Lipoprotein(a) plasma levels are not associated with incident microvascular complications in type 2 diabetes mellitus

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Abstract

Aims/hypothesis Microvascular disease in type 2 diabetes is a significant cause of end-stage renal disease, blindness and peripheral neuropathy. The strict control of known risk factors, e.g., lifestyle, hyperglycaemia, hypertension and dyslipidaemia, reduces the incidence of microvascular complications, but a residual risk remains. Lipoprotein (a) \([\text{Lp(a)}]\) is a strong risk factor for macrovascular disease in the general population. We hypothesised that plasma \(\text{Lp(a)}\) levels and the \(\text{LPA}\) gene SNPs \(rs10455872\) and \(rs3798220\) are associated with the incident development of microvascular complications in type 2 diabetes.

Methods Analyses were performed of data from the DiaGene study, a prospective study for complications of type 2 diabetes, collected in the city of Eindhoven, the Netherlands \((n = 1886\) individuals with type 2 diabetes, mean follow-up time = 6.97 years).

To assess the relationship between plasma \(\text{Lp(a)}\) levels and the \(\text{LPA}\) SNPs with each newly developed microvascular complication \((\text{retinopathy} n = 223, \text{nephropathy} n = 246, \text{neuropathy} n = 236)\), Cox proportional hazards models were applied and adjusted for risk factors for microvascular complications \((\text{age}, \text{sex}, \text{mean arterial pressure}, \text{non-HDL-cholesterol}, \text{HDL-cholesterol}, \text{BMI}, \text{duration of type 2 diabetes}, \text{HbA}1c, \text{and smoking})\).

Results No significant associations of \(\text{Lp(a)}\) plasma levels and the \(\text{LPA}\) SNPs \(rs10455872\) and \(rs3798220\) with prevalent or incident microvascular complications in type 2 diabetes were found. In line with previous observations the \(\text{LPA}\) SNPs \(rs10455872\) and \(rs3798220\) did influence the plasma \(\text{Lp(a)}\) levels.

Conclusions/interpretation Our data show no association between \(\text{Lp(a)}\) plasma levels and the \(\text{LPA}\) SNPs \(rs10455872\) and \(rs3798220\) with known effect on \(\text{Lp(a)}\) plasma levels with the development of microvascular complications in type 2 diabetes. This indicates that \(\text{Lp(a)}\) does not play a major role in the development of microvascular complications. However, larger studies are needed to exclude minimal effects of \(\text{Lp(a)}\) on the development of microvascular complications.

Abbreviations

- ApoA: Apolipoprotein A
- EAS: European Atherosclerosis Society
- Lp(a): Lipoprotein A
- MAP: Mean arterial pressure
- MDRD: Modification of Diet in Renal Disease

Introduction

Microvascular complications greatly reduce the quality of life of individuals with type 2 diabetes. Microvascular damage causes end-stage renal disease, blindness and peripheral neuropathy [1–3]. Strict control of risk factors (e.g. lifestyle,
Lipoprotein(a) [Lp(a)] is a causal risk factor for the development of macrovascular complications in the general population, as confirmed by Mendelian randomisation studies. New therapies have emerged to potentially decrease Lp(a) levels. The risk factor profiles associated with the development of macro- and microvascular disease in type 2 diabetes are similar to each other.

What is already known about this subject?

- Lipoprotein(a) [Lp(a)] is a causal risk factor for the development of macrovascular complications in the general population, as confirmed by Mendelian randomisation studies.
- New therapies have emerged to potentially decrease Lp(a) levels.
- The risk factor profiles associated with the development of macro- and microvascular disease in type 2 diabetes are similar to each other.

What is the key question?

- Is Lp(a) associated with the development of microvascular disease in type 2 diabetes?

What are the new findings?

- Lp(a) plasma levels were not associated with prevalent or incident microvascular endpoints.
- Two LPA SNPs known to influence Lp(a) plasma levels were also not associated with microvascular endpoints.

How might this impact on clinical practice in the foreseeable future?

- Lp(a) plays no major role in the development of microvascular complications in type 2 diabetes, so potential new therapies lowering Lp(a) may not have a large effect in lowering the burden of microvascular disease in individuals with type 2 diabetes.
Methods

Study design The overall aim of the DiaGene study is to unravel the aetiology of type 2 diabetes and its complications, by identifying risk factors, e.g. genomic, glycomic and lipidomic factors. The design of the DiaGene study has been reported elsewhere [28]. Briefly, the DiaGene study is an all lines of healthcare prospective case–control study collected of individuals resident in and around the city of Eindhoven in the Netherlands. All hospitals in this area participated, as well as the centre for primary care diagnostics. Hence, virtually all individuals with type 2 diabetes in this area were approached for inclusion. Initially, 2065 patients were included by physicians at all centres. Of these, 179 patients were excluded. Reasons for exclusion were: no diabetes, type 1 diabetes, maturity-onset diabetes of the young, latent auto-immune diabetes in adults, double inclusion of the participant, post-pancreatitis diabetes, withdrawal of consent during the study period and missing informed consent. Finally, 1886 patients with type 2 diabetes and 854 controls were included in the DiaGene study, which consisted mostly of people of European descent. Written informed consent was obtained from all participants. This study was approved by the medical ethics committees of the Erasmus MC and the local hospitals in Eindhoven. For the analyses described in this paper, only data on type 2 diabetes cases were analysed.

Clinical data Laboratory data and anthropometrics were derived from medical records at inclusion. By means of a questionnaire, medical history, family history and lifestyle information were collected. Mean arterial pressure (MAP) was calculated with the formula \(2 \times \text{diastolic pressure + systolic pressure})/3\). Non-HDL-cholesterol was calculated with the formula \(2 \times \text{diastolic pressure} + \text{total cholesterol - HDL-cholesterol}\).

Definitions of microvascular complications Diabetic retinopathy was scored by ophthalmologists as absent or present, according to the Dutch guideline for diabetic retinopathy [29]. If present, it was classified as non-proliferative, proliferative, treated with photo-coagulation or treated with intravitreal injections. Neuropathy was diagnosed by a podiatrist, neurologist or the treating physician and graded according to the Sims classification [30]. Neuropathy data were only available for those individuals with type 2 diabetes who were under surveillance in outpatient clinics \(n = 796\). Nephropathy was defined as microalbuminuria \((ACR \geq 2.5 \text{mg/mmol for men or } \geq 3.5 \text{mg/mmol for women})\) at two of three consecutive measurements, or when high microalbuminuria or macroalbuminuria was present at one measurement \((ACR \geq 12.5 \text{mg/mmol for men and } \geq 17.5 \text{mg/mmol for women})\) [28].

Lp(a) plasma concentration and Lp(a) SNPs Venous blood samples were drawn from individuals with type 2 diabetes at enrolment; after centrifugation, the plasma and buffy coat were separated. Plasma samples were directly stored at \(-80\text{°C}\). Lp(a) concentrations were measured in samples that had not been defrosted previously. Lp(a) concentrations in plasma were measured with the KIV-2 number-independent Randox immunoassay on a Roche Cobas c501 Chemistry Analyzer [31, 32], with an Lp(a) concentration range of \(3–300\text{ mg/dl}\). A total of 1850 type 2 diabetic individuals with successfully measured Lp(a) plasma levels were included in the analyses. LPA SNPs rs10455872 and rs3798220 were genotyped by using Taqman allelic discrimination assays designed and optimised by Applied Biosystems (Foster City, CA, USA). The LPA SNPs rs10455872 and rs3798220 were successfully genotyped for 1696 (90%) and 1727 (92%) participants, respectively. Reactions were performed on the Taqman Prism 7900 HT platform.

Statistical analysis To compare baseline variables, the independent samples t test was used for continuous variables with a normal distribution and the Mann–Whitney test was used for continuous variables with a non-normal distribution. Normality was assumed when skewness and kurtosis were within the range of \(-1\) and \(+1\). For categorical variables, the \(\chi^2\) test was applied. To assess the relationship between Lp(a) and microvascular endpoints, we used Lp(a) plasma levels as an independent primarily binary variable (<30 mg/dl and \(\geq 30\text{ mg/dl}\)). This cut-off level is based on clinical studies in the general population, where an almost two times higher risk of a major adverse cardiovascular event is present when Lp(a) plasma levels exceed \(30\text{ mg/dl}\) [15]. However, specifically for stroke, the ‘Emerging risk factors consortium’ observed a higher threshold of Lp(a) concentrations [14]. In addition, we assessed the relationships of the microvascular complications with Lp(a) as a continuous variable and in categorical quartiles. For prevalent microvascular complications at baseline, logistic regression was used to assess the relationship of Lp(a) and each of the microvascular complication endpoints. Cox proportional hazards models were used to prospectively assess the association between Lp(a) as an independent variable and each microvascular complication endpoint as a dependent variable. The prevalent cases at baseline were excluded from the prospective analyses. In addition, to assess the association of Lp(a) with kidney function, estimated glomerular filtration rate (eGFR) determined by the Modification of Diet in Renal Disease (MDRD) study equation [33], we performed linear regression, with % difference in eGFR as the dependent variable and Lp(a) the independent variable. The % difference in eGFR was calculated using the formula \(\{\text{eGFR}_{\text{baseline}} - \text{eGFR}_{\text{follow-up}}\}/\text{eGFR}_{\text{baseline}}\) \(\times 100\).
following additional risk factors for microvascular complications: MAP, non-HDL-cholesterol, HDL-cholesterol, HbA1c, BMI, duration of type 2 diabetes and smoking. In order to detect whether there was any other form of relationship we may have missed by analysing Lp(a) linearly or in quartiles, we performed non-linear spline analyses. More specifically, we applied P-splines (penalised cubic B-splines) in both the logistic regression as well as Cox models [34]. This involves selecting a high number of equidistant knots, followed by a penalty term, which is optimised via generalised cross validation to avoid overfitting. This is a data-driven and explorative approach for detecting any non-linear relationships. Models 1 and 2 described above were also applied to assess the relationship between the SNPs and each microvascular endpoint. For analysing this relationship between the SNPs rs10455872, rs3798220 and microvascular endpoints an additive genetic model was used. To assess if LPA SNPs carrier status associates with Lp(a) plasma concentration, one-way ANOVA was applied. We tested the Hardy–Weinberg equilibrium before incorporating the LPA SNPs in the analyses. A p value of < 0.05 was considered statistically significant. Statistical analyses were performed using SPSS 25.0 (IBM, Armonk, NY, USA). Non-linear spline analyses were performed using R version 3.6.0 (https://www.r-project.org/), including packages ‘mgcv’ and ‘survival’. For cross-sectional and prospective analyses concerning Lp(a) as binary predictor, we had 80% power to detect an OR of 1.4 and an HR of 1.5 for each microvascular endpoint, respectively. And for cross-sectional and prospective analyses with Lp(a) as continuous predictor, we had 80% power to detect an OR of 1.09 and an HR of 1.013 for each microvascular endpoint, respectively. Moreover, for genetic analyses, we had 80% power to detect an HR of 1.7 for each separate SNP (rs10455872 and rs3798220) separately. ESM Table 1 provides an overview of conducted post hoc power analyses [35–37].

Results

Baseline characteristics of the study population The baseline characteristics and the number (and percentage) of individuals with data missing per characteristic of the study population are shown in Table 1. The age of the participants ranged from 27 to 94 years, with a mean age of 65.2 years; 46% were female. The mean duration of type 2 diabetes before the baseline investigation was 10.02 years, mean duration of follow-up from baseline was 6.97 years. In the total population, mean Lp(a) was 27.40 mg/dl and the median was 11.00 mg/dl. ESM Table 2 shows the Lp(a) median concentration according to each of the microvascular complications. The prevalence of retinopathy, nephropathy and neuropathy was 17%, 23% and 31%, respectively (Table 1). The incidence of retinopathy, nephropathy and neuropathy was 16%, 19% and 49.2%, respectively. Of note, data regarding the prevalence and incidence of neuropathy were available only for the 796 individuals who attended the outpatient clinic. Among the individuals free of a microvascular complication at baseline, those who developed microvascular complications during follow-up were significantly older (65.0 ± 10.4 vs 58.6 ± 11.6 years, p < 0.001), had a later age of onset of type 2 diabetes (54.70 ± 12.61 vs 47.61 ± 11.43 years, p < 0.001), a longer duration of type 2 diabetes (12.44 ± 8.89 vs 11.05 ± 6.28, p = 0.043) and higher MAP (98.41 ± 10.14 vs 95.54 ± 7.85 mmHg, p = 0.005) than those who did not develop microvascular complications.

Lp(a) and prevalent complications at baseline In cross-sectional analyses at baseline (ESM Table 3), individuals with type 2 diabetes with Lp(a) concentrations ≥30 mg/dl did not have significantly higher ORs than those with concentrations <30 mg/dl for each of the microvascular endpoints in any of the models. These results did not change when the separate endpoints were taken together as one composite endpoint (OR ≥30 mg/dl did not have significant HRs for any of the microvascular endpoints in any of the models. Similarly, Kaplan–Meier curves created for each microvascular endpoint did not show any significant difference between the two Lp(a) concentration groups (Fig. 1). Consideration of the three microvascular endpoints together as one composite endpoint in the Cox proportional hazards regression did not change the result (HR 1.16, 95% CI 0.85, 1.60, p = 0.35). Additional analyses with Lp(a) as a continuous variable or divided into quartiles did not change these results (ESM Tables 4 and 5). Furthermore, spline analyses for Model 2 did not argue for any other non-linear relationship with prevalent microvascular endpoints (ESM Fig. 1, all p values for deviation from linearity > 0.99).

Lp(a) and incident complications at follow-up Table 2 shows the Cox proportional hazards models of the prospective analyses. Individuals with Lp(a) concentrations ≥30 mg/dl did not have significant HRs for any of the microvascular endpoints in any of the models. Similarly, Kaplan–Meier curves created for each microvascular endpoint did not show any significant difference between the two Lp(a) concentration groups (Fig. 1). Consideration of the three microvascular endpoints together as one composite endpoint in the Cox proportional hazards regression did not change the result (HR 1.16, 95% CI 0.85, 1.60, p = 0.35). Additional analyses with Lp(a) as a continuous variable or divided into quartiles did not change these results (ESM Tables 4 and 5). Investigation of the relationship with kidney function defined by eGFR MDRD revealed that Lp(a) was not significantly associated with percentage eGFR MDRD decline during follow-up (ESM Table 6). Furthermore, spline analyses for Model 2 also did not show any non-linear relationship of Lp(a) concentration with incident microvascular endpoints (ESM Fig. 2, all p values for deviation from linearity > 0.28).

LPA genotypes and Lp(a) concentrations For the entire study population independent of microvascular complications at baseline the LPA SNPs rs10455872 and rs3798220 were in Hardy–Weinberg equilibrium (χ2 = 2.20, p > 0.05 and χ2 = 0.86, p > 0.05), respectively. Minor allele frequencies of rs10455872 and rs3798220 were 0.0648 and 0.0156,
respectively (see details on genotype distribution and mean Lp(a) plasma concentration according to the carrier status of the LPA SNPs in Table 3). Individuals with at least one minor allele for rs10455872 and rs3798220 had significantly higher Lp(a) plasma concentrations compared with individuals with the wild-type genotype. The SNPs rs10455872 and rs3798220 explained 20% and 8% of the variation in Lp(a) levels, respectively, and jointly explained 30% of the variance.

LPA SNPs and incident microvascular complications ESM Table 7 shows the Cox proportional hazards models for prospective analyses of the LPA SNPs, according to the additive genetic model. For both LPA SNPs, carriers of the minor allele did not have significant HRs for any of the microvascular complications. These results did not change when the separate microvascular endpoints were taken together as one composite endpoint (data not shown). Furthermore, these results did not change when analysing the two SNPs together as a genetic risk score (ESM Table 8).

Discussion
In our cohort study of type 2 diabetes individuals, we did not find a cross-sectional nor a prospective association of Lp(a)
plasma levels and LPA SNPs rs10455872 and rs3798220 with microvascular complications.

In the general population, Lp(a) is a causal risk factor for macrovascular complications [38]. The risk factor profiles associated with the development of macro- and microvascular disease in type 2 diabetes are thought to be similar [39]. If so, microvascular disease could be an extra target for the newly developed ApoA antisense therapy [40]. In our large prospective study, elevated Lp(a) concentrations were not associated either with prevalent or incident microvascular endpoints in type 2 diabetes. Our results are strengthened by the lack of association of the LPA SNPs rs10455872 and rs3798220, which, notably, did have their known significant effects on Lp(a) plasma levels. Moreover, in our DiaGene cohort the two SNPs rs10455872 and rs3798220 explained 20% and 8% of the variation in Lp(a) levels, respectively, and jointly explained 30% of the variance. This is relatively high compared with other type 2 diabetes populations (of about 1000 individuals), where rs10455872 explained approximately 3% of the Lp(a) variation [41]. However, in the general population, Clarke et al found that these two SNPs together explained 40% of the Lp(a) variation [12]. Overall, in our DiaGene cohort the variance explained by the SNPs is in line with the findings in the general population. Overall, our results indicate that Lp(a)-lowering therapies might not have a place in the treatment of microvascular complications in type 2 diabetes. Differences with previously reported results are unlikely to be explained by heterogeneity based on the differences between cross-sectional and prospective study designs, as we performed both and found virtually identical results. Factors that potentially contributed to the variation between the findings of the studies are ethnicity, conditions for and duration of storage of plasma samples, and method of Lp(a) measurement, which we will discuss below.

The two prospective analyses in a South Korean population by Yun et al pointed at a positive association between Lp(a) plasma levels and both diabetic retinopathy and nephropathy [19, 23]. One prospective study, on kidney function decline, not strictly confined to nephropathy, in individuals of European descent with type 2 diabetes found no association with Lp(a) levels, in line with our findings [25]. Ethnicity is associated with differences in Lp(a) plasma levels; higher levels are found in black compared with white populations, and a significant heterogeneity has been reported across Asian populations, with lower levels observed in Chinese than in Indian populations [42, 43]. These ethnic differences may be explained by genetic and environmental differences [44]. Notably, the ethnic differences in plasma levels of Lp(a) were not detectable at birth [45]. Macrovascular disease risk that associated with elevated Lp(a) levels also showed differential effects across different ethnicities [46]. However, it remains unknown whether and how ethnicity contributes to differential effects of Lp(a) on microvascular disease, ranging from no effect in individuals from European descent to increased risk in South Koreans.

| Retinopathy (n=183) | Model 1, HR (95% CI) | p value | Model 2, HR (95% CI) | p value |
|---------------------|----------------------|---------|----------------------|---------|
| ≥30 mg/dl           | 0.94 (0.69, 1.26)    | 0.66    | 1.02 (0.73, 1.43)    | 0.90    |
| Nephropathy (n=185) |                      |         |                      |         |
| ≥30 mg/dl           | 1.04 (0.78, 1.38)    | 0.81    | 1.03 (0.73, 1.44)    | 0.88    |
| Neuropathy (n=202)  |                      |         |                      |         |
| ≥30 mg/dl           | 0.99 (0.74, 1.33)    | 0.95    | 0.99 (0.70, 1.39)    | 0.94    |

n, numbers of patients who developed the complication
Model 1: adjusted for sex and age
Model 2: additionally adjusted for MAP, non-HDL-cholesterol, HDL-cholesterol, BMI, duration of type 2 diabetes, HbA1c, and smoking (never/former/current)
microvascular disease risk, because of other more influential competing risk factors, such as hyperglycaemia and dyslipidaemia. However, even the highest quartiles of Lp(a) levels did not display any association with microvascular complications, suggesting that there may be no role for Lp(a) in these endpoints at all.

Finally, the KIV size remains an essential property of Lp(a). The KIV size is independently inversely associated with macrovascular disease in the general population [50]. Likewise, it could be independently associated with microvascular complications in type 2 diabetes. Unfortunately, we do not have details of the KIV size. As it is known that Lp(a) plasma levels are inversely associated with the KIV size, if this effect on microvascular disease is substantial, we would have expected to find a positive association of Lp(a) plasma levels with microvascular complications. Also, the investigated LPA SNP rs10455872 is strongly associated with the KIV size and showed no association with our microvascular endpoints [51]. However, we cannot exclude the possibility that the KIV size is associated with microvascular disease, and this will be the subject of ongoing studies in our population.

Strengths of our study are the prospective study design, relatively large type 2 diabetes cohort, the meticulous collection of phenotypic and risk factor data, the investigation of all microvascular endpoints and the concomitant investigation of the effects of LPA SNPs. Moreover, we used an immunoassay with the least Lp(a) concentration-dependent bias of the available immunoassays, and this immunoassay has a correlation coefficient ($r$) of 0.99 with values determined by the ‘gold standard’ for measuring Lp(a) (an ELISA method) [32, 47]. Furthermore, we directly stored our plasma samples in minus 80°C and used previously unthawed samples [47]. Although we performed our study with great care, we need to consider some limitations. We had 80% power to detect an HR of 1.5 for the categorical and 1.013 for the continuous analyses. All analyses in Model 2 resulted in ORs and HRs for microvascular disease very close to 1.0, with 95% confidence upper limits of around 1.5 for the categorical analyses and 1.005 for a continuous increase of 1 mg/dl. This means that true effect sizes larger than these upper limits are highly unlikely, but effect sizes within the 95% confidence intervals cannot be excluded to become significant in much larger studies. To put this into context, a 0.8% difference

Table 3  Distribution and association LPA SNPs with Lp(a) concentrations

| SNP | n (%) | Mean Lp(a) | Median Lp(a) | $p$ value |
|-----|-------|------------|--------------|-----------|
| rs10455872 | | | | |
| Wild-type (AA) | 1514 (87.6%) | 20.95 (35.01) | 9.00 (4.00–20.30) | $2.35 \times 10^{-36}$ |
| Heterozygous (AG) | 202 (11.7%) | 70.58 (52.21) | 62.00 (47.00–78.50) | |
| Homozygous (GG) | 11 (0.7%) | 158.76 (62.12) | 167.00 (96.90–203.00) | |
| rs3798220 | | | | |
| Wild-type (TT) | 1644 (96.9%) | 25.23 (37.12) | 11.00 (5.00–30.00) | $4.33 \times 10^{-31}$ |
| Heterozygous (TC) | 51 (3%) | 94.04 (97.88) | 74.95 (7.25–155.50) | |
| Homozygous (CC) | 1 (0.1%) | 74.00 (NA) | NA | |

Mean (± SD), median (IQR) are given

*a n = 1, SD not applicable (NA)*

Fig. 1  Kaplan–Meier curves for the percentage of the study population who developed retinopathy (a), nephropathy (b) or neuropathy (c) during follow-up according to Lp(a) level.
in HbA1c, as a known continuous risk factor for microvascular disease, in the Action in Diabetes and Vascular Disease: Preterax and Diamicron MR Controlled Evaluation (ADVANCE) study resulted in an HR of 1.14 (95% CI 1.03, 1.23) for microvascular disease after 5 years of follow-up [52]. This confidence interval does not contain the higher upper limit of the 95% CI in our study of HR 1.005. In even larger studies, our HR of 1.005 per 1 mg/dl increasing Lp(a) level could potentially become statistically significant and have an effect on microvascular disease risk, although this would be a very small effect. Furthermore, neuropathy data were only available for individuals who were seen as outpatients in the hospitals, so we cannot generalise our findings to the first line of care. In addition, data on KIV size are not available for our study population.

In conclusion, our data indicate that Lp(a) plasma levels do not play a major role in the development of microvascular complications in individuals from European descent with type 2 diabetes. Larger studies than ours may be able to detect very small effects of Lp(a) levels on these outcomes. Future studies taking the Lp(a) KIV size into account can further elucidate whether or not any other aspect of the Lp(a) particle is associated with the microvascular complications of type 2 diabetes.

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Data availability The datasets generated during and/or analysed during the current study are not publicly available. The raw data are subject to ‘Special Categories of Personal Data (Sensitive Data)’ (GDPR, Article 9), therefore raw data sharing is not in line with the privacy principles. Also, the information provided to the participants in the study states that the individual data are only accessible to the researchers, the ethical review board and (local) authorities. The informed consent given by the participants is therefore not sufficient for open access publication of indirectly identifiable data. Datasets are available from the corresponding author upon reasonable request.

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Contribution statement SS completed and maintained the DiaGene study database, analysed and interpreted the data and drafted the article. MR completed the DiaGene study database and analysed and interpreted the data. AL collected data and designed the DiaGene study, initiated the research question and interpreted the data. MH was responsible for the coordination of the analyses, initiated the research question, collection and design of the DiaGene study, interpreted the data and drafted the manuscript. MR, AL, FK, CL, MM, YR, ES and MH critically revised the article. All authors have approved the final manuscript to be published. MH is the guarantor of this work and, as such, had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

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