Abstract: We discuss experimental and theoretical studies of the generation of the third terahertz (THz) frequency harmonic in thin films of $\text{Bi}_2\text{Se}_3$ and $\text{Bi}_{2-x}\text{Sb}_x\text{Te}_{3-y}\text{Se}_y$ (BSTS) topological insulators (TIs) and the generation of THz radiation in photoconductive antennas based on the TI films. The experimental results, supported by the developed kinetic theory of third harmonic generation, show that the frequency conversion in TIs is highly efficient because of the linear energy spectrum of the surface carriers and fast energy dissipation. In particular, the dependence of the third harmonic field on the pump field remains cubic up to the pump fields of 100 kV/cm. The generation of THz radiation in TI-based antennas is obtained and described for the pump, with the energy of photons corresponding to the electron transitions to higher conduction bands. Our findings open up possibilities for advancing TI-based films into THz photonics as efficient THz wave generators and frequency converters.

Keywords: topological insulator; terahertz radiation; THz; third harmonic generation; photoconductive antenna; bismuth selenide; chalcogen-ordered tetradymite
radiation \[11–14\], it could be very promising to apply them as base materials for efficient frequency converters of THz radiation \[15,16\] and full-fledged sources such as photoconductive antennas (PCAs) \[17,18\]. The generation of the third THz harmonic in Bi\(_2\)Se\(_3\) TIs was first experimentally studied in Ref. \[15\]. The authors estimated the third harmonic generation efficiency as 1% for the incident field of 300 kV/cm and also observed electromagnetic transparency in the strong electric fields of the order of 1 MV/cm. A number of papers were devoted to the generation of THz radiation pulses due to the optical excitation of photocurrents in TI epitaxial films in the absence of an external bias electric field and the study of underlying mechanisms. In particular, Ref. \[19\] reported a circular anisotropy in the THz radiation distribution in Bi\(_2\)Se\(_3\) and attributed it to the circular photon drag effect. Ref. \[20\] presented an experimental study of the mechanisms of transient THz emission from Bi\(_2\)Te\(_3\) and concluded that the surface nonlinear currents dominate in the THz emission. Generation of THz radiation under the action of pulsed optical pumping has been recently investigated in TIs of double \[21,22\] and quaternary \[23\] chemical compositions. In Ref. \[24\], THz radiation was generated in Bi\(_2\)Se\(_3\) and Cu-doped Bi\(_2\)Se\(_3\) single crystals. The authors suggested that surface Dirac fermions are responsible for THz radiation due to the strong dependence of the radiation power on the carrier density.

Further development of topological-insulator-based THz photonics can involve increasing the efficiency of conversion to higher THz harmonics. The challenging task here is to choose or design TIs that demonstrate the highest nonlinear response but do not exhibit saturation effects in the required range of pump fields. Another topical direction is the use of TIs as active materials for PCAs \[25\]. Here, it is important to comprehensively study the generation in PCAs based on TIs with various pump sources, including such common ones as the Ti-Sa laser, to reveal the mechanisms of hot carrier relaxation and to numerically simulate generation processes in such sources. The paper is devoted to the consistent analysis of such devices based on TIs.

The paper is organized as follows. In Section 2, we develop a kinetic theory of the third harmonic generation by two-dimension carriers and present a description of the THz radiation generation in photoconductive antennas. Section 3 outlines the materials and experimental techniques. In Section 4, we present and discuss the experimental results on third harmonic generation and THz field generation. Section 5 summarizes the main results of the paper.

2. Theory
2.1. Kinetic Theory of THz Third Harmonic Generation

Here, we develop a kinetic theory of third harmonic generation by free carriers on the surfaces of TIs. The theory takes into account both the momentum and energy relaxation of the carriers, since the corresponding relaxation times are in the sub-ps range \[16\] and are comparable to the period of THz field. The current analysis extends previous considerations of the non-linear response of two-dimensional Dirac fermions done for graphene in collision-free \[26,27\] and one-relaxation-time \[28,29\] approximations.

In the kinetic approach, electrons are described by the distribution function \(f(p,t)\), which satisfies the Boltzmann equation:

\[
\frac{\partial f}{\partial t} + eE(t) \cdot \nabla_p f = Stf
\]  

(1)

where \(e\) is the electron charge; \(E(t) = E \exp(-i\omega t) + c.c.\) is the electric field of the incident radiation; \(E\) and \(\omega\) are the field amplitude and frequency, respectively; \(\nabla_p = \partial / \partial p\); \(p\) is the momentum; and \(Stf\) is the collision integral that describes the electron gas relaxation. The approach of the kinetic equation (1) is valid provided the electron gas conductivity \(\sigma \gg e^2/\hbar\) and the field frequency \(\omega \ll \langle c \rangle / h\), where \(h\) is the reduced Planck constant and \(\langle c \rangle\) is the mean kinetic energy of electrons.
We solve the Boltzmann Equation (1) by expanding the field-induced correction $\delta f(p,t)$ to the distribution function in the Fourier series in the time domain [30]:

$$\delta f(p,t) = f^{(0)} + [f^{(1)} \exp(-i\omega t) + c.c.] + [f^{(2)}(-2i\omega t) + c.c.] + \ldots$$  \hspace{1cm} (2)

where $c.c.$ stands for complex conjugation.

The correction to the distribution function of the first order in the electric field oscillates at $\omega$-frequency and satisfies the equation

$$-i\omega f^{(1)} + eE(t) \cdot \nabla_p f^{(0)} = St f^{(1)}$$

where $f^{(0)}$ is the equilibrium distribution function. It follows that the correction $f^{(1)}$ contains only the first angular harmonic in the momentum space. Accordingly, the collision integral $St f^{(1)}$ in the relaxation time approximation assumes the form $-\dot{f}^{(1)}/\tau_1$, where $\tau_1$ is the momentum relaxation time (relaxation time of the first angular harmonic of the distribution function). The straightforward solution of the above equation gives

$$f^{(1)} = -e\tau_1(\omega)E \cdot \nabla_p f^{(0)}$$  \hspace{1cm} (3)

where $\tau_1(\omega) = \tau_1/(1 - i\omega\tau_1)$.

The second-order correction $f^{(2)}$ contains zero and second angular harmonics in the momentum space and is found from the equation

$$-2i\omega f^{(2)} + eE(t) \cdot \nabla_p f^{(1)} = St f^{(2)}$$

Its solution in the relaxation time approximation has the following form:

$$f^{(2)} = -e\tau_2(2\omega)E \cdot \nabla_p f^{(1)} - e\tau_2(2\omega)E \left[ \nabla_p f^{(1)} - \nabla_p f^{(1)} \right]$$  \hspace{1cm} (4)

where the top line denotes averaging over the directions of the momentum $p$, $\tau_2(2\omega) = \tau_2/(1 - 2i\omega\tau_2)$, $\tau_2(2\omega) = \tau_2/(1 - 2i\omega\tau_2)$, $\tau_2$ is the relaxation time of the second angular harmonic, and $\tau_2$ is the time of thermalization and energy relaxation.

The electric current at the triple frequency, which induces the outgoing third-harmonic radiation, is determined by $f^{(3)}$,

$$j_{3\omega} = e\zeta \sum_p \nu f^{(3)},$$  \hspace{1cm} (5)

where $\nu = \partial \epsilon/\partial p$ is the electron velocity, $\epsilon$ is the energy, and $\zeta$ is the factor of possible surface, spin, or valley degeneracy ($\zeta = 1$ for a single surface of TI). The correction $f^{(3)}$ satisfies the equation

$$-3i\omega f^{(3)} + eE(t) \cdot \nabla_p f^{(2)} = St f^{(3)}$$

and contains the first and third angular harmonics. The contribution to $f^{(3)}$, which leads to the electric current, is described by the first angular harmonic and, therefore, is contained in the term $-e\tau_1(3\omega)E \cdot \nabla_p f^{(2)}$. This yields the equation

$$j_{3\omega} = -\gamma e^2 \sum_p \nu \tau_1(3\omega)(E \cdot \nabla_p f^{(2)}).$$  \hspace{1cm} (6)

In particular, in the approximation of the relaxation times, which are independent of the energy, and for a linearly polarized radiation, Equation (6) gives

$$j_{3\omega} = \gamma e^4 \tau_1(\omega) \tau_1(3\omega) E \sum_p \frac{\partial^2 \epsilon}{\partial p_x^2} \left[ \tau_1(2\omega) \frac{\partial f_0}{\partial p_x} + \tau_2(2\omega) \left( \frac{\partial^2 f_0}{\partial p_x^2} - \frac{\partial f_0}{\partial p_x} \right) \right].$$  \hspace{1cm} (7)
For parabolic energy spectrum, the sum over $p$ in the equation above vanishes. This means that the third-harmonic generation in 2D systems with the parabolic spectrum occurs in the quasi-classical regime, provided the relaxation times depend on energy.

For linear energy spectrum $\epsilon = v |p|$, similar calculations yield the non-vanishing current:

$$j_{3\omega} = -\frac{ge^4v^2E^2}{8\pi\hbar^2E_F} \left[ \tau_{c}(2\omega) + \frac{\tau_{1}(2\omega)}{2} \right],$$

where $E_F$ is the Fermi energy.

In TIs based on bismuth and antimony chalcogenides such as Bi$_2$Se$_3$, the Dirac point is close to the valence band, and the Fermi energy of surface electrons can be quite large (of the order of the band gap). According to Equation (8), the efficiency of third harmonic generation, for a given electric field amplitude $E$, decreases in 2D Dirac systems with large Fermi energy. At the same time, the cubic dependence $j_{3\omega} \propto E^3$ holds as far as the relaxation times are not affected by electron gas heating (or, alternatively, the inequality $\omega \tau_{1} >> 1$ is fulfilled) and the distribution of surface electrons remains degenerate. The latter also suggests that the peak energy gained by an electron at the cycle of the THz field, which is estimated as $|e v \tau_{1}(\omega)| E$, is less than the Fermi energy. As it has been recently measured, the relaxation of hot electrons in topological surface states is very fast [16]. The reason could be the interaction with optical phonons [31–33] or the efficient transfer of energy between surface and bulk carriers due to Coulomb interaction [34]. For fast energy relaxation, $\tau_{c} \sim \tau_{1}$, and efficient dissipation of heat from the surface region, the regime $j_{3\omega} \sim E^3$ can be optimistically extended up to the electric fields $E \sim E_F / |e v \tau_{1}(\omega)|$ giving rise the current amplitude $j \sim e E_F^2 / (\hbar v^2)$. This demonstrates that 2D Dirac systems with large Fermi energy and fast energy relaxation can be highly efficient for frequency multiplication in the THz spectral range.

### 2.2. Generation of the THz Radiation in Photoconductive Antennas

Here, we use the simple model by Jepsen et al. [35,36], based on the Drude-Lorentz carrier transport theory. This theory well describes the generation of THz pulses emitted by small photoconductive semiconductor antennas. It is based on the system of differential Equation (9), given below. The system solutions represent theoretical time dependences of the electron concentration in the conduction band $n(t)$, and the electron velocity $v(t)$, obtained without taking into account the influence of the electrode parameters on the emitted THz field:

$$\frac{dn(t)}{dt} = -\frac{n(t)}{\tau_{c}} + \frac{\alpha h f_p I(t)}{e m^*},$$

$$\frac{dv(t)}{dt} = -\frac{v(t)}{\tau_{1}} + \frac{e m^*}{\tau_{c}} E_m,$$

where $E_m$ is an electric field at the location of charge carriers, and $I(t)$ is the intensity of the laser source, determined by the expression:

$$I(t) = I_l (1 - R) \exp \left( -\frac{2t_p^2}{t_p^2} \right),$$

where $\tau_{c}$ is the electron capture time, $\tau_{1}$ is the momentum relaxation time, $R$ is the pump reflection coefficient, $\alpha$ is the absorption coefficient at the pump frequency, $f_p$ is the laser frequency, $t_p$ is the pump laser pulse duration, $h$ is the Planck’s constant, $e$ is the electron charge, and $m^*$ is an electron effective mass. The electric field at the location of the charge carriers can be found from the expression:

$$E_m = E_b - \frac{P(t)}{\eta e},$$

where $E_b$ is the field at the position of the charge carriers.
where \( P(t) \) is the polarization, \( E_b \) is the bias electric field, \( \eta \) is the screening coefficient, and \( \varepsilon \) is the dielectric function of the photoconductive material. At large screening factors \( \eta \), the field \( E_m \) becomes equal to \( E_b \) so that

\[
E_m = E_b = \frac{U_b}{W},
\]

where \( U_b \) is the bias voltage, and \( W \) is the antenna’s gap. The relation of current density to the concentration \( n(t) \) and the velocity \( v(t) \) of charge carriers is described as \( j(t) = en(t)v(t) \). In turn, the time derivative of the current density determines the strength of the emitted THz field.

However, the resulting spectrum of the antenna is also greatly influenced by the geometry of the electrodes. It is known from experiments that, by varying parameters and the type (spiral, dipole, bow-tie, etc.) of the antenna electrodes, it is possible to radically change the THz generation spectrum [37]. Therefore, it is required to take into account these geometric factors. We have limited ourselves by studying a dipole-type antenna. This antenna can be considered as a resonator with a resonant frequency \( f_0 \) and a quality factor \( Q \). The frequency transfer function of such a resonator is well described by the shape of a Lorentzian line with a width determined by the quality factor. Then, the resulting frequency dependence of the intensity spectrum of the THz field generated by the PCA \( S(f) \) can be found by the following empirical formula:

\[
S(f) \propto \frac{1}{(f - f_0)^2 + (\frac{f_0}{\sqrt{Q}})^2} \left( \int_{-\infty}^{\infty} \frac{\partial n(t)v(t)}{\partial t} \exp(-i2\pi ft)dt \right)^2,
\]

where \( f_0 = c/2L\sqrt{(1+\varepsilon)/2} \) is the resonance frequency of the dipole PCA [38], \( L \) is the length of the diagonal of the electrodes, \( \varepsilon \) is an effective dielectric constant of the composite material of the thin film and substrate, and \( Q \) is the quality factor of the PCA, determined by its geometric dimensions.

3. Materials and Methods
3.1. Growth and Characterization

Rhombohedral films of binary (\( \text{Bi}_2\text{Se}_3 \)) and quaternary (\( \text{Bi}_x\text{Sb}_y\text{Te}_z\text{Se}_w \)) TIs were grown on 400-µm-thick (0001) sapphire substrates with a thin (5–20 nm) ZnTe buffer layer deposited in a horizontal quartz reactor at atmospheric pressure of hydrogen. It is known that in binary systems Bi(Sb)–Se(Te) there are different phases of the homologous series \( m\text{Bi}(\text{Sb})_n\text{Bi}_2\text{Se}(\text{Te})_3 \), where \( m \) and \( n \) are a number of Bi, Sb and \( \text{Bi}_2\text{Se}_3 \) or \( \text{Sb}_2\text{Te}_3 \) blocks per unit cell. In a series of works by one of the authors of this work, the phase composition of films deposited at different temperatures was studied in detail in growth systems: trimethyl bismuth (\( \text{BiMe}_3 \))–isopropyl selenide (\( \text{iPr}_2\text{Se} \))–hydrogen [39], trimethyl bismuth–diethyl telluride (\( \text{Et}_2\text{Te} \))–hydrogen [40] and trimethyl antimony (\( \text{SbMe}_3 \))–diethyl telluride–hydrogen [41] on the (0001) sapphire substrates. It was shown by methods of X-ray diffraction and energy-dispersive X-ray spectroscopy that at temperatures higher than 440 °C, rhombohedral epitaxial films of the corresponding binary compounds are deposited with \( m = 0 \) and \( n = 1 \). Therefore, to ensure the growth of films of quaternary compounds of \( \text{Bi}_{2-x}\text{Sb}_x\text{Te}_{3-y}\text{Se}_y \) stoichiometry, a temperature of 445 °C was used in this work.

Trimethyl bismuth \( \text{BiMe}_3 \), \( \text{SbMe}_3 \), \( \text{ZnEt}_2 \), \( \text{Et}_2\text{Te} \), and \( \text{iPr}_2\text{Se} \) were used as sources of bismuth, antimony, zinc, tellurium, and selenium, correspondingly. Stainless steel bubblers with organoelement compounds \( \text{BiMe}_3 \), \( \text{SbMe}_3 \), \( \text{ZnEt}_2 \), \( \text{Et}_2\text{Te} \), and \( \text{iPr}_2\text{Se} \) were thermostated at 0, –30, 10, 25, and 27 °C, respectively. The ZnTe buffer layers were grown in a single technological cycle with TI films at the same temperature of 445 °C. The total hydrogen flow was 1.0 L/min during the deposition of ZnTe buffer layers, and 0.5 L/min during the epitaxy of TI films. The ratio of elements of the V/VI group in the vapor phase...
was not lower than 10, and the total partial pressure of BiMe$_3$+SbMe$_3$ was kept close to $6 \times 10^{-5}$ bar.

X-ray diffraction (XRD) was used to confirm the epitaxial nature of the grown films. To determine the elemental composition of the films, an X-MaxN energy-dispersive X-ray spectrometer (EDS), docked with an electron microscope, was used. The analysis was carried out utilizing the Oxford Instruments software. The following standards were used to standardize and optimize the line profiles of the characteristic radiation: Bi$_2$Se$_3$ (Bi-Mx and Se-Lx), Sb (Sb-Lx), ZnS (Zn-Lx), PbTe (Te-Lx), and Al$_2$O$_3$ (Al-Kx) crystals and O-Ka). Measurement of standards and analysis of samples were carried out under the same conditions at an accelerating voltage of 10 kV and an electron probe current of 1.4 nA. The spectrum accumulation time was set to 100 s. Under such conditions, the detection thresholds for all analyzed elements was 0.03–0.05 wt. %. As expected, in all BSTS films studied in this work, the ratio of elements of the V/VI groups was close to 2:3 and was within the limits of the detection thresholds. It should be emphasized that the composition of quaternary solid solutions cannot be determined from XRD spectra, and EDS analysis is required here.

The surface topography of the Bi$_2$Se$_3$ sample was obtained by atomic force microscopy (AFM) via a NT-MDT INTEGRA Prima scanning probe microscope (LLC “NT-MDT”, Moscow, Russia) operating in the semi-contact mode. Sample BSTS was studied by AFM AIST-NT Smart SPM with AIST-NT SPM Control software. Nanosensors PointProbePlus PPP-NCh-20, designed to operate in the semi-contact mode, were used. The radius of curvature of the tip end was 5–10 nm, and the oscillation amplitude of the free end of the cantilever far from the sample’s surface was chosen to be 15–20 nm. Before measurements, the samples were washed in isopropyl alcohol in an ultrasonic bath for 5 min and dried. In addition, for the samples without overhead electrodes, the side that was examined by AFM was studied by measuring the electrical conductivity. The resulting images were processed using the NT-MDT Image Analysis software. The results were used both to analyze the surface morphology and to obtain information about the surface roughness and film thickness. Note that our films were not thin enough for the surface Dirac states to completely disappear. As shown in Ref. [42], the Dirac cone of surface states disappears at 5 quantile thicknesses and less, while our TI samples are much thicker. Thus, topologically protected states exist on the film surface, and TI films can be treated as bulk samples.

A typical AFM image of the Bi$_2$Se$_3$ film surface is shown in Figure 1a. From the analysis of the cross sections of the AFM images, it can be concluded that the “effective thickness” of the TI film is about 20 nm. In this case, the film itself is not completely continuous but contains a relatively small number of pores, the depth of which is equal to the film thickness. No crystallites with noticeable faceting were found on the surface; to characterize the quality of the surface, the roughness parameters were measured over an area of 16 $\mu$m$^2$. The average roughness was 2.5 nm. The histogram of the distribution of surface heights in the same area was close to the normal distribution.

![Figure 1](image_url)

**Figure 1.** Atomic force microscopy images of Bi$_2$Se$_3$ (a) and BSTS (b) surfaces.
AFM studies of BSTS film on sapphire substrates showed that island film is formed at an early stage of growth by the Stranski–Krastanov mechanism (see Figure 1b). Hexagonal blocks are formed on the surface. The side faces of the blocks grow faster, and the completion of the merging of islands occurs at the height of about 40 nm. The surface roughness over the area of ~64 µm<sup>2</sup> is 7.4 nm. The surface of the “islands” has a nm-size height terraces. The terraces have low contrast, because next to them there are the borders of the “islands”, the height of which is an order of magnitude greater. Further film deposition proceeds according to the two-dimensional (2D) growth regime. Upon transition to the 2D growth regime, only protrusions about 1 nm high are present on the surface, which are formed by five-layer Ch-Bi-Ch-Bi-Ch-Bi, where Ch is Te or Se. The surface roughness over the area of ~4 µm<sup>2</sup> is 0.41 nm.

To characterize the transmission spectrum of the samples, we used a time-domain spectrometer (TDS). An InGaAs THz emitter was used as a source of THz pulses for measurements. The transmitted terahertz pulses were measured by a ZnTe-based electro-optical sampling technique. It is known that the transmission function \( T(f) \) of a thin film with a thickness less than the radiation wavelength is directly related to the complex conductivity \( G(f) \) in accordance with the Tinkham’s formula \[43,44\]. The recalculated conductivity spectra are shown in Figure 2. The resonance near 1.6 THz occurs due to the interaction with the well-known dipole-active phonons. We have not considered ZnTe, because its conductivity is very low compared to that of the investigated TIs.

\[
\begin{align*}
\text{Re}(\alpha) & \sim 100 \text{ cm}^{-1} \\
\text{Im}(\alpha) & \approx 0.5 \text{ cm}^{-1}
\end{align*}
\]

**Figure 2.** Frequency dependence of the real and imaginary parts of conductivity of Bi<sub>2</sub>Se<sub>3</sub> and BSTS films.

It can be seen that the conductivity is maximal in the Bi<sub>2</sub>Se<sub>3</sub> sample. This fact is associated with a high concentration of bulk charge carriers due to the location of the Fermi level in the conduction band (Bi<sub>2</sub>Se<sub>3</sub>) \[45\]. On the contrary, in the BSTS sample, the bulk transfer is strongly suppressed, since the chemical composition is close to the Ren curve \[45\], and the Fermi level is located in the bulk bandgap. Although thicker films were studied in Ref. \[45\], our results for BSTS nano-films with compositions close to the Ren curve are in good agreement with the static resistivity data from Ref. \[46\].

### 3.2. Experimental Techniques

To obtain a source of a strong THz field for frequency conversion, we used optical rectification of Ti-Sa laser pulses with tilted pulse front in a lithium niobate (LN) crystal. A band-pass filter \( F_1 \) (Figure 3a) was installed after the crystal, which separated THz radiation at a fundamental frequency of 0.5 THz. The peak strength of the THz field was about 100 kV/cm. To vary the THz pump field strength, a pair of wire grid polarizers (WG<sub>1</sub> and WG<sub>2</sub>) was used; one of them was rotated on a motorized platform to control the transmitted
power, and the other was fixed so that the polarization would be vertical. A band-pass filter \( F_2 \) was installed after the TI sample, which suppressed THz radiation at the fundamental frequency and transmitted only its third harmonic at a frequency of 1.5 THz. The amplitude of the THz pump pulses and the third harmonic was measured electro-optically with a 2-mm-thick ZnTe crystal, using probing laser pulses of 100 fs duration (EOS).

![Figure 3](image.png)

**Figure 3.** Sketches of experimental setups for studying the generation of the third terahertz harmonic (a) and the generation of terahertz radiation in the antenna (b).

To generate THz radiation, the PCA based on the TI BSTS was fabricated and studied. We used dipole antennas with annealed Ti/Pd/Au electrodes (50/150/200 nm thick) placed on the studied epitaxial films and transmitted only its third harmonic at a frequency of 1.5 THz. The amplitude of the THz pump pulses and the third harmonic was measured electro-optically with a 2-mm-thick ZnTe crystal, using probing laser pulses of 100 fs duration (EOS).

4. Results and Discussion

4.1. Generation of the Third THz Harmonic

Figure 4 shows the dependencies of the peak power of the third harmonic generated in the Bi\(_2\)Se\(_3\) and BSTS samples on the fundamental radiation field strength [16]. For comparison, we show also the results of measurements of \( p \)-doped graphene with a charge carrier concentration of about \( 10^{13} \) cm\(^{-2}\). Nowadays, graphene is the best material with the highest third harmonic conversion efficiency, reaching 1% and higher [47–49].

In our experiment, the highest field conversion efficiency in graphene is about 0.5%. The conversion efficiencies in the TIs Bi\(_2\)Se\(_3\) and BSTS at 100 kV/cm are 0.03% and 0.08%, respectively. The amplitude of the third harmonic field in BSTS is about three times larger than that in Bi\(_2\)Se\(_3\). The enhanced conversion efficiency in BSTS, where the Fermi energy of surface electrons is smaller, is in accordance with the theoretical result; see Equation (8) and the subsequent discussion.

While the conversion efficiency achieved in Bi\(_2\)Se\(_3\) and BSTS films is still lower than that in graphene, the TIs, in contrast to graphene, exhibits a purely cubic dependence of the third harmonic field on the pump field. This indicates that THz-induced nonlinear processes are far from saturation up to the pump fields of 100 kV/cm. We attribute these qualitatively different behaviors to a large difference in the relaxation times of carriers in graphene and TIs. In graphene, the energy dissipation is rather slow because of inefficient direct electron–phonon interaction and weak heat transfer from graphene to substrate [50,51]. In contrast, the energy relaxation of surface carriers in TIs occurs much faster, at the timescale of few hundred picoseconds, as was recently demonstrated for Bi\(_2\)Te\(_3\) by means of THz pump-probe spectroscopy [16]. As follows from the theoretical considerations in Section 2.1, faster relaxation suggests larger range of the validity of the cubic law \( E_{3\omega} \propto E_{\omega}^3 \) and the...
possibility of reaching higher conversion efficiency. Therefore, one can expect that, at high values of the pumping field, the efficiency of conversion to the third harmonic of the TI will be comparable or even exceed that of graphene.

![Graphene, Bi2Se3, BSTS](image)

**Figure 4.** Third harmonic generation. Dependence of the peak amplitude of the outgoing third harmonic field on the pump field amplitude for graphene (black circles), BSTS (blue circles), and Bi2Se3 (red circles). Colored dashed lines: corresponding fittings. The points of intersection of the extrapolation curves are marked with arrows.

To estimate the pump intensity, where the conversion efficiency of Bi2Se3 and BSTS would reach that of graphene, we extrapolated the pure cubic dependence $E_{3\omega} \propto E_0^3$ for Bi2Se3 and BSTS to higher fields. The validity of such an extrapolation is also supported by recent experiments by the Kovalev group [52], in which the cubic dependence is confirmed over the wide range of pump intensities. To approximate the saturating dependence of the third harmonic field strength in graphene, we use the empirical expected expression $E_{3\omega} \propto \frac{E_0}{1 + E_0^2/E_{\omega}^2}$, where $E_\omega$ is the saturation strength of the cubic susceptibility. Extrapolating the theoretical dependences to the region of high pump field strengths $E_\omega >> 100$ kV/cm, we estimate the intersection of these curves at the field strength $E_{\omega} = 430$ kV/cm and $E_{\omega} = 390$ kV/cm for Bi2Se3 and BSTS, respectively. Thus, we expect that TIs can be highly efficient frequency-converters of terahertz radiation in strong fields. By varying the chemical composition of TIs based on bismuth and antimony chalcogenides, one can tune the Fermi level and optimize the parameters of harmonic converters.

### 4.2. Photoconductive THz Antenna

The experimental data with the measured waveform are shown in Figure 5a. The corresponding frequency spectrum is shown in Figure 5b. The spectrum maximum is observed at 200 GHz; the linewidth at half maximum is also about 200 GHz. Expression (13) was used to approximate the experimental data. To find the temporal dependencies of the electron concentration $n(t)$ and velocity $v(t)$ of electrons, the system of Equation (9) was solved by the fourth-order Runge–Kutta numerical method using MATLAB software. The best approximation is achieved without taking into account the screening effect; therefore, the parameter $\eta$ was considered equal to infinity. To determine the capture time $\tau_c$, the dynamics of excitation with energies above the bandgap were studied using the degenerate optical pump-optical probe spectroscopy (OPOP) scheme. The photon energy of optical pulses in OPOP was 1.5 eV, as in the experiment on THz generation in the photoconductive antenna. Results of the OPOP experiment are shown in the inset to Figure 5a, which shows the time dependence of the normalized induced change in the reflectance. Neglecting slower contributions, a single-exponential approximation yields the value for the capture time $\tau_c = 0.93$ ps. This is very close to the time scattering of photoexcited carriers into the surface states and lower bulk conduction band [53]. The following parameters were taken in further modeling of THz generation: $\tau_c = 0.93$ ps, $\tau_1 = 0.1$ ps, $\tau_f = 0.1$ ps, $R = 0.31$,
\( \alpha = 120,000 \text{ cm}^{-1}, U_0 = 15 \text{ V}, f_p = 3.8 \times 10^{14} \text{ Hz}, \bar{v} = 14, \text{ and } m^* = 0.21 m_e \) [54]. In order to find the quality factor of a dipole antenna with the parameters length \( L = 240 \mu\text{m} \), gap \( W = 20 \mu\text{m} \), and electrode width \( d = 40 \mu\text{m} \), and according to Ref. [55], we find \( Q = 1.1 \). The calculation results are shown in Figure 5a,b by dashed lines. A fairly good agreement between the experimental data and predictions of the simplest THz generation model can be seen there.

![Figure 5](image-url)  

**Figure 5.** Waveform (a) and spectrum (b) of THz pulse from PCA. Inset: results of the pump-probe experiment.

Under optical pumping by Ti:Sa laser, the electrons passed through one \( C_1 \) conduction band to another \( C_2 \) [56] band, which is located higher in the energy diagram. The signal from the TI antenna turned out to be comparable in amplitude with the signal from an antenna thicker by an order of magnitude based on the InGaAs/InAlAs semiconductor heterostructure. This indicates that TI antennas are promising as commercial THz emitters, with laser pumping in the visible range.

5. Conclusions

We have discussed the results of the third THz harmonic generation in thin films of chalcogen-ordered tetradymites and the THz wave radiation in photoconductive antennas based on these topological insulators. The experiments are described by the developed kinetic theory of third harmonic generation by surface carriers and the Drude–Lorentz transport model of THz pulse generation applied to TIs.

The experiment shows that the dependence of the third harmonic field on the pump field in TIs is purely cubic up to the available fields of 100 kV/cm, with no evidence of saturation. Such a behavior is attributed to the fast energy relaxation of surface carriers. The experimental data and theoretical estimations allow us to speculate that the cubic dependence holds for stronger electric fields, and the efficiency of the frequency conversion in TIs can be even higher than that in graphene, where the highest efficiency has been achieved so far. The results on the generation of THz radiation pulses in photoconductive antennas show that thin films of TIs can be as efficient as conventional semiconductors with a much thicker active region.

We conclude that topologically non-trivial materials are promising candidates for applications in THz photonics.

**Author Contributions:** Conceptualization, K.A.K., P.I.K. and G.K.K.; methodology, G.K.K., S.A.T. and K.A.K.; software, P.M.K., A.A.E. and Y.G.G.; validation, K.A.K. and D.V.L.; formal analysis, K.A.K.; investigation, K.A.K., P.M.K., D.V.L. and Y.G.G.; resources, P.I.K., D.S.P., Y.G.G. and A.A.E.; data curation, K.A.K.; theory of THG, S.A.T.; sample growth and characterization, P.I.K.; writing—original draft preparation, K.A.K. and S.A.T., with contributions from all co-authors; writing—review and editing, K.A.K., S.A.T. and G.K.K.; visualization, K.A.K.; supervision, G.K.K.; project administration, K.A.K.; funding acquisition, K.A.K. and S.A.T. All authors have read and agreed to the published version of the manuscript.
**Funding:** This work was supported by a grant from the Russian Science Foundation (project No. 22-22-00758). The theoretical study of third harmonic generation was supported by RSF (project No. 22-12-00211).

**Data Availability Statement:** Not applicable.

**Acknowledgments:** The authors are grateful to S. Kovalev and I. Ilyakov for their help in with pump–probe and THz third harmonic measurements and fruitful discussions, as well as to A.G. Temiryazev for help with AFM measurements.

**Conflicts of Interest:** The authors declare no conflict of interest.

**References**

1. Volkov, B.A.; Pankratov, O.A. Two-dimensional massless electrons in an inverted contact. *JETP* 1985, 42, 178–181.

2. Hasan, M.Z.; Kane, C.L. Colloquium. Topological insulators. *Rev. Mod. Phys.* 2010, 82, 3045. [CrossRef]

3. Ando, Y. Topological insulator materials. *J. Phys. Soc. Jpn.* 2013, 82, 102001. [CrossRef]

4. Dziom, V.; Shuvaev, A.; Pimenov, A.; Astakhov, G.V.; Ames, C.; Bendias, K.; Böttcher, J.; Tkachov, G.; Hankiewicz, E.M.; Brüne, C.; et al. Observation of the universal magnetoelectric effect in a 3D topological insulator. *Nat. Commun.* 2017, 8, 15197. [CrossRef]

5. Kozlov, D.A.; Kvon, Z.D.; Olshepetsky, E.B.; Mikhailov, N.N.; Dvoretsky, S.A.; Weiss, D. Transport Properties of a 3D Topological Insulator based on a Strained High-Mobility HgTe Film. *Phys. Rev. Lett.* 2014, 112, 196801. [CrossRef]

6. He, M.; Sun, H.; He, Q.L. Topological insulator: Spintronics and quantum computations. *Front. Phys.* 2019, 14, 43401. [CrossRef]

7. Fan, Y.; Wang, K.L. Spintronics Based on Topological Insulators. *SPIN* 2016, 6, 1640001. [CrossRef]

8. Zhang, X.; Wang, J.; Zhang, S.-C. Topological insulators for high-performance terahertz to infrared applications. *Phys. Rev. B* 2010, 82, 245107. [CrossRef]

9. Egorova, S.G.; Ryabova, L.I.; Skipetrov, E.P.; Yashina, L.V.; Danilov, S.N.; Ganichev, S.D.; Khokhlov, D.R. Detection of highly conductive surface electron states in topological crystalline insulators Pb$_{1-x}$Sn$_x$Se using laser terahertz radiation. *Sci. Rep.* 2015, 5, 11540. [CrossRef] [PubMed]

10. Durnev, M.V.; Tarasenko, S.A. High-Frequency Nonlinear Transport and Photogalvanic Effects in 2D Topological Insulators. *Annu. Phys.* 2019, 531, 1800418. [CrossRef]

11. Melvær, J.W.; Hsieh, D.; Steinberg, H.; Jarillo-Herrero, P.; Gedik, N. Control over topological insulator photocurrents with light polarization. *Nat. Nanotechnol.* 2012, 7, 96–100. [CrossRef] [PubMed]

12. Olbrich, P.; Golub, L.E.; Herrmann, T.; Danilov, S.N.; Flank, H.; Bel’kov, V.V.; Mussler, G.; Weyrich, C.; Schneider, C.M.; Kampmeier, J.; et al. Room-temperature high-frequency transport of Dirac fermions in epitaxially grown Sn$_2$Te$_3$ and Bi$_2$Te$_3$ based topological insulators. *Phys. Rev. Lett.* 2014, 113, 096601. [CrossRef]

13. Dantscher, K.M.; Kozlov, D.A.; Olbrich, P.; Zoth, C.; Faltmeier, P.; Lindner, M.; Budkin, G.V.; Tarasenko, S.A.; Bel’kov, V.V.; Kvon, Z.D.; et al. Cyclotron-resonance-assisted photocurrents of a three-dimensional topological insulator based on a strained high-mobility HgTe film. *Phys. Rev. B* 2015, 92, 165314. [CrossRef]

14. Dantscher, K.M.; Kozlov, D.A.; Scherr, M.T.; Gebert, S.; Bärenfänger, J.; Durnev, M.V.; Tarasenko, S.A.; Bel’kov, V.V.; Mikhailov, N.N.; Dvoretsky, S.A.; et al. Photogalvanic probing of helical edge channels in two-dimensional HgTe topological insulators. *Phys. Rev. Phys. Rev. Lett.* 2017, 95, 201103. [CrossRef]

15. Giorgianni, F.; Chiadroni, E.; Rovere, A.; Cestelli-Guidi, M.; Perucchini, A.; Bellaveglia, M.; Castellano, M.; di Giovenale, D.; di Pirro, G.; Ferrario, M.; et al. Strong nonlinear terahertz response induced by Dirac surface states in Bi$_2$Se$_3$ topological insulator. *Nat. Commun.* 2016, 7, 11421. [CrossRef]

16. Kovalev, S.; Tielrooij, K.J.; Deinert, J.C.; Ilyakov, I.; Avari, N.; Chen, M.; Ponomaryov, A.; Bawatna, M.; de Oliveira, T.V.A.G.; Eng, L.M.; et al. Terahertz signatures of ultrafast Dirac fermion relaxation at the surface of topological insulators. *Npj Quantum Mater.* 2021, 6, 84. [CrossRef]

17. Burford, N.M.; El-Shenawee, M.O. Review of terahertz photoconductive antenna technology. *Opt. Eng.* 2017, 56, 10901. [CrossRef]

18. Ponomarev, D.S.; Lavrukhin, D.V.; Zhenchenko, N.V.; Frolov, T.V.; Glinskyi, I.A.; Khabibullin, R.A.; Khatyba, G.M.; Kurlov, V.N.; Otsuiji, T.; Zaytsev, K.I. Boosting THz photoconductive antenna-emitter using optical light confinement behind a high refractive sapphire fiber-lens. *Opt. Lett.* 2022, 47, 1899–1902. [CrossRef]

19. Hamh, S.Y.; Park, S.-H.; Jerng, S.-K.; Jeon, J.I.H.; Chun, S.-H.; Lee, J.S. Helicity-dependent photocurrent in a Bi$_2$Se$_3$ thin film probed by terahertz emission spectroscopy. *Phys. Rev. B* 2016, 94, 164105. [CrossRef]

20. Fang, Z.; Wang, H.; Wu, X.; Shan, S.; Wang, C.; Zhao, H.; Xia, C.; Nie, T.; Miao, J.; Zhang, C.; et al. Nonlinear terahertz emission in the three-dimensional topological insulator Bi$_2$Te$_3$ by terahertz emission spectroscopy. *Appl. Phys. Lett.* 2019, 115, 191102. [CrossRef]

21. Zhu, L.-G.; Kubera, B.; Mak, K.F.; Shan, J. Effect of Surface States on Terahertz Emission from the Bi$_2$Se$_3$ Surface. *Sci. Rep.* 2015, 5, 10308. [CrossRef]

22. Tu, C.-M.; Chen, Y.-C.; Huang, P.; Chung, P.-Y.; Lin, M.-Y.; Cheng, C.-M.; Lin, J.-Y.; Juang, J.-Y.; Wu, K.-H.; Huang, J.-C.A.; et al. Helicity-dependent terahertz emission spectroscopy of topological insulator Sn$_2$Te$_3$ thin films. *Phys. Rev. B* 2017, 96, 195407. [CrossRef]
51. Pogna, E.A.A.; Jia, X.; Principi, A.; Block, A.; Banszerus, L.; Zhang, J.; Liu, X.; Sohier, T.; Forti, S.; Soundarapandian, K.; et al. Hot-Carrier Cooling in High-Quality Graphene Is Intrinsically Limited by Optical Phonons. ACS Nano 2021, 15, 11285–11295. [CrossRef] [PubMed]

52. Tielrooij, K.J.; Principi, A.; Saleta Reig, D.; Block, A.; Varghese, S.; Kiessling, T.; Ilyakov, I.; Ponomaryov, A.; Oliveira, T.; Chen, M.; et al. Light: Science & Applications, 2022; accepted for publication.

53. Sobota, J.A.; Yang, S.; Analytis, J.G.; Chen, Y.L.; Fisher, I.R.; Kirchmann, P.S.; Shen, Z.-X. Ultrafast optical excitation of a persistent surface-state population in the topological insulator Bi$_2$Se$_2$. Phys. Rev. Lett. 2012, 108, 117403. [CrossRef] [PubMed]

54. Gao, Y.-B.; He, B.; Parker, D.; Androulakis, I.; Heremans, J.P. Experimental study of the valence band of Bi$_2$Se$_3$. Phys. Rev. B. 2014, 90, 125204. [CrossRef]

55. Bierkowski, Z.; Lipiński, E. Amatorskieanteny KF i UKF; Komunikacji i Łączenności: Warsaw, Poland, 1978.

56. Onishi, Y.; Ren, Z.; Segawa, K.; Kaszub, W.; Lorenc, M.; Ando, Y.; Tanaka, K. Ultrafast carrier relaxation through Auger recombination in the topological insulator Bi$_{1.5}$Sb$_{0.5}$Te$_{1.7}$Se$_{1.3}$. Phys. Rev. 2015, 91, 85306. [CrossRef]