CoVer-ability: Consistent Versioning for Concurrent Objects

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Abstract

An object type characterizes the domain space and the operations that can be invoked on an object of that type. In this paper we introduce a new property for concurrent objects, we call coverability, that aims to provide precise guarantees on the consistent evolution of an object. This new property is suitable for a variety of distributed objects including concurrent file objects that demand operations to manipulate the latest version of the object. We propose two levels of coverability: (i) strong coverability and (ii) weak coverability. Strong coverability requires that only a single operation can modify the latest version of the object, i.e. “covers” the latest version with a new version, imposing a total order on object modifications. Weak coverability relaxes the strong requirements of strong coverability and allows multiple operations to modify the same version of an object, where each modification leads to a different version. Weak coverability preserves consistent evolution of the object, by demanding any subsequent operation to only modify one of the newly introduced versions. Coverability combined with atomic guarantees yield to coverable atomic read/write registers. We also show that strongly coverable atomic registers are equivalent in power to consensus. Thus, we focus on weakly coverable registers, and we demonstrate their importance by showing that they cannot be implemented using similar types of registers, like ranked-registers. Furthermore we show that weakly coverable registers may be used to implement basic (weak) read-modify-write and file objects. Finally, we implement weakly coverable registers by modifying an existing MWMR atomic register implementation.

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1 Introduction

Motivation and Prior Work. A concurrent system allows multiple processes to interact with a single object at the same time. A long string of research work \cite{1,5,14,16} has been dedicated to explain the behavior of concurrent objects, defining the order and the outcomes of operations when those are invoked concurrently on the object. Lamport in \cite{15,16} presented three different incremental semantics, safety, regularity, and atomicity that characterize the behavior of read/write objects (registers) when those are modified or read concurrently by multiple processes. The strongest, and most difficult to provide in a distributed system, is atomicity which provides the illusion that the register is accessed sequentially. Herlihy and Wing presented linearizability in \cite{14}, an extension of atomicity to general concurrent objects. More recent developments have proposed abortable operations in the event of concurrency \cite{1}, and ranked registers \cite{5} that allow operations to abort in case a higher “ranked” operation was previously or concurrently executed in the system.

With the advent of cloud computing, emerging families of more complex concurrent objects, like files, distributed databases, and bulleting boards, demand precise guarantees on the consistent evolution of the object. For example, in concurrent file objects one would expect that if a write operation \(\omega_1\) is invoked after a write operation \(\omega_2\) is completed, then \(\omega_2\) modifies either the version of the file written by \(\omega_1\) or a version of the file newer than the one written by \(\omega_1\). So is it possible to provide such guarantees using simpler objects as building blocks?

In existing atomic read/write distributed shared register implementations, write operations are usually allowed to modify the value of the register, even when they are unaware of the value written by the latest preceding write operation. In systems that assume a single writer \cite{2,7,11,12}, the problem may be diminished by having the sole writer compute the next value to be written in relation to the previous values it wrote. The problem becomes more apparent when multiple writers may alter the value of a single register concurrently \cite{8,19}. In such cases, atomic read/write register implementations appear unsuitable to directly implement objects that demand evolution guarantees. Closer candidates to build such objects are the bounded \cite{3} and ranked \cite{5} registers. These objects take into account the “rank” or sequence number of previous operations to decide whether to allow a read/write operation to commit or abort. These approaches do not prevent, however, the use of an arbitrarily higher rank, and thus an arbitrarily higher version, than the previous operations. This affects the consistent evolution of the object, as intermediate versions of the object maybe ignored.

Contributions. In this paper we propose a formalism to extend a concurrent object in such a way that the evolution of its state satisfies certain guarantees. To this end, we extend an object state with a version, and introduce the concept of coverability, that defines how the versions of an object can evolve (Section 3).

In particular, we first introduce a new class of a concurrent read/write register type, which we call versioned register. A concurrent register is of a versioned type, if the state of the register and any operation (read or write) that attempts to modify the state of the register, are associated with a version. An operation may modify the state and the version of the register, or it may just retrieve its state-version pair.

Coverability defines the exact guarantees that a versioned register provides when it is accessed concurrently by multiple processes with respect to the evolution of its versions. We define two levels of coverability: strong and weak coverability. Strong coverability ensures that only a single operation may change a given version (and thus the state) of the register, resulting in a linear evolution of the versions (and the states) of the register. Weak coverability relaxes this rule and allows multiple operations to change a version, generating in this way a tree with possibly multiple version branches that can grow in parallel. This shares similarities with fork linearizability presented in \cite{20}. However, in contrast to \cite{20}, weak coverability allows processes, that change the same version of the object, to see the changes of each other in subsequent operations. In particular, by weak coverability, when all the operations that extend a particular version of the object terminate, there is one version \(\text{ver}\) that was generated by one of those operations, which is the ancestor of any version extended by any subsequent operation. Thus, only a single branch in the tree is extended and that branch denotes the evolution of the register. Combining strong/weak coverability with atomic guarantees we obtain strongly/weakly coverable atomic read/write registers. While strongly coverable atomic registers are very desirable objects, we show that they are in fact very strong. In particular, we argue that these object types are as powerful as consensus objects (the details are given in Appendix \[\text{B}\]). Hence, it is challenging to implement these objects in some distributed
systems, and impossible in an asynchronous system prone to failures (from the FLP result [10]).

The good news is that even weakly coverable atomic registers have very interesting features. On the one hand, they can be implemented in message passing asynchronous distributed systems where processes can fail. To show this, we describe how algorithms that implement atomic R/W registers can easily be modified to implement these objects (Section 3). On the other hand, we show that weakly coverable atomic registers cannot be implemented using other previously defined register types such as ranked registers (Section 4).

One of the main motivations for introducing coverable registers are file objects, which can be seen as special cases of register objects in which each new value is a revision of the previous value. In essence, each modification of a file can be seen as an atomic read-modify-write (RMW) operation. Strongly coverable atomic registers provide the desired strong guarantees for files, since they are powerful enough to support atomic RMW operations. However, we show that even weakly coverable atomic registers can be used to provide interesting weak RMW guarantees that can be used to implement files with a good level of consistency (Section 5).

2 Model

We consider a distributed system composed of \( n \) asynchronous processes, with identifiers from a set \( I = \{p_1, \ldots, p_n\} \), each of which represents a sequential thread of control. Processes may interact with a set of shared objects \( O \). Each object in \( O \) represents a data structure shared among processes, and has a type which defines the possible set of object states and the set of operations that provide the means to manipulate the object. A subset of processes may fail by crashing.

Processes can be modeled in terms of I/O Automata [18]. An automaton \( A \) (which combines the automata \( A_i \) for each process \( p_i \in I \)) is defined over a set of states and a set of actions. An execution \( \xi \) of \( A \) is an alternating sequence of states and actions of \( A \). An execution fragment is a finite prefix of an execution. We say that an execution fragment \( \xi' \) extends an execution fragment \( \xi \), if \( \xi \) is a prefix of \( \xi' \). A history of an automaton \( A_i \), denoted by \( H_\xi \), is the subsequence of actions occurring in some execution fragment \( \xi \). An automaton \( A \) invokes an operation when an invocation action occurs in an execution \( \xi \), and receives a response to an action when a response action occurs. An operation \( \pi \) is complete in an execution \( \xi \), if \( H_\xi \) contains both the invocation and the matching response actions for \( \pi \); otherwise \( \pi \) is incomplete. A history \( H_\xi \) of the automaton \( A_i \) of a process \( p_i \) is well formed if it begins with an invocation event and alternates between matching invocation and response events. (This demonstrates the assumption that each process is a single thread of control.) Each history \( H_\xi \) includes a precedence relation \( \rightarrow_\xi \) on its operations. An operation \( \pi_1 \) precedes an operation \( \pi_2 \) (or \( \pi_2 \) succeeds \( \pi_1 \)) in \( H_\xi \) if the response of \( \pi_1 \) appears before the invocation of \( \pi_2 \) in \( H_\xi \). This is denoted by \( \pi_1 \rightarrow_\xi \pi_2 \). If \( \pi_1 \not\rightarrow_\xi \pi_2 \) and \( \pi_2 \not\rightarrow_\xi \pi_1 \) in \( H_\xi \), then \( \pi_1 \) and \( \pi_2 \) are concurrent. A process \( p_i \) crashes in an execution \( \xi \) if the event fail\(_{p_i} \) appears and is the last action of \( p_i \) in \( H_\xi \); otherwise \( p_i \) is correct.

3 Coverable Atomic Read/Write Registers

In this section we define a new type of R/W register, the versioned register. Next we provide new consistency properties for concurrent versioned registers called (strong/weak) coverability. We show how coverability can be combined with atomic guarantees to yield a coverable atomic register.

Versioned register. Let Versions be a totally ordered set of versions. A versioned register is a type of read/write register where each value written is assigned a version from the set Versions. Moreover, each write operation \( \pi \) that attempts to change the value of the register is also associated with a version, say \( \text{ver}_\pi \), denoting that it intends to overwrite the value of the register associated with the version \( \text{ver}_\pi \). More precisely, an implementation of a R/W register offers two operations: read and write. A process \( p_i \in I \) invokes a write (resp. read) operation when it issues a write(val\(_{p_i} \), ver\(_{p_i} \)) (resp. readver\(_{p_i} \)) request. The versioned variant of a R/W register also offers two operations: (i) cvr-write(val, ver\(_{p_i} \)) \(_{p_i} \), and (ii) cvr-read(val, ver\(_{p_i} \)) \(_{p_i} \). A process \( p_i \) invokes a cvr-write(val, ver\(_{p_i} \)) \(_{p_i} \) operation when it performs a write operation that attempts to change the value of the object. The operation returns the value of the object and its associated version, along with a flag
informing whether the operation has successfully changed the value of the object or failed. We say that a write is successful if it changes the value of the register; otherwise the write is unsuccessful. The read operation cvr-read\(_{p_i}\) involves a request to retrieve the value of the object. The response of this operation is the value of the register together with the version of the object that this value is associated with.

Read operations do not incur any change on the value of the register, whereas write operations attempt to modify the value of the register. More formally, let \(\Delta_T\) be the set of transitions for the versioned register. Then, each \(\delta \in \Delta_T\) is a tuple \((\sigma, \pi, p_i, \sigma', \text{res})\), denoting that the register moves from state \(\sigma\) to state \(\sigma'\), and responds with \(\text{res}\), as a result of operation \(\pi\) invoked by process \(p_i \in I\). The state of a versioned register is essentially its value, drawn from a set \(V\), and its version, drawn from the set \(\text{Versions}\). We assume that \(\Delta_T\) is total, that is, for every \(\pi \in \{\text{cvr-write}(val, ver)_{p_i}, \text{cvr-read}(\)_{p_i}\}\}, p_i \in I, and \(\sigma = (val, ver) \in V \times \text{Versions}\), there exists \(\sigma' = (val', ver') \in V \times \text{Versions}\) and \(\text{res}\) such that \((\sigma, \pi, p_i, \sigma', \text{res}) \in \Delta_T\). As such, the transitions of the versioned register type can be written as follows:

1. \(\langle(val, ver), \text{cvr-write}(val', ver_{\omega}), p_i, (val', ver'), (val', ver', chg)\rangle\), for \(ver_{\omega} = ver\),
2. \(\langle(val, ver), \text{cvr-write}(val', ver_{\omega}), p_i, (val, ver), (val, ver, unchg)\rangle\), for \(ver_{\omega} \neq ver\),
3. \(\langle(val, ver), \text{cvr-read}(\), p_i, (val, ver), (val, ver)\rangle\).

Notice that write operations may or may not modify the value/version of the register. In the transitions above, \(ver_{\omega}\) denotes the version of the register which the write operation tries to modify. The relationship of \(ver\) with \(ver'\) may vary depending on the application that uses this register (but seems natural to assume that \(ver' > ver\)). A read operation does not make any changes on the value or the version of the object. To simplify notation, in the rest of the paper we avoid any reference to the value of the register. Additionally we only use the flag when its value is unchg. Thus, \(\text{cvr-write}(v, ver)(v, ver', chg)_{p_i}\) is denoted as \(\text{cvr-}\omega(\text{ver})(\text{ver}')_{p_i}\), and \(\text{cvr-write}(v, ver)(v', ver', unchg)_{p_i}\) is denoted as \(\text{cvr-}\omega(\text{ver})(\text{ver}', \text{unchg})_{p_i}\),

We say that, a write operation revises a version \(ver\) of the versioned register to a version \(ver'\) (or produces \(ver'\)) in an execution \(\xi\), if \(\text{cvr-}\omega(\text{ver})(\text{ver}')_{p_i}\) completes in \(H_{\xi}\). Let the set of successful write operations on a history \(H_{\xi}\) be defined as:

\[
W_{\xi, \text{succ}} = \{\pi : \pi = \text{cvr-}\omega(\text{ver})(\text{ver}')_{p_i} \text{ completes in } H_{\xi}\}
\]

The set now of produced versions in the history \(H_{\xi}\) is defined by:

\[
\text{Versions}_{\xi} = \{ver_i : \text{cvr-}\omega(\text{ver})(\text{ver}')_{p_i} \in W_{\xi, \text{succ}}\} \cup \{ver_0\}
\]

where \(ver_0\) is the initial version of the object. Observe that the elements of \(\text{Versions}_{\xi}\) are totally ordered. In the rest of the text we use \('*+'\) in the place of some parameter to denote that any legal value for that parameter can be used. Now we present the validity property which defines explicitly the set of executions that are considered to be valid executions.

**Definition 1 (Validity)** An execution \(\xi\) (resp. its history \(H_{\xi}\)) is a valid execution (resp. history) on a versioned object, if \(W_{\xi}\) and for any \(p_i, p_j \in I\):

- \(\forall \text{cvr-}\omega(\text{ver})(\text{ver}')_{p_i} \in W_{\xi, \text{succ}}, \text{ver} < \text{ver}'\),
- for any operations \(\text{cvr-}\omega(\ast)(\text{ver}')_{p_i}\) and \(\text{cvr-}\omega(\ast)(\text{ver}'')_{p_j}\) in \(W_{\xi, \text{succ}}\), \(\text{ver}' \neq \text{ver}''\), and
- for each \(ver_k \in \text{Versions}_{\xi}\), there is a sequence of versions \(ver_0, ver_1, \ldots, ver_k\), such that \(\text{cvr-}\omega(\text{ver}_i)(\text{ver}_{i+1})\) in \(W_{\xi, \text{succ}}\) for \(0 \leq i < k\).

Validity makes it clear that an operation changes the version of the object to a larger version, according to the total ordering of the versions. Also validity specifies that versions are unique, i.e. no two operations associate two states with the same version. This can be easily achieved by, for example, recording a counter and the id of the invoking process in the version of the object. Finally, validity requires that each version we reach in an execution is derived (through a chain of operations) from the initial version of the register \(ver_0\). From this point onward we fix \(\xi\) to be a valid execution and \(H_{\xi}\) to be its valid history.
Coverability. We can now define the strong and weak coverability properties over a valid execution $\xi$ of versioned registers with respect to some total order $>_{\xi}$ on the operations of $\xi$.

**Definition 2 (Strong Coverability)** Let $\forall i < j < \ldots < \forall \forall_{\xi,\text{succ}}$ be the versions in $\text{Version}_{\xi}$. A valid execution $\xi$ is strongly coverable with respect to a total order $<_{\xi}$ on operations in $W_{\xi,\text{succ}}$ if:

- $c\forall-\omega(\forall_{i-1})[\forall_i] \in W_{\xi,\text{succ}}$, for $1 \leq i \leq |W_{\xi,\text{succ}}|$,
- $c\forall-\omega(\forall_{i-1})[\forall_i] <_{\xi} c\forall-\omega(\forall_i)[\forall_{i+1}]$, for $1 \leq i < |W_{\xi,\text{succ}}|$, and
- if $\forall_1, \forall_2 \in W_{\xi,\text{succ}}$, and $\forall_1 \rightarrow_{H_{\xi}} \forall_2$ then $\forall_1 <_{\xi} \forall_2$.

By Definition 2 all successful write operations are totally ordered with respect to the versions they modify. Notice that only a single write operation modifies each version $\forall_{i-1}$ to the next version $\forall_i$. Thus, strong coverability defines an object type which is difficult to provide in an asynchronous distributed setting. In fact it can be shown that strongly coverable registers can be used to solve consensus among asynchronous fail-prone processes (see Appendix B). However, as shown by Fischer, Lynch and Paterson [10], solving consensus in such a system is impossible in the existence of a single crash failure, unless some powerful object is used. Hence the interest in defining a weaker version of coverability.

**Definition 3 (Weak Coverability)** A valid execution $\xi$ is weakly coverable with respect to a total order $<_{\xi}$ on operations in $W_{\xi,\text{succ}}$ if:

- **(Consolidation)** If $\forall_1 = c\forall-\omega(*)[\forall_1], \forall_2 = c\forall-\omega(\forall)[\forall] \in W_{\xi,\text{succ}}$, and $\forall_1 \rightarrow_{H_{\xi}} \forall_2$ in $H_{\xi}$, then $\forall_{\forall} \leq \forall_{\forall}$ and $\forall_1 <_{\xi} \forall_2$.
- **(Continuity)** If $\forall_2 = c\forall-\omega(\forall)[\forall_1] \in W_{\xi,\text{succ}}$, then there exists $\forall_1 \in W_{\xi,\text{succ}}$ s.t. $\forall_1 = c\forall-\omega(*)[\forall]$ and $\forall_1 <_{\xi} \forall_2$, or $\forall = \forall_{\forall}$.
- **(Evolution)** let $\forall, \forall', \forall'' \in \text{Version}_{\xi}$. If there are sequences of versions $\forall_1, \forall_2, \ldots, \forall_k$ and $\forall_1', \forall_2', \ldots, \forall_\ell'$, where $\forall = \forall_1 = \forall_1', \forall_k = \forall', \text{and} \forall_\ell' = \forall''$ such that $c\forall-\omega(\forall_1')[\forall_1'+1] \in W_{\xi,\text{succ}}$, for $1 \leq i < k$, and $\forall_\ell' = \forall''$ for $1 \leq i < \ell$, and $k < \ell$, then $\forall <_{\xi} \forall''$.

By Definition 3, weak coverability allows multiple write operations to revise the same version $\forall_i$ of the register, each to a unique version $\forall_j$. Given the set of successful operations $W_{\xi,\text{succ}}$ and the set of versions $\text{Version}_{\xi}$, Definitions 1 and 3 define a connected rooted tree $T$ s.t.:

- The set of nodes of $T$ is $\text{Version}_{\xi}$,
- The initial version $\forall_0$ of the object is the root of $T$,
- A node $\forall_i$ is the parent of a node $\forall_j$ in $T$ iff $\exists \pi(\forall_i)[\forall_j] \in W_{\xi,\text{succ}}$,
- If $\forall_1 = c\forall-\omega(*)[\forall_1] \in W_{\xi,\text{succ}}$, s.t. $\forall_1$ is not concurrent with any other operation, then $\forall \forall_2 \in W_{\xi,\text{succ}}$, s.t. $\forall_1 \rightarrow_{H_{\xi}} \forall_2$ and $\forall_2 = \pi(\forall)[\forall]$, then $\forall_i$ is an ancestor of $\forall_j$ in $T$, or $\forall_i = \forall_j$ (by Consolidation, Continuity and Validity)
- if $\forall_i$ is an ancestor of $\forall_j$ in $T$, then $c\forall-\omega(*)[\forall_i] <_{\xi} c\forall-\omega(*)[\forall_j]$ (by Continuity).
- if $\forall_i$ is at level $k$ of $T$ and $\forall_j$ is at level $\ell$ of $T$ s.t. $k < \ell$, then $\forall_i < \forall_j$ (by Evolution).

Observe that without the properties imposed by weak coverability, validity allows the creation of a tree of versions and does not prevent operations from being applied on an old version of the register. Continuity, Consolidation, and Evolution explicitly specify the conditions that reduce the branching of the generated tree, and in the case of non-concurrency lead the operations to a single path on this tree. Consolidation specifies that write operations may revise the register with a version larger than any version modified by a preceding write operation, and may lead to a version newer than any version introduced by a preceding write operation.
Continuity defines that a write operation may revise a version that was introduced by a preceding write operation according to the given total order. Finally, Evolution limits the relative increment on the version of a register that can be introduced by any operation. Figure 1 provides an illustration of a tree created from a coverable execution $\xi$. We box sample instances of the execution and we indicate the coverability properties they satisfy.

**Atomic coverability.** We now combine coverability with atomic guarantees to obtain coverable atomic read/write registers. A register is linearizable [14], or equivalently atomic (as defined specifically for registers by [16,17]) if the following conditions are satisfied by any execution $\xi$ of an implementation of the object.

**Definition 4 (Atomicity)** [17, Section 13.4] An execution $\xi$ of an automaton $A$ is atomic if every read and write operation in $\xi$ is complete and there is a partial ordering $\prec_H$ on all operations $\Pi$ in $H_\xi$ such that: A1. For any pair of operations $\pi_1, \pi_2 \in \Pi$, if $\pi_1 \rightarrow_H \pi_2$ then it cannot hold that $\pi_2 \prec_H \pi_1$, A2. If $\pi \in \Pi$ is a write operation and $\pi'$ any operation in $\Pi$, then either $\pi \prec_H \pi'$ or $\pi' \prec_H \pi$, and A3. If $v$ is the value returned by a read $\rho$ then $v$ is the value written by the last preceding write according to $\prec_H$ (or the initial value $v_0$ if there is no such a write).

In the context of versioned registers, in Definition 4, a write refers to a successful write $(\text{cur-}\omega(*)[*, \text{chg}])$ operation on the versioned register. Therefore, all the write operations in an execution $\xi$ are the ones that appear in $W_{\xi,\text{succ}}$. A read refers to a versioned read $(\text{cur-}\rho())[*]$ or an unsuccessful write $(\text{cur-}\omega(*)[*], \text{unchg})$ operation that does not modify the value (nor the version) of the register.

**Definition 5 (Coverable atomic register)** A versioned register is (strongly/weakly) coverable and atomic, referred as (strongly/weakly) coverable atomic register, if any execution $\xi$ on the register satisfies: (i) atomicity, and (ii) strong/weak coverability (Definition 2/3) with respect to the total order imposed by A2 on $W_{\xi,\text{succ}}$.

Note that in a coverable atomic register, the ordering of read operations follows the ordering from atomicity. From this point onward, when clear from context, we refer to a coverable atomic register, as simply coverable register.

4 Weakly Coverable Atomic Registers vs Ranked Registers.

A type of registers that at first might resemble coverable registers are ranked-registers [5]. As we show here, ranked-registers are weaker than weakly coverable registers. In particular, we show that it is impossible to implement weakly coverable registers using ranked-registers; we begin by providing a formal definition of ranked-registers.
Lemma 9 In any execution $\xi$ if $\pi_1 = \text{cwr-}\omega(\text{ver})[\text{ver}_1, \text{chg}]_{\pi_1}$ and $\pi_2 = \text{cwr-}\omega(\text{ver}_1)[\text{ver}_2, \text{chg}]_{\pi_2}$, $z \neq i$, s.t. $\pi_1 \rightarrow_{\xi} \pi_2$, then there exists some shared register $j$ such that $p_i$ performs an $\text{rr-}\omega(r)[*, \text{commit}]_{\pi_i,j}$ during $\pi_1$, and $p_2$ performs an $\text{rr-}\omega(r'[*)*, \text{commit}]_{\pi_2,j}$ during $\pi_2$, and $r' > r$.

Now we prove our main result stating that a weakly coverable register cannot be implemented with ranked registers as those were defined in [5].

Theorem 10 There is no algorithm that implements a weakly coverable register using a set of ranked registers.

Proof. The theorem follows from Lemmas 7, 8, and 9 and the fact that a ranked register allows a write operation to commit even if it uses a rank smaller than the highest rank of the register. As by Lemma 9 a successful write must commit, then by ranked registers it can commit with a rank smaller than the highest rank of the accessed register and returns a value and a rank that was written in some commit or abort. A ranked register satisfies the following properties: (i) Safety. Every rr-read operation returns a value and a rank that was written in some rr-write invocation or $(r_0, v_0)$. Additionally, if $W = \text{rr-write}((r_1, v))$ a write operation which commits and $R = \text{rr-read}(r_2)$ such that $r_2 > r_1$, then $R$ returns $(r, v)$ where $r \geq r_1$. (ii) Non-Triviality. If a rr-write operation $W$ invoked with a rank $r_1$ aborts, then there exists an operation with rank $r_2 > r_1$ which returns before $W$ is invoked, or is concurrent with $W$. (iii) Liveness. If an operation is invoked by a correct process then eventually it returns.

We want to use rank-registers to implement the operations of a weakly coverable register. As in Section 2 we denote by $\text{cwr-}\omega(\text{ver})[\text{ver}', \text{flag}]$ the coverable write operation that tries to revise version $\text{ver}$, and returns version $\text{ver}'$ with a $\text{flag} \in \{\text{chg, unchg}\}$. Similarly we denote by $\text{rr-}\omega(r)[r_h, \text{res}]$ a write operation on a ranked-register that uses rank $r$ and tries to modify the value of the register. The rank $r_h$ is the highest rank observed by an operation and $\text{res} \in \{\text{abort, commit}\}$. In the following results we assume that a weakly coverable register is implemented using a set of ranked-registers. We begin with a lemma that shows that a coverable write operation revises the coverable register only if it invokes a write operation on some rank register and that write operation commits. Omitted proofs can be found in Appendix A.

Lemma 7 Suppose there exists an algorithm $A$ that implements a weakly coverable register using ranked-registers. In any execution $\xi$ of $A$, if a process $p_i$ invokes a coverable write operation $\text{cwr-}\omega(\text{ver})[\text{ver}', \text{chg}]_{p_i}$, then $p_i$ performs a write $\text{rr-}\omega(r)[r_h, \text{commit}]_{p_i,j}$ on some shared ranked-register $j$.

Next we show that if $G_1, G_2$ are two non-concurrent write operations on the weakly coverable register, then $G_2$ performs a ranked write (that commits or aborts) on at least a single ranked register on which $G_1$ performed a committed ranked write operation. For the sake of the lemma $R_i$ is the set of ranked registers on which $p_i$ writes, and $cR_i$ a subset of them on which the write commits.

Lemma 8 Let $G_1 = \text{cwr-}\omega(\text{ver})[\text{ver}_1, \text{chg}]_{p_i}$ and $G_2 = \text{cwr-}\omega(\text{ver}_1)[\text{ver}_2, \text{chg}]_{p_2}$, $i \neq z$, be two write operations that appear in an execution $\xi$ s.t. $G_1 \rightarrow_{\xi} G_2$. There exists some shared register $j \in R_2 \cap cR_1$ with a highest rank $r_j$ before the invocation of $G_1$, such that $p_i$ performs an $\text{rr-}\omega(r)[*, \text{commit}]_{p_i,j}$ during $G_1$, and $p_2$ performs an $\text{rr-}\omega(r')[*, *, \text{commit}]_{p_2,j}$ during $G_2$.

Thus far we showed that a successful coverable write operation needs to commit on at least a single ranked register (Lemma 7), and two non-concurrent coverable write operations need to invoke a ranked write operation on a common rank register (Lemma 8). Using now Lemma 8 we can show that a coverable write operation that changes the version of the coverable register must use a rank higher than any previously successful coverable write operation.

Lemma 9 In any execution $\xi$ if $G_1 = \text{cwr-}\omega(\text{ver})[\text{ver}_1, \text{chg}]_{p_i}$ and $G_2 = \text{cwr-}\omega(\text{ver}_1)[\text{ver}_2, \text{chg}]_{p_2}$, $z \neq i$, s.t. $G_1 \rightarrow_{\xi} G_2$, then there exists some shared register $j$ such that $p_i$ performs an $\text{rr-}\omega(r)[*, \text{commit}]_{p_i,j}$ during $G_1$, and $p_2$ performs an $\text{rr-}\omega(r')[*, *, \text{commit}]_{p_2,j}$ during $G_2$, and $r' > r$.

We can now prove our main result stating that a weakly coverable register cannot be implemented with ranked registers as those were defined in [5].
register. This, however, by Lemma 9 may lead to violation of the consolidation and continuity properties and thus violation of weak coverability.

Observe that the key fact that makes ranked registers weaker than weakly coverable registers is that the former allow write operations to commit even if their ranks are out of order. In particular, note that the Non-Triviality property does not force a write operation invoked with a rank \( r_1 \) to abort, even if there exists a completed prior operation with rank \( r_2 > r_1 \). As shown in [5] non-fault-tolerant ranked registers may preserve the total order of the ranks, and thus be used to implement consensus. As we show in Appendix B, such ranked registers (i.e., that implement consensus) could be used to implement strongly coverable registers.

5 Applications of Weakly Coverable Atomic Read/Write Registers

Weak RMW registers. A shared object satisfies atomic read-modify-write (RMW) semantics if a process can atomically read and modify the value of the object using some function \( \mathcal{F} \), and then write the new value on the object. Weakly coverable atomic R/W registers can be used to implement a weak version of RMW semantics. In a weak RMW object not all operations may successfully modify the value of the object. In case that a RMW operation is not concurrent with any other operation then this operation satisfies the RMW semantics. In case where two or more operations invoke RMW concurrently, at least one of them will satisfy the RMW semantics. Finally, weak RMW allow multiple RMW operations to modify successfully the same value.

Figure 2 presents an implementation of a weak RMW object using weakly coverable atomic R/W registers. We assume that the object offers a \( \text{rmw}(\mathcal{F}) \) action that accepts a function and tries to apply that function on the value of the object. The object returns the initial value of the object and a flag indicating whether the value of the object was modified successfully.

At each process \( i \in I \)
Local Variables: \( \text{versions}, \text{oldval}, \text{lval}, \text{newv} \in \text{values}, \text{flag} \in \{\text{chg, unchg}\} \)

function \( \text{RMW}(\mathcal{F}) \)
\[
\langle \text{oldval}, \text{lever} \rangle \leftarrow \text{cvr-read}()
\]
\[
\text{newv} \leftarrow \mathcal{F}(\text{oldval})
\]
\[
\langle \text{lval}, \text{lever}, \text{flag} \rangle \leftarrow \text{cvr-write}(\text{lever, newv})
\]
\[
\text{if} \; \text{flag} = \text{chg} \; \text{then} \; \text{return} \; \langle \text{lval}, \text{success} \rangle
\]
\[
\text{else return} \; \langle \text{lval}, \text{fail} \rangle
\]

Figure 2: Weak RMW using Weakly Coverable Atomic R/W Registers

Theorem 11 The construction in Figure 2 implements a weak RMW object.

Proof. Consider an execution \( \xi \) of the algorithm. We begin the proof by studying the case where an operation \( \text{rmw}(\mathcal{F}) \) is not concurrent with any other operation in \( \xi \). The atomic nature of the register ensures that \( \text{cvr-read} \) returns the latest value and version, say \( \langle \text{ver, val} \rangle \), written on the register. When the \( \text{cvr-write} \) operation is invoked, the write operation tries to modify the value associated with version \( \text{ver} \). As there is no concurrent operation, the version of the register remains \( \text{ver} \) and thus according to consolidation and continuity, the write operation successfully writes the new value completing the RMW operation.

Consider now the case of two operations, \( \pi_1 \) and \( \pi_2 \), invoking \( \text{rmw} \) concurrently. Each of these operations involve a \( \text{cvr-read} \) followed by a \( \text{cvr-write} \) operation. Let \( \rho_{\pi_1} \) (resp. \( \omega_{\pi_1} \)) denote the read (resp. write) operation invoked during \( \pi_1 \), for \( i \in [1, 2] \). We have the following cases wrt the order of these operations: (i) \( \omega_{\pi_1} \rightarrow \rho_{\pi_2} \), (ii) \( \omega_{\pi_2} \rightarrow \rho_{\pi_1} \), (iii) \( \rho_{\pi_2} \rightarrow \omega_{\pi_1} \rightarrow \omega_{\pi_2} \), (iv) \( \rho_{\pi_1} \rightarrow \omega_{\pi_2} \rightarrow \omega_{\pi_1} \), or (v) \( \omega_{\pi_1} \) is concurrent with \( \omega_{\pi_2} \). In case (i), both read and write operations of \( \pi_1 \) complete before the read and write operations of \( \pi_2 \) are invoked. In this case notice that the version of the object remains the same from the read to the write operation of both operations. Thus, according to consolidation and continuity, both write operations will successfully change the value of the register. The same holds for case (ii), where \( \pi_2 \) ’s ops complete before the invocation of \( \pi_1 \) ’s ops. In case (iii) the write operation of \( \pi_1 \) completes before the write operation of \( \pi_2 \). Let \( \rho_{\pi_2} \) in this case complete
At each process $i \in I$

Local Variables: \( lcver \in Versions \), initially \( ver_0 \)
\( lcval, newv \in Values \), initially \( \perp \) \( \in \{ \text{chg, unchg} \} \), initially \( \text{chg} \)

\[
\text{if flag == chg then}
\]
\[
\text{return OK}
\]
\[
\text{return (lcval, lcver)}
\]

\[
\text{function \text{REVISE}(v, ver)}
\]
\[
(lcval, lcver, flag) \leftarrow \text{cvr-write}(\text{ver}, v)
\]

\[
\text{function \text{GET()}}
\]
\[
(lcval, lcver) \leftarrow \text{cvr-read()}
\]

\[
\text{return (lcval, lcver)}
\]

---

**Figure 3**: File Object using Weakly Coverable Atomic R/W Registers

Before \( \omega_{\pi_1} \). Both read operations \( \rho_{\pi_1} \) and \( \rho_{\pi_2} \) discover by atomicity the same version, say \( ver \). So both write operations will be invoked as \( \text{cvr-write}(\text{ver},v) \). Since no operation changes the version of the register before \( \omega_{\pi_2} \) is invoked, then by consolidation and continuity, \( \omega_{\pi_1} \) changes the version of the object to, say, \( ver_{\pi_1} \). Notice that by validity, \( ver_{\pi_1} > ver \). When \( \omega_{\pi_2} \) is invoked it fails by consolidation to change the value of the object as \( \omega_{\pi_1} \rightarrow \omega_{\pi_2} \) and it tries to change the version \( ver < ver_{\pi_1} \) (the version of \( \omega_{\pi_1} \)). Hence, only \( \pi_1 \) will manage to preserve RMW semantics. Similarly, we can show that only \( \pi_2 \) will preserve RMW semantics in case (iv). Finally, in case (v) if both writes try to change the version \( ver \), both may succeed and preserve RMW semantics. Since, however, their versions are unique and comparable, then by consolidation any subsequent operation will RMW the highest of the two versions. So in all cases at least a single operation satisfies the RMW semantics, as desired.

From the proof we can extract that weakly coverable registers may allow multiple writes to change the same version of the register, but consolidation ensures that at least one write satisfies RMW semantics for each version. Finally, consolidation and continuity ensure that eventually RMW operations diverge in a single path in the constructed tree.

**Concurrency File Objects** A file object can be implemented directly using RMW semantics since one can retrieve, revise, and write back the new version of the file. As RMW semantics can be used to solve consensus [13], they are impossible to be implemented in an asynchronous system with a single crash failure. Therefore, we consider file objects that comply to the weak RMW semantics as those given in the paragraph above. In particular, we consider concurrent file objects that allow two fundamental operations, revise and get to be invoked concurrently by multiple processes. The revise operation is used to change the contents of the file object, whereas the get action is analogous to a read operation and facilitates the retrieval of the contents of the file. Semantically, a file object requires that a revise operation is applied on the latest version of the file and a get operation returns the file associated with the latest written version. Depending on the implementation, the values written and returned by these operations can be the complete file object, a fragment of the file object, or just the journal containing the operations to be applied on a file (similar to a journaled file system).

Figure 3 presents the algorithm that implements the two operations. The revise operation specifies the version of the file to be revised along with the new value of the shared object. The cvr-write operation attempts to perform the write with the given version and returns the value and version of the register, and whether the write succeeded or not. If the write succeeded then the operation informs the application for the proper completion of the revise operation; otherwise the latest discovered value-version pair is returned. From Theorem 11 and Figure 3 we may conclude the following theorem.

**Theorem 12** The construction in Figure 3 implements a file object.

6 Implementing Weakly Coverable Atomic Read/Write Registers

We now show how we can implement weak coverable atomic registers. We do so by enhancing the Multi-Writer version of algorithm ABD [2, 19] (referred as MWABD) to preserve the properties of weak coverability. The presented technique can be applied to implementations of atomic R/W objects that utilize a \( \text{(tag, value)} \) pair to order the write operations and where each write performs two phases before completing: a query phase to obtain the latest value of the atomic object and a propagation phase to write the new value on the object. We
cvr-write\((val, ver = maxtag)\)

query-phase: Send query request to all the replicas and wait to receive \((tag, value)\) responses from a majority of them. Select the \((tag, value)\) among the collected replies with the largest tag; let the \((\tau, v)\) be this pair and the integer component of \(\tau\) be \(z\). Then:

- If \(ver = = \tau\) then: Create a new tag \(\tau_{new} = (z + 1, wid)\) where \(wid\) is the unique identifier of the writer and set \(val_{new} = val\).
- If \(ver \neq \tau\) then: Set \(\langle \tau_{new}, val_{new} \rangle = (\tau, v)\).

propagation-phase: Send \(\langle \tau_{new}, val_{new} \rangle\) to all the replicas and wait to receive responses from a majority of them. If \(ver = = \tau\) then respond with \(\langle val_{new}, \tau_{new}, chg \rangle\), otherwise respond with \(\langle val_{new}, \tau_{new}, unchg \rangle\) to the process.

cvr-read()

query-phase: Send query request to all the replicas and wait to receive \((tag, value)\) responses from a majority of them. Select the \((tag, value)\) among the collected replies with the largest tag; let the \((\tau, v)\) be this pair and the integer component of \(\tau\) be \(z\).

propagation-phase: Send \(\langle \tau, v \rangle\) to all replicas and wait for responses from a majority of them. Respond with \(\langle v, \tau \rangle\) to the process.

at-replica

On receipt of query message: Send the tag-value pair \(\langle \tau_r, v_r \rangle\) stored locally.

On receipt of propagation message: Let \(\langle \tau_m, v_m \rangle\) be the tag-value pair enclosed in the received message and \(\langle \tau_r, v_r \rangle\) the local pair on the replica. Compare the tags \(\tau_m\) and \(\tau_r\). If \(\tau_m > \tau_r\) then store \(\langle \tau_m, v_m \rangle\) locally. Reply with "ack".

Figure 4: The operations of algorithm vMWABD.

could also adopt implementations of stronger objects like the ones presented in [3,6] but we preferred to show the simplest modification in a fundamental algorithm. To capture the semantics of a coverable atomic register we modify the operations of algorithm MWABD to comply with the versioned variant of the R/W register. We use cvr-write\((ver, v)\) and cvr-read() as the write and read operations respectively. A cvr-write\((ver, v)\) operation may impact differently the state of the object, depending on the version of the shared object: it may appear as a read not modifying the value nor the version of the register or as a write changing both the value and the version of the register.

In brief, the original MWABD replicates an object to a set of hosts \(S \subset I\) and it uses \(\langle tag, value \rangle\) pairs to order the read and write operations. A tag consists of a non-negative integer number and a writer identifier which is used to break the ties among concurrent write operations. Both the read and write protocols have two phases: a query and a propagation phase. During the query phase the invoking process broadcasts a query message to all the replica hosts (replicas) and waits for a majority of them to reply with their tag-value pairs. Once those replies are received the process discovers the largest tag-value pair among the replies. In the second phase, a read operation propagates the discovered tag-value pair to the majority of the replicas. A write operation increments the largest tag, associates the new tag with the value to be written, and propagates the new tag-value pair to the majority of the replicas.

In the versioned MWABD, vMWABD for short, we use the tags associated with each value to denote the version of the register. The pseudocode of each operation of vMWABD is described in Figure 4. The cvr-read operation is similar to the read operation of MWABD with the difference that it returns both the value and the version of the register. A cvr-write operation differs from the original write by utilizing a condition before its propagation phase and depending whether the condition holds it changes the state of the register (value and version) or not, as detailed in Figure 4.\footnote{Note that the version parameter of the write operation is equal to the maximum tag that the invoking process witnessed.}

**Theorem 13** Algorithm vMWABD implements weak coverable atomic registers.

**Proof.** It is clear that vMWABD still satisfies properties A1-A3. Any write operation that is not successful can be mapped to a read operation that performs two phases and propagates the latest value/version of the register to a majority of replicas before completing. It remains to show that vMWABD also satisfies the properties of validity and weak coverability.

Validity is satisfied since each tag is unique, as it is composed by an integer and the id of a process. The tag is monotonically incrementing at each replica, as according to the algorithm a replica updates its local copy only if a higher tag is received. A writer process discovers the maximum tag \(maxtag\) among the replicas
Conclusion

Supporting Large Versioned Objects. Fan and Lynch [9], using algorithm MWABD as a building block, showed how large atomic R/W objects can be efficiently replicated. The main idea of their algorithm, called LDR, is to have two distinguished sets of servers: Replicas and Directories. Replica servers are the ones that actually store the object’s data (value), while Directories keep track of the tags of the object and the associated Replicas that store the data of the object. A reader or writer first runs algorithm MWABD on the Directories to obtain the highest tag of the object, and the identity of the Replicas that have the associated value (aka, the most recent value of the object). A read operation, then contacts a subset of the Replicas to obtain the value of the object. A write sends the new value to a majority of the Replicas, while ensuring that Directories are updated (see [9] for details). By replacing algorithm MWABD with algorithm VMWABD and performing a few modifications to the Replicas, we can turn algorithm LDR into an algorithm that can handle large versioned R/W objects, such as large files. See Appendix C for the modified LDR.

7 Conclusion

In this paper we have introduced versioned registers and a new property for concurrent versioned registers, we call coverability. A versioned register associates a version with its value, and with each operation that wants to modify its value. An operation may modify the value and the version of the register, or it may just retrieve its value-version pair. Coverability defines the exact guarantees that a versioned register provides when it is accessed concurrently by multiple processes with respect to the evolution of its versions, over a total order of its operations. We introduce two levels of coverability: strong and weak. Strong coverability requires that only a single operation modifies each version of the register, whereas weak coverability is more relaxed allowing multiple concurrent operations to modify the same version.

We combine coverability with atomicity to obtain (strongly/weakly) coverable atomic registers. The successful writes on the register follow the total order of atomicity, while preserving the properties required by coverability. We note that a different total ordering could be used with coverability to obtain other types of “coverable objects”. In fact, we believe it would be interesting to investigate further the use of coverable objects for the introduction of distributed algorithms for various applications. The fact that each operation is enhanced by the version of the object provides the flexibility to manipulate the effect of an operation under some conditions on the version of the object with respect to the version of the operation.
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Appendix

A Impossibility of Implementing Weakly CoVerable Registers using Ranked Registers

In this section we provide the proofs to the lemmas presented in Section 4. Before proceeding to the proofs let us introduce some notation we use throughout this section.

Let $R$ be a set of ranked registers. Let $R_x \subseteq R$ denote the set of ranked registers on which a process $p_i$ performs a $rr\cdot \omega(r)[*,*]_{p_i,*}$ during a coverable write operation $\pi_x$ in an execution $\xi$. $R_x = cR_x \cup aR_x$, where $cR_x$ is the set of ranked register such that $p_i$ performs a $rr\cdot \omega(r)[*,commit]_{p_i,*}$ that commits during $\pi_x$, and $aR_x$ the set of ranked register such that $p_i$ performs a $rr\cdot \omega(r)[*,abort]_{p_i,*}$ that aborts during $\pi_x$. For any pair of write operations $\pi_x \rightarrow_\xi \pi_y$, let the set $R_{x,y} = R_x \cap R_y$ be the set of ranked registers such that both $\pi_x$ and $\pi_y$ perform a ranked write. We finally denote by $cR_{x,y} = cR_x \cap R_y$ and $aR_{x,y} = aR_x \cap R_y$, the set of registers where $p_i$ committed (or aborted resp.) during $\pi_x$ and they were also written during operation $\pi_y$.

Proof of Lemma 7. Let the weakly coverable register be implemented by $k$ ranked registers each with a highest rank $r_1, r_2, \ldots, r_k$ respectively at the end of some execution fragment $\xi$. For the rest of the proof we will construct extensions of $\xi$. Also, let the state of the coverable object be $(v, ver)$ at the end of $\xi$.

Assume to derive contradiction that we extend $\xi$ with a write operation $\omega_1 = cvr\cdot \omega(ver)[ver',chg]_{p_i}$, that revises the coverable register, and all the write operations performed during $\omega_1$ on the ranked registers abort. From that it follows that for each write operation $rr\cdot \omega(r)[r_j,abort]_{p_i,j}$ performed by $p_i$ on some register $j$, $r_j > r$. Let the new execution be $\xi'$.

We extend $\xi'$ with another write operation $\omega_2 = cvr\cdot \omega(ver'')[ver'',chg]_{p_i}$, by process $p_2$ to obtain execution $\xi''$. Since $\omega_1 \rightarrow_\xi \omega_2$ then by consolidation, $ver'' \geq ver'$, and $\omega_1 <_\xi \omega_2$. Moreover, since $\omega_1$ is not concurrent with any other operation, then by consolidation $ver''$ is the largest version introduced in $\xi$. Since, by continuity, $ver''$ has to be equal to a version introduced by a preceding operation, and since $ver'' \geq ver'$ (the largest version introduced), then $\omega_2$ revises $ver'' = ver'$ to a newer version $ver'''$. Note however that for any write operation $rr\cdot \omega(r')[r_j,*,*]_{p_i,j}$ performed on any of the ranked registers, for $1 \leq j \leq k$, the highest rank for $j$ at the time of the write was $r_j$.

Finally consider the execution $\Delta \xi''$ that is similar to $\xi''$ without containing $\omega_1$. In other words $\Delta \xi''$ extends $\xi$ with the write operation $\omega_2$. Observe that any write operation $rr\cdot \omega(r')[r_j,*,*]_{p_i,j}$ performed by $p_2$ on ranked register $j$ during $\omega_2$ observes a highest rank $r_j$ as in $\xi''$. So if such a write committed (or aborted) in $\xi''$ will also commit (or abort) in $\Delta \xi''$ as well. Therefore, since $\omega_2$ revised the value of the coverable register in $\xi''$ will revise the value of the coverable register in $\Delta \xi''$ as well. However, the last proceeding write operation is of the form $cvr\cdot \omega(*)[ver,*,]_{ver \neq ver'}$. Thus $\Delta \xi''$ violates the continuity property and hence contradicts our initial assumption.

Proof of Lemma 8. We will assume to derive contradiction that $\pi_2$ does not write on any ranked register that $p_i$ wrote (and committed) during $\pi_1$. More formally, let $cR_1$ be the set of ranked register s.t. for all $j \in cR_1$, $rr\cdot \omega(r)[*,commit]_{p_i,j}$ for some rank $r$ during $\pi_1$. Let $R_2$ be the set of ranked register s.t. for all $q \in R_2$, $p_2$ invokes $rr\cdot \omega(r')[*,*]_{p_i,q}$ during $\pi_2$. Note that since $\pi_1$ revises the version of the object, then according to Lemma 7, $|cR_1| \geq 1$. According to our assumption $cR_1 \cap R_2 = \emptyset$.

Let us now construct an execution that contains the two operations $\pi_1$ and $\pi_2$. Consider an execution fragment $\xi$ that ends with a state associated with a version $ver$. Let us assume that there exists an algorithm $A$ that uses $k$ ranked registers each with a highest rank $r_1, r_2, \ldots, r_k$ respectively at the end of $\xi$. We extend $\xi$ with operation $\pi_1$ and obtain $\xi_1$. Since $\pi_1$ changes the version of the object, by Lemma 7, there exists a ranked register $j \in cR_1$ such that, $p_i$ invokes an operation that commits on $j$, $rr\cdot \omega(r)[*,commit]_{p_i,j}$, during $\pi_1$.

Next we extend $\xi_1$ by $\pi_2$ and obtain $\xi_2$. Since according to our assumption, $cR_1 \cap R_2 = \emptyset$, then it must be the case that the highest rank observed by $\pi_2$ in any $j \in R_2$ is $r_j$, i.e. the highest rank of $j$ at the end of $\xi$. So it returns either $r_j$ or $r'$ the rank used by $p_2$. That includes also the ranked registers that $p_i$ tried to modify and aborted during $\pi_1$. 

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Consider now the execution $\Delta\xi_2$ which is similar to $\xi_2$, without operation $\pi_1$. In particular, $\Delta\xi_2$ is obtained by extending $\xi$ with $\pi_2$. Notice that since $p_2$ does not communicate with $p_1$, then $p_2$ appears in the same state in both $\xi_2$ and $\Delta\xi_2$ before invoking $\pi_2$. Thus, $p_2$ attempts to write on the same set of ranked registers $R_2$ in both executions. Since $\xi$ is extended by $\pi_2$ alone, then any write operation on the ranked registers $j \in R_2$ is $r_j$ (as in $\xi_2$). So $\pi_2$ cannot distinguish $\xi_2$ from $\Delta\xi_2$ and thus revises $\text{ver}_1$ in $\Delta\xi_2$ as well. However, $\Delta\xi_2$ does not contain a $\text{cvr}-\omega(*)[\text{ver}_1, \text{chg}]$ operation, therefore $\pi_2$ violates the continuity property of weak coverability. This contradicts our assumption. □

Proof of Lemma \ref{lem:after_merge} Consider again an execution fragment $\xi$ that ends with a state associated with a version $\text{ver}$. Let us assume that there exists an algorithm $A$ that uses a set $|\mathcal{R}| = k$ of ranked registers each with a highest rank $r_1, r_2, \ldots, r_k$ respectively at the end of $\xi$. We know by Lemma \ref{lem:after_merge} that each operation $\pi_x$ that changes the version of the weakly coverable register performs a write that commits on at least a single ranked register in $\mathcal{R}$.

We extend $\xi$ with the following non-concurrent operations (listed in the order they take place) to obtain execution $\xi_1$:

- operation $\pi_1 = \pi(\text{ver})[\text{ver}_1, \text{chg}]_{p_1}$
- operation $\pi_2 = \pi(\text{ver}_1)[\text{ver}_2, \text{chg}]_{p_2}$

By Lemma \ref{lem:after_merge} $c\mathcal{R}_1 \cap \mathcal{R}_2 \neq \emptyset$. Assume to derive contradiction that $\forall j \in c\mathcal{R}_1 \cap \mathcal{R}_2, p_1$ performs a committed write with a rank $r_{\pi_1} > r_j$ and $p_2$ performs a write with a rank $r_{\pi_1} < r_{\pi_1}$ (that may commit or not). Since according to our assumption, $\forall j \in c\mathcal{R}_1 \cap \mathcal{R}_2$, the rank of $p_2$ has to be smaller than the rank used by $p_1$, we assume w.l.o.g. that $p_2$ uses the same rank $r_2$ for all the ranked writes.

By the order of operations in $\xi_1$ it follows that for all $j \in c\mathcal{R}_1 \cap \mathcal{R}_2$, $rr-\omega(r_{\pi_1})[r_j, \text{commit}]_{p_1,j}$ appears before $rr-\omega(r_{\pi_2})[r_j, *]_{p_2,j}$ in $\xi_1$. Moreover, observe that, by Definition \ref{def:weak-coverability} for each register $i \in R_1 - c\mathcal{R}_1$, $r_i > r_{\pi_1}$ since the write from $\pi_1$ aborted. Since $\pi_2$ changes the version of the weakly coverable register, then by Lemma \ref{lem:after_merge} $c\mathcal{R}_2 \neq \emptyset$. Notice that, even though we assume that $r_2 < r_1$, the operations in $\xi_1$ may commit without violating the ranked register properties of Definition \ref{def:ranked} (as a write operation with a smaller rank does not have to abort). In order to preserve weak coverability, $\pi_2$ changes the version $\text{ver}_1$ to $\text{ver}_2$.

Consider now the execution $\Delta\xi_1$ that contains the same operations but with $\pi_1$ and $\pi_2$ in reverse order. In particular $\Delta\xi_1$ extends $\xi$ with operations:

- operation $\pi_2 = \pi(\text{ver}'_1)[\text{ver}'_2, \text{chg}]_{p_2}$
- operation $\pi_1 = \pi(\text{ver})[\text{ver}_1, \text{chg}]_{p_1}$

Since there is no communication assumed between the processes then $\pi_2$ uses rank $r_{\pi_2}$ in $\Delta\xi_1$ as well. It is easy to see that for any register $i \in \mathcal{R}_2 - R_1$, $\pi_2$ observes the same highest rank $r_i$ in both executions $\xi_1$ and $\Delta\xi_1$. So if the rank write of $\pi_2$ on those registers commits in $\xi_1$ then it also commits in $\Delta\xi_1$. So the only registers that may allow $\pi_2$ to differentiate between the two executions are the ones in the intersection $c\mathcal{R}_1 \cap \mathcal{R}_2$. There are two cases to consider: (i) $\forall j \in c\mathcal{R}_1 \cap \mathcal{R}_2, r_j > r_{\pi_2}$, and (ii) $\exists j \in c\mathcal{R}_1 \cap \mathcal{R}_2$, and $r_j \leq r_{\pi_2}$.

Case (i): In case (i), $\pi_2$ witnesses a higher rank from all the registers in $c\mathcal{R}_1 \cap \mathcal{R}_2$ as in $\xi_1$. So for each register $j \in c\mathcal{R}_1 \cap \mathcal{R}_2$, if $rr-\omega(r_{\pi_2})[r_j, *]_{p_2,j}$ committed in $\xi_1$ then the write commits in $\Delta\xi_1$ as well. Thus, $\pi_2$ will not be able to distinguish the two operations and it extends $\text{ver}'_1 = \text{ver}_1$ in $\Delta\xi_1$ as well. However, $\text{ver}_1$ is not written in $\Delta\xi_1$, thus $\pi_2$ violates continuity property and contradicts our assumption.

Case (ii): So it remains to examine the second case were $\exists j \in c\mathcal{R}_1 \cap \mathcal{R}_2$, and $r_j \leq r_{\pi_2}$. In this case $rr-\omega(r_{\pi_2})[r_j, *]_{p_2,j}$ has to commit in $\Delta\xi_1$. If the same operation committed in $\xi_1$ as well then $\pi_2$ cannot distinguish the two executions and thus violates coverability as shown before. Let us assume that $\pi_2$ did not commit in $\xi_1$. Hence, $\pi_2$ distinguishes $\Delta\xi_1$ from $\xi_1$. To preserve weak coverability, $\pi_2$ has to extend version $\text{ver}'_1 = \text{ver}$ to a version $\text{ver}'_2 > \text{ver}$. At the end of $\pi_2$ the highest rank of $r_j = r_{\pi_2}$. When $\pi_1$ is invoked it performs rank writes using rank $r_{\pi_1}$, since there is no communication between the processes. Since, according
to our assumption $r_{\pi_2} < r_{\pi_1}$, it follows that $rr\omega(r_{\pi_1})[*,*]_{\pi_1,j}$ commits in both $\xi_1$ and $\Delta\xi_1$. Moreover, since for all the rest registers $i \in cR_1, r_i > r_{\pi_2}, \pi_1$ will witness the same highest rank $r_i$ from each of those registers, in both executions. Thus, all the write operations on those registers $\pi_1$ will commit on all those registers, and thus, $\pi_1$ will not be able to distinguish $\Delta\xi_1$ from $\xi_1$. Since, however, it extended $\text{ver}$ in $\xi_1$, then it extends $\text{ver}$ in $\Delta\xi_1$ as well. However, as $\pi_2 \rightarrow \xi \pi_1$, then by consolidation, $\pi$ needs to extend a version larger or equal to $\text{ver}'_1$. Since $\text{ver} < \text{ver}'_1$ then consolidation is violated. And this completes the proof.

\end{proof}

\section{Strong Coverability vs Consensus}

Consensus \cite{17} is defined as the problem where a set of fail-prone processes try to agree on a single value for an object. A consensus protocol must specify two operations: (i) $\text{propose}(v)_{p_i}$, used by the process $p_i$ to propose a value $v$ for the object, and (ii) $\text{decide}()_{p_i}$, used by the process $p_i$ to decide the value of the object. Any implementation of consensus must satisfy the following three properties:

- (1) \textbf{C}Termination: Every correct process decides a value;
- (2) \textbf{C}Validity: Every correct process decides at most one value, and if it decides some value $v$, then $v$ must have been proposed by some process;
- (3) \textbf{C}Agreement: All correct process must decide the same value.

We show that a strongly coverable atomic register is equivalent to a consensus object. To support this statement we first present an implementation of a consensus object using a strongly coverable register, and then we describe an implementation of a strongly coverable register assuming the existence of a consensus object. In the implementation of consensus that follows we assume that all the processes propose a value and they decide by the end of the propose operation. Thus we combine the two actions in one operation. Figure 5 presents the pseudocode of the implementation of a consensus object using a strongly coverable atomic register.

At each process $i \in I$
Local Variables: $lc\text{ver} \in \text{Versions}, lc\text{val} \in \text{Values}, flag \in \{\text{chg, unchg}\}$

function $\text{PROPOSE}(v)$
\begin{align*}
lc\text{val} & \leftarrow v \\
(lc\text{val}, lc\text{ver}, flag) & \leftarrow \text{cvr-write}(lc\text{val}, ver_0) \\
\text{return } lc\text{val}
\end{align*}

Figure 5: Consensus using Strongly Coverable Atomic Registers

We assume that $ver_0$ is the initial version of the coverable register. When each process begins executing the algorithm it issues a write operation trying to revise $ver_0$ and propose its own local value as the value to be decided. According to strong coverability only a single write operation $\text{cvr-write}(v, ver_0)(v, ver_1, \text{chg})$ is going to succeed proposing its value, say $v$, and change the version of the register from $ver_0$ to some version $ver_1$. All the rest of the write operations will be of the form $\text{cvr-write}(v', ver_0)(v, ver_1, \text{unchg})$ and thus will fail to change the value and version of the register. The write operation will return $(lc\text{val}, lc\text{ver}, flag) = (v, ver_1, \text{unchg})$ no matter what value they tried to propose, and each will be able to agree on value $lc\text{val} = v$ reaching this way agreement. This discussion yields the following theorem.

\textbf{Theorem 14} The construction in Figure 5 implements a consensus object.

Figure 6 shows the implementation of a strongly coverable atomic register using a consensus object. For our implementation of consensus we assume that the consensus oracle runs a separate instance of consensus on each version of the object. Thus, the oracle accepts as inputs the version we want to revise as well as the $\langle v, ver' \rangle$ tuple that consists of the value we propose. When that value is not specified, the oracle returns the tuple decided on the instance associated with the given version. If no consensus was reached for a given version then the
oracle returns the tuple \((\bot, \bot)\). To generate a new version a process calls the function \(\text{generate-version}(\text{ver})\). This procedure produces a unique version larger than any previous version, each time it is executed. A trivial implementation of this function is to append the given version with the unique id of the invoking process.

At each process \(i \in \mathcal{I}\)

Local Variables: \(\text{lever}, \text{ver}_\text{new} \in \text{Versions}\) initially \(\text{ver}_0\); \(\text{leval} \in \text{Values}\); \(P \in \text{Values} \times \text{Versions}\)

**Function cvr-write(v, ver)**

\[
\text{ver}_\text{new} \leftarrow \text{generate-version}(\text{ver})
\]

\[
P \leftarrow \text{propose}(\text{ver}, (v, \text{ver}_\text{new}))
\]

if \(P.\text{ver} == \text{ver}_\text{new}\)

\[
\text{lever} \leftarrow \text{ver}_\text{new}
\]

return \(\langle P, \text{chg} \rangle\)

else

\[
\text{while } P.\text{ver} \neq \bot \text{ do}
\]

\[
(\text{leval}, \text{lever}) \leftarrow \langle P.\text{val}, P.\text{ver} \rangle
\]

\[
P \leftarrow \text{propose}(\bot, \text{lever})
\]

end while

return \(\langle \text{leval}, \text{lever}, \text{unchg} \rangle\)

**Function cvr-read()**

\[
P \leftarrow \text{propose}(\bot, \text{lever})
\]

\[
\text{while } P.\text{ver} \neq \bot \text{ do}
\]

\[
(\text{leval}, \text{lever}) \leftarrow \langle P.\text{val}, P.\text{ver} \rangle
\]

\[
P \leftarrow \text{propose}(\bot, \text{lever})
\]

end while

return \(\langle \text{leval}, \text{lever} \rangle\)

---

**Theorem 15** The construction in Figure 6 implements a strongly coverable atomic register.

**Proof.** We show that the algorithm satisfies two properties: (i) strong coverability and (ii) atomicity.

Strong coverability requires that only a single write operation changes each version of the register. Let us assume to derive contradiction that there exists a version \(\text{ver}\) of the object s.t. two operations \(\pi_1 = \text{cvr-write}(v, \text{ver})(v, \text{ver}_1, \text{chg})\) and \(\pi_2 = \text{cvr-write}(v', \text{ver})(v, \text{ver}_2, \text{chg})\) both revise \(\text{ver}\) leading to two potentially different versions \(\text{ver}_1\) and \(\text{ver}_2\). For this to be possible it means that \(P.\text{ver} = \text{ver}_1\) for \(\pi_1\) and \(P.\text{ver} = \text{ver}_2\) for \(\pi_2\). \(P\) however is the value decided by the consensus oracle. Since both \(\pi_1\) and \(\pi_2\) revise the same version \(\text{ver}\) then they both invoked the consensus oracle on the same instance of the version \(\text{ver}\). Since the consensus oracle reaches agreement on a single value then it must be the case that \(P\) is the same for both \(\pi_1\) and \(\pi_2\), and hence \(P.\text{ver} = \text{ver}_1 = \text{ver}_2\). This however contradicts our assumption. Thus, only a single write operation is able to modify each version and this preserves strong coverability.

Atomicity is trivially preserved by the write operations as they follow the total order imposed by the versions they change. Read operations are ordered in terms of the write operations since they invoke the consensus oracle until they reach the latest version of the object. A read operation \(\rho_1\) does not return an older value than a preceding read \(\rho_2\), since \(\rho_2\) would reach an earlier or at most the same version as \(\rho_1\) before completing. Thus, \(\rho_2\) will return the same or an older value as desired. Finally, a write operation that does not change the version of the register it must be ordered with respect to the rest of the read operations. Such write also discovers the latest accepted version and thus, as before, it will return the same or a newer value than the one returned by a preceding read or unsuccessful write operation. \[\square\]
C Supporting Large Files

Figure 7 depicts a modified version of the LDR algorithm [9], that implements versioned large objects.

tr-write(val, ver = maxtag)

get-metadata: Send query request to directory servers and wait for (tag, location) responses from a majority of them. Select the (tag, location) among the collected replies with the largest tag; let \( \langle \tau, S \rangle \) be this pair and the integer component of \( \tau \) be \( z \). Then:

If \( \tau \neq ver \) then do the following:

put-metadata: Send \( \langle \tau, S \rangle \) to the directory servers and wait for a majority of them to reply. Once those replies are received set \( \langle \tau_{\text{new}}, S_{\text{new}} \rangle = \langle \tau, S \rangle \).

get: Send get object request to \( f + 1 \) replica servers in \( S \) for the \( \tau \) version of the object and wait for a single server to reply with \( x \). Return \( \langle x, \tau, \text{unchg} \rangle \).

If \( \tau = ver \) then do the following:

put: Create a new tag \( \tau_{\text{new}} = (z + 1, \text{wid}) \) where wid is the unique identifier of the writer. Send \( \langle \tau_{\text{new}}, \text{val} \rangle \) to \( 2f + 1 \) replica servers and wait for \( f + 1 \) replies. Collect the identifiers of the servers that replied in a set \( S_{\text{new}} \).

put-metadata: Send \( \langle \tau_{\text{new}}, S_{\text{new}} \rangle \) to all the directory servers and wait for the majority of them to reply. Return \( \langle \text{val}, \tau_{\text{new}}, \text{chg} \rangle \).

tr-read()

get-metadata: Send query request to directory servers and wait for (tag, location) responses from a majority of them. Select the (tag, location) among the collected replies with the largest tag; let \( \langle \tau, S \rangle \) be this pair and the integer component of \( \tau \) be \( z \).

put-metadata: Send \( \langle \tau, S \rangle \) to the directory servers and wait for a majority of them to reply

get: Send get object request to \( f + 1 \) replica servers in \( S \) for the \( \tau \) version of the object and wait for a single server to reply with \( x \). Return \( \langle x, \tau \rangle \).

directory-server

On receipt of get-metadata message: Send the tag-locations pair \( \langle \tau_{\text{s}}, S \rangle \) stored locally.

On receipt of put-metadata message: Let \( \langle \tau_{\text{m}}, S_{\text{m}} \rangle \) be the tag-location pair enclosed in the received message and \( \langle \tau_{\text{s}}, S \rangle \) the local pair on the server. Compare the tags \( \tau_{\text{m}} \) and \( \tau_{\text{s}} \). If \( \tau_{\text{m}} > \tau_{\text{s}} \) and \( |S_{\text{m}}| \geq f + 1 \) then store \( \langle \tau_{\text{m}}, S_{\text{m}} \rangle \) locally.

replica-server

On receipt of put message: Add the \( \langle \tau_{\text{m}}, \text{value} \rangle \) pair enclosed in the message to the local set of available pairs and send an acknowledgement.

On receipt of get message: If the value associated with the requested tag is in the set of pairs stored locally, respond with the value. Otherwise ignore the message.

Figure 7: Operations of the modified LDR algorithm