Inherited L1 retrotransposon insertions associated with risk for schizophrenia and bipolar disorder

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Abstract

Studies of the genetic heritability of schizophrenia and bipolar disorder examining single nucleotide polymorphisms (SNPs) and copy number variations have failed to explain a large portion of the genetic liability, resulting in substantial missing heritability. Long interspersed element 1 (L1) retrotransposons are a type of inherited polymorphic variant that may be associated with risk for schizophrenia and bipolar disorder. We performed REBELseq, a genome wide assay for L1 sequences, on DNA from male and female persons with schizophrenia and controls (n = 63 each) to identify inherited L1 insertions and validated priority insertions. L1 insertions of interest were genotyped in DNA from a replication cohort of persons with schizophrenia, bipolar disorder, and controls (n = 2,268 each) to examine differences in carrier frequencies. We identified an inherited L1 insertion in ARHGAP24 and a quadallelic SNP (rs74169643) inside an L1 insertion in SNTG2 that are associated with risk for developing schizophrenia and bipolar disorder (all odds ratios ~1.2). Pathway analysis identified 15 gene ontologies that were differentially affected by L1 burden, including multiple ontologies related to glutamatergic signaling and immune function, which have been previously associated with schizophrenia. These findings provide further evidence supporting the role of inherited repetitive genetic elements in the heritability of psychiatric disorders.

Keywords

L1Hs, REBELseq
Introduction

Schizophrenia is a chronic psychotic illness, with typical onset in late adolescence or early adulthood. Schizophrenia has substantial heritability, with estimates as high as 80% \(^1\), \(^2\). Rare copy number variations (CNVs) have been shown to be associated with a substantial increase in schizophrenia disease risk but are only found in a small fraction of individuals with schizophrenia \(^3\)–\(^5\). A recent genome wide association study (GWAS) meta-analysis identified 145 genomic loci associated with schizophrenia \(^6\), but estimates suggest that common single nucleotide polymorphisms (SNPs) captured by GWAS explain less than half of the genetic liability \(^7\), leaving a substantial portion of missing heritability that represents an important gap in our understanding of the genetic etiology of schizophrenia. Similarly, bipolar disorder has high heritability, with estimates up to 80% \(^8\) and substantial missing heritability \(^9\). GWAS of schizophrenia and bipolar disorder demonstrated extensive shared genetic risk \(^10\). Taken together, this evidence suggests that examination of alternative types of inherited genetic variants may be necessary to develop a more complete understanding of the heritable variants associated with risk for developing schizophrenia and bipolar disorder.

One alternative type of inherited genetic variant is long interspersed element 1 (L1) retrotransposons. L1s are a class of mobile DNA elements that comprise ~17% of the human genome \(^11\) and >100 inherited L1 insertions are known to cause disease \(^12\). Recent literature supports a role for L1s in the etiology of schizophrenia. Misiak et al. \(^13\) demonstrated that individuals with schizophrenia have lower L1 methylation, which is an epigenetic change known to increase the transcription and insertion of L1s \(^14, 15\). Similarly, people with schizophrenia and bipolar disorder show a reduction in neuronal proteins that suppress L1 retrotransposition \(^16, 17\). Neuronal DNA of individuals with schizophrenia was
shown to have an increase in de novo intragenic L1 insertions and a recent report identified a pair of neuronal DNA-specific intronic somatic L1 insertions capable of affecting gene expression. A study of postmortem brain samples from three persons with schizophrenia also showed increased L1 copy number and that L1 insertions occurred in synaptically expressed genes that have been previously associated with schizophrenia. Examination of a family where schizophrenia segregated allowed Guffanti et al. to identify the first inherited L1 insertions that were associated with risk for schizophrenia, which occurred in open reading frames for genes that belong to biological pathways already associated with schizophrenia. However, the family specific nature of these L1 insertions meant they were not generalizable to the broader schizophrenia population. Taken together, these data support a role for L1 retrotransposons in psychiatric disease and suggest that it may be possible to identify additional individually relevant and heritable L1 retrotransposon insertions that are associated with risk for the development of schizophrenia and bipolar disorder. To this end, we identified L1 retrotransposon insertions in the neuronal genomes of a cohort of individuals with schizophrenia and controls and we validated the association of a subset of heritable L1 insertions in independent cohorts of schizophrenia and bipolar disorder.

**Methods and Materials**

**Human tissue samples and identification of L1s**

Human postmortem dorsolateral prefrontal cortex samples (n=126) were provided by the Douglas-Bell Canada Brain Bank at McGill University, the Human Brain and Spinal Fluid Resource Center at UCLA and the Sydney Brain Bank at Neuroscience Research Australia, and these studies were approved by the University of Pennsylvania Institutional Review Board. All reported age, sex and ethnicity data are based on associated medical records. Samples included 63 individuals diagnosed with schizophrenia (44 male and 19 female; Age 50.4 ± 15.8, mean ± S.D.; 60 European, 1 African, 1 Hispanic, 1 Asian) and 63 control individuals who died of non-central nervous system-related reasons and had no known...
neuropsychiatric diseases (50 male and 13 female; Age 55.4 ± 17.8, mean ± S.D.; 57 European, 1 Asian, 5 Unknown). Restriction enzyme based enriched L1Hs sequencing (REBELseq) is capable of reliably identifying L1s in the human genome, and was used to identify and annotate transcribed active (Ta) subfamily human specific L1 (L1Hs) retrotransposon insertions in neuronal DNA from all participants, with empirically determined quality control cutoffs of mean MapQ minus 2 standard deviations and ≥ 100 average sequencing reads per person. L1 retrotransposons passing bioinformatic cutoffs were categorized based on whether they annotated to known reference L1s (KR L1s) in build hg19 of the human reference genome or if they were known non-reference L1s that were previously detected by other studies (KNR L1s) or previously unknown L1s (UNK L1s). Putative L1 retrotransposon insertions were annotated for occurring in genes, exons, the 1000 Genome project data, hg19 L1Hs, and hg19 non-L1Hs repetitive elements as we have previously described (Supplementary Table 1).

Confirmatory PCR

Primers for confirmatory PCR of putative L1 retrotransposon insertions identified by REBELseq were designed as previously described. Primer sequences can be found in Supplementary table 2. Confirmatory PCR reactions for five L1 insertions were performed on all 126 individuals to prevent both false positive results (i.e. individuals who were predicted by REBELseq to have the L1 insertion, but do not upon confirmation) and false negative results (i.e. individuals who were not predicted to have the insertion, but do upon confirmation). 25 µL confirmatory PCR reactions contained 1x Go-Taq hotstart colorless master mix (#M5133, Promega), 1 ng of gDNA, 0.2 µM L1HsACA primer (Supplementary table 2), and 0.2 µM L1 insertion specific downstream primer. PCR reactions were thermally cycled and visualized by gel electrophoresis as previously described.
Population genotyping by confirmatory PCR

Population genotyping utilized DNA from schizophrenia, bipolar, and control individuals of European-American ethnicity with no known history of neuropsychiatric disorders (n=2,268 each), provided by the NIMH Rutgers University Cell and DNA Repository. 25 µL PCR reactions contained 1x Go-Taq hotstart colorless master mix, 20 ng of DNA, 0.2 µM L1HsACA primer and 0.2 µM L1 insertion specific downstream primer. Reactions were run as previously described⁵⁵.

Sanger sequencing

L1 insertions were amplified in a 25 µL PCR reaction using 1x Go-Taq hotstart green master mix (#M5123, Promega), 20 ng of DNA from repository samples of individuals known to be carriers of the L1 insertion of interest, 0.2 µM L1 insertion specific downstream primer and 0.2 µM L1 insertion specific upstream primer (Supplementary table 2). Amplification products encompassing the entire L1 insertion were visualized with ethidium bromide using 1.2% agarose gel electrophoresis. Bands were excised, gel purified (#28606, Qiagen), cloned into pCRII-TOPO plasmids (#45-0640, Invitrogen) and transformed into E. coli (#C404010, Invitrogen). Plasmid DNA containing the L1 insertions was Sanger sequenced using big-dye chemistry at the Children’s Hospital of Philadelphia Nucleic Acid PCR Core facility and data was analyzed with Sequencher (v5.4.6).
Statistics

Statistical calculations were performed with SPSS (v24, IBM). L1 counts are presented as mean ± standard error. For population genotyping, Benjamini-Hochberg correction with a false discovery rate (FDR) of 0.1 was utilized for multiple testing correction. PANTHER analysis (PANTHER v14.0; accessed June 6, 2019) was done using the binomial statistical overrepresentation test, with a Bonferroni corrected p-value < 0.05 considered as significant.

Data availability

Sequencing and phenotype data associated with this manuscript are available in dbGaP accession number phs001968.

Results

Knowing the literature evidence suggested a possible role for both individual increases in neuronal L1 burden and a subset of inherited L1 retrotransposons being associated with risk for developing schizophrenia, we sought to investigate these dual hypotheses. REBELseq was used to identify L1 insertions in the neuronal genomes of individuals with schizophrenia and control individuals (Supplementary Table 1). After stringent bioinformatic cutoffs, we retained 2,751 unique L1 insertions across all genomes. An ANCOVA was run to determine the effect of schizophrenia on the total number of L1s per individual and the distribution of L1 subtypes. After adjustment for the effects of age, sex, and ethnicity, there was not a statistically significant difference in the total number of L1s per individual (schizophrenia 583.0 ± 12.2; control 599.4 ± 11.0; F(1,121) = 0.391, p = 0.533, partial $\eta^2 = .003$), the number of KR L1s (schizophrenia 445.0 ± 9.7; control 459.6 ± 9.0; F(1,121) = 0.454, p = 0.502, partial $\eta^2 = .004$), the number of KNR L1s (schizophrenia 66.7 ± 1.8; control 69.3 ± 2.0; F(1,121) = 0.229, p = 0.633, partial $\eta^2 = .002$), or the number of UNK
L1s (schizophrenia 71.3 ± 1.6; control 70.5 ± 1.1; F(1,121) = 0.004, p = 0.950, partial $\eta^2 < .001$). Taken together, these data suggest that there is no increase in L1 retrotransposon burden in the neuronal genome of individuals with schizophrenia.

**PANTHER pathway analysis**

Of the 2,751 unique L1 insertions retained after bioinformatic cutoffs; 1,150 insertions occurred in genes, with 914 unique genes containing L1 insertions in persons with schizophrenia, 862 unique genes containing L1 insertions in control individuals, and 626 of the L1 insertions occurring in both groups. These lists of unique genes were analyzed with PANTHER, to identify gene ontologies for biological processes, molecular functions, and cellular components that are overrepresented or underrepresented in L1 insertions from either schizophrenia or control persons, but not both. We identified 15 gene ontologies where L1s were overrepresented or underrepresented in schizophrenia or control individuals (Supplementary table 3), including multiple ontologies related to glutamate or calcium signaling, areas of interest in the context of schizophrenia.

**Confirmation of REBELseq L1s and population genotyping**

Knowing that some inherited L1s have been associated with risk for developing schizophrenia\textsuperscript{19, 21}, we selected five L1 insertions occurring in or near genes previously associated with schizophrenia\textsuperscript{24-39} (Supplementary table 2) and validated their presence in the genomes of our samples by confirmatory PCR, utilizing the L1 3’ untranslated region (UTR) targeted L1HsACA primer (Supplementary table 2) and a L1 insertion specific downstream reverse primer (Supplementary table 2), to ensure that the L1 insertions were true retrotransposon insertions and not chimeric amplification products from REBELseq. All five L1 insertions were validated successfully (Supplementary table 4). Knowing that our small discovery sample may not accurately represent the carrier frequencies of the five validated L1 insertions in larger populations and that inherited L1s can be identified from peripheral sources of genomic material, we next determined the frequency of these five L1
insertions in a larger set of blood derived DNA samples from Caucasian European-American persons with schizophrenia and controls (n = 2,268 each). The carrier frequency of the L1 insertions in ARHGAP24 ($X^2 p = 0.029$, odds ratio (OR) 1.206) and SNTG2 ($X^2 p = 0.008$, OR 1.182) were significantly increased in persons with schizophrenia, when compared to controls, suggesting that the presence of these L1 retrotransposons is associated with risk for the development of schizophrenia (Table 1).
Table 1.
The number of individuals carrying the genotyped L1 insertions (out of n=2,268 each), carrier frequency (%), and the odds ratio (OR) of each insertion.

| Gene      | Control carriers (frequency) | Schizophrenia carriers (frequency) | p-value | OR    | OR 95% confidence interval |
|-----------|------------------------------|------------------------------------|---------|-------|----------------------------|
| ARHGAP24  | 292 (12.9%)                  | 344 (15.2%)                        | 0.029   | 1.206 | 1.019-1.426                |
| SNTG2     | 728 (32.2%)                  | 813 (36.0%)                        | 0.008   | 1.182 | 1.045-1.337                |
| LINCO1899 | 381 (16.9%)                  | 378 (16.7%)                        | 0.905   | 0.991 | 0.848-1.158                |
| UNC5D     | 201 (8.9%)                   | 205 (9.1%)                         | 0.876   | 1.022 | 0.833-1.253                |
| RGS6      | 41 (1.8%)                    | 54 (2.4%)                          | 0.178   | 1.325 | 0.879-1.997                |
Since GWAS results have shown that schizophrenia and bipolar disorder have a large number of overlapping genetic risk loci, we next sought to investigate if the L1 insertions in \textit{ARHGAP24} and \textit{SNTG2} might also be associated with risk for developing bipolar disorder. The L1 insertions were genotyped in DNA samples from persons with bipolar disorder (n = 2,268), and a significant increase in the carrier frequencies of the \textit{ARHGAP24} (\(X^2 p = 0.021, \text{OR 1.218}\)) and \textit{SNTG2} (\(X^2 p = 0.002, \text{OR 1.212}\)) L1 insertions in persons with bipolar disorder, compared to controls, was detected (Table 2). This suggests that the \textit{ARHGAP24} and \textit{SNTG2} L1 retrotransposon insertions are associated with risk for both schizophrenia and bipolar disorder.
Table 2.
The number of individuals carrying the genotyped L1 insertions (out of n=2,268 each), the carrier frequency (%), and the odds ratio (OR) of each insertion compared to controls.

| Gene     | Bipolar carriers (frequency) | p-value | OR   | OR 95% confidence interval |
|----------|------------------------------|---------|------|---------------------------|
| ARHGAP24 | 347 (15.4%)                  | 0.021   | 1.218| 1.030-1.441               |
| SNTG2    | 826 (36.5%)                  | 0.002   | 1.212| 1.072-1.370               |
**L1 Sanger sequencing**

Since the L1 insertions in *ARHGAP24* and *SNTG2* are associated with risk for the development of schizophrenia and bipolar disorder and inherited L1 insertions are frequently mutated or truncated, we next sought to elucidate the exact size and genetic sequence of these L1 insertions. Utilizing DNA from individuals known to be carriers of the *ARHGAP24* and *SNTG2* L1 insertions, we performed PCR reactions using the upstream and downstream L1 insertion specific primers (Supplementary table 2), which allowed for the amplification, cloning and Sanger sequencing of the entire *ARHGAP24* and *SNTG2* L1 insertions.

The inherited L1 insertion in *ARHGAP24* that is associated with risk for schizophrenia and bipolar disorder is a KNR L1 that is 448bp in length, inserted at chr4:86,607,955-86,607,956 (annotated in euL1db as MRIP 5707). It is a 3’ fragment of an L1Hs, containing both the diagnostic L1HsACA sequence and L1HsG sequence in what would have been the 3’ UTR of a full length L1Hs (see supplemental for sequence).

The L1 insertion in *SNTG2* is a KR L1 that is 342bp in length, inserted at chr2:1,248,710-1,249,051. Initial attempts at Sanger sequencing the L1 insertion showed heterozygosity within the L1HsACA sequence (data not shown). To better understand this observation, we Sanger sequenced clones (n = 5/person) from 10 people who were called as carriers for the L1 insertion and 10 people who were called as non-carriers during genotyping. Three SNPs (rs28652617, rs28538796 and rs74169643) were identified in the binding site of the L1HsACA primer. We ascertained four haplotypes in this group of three SNPs, one of which matched the L1HsACA primer sequence (Genotyped Haplotype; Figure 1). This haplotype occurred in all individuals who were genotyped as carriers for the *SNTG2* L1 insertion and none of the individuals who were genotyped as non-carriers. To confirm that our population genotyping results were not a byproduct of truncated primers or 3’-5’ taut
exonuclease activity, we repeated our population genotyping for the 20 sequenced individuals using either the L1HsACA primer previously used for population genotyping or a HPLC-purified L1HsACA primer that contained a phosphorothioate bond of the 3’ A nucleotide to block exonucleolytic activity, ensuring that all primer was full length. We observed the same genotyping results with both versions of the L1HsACA primer (data not shown), confirming that what we genotyped for the SNTG2 L1 insertion was a specific haplotype block of the three SNPs. The ‘GA’ haplotype at rs28652617 and rs28538796 occurs in the context of both the ‘C’ and ‘T’ alleles at rs74169643 (Figure 1), while the ‘C’ allele at rs74169643 only occurs in the context of the genotyped haplotype (Figure 1). Therefore, it is the ‘C’ allele at rs74169643 that is associated with risk for developing both schizophrenia and bipolar disorder.

Discussion

While a subset of inherited polymorphic L1s have strong linkage disequilibrium (LD) to nearby SNPs, it has been demonstrated that common SNP arrays, like the type utilized for GWAS, poorly identify the presence of polymorphic L1s. This suggests that alternative approaches to GWAS, like REBELseq, may be necessary to understand the role L1s play in human disease. Similar to other recent reports, this manuscript offers evidence suggesting that a subset of inherited L1 retrotransposon insertions are associated with risk for the development of psychiatric disorders, with the identification of an inherited L1 retrotransposon insertion in ARHGAP24 and a quadallelic SNP in a L1 insertion in SNTG2 that are associated with risk for the development of schizophrenia and bipolar disorder. Additionally, our pathway analyses identified gene ontologies that are differentially affected by L1 retrotransposon burden.

The role of L1 retrotransposons in schizophrenia is an active area of clinical speculation, and risk for the development of schizophrenia has been previously associated with human endogenous viruses. Bundo et al. described a difference in L1
retrotransposon burden in NeuN+ neuronal DNA from prefrontal cortex of persons with schizophrenia and bipolar disorder, utilizing a real-time PCR assay of L1 opening reading frame (ORF) 2. This assay quantifies total genomic L1 content, including full length L1s, L1s that are 5’ truncated upstream of ORF2 and L1s that are 3’ truncated downstream of ORF2. In contrast, the REBELseq assay is designed to identify L1s that contain the L1HsACA and L1HsG diagnostic nucleotides of Ta subfamily L1Hs in the 3’ UTR, downstream of ORF2. These differences in assay system may explain why Bundo et al. observed an increase in total L1 burden when we did not.

The inherited L1 insertion in ARHGAP24 is in intron 2, similar to a previous report of inherited intronic L1 insertions that were associated with risk for the development of schizophrenia. ARHGAP24 is a Rho GTPase activating protein, which antagonizes Rac. GWAS has associated rs111882035 in ARHGAP24 with a deficit in logical memory (delayed recall) in mild cognitive impairment, and missense mutation in Rho GTPase activating proteins have been associated with schizophrenia. ARHGAP24 functions in cytoskeleton regulation and changes in microtubule regulation are a hallmark of psychiatric disease, including schizophrenia and bipolar disorder. Pharmacological alteration of cytoskeletal regulation has even been suggested as a potential therapeutic target for schizophrenia. Intriguingly, ARHGAP18, encoding a Rho GTPase that also plays a role in cytoskeletal regulation, contains alleles associated with schizophrenia. Full length ARHGAP24 isoform 1 has been shown to be an important regulator of neuronal morphology. Taken together, this evidence suggests a putative mechanism whereby the inherited L1 insertion in intron 2 of ARHGAP24 (or a variant in LD) could play a role in schizophrenia and bipolar disorder by dysregulating normal cytoskeletal function. Further supporting this hypothesis is evidence from the Disc1-L100P mouse line, which demonstrates that disruption in Disc1 leads to decreased expression of Arhgap24 and deficits in synaptic morphology and plasticity and memory.
SNTG2 encodes Syntrophin Gamma 2, a membrane protein that binds to the C-terminal domain of dystrophins and dystrophin-related proteins. Dystrophin has been previously associated with schizophrenia, and a CNV in SNTG2 segregates with schizophrenia in a family. Additionally, examination of 18 parent-child trios with bipolar disorder demonstrated an association with variants in SNTG2. The haplotype block in the L1 insertion in SNTG2 shows that rs28652617 ‘G’ allele and rs28538796 ‘A’ allele are in perfect LD. rs74169643 is a quad-allelic SNP; however, the ‘C’ allele in our genotyped haplotype structure only occurs in the context of rs28652617 ‘G’ allele and rs28538796 ‘A’ allele, suggesting that it is effectively the rs74169643 ‘C’ allele that was associated with risk for the development of schizophrenia and bipolar disorder. rs74169643 was not included in previous GWAS of schizophrenia, likely due to the quaddallelic nature of the variant, explaining why an association between rs74169643 and schizophrenia was not previously identified, despite larger sample size. Our results suggest that genotyping of rs74169643 in large populations of schizophrenia and control individuals is warranted.

Our pathway analysis, which utilized lists of unique genes containing L1s in the genome of our human postmortem samples, identified many gene ontologies that were overrepresented or underrepresented in the context of schizophrenia or control individuals. Overrepresentation of L1s in the biological process ‘synaptic transmission, glutamatergic’ and the cellular component ‘ionotropic glutamate receptor complex’ may support the glutamate hypothesis of schizophrenia, whereas underrepresentation of L1s in the biological processes ‘innate immune response’, ‘defense response to bacterium’ and ‘humoral immune response’ may be relevant to the long-speculated involvement of immune system regulation in schizophrenia. Genes containing L1 retrotransposons involved in these and other identified gene ontologies may make interesting targets for future studies.

One limitation of this study is the utilization of REBELseq, which identifies Ta subfamily L1Hs insertions. While it was utilized productively in the context of this manuscript,
it fails to identify other families of chronologically older L1 insertions and other types of repetitive genomic elements that may play a role in neuropsychiatric disorders. Further examination of other repetitive element types in the context of schizophrenia and bipolar disorder is likely warranted. Another limitation of this study is the use of relatively small, by psychiatric genetics standards, discovery and validation cohorts, limiting both the identification and independent confirmation of relevant L1 retrotransposons. Additional studies with larger sample sizes will be important for ultimately determining the relevance of the variants identified in this study. The allele frequency of rs74169643 shows considerable global variation, and for this reason all samples in the replication cohort were Caucasian European-Americans, reducing the potential for false positive result caused by population stratification. However, further analysis of samples using a SNP or sequencing based analysis is needed to fully disprove the effects of population stratification. A further limitation of this manuscript is the lack of functional studies, as it will be important to determine the mechanisms that underlie the identified genomic variants.

This study provides further evidence that L1 retrotransposons are associated with risk for the development of schizophrenia and bipolar disorder. However, there is no reason to assume that this association to psychiatric disease is limited to schizophrenia and bipolar disorder. Further studies of the role of L1s in other psychiatric disorders is warranted, as they may identify additional L1 retrotransposons that are associated with risk.
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Disclosures

The authors declare no conflicts of interest.
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Figure legends

Figure 1: Haplotypes of the *SNTG2* L1 retrotransposon insertion. 10 carriers of L1 insertion and 10 non-carriers were sequenced and the two alleles from each individual were identified. The haplotype labeled as the genotyped haplotype was present in all the carriers and none of the non-carriers. The three alternative haplotypes are shown. Counts of the number of each of the four haplotypes alleles are presented. The SNP accession numbers are shown for reference. The ‘GAC’ haplotype of rs28652617, rs28538796 and rs74169643 is associated with risk for developing schizophrenia and bipolar disorder.
### Figure 1

| Genotyped Haplotype | # alleles in carriers | # alleles in non-carriers |
|---------------------|-----------------------|---------------------------|
| GATGACAC            | 12                    | 0                         |
| GATGACAT            | 8                     | 14                        |
| AATGACGA            | 0                     | 5                         |
| AATGACGG            | 0                     | 1                         |

Alternative Haplotype:
- rs28652617
- rs74169643
- rs28538796