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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  Mengyuan
2. Surname (Last Name)  Liu
3. Date  24-April-2020
4. Are you the corresponding author?  Yes  ✔  No
5. Manuscript Title
   The contribution of hereditary cancer-related germline mutations to lung cancer susceptibility
6. Manuscript Identifying Number (if you know it)
   TLCR-19-403

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If yes, please fill out the appropriate information below.

| Name of Entity | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments |
|----------------|--------|----------------|------------------------|--------|----------|
| HaploX Biotechnology | ✔ | ✔ | ✔ | ☐ | Employment |

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  Yes  ✔  No
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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Xinyi
2. Surname (Last Name) Liu
3. Date 26-April-2020
4. Are you the corresponding author? ☑ Yes  ❌ No
   Corresponding Author’s Name Lele Song
5. Manuscript Title
   The contribution of hereditary cancer-related germline mutations to lung cancer susceptibility
6. Manuscript Identifying Number (if you know it)
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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  Peisu
2. Surname (Last Name)  Suo
3. Date  22-April-2020
4. Are you the corresponding author?  Yes  No  ✔
   Corresponding Author’s Name  Lele Song
5. Manuscript Title
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| HaploX Biotechnology   |        |                |                        |        | Employment|

Section 4. Intellectual Property -- Patents & Copyrights

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Section 1. Identifying Information

1. Given Name (First Name)  
Yuan

2. Surname (Last Name)  
Gong

3. Date  
23-April-2020

4. Are you the corresponding author?  
☑ Yes  ☐ No

Corresponding Author’s Name  
Lele Song

5. Manuscript Title  
The contribution of hereditary cancer-related germline mutations to lung cancer susceptibility

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Dr. Gong has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Baolin
2. Surname (Last Name)  
   Qu
3. Date  
   24-April-2020
4. Are you the corresponding author?  
   Yes
   ✔ No
   
   Corresponding Author's Name
   Lele Song

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Dr. Qu has nothing to disclose.

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**Other:** Anything not covered under the previous three boxes

**Pending:** The patent has been filed but not issued

**Issued:** The patent has been issued by the agency

**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Xiumei
2. Surname (Last Name) Peng
3. Date 22-April-2020
4. Are you the corresponding author? ☑ No
5. Manuscript Title
   The contribution of hereditary cancer-related germline mutations to lung cancer susceptibility
6. Manuscript Identifying Number (if you know it)
   TLCR-19-403

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? ☑ No

Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? ☑ No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☑ No
ICMJE Form for Disclosure of Potential Conflicts of Interest

**Section 5. Relationships not covered above**

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- [ ] Yes, the following relationships/conditions/circumstances are present (explain below):
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**Section 6. Disclosure Statement**

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Peng has nothing to disclose.

**Evaluation and Feedback**

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Xiao
# ICMJE Form for Disclosure of Potential Conflicts of Interest

## Section 1. Identifying Information

1. **Given Name (First Name)**
   - Wenhua

2. **Surname (Last Name)**
   - Xiao

3. **Date**
   - 25-April-2020

4. **Are you the corresponding author?**
   - Yes [ ]
   - No [x]

   **Corresponding Author’s Name**
   - Lele Song

5. **Manuscript Title**
   - The contribution of hereditary cancer-related germline mutations to lung cancer susceptibility

6. **Manuscript Identifying Number (if you know it)**
   - TLCR-19-403

## Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?  
- Yes [ ]
- No [x]

## Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest?  
- Yes [ ]
- No [x]

## Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
- Yes [ ]
- No [x]
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 5. Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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Section 6. Disclosure Statement
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Dr. Xiao has nothing to disclose.

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# ICMJE Form for Disclosure of Potential Conflicts of Interest

## Identifying Information

1. **Given Name (First Name)**
   - Yuemin

2. **Surname (Last Name)**
   - Li

3. **Date**
   - 24-April-2020

4. **Are you the corresponding author?**
   - Yes

5. **Manuscript Title**
   - The contribution of hereditary cancer-related germline mutations to lung cancer susceptibility

6. **Manuscript Identifying Number (if you know it)**
   - TLR-19-403

## The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  
Are there any relevant conflicts of interest?  
- Yes
- No

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- Yes
- No

## Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
- Yes
- No
ICMJE Form for Disclosure of Potential Conflicts of Interest

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Dr. Li has nothing to disclose.

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Section 1. Identifying Information

1. Given Name (First Name)  
Yan

2. Surname (Last Name)  
Chen

3. Date  
24-April-2020

4. Are you the corresponding author?  
☐ Yes  ☑ No

Corresponding Author’s Name  
Lele Song

5. Manuscript Title  
The contribution of hereditary cancer-related germline mutations to lung cancer susceptibility

6. Manuscript Identifying Number (if you know it)  
TLCR-19-403

Section 2. The Work Under Consideration for Publication

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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
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ICMJE Form for Disclosure of Potential Conflicts of Interest

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Dr. Chen has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

| 1. Given Name (First Name) | Zhen |
|---------------------------|------|
| 2. Surname (Last Name)    | Zeng |
| 3. Date                   | 26-April-2020 |
| 4. Are you the corresponding author? | No |
| 5. Manuscript Title       | The contribution of hereditary cancer-related germline mutations to lung cancer susceptibility |
| 6. Manuscript Identifying Number (if you know it) | TLCR-19-403 |

### Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? | No |

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Are there any relevant conflicts of interest? | No |

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Dr. Zeng has nothing to disclose.

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Section 1. Identifying Information

1. Given Name (First Name)  
   Yinying

2. Surname (Last Name)  
   Lu

3. Date  
   26-April-2020

4. Are you the corresponding author?  
   Yes ☐  No ☑

   Corresponding Author’s Name  
   Lele Song

5. Manuscript Title  
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   TLCR-19-403

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Are there any relevant conflicts of interest?  
   Yes ☐  No ☑

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Are there any relevant conflicts of interest?  
   Yes ☐  No ☑

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
   Yes ☐  No ☑
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 5. Relationships not covered above

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Section 6. Disclosure Statement

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Dr. Lu has nothing to disclose.

Evaluation and Feedback

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Section 1. Identifying Information

1. Given Name (First Name)  
   Tanxiao

2. Surname (Last Name)  
   Huang

3. Date  
   24-April-2020

4. Are you the corresponding author?  
   ☑ Yes  ☐ No

   Corresponding Author’s Name  
   Lele Song

5. Manuscript Title  
   The contribution of hereditary cancer-related germline mutations to lung cancer susceptibility

6. Manuscript Identifying Number (if you know it)  
   TLCR-19-403

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|---------------------|--------|----------------|------------------------|--------|----------|
| HaploX Biotechnology | ☐      | ☐             | ☑                      | ☐      | Employment |

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Yingshen

2. Surname (Last Name)  
   Zhao

3. Date  
   27-April-2020

4. Are you the corresponding author?  
   ☐ Yes  ✔ No

Corresponding Author’s Name
   Lele Song

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| HaploX Biotechnology          |        |                 | ✔                      |        | Employment |

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Ming
2. Surname (Last Name) Liu
3. Date 26-April-2020
4. Are you the corresponding author? ☑ Yes  No
5. Manuscript Title
   The contribution of hereditary cancer-related germline mutations to lung cancer susceptibility

6. Manuscript Identifying Number (if you know it)
   TLCR-19-403

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|------------------------|-------|---------------|-----------------------|-------|----------|
| HaploX Biotechnology   | ☑     | ☒             | ☑                     | ☑     | Employment |

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  Lifeng
2. Surname (Last Name)  Li
3. Date  28-April-2020
4. Are you the corresponding author?  Yes  ✔  No
5. Manuscript Title
   The contribution of hereditary cancer-related germline mutations to lung cancer susceptibility
6. Manuscript Identifying Number (if you know it)
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|-----------------------------|-------------------------|---------|
| Yaru                        | Chen                    | 27-April-2020 |

4. Are you the corresponding author? [ ] Yes [✔] No

Corresponding Author’s Name

Lele Song

5. Manuscript Title
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Dr. Chen reports non-financial support from HaploX Biotechnology, outside the submitted work.

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4. **Intellectual Property.**

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. **Relationships not covered above.**

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**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent
Section 1. Identifying Information

1. Given Name (First Name)  
Yanqing

2. Surname (Last Name)  
Zhou

3. Date  
25-April-2020

4. Are you the corresponding author?  
☑ Yes  ☐ No  
Corresponding Author’s Name  
Lele Song

5. Manuscript Title  
The contribution of hereditary cancer-related germline mutations to lung cancer susceptibility

6. Manuscript Identifying Number (if you know it)  
TLCR-19-403

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Are there any relevant conflicts of interest?  
☑ Yes  ☐ No

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☑ Yes  ☐ No

If yes, please fill out the appropriate information below.

| Name of Entity                        | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments |
|---------------------------------------|--------|----------------|------------------------|--------|----------|
| HaploX Biotechnology                  | ☐      | ☐              | ☑                      | ☐      | Employment |

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
☐ Yes  ☑ No
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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  Guifeng
2. Surname (Last Name)  Liu
3. Date  22-April-2020
4. Are you the corresponding author?  Yes  ✔  No
5. Manuscript Title
The contribution of hereditary cancer-related germline mutations to lung cancer susceptibility
6. Manuscript Identifying Number (if you know it)
TLCR-19-403

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest?  Yes  ✔  No

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| Name of Entity         | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments |
|------------------------|--------|----------------|------------------------|--------|----------|
| HaploX Biotechnology   |        |                | ✔                      |        | Employment |

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  Yes  ✔  No
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Section 5. Relationships not covered above

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Section 1. Identifying Information

1. Given Name (First Name)  Jianfei
2. Surname (Last Name)  Yao
3. Date  24-April-2020
4. Are you the corresponding author?  Yes  ✔  No
   Corresponding Author’s Name  Lele Song
5. Manuscript Title
   The contribution of hereditary cancer-related germline mutations to lung cancer susceptibility
6. Manuscript Identifying Number (if you know it)
   TLCR-19-403

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  Yes  ✔  No

Are there any relevant conflicts of interest?  Yes  ✔  No

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| Name of Entity       | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments |
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| HaploX Biotechnology  |        |                |                        | ✔      | Employment |

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  Yes  ✔  No
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ICMJE Form for Disclosure of Potential Conflicts of Interest

**Section 1. Identifying Information**

1. Given Name (First Name)  
   Shifu

2. Surname (Last Name)  
   Chen

3. Date  
   28-April-2020

4. Are you the corresponding author?  
   Yes ❌ No

   Corresponding Author’s Name  
   Lele Song

5. Manuscript Title  
   The contribution of hereditary cancer-related germline mutations to lung cancer susceptibility

6. Manuscript Identifying Number (if you know it)  
   TLCR-19-403

**Section 2. The Work Under Consideration for Publication**

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Are there any relevant conflicts of interest?  
   Yes ❌ No

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| HaploX Biotechnology       |        |                |                        |        | Employment |

**Section 4. Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
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## Section 1. Identifying Information

1. Given Name (First Name)  
   Lele

2. Surname (Last Name)  
   Song

3. Date  
   21-April-2020

4. Are you the corresponding author?  
   ✔ Yes  
   ☐ No

5. Manuscript Title  
   The contribution of hereditary cancer-related germline mutations to lung cancer susceptibility

6. Manuscript Identifying Number (if you know it)  
   TLCR-19-403

## Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest?  
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   ☐ No

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Are there any relevant conflicts of interest?  
   ✔ Yes  
   ☐ No

If yes, please fill out the appropriate information below.

| Name of Entity                                      | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments   |
|-----------------------------------------------------|--------|----------------|------------------------|--------|------------|
| The Special Funds for Strategic Emerging Industry Development of Shenzhen | ✔      | ☐              | ☐                      | ☐      |            |
| The Science and Technology Project of Shenzhen      | ✔      | ☐              | ☐                      | ☐      |            |
| HaploX Biotechnology Co., Ltd.                      | ☐      | ☐              | ✔                      | ☐      | Employment |
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Dr. Song reports grants from The Special Funds for Strategic Emerging Industry Development of Shenzhen, grants from The Science and Technology Project of Shenzhen, non-financial support and other from HaploX Biotechnology Co., Ltd., outside the submitted work.

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