The steady state partial slip problem for half plane contacts subject to a constant normal load using glide dislocations

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Abstract

A new solution for general half-plane contact problems subject to a constant normal load together with alternating shear loads and tension in the steady state is presented. The method uses a formulation where a displacement correction is made to the fully stuck contact solution. There will be two outer regions of slip and a central permanent stick zone, which is explicitly established. Thereby, the maximum extent of the slip zones is effectively specified. Cases of small and large tension are studied, that is when the direction of slip is the same or opposing at the ends of the contact, respectively.

Keywords: Contact mechanics; Half-plane theory; Partial slip; Constant normal and shear loads; Bulk tension; Dislocations

1 Introduction

Fretting fatigue is associated with contact problems enduring partial slip, that is, where part of the contact is adhered and part is in a slipping state. The presence of the contact causes a stress concentration and slipping in the presence of friction damages the surface, producing conditions ripe for the nucleation of cracks. We are currently investigating, experimentally, a number of fretting strength problems in the laboratory, and it is important to have the same representative conditions in the experiment as those present in the prototype.

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This means understanding both the normal contact problem and the partial slip analysis. The latter is uncoupled from the first if the contacting bodies may be idealised as half-planes and they are made from the same material. The first solution to problems of this kind was the celebrated Cattaneo analysis [1] of a Hertzian contact (in the original paper a three-dimensional problem) subject to a constant normal load and an increasing shear force. The problem was later re-examined by Mindlin [2] in a series of papers in which he looked at the response of a contact to an oscillatory shear force, and also began studies of the case where the normal load varies. Further developments of the Cattaneo-Mindlin process, extending it to half-plane problems of general form were obtained independently by Ciavarella [3] and Jäger [4].

In fact interfacial shear tension may be excited by one of two ways - either by the action of an external shear force or by the development of differential tensions between the two bodies, and the first paper to consider this, prompted by considerations of what arises in a laboratory fretting test, was by Hills and Nowell [5] who solved the resulting integral equation numerically. A problem of this kind is shown in Figure 1 in which a general symmetrical half-plane contact is subject to a normal load, $P$, and a subsequently applied shear force, $Q$ and differential bulk tension, $\sigma = \sigma_A - \sigma_B$, in partial slip. There have also been further considerations of the effects of bulk tension by Ciavarella and Macina [6] and Moore et al. [7]. In most practical problems the loads at the contact are excited by a solitary varying force which does not change orientation. This means that there is no phase shift between the loads excited, and a commonly arising problem is when the normal force, $P$, is held constant and the shear force oscillates between two values ($Q_1, Q_2$), and, at the same time, the bulk tension fluctuates between two values ($\sigma_1, \sigma_2$), Figure 2.

**Figure 1:** General symmetrical incomplete half-plane contact subject to normal loading and a subsequently applied shear force and bulk tension in partial slip.
Although problems of this kind can be solved by marching-in-time type methods [8], it is very helpful for the user of these results if the solution can be obtained quickly and in as near to a closed form as possible. We have recently obtained a very simple solution to the problem posed which is appropriate when the applied tension is small, so that the slip zones at each edge of the contact are of the same sign [9]. The solution developed in that paper is a variant of the Ciavarella-Jäger approach, developed further by Barber et al [8], in which the fundamental form of the shear traction distribution is taken as the sum of a sliding shear traction together with a corrective term applied over the stick region $[-m, n]$, where $m, n > 0$, to restore stick there, Figure 1. This method has stood the test of time well but it is extremely difficult to adapt it to problems where the slip zones are of opposite sign, at any rate in a way which can be solved in closed form.

A breakthrough was the discovery of the solution for the shear tractions induced on the interface by a dislocation present on that interface between two elastically similar half-planes joined over an interval – here $[-a, a]$ see Figure 1 [10]. This meant that the reverse assumptions might be made compared with those described above, viz. that the contact was adhered everywhere, and then glide dislocations inserted over the putative slip regions to restore slip there to its limiting value, so the shear traction, $q(x)$, in the slip region is given by $q(x) = fp(x)$, where $f$ is the coefficient of friction and $p(x)$ is the normal pressure distribution. This method led to tractable integrals for both small-tension and large-tension cases, that is when the direction of slip is the same or opposing at the ends of the contact, and a marching-in-time procedure was adopted [7]. The object in the present paper is to extend the solutions in [9] to those for a steady state response, and in particular to the case where the bulk tension may be large. At the same time, in the present paper, the normal load will be kept constant as this permits the integral equations to be developed and the solution to be found in closed-form.

### 2 Adhered Condition

The starting point for the solution is one where the contact has already been formed, so that there is no differential surface strain present, and the contact, associated with a normal load $P$, occupies the interval $[-a, a]$. If the coefficient of friction, $f$, was indefinitely high, all slip would be prevented when a shear force, $Q$, was subsequently applied. Therefore,
the difference in strains parallel with the surface between the two bodies will be zero, i.e. \\
\( \frac{du_A}{dx} - \frac{du_B}{dx} = 0, \) \( |x| < a, \) and the shear traction is as shown in Figure 3 a). Equally, if tensions, \( \sigma_A, \sigma_B, \) are developed in each body as shown in Figure 1 the shear tractions arising will be as shown in Figure 3 b).

\[
\begin{align*}
\text{adhered condition} \\
\frac{du_A}{dx} - \frac{du_B}{dx} = 0 & \quad |x| < a
\end{align*}
\]

\[q(x) = \frac{Q}{\pi(a^2 - x^2)^{1/2}}\]

\[
q(x) = \frac{x(\sigma_A - \sigma_B)}{4(a^2 - x^2)^{1/2}}
\]

Figure 3: Shear tractions in the fully adhered state due to a) shear force only and b) bulk tension only.

We note that, if the coefficient of friction, \( f \), were high enough to prevent all slip, the change in shear traction, \( \Delta q(x) \), when a change in shear force, \( \Delta Q \), and change in bulk tension, \( \Delta \sigma \), are imposed, when we move from load state 1 to load state 2, Figure 2, is given by

\[
\Delta q(x) = \frac{\Delta Q}{\pi \sqrt{a^2 - x^2}} + \frac{x \Delta \sigma}{4 \sqrt{a^2 - x^2}}
\]

In this problem the change in shear load is

\[
\Delta Q = Q_2 - Q_1,
\]

and change in bulk tension is

\[
\Delta \sigma = \sigma_2 - \sigma_1,
\]

where \( \sigma_1 \) and \( \sigma_2 \) represent the difference in bulk tension between the two bodies at the ends of the load cycle, respectively. If both \( \Delta Q \) and \( \Delta \sigma \), are positive, Figure 1, we see that at the right hand edge of the contact their effects will sum (as \( x \to a^- \)) whilst at the left hand edge, as \( x \to -a^+ \) their effects tend to cancel.

3 Partial Slip Steady State Problem

Consider a distribution of glide dislocations, of density \( D(x) \), present over an interval \( [-m, n] \) on the junction between two half-planes over \( [-a, a] \). This will give rise to

\footnote{In general, bulk stresses may arise in each body. When this is the case, providing they are synchronous, we define \( \sigma_i = \sigma_{B,i} - \sigma_{A,i}, \) for \( i = 1, 2 \) representing two load points in the steady state (see Figure 2).}
a shear traction, \( q(x) \), given by

\[
q(x) = \frac{1}{\pi A \sqrt{a^2 - x^2}} \int_{-m}^{n} \frac{\sqrt{a^2 - \xi^2 D(\xi)}}{\xi - x} d\xi, \quad -a < x < a.
\]

The contact region is over \(-a < x < a\) as shown in Figure 1, and the solution to be developed is mathematically exact only if the materials considered have elastically similar properties so that the material compliance of the two touching bodies is given by

\[
A = \frac{\kappa + 1}{2\mu},
\]

where \( \mu \) is the shear modulus and \( \kappa \) is Kolosov’s constant, defined as

\[
\kappa = \begin{cases} 
3 - 4\nu & \text{for plane strain}, \\
3 - \nu & \text{for plane stress}, \\
1 + \nu & \text{for plane stress},
\end{cases}
\]

where \( \nu \) is Poisson’s ratio. Suppose we are in steady state and the loads are at state 1, see Figure 2 and the increment of loading has just changed sign and is now increasing. The shear traction which is locked-in at that point is denoted \( q_1^+(x) \). As the loads approach load state 2, the shear traction can be written as

\[
q_2^-(x) = q_1^+(x) + \frac{\Delta Q}{\pi \sqrt{a^2 - x^2}} + \frac{x \Delta \sigma}{4 \sqrt{a^2 - x^2}} + \frac{1}{\pi A \sqrt{a^2 - x^2}} \left[ \int_{-m}^{-a} \frac{\sqrt{a^2 - \xi^2 D^L(\xi)}}{\xi - x} d\xi + \int_{a}^{n} \frac{\sqrt{a^2 - \xi^2 D^L(\xi)}}{\xi - x} d\xi \right],
\]

where \( x \in (-a, a) \) and \( D^L(x) \) is the density of dislocations arising during the loading phase and \( D^U(x) \) is the density of dislocations arising during the unloading phase. When we ‘move around the corner’ at load state 2 this shear traction, in turn, becomes locked-in and is denoted \( q_2^+(x) \). Now, as we approach point 1 we can write

\[
q_1^-(x) = q_2^+(x) - \frac{\Delta Q}{\pi \sqrt{a^2 - x^2}} - \frac{x \Delta \sigma}{4 \sqrt{a^2 - x^2}} + \frac{1}{\pi A \sqrt{a^2 - x^2}} \left[ \int_{-m}^{-a} \frac{\sqrt{a^2 - \xi^2 D^U(\xi)}}{\xi - x} d\xi + \int_{a}^{n} \frac{\sqrt{a^2 - \xi^2 D^U(\xi)}}{\xi - x} d\xi \right],
\]

where \( x \in (-a, a) \). In each equation, the glide dislocation distributions over the slip intervals physically represent the slip displacements there, and the shear tractions vanish outside the intervals stated.

\(^2\)We interpret these integrals in the usual way: Cauchy principal value when \( x \) lies within their interval, otherwise regular.
If we subtract integral (8) from integral (7) we arrive at the following integral

\[
q^-_2(x) - q^-_1(x) = q^+_1(x) - q^+_2(x) + \frac{2\Delta Q}{\pi a^2 - x^2} + \frac{2x\Delta\sigma}{4\sqrt{a^2 - x^2}} + \\
\frac{1}{\pi A \sqrt{a^2 - x^2}} \left[ \int_{-a}^{-m} \sqrt{a^2 - \xi^2} D^L(\xi) \frac{d\xi}{\xi - x} + \int_{m}^{a} \sqrt{a^2 - \xi^2} D^L(\xi) \frac{d\xi}{\xi - x} - \int_{-a}^{-m} \sqrt{a^2 - \xi^2} D^U(\xi) \frac{d\xi}{\xi - x} - \int_{m}^{a} \sqrt{a^2 - \xi^2} D^U(\xi) \frac{d\xi}{\xi - x} \right],
\]

where \( x \in (-a, a) \). We know that, in the slip regions, the shear tractions are equal to their limiting value, i.e.

\[
q^-_2(x) = q^+_2(x) = fp(x) \text{sgn}(x) \quad -a \leq x \leq -m \quad \text{and} \quad n \leq x \leq a
\]

and

\[
q^-_1(x) = q^+_1(x) = -fp(x) \text{sgn}(x) \quad -a \leq x \leq -m \quad \text{and} \quad n \leq x \leq a,
\]

where we should omit the \( \text{sgn}(\cdot) \) functions when we are considering the small-tension case, Figure 4(a), and include them when we are considering the large-tension case, Figure 4(b).

**Figure 4:** Shear tractions for; (a) the small-tension case, (b) the large-tension case in partial slip.

We have already used the principle of conservation of material in assuming that the maximum extent of slip penetration is the same at points \( 1^- , 2^- \), but we can also say that the change in the slip displacement of all opposing particles within the slip zones during the loading and unloading phases is equal in magnitude and opposite in sign. For example, in the right hand slip region we see that the slip displacement at point \( x = u^L(x) \), during loading is given by

\[
u^L(x) = \int_{n}^{x} D^L(\xi) d\xi \quad n < x < a.
\]

We know that during unloading we will recover the slip displacement, i.e. \( u^L(x) + u^U(x) = 0 \) within the slip zones. Hence, we infer that

\[
D^U(x) = -D^L(x) \quad -a \leq x \leq -m \quad \text{and} \quad n \leq x \leq a.
\]
If we make use of these results and restate equation (9) for the slip zones only, we arrive at the following integral equation, in terms of the dislocation density $D^L(x)$

$$2fp(x) \text{sgn}(x) - \left( \frac{\Delta Q}{\pi \sqrt{a^2 - x^2}} + \frac{x \Delta \sigma}{4 \sqrt{a^2 - x^2}} \right) =$$

$$= \frac{1}{\pi a \sqrt{a^2 - x^2}} \left[ \int_{-a}^{-m} \frac{\sqrt{a^2 - \xi^2} D^L(\xi)}{\xi - x} d\xi + \int_{n}^{a} \frac{\sqrt{a^2 - \xi^2} D^L(\xi)}{\xi - x} d\xi \right], \quad (14)$$

where $x \in (-a, -m) \cup (n, a)$.

### 4 Solution

The formulation works equally well with the same or opposite signs of slip, so we start making some progress towards a general solution. We note that the left-hand side of equation (14) may be interpreted as the difference between the fully stuck and slipping shear traction distributions within the slip region, which we will denote by $q_{c}(x)$, and hence we may write

$$q_{c}(x) = - \left( \frac{\Delta Q}{\pi \sqrt{a^2 - x^2}} + \frac{x \Delta \sigma}{4 \sqrt{a^2 - x^2}} \right) + 2fp(x) \text{sgn}(x) =$$

$$= \frac{1}{\pi a \sqrt{a^2 - x^2}} \left[ \int_{-a}^{-m} \frac{\sqrt{a^2 - \xi^2} D^L(\xi)}{\xi - x} d\xi + \int_{n}^{a} \frac{\sqrt{a^2 - \xi^2} D^L(\xi)}{\xi - x} d\xi \right]. \quad (15)$$

where $x \in (-a, -m) \cup (n, a)$. A bounded-both-ends solution for equation (15) is sought, and inverting this equation in the standard way leads to the two consistency conditions [7]

$$0 = \int_{-a}^{-m} \frac{q_{c}(\xi) \xi^{k}}{\sqrt{(\xi - n)(\xi + m)}} d\xi - \int_{n}^{a} \frac{q_{c}(\xi) \xi^{k}}{\sqrt{(\xi - n)(\xi + m)}} d\xi, \quad (16)$$

where $k = 0, 1$. Making use of the knowledge that

$$\int_{-a}^{-m} \frac{\xi^{k}}{\sqrt{a^2 - \xi^2(\xi - n)(\xi + m)}} d\xi = \begin{cases} 0 & \text{for } k = 0, \\ -\pi & \text{for } k = 1, \\ \frac{(m - n)\pi}{2} & \text{for } k = 2, \end{cases} \quad (17)$$

we can reduce the consistency conditions to the following pair of equations for $m, n$

$$\frac{\Delta \sigma \pi}{8} = - \int_{-a}^{-m} \frac{fp(\xi) \text{sgn}(\xi)}{\sqrt{(\xi - n)(\xi + m)}} d\xi + \int_{n}^{a} \frac{fp(\xi) \text{sgn}(\xi)}{\sqrt{(\xi - n)(\xi + m)}} d\xi, \quad (18)$$

$$\frac{\Delta Q}{2} + \frac{(n - m)\Delta \sigma \pi}{16} = - \int_{-a}^{-m} \frac{\xi fp(\xi) \text{sgn}(\xi)}{\sqrt{(\xi - n)(\xi + m)}} d\xi + \int_{n}^{a} \frac{\xi fp(\xi) \text{sgn}(\xi)}{\sqrt{(\xi - n)(\xi + m)}} d\xi. \quad (19)$$

The LHS of equation (18) is constant and independent of the contact geometry. The RHS is specific to the geometry and applies whether small or large tension is being considered, i.e. $\text{sgn}(\bullet)$ is included or omitted. Similarly, the LHS of equation (19) is geometry-independent, whereas the RHS is geometry and tension case-dependent.
4.1 Small Tension - Hertz Example

We start by re-evaluating the small-tension case, for which a solution already exists based on the Ciavarella-Jäger principle [9]. As the slip direction will be the same at both ends of the contact, Figure 4 (a), the sgn (•) function is omitted from equations (18) and (19).

Consider a Hertzian contact, where the pressure distribution is semi-elliptical in form, and may be written as

\[ p(x) = \frac{1}{AR} \sqrt{a^2 - x^2}, \quad |x| \leq a. \]  

(20)

So, by making use of the following standard results [7]

\[ \int_{-m}^{-a} \frac{\xi^k \sqrt{a^2 - \xi^2}}{\sqrt{(\xi - n)(\xi + m)}} \, d\xi = \begin{cases} \frac{(n - m)\pi}{4} & \text{for } k = 0, \\ \frac{(n - m)^2}{2} + \frac{(n + m)^2}{8} - \frac{a^2}{2} \pi & \text{for } k = 1, \end{cases} \]  

(21)

the first consistency condition, equation (18), provides the result that

\[ \frac{(n - m)}{2} = -\frac{AR\Delta\sigma}{8f}, \]  

(22)

and after a little manipulation, the second consistency condition, equation (19), gives

\[ \left( \frac{(n + m)}{2} \right)^2 = a^2 - \frac{AR\Delta Q}{\pi f}. \]  

(23)

These are the same results as those obtained by [9]. Provided \( \Delta Q \) and \( \Delta\sigma \) are positive, the same sign slip direction is maintained as long as the change in bulk stress satisfies

\[ \Delta\sigma \leq \frac{8f}{AR} \left( a - \sqrt{a^2 - \frac{AR\Delta Q}{\pi f}} \right). \]  

(24)

4.2 Large Tension - Hertz Example

Suppose now, that the change in tension is large enough for inequality (24) to be violated so that the slip directions are of opposite sign as shown in Figure 4(b). The sgn (•) function is therefore now included in equations (18) and (19). In a Hertzian example, where equation (20) again applies, we use the following results to evaluate the consistency conditions [7]

\[ \int_{-m}^{-a} \frac{s^{j-1}\sqrt{a^2 - s^2}}{\sqrt{(s - n)(s + m)}} \, ds + \int_{a}^{n} \frac{s^{j-1}\sqrt{a^2 - s^2}}{\sqrt{(s - n)(s + m)}} \, ds = \alpha_j E(\chi) + \beta_j K(\chi) + \gamma_j \Pi \left( \frac{m - a}{m + a}, \chi \right) + \delta_j \Pi \left( \frac{a - n}{a + m}, \chi \right), \]  

(25)
for \( j = 1, 2 \) and where \( K(\chi), E(\chi), \) and \( \Pi(t, \chi) \) are elliptic integrals of the first, second and third kind and

\[
\alpha_1 = -2 \sqrt{(a + m)(a + n)}, \quad \alpha_2 = \frac{3(m-n)}{2} \sqrt{(a + m)(a + n)},
\]

\[
\beta_1 = (n - m + 4a) \sqrt{\frac{a + m}{a + n}}, \quad \beta_2 = -\frac{3}{2} \left( \frac{a + m}{a + n} \right) \left( \frac{n}{3} + 2a \right) m - \frac{1}{2} (m^2 + n^2) - \frac{2a}{3} (a + n),
\]

\[
\gamma_1 = \frac{2a(n-m)}{\sqrt{(a + m)(a + n)}}, \quad \gamma_2 = \frac{3a}{2 \sqrt{(a + m)(a + n)}} \left( n^2 - 2mn + m^2 - \frac{4a^2}{3} \right),
\]

\[
\delta_1 = \frac{m^2 - n^2}{\sqrt{(a + m)(a + n)}}, \quad \delta_2 = -\frac{3(m+n)}{4 \sqrt{(a + m)(a + n)}} \left( n^2 - \frac{2mn}{3} + m^2 - \frac{4a^2}{3} \right), \quad \text{and}
\]

\[
\chi = \frac{\sqrt{(a^2 - m^2)(a^2 - n^2)}}{(a + m)(a + n)}.
\]

Therefore, \( m \) and \( n \) must satisfy the following nonlinear equations

\[
\frac{\Delta \sigma \pi}{8} = \frac{f}{AR} \left[ \alpha_1 E(\chi) + \beta_1 K(\chi) + \gamma_1 \Pi(\phi, \chi) + \delta_1 \Pi(\omega, \chi) \right], \quad (26)
\]

\[
\frac{\Delta Q}{2} + \frac{(n - m) \Delta \sigma \pi}{16} = \frac{f}{AR} \left[ \alpha_2 E(\chi) + \beta_2 K(\chi) + \gamma_2 \Pi(\phi, \chi) + \delta_2 \Pi(\omega, \chi) \right], \quad (27)
\]

where \( \phi = \frac{m-a}{m+a} \) and \( \omega = \frac{a-n}{a+m} \). Equations (26) and (27) are sufficient to define the size of the stick zone for given numerical values of \( \Delta Q \) and \( \Delta \sigma \).

### 4.3 Display of Example Problem Results

The results presented in the above sections show that the solution behaviour of a Hertzian contact is fundamentally different for small and large tension. In the case of small tension, we arrive at a set of two uncoupled explicit equations, eq. (22) and (23). In terms of tangential load, the extent of the permanent stick zone is solely dependent on the change in shear force, \( \Delta Q \). Its position, or eccentricity, on the other hand, is solely dependent on the change in bulk stress, \( \Delta \sigma \). In the case of large tension, the two consistency conditions are nonlinearly coupled, and they must be treated numerically in order to determine the extent and position of the permanent stick zone.

Figure 5 displays steady state solutions, i.e. the permanent stick zone and maximum extent of the slip zones, for a Hertzian geometry. The contact is subject to a constant normal load, \( P \). The shear load fluctuation, \( \Delta Q \), is also kept constant. The permanent stick zone, spanning \([-m, n]\), is then given for different values of \( \Delta \sigma a/\Delta Q \). Here, we distinguish between the small and large-tension case. We see that, as the change in bulk stress is increased, the extent of the permanent stick zone is indeed constant and its position shifts linearly towards the left-hand contact edge and the direction of slip is the same at both ends of the contact. When the change in bulk stress is high enough so that inequality (24) is violated, the other branch of the solution becomes valid. From this point onwards
the behaviour of the solution is highly nonlinear. The extent and position of the permanent stick zone are coupled and the directions of slip oppose at either end of the contact.

Figure 5: Steady state solutions of maximum slip and permanent stick zone extents for different values of a change in bulk stress, $\Delta \sigma$.

5 Conclusions

The paper provides a method for finding the size of the permanent stick zone for the problem of a general half plane contact subject to a constant normal load together with alternating shear loads and tension in the steady state. The solution is appropriate both when the tension is small and large, that is the direction of slip is the same or opposing at the ends of the contact, respectively. Progress is made towards a general solution, which serves as the basis for obtaining steady state solutions for geometries capable of representation within half-plane theory. Closed-form solutions for the small and large-tension case are given for a Hertzian geometry. The difference in behaviour of the solution branches is described and displayed for a Hertzian example. This provides insight into how the maximum extent of the slip zones is affected by the change in differential bulk tension during the steady state.

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