The Comparison of STA-MCA Bypass and BMT for Symptomatic Internal Carotid Artery Occlusion Disease A Systematic Review and Meta-analysis of Long-term Outcome

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Research

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Abstract

**Background:** Superficial temporal artery (STA) - middle cerebral artery (MCA) bypass surgery now being widely used in moyamoya disease, and its therapeutic value in SICAO remains divergent.

**Methods:** A systematic search was performed in PUBMED, EMBASE and Cochrane Databases in Feb.2020, and updated in Jun.2019. We have strict inclusion and exclusion criteria. Cochrane Bias Risk Assessment Tool was used to assess the quality of included RCTs. Review Manager 5.3 was used for analysis results in terms of comparing the STA-MCA bypass and BMT. For dichotomous variable outcomes, Risk Ratio (RRs) and 95% confidence intervals (95%CIs) were calculated for the assessment.

**Results:** The total patient cohort consisted of 2419 patients, of whom 1188(49.1%) patients had been grouped in STA-MCA bypass, 1231(50.9%) patients had divided into BMT group. Mean follow-up of including patients was 29 months. The RRs of the seven studies was 1.01, and the 95% confidence interval was .89-1.15, with statistical significance, Z=.13, P=.89, sustaining that STA-MCA bypass was not superior to BMT in symptomatic carotid artery occlusion disease.

**Conclusion:** STA-MCA bypass and BMT were associated with similar rates of a composite of long-term stroke. And the risk of long-term overall stroke was mildly higher with BMT. At present, each patient should receive more precise treatment, by reasonably assess the individual differences of each patient to reduce the recurrence rate of stroke.

Introduction

Superficial temporal artery (STA) - middle cerebral artery (MCA) bypass surgery now being widely used in Moya Moya disease, and its therapeutic value in symptomatic internal carotid artery occlusion (ICAO) remains divergent.

With a prevalence of less than 10 percent carotid artery stenosis was regarded as a relatively rare disease among patients. Unilateral ICAO is found in approximately 3% of the asymptomatic elderly population, and as the chief culprit of transient ischemic attacks (TIA), it was leading to more than 10% of TIA happened, as well as 15–25% of ischemic strokes. Before bypass surgery, the common and effective treatment was best medical therapy (BMT), as time goes on, strives were aimed at perfecting STA-MCA bypass techniques to revascularize the circulation. In 1977, IEIBS(International Extracranial(EC)-Intracranial(IC) Bypass Study), an International multicenter randomized controlled study, supported by the National Institutes of Health (NIH), proving that STA-MCA bypass can't effectively pull down the recurrence rate of ischemic stroke. Around the same time, the ministry of health of Japan funded a multicenter randomized controlled study JET (Japanese EC-IC Bypass Trial) to test the academic hypothesis that recent symptomatic hemodynamic cerebral ischemia could significantly reduce after STA-MCA Bypass in combination with BMT. Recently published meta-analysis investigating treatment efficacy in patients with internal carotid artery near occlusion manifested that BMT alone is not superior to surgical(CEA or CAS) with respect to 30-day or 1-year stroke or death prevention. And Ogawa hold that STA-MCA arterial bypass is beneficial for patients with symptomatic hemodynamic cerebral ischemia due to occlusive disease.

Aim of the context was to integrate the results of the randomized controlled trial throughout history to determine the optimal surgical strategy of any stroke or death within 2 year for ICAO.

Methods

The study strictly adhering to the PRISMA statement was approved by all collaborating authors of the Carotid artery occlusion treatment group and designed by the core study team.

Search Strategy and Study Eligibility

A systematic search was performed in PUBMED, EMBASE and Cochrane Databases in Feb.2020, and updated in Jun.2019. 'Carotid Artery, Internal' 'occlusion' 'randomized controlled trial' were used to identify all relevant articles by subject word and free word search, which was integrally show in Table 1. Two researchers screening of the literature eligibility independently based on title and abstract and disagreement were resolved by discussion with the senior author.
Table 1

Retrieval strategy for PubMed

| Search | Query |
|--------|-------|
| #8     | Search (#6 and #7) |
| #7     | Search randomized controlled trial [Title/Abstract] OR controlled clinical trial [Title/Abstract] OR randomized [Title/Abstract] OR randomly [Title/Abstract] OR Case-Control Studies [Title/Abstract] OR case control study [Title/Abstract] |
| #6     | Search (#4 and #5) |
| #5     | Search occlusion [Title/Abstract] OR occlusive [Title/Abstract] |
| #4     | Search (#2 or #3) |
| #3     | Search Internal Carotid [Title/Abstract] OR Artery, Internal Carotid [Title/Abstract] OR Carotid Arteries, Internal [Title/Abstract] OR Internal Carotid Arteries [Title/Abstract] OR Internal Carotid Artery [Title/Abstract] |
| #2     | Search "Carotid Artery, Internal"[Mesh] |

Studies were eligible if they reported on: All accepted articles were randomized controlled trials (RCT); Subjects conformed to criteria used to diagnose atherosclerotic internal carotid artery occlusion (AICAO); Intervening measure of all studies must be extracranial-intracranial (EC-IC) bypass while the comparison measure was best medical therapy (BMT); The primary endpoint was all stroke or death from randomization within 2 year or longer; A minimum of ten patients with AICAO due to atherosclerosis; Excluded were study type not explained, the data of outcomes, cannot acquire the full-text, animal studies, reviews, too small a sample size and articles in languages other than English.

Contacting the first author of the original document with sending an email for no full-text included literature. If there was no response, the other authors of the paper were contacted similarly, maximum three attempts by two other authors.

**Study Quality Assessment**

Cochrane Bias Risk Assessment Tool⁹ for randomized controlled trial was used to assess the quality of included studies. Three researchers independently completed the literature quality evaluation according to Cochrane Bias Risk Assessment Tool, which mainly evaluate the risk of bias in six aspects, the select (including random sequence and allocation concealment), implementation of researchers and subjects (including blind), measuring result evaluation method for the blind (study), the follow-up data integrity (end), report (selective reports the results of the study) and other (bias source). Ambiguity is assessed by the senior author, if there was no coherence.

**Data Extraction and Study Outcomes**

After quality assessment and data examination, data was extracted from the original literature and analyses in this meta-analysis were based on randomized controlled trial.

Study, patient and outcome characteristics were collected by two co-authors. Patient characteristics comprised: Patient follow-up time, intervening measure (STA-MCA bypass or BMT) and the number of any stroke or death within 2 year or longer. Study characteristics comprised: year of study publication; number of included patients and study type.

The primary outcome of the present study was any stroke or death within 2 year or longer. All the content of reports has been taken into account in our study.

**Ethical Approval Statement**

All literature study was conducted based on published studies. Therefore, ethical approval or patient consent was available.

**Statistical Analysis**

Software Review Manager 5.3 was used for analysis results in terms of comparing the STA-MCA bypass and BMT. For dichotomous variable outcomes, Risk Ratio (RRs) and 95% confidence intervals (95%CIs) were calculated for the assessment. The data were considered to be heterogeneous when ² > 50%; therefore, a meta-analysis was conducted by a random effects model according to the Cochrane Handbook for Systematic Reviews of Interventions (version 5.1.0). Otherwise, the fixed effect model was performed.

**Results**

**Study Eligibility**

The search yielded 1030 articles through database searching, of which 7 studies⁹⁵,⁷,¹⁰–¹³(Table 2) were included for quantitative synthesis (meta-analysis). In the process of literature selection, 156 of records excluded with reasons of literature reviews, systematic reviews, reviews, animal
experiments, etc. And 504 with reasons of literature with inconsistent study content or inconsistent intervention/control measures by reading the abstract. The process of selecting literature and the data extraction form was showed respectively in Fig. 1 and Table 3.

Table 2
Overview of included studies

| Author           | Year | Study type | Mean follow-up (months) | Patients (n) | Interventions (n) | STA-MCA bypass* | BMT* | Any stroke or death within 2yr |
|------------------|------|------------|-------------------------|--------------|-------------------|------------------|------|------------------------------|
| Tanahashi et al. | 1985 | RCT        | 29                      | 60           | 38                | 22               | 42   |
| IEIBS            | 1985 | RCT        | 55.8                    | 1377         | 663               | 714              | 413  |
| Powers et al.    | 2011 | RCT        | 24                      | 195          | 97                | 98               | 40   |
| Ogawa et al.     | 2012 | RCT        | 24                      | 206          | 103               | 103              | 24   |
| Grubb et al.     | 2013 | RCT        | 20 ± 3                  | 190          | 93                | 98               | 6    |
| Ma et al.        | 2016 | RCT        | 24                      | 195          | 97                | 98               | 42   |
| Nahab et al.     | 2019 | RCT        | 24                      | 195          | 97                | 98               | 42   |

STA-MCA bypass: superficial temporal artery-middle cerebral artery bypass; BMT: best medical therapy; RCT: randomized controlled trial. NA: not available.

IEIBS: An international multicenter randomized controlled study, IEIBS, funded by the U.S. national institutes of health.

Table 3
Baseline Characteristics of the include study.

| Author           | Year | Age, mean (SD) | Bypass patency rates | Male, No. (%) | Diabetes mellitus, No. (%) | Previous stroke, No. (%) | Hypertension (%) |
|------------------|------|----------------|----------------------|---------------|----------------------------|--------------------------|-----------------|
| Tanahashi et al. | 1985 | 53.7(9)        | 98%                  | 31            | 14                         | STA-MCA group (26); BMT group (15) |
| IEIBS            | 1985 | 56             | 96%                  | 537(81)       | 585(82)                    | 113(17); 129(18)         | 517(78); 557(78) |
| Powers et al.    | 2011 | 58 (9)         | 95%                  | 69 (71)       | 61 (62)                    | 21 (22); 23 (23)         | 44 (45); 35 (36)  |
| Ogawa et al.     | 2012 | 63(6)          | 98%                  | 58(56)        | 64(62)                     | 74(71.8); 68(66)         | 59(57); 65(63)   |
| Grubb et al.     | 2013 | 61.1 (7.6)     | 97%                  | 66 (71)       | 59 (60)                    | 20 (22); 28 (29)         | 43(46); 52(53)   |
| Ma et al.        | 2016 | 63             | NA                   | 48(49.4)      | 52(53)                     | NA                       | NA              |
| Nahab et al.     | 2019 | 58.9(7.6)      | 97%                  | 31 (62)       | 35 (70)                    | 10 (20); 14(28)          | 33 (69); 27 (54) |

Quality Assessment

The literature quality evaluation was conducted separately by three reviewers in terms of Cochrane Bias Risk Assessment Tool for randomized controlled trial. Detailed ratings could be available in Fig. 2 and Fig. 3.

Study Population

The total patient cohort consisted of 2419 patients, of whom 1188(49.1%) patients had been grouped in STA-MCA bypass, 1231(50.9%) patients had divided into BMT group. Mean follow-up of including patients was 29 months. Incidence rate of any stroke or death within 2 year or longer respectively was 70%, 20.5%, 11.6%, 3.2%, 21.5%, 30% and 21.5%. In general condition, although many elements could influence the results, no significant discrepancy was found in this character of the two groups as showed in Table 4.
Table 4
Data extraction of including literature.

| Author        | Year | STA-MCA Bypass (NO.) | BMT(NO.) |
|---------------|------|----------------------|----------|
|               |      | Events (%)           | Total    | Events (%) | Total    |
| Tanahashi et al. | 1985 | 26(68.4)             | 38       | 16(73.0)   | 22       |
| IEIBS         | 1985 | 206                  | 663      | 207        | 714      |
| Powers et al. | 2011 | 20(20.6)             | 97       | 20(20.4)   | 98       |
| Ogawa et al.  | 2012 | 7(6.8)               | 103      | 17(16.5)   | 103      |
| Grubb et al.  | 2013 | 20(21.5)             | 93       | 22(22.4)   | 98       |
| Ma et al.     | 2016 | 20(21)               | 97       | 22(22.7)   | 98       |
| Nahab et al.  | 2019 | 22(22.7)             | 97       | 20(20.4)   | 98       |

Any Stroke or Death Within 2 year or longer.

Seven articles contained the number of patients with postoperative stroke or death, there were 321 and 324 patients respectively come out endpoint in STA-MCA bypass and BMT group, of which the long-term any stroke or death rate severally is 27.0% and 26.3%. According to $I^2 = 0\% (< 50\%)$ of the heterogeneity test, and $P = .49 (> 0.1)$ of the $Q$ test, demonstrating that the heterogeneity among the selected literatures has no statistically significant, and the fixed effect was selected for meta-analysis. The RRs of the seven studies was 1.01, and the 95% confidence interval was .89-1.15, with statistical significance, $Z = .85$, $P = .40 (> 0.05)$, sustaining that STA-MCA bypass was not superior to BMT in symptomatic carotid artery occlusion disease (Fig. 4). The funnel plot was used to investigate whether there was publication bias in this study, and the symmetry of the funnel plot meant that there was no publication bias (Fig. 5).

Literature of Recent 10 Years

Five studies were totally included in this subgroup for analysis (Fig. 6). The meta-analysis revealed that there was no heterogeneity between STA-MCA bypass and BMT groups ($I^2 = 4\%, P = .38$). The fixed effect model was adopted to analysis and test for overall effect $Z = .83$ ($P = .41$) pointing out that STA-MCA bypass was not superior to BMT in symptomatic ICAO. All other statistical indicators were significant ($RR = .90, 95\%CI (.70-1.16)$).

Literature of Impact Factor (IF) > 5

Three studies were selected into this subgroup (Fig. 6). The meta-analysis indicated that no heterogeneity come under observation between STA-MCA bypass and BMT groups ($I^2 = 0\%, P = .77$). The fixed effect model was adopted to analysis and test for overall effect $Z = .73$ ($P = .47$) manifesting that there was no significant difference between STA-MCA bypass and BMT in symptomatic ICAO. All other statistical indicators were significant ($RR = 1.06$, $95\%CI (.91-1.22)$).

Literature of impact factor (IF) < 5

Four studies were contained in this subgroup (Fig. 6). Indicating that heterogeneity existing between STA-MCA bypass and BMT groups ($I^2 = 26\%, P = .26$), the fixed effect model was adopted to analysis and test for overall effect ($Z = .95$ ($P = .34$)) manifesting that there was no significant difference between STA-MCA bypass and BMT in symptomatic ICAO. All other statistical indicators were significant ($RR = .87$, $95\%CI (.65-1.16)$).

Bias Test

Publication bias in this study assessed with funnel plots investigating that the symmetry of the funnel plot meant that no evident publication bias (Fig. 5) was covered.

Discussion

Our analysis, which included data from 7 RCTs and 2419 patients, demonstrated that the aggregate efficacy outcome of stroke during the non-periprocedural stroke did not differ significantly between STA-MCA bypass and BMT groups. ICAO stroke, the ravages of atherosclerosis, accounts for 15% of all strokes, and the rate of ipsilateral stroke is 2.1% ~ 3.8% per year due to non-selective carotid artery occlusion in the United States. The mechanisms include downstream embolus production and residual embolism, among which cortical artery compensation after carotid artery occlusion may determine the recurrence of stroke. Clinical symptoms of this kind of stroke are regularly associated with intracranial emboli in the distal carotid or middle cerebral arteries.
Handling the extracranial section of the internal carotid artery occlusion (ICAO) by surgical way for extracranial intracranial vascular bypass, striding the lesion area and improving distal vascular blood flow to reduce the risk of stroke and enhance local brain nerve function. In 1967, Yasargil performed the first procedure for a patient with middle cerebral artery (MCA) occlusion of Marfan's syndrome. In 1985, Sundt et al.\textsuperscript{15} retrospectively analyzed 415 cases of ischemic cerebrovascular patients undergoing STA-MCA bypass surgery in 8 years, and showing the patency rate reached 99%, which were confirmed by digital subtraction angiography and transcranial doppler. This series of retrospective studies strongly demonstrated the safety and efficacy of this procedure. This research continues to languish. However, considering that for a specific patient, Schmiedek P et al.\textsuperscript{17} thought ICAO does not always result in cerebral hemodynamic disorder due to the existence of compensatory mechanisms such as collateral circulation. It has become a key issue in relevant studies to evaluate the hemodynamic status of the patient and as one of the indications for intervention. In order to certificate above opinion, the team of Grubb et al.\textsuperscript{18} conducted a prospective blind longitudinal cohort study, indicating that the incidence rate of all stroke in patients with oxygen extraction fraction (OEF) elevation was higher than that in patients with normal OEF, and the relative risk of all stroke and ipsilateral stroke caused by OEF elevation was 6.0 and 7.3 respectively meaning that symptomatic ICAO of the extracranial segment is associated with a higher risk of subsequent ischemic stroke, particularly in patients with elevated OEF. For high-risk patients, extracranial-intracranial (EC-IC) bypass surgery could theoretically benefit from vascular bypass technology, since it reduces the percentage of OEF to normal levels.

When designing the Carotid Occlusion Surgery Study (COSS) study scheme, 40% of the incidence of stroke in the drug group was set according to previous studies, and the improvement of drug treatment resulted in a significant reduction of the incidence of stroke, resulting in the deviation of the original study scheme. COSS funded by the National Institutes of health (NIH), showing 40% of the incidence of stroke in the drug group was set according to previous studies. However, the improvement of drug treatment resulted in a significant reduction of the incidence of stroke, which led to the deviation of the original study scheme and the failure of COSS study. And this is the reason why we did not include this study in our discussion. Therefore, we conducted a subgroup analysis according to literature impact factor. In terms of relatively high-quality literature (IF > 5), there was no heterogeneity between STA-MCA bypass and BMT groups ($I^2 = 28\%$, $P = .24$). The random effect model was adopted to analysis and test for overall effect $Z = .29$ ($P = .77$) manifesting that there was no significant difference between STA-MCA bypass and BMT in symptomatic ICAO. As for relatively poor-quality literature (IF < 5), heterogeneity existing between STA-MCA bypass and BMT groups ($I^2 = 50\%$, $P = .13$), the random effect model was adopted to analysis and test for overall effect ($Z = .72$ ($P = .47$)) proving the same conclusion. In the aspect of the design of the test scheme of COSS, the patients with the highest potential risk of ischemia fail to be screened out due to the inclusion time of patients\textsuperscript{19,20} and the inclusion criteria of PET examination\textsuperscript{21}.

Some surgeons with 2-day training or less than 10 bypass surgeries were also admitted to the COSS, which may lead to an abnormal increase in the incidence of perioperative adverse events. To reduce the impact of surgical techniques on recurrent stroke and the effects of anesthesia, perioperative intensive care and nursing strategies in our meta-analysis, we also carried out subgroup analysis with literature from the last decade. No obvious heterogeneity between the two groups ($I^2 = 28\%$, $P = .24$). Overall effect ($Z = .61$ ($P = .54$)) also pointed out that STA-MCA bypass was not superior to BMT in symptomatic ICAO.

Chronic hypoperfusion may generate plenty of adverse effects such as brain softening, decreased number of neurons, reduced brain volume, language impairment, and decreased cognitive function.\textsuperscript{22,23} Great part of previous clinical trials had focused only on severe stroke as the endpoint event, but had failed to give equal weight to the life outcomes of long-term hypoperfusion or recurrent ischemic events. As to whether such patients can benefit from bypass surgery, subsequent studies should not only devote to the recurrence rate of stroke in short and long term, but also take other factors closely related to patients' quality of life, such as cognitive function, as important indicators.

To sum up, our results are subject to the limitations inherent to meta-analyses involving the pooling of data from different trials with different study protocols, definitions of clinical outcomes, and baseline characteristics of patients. New multicenter randomized controlled studies will be conducted in evaluation of patients' cerebral hemodynamic status, establishing accurate indicators of illness and efficacy as the endpoint and perfecting detailed inclusion criteria to improve the study protocol. Our meta-analysis has several advantageous features, including a greater number of patients and restriction to only large RCTs that are less likely to be subject to publication bias.

**Conclusion**

STA-MCA bypass and BMT were associated with similar rates of a composite of long-term stroke. The risk of long-term overall stroke was mildly higher with BMT. At present, each patient should receive more precise treatment, by reasonably assess the individual differences of each patient to reduce the recurrence rate of stroke.

**Abbreviations**

- STA-MCA bypass: Superficial temporal artery - middle cerebral artery bypass
- ICAO: internal carotid artery occlusion
- TIA: transient ischemic attacks
- BMT: best medical therapy
IEIBS: International Extracranial (EC)-Intracranial (IC) Bypass Study

NIH: National Institutes of Health

COSS: Carotid Occlusion Surgery Study

OEF: oxygen extraction fraction

Declarations

Ethics approval and consent to participate

Not applicable

Consent for publication

Not applicable

Availability of data and materials

The datasets used and/or analysed during the current study are available from the corresponding author on reasonable request.

Competing interests

Authors' contributions

SC analyzed and interpreted the data regarding the meta-analysis and was a major contributor in writing the manuscript. Other authors collected the data, and consulted literatures. All authors read and approved the final manuscript.

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