Evaluation of Genotypes and Epidemiology of Spinal Muscular Atrophy in Greece: A Nationwide Study Spanning 24 Years

Kyriaki Kekou, Maria Svingou, Christalena Sofocleous, Niki Mourtzi, Evangelia Nitsa, George Konstantinidis, Sotiris Youroukos, Konstantinos Skiadas, Marina Katsalouli, Roser Pons, Antigoni Papavasiliou, Charalabos Kotsalis, Evangelos Pavlou, Athanasiou Evangeliov, Efthathia Katsarou, Konstantinos Voudris, Argiros Dinopoulos, Pelagia Vorgia, George Niotakis, Nikolaos Diamantopoulos, Iliada Nakou, Vasiliki Koutel, George Vartzelis, George-Konstantinos Papadimas, Constantinos Papadopoulou, Georgios Tsivgoulis and Joanne Traeger-Synodinos

Laboratory of Medical Genetics, Medical School, National and Kapodistrian University of Athens, “Aghia Sophia” Children’s Hospital, Athens
Research Institute for the Study of Genetic and Malignant Disorders in Childhood, “Aghia Sophia” Children’s Hospital, Athens
First Department of Paediatrics, Medical School, National and Kapodistrian University of Athens, “Aghia Sophia” Children’s Hospital, Athens
Postgraduate Program in Biostatistics School Of Medicine, National and Kapodistrian University of Athens, Athens
Laboratory of Medical School, National and Kapodistrian University of Athens, “Aghia Sophia” Children’s Hospital, Athens
Department of Neurology, “Aghia Sophia” Children’s Hospital, Athens
Department of Pediatric Neurology, Penteli Children’s Hospital, Athens
2nd Department of Pediatrics, School of Medicine, Aristotle University of Thessaloniki, University General Hospital AHEPA, Thessaloniki
Department of Pediatrics, School of Medicine, Aristotle University of Thessaloniki, General Hospital Papageoriou, Thessaloniki
Department of Neurology, ‘P & A Kyriakou’ Children’s Hospital, Athens
Third Department of Pediatrics, National & Kapodistrian University of Athens, “Attikon” University Hospital, Athens
Pediatric Department, University Hospital of Heraklion, Crete
Pediatric Neurology Clinics, Venizeleion General Hospital, Heraklion, Crete
Department of Pediatric Neurology, Karamandianion Children’s Hospital, Patras
Department of Pediatrics, University of Ioannina, Stavros Niarchos Avenue, Ioannina
Pediatric Department, University Hospital of Larissa, University of Thessaly, Larissa

Correspondence to: Kyriaki Kekou, Dr., Laboratory of Medical Genetics, Medical School, National and Kapodistrian University of Athens, “Aghia Sophia” Children’s Hospital, Thivon & Levadeias, Goudi, 11527 Athens. Tel.: +30 2132013469; E-mail: kkekou@med.uoa.gr.
Abstract.

Background: Promising genetic treatments targeting the molecular defect of severe early-onset genetic conditions are expected to dramatically improve patients’ quality of life and disease epidemiology. Spinal Muscular Atrophy (SMA), is one of these conditions and approved therapeutic approaches have recently become available to patients.

Objective: Analysis of genetic and clinical data from SMA patients referred to the single public-sector provider of genetic services for the disease throughout Greece followed by a retrospective assessment in the context of epidemiology and genotype-phenotype associations.

Methods: Molecular genetic analysis and retrospective evaluation of findings for 361 patients tested positive for SMA- and 862 apparently healthy subjects from the general population. Spearman rank test and generalized linear models were applied to evaluate secondary modifying factors with respect to their impact on clinical severity and age of onset.

Results: Causative variations- including 5 novel variants- were detected indicating a minimal incidence of about 1/12,000, and a prevalence of at least 1.5/100,000. For prognosis a minimal model pertaining disease onset before 18 months was proposed to include copy numbers of \( \text{NAIP} \) (OR = 9.9; 95% CI, 4.7 to 21) and \( \text{SMN2} \) (OR = 6.2; 95% CI, 2.5–15.2) genes as well as gender (OR = 2.2; 95% CI, 1.04 to 4.6).

Conclusions: This long-term survey shares valuable information on the current status and practices for SMA diagnosis on a population basis and provides an important reference point for the future assessment of strategic advances towards disease prevention and health care planning.

Keywords: Spinal muscular atrophy, incidence, prevalence, gender, epidemiology, mutation, neuromuscular disease

Introduction

Spinal Muscular Atrophy (SMA) is considered the third most common severe autosomal recessive disease in Greece, following Haemoglobinopathies and Cystic Fibrosis [1]. The estimated incidence of SMA among Caucasians ranges between 1:6,000 –1:11,000 live births, while carrier frequency is estimated to range between 1/38 and 1/50 [2–4]. The most distinct disease characteristic is the progressive wasting of lower motor neurons situated in the anterior horn of the spinal cord resulting mainly in muscle weakness and atrophy [5, 6]. Given the extreme clinical spectrum of the disease (from floppy infant to mild adulthood weakness) five main categories (SMA 0, I, II, III, IV) have been generally adapted so far [7].

The gene involved in all forms of SMA is the Survival of Motor Neuron 1 (\( \text{SMN1} \)) gene located within an inverted repeat region on chromosomal locus 5q13.2, containing the highly homologous \( \text{SMN2} \) gene and other genes such as \( \text{NAIP} \), \( \text{GTF2H2} \) and \( \text{H4F5} \) [4, 8]. The SMN protein appears to have a multifunctional role. It has the ability to bind with small nuclear ribonucleoproteins (snRNPs) and drive the biogenesis of small nuclear RNAs, particularly in discreet foci nuclear structures called “gems” [9]. The \( \text{SMN1} \) gene paralog, \( \text{SMN2} \), contains a \( C>T \) transition in exon 7 (c.840C>T), which alters the splicing pattern of \( \text{SMN2} \) mRNA, thus severely reducing the levels of full-length SMN protein produced [10].

In about 95% of SMA patients the disease is caused by a typical homozygous deletion of exon 7, or exons 7 and 8, of the \( \text{SMN1} \) gene [8]. In a minority of patients, the disease is caused by compound heterozygosity of a typical \( \text{SMN1} \) deletion and a small gene lesion within the \( \text{SMN1} \) gene. The extreme clinical heterogeneity noticed is attributed to disease modifiers, several of which are located within and near to the \( \text{SMN} \) gene locus [11]. The most prominent involves the number of \( \text{SMN2} \) copies, whereby multiple \( \text{SMN2} \) genes contribute to the synthesis of more (supplementary) functional protein, ameliorating the clinical outcome [12–14]. However, as this is not universal, the implication of additional disease-modifying factors and variants within other syntenic genes, such as \( \text{NAIP} \), \( \text{GTF2H2} \), and \( \text{H4F5} \) has been suggested [4, 11]. \( \text{SMN2} \) gene variants, such as c.859G>C (p.Gly287Arg), or newly occur-
ring gene conversion events have also been proposed to contribute to the overall clinical outcome, but data are currently limited [15, 16].

This study reports on the molecular genetics of a nationwide cohort of patients diagnosed with Spinal Muscular Atrophy over a period of 24 years. It provides insights into genotype-phenotype correlations in SMA patients, the disease epidemiology and the efficacy of the networks between tertiary health centers in Greece. This serves as a basis for evaluating the natural history of the disease and provides a key resource in an era when the implementation of genetic therapeutics and expansion of carrier screening for SMA in the general population are being introduced.

Materials and Methods

Subjects

This study focuses on the molecular genetic analysis of the SMN1 gene locus of healthy and affected subjects along with a retrospective evaluation of genetic findings, phenotypes and disease frequency.

Genotyping was performed in the Laboratory of Medical Genetics (National and Kapodistrian University of Athens) spanning a period of 24 years (September 1995 to December 2018). The phenotype-genotype data reported are restricted to the 361 individual patients who tested positive for SMA. In order to establish the carrier rate of SMA in Greece, 862 individuals from the general population were also evaluated, anonymously. Informed consent for genetic analysis was provided by all individuals tested (or their legal guardians) to the laboratory or the referring clinicians. In the context of the retrospective evaluation of patients’ records, data were mined for statistical purposes and/or phenotype-phenotype correlations. The data were de-identified and stored in an electronic file using numeric codes for each individual (approved by “Aghia Sophia” Children’s Hospital Scientific and Ethics Committee) to facilitate retrospective data analysis, for which according to Greek legislation and Recital 50 of the new EU General Data Protection Regulation (Directive 95/46/EC) no additional consent is required.

All patients, even those born in private maternity hospitals, were eventually referred to public tertiary care centers in various cities throughout Greece (Athens, Thessaloniki, Larissa, Ioannina, Herakleion, Patras) (Fig. 1). As indicated from the medical records, patients from remote areas (including the Ionian and Aegean islands) were referred from their local hospitals or private pediatricians to the 23 major and highly specialized hospital centers in Greece, rather than to a genetic center directly.

For every case (even for those before 1995), the referring clinicians sent a blood specimen and clinical information, the latter enabling classification into one of the 5 subtypes of SMA. Both the clinical information and the genetic results were stored in the laboratory medical records for each patient and updated if with any new clinical and/or laboratory information, including DNA retesting to evaluate SMN2 copy number estimation. The disease classification was based on the age of onset, the motor milestones achieved and the maximal motor function [7]. The age of onset was the age at which one of the disease symptoms was reported by the clinician and in some cases by the patients/families themselves (type III and IV).

Methods

Genomic DNA was extracted from peripheral blood lymphocytes, amniotic cells or chorionic villi, following standard procedures (Qiagen Bio-robot M48, QIAamp DNA Blood mini Kit, QIAGEN, Cat No 51104).

The previously described method of Restriction Fragment Length Polymorphism analysis (RFLPs) was applied to investigate the presence of a homozygous exon 7 or/and exon 8 deletion in SMN1 [17].

The number of exon copies deriving from both the SMN1 and SMN2 genes, was evaluated using Multiplex Ligation-dependent Probe Amplification (MLPA) analysis, as per the manufacturer’s instructions (SALSA MLPA probe-mix P021-B1, MRC Holland). GeneMarker® V1.15 software was used for the assessment of MLPA findings, allowing both the calculation of SMN1/SMN2/NAIP copy numbers as well as an evaluation of the deletion size. For the investigation and characterization of either single exonic deletions or gene conversion events, a previously described modified protocol was performed [15].

In patients identified with heterozygous deletions, SMN1 analysis was extended to direct sequencing of exonic and flanking sequences, analyzed on ABI Prism 3500 Genetic Analyzer (Applied Biosystem, USA). The primers used were specifically designed to amplify the SMN1 coding region (Ref seq. NG_008691.1) and sequences are available upon request. The possible impact of c.859G>C variant as
a modifying factor, either isolated, or in association to the SMN2 copies, was also evaluated [16].

Variant nomenclature followed the HUGO Gene Nomenclature Committee standard guidelines [18] and the reference sequence used was NM_000344.3 (ENST00000380707). “In silico” evaluation of nucleotide substitutions was performed using several prediction tools, including Mutation Taster, Polyphen, Provean, Human Splicing Finder and SIFT. The distinction of variants as SMN1 or SMN2 was not feasible; however, all variants were defined as bi-allelic (trans) versus mono-allelic (cis) through family segregation analysis.

For the retrospective analysis all patient records were reviewed to allow correlation of data including the deletion extent, the number of SMN2 and NAIP copies, the presence of additional variants and the clinical presentation of symptoms. For epidemiological evaluation, SMA incidence was based on the number of SMA patients born each year per recorded number of live births for the same period (1995-2018). The estimation of the prevalence of SMA in Greece per 100,000 persons of the general population (www.statistics.gr), is based on the number of patients who were confirmed as still alive during the biennium 2017-2018. This was facilitated since all patients contacted the laboratory for further information or/and an updated DNA report, a mandatory prerequisite from the health system for drug eligibility”.

**Statistical analyses**

All statistical analyses were conducted using the STATA version 15.0 software (Stata Corporation, College Station, TX, USA) in 213 patients for whom full data was available. Descriptive statistics were calculated for all measures as mean, unless stated otherwise. The Spearman rank test was performed to test the correlation of individual SMN2 and NAIP genes to SMA type. Generalized linear model and Collett selection was used to explain the joint effect of SMN2-NAIP-gender- deletion scale with disease age of onset. The age of onset was modeled as a categorical variable (>540 days and ≤540 days) as the most severe types (SMA0, SMAI and SMAII) manifest up to 18 months. For inclusion into the statistical model,
**SMN2** and **NAIP**-gene copy numbers were grouped as follows: ≥3 copies vs ≤2 copies, ≥2 copies vs 0-1 copies. With respect to the variables of gender and size of deletion in the **SMN1** locus, the female sex and **SMN1** small deletion size were used respectively as the reference category.

**Results**

Over a period of 24 years (1995-2018), the molecular basis of SMA was confirmed in 361 individual patients (342 unrelated and 19 siblings) (Fig. 2). A mean number of about 12 new cases per year was estimated (Fig. 3). It should be noted that following the description of the newly discovered **SMN1** gene in 1995, increased demand for genetic testing (from families or clinicians) resulted in an overrepresentation of patients and data from this year (1995, 80 patients) was excluded from the calculation of the annual number for new cases (Fig. 3)."

The majority of patients in this study, were classified as SMA type I (144/361 or 40%) followed by 108 with SMA III (29.6%), 93 with SMAII (25.8%), 11 with SMA0 (2.5%) and 5 with SMAIV (1.4%) (Fig. 2).

For assessing the incidence, the number of live SMA births was recorded based on the patients’ Dates of Births (DOB) as reported in their case histories. A total of at least 200 positive SMA cases were born among 2,437,348 live births in Greece (www.statistics.gr) between 1995 to 2018 allowing an estimation of a minimum incidence at 1 per 12,000 live-births (~8.2 per 100,000 livebirths).

From the medical records and natural history data, the age of onset ranged between 0 to 50 years. For SMA I patients in specific, the mean age of onset was 3 months (data from 121 patients) and the mean lifespan 8.7 months (data from 54 patients). During this 24-year period 221 prenatal tests were performed and 35 affected singleton fetuses were identified.

Following the passing of legislation approving the treatment of patients with Spinraza, a substantial number of surviving patients was recorded based on the number of requests for retesting to evaluate the **SMN2** copy numbers. Combined with a review of the medical records available in the Laboratory of Medical Genetics, at least 161 SMA patients (aged between 2–72 years and of types II–IV) are currently living. Considering this number, along with the most recent Greek census of May 2011 (10 816 286 habitants/www.statistics.gr/en/2011-census-pop-hous), a minimum prevalence of SMA in Greece can be estimated at about 1.5/100,000.

In most of the cases (343/361 or 95%) a homozygous deletion of both **SMN1** exons 7 and 8 was detected. A gene conversion event resulting in a homozygous deletion of exon 7 was ascertained in 10 patients (2.8%). One patient was identified with a homozygous deletion restricted to **SMN1** exon 7 (~0.3%) and the remaining seven patients (3 sporadic and 2 pairs of siblings) (2%) were compound heterozygotes for the common deletion of both **SMN1** exons 7 and 8 in trans to a small intragenic alteration.
The latter included frameshift variants, a missense substitution and a splice site variation, all confined to exons 5–7 in the SMN1 gene (Table 1).

The SMN2 copy number was determined in 215/361 patients of all clinical categories, including 6 patients with SMA0, 58 with SMAI, 65 with SMAII, 82 with SMAIII and 4 with SMAIV (Fig. 4).

Nine out of these 215 patients also carried the SMN2: c.859G>C substitution, previously characterized as a protective variant. Of note, this variant was not detected in any SMA0 or SMAI patients.

The distribution of SMN1/SMN2 copies was evaluated in both the general and the patient population. In SMA patients with the severe SMA subtypes (SMA0 or SMAI) most carried 1 or 2 SMN2 copies, whereas patients with intermediate or milder SMA subtypes frequently had ≥3 SMN2 copies (Fig. 4). In patients homozygous for the SMN1 exon 7 and 8 deletion,
the number of \textit{SMN2} copies was strongly correlated with SMA severity type \((p < 0.001)\). More specifically, the Spearman rank test demonstrated a positive correlation concerning \textit{SMN2} \((r = 0.79)\), as patients with the severe SMA0, SMAI types \((100\%)\) had \(\leq 2\) \textit{SMN2} copies, the intermediate SMAII \((85.25\%)\) had, in main, \(3\) \textit{SMN2} copies and the majority of patients with mild SMA forms of \(\text{(SMAIII, SMAIV)}\) were observed to carry \(\geq 3\) copies. However, 5 out of 209 patients \((\sim 2.4\%)\) showed discordance with the “\textit{SMN2} gene-copy rule”. These patients were classified as SMAII or SMAIII, despite a homozygous deletion on \textit{SMN1} gene, 2 \textit{SMN2} copies and absence of the ameliorating c.859G>C variant. It is interesting that, according to his medical record one 48-year-old SMAII male patient with 3 \textit{SMN2} copies, had a condition which dramatically worsened following a polio vaccination at the age of 7 months. Similarly, a strong correlation was also observed between the number of \textit{NAIP} copies and SMA severity, with severely affected patients bearing fewer \textit{NAIP} copies \((0–1)\) in comparison to those with mild SMA types \((r = 0.58, p < 0.001)\).

The best minimal model concerning early onset \((<540\) days) includes a combination of both \textit{NAIP} and \textit{SMN2} copies and the individuals’ gender (Table 2). Specifically, the odds ratio for early onset \((<540\) days) pertaining \textit{SMN2} copy number was 6.2 \((95\%\text{CI}, 2.5\) to 15.2\) adjusted for \textit{NAIP} copy number and gender. Patients with 0–1 \textit{NAIP} copy present 9.9 higher odds for early onset \((95\%\text{CI}, 4.7\) to 21\). Finally, males showed 2.2 \((95\%\text{CI}, 1.04\) to 4.6\) higher odds. In search for the optimal model we showed an interaction between deletion size and \textit{NAIP} copies with the greater effect in the presence of small deletions \((\text{odds ratio } 21.6; 95\%\text{CI}, 6.4\) to 72.3\) adjusted for \textit{SMN2} copy number and gender. In contrast a smaller effect was observed in cases with large deletions \((\text{odds ratio } 2.4; 95\%\text{CI}, 0.02\) to 46.2\) adjusted for \textit{NAIP} copy number and gender.

In this study, cases with an interesting intrafamilial genetic or clinical heterogeneity were also observed. Specifically, in 7 families with more than one affected sibling, different SMA subtypes were recognized between siblings. In one family, a single exon 8 deletion detected in a mother with SMA IV was observed to be expanded to include both exons 7 and 8 following transmission to her male child who presented with SMAIII.

### Discussion

Although, there are a number of epidemiological studies in various populations worldwide which present data on SMA, only a few focus on findings ascertained from nationwide molecular analysis of the broader 5q chromosomal region \([4, 19]\). This long-term survey was carried out by the Laboratory of Medical Genetics the only provider of genetic services for SMA in the Greek public sector. The 5q13.2 genetic profile was assessed by MLPA analysis which in contrast to other methodologies- like HRMA or Real Time PCR- allows for the evaluation of all \textit{SMN1/SMN2/NAIP} copy numbers and the characterization of the \textit{SMN1} deletion, either as restricted to exons 7 and 8 or extended to the entire gene. In terms of repeatability, patients with duplicate MLPA tests showed no discrepancies between the numbers of \textit{SMN2} copies disclosed on each sample. A sole exception was the patients with more than four or five copies where the exact number of copies could not be defined.

Consistent to previous studies, low \textit{SMN2} copies were strongly correlated with higher severity in patients with homozygous \textit{SMN1} deletions \((r = 0.78)\) \([7, 12, 14, 19]\). The secondary influence of low \textit{NAIP} copies on the severity is also discussed in several studies; however data on the relative impact on the age of onset is not so well established\] \([20, 21]\). With our study a statistically significant effect of \textit{NAIP} gene copies was demonstrated when 0–1 copies were considered low, adjusted for \textit{SMN2} copies and gender.

The prognostic value of subtle mutations is also of crucial importance to support the prediction of disease progression, especially in pre-symptomatic patients. The novel \textit{SMN1} variations identified in this study were absent in the gnomAD database, and provide further evidence on the importance of each specific protein region (Table 1). The \textit{SMN1}:
c.767A>T, (p. Asp256Val) variant, located within a highly conserved region of the SMN1 gene, was detected in an SMA type III patient (Table 1). The substituted Aspartic acid residue is located within the Tyrosine/Glycine rich region coded by exon 6, already known to be prone to small variations [22]. According to previous studies this conserved region affects the self-oligomerization and stabilization capacity of the SMN protein [23]. “In silico” prediction tools indicate a possible damaging effect for this variant. For segregation analysis only the maternal sample was available, since the patient’s father was deceased. The apparently healthy mother was shown to carry the deletion but not the p.Asp256Val variant, supporting the trans inheritance of the variants (compound heterozygote) in the patient who, at the age of 8yrs, is still ambulant and presents with a mild phenotype. The patient carries only 2 SMN2 copies indicating that his SMA type III phenotype is likely attributed to the mild impact of the p. Asp256Val missense variant. On the other hand, frameshift mutations identified in this study appear to be linked to more severe phenotypes even in the presence of 3 SMN2 copies (see patients S- 3a and b).

In view of timely intervention, a recent Newborn Screening Multidisciplinary Working Group suggested a genotype-based therapeutic algorithm [24]. It proposes the immediate treatment of presymptomatic patients upon identification of up to 3 copies of SMN2 gene even if others claim that various SMA types present with overlapping copy numbers (especially 2 and 3 copies) [19, 21] From our cohort intermediate to more severe phenotypes (onset before 18 months) were observed in patients with low copies of both SMN2 and NAIP genes and without a protective subtle variant. In addition, the number of NAIP copies was more significant in male patients bearing small deletions. Both observations indicate that an extended algorithm integrating all parameters (SMN2/NAIP copies, variants gender) might serve better as a prognostic indicator, if confirmed in larger cohort studies.

Further phenotypic variation is evident amongst the milder cases of SMA types (II, III, IV) indicating the presence of additional trans genetic modifiers, or other epigenetic or external factors influencing disease progression [11]. Intra-familial genomic rearrangements should also be taken into consideration especially when handling prenatal tests where novel findings resulting from the innate instability of the region may complicate the final diagnosis [15].

According to the literature, the incidence of SMA concerning pan-ethnic population studies is estimated at 1:11,000 births [2]. Recent high-throughput genetic newborn screenings in Germany (213,279 samples) and Taiwan (120.267 samples) revealed an incidence of 1:7,109 and 1:17,181 respectively. In both studies the rate indicated was much lower than that expected according to previous carrier-based procedures, ascertaining an incidence of 1:4,900 in Germany and 1:9,600 in Taiwan [25, 26]. In our study patients were recruited from throughout the country and it is presumed that almost no SMA patients born over the last 24 years have been missed from the corresponding estimations. Minimal SMA incidence in Greece was appraised at 1 in 12,000 or ~8.3 new cases per year and the minimal prevalence at 1.5 per 100,000. According to the overall carrier frequency of 1/43 (2.3 %) and the high percentage (95%) of subjects carrying ≤2 SMN2 copies, one would expect an incidence of ~1/7,400 live births and a higher percentage (>40%) of severe SMA 0 and I types. Given the discrepancies noted, it is likely that some severe cases were lost and may include spontaneous pregnancy losses or neonatal deaths. In addition, the study may have missed a few cases referred to genetics centers in the private sector, or asymptomatic patients (types III-IV). Further population-based studies such as newborn screening would be most appropriate for a more precise estimation of the incidence in Greece for all SMA subtypes.

The first therapeutic treatment for SMA with Spinraza (Nusinersen) was approved in Greece in December of 2017 (OGG/: N.4506/2017) and reimbursed for types 0, I, II and in exceptional type III cases. Valid and accurate diagnosis, as early as possible, preferably in newborns, infants and toddlers, potentially facilitates a timely intervention that is expected to positively impact morbidity, mortality, associated disabilities and prevalence [27, 28]. As indicated by the current cohort, newborn analysis should not be restricted to the number of SMN2 copies but extended to include additional genetic parameters such as NAIP copies, deletion size or certain ameliorating variants. Finally, this survey raises awareness towards the disease progression and management, and highlights the need for systematic population screening for SMA carriers following the successful example of the continuously improving prevention program for hemoglobinopathies in Greece [29].
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DECLARATIONS OF CONFLICT OF INTEREST

The authors have no conflict of interest to report.

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