Impact of anesthesia methods on perioperative systemic inflammation and long-term outcomes in patients undergoing surgery for hepatocellular carcinoma: a propensity score-matched analysis

Hao Zhang1, Kefang Guo1, Xingfeng Sun1, Yi Liu4,5, Ziwen Zhong1, Wenchang Zhou1, Weian Zeng6, Lu Wang7, Juan P. Cata2,3, Wankun Chen1, Changhong Miao1

1Department of Anesthesiology, Zhongshan Hospital, Fudan University, Shanghai, China; 2Department of Anesthesiology and Perioperative Medicine, The University of Texas MD Anderson Cancer Centre, Houston, USA; 3Anaesthesiology and Surgical Oncology Research Group, Houston, USA; 4Department of Anesthesiology, Fudan University Shanghai Cancer Centre, Shanghai, China; 5Department of Oncology, Shanghai Medical College, Fudan University, Shanghai, China; 6Department of Anesthesiology, Sun Yat-sen University Cancer Centre, State Key Laboratory of Oncology in South China, Collaborative Innovation Centre for Cancer Medicine, Guangzhou, China; 7Department of Liver Surgery, Shanghai Cancer Center, Shanghai Medical College, Fudan University, Shanghai, China

Contributions: (I) Conception and design: H Zhang, W Chen, JP Cata; (II) Administrative support: X Sun, K Guo, Y Liu, Z Zhong; (III) Provision of study materials or patients: L Wang, W Zeng, W Zhou; (IV) Collection and assembly of data: X Sun, K Guo, Y Liu, Z Zhong; (V) Data analysis and interpretation: H Zhang, W Chen, JP Cata, C Miao; (VI) Manuscript writing: All authors; (VII) Final approval of manuscript: All authors.

*These authors contributed equally to this work.

Correspondence to: Changhong Miao, MD, PhD. Department of Anesthesiology, Zhongshan Hospital, Fudan University, 180# Feng-Lin Road, Shanghai 200032, China. Email: miaochangh@163.com; Wankun Chen, MD, PhD. Department of Anesthesiology, Zhongshan Hospital, Fudan University, 180# Feng-Lin Road, Shanghai 200032, China. Email: chenwank@163.com; Juan P. Cata, MD. Department of Anesthesiology and Perioperative Medicine, The University of Texas MD Anderson Cancer Centre, Unit-409, 1515 Holcombe Blvd, Houston, Texas 77030-4009, USA. Email: jcata@mdanderson.org.

Background: Recent studies have shown regional anesthesia might improve the survival of cancer patients. We hypothesized that general-epidural anesthesia (GEA) was associated with longer survival than general anesthesia (GA) in patients undergoing hepatocellular carcinoma (HCC) resections.

Methods: A retrospective study included patients who received curative resection for HCC between January 2014 to December 2017. Patients were grouped in GEA vs. GA. After propensity score matching, perioperative inflammatory scores were calculated. Grade of postoperative complications, length of stay (LOS), dosage of sufentanil used and times of patients requiring rescue analgesia in both groups were compared for intraoperative and postoperative parameter. Survival curves were constructed from the date of surgery to death, univariable and multivariable Cox regression models were used to compare hazard ratios for death.

Results: A total of 772 patients were included in the study. With 386 patients in GA group and 386 patients in GEA group. After propensity score matching, the demographic and baseline biomarkers in the two groups were similar. Patients in GEA group showed significantly lower inflammatory scores. Grade of postoperative complications, LOS, opioid use, and times of patients requiring rescue analgesia was significantly lower in the GEA group. The overall survival (OS) and disease-free survival (DFS) rate was significantly lower in the GA group (54.2% vs. 62.3%, 41.2% vs. 52.5%, P<0.001). The multivariate analysis indicated the GA was associated with shorter OS (HR: 1.28, 95% CI: 1.07–2.02, P<0.001) and DFS (HR: 1.06, 95% CI: 1.03–1.71, P<0.001).

Conclusions: GA combined with epidural anesthesia is associated with lower levels of inflammation, and longer survival in patients undergoing hepatectomy.
Introduction

Worldwide, the incidence and mortality of liver cancer rank sixth and fourth, respectively, among malignant tumors (1). China is a region with a high incidence of liver cancer, and more than half of the new cases of liver cancer in the world every year occur in China (1-3). Surgical resection is still the preferred method for liver cancer treatment (4), but surgical trauma can lead to a series of systemic metabolic, inflammatory, and neuroendocrine responses, which in turn suppress the immune surveillance function of patients promoting postoperative cancer progression (5,6). Recent study has shown that in addition to surgical trauma, a variety of perioperative factors can affect the anti-tumor immune function and are associated with cancer recurrence (7). Among these factors, increased attention has been paid to the impact of the choice of anesthesia methods on the perioperative anti-tumor immune function and the oncologic long-term prognosis (8-11).

Some inflammatory and immune responses related indicators, such as the neutrophil-to-lymphocyte ratio (NLR), the lymphocyte-to-monocyte ratio (LMR) and the systemic immune-inflammation index (SII), have been proposed as prognostic markers for malignant tumors (12,13). A sub-analysis from an ongoing randomized controlled trial shows that regional analgesia in combination with propofol during breast cancer surgery attenuates the inflammatory response as measured by NLR (14). Another sub-analysis of patients undergoing hepatic resections for non-primary liver cancers demonstrates that epidural anesthesia strongly modulates the perioperative inflammatory response (15). However, it is unclear whether general anesthesia (GA) alone and GA combined with a thoracic epidural block [general-epidural anesthesia (GEA)] can affect those markers and translate in improved oncologic outcomes in patients undergoing liver cancer surgery. We hypothesize that the use of GEA (versus GA) is an independent predictor of longer survival in patients undergoing partial hepatectomy. Therefore, we conducted a retrospective study to evaluate the effect of different anesthetic and analgesic methods on perioperative inflammatory indicators, postoperative metastasis and recurrence and long-term prognosis in patients undergoing partial hepatectomy for primary liver cancers.

We present the following article in accordance with the STROBE reporting checklist (available at http://dx.doi.org/10.21037/atm-20-3704).

Methods

The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). This study was approved by the Ethics Committee of Fudan University Shanghai Cancer Center (FUSCC), China (No. 202003011-3). All patients were consent the data for research use when receive treatment. From January 2014 to December 2017, patients receiving selective curative resection for hepatocellular carcinoma at FUSCC were enrolled in this retrospective cohort. The inclusion criteria were as follows: postoperative pathology shows hepatocellular carcinoma, diagnosis of primary liver cancer stage I–III according to the eighth edition of American Joint Committee on Cancer (AJCC) TNM staging, complete clinical basic information, perioperative laboratory test results and postoperative follow-up data. The exclusion criteria included age younger than 20 years; pathology-confirmed non-hepatocellular cell carcinoma, preoperative chemotherapy and radiotherapy, preoperative infectious diseases, autoimmune diseases and other malignant tumors, loss of follow-up and lack of perioperative hematology records.

Endpoints

The primary endpoints of this study were disease-free survival (DFS) and overall survival (OS). The endpoint of this study was OS, which was defined as the period from the patient’s date of surgery to the time of death or last follow-up. DFS was defined as the interval between the date of surgery and the date of tumor recurrence or December 31, 2018. Follow-up was continued until December 2018.
or until the patient died. Secondary endpoints included NLR, LMR and SII and compare grade of postoperative complications, length of stay (LOS), dosage of sufentanil use and times of patients requiring rescue analgesia in both groups.

**Anesthesia care**

No premedication was given before anesthesia induction. Routine monitoring, including electrocardiography, pulse oximetry, end-tidal carbon dioxide, invasive blood pressure monitoring, and a central venous catheter, was used for each patient. In the GEA group, the patients received epidural puncture at mid-thoracic levels (T7–T9), followed by insertion of an epidural catheter. The patients were tested with 3 mL of 2% lidocaine through the epidural catheter before anesthesia induction. Patients in the GEA group received 8 mL of 0.375–0.5% ropivacaine after the induction of GA, plus 4 mL of ropivacaine every 50 min until the end of surgery. At the end of the operation, the patients in the GEA group received a patient-controlled epidural analgesia (PCEA) pump (0.1% ropivacaine and 0.5 μg/mL sufentanil, background 3 mL/h, bolus 4 mL, lockout time 15 min) for 48 h.

In all patients, GA was induced with sufentanil, propofol, and rocuronium in all patients. The patients were then endotracheally intubated and GA maintained with 2.0–3.0% sevoflurane mixed with oxygen/air. Repeated injections of sufentanil and rocuronium were given as necessary during the operation. The patients in the GA group received a patient-controlled intravenous analgesia (PCIA) pump (0.6 μg/mL sufentanil, background 3 mL/h, bolus 4 mL, lockout time 15 min) for 48 h. The PCEA and PCIA pumps were removed on POD 2, and flurbiprofen at a dosage of 100 mg was used for postoperative pain rescue per times in both groups.

We retrospectively collected the data from the database of the FUSCC clinical information system. The medical information, including the baseline demographic characteristics, medical history, primary diagnosis, operative details (procedure type, anesthesia time and estimated blood loss), anesthesia methods, pathology results (tumor encapsulation, size, number, and vascular invasion), grade of surgical complications and the LOS, was recorded and reviewed for each patient. The neutrophil, lymphocyte, monocyte, and platelet counts were recorded within 3 days before surgery (preop), on the first day after surgery (POD 1) and on the third day after surgery (POD 3). NLR, LMR and SII were calculated based from routine laboratory tests. SII was defined as follows: SII = neutrophil × platelet/lymphocyte. We performed 3 years’ follow-up (every three months in the 1st and 2nd year and every 6 months in the 3rd year) by medical record review and telephone contact.

**Statistical analysis**

The primary goal of this retrospective analysis was to compare the effect of different anesthesia methods on the OS and DFS rates of patients with hepatocellular carcinoma who underwent liver tumor resection. The Kaplan-Meier method was used to calculate OS and DFS. The secondary goal of this retrospective analysis was to compare the effects of GA and GEA on the patients’ perioperative inflammatory indicators and inflammatory scores and compare Grade of postoperative complications, LOS, dosage of sufentanil use and times of patients requiring rescue analgesia in both groups.

Categorical data are expressed as N (%), and the chi-square test was used for differential analysis; continuous data are expressed as the mean ± standard deviation, and the t-test for two independent samples was used for differential analysis. The Mann-Whitney test was used for differential analysis. Cox proportional hazards regression models were used to compare risk factors between the different groups by using univariate models. A two-sided 5% α level was used to determine the statistically significant variables in the model. Variables that were significant in univariate analysis were put into a multivariate model using the forward conditional method, which was used to fit a multivariate model.

To adjust the selection bias in this retrospective study, we conducted a propensity score matching analysis. The model contained 16 covariates: age, ASA, surgical procedure, anesthesia time, tumor encapsulation, tumor number, preoperative AFP, surgical methods, surgical type, tumor size, TNM staging, vascular invasion, coagulation function, liver cirrhosis, estimated blood loss, blood transfusion. The Greedy 5→1 digit match algorithm was used to match the baseline covariates, so that the two study groups (GEA or GA) would have similar propensity scores. SPSS 17.0 was used to analyse the results, P value <0.05 was considered statistically significant.

**Results**

A total of 801 patients undergoing selective curative resection for hepatocellular carcinoma were enrolled in
this study. After applied the exclusion criteria, 415 patients remained in the GEA group, and 386 remained in the GA group. After propensity score matching analysis, there were 386 patients remained in the GEA group, and 386 remained in the GA group (Figure S1). The patients’ demographics, including age, gender, ASA grade, operative details, pathological results and TNM staging, were similar between the groups (Table 1). The standardized differences for all covariates were 5.36% in the post-matching cohort, suggesting substantial reduction of bias between the two groups (Table 1).

**Primary endpoint**

In this study, the median follow-up time for all patients was 36.2 months (95% CI, 35.7 to 36.6). The Kaplan-Meier survival curves for the GA and GEA groups are shown in Figure 1. The shapes and Cox model analysis of the curves over time suggest that the OS rate at 3 years after surgery was significantly shorter in the patients in the GA group than in the patients in the GEA group (54.2% vs. 62.3%, \( P<0.001 \), Figure 1A), with a hazard ratio of 1.60 (95% CI, 1.23 to 1.73; \( P<0.001 \)). The DFS rate at 3 years after surgery was also significantly lower in the patients in the GA group than in the patients in the GEA group (41.2% vs. 52.5%, \( P<0.001 \); Figure 1B), with a hazard ratio of 1.34 (95% CI, 1.07 to 1.60; \( P<0.001 \)).

The OS and DFS against anesthesia method and other variables were compared in a univariate Cox model and then in a multivariable Cox regression. In the univariate analysis, we observed that the anesthesia method, age, AFP level, vascular invasion and the ASA status were associated with a worse impact on OS and DFS (Table 2). GA was related with an HR (95% CI) of 1.28 (1.07–2.02) for OS and an HR (95% CI) of 1.06 (1.03–1.71) for DFS after multivariable analysis for known confounding factors (Table 3). Other variables related to a significant increase in the hazard of death after multivariable analysis included age, higher AFP level, vascular invasion, and higher ASA status. The multivariate analysis after propensity score matching

| Variable                      | Anesthetic technique (before matching) | P    | Anesthetic technique (after matching) | Standardized difference (%) |
|-------------------------------|----------------------------------------|------|--------------------------------------|----------------------------|
| Age (year)                    | GEA (n=415)                            | 59.9±12.5 | 56.7±11.6 | 0.349 | 56.9±11.5 | 56.7±11.6 | 1.23 |
| Gender, n (%)                 |                                        | 0.309 |                                        |                            | 1.23 |
| Female                        |                                        | 129 (31.1) | 133 (34.7) | 0.309 | 131 (34.1) | 133 (34.7) | 2.24 |
| Male                          |                                        | 286 (68.9) | 253 (65.3) | 0.309 | 255 (65.9) | 253 (65.3) | 2.24 |
| ASA, n (%)                    |                                        | 0.876 |                                        |                            | 1.91 |
| I–II                          |                                        | 339 (81.6) | 328 (85.1) | 0.876 | 326 (84.6) | 328 (85.1) | 1.91 |
| III–IV                        |                                        | 76 (18.4) | 58 (14.9) | 0.876 | 60 (15.4) | 58 (14.9) | 1.91 |
| Surgical procedure, n (%)     |                                        | 0.454 |                                        |                            | 1.09 |
| Open                          |                                        | 258 (62.4) | 230 (59.7) | 0.454 | 233 (60.4) | 230 (59.7) | 1.09 |
| Video-assisted                |                                        | 157 (37.6) | 156 (40.3) | 0.454 | 153 (39.6) | 156 (40.3) | 1.09 |
| Surgical type                 |                                        | 0.521 |                                        |                            | 2.31 |
| Intent upfront surgery        |                                        | 189 (45.5) | 168 (43.5) | 0.521 | 165 (42.7) | 168 (43.5) | 2.31 |
| Salvage upfront surgery       |                                        | 174 (42.0) | 158 (41.0) | 0.521 | 161 (41.7) | 158 (41.0) | 2.31 |
| Palliative upfront surgery    |                                        | 52 (12.5) | 60 (14.5) | 0.521 | 60 (15.6) | 60 (14.5) | 2.31 |
| Surgical methods              |                                        | 0.326 |                                        |                            | 2.60 |
| Non-anatomical liver resection|                                        | 232 (56.0) | 212 (55.0) | 0.326 | 210 (54.4) | 212 (55.0) | 2.60 |

Table 1 (continued)
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| Variable                  | Anesthetic technique (before matching) | P       | Anesthetic technique (after matching) | Standardized difference (%) |
|---------------------------|---------------------------------------|---------|--------------------------------------|-------------------------------|
|                           | GEA (n=415)                           | GA (n=386) |                                     |                               |
| Left hepatectomies        | 101 (24.3)                            | 94 (24.3) | 96 (24.8)                            | 94 (24.3)                    |
| Right hepatectomies       | 82 (19.7)                             | 80 (20.7) | 80 (20.8)                            | 80 (20.7)                    |
| Anesthesia time, n (%)    | 0.474                                 |          |                                      |                               |
| ≤3 h                      | 234 (56.5)                            | 231 (59.9) | 225 (58.5)                           | 231 (59.9)                   | 2.52                        |
| >3 h                      | 181 (43.5)                            | 155 (40.1) | 161 (41.5)                           | 155 (40.1)                   | 1.45                        |
| Liver cirrhosis, n (%)    | 0.793                                 |          |                                      |                               |
| No                        | 134 (32.3)                            | 128 (33.3) | 132 (34.3)                           | 128 (33.3)                   |                               |
| Yes                       | 281 (67.7)                            | 258 (66.7) | 254 (65.7)                           | 258 (66.7)                   |                               |
| Preoperative AFP, n (%)   | 0.560                                 |          |                                      |                               |
| ≤20 ng/mL                 | 278 (67.0)                            | 266 (69.0) | 262 (68.0)                           | 266 (69.0)                   | 3.65                        |
| >20 ng/mL                 | 137 (33.0)                            | 120 (31.0) | 124 (32.0)                           | 120 (31.0)                   |                               |
| Tumor encapsulation, n (%)| 0.658                                 |          |                                      |                               |
| Complete                  | 214 (51.6)                            | 193 (50.0) | 195 (50.6)                           | 193 (50.0)                   | 3.02                        |
| None                      | 201 (48.4)                            | 193 (50.0) | 191 (49.4)                           | 193 (50.0)                   |                               |
| TNM staging, n (%)        | 0.808                                 |          |                                      |                               |
| I–II                      | 324 (78.3)                            | 282 (73.3) | 281 (72.9)                           | 282 (73.3)                   | 5.36                        |
| III                       | 91 (21.7)                             | 104 (26.7) | 105 (27.1)                           | 104 (26.7)                   |                               |
| Tumor size, n (%)         | 0.375                                 |          |                                      |                               |
| ≤5 cm                     | 307 (74.2)                            | 296 (76.7) | 294 (76.2)                           | 296 (76.7)                   | 4.23                        |
| >5 cm                     | 108 (25.8)                            | 90 (23.3)  | 92 (23.8)                            | 90 (23.3)                    |                               |
| Tumor number, n (%)       | 0.413                                 |          |                                      |                               |
| Single                    | 299 (72.1)                            | 288 (74.8) | 282 (73.1)                           | 288 (74.8)                   | 3.25                        |
| Multiple                  | 116 (27.9)                            | 98 (25.2)  | 104 (26.9)                           | 98 (25.2)                    |                               |
| Vascular invasion, n (%)  | 0.756                                 |          |                                      |                               |
| No                        | 304 (73.2)                            | 314 (81.2) | 309 (80.2)                           | 314 (81.2)                   | 1.02                        |
| Yes                       | 111 (16.8)                            | 72 (18.8)  | 77 (19.8)                            | 72 (18.8)                    |                               |
| Estimated blood loss, n (%)| 0.560                                 |          |                                      |                               |
| ≤400 mL                   | 312 (75.4)                            | 265 (68.7) | 264 (68.4)                           | 265 (68.7)                   | 1.68                        |
| >400 mL                   | 103 (24.6)                            | 121 (31.3) | 122 (21.6)                           | 121 (31.3)                   |                               |
| Intraop Blood transfusion, n (%) | 0.816     |          |                                      |                               |
| No                        | 336 (81.0)                            | 315 (81.6) | 314 (81.4)                           | 315 (81.6)                   | 2.36                        |
| Yes                       | 79 (19.0)                             | 71 (19.4)  | 72 (18.6)                            | 71 (19.4)                    |                               |

Data shown as mean ± SD or n (%). Grade of surgical complications: Clavien-Dindo classification. GEA, general anesthesia combined with thoracic epidural anesthesia; GA, general anesthesia; AFP, alpha-fetoprotein; ASA, American Society of Anesthesiologists. P ≤0.05 was considered statistically significant.
Zhang et al. Anesthesia methods impact short and long-term outcome in HCC surgery patients

Figure 1 Impact of anesthesia methods on long-term outcomes in patients undergoing surgery for hepatocellular carcinoma. (A) OS curves from the date of surgery by anesthesia method; (B) DFS curves from the date of surgery by anesthesia method. OS, overall survival; DFS, disease-free survival; GEA, general-epidural anesthesia; GA, general anesthesia.

Table 2 Univariate analysis of OS and DFS

| Variables                          | OS                  | DFS                  |
|------------------------------------|---------------------|----------------------|
|                                    | HR (95% CI)         | P value              | HR (95% CI)         | P value  |
| Anesthesia (general anesthesia)    | 1.60 (1.23, 1.73)   | <0.001               | 1.34 (1.07, 1.60)   | <0.001   |
| Age (years)                        | 1.07 (1.01, 1.14)   | 0.030                | 1.10 (1.03, 1.18)   | 0.010    |
| Gender (male)                      | 0.63 (0.08, 5.15)   | 0.665                | 0.92 (0.53, 1.59)   | 0.764    |
| Liver cirrhosis (yes)              | 1.10 (0.39, 1.27)   | 0.237                | 1.17 (0.03, 1.27)   | 0.072    |
| AFP (>20 ng/mL)                    | 1.69 (1.13, 2.53)   | 0.011                | 1.44 (1.04, 1.98)   | 0.026    |
| Tumor encapsulation (none)         | 2.36 (1.02, 5.42)   | 0.044                | 1.55 (0.90, 2.67)   | 0.115    |
| Tumor staging (III–IV)             | 1.80 (0.96, 3.35)   | 0.066                | 1.47 (1.06, 2.04)   | 0.022    |
| Tumor size (>5 cm)                 | 1.39 (0.72, 2.70)   | 0.323                | 1.20 (0.78, 1.83)   | 0.410    |
| Tumor number (multiple)            | 1.22 (0.72, 2.06)   | 0.462                | 1.37 (0.91, 2.08)   | 0.132    |
| Vascular invasion (yes)            | 3.45 (1.83, 6.53)   | <0.001               | 2.38 (1.34, 4.21)   | 0.003    |
| ASA (III–IV)                       | 1.89 (1.14, 3.14)   | 0.013                | 2.77 (1.17, 6.54)   | 0.021    |
| Surgical procedure (video-assisted)| 1.10 (0.41, 2.97)   | 0.845                | 1.34 (0.52, 3.41)   | 0.545    |
| Blood loss (>400 mL)               | 1.35 (0.52, 3.52)   | 0.538                | 1.09 (0.63, 1.90)   | 0.751    |
| Anesthesia time (>3 h)             | 1.39 (0.66, 2.93)   | 0.395                | 1.34 (0.59, 3.04)   | 0.482    |

AFP, alpha-fetoprotein; ASA, American Society of Anesthesiologists; OS, overall survival; DFS, disease-free survival. P≤0.05 was considered statistically significant.

analysis demonstrated that GA were independent predictors of poor survival and high risk of recurrence (HR 1.28, 95% CI: 1.07–2.02, P<0.001; HR 1.06, 95% CI: 1.03–1.18, P<0.001), respectively (Table 3).

Secondary outcomes

There was no statistically significant difference in preoperative inflammatory indicators [systemic inflammation-based scores (NLR, LMR and SII)] between the two groups (P=0.331, P=0.743, P=0.252; Figure 2A,B,C, respectively). The NLR and SII were significantly increased in all patients on the first day after the operation compared with before the operation (P<0.001; Figure 2A,C, respectively), and they were slightly decreased on the third day after the operation compared with the first day after the operation (POD1) but still higher than before the operation (P<0.05, respectively, Figure 2A,C). The
LMR was significantly decreased in all patients on the first day after the operation (POD1) compared with before the operation (P<0.001, Figure 2B). On the first and third days after the operation, both NLR and SII were significantly lower in the patients in the GEA group than in the patients in the GA group (P<0.001, respectively, Figure 2A,C), whereas LMR was significantly higher in the patients in the GEA group than in the patients in the GA group (P<0.001, Figure 2B). Notably, the incidence of postoperative complications in the patients in the GEA group was lower (P<0.001, Figure 3A), and the average hospital stay of the patients in the GEA group was 7.4 days, which was less than that (10.8 days) of the patients in the GA group (P<0.001, Figure 3B). The average intraoperative sufentanil use and postoperative times of patients requiring rescue analgesia, were significantly lower in the GEA than in the GA group (P<0.001, 31.6 vs. 51.3, 1.6 vs. 4.6, respectively, Figure 3C,D).

**Discussion**

Surgery is one of the most important treatment methods for solid tumors, a series of studies have shown that surgery itself can promote the dissemination of circulating tumor cells, and surgical trauma can promote angiogenesis, further inhibit the already fragile anti-tumor immune function of cancer patients, and promote the dissemination and progression of micrometastases, thereby affecting the long-term prognosis of cancer patients (5,16,17).

An increasing number of studies are paying close attention to the effects of anesthesia and other perioperative factors on the perioperative immune function and long-term prognosis of cancer patients (18).
Previous studies have shown that epidural anesthesia and postoperative analgesia can reduce the use of general anesthetics, especially opioids, during the operation, prevent harmful stimuli from being transmitted into the central nervous system, provide a better analgesic effect, and reduce excessive stress responses caused by surgical trauma, thereby better protecting the immune function of cancer patients (8,19,20). Mounting evidence suggests that the systemic inflammatory response plays an important role in the pathogenesis and progression of tumors and is related to the OS of tumor patients (21,22). Several indicators related to inflammatory response, such as NLR, LMR, and SII, have been noted for prognosis-related indicators in malignancies (23-25). However, the effects of epidural anesthesia and analgesia on perioperative systemic inflammatory indicators in patients undergoing liver cancer surgery are still unclear. The results of this study show that patients who received GEA had fewer fluctuations in perioperative systemic inflammatory response, indicating that epidural anesthesia and analgesia can better protect the anti-tumor immune function of patients undergoing selective curative resection for hepatocellular carcinoma. This result is also consistent with our previous findings in colorectal cancer patients (12).

Postoperative acute pain is the main cause of hypothalamic-pituitary-adrenal axis (HPA axis) activation, which can lead to decreased NK cell activity and Th cell imbalance as well as immunosuppression (26,27). Opioids are one of the main drugs used for postoperative analgesia, but studies have shown that opioids can suppress anti-tumor immune function both directly and indirectly (28). The results of this study show that patients who received epidural anesthesia and analgesia used less opioids and had fewer instances of postoperative rescue analgesia, which indirectly suggests that patients who received epidural anesthesia and analgesia had an even better postoperative analgesic effect with the use of less opioids, which may also be the reason for the lower fluctuation in perioperative systemic inflammatory indicators in the patients of the GEA group.

For a long time, there has been controversy about the relationship between anesthesia methods and the long-
Conclusions

In conclusion, this study suggests that epidural anesthesia and analgesia may reduce the impact of surgical trauma and stress on the perioperative systemic inflammatory response in patients undergoing scheduled liver cancer surgery, may protect the immune surveillance function after surgery and improve the long-term prognosis of patients with primary liver cancers. The results of this study need to be further validated by randomized controlled trials.

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Footnote

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