Abstract

We present an ad hoc concept modeling approach using distributional semantic models to identify fine-grained entities and their relations in an online search setting. Concepts are generated from user-defined seed terms, distributional evidence, and a relational model over concept distributions. A dimensional indexing model is used for efficient aggregation of distributional, syntactic, and relational evidence. The proposed semi-supervised model allows concepts to be defined and related at varying levels of granularity and scope. Qualitative evaluations on medical records, intelligence documents, and open domain web data demonstrate the efficacy of our approach.

1 Introduction

Knowledge discovery could be facilitated with the ability to define concepts ad hoc, and from these concepts identify semantically related named entities and entity relations. In an online search setting, identification of specific named entities may not be available, or may not have the granularity to support specific information needs. Attempting to provide models for all possible entity and relation types is computationally intractable, so there is a need for a more flexible, fine-grained, user-driven approach.

These needs are in contrast to named entities identified by models defined in advance from labeled training data, knowledge bases, or embedded in a set of rules. Entities identified from these models may be too general, e.g., person versus terrorist, or disease versus diabetes; or domain specific, e.g., protein type in a dietary versus a molecular biology sense. This can be an impediment to search and discovery since many discoveries are serendipitous in nature and are found by identifying linkages between more specialized concepts within and across domains. Using a flexible dimensional index for efficient aggregation of distributional statistics and a distributional relational model over concept distributions, we propose a new, more flexible approach for creating fine-grained, user-driven concept models for identification of semantically related entity relations.

First, we present an information-seeking scenario to motivate our approach. This is followed by a presentation of our proposed distributional semantic concept model and qualitative results.

1.1 Ad hoc information seeking scenario

Interactive knowledge discovery can be modeled using a dual representation of concepts and relations (Bollegala et al., 2010). Concepts can be defined by the relations they participate in, and by their lexical and semantic similarity. Relations can be defined by their participating concepts, and by semantically similar relations. In the following scenario, we are interested in identifying relations between Alzheimer’s disease (AD) and other diseases. We’ve heard of studies linking Type 2 Diabetes Mellitus (T2DM) with AD, so we start with the query “Diabetes related to Alzheimers.” The system extracts candidate entity instances and relations from the query (Table 1).
The search result and query provide a relational lattice linking diabetes, vascular dementia, and Alzheimer’s with risk factors. From analyzing the results of the query, the user may be interested in identifying other concepts related to risk factors and vascular dementia. For example, the user may expand the scope of the search space by querying for any concept related as a risk factor to vascular dementia.

A dimensional index is used for efficiently aggregating distributional statistics and relating evidence of concepts and relations within the search index with information from the query. Table 2(c) shows the results using a force-directed graph. The user can now identify new concepts participating in some form of risk factor relation. From these results, other relations for one or more concepts or any combination of concept relation could be explored. Table 4 lists the ranked retrieval process.

1. The user presents a natural language query.
2. The NLP engine parses the query, extracts candidate entities, dependency relations, syntax, and textual context.
3. A structured query is generated from the evidence extracted by the NLP engine.
4. A distributional semantic model is generated for each entity within the query from the dimensional index.
5. Word and phrase search within the context of individual sentences and documents.
6. Query model (4) applied to the top ranking sentences from (step 5).
7. User can provide relevance feedback to the system.

*Iterate over search results.*

### 2 Dimensional Indexing

A dimensional indexing model (Kimball, 1996; Gray, et al. 1997) is used for efficient search and aggregation of distributional statistics. The model represents a Vector Space Model (VSM) of distributional statistics for defining concepts, and a data warehousing style (dimensional data model) inverted index of words, phrases, named entities, relations, and sentences. The grain of the index is the individual word with attributes for position, part-of-speech, and phrase. Semantic concepts are defined over word distributions from the index. An entity-relation-entity index is also created during indexing to link candidate

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**Table 1. Parsed query with semantically related entities.**

A structured representation of the query is generated that integrates syntactic and lexical evidence with distributional semantic concept models of each candidate entity. Sentences semantically relevant to the query are retrieved and rank ordered. A sample search result for “Diabetes related to Alzheimer’s” with extracted concepts and relations are shown in Table 2(a). Table 2(b) shows a entity-relation-entity graph of the query and a retrieved sentence.

| a) Retrieved Sentence with concepts & relational dependencies | Diabetes is a risk factor for vascular dementia. Dependency relations: (concept1; relation 1, 2,...; concept2) diabetes; risk_factor for; vascular_dementia |
|---|---|
| b) Concept-relation graph: Query + Sentence | ![Concept-relation graph](image) |
| c) Semantic similarity graph: query: (vascular_dementia; risk factor; *). | ![Semantic similarity graph](image) |

**Table 2.** (a) Concept-relation search result for query: Diabetes related to Alzheimer’s. (b) Graph of query and sentence result. (c) Concept-relation graph search results for query: (vascular_dementia; risk factor; *).
entity instances (noun phrases) with their shortest path dependency relation within sentences. The same NLP is used for query processing, and sentence parsing during indexing.

Importantly, the dimensional index facilitates efficient OLAP style SQL queries for aggregating distributional statistics, and for executing relational queries over concepts. The index also supports aggregation over word, phrase, entity, relation, sentence, or document. A variation on this indexing approach has been scaled to several hundred Gigabytes for chemical patent retrieval (Urbain, et al. 2009). Indexes can be created from local collections and integrated with indexes created from online web search results.

3 Distributional Semantic Model

Distributional semantics quantifies and categorizes semantic similarities between linguistic terms based on their distributional properties in large samples of text. The central assumption is that the context surrounding a given word provides important information about its meaning (Church et al., 1989, 1991; Firth, 1968; Harris, 1954; Turney and Pantel, 2010). VSMs provide a mechanism for representing term, concept, relation, or sentence meaning by using distributional statistics. The semantic properties of words are captured in a multi-dimensional space by vectors that are constructed from large bodies of text by observing the distributional patterns of co-occurrence with their neighboring words. These vectors can then be used as measures of text similarity between words, phrases, abstract concepts, entities, relations, or snips of arbitrary text.

We base our distributional measures of semantic similarity using pointwise mutual information (PMI). PMI measures the pointwise mutual information between two objects as the log ratio of the joint probability of two objects co-occurring relative to the probability of those objects occurring independently. PMI using information retrieval (PMI-IR) was suggested by Turney (2001) as an unsupervised measure for the evaluation of the semantic similarity of words (Eq. 1). Turney defined words as words co-occurring if they co-occurred within a 10-word window.

$$PMI(w1,w2) = \log \frac{p(w1,w2)}{p(w1)p(w2)}$$

(1)

Multiple evaluations have demonstrated the effectiveness of PMI on semantic similarity benchmarks (Mihalecea, 2006; Eneko, 2012). We are also attracted to its simplicity and efficiency for generating distributional concept models online within our dimensional data model. Tables 6 and 7 show the PMI of words for the concepts Diabetes and CHF (Congestive Heart Failure). The distribution of semantically similar words (shown in lexically stemmed form) for each disease can be used to infer the underlying concepts Diabetes and CHF respectively.

| Concept | Stem term | PMI |
|---------|-----------|-----|
| diabet  | melliu    | 4.12|
| diabet  | depend    | 3.52|
| diabet  | type      | 2.67|
| diabet  | retinopathi | 2.14|
| diabet  | insulin   | 2.13|
| diabet  | nephropathi | 2.02|
| diabet  | noninsulin | 1.84|
| diabet  | hyperlipidemia | 1.76|
| diabet  | esrd      | 1.54|
| diabet  | adult     | 1.52|
| diabet  | glaucoma  | 1.42|
| diabet  | hypercholesterolemia | 1.10|

Table 6. PMI of words for Diabetes.

| Concept | Stem term | PMI |
|---------|-----------|-----|
| chf     | exacerb   | 2.34|
| chf     | ef        | 1.5 |
| chf     | drainag   | 1.4 |
| chf     | leukocytosi | 0.71|
| chf     | lvh       | 0.47|
| chf     | treat     | 0.34|
| chf     | secondari | 0.33|
| chf     | etiolog   | 0.31|
| chf     | cad       | 0.29|
| chf     | diuresi   | 0.27|
| chf     | evid      | 0.25|
| chf     | pleural   | 0.21|

Table 7. PMI of words for CHF.

Mihalecea, et al. (2006) extended semantic similarity measurements to two arbitrary text segments. Given a measurement for the semantic similarity of two unordered (bag of words) text segments and a measurement for term specificity, the semantic similarity of two text segments $C1$ and $C2$ can be defined using a model that combines the semantic similarities of each text segment in turn with respect to the other text segment. We extended the original bag-of-words text-to-text measurement to include phrases.
(candidate entities and their relation dependencies). Using PMI as the underlying measure of semantic similarity, we developed the following 2nd order PMI-based model for measuring the semantic similarity between concepts C1, C2. (Eq. 2).

\[
\text{SemSim}(C_i, C_j) = \frac{1}{2} \left( \sum_{w \in \{C_i \cap C_j\}} \left( \frac{\text{PMI}(C_i, w) \cdot \text{idf}(w) + \text{PMI}(C_j, w) \cdot \text{idf}(w))}{\sum_{w \in \{C_i \cap C_j\}} \text{idf}(w)} \right) \right)
\]

Table 7. Semantic similarity (SemSim) between concepts Afghanistan and Pakistan

| Concept1     | Concept2     | Co-term | PMI(C1, w) \cdot idf(w) | PMI(C2, w) \cdot idf(w) | Average |
|--------------|--------------|---------|--------------------------|--------------------------|---------|
| afghanistan  | pakistan     | india   | 6.00                     | 6.66                     | 6.33    |
| afghanistan  | pakistan     | iran    | 6.10                     | 6.04                     | 6.07    |
| afghanistan  | pakistan     | china   | 6.15                     | 5.94                     | 6.05    |
| afghanistan  | pakistan     | franc   | 6.03                     | 5.94                     | 5.99    |
| afghanistan  | pakistan     | russia  | 5.63                     | 6.04                     | 5.83    |
| afghanistan  | pakistan     | tajikistan | 5.48                  | 6.10                     | 5.79    |
| afghanistan  | pakistan     | arabia  | 4.93                     | 5.88                     | 5.41    |
| afghanistan  | pakistan     | soviet  | 5.42                     | 5.09                     | 5.25    |
| afghanistan  | pakistan     | britain | 5.63                     | 4.48                     | 5.06    |

RelDepSim(R1, R2) = \alpha \sum_{w \in \{R_1 \cap R_2\}} (\text{NIRDF}(w)) + (1-\alpha) \sum_{e \in E} \text{SemSim}(e_{R1}, e_{R2})

\[
\text{LexSim}(S_1, S_2) = \alpha_1 \sum_{e \in \{S_1 \cap S_2\}} (1) + \alpha_2 \text{BM25}(S_1, S_2) + \alpha_3 \text{BM25}(D_1, D_2)
\]

Where \(\alpha_1 > \alpha_2 \geq \alpha_3\).

AggSim(CR1, CR2) = \alpha_1 \text{SemSim}(C1, C2) + \alpha_2 \text{RelDepSim}(R1, R2) + \alpha_3 \text{LexSim}(S1, S2) + \alpha_4 \text{PRSim}(S1, S2)

Where \(\alpha_1 > \alpha_2 > \alpha_3 \geq \alpha_4\).

Concept instances used in Eq. 2 may be any text segment. PMI is calculated over the inner product (relational join) of all mutually co-occurring words between C1 and C2 is weighted by their respective semantic similarity (SemSim) and their normalized inverse document frequency (NIRDF). This measurement is completely unsupervised and can be used to compare any ordered or non-ordered text segment across any domain. To demonstrate the open domain capability of the semantic similarity measurement, we list the top co-occurring PMI*IDF measurements for Afghanistan and Pakistan in a post 9/11 intelligence document collection.

For reference we provide information retrieval measurements for relational dependency similarity (Eq.3), lexical similarity (Eq. 4) using Robertson’s BM25 (2000), and an aggregate similarity measurement integrating semantic, relational dependency, and lexical similarity (Eq. 5).

3.1 Learning Semantic Concepts

Figure 1 illustrates the following process for defining semantic concepts.

1) Users provide seed terms to bootstrap learning of a semantic concept. In this case, the user defines the semantic concept CAD, and seed terms CAD and coronary artery disease. Note: Seed terms may be any combination of individual words or phrases.
2) Concept terms can come from different conceptual areas to meet specific information retrieval needs. For example, terms from finance and terrorism, or terms identifying medical comorbidities such as coronary artery disease and diabetes. Additional terms can also be added for increased specificity.

A vector-space model of a concept’s distribution is generated from 2nd order probabilistic likelihood of co-occurring terms (PMI) (Figure 2):

| Termid | Term   | Idf  | N   | Pmi  | NPmi |
|--------|--------|------|-----|------|------|
| 2349   | cabg   | 0.331| 2   | 3.757| 1    |
| 90     | fhx    | 0.629| 1   | 3.171| 0.844|
| 1249   | anterosept | 0.608| 1   | 2.317| 0.617|
| 13612  | pnc    | 0.803| 1   | 2.16 | 0.575|
| 1675   | imi    | 0.608| 1   | 2.102| 0.599|
| 112    | known  | 0.189| 2   | 2.084| 0.555|
| 2211   | leukemia| 0.589| 1   | 1.956| 0.521|
| 3410   | pvd    | 0.363| 1   | 1.837| 0.489|
| 1635   | chf    | 0.286| 1   | 1.785| 0.475|
| 115    | coronari| 0.159| 2   | 1.76 | 0.468|
| 93     | cad    | 0.151| 1   | 1.749| 0.466|
| 6869   | psychosi| 0.712| 1   | 1.716| 0.457|
| 3646   | gastrint| 0.53 | 1   | 1.646| 0.438|
| 2350   | septemb| 0.43 | 1   | 1.525| 0.406|
| 276    | mi     | 0.207| 2   | 1.476| 0.393|
| 133    | arteri | 0.142| 1   | 1.453| 0.387|
| 139    | stent  | 0.232| 2   | 1.448| 0.385|
| 105    | hyperlipidemia| 0.155| 2   | 1.424| 0.379|
| 889    | ptc a| 0.46 | 1   | 1.344| 0.358|
| 100    | hx     | 0.207| 1   | 1.28 | 0.341|

3) From the concept model CAD, we can predict the likelihood of semantic relatedness of candidate entities (Figure 3). Note: Candidate entities are noun phrases identified during indexing or query processing.

4) From the semantic concept model, CAD, we can predict the likelihood of generating sentences by using this model for sentence information retrieval (Figure 4).
Table 4. Sentence retrieved from the semantic concept model, CAD

| N | ID | 2nd Order PMI | Text |
|---|----|----------------|------|
| 1 | 215-215-8528 | 0.122 | Elderly CABG + 4 (LIMA - LADV Sequential graft) SV1: connect Aorta to D1 then OMAJ/ SV2: LVBI (Incidental anomalous circumflex off the right coronary artery Pre-op EF 50-55% with infero-posterior hypokinesia (P'op-op) Bicuspid aortic valve (not replaced with CABG according to notes) Mirt NS, Mirt A only on pre-CABG echo 2007 Hypertension Dyslipidemia Diabetes Type II Perioperative hypoglycemia post CABG Exemper Quill 2007, >50 pack years Peripheral arterial disease Occluded distal arterial (diagnosed after cardiac catheterization attempt in 2007) Small infrarenal abdominal aortic aneurysm 3.5cm Paravertebral parietal fibrosis (x2 years at least) On coumadin for stroke prevention and antiplatelet drugs. Due to this patient. |
| 2 | 161-161-6298 | 0.112 | PMH: DM x 20 years, peripheral neuropathy HTN CAD Anteroseptal MI in 03/91, PTCA and stent EF 32% (last echo 2002) Hemorrhoids (normal colo 2005) Iris, cornual dystrophy Osteoporosis Diverticulis (?) Meds: Glipizide 2.5 QD Asa 325 QD Lisonopril 5mg QD Lopressor 50 mg BID Lisipor 10 mg QD Amiodarone 25 mg QHS Prednisone 5mg Caltrate + D (CALCUM Carbonate 1500 mg (630 mg Elem Ca) VS D 200 (c) 1 TAB PO BID All Acadetaminepht–rash SH: Tob: 43 year pack hx, quit 2006 ETOH: denies illicit: denies FH: mother: puerperal anemia Father: died in accident at young age Brother: leukemia RDS: As in Hx: General: no weight loss/gain, no fatigue, no fevers, no chills, no change in appetite: Respiratory: no cough, no SOB, no OD; no hemoptysis, no wheezing - HEENT: no neck stiffness, no hoarseness, no hearing loss - Cardio: chest pain/presure as above, no palpitations, no orthopnea, no PVD - Gastrointestinal: no nausea, no vomiting, no diarrhea, no constipation, no bleeding - Neurolog: diminished sensation in LLE bilaterally - Lymph nodes: no enlarged lymph nodes - Musculoskeletal: No back pain, no neck pain, no leg pain, no arm pain - Urologic: No hematuria, no dysuria, no polyuria, no nocturia - Hematologic: No bruising, no bleeding - Exposures: No sick contacts, no recent travel Exem: VS: 9/7.7 74.18 98.9% supine 108/94 standing 127/70 HEENT: NCAT; PERRL; non-coloric. |
| 3 | 123-123-4822 | 0.111 | PMH: Duchenne’s muscular dystrophy, COPD (2.5l home Q2), JDDM, recurrent UTIs w/ previous Candida tropicalis fungemia (followed by Dr. Phoebe Abreu), B urethral obstruction s/p urethral stent placement, CAD s/p CABG, PVD, SE disorder: hyperkalemia secondary to hyperaldosteronism (Type III RTA), CRI (baseline 1.5) FSH: CABG: cystectomy, B RPG, B urethral stent placement 11/93; cystectomy, B urethral stent change 2/94 MED: vancomycin, ceftazolin, fluconazol, lactulose, amon, paracetamol, tramadol, pame, xylarin, pap, lipitac, lantus, humalog ISF, keppra, labetolol, medline, prilosec ALL; PCN PE: AIV/SS NAC; alert, responsive, and interactive S/N/T/ND Phisus uncrumeced w/ easily retractable foreskin; meatus WNL. Tests descended bilaterally and nonsterile Foley to gravity draining clear urine with sediment LABS: Chem (11/24) – 137/6.0/108/16/83/2.7/79. |

3.2 Distributional relational model

A distributional relational model can be defined over semantic concept distributions. For example, we may be interested in searching the intersection of concepts Terrorist and Yemen. So we could define a relational natural join operation (⋈) over Terrorist and Yemen concept distributions to identify semantically related terms at the intersection of Terrorist and Yemen. From this result set we could predict the most semantically related entities, relations, or sentences.

We may also be interested in major cities in Afghanistan and Pakistan, i.e., what are the most prominent semantically similar attributes of major cities in Afghanistan Pakistan? In this case, we could formulate a query using relational addition (+) or UNION. Alternatively, we could use relational subtraction (−). For example, what is specific to COPD (Chronic Obstructive Pulmonary Disorder) that is not shared by CAD (Coronary Artery Disease)?

Defining relational operators for addition and subtraction over distributions requires some thought. Given matching terms in separate distributions, how are distributions coalesced? Our approach for defining distributional operators are summarized below:

- **Natural join (⋈)** – set intersection. Only maintain matching terms in each distribution.
- **Boolean addition**: set UNION. Set semantic similarity coefficient (SSC) to the arithmetic mean of matching terms.
- **Boolean subtraction**: set SUBTRACTION. Remove terms from second operand distribution from first distribution.
- **Distributional addition**: set UNION. Set semantic similarity coefficient (SSC) to sum of matching terms, maximum 1.
- **Distributional subtraction**: set SUBTRACTION. Subtract SSC of matching terms in second operand distribution from first operand distribution, minimum 0.

Relational query operations are defined as a first-order relational algebra and can be of arbitrary complexity. Query expressions are recursively parsed into a postfix expression:

**Expression (Given):**

`((Karachi+Islamabad+Lahore)-Pakistan)+Afghanistan`

**Parse (Output):**

`[ADD, Afghanistan, SUBTRACT, Pakistan, ADD, Lahore, ADD, Islamabad, Karachi]`

The postfix expression is translated into a series of SQL statements, which are executed against concept distribution tables. The result set of the query defines a new concept that can in turn be used as any other distributional concept to predict entities, relations, or sentences.
4 Conclusion

We have presented an ad hoc concept modeling approach using distributional semantic models to identify and relate fine-grained entities in an online search setting. We have also presented, a novel distributional relational model for relating semantically similar concepts. The distributional concept and relational models provide a framework for future research. For example, quantitatively determining the most effective concept distribution models and distributional relational operators. What are the best architectures for scaling ad hoc distributional semantics?

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