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Computing impulsive equilibrium sets with respect to target zone for linear impulsive systems

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Abstract: Linear impulsive control systems are convenient to formulate a venue of real-life problems, from diseases treatment to aerospace guidance. To take into account that the origin is the only formal equilibrium of such systems while usual control objective consist in stabilizing a desired target region that exclude it, a different concept of equilibrium – which includes periodic/orbital trajectories – has been defined. This work presents a new characterization of the equilibrium sets respecting this target region for linear impulsive systems. Based on the Lucasz-Markov theorem a tractable and non conservative description is obtained. Furthermore, to assess this description, the constrained equilibrium set is explicitly used in the formulation of a model-based controller.

Keywords: mots cles

1. INTRODUCTION

In the last two decades, impulsive control systems have gained lot of interest from the control community. In fact, linear impulsive models arise in different application fields such as biomedical research (see González et al. [2017] and references therein), spacecraft rendezvous guidance and control (see Arantes Gilz et al. [2019] and references therein), for instance. Impulsive systems have been studied in the literature from different perspectives in terms of solutions existence and uniqueness and stability notions have been described formally see Bainov and Simeonov [1995], Haddad et al. [2006].

More recently, the Model Predictive Control community got involved in the control of such impulsive systems. In Pereira et al. [2015], a general framework for optimization-based predictive control is stated. The notions of invariance and stability are introduced, and some stability conditions are provided.

The case of linear impulsive systems has also drawn attention not only because of its importance in several application fields, but also from a theoretical perspective. In fact, linear impulsively controlled systems have some particularities with respect to classical linear control paradigm. Those particularities imply to adapt cardinal notions such as equilibrium and stability. For instance, the regulation of non-zero set-points is challenging in general: it is even claimed in Sopasakis et al. [2015] that it is not possible to stabilize any desired states of an impulsive system. In Sopasakis et al. [2015], invariance and stability have been redefined in order to design predictive controller. The proposed controller exploits polytopic invariant set that approximate the maximal invariant set. However, the computation of this polytopic invariant set still is an open problem in the general case. An intermediate approach that does not require invariant sets is proposed in Rivadeneira et al. [2015b] and uses the concept of zone control defined in González et al. [2009], Gonzalez and Odloak [2009]. In this framework, the state is steered to an equilibrium set instead of equilibrium point (that does not exist anywhere except at the zero point). The equilibrium point concept has been extended to the equilibrium set that consists of periodic controlled orbits included in a target zone Rivadeneira et al. [2018]. In Rivadeneira et al. [2018], the equilibrium set is clearly defined and its attractivity is established.

However, no formal description is provided and only approximating techniques are proposed in Sopasakis et al. [2015], Rivadeneira et al. [2018]. A first contribution of this paper is to answer the particular question of characterizing the constrained equilibrium set and to propose a tractable methodology to compute it. The free propagation of the states is first expressed as univariate polynomial thanks to the relevant change of variable. Then, the set of trajectories included in the target is characterized through the Linear Matrix Inequalities (LMI) conditions on the initial states. These conditions are based on the Lucasz-Markov theorem (see Nesterov [2000]) and they have been
inspired by the work Henrion et al. [2005]. To highlight the tractability of this description, a novel zone MPC algorithm is proposed, which follows the procedure exposed in Ferramosca et al. [2010], Gonzalez and Odløak [2009] but make an explicit use of the new set characterizations.

2. LINEAR IMPULSIVE SYSTEMS

Consider the impulsive control system (ICS)
\[
\begin{align*}
\dot{x}(t) &= Ax(t), \quad x(0) = x_0, \quad t \neq \tau_k, \\
x(\tau_k^+) &= x(\tau_k) + Bu(\tau_k), \quad k \in \mathbb{N},
\end{align*}
\]
(ICS)
with \(\tau_k = kT\), for a period time \(T > 0\). The output equation is also given by
\[
y(t) = Cx(t).
\]
Variables \(x \in X \subseteq \mathbb{R}^n\) represent the ICS sampled at the instants just after the
outputs.

Assumption 1. Set \(X\) is assumed to be closed and set \(U\), compact. Both, \(X\) and \(U\), are assumed to be convex and to contain the origin in their nonempty interior.

For \(t \in [\tau_k, \tau_{k+1}]\) the system transition is described by
\[
x(t) = \Phi(t)x(\tau_k^+),
\]
where \(\Phi(t) = e^{AT}\) is the transition matrix.

The control objective is twofold: (i) reach a given nonempty polytopic set \(Z \subseteq X\), denoted as the target set, and (ii) maintain the system states inside \(Z\), indefinitely.
In the context of linear impulsive system, periodic orbits to ensure that the system remains in a given set have been exploited in several work in the literature. In Arantes Gilz et al. [2019], the natural periodic orbits included in the target zone are stabilized by means of a predictive controller. In Rivadeneira et al. [2016], González et al. [2017], the equilibrium orbit notion is extended since the periodicity is forced by means of an admissible control input. The equilibrium set can be defined as follows (Rivadeneira et al. [2018]):

Definition 1. (Impulsive equilibrium set (IES)). Consider the ICS (ICS). A nonempty convex set \(X_s \subset X\) is an impulsive equilibrium set with respect to \(Z\) if for every \(x_s \in X_s\) exists \(u_s \in U\) such that (i) \(\Phi(T)x_s + Bu_s = x_s\), and (ii) \(\{\Phi(t)x_s, \quad t \in [0,T]\} \subset Z\). Every single state \(x_s\) in \(X_s\) will be denoted as impulsive equilibrium state w.r.t. \(Z\).

From the latter definition, it is clear that \(X_s \subseteq Z\).

3. IMPULSIVE EQUILIBRIUM SET WITH RESPECT TO A TARGET ZONE

The aim of this section is to characterize the IES, \(X_s\), in a tractable manner. First, note that the discrete-time system
\[
x^s(\tau_{k+1}) = \Phi(T)x^s(\tau_k) + Bu(\tau_k),
\]
represents the ICS sampled at the instants just after the jumps (discontinuities).

Assumption 2. The pair \([\Phi(T), B]\) is controllable.

Next two sets will be defined, the intersection of which allows us a proper computation of the IES, \(X_s\).

On one hand, the equilibrium set of the latter discrete-time system is, by definition, the set of states fulfilling condition (i) in Definition 1 (Rivadeneira et al. [2015a], González et al. [2017]). That is,
\[
X^s = \{x_s \in X : \exists u_s \in U \text{ such that } (I_n-e^{AT})x_s = Bu_s\},
\]
is the set of states for which exists an admissible input \(u_s\), such that the controlled trajectory describes a periodic orbit of period \(T\).

On the other hand, the admissible initial set, with respect to \(Z\), \(T_Z\) is defined to account for condition (ii) in the IES Definition 1. Particularly, the set \(T_Z\) is the set of states from where the free propagated trajectories remain in \(Z\), over a complete \(T\)-period.

Definition 2. (Admissible initial set). Consider the ICS system (ICS). The admissible initial set with respect to target set \(Z\) is given by:
\[
T_Z = \{x \in X : \Phi(t)x \in Z, \quad t \in [0, T]\},
\]
In Sopasakis et al. [2015], Rivadeneira et al. [2018], some techniques to approximate the set \(T_Z\) are proposed. The contribution of this paper is to provide a formal description of this set, which is tractable enough to be used in the MPC algorithm.

Finally, \(X_s\), the IES w.r.t. \(Z\), can be defined as:
\[
X_s = X^s \cap T_Z.
\]
If the latter intersection is empty, then, it means that set \(Z\) is not well defined for the ICS (ICS). In the following, it is assumed that \(X_s\) is nonempty.

Admissible initial set characterization

Let \(Z\) be described by its Cartesian coordinates \(H \in \mathbb{R}^{l \times n}\) and \(V \in \mathbb{R}^{l \times 1}\) such that
\[
Z = \{x \in X : Hx \leq V\}
\]
In the sequel \(T_Z\) is characterized in terms of linear matrix inequalities (LMI). First some mild assumptions are made on the flow dynamics of the ICS (ICS).

Assumption 3. (1) Eigenvalues of the matrix \(A\) are rational numbers, \(\lambda_l \in \mathbb{Q}\), with no imaginary part so that
\[
\lambda_l = \frac{\eta_l}{\rho} \quad \text{where } \eta_l \in \mathbb{Z}, \quad \rho \in \mathbb{N}, \quad \text{and } l = 1, \ldots, n.
\]
Eigenvalues are ranked in a increasing order such that \(\eta_1\) is the largest negative integer and \(\eta_n\) is the largest positive integer;
(2) Eigenvalues of \(A\) are all distinct.

Remark 1. In the conclusion of the paper Henrion et al. [2005], arguments are provided to justify such assumptions. In particular, the cases where non-zero eigenvalue multiplicity is greater than 1 are isolated cases that are non robust to small perturbations. Consequently, this works will not account for this case.

Remark 2. The stability of the ICS flow is not required for the following development.

The admissible initial set is given by
\[
T_Z = \{x \in X : H\Phi(t)x \leq V, \quad \forall t \in [0, T]\}
\]
where \( \Phi(t) = e^{At} \). Addressing the inequality (5) row by row, it comes
\[
 h_i \sum_{j=1}^{n} \Phi_{ij} x_j \leq v_i, \quad i = 1, \ldots, l
\]  
(6)
where \( h_i \) and \( v_i \) are respectively the \( i^{th} \) row and \( i^{th} \) singleton of \( H \) and \( V \) respectively. \( \Phi_{ij} \) is the \( j^{th} \) column of the transition matrix \( \Phi \). In the context described by the assumptions 3, each singleton of the transition matrix \( \Phi(t) \) is given by
\[
 \Phi_{ij} = \sum_{r=1}^{n} \alpha_{ij}^{r} e^{\lambda_{r} t}, \quad \alpha_{ij}^{r} \in \mathbb{R}
\]
where \( \lambda_{r} \) are the eigenvalues of the dynamic matrix \( A \). Then, inequalities (6) can be rewritten
\[
 \sum_{j=1}^{n} \sum_{r=1}^{n} \beta_{ij}^{r} e^{\lambda_{r} t} x_{0,j} \leq v_i, \quad t \in [0, T], \quad i = 1, \ldots, l
\]  
(7)
with \( \beta_{ij}^{r} \in \mathbb{R} \). Let us propose the change of independent variable:
\[
 w = e^{\frac{t}{\tau_0}}, \quad \text{so that} \quad t \in [0, T] \Leftrightarrow w \in [W, 1]
\]  
(8)
where \( W = e^{-\frac{T}{\tau_0}} \).

Exploiting the previous change of variable, inequalities (7) are equivalent to the following inequalities:
\[
 \sum_{r=1}^{n} \gamma_{ij}^{r}(x, \phi, h_i) w^{-\eta_r} - v_i \leq 0, \quad w \in [W, 1], \quad i = 1, \ldots, l
\]  
(9)
where \( \gamma_{ij}^{r}(\cdot) \) are linear function of the initial state \( x \), the coefficients \( \alpha_{ij}^{r} \) of the transition matrix \( \Phi \) collected in the vector \( \phi \) and the singletons of \( h_i \).

Since \( \eta \in \mathbb{Z} \), (9) are rational inequalities, it comes that
\[
 \sum_{r=1}^{n} \gamma_{ij}^{r}(x, \phi) w^{-\eta_r + \eta} - v_i w^{\eta} \leq 0, \quad w \in [W, 1].
\]  
(10)
The monomial term \( w^{\eta} \) being positive on \([W, 1]\), inequality (10) is equivalent to a polynomial positivity problem with a given structure:
\[
 P(w) = \sum_{d=0}^{\eta} \pi_d w^d \geq 0, \quad w \in [W, 1].
\]  
(11)
where \( \eta = \eta_n - \eta_0 \). If 0 does not belong to the spectrum of \( A (0 \notin \{\eta_r\}) \),
\[
 \pi_d = \left\{ \begin{array}{ll}
 -\gamma_d(x, \phi) & \text{if } d \in \{-\eta_1 + \eta_n, \ldots, \eta_n\} \\
 v_i & \text{if } d = \eta_n \\
 0 & \text{else}
\end{array} \right.
\]
If 0 belongs to the spectrum of \( A (0 \in \{\eta_r\}) \),
\[
 \pi_d = \left\{ \begin{array}{ll}
 -\gamma_d(x, \phi) & \text{if } d \in \{-\eta_1 + \eta_n, \ldots, 0\} \\
 v_i - \gamma_d(x, \phi) & \text{if } d = \eta_n \text{ and } d_j = 0 \\
 0 & \text{else}
\end{array} \right.
\]
Applying the Lucasz-Markov theorem (see Nesterov [2000]), inequalities (11) are satisfied if and only if \( P(w) \) can be written as weighted sum of squares:
\[
 P(w) = \left\{ \begin{array}{ll}
 (q_1, u(t))^2 + (w - W)(1 - w)(q_2, v(t))^2 & \text{if } \bar{\eta} \text{ is even} \\
 (w - W)(q_1, u(t))^2 + (1 - w)(q_2, u(t))^2 & \text{if } \bar{\eta} \text{ is odd}
\end{array} \right.
\]
where \( u(t) = \{1, t, \ldots, t^{\eta-1}\} \), \( v(t) = \{1, t, \ldots, t^{\eta-2}\} \), \( q_1 \in \mathbb{R}^{\eta-1} \) and \( q_2 \in \mathbb{R}^{\eta-2} \) depending on \( \bar{\eta} \) being even or odd. Equivalently, \( P(w) \) will be non negative if its coefficient vector \( \pi \) is the image of two positive semidefinite matrices \( Y_1 \) and \( Y_2 \) through linear operator \( \Lambda_1^* \) and \( \Lambda_2^* \):
\[
 \pi(x) = \Lambda_1^*(Y_1) + \Lambda_2^*(Y_2), \quad Y_1, Y_2 \succeq 0. \tag{12}
\]
The operators \( \Lambda_1^* \) and \( \Lambda_2^* \) are defined in Nesterov [2000] and conveniently described in terms of Hankel matrices (see [Arantes Gilz 2018, Appendix B] for a detailed example). Thus, \( T_Z \) is described as a semi-algebraic set as the initial condition \( x \) needs to satisfy the LMI condition (12):
\[
 T_Z = \{ x \in X : \pi(x) = \Lambda_1^*(Y_1) + \Lambda_2^*(Y_2), \quad Y_1, Y_2 \succeq 0 \}.
\]  
(13)
As \( T_Z \) is independent from the input variable \( u \), this set can be extended such that
\[
 T_Z^+ = \{ (x, u) \in X \times \mathbb{R}^m : x \in T_Z \text{ and } u \in U \} \tag{14}
\]
In this section, the set \( X_c \) has been described as a slice of an spectrahedron as the intersection of a set given by linear equalities and a set defined by LMI conditions. If it exists, this set has the property to be non empty and convex. It is also tractable enough to be included as constraint in a convex program that can be solved efficiently with interior-point method solver. The next section takes advantage of it to design a model predictive controller.

4. ZONE MPC CONTROL

This section is devoted to the description of a zone model predictive controller. Its main goal is to steer the ICS to the target zone \( Z \), that may excludes the origin, and to make it hover this specific zone. In other words, the MPC must be able to stabilize the IES with respect to \( Z \). The idea is to use the discrete-time model (2) for predictions, and to use as a target set the set \( T_Z \) (instead of the discrete-time equilibrium \( X^o \), since it does not fulfill the conditions in Definition 1).

The cost function to be minimized on-line by the MPC is given by
\[
 J_N(x, u, s, u_s) = \sum_{j=0}^{N-1} \alpha \| x(t_j) - x_s \|^2 + \beta \| u(t_j) - u_{s} \|^2 + \gamma \text{dist}_T(x_s)
\]  
(15)
where \( x = x(t_0) \) represents the current state, \( u = \{u(t_0), u(t_1), \ldots, u(t_{N-1})\} \) is the predicted sequence of inputs, \( x_s \) and \( u_s \) are additional optimization equilibrium variables fulfilling \( \delta(T)x_s + Bu_s = x_s \), and \( \alpha, \beta, \gamma \) are penalty scalars. The distance function \( \text{dist}_T(x_s) \) is given by \( \text{dist}_T(x) = \min_{x \in T_Z} \| x - x^* \| \).

Remark 3. The term \( \text{dist}_T(x_s) = \min_{x \in T_Z} \| x_s - x^* \| \) is approximated by using an additional optimization variable \( x^* \), which is forced to be in \( T_Z \), and including the cost term \( \| x_s - x^* \| \).

The optimization problem to be solved at each time \( \tau_k \) is as follows:
In the latter optimization problem, $x$ is the optimization parameter while $u, x, u_s$ are the optimization variables. The terminal constraint $x(T_N) = x_s$ forces the last state on the control horizon to reach the artificial equilibrium state $x_s$, to ensure stability. The last constraint forces the additional variables $(x_s, u_s)$ to be an equilibrium of the sampled system (2). This two constraints determine the region of feasibility of the optimization problem, which is given by the controllable set, in $N$-step, to $X^s$:

$$C_N(X^s) = \{ x^0 \in X : x^0(\tau_j) \in X, u(\tau_j) \in U, j \in \mathbb{N}_{N-1}, \}
\quad x^0(\tau_j) \in X^s, \quad (x_s, u_s) \in X^s \quad (16)$$

where $x^0(\tau_j+1) = \Phi(T)x^0(\tau_j) + Bu(\tau_j), x = x(\tau_0)$.

Once the MPC problem is solved at time $\tau_k$, the optimal solution is given by the optimal input sequence $u^0(x) = \{u^0(x, \tau_0), u^0(x, \tau_1), \ldots, u^0(x, \tau_{N-1})\}$, (18) and the optimal additional variables $(x^0_u(x), u^0(x))$, while the optimal cost is denoted as $J^0_N(x) := J_N(x, u^0, x^0, u^0)$. The control law, derived from the application of a receding horizon control policy (RHC), is given by $u(\tau_k) = s^\text{MPC}(x(\tau_k)) = u^0(x, \tau_0)$, where $u^0(x, \tau_0)$ is the first control action in $u^0(x)$.

The stabilizing properties of the controller are summarized in the following Property.

Property 1. The IES $X_s$, w.r.t. $Z$, is asymptotically stable for the closed-loop system ICS,

$$\begin{align*}
\dot{x}(t) &= Ax(t), \quad x(0) = x_0, \quad t \neq \tau_k, \\
\dot{x}(\tau_k) &= x(\tau_k) + BK^\text{MPC}(x(\tau_k)), \quad k \in \mathbb{N},
\end{align*}
(19)$$

with a domain of attraction given by $C_N(X^s)$.

Sketch of Proof: The proof follows the steps of the so called MPC for tracking, Ferramosca et al. [2010], Rivadeneira et al. [2018]. The time of the closed-loop is denoted by $\tau_k$, as in (19), while the time for predictions, inside each optimization problem, is denoted by $\tau_j$.

The recursive feasibility of the sequence of optimization problems follows from the fact that, for every $x \in C_N(X^s)$, the terminal constraint forces the system $x^0(\tau_{j+1}) = \phi(T)x^0(\tau_j) + Bu(\tau_j)$ to reach an invariant set (for instance the equilibrium set, $X^0$), at the end of the control horizon. So, if the solution of the optimization problem for $x$, at time $\tau_k$, is given by $u^0(x), x^0_u(x)$ and $u^0(x)$, then a feasible solution for the state $x^0$, at time $\tau_{k+1}$, can be computed as $\tilde{u}(x^0) = \{u^0(x, \tau_1), u^0(x, \tau_2), \ldots, u^0(x, \tau_{k-2}), \tilde{u}_s(x^0)\}, \tilde{x}_s(x^0) = x_s(x)$ and $\tilde{u}_s(x^0) = u_s(x)$. This feasible solution produces a feasible sequence of states, given by $x(x^0) = \{x^0(x, \tau_1), x^0(x, \tau_2), \ldots, \tilde{x}_s(x^0), \tilde{x}_s(x^0)\}$.

The attractivity of $X_s$ follows from the fact that, $\tilde{J}_N(x^0) \leq J^0_N(x) = \alpha \|x - x_0\| + \beta \|u - u_0\|$, where $\tilde{J}_N(x^0) = J_N(\tilde{u}(x^0), \tilde{x}_s(x^0), u_s(x^0))$ and $u$ is the input injected to the system at time $\tau_k$. Then, by optimality, it is $J^0_N(x^0) \leq J_N(x^s)$, which means that $J^0_N(\cdot)$ is a strictly decreasing positive function - i.e., $J_N(x^0) \leq J_N(x^s)$ - that only stops to decrease if $x = x(\tau_k) = x_s$ and $u = u(\tau_k) = u_s$. Furthermore, the fact that $x(\tau_k) \to x_s$ and $u(\tau_k) \to u_s$, as $k \to \infty$, implies that $x(\tau_k)$ tends also to $\mathcal{T}_Z$ (by the effect of the cost term $\text{dist}_Z(x, s)$, as stated in Lemmas 1, 2 and 3, in Rivadeneira et al. [2018]). This way, $x(\tau_k)$ tends to the intersections of $X^s$ and $\mathcal{T}_Z$, which, by (4), represents the IES $X_s$.

Remark 4. Note that it is not necessary to express explicitly the intersection (4) for to formulate the MPC. In fact, such intersection is implicit in the controller formulation, by means of the additional variables $(x_s, u_s)$ - that are forced to be in $X^s$, and the cost term $\text{dist}_Z(x, s)$ which steers the states after the discontinuities, $x^0(\tau_k)$, to $\mathcal{T}_Z$.

Remark 5. In Rivadeneira et al. [2018] a target set $X_s$, already accounting for the properties of $X_s$, w.r.t. $Z$ (i.e., accounting for $\Phi(t)x, t \in [0, T]$) in $Z$, needs to be outer approximated by a polyhedron, and then explicitly used in the controller formulation. In contrast, the proposed MPC steers the system to the exact set $X_s$, without the need of explicitly compute it.

Remark 6. Another benefits of the proposed MPC to be emphasized is that it steers the system to an equilibrium region that fulfill continuous-time constraints by only considering a sampled discrete-time system, as it is $x^0(\tau_{j+1}) = \phi(T)x^0(\tau_j) + Bu(\tau_j)$.  

5. NUMERICAL STUDIES

Let consider a linear time-invariant and impulsively controlled system described by the state space model ICS where

$$A = \begin{bmatrix}
\frac{2}{5} & -\frac{2}{3} \\
0 & -\frac{2}{3}
\end{bmatrix}, \quad B = \begin{bmatrix}
3 \\
-2
\end{bmatrix}, \quad C = [1 \ 1]. \quad (20)$$

The eigenvalues of $A$ are $\frac{1}{2}$ and $-\frac{3}{2}$ so that the flow dynamics of the impulsive control system is unstable and diverges naturally from the target set $Z$. The control period $T$ is set to 1 second. The admissible input control set, $U$, is given by the interval $[-1, 1]$. The aim of the control is to stabilize and maintain the output $y$ in the target interval $[0.5, 3]$. Consequently, the impulsive control system has to be controlled inside the target zone $Z$, given by:

$$Z = \{ x \in \mathbb{R}^2 : Hx \leq V \}, \quad (21)$$

where

$$H = \begin{bmatrix}
1 & 1 \\
-1 & -1
\end{bmatrix}, \quad V = \begin{bmatrix}
3 \\
-0.5
\end{bmatrix}. \quad (22)$$

The MPC tuning parameters are given by $N = 5, \alpha = 1, \beta = 1$ and $\delta = 100$. Simulations have been conducted using MATLAB, Yalmip ( Löfberg [2004]) and Mosek Solver (Andersen and Andersen [2000]).

Figures 1 expose the different sets described in the previous sections such as the target set $Z$, the admissible initial set with respect to $Z, \mathcal{T}_Z$, and the controllable set to $X^s, C_N$, which represents the domain of attraction of
The formal description of the generalized equilibrium set with respect to a target set is proposed for impulsive control system. The main advantage of such description is that it enables a tractable computation that can be embedded in a convex program. Taking advantage of such properties a zone model predictive controller has been implemented to steer the impulsive control system to a given target zone. Once reached, the target zone is hovered with the certification that the equilibrium orbits remains inside it on the time continuum. This approach has been developed assuming that the flow dynamics of the impulsive control system is characterized by real eigenvalues with no multiplicity. If the need to account for multiplicities of the eigenvalue is arguable, complex eigenvalues can be easily met in the real applications. This fact clearly highlights the need for further investigation to enhance the proposition made in this work. Another axis of research is to evaluate the region of attraction of the impulsive equilibrium set taking advantage of its formal characterization.

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