Healthcare processes of laboratory tests for the prediction of mortality in the intensive care unit: a retrospective study based on electronic healthcare records in the USA

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ABSTRACT

Objectives Healthcare process carries important prognostic information for patients, but the healthcare processes of laboratory tests have not yet been investigated for patients in the intensive care unit (ICU). The study aimed to investigate the effect of healthcare processes of laboratory tests on hospital mortality, with the hypothesis that the addition of healthcare processes could improve the discrimination for mortality outcome.

Design The study included 12 laboratory tests. There were two dimensions for each laboratory test. One was the pathophysiology value; and the other was the healthcare process variables including the clock hour, the number of measurements and the measurement time from ICU admission. Generalised additive model was employed to investigate the effect of continuous variables on mortality. Generalised linear models with and without healthcare process variables were compared for their discrimination power.

Setting ICUs in an US-based hospital.

Participants Adult patients included in the critical care big data Medical Information Mart for Intensive Care.

Primary and secondary outcome measures The hospital mortality was the primary outcome.

Results A total of 52,963 adult patients with complete ICU stay information were included for analysis. The mortality rate was 12.3%. Lower number of tests such as 1–3 times were associated with the lowest mortality for most laboratory tests. However, the hematocrit, glucose and potassium required 6–10 measurements for the first 24 hours to reach the lowest mortality rate. In n of the 12 prediction models involving laboratory tests, the addition of healthcare process variables was associated with significantly increased area under receiver operating characteristics.

Conclusions The study showed that healthcare processes of laboratory tests were independently associated with hospital mortality. The addition of healthcare processes to the pathophysiology value could increase the discrimination for mortality outcome.

INTRODUCTION

Electronic healthcare records (EHRs) have been widely used in modern hospitals, allowing the generation of a massive data via routine clinical practice. These data can help to improve healthcare management and provide large volume of data for medical researches. Laboratory tests are one of the most widely used variables in healthcare and biomedical researches because they are structuralised in most EHRs. There are generally two dimensions of a laboratory test which are the pathophysiological value and the healthcare process. The idea is that EHR laboratory test data reflect both the pathophysiological value and the healthcare process. The study employed a large clinical database showing that healthcare processes of laboratory tests were independently associated with hospital mortality.

Strengths and limitations of this study

► The study employed a large clinical database showing that healthcare processes of laboratory tests were independently associated with hospital mortality.
► The addition of the healthcare processes to the pathophysiology value could increase the discrimination for mortality outcome.
► Healthcare process modelling can be incorporated into the assessment of the severity of illness on the first 24 hours after intensive care unit (ICU) admission.
► Impact of healthcare processes of laboratory tests can be different for patients in ICU and those in the outpatient or general ward.
► The study is limited by a single-centre design in that different institutions may have different healthcare process that can have distinct impact on mortality outcome.

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of the test, can therefore provide additional insight into the patient’s health, which can improve the accuracy of predictive models. Some widely investigated healthcare processes, including the timing of hospital admission, surgical procedures on weekends and handover during anaesthesia, have been shown to be associated with clinical outcomes. More recently, healthcare processes of the laboratory tests, such as the hour of day for ordering a test, day of the week and period since the last test, have been investigated in the hospitalised patients, and the results showed that these healthcare process variables were associated with the survival outcome, independent of their pathophysiology values. While pathophysiology values directly measures patients’ health, the healthcare process variables such as the frequency of a test can reflect providers’ knowledge of their patients’ state of health.

Intensive care unit (ICU) is a hospital ward where critically ill patients are closely monitored, generating a high granularity of data. Several healthcare processes have been analysed in the ICU, such as ICU admission outside daytime hours, weekend admission and the time of discharge. However, the healthcare processes of laboratory tests have not been investigated in ICU. The study aimed to investigate the effect of healthcare processes of laboratory tests on hospital mortality. We hypothesised that (1) healthcare processes (eg, clock time of laboratory tests, number of measurements and the time from ICU admission to tests) of laboratory tests are independently associated with the hospital mortality and (2) the models including pathophysiology values and healthcare processes would have greater discrimination power than the models with pathophysiology values alone.

**METHODS**

**Data source**

A large US-based critical care database named Medical Information Mart for Intensive Care (MIMIC-III) was employed for this study. MIMIC-III integrates deidentified, comprehensive clinical data of the patients admitted to the ICUs of Beth Israel Deaconess Medical Centre in Boston, Massachusetts, from 1 June 2001 to 31 October 2012. There were 53,423 distinct hospital admissions for adult patients (aged 16 years or above) admitted to ICUs during the study period. The study was an analysis of the third-party anonymised publicly available database with pre-existing institutional review board approval. ZZ had obtained permission to access the database after completion of the course Data or Specimens Only Research (approval no: 28776374).

**Laboratory tests**

The study included 12 laboratory blood tests which were bicarbonate, hematocrit, potassium, blood urea nitrogen (BUN), glucose, white cell count (WBC), creatinine, pH, sodium, albumin and bilirubin. These variables were chosen because they were included in the acute physiological score component of the Acute Physiology and Chronic Health Evaluation (APACHE) III score, indicating that their pathophysiology values were good measures of the acute severity of illness. The charting time of these tests was extracted. In the MIMIC-III database, the ‘chart time’ of a laboratory variable is the time at which a measurement is recorded. In almost all the cases, this is the time which best matches the time of actual measurement. The laboratory variables measured within the first 24 hours after ICU admission were obtained for the analysis. The time window was defined as the difference between the chart time of laboratory test and the ICU admission time, and it should be less than 24 hours. The reason for considering only the first 24 hours was because many severity scores like APACHE II or III were calculated during this time window, and mortality prediction was made based on variables measured in this time window. The laboratory tests were collected for routine patient care, not for the research purpose. The quality and reliability of the tests were the same as those used for patient care. The measurements were performed by the laboratory of Beth Israel Deaconess Medical Center in Boston.

There were two dimensions for each laboratory test. One was the pathophysiology value, which was well known as a measure of health conditions. The other value was the healthcare process variables including the hour of the day when a test was ordered, the number of repeated measurements of a test for the first 24 hours after ICU admission, and the measurement time relative to ICU admission.

**Statistical description**

Baseline characteristics of the entire cohort were expressed as the median and IQR for continuous variables, and as the number and percentage for categorical variables. The length of stay (LOS) in the ICU and hospital were expressed as a median and IQR. Mortality outcome was expressed as the number and corresponding percentage.

**Generalised additive model**

Generalised additive model (GAM) was employed to model the effect of continuous variables (eg, clock hour for ordering the test, number of tests within 24 hours and pathophysiology value) on mortality outcome. One GAM was built for each variable of the interest. Other confounding factors such as the age, Sequential organ failure assessment (SOFA) score, gender and admission type were all entered into the models. Smooth terms are represented using the penalised regression splines (or similar smoothers) with smoothing parameters selected by the generalised cross-validation. The statistical output of GAMS was not directly interpretable for subject-matter audience; thus, the partial effect of the continuous variable of interest (eg, pathophysiology value, number of measurements...
and hours of the day) was displayed by plotting the probability of mortality against each of the continuous variables.

**Generalised linear model**

While the GAM model helped to identify partial effects of the variable of interest on mortality outcome, the generalised linear model (GLM) was employed to report OR of the effects. Specifically, the continuous variables of interest were converted into the categorical variables, and then entered into the GLM for each of the variables. The response variable was the hospital mortality with logistic link function.\(^\text{17 18}\) Pathophysiology values were categorised according to their normal ranges, as well as by the cut-off points indicated by the regression splines used in the GAM.\(^\text{19}\) The number of measurements was categorised at 3, 6 and 10. Hours of the day were categorised by 6:00; 8:00, 14:00, 18:00 and 24:00 hours. For the investigation of the discrimination of the pathophysiology and healthcare process variables, the total sample was split into the training and testing data sets (9:1). Two logistic regression models were built for each laboratory test. Model 1 included healthcare process variables (clock hours, number of measurements) and pathophysiology value; model 2 included only the pathophysiology value. The two models were trained with the training data set, and their discriminations for predicting mortality were calculated using the test data set. Discriminations of the models were represented by the area under receiver operating characteristics (AUROC). AUROCs of the two models were compared by using the DeLong method for each laboratory test.\(^\text{20}\) Due to the large sample size and multiple comparisons in the analysis, we did not use \(p<0.05\) as the cut-off for the judgement of statistical significance. Instead, we reported the point estimates along with CIs.\(^\text{21}\)

**Patient and public involvement statement**

Patients and the public were not involved in the design or planning of the study.

**RESULTS**

**Baseline characteristics of the study population**

A total of 51,882 adult patients with complete ICU stay information were included for the analysis (excluding 1081 patients staying in ICU for less than 24 hours). There were a total of 29,843 male patients (56.3%). The median LOS for the entire hospitalisation was 7 days (IQR: 4–13 days). The median age of the cohort was 66 years (IQR: 53–78 years). The admission type included emergency

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**Figure 1** Distribution and impact of pathophysiology value and healthcare processes of PaO2 on mortality outcome. ICU, intensive care unit.
(44 244; 83.5%), elective surgery (7391; 14.0%) and urgent surgery (1328; 2.5%). A total of 6531 patients (12.3%) died during the study period. The median LOS in the ICU was 2 days (IQR: 1–4 days).

GAM to investigate the relationship between the pathophysiology value, healthcare process and mortality

GAM for PaO2 is shown in figure 1. The distribution of maximum and minimum values of PaO2 is shown in the top two panels. While the maximum value of PaO2 showed two peaks at 100 and 400 mm Hg, the minimum value had one peak at around 80 mm Hg. Since the maximum value of PaO2 might reflect the corrected value such as the use of mechanical ventilation, it may not reflect the true underlying lung injury. The minimum value of PaO2 was more likely to reflect the hypoxia status. As expected, the probability of the hospital death declined from over 25% at severe hypoxia (PaO2 < 50 mm Hg) to 11% at PaO2 of 120 mm Hg. Thereafter, the changes in the mortality outcome were unstable with wide CIs. The first panel in the third row shows the effect of hour of the day when PaO2 was measured on the mortality outcome. It appeared that PaO2 measured at 10:00 to 12:00 was associated with the lowest risk of death. The PaO2 measured at 00:00 to 6:00 was associated with high risk of death. For the number of measurements, it reached a plateau at a range of 3–6 times (table 1). Patients measured for 10–15 times for the first 24 hours showed a low mortality rate. However, as the PaO2 being obtained frequently, it is likely because another part of the blood gas was being followed closely. The PaO2 was a reflection of the blood gas measurement. Thus, we further showed the association of healthcare processes of pH on mortality (figure 1).

Generalised linear model

The results of GLM for PaO2 are shown in table 1. Table 2 displays a summary of the GLM results. As expected, pathophysiology values within the normal range were consistently associated with the lowest mortality for all laboratory tests. The number of tests associated with lowest mortality varied among these laboratory tests. Lower number of tests such as 1–3 times were associated with the lowest mortality for most laboratory tests. However, the hematocrit, glucose and potassium required 6–10 measurements for the first 24 hours to reach the lowest mortality rate. The derangements in these blood parameters are associated with acute pathophysiological deterioration or even sudden death. Therefore, close monitoring of these blood parameters is required to improve survival outcome. For example, hyperkalaemia can cause sudden cardiac arrest, acute drop in hematocrit is an indication of massive bleeding and hypoglycaemia can cause irreversible brain injury. Other tests such as albumin, WBC and bicarbonate were not a reflection of sudden deterioration, but were associated with survival outcome. However, if some urgent tests such as hematocrit, glucose and potassium were not ordered within 24 hours after ICU admission, the mortality rate could get escalated. For most of the tests, the measurement at 00:00–6:00 hours was associated with the lowest mortality rate.

Comparison of models with and without healthcare process variables

Comparison of models with and without healthcare process variables is shown in table 3. For albumin, hematocrit and potassium, the addition of healthcare process variables was not associated with improved prediction accuracy, as compared with the model with pathophysiology value alone. For other laboratory tests, the addition of healthcare process variables was associated with significantly increased AUROC.

Table 1 OR for the association of healthcare process variables and hospital mortality by adjusting for pathophysiological value of PaO2

| Variables | OR (95% CI) | P value |
|-----------|-------------|---------|
| Age (with every 1 year increase) | 1.03 (1.02 to 1.03) | <0.001 |
| Gender (female as reference) | 0.93 (0.88 to 0.99) | 0.026 |
| Admission type (elective as reference) | | |
| Emergency | 3.61 (3.14 to 4.16) | <0.001 |
| Urgent | 3.25 (2.59 to 4.08) | <0.001 |
| SOFA (with every 1 point increase) | 1.32 (1.31 to 1.33) | <0.001 |
| Number of measurement (none as reference)* | | |
| 1–3 | 2.11 (1.76 to 2.53) | <0.001 |
| 3–6 | 2.02 (1.59 to 2.56) | <0.001 |
| 6–10 | 1.08 (0.83 to 1.41) | 0.558 |
| >10 | 0.59 (0.44 to 0.80) | 0.001 |
| Minimum PaCO2 (<50 mm Hg as reference) | | |
| >150 mm Hg | 1.06 (0.92 to 1.23) | 0.425 |
| 50–70 mm Hg | 0.82 (0.72 to 0.94) | 0.004 |
| 70–80 mm Hg | 0.63 (0.54 to 0.73) | <0.001 |
| 80–150 mm Hg | 0.64 (0.56 to 0.72) | <0.001 |
| Clock time | | |
| 0:00–6:00 | 1.10 (1.00 to 1.22) | 0.112 |
| 6:00–8:00 | 0.93 (0.85 to 1.02) | 0.015 |
| 8:00–14:00 | 0.89 (0.80 to 0.98) | 0.054 |
| 14:00–18:00 | 0.91 (0.84 to 1.00) | 0.417 |
| 18:00–24:00 | 0.96 (0.88 to 1.06) | 0.009 |
| Measurement time from ICU admission (none as reference) | | |
| Within 6 hours | 1.19 (1.04 to 1.35) | 0.163 |
| From 6 to 12 hours | 1.07 (0.97 to 1.19) | <0.001 |
| Above 12 hours | 1.32 (1.19 to 1.47) | <0.001 |

*The number of measurements was calculated as the number of measurements ordered within the first 24 hours after ICU admission. The generalised linear model was employed for binary outcome mortality.
Our study found that healthcare processes (e.g., clock time of laboratory tests, number of measurements and the time from ICU admission to tests) of the laboratory tests were independently associated with the hospital mortality; and the addition of the healthcare processes to the pathophysiology value could increase the discrimination for mortality outcome. The healthcare process patterns of the laboratory tests were different according to the type of tests. For example, 6–10 measurements of hematocrit, glucose and potassium for the first 24 hours after the ICU admission were associated with the lowest mortality rate. For other less urgent tests such as BUN, WBC and creatinine, one to three measurements in the first 24 hours is associated with the lowest mortality.

The healthcare process of laboratory tests has been investigated in hospitalised patients. Agniel and coworkers enrolled 669,452 hospitalised patients and investigated the association of healthcare process of laboratory tests and 3-year survival. They found that the WBC measured in the early morning was associated with the lowest hospital mortality. This could be explained by the different settings of the two studies. There was a substantial difference in the healthcare process in ICU and general hospital wards and/or outpatient. It can be proposed that close monitoring for ICU patients is required to circumvent catastrophic deterioration, and measurements outside daytime hours might help to identify potential lethal conditions and thus to improve outcome. Another explanation is that the physician will typically stop treatment if the chance of survival is very low. Perhaps this is why high ICU mortality was seen when tests were not ordered. However, the condition can be different for general ward or outpatient, if a measurement outside of daytime hour is ordered, it is suggestive of poor conditions irrespective of the pathophysiology value of the test. Agniel’s study showed that the predictive models combined with the pathophysiology values and healthcare process were better than the models with either dimension alone in 168 out of the 272 (68%) investigated laboratory tests. The result was consistent with our study that nine out of 12 (75%) of the tests had the better predictive model with both dimensions included.

**DISCUSSION**

**Figure 2** Distribution and impact of pathophysiology value and healthcare processes of pH on mortality outcome. ICU, intensive care unit.
There are several clinical implications brought by the present study. First, although a causal statement cannot be made, this study indicates that physicians should not delay or omit ordering some critical tests such as glucose, hematocrit and potassium for ICU patients. Second, different patterns of healthcare process could be identified for different tests, and such information can be employed to identify outlier clinicians or practices. Third, healthcare process modelling can be incorporated into the assessment of the severity of illness within the first 24 hours after ICU admission. Some laboratory tests required in severity scores might be missing, and current modelling practice is to impute missing values or simply exclude patients with missing values.23 However, our study found that the missing value per se carried important prognostic information and should be added to the assessment of the severity of illness. Similarly, a test ordered in the early morning can be different from that ordered at night. These healthcare process variables can be added to modelling predictive models. Fourth, healthcare process dimension can be incorporated into designing clinical studies. Randomised controlled trials (RCT) are often designed to minimise variations in clinical practice, which is a reason for the difference in effect sizes between the RCT and real-world setting. Since the healthcare process carries important prognostic information, trials can be designed by a pragmatic stratification of patients according to likely disease severity according to healthcare process variables.

There are several limitations in the current study. First, the study investigated only 12 tests and it is unknown whether the results could be generalised to other tests. These 12 tests were specifically chosen because they were important prognostic tests in the first 24 hours after ICU admission (eg, the tests included in the acute physiology score for APACHE III). Other less commonly ordered tests were not included because they would produce sparsity in the data frame. Second, the study was based on a single centre and the healthcare process pattern may not be generalisable to other institutions, because the timing and frequency of tests can depend on local hospital practice patterns. However, we believe that the healthcare process variables can add more prognostic information to the pathophysiology values, irrespective of what healthcare process pattern it is. Furthermore, the healthcare processes can be influenced by the control of the practitioner, and therefore better to include variables unlikely being changed by preferences for predictive purpose. Third, underlying reasons for repeated measurements within 24 hours could not be investigated in the study, which was a source of bias known as the confounding by indication. For example, repeated measurements of PaO2 can be indicative of deteriorating respiratory function, or simply due to routines for patients on mechanical ventilation. These issues can be addressed specifically for each test in future studies.

| Variables     | Pathophysiological value | Number of test | Clock time | Time from ICU admission |
|---------------|--------------------------|----------------|------------|-------------------------|
|               | Lowest mortality         | Highest mortality | Lowest mortality | Highest mortality |
| Bicarbonate (mEq/L) | 20–30 | <10 | Not tested | 3–6 | 0:00–6:00 | 18:00–24:00 | Over 12 hours | Within 6 hours |
| Potassium (mEq/L)     | 3.5–4.5 | 5.5–8.2 | 6–10 | 3–6 | 0:00–6:00 | 6:00–8:00 | Over 12 hours | Within 6 hours |
| Glucose (mg/dL)       | 60–200 | 350–861 | 6–10 | Not tested | 0:00–6:00 | 18:00–24:00 | Over 12 hours | Within 6 hours |
| WBC (K/uL)            | 3–10 | >20 | 1–3 | 6–10 | 0:00–6:00 | 18:00–24:00 | Over 12 hours | Within 6 hours |
| Hematocrit (%)        | 40–50 | >50 | >10 | 3–6 | 0:00–6:00 | 6:00–8:00 | Over 12 hours | Within 6 hours |
| BUN (mg/dL)           | Not tested | 80–172 | 1–3 | >10 | 0:00–6:00 | 18:00–24:00 | Over 12 hours | Within 6 hours |
| Sodium (mEq/L)        | 135–145 | 150–165 | 3–6 | Not tested | 0:00–6:00 | 18:00–24:00 | Over 12 hours | 6–12 hours |
| Albumin (g/dL)        | 4–5.4 | <1.5 | Not tested | 1–3 | 0:00–6:00 | 14:00–18:00 | 6–12 hours | Over 12 hours |
| C-reactive protein (mg/dL) | <2 | >8 | 3–6 | 6–10 | 0:00–6:00 | 8:00–14:00 | Over 12 hours | 6–12 hours |

BUN, blood urea nitrogen; ICU, intensive care unit; WBC, white cell count.
CONCLUSION
In conclusion, this study shows that the healthcare processes of laboratory tests were independently associated with the hospital mortality; and the addition of these healthcare process variables to the pathophysiology value could increase the discrimination of the model for predicting the mortality outcome. However, variations of the impacts of healthcare process on mortality exist for different tests, which requires further investigations.

Contributors ZZ conceived of the study; YH carried out data entry. YH participated in the data check and interpretation. ZZ performed statistical analysis and drafted the manuscript. TL and HG helped to review and interpret the results. All authors read and approved the final manuscript. ZZ is the guarantor of the paper.

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Competing interests None declared.

Patient consent for publication Not required.

Ethics approval The study was an analysis of the third party anonymised publicly available database with pre-existing institutional review board approval. ZZ had obtained permission to access the database after completion of the course Data or Specimens Only Research (approval no: 28776374).

Provenance and peer review Not commissioned; externally peer reviewed.

Data sharing statement The data were available by contacting the authors.

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Table 3 Discrimination performance of laboratory test for the prediction of hospital mortality in logistic regression models with and without healthcare process variables

| Variables   | AUC for model 1 (95% CI) | AUC for model 2 (95% CI) | P value* |
|-------------|--------------------------|--------------------------|----------|
| PaO2        | 0.664 (0.634 to 0.694)   | 0.639 (0.609 to 0.670)   | 0.001    |
| Hematocrit  | 0.605 (0.573 to 0.636)   | 0.583 (0.554 to 0.613)   | 0.147    |
| Potassium   | 0.612 (0.580 to 0.644)   | 0.600 (0.570 to 0.631)   | 0.356    |
| Albumin     | 0.594 (0.567 to 0.622)   | 0.590 (0.563 to 0.618)   | 0.333    |
| Glucose     | 0.630 (0.601 to 0.660)   | 0.555 (0.534 to 0.575)   | <0.001   |
| Bilirubin   | 0.611 (0.581 to 0.640)   | 0.603 (0.574 to 0.632)   | 0.022    |
| Bicarbonate | 0.692 (0.661 to 0.723)   | 0.664 (0.635 to 0.694)   | 0.001    |
| WBC         | 0.634 (0.603 to 0.666)   | 0.610 (0.580 to 0.641)   | 0.015    |
| BUN         | 0.681 (0.650 to 0.712)   | 0.665 (0.635 to 0.695)   | 0.025    |
| pH          | 0.665 (0.635 to 0.695)   | 0.636 (0.605 to 0.666)   | 0.001    |
| Sodium      | 0.615 (0.583 to 0.647)   | 0.587 (0.558 to 0.616)   | 0.043    |
| Creatinine  | 0.671 (0.640 to 0.701)   | 0.620 (0.590 to 0.650)   | <0.001   |

*The AUCs of two ROC curves were compared using DeLong method, and p values for the comparisons were reported.

Model 2 included only the pathophysiology value; model 1 included healthcare process variables (number of test for the first 24 hours, clock time of the test and the measurement time relative to ICU admission) and the pathophysiology value of corresponding test.

AUC, area under curve; BUN, blood urea nitrogen; ROC, receiver operating characteristic; WBC, white cell count.

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