Selective decontamination of the digestive tract and selective oropharyngeal decontamination in intensive care unit patients: a cost-effectiveness analysis

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ABSTRACT

Objective: To determine costs and effects of selective digestive tract decontamination (SDD) and selective oropharyngeal decontamination (SOD) as compared with standard care (ie, no SDD/SOD (SC)) from a healthcare perspective in Dutch Intensive Care Units (ICUs).

Design: A post hoc analysis of a previously performed cluster-randomised trial (NEJM 2009;360:20).

Setting: 13 Dutch ICUs.

Participants: Patients with ICU-stay of >48 h that received SDD (n=2045), SOD (n=1904) or SC (n=1990).

Interventions: SDD or SOD.

Primary and secondary outcome measures: Effects were based on hospital survival, expressed as crude Life Years Gained (cLYG). The incremental cost-effectiveness ratio (ICER) was calculated, with corresponding cost acceptability curves. Sensitivity analyses were performed for discount rates, costs of SDD, SOD and mechanical ventilation.

Results: Total costs per patient were €41 941 for SC (95% CI €40 184 to €43 698), €40 433 for SOD (95% CI €38 838 to €42 029) and €41 183 for SOD (95% CI €39 408 to €42 958). SOD and SDD resulted in crude LYG of +0.04 and +0.25, respectively, as compared with SC, implying that both SDD and SOD are dominant (ie, cheaper and more beneficial) over SC. In cost-effectiveness acceptability curves probabilities for cost-effectiveness, compared with standard care, ranged from 89% to 93% for SOD and from 63% to 72% for SDD, for acceptable costs for 1 LYG ranging from €0 to €20 000. Sensitivity analysis for mechanical ventilation and discount rates did not change interpretation. Yet, if costs of the topical component of SDD and SOD would increase 40-fold to €400/day and €40/day (maximum values based on free market prices in 2012), the estimated ICER as compared with SC for SOD would be €21 590 per LYG. SOD would remain cost-saving.

Conclusions: SDD and SOD were both effective and cost-saving in Dutch ICUs.

ARTICLE SUMMARY

Article focus

- Selective digestive tract decontamination (SDD) and selective oropharyngeal decontamination (SOD) are prophylactic antibiotics used as infection prevention strategy in intensive care units (ICU).
- In a Dutch 13-centre study, SDD and SOD were associated with relative risk reductions of mortality at day 28 of 13% and 11%, respectively, as compared with standard care (SC; ie, no SDD or SOD) and with lower incidence of ICU-acquired bacteraemia and ICU-acquired colonisation of the respiratory tract with multiresistant bacteria.
- This paper describes the costs and effects of SDD and SOD from the healthcare perspective in Dutch ICUs.

Key messages

- Both SDD and SOD were cheaper and more beneficial as compared with SC and these findings were insensitive to changes in discount rates and extra costs for ventilation days.
- SOD, but not SDD, was still dominant (ie, cheaper and more beneficial) over SC to current 40-fold higher market-prices of the topical components (€40/day for SOD and €400/day for SDD).

Strengths and limitations of this study

- This is the first head-to-head comparison of the costs and benefits of SDD and SOD and the first comparison of both interventions versus SC using data from a multicentre trial including 5939 patients.
- Baseline differences were present between the three study groups.
- Only direct medical costs were included in the analysis and cost data were restricted to healthcare settings.
Cost-effectiveness of selective decontamination

INTRODUCTION

Many patients in Intensive Care Units (ICU) are affected by nosocomial infections. These infections are associated with increased mortality and morbidity, and considerable extra expenditure. Selective oropharyngeal decontamination (SOD) and selective decontamination of the digestive tract (SDD) are prophylactic antibiotic regimens, that consist of topical antibiotics applied to the oropharynx and the intestinal tract to prevent colonisation of Gram-negative bacteria, Staphylococcus aureus and yeasts. During SOD topical antibiotics are exclusively applied to the oropharynx throughout the ICU-stay. During SDD topical antibiotics are not only applied to the oropharynx but also to the intestinal tract throughout the ICU-stay, in combination with intravenous administration of cefotaxime during the first 4 days in ICU, to pre-emptively treat infections with commensal respiratory tract bacteria. SDD has been a widely evaluated but highly controversial intervention in ICU. Many, but not all, studies reported statistically significant reductions in the incidence of Ventilator-Associated Pneumonia (VAP), but only few were able to demonstrate outcome benefits such as reduced mortality and length of ICU-stay. In the absence of indisputably documented outcome benefits, the fear for selection of antibiotic resistance has prevailed and SDD has not been recommended in most infection prevention guidelines. In a cluster-randomised study in 13 Dutch ICUs, SDD and SOD were associated with relative risk (RR) reductions of mortality on day 28 of 13% and 11%, respectively, as compared with standard care (SC; ie, no SDD or SOD). Although SOD and SDD are currently widely used in Dutch ICUs, the costs and effects of both regimens have not yet been determined. We, therefore, conducted a cost-effectiveness analysis (CEA), comparing SC, SOD and SDD using data from the Dutch multicentre trial.

METHODS

Data collection

A post hoc analysis of the cluster randomised crossover trial comparing SOD and SDD to SC was performed. The trial was conducted in 13 Dutch ICUs and included 5939 patients (2045 received SDD, 1904 received SOD and 1990 were treated according to SC). All centres were assigned to all three regimens during periods of 6 months; however, the order of implementation of SC, SOD and SDD was randomised per centre. SOD and SDD have been described in detail elsewhere. In short, SOD consists of a paste applied to the oropharynx, containing polymyxin E, tobramycin and amphotericin B (all in a 2% concentration, applied every 6 h). SDD consists, besides the paste used in SOD, also of a 10 ml suspension of 100 mg polymyxin E, 80 mg tobramycin and 500 mg amphotericin B that is applied via a nasogastric tube, every 6 h, and of cefotaxime (1000 mg, every 6 h) applied intravenously during the first 4 days of ICU-admission. The topical antibiotics of both regimens are applied until ICU-discharge. During the trial there were no restrictions to systemic antibiotic use during SC and SOD. During SDD, the use of antibiotics with antianaerobic activity was discouraged. This resulted in a marked increase of cephalosporin use and lower usage of penicillins, carbapenem and clindamycin. Surveillance cultures of endotracheal aspirates, oropharynx and rectum were obtained upon admission and twice weekly during SDD. During SOD surveillance, cultures of endotracheal aspirates and the oropharynx were obtained upon admission and twice weekly thereafter. During SC no surveillance cultures were obtained. Clinical cultures were obtained on clinical suspicion of infection in all three periods.

Approach for economic evaluation

We performed a CEA from a healthcare perspective, hence, only including direct medical costs. The time horizon of the study was the period from ICU-admission until hospital-discharge. Life Years Gained (LYG) was used as effectiveness measure. The outcome of the CEA was the incremental cost effectiveness ratio (ICER), expressed as cost per LYG. The informal Dutch threshold for cost-effectiveness is €20 000/LYG. Data from all individual patients were used for analyses. The CEA was performed post hoc, however, using data that were prospectively collected in Case Report Forms during the trial. Total direct medical costs of the three regimens consisted of three main categories: Length of Stay (LOS), antibiotic use and microbiology costs (table 1). LOS was based on the length of ICU-stay and the number of days on a hospital ward after ICU-discharge. Costs for days in ICU and other hospital days were based on the Dutch guidelines for costing research in health economic studies. Days in ICU were categorised in days with and without mechanical ventilation; days with mechanical ventilation were considered to be 15% more expensive than ICU-days without mechanical ventilation. Antibiotic use consisted of the topical components of the SDD-regimen and SOD-regimen, hereafter referred to as study medication, and of all systemic antibiotics used in ICU during all periods, including the 4 days cefotaxime during SDD as part of the SDD-protocol. The price of study medication was €0.87 and €10.48/day, for SOD and SDD, respectively. Costs of systemic antibiotics were based upon prices per Defined Daily Dose (DDD) provided by the Dutch information project on medication and medical devices (Genees- en hulpmiddelen Informatie Project (GIP)-database). For microbiology costs blood cultures, bronchoalveolar lavages, sputum, throat and rectal cultures were considered. Rectal cultures were only obtained during SDD as part of SDD-surveillance. Cultures obtained from the other sites were either obtained as part of the surveillance (throat and sputum cultures during SDD/SOD) or as part of daily clinical practice. Microbiological costs were obtained as the

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internal tariffs applied within the University Medical Center, Utrecht. These costs included costs for the microbiological culture, order tariff and extra costs for species determination and susceptibility resistance testing in case of relevant bacterial growth, irrespective of the species. The year 2009 was taken as the reference year for all costs. Costs that were not available for 2009 were corrected for inflation (with respect to 2009) based on the price index. An overview of all unit costs used in the analysis is provided in Table 1. LYG were discounted at 1.5% a year, following Dutch guidelines for health economic evaluation. Discounting of costs was not necessary, as all costs occurred within the first year after inclusion.

### Analysis

LYG were determined by calculating Life Years Lost (LYL) of the patients who deceased in the hospital, using life tables for the Dutch population combined with age and sex, with LYG defined as the difference in LYL between regimens. The ICER was defined as the incremental difference between the mean cost of treatment regimens, divided by the incremental difference in mean effect between treatment regimens. To estimate confidence limits for the ICER, bootstrapping (25 000 repeats) was performed, as this does not depend on parametric assumptions about the distribution of the data. Results of the bootstrap procedure were plotted in a cost-effectiveness plane that graphically represents the cost-difference and effect difference between either SDD or SOD and SC, and for SDD versus SOD, for each of the bootstrap replications. Cost-effectiveness acceptability curves (CEAC) were plotted to express the probability that treatment regimens were cost-effective as compared with SC, for a range of willingness to pay levels for one LYG ($\lambda$). The curves display the proportion of bootstrapped ICER-pairs that are cost-effective, meaning that they either fall within the south-east quadrant of the cost-effectiveness plane or remain below the $\lambda$ threshold in the north-east and south-west quadrants of the plane. In addition, sensitivity analyses were performed: the discounted results (at 1.5% a year) were compared with results without discounting and to a discount rate of 3% a year; costs for ICU-days with mechanical ventilation were analysed for 0% and 30% extra per ICU-day as compared with 15% additional costs in base case analysis; daily costs of study medication were analysed with maximum values based upon free market prices ($\€40$ for SOD and $\€400$ for SDD). Mann-Whitney U test was used to calculate $p$ values. $p$ Value <0.05 was considered to denote statistical significance and all reported $p$ values are two-sided. All analyses were performed using Statistical Package for Social Sciences V.20 (SPSS, Chicago, Illinois, USA) V.17.0 and R V.2.14.

### RESULTS

In this cluster-randomised trial 5939 patients were included; 1990 patients in the SC group, 1904 received SOD and 2045 received SDD. For this post hoc analysis, 19 patients were excluded (3 patients during SC, 3 during SOD and 13 during SDD). Twelve patients declined permission to use clinical data. Seven additional patients were excluded because data on hospital discharge and/or hospital mortality was missing, as reported previously. Baseline characteristics differed among the three groups (Table 2). Patients receiving SDD were on average 62.4 ($\pm$15.8) years old, compared with 61.4 ($\pm$16.3) and 61.4 ($\pm$16.2) years for patients receiving...
SOD and SC, respectively. Patients receiving SC had a lower mean APACHE II score (18.6) than those receiving SOD (19.6) and SDD (19.9), and were less likely to be on mechanical ventilation (88.1% for SC vs 94.2% and 92.9% for SOD and SDD, respectively).

Mean LOS in ICU and in hospital and mean duration of mechanical ventilation did not differ significantly between SC, SOD and SDD. These data differ somewhat from original LOS data reported previously,3 which included only data of patients who were alive on day 28.

In all, 7609 daily doses of study medication were used in the SOD group and 8068 during SDD, with average numbers of 4 doses/day for SOD patients and 3.95 for SDD patients. The average number of DDD of systemic antibiotics during ICU-stay was the lowest during SDD (19.9) and SDD (19.9), and were less likely to be on mechanical ventilation (88.1% for SC vs 94.2% and 92.9% for SOD and SDD, respectively).

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Cost analysis

Average total costs per patient were €41 941 for SC (95% CI €40 184 to €43 698), €40 433 for SOD (95% CI €38 838 to €42 029) and €41 183 for SDD (95% CI €39 408 to €42 958) (table 3). LOS accounted for approximately 98% of total costs, and these costs were the highest for patients during SC. Mean costs per patient for study medication were €3.48 and €4.15 during SOD and SDD, respectively. Mean costs of systemic antibiotics per patient were €358.29 (95% CI €321.34 to €395.24) during SC, €317.65 (95% CI €280.89 to €354.42) during SOD and €439.14 (95% CI €406.69 to €471.59) during SDD (p<0.01 for SDD vs SC and SOD). Mean costs for microbiology cultures were the highest for SDD (€371.72), as compared with SOD (€287.27) and SC (€220.05; p<0.01 for SDD vs SC and SOD).

Hospital mortality was 31.8%, 30.7% and 32.3% during SC, SOD and SDD, respectively. The difference in hospital mortality for SDD, as compared with reported mortality previously3 (32.3% vs 32.6%), results from inclusion of outcome data from the 12 patients that declined permission to use clinical (not mortality) data in the main analysis. Estimated LYL were, on average, 6.07 years for SC patients, 5.62 years for SOD patients and 5.97 years for SDD patients. Effects were discounted with 1.5% a year resulting in LYG of +0.25 years for SOD and +0.04 years for SDD as compared with SC (table 4). SOD resulted in +0.21 LYG over SDD, SOD dominates SDD in 60.2% of the bootstrap estimates, respectively. When comparing SOD versus SDD, SOD dominates SDD in 60.2% of the bootstrap replicates. If only cost aspects were taken into account (ie, combining the south-east and south-west quadrants), 89.3% and 72.4% of the bootstrap replicates were cheaper than SC during SOD and SDD, respectively. In addition, bootstrap results were graphically displayed in CEAC showing the probability that a treatment is cost-effective in comparison with another treatment, given a certain threshold value for the willingness to pay.
for one LYG. These probabilities varied for values ranging from €0 to €20 000, between 89% and 93% for SOD and between 63% and 72% for SDD (figure 1). For SOD versus SDD, these probabilities varied from 73% to 87%.

In the cost-analysis, €69.59 per 1 DDD of cefotaxime was used as reference price\(^1\) and average costs of systemic antibiotics were the highest during SDD.\(^3\) The price of 1 DDD cefotaxime should be €39.37 and €19.07 to balance costs for systemic antibiotics between SDD and SC and SDD and SOD, respectively.

Sensitivity analyses on mechanical ventilation costs and discount rates did not change the interpretation of results (table 5, figure 1). Yet, daily costs of €10 and €400 for study medication in SOD and SDD resulted in an ICER of €21 590 per LYG for SDD versus SC whereas SOD remained dominant over SC. For all situations, SOD was more effective and cheaper than SDD (table 4 and 5). To stay below the Dutch threshold of €20 000 per LYG, the maximum daily price for the topical SDD-components should be €375.

### DISCUSSION

This post hoc analysis of a large cluster-randomised trial performed in 13 Dutch ICUs including 5920 patients revealed that both SOD and SDD are cost-saving and more effective as compared with SC. These findings were insensitive to changes in discount rates and extra expenses for ventilation days. Furthermore, for SOD, but not for SDD, these findings were insensitive to current (higher) market-prices of the topical components. The probabilities that SOD and SDD are cost-effective for a willingness to pay threshold of €20 000 per LYG as compared with SC, were 93% and 63%, respectively.

This is the first head-to-head comparison of the costs and benefits of SDD and SOD and the first comparison of both interventions versus SC. Strengths of the present study include the large study size and the completeness of data collection.

Limitations of the study are the baseline differences between the three study periods. Patients receiving SC were younger, had lower APACHE II scores and were less likely to receive mechanical ventilation and, therefore,
Cost-effectiveness of selective decontamination

Figure 1  Scatterplot of incremental cost-effectiveness ratio pairs based on the results of bootstrap resampling technique (25 000 replicates) and cost-effectiveness acceptability curves for (A and B) SOD vs SC, (C and D) SDD vs SC and (E and F) SOD vs SDD. SOD, selective oropharyngeal decontamination; SDD, selective decontamination of the Digestive tract; SC, standard care.

seemed to have a better prognosis. In the original trial random effects logistic regression modelling was applied to adjust for these differences. Here we have used crude data, without any adjustments for baseline differences. Our analysis points at superiority of SOD and SDD when compared with SC, despite the somewhat more favourable prognosis at the time of ICU-admission of patients receiving SC. Our findings on the cost-effectiveness of both interventions are, therefore, conservative estimates. Furthermore, patients receiving SOD were, on average, 1 year younger than those receiving SDD, which may have affected the difference in LYL between both interventions. Other limitations are the restriction of cost data to the healthcare setting and the absence of antibiotic and microbiology cost data after ICU-discharge, which could not be obtained retrospectively. Finally, this trial was performed in ICU-settings with low endemicity of antibiotic resistance, which may limit generalisability to other settings.

The main contributor to the total costs was LOS, which was composed of stay in ICU and hospital after ICU-discharge. The other costs, microbiology and antibiotics, were the highest for SDD, which had been reported previously. Some relatively small single-centre studies, also determined the effects of SDD on costs of days in ICU or in the hospital. In a German study SOD with cefotaxime prophylaxis resulted in lower average costs for antibiotic therapy and for days on ventilation than during SC.26 In a French study of trauma patients both daily ICU-costs as well as mean antibiotic costs, including SDD treatment, were lower during SDD compared with SC.27 In a Spanish study mean costs of systemic antibiotics were lower and less diagnostic procedures for infections were performed during SDD, compared with those during SC, which resulted in a 21% reduction of total costs per survivor in the SDD-treated group.28 Yet, in none of these studies a formal CEA was performed.
| SC            | SOD            | SDD            | ICER analyses   | ICER analyses   | ICER analyses   |
|---------------|----------------|----------------|----------------|----------------|----------------|
| **Sensitivity analysis discounting effects (life years lost)** |                |                |                |                |                |
| BC+1.5%       | 4.27 (3.96 to 4.57) | 4.02 (3.72 to 4.32) | 4.23 (3.94 to 4.53) | SC=dominated by SOD | SC=dominated by SDD | SDD=dominated by SOD |
| +0%           | 6.07 (5.58 to 6.55) | 5.62 (5.15 to 6.08) | 5.97 (5.50 to 6.44) | SC=dominated by SOD | SC=dominated by SDD | SDD=dominated by SOD |
| +3%           | 2.82 (2.63 to 3.01) | 2.68 (2.49 to 2.87) | 2.82 (2.63 to 3.00) | SC=dominated by SOD | SC=dominated by SDD | SDD=dominated by SOD |
| **Sensitivity analysis mechanical ventilation†** |                |                |                |                |                |
| BC+15%        | €41940.79 (€40183.93 to €43697.66) | €40433.42 (€38837.50 to €42029.35) | €41183.12 (€39408.39 to €42957.85) | SC=dominated by SOD | SC=dominated by SDD | SDD=dominated by SOD |
| +0%           | €38715.73 (€37112.32 to €40319.14) | €37117.07 (€35659.90 to €38574.24) | €37874.94 (€36270.73 to €39479.15) | SC=dominated by SOD | SC=dominated by SDD | SDD=dominated by SOD |
| +30%          | €45165.85 (€43251.01 to €47080.69) | €43749.78 (€42010.47 to €45489.09) | €44491.30 (€42542.03 to €46440.57) | SC=dominated by SOD | SC=dominated by SDD | SDD=dominated by SOD |
| **Sensitivity analysis price study regimen*,†** |                |                |                |                |                |
|               | €41940.79 (€40183.93 to €43697.66) | €40493.15 (€38996.62 to €42189.67) | €42720.23 (€40943.82 to €44966.65) | SC=dominated by SOD | ICER 21590 | SDD=dominated by SOD |

*Price SOD €40 and SDD €400 per day.
†Effects are discounted 1.5% a year.

BC, base case results; ICER, incremental costs effectiveness ratio (costs/LYG); SC, standard care; SDD, Selective Decontamination of the Digestive tract; SOD, Selective Oropharyngeal Decontamination.
VAP incidences were not determined in the Dutch SDD-SOD trial\(^2\) because of the perceived difficulties in uniformly diagnosing VAP in 13 ICUs. Yet, both SDD and SOD have been associated with reduced incidences of VAP, as compared with SC.\(^5\)\(^–\)\(^9\) In addition to SDD and SOD there are other preventive measures that have been associated with reductions in the incidence of VAP, such as the use of silver-coated endotracheal tubes and continuous subglottic suctioning (CSS). In a large multicentre randomised controlled trial silver-coated endotracheal tubes were associated with an RR reduction of the incidence of VAP of 35.9%, without discernible beneficial effects on patient outcome.\(^3\) In a CEA of this trial the use of silver-coated tubes, although 45-fold more expensive than normal tubes (\$90 vs \$2 per tube), yielded savings of \$12,840 per episode of VAP prevented.\(^3\)\(^5\) CSS was, in a recent meta-analysis of 13 randomised trials, associated with a 45% reduction in the incidence of VAP (RR 0.55 (95% CI 0.46 to 0.66)), but also without discernible beneficial effects on patient outcome (RR 1.01 (95% CI 0.85 to 1.20)).\(^3\)\(^2\) The intervention appeared cost saving in two studies, saving \$4,992 and \$1,176 per episode of VAP prevented.\(^3\)\(^5\)\(^–\)\(^9\) However, these analyses were based on extrapolated costs per episode of VAP, rather than on the true costs generated during the trials. Other widely recommended measures to prevent VAP, such as the semirecumbent patient position and different bundle approaches have not been associated with documented improvements in patient outcome and have not been evaluated with formal cost-effectiveness analyses.

In conclusion, both SOD and SDD appeared more beneficial and cost saving as compared with SC and even if the costs of both measures would increase 40-fold SOD will remain cost-saving and the ICER of SDD will be around the Dutch threshold for cost-effectiveness of \$20,000 per LYG. The higher price for medication follows from the higher costs for amphotericin B, which could be alleviated by replacing amphotericin B by nystatin, which has also good antifungal activity in topical application.\(^3\)\(^5\) With 1180 ICU-beds in a country of 16.6 million inhabitants (year 2010), extrapolation of our findings suggests that nationwide implementation of SOD or SDD in ICUs, as occurred after the trial, has saved, per year, 18–36 million Euros.

The Dutch multicentre study on SDD and SOD provided evidence of better patient outcome,\(^3\) lower antibiotic resistance prevalence in the ICUs,\(^3\)\(^6\) lower incidence of ICU-acquired bacteraemia and ICU-acquired colonisation of the respiratory tract with multiresistant bacteria,\(^3\)\(^7\) effective eradication of intestinal carriage with cephalosporin-resistant Enterobacteriaceae,\(^3\)\(^8\) and low rates of resistance development to colistin.\(^3\)\(^9\) Importantly, these beneficial effects were obtained in ICUs with low levels of antibiotic resistance, reflected by incidence rates of bloodstream infections caused by methicillin-resistant \(S\) \(aureus\), vancomycin-resistant enterococci and highly-resistant Enterobacteriaceae of \(<0.1, <0.1\) and 0.5 per 1000 patient at risk, respectively.\(^3\)\(^7\) Whether these benefits can be realised in ICUs with different bacterial ecology remain to be determined,\(^4\)\(^0\) but given the potential gains careful scientific evaluation is warranted.\(^4\)\(^1\)

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**Contributors.** AMdS and MJMB conceived the study. EO, AdW and MJMB designed the study. The SDD–SOD trialist group and AMdS collected the trial data. EO, AdW, MB and MJMB analysed and interpreted the data. EO, MB and MJMB drafted the manuscript, and AdW, AMdS and The SDD–SOD trialist group critically revised the manuscript for important intellectual content. All the authors had full access to the data and approved the final manuscript. EO is the guarantor.

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**Data sharing statement** Statistical code is available from the corresponding author.

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