Finite element analysis of actively controlled smart plate with patched actuators and sensors

Abstract
The active vibration control of smart plate equipped with patched piezoelectric sensors and actuators is presented in this study. An equivalent single layer third order shear deformation theory is employed to model the kinematics of the plate and to obtain the shear strains. The governing equations of motion are derived using extended Hamilton’s principle. Linear variation of electric potential across the piezoelectric layers in thickness direction is considered. The electrical variable is discretized by Lagrange interpolation function considering two-noded line element. Undamped natural frequencies and the corresponding mode shapes are obtained by solving the eigen value problem with and without electromechanical coupling. The finite element model in nodal variables are transformed into modal model and then recast into state space. The dynamic model is reduced for further analysis using Hankel norm for designing the controller. The optimal control technique is used to control the vibration of the plate.

Keywords
smart plate, FEM, sensors, actuators, vibration control, optimal control, LQG.

1 INTRODUCTION
Study of hybrid composite laminates and sandwich structures, with some embedded or surface bonded piezoelectric sensory actuator layers, known as smart structures, have received significant attention in recent years especially for the development of light weight flexible structures. Distributed piezoelectric sensors and actuators are widely used in the laminated composite and sandwich plates for several structural applications such as shape control, vibration suppression, acoustic control, etc. Embedded or surface bonded piezoelectric elements can be actuated suitably to reduce undesirable displacements and stresses. These laminated composites have excellent strength to weight and stiffness to weight ratios, so they are widely used to control the vibrations and deflections of the structures.

The experimental work of Bailey and Hubbard [2] is usually cited as the first application of piezoelectric materials as actuators for vibration control study. Using a piezoelectric polymer
film as active element on a cantilevered beam, they were able to demonstrate active damping of the first vibrational mode. Crawley and de Luis [6] developed an induced strain actuator model for beams. They formulated static and dynamic analytical models based on the governing equations for beams with embedded and surface bonded piezoelectric actuators to model extension and bending. They presented the results for isotropic and composite cantilever beams with attached and embedded piezoelectric actuators. The study suggest that segmented actuators are always more effective than continuous actuators since the output of each actuator can be individually controlled. They also showed that embedded actuators in composites degrade the ultimate tensile strength, but have no effect on the elastic modulus.

Baz and Poh [3] investigated methods to optimize the location of piezoelectric actuators on beams to minimize the vibration amplitudes. The numerical results of the problem demonstrated the control for vibrations in large flexible structures. Im and Athuri [9] presented a more complete beam model, which accounted for transverse and axial deformations in addition to extension and bending. Governing equations were formulated for a beam with bonded piezoelectric actuators for applications in dynamic motion control of large-scale flexible space structures. Crawley and Anderson [5] developed a model to accurately predict the actuation induced extension and bending in one-dimensional beams. The model neglected shear effects and best suited for the analysis of thin beams.

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Robbins and Reddy [18] developed a piezoelectric layer-wise laminate theory for beam element. The comparisons were made using four different displacement theories (two equivalent single layer theories and two layerwise laminate theories). Hwang and Park [8] presented finite element modeling of piezoelectric sensors and actuators which is based on classical plate theory. Classical theory for beams and plates has been used for active vibration control study of smart beams and plates by many researchers [10, 14, 19]. The classical theory used in these studies to model the structures is based on Kirchhoff-Love’s assumption and hence neglects the transverse shear deformation effects. First order shear deformation theory has been employed for active vibration control of smart beams and plates by [4, 11–13, 21]. This theory however include the effects of transverse shear deformation but require shear correction factors which is a difficult task for the study of smart composite structures with arbitrary lay-up. To overcome these drawbacks, Reddy [17] developed third order shear deformation theory for plates. Peng, et al [16] presented the finite element model for the active vibration control of laminated beams using consistent third order theory. Zhou et al. [24] presented coupled finite element model based on third order theory for dynamic response of smart composite plates. Few studies [7, 22] on active vibration control smart plates have been performed using 3D solid elements but the computation cost is high due to increased number of degrees of freedom.

The performance of smart structure for active vibration control is strongly depends on the control algorithm. A survey on various control algorithms employed for active vibration control study for smart structures is presented by Alkhatib and Golnaragi [1]. In most of the reported works, classical constant gain velocity feedback (CGVF) control algorithm [8, 13, 14, 21, 23] is employed for active vibration control of smart beams and plates. The CGVF control algorithm always yields stable control system if the piezoelectric actuators and sensors are
perfectly collocated. The linear quadratic regulator (LQR) which does not require collocated actuator sensor pairs is employed for vibration control study for smart beams and plates [10–12, 15, 21–23]. The main drawback of LQR control is that it requires the measurement of all the states variables. The linear quadratic Gaussian (LQG) controller which does not require the measurement of all the state variables and formed by employing an optimal observer along with the LQR is employed in very few studies, for e.g. [7] for active vibration control of smart plates.

The objective of the present study is to present active vibration control of smart plate equipped with patched piezoelectric actuators and sensors. The governing equations of motion are derived using extended Hamilton’s principle. The discretization for finite element (FE) is done using four-node rectangular element which is based on third order theory. The electrical variable is discretized by Lagrange interpolation function considering two-noded line element. The resulting finite element equations in nodal variables are transformed into modal form and then recast into state space to design the controller. The dynamic model is reduced for further analysis using Hankel singular values. In this study, three steps have been used for the LQG design: (i) Designing of linear quadratic regulator (LQR) to obtain the control input based on measured state; (ii) Designing of linear quadratic estimator (Kalman observer) to provide an optimal estimate of the states; and (iii) Combine the separately designed optimal regulator and the Kalman filter into an optimal compensator, which generates the input vector based on the estimated state vector rather than the actual state vector, and the measured output vector.

2 MATHEMATICAL MODELING

2.1 Linear constitutive relations of piezoelectricity

The constitutive relations for material behavior of piezoelectric material considering electromechanical behavior can be expressed in tensor notations as follows [20]

\[ \varepsilon_{ij} = S_{ijkl}^{E} \sigma_{kl} + d_{kij} E_{k}, \quad D_{i} = d_{ikl} \sigma_{kl} + b_{ij}^{E} E_{j} \]  

Or in semi inverted form as

\[ \sigma_{ij} = C_{ijkl}^{E} \varepsilon_{kl} - e_{kij} E_{k}, \quad D_{i} = e_{ikl} \varepsilon_{kl} + b_{ij}^{E} E_{j} \]  

where \( \varepsilon_{ij} \) is strain tensor, \( S_{ijkl} \) is elasticity compliance tensor, \( \sigma_{ij} \) is stress tensor, \( d_{kij} \) is the piezoelectric strain constants, \( b_{ij} \) are the electric permitivities (di-electric tensor), \( C_{ijkl} \) is elastic constant tensor, \( e_{kij} \) are piezoelectric stress constants, \( D_{i} \) is electric displacement, and \( E_{k} \) are electric field intensity components.

2.2 Derivation of governing equations

The dynamic governing equations and variationally consistent boundary condition of the smart composite plate with surface bonded piezoelectric actuators, shown in figure (1) is derived using
extended Hamilton’s principle

\[ \delta \int_{t_1}^{t_2} (T - U + W_c + W_{nc}) \, dt = 0 \]  

where \( T \) is the kinetic energy, \( U \) is the electromechanical potential energy (strain energy and electrical potential energy). \( W_c \) and \( W_{nc} \) is the work done by external electrical and mechanical conservative and non conservative forces, respectively. \( t_1 \) and \( t_2 \) are the time instants at which all first variations vanish. Various energy terms in equation (3) are defined as

\[
T = \int_V \frac{1}{2} \rho \{ \dot{u} \}^T \{ \dot{u} \} \, dV \\
U = \int_V \frac{1}{2} \{ \sigma \}^T \{ \varepsilon \} \, dV + \int_{V_p} \frac{1}{2} \{ D \}^T \{ E \} \, dV \\
W_{nc} = \int_V C \{ \ddot{u} \}^T \{ u \} \, dV \\
W_c = \int_V \{ f_b \}^T \{ u \} \, dV + \int_S \{ f_s \}^T \{ u \} \, ds + \{ f_c \}^T \{ u \} + \int_{V_p} q_b \phi dV + \int_{S_p} q_s \psi ds + q_c \phi
\]  

where \( V \) and \( V_p \) denote entire volume of the plate and volume of piezoelectric laminate/patches, respectively, \( S \) and \( S_p \) represent the surface-boundary of the entire domain and piezoelectric boundary, respectively, \( f_b, f_s \) and \( f_c \) are the body force vector, surface tractions vector and concentrated force vector, respectively. \( q_b, q_s \) and \( q_c \) are body, surface and concentrated charge distributions, respectively. \( C \) is material damping constant and \( u \) is displacement vector. Dot over a variable represents time derivative.

The kinematics of the composite plate is modeled by using third order shear deformation theory which is based on the displacement field.
\[ u(x, y, z) = u_0(x, y) + z\psi_x(x, y) - \frac{4z^3}{3h^2}(\psi_x + \frac{\partial w_0}{\partial x}) \]
\[ v(x, y, z) = v_0(x, y) + z\psi_y(x, y) - \frac{4z^3}{3h^2}(\psi_y + \frac{\partial w_0}{\partial y}) \]
\[ w(x, y, z) = w_0(x, y) \]

where \( u_0, v_0 \) and \( w_0 \) are the displacements of a point in the mid plane of laminate in the direction of \( x, y, \) and \( z \) respectively. \( \psi_x \) and \( \psi_y \) are the rotations of the transverse normal in plane, \( z = 0, \) about \( y \) and \( x \) axis respectively, \( h \) is the total thickness of the laminate. Strains are obtained by using the following relation from the above displacement field

\[ \varepsilon_{ij} = \frac{1}{2}(u_{i,j} + u_{j,i}) \]  

The electric potential function \( \phi \) is assumed to vary linearly along the \( z \) direction and is constant in \( x \) and \( y \) directions in the piezoelectric layer and zero in rest of the portion. The piezoelectric layer contains metallic electrode on its top and bottom layers and thus they form equipotential surfaces. Grounding the surface at \( z = z_1 \) i.e. \( \phi = 0 \) and applying a voltage \( \phi = \phi_1 \) at \( z = z_2 \). The transverse variation is given as

\[ \phi = \phi_1(t) \frac{(z - z_1)}{(z_2 - z_1)} \]

The electric field \( E \) is related with the electric potential \( \phi \) by the following relation

\[ \{E\} = - \begin{bmatrix} \frac{\partial \phi}{\partial x} & \frac{\partial \phi}{\partial y} & \frac{\partial \phi}{\partial z} \end{bmatrix}^T \]

thus, we have

\[ E = - \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \frac{(z - z_1)}{(z_2 - z_1)} \phi_1 \]

### 2.3 Finite element discretization

The smart composite plate is discretized into four noded rectangular elements. Each node has seven-degree of freedom i.e. \( \{u_0 \ v_0 \ w_0 \ \psi_x \ \psi_y \ \frac{\partial w_0}{\partial x} \ \frac{\partial w_0}{\partial y}\} \). Piezoelectric elements contain one more electrical degree of freedom for every patch. The displacement variables \( u_0, v_0, \psi_x \) and \( \psi_y \) are expressed in terms of nodal variables using Lagrange interpolation functions of the four-node rectangular element and the electrical variable is discretized by Lagrange interpolation function of the two-node line element. While the transverse displacement variable \( w_0 \) is interpolated using Hermite interpolation.

Using established method of the finite element [24], the following equations of motion for the system is obtained
\[
\begin{bmatrix}
M & 0 & 0 \\
0 & C_{uu} & 0 \\
0 & 0 & 0
\end{bmatrix}
\begin{bmatrix}
\ddot{u}_u \\
\ddot{u}_\phi \\
\ddot{u}_{\phi s}
\end{bmatrix} + 
\begin{bmatrix}
C_{uu} & 0 & 0 \\
0 & 0 & 0 \\
0 & 0 & 0
\end{bmatrix}
\begin{bmatrix}
\dot{u}_u \\
\dot{u}_{\phi} \\
\dot{u}_{\phi s}
\end{bmatrix} + 
\begin{bmatrix}
K_{uu} & K_{u\phi a} & K_{u\phi s} \\
K_{\phi u} & K_{\phi \phi a} & K_{\phi \phi s} \\
K_{\phi u} & K_{\phi \phi a} & K_{\phi \phi s}
\end{bmatrix}
\begin{bmatrix}
u_u \\
u_{\phi a} \\
u_{\phi s}
\end{bmatrix} = 
\begin{bmatrix}
F_u \\
F_{\phi a} \\
0
\end{bmatrix}
\] (10)

where \( M \), \( C_{uu} \) and \( K_{uu} \) are mass, damping and stiffness matrices respectively, \( K_{u\phi} \) and \( K_{\phi a} \) are piezoelectric coupling matrices and \( K_{\phi \phi} \) is the dielectric stiffness matrix. \( F_u \) and \( F_{\phi a} \) denote the mechanical and electrical load vectors respectively, \( u_u \) and \( u_{\phi a} \) are mechanical and electrical displacements respectively. Usually, proportional viscous damping in the model is assumed which is of the form

\[
C_{uu} = \alpha K + \beta M
\] (11)

where \( \alpha \) and \( \beta \) are constants to be determined experimentally.

The overall system Equation (10) can be rewritten in terms of generalized electrical potential for sensors, \( u_{\phi s} \), and for actuators, \( u_{\phi a} \), as follows

\[
\begin{bmatrix}
M & 0 & 0 \\
0 & C_{uu} & 0 \\
0 & 0 & 0
\end{bmatrix}
\begin{bmatrix}
\ddot{u}_u \\
\ddot{u}_{\phi a} \\
\ddot{u}_{\phi s}
\end{bmatrix} + 
\begin{bmatrix}
C_{uu} & 0 & 0 \\
0 & 0 & 0 \\
0 & 0 & 0
\end{bmatrix}
\begin{bmatrix}
\dot{u}_u \\
\dot{u}_{\phi a} \\
\dot{u}_{\phi s}
\end{bmatrix} + 
\begin{bmatrix}
K_{uu} & K_{u\phi a} & K_{u\phi s} \\
K_{\phi u} & K_{\phi \phi a} & K_{\phi \phi s} \\
K_{\phi u} & K_{\phi \phi a} & K_{\phi \phi s}
\end{bmatrix}
\begin{bmatrix}
u_u \\
u_{\phi a} \\
u_{\phi s}
\end{bmatrix} = 
\begin{bmatrix}
F_u \\
F_{\phi a} \\
0
\end{bmatrix}
\] (12)

sensor equation can be deduced from above as follows

\[
K_{u\phi s}^T u_u + K_{\phi \phi s} u_{\phi s} = 0
\]

\[
M \ddot{u}_u + C_{uu} \dot{u}_u + \begin{bmatrix} \begin{bmatrix} K_{uu} & K_{u\phi s} & K_{u\phi s} \\
K_{\phi u} & K_{\phi \phi a} & K_{\phi \phi a} \\
K_{\phi u} & K_{\phi \phi a} & K_{\phi \phi s}
\end{bmatrix} \end{bmatrix} \dot{u}_u = \{ F_u \} - K_{u\phi a} u_{\phi a}
\] (13)

The equation (13) is modified in the form

\[
M \ddot{u}_u + C_{uu} \dot{u}_u + K_{mod} u_u = \{ F_u \} - K_{u\phi a} u_{\phi a}
\] (14)

where, \( K_{mod} = [K_{uu} - K_{u\phi s} K_{\phi \phi s}^{-1} K_{u\phi s}^T] \) is the modified stiffness of the host structure due to piezoelectric effect.

### 2.4 The modal model

In the finite element model, the degrees of freedom of the system are quite large therefore it is required to model the system in modal form. The equation of motion for undamped free vibration can be extracted from finite element model, equation (14) for modal analysis as

\[
M \ddot{u}_u + K_{mod} u_u = 0
\] (15)

The solution of this equation is of the form \( u_u = U_0 e^{j \omega t} \) where \( j = \sqrt{-1} \) is assumed to obtained natural frequencies of the system. Thus there are \( n \) values of natural frequencies of the system \( \omega = \{ \omega_1, \omega_2, ..., \omega_n \} \) and \( \omega_i \) is the \( i^{th} \) natural frequency of the system and the
corresponding eigen vector \( \{ \phi_1, \phi_2, \ldots, \phi_n \} \). \( \phi_i \) gives the \( ith \) natural mode or mode shape. The important property of the natural modes is that they are not unique and therefore they can be arbitrary scaled. Defining the matrix of the natural frequency as

\[
\Omega = \text{diag} \left( \omega_1, \omega_2, \ldots, \omega_n \right)
\]

and the modal matrix \( \Phi \) which consists of \( n \) natural modes of the system can be expressed as

\[
\Phi = \begin{bmatrix} \phi_1 & \phi_2 & \ldots & \phi_n \end{bmatrix}
\]

Where each column of this matrix equation is the eigen vector corresponding to each of the eigen values. Since the mass, stiffness and damping matrices are symmetric, so the modal matrix normalized with respect to mass matrix diagonalizes these matrices as

\[
M_m = \Phi^T M \Phi = I, K_m = \Phi^T K_{mod} \Phi = \Omega^2, C_m = \Phi^T C_{uu} \Phi = \Lambda
\]

here \( M_m, K_m \) and \( C_m \) are diagonal matrices known as modal mass, modal stiffness and modal damping matrices respectively. \( I \) is an identity matrix. The diagonal modal damping matrix \( \Lambda \) with the generic term \( 2\xi_i \omega_i \), where \( \xi_i \) is the modal damping ratio and \( \omega_i \) the undamped natural frequency of the \( i^{th} \) mode. The inclusion of the inherent damping effects in the model is considerably simplified due to the above method.

The governing system dynamics equation (14) is expressed in modal space by introducing a new variable derived by modal transformation

\[
u_u = \Phi q
\]

Pre-multiplying equation (14) by \( \Phi^T \) and employing equations (18) and (19), the second order modal model can be written in its final form as

\[
\ddot{q} + \Lambda \dot{q} + \Omega^2 q = \Phi^T \left[ \{ F_u \} - K_{u\phi_a} u_{\phi a} \right]
\]

The sensor equation can be written into modal space as

\[
K^T_{u\phi s} \Phi q + K_{\phi s} u_{\phi s} = 0
\]

3 ACTIVE VIBRATION CONTROL

3.1 Modal model in terms of state space

The system equation (20) can be written in terms of the state space as follows.

\[
\ddot{x} = Ax + Bu
\]
\[ A = \begin{bmatrix} 0 & I \\ -\Omega & \Lambda \end{bmatrix}, \quad B = \begin{bmatrix} 0 & 0 \\ \Phi^T & -\Phi^T K_{\omega\phi} \end{bmatrix}, \quad u = \begin{bmatrix} F_u \\ u_{\phi a} \end{bmatrix} \]

And the output equation that relates the sensor voltage generated over piezoelectric patches and its rate to states of the model is expressed as

\[ y = Cx \tag{23} \]

Where

\[ C = \begin{bmatrix} -K^{-1}_{\omega\phi} K_{\omega\phi}^T \Phi & 0 \\ 0 & -K^{-1}_{\phi \omega} K_{\phi \omega}^T \Phi \end{bmatrix} \]

### 3.2 Optimal control

In optimal control the feedback control system is designed to minimize the cost function, or performance index. It is proportional to the required measure of the system’s response and to the control inputs required to attenuate the response. The cost function can be chosen to be quadratically dependent on the control input

\[ j = \int_0^T \left[ x^T(t) Q_x x(t) + u^T(t) R u(t) \right] dt \tag{24} \]

Where \( Q_x \) is a positive definite (or positive semi-definite) Hermitian or real symmetric matrix known as state weighting matrix. \( R \) is a positive definite Hermitian or real symmetric matrix known as control cost matrix. There are several parameters which must be considered for the performance index (equation (24)). The first thing is that any control input which will minimize \( j \) will also minimize a scalar number time \( j \). This is mentioned because it is common to find the performance index with a constant of \( \frac{1}{2} \) in front.

The second item to point out is in regard to the limits on the integral in the performance index. The lower limit is the present time, and the upper limit \( T \) is the final or terminal time. So the control input that minimizes the performance index will have some finite time duration, after which it will stop. This form of behavior represents the general case, and is applied in practice to cases such as missile guidance systems. In active sound and vibration control, we normally require a system, which will provide attenuation of unwanted disturbances forever. This corresponds to the special case of equation (24) where the terminal time is infinity.

\[ j = \int_0^\infty \left[ x^T(t) Q_x x(t) + u^T(t) R u(t) \right] dt \tag{25} \]

Equation (25) is referred to as a steady state optimal control problem, and is the form of the problem to which we will confine our discussion.

Both coupled control and independent control methods can be employed for active structural control. Coupled control is desirable when simultaneous control of multimode is required.
Two feedback control laws are available for controller design: state feedback and output feedback. Linear quadratic regulator (LQR) is usually employed to determine the feedback gain. The feedback gain $K$ is chosen to minimize a quadratic cost or performance index (PI) of equation (24). State feedback Linear Quadratic Regulator (LQR) considered here is governed by the control law

$$u = -Gx$$

The steady state feedback control gain $K$ in equation (26) is given by

$$G = R^{-1}B^TP$$

where $P$ is the solution of the matrix Riccati equation

$$A^TP + PA - PBR^{-1}B^TP + Q_x = 0.$$  

Finally it is assumed that all the states of the system are completely observable and therefore directly related to the outputs of the system and used in the control system. But this is not always the case and more realistic approach is desired which consider that only the output of the system can be known and measurable. Therefore, to incorporate the states information in the control system, it is necessary to estimate the states of the system from the model. The estimation of the states of the system is done by using Kalman Filter which is the state observer or state estimator.

In order to use Kalman filter to remove noise from a signal, the process must be such that it can be described by a linear system. The state equation for our system is modified to

$$\dot{x} = Ax + Bu + w$$

and output equation is given by

$$y = Cx + z$$

where, $w$ is called the process noise which may arise due to modeling errors such as neglecting non-linearities, $z$ is called the measurement noise. The vector $x$ contains all the information about the present state of the system. We cannot measure the state $x$ directly so we measure $y$, which is a function of $x$ and corrupted by $z$. We can now use the value of $y$ to estimate $x$, but we cannot get the full information about $x$ from $y$ due the presence of errors like noise which may be due to instrument errors. To control the systems with some feedback, we need accurate estimation of state variable $x$. The Kalman filter uses the available measurements $y$ to estimate the states of the system. For that estimation we have the following requirements.

1. The average value of the state estimate should be equal to the average value of the true states. Also the expected value of the state estimate should be equal to the expected value of the true state.
2. The variation between the state estimate and the true state should be as small as possible. So, we require an estimator with smallest possible error variance.

The above two requirements of the estimator are well satisfied by the Kalman filter. The assumptions about noise that affects the performance of our system are as follows. The mean of \( w \) and \( z \) should be zero and they are independent random variables, i.e. the noise should be a white noise. We define the noise covariance matrices \( S_w \) and \( S_z \) for process noise and measurement noise covariance as

\[
S_w = E\{w_kw_k^T\}, \quad S_z = E\{z_kz_k^T\}
\]

The control law developed for the LQG controller is based on the estimation \( \hat{x} \) of the states \( x \) of the system rather than the actual states of the system, which is given by

\[
u = -G_k\hat{x}(t)
\]

Where, \( G_k \) is the gain of the Kalman estimator and is obtained by

\[
G_k = MC^TS_z^{-1}
\]

Where \( M \) is the gain of another steady state matrix Riccati equation

\[
MAT^T + AM + S_w - MC^TS_z^{-1}CM = 0
\]

The dynamic behavior of the Kalman estimator is given by the following first order linear differential equations

\[
\dot{\hat{x}} = A\hat{x} + Bu + G_k(Cx + z - C\hat{x})
\]

\[
\dot{e} = (A - G_kC)e + w - G_kz
\]

Due to the presence of \( w \) and \( z \), the estimation error is not converges to zero, but it would remains as small as possible by proper selection of \( G_k \).

Thus the controlled LQG closed loop can take the final form

\[
\dot{x} = (A - BG)x + BG\dot{e} + w
\]

\[
\dot{e} = (A - G_kC)e - G_kz + w
\]

or in matrix form,

\[
\begin{bmatrix}
\dot{x} \\
\dot{e}
\end{bmatrix} = \begin{bmatrix}
A - BG & BG \\
0 & A - G_kC
\end{bmatrix} \begin{bmatrix}
x \\ e
\end{bmatrix} + \begin{bmatrix}
I & 0 \\
I & -G_k
\end{bmatrix} \begin{bmatrix}
w \\ z
\end{bmatrix}
\]

And the system’s output is

\[
y = \begin{bmatrix}
C & 0
\end{bmatrix} \begin{bmatrix}
x \\ e
\end{bmatrix} + \begin{bmatrix}
0 \\
I
\end{bmatrix} \begin{bmatrix}
w \\ z
\end{bmatrix}
\]
4 NUMERICAL RESULTS

4.1 Validation of numerical code and comparison

For the validation of the MATLAB code developed for the finite element analysis of the piezoelectric plate, we solved the simple benchmark static problem of Hwang and Park [8] and compared the results obtained with the published results.

In this case, we consider a bimorph cantilever plate made of two layers of PVDF which are pooled in ±z direction. The length of the width of the laminate is 100 mm and 5 mm respectively and the thickness of each lamina is 0.5 mm. The configuration of elastic PVDF bimorph is labeled in figure 2, which is fixed on one side and free at the other side. The physical dimensions and material properties of the bimorph are listed in the table 1.

![Figure 2](image)

**Figure 2** Woo-Seok Hwang and Hyun Chul Park problem.

| Material Properties | PZT       | Host Plate | PVDF       |
|----------------------|-----------|------------|------------|
| Young’s Modulus      | $63 \times 10^9$ | $70 \times 10^9$ | $2.0 \times 10^9$ |
| $E_1 = E_2 = E_3$ (N/m$^2$) |           |            |            |
| Poisson Ratio, $\nu$ | 0.28      | 0.32       | 0.29       |
| Density (Kg/m$^3$)   | 7600      | 2700       | 1800       |
| Length (m)           | 0.06(each patch) | 0.3       | 0.1       |
| width (m)            | 0.025(each patch) | 0.2       | $5 \times 10^{-3}$ |
| Thickness (m)        | $0.63 \times 10^{-3}$ (each patch) | $0.8 \times 10^{-3}$ | $0.5 \times 10^{-3}$ |
| $G_{12} = G_{23} = G_{13}$, (N/m$^2$) | $24.8 \times 10^9$ | —        | $7.75 \times 10^9$ |
| $d_{31}, d_{32}, d_{33}, d_{24}, d_{15}$ (pm/V) | -220, -220, 374, 670, 670 | —        | 22, 22, 0, 0, 0, 0 |
| $\varepsilon_{11}, \varepsilon_{22}, \varepsilon_{33}$ (nF/m) | 15.3, 15.3, 15 | —        | 0.1062, 0.1062, 0.1062 |

The beam is discretized into five rectangular elements exactly as in reference [8]. The deflection of the beam along the length for electric potential of 1 Volt applied across the bimorph
is calculated and compared with the published results given in reference [8]. The variation of tip deflection for different applied potentials is studied and the results and presented in figure 3 along with Hwang’s work. Figure 4 shows the variation of tip deflection for different applied potentials across the bimorph. It can be observed from these plots that the results obtained are in excellent agreement with those presented in the reference. Therefore it can be used for further analysis with confidence.
4.2 Numerical example

A rectangular aluminum cantilever plate with four rectangular PZT patches, bonded to the top surface of the plate, are used as actuators and four other PZT patches bonded symmetrically to bottom surface are to be used as sensor, forming four sets of collocated actuator-sensor pairs. The configuration is depicted in figure 5. The material properties and geometrical dimensions of the host plate and PZT layers are listed in the table 1. The problem domain has been descritized in 160 rectangular identical elements as shown in figure 6.

The modal analysis has been performed for two cases:

**Case 1.** The piezoelectric patches are short circuited thereby rendering ineffective the piezoelectric coupling effect that enhances the stiffness of otherwise passive structure. This case includes the pure structural stiffness of the PZT patches and modal analysis involves the solution of following Eigen value problem.

\[ |K_{uu} - \omega^2 M| = 0 \]

Since the patches are short circuited, no electrical potential across them will build up due to deformation that reduces the coupling terms to zero.

**Case 2.** In this case, all 8 PZT patches are acting as sensor hence modifying the eigen value problem as,

\[ |K_{mod} - \omega^2 M| = 0 \quad \text{Where} \quad K_{mod} = [K_{uu} - K_{u\phi s} K_{\phi\phi s}^{-1} K_{u\phi s}^T] \]

The electrical degree of freedom has been condensed out. These eigen value problems has been solved using MATLAB’s eig subroutine and the lowest 20 natural frequencies for the cantilever plate is given in table 2 and the corresponding first eight mode shapes are shown in figure 7.
Figure 6 Plate geometry with FEM grids.

Table 2 First twenty natural frequencies of the plate.

| Mode order | Natural Frequency (Hz) Case 1 | Natural Frequency (Hz) Case 2 |
|------------|------------------------------|------------------------------|
| 1          | 7.5236e+000                  | 7.5639e+000                  |
| 2          | 2.5195e+001                  | 2.5221e+001                  |
| 3          | 4.5542e+001                  | 4.5591e+001                  |
| 4          | 9.0215e+001                  | 9.0371e+001                  |
| 5          | 1.2303e+002                  | 1.2310e+002                  |
| 6          | 1.4762e+002                  | 1.4956e+002                  |
| 7          | 1.8316e+002                  | 1.8511e+002                  |
| 8          | 2.3405e+002                  | 2.3405e+002                  |
| 9          | 3.2693e+002                  | 3.2818e+002                  |
| 10         | 3.2804e+002                  | 3.3568e+002                  |
| 11         | 3.6868e+002                  | 3.6872e+002                  |
| 12         | 3.7030e+002                  | 3.7819e+002                  |
| 13         | 4.1881e+002                  | 4.1950e+002                  |
| 14         | 4.8440e+002                  | 4.8752e+002                  |
| 15         | 5.2214e+002                  | 5.2691e+002                  |
| 16         | 5.4899e+002                  | 5.5660e+002                  |
| 17         | 5.7522e+002                  | 5.7620e+002                  |
| 18         | 6.7228e+002                  | 6.7294e+002                  |
| 19         | 6.9353e+002                  | 6.9509e+002                  |
| 20         | 7.2616e+002                  | 7.2785e+002                  |
The FEM model of the problem considered here consists of 1260 DOF whose state space model will be of 2520 linear differential equation that is computationally expensive. Model reduction technique is employed to reduce the size of problem. The Hankel singular values present the measure of energy content in various states and herein are being used to truncate the model. In the figure 8 Hankel singular values of the FEM model after transforming it into modal space (100 modes considered) and then recasting into state space format is presented. It can be seen that lowest 20 modes contains most of the system energy thus it is reasonable to consider only 10 normal modes for further investigation of system dynamics.
4.3 Design of LQG controller

The ultimate aim of a feedback control system is to achieve the maximum control over the system dynamics keeping in view of hardware limitations. The 5 inputs, 4 piezoelectric actuators and one mechanical point force and 4 outputs, the 4 piezoelectric sensors constitute the MIMO system shown in figure 5. The LQG regulator consists of two parts an optimal controller and a state estimator (Kalman observer). The controller gain is calculated by optimizing the functional of equation (25). The optimal gain matrix which is obtained from the solution of the matrix Riccati equation for a choice of state weighing matrix $Q$ as diagonal matrix whose first element is $10^{12}$ and the remaining elements are unity. This choice is to give priority to control the first mode. Control effort matrix, $R$, is chosen $100 \times I$ where $I$ is an identity matrix. This value is chosen in such a way to keep the actuator voltage under the specified limit. The linear quadratic optimal gain matrix is presented in table 3 which shows that the entries of the optimal gains matrix for columns 1 and 11 which correspond to first mode for modal displacements and velocities are larger than other entries correspond to remaining modes, which indicate that the designed controller is more effective for controlling the vibrations for first mode but can effectively control the vibrations for other modes also.

The entries of optimal gain matrix in rows 1 and 3 which correspond inputs through actuators 1 and 3 are larger (about 10 times) for all modes as compared with the entries for inputs through actuator 2 and 4. This indicates that more control effort is needed at actuator 1 and 3 for controlling the vibration of the plate. All calculations have been done using MATLAB 7.1.

The Kalman filter design is based on 4 noisy measured outputs of the sensors and five inputs to the system that includes 4 actuators and one mechanical point force input on tip of cantilever plate. Measured outputs and inputs are subjected to White Gaussian noise having variance of $1 \times 10^{-4}$ N$^2$ for mechanical input channel and 1 volt$^2$ for sensor outputs. Steady state Kalman gain matrix is given in table 4.
Table 3  Optimal Gain Matrix, $G$.

| Columns 1 through 5 |  |  |  |  |
|---------------------|-----------------|-----------------|-----------------|-----------------|
| -1.4612             | -5.6473         | 5.5438          | -0.26452        | -5.742          |
| -1.3712             | -0.53022        | 0.52049         | -0.02484        | -0.5393         |
| -1.4610             | -5.6471         | 5.5435          | -0.26451        | -5.7419         |
| -1.3729             | -0.5304         | 0.5208          | -0.02485        | -0.53939        |

| Columns 6 through 10 |  |  |  |  |
|----------------------|-----------------|-----------------|-----------------|-----------------|
| -24.618              | -0.16777        | 0.92777         | 0.002765        | 35.039          |
| -2.3118              | -0.01575        | 0.087122        | 0.000258        | 3.2906          |
| -24.618              | -0.16774        | 0.92776         | 0.002761        | 35.039          |
| -2.3121              | -0.01575        | 0.087125        | 0.000261        | 3.2906          |

| Columns 11 through 15|  |  |  |  |
|----------------------|-----------------|-----------------|-----------------|-----------------|
| -4032.1              | -0.83322        | 0.63426         | -0.01871        | -0.31514        |
| -378.69              | -0.07829        | 0.059551        | -0.00176        | -0.02961        |
| -4032.1              | -0.83328        | 0.63426         | -0.01874        | -0.31515        |
| -378.65              | -0.07822        | 0.059545        | -0.00175        | -0.0296         |

| Columns 16 through 20|  |  |  |  |
|----------------------|-----------------|-----------------|-----------------|-----------------|
| -1.1561              | -0.00649        | 0.028878        | 7.37E-05        | 0.79764         |
| -0.10862             | -0.00064        | 0.002711        | 3.36E-05        | 0.074871        |
| -1.1561              | -0.00657        | 0.028878        | 5.55E-05        | 0.79764         |
| -0.10861             | -0.00059        | 0.002711        | -2.15E-05       | 0.074863        |

Table 4  Steady state Kalman gain matrix, $G_k$.

|  | -5.7093e-005 | -5.7089e-005 | -5.7093e-005 | -5.7089e-005 |
|  | -1.2557e-007 | -1.1804e-008 | -1.2557e-007 | -1.1804e-008 |
|  | -1.4368e-005 | -4.5093e-005 | -1.4368e-005 | -4.5093e-005 |
|  | -3.0759e-009 | -7.952e-010  | -3.0759e-009 | -7.952e-010  |
|  | 9.8182e-006  | 8.0229e-006  | 9.8182e-006  | 8.0229e-006  |
|  | 7.9915e-006  | 7.3316e-006  | 7.9915e-006  | 7.3316e-006  |
|  | -9.434e-010  | 5.5201e-011  | -9.434e-010  | 5.5201e-011  |
|  | -1.1325e-007 | -7.7762e-008 | -1.1325e-007 | -7.7762e-008 |
|  | 8.774e-010   | 8.3681e-010  | 8.774e-010   | 8.3681e-010  |
|  | 7.4606e-006  | 7.2951e-006  | 7.4606e-006  | 7.2951e-006  |
|  | -0.0036009   | -0.0018818   | -0.0036009   | -0.0018818   |
|  | -7.6714e-007 | -3.9994e-007 | -7.6714e-007 | -3.9994e-007 |
|  | 0.00024819   | -0.0011062   | 0.00024819   | -0.0011062   |
|  | -1.5123e-008 | -2.0148e-008 | -1.5123e-008 | -2.0148e-008 |
|  | 0.0012739    | -0.0023066   | 0.0012739    | -0.0023066   |
|  | -0.0006839   | -0.00014206  | -0.0006839   | -0.00014206  |
|  | 3.1234e-009  | 5.5673e-009  | 3.1234e-009  | 5.5673e-009  |
|  | 0.0010656    | 0.00046203   | 0.0010656    | 0.00046203   |
|  | 5.7771e-008  | 5.8099e-010  | 5.7771e-008  | 5.8099e-010  |
|  | -0.00040051  | 0.00011638   | -0.00040051  | 0.00011638   |
|  | -0.000608    | -5.7093e-005 | -0.000608    | -5.7093e-005 |
After obtaining the controller and observer gain matrix now system is exited with given initial condition. Initial condition vector is derived by deforming the plate by a 0.5 N force in the direction of z at mid of the tip. Deflection thereby obtained is transformed into modal space using weighted modal matrix. That in turn has been used as initial condition modal displacement vector with conjunction of zero modal velocities. The various parameters of system response are presented in figures 9-12. Points are shown on grid whose time history is presented in figure 6. Sensor and actuator voltages on S/A pairs (1, 3) is higher than the S/A pairs (2, 4) because of their nearness to fixed end thereby having large strains. Since the plate was excited in such a way that the first bending mode was dominating the system behavior and for that reason, a large state weight was attributed to the element that corresponds to the 1st mode in state weighing matrix $Q$. From Figure 12 one can infer that first mode is decaying faster than other modes.

![Figure 9](image-url) Displacement time histories of selected points.

5 CONCLUSIONS

In this work a numerical analysis of active vibration control of smart flexible structures is presented. Linear Quadratic Gaussian (LQG) controller was designed for controlling the lateral vibrations of the plate which is based on the optimal control technique. The control model assumes that four piezoelectric patches out of eight acts as distributed sensors, the other four acts as distributed actuators, and the signals generated through was used as a feedback reference in the closed loop control system. The designed model provides a means to accurately...
Figure 10  Control voltages applied on actuators vs. time history.

Figure 11  Sensors voltages vs. time history.
model the dynamic behavior and control strategies for vibration of smart structures with piezoelectric actuators and sensors.

The natural frequencies of vibration are obtained with and without electromechanical coupling. It is observed that electromechanical coupling effect is more effective for lower frequencies. Since most of the energy is associated with the first few modes, therefore these modes only need to be controlled. As observed from plots, the control model is quite effective. The designed LQG controller is quite useful for multi-input-multi-output (MIMO) systems.

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