PAIR CORRELATION DENSITIES OF INHOMOGENEOUS QUADRATIC FORMS II

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Abstract. Denote by $\| \cdot \|$ the euclidean norm in $\mathbb{R}^k$. We prove that the local pair correlation density of the sequence $\|m - \alpha\|^k$, $m \in \mathbb{Z}^k$, is that of a Poisson process, under diophantine conditions on the fixed vector $\alpha \in \mathbb{R}^k$: in dimension two, vectors $\alpha$ of any diophantine type are admissible; in higher dimensions ($k > 2$), Poisson statistics are only observed for diophantine vectors of type $\kappa < (k - 1)/(k - 2)$. Our findings support a conjecture of Berry and Tabor on the Poisson nature of spectral correlations in quantized integrable systems.

1. Introduction

1.1. Berry and Tabor [1] have conjectured that the local correlations of quantum energy levels of integrable systems are those of independent random numbers from a Poisson process. We will here present a proof of this conjecture for the two-point correlations of the sequence

$$0 \leq \lambda_1 \leq \lambda_2 \leq \cdots \to \infty$$

given by the values of

$$\|m - \alpha\|^2 = (m_1 - \alpha_1)^2 + \cdots + (m_k - \alpha_k)^2$$

at lattice points $m = (m_1, \ldots, m_k) \in \mathbb{Z}^k$, for fixed $\alpha = (\alpha_1, \ldots, \alpha_k) \in \mathbb{R}^k$. These numbers represent the eigenvalues of the Laplacian

$$-\Delta = -\frac{\partial^2}{\partial x_1^2} - \cdots - \frac{\partial^2}{\partial x_k^2}$$

on the flat torus $T^k$ with quasi-periodicity conditions

$$\varphi(x + l) = e^{-2\pi i \alpha \cdot l} \varphi(x), \quad l \in \mathbb{Z}^k,$$

and may therefore be viewed as energy levels of the quantized geodesic flow. Statistical properties of the above sequence were first studied by Cheng, Lebowitz and Major [3, 4] in dimension $k = 2$. We will here extend our studies [10, 12] to dimensions $k \geq 2$.

Previous results on the Berry-Tabor conjecture for flat tori include [6, 8, 13] in dimension $k = 2$ and [17, 18, 19] for $k > 2$. For more details and references see [2, 8, 11, 14].

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1.2. We are interested in the local correlations between the $\lambda_j$ on the scale of the mean spacing. Because the mean density is increasing as $\lambda \to \infty$, i.e.,

$$\frac{1}{\lambda} \# \{j : \lambda_j \leq \lambda\} = \frac{1}{\lambda} \# \{m \in \mathbb{Z}^k : \|m - \alpha\|^2 \leq \lambda\} \sim B_k \lambda^{k/2-1},$$

where $B_k$ is the volume of the unit ball, it is necessary to rescale the sequence by setting $X_j = \lambda_j^{k/2}$. Then

$$\frac{1}{X} \# \{j : X_j \leq X\} = \frac{1}{X} \# \{m \in \mathbb{Z}^k : \|m - \alpha\|^k \leq X\} \to B_k$$

for $X \to \infty$, and hence the mean spacing is constant, as required.

1.3. The pair correlation density of a sequence with constant mean density $D$ is defined as

$$R_2[a, b](X) = \frac{1}{DX} \# \{i \neq j : X_i, X_j \in [X, 2X], X_i - X_j \in [a, b]\}.$$ 

We recall the following classical result.

1.4. Theorem. If the $X_j$ come from a Poisson process with mean density $D$, one has

$$\lim_{X \to \infty} R_2[a, b](X) = D(b - a)$$

almost surely.

1.5. We will here prove a similar result for the deterministic sequence in [13], which holds, however, only under diophantine conditions on $\alpha$. The vector $\alpha = (\alpha_1, \ldots, \alpha_k) \in \mathbb{R}^k$ is said to be diophantine of type $\kappa$, if there exists a constant $C$ such that

$$\max_j |\alpha_j - \frac{m_j}{q}| > \frac{C}{q^\kappa}$$

for all $m_1, \ldots, m_k, q \in \mathbb{Z}, q > 0$. The smallest possible value for $\kappa$ is $\kappa = 1 + \frac{1}{k}$. In this case $\alpha$ is called badly approximable.

1.6. Theorem. Suppose $\alpha$ is diophantine of type $\kappa < \frac{k-1}{k-2}$ and the components of the vector $(\alpha, 1) \in \mathbb{R}^{k+1}$ are linearly independent over $\mathbb{Q}$. Then

$$\lim_{X \to \infty} R_2[a, b](X) = B_k(b - a).$$

The condition in the theorem is satisfied, if for instance the components of $(\alpha, 1)$ form a basis of a real algebraic number field of the degree $k + 1$. In this case $\kappa = 1 + \frac{1}{k}$.

The condition $\kappa < \frac{k-1}{k-2}$ in Theorem 1.6 is sharp:
1.7. **Theorem.** Let \( k > 2 \). For any \( a > 0 \), there exists a set \( C \subset \mathbb{T}^k \) of second Baire category, for which the following holds.

(i) All \( \alpha \in C \) are diophantine of type \( \kappa = \frac{k-1}{k-2} \), and the components of the vector \((\alpha, 1) \in \mathbb{R}^{k+1}\) are linearly independent over \( \mathbb{Q} \).

(ii) For \( \alpha \in C \), we find arbitrarily large \( X \) such that
\[
R_2[-a,a](X) \geq \frac{\log X}{\log \log \log X}.
\]

(iii) For \( \alpha \in C \), there exists an infinite sequence \( L_1 < L_2 < \cdots \to \infty \) such that
\[
\lim_{j \to \infty} R_2[-a,a](L_j) = 2\pi a.
\]

In Theorem 1.7 (ii), \( \log \log \log X \) may be replaced by any slowly increasing positive function \( \nu(X) \leq \log \log \log X \) with \( \nu(X) \to \infty \) as \( X \to \infty \).

Without imposing any diophantine condition, the rate of divergence may be even worse:

1.8. **Theorem.** For any \( a > 0 \), there exists a set \( C \subset \mathbb{T}^k \) of second Baire category, for which the following holds.

(i) For \( \alpha \in C \), the components of the vector \((\alpha, 1) \in \mathbb{R}^{k+1}\) are linearly independent over \( \mathbb{Q} \).

(ii) For \( \alpha \in C \), we find arbitrarily large \( X \) such that
\[
R_2[-a,a](X) \geq \begin{cases} 
\frac{\log X}{\log \log \log X} & (k = 2) \\
\frac{X^{(k-2)/k}}{\log \log \log X} & (k > 2).
\end{cases}
\]

(iii) For \( \alpha \in C \), there exists an infinite sequence \( L_1 < L_2 < \cdots \to \infty \) such that
\[
\lim_{j \to \infty} R_2[-a,a](L_j) = 2\pi a.
\]

Again, \( \log \log \log X \) may be replaced by any slowly increasing positive function \( \nu(X) \leq \log \log \log X \) with \( \nu(X) \to \infty \) as \( X \to \infty \). Theorems 1.7 and 1.8 are proved in Section 8.

2. Rescaling

2.1. We shall see in this section, how Theorem 1.6, which is the central result of this paper, follows as a straightforward corollary from the asymptotics of the generalized pair correlation function
\[
R_2(\psi, \lambda) = \frac{1}{B_k \lambda^{k/2}} \sum_{i,j=1}^{\infty} \psi\left(\frac{\lambda_i}{\lambda}, \frac{\lambda_j}{\lambda}, \lambda^{k/2-1}(\lambda_i - \lambda_j)\right),
\]
with \( \psi \in C_0(\mathbb{R}^+ \times \mathbb{R}^+ \times \mathbb{R}) \), i.e., continuous and of compact support.
2.2. **Theorem.** Let $\psi \in C_0(\mathbb{R}^+ \times \mathbb{R}^+ \times \mathbb{R})$. Suppose the components of $(\alpha, 1) \in \mathbb{R}^{k+1}$ are linearly independent over $\mathbb{Q}$, and assume $\alpha$ is diophantine of type $\kappa < \frac{k-1}{k-2}$. Then

$$\lim_{\lambda \to \infty} R_2(\psi, \lambda) = \frac{k}{2} \int_0^\infty \psi(r, r, 0) r^{k/2-1} dr + \frac{k^2}{4} B_k \int_0^\infty \psi(r, r, s) r^{k-2} dr 
 ds.$$

2.3. **Theorem 2.2 ⇒ Theorem 1.6.** Let us now show how Theorem 2.2 implies Theorem 1.6. For $\psi_1, \psi_2 \in C_0(\mathbb{R}^+)$ with support in the compact interval $I$ not containing the origin 0, and $\sigma \in C_0(\mathbb{R})$, we define

$$\psi(r_1, r_2, s) = \psi_1(r_1^{k/2})\psi_2(r_2^{k/2})\sigma(\rho(r_1, r_2)s),$$

with

$$\rho(r_1, r_2) = \frac{r_1^{k/2} - r_2^{k/2}}{r_1 - r_2} = \begin{cases} \sum_{\nu=1}^{k/2} r_1^{k/2 - \nu} r_2^{\nu - 1} & (k \text{ even}) \\ \frac{1}{r_1^{1/2} + r_2^{1/2}} \sum_{\nu=1}^{k} r_1^{(k-\nu)/2} r_2^{(\nu-1)/2} & (k \text{ odd}) \end{cases}$$

It is evident that we can find a constant $\delta > 0$ such that

$$\delta < \rho(r_1, r_2) < \frac{1}{\delta}$$

uniformly for all $r_1, r_2 \in I$.

The assumptions on $\psi$ in Theorem 2.2 are therefore satisfied, giving

$$\lim_{\lambda \to \infty} \frac{1}{B_k \lambda^{k/2}} \sum_{i,j=1}^\infty \psi_1(l_i^{k/2})\psi_2(l_j^{k/2})\sigma(l_i^{k/2} - l_j^{k/2})$$

$$= \frac{k}{2} \sigma(0) \int_0^\infty \psi_1(r^{k/2})\psi_2(r^{k/2}) r^{k/2-1} dr$$

$$+ \frac{k^2}{4} B_k \int_0^\infty \psi_1(r^{k/2})\psi_2(r^{k/2}) \sigma(\rho(r, r)s) r^{k-2} dr 
 ds.$$

With $\rho(r, r) = \frac{k}{2} r^{k/2-1}$ and the substitutions $X = l^{k/2}$, $x = r^{k/2}$ and $s \mapsto s/\rho(r, r)$ we finally have

$$\lim_{X \to \infty} \frac{1}{B_k X} \sum_{i,j=1}^\infty \psi_1(X_i/X)\psi_2(X_j/X)\sigma(X_i - X_j)$$

$$= \sigma(0) \int_0^\infty \psi_1(x)\psi_2(x) dx + B_k \int_\mathbb{R} \sigma(s) ds \int_0^\infty \psi_1(x)\psi_2(x) dx.$$

The first term on the right-hand side comes obviously from the diagonal terms $X_i = X_j$ (use the asymptotics in [1.22]), so

$$\lim_{X \to \infty} \frac{1}{B_k X} \sum_{i \neq j} \psi_1(X_i/X)\psi_2(X_j/X)\sigma(X_i - X_j) = B_k \int_\mathbb{R} \sigma(s) ds \int_0^\infty \psi_1(x)\psi_2(x) dx.$$
which is a smoothed version of Theorem 1.6. We complete the proof by quoting a standard density argument (compare proof of Theorem 1.8 in [10]), in which the characteristic functions of the intervals \([1, 2], [1, 2]\) and \([a, b]\) are approximated from above and below by smooth functions \(\psi_1, \psi_2\) and \(\sigma\), respectively.

2.4. It will be sufficient to restrict our attention to the following special case of Theorem 2.2. Put

\[
R_2(\psi_1, \psi_2, h, \lambda) = \frac{1}{B_k \lambda^{k/2}} \sum_{i,j=1}^{\infty} \psi_1 \left( \frac{\lambda_i}{\lambda} \right) \psi_2 \left( \frac{\lambda_j}{\lambda} \right) \hat{h} \left( \lambda^{k/2-1}(\lambda_i - \lambda_j) \right),
\]

Here \(\psi_1, \psi_2 \in \mathcal{S}(\mathbb{R}_+)\) are real-valued, and \(\mathcal{S}(\mathbb{R}_+)\) denotes the Schwartz class of infinitely differentiable functions of the half line \(\mathbb{R}_+\) (including the origin), which, as well as their derivatives, decrease rapidly at \(+\infty\). \(\hat{h}\) is the Fourier transform of a compactly supported function \(h \in C_0(\mathbb{R})\).

\[
\hat{h}(s) = \int_{\mathbb{R}} h(u) e^{\frac{1}{2} u s} \, du,
\]

with the shorthand \(e(z) := e^{2\pi i z}\).

We will prove the following (Section 7).

2.5. Theorem. Let \(\psi_1, \psi_2 \in \mathcal{S}(\mathbb{R}_+)\) and \(h \in C_0(\mathbb{R})\). Suppose the components of \((\alpha, 1) \in \mathbb{R}^{k+1}\) are linearly independent over \(\mathbb{Q}\), and assume \(\alpha\) is diophantine of type \(\kappa < \frac{k-1}{k-2}\). Then

\[
\lim_{\lambda \to \infty} R_2(\psi_1, \psi_2, h, \lambda) = \frac{k^2}{2} \hat{h}(0) \int_0^{\infty} \psi_1(r) \psi_2(r) r^{k/2-1} \, dr
\]

\[
+ \frac{k^2}{4} B_k \int \hat{h}(s) \, ds \int_0^{\infty} \psi_1(r) \psi_2(r) r^{k-2} \, dr.
\]

2.6. Theorem 2.5 \(\Rightarrow\) Theorem 2.2. For any fixed \(\epsilon > 0\) we find finite linear combinations (cf. Section 8.6 in [10])

\[
\psi^\pm(r_1, r_2, s) = \sum_{\nu} \psi^\pm_{1,\nu}(r_1) \psi^\pm_{2,\nu}(r_2) \hat{h}^\pm_{\nu}(s)
\]

of functions satisfying the conditions of Theorem 2.5 such that

\[
\psi^-(r_1, r_2, s) \leq \psi(r_1, r_2, s) \leq \psi^+(r_1, r_2, s)
\]

and

\[
\int \int (\psi^+(r, r, s) - \psi^-(r, r, s)) r^{k-2} \, dr \, ds < \epsilon.
\]

Theorem 2.5 tells us that

\[
\lim_{\lambda \to \infty} \frac{1}{B_k \lambda^{k/2}} \sum_{i \neq j} \psi^\pm \left( \frac{\lambda_i}{\lambda}, \frac{\lambda_j}{\lambda}, \lambda^{k/2-1}(\lambda_i - \lambda_j) \right) = \frac{k^2}{4} B_k \int \int \psi^\pm(r, r, s) r^{k-2} \, dr \, ds
\]
(recall the first term in that theorem comes trivially from the diagonal terms $i = j$). This implies
\[
\limsup_{\lambda \to \infty} \frac{1}{B_k \lambda^{k/2}} \sum_{i \neq j} \psi \left( \frac{\lambda_i}{\lambda}, \frac{\lambda_j}{\lambda}, \lambda^{k/2-1} (\lambda_i - \lambda_j) \right) \leq \frac{k^2}{4} B_k \left( \int \int \psi(r, r, s) r^{k-2} dr ds + \epsilon \right)
\]
and
\[
\liminf_{\lambda \to \infty} \frac{1}{B_k \lambda^{k/2}} \sum_{i \neq j} \psi \left( \frac{\lambda_i}{\lambda}, \frac{\lambda_j}{\lambda}, \lambda^{k/2-1} (\lambda_i - \lambda_j) \right) \geq \frac{k^2}{4} B_k \left( \int \int \psi(r, r, s) r^{k-2} dr ds - \epsilon \right).
\]
Because these inequalities hold for arbitrarily small $\epsilon > 0$, Theorem 2.2 must be true. □

3. Outline of the proof of Theorem 2.5

Using the Fourier transform we may write
\[
R_2(\psi_1, \psi_2, h, \lambda) = \frac{1}{B_k} \int \left( \frac{1}{\lambda^{k/4}} \sum_j \psi_1 \left( \frac{\lambda_j}{\lambda} \right) e \left( \frac{1}{2} \lambda_j \lambda^{k/2-1} u \right) \right) \left( \frac{1}{\lambda^{k/4}} \sum_j \psi_2 \left( \frac{\lambda_j}{\lambda} \right) e \left( \frac{1}{2} \lambda_j \lambda^{k/2-1} u \right) \right) h(u) du
\]
\[
= \frac{1}{B_k \lambda^{k/2-1}} \int \left( \frac{1}{\lambda^{k/4}} \sum_j \psi_1 \left( \frac{\lambda_j}{\lambda} \right) e \left( \frac{1}{2} \lambda_j \lambda^{k/2-1} u \right) \right) \left( \frac{1}{\lambda^{k/4}} \sum_j \psi_2 \left( \frac{\lambda_j}{\lambda} \right) e \left( \frac{1}{2} \lambda_j \lambda^{k/2-1} u \right) \right) h(\lambda^{-k/2-1} u) du.
\]
The sum
\[
\theta_\psi(u, \lambda) = \frac{1}{\lambda^{k/4}} \sum_j \psi \left( \frac{\lambda_j}{\lambda} \right) e \left( \frac{1}{2} \lambda_j \lambda^{k/2} u \right)
\]
will be identified as a Jacobi theta sum living on a certain noncompact but finite-volume manifold $\Sigma$ (Section 4). The integration in
\[
R_2(\psi_1, \psi_2, h, \lambda) = \frac{1}{B_k} \lambda^{-k/2-1} \int \theta_{\psi_1}(u, \lambda) \theta_{\psi_2}(u, \lambda) h(\lambda^{-k/2-1} u) du
\]
amounts to averaging along a unipotent orbit on $\Sigma$, which becomes equidistributed as $\lambda \to \infty$ (Section 5). Diophantine conditions on $\alpha$ are necessary to secure the convergence of the limit (Section 6).

The equidistribution theorem yields then
\[
\frac{1}{\mu(\Sigma)} \int_{\Sigma} \theta_{\psi_1} \theta_{\psi_2} d\mu \int h(u) du,
\]
where $\mu$ is the invariant measure. The first integral can be calculated quite easily (Section 7), and we will see that
\[
\frac{1}{\mu(\Sigma)} \int_{\Sigma} \theta_{\psi_1} \theta_{\psi_2} d\mu \int h(u) du = \frac{k}{2} B_k \int \psi_1(r) \psi_2(r) r^{k/2-1} dr \int h(u) du,
\]
which finally yields
\[
\frac{k}{2} B_k \hat{h}(0) \int \psi_1(r) \psi_2(r) r^{k/2-1} dr,
\]
compare the first term in Theorem 2.3.
An additional contribution comes from an arc of the orbit, which vanishes into the cusp. Even though the length of that arc tends to zero, the average over the unbounded theta function gives a non-vanishing contribution
\[ \frac{k^2}{2} B_k h(0) \int \psi_1(r) \psi_2(r) r^{k-2} dr = \frac{k^2}{4} B_k^2 \int \dot{h}(u) du \int \psi_1(r) \psi_2(r) r^{k-2} dr, \]
which corresponds to the second term in Theorem 2.3.

4. Theta sums

4.1. Consider the semi-direct product group \( G^k = \text{SL}(2, \mathbb{R}) \ltimes \mathbb{R}^{2k} \) with multiplication law
\[ (M; \xi)(M'; \xi') = (MM'; \xi + M\xi'), \]
where \( M, M' \in \text{SL}(2, \mathbb{R}) \) and \( \xi, \xi' \in \mathbb{R}^{2k} \); the action of \( \text{SL}(2, \mathbb{R}) \) on \( \mathbb{R}^{2k} \) is defined canonically as
\[ M\xi = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}, \]
where \( x, y \in \mathbb{R}^k \). A convenient parametrization of \( \text{SL}(2, \mathbb{R}) \) can be obtained by means of the Iwasawa decomposition
\[ M = \begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} \begin{pmatrix} v^{1/2} & 0 \\ 0 & v^{-1/2} \end{pmatrix} \begin{pmatrix} \cos \phi & -\sin \phi \\ \sin \phi & \cos \phi \end{pmatrix}, \]
which is unique for \( \tau = u + iv \in \mathcal{H}, \phi \in [0, 2\pi) \), where \( \mathcal{H} \) denotes the upper half plane \( \mathcal{H} = \{ \tau \in \mathbb{C} : \text{Im} \tau > 0 \} \).

4.2. For any Schwartz function \( f \in S(\mathbb{R}^k) \) we define the Jacobi theta sum \( \Theta_f \) by
\[ \Theta_f(\tau, \phi; \xi) = v^{k/4} \sum_{m \in \mathbb{Z}^k} f_{\phi}((m - y)v^{1/2}) e^{(1/2)\|m - y\|^2 u + m \cdot x}, \]
where
\[ f_{\phi}(w) = \int_{\mathbb{R}^k} G_{\phi}(w, w') f(w') dw', \]
with the integral kernel
\[ G_{\phi}(w, w') = e(-k\sigma_{\phi}/8) |\sin \phi|^{-k/2} e^{\frac{1}{2}(\|w\|^2 + \|w'\|^2) \cos \phi - w \cdot w'}, \]
where \( \sigma_{\phi} = 2\nu + 1 \) when \( \nu \pi < \phi < (\nu + 1)\pi, \nu \in \mathbb{Z} \). The operators \( U^\phi : f \mapsto f_{\phi} \) are unitary, see \[ \mathbb{B} \] for details. Note in particular \( U^0 = \text{id} \).

The proofs of the remaining statements in this section are found in Section 4 of \[ \mathbb{B} \].

4.3. Lemma. Let \( f_{\phi} = U^\phi f \), with \( f \in S(\mathbb{R}^k) \). Then, for any \( R > 1 \), there is a constant \( c_R \) such that for all \( w \in \mathbb{R}^k, \phi \in \mathbb{R} \), we have
\[ |f_{\phi}(w)| \leq c_R(1 + \|w\|)^{-R}. \]
4.4. Let us consider the following discrete subgroup in $G^k$.

$$\Gamma^k = \left\{ \left( \begin{array}{cc} a & b \\ c & d \end{array} \right); \left( \begin{array}{c} abs \\ cds \end{array} \right) + m \right) : \left( \begin{array}{cc} a & b \\ c & d \end{array} \right) \in \SL(2, \mathbb{Z}), \ m \in \mathbb{Z}^{2k} \right\} \subset G^k,$$

with $s = (\frac{1}{2}, \ldots, \frac{1}{2}) \in \mathbb{R}^k$.

4.5. **Lemma.** $\Gamma^k$ is generated by the elements

$$(\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}; 0), \quad (\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}; s), \quad (\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}; m), \ m \in \mathbb{Z}^{2k}.$$

4.6. **Proposition.** The left action of the group $\Gamma^k$ on $G^k$ is properly discontinuous. A fundamental domain of $\Gamma^k$ in $G^k$ is given by

$$\mathcal{F}_{\Gamma^k} = \mathcal{F}_{\SL(2, \mathbb{Z})} \times \{ \phi \in [0, \pi) \} \times \{ \xi \in [-\frac{1}{2}, \frac{1}{2})^{2k} \},$$

where $\mathcal{F}_{\SL(2, \mathbb{Z})}$ is the fundamental domain in $\mathcal{F}$ of the modular group $\SL(2, \mathbb{Z})$, given by

$$\{ \tau \in \mathcal{F} : u \in [-\frac{1}{2}, \frac{1}{2}), |\tau| > 1 \}.$$

4.7. **Proposition.** For $f, g \in \mathcal{S}(\mathbb{R}^k)$, $\Theta_f(\tau, \phi; \xi) \Theta_g(\tau, \phi; \xi)$ is invariant under the left action of $\Gamma^k$.

4.8. **Proposition.** Let $f, g \in \mathcal{S}(\mathbb{R}^k)$. For any $R > 1$, we have

$$\Theta_f(\tau, \phi; \begin{pmatrix} x \\ y \end{pmatrix}) \Theta_g(\tau, \phi; \begin{pmatrix} x \\ y \end{pmatrix}) = v^{k/2} \sum_{m \in \mathbb{Z}^k} f_\phi((m - y)v^{1/2})g_\phi((m - y)v^{1/2}) + O_R(v^{-R})$$

uniformly for all $(\tau, \phi; \xi) \in G^k$ with $v > \frac{1}{2}$. In addition

$$\Theta_f(\tau, \phi; \begin{pmatrix} x \\ y \end{pmatrix}) \Theta_g(\tau, \phi; \begin{pmatrix} x \\ y \end{pmatrix}) = v^{k/2} f_\phi((n - y)v^{1/2})g_\phi((n - y)v^{1/2}) + O_R(v^{-R}),$$

uniformly for all $(\tau, \phi; \xi) \in G^k$ with $v > \frac{1}{2}, y \in n + [-\frac{1}{2}, \frac{1}{2}]^k$ and $n \in \mathbb{Z}^k$.

4.9. **Lemma.** The subgroup

$$\Gamma_\theta \cong \mathbb{Z}^{2k},$$

where

$$\Gamma_\theta = \left\{ \left( \begin{array}{cc} a & b \\ c & d \end{array} \right) \in \SL(2, \mathbb{Z}) : \ ab \equiv cd \equiv 0 \mod 2 \right\}$$

is the theta group, is of index three in $\Gamma^k$.

4.10. **Lemma.** $\Gamma^k$ is of finite index in $\SL(2, \mathbb{Z}) \ltimes (\frac{1}{2}\mathbb{Z})^{2k}$.

4.11. Note: The theta sum defined in this section is related to the sum $\theta_{\psi_1}(u, \lambda)$ in Section 3 by

$$\theta_{\psi_1}(u, \lambda)\theta_{\psi_2}(u, \lambda) = \Theta_f(u + \frac{1}{\lambda}; 0\begin{pmatrix} 0 \\ \alpha \end{pmatrix}) \Theta_g(u + \frac{1}{\lambda}; 0\begin{pmatrix} 0 \\ \alpha \end{pmatrix})$$

with

$$f(w) = \psi_1(\|w\|^2), \quad g(w) = \psi_2(\|w\|^2).$$
5. Equidistribution

5.1. **Theorem.** Let $\Gamma$ be a subgroup of $\text{SL}(2, \mathbb{Z}) \ltimes \mathbb{Z}^{2k}$ of finite index, and assume the components of the vector $(y, 1) \in \mathbb{R}^{k+1}$ are linearly independent over $\mathbb{Q}$. Let $h$ be a continuous function $\mathbb{R} \to \mathbb{R}_+$ with compact support. Then, for any bounded continuous function $F$ on $\Gamma \backslash G^k$ and any $\sigma \geq 0$, we have

$$\lim_{v \to 0} e^{\sigma} \int_{\mathbb{R}} F(u + iv, 0; \begin{pmatrix} 0 \\ y \end{pmatrix}) h(v^\sigma u) \, du = \frac{1}{\mu(\Gamma \backslash G^k)} \int_{\Gamma \backslash G^k} F \, d\mu \int h(w) \, dw$$

where $\mu$ is the Haar measure of $G^k$.

**Proof.** For $\sigma = 0$ the above statement is proved in [10], Theorem 5.7; see also Shah’s more general Theorem 1.4 in [16]. The case $\sigma > 0$ is easier and in fact follows from the result for $\sigma = 0$, since the translate of the unipotent orbit is expanding at a faster rate.

As in [16], Section 5, we define the unipotent flow $\Psi^t : \Gamma \backslash G^k \to \Gamma \backslash G^k$ by right translation with

$$\Psi^t_0 = \begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix} : 0,$$

and furthermore the flow $\Phi^t : \Gamma \backslash G^k \to \Gamma \backslash G^k$ by right translation with

$$\Phi^t_0 = \begin{pmatrix} e^{-t/2} & 0 \\ 0 & e^{t/2} \end{pmatrix} : 0.$$ 

By Theorem 5.7 in [10], the orbit segment

$$\Gamma \{(u + ie^{-t}, \begin{pmatrix} 0 \\ y \end{pmatrix}) : u \in [-1, 1]\}$$

is dense in $\Gamma \backslash G^k$ in the limit $t \to \infty$. Hence we find a sequence $\{u_t\}_{t \in \mathbb{R}^+}$ with $u_t \in [-1, 1]$ such that

$$\Gamma g_t := \Gamma(u_t + ie^{-t}, \begin{pmatrix} 0 \\ y \end{pmatrix}) = \Gamma(1; \begin{pmatrix} 0 \\ y \end{pmatrix}) \Psi^{u_t} \Phi^t$$

converges in the limit $t \to \infty$ to a generic point in $\Gamma \backslash G^k$. Theorem 2 in [3] implies then that for any constant $B \neq 0$

$$\frac{1}{Be^{\sigma t}} \int_0^{Be^{\sigma t}} F(u_t + u + ie^{-t}, 0; \begin{pmatrix} 0 \\ y \end{pmatrix}) \, du = \frac{1}{Be^{(1+\sigma)t}} \int_0^{Be^{(1+\sigma)t}} F(g_t \Psi^u) \, du$$

$$\to \frac{1}{\mu(\Gamma \backslash G^k)} \int_{\Gamma \backslash G^k} F \, d\mu$$

as $t \to \infty$. Because $F$ is bounded and $u_t$ is contained in a compact interval, note that

$$\frac{1}{Be^{\sigma t}} \int_0^{Be^{\sigma t}} F(u_t + u + ie^{-t}, 0; \begin{pmatrix} 0 \\ y \end{pmatrix}) \, du = \frac{1}{Be^{\sigma t}} \int_{u_t}^{Be^{\sigma t} + u_t} F(u + ie^{-t}, 0; \begin{pmatrix} 0 \\ y \end{pmatrix}) \, du$$

$$= \frac{1}{Be^{\sigma t}} \int_0^{Be^{\sigma t}} F(u + ie^{-t}, 0; \begin{pmatrix} 0 \\ y \end{pmatrix}) \, du + O(e^{-\sigma t}).$$
Therefore, for any constants $-\infty < A < B < \infty$,
\[
\lim_{t \to \infty} \frac{1}{e^{\sigma t}} \int_{Ae^{\sigma t}}^{Be^{\sigma t}} F(u + ie^{-t}, 0; \begin{pmatrix} 0 \\ y \end{pmatrix}) \, du = \frac{(B - A)}{\mu(\Gamma \setminus G_k)} \int_{\Gamma \setminus G_k} F \, d\mu.
\]
The theorem now follows from a standard approximation argument (approximate $h$ from above and below by step functions). \qed

6. Diophantine conditions

6.1. In order to extend the equidistribution results to unbounded test functions such as $\Theta_f \Theta_g$, let us study the following model functions, whose asymptotics in the cusp is similar to that of $\Theta_f \Theta_g$. Let $G = G_k$ and $\Gamma = \text{SL}(2, \mathbb{Z}) \rtimes \mathbb{Z}^2$. Define furthermore the subgroup
\[
\Gamma_\infty = \{ \begin{pmatrix} 1 & m \\ 0 & 1 \end{pmatrix} : m \in \mathbb{Z} \} \subset \text{SL}(2, \mathbb{Z}),
\]
and put
\[
v_\gamma := \text{Im}(\gamma \tau) = \frac{v}{|ct + d|^2}, \quad \text{for } \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix},
\]
and $y_\gamma := cx + dy$. Let $\chi_R$ be the characteristic function of the interval $[R, \infty)$,
\[
\chi_R(t) = \begin{cases} 1 & (t \geq R) \\ 0 & (t < R). \end{cases}
\]
For any $f \in C(\mathbb{R}^k)$ of rapid decay (i.e., $f(w)$ decays rapidly for $\|w\| \to \infty$) the function
\[
F_R(\tau; \xi) = \sum_{\gamma \in \Gamma_\infty \setminus \text{SL}(2, \mathbb{Z})} \sum_{m \in \mathbb{Z}^k} f((y_\gamma + m)v^{1/2}) v^\beta \chi_R(v), \quad R > 1,
\]
is invariant under the action of $\Gamma$. If $\tau$ lies in the fundamental domain of $\text{SL}(2, \mathbb{Z})$, given by $\mathcal{F}_{\text{SL}(2, \mathbb{Z})} = \{ \tau \in \mathfrak{h} : u \in [-\frac{1}{2}, \frac{1}{2}], |\tau| > 1 \}$, then $F_R(\tau; \xi)$ has the representation
\[
F_R(\tau; \xi) = \sum_{m \in \mathbb{Z}^k} \{ f((y + m)v^{1/2}) + f((-y + m)v^{1/2}) \} v^\beta \chi_R(v).
\]
The remaining sum over $m$ is rapidly converging since $f$ is of rapid decay.

6.2. The $L^1$ norm of $F_R$ over $\Gamma \setminus G$ is, for $f \geq 0$,
\[
\mu(F_R) = \int_{\Gamma \setminus G} F_R(\tau; \xi) \, d\mu(\tau, \phi; \xi)
\]
with Haar measure
\[
d\mu(\tau, \phi; \xi) = \frac{du \, dv \, d\phi \, dx \, dy}{v^2}.
\]
We therefore have
\[
\mu(F_R) = 2\pi \int_{\mathbb{R}^k} f(w)dw \int_{R}^{\infty} v^\beta - k/2 - 2 \, dv = 2\pi R^{-(k/2 + 1 + \beta)} \int_{\mathbb{R}^k} f(w)dw,
\]
for $\beta < k/2 + 1$, and $\mu(F_R) = \infty$ otherwise. In the following we will be especially interested in $\beta = k/2$, for which

$$\mu(F_R) = 2\pi R^{-1} \int_{\mathbb{R}^k} f(w) dw.$$ 

6.3. As in Section 6.4. we may write the sum in $F_R(\tau; \xi)$ explicitly as

$$F_R(\tau; \xi) = \sum_{m \in \mathbb{Z}^k} \{ f((y + m)v^{1/2}) + f((-y + m)v^{1/2}) \} v^\beta \chi_R(v)$$

$$+ \sum_{m \in \mathbb{Z}^k} \{ f((x + m)v^{1/2}) + f((-x + m)v^{1/2}) \} \frac{v^\beta}{|\tau|^2} \chi_R\left( \frac{v}{|\tau|^2} \right)$$

$$+ \sum_{(c,d) \in \mathbb{Z}^2 \atop \gcd(c,d) = 1} \sum_{m \in \mathbb{Z}^k} f((cx + dy + m)v^{1/2}) \frac{v^\beta}{|c\tau + d|^2} \chi_R\left( \frac{v}{|c\tau + d|^2} \right).$$

In what follows we will restrict our attention to the case $\beta = k/2$ and $\xi = (0_y)$.

6.4. Proposition. Let $y$ be diophantine of type $\kappa$. Then, for any $\epsilon, \epsilon'$ with $0 < \epsilon < 1$ and $0 < \epsilon' < \frac{1}{\kappa-1}$,

$$\limsup_{v \to 0} v^{k/2-1} \int_{|u| > v^{1-\epsilon}} F_R(u + iv; (0_y)) \ h(v^{k/2-1} u) \ du \ll_{\epsilon, \epsilon'} R^{(\frac{1}{\kappa-1} - k + 2)/2} + R^{-\epsilon'/2}.$$ 

Note that the above expression vanishes, for $R \to \infty$, when $\kappa < \frac{k-1}{k-2}$. The second term is obviously only relevant in dimension $k = 2$, since for $k > 2$ we may chose $\epsilon'$ in such a way that $\frac{1}{\kappa-1} < \epsilon' + k - 2$.

The key ingredient in the proof is the following lemma.

6.5. Lemma. Let $\alpha$ be diophantine of type $\kappa$, and $f \in C(\mathbb{R}^k)$ of rapid decay. Then, for any fixed $A > 1$ and $\epsilon > 0$ with $\epsilon < \frac{1}{\kappa-1}$,

$$\sum_{d = 1}^{D} \sum_{m \in \mathbb{Z}^k} f(T(d\alpha + m)) \ll \begin{cases} T^{-A} & (D \leq T^\epsilon) \\ 1 & (T^\epsilon \leq D \leq T^{1/\kappa-1}) \\ DT^{-\frac{1}{\kappa-1}} & (D \geq T^{1/\kappa-1}) \end{cases},$$

uniformly for all $D, T > 1$.

6.6. Proof. Let us divide the sum over $d$ into blocks of the form

$$\sum_{0 \leq d \leq T^{1/\kappa-1}} \sum_{m \in \mathbb{Z}^k} f(T((b + d)\alpha + m)).$$

The number of such blocks is $\ll DT^{-\frac{1}{\kappa-1}} + 1$. Since $\alpha$ is of type $\kappa$ there is a constant $C$ such that, for all $0 < |q| \leq T^{1/\kappa-1}$ we have

$$\frac{C}{|q|T} \leq \frac{C}{|q|^\kappa} \leq \max_j |\alpha_j - \frac{m_j}{q}|,$$
and thus
\[ \max_j |q\alpha_j - m_j| \geq \frac{C}{T}. \]

For \( b \) fixed, the minimal distance between the points \((b + d)\alpha + m\) \((0 \leq d \leq \frac{1}{T\kappa_1}, m \in \mathbb{Z}^k)\) is bounded from below by
\[ \min_{0 < |q| \leq T^{\frac{1}{\kappa_1}} \kappa_1 - 1, m \in \mathbb{Z}^k} \|q\alpha + m\| \geq \min_{0 < |q| \leq T^{\frac{1}{\kappa_1}} \kappa_1 - 1, m \in \mathbb{Z}^k} \max_j |q\alpha_j - m_j| \geq \frac{C}{T}. \]

Hence any rectangular box with sides \( \ll \frac{1}{T} \) contains at most a bounded number of points. Because \( f \) is rapidly decreasing, we therefore find
\[ \sum_{0 \leq d \leq T^{\frac{1}{\kappa_1}} \kappa_1 - 1} \sum_{m \in \mathbb{Z}^k} f(T((b + d)\alpha + m)) \ll 1, \]

independently of \( b \). This explains the second and third bound. The first bound is obtained from
\[ \|d\alpha + m\| \geq \max_j |d\alpha_j - m_j| \geq \frac{C}{d^{k-1}} \geq \frac{C}{D^{k-1}} \]

which holds for all \( d = 1, \ldots, D \). Since \( f \) is rapidly decreasing, we have
\[ \sum_{d=1}^D \sum_{m \in \mathbb{Z}^k} f(T(d\alpha + m)) \ll D(\frac{D^{k-1}}{T})^B \]

for any \( B > 1 \).

6.7. Proof of Proposition 6.4. Let us assume without loss of generality that \( f \) is positive and even, i.e., \( f \geq 0, f(-w) = f(w) \).

It follows from the expansion in 6.3 that, for \( v < 1 \), the first term involving \( \chi_R(v) \) vanishes and hence we are left with
\[ F_R(\tau; \begin{pmatrix} 0 \\ y \end{pmatrix}) = 2 \sum_{m \in \mathbb{Z}^k} f\left(\frac{m v^{1/2}}{|\tau|} \frac{v^{k/2}}{|\tau|^k} \chi_R(\frac{v}{|\tau|^2}) \right) \]
\[ + 2 \sum_{(c,d) \in \mathbb{Z}^2} \sum_{\substack{m \in \mathbb{Z}^k \\gcd(c,d) = 1 \\ c > 0, d \neq 0}} f\left((dy + m)\frac{v^{1/2}}{|c\tau + d|} \frac{v^{k/2}}{|c\tau + d|^k} \chi_R(\frac{v}{|c\tau + d|^2}) \right). \]

6.7.1. With regard to the first term in the above expansion, a change of variable \( u = vt \) yields
\[ v^{k/2-1} \int_{|u| > v^{1-\epsilon}} 2 \sum_{m \in \mathbb{Z}^k} f\left(\frac{m v^{1/2}}{|\tau|} \frac{v^{k/2}}{|\tau|^k} \chi_R(\frac{v}{|\tau|^2}) \right) h(v^{k/2-1}u) \, du \]
\[ = 2 \sum_{m \in \mathbb{Z}^k} \int_{|t| > v^{-\epsilon}} f\left(\frac{m}{v^{1/2}(t^2 + 1)^{1/2}} \right) \frac{1}{(t^2 + 1)^{k/2}} \chi_R(\frac{1}{v(t^2 + 1)}) h(v^{k/2}t) \, dt \]
\[ \sim 2f(0) h(0) \int_{|t| > v^{-\epsilon}} \frac{dt}{(t^2 + 1)^{k/2}} \to 0, \]
as \( v \to 0 \).
6.7.2. An upper bound for the remaining terms is obtained by dropping the condition \(|u| > v^{1-\epsilon}\) in the integral. We then need to estimate

\[
S(v) = \sum_{(c,d) \in \mathbb{Z}^2} \sum_{\substack{m \in \mathbb{Z}^k \\text{gcd}(c,d)=1 \\text{c}\geq 0, d \neq 0}} J(v, c, d, m)
\]

with

\[
J(v, c, d, m) = \sqrt{v^{k/2}} \int_{\mathbb{R}} f((dy + m) \frac{v^{1/2}}{|cr + d|} |v^{k/2} \chi_R(v |v + m|) h(v^{k/2-1}u) du.
\]

Substituting \(u = v^{-1}(u + \frac{d}{c})\) gives

\[
\frac{1}{c^k} \int_{\mathbb{R}} f((dy + m) \frac{1}{\sqrt{c^2v(t^2 + 1)}} (t^2 + 1)^{k/2} \chi_R(v \frac{1}{c^2v(t^2 + 1)}) h(v^{k/2-1}(vt - \frac{d}{c}) dt.
\]

The range of integration is bounded by

\[
R < \frac{1}{c^2v(t^2 + 1)}, \quad \text{i.e.} \quad |t| \ll \frac{1}{c\sqrt{vR}}.
\]

Therefore \(|vt| \ll v^{1/2} c^{-1} R^{-1/2}\) is uniformly close to zero, and hence, because of the compact support of \(h\), we find \(|d| \ll cv^{-(k/2)-1}\). So

\[
S(v) \ll \sum_{c=1}^{\infty} \sum_{0<|d| \ll cv^{-(k/2)-1}} \sum_{m \in \mathbb{Z}^k} K(v, c, d, m),
\]

with

\[
K(v, c, d, m) = \frac{1}{c^k} \int_{\mathbb{R}} f((dy + m) \frac{1}{\sqrt{c^2v(t^2 + 1)}} (t^2 + 1)^{k/2} \chi_R(v \frac{1}{\sqrt{c^2v(t^2 + 1)}} dt.
\]

6.7.3. To apply Lemma 6.3 with \(D = cv^{-(k/2)-1}\), \(T = (c^2v(t^2 + 1))^{-1/2} > \sqrt{R} > 1\), split the range of integration into the ranges

1. \(cv^{-(k/2)-1} \leq (c^2v(t^2 + 1))^{-\epsilon/2}\)
2. \((c^2v(t^2 + 1))^{-\epsilon/2} \leq cv^{-(k/2)-1} \leq (c^2v(t^2 + 1))^{-\delta/2}\)
3. \(cv^{-(k/2)-1} \geq (c^2v(t^2 + 1))^{-\delta/2}\)

with \(\delta = \frac{1}{\kappa-1}\). Denote the corresponding integrals by \(K_1(v, c, d, m)\), \(K_2(v, c, d, m)\) and \(K_3(v, c, d, m)\), respectively.

6.7.4. Because \(R^{-1/2} \geq T^{-1}\), we find in the first range \(D \leq T^x\) that

\[
\sum_{c>0} \sum_{d \ll cv^{-(k/2)-1}} \sum_{m \in \mathbb{Z}^k} K_1(v, c, d, m) \ll R^{-A/2} \sum_{c>0} \frac{1}{c^k} \int_{(1)} \frac{1}{(t^2 + 1)^{k/2}} \chi_R(v \frac{1}{c^2v(t^2 + 1)} dt
\]

\[
\ll R^{-A/2} \sum_{c>0} \frac{1}{c^k} \int_{\mathbb{R}} \frac{1}{(t^2 + 1)^{k/2}} dt
\]

\[
\ll R^{-A/2}.
\]
6.7.5. For an upper bound the second range \( T^\epsilon \leq D \leq T^\delta \) may be extended to \( T^\epsilon \leq D \), i.e.,

\[
c^{1+\epsilon} (t^2 + 1)^{\epsilon/2} \geq v^{k/2 - 1 - \epsilon/2}.
\]

We have therefore

\[
\sum_{c > 0} \sum_{d \ll cv^{-1/2-1}} \sum_{m \in \mathbb{Z}^k} K_2(v, c, d, m) \ll \sum_{c > 0} \frac{1}{c^k} \int_2^{(t^2 + 1)^{k/2}} \frac{dt}{(t^2 + 1)^{k/2}} \\
\ll \sum_{c > 0} \frac{1}{c^k} \left( c^{1+\epsilon} v^{-(k/2-1-\epsilon/2)} \right)^{(k/2-1)/\epsilon} \int_{R} \frac{dt}{t^2 + 1} \\
\ll v^A \sum_{c > 0} c^{-B}
\]

with

\[
A = -\left( \frac{k}{2} - 1 - \frac{\epsilon}{2} \right) \left( \frac{k}{2} - 1 \right) \frac{2}{\epsilon}
\]

and

\[
B = -\left( \frac{k}{2} - 1 - \epsilon \right) \frac{2}{\epsilon} = 1 - \left( \frac{k}{2} - 1 - \frac{\epsilon}{2} \right) \frac{2}{\epsilon}.
\]

If we chose \( \epsilon \) in a way that \( k - 2 < \epsilon < \delta = \frac{1}{k-1} \), we find that for \( k > 2 \) we have \( A > 0 \) and \( B > 1 \). Hence

\[
\sum_{c > 0} \sum_{d \ll cv^{-1/2-1}} \sum_{m \in \mathbb{Z}^k} K_2(v, c, d, m) \rightarrow 0
\]

for small \( v \). In the case \( k = 2 \) we exploit the inclusion \( R^{\epsilon/2} < T^\epsilon \leq D \ll c \), which yields

\[
\sum_{c > 0} \sum_{d \ll c} \sum_{m \in \mathbb{Z}^2} K_2(v, c, d, m) \ll \sum_{c > R^{\epsilon/2}} c^{-2} \int \frac{dt}{t^2 + 1} \ll R^{-\epsilon/2},
\]

compare [10].
6.7.6. In the third range, we have for \( v \) sufficiently small
\[
\sum_{c > 0} \sum_{d \in cv^{-(k/2 - 1)}} \sum_{m \in \mathbb{Z}^k} K_3(v, c, d, m)
\]
\[
\ll \sum_{c > 0} \frac{1}{c^2} cv^{-(k/2 - 1)} \int (3) c^\delta v^{\delta/2} (t^2 + 1)^{(\delta - k)/2} \chi_R\left(\frac{1}{c^2 v(t^2 + 1)}\right) dt
\]
\[
= v^{(\delta - k)/2 + 1} \sum_{c > 0} c^{\delta - k + 1} \int (3) (t^2 + 1)^{(\delta - k)/2} \chi_R\left(\frac{1}{c^2 v(t^2 + 1)}\right) dt
\]
\[
\ll v^{(\delta - k)/2 + 1} \int_\mathbb{R} \left\{ \int_0^\infty x^{\delta - k + 1} \chi_R\left(\frac{1}{x^2 v(t^2 + 1)}\right) dx \right\} dt
\]
\[
= \int_\mathbb{R} \left\{ \int_0^\infty x^{\delta - k + 1} \chi_R\left(\frac{1}{x^2}\right) dx \right\} dt
\]
\[
= \int_\mathbb{R} \left\{ \int_0^{R^{1/2}} x^{\delta - k + 2} dx \right\} dt
\]
\[
= \pi \frac{R^{-\delta + k + 2}/2}{\delta - k + 2}.
\]

The proof of Proposition 6.4 is complete. \( \square \)

6.8. Let us define the characteristic function on \( \Gamma \setminus G^k \)
\[
X_R(\tau) = \sum_{\gamma \in (\Gamma \setminus \Gamma \setminus \Gamma \setminus \Gamma) \setminus \Gamma(2, \mathbb{Z})} \chi_R(v \gamma),
\]
where \( \chi_R \) is the characteristic function of \( [R, \infty) \). Proposition 6.4 allows us now to extend the equidistribution theorem 5.1 to unbounded functions which are dominated by \( F_R \), i.e. that is, for some fixed constant \( L > 1 \) we have
\[
|F(\tau, \phi; \xi)| X_R(\tau) \leq L + F_R(\tau; \xi)
\]
for all sufficiently large \( R > 1 \), uniformly for all \( (\tau, \phi; \xi) \in G^k \).

6.9. Theorem. Let \( \Gamma \) be a subgroup of \( \text{SL}(2, \mathbb{Z}) \rtimes \mathbb{Z}^{2k} \) of finite index. Let \( h \) be a continuous function \( \mathbb{R} \to \mathbb{R}_+ \) with compact support. Suppose the continuous function \( F \geq 0 \) is dominated by \( F_R \). Fix some \( y \in \mathbb{Z}^k \) such that the components of the vector \( (y, 1) \in \mathbb{R}^{k+1} \) are linearly independent over \( \mathbb{Q} \). Then, for any \( \epsilon \) with \( 0 < \epsilon < 1 \),
\[
\liminf_{v \to 0} v^{k/2 - 1} \int_{|u| > v^{1 - \epsilon}} F(u + iv, 0; \begin{pmatrix} 0 \\ y \end{pmatrix}) h(v^{k/2 - 1} u) du \geq \frac{1}{\mu(\Gamma \setminus G^k)} \int_{\Gamma \setminus G^k} F d\mu \int h.
\]
Assume furthermore that \( y \) is diophantine of type \( \kappa < \frac{k - 1}{k - 2} \). Then, for any \( \epsilon > 0 \),
\[
\limsup_{v \to 0} v^{k/2 - 1} \int_{|u| > v^{1 - \epsilon}} F(u + iv, 0; \begin{pmatrix} 0 \\ y \end{pmatrix}) h(v^{k/2 - 1} u) du \leq \frac{1}{\mu(\Gamma \setminus G^k)} \int_{\Gamma \setminus G^k} F d\mu \int h.
\]
Proof. The theorem follows from Theorem 7.1 and Proposition 6.4 in the identical manner as Theorem 7.3 in [10].

6.10. The subgroup \( \Gamma = \Gamma^k \) is a subgroup of finite index in \( SL(2, \mathbb{Z}) \times (\mathbb{Q}/\mathbb{Z})^{2k} \) rather than \( SL(2, \mathbb{Z}) \times \mathbb{Z}^{2k} \) (Lemma 4.10). We therefore need to rephrase Theorem 6.9 slightly. Define the dominating function \( F_R \) on \( \Gamma \backslash G^k \) by \( F_R(\tau; \xi) = F_R(\tau; 2\xi) \), with \( F_R \) as in 6.8.

6.11. Corollary. Let \( \Gamma \) be a subgroup of \( SL(2, \mathbb{Z}) \times (\mathbb{Q}/\mathbb{Z})^{2k} \) of finite index, \( h, \mathbf{y} \) as in Theorem 6.3, and \( F : \Gamma \backslash G^k \to \mathbb{C} \) a continuous function which is dominated by \( \hat{F}_R \). If \( \mathbf{y} \) is diophantine of type \( \kappa < \frac{k-1}{k-2} \). Then, for any \( \epsilon \) with \( 0 < \epsilon < 1 \),

\[
\lim_{v \to 0} v^{k/2-1} \int_{|u| > v^{1-\epsilon}} F(u + iv, 0; \begin{pmatrix} 0 \\ \mathbf{y} \end{pmatrix}) h(v^{k/2-1}u) \frac{du}{\mu(\Gamma \backslash G^k)} \int_{\Gamma \backslash G^k} F \frac{d\mu}{\mu} \int \frac{h}{\mu}. 
\]

Proof. The proof is analogous to that of Corollary 7.6 in [10].

7. The Main Theorem

7.1. Main Theorem. Suppose \( f(w) = \psi_1(\|w\|^2) \) and \( g(w) = \psi_2(\|w\|^2) \) with \( \psi_1, \psi_2 \in \mathcal{S}(\mathbb{R}_+) \) real-valued. Let \( h \) be a continuous function \( \mathbb{R} \to \mathbb{C} \) with compact support. Assume that the components of \( (\mathbf{y}, 1) \in \mathbb{R}^{k+1} \) are linearly independent over \( \mathbb{Q} \) and that \( \mathbf{y} \) is diophantine of type \( \kappa < \frac{k-1}{k-2} \). Then

\[
\lim_{v \to 0} v^{k/2-1} \int_{\mathbb{R}} \Theta_f(u + iv, 0; \begin{pmatrix} 0 \\ \mathbf{y} \end{pmatrix}) \Theta_g(u + iv, 0; \begin{pmatrix} 0 \\ \mathbf{y} \end{pmatrix}) h(v^{k/2-1}u) \frac{du}{\mu(\Gamma \backslash G^k)} \int_{\Gamma \backslash G^k} F \frac{d\mu}{\mu} \int \frac{h}{\mu} = \frac{k^2}{2} B_k^2 h(0) \int_0^\infty \psi_1(r)\psi_2(r) r^{k-2} dr 
\]

and

\[
+ \frac{k}{2} B_k \int_\mathbb{R} h(u) \frac{du}{\mu} \int_0^\infty \psi_1(r)\psi_2(r) r^{k/2-1} dr,
\]

where \( B_k \) is the volume of the \( k \)-dimensional unit ball.

We will need the following two lemmas.

7.2. Lemma. We have

\[
\frac{1}{\mu(\Gamma^k \backslash G^k)} \int_{\Gamma^k \backslash G^k} \Theta_f(\tau, \phi; \xi) \Theta_g(\tau, \phi; \xi) \frac{d\mu}{\mu} = \int_{\mathbb{R}^k} f(w) g(w) dw.
\]

Note that if \( f(w) = \psi_1(\|w\|^2) \) and \( g(w) = \psi_2(\|w\|^2) \), we have

\[
\int f(w) g(w) dw = \frac{k}{2} B_k \int_0^\infty \psi_1(r)\psi_2(r) r^{k/2-1} dr.
\]

Proof. A short calculation shows that

\[
\int_{\mathbb{R}^{2k}} \Theta_f(\tau, \phi; \xi) \Theta_g(\tau, \phi; \xi) d\xi = \int f(\phi) g(\phi) \frac{dw}{\mu}.
\]
Since \( f_\phi = U^\phi f \) with \( U^\phi \) unitary, we have
\[
\int f_\phi(w)g_\phi(w)\,dw = \int f(w)g(w)\,dw.
\]

\[
\int f_\phi(w)g_\phi(w)\,dw = \int f(w)g(w)\,dw.
\]

7.3. Lemma. Suppose \( f(w) = \psi_1(\|w\|^2) \) and \( g(w) = \psi_2(\|w\|^2) \). For any \( \frac{1}{2} < \gamma < 1 \), we have
\[
\lim_{v \to 0} v^{k/2-1} \int_{|u| < v^\gamma} \Theta_f(u + iv, 0; \begin{pmatrix} 0 \\ y \end{pmatrix}) \Theta_g(u + iv, 0; \begin{pmatrix} 0 \\ y \end{pmatrix}) h(v^{k/2-1} u)\,du
\]
\[
= \frac{k^2}{2} B_k^2 h(0) \int_0^\infty \psi_1(r)\psi_2(r) r^{k-2} dr.
\]

Proof. From Proposition 4.8 we know that
\[
\Theta_f(-\frac{1}{\tau}, \arg \tau; \begin{pmatrix} -y \\ 0 \end{pmatrix}) \Theta_g(-\frac{1}{\tau}, \arg \tau; \begin{pmatrix} -y \\ 0 \end{pmatrix}) = \frac{v^{k/2}}{|\tau|^k} f_{\arg \tau}(0) g_{\arg \tau}(0) + O_R(\|v\|^{-R})
\]
holds uniformly for \( |u| < v^{1/2} < 1 \). The remainder vanishes for \( |u| < v^\gamma < 1 \). Now
\[
\int_{|u| < v^\gamma} \Theta_f(u + iv, 0; \begin{pmatrix} 0 \\ y \end{pmatrix}) \Theta_g(u + iv, 0; \begin{pmatrix} 0 \\ y \end{pmatrix}) h(v^{k/2-1} u)\,du
\]
\[
\sim v^{-1} \frac{k^2}{4} B_k^2 \int_{|u| < v^\gamma} \int_0^\infty e(\frac{(r_1 - r_2)u}{2v}) \psi_1(r_1)\psi_2(r_2) r_1^{k/2-1} dr_1 r_2^{k/2-1} dr_2 h(v^{k/2-1} u)\,du
\]
\[
\sim \frac{k^2}{2} B_k^2 h(0) \int_{|u| < v^\gamma} e((r_1 - r_2)u) \psi_1(r_1)\psi_2(r_2) r_1^{k/2-1} dr_1 r_2^{k/2-1} dr_2 du
\]
\[
\sim \frac{k^2}{2} B_k^2 h(0) \int e((r_1 - r_2)u) \psi_1(r_1)\psi_2(r_2) r_1^{k/2-1} dr_1 r_2^{k/2-1} dr_2 du
\]
\[
= \frac{k^2}{2} B_k^2 h(0) \int_0^\infty \psi_1(r)\psi_2(r) r^{k-2} dr
\]
by Parseval’s equality.
7.4. **Proof of the Main Theorem.** We may assume without loss of generality that in Theorem 7.1 $h$ is positive. Split the integration on the left-hand-side of 7.1 into

$$\int_{\mathbb{R}} = \int_{|u|<v^{1-\epsilon}} + \int_{|u|>v^{1-\epsilon}},$$

for some small $\epsilon > 0$. The first integral gives, by virtue of Lemma 7.3, the contribution

$$\frac{k^2}{2} B^2_k h(0) \int_0^\infty \psi_1(r) \psi_2(r) r^{k-2} dr$$

Corollary 6.11, together with Lemma 7.2, yields the second term on the right-hand-side of 7.1. Compare Section 8.4 in [10] for more details.

7.5. **Proof of Theorem 2.5.** We have by construction

$$R_2(\psi_1, \psi_2, h, \lambda) = \frac{1}{B_k} v^{k/2-1} \int_{\mathbb{R}} \Theta_f(u + i \frac{1}{\lambda}; 0; \left(\begin{array}{c} 0 \\ \alpha \end{array}\right) ) \Theta_g(u + i \frac{1}{\lambda}; 0; \left(\begin{array}{c} 0 \\ \alpha \end{array}\right) ) h(v^{k/2-1}u) du$$

with $v = \lambda^{-1}$. Recall that $2h(0) = \int \hat{h}(s) ds$ by Fourier inversion and thus we have finally $\int h(u) du = \hat{h}(0)$.

8. **Counter examples**

We assume throughout this section that $k > 2$. The case $k = 2$ is studied in [10], Section 9.

8.1. Suppose $\alpha_{k-1}, \alpha_k$ are both rational and $(\alpha_1, \ldots, \alpha_{k-2})$ is a badly approximable $(k-2)$-tuple. In this case we find a constant $C$ such that

$$\max_{1 \leq j \leq k} |\alpha_j - \frac{m_j}{q}| \geq \max_{1 \leq j \leq k-2} |\alpha_j - \frac{m_j}{q}| \geq \frac{C}{q^{1+\frac{1}{k-2}}}$$

for all $m_1, \ldots, m_j, q \in \mathbb{Z}$, $q > 0$, and so $\alpha$ is of type $\kappa = \frac{k-1}{k-2}$. On the other hand we have

$$\# \{ (m, n) \in \mathbb{Z}^k \times \mathbb{Z}^k : m \neq n, \quad \|m - \alpha\| \leq X, \|n - \alpha\| \leq X, \quad \|m - \alpha\| = \|n - \alpha\| \} \geq \# \{ (m, n) \in \mathbb{Z}^k \times \mathbb{Z}^k : m \neq n, (m_1, \ldots, m_{k-2}) = (n_1, \ldots, n_{k-2}), \quad \|m - \alpha\| \leq X, \|n - \alpha\| \leq X, \quad \|m - \alpha\|^2 = \|n - \alpha\|^2 \}.$$ 

This is easily seen to be bounded from below by

$$\gg X^{(k-2)/k} \# \{ (m_{k-1}, m_k, n_{k-1}, n_k) \in \mathbb{Z}^4 : \quad |m_{k-1}|, |m_k|, |n_{k-1}|, |n_k| \ll X^{1/k}, \quad (m_{k-1}, m_k) \neq (n_{k-1}, n_k), \quad (m_{k-1} - \alpha_{k-1})^2 + (m_k - \alpha_k)^2 = (n_{k-1} - \alpha_{k-1})^2 + (n_k - \alpha_k)^2 \} \sim X^{(k-2)/k} \times \tilde{c}_\alpha X^{2/k} \log X,$$
as \( X \to \infty \), for some constant \( \tilde{c}_\alpha > 0 \) (compare Section 9 in [10]). We conclude that, for \( X \) large enough,

\[
\frac{1}{X} \# \{(m, n) \in \mathbb{Z}^k \times \mathbb{Z}^k : m \neq n, \|m - \alpha\|^k \leq X, \|n - \alpha\|^k \leq X, \|m - \alpha\|^k = \|n - \alpha\|^k \} \geq c_\alpha \log X,
\]

for some constant \( c_\alpha > 0 \).

8.2. By a similar argument, one has for \( \alpha \in \mathbb{Q}^k \)

\[
\frac{1}{X} \# \{(m, n) \in \mathbb{Z}^k \times \mathbb{Z}^k : m \neq n, \|m - \alpha\|^k \leq X, \|n - \alpha\|^k \leq X, \|m - \alpha\|^k = \|n - \alpha\|^k \} \sim c_\alpha X^{(k-2)/k}
\]

for \( X \to \infty \). This can be derived, e.g. in the case \( \alpha = 0 \), from the asymptotics

\[
\int_0^1 \Theta_f(u + i\frac{1}{\lambda}, 0; 0) \Theta_g(u + i\frac{1}{\lambda}, 0; 0) \, du \sim b\lambda^{k/2-1},
\]

compare, e.g., Theorem 6.1 [9].

8.3. **Proof of Theorem 1.7.** Let \( B \) be a countable dense set of badly approximable \((k-2)\)-tuples. Enumerate the quadratic forms \( \|x - \alpha_j\|^2 \) with \( \alpha_j \in B \times \mathbb{Q}^2 \) as \( P_1, P_2, P_3, \ldots \).

Because of the bound derived in 8.1, given any \( X > 1 \), there exists an \( M_j > X \) such that

\[
R_{\alpha_j}^2[0, 0](M_j) \geq \frac{\log M_j}{\log \log \log M_j}.
\]

We find a small \( \epsilon_j = \epsilon_j(M_j) > 0 \) such that

\[
R_{\alpha_j}^2[-a, a](M_j) \geq R_{\alpha_j}^2[0, 0](M_j)
\]

for all \( \alpha \in B_j \), where \( B_j \) is the open set of all \( \alpha \) with \( \|\alpha - \alpha_j\| < \epsilon_j \). Individually, the sets \( B_j \) shrink to a point as \( X \to \infty \), but the union

\[
\bigcup_{j: M_j \geq X} B_j
\]

is open and dense in \( \mathbb{T}^k \). Therefore

\[
B = \bigcap_{X=1}^{\infty} \bigcup_{j: M_j \geq X} B_j
\]

is of second Baire category. So if \( \alpha \in B \), then, given any \( X \), there exists some \( M \geq X \), such that

\[
R_{\alpha}^2[-a, a](M) \geq \frac{\log M}{\log \log \log M}.
\]

Note that the proof remains valid if \( \log \log \log \log \) is replaced by any slowly increasing positive function \( \nu \leq \log \log \log \) with \( \nu(X) \to \infty \) (\( X \to \infty \)).

Property (iii) follows from Theorem 1.6 by the same string of arguments used in Section 9.3 [10].

8.4. **Proof of Theorem 1.8.** Follows from the relation in Section 8.2. The proof is otherwise identical to the proof of Theorem 1.13 [10].
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