Nanostructured Diamond-Like Carbon Films Grown by Off-Axis Pulsed Laser Deposition

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1. Introduction

Carbon-based nanomaterials such as C60, carbon nanotubes, and graphene have fascinating structure based on sp² hybridization. sp³ phase containing diamond or diamond-like structure requires high temperature high pressure condition or metastable states for formation and is thus more difficult to be incorporated in devices. Pulsed laser deposition (PLD) has been used in growing hydrogen-free amorphous carbon (a-C) or diamond-like carbon (DLC) films which has a substantial amount of sp³ hybridization at room temperature in vacuum owing to the highly energetic plasma plume [1, 2]. The carbon species produced by a nanosecond laser reached up to few hundred eV and thus DLC films growth can be explained by subplantation growth by hyperthermal species [3]. In this mechanism, C species with high energy are needed to undergo a shallow subsurface implantation that enables sp³ bonds formation and densification. The resultant DLC films are smooth and have very low coefficient of friction [4, 5]. Ultrasmooth DLC films are excellent tribological coating. On the other hand, nanostructured carbon films are also grown by using chemical vapor deposition [7] and ion-assisted process [8] at high temperature. However, the nanostructured films normally contain only a small fraction of sp³ hybridized carbon network and thus are more graphitic. Nanostructured DLC films may warrant some new applications in sensing or energy storage where large surface area is needed.

In this work, nanostructured DLC instead of smooth films are produced in off-axis PLD at room temperature. The properties of DLC nanostructured film are compared with ultrasmooth DLC films and the configuration that enables the formation is discussed. The growth configuration can be extended to induce nanostructured growth for other materials.

2. Experimental

Pulsed laser ablation of pyrolytic graphite (99.999% purity, Kurt J. Lesker) was performed by using Nd:YAG laser (EKPLA, 355 nm) in background pressure of 10⁻⁶ Torr. Deposition was performed with laser fluence of 12.5 J/cm² at room temperature. Laser beam was directed at an incident...
angle of 45° to the target. Precleaned Si (100) substrate was placed at three positions in separate experiments as shown in Figure 1. In the first deposition, a substrate was placed on-axis position, parallel and at 3 cm from the target; the sample is denoted as sample A90. θ is the angle between the target surface and the laser-produce plasma plume. In subsequent depositions, Si substrate was placed at two different off-axis positions (Figure 1): Si substrate was placed at θ = 45° and 5 mm from the ablation area (sample C0), while, in another deposition, Si substrate was placed perpendicular to the target but centered at 3 cm from the target (sample C25). Deposition was performed at 10 Hz repetition rate for 6000 pulses for all the experiments. The effective angle where the plasma plume/species arrived onto the substrate is defined as α with reference to the substrate. So α = 0° for sample C0 where plasma plume is parallel to the substrate, α = 45° to 25° for sample C25, and α = 90° for sample A90.

An ion probe was used to measure the velocity and kinetic energy of carbon species ejected at different angle (θ) from the target at fixed laser fluence. The as-deposited samples were analyzed by using profilometer (Mahr), microRaman spectroscopy (514 nm, Renishaw-MicroRaman 2000), and atomic force microscope (AFM) (Nanoscope III, Digital Instruments).

### 3. Results and Discussions

#### 3.1. Ion Energy

The time of flight of the ions arriving at different plume angle θ was captured by the ion probe at 3 cm from the target (Figure 2) and the ion signals collected at different angles of the plume are shown in Figure 3. The angular dependence of peak velocity and kinetic energy of the ions are shown in Table 1. The ion energy and the intensity of the signal reduced when the plume angle decreased. The results indicate that ion density and ion energy were lower at smaller plume angle.

In the typical on-axis position (A90), where the substrate was parallel to the target, plasma plume with high energy would propagate perpendicular to the target surface towards substrate A90 as in any conventional pulsed laser deposition process. The ion density and energy at this angle (90°) were measured to be highest. For sample C0, the ion energy was 35 eV and, for C25, the ion energy range was ∼35–70 eV.

#### 3.2. DLC Films

The morphology of the deposited layers is shown in Figure 4. An ultrasmooth surface with roughness of 0.12 nm was obtained for the on-axis film A90. On the other hand, small numbers of nanosized particles were detected on smooth film in sample C25 and nanostructured films were obtained in off-axis sample C0. Cross section analysis of the nanostructures gives a lateral size of ∼50 nm and height of ∼5 nm. However, it was noted that the adherence of nanostructured film C0 was poor.

Despite the difference in morphologies, all of the samples displayed the characteristics Raman spectra for amorphous carbon films (Figure 5). At 514 nm excitation wavelength, the light source coincides with the optical absorption of $\pi \rightarrow \pi^*$ for sp$^2$ sites. When these sites are excited, the Raman signal for DLC generally has a broad spectrum, which is asymmetrically centred at ∼1560 cm$^{-1}$ in contrast to sharp

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**Figure 1:** On-axis (A90) and off-axis (C0 and C25) deposition configuration for diamond-like carbon. Plasma plume was collected at different angle, $\theta$ from the target.

**Figure 2:** Ion probe position for ions detection in vacuum chamber.

**Figure 3:** Ion probe position for ions detection in vacuum chamber.

**Figure 4:** Ion probe position for ions detection in vacuum chamber.

**Figure 5:** Ion probe position for ions detection in vacuum chamber.

**Table 1:** The velocity and kinetic energy of the ions collected at different angle.

| Angle (degree) | Velocity (km s$^{-1}$) | Kinetic energy (eV) |
|---------------|------------------------|---------------------|
| 30            | 22.71                  | 32.14               |
| 45            | 23.73                  | 35.10               |
| 60            | 33.48                  | 69.86               |
| 90            | 46.88                  | 136.92              |

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Pyrolytic graphite target

beam at 45°

Incident laser

65°
on-axis position

Substrates at A90

90°

45°

3 cm

C25

Substrates at off-axis position

0°

Pyrolytic graphite target

Incident laser beam at 45°
peaks of diamond and graphite. The broad Raman spectrum of DLC can be deconvoluted into 2 smooth curves, which are known as the D-peak and the G-peak [15]. The D-peak is the disorder-induced band that arises due to the stretching modes of sp$^2$ in the ring while the G-peak is ascribed to the stretching of all pairs of sp$^2$ in the ring and chains. Although the visible Raman spectra depend formally on the configuration of the sp$^2$ sites in sp$^2$-bonded clusters, sp$^2$ clustering is controlled by sp$^3$ fraction in as-deposited amorphous carbon films. Based on the effects, a three-stage model has been reported to account for the amorphization path of graphite in these films. This results in two important parameters: the intensity ratio $I_D/I_G$ and the G-peak position which serve as a useful guide to the amount of sp$^3$ fraction in the films.

In order to compare the samples, the spectra obtained were fitted with 2 Gaussian curves (in red) in Figure 5. The intensity ratio $I_D/I_G$ and G-peak position were extracted and tabulated in Table 2. For the samples grown by PLD, the off-axis film A90 has the lowest $I_D/I_G$ ratio, followed by C25 and then nanostructured film C0. The Raman spectra of a commercially sputtered DLC film were also obtained and the fitting yields $I_D/I_G$ ratio of 0.73, higher than the three films grown in this work. It is also noted that sample C25 is thinner than A90 or C0, as seen in the higher intensity peak of the Si substrate at about 960 cm$^{-1}$. Based on both $I_D/I_G$ and G-peak position, the films grown in this study fell within stage 2 and stage 3 in the amorphization trajectory [15], which corresponds to sp$^3$ fraction in the range of 0–20% for C0 and C25 and 20–85% for sample A0.

Alternatively, the Raman spectrum can also be fitted mathematically by a Breit-Wigner-Fano (BWF) with or without a Lorentzian [16], which is given by

$$I(\omega) = \frac{I_o \left[ 1 + 2 \left( \omega - \omega_o \right) / Q \Gamma \right]^2}{1 + \left[ 2 \left( \omega - \omega_o \right) / \Gamma \right]^2} + (a + b\omega),$$  

where $I(\omega)$ is the Raman intensity as a function of Raman shift, $\omega, \omega_o, I_o$, and $\Gamma$ are the peak position, peak height, and full width at half maximum (FWHM), respectively, and $a$ and $b$ are constant. The factor $Q$ represents the skewness of the spectra, and a negative value serves as an indication of high sp$^3$ film, such as for A0, and the sp$^3$ fraction reduced for sample C25 and C0. The same trend was observed by using Gaussian fit.

In addition to the fraction of sp$^3$ in the films, $I_D/I_G$ is also related to the cluster diameter ($L_c$) in the films. In highly disordered amorphous carbon, $I_D/I_G = C'(\lambda)L_c^2$, where $C'(\lambda)$ is an excitation wavelength-dependence constant [15]. Thus, $C'(514 \text{ nm}) \sim 0.0055$ in this study. The deduced cluster sizes are shown in Table 2, where the value increased for the off-axis samples. Thus, the slow species in the plume that travelled at small angle upon ablation results in larger clusters formation.

### Table 2: The characteristics of Raman spectra of DLC films deposited at different positions.

| Sample | C0 | C25 | A90 |
|--------|----|-----|-----|
| Gaussian fit | $G$-peak (cm$^{-1}$) | 1558.47 | 1552.99 | 1557.72 |
| | $I_D/I_G$ | 0.68 | 0.50 | 0.26 |
| BWF fit | $Q$ | -3.02 | -6.27 | -8.96 |
| | $I_D/I_G$ | 0.16 | 0.027 | ~0 |
| sp$^3$ fraction | Increasing $\rightarrow$ | | |
| | $L_c$ (Å) | 11.10 | 9.53 | 6.86 |
| Clusters area | Decreasing $\rightarrow$ | | |

#### 3.3. Discussions. The morphology or formation of nanostructures has often been related to deposition in the background gas, heated substrate, or deposition of incident carbon species at an angle. In the presence of background gas, plasma plume expansion is confined and collisions among ablated species occur and clusters or nanoparticles formation can be enhanced [9–12]. At the more extreme regime where the ion energy and the confinement of the background are higher, DLC nanoparticles with size of 10 nm were obtained by using femtosecond laser ablation in liquid [17]. It is interesting to note that the Raman spectra obtained here are similar to those obtained from the DLC nanoparticles. As mentioned earlier, carbon nanostructures can also be grown at high substrate temperature [6–8]. The structuring evolution from amorphous carbon to nanoparticles growth by PLD has been studied in detail with respect to background gas and substrate temperature [7]. Transition of smooth amorphous films to nanostructuring occurred together with phase change from diamond-like/sp$^2$ to graphitic/sp$^2$ phase, when substrate is heated, or with the presence of He, or both [7]. It was noted that substrate heating or background gas would not promote nanostructures formation without sacrificing the sp$^3$ phases in the films. In contrast, our results have shown that nanostructures with sp$^3$ phases can be directly grown without substrate heating and in vacuum. The sp$^3$ fraction of the nanostructured DLC film C0 was also higher than those deposited at high temperature, as can be seen in its relatively lower D-peak as compared to those reported by others [7, 8]. Thus, the morphological and the sp$^3$/diamond-like characteristics of the films should be explained taking into
Figure 4: AFM images of deposited samples at (a) on-axis A90 and off-axis position, (b) C25, and (c) C0 (500 nm × 500 nm, 20 nm scale bar).
account the combined effects on the deposition configuration based on the following consideration.

Firstly, the structures obtained here are similar to those obtained by oblique angle deposition where columnar structure or even periodic arrays of nanostructure were produced [18, 19]. In the reports, forward directed plume was utilized, but with the substrate positioned such that high density of deposition flux arrives at an angle with the substrate normal. Materials growth was explained by deposition of flux with arbitrarily chosen incidence angle, vapor condensation followed by shadowing, and surface diffusion processed that finally results in preferential structural growth. Micro- and nanostructures were also detected in our earlier work when the substrates were placed directly on the target surface where deposition relying mostly on backward scattered plume [20]. In the current configuration, forward directed plasma plume arrived at \( \alpha = 0^\circ \) effective angle (plasma plume is parallel to the substrate) onto the substrate almost immediately after plume generation and expansion for sample C0 as the substrate was positioned 5 mm from the target. The intense plasma density results in small and homogeneous nanostructures. Some sparse nanosized particles were found on sample C25 which was \( \sim 3 \) cm from the target at larger effective angle.

Secondly, the formation of \( sp^3 \) hybridized carbon has been ascribed mainly to subplantation process. This process of penetration of energetic species into subsurface layer can result in a lower surface resistivity because low \( sp^3 \) layer will remain on the surface. The penetration also results in slower growth rate of DLC on carbide-forming Si because of the formation of an interface region, as observed in our previous work [21]. One of the crucial requirements for subplantation is that the ion energy has to be sufficiency high [22]. In the report, DLC films with \( sp^3 \) fraction above 50% were obtained.

Figure 5: Raman spectra of deposited samples at (a) on-axis A90, with Raman spectra of a commercial DLC sample in the inset, (b) off-axis C25, and (c) off-axis position C0.
with ion energy of ~30 eV. In this experiment, the ion energy was ~35 eV for sample C0 that suggests some amount of sp³ is still possible. The formation of larger clusters at small plume angle also suggests that other processes such as condensation or quenching of moderate energy C species into clusters coexisted. Thus, the nanostructured DLC films have poor adherence as compared to a solely subplanted DLC film. The condensed nanoclusters acted as seeds for nanostructures formation on the off-axis substrate.

4. Conclusion

Highly energetic ionized species were ejected perpendicular of the target that produced ultrasmooth DLC film in PLD. Less energetic ions travel at small angle from the target and result in nanostructured DLC films in vacuum and at room temperature. This was achieved in a simple deposition configuration when the substrate was placed at 45°, 5 mm from the target where the plume density was sufficiently high. The formation of nanostructured DLC in this deposition configuration involved oblique angle deposition that gives rise to nanostructural growth, subplantation process by moderately energetic species, and quenching of C clusters in the plasma plume that subsequently seeded the nanostructural growth. The results also suggest that the method can be used to obtain nanostructured growth in other materials in PLD.

Conflict of Interests

The authors declare that there is no conflict of interests regarding the publication of this paper.

Acknowledgments

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