High scale impact in alignment and decoupling in two-Higgs doublet models

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Abstract

The two-Higgs doublet model (2HDM) provides an excellent benchmark to study physics beyond the Standard Model (SM). In this work we discuss how the behaviour of the model at high energy scales causes it to have a scalar with properties very similar to those of the SM – which means the 2HDM can be seen to naturally favor a decoupling or alignment limit. For a type II 2HDM, we show that requiring the model to be theoretically valid up to a scale of 1 TeV, by studying the renormalization group equations (RGE) of the parameters of the model, causes a significant reduction in the allowed magnitude of the quartic couplings. This, combined with \( B \)-physics bounds, forces the model to be naturally decoupled. As a consequence, any non-decoupling limits in type II, like the wrong-sign scenario, are excluded. On the contrary, even with the very constraining limits for the Higgs couplings from the LHC, the type I model can deviate substantially from alignment. An RGE analysis similar to that made for type II shows, however, that requiring a single scalar to be heavier than about 500 GeV would be sufficient for the model to be decoupled. Finally, we show that not only a 2HDM where the lightest of the CP-even scalars is the 125 GeV one does not require new physics to be stable up to the Planck scale but this is also true when the heavy CP-even Higgs is the 125 GeV and the theory has no decoupling limit for the type I model.

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1 Introduction

The discovery of the Higgs boson at the Large Hadron Collider (LHC) by the ATLAS [1] and CMS [2] collaborations has immediately triggered the discussion about which extensions of the Standard Model (SM) could accommodate all data and still predict new physics, observable during the Run 2 operation. One of the models that has been used as benchmark for the searches for new physics by both ATLAS and CMS is the two-Higgs doublet model (2HDM) in its CP-conserving, softly broken $Z_2$ symmetric version. First proposed by T.D. Lee [3], 2HDMs have been used as benchmark models not only for the LHC searches but also theoretically. The different versions of the model allow for instance the introduction of CP violation in the scalar sector, controlled flavour changing neutral currents or dark matter candidates. The models also have a very different vacuum structure than the SM one, because both charge and CP can be broken spontaneously. Furthermore, it is the simplest extension where simultaneous minima of the same nature can occur.

With the mass of the Higgs boson determined with a good precision, the discussion about the stability of the SM Higgs potential has restarted. This involves studying the evolution of the SM quartic coupling $\lambda$ with the renormalization group equations (RGE). Two effects are here in play: on the one hand, the quartic coupling itself has a positive contribution to its own RGE, and therefore tends to increase its value as one goes to higher energy scales; on the other hand, the top quark Yukawa coupling has a negative contribution to the RGE of $\lambda$, and tends to reduce its value as one goes up in energy scale. As a result of these two effects, if the value of the quartic coupling at the weak scale is too small, the RGE evolution will cause $\lambda$ to turn negative at some point, and therefore the potential becomes unstable. If, however, the starting value of the quartic coupling is too large, its RGE evolution will drive it to ever-higher values so that the theory ceases eventually to be perturbative and $\lambda$ develops a Landau pole. Prior to the Higgs boson discovery, these arguments were used to constrain its mass [4–13]. Now that we know its mass, we can verify whether the potential remains stable, and the theory perturbative, all the way up to the Planck scale. If that were not the case, that would most likely be a sign of the existence of new physics, hitherto undiscovered, which would stabilize the RGE evolution of the couplings. It has been shown, in fact, that the SM vacuum is metastable if the theory is to be valid up to the Planck scale [14–16]. The only way to have a stable electroweak vacuum, according to these results, would therefore be for new physics to exist at a scale well below the Planck scale. The stability of the electroweak vacuum can be cured with the addition of extra scalar degrees of freedom. With all the parameters of the SM determined, the addition of a scalar singlet is enough to cure the problem [17–20]. As shown in [20], the addition of a complex singlet not only provides a vacuum stable up to the Planck scale but in the broken phase of the model one of the new scalars can have a mass below 125 GeV. For this particular model only one scalar with a mass above 125 GeV is needed to stabilize the vacuum. It has been shown, however, in the context of the SM, that the presence of new physics very close to the Planck scale can alter considerably such conditions of stability of the potential [21–23], and likewise eventual gravity contributions near the Planck scale can have a sizeable impact [24].

The 2HDM belongs to the simplest extensions of the SM. An extra scalar doublet enlarges the SM scalar model, but the remaining fields (gauge and fermion) remain the same, as do the gauge symmetries of the model. A larger scalar sector implies a more complex scalar potential – indeed the version of the 2HDM potential in this work has 5 quartic couplings. And as in the SM, one can ask whether the potential remains stable and perturbative, as one considers progressively larger energy scales. As such, the RGE evolutions of the quartic couplings of
the 2HDM were studied by several authors [25–28] to ascertain the validity of the model up to higher energy scales and, prior to the Higgs discovery, to attempt to impose constraints on the unknown scalar masses of the model. After the Higgs boson was discovered the stability of the several versions of the 2HDM was revisited in a number of papers [29–37]. In all these works, the lightest CP-even scalar is considered to be the discovered Higgs boson, and there is a common conclusion that, with all relevant theoretical and experimental constraints taken into account, there always exists a region of the parameter space where the 2HDM is valid up to the Planck scale. Notice, however, that these studies assume a softly broken $Z_2$ symmetry, the most popular version of the 2HDM, and the region of parameter space found always included $m^2_{12} \neq 0$. On the other hand, in reference [29] a type II version with an exact $Z_2$ symmetric model was analysed, concluding that the potential with $m^2_{12} = 0$ cannot be valid beyond 10 TeV without the intervention of new physics, a conclusion that was then confirmed in later works. However, this conclusion is heavily dependent on the value of the charged Higgs mass, $m_{H^\pm}$.

We will show that the type II model with an exact symmetry is, when taking into account the most recent bounds on $m_{H^\pm}$, in fact valid only up to a few hundreds of GeV.

All studies quoted above agree on the fact that the quartic parameters of the potential are increasingly small if the theory is to be valid up to higher and higher scales. The issue of metastability in the 2HDM at high scales was discussed in [34] while in reference [36] it was shown that the heavy states of a 2HDM valid up to Planck scale can be probed with a significance of at least 3$\sigma$ in the LHC high-luminosity run. In this investigation we will not work in the exact alignment limit nor in the decoupling limit as was done in previous works. Our main goal will in effect be to show how 2HDM alignment may emerge “naturally” from requiring stability and perturbativity of the potential up to high energy scales. We will furthermore scan over the entire parameter space allowed by the most relevant up-to-date experimental constraints. Moreover, we will take into account the combination of all theoretical constraints, including the discriminant that forces the minimum to be global\(^1\), at various scales. An interesting conclusion we will reach is that it is enough to have only one heavy scalar boson to have decoupling (and therefore alignment) at a scale as low as 1 TeV. We will argue that decoupling can be defined for masses as low as 500 GeV – in other words, if even only one of the extra scalar masses is required to be above 500 GeV, the 2HDM with good high-energy scale behaviour up to scales of the TeV order automatically has a scalar state with SM-like properties.

We will analyse for the first time the stability of a softly broken $Z_2$ symmetric 2HDM in the case where the heaviest CP-even scalar is the 125 GeV Higgs boson – the so-called heavy Higgs scenario. Quite surprisingly, we will demonstrate that for this scenario there are regions of the parameter space for a type I model for which the theory is well behaved all the way up to the Planck scale. This is only possible if all the remaining scalar bosons have a mass below 200 GeV. Obviously, due to the bound of 580 GeV on the charged Higgs mass for a type II model, the heavy Higgs scenario in type II ceases to be valid already below an energy scale of about 1 TeV.

The paper is organized as follows. In section 2 we describe the version of the 2HDM used in this work and in section 3 we present the theoretical constraints that we will impose at the various scales. In section 4 we discuss the parameter space of the model in view of the most relevant theoretical and up to date experimental constraints. In sections 5 to 7 we present our results. Our conclusions are given in Section 8. In appendix A we have collected the relevant RGEs for this study.

\(^1\)One-loop studies of the vacuum of some versions of the 2HDM were performed in [38, 39].
2 The two-Higgs doublet model

The 2HDM is an extension of the SM in which the scalar potential is built with two hypercharge 1 complex \(SU(2)\) doublets \(\Phi_1\) and \(\Phi_2\). When all the fields transform just as in the SM and no extra symmetries are imposed on the Lagrangian, the most general Yukawa Lagrangian gives rise to tree-level flavour-changing neutral currents (FCNC) which are known to be severely constrained by experimental data. Imposing a discrete symmetry on the scalar fields, \(\Phi_1 \rightarrow \Phi_1\), \(\Phi_2 \rightarrow -\Phi_2\), and forcing the potential to be invariant under this \(Z_2\) symmetry, except for a dimension two soft breaking term, the potential can be written as

\[
V(\Phi_1, \Phi_2) = m_{11}^2 \Phi_1 \phi_1^\dagger \Phi_1 + m_{12}^2 \Phi_1 \phi_2^\dagger \Phi_2 + \text{h.c.} + \frac{1}{2} \lambda_1 (\Phi_1 \phi_1)^2 + \frac{1}{2} \lambda_2 (\Phi_2 \phi_2)^2 + \lambda_3 (\Phi_1 \phi_2)(\Phi_2 \phi_1) + \frac{1}{2} \lambda_5 [(\Phi_1 \phi_2)^2 + \text{h.c.}] .
\]

(2.1)

We will work with a CP-conserving potential by considering all parameters of the potential, together with the vacuum expectation values, to be real. Also the parameter space we will consider is such that no spontaneous CP breaking occurs. This is in fact assured by simply requiring that a CP-preserving minimum exists [40]. When the symmetry is extended to the fermions in such a way that a fermion of a given charge couples only to one doublet [41,42] the Higgs interaction terms become proportional to the quark mass terms and therefore Higgs FCNC are absent at tree level. There are four independent choices for the Yukawa Lagrangian [43]. We will call type I the model where only \(\Phi_2\) couples to all fermions, type II the model where \(\Phi_2\) couples to up-type quarks and \(\Phi_1\) couples to down-type quarks and leptons, Flipped (F) the model where \(\Phi_2\) couples to up-type quarks and to leptons and \(\Phi_1\) couples to down-type quarks and finally Lepton Specific (LS) the model where \(\Phi_2\) couples to all quarks and \(\Phi_1\) couples to leptons.

The two complex doublet fields \(\Phi_1\) and \(\Phi_2\) are expressed in terms of charged complex fields \(\phi_i^\dagger\) and real and imaginary components of the neutral components of the doublets, \(\rho_i\) and \(\eta_i\) \((i = 1, 2)\), respectively. After electroweak symmetry breaking (EWSB) we can expand the two doublets about their vacuum expectation values (VEVs) \(v_1\) and \(v_2\) yielding

\[
\Phi_1 = \begin{pmatrix} \phi_1^\dagger & \rho_1 + i \eta_1 \end{pmatrix} \sqrt{2} \quad \text{and} \quad \Phi_2 = \begin{pmatrix} \phi_2^\dagger & \rho_2 + i \eta_2 \end{pmatrix} \sqrt{2} \quad .
\]

(2.2)

The mass matrices are the components of the bilinear terms in the potential. As we assume charge and CP conservation we end up with three \(2 \times 2\) matrices \(\mathcal{M}_S\), \(\mathcal{M}_P\) and \(\mathcal{M}_C\) for the neutral CP-even, neutral CP-odd and charged Higgs sectors. The minimization conditions are given by

\[
\frac{\partial V}{\partial \Phi_1} \bigg|_{\langle \Phi_1 \rangle} = \frac{\partial V}{\partial \Phi_2} \bigg|_{\langle \Phi_2 \rangle} = 0 \quad ,
\]

(2.3)

which is equivalent to setting the two terms in the potential linear in \(\rho_1\) and \(\rho_2\) to zero,

\[
m_{11}^2 - m_{12}^2 \frac{v_2}{v_1} + \frac{\lambda_1 v_1^2}{2} + \frac{\lambda_{345} v_2^2}{2} = 0 ,
\]

\[
m_{22}^2 - m_{12}^2 \frac{v_1}{v_2} + \frac{\lambda_2 v_2^2}{2} + \frac{\lambda_{345} v_1^2}{2} = 0 \quad .
\]

(2.4)
where we have defined
\[ \lambda_{345} \equiv \lambda_3 + \lambda_4 + \lambda_5 \, . \] (2.5)

These equations allow one to replace the \( m_{11}^2 \) and \( m_{22}^2 \) parameters by expressions in terms of the remaining parameters and the VEVs to obtain the following form for the mass matrices,

\[
\mathcal{M}_S = \left( \begin{array}{ccc} m_{12}^2 \frac{v_2}{v_1} + \lambda_1 v_1^2 & -m_{12}^2 + \lambda_{345} v_1 v_2 \\ -m_{12}^2 + \lambda_{345} v_1 v_2 & m_{12}^2 \frac{v_1}{v_2} + \lambda_2 v_2^2 \end{array} \right) \] (2.6)

\[
\mathcal{M}_P = \left( \begin{array}{cc} m_{12}^2 \frac{v_1}{v_2} - \lambda_5 \\ v_2^2 - v_1 v_2 \end{array} \right) \left( \begin{array}{cc} -v_1 v_2 & v_1^2 \\ -v_1 v_2 & v_2^2 \end{array} \right) \] (2.7)

\[
\mathcal{M}_C = \left( \begin{array}{cc} m_{12}^2 \frac{v_1}{v_2} - \lambda_4 + \lambda_5 \\ 2 \end{array} \right) \left( \begin{array}{cc} v_2^2 - v_1 v_2 \\ -v_1 v_2 & v_2^2 \end{array} \right) \] (2.8)

In Eq. (2.7) and Eq. (2.8) we already see that the pseudoscalar and charged scalar matrices have determinant equal to zero — and therefore a zero eigenvalue, corresponding to the expected neutral and charged Goldstone bosons. The diagonalisation of the mass matrices is performed via the following orthogonal transformations

\[
\left( \begin{array}{c} \rho_1 \\
\rho_2 \end{array} \right) = R(\alpha) \left( \begin{array}{c} H \\
h \end{array} \right),
\] (2.9)

\[
\left( \begin{array}{c} \eta_1 \\
\eta_2 \end{array} \right) = R(\beta) \left( \begin{array}{c} G^0 \\
A \end{array} \right),
\] (2.10)

\[
\left( \begin{array}{c} \phi_1^\pm \\
\phi_2^\pm \end{array} \right) = R(\beta) \left( \begin{array}{c} G^\pm \\
H^\pm \end{array} \right),
\] (2.11)

where the rotation matrices have the form

\[
R(\vartheta) = \left( \begin{array}{cc} \cos \vartheta & -\sin \vartheta \\
\sin \vartheta & \cos \vartheta \end{array} \right),
\] (2.12)

with \( \vartheta = \alpha \) or \( \beta \). These rotations lead us to the physical states, which include one neutral CP-odd state, \( A \), two neutral CP-even states, \( h \) and \( H \), and two charged Higgs bosons, \( H^\pm \), besides the longitudinal components of the \( W^\pm \) and the \( Z \) bosons, the pseudo-Nambu-Goldstone bosons \( G^\pm \) and \( G^0 \), respectively.

The angle \( \beta \) can be be defined at tree-level as

\[
\tan \beta = \frac{v_2}{v_1},
\] (2.13)

while \( v_1^2 + v_2^2 = v^2 \approx (246 \text{ GeV})^2 \) ensures the correct pattern of symmetry breaking. The mixing angle \( \alpha \) can be written in terms of \( (\mathcal{M}_S)_{ij} \) \( (i,j = 1,2) \), which are the entries of the CP-even scalar mass matrix, as

\[
\tan 2\alpha = \frac{2(\mathcal{M}_S)_{12}}{(\mathcal{M}_S)_{11} - (\mathcal{M}_S)_{22}}.
\] (2.14)

Introducing the quantity \( M \) defined as

\[
M^2 \equiv \frac{m_{12}^2}{s_\beta c_\beta},
\] (2.15)
with the short-hand notation \( s_x \equiv \sin x \) etc., we can write [44]

\[ \tan 2\alpha = \frac{s_{2\beta}(M^2 - \lambda_{345} v^2)}{c_{\beta}^2(M^2 - \lambda_1 v^2) - s_{\beta}^2(M^2 - \lambda_2 v^2)} . \] (2.16)

Finally, the scalar masses may be written as

\[
\begin{align*}
m_{h,H}^2 &= \frac{1}{2} \left[ (\mathcal{M}_S)_{11} + (\mathcal{M}_S)_{22} \mp \sqrt{((\mathcal{M}_S)_{11} - (\mathcal{M}_S)_{22})^2 + 4((\mathcal{M}_S)_{12})^2} \right] \\
m_A^2 &= M^2 - \lambda_5 v^2 \\
m_{H^\pm}^2 &= M^2 - \frac{\lambda_4 + \lambda_5}{2} v^2 .
\end{align*}
\] (2.17)

The potential has eight independent parameters and we choose: the four scalar masses (the two masses of the CP-even states, the mass of the CP-odd state and the mass of the charged Higgs boson), the rotation angle in the CP-even sector, \( \alpha \), the ratio of the vacuum expectation values, \( \tan \beta = v_2/v_1 \), the soft breaking parameter \( m_{12}^2 \) and \( v^2 = v_1^2 + v_2^2 \). Without loss of generality, we adopt the conventions \( 0 \leq \beta \leq \pi/2 \) and \(-\pi/2 \leq \alpha \leq \pi/2 \).

The two doublets \( \Phi_1 \) and \( \Phi_2 \) are not physical fields, unlike the mass eigenstates. This means that any linear combination of the doublets which preserves the form of the kinetic terms of the theory is equally acceptable. This reparameterization freedom implies that different bases of the doublet fields can be chosen, without changing physical predictions of the model and potentially simplifying the theory. It is sometimes useful to work in the so-called Higgs basis, wherein one performs a \( U(2) \) transformation on \( \Phi_1, \Phi_2 \) in such a manner that only the first of the transformed fields, \( \{ H_1, H_2 \} \), has a VEV. The Higgs basis may be defined for our model by the rotation\(^2\)

\[
\begin{pmatrix}
H_1 \\
H_2
\end{pmatrix} = R_H \begin{pmatrix}
\Phi_1 \\
\Phi_2
\end{pmatrix} = \begin{pmatrix}
c_{\beta} & s_{\beta} \\
-s_{\beta} & c_{\beta}
\end{pmatrix} \begin{pmatrix}
\Phi_1 \\
\Phi_2
\end{pmatrix} ,
\] (2.18)

and hence the potential can be written as [45]

\[
V(H_1, H_2) = Y_1 H_1^\dagger H_1 + Y_2 H_2^\dagger H_2 - (Y_3 H_1^\dagger H_2 + \text{h.c.}) + \frac{1}{2} Z_1 (H_1^\dagger H_1)^2 + \frac{1}{2} Z_2 (H_2^\dagger H_2)^2 \\
+ Z_3 (H_1^\dagger H_1) (H_2^\dagger H_2) + Z_4 (H_1^\dagger H_2) (H_2^\dagger H_1) + \frac{1}{2} Z_5 (H_1^\dagger H_2)^2 + [Z_6 H_1^\dagger H_1 \\
+ Z_7 H_2^\dagger H_2] H_1^\dagger H_2 + \text{h.c.} \biggr] ,
\] (2.19)

with the minimization conditions of the potential in this new basis implying that the parameters \( Y_3 \) and \( Z_6 \) are related to one another.

The reason why we are interested in this form of the potential is that it allows to write expressions that facilitate in some cases the discussion of alignment and decoupling limits in the 2HDM [46]. Let us clarify what we mean by alignment and decoupling: the LHC has shown beyond all doubts that the 125 GeV scalar which has been discovered has SM-like behaviour – meaning, it seems to couple to gauge bosons and fermions very much like the SM Higgs boson would do. Within models with two doublets, this implies that the scalar state with 125 GeV mass needs to be almost \textit{aligned} with the VEV. How does one obtain such aligned regimes in

\(^2\)The Higgs basis is in fact defined up to an arbitrary complex phase multiplying the second doublet.
the 2HDM? The key issue is looking at the CP-even mass matrix from Eq. (2.6): in the Higgs basis, this matrix becomes

\[ M_S = \begin{pmatrix} Z_1 v^2 & Z_6 v^2 \\ Z_6 v^2 & m_A^2 + Z_5 v^2 \end{pmatrix} . \]  

(2.20)

Having an \textit{aligned} scalar means that there won’t be much mixing between the two CP-even states, and this can be achieved in two ways:

- One of the diagonal elements in Eq. (2.20) is much bigger than the other one. Since \( Z_1 \) is a quartic coupling and therefore expected not to be large, this forces the (2,2) entry in the matrix to be quite large, and it is simple to show that all extra scalars will be heavy. In this regime, alignment is achieved in the \textit{decoupling limit}.

- The off-diagonal elements in Eq. (2.20) are much smaller than the diagonal ones. In this regime, the masses of the extra scalars are not necessarily large, and the SM-like behaviour of the 125 GeV state is said to be caused by the \textit{alignment limit}.

Looking specifically at the couplings of \( h \) or \( H \) to gauge bosons, the relevant expressions for our discussion are [33,37]

\[ |s_{\beta-\alpha}| = \frac{|Z_6|v^2}{m_H^2 - m_h^2} , \]  

(2.21)

and

\[ Z_1 v^2 = m_h^2 s_{\beta-\alpha}^2 + m_H^2 c_{\beta-\alpha}^2 , \]  

(2.22)

with \( Z_1 \) and \( Z_6 \) given in terms of the original parameters of the Lagrangian by [45]

\[ Z_1 = \lambda_1 c_{\beta}^4 + \lambda_2 s_{\beta}^4 + \frac{1}{2} \lambda_{345} s_{2\beta}^2 , \]

\[ Z_6 = -\frac{1}{2} s_{2\beta} [\lambda_1 s_{\beta}^2 - \lambda_2 s_{\beta}^2 - \lambda_{345} c_{2\beta}] . \]  

(2.23)

Assuming that the lightest state is the one that is aligned with the VEV, and that it has a mass of 125 GeV, its tree-level couplings are very close to the SM Higgs ones. This limit is attained by setting \( c_{\beta-\alpha} \rightarrow 0 \). Equation (2.21) tells us then that it is sufficient to have \( Z_6 \ll 1 \) to be in the \textit{alignment limit}. In this regime, although the couplings of the 125 GeV Higgs are all SM-like, the other Higgs bosons can in principle be light and therefore be within the reach of the LHC. To have alignment in the \textit{decoupling limit} the masses of the non-125 Higgs bosons must not be much larger than 125 GeV. Defining a common mass scale \( m_{\phi_{\text{heavy}}} \) with \( \phi_{\text{heavy}} = H, A \) and \( H^\pm \) one can write [44]

\[ m_{\phi_{\text{heavy}}}^2 = M^2 + f(\lambda_i) v^2 + O(v^4/M^2) , \]  

(2.24)

where \( f(\lambda_i) \) denotes a linear combination of \( \lambda_1...\lambda_5 \).

\footnote{In fact, we see from Eqs. (2.17) that for \( m_A \) and \( m_{H^\pm} \) the \( v^4 \) terms are not even present.}

If \( M^2 \gg f(\lambda_i) v^2 \) all masses are of the order of \( M \) and therefore quite large — and from eq. Eq. (2.23) again we obtain \( |\cos(\beta-\alpha)| \approx 0 \).

In the case \( s_{\beta-\alpha} \rightarrow 0 \) there is again alignment but now with the heavy CP-even Higgs \( H \), meaning this would correspond to the heavy Higgs scenario mentioned above. The condition for this regime to occur is still \( Z_6 \ll 1 \), but now decoupling is not possible, as the non-SM-like Higgs boson masses are not all much larger than 125 GeV, in particular not \( m_h \).
3 Theoretical constraints on the parameters

The main goal of this study is to understand the effects of the RGE evolution of the couplings, from the weak scale (the mass of the Z boson, $m_Z$) to higher scales all the way up to the Planck scale, $\Lambda = 10^{19}$ GeV. Our procedure consists in first inputting a set of 2HDM parameters at the weak scale and verifying whether they satisfy the following theoretical demands:

- The potential is bounded from below, so that the theory is guaranteed to have a stable vacuum of some sort.
  
  This is achieved by demanding that the quartic couplings of the potential obey [47, 48]
  
  \[
  \lambda_1 > 0, \quad \lambda_2 > 0, \quad \lambda_3 > -\sqrt{\lambda_1 \lambda_2}, \quad \lambda_3 + \lambda_4 - |\lambda_5| > -\sqrt{\lambda_1 \lambda_2}.
  \]  
  
  (3.25)

  These conditions have been shown to be necessary and sufficient [49] to ensure that the scalar potential is bounded from below (in the “strong sense” as defined in Refs. [50, 51]).

- That the minimum is global and provides the right pattern of electroweak symmetry breaking.

  Contrary to the SM, the 2HDM can have several simultaneous stationary points. Besides the CP-conserving minimum, the model can have CP-violating (CPV) and Charge Breaking (CB) minima, which are spontaneously generated. As shown in [40, 49, 52, 53], if the potential is in a CP-conserving minimum, any other stationary point, if of a different nature (either CPV or CB), is a saddle point with higher value of the potential. Still, there is a possibility that two CP-conserving minima could co-exist. In this case tunnelling could occur from our minimum to another one with a different electroweak scale. In [54, 55] this minimum was called the panic vacuum. However, it was found that verifying if the parameters of the potential obey a simple condition [54–56] it is possible to know exactly whether our CP-conserving vacuum is the global one. We define the discriminant

  \[
  D = m_{12}^2 (m_{11}^2 - k^2 m_{22}^2) \left( \frac{v_2}{v_1} - k \right),
  \]  
  
  (3.26)

  where $k = \frac{4}{\sqrt{\lambda_1/\lambda_2}}$, and the VEVs are the ones that define the correct pattern of symmetry breaking (meaning, they predict the correct gauge boson and fermion masses, $v_1^2 + v_2^2 = (246 \text{ GeV})^2$). The existence of a panic vacuum is thus summarised in the following theorem:

  The vacuum with the correct pattern of symmetry breaking is the global minimum of the potential if and only if $D > 0$.

- That perturbative unitarity\(^4\) holds.

  We enforce tree-level perturbative unitarity by requiring that the eigenvalues of the $2 \to 2$ scalar scattering matrix are below $8\pi$ [57]. The full $2 \to 2$ scattering matrix of the fields

\(\text{\textsuperscript{4}}\text{We note that a model that does not respect perturbative unitarity is not necessarily wrong. However, discussing this possibility is beyond the scope of this work.}\)
in the gauge basis has been computed [57] (see also [58,59]), and its eigenvalues are

\[
\begin{align*}
b_\pm &= \frac{1}{2} \left( \lambda_1 + \lambda_2 \pm \sqrt{(\lambda_1 - \lambda_2)^2 + 4\lambda_2^2} \right) \\
c_\pm &= \frac{1}{2} \left( \lambda_1 + \lambda_2 \pm \sqrt{(\lambda_1 - \lambda_2)^2 + 4\lambda_4^2} \right) \\
e_1 &= \lambda_3 + 2\lambda_4 - 3\lambda_5 \\
e_2 &= \lambda_3 - \lambda_5 \\
f_+ &= \lambda_3 + 2\lambda_4 + 3\lambda_5 \\
f_- &= \lambda_3 + \lambda_5 \\
f_1 &= \lambda_3 + \lambda_4 \\
p_1 &= \lambda_3 - \lambda_4.
\end{align*}
\]

(3.27)

The above eigenvalues are not all independent. As noted in [57],

\[
\begin{align*}
3f_1 &= p_1 + e_1 + f_+ \\
3e_2 &= 2p_1 + e_1 \\
3f_- &= 2p_1 + f_+.
\end{align*}
\]

(3.28) (3.29) (3.30)

This means that the conditions on \( f_1, e_2 \) and \( f_- \) can be dropped. Moreover, adding the fact that \( \lambda_1, \lambda_2 > 0 \) is needed for the potential to be bounded from below, we obtain

\[
\begin{align*}
|c_+| &> |c_-| \\
|b_+| &> |b_-|.
\end{align*}
\]

(3.31) (3.32)

The resulting conditions for tree-level perturbative unitarity are thus given by

\[
\begin{align*}
|\lambda_3 - \lambda_4| &< 8\pi \\
|\lambda_3 + 2\lambda_4 \pm 3\lambda_5| &< 8\pi \\
\frac{1}{2} \left( \lambda_1 + \lambda_2 \pm \sqrt{(\lambda_1 - \lambda_2)^2 + 4\lambda_4^2} \right) &< 8\pi \\
\frac{1}{2} \left( \lambda_1 + \lambda_2 \pm \sqrt{(\lambda_1 - \lambda_2)^2 + 4\lambda_5^2} \right) &< 8\pi.
\end{align*}
\]

(3.33)

If a given choice of 2HDM parameters satisfies all of these constraints, it is accepted (provided it further satisfies, at the weak scale, the experimental constraints described in the next section). At this stage we include the effect of the renormalization group running of the parameters of the theory to understand how it affects the allowed parameter space. We use the one-loop \( \beta \)-functions for the parameters of the model (and also the VEVs \( v_1 \) and \( v_2 \)), presented in Appendix A, and for each point in the parameter space chosen. We adopt the following procedure:

- Perform the RGE running of all potential parameters and VEVs starting at \( m_Z \).
- At each scale between \( m_Z \) and the Planck scale, verify whether the theoretical constraints detailed above (potential bounded from below; positive discriminant; perturbative unitarity) are still verified.
- If all the theoretical constraints are verified, proceed to a higher scale and repeat.
• If at a given scale $\Lambda$ any of the theoretical constraints is not verified, stop the RGE running and keep the information on this cut-off scale.

There is a further constraint which must be considered – for a large region of the initial parameter space, the RGE running will hit Landau poles – i.e., the parameters will tend to infinity – at some scale between $m_Z$ and the Planck scale. As in the SM, this is easily understood if one considers the structure of the couplings in the $\beta$-functions of the model. For instance, the contributions of the Yukawa couplings to the $\beta$-functions of the quartic couplings are negative and tend to reduce their values as one increases the renormalization scale; but the quartic couplings have positive contributions to those $\beta$-functions and thus tend to increase their values. As a consequence, only initial values of the quartic couplings with small magnitudes will not develop Landau poles during the RGE running up to the Planck scale. For completion, we assume a Landau pole occurs if either a) one of the gauge couplings, Yukawa couplings or quartic couplings of the potential reaches the absolute value of 100; b) if either $m^2_{11}$, $m^2_{22}$ or $m^2_{12}$ reaches the absolute value of $10^{10} \times v^2 (v = 246 \text{ GeV})$ or c) if $v_2/v_1 > 100$. Notice that since the $\beta$-functions are highly coupled, as soon as one given parameter hits a Landau pole typically others will as well.

If some choice of parameters is such that one theoretical constraint is violated or a Landau pole occurs at a given scale $\Lambda$, this means that the theory ceases to be valid above $\Lambda$ and requires new physics (NP) above $\Lambda$ to correct the RGE evolution (for example, extra scalars to stabilize the vacuum, or extra fermions to prevent Landau poles). Thus, if one believes that the 2HDM should be valid up to a given high energy scale $\Lambda_{NP}$, the RGE running described will discard many combinations of parameters, reducing the parameter space of the model and improving its predictability. The higher $\Lambda_{NP}$ is the more severe is the elimination of parameters. To give the reader an idea of the importance of each of our requirements on the curtailment of the 2HDM parameter space, we found that the appearance of a Landau pole at $\Lambda_{NP} = 1 \text{ TeV}$ reduces the number of original points to about 46 % in model type I, and 33 % in model type II; by requiring the potential to also be bounded from below and unitarity to be obeyed up to the same scale of 1 TeV will amount to a further reduction to 17 % (8 %) for type I (type II) of the original points. Finally, the discriminant plays a very small role, with a further reduction of less than 1% for again a scale of 1 TeV, for both model types. In fact, the discriminant will almost play no role in this analysis — the number of points which do not survive RGE running all the way to the Planck scale because only the discriminant condition is violated is extremely small.

Finally, a word on thresholds: we have taken the weak scale, $m_Z$, as the starting point of our RG analysis. A more refined analysis would take into account the possibility of thresholds in the RG running (for instance, using the 5-flavour $\beta$-functions between $m_Z$ and the top threshold). Alternatively, we could have started the RG running at a higher scale. In either case, the impact of these refinements in the RG running in our analysis is minimal, at most slightly shifting the cut off scales $\Lambda$. The substance of our conclusions would not be affected.

4 The 2HDM parameter space

The 2HDM is implemented as a model class in ScannerS [60, 61], and we used this code to generate our data samples. The theoretical bounds described in the previous section, plus all relevant available experimental constraints, are either inbuilt in the code or interfaces with several other codes allow to take them into account in the sample generation.

5 The $\beta$-functions are of course different for each of the model types considered, see Appendix A.
We will now briefly describe the experimental constraints on the model and how they are applied. The most relevant exclusion bounds on the \( m_{H^\pm} - t_\beta \) plane are those which arise from the \( B \to X_s \gamma \) measurements [62–66]. A 2\( \sigma \) bound on the charged Higgs mass of \( m_{H^\pm} > 580 \) GeV for the models type II and Flipped that is almost independent of \( \tan \beta \) was recently discussed in [66]. In all types of 2HDMs, there is also a hard bound on the charged Higgs mass coming from LEP, with the process \( e^+e^- \to H^+H^- \) [67] which is approximately 100 GeV. We have used all the flavour constraints, plus the ones from the \( R_b \) [62,68] measurement. These constraints are 2\( \sigma \) exclusion bounds on the \( m_{H^\pm} - t_\beta \) plane. Furthermore, all points comply with the electroweak precision measurements. We demand a 2\( \sigma \) compatibility of the \( S, T \) and \( U \) parameters with the SM fit presented in [69]. The full correlation among these parameters is taken into account.

The mass of the SM-like Higgs boson, denoted by \( h_{125} \), is set to \( m_{h_{125}} = 125.09 \) GeV [70]. The \texttt{HiggsBounds} code [71–73] is used to check for agreement with all 2\( \sigma \) exclusion limits from LEP, Tevatron and LHC Higgs searches. The decay widths and branching ratios were calculated with \texttt{HDECAY} [74,75], which includes off-shell decays and state-of-the-art QCD corrections. All Higgs boson production cross sections via gluon fusion (\( ggF \)) and \( b \)-quark fusion (\( bbF \)) are obtained from \texttt{SusHiv1.6.0} [76,77], at NNLO QCD. The SM-like Higgs rates are forced to be within \( 2 \times 1 \sigma \) of the fitted experimental values given in [78]. In that reference bounds are presented for the quantities

\[
\frac{\mu_F}{\mu_V}, \frac{\mu_{\gamma\gamma}}{\mu_V}, \frac{\mu_{ZZ}}{\mu_{WW}}, \frac{\mu_{WW}}{\mu_{TT}}, \frac{\mu_{bb}}{\mu_{WW}},
\]

where \( \mu_F \) (\( \mu_V \)) is the ratio, for each channel, between the measured cross section, and its SM expected value, for the gluon-gluon fusion and \( t\bar{t}H \) (VBF + VH) production processes; the quantities \( \mu_{xx} \) are then defined as

\[
\mu_{xx} = \frac{\text{BR}_{2\text{HDM}}(H_i \to xx)}{\text{BR}_{\text{SM}}(H_{\text{SM}} \to xx)}
\]

for \( H_i \equiv h_{125} \) and the SM Higgs boson \( H_{\text{SM}} \). Because custodial symmetry is preserved, \( \mu_{ZZ} = \mu_{WW} \equiv \mu_{VV} \), and we are allowed to combine the lower \( 2 \times 1 \sigma \) bound from \( \mu_{ZZ} \) with the upper bound on \( \mu_{WW} \) [78],

\[
0.79 < \mu_{VV} < 1.48.
\]

In type II we choose the charged Higgs mass to be in the range

\[
580 \text{ GeV} \leq m_{H^\pm} < 1 \text{ TeV},
\]

while in type I we have taken

\[
80 \text{ GeV} \leq m_{H^\pm} < 1 \text{ TeV}.
\]

Taking into account all the constraints, in order to optimise the scan, we have chosen the following regions in the remaining input parameters: \( 0.8 \leq \tan \beta \leq 35, \ -\frac{\pi}{2} \leq \alpha < \frac{\pi}{2}, \ 0 \text{ GeV}^2 \leq m_{A}^2 < 50 \text{ GeV}^2, \ 30 \text{ GeV} \leq m_A < 1000 \text{ GeV} \) and finally \( 130 \leq m_H < 1000 \) GeV for the light Higgs scenario, but \( 30 < m_h < 120 \) GeV for the heavy Higgs scenario.


5 The light Higgs scenario

In this section we will consider the standard approach to the 2HDM, in which the lightest CP-even scalar is taken to be the observed 125 GeV Higgs boson. The heavy Higgs scenario is dealt with in the next session. Our goal now is to carefully analyse what impact the requirement of imposing the theoretical constraints described in the previous section has, plus the absence of Landau poles, for all scales above the weak scale.

5.1 Results with no collider bounds

We start the discussion with a sample of points that have passed all the theoretical constraints, the electroweak precision tests and all $B$-physics constraints – the most important one being the constraint from $b \to s\gamma$, which in type II forces the charged Higgs mass to be above 580 GeV at $2\sigma$. However, we have not imposed the LHC bounds on the observed Higgs rates from [78] on this parameter sample. What we will observe is that the requirement that the potential is well-behaved for increasingly high energy scales will curtail the parameter space so much that, in some situations, the 125 GeV scalar becomes “naturally” aligned.

Let us begin with the analysis of the type II model. The data sample we used had almost 1 million different parameter combinations, and for each of those points we performed the RGE running described above, verifying the cut-off scales $\Lambda$ for which either the theoretical constraints we imposed were violated, nor a Landau pole was reached.

On the left panel of Fig. 1 we present the charged Higgs mass vs. $\cos(\beta - \alpha)$. We show in grey the points that passed the theoretical, $S,T,U$ and $B$-physics constraints. Notice how clearly the LHC bounds were not present in the initial sample, since $\cos(\beta - \alpha)$ varies from -1 to 1, whereas current experimental results point to the observed Higgs having SM-like behaviour, which would necessitate values of $|\cos(\beta - \alpha)|$ much closer to zero. The coloured points in the plot are the subset of the initial data sample which survived the RGE running up to a scale of $1 \, \text{TeV}$ – meaning, for which no theoretical constraint was violated, nor a Landau pole occurred.

Figure 1: Charged Higgs mass vs. $\cos(\beta - \alpha)$ in the type II 2HDM. On the left panel we show, in grey, the points that passed the theoretical, electroweak and $B$-physics constraints at the scale $m_Z$. The remaining points have survived the RGE running up to a scale of 1 TeV. The colour bar shows the value of $m_H$. On the right panel we present the same plot but where the colour bar shows the cut-off scale. The points are sorted from dark to brighter colours.
between $m_Z$ and 1 TeV. The colour bar shows the minimum value of $m_H$, which shows a similar trend to the charged Higgs mass. For example, with the cutoff scale of 1 TeV, the minimum value of $m_H$ allowed is about 440 GeV. It is clear that, already at such a small scale as 1 TeV, the range of variation of $\cos(\beta - \alpha)$ has shrunk from the original $|\cos(\beta - \alpha)| < 1$ to about $|\cos(\beta - \alpha)| < 0.2$ — which means that the simple requirement that the type II potential is well behaved up to a scale of about 1 TeV implies that the Higgs boson must have SM-like behaviour provided that one of the scalars has a mass above $\approx 500$ GeV. Here we see alignment arising in a “natural” way from the behaviour of the theory, rather than requiring a particular choice of the parameter region to fit the data.

On the right panel of Fig. 1 we show the result of continuing the RGE running for higher scales than 1 TeV. Again we plot $m_{H \pm}$ vs $\cos(\beta - \alpha)$, but now the colour code provides information on the cut-off scale $\Lambda$, that is, the scale at which either a Landau pole occurs or any of the theoretical conditions is violated. As expected from previous analyses, many points survive up to the Planck scale with values of $\cos(\beta - \alpha)$ increasingly closer to zero. The 2HDM of type II can therefore be a valid description of particle physics all the way up to the Planck scale – and since we made sure the potential is bounded from below and the correct electroweak minimum is the global one at all scales, we conclude that within the framework of this model it is possible to choose parameters to even avoid the issue of metastability which has been discussed for the SM.

What is the origin of the quick approach of the alignment regime, at such a remarkably low energy scale as 1 TeV? In Fig. 2 we present the points that have survived the running up to the cut-off scale $\Lambda$. On the left we see that $M$ has a very fast increase, reaching a maximum value of 423 GeV at 1 TeV. For higher scales we see that $M$ can take many values, but its minimum value then stabilizes, with a value close to the charged Higgs mass at $\Lambda \approx 10^3$ TeV, and remains constant up to the Planck scale.

On the right panel of Fig. 2 we show the values of $\lambda_4 + \lambda_5$ for the points which survive from $m_Z$ up to the Planck scale. Clearly, the absolute value of $\lambda_4 + \lambda_5$ is decreasing, and the sum of the two couplings can only take values close to zero if the model is to be valid up to very high energy scales. Indeed, already at 1 TeV we have $|\lambda_4 + \lambda_5| \lesssim 5.7$ and we can attempt an

![Figure 2](image-url)

**Figure 2:** On the left (right) panel we present $|M|$ ($\lambda_4 + \lambda_5$) as a function of the cut-off scale $\Lambda$ in the type II 2HDM. The points have passed both the theoretical constraints and $b \to s\gamma$ at the scale $m_Z$ and have also survived the RGE running up to the cut-off scale.
analytical explanation for the approach to alignment, using

\[ M^2 - m_{H^\pm}^2 = \frac{\lambda_4 + \lambda_5}{2} v^2 \Rightarrow M - m_{H^\pm} = \frac{(\lambda_4 + \lambda_5)v^2}{2(M + m_{H^\pm})}. \]  (5.39)

Inserting the maximum value for \( \lambda_4 + \lambda_5 \) and the minimum value for \( m_{H^\pm} \) and \( M \) for 1 TeV in these formulae, we obtain \( |M - m_{H^\pm}| \approx 163 \text{ GeV} \). This provides an approximation for the maximum mass difference between the several scalars. To reinforce this point, in Fig. 3 we plot \( m_A - m_{H^\pm} \) vs. \( m_H - m_{H^\pm} \) in the type II 2HDM. Again, the grey points have passed all theoretical constraints and comply with \( b \to s\gamma \) at the scale \( m_Z \). On the left plot, the remaining points, colour coded with the values of \( \tan \beta \), have survived the RGE running up to a scale of 1 TeV. As discussed, we can see clearly in the plot that all mass differences are below \( \pm 200 \text{ GeV} \) – and since in type II the charged Higgs mass is constrained by the \( b \to s\gamma \) results to be above \( 580 \text{ GeV} \), this gives us possible lower bounds on the masses for the pseudoscalar or the heavier CP-even scalar of about 430 GeV.

Let us now consider the right plot of Fig. 3, where we can see the dependence of the cut-off scale. Because the absolute values of the quartic couplings decrease and the lowest value of \( M \) stabilizes with increasing cut-off scale, the mass differences approach zero. As the quartic couplings decrease, then, all masses are increasingly controlled by \( M \) and they tend to be of the same order. Thus, validity at very high scales (\( \gg 1 \text{ TeV} \)) implies, for model type II, that all extra scalars have necessarily high masses, and alignment is reached via decoupling – we found the minimum acceptable values for the scalar masses if the model is valid above \( 10^{11} \text{ GeV} \) is roughly 600 GeV. However, if one is more conservative and only assumes that the 2HDM describes particle physics up to a scale of about 1 TeV, then alignment can be reached with relatively low masses (450 GeV) for \( A \) and \( H \), and one could argue that we are observing the alignment limit, instead of the decoupling limit. In either case, though, the result is the same: requiring the type II 2HDM to be well behaved at least up to a scale of 1 TeV automatically means that alignment must be satisfied.

The situation is, however, different for a 2HDM of type I. In Fig. 4 we show the analog, for type I, of Fig. 1. And the striking difference is that requiring the validity of the model up to high
energy scales does not necessarily imply alignment for the lightest Higgs boson – we see, in the plot of the right, plenty of points away from alignment (with large absolute values of $\cos(\beta - \alpha)$) which survive all the way up to the Planck scale. Thus validity of the 2HDM up to high energy scales does not necessarily imply alignment for type I, though it does for type II. The left plot of Fig. 4 shows that the charged Higgs mass is playing a crucial role in this respect – in fact, if in model type I one were to impose $m_{H\pm} > 500$ GeV, again one would have alignment emerging from requiring that the model be valid up to energy scales of at least 1 TeV. However, for model type I there is no compelling physics reason to impose such a cut on the charged mass, unlike what happens in type II. Still, the reasoning can be inverted: if particle physics is described by a type I 2HDM, the fact that LHC indicates that the lightest scalar is aligned means that, for the model to be valid up to very high energy scales, the “natural” expectation is to have a charged Higgs mass superior to 500 GeV. This may be understood from the right plot in Fig. 4 – points where validity occurs up to the Planck scale with lower charged masses are certainly possible, but not necessarily aligned. Thus, if the charged mass is below roughly 500 GeV, validity of the model up to the Planck scale is possible, but alignment does not arise “naturally”, it needs to be further imposed on the model, as a fine-tuning of its parameters. If $m_{H\pm} > 500$ GeV, on the other hand, validity up to scales as low as $\sim$ 1 TeV already implies alignment.

5.2 Results with collider bounds

In the previous section we showed how alignment arises, in type II, from requiring that the 2HDM be valid up to high energy scales. In type I alignment does not arise automatically from that requirement, unless one further demands that the charged Higgs mass be superior to 500 GeV. Let us now see what the requirement of validity to high energy scales combined with the LHC bounds on Higgs physics teaches us about 2HDM phenomenology. In this section we have used a sample where, besides all theoretical constraints, electroweak precision bounds and constraints from $B$-physics, we have imposed all available collider bounds, and in particular those that restrict the Higgs couplings to fermions and gauge bosons, which are the most relevant ones. The further constraints arising from requiring validity of the model to high scales will obviously increase the predictability of the theory.
A first observation to take into account is that LHC collider bounds are a lot less restrictive for the 2HDM type I than for type II (see, for instance, [79, 80]). Even with the latest run II data, the allowed parameter space permits substantial deviations from alignment. We start by presenting in Fig. 5 (left) a plot for the type I 2HDM in the charged Higgs mass vs. \( \cos(\beta - \alpha) \) plane. As previously discussed, because there are no strong bounds on the charged Higgs mass, nor on any other scalar besides the 125 GeV one, there is no major difference in the allowed range of \( \cos(\beta - \alpha) \) for low and high scales of validity of the theory. This means that, whatever the collider bounds on the type I models are, the model may be valid up to the Planck scale even with large deviations from the alignment limit. Notice the yellow points in the left plot of Fig. 5 with charged Higgs masses as low as \( \sim 150 \) GeV and large absolute values of \( \cos(\beta - \alpha) \), corresponding to 2HDM type I parameter sets for which the model is valid up to the Planck scale — and while not satisfying alignment, they still satisfy all existing LHC bounds.

Still, we would recover the type II results if we had the same bound on the charged Higgs mass as for type II – once again, if the charged mass is superior to 500 GeV, alignment is an automatic consequence of requiring validity of the model up to high scales. On the right plot we present \( m_A - m_{H^\pm} \) vs. \( m_H - m_{H^\pm} \) as a function of the cut-off scale. As for the type II model, the mass differences become increasingly smaller with increasing \( \Lambda \), which again suggests that they are controlled by the scale \( M \) especially when we move closer to the Planck scale where the quartic couplings are extremely small in magnitude. The reason why we are not driven to decoupling in the generic type I is shown in Fig. 6. Contrary to type II, and although the value of \( M \) increases also very fast, its lowest value stabilizes at a value close to 100 GeV. Since for high values of the cut-off scale \( \Lambda \) the quartic couplings are very close to zero, their contribution to the masses is negligible when compared to that of \( M \) (see Eq. (2.17)). Thus, light masses, of order 100–200 GeV, are still allowed even if the type I model is valid up to high scales.

This leads us to a discussion on the alignment limit, given the plots shown in Fig. 7. There we plot the values of the \( Z_6 \) Higgs-basis coupling (defined in Eqs. (2.19) and (2.23)), for both type I and type II models, vs. \( \cos(\beta - \alpha) \). The “cloud” of points in the type II plot, with large values of \( \cos(\beta - \alpha) \), corresponds to the wrong sign limit in that model, to be discussed shortly. As can be seen in the left plot of Fig. 7, values of \( Z_6 \) very close to zero are possible,
Figure 6: $M$ as a function of the cut-off scale $\Lambda$ for type I. These points fulfil all experimental and theoretical bounds.

particularly with the model valid up to the Planck scale. However, even with $Z_6$ of very low magnitude, $\cos(\beta - \alpha)$ clearly still varies in a very wide range, and therefore we are not in the alignment limit. Notice the striking difference with the same plot for model type II – there, alignment indeed corresponds to small $Z_6$. The difference in behaviour between the two models is clearly due to the lower bound on the charged Higgs mass. Therefore, very small values of the quartic couplings may not be enough to make us reach the alignment limit – although for the type II model small $Z_6$ is indeed sufficient for alignment, the same cannot be said for type I. For this model, small $Z_6$ needs to be complemented by a large enough bound on the charged Higgs mass so that one reaches alignment. We therefore would argue that the alignment limit condition $|Z_6| \ll 1$ is a necessary condition, albeit not a sufficient one. Still, there are certainly values of $Z_6$ closer to zero for which alignment would occur independently of the values of the scalar masses, because the matrix in eq. Eq. (2.20) becomes very nearly diagonal for increasingly smaller $Z_6$.

In previous works [80, 81] two of the authors have discussed the wrong-sign limit of the
2HDM. We define

$$\kappa_i^2 = \frac{\Gamma_{i}^{\text{2HDM}}(h \to i)}{\Gamma_{i}^{\text{SM}}(h \to i)}$$

(5.40)

which at tree-level is just the ratio of the couplings $\kappa_i = \frac{g_i^{\text{2HDM}}}{g_i^{\text{SM}}}$ and for the $hW^+W^-$ coupling reads

$$\kappa_W^2 = \frac{\Gamma_{i}^{\text{2HDM}}(h \to W^+W^-)}{\Gamma_{i}^{\text{SM}}(h \to W^+W^-)} = \left(\frac{g_i^{\text{2HDM}}}{g_i^{\text{SM}}}ight)^2 = \sin^2(\beta - \alpha).$$

(5.41)

Representing the down-type (up-type) fermion final states by $\kappa_D$ ($\kappa_U$), the wrong-sign limit is defined by $\kappa_D \kappa_V < 0$, that is, the down-type couplings have a minus relative sign to the SM couplings. Other wrong-sign limits could be defined but they are all excluded by experiment [80, 81]. For completeness, the wrong sign limit is only possible in the type II model, and for the light Higgs scenario it implies $\alpha > 0$, which leads to sizeable values of $\cos(\beta - \alpha)$.

On the left panel of Fig. 8 we present a plot of $\kappa_D$ as a function of $\kappa_V$, where all the theoretical and experimental constraints have been imposed, and the colour code indicates the scale up to which the model is valid. Notice that the only region for which the model is valid to higher scales corresponds to $\kappa_D > 0$ – thus the validity of the 2HDM up to high scales eliminates the wrong sign limit. To enforce this conclusion, consider the right plot in Fig. 8, wherein we show the values of $\cos(\beta - \alpha)$ as a function of the cut-off scale $\Lambda$. We clearly see that above about half a TeV the theory is valid only for points with very low values of $\cos(\beta - \alpha)$. Since the wrong sign limit can only occur with sizeable values of $\cos(\beta - \alpha)$ [80,81], it is therefore excluded if one requires the model to be valid up to scales as low as 1 TeV.

In fact, it is easy to understand why the wrong sign limit is excluded by the high scale behaviour of type II, if one remembers the results from Fig. 3. Our analysis of those plots led us to conclude that validity up to high scales of type II placed us definitely in the decoupling regime – and as was shown in [81], the wrong sign limit corresponds to a non-decoupling regime, wherein the charged Higgs boson has an irreducible contribution to observables such as the diphoton width of the SM-like Higgs boson or the gluon fusion cross section.
6 The heavy Higgs scenario

In this section we will discuss the scenario where the heavier of the two CP-even Higgs bosons is the discovered 125 GeV scalar [82]. In this scenario decoupling cannot happen because the lightest scalar mass is constrained to be below 125 GeV. Hence, one would expect that the theory would only be valid up to a certain scale, at least for the type II model – as we have seen, validity of type II to very high scales is only possible if the model is in the alignment limit and all extra scalar masses are heavy. Recall also that what makes possible the validity of the model up to the Planck scale is not only the fact that there is a new scalar, relative to the SM, but also that the mass scale is driven by the $M$ parameter and not by the quartic couplings. In what follows, all relevant experimental bounds were taken into account when generating the data samples. In particular, since we are considering the possibility of scalars lighter than 125 GeV, the LEP constraints [83,84] assume a special relevance in what follows.

![Figure 9: Charged Higgs mass as a function of the cut-off scale $\Lambda$, for type I (left) and type II (right). The colour coded bar in the left plot shows the value of $m_A$.](image)

In Fig. 9 we present the charged Higgs mass as a function of the cut-off scale for type I (left) and type II (right). In the type I model it can be clearly seen that there is a range of masses, both for the charged and pseudoscalar, that survive up to the Planck scale. In particular, acceptable charged masses are above the LEP bound but below 200 GeV. Therefore the type I model survives up to the Planck scale if the charged Higgs boson and/or the pseudoscalar are light. On the other hand, in type II, the stringent bound of 580 GeV not only precludes the possibility of a light charged scalar but the theory ceases to be valid already at $\Lambda \approx 150$ GeV. Even if we consider only the appearance of Landau poles the type II model is valid only up to about 1.5 TeV.

In fact, in type I, it is not only the charged Higgs boson that needs to be light for the model to be valid up to the Planck scale. In Fig. 10 we show $m_A - m_{H^\pm}$ vs. $m_h - m_{H^\pm}$ for type I (left) and type II (right). The colour code shows at which energy either a Landau pole occurs or one of the theoretical conditions is violated. It is clear that for type I to be valid up to the Planck scale the pseudoscalar also needs to be light, and in fact all mass differences have to be below 100 GeV. We have also checked that the value of $M$ has to be of the same order and lies between 40 GeV and 120 GeV. The cut-off scale has no major influence on the range\(^6\) of $\sin(\beta - \alpha)$ nor

---

\(^6\)Note that in the heavy Higgs scenario the alignment limit is attained for $\sin(\beta - \alpha) \approx 0$. 

on the range of tan $\beta$. In fact, there is only a slight reduction in the allowed region with a slight increase in the lower bound of tan $\beta$, which moves closer to tan $\beta > 4$.

The situation is radically different in the type II model, as can be appreciated from the plot on the right in Fig. 10. We may conclude from that plot that, due to the bound on the charged Higgs mass, the type II model barely survives up to a scale of 200 GeV. Once again, this is due to the fact that validity of the type II model up to high scales eliminates the possibility of non-decoupling regimes, of which the heavy Higgs scenario is certainly one. However, there are regions in the parameter space of type I, in the heavy Higgs scenario, valid up to the Planck scale, which is certainly surprising. The conditions for this to happen are $m_{H^\pm} \approx m_A \approx M$ and all masses below about 200 GeV. There is no preferred value of the lightest scalar mass even when one requires the model to be valid up to the Planck scale.\footnote{It is easier to fit the existing data with $m_h$ above roughly 62.5 GeV, but that is due to possible $H \to hh$ decays potentially enlarging the branching ratio of $H$ beyond what is acceptable to be compatible with the LHC data on the Higgs rates.}

And as in the case of the light Higgs scenario, the running does not force the type I model to move further close to the alignment limit.

7 High scale behaviour and 2HDM symmetries

The potential presented in Eq. (2.1) is $Z_2$ symmetric, softly broken by the $m_{12}^2$ (or $M$) term. The potential has an exact $Z_2$ symmetry when $M = 0$. We have shown in Fig. 2 (left) $M$ as a function of the cut-off scale $\Lambda$ in the type II 2HDM while in Fig. 6 we show the same plot but now for type I. These plots allow us to analyse the possibility of the $Z_2$ symmetry actually being an \textit{exact} symmetry, unbroken even softly. To do that, we simply need to investigate the possibility of $M$ - and therefore $m_{12}^2$ - being equal to zero while the 2HDM is still valid up to high energy scales.

Now, it is quite clear from Fig. 2 (left) that $M = 0$ is only a possibility for type II if the validity scale of the model is well below 1 TeV\footnote{We differ from previous calculations [29,31,32] due to the higher charged Higgs mass bound, which had used a value of 350 GeV.} – thus one can conclude that a type II model with an exact $Z_2$ symmetry is already strongly ruled out. For the type I model, Fig. 6 shows
that $M = 0$ is a possibility for theories valid up to scales of roughly 10 TeV, but no more than that. Thus an exact $Z_2$ symmetry is a possibility for a type I model, but only if new physics is present at $\sim 10$ TeV. Once again, the explanation for this is due to the fact that most non-decoupling regimes are excluded if one considers the 2HDM valid to very high energies — and the motivation for introducing a soft breaking term in the potential is indeed to allow for the possibility of a decoupling regime occurring. Still, as we have showed for type I, although there is no decoupling in the heavy Higgs scenario, it is only the existence of the term $m^2_{12}$ that allows the model to survive up to the Planck scale. With $m^2_{12}$ absent, the 2HDM becomes a non-decoupled theory, where some quantities (such as the diphoton width) never conform to SM expectations [44].

We were also able to investigate the possibility of the 2HDM possessing a $U(1)$ Peccei-Quinn symmetry [85]. This symmetry would imply $\lambda_5 = 0$ and in its exact form, also $m^2_{12} = 0$. Again one can break it with a soft breaking term and the symmetry is extended to the Yukawa sector in the same manner as the $Z_2$ model. But in this model the pseudoscalar $A$ is massless if no soft breaking term $m^2_{12}$ is introduced, so we are not interested in the exact symmetry scenario. And since $\lambda_5 = 0$ is enforced by a symmetry, it is a fixed point in the RGE running of the quartic couplings. Thus we may ask if, given all collider constraints existent, and requiring the model to be valid up to very high scales, the RGE running is making the $Z_2$ model tending to the Peccei-Quinn one. This would happen if only values of $\lambda_5$ close to zero — more generically, of magnitude much smaller than the remaining couplings — would survive the running. However, the results show that all $\lambda_i$ have similar allowed ranges at the Planck scale. This was checked for all scenarios presented in this work. We have also verified, with a separate data sample generated specially for this verification, that for a type I Peccei-Quinn model satisfying all LHC constraints, there are regions of parameter space for which the theory is valid all the way up to the Planck scale. In fact, the results for the $U(1)$ model are, in this regard, indistinguishable from those of the $Z_2$ case.

8 Conclusions

We have analysed the high scale behaviour of a softly broken $Z_2$ symmetric 2HDM focusing on two particular Yukawa types, type I and type II. If the lightest CP-even scalar is the 125 GeV one, there are regions of the parameter space for both types that survive up to the Planck scale. This is a confirmation of many previous studies in the literature. There are, however, new and quite interesting results, some of them unexpected that we will now discuss.

One of the most interesting conclusions of our study is that for the model to be close to the alignment limit it is enough to require it to be valid up to about 1 TeV and at the same time to have one of the scalar masses above about 500 GeV (in the specific case of type II $B$-physics bounds force the charged Higgs mass to be above 580 GeV). No other bounds need to be considered to reach this limit. As the scale up to which we want the model to be valid increases, the allowed region of parameter space moves closer and closer to alignment. Therefore, alignment is reached via decoupling – at least a large (above roughly 500 GeV) scalar mass is required.

On the contrary, we have shown that for type I, for which there are no strong bounds on the scalar masses, validity up to the Planck scale will not imply alignment, if the masses are low enough. In fact, even when all experimental constraints are considered, the type I model can be far from alignment, except for large scalar masses where we then recover the results obtained for type II. In this sense, validity up to higher scales (as low as 1 TeV in certain cases),
complemented with one sufficiently large scalar mass (above 500 GeV) implies alignment in the 2HDM, a phenomenon we might call “radiative alignment”. In this sense, alignment in the 2HDM is therefore fundamentally caused by the behaviour of the theory at high scales, instead as, for instance, the occurrence of symmetries – of which the inert doublet model [86–89] is a prime example; another possibility would be the model developed in Ref. [90].

The validity up to high scales of the scenario where the heaviest CP-even scalar is the 125 GeV Higgs was analysed here for the first time. Interestingly, we have shown that there are regions of the parameter space where a type I model, in the heavy Higgs scenario, is valid up to the Planck scale. That is, a model with no decoupling limit can be valid up to the Planck scale. The most interesting point to note is that also in this case it is the soft parameter $M$ that sets the mass scale for validity at high energies. In fact, all masses have to be below about 200 GeV to ensure that the model does not require new physics up to the Planck scale. On the contrary, and again due to the bound on the charged Higgs mass, the type II model in the heavy Higgs scenario does not survive, even to a scale of just a few hundred GeV. In all these scenarios we should highlight the important role played by the parameter $M$. Indeed, because the quartic couplings become increasingly small, all models that survive up to the Planck scale need a non-zero $M$ and all masses are of the order of $M$ (except for the 125 GeV Higgs boson).

The previous result is even more interesting when combined with knowledge that non-decoupling scenarios in type II, such as the wrong sign limit, will not survive to scales as low as a few TeV. As the quartic couplings increase with energy, they have to be quite small to survive the running. Hence, any non-decoupling regime that needs large quartic couplings will not survive to high scales.

Finally we have shown that the model does not approach the exact $Z_2$ symmetry nor the softly broken $U(1)$ symmetry when the validity of the theory is required up to the Planck scale. In fact, the value of $M^2 = 0$ is disallowed for type II already at a scale well below 1 TeV while for type I it happens at a scale of about 10 TeV. As for the softly broken $U(1)$ where $\lambda_5 = 0$, we have shown that requiring the validity of the model up to the Planck scale forces all the quartic couplings to be small so that $\lambda_5$ behaves just like the other quartic couplings.

Appendix

A RGEs for the 2HDM

The one-loop RGEs for the gauge couplings, Yukawa couplings and $\lambda$’s are taken from [91]. The one-loop RGEs for the quadratic parameters $m^2_{ij}$ from eq. Eq. (2.1) are taken from [92]. We define

$$\beta_x = 16\pi^2 \frac{\partial x}{\partial \ln \mu}. \quad (A.1)$$

The RGEs for the $U(1)_Y$, $SU(2)_L$ and $SU(3)$ gauge couplings $g$, $g'$ and $g_s$, respectively, are given as

$$\beta_{g_s} = -7 g_s^3, \quad (A.2)$$

$$\beta_g = -3 g^3, \quad (A.3)$$

$$\beta_{g'} = 7 g'^3. \quad (A.4)$$

$$\beta_{\lambda} = -3 \lambda^2. \quad (A.5)$$
For the Yukawa sector (see below Eqs. (A.39)–(A.41) for the definition of the Yukawa matrices $Y_x$) we have in type I

\begin{align*}
\beta_{Y_u} &= a_u Y_u + T_{22} Y_u - \frac{3}{2} \left( Y_d Y_d^\dagger - Y_u Y_u^\dagger \right) Y_u, \\ \beta_{Y_d} &= a_d Y_d + T_{22} Y_d + \frac{3}{2} \left( Y_d Y_d^\dagger - Y_u Y_u^\dagger \right) Y_d, \\ \beta_{Y_e} &= a_e Y_e + T_{22} Y_e + \frac{3}{2} Y_e Y_e^\dagger Y_e,
\end{align*}

and in type II

\begin{align*}
\beta_{Y_u} &= a_u Y_u + T_{22} Y_u + \frac{1}{2} \left( Y_d Y_d^\dagger + 3 Y_u Y_u^\dagger \right) Y_u, \\ \beta_{Y_d} &= a_d Y_d + T_{11} Y_d + \frac{1}{2} \left( Y_u Y_u^\dagger + 3 Y_d Y_d^\dagger \right) Y_d, \\ \beta_{Y_e} &= a_e Y_e + T_{11} Y_e + \frac{3}{2} Y_e Y_e^\dagger Y_e,
\end{align*}

with

\begin{align*}
a_d &= -8 g_a^2 - \frac{9}{4} g^2 - \frac{5}{12} g'^2, \\ a_u &= -8 g_d^2 - \frac{9}{4} g^2 - \frac{17}{12} g'^2, \\ a_e &= -\frac{9}{4} g^2 - \frac{15}{4} g'^2.
\end{align*}

For type I we define

\begin{align*}
T_{11} &= 0, \\
T_{22} &= 3 Y_u^\dagger Y_u + 3 Y_d^\dagger Y_d + Y_e^\dagger Y_e,
\end{align*}

and for type II we have

\begin{align*}
T_{11} &= 3 Y_d^\dagger Y_d + Y_e^\dagger Y_e, \\
T_{22} &= 3 Y_u^\dagger Y_u.
\end{align*}

For the quartic couplings we have in type I

\begin{align*}
\beta_{\lambda_1} &= 12 \lambda_1^2 + 4 \lambda_3^2 + 4 \lambda_3 \lambda_4 + 2 \lambda_4^2 + 2 \lambda_5^2 + \frac{9}{4} g^4 + \frac{3}{2} g'^2 g^2 + \frac{3}{4} g'^4 - 4 \gamma_1 \lambda_1, \\
\beta_{\lambda_2} &= 12 \lambda_2^2 + 4 \lambda_3^2 + 4 \lambda_3 \lambda_4 + 2 \lambda_4^2 + 2 \lambda_5^2 + \frac{9}{4} g^4 + \frac{3}{2} g'^2 g^2 + \frac{3}{4} g'^4 - 4 \gamma_2 \lambda_2 \\
&\quad - 12 \text{Tr} \left[ Y_d^\dagger Y_d Y_d^\dagger Y_d + Y_u^\dagger Y_u Y_u^\dagger Y_u \right] - 4 \text{Tr} \left[ Y_e^\dagger Y_e Y_e^\dagger Y_e \right], \\
\beta_{\lambda_3} &= (\lambda_1 + \lambda_2) \left( 6 \lambda_3 + 2 \lambda_4 \right) + 4 \lambda_3^2 + 2 \lambda_4^2 + 2 \lambda_5^2 + \frac{9}{4} g^4 - \frac{3}{2} g'^2 g^2 + \frac{3}{4} g'^4 - 2 (\gamma_1 + \gamma_2) \lambda_3, \\
\beta_{\lambda_4} &= 2 (\lambda_1 + \lambda_2) \lambda_4 + 8 \lambda_3 \lambda_4 + 4 \lambda_4^2 + 8 \lambda_5^2 - 2 (\gamma_1 + \gamma_2) \lambda_4 + 3 g^2 g'^2, \\
\beta_{\lambda_5} &= 2 (\lambda_1 + \lambda_2 + 4 \lambda_3 + 6 \lambda_4) \lambda_5 - 2 (\gamma_1 + \gamma_2) \lambda_5,
\end{align*}

\begin{align*}
\beta_{\lambda_6} &= 2 (\lambda_1 + \lambda_2 + 4 \lambda_3 + 6 \lambda_4) \lambda_6 - 2 (\gamma_1 + \gamma_2) \lambda_6.
\end{align*}
and in type II

\[ \beta_{\lambda_1} = 12\lambda_1^2 + 4\lambda_2^2 + 4\lambda_3\lambda_4 + 2\lambda_4^2 + 2\lambda_5^2 + 9g^4 + \frac{3}{2}g^2g'^2 + \frac{3}{4}g'^4 - 4\gamma_1\lambda_1 \\
- 12\text{Tr}\left[Y_d^\dagger Y_d Y_d^\dagger Y_d \right] - 4\text{Tr}\left[Y_e^\dagger Y_e Y_e^\dagger Y_e \right], \]  
(A.24)

\[ \beta_{\lambda_2} = 12\lambda_2^2 + 4\lambda_3^2 + 4\lambda_3\lambda_4 + 2\lambda_4^2 + 2\lambda_5^2 + 9g^4 + \frac{3}{2}g^2g'^2 + \frac{3}{4}g'^4 - 4\gamma_2\lambda_2 \\
- 12\text{Tr}\left[Y_u^\dagger Y_u Y_u^\dagger Y_u \right], \]  
(A.25)

\[ \beta_{\lambda_3} = (\lambda_1 + \lambda_2)(6\lambda_3 + 2\lambda_4) + 4\lambda_3^2 + 2\lambda_4^2 + 2\lambda_5^2 + 9g^4 - \frac{3}{2}g^2g'^2 + \frac{3}{4}g'^4 - 2(\gamma_1 + \gamma_2)\lambda_3 \\
- 12\text{Tr}\left[Y_d^\dagger Y_d Y_u^\dagger Y_u \right], \]  
(A.26)

\[ \beta_{\lambda_4} = 2(\lambda_1 + \lambda_2)\lambda_4 + 8\lambda_3\lambda_4 + 4\lambda_3^2 + 2\lambda_4^2 + 2\lambda_5^2 - 2(\gamma_1 + \gamma_2)\lambda_4 + 3g^2g'^2 \\
+ 12\text{Tr}\left[Y_d^\dagger Y_d Y_u^\dagger Y_u \right], \]  
(A.27)

\[ \beta_{\lambda_5} = 2(\lambda_1 + \lambda_2 + 4\lambda_3 + 6\lambda_4)\lambda_5 - 2(\gamma_1 + \gamma_2)\lambda_5, \]  
(A.28)

with

\[ \gamma_1 = \frac{9}{4}g^2 + \frac{3}{4}g'^2 - T_{11}, \]  
(A.29)

\[ \gamma_2 = \frac{9}{4}g^2 + \frac{3}{4}g'^2 - T_{22}. \]  
(A.30)

For the dimensionful couplings we have

\[ \beta_{m_{11}^2} = 6\lambda_1m_{11}^2 + (4\lambda_3 + 2\lambda_4)m_{22}^2 - 2\gamma_1m_{11}^2, \]  
(A.31)

\[ \beta_{m_{22}^2} = (4\lambda_3 + 2\lambda_4)m_{11}^2 + 6\lambda_3m_{22}^2 - 2\gamma_2m_{22}^2, \]  
(A.32)

\[ \beta_{m_{12}^2} = (2\lambda_3 + 4\lambda_4 + 6\lambda_5)m_{12}^2 - (\gamma_1 + \gamma_2)m_{12}^2. \]  
(A.33)

The RGEs for the VEVs are given by [93,94]

\[ \beta_{v_1} = \gamma_1v_1, \]  
(A.34)

\[ \beta_{v_2} = \gamma_2v_2. \]  
(A.35)
Our starting values are given by

\[ g_s = \sqrt{4 \pi \alpha_s}, \quad (A.36) \]
\[ g = \frac{2 m_W}{v}, \quad (A.37) \]
\[ g' = 2 \sqrt{\frac{m_Z^2 - m_W^2}{v}}, \quad (A.38) \]
\[ Y_u = \sqrt{2} \left( \begin{array}{ccc} m_u & 0 & 0 \\ 0 & m_e & 0 \\ 0 & 0 & m_t \end{array} \right), \quad (A.39) \]
\[ Y_d = \frac{\sqrt{2}}{v_d} V_{CKM} \left( \begin{array}{ccc} m_d & 0 & 0 \\ 0 & m_s & 0 \\ 0 & 0 & m_b \end{array} \right) V_{CKM}^\dagger, \quad (A.40) \]
\[ Y_e = \frac{\sqrt{2}}{v_e} \left( \begin{array}{ccc} m_e & 0 & 0 \\ 0 & m_\mu & 0 \\ 0 & 0 & m_\tau \end{array} \right), \quad (A.41) \]
\[ V_{CKM} = 1_{3 \times 3}, \quad (A.42) \]

where \( \alpha_s = g_s^2/(4\pi) \) is the strong coupling constant. In type I we have

\[ v_e = v_2, \quad (A.43) \]
\[ v_d = v_2, \quad (A.44) \]

and in type II

\[ v_e = v_1, \quad (A.46) \]
\[ v_d = v_1. \quad (A.47) \]

The fermion masses are chosen as \([95–98]\)

\[ m_u = 0.1 \text{ GeV}, \quad (A.48) \]
\[ m_c = 1.51 \text{ GeV}, \quad (A.49) \]
\[ m_t = 172.5 \text{ GeV}, \quad (A.50) \]
\[ m_d = 0.1 \text{ GeV}, \quad (A.51) \]
\[ m_s = 0.1 \text{ GeV}, \quad (A.52) \]
\[ m_b = 4.92 \text{ GeV}, \quad (A.53) \]
\[ m_e = 0.51099892810^{-3} \text{ GeV}, \quad (A.54) \]
\[ m_\mu = 0.1056583715 \text{ GeV}, \quad (A.55) \]
\[ m_\tau = 1.77682 \text{ GeV}. \quad (A.56) \]

The VEV is given by

\[ G_F = 1.1663787 \cdot 10^{-5} \text{ GeV}^{-2}, \quad (A.57) \]
\[ v = \frac{1}{\sqrt{\sqrt{2} G_F}}, \quad (A.58) \]
and the strong coupling is

\[ \alpha_s = 0.119. \]  

(A.59)

The W and Z boson masses are given by [95,96]

\[ m_W = 80.385 \text{ GeV}, \]  

(A.60)

\[ m_Z = 91.1876 \text{ GeV}. \]  

(A.61)

Acknowledgments

We would like to thank Jonas Wittbrodt for useful discussions and for providing the 2HDM samples. PB acknowledges financial support by the “Karlsruhe School of Elementary Particle and Astroparticle Physics: Science and Technology (KSETA)”. MM acknowledges financial support from the DFG project “Precision Calculations in the Higgs Sector - Paving the Way to the New Physics Landscape” (ID: MU 3138/1-1). PF and RS are supported in part by the National Science Centre, Poland, the HARMONIA project under contract UMO-2015/18/M/ST2/00518.

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