Influence of multiplet structure on photoemission spectra of spin-orbit driven Mott insulators: application to Sr$_2$IrO$_4$

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Most of the low-energy effective descriptions of spin-orbit driven Mott insulators consider spin-orbit coupling (SOC) as a second-order perturbation to electron-electron interactions. However, when SOC is comparable to anisotropic Hund’s coupling, such as in Ir, the validity of this formally weak-SOC approach is not a priori known. Depending on the relative strength of SOC and anisotropic Hund’s coupling, different descriptions of the multiplet structure should be employed in the weak and strong SOC limits, viz. LS and jj coupling schemes, respectively. We investigate the implications of both the coupling schemes on the low-energy effective $t-J$ model and calculate the angle-resolved photoemission (ARPES) spectra using self-consistent Born approximation. In particular, we obtain the ARPES spectra of quasi-two-dimensional square-lattice Iridate Sr$_2$IrO$_4$ in both weak and strong SOC limits. The differences in the limiting cases are understood in terms of the composition and relative energy splittings of the multiplet structure. Our results indicate that the LS coupling scheme yields better agreement with the experiment, thus providing an indirect evidence for the validity of LS coupling scheme for iridates. We also discuss the implications for other metal ions with strong SOC.

I. INTRODUCTION

Competition between on-site spin-orbit coupling (SOC), Coulomb repulsion and crystal field interactions in Iridates gives rise to a plethora of unusual features. For one of the most studied iridium-based compounds, Sr$_2$IrO$_4$, localized transport$^{1–3}$, absence of metalization at high pressures$^{4,5}$ and emergence of an odd-parity hidden order in Rh-doped Sr$_2$IrO$_4$$^{6,7}$ were observed experimentally but are still debated from a theoretical standpoint. On the other hand, despite many experimental indications of possible superconductivity in doped Sr$_2$IrO$_4$ – including observation of Fermi arcs and a $d$-wave gap in electron-doped Sr$_2$IrO$_4$ – no direct signatures of the superconducting state, such as zero electrical resistance and/or Meissner effect, have been observed in these systems yet.

The ground state of Sr$_2$IrO$_4$ is believed to be an antiferromagnet (AFM) of pseudospin $j_{\text{eff}} = 1/2$. The experimental low-energy magnon dispersion is described well by the Heisenberg model with up to third neighbor.$^{11}$ On the theoretical side, such Heisenberg model is derived by projecting the superexchange Kugel-Khomskii model$^{12}$ onto the spin-orbit (SO) basis.$^{13}$ However, this is a valid approach only if the virtual intermediate doubly occupied states considered in the second order perturbation theory can be well approximated by the $^3T_1$, $^1T_2$, $^1E$ and $^1A_1$ basis set. Such a basis set is an eigenbasis of the full Coulomb Hamiltonian which includes the 10$Dq$ crystal field as well as the Hund’s coupling, but not SOC. In other words, this approach is, strictly speaking, valid only in the limit of crystal field and Hund’s coupling much larger than SOC. In that case, the multiplet structure of $d^9$ configuration is well described by the LS coupling scheme. This is indeed the assumption made in many of the earlier works,$^{14–17}$ for instance in$^{18}$ while deriving the $t$-$J$-like model of Sr$_2$IrO$_4$ to calculate the PES spectra. The PES spectra, thus obtained, reproduces the low-energy features of the experimental spectra remarkably well, which is both interesting and intriguing.

For materials with the large atomic number $Z$, such as Ir, SOC is expected to be large since it scales proportionally to $Z^4$. The SO splitting in the 5$d$ shell of 5$d$ transition metals is $\sim 0.5$ eV. In comparison, for transition metal (TM) atoms with partially filled 3$d$ shells, such as Fe, Ni and Co, it is one order of magnitude smaller ($\sim 0.05$ eV). For such cases, $LS$ coupling scheme describes the multiplet structure well.$^{19}$ For atoms with partially filled 4$d$ shells, such as Ru, Rh and Pd, the SO splitting is $\sim 0.1$ eV and there are increasing deviations from the LS coupling scheme.$^{19}$ For even heavier atoms, such as Bi and Pb, where SO splitting is $\sim 2$ eV, the LS coupling is expected to fail. In such cases, $jj$ coupling scheme would be an appropriate choice to describe the multiplet structure.

Quantitatively, the relative strength of SOC and electron correlation is measured in terms of the ratio,$^{20}$

$$\chi = \frac{\xi}{F_2},$$

(1)

where $\xi$ is the (single particle) on-site SOC strength and $F_2$ is a Slater integral connected to the Slater parameter $F^{(2)}$ as $F_2 = F^{(2)}/49$ for $d^2$ configuration.$^{21}$ Using the Racah parameters $B = 420$ cm$^{-1}$ and $C = 2100$ cm$^{-1}$ for Ir$^{4+}$ ion$^{22}$ leads to $F_2 = 720$ cm$^{-1}$. Substituting $\xi = 0.4$ eV $\approx 3226$ cm$^{-1}$, we get

$$\chi \approx 4.5.$$  

(2)
The $LS$ coupling scheme is known to be a good approximation for $\chi \lesssim 1$. Therefore, for the case of iridium the choice of the $LS$ coupling scheme is questionable. $4d$ and $5d$ TM oxides with $J = 0$ ground state has attracted a lot of attention as it can lead to interesting effects such as excitonic magnetism in Van-Vleck type Mott Insulators or even triplon condensation and triplet superconductivity. Here, caution must be exercised in the choice of the coupling scheme. For example, the authors of Ref. [26] claim that $4d$ and $5d$ transition metal ions with the $t_{2g}$ configuration such as Re$^{3+}$, Ru$^{4+}$, Os$^{4+}$ and Ir$^{5+}$ realize a low-spin $S = 1$ state because of relatively large Hund’s coupling and, therefore, the multiplet structure should be calculated within the $LS$ coupling scheme. While this is likely to be true for Ru$^{4+}$ as a $4d$-element, which is, in fact, the only element discussed in detail in Refs. [25–27], the validity of the statement for heavier transition metal ions with partially filled $5d$ shell is not a priori known. In fact, recent analysis of resonant inelastic X-ray scattering data on double-perovskite iridium oxides with a formal valency of Ir$^{4+}$ yields SOC strength $\lambda = 0.42$ eV and Hund’s coupling $J_H = 0.25$ eV, suggesting $jj$ coupling scheme to be appropriate for Ir$^{5+}$.\(^{26}\)

One of the most prominent differences in the weak and strong SOC strengths is the multiparticle multiplet structure which, in turn, affects the experimentally observed features such as the PES spectra. A clear understanding of how the low-energy description of SOC driven insulators modifies in the weak and strong SOC limits is fundamental in developing a satisfactory theoretical description for these systems.

In this article, therefore, we investigate the implication of the two coupling schemes in the effective low-energy description of the ARPES spectra. We discuss the multiplet structures of $5d$ TM ions with the $t_{2g}$ configuration in the weak and strong SOC limits, defined by the $LS$ and $jj$ coupling scheme, respectively. We, then, construct an effective low-energy $t$-$J$ Hamiltonian used to describe the ARPES spectra. For brevity, we focus on Sr$_2$IrO$_4$ to calculate the theoretical spectra within the Self Consistent Born Approximation (SCBA) in the $jj$ coupling scheme and make explicit comparison with the corresponding results obtained earlier within the $LS$ coupling scheme as well as the experimental results. This is particularly relevant in view of the fact that, despite consensus, the validity of the $LS$ coupling for Sr$_2$IrO$_4$ has not been established. Also, a satisfactory theoretical description of Sr$_2$IrO$_4$ is still being developed.

The present work provides an indirect evidence of the validity of the $LS$ coupling scheme for Sr$_2$IrO$_4$. More importantly, we explicitly show the particular manifestation of the coupling schemes on the kinetic part of a generalized $t$-$J$-like Hamiltonian and discuss its ramifications. This further allows us to speculate and discuss other scenarios where such implications could be drastic.

This article is organized as follows. First, in Section II, we discuss the $LS$ and the $jj$ coupling schemes within the perturbation theory calculation of the multiplet structure. In particular, for the case of two holes on $t_{2g}$ shell relevant for theoretical modeling of the ARPES spectra of Iridates. In Section III, we discuss how the choice of the coupling scheme manifests itself in the $t$-$J$ model. In Section IV, the relevance of all these results to the calculation of ARPES spectra on Sr$_2$IrO$_4$ will be discussed. Finally, we discuss some of the subtle issues and conclude in Sections V & VI, respectively.

II. COUPLING SCHEMES

Calculating the ARPES spectral function for Sr$_2$IrO$_4$ amounts to calculating the Green’s function for the hole introduced into the AF $j = 1/2$ ground state in the photoemission process.\(^{18}\)

In the octahedral crystal field, the $d$ levels split into $t_{2g}$ and $e_g$ manifolds. There are five electrons per Ir, so effectively there is one hole residing on the lower $t_{2g}$ manifold. While the $t_{2g}$ manifold is composed of $d_{xy}$, $d_{xz}$, and $d_{yz}$ orbitals, the hole carries an effective orbital momentum $l = 1$ and a spin $s = 1/2$ due to orbital moment quenching.\(^{30}\) Due to strong on-site SOC, the $t_{2g}$ levels further split into $j = 1/2$ doublet and $j = 3/2$ quartet and the hole occupies the lower energy doublet.\(^{2,13}\)

Adding a hole to the Ir$^{4+}$ ion leads to the $5d^4$ configuration. Since each hole has effective orbital momentum $l = 1$ per hole,\(^{30}\) the $d^4$ configuration effectively mimics the $p^2$ configuration and we focus on the multiplet structure of the latter. The multiplet structure depends on the coupling scheme, as shown in Fig. 1 and discussed in the following.

It is important to note that the need for considering either $LS$ or $jj$ coupling scheme arises only for the cases when there are more than one fermions per site. In such cases, the multi-particle multiplet structure differs in the weak and strong SOC limits. For undoped Sr$_2$IrO$_4$, with only one hole per site, both SOC and correlation effects can be treated on equal footing.\(^{31,32}\)

We begin with the full Hamiltonian of a system

$$H = H_{\text{Cen}} + H_{\text{res}} + H_{\text{SOC}}.$$  \(^{(3)}\)

Here, $H_{\text{Cen}}$ is the central field Hamiltonian and includes kinetic energy of all electrons, nucleus-electron Coulomb interaction and central-symmetric part $S(r_i)$ of the Coulomb electron-electron repulsion:

$$H_{\text{Cen}} = \sum_{i=1}^{N} \left( -\frac{1}{2} \nabla^2_{r_i} - \frac{Z}{r_i} + S(r_i) \right),$$  \(^{(4)}\)

where $Z$ is the atomic number of the nucleus and $N$ is the total amount of electrons in the system. Residual Coulomb Hamiltonian describes the angular part of the
Coulomb interaction between electrons:
\[ \mathcal{H}_{\text{res}} = \sum_{i>j}^{N} \frac{1}{r_{ij}} - \sum_{i=1}^{N} S(r_{i}), \]
and \( \mathcal{H}_{\text{SOC}} \) describes the sum of all the on-site spin-orbit interactions
\[ \mathcal{H}_{\text{SOC}} = \lambda \mathbf{L} \cdot \mathbf{S} = \sum_{i=1}^{N} \xi_{i} l_{i} \cdot s_{i}. \]

Eq. (3) can be solved perturbatively, taking \( \mathcal{H}_{\text{Cen}} \) to be the unperturbed part of the Hamiltonian. The eigenstates of this unperturbed system are described by \( \psi_{\text{Cen}} \):
\[ \mathcal{H}_{\text{Cen}} |\psi_{\text{Cen}}\rangle = E_{\text{Cen}} |\psi_{\text{Cen}}\rangle, \]
and define the electronic configuration \( \psi_{\text{Cen}} = |n_{1} l_{1}, n_{2} l_{2}, ..., n_{N} l_{N}\rangle \) where \( n_{i} \) is a principal quantum number of the \( i \)-th particle.

Relative strengths of \( \mathcal{H}_{\text{res}} \) and \( \mathcal{H}_{\text{SOC}} \) dictates the order of perturbation and leads to two different coupling schemes in the limiting cases. If \( \mathcal{H}_{\text{res}} > \mathcal{H}_{\text{SOC}} \), then the strongest perturbation to the eigenstates of \( \mathcal{H}_{\text{Cen}} \) can be calculated as \( \langle \psi_{\text{Cen}} | \mathcal{H}_{\text{res}} | \psi_{\text{Cen}} \rangle \). Electronic configurations then split into multiplet terms
\[ \psi^{LS} = |S M_{S} L M_{L}\rangle, \]
characterized by the total orbital \( L \) and spin \( S \) momenta.

SOC further splits these levels and each level is now described by the total momenta \( J = L + S \), as can be seen in Fig. 1.

On the other hand, the \( jj \) coupling scheme is applicable if \( \mathcal{H}_{\text{SOC}} > \mathcal{H}_{\text{res}} \), implying that \( \mathcal{H}_{\text{SOC}} \) is the strongest perturbation to \( \mathcal{H}_{\text{Cen}} \). In practice, this means that \( L \) and \( S \) are not good quantum numbers anymore (i.e. they don’t even form good first order approximation to the (unknown) eigenbasis of the total Hamiltonian Eq. (3)) and the total \( J \) momentum has to be calculated as a sum of individual \( j \) momenta characterizing each particle.

In order to obtain the multiplet structure in the \( LS \) \( (jj) \) coupling scheme, an unambiguous link between the product states \( |\zeta \sigma \rangle |\zeta' \sigma' \rangle \) (for two holes) and the final multiplet set \( |S, M_{S}, L, M_{L}\rangle \langle j_{1}, m_{j_{1}}, j'_{1}, m_{j'_{1}}; j_{2}, m_{j_{2}}, j'_{2}, m_{j'_{2}}\rangle \) should be established, where \( \zeta, \zeta' \) and \( \sigma, \sigma' \) indicate the orbitals occupied by the holes, and \( \sigma, \sigma' = \uparrow, \downarrow \) This is followed by another basis transformation to obtain the states in the total \( J \) momenta. In the end, the correspondence between different \( J \)-states in the two coupling schemes can be obtained. This involves working with all possible configurations and could be tedious (for details, see Appendix A).

If, however, the multiplet structure in one of the coupling schemes is known, the multiplet structure in the other scheme can be obtained easily: the correspondence between the multiplets \( \psi_{LS}^{J_{1} J_{2} M_{J}} \) and \( \psi_{jj}^{J_{1} J_{2} M_{J}} \) obtained within the \( LS \) and \( jj \) coupling schemes can, in general, be described as
\[ \psi_{LS}^{J_{1} J_{2} M_{J}} = \sum_{L,S} (s s'| l' l| J | s l| s' l'| J') \psi_{LS}^{J_{1} J_{2} M_{J}} \]
Since the transition between \( LS \) and the \( jj \) coupling scheme is a change of the scheme of summation of four angular momenta, the transformation coefficients in (9) can be expressed in terms of \( 9j \) symbols:
\[ (s s'| l' l| J | s l| s' l'| J') = \sqrt{(2S + 1)(2L + 1)(2j + 1)(2j' + 1)} \left\{ \begin{array}{c} l' \ l \ \ L \\ j' \ j \ \ J \\ S \end{array} \right\} \]
The values of the factor
\[ \left\{ \begin{array}{c} l' \ l \ \ L \\ j' \ j \ \ J \\ S \end{array} \right\} = A(SLJ; jj'J) \]
are given, for example, in Table (5.23) of Ref. [19] or in Ref. [33].

Let us explicitly calculate how \( \psi_{LS}^{3/2_{1/2} 0 0} \) transforms into \( \psi_{SLS}^{3/2_{1/2} 0 0} \).
\[ \psi_{LS}^{3/2_{1/2} 0 0} = \sum_{L,S} \sqrt{(2S + 1)(2L + 1)(2 \cdot \frac{1}{2} \cdot \frac{1}{2})} \left( \begin{array}{c} 2 \cdot \frac{1}{2} \cdot \frac{1}{2} \end{array} \right) ^{S} \right) \times A(S L 0; \frac{1}{2} \frac{1}{2}) \psi_{LS}^{S L 0 0}. \]
Using table (5.23) of [19] we calculate the values of...
have used the explicit transformations in the $jj$ coupling scheme, discussed in Appendix A 2 (Eq. (A11) & (A14)). Nevertheless, for completeness and for pedagogical reasons, we provide and discuss both the schemes in detail in Appendix A.

We consider the kinetic energy part of the effective $t-J$ model $\mathcal{H}_t$ in the two coupling schemes. The derivation within the $jj$ coupling scheme closely follows that in the $LS$ coupling scheme and consists of two main steps. We start with the application of basis transformations Eq. (A11) & (A14) to the hopping term of $t$-$J$ model $\langle 5d^4_1 5d^3_1 | H_t | 5d^3_2 5d^4_2 \rangle$ where $H_t$ is a general one-particle tight-binding (TB) Hamiltonian adopted from Ref. [18]. Subsequently, we apply the slave-fermion, Holstein-Primakoff, Fourier, and Bogoliubov transformations, leading to:

\[
\mathcal{H}_t^{jj} = \sum_{k} \left( h_k^{A} \tilde{W}_{kq}^{A} h_{kA}^\dagger + h_k^{\beta} \tilde{W}_{kq}^{\beta} h_{kB}^\dagger + h.c. \right),
\]

where $h_k^{A}$ ($h_k^{\beta}$) represents the hole creation (annihilation) operator written in the low-energy multiplet basis comprising of singlet ($S_{A/B}$) and triplet states ($T_{mA/B}$) with $m = 0, \pm 1$ at spin-sublattices $A$ and $B$:

\[
\hat{J} = \{ S_{A}, T_{1A}, T_{0A}, T_{-1A}, S_{B}, T_{1B}, T_{0B}, T_{-1B} \}.
\]

$A/B$ represent the spin sublattice index accounting for the AF order and $\alpha^{\dagger}(\alpha)/\beta^{\dagger}(\beta)$ represents the magnon creation (annihilation) operator on the two sublattices.

For a realistic description of the motion of charge excitation in the AF background of $j = 1/2$ pseudospins in Sr$_2$IrO$_4$, we consider tight binding parameters obtained from density functional theory and exchange couplings up to third neighbor that fit the experimental magnon dispersion. Hopping parameters are described by $8 \times 8$ matrices due to charge excitation's internal degree of freedom and have been denoted by $W$. The terms $\tilde{W}_{kq}^\alpha$ describe the nearest, next nearest, and third neighbor free hopping of the polaron (i.e. not coupled to magnons) and the vertices $\tilde{W}_{kq}^\alpha$ and $\tilde{W}_{kq}^{\beta}$ describe the polaronic hopping. They are given by

\[
\tilde{W}_{kq}^\alpha = \begin{pmatrix}
\sqrt{3} P_1 & 0 & 0 & 0 & \sqrt{2} P_2 & 0 & 0 & 0 \\
0 & \sqrt{3} P_2 & 0 & 0 & \sqrt{2} P_1 & 0 & 0 & 0 \\
-\sqrt{2} P_2 & 0 & F_5 & 0 & 0 & Q_2 & 0 & Q_1 \\
0 & 0 & 0 & \sqrt{2} P_1 & 0 & Q_4 & 0 & Q_2 \\
-\sqrt{2} P_1 & 0 & \sqrt{2} P_2 & 0 & F_5 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & \sqrt{2} P_2 & 0 & \sqrt{2} P_1 \\
0 & Q_1 & 0 & Q_2 & 0 & \sqrt{2} F_2 & 0 & 0 \\
\end{pmatrix},
\]

for the free hopping matrix while the matrices containing
one hole per site is an antiferromagnet of the presence of SOC (Fig. 2(b)), the ground state with $\tau$ between neighboring holes is material specific and can total spin $S$ by $S$ is a many-body configuration $|b\rangle$ basis transformations from $LS$ with the motion of the charge excitations and their interaction is known self-consisted Born approximation. By projecting out the interaction of magnons with each $j$ body problem onto a simpler one, describing the motion, we have effectively mapped the complicated many-body problem onto a simpler one, describing the motion, and orbital moment $2g$, and a charge excitation composed of two holes (site $i$). The charge excitation is now described leading to quasiparticle dressed with the $j$ magnons. This is achieved by projection out the interaction of magnons with each other as well as their renormalization by the quasiparticle propagator. These approximations comprise the well-known self-consisted Born approximation. A schematic description of these steps and qualitative origin of $W$ terms is shown in Fig. 2. In the absence of SOC, the ground state consists of one hole per site, with a spin up or down and occupying one of the three degenerate $t_{2g}$ orbitals, and a charge excitation composed of two holes (site $i$, see Fig. 2(a)). The charge excitation is a many-body configuration $|\sigma\rangle|\sigma\rangle$, described by total spin $S$ and orbital moment $L$. Wavefunction overlap $\tau$ between neighboring holes is material specific and can be obtained from density functional calculations. In the presence of SOC (Fig. 2(b)), the ground state with one hole per site is an antiferromagnet of $j = 1/2$ pseudospins. The excited state, previously described by $S$ and $L$, must now be described using total $J$ momentum, connected to $L$ and $S$ using either $LS$ or $jj$ coupling scheme. Hopping parameters $t$ capture the motion of the charge excitations and their interaction with the $j = 1/2$ magnons and are derived from $\tau$'s using basis formulations from $LS$ and $jj$ coupling schemes as discussed in see A.

Within SCBA (Fig. 2(c)), only the non-crossing diagrams for the fermion-magnon interaction are retained, leading to quasiparticle dressed with the $j = 1/2$ magnon (polaron). The motion of the polaron is now described by the matrices $W$ which involves the coupling between the excitation and magnons and are derived from $t$'s by application of the slave-fermion, Holstein-Primakoff, Fourier, and Bogoliubov transformations (see App. B). The structural similarity between the resulting Hamiltonians in the two coupling schemes (see Eq. (15) above and Eq. (D1)) is evident. However, the $W$-terms describing the free and polaronic hoppings are different from the corresponding terms in the $LS$ coupling scheme. Comparing Eqs (17–19) with Eqs. (D2–D4), one finds that changing the coupling scheme results in renormalization of free-polaron dispersion $\tilde{W}_k^0$ and vertices $\tilde{W}_{k,q}^a$ and $\tilde{W}_{k,q}^b$, in particular for the matrix elements corresponding to the propagation of the polaron with a singlet $S_{A,B}$ character.

Thus, in the $t$-$J$ model, the coupling scheme manifests itself in the following way: each term of kinetic Hamiltonian (15) containing $\tilde{h}^J_{S(A,B)}$ ($\tilde{h}^J_{S(A,B)}$) operator gets a
renormalization factor of $\sqrt{\frac{3}{2}}$ while those containing two of singlet creation (annihilation) operators get a factor of $\frac{3}{2}$.

The above renormalization can be explained by the mixing of the two $J = 0$ states, $^3P_0$ and $^1S_0$, as one goes from the $LS$ to the $jj$ limit. This mixing is shown schematically in Fig. 1 with dotted lines. Therefore, although the choice of the coupling scheme can not result in the change of the number of multiplets or appearance of new multiplets, it can, however, have interesting consequences for the low-energy effective model.

As evident from Eq. (13), part of the spectral weight of $^3P_0$ configuration in $LS$ coupling scheme is transferred to higher energies in $jj$ coupling scheme, whereas some spectral weight from higher $^1S_0$ state is transferred to lower energies. In other words, the singlet state in the $jj$ coupling scheme gets some admixture of previously excited states and only $\sqrt{\frac{3}{2}}$ of the spectral weight of the singlet derived in the $LS$ coupling scheme. This results in renormalization of the hopping amplitudes and vertices by a factor of $\sqrt{\frac{3}{2}}$, seen in Eqs. (17 – 19). The physical consequences of this renormalization will be discussed in the next section where the theoretical ARPES spectrum for Sr$_2$IrO$_4$ in both coupling schemes will be compared.

IV. INFLUENCE OF THE COUPLING SCHEME ON THE SPECTRAL FUNCTION OF Sr$_2$IrO$_4$

Having obtained the vertices (Eqs. (17 – 19)) describing the propagation of the polaron in Sr$_2$IrO$_4$, we calculate the Green’s functions of the polaron and plot its spectral function within self consistent Born Approximation (SCBA). Since we don’t know the exact value of splitting $\Delta$ between $\psi_{1M\frac{1}{2}\frac{3}{2}}$ and $\psi_{2M\frac{1}{2}\frac{3}{2}}$ (see Fig. 1) which also depends on the Hund’s coupling $J_H$, we consider $\Delta$ as a free parameter and perform calculations for three values of $\Delta$ such that the singlet-triplet splitting $\frac{\Delta}{\lambda} - 5/8\Delta$ takes values between $\lambda/2$ and $\lambda/4$ (see Fig. 3).

There are many recent ARPES experiments revealing the shape of the iridate spectral functions, one of which is shown on the Fig. 3(d). The salient features of the spectral function are (i) lowest-energy quasiparticle peak at $(\pi,0)$ or $(0,\pi)$ ($X$ point), followed by an energy gap of $\geq 0.4$ eV, (ii) well defined peak at $(0,0)$ ($\Gamma$ point), and (iii) a plateau around $(\pi/2,\pi/2)$ ($M$ point). While the qualitative features in all the experiments are same, there are some quantitative differences. For instance, the splitting between the peaks at the $X$ point and the $\Gamma$ point varies in the range 0.15–0.25 eV — a feature crucial for explicit comparison with the experimental data.

Comparing Fig. 3(a) and Fig. 3(d), one can see that the low energy peaks at $M$ and $\Gamma$ points are present in the theoretical ARPES spectra obtained within both the coupling schemes. However, as opposed to the $LS$ coupling scheme, for the $jj$ coupling scheme, the peak at the $\Gamma$ point is significantly softened in the theoretical spectra. Furthermore, the energy gap between the peak positions at the $\Gamma$ point and the quasiparticle peak at $M$ is much larger for any value of singlet-triplet splitting.

As Coulomb $\Delta$ is varied, the most prominent change in the spectral function calculated within the $jj$ coupling scheme is the change in the energy gap between the peak at the $\Gamma$ point and the quasiparticle peak. Although the size of this gap depends on the value of the singlet-triplet splitting, it is not fully determined by it. This shift of the quasiparticle peak is understood as an effect of the renormalization of the polaronic coupling discussed earlier. Relatively good qualitative and quantitative
agreement with the experiment is obtained only with a small gap of \( \lambda/4 \) (Fig. 3(c)), which implies \( \Delta \sim \lambda \). However, as \( \Delta \) becomes comparable to \( \lambda \), the \( LS \) coupling scheme should be used, which indeed shows a good qualitative and quantitative agreement with the experiments (Fig. 3(e)).

It is interesting to note that in both \( LS \) and \( jj \) coupling schemes, there is a reasonably sharp peak at \((\pi/2, \pi/2)\) as compared to a plateau in the experimental data. Although the peak at \((\pi/2, \pi/2)\) is suppressed in the theoretical spectra too, owing to charge excitation scattering on magnons, clearly, this effect is not pronounced enough. This could arise due to overestimation of the quasiparticle spectral weight in SCBA. Other possibilities include effects beyond the approximations made in the present study, such as hybridization of the TM \( d \) orbitals with the O \( 2p \) orbitals. Such effects are known to be important in cuprates where depending on the photon energy O \( 2p \) or Cu \( 3d \) weights are observed in the ARPES spectra. However, for quasi-2D iridium oxides, both \( ab\text{-initio} \) quantum chemistry calculation, as well as ARPES experiments, suggest that the charge gap is of the order of 0.5 eV, while the Ir-O charge transfer gap is approximately 2-3 eV.\(^{49,50}\) Moreover, the charge gap in the iridates is believed to be a Mott-gap\(^{31}\) that is much smaller than the charge transfer gap, putting the iridates in the Mott-Hubbard regime.

Yet another possibility is the role of higher lying states in the multiplet structure. However, since a realistic description of all the other low-energy features of the ARPES spectra is obtained for the singlet-triplet splitting \( \lambda - 5\Delta/8 = 0.25\lambda \) or in the \( LS \) coupling scheme, the relative energy difference between the \( J = 1 \) and the \( J = 2 \) states is \( \gtrsim \lambda \). Therefore, they are expected to have an insignificant contribution to the low-energy features. Nevertheless, such effects can not be ruled out completely.

Fig. 4 shows the relative contributions of the free and the polaronic part of the spectra in the \( jj \) coupling scheme for the singlet-triplet gap equal to \( \lambda \). Comparison with the corresponding results in the \( LS \) coupling\(^{18}\) indicates a stronger influence on the polaronic part of the spectra (Fig. 4(b)) rather than on the free part (Fig. 4(a)). Indeed, the hole of a singlet character has the largest contribution to the low-energy band (see Fig. 5) and when the strength of its coupling to magnons is increased by a factor of \( \frac{3}{27} \), the band gets additionally renormalized, thus indicating the importance of the polaronic processes.

V. DISCUSSIONS

Most of the SO driven strongly correlated materials lie in the intermediate spin-orbit coupling regime rather than in the extreme well defined by the \( LS \) or \( jj \) coupling schemes.\(^{19}\) In fact, knowledge of the composition of the low-energy states and the relative energy splittings unambiguously dictates which coupling scheme is appropriate. In the absence of quantum chemistry results for Ir-\(d^4\) configuration, one needs to resort to indirect verification of a suitable theoretical model.

For ions with intermediate SOC, ground state multiplets are in general much better captured by the \( LS \) coupling scheme than the excited states.\(^{20}\) For example, even for some rare-earth compounds which have \( \xi \approx 1 - 10 \), \( LS \) coupling usually describes the experimentally measured lowest multiplet quite well, which is however not the case for higher excited states. For example, for Er\(^{3+} \) ion, which has a value of \( \xi \approx 5.53 \) close to Ir, the ground-state wave function is given by\(^{20}\)

\[
|\psi_{GS}\rangle = 0.982|4I\rangle - 0.186|2K\rangle \approx |4I_{15/2}\rangle. \tag{20}
\]

i.e. the ground state is indeed well described by the \( LS \) coupling scheme. However, already for the highest exited multiplet in the same term we have

\[
|\psi_{1}\rangle = 0.627|4I\rangle - 0.416|2K\rangle - 0.342|2G\rangle - 0.219|2H\rangle + 0.276|2G'\rangle + 0.438|2H'\rangle. \tag{21}
\]

We see that the multiplet \( 4I \), which according to the \( LS \) coupling scheme should describe \( |\psi_{1}\rangle \), has in fact
only 39% contribution in the corresponding excited wave function.\textsuperscript{20}

It is also important to note that, in the case of Ir, the first excited state $^3P_1$ is not affected by the coupling scheme choice as there exist a unique $J = 1$ state. However, this is not the case for, i.e., $^p3$ and $^p4$ configurations. In $^p3$ configuration, two lowest multiplets, $^4S_{\frac{3}{2}}$ and $^4D_{\frac{3}{2}}$, can in general mix with each other as well as with higher lying $3P_2$. In the $^p4$ configuration, where the order of some states is inverted as compared to the $^p3$ configuration, the first two excited multiplets $^3P_0$ and $^3P_2$ do change places upon going from one coupling scheme to another\textsuperscript{19}, probably rendering more pronounced effects in the theoretical description.

One can, in general, expect much bigger ramifications of the coupling scheme choice in the cases where the composition of the excited states are different as well since under the same values of SOC they usually do get renormalized much more than the ground state, as exemplified by Eqs. (20) – (21).

Naturally, the same renormalization effect discussed in the present work would also be observed for an electron in the material with $t_{2g}$ configuration in the ground state and strong on-site SOC for any geometry and choice of hopping parameters. For example, deriving a $t$-$J$ model for a honeycomb iridates with one hole which forms the many-body $d^4$ configurations as well, one would get the same renormalization of the kinetic Hamiltonian when going from $LS$ to $jj$ limit, even though the motion of free charge on the honeycomb lattice is described by a completely different TB model: the hoppings between different orbitals are much larger than the hoppings between the same ones and they are moreover strongly bond-dependent.\textsuperscript{52}

For the present case, employing the DFT-based TB parameters accounts for the crystal field effects and distortions such as octahedra rotation. We note, however, considerable differences from the present case are expected in strong distortions, e.g., under pressure, due to additional mixing of the states\textsuperscript{53} and, even more importantly, the renormalization of the Clebsch-Gordan coefficients.\textsuperscript{13}

Furthermore, the fact that multiplet structure of Ir$^{5+}$ can be so well described by $LS$ coupling scheme also suggests that the superexchange model for Sr$_2$IrO$_4$ can be derived by simply projecting the Kugel-Khomskii model\textsuperscript{12} onto the spin-orbit coupled basis as done in e.g. Ref. [13].

VI. CONCLUSIONS

In conclusion, we have studied the ARPES spectra for quasi-2D square lattice iridates in weak and strong SOC strengths where the multiplet structures are well defined by different coupling schemes. Specifically, we have studied how the choice of the coupling scheme can influence the multiplet structure and consequently the low-energy effective model for Sr$_2$IrO$_4$, effectively described by $p^2$ configuration. We have shown that for a $t$-$J$-like model for Sr$_2$IrO$_4$, the $jj$ coupling scheme induces renormalization of the vertices in the kinetic part of the Hamiltonian and prominent changes in the spectral function calculated within SCBA. We have compared the spectra calculated in both coupling schemes to the experimental ARPES data. Interestingly, despite large SOC, we find much better agreement to the experiment for the model derived within the $LS$ coupling scheme. We argue that just as well as for many rare-earth compounds, which have comparable SOC strength, the spin-orbit coupling, albeit strong, is yet weak enough to allow for a successful description of the ground state in the framework of the $LS$ coupling scheme.

For other electronic configurations, such as $p^3$ or $p^4$, where all of the low-energy multiplets are renormalized as we go from $LS$ to $jj$ coupling scheme\textsuperscript{54}, more dramatic consequences are expected in the theoretical ARPES spectra.

Although, the choice of the coupling scheme and the effective low-energy model can be guided by the knowledge of the composition and relative energy splittings of the multiplets, in the absence of such experimental and/or quantum chemistry studies, the validity of the same must be ascertained.

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Appendix A: Multiplet Structure

1. $LS$ coupling scheme

To calculate the multiplet structure of $p^2$ configuration in $LS$ coupling scheme as used in Ref. [18], one has to establish an unambiguous link between the single particle states $|\zeta\sigma\rangle$, $|\zeta\sigma'\rangle$ (for two holes) and the final multiplet set $|S, M_S, L, M_L\rangle$ where $\zeta,\zeta' = xy, yz, zx$ indicate the orbitals occupied by the holes, and $\sigma, \sigma' = \uparrow, \downarrow$. This is done in the following way.

First, one has to make a basis transformation from the real space basis $|\zeta\sigma\rangle$ to the single-particle states in the $Y_{2m}$ basis $|msm_s\rangle$.\textsuperscript{13} Secondly, for multi-particle configurations, one must construct the basis transformation from the product states to states described by total $L$ and $S$. In principle, one can use Clebsch-Gordan coefficients (CGCs). However, there is a caveat: Clebsch-Gordan
tables are formulated for summation of momenta of two inequivalent electrons. So, if we want to sum spins \( s_1 \) and \( s_2 \) of two electrons, they must be distinguishable. If they were on two different sites, then the position would suffice. However, if they are on the same site, as in our case, the multi-particle state can be obtained correctly by CGCs only if they reside on different orbitals. Bearing this in mind we avoid using CGCs for two-particle configurations and instead perform moment summation using the high weight decomposition method, discussed in detail in Appendix C.

As a result, we can construct the matrix \( U_1 \) that transforms the Hamiltonian \( H_{ls} \) from the product state basis \(| s m_l m_s \rangle | l' s' m'_l m'_s \rangle \) to the total spin and orbital momentum basis \( | L S M_L M_S \rangle \):

\[
H_{LS-basis}^{LS} = U_1^\dagger H_{ls-basis} U_1, \tag{A1}
\]

where

\[
U_1 = \begin{pmatrix}
0 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 \\
0 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0 \\
0 0 0 0 1 0 0 0 0 0 0 0 0 0 0 0 \\
0 0 0 0 0 1 0 0 0 0 0 0 0 0 0 0 \\
0 0 0 0 0 0 1 0 0 0 0 0 0 0 0 0 \\
0 0 0 0 0 0 0 1 0 0 0 0 0 0 0 0 \\
0 0 0 0 0 0 0 0 1 0 0 0 0 0 0 0 \\
0 0 0 0 0 0 0 0 0 1 0 0 0 0 0 0 \\
0 0 0 0 0 0 0 0 0 0 1 0 0 0 0 0 \\
0 0 0 0 0 0 0 0 0 0 0 1 0 0 0 0 \\
0 0 0 0 0 0 0 0 0 0 0 0 1 0 0 0 \\
0 0 0 0 0 0 0 0 0 0 0 0 0 1 0 0 \\
0 0 0 0 0 0 0 0 0 0 0 0 0 0 1 0 \\
0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 1
\end{pmatrix},
\]

and the product state basis \(| s m_l m_s \rangle | l' s' m'_l m'_s \rangle \) is defined as

\[
\hat{a} = \{ |1 1 0 0 0 0 \rangle, |1 0 1 0 0 0 \rangle, |1 0 0 1 0 0 \rangle, |1 0 0 0 1 0 \rangle, \\
|1 0 0 0 0 1 \rangle, |0 1 1 0 0 0 \rangle, |0 1 0 1 0 0 \rangle, |0 1 0 0 1 0 \rangle, \\
|0 1 0 0 0 1 \rangle, |0 0 1 1 0 0 \rangle, |0 0 1 0 1 0 \rangle, |0 0 1 0 0 1 \rangle, \\
|0 0 0 1 1 0 \rangle, |0 0 0 1 0 1 \rangle, |0 0 0 0 1 1 \rangle \}, \tag{A2}
\]

where 1(0) represents the (un-)occupied particle state of the Hilbert space spanned by \( |m m_s \rangle = \{ |1 \uparrow \rangle, |1 \downarrow \rangle, |0 \uparrow \rangle, |0 \downarrow \rangle, |1 \uparrow \rangle, |1 \downarrow \rangle \}^T \). The multiplet basis \(| S M_S M_L L \rangle \) is defined as

\[
\hat{A} = \{ |1 1 1 1 \rangle, |1 1 1 0 \rangle, |1 1 0 1 \rangle, |1 0 1 1 \rangle, \\
|1 0 0 1 \rangle, |1 0 1 0 \rangle, |1 0 0 0 \rangle, |1 1 0 0 \rangle, \\
|1 1 1 1 \rangle, |1 1 1 0 \rangle, |1 1 0 1 \rangle, |1 0 1 1 \rangle, \\
|1 0 0 1 \rangle, |1 0 1 0 \rangle, |1 0 0 0 \rangle \}^T, \tag{A3}
\]

so that

\[
\hat{A} = U_1 \hat{a}. \tag{A4}
\]

Upon employing this transformation, we have effectively taken Hamiltonian (5) that defines the first-order corrections to the eigenstates of the system into account. According to the Hund’s rules, the state with the lowest energy is the one with the highest multiplicity and the highest possible \( L \), i.e. in the first approximation the ground state is the nine-fold degenerate \( ^3P \) multiplet.

To account for further perturbation on the system induced by the strong on-site spin-orbit coupling (Eq. (6)), we perform a basis transformation to obtain the total \( J \) momenta. To build a low-energy effective model, we truncate the Hilbert space down to the high spin \( ^3P \) states only. Since total spin \( S = 1 \) and orbital momenta \( L = 1 \) are distinguishable by their nature, we can simply use the CG coefficients to sum them up, leading to

\[
H_{J-basis}^{LS} = U_2^\dagger H_{LS-basis}^{LS} U_2, \tag{A5}
\]

where, \( U_2 \) is

\[
U_2 = \begin{pmatrix}
0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 \\
0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 \\
0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 \\
0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 \\
0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 \\
0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 \\
0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 \\
0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 \\
0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 \\
0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 \\
0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 \\
0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 \\
0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 \\
0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
\end{pmatrix}
\]

\[
H_{J-basis}^{LS} \text{ is written in the spin-orbit coupled basis}
\]

\[
\hat{J} = \{ S, T_1, T_0, T_{-1}, M_S, M_L, M_{S-1}, M_{L-1}, M_{S-2}, M_{L-2} \}^T, \tag{A7}
\]

which consists of the lowest \( J = 0 \) singlet \( S \), the higher \( J = 1 \) triplets \( T_m \) \( (m = -1, 0, 1, \text{split by energy } \lambda \text{ from the singlet state}) \) and \( J = 2 \) quintets.\(^{18}\)

To arrive at the final effective low-energy model we further truncate the Hilbert space and reduce the basis set to the two lowest multiplets \( ^3P_0 \) and \( ^3P_1 \) (see Fig 1):

\[
\hat{J} = \{ S, T_1, T_0, T_{-1} \}^T. \tag{A8}
\]

2. jj coupling scheme

The \( jj \) coupling scheme is applicable if \( H_{SOC} > H_{res} \), implying that \( H_{SOC} \) is the strongest perturbation to \( H_{Cen} \). In practice, this means that \( L \) and \( S \) are not good quantum numbers anymore (i.e. they do not even form a good first order approximation to the (unknown) eigenbasis of the total Hamiltonian Eq. (3)) and the total \( J \) momentum has to be calculated as a sum of individual \( j \) momenta characterizing each particle.

We now derive the basis transformation connecting Hamiltonian in the \( |m m_s \rangle \) \( |m'_l m'_s \rangle \) independent particle basis to the Hamiltonian defined in the basis of the total momenta \( J \). In the \( jj \) coupling scheme, we first use CGCs to sum up the total momenta on each site

\[
C_{01}^T C_{11}^T |0 \rangle \rightarrow \left( \sqrt{\frac{7}{3}} \frac{3}{2} \frac{1}{2} - \sqrt{\frac{7}{3}} \frac{1}{2} \frac{1}{2} \right) \left( \frac{3}{3} \frac{1}{2} \frac{1}{2} \right), \tag{A9}
\]
where the latter is written in the spin-orbit coupled single-particle basis \(|j m_j\). Since we perform CG summation here independently for both electrons, we have to take Pauli principle into account manually by projecting out forbidden states by hand. In the end, we arrive at:

\[
U_3 = \begin{pmatrix}
0 & 0 & 0 & 0 & \sqrt{2} - \frac{i}{\sqrt{2}} & 0 & 0 & 0 & 0 \\
-\sqrt{2} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
\end{pmatrix}
\]

(A10)

which is needed for

\[
\mathcal{H}_{j j - \text{basis}}^{ij} = U_3^{\dagger} \mathcal{H}_{J K - \text{basis}}^{ij} U_3 \quad (A11)
\]

to transform the Hamiltonian from the basis (A2) into the individual \(j\) basis \(|j m_j j' m_{j'}\):

\[
j = \{(\frac{1}{2} - \frac{1}{2} \frac{1}{2} \frac{1}{2}), (\frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2}), (\frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2}), (\frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2}), (\frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2}), (\frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2})\},
\]

(A12)

Now, we employ the high weight decomposition method to obtain Hamiltonian (Eq.(A11)) in the total \(J\) basis (see Appendix C2 for details):

\[
j = \{S(\frac{1}{2} \frac{1}{2}), T_1(\frac{1}{2} \frac{1}{2}), T_0(\frac{1}{2} \frac{1}{2}), T_{-1}(\frac{1}{2} \frac{1}{2}), M_2(\frac{1}{2} \frac{1}{2})\},
\]

\[
M_1(\frac{1}{2} \frac{1}{2}), M_0(\frac{1}{2} \frac{1}{2}), M_{-1}(\frac{1}{2} \frac{1}{2}), S(\frac{1}{2} \frac{1}{2}),
\]

\[
M_2(\frac{1}{2} \frac{1}{2}), M_1(\frac{1}{2} \frac{1}{2}), M_0(\frac{1}{2} \frac{1}{2}), M_{-1}(\frac{1}{2} \frac{1}{2})\}^T,
\]

(A13)

where \(S\) is singlet state, \(T_m\) represents a triplet state with \(J = 1, J_z = m, M_m\) signifies a quintet state with \(J = 2, J_z = m\) and the superscript stands for \((j_1, j_2)\). Basis (A13) is equivalent to (A7) when cut down to the lowest 9 states and to (A8) upon further truncation to lowest 4 states.

In the end, we arrive at the final Hamiltonian

\[
\mathcal{H}_{j j - \text{basis}}^{ij} = U_4^{\dagger} \mathcal{H}_{j j - \text{basis}}^{ij} U_4.
\]

(A14)

where, the basis transformation is

\[
U_4 = \begin{pmatrix}
1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
\end{pmatrix}
\]

(A15)

The correspondence between the two coupling schemes is obtained by matrix manipulation of the above matrices \(U_1, U_2, U_3\) and \(U_4\), leading to results of Eq. (13).

**Appendix B: Derivation of W-terms**

To illustrate the renormalization of different elements of W-terms, we consider a NNN hopping between the sites \(i\) and \(j\) which involves only hopping between orbitals \(\zeta = xy\) at each site:

\[
H = \sum_{i j, \sigma = \uparrow, \downarrow} t' c_i \zeta c_j \zeta.
\]

We transform this Hamiltonian into a basis that spans the full Hilbert space of two NNN sites \(|l s m_l m_s\rangle_i \otimes |l' s' m_{l'} m_{s'}\rangle_i \otimes |l s m_l m_s\rangle_j + h.c.. We do not explicitly show this transformed Hamiltonian \(H'\) here because of the size of the matrix (180 \(\times\) 180). The Hamiltonian in spin-orbit coupled basis within \(jj\) coupling scheme is then calculated as

\[
H_{j j} = (U_3 \times U_4) \otimes U_5^\dagger H' ((U_3 \times U_4) \otimes U_5),
\]

(B2)

where \(U_5\) describes transformation of multiplet structure of a single hole/electron in three \(t'_{2g}\) orbitals into total \(j\) basis. This transformation is independent of the coupling scheme and can be obtained easily (see, e.g. 13 and 31). Hamiltonian \(H\) then produces another 180 \(\times\) 180 matrix with quite a few non-zero entries. For instance, the \((1,12)\)-th matrix element is

\[
[H_{j j}]_{1,12} = -\sum_{(i,j)} \frac{t'_{ij}}{6\sqrt{2}} S_{i} d_{i}^{\dagger} d_{j}^{\dagger},
\]

(B3)

where \(d_{i}^{\dagger}\) stands for creating an electron on site \(i\) in the \(j = 1/2\) doublet with \(j_z = \sigma\), and \(S_i\) and \(T_{m}, m = 0, \pm 1\) character on site \(i\). The resulting Hamiltonian is then mapped onto a polaronic model as described in detail in e.g. Supplemental Material of 55. We subsequently introduce
two antiferromagnetic sublattices A and B, and perform the Holstein-Primakoff transformation:

\[
[H^{ij}]_{1,12} = - \sum_{(i,j)} \frac{t'}{6\sqrt{2}} \left( S^a_{i} T_{j} A_{i} a_i + S^b_{j} T_{j} B_{j} b_j \right),
\]

(B4)

where \(a_i^\dagger b_j^\dagger\) stands for creating a magnon on sublattice A (B). Then, we translate into \(k\) space using Bogoliubov and Fourier transforms and obtain

\[
[H^{ij}]_{1,12} =
\frac{t'}{3} \left[ T_{1 Ak} \cos (k_x - k_y) S^a_{Ak-q} (\alpha q u_q + \beta q v_q) + T_{1 Bk} S^b_{Bk-q} \cos (k_x - k_y + q_y) (\beta q u_q + \alpha q v_q) \right]
\]

(B5)

Here, \(\alpha^\dagger \) (\(\alpha\)) / \(\beta^\dagger \) (\(\beta\)) represents the magnon creation (annihilation) operator on the two sublattices A/B after the Bogoliubov transformation, and \(u_q\) and \(v_q\) are the Bogoliubov coefficients. After this transformation has been performed for all the terms of Hamiltonian, the \(t' \sqrt{\frac{3}{N}}\) coefficients would enter the \(W\) expressions.

In the \(LS\) coupling scheme, the corresponding \((1,12)\)-th element of the above hopping Hamiltonian (B1) yields

\[
[H^{LS}]_{1,12} = - \sum_{(i,j)} \frac{t'}{6\sqrt{3}} S^a_{i} d^\dagger_{i} d^\dagger_{j} T_{j} 1,
\]

(B6)

rendering the renormalization of the elements of \(W\) terms by a factor of \(\sqrt{2/3}\) compared to the hopping Hamiltonian (B3), as discussed in Section III.

Appendix C: High weight decomposition method

1. \(LS\) coupling scheme

We start with the “high spin” state with the largest possible total spin \(S = 1\) and highest possible \(L\) for this \(S\). Obviously, there are nine states with \(S = 1\) and \(L = 1\) which form the \(^3P\) multiplet. From them we choose the one with the maximum projections \(M_L\) and \(M_S\): \(\psi^{LS}_{L} = |S \ M_S \ L \ M_L\rangle = |1 \ 1 \ 1 \ 1\rangle\). In terms of single-particle second quantization operators there is only one way this state can possibly be constructed:

\[
\psi^{LS}_{1} = |1,1,1,1\rangle = c^\dagger_{0\uparrow} c^\dagger_{1\uparrow} |0\rangle,
\]

(C1)

where \(c^\dagger_{m\sigma}\) is an operator creating an electron on the \(l_{\text{eff}} = 1\) orbital with \(m_1 = \alpha\) and spin \(\sigma\), and the vacuum state \(|0\rangle\) is defined as empty \(t_{2g}\) shell. To construct the next possible state we employ a ladder operator \(\hat{L}^-\):

\[
\psi^{LS}_{2} = \hat{L}^- \psi^{LS}_{1}.
\]

(C2)

Using formula for the ladder operator known from textbooks (see for example Landau and Lifshitz)

\[
\langle L, M_{L-1} | \hat{L}^- | L, M_L\rangle = \sqrt{(L + M_L)(L - M_L + 1)},
\]

(C3)

and normalizing (C2) we get

\[
\psi^{LS}_{2} = |1 \ 1 \ 1 \ 0\rangle = c^\dagger_{-1\uparrow} c^\dagger_{1\uparrow} |0\rangle.
\]

(C4)

Now we can either apply \(\hat{L}^-\) once more or employ spin ladder \(S^-\) operator instead. Let us look at the effect of the latter:

\[
|1 \ 0 \ 1 \ 0\rangle = S^- \psi^{LS}_{2} = \frac{1}{\sqrt{2}} \left( c^\dagger_{-1\uparrow} c^\dagger_{1\uparrow} + c^\dagger_{-1\downarrow} c^\dagger_{1\downarrow} \right) |0\rangle.
\]

(C5)

For a particular electronic configuration containing indistinguishable electrons according to the empirical Hund’s rule the ground state is the one with the largest possible for this configuration value of the total spin \(S\) and the largest possible for this \(S\) value of the total orbital momentum \(L\). So, having obtained all nine states of the \(^3P\) multiplet in this way we proceed by searching for a state with the highest possible total orbital momentum. Since one has to place two electrons on the same orbital to get total orbital momentum \(L = 2\), they must have opposite spins in order to obey Pauli’s principle. This state thus has \(L = 2, S = 0\) and belongs to \(^1D\) quintet. Again, for the state with the highest possible momentum, be it orbital or spin, there is always one unique way to construct it:

\[
\psi^{LS}_{10} = |0 \ 0 \ 2 \ 2\rangle = c^\dagger_{1\uparrow} c^\dagger_{1\uparrow} |0\rangle.
\]

(C6)

It is important on this step to keep operator ordering convention consistent with that used in (C1). After we have obtained all five states of \(^1D\) multiplet using ladder operators, we only need to find the last missing state: singlet \(^1S\) (full list of multiplets forming for a particular electronic configuration can be found in many atomic physics book, see e.g. Table 2.1 in [19]). We know that \(^1S\) state shall have \(M_S = 0\) and \(M_L = 0\), but we do not know what the quantum numbers \(L, S\) are. What we however know is that \(^1S\) state has to be orthogonal to the other two states with \(M_S = 0\) and \(M_L = 0\), which are written as

\[
|1 \ 0 \ 1 \ 0\rangle = \frac{1}{\sqrt{2}} \left( c^\dagger_{-1\uparrow} c^\dagger_{1\uparrow} + c^\dagger_{-1\downarrow} c^\dagger_{1\downarrow} \right) |0\rangle,
\]

(C7)

\[
|0 \ 0 \ 2 \ 0\rangle = \frac{1}{\sqrt{6}} \left( c^\dagger_{-1\uparrow} c^\dagger_{1\uparrow} - c^\dagger_{-1\downarrow} c^\dagger_{1\downarrow} + 2 c^\dagger_{0\uparrow} c^\dagger_{0\downarrow} \right) |0\rangle.
\]

(C8)

Since there can be no other combination of two creation operators creating a state with both \(M_S = 0\) and \(M_L = 0\) other than the three used in (C7) the missing state has to be a combination of them as well and simultaneously orthogonal to the two states in (C7). Employing trivial linear algebra we get that the \(^1S\) multiplet is written as

\[
\psi^{LS}_{15} = |0 \ 0 \ 0 \ 0\rangle = \frac{1}{\sqrt{3}} \left( c^\dagger_{-1\uparrow} c^\dagger_{1\uparrow} - c^\dagger_{-1\downarrow} c^\dagger_{1\downarrow} - c^\dagger_{0\uparrow} c^\dagger_{0\downarrow} \right) |0\rangle.
\]

(C8)
2. \( jj \) coupling scheme

We start from the state with highest possible \( M_J = 2 \). The state with the highest total momenta \( J = 2 \) can be constructed either by placing one electron on the \( j = 3/2 \) state with energy \( \lambda = \xi/2 \) and one electron on the \( -3/2 \) state or by placing two electrons on \( j = 3/2 \) quartets both having energy \( \lambda = \xi/2 \) so that a two-particle state has energy \( \lambda = \xi \). Let us start with a state that is lower in energy

\[
\psi_b^j = |J = 2 M_J = 2 \rangle (\frac{3}{2} \frac{1}{2}) = \left[ \begin{array}{c} 3 \\ 3 \\ 1 \\ 1 \\ 1 \\ 2 \\ 2 \\ 2 \\ 2 \\ 2 \end{array} \right].
\] (C9)

Applying ladder operator \( J^- \) and normalizing the result we obtain the next state

\[
\psi_{ij} = |J = 2 M_J = 1 \rangle (\frac{3}{2} \frac{1}{2}) = \frac{\sqrt{3}}{2} \left[ \begin{array}{c} 1 \\ -1 \\ 3 \\ 3 \\ 1 \\ -1 \\ 2 \\ 2 \\ 2 \end{array} \right].
\] (C10)

Once we have obtained five possible \( J = 2 \) states we consider the other \( |J = 2 M_J = 1 \rangle \) configuration formed by two electrons in the \( j = 3/2 \) quartet:

\[
\psi_{i1j} = |J = 2 M_J = 2 \rangle (\frac{3}{2} \frac{3}{2}) = \left[ \begin{array}{c} 3 \\ 1 \\ 3 \\ 1 \\ 2 \\ 2 \\ 2 \\ 2 \end{array} \right].
\] (C12)

Note that once chosen, the ordering convention has to be followed since fermionic operators anticommute.

Rest of the derivation is performed analogously to that in section C.1.

Appendix D: \( t - J \) model within the \( LS \) coupling scheme

The kinetic part of the \( t - J \) in the \( LS \) coupling scheme is

\[
\hat{\mathcal{H}}_t = \sum_k \left( \hat{h}_{kA}^\dagger \hat{h}_{kA} + \hat{h}_{kB}^\dagger \hat{h}_{kB} \right) + \sum_{k,q} \left( \hat{h}_{k-qB}^\dagger \hat{\nu}_{k,q} \hat{h}_{kB} \right) + h.c.
\]

where the free hopping matrix is defined as

\[
\hat{\nu}_k = \begin{pmatrix}
F_1 & 0 & -F_2 & 0 & 0 & P_2 & 0 & -P_1 \\
0 & F_4 & 0 & 0 & P_2 & 0 & Q_1 & 0 \\
-F_2 & 0 & F_3 & 0 & 0 & Q_2 & 0 & Q_1 \\
0 & 0 & 0 & -P_2 & 0 & Q_2 & 0 & Q_1 \\
0 & P_1 & 0 & -P_2 & F_3 & 0 & F_2 & 0 \\
P_2 & 0 & Q_2 & 0 & 0 & 0 & 0 & 0 \\
0 & Q_1 & 0 & Q_2 & F_2 & 0 & F_3 & 0 \\
-P_1 & 0 & Q_1 & 0 & 0 & 0 & 0 & F_4
\end{pmatrix}
\]

(D2)

and the the matrices containing vertices are

\[
\hat{\nu}_{k,q}^a = \begin{pmatrix}
0 & L_3 & 0 & -L_3 & Y_1 & 0 & -W_2 & 0 \\
L_3 & 0 & L_1 & 0 & 0 & Y_4 & 0 & W_1 \\
0 & L_1 & 0 & L_1 & -W_2 & 0 & Y_2 & 0 \\
-L_3 & 0 & L_1 & 0 & 0 & W_1 & 0 & Y_3 \\
0 & 0 & 0 & 0 & 0 & L_4 & 0 & -L_4 \\
0 & 0 & 0 & 0 & 0 & L_2 & 0 & L_2 \\
0 & 0 & 0 & 0 & -L_4 & 0 & L_2 & 0
\end{pmatrix}
\]

(D3)

\[
\hat{\nu}_{k,q}^\beta = \begin{pmatrix}
0 & L_4 & 0 & -L_4 & 0 & 0 & 0 & 0 \\
L_4 & 0 & L_2 & 0 & 0 & 0 & 0 & 0 \\
0 & L_2 & 0 & L_2 & 0 & 0 & 0 & 0 \\
-L_4 & 0 & L_2 & 0 & 0 & 0 & 0 & 0 \\
Y_1 & 0 & W_3 & 0 & 0 & L_3 & 0 & L_1 \\
0 & Y_3 & 0 & W_1 & L_3 & 0 & L_1 & 0 \\
W_2 & 0 & Y_2 & 0 & 0 & L_1 & 0 & L_1 \\
0 & W_1 & 0 & Y_4 & -L_3 & 0 & L_1 & 0
\end{pmatrix}
\]

(D4)

Appendix E: Free hopping and vertex elements

The nearest neighbor free hopping \( P(k) \), \( Q(k) \) and the polaronic diagonal \( Y(k,q) \) and non-diagonal \( W(k,q) \) vertex elements are

\[
P_1(k) = \frac{2(2t_1 - t_2)}{3\sqrt{3}} \gamma_k - \frac{2t_3}{3\sqrt{3}} \gamma_k,
\]

(E1)

\[
P_2(k) = \frac{2t_2}{\sqrt{3}} \gamma_k - \frac{2t_3}{\sqrt{3}} \gamma_k,
\]

(E2)

\[
Q_1(k) = \frac{(4t_1 + t_2)}{3\sqrt{2}} \gamma_k + \frac{t_3}{3\sqrt{2}} \gamma_k,
\]

(E3)

\[
Q_2(k) = \frac{t_2}{\sqrt{2}} \gamma_k - \frac{t_3}{\sqrt{2}} \gamma_k
\]

(E4)

\[
W_1(k,q) = \frac{t_3 - t_2}{\sqrt{2N}} \left( \gamma_{k-q}u_q + \gamma_kv_q \right),
\]

(E5)

\[
W_2(k,q) = -\frac{4(2t_1 - t_2 - t_3)}{3\sqrt{3N}} \left( \gamma_{k-q}u_q - \gamma_kv_q \right),
\]

(E6)

\[
Y_1(k,q) = -\frac{16(t_1 + t_2 + t_3)}{9\sqrt{2N}} \left( \gamma_{k-q}u_q + \gamma_kv_q \right),
\]

(E7)

\[
Y_2(k,q) = -\frac{2(4t_1 + t_2 + t_3)}{3\sqrt{2N}} \left( \gamma_{k-q}u_q + \gamma_kv_q \right),
\]

(E8)

\[
Y_3(k,q) = -\frac{4t_1 + t_2 + t_3}{3\sqrt{2N}} \gamma_{k-q}u_q - \frac{3(t_2 + t_3)}{\sqrt{2N}} \gamma_{k-q}u_q,
\]

(E9)

\[
Y_4(k,q) = -\frac{4t_1 + t_2 + t_3}{3\sqrt{2N}} \gamma_{k-q}u_q - \frac{3(t_2 + t_3)}{\sqrt{2N}} \gamma_{k-q}v_q
\]

(E10)

with \( \gamma_k = 1/2(\cos k_x + \cos k_y) \) and \( \tilde{\gamma}_k = 1/2(\cos k_x - \cos k_y) \), where \( N \) is the number of sites, and \( u_q \) and \( v_q \) are the Bogoliubov coefficients.\(^{18} \)
The free hopping elements arising from the next-nearest and third neighbor hoppings are

\begin{align}
F_1(k) &= -\frac{4t'\gamma_k'}{9} - \frac{4t''\gamma_k''}{9}, \\
F_2(k) &= -\frac{8t'\gamma_k'}{3\sqrt{6}} - \frac{8t''\gamma_k''}{3\sqrt{6}}, \\
F_3(k) &= -\frac{2t'\gamma_k'}{3} - \frac{2t''\gamma_k''}{3}, \\
F_4(k) &= -\frac{t'\gamma_k'}{3} - \frac{t''\gamma_k''}{3},
\end{align}

where \( \gamma_k' = \cos k_x \cos k_y \). The polaronic next-nearest and third neighbor vertex elements are

\begin{align}
L_1(k, q) &= \frac{4t'}{3\sqrt{N}} \gamma_{k'q} u_q + \frac{4t''}{3\sqrt{N}} \gamma_{k'q} u_q, \\
L_2(k, q) &= \frac{4t'}{3\sqrt{N}} \gamma_{kq} v_q + \frac{4t''}{3\sqrt{N}} \gamma_{kq} v_q, \\
L_3(k, q) &= \frac{8t'}{3\sqrt{6N}} \gamma_{k'q} u_q + \frac{8t''}{3\sqrt{6N}} \gamma_{k'q} u_q, \\
L_4(k, q) &= \frac{8t'}{3\sqrt{6N}} \gamma_{kq} v_q + \frac{8t''}{3\sqrt{6N}} \gamma_{kq} v_q,
\end{align}

with \( \gamma_k'' = 1/2(\cos 2k_x + \cos 2k_y) \).

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