Influence of different CoCrMo counterfaces on wear in UHMWPE for artificial joints

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ABSTRACT

Wear tests were carried out to study the effect of various counterface materials in the wear behaviour of Ultra High Molecular Weight Polyethylene (UHMWPE). The materials used as counterfaces were based on varieties of CoCrMo: 1) forged (hand-polished) CoCrMo; 2) forged (mass-finished) CoCrMo; 3) cast (mass-finished) CoCrMo. Additionally, two coatings were proposed: 1) a CoCrMo coating applied to the forged CoCrMo alloy by means of physical vapour deposition (PVD); 2) a ZrO₂ coating applied to the forged CoCrMo alloy by means of plasma-assisted chemical vapour deposition (PACVD). The reciprocating pin-on-flat (RPOF) device for pin-on-disk wear testing was used for this study. The worn surfaces were observed using optical, atomic force and scanning electron microscopes.

Keywords: Wear; Artificial Joints; CoCrMo

1. INTRODUCTION

Decades of basic and clinical experimentation have resulted in a vast array of prosthetic designs and material combinations. However, there is still any consensus on which designs are the most appropriate and successful. All material combinations have certain drawbacks. According to Wang [1], “All prostheses will fail sometime. It is a race between the life of the patient and the life of the prosthesis” [1-3]. Therefore, the duty of researchers is continuing the search for better combinations of materials for artificial joints.

The material combinations used today include a polymer component for the acetabular cup in the hip joint, or a tibial plateau in the knee joint, and a metallic or ceramic counterface for the femoral head in the hip joint, or a metallic counterface for the femoral condyle in the knee joint. For the polymer component, ultra-high molecular weight polyethylene (UHMWPE) has been universally adopted. Nowadays, the most widely used components are couples (or sliding pairs) composed of UHMWPE and a metal counterface (generally a CoCr-based alloy). This material combination is called a “metal-on-polyethylene” artificial joint. In hip joints, a ceramic material (usually alumina or zirconia) can also be used as a counterface. This is called a “ceramic-on-polyethylene” joint. In recent years, there has been re-newed interest in “metal-on-metal” and “ceramic-on-ceramic” artificial hip joints, in which both the femoral and acetabular components are made of metal or ceramic.

Many variables contribute to clinical success or failure in complex reconstructive procedures, such as a total joint replacement. These variables include patient selection, surgical techniques and prosthetic components. There are many reasons for failure during the life of a joint prosthesis. Early failures are often caused by infection, joint dislocation and/or the fracture of the replacement components. However, the main cause for most of the long-term failures is an aseptic loosening. With the advances in the design of the prosthesis and the fixation methods, wears of UHMWPE have replaced loosening as the main cause of failure in long-term implants [1,2]. It is generally recognized that microscopic polyethylene wear debris can induce adverse biological tissue reactions and subsequent bone resorption or osteolysis [1-5]. Wear particles of all types of biomaterials, especially UHMWPE, can apparently cause macrophages which may lead to an osteolytic reaction (either directly, or via mediator release) [3].

Concerns over UHMWPE wear have led to new designs and new material combinations for the articulating surfaces of artificial joints. Thus, metal and ceramic wear particles must also be considered. Osteolysis is
related to particle accumulation. The size, concentration and, to a lesser extent, shape and chemical composition of the particles are the most important factors in bioenvironmental response to wear debris.

2. MATERIALS AND METHODS

The reciprocating pin-on-flat (RPOF) device is a special pin-on-disk (POD) wear tester that was designed in accordance with the ASTM F732-82 standard. This standard is the first specific standard in the field of biotribology. It sets the guidelines for a “laboratory method for evaluation of the friction and wear properties of combinations of materials that are being considered for use as the bearing surfaces of human total joint replacement prostheses” [7,8]. The standard is mainly intended for the evaluation of polymer material combinations.

The RPOF wear-test device is a tribosystem, in which an apparatus produces an oscillatory relative motion between the pins and plates. Normally, the pins are stationary while the plates have an oscillating motion. The motion is always in a horizontal plane and unidirectional (Figure 1). These “reciprocating” devices are so called because of the reciprocating oscillating movement of the plate with respect to the pin.

The tests on the RPOF wear-test method were performed as follows. The disks were mounted on a linear bearing while the pins were fixed and pressed against the disks. The motion of the RPOF machine is unidirectional and reciprocating, with a stroke length of 17 mm. A load of 225 N (23 kg) was placed over the pins, resulting in a contact pressure of 3.5 MPa; this is the total standard knee replacement stress measured [4]. The pin contact area is considered to be 63.6 mm². The frequency of the motion was 1 Hz, or 1 cycle/second. Two stroke lengths—those are one back-and-forth motion of the disks—are considered one cycle. The wear on the UHMWPE pins was determined by weight loss measurements every 250,000 cycles, up to a total test length of one million cycles.

The test lubricant was replaced with fresh solution after every weighing stop. Distilled water was added during the test to compensate the water evaporation. A solution consisting of bovine serum and distilled water was used as test lubricant. The solution had a total protein concentration of 30 mg/ml, which simulated the clinical situation [9]. The serum was purchased at Sigma-Aldrich (calf serum, bovine donor; product No. C9676). The adsorption of the protein constituents onto UHMWPE pins was determined using an additional control pin, which was loaded in the RPOF machine as the UHMWPE pins were loaded, but not applying any motion. The UHMWPE pins were cleaned and dried in accordance with the ASTM 1714 standard. A Mettler Toledo AT261 Delta Range® microbalance with an accuracy of ±10 µg was used to weigh the pins.

The pins were manufactured from a medical-grade GUR1120 UHMWPE bar, previously sterilised with standard 25 kGy (2.5 Mrad) gamma radiation. The density of the UHMWPE was 0.9737 g/cm³. The pins were 13 mm long and 9 mm in diameter. The disks were manufactured from five different counterface materials, all of which were CoCrMo alloys. Table 1 summarises the test conditions and materials.

The standard material in this study was a hot-forged
CoCrMo alloy. Table 2 shows the chemical composition of this material.

- Forged (hand-polished) CoCrMo
- Forged (mass-finished) CoCrMo
- Cast (mass-finished) CoCrMo
- In addition to the materials mentioned above, two coatings were employed. First, a CoCrMo coating applied to the forged CoCrMo alloy by means of physical vapour deposition (PVD). The coating had the same chemical composition as the substrate. The rationale for testing this kind of coating was related to the use of femoral components in Total Knee Replacements (TKRs).

The second coating was a ZrO$_2$ coating applied to the forged CoCrMo alloy by means of plasma-assisted chemical vapour deposition (PACVD). The rationale for testing this kind of coating was the same as for the CoCrMo coating applied to forged CoCrMo.

For each counterface material, four disks were tested and at least three of them were considered for evaluation. A total of 40 wear tests were performed. Table 3 shows the roughness and hardness of each material.

### 3. EXPERIMENTAL RESULTS AND DISCUSSION

Figure 2 shows the wear results obtained with the RPOF tests for the UHMWPE specimens (pins). The volumetric wear (mm$^3$) of the UHMWPE pins is represented as a function of test duration (in cycles) and counterface type. The volumetric wear results are calculated according to the average of weight loss of three specimens for each material.

The standard deviations ranged from 0.01 to 0.05 mg, except for the CoCrMo coating. These measurement variations imply that the gravimetric wear determination is highly influenced by the intrinsic uncertainty of the measurement, with little weight losses in the UHMWPE.

Thus, for very early stages and especially for counterfaces producing very little weight loss in the UHMWPE specimen, the measurements have a high uncertainty. The CoCrMo coating had significantly higher standard deviations, ranging from 0.08 to 0.11 mg. The greater scatter for the CoCrMo coating is due to the higher sensitivity of the coating to scratches and third-body wear, which highly influence the surface roughness of the disks and thus weight loss in the UHMWPE.

The results shown in Figure 2 can be used to extrapolate the wear behaviour of UHMWPE to the next million cycles. However, it is more interesting to compare the wear rates of the sliding couples than to compare the volumetric wear after one million cycles of the wear test. Figure 3 shows data from Figure 2 for the first 250,000 cycles without standard deviations. This data is related to the initial stage of running-in wear and do not correspond to the stationary wear state. Wear-rate values are calculated from the slope of the linear regression fitting to the volumetric wear data. The $R^2$ values of the linear fitting (greater than 0.92) indicate that the wear tests are highly reproducible and the wear rates are highly linear, except for the mass-finished alloys (both cast and forged), which had higher uncertainty due to low measurement accuracy for small weight losses. This is consistent with other studies [10,11] and clinical observations [12,13], which have shown that the high initial wear rate is statistically higher by a significant amount than the wear rate thereafter.

The results show that the CoCrMo coating causes the highest UHMWPE wear of all the counterfaces tested. The CoCrMo coating wear rate is an order of magnitude higher than that caused by the mass-finished (forged) alloy, which caused the least UHMWPE wear in this study. The ZrO$_2$ coating and the hand-polished (forged) CoCrMo alloy caused intermediate UHMWPE wear rates. The UHMWPE wear value for the ZrO$_2$ coating is

### Table 2. Chemical composition of the forged CoCrMo alloy (%).

| Component | Cr    | Mo    | Mn    | Ni    | Si    | Fe    | C    | N     |
|-----------|-------|-------|-------|-------|-------|-------|------|-------|
| Balance   | 26 - 30 | 5 - 7 | max 1 | max 1 | max 1 | max 0.7 | max 0.35 | max 0.25 |

### Table 3. Roughness and hardness of each material tested.

| Material         | Roughness Ra (µm) | Hardness (HVN) |
|------------------|-------------------|-----------------|
| Hand-polished    | 0.03 ± 0.01       | 673 ± 21        |
| Mass-finished    | 0.05 ± 0.01       | 840 ± 62        |
| Cast CoCrMo      | 0.05 ± 0.01       | 783 ± 52        |
| CoCrMo coating   | 0.10 ± 0.01       | 884 ± 28        |
| ZrO$_2$ coating  | 0.06 ± 0.01       | 575 ± 43        |
Different surface treatments (mass-finishing and hand-polishing) on the forged CoCrMo alloys lead to significant differences in UHMWPE wear. The above data shows that the mass-finishing treatment on CoCrMo alloys causes less UHMWPE wear.

After the wear tests, the disk surface was observed with an optical microscope. All disks showed a certain degree of scratching. On the hand-polished alloys, the scratches were always parallel to the sliding direction (Figure 4(a)) and were deeper and wider than those found on the mass-finished materials (Figure 4(b) and 4(c)). The scratches were most numerous on the CoCrMo coating surface (Figure 4(d)) and on the hand-polished alloys. However, the scratches on the mass-finished (forged and cast) alloys were shallow and hard to see, which may be due to the fact that the mass-finishing treatment on the surface of the CoCrMo alloys produces some hardening on the surface, rendering it more scratch-resistant than the hand-polished surface.
For the CrCoMo coating samples, the worn UHMWPE surface presents numerous scars caused by the scratches of the disk counterfaces. The appearance of greater damage to the UHMWPE must be due to a rougher disk counterface. Therefore, greater UHMWPE wear is related to the roughening of the surface, which causes abrasive wear on the UHMWPE surface. For the other pin surfaces, the wear damage appears to be due to adhesion and creep; thus, the surfaces are smooth. As a result, the UHMWPE surface is flattened and has fewer scars. The differences in the wear surface of metal counterface are shown in Figure 5. The images were obtained using an atomic force microscope (AFM). This is consistent with in vivo observations, which showed that joint replacements which did not fail, or which had very little linear wear, maintained their initial low surface roughness counterface and had few scratches [17-21].

For the ZrO₂ coating, we identified some surface defects in the coating itself (Figure 6(a)), which occurred during coating deposition. Those defects, together with the irregular mass-finished substrate surface and the well-known brittleness of ZrO₂ coatings, may be the cause of the coating’s fracture and subsequent detachment, which is shown in Figure 6(b). This kind of coating failure is responsible for the high degree of UHMWPE wear observed in the RPOF tests.

The hardness rankings are consistent with the wear results and surface observations for the bulk counterfaces. Thus, the mass-finished (forged) alloy causes less UHMWPE wear than the mass-finished (cast) alloy, and the second one causes less UHMWPE wear than the hand-polished (forged) alloy. Similarly, the mass-finished (forged) CoCrMo alloy is harder than the mass-finished (cast) alloy, and the second one is harder than the hand-polished (forged) alloy. Therefore, the harder a surface is, the less UHMWPE wear it causes. The effect of counterface hardness on UHMWPE wear is due to the fact that hard surfaces are more resistant to scratching and, therefore, produce less UHMWPE wear, since an increase in surface roughness leads to an exponential increase in UHMWPE wear [22-24].

The UHMWPE wear caused by the ZrO₂ coating is consistent with the assumption that softer counterfaces cause more UHMWPE wear. Additionally, AFM and SEM observations of the worn surfaces showed that the coating itself is highly resistant but tends to delaminate due to deposition defects [25].

The CoCrMo coating has the highest hardness value. This should make it extremely resistant to scratching (or, at the very least, more so than the bulk materials examined). Under the prevailing experimental conditions, however, the CoCrMo coating produced the highest UHMWPE wear in this study. Additionally, the AFM
Figure 5. AFM images of the hand-polished (forged) CoCrMo alloy and of the CoCrMo coating, before and after the wear test. The black arrows show the direction of sliding.

Figure 6. SEM micrographs of the ZrO₂ coating surface. (a) as-received surface showing coating deposition defects; (b) surface after the wear test showing the failure of the coating by fracture and detachment.
observation of the worn CoCrMo-coated surface showed a large number of scratches and therefore greater surface roughness, which causes high UHMWPE wear. Furthermore, coating fragments may favour third-body wear mechanisms, which also roughen the coating’s surface.

4. CONCLUSIONS

The results obtained with the RPOF wear tests have demonstrated that the CoCrMo coating caused the highest UHMWPE wear of all the counterfaces tested. The ZrO2 coating and the hand polished (forged) CoCrMo alloy produced intermediate UHMWPE wear rates and the mass finished (forged and cast) alloys produced the lowest UHMWPE wear. The effect of the counterface hardness in the UHMWPE wear is due to the fact that hard surfaces are more resistant against scratching and consequently produces less UHMWPE wear, since an increase in the surface roughening produces an exponential increase in the UHMWPE wear.

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