Complete Dynamical Localization in Disordered Quantum Multi-Particle Systems*

Michael Aizenman\(^{(a)}\) and Simone Warzel\(^{(b)}\)

\(^{(a)}\) Departments of Physics and Mathematics
Princeton University, Princeton NJ 08544, USA

\(^{(b)}\) Zentrum Mathematik, TU München
Boltzmannstr. 3, 85747 Garching, Germany

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Abstract

We present some recent results concerning the persistence of dynamical localization for disordered systems of \(n\) particles under weak interactions.

1 Introduction

After more than half a century, Anderson localization continues to attract the interest of a broad spectrum of researchers ranging from experimentalists, who finds its effects in systems of cold atoms and in photonic crystals \(^7\), to mathematical physicists. Considerable progress was made in the rigorous methods for the study of the localization effects of disorder in the context of the one-particle theory \(^{17,14,6}\). More recently attention has centered on the role of interactions, and questions related to the persistence of the localization picture in the presence of inter-particle interactions. We shall report here on some progress which was made in that area.

1.1 The one-particle theory

The discussion of weakly interacting particles often starts from the approximation in which the interactions are ignored. That is, one first considers systems of Fermions, or Bosons possibly with on-site repulsion, subject to a fixed potential which includes random terms. Such system can be understood in terms of the one-particle theory.

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For particles moving on a lattice $\mathbb{Z}^d$, the single-particle Hamiltonian may take the form

$$H^{(1)}(\omega) := -\Delta + \lambda V(x; \omega), \quad \text{in } \ell^2(\mathbb{Z}^d),$$

(1.1)

where $-\Delta$ is the lattice Laplacian and the random potential incorporates a disorder parameter $\lambda \geq 0$. For the convenience of presentation, all mathematical statements in this note are made under the assumption that the random potential $V(x; \omega)$ takes independent and identically distributed values on the lattice sites $x \in \mathbb{Z}^d$, with a distribution $P(V_x \in dv) = \rho(v) dv$ of a bounded, compactly supported density.

When talking about localization for operators such as $H^{(1)}(\omega)$, different notions have been used and established:

**Spectral localization** in an energy regime $I \subset \mathbb{R}$, refers to the statement that within the specified energy regime $H^{(1)}(\omega)$ has only pure point spectrum, with exponentially localized eigenfunctions, cf. [17] and references therein.

**Dynamical localization** in $I \subset \mathbb{R}$, refers to the statement that any initially localized state, which is a wave packet with energies in $I \subset \mathbb{R}$, will remain exponentially localized indefinitely under the time evolution. A convenient sufficient condition is that for some $A, \xi \in (0, \infty)$ (both depending on $I, \lambda$),

$$(DL) \quad \mathbb{E} \left[ \sup_{t \in \mathbb{R}} \left| \langle \delta_y, e^{-itH^{(1)}} P_I(H^{(1)}) \delta_x \rangle \right|^2 \right] \leq A e^{-|x-y|/\xi}$$

where $\delta_x \in \ell^2(\mathbb{Z}^d)$ is the $\delta$ state at $x$ and $P_I(H^{(1)})$ is a spectral projection.

While spectral localization provides some coarse information on the quantum time evolution via the RAGE theorem, dynamical localization in the sense of (DL) is a somewhat stronger notion. Historically, spectral localization was the first to be rigorously established by building, for $d > 1$ at extreme energies or large disorder, on the multi-scale analysis of Fröhlich and Spencer [12]; cf. [17, 14]. The first proof of dynamical localization [2] relied on the fractional moment analysis of [1].

It is one of the features of the single particle model (1.1) that in any dimension there is an extreme disorder regime, with $\lambda$ exceeding some finite $\lambda_1$, in which one has **complete dynamical localization**, meaning that all states are localized and dynamical localization (DL) holds with $I = \mathbb{R}$.

For the single particle model (1.1) in $d = 1$ complete dynamical localization with critical disorder strength $\lambda_1 = 0$ was established already in [15]. For higher dimensions, $d \geq 1$, an explicit bound on $\lambda_1$ can be found in [2].

### 1.2 The influence of interaction on localization: a challenge

It is not hard to see that the above localization properties are inherited by a system of non-interacting particles with a one-particle Hamiltonian corresponding to (1.1). An important question however, is whether such behavior will persist under the addition of interparticle interactions. Especially interesting is the situation where there are $n$ fermions in a region of volume $|\Lambda|$, with $|\Lambda| \to \infty$ and $n/|\Lambda| \to \rho > 0$. 


A rather strong claim is being advocated by Basko, Aleiner and Altshuler [8], who argue that under strong disorder weak interactions do not change the qualitative picture of localization as it is seen in the non-interacting model.

The proposal is rather startling. It includes the claim that if the system of particles of an overall positive density is started at a initial state at which the distribution in space of particles and energy is far from uniform, its irregularity will persist indefinitely under the time evolution. This seems to run against the vague equidistribution principle, by which one expects that except under unusual circumstances, such as in the non-interacting integrable model, the initial state will evolve in time (in a weak enough sense) towards states which maximize the entropy, in a coarse-grained sense, subject to the given energy and particle number constraints.

Persistence of localization is not undisputed among physicists. In particular, long-range repulsive interactions are conjectured to have a delocalizing effect [16].

Rigorous methods are still far from allowing one to decide whether complete localization will persist or perish in the presence of interactions. Furthermore, the analysis of even a fixed number of particles with short range interactions in the infinite-volume limit has presented difficulties, and we will report on some recent progress [10, 11, 5] made in this direction.

As an aside we note that the dynamics of multiparticle systems bear some relation to non-linear evolutions. Recent results on that topic are discussed in [18].

## 2 Dynamical localization for multi-particle systems

### 2.1 The $n$-particle Hamiltonian

We will be concerned with a system of finitely many interacting particles in the random potential described above. The $n$-particle Hamiltonian is given by

$$H^{(n)}(\omega) := \sum_{j=1}^{n} [-\Delta_j + \lambda V(x_j; \omega)] + U(x; \alpha), \quad \text{in } \ell^2((\mathbb{Z}^d)^n) \quad (2.1)$$

acting in the Hilbert space over all configurations $x = (x_1, \ldots, x_n) \in (\mathbb{Z}^d)^n$. Here the last term is a $p$-site interaction of range $\ell_U < \infty$:

$$U(x; \alpha) := \sum_{k=1}^{p} \alpha_k \sum_{A \subset \mathbb{Z}^d, |A|=k, \text{diam}A \leq \ell_U} U_A((N_u(x))_{u \in A}).$$

It is described in terms of an interaction parameter $\alpha = (\alpha_1, \ldots, \alpha_p) \in \mathbb{R}^p$ and a function $U_A : \mathbb{N}^{|A|} \rightarrow \mathbb{R}$ which is bounded by some $n$-dependent constant, $\|U_A\| \leq c_n < \infty$, and translational invariant. The latter depends on the number $N_u(x) := \sum_{j=1}^{n} \delta_{u,x_j}$ of particles of the configuration $x = (x_1, \ldots, x_n)$, which are at sites $u \in A$ in the pattern $A \subset \mathbb{Z}^d$.

Simply stated examples which are already of interest are short range pair interactions for which $p = 2$ and $U_{\{u,v\}}(N_u(x), N_v(x)) = N_u(x)N_v(x) \delta_{|u-v|,1}$. 

3
2.2 The main result

Our main result establishes the existence, for any dimension and any number of particles, of a regime \( L_n^{(p)} \) in the space of basic parameters of the model, \( (\lambda, \alpha) \in \mathbb{R}_+ \times \mathbb{R}^p \), for which complete dynamical localization with a uniform localization length occurs for up to \( n \) particles. The proof gives an inductive algorithm for the construction of such localization regimes, albeit at what may be a possibly far too restrictive manner.

The first proof of spectral localization for two particles was presented in [11], using the multiscale approach. The result presented below was derived by different means, which allow also rather simple control of the dynamical localization.

The localization regime covered by the result presented below may be best described in terms of the extreme sets it includes, namely:

**Strong disorder** for each \( \alpha \in \mathbb{R}^p \) there is \( \lambda(\alpha) \) such that the localization regime \( L_n^{(p)} \) includes the cone in the parameter space \( \mathbb{R}_+ \times \mathbb{R}^p \) where the interaction strengths are dominated by \( \alpha \), and the disorder strength exceeds \( \lambda(\alpha) \).

**Weak interactions** for any \( \lambda > \lambda_1 \), i.e. disorder strength at which the one-particle Hamiltonian exhibits complete localization, there are \( \alpha_j(\lambda) > 0, j = \{1, \ldots, p\} \), such that \( L_n^{(p)} \) includes all \( (\lambda, \alpha') \) for which \( |\alpha'_j| \leq |\alpha_j(\lambda)| \) componentwise.

Thus, the weak localization region includes some neighborhood of the entire localization regime \((\lambda_1, \infty) \times \{0\}\) of the \( n \) particle unperturbed system. In case \( d = 1 \), \( \lambda_1 = 0 \), so this includes all positive values of \( \lambda \).

Having introduced these notions we may present the result of [5]:

**Theorem 2.1** ([5]). For each \( n, p \in \mathbb{N} \) there is an open set \( L_n^{(p)} \subset \mathbb{R}_+ \times \mathbb{R}^p \) which includes regimes of strong disorder and weak interactions, for which at some \( A, \xi < \infty \) and all \( (\lambda, \alpha) \in L_n^{(p)}, k \in \{1, \ldots, n\} \), and all \( x, y \in (\mathbb{Z}^d)^k \):

\[
\mathbb{E}\left[ \sup_{t \in \mathbb{R}} \left| \langle \delta_{x}, e^{-itH^{(k)}} \delta_{y} \rangle \right|^2 \right] \leq Ae^{-\text{dist}_H(x,y)/\xi}, \tag{2.2}
\]

where the exponential decay is in terms of the Hausdorff pseudo-distance between the configurations \( x = (x_1, \ldots, x_k) \) and \( y = (y_1, \ldots, y_k) \):

\[
\text{dist}_H(x, y) := \max \left\{ \max_{1 \leq i \leq k} \text{dist}(x_i, y), \max_{1 \leq i \leq k} \text{dist}(y_i, x) \right\}; \tag{2.3}
\]

As was mentioned, spectral localization follows from (2.2) by the Wiener criterion.

2.3 Comments on the result

Theorem 2.1 is formulated for distinguishable particles. For **Fermions**, or **Bosons**, the relevant Hilbert spaces are subspaces of the space which this theorem covers, and thus the results apply by restriction. Furthermore, **hard core interactions** can also be added without requiring any modification in the proof of the extended statement.
It is worth pointing out that for systems of \( n > 2 \) particles some subtleties show up in the decay rate seen in (2.2). A natural decay rate for systems with permutation symmetry is the symmetrized distance: 
\[
\text{dist}_S(x, y) := \min_{\pi \in S_n} \sum_{j=1}^{n} |x_j - y_{\pi j}|
\]
where \( S_n \) is the permutation group of \( \{1, \ldots, n\} \). In contrast, \( \text{dist}_H(x, y) \) is not a metric, if \( n > 2 \). The Hausdorff pseudo-distance bounds (2.2) allow for the possibility that if the initial configuration had some particles within the localization distance from each other then such ‘excess charge’ may transfer among the occupied regions.

Figure 1: A transition between two configurations which are close in the Hausdorff pseudo-distance, but not otherwise.

One could wonder whether Theorem 2.1 does not immediately follow from the existing localization results. After all, a configuration of \( n \) particles in \( \mathbb{Z}^d \) may be regarded as a single particle in \( \mathbb{Z}^{dn} \) evolving under a Hamiltonian which is of the form 
\[
H^{(n)}(\omega) = -\Delta^{(nd)} + U(x) + \lambda V(x; \omega) = \sum_{j=1}^{n} V(x_j; \omega).
\]
However, the random potential \( V(x; \omega) \) does not take independent values at configurations with one coinciding particle and in this sense is of infinite range. The number of its degrees of freedom is only a fractional power \( \left(\frac{1}{n}\right) \) of the number of configuration. The technical problem which this causes is behind the above mentioned limitation of the bound (2.2).

3 Outline of the proof

The proof of Theorem 2.1 cannot be fitted in this short summary, but we may comment on the flow of the argument [5]. It consists of three steps:

1. Proof of the finiteness of fractional moments of the Green function.
2. Elucidation of the relation of a multiparticle eigenfunction correlator with the Green function’s fractional moments.
3. Inductive construction of domains of uniform localization in parameter space.

The first is a sine qua non condition for the fractional moment technique for localization [1]. It is related to the celebrated Wegner estimate, which however does not play a direct role in the analysis. The proof is rather easy, as is also the case for the Wegner estimate for many-particle systems.

The second step follows the strategy by which dynamical localization is established for single particles [2], for which some adjustments to the multi-particle setup are required.
Once the first two preparatory steps are taken, the third step constitutes the core of the argument.

3.1 Finiteness of fractional moments of the Green function

An essential tool for the analysis is the finite-volume, \( \Lambda \subset \mathbb{Z}^d \), Green function

\[
G^{(n)}(\Lambda; x, y; z) := \langle \delta_x, (H^{(n)}_{\Lambda} - z)^{-1} \delta_y \rangle,
\]

with \( H^{(n)}_{\Lambda} \) denoting the restriction of \( H^{(n)} \) to \( \ell^2(\Lambda)^n \). The first step towards exponential bounds is to prove finiteness of the fractional moments. In fact, even a conditional average makes them finite.

**Lemma 3.1.** For any \( s \in (0, 1) \) there is \( C < \infty \) such that for any \( \Lambda \subseteq \mathbb{Z}^d \), any two (not necessarily distinct) sites \( u_1, u_2 \in \Lambda \) and any pair of configurations \( x, y \), which have a particle at \( u_1, u_2 \) respectively,

\[
E \left( \left| \left| \left| G^{(n)}(\Lambda; x, y; z) \right| \right| \right|_s \right| \{ V(v) \} \neq \{ u_1, u_2 \} \right) \leq C |\lambda|^{s/2},
\]

for all \( z \in \mathbb{C} \), and \( \lambda \neq 0 \).

**Sketch of proof.** In its dependence on \( V(u_1) \) and \( V(u_2) \), the Hamiltonian is of the form:

\[
H^{(n)}_{\Lambda} = A + \lambda V(u_1) N_{u_1} + \lambda V(u_2) N_{u_2},
\]

where \( (N_u \psi)(x) := \sum_{k=1}^d \delta_{x_k u} \psi(x) \) stands for the number operator. The assertion is therefore implied by the weak-\( L^1 \) estimate from [4]:

\[
\int_{\mathbb{R}^2} \left[ \left| \left\langle \sqrt{N} \phi, (\xi N + \eta M - K)^{-1} \sqrt{M} \psi \right\rangle \right| > t \right] \varrho(\xi) \varrho(\eta) d\xi d\eta \leq C[\varrho] t \| \phi \| \| \psi \|,
\]

with operators \( N, M \geq 0 \) and \( K \) dissipative.

The finiteness (3.2) is the analogue of a Wegner estimate in the multi-scale method. As has been noticed in [9, 13], it follows similar to the one-particle case from the monotonicity used in the above proof.

3.2 Eigenfunction correlator and its relation to Green function

For the finite-volume Hamiltonian \( H^{(n)}_{\Lambda} \), we define the eigenfunction correlator, associated with an energy regime \( I \subseteq \mathbb{R} \), as the sum

\[
Q^{(n)}_{\Lambda}(x, y; I) := \sum_{E \in \sigma(H^{(n)}_{\Lambda}) \cap I} \left| \langle \delta_x, P_{\{E\}}(H^{(n)}_{\Lambda}) \delta_y \rangle \right|,
\]

where \( P_{\{E\}} \) is a spectral projection. Ignoring some subtleties related to the passage to the infinite-volume limit \( \Lambda \uparrow \mathbb{Z}^d \) (which are discussed in detail in [2, 5]), the elementary bound

\[
\left| \langle \delta_x, e^{-itH^{(n)}_{\Lambda}} \delta_y \rangle \right| \leq Q^{(n)}_{\Lambda}(x, y; \mathbb{R})
\]

shows that the eigenfunction correlator is
an important tool for establishing dynamical localization \cite{15, 2}.

The following key lemma states the equivalence of exponential decay in the Hausdorff pseudo-distance (though not in the symmetric distance) of the eigenfunction correlator and of the Green function’s fractional moments.

**Lemma 3.2.** The following statements are equivalent:

1. There is $A, \xi < \infty$ such that for all $x, y \in (\mathbb{Z}^d)^k$:
   \[
   \sup_{I \subseteq \mathbb{R}} \sup_{\Lambda \subseteq \mathbb{Z}^d} \mathbb{E} \left[ Q^{(k)}_\Lambda (x, y; I) \right] \leq A e^{-\text{dist}_H(x, y)/\xi}.
   \] (3.4)

2. There is $A, \xi < \infty$ and $s \in (0, 1)$ such that for all $x, y \in (\mathbb{Z}^d)^k$:
   \[
   \sup_{I \subseteq \mathbb{R}} \sup_{|I| \geq 1} \int_I \mathbb{E} \left[ \left| G^{(k)}_\Lambda (x, y; E) \right|^s \right] dE \leq A e^{-\text{dist}_H(x, y)/\xi}.
   \] (3.5)

In the proof of the main result we repeatedly change horses between estimates on the kernels of $G$ and $Q$. The kernel $Q(x, y)$ is what is ultimately needed, and it is also a very convenient tool for the induction step. Yet, $G(x, y)$ has a more convenient perturbation theory.

In the induction step described below one puts together two mutually non-interacting subsystems and then turns on the interaction between them. Thus, we start with the system partitioned into $J, K \subset \{1, \ldots, n\}$, $J \cap K = \emptyset$, and a Hamiltonian of the form $H^{(J,K)}_\Lambda := H^{(J)}_\Lambda \oplus H^{(K)}_\Lambda$ acting on $\ell^2(\Lambda)^J \otimes \ell^2(\Lambda)^K$. Then:

- The Green function of the composite system is a convolution,
  \[
  G^{(J,K)}_\Lambda (x, y; z) = \int_C G^{(J)}_\Lambda (x, y_J; z - E) E^{(K)}_\Lambda (x, y_K; E) dE \quad (3.6)
  \]
  involving a contour integral encircling the spectrum of $H^{(K)}_\Lambda$. Moment estimates for the combined system are complicated by the unboundedness of $G$ and possible correlations between the eigenvalues of the subsystems.

- On the other hand, the eigenfunction correlator is bounded and we may use:
  \[
  Q^{(J,K)}_\Lambda (x, y; I) \leq Q^{(J)}_\Lambda (x, y_J; \mathbb{R}) Q^{(K)}_\Lambda (x_K, y_K; \mathbb{R}), \quad (3.7)
  \]
  by which the exponential decay of the subsystems passes to the joint system.
### 3.3 Inductive construction of domains of localization in parameter space

In view of the equivalence of the exponential decay in the Hausdorff distance of the eigenfunction correlator and of the fractional moments of the Green function, it is natural to define localization regimes as follows.

**Definition 3.1.** An open subset of the parameter space, \( \mathcal{L} \subset \mathbb{R}_+ \times \mathbb{R}^p \) is said to be a domain of uniform \( n \)-particle localization if for some \( s \in (0, 1) \) there exists \( \xi < \infty \) and \( A < \infty \) such that

\[
(UL) \quad \sup_{I \subset \mathbb{R}} \sup_{|I| \geq 1} \frac{1}{|I|} \int_I \mathbb{E} \left[ \left| G_A^{(k)}(x, y; E) \right|^s \right] dE \leq A e^{-\text{dist}_H(x, y)/\xi}.
\]

holds for all \((\lambda, \alpha) \in \mathcal{L}, k \in \{1, \ldots, n\}\), and all \(x, y \in (\mathbb{Z}^d)^k\).

Our aim is to inductively construct \( \mathcal{L}_n^{(p)} \) starting from the domain \( \mathcal{L}_1^{(p)} = (\lambda_1, \infty) \subset \mathbb{R}_+ \) of uniform one-particle localization, whose existence is guaranteed in [2] (and [15] for \( d = 1 \)). For the induction step we assume that \( \mathcal{L}_{n-1}^{(p)} \) is a domain of uniform \((n-1)\)-particle localization and proceed by distinguishing two cases:

1. **Localization for non-clustered configurations.** Here we deal with two configuration \( x, y \) of which at least one is of diameter \( \text{diam}(x) := \max_{j,k} |x_j - x_k| \) comparable with their Hausdorff distance. A key lemma provides the bound:

\[
\sup_{I \subset \mathbb{R}} \sup_{|I| \geq 1} \mathbb{E}_I \left[ \left| G_A^{(n)}(x, y) \right|^s \right] 
\leq A \exp \left( -\frac{1}{\xi} \min \left\{ \text{dist}_H(x, y), \frac{\max\{\text{diam}(x), \text{diam}(y)\}}{n-1} \right\} \right) \quad (3.8)
\]

for all \((\lambda, \alpha) \in \mathcal{L}_{n-1}^{(p)}\), (which, strictly speaking, is only valid under the additional assumption of \( \mathcal{L}_{n-1}^{(p)} \) being sub-conical, cf. [5]).

The proof of (3.8) proceeds by breaking the configuration in two parts and removing the intercluster interaction. Standard perturbation theory then yields an expansion in \( U \) which in case \( n = 2, d = 1 \) is pictorially explained in configuration space in Fig. 2.
The bound (3.8) is rather crude in its dependence on the particle number. It is the main reason why our bounds on the localization length degrade rapidly with \( n \).

(ii) **Localization for clustered configurations.** This refers to configurations with diameter less than half their separation. The issue which is to be addressed is the possible formation of a quasi-particle which is not constrained by previous localization bounds.

A convenient quantity to monitor is

\[
B_s^{(n)}(L) := \sup_{I \subseteq \mathbb{R}} \sup_{|I| \geq 1} |\partial \Lambda_L| \sum_{y \in \partial \Lambda_L} \sum_{x, y \in \mathcal{C}_L^{(n)}(\Omega; x)} \left( \mathbb{E}_I \left[ \left| G^{(n)}_{\Omega}(x, y) \right|^s \right] \right),
\]

where \( \mathcal{C}_L^{(n)}(\Lambda; x) \) denotes the collection of configurations of diameter less than \( L/2 \) and at least one particle at \( x \).

- one has at least one particle at 0, and
- the other one has at least one particle at the boundary \( \partial \Lambda_L \) of the box; cf. illustration in real space.

Localization bounds for clustered configurations proceed through rescaling inequalities for \( B_s^{(n)}(L) \). The key statement is:

**Lemma 3.3.** There exists \( s \in (0, 1) \), \( a, A, p < \infty \), and \( \nu > 0 \) such that

\[
B_s^{(n)}(2L) \leq a |\lambda|^s B_s^{(n)}(L)^2 + A L^{2p} e^{-2\nu L}
\]

for all \( (\lambda, \alpha) \in \mathcal{L}_n^{(p)} \), (which is again assumed to be sub-conical, cf. [5]).

Part of the proof of this lemma resembles the proof of finite-volume criterial for one particle localization in [3]. In view of the infinite-range correlations of the random potential in configuration space discussed at the beginning of this section, a crucial observation is the following. When placing two boxes of length \( L/2 \) about configurations \( x \) and \( y \) in the above picture, the random variables associated with those boxes are independent due to the spatial separation. Moreover, the error when restricting the sum in the definition of \( B_s^{(n)}(2L) \) to configurations \( x, y \) which have a diameter less than \( L/4 \) can be controlled by (3.8) yielding the second term on the right side in (3.10).

It is not hard to see that rescaling inequalities such as (3.10) imply exponential decay provided the quantity \( \frac{1}{|\lambda|^s} B_s^{(n)}(L) \) is small on some scale \( L \). This is the requirement which determines \( \mathcal{L}_n^{(p)} \). Namely,
• in case of strong disorder and arbitrary value of the interaction $\alpha$ we choose $L$ fixed and $\lambda$ small enough.

• in case of weak interaction and arbitrary value of the disorder $\lambda > \lambda_1$ we appeal to [1, 3] which imply that $B^{(n)}(L) \to 0$ as $L \to \infty$ for $\alpha = 0$. Since the finite-volume quantity $B^{(n)}_n(L)$ is continuous in some neighborhood of $\alpha = 0$ we choose $L$ and $\alpha$ accordingly.

4 Some remaining challenges

While Theorem 2.1 is formulated for interactions of finite range, its proof allows for extension to interactions of with exponential falloff. However, it does not address questions about the effects of Coulomb interactions.

An important outstanding challenge it to resolve the question which is commented upon in Section 2.3: does localization persists (with uniform bounds) even for large systems at a positive density of particles?

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