Extreme gravitational lensing in vicinity of Schwarzschild–de Sitter black holes

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Abstract: We have developed a realistic, fully general relativistic computer code to simulate optical projection in a strong, spherically symmetric gravitational field. The standard theoretical analysis of optical projection for an observer in the vicinity of a Schwarzschild black hole is extended to black hole spacetimes with a repulsive cosmological constant, i.e., Schwarzschild–de Sitter spacetimes. Influence of the cosmological constant is investigated for static observers and observers radially free-falling from the static radius. Simulations include effects of the gravitational lensing, multiple images, Doppler and gravitational frequency shift, as well as the intensity amplification. The code generates images of the sky for the static observer and a movie simulations of the changing sky for the radially free-falling observer. Techniques of parallel programming are applied to get a high performance and a fast run of the BHC simulation code.

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1 Introduction

General relativistic deflection of light and lensing effects in gravitational field of stars were firstly investigated by Einstein \cite{4}. In the vicinity of relativistic compact objects (black holes or neutron stars) these effects have strong influence on properties of the optical projection which become different than those of the optics in the flat spacetime

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as we experience it in our everyday life [3]. Several authors have developed ray-tracing or simulation computer codes for modeling general relativistic optical projection in the vicinity of rotating or non-rotating black holes and neutron stars without presence of a cosmological constant, see, e.g., [2, 5, 6, 8, 9, 10, 16].

Recent observations indicate the cosmic expansion accelerated by a dark energy that can be described by a repulsive cosmological constant, $\Lambda > 0$ [7, 11]. We investigate the influence of $\Lambda > 0$ on the appearance of distant universe for observers in close vicinity of nonrotating Schwarzschild–de Sitter (SdS) black holes. In order to obtain a good qualitative picture of the $\Lambda$ influence, our simulations have been performed with unrealistically high values of $\Lambda$.

2 Schwarzschild–de Sitter geometry

The line element of the SdS spacetime has in the standard Schwarzschild coordinates and geometric units ($c = G = 1$) the form

$$ds^2 = -\left(1 - \frac{2M}{r} - \frac{\Lambda r^2}{3}\right)dt^2 + \left(1 - \frac{2M}{r} - \frac{\Lambda r^2}{3}\right)^{-1}dr^2 + r^2(d\theta^2 + \sin^2\theta d\phi^2),$$

where $M$ is mass of the central black hole, and $\Lambda \sim 10^{-56}\text{cm}^{-2}$ is the repulsive cosmological constant. It is advantageous to introduce a dimensionless cosmological parameter $y$ by the relation $y = \frac{4}{3}\Lambda M^2$. The location of horizons is given by the condition $g_{tt} = 0$. Two event horizons exist for $y \in (0, y_{\text{crit}})$, where $y_{\text{crit}} = 1/27$. The black hole and the cosmological horizons are located at

$$r_h = \frac{2}{\sqrt{3y}} \cos \frac{\pi + \xi}{3}, \quad r_c = \frac{2}{\sqrt{3y}} \cos \frac{\pi - \xi}{3},$$

respectively, where

$$\xi = \cos^{-1} 3\sqrt{3y}.$$  

The spacetime is dynamic at $r < r_h$ and $r > r_c$. The static radius, (a hypersurface where the gravitational attraction of the black hole is balanced by the cosmic repulsion) is located at

$$r_s = y^{-\frac{1}{3}}.$$  

With increasing value of $y$, the horizons approach to each other. In the critical case of $y = y_{\text{crit}} = 1/27$, the horizons and the static radius coincide at $r_h = 3$. For of $y > 1/27$, the spacetime is dynamic at $r > 0$, and describes a naked singularity [13]. We consider only spacetimes admitting existence of static observers that have $y < 1/27$.

3 Optical projection in Schwarzschild–de Sitter spacetimes

Construction of relativistic optical projection consists of finding all null geodesics connecting the source and the observer, i.e., solving the so-called emitter-observer problem. An observer will see the image generated by the concrete geodesic in direction tangent to
the photon trajectory in observer’s local frame, therefore given by space part of locally measured 4-momentum of photons $p^{(\mu)}_{\text{obs}}$. Directional angle $\alpha$ related to the outward radial direction and the frequency shift $g$ of the photon (the ratio of observed and emitted energy) are given by the general relations

$$
\cos \alpha = -\frac{p^{(r)}_{\text{obs}}}{p^{(t)}_{\text{obs}}}, \quad g = \frac{p^{(t)}_{\text{obs}}}{p^{(t)}_{\text{source}}}.
$$

(5)

The indeces ‘obs’ (observer) and ‘source’ denote the components locally measured by an observer or a source. In the SdS spacetimes null geodesics are characterised by the impact parameter $b$ defined as the ratio of constants of motion, $b \equiv \Phi / \mathcal{E}$ \cite{13,14}. For an observer located at $r_{\text{obs}}$, $\alpha$ and $g$ are functions of $b$ only, as shown in Appendix.

Due to spherical symmetry of the SdS geometry \cite{11}, it is sufficient to consider only sources and observers located in the equatorial plane. Considering observers located at $\phi = 0$, $\Delta \phi$ along geodesics connecting the source and the observer reads

$$
\Delta \phi = -\phi_{\text{source}} - 2k\pi,
$$

(6)

where $\phi_{\text{source}}$ is an angular coordinate of the source. The image order $k$ takes values of 0, 1, 2, \ldots, $+\infty$ for geodesics orbiting the central black hole clockwise, and $-1, -2, \ldots, -\infty$ for geodesics orbiting the central black hole counter-clockwise. The first direct and indirect images correspond to $k = 0$ and $k = -1$, respectively.

Photon motion in the SdS spacetimes is governed by the Binet formula \cite{1,14}

$$
\frac{d\phi}{du} = \pm \frac{1}{\sqrt{b^2 - u^2 + 2u^3 + y}},
$$

(7)

where $u = r^{-1}$. The critical impact parameter, $b_c = \sqrt{27/(1 - 27y)}$, corresponds to the circular photon geodesic, which is located at $r_{\text{ph}} = 3M$ for arbitrary value of $\Lambda$ \cite{13,14}. Photons coming from distant universe with $b < b_c$ end up in the central singularity, while photons with $b > b_c$ return back towards the cosmological horizon \cite{11,14}. Using the term under the square root in (7) as a motion reality condition, a straightforward calculation yields relation for a turning point $r_t$ of geodesics with $b > b_c$:

$$
r_t = \frac{2}{\sqrt{3(y + b^2)}} \cos \left[ \frac{1}{3} \arccos \left( -3 \sqrt{3(y + b^2)} \right) \right]
$$

(8)

and a relation for the maximum impact parameter $b_{\text{max}}$ for an observer located at given $r_{\text{obs}}$,

$$
b_{\text{max}} = \frac{1}{\sqrt{u_{\text{obs}}^2 - 2u_{\text{obs}}^3 - y}}.
$$

(9)

Therefore, $\Delta \phi$ can be expressed as

$$
\Delta \phi(u_{\text{source}}, u_{\text{obs}}, b) = \pm \int_{u_{\text{source}}}^{u_{\text{obs}}} \frac{du}{\sqrt{b^2 - u^2 + 2u^3 + y}},
$$

(10)
for geodesics with $b < b_c$, or for geodesics passing the observer position ahead of the turning point. For geodesics passing observer position beyond the turning point $\Delta \phi$ can be expressed as

$$\Delta \phi(u_{\text{obs}}) = \pm \int_{u_{\text{turn}}}^{u_{\text{obs}}} \frac{du}{\sqrt{b^2 - u^2 + 2u^3 + y}} \mp \int_{u_{\text{source}}}^{u_{\text{turn}}} \frac{du}{\sqrt{b^2 - u^2 + 2u^3 + y}} \text{.}$$  \hspace{1cm} (11)$$

In \((10)\) and \((11)\), the upper (lower) sign corresponds to geodesics orbiting clockwise (counter-clockwise). These integrals express $\Delta \phi$ along the photon path as a function $F(b, u_{\text{obs}}, u_{\text{source}}, y)$. Equation \((6)\) can then be rewritten in the following way:

$$F(b, u_{\text{obs}}, u_{\text{source}}, y) + \phi_{\text{source}} + k2\pi = 0 \text{.}$$  \hspace{1cm} (12)$$

This equation, that can be understood as an integral equation with an eigenvalue $b$ determines $b$ as an implicit function of the source and observer coordinates, the image order and $\Lambda$. Unfortunately, $F(b, u_{\text{obs}}, u_{\text{source}}, y)$ can be expressed in terms of elliptic integrals only, and do not allow to obtain an explicit formula for $b(\phi_{\text{source}}, k, u_{\text{obs}}, u_{\text{source}}, y)$. Consequently, the simulation code uses standard numerical integration and root finding methods.

4 Numerical solution and code outputs

Final equation \((12)\) was numerically solved using the BHC code for optical projection of observers located in the static region between the horizons, as well as for observers located in the dynamic region under the black hole horizon. In the static region, static observers and observers radially free-falling from the static radius have been considered. In the dynamic region under the black hole horizon, where static observers cannot exist, the optical projection was constructed for observers radially free-falling only. We consider $\Lambda = 5.10^{-3}$, $\Lambda = 10^{-2}$ and pure Schwarzschild case with $\Lambda = 0$.

Left panels of Figs. 1, 2, and 3 show $b$ as a function of $|\Delta \phi|$ along the appropriate geodesic connecting distant source and observer located at $r_{\text{obs}}$. The right panel of these figures show $\alpha$ for static and radially free-falling observers. If we consider spherical symmetry of the problem, equation \((6)\) implies, that $|\Delta \phi| \leq \pi$ corresponds to the first direct images of distant sources, whereas larger $|\Delta \phi| > \pi$ corresponds to images with higher order.

As shown in Fig. 4 for observers located above the circular photon orbit $b$ increases up to a maximum value $b_{\text{max}}$ given by equation \((9)\), then decreases, and asymptotically approaches $b_c$ from above. Values $|\Delta \phi| \leq |\Delta \phi(b_{\text{max}})|$ correspond to ingoing geodesics with $b < b_c$, or to geodesics passing the observer position ahead the turning point. Values $|\Delta \phi| > |\Delta \phi(b_{\text{max}})|$ correspond to geodesics passing the observer position beyond the turning point. Situation is different for observers located under the circular photon orbit where only geodesics with $b < b_c$ can exist. In this case $b$ increases monotonously and asymptotically approaches to $b_c$ from below.
In all the cases, \(\alpha\) monotonously increases up to a maximum value, which determines the black region on the observer’s sky. We determine the size of the black region as a function of \(r_{\text{obs}}\) and \(\Lambda\) in the next section.

Fig. 4 shows samples of visualisation outputs of the BHC code, simulated optical projection of a well-known galaxy M104 “Sombrero”, virtually located behind the black hole on the optical axis. Images show typical lensing effects as the first and second Einstein rings, first direct image, first indirect inverted image and mergence of higher order images with the second Einstein ring around the black region. Another static images, as well as dynamic simulations, may be downloaded from our web site [12].

**Fig. 1** Optical projection for observers located at the static region above the circular photon orbit at \(r_{\text{obs}} = 6M\). **Left panel:** Impact parameter \(b\) as a function of \(\Delta \phi\). **Right panels:** Directional angles for static and radially free-falling observers.

**Fig. 2** Optical projection for observers located in the static region under the circular photon orbit at \(r_{\text{obs}} = 2.4M\). **Left panel:** Impact parameter \(b\) as a function of \(\Delta \phi\). **Right panel:** Directional angles for static and radially free-falling observers.
Fig. 3 Optical projection for observers located under the black hole horizon at $r_{\text{obs}} = 0.7M$. **Left panel:** Impact parameter $b$ as a function of $\Delta \phi$. **Right panels:** Directional angle for a radially free-falling observer.

Fig. 4 Simulated appearance of M104 “Sombrero” located behind the black hole. **Left panel:** for a radially free-falling observer at $r_{\text{obs}} = 20M$ in a pure Schwarzschild case (with nondistorted image in the right-bottom corner). **Right panel:** for a static observer at $r_{\text{obs}} = 5M$ with $\Lambda = 10^{-3}$.

## 5 Apparent angular size of the black hole

The apparent angular size $S$ of the black hole can be naturally defined as the observed angular size of the circular black region on the observer sky, in which no images of distant objects can exist, and only radiation originated under the circular photon orbit can be observed [1, 14, 3]. For observers located above the circular photon orbit the boundary of the black region corresponds to outgoing geodesics with $b$ approaching $b_c$ from above, while for observers located under the circular photon orbit the boundary corresponds to ingoing geodesics with $b$ approaching $b_c$ from below.
Fig. 5 Apparent angular size of the black hole as function of observer’s radial coordinate. **Left panel:** static observers. **Right panel:** radially free-falling observers.

In the case of static observers we have [1]

$$S = 2 \arccos A(r_{\text{obs}}, y; b), \quad A(r, y; b) \equiv \pm \sqrt{1 - \frac{b^2}{r^2} \left(1 - \frac{2}{r} - yr^2\right)}.$$  \hfill (13)

Here $+ (-)$ sign corresponds to observers located above (under) the circular photon orbit. Above the circular photon orbit increasing $\Lambda$ causes downsizing of the black region, whereas under the circular photon orbit the black region grows with increasing $\Lambda$. In the limit case of observers located just on the circular photon orbit, $S$ is independent of $\Lambda$. It is invariably $\pi$, i.e., the black region always occupies just one half of the observer sky.

In the case of observers radially free-falling from the static radius [1, 13, 14], the apparent angular size of the black hole reads [1]

$$S = 2 \arccos \left(\frac{Z(r_{\text{obs}}, y) + \sqrt{1 - 3y^{1/3}A(r_{\text{obs}}, y; b)}}{\sqrt{1 - 3y^{1/3} + Z(r_{\text{obs}}, y)A(r_{\text{obs}}, y; b)}}\right),$$  \hfill (14)

where

$$Z(r, y) \equiv \sqrt{\frac{2}{r} + yr^2 - 3y^{1/3}}.$$  \hfill (15)

The $\Lambda$ dependency is qualitatively different. For radially free-falling observers $S$ grows with increasing cosmological constant at all values of the radial coordinate except the
central singularity, where $S$ is invariably $\pi$, similarly to the case of static observers located on the circular photon orbit. Consequently, the radially free-falling observer will always observe smaller $S$ than the static observer at the same radial coordinate.

6 Conclusions

In this paper we discussed the influence of $\Lambda > 0$ on the optical projection in strong, spherically symmetric gravitational field. The influence depends on the value of the dimensionless cosmological parameter $y$. In the present universe with $\Lambda \sim 10^{-56}$ cm$^{-2}$ values of $y$ are $y \sim 10^{-40}$ for stellar black holes and $y \sim 10^{-25}$ for supermassive black holes in galactic nuclei. Observable effects can be expected for $y \geq 10^{-15}$ which corresponds to supergiant black holes with masses $M \geq 10^{15}$ M$_\odot$ [15]. In the case of primordial black holes in the very early universe, with assumed high values of repulsive cosmological constant, one can expect even stronger effects. Considering the electroweak phase transition at $T_{ew} \sim 100$ GeV, we obtain an estimate of the primordial effective cosmological constant $\Lambda_{ew} \sim 0.028$ cm$^{-2}$, while considering the quark confinement at $T_{qc} \sim 1$ GeV we obtain $\Lambda_{qc} \sim 2.8 \times 10^{-10}$ cm$^{-2}$ and consequently higher values of $y$ [15].

BHC code generates numerical solutions of the governing equation of the projection and static as well dynamic visualization outputs. Results show peculiar influence of $\Lambda$ on the apparent angular size of the black hole for observers in different local frames. This influence vanishes for static observers located at the circular photon orbit. For future studies we plan to extend our method and the BHC code in order to study axially symmetric spacetimes with repulsive cosmological constant.

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Appendix: tetrads and directly measured quantities

It follows from the central symmetry of the geometry [11] that the geodetical motion of test particles and photons is allowed in the central planes only. The existence of Killing vector fields $\xi(t)$ and $\xi(\phi)$ of the SdS spacetime implies the existence of two constants of motion

$$ p_t = g_{t\mu}p^\mu = -\mathcal{E}, \quad p_\phi = g_{\phi\mu}p^\mu = \Phi, $$

and the photon motion is determined by the impact parameter

$$ b \equiv \frac{\Phi}{\mathcal{E}}. $$

The 4-momentum of the photon reads [14]

$$ p_t = -\mathcal{E}, \quad p_r = \frac{A(r_{obs}, y, b)}{B^2(r_{obs}, y)}\mathcal{E}, \quad p_\phi = b\mathcal{E} = \Phi, $$

$$ (18) $$
where we introduce new variables
\[ B(r, y) \equiv 1 - \frac{2}{r} - yr^2, \quad A(r, y, b) = \pm \sqrt{1 - B^2(r, y)\frac{b^2}{r^4}}. \] (19)

The + sign corresponds to photons receding from the black hole, while - sign corresponds to photons infalling into the black hole.

In order to calculate directly measured quantities, one has to transform the 4-momentum of the photon into the local frame of the observer. The local components of 4-momentum for the observer at given \( r_{\text{obs}} \) can be obtained using the appropriate tetrad of base 4-vectors \( e^{(\alpha)}_\mu \), 1-forms \( \omega^{(\alpha)}_\mu \) and transformation formulas
\[ \omega^{(\alpha)} = e^{(\alpha)}_\mu dx^\mu, \quad p^{(\alpha)} = e^{(\alpha)}_\mu p^\mu. \] (20)

Static observers

The static observers located at rest at \( r = \text{const}, \theta = \text{const}, \phi = \text{const} \) are endowed by a local frame with an orthonormal tetrad of 1-forms \[ \omega^{(t)} = B(r, y) \, dt, \quad \omega^{(r)} = \frac{1}{B(r, y)} \, dr, \quad \omega^{(\theta)} = r \, d\theta, \quad \omega^{(\phi)} = r \sin \theta \, d\phi. \] (21)

The local components of 4-momentum of the photon moving in the equatorial plane are given by the relations \[ p^{(t)}_{\text{obs}} = \frac{E}{B(r_{\text{obs}}, y)} \, B(r_{\text{obs}}, y), \quad p^{(r)}_{\text{obs}} = \frac{A(r_{\text{obs}}, y, b)}{B(r_{\text{obs}}, y)} \, E, \quad p^{(\phi)}_{\text{obs}} = \frac{lE}{r} = \frac{\Phi}{r_{\text{obs}}}. \] (22)

Using general formulas (5), the directional angle and the frequency shift are given as \[ \cos \alpha_{\text{stat}} = -A(r_{\text{obs}}, y, b), \quad g_{\text{stat}} = \frac{B(r_{\text{source}}, y)}{B(r_{\text{obs}}, y)}. \] (23)

Observers radially free-falling from the static radius

Local components and tetrads for free-falling observers can be obtained using Lorentz boost between the local frames of the static observer and a moving one at given \( r_{\text{obs}} \). The orthonormal tetrad of 1-forms of appropriate local frame has the form \[ \omega^{(t)} = \sqrt{1 - 3y^{1/3}} \, dt + Z(r, y)B^{-2}(r, y) \, dr, \] (24) \[ \omega^{(r)} = Z(r, y) \, dt + \sqrt{1 - 3y^{1/3}B^{-2}(r, y)} \, dr, \] (25) \[ \omega^{(\theta)} = r \, d\theta, \] (26) \[ \omega^{(\phi)} = r \sin \theta \, d\phi, \] (27) where we introduced a new variable \[ Z(r, y) \equiv \sqrt{\frac{2}{r} + yr^2 - 3y^{1/3}}. \] (28)
The components of 4-momentum of the photon measured by an observer radially free-falling from the static radius at a given $r_{\text{obs}}$ are given by the relations [14]

\begin{align}
P^{(t)}_{\text{obs}} &= \frac{\mathcal{E}}{B^2(r_{\text{obs}}, y)} \left( \sqrt{1 - 3y^{1/3}} + Z(r_{\text{obs}}, y)A(r_{\text{obs}}, y; b) \right), \\
P^{(r)}_{\text{obs}} &= \frac{\mathcal{E}}{B^2(r_{\text{obs}}, y)} \left( Z(r, y) + \sqrt{1 - 3y^{1/3}}A(r_{\text{obs}}, y; b) \right), \\
P^{(\phi)}_{\text{obs}} &= \frac{\mathcal{E}b}{r_{\text{obs}}} = \frac{\Phi}{r_{\text{obs}}},
\end{align}

The directional angle and the frequency shift are given by the formulas [14]

\begin{align}
\cos \tilde{\alpha}_{\text{fall}} &= \frac{Z(r_{\text{obs}}, y) + \sqrt{1 - 3y^{1/3}}A(r_{\text{obs}}, y; b)}{\sqrt{1 - 3y^{1/3}} + Z(r_{\text{obs}}, y)A(r_{\text{obs}}, y; b)}, \\
\tilde{g}_{\text{fall}} &= \frac{P^{(t)}_{\text{obs}}}{P^{(t)}_{\text{source}}} = \frac{B(r_{\text{source}}, y)}{Z(r_{\text{obs}}, y) \cos \tilde{\alpha} + \sqrt{1 - 3y^{1/3}}},
\end{align}

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