Deriving the Effective Atomic Number with a Dual-Energy Image Set Acquired by the Big Bore CT Simulator

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Background: This study aims to determine the effective atomic number (Z_{eff}) from dual-energy image sets obtained using a conventional computed tomography (CT) simulator. The estimated Z_{eff} can be used for deriving the stopping power and material decomposition of CT images, thereby improving dose calculations in radiation therapy.

Materials and Methods: An electron-density phantom was scanned using Philips Brilliance CT Big Bore at 80 and 140 kVp. The estimated Z_{eff} values were compared with those obtained using the calibration phantom by applying the Rutherford, Schneider, and Joshi methods. The fitting parameters were optimized using the nonlinear least squares regression algorithm. The fitting curve and mass attenuation data were obtained from the National Institute of Standards and Technology. The fitting parameters obtained from stopping power and material decomposition of CT images, were validated by estimating the residual errors between the reference and calculated Z_{eff} values. Next, the calculation accuracy of Z_{eff} was evaluated by comparing the calculated values with the reference Z_{eff} values of insert plugs. The exposure levels of patients under additional CT scanning at 80, 120, and 140 kVp were evaluated by measuring the weighted CT dose index (CTD_{W}).

Results and Discussion: The residual errors of the fitting parameters were lower than 2%. The best and worst Z_{eff} values were obtained using the Schneider and Joshi methods, respectively. The maximum differences between the reference and calculated values were 11.3% (for lung during inhalation), 4.7% (for adipose tissue), and 9.8% (for lung during inhalation) when applying the Rutherford, Schneider, and Joshi methods, respectively. Under dual-energy scanning (80 and 140 kVp), the patient exposure level was approximately twice that in general single-energy scanning (120 kVp).

Conclusion: Z_{eff} was calculated from two image sets scanned by conventional single-energy CT simulator. The results obtained using three different methods were compared. The Z_{eff} calculation based on single-energy exhibited appropriate feasibility.

Keywords: Atomic Number, CT-SIM, DECT, Radiation Therapy

Introduction

Particle therapy has become a major part of radiation therapy (RT) owing to its physical benefits. The kinetic energy of the particles is almost deposited distal to their tracks.
Therefore, the target volume has a high conformal dose distribution, which effectively spares normal tissue from radiation exposure. In particle therapy, the stopping power ratio (SPR) is significant for dose calculations [1]. The planning target volume (PTV) margin includes the uncertainty determined based on the SPR. Therefore, the uncertainty of the SPR should be minimized to improve the clinical benefit of particle therapy [2].

In particle therapy, a single-energy computed tomography (SECT) image is generally used to generate a treatment plan for delineation and dose calculation. The SPR is estimated from a piecewise linear fit between the Hounsfield unit (HU) and SPRs, and data for human organs or measurements of phantoms consisting of tissue substitutes have been published [3]. However, additional margins (e.g., 3.5 mm for protons) of the absolute particle range are needed clinically in the distal direction to account for the limit of the correlation between photon attenuation coefficients, proton stopping power, and computed tomography (CT)-related uncertainties [4].

Dual-energy CT (DECT) has been introduced in radiotherapy. For radiation treatment, new methods based on DECT imaging are proposed to improve the quality of radiation treatment [5]. DECT achieves advanced material differentiation by using high and low energy X-ray spectra. The material-specific imaging methods of DECT have been used for several imaging analyses (e.g., monochromatic imaging, effective atomic number mapping, virtual non-contrast or unenhanced imaging, iodine mapping, automatic bone removal, and lung vessel analysis) [6]. Furthermore, DECT can provide the effective atomic number (\(Z_{\text{eff}}\)) and electron density [5]. The SPR can be derived from the effective atomic number (\(Z_{\text{eff}}\)) and electron density. The \(Z_{\text{eff}}\) is converted to mean excitation potential. SPR can be calculated using electron density and mean excitation potential according to Bethe-Bloch equation [7]. When DECT is applied, the accuracy of the SPR can be within 1%–2% [7, 8]. Based on a comparison of range calculations based on SECT/DECT scanning, the range differences were 1 and 4.1 mm for the brain and prostate, respectively [9].

However, DECT for RT simulations has not yet been developed. Diagnostic DECT scanners have some restrictions on use for RT. The maximum bore size is 70 cm among commercially available diagnostic DECT scanners. There are some restrictions on RT. For an RT simulation, the bore size must be larger when using an immobilizer to maintain the patient’s position. In addition, it is costly to maintain additional DECT equipment at an RT clinic.

A conventional CT simulator that employs single-energy scanning can change the tube energy per scan, but it cannot perform dual-energy scans simultaneously. For example, for each scan, a commercial CT simulator can use only one of three different energies—i.e., 80, 120, and 140 kVp [10]. The dual-energy image set can be obtained through double scanning using two different diagnostic X-ray energies. The effective atomic number and electron density can be calculated from a dual-energy image set [11]. Finally, the SPR can be estimated from these data. In this study, we determined the effective atomic number (\(Z_{\text{eff}}\)) using a conventional CT simulator (CT-sim) based on SECT. The calculated \(Z_{\text{eff}}\) of a representative set of materials was evaluated by comparing our results with known chemical compositions and densities, which served as reference values. To obtain the low and high energy image sets, additional scanning should be performed. The weight CT dose index (CTDIw) was measured for three tube currents to evaluate the additional exposure to the patient.

**Material and Methods**

1. **CT, Reference Phantom, and Image Processing**

The dual-energy image sets were obtained with Brilliance CT Big Bore (BBB; Philips, Cleveland, OH, USA) which includes three tube potentials: 80, 120, and 140 kVp. BBB is a dedicated CT simulator for RT with an 85 cm bore size and a flat bed.

An electron density phantom (CIRS Model 062M; CIRS Inc., Norfolk, VA, USA) was scanned using BBB. Fig. 1A shows the scan setup. The phantom consists of a water-equivalent material disk and tissue-equivalent plugs (Table 1). Fig. 1B shows the arrangement of the insert plugs on the disk. The mass density and chemical composition were obtained from the literature [10, 12].

The electron density phantom was sequentially scanned using tube potentials of 80 and 140 kVp. A set of CT images was bilaterally filtered for edge-preserving noise reduction, and equations were solved for each voxel.

2. **Reference Calculation of the Electron Density (ED, \(r_e\)) and \(Z_{\text{eff}}\)**

\(Z_{\text{eff}}\) was calculated according to Equation (1), and \(r_e\), the fraction of total number of electrons associated with each el-
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In this study, the value of \( n_z \) was selected as 2.94 for the Philips method in accordance with the Mayneord formula and other publications [13].

The \( Z_{\text{eff}} \) were derived from the low- and high-energy data. The compositions and densities (physical and electron) of the tissues were obtained from data published by the National Institute of Standards and Technology (NIST). The energy-dependent attenuation curve was plotted by referring to NIST elemental attenuation values. The created curve was fitted to match the reference value of the DE image set. To find the best fitting curve comparing the value of literature tissues, the two parameters related to linear combination coefficients was adjusted.

### 3. Estimation of \( Z_{\text{eff}} \) from the DE Image Set

\( Z_{\text{eff}} \) was estimated via three different methods. Regarding the method suggested by Rutherford et al. [14], \( Z_{\text{eff}} \) was calculated as follows:

\[
\frac{H_{UL} + 1000}{H_{UH} + 1000} = \frac{1 + AZ_{\text{eff}}^{m-1}}{B + CZ_{\text{eff}}^{m-1}}
\]  

where \( H_{UL} \) and \( H_{UH} \) are CT numbers at low and high energies, respectively, and \( A \), \( B \), \( C \), and \( m \) are fitting parameters.

The MATLAB optimization toolbox (R2015b; MathWorks Inc., Natick, MA, USA) was used to fit the parameters.

Regarding the second method, \( Z_{\text{eff}} \) was calculated via Schneider stoichiometric calibration as follows [15]:

\[
\frac{H_{UL} + 1000}{H_{UH} + 1000} = \frac{1 + z_{\text{eff}}^{m-1} \cdot k_{UL} + z_{\text{eff}}^{m-1} \cdot k_{2L}}{1 + z_{\text{eff}}^{m-1} \cdot k_{UL} + z_{\text{eff}}^{m-1} \cdot k_{2L}}
\]

where \( Z_{\text{eff}} \) is the effective atomic number of water. \( k_{UL} \), \( k_{2L} \), and \( H \) are fitting parameters. The fitting parameters were deter-

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**Table 1.** Physical Density, ED, and RED for the Insert Plug of the Phantom

| Insert description         | Physical density (g/mm\(^3\)) | ED ($\times 10^{23}$ electrons/m\(^3\)) | RED (relative to H\(_2\)O) |
|----------------------------|--------------------------------|----------------------------------------|---------------------------|
| ED body/head insert        | 1.029                          | 3.333                                  | 0.998                     |
| Lung                       |                                |                                        |                           |
| Inhale                     | 0.205                          | 0.668                                  | 0.200                     |
| Exhale                     | 0.507                          | 1.658                                  | 0.496                     |
| Breast (50% gland/50% adipose) | 0.99                          | 3.261                                  | 0.976                     |
| Solid trabecular bone      | 1.16                           | 3.730                                  | 1.117                     |
| Liver                      | 1.07                           | 3.516                                  | 1.052                     |
| Muscle                     | 1.06                           | 3.483                                  | 1.043                     |
| Adipose                    | 0.96                           | 3.171                                  | 0.949                     |
| Solid dense bone           |                                |                                        |                           |
| 800 mg/mL HA               | 1.53                           | 4.862                                  | 1.456                     |
| 1,250 mg/mL HA             | 1.82                           | 5.663                                  | 1.695                     |
| Water-fillable plug        | 1.00                           | 3.340                                  | 1.000                     |

ED, electron density; RED, relative electron density; HA, hyaluronic acid.

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![Fig. 1.](image-url) (A) Electron density phantom scanning setup with the Big Bore CT simulator. (B) Phantoms and insert arrangements for measurement of the accuracy of the \( Z_{\text{eff}} \) estimation. CT, computed tomography.

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mined using the MATLAB optimization toolbox as in the first method.

Third, $Z_{\text{eff}}$ was calculated using the Joshi method [16]. In this method, $Z_{\text{eff}}$ is estimated based on the ratio of low to high energy attenuation coefficients. The energy attenuations coefficients were calculated using the data provided by NIST. The basis material images were generated by a tungsten anode spectral model using interpolating polynomials (TAS-MIP) [17]. The effective linear attenuation coefficients of the high and low energies were calculated using the attenuation data. The basis material (decomposition) image can be expressed for the dependency of the basis material combination. Finally, a monochromatic energy image can be derived using the attenuation data. When the pixel value of the image has a monochromatic attenuation ratio, $Z_{\text{eff}}$ can be expressed using a polynomial fit. Consequently, the monochromatic image was converted to $Z_{\text{eff}}$ by plotting. Fig. 2 shows the workflow of $Z_{\text{eff}}$ estimation for the Joshi method.

4. CTDI Measurement

For the CTDI measurement, head and body CTDI phantoms (Model 76-414-4150NAD; Fluke Corp., Everett, WA, USA) were used with an X-ray CT detector (Unfors RaySafe AB, Billdal, Sweden) and a black piranha quality assurance (QA) meter (RTI Electronics AB, Moelndal, Sweden). The CTDI$_{100}$ was calculated as follows:

$$CTDI_{100} = \frac{1}{N} \int_{50 \text{mm}}^{50 \text{mm}} D(z) \, dz$$

(5)

$$CTDI_w = \frac{1}{3} \cdot CTDI_{100}^{\text{ctr}} + \frac{2}{3} \cdot CTDI_{100}^{\text{peri}}$$

(6)

where $CTDI_{100}$ is 100 mm active chamber length, and $D(z)$ is the dose profile along the Z-axis. $CTDI_{100}^{\text{ctr}}$ and $CTDI_{100}^{\text{peri}}$ stand for the center and peripheral chamber locations, respectively. $CTDI_{100}^{\text{peri}}$ was measured at four holes (12-, 3-, 6-, and 9-hour holes within the perimeter).

Results and Discussion

Regarding the fitting parameters of the first method, $A$ is $2.73 \times 10^{-4}$, $B$ is 1.08, $C$ is $3.85 \times 10^{-5}$, and $m$ is 3.88. The photoelectric term at high energy is considered negligible because the cross section is extremely low at 140 kVp. Through the repeated fitting procedure, the standard deviation and $R^2$ were identically obtained as applied to the reference phantom.

The spectral parameters of the second method are shown in Table 2. The values were derived via the nonlinear least squares regression of Equation (2). The calculation was per-

Table 2. Spectral Parameters $k_1$ and $k_2$ of the 80 and 140 kVp X-ray Beams

| Energy (kVp) | 80       | 140       |
|-------------|----------|-----------|
| $k_1$       | $5.83 \times 10^{-4}$ | $5.54 \times 10^{-4}$ |
| $k_2$       | $5.43 \times 10^{-5}$ | $1.66 \times 10^{-5}$ |
The parameters derived based on regression were validated via comparison with the inserts of the reference phantom.

Fig. 3 shows the function curve for the third method. The image sets obtained at low (80 kVp) and high (140 kVp) energies were converted into water and bone material images. Each converted image was extracted as two (80 and 140 keV) virtual monochromatic images (VMIs). $Z_{\text{eff}}$ was calculated using the VMIs and function curve.

The $Z_{\text{eff}}$ values of the insert plug calculated using the three different methods are listed in Table 3. Fig. 4 shows the calculated $Z_{\text{eff}}$ parametric map for the three different methods. The second method achieves the best estimation. The estimations for the high-$Z_{\text{eff}}$ material (i.e., bone material) are

![Fig. 3. Plot of $Z_{\text{eff}}$ as a function of the ratio of the attenuation coefficients at 80 and 140 keV.](image)

**Table 3.** $Z_{\text{eff}}$ Values and the Relative Differences between Estimated Values and Theoretical Values

| Insert plug material | Theoretical $Z_{\text{eff}}$ | Method 1 (Rutherford) | Method 2 (Schneider) | Method 3 (Joshi) |
|----------------------|-----------------------------|-----------------------|----------------------|------------------|
|                      |                             | Calculated $Z_{\text{eff}}$ & Diff$_{\text{theo-cal}}$ (%) | Calculated $Z_{\text{eff}}$ & Diff$_{\text{theo-cal}}$ (%) | Calculated $Z_{\text{eff}}$ & Diff$_{\text{theo-cal}}$ (%) |
| Adipose 1            | 6.38                        | 6.60±0.52 & 3.4        | 6.12±0.92 & -4.0     | 6.75±0.41 & 5.7  |
| Adipose 2            | 6.83                        | 6.82±0.65 & -0.1       | 6.51±0.93 & -4.7     | 6.93±0.51 & 1.5  |
| Breast 1             | 6.83                        | 7.07±0.42 & 3.5        | 6.86±0.59 & 0.5      | 7.12±0.34 & 4.3  |
| Breast 2             | 6.83                        | 6.28±1.98 & -8.1       | 6.35±1.89 & 0.3      | 6.38±1.78 & -6.5 |
| Lung inhale 1        | 6.83                        | 6.06±1.93 & -11.3      | 6.74±1.62 & -1.4     | 6.16±1.76 & -9.8 |
| Lung exhale 1        | 7.41                        | 7.38±0.84 & -0.4       | 7.20±1.12 & -2.8     | 7.38±0.68 & -0.4 |
| Lung exhale 2        | 7.45±1.05                   | 7.33±1.28 & -1.0       | 7.43±0.90 & 0.3      |                   |
| Liver 1              | 7.50                        | 7.54±0.52 & 0.5        | 7.44±0.68 & -0.8     | 7.50±0.43 & 0.0  |
| Liver 2              | 7.64±0.41                   | 7.58±0.51 & 1.1        | 7.58±0.34 & 1.1      |                   |
| Muscle 1             | 7.51                        | 7.59±0.32 & 1.0        | 7.53±0.39 & 0.3      | 7.54±0.26 & 0.4  |
| Muscle 2             | 7.55±0.66                   | 7.45±0.85 & -0.8       | 7.45±0.85 & -0.8     | 7.52±0.53 & 0.1  |
| Trabecular bone 1    | 10.18                       | 10.04±0.38 & -1.4      | 10.07±0.37 & -1.1    | 9.70±0.38 & -4.7  |
| Trabecular bone 2    | 10.19±0.29                  | 10.22±0.28 & 0.4       | 9.85±0.30 & -3.2     |                   |
| Dense bone 1         | 12.67                       | 12.66±0.14 & -0.1      | 12.63±0.14 & -0.3    | 13.17±0.25 & 4.0  |
| Dense bone 2         | 12.73±0.21                  | 12.70±0.21 & 0.3       | 13.31±0.39 & 5.0     |                   |

![Fig. 4. $Z_{\text{eff}}$ images obtained with the methods of (A) Rutherford et al. [14], (B) Schneider et al. [15], and (C) Joshi et al. [16].](image)
Table 4. CTDIw Data of Three Tube Potentials for the Head and Body Phantoms

|          | CTDIw (mGy) | Energy (kVp) |
|----------|-------------|--------------|
| Head     | 18.20       | 120          |
| Body     | 3.08        | 10.60        |
|          | 26.76       | 140          |
|          | 15.92       |              |

CTDIw, weighted computed tomography dose index.

poor. The Z\text{eff} of the lung has the largest difference among the results of all the methods.

Although denoising was performed to reduce the standard deviation, the image still contained noise. Despite the same material in the insert plug, the value of Z\text{eff} in the circle has a large deviation on the image. Further, streak artifacts from high-attenuation objects can be observed. These artifacts, which primarily arise from the bone plug, affect the pixels corresponding to other plugs. Therefore, the image noise increases. To minimize the position-related artifacts, the inserts of phantom might be re-arrangement randomly. To reduce the image noise, the techniques for removal or reduction of noise should applied such as Gaussian or median filter.

In this study, Z\text{eff} estimation was performed under several approximations and assumptions. The main assumption was that HU and Z\text{eff} have a direct relationship that can be expressed by a mathematical equation and fitting curve. Therefore, the accuracy of the Z\text{eff} matrix is determined by the noise level of the base image. The inconsistency between the estimations and actual values affects the applicability of this method in clinical applications, such as SPR calculation. Therefore, improved imaging de-noising algorithm should be applied maintaining the image quality.

The CTDIw values for three different tube potentials (90, 120, and 140 kVp) are presented in Table 4. In this study, CT scanning was performed twice to obtain a dual-energy image set by changing the tube current. Two repeated scans were required to acquire a dual-energy image using CT-sim. Therefore, additional exposure was expected. In general, a 120-kVp tube potential is used for patient scanning. If 80 and 140 kVp are used for dual-energy scanning, the level of patient exposure will approximately double in comparison with 120 kVp single scan.

Conclusion

DECT can be employed to estimate Z\text{eff} by processing dual CT images obtained with different X-ray energies. Z\text{eff} is an essential factor for calculating the SPR. To date, no commercial DECT-sim for RT has been reported. In this study, Z\text{eff} was calculated from two image sets scanned via conventional SECT-sim using three different methods. The feasibility of Z\text{eff} calculation based on SECT was evaluated. To improve the calculation accuracy, an image noise and artifact reduction method is required.

Conflict of Interest

No potential conflict of interest relevant to this article was reported.

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Author Contribution

Conceptualization: Choi CH. Data curation: Kim B, Choi CH. Formal analysis: Kim B, Choi CH. Funding acquisition: Park JM, Choi CH. Methodology: Jung S, Kim B. Visualization: Jung S. Writing - review & editing: Kim J, Choi CH. Investigation: Kim B, Kim J. Resources: Kim J. Validation: Park JM.

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