Adaptive tracking control for quadrotors without linear velocity measurements

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Abstract: The problem of trajectory tracking of a quadrotor without using linear velocity measurements and with model parameter uncertainties is addressed in this paper. A linear observer is proposed to overcome the problem of a lack of linear velocity measurements. The proposed adaptive control algorithm exploits the cascade structure of the translational and attitude dynamics of the quadrotor and guarantees asymptotic convergence of the tracking and observer errors. The attitude control is designed based on the unit quaternion; thus, the well-known singularities of the Euler angles are avoided. Simulation results are presented to show the performance of the proposed control scheme.

Keywords: Quadrotor, Luenberger Observer, Adaptive Control, Cascade Systems, Unit Quaternion.

1. INTRODUCTION

A quadrotor is an aircraft composed of four rotors that can perform vertical take-off, landing, and hovering flight maneuvers. Such capabilities allow this type of aerial robot to carry out several tasks, for instance, surveillance, exploration, 3D-mapping, transportation, for mention a few. Therefore, the interest in modeling and controlling quadrotors has rapidly grown in recent years (Castillo et al., 2005; Mahoney et al., 2012).

Quadrotors are under-actuated nonlinear systems with six degrees of freedom; therefore, the trajectory tracking and pose regulation problems for these systems are challenging tasks. Nevertheless, in a variety of applications, the aircraft operates near the hovering regime, in this case, the quadrotor’s dynamics can be approximated by a linear system (Michael et al., 2010), and several linear control algorithms have been proposed (Bonabdallah et al., 2004; Pounds et al., 2006).

To overcome the limitations of linear controllers, nonlinear control algorithms and trajectory planning methods that allow the quadrotor to perform complex and aggressive maneuvers have been proposed in the literature (Lee et al., 2009; Zuo, 2010; Mellinger et al., 2012). The attitude stabilization problem was addressed in (Tayebi and McGilvray, 2006) where a unit quaternion-based feedback controller with exponential convergence property was proposed. Kendoul et al. (2010) proposed a hierarchical model-based nonlinear control that achieves stabilization...
low-cost quadrotor is proposed in (Dydek et al., 2013). The controller’s performance was successfully validate by means of experimental test on the RAVEN laboratory platform (How et al., 2008).

In this paper a nonlinear adaptive control algorithm is proposed for trajectory tracking of quadrotor in a 3D environment without using linear velocity measurements. The controller exploits the cascade structure of the equations of motion of the aircraft. As a result, a hierarchical control strategy is adopted. First, an auxiliary control is proposed to achieve trajectory position tracking. Such auxiliary control allows us to compute the total thrust and the desired orientation (outer-loop control). Once the desired attitude is obtained, a nonlinear adaptive control is designed for the quadrotor’s attitude dynamics (inner-loop control). The linear velocity is estimated by means of a simple Luenberger observer. The remaining sections of the paper are organized as follows: Section 2 presents the dynamic model and kinematic relationships of the quadrotor. The linear velocity observer is introduced in Section 3. The proposed control scheme as well as the stability analysis of the closed-loop system is presented in Section 4. Simulations results are given in Section 5. Finally, some concluding remarks are discussed in Section 6.

2. DYNAMIC MODEL

In this section, the equations of motion of the quadrotor are presented. Let \( \Sigma_0 = \{x_0, y_0, z_0\} \) be the inertial frame and let \( \Sigma_1 = \{x_1, y_1, z_1\} \) be a second frame attached to the center of mass of the quadrotor. The frames \( \Sigma_0 \) and \( \Sigma_1 \) are related by the rotation matrix \( R \in SO(3) \) which describes the orientation of the quadrotor. According to Fig. 1, \( p \in \mathbb{R}^3 \) and \( v = \dot{p} \in \mathbb{R}^3 \) denote, respectively, the position and linear velocity of the quadrotor’s center of mass expressed w.r.t. the inertial frame \( \Sigma_0 \), and \( \omega \in \mathbb{R}^3 \) denotes the angular velocity expressed w.r.t. the body frame \( \Sigma_1 \). The equations of motion of the system are given by

\[
\begin{align*}
\dot{p} &= v \\
\dot{v} &= \frac{T}{m}Rz_0 - gz_0 \\
\dot{R} &= RS(\omega)
\end{align*}
\]  

(1)

where \( g \), \( m \), and \( J \in \mathbb{R}^{3 \times 3} \) are, respectively, the gravity constant, the mass and constant inertia matrix of the quadcopter, \( T \in \mathbb{R} \) and \( \tau \in \mathbb{R}^3 \) denote the total thrust and the external input torque. Finally, \( S(\cdot) \in \mathbb{R}^{3 \times 3} \) is a skew-symmetric matrix which satisfies \( S(q_1)q_2 = q_1 \times q_2 \) and \( \|S(q_1)\| = \|q_1\| \times \|q_2\| \) in \( \mathbb{R}^3 \) where \( \times \) denotes the cross product operator.

Alternatively to the rotation matrix \( R \), the attitude of the quadrotor can be described by the four-parameter unit quaternion denoted by \( Q = \{\eta, \epsilon\} \), where \( \eta \in \mathbb{R} \) and \( \epsilon = col(\epsilon_x, \epsilon_y, \epsilon_z) \in \mathbb{R}^3 \) denote the scalar and vector parts, respectively. The unit quaternion \( Q \) presents the following properties: \( \eta^2 + \epsilon^T \epsilon = 1 \) and \( Q^{-1} = \{1, -\epsilon\} \) where \( Q^{-1} \) denotes the inverse of \( Q \). Given the unit quaternions \( Q_1 = \{\eta_1, \epsilon_1\} \) and \( Q_2 = \{\eta_2, \epsilon_2\} \), the quaternion product is defined as \( Q_1 \otimes Q_2 = \{\eta_1\eta_2 - \epsilon_1\epsilon_2, \eta_1\epsilon_2 + \eta_2\epsilon_1 + S(\epsilon_1)\epsilon_2\} \) is equivalent to the rotation matrix multiplication \( R_1R_2 \).

Commercial quadrotors are equipped with inertial measurement units (IMUs) composed of gyroscopes, accelerometers, and magnetometers. Such devices allows to measure the angular velocity and attitude of the quadrotor. The position can be measured by means of infrared, acoustic, barometric sensors, global positioning system (GPS) or VICON systems. Nevertheless, the problem of measuring the linear velocity is more cumbersome. This drawback motive us to propose an algorithm to estimate the linear velocity of the aerial vehicle. Assuming that \( p \) and \( R \) are available from measurements, the proposed velocity observer for the subsystem (1) is given by

\[
\begin{align*}
\dot{\tilde{p}} &= \tilde{v} + L_1 \tilde{p} \\
\dot{\tilde{v}} &= \frac{T}{m}Rz_0 - gz_0 + L_2 \tilde{p}
\end{align*}
\]  

(4)

where \( \tilde{p} \triangleq p - \hat{p} \) is the observation error, \( \hat{p} \) denotes the estimate of \( p \) and \( L_1, L_2 \in \mathbb{R}^{3 \times 3} \) are positive definite matrices. Taking into account (1) and (4) the time-derivative of the observation errors \( \tilde{p} \) and \( \tilde{v} = v - \hat{v} \) are given by

\[
\begin{align*}
\dot{\tilde{p}} &= -L_1 \tilde{p} + \tilde{v} \\
\dot{\tilde{v}} &= -L_2 \tilde{p}
\end{align*}
\]  

(5)

which is equivalent to

\[
\begin{align*}
\tilde{p} + L_1 \tilde{p} + L_2 \tilde{p} &= 0.
\end{align*}
\]  

(6)

Since the observer gains \( L_1 \) and \( L_2 \) are positive definite matrices, the equilibrium point \( (\tilde{p}, \tilde{v}) = (0, 0) \) is asymptotically (exponentially) stable.
4. CONTROL ALGORITHM DESIGN

The dynamic model of the quadrotor presents a cascade structure where the interconnection term \( m^{-1} T R z_0 \) relates the rotational dynamics (2) with the translational equation of motion (1). Moreover, eq. (2) is independent of the states \( p \) and \( v \). Such characteristic allows us to apply a hierarchical control strategy. The basic idea is to use the total thrust and the quadrotor’s attitude as the control inputs for the position subsystem.

4.1 Position controller

Consider the auxiliary control input

\[
\mathbf{u} = \frac{T}{m} R_d z_0 - g z_0 = \frac{T}{m} \begin{bmatrix} 2(\xi_1 \xi_2 + \eta_2 \xi_3 - \eta_1 \xi_3) \\ 2(\xi_2 \xi_3 + \eta_1 \xi_3 - \eta_2 \xi_3) \end{bmatrix} - g z_0 \tag{7}
\]

where \( R_d = R_d(\eta_d, \epsilon_d) \) is the desired rotation matrix. Therefore, given \( \mathbf{u} = \text{col}(u_x, u_y, u_z) \) it is possible to compute the total thrust \( T \) and the desired attitude \( Q_d = \{\eta_d, \epsilon_d\} \). By exploiting the properties of rotation matrices, one has

\[
T = m \| \mathbf{u} + g z_0 \|_2. \tag{8}
\]

In order to compute \( \eta_d \) and \( \epsilon_d \), the value of \( \epsilon_{zd} \) is fixed to zero, hence

\[
\eta_d = \left[ \frac{1}{2} + \frac{(u_{x}+g)}{2T} \right]^2, \quad \epsilon_d = \frac{m}{2 \eta_d T} \begin{bmatrix} -u_y \\ u_z \end{bmatrix} \tag{9}
\]

under the condition that \( \mathbf{u} \neq \text{col}(0,0,0) \) (see (Abdessameud and Tayebi, 2009) for further details). The auxiliary control input \( \mathbf{u} \) is proposed as follows

\[
\mathbf{u} = \mathbf{v}_d + K_1 (p_d - \mathbf{p}) + K_2 (v_d - \mathbf{v})
\]

where \( K_1, K_2 \in \mathbb{R}^{3 \times 3} \) are positive definite matrices, \( p_d \in \mathbb{R}^3 \) is the desired position with \( \mathbf{p}_d = \mathbf{p}_d \), \( \mathbf{v}_d = \mathbf{v}_d \) and \( \Delta p = p_d - p, \Delta v = v_d - v \) are the position and velocity tracking errors. Based on (9) and (10), the desired angular velocity can be computed as

\[
\omega_d = \frac{2}{\eta_d} \left[ \begin{bmatrix} -\epsilon_d^T \\ \eta_d I - S(\epsilon_d) \end{bmatrix} \right] \begin{bmatrix} \eta_d \\ \epsilon_d \end{bmatrix} \tag{11}
\]

where \( \eta_d, \epsilon_d \) are obtained by differentiating (9). To avoid complex calculations, the time-derivative of \( \omega_d \) can be approximated by a low-pass filter \( \hat{\omega}_d = \frac{s}{s + \lambda} \omega_d \) with \( \lambda > 0 \) is the cutoff frequency.

4.2 Attitude controller

The next step of the hierarchical control strategy is to design the control torque input \( \tau \) that guarantees the trajectory tracking of the desired attitude \( Q_d = \{\eta_d, \epsilon_d\} \). To this end, the following property of the attitude dynamics (2) will be exploited:

**Property 1.** The attitude dynamics described by (2) is linear w.r.t. the inertial parameters, i.e.,

\[
J \dot{\omega} + S(\omega)J \omega = Y(\omega, \dot{\omega}) \theta = \tau \tag{12}
\]

where \( \theta \in \mathbb{R}^p \) is the inertial parameter vector and \( Y(\omega, \dot{\omega}) \in \mathbb{R}^{3 \times p} \) is called the regressor matrix.

The attitude error is defined as

\[
\Delta Q = \{\Delta \eta, \Delta \epsilon\} = Q^{-1} \otimes Q_d. \tag{13}
\]

Therefore, the quadrotor’s attitude \( R \) is aligned to the desired attitude \( R_d \) when \( \Delta Q = \{1,0\} \). In terms of rotation matrix, the attitude error is given by \( \Delta R(\Delta \eta, \Delta \epsilon) = R^T R_d \). The angular velocity error is given by

\[
\Delta \omega = \omega_d - \omega. \tag{14}
\]

where \( \omega_d \triangleq \Delta R(\Delta \eta, \Delta \epsilon) \omega_d \). Before presenting the attitude controller, consider the following auxiliary variables

\[
\omega_1 = \omega_d + k_1 \Delta \epsilon \tag{15}
\]

\[
\xi = \omega_1 - \omega = \Delta \omega + k_1 \Delta \epsilon \tag{16}
\]

where \( \omega_1 \in \mathbb{R}^3 \) is a reference velocity signal and \( \xi \in \mathbb{R}^3 \) is an error variable.

Based on the previous definition and by taking into account (3), (12)-(16) the attitude dynamics can be written as

\[
\Delta \dot{\epsilon} = -\frac{1}{2} \Delta \epsilon^T \Delta \omega \\
\Delta \epsilon = -\frac{1}{2} (\Delta \eta I - S(\Delta \epsilon)) \Delta \omega \\
J \dot{\xi} = -S(\xi) J \omega + Y(\omega, \omega_1, \dot{\omega}), \theta - \tau.
\]

Since the inertial parameters of the quadrotor are unknown the following adaptive control is proposed

\[
\tau = Y(\omega, \omega_1, \dot{\omega}) \hat{\theta} + K_\xi \xi + k_1 \Delta \epsilon \tag{18}
\]

where \( k_\xi \in \mathbb{R} \) is a positive constant, \( K_\xi \in \mathbb{R}^{3 \times 3} \) is symmetric positive definite matrix and \( \hat{\theta} \in \mathbb{R}^p \) is an estimate of \( \theta \) which is updated according to

\[
\dot{\hat{\theta}} = \Gamma Y^T (\omega, \omega_1, \dot{\omega}) \xi
\]

where \( \Gamma \in \mathbb{R}^{p \times p} \) is the adaptive gain.

4.3 Stability analysis

The main contribution of the paper is stated in the following theorem:

**Theorem 1.** For the quadrotor dynamics described by (1)-(3) with \( Q(0) = \{q(0), e(0)\} \neq \{-1,0\} \), the total thrust and the desired attitude given in (8) and (9) in combination with the linear observer (4), the auxiliary control (10) and the adaptive controller (18)-(19) guarantee that all closed-loop variables are bounded and

\[
\lim_{t \to \infty} \| x \|_2 = 0, \quad \lim_{t \to \infty} \| \Delta \omega \|_2 = 0, \quad \lim_{t \to \infty} \Delta Q = \{1,0\}
\]

where \( x = \text{col}(\Delta p, \Delta v, \mathbf{p}, \mathbf{v}) \in \mathbb{R}^{12} \).

**Proof.** The first step of the proof consists in obtaining the closed-loop dynamics of the position and velocity errors. By taking into account (1), (7) and (10) the dynamics of the position and velocity errors are given by

\[
\Delta \dot{p} = \mathbf{v} - \mathbf{v}
\]

\[
\Delta \dot{v} = -K_1 \Delta p - K_2 \Delta v - K_\xi \dot{\mathbf{p}} - \frac{1}{m} (R - R_d) z_0. \tag{20}
\]

The interconnection term \( (R - R_d) z_0 \) can be written as

\[
(R - R_d) z_0 = R (I - \Delta R(\Delta \eta, \Delta \epsilon)) z_0 = R S(e) \Delta \epsilon \tag{21}
\]

where \( \epsilon \triangleq \text{col}(\Delta \epsilon_y, -\Delta \epsilon_x, \Delta \eta) \). Equations (5) and (20) admit the following state-space representation

\[
\dot{x} = A x + B T_{\epsilon} R S(e) \Delta \epsilon \tag{22}
\]
where $A = \begin{bmatrix} O_{3 \times 3} & I & O_{3 \times 6} \\ -K_1 -K_2 & K_1 -K_2 & \end{bmatrix}, B = \begin{bmatrix} O_{3 \times 3} \\ I & O_{6 \times 3} \end{bmatrix}$ (23)

where $O_{n \times n}$ denotes a zero matrix of dimension $n \times n$.

On the other hand, by substituting the adaptive controller (18)-(19) into (17) we obtain the closed-loop dynamics for the attitude subsystem given by

$$\dot{\Delta} = -\frac{1}{2} \xi^T \Delta \omega$$
$$\dot{\theta} = -\xi^T \Delta \xi - k_2 \xi + k_1 \epsilon \Delta \theta + 2 k_1 (1 - \eta)$$
(25)

whose time derivative along (24) is given by

$$\dot{V}_a = \frac{1}{2} \xi^T J \xi + \frac{1}{2} \Delta \theta^T \Gamma^{-1} \Delta \theta + 2 k_1 (1 - \eta)$$

By taking into account (16) $V_a$ becomes

$$\dot{V}_a = -\xi^T K_1 \xi - k_2 \xi^T F \xi - k_1 \epsilon \Delta \theta + 2 k_1 (1 - \eta)$$
(27)

where $\mathbf{r} = \text{col}(\xi^T, \|\Delta \omega\|)$ and $k_2 = \text{min}\{\lambda_{\text{min}}(K_1), K_2 \}$. Therefore, the closed-loop variables $\Delta \eta$, $\Delta \omega$, $\xi$, and $\Delta \theta$ are bounded. This in turn implies that $\Delta \omega$ is also bounded (see (16)). Integrating both sides of (27) yields

$$V_a(t) - V_a(0) \leq -\frac{1}{2} \int_0^t \|\mathbf{r}(\theta)\|^2 d\theta$$
(28)

Since $V_a$ is nonincreasing, one has

$$\int_0^t \|\mathbf{r}(\theta)\|^2 d\theta \leq \frac{1}{2} V_a(0).$$
(29)

The previous result shows that $\mathbf{r} \in \mathcal{L}_2 \cap \mathcal{L}_\infty$, this implies that $\xi$, $\Delta \omega$, and $\Delta \xi$ are bounded asymptotically to zero. Since the quaternion error satisfies $\Delta \eta^2 + \|\Delta \omega\|^2 = 1$ and assuming that $\Delta \eta(0) \neq -1$ it is concluded that $\Delta \eta \to 1$ as $t \to \infty$.

The final step of the proof consists in showing that $\lim_{t \to \infty} \|x\| = 0$. It is worth to mention that the control and observer gains $K_1$ and $L_i$ ($i = 1, 2$) can be chosen such that the matrix $A$ in (22) is Hurwitz. Consider the candidate Lyapunov function $V_p = x^T P x$ where $P \in \mathbb{R}^{12 \times 12}$ is a symmetric positive matrix which satisfies $PA + A^T P = -Q I$, for $q > 0$. The time derivative of $V_p$ along (22) is given by

$$\dot{V}_p = -q \|x\|^2 + 2 \frac{m}{T} x^T P B R S(\epsilon) \|\Delta \omega\|. $$
(30)

By taking into account (8) and (10) an upper bound of $T$ can be computed as

$$T = m \|u + g z_0\| \leq m(\|i d\|_\infty + g) + 2 m \delta \|x\|_2$$
(31)

where $\delta = \max\{\lambda_{\text{max}}(K_1), \lambda_{\text{max}}(K_2)\}$. Based on the previous analysis it can be shown that

$$T \leq \begin{cases} m c_1 \|x\|_2, & \text{if } \|x\|_2 \geq a \\ m c_2, & \text{if } \|x\|_2 < a \end{cases}$$
(32)

with $a \triangleq (\|\dot{\mathbf{r}}\|_\infty + g)/2k$ and $c \triangleq 4k$. Since $\|B\|_2 = 1$, $\|R\|_2 = 1$, $\|\dot{S}(\epsilon)\|_2 = \|x\|_2 \leq 1$ and by taking into account (32) the time derivative of $V_p$ satisfies

$$\dot{V}_p \leq - (q - 2 \lambda_{\text{max}}(P) c) \|\Delta \omega\|_2 \|x\|_2, \quad \forall \|x\|_2 \geq a.$$  
(33)

Since $\|\Delta \omega\|_2 \to 0$ as $t \to \infty$ there exist a finite period such that

$$\|\Delta \omega\|_2 = \frac{q}{4 \lambda_{\text{max}}(P)} \implies \dot{V}_p \leq - \frac{1}{2} q \|x\|^2.$$  
(34)

Therefore, $x(t)$ is bounded and converges asymptotically (exponentially) to zero. This completes the proof.$\square$

5. SIMULATION RESULTS

The performance of the proposed control algorithm is evaluated by means of numerical simulations. The parameters of the quadrotor used in the simulation are $m = 1.5[	ext{Kg}]$, $g = 9.8[	ext{m/s}^2]$ and $J = \text{diag}(0.1, 0.5, 0.05)[\text{Kg m}^2]$. The initial position and orientation of the aerial robot are $p(0) = \text{col}(2.5, 2.5, 0)[\text{m}]$, $\eta(0) = 0.8924$, $\epsilon = \text{col}(0.2588, 0.3696)$, respectively. It is assumed that the vehicle starts its motion from rest, i.e., $v(0) = 0$ and $\omega(0) = 0$. The initial guess of the inertial parameters is $\bar{\theta} = 0$. Finally, the control, observer, and adaptation gains are shown in Table 1.

| Control/Observer parameters | Orientation/Adaptive | Gain/Value |
|-----------------------------|---------------------|------------|
| $K_1$ | diag((4.3, 15)) | $K_\xi$ | diag((3.3, 3)) |
| $K_2$ | diag((5.3, 5.8)) | $k$ | 2 |
| $L_1$ | diag((10, 15, 16)) | $\Gamma$ | diag((0.02, 0.02, 0.01)) |
| $L_2$ | diag((16, 36, 60)) | $\lambda$ | 5 |

Table 1. Control/observer parameters

The structure of the regressor matrix in (18) is the following

$$Y(\omega, \omega, \omega, \omega) = \begin{bmatrix} \omega \omega \omega \omega \\ -\omega \omega \omega \omega \\ \omega \omega \omega \omega \\ -\omega \omega \omega \omega \\ -\omega \omega \omega \omega \\ -\omega \omega \omega \omega \\ -\omega \omega \omega \omega \\ \omega \omega \omega \omega \end{bmatrix} \in \mathbb{R}^{12 \times 12}$$
(35)

with $\omega = \text{col}(\omega_x, \omega_y, \omega_z)$ and $\omega_t = \text{col}(\omega_{tx}, \omega_{ty}, \omega_{tz})$.

The desired position is given by

$$p_d = \begin{bmatrix} 3 \cos(2\pi t/40) \\ 3 \sin(2\pi t/40) \\ 0.5 \cos(2\pi t/10) + 2 \end{bmatrix} \in \mathbb{R}^3.$$
(36)

The quadrotor’s trajectory is shown in Fig. 2. After the transient response a good tracking is achieved. The Fig. 3 shows the euclidean norms of the position and observation errors. As it can be appreciated, both errors converge asymptotically to zero. The trajectory tracking performance in the orientation subspace is depicted in Fig. 4. From the figure, it is clear that the norm of the vector part of the unit quaternion converges to zero and the scalar part converges to one, thus, a good attitude tracking is also achieved.

Finally, the estimated inertial parameters and the control inputs are shown in Figures 5 and 6, respectively. Notice
that the estimated parameters do not converge to the real ones, this due to the desired trajectory is not a persistently exciting function. However, this is not drawback, since the main objective is trajectory tracking rather than exact parameter estimation. Since the initial position and observer error are relatively large, the proposed control-observer algorithm requires more control effort at the beginning of the trajectory. After the transient response, the total thrust is about 10[N] and the norm of the input torque is about ±0.02[N·m].

6. CONCLUSIONS

In this paper, the problem of position trajectory tracking of a quadrotor in a 3D environment with model parameter uncertainties and without using linear velocity measurements was addressed. Due to the cascade nature of the quadrotor’s dynamics, a hierarchical control strategy was proposed. To avoid the singularities of the Euler angles, the attitude adaptive controller was designed based on the unit quaternion. On the other hand, a simple Luenberger observer was presented to estimate the linear velocity of the aircraft. The stability analysis of the closed-loop system was carried out by means of Lyapunov theory and the stability properties of cascade systems. Simulations results show the performance of the proposed control algorithm. Some future work include: experimental validation of the proposed control-observer algorithm, inclusion of the actuator dynamics in the control design, and designing robust control algorithms capable of handling external disturbances.

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