Confusing Sterile Neutrinos with Deviation from Tribimaximal Mixing at Neutrino Telescopes

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\textbf{ABSTRACT}

We expound the impact of extra sterile species on the ultra high energy neutrino fluxes in neutrino telescopes. We use three types of well-known flux ratios and compare the values of these flux ratios in presence of sterile neutrinos, with those predicted by deviation from the tribimaximal mixing scheme. We show that in the upcoming neutrino telescopes, it’s easy to confuse between the signature of sterile neutrinos with that of the deviation from tribimaximal mixing. We also show that if the measured flux ratios acquire a value well outside the range predicted by the standard scenario with three active neutrinos only, it might be possible to tell the presence of extra sterile neutrinos by observing ultra high energy neutrinos in future neutrino telescopes.

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1 Introduction

Cumulative effort through a series of experiments spanning more than four decades, has helped augment our understanding of the properties of neutrinos. That neutrinos have mass, mixing and hence flavor oscillations, has been firmly established. The picture emerging from the combined results of solar [1], atmospheric [2], reactor [3, 4], and accelerator [5, 6] neutrino experiments, is seen to be consistent with $\Delta m^2_{21} = 8 \times 10^{-5}$ eV$^2$ and $\Delta m^2_{31} = 2.5 \times 10^{-3}$ eV$^2$, and the so-called “tribimaximal mixing” [7] pattern for the neutrinos [8, 9]. In the tribimaximal (TBM) mixing scheme the mixing matrix has the form

$$U^{TBM} = \begin{pmatrix} \sqrt{\frac{2}{3}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{6}} & -\frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix}. \quad (1)$$

In this scenario, the atmospheric neutrino mixing angle $\theta_{23}$ is maximal ($\sin^2 \theta_{23} = 0.5$), the so-called Chooz mixing angle $\theta_{13} = 0$, while the solar neutrino mixing angle is such that $\sin^2 \theta_{12} = 1/3$, all consistent with the current global data set. Maximal $\theta_{23}$ and zero $\theta_{13}$ can be easily obtained by imposing discrete family symmetries such as the $\mu - \tau$ symmetry [10] or the $L_\mu - L_\tau$ symmetry [11]. While $\mu - \tau$ symmetry does not predict the neutrino masses and the solar mixing angle, a phenomenologically viable scenario which satisfies all neutrino data can be obtained within an approximate $L_\mu - L_\tau$ scheme [11].

The TBM mixing scheme will be put to stringent test with the next generation experiments involving neutrinos from the sun, atmosphere, reactors and accelerators. The mixing angle $\sin^2 \theta_{12}$ can be measured to less than 16% accuracy at $3\sigma$ using solar neutrinos and to 6% accuracy from a future $\sim 60$ km baseline SPMIN (Survival Probability MINimum) reactor experiment [12]. Another prospect for accurate determination of $\sin^2 \theta_{12}$ is to dope the Super-Kamiokande or even megaton water detectors with gadolinium [13]. The small and hitherto undetermined mixing angle $\theta_{13}$ will be probed in the up-coming reactor experiments [15], as well as in accelerator based experiments using beams from conventional sources [16], beams produced by decay of accelerated radioactive ions stored in rings (“Beta-Beams”) or beam from decay of accelerated muons stored in rings (“Neutrino Factory”) [18]. In principle, one of the best determination of $\theta_{13}$ could be possible from the neutrino signal of a future galactic supernova [19]. The deviation of $\theta_{23}$ from maximality and the sign of $D_{23} \equiv 0.5 - \sin^2 \theta_{23}$ can be experimentally checked in atmospheric neutrino experiments [14].

A very well known and interesting feature of the TBM mixing scheme arises in the context of the flavor ratios of ultra high energy neutrinos arriving on earth. Ultra high energy neutrinos are created mainly through decay of high energy pions produced in $pp$ and $p\gamma$ collisions. Hence their relative flavor content at the source is expected to be

$$\{ \phi^0_e : \phi^0_\mu : \phi^0_\tau \} \equiv \{ 1 : 2 : 0 \}, \quad (2)$$

We follow the convention where $\Delta m^2_{ij} = m^2_i - m^2_j$. \footnote{We follow the convention where $\Delta m^2_{ij} = m^2_i - m^2_j$.}
where $\phi_0^\alpha (\alpha \equiv e, \mu, \tau)$ are the fluxes at the source. Under the TBM scheme, due to the inherent $\mu - \tau$ symmetry, $\theta_{23} = 45^\circ$ and $\theta_{13} = 0$, and therefore one obtains the flux ratio at earth as [20]

$$\left\{ \phi_e : \phi_\mu : \phi_\tau \right\} \equiv \left\{ 1 : 1 : 1 \right\}. \quad (3)$$

Next generation km$^3$ neutrino telescopes such as the IceCube in Antarctica [21], Km3NET in the Mediterranean [22] are being especially designed and built to detect ultra high energy neutrinos coming from astrophysical sources. A lot of interest has been recently generated on the potential of using the observed flavor ratios of the ultra high energy neutrinos in neutrino telescopes in deciphering the predictions or deviation from tribimaximal mixing [23]. The key idea is that the flavor ratios are predicted to be one, as given by Eq. (3), only if $\theta_{13} = 0$ and $\theta_{23} = 45^\circ$, a prediction of TBM mixing. If the mixing matrix was to deviate slightly from the TBM prediction through a change in $\theta_{23}$ or $\theta_{13}$, we would see a difference in the flavor ratios, which could be used to pin down the extent of this deviation.

Here we study how the flavor ratios of ultra high energy neutrinos get affected if we have extra sterile neutrinos mixed with the three active ones. Sterile neutrinos have been the subject of much discussion recently, following the much-awaited MiniBooNE results [24]. The MiniBooNE experiment was designed to specifically test the observed signal at the LSND experiment [25], which can be explained most convincingly by neutrino oscillations. The MiniBooNE data seem to contradict the LSND signal, as they do not see the kind of electron excess predicted by the oscillation explanation of the LSND data sample. Since the claimed flavor oscillation observed by LSND demands a $\Delta m^2 \sim eV^2$, it cannot be accommodated along with the solar and atmospheric neutrino observations within a three-generation framework. Three separate $\Delta m^2$ scales can be possible if we have at least 4 neutrino states. Since the number of light active neutrinos are restricted to three from the decay width of the $Z$ bosons at LEP, the extra neutrinos have to be sterile. The most economical scenario, with only one extra sterile neutrino leads to the so-called 2+2 and 3+1 mass spectra for the neutrinos [26]. The 2+2 spectrum is heavily disfavored by the solar and atmospheric neutrino data [9]. The 3+1 mass spectrum though comparatively less disfavored before the MiniBooNE results, suffers from a tension in explaining simultaneously the observed oscillation signal in the LSND experiment and the null signals in the other short baseline experiments [27]. The full analysis of all short baseline data including the MiniBooNE results further disfavors the 3+1 scheme [28]. Adding two sterile neutrinos turns out to be the next option to reconcile the LSND observations with the rest of the world neutrino data. In this so-called 3+2 scheme [29] the tension between the LSND and MiniBooNE data is reduced if one allows for CP violation, and this mass spectra is found to be consistent with global neutrino oscillation results [28].

In this paper we look at the signatures of extra sterile neutrinos on the observed flavor ratios of ultra high energy neutrinos in neutrino telescopes. We look at the impact of sterile neutrinos on the flavor ratios vis-a-vis the impact due to deviation from TBM mixing. Firstly, for small active-sterile mixing, we elucidate the confusion between the two cases and identify the range of the mixing angles between the active and sterile neutrinos which would give flavor ratios close to the ones predicted by deviated TBM mixing scenarios. Next we show that large values of hitherto unconstrained mixing angles between the active and sterile neutrino species could lead to extreme
values for flavor ratios, providing smoking gun signal for the existence of light sterile neutrinos which are heavily mixed with the active neutrinos. Thus signal from neutrino telescopes could provide an independent check of the results from the short baseline experiments in general and LSND and MiniBooNE in particular.

We present all results in this paper in the framework of 3+1 scenario just for simplicity. It is absolutely straightforward to extend our results and conclusions to the 3+2 scenario. Here we have chosen not to work with the 3+2 mass spectra because that would entail many extra mixing angles, making the results look complicated. Therefore for the sake of illustration, we allow only two active sterile mixing angles to be non-zero in this work. In section 2 we give a brief discussion of the ultra high energy neutrino fluxes and the corresponding flux/flavor ratios. In section 3 the prediction from TBM mixing and the impact of deviation from TBM mixing in the framework of just three active neutrinos is reviewed. Section 4 gives the oscillation probabilities for three active and one extra sterile neutrino, while section 5 gives the corresponding flux ratios. In section 6 we present our numerical results. We end in section 7 with our conclusions.

2 Ultra high energy neutrino fluxes

Ultra high energy neutrinos are predicted from a number of astrophysical sources. The fireball model which has been put forward as the most plausible source for gamma ray bursts, are expected to produce ultra high energy neutrinos as well. Protons moving in the jets of the fireball are accelerated to very high energies. These highly accelerated protons undergo $pp$ (or $pn$) and $p\gamma$ collisions, producing pions. The pions produce neutrinos through their decay channel

\[ \pi^+ \rightarrow \mu^+ + \nu_\mu, \ \text{followed by} \ \mu^+ \rightarrow e^+ + \nu_e + \bar{\nu}_\mu \] (4)

and

\[ \pi^- \rightarrow \mu^- + \bar{\nu}_\mu, \ \text{followed by} \ \mu^- \rightarrow e^- + \bar{\nu}_e + \nu_\mu . \] (5)

It is expected that the $p\gamma$ reaction will predominantly produce $\pi^+$, while both $\pi^+$ and $\pi^-$ are expected from the $pp$ and $pn$ collisions. Therefore, for the $pp$ and $pn$ collisions we expect the flavor ratio

\[ \left\{ \phi^{0}_{\nu_e} : \phi^{0}_{\bar{\nu}_e} : \phi^{0}_{\nu_\mu} : \phi^{0}_{\bar{\nu}_\mu} : \phi^{0}_{\nu_\tau} : \phi^{0}_{\bar{\nu}_\tau} : \right\} \equiv \left\{ 1 : 1 : 2 : 2 : 0 : 0 \right\}, \] (6)

where $\phi^{0}_{\nu_\alpha}$ and $\phi^{0}_{\bar{\nu}_\alpha}$ are the neutrino and antineutrino fluxes respectively, of flavor $\alpha$. For $p\gamma$ collisions on the other hand, we expect

\[ \left\{ \phi^{0}_{\nu_e} : \phi^{0}_{\bar{\nu}_e} : \phi^{0}_{\nu_\mu} : \phi^{0}_{\bar{\nu}_\mu} : \phi^{0}_{\nu_\tau} : \phi^{0}_{\bar{\nu}_\tau} : \right\} \equiv \left\{ 1 : 0 : 1 : 1 : 0 : 0 \right\}. \] (7)

Though apparently it looks that the flux ratio for the two dominant channels of ultra high energy neutrinos are different, the neutrino telescopes will not have sensitivity to the charge of the resultant leptons and hence in general are not expected to be able to distinguish the neutrino of
a given flavor from its antineutrino. Only when the Glashow resonance ($\bar{\nu}_e e \rightarrow W^- \rightarrow \text{anything}$) is the detection channel, $\bar{\nu}_e$ is unambiguously detected and it is possible to measure the $\nu_e$ and $\bar{\nu}_e$ signal separately. However, this occurs only over a small energy window $E = m_W^2/2m_e = 6.3$ PeV, where $m_W$ and $m_e$ are the $W$ and $e$ mass respectively. In what follows, we will consider the sum of the neutrino and antineutrino signal at the neutrino telescope and in general refer to the flavor ratio as

$$\left\{ \phi_\alpha^0 : \phi_\beta^0 : \phi_\tau^0 \right\} \equiv \left\{ 1 : 2 : 0 \right\},$$

where $\phi_\alpha \equiv \phi_{\nu_\alpha} + \phi_{\bar{\nu}_\alpha}$. If the ultra high energy neutrinos come from a neutron source, then the flux ratio is expected to be

$$\left\{ \phi_{\nu_e}^0 : \phi_{\nu_\mu}^0 : \phi_{\nu_\tau}^0 : \phi_{\bar{\nu}_e}^0 : \phi_{\bar{\nu}_\mu}^0 : \phi_{\bar{\nu}_\tau}^0 \right\} \equiv \left\{ 0 : 1 : 0 : 0 : 0 : 0 \right\},$$

while for a muon damped source where the secondary muon is trapped and hence does not decay, it would be

$$\left\{ \phi_{\nu_e}^0 : \phi_{\nu_\mu}^0 : \phi_{\nu_\tau}^0 : \phi_{\bar{\nu}_e}^0 : \phi_{\bar{\nu}_\mu}^0 : \phi_{\bar{\nu}_\tau}^0 \right\} \equiv \left\{ 0 : 0 : 1 : 1 : 0 : 0 \right\}.$$

However, in this paper we will assume that only pions are the sources of ultra high energy neutrinos and work with the flavor ratio given in Eq. (8).

Since the absolute flux predictions for the ultra high energy neutrinos could be uncertain by a huge amount, it is better to work with ratios of the fluxes. In this paper we exemplify the predictions of deviation from TBM mixing, and the impact of an additional sterile neutrino, using the following flux ratios:

$$R_e = \frac{\phi_e}{\phi_\mu + \phi_\tau}, \quad R_\mu = \frac{\phi_\mu}{\phi_e + \phi_\tau}, \quad R_\tau = \frac{\phi_\tau}{\phi_e + \phi_\mu},$$

(11)

where $\phi_e$, $\phi_\mu$ and $\phi_\tau$ respectively are the $\nu_e + \bar{\nu}_e$, $\nu_\mu + \bar{\nu}_\mu$ and $\nu_\tau + \bar{\nu}_\tau$ fluxes at earth after oscillations. Written in terms of the oscillation probabilities:

$$R_e = \frac{P_{ee} + 2P_{e\mu}}{2P_{\mu\mu} + P_{\mu\mu} + P_{ee} + P_{e\tau} + 2P_{e\tau}}, \quad R_\mu = \frac{2P_{\mu\mu} + P_{e\mu}}{P_{ee} + 2P_{e\mu} + P_{e\tau} + 2P_{e\tau}}, \quad R_\tau = \frac{2P_{e\tau} + P_{e\tau}}{P_{ee} + 2P_{e\mu} + 2P_{\mu\mu} + P_{e\mu}},$$

(12)

where we have used $P_{e\mu} = P_{\mu e}$, since there is no chance of CP violation here. For the case for three active neutrinos only the flux ratios are given by

$$R_e = \frac{1 + (P_{e\mu} - P_{e\tau})}{2 - (P_{e\mu} - P_{e\tau})}, \quad R_\mu = \frac{2 - (P_{e\mu} + 2P_{e\tau})}{1 + (P_{e\mu} + 2P_{e\tau})}, \quad R_\tau = \frac{P_{e\tau} + 2P_{e\tau}}{3 - (P_{e\tau} + 2P_{e\tau})},$$

(13)

where $P_{\alpha\beta}$ is the $\nu_\alpha \rightarrow \nu_\beta$ oscillation probability discussed in the following sections. For the case where we have one extra sterile neutrino in addition to the three active ones, the flux ratios can be written as

$$R_e = \frac{1 + (P_{e\mu} - P_{e\tau}) - P_{es}}{2 - (P_{e\mu} - P_{e\tau}) - 2P_{es}}, \quad R_\mu = \frac{2 - (P_{e\mu} + 2P_{e\tau}) - 2P_{\mu s}}{1 + (P_{e\mu} + 2P_{e\tau}) - P_{es}}, \quad R_\tau = \frac{P_{e\tau} + 2P_{e\tau}}{3 - (P_{e\tau} + 2P_{e\tau}) - P_{es} - 2P_{\mu s}},$$

where $P_{es}$ and $P_{\mu s}$ are the $\nu_e \rightarrow \nu_s$ and $\nu_\mu \rightarrow \nu_s$ oscillation probabilities respectively. However, we prefer to express the flux ratios in the sterile case by the most general form given by Eq. (12).
3 Oscillation probabilities and flux ratios in TBM mixing

For neutrinos coming from astrophysical sources the oscillation probabilities can be written in general as
\[ P_{\alpha\beta} = \frac{\delta_{\alpha\beta}}{2} - \sum_{i \neq j} U_{\alpha i} U_{\beta i}^* U_{\alpha j} U_{\beta j}^* \]
\[ = \sum_i |U_{\alpha i}|^2 |U_{\beta i}|^2, \quad (14) \]

where \( i, j \) and \( \alpha, \beta \) are the generation indices of the mass basis and flavor basis respectively. The oscillatory terms, \( \sin^2(\Delta m^2_{ij}L/4E) \), have averaged out to \( 1/2 \) and hence the probabilities depend only on the elements of the mixing matrix. The neutrino mixing matrix for three active neutrinos can be parameterized as \[ U = \begin{pmatrix} C_{12}C_{13} & S_{12}C_{13} & S_{13}e^{-i\delta} \\ -S_{12}C_{23} - C_{12}S_{23}S_{13}e^{i\delta} & C_{12}C_{23} - S_{12}S_{23}S_{13}e^{i\delta} & S_{23}C_{13} \\ S_{12}S_{23} - C_{12}C_{23}S_{13}e^{i\delta} & -C_{12}S_{23} - S_{12}C_{23}S_{13}e^{i\delta} & C_{23}C_{13} \end{pmatrix} \quad (15) \]

where \( C_{ij} = \cos \theta_{ij} \) and \( S_{ij} = \sin \theta_{ij} \), and \( \delta \) the CP phase. Under the tribimaximal mixing ansatz, \( S_{12}^2 = 1/3, S_{23}^2 = 1/2 \) and \( S_{13}^2 = 0 \), hence Eq. (15) reduces to the form given by Eq. (1). The oscillation probabilities therefore have an extremely simple form and are given as
\[ P^{TBM}_{ee} = \frac{5}{9}, \quad P^{TBM}_{\mu\mu} = \frac{7}{18}, \quad P^{TBM}_{e\mu} = \frac{2}{9}, \quad P^{TBM}_{\mu\nu} = \frac{2}{9}, \quad P^{TBM}_{\tau\tau} = \frac{7}{18} \quad (16) \]

The effect of the \( \mu - \tau \) symmetry in-built in TBM mixing is clearly reflected by \( P^{TBM}_{\mu\mu} = P^{TBM}_{\mu\tau} \) and \( P^{TBM}_{e\mu} = P^{TBM}_{e\tau} \) in Eq. (16). Therefore, the flux ratios defined in the previous section are
\[ R^{TBM}_e = R^{TBM}_\mu = R^{TBM}_\tau = \frac{1}{2}. \quad (17) \]

Any deviation from TBM mixing in the three active neutrino case will be reflected in these flux ratios, which will deviate from \( 1/2 \). We calculate the probabilities using Eqs. (14) and (15) for the case where mixing angles are different from those predicted by TBM mixing and present the results for the flux ratios using Eq. (13).

4 Oscillation probabilities with sterile neutrinos

In what follows, we will work in a framework where we include one extra sterile neutrino in addition to the three active ones and parameterize the \( 4 \times 4 \) mixing matrix as
\[ U_s = R(\theta_{34})R(\theta_{24})R(\theta_{23})R(\theta_{14})R(\theta_{13})R(\theta_{12}) \quad (18) \]

where \( R(\theta_{ij}) \) are the rotation matrices and \( \theta_{ij} \) the mixing angle. Note that we have put all phases to zero in Eq. (18). In general for the \( 3+1 \) scenario there are \( 3 \) CP violating Dirac phases. However, in this paper we have neglected the effect of the CP violating phases for simplicity.
4.1 TBM mixing with sterile neutrinos

If we assume that the mixing angles $\theta_{12}$, $\theta_{13}$ and $\theta_{23}$ follow the same values as in TBM mixing, i.e., $\sin^2 \theta_{12} = 1/3$, $\sin^2 \theta_{13} = 0$ and $\sin^2 \theta_{23} = 1/2$, and we further assume that the mixing angle $\theta_{14} = 0$, then the $4 \times 4$ mixing matrix $U_s$ is given by

$$U_s = \begin{pmatrix}
\frac{\sqrt{2}}{2} & \frac{\sqrt{2}}{2} C_{24} & 0 & 0 \\
-\frac{\sqrt{1}}{2} & \frac{\sqrt{1}}{2} C_{24} & \sqrt{\frac{2}{3}} C_{24} & S_{24} \\
\frac{1}{\sqrt{6}} [C_{34} + S_{24} S_{34}] & -\frac{\sqrt{1}}{3} [C_{34} + S_{24} S_{34}] & \sqrt{\frac{1}{3}} [C_{34} - S_{24} S_{34}] & C_{24} S_{34} \\
\frac{1}{\sqrt{6}} [C_{34} S_{24} - S_{34}] & -\frac{1}{3} [C_{34} S_{24} - S_{34}] & -\sqrt{\frac{1}{2}} [C_{34} S_{24} + S_{34}] & C_{24} S_{34}
\end{pmatrix}.$$ \hspace{1cm} (19)

Using Eq. (14) we get the oscillation probabilities:

$$P_{ee} = 1 - 2 U_{e2}^2 U_{e1}^2 ,$$
\[= \frac{5}{9} , \hspace{1cm} (20)\]

$$P_{e\mu} = -2 U_{e2} U_{e1} U_{\mu1} U_{\mu2} ,$$
\[= \frac{2}{9} C_{24}^2 , \hspace{1cm} (21)\]

$$P_{\mu\mu} = 1 - 2 U_{\mu2}^2 U_{\mu1}^2 - 2 U_{\mu2}^2 (U_{\mu2}^2 + U_{\mu1}^2) - 2 U_{\mu4}^2 (1 - U_{\mu4}^2) ,$$
\[= 1 - 2 C_{24}^2 + \frac{25}{18} C_{24}^4 , \hspace{1cm} (22)\]

$$P_{e\tau} = -2 U_{e2} U_{e1} U_{\tau2} U_{\tau1} ,$$
\[= \frac{2}{9} (C_{34} + S_{24} S_{34})^2 , \hspace{1cm} (23)\]

$$P_{\mu\tau} = -2 U_{\mu2} U_{\mu1} U_{\tau2} U_{\tau1} - 2 U_{\mu3} U_{\tau3} (U_{\mu2} U_{\tau2} + U_{\mu1} U_{\tau1}) + 2 U_{\mu4} U_{\tau4}^2 ,$$
\[= \frac{1}{18} \left(7 C_{24}^2 C_{34}^2 + 25 C_{24}^2 S_{24} S_{34}^2 - 4 C_{24}^2 C_{34} S_{24} S_{34} \right) . \hspace{1cm} (24)\]

4.2 Deviation from TBM mixing and sterile neutrinos

If the mixing angles $\theta_{12}$, $\theta_{13}$ and $\theta_{23}$ are different from that predicted by the exact TBM ansatz, then one has to use the expression for the full $U_s$ given by Eq. (18). For simplicity we use just one case where the mixing in the $3 \times 3$ active sector could deviate from TBM, viz, the case where
the mixing angle $\theta_{23}$ is non-maximal. For this case the matrix $U_s$ is given by

$$U_s = \begin{pmatrix}
\frac{\sqrt{2}}{\sqrt{3}} & \frac{\sqrt{2}}{\sqrt{3}} & 0 & 0 \\
-\frac{\sqrt{2}}{\sqrt{3}}C_{23}C_{24} & \frac{\sqrt{2}}{\sqrt{3}}C_{23}C_{24} & S_{23}C_{24} & S_{24} \\
\sqrt{\frac{1}{3}}[S_{23}C_{34} + C_{23}S_{24}S_{34}] & -\sqrt{\frac{1}{3}}[S_{23}C_{34} + C_{23}S_{24}S_{34}] & [C_{23}C_{34} - S_{23}S_{24}S_{34}] & C_{24}S_{34} \\
\sqrt{\frac{1}{3}}[C_{23}C_{34}S_{24} - S_{23}S_{34}] & -\sqrt{\frac{1}{3}}[C_{23}C_{34}S_{24} - S_{23}S_{34}] & -[C_{34}S_{23}S_{24} + C_{23}S_{34}] & C_{24}S_{34}
\end{pmatrix}, \quad (25)
$$

and the oscillation probabilities are

$$P_{ee} = \frac{5}{9}, \quad \text{(26)}$$

$$P_{\mu\mu} = \frac{4}{9}C_{23}^2C_{24}^2, \quad \text{(27)}$$

$$P_{\mu\tau} = 1 - \frac{4}{9}C_{23}^4C_{24}^4 - 2C_{24}^4S_{23}^2C_{23}^2 - 2S_{24}^2C_{24}^2, \quad \text{(28)}$$

$$P_{e\tau} = \frac{4}{9}(C_{34}S_{23} + S_{24}S_{34}C_{23})^2, \quad \text{(29)}$$

$$P_{\mu\tau} = \frac{1}{36}C_{24}^2\left[56C_{25}^2C_{34}^2S_{23}^2 + S_{24}\{(57 - 8C_{23})C_{24}S_{24}^2 + 2(-2 + 7C_{23})S_{23}^2S_{34}\}\right]. \quad \text{(30)}$$

where $C_{2ij} = \cos 2\theta_{ij}$ and $S_{2ij} = \sin 2\theta_{ij}$. In this paper we will mainly discuss the deviation from TBM mixing by changing $\sin^2 \theta_{23}$ from its maximal value. The TBM mixing ansatz is also violated if either $\sin^2 \theta_{13} \neq 0$ or $\sin^2 \theta_{12} \neq 1/3$. We will present one plot where we show the flux ratios as a function of $\sin^2 \theta_{13}$. We will see that the effect of deviation of the flux ratios from the TBM predicted value of 1/2 due to $\sin^2 \theta_{13}$ is very small compared to the change due to $\sin^2 \theta_{23}$. We therefore keep $\sin^2 \theta_{13}$ fixed at zero for the results predicted by the sterile case in this paper.\footnote{Since $\sin^2 \theta_{13} = 0$ the effect of the normal CP phase is also completely absent.}

Therefore for all results with sterile neutrinos, we will keep $\sin^2 \theta_{13} = 0$ and $\sin^2 \theta_{12} = 1/3$ for simplicity and allow only $\sin^2 \theta_{23}$ to deviate from maximality.

## 5 Flux ratios with sterile neutrinos

As discussed before, flux ratios for ultra high energy neutrinos are the best model independent probes for understanding neutrino properties. If we consider the case where the only deviation for TBM mixing comes from $\theta_{23}$ being non-maximal, while $\sin^2 \theta_{12} = 1/3$ and $\theta_{13} = 0$, and continue to work under the approximation that $\theta_{14} = 0$, then the flux ratios $R_e$, $R_\mu$ and $R_\tau$ are given as

$$R_e = \frac{5 + 8C_{24}^2C_{23}^2}{R_e^{\text{denom}}}, \quad \text{(31)}$$

where,

$$R_e^{\text{denom}} = (18 + 4C_{24}^2C_{23}^2 - 8C_{23}^2C_{24}^4 - 36C_{21}^4S_{23}^2C_{23}^2 - 36S_{24}^2C_{24}^2 + 4(C_{34}S_{23} + S_{24}S_{34}C_{23})^2 \\
- 8C_{24}^2C_{23}^2(C_{34}S_{23} + S_{34}S_{24}C_{23})^2 + 36C_{24}^2S_{23}C_{23}(C_{34}C_{23} - S_{23}S_{24}S_{34})(S_{24}S_{34}C_{23} + S_{23}C_{34}) \\
+ 36S_{24}^2S_{34}^2C_{24}^2). \quad \text{(32)}$$
\[ R_\mu = \frac{18 + 4C_2^2 C_2^4 - 8C_3^4 C_3^4 - 9C_4^2 S_2^2 - 9S_2^2}{R_{\mu}^{\text{denom}}}, \] (33)

where,

\[ R_{\mu}^{\text{denom}} = 5 + 8C_2^2 C_2^4 + 4(C_3^4 S_3 - S_2^4 S_3^4 C_3) + 9S_3^2 S_2^2 - 8C_3^2 C_3^4 (C_3^4 S_3 + S_2^4 S_3 C_3)^2 \]
\[ + 18C_2^2 S_2^2 (C_3^4 C_3 - S_2^4 S_3^4) (S_2^4 S_3^4 C_3 + S_3^4 C_3), \] (34)

and

\[ R_\tau = \frac{R_\mu^{\text{num}}}{23 + 12C_2^2 C_2^4 - 8C_4^2 C_4^4 - 9C_4^2 S_2^2 - 9S_2^2}, \] (35)

where,

\[ R_\mu^{\text{num}} = 4(C_3^4 S_3 + S_3^4 S_2^4 C_3)^2 + 9S_3^2 S_2^2 - 8C_3^2 C_3^4 (C_3^4 S_3 + S_3^4 S_2^4 C_3)^2 \]
\[ + 18C_2^2 S_2^2 (C_3^4 C_3 - S_2^4 S_3^4) (S_2^4 S_3^4 C_3 + S_3^4 C_3). \] (36)

### 5.1 Flux ratios when \( \theta_{24} = 0 \)

If we work in a further simplified scenario where the only non-zero sterile mixing angle is the angle \( \theta_{34} \) and put both \( \theta_{14} = 0 \) and \( \theta_{24} = 0 \), then the flux ratios are given as

\[ R_e = \frac{5 + 8C_2^2}{22 - 4S_2^2 S_2^4 - 8C_2^4 - 36S_2^4 C_2^4 + 28S_2^2 C_2^2 C_2^4}, \] (37)

\[ R_\mu = \frac{18 + 4C_2^2 - 8C_2^4 - 9S_2^2}{5 + 8C_2^2 + 4C_2^4 S_2^2 + 7S_2^2 C_2^4}, \] (38)

\[ R_\tau = \frac{4C_2^2 S_2^2}{23 - 24C_2^2 S_2^2 + 4C_2^4}. \] (39)

### 5.2 Flux ratios when \( \theta_{34} = 0 \)

If instead of putting \( \theta_{24} = 0 \), we put \( \theta_{34} = 0 \) along with \( \theta_{14} = 0 \) then the flux ratios are

\[ R_e = \frac{5 + 8C_2^2 S_2^2}{22 - 4C_2^2 - 36C_2^4 S_2^4 + 32C_2^2 C_2^4 - 28C_2^2 S_2^2 C_2^4 - 36C_2^4 C_2^4}, \] (40)

\[ R_\mu = \frac{18 + 4C_2^2 C_2^4 - 8C_2^4 C_2^4 - 9C_2^4 S_2^2 - 9S_2^2}{9 - 28C_2^2 C_2^4 + 4C_2^4 + 36C_2^2 C_2^4}, \] (41)
\[ R_e = \frac{4S_{23}^2 + 28C_{24}^2C_{23}^2S_{23}^2}{23 + 12C_{23}^2C_{24}^2 - 8C_{24}^4C_{23}^2 - 9C_{24}^2S_{23}^2 - 9S_{24}^2} . \]  

(42)

Since the constraints from the short baseline experiments restrict \( \theta_{24} \) to very small values, we can expand the flux ratios in a Taylor series and keep only the first order terms in \( \sin^2 \theta_{24} \), giving

\[ R_e \simeq \frac{5 + 8C_{24}^2C_{23}^2}{22 - 8C_{23}^2 - 36S_{24}^2 + 12C_{23}^4S_{24}^2 + 10S_{23}^2S_{24}^2} , \]  

(43)

\[ R_\mu \simeq \frac{(18 - 32C_{23}^2 + 28C_{24}^4) - 4S_{24}^2(9 - 17C_{23}^2 + 14C_{24}^2)}{9 - 28C_{24}^2C_{23}^4 - 4C_{23}^2 + 36C_{24}^2C_{23}^2} , \]  

(44)

\[ R_\tau \simeq \frac{4S_{23}^2 + 28C_{24}^2C_{23}^2S_{23}^2}{(23 - 24C_{23}^2 + 28C_{24}^4) - 4S_{24}^2(9 - 15C_{23}^2 + 14C_{24}^2)} . \]  

(45)

### 6 Results

The mixing angles for both active-active and active-sterile mixing are constrained by the results of the neutrino oscillation experiments [1, 2, 3, 4, 5, 6, 25, 27]. The current 3\( \sigma \) limits on \( \theta_{12}, \theta_{23} \) and \( \theta_{13} \) are [8, 9]

\[ \sin^2 \theta_{12} < 0.39 , \]  

(46)

\[ \sin^2 2\theta_{23} > 0.9 , \]  

(47)

\[ \sin^2 \theta_{13} < 0.044 . \]  

(48)

For the parameterization given by Eq. (18) which we use for the mixing matrix corresponding to the 3+1 scenario, the mixing angles governing the active-sterile mixing are \( \theta_{14}, \theta_{24} \) and \( \theta_{34} \). The mixing angles \( \theta_{14} \) and \( \theta_{24} \) are severely constrained by LSND [25] and other short baseline data [24, 27]. We refer the reader to [28] for the most up-to-date analysis of the short baseline experiments. In what follows, we will present all our results for

\[ \sin^2 \theta_{14} = 0 \]  

(49)

and for very small values of \( \sin^2 \theta_{24} \). Both these mixing angles are severely constrained by the current data. However, the mixing angle \( \theta_{34} \) does not appear in either \( P_{ee} \) or \( P_{e\mu} \) which are probed by most of the short baseline experiments like Bugey, CDHS, KARMEN, LSND and MiniBooNE. This mixing angle appears in the \( P_{\mu\tau} \) and \( P_{e\tau} \) channels. These channels were probed by the Chorus and NOMAD experiments at CERN. However, in the mass square difference range relevant for LSND, the constraint on the mixing angle \( \theta_{34} \) are extremely weak. We will therefore allow \( \sin^2 \theta_{34} \) to take all possible values. We reiterate that the 3+1 mass spectrum for the neutrinos is now comprehensively disfavored after the release of the MiniBooNE results. Our choice of still using it as an exemplary case stems purely from keeping the discussion simple. Our choice of taking \( \theta_{14} = 0 \) is also due to the same reason. In fact, we would have a similar situation even if we had taken the 3+2 mass scheme and kept all angles except \( \theta_{24} \) and \( \theta_{34} \) non-zero.
Figure 1: The flux ratios $R_e$, $R_\mu$ and $R_\tau$ versus $\sin^2 \theta_{23}$, for three active neutrinos. The yellow (light) bands correspond to allowed ranges of the flux ratios corresponding to all currently $3\sigma$ allowed values of $\sin^2 \theta_{12}$ and $\sin^2 \theta_{13}$ and where $\cos \delta$ is kept fixed as 1. The deep green (dark) bands show the corresponding allowed values where $\sin^2 \theta_{12}$ and $\sin^2 \theta_{13}$ vary in their current $3\sigma$ allowed ranges and $\cos \delta$ is allowed to vary between $-1$ and 1. The upper (lower) boundaries of the yellow and green bands almost coincide with each other in the left (middle and right) panels.

6.1 Three active neutrinos and deviation from TBM mixing

In Fig. 1 we show the flux ratios as a function of $\sin^2 \theta_{23}$ for three active neutrinos. The blue dashed lines show the case where $\sin^2 \theta_{12}$ and $\sin^2 \theta_{13}$ are kept fixed at their TBM values of $1/3$ and 0 respectively. We see that for this case, $R_e$ varies between [0.437-0.569] as $\sin^2 \theta_{23}$ changes from [0.4-0.6]. Correspondingly, $R_\mu$ and $R_\tau$ vary between [0.488-0.558] and [0.446-0.511] respectively. We note that $R_e$ depends sharply on $\sin^2 \theta_{23}$ and decreases linearly as the value of $\sin^2 \theta_{23}$ increases. Both $R_\mu$ and $R_\tau$ show a non-linear increase as $\sin^2 \theta_{23}$ increases. $R_\mu$ increases faster when $\sin^2 \theta_{23} > 0.5$, while $R_\tau$ shows a sharper dependence when $\sin^2 \theta_{23} < 0.5$. This means that $R_e$ is a good probe of deviation of $\theta_{23}$ from maximality and its octant for all values of $\sin^2 \theta_{23}$, while $R_\mu$ is good only for $\sin^2 \theta_{23} > 0.5$ and $R_\tau$ for $\sin^2 \theta_{23} < 0.5$. If we allow even $\sin^2 \theta_{12}$ and $\sin^2 \theta_{13}$ to deviate from their TBM mixing values and vary freely within their current $3\sigma$ ranges keeping $\delta = 0$ fixed, then we obtain the range of flux ratios shown by the yellow (light) bands in Fig. 1. If the CP phase $\delta$ is also allowed to take all possible values then the results obtained are shown by the green (dark) bands in Fig. 1. We see that the allowed range of $R_e$, $R_\mu$ and $R_\tau$ for the currently allowed $3\sigma$ range of $\sin^2 \theta_{23}$ between [0.34-0.66] are respectively

$$0.378 \leq R_e \leq 0.645,$$
Figure 2: The flux ratios $R_e$, $R_\mu$ and $R_\tau$ versus $\sin^2 \theta_{13}$, for three active neutrinos and when $\sin^2 \theta_{12} = 1/3$, $\sin^2 \theta_{23} = 1/2$. The black dotted lines corresponds to $\cos \delta = 1$, the red solid lines to $\cos \delta = 0$ and the green dashed lines to $\cos \delta = -1$.

$$0.476 \leq R_\mu \leq 0.644,$$
$$0.379 \leq R_\tau \leq 0.524.$$

where we allow $\sin^2 \theta_{12}$ and $\sin^2 \theta_{13}$ to vary within their current $3\sigma$ allowed values and $\delta$ over its full range. If we had three active neutrinos only, since we know that $\sin^2 2\theta_{23} > 0.9$ at $3\sigma$, the flux ratios must be restricted within the bounds given by Eq. (50). If we measure a flux ratio outside these ranges in the future neutrino telescopes, then that would be a certain indication of new physics and in particular as we will see in the next subsection, of presence of extra sterile neutrinos mixed with the active ones.

In Fig. 2 we show the flux ratios as a function of $\sin^2 \theta_{13}$, keeping $\sin^2 \theta_{12}$ and $\sin^2 \theta_{23}$ at their TBM values. The black dotted lines in this figure show the case for $\cos \delta = 1$, the red solid lines for $\cos \delta = 0$ and green dashed line for $\cos \delta = -1$. A comparison of Fig. 2 with Fig. 1 shows that the impact of changing $\sin^2 \theta_{23}$ from its TBM value of $1/2$ is much larger on the flux ratios than changing $\sin^2 \theta_{13}$ from its TBM value of $0$. Therefore, in the rest of the paper we only show the effect of deviation from TBM mixing by changing $\sin^2 \theta_{23}$ from $1/2$ and keep $\sin^2 \theta_{13}$ fixed at 0.

### 6.2 Impact of sterile neutrinos

For the sake of illustration we begin by presenting results for the case where the active-sterile mixing angles $\sin^2 \theta_{14} = 0$ and $\sin^2 \theta_{24} = 0$. For the active-active mixing angles we take $\sin^2 \theta_{12}$ and $\sin^2 \theta_{13}$ at their TBM values of $1/3$ and $0$ respectively and allow only $\sin^2 \theta_{23}$ to vary on both sides of its TBM value of $1/2$. In other words, deviation from TBM mixing is caused by
Figure 3: Contour bands of the flux ratios $R_e$, $R_\mu$ and $R_\tau$ in the $\sin^2 \theta_{23} - \sin^2 \theta_{34}$ plane. The color scheme corresponds to flux ratios increasing with red, orange, yellow, green and so on. The $R_e$ bands increase from 0.44 to 1.1 in steps of 0.066, the $R_\mu$ bands increase from 0.49 to 1.18 in steps of 0.069, while the $R_e$ bands increase from 0.00 to 0.51 in steps of 0.051. We have kept $\theta_{14} = 0 = \theta_{24}$ and all other mixing angles at their TBM mixing values.

Figure 4: Panel (a) shows the contours in the $\sin^2 2\theta_{23} - \sin^2 \theta_{34}$ plane which satisfy the corresponding condition given by Eq. (51). Each point on a given line gives the value of $\sin^2 \theta_{34}$ which along with TBM in active part would give the same flux ratio as three active neutrinos but with that value for $\sin^2 2\theta_{23}$. Panel (b) gives the corresponding contours in the $\sin^2 2\theta_{23} - \sin^2 \theta_{24}$ plane, showing the condition Eq. (55).
a non-maximal $\theta_{23}$, while presence of sterile neutrino and its impact comes through the non-zero mixing angle $\theta_{34}$.

In Fig. 3 we show the contour bands of the flux ratios $R_e$, $R_\mu$ and $R_\tau$ in the $\sin^2 \theta_{23}$-$\sin^2 \theta_{34}$ plane. The color scheme corresponds to flux ratios increasing with red, orange, yellow, green and so on. The $R_e$ bands increase from 0.44 (lower right-hand corner) to 1.1 (upper left-hand corner) in steps of 0.066, the $R_\mu$ bands increase from 0.49 (lower left-hand corner) to 1.18 (upper right-hand corner) in steps of 0.069, while the $R_\tau$ bands increase from 0.00 (top) to 0.51 (bottom) in steps of 0.051. As noted in the previous subsection, we see from the figure that $R_e$ has a very sharp dependence on $\sin^2 \theta_{23}$ and its value decreases as $\sin^2 \theta_{23}$ increases. $R_\mu$ shows a milder dependence and its value increases with $\sin^2 \theta_{23}$, while $R_\tau$ is almost independent of the value of $\sin^2 \theta_{23}$ for $\sin^2 \theta_{23} > 0.5$ and shows an extremely mild dependence for $\sin^2 \theta_{23} < 0.5$. All flux ratios depend strongly on the value of $\sin^2 \theta_{34}$. The flux ratios $R_e$ and $R_\mu$ increase as $\sin^2 \theta_{34}$ increases, while $R_\tau$ decreases. This can be seen also from Eqs. (37), (38) and (39), where $\sin^2 \theta_{34}$ decreases the denominators of $R_e$ and $R_\mu$, while it decreases the numerator of $R_\tau$. The figure also shows that the dependence of $R_\tau$ on $\sin^2 \theta_{34}$ is linear, while that of $R_e$ and $R_\mu$ are non-linear. This is corroborated by the Eqs. (39), (37) and (38) respectively. The most important thing we can see from this figure is the following: the same value of $R_e$, $R_\mu$ and $R_\tau$ can be obtained for different set of values of $\sin^2 \theta_{23}$ and $\sin^2 \theta_{34}$. This gives us degenerate solutions in the $\sin^2 \theta_{23}$-$\sin^2 \theta_{34}$ plane. This will therefore lead to confusion between the case where there are only three active neutrinos with deviation from TBM mixing and the case where TBM holds in the three active regime but there are extra sterile neutrinos which are mixed with the active neutrinos. This degeneracy is obviously more pronounced when the correlation between $\sin^2 \theta_{23}$ and $\sin^2 \theta_{34}$ is greater. Therefore, the largest effect is expected in $R_e$ and smallest in $R_\tau$.

To further illustrate the confusion between deviation from TBM mixing and presence of sterile neutrinos we present Fig. 4(a), which shows in the $\sin^2 2\theta_{23}$-$\sin^2 \theta_{34}$ plane the contours for the condition

$$R_\alpha(\sin^2 \theta_{23} \neq 0.5, \sin^2 \theta_{34} = 0) = R_\alpha(\sin^2 \theta_{23} = 0.5, \sin^2 \theta_{34} \neq 0) ,$$  

(51)

where $\alpha = e$, $\mu$ or $\tau$. The L.H.S of Eq. (51) gives the flux ratios predicted by deviation from TBM mixing for three active neutrinos only, while the R.H.S corresponds to TBM mixing in the active part but with extra sterile neutrinos. We remind the reader that $\sin^2 \theta_{12} = 1/3$, $\sin^2 \theta_{13} = 0$, $\sin^2 \theta_{14} = 0$ and $\sin^2 \theta_{24} = 0$ on both sides of Eq. (51). Using Eq. (37)-(39) condition (51) leads to

$$S_{34}^2 = \frac{12 - 24S_{23}^2}{13 - 8S_{23}^2}$$  

(52)

for $\alpha = e$,

$$S_{34}^2 = \frac{15 - 72S_{23}^2 + 84S_{23}^4}{14 - 24S_{23}^2 + 28S_{23}^4}$$  

(53)

3This is just one example in which we show this confusion. This confusion is expected even in the most general case where there might be more sterile neutrinos, with all mixing angles and CP phases non-zero.
for $\alpha = \mu$ and

$$S_{34}^2 = \frac{27 - 96S_{23}^2 + 84S_{23}^4}{27 - 32S_{23}^2 + 28S_{23}^4}$$

(54)

for $\alpha = \tau$. We have plotted Eqs. (52)-(54) in Fig. 4(a), where the solid line corresponds to the condition for $R_e$, dashed line for $R_\mu$ and dot-dashed line for $R_\tau$. Any point on a given line in this figure gives the value of $\sin^2 \theta_{23}$ and $\sin^2 \theta_{34}$ such that Eq. (51) is satisfied. For instance, the case with three active neutrinos only and with $\sin^2 2\theta_{23} = 0.96$ predicts a value of $R_e$ which can be obtained with TBM mixing in the active sector plus an extra sterile neutrino with $\sin^2 \theta_{34} = 0.245$. We note that for the same $\sin^2 2\theta_{23}$, the values of $\sin^2 \theta_{34}$ for the sterile case which can also simultaneously satisfy the condition for $R_\mu$ and $R_\tau$ are different, the corresponding values of $\sin^2 \theta_{34}$ being 0.21 and 0.11 respectively. This means that in principle if we knew what is the true value of $\sin^2 \theta_{34}$, we would be able to distinguish the results for the sterile case with that for active neutrinos only with deviation from TBM mixing, if we measured all the three flux ratios simultaneously. However, in practice we do not have any precise information on this mixing angle. Therefore, this confusion will be hard to solve even if we had measurement on all the three flux ratios at neutrino telescopes.

The second very important aspect evident from Figs. 3 is that the values of the flux ratios can easily exceed the range given in Eq. (50). For instance, we see that values of $R_e > 0.645$ is possible for $\sin^2 \theta_{23} > 0.4$ and $\sin^2 \theta_{34} > 0.25$. Note that we have kept $\sin^2 \theta_{13} = 0$, $\sin^2 \theta_{12} = 1/3$ and all CP phases to 0. In fact for this case, when $\sin^2 \theta_{34} = 0$ the range of $R_e$ corresponds to $[0.437-0.569]$, as in Fig. 1 for $\delta = 0$. We can draw similar conclusions from $R_\mu$ and $R_\tau$ using the middle and right panels of Fig. 3. If the measured flux ratios correspond to such extreme values, then this could be a signature for extra sterile neutrinos.

In Fig. 5 we show the contours of constant flux ratios in the $\sin^2 \theta_{23}$-$\sin^2 \theta_{24}$ plane, keeping $\theta_{34} = 0$, $\theta_{14} = 0$, $\theta_{13} = 0$ and $\sin^2 \theta_{12} = 1/3$. The color scheme is same as in Fig. 3. The $R_e$ bands increase from 0.436 (lower right-hand corner) to 0.610 (upper left-hand corner) in steps of 0.017, the $R_\mu$ bands increase from 0.447 (upper left-hand side) to 0.559 (lower right-hand corner) in steps of 0.011, while the $R_\tau$ bands increase from 0.445 (left-hand side) to 0.526 (right-hand side) in steps of 0.008. Note that even though we have shown results up to $\sin^2 \theta_{34} = 0.2$, this mixing angle is severely constrained and is expected to be $\lesssim 0.05$. We note from the figure that $R_e$ increases with $\sin^2 \theta_{24}$, as the denominator in Eq. (43) decreases much faster with $\sin^2 \theta_{24}$ than the numerator. On the other hand $R_\mu$ decreases with $\sin^2 \theta_{24}$ because the denominator in Eq. (44) decreases with $\sin^2 \theta_{24}$ faster than the denominator. For $R_\tau$ the dependence is more complicated. The Fig. 4(b) illustrates the confusion that could arise between a three-generation scheme with deviation from TBM mixing with the one with sterile neutrinos. In the panel (b) of this figure we show the contours corresponding to the condition

$$R_\alpha(\sin^2 \theta_{23} \neq 0.5, \sin^2 \theta_{24} = 0) = R_\alpha(\sin^2 \theta_{23} = 0.5, \sin^2 \theta_{24} \neq 0),$$

(55)

where $\alpha = e$, $\mu$ or $\tau$. As before, the L.H.S of Eq. (55) gives the flux ratios predicted by deviation from TBM mixing for three active neutrinos only, while the R.H.S corresponds to TBM mixing in the active part but with extra sterile neutrinos. We reiterate that $\sin^2 \theta_{12} = 1/3$, $\sin^2 \theta_{13} = 0,$
Figure 5: Contour bands of the flux ratios $R_e$, $R_\mu$ and $R_\tau$ in the $\sin^2 \theta_{23} - \sin^2 \theta_{24}$ plane. The color scheme corresponds to flux ratios increasing with red, orange, yellow, green and so on. The $R_e$ bands increase from 0.436 to 0.610 in steps of 0.017, the $R_\mu$ bands increase from 0.447 to 0.559 in steps of 0.011, while the $R_\tau$ bands increase from 0.445 to 0.526 in steps of 0.008. We have kept $\theta_{14} = 0 = \theta_{34}$ and all other mixing angles at their TBM mixing values.

$\sin^2 \theta_{14} = 0$ and $\sin^2 \theta_{34} = 0$ on both sides of Eq. (55). From Eqs. (43), (44) and (45) we get the conditions

$$S_{24}^2 = \frac{4 - 8S_{23}^2}{9 - 8S_{23}^2}$$

for $\alpha = e$,

$$S_{24}^2 = \frac{5 - 24S_{23}^2 + 28S_{23}^4}{28S_{23}^4 - 24S_{23}^2 - 2}$$

for $\alpha = \mu$ and

$$S_{24}^2 = \frac{9 - 32S_{23}^2 + 28S_{23}^4}{7 - 32S_{23}^2 + 28S_{23}^4}$$

for $\alpha = \tau$. These conditions are plotted in Fig. 4(b).

In the general scenario of course we expect both $\sin^2 \theta_{24}$ and $\sin^2 \theta_{34}$ to be non-zero. However, we still keep $\sin^2 \theta_{14}$ to be zero for simplicity. In Fig. 6 we present three dimensional plots showing the flux ratios as a function of $\sin^2 \theta_{24}$ and $\sin^2 \theta_{34}$ for $\sin^2 \theta_{23} = 0$. The left panel shows $R_e$, middle panel shows $R_\mu$ and right panel shows $R_\tau$. We have kept $\theta_{13} = 0$ and $\sin^2 \theta_{12} = 1/3$. 

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7 Discussions

In this paper we have considered presence of sterile neutrinos as the only signature of physics beyond the standard paradigm. Other forms of new physics could also manifest themselves in the observed flux ratios of the ultra high energy neutrinos. In particular, neutrino decay predicts spectacular results for the ratios, where one expects the flavor ratio $4 : 1 : 1$ for the normal hierarchy\(^4\) and $0 : 1 : 1$ for the inverted hierarchy [33]. Authors of [34] considered the effect of CPT violation on the flavor ratios, for both stable as well as unstable neutrinos. In [35] prospects of probing the existence of pseudo-Dirac neutrinos was discussed. In Table 1 we show the predicted flavor ratios for all these new physics scenarios and compare them with that expected for sterile neutrinos. We note that the largest deviation for $\phi_e/\phi_{\mu}$ comes for the neutrino decay model, both with normal (NH) and inverted (IH) hierarchy. The ratio $\phi_{\mu}/\phi_{\tau}$ however for this model stays at 1, just like in the standard neutrino case. This ratio is predicted to be very different for the case where we have CPT violation (with and without neutrino decay) and where we have sterile neutrinos with large $\sin^2 \theta_{34}$. For sterile neutrinos, this ratio decreases almost linearly with $\sin^2 \theta_{34}$, the slope being determined by $\sin^2 \theta_{24}$. We can see that for very large $\sin^2 \theta_{34}$, the $\phi_{\mu}/\phi_{\tau}$ ratio predicted by sterile neutrinos is very similar to that expected for antineutrinos with CPT violation plus decay and NH. In fact, since $\phi_{\mu}/\phi_{\tau}$ for sterile neutrinos starts from a large value and decreases to a small number. Therefore, for some range of values of $\sin^2 \theta_{34}$ one would get a $\phi_{\mu}/\phi_{\tau}$ similar to the one predicted by CPT violation with stable neutrinos as well. Therefore, we see that there is ample scope of confusing sterile neutrino signatures in ultra high energy flavor ratio with that of CPT violation. We also note that the $\phi_e/\phi_{\mu}$ ratio predicted by sterile neutrinos could be close to some of the cases for pseudo-Dirac neutrinos.

So far we have presented all results for ratios defined in terms of the ultra high energy neutrino fluxes arriving at Earth. However, one should bear in mind that what is physically relevant as

\(^4\)The authors of [33] get $6 : 1 : 1$ because they take $\sin^2 \theta_{12} = 1/4$. The ratio given above is obtained for TBM mixing for which $\sin^2 \theta_{12} = 1/3$. 

Figure 6: Three dimensional plots showing the flux ratios $R_e$ (left panel), $R_\mu$ (middle panel) and $R_\tau$ (right panel), as a function of $\sin^2 \theta_{24}$ and $\sin^2 \theta_{34}$. The angles $\theta_{13} = 0 = \theta_{14}$, $\sin^2 \theta_{12} = 1/3$ and $\sin^2 \theta_{23} = 0.5$. 

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\[
\sin^2 \theta_{24} \quad \sin^2 \theta_{34} \quad \text{Flavor at Earth}
\begin{array}{c|c|c}
\hline
\text{Model} & \sin^2 \theta_{24} & \sin^2 \theta_{34} \\hline
\text{Standard} & - & - & 1 : 1 : 1 \\
\text{Decay (NH)} & - & - & 4 : 1 : 1 \\
\text{Decay (IH)} & - & - & 0 : 1 : 1 \\
\text{CPT } [\frac{1}{2} (\Phi_{\text{std}} + \Phi_{\text{CPTV}})] & - & - & 1.07 : 1 : 0.79 \\
\text{CPT + Decay (NH) } [\frac{1}{2} (\Phi_{\text{CPTV}}) \text{ only}] & - & - & 2.43 : 1 : 0.14 \\
\text{CPT + Decay (IH) } [\frac{1}{2} (\Phi_{\text{CPTV}}) \text{ only}] & - & - & 0.04 : 1 : 3.30 \\
\text{Pseudo-Dirac (only 1)} & - & - & 0.73 : 1 : 1 \\
\text{Pseudo-Dirac (only 2)} & - & - & 1 : 1 : 1 \\
\text{Pseudo-Dirac (2 and 3)} & - & - & 1.43 : 1 : 1 \\
\text{Sterile} & 0.04 & 0.0 & 1.05 : 1 : 1.04 \\
\text{Sterile} & 0.04 & 1.0 & 1.05 : 1 : 0.12 \\
\text{Sterile} & 0.1 & 0.0 & 1.12 : 1 : 1.08 \\
\text{Sterile} & 0.1 & 1.0 & 1.12 : 1 : 0.32 \\
\hline
\end{array}
\]

Table 1: Comparison of the flavor ratios predicted by the different new physics scenarios considered in the literature with the standard three flavor picture (first row) and presence of extra sterile neutrinos (bottom rows). Note that for the CPTV+decay models, the flavor ratios correspond to antineutrinos only. For pseudo-Dirac neutrinos we show only two cases, one where only the oscillations due to the second mass splitting is averaged out and another where oscillations due to both second and third splitting are averaged out. We refer the reader to [35] for the complete list. Note that we have used mixing angles corresponding for TBM mixing for the ratios given in this table. This is why they might differ slightly from the ones given in [33, 34, 35].

As far as the measurement is concerned, are the ratio of the corresponding number of events in the neutrino telescope. The number of events expected in the \( \nu_\mu, \nu_e \) and \( \nu_\tau \) channels for an assumed normalization for the ultra high energy neutrino flux was performed in [32]. While \( \nu_\mu \) are easiest to detect as the resultant muons leave distinct tracks in the detector, the \( \nu_e \) are detected by observing the electromagnetic showers the electrons create. The efficiency of detecting electron events is generally lower than that for muons and it decreases as the neutrino energy increases. The threshold for muon events is expected to be about \( 10^2 \) GeV while that for electrons would be about \( 10^3 \) GeV. The \( \nu_\tau \) are detectable through the so-called double-bang and lollipop events [36] only at relatively higher energies of about \( 10^6 \) GeV. Their detection efficiency would become comparable to that for electrons for energies larger than \( 10^7 \) GeV [32]. In all our results we had tacitly given equal weightage to all three flavors. However from the discussion above, it is clear that the behavior of the flavor ratios would change, once we used the event ratios rather than the flux ratios, at least for neutrino energies \( << 10^7 \) GeV. In fact, for neutrino energies below \( 10^6 \) GeV, we should not include the \( \nu_\tau \) flux at all and work with just the \( \phi_e/\phi_\mu \) ratio. Above energies of \( 10^7 \) GeV we could in principle work with all three flavors, however the neutrino flux falls steeply with energy and we expect only a handful of events at these very high energies. We have seen in Table 1 that sterile components cause largest deviation from the standard model.
prediction for $\phi_T$. To see this we would necessarily need observations at higher energies, where statistical uncertainty could be the biggest challenge. A detector much larger than IceCube would be probably required for getting an unambiguous signature of sterile species in ultra high energy neutrinos. This constraint is applicable to most cases which rely on flavor ratios involving the $\nu_T$. However, we reiterate that even though unambiguous signal for sterile neutrinos in a km$^3$ detector such as IceCube might prove to be difficult, sterile neutrinos will definitely cause confusion with the signal for deviation from TBM mixing, and this conclusion is valid for all neutrino telescopes.

8 Summary and Conclusions

Neutrino telescopes offer a possible way of constraining the mixing angles. In particular, considerable interest has been generated of late in using the flux/flavor ratios of ultra high energy neutrinos in probing the deviation of the mixing matrix from the TBM mixing ansatz. Since it has been observed that the effect of deviating $\sin^2 \theta_{12}$ from $1/3$ on the flux ratios is not large, we kept $\sin^2 \theta_{12}$ fixed at its TBM value of $1/3$. Deviation from TBM mixing can then be caused either by $\sin^2 \theta_{13} \neq 0$ or $\sin^2 \theta_{23} \neq 1/2$. We showed that the effect of changing $\sin^2 \theta_{13}$ from zero is small on the flux ratios. We argued that the maximum impact on the flux ratios arise when we change the mixing angle $\sin^2 \theta_{23}$ from $1/2$. Therefore, we presented most results by varying the value of $\sin^2 \theta_{23}$ on both sides of its TBM value.

We presented the expressions for the flux ratios assuming that there was one extra sterile neutrino and the mass spectrum followed a 3+1 pattern. Even though we are aware that this mass spectrum is now disfavored following the recently declared MiniBooNE results and the mass spectrum allowed is the 3+2 scheme, we used the 3+1 scheme as an exemplary case for simplicity. Our formalism can be easily extended to the 3+2 scenario, only then one has to contend with many more parameters. We showed that even in a very simplified picture where only one active-sterile mixing angle $\sin^2 \theta_{34}$ (or $\sin^2 \theta_{24}$) was kept non-zero, it was possible to easily confuse the predicted flux ratios for the deviation from TBM case with three active neutrinos with the case where TBM holds in the three active sector but we have one extra sterile neutrino. We also showed that for very large values of the mixing angle $\sin^2 \theta_{34}$, which as of now is almost unconstrained, we get predictions for the flux ratios that are completely out of the possible range predicted by three active neutrinos. If the measured flux ratio really conformed with such extreme values, this would then be a smoking gun signal for sterile neutrinos which are heavily mixed with active neutrinos. We also presented results where we took $\sin^2 \theta_{24}$ to be the only non-zero active-sterile mixing angle. Finally, we showed results for two non-zero active-sterile mixing angles, $\sin^2 \theta_{34}$ and $\sin^2 \theta_{24}$.

We stress that our results would be valid even if a sterile neutrino is not needed to explain the LSND data. Since for ultra high energy neutrinos coming from astrophysical sources, the oscillatory terms anyway average out to zero irrespective of the value of $\Delta m^2$, a sterile neutrino with any value for $\Delta m^2$ would give such signatures in the neutrino telescopes if the mixing angle such as $\theta_{34}$, which has not yet been checked in terrestrial experiments, was non-zero and large.

In conclusion, if the values of the measured flux ratios in the future neutrino telescopes turns out be different from their TBM prediction of $1/2$, it would still not be a foolproof evidence for deviation from TBM mixing. Sterile neutrinos with reasonably small mixing with active neutrinos
could mimic a similar response, even when the active sector conforms to TBM mixing. One will
never be able to tell one scenario from the other by measuring the flux ratios of ultra high energy
neutrinos, if the measured flux ratios were within the range predicted by the standard picture with
three active neutrinos. However, if the measured ratios turn out to be clearly outside the range
predicted by the three active case, we would have a signal for the existence of sterile neutrinos.
One probably needs a larger detector with sufficient statistics for $\nu_\tau$ events for an unambiguous
signal.

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