An interspecific barberry hybrid enables genetic dissection of non-host resistance to the stem rust pathogen *Puccinia graminis*

Radhika Bartaula¹, Arthur T. O. Melo¹, Bryan A. Connolly², Yue Jin³ and Iago Hale¹,*

¹ Department of Agriculture, Nutrition, and Food Systems, University of New Hampshire, Durham, NH 03824, USA
² Department of Biology, Framingham State University, Framingham, MA 01701, USA
³ USDA-ARS Cereal Disease Laboratory, St. Paul, MN 55108, USA

* Correspondence: iago.hale@unh.edu

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**Abstract**

Stem rust, caused by *Puccinia graminis* (*Pg*), remains a devastating disease of wheat, and the emergence of new *Pg* races virulent on deployed resistance genes fuels the ongoing search for sources of durable resistance. Despite its intrinsic durability, non-host resistance (NHR) is largely unexplored as a protection strategy against *Pg*, partly due to the inherent challenge of developing a genetically tractable system within which NHR segregates. Here, we demonstrate that *Pg's* far less studied ancestral host, barberry (*Berberis* spp.), provides such a unique pathosystem. Characterization of a natural population of *B. ×ottawensis*, an interspecific hybrid of *Pg*-susceptible *B. vulgaris* and *Pg*-resistant *B. thunbergii* (*Bt*), reveals that this uncommon nothospecies can be used to dissect the genetic mechanism(s) of *Pg*-NHR exhibited by *Bt*. Artificial inoculation of a natural population of *B. ×ottawensis* accessions, verified via genotyping by sequencing to be first-generation hybrids, revealed 51% susceptible, 33% resistant, and 16% intermediate phenotypes. Characterization of a *B. ×ottawensis* full sib family excluded the possibility of maternal inheritance of the resistance. By demonstrating segregation of *Pg*-NHR in a hybrid population, this study challenges the assumed irrelevance of *Bt* to *Pg* epidemiology and lays a novel foundation for the genetic dissection of NHR to one of agriculture’s most studied pathogens.

**Keywords:** Barberry, genotyping by sequencing, non-host resistance, stem rust, wheat.

**Introduction**

Stem rust, caused by the fungal pathogen *Puccinia graminis* (*Pg*), is one of the most devastating diseases of wheat and other small grains, and is responsible for severe epidemics and major recurring yield losses worldwide (Leonard and Szabo, 2005). The threat of *Pg* to global food security is further enhanced by its ability rapidly to evolve new forms and combinations of virulence (Pretorius et al., 2000). Since the pioneering work of Dr Elvin Stakman nearly 90 years ago (see Christensen, 1984), tremendous effort has been made by a global community of researchers to identify and deploy genetic sources of *Pg* resistance in wheat cultivars. Despite these efforts, the protection conferred by the vast majority of resistance genes has been temporary, or non-durable, due to evolving virulence (Singh et al., 2015). With concern rekindled over the emergence and spread of new virulent stem rust races, most notably the Ug99 family of races radiating out of East Africa, the search for novel sources of durable resistance has come to be considered essential to achieving long-term wheat security (Stokstad, 2007; Ayliffe et al., 2008).

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Non-host resistance (NHR), in which an entire plant species is resistant to all genetic variants of a pathogen species, is the most common type of resistance exhibited by plants (Lipka et al., 2010). Given its intrinsic durability and efficacy across a broad range of pathogens (Thordal-Christensen, 2003; Mysore and Ryu, 2004), NHR presents a compelling strategy for achieving long-term rust control in wheat. In his dream for tomorrow, Dr Norman Borlaug envisaged the eventual transfer of Pg-NHR from rice to wheat, forever solving via biotechnology the historic rust problem plaguing one of humanity’s most important staple crops (Borlaug, 2000). When simply inherited, the proof of concept for such a visionary transfer of NHR between species has been demonstrated [e.g. maize gene Rxo1 for bacterial streak disease of rice (Zhao et al., 2005)] but some studies suggest that Pg-NHR may not be simply inherited (Cheng et al., 2012).

Considerable effort has been made to understand the response to rust pathogens using various non-host and intermediate host pathosystems, including Uromyces vignae, Puccinia triticina, and P. striiformis on the model plant Arabidopsis thaliana (Mellersh and Heath, 2003; Shafiei et al., 2007; Cheng et al., 2013); P. graminis, P. triticina, P. striiformis, P. hordei, P. soghli, and Melampsora lini on rice (Ayliffe et al., 2011); P. striiformis on broadbean (Cheng et al., 2012); P. hordei and U. fabae on wheat (Prats et al., 2007; Zhang et al., 2011); and P. striiformis, P. triticina, P. hordei, P. coronata, P. recondite, P. hordei-secalini, and P. persistentus on barley (Atienza et al., 2004; Jafary et al., 2008; Dawson et al., 2016). While the specific mechanisms underlying NHR to rust fungi remain unknown, progress has been made in understanding the basal host defense responses of NHR under pathogen pressure. The current body of knowledge, based largely on histological and cytological studies, suggests that NHR involves multiple mechanisms, including callose deposition, production of reactive oxygen species, phytoalexin synthesis, salicylic acid signaling, and jasmonic acid signaling (Perumalla and Health, 1989; Ayliffe et al., 2011; Zhao et al., 2016). Despite a growing understanding of such basal mechanisms of some forms of NHR, however, little is known about the genetics underlying such responses. Since all of the individuals in a non-host plant species are, by definition, resistant to the pathogen, relevant genetic analyses are difficult to perform. Simply stated, in order to study the genetics of this type of resistance, a genetically tractable system segregating for NHR is required.

Within the highly diverse Berberis genus, numerous species are known to function as competent alternate (or sexual) hosts to Pg (Jin, 2011; Zhao et al., 2013), but others do not. For example, European barberry (B. vulgaris L.) is susceptible to Pg infection, but Japanese barberry (B. thunbergii DC.) is identified as a non-host, with no infection observed either under natural conditions or through extensive laboratory testing (Levine and Cotter, 1932). The association of B. vulgaris with Pg has been implicated in the wheat stem rust pathosystem for centuries, as evidenced by the existence of B. vulgaris eradication laws as far back as the 1600s (Zadoks and Bouwman, 1985). From 1918 to 1974, a massive B. vulgaris eradication program was undertaken by the US government as a means of controlling wheat stem rust (Peterson, 2013). Under that program, the largest plant eradication effort in history, >500 million common barberry plants were destroyed throughout the North Central Plains of the USA (Peterson, 2003). In contrast, cultivars of B. thunbergii continue to this day to be sold as part of a multi-million dollar ornamental landscape industry (Lubell et al., 2008), provided their resistance to Pg is confirmed by the USDA Cereal Disease Laboratory through its long-running barberry testing program (Silander and Klepeis, 1999). In the northeastern USA, outside the boundary of the 20th century federal barberry eradication zone, both B. vulgaris and B. thunbergii are found in great abundance, to the extent that both are considered invasive species (Silander and Klepeis, 1999; Mehrhoff et al., 2003). When the two species co-occur, they can hybridize to produce the relatively rare nothospecies B. ×ottawensis, and several natural populations of this interspecific hybrid have been documented in the region in recent years (Connolly et al., 2013; Hale et al., 2015; Van Splinter et al., 2016).

Despite the evolutionary relationship between some barberry species and Pg, and despite wheat stem rust being one of the most intensively researched plant diseases, no attempt has been made to understand the genetic mechanism of Pg-NHR exhibited by some Berberis spp. It is hypothesized that the modern-day macrocyclic, heteroecious species of Pg evolved from a progenitor that existed in aecial form, parasitizing dicot ancestors of the Berberidaceae prior to its host expansion to the grasses (Leppik, 1961; Wahl et al., 1984). It is thus of interest to investigate the mechanism of NHK exhibited by descendants of the ancestral hosts of cereal rust pathogens. Since B. vulgaris is a competent host of Pg and B. thunbergii is not, their interspecific hybrid presents a unique system for studying the genetic mechanism(s) of the apparent Pg-NHR in B. thunbergii. For this study, we utilized a natural population of B. ×ottawensis to determine if indeed the nothospecies can be used toward this end, thereby providing insight into mechanisms of NHR that may inspire novel strategies of stem rust resistance in wheat.

Materials and methods

Study taxa and field survey

Naturally occurring individuals of three barberry taxa, B. vulgaris L. (common or European barberry), B. thunbergii DC. (Japanese barberry), and B. ×ottawensis C.K. Scheid, were collected from Mass Audubon’s Lime Kiln Farm Wildlife Sanctuary in Sheffield, MA, for use in this study. Berberis vulgaris was first introduced to North America by European settlers during the 17th century (Grieve, 1931; Gleason and Cronquist, 1963) and is now considered an invasive species throughout many regions of the USA, including New England (Mehrhoff et al., 2003). These upright, perennial shrubs grow up to 3 m tall, display 2–5 cm long obovate to oblanceolate leaves with highly serrated margins (>50 serrations), and have 5–8 cm long pendant racemes of bright yellow flowers (Gleason and Cronquist, 1963; Mehrhoff et al., 2003). Berberis thunbergii, first introduced to North America as an ornamental plant from Japan in 1875 (Steph, 1885), is also now considered an invasive species throughout New England, the Midwest, and eastern states. It is a relatively smaller shrub, ranging from 0.5 m to 2.5 m tall, that displays 1.3–3.8 cm long entire leaves and 1–2 cm long inflorescences with few umbellate but mostly solitary flowers (Gleason and Cronquist, 1963; Mehrhoff et al., 2003; Haines, 2011). The third taxon, B. ×ottawensis, is the nothospecies resulting from the interspecific hybridization of B. vulgaris and B. thunbergii (Rehder, 1949). This hybrid is intermediate in height and leaf size between the two parental species, with either entire or moderately serrated leaf margins and truncate penduncled racemes bearing 5–12 bright yellow flowers.
In August 2014, 1-year-old semi-hardwood cuttings were taken from each of the 190 selected accessions for clonal propagation via rooting at the MacFarlane Research Greenhouses at the University of New Hampshire (UNH) in Durham, NH. To propagate, the proximal end of a 10–16 cm stem cutting was dipped in dry Hormodin-1 (0.1% indole-3-butyric acid) rooting hormone powder and inserted into moistened vermiculite. The set cuttings were maintained at 30–35 °C, and light misting was provided every 5–6 min to maintain high relative humidity throughout the propagation period. Once rooted, cuttings were transplanted into black plastic pots (11.5 cm diameter × 6.5 cm tall) filled with PRO-MIX HP growth media and maintained in the greenhouse for tissue sampling and disease phenotyping.

DNA isolation and genotyping by sequencing

Based on relative propagation success, a random subset of 80 collected accessions were selected for genotypic characterization, including 8 B. vulgaris, 9 B. thunbergii, and 63 putative B. ×ottawensis genotypes (see Supplementary Fig. S1 at JXB online). To increase the sampled genetic diversity and thus the confidence in calling true species-specific variants (i.e. variants polymorphic between B. vulgaris and B. thunbergii but monomorphic within each species), three additional B. vulgaris and four additional B. thunbergii accessions were collected from other sites (Randall Road, Lee, NH; Adams Point Road, Durham, NH; Piscataqua Road, Durham, NH; and Agronomy Road, Storrs, CT) and included for genotyping (Supplementary Table S1.). For all 87 accessions, genomic DNA (gDNA) was extracted from ~100 mg of lyophilized leaf tissue using a modified cetyltrimethylammonium bromide (CTAB) method (Doyle, 1987). Prior to genotyping by sequencing (GBS) library preparation, the isolated gDNA was purified using Zymo Research’s Genomic DNA Clean & Concentrator™ (Catalog # D4011), following the manufacturer’s protocol.

Reduced representation libraries were constructed using the two-enzyme (Pdrl–MpsI) GBS protocol described by Poland et al. (2012) and sequenced via 150 bp paired-end (PE) reads on an Illumina HiSeq 2500 at the Hubbard Center for Genome Studies, UNH. Raw FASTQ files were generated using CASAVA 1.8.3 and analyzed using the de novo (i.e. reference-free) bioinformatics pipeline GBS-SNP-CROP (Melo et al., 2016). In the first stage of the pipeline, all raw reads were parsed, quality trimmed, and demultiplexed into individual read pairs per genotype. A mock reference was constructed using the high-quality PE reads from all 63 B. ×ottawensis accessions, and putative variants, both single nucleotide polymorphisms (SNPs) and bi-allelic indels, were identified by aligning the high-quality PE reads of all 63 B. ×ottawensis accessions to the mock reference, following the pipeline’s recommended parameters. Using SAMTools (Li et al., 2009), only those read pairs possessing high mapping quality (q>30), exhibiting proper pair orientation, and showing no supplementary alignments were retained for variant calling. The parameters used for variant calling followed the pipeline recommendations for diplloid species, except that the ~mAvgDepth parameter was increased to 7 to enhance accuracy, at the expense of total marker number. Complete details of the GBS-SNP-CROP command lines used in this study, including all specified pipeline parameters, are provided in Supplementary Text S1.

The 11 B. vulgaris and 13 B. thunbergii accessions included in the GBS library were genotyped by mapping their high-quality PE reads to the B. ×ottawensis mock reference and calling alleles only for those marker positions identified as segregating among the natural population of B. ×ottawensis lines. For all downstream genetic diversity and pedigree analyses, only those variants located within centroids (i.e. consensus GBS fragments) containing single markers (hereafter referred to as simplex markers) were retained. All parsed, high-quality PE reads are deposited in the National Center for Biotechnology Information (NCBI) Short Read Archive (SRA). Individual barcode assignments, species information, detailed sequencing statistics, and assigned SRA numbers for the 87 genotyped accessions can be found in Supplementary Table S1.

Characterization of genetic diversity

To characterize the genetic diversity both within and among the populations of the three Berberis taxa present in the Lime Kiln Farm Wildlife Sanctuary, variants (or markers) were first characterized based on average read depth (D), the percentage of homozygotes (Homo), the percentage of heterozygotes (Hetero), and the proportion of missing genotype calls (NA). The software GenAIEx 6.3.01 (Peakall and Smouse, 2006)
was then used to generate descriptive population genetic parameters, such as the numbers of effective alleles ($N_e$), the minor allele frequencies (MAF), the observed heterozygosities ($H_o$), the unbiased expected heterozygosities ($H_e$), the inbreeding coefficients ($F_{IS}$), and the measure of interspecific genetic structure ($F_{ST}$). Interspecific genetic structure was also assessed via principal component analysis (PCA) through the dudi.pca() function in R (package 'adegenet') (Jombart and Ahmed, 2011).

Pedigree inference for $B$. ×ottawensis individuals
To infer the pedigrees (e.g. $F_1$, $BC_1$, etc.) of the 63 genotyped $B$. ×ottawensis hybrid individuals, species-specific markers (i.e. variants polymorphic between $B$. vulgaris and $B$. thunbergii but monomorphic within each species) were identified. To ensure the informativeness of such markers across the population, we excluded all SNPs and indels for which there were >25% missing data. Further filtering was applied to retain only 459 high confidence, species-specific markers that exist in alternate homozygous

Fig. 2. Inflorescence types of the three $Berberis$ taxa in the study. (A) 5–8 cm long pendant racemes with bright yellow flowers typical of $B$. vulgaris; (B) 1–2 cm long inflorescences with mostly solitary flowers typical of $B$. thunbergii; and (C) truncated pendant racemes with 5–12 bright yellow flowers typical of $B$. ×ottawensis.
states between all genotypic accessions of the two parental species, including the additional seven accessions from sites other than Lime Kiln (Supplementary Table S1). For those 459 high confidence, species-specific markers, alleles specific to B. thunbergii are referred to as ‘Bt’ alleles and alleles specific to B. vulgaris are referred to as ‘Bv’ alleles.

Pedigree inferences for the 63 B. ×ottawensis accessions were made based on the percentage compositions of Bt homoygous loci, Bv homoygous loci, and Bv/Bt heterozygous loci within each individual. Because the pedigree analysis involves only species-specific markers, the theoretical expectation for pure F1 hybrids is 100% heterozygosity across all loci (i.e. 100% Bv/Bt). Due to both sampling bias and inherent genotyping error, however, 100% heterozygosity is not expected in empirical data; thus in this study, B. ×ottawensis individuals were considered F1 hybrids if the observed percentage of Bv/Bt heterozygosity across all 459 high-confidence species-specific markers was >95% and the combined percentage of Bt homoygous and Bt homoygous loci was <5%. More complex pedigrees were similarly inferred, under the simplifying assumption of independent segregation. A reference table of expected proportions of Bt homozygous, Bv homozygous, and Bv/Bt heterozygous loci for possible hybrid pedigrees, up to four generations, is provided in Supplementary Table S2.

Disease phenotyping

In April and May 2015, rooted cuttings of the 87 genotyped accessions were phenotyped for their responses to P.g. at the USDA-ARS Cereal Disease Laboratory (CDL) in St. Paul, MN, using the standard protocol (Cotter, 1932) for testing barberry varieties for release to regulated states, as per CDL’s contract with the USDA Animal and Plant Health Inspection Service. If scored as resistant at the CDL, the disease reactions of the 63 genotyped B. ×ottawensis individuals were verified via an independent round of testing at UNH in April and May 2016. For all disease phenotyping, newly emerged leaves were inoculated with germinated P.g basidiospores by suspending overwintered, telia-laden straw of naturally infected Elymus repens (L.) Gould over barberry plants in an inoculation chamber cycling between 18 °C (light, 14 h) and 16 °C (dark, 10 h). The P.g-infected stems of E. repens were collected in 2013 in St. Charles, MN, where a population of P.g is alternating between B. vulgaris and E. repens (Y. Jin, unpublished) and are part of CDL’s source inoculum for barberry testing. In that region, E. repens is commonly infected by two Puccinia spp. (P. gaminis, the causal organism of stem rust, and P. coronata, the causal organism of crown rust), but the two are easily distinguished on the basis of telia and telospor morphology (Cummins, 1971). Also, given the fact that the alternate host for P. coronata is Rhamnus spp. rather than Berberis spp., the natural inoculum used in this study was certainly P.g.

Two days post-inoculation, plants were moved to a growth chamber or greenhouse cycling between 20 ± 2 °C (light, 14 h) and 18 ± 2 °C (dark, 10 h) for further incubation. Infections were scored visually 14 d after inoculation, when mature aecia developed on the susceptible check. Individual plants were scored as resistant if more than five P.g-infected stems of E. repens were collected in 2013 in St. Charles, MN, where a population of P.g is alternating between B. vulgaris and E. repens (Y. Jin, unpublished) and are part of CDL’s source inoculum for barberry testing. In that region, E. repens is commonly infected by two Puccinia spp. (P. gaminis, the causal organism of stem rust, and P. coronata, the causal organism of crown rust), but the two are easily distinguished on the basis of telia and telospor morphology (Cummins, 1971). Also, given the fact that the alternate host for P. coronata is Rhamnus spp. rather than Berberis spp., the natural inoculum used in this study was certainly P.g.

Testing maternal inheritance of P.g-NHR

To test whether or not the P.g-NHR of B. thunbergii observed segregating in the population of Lime Kiln hybrids is maternally inherited, an independent population of 129 F1 B. ×ottawensis full sibs was developed via a controlled cross between B. vulgaris accession ‘Wagon Hill’ (female parent) and B. thunbergii accession ‘UCONN1’ (pollen parent). The hybrid status of all progeny was validated via GBS, and their reactions to P.g were evaluated at UNH in April and May 2016, following the method described above.

Results

Relative abundance and phenotypic characterization of Berberis taxa at the study site

The field survey conducted to characterize the natural populations of B. vulgaris, B. thunbergii, and B. ×ottawensis growing within the Lime Kiln Farm Wildlife Sanctuary in Sheffield, MA, revealed wide distribution of all three barberry taxa within the study area (Supplementary Fig. S1). The combined population size of the three taxa was estimated to be ~1000 individuals, spread over an area of ~7 ha. Berberis thunbergii (~600 individuals) was observed to outnumber the other two taxa, although B. ×ottawensis and B. vulgaris plants were also observed in significant numbers (~200 each). While morphological variation was observed within the populations of all three taxa, the most pronounced variation within a taxon was observed among the B. ×ottawensis hybrids, especially in the diagnostic characteristics of plant height (0.5–3 m tall), leaf size (2–6 cm long and 1–4 cm wide), and leaf margin (entire to >30 serrations). While some B. ×ottawensis individuals were as tall as or even taller than mature B. vulgaris plants, their leaves were often smaller than those of B. vulgaris and/or their margins had far fewer serrations. In contrast, other hybrids largely resembled B. thunbergii, in the sense of being relatively short (0.5–1 m tall) and having non-serrated leaves, but bore 5–12 bright yellow flowers on truncated pendant racemes typical of the nothospecies.

Variant calling

GBS generated a total of 381 million 150 bp PE reads for the 87 accessions selected at random from the study site. After quality parsing and demultiplexing, the average numbers of high-quality reads per genotype retained by the GBS-SNP-CROP pipeline were 2.8, 3.5, and 4.8 million PE reads for B. thunbergii, B. vulgaris, and B. ×ottawensis, respectively (Supplementary Table S1). Using high-quality PE reads from all 63 B. ×ottawensis individuals, we generated a mock reference comprised of 143213 centroids (i.e. consensus GBS fragments), with a total length of ~25 Mbp. In total, the pipeline identified 23091 putative variants, including 20799 SNPs (average depth D SNP = 26.71) and 2292 bi-allelic indels (D indel = 25.22), and the percentage of missing data was low (4%). After filtering, the final set of 2369 simplex markers (i.e. SNPs or indels located within centroids containing a single polymorphic site) exhibited higher depth (D = 66.67) but a similar pattern of heterozygosity, homozygosity, and missing data characteristic of the entire data set (Table 1).

Assessment of genetic diversity

Genetic diversity analyses were performed within and among the three populations of barberry taxa at Lime Kiln using the 2369 simplex markers described above (see Table 2). In terms of intraspecific genetic diversity, the percentage of polymorphic loci was nearly 100% within the B. ×ottawensis subpopulation,
Table 1. Summary data characterizing the variants called for 63 accessions of B. ×ottawensis collected from the Lime Kiln Farm Wildlife Sanctuary in Sheffield, MA

| Typea | Nb | Da | %Hetb | %Homc | %NAd |
|-------|----|----|--------|--------|-------|
| All markers | 20799 | 48.74 | 55.89 | 40.22 | 3.89 |
| SNP | 2292 | 46.45 | 54.79 | 40.69 | 4.52 |
| Both | 23091 | 48.51 | 55.78 | 40.26 | 3.96 |
| Simplex markers only | 2164 | 67.55 | 50.03 | 46.15 | 3.81 |
| SNP | 205 | 57.42 | 55.02 | 39.20 | 5.77 |
| Both | 2369 | 66.67 | 50.46 | 45.55 | 3.98 |

a The type of variant called by the de novo GBS-SNP-CROP pipeline (v.2.1), either SNPs or indels.

b The number of variants, by type, called after imposing all recommended genotyping criteria for diploid species.

c The average read depth, by variant type.

d The type of variant called by the de novo GBS-SNP-CROP pipeline (v.2.1), either SNPs or indels.

e The number of genotypes sampled, by species.

f The observed heterozygosity.

g The percentage of polymorphic loci throughout each species.

h The unbiased expected heterozygosity.

i The percentage of heterozygous loci throughout the population.

j The percentage of homozygous loci throughout the population.

k The percentage of missing cells (i.e. no genotype assigned for a given variant–accession combination)

a result which reflects the hybrid nature of these individuals and supports the high level of unbiased expected heterozygosity (H_e=0.375) estimated for the nothospecies. The percentages of polymorphic loci were relatively lower for both of the parental species (21.7% for B. vulgaris and 41.0% for B. thunbergii), and the lowest value of H_e was found for B. vulgaris (H_e=0.088). Like this low value of H_e, the highly negative value of FIS (<0.24) for B. vulgaris is unsurprising in light of the severe genetic bottleneck presumed for this species during its colonial introduction from Europe to North America.

In general, the low level of inbreeding observed for all three species (average FIS = -0.131) is an understandable consequence of their outcrossing physiologies (Lebuhn and Anderson, 1994). The high value of the interspecific genetic structure between B. vulgaris and B. thunbergii (FST=0.738) indicates a robust population structure in spite of co-location, a structure that is probably maintained due to flowering time differences between the parental species, with B. thunbergii flowering 2–4 weeks earlier than B. vulgaris in the region (Connolly et al., 2013). Both the overall taxa–based population structure and the relative genetic diversity within taxa are well captured by a PCA (Supplementary Fig. S2), in which the first two axes account for >89% of the genetic diversity.

Inferred pedigrees of the B. ×ottawensis individuals

From a total of 2369 simplex markers, 459 high-confidence, species-specific variants (i.e. SNPs or indels polymorphic between the two parental species but monomorphic within) were retained and used for pedigree analysis. Using this reduced set of parental species–informative markers, we inferred the generic pedigrees of the 63 genotyped B. ×ottawensis individuals based on the percentage compositions of Bt homozygous, Bv homozygous, and Bt/Bv heterozygous loci within each individual, where ‘Bt’ designates an allele specific to B. thunbergii and ‘Bv’ designates an allele specific to B. vulgaris. Of the 63 genotyped B. ×ottawensis individuals, 53 (84%) were found to be heterozygous (Bt/Bv) at ≥95% of the 459 loci; hence the vast majority of B. ×ottawensis individuals appear to be true, first-generation (F1) hybrids at the study site (Table 3). Comparatively smaller numbers of B. ×ottawensis individuals appear to be later generation hybrids (e.g. backcrosses to parental species, etc.; see Table 3).

In addition to the expected proportions of Bt homozygous, Bv homozygous, and Bt/Bv heterozygous loci for various possible pedigrees (Supplementary Table S2), the full data set with actual proportions and inferred pedigrees is presented in Supplementary Table S3.

Reaction to Puccinia graminis inoculation

To determine disease responses to Pg, individual propagated accessions were inoculated using overwintered telia of Pg found on naturally infected E. repens. Of the 190 individuals collected from the field, inoculation was successful for 122 accessions, with the other 68 accessions dying during either shipment, propagation, or handling in the greenhouse. One week after inoculation, pycniospores began to develop on the upper surfaces of young leaves of both B. vulgaris and susceptible B. ×ottawensis individuals, whereas resistant B. ×ottawensis individuals showed varying responses, ranging from no visual symptoms (similar to B. thunbergii) to the development of sparse brown flecking. Two weeks after inoculation, accessions of B. vulgaris exhibited a clear susceptible reaction, with well-developed mature aecia visible on the lower leaf surfaces (Fig. 3A). In contrast, accessions of B. thunbergii developed either no symptoms at all or small, sparse flecking (Fig. 3B), and accessions of B. ×ottawensis showed varying responses, ranging from B. vulgaris–like full susceptibility (Fig. 3C) to B. thunbergii–like resistance (Fig. 3H). Various intermediate disease responses of some B. ×ottawensis accessions included aecial development in the presence of red necrotic islands (Fig. 3D), reddish or brown necrotic lesions with no aecial development (Fig. 3E, F), and flecking (Fig. 3G). As summarized in Table 4, all B. vulgaris accessions exhibited clear susceptible reactions and all B. thunbergii accessions exhibited clear resistant reactions. Of the 105 B. ×ottawensis accessions successfully tested for disease response to Pg, 54 (52%) exhibited clear susceptible reactions with well-developed pycnia and mature aecia, and 37 (35%) accessions exhibited clear resistant reactions with either no visual symptoms or sparse, small flecking. The remaining 14 accessions (13%) exhibited various intermediate responses, usually involving <5 pycnia, no aecial development, and...
associated hypersensitive-like reactions, including chlorosis, necrosis, and leaf reddening.

The population of F1, *B. ×ottawensis* full sibs derived from the cross between *B. vulgaris* accession ‘Wagon Hill’ and *B. thunbergii* accession ‘UCONN1’ was similarly tested for response to *P. graminis*. As within the natural population of *B. ×ottawensis* hybrids, varying responses ranging from apparent immunity to severe susceptibility were observed to segregate among the full sibs. Of the 129 full sib lines tested for disease response to *P. graminis*, 81 (63%) exhibited a clear susceptible reaction, 23 (18%) exhibited an intermediate response, and 25 (19%) exhibited a resistant reaction (Table 4).

### Discussion

As the most common form of genetic resistance, NHR has the potential to provide broad-spectrum, durable resistance to many plant pathogens, including the causal organism of wheat stem rust. Unfortunately, the genetic mechanisms underlying *P. graminis*-NHR are poorly understood, in part due to the inherent challenge of developing a genetically tractable system in which genes controlling *P. graminis*-NHR segregate. In this study, we investigated the disease response of an interspecific hybrid between *P. graminis*-resistant *B. thunbergii* and *P. graminis*-susceptible *B. vulgaris*, and demonstrated the viability of this unique pathosystem to begin characterizing and mapping the gene(s) underlying the putative *P. graminis*-NHR of *B. thunbergii*.

The apparent non-host resistance of *B. thunbergii* to *P. graminis* segregates in the interspecific hybrid *B. ×ottawensis*. The natural population of *B. ×ottawensis* hybrids screened for disease response in this study was found to segregate for resistance to *P. graminis*. Specifically, 52% of the successfully screened hybrid accessions exhibited *B. vulgaris*-like susceptibility, 35% showed clear *B. thunbergii*-like resistance, and the remaining 13% showed varying intermediate reactions. GBS analysis performed on a random subset of 63 of these phenotyped *B. ×ottawensis* accessions showed that 53 were true, first-generation (F1) hybrids, among which a similar proportion of susceptible (51%), resistant (33%), and intermediate (16%) reactions were observed (Table 3). These results demonstrate

### Table 3. Inferred pedigrees and observed reaction types of the 63 genotyped *B. ×ottawensis* accessions, based on 459 species-specific markers

| Inferred pedigree | S | F | R | E |
|-------------------|---|---|---|---|
| Bt/Bv=F1          | 26| 8 | 17| 2 |
| F1/Bv             | 1 | 0 | 0 | 0 |
| F1/Bt/Bv          | 1 | 1 | 0 | 0 |
| F1/Bv/Bt/Bv       | 1 | 0 | 0 | 0 |
| F1/Bv/Bt          | 1 | 0 | 0 | 0 |
| F1/Bv/Bt/Bv/Bt    | 0 | 2 | 0 | 0 |
| F1/Bv/Bt/Bv/Bv    | 1 | 0 | 0 | 0 |
| F1/Bv/Bt/Bv/Bv/Bv | 2 | 0 | 0 | 0 |
| Total             | 33| 11| 17| 2 |

*Accession pedigrees are inferred based on observed proportions of homozygous and heterozygous loci, considering only species-specific markers and assuming independent segregation (Supplementary Table S2). Bt designates a *B. thunbergii* parent, Bv designates a *B. vulgaris* parent, and F1 designates the *B. ×ottawensis* F1 hybrid. Within each pedigree, one slash (‘/’) indicates the first cross, two slashes (‘//’) indicate the second cross, and so forth. For example, pedigree ‘A/B//C//D’ indicates that A was first crossed with B, their offspring was crossed with C, and that offspring was crossed with D.

The number of *P. graminis*-susceptible genotypes.

The number of intermediate genotypes.

The number of *P. graminis*-resistant genotypes.

The number of failed inoculations (i.e. no disease phenotype).
Table 4. Summary of reactions to P. graminis by the 122 Lime Kiln accessions of three barberry taxa as well as the derived population of 129 B. ×ottawensis full sibs

| Taxa collected from Lime Kiln | Sx  | Ix  | Rx  |
|------------------------------|-----|-----|-----|
| B. thunbergii                | 0 (0%) | 0 (0%) | 13 (100%) |
| B. vulgaris                  | 4 (100%) | 0 (0%) | 0 (0%) |
| B. ×ottawensis               | 54 (52%) | 14 (13%) | 37 (35%) |

| 'Wagon Hill''/UConn1' full sibs |
|---------------------------------|
| B. ×ottawensis                  |
| 81 (63%) | 23 (18%) | 25 (19%) |

*The number and percentage of Pg-susceptible genotypes.
†The number and percentage of intermediate genotypes.
‡The number and percentage of Pg-resistant genotypes.

Over the past decade, in light of growing global concern about the wheat rusts, a number of efforts have been mounted to understand NHR to rust pathogens using various model and non-model plants. Many plant species, including *A. thali-ana*, *Bachypodium distachyon*, rice, barley, and cowpea (Ayliffe et al., 2011; Cheng et al., 2012, 2013; An et al., 2016; Dawson et al., 2016; Li et al., 2016; Zhao et al., 2016), have been used to study NHR to *P. striiformis* f. sp. *tritici* (*Pst*), the causal organism of wheat stripe rust. In contrast, *P*<sub>g</sub>-NHR has thus far been studied only in rice (Ayliffe et al., 2011), as distinct from the studies of intermediate *P*<sub>g</sub> resistance conducted in barley and *B. distachyon* (Figueroa et al., 2013; Dracatos et al., 2014).

Given the evolutionary relationship between the *Berberis* genus and *P*<sub>g</sub> prior to its host expansion to the grasses, the findings of this study suggest that the interspecific hybrid *B. ×ottawensis* may have value as an alternative plant system for mapping and gaining insight into the genetic mechanism(s) underlying resistance to this complex pathogen.

Pg-NHR in *B. thunbergii* probably involves more than one nuclear gene

From the practical standpoint of breeding for improved resistance to biotic factors, the central questions regarding NHR concern the nature and modes of inheritance of the underlying genes. In the case of maize NHR to the rice bacterial streak pathogen *Xanthomonas oryzae* pv. *oryzicola*, Zhao et al. (2004) reported that the resistance is mediated by a single gene, designated *Rxo1*. If a single gene governs *P*<sub>g</sub>-NHR in *B. thunbergii*, the segregation of resistance among *F*<sub>1</sub> hybrids documented in this study suggests that the underlying resistance gene may exhibit dominance and exist in a heterozygous state within *B. thunbergii*. In such a case, however, independent assortment during meioses would invariably result in homozygous *P*<sub>g</sub>-susceptible *B. thunbergii* progeny. To date, no accession of *B. thunbergii* tested by the CDL has shown susceptibility to *P*<sub>g</sub>; thus a single gene governing *P*<sub>g</sub>-NHR in *B. thunbergii* is unlikely. The range of disease responses observed in this study, including a complete lack of visual symptoms (i.e. immunity), various intermediate-level reactions, and full susceptibility (Fig. 3), also suggests that the *P*<sub>g</sub>-NHK of *B. thunbergii* is probably governed by more than a single gene.

Segregation of resistance within a natural population of *F*<sub>1</sub> hybrids could also be explained if the inheritance of NHR is non-nuclear. If the *P*<sub>g</sub>-NHK of *B. thunbergii* is transmitted via the cytoplasm, all offspring should exhibit a disease response similar to that of the maternal plant. Under natural conditions, such as those at the Lime Kiln Farm Wildlife Sanctuary, the relatively restricted gene flow between *B. thunbergii* and *B. vulgaris* is assumed to be bi-directional, meaning both species have an equal chance to serve as the female parent of new ornamental cultivars. In *B. thunbergii*, cytoplasmically inherited, all hybrid progeny obtained from a *B. thunbergii* mother plant are expected to be resistant, and all hybrids obtained from a *B. vulgaris* mother plant are expected to be susceptible. In this study, we found that ~50% of the Lime Kiln *F*<sub>1</sub> hybrids exhibit a susceptible reaction, suggesting that the *P*<sub>g</sub>-NHK of *B. thunbergii* may indeed be cytoplasmically inherited. To test this hypothesis, a population of 129 *F*<sub>1</sub> hybrids from a controlled cross between *B. vulgaris* (female parent) and *B. thunbergii* (pollen parent) and screened for disease response to *Pg*. Under this scenario, all *F*<sub>1</sub> hybrids would be expected to exhibit *B. vulgaris*-like susceptibility to *P*<sub>g</sub>; yet clear segregation in disease response was observed (Table 4), indicating that the *P*<sub>g</sub>-NHK of *B. thunbergii* is not transmitted via the cytoplasm.

Pg-resistant barberry species may be epidemiologically relevant to *Pg* evolution by virtue of their hybrid progeny

It is well established that naturalized populations of *B. thunbergii* and *B. vulgaris* are widespread throughout New England (Connolly et al., 2013; Hale et al., 2015), to the extent that both are considered invasive species. This study shows that *B. ×ottawensis* is present throughout the Lime Kiln Farm Wildlife Sanctuary, and other recent studies report that this interspecific hybrid, assumed previously to be quite rare, is commonly found where the two parental species co-occur (Connolly et al., 2013; Hale et al., 2015). Once they are confirmed to be resistant to *P*<sub>g</sub> by the USDA, *B. thunbergii* cultivars are propagated and sold as ornamental shrubs throughout the USA, as part of a multi-million dollar nationwide industry (Lubell et al., 2008). While individual *B. thunbergii* genotypes may be deemed to pose no risk in terms of stem rust epidemiology, the results of this study raise a concern about the epidemiological risk of their progeny. Given the documented ability of *B. thunbergii* to naturalize and disperse, the prolific fruit set of many ornamental cultivars, the Herculean effort to purge the landscape of *P*<sub>g</sub>-susceptible barberry plants in the 20th century, and the ongoing need to prevent sexual recombination of the stem rust pathogen, this study indicates a need to investigate and reconsider the epidemiological risk posed by *B. thunbergii*, by way of its interspecific hybrid with *B. vulgaris*. Specifically, in light of concerns around both invasiveness and *P*<sub>g</sub> epidemiology, perhaps the minimum standard for new ornamental cultivars of *B. thunbergii* should be sterility, as pioneered by the recently
patented cultivars 'UCONNBTCP4N', 'UCONNBTB039', 'UCONNBTB048', 'UCONNBTB113', and 'NCBT1'. Sunjoy Mini Maroon™.

Beyond the USA, the highly diverse Berberis genus is distributed nearly worldwide, with centers of diversity in southern Asia as well as Central and South America (Ahrendt, 1961; Roumaville and Ranney, 2010), and evidence of the alternate host's role in current rust epidemics is mounting. In China, for example, ~250 Berberis spp. are found, accounting for nearly 50% of the species recorded globally (Ying and Chen 2001); and the sexual recombination of Psi observed on barberries has been implicated in the high genetic diversity of Psi in that country (Lu et al., 2009; Mboup et al., 2009; Sharma-Poudyal et al., 2013; Zheng et al., 2013; Wang et al., 2016). Similarly, Pg-compatible B. holstii growing near wheat production areas in the highlands of eastern Africa may have played a role in the emergence of new virulence combinations in that region, including the rapidly diversifying Ug99 family of races (Singh et al., 2015; Zhang et al., 2017). In Iran, a country where the fruit of B. vulgaris is produced commerically on ~11,000 ha (Rahimi-Madiseh et al., 2017), Pg races of highly diverse virulence profiles were recovered from aerial samples collected from infected B. vulgaris plants, indicating that Pg can complete its sexual cycle in the region (Hansen et al., 2013). Indeed, barberries grow widely throughout the mountainous areas of Central West Asia and North Africa, including countries in the Ug99 pathway, yet their exact epidemiological relevance remains unclear. While the present study focuses only on the two barberry species found in New England, one imported from Europe and the other from Japan, their natural hybrid and its complex response to Pg raises questions about the epidemiological role of presumably rust-resistant Berberis spp. worldwide. In short, the existence of interspecific hybrids may bring additional complications to the important work of understanding the contribution of Berberis spp. to global rust cycles.

Future work
To build on the results of this study, we are developing an F1 bi-parental mapping population via a controlled cross between B. vulgaris accession 'Wagon Hill' and B. thunbergii accession 'UCONN1' in order to develop linkage maps for both parental species and begin mapping the gene(s) underlying Pg-NHR, in B. thunbergii. To facilitate downstream dissection of potential quantitative trait loci, a reference genome for B. thunbergii is also in development. While it is possible, even likely, that the mechanisms governing Pg-NHR at the basidiospore stage (i.e. Pg's infection of its sexual host) may not be relevant to those governing Pg-NHR at the aeciospore or ureidiospore stages (i.e. the spores which infect Pg's asexual hosts, including wheat and other small grains), the ongoing, centuries-long fight against this complex and historic pathogen demands that all strategies be pursued. Ultimately, the hope is that characterization of Pg-NHR in B. thunbergii, a species closely related to the pathogen's ancestral host, may provide information about the evolution of modern day heteroeocious Pg and contribute insight into possible mechanisms of durable resistance in wheat.

Supplementary data
Supplementary data are available at JXB online.

Fig. S1. Map of the Lime Kiln Farm Wildlife Sanctuary in Sheffield, MA.

Fig. S2. Principal components analysis showing the genetic structure of the three barberry subpopulations at the Lime Kiln Farm Wildlife Sanctuary.

Table S1. The 87 Berberis accessions used in the study, with passport information, genotypic data, and phenotypic features.

Table S2. Expected proportions of homozygous and heterozygous loci of hybrid accessions of various potential pedigrees.

Table S3. Disease reactions and observed proportions of homozygous and heterozygous loci within each of the 63 genotyped hybrid accessions.

Text S1. Detailed record of the GBS-SNP-CROP command lines used in this study, including all specified pipeline parameters.

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