Securing Seaport Logistic Vehicles Using a Distributed Ledger-based Credential Management System

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Major maritime carriers are globally demanding improvements in the efficiency of port operations. Cargo carried by ships must be loaded and unloaded quickly with minimal stopover time in the port. This requirement mandates seaports to deploy cutting-edge technology to the port area so that logistic processes are increasingly efficient and reliable. In this scenario, the attack surface of such critical infrastructure is growing very rapidly and advanced security techniques must be deployed to enforce a high attack resilience. A Distributed Ledger-based Credential Management System exploiting a Distributed Ledger Technology (DLT) to enable transparent and real-time tracking of logistic vehicles and cargos within a terminal is presented in this paper. Based on a customization of Vehicular Ad-Hoc Network (VANET) security standards, the proposed scheme provides authentication, authorization, and revocation capabilities to promptly exclude misbehaving logistic vehicles from the system, while maintaining an immutable record of all the logistic vehicles’ activity. The laboratory validation demonstrates that the delay of the devised scheme is not dependent on the quay area capacity, thus being applicable in seaports of any size. Furthermore, the effectiveness of the solution is demonstrated with the field trial results obtained with the EU Horizon 2020 COREALIS project testbed deployed in the Port of Livorno.

Index Terms—Logistic vehicles security, Vehicular Public Key Infrastructure, Distributed Ledger Technology, Vehicular Ad-hoc Networks (VANETs)

I. INTRODUCTION

The port industry is rapidly evolving to cope with the demand from global maritime carriers. Efficient and digital ports are essential to effectively respond to this demand and the corresponding requirements (e.g. growing transport volumes, environmental restrictions, port competition, etc.). Furthermore, to assure that seaports can handle the increasing throughput demand, several aspects also need to be considered, including cost efficiency, physical and technical infrastructure (e.g. terminal infrastructure and equipment), geographical location, inland transport services (e.g. truck, rail), and secure information and communication technologies (e.g. port community system, terminal operating system) \textsuperscript{3}. Moreover, in this sustainable growth scenario, the port surrounding areas must be taken into consideration. Even if efficient ports are vital to the economic and societal development, goods handling in the port (e.g. Intra-Terminal Transportation (ITT)) and hinterland distribution can cause negative environmental impacts \textsuperscript{1}. For example, ports need to reduce greenhouse gas emissions by avoiding traffic congestions or through the use of eco-friendly logistics vehicles. For this reasons, this growth must be supported by two sides: from the port surrounding areas point of view, by exploiting new and more efficient inland transport services/connections improving goods distribution with lower environmental impact; and from the port point of view, evolving the infrastructure using cutting-edge Information and Communication Technologies (ICT), which help to improve efficiency and the performance of the goods handling process.

Considering the port ICT infrastructure perspective, there are a number of different technologies that may improve the coordination of ITT operations. The availability and quality of information such as container locations, destinations, time windows, and available connections are essential to efficiently organize ITT operations \textsuperscript{3}. Distributed Ledger Technology (DLT) has provided several implementations aiming to improve products tracking, from the producer to the consumer, and providing also the immutable tracing of seaport operations \textsuperscript{4}. Exploiting cutting-edge communication technologies is the key to shorten the information sharing time, thus improving the whole ITT operations’ delay. However, embracing digital transformation does not come without a price. Many seaport stakeholders, previously operating offline, will need to be connected to exchange information and to implement new digital processes, something that will inevitably lead to an increased attack surface, which can be exploited by malicious actors and compromise regular seaport operation. Just to give an example, in a fully connected ITT operations scenario an attacker can take remote control of logistic vehicles and cause accidents within the terminal area, possibly also involving quay operators. Additionally, an attacker may manipulate the database records with the wrong container destination or status information, thus causing a denial of supply-chain services and corresponding economical damage to terminal companies. In general, every attack that impairs the information integrity or the ITT services and operations availability leads to unpredictable consequences to the whole seaport operations management. For this reason, the adoption of a comprehensive
security scheme is crucial to protect critical infrastructures such as seaports. Systems aiming to optimize and improve ITT operations must take into account their exposure to security issues and vulnerabilities and enforce mechanisms to neutralize them.

A. Contributions of the paper

This paper proposes a fully customized security credential management system, able to track and authorize logistic vehicles, e.g., forklifts, within seaport container terminals during cargo and container movements. The proposed scheme takes advantage of a DLT-based Vehicular Public Key Infrastructure (VPKI) named IOTA-VPKI [5], customized for the logistics use case as described in Section IV-A. The customized version of IOTA-VPKI enables authentication and authorization operations compliant with EU and US Intelligent Transportation System (ITS) standards and supports logistic vehicles’ misbehavior detection with the consequent certificate revocation to exclude malicious vehicles from the system. Additionally, the IOTA-VPKI implementation is extended to immutably store the forklifts and goods position in the underlying DLT, thus effectively tracking logistic vehicles and general cargo management events. The proposed scheme is designed to be compatible with any Terminal Operating System (TOS) to authorize logistic vehicles in the quay area. Finally, the COREALIS H2020 EU project framework is leveraged to test the effectiveness of the proposed security scheme, integrating the security credentials management system in the RTPORT module of the COREALIS architecture. Laboratory experiments demonstrate that the proposed security mechanism introduces negligible delay with respect to the total time of cargo movement. Furthermore, it is shown that the cargo release operation delay is not affected by the number of cargo movements, allowing us to conclude that it is fully compatible with container terminals of any size. A proof of concept prototype implementation was deployed in the Lorenzini’s terminal in the port of Livorno, where the whole system was successfully tested in a real operational environment, thus demonstrating the effectiveness of the devised solution.

The rest of the paper is organized as follows: Section II presents the problem statement and briefly describes relevant background from vehicular and logistics point of view; Section III addresses relevant related work, whereas Section IV provides a detailed description of the proposed scheme, describes its components (i.e. IOTA-VPKI logistics customization and RTPORT) and extensively outlines the authentication scheme for logistic vehicles; in Section VII the experimental setup and test cases are presented together with the obtained results in both laboratory and real field environments; finally Section VII presents a discussion of the main findings of the paper and its conclusions.

II. BACKGROUND

A. Intelligent Transportation Systems

The most advanced sensing and communication technologies have been used in recent years to make vehicles more intelligent. The incorporation of Information and Communication Technologies (ICT) gave birth to a new generation of vehicles that will revolutionize transportation systems [5]. Furthermore, the emergence of Cooperative Intelligent Transportation Systems (C-ITS) and related technologies contributed to new services standardization, supporting new modes of transportation and traffic management, and allowing users to drive in a safer, more coordinated, and smarter way. New C-ITS-based services leverage the cooperation between road users and entities, which constantly broadcast awareness messages (e.g. traffic accidents, road conditions) used to evaluate the surrounding environment and take better informed and safer decisions. A typical C-ITS system is composed of two main entities: the first is installed in vehicles and is called the vehicle ITS station unit (V-ITS-SU), also commonly known as On-Board Unit (OBU), while the second is installed on the road and is called roadside ITS station unit (R-ITS-SU), also known as Road-Side Unit (RSU). The cooperation between road users described above is enabled by two types of communications, namely Vehicular-to-Infrastructure (V2I) and Vehicle-to-Vehicle (V2V) communications, which are established between vehicles and infrastructure entities. Generally speaking, thanks to C-ITS protocols and standards, vehicles can exchange safety messages exploiting V2V communications, and communicate directly with RSUs and other C-ITS infrastructure entities using V2I communications [6].

The cooperative ITS architecture, formally specified by ISO TC204 [11] and ETSI TC ITS [12], includes cross-layer vertical security ITS station entity, which provides standard security functionalities, responsible for performing the atomic security operations and for storing the credentials needed by the security protocols. The credentials used to secure C-ITS services are stored and maintained in the security entity. These include cryptography keys, authorization tickets, certificates, and other security-related parameters, which are usually stored in a Hardware Security Module (HSM). Currently, V2X security utilizes asymmetric cryptography signatures, both in ETSI ITS Security standards and in IEEE 1609.2. These standards essentially describe the security’s architecture and management, trust and privacy models, threat vulnerability and risk analysis (TVRA), message and certificate formats, and Vehicular Public Key Infrastructure (VPKI) models [7].

The cornerstone component of the cooperative ITS architecture is the VPKI, which is entitled to enroll and authorize vehicles and road users in the system. Standardization bodies (i.e. Institute of Electrical and Electronics Engineers (IEEE) 1609.2 Work Group WG [8], [9], and European Telecommunication Standards Institute (ETSI) Technical Committee (TC) ITS WG 5 [10]) and harmonization effort (i.e. Car2Car Communication Consortium (C2C-CC) [11]) recognized VPKI as an effective security mechanism to assure the security of the C-ITS underlying communication infrastructure. The VPKI architecture encompasses a set of Trust Authority (TA) which are responsible to manage different types of vehicles’ credentials, namely Long Term Certificates (LTC - also known as Enrollment Credentials (ECs)) used to enroll vehicles in the system, and Short Term Certificates (STC - also known as Authorization Tickets (ATs)), which are used to authorize
vehicles to access ITS specific services and assure the driver’s anonymity in the system.

B. Distributed Ledger Vehicular PKI: IOTA-VPKI

The first version of IOTA-VPKI was presented in [5] as an adaptation of SECMACE credential management system [16]. SECMACE was proposed by Khodaei et al and it is fully compliant with the current US and EU standards described and analyzed in Section II. The proposed Vehicular Public Key Infrastructure (VPKI) scheme takes the SECMACE reference architecture as the starting point and integrates it with an IOTA distributed ledger implementation as a storage backend of certificates issued to vehicles. As extensively described in [5], IOTA is a Distributed Ledger Technology (DLT) which leverages a Direct Acyclic Graph (DAG) ledger, well suited for devices with small resource capacity. From a vehicular perspective, each vehicle accesses the IOTA network by communicating with the nearest neighbor IOTA Reference Implementation (IRI) node.

The VPKI architecture is composed of a set of Trusted Authorities (TAs) with distinct roles: the Root Certificate Authority (RootCA) is the trust anchor of the whole system and authorizes both the Long Term Certificate Authority (LTCA) and the Short Term Certificate Authority (STCA) for issuing certificates to vehicles. The LTCA is responsible for vehicle enrollment in the system and LTC issuance; in turn, the STCA is responsible for vehicle authorization to access system applications by issuing STC. Finally, the Resolution Authority (RA) is responsible to resolve an STC to an LTC identity of the misbehaving, malfunctioning, or outdated vehicle.

To effectively integrate the credential management system with the IOTA DLT implementation, each TA in the IOTA-VPKI architecture is equipped with a local IRI node and an IOTA wallet to have direct access to the IOTA Tangle ledger. The IOTA wallet is protected by a seed, an 81 characters length string that acts like a private key to open the wallet. In this way the vehicles can access the IOTA network leveraging the trusted VPKI’s IRI nodes, thus mitigating man-in-the-middle (MITM) attacks risk during vehicle-to-VPKI communications.

As detailed in [5], IOTA-VPKI uses IOTA Masked Authenticated Message (MAM) channels to manage and store certificates issued by LTCA and STCA, and to effectively implement communication channels between TAs and vehicles. These channels are used These channels use symmetric encryption and only the channel owner (i.e. TA) can publish new data to be delivered to the entities entitled to read it (i.e. vehicles) [19]. Using its wallet, each TA has its own IOTA encrypted channel that is used for end-to-end secure communication with vehicles during certificate issuance/update procedure. IOTA-VPKI eliminates the single point of failure (SPOF) in TAs as they can be replicated transparently without vehicle reconfiguration. When a new instance of a specific STCA is created for replication, it uses the same seed of other available STCA instances to manage the same wallet and thus the same MAM channels. This avoids SPOF in TAs, known issue of TA-based VPKI [5], and increase the robustness against DDoS attacks as well as the availability level of the whole VPKI.

As discussed in [5], the main drawback of current MAM channel implementation stands behind the need to store messages in the IOTA permanent node to prevent deletion during snapshot processes [31]. This node requires large storage, bandwidth, and high speed, so it cannot be hosted on devices with small computational resources. The permanent node is called Chronicle and is a permanode solution which stores transactions in a distributed database that is secure and scales well [22]. Each IRI node instance running within the IOTA-VPKI TAs can be set up like a Chronicle node so that the zero-value transactions are permanently stored in the IOTA-VPKI for audit.

C. The COREALIS Project

The COREALIS EU project [15] proposes a strategic and innovative framework, based on pervasive and disruptive technologies (e.g. 5G, IoT, Machine Learning, Virtual and Augmented Reality - VR/AR) to handle upcoming and future capacity, traffic, efficiency, and environmental challenges (e.g. inefficient usage of yard equipment and cargo management; high trucks’ turnaround time; the impact of negative port decisions; and long vessels operation completion times). To this end, the project adopts a stakeholder-driven approach, considering the ports’ and port-cities’ main challenges to support the future shift to Industry 4.0. To address these challenges, COREALIS proposes a defined set of innovations implemented and tested in real operating conditions in 5 different Living Lab seaports (Piraeus, Valencia, Antwerp, HaminaKotka, and Livorno).

Employing these port-driven technological and societal innovations, the project allows to embrace of circular economy models in port strategy and operations. Using predictive models as well as advanced data analytics (i.e. Marketplace and Predictor system modules), it will be possible to minimize the meantime for trucks waiting at the port using an advanced Truck Appointment System (TAS), thus reducing the port’s total environmental footprint associated with intermodal connections. The usage of 5G connectivity in container terminals in the Model-Driven Real-Time Control (RTPORT) and Process Modeling of Cargo and Data Flows (PORTMOD) modules enables optimizing the yard capacity and the cargo handling automation. Finally, the Port of Future Serious Game (PoFSG) system module offers training and simulation tools that enable the ports’ management board to take a better medium and long term strategic decisions.

D. RTPORT: Model-Driven Real-Time Control

The RTPORT is a standalone COREALIS system module designed to optimize the on-field general cargo management operations. The lack of automated systems in this field leaves quay operators alone during daily cargo loading/unloading operations, and operational mistakes happen quite often due to non-standard geometries of general cargo or routing faults. Figure 4 depicts the RTPORT module architecture deployed in Lorenzini’s terminal area within Livorno port. RTPORT consists of multiple interconnected smart IoT devices (i.e Wide Dynamic Range (WDR) cameras and Laser Imaging Detection
and Ranging (LIDAR) devices) managed by the Main Control System (MCS), which implements the core functionalities of the RTPORT module and communicates with other entities via 5G network. The forklifts available in the terminal are managed by the **Real-Time Forklifts Management** (RTFM) component that feeds on-demand the MCS with the forklifts’ status and position [23].

The data collected by the MCS from the IoT devices is analyzed to optimize the three main phases of the general cargo management process:

- **Registration**: unloading cargo from the truck that arrives to the terminal;
- **Storage**: storage cargo within the terminal dedicated general cargo area;
- **Loading**: loading cargo on the vessel.

When the truck arrives at the terminal and the cargo is unloaded, the quay operator uses a custom logistics application to update the cargo information (i.e. ID, destination and weight) and completes **Registration phase** within the MCS. Then the cargo is scanned by the LIDAR device to acquire its dimensions, so the 3D model of the cargo is stored in the MCS subsystem. At this point, the MCS will ask the **Real-Time Forklifts Management** (RTFM) for the nearest forklift available in the yard that will conduct the **Storage phase**, moving the cargo to the dedicated general cargo storage area. The forklift driver is then guided to the storage area utilizing AR/VR services running over a smart device installed on the forklift. Furthermore, the MCS applies an optimal cargo distribution algorithm based on the storage area layout and space to guarantee proper distribution of the cargo.

At this point, when new vessel berths at the terminal, the **Loading phase** will start: the quay operator on the crane asks the MCS for available cargo in the storage area that matches the information available in the loading plan provided by the vessel’s captain. Once again, the MCS asks the RTFM for the nearest forklift available in the yard and performs the association between forklift and cargo. The driver is once again assisted by the forklift’s AR/VR device to avoid unnecessary movements, thus minimizing loading delay. During this phase, WDR cameras allow the MCS to enable real-time cargo tracking, a fundamental step for the correct functioning of AR/VR-based services.

## III. Related Work

Several research endeavors describe security solutions for maritime port logistics. As a critical infrastructure (CI), mar-
itime ports are extensively protected with Supervisory Control and Data Acquisition (SCADA) systems, that aim to control, monitor, and automate CI operations and processes. Due to the evolution of CI ICT infrastructures, SCADA systems are progressively integrating new technologies such as IoT, ITS, edge, and fog computing. Sajid et al. [24] described the security challenges of cloud-assisted IoT-based SCADA systems and provided a method to improve and maintain a high-security level of such systems. In [26], Baker et al. proposed identity-based cryptography and signature schemes to reinforce the integrity, security, and privacy of SCADA-based IoT CI at the fog layer. Also in [27], the open issues related to the security protection of IoT-enabled SCADA system were investigated. The authors emphasized physical, cyber, geographic, and logical CIs dependencies and inter-dependencies on other infrastructure (i.e. CI surroundings) to recognize the huge attack surface of the new generation of SCADA systems. One example of this kind of dependency is the integration of seaport CI with ITS to improve freight transportation or ITT operations in general. For example, a detailed description on how to develop and deploy a fully automated Truck Guidance System (TGS) was described in [28], considering the roles of port administration, technology providers, and stakeholders to exploit better the available resources and improve the capacity and efficiency of the seaport operations. The authors exploited Cooperative ITS technology to design automated TGS. Furthermore, they completed the investigation by interviewing logistics stakeholders from the ecosystem of the port of Antwerp, including the end-user point of view. As detailed in the rest of this paper, a similar approach is followed to build an effective security scheme that matches the requirements validated with final users. The compatibility between ITS technologies and logistics information systems has been further discussed in [29] and [30] aiming to provide an effective methodology to implement Vehicular Adhoc Network (VANET) technologies and standards in the multimodal logistics area.

The aforementioned research works provided no experimental results demonstrating the effectiveness of the proposed solutions in a real environment. Mainly, such research endeavors solely discussed the feasibility and methodology to integrate ITS technologies in the logistics workflow. Besides its fundamental role in seaport ITS integration, security needs to be further addressed and investigated, considering a comprehensive threat model that takes into account both logistic perspectives regarding vehicles and their operations.

IV. PROPOSED SCHEME

The integration of ITS technologies in the seaports environment represents a step forward towards efficient and digital seaports. The new security challenges introduced by such integration motivate the design of a new authentication scheme based on ITS technologies and customized for logistics vehicles, that augment Terminal Operating System (TOS) security capabilities and, consequently, the overall seaport security level. In the subsequent subsections, the Vehicular Public Key Infrastructure (VPKI) architecture, customized for logistics and based on our previous work IOTA-VPKI [5], is presented, together with the forklift authentication scheme with three different use cases covering all expected conditions that can be found in a real environment.

A. IOTA-VPKI Logistic Customization Architecture.

The first version of IOTA-VPKI presented in [5] needs to be customized to effectively support logistic use case requirements. In fact, the certificate revocation scheme supported by IOTA-VPKI leverages a passive revocation obtained by expiry. When a vehicle is recognized to be misbehaving and needs to be excluded from the system, the symmetric keys of the TAs’ MAM channels are changed to effectively forbid further certificate requests from such misbehaving vehicle. This scheme can be seen as a Group Signature (GS) based approach, in which fully vehicle revocation is obtained by changing the shared symmetric key.

Unless this scheme is completely compatible with EU and US standards, it is not fully inline with security level of seaports. An active revocation mechanism needs to be employed to assure near real-time revocation of misbehaving logistic vehicles.

Thus, to support the logistic use case, we first assume that vehicles in seaports are equipped with an OBU (i.e. ITS station). In this way, the proposed scheme can be applied to other logistic vehicles, provided that they are equipped with a standard ITS station. When an OBU (δ) wants to send a message, it has first to acquire rights to access the C-ITS communications from the LTCA by sending its pre-registration
recipe (step 1 and 2 in Figure 2). Then, it negotiates rights to access the C-ITS services from STCA (step 3 and 4 in Figure 2). Afterward, it digitally signs V2X messages with its private key \( K^s \) (corresponding to the currently valid STC), and finally sends the message if and only if all the previous steps are successfully completed (namely the vehicle is considered registered and trusted).

Furthermore, a new version of IOTA-VPKI is designed to support logistic vehicle revocation and misbehavior detection. The revocation authority - RA functionalities are extended to implement an active certificate revocation method. The revocation by expiry passive scheme is substituted with a near real-time certificate revocation active method which leverages the underlying IOTA DLT: RA calculates the IOTA address corresponding to the hash representation of the certificate to be revoked and attaches a new zero-value transaction to the IOTA Tangle. The certificate revocation status verification corresponds to checking the zero-value transaction presence on the IOTA address corresponding to the certificate hash representation. The resulting transaction contains also the signature of the RA to avoid malicious actors revoking vehicles without authorization. Finally, the VPKI architecture is further extended to introduce a Misbehavior Authority (MA) that recognizes misbehaving logistic vehicles within the seaport area and notifies them to the RA for revocation.

**B. Forklift Authentication Scheme**

The RTPORT architecture depicted in Figure 1 does not include any security mechanism to enroll forklifts in the system. The complete absence of an authentication scheme exposes the whole COREALIS system to potentially dangerous attacks. For example, a malicious user can inject a false cargo position while the forklift driver is assisted by the AR/VR device, possibly causing an accident within the terminal area. Another attack could be performed against forklifts to communicate false busy status to RTFM, thus blocking the Storage and Loading phases described in the previous section.

To avoid these threats and minimize the attack surface of critical seaport infrastructures, we use the new version of the IOTA-VPKI described in Section V.A to address the case of logistic vehicles in restricted areas. For this purpose, we first extended the forklift equipment with an OBU fully compatible with ETSI security standards. This scheme required a new version of the RTPORT architecture, capable of delegating the forklift enrollment, authorization, and revocation operations to the IOTA-VPKI platform. As a consequence, the RTPORT needed to be connected to the IOTA-VPKI, so the misbehavior authority could detect unauthorized behaviors of the logistics vehicles and, consequently, initiate the forklift’s credential revocation process. The resulting architecture is able, not only to further secure the COREALIS system but can also be extended to other cases in which vehicles move in restricted private areas, e.g., airports, factories, military bases, etc.

Every forklift available in the terminal has to acquire a valid long-term certificate - LTC (step 1 and 2 in Figure 2) to be listed as an authenticated forklift within the main control system - MCS. Then, whenever MCS selects a given forklift to move cargo (i.e., cargoForkliftAssociation function during Storage or Loading phases), it requests the forklift a valid short-term certificate - STC (step 3 and 4 in Figure 2) to authorize the forklift to move the correct cargo. Finally, when the forklift arrives nearby the cargo, it needs to request the cargo release authorization to the MCS (i.e., releaseCargo(cert(idCargo))) function in Figure 3, by presenting the valid LTC containing the correct cargo ID: if the LTC is valid, the MCS enables real-time cargo tracking system and AR/VR device to assist the forklift driver; otherwise, a misbehavior reporting is done by MCS (i.e., RTPORT to MA communication depicted in Figure 2) to IOTA-VPKI. At this point, the revocation authority - RA revokes valid certificates already issued to the misbehaving forklift, excluding it from the system by also revoking its long-term certificate - LTC, thus preventing new valid credential issuance to such forklift. The cargo is constantly monitored by the MCS during the handling operation thanks to the real-time cargo tracking and every unexpected movement can be detected and reported to the IOTA-VPKI for possible revocation. The real-time cargo tracking events are also stored on the IOTA distributed ledger to create an immutable and transparent cargo management event and forklift activity log storage. Thanks to the feeless scheme and the absence of miners, IOTA is specifically designed to be supported by devices with low computational capabilities, thus applicable also in the Internet of Things (IoT) scenario [17]. Furthermore, a public permissionless distributed ledger like IOTA could enable security information sharing between critical infrastructure worldwide, thus building a new concept of a global awareness system to respond to large-scale coordinated attacks.

Three different use cases, covering all the possible conditions expectable in a real environment, have been defined with the support of Lorenzini’s terminal operators. The first use case, depicted in Figure 3, represents a successful authorized movement of specific cargo from the dedicated general cargo storage area to the correct position under the crane. The real-time cargo tracking is represented with the cargoPickedUp and cargoReleased functions which model the cargo position change during handling operations. The second use case is depicted in Figure 4 and shows the situation in which an authenticated forklift is authorized by the MCS to pick-up a given cargo, but the final release position of such cargo is not correct (i.e., cargo not released under the crane), leading to a misbehavior report (notifyMisbehavior(cert)) in Figure 4 made by the MCS to the IOTA-VPKI RA. Once the misbehavior is reported, the revocation authority revokes the forklift certificate, causing the forklift to be excluded from the system. The third use case is depicted in Figure 5 and illustrates the unauthorized release request made by an authenticated forklift for the wrong cargo. In this case, when a forklift calls the releaseCargo function, the MCS checks if the forklift has been authorized to move the requested cargo and detects unauthorized access. At this point, the cargo is notified and alarms are activated in the general cargo area to alert terminal operators of misbehavior. Consequently, the MCS report forklift misbehavior to the IOTA-VPKI, causing the RA to revoke the forklift’ certificate, thus enforcing the
Fig. 3. Use Case 1 - Authorized Forklift takes cargo to a correct destination point.

Fig. 4. Use Case 2 - Authorized forklift takes cargo to a wrong destination point.
C. Threat Model

The threat model considered in this work, takes into account the ETSI Threat, Vulnerability and Risk Analysis (TVRA) report for C-ITS, to identify possible threats and attacks that may affect the proposed scheme. The TVRA method is used to identify risks by isolating the vulnerabilities of the system, assessing the probability of malicious attacks on the recognized vulnerability, and determining the impact when such attacks occur [24]. The generic security attributes for a C-ITS system are the following:

- **Confidentiality**: every information sent to or from an authorized entity should not be revealed to any party unauthorized to receive such information. Furthermore, it should not be possible for an unauthorized party to deduce the location, identity, and route taken by a vehicle based on its communication traffic information;
- **Integrity**: every information sent to or from an authorized entity should be protected from unauthorized modification, deletion, malicious modification, or manipulation during transmission;
- **Availability**: access to ITS services by authorized entities should not be prevented by malicious activity within the system;
- **Accountability**: it should be possible to audit all changes to security parameters and applications such as updates, additions, and deletions;
- **Authenticity**: it should not be possible for an unauthorized user to impersonate an authorized entity when communicating with other authorized parties.

These security attributes also apply to the logistics scenario considered in this paper. Hence, several threats considered for generic C-ITS systems must be examined in the scope of the proposed solution, namely:

- **Man-In-The-Middle attack**: a malicious actor can degrade the integrity of the proposed scheme by routing main control system - MCS certificate status requests to the attacker, thus impersonating Revocation Authority (RA). In this scenario the attacker induces the MCS to trust a revoked forklift, potentially causing dangerous consequences. The proposed scheme prevents this attack by inserting a RA signature within the certificate revocation status response, thus enabling the MCS to check the integrity and authenticity of the certificate revocation status information;
- **Denial of Service (DoS) attack**: considering the MCS as a Target of Evaluation (ToE), a malicious user can implement a message saturation attack to prevent forklift releaseCargo requests to arrive at the MCS: the proposed scheme mitigates such scenario without exposing the MCS on the public Internet and restricting access to the local network where the MCS and forklifts are connected, thus avoiding an unauthorized entity to reach MCS;
- **Manipulation of stored information attack**: malicious manipulation of stored certificate revocation status information may cause the MCS to trust a revoked forklift and permitting it to pick-up cargo that it is not entitled to move. The proposed scheme requires an asymmetric cryptographic signature applied by the IOTA-VPKI TAs and stored together with the revocation information to prevent manipulation: without the private keys it is not feasible for an attacker to manipulate or craft revocation information;
- **Insertion of information attack**: a malicious actor can insert crafted malicious information to degrade the integrity of the forklift’s revocation information or status available in the system. In this scenario, once again, the MCS can be wrongly convinced to trust revoked forklifts and authorize them to move a specific cargo available in the terminal area. In this scenario, the IOTA-VPKI TAs’ signatures (applied on each certificate and revocation information) assure the integrity and the authenticity of the credential management information to the MCS. In these conditions, it will be very difficult for an attacker to impersonate the IOTA-VPKI TAs and insert malicious information into the system.
Summarizing, the proposed authentication and authorization scheme is designed to protect a fleet of forklifts against DoS, Manipulation of stored information, Insertion of malicious information, and Man-In-The-Middle attacks. Furthermore, considering the security objectives and requirements stated in ETSI TVRA report [24], this scheme fulfills the Availability, Integrity, Authenticity, Accountability and Confidentiality requirements. Therefore, it represents a real demonstration that it is feasible to deploy secure C-ITS applications based on current standards.

V. Operations

In this section, we provide the details of the four fundamental operations of the proposed forklift authentication scheme: cargo-forklift association, release cargo, move cargo, and forklift misbehavior notification. However, for the sake of completeness, we start this section with a brief introduction to the primitive functions that are used by the operations.

A. Primitive functions

To implement the fundamental operations, the forklift authentication scheme uses different primitive functions to accomplish different tasks. We briefly introduce them to let the reader better understand the algorithms described in the following subsections.

Message functions: the message auxiliary functions are used to send a request/response message in the different algorithms described in the next sections. The following methods are used:

- sendCertificateRequest(ρ): given a valid request ρ, the function performs a complete certificate request to the IOTA-VPKI;
- replyToCertificateRequest(µ): given a valid response message µ, the function sends the reply message to the entity that has requested a new valid certificate;
- replyToReleaseCargoRequest(µ): given a valid response message µ, the function sends the outcome of releaseCargo operation (i.e. authorization granted or rejected) to the requesting entity;
- replyToNotifyMisbehavior(µ): given a valid response message µ, the function returns the revocation outcome to the Main Control System (MCS).

Cryptographic functions: the cryptographic functions are the ones related to the vehicular signcryption scheme used by the IOTA-VPKI. The following methods are used:

- keygen+sign(λ): with a specific set of security parameters λ, the function called by an entity δ outputs an asymmetric key pair for signing (i.e. $K_δ^s$) and verification (i.e. $K_δ^v$) operations;
- sign($K_δ^s$, m): given a specific $K_δ^s$ issued to an entity δ, and a message m, the function outputs a signature σ over m;
- verify($K_δ^v$, σ, m): given a specific $K_δ^v$ issued to an entity δ, a message m, and the corresponding signature σ, the function verifies if the provided signature is valid for the message m.

IOTA Tangle functions: the IOTA Distributed Ledger Technology (DLT) specific functions are used to manage the transaction issuance, and they are the following:

- tryte_encoder(m): on input, an arbitrary string m, the function returns the IOTA address representation format of the provided string, namely an unique string of 81 trytes as described in [13];
- attachToTangle(addr, m): given a valid IOTA address addr, and an arbitrary message m, the function performs a zero-value transaction issuance and attaches it to the IOTA Tangle as described in [17].

IOTA-VPKI functions: the IOTA-VPKI functions are used by the RTPORT module to communicate with the VPKI. The considered functions are listed below:

- authorizationValidation(Certδ): on input a certificate Certδ issued by the IOTA-VPKI, the function returns the value True when the entity δ is entitled to receive the requested authorization level;
- notifyMisbehavior(Certδ) : on input a certificate Certδ issued by the IOTA-VPKI, the function consumes the misbehavior report for the entity δ and eventually revokes it;
- checkCertificateStatus(Certδ): given a certificate Certδ issued by the IOTA-VPKI, the function verifies the validity of the provided certificate and returns the value True when Certδ is not revoked;
- authorizeVehicle(vehicle_id, cargo_id): given a vehicle_id, and a cargo identifier (i.e. cargo_id), the function effectively authorizes the provided vehicle to move the specific cargo;
- resolveIdentity(Certδ): given a certificate Certδ issued by the IOTA-VPKI, the function resolves the real identity of the entity δ and returns its unique identifier.

Connected cargo functions: the connected cargo exposes different functions that are used to interact with the RTPORT module.

- updateCargoState(s, cargo_id, p): given a new state s, for a given cargo identifier cargo_id, and a new position p, the function updates the current state of the cargo to the new one in the Real-Time Forklifts Management (RTFM) module. Possible values for s are: “blocked”, “pickedUp”, and “released”;
- notifyUnauthorizedAccess(cargo_id): the function consumes a notification for unauthorized access to a specific cargo_id, and activate alarms to alert the surroundings of an unauthorized access;

Connected Forklift functions: the connected forklift exposes a single function that is used by MCS to communicate the cargo to be moved when a specific forklift is selected.

- signal(F_i, cargo_id, p): the function notifies the forklift $F_i$ to move the selected cargo (i.e., cargo_id) to the destination position p.

B. Cargo-Forklift Association

As described in Section [I-D], the RTPORT module is equipped with the Real-Time Forklifts Management (RTFM)
component that allows quay operators to see, in real-time, all the available forklifts within the general cargo terminal area. The RTFM module provides information on the status of each forklift (i.e., available or busy), the forklift-id, the forklift speed, and position within the quay area. Thus, the Main Control System (MCS) exploits this information to run a simple algorithm to select the best forklift that will be authorized to move a specific cargo under the crane. The cargo-forklift association method is reported in Algorithm 1.

Algorithm 1 Cargo-Forklift Association

Input: $C, \{F_i\}_{i=1}^{N_F}$

Step 1: select nearest forklift $F_n$ with $1 \leq n \leq N_F$

$C_{id} \leftarrow id(C)$

$C_{pos} \leftarrow pos(C)$

$F_{i_{pos}} \leftarrow pos(F_i)$

for all $F_i$ in $\{F_i\}_{i=1}^{N_F}$ do

if $F_i$ is available then

distances[i] $\leftarrow$ distance($C_{pos}, F_{i_{pos}}$)

else

distances[i] $\leftarrow \infty$

end if

end for

$F_{n_{id}} \leftarrow id(min(distances))$

Step 2: authorize $F_{n_{id}}$ in IOTA-VPKI

signal($F_{n_{id}}, C_{id}, C_{pos}$)

authorizeVehicle($F_{n_{id}}, C_{id}$)

When the Main Control System (MCS) needs to select a forklift for a freight transfer, the logistic vehicle selection is done by the RTFM module in two steps.

Step 1: The cargo-forklift association algorithm is executed by the RTFM module. Two input parameters are considered for the set of forklifts in the area ($\{F_i\}_{i=1}^{N_F}$): status and position. The first refers to the forklift availability while the second reflects its position in the quay area. The cargo to be moved has a unique id ($C_{id}$) and it is also characterized its position in the quay area ($C_{pos}$). To guarantee the authenticity of the forklifts’ position, the RTFM module correlates the values received from the forklifts’ GPS with the output of the LIDAR devices available in the terminal increasing the precision of the measurements and, also, avoiding GPS spoofing.

The distance between the cargo ($C$) and each of the available forklifts ($\{F_i\}_{i=1}^{N_F}$) is computed and stored in a vector of distances. The id of the nearest forklift is then assigned to $F_{n_{id}}$.

Step 2: Finally, the MCS communicates the id of the cargo ($C_{id}$) and destination position ($C_{pos}$) to the selected forklift (i.e., $F_{n_{id}}$) via RTFM module, and notifies the IOTA-VPKI to accept authorization request to move the specific cargo under the crane.

As described in 2, the current RTPORT implementation uses a very simple algorithm to compute the geographical distance between the selected cargo ($C$) and the available forklifts. A possible extension of such algorithm can be implemented considering the shape of the general cargo terminal area. Shortest path algorithms can be considered to take into account obstacles between forklifts and the cargo to be moved. However, the specific shortest path algorithm that can be deployed in this scenario is out of scope of this work.

C. Certificate Issuance

As described in the previous section, when the Cargo-Forklift Association operation ends, it notifies the selected forklift ($F_{n_{id}}$) to move the cargo $C_{id}$ under the crane. To perform freight transport, the forklift needs to request the IOTA-VPKI to issue a new Short-Term Certificate (STC) as presented in Algorithm 2. To complete this operation, the forklift must have obtained a valid Long-Term certificate (LTC) from IOTA-VPKI with a procedure similar to the one described below.

Algorithm 2 Short-term Certificate request

Input: $C_{id}, LTC_{F_{n_{id}}}$

$(K^c_{F_{n_{id}}}, K^v_{F_{n_{id}}}) \leftarrow$ keygen(sign($\lambda$))

$m_{cr} \leftarrow (K^c_{F_{n_{id}}} | LTC_{F_{n_{id}}} | C_{id})$

$\sigma_{cr} \leftarrow$ sign($K^c_{F_{n_{id}}}, m_{cr}$)

sendCertificateRequest($F_{n_{id}} | m_{cr} | \sigma_{cr}$)

The forklift ($F_{n_{id}}$) first generates a pair of asymmetric keys (i.e., a signing key $K^c_{F_{n_{id}}}$, and a verification key $K^v_{F_{n_{id}}}$) using the keygen(sign($\lambda$)) cryptographic function, where the security parameters ($\lambda$) are the ones defined in IEEE 1609.2 standard [9] and are the same of the EU standards. The key generation described above is done every time a new (LTC or STC) certificate is requested: this guarantees fresh keys for each new certificate and lowers the risk to use compromised keys. Then, it prepares the certificate request ($m_{cr}$) message which contains the verification key ($K^v_{F_{n_{id}}}$), the valid LTC ($LTC_{F_{n_{id}}}$), and the cargo id ($C_{id}$). Finally, the forklift digitally signs the message $m_{cr}$ with its signing key ($K^c_{F_{n_{id}}}$) and sends the message coupled with the signature ($\sigma_{cr}$) to the IOTA-VPKI (sendCertificateRequest).

In turn, IOTA-VPKI executes the Short-term Certificate Issuance procedure to issue a valid STC as presented in Algorithm 3.

On receiving the message ($m_{cr}$), the IOTA-VPKI first checks the integrity of the message verifying the signature ($\sigma_{cr}$) with the received verification key ($K^v_{F_{n_{id}}}$). If the signature verification succeeds, the IOTA-VPKI checks that the requesting forklift ($F_{n_{id}}$) is the one selected by the MCS to move the provided cargo ($C_{id}$). Then, the IOTA-VPKI verifies that the provided LTC ($LTC_{F_{n_{id}}}$) is still valid (i.e., it has not been revoked), and requests the authorization request validation to the Long-Term Certification Authority (LTCA) using the authorizationValidation function. If everything is correct, the IOTA-VPKI issues a new valid STC ($STC_{F_{n_{id}}, C_{id}}$) and sends it to the requesting forklift ($F_{n_{id}}$) with a digitally signed certificate issuance ($m_{ci}$) response via the corresponding reply function (replyToCertificateRequest). Conversely, if the provided certificate ($LTC_{F_{n_{id}}}$) is not valid or the LTCA rejects the authorization request validation, the MCS digitally signs ($\sigma_{ci}$) a certificate issuance rejection message ($m_{ko}$), and sends
Algorithm 3 Short-term Certificate Issuance Procedure

Input: $m_{cr}$, $\sigma_{cr}$, $m_{ok}$, $m_{ko}$
Ensure: $STC_{F_{nid},C_{id}}$ issued
if verify($K_{v,F_{nid}}$, $m_{cr}$) then
  if ($F_{nid},C_{id}$) was selected by MCS then
    if checkCertificateStatus($LTC_{F_{nid}}$) then
      if authorizationValidation($LTC_{F_{nid}}$) then
        $STC_{F_{nid},C_{id}} \leftarrow (STC_{F_{nid}}, C_{id})$
        $\sigma_{ci} \leftarrow \text{sign}(K^\text{VPKI}, STC_{F_{nid},C_{id}})$
        $m_{ci} \leftarrow (STC_{F_{nid},C_{id}} | \sigma_{ci})$
      else
        $\sigma_{ci} \leftarrow \text{sign}(K^\text{VPKI}, m_{ko})$
        $m_{ci} \leftarrow (m_{ko} | \sigma_{ci})$
      end if
    else
      $\sigma_{ci} \leftarrow \text{sign}(K^\text{VPKI}, m_{ko})$
      $m_{ci} \leftarrow (m_{ko} | \sigma_{ci})$
    end if
  else
    $\sigma_{ci} \leftarrow \text{sign}(K^\text{VPKI}, m_{ko})$
    $m_{ci} \leftarrow (m_{ko} | \sigma_{ci})$
  end if
else
  requestToCertificateRequest($m_{ci}$)
end if

Algorithm 4 Release Cargo

Input: $STC_{F_{nid},C_{id}}$, $K^F_{nid}$, $\sigma_{rc}$, $m_{rc}$, $m_{ok}$, $m_{ko}$
Ensure: Authorization granted or rejected
if verify($K^F_{v,F_{nid}}$, $\sigma_{rc}$) then
  if checkCertificateStatus($STC_{F_{nid},C_{id}}$) then
    $\sigma_{ack} \leftarrow \text{sign}(K^M_{\text{MCS}}, m_{ok})$
    replyToReleaseCargoRequest($m_{ok} | \sigma_{ack}$)
  end if
else
  ignore message $m_{rc}$
end if

D. Cargo Tracking

The cargo tracking operation implements the real-time goods tracing in the proposed scheme. To this end, we defined three different cargo states as building blocks for cargo tracking plan:

- locked: this state models the moment when the cargo is fixed in the quay area. Each cargo move to this state just after the storage phase of the general cargo management process;
- pickedUp: a cargo move to this state whenever an authorized cargo picks it up from the field;
- released: this is the final state reached by the cargo whenever the forklift releases it under the crane during loading phase.

Once the forklift has loaded the unlocked cargo, the Main Control System (MCS) continuously receives position updates from the connected cargo and stores them in the underlying IOTA Tangle. In this way, the MCS is always aware of the current position of the cargo. Furthermore, it can recognize if the cargo is moving in the wrong quay zone and notify a forklift misbehavior.

E. Release Cargo

The cargo needs to be unlocked by the MCS to be effectively moved under the crane. To this end, the selected forklift sends a releaseCargo request to the Main Control System (MCS). This operation is responsible to check that the requesting forklift is authorized to move the provided cargo_id. The details of releaseCargo method are presented in Algorithm 4. To effectively perform this request, the forklift asks to the IOTA-VPKI a new valid Short-Term Certificate ($STC_{F_{nid},C_{id}}$) which explicitly authorizes the forklift $F_{nid}$ to move the provided Cargo ($C_{id}$) as described in previous Section IV.C.
a notification to the connected cargo to activate alarms and to alert the surroundings about the unauthorized access (notifyUnauthorizedAccess). The input message contains the cargo_id (Cid) and the signature (σnm) applied by the MCS with its signing key (KvMCS). Finally, the MCS reports the forklift Fnmid for misbehavior to the IOTA-VPKI with notifyMisbehaviour function. Even in this case, the MCS digitally signs the input certificate (STC nmid) and sends to the IOTA-VPKI the corresponding signature (σnm) coupled with the certificate of the misbehaving vehicle. In this case, the rejection message mko is digitally signed by the MCS (σack) and sent to the requesting forklift with the corresponding reply function (replyToReleaseCargoRequest).

It is worth mentioning that we discussed the basic case when the cargo to be moved is directly accessible from the selected forklift. It is possible that the cargo is behind some other cargo that needs to be moved as well. In this particular case, the MCS authorizes the selected forklift to move the needed cargo at the time of Cargo-Forklift Association operation execution. In this way, the forklift asks the IOTA-VPKI for a cumulative STC which authorizes moving the different cargos.

F. Forklift Misbehavior Notification

When an unauthorized behavior is recognized by the MCS while the cargo is moving to the destination position, a misbehavior alert is reported to the IOTA-VPKI to effectively revoke the misbehaving forklift and protect the system from malicious attacks. To this end, the Forklift Misbehavior Notification operation is executed by the MCS. As described in previous sections, the misbehavior notification can be issued in two different situations: when an authorized forklift moves a specific cargo to a wrong destination position; or when a forklift requests to move a cargo that is not entitled to transport to a specific destination. The Forklift Misbehavior notification operation is presented in Algorithm 5.

When the MCS recognizes a misbehavior it prepares a misbehavior report message mnm containing the certificate (STC Fnmid Cid) of the misbehaving forklift Fn. To assure authenticity and integrity of the message, the MCS sends also the signature (σnm) of the message mnm performed with the MCS’s signing keys created during the certificate issuance procedure. In turn, upon receiving a misbehavior notification, the IOTA-VPKI first verifies the signature of the message with the provided verification key (KvMCS), and then resolves the real identity of the misbehaving forklift using the provided certificate STC Fnmid Cid. The forklift identity corresponds to a specific identifier (Fn) that the IOTA-VPKI uses to check the number of misbehavior reports (reports(Fn)) already received for the specific forklift. If this number is greater than the predefined threshold, the forklift (Fn) is removed from the authorized forklifts list and revoked from the system. Otherwise, the number of misbehavior reports is simply incremented by a unit. The outcome of this revocation is twofold: on the one hand, the misbehaving forklift is removed from the authorized forklift list in the RTFM (i.e. authorized_forklifts.remove(Fn)); on the other hand, the provided STC Fnmid Cid is revoked by the IOTA-VPKI, publishing a transaction on the IOTA DLT at the address (iota_address) representing the unique tryte_encoded representation of STC. The IOTA transaction message (mok) is created concatenating the certificate (STC Fnmid Cid) and the corresponding digital signature (σmok) to enforce the integrity of revocation information. In this way, the misbehaving forklift will be not allowed to move other cargos, and any new authorization request by Fm (i.e. Fm) will be refused by the IOTA-VPKI. If the transaction is successfully attached to IOTA DLT, a success message (mok) is digitally signed by the IOTA-VPKI (σmok) and correctly saved in the corresponding variables. Conversely, when the misbehavior threshold is not exceeded, the response message (mnop) is updated with the no-operation message mnoop, and then digitally signed (σmr) by the IOTA-VPKI.

Once the algorithm is finished, the misbehavior response message (mnm) is sent back to the requesting vehicle with the corresponding reply function (replyToNotifyMisbehavior).

VI. Performance Evaluation

To demonstrate the effectiveness of the proposed solution, two sets of experiments were conducted. The first experiments were done in a pseudo-real environment, deployed in the laboratory, while the second was performed in a field trial session.

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**Algorithm 5 Misbehavior Notification**

**Input:** STC Fnmid Cid, Kvm MCS, mnm, σnm, mok, mko, mnoop

**Ensure:** Forklift successfully revoked

```plaintext
if verify(KvMCS, σnm, mnm) then
    Fnmid ⇐ resolvedIdentity(STC Fnmid Cid)
    if reports(Fnmid) > threshold then
        authorized_forklifts.remove(Fnmid)
        iota_address ⇐ tryte_encoded(STC Fnmid Cid)
        σri ⇐ sign(KRA, STC Fnmid Cid)
        mri ⇐ (STC Fnmid Cid | σri)
        transaction ⇐ attachToTangle(iota_address, mri)
    else
        if transaction is attached then
            σmr ⇐ sign(KRA, mok)
            mmr ⇐ (mok | σmr)
        else
            reports(Fnmid) ⇐ reports(Fnmid) + 1
            σmr ⇐ sign(KRA, mnoop)
            mmr ⇐ (mnoop | σmr)
        end if
        replyToNotifyMisbehavior(mmr)
    else
        ignore message mnm
    end if
```
at Lorenzini’s terminal, within the Livorno port to evaluate the effectiveness of the proposed solution in a real environment. The following subsections describe the experimental settings for the two sessions and discuss the final results.

A. Experimental Settings

The goal of the laboratory experiments is to demonstrate that the proposed security scheme applies to any terminal class size and that it has a negligible delay with respect to the end-to-end duration of a cargo movement. For this reason, a testing environment, closely mimicking a real scenario, was designed to evaluate the latency introduced by the proposed security scheme and assess its performance. The experimental environment architecture is depicted in Figure 6. On the simulated Terminal Operating System (TOS) network, two identical workstations with 3.0-GHz Intel Core i5 CPU and 8-GB RAM were used to deploy an instance of the RTPORT module equipped with the security extensions described in Section IV, and an instance of the IOTA-VPKI extended with the proposed forklift revocation scheme. The workstations were interconnected through a 1-Gb/s Ethernet switch. In turn, on the quay area, a connected forklift is simulated with an ITS station (OBU), equipped with a SOM NXP i.MX 8M Quad-core (4 x Cortex™-A53 1.5GHz) and 4GB of RAM. The connected forklift simulates the release cargo procedure in different runs. All delay measurements were taken in the OBU to avoid potential timing synchronization issues.

The experimental setup for the field test sessions was identical to the one adopted in laboratory experiments, except for the 4G wireless connection between the connected forklift and RTPORT Module, instead of the Ethernet network.

Due to the impossibility of blocking the daily terminal operations to execute multiple test sessions in the real environment, only twenty runs of each use case were executed over two days at the Lorenzini’s general cargo dedicated area. However, all runs were successfully completed without issues and the obtained results were very similar to those obtained in the laboratory.

To avoid interference with public transactions, a private IOTA Tangle was employed in the IOTA-VPKI instance [20]. The private Tangle instance was executed with basic settings equal to Devnet [21]. Notice that the proposed method is technically compatible with the public IOTA Tangle instance. However, to better explain the timing compatibility between public and private IOTA instances, it is worth discussing the concept of approved transactions. The full process of attaching a transaction to the Tangle is detailed in [31]. At the end of such process, the new transaction is called a tip, i.e. an unapproved transaction. Each new tip waits for a confirmation through direct or indirect approval until its accumulated weight reaches the predefined threshold [31] at which point the tip becomes an approved transaction. The delay of this approval process depends on the number of network users and the predefined weight threshold. Furthermore, this delay impacts the number of confirmed transactions per second and it is the main difference between the IOTA Mainnet, i.e. public IOTA Tangle, and other kinds of IOTA networks, including private ones. However, our scheme does not depend on the approval process because vehicles can trust the content of new transactions even when they are in the tip state thanks to the Trusted Authority (TA) cryptographic signature, which is applied on every content published in the ledger. For this reason, we expect to experience comparable delays even using the public available IOTA Tangle.

B. Experimental Results

The main goal of the proposed scheme is to provide a security layer that can authenticate, authorize, and eventually revoke forklifts in the RTPORT module. Hence the most important fact to be analyzed is the vulnerability window, i.e., the period in which a recognized misbehaving forklift remains trusted by the Main Control System (MCS) and other entities, potentially exposing the quay operators and the cargo to damage and accidents. For this reason, time measurements were focused on the releaseCargo function, since it is the MCS’s operation that recognizes potential misbehaving forklifts. Since the releaseCargo function delay also includes the time to receive a Short-Term Certificate (STC) from the IOTA-VPKI, this certificate issuance delay was also measured at the connected forklift side to measure the impact of VPKI operations’ on releaseCargo delay.

To set up the first experimental testing campaign in the laboratory, Lorenzini’s terminal managers were interviewed to help to define the profiles of the different test runs in terms of the number of cargo available in the quay area. It was concluded that the volume of non-containerized cargo (i.e. general cargo) moved in a day depends on the size of each vessel and on the number of berths that are available in the terminal. Based on this information, three different general cargo terminal sizes were defined:

- Small size general cargo terminals move no more than 200 volumes per day:
Thus, to demonstrate that the proposed method is independent of the volume of cargo moved, three different test runs were set up in the laboratory, each of them simulating one of the general cargo terminal class sizes defined.

Figure 7 presents the Cumulative Distribution Function (CDF) of the release cargo operation delay in the three different general cargo terminal size profiles. The release cargo operation delay encompasses the STC issuance and the releaseCargo function call delays. As summarized in Table I in the first run (200 cargo movements) there is a 95% of probability that the delays are lower or equal to 98 ms. Increasing the number of cargo movements has almost no impact on the delay for the 95% probability since for 400 cargo movements the delay is 99 ms and the same delay is measured for 700 cargo movements. The average delay value is close to 80 ms in all three runs, while the minimum delay values are very different (50 ms in the first run; 40 ms in the second run; and 33 ms in the third run). On the contrary, the maximum values are close to 99 ms for all three runs. The results’ trend shows that the delay of the proposed scheme is fully independent of the number of cargo movements. The constant delay in different runs suggests that the proposed solution can be applied in seaports of any class size.

The laboratory results were further analyzed to assess the STC issuance delay statistics and see how the IOTA-VPKI forklift authorization operation impacts the delay of the proposed scheme. As summarized in Table II in the first run with 200 cargo movements there is a 95% of probability that the STC issuance delay is lower or equal than 51 ms, while in the second and third runs the same probability value applies for delays lower or equal than 52 ms. The average values are close to 40 ms in all three runs and, similarly, the minimum values are also very close: 16 ms for first and third runs and 17 ms for the second one. Finally, the maximum values are close to 50 ms in all three runs. Once again the constant trend justifies the approximately constant delay measured by release cargo operation. The remaining part of the Algorithm 4 is related to misbehavior notification (lines 11-13). The related delay is not experienced by the system in terms of vulnerability window because the first action of MCS is to remove the misbehaving forklift from the list of authorized vehicles (line 4 of Algorithm 5). This design assures approximately real-time revocation for each misbehaving logistic vehicle that has passed the pre-defined misbehavior threshold configured in MCS. In turn, this effectively demonstrates that the proposed scheme assures a very low vulnerability window regardless of the volume of cargo moved.

### Table I

**RELEASE CARGO OPERATION DELAY STATISTICS.**

| # Cargos | Minimum | Average | Maximum | $Pr(t \leq x) = 0.95$ |
|---|---|---|---|---|
| 200 | 3 ms | 11 ms | 17 ms | 15 ms |
| 400 | 3 ms | 10 ms | 18 ms | 15 ms |
| 700 | 3 ms | 11 ms | 35 ms | 15 ms |

**TABLE II**

**CERTIFICATE STATUS CHECKING DELAY STATISTICS.**

However, it is worth noting that all runs correspond to authorized and successful cargo movements. Thus, the only operation done by the MCS is to verify the certificate forklift revocation status (lines 1-9 in Algorithm 4). As detailed in Section IV the certificate revocation status checking consists of probing the IOTA address representing the forklift’s certificate for a zero value transaction. The related delay statistics are presented in Table II. Similarly to release cargo operation delay statistics, the revocation checking delay is almost equal and constant in the three different runs. In fact, in all runs, there is a 95% of probability that the delays are lower or equal to 15 ms. Regarding average values, the first and third runs measure 11 ms, while in the second run the average delay is 10 ms. In the worst-case analysis, the maximum value is measured in the third run (35 ms), while in the first and second runs the maximum value is close to 18 ms. Finally, the minimum values are equal to 3 ms in the three different runs. This constant trend justifies the approximately constant delay measured by release cargo operation. The remaining part of the Algorithm 4 is related to misbehavior notification (lines 11-13). The related delay is not experienced by the system in terms of vulnerability window because the first action of MCS is to remove the misbehaving forklift from the list of authorized vehicles (line 4 of Algorithm 5). This design assures approximately real-time revocation for each misbehaving logistic vehicle that has passed the pre-defined misbehavior threshold configured in MCS. In turn, this effectively demonstrates that the proposed scheme assures a very low vulnerability window regardless of the volume of cargo moved.

### Table I

**CERTIFICATE STATUS CHECKING DELAY STATISTICS.**

| # Cargos | Minimum | Average | Maximum | $Pr(t \leq x) = 0.95$ |
|---|---|---|---|---|
| 200 | 3 ms | 11 ms | 17 ms | 15 ms |
| 400 | 3 ms | 10 ms | 18 ms | 15 ms |
| 700 | 3 ms | 11 ms | 35 ms | 15 ms |
functionalities with a negligible delay with respect to the current standards.

| # Cargos | Minimum | Average | Maximum | Pr(t ≤ x) = 0.95 |
|----------|---------|---------|---------|------------------|
| 200      | 16 ms   | 39 ms   | 51 ms   | 51 ms            |
| 400      | 17 ms   | 38 ms   | 53 ms   | 52 ms            |
| 700      | 16 ms   | 38 ms   | 53 ms   | 52 ms            |

TABLE III
STC ISSUANCE DELAY STATISTICS.

Finally, to demonstrate that the proposed scheme works in a real environment, an instance of the RTPORT secured with the forklift authentication scheme described in Section IV-B was deployed in the Lorenzini’s terminal, within the general cargo dedicated area in Livorno port (Italy). With almost 400 maximum daily cargo movements, Lorenzini’s terminal is considered a medium class size general cargo terminal. A satellite photo of Lorenzini’s terminal is presented in Figure 8. To mimic the same conditions of the laboratory testing campaign, two forklifts were equipped with OBUs (i.e. red trapezoid in the center of the Figure 8) and a publicly accessible instance of IOTA-VPKI, equipped with IOTA Private Tangle, was deployed. As discussed in Section VI-A the ITS Station (OBU) employed in the field trial has been configured with the same characteristics used in laboratory experiments (i.e. SOM NXP i.MX 8M Quad-core (4 x Cortex™-A53 1.5GHz), 4GB RAM). Similarly, the workstations used to deploy the IOTA-VPKI instance, and the secured RTPORT module were identical to the one used in laboratory experiments. The only different setting is related to the 4G wireless connectivity between OBU and Terminal Operating System (TOS) network as depicted in Figure 6.

Twenty runs of the three use cases described in Section IV-B were performed, with the support of Lorenzini’s terminal operators that drove the forklifts during use case runs. A single run represents a full cargo movement from the general cargo storage area (i.e. green trapezoid, top-right corner in Figure 8) to the crane of the selected vessel (i.e. blue rectangle, bottom-left corner in Figure 8). During the execution of tests, the releaseCargo function call and the STC issuance delay were measured from the OBU and their values are comparable with the ones measured in the laboratory environment. Considering the worst-case analysis, the maximum value measured during the field trial tests was 759 ms: comparing this value with the 95th percentile (99 ms) reported in Table I suggests that the proposed scheme is performing as expected also in a real environment and that even in the worst-case scenario it introduces negligible delay with respect to the total cargo movement time (around 20-30 seconds in the Lorenzini’s terminal).

VII. CONCLUSIONS
This paper presented a credential management system to authenticate and authorize logistic vehicles in seaport environments. The authorization process is essential to entitle a specific connected logistic vehicle to pick-up the correct cargo within the terminal area. The proposed solution is based on IOTA-VPKI, a Distributed Ledger based vehicular public key infrastructure compliant with US and EU standards. The IOTA-VPKI was customized to track seaport logistic vehicles and general cargo, exploiting the underlying distributed ledger technology as transparent storage of such tracking information. Furthermore, the proposed solution adopts a threat model based on the ETSI TVRA report to address the security requirements and the robustness of the system against different types of attacks.
Different seaports class sizes has been considered, in terms of the number of cargo movements, to test the effectiveness of the proposed solution. Experimental results have shown that 95% of the release cargo operation (i.e. general cargo pickup) delay are lower or equal to 99 ms, thus demonstrating the feasibility of the proposed scheme, which is completely independent of seaport class size (i.e. number of daily cargo movements). Furthermore, the H2020 COREALIS project testbed available in Lorenzini’s terminal in Livorno port has been leveraged to test the proposed solution in a real environment. The field trial results demonstrate that the maximum delay was 795 ms, a value compatible with laboratory measurements and further prove that the proposed scheme performs as expected also in real conditions. Finally, the delay introduced in the proposed solution is negligible with respect to the total cargo movement time, which is, on average, greater than 20 seconds.

To summarize, the proposed solution can be used in any class size seaports to authenticate, authorize, and eventually revoke logistic vehicles within terminal areas. The proposed scheme mitigates the risks of intra-terminal accidents and enhances the security level of the whole seaport.

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