Liberal versus restrictive red blood cell transfusion strategy in sepsis or septic shock: a systematic review and meta-analysis of randomized trials

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Abstract

Background: We assessed the effect of liberal versus restrictive red blood cell transfusion strategy on survival outcome in sepsis or septic shock by systematically reviewing the literature and synthesizing evidence from randomized controlled trials (RCTs).

Methods: We searched the MEDLINE, Cochrane Central Register of Controlled Trials, and Web of Science databases. We included RCTs that compared mortality between a liberal transfusion strategy with a hemoglobin threshold of 9 or 10 g/dL and a restrictive transfusion strategy with a hemoglobin threshold of 7 g/dL in adults with sepsis or septic shock. Two investigators independently screened citations and conducted data extraction. The primary outcome was 28- or 30-day mortality. Secondary outcomes were 60- and 90-day mortality, use of life support at 28 days of admission, and number of patients transfused during their intensive care unit stay. DerSimonian-Laird random-effects models were used to report pooled odds ratios (ORs).

Results: A total of 1516 patients from three RCTs were included; 749 were randomly assigned to the liberal transfusion group and 767 to the restrictive strategy group. Within 28–30 days, 273 patients (36.4%) died in the liberal transfusion group, while 278 (36.2%) died in the restrictive transfusion group (pooled OR, 0.99; 95% confidence interval [CI], 0.67–1.46). For the primary outcome, heterogeneity was observed among the studies (I² = 61.0%, χ² = 5.13, p = 0.08). For secondary outcomes, only two RCTs were included. There were no significant differences in secondary outcomes between the two groups.

Conclusions: We could not show any difference in 28- or 30-day mortality between the liberal and restrictive transfusion strategies in sepsis or septic shock patients by meta-analysis of RCTs. Our results should be interpreted with caution due to the existence of heterogeneity. As sepsis complicates a potentially wide range of underlying diseases, further trials in carefully selected populations are anticipated.

Trial registration: This present study was registered in the PROSPERO database (CRD42018108578).

Keywords: Sepsis, Septic shock, Transfusion, Hemoglobin, Threshold, Mortality

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Background
Patients with sepsis or septic shock are often anemic and undergo red blood cell (RBC) transfusion. This intervention may restore the balance between oxygen supply and demand by increasing oxygen delivery into tissue [1, 2]. However, RBC transfusion may also lead to deleterious events such as cardiovascular overload, acute kidney injury, acute lung injury, infectious complications, and immunomodulation [3–5]. With these adverse effects of RBC transfusion, clinicians are challenged with selecting the optimal threshold of transfusion for sepsis patients to improve survival outcome.

Two different strategies of RBC transfusion (“liberal” transfusion with a hemoglobin threshold of 9 or 10 g/dL and “restrictive” transfusion with a hemoglobin threshold of 7 g/dL) have been compared in observational studies and a few randomized controlled trials (RCTs) to assess which strategy would be more beneficial in treating sepsis patients [6]. After the introduction of the systematic review process, the newest international guidelines for the management of sepsis and septic shock (Surviving Sepsis Campaign, 2016) recommended restrictive RBC transfusions only when the hemoglobin level decreases to < 7.0 g/dL in adult sepsis in the absence of exhuasting circumstances, such as myocardial ischemia, severe hypoxemia, or acute hemorrhage [7]. However, this recommendation is based on limited data from only two clinical trials, including one less-direct assessment of blood transfusion therapy [8, 9].

Until now, there has been no meta-analysis reviewing only RCTs on hemoglobin threshold for RBC transfusion in sepsis. Therefore, we aimed to conduct a systematic review and meta-analysis of present RCTs to assess the effect of liberal versus restrictive RBC transfusion strategy on survival outcome in sepsis or septic shock patients.

Methods
Data sources and search strategies
To identify eligible trials, we searched the Cochrane Central Register of Controlled Trials, the MEDLINE, and the Web of Science databases on June 21, 2018. Searches were not restricted by publication status, publication date, and sample size. We did not search references of articles. The search terms used were “(sepsis OR septic shock) AND (transfusion OR transfused OR transfusions OR hemoglobin) AND (randomised OR randomized)”.

Study selection
Titles and abstracts of references were retrieved from the databases. After all duplicate studies were excluded, two investigators (YH and YM) independently screened the titles and abstracts for eligibility. When a disagreement was identified between reviewers, the full text of the article was obtained to determine the study’s eligibility, and differences in opinion were resolved by consensus. If disagreements could not be reconciled, a third investigator (YK) was consulted. The full texts of articles included in the final selection were independently reviewed by two investigators (YH and YM). Finally, eligible studies were determined after discussion and resolution of discrepancies by consensus.

We identified the studies to be included by following a research question formulated according to the participants, interventions, comparisons, and outcomes (PICO) model, as follows: P, adult (≥ 18 year old) patients admitted to the intensive care unit (ICU) with a diagnosis of sepsis or septic shock; I, liberal RBC transfusion strategy (blood transfusion with a hemoglobin threshold of ≥ 9–10 g/dL); C, restrictive RBC transfusion strategy (blood transfusion with a hemoglobin threshold of ≤ 7 g/dL); and O, all-cause mortality. We did not restrict the definition of sepsis to the latest definition [10]; instead, we allowed all past definitions of sepsis when identifying the studies to be included.

Data extraction
Data were extracted independently by two investigators, and consensus was reached. The data extracted included the following: author, publication year, country, study design, number and type of participants, severity and source of sepsis, timing of randomization, duration of intervention, trial exclusion criteria, inclusion period, method of transfusion, development and evaluation (GRADE) working group [12]. The quality of the study methodology was independently classified by the two investigators as high, intermediate, low, or very low, based on study design,
risk of bias, indirectness, inconsistency, imprecision, and publication bias. The publication biases were assessed visually by inspecting funnel plots as well as analytical appraisals based on Egger's linear regression test [13]. A two-sided $p$ value of $\leq 0.10$ was regarded as significant in Egger's linear regression test.

**Statistical analysis**

We pooled the eligible patients for each outcome and calculated the odds ratios (ORs) and corresponding 95% confidence intervals (CIs) using the DerSimonian-Laird random-effects model with weights calculated using the inverse variance method. We verified the heterogeneity of the studies using the Cochran chi-squared, tau-squared, and $I^2$ statistics ($I^2 > 50\%$ was considered a measure of severe heterogeneity). We applied unadjusted $p$ values for the significance assessment in this study, which were set at the two-tailed 0.05 level for hypothesis testing and at the 0.10 level for heterogeneity testing. All statistical analyses were performed using the Cochrane systematic review software Review Manager version 5.3.5 for Windows (The Nordic Cochrane Centre, the Cochrane Collaboration, Copenhagen, Denmark), except for the analysis of publication bias, which was through Stata version 15SE® (StataCorp LP, 2013).

**Results**

**Search results**

We identified 1040 studies from the electronic databases after elimination of duplicates. Among them, only 14 studies were eligible based on the assessment of the title and abstract. After review of their full-text articles, 11 studies were excluded because they were reviews or the same trials reported in the other studies included, they were conducted with a different study design or outcome, or they involved an inappropriate cohort. Finally, three RCTs were included in this meta-analysis [8, 14, 15] (Fig. 1).

**Study characteristics**

We analyzed a total of 1516 patients from the three RCTs, namely TRICOP, TRISS, and TRICC. Among them, 749 patients were randomly assigned to the liberal transfusion group and 767 to the restrictive transfusion group. Two of the three studies were multicentric studies (TRISS and TRICC). Participants in the TRISS study
were patients with septic shock, while those in the TRI-COP study were cancer patients with septic shock. In the TRICC study, the participants were critically ill patients and not restricted to sepsis patients. However, a subgroup analysis was performed for patients with severe infection or septic shock. Although lungs were the most frequent cause of sepsis in the TRI-COP and TRISS trials, there was a diversity in the source of infection among studies. All trials excluded patients with uncontrollable bleeding or the withdrawal from active therapy. However, there were a variety in inclusion criteria among studies, represented by acute myocardial ischemia which was only excluded in the TRISS trial. In all studies, RBCs were transfused when the hemoglobin level was <7 g/dL for restrictive strategy. The hemoglobin threshold for liberal transfusion was set at <9 g/dL in the TRISS and TRICOP trials, and at <10 g/dL in the TRICC trial. All RBC units were leukodepleted in two of the three studies (TRICOP and TRISS). The individual characteristics of the three RCTs are detailed in Table 1.

Outcome
The forest plot of the primary outcome is shown in Fig. 2. Within 28–30 hospital days, 273 of 749 patients (36.4%) died in the liberal transfusion group, while 278 of 767 patients (36.2%) died in the restrictive transfusion group. There was no difference in 28- or 30-day mortality between the two transfusion strategies (pooled OR, 0.99; 95% CI, 0.67–1.46). In the evaluation of secondary outcomes, only two RCTs (TRICOP and TRISS) were included because we could not obtain any information about the outcomes other than 30-day mortality from the subgroup analysis of patients with severe infection or septic shock in the TRICC study. Similar to 28- or 30-day mortality, there were no significant differences in 60- or 90-day mortality between the liberal and restrictive transfusion strategies (pooled OR, 0.91 [95% CI, 0.55–1.51] or 0.85 [95% CI, 0.49–1.47], respectively) (Additional files 1 and 2). The number of patients transfused with RBCs in the ICU tended to be higher in the liberal transfusion group than in the restrictive transfusion group (pooled OR, 9.94; 95% CI, 0.39–250.88), although there was no significant difference, possibly due to wide distribution (Fig. 3). Moreover, there were no significant differences in the use of life support (ventilation, vasopressor use, or RRT) at 28 days of admission and the number of patients whom FFP or platelets were transfused during ICU stay between the two groups (Additional files 3, 4, 5, 6, and 7).

Heterogeneity
For the primary outcome of 28- or 30-day mortality, heterogeneity was observed among the studies ($I^2 = 61.0\%$, $\chi^2 = 5.13, p = 0.08$) (Fig. 2). The evaluation of heterogeneity for other outcomes was described in forest plots (Fig. 3, Additional files 1, 2, 3, 4, 5, 6, and 7).

Publication bias, risk of bias, and quality of evidence
We also analyzed the presence of publication bias for the primary outcome. A visual inspection of the funnel plot and Egger’s linear regression test showed no existence of publication bias in 28- or 30-day mortality ($p = 0.294$). Regarding risk of bias, the binding of participants and personnel was categorized as high risk in all three RCTs due to the nature of intervention (Figs. 4 and 5). For the effect of liberal versus restrictive blood transfusion strategy on the primary outcome, the quality of evidence was rated as low. The grade was lowered by 2 points due to a major inconsistency in heterogeneity and indirectness of the studies such as presence of different populations of patients. The summary of evidence is detailed in Table 2.

Discussion
To our knowledge, this study is the first systematic review and meta-analysis of RCTs investigating the effect of liberal versus restrictive RBC transfusion strategies on mortality in sepsis or septic shock patients. Although this meta-analysis included only three RCTs, the results suggested that the liberal strategy of RBC transfusion (with a hemoglobin threshold of 9 or 10 g/dL) failed to show any improvement in short-term (28- or 30-day) mortality in sepsis or septic shock patients, compared to the restrictive strategy (with a hemoglobin threshold of 7 g/dL).

Several studies have been conducted to determine the optimal blood transfusion threshold that would be the most beneficial to patient outcomes [16]. Hebert et al. conducted an RCT involving critically ill patients to compare the 30-day mortality rate between the liberal transfusion group (with a hemoglobin threshold of 10 g/dL) and restrictive transfusion group (with a hemoglobin threshold of 7 g/dL) (TRICOP trial) [15]. They found no significant difference in outcome between the two strategies and, thus, recommended the use of the restrictive transfusion strategy in critically ill patients. However, the subgroup analysis of this study also raised the possibility that the suggested blood transfusion threshold could differ due to different diseases and patient populations. In fact, the very recent 2018 Frankfurt Consensus Conference on patient blood management strongly recommended the use of the restrictive RBC transfusion threshold in critically ill but clinically stable intensive care patients and in patients undergoing cardiac surgery; however, use of the restrictive RBC transfusion threshold was not clearly recommended in patients with hip fracture and cardiovascular diseases or other risk factors as
| Table 1 | Detail of included studies |
|---------|---------------------------|
| **First author, year** | Bergamin, 2017 [14] | Holst, 2014 [8] | Hebert, 1999 [15] |
| **Country** | Brazil | Denmark, Sweden, Norway, Finland | Canada |
| **No of site** | 1 | 32 | 25 |
| **Inclusion period** | 2012–2014 | 2011–2013 | 1994–1997 |
| **No of patients** | 300 | 998 | 218 (subgroup) |
| **Type of patients** | Cancer patients with septic shock (≧ 18 years old) | Patients with septic shock (≧ 18 years old) | Patients with severe infection or septic shock (≧ 16 years old) |
| **Source of infection [liberal (%) vs restrictive (%)]** | | | |
| Lungs | 69 vs 61 | 52.2 vs 53.2 | |
| Abdomen | 13 vs 17 | 39.9 vs 41.0 | Unknown |
| Urinary tract | 9 vs 5 | 12.3 vs 11.6 | |
| Other | 9 vs 17 | 21.4 vs 21.8 | |
| **Exclusion criteria (yes: excluded, no: not excluded)** | | | |
| Life-threatening/uncontrolled bleeding | Yes | Yes | Yes |
| Acute myocardial ischemia | No | Yes | No |
| Admission after cardiac surgery | No | No | Yes |
| Hematologic cancer | Yes | No | No |
| Acute burn injury | No | Yes | No |
| End-stage renal disease | Yes | No | No |
| Pregnancy | No | No | Yes |
| Anticoagulation therapy | Yes | No | No |
| Withdrawal from active therapy | Yes | Yes | Yes |
| Hemoglobin threshold [liberal (g/dL) vs restrictive (g/dL)] | < 9 vs < 7 | < 9 vs < 7 | < 10 vs < 7 |
| Severity of patients (SOFA score) [median (interquartile range)] | Liberal; 6 (5–9) | Liberal; 10 (8–12) | Unknown |
| Restrictive; 7 (5–9) | Restrictive; 10 (8–12) | |
| Timing of randomization after ICU admission (h) [median (interquartile range)] | Within 6 h | Liberal; 20 (7–43) | Within 72 h |
| Restrictive; 23 (7–50) | | |
| Intervention period | ICU stay | ICU stay | ICU stay |
| Leukodepletion | Yes | Yes | No |
| Outcomes | | | |
| Mortality (28, 60, 90 days) | Mortality (28, 60, 90 days) | Mortality (30 days) |
| Ischemic events | Ischemic events | | |
| Severe adverse reactions | Severe adverse reactions | | |
| Use of life support at 28 days | Use of life support at 28 days | | |
| Number of patients transfused in the ICU | | | |
well as in hemodynamically stable patients with acute gastrointestinal bleeding (conditional recommendation) [17]. Apparently, recent clinical trials investigating the hemoglobin threshold for transfusion have been more focused on narrow and specific populations [18–22].

Numerous cohort studies have been conducted on blood transfusion thresholds in patients with sepsis or septic shock. A systematic review of 12 cohort studies, including 9 studies focusing on mortality rates, has confirmed the safety of restrictive transfusion [6]. However, no meta-analysis has been performed of randomized trials on the hemoglobin threshold for RBC transfusion in this population due to the lack of RCTs. Similar to the abovementioned systematic review of cohort studies, our meta-analysis of RCTs revealed no differences in clinically important outcomes, such as 28- or 30-day mortality, between the liberal and restrictive blood transfusion strategies. Furthermore, we could not show any differences in secondary outcomes, such as 60- or 90-day mortality, use of life support (ventilation, vasopressor use, or RRT) at 28 days of admission, and number of patients who underwent FFP or platelet transfusion during ICU stay between the two strategies; however, only two RCTs were included for the analysis of secondary outcomes. Considering the limited availability of blood supply for transfusions, we recommend the use of the restrictive transfusion strategy over the liberal transfusion strategy in sepsis or septic shock patients.

Caution is required while interpreting our findings. The inclusion criteria for sepsis patients in each RCT analyzed were diverse. Particularly, the participants of the most recent RCT (TRICOP) were cancer patients with septic shock. In fact, the mortality rate was higher in this single-centered trial than in the other two trials. This study showed opposing results with respect to primary outcome, with a lower 28-day mortality rate in the liberal transfusion group, implying that the threshold of RBC blood transfusion in sepsis patients could differ according to the underlying medical conditions or diseases. Moreover, exclusion criteria also differed among the three RCTs. For example, acute myocardial ischemia patients were excluded from the TRISS trial but not from the TRICOP and TRICC trials, even though use of a restrictive transfusion threshold of < 80 g/L may not be safe in patients with underlying acute coronary syndrome or chronic cardiovascular disease [23]. As sepsis patients often show several complications, the patient’s background should be carefully considered while selecting between the liberal and restrictive strategies for blood transfusion. This heterogeneity in the comorbidities of sepsis patients also presents problems in transfusion trials where fixed interventions can cause practice misalignment in diverse populations. Further sepsis-related trials in carefully selected populations are anticipated.

Limitations of the study
This meta-analysis has several limitations. First, only three RCTs were analyzed for the primary outcome and only two RCTs were analyzed for secondary outcomes.
However, meta-analyses of too many low-quality studies can also cause bias. Thus, well-designed RCTs are required in the future to support our findings. Second, the participants and healthcare staff were aware of the group assignment in all included RCTs, resulting in potential performance bias. It was impossible to conceal the group assignment because of the characteristics of the intervention. However, this bias is unlikely to affect the results due to the use of stratified randomization and objective endpoints as well as the use of multicentered trials.

**Table 2** Summary of findings

| Outcomes                              | Anticipated absolute effects* (95% CI) | Relative effect: OR (95% CI) | No. of participants (no. of studies) | Certainty of evidence (GRADE) |
|---------------------------------------|--------------------------------------|-------------------------------|--------------------------------------|------------------------------|
| Risk with restrictive RBC transfusion | 362 per 1000                          | 360 per 1000 (276–454)       | 0.99 (0.67–1.46)                     | Low<sup>ab</sup>             |
| Risk with liberal RBC transfusion     | 60-day mortality                      | 452 per 1000                  | 429 per 1000 (312–554)               | Low<sup>bc</sup>             |
|                                       | 90-day mortality                      | 493 per 1000                  | 453 per 1000 (323–588)               | Low<sup>bd</sup>             |
| No. of patients transfused with RBC in the ICU | 585 per 1000                          | 933 per 1000 (355–997)       | 9.94 (0.39–250.88)                   | Low<sup>bc</sup>             |
| Ventilation use at 28 days            | 146 per 1000                          | 147 per 1000 (81–250)        | 1.01 (0.52–1.96)                     | Low<sup>bf</sup>             |
| Vasopressor use at 28 days            | 66 per 1000                           | 69 per 1000 (28–161)         | 1.06 (0.41–2.72)                     | Low<sup>bg</sup>             |
| RRT at 28 days                        | 66 per 1000                           | 64 per 1000 (41–99)          | 0.97 (0.60–1.57)                     | Moderate<sup>b</sup>         |
| No. of patients transfused with FFP in the ICU | 186 per 1000                          | 205 per 1000 (163–257)      | 1.13 (0.85–1.51)                     | Moderate<sup>b</sup>         |
| No. of patients transfused with platelet in the ICU | 146 per 1000                          | 165 per 1000 (120–221)      | 1.16 (0.80–1.67)                     | Moderate<sup>b</sup>         |

Grades of evidence according to the Grading of Recommendations Assessment, Development and Evaluation (GRADE) working group

| High certainty: We are very confident that the true effect lies close to that of the estimate of the effect. The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different |
| Low certainty: Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect. Very low certainty: We have very little confidence in the effect estimate. The true effect is likely to be substantially different from the estimate of the effect. |

*The risk in the intervention group (and its 95% confidence interval [CI]) was based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI)

<sup>a</sup>Heterogeneity was observed among the studies ($I^2 = 61.0\%$, $\chi^2 = 5.13$, $p = 0.08$). Downgraded by 1

<sup>b</sup>Indirectness such as different populations of patients was observed in the studies. Downgraded by 1

<sup>c</sup>Heterogeneity was observed among the studies ($I^2 = 77.0\%$, $\chi^2 = 4.27$, $p = 0.04$) Downgraded by 1

<sup>d</sup>Heterogeneity was observed among the studies ($I^2 = 77.0\%$, $\chi^2 = 4.27$, $p = 0.04$) Downgraded by 1

<sup>e</sup>Heterogeneity was observed among the studies ($I^2 = 98.0\%$, $\chi^2 = 46.53$, $p < 0.01$). Downgraded by 1

<sup>f</sup>Heterogeneity was observed among the studies ($I^2 = 75.0\%$, $\chi^2 = 4.04$, $p = 0.04$). Downgraded by 1

<sup>g</sup>Heterogeneity was observed among the studies ($I^2 = 71.0\%$, $\chi^2 = 3.49$, $p = 0.06$). Downgraded by 1

RBC red blood cell, OR odds ratio, RCT randomized controlled trial, ICU intensive care unit, RRT renal replacement therapy, FFP fresh frozen plasma.
participants in two of the three RCTs. Third, the leuko-
depletion status of RBCs before transfusion was different
among trials. As leuko-depletion of blood products could
reduce several complications of transfusion, such as
alloimmunization of human leukocyte antigen (HLA),
cytomegalovirus transmission, and recurrent febrile non-
hemolytic transfusion reaction, this process could influ-
ence the outcome [24]. Finally, we observed some heterogeneities among the included trials, such as timing
of randomization, inclusion and exclusion criteria, and
source of sepsis. Even though systematic reviews and
meta-analyses are considered to be powerful tools for
solving research questions, heterogeneity is the one of
the weaknesses of these statistical methods [25, 26].

Conclusions
Based on the findings of this meta-analysis, we could
not show any difference in short-term (28- or 30-day)
mortality between the liberal RBC transfusion strategy
with a hemoglobin threshold of 9 or 10 g/dL and the re-
strictive strategy with a hemoglobin threshold of 7 g/dL
in sepsis or septic shock patients. We therefore recom-
mend the restrictive transfusion strategy from the per-
spective of cost and resource saving; however, coexisting
diseases such as cancer or cardiovascular disease in se-
pis patients should be carefully considered. “Sepsis” or
“septic shock” might still be too large as a category in
deciding the best hemoglobin threshold for blood transfusion.

Additional files

- Additional file 1: Forest plot of the 60-day mortality in comparison between liberal and restrictive blood transfusion strategy in sepsis or septic shock. (TIFF 10644 kb)
- Additional file 2: Forest plot of the 90-day mortality in comparison between liberal and restrictive blood transfusion strategy in sepsis or septic shock. (TIFF 10644 kb)
- Additional file 3: Forest plot of the ventilation use at 28 days of admission in comparison between liberal and restrictive blood transfusion strategy in sepsis or septic shock. (TIFF 10644 kb)
- Additional file 4: Forest plot of the vasopressor use at 28 days of admission in comparison between liberal and restrictive blood transfusion strategy in sepsis or septic shock. (TIFF 10644 kb)
- Additional file 5: Forest plot of the renal replacement therapy at 28 days of admission in comparison between liberal and restrictive blood transfusion strategy in sepsis or septic shock. (TIFF 10644 kb)
- Additional file 6: Forest plot of the number of patients whom FFP was transfused during ICU stay in comparison between liberal and restrictive blood transfusion strategy in sepsis or septic shock. (TIFF 9587 kb)
- Additional file 7: Forest plot of the number of patients whom platelets was transfused during ICU stay in comparison between liberal and restrictive blood transfusion strategy in sepsis or septic shock. (TIFF 9587 kb)

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Author’s contributions
YH and YK designated this study. YH, MY, and YK identified the studies
entered in the meta-analysis and analyzed the data. YH drafted the manu-
script. All authors interpreted the data and discussed the manuscript. All au-
thors read and approved the final manuscript.

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Availability of data and materials
The data and material used for this meta-analysis are contained in the
references.

Ethics approval and consent to participate
Not applicable.

Consent for publication
Not applicable.

Competing interests
The authors declare that they have no competing interests.

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