Local methods for on-demand out-of-vocabulary word retrieval

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Abstract
Most of the Web-based methods for lexicon augmenting consist in capturing global semantic features of the targeted domain in order to collect relevant documents from the Web. We suggest that the local context of the out-of-vocabulary (OOV) words contains relevant information on the OOV words. With this information, we propose to use the Web to build locally-augmented lexicons which are used in a final local decoding pass. First, an automatic web based OOV word detection method is proposed. Then, we demonstrate the relevance of the Web for the OOV word retrieval. Different methods are proposed to retrieve the hypothesis words. We finally retrieve about 26% of the OOV words with a lexicon increase of less than 1000 words using the reference context.

1. Introduction

Statistical language models for natural language processing are generally estimated on static text corpora. In spite of the potentially large amount of training data, these models are subject to the problem of out-of-vocabulary (OOV) words when they are confronted to highly epoch-dependent data where topics and named entities are frequently unexpected. The problem of OOV words remains a key point in large vocabulary continuous speech recognition, especially on transcription of contemporary documents like broadcast news. OOV words are words which are in the speech signal but not in the automatic speech recognition (ASR) system lexicon, in this case the ASR system is unable to transcribe correctly the OOV words. These words are critical for sentence intelligibility since most of them are named entities and technical words, which implies that the performance of ASR-based dialog systems are also affected.

The extensive growing of dictionaries with little regards to the trade-off between the lexical coverage and the increase of lexicon size leads to dramatically increase the resources required by an ASR system. Moreover, the real world is an inexhaustible source of new words which cannot be fully listed in any closed lexicon.

Substantial efforts have been recently produced in using external text sources for lexicon augmenting. Some papers report experiments on a posteriori search of new words in large external databases (Ohitsu et al., 2005), but such static approaches fail in contemporary document transcription, where topics and named entities are frequently unexpected. Nevertheless, the web constitutes an immense and continuously updated source of language data, in which most of the possible word-sequences are stored. This idea has been largely developed in the field of large vocabulary continuous speech recognition. Generally, authors proposed to collect a large amount of documents that are supposed to be relatively close to the targeted linguistic and semantic context (Kajiura et al., 2006)(Allauzen and Gauvain, 2005)(Monroe et al., 2002)(Bertoldi and Fedérico, 2001). Unfortunately, web-data suffers from the lack of structuring information and large well-targeted corpora generally outperform web-based language models (Lapata and Keller, 2005).

Focusing on the problem of lexicon building, OOV word retrieval methods tackle the difficulty of how missing words could be automatically found on the web. These problems are traditionally addressed by capturing the global semantic features from the document and collecting relevant documents which are used for language modeling.

We hypothesize that the Web can be used as an unlimited collection of ngrams. Search engines can be considered as interfaces for these ngrams if efficient requests are built. In this paper, we suggest that the local linguistic context might bring some characteristic information of the missing words, and that this information could allow to retrieve words in the unlimited collection of web-ngrams. Starting from this idea, we propose local methods for OOV word retrieval.

The next section presents the experimental framework in which our experiments are carried out. Then, a web based OOV word detection method is proposed. In the following section, we evaluate the hypothesis that the web is relevant for the task of unknown word retrieval and we propose strategies funded on word-template matching. These methods are both evaluated on exact transcription and on the outputs of the ASR system.

Lastly, we conclude on the interest of web-based local approaches for new word learning in the field of posterior correction of automatic transcriptions.

2. Experimental framework

Our general approach consists in using the local context of the OOV words to build efficient requests for submitting to the search engine. The retrieved documents are then parsed in order to find the targeted OOV words.

The Google search engine is used to access Web data1.

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1http://www.google.fr
All the tests are performed on about 6 hours of French broadcast news from the test corpus of ESTER 2005. The table 1 provides details on the amount of audio data used, according to the radio station. The figure 1 shows a graphic representation of the experimental framework, from the speech signal to the final transcription.

![Diagram of the experimental framework](image)

The speech signal is transcribed using the LIA broadcast news system, SPEERAL (Nocera et al., 2004). This system uses a lexicon made of the 65000 most frequent words of the ESTER and Le Monde corpora. The test corpus we used contains 5.5 hours of French broadcast news from the ESTER database. On this test set, the first decoding pass obtain a WER of 24.5%. The OOV word rate is about 1.03%. 3% of the OOV words are named entities, 24% are technical and domain-specific words, and the other 73% are infrequent verb forms or misspelled words in the reference transcription. It is important to notice that named entities and technical words are critical for the sentence intelligibility and represent 97% of the OOV words. OOV words are then automatically detected in the automatic transcription. In this work, we present automatic OOV words detection methods using the Web and the local context. Local patterns and keywords are then extracted from the tagged transcription and are used to retrieve web documents. These documents are parsed taking account of the pattern in order to build lists of OOV word candidates. The OOV word candidates are then integrated in the general language model to form the augmented language model which is used for the final transcription pass. This part of the system is not studied in this paper.

| Radio station                  | amount of audio data |
|--------------------------------|----------------------|
| France Classique               | 1.00                 |
| France Inter                   | 1.00                 |
| France Info                    | 2.00                 |
| Radio France Internationale    | 1.50                 |
| Total                          | 5.50                 |

Table 1: The amount of audio data in hours used in this work, sorted by radio station.

3. OOV Words detection

Many works have been made on the OOV word detection, for example (Tanigaki et al., 2000; Hazen and Bazzi, 2001; Bazzi and Glass, 2002; Yazgan and Saracilar, 2004). These methods are applied during the transcription and are parts of the speech recognition engine. We propose here an a posteriori OOV word detection.

3.1. The basic hypothesis

When an OOV word occurs in the speech signal, we observe a strong decrease of the system accuracy. The reason of this phenomenon is that the system try to find words which fit to the acoustic signal but they don’t fit to the linguistic context, which strongly perturbs the language model and so degrades the transcription around the OOV word. We assume that OOV words impact dramatically the system accuracy. Therefore by finding the worst parts of hypothesis, we expect to focus on areas which probably contain OOV words. In order to detect low quality zones which potentially contain OOV words, metrics are computed on each word.

3.2. A web-based approach

First, a boolean parameter inform about the presence of the 3-gram on the general language model used for the first pass. The 3-gram is composed of the word and the two words before. A second boolean metric indicates if the 3-gram is on the Web. A search engine with constrained queries is used.

With the boolean metrics, the words are considered as OOV if the 3-gram is absent. The metrics combination is performed by a simple vote. A word is detected as OOV if all the metrics detect it as OOV.
3.3. Experiments

In order to evaluate these metrics, the false positive (FP) rate (see formula 1) and the false negative (FN) rate (see formula 2) are measured for the detection of OOV words. The Google search engine is used to test the presence of the 3-grams on the web.

\[
FP = \frac{\text{number of correct words considered as OOV}}{\text{total number of correct words}}
\]  
(1)

\[
FN = \frac{\text{number of OOV words considered as correct}}{\text{total number of OOV words}}
\]  
(2)

The table 2 represents the FP and FN rates of the Google metric and the language model metric separately and a combination of the two for the detection of OOV words. The performance of the Google metric is the same as the combination of the two, which indicates that the 3-grams which are not on the Web is a sub-group of the 3-grams which are not in the language model, so the combination is not useful. The Google metric has a good FP rate, which indicates that this metric is powerful for the OOV word detection. Moreover, the FR rate of the language model metric is low, which indicates that this metric is useful for the detection of the in-vocabulary words.

| n-gram | 1       | 2       | 3       | 4       | 5       |
|--------|---------|---------|---------|---------|---------|
| Recall | 100.00 %| 88.22 % | 50.54 % | 27.29 % | 16.12 % |

Table 3: n-grams containing OOV words retrieval on Google depending on the size n.

4. New word learning

In this part, we first evaluate the hypothesis that the web is an exhaustive source of words and is relevant for the task of unknown word retrieval. Then we present some pattern extraction methods and study the impact of automatic transcription on retrieval performance.

4.1. The web as an unlimited source of words

In the purpose of retrieving unknown words by using the local context, hypothesizing the web as an exhaustive source of words leads to consider it as an infinite n-gram model. Such model contains all possible n-grams, comprising the ones with the targeted OOV word \( w_t \).

In order to evaluate this assumption, we measure the rate on the web of the n-grams containing OOV words extracted from the exact transcriptions. Requests are submitted to Google. The results presented in table 3 show that all the targeted words and most of the 2-grams containing \( w_t \) can be found on the web. As expected, the recall decreases when \( n \) increases. These results indicate that the web has an interesting potential in the task of OOV word retrieval, but under the condition we know how to formulate relevant requests. This key point is tackled in the next section.

4.2. Collecting augmented lexicons

Here we evaluate the performance of various methods for OOV word retrieval using both the ASR system outputs and the exact transcription. For each OOV word in the transcription a list of candidate words is built using each method. The recall rate and the average size of these candidate lists is measured and compared. The segments containing OOV words are manually pointed out in order to avoid the automatic OOV detection errors.

4.2.1. N-gram strategy

The first technique consists in building queries by taking the word sequence containing \( w_t \) and substituting it by a wildcard character. For example, for the word stream:

A drain is placed next to the biliary enteric anastomosis at the end of the procedure [...] with enteric the OOV word, the corresponding word sequence pattern of length 3 is:

“the biliary (*)”

The candidate word lists, for the query under discussion, are built with the words that replace the wildcard character (*) in the retrieved documents. The strategy was tested with both the correct word utterance and the outputs of the ASR system in order to measure the impact of the ASR errors on the retrieval performance. Results are reported in table 4 for the recall and 5 for the hypothesis word set size.

| Google 3-gram | Language Model 3-gram | Google+LM 3-gram |
|---------------|-----------------------|------------------|
| False Positive | 17.89 %               | 66.96 %          | 17.70 %          |
| False Negative | 50.94 %               | 11.32 %          | 50.94 %          |

Table 2: FP and FN rate of the proposed metrics for the detection of the OOV words in the automatic transcription.
We observe that the use of short sequences leads to low discriminative requests. When sequence size increases, precision improves very quickly but the recall drops and requests become useless. In conclusion, it seems clear that since targeted words appear on the web, strict n-gram strategy is not discriminative enough for OOV word retrieval. In the next section, we propose to relax word utterance sequentiality constraints by defining patterns rather than fixed word sequences.

4.2.2. Pattern strategy
The goal of the queries is to allow us to retrieve the OOV words from the Web, using their context of occurrence. With the word n-gram we retrieve the words that occur on the Web in the same context as the target OOV words. Given that a rigid word sequence might be too specific, we propose to relax word utterance sequentiality constraints by defining patterns rather than fixed word sequences. These patterns are constructed through the following process:

1. the stopwords are suppressed from the word stream;
2. wildcard characters are inserted between each pair of adjacent words.

Step 1 assumes the existence of a list of stopwords. Such lists are available on the Internet\(^2\); generally, they are built by taking the most frequent words uniformly distributed in a large corpus (on which the search is performed) and then hand-filtering semantically-relevant words with respect to the corpus. In the case where the Web is used as a large corpus for learning stopwords, we have to rely only on a set of documents, retrieved by a search engine; if the set of retrieved documents is large enough, then we might consider it as a locally good approximation of the Web itself\(^3\) (Manning et al., 2008). Unlike the classical approaches where stopwords are sometimes used for further specializing the queries (Manning et al., 2008), we use these stopwords to abstract away from the several syntactical variants for the query and yet, keeping the order of the relevant words unchanged. Thus, in step 2, the wildcard will be automatically substituted by one to five words by the search engine, which allows to retrieve variants of the context. For example, for the sentence:

A drain is placed next to the biliary enteric anastomosis at the end of the procedure [...]

with enteric the OOV word, the corresponding pattern with 3 keywords is:

“drain * biliary (*)”

The candidates are extracted by taking the words that replace the wildcard between brackets in the retrieved documents. The results presented in tables 4 and 5 show that recall rates are better than when using the n-gram based strategy with both the ASR system outputs and the exact transcription context. In addition, the average size of hypothesis word sets increases a bit. It indicates that relaxing constraints on stop-words allows to retrieve variants of the original context, which introduces noise in hypothesis-sets but enables to increase recall. Moreover, we notice that the 2-gram recall decreases less than with the previous strategy when the ASR system outputs context is used, indicating a better robustness in this specific configuration.

4.2.3. Short-term semantics-based strategy
The goal of the queries is to allow us to retrieve the OOV words from the Web, using their context of occurrence. With the word sequences strategy we retrieve the words that occur on the Web in the same context as the target OOV words. Given that a rigid word sequence might be too specific, we propose to relax word utterance sequentiality constraints by defining patterns rather than fixed word sequences. These patterns are constructed through the following process:

1. the stopwords are suppressed from the word stream;
2. wildcard characters are inserted between each pair of adjacent words.

Step 1 assumes the existence of a list of stopwords. Such lists are available on the Internet\(^4\); generally, they are built by taking the most frequent words uniformly distributed in a large corpus (on which the search is performed) and then hand-filtering semantically-relevant words with respect to the corpus. In the case where the Web is used as a large corpus for learning stopwords, we have to rely only on a set of documents, retrieved by a search engine; if the set of retrieved documents is large enough, then we might consider it as a locally good approximation of the Web itself\(^5\) (Manning et al., 2008). Unlike the classical approaches where stopwords are sometimes used for further specializing the queries (Manning et al., 2008), we use these stopwords to abstract away from the several syntactical variants for the query and yet, keeping the order of the relevant words unchanged. Thus, in step 2, the wildcard will be automatically substituted by one to five words by the search engine, which allows to retrieve variants of the context. For example, for the sentence:

A drain is placed next to the biliary enteric anastomosis at the end of the procedure [...]

\(^2\)For example, such lists for the English language can be found at: http://snowball.tartarus.org/.
\(^3\)An approximation is “locally” good if it reflects the structure of the Web, with respect to the particular word sequence considered in a query.
\(^4\)For example, such lists for the English language can be found at: http://snowball.tartarus.org/.
\(^5\)An approximation is “locally” good if it reflects the structure of the Web, with respect to the particular word sequence considered in a query.
with *enteric* the OOV word, the corresponding pattern with 3 keywords is:

“*drain* *biliary* (*)”

The candidates are extracted by taking the words that replace the wildcard between brackets in the retrieved documents.

We can see in tables 4 and 5 that the recall rate increases strongly with the number of keywords, which vary from 2 to 5. With the best configuration, the recall rate is more than twice better than the recall with the best configuration of the previous strategies. However the precision decreases a lot, and this last point is a major drawback for the integration of the augmented lexicon in the speech recognition engine. Nevertheless, considering the complementarity of this approach with the previous ones, a composite strategy combining pattern-matching and semantics-based word retrieval could be efficient.

| n | n-gram strategy | pattern strategy | semantics strategy |
|---|-----------------|------------------|-------------------|
| 2 | 13.95 % | 4.65 % | 20.00 % | 7.29 % | 32.56 % | 18.45 % |
| 3 | 18.14 % | 5.12 % | 20.31 % | 4.96 % | 39.69 % | 27.75 % |
| 4 | 16.43 % | 2.33 % | 17.52 % | 2.02 % | 45.89 % | 35.19 % |
| 5 | 13.80 % | 1.86 % | 12.25 % | 1.24 % | 50.23 % | 40.93 % |

Table 4: Recall of OOV word retrieval on the best 100 Google ranked documents depending on the size $n$, with the exact transcription (REF) and the ASR output context.

| n | n-gram strategy | pattern strategy | semantics strategy |
|---|-----------------|------------------|-------------------|
| 2 | 145 | 322 | 411 | 475 | 16.0k | 13.7k |
| 3 | 49 | 207 | 139 | 166 | 19.0k | 38.1k |
| 4 | 13 | 34 | 34 | 21 | 37.9k | 42.6k |
| 5 | 4 | 9 | 15 | 8 | 44.9k | 45.0k |

Table 5: Average hypothesis-set size of OOV words retrieval on the best 100 Google ranked documents depending on the size $n$ with the exact transcription context (REF) and the ASR system outputs.

### 4.2.4. N-gram semantics-driven strategy

As shown in the section 4.2.1., only 13.95% of the 2-grams containing the targeted word can be retrieved whereas almost 88% are on the Web. We guess that the 88% of the 2-grams containing the targeted words are in the documents returned by the search engine but not well ranked.

We assume that adding relevant context words in the n-gram queries may help the search engine to better rank documents that are relevant for the context and, we hope, contain the target OOV words. These additional words are called here drive-words.

The drive-words are selected in a fixed-size window around the OOV word, sorted in order of decreasing frequency in the corpus where the search is performed, and the top $n$ words are kept as drive-words. These words are added to the query as keywords without ordering constraints. For example, for the sentence:

A drain is placed next to the biliary *enteric* anastomosis at the end of the procedure [...]

with *enteric* the OOV word, the corresponding three-word sequence along with one drive word is:

“the biliary (*)” + anastomosis

Just like in the word sequences strategy, the candidate word sequences, for the query under discussion, are built with the words that replace the wildcard character (*) in the retrieved documents. The results using the reference context and ASR system outputs are reported in Table 6.

Results significantly outperform previous ones with the reference context (Tables 4 and 5). Good recall rates are obtained by using slightly augmented lexicon. For example the 2/2 configuration obtains about 26% of recall for a dictionary increase of less than 1000 words. However, using the ASR system outputs degrades the recall even if the method remains the best of all in terms of recall with low lexicon increase.

| n/m | Recall | sets size | Recall | sets size |
|-----|--------|-----------|--------|-----------|
| 2/1 | 24.03 % | 268 | 8.68 % | 292 |
| 2/2 | 26.05 % | 789 | 8.06 % | 306 |
| 2/3 | 26.98 % | 1.3k | 6.51 % | 295 |
| 3/1 | 19.07 % | 16 | 4.03 % | 87 |
| 3/2 | 15.04 % | 15 | 3.88 % | 79 |
| 3/3 | 13.33 % | 19 | 3.10 % | 98 |

Table 6: Recall and average hypothesis-set size of OOV words retrieval on the best 100 Google ranked documents, using the semantics-driven n-gram strategy depending on the $n$ value and the number of drive-words $m$, using the reference context (REF) and the ASR system outputs.

## 5. Conclusion and perspectives

First, a web-based automatic OOV word detection method is proposed. Then the huge potential of the World Wide Web for the task of OOV word retrieval using the local context is demonstrated. We proposed and compared local approaches for lexical coverage improvement. In our strategies, search engine requests are dynamically made using the local context and augmented lexicons are built with the returned documents.

We presented several ways for request formulation and our results validate the initial idea that the short-term context holds some characteristic information about missing words. The best performance is obtained by combining local word templates and semantics-driven requests. Moreover, this strategy allows to retrieve OOV words with a limited lexicon augmentation and most of the successfully retrieved words consist in named entities which are crucial for understanding tasks.
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