Novel docetaxel-loaded nanoparticles based on poly(lactide-co-caprolactone) and poly(lactide-co-glycolide-co-caprolactone) for prostate cancer treatment: formulation, characterization, and cytotoxicity studies

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Abstract
Docetaxel (Dtx) chemotherapy is the optional treatment in patients with hormone-refractory metastatic prostate cancer, and Dtx-loaded polymeric nanoparticles (NPs) have the potential to induce durable clinical responses. However, alternative formulations are needed to overcome the serious side effects, also due to the adjuvant used, and to improve the clinical efficacy of the drug.

In the present study, two novel biodegradable block-copolymers, poly(lactide-co-caprolactone) (PLA-PCL) and poly(lactide-co-caprolactone-co-glycolide) (PLGA-PCL), were explored for the formulation of Dtx-loaded NPs and compared with PLA- and PLGA-NPs. The nanosystems were prepared by an original nanoprecipitation method, using Pluronic F-127 as surfactant agent, and were characterized in terms of morphology, size distribution, encapsulation efficiency, and in vitro release. To evaluate the potential anticancer efficacy of a nanoparticulate system, in vitro cytotoxicity studies on human prostate cancer cell line (PC3) were carried out. NPs were found to be of spherical shape with an average diameter in the range of 100 to 200 nm and a unimodal particle size distribution. Dtx was incorporated into the PLGA-PCL NPs with higher (p < 0.05) encapsulation efficiency than that of other polymers. Differential scanning calorimetry suggested that Dtx was molecularly dispersed in the polymeric matrices. In vitro drug release study showed that release profiles of Dtx varied on the bases of characteristics of polymers used for formulation. PLA-PCL and PLGA-PCL drug loaded NPs shared an overlapping release profiles, and are able to release about 90% of drug within 6 h, when compared with PLA- and PLGA-NPs. Moreover, cytotoxicity studies demonstrated advantages of the Dtx-loaded PLGA-PCL NPs over pure Dtx in both time- and concentration-dependent manner. In particular, an increase of 20% of PC3 growth inhibition was determined by PLGA-PCL NPs with respect to free drug after 72 h incubation and at all tested Dtx concentration. In summary, PLGA-PCL copolymer may be considered as an attractive and promising polymeric material for the formulation of Dtx NPs as delivery system for prostate cancer treatment, and can also be pursued as a validated system in a more large context.
Prostate cancer (PCa) is the most common male malignancy and the second leading cause of cancer death in Western industrialized countries [1]. The PCa progression does not only mean distant metastases but also development of hormone independence of its cells [2].

Docetaxel (Dtx)-based regimen is recommended as optional treatment in patients with hormone-refractory metastatic PCa, showing effective on locally advanced PCa and increasing overall survival [3]. Despite the recently reported promising outcome of Dtx, this drug is associated with systemic toxicity that limits the dose and duration of therapy, particularly in elderly patients. Adverse effects of Dtx treatment include hypersensitivity reactions, bone marrow suppression, cutaneous reactions, fluid retention, peripheral neuropathy, alopecia, cardiac disorders, and tiredness [4]. Moreover, to facilitate its clinical use, the Dtx poor water solubility requires a specific solvent system, such as ethanol/Tween 80 solution, which causes hypersensitivity reaction, reduced uptake by tumor tissue and increased exposure to other body compartments [5].

Therefore, alternative Dtx formulations are needed and nanoparticles (NPs)-based drug delivery systems are of special interest in addressing the major side effects of anticancer drug.

Recently, a number of polymers have been investigated for formulating biodegradable Dtx-loaded NPs for cancer chemotherapy. The most widely used class of biocompatible and biodegradable polymers, approved by Food and Drug Administration (FDA), is that of aliphatic polyesters, including poly(lactic acid) (PLA), poly(glycolic acid) (PLGA), and their copolymers [6].

However, these polymers are characterized by a high hydrophobicity and slow degradation rate that can represent in some cases a disadvantage for their use as drug carriers [7].

In the family of polyesters, polycaprolactone (PCL) shows an excellent biocompatibility and rapid degradability, good miscibility with a variety of polymers and great permeability, which make it a suitable candidate for drug carrier [8].

The methods for the preparation of NPs from the preformed polymers include nanoprecipitation, emulsification/solvent evaporation, emulsification/solvent diffusion, and salting out [9]. As for the nanoprecipitation, the particle formation is based on precipitation and subsequent solidification of the polymer at the interface between a solvent and a non-solvent [10]. Usually, surfactants or stabilizers are included in the process to modify the size and the surface properties, and to ensure the stability of the NP dispersion [9]. The most popular stabilizer for the production of PLGA-based NPs is poly(vinyl alcohol) (PVA). However, it has been found that residual PVA, which is very difficult to remove from the particles’ surface, causes relatively lower cellular uptake, thus resulting not satisfactorily biocompatible for the human body [11]. Therefore, other surfactants could be considered as valuable alternatives to PVA. Poloxamer, a block copolymer of poly(ethylene oxide)-poly(propylene oxide)-poly(ethylene oxide), commercially known as Pluronic, is another promising surface active agent approved by FDA for clinical use [12]. Additionally, several evidence demonstrated that poloxamers interact with multidrug-resistant tumors, resulting in a drastic sensitization of these tumors with respect to various anticancer agents [13,14].

The present work is aimed at investigating the influence of two previously unexplored biodegradable block-copolymers, such as poly(D,L-lactide-co-caprolactone) (PLA-PCL) and poly(L-lactide-co-caprolactone-co-glycolide) (PLGA-PCL), on the physicochemical and pharmaceutical properties of novel Dtx-loaded NPs, using PLA- and PLGA-NPs as comparison. The designed nanosystems were obtained by a modified nanoprecipitation technique, using Pluronic F-127 instead of PVA as stabilizing agent. The NPs were characterized in terms of morphology, encapsulation efficiency and crystalline structure, and in vitro release kinetics. Moreover, the antiproliferative efficacy of the most promising nanoparticulate prototype was assessed by in vitro cytotoxicity assay toward a human prostate cancer cell line (PC3).

Materials and methods

Materials

Poly(D,L-lactide) (PLA) (inherent viscosity 0.55 to 0.75 dl/g in chloroform), poly(D,L-lactide-co-glycolide) (PLGA) (lactide:glycolide 50:50 molar ratio, inherent viscosity 0.55 to 0.75 dl/g in hexafluoroisopropanol) with esters end groups were purchased from Lactel Absorbable Polymers (Pelham, AL, USA). Poly(L-lactide-co-caprolactone-co-glycolide) (PLGA-PCL) (L-lactide:glycolide:caprolactone 70:10:20 molar ratio) was kindly provided from Sigma-Aldrich (Steinheim, Germany). Poly(L-lactide-co-caprolactone) (PLA-PCL) (lactide:caprolactone 70:30 molar ratio) was obtained from Purac Biomaterials (Gorinchen, Netherlands). Pluronic® F-127 was obtained from Sigma-Aldrich (Steinheim, Germany). Docetaxel (purity 99%) was supplied by ChemieTek (Indianapolis, IN, USA). All other chemicals were analytical grade and were used without further purification.

Formulation of nanoparticles

Drug-loaded NPs were prepared by a novel nanoprecipitation method. Briefly, about 100 mg of polymer and drug (1%, w/w) were dissolved in 6 ml of acetonitrile.
The organic solution was then added dropwise under stirring to an aqueous solution of Pluronic F-127 (1% w/v, 30 ml). The milky colloidal suspension was evaporated at room temperature to remove the organic solvent. The suspension was centrifuged at 13,000 rpm for 10 min to separate the NPs from the unencapsulated drug and residual Pluronic. The pellet was washed thrice with ultrapure water. Drug-free NPs were produced in a similar manner. The obtained NP suspension was used immediately for assay or lyophilized for storage at -50°C.

Characterization of NPs

Particle size and size distribution
Mean diameter and size distribution of the NPs were measured by photon correlation spectroscopy with Coulter N4 plus particle size analyzer (Beckman Coulter, Fullerton, CA, USA). The samples were diluted with deionized water and sonicated for 5 min before measurement. Each analysis was carried out in triplicate.

Surface morphology
The morphological examination of NPs was performed by scanning electron microscopy (SEM) (model DSM 962; Carl Zeiss Inc., Oberkochen, Germany). A drop of NPs suspension was placed on a glass cover slide and dried under vacuum for 12 h. After that, the slides were mounted on aluminum stub and the samples were then analyzed at 20 kV acceleration voltage after gold sputtering, under an argon atmosphere.

Encapsulation efficiency and yield of production
To determine the drug loading, an aliquot of NPs (1.0 mg) was dissolved in 0.25 ml of acetonitrile/water (50/50, v/v) solution. Samples were centrifuged and the drug content in the supernatant was analyzed by HPLC determined by HPLC assay as described above and corrected for the dilution due to the addition of fresh medium.

In vitro drug release
For the in vitro release studies, about 10 mg of Dtx-NPs were suspended in 2.0 ml of release medium (phosphate buffer solution of pH 7.4 containing 0.1% w/v Tween 80). The tubes were then incubated at 37°C and shaken horizontally at 200 rpm (Thermomixer HLC BioTech, Bovenden, Germany). At predetermined time intervals, the tubes were centrifuged at 13,000 rpm for 10 min and 1.0 ml aliquots of medium were taken from supernatant and replaced with fresh solution. The collected samples were extracted with 0.2 ml dichloromethane, evaporated by nitrogen stream, and reconstituted in 100 μl mobile phase.

The concentration of Dtx released from the NPs was determined by HPLC assay as described above and corrected for the dilution due to the addition of fresh medium.

In vitro cytotoxicity assay
With respect to particle size, encapsulation efficiency, and yield of production results, the PLGA-PCL Dtx-loaded NPs were selected for the in vitro cytotoxicity studies. A batch of empty PLGA-PCL NPs was used as comparison.

Cancer cell viability of the drug-loaded NPs was evaluated by MTT assay. Hundred microliters of PC3 cells were seeded in 96-well plates (Costar, IL, USA) at the density of 5,000 viable cells/well and allowed to adhere for 24 h.

The cells were incubated with free Dtx, Dtx-loaded NP suspension at 0.05, 0.5, 5.0 μg/ml equivalent Dtx concentrations, and empty NPs with the same NP concentrations, for 24, 48, and 72 h, respectively. The selected concentrations were in the range which corresponds to plasma levels of the drug achievable in humans [16]. At designated time intervals, the medium was removed, and the wells were washed twice with PBS. Ten microliters of 3-(4,5-dimethyl-thiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) solution were
added to each well of the plate and incubated for another 1 to 4 h. The absorbance was measured at 560 nm using a microplate reader. Cell viability was calculated by the following equation:

\[
\text{Cell viability (\%)} = \left( \frac{\text{Abs}_{\text{sample}}}{\text{Abs}_{\text{control}}} \right) \times 100,
\]

where \(\text{Abs}_{\text{sample}}\) is the absorbance of the cells incubated with the NP suspension, and \(\text{Abs}_{\text{control}}\) is the absorbance of the cells incubated with the culture medium only (positive control). All experiments were repeated thrice.

**Statistical methodology**

The data are expressed as mean \pm standard deviation (S.D.). The significance of differences was assessed by one-way analysis of variance (ANOVA) (Origin® version 7.0 SR0, OriginLab Corporation, Northampton, MA, USA) and considered significant when \(p < 0.05\).

**Results and discussion**

**Characterization of NPs**

**Size, drug entrapment efficiency, and yields of production**

The nanoprecipitation method described here appeared to be a suitable technique to formulate Dtx-loaded NPs based on PLA and PLGA and their poly-caprolactone copolymers. The preparation was carried out by using a polymer concentration in organic phase of 3.3 mg/ml and a solvent:Pluronic® F-127 solution ratio of 1:5. Acetonitrile was selected as organic phase for the capability to solubilize the polymers and drug and its good water miscibility, that allows a fine polymer dispersion into water.

Moreover, although the most commonly used PVA emulsifier can make uniform particles with small size, it has been recently demonstrated that it can form an interconnected network with the PLGA polymer at the interface that would compromise the good redispersibility of NPs [11]. Additionally, the residual PVA associated with the surface of NPs would influence their physical properties and cellular uptake. Therefore, due to the above reasons, PVA was conveniently replaced with Pluronic in the current study.

The prepared NPs were characterized in terms of particle size and size distribution, morphology, encapsulation efficiency, and yield of production. The effect of different polymers on the characteristics of NPs is summarized in Table 1.

| Polymeric NPs | Size (nm) | PDI | EE (%) | YP (%) |
|---------------|-----------|-----|--------|--------|
| PLA           | 146.3 ± 23.5 | 0.198 ± 0.03 | 37.8 ± 30 | 51.9 ± 1.4 |
| PLGA          | 106.7 ± 13.5 | 0.108 ± 0.04 | 35.2 ± 26 | 68.2 ± 2.7 |
| PLA-PCL       | 194.8 ± 31.2 | 0.308 ± 0.08 | 19.7 ± 1.4 | 36.8 ± 1.6 |
| PLGA-PCL      | 114.8 ± 15.4 | 0.258 ± 0.07 | 47.2 ± 3.8 | 83.9 ± 3.3 |

Data are shown as mean \pm S.D., obtained from three formulations. PDI, polydispersity index; EE, encapsulation efficiency; YP, yield of production.

Conversely, the lower percentages of encapsulation efficiency and NP yield obtained with PLA-PCL copolymer might be explained with a partial and fast precipitation of polymer that occurs after addition of organic phase to surfactant solution. Yields of production ranged between 52 and 84%, with the highest values for NPs based on PLGA-PCL copolymers.

Furthermore, the size and particle size distribution of unloaded NPs were similar to the corresponding loaded batches, thus indicating that the presence of the drug did not influence these characteristics (data not shown).

**Surface morphology**

The morphology of NPs was investigated by SEM analysis. As displayed in Figure 2, all batches of NPs showed a spherical shape with a smooth surface, without any particle aggregation.

The partial fusion detected in some NP samples takes place during the analysis, where many NPs congregate together. Due to presence of the drug, no difference in the morphological properties of NPs drug was observed due to the presence of the drug.

**Differential scanning calorimetry (DSC)**

The physical state of Dtx inside the NPs was characterized by analysis of the DSC curves. The pure drug shows a characteristic endothermic peak that corresponds to
melting at 168°C, indicating a crystalline nature (Figure 3). The comparison between DSC thermograms of drug with unloaded and loaded NPs formulated with different polymers showed similar behaviors.

In all cases, the DSC scans revealed that Dtx melting peak totally disappeared in the calorimetric curve of loaded NPs, thus evidencing the absence of crystalline drug in the samples. These results suggested that the nanoencapsulation inhibited the crystallization of Dtx during NPs formation. Thus, it can be assumed that Dtx was present in the NPs either in an amorphous or disordered crystalline phase or in the solid solution state [6]. Meanwhile, the loaded systems exhibited the same endothermic events (at 58°C for PLA, 52°C for PLGA, 54°C for PLA-PCL, and 50 and 113°C for PLGA-PCL) of the corresponding unloaded NPs, referring to melting temperature (Tm) of polymers (Figure 3a to 3d), suggesting that the nanoencapsulation procedure did not affect the polymer structure.

**In vitro drug release**

The cumulative percentages of Dtx released from NPs based on different polymers as a function of time are reported in Figure 4. All formulations are characterized by similar release profiles, but the release rate is strongly affected by the properties of the polymer matrix. In particular, PLA-based NPs are characterized by the slower release (only about 60% of Dtx is released within 6 h) that can be attributed to the stronger hydrophobic interaction between hydrophobic domain of PLA and drug. Due to the presence of the hydrophilic glycolide units into the PLA polymer (50:50 molar ratio), in the case of NPs formulated with PLGA a completed dissolution of Dtx is achieved within the first 2 h of the test. In fact, the higher hydrophilicity of copolymer improved the water permeability into NPs with a more rapid drug diffusion as well as a faster degradation of the polymer [8]. As for PCL copolymers, the diffusion rate of drug from PLA-PCL NPs is higher at the first 2 h and levelled off after 3 h of the test, thus resulting almost superimposed to those of PLGA-PCL formulations. These results can be related to the similar composition of PLA-PCL and PLGA-PCL copolymers containing a lactide:caprolactone 70:30 and lactide:glycolide:caprolactone 70:10:20 molar ratio, respectively. Moreover, with respect to PLGA, a significant retard on dissolution rate of Dtx from PLGA-PCL
NPs alone was obtained, whereas an opposite effect is observed in the case of PLA-PCL copolymer compared to PLA-NPs. Furthermore, with respect to PLA homopolymer, the more hydrophilic block of caprolactone of PLA-PCL copolymer-based NPs leads to a significant improvement of Dtx released (85%, during the 6 h).

A complete release of Dtx is obtained for all samples after 24 h of the test.

In order to investigate the kinetic modeling of drug release from NPs, the dissolution profiles were fitted to zero order \((Q = k_0 \cdot t)\); first order \((\ln (100 - Q) = \ln Q_0 - k_1 \cdot t)\); Higuchi \((Q = k_{\text{H}} \cdot t^{1/2})\), and Korsmeyer-Peppas models [18].

Table 2 shows the correlation coefficient \((r^2)\) used as an indicator of the best fitting of the models considered for different Dtx-NPs batches. The \(r^2\) values for first order kinetics of different polymeric NPs (ranging between 0.8830 and 0.9921) were greater than that of Zero order and corresponded to that of the Higuchi’s square root of time (ranged between 0.8182 and 0.9813). Besides, to understand the drug release mechanism, the first 60% drug release data was fitted to Korsmeyer-Peppas exponential model

\[
\frac{M_t}{M_\infty} = K't^n,
\]

where \(M_t/M_\infty\) is fraction of drug released after time \(t\) and \(K'\) is kinetic constant and \(n\) is release exponent, which characterizes the different drug release mechanism [19]. Based on various mathematical models, the magnitude of the release exponent \(n\) indicates the release mechanism (Fickian diffusion, case II transport, or anomalous transport). The limits considered were \(n \leq 0.43\) (for a classical Fickian diffusion-controlled drug release) and \(n = 0.85\) (indicates a...
case II relaxational release transport; non-Fickian, zero-order release). Values of $n$ between 0.43 and 0.85 can be regarded as an indicator of both phenomena (drug diffusion in the hydrated matrix and the polymer relaxation) usually called anomalous transport [19]. In all formulations, $n$ values ranged from 0.5141 to 0.7842, suggesting an anomalous or non-Fickian diffusion, which is related to combination of both diffusion of the drug and dissolution of the polymer.

**In vitro cytotoxicity assay**

The *in vitro* cytotoxicity of Dtx both as free drug and loaded into PLGA-PCL NPs, at the same drug equivalent concentration of 0.05, 0.5, and 5.0 μg/ml, was evaluated by the MTT assay using PC3 as model prostate cancer cell line. Untreated PC3 cells as well as cells treated with empty PLGA-PCL NPs, with the same polymer content of that of the drug-loaded nanosystems, were used as comparison.

Figure 5 shows the viability of PC3 cancer cells, cultured with unloaded PLGA-PCL NPs, and Dtx-loaded PLGA-PCL NPs, after incubation for 24 h (a), 48 (b), and 72 h (c), in comparison with that of pure drug at 0.05, 0.5, and 5.0 μg/ml equivalent Dtx concentrations.

**Table 2** Correlation coefficients ($r^2$) and release exponent ($n$) of kinetic data analysis of Dtx release from different polymeric NPs

| Polymeric NPs | Zero order $r^2$ | First order $r^2$ | Higuchi $r^2$ | Korsmeyer-Peppas $r^2$ | $n$       |
|---------------|----------------|----------------|--------------|----------------------|----------|
| PLA           | 0.8875         | 0.9481         | 0.9813       | 0.9962               | 0.5141   |
| PLGA          | 0.6295         | 0.8830         | 0.8182       | 0.9942               | 0.6706   |
| PLA-PCL       | 0.7997         | 0.9503         | 0.9280       | 0.9956               | 0.7166   |
| PLGA-PCL      | 0.8797         | 0.9921         | 0.9747       | 0.9988               | 0.7842   |

Figure 5 Viability of PC3 cells cultured with drug-free nanoparticles (NP), docetaxel-loaded PLGA-PCL NPs (NP-Dtx) after 24 h (a), 48 h (b) and 72 h (c), in comparison with that of pure drug (Dtx) at the same dose ($n=3$). Asterisks, significantly different from control; circle, significantly different from each other.
Since no significant influence on cell viability was detected, drug-free NPs appeared nearly non-toxic to PC3 cells, even at a high NP concentration, thus confirming the good biocompatibility of PLGA-PCL copolymer [20].

On the whole, the results clearly demonstrated that Dtx formulated in PLGA-PCL NPs resulted more effective against cancer cells than free Dtx. Both the dose exposure and the incubation time played a major role in the cell toxicity of Dtx.

In particular, after 24 h incubation, the PC3 cell viability was decreased to about 65, 55, and 40% for PLGA-PCL NPs at 0.05, 0.5, and 5.0 μg/ml drug concentration, respectively, corresponding to an increase in cytotoxicity of 25% (p < 0.05) compared with that of free Dtx.

After 48 h incubation, the cytotoxicity was increased about 55, 60, and 70% for the PLGA-PCL-Dtx NPs and 25, 35, and 45% for free drug, respectively.

The more marked inhibition of cell growth was obtained for longer incubation period (72 h); at all tested Dtx concentration, PLGA-PCL NPs determined a significant (p < 0.05) increased of PC3 growth inhibition (about 20%) with respect to free drug. With an approximately 85% cell viability reduction, the strongest cytotoxic effect was achieved with NPs at 5.0 μg/ml Dtx concentration.

This finding is related with the mechanism of action of Dtx, which requires cell division to operate. In fact, for longer incubation periods, a larger number of cells enter G2 and M cell cycle phases, where Dtx selectively acts [3].

According to literature data, the higher cytotoxicity of the drug formulated into NPs can be attributed to the combination of different but not exclusive mechanisms. In fact, the NPs were adsorbed on the cell surface leading to an increase in drug concentration near the cell membrane, thus generating a concentration gradient that promotes the drug influx into the cell [16]. Besides, while part of free Dtx molecules, transported into the cytoplasm by a passive diffusion, were transported out by P-glycoprotein (P-gp) pumps, NPs are taken up by cells through an endocytosis pathway, thus resulting in a higher cellular uptake of the entrapped drug [22], thereby enabling them to escape from the effect of P-gp pumps. Moreover, intracellular delivery of Dtx NPs allows a drug accumulation near the site of action [23].

Conclusions
In this work, poly(lactide-co-caprolactone) and poly(lactide-co-caprolactone-co-glycolide) copolymers were successfully employed for the first time for the nanoencapsulation of Dtx, by using a modified nanoprecipitation method. Among the investigated copolymers, PLA-PCL resulted more effective than PLA-PCL-NPs to achieve NPs with small size, uniform distribution, and higher encapsulation efficiency. The in vitro studies proved an increased antiproliferative efficacy of PLGA-PCL-Dtx NPs compared with free Dtx, in a dose- and time-dependent manner, against PC3 cells.

In conclusion, the PLGA-PCL copolymer can be considered as alternative and promising biocompatible polymer to be used on development of NP-based drug delivery system for cancer chemotherapy.

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