Comparative genomics of ESBL-producing Escherichia coli (ESBL-Ec) reveals a similar distribution of the 10 most prevalent ESBL-Ec clones and ESBL genes among human community faecal and extra-intestinal infection isolates in the Netherlands (2014–17)

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Received 3 September 2020; accepted 19 November 2020

Introduction: The human gut microbiota is an important reservoir of ESBL-producing Escherichia coli (ESBL-Ec). Community surveillance studies of ESBL-Ec to monitor circulating clones and ESBL genes are logistically challenging and costly.

Objectives: To evaluate if isolates obtained in routine clinical practice can be used as an alternative to monitor the distribution of clones and ESBL genes circulating in the community.

Methods: WGS was performed on 451 Dutch ESBL-Ec isolates (2014–17), including 162 community faeces and 289 urine and blood isolates. We compared proportions of 10 most frequently identified STs, PopPUNK-based sequence clusters (SCs) and ESBL gene subtypes and the degree of similarity using Czekanowski’s proportional similarity index (PSI).

Results: Nine out of 10 most prevalent STs and SCs and 8/10 most prevalent ESBL genes in clinical ESBL-Ec were also the most common types in community faeces. The proportions of ST131 (39% versus 23%) and SC131 (40% versus 25%) were higher in clinical isolates than in community faeces (P < 0.01). Within ST131, H30Rx (C2) subclade was more prevalent among clinical isolates (55% versus 26%, P < 0.01). The proportion of ESBL gene blaCTX-M-1 was lower in clinical isolates (5% versus 18%, P < 0.01). Czekanowski’s PSI confirmed that the differences in ESBL-Ec from community faeces and clinical isolates were limited.

Conclusions: Distributions of the 10 most prevalent clones and ESBL genes from ESBL-Ec community gut colonization and extra-intestinal infection overlapped in majority, indicating that isolates from routine clinical practice could be used to monitor ESBL-Ec clones and ESBL genes in the community.

Introduction

In Europe, the number of bloodstream infections with Escherichia coli is rising, mainly driven by an increase in community onset infections. In Europe, E. coli is the most frequent cause of bloodstream and urinary tract infections, and an increasing proportion is caused by ESBL-producing E. coli (ESBL-Ec). The main human reservoir of ESBL-Ec is the gut of community dwelling individuals. In the Netherlands, the prevalence of intestinal ESBL-Ec carriage in the open population is approximately 5%. ST131 and ESBL genes of the blaCTX-M type currently dominate this human ESBL-Ec reservoir, replacing the TEM and SHV gene variants that dominated in the 1990s. With possible new variants likely to arise in time, molecular surveillance of the ESBL-Ec human reservoir is fundamental to track temporal changes and to allow early detection of important antibiotic-resistant strains.
Previous surveillance studies in the Netherlands that assessed ESBL-Ec carriage in the community provided valuable insight into the prevalence and population structure of the human community ESBL-Ec reservoir. Unfortunately, such studies are logistically challenging and costly and, therefore, not performed on a regular basis. Clinical isolates that are routinely obtained in primary or secondary healthcare settings could potentially serve as an alternative to monitor clones and ESBL genes in the community ESBL-Ec reservoir as proposed by Coque et al. in 2008. Here, we determined the genomic relatedness of human community fecal and clinical ESBL-Ec isolates using WGS, in order to determine whether ESBL-Ec isolates obtained in routine clinical practice could be used to monitor the clones and ESBL genes in the community gut reservoir.

Methods

Study design and population

Sample collection was fully described previously and included: (i) faecal ESBL-Ec isolates that originated from a Dutch cross-sectional open-population study performed between 2014 and 2016 (n = 162), and (ii) clinical ESBL-Ec isolates (n = 289). Clinical isolates were obtained from: (i) patients with community acquired (CA) urinary tract infection, prospectively collected in primary care in 2017 (n = 175); (ii) hospitalized patients with nosocomial urinary tract infection between 2014 and 2016 (further referred to as hospital-acquired (HA) urine isolates), retrospectively collected (n = 49); and (iii) hospitalized patients with a positive blood culture between 2014 and 2016, also retrospectively collected (n = 65). Participating centres were Saltro, a medical laboratory providing services to primary care practitioners, primarily in the Utrecht region, the University Medical Center Utrecht and the Amphia Hospital in Breda. Only the first available isolate per patient was included in the current study (Figure S1 and Table S1 [available as Supplementary data at JAC Online]).

Ethics

Individual informed consent was given by subjects participating in the surveillance study providing community faeces isolates (IRB number 14/219-C). For the use of clinical isolates the ethics review board of the University Medical Center Utrecht judged this study to be outside the scope of the Medical Research Involving Human Subjects Act and waived the need for official approval (IRB number 18/056). Based on the ‘Code of conduct for health research’ informed consent was not obtained.

Genotyping

WGS was performed on all isolates using Illumina HiSeq 2500, MiSeq, or NextSeq platforms. All generated raw reads are available in the European Nucleotide Archive (ENA) of the European Bioinformatics Institute (EBI) under the study accession numbers PRJEB35000 and PRJEB40007. De novo assembly was performed using SPAdes (v3.6.2). The quality of the assemblies was assessed using Quality Assessment Tool for Genome Assemblies (QUAST), using default settings. STs were inferred in silico with MLST (v2.0) using the Achtman scheme with tseemann/mlst (v2.0.15.1) (https://github.com/tseemann/mlst). Acquired ESBL genes were determined with a search against the ResFinder database (v3.1.0) using a minimal length of 80% and a minimal identity of 95% as cut-offs, using abricate (version 0.8.7) (https://github.com/tseemann/abricate). If the minimal length or identity of an acquired ESBL gene was above the before-mentioned threshold, but below 100%, we repeated the search with ResFinder (v3.2 webserver) using raw reads to confirm the ESBL gene type. ST131-clades were based on fimH-type, defined with FimTyper (version 1.0). As described previously, isolates with fimH41 are grouped to ST131-clade A, with fimH22 grouped to ST131-clade B and with fimH30 grouped to ST131-clade C. H30R (clade C1) is defined based on the presence of fluoroquinolone resistance (combined gyrA/parc mutations defined with ResFinder), while H30Rx (clade C2) is defined based on fluoroquinolone resistance and presence of ESBL gene blaCTX-M-15. Other observed fimH types were classified as either ST131-clade A, B or C (11)(2) based on their fluoroquinolone resistance, ESBL gene and position in the phylogenetic tree (Figure 1). Isolates in clade C1 carrying blaCTX-M-27 are described separately (Table 1).

Phylogeny and partitioning in whole-genome-based sequence clusters (SCs)

Genomic relatedness of ESBL-Ec isolates was determined using PopPUNK (v1.1.3), using default parameters. PopPUNK calculated a relative core and accessory distance for each pair in the dataset based on k-mer comparisons. The distance matrix produced by PopPUNK was used to infer phylogeny and assign strains or sequence clusters, in this article further referred to as SCs, representing sets of isolates similar in both their core and accessory genomes relative to the rest of the population. SCs were named after the most prevalent ST within the cluster. The adjusted Rand index was used to calculate the congruence between STs and SCs, where identical population partitioning was one, and completely different population partitioning was zero. A core genome neighbour-joining (NJ) tree was constructed using PopPUNK and an accessory genome NJ tree was constructed using fastcluster (v1.1.25) in R, with the distance matrix produced by PopPUNK. All trees were visualized with micoreact (v5.11.10).

Statistical analysis

Proportions with 95% CI of the 10 most occurring STs, SCs, ESBL genes and most common ST131 clades were compared between community faecal and clinical isolates, using a two-proportion z-test. Czekanowski’s proportional similarity index (PSI) was used to calculate the aggregate proportion of overlap between community faeces and clinical isolates. The PSI was calculated by: \( PSI = 1 - 0.5 \sum_{ki} k(p_i/peaces)k - p(i\text{clinical})k \), where \( p \) was the proportion of a observed subtype within ST- (e.g. ST131-STn), SC- (e.g. SC131-SCn), or ESBL gene-level (e.g. blaCTX-M-15-blaCTX-M-27), respectively. Ninety-five percent CIs were calculated using 5000 bootstrap iterations. The observed PSIs were tested against the expected PSIs under the null hypothesis that there was no difference between community faecal and clinical isolates using a permutation test. Isolates were randomly relabelled as having a faecal or clinical source, creating a permutation distribution from 5000 iterations. In the simulated permutation distribution, ST, SC and ESBL gene assignment was independent from sample origin. P value was the probability of the observed PSI (PSIobs) under the null hypothesis. A P value < 0.05 was considered statistically significant.

Subgroup analyses were performed for the different types of clinical samples (CA-urine, HA-urine and blood) to explore if a certain sample group could be used as proxy for molecular surveillance. Furthermore, a post hoc analysis, repeating the analysis without ST131 was performed to assess if ST131 was the sole explaining factor for the observed difference between community faecal and clinical isolates.

All calculations were performed in RStudio Version 1.1.456.35

Results

Distribution of STs

In total, 108 different STs were identified among the 451 isolates. The three most common STs were ST131 (34%), ST38 (10%) and ST1193 (4%) (Figure S2a). Twenty-six STs were present in both community faecal and clinical isolates, together these accounted for 82% of all isolates. The remaining STs consisted mostly of
singletons (Table S2). Of the 10 most frequently occurring STs in clinical isolates, nine also belonged to the 10 most frequently occurring STs in community faeces isolates (Figure 2a). Only ST95 was not found among community faeces isolates. These top 10 STs represent 54% of faecal isolates and 67% of clinical isolates.

ST131 was significantly more often observed in clinical isolates (39%) than community faecal isolates (23%, \( P < 0.01 \)) (Figure 2a).

Among ST131 isolates, 48% represented the H30Rx (clade C2) type and were significantly more frequently observed among clinical isolates (55% versus 26%, \( P < 0.01 \)) (Table 1).

**Distribution of SCs**

Seventy-five different SCs were assigned by PopPUNK, which were congruent with ST assignment (adjusted Rand index 0.93). The three most prevalent SCs corresponded to SC131 (35%), SC38 (12%) and SC10 (8%). Twenty-four SCs were found both in community faecal and clinical isolates, accounting for 82% of community faecal and 95% of clinical isolates (Table S3). Of the 10 most frequently occurring SCs in clinical isolates, nine also belonged to the 10 most frequently occurring SCs in community faeces isolates, representing 64% of faecal isolates (Figure 2b). The exception was SC95 (\( n = 5 \), clinical isolates). SC131 was significantly more frequently observed among clinical isolates (40%) than in community faecal isolates (25%) (Figure 2b).

**Distribution of ESBL genes**

In total, 453 ESBL genes were identified, representing 16 different ESBL genes, of which 97%, belonged to 12 variants of the bla\(_{CTX-M}\) family. The remaining four genes were bla\(_{SHV-12}\) (1.8%, \( n = 8 \)), bla\(_{TEM-52}\) (0.7%, \( n = 3 \)) and two genes belonging to the bla\(_{TEM}\) family (0.4%, \( n = 2 \)). The three most prevalent ESBL genes were bla\(_{CTX-M-15}\) (48%), bla\(_{CTX-M-14}\) (17%) and bla\(_{CTX-M-27}\) (16%). Nine ESBL genes were found in both community faecal and clinical isolates, accounting for 98% of all isolates (Table S4). Two urine

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**Figure 1.** Neighbour-joining trees. (a) Core genome – nodes coloured according to ST (10 most frequent), (b) accessory genome – nodes coloured according to ST (10 most frequent) and (c) core genome ST131 – nodes coloured according to clade (nodes with a singleton fimH type indicated separately). Constructed with PopPUNK. Online view core tree (https://microreact.org/project/Vmzcsy2gy938965ce), accessory tree (https://microreact.org/project/9Iums0y011f42f48), core genome ST131-subtree (https://microreact.org/project/Vmzcsy2gy10719879). Sample: community urine (CA), nosocomial urine (HA).
isolates each harboured two ESBL genes (bla\textsubscript{CTX-M-27} and bla\textsubscript{C}\textsubscript{TX-M-55}). Of the 10 most frequently occurring ESBL genes in clinical isolates, eight also belonged to the most frequently occurring ESBL genes in community faeces, representing 98% of faecal isolates (Figure 2c). The exceptions were bla\textsubscript{CTX-M-9} (three clinical isolates) and bla\textsubscript{CTX-M-2} (two clinical isolates) (Figure 2c). The prevalence of bla\textsubscript{CTX-M-15} was lower in clinical (5%) than in community faecal isolates (18%, \textit{P}<0.01) (Figure 2c).

Core and accessory phylogenies

To determine the genomic relatedness of ESBL-Ec community faecal and clinical isolates, a core genome and accessory genome NJ tree was constructed based on core and accessory genome distance matrices generated by PopPUNK (Figure 1). Both core genome and accessory genome-based trees showed that the community faecal and clinical ESBL-Ec populations were diverse with no distinct clustering of community faecal and clinical isolates. This indicated that in this dataset the faecal and clinical ESBL-Ec isolates did not constitute two distinct subpopulations based on evolutionary origin or genetic repertoire. Of the four most prevalent ESBL genes, bla\textsubscript{CTX-M-15} was observed throughout the trees, while bla\textsubscript{CTX-M-27} concentrated in ST131 (SC131), ST38 (SC38) and ST1193 (SC1193). The bla\textsubscript{CTX-M-14} gene concentrated mostly in ST38 (SC38), and bla\textsubscript{CTX-M-1} concentrated in ST58 (SC58) and ST88 (SC88). We generated a subtree based on the core genome of only ST131 isolates (Figure 1c) and plotted the fimH subclade type. A separate clustering was observed for H41 (clade A), H22 (clade B) and H30 (clade C). However, H30R (clade C1) and H30Rx (clade C2) occurred alternatingly. Within H30R (clade C1), the majority of isolates carried bla\textsubscript{CTX-M-27}. Among H30Rx, community faecal isolates were underrepresented.

PSI

To quantify the degree of similarity between the frequency distributions of STs, SCs and ESBL genes among community faeces and clinical isolates, the PSI was calculated, which is interpreted as a proportion of overlap between the two sample groups. For the frequency distributions of STs, the PSI\textsubscript{obs} was 0.55, while the expected PSI (PSI\textsubscript{exp}) under the null hypothesis was 0.67 (\textit{P}<0.01) (Figure 3). A similar result was seen for frequency distributions of SCs (PSI\textsubscript{obs} 0.68 versus PSI\textsubscript{exp} 0.76; \textit{P}<0.01) and ESBL genes (PSI\textsubscript{obs} 0.81 versus PSI\textsubscript{exp} 0.91; \textit{P}<0.01), respectively (Figure 3). These PSI differences of 0.12 for STs, 0.08 for SCs and 0.10 for ESBL genes can be interpreted as limited within the possible range of 0.00–1.00.

Subgroup and sensitivity analyses

Subgroup analyses of the clinical samples separately revealed that the similarity of community faeces and community urine was equal to that of community faeces and all clinical isolates combined (PSI\textsubscript{obs} ST 0.54; SC 0.65; ESBL gene 0.78) (Figure 4 and Figures S3–S5). The sensitivity analysis excluding ST131 from the dataset did not eliminate the observed difference in frequency distributions of STs, SCs and ESBL genes, expressed as PSI, between human faecal and clinical ESBL-Ec isolates (Figures S6–S9).

Discussion

In this study, we used WGS on 451 Dutch ESBL-Ec isolates to assess the degree of similarity of human community faecal and human clinical isolates. The distribution of the 10 most frequently found STs, SCs and ESBL genes for the two groups was very similar. Nine out of 10 most prevalent STs and SCs in clinical isolates were also the most common types in community faeces. These nine STs made up more than half of all community faecal isolates. Eight out of the 10 most prevalent ESBL genes in clinical isolates were also the most common types in community faeces, which represented virtually all (98%) community faecal isolates. Furthermore, phylogenetic inferences did not reveal distinct clustering based on sample group.

The absence of distinct phylogenetic clustering of \textit{E. coli} isolates based on source group is in line with earlier research,\textsuperscript{36,37} as well as the observed overlap of the 10 most common STs and SCs in community faeces and clinical isolates in ESBL-Ec.\textsuperscript{6,8} Also, the observed higher prevalence of ST131, particularly the higher prevalence of ST131 clade C2 H30Rx (55% versus 26% of ST131 isolates)
in clinical isolates is in line with earlier findings. It has been postulated that due to multiple evolutionary events, such as acquisition of adaptive elements, ST131 has greater pathogenic potential than other STs. Our study shows that ST131 was indeed more prevalent among extra-intestinal infection, but that this ST was also the dominant ST in community faecal carriage of ESBL-Ec. A recent epidemiological surveillance study by Day et al. in the UK also found a higher prevalence of ST131 in ESBL-Ec blood isolates (64%) compared with ESBL-Ec from faeces (36%). Notably, the absolute prevalence of ST131 in faecal isolates was considerably higher than in our study, which is possibly related to differences in the local epidemiology and sample collection. Faecal isolates included in the study by Day et al. were recovered from faeces samples that were collected for specific diagnostic purposes, such as occult blood screening (a screening method for colon cancer), or the detection of intestinal pathogens, while in our study, a random sample of the Dutch open population was invited to provide a faecal sample. A lower prevalence of bla_{CTX-M-1} in clinical isolates is also in line with earlier research. The bla_{CTX-M-1} gene was previously described as an important ESBL gene in intestinal carriage and non-human reservoirs. Taking this into account, we hypothesize that this ESBL gene is more often accompanied by strains of lower virulence for humans. For our study this implies that the observed higher prevalence of ST131 and SC131, the lower prevalence of bla_{CTX-M-1}, and subtle differences in other clone/ESBL gene type distributions, expressed in the PSI, could be the reflection of a relatively higher prevalence of certain, possibly more virulent, strains in our clinical sample collection (Table S1).

To our knowledge, this is the most in depth comparative genomic assessment of ESBL-Ec found in community gut colonization and extra-intestinal infection to date, in a set of samples taken from a confined geographical region (the Netherlands) and from the same time period (2014–17). We used the Czekanowskii's PSI to quantify the degree of similarity based on the distribution of frequencies of STs, core and accessory genome-based SCs, and ESBL

Figure 2. Proportions of the 10 most frequent genetic subtypes in clinical isolates for (a) STs, (b) SCs and (c) ESBL gene types. Proportions of ST131, SC131 and bla_{CTX-M-1} differed between clinical and community faecal isolates; P<0.01. P value derived from χ^2 statistic. All other proportions did not differ significantly. This figure appears in colour in the online version of JAC and in black and white in the print version of JAC.
genes between community gut colonization and extra-intestinal infection of ESBL-Ec. This measure, originating from ecology, has been used to express similarity between populations of several bacterial species. The analysis revealed that the genomic make-up of community and clinical isolates did not entirely overlap; however, the difference in PSI_{obs} and PSI_{exp} ranged from 0.08 to 0.12, which could be interpreted as limited. Dorado-García et al. used the PSI to assess overlap in different reservoirs for ESBL genes and plasmid replicon types. That study found an PSI (human general population versus extra-intestinal infection) of 0.7 for ESBL genes (which was comparable to the PSI of 0.8 found in our study). While, for example, the PSI for ESBL genes in the human general population versus chicken meat at the slaughterhouse was 0.3. In a post hoc analysis we excluded the hypothesis that the difference in proportion of ST131 among faecal and clinical isolates was the sole factor that contributed to the observed difference in genomic make-up between the two ESBL-Ec sample groups. It was a deliberate choice not to include plasmid replicon types in this article, as comparing only replicon types would not reveal the full degree of similarity of complete ESBL gene carrying plasmids between the two ESBL-Ec populations.

Due to the cross-sectional nature of this study, our sample collection did not allow an analysis of temporal changes in circulating clones among community faecal and clinical ESBL-Ec. Furthermore, the subgroup sizes limited the subgroup analyses of the different sample types; in particular the number of ESBL-Ec isolates from nosocomial urine was small, leading to low precision. However, primary care urine was found to be equally similar to community faeces as all clinical isolates combined, indicating that primary care urine alone could be a good source for molecular surveillance. Culture indications may vary per country, particularly urine cultures in primary care. This may limit generalizability of our results outside the Netherlands. Furthermore, we did not have information on what proportion of primary care urines was healthcare associated, e.g. from patients with a recent hospitalization. All in all, the findings in this study indicate that primary care urine, nosocomial urine and blood collected in routine clinical practice provided a reliable overview of the most common circulating clones and ESBL genes within the human community ESBL-Ec reservoir. We propose molecular surveillance of the human ESBL-Ec reservoir to be implemented in the following way: (i) continuous monitoring of trends of the most frequent clones and ESBL genes using primary care urine isolates, and (ii) when the results from primary care urine demonstrate large shifts in clonal/ESBL gene distribution, proceed with conducting a point prevalence measurement of community colonization to assess clone/ESBL gene distribution and ESBL-Ec prevalence of community gut colonization, and to confirm the findings in primary care urine.

To conclude, our findings indicate that in the Netherlands the distribution of the 10 most prevalent clones and ESBL genes in community gut colonization and extra-intestinal disease causing ESBL-Ec are predominantly the same. Based on this, we postulate that clinical isolates collected in routine practice are suitable to monitor the most important clones and ESBL genes in the ESBL-Ec reservoir in the human community.
Acknowledgements
Preliminary results of this paper were presented at the Twenty-Ninth European Congress of Clinical Microbiology and Infectious Diseases, Amsterdam, the Netherlands (Poster number: P1449).

We would sincerely like to thank Kim van der Zwaluw, Carlo Verhulst and Judith Vlooswijk for their contributions in the laboratory execution of the study. We would like to thank Lapo Mughini-Gras for his contribution in the methodological framework of the study. Lastly, we would like to thank Daniela Cianci and Peter Zulthoff for their statistical support.

Funding
This study was internally funded by the Netherlands National Institute for Public Health and the Environment (RIVM) and the University Medical Center Utrecht (UMCU). R.J.L.W. was supported by the Joint Programming Initiative in Antimicrobial Resistance (JPIAMR Third call, STARCS, JPIAMR2016-AC16/00039).

Transparency declarations
None to declare.

Author contributions
T.D.V.: conceptualization, data curation, formal analysis, investigation, methodology, project administration, software, validation, visualization, writing – original draft preparation, writing – review and editing. D.V.H.: conceptualization, data curation, investigation, methodology, project administration, software, validation, writing – review and editing. S.A.-A.: formal analysis, writing – review and editing. A.C.F.: formal analysis, writing – review and editing. E.A.R.: conceptualization, data curation, formal analysis, investigation, methodology, software, supervision, validation, writing – review and editing. T.B.: conceptualization, data curation, formal analysis, investigation, methodology, software, supervision, validation, writing – review and editing. M.J.M.B.: conceptualization, formal analysis, methodology, investigation, methodology, funding acquisition, resources, software, supervision, writing – review and editing. J.A.J.W.K.: conceptualization, formal analysis, methodology, investigation, methodology, funding acquisition, resources, supervision, writing – review and editing. J.T.: formal analysis, writing – review and editing. J.A.J.W.K.: conceptualization, formal analysis, methodology, investigation, methodology, funding acquisition, software, supervision, writing – review and editing.

Supplementary data
Tables S1 to S4 and Figures S1 to S9 are available as Supplementary data at JAC Online.

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