Investigation of the high-spin rotational properties of the proton emitter $^{113}$Cs using a particle-number conserving method *

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Abstract:
The recently observed two high-spin rotational bands in the proton emitter $^{113}$Cs are investigated using the cranked shell model with pairing correlations treated by a particle-number conserving method, in which the Pauli blocking effects are taken into account exactly. By using the configuration assignments of band 1 (π3/2+[42], α = −1/2) and band 2 (π1/2+[420], α = 1/2), the experimental moments of inertia and quasiparticle alignments can be well reproduced by the present calculations, which in turn strongly support these configuration assignments. Furthermore, by analyzing the occupation probability $n_n$ of each cranked Nilsson level near the Fermi surface and the contribution of each orbital to the angular momentum alignments, the backbending mechanism of these two bands is also investigated.

Key words: particle-number conserving method, pairing correlations, moment of inertia, proton emitter

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1 Introduction

Investigation of nuclei far from the $\beta$-stability line is one of the most important frontiers in nuclear physics. Nuclei at the extremes of stability show various exotic decay modes, which can provide valuable information for the study of the nuclear structure close to the drip-line. On the proton-rich side of the nuclear landscape, the existence of the Coulomb potential together with the centrifugal potential, gives rise to barriers of about 15 MeV. Therefore, relatively long-lived proton emitters, lifetimes ranging from $10^{-6} \text{s}$ to a few seconds, can exist beyond the proton drip-line [1, 2]. Experimentally, after the first direct emission of a proton from a isomeric state was observed in $^{89}$Co [3], up to now, almost 50 proton emitters, including the one-proton emitters with charges in the range $50 < Z < 83$, two-proton emitters below $Z = 50$ [4], and $\beta$-delayed proton emitters [5], etc., have been identified. Theoretically, various microscopic models have been used to explain the ground state properties, measured half-lives and spectroscopic information of the proton emitters [6–10].

Most of the observed proton emitters have a spherical shape. Anomalous proton decay rates have been measured for $^{109}$Tl and $^{113}$Cs, which are consistent with calculations assuming relatively small deformations [11]. Furthermore, experimental efforts to investigate proton emitters in the light rare-earth region have brought to light the existing of the deformed nuclei at the drip-line [12, 13] and the rotational bands have also been observed [14]. The lifetimes of these deformed proton emitters can provide direct information on the last occupied Nilsson orbital and the shape of the nucleus. Recently, two previously observed high-spin rotational bands in the deformed proton emitter $^{113}$Cs have been extended to spins of 45/2 $\hbar$ and 51/2 $\hbar$, respectively [15]. The excitation energies of these two bands are over 8 MeV above the ground state [15]. Up to now, these are the highest spins and excitation energies observed in the nuclei beyond the proton drip-line. As one of the first proton emitters observed, decay properties of $^{113}$Cs have been given by the most recent investigations as half-life $T_{1/2} = 16.7(7) \mu s$ [16] and proton energy $E_p = 959(6)$ keV [17]. The investigation of the rotational bands observed in $^{113}$Cs allow extracting of those properties such as moments of inertia (MOI’s) and backbending frequencies, which provide a benchmark for various nuclear models, e.g., the cranked Nilsson-Strutinsky method [18], the Hartree-Fock-Bogoliubov cranking model with Nilsson potential [19] and Woods-Saxon potential [20, 21], the tilted axis cranking model [22], the cranked relativistic [23] and non-relativistic mean-field models [24], the projected shell model [25], etc.

In this paper, the cranked shell model (CSM) with pairing correlations treated by a particle-number conserving (PNC) method [26–27] is used to investigate the two high-spin rotational bands recently observed in the proton emitter $^{113}$Cs [15]. In contrary to the conventional

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Bardeen-Cooper-Schrieffer or Hartree-Fock-Bogolyubov approaches, in the PNC method, the Hamiltonian is diagonalized directly in a truncated Fock-space \[28\]. So the particle-number is conserved and the Pauli blocking effects are treated exactly. The PNC-CSM has already been used successfully for describing the odd-even differences in MOI’s \[29\], the identical bands \[30–33\], the nonadditivity in MOI’s \[34–36\], the nuclear pairing phase transition \[37\], the high-spin rotational bands in the rare-earth \[38–45\], the actinide and superheavy nuclei \[46–50\], and the nuclear antimagnetic rotation \[51\]. Note that the PNC scheme has been implanted both in relativistic and nonrelativistic mean field models \[52, 53\] and the total-Routhian-surface method with the Woods-Saxon potential \[54, 55\]. Very recently, PNC method based on the cranking Skyrme-Hartree-Fock model has been developed \[56\].

This paper is organized as follows. A brief introduction to the PNC treatment of pairing correlations within the CSM is presented in Sec. 2. The numerical details used in the PNC-CSM calculation are given in Sec. 3. This method is used to investigate the two rotational bands of \(^{113}\)Cs in Sec. 4. A brief summary is given in Sec. 5.

2 A brief introduction to particle-number conserving method for the cranked shell model

The cranked shell model Hamiltonian of an axially symmetric nucleus in the rotating frame can be written as

\[ H_{\text{CSM}} = H_0 + H_P = H_{\text{Nil}} - \omega J_x + H_P, \]

where \(H_{\text{Nil}}\) is the Nilsson Hamiltonian, \(-\omega J_x\) is the Coriolis interaction with the cranking frequency \(\omega\) about the \(x\) axis (perpendicular to the nuclear symmetry \(z\) axis). \(H_P\) is the pairing interaction,

\[ H_P = -G \sum_{\xi_{\eta}} a_{\xi}^\dagger a_{\eta}^\dagger a_{\eta} a_{\xi}, \]

where \(\xi (\eta)\) labels the time-reversed state of a Nilsson state \(\xi (\eta)\), and \(G\) is the effective strength of monopole pairing interaction.

Instead of the usual single-particle level truncation in conventional shell-model calculations, a cranked many-particle configuration (CMPC) truncation (Fock space truncation) is adopted which is crucial to make the particle-number conserving calculations for low-lying excited states both workable and sufficiently accurate \[28, 57\]. Usually a dimension of 1000 should be enough for the calculations of heavy nuclei. An eigenstate of \(H_{\text{CSM}}\) can be written as

\[ \vert \Psi \rangle = \sum_i C_i \vert i \rangle \quad (C_i \text{ real}), \]

where \(\vert i \rangle\) is a CMPC (an eigenstate of the one-body operator \(H_0\)). By diagonalizing the \(H_{\text{CSM}}\) in a sufficiently large CMPC space, sufficiently accurate solutions for low-lying excited eigenstates of \(H_{\text{CSM}}\) are obtained.

The angular momentum alignment for the state \(\vert \Psi \rangle\) is

\[ \langle \Psi \vert J_x \vert \Psi \rangle = \sum_i C_i^2 \langle i \vert J_x \vert i \rangle + 2 \sum_{i<j} C_i C_j \langle i \vert J_x \vert j \rangle, \]

and the kinematic MOI of state \(\vert \Psi \rangle\) is

\[ J^{(1)} = \frac{1}{\omega} \langle \Psi \vert J_x \vert \Psi \rangle. \]

Because \(J_x\) is a one-body operator, the matrix element \(\langle i \vert J_x \vert j \rangle\) \((i \neq j)\) may not vanish only when \(\vert i \rangle\) and \(\vert j \rangle\) differ by one particle occupation \[27\]. After a certain permutation of creation operators, \(\vert i \rangle\) and \(\vert j \rangle\) can be recast into

\[ \vert i \rangle = (-1)^{M_\mu} \vert \mu \cdots \rangle, \quad \vert j \rangle = (-1)^{M_\nu} \vert \nu \cdots \rangle, \]

where \(\mu\) and \(\nu\) denote two different single-particle states, and \((-1)^{M_\mu} = \pm 1, (\nu)^{M_\nu} = \pm 1\) according to whether the permutation is even or odd. Therefore, the angular momentum alignment of \(\vert \Psi \rangle\) can be expressed as

\[ \langle \Psi \vert J_x \vert \Psi \rangle = \sum_{\mu} j_\mu (\mu) + \sum_{\mu<\nu} j_\mu (\mu \nu), \]

where the diagonal contribution \(j_\mu (\mu)\) and the off-diagonal (interference) contribution \(j_\nu (\mu \nu)\) can be written as

\[ j_\mu (\mu) = \langle \mu \vert j_\mu \vert \mu \rangle n_\mu, \]

\[ j_\nu (\mu \nu) = 2 \langle \mu \vert j_\nu \vert \nu \rangle \sum_{i<j} (-1)^{M_\mu+M_\nu} C_i C_j \quad (\mu \neq \nu), \]

and

\[ n_\mu = \sum_i C_i^2 P_\mu, \]

is the occupation probability of the cranked orbital \(\vert \mu \rangle\), \(P_\mu = 1\) if \(\vert \mu \rangle\) is occupied in \(\vert i \rangle\), and \(P_\mu = 0\) otherwise.

3 Numerical details

In this work, the Nilsson parameters \((\kappa, \mu)\) for \(^{113}\)Cs are taken from the traditional values \[58\]. The deformation parameter \(\varepsilon_2 = 0.192\) and \(\varepsilon_4 = -0.027\) are taken from Ref. \[59\]. The valence single-particle space in this work is constructed in the major shells from \(N = 3\) to \(N = 5\) both for protons and neutrons. In principle, the effective pairing strengths can be determined by the odd-even differences in nuclear binding energies, and are connected with the dimension of the truncated CMPC space. The CMPC truncation energies are
about \(0.9\hbar \omega_0\) both for protons and neutrons. For \(^{113}\text{Cs}\), 
\(\hbar \omega_{0p} = 8.406\) MeV for protons and \(\hbar \omega_{0n} = 8.556\) MeV for neutrons [60]. The dimensions of the CMPC space are about 1000 both for protons and neutrons. The corresponding effective monopole pairing strengths used in this work are \(G_p = 0.5\) MeV and \(G_n = 0.7\) MeV. A larger CMPC space with renormalized effective pairing strengths gives essentially the same results. In addition, the stability of the PNC-CSM calculations against the change of the dimension of the CMPC space has been investigated in Refs. [24, 48]. In present calculations, almost all the important CMPC’s (with the corresponding weights larger than 0.1%) are taken into account, so the solutions to the low-lying excited states are accurate enough.

4 Results and discussion

![Cranked Nilsson levels](image)

Figure 1 shows the calculated cranked Nilsson levels near the Fermi surface of \(^{113}\text{Cs}\) for (a) protons and (b) neutrons. The positive (negative) parity levels are denoted by blue (red) lines. The signature \(\alpha = +1/2\) (\(\alpha = -1/2\)) levels are denoted by solid (dotted) lines. The Nilsson parameters \((\kappa \text{ and } \mu)\) are taken from the traditional values [58]. The deformation parameters \(\varepsilon_2 = 0.192\) and \(\varepsilon_4 = -0.027\) are taken from Ref. [59].

The change of the dimension of the CMPC space has been investigated in Refs. [24, 48]. In present calculations, almost all the important CMPC’s (with the corresponding weights larger than 0.1%) are taken into account, so the solutions to the low-lying excited states are accurate enough.

4 Results and discussion

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to investigate the rotational bands recently observed in the proton emitter nucleus $^{113}\text{Cs}$.

![Image of diagrams](image-url)

**Fig. 2.** (Color online) The experimental and calculated kinematic MOI’s $J^{(1)}$ and alignments of band 1 ($\pi 3/2^+ [422], \alpha = -1/2$) and band 2 ($\pi 1/2^+ [420], \alpha = 1/2$) in $^{113}\text{Cs}$. The data are taken from Ref. 13. The alignments $i$ are defined as $i = \langle J_i \rangle = \omega J_0 - \omega^2 J_1$, and the Harris parameters $J_0 = 17.0$ $h^2$MeV$^{-1}$ and $J_1 = 25.8$ $h^2$MeV$^{-3}$ are taken from Ref. 13. The experimental MOI’s and alignments are denoted by solid circles (signature $\alpha = +1/2$) and open circles (signature $\alpha = -1/2$), respectively. The calculated MOI’s and alignments are denoted by black solid lines (signature $\alpha = +1/2$) and black dotted lines (signature $\alpha = -1/2$), respectively. The calculated results with $\pi 1/2^- [550], \alpha = \pm 1/2$ are also shown as red lines.

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Rotational bands and their variation with rotational frequency $\hbar \omega$ are well reproduced by the PNC calculations using the configuration assignments in Ref. 12 except a little larger results than the data after backbending, while the calculated results using the configuration $\pi 1/2^+ [550]$ deviate a lot from the data. Therefore, the present calculations support the configuration assignments of band 1 ($\pi 3/2^+ [422], \alpha = -1/2$) and band 2 ($\pi 1/2^+ [420], \alpha = 1/2$) in Ref. 13. It should be noted that for $^{113}\text{Cs}$ with neutron number $N = Z + 3$, the neutron-proton pairing correlations may play an important role on the properties of rotational alignments in the high-$j$ proton and neutron $h_{11/2}$ subshell. After considering this effect, the calculated results may be improved. Moreover, the sharp backbending at $\hbar \omega \sim 0.35$ MeV in the experimental MOI’s and alignments for band 1 and band 2 are also not very well reproduced by the calculation. This is because in the cranking model, before and after the backbending, the two bands which have quite different quasiparticle alignment from each other are mixed. In order to obtain the backbending effect exactly, one has to go beyond the cranking model and consider the two quasiparticle configurations in the vicinity of the backbending region.

It is well known that the backbending is caused by the alignment of the high-$j$ intruder orbitals [69], which correspond to the proton and neutron $h_{11/2}$ orbitals in $A \sim 110$ mass region. For band 1 ($\pi 3/2^+ [422], \alpha = -1/2$) and band 2 ($\pi 1/2^+ [420], \alpha = 1/2$) in $^{113}\text{Cs}$, the proton $\pi h_{11/2}$ orbitals are not blocked. Therefore, both the proton and the neutron $h_{11/2}$ orbitals may contribute to the alignment after the backbending. One of the advantages of the PNC method is that the total particle number $N = \sum \nu h_{11/2}$ is exactly conserved, whereas the occupation probabilities $n_\mu$ for each orbital vary with rotational frequency $\hbar \omega$. By examining the $\omega$-dependence of the orbitals close to the Fermi surface, one can learn more about how the Nilsson levels evolve with rotation and get some insights on the backbendings. Figure 3 shows the occupation probability $n_\mu$ of each orbital $\mu$ (including both $\alpha = \pm 1/2$) near the Fermi surface for the band 1 ($\pi 3/2^+ [422], \alpha = -1/2$) and band 2 ($\pi 1/2^+ [420], \alpha = 1/2$) in $^{113}\text{Cs}$. The positive and negative parity levels are denoted by blue solid and red dotted lines, respectively. The Nilsson levels far above the Fermi surface ($n_\mu \sim 0$) and far below ($n_\mu \sim 0$) are not shown. It can be seen from Fig. 3(a) that at the rotational frequency $\hbar \omega \sim 0.35$ MeV, occupation probabilities of the orbital $\nu 1/2^- [550]$ increase quickly from 0.8 to about 2.0, while the occupation probabilities of some other orbitals, e.g., $\nu 3/2^- [541]$, $\nu 3/2^+ [411]$ and $\nu 3/2^+ [422]$, slightly decrease. This indicates that one contribution to the backbending in neutrons mainly comes from the $\nu h_{11/2}$ orbitals. Fig. 3(b) shows that the occupation probabil-
ity of the orbital $\pi 1/2^-[550]$ increases quickly from 0.5 to about 2.0, while the occupation probability of $\pi 1/2^+[420]$ decreases from 1.2 to about 0.4. This indicates that for band 1, the contribution to the backbending in protons mainly comes from the $\pi h_{11/2}$ orbitals. It also can be found that the backbending frequencies in protons and neutrons are very close to each other in band 1, which is consistent with the Woods-Saxon CSM calculations in Ref. [15]. The proton occupation probability for band 2 ($\pi 1/2^+[420], \alpha = 1/2$) in Fig. 3(c) is very similar with that of band 1, except a little latter backbending frequency. Note that in both band 1 and band 2, the pseudospin partner orbitals $\pi 3/2^+[411]$ and $\pi 1/2^+[420]$ are mixed before the backbending. Therefore, the rotational properties of these two bands, e.g., MOI’s and alignments, are very similar to each other.

![Figure 3](image1.png)

**Fig. 3.** (Color online) Occupation probability $n_\mu$ of each orbital $\mu$ (including both $\alpha = \pm 1/2$) near the Fermi surface for the band 1 ($\pi 3/2^+[422], \alpha = -1/2$) and band 2 ($\pi 1/2^+[420], \alpha = 1/2$) in $^{113}\text{Cs}$. The positive (negative) parity levels are denoted by blue solid (red dotted) lines. The Nilsson levels far above the Fermi surface ($n_\mu \sim 0$) and far below ($n_\mu \sim 2$) are not shown.

![Figure 4](image2.png)

**Fig. 4.** (Color online) Contributions of each proton and neutron major shell to the angular momentum alignment $\langle J_x \rangle$ for the band 1 ($\pi 3/2^+[422], \alpha = -1/2$) and band 2 ($\pi 1/2^+[420], \alpha = 1/2$) in $^{113}\text{Cs}$. The diagonal $\sum_\mu j_x(\mu)$ and off-diagonal parts $\sum_{\mu < \nu} j_x(\mu \nu)$ in Eq. (7) from the proton $N = 5$ shells are shown by dotted lines.

In Fig. 4, the contributions of each proton and neutron major shell to the angular momentum alignment $\langle J_x \rangle$ for the band 1 ($\pi 3/2^+[422], \alpha = -1/2$) and band 2 ($\pi 1/2^+[420], \alpha = 1/2$) in $^{113}\text{Cs}$ are shown. The diagonal $\sum_\mu j_x(\mu)$ and off-diagonal parts $\sum_{\mu < \nu} j_x(\mu \nu)$ in Eq. (7) from the proton $N = 5$ shells are shown by dotted lines. Note that in this figure, the smoothly increasing part of the alignment represented by the Harris formula
\(\omega J_0 + \omega^3 J_1\) is not subtracted. It can be seen clearly that for both neutrons and protons in band 1 and 2, the angular momentum alignments after the backbending mainly come from the \(N = 5\) major shell. Moreover, for neutrons [Fig. 4(a)], both the diagonal and the off-diagonal parts contribute to the backbending. While for protons in band 1 [Fig. 4(b)], the diagonal part have more contribution than the off-diagonal part, which become much smaller than the diagonal part in band 2 [Fig. 4(c)].

In order to have a more clear understanding of the backbending mechanism, the contributions of each proton and neutron orbital in the \(N = 5\) major shell to the angular momentum alignments \(\langle J_x \rangle\) for the band 1 (\(\pi 3/2^+[422], \alpha = -1/2\)) and band 2 (\(\pi 1/2^+[420], \alpha = 1/2\)) in \(^{113}\)Cs are shown in Fig. 5. The diagonal (off-diagonal) part \(j_x(\mu) [j_x(\mu \nu)]\) in Eq. (7) is denoted by blue solid (red dotted) lines. In Fig. 5(a) one can easily find that for neutrons, the diagonal part \(j_x(\nu 1/2^-[550])\) and the off-diagonal parts \(j_x(\nu 1/2^-[550]\nu 3/2^-[541])\) and \(j_x(\nu 3/2^-[541]\nu 5/2^-[532])\) change a lot after the backbending \((\hbar \omega \sim 0.35 \text{ MeV})\). The alignment gain after the upbending mainly comes from these terms. In Fig. 5(b) for protons in band 1, the contribution from the diagonal part \(j_x(\pi 1/2^-[550])\) is much larger than the off-diagonal parts \(j_x(\pi 1/2^-[550]\pi 3/2^-[541])\) and \(j_x(\pi 3/2^-[541]\pi 5/2^-[532])\). While for the protons in band 2 [Fig. 5(c)], the contribution from the off-diagonal parts are negligible. Therefore, it can be understand that even band 1 and band 2 are pseudospin partners, the rotational properties are a little different due to the interference terms.

5 Summary

The recently observed two high-spin rotational bands in the proton emitter \(^{113}\)Cs are investigated using the cranked shell model with pairing correlations treated by a particle-number conserving method, in which the Pauli blocking effects are taken into account exactly. The effective pairing interaction strengths are determined by the experimental odd-even differences in nuclear binding energies. For each rotational band, the experimental MOI’s and alignments can be reproduced very well by the PNC-CSM calculations, which in turn strongly support the configuration assignments for band 1 (\(\pi 3/2^+[422], \alpha = -1/2\)) and band 2 (\(\pi 1/2^+[420], \alpha = 1/2\)) in \(^{113}\)Cs. The mechanism of the backbending in band 1 and band 2 can be understood clearly.

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