Divergent synthesis of a thiolate-based α-hydroxytropolone library with a dynamic bioactivity profile†

Nana B. Agyemang,ab Cassandra R. Kukla,c Tiffany C. Edwards,c Qilan Li,c Madison K. Langen,d Alexandra Schaal,d Aabaigeal D. Franson,c Andreu Gazquez Casals,c Katherine A. Donald,c Alice J. Yu,c Maureen J. Donlin,d Lynda A. Morrison,dcc John E. Tavis dc and Ryan P. Murelli d∗ab

Here we describe a rapid and divergent synthetic route toward structurally novel aHTs functionalized with either one or two thioether or sulfonyl appendages. Evaluation of this library against hepatitis B and herpes simplex virus, as well as the pathogenic fungus Cryptococcus neoformans, revealed complementary biological profiles and new lead compounds with sub-micromolar activities against each pathogen and high selectivities when compared to mammalian cell lines.

Introduction

α-Hydroxytropolone (aHT, 1, Fig. 1A) is a highly oxygenated troponoid with 3 contiguous oxygen atoms that give it the capacity to coordinate two metals in the active sites of many dinuclear metalloenzymes.3 As such, it has been of interest as a metal-binding fragment in a broad range of drug-development pursuits.2 One of the major obstacles historically associated with exploiting aHT in drug development, however, has been a scarcity of methods capable of producing functionalized variants.3 As a result, most of what is known about the activity of aHTs has stemmed from natural products, and in particular β-thujaplicinol (2),4 a simple natural product variant with a fairly promiscuous activity profile (e.g., Fig. 1B).5 In addition, the few synthetic chemistry-driven structure–function studies that have been conducted have generally focused on highly structurally homologous molecules.6

Driven by this need, over the last several years our lab has been studying an oxidopyrylium cycloaddition/ring-opening route to generate a diverse range of aHTs (Scheme 1).7 This strategy is highly effective at installing a variety of functionalities at position 4 (inset, Scheme 1). However, installing functionality at the 3 position, adjacent to the metal-binding oxygens, is more laborious using this strategy,8 and it is not clear at present how the method could install functionality at both the 3- and 6- positions. Given this proximity, it would be expected that molecules with appendages at the 3 and/or 6 positions would more readily engage protein targets either positively or negatively, and as a result have a more dynamic biological activity profile. Thus, strategies that can conveniently install groups at these positions would be complementary to existing synthetic strategies, and as such would be valuable in the continued development of aHT-based therapeutics.

Here we describe the divergent synthesis and biological evaluation of a library of new aHTs that have thioether and sulfonyl appendages at one or both of these positions (Scheme 2B). The thioether-appended aHTs are synthesized through a rapid halogenation/thiolate addition sequence and are then oxidized to generate an additional set of sulfonyl-containing aHTs. Profiling the library against three common pathogenic microbes, hepatitis B virus (HBV), herpes simplex virus-1 (HSV-}

![Fig. 1: α-Hydroxytropolone (aHT) overview. (A) aHT and potential mode of inhibition of dinuclear metalloenzymes. (B) aHT natural product β-thujaplicinol, and previously published data against HBV, HSV-1, and C. neoformans.†](image-url)
would provide an additional useful in generating oxygen triad, we became curious if a similar route might be accessing one or both sites adjacent to the metal-binding points for drug-development pursuits.

Results and discussion

Synthesis

Our synthetic strategy was largely inspired by a halogenation/cross-coupling sequence exploited by Piettre and co-workers in the synthesis of a series of mono- and bis-arylated 3,7-dihydroxytropolones (Scheme 2A).29 Given the value of the strategy in accessing one or both sites adjacent to the metal-binding oxygen triad, we became curious if a similar route might be useful in generating zHTs functionalized at similar positions. Rather than aryl-aryl cross-coupling chemistry, however, we decided to investigate thiolate addition chemistry. Thiolate addition chemistry had been established for halo tropolone functionalization,30 and the ability to oxidize to the sulfonyl would provide an additional zHT analogues with different electronic properties. Furthermore, given our desire to keep the synthesis as simple as possible, we also wanted to avoid the late-stage tropolone protection used in the Piettre studies. In this regard, it was known that thiolates could react as nucleophiles in the presence of unprotected zHTs.31,32

zHT 1 was thus synthesized on multigram scale in 4 steps from tropolone employing a method closely derived from that developed by Takeshita.33 Piettre had previously described in-depth the challenges of 3,7-dihydroxytropolone mono-halogenation for production of 7 due to the high bis-halogenated product 8, and in order to maximize production of 7 used slow addition of N-bromosuccinimide (NBS) and only took the reaction to partial conversion.9 We decided instead to embrace the combinatorial chemistry-type benefits this non-selective halogenation provided. After exploration of various halogenation conditions, we found that refluxing a solution of 1 and 2 equivalents of N-bromosuccinimide in benzene over a period of 24 h yielded a mixture of 7 and 8 in a roughly equimolar ratio. Rather than purify at this step, upon evaporation of the benzene, we immediately added sodium thiolates in DMSO to the reaction vessel and heated the reaction mixture to 80 °C for 24 h. Upon cooling, the DMSO solution was loaded directly onto a C18 reverse phase column (Biotage Isolera Prime, C18 SNAP) and chromatographed to isolate pure mono- and bis-thioether hydroxytropolones, 13a–h and 15a–h. These zHTs were individually converted into mono- and bis-sulfones 14a–h and 16a–h following oxidation with mCPBA.10 Thus, we found that from zHT 1 and single thiol or thiolate, we could readily access as many as 4 unique zHTs in only 3 steps.

This chemical sequence was performed with 8 different sodium thiolates, which included aliphatic (entry 1–4), aromatic (entries 5–7), and a benzyl thiolate (entry 8) (Scheme 3). All 16 monosubstituted thioether (13a–h)- and sulfonyl (14a–h)-containing zHTs were successfully generated. On the other hand, only 9 of the 16 disubstituted zHTs were successfully made and purified. One of the limitations to the procedure was the addition of the aromatic thiolates, which did not provide the corresponding bis thiolates cleanly (15e–g), even when attempting to make them from purified dibrominated zHT 12. It is unclear why these specific molecules were problematic, but our inability to generate them meant that we were also unable to access the bis sulfonyle compounds of this series (16e–g). One other molecule that we were unable to generate was 16a. Nonetheless, even without having access to this subset of molecules, we were able to rapidly generate 25 unique zHTs from 1 and 8 thiolates.

![Scheme 1 Oxidopyrlyum cycloaddition/ring-opening strategy for zHT synthesis. Inset is a structure representative of a high percentage of current library made through this approach.](image-url)

![Scheme 2 Halogenation/functionlization approaches to substituted tropolones. (A) Halogenation/cross-coupling strategy to functionalize 3,7-dihydroxytropolones.29 (B) Halogenation/thiolate addition/oxidation strategy described herein.](image-url)

![Scheme 3 Synthesis of thioether and sulfonyl zHTs. *Isolated yields following C18-capped silica gel chromatography. †Thiolates made and used in situ from sodium hydride and the corresponding thiol. See ESI for detail.](image-url)
Biological studies

Overview. With our library of tropolones in hand we assessed the biological activity against three human pathogens known to be susceptible to αHTs: HBV,8,9 HSV-1,14 and C. neoformans15 (See Fig. 1 for details). The human hepatoblastoma cell line HepDES1916 is the host cell for the HBV antiviral studies and is more susceptible to αHT cytotoxicity than Vero cells,13 which serve as the host cell for HSV-1 antiviral studies. For this reason cytotoxicity of the library against HepDES19 was also measured for all molecules to gauge general mammalian cell cytotoxicity. A complete listing of the data from these experiments can be found in the ESI† and an overview of these results can be viewed in Fig. 2. The data are presented as a heat diagram to emphasize the differences in the profiles of the molecules, and representative new leads are also shown. A descriptive discussion of the activity of the library against each pathogen, as well as its significance for drug-development, follows.

Herpes simplex virus-1. HSV-1 is a common double-stranded DNA virus most closely associated with painful and embarrassing cold sores16 and genital lesions.1 In addition, the virus causes herpetic stromal keratitis, a painful inflammation of the cornea with a global incidence rate of 1.5 million per year, 40 000 cases of which result in visual impairment or blindness.27 Although less frequent, when HSV-1 spreads to the central nervous system, it can cause life-threatening herpetic encephalitis in adults and in babies infected during birth.18 Current anti-HSV therapy employs nucleos(t)ide analogs which are incompletely effective18 and lead to drug-resistance.26 New treatment options for HSV-1 infections are thus of high interest.

αHTs have been identified as potent inhibitors of the virus, with measured effective concentrations for 50% viral replication suppression (EC50) as low as 50 nM (Fig. 3).a While studies are ongoing to establish the likely mechanism of action, certain αHTs are known to inhibit at least two HSV-1 nucleases, UL1519 and UL12,20 and also act synergistically with current antivirals.14 αHTs also have demonstrable activity against other herpesviruses including human cytomegalovirus24 and Kaposi’s sarcoma-associated herpesvirus,21 as well as several animal herpesviruses.22 For these reasons, the continued development of αHTs as antiviral agents against HSV-1 is warranted.

The 25 thiolate-based αHTs were thus screened in plaque reduction assays at concentrations of 5 μM, 1 μM, and 0.33 μM. From this screen, 6 molecules were found to suppress viral replication by at least 10-fold at a concentration of 1 μM (See Fig. 2 and the ESI†). These molecules each share a common set of 3 thioether-based appendages, n-butyl, n-hexyl and benzyl thiother. Of these molecules, the 3 bis-thioether compounds of the series (15b/c/h) maintained significant inhibitory activity at 0.33 μM. Additional experiments with these 6 molecules were carried out to obtain more quantitative EC50 values (Table 1). Consistent with the screening findings, the bis-thioether molecules (EC50 = 0.08–0.26 μM) were more potent than their corresponding mono-thioether congeners (EC50 = 0.59–1 μM). While 15c and 15h were among the more cytotoxic molecules when tested against HepDES19 cells (CC50 = ~8 μM), they

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**Fig. 3** Representative examples of potent anti-HSV-1 αHTs previously tested.4,25

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**Table 2** Overview of biological activity of thiolate-base αHT library against a panel of pathogenic microbes. a For HBV antiviral activity and HepDES19 cytotoxicity, potency is judged based on 50% effective inhibitory concentrations (EC50) for HBV antiviral activity, and 50% cytotoxicity concentrations (CC50) for HepDES19 cytotoxicity. Most potent <1.0 μM; moderate potency = 1.0–10 μM; least potency >49 μM. For HSV potency is judged by whether the molecules showed 10-fold or greater replication inhibition at different concentrations: most potent = effective at 0.33 μM; moderate potency = effective at 1.0 μM; low potency = effective at 5.0 μM; least potency are inactive at 5.0 μM. For C. neoformans, potency is judged based on 80% minimum growth inhibition (MIC80): most potent <1.0 μM; moderate potency = 1.0–10 μM; low potency = 10–49 μM; least potency >49 μM. b Selectivity index, defined as CC50/MIC80 for 13h, or CC50/EC50 for 14a and 15c. c Cytotoxicity value shown for HepDES19. 15c is not cytotoxic against host Vero cell line at up to 100 μM for 48 hours.
showed no cytotoxicity at up to 100 μM against Vero cells, which were the host cell line for the anti-HSV-1 assays. Thus, 15c and 15d, have cell-based activity profiles on par with the most potent anti-HSV-1 zHTs developed to date, and as such reveal an alternative starting point for further optimization studies.

In addition to the new leads that emerged, the totality of the structure–function studies also provided additional insight that could be useful in guiding further optimization. Recently, we disclosed an zHT-based structure–activity relationship (SAR) based upon oxindopyrium cycloaddition/ring-opening approach to the molecules that found that lipophilicity (as determined in the monoanionic state by clog \( P \)) correlates highly with potent anti-HSV activity, with some secondary trends illustrating the potential importance of side-chain rigidity. The SAR of the thiolate-based zHT library revealed some trends consistent with this activity. Compounds 15b/c/h had the highest clog \( P \) values of the thiolate-based library, and were the only three compounds with clog \( P \) values in the monoanionic state exceeding 1. 15c was by far the most lipophilic molecule (clog \( P \) = 3.35, Table 1), and was one of the two most potent molecules, along with 15h. 15h had comparable clog \( P \) value to 15b, but was 4 times more potent. This could be the result of the rigidity of the aromatic appendage, which further restricts the number of rotatable bonds when compared to 15b.

Similar increases in potency can be observed in the mono-thioether series (13h vs. 13b). It is worth noting, however, that 1-naphthyl-containing thioether, 13f, is both more lipophilic (clog \( P \) = 0.44) and more rigid than 13h, and yet is inactive at 1 μM. One hypothesis to explain this discrepancy is that some flexibility is needed to achieve proper contact with the target enzyme. Structure–function studies on this new library provide additional trends that appear to confirm the importance of both lipophilicity and rigidity in the design of more potent zHT-based anti-HSV-1 agents. This information should help guide future optimization studies on the molecules.

Cryptococcus neoformans. Cryptococcus neoformans is a fungal pathogen known to cause deadly Cryptococcal meningitis, mostly in immunocompromised individuals. The fungus is particularly prevalent in HIV/AIDS patients, and recent estimates are that 15% of all AIDS-related deaths globally are the result of Cryptococcal meningitis, \(^{24}\) ranking second behind only tuberculosis. The disease is particularly problematic in sub-Saharan Africa, where most recent reports estimate roughly 160 000 new cases of Cryptococcal meningitis and 140 000 Cryptococcal meningitis-related deaths per year. Cryptococcal infections are also common in solid organ transplant patients, with estimates of 3% of the population developing fungal infections within the first year, and patients remaining at high risk of infection for 5 years. \(^{25}\) When left untreated, 1 year mortality rates from Cryptococcal meningitis range from 30% to 100%, depending on the region. While antifungal agents such as amphotericin B and fluconazole can be effective, the prognosis for survival among treated patients remains poor (20% to 70%, depending on region). Furthermore, these drugs have significant toxicity issues associated with them. Thus, the development of new classes of antifungal agents targeting C. neoformans is of high interest.

A recent survey of troponoids against C. neoformans revealed that zHT 1 had modest inhibition on the growth of C. neoformans, with an 80% minimum growth inhibition concentration (MIC\(^{80}\)) of 24 μM. \(^{26}\) In addition to this molecule, 35 substituted zHTs were also evaluated, but none of these molecules was substantially more potent (Fig. 4 and Fig. 1). By contrast, when the 25-membered thiolate-based zHT library was tested for its ability to inhibit C. neoformans growth, ten different molecules had MIC\(^{80}\) values below 10 μM (Table 2 and Fig. 2). This library of potent molecules was specific to the thioether series (13 and 15) and included all but the methyl thiolate-based molecules 13a and 15a, and naphthyl thiolate-containing zHT 13f. Given that 13a had a MIC\(^{80}\) value equal to 1, it appears that the sulfur portion of the appendage may play very little role in these activity increases. The most potent molecule of the series contained a single benzylthioether (13h, MIC\(^{80}\) = 0.55 μM), and this molecule was 50 times more potent than zHT 1. The presence of a second thioether (15h, MIC\(^{80}\) = 7 μM), or replacement of the thioether with the analogous sulfone (14h, MIC\(^{80}\) = 50 μM) was deleterious to the activity. 13h had relatively moderate cytotoxicity against HepDES19 cells (CC\(^{50}\) = 17 μM), giving the molecule a selectivity index over 30. This value was over 6 times...
greater than any of the synthetic zHTs previously tested.22 Thus, 13h represents a substantial breakthrough for C. neoformans-targeting zHT-based antifungals. Furthermore, the structure–function data and synthetic strategy presented provide knowledge and opportunities that should be valuable for additional optimization studies.

**Hepatitis B virus.** Hepatitis B virus (HBV) is the most common cause of chronic hepatic infection worldwide, and is responsible for over 800 000 deaths annually.26 While the HBV vaccine is renowned for its success at curbing new hepatitis B cases, nearly 300 million people remain chronically infected worldwide. For these individuals, who have a 20–30% chance of developing cirrhosis and/or liver cancer due to HBV, current therapies based on interferon α or nucleos(t)ide analogs reduce death from hepatocellular carcinoma by only 2–4 fold27 and there is no cure. Thus, a major goal is to establish treatments that can yield durable elimination of viremia and halt disease progression following a limited-duration treatment regimen (a “functional cure”).27 As part of this effort, many research labs are trying to identify novel hepatitis B antivirals that profoundly suppress viral replication by attacking viral functions not targeted by the current drugs,28 probably through combination therapy with currently available antivirals.29 zHTs have been identified as potent anti-HBV agents, and zHT 1 has an EC50 of 1.7 μM, and no cytotoxicity against HepDES19 cells at up to 100 μM.13 Several synthetic derivatives have been identified with nearly 5-fold potency increases over 1, including compound zHT-110 (Fig. 5), which also suppresses HBV viremia in an animal model.28 We hypothesized that electron-withdrawing groups on the zHT could improve potency by stabilizing a diatomic state that may exist when the zHT is bound to the target enzyme, which is believed to be the dinuclear metalloenzyme HBV ribonuclease H (RNaseH).30 Thus, the thiolate-based library provided us with an opportunity to probe this structure–function activity relationship in more detail.

Of the 25 thiolate-based zHTs, most of the molecules (23/25) had activity far less than 1 (Table 3). The two with improved activity were the two mono-sulfone-containing zHTs with the smallest appendages, 14a and 14d, and these were roughly 2-fold more potent than 1 (EC50 = 0.55 μM and 0.79 μM, respectively, Table 3). Unlike 1 and some of the top leads, these molecules had moderate cytotoxicity against HepDES19 cells (CC50 = 32 μM and 20 μM, respectively), but their selectivity indexes for HBV compared to the host cell line remained strong (59 and 25, respectively). Given our prior SAR suggesting that improved activity could be achievable by adding more electron-withdrawing capabilities,13(a) we had expected that the bis-sulfonyl series (16a–h) would be the most potent series tested. However, these molecules were all inactive. Given that the thioether series (15a–h) were generally more active than the mono-thioether series (13a–h), it was initially suspected that the rapid drop in activity may be the result of electronic or cell-permeability differences rather than steric. Compound 16d has a highly negative clog P value in the monoatomic state (see Table 4), and it is possible that the electron-withdrawing appendages may increase the acidity of the molecules to the point that it would exist primarily in a diaionic state at physiological pH,32 lowering its permeability even further. This may also help explain the lack of activity of series 16 in all other cell types tested herein.

Thus, we became interested in assessing how a series of zHTs (13d–16d) might behave in biochemical assays where cell-permeability would not influence activity. It was postulated that if 16d was highly active biochemically, this would support the hypothesis that the lack of activity was due to low cell permeability. As stated earlier, the cell-based HBV antiviral activity of zHT is believed to be the result of RNaseH inhibition, which is supported by the presence of an RNaseH-deficient phenotype in cells treated with ribonuclease H inhibitors such as those tested here.34 An HBV RNaseH enzyme inhibition assay is available, although it is often the case that potent antiviral activity does not correlate well with enzymatic inhibition, which may be the result of the recombinant RNaseH protein being only part of the larger HBV polymerase protein.33 Thus, in addition to evaluating HBV RNaseH activity, we also tested the molecules for inhibition of human RNaseH1 and E. coli RNaseH to more broadly evaluate the trend of RNaseH inhibition in relationship to molecule class. When the series of molecules was tested against these enzymes, however, we found that 16d was the least active against the entire series. Thus, we cannot conclude that the lack of activity of series 16 is due to a lack of cell permeability.

| Table 2 | Minimal concentration for 90% growth inhibition (MIC90) of thiolate-based zHTs. Values are reported as the average of 2 runs, with the exception of 13b and 13h, which are the average of 3 runs |
|---------|---------------------------------------------------|
| R = Me  | 13a (28 μM) | 14a (50 μM) | 15a (38 μM) | 16a (73 μM) |
| R = n-Bu| 13b (1 μM)  | 14b (50 μM) | 15b (2.3 μM) | 16b (100 μM) |
| R = n-Hex| 13c (1.7 μM) | 14c (14 μM) | 15c (7 μM)  | 16c (50 μM)  |
| R = i-Pr | 13d (2.6 μM) | 14d (50 μM) | 15d (1.5 μM) | 16d (100 μM) |
| R = Ph  | 13e (1.5 μM) | 14e (50 μM) |             |             |
| R = 1-Naph| 13f (21 μM) | 14f (38 μM) |             |             |
| R = 4-toly| 13g (1.7 μM) | 14g (38 μM) |             |             |
| R = Bn  | 13h (0.55 μM) | 14h (50 μM) | 15h (7 μM)  | 16h (73 μM)  |

**Fig. 5** Representative examples of previously described anti-HBV zHTs. (EC50) along with cytotoxicity against HepDES19 cells (CC50).13
identify novel opportunities for developing and unique new leads with sub-micromolar activity for each of and elements, as well as structure determination with ChemDraw Professional 15.0 in the monoanionic state.

A divergent synthetic strategy is described that provides rapid access to a library of 25 functionalized zHTs from the parent zHT 1 and 8 thiolates. Profiling this library against three human pathogens (HBV, HSV and C. neoformans), as well as a human hepatoblastoma (HepDES19) revealed a dynamic activity profile, and unique new leads with sub-micromolar activity for each of the pathogens. These studies represent a new synthetic strategy to identify novel opportunities for developing zHTs as drug fragments, as well as structure-function insight to help guide them.

Conclusions

A divergent synthetic strategy is described that provides rapid access to a library of 25 functionalized zHTs from the parent zHT 1 and 8 thiolates. Profiling this library against three human pathogens (HBV, HSV and C. neoformans), as well as a human hepatoblastoma (HepDES19) revealed a dynamic activity profile, and unique new leads with sub-micromolar activity for each of the pathogens. These studies represent a new synthetic strategy to identify novel opportunities for developing zHTs as drug fragments, as well as structure-function insight to help guide them.

Table 3 | HBV antiviral activity of thiolate-based zHTs, as measured by effective concentration at 50% viral suppression (EC50). Values are reported as either single a single run, or, for more potent molecules, the average of 2 runs. See Table S1 in ESI, including standard deviation

| R = Me  | 13a (14.3 μM) | 14a (0.55 μM) | 15a (8.6 μM) | — |
| R = n-Bu | 13b (47 μM) | 14b (1.9 μM) | 15b (12 μM) | 16b (>50 μM) |
| R = n-Hex | 13e (50 μM) | 14e (6.6 μM) | 15e (11 μM) | 16e (50 μM) |
| R = t-Pr | 13d (31 μM) | 14d (0.79 μM) | 15d (12.7 μM) | 16d (>50 μM) |
| R = Ph | 13e (47 μM) | 14e (11.3 μM) | — | — |
| R = 1-Npht | 13f (>50 μM) | 14f (5.9 μM) | — | — |
| R = 4-tolyl | 13g (17 μM) | 14g (49 μM) | — | — |
| R = Bn | 13h (25 μM) | 14h (2.8 μM) | 15h (11 μM) | 16h (>50 μM) |

Table 4 | Ribonuclease H inhibition data for series 13d–16d. Also included are previously measured pKₐ values and clogP values, as determined with ChemDraw Professional 15.0 in the monoanionic state

| Cmpd | Human | E. Coli | HBV | pKₐ₁ | pKₐ₂ | clog P |
|-------|-------|--------|------|------|------|-------|
| 13d   | 62 ± 19 | 2.4 ± 0.6 | 184 ± 27 | 5.9 | >12 | —1.67 |
| 14d   | 125 ± 18 | 52 ± 3 | 103 ± 13 | 3.8 | 11 | —2.84 |
| 15d   | 238 ± 44 | 3.5 ± 1.0 | 112 ± 8 | 4.8 | >12 | —0.27 |
| 16d   | >500 | 90 ± 2 | 254 ± 26 | 2.2 | 7.6 | —3.35 |

Author contributions

MJD, NBA and RPM synthesized and characterized library of molecules. CRK, RCE, QL, and JET obtained hepatitis B antiviral and HepDES19 cytotoxicity data. MKL, AS, and MJD obtained C. neoformans antifungal data. ADF, AGC, KAD, AJY, and LAM obtained herpes simplex virus-1 antiviral and Vero cytotoxicity data. RPM wrote manuscript, with primary input and assistance from NBA, MJD, LAM, and JET. Vero cells were a gift from Dr David Knipe (Harvard University), HepDES19 Cells were a gift from Dr Haitao Guo (Indiana University), and C. neoformans wild-type KN99a was a gift from Dr Jennifer Lodge (Washington University).

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