Derivation and Analysis of Lattice Boltzmann Schemes for the Linearized Euler Equations

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Abstract

We derive Lattice Boltzmann (LBM) schemes to solve the Linearized Euler Equations in 1D, 2D, and 3D with the future goal of coupling them to an LBM scheme for Navier Stokes Equations and an Finite Volume scheme for Linearized Euler Equations. The derivation uses the analytical Maxwellian in a BGK model. In this way, we are able to obtain second-order schemes. In addition, we perform an $L^2$-stability analysis. Numerical results validate the approach.

Keywords: Lattice Boltzmann Method, Finite Discrete Velocity Models, Linearized Euler Equations, Asymptotic Analysis, Stability

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1 Introduction

In the field of computational aeroacoustics (CAA) techniques from computational fluid dynamics are used to predict aeroacoustic phenomena. In a project with an industry partner, we are investigating the aeroacoustic far-field generated by highly vortical flows streaming through a flat plate silencer built from porous media. Hasert [20] identified three different length-scales within this setting: 1. the size of pores within the porous medium ($\mathcal{O}(10\mu\text{m})$); 2. the length of the vortical flow ($\mathcal{O}(\text{mm})$); and 3. the dimension of the inviscid acoustic far-field ($\mathcal{O}(\text{m})$). In order to resolve the acoustic effects of the porous medium properly,
the Lattice Boltzmann method (LBM) is used to solve the Navier-Stokes Equation (NSE). Since within the acoustic far-field viscosity can be neglected, we simulate the far-field using the Linearized Euler Equation (LEE). We plan to use Finite Volume methods (FVM) for solving the LEE, due to their conservation form and the large length-scale of the acoustic far-field. The latter point is important for keeping computational complexity manageable. In order to follow this approach, a coupling of the kinetic LBM for the NSE and the macroscopic FVM for the LEE is necessary.

This coupling itself introduces two difficulties. First, we need to couple the viscous NSE with the inviscid LEE. Here, the problem is that we expect an abrupt change between those models to introduce non-physical effects such as spurious reflections of sound waves. We plan to use a smooth transition model similar to [16] and [17] smearing the change in viscosity over a buffer zone in order to minimize these non-physical effects. Second, we need to couple the mesoscopic LBM with the macroscopic FVM. Here, the translation from mesoscopic particle density functions to macroscopic quantities can be done using moments while the other way round is non-trivial. Again, we are especially interested in avoiding non-physical effects such as the above mentioned spurious reflections of sound waves. In order to be able to handle both problems separately, we decided to split this coupling of both model and method into two steps: 1. switch the model from NSE to LEE; and 2. switch the method from LBM to FVM. This provides us with the possibility to separate the derivation of a smooth transition model for the change from NSE to LEE from the choice of appropriate translations of macroscopic to mesoscopic quantities. By this, we gain the freedom to choose approaches ideal for each problem. The drawback of this two-step approach is that it introduces a buffer-zone in which we need to solve the LEE using LBM. In this paper we present an intermediate step in the derivation of the needed LBM of the LEE used in the aforementioned buffer-zone: LBM schemes for the LEE without background velocity. Research on how to extend these LBM schemes to incorporate background velocities is already undergoing.

During the last 20 years, lots of research on the LBM was undertaken. He and Luo [22] showed that the LBM is not only a generalization of Lattice Gas Automata (LGA) but is a discretization of the Boltzmann Bhatnagar-Gross-Krook (BGK) equation. In [24] Junk et al. performed a rigorous analysis of the LBM using well-established tools from analysis of Finite Difference Methods (FDM). For the problem of stability of LBM, different approaches were investigated: 1. direct von Neumann analysis of the linearized LBM (Sterling and Chen [40], Lallemand and Luo [30]); 2. entropic LBM with equilibrium distributions that admit an $H$-theorem (Chen and Teixeira [13], Karlin et al. [27]); 3. rigorous stability analysis with respect to a weighted $L^2$-norm (Banda et al. [5], Junk and Yong [20], Junk and Yang [23]); and 4. application of concepts from nonequilibrium thermodynamics (Yong [44]). Bernsdorf et al. [6] first showed the suitability of the LBM for flows through complex geometries. In addition, Buick et al. [11], Dellar [18], Crouse et al. [15], Lallemand and Luo [30, 31], and Marie et al. [38] analyzed, tested, and discussed the LBM as tool for acous-
tic simulations. Based on the capability of the LBM for complex geometries and acoustics, Hasert et al. [21] and Hasert [20] used the LBM enhanced by a sub-grid model to resolve the acoustic field generated by a flow through a porous medium. By using the LBM, they were able to directly simulate the aeracoustic contributions of the individual pores. Independent of this, in the field of continuous analysis of the Boltzmann equation Bardos et al. [6] derived the Linearized Euler equations for monoatomic gases as limit of the continuous Boltzmann equation in acoustic scaling. This paper was the starting point of the derivations presented in this paper. The use of LEE for CAA offers a resource-saving alternative over the classical use of NSE due to their simpler, linear structure. Mankbadi et al. [37] used the LEE for simulation of supersonic jet noise. Further studies of the acoustic capabilities of the LEE were for example taken out by Bailly and Juvé [1] and Bogey et al. [10]. Roller et al. [39] showed that in a hybrid approach the LEE are a well-suited and efficient method for simulating the acoustic far-field.

This work is structured as follows. First, in section 2 we briefly present the Linearized Euler Equations without background velocity as used in this paper and briefly recapitulate the basics of the Boltzmann Equation. In section 3 we adapt the results by Bardos et al. [6] to the LEE for monoatomic gases as used in this paper. Based on this, we then derive the semi-discrete Finite Discrete Velocity Models for monoatomic gases in section 4 and generalize these results to polyatomic gases in section 5. In these sections, we will also post necessary conditions on the velocity models. In sections 6 and 7 we then present the fully discrete Lattice Boltzmann Equation and perform an analysis of consistency and stability of this equation. Based on the necessary conditions derived in sections 4 and 5 in section 8 we then present velocity models for monoatomic and diatomic gases respectively. In addition, stability of the LBM for these velocity models is analyzed using the results from section 7. Then, numerical results are presented and discussed in section 9. Finally, in section 10 we wrap up the results of this paper.

2 Theoretical Background

2.1 The Linearized Euler Equations

In the field of Fluid Dynamics the compressible Euler Equations describe inviscid flows. In settings in which the fluid flow is dominated by a constant background flow the Euler Equations can be linearized around this flow to reduce their complexity. Assume a constant background flow with density $\rho_0$, temperature $\theta_0$, and velocity $u_0$. Linearization of the Euler Equations around this background flow then yields the Linearized Euler Equations (LEE). In this setting the macroscopic variables of the fluid density $\rho$, velocity $u$, temperature
\( \theta \), and pressure \( p \) are given as:

\[
\begin{align*}
\rho &= \rho_0 + \epsilon \rho', \\
u &= u_0 + \epsilon \mathbf{u}', \\
\theta &= \theta_0 + \epsilon \theta', \\
p &= p_0 + \epsilon p'.
\end{align*}
\]

where the primed variables represent fluctuations around the background flow and the parameter \( \epsilon \) represents the scale of the fluctuations. The velocities \( \mathbf{u} \) and \( \mathbf{u}' \) are \( \mathbf{u} = (u_x, u_y) \) respectively in the 1D case, \( \mathbf{u} = (u_x, u_y, u_z) \) respectively in the 2D case, and \( \mathbf{u} = (u_x, u_y, u_z) \) respectively in the 3D case. The temperature \( \theta \) used throughout this paper does not describe the temperature \( T \) in Kelvin but the scaled temperature \( \theta = T R_{\text{specific}} \) where \( R_{\text{specific}} \) denotes the specific gas constant.

Since the pressure in an ideal gas is given by \( p = \rho \theta \), for the fluctuations in pressure we have \( p' = \rho_0 \theta' + \theta_0 \rho' \).

As already stated in the introduction, we focus on the LEE for flows without background velocity, i.e. \( u_0 = 0 \). This can either be the case if no background velocity is present, its magnitude is small enough to incorporate it into the fluctuations \( \mathbf{u}' \), or by choice of an appropriate Galilean frame. The assumption of such a Galilean frame can be easily justified for the continuous case. Under certain conditions, one might be able to implement such a Galilean frame for boundary-free problems using a moving lattice. The dimensional LEE without background velocity are given as follows:

\[
\begin{align*}
\frac{\partial \rho'}{\partial t} + \rho_0 \nabla_x \cdot \mathbf{u}' &= 0, \\
\rho_0 \frac{\partial \mathbf{u}'}{\partial t} + \rho_0 \nabla_x \theta' + \theta_0 \rho' &= 0, \\
\frac{1}{\gamma - 1} \rho_0 \frac{\partial \theta'}{\partial t} + \rho_0 \theta_0 \nabla_x \cdot \mathbf{u}' &= 0.
\end{align*}
\]

Here, \( \gamma \) denotes the adiabatic exponent of the gas which will be discussed in section 5. To obtain the non-dimensional variables \( \rho, \rho', \mathbf{u}', \theta, \theta', x, \) and \( t \) from the dimensional quantities \( \rho_0, \rho', \mathbf{u}', \theta_0, \theta', x, \) and \( t \) the following conversions are used:

\[
\begin{align*}
\rho &= \rho_0^* \rho_0, \\
\rho' &= \rho_0^* \rho', \\
\theta &= \theta_0^* \theta_0, \\
\theta' &= \theta_0^* \theta', \\
\mathbf{u}' &= u^* \mathbf{u}', \\
\mathbf{u} &= \mathbf{x}^* \mathbf{u}, \\
(s^*)^2 &= \theta_0^*, \\
x &= \mathbf{x}, \\
t &= t^* t,
\end{align*}
\]

where \( u^* \) is scalar. The non-dimensional LEE are:

\[
\begin{align*}
\frac{\partial \rho'}{\partial t} + \rho_0^* \nabla_x \cdot \mathbf{u}' &= 0, \\
\rho_0^* \frac{\partial \mathbf{u}'}{\partial t} + \rho_0^* \nabla_x \theta' + \theta_0^* \rho' &= 0, \\
\frac{1}{\gamma - 1} \rho_0^* \frac{\partial \theta'}{\partial t} + \rho_0^* \theta_0^* \nabla_x \cdot \mathbf{u}' &= 0.
\end{align*}
\]
As important outcome of this dedimensionalization, we are free to choose the non-dimensional background density $\rho_0$ and the temperature $\theta_0$ independently of each other. Therefore, a numerical scheme for non-dimensional flows with background density $\rho_0$ and temperature $\theta_0$ can be used to simulate dimensional flows with arbitrary dimensional background densities $\rho_0$ and temperature $\theta_0$. In sections 8 and 9 we will make heavy use of this important property of the LEE without background velocity.

2.2 The Boltzmann Equation

The Boltzmann equation describes fluids on the mesoscopic kinetic level. This means, it does neither model the interactions of distinct particles (microscopic level) nor the evolution of macroscopic properties (macroscopic level), such as density or pressure, but models the probability of particles at certain position and velocity. This is done via particle density functions defined as follows:

\[
F : T \times X \times V \rightarrow \mathbb{R}_0^+.
\]

Here, $X \subseteq \mathbb{R}^D$ denotes the spatial domain, $V = \mathbb{R}^D$ the velocity-space, $T \subseteq \mathbb{R}_0^+$ the time-frame of interest, and $D$ the dimension of the problem. In this paper we restrict the spatial domain $X$ to be periodic. The classical Boltzmann equation is now given by:

\[
\partial_t F + v \cdot \nabla_x F = B(F, F) \tag{4}
\]

with initial data:

\[
F(0, x, v) = F_0(x, v) \geq 0
\]

and the Boltzmann collision operator $B$. To obtain the macroscopic variables of the gas we calculate the moments of the particle density function $F$. These moments are defined as follows:

\[
\langle \zeta F \rangle = \int_V \zeta(v)F(v)dv
\]

For further details please refer to [12] and [6]. It is important to note, that the classical Boltzmann equation is of dimension $2D + 1$ and via the collision operator the equation is coupled for all velocities $v \in V$. These two properties make straightforward strategies for solving the Boltzmann equation extremely expensive.

3 The LEE for Monoatomic Gases as Limit of the Boltzmann Equation

Since the Boltzmann equation models monoatomic particles, only the LEE for monoatomic gases can easily be derived as a limit of the Boltzmann equation. Bardos et al. in [6] proved that the LEE for monoatomic gases with $\rho_0 = \theta_0 = 1$ are a limit of the Boltzmann equation in acoustic scaling. In this section, we go
through this derivation and adapt it to arbitrary $\rho_0, \theta_0 > 0$. This is important as it allows for additional degrees of freedom in the derivation of finite discrete velocity models as presented in sections 4, 5, and 8. For further information on the derivation process refer to the original paper by Bardos et al. [6].

The Boltzmann Equation in the acoustic scaling is given by:

$$\partial_t F + v \cdot \nabla_x F = \frac{1}{\epsilon} B(F, F),$$

(5)

where $\epsilon$ denotes the Knudsen number. As the LEE describe fluctuations around a background stream we transfer this approach to the Boltzmann Equation. Thus, we analyze fluctuations around the spatially invariant background equilibrium

$$M(v) = \frac{\rho_0}{(2\pi\theta_0)^{3/2}} \exp\left(-\frac{1}{2\theta_0}|v|^2\right).$$

The moments of $M$ resemble the background flow with density $\rho_0$, temperature $\theta_0$, and velocity $u_0 = 0$. We linearize the particle density function $F$:

$$F_\epsilon = MG_\epsilon = M(1 + \epsilon g_\epsilon),$$

where $\epsilon M g_\epsilon$ represent the fluctuations around the background flow. The macroscopic fluctuations are given by the following moments:

$$\rho' = \langle g_\epsilon M \rangle, \quad (6a)$$

$$u' = \frac{1}{\rho_0} \langle v g_\epsilon M \rangle, \quad (6b)$$

$$\theta' = \frac{1}{\rho_0} \left( \frac{1}{D} \langle |v|^2 g_\epsilon M \rangle - \theta_0 \langle g_\epsilon M \rangle \right). \quad (6c)$$

With this linearization equation (5) can be rewritten as:

$$\partial_t g_\epsilon + v \cdot \nabla_x g_\epsilon + \frac{1}{\epsilon} \mathcal{L} g_\epsilon = Q(g_\epsilon, g_\epsilon),$$

(7)

with an adapted collision operator $Q$ and its linearized version $\mathcal{L}$. Analogous to [6] we find that for $\epsilon \to 0$ the fluctuation $g$ takes the form (also cf. [12]):

$$g = a + v \cdot b + \frac{1}{2} |v|^2 c.$$

By comparison of the moments regarding $\{M, Mv_1, ..., Mv_D, \frac{1}{2} M|v|^2\}$ of equation (7) with the LEE (3) we derive the form:

$$g = \frac{1}{\rho_0} \rho' + \frac{1}{\theta_0} v \cdot u' + \theta' \left( \frac{1}{2\theta_0} |v|^2 - \frac{D}{2\theta_0} \right).$$

(8)

In the next section, we will use this Maxwellian as equilibrium distribution in the derivation of a linearized semi-discrete version of equation (5) for monoatomic
gases. Throughout this paper, we will refer to these semi-discrete models as Finite Discrete Velocity Models (FDVM) though in literature also the names discrete Boltzmann equation \cite{33}, differential form of the Lattice Boltzmann Equation \cite{41}, and Lattice Boltzmann Equation \cite{23} are used. We will only refer to the linearized fully-discrete version of equation (4) derived in section 6 as Lattice Boltzmann Equation (LBE). We would like to stress, that this is the exact Maxwellian resembling the LEE. This is a difference to the BGK models used in LBM for the NSE where not the exact Maxwellian is used as equilibrium distribution but for example a Taylor expansion of the exact Boltzmann-Maxwellian distribution (cf. \cite{22}). Here, we avoid errors introduced by this expansion by using the exact Maxwellian as equilibrium in the BGK operator.

4 Derivation of Finite Discrete Velocity Models for Monoatomic Gases

In order to discretize equation (5) in velocity space, we follow the standard two-step approach. First, we replace the Boltzmann collision operator $\mathcal{B}(F, F)$ by a BGK type collision operator. In BGK (Bhatnagar–Gross–Krook) type collision operators $J_{\text{BGK}}(g) = \frac{1}{\tau} (g_{\text{eq}} - g)$ the particle density function $g$ is relaxed towards an equilibrium $g_{\text{eq}}$ scaled with the relaxation time $\tau$ (cf. Bhatnagar et al. \cite{9}). Therefore, the structure of BGK type collision operators is a lot simpler than the structure of complex Boltzmann type collision operators. Second, we restrict the infinite velocity space $\mathcal{V}$ to a finite and symmetric set of discrete velocities $\mathcal{S} = \{c_i \in \mathbb{R}^D : i = 1..n\}$. For a quantity $\zeta : \mathcal{S} \rightarrow \mathbb{R}$ the discrete moments are defined as follows:

$$\langle \zeta \rangle_{\mathcal{S}} = \sum_{i=1}^{n} \zeta(c_i),$$

where the subscript $\mathcal{S}$ indicates the dependence on the finite set of discrete velocities $\mathcal{S}$. For the sake of simplicity, we will use the notation $\langle \zeta \rangle_{\mathcal{S}} = \sum_{i=1}^{n} c_i \zeta_i$ and so on in cases where $\zeta$ is a function depending on the velocities. With this, for each discrete velocity $c_i \in \mathcal{S}$ we have the Finite Discrete Velocity Model (FDVM) equation:

$$\partial_t g_i + c_i \cdot \nabla_x g_i = \frac{1}{\epsilon \tau} (g_{eq}^i - g_i),$$

where $g_i$ denotes the particle density function corresponding to velocity $c_i$, $g_{eq}^i$ denotes an equilibrium function corresponding to velocity $c_i$, and $\tau$ denotes the relaxation time introduced by the BGK approximation. We keep both relaxation parameters $\epsilon$ and $\tau$ as we will use the first as step size in the LBM discretization (cf. section 5) and the latter as parameter allowing for second-order consistency of the LBM schemes (cf. section 7).

This discretization leaves the following choices open:

1. the finite set of discrete velocities $\mathcal{S}$;
2. the relaxation time \( \tau \); and

3. the equilibrium distributions \( g_{eq}^i \).

The choices of \( S \) and the equilibrium distributions \( g_{eq}^i \) are coupled. For the equilibrium distributions \( g_{eq}^i \) we use the limit derived in the fully continuous case in section 3. So for \( c_i \in S \) we have:

\[
g_{eq}^i = g_{eq}^i(\rho', u', \theta') = \left( \frac{1}{\rho_0} \rho' + \frac{1}{\theta_0} c_i \cdot u' + \theta' \left( \frac{1}{2\theta_0} c_i^2 - \frac{D}{2\theta_0} \right) \right) f_i^*.
\]

(10)

The function \( f^* \) with \( f_i^* = f^*(c_i) \) was introduced to replace the continuous Maxwellian \( M \) in the calculation of moments. This function can be introduced into the equilibrium and thereby into the particle density functions \( g_i \). This needs to reflect in the initial and boundary conditions. Due to its construction, the function \( f^* \) has to be even, symmetric, and positive. In addition, the following similarity condition has to hold for certain pairs of discrete moments of \( f^* \) and continuous moments of \( M \):

\[
\langle \zeta f^* \rangle_S = \langle \zeta M \rangle \quad \text{for} \quad \zeta \in \{ v \mapsto 1, v \mapsto \frac{1}{2}|v|^2, v \mapsto v_\alpha v_\beta, v \mapsto \frac{1}{2}|v|^2 v_\alpha v_\beta, v \mapsto \frac{1}{4}|v|^4 \}.
\]

Hence, only those finite sets of discrete velocities \( S \) fulfilling the conditions above and for which such a function \( f^* \) can be found may be considered. For every pair of a finite set of discrete velocities \( S \) and a function \( f^* \) fulfilling the conditions above we get the following equality of the discrete and the continuous moments:

\[
\rho' = \langle \rho \rangle_S = \langle \rho, M \rangle, \quad \text{(11a)}
\]

\[
u' = \frac{1}{\rho_0} \langle v\rho \rangle_S = \frac{1}{\rho_0} \langle v\rho, M \rangle, \quad \text{(11b)}
\]

\[
\theta' = \frac{1}{\rho_0} \left( \frac{1}{D} \langle |v|^2 \rho \rangle_S - \theta_0 \langle \rho \rangle_S \right) = \frac{1}{\rho_0} \left( \frac{1}{D} \langle |v|^2 \rho, M \rangle - \theta_0 \langle \rho, M \rangle \right). \quad \text{(11c)}
\]

Therefore, the choices of the velocity set \( S \) and the equilibrium distributions \( g_{eq}^i \) are coupled. The choice of the relaxation time \( \tau \) is delayed until section 7, where we will fix \( \tau = \frac{1}{2} \) in order to achieve second-order consistency.

It is important to understand that equation (9) is coupled for \( i = 1..n \) via the equilibrium term. Therefore, solving these equations independently is not possible.

## 5 Generalization of the Finite Discrete Velocity Ansatz for Polyatomic Gases

The derivation process shown above describes monoatomic gases, i.e. noble gases, only. In this section we will generalize the derivation process of FDVM for
polyatomic gases. The LEE without background velocity for polyatomic gases are of the following form:

\[
\frac{\partial}{\partial t} \rho' + \rho_0 \nabla \cdot \mathbf{u}' = 0, \\
\rho_0 \frac{\partial}{\partial t} \mathbf{u}' + \rho_0 \nabla \theta' + \theta_0 \nabla \rho' = 0, \\
\frac{1}{\gamma - 1} \rho_0 \frac{\partial}{\partial t} \theta' + \rho_0 \theta_0 \nabla \cdot \mathbf{u}' = 0.
\]

For calorically ideal gases the adiabatic exponent \(\gamma\) is given by the relation \(\gamma = \frac{M + 2}{M}\), where \(M\) denotes the number of degrees of freedom – translational, rotational, and vibrational – of the gas considered (cf. \[42\]). These additional degrees of freedom lead to an additional internal energy not present in the monoatomic case. A monoatomic gas in \(D\) dimensions only has \(D\) translational degrees of freedom while a diatomic gas has \(D\) translational and \(D - 1\) rotational degrees of freedom, resulting in \(M = 2D - 1\). Since 98%-99% of ambient air are made up by nitrogen and oxygen molecules we can model ambient air as diatomic gas.

When moving from monoatomic to polyatomic gases we have to account for the additional internal energy in the molecule. We write the energy equation in terms of the energy density \(E\) and the energy flux \(\mathbf{F}\):

\[
\frac{\partial}{\partial t} E + \nabla \cdot \mathbf{F} = 0.
\]

Among others, Kataoka and Tsutahara \[28\] and Dellar \[19\] proposed to amend the energy density \(E\) and the energy flux \(\mathbf{F}\) using additional energies \(\beta_i\):

\[
E = \frac{1}{\gamma - 1} (\rho_0 \theta' + \theta_0 \rho') = \left( \frac{1}{2} (|\mathbf{v}|^2 + \beta) \right) g S, \\
\mathbf{F} = \frac{\gamma}{\gamma - 1} \rho_0 \theta_0 \mathbf{u}' = \left( \frac{1}{2} (|\mathbf{v}|^2 + \beta) \right) \mathbf{v} g S,
\]

where the function \(\beta\) of the velocities is predefined, positive, and fulfills the same symmetries as \(f^*\). With this we can now try to construct an equilibrium distribution for which we resemble the LEE for a polyatomic gas. We assume \(g^{eq}\) to be of the form:

\[
g_i^{eq} = \left( a_1 \rho' + a_2 \theta' + b c_i \cdot \mathbf{u}' + \frac{1}{2} |c_i|^2 (c_1 \rho' + c_2 \theta') \right) f_i^*
\]

Here it is important that due to the definition of the energy density \(E\) the temperature is now given by:

\[
\theta' = \frac{1}{\rho_0} \left( (\gamma - 1) \left( \frac{1}{2} (|\mathbf{v}|^2 + \beta) g S - \theta_0 \rho' \right) \right)
\]

By plugging \(g^{eq}\) into the scaled FDVM equation \[9\] and taking the moments with respect to 1, \(\mathbf{v}\), and \(\frac{1}{2} (|\mathbf{v}|^2 + \beta)\) we can deduce 8 independent constraints
on the choice of $a_1$, $a_2$, $b$, $c_1$, $c_2$, $f^*$, and $\beta$ plus non-negativity constraints on $f^*$ and $\beta$. An additional two constraint equations are given by taking the moments with respect to 1 and $\frac{1}{2}(|v|^2 + \beta)$ of $f^*$ which have to resemble the background density and the background energy density respectively. One can now solve this system of constraint equations for deriving an equilibrium distribution along with a function $\beta$ for which the according FDVM model solves the polyatomic LEE. In section 8, two schemes for diatomic gases in 2D and 3D are derived and analyzed.

6 Derivation of the Lattice Boltzmann Equation

Using standard methodology (cf. [24]) to fully discretize the semi-discrete FDVM equation (9), we obtain the Lattice Boltzmann Equation (LBE):

$$g_i(t + \epsilon, x + \epsilon c_i) - g_i(t, x) = \frac{1}{\tau} (g_i^{eq}(t, x) - g_i(t, x)).$$

This means we get the fully discrete and explicit update scheme:

$$g_i(t + \epsilon, x + \epsilon c_i) = \left(1 - \frac{1}{\tau}\right) g_i(t, x) + \frac{1}{\tau} g_i^{eq}(t, x).$$

This is the Lattice Boltzmann scheme. Due to the linear structure of the equilibrium distributions $g_i^{eq}$ equation (13) can be written in linear form:

$$\underline{g}(t + \epsilon, x + \epsilon c) = H(\tau)\underline{g}(t, x),$$

with the following definitions:

$$\underline{g}(t, x) = (g_1(t, x), \ldots, g_n(t, x))^T,$$

$$\underline{g}(t + \epsilon, x + \epsilon c) = (g_1(t + \epsilon, x + \epsilon c_1), \ldots, g_n(t + \epsilon, x + \epsilon c_n))^T,$$

$$H_{ij}(\tau) = \left(1 - \frac{1}{\tau}\right) \delta_{ij} + \frac{1}{\tau} \left(a_1 + \frac{1}{2}|c_i|^2 \epsilon_1 \right.$$

$$+ b c_i \cdot c_j + \frac{1}{\rho_0} \left(\frac{\gamma - 1}{2} (|c_j|^2 + \beta_j) - \theta_0 \right) \left(a_2 + \frac{1}{2}|c_i|^2 \epsilon_2 \right)

$$

7 Analysis of the LBE

In this section, we show second-order consistency of the LBE (12) and state conditions for $L^2$-stability of the method. For analysis of consistency we follow the method of Junk et al. [24]. We assume a periodic domain $\Omega$ and for all discrete velocities $c_i \in \mathcal{S}$ the following initial condition:

$$g_i(t = 0, x) = g^{eq}(\rho'(t = 0, x), u'(t = 0, x), \theta'(t = 0, x)).$$
In addition, we assume that the initial macroscopic conditions \( \rho'(t = 0, x) \), \( u'(t = 0, x) \), and \( \theta'(t = 0, x) \) are independent of \( \epsilon \). We use the following regular expansions for the density \( g_i \), the equilibrium \( g_i^e \), and the macroscopic variables \( \rho' \), \( u' \), and \( \theta' \):

\[
\begin{align*}
g_i &= \sum_{i=0}^{\infty} \epsilon^i g_i^{(i)}, \\
g_i^e &= \sum_{i=0}^{\infty} \epsilon^i g_i^{eq(i)}, \\
\rho' &= \sum_{i=0}^{\infty} \epsilon^i \rho^{(i)}, \\
u' &= \sum_{i=0}^{\infty} \epsilon^i u^{(i)}, \\
\theta' &= \sum_{i=0}^{\infty} \epsilon^i \theta^{(i)}.
\end{align*}
\]

Hence, the initial conditions for the expansion coefficients \( g_i^{(k)} \) are given as follows:

\[
\begin{align*}
g_i^{(0)}(t = 0, x) &= g^e(\rho'(t = 0, x), u'(t = 0, x), \theta'(t = 0, x)), \\
g_i^{(k)}(t = 0, x) &= 0 \quad \text{for } k \geq 1.
\end{align*}
\]

We now plug these expansions into the LBE and Taylor-expand the left hand side. Sorting the terms according to powers of \( \epsilon \) results in the following set of equations:

\[
\begin{align*}
\epsilon^0 : & \quad 0 = \frac{1}{\tau} \left( g_i^{eq(0)}(t, x) - g_i^{(0)}(t, x) \right) \tag{15a} \\
\epsilon^1 : & \quad c_i \cdot \nabla_x g_i^{(0)}(t, x) + \partial_t g_i^{(0)}(t, x) = \frac{1}{\tau} \left( g_i^{eq(1)}(t, x) - g_i^{(1)}(t, x) \right), \\
\epsilon^2 : & \quad \partial_t g_i^{(1)}(t, x) + c_i \cdot \nabla_x g_i^{(1)}(t, x) + \frac{1}{2} c_i \otimes c_i : \nabla_x \nabla_x g_i^{(0)}(t, x) \\
& \quad + \partial_t c_i \cdot \nabla_x g_i^{(0)}(t, x) + \frac{1}{2} \partial_t^2 g_i^{(0)}(t, x) = \frac{1}{\tau} \left( g_i^{eq(2)}(t, x) - g_i^{(2)}(t, x) \right). \tag{15b}
\end{align*}
\]

Here, we use tensor notation where \( \otimes \) denotes the standard tensor product, \( \cdot \) denotes a first order, and \( : \) a second-order tensor contraction. From equation (15a) we find:

\[
g_i^{eq(0)}(t, x) = g_i^{(0)}(t, x).
\]

By computing the moments corresponding to 1, \( v \), and \( \frac{1}{2} (|v|^2 + \beta) \) of equation (15b) we find:

\[
\begin{align*}
\partial_t \rho^{(0)} + \rho_0 \nabla_x \cdot u^{(0)} &= 0, \\
\rho_0 \partial_t u^{(0)} + \rho_0 \nabla_x \theta^{(0)} + \theta_0 \nabla_x \rho^{(0)} &= 0, \\
\frac{1}{\gamma - 1} \rho_0 \partial_t \theta^{(0)} + \rho_0 \theta_0 \nabla_x \cdot u^{(0)} &= 0.
\end{align*}
\]

Next, we analyze the moments corresponding to

\[
\zeta \in \{ v \to 1, v \to v_1, \ldots, v \to v_D, v \to \frac{1}{2} (|v|^2 + \beta) \}
\]

of equation (15c). The steps
of this analysis can be found in [A]. This analysis gives the following set of moments of equation (15c):

\[ 0 = \partial_t \rho^{(1)} + \rho_0 \nabla_x \cdot \mathbf{u}^{(1)}, \]  

(16a)

\[ 0 = \rho_0 \partial_t \mathbf{u}^{(1)} + \rho_0 \nabla_x \theta^{(1)} + \theta_0 \nabla_x \rho^{(1)} + \left( \frac{1}{2} - \tau \right) \nabla_x \cdot \left( \mathbf{v} \otimes \mathbf{v} \otimes \mathbf{v}g^{(0)} \right) S \]  

(16b)

\[ 0 = \frac{1}{\gamma - 1} \rho_0 \partial_t \theta^{(1)} + \frac{1}{\gamma - 1} \theta_0 \partial_t \rho^{(1)} + \frac{\gamma}{\gamma - 1} \rho_0 \theta_0 \nabla_x \cdot \mathbf{u}^{(1)} + \left( \frac{1}{2} - \tau \right) \theta_0 \partial_t \nabla_x \cdot \mathbf{u}^{(0)} \]  

(16c)

\[ + \left( \frac{1}{2} - \tau \right) \nabla_x \cdot \left( \frac{1}{2} (|\mathbf{v}|^2 + \beta) \mathbf{v} \otimes \mathbf{v}g^{(0)} \right) S. \]

With the choice \( \tau = \frac{1}{2} \), equations (16a) - (16c) resemble the LEE for the macroscopic variables \( \rho^{(1)}, \mathbf{u}^{(1)}, \) and \( \theta^{(1)} \). The LEE together with the initial conditions \( \rho^{(1)}(t=0, \mathbf{x}) = 0, \mathbf{u}^{(1)}(t=0, \mathbf{x}) = 0, \) and \( \theta^{(1)}(t=0, \mathbf{x}) = 0 \) result in the global solution for \( t \geq 0 \):

\[ \rho^{(1)}(t, \mathbf{x}) = 0, \quad \mathbf{u}^{(1)}(t, \mathbf{x}) = 0, \quad \theta^{(1)}(t, \mathbf{x}) = 0. \]

Therefore, for \( \tau = \frac{1}{2} \) the LBM scheme is of at least second-order consistency.

In order to prove convergence one also needs to prove stability. Here, we discuss \( L^2 \)-stability utilizing a von Neumann analysis of the linear update equation \( \text{[14]} \) as described by LeVeque [35] and Trefethen [43]. We would like to emphasize that Trefethen’s work is the most thorough work on \( L^2 \)-stability to our notice, incorporating important aspects of stability usually neglected in the literature. In addition, we note that our collision operators for the velocity sets presented in [3] also obtain stability structures as described by Banda et al. [5], Junk and Yong [26], and Yong [44].

Due to the results above, we fix \( \tau = \frac{1}{2} \). First, equation \( \text{[14]} \) is Fourier transformed in space to remove spatial dependency, resulting in the following equation:

\[ \hat{g}(\mathbf{k}, t + \epsilon) = \Gamma(\mathbf{k}, \epsilon) \hat{g}(\mathbf{k}, t), \]

with the wave vector \( \mathbf{k} \), the Fourier transformed vector \( \hat{g} \), and the matrix:

\[ \Gamma(\mathbf{k}, \epsilon) = D(\mathbf{k}, \epsilon) H(\tau = \frac{1}{2}), \]
where the matrix $D$ resulting from the spatial shift of the left hand side in equation (14) is of the form:

$$D_{mn}(k, \epsilon) = \exp(-i k \cdot c_m \epsilon) \delta_{mn}.$$ 

The following Theorem is used for proving stability of the LBM schemes:

**Theorem 1** ($L^2$-stability of LBM schemes). If the matrix $\Gamma(k, \epsilon)$ fulfills the following conditions:

1. $\Gamma(k, \epsilon)$ is regular for all $k \epsilon \in [-\pi, \pi]^D$,
2. the spectral radius $\rho(\Gamma(k, \epsilon)) \leq 1$ for all $k \epsilon \in [-\pi, \pi]^D$, and
3. there exists a finite constant $C$, s.t. $\kappa(V(k, \epsilon)) \leq C$ for all $k \epsilon \in [-\pi, \pi]^D$, where $\kappa$ denotes the condition number corresponding to the $L^2$-norm and $V(k, \epsilon)$ denotes the eigenvector matrix of $\Gamma(k, \epsilon)$.

Then the according LBM scheme is $L^2$-stable, i.e. the $L^2$-norm of the solution does not explode in finite time.

The proof of Theorem 1 uses the stability Theorem 4.11 stated by Trefethen in [43]. We restate this theorem here but adapt it to our notation.

**Theorem 2** (Stability via the Kreiss Matrix Theorem). A linear, constant-coefficient finite difference formula is stable in the $L^2$-norm if and only if

$$\rho_\alpha(\Gamma(k, \epsilon)) \leq 1 + \mathcal{O}(\alpha) + \mathcal{O}(\epsilon) \quad (17)$$

for $k \in [-\frac{\pi}{\epsilon}, \frac{\pi}{\epsilon}]$ as $\alpha \to 0$ and $\epsilon \to 0$.

Here $\rho_\alpha(B)$ denotes the $\alpha$-pseudospectral radius of a matrix $B$ which is defined as follows:

$$\rho_\alpha(B) = \sup_{||A|| \leq \alpha} \rho(B + A)$$

and $\rho(C)$ denotes the spectral radius of the matrix $C$.

Using Theorem 2 we can now prove Theorem 1.

**Proof of Theorem 1**. As stated in Theorem 2 the analysis has to hold for all $k \epsilon \in [-\frac{\pi}{\epsilon}, \frac{\pi}{\epsilon}]^D$. However, since $k$ occurs in $\Gamma(k, \epsilon)$ only within products $k_i \epsilon (i = 1, D)$ it is sufficient to do the analysis for $k \epsilon \in [-\pi, \pi]^D$. As result of condition 1 we can diagonalize $\Gamma(k, \epsilon)$ as $\Gamma(k, \epsilon) = (V(k, \epsilon))^{-1} \Lambda(k, \epsilon) V(k, \epsilon)$. Here $\Lambda(k, \epsilon)$ is a diagonal matrix with $\Lambda(k, \epsilon)_{ii}$ being the $i$-th eigenvalue $\lambda_i$ of $\Gamma(k, \epsilon)$ and $V(k, \epsilon)$ being the eigenvector matrix of $\Gamma(k, \epsilon)$, i.e. column $i$ of $V(k, \epsilon)$ is the eigenvector of $\Gamma(k, \epsilon)$ corresponding to the eigenvalue $\lambda_i$. Due to the diagonalizability of $\Gamma(k, \epsilon)$ we can use the theorem of Bauer-Fike [7] to estimate the $\alpha$-pseudospectral radius of $\Gamma(k, \epsilon)$ as follows:

$$\rho_\alpha(\Gamma(k, \epsilon)) = \sup_{||A|| \leq \alpha} \rho(\Gamma(k, \epsilon) + A)$$

$$\leq \rho(\Gamma(k, \epsilon)) + \sup_{||A|| \leq \alpha} \kappa(V(k, \epsilon)) ||A||_2$$

$$\leq \rho(\Gamma(k, \epsilon)) + \kappa(V(k, \epsilon)) \alpha \quad (18)$$

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Finally, with assumptions 2. and 3. for all \( k \epsilon \in [-\pi, \pi]^D \) we find:

\[
\rho_\alpha(\Gamma(k, \epsilon)) \leq 1 + O(\alpha) \\
\leq 1 + O(\alpha) + O(\epsilon)
\]

Therefore, Theorem 2 proves \( L^2 \)-stability of such LBM schemes.

For schemes for which the matrix \( \Gamma(k, \epsilon) \) is normal or unitary the following corollaries can be derived from Theorem 1:

**Corollary 1** (\( L^2 \)-stability of LBM schemes where \( \Gamma(k, \epsilon) \) is normal). If the matrix \( \Gamma(k, \epsilon) \) is normal for all \( k \epsilon \in [-\pi, \pi]^D \) and fulfills conditions 1. and 2. of Theorem 2 then the LBM scheme is \( L^2 \)-stable.

**Proof.** Normal matrices are unitarily diagonalizable. Due to this, we know that the eigenvector matrix \( V(k, \epsilon) \) of \( \Gamma(k, \epsilon) \) is unitary for all \( k \epsilon \in [-\pi, \pi] \). Therefore, the condition number \( \kappa(\Gamma(k, \epsilon)) = 1 \) for all \( k \epsilon \in [-\pi, \pi] \). Together with conditions 1. and 2. of Theorem 1 this shows \( L^2 \)-stability of the LBM scheme.

**Corollary 2** (\( L^2 \)-stability of LBM schemes where \( \Gamma(k, \epsilon) \) is unitary). If the matrix \( \Gamma(k, \epsilon) \) is unitary for all \( k \epsilon \in [-\pi, \pi]^D \) then the LBM scheme is \( L^2 \)-stable.

**Proof.** We know that unitary matrices are normal, regular, and have spectral radius 1. Therefore, the LBM scheme is \( L^2 \)-stable.

## 8 Examples of Velocity Sets

In this section, different finite discrete velocity sets for which the LBM is converging are analyzed. Here, it is important to remember that due to the dimensionalization it is not necessary to provide discrete velocity sets for every choice of dimensional background stream parameters \( \rho_0 \) and \( \theta_0 \).

### 8.1 D1Q3

For the one dimensional case we choose \( \rho_0 = 1 \) and \( \theta_0 = \frac{1}{3} \). For this choice we find the compact velocity set \( S = \{ c_i : i = 1...3 \} \) we call D1Q3 with:

\[
\begin{align*}
    c_1 &= 0, \\
    c_2 &= -1, \\
    c_3 &= 1
\end{align*}
\]

and

\[
f^*(c_i) = \begin{cases} 
    \frac{2}{3} & \text{for } i = 1, \\
    \frac{1}{6} & \text{for } i = 2, 3.
\end{cases}
\]

The matrix \( \Gamma(k, \epsilon) \) for D1Q3 is given as:

\[
\Gamma(k, \epsilon) = \begin{pmatrix}
    1 & 0 & 0 \\
    0 & e^{ik\epsilon} & 0 \\
    0 & 0 & e^{-ik\epsilon}
\end{pmatrix}.
\]
One can easily see that $\Gamma(k, \epsilon)$ is unitary for all $k \epsilon \in [-\pi, \pi]$. Therefore, the LBM scheme with the D1Q3 velocity set is $L^2$-stable.

8.2 2D Velocity Sets

8.2.1 D2Q5 for Monoatomic Gases

For the two dimensional case we choose $\rho_0 = 1$ and $\theta_0 = \frac{1}{4}$. For this choice we obtain the compact velocity set $S = \{c_i : i = 1...5\}$ with:

$c_1 = \begin{pmatrix} 0 \\ 0 \end{pmatrix}, c_2 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, c_3 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}, c_4 = \begin{pmatrix} 0 \\ -1 \end{pmatrix}, c_5 = \begin{pmatrix} 0 \\ 1 \end{pmatrix},$

and

$f^*(c_i) = \begin{cases} \frac{1}{2} & \text{for } i = 1, \\ \frac{1}{8} & \text{for } i = 2...5. \end{cases}$

One can easily check that $\Gamma(k, \epsilon)$ is unitary for all choices of $k$ and $\epsilon$. Therefore, the LBM scheme using D2Q5 is stable for monoatomic gases.

8.2.2 D2Q5 for Diatomic Gases

For the setting of diatomic gases with background density $\rho_0 = \frac{20}{3}$ and background temperature $\theta_0 = \frac{3}{10}$, we find the D2Q5 velocity set with:

$f_i = \begin{cases} \frac{8}{3} & \text{for } i = 0 \\ 1 & \text{for } i = 1..4 \end{cases}, \quad \beta_i = \begin{cases} 0 & \text{for } i = 0 \\ \frac{1}{2} & \text{for } i = 1..4 \end{cases}$

$a_1 = \frac{1}{\rho_0}, \quad a_2 = -5, \quad b = \frac{1}{\theta_0}, \quad c_1 = 0, \quad c_2 = \frac{5}{\theta_0}$

With this velocity set, the matrix $\Gamma(\tau = \frac{1}{2})$ is unitary. Therefore, the LBM scheme using D2Q5 is stable for diatomic gases.

8.3 3D

For the 3D case we analyze a family of velocity sets. We start with the large velocity set $\bar{S} = \{c_i : i = 1..27\}$ where we have:

$c_i = \begin{cases} (0, 0, 0) & i = 1 \\ (\pm 1, 0, 0), (0, \pm 1, 0), (0, 0, \pm 1) & i = 2..7 \\ (\pm 1, \pm 1, 0), (\pm 1, 0, \pm 1), (0, \pm 1, \pm 1) & i = 8..19 \\ (\pm 1, \pm 1, \pm 1) & i = 20..27 \end{cases}$
8.3.1 Monoatomic Gases

For monoatomic gases \( f^* \) is of the following form:

\[
f^* = \begin{cases} 
\frac{1}{2} \rho_0 \theta_0 (15 \theta_0 - 9) + \rho_0 - 8 \alpha & \text{for } c_1 \\
\frac{1}{2} \rho_0 \theta_0 (2 - 5 \theta_0) + 4 \alpha & \text{for } c_i, i = 2..7 \\
\frac{1}{8} \rho_0 \theta_0 (5 \theta_0 - 1) - 2 \alpha & \text{for } c_i, i = 8..19 \\
\alpha & \text{for } c_i, i = 20..27 
\end{cases}
\]

In the following the 3D stencils shown in figure 1 are presented.

For the choice \( \rho_0 = 1, \theta_0 = \frac{1}{4}, \) and \( \alpha = 0 \) the function \( f^* \) is zero for velocities \( c_i : i = 8..27 \). Therefore, the velocity set \( \mathcal{S} \) reduces to the extremely compact set \( \mathcal{S} = \{ c_i : i = 1..7 \} \) which we call D3Q7. For the D3Q7 velocity set we see that the matrix \( \Gamma(k, \epsilon) \) is unitary for all \( k \in \mathbb{R}^3 \) and \( \epsilon \in \mathbb{R} \). Therefore the LBM with the D3Q7 velocity set is stable.

For the choice \( \rho_0 = 1, \theta_0 = \frac{3}{5}, \) and \( \alpha = \frac{3}{20} \) the function \( f^* \) is zero for velocities \( c_i : i = 2..19 \). Therefore, the velocity set \( \mathcal{S} \) reduces to the slightly larger set \( \mathcal{S} = \{ c_i : i \in \{2..27\} \} \) which we call D3Q9. As for the D3Q7 velocity set the matrix \( \Gamma(k, \epsilon) \) is unitary for all \( k \in \mathbb{R}^3 \) and \( \epsilon \in \mathbb{R} \). Therefore the LBM with the D3Q9 velocity set is stable.

For the choice \( \rho_0 = 1, \theta_0 = \frac{5}{6}, \) and \( \alpha = 0 \) the function \( f^* \) is zero for velocities \( c_i : i \in \{2..7, 20..27\} \). Therefore, the velocity set \( \mathcal{S} \) reduces to the set \( \mathcal{S} = \{ c_i : i \in \{1, 8..19\} \} \) which we call D3Q13. As for the D3Q7 velocity set the matrix \( \Gamma(k, \epsilon) \) is unitary for all \( k \in \mathbb{R}^3 \) and \( \epsilon \in \mathbb{R} \). Therefore the LBM with the D3Q13 velocity set is stable.

For the choice \( \rho_0 = 1, \theta_0 = \frac{5}{6}, \) and \( \alpha = 0 \) the function \( f^* \) is zero for velocities \( c_i : i = 20..27 \). Therefore, the velocity set \( \mathcal{S} \) reduces to the set \( \mathcal{S} = \{ c_i : i = 1..19 \} \) which we call D3Q19. For this velocity set our analytical stability criterion could be proven. But, following Banda et al. [5], one can find a stability structure for the collision operator generated by this velocity set. One can easily verify that the matrix \( H(\tau = 1) \), which is simply the equilibrium function in matrix form, is a projection matrix. Therefore, the eigenvalues of \( H(\tau = \frac{1}{2}) \) are 0 and -2. In addition, we find that with the positive definite diagonal matrix \( A_0 = \text{diag}(3, 13I_6, 52I_1) \) the matrix \( A_0 H(\tau = \frac{1}{2}) \) is symmetric. Here, \( I_n \) denotes the unity matrix in \( n \) dimension. Thus, there exists an invertible matrix \( P \) such that

\[
A_0 = P^T P \quad \text{and} \quad A_0 H(\tau = \frac{1}{2}) = P^T \Lambda P,
\]

where we can assume that

\[
\Lambda = - \text{diag}(0, 0, 0, 0, 0, 2I_{14})
\]

Therefore, the D3Q19 velocity set admits a stability structure and is stable.
(a) The $D3Q7$ velocity set for $\rho_0 = 1$ and $\theta_0 = \frac{1}{4}$

(b) The $D3Q9$ velocity set for $\rho_0 = 1$ and $\theta_0 = \frac{3}{5}$

(c) The $D3Q13$ velocity set for $\rho_0 = 1$ and $\theta_0 = \frac{2}{7}$

(d) The $D3Q19$ velocity set for $\rho_0 = 1$ and $\theta_0 = \frac{1}{11}$

Figure 1: Different velocity sets in three dimensions.

### 8.3.2 Diatomic Gases

For diatomic gases we find the following family of $D3Q7$ velocity sets:

$$\rho_0 = \frac{42}{5}f_1, \quad \theta_0 = \frac{5}{21},$$

$$a_1 = \frac{1}{\rho_0}, \quad a_2 = -\frac{21}{2}, \quad b = \frac{21}{5}, \quad c_1 = 0, \quad c_2 = \frac{147}{5},$$

$$f_i = \begin{cases} \frac{12}{f_1} & \text{for } i = 0 \\ \frac{1}{f_1} & \text{for } i = 1..6 \end{cases} \quad \beta_i = \begin{cases} 0 & \text{for } i = 0 \\ \frac{2}{3} & \text{for } i = 1..6 \end{cases}$$

For the choice $f_1 = \frac{5}{42}$ we find $\Gamma(f_1 = \frac{5}{42}, \tau = \frac{1}{4})$ to be unitary. Therefore, the LBM for diatomic gases with the $D3Q7$ velocity set is $L^2$-stable.
9 Numerical Results

In this section we present numerical results for different LBM schemes introduced in section 8. Again, we would like to stress the freedom introduced by the dedimensionalization. Due to this freedom, it is possible to simulate settings with different background flows using a single velocity set with its according background flow.

The test problem used in this section consists of the propagation of a Gauss pulse in the gas. All results presented are for periodic domains and boundary and initial conditions. For 1D we compare the results to the analytical solution. For the mono- and diatomic cases in 2D and the diatomic case in 3D we compare the results to a reference solution obtained using the Finite Volume Method (FVM). These FVM solutions were generated using the Clawpack [14], [31], PyClaw [30], and PETSc [3], [4], [41] software packages with appropriate, self-written Riemann solvers. Due to the enormous computational costs of obtaining the FVM solution in the 3D case and the little practical relevance of the monoatomic case, we perform a simple convergence analysis against a highly-resolved LBM solution for the 3D monoatomic case. For the 1D case we measure the error using a discrete $L^2$-norm over the space-time domain $X \times T$. For a scalar function $\eta$ defined at the grid points $p_i$ and time steps $t_i$, this norm is defined as:

$$||\eta||^2_{L^2(X \times T)} = \sum_{i=0}^{N_T} \sum_{j=1}^{N_X} |\eta(t_i, p_j)|^2 \, dt^{D+1}$$

where $N_T$, $N_X$, and $dt$ denote the number of time steps and grid points and the step size in both time and space respectively. For the 2D and 3D cases we use the discrete $L^2$-norm at time $t = 1$ over space only. For a scalar function $\eta$ defined at the grid points $p_i$ at time $t = T$, this norm is defined as:

$$||\eta||^2_{L^2(X, t = T)} = \sum_{j=1}^{N_X} |\eta(t = 2, p_j)|^2 \, dt^D$$

where $N_X$ and $dt$ denote the number of grid points and the step size in both time and space respectively. If not denoted otherwise, all results were computed non-dimensional.

9.1 1D

For the 1D case, we analyze the propagation of a Gauss pulse on the time-space domain $T \times X = [0; T] \times [0; 1)$ for the D1Q3 velocity set with $\rho_0 = 0$ and $\theta_0 = \frac{1}{7}$. The Gauss pulse is given by the following initial values:

$$\rho'(t = 0, x) = \exp \left( -100 \left( x - \frac{1}{2} \right)^2 \right),$$

$$u'_x(t = 0, x) = 0,$$

$$\theta'(t = 0, x) = 0.$$
Figure 2 shows the convergence behavior of the error in density for different end times $T$ measured in norm (19). One can easily see that the errors are close to machine precision. The reason for this is that the characteristic velocities of the 1D LEE with $\rho_0 = 1$ and $\theta_0 = \frac{1}{3}$ coincide with the velocities within the D1Q3 velocity set. Therefore, the D1Q3 LBM scheme can solve the 1D LEE with $\rho_0 = 1$ and $\theta_0 = \frac{1}{3}$ analytically with respect to machine precision.

Figure 2: $L^2$-error in the density on the time-space domain $T \times X = [0; T] \times [0; 1)$ for the D1Q3 velocity set and initial conditions (21).

9.2 2D

We consider a 2D Gauss pulse in a monoatomic gas on the time-space domain $T \times X = [0; 1] \times [0; 2)^2$ for the D2Q5 velocity set with $\rho_0 = 1$ and $\theta_0 = \frac{1}{4}$ and initial conditions:

\begin{align}
\rho'(t = 0, x, y) &= \exp\left(-\frac{1}{7} \| (x, y)^T - (1, 1)^T \|^2 \right), \\
u_x'(t = 0, x, y) &= 0,
\nu_y'(t = 0, x, y) &= 0,
\theta'(t = 0, x, y) &= 0.
\end{align}

Figure 3 presents the convergence behavior of the error in the macroscopic quantities, measured in the norm (20). Here, the FVM solution used as reference solution was computed using $5 \times 10^3 \times 5 \cdot 10^3$ volumes. One can easily verify a
For a diatomic gas with background density \( \rho_0 = \frac{20}{3} \) and background temperature \( \theta_0 = \frac{1}{10} \) we simulate a Gauss pulse on the domain \( T \times X = [0; 1] \times [0; 2)^2 \) with initial conditions \((22)\). Figure 4 shows the convergence behavior of the error in the macroscopic quantities measured in norm \((20)\). Since the diatomic case is the more realistic case, the FVM solution used as reference solution was calculated using the higher resolution of \( 2 \times 10^4 \times 2 \times 10^4 \) volumes. From figure 4 one can find second-order convergence.

9.3 3D

We calculate a 3D Gauss pulse for a monoatomic gas on the time-space domain \( T \times X = [0; 2] \times [0; 2)^3 \) for \( \rho_0 = 1 \) and \( \theta_0 = \frac{1}{5} \) with initial conditions:

\[
\begin{align*}
\rho'(t = 0, x, y, z) &= \exp\left(-15 \left\| (x, y, z)^T - (1, 1, 1)^T \right\|^2\right), \\
\rho'_x(t = 0, x, y, z) &= 0, \\
\rho'_y(t = 0, x, y, z) &= 0, \\
\rho'_z(t = 0, x, y, z) &= 0, \\
\theta'(t = 0, x, y, z) &= 0.
\end{align*}
\]
Since the monoatomic case is of little interest in real-life situations, we analyze convergence of the method against a highly-resolved LBM solution in order to save compute resources. From figure 5 we can see second-order convergence towards the numerical solution.

For a diatomic gas, we first analyze the propagation of a Gauss pulse with dimensional background density \( \rho_0 = 1 \) and dimensional background temperature \( \theta_0 = 1 \) on the dimensional domain \( T \times X = [0; 1] \times [0; 2)^3 \). The Gauss pulse is given by the dimensional initial condition:

\[
\begin{align*}
\rho'(t = 0, x, y, z) &= \exp \left( -15 \left\| (x, y, z)^T - (1, 1, 1)^T \right\|^2 \right), \\
v_{x}'(t = 0, x, y, z) &= 0, \\
v_{y}'(t = 0, x, y, z) &= 0, \\
v_{z}'(t = 0, x, y, z) &= 0, \\
\theta'(t = 0, x, y, z) &= 0.
\end{align*}
\]

We use the diatomic D3Q7 velocity set for simulation. The FVM solution was calculated using \( 1200 \times 1200 \times 1200 \) volumes. In order to compare the LBM and the FVM solutions, we need to use the non-dimensional end-time \( T = \sqrt{\frac{21}{5}} \) for the LBM solver. As we cannot find grid sizes for which the time step \( dt \) is a divisor of the dimensionless end-time \( T = \sqrt{\frac{21}{5}} \), we need to take into account
that we are comparing slightly different end-times for the FVM- and LBM-solutions. The grid sizes were chosen in order to minimize this effect while still choosing the number of grid points in each direction $N$ such that it is a divisor of 1200. Table 1 presents the grid sizes used and the error between the dimensionless end-time $T = \sqrt{\frac{21}{5}}$ and the end-time of the according LBM simulation $N_{TS} \, dt$ where $N_{TS} = \text{round} \left( \frac{T}{dt} \right)$. From figure 6 we can see a second-order convergence for up to $N = 80$. The following decrease in convergence is due to the poor resolution of the FVM solution.

In addition, we analyze convergence against a highly-resolved LBM solution for a Gauss pulse within a diatomic gas with initial conditions (23) using the D3Q7 velocity. In figure 7 we see second-order convergence.

| $N$  | $\sqrt{\frac{21}{5}} - N_{TS} \, dt$ |
|------|----------------------------------|
| 25   | $-3.06 \times 10^{-2}$          |
| 40   | $-6.10 \times 10^{-4}$         |
| 80   | $-6.10 \times 10^{-4}$         |
| 120  | $-6.10 \times 10^{-4}$         |

Table 1: Difference between the dimensionless end-time $T = \sqrt{\frac{21}{5}}$ and the end-time of the LBM simulations for different grid sizes in each direction $N$ with $N_{TS}$ time steps.
10 Conclusions

In this work we derived second-order convergent Lattice Boltzmann schemes solving the Linearized Euler Equations without background velocity for arbitrary adiabatic exponents $\gamma$. We were able to state conditions on how to choose the velocity sets in the Finite Discrete Velocity Model and the Lattice Boltzmann Method and for stability of the Lattice Boltzmann Method. Due to our generic approach, the results from this work can be used as a blueprint for the derivation of Lattice Boltzmann Methods for different lattice geometries or adiabatic exponents. In our future work we will focus on integration of background velocities into these Lattice Boltzmann Methods, analysis of boundary conditions, and examination of coupling strategies to Lattice Boltzmann Methods solving the incompressible Navier Stokes Equations and Finite Volume Methods solving the Linearized Euler Equations.

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We would like to thank our reviewer for pointing out the work of Banda et al. [5], Junk and Yong [26], Junk and Yang [25], and Yong [44]. This approach towards stability was previously unknown to us and we expect it to be helpful in the derivation of Lattice Boltzmann schemes for the Linearized Euler Equations.
Figure 7: $L^2$-error in the macroscopic variables for a diatomic gas on the domain $X = [0, 2]^3$ at time $t = 2$ for the D3Q7 velocity set and initial conditions (23).

with background velocity. In addition, we would like to thank Wen-An Yong for fruitful discussion about his work on stability of hyperbolic relaxation systems.

A  Analysis of the LBE

We carry out the steps of deriving equations (16a)-(16c) starting from the moments of equation (15c) for $\zeta \in \{v \rightarrow 1, v \rightarrow v_1, ..., v \rightarrow v_D, v \rightarrow \frac{1}{2} |v|^2 \}$:

$$\nabla_x \cdot (\zeta v g^{(1)}), \frac{1}{2} \nabla_x : (\zeta v \otimes v g^{(0)}), \partial_t (\zeta g^{(1)}), \partial_t (\zeta v g^{(0)}) + \frac{1}{2} \partial_t^2 (\zeta g^{(0)}) = 0$$
We start with the case $\zeta = 1$:

\[
0 = \nabla_x \cdot (v g^{(1)})_S + \frac{1}{2} \nabla_x \nabla_x : (v \otimes v g^{(0)})_S \\
+ \partial_t (g^{(1)})_S + \partial_t \nabla_x \cdot (v g^{(0)})_S + \frac{1}{2} \partial_t^2 (g^{(0)})_S \\
= \partial_t \rho^{(1)} + \rho_0 \nabla_x \cdot u^{(1)} + \frac{1}{2} \nabla_x \cdot \left( \rho_0 \partial_t u^{(0)} + \theta_0 \nabla_x \rho^{(0)} + \rho_0 \nabla_x \theta^{(0)} \right) \\
+ \frac{1}{2} \partial_t \left( \partial_t \rho^{(0)} + \rho_0 \nabla_x \cdot u^{(0)} \right) \\
= \partial_t \rho^{(1)} + \rho_0 \nabla_x \cdot u^{(1)},
\]

due to the term $\langle v \otimes v g^{(0)} \rangle_S$ being of the following form:

\[
\langle v \otimes v g^{(0)} \rangle_S = \left( \theta_0 \rho^{(0)} + \rho_0 \theta^{(0)} \right) I.
\]

We proceed with the case $\zeta = v$:

\[
0 = \nabla_x \cdot (v \otimes v g^{(1)})_S + \frac{1}{2} \nabla_x \nabla_x : (v \otimes v \otimes v g^{(0)})_S + \partial_t \langle v g^{(1)} \rangle_S \\
+ \partial_t \nabla_x \cdot (v \otimes v g^{(0)})_S + \frac{1}{2} \partial_t^2 (v g^{(0)})_S \\
= \rho_0 \partial_t u^{(1)} + \nabla_x \cdot (v \otimes v g^{(1)})_S \\
+ \frac{1}{2} \nabla_x \cdot \left( \rho_0 \partial_t \rho^{(0)} I + \theta_0 \partial_t \theta^{(0)} I + \nabla_x \cdot (v \otimes v \otimes v g^{(0)})_S \right) \\
+ \frac{1}{2} \partial_t \left( \rho_0 \partial_t \rho^{(0)} + \rho_0 \nabla_x \rho^{(0)} + \theta_0 \nabla_x \theta^{(0)} \right). \\
\]

We now analyze the term $\langle v \otimes v g^{(1)} \rangle_S$. From equation (15b) we find:

\[
g_i^{(1)} = g_i^{eq^{(1)}} - \tau \left( c_i \cdot \nabla_x g_i^{(0)} + \partial_i g_i^{(0)} \right).
\]

Therefore we have:

\[
\langle v \otimes v g^{(1)} \rangle_S = \langle v \otimes v g^{eq^{(1)}} \rangle_S - \tau \left( \nabla_x \cdot (v \otimes v \otimes v g^{eq^{(0)}})_S + \partial_t \langle v \otimes v g^{eq^{(0)}} \rangle_S \right) \\
= \left( \theta_0 \rho^{(1)} + \rho_0 \theta^{(1)} \right) I \\
- \tau \left( \nabla_x \cdot (v \otimes v \otimes v g^{(0)})_S + \partial_t \left( \theta_0 \rho^{(0)} + \rho_0 \theta^{(0)} \right) I \right).
\]
Now we plug these results into equation (25) in order to calculate the first order moment of equation (16c):

\[
0 = \rho_0 \partial_t u^{(1)} + \rho_0 \nabla_x \theta^{(1)} + \theta_0 \nabla_x \rho^{(1)} + \frac{1}{2} (1 - \tau) \nabla_x \nabla_x : (v \otimes v \otimes v g^{(0)})_S + \frac{1}{2} \nabla_x \cdot \left( \frac{\rho_0 \theta^{(1)} + \theta_0 \rho^{(1)}}{1 - \tau} \right).
\]

Finally, we proceed with the case \( \zeta = \frac{1}{2} (|v|^2 + \beta) \):

\[
0 = \nabla_x \cdot \left( \frac{1}{2} (|v|^2 + \beta) v g^{(1)} \right)_S + \nabla_x \nabla_x : \left( \frac{1}{2} (|v|^2 + \beta) v \otimes v g^{(0)} \right)_S + \partial_t \nabla_x \cdot \left( \frac{1}{2} (|v|^2 + \beta) v g^{(0)} \right)_S + \frac{1}{2} \partial_t^2 \left( \frac{1}{2} (|v|^2 + \beta) g^{(0)} \right)_S
\]

\[
= \frac{1}{\gamma - 1} \rho_0 \partial_t \theta^{(1)} + \frac{1}{\gamma - 1} \theta_0 \partial_t \rho^{(1)} + \nabla_x \cdot \left( \frac{1}{2} (|v|^2 + \beta) v g^{(1)} \right)_S + \frac{1}{2} \partial_t \left( \frac{1}{\gamma - 1} \rho_0 \partial_t \theta^{(0)} + \frac{1}{\gamma - 1} \theta_0 \partial_t \rho^{(0)} + \frac{\gamma}{\gamma - 1} \nabla_x \cdot u^{(0)} \right).
\]

Analysis of the terms \( \frac{1}{2} (|v|^2 + \beta) g^{(1)} \) and \( \frac{1}{2} (|v|^2 + \beta) v g^{(1)} \) gives:

\[
\langle \frac{1}{2} (|v|^2 + \beta) g^{(1)} \rangle_S = \langle \frac{1}{2} (|v|^2 + \beta) g^{eq(1)} \rangle_S
\]

\[
- \tau \left( \nabla_x \cdot \left( \frac{1}{2} (|v|^2 + \beta) v g^{(0)} \right)_S + \partial_t \left( \frac{1}{2} (|v|^2 + \beta) g^{(0)} \right)_S \right)
\]

\[
= \frac{1}{\gamma - 1} \rho_0 \theta^{(1)} + \frac{1}{\gamma - 1} \theta_0 \rho^{(1)}
\]

\[
- \tau \left( \frac{1}{\gamma - 1} \rho_0 \partial_t \theta^{(0)} + \frac{1}{\gamma - 1} \theta_0 \partial_t \rho^{(0)} + \frac{\gamma}{\gamma - 1} \nabla_x \cdot u^{(0)} \right).
\]

\[
\langle \frac{1}{2} (|v|^2 + \beta) v g^{(1)} \rangle_S = \langle \frac{1}{2} (|v|^2 + \beta) v g^{eq(1)} \rangle_S
\]

\[
- \tau \left( \nabla_x \cdot \left( \frac{1}{2} (|v|^2 + \beta) v \otimes v g^{(0)} \right)_S + \partial_t \left( \frac{1}{2} (|v|^2 + \beta) v g^{(0)} \right)_S \right)
\]

\[
= \frac{\gamma}{\gamma - 1} \rho_0 \theta_0 u^{(1)}
\]

\[
- \tau \left( \nabla_x \cdot \left( \frac{1}{2} (|v|^2 + \beta) v \otimes v g^{(0)} \right)_S + \frac{\gamma}{\gamma - 1} \rho_0 \partial_t \theta_0 u^{(0)} \right).
\]
Plugging this into equation (26) gives the central second-order moment of equation (16c):

\[
0 = \frac{1}{\gamma - 1} \rho_0 \partial_t \theta^{(1)} + \frac{1}{\gamma - 1} \theta_0 \partial_t \rho^{(1)} + \frac{\gamma}{\gamma - 1} \rho_0 \theta_0 \nabla_x \cdot \mathbf{u}^{(1)} \\
+ \left( \frac{1}{2} - \tau \right) \frac{\gamma}{\gamma - 1} \rho_0 \partial_t \nabla_x \cdot \mathbf{u}^{(0)} + \left( \frac{1}{2} - \tau \right) \nabla_x \nabla_x \cdot \left( \frac{1}{2} \left( |v|^2 + \beta \right) \mathbf{v} \otimes \mathbf{v}^{(0)} \right)
\]

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