Influence of Electronic Conducting Additives on Cycle Performance of Garnet-based Solid Lithium Batteries

DU Fu-Ming¹,², ZHAO Ning³, FANG Rui¹,², CUI Zhong-Hui¹, LI Yi-Qiu¹, GUO Xiang-Xin¹

(1. State Key Laboratory of High Performance Ceramics and Superfine Microstructure, Shanghai Institute of Ceramics, Chinese Academy of Sciences, Shanghai 200050, China; 2. University of Chinese Academy of Sciences, Beijing 100049, China; 3. College of Physics, Qingdao University, Qingdao 266071, China)

Abstract: Solid state lithium batteries based on garnet-type solid electrolytes face the problem of contact between the solid electrolytes and the solid cathodes, which deteriorates the cycle performance. Aiming to overcome such problem, the solid state batteries with the LiNi₁/₃Co₁/₃Mn₁/₃O₂-based cathodes, the Li₆.₄La₃Zr₁.₄Ta₀.₆O₁₂ ceramic electrolytes, and the lithium metal anodes were studied. For constructing the LiNi₁/₃Co₁/₃Mn₁/₃O₂-based composite cathodes, three-kind carbons were used as electronic conducting additives. It is found that the batteries with cathodes containing vapor grown carbon fibers (VGCFs) show better cycle performance than those with granular Ketjen Black as well as Super P carbons. Analysis indicates that the VGCFs result in less side reaction at high charge potential than do other two electronic additives, leading to reduced carbonates that cause the increase of the internal cell resistance. These results suggest that stability of electronic additives has important influence on cycle performance of solid state lithium batteries.

Key words: solid state lithium batteries; interfacial resistance; garnet electrolytes; lithium anodes; composite cathodes

The rapid development of electric vehicles (EVs) demands greatly improved safety and energy-density prospect for the lithium ion batteries. Solid state lithium batteries (SSLBs) are considered as one of the most promising alternatives⁷⁻¹⁰. By substituting the combustible organic liquid electrolytes with the solid electrolytes, SSLBs not only can be densely packed but also allow incorporation of lithium metal anode and high voltage cathodes, providing possibility to gain the high volumetric as well as the gravimetric energy densities⁶⁻⁷. Under such circumstance, the garnet-type solid electrolyte, Li₇La₃Zr₂O₁₂ (LLZO) has attracted attention because of its high lithium ion conductivity (≥10⁻⁴ S/cm at room temperature), a wide electrochemical window (above 6 V vs. Li/ Li⁺), and chemical stability against the lithium metal⁷⁻¹³. As the LLZO is used in the SSLBs, two crucial issues need to be concerned. One is to further improve the ionic conductivity of LLZO ceramic. With optimization of element substitution (e.g. Al, Ta or Nb) and sintering process (e.g. hot-pressing sintering), ionic conductivity of Ta-doped LLZO (LLZTO) can be as high as 1.6 × 10⁻³ S/cm at 25°C, which approaches the maximum level regarding LLZO-based electrolytes⁸⁻¹¹. The other one is to solve the problem of resistive contact between the electrode and the solid electrolyte, which plays a critical role in the cycle performance¹². For the Li metal/LLZO interfaces, there are useful approaches including polishing, coating, and microstructure controlling of the LLZO surface to reduce the Li/LLZO interfacial impedance¹³⁻¹⁵. For the cathode/LLZO interfaces, it is necessary to construct electronic as well as ionic networks on the cathode side for bulk-type solid state lithium batteries. Through the sintering technique, composite cathodes consisting of active electrodes (e.g. LiCoO₂) combined with Li⁺ or/and e⁻ conducting particles (e.g. LLZTO, LiBO₃, In₂O₅Sn) were sintered together with the LLZTO powder electrolytes at 700-900°C, aiming to gain good ionic and electronic conducting networks in the composite cathodes as well as closely connected electrolyte/cathode interfaces¹⁶⁻¹⁸. Nevertheless, the used temperature range (i.e. below 900°C) on one hand was difficult to fabricate dense and thus highly conducting electrolyte layers, on the other hand
was unfortunately to cause phase transition of crystalline cathodes and/or reactions between the cathodes and the electrolytes\[19-20\]. To overcome such problem, it was suggested that combination of sufficiently high-temperature sintered ceramic electrolytes with low-temperature processed electrolyte/cathode interfaces should be beneficial to achieve good conducting electrolyte layers as well as various interfaces in SSLBs\[21\]. This idea proved to be a good means in our previous research, with the composite cathodes made of LiFePO$_4$:KB:PVdF:LiTFSI, which were coated on high-temperature sintered LLZTO ceramic plates, the SSLBs show good cycle and rate performance at 60°C and 100°C\[22\]. Nevertheless, such interfacial issue for the LiNi$_{1/3}$Co$_{1/3}$Mn$_{1/3}$O$_2$ (NCM)-based composite cathodes. NCM is used to replace LiFePO$_4$, which shows specific capacity of 150 mAh/g in the voltage range of 2.8–4.3 V and 200 mAh/g in the range of 2.8–4.6 V\[23-24\]. Theoretical calculations indicated that the carbon additives may react with the Li$^\text{+}$ conducting additives at high voltages\[23-26\]. This point has been paid attention here. It is found that except for the carbon additives especially their stability at the high voltage which are denoted as NCM-KB, NCM-SP, NCM-VGCF, and one of the following carbon additives: Ketjen Black (KB), Super P (SP), or vapor grown carbon fiber (VGCF), which are used for the carbon materials. Electrochemical impedance spectroscopy (EIS) measurements were performed in a frequency range of 1 MHz to 0.1 Hz with an amplitude of 10 mV using an Autolab instrument at 60°C. Before all the above tests, the electrodes/cells were firstly rested in a thermostatic oven for 6 h to reach 60°C.

1 Experimental

1.1 Fabrication of composite cathodes, carbon electrodes, and solid state batteries

Composite cathodes consisted of poly(vinylidene fluoride) (PVdF) (Alfa Aesar), Li(CF$_3$SO$_2$)$_2$N (LiTFSI) (99.95%, Sigma-Aldrich), LiNi$_{1/3}$Co$_{1/3}$Mn$_{1/3}$O$_2$ (NCM), and one of the following carbon additives: Ketjen Black (KB), Super P (SP), or vapor grown carbon fiber (VGCF), which is denoted as NCM-KB, NCM-SP, NCM-VGCF, respectively. The PVdF polymers were dissolved in N-methyl-2 pyrrolidon (NMP) and stirred for 24 h, which were followed by addition of LiTFSI, NCM, and carbon in an agate mortar and ground for 1 h. The obtained slurries were coated on one side of each LLZTO ceramic plate with the diameter of 1 cm and the thickness of 0.1 cm by blades (Detailed description of preparation process and characterization of Li$_6$La$_{3}$Zr$_{1.4}$Ta$_{0.6}$O$_{12}$ (LLZTO) ceramics can be found in our previous work\[22\]), then dried in an oven at 80°C for 2 h to remove NMP. After being pressed with stainless-steel plate, the cathodes were dried in a vacuum oven at 80°C again for 12 h to remove the trace amount of NMP and moisture. The typical mass of each cathode was 1.5–2.5 mg/cm$^2$. The weight ratio between NCM, LiTFSI, carbon, PVdF was fixed at 50 : 35 : 10 : 5 according to the optimum ratio\[22\]. Carbon electrodes excluding NCM were also fabricated in the same manner except that they were only made up of carbon, PVdF, and LiTFSI. Then, Li anodes were attached on the other side of each LLZTO by high pressure in an Ar-filled glove box with oxygen and moisture levels below 0.1×10$^{-6}$. Finally, each laminated solid state battery was assembled in a Swagelok-type cell. The stainless steel (SS) foils instead of Al were chosen as current collectors in order to avoid the reaction between the al and LiTFSI.

1.2 Electrochemical measurements

Galvanostatic charge and discharge behaviors of the NCM-based cathode/LLZTO/Li batteries were investigated using an Arbin BT-2000 battery tester with the potential ranging from 4.3 V to 2.8 V at 60°C. The current density and capacity were normalized to the mass of NCM, i.e. 0.1 C rate being 15 mA/g. Linear scan voltammetry (LSV) with respect to the different carbon electrodes/LLZTO/Li cells was measured on an electrochemical workstation (Autolab) between 2.8 V and 4.3 V at a scan rate of 0.1 mV/s and 60°C. Galvanostatic charge behaviors of carbon electrodes/LLZTO/Li cells were also investigated using an Arbin BT-2000 battery tester with the potential ranging from 4.3 V to 2.8 V at 60°C, but the current density and capacity were normalized to the mass of carbon materials. Electrochemical impedance spectroscopy (EIS) measurements were performed in a frequency range of 1 MHz to 0.1 Hz with an amplitude of 10 mV using an Autolab instrument at 60°C. Before all the above tests, the batteries/cells were firstly rested in a thermostatic oven for 6 h to reach 60°C.

1.3 Characterization

Cross-section and surface morphologies and elements analyses of the solid state batteries were tested by scanning electron microscopy (SEM, SU8220) with energy dispersive spectrometer (EDS), X-ray photoelectron spectroscopy (XPS) of carbon cathodes were carried out by ESCAlab-250 with an Ar anode source. The samples were pre-sputtered at a pressure of 3×10$^{-6}$ Pa. For the above measurements, the samples during transfer were carefully protected with Ar atmosphere without exposing to ambient air.

2 Results and Discussion

2.1 Microstructures of solid state lithium batteries

Figure 1(a) and (b) show typical cross-section and plane view of SEM for solid state lithium batteries with
VGCF as the electronic additive. The NCM composite cathode and LLZTO ceramic plate closely connected and the thickness of NCM composite cathode is approximately 10 μm, as shown in Fig. 1(a). The NCM composite cathode is made up of NCM, VGCF, PVdF, and LiTFSI, which are well distributed in the cathode, as can be distinguished from Fig. 1(b) and the EDS mapping of C (mainly from VGCF) and S (from LiTFSI) elements in Fig. 1(c) and (d).

2.2 Effects of electronic additives on electrochemical performance

Besides necessary of the ionic conducting additives in NCM-based solid state lithium batteries (shown from Fig. S1), it is worth mentioning that the introduction of electronic conducting additives is also needed for the cycling solid state battery. As can be seen in Fig. 2(a) and Fig. 2(b), the solid state battery excluding the electronic conducting additive shows only 10 mAh/g for the first charge capacity and 9 mAh/g for the first discharge capacity. Whereas the one with KB as the electronic conducting additive shows 242 mAh/g for the first charge capacity and 126 mAh/g for the first discharge capacity. This is clearly indicated that the solid state battery cannot work properly without the electronic conducting additives.

Moreover, it is further found that different carbon additives in the NCM-based composite cathodes have significantly different influence on the cycle performance of the solid state batteries. As shown in Fig. 2(c) that gives the electrochemical performance of NCM-based cathode/LLZTO/Li batteries with different carbon additives for the 1st charge-discharge profiles, the initial charge capacity of 242 mAh/g with respect to the NCM-KB composite cathode is far greater than that for the NCM-SP (i.e. 186 mAh/g) or NCM-VGCF (i.e. 148 mAh/g) cathode. Concerning the theoretical capacity of NCM at 4.3 V should be 150 mAh/g, it is obvious that the side reactions

Fig. 1  (a) Cross-section and (b) plane view SEM images of NCM- based solid state lithium batteries, (c) and (d) EDS mapping of C and S elements in (b), respectively

Fig. 2  The 1st charge-discharge profiles of NCM-based cathode/LLZTO/Li batteries with the composite cathodes (a) excluding and (b) including electronic additives (KB); (c) Comparison of NCM-based solid state batteries with different carbon additives for the 1st charge-discharge profiles and (d) the discharge capacity as a function of cycle number
occur as the voltage above 4 V during the first charge. As a result, concerning the initial Columbic efficiency of NCM-based cathode/LLZTO/Li batteries with the NCM-KB, NCM-SP, and NCM-VGCF exhibit the values of 52%, 61%, and 74%, respectively, the NCM-KB cathodes should have the side reactions in the largest degree and the NCM-VGCF counterparts do in the smallest degree. Fig. 2(d) shows the discharge capacity as a function of cycle number for the three kind composite cathodes. It can be seen that the discharge capacity of NCM-KB composite cathodes fades in the fastest way. From the 1st to the 2nd cycle, nearly 50% of the discharge capacity (i.e. from 126 to 65 mAh/g) is lost. Only 13% capacity retains after 50 cycles. In contrast, from the 1st to the 2nd cycle, the capacity decreases by 11 mAh/g (114 to 103 mAh/g) for the NCM-SP batteries and 3 mAh/g (110 to 107 mAh/g) for the NCM-VGCF batteries. After 50 cycles, the NCM-SP and the NCM-VGCF composite cathodes show show the capacity retention of 32% and 63%, respectively. Taking into account the Li+ source is in excess in all of the NCM-based cathode/LLZTO/Li batteries and the same used active material (i.e. NCM), the reason for the cycle difference should be attributed to the side reactions occurred at the cathode side, which continuously forms resistive passivation layers. This is supported by the following impedance spectroscopy analysis. The formed products hinder the Li+ migration across the cathode/electrolyte interface as well as worsen the electrical contact between the active material and the electronic additives, consequently deteriorating the overall cycle performance.

2.3 Analysis of electrochemical impedance spectroscopy

Electrochemical impedance spectroscopy (EIS) of the pristine batteries and those after the 1st charging to 4.3 V and the 50th cycle are measured and shown in Fig. 3(a)-(c). For the pristine batteries, the overall internal resistance is nearly the same for the three ones (i.e. approximately 2 kΩ). After the 1st charging to 4.3 V, such value increases to 6.5 kΩ for the NCM-KB, 4.3 kΩ for the NCM-SP, and 2.6 kΩ for the NCM-VGCF batteries. After the 50th cycle, it increases to 10.2 kΩ for the NCM-KB, 8.8 kΩ for the NCM-SP, and 3.8 kΩ for NCM-VGCF batteries. This is in good agreement with the fact that the NCM-VGCF cathodes have the smallest side reaction, leading to the least resistive layers covered on the surface of electronic additives.

Furthermore, Fig. 3(d) shows the EIS of the batteries composed of NCM-based cathodes excluding electronic additives and the equivalent circuit of NCM-based solid state batteries, which can be deconvoluted to three major parts: the resistance of the LLZTO ceramic ($R_0$), the in
terfacial resistance between LLZTO and Li anode \( (R_1) \), and the interfacial resistance between LLZTO and NCM-based cathode \( (R_2) \). The total internal resistance is over 20 kΩ, which is 10 times larger than those including electronic additives (~2 kΩ), leading to the rather small capacity in Fig. 2(a). On basis of above discussion, it is clearly indicated that the internal resistance of the tested solid state batteries play critical role in available discharge capacity and cycle performance.

2.4 Electrochemical stability of carbon electrodes on solid state batteries

In order to get insight on the mechanism of different influence imposed by the various electronic additives, three-kind carbon electrodes excluding NCM were prepared and their properties of electrochemical stability at the high voltage range were investigated by LSV and Galvanostatic charge methods. Fig. 4(a) demonstrates the LSV profiles of three-kind carbon electrodes (C:PVD:LiTFSI) with the same configuration of carbon electrode/LLZTO/Li. It can be seen that for the SP and the VGCF, the onset of oxidation current occurs at ca. 4.1 V. While for the KB, the onset of oxidation current arises at the voltage of 3.6 V. In addition, the current density at 4.3 V is the largest for the KB and the smallest for the VGCF. It is indicated that the KB has less crystallinity, far larger specific surface areas which means a larger amount of defects than the SP and VGCF (Fig. S2). On one hand, the carbon itself is apt to be oxidized at the increased voltage. On the other hand, the surface defects may promote decomposition of the LiTFSI. Both factors lower the electrochemical window of the carbon electrodes.

The 1st charge curves of three-kind carbon electrodes with the same cell configuration at 40 μA/mg, (~10 μA) are shown in Fig. 4(b). In accord with LSV, they become decomposed at various voltages and thus show charge capacities. For the KB, the 1st charge capacity reaches as large as 500 mAh/g, when being charged to 4.3 V. This value is approximately 5 times larger than that for the SP and 20 times larger than that for the VGCF. This indicates that the electronic additives with the larger surface defects show the larger initial charge capacity. The VGCF with the smallest specific surface area and smallest amount of surface defects has the side reaction in the smallest degree.

To disclose the component of the resistive layers formed by the side reactions covered on the carbon surfaces, three-kind carbon electrodes were analyzed with XPS. Fig. 4(c) and 4(d) show C1s spectra of the pristine electrode materials and those after the 1st charge, respectively. The C1s spectrum of the pristine electrode includes one main peak at binding energy of 284.9 eV, which can be attributed to the typical carbon materials.
including KB, SP or VGCF\textsuperscript{[27]}. Two small peaks at binding energies of 286.5 eV and 293 eV are attributed to CH\textsubscript{2} from PVdF and CF\textsubscript{3} from LiTFSI, respectively\textsuperscript{[28–29]}. After the 1\textsuperscript{st} charging to 4.3 V, the 290 eV peak characterized of CO\textsubscript{3} that is closely related to lithium carbonate increases significantly for the KB and the SP electrodes\textsuperscript{[27–29]}. It however has nearly no change for the VGCF increases significantly for the KB and the SP electrodes\textsuperscript{[27–29]}. Formation of the resistive carbonate results in the increase of impedance. For the NCM- VGCF-based batteries, less carbonates are formed compared to the NCM-KB and the NCM-SP-based solid state batteries, leading to the larger capacity retention and the smaller increase of internal resistance as shown in Fig. 2 and Fig. 3.

### 3 Conclusions

Cycle performance of the solid state lithium batteries with the lithium metal anodes, the LLZTO ceramic electrolytes, and the NCM-based composite cathodes has been investigated. It is clearly indicated that the carbons used as the electronic additives play an important role in the cycle performance. The KB carbons with larger specific surface area as well as larger amount of surface defects lead to more side reactions than the VGCF carbons. XPS analysis further reveals that the resistive carbonates are formed on the carbon surface due to the reactions between the oxidized surface defects of carbons and the decomposed LiTFSI at the high voltage range. Therefore, careful selection of electronic as well as ionic conducting additives is essential for construction of highly conducting and sustainable interfaces in the solid state lithium batteries with the NCM-based cathodes. More efforts on performance improvement of solid state batteries are being underway in our lab.

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电子导电剂对石榴石基固态锂电池循环性能的影响

杜付明 1, 2，赵 宁 3，方 锐 1, 2，崔忠慧 1，李忆秋 1，郭向欣 1

(1. 中国科学院 上海硅酸盐研究所，高性能陶瓷和超微结构国家重点实验室，上海 200050; 2. 中国科学院大学，北京 100049; 3. 青岛大学 物理学院，青岛 266071)

摘 要: 基于石榴石固体电解质的固态锂电池面临着固体电解质和固体电极之间较大的界面阻抗问题，导致循环性能不佳。为了解决此问题，本课题组制备并研究了 LiNi_{0.5}Mn_{0.5}O_2 基正极、Li_{5.5}La_{3}Zr_{2}O_{12} 陶瓷固体电解质和金属锂负极构成的固态锂电池。在构筑 LiNi_{0.5}Mn_{0.5}O_2 基正极时采用三种不同的导电碳，研究表明，与科琴黑和超导炭黑相比，使用气相生长碳纤维 (Vapor Grown Carbon Fiber, VGCF) 时，固态电池有更优异的循环性能。这是因为充电到高电压时，VGCF 比另外两种导电剂引起的副反应更少，从而减少能增加电池内阻的碳酸盐类副产物的形成。这些结果说明电子导电剂的稳定性对固态锂电池的循环性能有重要影响。

关 键 词: 固态锂电池；界面阻抗；石榴石型电解质；锂负极；复合正极

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DU Fu-Ming\textsuperscript{1,2}, ZHAO Ning\textsuperscript{3}, FANG Rui\textsuperscript{1,2}, CUI Zhong-Hui\textsuperscript{1}, LI Yi-Qiu\textsuperscript{1}, GUO Xiang-Xin\textsuperscript{1}

(1. State Key Laboratory of High Performance Ceramics and Superfine Microstructure, Shanghai Institute of Ceramics, Chinese Academy of Sciences, Shanghai 200050, China; 2. University of Chinese Academy of Sciences, Beijing 100049, China; 3. College of Physics, Qingdao University, Qingdao 266071, China)

Electrochemical test of solid lithium batteries excluding ionic additives (LiTFSI) and liquid lithium ion batteries: LiNi\textsubscript{1/3}Co\textsubscript{1/3}Mn\textsubscript{1/3}O\textsubscript{2} (NCM) cathodes were composed of 80wt% NCM, 10wt% PVdF, and 10% Super P, which were prepared by mixing NCM cathodes and N-methyl-2-pyrrolidon (NMP) in an agate mortar and ground for 1 h. The obtained slurries were coated on one side of LLZTO ceramic plates or on the Al foil by blades, then dried in an oven at 80°C for 2 h to remove NMP. After being pressed with stainless-steel plate, the cathodes were dried in a vacuum oven at 80°C again for 12 h to remove the trace amount of NMP and moisture. The typical mass of each cathode was 2 mg/cm\textsuperscript{2}. For solid lithium batteries, Li anode was attached on the other side of each LLZTO by high pressure, and then Swagelok-type batteries NCM/LLZTO/Li were assembled. While for liquid lithium ion batteries, Swagelok-type batteries were assembled with the NCM cathode, lithium anode, and 1 mol/L LiPF\textsubscript{6} in ethylene carbonate (EC)/diethyl carbonate (DEC)/dimethyl carbonate (DMC) (1:1:1 in volume) electrolyte, and Celgard polypropylene separator. Electrochemical performance were investigated using an Arbin BT-2000 battery tester with the potential ranging from 4.3 V to 2.8 V at 60°C and 0.1C (1C being 150 mA/g).

Fig. S1 compare the 1\textsuperscript{st} charge and discharge profiles of solid lithium batteries excluding ionic additives and liquid lithium ion batteries at 60°C and 0.1C, the discharge capacity is 0.4 mAh/g and 133 mAh/g, respectively.

The huge difference comes from the absence of ionic additives in NCM cathode-based solid lithium batteries, while for liquid lithium ion batteries, liquid electrolytes (LiPF\textsubscript{6} solution) can easily infiltrate into the porous NCM cathode, providing effective and high Li\textsuperscript{+} conducting channel both inside the cathode and at the interface between cathode and electrolyte.

Characterization of different carbon additives:

Surface area of three carbon additives, including Ketjen black (KB), Super P (SP) and Vapor grown carbon fiber (VGCF), were analyzed by Brunauer-EMmett-Teller (BET) methods using a QUADRASORB SI system. The degree of grafiphization of different carbon additives were characterized by an X-ray diffractometer (D2 Discover, Bruker) in the range of 10°-80° at a scan rate of 0.02°/s using CuK\textalpha radiation. Raman spectroscopy was recorded on Thermo DXR with an excitation wavelength of 532 nm. Surface morphologies of the carbon additives were tested by scanning electron microscopy (SEM, SU8220)

Fig. S2(a) shows the XRD patterns of three carbon samples and the degree of graphitization can be distinguished. For KB and SP, only two broad (002) and (100) diffraction peaks exist, which stand for three-dimensional and two-dimension reflection peaks, respectively. Both they are considered as characteristic peaks of non-graphited carbon black\textsuperscript{1} while SP has relatively larger intensity for (002) peak. Two more diffraction peaks appear for VGCF, apart from sharper and larger (002)

![Fig. S1](image-url) The 1\textsuperscript{st} charge-discharge profiles of (a) solid lithium batteries with NCM composite cathode excluding ionic additives (b) NCM-based liquid lithium ion batteries, measured at 60°C and 0.1C
Fig. S2  (a) XRD Patterns, (b) Raman spectra, and (c-e) SEM images of KB, SP, and VGCF

peak (only shown part for the same scale): three-dimensional (004) and two dimension (110) peaks at $2\theta = 54^\circ$ and 77$^\circ$, respectively. The pattern of VGCF is very close to graphite and it has the highest crystal degree, which means less disorder. So, the graphitization degree is increased by the order: KB < SP < VGCF.

Raman spectroscopy is always employed to study the defect density of carbon materials. Fig. S2(b) shows Raman bands of three carbon samples and the frequency of the G band is at about 1580 cm$^{-1}$ and D band is at about 1340 cm$^{-1}$. The G band comes from the in-plane vibration of sp$^2$ carbon (C=C), while D band is attributed to sp$^3$ carbon (C–C) caused by disorders and defects. The decreased $I_D/I_G$ ratio (1.35, 1.00, 0 for KB, SP, VGCF) manifests that the defect density is reduced by the order: KB > SP >> VGCF. Since there’s no perfect crystal without defects, VGCF must have slight defects which almost can’t be detected because of the restriction of the test condition.

Fig. S2(c)-(e) show the morphologies of three carbon samples. KB and SP has a powdery morphology with an average particle size of about 25 and 50 nm, respectively, while VGCF has a pillar-like morphology with an average particle diameter and length of ~150 nm and ~8 μm, respectively. The specific surface areas of the three carbon samples decrease in the order of KB (1149 m$^2$/g) >> SP (61 m$^2$/g) > VGCF (16 m$^2$/g) according to the results of BET.

References:

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