DIAGONAL TEMPERLEY–LIEB INVARIANTS
AND HARMONICS

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This paper is dedicated to Adriano Garsia with all our admiration for his incredible mathematical enthusiasm.

Abstract. In the context of the ring \( \mathbb{Q}[x, y] \), of polynomials in \( 2n \) variables \( x = x_1, \ldots, x_n \) and \( y = y_1, \ldots, y_n \), we introduce the notion of diagonally quasi-symmetric polynomials. These, also called diagonal Temperley–Lieb invariants, make possible the further introduction of the space of diagonal Temperley–Lieb harmonics and diagonal Temperley–Lieb coinvariant space. We present new results and conjectures concerning these spaces, as well as the space obtained as the quotient of the ring of diagonal Temperley–Lieb invariants by the ideal generated by constant term free diagonally symmetric invariants. We also describe how the space of diagonal Temperley–Lieb invariants affords a natural graded Hopf algebra structure, for \( n \) going to \( \infty \). We finally show how this last space and its graded dual Hopf algebra are related to the well known Hopf algebras of symmetric functions, quasi-symmetric functions and noncommutative symmetric functions.

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1. Introduction

1.1. General framework. As a warm up for of our discussion, it may be best to recall some facts about the interactions between the algebras

$$\text{Sym}_n \hookrightarrow \text{QSym}_n \hookrightarrow \mathbb{Q}[x], \quad (1.1)$$

of symmetric polynomials, quasi-symmetric polynomials, and of polynomials in \( n \) variables \( x = x_1, x_2, \ldots, x_n \). Some of these facts involve the natural (and well known) action of the symmetric group \( S_n \) on polynomials, defined on \( p(x) \) in \( \mathbb{Q}[x] \) as

$$\sigma \cdot p(x) = p(x_{\sigma(1)}, x_{\sigma(2)}, \ldots, x_{\sigma(n)}),$$

for \( \sigma \) in \( S_n \). Clearly, this action extends to a faithful action of the group algebra \( \mathbb{Q}S_n \) on \( \mathbb{Q}[x] \). Furthermore, it preserves the multiplication of polynomials, we can thus consider the algebra of symmetric polynomials:

$$\text{Sym}_n := \{ p(x) \in \mathbb{Q}[x] \mid \sigma \cdot p(x) = p(x) \},$$

which is often denoted \( \mathbb{Q}[x]^{S_n} \) by other authors. We part from this convention to stay in the spirit of rest of the notation used in this paper. A classical result of Newton states that \( \text{Sym}_n \) is itself a polynomial algebra. This is often made explicit as follows:

$$\text{Sym}_n = \mathbb{Q}[e_1, e_2, \ldots, e_n],$$

where \( e_k = e_k(x) \) is the coefficient of \( t^k \) in \( (1 + x_1 t)(1 + x_2 t) \cdots (1 + x_n t) \).

In [17] Hivert has introduced an action of \( \mathbb{Q}S_n \) on polynomials which does not preserve the multiplication, but still leads to very interesting results. Before describing this action, let us first recall some notation. We denote \( x_i^a \), the monomial

$$x_i^a = x_{i_1}^{a_1} x_{i_2}^{a_2} \cdots x_{i_k}^{a_k}, \quad (1.2)$$

assuming here that \( a = (a_1, a_2, \ldots, a_k) \) is a sequence of positive integers, \( a_i > 0 \); and that the elements \( i_m \), of the subset \( i = \{i_1, i_2, \ldots, i_k\} \) of \( [n] := \{1, \ldots, n\} \), have been listed in increasing order \( (i_m < i_{m+1}) \). We underline that in (1.2), the support subset \( i \) is of cardinality equal to the length, \( \ell(a) := k \), of the composition exponent \( a \). Recall that \( a = (a_1, a_2, \ldots, a_k) \), as above, is said to be a composition of \( d \), if

$$d = |a| := a_1 + a_2 + \ldots + a_k.$$

In our setup, \( d \) is clearly the degree of \( x_i^a \).

**Definition 1.1 (Action of Hivert).** For any permutation \( \sigma \) in \( S_n \), we consider the linear action

$$p(x) \mapsto \sigma \cdot p(x),$$

whose effect on monomials is given as

$$\sigma \cdot x_i^a := x_{\sigma(i)}^a, \quad (1.3)$$

where, as usual, \( \sigma \cdot i \) is the set of \( \sigma(i_m) \)’s sorted in increasing order.
In the right hand side of (1.3), one must be careful to list the elements of \( \sigma \cdot i \) in increasing order for the purpose of expressing the corresponding monomial. For example, applying this action for \( \sigma = 3 \ 1 \ 4 \ 2 \) on \( x_1^2 x_3 x_4^2 \) we get
\[
\sigma \ast x_1^2 x_3 x_4^2 = x_2^2 x_3 x_4^2,
\]
since \( \sigma \cdot \{1, 3, 4\} = \{2, 3, 4\} \).

This action is not faithful as an action of \( QS_n \). However, it can be shown (see [17]) that the quotient of \( QS_n \) by the kernel of the action is precisely the Temperley–Lieb algebra \( TL_n \). Recall that this algebra can be described as follows. Consider the elements \( e_i := (q - T_i)/(1 + q) \) in the Hecke algebra, with \( T_i \)'s being the standard generators. The *Temperley–Lieb algebra*, \( TL_n(q) \), is defined (see [19]) as the quotient of the Hecke algebra by the relations
\[
e_i e_i \mp 1 e_i - \frac{q}{1 + q} e_i = 0, \quad i = 1, \ldots, n
\]
The set of invariant polynomials for the Hivert action, known as *quasi-symmetric polynomials*, form a subalgebra of \( Q[x] \), denoted \( QSym_n \). In formula:
\[
QSym_n := \{ p(x) \in Q[x] \mid \sigma \ast p(x) = p(x) \}.
\]
The fact that this set is closed under multiplication is not obvious from this definition. It follows from work of Stanley [26] and Gessel [15].

It is well known that the respective multiplication rules of the algebras \( Sym_n \) and \( QSym_n \) both stabilize as \( n \to \infty \). It thus makes sense to consider the corresponding inverse limit algebras \( Sym \) and \( QSym \), respectively of *symmetric series* and *quasi-symmetric series*. A distinctive feature of these limit algebras is that they admit a comultiplication which is compatible with the multiplication. In this way, both \( Sym \) and \( QSym \) actually become graded Hopf algebras. Moreover, as graded Hopf algebras, \( Sym \) is isomorphic to its graded Hopf dual. By contrast, the graded dual of \( QSym \) is well known to be another important graded Hopf algebra, namely \( NSym \) the Hopf algebra of noncommutative symmetric functions (see [22, 14]). The inclusion of \( Sym \) in \( QSym \) is itself a graded algebra morphism, and its dual is an epimorphism (of graded Hopf algebras)
\[
NSym \twoheadrightarrow Sym.
\]
Among the many striking other properties of \( QSym \), it is shown in [2] that it is the terminal object in the category of *combinatorial Hopf algebras*. The objects of this category are graded Hopf algebras equipped with a “character” (multiplicative linear functional) with value in the ground field. Arrows are morphism of graded Hopf algebras, compatible with this selected character. Any such combinatorial Hopf algebra affords two special Hopf subalgebras, called *even* and *odd*, and it is further shown in *ibid.* that the odd subalgebra of \( QSym \) is none other than Stembridge’s [29] *peak subalgebra* of quasi-symmetric functions. On another note, as shown in [21], \( QSym \) is isomorphic to the Grothendieck ring of the projective representations of the Hecke algebra at \( q = 0 \). It is also worth mentioning that that \( QSym \) is free (see [18]) as a \( Sym \)-module. The fact that this is also true for \( QSym_n \), as a \( Sym_n \)-module, was
first conjectured by the second author and C. Reutenauer, and later shown to be true
by A. Garsia and N. Wallach in [13].

Another natural line of inquiry leads to the study of quotients associated to the
algebras in (1.1), as well as many other similar situations some of which appear in the
diagram of Figure 1.6. Most of the spaces of this diagram will at least be mentioned
in our discussion, and all arrows have a significant role. However, it would be too
long to describe in full detail. Still, it is interesting to keep this diagram in mind as
a background map for our exploration.

\[
\begin{align*}
\text{DNSym}_n & \hookrightarrow \text{DSSym}_n \hookrightarrow \mathbb{Q}(x, y) \\
\text{NSym}_n & \hookrightarrow \text{SSym}_n \hookrightarrow \mathbb{Q}(x) \\
\text{DSym}_n & \hookrightarrow \text{DQSym}_n \hookrightarrow \mathbb{Q}[x, y] \\
\text{Sym}_n & \hookrightarrow \text{QSym}_n \hookrightarrow \mathbb{Q}[x]
\end{align*}
\] (1.6)

The prototypical object along these lines is certainly \( \mathbb{Q}[x]/\langle \text{Sym}_n^+ \rangle \), where \( \langle \text{Sym}_n^+ \rangle \) denotes the ideal of \( \mathbb{Q}[x] \) generated by constant term free symmetric polynomials. Often encountered in association with \( \text{Sym}_n \), this quotient plays an important role
in Invariant Theory [28], Galois Theory [1], and in Algebraic Geometry [9]. In the
first of these contexts, it is known as the coinvariant space of the symmetric group;
and in the last, it appears as the ring of cohomology of the flag variety. It has finite
dimension equal to \( n! \), and it is in fact isomorphic (as a \( S_n \)-module) to the left regular
representation of \( S_n \).

To describe another important feature of all this setup, we need to consider the
scalar product, on \( \mathbb{Q}[x] \), defined by

\[
\langle p, q \rangle := L_0(p(\partial x)q(x))
\] (1.7)

where \( p(\partial x) \) is the differential operator obtained by replacing each variable \( x_i \)
in \( p(x) \), by the partial derivative \( \partial x_i \). Here, \( L_0 \) is simply the linear form that sends a
polynomial to its constant term. One easily checks that the set of monomials forms
an orthogonal linear basis of \( \mathbb{Q}[x] \) for this scalar product. The ideal \( \langle \text{Sym}_n^+ \rangle \) being
homogeneous and \( S_n \)-invariant, its orthogonal complement

\[
\mathcal{H}_n := \langle \text{Sym}_n^+ \rangle^\perp
\] (1.8)

is a graded \( S_n \)-module (by degree). It is easy to check that \( q(x) \) is in \( \mathcal{H}_n \) if and only
if it satisfies all partial differential equation of the form

\[
p(\partial x)q(x) = 0,
\]

with \( p(x) \) any constant term free symmetric polynomial. In particular, observing
that \( x_1^2 + \cdots + x_n^2 \) is evidently symmetric, it follows that not only are all elements of
\( \mathcal{H}_n \) harmonic polynomials in the classical sense, but they further satisfy several other conditions:

\[
\partial x_1^k p(x) + \cdots + \partial x_n^k p(x) = 0, \quad 1 \leq k \leq n.
\]

For this reason, the space \( \mathcal{H}_n \) is know as the space of \( S_n \)-harmonic polynomials. In fact, \( \mathcal{H}_n \) can be explicitly described as being simply the linear span of all partial derivative of the Vandermonde determinant:

\[
\Delta_n(x) := \prod_{i<j} (x_i - x_j).
\]

Now, for the same reason as for \( \mathcal{H}_n \), the space \( \mathbb{Q}[x]/\langle \text{Sym}_n^+ \rangle \) is graded, and the two spaces are actually isomorphic as graded \( S_n \)-modules. In truth, all of these nice properties stem from the fact that \( S_n \) acts as a reflection group on the linear part of \( \mathbb{Q}[x] \). This is because adapted statements of this kind actually characterize finite reflection groups (see [28]).

In recent paper [3, 4] we have considered the quotient

\[
\mathbb{Q}_n := \mathbb{Q}[x]/\langle \text{QSym}_n^+ \rangle.
\]

Surprisingly, the dimension of this space is exactly the \( n^{\text{th}} \) Catalan number, which correspond to the dimension of \( \text{TL}_n \). This suggests that, in analogy with the construction above, we consider the space

\[
\text{TLH}_n := \langle \text{QSym}_n^+ \rangle^\perp \subset \mathcal{H}_n, \quad (1.10)
\]

which is clearly isomorphic (as a graded module) to \( \mathbb{Q}_n \). In view of the discussion above, it seems natural to say that this is the space of \( \text{TL}_n \)-harmonics. Besides the results of [3, 4], not much is know about this space and it should certainly be investigated further. In particular, it would be nice to have as simple a description of it as that of \( \mathcal{H}_n \). On a similar note, and assuming the freeness of \( \text{QSym}_n \) over \( \text{Sym}_n \) (which has been shown to be true in [13], as we have mentioned above), it is easy to check that \( \text{QSym}_n/\langle \text{Sym}_n^+ \rangle \) is of dimension \( n! \). This was originally observed by the second author and C. Reutenauer when they conjectured this freeness property. On top of deducing an explicit expression for the Hilbert series of this same space, they further conjectured an explicit description of a permutation indexed basis (see (4.2)). This basis conjecture is still open.

1.2. Our context. The purpose of our endeavor is to extend all of the considerations above to the “diagonal context”. Studies of the diagonal action of the symmetric group on polynomials has led to very surprising results and applications, see [6, 7, 11, 12, 16] for a start. Our current work proposes an analogous diagonal theory for \( \text{TL}_n \)-invariants. This is a long term project, and we will not have the space to include all possible avenues. We will limit ourselves to the foundation and the ground breaking results. In particular, we will only consider the algebras of the following
All of these algebras sit inside the algebra $Q[x, y]$ of polynomials in two sets of $n$ variables, with $y = y_1, y_2, \ldots, y_n$. On this space we consider the natural diagonal action of the symmetric group $S_n$ on $Q[x, y]$ which permutes variables simultaneously

$$\sigma \cdot x_i = x_{\sigma(i)}, \quad \text{and} \quad \sigma \cdot y_i = y_{\sigma(i)}.$$ 

This results in a faithful action of the group algebra $QS_n$ on $Q[x, y]$, which clearly preserves the multiplication of polynomials. Hence, the associated polynomial invariants form an algebra, called the algebra of diagonally symmetric polynomials:

$$\text{DSym}_n := \{ p(x, y) \in Q[x, y] \mid \sigma \cdot p(x, y) = p(x, y) \}.$$ 

We naturally extend the scalar product (1.7) to $Q[x, y]$, setting

$$\langle p, q \rangle := L_0(p(\partial x, \partial y)q(x, y)).$$ 

The corresponding space of $S_n$-diagonal harmonics, is then defined as

$$\text{DH}_n := \langle \text{DSym}_n^+ \rangle^\perp.$$ 

It is isomorphic, as a bigraded $S_n$-module, to the diagonal coinvariant space:

$$Q[x, y]/\langle \text{DSym}_n^+ \rangle.$$ 

In one of these two incarnations, this space has been studied extensively in the last 15 years by several authors (see for example [6, 7, 11, 12, 16]). To finish the introduction of the spaces appearing in (1.11), we diagonally extend to $Q[x, y]$ the action of $QS_n$ characterized by (1.3). This gives a faithful action of $TL_n$ on $Q[x, y]$ which enables the introduction of the space $\text{DQSym}_n$ of diagonally quasi-symmetric polynomials. All of this will be described in more details in the next section.

This paper is divided into three main sections. First, we study the diagonal Temperley–Lieb polynomial invariants. Second, we develop the Hopf algebra theory behind this object. This includes describing the graded dual Hopf algebra as well as showing its freeness and cofreeness. Let us point out that S. Poirier [25] was the first to introduce the space $\text{DQSym}$, although in a completely different context, but he did not study its properties, nor did he describe its dual. More Hopf properties of these algebras and their generalization are studied in [5, 20, 24]. Our third section is a collection of basic results and conjectures regarding these new spaces and their interplay. In particular, we discuss the structure of the space of diagonal Temperley–Lieb harmonics, as well as the quotient of the ring of diagonal
Temperley–Lieb invariants by the ideal generated by constant term free diagonally symmetric invariants.

2. **DQSym and a Faithful Action of TL\(_n\) on \(\mathbb{Q}[x, y]\)**

In order to setup our discussion, we need some more definitions. Let both \(a\) and \(b\) be length \(k\) vectors of non-negative integers (in \(\mathbb{N}^k\)). The pair \((a, b)\) is said to be a **bipartite vector**, of length \(\ell(a, b) := k\), for which we use the \(2 \times k\) matrix notation

\[
(a, b) = \begin{pmatrix} a_1 & a_2 & \cdots & a_n \\ b_1 & b_2 & \cdots & b_n \end{pmatrix}
\]  

We say that \((a, b)\) is a **bicomposition**, if none of the \((a_i, b_i)\)'s is the zero vector \((0, 0)\). The **empty bicomposition**, of length 0, is denoted \((0, 0)\). Clearly, (2.1) is equivalent to saying that a bicomposition \(a = (a, b)\) is a sequence

\[
a = (\alpha_1, \alpha_2, \ldots, \alpha_k)
\]  

of **bivectors** \(\alpha_i = (a_i)\) ∈ \(\mathbb{N} \times \mathbb{N}\), none of which equals \((0, 0)\). The two points of view have respective merits, hence we will use both. The **bidegree**, \(d(a)\), of a bicomposition \(a = (a, b)\) is simply

\[
d(a, b) := (|a|, |b|).
\]  

We finally denote by \(c(a, b)\) the (ordinary) composition simply obtained as the vector sum \(a + b\).

As for monomials in one set of variables (see (1.2)), we use the following notation for monomials in the two set of variables \(x\) and \(y\), setting:

\[
(xy)_{i}^{a} = (x_{i_1}^{a_1}x_{i_2}^{a_2}\cdots x_{i_k}^{a_k})(y_{i_1}^{b_1}y_{i_2}^{b_2}\cdots y_{i_k}^{b_k})
\]  

\[
= (x_{i_1}^{a_1}y_{i_1}^{b_1})(x_{i_2}^{a_2}y_{i_2}^{b_2})\cdots (x_{i_k}^{a_k}y_{i_k}^{b_k}).
\]

As before, we insist here that the elements \(i_m\), of the set \(i\), come in increasing order

\[
1 \leq i_1 < i_2 < \cdots < i_k \leq n.
\]

In an evident manner, the two approaches to bicompositions, corresponding to (2.1) and (2.2), give the respective expressions (2.4) and (2.5). Just as before, a monomial is completely characterized by its support set \(i\) and its **bicomposition exponent** \(a\), both having the same length. Moreover, the projection map

\[
\pi := \mathbb{Q}[x, y] \rightarrow \mathbb{Q}[x],
\]

which identifies \(y_i = x_i\), can evidently be expressed, in term of monomials, as

\[
(xy)_{i}^{a} \mapsto x_{i}^{c(a)}.
\]

This is one of the maps involved in both diagrams (1.6) and (1.11).
2.1. **Action of \( TL_n \).** We can now define our diagonal extension of Hivert’s action to \( \mathbb{Q}[x,y] \) by its effect on monomials:

\[
\sigma \ast (xy)^a_i = (xy)^a_{\sigma i}.
\]

(2.7)

Clearly, this extension is compatible with the projection map from \( \mathbb{Q}[x,y] \) to \( \mathbb{Q}[x] \).

For example, with \( \sigma = 3142 \), we get

\[
\sigma \ast x_1^2y_1y_3x_3^2 = x_2^2y_2y_3x_4^2.
\]

and the compatibility with \( \pi \) is apparent in

\[
\begin{align*}
\sigma \ast \pi(x_1^2y_1y_3x_4^2) &= \sigma \ast x_1^3x_3x_4^2 \\
&= x_2^3x_3x_4^2 \\
&= \pi(x_2^2y_2y_3x_4^2) \\
&= \pi(\sigma \ast x_1^2y_1y_3x_4^2)
\end{align*}
\]

Just as in [17], we have the following proposition. Observe here that the relation (2.8), appearing below, is the specialization at \( q = 1 \) of the defining relation (1.4) for the Temperley–Lieb algebra inside the Hecke algebra (for \( i=1 \)).

**Proposition 2.1.** For \( n \geq 3 \), the kernel \( \text{Ker}_n \subseteq \mathbb{Q}S_n \) of the action defined by (2.7), is the two-sided ideal generated by the element

\[
E_{(1,2,3)} = 1234 \cdots n - 1324 \cdots n - 2134 \cdots n \\
+ 2314 \cdots n + 3124 \cdots n - 3214 \cdots n.
\]

(2.8)

Thus, \( TL_n \simeq \mathbb{Q}S_n/\text{Ker}_n \) acts faithfully on \( \mathbb{Q}[x,y] \).

**Proof.** This is just an adaptation of the proof in [17]. Given any monomial \( (xy)^a_1 \), we first check (case by case) that \( E_{(1,2,3)} \ast (xy)^a_1 = 0 \), or in other words that \( E_{(1,2,3)} \) is in \( \text{Ker}_n \). By definition, \( E_{(1,2,3)} \) is the signed sum of the permutation that fix all but the the numbers in \( \{1,2,3\} \). The various cases we have to check correspond to the number of elements in \( i \cap \{1,2,3\} \).

1) If \( i \cap \{1,2,3\} \) equals either \( \{1,2,3\} \) or \( \emptyset \), then each of six permutations \( \sigma \) in the support of \( E_{(1,2,3)} \) is such that \( \sigma \ast (xy)^a_1 = (xy)^a_1 \), and clearly

\[
E_{(1,2,3)} \ast (xy)^a_1 = 0.
\]

(2.9)

2) If the number of elements in \( i \cap \{1,2,3\} \) is 1 or 2, then for any \( j \) such that \( \sigma \ast (xy)^a_1 = (xy)^a_j \) there are exactly two permutations \( \sigma, \sigma' \) in the support of \( E_{(1,2,3)} \) such that

\[
\sigma \ast (xy)^a_1 = (xy)^a_j = \sigma' \ast (xy)^a_1.
\]

Moreover, these two permutations are such that \( \text{sign}(\sigma) = -\text{sign}(\sigma') \), implying that (2.9) holds for these cases also.

To finish the argument, we first observe that, by conjugation, we have that \( E_{(i,j,k)} \) is in the two-sided ideal generated by \( E_{(1,2,3)} \), for any \( 1 \leq i < j < k \leq n \). Here \( E_{(i,j,k)} \) is, as before, the signed sum of the permutations of that fix all but the numbers in
{i, j, k}. On the other hand, the quotient of \( QS_n \) by the two-sided ideal generated by the \( E_{\{i,j,k\}} \)'s (or simply \( E_{\{1,2,3\}} \) in view of the above argument) is well known to be \( TL_n \) (see for example [27]). We have shown that in the first part of the proof that this ideal \( \langle E_{\{1,2,3\}} \rangle \) is contained in \( \text{Ker}_n \). Since \( QS_n \) is semi-simple, the quotient \( TL_n \) of \( QS_n \) by a two sided ideal is also semi-simple. To show the other inclusion, it is thus enough to show that each irreducible representations of \( TL_n \) occurs in \( \mathbb{Q}[x, y] \). To this end, for any bicomposition \( \alpha \), consider the invariant subspace \( K_\alpha \), of \( \mathbb{Q}[x, y] \), spanned by all monomials \((xy)^a\), with \( i \) of cardinality equal to length of \( \alpha \). It is readily seen that the corresponding representation of \( S_n \), on this invariant subspace \( K_\alpha \), is equivalent to the induced representation from \( S_k \times S_{n-k} \) to \( S_n \). It is also well known that this representation contains all and only the irreducible \( V_{\lambda} \) indexed\(^1\) by partitions \( \lambda \) with no more than two parts. This exhausts all irreducible representation of \( TL_n \) (see [27]). We conclude that \( TL_n \) acts faithfully on \( \mathbb{Q}[x, y] / \langle E_{\{1,2,3\}} \rangle \). \( \square \)

Remark 1. It is interesting to describe the full bigraded character of \( \mathbb{Q}[x, y] \) as a \( TL_n \)-representation. Recall that the Frobenius characteristic is defined on a representation \( \mathcal{W} \), of \( S_n \), as

\[
\mathcal{F}(\mathcal{W}) := \frac{1}{n!} \sum_{\sigma \in S_n} \chi^\mathcal{W}(\sigma) p_{\lambda(\sigma)},
\]

where \( \chi^\mathcal{W} \) denotes the character of \( \mathcal{W} \), with \( \lambda(\sigma) \) being the partition describing the cycle lengths in \( \sigma \), and \( p_\lambda \) denoting the usual power sum symmetric functions. This map sends irreducible representation indexed by \( \lambda \) to the Schur symmetric function \( s_\lambda \) (in the natural indexing). Given a bicomposition \( \alpha \), of length \( k = \ell(\alpha) \), the Frobenius characteristic of the space \( K_\alpha \) (the span of all monomials \((xy)^a\), with \( i \) of cardinality equal to length of \( \alpha \)) is

\[
\mathcal{F}(K_\alpha) = h_k h_{n-k},
\]

where \( h_k \) is the usual \( k \)th complete homogeneous symmetric function. The bigraded Frobenius characteristic of \( \mathbb{Q}[x, y] \), as a \( TL_n \)-module, is thus given by

\[
\mathcal{F}_{(q,t)}(\mathbb{Q}[x, y]) = \sum_{k \geq 0} (q + t - qt(1-q)(1-t))^k h_k h_{n-k}.
\]

This meaning of “bigraded”, in the name above, is that the coefficient of \( q^a t^b \) in this last formula is the Frobenius characteristic of the homogeneous component of \( \mathbb{Q}[x, y] \) of bidegree \( (a, b) \).

2.2. The algebra \( \text{DQSym}_n \). We can now define the “algebra” of diagonally quasi-symmetric polynomials

\[
\text{DQSym}_n := \{ p(x, y) \in \mathbb{Q}[x, y] \mid \sigma \ast p(x, y) = p(x, y) \}.
\]

Clearly, \( \text{DQSym}_n \) is a vector space with basis given by the orbit sums of monomials. More precisely, for any bicomposition \( \alpha \), let us define the bimonomial quasi-symmetric

\(^1\)With the usual canonical indexing of irreducible representations of \( S_n \) by partitions.
polynomial:

\[ M_a(x, y) := \sum_{i \subseteq [n], \ |i| = \ell(a)} (xy)^i. \]

Evidently this definition implies that \( M_a = 0 \) whenever \( \ell(a) > n \). The set of \( M_a \), for \( \ell(a) \leq n \), forms a linear basis of \( \text{DQSym}_n \). This basis makes evident that the algebra \( \text{QSym}_n \) (see (1.9)) appears, up to isomorphism, as a subspace of \( \text{DQSym}_n \) in two obvious ways. First as the linear span of the set

\[ \{ M_{(a,0)} \mid \ell(a) \leq n \}, \]

with \( a \) and \( b \) compositions. To distinguish these two occurrences, we respectively denote them \( \text{QSym}_n^\alpha \) and \( \text{QSym}_n^\beta \). As we have already mentioned, these are respectively subalgebras of \( \mathbb{Q}[x] \) and \( \mathbb{Q}[y] \). To show that, in turn, \( \text{DQSym}_n \) is itself a subalgebra of \( \mathbb{Q}[x,y] \), we easily adapt the method of [18]. To this end, we introduce the sum, concatenation and semi-shuffle of bicompositions. For \( a = (\alpha_1, \ldots, \alpha_k) \) and \( b = (\beta_1, \ldots, \beta_\ell) \), the sum of \( a \) and \( b \) is the length \( k + \ell - 1 \) bicomposition

\[ a + b := (\alpha_1, \ldots, \alpha_k + \beta_1, \ldots, \beta_\ell), \] (2.10)

with \( \alpha_k + \beta_1 \) being the vector sum; and the concatenation of \( a \) and \( b \) is the length \( k + \ell \) bicomposition

\[ a \cdot b := (\alpha_1, \ldots, \alpha_k, \beta_1, \ldots, \beta_\ell) \] (2.11)

The quasi-shuffle of bicompositions can then be defined as the set described recursively as follows.

\[ a \tilde{\cdot} b := \begin{cases} \{a\} & \text{if } b = 0, \\ \{b\} & \text{if } a = 0, \\ \bigcup_{a_1 \cdot a_2 = a} \bigcup_{c \in a_1 \tilde{\cdot} a_2} \{a_1, b_1 \cdot c, (a_1 + b_1) \cdot c\} & \text{otherwise}. \end{cases} \] (2.12)

where \( b = b_1 \cdot b_2 \) is the unique factorization of \( b \) such that \( b_1 \) has only one part. For example

\[ \begin{pmatrix} 2 \\ 0 \end{pmatrix} \tilde{\cdot} \begin{pmatrix} 0 \\ 2 \end{pmatrix} = \left\{ \begin{pmatrix} 2 & 0 & 0 \\ 1 & 1 & 0\end{pmatrix}, \begin{pmatrix} 2 & 0 & 2 \\ 1 & 1 & 0\end{pmatrix}, \begin{pmatrix} 2 & 0 & 2 \\ 2 & 3 & 0\end{pmatrix}, \begin{pmatrix} 2 & 0 & 2 \\ 1 & 1 & 3\end{pmatrix}, \begin{pmatrix} 2 & 0 & 2 \\ 2 & 3 & 0\end{pmatrix}, \begin{pmatrix} 2 & 0 & 2 \\ 1 & 1 & 0\end{pmatrix} \right\} \]

Following the argument of [18], we get

\[ M_{a}(x, y)M_{b}(x, y) = \sum_{c \in a \tilde{\cdot} b} M_{c}(x, y). \] (2.13)

Observe that some of the terms, \( M_{c} \), in the resulting sum may vanish when \( \ell(c) > n \). One notes that the resulting multiplication rule stabilizes as \( n \to \infty \), as \( n \) becomes larger then the maximum possible length for \( c \). Hence we can consider the corresponding inverse limit algebra, \( \text{DQSym} \), of diagonally quasi-symmetric series. As a vector space this algebra is clearly generated by the bimonomial basis elements

\[ M_a = \sum_{i \subseteq \mathbb{N}, \ |i| = \ell(a)} (xy)^i, \]
where \(a\) runs over all bicompositions. The distinctive feature here is that \(i\) can be any \(k\)-subset of \(N\), hence \(M_a\) is a series rather than a polynomial. The multiplication of the \(M_a\)'s in DQSym is just as in (2.13), with no vanishing terms. To introduce a graded bialgebra structure on DQSym, we define the comultiplication

\[
\Delta(M_a) = \sum_{a_1 \cdot a_2 = a} M_{a_1} \otimes M_{a_2},
\]

and counit

\[
\epsilon(M_a) = \begin{cases} 
1 & \text{if } a = 0, \\
0 & \text{otherwise}.
\end{cases}
\]

The fact that we actually get a bigraded Hopf algebra, with respect to bidegree, follows from general principles (see [23]). Here the bidegree of \(M_{a}\) is naturally set to be the bidegree \(d(a)\) of \(a\) (see (2.3)). The restriction to DQSym\(_n\) of the map \(\pi\), introduced in (2.6), clearly factors through QSym\(_n\), since for every bicomposition \(a = (a, b)\) we evidently have

\[
\pi(M_{(a,b)}) = M_{a+b}.
\]

This map preserves multiplication, and when \(n \to \infty\) it also preserves comultiplication and counit. Observe that DQSym can also be considered as a simply graded Hopf algebra by setting

\[
\text{DQSym}(n) := \bigoplus_{n = d_1 + d_2} \text{DQSym}^{(d_1, d_2)},
\]

with DQSym\(^{(d_1, d_2)}\) being the bihomogeneous component of bidegree \((d_1, d_2)\) of DQSym. We get the following theorem.

**Theorem 2.2.** DQSym is a bigraded Hopf algebra and the map \(\pi: \text{DQSym} \to \text{QSym}\) is a morphism of graded Hopf algebras. \(\square\)

We end this section with the description of another important basis of DQSym. Consider the partial order on bicompositions obtained as the transitive closure of the set of covering relations

\[
\{a_1 + a_2 < a \mid a_1 \cdot a_2 = a\}.
\]

We introduce the following diagonal analogue of the usual “fundamental basis” for quasi-symmetric functions:

\[
F_b := \sum_{b \leq a} M_a.
\]

(2.14)

For instance, we have

\[
F_{\binom{2}{0}}^{\binom{1}{1}} = M_{\binom{2}{0}}^{\binom{1}{1}} + M_{\binom{1}{1}}^{\binom{1}{0}} + M_{\binom{1}{0}}^{\binom{1}{1}} + M_{\binom{2}{0}}^{\binom{0}{1}} + M_{\binom{2}{0}}^{\binom{0}{2}} + M_{\binom{1}{1}}^{\binom{1}{0}} + M_{\binom{0}{1}}^{\binom{1}{1}} + M_{\binom{1}{0}}^{\binom{0}{1}} + M_{\binom{1}{0}}^{\binom{1}{0}} + M_{\binom{0}{1}}^{\binom{1}{0}}.
\]
3. The graded Hopf dual DNSym, and other Hopf properties

Consider the noncommutative free algebra

$$\text{DNSym} := \mathbb{Q}\langle h_\alpha \mid \alpha \in \mathbb{N}^2 \setminus \{0\} \rangle,$$

of noncommutative polynomials in the variables $h_\alpha$, as a bigraded algebra for which we set the bidegree of the variable $h_\alpha$, to be $\alpha = (a, b)$. Here, as usual, we denote $0$ the zero vector in $\mathbb{N}^2$. We further introduce a bigraded coalgebra structure on DNSym, setting as comultiplication

$$\Delta(h_\alpha) := \sum_{\alpha = \beta + \gamma} h_\beta \otimes h_\gamma,$$

where $\beta + \gamma$ is understood to be the usual vector sum. By convention we let $h_0 := 1$ be the unit of DNSym. The counit is simply the linear form such that

$$\epsilon(h_\alpha) := \begin{cases} 1 & \text{if } \alpha = 0, \\ 0 & \text{otherwise}. \end{cases}$$

It is then straightforward to check that DNSym is in fact a bigraded Hopf algebra. This bigrading corresponds to the decomposition

$$\text{DNSym} = \bigoplus_{(d_1, d_2)} \text{DNSym}_{(d_1, d_2)}^{n}$$

in bihomogeneous components $\text{DNSym}_{(d_1, d_2)}^{n}$. Each of these bihomogeneous components affords a linear basis

$$\{ h_a \mid a = (\alpha_1, \ldots, \alpha_k), \quad \alpha_i = (a_i, b_i), \quad \text{and } d(a) = (d_1, d_2) \}.$$

**Theorem 3.1.** DNSym is isomorphic to the bigraded Hopf dual algebra $\text{DQSym}^*.$

**Proof.** As a vector space, we clearly must have

$$\text{DQSym}^* = \bigoplus_{(d_1, d_2)} \text{DQSym}_{(d_1, d_2)}^{n}.$$ 

We consider the pairing

$$\langle h_a, M_b \rangle := \begin{cases} 1 & \text{if } a = b, \\ 0 & \text{otherwise}, \end{cases}$$

which gives a graded vector space isomorphism between DNSym and DQSym*. To complete our proof, we need to show that the multiplicative and comultiplicative structure is preserved. Let $c_{a,b}^c$ be the multiplicative structure constants of DQSym:

$$M_a M_b = \sum_c c_{a,b}^c M_c.$$
From (2.13), we have
\[
\epsilon^c_{a,b} = \begin{cases} 
1 & \text{if } c \in a \triangleleft b, \\
0 & \text{otherwise.}
\end{cases}
\]
On the other hand, in DNSym, we have
\[
\Delta(h_c) = \sum_{c \in a \triangleleft b} h_a \otimes h_b.
\]
This implies that \( \langle h_c, M_a M_b \rangle = \langle \Delta(h_c), M_a \otimes M_b \rangle \). In the same way one can show that \( \langle h_a h_b, M_c \rangle = \langle h_a \otimes h_b, \Delta(M_c) \rangle \), thus ending the proof. \( \square \)

A consequence of this is that DQSym is cofree, since DNSym is free. We can also show that DQSym is free. This is a consequence of a more general fact about quasi-shuffle Hopf algebra (see [18]). To do this, we consider bicompositions as words on the alphabet of biletters \((a b)\) ordered lexicographically. As is usual in the context of words, we say that a bicomposition \(a\) is a Lyndon bicomposition, if it is strictly smaller than all of the non-trivial circular rearrangement of its letters. The following theorem is a special case of a theorem of [18]:

**Proposition 3.2** (Hoffman). DQSym = \( \mathbb{Q}[\mathcal{M}_a \mid a \text{ is Lyndon}] \), and in particular it is free.

**Remark 2.** In [25], S. Poirier constructed the graded dual to the Mantaci–Reutenauer Hopf algebra. Part of his goals was to produce analogs of the Hopf algebras QSym and NSym for type \(B\). For this, Poirier introduced a larger algebra containing the desired Hopf algebras. In fact, this larger algebra is isomorphic to our DQSym. More generalizations and properties of these Hopf algebras are currently being studied (see [5, 24]). Moreover, in an upcoming work, S. Kapetanovich [20] shows that DQSym is a terminal object in the category of bigraded Hopf algebras equipped with a multiplicative character. She also computes explicitly its even and odd Hopf subalgebras. We should also note that DQSym coincides as a vector space with the space of \(2 \times k\) matrix quasi-symmetric functions of [10]. However their multiplicative structure are very different. In particular the \(2 \times k\) matrices of [10] are not closed under their multiplication rule. \( \square \)

4. **Conjectures**

4.1. **The quotient** \( \mathbb{Q}[x,y]/(\text{DQSym}^+_n) \). We are now interested in the study of the ideal generated by diagonally quasi-symmetric polynomials without constant term, i.e.
\[
\mathcal{J}_n = \langle M_a(x,y) \rangle_{a \neq \emptyset}.
\]
This ideal is clearly bihomogeneous. Next, in the spirit of our discussion in section 1, we consider the quotient space
\[
\text{DQ}_n := \mathbb{Q}[x,y]/\mathcal{J}_n,
\]
as well as the space of diagonal $TL_n$-harmonic polynomials as

$$\text{DTLH}_n := J_n^\perp$$

with respect to the scalar product of (1.12). These two spaces are isomorphic as bigraded modules. Observe that

$$\text{TLH}_n \simeq \text{DTLH}_n \cap \mathbb{Q}[x], \quad \text{and} \quad \text{TLH}_n \simeq \text{DTLH}_n \cap \mathbb{Q}[y].$$  \hspace{1cm} (4.1)

Recall that the \textit{bigraded Hilbert series} of a bigraded vector subspace $V$ is by definition

$$H_V(q, t) = \sum_{h, k} q^h t^k \dim(V_{h, k})$$

where $V_{h, k}$'s are the components appearing in the decomposition

$$V = \bigoplus_{h, k} V_{h, k},$$

corresponding to the bigraded structure of $V$. The Hilbert series considered here are in fact polynomials. To emphasize the symmetries of these polynomials, we use a Cartesian coordinates entries matrix notation for them. Thus, for a polynomial $p(q, t)$ we define the corresponding \textit{Hilbert matrix}, $M$, to have entries

$$M(i, j) = \text{coefficient of } t^{k-i+1} q^{j-1} \text{ in } p(q, t),$$

with $k$ equal to the degree in $t$ of $p(q, t)$. Thus we have the correspondence

$$\begin{align*}
3t^2 + \\
2t + 3tq + \\
1 + 3q + 4q^2 + q^3
\end{align*} \leftrightarrow \begin{pmatrix} 3 \\ 2 \ 3 \\ 1 \ 3 \ 4 \ 1 \end{pmatrix}$$

Here, we omit 0 entries.

\textbf{Conjecture 4.1}. The Hilbert matrix, of the space $DQ_n$, is the $n \times n$ lower triangular matrix, $M_n$, with all entries in the main diagonal equal to the $(n - 1)^{th}$ Catalan number:

$$M_n(i, i) = \frac{1}{n} \binom{2(n - 1)}{(n - 1)};$$

and all other non zero entries recursively obtained as:

$$M_n(i, j) = \sum_{\substack{i' \geq i \\text{ and} \\ j' \leq j}} M_{n-1}(i', j'),$$  \hspace{1cm} (4.2)

when $i > j$.  

For instance, the Hilbert matrices $M_n$, for $1 \leq n \leq 5$, are

$$M_1 = (1), \quad M_2 = \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}, \quad M_3 = \begin{pmatrix} 2 & 2 & 2 \\ 1 & 2 & 2 \end{pmatrix},$$

$$M_4 = \begin{pmatrix} 5 & 5 & 5 \\ 3 & 7 & 5 \\ 1 & 3 & 5 & 5 \end{pmatrix}, \quad M_5 = \begin{pmatrix} 14 & 14 & 14 \\ 9 & 24 & 14 \\ 4 & 14 & 24 & 14 \\ 1 & 4 & 9 & 14 & 14 \end{pmatrix}.$$

Actually, the values in the first column (and last line) of $M_n$ are known to satisfy recurrence (4.2). In view of (4.1) and the isomorphism of graded spaces $Q_n \simeq TLH_n$, this follows immediately from our result of [4], giving the following explicit formula for the Hilbert series of $Q_n$:

$$H_{Q_n}(q) = \sum_{k=0}^{n-1} \binom{n-k}{n+k} q^k. \quad (4.3)$$

In [4], we have in fact constructed a nice explicit linear basis of monomials for $Q_n$. We are going to describe here, for each $n$, a set $B_n$ of monomials which we conjecture to be a linear basis of $DQ_n$. As should be expected this set contains two copies of the basis for $Q_n$; one in the $x$-variables, and one in the $y$-variables. The degrees of monomials in $B_n$ are all less or equal to $n - 1$. Moreover, the construction will make clear that the bigraded enumeration of $B_n$ agrees with the Hilbert matrix of Conjecture 4.1. First, whenever $i + j < n - 1$, we construct recursively the subset $B_n(i, j)$ of $B_n$, consisting of its elements of bidegree $(i, j)$, by setting

$$B_n(i, j) := \bigcup_{a \leq i \atop b \leq j} B_{n-1}(a, b) \cdot x_n^{-a} y_n^{-b}. \quad (4.4)$$

Here, in the right hand side, the product is to be understood as $B \cdot x_n^c y_n^d := \{z \cdot x_n^{c-a} y_n^{d-b} \mid z \in B\}$.

Second, starting with $B_n(n-1, 0)$, also constructed via recurrence (4.4), we generate successively the sets $B_n(n-i-1, i)$, for $i$ running from $2$ to $n-1$:

$$B_n(n-i-1, i) := \{\Phi(z) \mid z \in B_n(n-i, i-1)\} \quad (4.5)$$

with $\Phi$ being defined as in (4.6). Consider the operation $\phi$, defined on bicompositions as $\phi(a, b) := (a - e_m, b + e_m)$, with $e_m$ the usual $m^{th}$ unit vector, and $m$ equal to the smallest index for which $a$ has a non zero coordinate. We then define the operation $\Phi$ on monomials, by simply setting

$$\Phi(\{xy\}^a_i) := (xy)^\phi(a). \quad (4.6)$$

Conjecture 4.2. The set $B_n$, constructed using rules (4.4) and (4.5), is a linear basis of $DQ_n$. 

For $1 \leq n \leq 3$, the bases generated by the recursive rule above are

\[
\begin{align*}
B_1 &= \{1\} \\
B_2 &= \left\{ \frac{y_2}{1}, \frac{x_2}{1} \right\} \\
B_3 &= \left\{ \frac{y_3^2, y_2y_3}{1}, \frac{y_3, y_2}{x_3, x_2} \frac{y_2x_3, y_2x_3}{x_3^2, x_2x_3} \right\}
\end{align*}
\]

They are presented here in matrix form to emphasize how this construction is in agreement with the Conjecture 4.1.

### 4.2. The quotient $\text{DQSym}_n/\langle\text{DSym}_n^+\rangle$

Since we can check that

\[\text{DSym} = \mathbb{Q}[M_\alpha \mid \alpha \in \mathbb{N}^2],\]

the quotient of DQSym, by the ideal generated by constant term free elements of DSym, is easy to characterize. Moreover, we clearly have a bigraded module isomorphism

\[\text{DQSym} \simeq \text{DSym} \otimes \text{DQSym}/\langle\text{DSym}^+\rangle.\]

It follows that DQSym is a free DSym module. Recall that this is in the context when the number of variables has been sent to infinity. The story is not so simple when we restrict to $n$ the number of $x$ and $y$ variables. As we will see, we lose the analogous freeness property in this bivariate polynomials case. This is in contrast with the univariate case for which we have indeed

\[\text{QSym}_n \simeq \text{Sym}_n \otimes \text{QSym}_n/\langle\text{Sym}_n^+\rangle,\] (4.7)

as conjectured in [8], and then shown in [13]. It readily follows from (4.7) that we have the Hilbert series formula

\[H_{R_n}(q) = (1 + q) \cdots (1 + q + \ldots + q^{n-1}) \frac{(1 - q)^{n+1} - q^{n+1}}{1 - 2q},\] (4.8)

where we have denoted $R_n$ the space $\text{QSym}_n/\langle\text{Sym}_n^+\rangle$. It is not immediate that the polynomials in right hand side of (4.8) have indeed positive integer coefficients. This was shown to be true in [8], using the fact that these polynomials are solutions of the recurrence

\[\Psi_n(q) = \Psi_{n-1}(q) + q^n(n!_q - \Psi_{n-1}(q)), \quad n \geq 1\]

with initial condition $\Psi_0(q) = 1$. The first values of these $\Psi_n(q)$ are

\[
\begin{align*}
\Psi_1(q) &= 1 \\
\Psi_2(q) &= 1 + q^3 \\
\Psi_3(q) &= 1 + q^3 + 2q^4 + 2q^5
\end{align*}
\]

We want to underline that $\text{DQSym}_n$ cannot be a free $\text{DSym}_n$ module. Otherwise, the Hilbert series of the space

\[R_n := \text{DQSym}_n/\langle\text{DSym}_n^+\rangle,\]
would have to be equal to
\[
\sum_{k=0}^{n} \frac{(1-t)^{-1}(1-q)^{-1} - 1)^k}{h_n[(1-t)^{-1}(1-q)^{-1}]},
\]
using plethystic substitution notation. Recall that this means that
\[
h_n\left[\frac{1}{(1-t)(1-q)}\right] = \sum_{\lambda \vdash n} \frac{1}{z_\lambda} \prod_{k=1}^{\ell(\lambda)} \frac{1}{(1-q^k)(1-t^k)},
\]
with \(z_\lambda = \prod_k k^{d_k} d_k!\), if the partition \(\lambda\) has \(d_k\) parts of size \(k\). One can readily check that (4.9) contains some negative integer coefficients in general. This is so even in the simple case of \(n = 2\) for which we get:
\[
\frac{(1 + t + q - qt)(1 - t^2)(1 - q^2)}{1 + qt} = 1 + qt + q^3 + q^2t + qt^2 + t^3 - q^3t - q^2t^2 - qt^3 + \ldots
\]
Hence, the Hilbert series cannot be given by (4.9). The actual values for the Hilbert series of \(R_n\) are given below (in matrix form), for \(n \leq 3\).

\[
\begin{pmatrix}
1 \\
0 & 1 \\
1 & 0 & 0 & 1
\end{pmatrix}, \quad
\begin{pmatrix}
2 \\
2 & 2 \\
1 & 4 & 2 \\
0 & 3 & 4 & 2 \\
0 & 1 & 3 & 4 & 2 \\
1 & 0 & 0 & 1 & 2 & 2
\end{pmatrix}
\]

Clearly, the first column (and first line) correspond to instances of (4.8). Further computations suggest many other nice properties of these Hilbert series, with proofs using the techniques of [8] extended to this new context. This will be the subject of an upcoming paper.

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