Synthesis and Investigation of Macromolecular Photoswitches

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The photoisomerization of azobenzene (AZO) makes it a potential type of photoswitch for the field of chemical engineering. However, the lack of stability and photobleaching characteristics of reversible photoisomerization have restricted further application of AZO as a photoswitch. Therefore, we have designed two polymers containing the AZO domain as macromolecular photoswitches and investigated their switching performance. The hydrophilic monomers hydroxyethyl methylacrylate (HEMA) and N-vinyl-2-pyrrolidone (NVP) were chosen to copolymerize with the AZO monomer to form HEMA-AZO copolymer and HEMA-NVP-AZO terpolymer. The domain content was calculated by integration of the peaks in the $^1$H NMR spectrum. Real-time UV spectra of polymer solutions upon UV light irradiation confirmed quick and successful trans-to-cis transition for the AZO domain. In reverse, the operable and controllable recovery processes upon white light were also verified by real-time UV spectra. Furthermore, repeated irradiation by UV light and white light for 20 times was used to check their fatigue resistance and recyclability. Importantly, recovery behaviors for the two polymers could be adjusted by solvent property, environment temperature, and light intensity. Higher environment temperature or higher light intensity resulted in shortened recovery time. The influence of solvent was only slightly different for the two polymers. Nanoassemblies, which were formed by HEMA-NVP-AZO terpolymer and poly($\beta$-cyclodextrin)/poly($\alpha$-cyclodextrin), exhibited similar controllable switching performance to the pure polymer. In brief, the effectiveness and efficiency of the polymers as photoswitches have been confirmed by results in this work.

Keywords: azobenzene, copolymer, light response property, photoswitch, isomerization

INTRODUCTION

Organic molecules with reversible isomerization attract increasing interests in numerous fields related to sensors, especially as a kind of switch (Mellerup et al., 2016; Garah et al., 2017; Cardano et al., 2018; Kang et al., 2018; Li et al., 2018; Nacci et al., 2018; Tripathi et al., 2018; Wang et al., 2018; Zhang et al., 2018). Generally, isomerization transition was often excited by a specific stimulus. Among the stimuli, light is commonly used to realize reversible spatiotemporal control. The isomerization of azobenzene upon light makes it a potential optimal photoswitch in
materials and devices field (Beharry and Woolley, 2011; Ogoshi et al., 2011; Garah et al., 2017; Cardano et al., 2018; Li et al., 2018; Nacci et al., 2018; Pang et al., 2018, 2019; Takeshita and Hara, 2018; Weis and Wu, 2018). In natural state, azobenzene exists in trans form due to low intrinsic energy (Crecca and Roitberg, 2006; Corchado et al., 2014). Once the trans isomer is excited by UV light, it converts to cis form immediately. After removing UV light or irradiating by white light, the cis form was recovered to trans form (Beharry and Woolley, 2011; Pang et al., 2018). Unfortunately, the cis form is too unstable to be controllable in the application of small azobenzene molecules as a photoswitch (Beharry and Woolley, 2011; Pang et al., 2014, 2018). Moreover, the transition between trans and cis was easily influenced by environmental factors and type of stimuli. Since no application was in vacuum condition, azobenzene should also satisfy fundamental requests as photoswitches like certain thermostability, fatigue durability, detectability, and non-destructive readability in specific environment. Therefore, designing new azobenzene-based molecules as well as modifying existing azobenzene-based molecules is very necessary and important for their photoswitch applications.

Previously, the photochemistry of azobenzene-containing polymers had been reported and reviewed from the view of chemistry (Sudesh and Neckers, 1989). Recently, different kinds of substitution at opposite and neighbor positions of azobenzenes were designed to improve their properties as photoswitches including our previous research (Fujiwara et al., 2008; Tseng et al., 2012; Li et al., 2013; Angelini et al., 2015; Gon et al., 2019). Furthermore, the response wavenumber could be adjustable by substitute groups according to theoretical calculation. However, these efforts only restricted to the change of substitute groups for azobenzene organic molecules. Furthermore, the problem concerning thermostability of cis form had not been resolved yet.

In one aspect, stability of isomer was related with excited energy and steric effects. Since the transition energy from cis state to excited state is fixed, the steric effect is a good factor to improve thermostability of the cis form. In view of molecule interaction, the neighboring or surrounding molecules have greater influence for central groups. Therefore, copolymerization is considered to be an optimal method for improving thermostability of the cis form in the research.

In other aspect, the problem of unstable switching between cis and trans forms might be ascribed to stacking between molecules, which increase energy barrier to hinder trans to cis transition. In this sense, copolymerization can enlarge distance between azobenzene molecules as well as restrict molecule mobility. Therefore, copolymerization is also hopeful to solve the problem.

Based on the above-mentioned description, copolymers containing azobenzene pendant group was designed and synthesized to solve the problems of azobenzene as photoswitches in the research. Although AZO molecule exhibited obvious response-recovery properties in non-polar environment, it exhibits no light response property in polar solvent especially in water. Therefore, in order to broaden its application environment, two hydrophilic monomers were chosen to copolymerize with AZO monomer to form macromolecular photoswitches. Moreover, factors to influence their response and recovery properties were intensively investigated. Finally, a simple and preliminary application as a nanoassembly material was shown in the work.

As a whole, the novelty of the research is to provide available and widely applied photoswitch copolymers. Therefore, systematic light response performances and preliminary application evaluation for synthesized copolymers in different medium environments were investigated in the research.

### EXPERIMENTAL

#### Material

Acryloyl chloride, p-aminoazobenzene (m-AZO) was purchased from Aladdin-e Co., Ltd, China. Dichloromethane (DCM), hydroxyethyl methylacrylate (HEMA), β-cyclodextrin (β-CD), α-cyclodextrin (α-CD), N-vinyl-2-pyrrolidone (NVP), epichlorohydrin, diethyl ether, tetrahydrofuran (THF), dioxane, benzoyl peroxide (BPO), triethylamine (TEA), and dimethylsulfone (DMSO) were obtained from Sinopharm Chemical Reagent Co., Ltd, China. All other reagents and solvents were of analytical grade and used as received from Nanjing Reagent Co.

#### Synthesis of Polymers

AZO monomer was synthesized by acylation according to a previous method (Pang et al., 2019). Briefly, m-AZO was reacted with acryloyl chloride in anhydrous DCM at stirred state for 4–5 h. AZO monomer was obtained by purifying procedure and vacuum drying. The detail of processing is shown in the Supporting Information.

HEMA-AZO copolymer and HEMA-NVP-AZO terpolymer were synthesized by radical copolymerization. Briefly, chosen monomers with certain ratio (Table 1) were initiated by BPO to be polymerized in dioxane solution under anaerobic environment at 70°C for 24 h. Polymers were obtained by purifying procedure and freeze drying. Final products were characterized by 1H nuclear magnetic resonance (1H NMR, Bruker, AV300). The detail of processing is shown in the Supporting Information.

#### Response-Recovery Performance for Polymers

Polymer dilute solution was tracked by UV spectroscopy (Cary 50) for the characterization of its responsive and recovery performance. UV lamp (10 W, 265–420 nm) with lampshade was used as a photo source to induce trans-to-cis transition of AZO.
domain. The UV light density was fixed at 5 mW/cm². Reversely, white light and temperature were used to excite cis-to-trans structure recovery. White light irradiation was realized by light-emitting diode (LED) lamp (5 W, 400–750 nm) with lampshade. In addition, light intensity was controlled by irradiation distance and detected by light intensity meter (TA8131). In order to track structural change of molecules, real-time UV spectra as a function of irradiation time and recovery time were recorded. Repeated UV/white light irradiation was applied to demonstrate fatigue resistance of polymers. Furthermore, copolymers in different solvents were checked concerning the influence of polarity for solvent (at least three parallel samples were detected). In addition, the effects of other factors such as temperature and light intensity on recovery performance of copolymers were investigated to clarify structure-property relationship (at least three parallel samples were detected).

**Preliminary Application of Polymers as Photoswitches**

Poly(β-CD)/poly(α-CD) was synthesized by epichlorohydrin crosslinking according to a previous method (Chen et al., 2015). Briefly, β- or α-CD (2 g) was crosslinked by epichlorohydrin (4 ml) into 30% NaOH (10 ml) solution at 60°C. The reaction ended at the point of the solution becoming viscous. The resultant product was obtained by dialyzing and freeze drying after the pH value of the solution was adjusted to 7 by hydrochloric acid (HCL) dilute solution.

For nanoassemblies, HEMA-NVP-AZO terpolymer was dissolved in DMSO to obtain terpolymer/DMSO solution with 10% (W/V). Simultaneously, poly(β-CD) or poly(α-CD) was dissolved in water to obtain poly(β-CD) or poly(α-CD) solution with 10 mg/ml. Four hundred microliters terpolymer solution and 4 ml poly(β-CD) or poly(α-CD) solution were mixed to obtain nanoparticle, which was further dialyzed to remove DMSO. The obtained nanoassembly was tracked by UV spectroscopy (Cary 50). Different light sources were used to induce trans/cis transition of AZO nanoassembly.

**Statistical Analysis**

Data were analyzed using one-way analysis of variance (one-way ANOVA) for differences. Results are reported as means ± standard deviation. The significant level was set at $p < 0.05$; the great significant level was set at $p < 0.01$.

**RESULTS AND DISCUSSION**

**Synthesis of Polymers**

Synthesis of polymer was accomplished by two steps. One was AZO monomer synthesis through acylchlorination according to a previous method (Pang et al., 2019); the other was BPO-initiated radical copolymerization with hydrophilic monomers. Two kinds of copolymer were synthesized for investigation, namely, HEMA-AZO copolymer and HEMA-NVP-AZO terpolymer, which were characterized by $^1$H NMR spectrum from verified theory and previous research (Pang et al., 2018, 2019).

In DMSO dilute solution, HEMA-AZO copolymer response behaviors to UV light and its postresponse behaviors were real-time monitored by UV spectra in Figures 2A,B. In natural state, an obvious peak with maximum absorption value was situated at 360 nm in Figure 2A, which belonged to π-π* transition for trans-isomer of the AZO domain according to a previous research (Pang et al., 2019). Upon UV irradiation, the absorbance at 360 nm decreased from 1.71 to 0.90 with irradiation time until 30 s; at the same time, a small flat absorption peak at 450 nm became obvious, and its absorbance increased from 0.28 to 0.33. According to previous theory, the small flat absorption
peak was attributed to cis-isomer of the AZO domain. These results indicated that the trans form of the AZO domain could be transferred to the cis form as quick as 30 s under irradiation by UV. Theoretically, the cis form of the AZO domain is unstable in natural state due to higher intrinsic energy, which can induce recovery of its trans form. For AZO small molecule or AZO-modified polysaccharide derivative, the recovery process could be finished in dark environment at room temperature (Pang et al., 2018, 2019). However, for the HEMA-AZO copolymer, the recovery process could not be finished in dark environment at room temperature. Therefore, a white light source was applied to accelerate the recovery process. Upon white light irradiation, absorbance at 360 nm was gradually recovered to its origin value within 110 min; concurrently, the
small flat absorption peak at 450 nm became unsharp during that period (Figure 2B). These results indicated the recovery of trans structure for the AZO domain. Actually, prolonged recovery time provides a possibility for control factor design as a photoswitch.

Besides response and postresponse behaviors, fatigue resistance and recyclability were evaluated by repeated UV/white light irradiation, which is shown in Figures 2C,D. The maximum absorbance at 360 nm before UV irradiation or after white light irradiation was stabilized $\sim 1.71$, while the minimum absorbance at 360 nm after UV irradiation or before white light irradiation was stabilized $\sim 0.6$ regardless of cycle time (Figure 2C). At the same time, regardless of cycle time, irradiation response time was stable at 30 s, and recovery response time was stable at 110 min (Figure 2D). These results confirmed the excellent switching performance for the HEMA-AZO copolymer without any sign of fatigue, which could be controlled by UV light and white light. Although m-AZO DMSO solution could exhibit detectable trans-to-cis transition induced by UV and visible recovery process, obvious photobleaching phenomenon could not be overcome, which hindered its application as a photoswitch on account of lacking good switching performance (Pang et al., 2018, 2019). From the view of molecular structure, $\pi-\pi$ stacking was a common interaction between conjugated molecules including azobenzenes. Once the stacking occurred in the solution, trans-to-cis transition would become difficult due to larger barrier coming from at least two molecules. Thus, azobenzenes in specific application environment would lose their response property. But differently, when one end of azobenzene was fixed by grafting or polymerization, either the restricted mobility of AZO domain or steric-hindrance effect would prevent stacking between molecules. Therefore, AZO domain onto the polymer chain had good fatigue resistance and exhibited ideal switching performance.

Besides organic solvent, aqueous environment was another frequently used media for their application either as materials or as devices. Therefore, the influence of water was investigated in Figures 3A,B. During the process of research, it was found that the addition of water in the solution had no influence on UV response time of 30 s. However, the UV spectrum of the HEMA-AZO copolymer was influenced by solvent when water percentage in solvent extended 40% (Figure 3A). Upon UV irradiation in water solution, the maximum absorbance at 360 nm blue shifted and decreased from 1.71 to 1.01, and at the same time, the absorbance at 450 nm increased a little, which was different from that in DMSO solution (Figure 3A). The characteristic of UV spectrum for the HEMA-AZO copolymer in water solution indicated that trans isomer was not converted to cis isomer completely. Furthermore, the recovery-response time shortened significantly with the increase in water percentage in the solution (Figure 3B). Especially, the recovery response time

![Figure 3](https://example.com/figure3.png)

**FIGURE 3** | (A) UV spectra of the HEMA-AZO copolymer before and after UV irradiation in dimethylsulfone (DMSO)/H$_2$O solution. (B) The recovery–response time in DMSO/H$_2$O solution as a function of DMSO percentage under 73 µW/cm$^2$ white light at 20°C. The recovery–response time as a function of (C) light intensity at 20°C and (D) temperature under 65 µW/cm$^2$ white light in DMSO solution. *$p < 0.05$, **$p < 0.01$. $p$ refers to significance level in the data set.
in water solution was only ~40 min, which was shorter than that in DMSO solution of 110 min. Incomplete trans-to-cis transition in water solution might result in easier recovery process and shorter recovery response time.

Generally, quick response and controllable recovery are desires for designs of any smart material or device. In order to realize the controllable performance, influence factors should be clarified to reveal the relationship between external stimulus and inner performance. It was found that temperature and light density had substantial effects on the cis-to-trans recovery process, which were shown in Figures 3C,D. The recovery response time declined significantly with increase in white light intensity (Figure 3C). In dark, the cis-to-trans recovery could not be accomplished within 7 days at 20°C. However, when light intensity increased to 750 μW/cm², the recovery-response time shortened to 10 min. Similarly, the recovery-response time shortened linearly with increase in temperature with significant difference (Figure 3D). In fact, a premise of either trans-to-cis transition or cis-to-trans transition is to overcome energy barrier from base state to excited state according to existing theory. In the system, UV light source provided higher energy necessary to overcome energy barrier from trans base state to excited state, while white light or high temperature was sufficient for transition from cis base state to excited state. Therefore, higher light intensity or temperature would accelerate cis-to-trans recovery process due to higher input energy. At the same time, trans isomer is more stable than cis isomer in natural state due to its lower energy state.

Response-Recovery Performance for HEMA-NVP-AZO Terpolymer

Another polymer containing AZO pendant group, the HEMA-NVP-AZO terpolymer, was also characterized using the above-mentioned method.

Real-time response behaviors and its recovery behaviors for the HEMA-NVP-AZO terpolymer in DMSO dilute solution were tracked by UV spectra in Figures 4A,B. UV spectra of the HEMA-NVP-AZO terpolymer in natural state was similar to that of the HEMA-AZO copolymer. After irradiated by UV light, the absorbance at 360 nm decreased from 1.67 to 0.83 and blue shifted to 345 nm until 30 s; at the same time, the absorbance of a small flat absorption peak at 450 nm increased from 0.21 to 0.26 (Figure 4A). After irradiated by white light, peak at 360 nm was gradually recovered within 115 min; concurrently, the absorbance at 450 nm decreased a little during that period (Figure 4B). These results indicated that the reversible effective trans-to-cis transition could be excited by UV light and induced to recovery by white light. Furthermore, fatigue resistance and recyclability were evaluated by repeated UV/white light irradiation, which is shown in Figures 4C,D. During the 20 times cycle, the maximum absorbance at 360 nm before UV irradiation or after white light irradiation was stabilized 1.6–1.7, while the minimum absorbance at 360 nm after UV irradiation or before white light irradiation was stabilized 0.3–0.5 (Figure 4C). Simultaneously, irradiation response time was stable at 30 s, and recovery response time was stable at 115 min (Figures 4D). These results also confirmed excellent switching performance for the HEMA-NVP-AZO terpolymer including no sign of fatigue, stable and quick response time, and stable recovery time. The above-mentioned properties for the HEMA-NVP-AZO terpolymer were very similar to that for the HEMA-AZO copolymer.

Besides organic solvent, the HEMA-NVP-AZO terpolymer in mixed aqueous environment as a function of water percentage is also investigated in Figures 5A,B. The addition of water in the solution had no effect on UV response time, which was 30 s. However, the maximum absorbance at 360 nm after UV irradiation increased with water percentage when water percentage in solvent extended 90% (Figure 5A). Furthermore, the recovery response time shortened significantly with the increase in water percentage in the solution (Figure 5B). Especially, the recovery response time in water solution was only ~15 min, which was shorter than that in DMSO solution of 115 min with great significant difference. As discussed above in the part of HEMA-AZO copolymer, trans-to-cis transition for the HEMA-NVP-AZO terpolymer in water could not finish completely, which also influenced recovery-response time. In addition, other influence factors like environment temperature and light density on the cis-to-trans recovery process are investigated in Figures 5C,D. The recovery-response time declined greatly significantly with increase in white light intensity (Figure 5C). In dark, the cis-to-trans recovery could not be accomplished within 7 days at 20°C. However, when light intensity increased to 750 μW/cm², the recovery–response time shortened to 10 min. Similarly, the recovery-response time shortened with increase in temperature (Figure 5D). As discussed above, higher temperature or light intensity provide extra energy to accelerate cis-to-trans recovery process.

On the whole, the HEMA-NVP-AZO terpolymer possessed similar light-induced switching performance to the HEMA-AZO copolymer. However, the influence of solvent on the HEMA-NVP-AZO terpolymer was a little different from that on the HEMA-AZO copolymer. For the HEMA-AZO copolymer, the influence of water on trans-to-cis transition was obvious when water percentage exceeded 40%; for the HEMA-NVP-AZO terpolymer, the influence of water on trans-to-cis transition was obvious when water percentage exceeded 90%. The difference might come from the introduction of NVP domain, which had more hydrophilic characteristic and larger steric effect from its structure characteristic. Therefore, the AZO domain of the HEMA-NVP-AZO terpolymer could have better dispersity in water than that of the HEMA-AZO copolymer. Therefore, in consideration of the adaptation for solvent, the HEMA-NVP-AZO terpolymer was chosen for further investigation.

Preliminary Application of HEMA-NVP-AZO Terpolymer

Due to interactions between AZO domain and hydrophobic cavity of cyclodextrin (CD) (Li et al., 2008; Sogawa et al., 2015), the HEMA-NVP-AZO terpolymer and polyCDs could assemble
into nanoaggregations with effective diameter of several hundred nanometers from DLS results. As a molecule of the HEMA-NVP-AZO terpolymer, light-controlled switching performance had been proven by the above results. More importantly, the switching performance still existed in materials constructed by the HEMA-NVP-AZO terpolymer. Hence, the light-controlled response–recovery behaviors for terpolymer/poly(β-CD) and terpolymer/poly(α-CD) was tracked in Figures 6A,B, respectively. For terpolymer/poly(β-CD) in Figure 6A, the absorbance at 360 nm decreased from 1.73 to 0.89; at the same time, the absorbance of a small flat absorption peak at 450 nm increased from 0.17 to 0.25 on UV irradiation; peaks at 360 and at 450 nm were gradually recovered to its original value during the period of 110 min after being induced by white light. Similarly, the response and recovery behaviors for terpolymer/poly(α-CD) nanoassemblies had no obvious difference from that for terpolymer/poly(β-CD) nanoassemblies in Figure 6B. Moreover, the fatigue resistance and recyclability of terpolymer/poly-CD nanoassemblies were also similar to that of HEMA-NVP-AZO terpolymer, which was shown in Figure S3 of Supporting Information. These results indicated the effectiveness and efficiency of the HEMA-NVP-AZO terpolymer as a photoswitch either in the form of a single macromolecule or in the form of a material.

Compared with our previous synthesized copolymers containing “AZO pendant groups, two polymers possessed similar photo response behaviors as well as adjustable and controllable recovery properties by factors like light source, light intensity, and temperature (Pang et al., 2019). However, the solvent effect on response and recovery behaviors were not found in previous synthesized HANN copolymers, which might be ascribed to different domain properties for HANN copolymers (Pang et al., 2019).

**CONCLUSION**

The HEMA-AZO copolymer and HEMA-NVP-AZO terpolymer were successfully synthesized by radical polymerization. For the HEMA-AZO copolymer, HEMA domain content was calculated to be 78% and AZO domain content was calculated to be 22%. For the HEMA-NVP-AZO terpolymer, HEMA domain content was calculated to be 54%, NVP domain content was calculated to be 28%, and AZO domain content was calculated to be 18%. The reversible trans-to-cis transition of both HEMA-AZO copolymer and HEMA-NVP-AZO terpolymer was confirmed by decreasing in absorbance at 360 nm as well as increasing in absorbance at 450 nm on real-time UV spectra. UV light source could excite trans-to-cis transition; reversely, white light source could induce cis-to-trans transition. For the HEMA-AZO copolymer,
quick response time of 30 s and operable recovery time of
110 min indicated its good performance as a photoswitch. For the
HEMA-NVP-AZO terpolymer, quick response time of 30 s and
operable recovery time of 115 min also confirmed its outstanding
properties. In further step, fatigue resistance and recyclability
of two polymers were verified by stabilized low absorbance
value after UV irradiation and stabilized high absorbance value
after recovery during the 20 times double cycle as well as
stable response time and recovery time. More importantly,
recovery behaviors for two polymers could be adjusted by
factors like solvent property, environment temperature, and
light intensity. Higher environment temperature or higher
light intensity resulted in shortened recovery time for two
polymers. However, the influence of solvent was a little

FIGURE 5 | (A) UV spectra of the HEMA-NVP-AZO terpolymer before and after UV irradiation in dimethylsulfone (DMSO)/H\textsubscript{2}O solution. (B) The recovery–response
time in DMSO/H\textsubscript{2}O solution as a function of DMSO percentage under 73 \mu W/cm\textsuperscript{2} white light at 20\textdegree C. The recovery–response time as a function of (C) light intensity
at 20\textdegree C and (D) temperature under 73 \mu W/cm\textsuperscript{2} white light in DMSO solution. *\textit{p} < 0.05, **\textit{p} < 0.01. \textit{p} refers to significance level in the data set.

FIGURE 6 | UV spectra of (A) HEMA-NVP-AZO/poly(β-CD) and (B) HEMA-NVP-AZO/poly(α-CD) nanoparticle solution response to UV/white light as a function of
recovery time under 73 \mu W/cm\textsuperscript{2} white light at 20\textdegree C.
different. For the HEMA-AZO copolymer, the influence of water on trans-to-cis transition was obvious when water percentage exceeded 40%; for the HEMA-NVP-AZO terpolymer, the influence of water on trans-to-cis transition was obvious when water percentage exceeded 90%. These characteristics reflected the perfect and controllable switching performance for two polymers in the research. Finally, nanoassemblies formed by the HEMA-NVP-AZO terpolymer and poly(β-CD)/poly(α-CD) exhibited similar controllable switching performance. In brief, the effectiveness and efficiency of the HEMA-NVP-AZO terpolymer as a photoswitch had been confirmed by results either in the form of a single macromolecule or in the form of a material.

DATA AVAILABILITY STATEMENT

The datasets generated for this study are available on request to the corresponding author.

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AUTHOR CONTRIBUTIONS

JP accomplished the manuscript. JK synthesized polymer. JX and XM characterized materials. XH put an ideal and instruct the whole research.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fmats.2020.00120/full#supplementary-material
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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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