General solution to the $U(1)$ anomaly equations

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The anomaly cancellation equations for the $U(1)$ gauge group can be written as a cubic equation in $n-1$ integer variables, where $n$ is the number of Weyl fermions carrying the $U(1)$ charge. We solve this Diophantine cubic equation by providing a parametrization of the charges in terms of $n-2$ integers, and prove that this is the most general solution.

Gauge symmetries are essential to the remarkable success of the Standard Model of particle physics in describing all measured properties of the known elementary particles. In order to be well-behaved at high energies, gauge theories must be free of gauge anomalies, including the ones generated by fermion loops with three gauge bosons on the external lines [1]. Furthermore, in a fundamental theory, the elementary fermions are expected to be chiral, i.e., their charges should not allow a mass term larger than the scale of spontaneous symmetry breaking. This requires careful assignment of the fermion charges under the gauge group.

$U(1)$ gauge symmetries acting on chiral fermions are present in many theories of interest for new physics, as well as within the Standard Model which includes hypercharge. The triangle anomaly with three $U(1)$ gauge bosons on the external lines, i.e., the $[U(1)]^3$ anomaly, is cancelled provided a cubic equation with fermion charges as variables is satisfied. The triangle anomaly with one $U(1)$ gauge boson and two gravitons on the external lines should also be cancelled [2]. This gives an additional anomaly equation, which is linear in fermion charges. In the presence of additional gauge groups, there are more equations that need to be satisfied, but the cubic and linear ones are always necessary.

Any $U(1)$ gauge group must be embedded in a non-Abelian structure in order to ensure that its gauge boson is well behaved at high energies. As a result, it is generically expected that the $U(1)$ charges must be commensurate, i.e., they can be restricted to have integer values for certain normalizations of the gauge coupling. Thus, the $U(1)$ anomaly cancellation conditions are equivalent to Diophantine equations, i.e., equations with integer variables. Finding anomaly-free sets of fermions is challenging, as there are no generic methods of solving Diophantine cubic equations [3].

Any chiral set of fermions can be embedded in a larger set of fermions which is chiral and anomaly-free [4]. However, there is less known about how to identify anomaly-free sets with a fixed number of fermions charged under a $U(1)$ gauge group. Numerical methods are useful, but become difficult to use for a large number of fermions or for large ratios of charges [5]. Methods based on algebraic geometry [6] are of limited scope so far. Deriving anomaly-free sets from the $U(1)$ subgroups of a non-Abelian gauge group, such as $SO(10)$ or $E_6$, is a powerful technique [7], yet it is restricted by the choice of the larger group. Examples of chiral $U(1)$ models can be found in [8].

In this letter we solve the cubic and linear anomaly equations for the $U(1)$ gauge group in the most general way. Our main observation that leads to a general solution is that an anomaly-free set of chiral charges can be constructed out of two vectorlike sets. For a set of $n$ chiral fermions we identify a parametrization of the charges in terms of $n-2$ integers, and then we prove that any solution of the anomaly equations corresponds to certain values for these integer parameters.

Anomaly-free chiral sets for the $U(1)$ gauge group

Consider a number, $n$, of Weyl fermions carrying nonzero charges $z_1, \ldots, z_n$ under a $U(1)$ gauge group. Without loss of generality the $z_i$’s are taken as integers. There are two anomaly equations: the $[U(1)]^3$ anomaly cancellation requires a Diophantine cubic equation,

$$z_1^3 + \cdots + z_3^3 = 0 \quad (1)$$

and the linear $U(1)$ anomaly cancels when

$$z_1 + \cdots + z_n = 0 \quad (2)$$

If among the $n$ Weyl fields there is a pair of vectorlike fermions, i.e., their charges satisfy $z_i = -z_{i'}$, then that pair does not contribute to the anomalies and the problem reduces to $n-2$ fermions. Thus, it is sufficient to consider chiral sets of fermions, which means that the following condition must be satisfied:

$$z_i + z_{i'} \neq 0 \quad \text{for any} \quad 1 \leq i, i' \leq n \quad (3)$$

Anomaly-free chiral sets exist only for $n \geq 5$ fermions [4].

For each solution to the anomaly equations (1) and (2), a second solution can be obtained by flipping the sign of all charges. Furthermore, since the equations are symmetric under charge permutations, for any solution there are $n!-1$ other solutions obtained by changing the ordering within the set. To eliminate this plurality, we define the canonical form for a set of charges as
the ordering according to their decreasing absolute values, making the first charge positive. Thus, the chiral set \( \{ z \} \equiv \{ z_1, \ldots, z_n \} \), with \( z_i \in \mathbb{Z} \) for \( 1 \leq i \leq n \), is in the canonical form if it satisfies

\[
z_1 \geq |z_2| \geq \ldots \geq |z_n| \geq 1 . \tag{4}\]

As Eqs. (1) and (2) are homogeneous, for any chiral set which satisfies them there is an infinite set of solutions where all the charges are multiplied by an arbitrary integer. It is therefore sufficient to consider coprime sets of charges, i.e., sets where the greatest common divisor (gcd) of the \( n \) charges is 1. For large enough \( n \) the solutions include “composite” sets, formed of two or more subsets which independently satisfy the anomaly equations. As these are easier to construct, we will focus on chiral sets of charges which are coprime and non-composite. We refer to this type of chiral sets as primitive solutions to the anomaly equations.

Our goal is to find the most general solution for any \( n \). Given that there are two equations, finding the most general solution entails identifying a parametrization of the \( n \) charges in terms of at most \( n - 2 \) integer parameters, and then proving that any primitive solution can be obtained for certain values of the parameters. To grasp the difficulty of the problem, extract \( z_0 \) from Eq. (2), and write Eq. (1) as the Diophantine equation

\[
z_1^3 + \cdots + z_{n-1}^3 = (z_1 + \cdots + z_{n-1})^3 . \tag{5}\]

This is a homogenous cubic equation collectively in the \( n - 1 \) variables, but also a quadratic equation in each variable. The equation in \( z_{n-1} \) has a discriminant \( \Delta \) given by a quartic polynomial in other charges. The question becomes for what \( z_i \in \mathbb{Z} \) with \( i \leq n - 2 \) is \( z_{n-1} \) an integer. Even the prerequisite problem, of when is \( \sqrt{\Delta} \) a rational number, is highly nontrivial.

A construction method for anomaly-free sets

We now introduce a method of generating anomaly-free sets under the \( U(1) \) gauge symmetry. Our main observation is that given two integer solutions to Eqs. (1) and (2), \( \{ \vec{x} \} \equiv \{ x_1, \ldots, x_n \} \) and \( \{ \vec{y} \} \equiv \{ y_1, \ldots, y_n \} \), another integer solution can be constructed by taking a certain linear combination of the two sets with coefficients which are cubic polynomials in \( x \) and \( y \):

\[
\{ \vec{x} \} \oplus \{ \vec{y} \} \equiv \left( \sum_{i=1}^{n} x_i y_i^2 \right) \{ \vec{x} \} - \left( \sum_{i=1}^{n} x_i^2 y_i \right) \{ \vec{y} \} . \tag{6}\]

We will refer to the operation, labelled by \( \oplus \), that acts on the \( \{ \vec{x} \} \) and \( \{ \vec{y} \} \) sets as the ‘merger’, and to its result as the merged set. The merger operation satisfies

\[
\{ \vec{y} \} \oplus \{ \vec{x} \} = - \{ \vec{x} \} \oplus \{ \vec{y} \} , \quad \{ -\vec{x} \} \oplus \{ \vec{y} \} = \{ \vec{x} \} \oplus \{ -\vec{y} \} = \{ \vec{x} \} \oplus \{ \vec{y} \} . \tag{7}\]

The merger can be applied again on \( \{ \vec{x} \} \oplus \{ \vec{y} \} \) and either \( \{ \vec{x} \} \) or \( \{ \vec{y} \} \), and the same procedure can be repeated, leading to sequences of parametric solutions to the anomaly equations.

There is no guarantee that the merged set is chiral. If one or both of \( \{ \vec{x} \} \) and \( \{ \vec{y} \} \) are chiral, the merger \( \{ \vec{x} \} \oplus \{ \vec{y} \} \) is sometimes vectorlike. More surprisingly, the merger of two vectorlike sets is often chiral. We use this observation to construct solutions to the anomaly equations.

Solution for an even number of fermions

As the vectorlike sets with an even number \( n \) of Weyl fermions look qualitatively different than the ones with odd \( n \), we treat the two cases separately. Our main result for even \( n \) is that a chiral solution is generated by the merger of the following vectorlike sets:

\[
\{ \vec{v}_+ \} = \{ \ell_1, k_1, \ldots, k_m, -\ell_1, -k_1, \ldots, -k_m \} , \tag{8}
\]

\[
\{ \vec{v}_- \} = \{ 0, 0, \ell_1, \ldots, \ell_m, -\ell_1, \ldots, -\ell_m \} ,
\]

where \( m = n/2 - 1 \geq 2 \), and the \( n - 2 \) parameters \( (k_i, \ell_i, 1 \leq i \leq m) \) are integers. Note that \( \ell_1 \) is the only parameter common to both sets. Since \( \{ \vec{v}_+ \} \) and \( \{ \vec{v}_- \} \) are vectorlike sets, they are anomaly free so their merger,

\[
\{ \vec{z} \} = \{ \vec{v}_+ \} \oplus \{ \vec{v}_- \} , \tag{9}\]

is automatically a solution to the anomaly equations (1) and (2). Before proving that this solution is the most general one (up to an integer rescaling), we discuss the constraints on its parameters.

The merger operation is a vector sum with coefficients given by cubic polynomials in charges, introduced in Eq. (6), which in this case are

\[
S_+ = \sum_{i=1}^{m} (k_{i+1} - k_i) \ell_i^2 - (\ell_1 + k_m) \ell_m^2 ,
\]

\[
S_- = k_1^2 \ell_1 + \sum_{i=2}^{m} k_i^2 (\ell_i - \ell_{i-1}) - \ell_1^2 \ell_m . \tag{10}\]

Explicitly, the charges of the merged set are given by

\[
\{ \vec{z} \} = \{ \ell_1 S_+, k_1 S_+, k_2 S_+ + \ell_1 S_-, \ldots, k_m S_+ + \ell_{m-1} S_- , -\ell_1 S_+ + \ell_m S_- , -k_1 S_+ - \ell_1 S_-, \ldots, -k_m S_+ - \ell_m S_- \} . \tag{11}\]

The charges of this set are quartic polynomials in the integer parameters. If one is interested in finding only primitive solutions, then \( \{ \vec{z} \} \) must be divided by gcd(\( \vec{z} \)) to ensure that the set is coprime. Without further restrictions, each solution is generated by several choices of the integer parameters. For example, flipping the sign of all the parameters leaves the set invariant.
We are interested in a set with \( n \) nonzero charges, which implies that certain values of the integer parameters should be avoided: \( \ell_1, k_1 \neq 0, S_+ \neq 0, k_2S_+ \neq -\ell_1S_- \), etc.

The chirality conditions are satisfied provided other \( n(n - 1)/2 \) restrictions are imposed on the integer parameters. The simplest of these are \( \ell_m \neq 0, S_- \neq 0, \ell_1 \neq -k_1, k_m \neq -\ell_1, \) and \( \ell_i \neq -\ell_{i-1}, k_i \neq k_{i-1} \) for \( i = 2, \ldots, m \). More complicated chirality conditions are of the types \( (k_i + k_i'_{})S_+ \neq -2(\ell_{i-1} + \ell'_{i-1})S_- \), \( (k_i - k_i')S_+ \neq -2(\ell_{i-1} - \ell'_{i-1})S_- \), etc.

**Proof of generality for even \( n \)**

In order to prove that the most general solution for an even number \( n \) of chiral fermions is given by the set \( \{\vec{z}\} \) of Eq. (9), we consider an arbitrary chiral set \( \{\vec{q}\} \) which is a solution to Eqs. (11) and (12), and identify \( n - 2 \) integers such that \( \{\vec{z}\} \) is the same as \( \{\vec{q}\} \) up to an overall rescaling by an integer.

To do that, we first determine ratios of integer parameters in terms of the charges of Eq. (11):

\[
\frac{k_1}{\ell_1} = \frac{q_2}{q_1},
\]

\[
\frac{1}{\ell_1}(k_{i+1} - k_i) = \frac{1}{q_1}(q_{i+2} + q_{m+i+2}) \tag{12}
\]

\[
\frac{1}{\ell_1}(\ell_i + 1 - \ell_i) = \frac{1}{q_1}(q_{i+2} + q_{m+i+2} \quad \text{for any} \quad 1 \leq i \leq m - 1.
\]

From these recursive relations one can determine \( n - 3 \) integer parameters as rational functions of the \( \{\vec{q}\} \) charges times \( \ell_1 \). Many of these relations can be simplified by using the following equation for \( q_1 + \cdots + q_n = 0 \). There is one more nontrivial relation that follows from summing over a pair of charges:

\[
S_- / S_+ = -2(q_2 + q_{m+3})/q_1.
\]

This relation also follows from Eq. (10) and the anomaly equations for \( \{\vec{q}\} \).

We need to solve Eq. (12) with \( k_1, \ell_1 \) as integer variables. The solution up to a common integer rescaling, for an arbitrary \( \{\vec{q}\} \), is

\[
k_i = (q_2 + q_{m+3}) \sum_{\alpha = 2}^{i+1} \left(q_\alpha + (1 - \delta_{\alpha,2}) q_{m+\alpha}\right),
\]

\[
\ell_i = q_1 \sum_{\alpha = 3}^{i+2} (q_{\alpha-1} + q_{m+\alpha}), \tag{13}
\]

for \( 1 \leq i \leq m \), where \( \delta_{\alpha,2} \) is the Kronecker symbol. We have thus established that for any anomaly-free set \( \{\vec{q}\} \) there are some integers \( k_1, \ell_1 \) such that the \( \{\vec{z}\} \) set introduced in Eqs. (8) and (9) is proportional to \( \{\vec{q}\} \). The above expressions for the parameters imply that the \( \{\vec{z}\} \) set is given by \( \{\vec{q}\} \) multiplied by an integer:

\[
\{\vec{z}\} = -q_1 S_- \{\vec{q}\}. \tag{14}
\]

The remaining issue is what happens for a \( \{\vec{q}\} \) that gives \( S_- = 0 \). We point out that under the \( q_2 \leftrightarrow q_{m+3} \) interchange only \( k_1 \) is modified. Given that \( \{\vec{q}\} \) is chiral, the cubic equation (1) takes the symmetric form

\[
(z_1 + z_2)(z_2 + z_3)(z_3 + z_1) = -(z_4 + z_5)(z_5 + z_6)(z_6 + z_4). \tag{15}
\]

The general solution (9) for \( n = 6 \) is parametrized by 4 integers, \( k_1, k_2, \ell_1, \ell_2 \):

\[
\{\vec{z}\} = \{\ell_1, k_1, k_2, -\ell_1, -k_1, -k_2\} \oplus \{0, 0, \ell_1, \ell_2, -\ell_1, -\ell_2\}. \tag{16}
\]

Its charges are given by quartic polynomials:

\[
z_1 = \ell_1 \left(\ell_1^2(k_2 - k_1) - \ell_2^2(\ell_1 + k_2)\right)
\]

\[
z_2 = k_1 \left(\ell_1^2(k_2 - k_1) - \ell_2^2(\ell_1 + k_2)\right)
\]

\[
z_3 = \ell_2^2k_1(k_1 - k_2) - \ell_2(\ell_1 + k_2)(\ell_1^2 - \ell_1k_2 + k_2\ell_2)
\]

\[
z_4 = \ell_2^2k_2(\ell_1 + k_2) - \ell_2(\ell_1 + k_2)(\ell_1^2 - \ell_1k_2 + k_2\ell_2)
\]

\[
z_5 = \ell_2^2k_2(k_2 - k_1) + \ell_2(\ell_1 + k_2)(\ell_1^2 - \ell_1k_2 + k_2\ell_2)
\]

\[
z_6 = \ell_1 \left(\ell_2^2(k_1 + k_2) + (k_2 - k_1)(k_2\ell_2 - \ell_1k_2 + k_1\ell_2)\right). \tag{17}
\]

A necessary condition for this set to be chiral is \( k_1, \ell_1, \ell_2 \neq 0 \), while \( k_2 = 0 \) typically gives a chiral set.

Table I collects the primitive solutions in canonical form with \( z_1 \leq 12 \), a corresponding choice of \( (k_1, \ell_1, \ell_2) \), and the gcd(\( z \)).

The canonical form (14) implies that \( z_i + z_{i'} \) has the same sign as \( z_i \) for \( i < i' \), so Eq. (12) requires \( z_2z_5 < 0 \). As Eq. (15) is invariant under charge permutations, the
interchange \( z_1 \leftrightarrow z_4 \) gives \( z_3 z_5 < 0 \), and \( z_2 \leftrightarrow z_5 \) leads to \( z_3 z_4 < 0 \). Then Eq. (2) allows only two signatures: \(+, -, +, +, \pm\).

**General solution for an odd number of fermions**

Our key result for an odd number \( n \) of Weyl fermions is that the general solution is given by the merger of the two vectorlike sets

\[
\{ \vec{u}_+ \} = \{ 0, k_1, \ldots, k_{m+1}, -k_1, \ldots, -k_{m+1} \}, \\
\{ \vec{u}_- \} = \{ \ell_1, \ldots, \ell_m, k_1, 0, -\ell_1, \ldots, -\ell_m, -k_1 \},
\]

where \( m = (n - 3)/2 \geq 1 \). The \( n - 2 \) integer parameters are \( k_1, \ldots, k_{m+1}, \ell_1, \ldots, \ell_m \), with only \( k_1 \) common to both sets. The merged set is then

\[
\{ \vec{z} \} = \{ \vec{u}_+ \} \oplus \{ \vec{u}_- \} = \{ \ell_1, \ldots, \ell_m, k_1, 0, -\ell_1, \ldots, -\ell_m, -k_1 \}.
\]

This choice for parameters generates the arbitrary set times an integer: \( \{ \vec{z} \} = -(q_1 + q_{m+3}) \{ \vec{q} \} \). Again, \( S_- \neq 0 \) can be avoided by the transposition \( q_1 \leftrightarrow q_{m+3} \). Combined with the even \( n \) case of Eq. (14), this shows that our solutions are the most general ones for any \( n \).

As an example, note that \( n = 15 \) is the number of Weyl fermions in one generation of Standard Model quarks and leptons. For \((k_1, \ldots, k_7) = (-1, 1, 3, 0, -2, 0, -2), (\ell_1, \ldots, \ell_6) = (3, 0, 3, 1, -2, 1)\), and after dividing by \( \gcd = 2 \), Eq. (19) gives the chiral set of charges \( \{6, -4, -4, -4, -3, 2, 2, 2, 1, 1, 1, 1, 1\} \). These are the hypercharges of the quarks and leptons, taken as left-handed fields, for a normalization of the \( U(1)_Y \) gauge coupling where the quark doublet has hypercharge +1.

A nonstandard example with \( n = 15 \) is \( k_1 = 1, \ell_{2i} = 0, \ell_{2i-1} = k_{2i+1} = -k_{2i} = 1, i = 1, 2, 3 \), giving the chiral set \( \{8, 8, -7, -7, -7, -7, -7, -7, 6, 6, 6, 6, 1\} \), which is also anomaly free under an \( SU(3) \times U(1) \) symmetry.

**Conclusions**

A long-standing problem in particle physics is how to identify anomaly-free sets of chiral fermions charged under a \( U(1) \) gauge symmetry. This requires solving the anomaly equations, including a Diophantine cubic equation for which there are no generic methods. The problem is relevant for dark matter sectors, flavor breaking structures, nonstandard neutrino models, extensions of the electroweak group, hidden sectors, and other theories.

In this article we have solved the \( U(1) \) anomaly equations in general, for any number of chiral fermions, \( n \). Our solutions, given in Eq. (9) for even \( n \), and in Eq. (14) for odd \( n \), are parametrized by \( n - 2 \) integers. We have proven that these are the most general solutions, by showing that for any anomaly-free set of charges there exist \( n - 2 \) integers which generate that set, up to an overall rescaling by an integer (which can be absorbed by a redefinition of the gauge coupling).

To obtain the general solutions, we have developed a method of constructing anomaly-free sets of chiral fermions starting from two sets of vectorlike fermions. The method relies on the “merger” operation introduced in Eq. (6). This is a powerful tool to generate chiral \( U(1) \) gauge theories with arbitrary fermion content.

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