Square wave voltammetric investigations on 2,2-Dimethyl-1,3-dioxan-5-phenylazo-4,6-dione

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ABSTRACT
The mostly advanced polarographic mode of measurement, square wave (SW), was applied for studying reduction of for 2,2-Dimethyl-1,3-dioxan-4,6-dione (Meldrum acid) and its 5-phenyl-azo substituent in 40% v/v ethanolic universal buffer (pH ~ 2–12). At more negative potentials, a 2e pH-dependent wave (dE/EdpH = 31 mv) was assigned to one carbonyl group, while at more positive potentials a 4e pH-dependent wave (dE/E dpH = 71 mv) was assigned to the azomethine group. Based on the polarographic data and acid-base pKa values were determined spectrophotometrically. The reduction mechanism pathway was suggested. The azomethine group proved to be in the azo form since the nitro group of the p-NO2 derivative is reduced at a more negative potential than the azomethine linkage. Fair E-pσ correlation was obtained with positive p values (~0.2–0.3), indicating that the substituents facilitate the reduction process at the dropping electrode.

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1. Introduction
The growing interest on the chemistry of β-diketones was early compiled in a book dealing with different chemistry aspects of this class of compounds [1]. The considerable attention for these compounds lies, in view of its wide technical applications, particularly biochemical treatment of thrombosis [2], as anti-inflammatory agents [3] and miticides [4]. Certain 1,3-indandione derivatives have been used as effective rodenticides [5]. In addition, these compounds are characterized by high conflicting tautomerizing structure. Early in 1908, Meldrum [6] reported that the condensation of malonic acid with acetone anhydride yielded a crystalline while solid which assigned the structure of a mono-basic acid, β-dimethyl-β-propiolactone-α-carboxylic acid. This structure proved to be quite wrong, although forty years had elapsed before it was deduced that the condensation must involve only the carbonyl groups of the malonic acid. On this basis Davidson and Bernhard [7] correctly assigned the structure of Meldrum acid as 2,2-Dimethyl-1,3-dioxan-4,6-dione (I) whose properties therefore relate to those of other cyclic 1,3-diones such as dimedone barbituric acid, 1,3-indandione, etc. Although various organic synthesis routes were followed to prove the correct structure of Meldrum acid, physical investigations could emphasize further this view [8,9]. The present work was therefore undertaken with the following objectives:
Fig. 1 – Structure of compounds I and II.

2. Experimental

2.1. Materials, reagents, solvents

The materials used in this investigation were either Analar grade chemicals (AR) used as supplied, whereas the chemically pure quality reagents were used after proper purification.

2.2. Organic syntheses

2,2-Dimethyl-1,3-dioxan-5-phenylazo-4,6-dione (Meldrum acid I) (Fig. 1) was prepared following the “modified Meldrum method” reported by Davidson and Bernhard [7]. To a suspension of 52 g (0.5 mole) of powder Malonic acid in 60 ml (0.6 mole) of acetic acid, anhydride was added, while stirring, 1.5 ml of conc. Sulfuric acid. Largest of the malonic acid dissolved with spontaneous cool. To the resulting solution, 40 ml (0.55 mole) of acetic acid, anhydride was added, while stirring, 1.5 ml of conc. Sulfuric acid. The reaction mixture was allowed to stay overnight in the refrigerator and the resulting crystals filtered by suction and washed three times with sufficient ice water to cover the cake; yield of air-dried product: 35 g (49%). Recrystallization is conveniently effected without heating.

Compounds IIa–f (Fig. 1) were prepared by conventional coupling diazotized aniline or the corresponding aniline derivatives with Meldrum acid (I). Thus, aniline or the corresponding aromatic amine (20 mmol) was dissolved in 1 ml concentrated HCl and 5 ml of water cooled to 0 °C and then treated with a cold solution of 1.38 g NaNO2 in 5 ml water. The diazotized amine was then added step by step to an ice cold solution of 20 mmole of Meldrum acid (I) in alcohol, containing sodium acetate (pH 7–9 after coupling), where the corresponding coupling products separates. The reaction mixture was left overnight in a refrigerator, filtered and recrystallized from ethanol. Purity credit was checked by TLC and m.p. and was found concordant with those reported.

2.3. Polarographic square wave (SW) and cyclic voltammetric investigations

2.3.1. Apparatus

Square wave measurements were recorded with 693 VA processor and 694 VA stand with multimode electrode (MME) (METROHM-SWITZERLAND) product. This relatively up-to-date assembly allows:

i. Three types of mercury electrodes combined in a single unit: HMDE, DME and SMDE.
ii. Program-controlled, automatic switching and mixing of these three electrode configurations during a single analysis via a software commands.
iii. The complete electrode is pneumatically controlled.

2.4. Solution

Stock solution 10^−3 M of the compound to be investigated was freshly prepared by dissolving an accurately weighed amount of material in the appropriate volume of pure ethanol. From this solution the required concentration was prepared by appropriate dilution. For the relatively less soluble compounds (IIe,f; p- & m-NO2), the least amount of DMF was used. The later does not exceed 0.4% (v/v) of the final solution. Britton-Robinson modified universal buffers [8] (prepared from Analar grade products) were used as supporting electrolyte. The pH value of each buffer was measured using digital pH meter (Hanna, Italy, ± 0.01 pH unit).

2.5. Measurements

Ethanol and the appropriate buffer solution were introduced into the polarographic cell. The solution was deoxygenated by bubbling purified nitrogen gas at the rate of 2–3 bubbles for 10 minutes. The calculated volume of depolarizer was then introduced into the cell. Purified nitrogen gas was further passed for 2 minutes.

Peak Potential (E_p) and peak current (i_p): These were read directly on the screen of the processor with ultimate accuracy. All values of E_p are expressed vs. Ag/AgCl electrode.

2.6. Spectrophotometric determination of the apparent dissociation constant

Spectrophotometric Measurement in the visible and UV ranges were carried out using processor NUICO 1200–UV/V. Spectrometer UV2.

For the determination of the acid dissociation constant of compound (I), (IIa–f), a fresh solution of the organic reagent...
5 × 10⁻³M, in a 10 ml measuring flask with buffer solution (40% v/v ethanol), was prepared. The pH of the solution was determined and the spectrophotometric run was recorded at such pH within few minutes after measuring the pH. The procedure was carried out with buffer solution covering the pH = 2–12. The pKₐ was then determined from the absorbance measured in different buffer solutions (at roughly increasing 0.5 pH unit) in equation 1 [9]:

\[ \text{pH} = \text{pK}_a - \log\left(\frac{A_{\text{max}} - A}{A - A_{\text{min}}}\right) \]  

(1)

Where \( A_{\text{max}} \) is the absorbance of undissociated acid, \( A \) is the absorbance at different pH values and \( A_{\text{min}} \) is the absorbance of the completely dissociated acid, all being derived from the absorbance-pH relations. The intercept of pH vs. log \( \left(\frac{A_{\text{max}} - A}{A - A_{\text{min}}}\right) \) gives the pKₐ.

### 3. Results and discussion

#### 3.1. Polarographic SQ reduction of (I) and (IIa–f)

Well known documented polarographic behavior of \( \beta \)-dicarbonyl compounds reported no reduction waves for the carbonyl groups [10]. This was renationalized since the negative charge of the anion spread over the carbonyl groups rendering it inactive as shown in Scheme 1.

With Meldrum acid (I) which, nevertheless, a member of this class of compounds (\( \beta \)-dicarbonyl group), the results was in contrast to that previously stated. SW runs carried for 10⁻⁷M Meldrum acid (I) in 40% ethanolic buffer media in the whole pH range reflects well-defined 2e reduction wave. In contrast with DC measurements, peak potential showed pH dependence (\( \Delta E_p/\Delta pH \approx 30\text{mv} \)). Peak potential as function of pH is described by equation 2:

\[ E_p = -0.810 - 0.038 \text{ pH} \]  

(2)

Such behavior is a mark difference which reflects the high precision of SW measurements over the DC polarography. Obviously the reduction sequence is (H⁺, e, H⁺, e) and not that (e, H⁺, e, H⁺) reported with DC mode of measurements.

The reduction pathway followed the pattern (Scheme 2).

SW polarographic runs for 5 × 10⁻⁵M of compounds IIa–f were carried in 40% v/v ethanolic Britton-Robinson buffers covering roughly the pH range 2–12 is schematically illustrated in Fig. 2. Results obtained with the parent compound IIa illustrating effect of pH on peak potential (\( E_p \)) and peak current (\( i_p \)) are graphically shown in Fig. 3. Careful inspections of the polarograms reveal that this compound is reduced in the accessible pH range by two waves: the more positive wave (a) with practically twice peak current (\( i_p \)) as that of the more negative wave (b). The more positive wave (a) was assigned to the azo group while the more negative wave (b) was assigned to the carbonyl group. This assignment is strongly sustained by the following evidences:

i. It is well known from literature [11] that reduction of azo or hydrazone group occurs at much more positive potentials than the carbonyl group.

ii. Reduction of carbonyl group is less pH dependent and at higher pHs may be completely independent.

iii. Mostly reduction of azo linkage proceeds in 4e wave while that of the carbonyl is a 2e wave [12].

As disclosed from the plots, wave (a) remains a well-defined pH-dependent wave with approximately constant peak current (\( i_p \)) in the whole pH range of study. Since \( E_p \)-pH is a straight line from the lowest to the highest pH, a fact which suggests that the reducible center described by this wave remains structurally the same all over the pH range of study. The shift in \( E_p \) with pH is described by equation 3:

\[ E_p^* = -0.041 - 0.071 \text{ pH} \]  

(3)

For wave (b), assigned to the carbonyl group \( E_p \)-pH showed clear dependence of peak potential on pH, although weak (\( \Delta E_p/\Delta pH = 30\text{mv/pH} \)). The correlation is a well manifested straight line described by equation 4:

\[ E_p^b = -0.810 - 0.030 \text{ pH} \]  

(4)

This dependence strongly emphasizes the superiority of the high precision of SW measurements previously stating no dependence of \( E_p \)-pH relation [13].

Peak currents of both waves (a) and (b) were practically pH independent in the studied pH range as manifested graphically in Fig. 3b.

The diffusion nature of both waves (a) and (b) was tested from experiments carried for the effect of time (t) and concentration (C) on peak current (\( i_p \)-t and \( i_p \)-C dependence) for
Fig. 2 – (a) Schematic representation of the polarograms $5 \times 10^{-5} \text{ M}$ of IIa in 40 vol. % ethanolic Britton Robinson buffer (pH 3.33). (b) Schematic representation of the polarograms $5 \times 10^{-5} \text{ M}$ of IIa in 40 vol. % ethanolic Britton Robinson buffer (pH 5.74). (c) Schematic representation of the polarograms $5 \times 10^{-5} \text{ M}$ of IIa in 40 vol. % ethanolic Britton Robinson buffer (pH 7.98).
waves (a) and (b). The results showed that the peak current for both waves (a) and (b) remains practically constant even after as long as 90 min interval stay.

The \( i_p \) vs. \( C \) relation for wave (b), well-defined straight line, yet shifted from the point of origin indicating, presumably some catalytic order.

### 3.2. Reversibility of the waves

Although most polarographic waves associated with organic molecules are irreversible, ascertaining this point is of prime importance, particularly its need in discussing the mechanism of electrochemical reduction. The mostly reliable cyclic voltammetric technique was adopted in this study. The irreversible nature was proven from cyclic voltammetric measurements at hanging mercury drop electrode (HMDE) at pH (pH = 5.3) and different scan rates ranging from 20 \( \text{mv/s} \) to 200 \( \text{mv/s} \). The results are graphically shown in Fig. 4. As disclosed from the graphs in the three pH solutions only reduction wave in the forward direction is observed, while the reverse scan (oxidation) does not exhibit any sign of reactivity even at the lowest scan rate. Evidently, this is a firm proof for the irreversible nature of the electrochemical reduction; even the electrochemical mechanism (EC) could not be assumed.

### 3.3. Determination of the number of electrons of the polarographic wave

Methods reported for determination of the number of electrons of a polarographic wave includes controlled potential electrolysis (cpe), controlled potential coulometry (cpc) and comparison of wave height or peak current with previously reported compounds with known determined number of electrons. The last method is followed in the present study. Two compounds (III, IV) (Fig. 5) were selected: 2-phenylazo-indandione (III) [12] and 5-phenylazo-1,3-dimethyl barbituric acid (IV) [13].

SW wave measurements were carried for both compounds and the parent compound IIa under the same experimental conditions (depolarizer concentration, analyte composition and other measuring variables) at pH 3.07 and pH 6.42. In both cases registered peak potential was fairly concordant with that of wave a for the parent compound IIa. Consequently, wave (a) assigned for the azo group in IIa is reduced at the mercury electrode by 4e process; also wave (b) assigned for the carbonyl group is reduced by 2e, since its \( i_p \) is half that of wave (a).

### 3.4. Effect of substituent on the reduction wave

The effect of substituent at the phenyl ring attached to the azo linkage was polarographically investigated. This was intended
to demonstrate the effect of electron donating group (p-CH\textsubscript{3}, m-OCH\textsubscript{3}) and electron withdrawing group (m-NO\textsubscript{2}, p-NO\textsubscript{2}) on:

i. The ease of the electrodic process.

ii. The acid-base equilibrium.

iii. The actual tautomeric form of the azomethine linkage whether in the azo form CH–N\textsubscript{=}=N–Ar or the hydrazone form C\textsubscript{=}N–NH–Ar.

Generally, the SW wave polarographic runs of compounds IIb–d (p-CH\textsubscript{3}, m-OCH\textsubscript{3} and p-Cl) were identical in shape with that of the parent compound IIa.

Equations describing \( E_p \)-pH relation for all compounds were calculated from the respective \( E_p \)-pH plots for waves (a) and (b) are summarized in Table 1. The very small differences in \( i_p \) values of the substituent as compared to those of the parent are most probably due to differences in the molecular dimension, diffusion coefficients and orientation of these molecules at the surface of the electrode [14].

In addition to the polarographic reduction waves of IIa the m-NO\textsubscript{2} (Ile) and p-NO\textsubscript{2} (Ilf) displayed additional wave (wave c) corresponding to reduction of their nitro group. Plots of \( E_p \) vs. pH for waves (a), (b) and (c) of the p-NO\textsubscript{2} derivative is given in Fig. 6. Careful inspection of the results show that the more negative wave (c) for the p-NO\textsubscript{2} substituent corresponding to 6 e, as the wave height ratio of wave (c) to that of (a) is 3:2, since the NO\textsubscript{2} group is known to be reduced along 6 e, through dehydration of hydroxyl amine to the corresponding quinonoid structure [15,16]. Thus it is fair to assign the more negative 6 e wave (c) to reduction of the p-NO\textsubscript{2} group and the more positive one (a) to the azomethine linkage.

3.5. Spectrophotometric acid dissociation constant \( pK_a \)

The spectrophotometric runs of \( 5 \times 10^{-5} \) (IIa) in 20% ethanolic Britton Robinson buffers at roughly increasing 0.5 pH unit are

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**Table 1 – Equations of peak potentials of pH for compounds I, IIa–f.**

| Compounds | \( E_p \) vs. pH |
|-----------|-----------------|
| I         | \( E_{bp} = -0.810 - 0.038 \) pH |
| IIa       | \( E_{bp} = -0.041 - 0.071 \) pH |
| IIb       | \( E_{bp} = -0.066 - 0.072 \) pH |
| IIc       | \( E_{bp} = -0.105 - 0.070 \) pH |
| IIe       | \( E_{bp} = -0.250 - 0.065 \) pH |
| IIf       | \( E_{bp} = -0.825 - 0.035 \) pH |

\( E_{bp} \): peak potential of wave b.
\( E_{ap} \): peak potential of wave a.
\( E_{cp} \): peak potential of wave c.
illustrated in Fig. 7. The spectra are characterized by well-defined maxima at $\lambda_{225}$ nm and two ill-defined maxima at $\lambda_{360}$ nm and two isoelectric points at 250 nm and 350 nm. These points cover all the pH range indicating the presence of equilibrium between the acid form and its conjugate base as the only equilibrium existing in such solutions. At each maximum ($A_{\text{max}}$), the absorption remains constant with pH increase up to a definite pH solution where it starts to decrease significantly to a minimum ($A_{\text{min}}$) at which the absorbance retains its constancy with solution pH increase. This functioning of absorbance-solution pH allows the determination of the acid-base equilibrium ($K_a$ hence $pK_a$); the method used for this calculation depends on the use of equation 1 [9]. Plot of absorbance vs. pH is given in Fig. 8a and the respective log $((A_{\text{max}} - A) / (A - A_{\text{min}}))$ vs. pH in Fig. 8b.

Obviously, the compound displayed two acid dissociation constant ($pK_{a1}$ -3, $pK_{a2}$ -8). Spectrophotometric runs were carried for the rest series (compounds IIb–f). The obtained $pK_{a1}$ and $pK_{a2}$ values were not significantly different than the parent compound IIa.

3.6 Acid-base equilibrium and mechanism of electroreduction for compounds IIa–f

The acid-base equilibrium of Meldrum acid revealed a relatively high pKa value ($pK_a = 2.93$). Contrary to the well-known fact for the polarographic in activity of $\beta$-diketones, Meldrum acid (a member of this class of compounds) showed well-defined 2 electron pH-dependent wave (d$E_p$/dpH = 0.038 v/pH) c.f. scheme 2. This dependence for the wave on pH registered by the present experimental measurements using SW mode is in contrast previous results with DC measurements where no dependence of $E_{1/2}$ on pH.

The spectrophotometric acid base equilibrium for compounds IIa–f revealed two acid dissociation constants: $pK_{a1}$~3and $pK_{a2}$~8. The irreversible diffusion controlled nature of the polarographic reduction waves was ascertained from results obtained from cyclic voltammetry, ip-c and i-t experiments. The azomethine linkage of these compounds is in the azo–form (CH–N=N) and not the tautomeric hydrazone form (C=N–NH). This statement is evidenced from the results obtained with the p-NO2 substituent (IIf) where reduction of the nitro group along with wave (wave c) is at more negative potential than that of the 4e reduction wave of the azomethine linkage (c.f. results). The importance of the nitro group for discrimination between an azo or hydrazone tautomeric structure of the azomethine linkage lies in the fact that literature survey of azo compounds containing a nitro substituent at any location of the molecule revealed that an azo (CH–N=N) linkage is always reduced before the nitro group [17,18], while if the linkage has a hydrazone form (C=N–NH), the opposite behavior occurs [19,20]. Taking in consideration the above
results and discussions, the following Scheme 3 and 4 are thought to illustrate the most possible acid-base equilibrium and the electroreduction mechanistic pathway for compounds IIa–f. 

The assumption that a negatively charged ion is the diffusing reducible species at the cathode, although theoretically possible, is the least likely. In order to rationalize the high diffusion rate of IIa which enable it to compete effectively with charged species at pH > pKₐ, it seemed to us most likely that these compound are best represented as the zwitter ion III, similar to those previously reported to for 4-phenyl azo-1-naphtal [21] and α-arylhydrazononitrile [19] accordingly, scheme 3 is proposed to illustrate the reduction sequence in such pH media. 

The acid-base equilibrium and reduction mechanistic pathway for the coupling azomethine compound IIa is demonstrated in scheme 4. According to this proposed scheme, the azomethine linkage reduction sequence is cleavage followed by saturation. Earlier reports with DC measurements do not discriminate whether reduction-cleavage is followed by
saturation or saturation of the azomethine linkage is followed by cleavage. Obviously, the present results refer that the first view (reduction followed by saturation) is that followed in the mechanistic pathway and not the second (saturation of the azomethine linkage followed by cleavage). Since if the second view is the case a separate wave for the saturated intermediate hydrazo compound (CH–NH–NH) which is not the case. This is because the high sensitivity and accuracy of SW mode of measurement can detect efficiently subsequent waves separating by less than 20 mv.

3.7. Structure-energy relationship for compounds IIa–f

Further insight into the mechanism was tried through Hammett’s $\sigma$ values and $pK_a$ and peak potential $E_p$ at different pH values. Plots of $E_p$-$\sigma$ are illustrated in Fig. 9 which reflects reasonable linearity with $+p$ values 0.26, 0.30, 0.31. Statistical treatment of the data was carried out; the $r$ and $s$ values are fairly correlated with $\sigma$ constants which is an indication that the substituents can affect the reaction center by their inductive effects.

For $pK_a$-$\sigma$ correlation, since values of $pK_{a1}$ and $pK_{a2}$ are not significantly different, such correlation couldn’t be expected and thus omitted.

4. Conclusion

The high accuracy of SW mode of measurement compared to classical DC polarography. The azomethine linkage of compounds IIa–f is in the azo form and not the tautomeric hydrazone form as evidenced from the result obtained with the p-NO$_2$ substituent (II). LFER has been realized for $E_p$-$\sigma$ correlation and failed with $pK_a$-$\sigma$. 

![Fig. 9 – $E_p$-$\sigma$ relation for 2-phenyl-azo Meldrum acid at different pH values: pH 4 ■; pH 6 ○; pH 8 ▲.](image)
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