Review Article

Formate Formation and Formate Conversion in Biological Fuels Production

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Biomethanation is a mature technology for fuel production. Fourth generation biofuels research will focus on sequestering CO2 and providing carbon-neutral or carbon-negative strategies to cope with dwindling fossil fuel supplies and environmental impact. Formate is an important intermediate in the methanogenic breakdown of complex organic material and serves as an important precursor for biological fuels production in the form of methane, hydrogen, and potentially methanol. Formate is produced by either CoA-dependent cleavage of pyruvate or enzymatic reduction of CO2 in an NADH- or ferredoxin-dependent manner. Formate is consumed through oxidation to CO2 and H2 or can be further reduced via the Wood-Ljungdahl pathway for carbon fixation or industrially for the production of methanol. Here, we review the enzymes involved in the interconversion of formate and discuss potential applications for biofuels production.

1. Introduction

Methane has been recognized as an important fuel source since at least 1778 when Alessandro Volta first identified methane as the primary component of swamp gas. In 1884 Louis Pasteur proposed using methane produced from the anaerobic decay of horse dung to light the streets of Paris. Naturally occurring methane, otherwise known as natural gas, currently provides approximately 20–25% of the US energy demand. The United States’ 2010 methane consumption was estimated to be approximately 2.43 \times 10^{16} \text{ kJ} and demand is anticipated to grow at an annualized rate of 0.4% annually through 2035 to 2.74 \times 10^{16} \text{ kJ} [1]. Current government support and investment underscores the important role of biomethanation. Several countries, including Japan, the United States, and Sweden, have taken leadership roles in designing the next generation of methane-fueled vehicles [2]. As of 2006, Germany had invested in nearly 3500 biogas production facilities that provided approximately 900 MW of electricity [3]. Denmark and Sweden have made similar investments into biogas production facilities and biomethanation will be one of many tools the Dutch dairy industry relies on to achieve energy self-sufficiency by 2020 [4].

Biomethanation is a complex biological process. It is well established that methanogenic ecosystems require multipart cooperation between at least three trophic guilds. This includes fermentative bacteria, acetogenic bacteria, and methanogens. In short, complex organic molecules are fermented to acetate, hydrogen, formate, and a variety of organic acids (lactate, propionate, and butyrate) and ethanol. Acetogenic bacteria convert these compounds to the methanogenic substrates hydrogen, formate, and acetate. In this cascade, interspecies electron transfer (IET) plays an important role. It results in a syntrophic relationship between acetogenic bacteria and methanogens.

This syntrophic relationship is the rate-limiting step for biological methane production [5] and is essential to proper functioning and maintenance of the overall thermodynamic viability of these systems. The role of interspecies hydrogen transfer is rather well studied, but the role of formate in the methanogenic cascade has received less attention. In anaerobic systems, formate is produced through either formate dehydrogenase (FDH) catalyzed reduction of carbon dioxide...
or through CoA-dependent cleavage of pyruvate to formate and acetyl-CoA. Conversely, archaeal FDH initially oxidizes formate to H₂ and CO₂. CO₂ can then be reduced by methanogens to form methane. Here, we review the state of our knowledge regarding the production, transfer, and consumption of formate in methanogenic ecosystems by focusing on the properties of the enzymes involved.

2. Formate Production by Pyruvate-Formate Lyase

Pyruvate is the end product of the three major glycolytic pathways: Embden-Meyerhof-Parnas (EMP), Entner-Doudoroff (ED), and Pentose Phosphate Pathways (PPP). Aerobically grown organisms further metabolize pyruvate through NAD-dependent pyruvate dehydrogenase catalyzed oxidation to acetyl-coenzyme A (CoA) and carbon dioxide. In the absence of oxygen, pyruvate is oxidized through either a ferredoxin-dependent oxidoreductase, which catalyzes reduction of ferredoxin coupled to oxidation of pyruvate to acetate and carbon dioxide or through the action of pyruvate formate lyase (PFL). PFL catalyzes CoA-dependent cleavage of pyruvate to form acetyl-CoA and CO₂. This process notably does not result in the production of NADH or reduced ferredoxin and allows for ATP synthesis from acetyl-CoA through the combined action of phosphotransacetylase and acetate kinase.

Escherichia coli PFL activity was first demonstrated in 1943 by Kalnitsky and Werkman and was the first glicyl radical enzyme discovered. The forward reaction is energetically favorable and is catalyzed through a “ping-pong” mechanism according to the following reaction scheme [6]:

\[
\text{CH}_3\text{COCOOH} + \text{CoASH} \rightarrow \text{CH}_3\text{COO}^-\text{CoA} + \text{CHOOH} \quad \Delta G^\circ = -12.9 \text{kJ mol}^{-1} \ [7].
\]

This reaction not only does not result in the production of NADH or reduced ferredoxin and allows for ATP synthesis from acetyl-CoA through the combined action of phosphotransacetylase and acetate kinase [8].

The active PFL + pyruvate → acetyl-PFL + formate, (2)

Acetyl-PFL + CoA → active PFL + acetyl-CoA. (3)

Mutagenesis experiments showed that the PFL active site consists of three amino acid moieties; the glicyl radical Gly734, Cys418, and Cys419. Crystal structures of inactive PFL with and without substrate have been solved. These structures reveal that PFL is a α2 homodimer of approximately 170 kDa. The active site residues are contained on opposing hairpin loops with a distance of 4.8 Å between the alpha carbon of Gly734 and Cys419 though this orientation may differ in the activated form of the enzyme. PFL is activated by PFL-activating enzyme (PFL-AE), which mediates hydrogen abstraction from the Gly734 residue to form the glicyl radical. The glicyl radical is thought to be relayed to Cys419 and, possibly, Cys418 forming a thyl radical, which is responsible for the attack on pyruvate, forming acetylated PFL (2) with associated release of a formyl radical anion (·CO₂) [14].

Two mechanisms for formate formation have been postulated. In the conventional model, the formyl radical is quenched by hydrogen abstraction from Cys419, reforming the Cys419 thyl radical. Abstraction of hydrogen from CoA facilitates acetyl transfer, releasing acetyl-CoA [14]. Guo and Himo (2004) [16] revisit this reaction mechanism proposing, instead, that the formyl radical is quenched by hydrogen abstraction directly from CoASH without intermediate involvement of a Cys418 thyl radical [16].

PFL reaction creates a pool of formate that can have diverse fates depending on the environmental conditions in the bioreactor. Under oxygenic- and nitrate-reducing conditions, electrons from the oxidation of formate can be utilized to reduce either oxygen or nitrate. In the absence of nitrate or oxygen, formate can be oxidized directly to CO₂ with the reduction of protons to form H₂ (discussed later).

3. Interconversion of Formate and H₂/CO₂

Formate oxidation and CO₂ reduction are interconvertible processes that are carried out by two main families of enzymes found in Eubacteria. The first group of enzymes is the iron-sulfur formate dehydrogenase (FDH) enzymes. These enzymes catalyze NAD-independent formate oxidation, have complex quaternary structure, and contain redox active molybdenum (Mo) or tungsten (W) prosthetic groups. The second class of enzymes are the NAD⁺-dependent FDH enzymes which catalyze the concomitant reduction of NAD⁺ to NADH and formate oxidation to CO₂.

3.1. Structure and Function of Iron-Sulfur-Containing FDH

In enteric bacteria such as E. coli, the fermentative formate dehydrogenase FDH-H together with the hydrogenase Hyd-3 form the energy conserving formate-hydrogen lyase (FHL) complex that oxidizes formate produced via the pyruvate-formate lyase (PFL) system. In this system, FDH-H reduces the Hyd-3 enzyme with electrons extracted from the two-electron oxidation of formate. Reduced Hyd-3 then produces hydrogen gas through the reduction of two protons.

Formate dehydrogenase is a member of the dimethylsulfoxide (DMSO) reductase family of enzymes. The first crystal structure obtained was for FDH-H from Escherichia coli; this was found to be a single subunit enzyme with four distinct domains. There is great diversity in subunit composition for these enzymes but the overall topology for all the known crystal structures is very similar; four highly conserved domains are distributed across 1, 2, or 3 subunits. A redox active molybdenum (Mo) or tungsten (W) atom is coordinated in a square-pyramidal manner at the structure’s center. Four sulfur atoms distributed on two molybdopterin guanine dinucleotide (MGD) prosthetic groups provide four base ligands. The selenium atom of a selenocysteine residue provides the apical ligand.

The active site is approximately 25 Å from the enzyme surface. Formate enters the enzyme through a positively lined funnel-shaped entrance known as the formate cleft.
funnel leads directly to the active site, which is composed of single arginine, histidine, and selenocysteine residues. For the two electron oxidation of formate to carbon dioxide, formate presumably binds directly to Mo[VI] and displaces the Secys residue. The free selenol is stabilized by an arginine residue. The molybdenum atom likely accepts two electrons from formate with concomitant production of H⁺ and CO₂. A protonatable histidine residue accepts the proton from formate. Raaijmakers and colleagues [2002] have identified a putative proton channel in FDH-H and the tungsten-containing formate dehydrogenase of Desulfovibrio gigas. This tunnel, which is oriented perpendicular to the formate cleft, is coated with protonatable glutamic and aspartic acid side chains. Also identified in this study was a hydrophobic channel that may allow the release of CO₂ [18, 19].

In addition to the FHL-associated FDH-H enzyme, E. coli expresses two additional FDH enzymes with similar functions, but used under different growth conditions. FDH-N was the first FDH isolated and characterized from E. coli and is expressed when the organism is grown in the presence of nitrate. FDH-N is a heterotrimer composed of an ∼110 kDa α-subunit, an ∼32 kDa β subunit, and an ∼20 kDa γ-subunit. The catalytic α-subunit catalyzes the periplasmic oxidation of formate. Electrons are transferred via the β-subunit and ultimately reduce the cytochrome b-containing γ-subunit; an integral membrane protein which reduces menaquinone to menaquinol. Oxidation of menaquinol by respiratory nitrate reductase Nar results in the translocation of two protons from the cell interior into the periplasm which helps contribute to maintaining proton motive force [17].

FDH-O is topologically similar to FDH-N. FDH-O is expressed when E. coli is grown aerobically and is slightly upregulated in the presence of nitrate. Expression studies led to the postulation that this constitutively expressed enzyme complex acts as a minor formate-to-nitrate respiratory chain ensuring rapid adaptation to nitrate-reducing conditions in the absence of physiologically viable amounts of FDH-N. However conclusive evidence for a physiological role of FDH-O remains enigmatic [20].

3.3. NAD⁺-Dependent FDH. The second family of formate oxidizing enzymes is the NAD⁺-dependent FDH enzymes which are devoid of any metallic prosthetic groups. These enzymes exist as α₂ homodimers and catalyze reduction of NAD⁺ to NADH with concomitant oxidation of formate. This class of enzymes has been studied extensively due to their practical application as a regenerative agent for NADH used in chiral compound synthesis by the pharmaceutical industry. A crystal structure is available [26] and the catalytic mechanisms and structure have been reviewed extensively [27–29]. Recent reports have demonstrated reversibility of these enzymes with NADH-dependent CO₂ reduction to form methanol [30–33] (discussed later).

4. Formate to Methane

4.1. Formate Utilization by Methanogens. Biological methane production from formate or hydrogen and carbon dioxide proceeds through a multistep pathway. This pathway has been reviewed at length [34–36] and we wish only to present a basic introduction to the methanogenic pathway. Readers are directed to Methanogenesis edited by Ferry for a more thorough understanding of the methanogens [36].

Carbon dioxide is used to form N-formylmethanofuran from CO₂ and free methanofuran (MFR) (Figure 1, reaction 2). The formyl group is transferred from formylmethanofuran to tetrahydromethanopterin (H₄MPT) by formyl-MFR: H₄MPT formyl transferase to form N₅-formyl-H₄MPT (Figure 1, reaction 3). This undergoes a cyclization reaction catalyzed by N₅, N₁₀-methenyl-H₄MPT cyclohydrolase to form N₅, N₁₀-methenyl-H₄MPT (Figure 1, reaction 4). F₄₂₀-reducing (NiFe) hydrogenase enzymes catalyze two sequential reductions of N₅, N₁₀-methenyl-H₂MPT; first to N₅, N₁₀-methylene-H₂MPT (Figure 1, reaction 5) and then to N₅, N₁₀-methyly-H₂MPT (Figure 1, reaction 6). The methyl group is then transferred to coenzyme M (CoM) (Figure 1, reaction 7) which is reduced to form free methane and oxidized CoM (Figure 1, reaction 8) [36].

Free formate is not utilized by methanogenic archaea for methanogenesis although there is evidence that formate is needed nutritionally as a formate auxotroph of Methanothrix thermohalophila was obtained [37]. Formate is first oxidized to CO₂ by formate dehydrogenase with concomitant production of F₄₂₀H₂. F₄₂₀H₂ can then be oxidized by F₄₂₀ (NiFe) hydrogenase to oxidized F₄₂₀ and molecular hydrogen. Alternatively, F₄₂₀H₂ can serve as reductant in the sequential reduction of N₅, N₁₀-methenyl-H₂MPT; first to N₅, N₁₀-methylene-H₂MPT (Figure 1, reaction 5) and then to N₅, N₁₀-methyl-H₂MPT (Figure 1, reaction 6). Free
hydrogen is not a requirement for reduction of CO₂ to methane in organisms growing on formate [36].

There is an important physiological distinction to be made among the hydrogenotrophic methanogens. The presence of cytochromes and the menaquinone analogue methanophenazine seems ubiquitous among members of the Methanosaetales order, however these features appear restricted to only this group of organisms. Interestingly, cytochrome-containing methanogens demonstrate greater than a twofold higher yield when grown with H₂ and CO₂ when compared to noncytochrome-containing methanogens, and growth on formate is restricted to methanogens without cytochromes [38].

Costa and colleagues (2010) have proposed another role for formate dehydrogenase. Reduction of methyl-CoM to CoM-S-S-CoB (Figure 1, reaction 8) is the last step in biological methane formation. Cytochrome-containing organisms catalyze this reaction through the action of a membrane-associated, methanophenazine-reducing (NiFe) hydrogenase (Vho) and a methanophenazine-dependent heterodisulfide reductase (Hdr), which oxidizes molecular hydrogen coupled to concomitant reduction of methyl-CoM to form CoM-S-S-CoB and methane. Organisms without cytochromes lack this energy-conserving mechanism and rely instead, evidence suggests, on a cytosolic complex in which electrons flow from formate to a physically associated Hdr. Bifurcation of the electrons allows a coupling of the exergonic reduction of methyl-CoM to CoM-S-S-CoB to the endergonic reduction of ferredoxin. The reduced ferredoxin is then used to reduce CO₂ to N-formylmethanofuran in step one of methanogenesis [39].

4.2. Formate as an Interspecies Electron Carrier in Methanogenesis. Methanogens are a physiologically specialized group of organisms that use a relatively narrow field of growth substrate: molecular hydrogen and carbon dioxide, formate, acetate, and methanol, and secondary alcohols like 2-propanol or 2-butanol. Primary fermenting organisms (Figure 2, group 1) hydrolyze complex polymers such as polysaccharides, nucleic acids, lipids, and protein to form simple oligomeric and polymeric substrates such as sugars, purines, pyrimidines, fatty acids, glycerol, and amino acids. These monomers are then further fermented to classical fermentation products by the primary fermenting bacteria (Figure 2, group 1): short chain fatty acids such as propionate and butyrate, alcohols such as ethanol, H₂ and CO₂, and acetate [40–43]. The methanogens rely on substrates which are produced from primary and secondary fermenting organisms (Figure 2, groups 1 & 2). Approximately 70% of the methane produced is through the dismutation of acetate (Table 1) in anaerobic bioreactors (Figure 2, group 3). In well-balanced ecosystems, carbon flows almost exclusively from the monomeric subunits to the formation of H₂, CO₂, acetate, and formate. If the system becomes unbalanced through the accumulation of short-chain fatty acids, for example, acetate, propionate, and butyrate, the pH will decrease inhibiting methanogenesis. Secondary fermenting organisms are able to metabolize organic acids, but under standard conditions, these fermentation pathways are endergonic. This thermodynamic challenge is solved through syntrophic IET through hydrogenotrophic consumption of hydrogen and/or formate. The classic example of this phenomenon is through hydrogen exchange and was first elucidated with studies of Methanobacillus omelianskii; a coculture containing the S-organism, which fermented ethanol to acetic (Table 1), and the methanogen Methanobacterium bryantii, which consumed hydrogen with concomitant CO₂ reduction (Table 1) [46].

IET has been documented with both formate and H₂ transfer, and it is unclear whether one mechanism is more physiologically relevant than the other. Metabolic flux calculations [47] coupled with observations that the propionate-oxidizing bacterium Syntrophobacter fumaroxidans catalyzed propionate oxidation when grown together with H₂/formate consuming methanogens such as Methanospirillum hungatei and Methanobacterium formicicum, but not when grown with a H₂-only-utilizing methanogen Methanobrevibacter arboriphilus [47] have led to speculation that formate is the more important interspecies electron carrier. Experiments that showed that tungsten (W) and molybdenum (Mo) exclusion inhibited syntrophic growth support that formate

**Table 1: Free energy changes of some reactions involved in the degradation of fatty acids and alcohols to methane. Calculations based on Thauer et al. 1977 [45].**

| Reaction | ΔG° (kJ/mol) |
|----------|--------------|
| ethanol + H₂O → acetate⁻ + H⁺ + 2 H₂ | + 9.6 |
| propionate + 2 H₂O → acetate⁻ + H⁺ + CO₂ + 3 H₂ | + 76 |
| butyrate + 2 H₂O → acetate⁻ + 2 H₂ | + 48 |
| acetate⁻ + 2 H₂O → 2 CO₂ + 4 H₂ | + 96 |
| 4 H₂ + CO₂ → CH₄ + 2 H₂O | −131 |
| 4 formate + 4 H⁺ → CH₄ + 3 CO₂ + 2 H₂O | −145 |
| CO₂ + H₂ → formate + H⁺ | −4.5 |
is important for IET because either element is an essential cofactor for FDH-catalyzed CO\(_2\) reduction. However, these results do not conclusively demonstrate a metabolic preference for H\(_2\) or formate transfer because Mo/W is also essential for formylmethanofuran dehydrogenase (FMDH) catalyzed reduction of CO\(_2\) and formation of N\(_5\)-formylmethanofuran [48], an indispensable step in methanogenesis [36]. Recent research indicates that multiple hydrogenases and formate dehydrogenases play a key role in syntrophy [49].

5. Perspectives

Biological methane production is a mature technology for providing renewable alternative fuels from readily available complex organic materials. However, for the fourth generation of (bio)fuels, emphasis is on efficient CO\(_2\) removal from the Earth’s atmosphere. The resulting fuels and gases should not only be renewable, but also be carbon-negative. Carbon negative and carbon-neutral energy sources should also be used to drive the production of reduced carbon compounds to be used as fuels in a regenerative energy economy based on CO\(_2\). However, due to its kinetic and thermodynamic stability, strategies to activate and reduce CO\(_2\) are required.

Here, we have reviewed the role of formate production and conversion during methanogenic decay of organic material. It is important to recognize that the conversion of formate to hydrogen and CO\(_2\) is a reversible process with enzymes capable of both CO\(_2\) reduction as well as oxidation identified in the literature. With an oxidation potential close to that of hydrogen, formate is a primary energy source in its own right. Moreover, formate is the first stable intermediate in the biological conversion of CO\(_2\) to methanol according to the following overall mechanism:

\[
\text{CO}_2 + 3\text{NADH} + 3\text{H}^+ \rightarrow \text{CH}_3\text{OH} + 3\text{NAD}^+ + \text{H}_2\text{O}.\]  

Cofactor-dependent conversion, however, is not economically viable. According to the above equation, one mole of methanol has a theoretical cofactor requirement of three moles of NADH. At current prices ($\sim$500/g NADH) this results in a cost per mole greater than $1,000,000. A recent report demonstrated an efficiency of 127% when in situ biocatalytic cofactor regeneration and enzyme immobilization were employed in bench scale experiments. This would effectively lower the cost per mole methanol to $850,000.

However, as described above, W-MGD-containing iron-sulfur FDH enzymes catalyze CO\(_2\) reduction with reductants other than NADH and are physiologically active in homoacetogenic bacteria. In acetogens, hydrogen serves as the physiological electron donor and metabolic engineering pursuits may provide an industrially relevant way to reduce CO\(_2\) to formate via W-MGD-containing FDH catalysis. This would provide formate as a precursor molecule for biological methanol formation [30]. However, NADH dependency of downstream enzymes such as formaldehyde dehydrogenase and alcohol dehydrogenase would still pose a considerable economic challenge to cost-effective biological production of methanol.

Potentially of more importance would be developing ways of controlling metabolic flux and directing electrons towards increased formate production and, just as importantly, directing electrons from formate consumption directly into
hydrogen or methane production and limiting electron flow down other branches of the metabolic network. Recently, Maeda and colleagues (2008) [50] engineered a strain of E. coli with quadruple mutations that produced 141 times more H₂ from formate than the wild-type strain in bench-top experiments. First, hydrogen oxidation by Hyd-1 and Hyd-2 hydrogenase enzymes was inactivated by deleting the corresponding genes (hyaB and hybC, resp.). Next, the gene for the FHL repressor (hyaA) was deleted and expression of the FHL inducer encoded by fhlA was increased. These alterations alone contributed to an 80-fold increase in hydrogen production rate compared to wild-type strains. When these mutations were combined with inactivation of the aerobic nitrate dependent FDH-O and FDH-N, a further 1.7-fold increase in hydrogen production rate to 113 μmol mg-protein⁻¹ hr⁻¹ was observed for a cumulative 141-fold increase in hydrogen production rate compared to the wild-type strain [50]. Thus, streamlining the metabolic flux by channeling electrons from formate directly to the FHL complex rather than down extraneous branches of the metabolic network enhances hydrogen production.

### Abbreviations

| Abbreviation | Description |
|--------------|-------------|
| ΔG: | Change in Gibb's free energy under conditions specified |
| ΔG°: | Change in Gibb's free energy under standard conditions at pH = 7.0 |
| CoA: | Coenzyme A |
| CoM: | Coenzyme M |
| CoB: | Coenzyme B |
| DMSO: | Dimethyl sulfoxide |
| ED: | Entner-Doudoroff pathway of glycolysis |
| EMP: | Embden-Meyerhof-Parnas pathway of glycolysis |
| FDH: | Formate dehydrogenase |
| FHL: | Formate-hydrogen lyase |
| FMDH: | Formylmethanofuran dehydrogenase |
| H₄MPT: | Tetrahydromethanopterin |
| IET: | Interspecies electron transfer |
| MFR: | Methanofuran |
| MGD: | Molybdopterin guanine dinucleotide |
| Mo-MGD: | Molybdenum containing MGD |
| NAD⁺: | Nicotinamide adenine dinucleotide |
| NADH: | Reduced NAD⁺ |
| PFL: | Pyruvate formate lyase |
| PFL-AE: | Pyruvate-formate lyase activating enzyme |
| PPP: | Pentose phosphate pathway of glycolysis |
| W-MGD: | Tungsten containing MGD |

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