Anti-tumor and Anti-angiogenic Ergosterols from *Ganoderma lucidum*

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This study was carried out to isolate chemical constituents from the lipid enriched fraction of *Ganoderma lucidum* extract and to evaluate their anti-proliferative effect on tumor cells and human umbilical vein endothelial cells (HUVECs). Ergosterol derivatives (1–14) were isolated and purified from the lipid enriched fraction of *G. lucidum*. Their chemical structures were established by spectroscopic analyses or by comparison of mass and NMR spectral data with those reported previously. Amongst, compound 1 was purified and identified as a new one. All the compounds were evaluated for their anti-proliferative effect on human tumor cells and HUVECs in vitro. Compounds 9–13 displayed inhibitory activity against two types of human tumor cells and HUVECs, which indicated that these four compounds had both anti-tumor and anti-angiogenesis activities. Compound 2 had significant selective inhibition against two tumor cell lines, while 3 exhibited selective inhibition against HUVECs. The structure–activity relationships for inhibiting human HepG2 cells were revealed by 3D-QASR. Ergosterol content in different parts of the raw material and products of *G. lucidum* was quantified. This study provides a basis for further development and utilization of ergosterol derivatives as natural nutraceuticals and functional food ingredients, or as source of new potential antitumor or anti-angiogenesis chemotherapy agent.

**Keywords:** *Ganoderma lucidum*, ergosterols, anti-tumor, anti-angiogenic, QSAR

**INTRODUCTION**

Tumor, characterized by abnormal cell proliferation and metastasis, is one of the most attracted chronic diseases and remains the leading cause of death worldwide. Tumor development is accompanied by tumor angiogenesis. Angiogenesis plays a key role in tumor formation, growth, invasion, and metastasis. Inhibiting the angiogenesis of tumors can not only cut off the supply of nutrients such as, oxygen and nutrients needed for tumor growth, but also cut the way of tumor cell metastasis (Folkman, 1971; Papetti and Herman, 2002; McDougall et al., 2006; Berz and Wanebo, 2011). Therefore, inhibitors of tumor angiogenesis are considered to be an effective strategy for the treatment of cancer.

Back-to-nature has become a chasing idea in recent decades. Edible or medicinal fungi have attracted more and more attention due to their extensive pharmacological effect for chronic diseases (Loria-Kohen et al., 2014), especially for preventing or complementary treating cancer. As one
of the most famous medicinal fungi, *Ganoderma lucidum* (Ganodermataceae), firstly recorded in *Shen Nong’s Herbal Classic*, was believed by the ancient people to promote longevity and even revive the dead with beautiful legends. The mystery of *G. lucidum* aroused widespread interest of researchers in modern times. *G. lucidum* was demonstrated to possess activities including anti-cancer (*Sliva*, 2006; *Rios* et al., 2012; *Boh*, 2013; *Gill* et al., 2016), antidiabetic (*Chang* et al., 2015; *Ma* et al., 1998), hepatoprotective (*Ha do et al.*, 2013; *Li et al.*, 2013; *Wu* et al., 2016), antiviral (especially anti-HIV activities; *Min* et al., 1998), and immunomodulating (*Bouic*, 2011; *Kim* and *Ta*, 2011; *Yasukawa* et al., 1994; *Loza-Mejia* and *Chang* et al., 2015; *Ma* et al., 2002). The anti-cancer of *G. lucidum* research is very popular in recent decades. It’s believed that triterpenoids and polysaccharide are responsible for the anti-cancer effect. Besides, steroids and other kinds of compounds were also found in *G. lucidum*.

In recent years, we began to search bioactive secondary metabolites from edible and medicinal fungi and to study their mechanisms on chronic diseases. We had reported ergosterol peroxide from *G. lucidum* and ergosterol isolated from *Amauroderma rude* inhibiting cancer growth by up-regulating multiple tumor suppressors (*Li* et al., 2015, 2016). Steroids were a class of rich and important ingredients in edible and medicinal fungi since they play a pivotal role in maintaining normal structure and function of cell membranes and also act as precursors for the synthesis of metabolites like steroid hormones (*Weete* and *Laseter*, 1974; *Mille-Lindblom* et al., 2004; *de Macedo-Silva* et al., 2015). Sterols are reported to demonstrate immune-modulating (*Bouic*, 2002), anti-tumor (*Yasukawa* et al., 1994; *Loza-Mejia* and *Salazar*, 2015; *Montserrat-de lar Paz* et al., 2015; *Kiem* et al., 2017), anti-inflammatory (*Yasukawa* et al., 1994; *Kuo* et al., 2011; *Joy* et al., 2017), anti-oxidative (*Zhang* et al., 2002), and other pharmacological activities (*Kim* and *Ta*, 2011; *Zhu* et al., 2014). Figure 1 showed some of the biologically active ergosterol derivatives reported from common edible fungi (*Fan* et al., 2006; *Weng* et al., 2010; *Wu* et al., 2011; *Zhao*, 2013; *Li* et al., 2014, 2015, 2016; *Kikuchi* et al., 2016). As ergosterol derivatives were multi-active and rich in fungi, they must be brought into focus during fungi research and development. However, current understanding of ergosterols composition in fungi is still not enough, especially on pharmacological mechanisms and structure-activity relationship.

In order to enrich the understanding of the diversity of ergosterols and to find more bioactive or higher active ergosterol derivatives, we carried out current work on *G. lucidum*. We now isolated 14 ergosterol derivatives, including one new compound, all of which showed anti-proliferative and anti-angiogenic activities in vitro in different levels. Herein, we described the structural elucidation and activity assay of these compounds. Their structure-activity relationships of cytotoxicity were also discussed. Besides that, the content of ergosterol in different parts of raw material and preparation of *G. lucidum* was also quantified.

### MATERIALS AND METHODS

#### General Experimental Procedures

Analytical HPLC was performed on an Agilent 1200 with an Agilent DAD spectrophotometer (Agilent Technologies, Santa Clara, USA) and a YMC-Pack Pro C18 (5 µm, 4.6 × 250 mm, YMC Ltd., Japan). Preparative HPLC was performed on a Shimadzu LC-20A spectrophotometer and a YMC-Pack Pro C18 column (5 µm, 20 × 250 mm). NMR (1D and 2D) spectra were recorded by a Bruker AVANCE III 600 spectrometer. The ESI-MS spectra were measured on a 6430 Triple Quad mass spectrometer (Agilent Technologies, Santa Clara, USA). The HRESI-MS spectra were recorded using a Q-TOF mass spectrometer (Waters Corporation, Milford, USA). UV and IR data were measured using a JASCO V-550 UV/vis and a JASCO FT/IR-480 plus spectrometers (Jasco, Japan), respectively. Normal phase silica-gel (200–300 mesh) was purchased from Qingdao Haiyang Chemical Co., Ltd., Octadecylsilanized silica (ODS) gel (50 µm) was purchased from YMC Ltd. in Japan. The optical density was measured on a Tecan Infinite® 200 PRO microplate reader (Tecan, Swiss).

#### Macrofungi Material

The fruiting bodies of *G. lucidum* had the same origination as that in our last published paper (*Chen* et al., 2017). They were all provided by Yuewei Edible Fungi Technology Co. Ltd., Guangzhou, China, and they originated from Dabie Mountain, Anhui, China. The voucher specimen (No. GL20160117) was deposited in State Key Laboratory of Applied Microbiology Southern China, Guangdong Institute of Microbiology.

#### Extraction and Isolation

The extraction and isolation procedure was similar to that of our last published paper (*Chen* et al., 2017). The dried fruiting bodies of *G. lucidum* (5.0 kg) were powdered and extracted with 95% ethanol (100 L × 2, liquid ratio 20:1) by heating-reflux to give a black crude extract (marked as GL1, 116.2 g). As the extract was well dissolved in methanol-chloroform mixture, GL (100 g) was dissolved with methanol-chloroform (1:1) and mixed with silica gel. After solvent evaporating, the sample was added to an open ODS silica gel column and eluted with 35, 50, 75, and 100% methanol in sequence to give four fractions (marked as GL-1 to GL-4). GL-4 exhibited the strongest inhibitory effects on human tumor cells. Accordingly, GL-4 was selected for further isolation. Fraction GL-4 was subjected to an open silica-gel column chromatography eluted with cyclohexane-ethyl acetate-methanol (90:10:0, 80:20:0, 70:30:0, 50:50:0, 30:70:0.5, 0:100:0) successively to afford 7 fractions marked as Fr. 4.1–Fr. 4.7. As the polarity of constituents in Frs. 4.5 and 4.6 were suitable for further isolation and purification based on TLC and HPLC analysis, Frs. 4.5 and 4.6 had been chosen for the subsequent isolation. Fr. 4.5 was further chose to purified on an ODS silica gel column and eluted with MeOH–H₂O (50:50, 70:30, 80:20, 90:10, and 100:0) to produce 5 subfractions (Fr. 4.5.1–Fr. 4.5.5). Constituents in Frs. 4.5.4 and 4.5.3 had good peaks shape and resolution factor by HPLC analysis. Fr. 4.5.4 was purified on...
preparative HPLC eluted by 85% MeOH to afford compounds 2, 3, 4, 5, and 1. Fr. 4.5.3 was further purified on prep-HPLC by 70% MeOH to give compounds 6, 7, and 8. Fr. 4.6 was further subjected to a ODS column eluted with MeOH–H₂O (50:50, 70:30, 80:20, 90:10, and 100:0) to afford five subfractions (Fr. 4.6.1–Fr. 4.6.5). Compound 12 was isolated from Fr. 4.6.5 by recrystallization. Similarly, Fr. 4.6.4 was suitable for further isolation. Fr. 4.6.4 was purified by preparative HPLC (MeOH–H₂O, 90:10) to afford compounds 9, 10, 11, and 13. Compound 14 was isolated from Fr. 4.6.3 by prep-HPLC with 80% MeOH elution.

Viability and Cell Death Assay
Human breast carcinoma cells MDA-MB-231, human hepatocellular carcinoma cells HepG2, and human lung carcinoma cells A549, were purchased from ATCC, or were gifted by Professor Burton B. Yang from University of Toronto, Canada. The anti-proliferative effect of total extract (GL), fractions (GL1–GL4), and compounds 1–14 on tumor cell lines was evaluated by MTT assay (Franchi et al., 2012). Total extract (GL) and fractions (GL1–GL4) were evaluated for their anti-proliferative effect on three types of carcinoma cells (MDA-MB-231, HepG2, and A549). Compounds 1–14 were chosen to evaluate for their anti-proliferative effect against MDA-MB-231 and HepG2 cells. Hundred microliters of cell suspension (5 × 10⁴ cells/mL) was seeded into wells of a 96-well plate. After being incubated for 4 h, different concentrations of fractions/compounds were added onto the cells and incubated. After 48 h, 150 µL of 3-[4,5-dimethylthiazol-2-yl]-2,5-diphenyl tetrazolium bromide (MTT) solution (Sigma) was added to each well, and cells were kept being incubated for 4 h. After removing the MTT/medium, DMSO (100 µL) of was added to each well and was agitated at 60 rpm for 5 min. The optical density of the assay 96-well plate was read (λ = 540 nm) on a microplate reader.

Anti-angiogenesis Activity
HUVECs (KeyGEN BioTECH Co., Ltd, Jiangsu, China) were cultured in ECM (endothelial cell medium) with 5% (v/v) FBS, 1% (v/v) ECGS, and 1% penicillin-streptomycin (w/v) at 37°C in a cell incubator. The MTT assay was employed to assess the anti-angiogenic effect of the isolated compounds against HUVECs as described previously with mild modification (Liang et al., 2015; Nguyen et al., 2015). Briefly, HUVECs were seeded into a 96-well plate and incubated. Different concentrations of compounds 1–14 were added onto the cells after 4 h, and continued to be co-incubated for 48 h. Next, the cells were co-incubated with MTT (Sigma, St. Louis, MO, USA) to a final concentration of 0.05% for another 4 h. Then, replacing the MTT solution with 150 mL DMSO, and kept the plates being shaken for 3 min. The optical density of each well was measured on a microplate reader at λ = 570 nm.

Statistical Analysis
IC₅₀-values were calculated and analyzed using SPSS with three replications, each carried out in triplicate. Data were expressed as mean ± SD and analyzed by one-way ANOVA followed by two-tailed Student’s t-test. A difference was considered significant at the P < 0.05 or P < 0.01 level.

3D QSAR Modeling
From the compounds, 15 compounds with accurate IC₅₀s were chosen for 3D QSAR model establishment with Discovery Studio.
3.1 (Luo et al., 2014; Sang et al., 2014). Firstly, the selected compounds were randomized into training set for model generation and test set for model validation, where training set divided 80% ratio. The remaining 20% (three compounds) for test set were compound 8, 14, and kaempferol. Then, the observed IC₅₀S (µM) were converted to pIC₅₀s, which were used as response variables. In model generation, force field was selected as CHARMM. Electrostatic potential were described by probing with a +1e point charge and solvation were mimicked with distance dependent dielectric constant. Van der Waals potential was depicted by probing with a carbon atom of 1.73 Å in radius. The cut-off for electrostatic and steric energies was 30 kcal/mol. Others were kept as default.

Quantification of Ergosterol in Different Batches of Raw G. lucidum and Products Made from G. lucidum

HPLC Conditions
Analyses were performed on an Agilent 1200 HPLC instrument, equipped with a G1311A pump and a G1314A UV detector. The chromatographic separation was achieved on an Agilent prep-C₁₈ analytical column (4.6 × 250 mm, 5 µm, Agilent) eluted with 95% methanol at a flow rate of 1.0 mL/min, maintained at 35°C. The choice UV detection wavelength was 282 nm. All injection volumes were 20 µL.

Preparation of Reference Solution
Ergosterol was accurately weighed and dissolved in methanol at 2.00 µg/mL. The stock solution was stored at 4°C protected against bright light.

Preparation of Test Solution
To 1.00 g of the powdered raw material of G. lucidum add 50.0 mL 95% ethanol. Weigh and heat under a reflux condenser for 1 h. Allow to cool. Make up the weight loss with the same solvent, mix well, and filter. Transfer the solution into a 50.0 mL volumetric flask and bring to volume with methanol. To 0.40 g of the spore oil or the spore extract of G. lucidum transfer to a 10.0 mL volumetric flask, add 95% ethanol to the scale line and mix well. All the test solution was filtered well respectively through a 0.45 µm membrane prior to an injection into HPLC system. Carry out the assay protected against bright light.

RESULTS AND DISCUSSION

Extraction and Preparation of the Sterols/Lipids Enriched Fraction
In brief, the dried fruiting bodies of G. lucidum were powdered and extracted with 95% ethanol by heating-reflux to give a black crude extract (marked as GL). GL was dissolved with methanol-chloroform and mixed with silica gel. After solvent evaporating, the sample was loaded to an open ODS silica-gel column chromatograph eluted by gradient 30, 50, 75, and 100% methanol in sequence to give four fractions, which were marked as: water soluble fraction (marked as GL-1, 25.8 g), ganoderic acid enriched fraction (GL-2, 19.1 g), ganoderol enriched fraction (GL-3, 6.5 g), and lipids enriched fraction (GL-4, 34.8 g). Figure 2A showed the constituents complexity of GL-1–GL-4 by HPLC analysis at λ 254 nm.

An MTT assay was employed to assess the inhibitory effects of GL samples on MDA-MB-231, HepG2, and A549 cells (Figures 2B–D). It was observed that GL samples (p < 0.05) inhibited the proliferation of three tumor cell lines compared to the blank control. For all the three tumor cells, within the concentration of 25–400 µg/mL, all samples inhibited the proliferation of three types of human cancer cells concentration-dependently. All samples possessed similar capability to inhibit the growth of MDA-MB-231, HepG2, and A549 cells. Significant reductions (p < 0.01) in cell viabilities were observed under the interference of GL, GL-2, GL-3, and GL-4 fractions except GL-1. This suggested that the active constituents may concentrate in the GL2, GL3, and GL4 fractions. GF-4 fraction displayed a much higher inhibition rate (p < 0.01) than GF. Besides, GF-4 also showed higher inhibition rate (p < 0.05) than GL-2 and GL-3, indicating GL-4 were the best bioactive fraction. Since the total triterpenoids fraction (GL-2 and GL-3) has been studied in our previous research (Chen et al., 2017), as well as the lipids enriched fraction (GL-4) showed higher inhibitory effects on tumor cells than other fractions. Therefore, GL-4 was selected for further research in this paper.

Identification of Compounds
Bioassay-guided fraction (GL-4) of G. lucidum extract (GL) led to 14 ergostane-type sterols. Their chemical structures were established based on comprehensive spectroscopic analyses or comparison of mass and NMR spectroscopic data with those literatures reported.

Compound 1 was isolated as a white amorphous powder. Its molecular formula of C₂₉H₄₆O₃ was determined based on the HR-ESI-MS m/z 443.3505 [M + H]⁺ (calc for C₂₉H₄₇O₃, 443.3525), indicating the presence of seven degrees of unsaturation in the molecule. A strong absorption (λmax) at 245 nm in UV spectrum declaring a conjugated diene system existed in the chemical structure. The 13C NMR together with DEPT-135 spectra presented 29 carbons, which attributed to seven methyls inclusive of one methoxyl, six methylenes, 12 methines (three oxygenated), and four quaternary carbons. Typical signals of a sterol, such as, a side-chain olefine (δH 5.15–5.19, m; 5.19–5.22, m), four doublet methyls (δH 0.22, d, 6.6; 0.85, d, 6.6; 0.92, d, 6.6; 1.02, d, 6.0), and two singlet methyls (δH 0.63, s; 1.30, s) were observed from the 1H-NMR spectrum. Comparison of the 1H- and 13C NMR spectroscopic data of compound 1 with those of (22E)-4α,5α-epoxyergosta-7,22-diene-3β,6β-diol, an ergostane-type steriod with molecular formula C₂₉H₄₄O₃, isolated from another mushroom Pleurotus eryngii (Kikuchi et al., 2016), the 13C-NMR data were almost the same except for an obvious different chemical shift of C-6. The downshift of C-6 from δH 7.20 to 80.9 suggested that the hydroxyl at C-6 was methylated. The location of the methoxyl at C-6 could also be verified by HMBC correlation from the methoxyl signal at δH 3.38 to δc 80.9 (C-6). Thus, the structure of 1 was determined to be (22E)-4α, 5α-epoxy-6β-methoxyergosta-7, 22-diene-3β-ol (Figure 3). Compound 1 was a new compound has not been
reported. The complete assignment of the protons and carbons were summarized in Table 1. The key HMBC and $^1$H-$^1$H COSY correlations were also shown in Figure 4.

(3β, 5α, 6β, 22E)-ergosta-7, 9 (11), 22-triene-3, 5, 6-triol (2): a white powder; Its negative-ion ESI-MS (m/z) at 427 [M–H]$^−$, 855 [2M–H]$^−$ indicated the molecular weight was 428. $^1$H-NMR (600 MHz, CDCl$_3$): $\delta_H$ 4.10–4.12 (1H, m, H-3), 3.80 (1H, br.s, H-6), 5.45 (1H, d, 6.0, H-7), 5.75 (1H, d, 6.6, H-11), 0.62 (3H, s, H-18), 1.28 (3H, s, H-19), 1.01 (3H, d, 6.6, H-21), 5.16 (1H, dd, 15.0, 7.2, H-22), 5.26 (1H, dd, 15.0, 7.2, H-23), 0.81 (3H, d, 6.6, H-26), 0.83 (3H, d, 6.6, H-27) and 0.91 (3H, d, 6.6, H-28). $^{13}$C-NMR (150 MHz, CDCl$_3$): $\delta_C$ 29.7 (C-1), 31.0 (C-2), 67.5 (C-3), 39.6 (C-4), 75.9 (C-5), 74.0 (C-6), 118.2 (C-7), 138.9 (C-8), 140.0 (C-9), 40.7 (C-10), 126.4 (C-11), 42.6 (C-12), 42.6 (C-13), 51.5 (C-14), 23.2 (C-15), 28.7 (C-16), 56.0 (C-17), 11.5 (C-18), 26.3 (C-19), 40.3 (C-20), 20.8 (C-21), 135.2 (C-22), 132.3 (C-23), 42.8 (C-24), 33.1 (C-25), 19.7 (C-26), 20.0 (C-27) and 17.6 (C-28). Based on these $^1$H- and $^{13}$C-NMR data, compound 2 was identified as (3β, 5α, 6β, 22E)-ergosta-7, 9 (11), 22-triene-3, 5, 6-triol by comparison with the data reported previously (Ishizuka et al., 1997).

(3β, 5α, 6β, 9α, 22E)-ergosta-7, 22-diene-3, 5, 6, 9-tetrol (3): a white powder; Its molecular weight was 446 by negative-ion ESI-MS (m/z) at 445 [M–H]$^−$, 891 [2M–H]$^−$. $^1$H-NMR (600 MHz, CDCl$_3$): $\delta_H$ 4.31–4.33 (1H, m, H-3), 3.55 (1H, br.s, H-6), 5.78 (1H, d, 6.0, H-7), 0.60 (3H, s, H-18), 1.11 (3H, s, H-19), 1.00 (3H, d, 6.6, H-21), 5.13 (1H, dd, 15.0, 7.2, H-22), 5.25 (1H, dd, 15.0, 7.2, H-23), 0.82 (6H, d, 6.6, H-26, 27) and 0.92 (3H, d, 6.6, H-28). $^{13}$C-NMR (150 MHz, CDCl$_3$): $\delta_C$ 29.3 (C-1), 31.6 (C-2), 67.5 (C-3), 40.8 (C-4), 75.5 (C-5), 72.3 (C-6), 121.3 (C-7), 141.7 (C-8), 78.6 (C-9), 41.3 (C-10), 28.5 (C-11), 35.6 (C-12), 44.5 (C-13), 50.9 (C-14), 23.4 (C-15), 28.5 (C-16), 57.1 (C-17), 12.1 (C-18), 22.5 (C-19), 40.4 (C-20), 21.4 (C-21), 135.4 (C-22), 132.3 (C-23), 42.8 (C-24), 33.5 (C-25), 19.9 (C-26), 20.2 (C-27) and 17.7 (C-28). The $^1$H- and $^{13}$C-NMR data for compound 3 were found to be in agreement with published data for (3β, 5α, 6β, 9α, 22E)-ergosta-7, 22-diene-3, 5, 6, 9-tetrol (Zou et al., 2013).

(3β, 5α, 6β, 22E)-ergosta-7, 22-diene-3, 5, 6-triol (4): a white powder; The negative-ion ESI-MS (m/z) at 429 [M–H]$^−$, 859 [2M–H]$^−$, indicated a molecular weight of 430. $^1$H-NMR (600 MHz, CDCl$_3$): $\delta_H$ 4.05–4.07 (1H, m, H-3), 3.60 (1H, br.s, H-6), 5.38 (1H, d, 6.0, H-7), 0.60 (3H, s, H-18), 1.08 (3H, s, H-19), 1.00 (3H, d, 6.6, H-21), 5.13 (1H, dd, 15.0, 7.2, H-22), 5.25 (1H, dd, 15.0, 7.2, H-23), 0.82 (6H, d, 6.6, H-26, 27) and 0.92 (3H, d, 6.6, H-28). $^{13}$C-NMR (150 MHz, CDCl$_3$): $\delta_C$ 33.0 (C-1), 31.1 (C-2), 67.5 (C-3), 39.6 (C-4), 75.9 (C-5), 73.8 (C-6), 117.6 (C-7), 143.9 (C-8), 43.6 (C-9), 37.2 (C-10), 22.1 (C-11), 39.2 (C-12), 43.7 (C-13), 54.9 (C-14), 22.8 (C-15), 28.0 (C-16), 56.1 (C-17), 12.1 (C-18), 18.9 (C-19), 40.4 (C-20), 21.4 (C-21), 135.4 (C-22), 132.3 (C-23), 42.8 (C-24), 33.3 (C-25), 19.9 (C-26), 20.2 (C-27) and 17.7 (C-28). Thus, compound 4 was identified as (3β,
5α, 6β, 22E)-ergosta-7, 22-diene-3, 5, 6-triol by comparison with the 1H-NMR and 13C-NMR data with the data reported previously (Kawagishi et al., 1988).

(3β, 5α, 6α, 22E)-ergosta-7, 22-diene-3, 5, 6-triol (5): a white powder; The molecular weight of 430 was also determined by negative-ion ESI-MS (m/z) at 429 [M–H]−, 859 [2M–H]−. 1H-NMR (600 MHz, CDCl3): δH 3.98–4.02 (1H, d, 6.0, H-7), 0.59 (3H, s, H-18), 1.05 (3H, s, H-19), 1.26 (3H, d, 6.0, H-21), 5.13 (1H, dd, 15.0, 7.2, H-22), 5.25 (1H, dd, 15.0, 7.2, H-23), 0.82 (6H, d, 6.6, H-26), 0.88 (6H, d, 6.6, H-27) and 0.95 (3H, d, 6.6 Hz, H-28). 13C-NMR (150 MHz, CDCl3): δC 39.6 (C-1), 32.2 (C-2), 67.0 (C-3), 32.5 (C-4), 75.8 (C-5), 70.3 (C-6), 121.3 (C-7), 140.8 (C-8), 33.2 (C-9), 39.2 (C-10), 21.5 (C-11), 41.0 (C-12), 43.7 (C-13), 54.9 (C-14), 22.8 (C-15), 28.5 (C-16), 56.0 (C-17), 12.2 (C-18), 17.9 (C-19), 40.4 (C-20), 21.4 (C-21), 135.4 (C-22), 132.3 (C-23), 42.8 (C-24), 40.7 (C-25), 21.3 (C-26), 20.2 (C-27), and 19.7 (C-28). Compound 5 was identified as (3β, 5α, 6α, 22E)-ergosta-7, 22-diene-3, 5, 6-triol by comparison with the data reported previously (Chen et al., 1991).

(3β, 5α, 6α, 9α, 22E)-ergosta-7, 22-diene-3, 5, 6, 9-tetrol (6): a white powder; The negative-ion ESI-MS (m/z) displayed quasi-molecular ion peaks at 445 [M–H]−, 891 [2M–H]−, its molecular weight was 446. 1H-NMR (600 MHz, CDCl3): δH 4.00–4.03 (1H, m, H-3), 3.93 (1H, br.s, H-6), 5.10 (1H, d, 6.0, H-7), 0.60 (3H, s, H-18), 1.07 (3H, s, H-19), 1.02 (3H, d, 6.6, H-21), 5.15 (1H, dd, 15.0, 7.2, H-22), 5.25 (1H, dd, 15.0, 7.2, H-23), 0.82 (6H, d, 6.6, H-26, 27) and 0.92 (3H, d, 6.6, H-28).

13C-NMR (150 MHz, CDCl3): δC 26.5 (C-1), 30.6 (C-2), 67.4 (C-3), 40.2 (C-4), 77.0 (C-5), 70.3 (C-6), 120.3 (C-7), 142.5 (C-8), 74.6 (C-9), 41.1 (C-10), 28.4 (C-11), 35.4 (C-12), 43.9 (C-13), 50.7 (C-14), 23.0 (C-15), 28.5 (C-16), 56.1 (C-17), 11.9 (C-18), 20.5 (C-19), 40.4 (C-20), 21.4 (C-21), 135.4 (C-22), 132.5 (C-23), 42.8 (C-24), 33.3 (C-25), 19.9 (C-26), 20.2 (C-27), and 17.7 (C-28). Based on these 1H-NMR and 13C-NMR data, compound 6 was identified as (3β, 5α, 6α, 9α, 22E)-ergosta-7, 22-diene-3, 5, 6, 9-tetrol by comparison with the data reported previously (Yang et al., 1998).

(3β, 5α, 6β, 14α, 22E)-ergosta-7, 9 (11), 22-triene-3, 5, 6, 14-tetrol (7): a white powder; Its negative-ion ESI-MS (m/z) 443 [M–H]−, 887 [2M–H]− indicated a molecular weight of 444. 1H-NMR (600 MHz, CDCl3): δH 4.04–4.08 (1H, m, H-3), 3.80 (1H, br.s, H-6), 5.85 (1H, d, 6.0, H-7), 5.55 (1H, d, 6.6, H-11), 0.80 (3H, s, H-18), 1.22 (3H, s, H-19), 1.01 (3H, d, 6.6, H-21), 5.16 (1H, dd, 15.0, 7.2, H-22), 5.26 (1H, dd, 15.0, 7.2, H-23), 0.81 (3H, d, 6.6, H-26), 0.83 (3H, d, 6.6, H-27) and 0.91 (3H, d, 6.6, H-28). 13C-NMR (150 MHz, CDCl3): δC 31.3 (C-1), 31.0 (C-2), 67.5 (C-3), 38.3 (C-4), 75.1 (C-5), 73.5 (C-6), 120.2 (C-7), 137.3 (C-8), 138.2 (C-9), 39.4 (C-10), 124.2 (C-11), 37.8 (C-12), 46.0 (C-13), 81.5 (C-14), 35.2 (C-15), 23.8 (C-16), 50.0 (C-17), 16.5 (C-18), 24.3 (C-19), 40.0 (C-20), 22.3 (C-21), 134.5 (C-22), 133.3 (C-23), 43.1 (C-24), 33.0 (C-25), 19.7 (C-26), 20.0 (C-27), and 17.6 (C-28). Based on these 1H-NMR and 13C-NMR data, compound 7 was identified as (3β, 5α, 6β, 14α, 22E)-ergosta-7, 9 (11), 22-triene-3, 5, 6, 14-tetrol by comparison with the data reported previously (Zang et al., 2013).
TABLE 1  $^1$H and $^{13}$C NMR spectral data of compound 1 in CDCl$_3$(H for 600 MHz, $^{13}$C for 150 MHz).

| No. | $\delta_H$ (1H, m, δC) | $\delta_C$ |
|-----|------------------------|-----------|
| 1   | 1.34–1.36 (1H, m) 9, 1.26–1.28 (1H, m) | 29.8 |
| 2   | 1.94–1.96 (1H, m), 1.32–1.35 (1H, m) | 26.8 |
| 3   | 4.05 (1H, br, 8.4) | 65.7 |
| 4   | 3.15 (1H, s) | 62.7 |
| 5   | – | 66.1 |
| 6   | 3.01 (1H, br.s) | 80.9 |
| 7   | 5.41–5.43 (1H, m) | 115.4 |
| 8   | – | 144.2 |
| 9   | 2.00–2.03 (1H, m) | 45.2 |
| 10  | – | 33.6 |
| 11  | 1.53–1.56 (1H, m), 1.41–1.43 (1H, m) | 21.4 |
| 12  | 2.06–2.08 (1H, m), 1.33–1.38 (1H, m) | 39.0 |
| 13  | – | 43.7 |
| 14  | 1.91–1.93 (1H, m) | 54.8 |
| 15  | 1.55–1.59 (2H, m) | 22.9 |
| 16  | 1.73–1.76 (1H, m), 1.28–1.31 (1H, m) | 27.9 |
| 17  | 1.30–1.33 (1H, m) | 56.0 |
| 18  | 0.63 (3H, s) | 12.3 |
| 19  | 1.28 (3H, s) | 18.9 |
| 20  | 2.02–2.06 (1H, m) | 40.5 |
| 21  | 1.02 (3H, d, 6.0) | 21.0 |
| 22  | 5.15–5.19 (1H, m) | 135.5 |
| 23  | 5.19–5.22 (1H, m) | 132.2 |
| 24  | 1.80–1.83 (1H, m) | 42.7 |
| 25  | 1.40–1.43 (1H, m) | 33.2 |
| 26  | 0.82 (3H, d, 6.8) | 19.8 |
| 27  | 0.85 (3H, d, 6.6) | 20.0 |
| 28  | 0.92 (3H, d, 6.6) | 17.9 |
| –OCH$_3$ | 3.38 (3H, s) | 58.3 |

$^a$ Means multiplet or overlapped with other signals.

(3β, 5α, 6β, 9α, 14α, 22E)-ergosta-7, 22-diene-3, 5, 6, 9, 14-pentol (8): a white powder; Its negative-ion ESI-MS (m/z) displayed quasi-molecular ion peaks at 427 [M–H]$^-$, 855 [2M–H]$^-$, indicating a molecular weight of 428. $^1$H-NMR (600 MHz, CDCl$_3$): $\delta_H$ 3.93–3.96 (1H, m, H-3), 3.31 (1H, br.s, H-6), 4.25 (1H, d, 6.0, H-7), 0.60 (3H, s, H-18), 1.15 (3H, s, H-19), 1.01 (3H, d, 6.6, H-21), 5.16 (1H, dd, 15.0, 7.2, H-22), 5.25 (1H, dd, 15.0, 7.2, H-23), 0.81 (3H, d, 6.6, H-26), 0.83 (3H, d, 6.6, H-27) and 0.91 (3H, d, 6.6, H-28). $^{13}$C-NMR (150 MHz, CDCl$_3$): $\delta_C$ 30.3 (C-1), 31.0 (C-2), 68.6 (C-3), 39.3 (C-4), 65.7 (C-5), 62.6 (C-6), 67.1 (C-7), 127.0 (C-8), 134.2 (C-9), 38.2 (C-10), 23.4 (C-11), 35.8 (C-12), 42.0 (C-13), 49.6 (C-14), 23.8 (C-15), 28.8 (C-16), 53.7 (C-17), 115.1 (C-18), 22.8 (C-19), 40.4 (C-20), 20.9 (C-21), 135.5 (C-22), 132.3 (C-23), 42.8 (C-24), 33.0 (C-25), 19.7 (C-26), 20.0 (C-27), and 17.6 (C-28). Compound 9 was identified as (3β, 5α, 6α, 7α, 22E)-5, 6-epoxy-ergosta-8, 22-diene-3, 7-diol (Della Greca et al., 1993).

(3β, 5α, 6α, 7α, 22E)-5, 6-epoxy-ergosta-8 (14), 22-diene-3, 7-diol (a white powder; Its molecular weight was also 428 by negative-ion ESI-MS (m/z) of 427 [M–H]$^-$, 855 [2M–H]$^-$, $^1$H-NMR (600 MHz, CDCl$_3$): $\delta_H$ 3.91–3.93 (1H, m, H-3), 3.12 (1H, br.s, H-6), 4.40 (1H, d, 6.0, H-7), 0.86 (3H, s, H-18, 19), 1.01 (3H, d, 6.6, H-21), 5.16 (1H, dd, 15.0, 7.2, H-22), 5.25 (1H, dd, 15.0, 7.2, H-23), 0.81 (3H, d, 6.6, H-26), 0.83 (3H, d, 6.6, H-27) and 0.91 (3H, d, 6.0, H-28). $^{13}$C-NMR (150 MHz, CDCl$_3$): $\delta_C$ 32.3 (C-1), 31.0 (C-2), 68.6 (C-3), 39.5 (C-4), 67.7 (C-5), 61.3 (C-6), 65.1 (C-7), 125.0 (C-8), 38.8 (C-9), 35.8 (C-10), 19.0 (C-11), 36.6 (C-12), 43.0 (C-13), 152.6 (C-14), 25.0 (C-15), 27.1 (C-16), 56.7 (C-17), 18.1 (C-18), 16.5 (C-19), 39.4 (C-20), 21.0 (C-21), 135.5 (C-22), 132.3 (C-23), 42.8 (C-24), 33.0 (C-25), 19.7 (C-26), 20.0 (C-27), and 17.6 (C-28). The $^1$H-NMR and $^{13}$C-NMR data of compound 10 were in agreement with previously published data for (3β, 5α, 6α, 7α, 22E)-5, 6-epoxy-ergosta-8 (14), 22-diene-3, 7-diol (Della Greca et al., 1993).

(3β, 5α, 6α, 8α, 22E)-5, 8-epidioxy-ergosta-6, 22-dien-3-ol (11): a white powder; Its molecular weight was the same as compounds 9 and 10. $^1$H-NMR (600 MHz, CDCl$_3$): $\delta_H$ 3.91–3.93 (1H, m, H-3), 6.24 (1H, d, 8.4, H-6), 6.50 (1H, d, 8.4, H-7), 0.81 (3H, s, H-18), 0.88 (3H, s, H-19), 1.01 (3H, d, 6.6, H-21), 5.16 (1H, dd, 15.0, 7.2, H-22), 5.25 (1H, dd, 15.0, 7.2, H-23), 0.81 (3H, d, 6.6, H-26), 0.83 (3H, d, 6.6, H-27) and 0.91 (3H, d, 6.6, H-28). $^{13}$C-NMR (150 MHz, CDCl$_3$): $\delta_C$ 34.7 (C-1), 30.1 (C-2), 66.6 (C-3), 37.0 (C-4), 82.2 (C-5), 135.4 (C-6), 130.8 (C-7), 79.4 (C-8), 51.1 (C-9), 36.9...
**Anti-tumor and Anti-angiogenic Ergosterols from Ganoderma lucidum**

Liu et al., 2015, 2016 showed that ergosterol peroxide and ergosterol, which were also found in G. lucidum in current work (compounds 11 and 12), could activate Foxo3-mediated cell apoptosis signaling in human hepatocellular carcinoma cells and human breast carcinoma cells (Li et al., 2015, 2016). In present work, we found compounds 11 and 12 inhibited the proliferation of HUVECs, which reminded us that the anti-tumor mechanism of compound 11 and 12 may include multi-targets and multi-approaches except tumor cell apoptosis pathway.

### Table 2: Anti-proliferative effect of compounds 1–14 on different cells.

| No. | IC50 (µM) | EC50 (µM) |
|-----|-----------|-----------|
|     | HepG2     | MDA-MB-231 | HUVEC | NIH/3T3 |
| 1   | 138.3 ± 8.3 | 176.1 ± 5.1 | 143.5 ± 6.1 | 421.6 ± 9.3 |
| 2   | 62.5 ± 1.3  | 56.3 ± 1.5  | 142.2 ± 5.6 | 303.4 ± 11.9 |
| 3   | 129.7 ± 3.4 | 148.2 ± 5.8 | 84.0 ± 6.7 | 364.7 ± 15.7 |
| 4   | 174.6 ± 15.9 | 148.8 ± 8.7 | 344.6 ± 13.7 | >500 |
| 5   | 196.9 ± 20.1 | 114.4 ± 9.9 | 206.3 ± 12.2 | >500 |
| 6   | 184.6 ± 9.5  | 224.2 ± 10.0 | 384.2 ± 10.9 | >500 |
| 7   | 156.4 ± 8.9  | 168.9 ± 11.1 | 187.6 ± 15.3 | >500 |
| 8   | 286.4 ± 18.9 | 216.5 ± 14.3 | 356.7 ± 19.5 | >500 |
| 9   | 22.1 ± 0.9   | 20.3 ± 2.2   | 27.9 ± 1.7  | 182.9 ± 10.3 |
| 10  | 50.6 ± 5.3   | 46.7 ± 5.4   | 48.7 ± 2.9  | 194.2 ± 9.6 |
| 11  | 34.8 ± 2.6   | 44.6 ± 1.9   | 29.1 ± 3.2  | 197.4 ± 11.3 |
| 12  | 75.6 ± 4.7   | 89.7 ± 5.8   | 72.4 ± 5.6  | 261.3 ± 12.0 |
| 13  | 44.5 ± 1.3   | 32.1 ± 3.0   | 51.6 ± 2.8  | 293.4 ± 17.2 |
| 14  | 200.9 ± 6.4  | 189.4 ± 8.1  | 320.7 ± 18.9 | >500 |

**kaempferol**

| Compound | IC50 (µM) | EC50 (µM) |
|-----------|-----------|-----------|
| 1         | 45.4 ± 3.4 | 56.6 ± 3.1 |
| 2         | 35.5 ± 4.1  | 356.4 ± 15.9 |

*Positive control.*

Among the 13 known compounds, compound 7 and 14 were isolated from G. lucidum for the first time. This work further enriched our knowledge about the chemical constituents of G. lucidum.

### Table 2

| Compound | IC50 (µM) | EC50 (µM) |
|----------|-----------|-----------|
| 1        | 138.3 ± 8.3 | 176.1 ± 5.1 |
| 2        | 62.5 ± 1.3  | 56.3 ± 1.5  |
| 3        | 129.7 ± 3.4 | 148.2 ± 5.8 |
| 4        | 174.6 ± 15.9 | 148.8 ± 8.7 |
| 5        | 196.9 ± 20.1 | 114.4 ± 9.9 |
| 6        | 184.6 ± 9.5  | 224.2 ± 10.0 |
| 7        | 156.4 ± 8.9  | 168.9 ± 11.1 |
| 8        | 286.4 ± 18.9 | 216.5 ± 14.3 |
| 9        | 22.1 ± 0.9   | 20.3 ± 2.2   |
| 10       | 50.6 ± 5.3   | 46.7 ± 5.4   |
| 11       | 34.8 ± 2.6   | 44.6 ± 1.9   |
| 12       | 75.6 ± 4.7   | 89.7 ± 5.8   |
| 13       | 44.5 ± 1.3   | 32.1 ± 3.0   |
| 14       | 200.9 ± 6.4  | 189.4 ± 8.1  |

*Positive control.*

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**Table 2**

| Compound | IC50 (µM) | EC50 (µM) |
|----------|-----------|-----------|
| 1        | 138.3 ± 8.3 | 176.1 ± 5.1 |
| 2        | 62.5 ± 1.3  | 56.3 ± 1.5  |
| 3        | 129.7 ± 3.4 | 148.2 ± 5.8 |
| 4        | 174.6 ± 15.9 | 148.8 ± 8.7 |
| 5        | 196.9 ± 20.1 | 114.4 ± 9.9 |
| 6        | 184.6 ± 9.5  | 224.2 ± 10.0 |
| 7        | 156.4 ± 8.9  | 168.9 ± 11.1 |
| 8        | 286.4 ± 18.9 | 216.5 ± 14.3 |
| 9        | 22.1 ± 0.9   | 20.3 ± 2.2   |
| 10       | 50.6 ± 5.3   | 46.7 ± 5.4   |
| 11       | 34.8 ± 2.6   | 44.6 ± 1.9   |
| 12       | 75.6 ± 4.7   | 89.7 ± 5.8   |
| 13       | 44.5 ± 1.3   | 32.1 ± 3.0   |
| 14       | 200.9 ± 6.4  | 189.4 ± 8.1  |

*Positive control.*
Signaling pathways about cell death as well as angiogenesis should be considered. Besides, compound 9 exhibited stronger inhibitory effect against tumor cells than that of ergosterol peroxide (11) and ergosterol (12). The anti-tumor mechanism of compound 9 in vitro and in vivo will also be explored in our future work.

**3D-QSAR**

Since QSAR was a valuable method for novel drug discovery from knowledge in literature and tested bioactive data (Liao et al., 2011), 3D-QSAR was performed for human HepG2 cells. Herein, the selected compounds (1–15) for model establishment with IC₅₀ values spanning from 21.2 to 286.4 µM were randomized into training set with the ration of 0.80 and test set of 0.20 by Generate Training and Test Data in DS. pIC₅₀s converted between 3.70 and 4.66. The established model presented a good correlation coefficient (r²) up to 0.982 between observed and predicted activities for training set and 0.861 for test set, which suggested the reliability in external validation. In model generation, 5-fold cross validation of the training set molecules was performed to validating the model, where RMS was at 0.337 and q² at 0.002. This suggested the reliability of this model in internal validation. The pIC₅₀s of assayed and predicted with this model and residual errors were shown (Table 3). Illustratively, a plot was offered (Figure 5), where the correlative relationship between actual and predicted values suggested that this model was reliable for forecasting activities of compounds for HepG2 cells.

Besides, iso-surfaces of the model on van der Waals (Figure 6A) and electrostatic potential grids (Figure 6B) were provided and aligned by compounds. Accordingly, at C-2, C-3, C-22, and C-28 sites some bulk substituents with slight negative charges might enhance compound 2’s activity. Also, at C-20 and C-18 slight large groups with some positive charge could elevate activity for compound 2. But at C-1, C-4, C-11, C-26, and C-27, bulk substitutes might lower activity. Moreover, interchange of C-1, C-2, and C-3 by atoms of negative charge might enhance activity. Evidently, partial hydroxyl negative groups with slight steric hindrance at C-7 and replacement of double hydroxyl groups at C-5 and C-6 with a more slight steric hindrance and more negative epoxy group increase the activity to 22.1 µM for compound 8 (approximately 4.6-folds) in comparison with compound 2. In the words of the most bioactive compound 9, replacement of hydroxyl group at C-7 with a bulky and negative group may increase its activity. Besides, introduction of small positive group at C-28 may elevate its activity. The results will be helpful for designing higher active ergosterol derivatives in our future work.

**Ergosterol Content in Different Parts of G. lucidum and Products Made from G. lucidum**

Ergosterol, the pro-vitamin D₂, is a characteristic secondary metabolite of medicinal and edible fungi, and shows a variety of biological activities. In our previous study, we found that ergosterol purified from A. rude, induced tumor cell death and inhibited tumor cell cycle progression, cell migration, and colony growth of MDA-MB-231 cells (Li et al., 2015). Ergosterol prolonged animal survival by upregulating Foxo3 and its downstream molecules Bim, Fas, and Fas L. Ergosterol was also rich in G. lucidum and other fungi.

![Graph](image-url)
As an important active component in *G. lucidum*, we quantified the contents of ergosterol in different parts of *G. lucidum* and functional foods derived from *G. lucidum* by HPLC. The calibration curves, linear ranges, and recovery of the HPLC method were performed. The linear range of ergosterol was from 20 to 200 µg/mL (r = 0.9994) with an average recovery of 93.32% (RSD was 2.60%, n = 9), demonstrating that the HPLC method was precise and accurate enough for quantitative evaluation of ergosterol.

Table 4 showed the content of ergosterol in different parts, including fruiting body, spore and, mycelium of *G. lucidum* and functional foods (spore extract capsule and spore oil capsule) derived from *G. lucidum*. The results suggested the content of ergosterol in different part of raw material of *G. lucidum* was mycelium > fruiting body > spore. Ergosterol in mycelium was about 20 times higher than that in spore. For *G. lucidum* spore products, the ergosterol content was much higher than that of spore raw material. However, the quality homogeneity of *G. lucidum* spore oil capsule products was poor. Considering the significant difference of ergosterol content of *G. lucidum* spore oil capsule, the content variation of *G. lucidum* products may attribute to: (1) Variation of preparation techniques, and (2) Variation of raw materials.

**CONCLUSIONS**

Fourteen ergosterol derivatives including a new one were isolated from the lipids enriched fraction of *G. lucidum*. Compounds 9–13 displayed potential inhibitory activity against MDA-MB-231, HepG2, and HUVECs, which indicated that these four compounds had both anti-tumor and anti-angiogenesis activities. Compound 2 had significant selective inhibition against two tumor cell lines, while 3 exhibited selective inhibition against HUVECs. All the compounds showed no obvious cytotoxicity on the normal cells. Their structure-activity relationships for inhibiting HepG2 cells were studied by 3D-QSAR. The content of ergosterol in different parts of raw material and preparations of *G. lucidum* were compared, and ergosterol was the highest contented in mycelium. However, the quality homogeneity of *G. lucidum* spore oil capsule products was poor. This study not only enriches the understanding of the diversity of ergosterols in *G. lucidum*, but also provides a basis for further development and utilization of ergosterol derivatives as natural nutraceuticals and functional food ingredients, or as source of new potential antitumor or anti-angiogenesis chemotherapy agent.

**AUTHOR CONTRIBUTIONS**

SC was responsible for the concept and design of the study. SC did the isolation and identification of the chemical constituents, evaluated the compounds’ activities and wrote the manuscript. TY conducted the QSAR experiment and YZ performed the quantitative analysis. JS, CJ, and YX conducted part of the

**TABLE 4 | Contents of ergosterol in different parts and batches of *G. lucidum* preparations.**

| Samples | Content (µg/g) | Samples | Content (µg/g) |
|---------|---------------|---------|---------------|
| FB1     | 768.0         | SE1     | 1779.4        |
| FB2     | 697.6         | SE2     | 1633.3        |
| FB3     | 838.6         | SE3     | 1656.4        |
| MC1     | 6385.1        | SE4     | 1468.4        |
| MC2     | 5103.5        | SE5     | 1795.3        |
| MC3     | 6594.0        | SE6     | 865.4         |
| SP1     | 361.9         | SO1     | 279.8         |
| SP2     | 385.8         | SO2     | 2264.5        |
| SP3     | 246.5         | SO3     | 714.7         |
| SP4     | 339.5         | SO4     | 1282.9        |
| SP5     | 369.6         | SO5     | 908.1         |
| SP6     | 333.0         | SO6     | 2710.0        |

FB, fruiting Body; MC, mycelia; SE, Spores extract capsule; SP, Spores powder; SO, Spores oil capsule.

**FIGURE 6 | 3D-QSAR model.**

(A) 3D-QSAR model coefficients of the listed compounds on van der Waals grids. Green represents positive coefficients; yellow represents negative coefficients. (B) 3D-QSAR model coefficients on electrostatic potential grids. Blue represents positive coefficients; red represents negative coefficients.
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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fchem.2017.00085/full#supplementary-material
McDougall, S. R., Anderson, A. R., and Chaplain, M. A. (2006). Mathematical modelling of dynamic adaptive tumour-induced angiogenesis: clinical implications and therapeutic targeting strategies. J. Theor. Biol. 241, 564–589. doi: 10.1016/j.jtbi.2005.12.022

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