Utility of the Transition Phase in Earplugs of the North Pacific Common Minke Whale as an Indicator of Age at Sexual Maturity

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Abstract
Whale age at sexual maturity is one of the most important biological parameters that can be used in stock management and population analysis. Earplugs have been widely used as an indicator of age among rorquals. It has also been accepted that the transition phase in the earplug can be used as an indicator of age at sexual maturity in fin whales, sei whales, and Antarctic minke whales. This study aimed to provide further insight into the utility of the transition phase as an indicator of age at sexual maturity in the North Pacific common minke whales, which has not yet been clarified. The relationship between sexual maturity and transition phase in earplugs was examined using 981 readable earplugs from common minke whales that were sampled at the JARPN and JARPN II scientific permit survey platform in the western North Pacific from 1994 to 2011. The transition phase was recognized in 53.2% of mature males and in 58.6% of mature females. Most whales in which the transition phase was recognized in the earplug were sexually mature. A significant correlation was found between the number of corpora and time after sexual maturation, as revealed by the transition phase, demonstrating that the transition phase is a valid indicator of age at sexual maturity in common minke whales. However, it was difficult to recognize the transition phase in whales that had recently attained sexual maturity because insufficient time had elapsed since its formation. To avoid potential bias, the use of earplugs as an indicator of age should be restricted to whales more than 12 years old.

Keywords
Common Minke Whale, Balaenoptera acutorostrata, Earplug, Age at Sexual Maturity, Transition Phase

1. Introduction
Information on whale age is of key importance for estimating life-history parameters that can be used for stock management. To obtain data on age, it is necessary to identify characteristics that represent the age of an individual. Earplugs have been widely used to determine age among rorqual species [1] [2]; characteristics of whale earplugs in relation to animal age have been discussed in detail by Purves [3]. The earplug accumulates in the external auditory meatus [4] and comprises a core and outer covering [3] [5] (Figure 1). The auditory meatus is once closed just beneath the epidermis, and the earplug is never shed throughout the whale’s lifespan. The outer covering of the earplug is secreted by epithelial cells in the external auditory meatus, whereas the core, which comprises concentric light and dark laminae, is secreted by papillae on the surface of the glove finger [3]. The light and dark layers are formed during the feeding and breeding periods, respectively [6]. Histological observations of fin whale (Balaenoptera physalus) earplugs have indicated that fat content tends to be lower in the dark layer and higher in the light layer [6]. Many baleen whales migrate between breeding locations in low-latitude waters (winter) and feeding locations in high-latitude waters (summer); this migration occurs once every year and is reflected in earplug growth layers, with a pair of dark and light layers representing 1 year [1] [6] [7] [8] [9]. Other features of the earplug include the neonatal line, which is...
formed at birth [10] in the apical portion of the core (Figure 1).

However, age reading from the earplugs of the North Pacific common minke whale (B. acutorostrata) is generally believed to be difficult and impractical because of their softness and poor formation of growth layers. Considering the characteristics of earplugs, Maeda et al. [11] addressed the technical development of sampling called the gelatinized extraction method, which prevented damage to earplugs at the collection stage and was useful for improving age readability, especially in younger whales. From these studies, the readability of common minke whales was improved compared with that from commercial whaling because of careful collection and efforts in the technical development of earplug sampling. Furthermore, Maeda et al. [12] reported that earplugs of common minke whales in the western North Pacific were considered useful as a valid age tool.

More than a whale’s age can be inferred from the earplug. Lockyer [8] examined fin whales from the southern hemisphere and observed a distinctly spaced growth layer that diminished suddenly, which was called the transition phase (Tp) (Figure 1). This Tp in the earplug, where widely spaced growth layers abruptly become much closer together, indicates the age at sexual maturity and is believed to be linked with changes in the skull’s growth rate after sexual maturity [13] [14]. The presence of Tp has been reported for fin whales in the southern hemisphere [8] and Iceland [15] [16]; for sei whales (B. borealis) in the southern hemisphere [13], North Pacific [17], Iceland [18], and the Antarctic; and for minke whales (B. bonaerensis) [19] [20] [21] [22]. Tp is useful for estimating age at sexual maturity, which is one of the most important biological parameters, and it can be used to monitor changes in this parameter within whale populations [15] [16] [19] [21] [23] [24]. However, the appearance and utility of Tp have not been clarified in common minke whales. Our objective here was thus to investigate the usability of Tp as a characteristic for estimating age at sexual maturity in the North Pacific common minke whale.

2. Material and Methods

We used readable earplugs obtained from 775 male and 206 female North Pacific common minke whales collected during the Japanese Whale Research Program under Special Permit in the western North Pacific JARPN (1994-1999) and JARPN II surveys (2000-2011). Sampled earplugs were preserved in 10% neutral buffered formalin solution. In the laboratory, the earplugs were cut flat along the central axis of the core using a blade according to Lockyer [8]. Then, the earplugs were ground on a wet stone to expose the neonatal line and the growth layers and to smooth the surface. The cut surfaces of the earplugs were then examined underwater using a stereomicroscope (Olympus SZX10). Age was determined by reading the growth layers appearing on the bisected surface of the earplug, assuming annual deposition of growth layers (one pair of dark and light laminae accumulate per year). We recorded Tp when we clearly identified the part that exhibited a sudden decrease in its spacing (Figure 2).

We also used biological data on the following morphological characteristics: body length, sex, skull width (measured at the largest part of the zygomatic process of the temporal bone), weight of testis, and number of ovulations. A male with a testicle weighing more than 290 g [25] and a female with at least one corpus luteum or albicans in her ovaries [2] were regarded as sexually mature.

3. Results

3.1. Identification of Tp

A visible Tp was observed in 43.5% of male North Pacific common minke whales and in 26.2% of females (Table 1). The appearance of Tp was related to

Figure 1. Bisected surface of an earplug of a fin whale (age = 36 years, age at Tp = 7 years), a: outer covering; b: core with growth layers. Scale bar: 10 mm.

Figure 2. Bisected surface of a earplug of a North Pacific common minke whale (male, body length = 7.07 m, age = 19 year, age at Tp = 6 year), a: outer covering; b: core with growth layers, and magnified view (below) of the part at the transition. Scale bar: 5 mm.
maturity status: a higher proportion of females than males were immature (Table 2), and the majority of whales with a visible Tp were mature individuals (Figure 3). However, there were a few immature individuals with a visible Tp in both sexes (one male, three females). Examination of body length and age showed that the body lengths of immature whales exhibiting a Tp were larger than those of immature whales without a visible Tp (Figure 4), and the former tended to be older than the latter (Figure 5). The range of body length and age of immature whales with Tp overlapped those of mature ones. Tp was apparent in 53.2% of mature males and in 58.6% of mature females (Table 3). Figure 6 shows the relationship between age and presence of Tp in mature whales. Tp was more frequently observed in older whales of both sexes. Approximately 25% of whales from 4 to 11 years old had a visible Tp, and >50% of whales more than 12 years old exhibited Tp. It was difficult to recognize Tp in mature whales that had recently attained sexual maturity.

### 3.2. Age in Relation to Number of Ovulations and Skull Width

The number of ovulations clearly increased with age after sexual maturation based on Tp (Figure 7). The relationship between age after maturation (X) and number of ovulations (Y) was estimated by linear regression as follows:

\[
Y = 0.80X + 0.96
\]

The X and Y intercepts were 0 and 1, respectively, lending further credence to the view that Tp is a valid indicator of age at sexual maturity.
Figure 6. Relationship between age and visibility of transition phase (Tp) in mature male and female North Pacific common minke whales.

Figure 7. Number of ovulations according to age after sexual maturation based on the transition phase (Tp) (n = 42).

Figure 8. Skull width in relation to age of immature North Pacific common minke whales and mature whales in both sexes (male: upper, female: lower). Regression line: a: immature male, b: mature male, c: immature female, d: mature female.

The regression relationships (Y = skull width, X = age) for each category were as follows (Figure 8):

Immature whales in males: \[ Y = 2.86X + 56.72 \] (R = 0.70)
Mature whales in males: \[ Y = 0.18X + 81.62 \] (R = 0.39)
Immature whales in females: \[ Y = 4.15X + 52.54 \] (R = 0.77)
Mature whales in females \[ Y = 0.39X + 85.02 \] (R = 0.43)

A significant difference was observed between the slope of the regression for immature and mature whales in both sexes (ANOVA test, P < 0.001). Age at the crossing point of the regression line was 9.27 in males and 8.69 in females.

3.3. Mean Age at First Ovulation and Age at Tp

There were four females for which only one corpus luteum or albicans was observed in their ovaries; therefore, mean age at first ovulation was 8.75 years (SD = 1.5, min = 7, max = 10, n = 4). Mean age at Tp in males was 7.68 years (SD = 1.81, min = 4, max = 13, n = 336), whereas that in females was 7.82 years (SD = 1.58, min = 4, max = 11, n = 51).

4. Discussion

We found that the presence of Tp was related to the maturity status of B. acutorostrata and that most whales having a visible Tp were mature individuals, with a few exceptions. The presence of Tp in immature animals was previously reported in sei and Antarctic minke whales [13] [20] [21] [22]. Data on body length and age suggested the possibility that immature individuals exhibiting Tp had almost attained sexual maturity. We compared the presence of Tp in mature North Pacific common minke whales with that in other species (Table 4) [8] [13] [26]. Large proportions of fin and sei whale populations exhibited Tp. Dozens of males and females Antarctic minke whales, which are closely related to the common minke whale, also with showed the presence of Tp. The reliability of identification of Tp in the common minke whale appears to be less than that in other whale species. We could not identify Tp in two cases of earplugs: those with growth layers with completely irregular or gradually diminished spacing. It is known that the general readability of common minke whale earplugs is low because of the unclear formation of growth layers [11] [27]. Regarding a earplug in which Tp could not be identified because of its gradually diminished spacing, Kato [21] reported a similar growth layer pattern in a earplug without Tp in an Antarctic minke whale. Furthermore, the core length of earplus is smaller in mature common minke whales than in other whales (Table 5); thus, it is considered that the interval of growth layers is originally narrow, which hampers the identification of Tp in this species. However, the present study revealed that Tp could be recognized in the North Pacific common minke whale.

Table 4. Percentage of North Pacific common minke whales in which the transition phase (Tp) was observed, among four species.
Table 5. Mean core length (cm) in mature whales among four species. The core length was measured from the neonatal line to the germinal layer.

It was found that the growth rate of skull width clearly changed in immature and mature whales in both sexes. Age at the inflection point of regression lines almost corresponds to the age at first ovulation. Mean age at Tp in both sexes was slightly lower than age at the crossing point of regression lines; however, in consideration of the range of age at which Tp appears, it is roughly appropriate. Therefore, formation of Tp in common minke whales was related to changes in the rate of skull growth, as was true for other species [13] [14]. Regarding the identification of Tp in mature whales, it was difficult to recognize Tp in younger whales that had recently attained sexual maturity. This difficulty may occur because too few layers were formed in the earplug to distinguish Tp clearly, resulting in a temporal lag in perception of Tp. Kato [21] and Ohsumi [22] reached similar conclusions, stating that Tp could be identified 4 - 5 years after the attainment of sexual maturity. To avoid potential bias from this temporal lag in perception, the use of Tp to monitor changes in age at sexual maturity should be restricted to whales that are more than 12 years old after birth, the age at which Tp becomes reliably observable at a rate of more than 50%.

5. Conclusion

In conclusion, we found that Tp could be identified in the North Pacific common minke whale. Most whales with an apparent Tp had attained sexual maturity. We also showed a significant correlation between the number of corpora and time after maturation in female minke whales. From these results, we concluded that Tp was a valid indicator for estimating age at sexual maturity in individual common minke whales. To date, earplugs of this species have been regarded as poor characteristics for evaluating age. However, we demonstrated that earplugs of common minke whales can reveal not only age, but also age at which sexual maturity was attained.

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Conflicts of Interest

The authors declare no conflicts of interest.

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Utility of the Transition Phase in Earplugs of the North Pacific Common Minke Whale as an Indicator of Age at Sexual Maturity. Article. Full-text available. Results of the examination of 1,062 sei whales Balaenoptera borealis landed at the whaling station at Donkergat, Saldanha Bay, South Africa, in the 1962 and 1963 whaling seasons are presented. Sei whales were usually encountered off the edge of the continental shelf, with males being caught closer inshore than females and mature females furthest from all the station. Taxonomists further categorize the common minke whale into two or three subspecies; the North Atlantic minke whale, the North Pacific minke whale and dwarf minke whale. All minke whales are part of the rorquals, a family that includes the humpback whale, the fin whale, the Bryde's whale, the sei whale and the blue whale. Both sexes typically weigh 4–5 t (3.9–4.9 long tons; 4.4–5.5 short tons) at sexual maturity, and the maximum weight may be as much as 10 t (9.8 long tons; 11 short tons). The minke whale is a black/gray/purple color. Common minke whales (Northern Hemisphere variety) are distinguished from other whales by a white band on each flipper. The body is usually black or dark-gray above and white underneath. The unresolved taxonomy of dwarf minke whales further highlights some of the existing knowledge gaps concerning these species. Due to their relatively small size and elusive behaviors, large uncertainties exist for almost all minke whale populations with respect to behavior, migratory routes and winter distributions, hindering effective conservation and management. Dwarf minke whales were first recognized as a distinct form of the common minke whale in the mid-1980s (Best, 1985), and genetic evidence suggests the existence of at least two different populations in the Southern Hemisphere (Pastene et al., 2010). In the North Pacific, minke whales are widely distributed north of 30°N, shifting their distribution northward during summer (Zerbini et al., 2006).