Experimental Studies on the Effect of Antenna Orientations to the Performance of OFDM-based System

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ABSTRACT
Software-defined radio (SDR) is an emerging and promising high re-configurable platform for rapid prototyping in real environment applications. It offers both flexibility and low cost to facilitate the development process of agile communication system, such as Orthogonal Frequency Division Multiplexing (OFDM). Other than modulation and transmission technique like OFDM, antenna orientations play a significant importance in wireless communication. The availability of SDR platform like USRP has enabled the empirical evaluation of antenna orientation to the system performance. The performance has been evaluated in terms of throughput and packet error rate. The findings show the antenna orientation affect the system performance significantly.

1. INTRODUCTION
Research in the field of wireless communication is vast and dynamic due to the endless demand of the end-user and the scarcity of the wireless spectrum [1]. Wireless communication plays a great importance in human daily life. It applies in almost every aspects of human activities, ranging from social, commercial, weather forecast, military, civilian fields, to domestic appliances. The exponential growth of wireless demand has urged for technique and mechanism to improve the existing communication system. As such, a widely deployed technique such as OFDM has gained a lot of attention among researchers as it offers both efficient spectrum utilization and robust high data rate transmission in frequency selective fading. The studies on OFDM are extensive and the literatures are abundance [2]-[10]. For instance, [11] studied the implementation of OFDM in both WiMAX and LTE for cognitive radio system, while [12]-[14] studied the OFDM usage in optical system. OFDM common application in cellular network is depicted in [15] where the writer focused on 4G cellular network. In [16]-[18], OFDM were studied in the field of RADAR. However, as compared to the simulation analyses, experimental analyses were less explored.

Meanwhile, the concept of software-defined radio (SDR) offers a breakthrough for expensive, time consuming and effort demanding of building an RF applications. It changed the hardware problem of RF application into software problem which grants a flexibility to change the system functionality by means of software alone, which is ideal for rapid development of communication prototype. However, although the SDR hardware like Universal Software Radio Peripheral (USRP) is emerging, the platform technology is not mature yet. Thus, room for exploration is widely opened. Therefore, this study has emulated an OFDM-based system on the SDR platform of USRP with GNU Radio and has used the setup to evaluate the effect of antenna orientations to the system performance. The system performance has been empirically evaluated in terms of percentage of packet error rate and throughput.
2. RESEARCH METHOD

This part describes the implemented OFDM system and experimentations setup used in this study.

2.1. System design and setup

In this study, the OFDM-based transceiver has been realized in programming scripts on GNU Radio software environment while utilizing a pair of USRPs as the hardware platform. The source code of both Transmitter and Receiver has been implemented in hierarchical manner with ‘transmit_path’ and ‘receive_path’ are at the top of the hierarchy as shown in Figure 1. Both ‘transmit_path’ and ‘receive_path’ provide interface for transmitter and receiver respectively in which the system configurations and functionalities were provided by abstraction from source codes at the lower hierarchical.

![Figure 1. Architecture of implemented OFDM-based system](image)

Meanwhile, the setup of the testbed consists of GNU Radio, a pair of USRP, workstation, and antenna. The system software processing was implemented in GNU Radio in which was installed on Ubuntu 14.04 operating system. A pair of USRPs N210 with mounted CBX-40MHz was deployed as the hardware platform of the system. The USRPs were connected to the workstation via Ethernet gigabit cable. The workstation was a set of i7 2.7GHz octa-core PC and i7 2.4GHz octa-core desktop which were assigned as transmitter and receiver respectively as depicted in Figure 2.

![Figure 2. Experimental setup](image)

2.2. Antenna measurement

Two types of antennas were used in this study named omnidirectional monopole antenna and bidirectional patch antenna. Prior to the experimentations, the Return Loss (S11) of these antennas was measured using Agilent Vector Network Analyzer (VNA) as shown in Figure 3. While antennas that been
used were expected to operate at LTE and Wifi frequencies band for patch bi-directional and monopole omnidirectional antennas respectively, the return loss experienced at the experimented frequencies are shown in Figure 3.

![Figure 3: Measured S11 of patch bi-directional antenna (left) and monopole omni-directional antenna (right)]

From Figure 3, patch antenna shows the lowest return loss at 2.694GHz which is -35.647dB. Meanwhile, no significant difference of noise level shown by omnidirectional between the measured frequencies. However, since omnidirectional shows higher return loss than patch antenna, this study was anticipating the patch antenna at 2.6GHz will give better throughput and error rate than the omnidirectional antenna at 2.4GHz.

### 2.3. Evaluated antenna orientations

The effect of polarization or orientation of monopole and patch antenna with omnidirectional and bidirectional radiation pattern respectively to the performance of OFDM-based communication system were varied and evaluated. For monopole antenna, the antenna has been installed to the USRP in either vertical or horizontal position as shown in Figure 4. The combination of antenna orientation at transmitter and receiver gives either parallel or perpendicular configuration which labeled as Vertical – Vertical (V-V), Vertical – Horizontal (V-H), Horizontal – Vertical (H-V), and Horizontal – Horizontal (H-H). The order of these combinations refers to the orientation of the antenna on the transmitter followed by the receiver.

![Figure 4: Orientations of monopole omnidirectional antenna](image)

a) V(Tx) – V(Rx)  
b) V(Tx) – H(Rx)  
c) H(Tx) – V(Rx)  
d) H(Tx) – H(Rx)
Likewise, the effect of polarization or orientation of antenna with bi-directional radiation pattern was evaluated by positioning the antenna to be either facing each other or facing outward and labeled as ‘in-in’, ‘in-out’, ‘out-in’, and ‘out-out’ as shown in Figure 5.

![Antenna Orientations](image)

Figure 5. Orientations of patch bi-directional antenna

3. RESULTS AND ANALYSIS

This section presents the findings on the effect of antenna orientation of monopole omnidirectional antenna at 2.4GHz and patch bi-directional antenna at 2.6GHz operations. The graphs were obtained by varying the gain at receiver from 0 to 27dB for a fixed distance between transmitter and receiver. 2500 packets have been transmitted, which consists of OFDM symbols of 1Megabytes of random number. The throughput is the percentage of packets successfully received by the receiver, while error rate is percentage of error out of the total packets received.

3.1. Omni-directional monopole antenna at 2.4GHz

Figure 6 represents how much is the throughput received by receiver when increasing the gain at the receiver. It can be observed the ‘H-H’ orientation has gained 22% of throughput at 0dB and increased to over 95% after 5dB of Rx gain. On the other hand, the other orientations show no gain in throughput at 0 dB, and only begin to gain an increase in throughput after 5dB. The ‘V-V’ orientation reaches more than 90% of throughput after 15dB while both ‘V-H’ and ‘H-V’ only reach that point after 20dB. All the orientations close to 100% of throughput at Rx gain beyond 22dB. From the observations, antennas with same orientations (H-H and V-V) show better throughput performance than antennas with different orientations with ‘H-H’ orientation shows the best throughput performance, followed by ‘V-V’, ‘V-H’, and ‘H-V’. It is because antennas of H-H ad V-V have same polarization (co-polar) that makes the antenna receiver receives throughput faster compared to cross-polar (V-H) and (H-V). H-H orientation (horizontal polarization) is better than V-V because the wave propagates does not interact with ground plane and ceiling of the building which will add to the path loss to the V-V signal.

Subsequently, the antennas with same orientations also show better error rate performance than antennas with different orientations as presented in figure 7. From the figure, ‘H-H’ orientation shows much better performance than the other antenna orientations. It started showing reduction of error rate at 0dB and approaching the minimum packet error rate of 10% after 10dB while others only shown error reduction after 15dB and approaching the same minimum error after 20dB of Rx gain. In addition, although ‘V-H’ orientation shows slightly better performance in throughput than it counterparts ‘H-V’, it shows the worst performance in terms of error rate by around 40% higher than minimum error achieved by others after an Rx gain 25dB.
3.2. Bi-directional patch antenna at 2.6GHz

Unlike the omnidirectional antennas that been presented earlier, experimenting different orientations of bi-directional antenna on the system shows no effect on the system performance, neither in terms of throughput nor packet error rate as shown in Figure 8 and Figure 9 respectively.

In Figure 8, the throughput is constantly close to 100% across the Rx gain, regardless of the antenna orientations. Reciprocally, Figure 9 shows although the ‘out-out’ orientation shows decrement of error until 10dB, the error rate performance has saturated to about 10%, which has been obtained consistently by other orientations throughout the Rx gain. While the performance is far from the anticipated, it might be due to the effect of saturation region of the patch antenna as shown in Figure 11.

Figure 6. Throughput of different orientations of monopole omnidirectional antenna
Figure 7. Packet error rate of different orientations of monopole omnidirectional antenna

Figure 8. Throughput of different orientations of patch bi-directional antenna
Figure 9. Packet error rate of different orientations of patch bi-directional antenna

Figure 10. Received OFDM signal by monopole omnidirectional antenna with the corresponding Rx gain
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From the observations on measured S11 and performance findings, it can be stated that the bi-directional antenna used in this study has better sensitivity and very high gain than the omnidirectional antenna. However, increasing the RX gain of the bi-directional antenna beyond 15dB caused the signal to exceed the saturation point which caused the nonlinear signal in spite of power amplification. As such, the shape of OFDM is disappearing with the increased of RX gain as shown in Figure 11. Conversely, although omnidirectional shows poor S11, the reflectance from surroundings has helped in improving the performance as the RX gain increased which is shown by better spectrum as shown in Figure 10.

4. CONCLUSION

From the exploration, it can be assured that SDR platform using USRP and GNU Radio can be used in prototyping the communication system. Careful precautions should be taken in determining proper configurations in order to avoid the hardware from operating at saturation region which caused non-linear system response. For this system, the nonlinear response caused the system to give peculiar signal output.

In demonstrating the importance of proper antenna orientation in wireless system, the findings show the omnidirectional antenna gives best performance when horizontally aligned in parallel orientation. However, the very high gain signal emitted from bi-directional antenna at 2.6GHz operation caused the signal to be saturated. Thus, the effect of this antenna orientation could not be observed.

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