Wideband and Highly-Integrated Dual-Mode LTCC Filter Using Vertically Stacked Double-Ring Resonator

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Abstract—A wideband dual-mode band-pass filter (BPF) is proposed and implemented using a vertically stacked double-ring resonator (VSDR) and a pair of broadside-coupled input/output (I/O) feeding lines based on a 4-layer low temperature cofired ceramic (LTCC) substrate. The proposed BPF is required to cover the fifth generation (5G) N77/N78/N79 band (3.3–5 GHz), thus achieves a fractional bandwidth (FBW) of 40%. Furthermore, the proposed structure not only possesses a non-orthogonal I/O feeding style for convenient interconnection with neighboring devices, but also removes disturbing element for simpler layout. Comparison and discussion are implemented as well.

1. INTRODUCTION

Nowadays, the fifth generation (5G) mobile communication has been on its business operation with high-speed and high-capacity data transmissions. The 5G communication requires to use sub 6 GHz frequency spectrum to transmit wideband signals. The most promising bands for 5G new radio (NR) in frequency range 1 (FR1) are N77 (3.3–4.2 GHz), N78 (3.3–3.8 GHz), and N79 (4.4–5 GHz) [1, 2]. Therefore, there is an increasing requirement of 5G band-pass filters (BPFs), which are required to fulfill the needs of wideband characteristic, high integration, compact size, good performances, and convenient input/output (I/O) feeding. The proposed BPF in this letter is required to cover 5G N77/N78/N79 band, which is 3.3–5 GHz. Although modern dual-mode BPFs achieve a size reduction of 50% compared with single-mode ones, a large number of them suffer a limited bandwidth [3–6]. Furthermore, conventional dual-mode BPFs use orthogonal I/O feeding ports to excite two degenerate modes [7–9], which result in interconnecting difficulties with neighboring devices. Moreover, disturbing elements to excite two degenerate modes in conventional dual-mode BPFs take up a certain circuit space and increase the optimization work [10, 11].

In this paper, a dual-mode BPF is proposed to cover 5G N77/N78/N79 band. Owing to highly-integrated low temperature cofired ceramic (LTCC) technology, a vertically stacked double-ring resonator (VSDR) and a pair of broadside-coupled feeding I/O lines are vertically arrayed to achieve a wideband characteristic and a high level of integration. The merits of the proposed BPF include wideband characteristic, high level integration, non-orthogonal feeding, and no need of disturbing element.

2. CIRCUIT DESIGN AND ANALYSIS

2.1. Structure of the Proposed BPF

The structure of the proposed dual-mode BPF implemented on a 4-layer LTCC substrate is shown in Figure 1. Layers 1 and 3 are used to accommodate the I/O feeding lines, simultaneously, and...
Figure 1. Structure of the proposed BPF.

Figure 2. Plane geometry with parameters’ definitions.

Layers 2 and 4 are used to accommodate VSDR. The 1st and 2nd rings of VSDR are placed on Layer 2 and Layer 4, respectively. For a strong coupling between the two rings of VSDR, the two rings are vertically stacked with broadside coupling effect. The I/O feeding lines use a stepped T-shaped structure on Layer 1, simultaneously, interconnected with another stepped T-shaped structure on Layer 3. The interconnections between the stepped T-shaped structures on Layer 1 and Layer 3 utilize via-interconnections. The VSDR and T-shape structures are interconnected with broadside-coupling effect as well, which helps enhance the bandwidth of the proposed BPF. The bottom metal is set to the ground layer. The plane geometry and dimensions’ parameters of each section line are defined in Figure 2.
2.2. VSDR Design and Analysis

The topology of the proposed BPF is shown in Figure 3(a), where the yellow nodes represent source or load; blue nodes represent odd-mode and even-mode resonator; solid lines represent direct-coupling $m_{oo}$ and $m_{ee}$; dashed lines represent cross-coupling $m_{oe}$ between different modes of two resonators. The coupling strength of the two ring resonators is controlled by the width of resonator’s line and the height between the two rings. The wider the line’s width is, the stronger the coupling strength is. The less height (fewer layers) is between two rings, the stronger the coupling strength is as well. The VSDR can be analyzed by using its odd- and even-mode equivalent circuits, respectively shown in Figures 3(b) and (c). The transmission characteristic equations are thus derived in Equations (1)–(4).

\[
\begin{align*}
\tan(\theta_{1f_{no}}) + 2D \tan(\theta_{s}f_{no}) - D^2 \tan^2(\theta_{s}f_{no}) \tan(\theta_{1f_{no}}) &= 0 \quad (1) \\
D^2 \tan(\theta_{1f_{ne}}) + 2D \tan(\theta_{s}f_{ne}) - \tan^2(\theta_{s}f_{ne}) \tan(\theta_{1f_{ne}}) &= 0 \quad (2) \\
\theta_{1} &= \pi - 2\theta_{s} \quad (3) \\
D &= \frac{Z_{s}}{Z_{r}} \quad (4)
\end{align*}
\]

where $f_{no}$ and $f_{ne}$ are the normalized odd- and even-mode frequencies; $\theta_{s}$ is the electrical length of the stepped T-shaped transmission line; and $D$ is the impedance ratio. Equations (1)–(2) can be reduced to Equations (5)–(6) if one considers a case of a small step. Thus, it can be shown that the deviation from the normalized natural resonant frequency of the ring is approximately a linear function of the step angle for a given impedance ratio.

\[
\begin{align*}
f_{no} &= 1 + \frac{2\theta_{s}}{\pi}(1 - D) \quad (5) \\
f_{ne} &= 1 - \frac{2\theta_{s}}{\pi D}(1 - D) \quad (6)
\end{align*}
\]

2.3. BPF Design and Analysis

The effective coupling between the stacked two rings is governed by the thickness $h$ of VSDR, which in turn sandwiches the T-shaped coupled lines. Using the method in [12] of determining the loaded and unloaded $Q$-factor, $Q_{1}$, and $Q_{u1}$, respectively, the external $Q$-factor $Q_{e}$, Equations (7)–(8) are determined as a function of $h$ in Figure 4:

\[
\begin{align*}
Q_{u1} &= \frac{Q_{1}}{1 - 10^{-\frac{h}{\pi}}} \quad (7) \\
Q_{e} &= \frac{2Q_{1}Q_{u1}}{Q_{u1} - Q_{1}} \quad (8)
\end{align*}
\]
The proposed dual-mode BPF based on above topology is therefore specified and designed. The coupling $k$ between the stacked two rings and external $Q$-factor $Q_e$ of the BPF can thus be determined by using Equations (9)–(10).

\[
k = \frac{\omega}{\sqrt{g_1 g_2}} \quad (9)
\]

\[
Q_e = \frac{g_0 g_1}{\omega} \quad (10)
\]

Then center frequency and the bandwidth of the BPF are 4.15 GHz and 1.7 GHz, respectively. The normalized odd-mode and even-mode frequencies $f_{no}$ and $f_{ne}$ are selected to be 1.2 and 0.8, respectively. Using Equations (3)–(4), $D$ and $\theta_s$ are calculated to be 0.3 and 0.4, respectively. The coupling coefficient $k'$ between the split modes of the VSDR is then determined with Equation (11). The larger quantity of LTCC layers, the higher fabrication cost. With the considerations of balancing the integration level and fabrication cost, the line’s widths ($w_1$ and $w_2$) and the layers’ quantity between the two rings ($h$) of the VSDR are subsequently selected to be 0.32 mm and 0.2 mm, respectively.

\[
k' = \frac{f_{no}^2 + f_{ne}^2}{f_{no}^2 - f_{ne}^2} \quad (11)
\]

3. SIMULATED AND MEASURED RESULTS

The proposed BPF is fabricated on a 4-layer Ferro-A6 LTCC substrate. Each layer has a post-fired thickness of 0.1 mm with a dielectric constant of 5.9 and loss tangent of 0.002. AWR Microwave office simulator [13] and AXIEM solver [14] based on the full-wave electromagnetic (EM) simulation are used for the circuit simulation and electromagnetic (EM)-simulation, respectively. The dimension parameters are defined in Figure 2, and the optimal dimensions are listed in Table 1. A photograph of the proposed BPF is given in Figure 5(a). Ground-signal-ground (GSG) pads are reserved at the I/O ports for probe measurements. Measurements are carried out by Agilent N5230C network analyzer and Cascade Microtech Summit 9000 probe stations with 400 µm -GSG probes, shown in Figure 5(b). The circuit-simulated, EM-simulated and measured results are shown in Figure 6. The measured results are in a very good agreement with the simulated ones. It should be noted that the EM-simulated and the measured center frequencies are 4.15 and 4.11 GHz, respectively. The tiny frequency shift is caused by the ceramic shrinking after cofiring. The measured in-band $S_{11}$ and $S_{21}$ are better than $-15$ and $-0.5$ dB,
Figure 5. (a) Photograph of the proposed BPF and (b) photograph of the measurements with GSG-probes.

Figure 6. Simulated and measured S-parameters of the proposed BPF.

respectively. Notably, the proposed BPF has a wide upper band suppression of 23 dB up to the frequency of $2f_0$ (8.8 GHz). The size of the proposed BPF is only $5.2 \times 5.66 \times 0.14\lambda_g \times 0.24\lambda_g$, where $\lambda_g$ is the guided wavelength at the center frequency of 4.15 GHz based on a 4-layer LTCC substrate. One transmission zero at 5.4 GHz is produced due to the crossing-coupling between two different modes of the cascaded resonators. The simulated transmission poles are located at 3.5 and 4.5 GHz, respectively. The measured transmission poles are at 3.4 and 4.25 GHz, respectively. The frequency shift of the transmission poles is caused by the LTCC material shrinking after co-firing.

Table 1. Dimensions of the proposed filters (unit: mm).

| Parameter | $L_0$ | $L_1$ | $L_2$ | $L_3$ | $L_4$ | $L_5$ |
|-----------|-------|-------|-------|-------|-------|-------|
| Value     | 1.7   | 2.1   | 4.3   | 1.9   | 1.7   | 1.5   |
| Parameter | $W_0$ | $W_1$ | $W_2$ | $r$   | $R$   |       |
| Value     | 0.55  | 0.32  | 0.32  | 0.3   | 0.6   |       |
4. COMPARISON AND DISCUSSION

Comparison results between the proposed BPF and existing designs are summarized in Table 2. The overall performances of the proposed BPF exceed the performances of existing BPFs in terms of fractional bandwidth (FBW), in-band insertion loss, suppression at $2f_0$, I/O feeding style, size, integration level, and fabrication process [3–12]. Non-orthogonal I/O feeding style is very important for BPFs in transceiver’s front-end systems, as the BPFs are required to be interconnected conveniently with neighboring devices. Conventional orthogonal I/O feeding may require larger circuit size and result in larger insertion loss as bend structures are need to be installed to change the directions I/O feeding lines. Disturbing element removing is also vital as the structure is simplified. The simplified BPF has less optimization work to do with fewer design parameters, and simplified BPF is suitable for mass productions.

Table 2. Performance comparison.

| Refs. | Center frequency $f_0$ (GHz) | FBW (%) | In-band insertion loss at $2f_0$ (dB) | Suppression at $2f_0$ (dB) | I/O feeding style | Disturb element | Size ($\lambda_g \times \lambda_g$) | Level of integration | Fabrication process |
|-------|-----------------------------|---------|--------------------------------------|---------------------------|-------------------|----------------|-----------------|-------------------|-------------------|
| 3     | 9.1                         | 11%     | 1.75                                 | 20 dB                     | Non-orthogonal    | Needless       | 0.54 × 0.27     | Medium            | LTCC              |
| 4     | 73.8                        | 5.5%    | 2.63                                 | 20 dB                     | Non-orthogonal    | Needless       | 0.39 × 0.31     | High              | LTCC              |
| 5     | 3.1                         | 20.9%   | 1.65                                 | 20 dB                     | Non-orthogonal    | Needless       | 0.75 × 0.4      | Medium            | PCB               |
| 6     | 5.35                        | 15.9%   | 3.3                                  | 20 dB                     | Non-orthogonal    | Needless       | 0.54 × 0.27     | Low               | LTCC              |
| 7     | 30                          | 2.3%    | 1.91                                 | 20 dB                     | Non-orthogonal    | Need           | 0.62 × 0.55     | Medium            | LTCC              |
| 8     | 1.95                        | 4%      | 2.8                                  | 20 dB                     | Non-orthogonal    | Need           | 0.42 × 0.42     | Low               | PCB               |
| 9     | 5.22                        | 5.1%    | 1.88                                 | 20 dB                     | Orthogonal        | Need           | 0.29 × 0.29     | Low               | PCB               |
| 10    | 5.82                        | 1.4%    | 1.6                                  | 20 dB                     | Orthogonal        | Need           | 0.32 × 0.32     | Low               | PCB               |
| 11    | 2.45                        | 20%     | 1.3                                  | 20 dB                     | Non-orthogonal    | Need           | 0.54 × 0.52     | High              | LTCC              |
| This work | 4.15                      | 40%     | 0.5                                  | 23 dB                     | Non-orthogonal    | Needless       | 0.14 × 0.24     | High              | LTCC              |

5. CONCLUSION

A dual-mode BPF for 5G applications is proposed and implemented based on a 4-layer LTCC substrate. The merits of the proposed BPF include wideband characteristic, high level integration, non-orthogonal feeding, and no need of disturb element. Comparison shows that the proposed BPF has better performances than existing dual-mode BPFs. The measured results are in a very good agreement with the simulated ones. The proposed BPF not only possesses good performances, but also has a simple structure. The simplified BPF is suitable for 5G mass production.

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