Nano-Photothermal ablation effect of Hydrophilic and Hydrophobic Functionalized Gold Nanorods on *Staphylococcus aureus* and *Propionibacterium acnes*

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The potential photothermal bactericidal activity of hydrophilic functionalized poly ethylene glycol (PEG)-gold nanorods (GNR) and hydrophobic functionalized polystyrene (PS)-GNR was evaluated towards strains of *Staphylococcus aureus* (*S. aureus*) and *Propionibacterium acnes* (*P. acnes*) by measuring the percentage reduction of bacterial viable count upon GNR excitation with a near infrared (NIR) laser beam. Our results suggest that functionalized GNR had a minimal bactericidal activity against *S. aureus* and *P. acnes* (≤ 85%, i.e. ≤ 1 log₁₀ cycle reduction of bacterial viable count). However, the local heat generated upon exciting the functionalized GNR with NIR laser beam has a significant photothermal ablation effect (≥ 99.99%, i.e. ≥ 4 log₁₀ cycles reduction of bacterial viable count). Such photothermolysis effect could potentiate the antibacterial activity of GNR, which may call for minimum concentration and side effects of these nanotherapeutics.

Several nanotechnology-based modalities have made important contributions to biomedical and nanomedicine fields. For example, different therapeutic nanoparticle platforms, such as liposomes, albumin nanoparticles and polymeric micelles, have been approved for cancer treatment. Others including organic and inorganic nanoparticles and DNA-based nanoparticles were investigated for drug delivery, sensing, labelling and tracking in biological systems, in addition to diagnosis and treatment of cancers and bacterial infections.

Photothermal therapy is considered as the central application of plasmonic nanoparticles in medicine. Plasmonic nanoparticles absorb light efficiently in the near infra-red (NIR) region, where light penetration in tissues is optimal, and convert it to local heat by photo-exciting the conduction electrons to induce surface plasmon oscillations followed by non-radiative relaxation. Among the plasmonic nanomaterials, gold nanoparticles (GNP) have received considerable attention in photothermal therapy due to their high photothermal conversion efficiency, low toxicity, easy synthesis and functionalization and easily tuned surface plasmon resonance frequency to NIR region.

Among all plasmonic GNP, the gold nanorods (GNR), exhibit the most ideal NIR absorption cross section and demonstrate extremely efficient NIR photothermal heat conversion. The most common size of GNR utilized for use in photothermal is around 40 nm in length and 10 nm in diameter, with a longitudinal plasmon resonance around 800 nm. The increase in the local temperature around the particles and the targeted cells is depending on the laser power, time of exposure, and concentration of GNP. Photothermally induced cell death can take place via apoptosis or necrosis that results from denaturation or breakdown of proteins, cell cavitation, cellular structure rupturing, evaporation of cellular liquid and bubble formation by shock waves.

It is known that more than 70% of bacterial infections are resistant to conventional antibiotics. With this in mind, development of new and effective antimicrobial agents is an urgent need. The resulted local hyperthermia of GNR could be efficient in eliminating microorganisms selectively by combining the use of laser beam and functional GNR by disruption the bacterial cell membrane, fatty acid melting, and protein denaturation.

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The photothermal-based ablation activity of gold nanoparticles towards skin pathogens such as *Staphylococcus aureus* (*S. aureus*) was explored previously, however, those addressing other skin pathogens such as *Propionibacterium acnes* (*P. acnes*) were lacking in the literature. For example, the selective *in vitro* photothermal nano-therapy of *S. aureus* or methicillin-resistant *S. aureus* (MRSA) infections mediated by antibodies conjugated gold nanoparticles was investigated in previous studies. Recently, the photothermal lysis of pathogenic bacteria such as *S. aureus* by platinum nanodots decorated GNR was investigated.

Moreover, GNP demonstrated an intrinsic antimicrobial activity, the degree and the spectrum of which are dependent on their surface chemistry. For example, cationic and hydrophobic functionalized GNP effectively suppressed growth of 11 clinical multi drug resistant isolates, including both Gram-negative and Gram-positive bacteria. Although the antibacterial activity of GNP of different surface chemistry was investigated in many previous reports, those addressing the antibacterial activity of hydrophobic GNP were rare in the literature.

In the current work, we demonstrated the effectiveness of the hyperthermia of GNR in killing *S. aureus* and *P. acnes* as the most common bacteria responsible for the pathogenesis of acne vulgaris. In light of our previous findings, where we successfully targeted the skin hair follicles with both hydrophilic and hydrophobic GNR, PS-GNR and PS-GNR could be promising candidates to treat hair follicle diseases such as acne vulgaris. Besides, the bactericidal activity of the hydrophobic GNR (PS-GNR) was evaluated by employing a simple and novel design without the need to use solubilizing agents or surfactants that may complicate the measurements and increase the artifacts.

**Results and Discussion**

**Characterization of CTAB-GNR, PEG-GNR and PS-GNR.** CTAB-GNR were successfully synthesized using seed mediated method and functionalized into hydrophilic PEG-GNR and hydrophobic PS-GNR. The prepared GNR solutions were characterized by UV-vis absorption spectroscopy, zeta potential, and transmission electron microscope (TEM). The UV-vis absorption spectra demonstrated typical transverse and longitudinal plasmon peaks of CTAB-GNR (510 nm and 792 nm, respectively) (Fig. 1A). Upon surface functionalization with PEG or PS, no significant broadening or tailing of the longitudinal peaks was observed, indicating the stability of the functionalized GNR suspensions. The slight red shift in the plasmon longitudinal peak observed for PS-GNR (800 nm) was related to the change in the refractive index of the suspending solvent from water to toluene.

In addition, the effective surface charges of the functionalized GNR confirmed successful functionalization. CTAB-GNR demonstrated a positive surface charge (+37 mV), whereas PEG-GNR featured an almost neutral surface charge (+1.7 mV) (Fig. 1B). The zeta potential of PS-GNR was measured in toluene and it was −35.6 mV (Fig. 1B). The shape and size of the functionalized GNR were confirmed by TEM. A TEM image of PEG-GNR featured lengths of ~38 nm and widths of ~10 nm, corresponding to an aspect ratio of ~3.8 (Fig. 1C).

**The configuration and setup of the experiment.** In the current study, the photothermal-based antibacterial activity of two GNR suspensions; hydrophilic PEGylated and hydrophobic PS functionalized GNR was evaluated.

We have previously reported the antibacterial activity of GNR coated with different hydrophilic ligands. However, the antibacterial activity of hydrophobic GNR was rarely evaluated in the literature. In this study, we employed an optimized and simple method to investigate the antibacterial activity of the hydrophobic PS-GNR, since the commonly applied methods using different concentrations of dimethyl sulfoxide or surfactants (such as Tween 80) failed to improve the suspendability of PS-GNR within the bacterial suspension. In this method, sterile cellulose filter membranes were used as matrices that allow a reasonable contact between hydrophobic PS-GNR and bacteria and support the bacterial growth by nutrient diffusion (Fig. 2).

The bactericidal activity of the functionalized GNR alone or upon exposure to laser was expressed as a percentage reduction of bacterial viable count. The time of NIR laser exposure was optimized to 15 min, since the exposure time of less than 5 min resulted in no significant difference of bacterial viability, while the exposure of more than 15 min resulted in a complete loss of the characteristic optical properties of GNR. Figure 3 shows the absence of typical UV-vis plasmon peaks of PEG-GNR after 20 min of laser exposure compared to UV-vis plasmon peaks of PEG-GNR prior to laser exposure.
The bactericidal activity of PEG-GNR and PS-GNR alone or in combination with laser beam on S. aureus and P. acnes. The bacterial viable counts of S. aureus and P. acnes upon exposure to PEG-GNR followed by laser beam exposure were significantly lower than that upon exposure to PEG-GNR alone over the concentration range of 0.25–0.06 nM, Fig. 4A,B. Similarly, the bacterial viable counts of S. aureus and P. acnes upon exposure to PS-GNR followed by laser beam exposure were significantly lower than that upon exposure to PS-GNR alone over the concentration range of 5.0–0.625 nM, Fig. 5A,B.

Interestingly, the exposure to NIR laser (15 min) resulted in ≥99.99%, i.e. ≥4 log_{10} cycles reduction of bacterial viable count of both S. aureus and P. acnes that were pre-exposed to PEG-GNR (15 min) at a concentration range of 0.25–0.06 nM compared to those exposed to PEG-GNR alone (≤85%, i.e. ≤1 log_{10} cycle reduction of bacterial viable count) or laser alone (≤10% reduction of bacterial viable count), Fig. 6A,B. Similar killing effect was observed on S. aureus and P. acnes that were pre-exposed to PS-GNR at a concentration range of 5.0–1.25 nM compared to those exposed to PS-GNR or laser alone, Fig. 7A,B.

In order to correlate the calculated percentage reduction of bacterial viable count to the photothermal heat conversion, we measured the photothermal heating of the different functionalized GNR at varying concentrations over 15 min (the time of treatment) of NIR laser beam exposure. As shown in Fig. 8A, for PEG-GNR, the temperature increased sharply over the first two minutes (the change of temperature was ~18 °C) regardless of PEG-GNR concentration. The maximum increase in temperature was observed after 10 min of laser exposure across different concentrations of PEG-GNR. However, the highest change in temperature (~43 °C) was observed for the highest concentration. The maximum generated heat upon exposure to NIR laser beam was maintained for the rest 5 min of treatment and it is in well agreement with other reports that investigated the photothermal effect of GNP against bacteria and cancer cells. We propose that the light absorbed by NIR-absorbing GNR can be efficiently converted into thermal energy that is responsible for the observed killing effect in addition to the endogenous cytotoxic effect of GNR. On the other hand, the increase of temperature upon exposure of hydrophobic PS-GNR to NIR laser beam was measured directly on the agar plates. Figure 8B shows a gradual rise in temperature over the first 5 min of laser exposure reaching a reported maximum temperature of ~55 °C, which was maintained over the 15 min of laser treatment.

The photothermal conversion efficiency of GNR upon excitation by a laser beam was approved in previous studies, which utilized similar laser type and optical density to that employed in the current study. We propose that exposure of PEG-GNR or PS-GNR to the NIR laser resulted in photothermolysis of the tested bacteria even at low concentrations (0.06 nM and 1.25 nM of PEG-GNR and PS-GNR respectively). The photothermal ablation...
was evidenced by the dramatic thermal elevation of the tested GNR suspensions. However, the bactericidal activity of GNR themselves before laser exposure could not be excluded.

Studies investigated the photothermal ablation activity of functionalized GNR on either *S. aureus* or *P. acnes* are lacking in the literature. In a recent study, authors demonstrated that the laser-activated GNP treatment (8 ns pulsed laser irradiation at a wavelength of 532 nm and fluences ranging from 1 to 5 J/cm) reduced the bacterial viable count to 31% of control in the methicillin-sensitive *S. aureus* population, while the viable count in the MRSA population was reduced to 58% of control25. Another study by Castillo-Martínez et al. mentioned that the value of minimum bactericidal concentration for CTAB-GNR was much less for *S. aureus* exposed to NIR laser (wavelength of 810 nm and a 200 mW broadcast power) compared to that without exposure26. Furthermore, Kim et al. found that the NIR light provided rapid killing of 99.9% of the *S. aureus* and *E. coli* exposed to functionalized GNR37. However, comparison between the results of the current study and the previous published reports is difficult due to differences in shape and surface functionalities of the tested GNP, in addition to the differences in the type of laser used and its maximum power.

For efficient photothermal therapy, GNP should successfully attach to the bacterial cells, so the localized heating that results during NIR irradiation will cause irreversible and permanent bacterial cellular damage35,39. Accordingly, the surface properties of GNR have a determinant role in directing the GNR towards the targeted bacteria in order to maximize their photothermal properties upon laser excitation. Capping the GNR with PEG increases their compatibility with biological media, because it decreases the non-specific protein binding and promotes their stability in the biological matrices, and subsequently improves their uptake by bacteria40. Moreover, Xiao-Yan et al. demonstrated that the effective absorption of the PEG-GNR by *Staphylococcus* bacteria is a detrimental factor in the photothermal antibacterial activity31.

On the other hand, it was reported that cationic and hydrophobic functionalized GNP effectively suppressed the growth of both Gram-negative and Gram-positive bacteria39. Accordingly, we suppose that the hydrophobic interactions might be responsible for the antimicrobial activity of PS-GNR on both *S. aureus* and *P. acnes*. However, the concentration range of PS-GNR needed to achieve the highest percentage of killing is much higher than that for PEG-GNR, which might be attributed to the different methods employed to evaluate the bactericidal activity or might be due to the less bacterial uptake of hydrophobic PS-GNR than PEGylated GNR.

**Characterization of the photothermal antibacterial activity of PEG-GNR on *S. aureus** using transmission electron microscope (TEM).** In order to understand the uptake mechanism of PEG-GNR by *S. aureus* and the killing effect of NIR laser exposure, TEM images were obtained for untreated *S. aureus* (control), *S. aureus* exposed to NIR laser beam alone and *S. aureus* pre-exposed to PEG-GNR then to laser beam.
Figure 5. Effect of NIR laser exposure of bacteria pre-treated with PS-GNR. (A) Bacterial viable count of *S. aureus* treated with different concentrations (nM) of PS-GNR compared to those treated with PS-GNR followed by exposure to laser beam. (B) Bacterial viable count of *P. acnes* treated with different concentrations (nM) of PS-GNR compared to those treated with PS-GNR followed by exposure to laser beam. Control: bacteria exposed to laser beam alone without GNR.

Figure 6. Percentage reduction of bacterial viable count of (A) *S. aureus* and (B) *P. acnes* pre-treated with PEG-GNR alone compared to those treated with PEG-GNR followed by laser beam exposure. *Percentage reduction of bacterial viable count ≥99.99%, i.e. ≥4 log_{10} cycles. The standard deviations for the first three bars are very small.
Figure 9A shows TEM image of untreated *S. aureus*. The majorities of bacterial cells are intact and have a well-defined cocci shape. Figure 9B shows *S. aureus* exposed to NIR laser beam without treatment with PEG-GNR. Although the majorities of bacterial cells are intact and have well defined cocci shape, few cells were disintegrated upon laser exposure. Figure 9C shows TEM image of *S. aureus* pre-treated with PEG-GNR, then exposed to NIR laser beam. The image reveals high uptake of PEG-GNR by the cellular wall although we could not exclude the possibility of uptake of PEG-GNR inside cells. The image shows three cells have undergone lysis and disintegration which might be caused by the local heat generated by GNR after exposure to laser irradiation.

Our overall results suggest that the local heat generated upon exposure of functionalized GNR to NIR laser has a significant reduction of bacterial viable count ($\geq 4 \log_{10}$) against skin pathogens such as *S. aureus* and *P. acnes* compared to functionalized GNR or laser beam alone. Such significant reduction could produce potent antibacterial activity and minimum side effects using minimum concentration of GNR.

**Methods**

**Chemicals and instruments.** The following materials were obtained from Sigma Aldrich Chemicals, USA: chloroauric acid (HAuCl$_4$.3H$_2$O, 99.9%), sodium borohydride (NaBH$_4$, 99%), silver nitrate (AgNO$_3$, 99%), polystyrene thiol (PS-SH), average Mw11,000 g/mole, ascorbic acid (99%), methoxy poly ethylene glycol thiol (m-PEG-SH, Mw ~ 2000 g/mole), cetyltrimethylammonium bromide (CTAB, 99%), poly-L-lysine solution and epoxy embedding medium kit.

Glutaraldehyde (25%) was obtained from Kock-Light Laboratories Ltd., UK. Formvar coated copper TEM grids (300 mesh) were obtained from Ted Pella Inc., Canada. 96-well plates and 24-well plates were obtained from Greiner bio-one, Germany.

Nutrient broth, reinforced clostridial broth, agar bacteriological (agar No. 1), nutrient agar, Mueller Hinton broth, *S. aureus* ATCC 29213 and *P. acnes* ATCC 11827 were obtained from Oxoid, UK. CO$_2$ generator sachets (15%) were obtained from Thermo Fisher Scientific, USA. Cellulose acetate filter membranes (0.20 μm) were obtained from Albet, Germany.

UV-vis absorption spectra of GNR were determined using UV-vis spectrophotometer (Spectrascan80D, Biotech Eng., UK) over the range of 400 to 1100 nm. TEM images were obtained using Versa 3D, FEI, Holland, operating at 30 kV. Zeta potential analysis was performed using Microtrac Zetatrac, Betatek Inc., Canada. Centrifugation of suspensions was performed using Centrifuge Z 216 M, Hermel, abortechnik, Germany. Laser diode, 808 nm, Max power output ~1250 mW was obtained from Power Technology, Inc., USA. A digital thermometer probe (Extech, FLIR Commercial Systems Inc., USA) was used to measure the temperature of GNR solutions. All chemicals were used as received from suppliers and all solutions used in preparation of GNP were prepared with purified 18.2 MΩ water.
Synthesis and characterization of CTAB capped GNR (CTAB-GNR), PEG-GNR, and PS-GNR. CTAB-GNR were synthesized and then functionalized with PEG-SH or PS-SH to obtain PEG-GNR and PS-GNR and were characterized as reported previously32.

Bactericidal activity of PEG-GNR alone or in combination with laser beam on S. aureus and P. acnes. Overnight culture of S. aureus and 72 h anaerobic culture (15% CO₂) of P. acnes were used in this study. Percentage reduction of viable count was calculated over different concentrations of GNR using two fold broth micro dilution method in 96-well plates. Double-strength medium (100μL) of Mueller Hinton broth for S. aureus or reinforced clostridial broth for P. acnes was used to fill the first experimental well. The other wells were filled with single-strength medium (100μL). A volume of 100μL of PEG-GNR suspensions (4.0 nM) was added to the first well. Two fold serial dilutions were then carried out across the plate. A volume of 10μL of the cultured microorganisms (S. aureus or P. acnes) was used to inoculate each well to achieve an inoculum size of ca. 1.5 × 10⁹ CFU/mL. Negative controls were performed with only sterile broth, and positive controls were performed with only bacterial culture with media in the wells. The plates were then incubated aerobically at 37 °C for S. aureus or anaerobically at 37 °C for P. acnes for 15 min. After that, wells containing PEG-GNR at concentrations of: 0.25, 0.125, 0.06, 0.03 and 0.015 nM and the positive control were exposed to a laser beam (808 nm, average optical density ~3 W/cm²), covered an area of ~0.5 cm² for 15 minutes. A replicate plate was prepared and incubated at 37 °C for 30 min and was not laser treated. Subsequently, bacterial viable count in each well was determined using tenfold serial dilution and the standard spread plate methods, and the percentage of bacterial viable count reduction upon treatment with PEG-GNR alone or after exposure to the laser beam was calculated31. From each well, as well as from the control, a volume of 100μL was transferred to 900μL of normal saline. Tenfold serial dilutions were carried out and 100μL of each diluted mixture, were spread onto nutrient agar plates for S. aureus or reinforced clostridial agar for P. acnes. Plates were subsequently incubated at 37 °C for 24 hr aerobically for S. aureus or under anaerobic condition at 37 °C for 72 hr for P. acnes. Plates contain from 30 to 300 colonies were counted and the viable count of bacteria treated with PEG-GNR alone and those treated with PEG-GNR and laser beam was calculated using Equation (1):

\[
\text{Test count result (CFU/mL) = \frac{\text{No. of colonies } \times \text{ Dilution factor}}{\text{Volume of culture plate (mL)}}.}
\]
The percentage of bacterial reduction was calculated using Equation (2):

$$\text{% Reduction} = \frac{\text{Initial count (CFU/mL)} - \text{Test result (CFU/mL)}}{\text{Initial count (CFU/mL)}} \times 100.$$  

The experiments were done in triplicate.

**Bactericidal activity of PS-GNR alone or in combination with laser beam on S. aureus and P. acnes.** For determination of antibacterial activity of hydrophobic PS-GNR, the following method was developed and optimized. An equivalent volume of 10 μL of PS-GNR of the following concentrations: 5.0, 2.5, 1.25, 0.625, 0.312, 0.156 nM and toluene (the vehicle used to suspend the PS-GNR) were applied onto the centers of sterile filter membranes (0.2 μm). The filter membranes were then dried and inoculated with a volume of 10 μL of the cultured microorganisms; *S. aureus* or *P. acnes* at an inoculum size of ca. 1.5 × 10⁵ CFU/filter and put onto nutrient agar plates for *S. aureus* or onto reinforced clostridial agar plates for *P. acnes*. A filter membrane inoculated with bacterial culture (10 μL) was used as a control. The plates were then incubated for 15 min at suitable conditions. After that, filter membranes containing the following concentrations of PS-GNR: 5.0, 2.5, 1.25, 0.625, 0.312, 0.156 and the controls were exposed to a laser beam (808 nm, average optical density ~3 W/cm²), covered an area of ~0.5 cm² for 15 minutes. A replicate of inoculated PS-GNR filter paper was prepared and incubated at 37 °C for 30 min without laser treatment.

To assess the bactericidal activity of PS-GNR, the percentage reduction of bacterial viable count was calculated using tenfold serial dilutions and the standard spread plate methods. After incubation, each filter membrane was removed from the plate, soaked into 1000 μL normal saline and vortexed for 1 min. Tenfold serial dilution were carried out and 100 μL of each diluted mixture were spread onto agar plates and processed as described in the previous section. Bacterial viable count and percentage reduction in bacterial viable count were calculated using Equations (1) and (2) respectively.
Measurements of the photothermal heating of PEG-GNR in solutions and PS-GNR on agar plates upon exposure to laser beam. A 250 μL volume of PEG-GNR (the highest three concentrations) in a 96 well plate was exposed to a NIR laser (808 nm) at an average optical density of ~3 W/cm² and a spot area ~0.5 cm² at increasing exposure times. The changes in temperature of the irradiated PEG-GNR solutions were measured by placing a digital thermometer probe directly into the solutions. The temperature increase of a 250 μL of ultrapure water was also measured upon laser exposure, and the temperature increase of the water was subtracted from that of the PEG-GNR solutions in order to account for any heat generated from the laser itself. All initial temperatures were 21.0 ± 0.5°C. The change in temperature of the PS-GNR on the filter membrane upon laser exposure was measured by placing the digital thermometer probe directly on the spot of laser exposure on the agar plate. The temperature of the filter membrane on the agar plate (without PS-GNR) was measured upon laser exposure and the temperature increase of the filter membrane was subtracted from that of the PS-GNR in order to account for any heat generated from the laser itself. All initial temperatures were 19.2 ± 0.6°C. The experiments were done in triplicate.

TEM imaging of S. aureus treated with PEG-GNR and exposed to laser beam. A volume of 500 μL of PEG-GNR (4.0 nM) was mixed with 1.5 mL of cultured S. aureus (1.5 × 10⁶ CFU/mL) and incubated for 15 min. The mixture was then exposed to the laser beam. Then, the mixture was centrifuged at 12 000 rpm for 12 minutes and the resultant pellets were fixed in 3% glutaraldehyde, post fixed in 2% osmium tetroxide once per week or ibapenem with Bevacizumab as first-line chemotherapy for locally recurrent or metastatic breast cancer: CALGB 40502/NCCTG N063H (Alliance). J. Clin Oncol. 33, 2361–2369 (2015).

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Author Contributions
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Additional Information
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