International survey of ophthalmic anaesthesia service provision, protection of anaesthesia providers and patients during COVID-19 pandemic: a wake-up call

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AIMS: This international survey was conducted to study the impact of Covid-19 pandemic on the provision and practices of ophthalmic anaesthesia, evaluate the methods employed by parent ophthalmic units for safeguarding their anaesthesia providers and patients during lockdown, and to assess pandemic's effect on anaesthesia providers as individuals. The study was done with the hope that the results will help in protecting patients and safeguarding precious human resource by better management if this pandemic was to continue or there was to be another pandemic.

METHODS: An anonymous questionnaire survey was distributed electronically between December 2020–January 2021 to the practicing ophthalmic anaesthesia providers in different parts of the world.

RESULTS: The survey identified that apart from reducing elective operating services, the ophthalmic units were ill prepared for the pandemic and the overall management was lacklustre. There was a definite lack of effective peri-operative patient screening, and, streaming processes. Measures for personal protection of staff were not optimal especially during regional/local ophthalmic anaesthesia. Severity of the pandemic, sudden job plan changes, and redeployment to intensive care units/acute covid wards had an adverse psychological impact on the affected staff.

CONCLUSION: Ophthalmic anaesthesia services worldwide have had poor attentiveness to the life-threatening menace and reality of Covid-19 pandemic. A review of the institutional practices to address correctible deficiencies is urgently required. Robust, mandatory, elective, timely preventative strategies need to be implemented to protect patients, and, the precious ophthalmic workforce from potential adverse physical and psychological injuries.

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INTRODUCTION

Covid-19 pandemic has affected every corner of the planet, disturbed every human life in one form or another. The invisible airborne novel virus (SARS-CoV-2) with no pre-existing immunity in humans has cost millions of lives of various ages. It is truly lamentable that Dr Li Wenliang, an ophthalmologist, who was amongst the first few to raise the alarm about virulence and novelty of SARS-CoV-2, had his warnings ignored by relevant authorities [1]. He sadly died after contracting this deadly virus soon after its discovery in Wuhan, China.

Ophthalmic local/regional anaesthesia (LA/RA) is provided by both ophthalmologists and anaesthetists, the latter also provide sedation and general anaesthesia to patients spanning a wide spectrum of ages. It is now well established that the wildfire like human-to-human spread of this virus occurs primarily by inhalation of contagion laden aerosol as well as direct contact with, oral, nasal or even ocular route via contaminated hands. While it is well recognised that general anaesthesia in a Covid-19 positive patient creates a high ambient viral load exposing anaesthesia providers to an extremely high risk, what is not acknowledged is that LA/RA for eye may also pose similar dangers. This risk of droplet/aerosol transmission may be perhaps considered even higher during ophthalmic LA/RA compared to other peripheral regional nerve blocks as anaesthesia provider’s face is literally inches away from the patient’s airway.

Respiratory syndrome-coronavirus (SARS-CoV), with its high homology with the SARS-CoV-2 genome, has been well known to be transmitted by inoculation of conjunctiva, nasal, and oral mucosa. SARS-CoV had been shown to be present in the tears of three patients with early onset (within 9 days) by RT-PCR [2–4]. Conjunctivitis is present as the first sign in 0.8-3% of patients infected with Covid-19 [5]. Conjunctival hyperaemia, chemosis, retinal micro and overt flame-shaped haemorrhages, cotton wool spots, dilated veins, and tortuous vessels have all been described [6]. Ocular tissues serve as a reservoir for the SARS-CoV-2 virus from where it can migrate down to patient’s respiratory tract [7], becoming a source of onwards transmission.
Aims
We conducted this international survey with the primary aim to gauge the effect of pandemic on provision and practice of the ophthalmic anaesthesia and study the methods employed by parent ophthalmic units for protection of patients, and safeguarding health of their ophthalmic anaesthesia providers. The secondary aim was to assess the individual practices and estimate the effect the pandemic on the anaesthesia providers as individuals. The overall objective was to learn from the collective experiences and establish a minimum benchmark to improve safety of both anaesthesia providers and patients and be better prepared should the pandemic continue, become more widespread, or, if there was to be another pandemic of similar nature.

Methods and Materials
An anonymous 31-point questionnaire survey comprising of multiple-choice questions (MCQs) with option for comments (Appendix 1) was sent by email to 75 practicing ophthalmic anaesthesia providers from available contacts of attendees, speakers, and colleagues of the World Congress of Ophthalmic Anaesthesia (WCOA); British Ophthalmic Anaesthesia Society (BOAS); Ophthalmic Forum of Indian Society of Anaesthetists (OFISA); European Society of Ophthalmic Anaesthesia (ESOA) and some personal contacts of colleagues running non-government private units in different parts of world. The geographical distribution thus included Australia, Brazil, Egypt, France, Germany, India, Indonesia, Ireland, Italy, Russia, Singapore, South Africa, Switzerland, Turkey, UK and USA representing English and non-English speaking regions. There were no exclusion criteria as such except that we did not want to over represent any individual unit, or, region.

The questionnaire was ratified by the hospital Research & Development Committee (Sandwell and West Birmingham Hospitals NHS Trust, Birmingham, UK) and, a formal ethics approval was deemed unnecessary. The survey was conducted electronically between December 2020 and January 2021. Fifty-one responses were received giving a response rate of 68%.

Questionnaire design
The questions were designed to assess the institutional and individual practices in delivering ophthalmic anaesthesia during Covid-19 pandemic. These questions included the structure of the ophthalmic units, services provided, practices, policies, and equipment for protection of staff and patients in relation to general anaesthesia (GA), regional/local anaesthesia (LA/RA), sedation/monitored anaesthesia care (MAC) during the strict lockdown and relaxation periods of the pandemic in respective countries of the respondents. We also tried to assess if respondents had felt if their individual personal protection in the eye units/hospitals was robust and fit for the purpose (or not), and if the pandemic had affected the way they administered ophthalmic LA/RA.

We wanted to know if there were any differences between the care provided to patients receiving non ophthalmic RA (peripheral nerve/ regional blocks) compared to ophthalmic LA/RA during the pandemic. The survey also endeavoured to seek if ophthalmic anaesthesia providers were redeployed to provide services in the intensive therapy units, acute Covid wards, or, other areas. The respondents were asked if reshuffling or sudden change of their job plans and serious illness/ deaths of their colleagues caused by Covid-19 had had any detrimental psychological effects on them.

The questions were very detailed but they were not mandatory as it was felt that some questions might be too sensitive for some respondents. For similar reasons no attempt was made to identify the institutions or link the countries to the respondents.

Results
Even though the onset of lockdown and relaxation periods of different countries varied in duration and intensity, the overall essential protective strategies for staff and patient management were expected to be similar. As there were no mandatory questions, the number of responses received therefore varied for each question. Full results are included in Appendix 2.

A. Institutions, Individuals, and their usual practice in Pre-pandemic period
Seventy-eight percent of the respondents were anaesthetists, 22% were ophthalmologists. All units provided comprehensive ophthalmic services. Nearly sixty percent of the units/hospitals were funded by the government and 40% had private funding. Majority of the units (64.6%) were part of a main hospital complex but located in a standalone building, 35.4% were totally standalone, and, independent.

Teaching and training units constituted 85.7%; and 14.3% were non-teaching service units. Most units (75.5%) served all ages (adult and paediatric), 16.3% served adults and paediatric patients of age 3 years and over, 8.2% served only adults, no paediatrics.

71.4% units provided all services (emergency and elective) on 24/7 basis, 16.3% provided these during daytime only, and 12.2% delivered just elective work during daytime.

Patients of mixed races were served by 56.3% of the units, 22.9% served Caucasians/Europeans and 20.9% served Southeast Asian/Indian population.

The majority (89.4%) of the units had facilities to provide GA, 95.9% provide RA/LA of which 8.7% also provided sedation (MAC), and 85.7% units used topical of which only 7% offered sedation.

A vast majority of the respondents (85.1%) were regular ophthalmic anaesthesia providers for both elective and emergencies. 14.9% only provided emergency on-call services. The usual practice of 37.5% respondents included both needle based extraconal (peribulbar) and sub-Tenon's blocks; extraconal blocks were used by 35.3%, only sub-Tenon's by 29.2%, intracanal (retrobulbar) blocks by 4.45%, topical by 4.2%, sub- conjunctival injection by 2.1% and 4.2% did not do RA, just GA. 4.2% practiced none of these, infiltration LA only was done by 2.1%.

B. Changes in service provision at the onset of Covid-19 pandemic compared to Pre-pandemic period
At the onset of the pandemic ophthalmic surgical services had been reduced in the units of 55.1% respondents, 8.2% had significant reduction, and 34.7% had no change, 2% respondents didn't know if a change had happened.

During the total lockdown, 54.9% responses indicated that all elective ophthalmic surgery had stopped, 38.8% units had even further reduction in elective work, urgent/emergencies urgent cases continued as usual. 6.1% responded that there was no change from pre-pandemic or non- lockdown period, and 2% didn't know the answer.

C. Pre-admission Covid-19 antigen testing
C1. Elective General Anaesthesia (GA)
All elective GA patients in the respective units of 79.5% respondents were tested for Covid-19 antigen before admission, 12.2% respondents said only some patients were tested, 8.2% respondents’ units conducted no test at all. Reasons for not testing included hospital policy (25.8%), lack of time (19.4%) and lack of testing kits (16.1%).

C2. Urgent General Anaesthesia
For urgent GA cases, all patients in 60.5% respondents’ units were tested, 25% units were testing only some patients, 12.6% conducted no tests, and 4.2% respondents didn't know if any tests were being done. Reasons for not testing emergency GA patients were hospital policy (23.7%), lack of time (34.2%) lack of testing kits (13.1%), 2.6% didn't know the answer, others answers were not applicable.

C3. Elective Regional/Local anaesthesia (RA/LA) without sedation
in 44.9% of respondents’ units all above patients received mandatory pre-admission Covid-19 antigen tests, whilst in 20.4% respondents’ units only a few patients were tested, in
34.7% respondents’ units these patients received no test at all. This (no tests) number is much higher when compared to elective GA patients (8.2%). Reasons for not testing elective LA/RA (without sedation) patients were blanket hospital policy in 33.3% (note this number is higher than for elective GA which was 25.8%). 25.6% respondents’ units considered LA/RA patients not risky enough to warrant tests, 15.1% responses cited lack of testing kits etc, 5.4% mentioned lack of time and 2.6% lack of money, patient refusal accounted for 2.6%, other responses were not applicable.

C. Elective RA/LA with sedation/monitored anaesthesia care (MAC)

As per 53.2% responses, all patients receiving LA/RA + sedation/MAC had pre-admission covid testing (slightly higher than LA/RA without sedation), 14.9% responses indicated that only some patients were tested, and 31.9% respondents said their units did not test for these patients. The reasons were: blanket hospital policy not to test: 28.9%; 23.7% respondents indicated that their units didn’t consider LA/RA patients for sedation any riskier than LA/RA alone therefore did not justify testing; 13.2% cited lack of testing kits; 2.6% lack of time; and 2.6% lack of money. Remaining responses were not applicable.

D. Management of Non ophthalmic RA Vs Ophthalmic RA

As per 13% responses, the non-ophthalmic RA patients received different preoperative screening and testing procedures compared to ophthalmic ones, 69.6% respondents indicated that there was no difference, and 17.4% did not know the answer.

E. Peri-operative isolation, segregation and streaming of UNKNOWN, UNTESTED patients

One third respondents (28.6%) indicated that their units were not segregating their Covid-19 negative patients from those with unknown untested status patients, whilst 61.2% did, and 10.2% didn’t know the answer to the question.

F. Provision of personal protective equipment (PPE) for staff conducting RA/LA for UNKNOWN/UNTESTED patients

Interestingly only 44.8% anaesthesia providers were provided full advanced level 3 PPE (Fluid repellent gowns, gloves, Silicon or FFP 3 masks, face shields, eye and hair protection etc) whilst 36.4% had patchy inconsistent PPE such as varying combinations of simple surgical masks, gloves and eye protection. 16.3% respondents wore just simple surgical mask and gloves but no gown or eye protection. 4% did not deal with untested covid status patients.

G. Other protective barriers during LA/RA

Patients wore simple face covering during LA/RA in 46% respondents’ units, 31.2% said that in a barrier drape was placed on face and torso of patients in addition to face covering, 6.3% used a hard plastic aerosol box to cover head and torso, 2.1% respondents used no additional barrier at all, 2.1% left it to attending doctor, 2.1 didn’t know the answer. Others (10.5%) served only covid negative patients.

H. Redeployment of ophthalmic anaesthesia providers

Nearly 18.8% respondents were redeployed to acute Covid wards/ITU, 27.1% had reduced ophthalmic duty hours but were not redeployed, and 54.2% ophthalmic anaesthesia providers had no changes to their ophthalmic duties.

I. Regular Covid-19 antigen screening tests for patient facing staff

Patient facing staff were not being tested as per 65.3% responses, only 34.7% responses indicated that regular antigen tests for staff were being done in their units.

J. Life-threatening illness or death of a colleague within department due to confirmed Covid-19

Life-threatening serious illness or death of a colleague due to confirmed Covid-19 within the department was acknowledged by 16.3% of respondents, 81.6% had not come across this type of tragedy, and 2.1% did not know if there had been such issues in their units.

K. Concerns about personal health and well-being whilst serving patients with unknown, untested Covid-19 status

With respect to health and safety, 29.2% respondents were very concerned about their personal well-being, 50% were somewhat concerned, only 20.8% were not concerned.

L. Impression about suitability and adequacy of PPE provision by the parent ophthalmic unit

Two thirds of the respondent (75%) felt that PPE provision was adequate and safe, whilst 20.8% said it could have been better, 2.1% had access to better masks if required, 2.1% didn’t work in high-risk area.

DISCUSSION

The results of the survey demonstrate that the respondents were not a homogenous group, and, their ophthalmic units were not equal. These differences notwithstanding, the virus doesn’t make any distinction between a large or small, private or government funded unit, therefore, institutional/individual duty of care to patients and staff was expected to be similar.

At the onset of the pandemic, in general, most health care institutions were initially rather slow to protect the frontline workers, but later implemented policies to focus mainly on the emergency departments, and intensive care units. New policies included enhanced infection control in operating theatre; improved PPE for patient facing staff; screening patients for travel, contacts, systemic symptoms; mandatory pre-admission quarantine; pre-admission antigen tests for SARS-COV-2; and, on admission streaming patients into high, medium, and low risk depending on above criteria.

Our international survey of ophthalmic unit practices has identified that apart from cutting back of the elective operating services, preparedness and reaction to the pandemic, and, the methods employed to protect staff and patients were rather capricious, and at times, sub-optimal across the board. Ophthalmic anaesthetic units lagged behind their non-ophthalmic counterparts. Personal communication (SV) suggested that the practice to protect the patient facing Health Care Workers (HCWs) and anaesthesia providers/ophthalmologist varied widely not only from one hospital to another, but also across different departments within the same hospital.

It is well recognised that patient facing HCWs have been at an increased risk of contracting the virus during their daily work given the virulence and novelty of the SARS-COV-2. HCWs represented between 3.8% and 20% of the infected population given the virulence and novelty of the SARS-COV-2. HCWs and social care workers [9]. In the USA deaths of HCWs had increased risk of contracting the virus during their daily work given the virulence and novelty of the SARS-COV-2.

At the time of survey, sixteen ophthalmologists worldwide had been known to succumb to Covid-19 (American Academy). Re-current waves in some parts of the world such as India had resulted in countless further deaths of doctors and others HCWs.

The scientific literature and the World Health Organisation (WHO) had identified the high virulence of the contagion early on in the pandemic. Guidelines had been issued to identify and isolate suspected carriers [8]. There was plenty of evidence that not all carriers had overt symptoms, but were capable of...
onwards transmission. The only way to know if the person was a carrier was to perform a formal test for the contagion. Unfortunately, in this survey, pre-operative patient testing for antigen (RT-qPCR) wasn’t seen to be a requirement especially for RA/LA patients. This was true for even elective GA patients for whom in (8.5%) units no tests were conducted at all. Hospital policy, sadly, was responsible for not conducting pre-operative Covid antigen tests as per 1/4th of the responses; lack of testing kits and lack of time constituted other reasons, but it is not understandable why, and, how there wasn’t enough time for testing Elective patients.

As far as urgent GA cases were concerned, 37.6% units not conducting tests is possibly understandable as in the initial phases of the pandemic the antigen test (RT-qPCR) had slow turn around, yet some units (60.5%) performed better than others. Lack of time (34.2%) as a cause for not testing is a reasonable explanation but why a quarter of ophthalmic units implemented blanket policies not to test urgent GA patients at all, is not comprehensible.

Not testing Elective RA/LA patients is even more baffling. No pre-admission tests were done in 31.9% units (LA/RA + sedation), and in 34.7% (no sedation) group compared with 8.2% in elective GA set. Inconsistent/random testing was done in 14.9% (LA/RA + sedation) and 20.4% (LA/RA, no sedation) versus 12.4% for elective GA.

It is noteworthy that the volume of RA/LA had increased significantly during the pandemic to minimise general anaesthetics. The UK was an example where such transition had taken place and other countries wouldn’t have been any different [11]. Maintenance of social distance during administration of RA/LA is not practical. The fact that 1/5th of ophthalmic units (irrespective of RA or GA) didn’t even formally segregate untested covid patients from those who were covid negative, is alarming.

Furthermore, the fact that patients for non-ophthalmic RA had better/different perioperative screening/testing procedure compared to their ophthalmic counterparts within same hospital setting was even more puzzling.

It is possible that the reasons for above was the way ophthalmic RA had been perceived (incorrectly) in the context of aerosol transmission of this contagion. The initial focus had solely been on the AGPs (Aerosol generating hospital procedures, e.g. GA, intermittent positive pressure ventilation, intubation, extubation, suction, coughing etc) as opposed to AGBs (aerosol generating behaviours) e.g. breathing, speaking, loud phonation, sneezing, coughing, singing etc). Whilst it is well established that AGPs in infected patient produce high viral loads, AGBs also generate substantial droplets and aerosols, therefore their role in contagion transmission from an infected but undiagnosed patient must not be underestimated [12–22].

Ophthalmic RA awake patients tend to cough (often incessantly due to COPD), speak, (often loudly), and may sneeze during theatre journey. It is therefore conceivable that viral spillage and risk is no less than GA, and may in fact be more from untested, unsegmented patient especially during prolonged RA procedures (e.g. complex Cataract, Vitreoretinal or Glaucoma). During GA, the patient’s airway is sealed (Laryngeal mask airway/Endotracheal tube), unlike in RA. Additionally, the guard is down during RA/LA whereas in GA staff are more cautious, better equipped, and psychological wellbeing of HCWs [37]. Severe staffing difficulties were experienced by most institutions. Resources were redirected to ITUs caring for Covid-19 patients as elective procedures came to a halt [11]. HCWs were redeployed to acute units where an alien environment, unfamiliar equipment and protocols, complex intensive care interventions, apprehension about medicolegal adversities, personal health and safety, all took their toll. There was scant induction or re-training for these new
roles hence most people found ‘on the job’ learning curve incredibly stressful and very steep, (personal communication). Although some targeted literature was available for staff [38], it was not sufficient to allay anxieties. In this survey 18.8% respondents were redeployed to acute Covid units. Whilst ophthalmic anaesthetists performed at the deep end of critical care, other staff (including ophthalmologists), were deployed for ‘proning’ of Covid patients, maintenance of surface sterility, and porter services. Some respondents had witnessed Covid related severe illness and death amongst own colleagues/department. A large number said that they were concerned about their personal safety whilst serving patients with unknown covid status.

CONCLUSION
The survey is first of its kind in highlighting the impact of Covid-19 pandemic relating to ophthalmic anaesthesia providers. The results have shown that ophthalmic services worldwide had inadequate attention to the reality and threat of the pandemic. Preoperative screening of patients and streaming was inconsistent for GA, and inadequate for RA/LA. Provision of PPE for staff during RA/LA was not optimal. Even general anaesthesia set up was lack-lustre. The redeployment to unfamiliar acute units and severity of the pandemic had had an adverse psychological impact on the staff.

Ophthalmic HCs are a valuable and irreplaceable resource. We hope that the results will help in the preparedness for continuing waves and future pandemic of similar magnitude. It is imperative that going forwards robust, mandatory, preventive measures and practices are put in place to avoid potential physical and psychological damage.

Limitations of the survey
Authors acknowledge that this survey is not without limitations. A possible selection biases exists as anaesthesia providers in different countries follow their own local norms and protocols. In order to conduct the survey, we required ophthalmic anaesthesia providers serving actively in different parts of the world. Since we were not aware of any international database of such providers, we had approached Ophthalmic Anaesthesia Societies (they are limited to India, the UK and USA) with the hope of using their databases for recruitment of the participants, but unfortunately, we were denied access due to prevailing data protection acts.

The only option available to us was to use the contacts of organisers and delegates of the past ophthalmic anaesthesia conferences with a hope that they were still engaged in providing ophthalmic anaesthesia at the time of this survey. The recruitment was not done during any active conference as such, hence there was no personal influence, or peer pressure. Moreover, the responders were under no obligation to participate, or answer every question.

In terms of the questions, certain aspects of clinical practice were deemed to be too sensitive for the respondents and their institutions. Fear of medico-political trepidations meant that the questions relating to any loss of income (e.g., locums) when the elective ophthalmic work ceased, or the psychological impact of prolonged mandatory shielding/isolation for those who were not allowed to work due to institutional health and safety directives, were not asked.

We intentionally did not attempt to quantify the actual transmission of contagion within a given unit as it wasn’t the aim of the survey. There were already enough details of morbidity/mortality published in the world media. We just wanted to see if the units had attentiveness to prevent virus from spreading; and if effective practices were in place to protect their precious workforce and patients from avoidable harm.

Summary
What was known before
- There has never been a pandemic like Covid-19 before.
- There is no previous literature available that specifically highlights the effect of a pandemic like covid-19 on the ophthalmology services and its anaesthesia providers worldwide.

What this study adds
- This survey is first of its kind studying the impact Covid-19 pandemic relating to ophthalmic services and its anaesthesia providers.
- The results revealed that ophthalmology and ophthalmic anaesthesia service worldwide had inadequate attention to the reality and threat of the pandemic Preoperative patient screening, segregation, covid-19 antigen testing particularly for regional anaesthesia patients and PPE was sub-optimal. Ophthalmic Staff were redeployed to ITU and acute wards, eye services were cut back, and staff screening for the antigen was sporadic.
- The lack of effective screening processes, and protective measures for staff, even for general anaesthesia, bordered on being unsafe.
- It is imperative that going forwards robust, mandatory, preventive measures and practices are put in place to avoid irreversible physical and psychological disorders in Ophthalmic healthcare workforce.
- Authors recommended that institutional and individual practices are modified by training, education, and cross fertilisation of ideas.
- Procedures and policies based on scientific evidence need to be standardised with the aim to maintaining a goal of zero transmission of the current, or a future contagion.
- The patients receiving regional ophthalmic anaesthesia are just as capable of onwards transmission of the contagion due to AGBs, as are their general anaesthesia counterparts undergoing AGPs.
- Given that the pandemic is continuing to rage in some parts of the world despite vaccination, we sincerely believe there is no room for complacency.

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AUTHOR CONTRIBUTIONS

SBV conceptualised and designed the questionnaire, obtained rati

ADDITIONAL INFORMATION

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