Prevalence and incidence of anti-SARS-CoV-2 antibodies among healthcare workers in Belgian hospitals before vaccination: a prospective cohort study

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ABSTRACT

Objectives To describe prevalence and incidence of anti-SARS-CoV-2 antibodies among Belgian hospital healthcare workers (HCW) in April–December 2020.

Design Prospective cohort study. Follow-up was originally planned until September and later extended.

Setting Multicentre study, 17 hospitals.

Participants 50 HCW were randomly selected per hospital. HCW employed beyond the end of the study and whose profession involved contact with patients were eligible. 850 HCW entered the study in April–May 2020, 673 HCW (79%) attended the September visit and 308 (36%) the December visit.

Outcome measures A semiquantitative ELISA was used to detect IgG against SARS-CoV-2 in serum (Euromun) at 10 time points. In seropositive samples, neutralising antibodies were measured using a virus neutralisation test. Real-time reverse transcription PCR (RT-qPCR) was performed to detect SARS-CoV-2 on nasopharyngeal swabs. Participant characteristics and the presence of symptoms were collected via an online questionnaire.

Results Among all participants, 80% were women, 60% nurses and 21% physicians. Median age was 40 years. The seroprevalence remained relatively stable from April (7.7% [95% CI: 4.8% to 12.1%]) to September (8.2% [95% CI: 5.7% to 11.6%]) and increased thereafter, reaching 19.7% (95% CI: 12.0% to 30.6%) in December 2020. 76 of 778 initially seronegative participants seroconverted during the follow-up (incidence: 205/1000 person-years). Among all seropositive individuals, 118/148 (80%) had a positive neutralisation test, 83/147 (56%) presented or reported a positive RT-qPCR, and 130/147 (88%) reported COVID-19-compatible symptoms at least once. However, only 46/73 (63%) of the seroconverters presented COVID-19-compatible symptoms in the month prior to seroconversion.

Conclusions The seroprevalence among hospital HCW was slightly higher than that of the general Belgian population but followed a similar evolution, suggesting that infection prevention and control measures were effective and should be strictly maintained. After two SARS-CoV-2 waves, 80% of HCW remained seronegative, justifying their prioritisation in the vaccination strategy.

Trial registration number NCT04373989

Strengths and limitations of this study

- To our knowledge, this is the first study reporting on SARS-CoV-2 seroprevalence in a nationwide representative sample of hospital healthcare workers (HCW).
- This longitudinal study has a relatively long duration of follow-up (8 months) with until September 2020 (part of the study before its extension) a small number of people lost to follow-up.
- The use of multiple different assays repeated over time reveals a complexity in the profiles of infect ed participants that would have been missed when looking at one point in time, or using a single test.
- Selection bias might have occurred at recruitment: some hospitals and HCW refused to participate, possibly because of a higher impact of the epidemics locally (work overload, sick leave, etc.).
- Loss to follow-up was low but increased over time, especially during the summer months and after the extension of the study beyond September 2020.

INTRODUCTION

Early December 2019, a novel coronavirus, named SARS-CoV-2, was detected in Wuhan, China, and rapidly spread worldwide. This pandemic, with over 140 million cumulative reported COVID-19 cases and 3 million deaths as of 18 April 2021 led to an unprecedented global health crisis.

Healthcare workers (HCW) represent a highly exposed population, being at the frontline management of patients with COVID-19. Furthermore, if infected, they also pose a risk to the vulnerable patients they care for and to their colleagues. Their role in the chain of transmission, as well as in ensuring the implementation of appropriate infection prevention and control (IPC) measures is therefore essential. In the vaccination strategies of many countries, HCWs are treated as a priority population.
In Belgium, the first imported case of SARS-CoV-2 infection was detected on 3 February, and local transmission was identified early March 2020.\(^5\) By the end of April 2021, approximately 976,000 confirmed cases and 24,000 COVID-19-related deaths had been reported, while Belgian hospitals had admitted a total of 69,400 patients with COVID-19.\(^6\) Several measures have been implemented in hospitals in the hope of limiting transmission. At the peak of the first COVID-19 wave, from mid-March till May 2020, all non-urgent admissions and consultations were suspended.\(^7\) From mid-April 2020 onwards, universal use of surgical masks was recommended to all HCW likely to be in contact with a COVID-19 case while FFP2 masks were reserved for aerosolising procedures.\(^8\) The working conditions for HCWs were different during the second wave, from September till December 2020, because regular, non-COVID-19 related care continued and because there were no structural shortages anymore of personal protective equipment. As in other countries, testing strategies and case definition changed over the months, and still today, HCW can only be tested because there were no structural shortages anymore of personal protective equipment. As in other countries, testing strategies and case definition changed over the months, and still today, HCW can only be tested for SARS-CoV-2 if they show well-defined symptoms.\(^9\)

As individuals can carry and transmit the virus without exhibiting any symptoms,\(^10\)\(^11\) a potentially high proportion of cases in this population were therefore never identified.

By April 2020, nearly no data on SARS-CoV-2 infection among HCW in Belgium and in other countries was available, although casualties among medical doctors and nurses were being reported in the media.\(^12\)\(^13\) Similarly, data on the proportion of asymptomatic infections among HCW was scarce. Assessing the burden and the clinical presentation of the disease in this high-risk population appeared crucial to reduce secondary virus transmission within this setting. We, thus, started a prospective cohort study end of April 2020, aiming to follow the prevalence and incidence of anti-SARS-CoV-2 antibodies among Belgian hospital HCW throughout the epidemic, in order to guide IPC measures in hospitals and support planning of healthcare resources. In addition, we sought to investigate the presence of symptoms, positive PCR results and neutralising antibodies in seropositive participants, and to describe these variables over time in seroconverters. In this paper, we present the findings up to the end of December 2020, before the start of the vaccination of HCW in Belgium mid-January 2021.

**METHODS**

**Study design**

This is an observational prospective cohort study describing a random sample of HCW employed in Belgian hospitals. We followed the Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) recommendations to prepare the report.\(^14\) The completed STROBE checklist is available (see online supplemental file 1).

**Study population**

We used two-stage cluster sampling to obtain a random sample of 850 HCW. In the first stage, 17 hospitals were selected out of a complete list of 103 general hospitals in Belgium,\(^15\) using a random procedure with probability proportional to size. The number of hospital beds was taken as a proxy for size, that is, hospitals with more beds had a higher probability to be selected than hospitals with fewer beds. The second stage took place within each of the 17 included hospitals. A local study coordinator randomly selected 50 individuals out of a list of all eligible HCW. To be eligible, HCW had to have an employment contract with the hospital covering at least the length of the study and they had to have contact with patients, be it in COVID-19-dedicated or other wards. If a selected HCW did not want to participate, he/she was replaced by the next person on the list.

Participants were recruited in April and May 2020, at the time of the peak of the first SARS-CoV-2 wave in Belgium. Data were collected every 2 weeks during the first month and then monthly. Follow-up was originally planned until September 2020, but was later extended. The selection procedures and baseline characteristics of the participants are described in more detail elsewhere.\(^16\)

**Assessment of anti-SARS-CoV-2 antibodies**

The main outcome was the presence of IgG antibodies against SARS-CoV-2 in serum samples collected at each of the 10 study visits. This was measured using a commercially available ELISA that captures anti-S1 (spike subunit 1) antibodies (Euroimmun anti-SARS-CoV-2 IgG ELISA, reference EI 2600–9601 G, Medizinische Labordiagnostika AG).\(^17\) As recommended by the manufacturer, we used a stringent cut-off to consider a test result as positive (ratio ≥1; NCT04373889). Studies set up to evaluate the accuracy of this ELISA obtained point estimates for the sensitivity between 88% and 93% using samples obtained at least 2 weeks after clinical or molecular diagnosis, and point estimates for the specificity between 96% and 99%.\(^18\)\(^19\) In our study, ELISA testing was carried out at the laboratories of Sciensano (national public health institute of Belgium).

**Assessment of covariates**

**Presence of symptoms compatible with COVID-19**

At each study visit, the participants were invited to complete an online questionnaire covering the period between the previous and the current visit. We considered that a participant had symptoms compatible with COVID-19 if, during that period, he/she reported to have experienced at least one of the following symptoms: cough, shortness of breath, chest pain, loss of smell or taste; or at least two of the following symptoms: fever, muscle pain, fatigue, running nose, sore throat, headache, acute mental confusion or diarrhoea.\(^9\)

**SARS-CoV-2 molecular test results**

Nasopharyngeal swabs for molecular testing were taken at each study visit. The samples were transported to the
Virus neutralisation test results

For samples with a positive ELISA result, the level of neutralising antibodies was measured using a virus neutralisation test.21-23 This test uses Vero cells, which are highly susceptible to infection with coronaviruses and show clear cytopathic effects when they are infected. The result of the neutralisation test is expressed as the serum titre of antibodies needed to neutralise 50% of the SARS-CoV-2 in vitro infection (NT50). If this titre was 1:50 or higher, the corresponding serum sample was defined as positive on the neutralisation test.

Study size

The prevalence of anti-SARS-CoV-2 antibodies among HCW was unknown at the time this study was designed. The sample size calculation was based on an estimated seroprevalence of 50%, a desired absolute precision of 5%, and a design effect of 2. We set the estimated seroprevalence to 50% because that is a conservative approach (leading to a large sample size) and because we expected to find a seroprevalence of that order of magnitude.24 This led to a target sample size of 800 HCW, that is, 16 clusters of 50 individuals, but in order to have an additional margin, we decided to include 17 clusters.

Statistical methods

We first described the prevalence and the incidence rate of positive ELISA results in the study population and inferred these findings to the target population of all HCW in Belgian hospitals. Because of the sampling design, not all HCW had the same probability of being selected. This was corrected at the level of the analysis by using weights consisting of a hospital and an individual HCW component. The computation of the 95% CIs also took account of the cluster design (detailed description in online supplemental file 1).

Second, we used a time-to-event analysis and a Kaplan-Meier curve to express the probability of becoming seropositive over time. This analysis only included the participants who were seronegative at baseline and captures the cumulative nature of the seroconversions. Time to event was calculated as the number of days between study inclusion and the collection of the serum sample that gave the first positive ELISA result. Participants who only had negative ELISA results were censored on the date of their last ELISA-negative sample. The time-to-event analysis was corrected for the two-stage cluster sampling design.

Third, we described COVID-19-compatible symptoms, RT-qPCR results and neutralisation results focusing on the study participants who became ELISA positive during the follow-up. We plotted these variables together on timelines to visualise the different patterns of results occurring in this study population.

All statistical analyses were done with R software (V.3.6.1). To deal with the two-stage sampling design, we used the survey package,25 which was developed to analyse data while using weights and accounting for clustering. This package supports the estimation of survival functions by means of a weighted Kaplan-Meier estimator.

RESULTS

General characteristics of hospitals and HCW

Out of the hospitals that were initially selected, six declined participation because of concurrent SARS-CoV-2-testing initiatives at hospital level and concerns about the study-related logistical burden. They were replaced by six other hospitals following the same random selection procedure. The final sample of 17 hospitals included 10 hospitals located in Flanders, five in Wallonia, and two in Brussels. The sample included three university hospitals, two general hospitals with similar characteristics to university hospitals, and 12 general hospitals without university characteristics. The study was initiated in 14 hospitals on May 10, in two hospitals on 10 May (second time point), and in one hospital on 25 May 2020 (third time point).

The total number of eligible HCW employed in the study hospitals was 24 019, and 850 of them were randomly selected and included in the study. An overview of the baseline characteristics of the study population is given in online supplemental file 2). In summary, their median age was 49 years (interquartile range (IQR) 32–49 years); 677 (80%) were women; 504 (60%) were nurses; 175 (21%) were physicians; and 166 (20%) had another profession. The study participants had been working for a median of 14 years (IQR 7–24 years). More than half of them (461/850; 61%) had a full-time job.
Until the end of September 2020, half of the participants (408, 48%) attended all scheduled follow-up visits and 673 HCW (79%) were present on the visit of 25 September. From that date onwards, follow-up continued in only 12 of the 17 study hospitals and participation rates decreased (online supplemental file 3): 308 participants (36%) were present on the visit of end December.

Prevalence and incidence rate of anti-SARS-CoV-2 antibodies

During the first 5 months of the study, the seroprevalence remained relatively stable. After adjustment for the sampling design, the estimated seroprevalence among HCW in Belgian hospitals was 7.7% (95% CI: 4.8% to 12.1%) in April 2020 and 8.2% (95% CI: 5.7% to 11.6%) in September 2020. From that point onwards, the seroprevalence increased and reached 19.7% (95% CI: 12.0% to 30.6%) by the end of December 2020 (figure 1).

To estimate the incidence of developing anti-SARS-CoV-2 antibodies (seroconversion), we focused on participants who were seronegative at baseline and analysed participant time instead of calendar time. 778 (91.5%) of the 850 participants were seronegative at baseline (which could be on 25 April, 10 May or 25 May 2020, depending on the hospital). The median duration of serological follow-up in this group was 153 days (IQR 123–244 days). 76 of the 778 initially seronegative participants seroconverted during a total follow-up duration of 371 person-years at risk. This corresponds to an incidence rate of 205 per 1000 person-years. The probability of becoming seropositive over time is shown in the Kaplan-Meier curve (figure 2). The median age of the seroconverters was 40 years (IQR 33–51); 59 were women; 15 were physicians; 43 were nurses and 17 had another profession (profession was missing for one participant).

COVID-19-compatible symptoms, RT-qPCR results and neutralisation test results

68% of the participants (574/849) reported to have had COVID-19-compatible symptoms: 20% (171/849) had these symptoms only in the period between the start of the pandemic in Belgium and the start of our study; for 27% (227/849), the symptoms started prior to the study.
and continued during the follow-up; and 21% (176/849) developed their first COVID-19-compatible symptoms during the follow-up.

24 HCW (3%) tested positive on an RT-qPCR test conducted as part of the study: eight in April, four in May, two in September, seven in October and three in November 2020. 13 out of these 24 HCW reported that they had tested RT-qPCR positive outside the study as well. Seventy-five additional HCW mentioned a positive RT-qPCR result elsewhere, but remained RT-qPCR negative in our study. 34 of these external molecular diagnoses occurred prior to the start of our study. One participant reported two positive RT-qPCR results outside the study, one in May and another in October 2020. Apart from this person, no other HCW experienced more than one documented episode of SARS-CoV-2 infection.

Table 1 gives an overview of the different test results among HCW with and without COVID-19-compatible symptoms. Overall, 150 HCW were diagnosed with active or past SARS-CoV-2 infection through our study: 126 had a positive ELISA only (of whom 61 reported a positive RT-qPCR elsewhere), 2 had a positive RT-qPCR only and 22 tested positive both on ELISA and RT-qPCR. Fourteen additional HCW reported a positive RT-qPCR elsewhere but did not have molecular or serological evidence of infection in our study.

Whole virus neutralisation test results were positive (at any point in time) in 63 out of the 72 HCW (88%) who were ELISA-seropositive at baseline and in 55 out of the 76 HCW (72%) who seroconverted during the study. Figure 3 visualises the presence of COVID-19-compatible symptoms and all available laboratory test results on a timeline for three participants who represent three types of result patterns. Participant A had a confirmed molecular diagnosis of COVID-19 and a clear and consistent serological response. Participant B had positive results on the ELISA and neutralisation tests but no molecular proof of SARS-CoV-2 infection during the study. Participant C had an unexpected pattern: the ELISA and neutralisation test results did not agree; the ELISA response disappeared after a few months; and the seroconversion occurred before the positive molecular test result.

Among the 76 participants who seroconverted, there were 44 individuals (58%) with consistent molecular and
serological proof of SARS-CoV-2 infection (pattern similar to that of participant A). Seventeen out of 76 (22%) had positive serological results only (similar to participant B). The remaining 15 participants (20%) had various unexpected patterns (similar to participant C), that is, discordant results, quickly waning antibody responses, and/or a puzzling order of events (detailed timelines in online supplemental file 4).

The relation between symptoms and seroconversion could be assessed in 73 participants who completed the questionnaire: 46 out of 73 HCW (63%) had COVID-19-compatible symptoms starting within 4 weeks before they seroconverted; 18 (25%) reported COVID-19-compatible symptoms but the timing did not coincide with the seroconversion (symptoms after or more than 4 weeks before seroconversion) and 9 (12%) did not report symptoms at all.

**DISCUSSION**

In this longitudinal study, we followed up SARS-CoV-2 IgG seroprevalence in a national representative cohort of 850 hospital HCW. Our findings indicate that this prevalence fluctuated around 8% between April and September 2020 and then increased up to 20% in December. Out of the 148 participants who showed evidence of a serological response, 56% were also positive by PCR (inside or outside the study), 72% had neutralising antibodies and 63% reported recent COVID-19-compatible symptoms.

The course of the COVID-19 outbreak in Belgium in 2020 was characterised by two clearly distinct waves, with peaks in April and October. Our study started just after the peak of the first wave and ended 2 months after the peak of the second wave: it captures periods of low (May to August) and high transmission (September to November). This is reflected in our data: the seroconversions we observed occurred essentially in the first and the last months of follow-up.

To our knowledge, this is the first study reporting on SARS-CoV-2 seroprevalence in a nationwide representative sample of hospital HCW, allowing us to infer our findings to this population. Until September 2020 (the part of the study before its extension), the number of participants lost to follow-up was low. Furthermore, the total duration of follow-up was long (until end December 2020), which provides a clear view of the serological status of HCW just before the start of the vaccination campaign (in January 2021).

Another strength of this study is the combination of multiple different assays repeated over time. The different patterns observed reveal a complexity that would have been missed when looking at one point in time or using a single test. This highlights the importance of interpreting test results cautiously, and needs to be taken into account in the development of individual and public health diagnostic and screening strategies. The incomplete overlap of results of the ELISA and neutralisation test could be explained by the fact that virus neutralisation testing measures a subfraction of anti-SARS-CoV-2 antibodies.

### Table 1 Overview of serological and molecular test results among healthcare workers with and without COVID-19-compatible symptoms

|                               | With COVID-19-compatible symptoms (n=574) | Without COVID-19-compatible symptoms (n=275) |
|-------------------------------|------------------------------------------|---------------------------------------------|
|                               | ELISA positive at baseline | Seroconversion during follow-up | ELISA negative at all time points | Total |
|                               | n (column %) | n (column %) | n (column %) | n (column %) |
| RT-qPCR positive in the study† | 5 (7)‡ | 16 (21)§ | 2 (0)¶ | 23 (3) |
| RT-qPCR positive elsewhere only* | 26 (36) | 32 (43) | 13 (2) | 71 (8) |
| RT-qPCR negative              | 33 (46) | 18 (24) | 429 (61) | 480 (57) |
| Total                         | 72 | 75 | 702 | 849** |

*At any point between the start of the pandemic and the last study visit as reported by the participants in the questionnaire.
†At any of the 10 scheduled study visits.
‡Three out of five HCW tested RT-qPCR positive both in the study and elsewhere.
§Nine out of 16 HCW tested RT-qPCR positive both in the study and elsewhere.
¶One out of two HCW tested RT-qPCR positive both in the study and elsewhere.
**One HCW with seroconversion during follow-up is missing because he/she did not fill out the questionnaire.
HCW, healthcare worker; RT-qPCR, real-time reverse transcription PCR.
with neutralising quality whereas ELISA measures both non-neutralising and neutralising antibodies. Another explanation could be that some individuals react false-positive in SARS-CoV-2 ELISA because of S1-specific cross-reactivity induced by antibodies against common cold coronaviruses.

Our study also has several limitations. Selection bias might have occurred at recruitment, as some hospitals and HCW refused to participate. We cannot exclude that some hospital or participant refusals might be due to a work overload because of a higher impact of the epidemic locally. Equally, some HCW might have been on sick leave during recruitment, possibly due to a COVID-19 infection, which could underestimate our results. Although the drop-out rate was low in the first months of follow-up, some participants missed a study visit during the summer holiday period. More importantly, when the study was extended beyond September 2020, five hospitals as well as 177 HCW from the 12 remaining hospitals discontinued their participation. We have no direct indications that the decision to participate in the extension was linked to COVID-19 risk. Nevertheless, we acknowledge that the reduction of the study population may have affected its representativeness. There are also limitations associated with survival analysis and interval censoring: the approximation of seroconversion dates can lead to an underestimation of the hazard of seroconversion.
Most seroprevalence studies among HCW conducted so far are prevalence or cross-sectional studies, as shown by two recent meta-analyses, which identified only two and three cohort studies out of respectively 28 and 49 studies reporting data on seroprevalence. Furthermore, these cohort studies presented data corresponding to a follow-up of 1 month or less. All of the included studies used a sampling strategy that did not allow national representativeness; they were mainly single centre studies using a convenience sample. Although findings of these two systematic reviews were highly heterogeneous across studies, countries or regions, our results up to September 2020 are consistent with the pooled seroprevalence found of 7% (95% CI: 7 to 15) and 8.7% (95% CI: 6.7% to 10.9%). However, we found a substantially higher seroprevalence in the last 3 months of the study (up to 20%). Other Belgian studies carried out among HCW in single hospitals in the period of April to June have observed seroprevalences of 6.4%, 12.0% and 14.6%, which are compatible with our results.

The evolution of the seroprevalence among the HCW in this study was very similar to that in the general Belgian population. Among blood donors and in residual blood samples (taken as a proxy for the general population), the seroprevalence was around 5% after the first wave (compared with 8% in our study), remained stable until September, and then increased up to 16% by the end of the second wave (compared with 20% in our study). A study of primary healthcare providers in the region of Flanders revealed the same trend: here, the seroprevalence remained stable between June and September (around 5%) and increased substantially thereafter (up to 13% in December). The consistently higher estimates in our study compared with the general population confirm the occupational risk for SARS-CoV-2 infection among HCW. Nevertheless, the difference between HCW and the general population (about 4%) was smaller than we had expected and did not increase over time, which suggests that HCW in Belgian hospitals managed to implement relatively adequate personal protection measures.

Seroprevalence studies are important in assessing the proportion of people affected by the pandemic, in the general population but also in highly exposed groups.

So far, and with a few exceptions (eg, high-risk contact), only symptomatic HCW can be tested by PCR in Belgium, while serological tests are restricted to high-risk personnel according to local risk management. However, we found that 37% of the participants who seroconverted during follow-up did not present recent symptoms (in the month prior to seroconversion) compatible with COVID-19, and were thus missed by the current testing strategy. In addition to the systematic use of IPC measures, early identification and isolation of infected individuals remains crucial to stop the pandemic, even though the role of asymptomatic transmission is still unclear.

Although the understanding of the immune responses to SARS-CoV-2 is growing rapidly, the actual correlate of protection has not been defined yet. More thorough investigation is therefore needed, especially in the light of vaccination. There is conflicting evidence about waning of antibodies, but our study points out that antibodies persist for at least 4 months in more than 90% of seropositive individuals. This is consistent with a recent estimation of the duration of Spike IgG antibodies, which seem to decline only modestly after 6–8 months. Additionally, evidence is lacking on the protective role of antibodies against reinfection, as well as the role of T-cell mediated immunity. Our study will be extended until April 2021, offering a unique opportunity to follow reinfections and the duration of immune responses after natural infection and vaccination.

In conclusion, the seroprevalence among hospital HCW was slightly higher than that of the general population in Belgium, but followed a similar evolution over time. This suggests that the IPC measures in the hospitals were relatively effective and should be strictly maintained. After two SARS-CoV-2 waves in Belgium, 80% of the hospital workers were still seronegative, justifying their prioritisation in the vaccination campaign which started in January 2021.

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Correction notice This article has been corrected since it was published. Affiliation for Kevin K Arien has been corrected.

Acknowledgements We thank all of the participating hospitals and their local coordination team for their logistical support: AZ Damiaan Ziekenhuis, Oostende-AZ Delta -AZ Jan Yperman Ziekenhuis: Arij Knoorsch, bioloog Stijn Jonckheere, study nurse Melissa Devos and hospital hygiene team led by Dirk Venentghem, AZ Sint-Lucas Brugge: Dr. Johan Robrecht, Head of Department of Clinical Biology; Lislot Mommerency, Coordinator of Clinical Studies; Larissa Staelens, Study Coordinator. AZ West: Leon Pollet, MD; Klaas Vandeyvere, MD; Lieve Debruyne, hospital hygiene nurse - CHIREC-hôpital de Waterloo-Braine-l’Allen-Hospitaels, Brussels: Olivier Lang (nurse), Matthieu Pierre Rutgers, MD-Grand Hôpital de Charleroi (GhIC), toutes équipes volontaires-Clinique Saint Pierre Ottignies: Dr Grégore Wieërs, Dr Valérie Selosse, Catherine Georges, Isabelle Vanbellinghen, Martine Gérard. Ghent University Hospital, Dept Internal Medicine: Steven Callens, MD/PhD ; Sophie Vanherweewege (study nurse). Jesse Ziekenhuis : Apr Biol Sara Vijgen and Dr Koen Magerman, in collaboration with MENSURA: Dr Nele Van Loon and Mathies Verbrugge. Mariaziekenhuis Noord-Limburg-Professor Dr Erika Vlieghe (Antwerp University Hospital / Institute of Tropical Medicine)-Dr Patrick, Sontjens (Institute of Tropical Medicine / Antwerp University Hospital). Vivalia-Centre Hospitalier de l’Ardenne: Gerald Malempre ; Sylvie Thomas ; Marie André, MD; Pierre Yves Machurot, MD. ZNA Middelheim-Dr Benjamin Scott and study team-CHR Verviers East Belgium. For her help in providing results feedback to participants, we also thank Veerle Boonen, MS, Department of Epidemiology and Public Health, Sciensano, Brussels, Belgium. For their technical assistance: Department of Infectious diseases in humans, Sciensano, Brussels, Belgium: Caroline Rodeghiero, Fabienne Jurion, Assia Hamouda, Ilham Dallal, Reinout Van Eeckelen, Mona Abady, Aurélie Francart, Jeanne Weyckmans, Marjorie Jacques, Vera Verbrugh, Sophie Lamoral, Biomedical dept. ITM: Anne Hauner, Betty Willumsen, Sandra Coppens, Johan Michiels, Koen Bartholomeeusen, Ann Ceulemans. Central lab for Clinical biology: Isabelle Micalessi, Kadrie Ramadan, Lara Balcaen, Karin Van Looveren, Jolien Baeyens, Vicky Cuylaerts, Hilde Smet, Said Abdellatif, Amina Taibi.
For their logistical assistance in preparing the kits; Kim Borighem, Vincent Marteau, Pascale Elsocht; and in sample management: Bart De Logi, Nathalie Stassen. Thanks to Sosoria Balasegaram, MD; for her support, review and input at all stages of the study. Our gratitude finally goes to all the study participants.

Contributors
LM and ED are guarantors of the study. They had full access to the data, accept responsibility for the conduct of the study, and decision to submit for publication. Concept, design, protocol writing: LM, ED, KKA and ID. Logistical coordination: ED, LM, VH, IK and LH. Administrative, technical or material support: all authors. Biological samples collection, transport and analysis: KKA, CB, ID, NF, LH, VH and IT. Epidemiological data collection, cleaning and analysis: LM, KV and ED. Drafting of manuscript: LM and KV. Manuscript revision: all authors. Funding: ED and ID. Statistical analysis: KV. Supervision: ED, KKA, ID and ED: shared last authorship.

Funding
This study was funded by Sciensano, the Belgian institute of public health, Brussels, Belgium. Scienano was involved in all stages of the study, from conception and implementation to analysis and reporting. Researchers were independent from funders. All authors had full access to the data in the study and can take responsibility for the integrity of the data and the accuracy of the data analysis.

Competing interests
None declared.

Patient consent for publication
Not required.

Ethics approval
The study was approved by the Medical Ethics Committee of the University Hospital Ghent (reference: B6702020000036). Written informed consent was obtained from all HCW before enrolment in the study. To guarantee confidentiality, study laboratory results and questionnaires were pseudonymised using unique study codes.

Provenance and peer review
Not commissioned; externally peer reviewed.

Data availability statement
Data are available on reasonable request. The relevant anonymised patient level data as well as statistical code that support the findings of this study are available from the corresponding author on reasonable request.

Supplemental material
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Supplementary file 1: STROBE checklist

Checklist of items that should be included in reports of cohort studies

The text in italic font is copied from the STROBE recommendations (Strengthening the Reporting of Observational Studies in Epidemiology) [1]. Using regular font, we explain how we addressed each STROBE item in our study: the parts in black are mainly copied from the manuscript; the parts in blue are written out in the present supplement but not in the main manuscript.

1. **Title and abstract.** (a) Indicate the study’s design with a commonly used term in the title or the abstract. (b) Provide in the abstract an informative and balanced summary of what was done and what was found.

The title is “Prevalence and incidence of anti-SARS-CoV-2 antibodies among healthcare workers in Belgian hospitals before vaccination: a prospective cohort study”. The manuscript contains a structured abstract.

2. **Background/rationale.** Explain the scientific background and rationale for the investigation being reported.

This information is given in the introduction section.

3. **Objectives.** State specific objectives, including any prespecified hypotheses.

The objectives were formulated as follows: “We thus started a prospective cohort study end of April 2020, aiming to follow the prevalence and incidence of anti-SARS-CoV-2 antibodies among Belgian hospital healthcare workers throughout the epidemic, in order to guide infection prevention and control measures in hospitals and support planning of healthcare resources. In addition, we sought to investigate the presence of symptoms, positive PCR results and neutralising antibodies in seropositive participants, and to describe these variables over time in seroconverters. In this paper we present the findings up to the end of December 2020, before the start of the vaccination of healthcare workers in Belgium mid-January 2021.”

4. **Study design.** Present key elements of study design early in the paper.

Key elements of study design are given in title, abstract, the last paragraph of the introduction and the first paragraph of the methods.

5. **Setting.** Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection.

These elements are given in the subsection about the study population (methods).

6. **Participants.** (a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up. (b) For matched studies, give matching criteria and number of exposed and unexposed.

These elements are given in the subsection about the study population (methods). The study does not involve matching.

7. **Variables.** Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable.
The main outcome variable was the presence or absence of anti-SARS-CoV-2 antibodies, the assessment of which is described in the methods (under the subtitle: “Assessment of anti-SARS-CoV-2 antibodies”). Other variables of interest were the presence of symptoms compatible with COVID-19, SARS-CoV-2 molecular test results, and virus neutralisation test results. These variables were not considered to represent exposures, predictors, confounders, or effect modifiers because the study did not explore causal relations.

8. Data sources/measurement. For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group.

For each variable of interest, the assessment is described in a separate paragraph (under the subtitle “assessment of covariates”).

9. Bias. Describe any efforts to address potential sources of bias.

To reduce the risk of observer bias, the people in charge of the laboratory tests did not have access to information about the participants or other test results at that time point. The only exception was the neutralisation test, which was only done when the ELISA result was positive. Furthermore, the participants filled out the online questionnaire before they knew their RT-qPCR and ELISA results at that time point, although they may have known results of tests that were done outside this study. Another potential source of bias is the lack of accuracy of the ELISA used to measure the main outcome. To address this, we present the findings over time (repeated measures) and we report symptoms, RT-qPCR, and neutralisation test results in addition to the ELISA. Finally, although this study was designed to include a representative sample of healthcare workers in Belgian hospitals, missing values may still have introduced bias.

10. Study size. Explain how the study size was arrived at.

The prevalence of anti-SARS-CoV-2 antibodies among HCW was unknown at the time this study was designed. The sample size calculation was based on an estimated seroprevalence of 50%, a desired absolute precision of 5%, and a design effect of 2. We set the estimated seroprevalence to 50% because that is a conservative approach (leading to a large sample size) and because we expected to find a seroprevalence of that order of magnitude. This led to a target sample size of 800 HCW, i.e. 16 clusters of 50 individuals, but in order to have an additional margin, we decided to include 17 clusters.

11. Quantitative variables. Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why.

The quantitative variables in this study are the participants’ age, follow-up time, number of staff per hospital, ELISA results and neutralisation test results.

- Age, follow-up time, and number of staff were analysed and summarised as quantitative variables, using medians (interquartile range) and totals.
- ELISA results were dichotomised (positive or negative) as indicated by the manufacturer: if the ratio of the serum sample optical density/negative control optical density was >1.1, the corresponding serum sample was defined as ELISA positive. Otherwise, it was negative.
- Neutralisation test results were dichotomised as follows: if the serum titre of antibodies needed to neutralise 50% of the SARS-CoV-2 virus was 1:50 or higher, the corresponding serum sample was defined as positive on the neutralisation test. Otherwise, it was negative.
12. **Statistical methods.** (a) **Describe all statistical methods, including those used to control for confounding.** (b) **Describe any methods used to examine subgroups and interactions.** (c) **Explain how missing data were addressed.** (d) **If applicable, explain how loss to follow-up was addressed.** (e) **Describe any sensitivity analyses.**

The methods include a subsection summarising the statistical methods. Because this study did not explore associations between variables, we did not control for confounding. There were no specific examinations of subgroups. A detailed report of the missing data (and monotone/non-monotone missingness patterns) is given in a supplemental file. No sensitivity analyses were done.

We first described the prevalence and the incidence rate of positive ELISA results in the study population and inferred these findings to the target population of all healthcare workers in Belgian hospitals. Because of the sampling design, not all healthcare workers had the same probability of being selected. This was corrected at the level of the analysis by using weights consisting of a hospital and an individual component. The computation of the 95% confidence intervals also took account of the cluster design.

When we selected the hospitals for this study, the number of employees was not available for all the general hospitals in Belgium (n=103) so we used the number of beds as a proxy. For the selected hospitals (n=17), we did use the number of employees in the calculation of the weights. Hence, the weighting takes account of both the number of beds (correction for first sampling stage) and the number of staff (correction for second sampling stage) in the selected hospitals. The weights were calculated as follows:

\[ w_i = \frac{52651 \times \text{number of staff in hospital}_i}{\text{number of beds in hospital}_i \times 17 \times 50} \]

where \( w_i \) is the weight for a healthcare worker from hospital \( i \); 52651 is the total number of beds in all eligible hospitals; 17 is the number of sampled hospitals; and 50 is the number of healthcare workers selected in each hospital.

13. **Participants.** (a) **Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed.** (b) **Give reasons for non-participation at each stage.** (c) **Consider use of a flow diagram.**

This information is given in the first paragraph of the results (under the subtitle “General characteristics of hospitals and healthcare workers”) and in a supplemental file describing missingness patterns.

14. **Descriptive data.** (a) **Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders.** (b) **Indicate number of participants with missing data for each variable of interest.** (c) **Summarise follow-up time (eg, average and total amount).**

The characteristics of the study participants are described in supplemental table 1. Information about follow-up is summarised in the third paragraph of the results and in the supplemental file with missingness patterns.

15. **Outcome data.** **Report numbers of outcome events or summary measures over time.**
This is addressed under the subtitle “Prevalence and incidence rate of anti-SARS-CoV-2 antibodies”.

16. Main results. (a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included. (b) Report category boundaries when continuous variables were categorized. (c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period.

This is addressed under the subtitle “Prevalence and incidence rate of anti-SARS-CoV-2 antibodies”.

17. Other analyses. Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses.

Not applicable.

18. Key results. Summarise key results with reference to study objectives.

This first and the last paragraph of the discussion summarise the key results.

19. Limitations. Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias.

The discussion contains a specific paragraph where the limitations are listed and the implications discussed.

20. Interpretation. Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence.

This is addressed in several paragraphs of the discussion.

21. Generalisability. Discuss the generalisability (external validity) of the study results.

To our knowledge, this is the first study reporting on SARS-CoV-2 seroprevalence in a nationwide representative sample of hospital healthcare workers, allowing us to infer our findings to this population. Until September 2020 (the part of the study before its extension), the number of participants lost to follow up was low. Furthermore, the total duration of follow-up was long (until end December 2020), which provides a clear view of the serological status of HCW just before the start of the vaccination campaign (in January 2021).

22. Funding. Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based.

This study was funded by Sciensano, the Belgian institute of public health, Brussels, Belgium. Sciensano was involved in all stages of the study, from conception and implementation to analysis and reporting. Researchers were independent from funders. All authors had full access the data in the study and can take responsibility for the integrity of the data and the accuracy of the data analysis.

References

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### Supplementary file 2: Baseline characteristics of study participants (n=850)

| Characteristic                          | Sample statistic          | Population estimate [95% CI] |
|-----------------------------------------|---------------------------|------------------------------|
| **Personal characteristics**            |                           |                              |
| Women                                   | 677 (80%)                 | 80% [77 – 83]                |
| Age                                     | Median 40 years IQR 32 – 49 years Range 20 – 67 years | Median 40 [38 – 41] years IQR 31 [30 – 33] – 49 [46 – 51] |
| Current smoker                          | 64 (8%)                   | 8% [6 – 11]                  |
| History cardiovascular disease          | 13 (2%)                   | 2% [1 – 3]                   |
| Diabetes                                | 13 (2%)                   | 2% [1 – 3]                   |
| At least one comorbidity b              | 232 (30%)                 | 31% [27 – 34]                |
| **Professional characteristics**        |                           |                              |
| Job                                     |                           |                              |
| Medical doctors                         | 175 (21%)                 | 21% [18 – 25]                |
| Nurses                                  | 504 (60%)                 | 59% [55 – 63]                |
| Other                                   | 166 (20%)                 | 20% [16 – 24]                |
| Years of experience                     | Median 14 years IQR 7 – 24 years | Median 14 years [13 – 16] IQR 7 [5 – 7] – 24 [22 – 27] |
| Full-time job                           | 461 (61%)                 | 62% [56 – 68]                |
| Worked in COVID-19 ward c               | 415 (50%)                 | 49% [44 – 55]                |
| Had protected COVID-19 contact c        | 553 (72%)                 | 71% [65 – 77]                |
| Had unprotected COVID-19 contact c      | 237 (34%)                 | 33% [27 – 40]                |
| **COVID-19-related characteristics**   |                           |                              |
| COVID-19-compatible symptoms c, d       | 398 (47%)                 | 47% [43 – 51]                |
| Positive SARS-CoV-2 molecular test c, e | 34 (4%)                   | 4% [2 – 7]                   |

CI: confidence interval; COVID-19: coronavirus disease of 2019; IQR: interquartile range

a Estimates for the total population of healthcare workers in all Belgian hospitals taking the sampling design (weighting and clustering) into account.

b Comorbidities included the following: pregnancy, cardio-vascular disease, hypertension, diabetes, chronic renal disease, chronic liver disease, chronic pulmonary disease, chronic neurological disease, immunosuppression (due to medication or disease), history of cancer, current smoking, other comorbidity. This information was missing for 86 out of 850 participants.

c In the period between the start of the pandemic in Belgium and enrolment in the study.

d At least one of the following symptoms: cough, shortness of breath, chest pain, loss of smell or taste; or at least two of the following symptoms: fever, muscle pain, fatigue, running nose, sore throat, headache, acute mental confusion, or diarrhoea.

e As reported by the participant in the baseline questionnaire. Results of study-related molecular testing are reported in the main article.
Supplementary file 3: Visual representation of non-monotone and monotone (dropout) missingness patterns (n=850)

Figure legend:
- Blue: serology result is available
- Grey: serology result is not expected (hospital joined the study later)
- Yellow: serology result is missing

| Visit 1 | Visit 2 | Visit 3 | Visit 4 | Visit 5 | Visit 6 | Visit 7 | Visit 8 | Visit 9 | Visit 10 | Count participants |
|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|-------------------|
|         |         |         |         |         |         |         |         |         |         | 128               |
|         |         |         |         |         |         |         |         |         |         | 14                |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 7                 |
|         |         |         |         |         |         |         |         |         |         | 2                 |
|         |         |         |         |         |         |         |         |         |         | 23                |
|         |         |         |         |         |         |         |         |         |         | 3                 |
|         |         |         |         |         |         |         |         |         |         | 2                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 23                |
|         |         |         |         |         |         |         |         |         |         | 7                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 5                 |
|         |         |         |         |         |         |         |         |         |         | 8                 |
|         |         |         |         |         |         |         |         |         |         | 6                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 4                 |
|         |         |         |         |         |         |         |         |         |         | 2                 |
|         |         |         |         |         |         |         |         |         |         | 24                |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 5                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 8                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 2                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 2                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 3                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 3                 |
|         |         |         |         |         |         |         |         |         |         | 2                 |
|         |         |         |         |         |         |         |         |         |         | 7                 |
|         |         |         |         |         |         |         |         |         |         | 6                 |
|          | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
|----------|---|---|---|---|---|---|---|---|---|-----|
| 1        | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 117 |
| 2        | 25| 25| 25| 25| 25| 25| 25| 25| 25| 25   |
| 3        | 32| 32| 32| 32| 32| 32| 32| 32| 32| 32   |
| 4        | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1    |
| 5        | 7 | 7 | 7 | 7 | 7 | 7 | 7 | 7 | 7 | 7    |
| 6        | 47| 47| 47| 47| 47| 47| 47| 47| 47| 47   |
| 7        | 10| 10| 10| 10| 10| 10| 10| 10| 10| 10   |
| 8        | 6 | 6 | 6 | 6 | 6 | 6 | 6 | 6 | 6 | 6    |
| 9        | 3 | 3 | 3 | 3 | 3 | 3 | 3 | 3 | 3 | 3    |
| 10       | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1    |
| 11       | 6 | 6 | 6 | 6 | 6 | 6 | 6 | 6 | 6 | 6    |
| 12       | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2    |
| 13       | 26| 26| 26| 26| 26| 26| 26| 26| 26| 26   |
| 14       | 3 | 3 | 3 | 3 | 3 | 3 | 3 | 3 | 3 | 3    |
| 15       | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1    |
| 16       | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1    |
| 17       | 15| 15| 15| 15| 15| 15| 15| 15| 15| 15   |
| 18       | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1    |
| 19       | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2    |
| 20       | 7 | 7 | 7 | 7 | 7 | 7 | 7 | 7 | 7 | 7    |
| 21       | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1    |
| 22       | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1    |
| 23       | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2    |
| 24       | 7 | 7 | 7 | 7 | 7 | 7 | 7 | 7 | 7 | 7    |
| 25       | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1    |
| Total    | 850|

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Supplementary file 4: timelines of 15 participants with unexpected SARS-CoV-2 test results

The timelines below visualise laboratory test results and self-reported COVID-19 symptoms of 15 study participants with unexpected result patterns. We have interpreted these patterns as follows: discordant ELISA and neutralisation test results (n= 4), puzzling timing of events (n=1), possibly two SARS-CoV-2 episodes (n=1), possibly no SARS-CoV-2 episode at all (n=5), or a combination of some of these phenomena (n=4). These timelines illustrate that the use of multiple assays repeated over time reveals complexities that would have been missed when looking at one point in time, or using a single test.

Figure legend: grey colour indicates absence of symptoms or negative test results. Blue colour indicates presence of symptoms (prior to a study visit) and positive test results (on the day of a study visit). ELISA: enzyme-linked immunosorbent assay; RT-qPCR: real-time reverse transcription polymerase chain reaction; NTAb: virus neutralisation test.
Participant U: possibly no SARS-CoV-2 episode

Participant T: possibly no SARS-CoV-2 episode
Participant C: waning antibody response / discordant ELISA and NTAb test results / puzzling timing

Participant F: waning antibody response / discordant ELISA and NTAb results
Supplementary file 1: STROBE checklist

Checklist of items that should be included in reports of cohort studies

The text in italic font is copied from the STROBE recommendations (Strengthening the Reporting of Observational Studies in Epidemiology) [1]. Using regular font, we explain how we addressed each STROBE item in our study: the parts in black are mainly copied from the manuscript; the parts in blue are written out in the present supplement but not in the main manuscript.

1. **Title and abstract. (a) Indicate the study’s design with a commonly used term in the title or the abstract. (b) Provide in the abstract an informative and balanced summary of what was done and what was found.**

The title is “Prevalence and incidence of anti-SARS-CoV-2 antibodies among healthcare workers in Belgian hospitals before vaccination: a prospective cohort study”. The manuscript contains a structured abstract.

2. **Background/rationale. Explain the scientific background and rationale for the investigation being reported.**

This information is given in the introduction section.

3. **Objectives. State specific objectives, including any prespecified hypotheses.**

The objectives were formulated as follows: “We thus started a prospective cohort study end of April 2020, aiming to follow the prevalence and incidence of anti-SARS-CoV-2 antibodies among Belgian hospital healthcare workers throughout the epidemic, in order to guide infection prevention and control measures in hospitals and support planning of healthcare resources. In addition, we sought to investigate the presence of symptoms, positive PCR results and neutralising antibodies in seropositive participants, and to describe these variables over time in seroconverters. In this paper we present the findings up to the end of December 2020, before the start of the vaccination of healthcare workers in Belgium mid-January 2021.”

4. **Study design. Present key elements of study design early in the paper.**

Key elements of study design are given in title, abstract, the last paragraph of the introduction and the first paragraph of the methods.

5. **Setting. Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection.**

These elements are given in the subsection about the study population (methods).

6. **Participants. (a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up. (b) For matched studies, give matching criteria and number of exposed and unexposed.**

These elements are given in the subsection about the study population (methods). The study does not involve matching.

7. **Variables. Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable.**
The main outcome variable was the presence or absence of anti-SARS-CoV-2 antibodies, the assessment of which is described in the methods (under the subtitle: “Assessment of anti-SARS-CoV-2 antibodies”). Other variables of interest were the presence of symptoms compatible with COVID-19, SARS-CoV-2 molecular test results, and virus neutralisation test results. These variables were not considered to represent exposures, predictors, confounders, or effect modifiers because the study did not explore causal relations.

8. Data sources/measurement. For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group.

For each variable of interest, the assessment is described in a separate paragraph (under the subtitle “assessment of covariates”).

9. Bias. Describe any efforts to address potential sources of bias.

To reduce the risk of observer bias, the people in charge of the laboratory tests did not have access to information about the participants or other test results at that time point. The only exception was the neutralisation test, which was only done when the ELISA result was positive. Furthermore, the participants filled out the online questionnaire before they knew their RT-qPCR and ELISA results at that time point, although they may have known results of tests that were done outside this study. Another potential source of bias is the lack of accuracy of the ELISA used to measure the main outcome. To address this, we present the findings over time (repeated measures) and we report symptoms, RT-qPCR, and neutralisation test results in addition to the ELISA. Finally, although this study was designed to include a representative sample of healthcare workers in Belgian hospitals, missing values may still have introduced bias.

10. Study size. Explain how the study size was arrived at.

The prevalence of anti-SARS-CoV-2 antibodies among HCW was unknown at the time this study was designed. The sample size calculation was based on an estimated seroprevalence of 50%, a desired absolute precision of 5%, and a design effect of 2. We set the estimated seroprevalence to 50% because that is a conservative approach (leading to a large sample size) and because we expected to find a seroprevalence of that order of magnitude. This led to a target sample size of 800 HCW, i.e. 16 clusters of 50 individuals, but in order to have an additional margin, we decided to include 17 clusters.

11. Quantitative variables. Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why.

The quantitative variables in this study are the participants’ age, follow-up time, number of staff per hospital, ELISA results and neutralisation test results.

- Age, follow-up time, and number of staff were analysed and summarised as quantitative variables, using medians (interquartile range) and totals.
- ELISA results were dichotomised (positive or negative) as indicated by the manufacturer: if the ratio of the serum sample optical density/negative control optical density was >1.1, the corresponding serum sample was defined as ELISA positive. Otherwise, it was negative.
- Neutralisation test results were dichotomised as follows: if the serum titre of antibodies needed to neutralise 50% of the SARS-CoV-2 virus was 1:50 or higher, the corresponding serum sample was defined as positive on the neutralisation test. Otherwise, it was negative.
12. Statistical methods. (a) Describe all statistical methods, including those used to control for confounding. (b) Describe any methods used to examine subgroups and interactions. (c) Explain how missing data were addressed. (d) If applicable, explain how loss to follow-up was addressed. (e) Describe any sensitivity analyses.

The methods include a subsection summarising the statistical methods. Because this study did not explore associations between variables, we did not control for confounding. There were no specific examinations of subgroups. A detailed report of the missing data (and monotone/non-monotone missingness patterns) is given in a supplemental file. No sensitivity analyses were done.

We first described the prevalence and the incidence rate of positive ELISA results in the study population and inferred these findings to the target population of all healthcare workers in Belgian hospitals. Because of the sampling design, not all healthcare workers had the same probability of being selected. This was corrected at the level of the analysis by using weights consisting of a hospital and an individual component. The computation of the 95% confidence intervals also took account of the cluster design.

When we selected the hospitals for this study, the number of employees was not available for all the general hospitals in Belgium (n=103) so we used the number of beds as a proxy. For the selected hospitals (n=17), we did use the number of employees in the calculation of the weights. Hence, the weighting takes account of both the number of beds (correction for first sampling stage) and the number of staff (correction for second sampling stage) in the selected hospitals. The weights were calculated as follows:

\[
 w_i = \frac{52651 \times \text{number of staff in hospital}_i}{\text{number of beds in hospital}_i \times 17 \times 50}
\]

where \( w_i \) is the weight for a healthcare worker from hospital \( i \); 52651 is the total number of beds in all eligible hospitals; 17 is the number of sampled hospitals; and 50 is the number of healthcare workers selected in each hospital.

13. Participants. (a) Report numbers of individuals at each stage of study—e.g. numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed. (b) Give reasons for non-participation at each stage. (c) Consider use of a flow diagram.

This information is given in the first paragraph of the results (under the subtitle “General characteristics of hospitals and healthcare workers”) and in a supplemental file describing missingness patterns.

14. Descriptive data. (a) Give characteristics of study participants (e.g. demographic, clinical, social) and information on exposures and potential confounders. (b) Indicate number of participants with missing data for each variable of interest. (c) Summarise follow-up time (e.g. average and total amount).

The characteristics of the study participants are described in supplemental table 1. Information about follow-up is summarised in the third paragraph of the results and in the supplemental file with missingness patterns.

15. Outcome data. Report numbers of outcome events or summary measures over time.
This is addressed under the subtitle “Prevalence and incidence rate of anti-SARS-CoV-2 antibodies”.

16. **Main results.** (a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (e.g., 95% confidence interval). Make clear which confounders were adjusted for and why they were included. (b) Report category boundaries when continuous variables were categorized. (c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period.

This is addressed under the subtitle “Prevalence and incidence rate of anti-SARS-CoV-2 antibodies”.

17. **Other analyses.** Report other analyses done—e.g., analyses of subgroups and interactions, and sensitivity analyses.

Not applicable.

18. **Key results.** Summarise key results with reference to study objectives.

This first and the last paragraph of the discussion summarise the key results.

19. **Limitations.** Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias.

The discussion contains a specific paragraph where the limitations are listed and the implications discussed.

20. **Interpretation.** Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence.

This is addressed in several paragraphs of the discussion.

21. **Generalisability.** Discuss the generalisability (external validity) of the study results.

To our knowledge, this is the first study reporting on SARS-CoV-2 seroprevalence in a nationwide representative sample of hospital healthcare workers, allowing us to infer our findings to this population. Until September 2020 (the part of the study before its extension), the number of participants lost to follow up was low. Furthermore, the total duration of follow-up was long (until end December 2020), which provides a clear view of the serological status of HCW just before the start of the vaccination campaign (in January 2021).

22. **Funding.** Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based.

This study was funded by Sciensano, the Belgian institute of public health, Brussels, Belgium. Sciensano was involved in all stages of the study, from conception and implementation to analysis and reporting. Researchers were independent from funders. All authors had full access the data in the study and can take responsibility for the integrity of the data and the accuracy of the data analysis.

References

1. von Elm E, Altman DG, Egger M, Pocock SJ, Gøtzsche PC, Vandenbroucke JP; STROBE Initiative. The Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) statement: guidelines for reporting observational studies. Ann Intern Med 2007; 147(8): 573-577.
### Supplementary file 2: Baseline characteristics of study participants (n=850)

| Characteristic                          | Sample statistic | Population estimate [95% CI] |
|-----------------------------------------|------------------|-----------------------------|
| **Personal characteristics**            |                  |                             |
| Women                                   | 677 (80%)        | 80% [77 – 83]               |
| Age                                     | Median 40 years  | Median 40 [38 – 41] years  |
|                                         | IQR 32 – 49 years| IQR 31 [30 – 33] – 49 [46 – 51] |
|                                         | Range 20 – 67 years|                             |
| Current smoker                          | 64 (8%)          | 8% [6 – 11]                 |
| History cardiovascular disease          | 13 (2%)          | 2% [1 – 3]                  |
| Diabetes                                | 13 (2%)          | 2% [1 – 3]                  |
| At least one comorbidity b              | 232 (30%)        | 31% [27 – 34]               |
| **Professional characteristics**        |                  |                             |
| Job                                     |                  |                             |
| Medical doctors                         | 175 (21%)        | 21% [18 – 25]               |
| Nurses                                  | 504 (60%)        | 59% [55 – 63]               |
| Other                                   | 166 (20%)        | 20% [16 – 24]               |
| Years of experience                     | Median 14 years  | Median 14 years [13 – 16]   |
|                                         | IQR 7 -24 years  | IQR 7 [5 – 7] – 24 [22 – 27]|
| Full-time job                           | 461 (61%)        | 62% [56 – 68]               |
| Worked in COVID-19 ward c               | 415 (50%)        | 49% [44 – 55]               |
| Had protected COVID-19 contact c        | 553 (72%)        | 71% [65 – 77]               |
| Had unprotected COVID-19 contact c      | 237 (34%)        | 33% [27 – 40]               |
| **COVID-19-related characteristics**    |                  |                             |
| COVID-19-compatible symptoms c, d       | 398 (47%)        | 47% [43 - 51]               |
| Positive SARS-CoV-2 molecular test c, e | 34 (4%)          | 4% [2 – 7]                  |

CI: confidence interval; COVID-19: coronavirus disease of 2019; IQR: interquartile range

a Estimates for the total population of healthcare workers in all Belgian hospitals taking the sampling design (weighting and clustering) into account.

b Comorbidities included the following: pregnancy, cardio-vascular disease, hypertension, diabetes, chronic renal disease, chronic liver disease, chronic pulmonary disease, chronic neurological disease, immunosuppression (due to medication or disease), history of cancer, current smoking, other comorbidity. This information was missing for 86 out of 850 participants.

c In the period between the start of the pandemic in Belgium and enrolment in the study.

d At least one of the following symptoms: cough, shortness of breath, chest pain, loss of smell or taste; or at least two of the following symptoms: fever, muscle pain, fatigue, running nose, sore throat, headache, acute mental confusion, or diarrhoea.

e As reported by the participant in the baseline questionnaire. Results of study-related molecular testing are reported in the main article.
Supplementary file 3: Visual representation of non-monotone and monotone (drop-out) missingness patterns (n=850)

Figure legend:
- Blue: serology result is available
- Grey: serology result is not expected (hospital joined the study later)
- Yellow: serology result is missing

| Visit 1 | Visit 2 | Visit 3 | Visit 4 | Visit 5 | Visit 6 | Visit 7 | Visit 8 | Visit 9 | Visit 10 | Count participants |
|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|-------------------|
|         |         |         |         |         |         |         |         |         |         | 128               |
|         |         |         |         |         |         |         |         |         |         | 14                |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 7                 |
|         |         |         |         |         |         |         |         |         |         | 2                 |
|         |         |         |         |         |         |         |         |         |         | 23                |
|         |         |         |         |         |         |         |         |         |         | 3                 |
|         |         |         |         |         |         |         |         |         |         | 2                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 23                |
|         |         |         |         |         |         |         |         |         |         | 7                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 5                 |
|         |         |         |         |         |         |         |         |         |         | 8                 |
|         |         |         |         |         |         |         |         |         |         | 6                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 4                 |
|         |         |         |         |         |         |         |         |         |         | 2                 |
|         |         |         |         |         |         |         |         |         |         | 24                |
|         |         |         |         |         |         |         |         |         |         | 1                 |
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|         |         |         |         |         |         |         |         |         |         | 8                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 5                 |
|         |         |         |         |         |         |         |         |         |         | 1                 |
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|         |         |         |         |         |         |         |         |         |         | 1                 |
|         |         |         |         |         |         |         |         |         |         | 3                 |
|         |         |         |         |         |         |         |         |         |         | 2                 |
|         |         |         |         |         |         |         |         |         |         | 7                 |
|         |         |         |         |         |         |         |         |         |         | 6                 |
Supplementary file 4: timelines of 15 participants with unexpected SARS-CoV-2 test results

The timelines below visualise laboratory test results and self-reported COVID-19 symptoms of 15 study participants with unexpected result patterns. We have interpreted these patterns as follows: discordant ELISA and neutralisation test results (n=4), puzzling timing of events (n=1), possibly two SARS-CoV-2 episodes (n=1), possibly no SARS-CoV-2 episode at all (n=5), or a combination of some of these phenomena (n=4). These timelines illustrate that the use of multiple assays repeated over time reveals complexities that would have been missed when looking at one point in time, or using a single test.

Figure legend: grey colour indicates absence of symptoms or negative test results. Blue colour indicates presence of symptoms (prior to a study visit) and positive test results (on the day of a study visit). ELISA: enzyme-linked immunosorbent assay; RT-qPCR: real-time reverse transcription polymerase chain reaction; NTAb: virus neutralisation test.
Supplemental material

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doi: 10.1136/bmjopen-2021-050824

Mortgat L, et al. BMJ Open 2021; 11:e050824.

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**Participant I: discordant ELISA and NTAb results**

- Symptoms
- Study RT-qPCR
- RT-qPCR elsewhere
- ELISA
- NTAb

Days since baseline

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**Participant Q: discordant ELISA and NTAb results**

- Symptoms
- Study RT-qPCR
- RT-qPCR elsewhere
- ELISA
- NTAb

Days since baseline
Participant AC: possibly two SARS-CoV-2 episodes

Participant J: possibly no SARS-CoV-2 episode
Supplemental material

BMJ Open

Participant C: waning antibody response / discordant ELISA and NTAb test results / puzzling timing

Participant F: waning antibody response / discordant ELISA and NTAb results
