoms (e.g., anxiety and depression). Moreover, in some research, families have expressed the need for more information about disease management and for emotional support (e.g., how to handle breathlessness, exacerbations, and anxiety symptoms). Attending to patients’ and family members’ needs, preferences, and expectations might have potential to promote a more integrated and collaborative approach to care in COPD.

Family interventions have been shown to improve family coping in chronic diseases such as diabetes, and cardiovascular disease, and breast cancer, but their impact has received limited investigation in COPD. Furthermore, the use of more positive coping and problem-solving strategies has been associated with better health outcomes, namely less depression and anxiety and improved exercise tolerance and quality of life in patients and better self-rated physical and mental health in family members living with COPD. However, only one study was identified that tested benefits of including family members in a multidisciplinary PR program.

Therefore, the primary aim of this study was to investigate the impact of a family-based PR program on patients and family members’ coping strategies to manage COPD. It was hypothesized that participation in a family-based PR program would improve coping strategies of the family system without interfering with patients’ benefit obtained from a conventional PR program. The secondary aims were to explore its impact on family psychosocial adjustment to illness and patients’ exercise tolerance and health-related quality of life.

Materials and Methods

Study Design

This was a single-blinded, randomized controlled trial. Family dyads (ie, patient with COPD and family member) were randomly assigned to family-based PR (experimental) or conventional PR (control) and were unaware of group allocation. Participants were only told that they were entering a PR program that involved the family and that, depending on group allocation, the involvement of the family member would differ.

The outcome measures were collected from patients and family members 3 days before and after the PR program. The family-based PR was conducted at a different time than the conventional PR. Randomization was performed by a computer-generated schedule in random blocks of three. The allocation sequence was kept in sealed opaque envelopes by a researcher who was not involved in data collection. This researcher drew the envelope and scheduled dyads of both groups. Approval for this study was obtained from the Center Health Regional Administration (2011-02-28) and national data protection committee (8940/2012).

Written informed consent was obtained from each participant. This study was reported according to CONSORT (Consolidated Standards of Reporting Trials) recommendations.

Participants

Consecutive patients with stable COPD were recruited from three primary care centers. Patients were considered eligible for the study if they (1) were diagnosed with COPD according to the GOLD (Global Initiative for Chronic Obstructive Lung Disease) criteria; (2) had a family member ≥ 18 years old who provided physical and/or support care, without receiving any payment; and (3) were able to provide informed consent to participate in the study. Participants were excluded if they had exacerbations or hospital admissions 1 month prior to the study, severe neurologic/musculoskeletal conditions, and/or unstable cardiovascular disease. Dyads were excluded if one of them presented severe psychiatric conditions or inability to understand and cooperate or if one of them refused to participate.

Intervention

In both groups, patients underwent 12 weeks of PR composed of exercise training and psychosocial support and education, conducted in primary care centers. Family members assigned to the family-based PR participated in the psychosocial support and education component together with patients. Family members randomized to conventional PR did not attend the sessions with patients, with the exception of sessions used to obtain baseline and post-intervention assessment data.

Exercise Training: Training frequency was three sessions per week. Sessions lasted 60 min and were delivered by the same physiotherapists in both groups, ensuring a consistent and uniform training among all patients. This component is described elsewhere.

Psychosocial Support and Education: Sessions were designed based on a comprehensive literature review on COPD rehabilitation and needs of families living with COPD, and interventions for families living with other chronic diseases. Education aimed to provide information about COPD, increase the skills of the family to adjust to and manage the disease, and promote adherence to therapy and healthy lifestyles. Psychosocial support intended to help the family to manage the emotional demands of living with COPD, facilitate the communication within the family and with health/social services, and develop a sense of family identity, enhancing its cohesion.

Weekly sessions, lasting approximately 90 min, were conducted by a multidisciplinary team (physiotherapist, gerontologist, psychologist, nurse, and clinician). These professionals assumed the role of facilitators by supporting participants in their doubts, encouraging them to share experiences, normalizing emotions, and assuming an empathic attitude. Several didactic methods were used during the sessions, such as group discussions, home tasks, role playing, and brainstorming.
The topics of each of the 12 sessions are presented in Table 1. At each session, a handout was provided to participants. Content presented to both groups was similar; however, in the control group family members did not participate, and, therefore, the content relating to psychosocial and educational topics solely focused on the patient's perspective.

**TABLE 1**  Topics of the Psychosocial Support and Education Component

| Topics | Description |
|--------|-------------|
| Week 1: information about COPD/impact on family life | Brief overview of COPD (eg, symptoms, progression and treatments) |
| Week 2: management of respiratory symptoms | Identification, exploration, and normalisation of the impact of COPD on family life |
| Week 3: family identity and development | Identification and training of breathing control and airway clearance techniques |
| Week 4: medication and oxygen therapy | Discussion of practical strategies to prevent and manage exacerbations |
| Week 5: management of stress and anxiety | Work on family cohesion, exploring the family identity (eg, participants had to create their “family identity card,” which symbolized the specific values of each family) |
| Week 6: healthy lifestyles-physical activity | Identification of the importance of treatment compliance |
| Week 7: healthy lifestyles-nutrition and sleep | Training of inhalation techniques |
| Week 8: emotions management/community resources | Discussion of the possible causes of stress and the effects of stress in family life |
| Week 9: fall prevention/communication of feelings, needs and concerns | Ways to manage stress (eg, relaxation techniques) |
| Week 10: action plan | Discussion of the benefits of physical activity in the whole family |
| Week 11: problem solving techniques/unpredictability and future fears | Work on strategies to increase/maintain physical activity habits |
| Week 12: ritualization | Identification of the most common nutritional mistakes |
| | Exploration and normalization of emotions |
| | Training of a practical technique to manage emotions, the “Six thinking hats” |
| | Identification of available resources for families, as well as the appropriate timing to contact these resources |
| | Identification of the most common risk factors for falls and discussion of strategies to reduce/eliminate them |
| | Description of ways to address nutritional mistakes, emphasizing the importance of a healthy diet |
| | Description of sleep problems and suggestion of solutions |
| | Discussion of the impact of COPD on sexual relationships |
| | Exploration and normalization of emotions |
| | Training of a practical technique to manage emotions, the “Six thinking hats” |
| | Identification of available resources for families, as well as the appropriate timing to contact these resources |
| | Identification of the most common risk factors for falls and discussion of strategies to reduce/eliminate them |
| | Description of the major communication styles |
| | Training of the DESC (Describe, Explain, Specify, Conclude) technique to communicate assertively |
| | Summary of the contents of previous sessions, with emphasis on the key points for an effective disease management |
| | Sharing experiences of personal problems and exploration of ways of solving them |
| | Practice of the problem-solving techniques |
| | Reflection on the importance of social support networks and balance of participation in the group |
| | Celebration, symbolizing the end of the program |
Outcome Measures

Descriptive Characteristics: Sociodemographic information (age, sex, education, marital status, and current occupation) was collected from patients and family members. BMI, activities limitation resulting from dyspnea (assessed with the Modified British Medical Research Council questionnaire), and lung function, as assessed with a portable spirometer (MicroLab 3500, CareFusion Corporation), were collected from patients. Data on the kin relationship with the patient and the caregiving duration was obtained from family members. Patients and family members filled in the Family Crisis Oriented Personal Scales (F-COPES) (the main outcome measure) and the Psychosocial Adjustment To Illness Scale—Self Report (PAIS-SR).

Family Coping: The F-COPES identifies family problem-solving and behavioral strategies used by families in crisis situations and has been used to assess the impact of interventions in the family.17,33,35 F-COPES focuses on two levels of interaction: from the individual to the family system (the way in which the family manages crises and problems internally) and from the family to the social environment (the way in which the family manages problems outside its boundaries).17,33,35 F-COPES had good internal consistency, with an overall α of 0.852 in both patients and family members. This instrument is composed of five subscales: acquiring social support (nine items; αp = 0.782 and αf = 0.820), reframing (eight items; αp = 0.682 and αf = 0.654), seeking spiritual support (four items; αp = 0.803 and αf = 0.850), mobilizing family to acquire and seek help (four items; αp = 0.567 and αf = 0.402), and passive appraisal (four items; αp = 0.430 and αf = 0.596). The acquiring social support subscale measures a family's ability to acquire support from friends, relatives, neighbors, and extended family. The reframing subscale assesses the family's ability to redefine stressful events to help them be manageable by the family. The seeking spiritual support subscale examines the family's ability to acquire spiritual support. The mobilizing family to acquire and accept help subscale measures the family's ability to seek community resources and accept help from others. The passive appraisal subscale assesses the family's ability to accept difficult issues, minimizing reactivity. F-COPES describes a variety of coping behaviors, and items are rated using a 5-point Likert scale, ranging from "strongly disagree" (1) to "strongly agree" (5). The total score ranges from 29 to 145, with higher scores indicating more positive coping and problem-solving strategies.

Psychosocial Adjustment: The PAIS-SR has been used to assess the impact of rehabilitation programs on psychosocial adjustment to the disease.34-36 The PAIS-SR had good internal consistency in patients (αp = 0.920) and family members (αf = 0.912). The scale has seven domains: health-care orientation (eight items; αp = 0.564 and αf = 0.696), vocational environment (six items; αp = 0.658 and αf = 0.571), domestic environment (eight items; αp = 0.832 and αf = 0.590), sexual relationships (six items; αp = 0.865 and αf = 0.829), extended family relationships (five items; αp = 0.635 and αf = 0.844), social environment (six items; αp = 0.832 and αf = 0.678), and psychologic distress (seven items; αp = 0.808 and αf = 0.813). Each item has four statements determining the levels of adjustment (0–3). The participant selects the statement that best describes his/her personal experience. The total score ranges from 0 to 138, and higher scores indicate poorer adjustment.

The following outcome measures were collected only from patients.

Exercise Tolerance: Exercise tolerance was measured using the 6-min walk test. The measurement properties of this test are well established in COPD.40 Two tests were performed according to standardized guidelines.40

Functional Balance: The Timed Up-and-Go test was used to assess functional balance.41 Patients were instructed to walk quickly, but as safely as possible. Two tests were performed and the best performance considered.

Muscle Strength: Knee extensors strength of the dominant limb was assessed using the 10-repetition maximum (10-RM) with ankle weights.42 In patients with COPD, the completion of 1-RM testing may not be safe; thus, multiple RM, such as 10-RM, were used.

Health-Related Quality of Life: The St. George's Respiratory Questionnaire (SGRQ) is a disease-specific instrument designed to measure quality of life43 and contains three domains: symptoms (eight items), activities (16 items), and impact (26 items). The SGRQ presented high internal consistency, with Cronbach α of 0.769 in the symptoms domain, 0.736 in the activities domain, 0.705 in the impact domain, and 0.820 in the overall questionnaire. For each domain and for the total questionnaire, score ranges from 0 (no impairment) to 100 (maximum impairment).

Data Analysis

Using F-COPES data from a previous pilot study (not published), two sample size estimations (for patients and family members) with 95% power at a significant level of .05 were performed. These analyses determined that a statistically significant difference in F-COPES total score would be detected with 42 patients (partial ω2 = 0.078) and with 30 family members (ω2 = 0.110). As PR programs have considerable dropouts, varying between 20% and 40%,50-55 56 family dyads (28 per group) were recruited. These power analyses were performed using the G*Power 3 software (University Düsseldorf).

Descriptive statistics were used to describe the sample. For each measure, the normality of data was investigated with Shapiro-Wilk tests. Independent t tests for normally distributed data and Mann-Whitney U tests for ordinal/normally distributed data were used to compare baseline measures between groups. χ2 tests were used for categorical data. Two-way analysis of variance with repeated measures was used to establish the significant effects for time, group, and group × time interaction. The level of significance was set at 0.05. Statistical analysis was completed with the estimation of effect sizes for each outcome measure to evaluate the magnitude of treatment effect.47 The effect size was computed via partial ω2, as it is the index more commonly reported for two-way analysis of variance with repeated measures.48 Partial ω2 was interpreted as a small (ω2 ≥ 0.01), medium (ω2 ≥ 0.06), or large (ω2 ≥ 0.14) effect.48 Data analyses were performed using SPSS Statistics version 20.0 (IBM).

Results

Participants’ Characteristics

Figure 1 shows the CONSORT flow diagram of the trial. Of the 69 dyads screened for this study, 13 were excluded. Eight did not meet inclusion criteria, and five declined to participate. Therefore, 56 dyads were allocated to the experimental (n = 28) or control (n = 28) group. Forty-two dyads completed the intervention and post-test assessments and were included in the analysis.

There were no significant differences between completers and dropouts regarding any of the sociodemographic, clinical, or psychologic baseline characteristics (P > .05).

Baseline sociodemographic characteristics of patients and family members of both groups are provided in Table 2. No significant differences between groups were
noted in baseline characteristics, with the exception of family members’ marital status ($P = 0.037$).

**Adherence**

Patients and family members in the experimental group attended a mean of $11.1 \pm 0.9$ psychosocial support and education sessions, achieving an overall adherence rate of $92\% \pm 8.7\%$. In the control group, patients’ adherence to psychosocial support and education component was $90.8\% \pm 7.1\%$ (mean of $10.9 \pm 0.9$ sessions, $P = 0.626$). Attendance to exercise training sessions was similar in both groups, with rates of $82.1\% \pm 15.3\%$ and $83.4\% \pm 12\%$ ($P = 0.755$).

**Family Coping**

Figure 2 shows the results on family coping in patients and family members of the experimental and control groups. The magnitude of improvement in family coping in patients ($P = 0.048$, $\eta^2 = 0.091$) and family members ($P = 0.004$, $\eta^2 = 0.226$) of the experimental group exceeded the improvement of the control group (Fig 2).

After the intervention, patients ($P = 0.017$) and family members ($P = 0.047$) of both groups reported the use of more strategies of acquiring social support (Table 3). The coping strategies of reframing, seeking spiritual support, and mobilizing to acquire and accept help were more frequent in family members of the experimental group than in those of the control group ($P < 0.05$, $\eta^2$ from 0.149 to 0.255) (Table 3). The strategy mobilizing to acquire and accept help was also more used by patients of the experimental group than by those of the control group ($P = 0.028$, $\eta^2 = 0.117$) (Table 3).
TABLE 2 | Sample Characteristics at Baseline

| Characteristic                      | Patients                  | Family Members             |
|-------------------------------------|---------------------------|----------------------------|
|                                     | Experimental Group (n = 22)| Control Group (n = 20)     |
|                                     | Experimental Group (n = 22)| Control Group (n = 20)     |
|                                     | Age, y                    | 68.8 ± 7.3                 | 65.9 ± 13.4                 | 62.0 ± 10.5                 | 55.1 ± 12.4                 |
|                                     | Sex, male                 | 18 (81.8)                  | 10 (50)                     | 5 (23)                      | 7 (35)                      |
|                                     | Educational level         |                            |                            |                            |                            |
|                                     | Primary                   | 12 (54.5)                  | 7 (35)                      | 10 (45.4)                  | 6 (30)                      |
|                                     | Secondary                 | 6 (27.3)                   | 8 (40)                      | 4 (18.2)                   | 3 (15)                      |
|                                     | High school               | 3 (13.6)                   | 2 (10)                      | 4 (18.2)                   | 5 (25)                      |
|                                     | University                | 1 (4.5)                    | 3 (15)                      | 4 (18.2)                   | 6 (30)                      |
|                                     | Marital status            |                            |                            |                            |                            |
|                                     | Married/living as a couple | 20 (90.9)                  | 12 (60)                     | 20 (90.9)                  | 13 (65)                     |
|                                     | Widowed                   | 2 (9.1)                    | 6 (30)                      | 0                          | 0                            |
|                                     | Separated/divorced        | 0                          | 1 (5)                       | 1 (4.5)                    | 2 (10)                      |
|                                     | Single                    | 0                          | 1 (5)                       | 1 (4.5)                    | 5 (25)                      |
|                                     | Current occupation        |                            |                            |                            |                            |
|                                     | Retired                   | 19 (86.4)                  | 13 (65)                     | 14 (63.6)                  | 6 (30)                      |
|                                     | Employed                  | 2 (9.1)                    | 6 (30)                      | 6 (27.3)                   | 13 (65)                     |
|                                     | Unemployed                | 1 (4.5)                    | 1 (5)                       | 2 (9.1)                    | 1 (5)                       |
|                                     | BMI                       | 27.2 ± 4.6                 | 28.9 ± 5.5                  | ...                        | ...                         |
|                                     | mMRC questionnaire, median [interquartile range] | 1 [1, 2]                  | 1 [1, 2]                   | ...                        | ...                         |
|                                     | FEV₁, L                   | 1.74 ± 0.7                 | 1.79 ± 0.7                  | ...                        | ...                         |
|                                     | FEV₁ % predicted          | 67 ± 22.4                  | 74.3 ± 21.7                | ...                        | ...                         |
|                                     | FEV₁/FVC % predicted      | 62.8 ± 11.3                | 61 ± 13.1                  | ...                        | ...                         |
|                                     | GOLD grade                |                            |                            |                            |                            |
|                                     | Mild                      | 8 (36.4)                   | 8 (40)                      | ...                        | ...                         |
|                                     | Moderate                  | 7 (31.8)                   | 9 (45)                      | ...                        | ...                         |
|                                     | Severe to very severe     | 7 (31.8)                   | 3 (15)                      | ...                        | ...                         |
| Kin relationship with the patient  | Spouse                    | ...                        | ...                        | 18 (81.8)                  | 11 (55)                     |
|                                     | Son/daughter              | ...                        | ...                        | 3 (13.6)                   | 8 (40)                      |
|                                     | Other                     | ...                        | ...                        | 1 (4.5)                    | 1 (5)                       |
|                                     | Caregiving period, y      |                            |                            |                            |                            |
|                                     | <1                        | ...                        | ...                        | 1 (4.5)                    | 0                            |
|                                     | 1-2                       | ...                        | ...                        | 4 (18.2)                   | 7 (35)                      |
|                                     | 2-4                       | ...                        | ...                        | 17 (77.3)                  | 13 (65)                     |

Data are presented as mean ± SD or No. (%) unless otherwise indicated. GOLD = Global Initiative for Chronic Obstructive Lung Disease; mMRC = Modified British Medical Research Council.

**Psychosocial Adjustment to Illness**

The results of the psychosocial adjustment to illness are presented in Table 4. Patients and family members from both the experimental and control groups experienced improvements in psychosocial adjustment to COPD (P = .003 and P = .001), with no differences between groups (P = .454 and P = .252). Family members of the experimental group had significant changes in sexual relationships (P = .026, η² = 0.151) and in psychologic distress (P = .033, η² = 0.123) compared with family members of the control group.

**Patients’ Outcome Measures**

Both the experimental and control groups experienced significant improvements in exercise tolerance, functional
balance, knee extensors strength, and health-related quality of life after the intervention \( (P < .001; \eta^2 \text{ from } 0.228 \text{ to } 0.622) \), with no differences between groups \( (P > .05) \) (Table 5).

**Discussion**

To our knowledge, this is the first randomized controlled trial to investigate the impact of family-based PR on patients and family members’ coping strategies. The main findings indicate that integrating the family member in PR contributed to improve the coping strategies of the family to manage the disease, with further improvement in family members’ sexual functioning and psychologic distress. In addition, patients from both groups experienced significant improvements in exercise tolerance, functional balance, knee extensors strength, and health-related quality of life.

Living with COPD has been described as a psychologic distressing experience, which involves different coping efforts and affects relational dynamics. This is explained by the incapacitating nature of the disease characterized by stable periods alternated with periods of exacerbations, which leads to family having to deal with the uncertainty of exacerbation occurrence and with specific demands, such as monitoring health status and adherence to treatments. Although these impacts are greater as the disease progresses, families have expressed the need for more information about the disease and strategies for its management. However, this has been poorly valued by health professionals and researchers. This study has contributed to the current body of knowledge by showing that a family-based PR is effective in enhancing the coping strategies of all of those living with COPD. Specifically, patients and family members of the family-based PR made greater use of community resources to cope with their problems (external coping). Moreover, the improvement in family coping was more pronounced in family members than patients, namely in the strategies of reframing (internal coping) and seeking spiritual support (external coping). The ability to manage stressful events by redefining the event in more helpful terms and to obtain

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**TABLE 3** Family Coping in Patients and Family Members of the Experimental and Control Groups

| Measure                      | Experimental Group (n = 22) | Control Group (n = 20) | \( P \) Value, \( \text{Time} \) | \( P \) Value, \( \text{Interaction Time} \times \text{Group} \) | \( \eta^2 \) |
|------------------------------|-----------------------------|------------------------|---------------------------------|-------------------------------------------------|-----------|
| Patients: F-COPES            |                             |                        |                                 |                                                 |           |
| Global score                 | 91 ± 15.7                   | 102.2 ± 13.9           | 94.9 ± 19.1                     | 95.7 ± 18.2                                    | .030      | .048      | 0.091    |
| Acquiring social support     | 25.7 ± 7.7                  | 30.1 ± 7.6             | 26.2 ± 7.6                      | 27.6 ± 8.7                                     | .017      | .201      | 0.042    |
| Reframing                    | 31.4 ± 4.1                  | 32 ± 4.6               | 31.9 ± 5.2                      | 31.5 ± 4.5                                     | .903      | .533      | 0.010    |
| Seeking spiritual support    | 11.5 ± 4.5                  | 12 ± 4.6               | 11.9 ± 4.8                      | 12.7 ± 3.7                                     | .237      | .737      | 0.003    |
| Mobilizing to acquire and accept help | 10.5 ± 4.2                  | 13.6 ± 4.0             | 11.8 ± 3.9                      | 11.8 ± 4                                       | .034      | .028      | 0.117    |
| Passive appraisal            | 11.9 ± 2.3                  | 13 ± 2.3               | 13.2 ± 3.2                      | 12.2 ± 3.3                                     | .845      | .039      | 0.105    |
| Family members: F-COPES      |                             |                        |                                 |                                                 |           |
| Global score                 | 92.5 ± 12.6                 | 109.6 ± 11.3           | 94.1 ± 19.9                     | 95.2 ± 19.9                                    | .001      | .004      | 0.226    |
| Acquiring social support     | 28.9 ± 6.5                  | 32.3 ± 7.0             | 28.6 ± 8.9                      | 29.3 ± 7.8                                     | .047      | .181      | 0.051    |
| Reframing                    | 29.1 ± 4.6                  | 33.1 ± 3.9             | 31.7 ± 4.5                      | 10.8 ± 5.4                                     | .028      | .001      | 0.255    |
| Seeking spiritual support    | 11.7 ± 4.3                  | 14.2 ± 3.9             | 10.7 ± 5.2                      | 11.2 ± 5.8                                     | .001      | .011      | 0.160    |
| Mobilizing to acquire and accept help | 11.1 ± 3.0                  | 14.2 ± 3.6             | 12.3 ± 2.2                      | 13.0 ± 3.6                                     | .001      | .018      | 0.149    |
| Passive appraisal            | 12.8 ± 2.2                  | 10.8 ± 2.9             | 11.3 ± 4.1                      | 10.5 ± 4.1                                     | .002      | .164      | 0.054    |

Data are presented as mean ± SD. \( \eta^2 \) = partial \( \eta^2 \); F-COPES = Family Crisis Oriented Personal Scales.
Improvements in psychosocial morbidity among patients with COPD after PR have been previously reported. However, the present study also demonstrated that psychosocial support and education for the family contributed to improved psychologic adjustment to the disease and sexual functioning of the family member. These are important results, since these family members tend to lose intimacy and caring feelings for their partner, which are replaced by feelings of duty (because of marriage vows and societal expectations), thereby increasing their psychologic distress.

Patients and family members from both groups experienced improvements in their psychosocial adjustment to the disease. Improvements in psychosocial morbidity among patients with COPD after PR have been previously reported. However, the present study also demonstrated that psychosocial support and education for the family contributed to improved psychologic adjustment to the disease and sexual functioning of the family member. These are important results, since these family members tend to lose intimacy and caring feelings for their partner, which are replaced by feelings of duty (because of marriage vows and societal expectations), thereby increasing their psychologic distress.

### TABLE 4 | Psychosocial Adjustment to Illness in Patients and Family Members of the Experimental and Control Groups

| Measure                                      | Experimental Group (n = 22) | Control Group (n = 20) | P Value, Time | P Value, Interaction Time x Group | η²   |
|----------------------------------------------|----------------------------|------------------------|---------------|----------------------------------|------|
| Patients                                     |                            |                        |               |                                  |      |
| PAIS-SR global score*                        | 27.2 ± 19.4                | 23.6 ± 15.3            | 22.2 ± 11     | 16.4 ± 8.6                       | .003 | .454 | 0.015 |
| PAIS-SR global score*                        | 22.2 ± 9.7                 | 14.9 ± 5.9             | 21.4 ± 12.2   | 16.6 ± 9.2                       | .001 | .252 | 0.040 |
| Health-care orientation                      | 7.4 ± 3.7                  | 6.8 ± 4.1              | 7.6 ± 3.4     | 7.2 ± 3.6                        | .396 | .798 | 0.002 |
| Domestic environment                         | 5.3 ± 5.1                  | 4.8 ± 3.9              | 3.1 ± 2.8     | 2.3 ± 1.9                        | .236 | .660 | 0.007 |
| Sexual relationships                         | 4.3 ± 4.3                  | 4.2 ± 4.2              | 2.6 ± 3.5     | 2.4 ± 3.7                        | .561 | .888 | 0.001 |
| Extended relationships                        | 1.5 ± 2.6                  | 0.9 ± 1.4              | 1.3 ± 2.1     | 1 ± 1.5                          | .230 | .607 | 0.007 |
| Social environment                           | 4.3 ± 4                    | 2.9 ± 2.8              | 5.1 ± 4.7     | 2.7 ± 2.9                        | .001 | .325 | 0.025 |
| Psychologic distress                          | 4.7 ± 3                    | 3.8 ± 2.6              | 4.2 ± 3.5     | 3.1 ± 2.5                        | .010 | .729 | 0.003 |
| Family members                               |                            |                        |               |                                  |      |
| PAIS-SR global score*                        | 22.2 ± 9.7                 | 14.9 ± 5.9             | 21.4 ± 12.2   | 16.6 ± 9.2                       | .001 | .252 | 0.040 |
| Health-care orientation                      | 7.5 ± 3.1                  | 5.3 ± 2.5              | 8.9 ± 4.6     | 6.2 ± 3.9                        | .001 | .574 | 0.009 |
| Domestic environment                         | 2.3 ± 3.1                  | 2.0 ± 2.4              | 2.1 ± 2.9     | 1.2 ± 1.6                        | .097 | .377 | 0.034 |
| Sexual relationships                         | 3.4 ± 2.8                  | 1.8 ± 1.9              | 1.2 ± 2.8     | 0.8 ± 1.5                        | .001 | .026 | 0.151 |
| Extended relationships                        | 1.3 ± 2.1                  | 0.6 ± 1.1              | 1.2 ± 1.9     | 0.5 ± 1.6                        | .004 | .963 | 0.001 |
| Social environment                           | 3.1 ± 2.8                  | 2.3 ± 2.2              | 2.6 ± 3.5     | 2.3 ± 3.4                        | .099 | .442 | 0.016 |
| Psychologic distress                          | 4.2 ± 2.4                  | 2.7 ± 1.7              | 4.1 ± 2.8     | 3.6 ± 3.1                        | .001 | .033 | 0.123 |

Data are presented as mean ± SD. PAIS-SR = Psychosocial Adjustment to Illness Scale-Self Report. See Table 3 legend for expansion of other abbreviation.

*Samples of the experimental and control groups were unbalanced in the vocational environment domain, and, thus, results of this domain were not analyzed, nevertheless, they have been accounted for the global score.

### TABLE 5 | Outcome Measures of Patients in the Experimental and Control Groups

| Measure                               | Experimental Group (n = 22) | Control Group (n = 20) | P Value, Time | P Value, Interaction Time x Group | η²   |
|---------------------------------------|----------------------------|------------------------|---------------|----------------------------------|------|
| 6MWD, m                               | 409.6 ± 60.1               | 445.1 ± 100.4          | 397.4 ± 121.6 | 447.7 ± 124.6                    | <.001 | .430 | 0.016 |
| TUG, s                                | 7.5 ± 1.5                  | 6.5 ± 1.1              | 8 ± 2.3       | 7 ± 1.8                          | <.001 | .736 | 0.003 |
| 10-RM knee extensors, kg              | 4.5 ± 1.8                  | 6.6 ± 1.7              | 3.8 ± 1.8     | 6.4 ± 1.9                        | <.001 | .438 | 0.015 |
| SGRQ total score                      | 37.9 ± 18.2                | 31.4 ± 18.7            | 38.3 ± 17.9   | 29.7 ± 18.4                      | <.001 | .458 | 0.015 |
| Symptoms score                        | 51 ± 22.5                  | 40.3 ± 19.4            | 51.9 ± 17.8   | 37 ± 22.6                        | <.001 | .473 | 0.014 |
| Activities score                      | 53.2 ± 21.9                | 43.1 ± 23.8            | 51.7 ± 23.2   | 40.8 ± 26.3                      | <.001 | .864 | 0.001 |
| Impact score                          | 23.7 ± 19.5                | 18.9 ± 16.1            | 25.1 ± 19.2   | 20 ± 16.3                        | <.001 | .946 | 0.001 |

Data are presented as mean ± SD. 6MWD = 6-min walking distance; 10-RM = 10 repetition maximum; SGRQ = St. George’s Respiratory Questionnaire; TUG = Timed Up and Go. See Table 4 legend for expansion of other abbreviation.
Few studies have developed and evaluated interventions involving family members of patients with COPD, and only one has reported the experience of family members after participating in a multidisciplinary PR program. Positive results on understanding the disease, enhancing the relationship, and their coping strategies were reported up to 2 years after the program. However, family members were invited to participate in just one session and considered it somewhat insufficient to their needs. Participating in psychosocial support and education interventions has been found to increase the well-being of the family in other populations, such as cancer, schizophrenia, and psychosis. This study is innovative, as it extends these findings to the COPD population.

Although a greater improvement in patients’ functioning of the experimental group compared with those from the control group could be believed to be more compelling, differences between groups were not found. This was not unexpected, as similar exercise training was provided to both groups of patients, and family members from the experimental group were never directly encouraged to be facilitators of patients’ functioning. Future studies should explore whether other levels of family engagement in PR affect patients’ functioning, for example, by encouraging patient’s physical activities.

Some limitations need to be acknowledged. The main findings of this study were based on self-report instruments and may not represent actual changes in patients’ or family members’ behavior. Furthermore, two F-COPES subscales, namely mobilizing family to acquire and seek help (αf = 0.402) and passive appraisal (αp = 0.430), had slight low internal consistency, which may have interfered with the results. Future studies could use other self-reported instruments combined with qualitative methods. This randomized controlled trial was conducted with a small sample of each COPD grade; therefore, it was not possible to determine whether the severity of disease impacted on the outcome. It was also not possible to blind the outcome assessor, which could have influenced the results. Finally, long-term follow-up was not collected, which would strengthen these results. Therefore, it is currently unknown if these effects were sustained. Further research with longer follow-ups and with larger samples is necessary to investigate the short- and long-term effects of family-based PR on each COPD grade.

Conclusions

Family-based PR benefits the family by improving the coping strategies and the psychosocial adjustment to illness. To contribute to integrated care toward managing COPD, PR programs should consider actively involving the family system within the care delivery.
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