Abstract

A new $O(\lg N)$ line clipping algorithm in $E^2$ against a convex window is presented. The main advantage of the presented algorithm is the principal acceleration of the line clipping problem solution. A comparison of the proposed algorithm with others shows a significant improvement in run-time. Experimental results for selected known algorithms are also shown.

Keywords: Line Clipping, Convex Polygon, Computer Graphics, Algorithm Complexity.

1. Introduction

Many algorithms for clipping lines against convex or non-convex windows in $E^2$ with many modifications derived from well known Cohen-Sutherland's, Liang-Barsky's [LIA83a],[LIA84a] and Cyrus-Beck's [CYR79a] algorithms have been published. All of them have the same complexity $O(N)$, with an exception of Rappaport's algorithm [RAP91a] which has $O(\lg N)$ complexity. Their speed is determined by more or less clever implementation of tests and intersection computation. The convexity feature of the clipping polygon and the possibility of binary search usage over polygon vertices, because of known vertices order, have been used for principal speed up of the ECB line clipping algorithm [SKA93b] that resulted into new line clipping algorithm with complexity $O(\lg N)$ . It has been expected that an algorithm for line clipping against convex polygon with complexity $O(\lg N)$ exists, see [CHA87a]. An algorithm for a line segment clipping with $O(\lg N)$ complexity was published in [RAP91a]. The known algorithms for clipping lines against a general convex window do not make tests similar to Cohen-Sutherland's clipping algorithm. The main reason seems to be the computational cost of such tests for convex windows. If a clipping algorithm is to be effective, it is necessary to distinguish cases where lines pass through a given window from those where lines do not intersect the window. Cyrus-Beck's (CB) algorithm solves this problem by direct computation of points of intersections, the ECB algorithm uses the separation theorem for Cyrus-Beck's algorithm to achieve a speed up of approx. 1.2 - 2.5 times. Cyrus-Beck's (CB), Efficient Cyrus-Beck's (ECB) and Rappaport's algorithms have been compared with the new proposed $O(\lg N)$ algorithm.

The ECB algorithm does not use the known order of vertices of the given clipping polygon for a principal speed up of the algorithm, though it has the complexity $O(N)$.

The Rappaport's algorithm [RAP91a] is the only one algorithm with $O(\lg N)$ complexity that could be used for line segments clipping against convex polygon. The algorithm, see alg.1, is based on known fact that an answer whether a point is inside of the convex polygon can be given in $O(\lg N)$ steps, where $N$ is a number of vertices of the given polygon [PRE85a].

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procedure RAPPAPORT (\(x_A, x_B\));  
\{ \(x_A, x_B\) are end-points of the clipped line segment \}

begin if CLASSIFY (\(x_A\)) = IN then

begin (s,s1) := SECTOR (\(x_A, x_B\));
    if \(x_B\) is to the left of s-s1 edge of the polygon \{ s1 is the next vertex to vertex s \}
    then OUTPUT (\(x_B\)) \{ the line segment is totally inside \}
    else begin compute the intersection point of the line segment with the edge s-s1 (x);
    OUTPUT (x);
    end  
end
else begin

(begin (left_sup,right_sup) := SUPPORT_VERTICES (\(x_A\));
    if \(x_B\) is left of left_sup or right of right_sup
    then DO NOTHING
    else begin \{ find an intersected edge from the front chain \}
        (s,s1) := FRONT_SECTOR (left_sup,right_sup);
        if \(x_B\) is to the right of s-s1
        then DO-NOTHING
        else begin compute the intersection point of the line segment with the edge s-s1 (x);
        OUTPUT (x);
        (s,s1) := BACK_SECTOR (right_sup,left_sup);
        if \(x_B\) is to the left of s-s1
        then OUTPUT (\(x_B\))
        else begin \{ find an intersected edge from the back chain \}
        compute the intersection point of the line segment with the edge s-s1 (x);
        OUTPUT (x);
        end
        end
    end
end\end\} \{ RAPPAPORT \} ;

Algorithm 1

There are used the following functions in alg.1:

- CLASSIFY (\(x\)) gives an answer if the point \(x\) is inside of the given convex polygon in \(O(\lg N)\) steps and has complexity \{ ( := , < , ± , * , / ) counting FPP operations only \}

\[ (0,2,4,4,0) + (0,1,2,0) * \lg N \]

- SECTOR (\(x_A, x_B\)); finds an edge with vertices (s, s1) that is intersected by the given line segment \(x_A, x_B\) in \(O(\lg N)\) steps and has complexity

\[ (7,2,9,5,0) * \lg N \]
• SUPPORT_VERTICES (\( \mathbf{x}_d \)) finds the (left_sup, right_sup) indexes of end-points of the back and front chains that are formed by edges of the given polygon in \( O(\lg N) \) steps and has complexity
\[
(0,2,10,4,0) + (0,2,10,4,0) \cdot \lg N
\]

• FRONT_SECTOR (left_sup, right_sup) finds from front chain of edges with vertices (s, s1) that is intersected by the given line segment \( \mathbf{x}_A, \mathbf{x}_B \) in \( O(\lg N) \) steps and has complexity
\[
(0,1,2,2,0) \cdot \lg N
\]

• BACK_SECTOR (left_sup, right_sup) finds from back chain of edges with vertices (s, s1) that is intersected by the given line segment \( \mathbf{x}_A, \mathbf{x}_B \) in \( O(\lg N) \) steps
\[
(0,1,2,2,0) \cdot \lg N
\]

It can be seen that all steps are of \( O(\lg N) \) complexity and therefore the whole algorithm is of \( O(\lg N) \) complexity, too. Unfortunately some steps are quite complex and the overall complexity for the worst case can be estimated as
\[
(4,2,12,22,2) + (0,4,14,8,0) \cdot \lg N
\]

Detailed description of the Rappaport's algorithm can be found in [RAP91a].

2. Proposed algorithm
Let us suppose that we have a given convex clipping polygon anti-clockwise oriented and line \( p \) is determined by two end-points
\[
\mathbf{x}_A = [x_A, y_A]^T, \quad \mathbf{x}_B = [x_B, y_B]^T
\]
The convex window is represented by \( n+1 \) points
\[
\mathbf{x}_i = [x_i, y_i]^T, \quad i = 0, \ldots, n
\]
where: points \( \mathbf{x}_0 \) and \( \mathbf{x}_n \) are identical (column notation is used), \( x_i \) and \( y_i \) are coordinates of the vertex \( \mathbf{x}_i \).
The notation \( \mathbf{x}_i \mathbf{x}_k \) is used for a polyline from \( \mathbf{x}_i \) to \( \mathbf{x}_k \), i.e. it is a chain of line segments from \( \mathbf{x}_i \) to \( \mathbf{x}_k \).
Let us define the separation function \( F(x) \) in the form
\[
F(x) = Ax + By + C
\]
where \( F(x) = 0 \) is an equation for the given line \( p \) and assume that the line has the orientation shown in Fig.1, \( x \) is defined as \( x = [x, y]^T \).
It can be seen, Fig.2, that the oriented distance \( d \) of the point \( x \) from the line \( p \) can be determined as
\[
d = \frac{Ax + By + c}{\sqrt{A^2 + B^2}}
\]
It means that the value of the function \( F(x) \) is actually proportional to the distance \( d \) for the given line \( p \). First of all, let us assume that (see Fig.1)
\[
i = 0 ; \quad j = n ; \quad k = (i + j) \text{ div } 2 ;
\]
and
\[
x_0 \equiv x_n, \quad x_i = x_0, \quad x_j = x_n, \quad x_k \equiv x_2
\]
Let us concentrate on a special case shown in Fig.1. If the points \( x_i \) and \( x_k \) are on the opposite sides of the line \( p \), i.e.
\[
F(x_i) * F(x_k) < 0
\]
then there must be just one intersection point on the chains \( x_i x_k \) and \( x_k x_j \) for each chain, because the given polygon is convex. Because \( F(x_i) * F(x_k) < 0 \) for the chain \( x_i x_k \) there must exist an index \( l \) so that
\[
F(x_i) * F(x_{i+1}) < 0 \quad i \leq l < k
\]
i.e. an edge \( x_i x_{i+1} \) must be intersected.

Similarly for the chain \( x_k x_j \). It is obvious that in this case the intersection point can be found in \( O(\log M) \) steps using binary search over vertices, where \( M \) is a number of line segments in the given chain.

Unfortunately, other possible situations are more complex to solve, see Fig.3. It is possible to distinguish four fundamental cases supposing the previously shown orientation of the separation function \( F(x) \). In case a) the chain \( x_i x_j \) can be removed, while in case b) the chain \( x_j x_k \) can be removed. In the first, resp. second, case index \( j \), resp. index \( i \), must be changed to \( k \). In both cases a new value of \( k \) must be computed as
\[
k = (i + j) \text{ div } 2
\]
Both mentioned cases can be distinguished by a criterion
\[
F(x_{i+1}) < F(x_i)
\]
because if \( F(x_{i+1}) < F(x_i) \) then the chain \( x_i x_k \) can intersect the line \( p \), see Fig.3. This condition actually expresses that we are getting closer to the line \( p \), i.e. the oriented distance \( d \) is smaller.
In both cases we assumed that the line \( p \) has the shown orientation, i.e. \( F(x_i) > 0 \) and
Possible situations as a variation of cases a) and b) in Fig.3, when this condition is not true, are shown as cases c) and d).

A little bit more complex situation is shown by cases c) and d) where $F(x_i) > F(x_k)$. In case c) the chain $x_i x_j$ can be removed, while in case d) the chain $x_i x_k$ can be removed. In the first, resp. second, case index j, resp. index i, must be changed to k. In both cases a new value of k must be again determined as

$$k = (i + j) \div 2$$

Both last mentioned cases can be distinguished by using criterion

$$F(x_{k+1}) < F(x_k)$$

Actually we must distinguish whether we are getting closer to the given line $p$ or not. If the line $p$ has an opposite orientation then similar situations must be solved, see Algorithm 2.

This procedure is repeated until

$$F(x_i) \times F(x_k) < 0$$

Dashed lines mean points $x_j$ where $F(x) = F(x_i)$

Figure 3
If this condition becomes true we will obtain two chains \( x_i x_k \) and \( x_k x_j \) that intersect the line \( p \) and binary search over vertices can be used again as we get a similar situation shown in Fig.1.

Now it can be seen that all parts of the proposed algorithm are of complexity \( O(\lg M) \), where \( M \) is a number of edges in the given chain because we used for all steps the binary search over vertices of the clipping convex polygon. The whole proposed \( O(\lg N) \) algorithm is described by Algorithm 2. It is necessary to point out that for effective implementation values \( F(x_i) \) should be stored in separate variables as they are used several times.

**procedure** CLIP 2D lg ( \( x_A, x_B \));

{ Note: initialization of the clipping window \( x_n := x_0 \})

**function macro** \( F(x): \text{real}; \)
{ should be implemented as an in-line function }

**begin**

\[ F := A \times x + B \times y + C; \]

**end** \{ \( F \) \};

**function** SOLVE ( \( i, j \)): \text{real};
{ finds two nearest vertices on the opposite sides }
{ of the given line \( p \) }

**begin**

while ( \( j - i \)) \( \geq 2 \) \{ \( j \geq i \) always \}

begin

k := \((i + j) \div 2\); \{ shift to the right \}

if (\( F(x_i) \times F(x_k) \)) \( < 0 \) then \( j := k \) \( \text{ else } \) \( i := k \);

end \{ while \};

SOLVE := INTERSECTION ( \( p, x_i, x_j \) );
{ gives the value \( t \) of an intersection point }
{ of the line \( p \) with the given line segment \( x_i x_j \) }

**end** \{ SOLVE \};

**begin** \{ determine the \( A, B, C \) values for the function \( F(x) \) \}

\( A := y_1 - y_2; \quad B := x_2 - x_1; \quad C := x_1 \times y_2 - x_2 \times y_1; \)

\( i := 0; \quad j := n; \)
{ for lines \( t_{\text{min}} := -\infty; \quad t_{\text{max}} := \infty; \) }
{ for line segments \( t_{\text{min}} := 0; \quad t_{\text{max}} := 1; \) }

while ( \( j - i \)) \( \geq 2 \) do

begin

k := \((i + j) \div 2\); \{ shift to the right \}

if (\( F(x_i) \times F(x_k) \)) \( < 0 \) then

begin

{ see fig.1 }

\( t_1 := \text{SOLVE} (i, k); \) \{ find an intersection on \( x_i x_k \) chain \}

\( t_2 := \text{SOLVE} (k, j); \) \{ find an intersection on \( x_k x_j \) chain \}

{ for the line segment clipping include the next 5 lines }

\{ if \( t_1 > t_2 \) then begin \( t := t_2; \quad t_2 := t_1; \quad t_1 := t \) end; \}
{ compute \( < t_1, t_2 > \) as \( < t_1, t_2 > \cap \{0, 1\} \) }
{ \( t_1 := \text{max} (t_{\text{min}}, t_1); \quad t_2 := \text{min} (t_{\text{max}}, t_2); \) }
{ if \( < t_1, t_2 > \cap \emptyset \) then draw line segment }

\{ if \( t_1 \leq t_2 \) then \( \text{SHOW-LINE}(x(t_1), x(t_2)) \) }

**end** \{ while \};
EXIT { exit procedure CLIP 2D lg }; 
end { if };

{ for the polygon orientation shown in fig.3 }
if \( F(x_i) > 0 \) then
begin { for the orientation of line \( p \) shown in fig.3 }
if \( F(x_i) < F(x_k) \) then { cases a and b }
begin { DELETE CHAIN ( i, j ) removes the chain x x }
if \( F(x_{i+1}) < F(x_i) \) then 
begin j := k; { DELETE CHAIN ( k, j ); case a } end else
begin i := k; { DELETE CHAIN ( i, k ); case b } end
end
else { cases c and d }
begin
if \( F(x_{k+1}) > F(x_k) \) then
begin j := k; { DELETE CHAIN ( k, j ); case c } end else
begin i := k; { DELETE CHAIN ( i, k ); case d } end
end
end
else { for an opposite orientation of the line }
if \( F(x_i) > F(x_k) \) then
begin if \( F(x_{i+1}) > F(x_i) \) then
begin j := k; { DELETE CHAIN ( k, j ); } end else
begin i := k; { DELETE CHAIN ( i, k ); } end
end
else begin if \( (F(x_{k+1})*F(x_k)) < 0 \) then
begin j := k; { DELETE CHAIN ( k, j ); } end else
begin i := k; { DELETE CHAIN ( i, k ); } end
end
end
end { while }
end { CLIP-2D-lg }

Algorithm 2

3. Theoretical analysis and experimental results
Before making any experiments it is convenient to point out that time needed for operations
\(( :, <, \pm, *, / )\) differ significantly from computer to computer.

| float | := | < | ± | * | / |
|-------|----|----|----|----|----|
| time  | 33 | 50 | 16 | 20 | 114 |

Table 1
Let us introduce coefficients of the effectivity $\nu$ as

$$
\nu_1 = \frac{T_{CB}}{T}, \quad \nu_2 = \frac{T_{CB}}{T_0}, \quad \nu_3 = \frac{T_R}{T}
$$

where: $T_{CB}$, $T_0$, $T_R$, $T$ are execution times needed by Cyrus-Beck's (CB), ECB, Rappaport's and proposed $O(\log N)$ algorithms.

Description of CB and ECB algorithms can be found in [SKA93b] together with their theoretical and experimental comparisons.

Generally it is possible to express the complexity of the CB algorithm

$$(8,3,6,4,0) + (5,3,7,4,1) \times N$$

and time of computation as $T_{CB}$ (for PC 486, see tab.1) can be estimated

$$T_{CB} = 590 + 621 \times N$$

The complexity of the ECB algorithm (in the worst case) as

$$(15,3,11,14,2) + (3,1,1,3,0) \times N$$

and time of computation $T_0$ can be estimated as

$$T_0 = 1329 + 257 \times N$$

Description of CB and ECB algorithms and their theoretical and experimental comparisons can be found in [SKA93b]. Their complexities are $O(N)$.

Complexity of the Rappaport's algorithm can be expressed as

$$(4,2,12,22,2) + (0,4,14,8,0) \times \lceil \log(N+1) \rceil$$

and time of computation $T_R$ can be estimated as

$$1092 + 584 \times \lceil \log(N+1) \rceil$$

while for the suggested algorithm $O(\log N)$ the complexity is given as

$$(14,4,11,15,2) + (2,4,6,6,0) \times \lceil \log(N+1) \rceil$$

and time of computation $T$ can be estimated as

$$T = 1267 + 376 \times \lceil \log(N+1) \rceil$$
The Rappaport's and proposed algorithms are of $O(\log N)$ complexity. Theoretical speed up is given in tab.2 (the worst cases and operations in floating point were considered only)

| N  | 4   | 5   | 6   | 7   | 8   | 9   | 10  | 20  | 30  | 50  | 100 |
|----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| $\nu_1$ | 1.28 | 1.54 | 1.80 | 2.06 | 2.01 | 2.23 | 2.45 | 4.13 | 6.11 | 8.98 | 16.08 |
| $\nu_2$ | 0.98 | 1.09 | 1.20 | 1.31 | 1.22 | 1.31 | 1.41 | 2.06 | 2.87 | 4.02 | 6.93 |
| $\nu_3$ | 1.19 | 1.19 | 1.19 | 1.19 | 1.24 | 1.24 | 1.27 | 1.27 | 1.30 | 1.33 |       |

Theoretical estimations (worst case)

Table 2

| $\nu_1$ | 3   | 4   | 5   | 6   | 7   | 8   | 9   | 10  | 30  | 50  | 100 |
|---------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| 0%      | 1.00| 1.48| 1.26| 1.38| 1.47| 1.68| 1.86| 1.52| 3.70| 6.28| 10.42|
| 20%     | 0.93| 0.91| 1.05| 1.24| 1.30| 1.33| 1.99| 2.07| 4.47| 6.11| 9.28 |
| 40%     | 1.01| 1.23| 1.11| 1.36| 1.34| 1.48| 1.19| 2.30| 3.53| 6.06| 10.20|
| 60%     | 1.09| 1.19| 1.35| 1.32| 1.30| 1.58| 1.42| 1.57| 3.44| 6.10| 10.18|
| 80%     | 0.82| 1.23| 1.06| 1.14| 1.46| 1.45| 1.64| 2.14| 3.74| 6.03| 10.85|
| 100%    | 0.80| 1.02| 1.08| 1.11| 1.40| 1.61| 1.23| 1.61| 4.40| 5.80| 11.11|

| $\nu_2$ | 3   | 4   | 5   | 6   | 7   | 8   | 9   | 10  | 30  | 50  | 100 |
|---------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| 0%      | 1.47| 1.81| 1.81| 1.89| 1.12| 1.77| 1.89| 1.61| 2.00| 2.29| 2.37 |
| 20%     | 1.19| 1.27| 1.40| 1.81| 1.66| 1.61| 1.70| 3.28| 1.96| 1.96| 1.98 |
| 40%     | 1.33| 1.27| 1.19| 1.39| 1.37| 1.72| 1.79| 1.90| 1.95| 1.91| 2.03 |
| 60%     | 1.17| 1.14| 1.33| 1.52| 1.38| 1.73| 1.55| 1.47| 1.70| 2.06| 2.13 |
| 80%     | 0.91| 1.22| 1.40| 1.24| 1.62| 1.79| 1.32| 1.63| 1.86| 2.12| 2.21 |
| 100%    | 0.98| 1.14| 1.35| 1.26| 1.49| 1.75| 1.54| 1.46| 2.14| 2.14| 2.35 |

| $\nu_3$ | 3   | 4   | 5   | 6   | 7   | 8   | 9   | 10  | 30  | 50  | 100 |
|---------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| 0%      | 2.96| 3.44| 2.90| 2.62| 2.68| 2.83| 2.78| 1.91| 2.22| 2.44| 2.13 |
| 20%     | 3.76| 1.98| 2.24| 2.26| 2.41| 2.01| 2.85| 2.96| 2.64| 2.52| 2.15 |
| 40%     | 2.82| 2.65| 2.56| 2.89| 2.36| 2.25| 1.71| 3.20| 2.42| 2.43| 2.26 |
| 60%     | 3.13| 2.81| 2.67| 2.59| 2.50| 2.44| 2.07| 2.30| 2.34| 2.31| 2.07 |
| 80%     | 2.70| 3.10| 2.29| 2.27| 2.35| 1.97| 2.46| 2.49| 2.30| 2.09| 2.39 |
| 100%    | 2.40| 2.53| 2.12| 1.96| 2.25| 2.43| 1.68| 2.24| 2.19| 1.99| 2.12 |

Table 3

The proposed algorithm has been tested against Cyrus-Beck's, ECB and Rappaport's algorithms on data sets of line segments ($10^5$) with end points that have been randomly and uniformly generated inside a circle in order to eliminate an influence of rotation. Convex polygons were generated as N-sided convex polygons inscribed into a smaller circle.

There are practically no significant differences as far as the percentage is intersecting lines is concerned, see tab.3.

It can be seen that, see tab.3, that the proposed algorithm is significantly faster than CB algorithm. A comparison of ECB and proposed algorithms shows that for $N < 7$ the ECB algorithm is faster than the proposed one. "Waves" for $\nu_2$ are caused by the influence of binary division of an index interval and relation between data and convex polygon position. The waves can
be seen in tab.2 with theoretical estimations, too. The significant difference for \( N = 100 \) is caused by considering the worst cases only in theoretical estimations.

The proposed \( O(\lg N) \) algorithm is approx. two times faster than Rappaport's algorithm and it is much more simple to implement.

It is necessary to point out that careful implementation of conditions like to \( F(x_i) > F(x_j) \) might further improve the efficiency of the proposed algorithm, because of comparison operation is the longest operation after division, see tab.1.

4. Conclusion

The new efficient algorithm of \( O(\lg N) \) complexity for clipping lines against convex window in \( \mathbb{E}^2 \) has been developed. Edges of the given convex polygon can be arbitrarily oriented. It also proved the applicability of Computational Geometry results [CHA87a] even for small \( N \). Similarly as the Rappaport's algorithm the proposed algorithm can be easily modified for polygon clipping. The suggested algorithm also proved the duality principle with the problem point-in-polygon, see [PRE85a], [NIE92a], [NIE92b]. It also proved applicability of principles of Computational Geometry results [CHA87a] even for small \( N \). Similarly as Rappaport's algorithm the proposed algorithm can be modified for polygon clipping, where the clipped polygon might be non-convex. Superiority of the proposed algorithm over CB, ECB and Rappaport's algorithms was proved by theoretical estimations and experimental results.

All tests were implemented in Borland C++ on PC 486/33 MHz 256KB Cache. It is expected that for workstations the efficiency will be higher than for PC 486 as the comparison operation is the longest operation used in the algorithm, see tab.2, and the timing ratio of operations on workstations is be better.

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