Therapeutic Trial of Fluoroscopic Interlaminar Epidural Steroid Injection for Axial Low Back Pain: Effectiveness and Outcome Predictors

BACKGROUND AND PURPOSE: An ESI for managing LBP is one of the most commonly performed interventions. The purpose of this observational study was to assess the effect of a therapeutic trial of a fluoroscopic interlaminar ESI for axial LBP and to analyze the outcome predictors.

MATERIALS AND METHODS: All patients who received an interlaminar ESI for axial LBP at our facility in 2007 and 2008 were included. Initial short-term follow-up was done at <1 month after ESI. ESI was considered effective if patients had a reduction of >50% in their pain scores. In July 2009, telephone interviews were conducted by using formatted questions including the NASS patient-satisfaction index. The symptom-free interval was computed by the Kaplan-Meier method. Outcome predictors such as age, sex, duration of LBP, and MR imaging findings were statistically analyzed by the Mann-Whitney U and the Fisher exact tests.

RESULTS: Eighty-one patients (male/female = 16:65; mean age, 49.9 years; range, 17–77 years) were included in the study. The interlaminar ESI was effective in 63 of the 81 patients (77.8%) at initial short-term follow-up. For the 63 patients in whom ESI was effective, the median symptom-free interval was 154 days (95% CI, 96–212 days). Among 68 patients for whom telephone interviews were possible, 44 patients (64.7%) replied positively to the NASS patient satisfaction index (NASS patient-satisfaction index, 1 or 2). There were no significant outcome predictors.

CONCLUSIONS: The therapeutic trial of a fluoroscopic interlaminar ESI was effective for axial LBP without significant outcome predictors.

ABBREVIATIONS: CI = confidence interval; ESI = epidural steroid injection; HIVD = herniated intervertebral disk; HIZ = high-intensity zone; LBP = low back pain; NASS = North American Spine Society; Q = question
ation, the patient is asked to either push with the knees on the exam-
ining table or slightly elevate the pelvis off the table.

**Maneuver.** An imaginary line is drawn horizontally between the
tops of the iliac crests. The intersection point where the midline passes through the imaginary line vertically is marked (Fig 1A). A total of 6 spots are marked. The first 2 spots are placed 2 finger widths laterally and 1 finger width below the intersect point. The second 2 spots are placed 1 finger width below the first spots. The third set of 2 spots is placed 1 finger width below the second spots. The examiner presses each spot with both thumbs (Fig 1B). A positive sign of this maneuver is a definite sharp pain on 1 side and no pain on the other side.

**Inclusion/Exclusion Criteria**

Inclusion criteria for this study were the following: 1) patients receiv-
ing interlaminar ESIs for axial LBP with the above selection criteria from January 2007 to December 2008, 2) the availability of either MR images or CT scans, 3) the presence of initial short-term follow-up results <1 month after injection, and 4) patients with a numeric rat-
ing score (0–10) of >5. Exclusion criteria were the following: 1) pre-
vious lumbar operation; 2) patients under litigation or having a legal issue; 3) history of psychiatric disease such as depression; 4) nerve root compression demonstrated on MR imaging or CT, by either disk herniation or spinal stenosis; 5) absence of MR images or CT scans; 6) absence of initial short-term follow-up data; and 7) initial short-
term follow-up >1 month after interlaminar ESI.

**Interlaminar ESI**

A lumbar interlaminar ESI was performed under fluoroscopic guid-
ance. The level of injection was mostly L4–5, but L3–4 or L5-S1 was also chosen according to the level of abnormal disk or accessibility during the procedure. For multiple levels of disk disease, L4–5 was usually targeted first because most patients had LBP around L4–5 on physical examination. However, this could be changed according to the accessibility. All interlaminar ESI procedures were performed by 1 of 3 radiologists who have experience with >1000 ESI procedures. The uniplanar digital subtraction angiography unit (Integris Allura Xper FD 20; Philips Healthcare, Best, the Netherlands) was used. With patients in the prone position and under sterile preparation, a
22-ga spinal needle was advanced into the posterior epidural space through the interlaminar space with intermittent injection of contrast agent (Omnipaque 300 [iodexol, 300 mg iodine per mL]; Amersham Health, Princeton, New Jersey) until the contrast agent smoothly spread into the lumbar epidural space (Fig 2). Then, a mixture of 40 mg triamcinolone acetonide suspension (40 mg per milliliter; Tamceton, Hanall Pharmaceutical, Seoul, Korea) and 1.5 mL of normal saline and a mixture of 1 mL of bupivacaine hydrochloride (0.5 mL/0.5%, Marcaine Spinal 0.5% Heavy; AstraZeneca, Westborough, Massachusetts) and 0.5 mL of normal saline were injected into the epidural space. The anesthetic mixture was injected first, and the anesthetic mixture was injected sequentially.

**Initial Short-Term Follow-Up**

We did 1 interlaminar ESI for each patient without a series of injections. After injection, the patients were followed by the rehabilitation physician. Initial short-term follow-up was scheduled at 2 weeks. Patients’ responses were determined by the rehabilitation physician as effective or not, depending on whether the patient reported a reduction of >50% in his or her pain score after injection. Patients whose pain scores demonstrated the effectiveness of the procedure after ESI were prescribed core exercises. Patients were initially followed up for 2 weeks after interlaminar ESI and then for another 4 weeks by the rehabilitation physician. The rehabilitation physician advised the patients to return to our hospital immediately if symptoms recurred. If the patient’s back pain recurred, the rehabilitation physician recorded the pain in the medical chart and referred the patient to the radiology department for a repeat ESI.

**Chart Review**

In June and July 2009, a retrospective chart review was conducted by a spine radiologist. The level of the initial interlaminar ESI was gathered by chart documentation. The patients’ responses recorded on the medical charts were obtained. Initial short-term response, recurrence or not, recurrence date (if recurrence), and symptom-free last follow-up date (if no recurrence) were gathered on the basis of the medical charts. If the recurrence was not clearly demonstrated on the medical chart, a telephone interview was attempted. The duration of symptom relief was classified as follows: no relief, <1 month’s relief, between 1 and 2 months’ relief, >2 months’ relief, >6 months’ relief, and >1 year’s relief according to the initial response and recurrence interval after the interlaminar ESI.

The total number of interlaminar ESI procedures that were repeated until July 2009 was also counted for the included patients. Information about age, sex, and duration of LBP (<1 month, 1–3 months, 3–6 months, and >6 months) was gathered. A radiologist also checked for complications that required additional management or hospitalization after the interlaminar ESI.

**MR Imaging Analysis**

MR imaging was analyzed by 2 radiologists in consensus who were blind to the ESI effect. The radiologists focused on the morphologic change in the intervertebral disk and the adjacent marrow change. Disk herniation was defined according to the disk nomenclature system recommended by the combined task forces of the NASS, the American Society of Spine Radiology, and the American Society of Neuroradiology. Patients who had disk herniation with nerve root compression were excluded as described below. An annular tear was regarded as present if there was a high-intensity zone on the MR image. Patients with at least 1 level of annular tear or disk herniation at the central zone were also documented (Fig 3). The central zone was defined as between the edge of the medial facet according to the disk nomenclature. We also checked for an intervertebral disk herniation (Schmorl nodes) at the lumbar level. Adjacent marrow change was described according to Modic type 1, 2, and 3. Facet arthropathy was also evaluated and considered as present when there was 1 of following features on T2-weighted axial images: joint space obliteration, osteophytes, joint effusion, subchondral sclerosis, or subchondral cyst in the facet joint.

**Telephone Interview**

In July 2009, telephone interviews were conducted with formatted questions by 2 researchers under the supervision of a spine radiologist. The formatted questions are shown in On-line Table 1. Each patient was asked 7 questions, and the replies were recorded. The 4-point NASS patient-satisfaction index was also used to evaluate
patients by telephone interview as follows: 1 = the interlaminar ESI met my expectations; 2 = 1 improved less than I had hoped, but I would undergo the same procedure again for the same result; 3 = the interlaminar ESI helped, but I would not undergo the same procedure again for the same result; and 4 = I am the same or worse than before an interlaminar ESI.17

Statistical Analysis
To find the median symptom-free interval after improvement due to an interlaminar ESI, we used the Kaplan-Meier method. “Symptom-free last follow-up date” or “recurrence date” was used for the statistical analysis of the symptom-free interval.

The relationship between the duration of LBP (<1 month, 1–3 months, 3–6 months, and >6 months) and the initial response was evaluated by the \( \chi^2 \) test.

To evaluate the outcome predictors, we determined outcome in 2 ways. The patients were divided into 2 groups according to their initial response to the interlaminar ESI (effective or ineffective), and possible outcome predictors were evaluated for the 2 groups by statistical analysis as described below. The patients were also placed in 2 groups by using the following NASS patient-satisfaction index assessed by telephone interview in July 2009: positive satisfaction (NASS 1 or 2) or negative satisfaction (NASS 3 or 4). Possible outcome predictors were also statistically analyzed for the 2 groups as described below.

Age differences and the number of abnormal disk levels were evaluated by the Mann-Whitney U test. Other possible outcome predictors such as sex and duration of LBP (< or >3 months) were evaluated by the Fisher exact test. The presence of at least 1 level of Modic type 1, the presence or absence of at least 1 level of disk herniation or annular tear at the central zone, the presence of at least 1 level of Schmorl nodes, and the presence of facet arthropathy were also evaluated as possible outcome predictors for both initial response and NASS patient satisfaction index by the Fisher exact test.

Results
Patients
In total, 81 patients (male/female = 16:65; mean age, 49.9 ± 14.4 years; range, 17–77 years) were included in this study. The duration of LBP was <1 month in 27 patients, 1–3 months in 14 patients, 3–6 months in 15 patients, and >6 months in 25 patients.

Level of Interlaminar ESI
An interlaminar ESI was performed in 4 patients via L3–4, in 67 patients via L4–5, and in 10 patients via L5–S1.

MR Imaging Findings
MR imaging was performed for 67 patients, and CT was performed for the remaining 14 patients. An annular tear or disk herniation at the central zone was noted in 50 of 67 patients (74.6%). Schmorl nodes were seen in 8 of 67 patients. At least 1 level of any type of Modic change was seen in 10 of 67 (14.9%) patients. At least 1 level of Modic type 1 change was seen in only 2 of 67 patients (2.5%). At least 1 level of Modic type 2 change was seen in 7 of 67 patients (10.4%). At least 1 level of Modic type 3 change was seen in 1 of 67 patients (1.4%). Facet arthropathy was seen in 19 of 67 patients (28.4%).

Table 2: Possible clinical outcome predictors for interlaminar ESI at short-term follow-up

|                    | Improvement (n = 63) | No Improvement (n = 18) | P Value |
|--------------------|----------------------|-------------------------|---------|
| Age                | 50 ± 13.5            | 49.6 ± 17.6             | .914    |
| Sex                |                      |                         |         |
| Male               | 10 (62.5%)           | 6 (37.5%)               | .75     |
| Female             | 53 (81.5%)           | 12 (18.5%)              |         |
| Duration of LBP    |                      |                         |         |
| <3 Months          | 32 (78.0%)           | 9 (22.0%)               | 1.000   |
| ≥3 months          | 31 (77.5%)           | 9 (22.5%)               |         |

*Data are number of patients.

**Mean age (years).**

Response after Interlaminar ESI
Follow-up was performed at 13.4 ± 7.7 days on average (range, 7–31 days). An interlaminar ESI was effective in 63 of 81 patients (77.8%) at the initial short-term follow-up. Recurrence was seen in 34 of those 63 patients (54%). For the 63 patients in whom an ESI was effective, the median symptom-free interval was 154 days (95% CI, 96–212 days). Patients were grouped according to their duration of symptom relief as shown in Table 1. Thirty patients (37%) reported >6 months' symptom relief. The total number of ESI procedures was 1 in 54 patients (66.6%), 2 in 21 patients (25.9%), 3 in 5 patients, and 4 in 1 patient. No patient required additional management or showed permanent damage due to complications after an interlaminar ESI.

LBP duration (<1 month, n = 27, 17.3%; 1–3 months, n = 14, 18.5%; and >6 months, n = 25, 30.9%) was not significantly related to the initial response (P = .173). ESI was effective in 20 of 27 patients with an LBP duration of <1 month (74.1%), in 12 of 14 patients with 1–3 months (85.7%), in 9 of 15 patients with 3–6 months (60.0%), and in 22–25 patients with >6 months (88.0%).

Telephone Interview
Of the 81 patients, telephone interviews were possible with 68 (84%). For the remaining 13 patients, telephone interviews were attempted but failed. The results are summarized in Online Tables 2–5. In addition, approximately two-thirds of patients replied that they wanted to repeat the interlaminar ESI in a similar attack of LBP (63.2%) and wanted to recommend an ESI to others (67.6%). About two-thirds of patients (64.7%) replied they had experienced positive satisfaction (NASS patient-satisfaction index 1 or 2). Two patients replied that they had undergone an operation since the interlaminar ESI.

Possible Outcome Predictors
In terms of initial short-term response after an initial interlaminar ESI, there were no significant outcome predictors. These clinical outcome predictors are summarized in Table 2. Among 67 patients who had MR images, the number of abnormal disk levels on MR imaging was not significantly different between the effective (2.34 ± 1.19) and ineffective group (2.07 ± 1.07) (P = .714). Between the effective (n = 53) and ineffective group (n = 14), other MR imaging findings such as the presence of at least 1 level of disk herniation or annular tear at the central zone (40/53 versus 10/14), the presence of at
Facet arthropathy
Modic type 1
Schmorl nodes
Central HIZ or HIVD

Age
Sex
Male
Female
Duration of LBP
<3 Months
>3 Months

Table 3: Possible clinical outcome predictors for interlaminar ESI in terms of the NASS patient-satisfaction indexa

|                | NASS 1 or 2 (n = 44) | NASS 3 or 4 (n = 24) | P Value |
|----------------|----------------------|----------------------|---------|
| Ageb           | 49.1 ± 15.0          | 50.8 ± 12.3          | .734    |
| Sex            |                      |                      |         |
| Male           | 6 (46.2%)            | 7 (53.8%)            | .195    |
| Female         | 38 (89.1%)           | 17 (30.9%)           |         |
| Duration of LBP|                      |                      |         |
| <3 Months      | 22 (62.9%)           | 13 (37.1%)           | .803    |
| >3 Months      | 22 (66.7%)           | 11 (45.8%)           |         |

a Data are number of patients. The NASS patient-satisfaction index was obtained in 66 patients.
b Mean age (years).

Discussion

This study showed the following results: 1) interlaminar ESI was effective for axial LBP in four-fifths of patients (77.8%) without significant outcome predictors, 2) recurrence was noted in half of the patients in whom ESI was ineffective (54%) with an approximate 5-month symptom-free interval (median, 154 days; 95% CI, 96–212 days), and 3) approximately two-thirds of patients (64.7%) replied that they had experienced positive satisfaction and, in a later telephone interview, observed that they were willing to repeat the procedure.

In Buttermann’s study,4 ESI was performed in 93 patients with Modic type 1 endplate changes and in 139 patients without Modic type 1 endplate changes.4 According to that study, ESIs are more effective in patients with MR imaging findings of Modic type 1 endplate changes.4 This result was different from ours, which showed that the Modic type 1 endplate change was not as common in patients with axial LBP and was not an outcome predictor after ESI. The study population of Buttermann’s study was somewhat different from ours because that study consisted of patients with degenerative disk disease with >1 year of chronic LBP, but our study consisted of patients with LBP at the acute or chronic stage.

The underlying mechanism of action of an epidurally administered steroid is believed to achieve the neural blockade by altering or interrupting the nociceptive input, reflex mechanism of the afferent fibers, self-sustaining activity of the neurons, and the pattern of central neuronal activities. Furthermore, corticosteroids have been shown to reduce inflammation by inhibiting either the synthesis or release of a number of proinflammatory mediators and by causing a reversible local anesthetic effect.2,19,20

The correct diagnosis and treatment of axial LBP are still challenging. Provocation diskography was known to be the best diagnostic method for diskogenic LBP, but there is still a debate about the role of provocation diskography for the diagnosis of diskogenic LBP.21–24 Due to the invasive manner and possible complications such as infection, provocation diskography is not easily considered. In addition, there is no standard treatment method for diskogenic LBP even after diagnosis by provocation diskography. An operation cannot guarantee symptom relief for diskogenic LBP diagnosed by provocation diskography.2,25 So, we did not perform provocation diskography for the patients having axial LBP routinely because it is more painful and invasive than an interlaminar ESI. Provocation diskography was reserved only for patients who considered an operation after a failed interlaminar ESI. We suggest that the therapeutic trial of an interlaminar ESI before provocation diskography for axial LBP is reasonable

Table 4: Possible MR imaging outcome predictors for interlaminar ESI in terms of the NASS patient-satisfaction scalea

|                | NASS 1 or 2 (n = 37) | NASS 3 or 4 (n = 19) | P Value |
|----------------|----------------------|----------------------|---------|
| Central HIZ or HIVD |                      |                      |         |
| Present         | 28 (66.7%)           | 14 (33.3%)           | 1.000   |
| Absent          | 9 (64.3%)            | 5 (35.7%)            |         |
| Schmorl nodes   |                      |                      |         |
| Present         | 5 (71.4%)            | 2 (28.6%)            | 1.000   |
| Absent          | 32 (65.3%)           | 17 (34.7%)           |         |
| Modic type 1    |                      |                      |         |
| Present         | 1 (50%)              | 1 (50%)              | 1.000   |
| Absent          | 36 (66.7%)           | 18 (33.3%)           |         |
| Facet arthropathy |                    |                      |         |
| Present         | 9 (24.3%)            | 7 (36.8%)            | .362    |
| Absent          | 28 (75.7%)           | 12 (63.2%)           |         |

a Data are number of patients. Both the NASS patient-satisfaction index and MR imaging were available in 56 patients.
because an interlaminar ESI is thought to be safer and less invasive than provocative diskography.

In this study, we used a paraspinal compression maneuver for diagnosis of axial LBP. We excluded the patients who showed bilateral pain provocation during this maneuver because bilateral pain provocation could be induced by the maneuver itself.

Multiple approaches available to access the epidural space in the lumbosacral spine include interlaminar, transforaminal, and caudal. Interlaminar ESI rather than caudal ESI was selected in our hospital because in our experience, the interlaminar approach is less painful than the caudal approach during injection. Although interlaminar epidural injection was targeted to the posterior epidural space, we thought that the drugs could spread into the ventral epidural space with a somewhat larger volume. Because injection of drugs into the posterior epidural space was less irritating, we were able to inject a larger volume by interlaminar epidural injection, which may enable the drug to spread easily into the ventral epidural space.

On the basis of our experience, interlaminar epidural injection has some advantages for axial LBP. First, during transforaminal epidural injections, part of the contrast agent leaks into the spinal nerve. In addition, during transforaminal epidural injection, contrast agents tend to spread into the ipsilateral portion of the epidural space, so bilateral transforaminal epidural injection should be considered for bilateral distribution. In comparison, during interlaminar ESI, most contrast agent had a bilateral distribution in the epidural space, which could cover more lumbar levels and the most epidural space with a single injection. Second, interlaminar epidural injections were usually less painful than transforaminal epidural injections and less invasive than intradiscal injection. For the therapeutic trial for axial LBP, we chose less painful and less invasive injection procedures.

The use of an ESI has been debated due to its poor long-term results for managing LBP. However, although the patients experienced periodic aggravation of LBP even after relief by an ESI, the ESI has a role because it can control severe LBP at each episode. According to our results, approximately two-thirds of patients are willing to repeat the ESI if the pain recurs. This means that the ESI was a good treatment option for patients when their LBP was severe. To avoid overuse of steroids, strict guidelines are necessary for the frequency or interval of ESIs. Following the guidelines of the American Society of Interventional Pain Physicians, an ESI was performed a maximum of 6 times per year in our department. LBP subsides with time in many patients. Therefore, the main role of the ESI was to relieve back pain sooner and help patients quickly return to normal activities. Because exercise is very important for managing LBP but difficult for patients experiencing pain, ESI also has a role in relieving severe pain and encouraging patients to exercise comfortably.

This study has some limitations. First, it was not a randomized controlled study. However, designing a randomized controlled study for managing patients experiencing pain is very difficult. We hope that this study will encourage further studies of this type. When designing a randomized controlled study about the effectiveness of ESI for managing LBP, determining a control group is also difficult. An epidural saline injection can have a role in washing out inflammatory cytokines. A comparison between an ESI group and a non-ESI group was impossible for a double-blind study. A comparison between ESI and needle only is difficult because enrolling patients into the control group is thought to be unethical and clinically impractical. Second, long-term follow-up was determined by retrospective chart review and telephone interviews. However, practically, it was difficult to ask patients to revisit the hospital only for the study if they had no pain or discomfort. Third, various possible outcome predictors such as compliance with exercise, smoking, and other life stressors were not evaluated. This is a limitation of the outcome analysis depending on the medical chart. Further study with detailed analysis for various outcome predictors is necessary by prospective design and with larger patient populations.

Conclusions

In conclusion, the therapeutic trial of a fluoroscopic interlaminar ESI was effective for axial LBP without a significant outcome predictor.

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