Clinical impact of D3 lymph node dissection with left colic artery (LCA) preservation compared to D3 without LCA preservation: Exploratory subgroup analysis of data from JCOG0404

Tomonori Akagi1 | Masafumi Inomata1 | Takao Hara1 | Junki Mizusawa2 | Hiroshi Katayama2 | Dai Shida3 | Masayuki Ohue4 | Masaaki Ito5 | Yosuke Kinugasa6 | Yoshitaka Saida7 | Tadahiko Masaki8 | Seiichiro Yamamoto3 | Tsunekazu Hanai9 | Shigeki Yamaguchi10 | Masahiko Watanabe11 | Kenichi Sugihara12 | Haruhiko Fukuda2 | Yukihide Kanemitsu3 | Seigo Kitano1

1Gastroenterological and Pediatric Surgery, Oita University of Faculty of Medicine, Yufu, Japan
2Japan Clinical Oncology Group Data Center/Operations Office, National Cancer Center Hospital, Tokyo, Japan
3Department of Colorectal Surgery, National Cancer Center, Tokyo, Japan
4Department of Surgery, Osaka International Cancer Institute, Osaka, Japan
5Department of Colorectal Surgery, National Cancer Center Hospital East, Kashiwa, Japan
6Department of Gastrointestinal Surgery, Tokyo Medical and Dental University, Tokyo, Japan
7Department of Colorectal Surgery, Toho University, Tokyo, Japan
8Department of Surgery, Kyorin University, Tokyo, Japan
9Department of Surgery, Fujita Health University, Aichi, Japan
10Department of Gastroenterological Surgery, Saitama Medical University International Medical Center, Saitama, Japan
11Department of Surgery, Kitasato University School of Medicine, Sagamihara, Japan
12Department of Surgery, Tokyo Medical and Dental University, Tokyo, Japan

Abstract

Aim: We investigated the clinical impact of D3 lymph node dissection preserving left colic artery (LCA) compared to D3 without LCA preservation using data from JCOG0404. LCA preservation is expected to maintain adequate blood supply, which is effective in preventing anastomotic leakage, intestinal paralysis, and bowel obstruction. Whether D3 with LCA preservation (Group A) improves clinical outcomes following resection of sigmoid colon cancer compared to D3 without LCA preservation (Group B) is unclear.

Methods: Procedure type was identified from photographs of the surgical field collected for central surgical review in JCOG0404. Clinical outcomes were compared between each procedure.

Results: Among the 1057 randomized patients in JCOG0404, 631 patients receiving sigmoid colectomy or anterior resection were included in the subgroup analysis. Group A comprised of 135 patients and Group B of 496 patients. Patient backgrounds did not differ between groups. Median operative time, blood loss, anastomotic leakage, and intestinal paralysis were not remarkably different (Group A vs Group B: 185 vs 186 minutes, 60 vs 50 mL, 3.0% vs 5.0%, and 2.2% vs 3.8%). More overall postoperative complications occurred in Group B than Group A (21.6% vs 9.6%, P = .022). Five-year relapse-free survival (RFS) and overall survival (OS) tended to be better in Group A than Group B (RFS: 83.7% and 80.5%, HR 0.80 [95% CI 0.51-1.26], OS: 96.3% and 91.1%, HR 0.41 [95% CI 0.19-0.89]).
CONCLUSIONS: Short- and long-term outcomes tend to be better in Group A than Group B, indicating that preservation of LCA could be an alternative treatment.

KEYWORDS
colon cancer, D3, left colic artery preserving, long-term outcomes, postoperative complications

1 | INTRODUCTION

The Japanese Society for Cancer of the Colon and Rectum (JSCCR) guidelines 2019 for the treatment of colorectal cancer recommend D3 lymph node dissection for clinical stage II/III colorectal cancer.1 Still now, during curative resection of sigmoid colon and rectosigmoid colon cancer, it is unclear whether D3 lymph node dissection with the left colic artery (LCA) preservation is beneficial compared to D3 without LCA preservation in terms of clinical outcomes. Choosing whether D3 lymph node dissection with or without LCA preservation depends on a surgeon's preference. LCA preservation is expected to maintain adequate blood supply, which prevents anastomotic leakage. There is a need to determine whether LCA preservation improves clinical short- and long-term outcomes.

JCOG0404 was a randomized controlled trial (RCT) conducted by the Colorectal Cancer Study Group of the Japan Clinical Oncology Group (JCOG) to confirm the non-inferiority of laparoscopic surgery (LAP) compared to open surgery (OP) for patients with stage II/III colon cancer in terms of overall survival (OS). The surgical treatment of these two groups with or without LCA preservation in the present study required D3 dissection equivalent to complete mesocolic excision with central vascular ligation.2 JCOG0404 enrolled more than 1000 patients, making it one of the largest RCTs for patients with colon cancer requiring D3 dissection in Japan. Although non-inferiority of LAP with D3 dissection to OP for OS could not be confirmed in terms of OS, OS in both groups was similar and better than expected, and laparoscopic D3 surgery could be an acceptable treatment option for patients with stage II or III colon cancer.

At present, there are few reports about the clinical impact of D3 with LCA preservation. We aimed to investigate the clinical impact of D3 lymph node dissection with LCA preservation compared to D3 without LCA preservation by exploratory analyses using the data from JCOG0404. To the best of our knowledge, this is the first study to evaluate the clinical effects of D3 lymph node dissection with or without LCA preservation from collected data of Japanese large-scale RCT.

2 | MATERIALS AND METHODS

2.1 | Summary of JCOG0404

The eligibility criteria of JCOG0404 included histologically proven colon cancer that comprised of adenocarcinoma, signet ring cell carcinoma, or adenosquamous carcinoma; tumor location in the cecum or ascending, sigmoid, or rectosigmoid colon; lesion of T3 or deeper without involving other organs, N0-2 and M0; ≤8 cm tumor size; and patient age of 20-75 years. Only accredited surgeons were permitted to perform surgery either as an operator or as an instructor; for OP, surgeons needed to have experience of 30 or more OP colectomies and, for LAP, surgeons needed to have experience of 30 or more cases each of OP and LAP colectomies; and surgeons performing LAP had to be certified according to the Endoscopic Surgery Skill System by the Japan Society for Endoscopic Surgery. D3 lymph node dissection as described below was required. The trial's primary end-point was OS. In patients with stage II/III colon cancer, non-inferiority of LAP with D3 dissection to OP for OS could not be confirmed.

JCOG0404 was registered with the UMIN Clinical Trials Registry, number C000000105, and ClinicalTrials.gov, number NCT00147134. The details of the JCOG0404 study have been reported elsewhere.3,4

The data of patients who received assigned sigmoidectomy and anterior resection in JCOG0404 were used in this exploratory analysis.

2.2 | Operative methods of D3 dissection with or without LCA preservation

For left-sided tumors, removal of lymph nodes at the root of the inferior mesenteric artery was performed along with high ligation (Group B) or with LCA preservation and ligation of the inferior mesenteric artery just distal to the LCA (Group A) (Figure 1). The decision to perform procedures with or without LCA preservation depended on the physician's choice. Procedure type was identified from
photographs of the surgical field collected for central surgical review in JCOG0404. Completion of high-quality surgery with D3 dissection was confirmed in JCOG0404 by central peer review of photographs of the surgical procedures in addition to operator regulations.5

### 2.3 Endpoints and statistical considerations

Adverse events were evaluated according to CTCAE 3.0. Postoperative mortality and morbidity were respectively defined as death from any cause and any grade 1 or higher adverse event including anastomotic leakage, paralytic ileus, bowel obstruction, and wound complication within 30 days after surgery. The background characteristics of the patients underwent D3 with LCA preservation were compared with those without LCA preservation. Wilcoxon rank-sum test for continuous variables and Fisher’s exact test for categorical variables were performed to compare the two procedures. We used the Cox proportional hazard model to estimate the hazard ratio (HR) for overall survival (OS) and relapse-free survival.

| Table 1 | Patient characteristics |
|---------|--------------------------|
|         | Group A (n = 135) | Group B (n = 496) | Two-sided P |
| Sex     |                  |                  |              |
| Male    | 74 (54.8%)        | 305 (61.5%)      | .17          |
| Female  | 61 (45.2%)        | 191 (38.5%)      |              |
| Age (y) (median, range) | 60, 39-75 | 64, 28-75 | .05 |
| Clinical stage |              |                  |              |
| II      | 98 (72.6%)        | 338 (68.2%)      | .35          |
| III     | 37 (27.4%)        | 158 (31.8%)      |              |
| Tumor location |              |                  |              |
| S       | 86 (63.7%)        | 325 (65.5%)      | .69          |
| RS      | 49 (36.3%)        | 171 (34.5%)      |              |
| Laparoscopic surgery | 64 (47.4%) | 247 (49.8%) | .63 |
survival (RFS) of OS and RFS and the Kaplan-Meier method to estimate OS and RFS. Multivariable Cox regression analysis was conducted for OS and RFS to adjust confounding factors. AS for complications, multivariable logistic regression analysis was conducted to estimate odds ratio (OR) and its 95% confidence interval (CI). A two-sided P value of <.05 was considered statistically significant. All statistical analyses were performed using SAS ver. 9.4. [Correction added on 30 March 2020, after the first online publication: “for OS and RFS” has been included in the above paragraph.]

3 | RESULTS

Figure 2 shows the patient flow diagram of the present study. Among all of the 1057 randomized patients, 310 were excluded because tumor location was not in the sigmoid or rectosigmoid colon, leaving 747 patients with a tumor located in the sigmoid or rectosigmoid colon. D3 lymph node dissection with or without LCA preservation was identified according to the photographs of the surgical field collected for central surgical review in JCOG0404. Among the 747 patients, 116 patients were excluded because of lack of data regarding the LCA. Finally, 135 patients underwent D3 with LCA preservation and 496 patients underwent D3 without LCA preservation, and the results were compared and analyzed. With the exception of patient age, there were no significant differences in baseline characteristics between the two groups.

**TABLE 2** Operative findings

|                      | Group A (n = 135) | Group B (n = 496) | Two-sided P |
|----------------------|------------------|------------------|-------------|
| Operation time (min) |                  |                  |             |
| Median               | 185              | 186              | .33         |
| IQR                  | 150-255          | 150-226.5        |             |
| Range                | 72-465           | 80-616           |             |
| Blood loss (mL)      |                  |                  |             |
| Median               | 60               | 50               | .53         |
| IQR                  | 20-130           | 17.5-130         |             |
| Range                | 0-1247           | 0-3395           |             |
| Number of harvested nodes |        |                  |             |
| Median               | 19               | 21               | .01         |
| IQR                  | 14-24            | 15-28            |             |
| Range                | 2-64             | 2-78             |             |

Abbreviation: IQR, interquartile range.

**TABLE 3** Operative morbidity

| CTCAE v3.0                        | Group A (n = 135) | Group B (n = 496) | Two-sided P |
|-----------------------------------|------------------|------------------|-------------|
| No. of Patients                   |                  |                  |             |
| Postoperative Grade 1 or more complications | 13               | 107              | .001        |
| Anastomotic leakage              | 4                | 25               | .36         |
| Paralytic ileus                   | 3                | 19               | .60         |
| Bowel obstruction                 | 0                | 6                | .35         |
| Wound complication                | 1                | 29               | .01         |
| Postoperative hospital days, median | 11              | 11               | .82         |

Abbreviation: IQR, interquartile range.

**TABLE 4** Multivariable analysis of clinicopathological factors for postoperative Grade 1 or more complications

|                      | Odds ratio 95% CI | Two-sided P |
|----------------------|-------------------|-------------|
| Age                  |                   |             |
| 65≤                  | 1.223, 0.809-1.850| .3396       |
| <65                  |                   |             |
| Sex                  |                   |             |
| M/                   | 0.978, 0.628-1.525| .9225       |
| F                    |                   |             |
| Location             |                   |             |
| S                    | 0.502, 0.330-0.763| .0013       |
| RS                   |                   |             |
| Approach             |                   |             |
| Laporoscopic         | 0.629, 0.415-0.953| .0288       |
| Open                 |                   |             |
| LCA preservation     |                   |             |
| With preservation    | 0.375, 0.201-0.697| .0019       |
| Without preservation |                   |             |
| BMI                  |                   |             |
| 25≤                  | 1.287, 0.692-2.391| .4254       |
| 20-25                | 1.432, 0.715-2.867| .3111       |
| <25                  |                   |             |
| cStage III           |                   |             |
| cStageIII            | 1.039, 0.670-1.611| .8644       |
| cStagell             |                   |             |

Abbreviation: IQR, interquartile range.

[Correction added on 30 March 2020, after the first online publication: “OR” has been amended to “Odds ratio” in the column heading, and a new entry has been added under the sub-heading BMI]
differences in sex, clinical stage, tumor location, or proportion of laparoscopic surgery between the two groups (Table 1).

Table 2 shows the operative findings. There were no significant differences in operation time or blood loss between the two groups. However, the number of harvested nodes in Group B was significantly higher than in Group A.

Table 3 shows the operative morbidity and the number of postoperative hospital days of all patients in both groups. Overall postoperative complications of all grades occurred in 13 patients (9.6%) in Group A and 107 patients (21.6%) in Group B, which was significantly different (P = .02). Although there were no significant differences in anastomotic leakage, paralytic ileus, or bowel obstruction between the two groups, wound complications in Group B were significantly higher than those in Group A (P = .01). The numbers of postoperative hospital days were not different between the two groups. Table 4 showed the multivariate analysis of clinicopathological factors for postoperative Grade 1–4 complications. The OR for Group A was 0.375 (95% CI, 0.201-0.697, P = .0019) by multivariable analysis including approach (open surgery vs laparoscopic surgery), age, sex, body mass index, primary tumor location and stage as covariates.

The estimated 5-year OS of Group A was 96.3% (95% CI, 91.3%-98.4%), whereas that of Group B was 91.1% (95% CI, 88.2%-98.4%). The HR for Group A was 0.41 (95% CI, 0.19-0.89, P = .024) (Figure 3A). The HR for Group A was 0.41 (95% CI, 0.19-0.90) by multivariable analysis including approach (open surgery vs laparoscopic surgery), age, sex, body mass index, primary tumor location and stage as covariates (Table 5). The estimated 5-year RFS of Group A was 83.7% (95% CI, 76.3%-89.0%), whereas that of Group B was 80.5% (95% CI, 76.7%-83.7%). The HR for Group A was 0.80 (95% CI, 0.51-1.27, P = .34) (Figure 3B). The HR for Group A was 0.83 (95% CI, 0.53-1.31) by multivariable analysis (Table 5).

4 | DISCUSSION

Our analysis revealed that the median operative time, median blood loss, and proportion of Grade 1 or higher anastomotic leakage and intestinal paralysis were not remarkably different between the two groups. However, significantly more overall postoperative complications occurred in Group B than in Group A. In terms of efficacy, the 5-year proportions of OS were better in Group A than Group B. We considered that D3 lymph node dissection with LCA preservation could be an alternative treatment to D3 without LCA preservation. Generally, there are advantages and disadvantages to both procedures. The advantage in D3 without LCA preservation is en bloc lymph node dissection of the root of the inferior mesenteric artery,
which is considered suitable from the viewpoint of preventing the spillage of micrometastatic cells. Its disadvantages include a higher possibility of leakage due to severing of the LCA rather than preserving it and sacrificing of the autonomic nerves around the LCA. In D3 with LCA preservation, on the other hand, the advantage is maintenance of the blood supply, which helps to prevent anastomotic leakage and intestinal paralysis. Its disadvantages include the possibility of spillage of micrometastatic cells because of skeletonization of the LCA and the requirement of a more complicated procedure with longer operation time than that without LCA preservation.

In previous reports of retrospective studies of sigmoid colon and rectal cancer that compared D3 with LCA preservation to D3 without LCA preservation, Yasuda et al reported that there were no significant differences in terms of short- and long-term outcomes between the two procedures. In addition, Sekimoto et al showed that there were no differences in terms of short-term outcomes including operation time, blood loss, and number of harvested lymph nodes between the two procedures. However, several reports showed that precise staging was performed by D3 without preservation of the LCA. Even now, there is still no consensus on the level of arterial ligation in sigmoid and rectosigmoid colon cancer. To the best of our knowledge, the present study is first to show the clinical outcomes of D3 with LCA preservation compared to D3 without LCA preservation from large-scale data collected in a multi-institutional RCT. The present study demonstrated LCA preservation might be a beneficial factor for better short-term outcomes.

In terms of postoperative complications, short-term outcomes were better in patients undergoing D3 with LCA preservation than D3 without LCA preservation. Although it is generally considered that D3 with LCA preservation is a more complicated technique requiring longer operation time than D3 without LCA preservation, the present study found no significant differences in terms of operation time and blood loss. This is why the present study did not need to take into consideration the surgical learning curve as a potential risk factor because of the study chair of JCOG0404 certified surgeons at each participating institution according to the aforementioned criteria. In terms of the lower incidence of overall complications and wound complications in Group A, we speculated that preserving the LCA enabled preservation of the autonomic nerves, drainage vein, and the immune system as well as the LCA, which might be associated with lower incidence of anastomotic leakage, paralytic ileus, bowel obstruction, and wound complication.

### TABLE 5

| Clinicopathological Factors | Overall survival | Relapse-free survival |
|----------------------------|-----------------|----------------------|
|                            | HR  | 95% CI      | P value | HR  | 95% CI      | P value |
| Age                        |     |             |         |     |             |         |
| 65<1.130<65                | 1.130| 0.697-1.832 | .6209   | 0.996| 0.699-1.419 | .9819   |
| Sex                        |     |             |         |     |             |         |
| M/1.373/F                  | 1.373| 0.806-2.341 | .2436   | 1.490| 1.006-2.205 | .0465   |
| Location                   |     |             |         |     |             |         |
| S 0.971                    |     | 0.584-1.614 | .9102   | 0.960| 0.665-1.386 | .8266   |
| RS                         |     |             |         |     |             |         |
| Approach                   |     |             |         |     |             |         |
| Laparoscopic               | 0.958| 0.593-1.546 | .8600   | 1.125| 0.793-1.596 | .5092   |
| Open                       |     |             |         |     |             |         |
| LCA preservation           |     |             |         |     |             |         |
| With preservation          | 0.410| 0.529-1.308 | .0263   | 0.830| 0.529-1.308 | .4267   |
| Without preservation       |     |             |         |     |             |         |
| BMI                        |     |             |         |     |             |         |
| 25<0.829<25                | 0.829| 0.415-1.654 | .5944   | 0.865| 0.520-1.440 | .5771   |
| 20-25                      | 1.099| 0.515-2.345 | .8062   | 1.020| 0.580-1.795 | .9446   |
| <25                        |     |             |         |     |             |         |
| cStage III                 | 1.187| 0.716-0.5059| .5059   | 1.340| 0.933-1.924 | .1134   |
| cStage II                  |     |             |         |     |             |         |

[Correction added on 30 March 2020, after the first online publication: The P value of Laparoscopic Open is changed from .860 to .8600, and a new entry has been added under the sub-heading BMI]
In terms of OS, the present study showed that D3 with LCA preservation was significantly better than D3 without LCA preservation. As previous studies reported, fewer postoperative complications might contribute to a better prognosis.\textsuperscript{11,12} Although the number of harvested lymph nodes in D3 with LCA preservation was lower than that in D3 without LCA preservation, we considered it sufficient to dissect D3 lymph nodes oncologically through D3 with LCA preservation because the present study revealed the non-inferiority of D3 with LCA preservation in terms of prognosis compared with D3 dissection without LCA preservation. Although it is unknown whether the D3 lymph node dissection is necessary or not, JSCCCR guideline recommends the D3 lymph node dissection. In the present study, we evaluated which was a better procedure for D3 dissection, with or without LCA preservation. The HR for Group A of 5-year OS was 0.41, which might mean that preservation of LCA strongly contributed to better survival.

There are a few limitations in this study. First, this study is an exploratory subgroup analysis of data from a RCT. Thus, the decision to perform procedures with or without preservation of the LCA depended on physician preference, which were almost decided by institutional policy and might affect clinical outcomes. Second, the number of pathological T3 or less (ss or shallower) tumors in Group A was significantly higher than that in Group B (88.9% vs 80.4%, \( P = .023 \), data was not shown), although there were no significant differences in terms of pathological N status between the two groups. Therefore, comparability might not be maintained even though multivariable analysis showed similar HR compared with univariable analysis. Thus, further investigation is necessary to precisely evaluate the usefulness of D3 lymph node dissection with LCA preservation.

In conclusion, our analysis for stage II/III sigmoid and rectosigmoid colon cancer found that short- and long-term outcomes were better in D3 dissection with preservation of the LCA than D3 dissection without preservation of the LCA. We concluded that D3 lymph node dissection with preservation of the LCA could be an alternative treatment for D3 lymph node dissection.

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DISCLOSURE
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ORCID
Tomonori Akagi https://orcid.org/0000-0002-0722-0343
Masafumi Inomata https://orcid.org/0000-0002-8475-3688
Masaki Ito https://orcid.org/0000-0002-1101-2707
Tadahiko Masaki https://orcid.org/0000-0003-3200-3567