Mixed-Integer Linear Programming (MILP) Approach for the Synthesis of Efficient Power-to-Syngas Processes

Andrea Maggi*, Marcus Wenzel1 and Kai Sundmacher1,2

1 Department for Process Systems Engineering, Max-Planck-Institute for Dynamics of Complex Technical Systems, Magdeburg, Germany; 2 Department for Process Systems Engineering, Otto-von-Guericke University, Magdeburg, Germany

Within the context of energy transition scenarios toward renewable resources, superstructure optimization is implemented for the synthesis of sustainable and efficient Power-to-Syngas processes. A large number of reactors (reverse water-gas-shift, steam reforming, dry reforming, tri-reforming, methane partial oxidation reactor, and water electrolyzer) and separators (PSA, TSA, cryogenics, membranes, and gas-liquid scrubbing) are included within a single MILP framework, accounting for typical operating conditions of each process-unit, under the specified simplifying assumptions. Power is minimized in the context of sustainable feedstocks: water and biogas or carbon dioxide from direct air-capture. The objective function adds the thermal to the electrical contribution to the total power, the latter being weighted by a pseudo-price of null (i.e., sustainable, in-house electricity production), or unitary value (i.e., electricity purchased, possibly generated from non-sustainable sources). Simultaneous operations of multiple reactor technologies are allowed to identify possible synergies. With biogas and null value of the pseudo-price, the results identify plant configurations mainly run via electricity, which constitutes up to 97% of the total power for co-operating partial oxidation of methane and water electrolysis. Alternatively, lower total demands are attained at the expenses of thermal duty when electricity is penalized: the endothermic reactors are operated. With carbon dioxide, the total power demand dramatically increases due to the large consumptions of direct-air capture and water electrolysis. The resulting topologies always favor membrane separation, adsorption, and cryogenics over absorption technologies.

Keywords: sustainability, syngas, superstructure, biogas, CO₂ utilization, Power-to-X, process synthesis

INTRODUCTION

It is generally agreed that immediate large-scale actions are required worldwide to cease further CO₂ emissions and to reduce its current concentration in the atmosphere (IPCC, 2013). To achieve this goal, a shift from fossil fuels toward renewable energy carriers is necessary. Products, intermediates, and raw materials that contribute to high CO₂ emissions must be identified alongside suitable technologies for the conversion of CO₂ and biological feedstocks into useful chemicals and fuel. This can be achieved by Power-to-X technologies, which are capable of transforming renewable electricity and sustainable feedstock into useful components such as syngas.
Synthesis gas (syngas), a mixture of H₂ and CO in variable proportions, is an important intermediate and precursor necessary for the production of a wide range of products. Its worldwide production requires approximately 2% of the global primary energy demand (El-Nagar and Ghanem, 2019). However, since most of the syngas production is based on fossil fuels, it entails large, positive CO₂ emissions. Therefore, the sustainable production of syngas offers high potential for the reduction of CO₂ emissions. Furthermore, its intermediate location within the overall production chain, from feedstocks to chemicals or fuels, allows for existing downstream processes to be run without any substantial modifications and, simultaneously, to benefit from a sustainable feed stream. Due to the fact that syngas can be readily converted into liquid fuels, i.e., via Fischer-Tropsch synthesis (Gruber et al., 2019), it plays a crucial role in decarbonizing the transportation system.

In recent years, Power-to-Syngas related processes have been an object of interest in the scientific community on different scales. Detailed catalytic studies were performed on the reaction steps. Abdullah et al. (2017) and Arora and Prasad (2016) proposed an extensive review of the role of Ni-based catalysts in dry-reforming of methane, effective in terms of the efficient, direct utilization of biogas, although negatively affected by its endothermic nature. Rh-based catalysts were studied in the context of oxygen-enhanced dry-reforming (oxy-dry reforming): oxygen debottlenecks the dry reforming process by reducing coking effects (Moral et al., 2018). Singha et al. (2016) characterized nanocrystalline Ni-ZrO₂ catalysts for tri-reforming, particularly suited to accept flue gases without the need for previous separation. In the context of partial oxidation, a study by Pantaleo et al. (2016) proposes the use of CeO₂-supported nickel catalysts: the catalyst activity and stability are revealed to be deeply affected by the crystallite size and interaction between nickel oxide and ceria. Furthermore, high conversion and selectivity were obtained. The analysis of a synergistic combination of partial oxidation and dry reforming of methane was explored by Kang et al. (2018): a non-stoichiometric dry reforming feed-stream (excess methane over carbon dioxide) is fed to a fixed bed reactor previously oxidized by air. The subsequent oxidation of methane accompanies the endothermic dry reforming, with a resulting decrease in energy demand. Chemical looping was also dealt with by Wenzel et al. (2018) in the context of the reverse water-gas shift reaction. The author simulated and compared fixed-bed and fluidized-bed reactors filled with iron oxide and ceria oxygen-storage material (OSM) with a novel reaction kinetic. The study concluded that fixed-bed outperforms fluidized-bed with respect to OSM and carbon monoxide concentration in the outlets.

Methodological advancements were achieved in terms of process networks by Schack et al. (2016), who combined several renewable-to-chemical processes within the same optimization framework by means of large linear models. In this context, process pathways were identified via linear programming and with respect to the cost of resources. More recently, Liesche et al. (2019) and Schack et al. (2020) proposed an optimization technique based on the linearization of the states for process and unit optimization (FluxMax). The benefits of implementing linear programming techniques combines the guarantee for global optimality with the advancement achieved by the underlying algorithms, capable of exploring large scenarios with little computational effort, thus making them suitable for large-scale analyses.

In the present contribution, a superstructure optimization approach is used to identify which reaction and separation steps should be chosen to produce syngas with a minimum overall energy demand. Special emphasis is put on differentiating between energy inputs in the form of heat and electricity. Biogas from anaerobic digestion, water, and CO₂ from direct air-capture are considered as renewable raw materials. Essentially, biogas is a carbon-neutral resource, and it does therefore not contribute significantly to the increase in atmospheric CO₂ levels (Paolini et al., 2018). As chemical reaction steps, water electrolysis, methane steam reforming, dry reforming, partial oxidation, tri-reforming, and reverse water-gas shift are considered and possible synergies allowed. For the subsequent separation of the mixtures, both state-of-the-art as well as new and emerging technologies are considered. The aim is to highlight most promising flowsheets for current and future implementation, for the downstream applications reported in Table 1. The overall idea is sketched in Figure 1. An analysis of costs is omitted in this contribution for two reasons: (1) reliable cost estimates are not readily available for all unit operations, especially for emerging technologies, and (2) the analysis should not be biased toward existing, commercially available technologies but should reflect a wide range of opportunities to identify possible future research needs.

**METHODS**

**System Description**

Syngas is produced by chemical conversion steps followed by separation and conditioning of the molar H₂/CO ratio. Figure 2 represents a simplified flowsheet of the system described in this section. Purified biogas (BG) from anaerobic digestion and CO₂ from direct air-capture are the candidate carbon sources. Several reactors generate raw syngas from the feedstocks. Biogas purification steps are not included in the analysis. Nevertheless, a 2-to-3 CO₂/CH₄ biogas mixture can be fed directly to dry-reforming (DR) or tri-reforming (TRI), or separated into its components CO₂ and CH₄, reactants for reverse water-gas-shift...

| Downstream application | Molar ratio H₂/CO | (T[K], p [bar]) |
|------------------------|------------------|----------------|
| Phosgene               | 0                | (323,3)        |
| Monsanto process       | 0.1              | (473,60)       |
| Hydroformylation        | 1.1              | (428,170)      |
| Iron ore               | 1.4              | (973,1)        |
| Fischer-Tropsch        | 1.95             | (30,473)       |
| Methanol production    | 2.15             | (140,473)      |

Data adapted from Wenzel et al. (2017).
(RWGS), methane steam-reforming (SR), and methane partial oxidation (POX). CO$_2$ from direct air capture can be fed to the RWGS reaction. With the exception of DR, the other chemical reactors require H$_2$O, O$_2$, or H$_2$. Consequently, a make-up stream of H$_2$O is also allowed, which either feeds SR and TRI or is split into pure H$_2$ and O$_2$ via an electrolyzer (EL). The raw syngas is composed of unreacted components and side products. Recycle and outlet streams are therefore introduced. Excess O$_2$ can be utilized for power generation by oxy-combustion of excess CH$_4$, or released into the atmosphere. Unreacted CO$_2$ is also released into the atmosphere. However, such emissions are biogenic due to the selected carbon sources for the process. Excess H$_2$ is pressurized and stored at 300 bar (Di Profio et al., 2009). EL can provide green H$_2$ directly into the outlet syngas stream, bypassing the battery of reactors.

The product separation can be accomplished by a number of state-of-the-art or emerging technologies. For a single separation task, e.g., separation of component A from an initial mixture ABC, the superstructure comprises one or more separation methods. As an example, the task of separating H$_2$ from CO$_2$, CO and CH$_4$ can be accomplished by three competing methods: layered bed pressure-swing adsorption, polymeric membrane and palladium membranes. This contribution is intended to provide a conservative energy analysis of the system. Electricity is therefore not recovered by expansion and cooling utility consumption not accounted for. The power required for pumping cooling water is assumed to be negligible compared to the major energy contributions in the system.

General Modeling Assumptions for Reactors and Separators
The underlying modeling assumptions for reactors are the following:

- Reactor temperature, pressure, and feed composition are assigned prior to optimization;
- RWGS, SR, DR, and POX are modeled as Gibbs reactors, and a stoichiometric feed is assigned. Their outlet composition is calculated prior to optimization;
- Side reactions occurring in SR, DR, and POX are considered;
- Due to the intrinsic system complexity, representative temperature, pressure, and inlet and outlet composition for TRI are retrieved from literature (Song and Pan, 2004);
- Water conversion at EL is 100%;
- Each reactor is associated with a single set of temperature, pressure, feed, and outlet composition.

All reactors specifications are reported in Table 2.

For separators, the following most general assumptions are applied:

- Sharp-split separators;
- The generic separator is decomposed into operation and regeneration steps;
- Typical temperature and pressure levels are assigned prior to optimization;
- Unit-specific calculations are embedded if required;
- The components in gas phase obey the ideal gas law;
- Compression and expansion steps are adiabatic;

Scope of the contribution is to underline dominant process paths in a topological perspective. For this reason, the optimization of the operating conditions of each process element is not part of this study.

Modeling of Reactors
The complete set of parameters implemented at a reactor-level is reported in Table 2. The current section discusses the rationale behind its identification.

Mass Balances
When a non-adiabatic system is at thermodynamic equilibrium, it is sufficient to define the molar composition by the following set of algebraic equations for each given independent stoichiometric
where $f$ is a function of the system temperature, pressure, and the extent of reaction $\lambda$. Hence, for the RWGS reactor, $J = \{j \mid j = \text{RWGS}\}$; for SR $J = \{j \mid j = \text{SR, WGS}\}$, and for DR $J = \{j \mid j = \text{DR, RWGS}\}$, where WGS denotes the water gas shift reaction. The outlet composition can thus be determined. Given that $(T, p)$ are fixed and that the feed to the reactor is stoichiometric, the ratio between outlet and inlet flowrates remains constant for any given inlet flowrate. As a result, the outlet molar flowrate is linearly proportional to the feed flowrate, and the compositions are fixed for all streams.

POX is run within an adiabatic reactor with irreversible reactions of partial and total oxidation:

$$2CH_4 + O_2 \rightarrow 2CO + 4H_2 \quad (2)$$

$$CH_4 + 2O_2 \rightarrow 2H_2O + CO_2 \quad (3)$$

As a consequence, Equation set (1) is not sufficient to characterize the equilibrium. For this process-step, $T$ is the temperature after adiabatic reaction, which is calculated from the following energy balance

$$H^o_n(T_{in}) - H^o(T) = 0, \quad (4)$$

which expresses the conservation of energy in terms of total enthalpy change. Furthermore, atomic balances for the elements
saturate the degrees of freedom, leading to the set of equations

\[
\begin{align*}
    f_j(n) &= 0, \forall j \in J := \{\text{DR, SR}\}, \\
    H^\circ(T_{in}, n) - H^\circ(T) &= 0, \\
    n_{i,n} - n_i &= 0, \forall i \in I := \{C, O, H\},
\end{align*}
\]

which is solved for \(T\) and \(n\) (vector of molar outlet flowrates). Here, C, O, and H belong to set \(I\) and denote the chemical elements carbon, oxygen, and hydrogen, respectively. As for RWGS, SR, and DR, feed composition, temperature, and pressure are fixed, and the outlet composition and reactor temperature can be calculated.

The ratio between outlet and inlet mole flowrate is calculated accounting for the conversion of methane and the outlet composition.

**Energy Balances**

The process system includes endothermic reactors (RWGS, SR, DR, and TRI) and an adiabatic reactor (POX). In all the cases, preconditioning of the feed streams to the reactors is performed by compression and pre-heating, thus enabling the internal energy of reactants to reach the requirements for chemical conversion.

Each endothermic reactor requires a thermal power input, expressed by a linear combination such as

\[
\sum_{j \in J} \lambda_j \Delta H^\circ_j(T) = n_{in} \sum_{j \in J} \theta_j \Delta H^\circ_j(T),
\]

where \(\theta_j\) is the constant ratio between the extent of reaction \(j\) and \(n_{in}\) the feed flowrate. POX is adiabatic and requires no modeling of excess heat. For TRI, the summation appearing in the right-hand side of Equation (8) is determined from the standard reaction enthalpy of the main reactions—dry, steam reforming, and partial oxidation of methane—and from their extent of reaction, estimated from Song and Pan (2004).

The electrolyzer requires power in the form of electrical input. As reported in Bensmann et al. (2013), the Gibbs free energy of reaction provides a reasonable estimation for the reversible power requirement, which is divided by an efficiency factor of 72% to obtain the real demand. As for the reactors, EL requires feed preconditioning to reach the operative temperature at atmospheric pressure.

**Modeling of Separators**

An extensive literature survey was conducted to identify unit-specific calculations. The energy requirements are therefore characterized for each specific case, resulting in linear gray-box models. The superstructure embeds the following families of separation methods: adsorption (temperature- and pressure-swing), absorption (glycol, amine, and methanol), membrane separation (polymeric and palladium), and cryogenic operations (chilled methanol and cryogenic distillation). Table 3 introduces the set of separators allowed within the control volume and their parameters selection.
Mass Balances

The sharp-split assumption allows for a linear mathematical description only if the composition at the beginning of the separation train is fixed. For this reason, the modeling choice of fixing temperature and pressure at the Gibbs reactors introduced in section 2.2 is justified. This approach has been adopted in literature (Biegler et al., 1997) for the screening of distillation sequences, while the current contribution extends the method to a wider choice of separators. The underlying mathematical formulation reads

$$n_A = n_{A,B,C} \frac{x_A}{x_A + x_B + x_C} = n_{A,B,C} \xi_{A/(B,C)}, \quad (9)$$

where a generic component $A$ is ideally separated out of a mixture ($A, B,$ and $C$), and the split factor $\xi$ is given by the ratio of the mole fractions $x$ at the outlet stream of the reactor. The splits modeled in the framework are listed in Table 4 together with the corresponding feasible separation methods and literature references. It is here stressed that not all the separation techniques are at high readiness level. A few separators are considered feasible for specific separation tasks if characteristic properties, such as kinetic diameter, relative permeability, dew points, etc., suggest so. Nevertheless, most of the separators are state-of-the-art technologies in industry or in laboratory-scale applications.

Energy Balances

The generic separator is divided into an operation and regeneration step. A membrane separates the permeate from the retentate stream, a gas-liquid absorption process is followed by stripping, and an adsorption step via VPSA/TSA is periodically switched into regeneration mode by vacuum generation or heating, respectively. Each method is therefore associated with two distinct $(T, p)$ values for operation $(T, p)_o$ and regeneration $(T, p)_r$, respectively: the feed stream, as well as the outlet from the operation-step, are at $(T, p)_o$. In order to model the adjustment of the internal energy between consecutive separators, heating or cooling, compression or expansion are considered. For thermal-power calculations, the heat capacity $\hat{C}_p$ is calculated as a weighted average for pure components, accounting for the actual mixture composition and the initial and final temperature.

As formerly stated in section 2.1, power recovery by expansion and cooling are not considered as long as they occur above the atmospheric conditions $(T = 298 \, K, \, p = 1 \, \text{bar})$. Nevertheless, the expansion of gas may require inter-heating steps. Similarly, compression may require cooling. The temperature attained after isentropic transformation is calculated as

$$T_{2,id} = T_1 \left( \frac{p_2}{p_1} \right)^{\frac{n}{p}}, \quad (10)$$

where $R$ is the universal gas constant. The real temperature is calculated assuming an efficiency factor of $T = 80\%$. In agreement with the conservative framework, the power requirement for compression is over-estimated by a single, isentropic step as well as the temperature out of compression and expansion. As an example, whenever a process stream delivers gas to higher $(T, p)$ values, the pressurization can be deployed to partly satisfy the need for thermal power input. For an adiabatic compression step, the specific molar electrical work is estimated as

$$\hat{\dot{w}} = \frac{\gamma}{(\gamma - 1)}RT_1 \left( \frac{p_2}{p_1} \right)^{\frac{\gamma - 1}{\gamma}} \frac{1}{n} \left( \frac{\gamma}{\gamma - 1} \right)^{\frac{n}{\gamma} - 1} \left( \frac{\gamma}{\gamma - 1} \right) \quad (11)$$

$$\gamma = \frac{\hat{C}_p}{C_v} \quad (12)$$

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**Table 4 | Numbered list of splits allowed in the superstructure and available separation methods.**

| (ID) Splits | ID available methods | References |
|-------------|----------------------|------------|
| (1) (H$_2$CO$_4$, CO, H$_2$O) IV | [4,5] | |
| (2) (H$_2$CO, CO$_2$, H$_2$O, CH$_4$) VII | [11,30] | |
| (3) (H$_2$CO$_2$, CH$_3$, CO, CH$_3$) VI | [21,23,30,33] | |
| (4) (H$_2$O, CO, CO, CO, CH$_4$) VI, VIII | [20,21,23,33,38] | |
| (5) (H$_2$O, CO, CO, CO$_2$, H$_2$O) II | [4,5,31] | |
| (6) (H$_2$O, CO, CO, CO$_2$, H$_2$O) II, VI, VII | [2,4,5,8,10,11,12,34] | |
| (7) (CO)/(CO, H$_2$, CH$_4$) V | [4,5] | |
| (8) (CO)/(CO, H$_2$, CH$_4$) III, X | [12,16,32] | |
| (9) (H$_2$O, CO, CO, CO) VI, VII, VIII | [20,21,23,30,33,38] | |
| (10) (H$_2$O, CO, CO, CO) VI, VII | [3,11,12,31,34,38] | |
| (11) (CO)/(CO, H$_2$, CO) V | [3,5] | |
| (12) (H$_2$O, CO, CO, CO) VI, VII, VIII | [20,21,23,30,33,38] | |
| (13) (CO)/(CO, H$_2$, CO) V, X, X | [6,12,14,15,16,17,18,27,28] | |
| (14) (H$_2$O, CO, CO) VI, VII | [3,7,8,9,13,20,34,37] | |
| (15) (CO)/(CO, H$_2$) V | [3,5] | |
| (16) (CO)/(CO, H$_2$) V | [19] | |
| (17) (CO)/(CO, H$_2$) V | [9,19] | |
| (18) (H$_2$O, CO, CH$_4$) VI, VII, VIII | [4,8,10,11,12,34,35,36] | |
| (19) (CO)/(CO, H$_2$) V | [3,5] | |
| (20) (CO)/(CO, H$_2$) V | [4,5] | |
| (21) (H$_2$O) VI, VII, VIII, IX, X | [8,10,14,24,26] | |
| (22) (CO)/(CO, H$_2$) V, X, X | [12,15,16,19,25,26] | |
| (23) (CO)/(CO, H$_2$) III, IV, V | [20,21,22,23] | |
| (24) (CO)/(CO, H$_2$) V | [1,3,4,5,14,19] | |
| (25) (CO)/(CO, H$_2$) V, X, V, VI | [3,8,10,11,12,13,14,15,16,17,18,34] | |
| (26) (CO)/(CO, H$_2$) V, VI, VII | [1,2,3,4,5,6,7,8,10,11,12] | |

**References are included:** [1] Ballim and Thomas (1991); [2] Jang et al. (2011); [3] Fitter and Elsner (2007); [4] Dutta and Pauli (1999); [5] Gao et al. (2016, 2018); [6] DiMartino et al. (1998); [7] Kim et al. (2013); [8] MEDAL™ Air Liquide – hydrogen purification; [9] Lebbing et al. (2019); [10] Poudel et al. (2019); [11] Li et al. (2003); [12] Häussinger et al. (2011); [13] Sircar and Golden (2003); [14] Wang et al. (2008); [15] MEDAL™ Air Liquide – biogas purification; [16] Zhai et al. (2009); [17] Burr and Lyddon (2008); [18] Hochgesand (1970); [19] Grande et al. (2017); [20] Wang and LeVan (2009); [21] Sjöbom et al. (2009); [22] Han et al. (2015); [23] Schles et al. (2012); [24] Yang et al. (1997); [25] Masood et al. (2014); [26] SGC (2012); [27] Peinem et al. (2013); [28] Maludravand and Tazol (2010); [29] Merer et al. (2008); [30] Mietz et al. (2008); [31] DELGADO et al. (2014); [32] Wurzbacher et al. (2012); [33] Merkel et al. (2001); [34] Agonewal et al. (2010); [35] Mondal et al. (2012); [36] Park et al. (2003); [37] Lin et al. (2012); [38] Neta and Olić (2011).
where $\eta = 0.8$ is the efficiency, and $\gamma$ is the ratio of specific heats at constant pressure and constant volume (see Grande and Rodrigues, 2007). Equation (11) defines a coefficient which multiplies the gas flowrate, i.e., the mathematical description is linear. The same formula is adopted to model vacuum operations as in Grande and Rodrigues (2007), e.g., vacuum normalization in VPSA or membrane separators. For the latter, the pressure in the retentate is assumed to be 20 times higher than in the permeate side, set at $p = 0.1$ bar is assumed (Huang et al., 2014). In order to describe the energy demand of each separator, additional and method-specific calculations are included.

### Cryogenic separator

The non-ideal coefficient of performance (COP) describes the ratio between the thermal power absorbed by the refrigerant and the real electrical power required at the compressor in a cryogenic loop. The definition of COP reads

$$COP_{\text{real}} = COP_{\text{id}} \eta = \frac{Q}{W_{\text{id}}} = \frac{T_{\text{ev}}^R}{T_{\text{cond}}^R} \eta, \quad (13)$$

where $W_{\text{id}}$ is the ideal electrical power requirement. In an ideal case, it corresponds to a reverse Carnot cycle between the cryogenic evaporation temperature of the refrigerant, $T_{\text{ev}}^R$ and the temperature at which the refrigerant releases its internal energy by condensation, $T_{\text{cond}}^R$. The ideal COP is corrected by a thermodynamic efficiency factor $\eta$ of 60% (Smith, 2005). Q is the thermal power acquired by the refrigerant during cryogenic evaporation and is lost by the cooling process fluid in order to reach the required cryogenic temperature. A proportionality coefficient for the estimation of the power requirement in cryogenic operations is derived by rearranging Equation (13):

$$\dot{w} = \frac{1}{COP_{\text{id}} \eta} Q = \frac{1}{\eta} \frac{T_{\text{cond}}^R - T_{\text{ev}}^R \hat{C}p}{T_{\text{amb}} - T_{\text{cryo}}} \left( T_{\text{amb}} - T_{\text{cryo}} \right). \quad (14)$$

In Equation (14), a distinction is made between the cryogenic temperatures $T_{\text{cryo}}$ and $T_{\text{ev}}^R$, the former being 15 K higher than the latter, thus ensuring the heat transfer.

### Temperature-swing adsorption: TSA

TSA operations consist of cyclic switch between operation and regeneration at high temperature of the adsorbent bed. Consequently, the relevant contributions for regeneration are the heat of desorption for the entrained components $Q_{\text{des}}$ and the heat input to raise the bed temperature $Q_{\text{bed}}$:

$$Q_{\text{TSA}} = Q_{\text{bed}} + Q_{\text{des}} = (\bar{q}_{\text{bed}} + \bar{q}_{\text{des}}) n_{\text{feed}} \quad (15)$$

$$\bar{q}_{\text{bed}} = (T_{\text{reg}} - T_{\text{op}}) \sum_{k \in K} \left( \frac{1}{\eta_i} \hat{C}p_{\text{bed},i} MW_i x_{\text{bed},i} \right), \quad (16)$$

$$\bar{q}_{\text{des}} = \sum_{k \in K} (\bar{q}_{\text{des},i} x_{\text{des},i}) \quad (17)$$

For Equations (16) and (17), sets $k$ and $K$ are introduced:

$$k \subset K, \quad k = \{i, l | i = \{\text{components adsorbed by adsorbent-type } l\}, \quad l = \{\text{adsorbent-type } l\} \quad (18)$$

In Equation (16), $\theta_i^{-1} MW_i$ is the molar mass of adsorbent required to adsorb component $i$, which is multiplied by the specific heat per unit of mass of adsorbent $\hat{C}p$. Furthermore, $MW_i$ is the molecular weight. In Equation (17), $\bar{q}_{\text{des},i}$ is the molar heat of desorption for component $i$.

Layered beds are also considered, which necessitates the subscript $l$ and the summation operator in Equations (16) and (17).

### Absorption in glycol, amines, or chilled methanol

The gas-liquid absorption processes require high-temperature regeneration. It is often the case that the absorption operation is performed in pressurized vessels. Unit-specific calculations must account for the change of the internal energy of the fluid sent to regeneration. The specific thermal power input reads

$$\bar{q}_{\text{rec}} = \theta_{\text{rec}} \hat{C}p_{\text{entrainer}} \left( T_{\text{reg}} - T_{\text{op}} \right) \quad (19)$$

where $\theta_{\text{rec}}$ is the ratio between the flowrate of entrainer required per unit of entrained key component (circulation rate). The description of amine and glycol absorption resort to Equation (19). The absorption of CO$_2$ in methanol occurs at cryogenic conditions, whereas the regeneration is operated at ambient temperature. As a consequence, this specific separator is described by the relations already introduced for cryogenic systems in section (2.4.2).

### Modeling of Interconnections

This section discusses the conceptual structures that enable to build the final set of problem constraints in a linear form.

#### Mixture

For a given mixture $\phi$, there are two sets of mass balances:

$$\xi_{\phi} n_i - \sum_j n_{ji} = 0 \quad \forall \ i \in I, \quad (20)$$

$$n_j - \sum_i n_{ji} = 0 \quad \forall \ j \in J. \quad (21)$$

Sets $I$ and $J$ in Equations (20) and (21) incorporate the feed streams to the separators that generate and accept $\phi$, respectively. Furthermore, $n_i$ and $n_j$ are the feed flowrates to the separators, while $n_{ji}$ represents the flowrate of the connecting stream from separator $i$ to $j$; $\xi_{\phi}^i$ is the split factor associated with separator $i$ and its outlet mixture $\phi$. Coefficients of molar thermal energy and work (see section Modeling of Separators) are multiplied by the corresponding flowrates $n_{ji}$. The linear description of the change in $(T, p)$ between consecutive separators is formulated as

$$Q_{ji} - \bar{q}_{ji} n_{ji} = 0, \quad (22)$$

$$W_{ji} - \bar{w}_{ji} n_{ji} = 0, \quad (23)$$
where $Q$ and $W$ denote the thermal and electrical power, respectively.

Set $\Phi$ is introduced. It groups Equations (20, 21, 22, 23), related to the specified mixture $\phi$.

**Separation Train**

Each stream $j$, belonging to set $\Phi_1$ (see nomenclature in section Mixture), is associated with two streams $i$ belonging to $\Phi_2$ and $\Phi_3$, respectively. Therefore, two equations describing the connectivity between equations sets $\Phi_1$ and $\Phi_2$, and $\Phi_1$ and $\Phi_3$, can be formulated:

$$n_j,\phi_1 - n_i,\phi_2 = 0, \quad (24)$$

$$n_j,\phi_1 - n_i,\phi_3 = 0, \quad (25)$$

indicating that stream $j$ in set $\Phi_1$ coincides with $i$ in $\Phi_2$ and $\Phi_3$, respectively. Such concatenation constraints make sure that the mass balances downstream of each conversion technology are fulfilled.

**Substance Nodes**

Each reactor node $i$, provided from an external source, can be delivered to any $j^{th}$ reactor $j \in J$, “make-up” stream. For each reactor feed in set $J$, a constraint is imposed with respect to $i$:

$$n_{ij, fed} + \sum_{k \in K} n_{ij,k}^{recycle} - n_{ij}^{feed} = 0, \quad (27)$$

where $K$ is the set of process units which produce a stream of pure $i$. Equation (27) binds the component flowrate of $i$ in the reactor feed to the make-up stream for the same reactor as well as to all the relevant recyclers within the plant.

**Outlet and Syngas Nodes**

As previously discussed in section (2.1), excess $H_2$ and $CH_4$ can leave the plant after pressurization, as excess $CO_2$ and $H_2O$ can be released into the environment, whereas $O_2$ can be used in oxy-combustion for heat generation. For each component leaving the plant, a node balance is introduced:

$$\sum_{j \in J} n_{ij} - n_{i, out} = 0, \quad (28)$$

where $J$ is the set of process-units which produce or separate component $i$, leaving the battery limit. Similarly, the following syngas node balances are required:

$$\sum_{j \in J} n_{CO_j} - n_{CO_{syngas}} = 0, \quad (29)$$

$$\sum_{k \in K} n_{H_2,k} - n_{H_2, syngas} = 0, \quad (30)$$

$$n_{H_2, syngas} - \psi n_{CO_{syngas}} = 0, \quad (31)$$

Equation (31) sets the syngas ratio to the desired value for downstream applications.

**Logical Constraints**

Logical constraints are imposed such that at most one separation method per task is active. Binary variables support such logical conditions:

$$\sum_{i \in I} y_i - 1 = 0, \quad (32)$$

$$n_i - My_i \leq 0, \quad \forall i \in I. \quad (33)$$

When the binary operator $y_i$ in Equations (32) and (33) is zero, the feed flowrate $n_i$ to separator $i$ belonging to the set $I$ of methods accomplishing the given task is also zero. On the contrary, if $y_i = 1$ the separator is active. $M$ is a constant whose value is big enough but possibly of the same order of magnitude. The selected value for implementation is four times the specified syngas productivity. If the value of $M$ exceeds the value of the underlying flowrate for several orders of magnitude, numerical issues might be encountered, and the condition of mutual exclusivity among separators is violated.

Each reactor has an associated separation sequence. Even though RWGS, SR, POX, DR, and TRI could share the same separators, five distinct separation-trains are implemented, which allows for linearity to be preserved. In case the selected route for separation strongly depends on the outlet composition from the reactor, redundancies may occur if more reactors are simultaneously operated. For instance, membrane and adsorption technologies may entrain the same key component out of a given mixture in the downstream of two distinct reactors. The optimal separation sequence can therefore be homogenized by introducing complexity-reduction constraints.
For each separator shared among $N$ different separation-trains, an inequality is implemented:

$$\frac{1}{N} \sum_{i \in I} y_i - y_{CC} \leq 0. \quad (34)$$

The new binary variable $y_{CC}$ appears in the final inequality which sets the maximum number of units allowed within the plant $N_{max}$ as

$$\sum_{j \in J} y^C + \sum_{k \in K} y_k - N_{max} \leq 0. \quad (35)$$

Inequality (35) combines the binary variables associated to the possibly redundant units, set $J$, with the binaries of unique units, set $K$, i.e., reactors and non-redundant separators. For $N_{max} = +\infty$, unitary binaries can be paired with zero-flow units. In case the maximum number of units allowed is less than the number generated by MILP in the unconstrained case, $N_{max} \leq N_{unconstrained}$ the plant is forced toward higher power requirements. Each binary variable therefore assumes unitary value only if the corresponding unit operation is active and $N_{max}$ coincides with the actual number of operating units.

**Objective Function**

The general formulation of the objective function reads

$$\min_{n,y} (Q + \omega W), \quad (36)$$

where a pseudo-price $\omega \in [0,1]$ is introduced. The process is optimized with respect to the molar flowrates, in vector $n$, and of binary variables, in vector $y$. The objective penalizes the electrical power demand, $W$, added to the thermal power demand $Q$. The values of $\omega$, spanning within its boundaries, generates a Pareto front with respect to the power consumption. For decreasing values of $\omega$, less relevance is given to the electricity demand. This reflects a transition toward plant layouts favoring the use of sustainable, carbon-neutral sources of electricity.

In this contribution, topological results and total power consumption are explored at the boundaries of the pseudo-price domain, namely $\omega = 1$, objective (A):

$$\min_{n,y} (Q + W), \quad (37)$$

and $\omega = 0$, objective (B):

$$\min_{n,y} Q. \quad (38)$$

Objectives and constraints are linear, and decision variables are either continuous or integer. The resulting MILP problems are solved in MATLAB® 2018b using the function intlinprog. The algorithm solves and tightens LP relaxations before implementing heuristics and branch-and-bound strategies.

| TABLE 5 | Topological results for unconstrained plant complexity. |
|-----------------|-------------------|----------------|----------------|
| **Downstream** | **Reactors** | **ID separation** | **n° units** | **redundancies** |
| **(A) $\min_{n,y} (Q + W)$** | | | | |
| Phosgene | RWGS,DR | V ,VI | 10 | 4 |
| Monsanto | RWGS,DR | V ,VI | 10 | 4 |
| Hydroformylation | SR,DR,TRI,EL | LV,VI | 12 | 4 |
| Iron ore | SR,DR,TRI,EL | V ,VI, VIII | 12 | 4 |
| Fischer Tropsch | SR,DR,TRI,EL | V ,VI | 9 | 1 |
| Methanol | SR,DR,TRI,EL | LV,VI | 11 | 4 |
| **(B) $\min_{n,y} Q$** | | | | |
| Phosgene | POX,EL | V ,VI,XII | 7 | 1 |
| Monsanto | POX,EL | V ,VI,XII | 7 | 1 |
| Hydroformylation | POX,EL | V ,VI,XII | 7 | 1 |
| Iron ore | POX,EL | V ,VI,XII | 7 | 1 |
| Fischer Tropsch | POX,EL | V ,VI,XII | 7 | 1 |
| Methanol | POX,EL | V ,VI,XII | 7 | 1 |

The number of redundant units is derived according to the criteria presented in section 3.1.

**RESULTS AND DISCUSSION**

The aim of this section is to introduce and discuss the optimization results resulting from the enforcement of objective (A) and (B).

Firstly, biogas is considered as feedstock for unconstrained plant complexity. Thereafter, redundancies are removed by application of Equation (35). Total, thermal, and electrical power demands are reported for the resulting plant topologies and compared with the respective requirements after heat integration (pinch analysis, minimum $\Delta T = 15$ K). Subsequently, the feed is limited to CO$_2$ from DAC. The power contributions are expressed per molar flow of syngas.

**Feedstock: Biogas**

Plant topologies obtained for objective (A) and (B) and unconstrained plant complexity are reported in Tables 5A,B. The number of units account for possible redundancies. As an example, for objective (A) and phosgene synthesis, the optimizer identifies an interaction between RWGS and DR and a plant comprising 10 units. The quinary outlet gas from DR shares 4 components with the outlet from RWGS, including the components of the biogas feed stream: CO$_2$ and CH$_4$. At most, therefore, three separators can be shared between DR and RWGS, one of which splits the biogas stream into pure CO$_2$ and CH$_4$. As a matter of fact, four separators and two reactors are sufficient to perform the production, and four units are redundant. Interactions among reactors are not deemed redundant.

Results show that for unconstrained complexity, redundancies always occur. These results are therefore not representative of implementable solutions in terms of downstream operations.
TABLE 6 | Plant topology and power consumption, divided into thermal percent (T%), electrical percent (E%), and total power (tot.) and compared with total power required after heat integration via pinch (HI).

(A) min_n,\psi (Q + W)

| Downstream | Reactors (separators)     | T % | E % | tot.: (with HI) [kJ/mol\text{syngas}] |
|------------|---------------------------|-----|-----|--------------------------------------|
| Phosgene   | DR(3e, 6a, 19v, 22u)      | 70.1| 29.9| 232; (188)                           |
| Monsanto   | DR(3e, 6a, 19v, 22u)      | 61.6| 38.4| 262; (219)                           |
| Hydroformylation | DR, SR, TR(3e, 6a, 19v, 22u) | 77.1| 22.9| 147; (96)                           |
| Iron Ore   | EL, DR, SR, TR(3e, 6a, 19v, 22u) | 75.7| 24.3| 125; (110)                           |
| Fischer Tropsch | EL, SR, TR(3e, 6a, 19v, 22u) | 63.5| 36.5| 128; (112)                           |
| Methanol   | EL, SR, TR(3e, 6a, 19v, 22u) | 57.0| 43.0| 139; (116)                           |

(B) min_n,\psi Q

| Downstream | Reactors (separators)     | T % | E % | tot.: (with HI) [kJ/mol\text{syngas}] |
|------------|---------------------------|-----|-----|--------------------------------------|
| Phosgene   | EL, POX(3e, 6a, 19v, 22u) | 2.8 | 97.2| 638; (620)                           |
| Monsanto   | EL, POX(3e, 6a, 19v, 22u) | 2.7 | 97.3| 662; (644)                           |
| Hydroformylation | EL, POX(3e, 6a, 19v, 22u) | 2.7 | 97.3| 323; (314)                           |
| Iron Ore   | EL, POX(3e, 6a, 19v, 22u) | 7.1 | 92.9| 247; (229)                           |
| Fischer Tropsch | EL, POX(2e, 4a, 19v, 22u) | 3.1 | 96.9| 200; (194)                           |
| Methanol   | EL, POX(2e, 4a, 19v, 22u) | 2.7 | 97.3| 206; (200)                           |

Biogas as feedstock.

Nevertheless, relevant general features of the resulting configurations can be observed:

- POX is never part of the solution with objective (A) but is always selected with (B);
- DR results from all syngas applications with objective (A);
- The pair TRI-EL is selected for high syngas ratios with (A) while RWGS is for low syngas ratios;
- None of the separation trains allows for absorption-based methods;
- Cryogenics is selected by enforcement of objective (B) and for any downstream application, i.e., separation of CH₄ from H₂ (method VII).

Redundancies are thus removed in the setting N_{max} = n_{Units} − n_{Redundancies} in Equation (35). In case redundancies are still present after reduction, e.g., if a lower number of reactors is selected, N_{max} is updated and the procedure reiterated. The Tables 6A, B include the plant configurations thus obtained. Reactor-wise, they reflect the same pattern discussed for the case of unconstrained complexity in Table 5: EL and POX come with objective (B), whereas POX does not activate with (A). In (A), DR is the only reactor active for low syngas ratios, whereas combinations of TRI, EL, and SR are better suited for higher ratios. Separator-wise, the predominance of adsorption and membranes over absorption methods is confirmed, whereas cryogenics with objective (B) is not due to the restriction imposed on the number of units: if biogas has to be split, the binary mixture to be separated in the biogas train is necessarily (CH₄/CO₂), split 22 in Table 4 and is thus shared with the downstream of POX. The only cryogenic method available for this task is distillation, conducted at pressure higher than POX reactor—Table 3. The thermal power input to adjust the temperature of CH₄ to the reactor level is therefore far higher than the input required from the selected separator, the polymeric membrane, which can decrease this thermal duty by adiabatic temperature increase after vacuum normalization and pressurization (adiabatic temperature increase). Palladium membrane for the separation of H₂ is the result if the electrical power is not penalized.

In objective (A), the predominant power contribution is thermal, whereas most of the power required with objective (B) is electrical. Partial contributions to the total power without heat integration are represented in Figures 4, 5. The sum of reactor and preconditioning gives the total reactor power input, the former being associated with the chemical reaction in the reactor only, while the latter accounts for the pressure and temperature adjustment to bring the reactants to feed conditions. Adjustment denotes the power input to meet the (T, p) level at which syngas is required for its further downstream applications; storage is associated with the power for pressurization of surplus H₂, while separation comprises the remaining contributions.

With both objectives (A) and (B), the reactors dominate the total power demand and exhibit a decreasing trend with H₂/CO. The high power requirement for storage with objective (B) is determined by the large H₂ surplus, accompanying the large O₂ demand at the POX reactor to sustain the CO production (see Table 2 for POX stoichiometry).

Within the context of objective (A), the pinch analysis determines a substantial recovery of heat within the separation train and complete recovery in the context of (B). Nevertheless, all the reactors in (A) are heat sinks at high temperatures. Consequently, they cannot benefit from heat integration. As highlighted by the energy contributions in Figure 4, the power requirement at the reactors dominates over the other
FIGURE 4 | Contributions to the total power requirements for \( \min_{n_y} (Q + W) \), biogas as feedstock.

FIGURE 5 | Contributions to the total power requirements for \( \min_{n_y} Q \), biogas as feedstock.

FIGURE 6 | Comparison among total power demands after heat integration (HI) for the two objectives, \( \min_{n_y} (Q + W) \) and \( \min_{n_y} Q \), for biogas as feedstock, with and without surplus bio-CH\(_4\) utilization. The electrical power is generated by gas-fired power plant of 60% efficiency, \( Q_{\text{powerplant}} = W/0.6 \).

be used to generate carbon-neutral hot and electrical utilities by combustion. Figure 6 depicts the net total power demand, or demand for possibly fossil-based power, in terms of hot utility after heat integration and with objectives (A) and (B). It is assumed that

I. Electricity is generated by a gas-fired power plant (worst-case scenario) with an efficiency of 60%: \( Q_E = W/0.6 \);

II. The hot utility at the syngas and power plant is provided directly by the combustion of CH\(_4\) (low heating value \( LHV = 785 \text{ kJ/mol} \));

III. The heat generated by combustion of surplus bio-CH\(_4\) can be directly transferred to the process or the power plant, thus neglecting the generation of steam and the irreversibilities.

Assumption III necessarily outlines the most optimistic scenario, which is to be benchmarked against the demand which does not account for bio-CH\(_4\) utilization: the actual power demand is expected to fall between these boundaries. As indicated by Figure 6, plant configurations resulting with objective (A) can greatly benefit from the utilization of surplus bio-CH\(_4\), especially for low syngas ratios. On the contrary, with objective (B), bio-CH\(_4\) is entirely converted, as CH\(_4\) is the only feedstock for POX.

Feedstock: CO\(_2\) From DAC

Direct air capture (DAC) provides pure CO\(_2\) to the plant and substitutes biogas as a source of carbon for the system. In this system, low temperature (LT) solid sorbent performs DAC with a thermal and electrical power input of 277 and 39 kJ/mol\(\text{CO}_2\), respectively. The values are adapted from Fasihi et al. (2019). Table 7 shows that the combination of EL and RWGS, the only technology available for the feedstock, is followed by combinations of membranes (polymeric and Pd)
and VPSA operations. The contributions to the total power, similar between objectives (A) and (B), are shifted toward electricity and proportional to the syngas ratio. Compared with the corresponding values in Table 6, the power demands are conspicuously higher due to the presence of DAC, the latter spanning between 19 and 37% of the required total input.

CONCLUSIONS

Candidate process topologies for Power-to-Syngas applications are embedded within a superstructure. Mixed-integer, linear constraints are set for alternative feedstock scenarios: biogas and CO₂ from DAC (LT-solid sorbent). A general objective-function formulation combines thermal and electrical power input linearly. The electrical power contribution is weighted by a pseudo price \( \omega \in [0, 1] \). For \( \omega \to 1 \), electricity is penalized, e.g., purchased from fossil-based power plant facilities, whereas \( \omega \to 0 \) assumes carbon-free in-house electricity production. The resulting MILP problems are solved for extreme-value scenarios: objective (A) for \( \omega = 1 \) and objective (B) for \( \omega = 0 \). Results show that the higher total power demand with (B) with respect to (A) is compensated by the predominance of electricity demand, up to 97%, possibly increasing after heat integration. Furthermore, topological configurations with (B) include two reactors for any syngas application, EL and POX, whereas interaction among EL, SR, TRI, and DR result in (A). Forcing the plant complexity toward a single reactor would therefore increase the total energy values. Surplus bio-CH₄ can relieve the demand for external fossil-based fuel, especially for downstream applications requiring low syngas ratios.

Membranes and adsorption-based separators prevail over absorption and scrubbing methods. Cryogenics possibly result with (B). For CO₂ as feedstock, the combination of EL, RWGS, and DAC enhances the total power requirements even though the electricity demand prevails over thermal inputs.

Assuming that proper storage strategies to face the intermittent nature of renewable resources are available, such as batteries for electricity and biogas buffer tanks, process configuration favoring electricity over thermal inputs are preferable for applications requiring high syngas ratios, suggesting the implementation of POX in conjunction with EL. On the contrary, for low ratios, surplus bio-CH₄ can be utilized to mitigate the thermal power requirements for DR, resulting with (A). Nevertheless, in case the effect of coking in DR were mitigated by introduction of oxygen (oxy-DR), the energy demand is expected to increase substantially due to the introduction of EL.

The possibility of a severe drop in biogas availability over the long-term suggests the implementation of reverse water-gas-shift to allow for operations based on CO₂ as feedstock.

DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article can be made available by the authors upon request, without undue reservation.

AUTHOR CONTRIBUTIONS

KS conceived of the general framework: the application of superstructure optimization for the Power-to-Syngas processes. AM identified the specific system elements of interest, developed the underlying theory, and produced the numerical results. AM interpreted the results and generated the manuscript. MW supervised AM through his work, providing suggestions concerning the theoretical background and the interpretation of results. Furthermore, MW also contributed to the generation of the manuscript.
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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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