AGE SPREAD IN W3 MAIN: LARGE BINOCULAR TELESCOPE/LUCI NEAR-INFRARED SPECTROSCOPY OF THE MASSIVE STELLAR CONTENT

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ABSTRACT

We present near-infrared multi-object spectroscopy and $JHK_s$ imaging of the massive stellar content of the Galactic star-forming region W3 Main, obtained with LUCI at the Large Binocular Telescope. We confirm 15 OB stars in W3 Main and derive spectral types between O5V and B4V from their absorption line spectra. Three massive young stellar objects are identified by their emission line spectra and near-infrared excess. The color–color diagram of the detected sources allows a detailed investigation of the slope of the near-infrared extinction law toward W3 Main. Analysis of the Hertzsprung–Russell diagram suggests that the Nishiyama extinction law fits the stellar population of W3 Main best ($E(J−H)/E(H−K_s)=1.76$ and $R_{K_s}=1.44$). From our spectrophotometric analysis of the massive stars and the nature of their surrounding H II regions, we derive the evolutionary sequence of W3 Main and we find evidence of an age spread of at least 2–3 Myr. While the most massive star (IRS2) is already evolved, indications for high-mass pre-main-sequence evolution are found for another star (IRS N1), deeply embedded in an ultracompact H II (UCH II) region, in line with the different evolutionary phases observed in the corresponding H II regions. We derive a stellar mass of W3 Main of $(4±1)\times10^3 M_\odot$ by extrapolating from the number of OB stars using a Kroupa initial mass function and correcting for our spectroscopic incompleteness. We have detected the photospheres of OB stars from the more evolved diffuse H II region to the much younger UCH II regions, suggesting that these stars have finished their formation and cleared away their circumstellar disks very fast. Only in the hyper-compact H II region (IRSS) do the early-type stars seem to be still surrounded by circumstellar material.

Key words: H II regions – infrared: stars – stars: formation – stars: massive – techniques: spectroscopic

Online-only material: color figure

1. INTRODUCTION

Despite the impact on their surroundings, the formation and early evolution of massive stars is poorly constrained, primarily because of their scarcity and short lifetimes. OB stars are usually observed in very young star-forming regions, e.g., clusters and associations, which are still embedded. Near-infrared observations are, in most cases, the only way to study the stellar content of these young regions. However, a pure photometric characterization of young embedded stellar clusters is strongly hampered by highly varying extinction, unknown distances, and infrared excess of the young cluster members.

On the other hand, spectroscopy offers an unambiguous identification of the massive stellar content and has proven to be a powerful method to find and characterize the newborn OB stars. Stellar properties, like effective temperature and luminosity, are derived based on the spectral features and extinction, and distance and possible infrared excess can be determined reliably (e.g., Watson & Hanson 1997; Blum et al. 2000; Hanson et al. 2002; Bik et al. 2005, 2010; Puga et al. 2006, 2010). By comparing the effective temperature and luminosity to stellar isochrones, the age as well as the mass of the stellar population in the young cluster can be derived more reliably than by photometry alone (Bik et al. 2010), as the large extinction variations affect the identification of a clearly reddened cluster main sequence. Apart from the basic properties of the star clusters, also age spread inside the cluster, the evolution of circumstellar disks and the early evolution of the young OB stars can be characterized and studied.

Analyses of increasing samples of stars in the same star cluster have produced evidence for age spreads in several cases. Based on the massive star content, Clark et al. (2005) and Negueruela et al. (2010) find very little age spread (less than 1 Myr) in starburst cluster Westerlund 1. However, in the Orion Nebula cluster age spread has been found based on pre-main-sequence (PMS) stars (Da Rio et al. 2010b). Kraus & Hillenbrand (2009) presented evidence for an age spread in Taurus. Additional evidence for an age spread has been found in S255 where the most massive star is still deeply embedded and driving an outflow, while the PMS population has an age of 1–3 Myr (Wang et al. 2011). Kumar et al. (2006) found evidence for stellar clusters around high-mass protostellar objects, suggesting a similar age spread.

Additionally, young stellar clusters are the places to look for signatures of how massive stars have formed. Remnant accretion disks are being dispersed on a short timescale (Hollenbach et al.
However, massive young stellar objects (YSOs) have been identified in several high-mass star-forming regions (e.g., Blum et al. 2004; Bik et al. 2006). Studying the frequency of massive YSOs as a function of cluster age and mass will allow us to place timescales on the disk evaporation process.

Before arriving on the main sequence, low- and intermediate-mass stars are still contracting and are cooler and bigger than they will be on the main sequence. The intermediate-mass stars are detected as G- and K-type stars and will evolve to A and F stars, respectively (Bik et al. 2010). Theoretical predictions show that stars more massive than 10 $M_\odot$ do not have an optically visible PMS phase (Palla & Stahler 1990). However, using deep near-infrared observations we can probe objects deeply embedded behind up to 50 mag of visual extinction and we might follow the PMS phase of the massive stars.

Theoretical modeling of high-mass protostellar evolution predicts that massive protostars might migrate toward the main sequence following a path similar to intermediate-mass PMS stars (Yorke & Bodenheimer 2008; Hosokawa & Omukai 2009; Hosokawa et al. 2010). Due to the high accretion rates, the massive protostars will swell up to a maximum radius of 125 $R_\odot$ and exhibit a relatively cool temperature of $\sim$5000 K. In the Hertzsprung–Russell diagram (HRD) they will look like red supergiants (Morino et al. 1998; Linz et al. 2009). After most of the stellar mass is acquired, the protostar is contracting to the main sequence and will gradually reach its main-sequence size and temperature (Zinnecker & Yorke 2007).

In this paper, we address these issues of massive star formation with near-infrared K-band multi-object spectroscopy (MOS) as well as JHK, imaging of the massive stellar content of W3 Main, obtained with LBT near-IR spectroscopic Utility with Camera and Integral-Field Unit for Extragalactic Research (LUCI) at the Large Binocular Telescope (LBT). We perform for the first time a spectral classification of its massive stellar content, allowing the detailed assessment of the evolutionary status of these stars and their H II regions. The W3 (Westerhout 1958) region is part of an extended star formation complex in the Perseus spiral arm and is associated with W3 Main at $\alpha$(2000) = 02h25m37s5, $\delta$(2000) = $+$62°05′24″, which was imaged in JHK, with LUCI. The J and H data were taken on 2009 December 18 and 19, respectively, while the $K_s$ data were obtained on 2010 February 5 with the N3.75 camera, providing a 4′ × 4′ field of view at an image scale of 0′′12 pixel$^{-1}$.

Radio continuum observations reveal several compact and diffuse H II regions in the W3 Main area (Wynn-Williams 1971; Harris & Wynn-Williams 1976) as well as ultracompact H II (UCH II) and hyper-compact H II (HCH II) regions (Claussen et al. 1994; Tieftrunk et al. 1997). The location of the C$^{18}$O (Tieftrunk et al. 1995) and NH$\alpha$ (Tieftrunk et al. 1998) molecular emission peaks in a radio-quiet area south of the region W3 D. Submillimeter dust continuum emission is found here too, as well as centered on IRS5 (Moore et al. 2007).

Among the first detection of the radio continuum sources, the first near-infrared imaging revealed several bright point sources associated with these H II regions (Wynn-Williams et al. 1972). More sensitive imaging surveys (Hayward et al. 1989; Tieftrunk et al. 1998; Ojha et al. 2004) have revealed the stellar richness of the W3 Main region and identified all the candidate ionizing sources of the H II regions via photometry. In the X-rays, where the extinction is much less severe, the entire PMS population is detected, and the cluster shows a spherical geometry with a size of 7 pc, about twice as large as seen in the near-infrared (Feigelson & Townsley 2008).

The different evolutionary phases of the H II regions make W3 Main an ideal target to study age spread and the evolution of circumstellar disks around massive stars. The paper is organized as follows: in Section 2 we discuss the LUCI imaging and spectroscopic observations and data reduction. Section 3 presents the near-infrared photometry, the spectroscopic classification, and the derived HRD. In Section 4, we discuss the evolutionary stage of the massive stars as well as the detected age spread, and summarize the conclusions in Section 5.

| Observations | Date (UT) | DIT* (s) | Exp Time (s) | Objects |
|-------------|-----------|---------|-------------|---------|
| J           | 2009 Dec 18 | 5       | 800         |         |
| H           | 2009 Dec 19 | 5       | 1000        |         |
| K$_s$       | 2009 Feb 5  | 5       | 900         |         |
| W3Klt14K    | 2009 Dec 19 | 60      | 1800        | IRS N3  |
| W3Klt14Km2  | 2009 Dec 18 | 60      | 1800        | IRS3a, IRS5, IRS N1, IRS N6 |
| LSS         | 2011 Mar 6  | 60      | 2400        | IRS4, IRS7 |
| W3BrightK   | 2009 Dec 17 | 30      | 1080        | Other objects |

Note. * Detector integration time.
to a maximum of 10 different positions and a jitter box of 30'. The total exposure times per filter are listed in Table 1. Sky frames were obtained using the same instrument settings immediately afterward, centered on an off-cluster field at \( \alpha(2000) = 02^h 25^m 41^s 0, \delta(2000) = +62^\circ 12' 32'' \). The final image quality of the J and H images is 0′/6 and 0′′/9 for the \( K_s \) image.

### 2.2. Spectroscopic Observations

W3 Main was observed with the LUCI MOS unit in the \( K \) band on 2009 December 17–19. To ensure a proper alignment of the masks for the MOS observations, the \( K \)-band pre-imaging was acquired on 2009 November 1. Three masks were created to cover the stellar content ranging from the most massive stars to the intermediate-mass PMS stars. We designed the masks such that the difference in magnitude between the stars in the mask was around 1 mag, ensuring comparable signal-to-noise ratio (S/N) for all spectra in one mask.

We used the 210-zJHK grating in combination with a slit width of 1′′, providing us with a resolution \( R = 4000 \).

The spectra were collected with a spatial resolution of 0′′/25 pixel\(^{-1} \) (N1.8 camera), providing the largest wavelength coverage (\( \Delta \lambda = 0.328 \mu \text{m} \)). The MOS slits were typically 4′′ long, to allow for a 2′′ nodding for sky subtraction while minimizing the contamination by other stars.

In addition to the MOS spectra, we obtained a long-slit spectrum of IRS4 and IRS7 on 2011 March 6 with a total integration time of 2400 s. The instrument setup was the same as for the MOS spectroscopy. For the long-slit observations, the nodding offset was set to 45′′. The details of the observations are shown in Table 1, which lists the different DITs and total exposure times used for the masks and long-slit spectra. The seeing of the spectroscopy data varied between 0′′/6 and 0′′/9.

Telluric standard stars of early B type were observed immediately before and after the science frames. The standard star was observed using the same mask as the science observations, as well as being placed in two different slits, covering the blue and the red part of the spectral band, respectively. Immediately after the science and standard star observations, arc lamp and flat fields were taken to minimize the effects of flexure.

### 2.3. Data Reduction

#### 2.3.1. Imaging

The near-infrared \( JHK_s \) images were reduced with standard IRAF\(^7 \) routines, as described in Pasquali et al. (2011). The images were corrected for dark current and flat fielded using sky flats taken during morning and evening twilight. A sky frame was created by combining the images taken at the offset position using a weighted mean and rejecting the lowest three and highest eight values to remove the stars from the frames. The master sky frame was subtracted from the individual science frames. After background subtraction, the images were corrected for geometric distortion. An astrometric solution was derived using stars in common with Two Micron All Sky Survey (2MASS; Skrutskie et al. 2006). The corrected frames were combined and weighted by the average level of the background, producing the final images (Figure 1).

Photometry on the \( JHK_s \) images was performed using the starfinder software (Diolaiti et al. 2000). This package is designed to fit an empirical point-spread function (PSF) to a crowded stellar field. The stellar PSF was constructed using 22 bright and isolated sources in the frame. The radial extent of the PSF was set to 40 pixels, beyond the radius at which the PSF structure was dominated by background noise. The final PSF was created iteratively after selection and subtraction of neighboring sources from the image. Three iterations were performed before the final PSF was extracted. All sources at \( \geq 3\sigma \) above the local background noise were included in the detected source list, and three PSF-fitting iterations were performed to extract the faintest sources.

We verified the results of our starfinder photometry by performing an additional run with the DAOPHOT package (Stetson 1987) within the IRAF environment. DAOPHOT treats the background and the PSF model differently than starfinder, allowing us thus to check our photometric results from different approaches. Stellar sources were detected with the daofind task and aperture photometry was performed with the phot task in radii \( \sim (1–2) \times \) the FWHM of the PSF. For each filter a reference PSF was constructed by combining the PSF of at least 20 objects with the tasks psfselect and psf. The PSF-fitting photometry was performed with the allstar task, using the PSF models to fit all objects identified with a \( 3\sigma \) confidence level over the local background. Our results from both photometric runs are very similar; however, starfinder is able to better treat the saturated stars in the images.

Several of the bright stars in the \( \text{H II} \) region appeared saturated in our \( JHK_s \) images. The \( J \)-band image has seven stars with counts above the linearity limit, while the \( H \) and \( K_s \) images have eight stars in the nonlinear regime of the detector. To obtain reliable magnitudes for these bright stars, starfinder was used to fit the wings of the saturated stars with the determined PSF to reconstruct the flux of the saturated part. However, a few of the brightest stars could not be recovered by starfinder due to their heavily saturated cores having negative counts. For those stars, the negative core of the PSF was removed and the peak of the PSF was flattened. The same area was also flattened in the reference PSF, and the best fit determined, such that the differences in the linear part of the star’s and the reference PSF were minimized.

This procedure is compared to the recovery done by starfinder on the non-saturated stars allowing the determination of the uncertainties of the used method. The measured uncertainties were 0.1 mag for \( J \), 0.07 mag for the \( H \) band, and 0.08 mag for \( K_s \). Comparison between the obtained magnitudes and the 2MASS values for the isolated stars shows a match within 0.1 mag for all three bands, suggesting that the above described method delivers reliable values.

Finally, we cross-matched the obtained catalogs for each filter to identify the sources that are detected in more than one band. We allowed a maximum offset of 4 pixels (0′′/48) for the center of each point source. We calibrated the LUCI photometry with 2MASS (Skrutskie et al. 2006). The matching radius was 4 pixels after transforming the 2MASS positions to the LUCI \( x, y \)-coordinate system. Only stars with magnitudes of 10 mag \(< J < 16.5 \) mag, 10 mag \(< H < 14.5 \) mag, and 9.5 mag \(< K_s < 14.0 \) mag were used to avoid saturation effects in LUCI and ensure reliable photometry in 2MASS at the faint end. As mismatches in data sets with different spatial resolutions and sensitivities are frequent, we selected stars with \(| \text{mag(LUCI)} – \text{mag(2MASS)} | \leq 0.5 \) mag from the median zero point of all stars in each filter. This led to a selection of 54 stars in \( J \), 49 stars in \( H \), and 53 stars in \( K_s \) as final calibrators, yielding

\(^7\) IRAF is distributed by the National Optical Astronomy Observatory, which is operated by the Association of Universities for Research in Astronomy, Inc., under cooperative agreement with the National Science Foundation.
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The zero points $J_{\text{zpt}} = 29.205 \pm 0.022$ mag, $H_{\text{zpt}} = 29.072 \pm 0.014$ mag, and $K_{\text{zpt}} = 28.373 \pm 0.018$ mag.

To obtain a realistic estimate of the photometric errors, we divided the data sets in two subsets with identical total exposure time and created two auxiliary images for each filter. An empirical PSF was constructed in the same way as on the deep data set and starfinder photometry was derived for the auxiliary data sets. The photometric uncertainties are derived from the difference in magnitude between the auxiliary data sets. For faint sources, not detected in the auxiliary data sets, the largest error in the same magnitude range, as derived for other sources, was applied as a conservative error estimate.

The final photometric catalog, containing all the sources detected in the three bands, has a limiting magnitude of $\sim 21.5$ mag in $J$, $\sim 19.5$ mag in $H$, and $\sim 19.0$ mag in $K_s$. A more detailed assessment of the completeness limits will be done in a future paper where the entire stellar content of W3 Main will be discussed.

### 2.3.2. Multi-object Spectroscopy

The MOS spectra were reduced with a modified version of lucired, which is a collection of IRAF routines developed for the reduction of LUCI MOS spectra. First, the raw frames were corrected for the tilt of the slit using a spectroscopic sieve mask as well as for distortion through an imaging pinhole mask. After correction, the slits were oriented horizontally on the frame and were extracted to be treated separately. The science data were corrected by a normalized flat field. The flat field was normalized in the spatial direction for every slit to remove illumination effects as well as the slit response.

The long-slit spectra were reduced using standard IRAF routines and no distortion or tilt correction was applied. The flat field was normalized by dividing with the mean of the flat field as the spatial variation in the counts of the flat field was very low.

For both observing modes, the wavelength calibration was performed using the Ar and Ne arc wavelength calibration frames. After the wavelength calibration, the sky background was subtracted using the procedure by Davies (2007) to remove the OH line residuals. Finally, the one-dimensional spectra were extracted using the iraf task doslit and combined.

The removal of the telluric absorption lines was performed in two steps. First, the telluric absorption lines in the standard star spectrum were removed using a high-S/N telluric reference
spline function to the continuum. Finally, the spectra are normalized by fitting a correction showing the least telluric residuals was chosen as the final reduction. Finally, the spectra taken at the same airmass as the science observations. The IRAF task was used to correct the science observations as well as in the two different slits. The correction showing the least telluric residuals was chosen as the final reduction. Finally, the IRAF task telluric was used to correct the science observations for the telluric absorption lines. This procedure was applied to the standard star observations before and after the science observations as well as in the two different slits. The correction showing the least telluric residuals was chosen as the final reduction. Finally, the spectra are normalized by fitting a spline function to the continuum.

3. RESULTS

3.1. Photometry

The final reduced three-color $JHK_s$ image is displayed in Figure 1. Apart from the stellar content, also several patches of extended emission can be seen. These areas coincide with the location of the H II regions in the radio maps (Tieftrunk et al. 1997). W3 A is the brightest H II region in the near-infrared in the northeast of the image. The two diffuse H II regions W3 K and W3 J can be identified in scattered light in the $J$ band due to the low extinction. Several dark dust lanes can be seen as well, showing that the extinction is highly variable.

The $K_s$, $H - K_s$ color–magnitude diagram (CMD) and $J-H$, $H - K_s$ color–color diagram (CCD) confirm this large extinction variation over the observed field (Figure 2). The CMD and CCD show all sources with a detection in all three bands and brighter than $K_s = 15$ mag. In the CMD, no clear reddened, cluster main sequence can be detected. Apart from a foreground main sequence (at $H - K_s \approx 0.2$ mag), an enormous spread in $H - K_s$ color of the sources in W3 Main is observed, caused by large extinction variations inside W3 Main. The CCD of the bright stars with $K_s \leq 15$ mag confirms these huge extinction variations, as the location of the reddened main-sequence stars (solid lines) is populated to at least $A_K = 5$ mag. Several sources are located to the right of the reddened main sequence, due to an infrared excess, most likely originating in a circumstellar disk (see Figure 2). Overplotted in the CMD is the location of the upper end of the un-reddened main-sequence 1 Myr isochrone (Lejeune & Schaerer 2001), with the spectral types annotated as well as the reddening vectors from the Indebetouw et al. (2005, hereafter Ind05) and Nishiyama et al. (2009, hereafter NI09) extinction laws. The candidate massive stars in W3 Main are expected to be located in between the reddening lines departing from a B3V and a O3V star, respectively (diagonal lines in the CMD).

Ojha et al. (2004) identified 13 candidate OB stars which are bright near-infrared point sources without infrared excess and associated with H II regions detected in the radio (IRS2–IRS N4 in Table 2). The two candidate massive stars (IRS4 and IRS7) have only $H$ and $K_s$ detections, but are added to the CMD, and are not plotted in the CCD. IRS5 is only detected in $K_s$. To identify massive YSOs we added bright sources possessing an infrared excess and added two more bright sources in the field to complete the target list for the spectroscopic observations (IRS N5–IRS N8 in Table 2). Recently, Navarete et al. (2011) published the $K$-band spectra of four sources in W3 Main. Two of them (NR386 and NR559) are added to our sample and included in our analysis. The two other stars, IRS N3 and IRS N4, in common with our sample, are used as a crosscheck of the spectral type determination.

A comparison between our LUCI photometry and those of Ojha et al. (2004) and 2MASS shows significant differences. The LUCI photometry of the blue and isolated sources is fully consistent with the photometry by Ojha et al. (2004) and 2MASS. However, for the red sources (IRS4 and IRS7), as well as sources contaminated by strong background emission (IRS2a and IRS2b), a significant difference (in $H$ up to 0.7 mag and in $K_s$ up to 0.9 mag) is found. All of these sources are located inside H II regions and the lower resolution images of Ojha et al. (2004) as well as 2MASS possibly include more nebular contribution, altering the magnitudes. Additionally, for the extremely red sources, a possible small color term in the

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8 Obtained at NSO/Kitt Peak Observatory.
The CMD (Figure 2) can be used to estimate the spectral type of the candidate massive stars by comparison with the absolute magnitudes predicted by the 1 Myr isochrone of Lejeune & Schaerer (2001) and adopting a distance of 1.95 kpc (Xu et al. 2006). The 1 Myr isochrone has been chosen as it likely represents the age of the region better than the zero-age main-sequence (ZAMS; see also Section 4 for a discussion on the age). Using the relation between $T_{\text{eff}}$ and spectral type from Martins et al. (2005) for the O stars and Kenyon & Hartmann (1995) for the B stars, we derived the matching spectral types.

The so-derived photometric spectral types are very sensitive to the chosen extinction law. Especially for the high-extinction sources, a different extinction law changes the spectral type significantly by up to three to four subtypes. We have tested several extinction laws and compared them to the observed CCD (Figure 2). The extinction laws of Rieke & Lebofsky (1985), Ind05 and NI09 provide a reasonable fit to the observed CCD, having a very similar $E(J - H)/E(H - K_S)$ value of $\sim 1.73$ (1.69, 1.73, and 1.76, respectively). The extinction laws of Cardelli et al. (1989), Fitzpatrick (1999), and Román-Zúñiga et al. (2007) do not match the observed distribution of the stars in the CCD, showing a too shallow, $E(J - H)/E(H - K_S) = 1.21$ (Cardelli et al. 1989), and, $E(J - H)/E(H - K_S) = 1.52$ (Román-Zúñiga et al. 2007), or too steep slope, $E(J - H)/E(H - K_S) = 1.84$ (Fitzpatrick 1999) of the reddened main sequence. In the CMD and CCD (Figure 2), we have plotted the extinction law of Ind05.

The other parameter of the extinction law, the total over selective extinction ($R_K = A_J/E(H - K_S)$), is important when absolute values of $A_K$ are derived, e.g., to place the objects in the HRD or derive a photometric spectral type. $R_K$ is difficult to determine from $JHK_S$ data only. Ind05, Rieke & Lebofsky (1985), and NI09 provide different values for $R_K$, while having a similar slope of the near-infrared extinction law. Ind05 derive $R_K = A_K/E(H - K_S) = 1.82 \pm 0.09$, Rieke & Lebofsky (1985) find $R_K = 1.78$, while NI09 derive $R_K = 1.44 \pm 0.01$. This parameter can only be constrained when the absolute magnitude of a reddened source is known. The effect of this parameter on the HRD will be discussed in Section 4.1.

Table 3 shows the resulting photometric spectral types for the extinction laws of Ind05 and NI09. For the low-extinction conv.
sources, $A_K < 1.5$ mag, both laws result in similar photometric spectral types. For the highly embedded sources, such as IRS4 and IRS7, the two spectral types differ dramatically, up to four subtypes.

Apart from the candidate OB stars inside the H\textsc{ii} regions, other candidate OB stars can be found in the CMD. Navarete et al. (2011) identified one of the blue sources as a O7V star, not related to any H\textsc{ii} region. This would suggest that all of the other bright stars could well be O stars, while the stars around $K_s = 13$ mag and $H - K_s \approx 2$ mag are most likely candidate B stars and more widely distributed across the field of W3 Main.

The CCD reveals a number of infrared excess sources. Taking into account the photometric errors, we found 15 sources located more than $3\sigma$ from the reddening line. The majority of the sources are faint ($K_s \approx 14$ mag) and are most likely intermediate-mass PMS stars showing circumstellar disk emission. Among the brighter sources, two are spectroscopic targets: IRS3a and IRS N8, with additionally IRS2a and IRS7 located very close to the $3\sigma$ line. The $K$-band spectra of these sources are thus crucial to establish the true nature of their IR excess.

### 3.2. Spectral Classification

The $K$-band spectroscopy of the candidate massive stars provides more reliable spectral types, based on the presence of photospheric absorption and emission lines, than photometry alone. Additionally, it reveals the nature of the infrared excess sources detected in the CCD.

The $K$-band spectra of the massive stars are shown in Figure 3. The majority of the spectra shows Br$\gamma$ absorption with a narrow emission component. The absorption has a photospheric origin, while the narrow emission line is emitted by the surrounding H\textsc{ii} region. Due to variations of the nebular emission on small spatial scales, we made no attempt to correct the spectra for nebular emission. Other lines present in OB star atmospheres are He\textsc{i} $2.113$ $\mu$m, the N\textsc{iii} complex at $2.115$ $\mu$m (IRS2a and IRS3a), and He\textsc{ii} at $2.1185$ $\mu$m (IRS2).

The LUCI spectra are compared with high-resolution reference spectra of optically classified O and early B stars from Hanson et al. (2005) and Bik et al. (2005). Reference spectra of mid- and late-B stars were added from Hanson et al. (1996). The reference spectra were degraded to the resolution of the LUCI spectra and artificial noise was added to make the spectra comparable in S/N. A visual comparison is performed to determine the best-matching spectral type.

As an additional check, we determined the spectral types by comparing the measured equivalent widths (EWs) of the Br$\gamma$, He\textsc{i}, and He\textsc{ii} absorption lines with the observations of Hanson et al. (1996) and theoretical predictions of Lenorzer et al. (2004). Since the Br$\gamma$ absorption lines are contaminated by the nebular emission, special care has been taken to measure the EW of Br$\gamma$ reliably. We fit a Moffat profile to the absorption wings of Br$\gamma$, which are unaffected by the narrow nebular emission line, providing a more reliable EW determination. The Moffat fit resembles the observed line profile well and reproduces the line shapes of IRS N3 and IRS N5, which are unaffected by nebular emission. The two methods deliver very similar spectral types. Navarete et al. (2011) obtained the $K$-band spectra of IRS N3 and IRS N4, and derived the same spectral types as we derive based on our LUCI spectra.

As shown in Figure 2, four stars with spectra (IRS2a, IRS3a, IRS N7, and IRS N8) show evidence for a near-infrared excess in the CCD. Two of them, IRS N7 and IRS N8, display an emission line spectrum. Additionally, the $K$-band spectrum of the deeply embedded source IRS5 also has these characteristics. These spectra show Br$\gamma$ emission and IRS N7 also shows the CO band head emission at $2.3$ $\mu$m, most likely originating from dense, hot circumstellar material around the star (Bik & Thi 2004; Blum et al. 2004). These emission line spectra, as well as rising continua, suggest that these objects are massive YSOs, objects surrounded by remnant accretion disk, possibly as a result of their formation (Bik et al. 2006).

The other sources with a near-infrared excess, IRS2a and IRS3a, however, do not show a spectrum indicative of circumstellar matter. Their $K$-band spectra show photospheric absorption and emission lines and they are classified as O8V–O9V (IRS2a) and O5V–O7V (IRS3a). Possibly, free–free emission of the bright H\textsc{ii} region could be the cause of their IR excess. This shows the importance of spectroscopy for identifying the stellar content reliably and disentangle the massive YSO candidates from the sources contaminated by nebular emission.

Veiling by free–free emission makes the absorption lines more difficult to detect and could increase the uncertainty of the spectral type determination. In both IRS2a and IRS3a, the N\textsc{iii} complex at $2.115$ $\mu$m is detected, narrowing down the spectral type determination to O5V–O9V (Hanson et al. 1996) and making the effect of veiling on the spectral type determination less important.

Using LUCI MOS spectroscopy, we have determined the spectral type of 13 OB stars in W3 Main as well as identified three massive YSOs. Adding the two sources from Navarete et al. (2011) to our sample results in a total of 15 OB stars. The final derived spectral types are given in Table 3 and vary from O5V–O7V for IRS3a to B3V–B4V for IRS N6.

When we compare the spectral types with those derived from the photometry (Section 3.1), it turns out that the photometry usually predicts earlier spectral types. For some objects, like IRS2a, IRS3a, the spectral types derived with both methods agree well. However, in many cases the spectral types are rather different, e.g., for IRS2, IRS N1, and IRS N4. In the case of IRS N4, the photometric spectral type is O7V (for both extinction laws), for which the N\textsc{iii} emission line at $2.115$ $\mu$m and the He\textsc{ii} absorption line at $2.185$ $\mu$m are expected. However, in the spectrum of IRS N4 these lines are not observed, resulting in a much later spectral type (B0.5V–B2V).

### 3.3. HR Diagram

After spectroscopic classification, the objects can be placed in the HRD. The intrinsic $H - K_s$ colors as well as the bolometric corrections are taken from Martins & Plez (2006) for the O stars and Kenyon & Hartmann (1995) for the B stars. First, the $E(H - K_s)$ is calculated and converted into $A_K$. For the determination of $A_K$, the two extinction laws (Ind05; NI09) provide different values. In Table 3, the values of $A_K$ are given for the Ind05 extinction law. To obtain the values using the NI09 extinction law, a factor of $0.79 \times E(H - K_s)$ needs to be applied.

After accounting for extinction, and applying the distance modulus and the bolometric correction, the luminosities of the massive stars in W3 Main are derived and they are placed in the HRD (Figure 4). The left panel shows the HRD with the extinction correction by Ind05 ($R_K = 1.82$), while the right diagram shows the correction by NI09 ($R_K = 1.44$). The error budget of the luminosity is dominated by the errors on the extinction coefficients, while the error in $T_{\text{eff}}$ is given by the uncertainty of the spectral classification, which in turn results in an extra uncertainty in the bolometric correction.
Figure 3. Normalized $K$-band spectra of the massive stars in W3 Main as taken with the multi-object mode of LUCI. Annotated with dashed lines are the spectral features which are important for classification of the stellar spectra. For the details of the classification see the main text.

Overplotted in the HRDs are the ZAMS and main-sequence isochrones from 1 to 3 Myr from Lejeune & Schaerer (2001), the PMS isochrones for intermediate-mass stars ($M < 5\, M_\odot$, Siess et al. 2000) as well as the theoretical birth line (Palla & Stahler 1990). Overplotted also are the high-mass protostellar evolutionary tracks from Hosokawa & Omukai (2009) for $10^{-5}$ and $10^{-4}\, M_\odot\, yr^{-1}$ accretion rates.

Comparison between the two HRDs shows that, on average, the stars are closer to the main sequence in the right diagram with the NI09 extinction correction. In the left diagram, with the Ind05 law, most of the sources are located to the right of the main sequence. Only IRS2a and IRS N6 better fit the main sequence in this diagram, while still being in good agreement with the main-sequence location in the NI09 case. The very deeply embedded sources IRS4 and IRS7 are located closer to the main sequence in the diagram with the NI09 law. Several sources, like IRS N1, IRS N4, and IRS2, remain to the right of the main sequence in both diagrams.

To clarify the description of the HRD, we divide the HRD into three areas: the upper HRD with $\log L/L_\odot > 5$, where IRS2 and IRS3a are located. The middle area of the HRD ($3.5 < \log L/L_\odot < 5$), is where most of the stars are located. The lower HRD ($\log L/L_\odot < 3.5$) is the area of the intermediate-mass (PMS) stars where IRS N6 is located close to the PMS turn-on region.

Two stars are located in the upper HRD, IRS2, and IRS3a, where the theoretical isochrones indicate that the most massive stars already show significant evolution after a few Myr. As already noted in Section 3.1, IRS2 has a significant difference between the photometric and spectroscopic spectral type. It is the most luminous object in W3 Main, but not the earliest-type star (O6.5V–O7.5V). This is illustrated by its location to the right of the ZAMS, more consistent with the 2–3 Myr isochrones. The foreground extinction is not very extreme, and therefore the location of IRS2 in the HRD does not vary a lot for different extinction laws.

IRS3a (O5V–O7V), however, is very reddened ($A_K = 5.42 \pm 0.79$ mag) and its location in the HRD depends strongly on the adopted extinction law. Additionally, the presence of a near-infrared excess (Figure 2) makes its luminosity more uncertain. However, the effective temperature determination is more reliable and independent of extinction and excess. This
allows us to place an upper limit on the age of 3 Myr, as stars on older isochrones will be of later spectral type than IRS3a.

In the middle HRD, the position of the sources is most consistent—within 3σ—with their expected main-sequence location. For spectral types between O9V and B1V, where most of the objects are found, the change in $T_{\text{eff}}$ and the $K$-band magnitude is large between, e.g., O9V and B0V. However, the change in observable spectral features is not that dramatic. This is reflected in the large error bars of, e.g., IRS N5 and IRS7. IRS N1 and IRS N4, however, are the farthest away from the main sequence. As their extinction is relatively low, their position does not change much adopting different extinction laws. Below we discuss possible scenarios explaining this offset.

A misclassification of the spectral type is unlikely. Similarly as described in Section 3.2, the observed spectra of IRS N1 and IRS N4 are not compatible with the expected spectral type derived from their luminosity (O7V and B1V).

Another explanation for the offset in the HRD is that the sources are at different distances. Calculating the spectrophotometric distance of the stars, assuming that they all are on the main sequence, would result in a distance between 1 and 3.4 kpc suggesting that subregions of W3 Main would be 1 kpc in the foreground. Heyer & Terebey (1998) show that the entire W3/W4/W5 molecular cloud complex forms a connecting structure, both in spatial projection and velocity, suggesting that the entire complex is at the same distance (see also Megeath et al. 2008). Therefore, we consider it highly unlikely that the offsets in the HRD can be explained by different distances for the individual sources.

Other effects, however, can be responsible for this offset in the HRD. Most of the massive stars are observed to be binary stars (e.g., Bosch et al. 2001; Apai et al. 2007). An equal mass binary would result in a mismatch in the observed luminosity by a factor of two ($\Delta \log L/L_\odot = 0.3$ dex), with a multiple star system increasing the offset even more. Both sources would have to be a system of five to six equal mass stars to explain their location above the main sequence, however.

Stellar evolution can also be the reason why these objects are located away from the main sequence. The location of IRS N4 would be compatible with a 10 Myr main-sequence track, while IRS N1 would require an even older isochrone. This is highly unlikely, in particular for IRS N1, as the star is located inside a UCH\(\text{II}\) region, which is still very young ($\sim 10^5$ yr; Wood & Churchwell 1989). IRS N4 is the central star of a diffuse and more evolved H\(\text{II}\) region; as shown by Lada & Lada (2003) a time span of 10 Myr would be enough to disperse the surrounding gas.

Another possible explanation for the HRD loci of the stars is that they are still in the PMS phase. Above the “birth line” no optically visible PMS stars are expected (Palla & Stahler 1990). However, using deep near-infrared observations we might start to probe the PMS phase of massive stars. Theoretical modeling of the protostellar evolution predicts that high-mass protostars
might have a similar evolution to intermediate PMS stars toward the main sequence (Hosokawa & Omukai 2009).

The exact path of the protostars in the HRD depends on the accretion rate. Overplotted in Figure 4 are two tracks for accretion rates of $10^{-4} M_\odot$ yr$^{-1}$ and $10^{-5} M_\odot$ yr$^{-1}$. The location of IRS N1 would be consistent with an accretion rate between $10^{-5}$ and $10^{-4} M_\odot$ yr$^{-1}$, while IRS N4 would require a slightly higher accretion rate.

The location of IRS N1 and IRS N4 are consistent with the very last stages of the PMS evolution where the stars are contracting to their main-sequence temperature and luminosity. In this contraction phase, the accretion luminosity only contributes 20% in the case of the $10^{-4} M_\odot$ yr$^{-1}$ and 10% in the case of the $10^{-5} M_\odot$ yr$^{-1}$ track, respectively. The absorption lines in the $K$-band spectra of IRS N1 and IRS N4 as well as the lack of near-infrared excess are consistent with the stellar contribution being the dominant source of luminosity.

Surprising for those young objects, however, is the lack of infrared emission coming from a circumstellar disk. The presence of a disk would make those objects similar to, e.g., IRS N7 or IRS N8, which show an emission line spectrum and a near-infrared excess. An explanation of the lack of disk emission could be the fast dispersal of the disk by far-UV (FUV) photons from other cluster members in W3 Main (see Section 4.1).

In summary, the spectral classification of the massive stars in W3 Main allows the comparison with stellar evolution models in the HRD. The location of the most massive stars in the upper HRD suggests that IRS2 has an age of 2–3 Myr, and IRS3a is slightly younger, while a speculative explanation of the displacement of IRS N1 and IRS N4 in the HRD could be high-mass PMS evolution. However, due to the large impact a change of extinction law has on the appearance of the HRD, it is difficult to assess with confidence whether these stars are in the PMS phase. To prove this hypothesis, a good determination of the stellar surface gravity, by means of modeling the absorption lines of high signal-to-noise spectra, is needed to show that they have larger radii than main-sequence stars.

### 3.4. Energy Budget of the H II Regions

Apart from IRS N6, NR559, and NR386, all OB stars in W3 Main are located in H II regions detected at radio wavelengths (Tieftrunk et al. 1997). Assuming the radio emission to be optically thin, we recalculated the number of ionizing photons responsible for the radio flux using the distance of 1.95 kpc instead of 2.3 kpc quoted by Tieftrunk et al. (1997). With Martins et al. (2005) and Smith et al. (2002), the number of ionizing photons is converted into a spectral type of a single star that would be responsible for the ionization of the H II region (Table 4). These spectral types are compared to the spectral types of the OB stars inside the H II regions. In the case of W3 A (radio spectral type O6V), five OB stars are found in a single H II region. Nevertheless, IRS2, the earliest type star, is the main source of ionization as the number of ionizing photons drops very fast with spectral type (Martins et al. 2005). IRS2 is of spectral type O6.5V–O7.5V, while the second most massive star, IRS2a (O8V–O9V) only emits $10^{47.70–48.28}$ ionizing photons.

For the H II regions W3 A (O6.5V), W3 B (O7.5V), W3 C (O9.5V), W3 E (B1V), and W3 F (B0V), the most luminous stars provide sufficient Lyman continuum flux to ionize these H II regions. For the diffuse H II regions W3 H (O9V), W3 J (O8.5V), and W3 K (O7.5V), on the other hand, the Lyman continuum flux of the brightest source is not sufficient to explain the radio flux. IRS N3 (B0V–B2V) in W3 J and IRS N4 (B0.5V–B2V) in W3 K are the brightest stars, located in the center of these H II regions, and therefore are expected to be the main ionizing sources. However, the expected spectral type from the radio flux is much earlier and inconsistent with the observed spectrum of the ionizing sources. Interestingly, the photometric spectral type of IRS N4 (O7V) agrees better with that expected from the radio flux of W3 K. However, as discussed in Section 3.2, an O7V spectrum is not compatible with the observed spectrum of IRS N4, so a misclassification of the spectrum is not likely. The spectroscopic classification of IRS N3 and IRS N4 by Navarete et al. (2011) confirms our spectral classification based on a different data set.

Leakage of FUV photons or dust attenuation would be able to explain why the ionizing star would be of earlier spectral type (e.g., Kurtz et al. 1994), but will not result in the fact that the observed radio emission cannot be accounted for by the ionizing star. Smith et al. (2002) show that the number of ionizing photons is much higher (log $Q_0 = 47.8$ s$^{-1}$) for a B1 supergiant instead of log $Q_0 = 46.5$ s$^{-1}$ for a B1 dwarf. This would come closer to the value derived from the radio emission. However, their position in the HRD (Figure 4) does not comply with this explanation, since these stars are both too faint to be early B supergiants, which would have a luminosity of $\sim 10^{3.5} L_\odot$ (Martins et al. 2005), while the observed luminosity is $\sim 10^{4.2} L_\odot$ (IRS N3) and $\sim 10^{4} L_\odot$ for IRS N4. The presence of supergiants is also not consistent with the derived age of W3 Main.

#### 3.5. Cluster Mass

Determinations of the mass of embedded regions like W3 Main are hampered by the extreme extinction variations observed in the cluster (Figure 2). No clearly reddened main sequence is visible which can be compared to main-sequence isochrones directly (e.g., Ascenso et al. 2007; Brandner et al. 2008). However, the spectroscopy of the massive stars results in a direct mass estimate for the stars of the upper end of the mass function.

The masses of the stars were calculated by comparing the stellar luminosities to the 1 Myr main-sequence isochrone. Only for IRS2, is the 3 Myr isochrone used as it already evolved away from the location of the 1 Myr isochrone. This results in stellar masses of $35 M_\odot$ for IRS2 as the most massive star and $5 M_\odot$ for IRS N6, the lowest mass star in our sample.

In order to obtain an extinction-limited sample, which is complete to the highest extincted massive star we have detected with spectroscopy, we took the mass of our reddest OB star, IRS 7 (11 $M_\odot$) as our lower-mass limit where we are complete.
this way, we select 13 out of 15 OB stars studied in this paper. Inspecting the CMD shows an additional 12 sources above this mass limit without spectroscopic classification. Navarete et al. (2011) identified one of the bright stars, not related to any H II region, as a massive star, and this suggests that the other stars might well be members of W3 Main. Assuming that all of these 12 sources are part of W3 Main, we end up with a total of 25 stars between 11 and 35 $M_\odot$. This number does not include the deeply embedded stars around IRS5 as they have even higher extinction. Additionally, our observations only cover the central 2.5 pc $\times$ 2.5 pc, while the cluster extends to 6 pc based on X-ray observations (Feigelson & Townsley 2008). However, the H II regions tracing the massive stars are all located in the central 2.5 pc. We calculate the mass of the cluster assuming that we are complete in the detection of the massive stars with $A_K < 5.9$ mag.

Assuming a Kroupa initial mass function (IMF; Kroupa 2002) with a slope $\Gamma = -1.3$ between 0.5 and 120 $M_\odot$ and $-0.3$ for masses between 0.08 and 0.5 $M_\odot$ and the total number of stars between 11 and 35 $M_\odot$ (25 stars), we can extrapolate the mass function and obtain an estimate of the total stellar mass in the cluster. For the extrapolation we carried out Monte Carlo simulations assuming a randomly populated IMF as described in Brandner et al. (2008), resulting in a total mass of $(4 \pm 1) \times 10^5 M_\odot$.

The quoted uncertainty is only due to the effects of random sampling. When only the stars where spectroscopy has confirmed their cluster membership are used (15 stars), then the derived mass is about a factor of two lower. Additionally, binaries are not taken into account as well as the deeply embedded regions are not taken into account as well. The derived mass is about a factor of two lower. With $4000 M_\odot$, W3 Main is about twice as massive as the Orion Nebula cluster (O’Dell 2001).

The total mass of the W3 GMC is estimated to be $5 \times 10^4 M_\odot$ based on $^{12}$CO observations (Dickel 1980), but encompasses more than just W3 Main alone. When looking at just the molecular gas toward W3 Main, Dickel (1980) derives a minimum mass for the high-density gas toward the W3 Main region of $5 \times 10^3 M_\odot$, which is confirmed by $^{13}$CO observations (Thronson 1986). Together with our mass estimate of $4 \times 10^3 M_\odot$, this would result in an estimate of the star formation efficiency (SFE) of $\sim 44\%$. Note that the southern part of the W3 Main region most likely already evacuated the gas, and therefore this should be considered as a rough upper limit. Such high value of the SFE is consistent with the prediction of Bonnell et al. (2011) for clusters forming in the gravitationally bound regions of a molecular cloud (SFE $\sim 40\%$). Whether the cluster will remain bound if the gas is removed (Portegies Zwart et al. 2010) remains uncertain and cannot be constrained with our current observations.

4. DISCUSSION

4.1. Massive Young Stellar Objects

Apart from the 15 OB stars, 3 massive YSOs have been detected. Their spectra are dominated by circumstellar emission and no spectral type can be derived from their K-band spectra. However, based on their location and detection at other wavelengths, we can derive some upper limits to their luminosity. IRS5 is located in an H II region and is a small multiple system (Megeath et al. 2005; van der Tak et al. 2005; Rodón et al. 2008) with a total luminosity of $3 \times 10^3 L_\odot$ ionized by a handful of early B stars (Campbell et al. 1995). The two other massive YSOs in our sample, IRS N7 and IRS N8, are not associated with a detectable H II region. Also, they have no detectable submillimeter counterpart. IRS N7 is located at the southern edge of the submillimeter clump centered on IRS5, while IRS N8 is located east of the clump centered on IRS4 (Moore et al. 2007).

In contrast to the very embedded IRS5, both sources are detected in JHK, and therefore are less embedded and probably more evolved than IRS5. The lack of radio emission would suggest that they are mid- or late-B stars, as for more massive stars, an H II region would be expected. Similar objects have been detected in other star-forming regions (Hanson et al. 1997; Bik & Thi 2004; Blum et al. 2004; Bik et al. 2006), but no clear reason has been identified for why these stars are still surrounded by circumstellar matter, while other OB stars already cleared their surroundings. Bik et al. (2006) showed that these objects have similar spectral and photometric properties to Herbig AeBe stars, which are still surrounded by circumstellar disks even when they become optically visible.

We detected OB stars in the diffuse, compact, and UCH II regions. Only in the HCH II region W3 M, circumstellar emission from IRS5 has been detected in the K-band spectrum. This shows that the accretion disks around the massive stars in W3 Main are destroyed within 10$^3$ yr. When an HCH II region evolves to the UCH II phase, circumstellar disks are destroyed by the strong FUV field and stellar winds of the recently formed massive stars (Hollenbach et al. 1994).

4.2. Evolutionary Sequence in W3 Main

W3 Main harbors several different evolutionary stages of H II regions, ranging from very young HCH II regions (few 10$^3$ yr) and UCH II regions ($\sim$10$^5$ yr; Wood & Churchwell 1989) to evolved, diffuse H II regions (few 10$^6$ yr). All of these regions are most likely formed out of the same molecular cloud. This provides the possibility to study the evolution of young H II regions and their stellar content in great detail. Tieftrunk et al. (1997) derived an evolutionary sequence for the H II regions in W3 Main based on the morphology of the radio sources. The youngest are the HCH II regions W3 M and W3 Ca, with the UCH II regions W3 F, W3 C, and W3 E, slightly older, the compact H II regions W3 B and W3 A even more evolved, and the diffuse H II regions, W3 K and W3 J being the oldest H II regions in W3 Main. This classification can be compared to the ages of the massive stars deduced from their position in the HRD.

We have detected OB stars in three diffuse H II regions, two compact H II regions, and three UCH II regions with HCH II region W3 M harboring the high-mass protostar IRS5 (see Section 4.1). The position of IRS2 in the HRD suggests an age of 2–3 Myr, consistent with its location in a relatively evolved compact H II region (W3 A) with a similar or younger age derived for IRS5a, harbored in a younger UCH II region. For the lower-mass stars (late O, early B) the isochrones are too close to each other to derive any age information. For IRS N1, located inside the UCH II region W3 E, the offset from the main sequence could be explained by high-mass PMS evolution (Hosokawa & Omukai 2009), consistent with the expected young age of the UCH II region.

A similar sequence can be seen in the extinction toward the different H II regions. The extinction varies from $A_K = 0.9$ mag for the diffuse H II regions W3 J and W3 K to very high extinction ($A_K = 5.9$ mag) toward W3 F. Only IRS5a, located in the compact H II region W3 B, does not follow the trend and shows a very high extinction ($A_K = 5.4$ mag).
Such a sequence in extinction indicates that the stars in diffuse 
H II regions have already cleared out their surroundings and 
destroyed the molecular cloud, while those in UCH II regions 
are still embedded in their parental molecular cloud.

Based on the presence of different evolutionary stages of 
H II regions as well as the location of the most massive stars in 
the HRD, we can conclude that an age spread of a few Myr is most 
likely present for the massive stars in W3 Main. The presence of 
an older population of a few Myr is supported by the detection 
of several 100s class III stars in the X-rays by Feigelson & 
Townsley (2008). These authors suggest that at least some of 
the OB stars have formed after the lower-mass PMS stars. A 
spectroscopic analysis of a sample of intermediate-mass PMS 
stars will provide more insights into this suggestion (A. Bik 
et al. 2011, in preparation).

A large number of young stellar clusters show evidence for an 
age spread, usually based on the analysis of the PMS population 
in the HRD. In Orion, an age spread of a few Myr has been found 
(Palla & Stahler 1999; Da Rio et al. 2010), as well as in the 
LMC in the young stellar cluster LH95 (Da Rio et al. 2010a).
In starburst clusters, however, upper limits on the age spread 
are still embedded in their parental molecular cloud.

of less than 1 Myr have been found for Westerlund 1 (Clark 
In starburst clusters, however, upper limits on the age spread 

Similar results are found for the PMS population of NGC 3603 
(Palla & Stahler 1999; Da Rio et al. 2010b), as well as in the 
feedback and the location of the most massive stars in the 
HRD, we can conclude that an age spread of a few Myr is most 
likely present for the massive stars in W3 Main.

of star formation events.

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