LONG-TERM ANALYSES OF AEROSOL OPTICAL THICKNESS USING CALIOP
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ABSTRACT
We developed an algorithm to derive extinction coefficients for four aerosol components (water-soluble, dust, sea salt, black carbon) from Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) data. The algorithm was applied to the nine-year data for 2007–2015 and the results were compared to CALIOP standard product (CALIOP-ST) and MODerate resolution Imaging Spectroradiometer (MODIS) standard product (MODIS-ST). Comparisons of the total aerosol optical thickness (AOT) showed that MODIS-ST was the largest, followed by CALIOP-ST (Ver.4), and our product. CALIOP-ST (Ver.3) showed a similar magnitude to ours.

1. INTRODUCTION
Uncertainties of a climatic impact of aerosols are large. It is therefore highly demanded to have reliable observing systems and analyses of the global spatiotemporal distribution of optical and microphysical properties of aerosols. The observation by the two-wavelength polarization Mie scattering lidar CALIOP onboard Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO) satellite has exceeded 12 years and it provides long-term fluctuation of the global three-dimensional distribution of the aerosols.

The optical and microphysical properties of aerosols differ greatly depending on their chemical composition. Thus, identification of aerosol components has to be conducted to assess the climate effects of aerosols. A method to determine major aerosol species in the atmosphere using two-wavelength polarized Mie scattering lidar data has been developed using data from JAMSTEC research vessel MIRAI and ground-based lidar network AD-Net [1, 2, 3]. The algorithm was further extended to be applied to CALIOP data [4]. This method identifies four aerosol components, water-soluble particles (WS), mineral dust (DS), sea salt particles (SS), and internally mixed black carbon particles (LAC) and estimates vertical profiles of extinction coefficients for four aerosol components.

2. Method
We rely on the results from Aerosol detection and cloud screening in NASA-CALIOP ST products. However, our retrieval algorithm for aerosol microphysics is different from the NASA CALIOP standard algorithm. Our method uses all the measurement channels of the CALIOP: total attenuated backscattering coefficient (β) at 532 and 1064nm and the depolarization ratio (δ) at 532 nm (CALIOP Level 1B Version 3 product). Note that the NASA standard algorithm uses β and δ at 532nm. Maximum a posteriori (MAP) method is used to reduce estimation errors due to signal noise and to suppress the generation of meaningless solutions [5]. Following assumptions and modeling have been made for their shapes, size distributions, and refractive indexes to estimate extinction coefficients of four aerosol components from three-measurement channels. The shape of DS is assumed to be a spheroid; and the others are spherical. The particle size distribution is expressed as a log-normal distribution; the mode radii and variances are prescribed according to studies in [6] and [7]. As for LAC, Core-Gray-Shell (CGS) model [8] is applied where black carbon is assigned as the core and...
WS as the shell. The dry mode radius of LAC is the same as WS. The mode radius of SS is estimated from the wind speed at sea level [9]. The complex refractive indices of WS and SS are adopted from the values of a database [6], and those of DS are taken from the values of Asian dust observation [10]. In addition, optical and microphysical properties of WS, SS, and LAC are modeled depending on humidity [6].

This algorithm is applied to the CALIOP data after removing the data contaminated by cloud using Cloud and aerosol masks (CALIOP Level 2 VFM Version 3 product).

3. Results

We applied the algorithm to the CALIOP data and evaluated annual mean global distributions of the clear-sky aerosol optical thickness (AOT) at 532 nm of four aerosol components (WS, SS, DS, and LAC) and total AOT (Fig.1). The total AOT (Fig.1e) is larger (around 0.3–0.5) in low to mid-latitudes, such as in China, India, the Middle East, Africa, and South America. It is found that the contributions of WS (Fig.1a) and DS (Fig.1c) are large.

![Figure 1. The annual mean clear-sky aerosol optical thickness (AOT) in 2010 during day-time from this study where the resolution of latitude 2° - longitude 5°. (a) Water Soluble, (b)LAC (Black Carbon), (c)Dust, (d)Sea Salt, (e)Total.](image)

We compared these results with the other satellite products. Figure 2 shows total AOTs of CALIOP NASA standard products (CALIOP-ST) Version 3 (Ver.3) and Version 4 (Ver.4) and MODIS standard product (MODIS-ST). The geographical pattern of total AOT is similar to our product, CALIPSO-ST, and MODIS-ST. Total AOT of our product is comparable to those of CALIPSO-ST Ver.4 and MODIS-ST and larger than CALIPSO ST Ver.3 over the ocean. In Sáhara and Arabian deserts, total AOT is smaller than 0.5 in our product, CALIPSO-ST Ver.3, and MODIS-ST, while that in CALIPSO-ST Ver.4 is larger than 0.5.

Finally, 9 years of analyses of total AOT are conducted from 2007 to 2015 (Fig.3). CALIPSO
AOT for our product is smaller than MODIS AOT throughout the whole period. MODIS AOT tends to be overestimated by about 10 to 20%, because of cloud contamination of thin cirrus [11]. CALIOP AOT is smaller than MODIS AOT by 20–40% even after accounting for the cloud contamination effect of the passive sensor. In addition, it is found that the global mean AOTs of MODIS-ST and CALIOP-ST are relatively constant. Contrary to these two products, our CALIOP Ver.3 product shows large fluctuations from 2012 to 2013. When we use Ver.4 product as input, such fluctuation is found in the product. The possible reason for the time variation of our retrieved AOT is the minor update of the CALIOP Level 1B product from Version 3.02 to 3.30.

Figure 2. Same as Fig.1e but (a) CALIOP NASA standard product Version 3 (CALIOP-ST Ver.3), (b) CALIOP NASA standard product Version 4 (CALIOP-ST Ver.4), and (c) MODIS standard (MODIS-ST) product (Level2, MAC04S0.002, "AOD_550_Dark_Target_Deep_Blue_Combined").

Figure 3. Changes in the annual global AOT average value of each product for 9 years (2007 – 2015, only day-time). Error bars represent standard error.
4. SUMMARY
The algorithm is developed to (1) distinguish four components of the aerosol by using CALIOP data (2 wavelengths, 1 polarization) and (2) retrieve their extinction coefficient. These results show that WS and DS account a large fraction of total AOT. Comparisons of our products with other products are conducted for 9 years. The geographical pattern of total AOT is similar between our results, CALIPSO-ST, and MODIS-ST. The global mean values of our results are smaller than CALIPSO-ST(Ver.4) and MODIS-ST, while the interannual variation of AOT is less than 10% from 2007 to 2011 for all four products. We plan to perform the comparison of total AOT between our results and the AERONET ground-based measurement to understand the reason for the underestimation of total AOT in our results.

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