Limiting Lamport Exposure to Distant Failures in Globally-Managed Distributed Systems

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Abstract

Globalized computing infrastructures offer the convenience and elasticity of globally managed objects and services, but lack the resilience to distant failures that localized infrastructures such as private clouds provide. Providing both global management and resilience to distant failures, however, poses a fundamental problem for configuration services: How to discover a possibly migratory, strongly-consistent service/object in a globalized infrastructure without dependencies on globalized state? Limix is the first metadata configuration service that addresses this problem. With Limix, global strongly-consistent data-plane services and objects are insulated from remote gray failures by ensuring that the definitive, strongly-consistent metadata for any object is always confined to the same region as the object itself. Limix guarantees availability bounds: any user can continue accessing any strongly consistent object at distance $\Delta$ away, insulated from failures outside a small multiple of $\Delta$. We built a Limix metadata service based on CockroachDB. Our experiments on Internet-like networks and on AWS, using realistic trace-driven workloads, show that Limix enables global management and significantly improves availability over the state-of-the-art.

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1 Introduction

Organizations today face a choice between localized and globalized computing infrastructure, each alternative carrying important tradeoffs. Localized infrastructure hosted at the organization’s own site(s), such as private clouds, carry higher internal management burdens but offer greater local autonomy, resilience to distant failures beyond the organization’s control, and can be necessary to satisfy data privacy or digital sovereignty concerns. Globalized infrastructure such as public clouds, in contrast, offer many global management benefits: e.g., the convenience of instantiating objects or services on demand without worrying about their location, maximum elasticity in provisioning and adapting to changes in load, and the ability to migrate existing data and services without having to interrupt access or change their names.

Is it possible to achieve the local autonomy, failure resilience, and digital sovereignty benefits of localized infrastructure, together with the global management benefits of today’s public clouds? Achieving the best of both worlds appears fundamentally hard, in part because this choice boils down to a basic indirection conundrum. For clients to find and access localized infrastructure such as private cloud services, we can simply embed a locally-scoped or already resolved location directly into the identifiers of objects and services.
being accessed. Accesses by local clients to local objects can be simple, robust, and insulated from remote failures or network partitions outside the relevant domain, as illustrated in Figure 1(a). But these objects are then “fixed” and cannot be migrated or managed globally without changing their names.

Global management, in contrast, requires “another level of indirection”: typically a distributed coordination or metadata service, allowing clients located anywhere to discover the location and status of any object or service of interest. These services, however, expose clients to global gray failures [21] such as network partitions [4, 17, 20], misconfigurations [28, 30], or cascading failures [4, 6, 20, 26], far beyond the organization’s geographic locality or domain of control – i.e., exposure to “the failure of a computer you didn’t even know existed.” This global failure exposure usually applies even when both the client and the target data or service are localized to the same network or region and have connectivity in the underlying network [4, 6]. Even if the target data might be weakly consistent [12, 16, 25], metadata is usually strongly consistent for many reasons [5, 23] such as correct liveness determination, access control, and accounting. Dependence on globally geo-replicated metadata, however, can prevent even local clients from accessing local data if a majority of metadata replicas fail or become unreachable, as illustrated in Figure 1(b).

To address this conundrum we introduce Limix, the first distributed coordination architecture that enables global management while also guaranteeing that localized accesses within a region of interest are insulated from global failures beyond that region, as illustrated in Figure 1(c). Limix ensures that the definitive, strongly-consistent metadata for any data-plane object or service is always collocated in the same region as the object itself. Metadata in Limix thus enjoys a fate-sharing relationship [11] with both the target object and with any local clients accessing the object from within the same region – such as within an organization’s own internal network, or within a relevant geopolitical domain such as a country. Metadata remains strongly-consistent and geo-replicated, but Limix confines the definitive replicas of an object’s strongly-consistent metadata to the same region as the object itself.

Cell-based configuration services like Physalia [6] improve failure resilience for users within the same cell. These provider-managed cells, however, do not offer users direct transparency into or control over each user’s Lamport exposure – the set of infrastructure components whose failure could affect the user – as discussed later in Section 2.1. Further, cell-based configuration services offer no guarantees for user activity that crosses cell boundaries.

**Challenges and Contributions.** Limix’s design decisions for practical global manageability and resilience become apparent when we target applications where the locations from which data-plane items are accessed change dynamically. Data stores and locality management services with dynamic data access locality already migrate strongly-consistent data close to users [5, 23]. Limix ensures that users can continue accessing such nearby items under remote failures even during migration, while preserving strongly-consistent access.

Constraining the placement of strongly-consistent metadata in localities creates the further efficiency and scalability challenge of enabling any clients outside an object’s current region to find the object without incurring the costs of either replicating all location information proactively across all regions, or potentially having to search all regions during any metadata query. Limix builds on techniques from compact graph summarization theory [31, 32] to limit the bandwidth and processing costs of these global searches to a small multiple of the baseline cost of querying a single global metadata service. The metadata-access costs of Limix’s failure insulation is thus only about 2× in the common case of an object administratively localized to a single region. Since metadata query costs usually represent only a small fraction of the total “end-to-end” costs of accessing most data-plane services, a 2× metadata query cost increase is insignificant overall to most applications, and is much lower than the N× metadata cost increase that cell-based architectures with N distributed discovery services (or the proactive replication of location hints across all N regions) would otherwise incur. Further, Limix ensures by design that not only availability but also metadata access latencies observed by local clients are insulated from global outages or slowdowns, and that they closely reflect the best local communication latencies available on the underlying network.

To evaluate Limix’s applicability and performance, we prototyped a Limix configuration service for an exposure-limiting key/value store. For metadata/configuration storage, our prototypes use CockroachDB [23], a widely-used, strongly-consistent distributed data store. Our experiments running realistic workloads based on metropolitan traffic traces on AWS, and on a testbed simulating realistic scenarios, show that Limix outperforms Physalia’s availability during reconfigurations while providing strong availability guarantees. Our experiments further explore the tradeoffs between Limix’s overheads and availability guarantees: at scale, the dynamic load overhead is logarithmic in the number of nodes and network width.

In summary, the contributions of this paper are: (1) The design of Limix, the first distributed metadata coordination service that enables global management while protecting local accesses from distant failures. (2) An autozoning scheme ensuring by design that a user accessing any data at a distance Δ away is protected from all failures occurring beyond a small multiple of Δ. (3) A prototype implementation of Limix and Physalia on top of CockroachDB with a comparative evaluation.

2 Background and Motivation

This section gives the necessary background for a strongly-consistent configuration service like Limix. We first explain
that the CAP theorem imposes restrictions on the available Limix can achieve, and that Limix does not conflict with the CAP theorem when prioritizing availability of local accesses. Second, we focus on Limix choice to prioritize user-perceived availability, for the data-plane objects that matter to users. Reducing the Lamport exposure, which is the user-centric viewpoint of Limix, contrasts to Physalia’s provider-centric viewpoint, i.e., blast radius. Our discussions with risk-sensitive customers share Limix’s viewpoint. Finally, we argue that access locality is prevalent in globalized applications, and thus, Limix’s focus on shielding local user activity leads to a sizeable increase in user-perceived availability.

2.1 Lamport exposure and blast radius

We informally define the Lamport exposure of any given user $U$ as the set of computing infrastructure – i.e., every “computer $U$ didn’t even know existed” – that “can render $U$’s own computer unusable” [7]. Lamport exposure is thus meaningful only with respect to the activities of some user $U$.

Limix seeks to place a strong bound or “shield” on the Lamport exposure of any user $U$ whenever $U$ accesses data or services that are local, i.e., close to $U$ by any suitable distance metric. We may define locality based on administrative boundaries such as those of an organization or a country, or via metrics such as round-trip time (RTT). The availability of $U$’s local accesses should be unaffected by remote failures and partitions beyond Limix’s Lamport exposure shield: not just by individual server failures but also by network partitions and gray failures, or partial failures that can cascade into correlated failures and partial partitions. Gray failures have a multitude of causes including malfunctioning switches and software bugs that partially drop traffic or prevent simplex communication [4, 17, 20, 26]. Figure 2(a) illustrates a Lamport exposure bound shielding a user’s accesses to an object at distance $\Delta$ from failures beyond a distance $\varepsilon\Delta$, for some small factor $\varepsilon \geq 1$ (ideally 1, but this may be unachievable).

Locality matters. By limiting Lamport exposure, Limix seeks to offer availability guarantees to users for the data and services that matter most to them, which are often local. It is already common for data stores to migrate data close to users to improve performance [5, 23], even without guaranteeing availability. Privacy and sovereignty considerations often motivate organizations or governments to require a user’s “data at rest” to remain within the user’s country, or a region such as the EU – even if these policies cannot currently ensure that this data will remain accessible despite outages beyond the relevant borders. Similar considerations motivate many organizations to confine their most-critical data and applications to local infrastructure such as private clouds, giving up the benefits of global management. In general, people more willingly trust organizations and services perceived to be more local [13, 27]. We hope that Limix might enable providers to offer services to more locality- and sovereignty-conscious users who might currently avoid globally-managed infrastructure entirely.

In contrast to Limix, Physalia [6] is a cell-based architecture that aims for higher availability by limiting the blast radius of a failure. Blast radius represents the system components and objects that could be affected by the propagation of a failure or partition (Figure 2(b)). Blast radius is thus a complementary concept focused (or “centered”) on the location of a failure, rather than on the location of a user potentially affected by it. From an infrastructure provider’s perspective, reducing blast radius can reduce the number of users affected by any single failure. Being focused on the locations of failures and heavily dependent on internal details of the provider’s infrastructure, however, blast radius does not offer obvious guarantees that appear directly meaningful to users.

2.2 Coordination and the CAP theorem

Strongly-consistent coordination systems (Zookeeper [22], etc [3]) are an essential building block for large scale distributed applications. Distributed applications replicate their state in order to enhance resiliency to failures, and to decrease latency through proximity to clients. But an unsought side-effect is the need to coordinate these replicas. Hence the need for coordination services: These provide a basic set of operations – such as liveness determination, correct identification of the replicas storing a data item, lease holders, access control, accounting, etc. Because these functions need to be correct, coordination services implement consensus among the configuration replicas, ensuring strong consistency of the configuration.

Coordination systems often are not critical to application availability until failures occur. Applications routinely bypass the coordination system for configuration reads using leases [8, 23]. During partitions or failures, however, the data plane cannot bypass the configuration system, because it needs to reconfigure its data replicas. This is when the configuration system becomes critical to application availability and performance. Reconfiguration requires strongly-consistent configuration writes to agree on the new configuration. Until reconfiguration completes, the data-plane may be partially (e.g., operate in read-only mode only) or fully unavailable.

The requirements of configuration systems to be strongly consistent and available seem to conflict with the CAP theorem [15]: under partitions, a strongly-consistent configuration system cannot remain available (on both sides of a partition). However, Limix does not conflict with the CAP the-
2.3 Perceptions of risk-sensitive customers

Recent discussions we had with globalized infrastructure customers—a large company and a non-profit organization, both international—informally confirmed to us their need for services that are globally-managed while providing availability guarantees in the face of distant failures. Applications increasingly move to globalized infrastructures such as public clouds to benefit from the elasticity properties and lower management effort. Despite the flexibility of including custom clauses in the SLA, some customers are reluctant to trust these infrastructures: Because the number of reported outages is still uncomfortably high, they fear the reputation risk. One customer suggested that a good design that guarantees availability should recommend itself before getting to the SLAs. Finally, customers would consider leveraging a service that limits Lamport exposure—one referring to this as “the holy grail”—because its guarantees are well-understood and more meaningful compared to simply limiting the “blast radius” of a failure.

2.4 Estimating prevalence of access locality

We observe that many applications and services exhibit bimodal access locality. A high proportion of accesses are by users mostly in a given country or other region representing the application’s primary customer base or target audience. Other users of these same applications and services, however, tend to be globally distributed, accessing the service from anywhere (e.g., roaming employees or expats). Thus, applications must efficiently support global accessibility for global users, while prioritizing maximum availability and performance for local users representing the most critical target customers.

To test this bimodal-access hypothesis, we use the top 100 websites of five OECD countries (namely United States (US), Switzerland (CH), Spain (ES), Japan (JP) and Australia (AU)) as rough proxies for applications, and examine the access distributions they exhibit. Since these are the most visited websites in their respective countries, we conjecture that they have a strong local presence. In Figure 3, we show that these websites also have a global presence, as 17–56% (JP–AU) of the websites receive at least 50% of their traffic from outside that country. The results of our simple study does not make any assumptions about the consistency models used by the websites, but it suggests that locally-prevalent applications are indeed globally relevant as well.

3 Setting and Goals

In this section we discuss the main system components, our assumptions and our goals.

Limix involves the following concepts:

**Items.** Limix is a configuration service for existing data-planes, such as a key-value store as in our prototype (Section 6). We define as items the access targets, e.g., key-value pairs. Limix manages the configuration of the data plane, which enables lookup and reconfiguration of data-plane item replicas. Limix interfaces with the existing data store to react to item creation and migration/reconfiguration by creating and migrating/changing the configuration.

**Sites.** Sites are the nodes that deploy Limix, which we assume to be connected through a network. For example, sites can be data centers, which is the use-case we explore in our prototype and evaluation. Limix can seamlessly make use of sites under the control of different providers.

**Clients requests.** Clients interact with Limix by submitting item lookup requests at a site, and Limix’s availability guarantees apply once the client request reaches a site. Limix does not improve last mile availability, e.g., if the client cannot reach any site. We make no assumption about which site clients choose: Clients can submit lookup requests to any site they wish, e.g., because they change their location, or for other reasons, and the lookup responses are always correct. However, Limix provides guarantees for the client w.r.t. the location of the client-chosen site. Therefore, clients choosing nearby sites based on RTTs would be sensible.

**Zones, authoritative zone, definitive replicas.** Limix provides availability guarantees for any client looking up an item at the granularity of zones. Limix deploys zones along sites, and a zone encompasses “the set of distributed system components, including servers, routers, network links, etc., that a user depends on for availability” [7] when looking up items. The data plane is zone-agnostic and does not require zone
knowledge. But Limix tracks data-plane item location w.r.t. zones, in order to collocate configuration with its data. Specifically, Limix defines an item’s location as the item’s authoritative zone: this is the smallest zone containing a quorum of the item’s data plane replicas. Then, in the same zone, Limix maintains the most recent state of the item’s configuration, i.e., the definitive configuration/metadata replicas for that item. In contrast, non-authoritative zones may have only eventually-consistent configuration replicas for those items.

**Goals.** Limix has the following objectives:

- **Availability guarantees.** Provide strong availability guarantees that might be legally or contractually mandated to hold at all times, even during item migration.
- **Simultaneous constraints.** Satisfy simultaneous sovereignty and locality constraints. For example, a Germany constraint is more restrictive in placement, while an EU constraint protects a larger set of users.
- **Load balancing.** Spread workload for item lookup e.g., avoid overloading small zones with global accesses. A user imposes load only on the zones the user’s site is in.
- **Dynamic data plane.** Enable dynamic data planes to migrate data routinely, without restricting them with e.g., static partitions of data across regions.
- **Strong consistency.** Enforce that item lookup returns strongly-consistent items, or be unavailable for that item if the latest item version is not reachable.
- **Autozoning.** Provide an automatic zoning capability, which enforces locality constraints for all users, the vast majority of whom just “want things to work.” We desire reasonable but fully-automatic risk-limiting policies requiring no specific understanding of the workload or administrative effort.

## 4 Design of Limix

This section outlines Limix’s design in a step-by-step fashion for clarity. We first list Limix’s challenges, then introduce Limix’s per-zone configuration service, and explain how it limits maintenance loads on local zones. We then address the problem of satisfying multiple simultaneous exposure-limiting constraints on one item, ensuring that lookup replies follow data-plane consistency, and handling item migration.

### 4.1 Challenges

The goal of local availability under global management, coupled with the requirement strong consistency for metadata, imposes key challenges on Limix’s design.

**Consistency.** Because data and services must still be movable and accessible from anywhere under normal conditions, Limix must enable clients anywhere to locate a data item’s current definitive state globally, while insulating local clients from global failures. This requirement implies replicating location information simultaneously across global and local deployment zones, which in turn exacerbates consistency challenges (Sections 4.2 and 4.3).

**Load balancing.** We must ensure that control-plane queries about globally-popular data do not overload a small, lightly-provisioned zone it may be located in. Limix addresses this challenge by systematically ensuring that each zone, local or global, serves only clients querying the service from within the same zone, and can therefore spread the access workload without risking overload from external queries (Section 4.4).

**Simultaneous item constraints.** Data plane items may have to satisfy more than one locality or sovereignty constraint—such as that local clients in Germany be insulated from failures outside Germany, and that all clients in the EU be insulated from failures outside the EU. This goal requires that Limix allows state replication across multiple overlapping zones (Section 4.5).

**Item migration.** Limix must maintain both strong consistency and local availability even during object migration: ensuring, for example, that data migrating from Germany to France remains immune to failures outside the EU even during the transition. To address this challenge, Limix uses a multi-phase process to migrate the data’s definitive state while maintaining eventually-consistent location hints in larger zones beyond the data’s origin and destination (Section 4.6).

### 4.2 Item lookup

For zones to act collectively as a unified system, Limix needs to enable clients to find data that can be located anywhere, regardless of the client’s zone. However, robust and efficient item lookup is challenging. Figure 4a illustrates a straightforward but inadequate approach, relying on a central service to store the configuration for item lookup. This service increases the client’s exposure beyond the perimeter of the client’s and data’s common zone. The single zone may also become overloaded with requests from all zones.

Of course, this strawman could be easily made scalable by distributing the configuration service across many/all zones, using standard techniques such as consistent hashing of keys. However, consistent hashing still increases a node’s Lamport exposure beyond the zone boundaries. Consider a client requesting data without having the data location cached. To resolve the location, the client might need to query configuration service nodes in zones different from the zone holding the requested data. Partitions might prevent the client from reading the data location, even though they might not isolate the client from reaching the data itself. The problem with this approach is that data and corresponding metadata are not collocated in the same zone, and hence lack fate sharing [11].

Limix thus needs to ensure that a client in a given zone can always find an item within the same zone using only resources within that zone. Efficiently collocating data and metadata in the same zone so that they have the same Lamport exposure represents the first challenge for Limix, which we address by having a distributed configuration service per zone.
4.3 Configuration service consistency

As a next strawman approach addressing the challenge above, we could replicate all lookup pointers in all zones, as depicted in Figure 4b. However, this strawman introduces a consistency challenge: Because all zones’ configuration services store pointers to all items, when an item is deleted or migrated, regardless of the zone where the item resided, all configuration services in all zones should be updated with the new pointer. If we required strongly consistent state for all zones’ configuration services, we would increase an item’s exposure to all zones, which is undesirable.

Limix addresses this exposure challenge as follows. Each zone’s configuration service stores strongly consistent pointers only for the items inside the zone. If an item’s configuration changes, for example, only the configuration service in the item’s zone needs to update the item’s definitive configuration (i.e., location metadata) (see Section 3) immediately, on the critical path. Other zones’ configuration services may update their metadata lazily with eventual consistency, outside the critical path of the item reconfiguration.

With this approach, each zone has its own configuration service that stores “location hints” for where an item was last known to be located. But this strawman invites a second challenge: How do clients locate items given that configuration services might (temporarily) store outdated pointers? We distinguish two causes of outdated pointers. The first reason is item migration, when Limix updates pointers outside the critical path. Could a client be unable to find an otherwise reachable item when the item migrates? Limix addresses the challenge by temporarily storing a Permanently moved to marker at the item’s old location. On encountering this marker, a client follows it to the new location. Limix prevents long indirection paths by eventually updating all pointers, after which it deletes the marker. The client stops following pointers when it reaches the item’s authoritative zone (see Section 3); Limix coordination ensures there is a single authoritative zone per item. Section 4.6 provides a detailed description.

The second reason for items to be outdated is during partitions. If Limix cannot reach some zones’ configuration services, pointers will be stale. The main challenge is to ensure that clients do not return stale items because of stale pointers, which would break strong consistency. Limix clients rely on authoritative zone indicators, as explained above, to decide whether the pointers point to the most recent item version. However, there is one remaining issue when partitions heal and several migrations are in place: Pointer updates might arrive out-of-order, causing an old update to overwrite a newer one. We use versioning for pointers to avoid this situation. Every pointer update increases the pointer version and a pointer update occurs only if the update has a higher version than the existing pointer. The update makes use of the compare-and-swap primitive offered in the API of most strongly-consistent KV stores. Section 4.6 provides a detailed description.

4.4 Lookup load on (small, local) zones

The above strawman invites the question: What is the load on each zone’s configuration service? Consider updating the configuration service after an item insertion or migration. Either the destination zone could push the new item location to all zones, or the client’s zone could pull the item location on demand. Both approaches incur \(O(n)\) load and communication overhead per client request for \(n\) zones.

Limix instead spreads the lookup loads and limits query burden on small zones by organizing a default overlapping global zone. In our next strawman illustrated in Figure 4c, local zones store the location only for their local items and serve lookup requests only from local sites. In contrast, the global zone serves as the backup reference point, whose globally-distributed configuration service knows any item’s location. Every zone propagates location updates to the global zone.

A client queries only its own local configuration service and the global one, without overloading other small zones. Thus, instead of \(O(n)\), overhead per update becomes \(O(1)\). The global configuration service must service load from all \(O(n)\) zones, but it has server capacity distributed across all \(O(n)\) zones among which to share that load. Location updates propagate only eventually, off the critical path, to limit the source zone’s exposure to failures beyond its borders.

4.5 Item placement and zone overlap

Aside from the global zone, we assumed so far that local zones are disjoint. This assumption has a significant lim-
Algorithm 1 Limix: main structs.

1: // Every site has a Limix Coordinator, exposing the following API:
2: struct SiteCoordinator {
3:  zone ItemLookup(key)
4:  value ReadConfig(key)
5:  WriteConfig(key, value)
6:  // Updates configuration when item key migrates from srcZone to dstZone
7:  UPDATECONFIGONMigration(key, srcZone, dstZone)
8:  // Returns all zones that the site is part of
9:  zones GETZONES
10:
11: // A Limix Zone stores the configuration and offers the typical API of a
12: struct ZoneConfigService extends KVStore
13:  value ReadPointer(key)
14:  // Atomically swaps using CAS (compare and swap) key’s prior
15:  oldValue WRITEPOINTERCAS(key, newValue, condition)
16:  // Returns true if the zone is authoritative for item key
17:  procedure IsAUTHORITATIVE(zone, key)
18:    zonePointer ← READPOINTER(key)
19:    if zonePointer == zone then return True
20:    return False

Algorithm 2 Item lookup that the client calls at site site

1: procedure ItemLookup(site, key) 
2: for zone ∈ GETZONES(site) in parallel do
3:  // Recursively follow pointers to the authoritative zone
4:  while zone ≠ nil and ISAUTHORITATIVE(zone, key) ≠ true do
5:    zonePointer ← READPOINTER(zone, key)
6:    zone ← zonePointer
7: return zone

Algorithm 3 Location update during item migration

1: procedure UPDATEPOINTERONMigration(key, srcZone, dstZone)
2:  oldValuePointer ← READPOINTER(srcZone, key)
3:  pointerVersion ← oldValuePointer + 1
4:  VERSIONEDWRITE(srcZone, key, dstZone, pointerVersion)
5:  VERSIONEDWRITE(dstZone, key, True, pointerVersion)
6: do in parallel
7:  UPDATEPOINTEROUTERZ(dstZone, key, False, pointerVersion, <)
8:  UPDATEPOINTEROUTERZ(dstZone, key, dstZone, pointerVersion, ≤)
9:  // Background garbage collection after all pointers were updated
10:  VERSIONEDWRITE(srcZone, key, nil, pointerVersion)

Algorithm 4 Helper functions at zone zone.

1: procedure VERSIONEDWRITE(zone, key, value, version, comparator)
2: WRITEPOINTERCAS(zone, key, value|version, comparator(srcVersion, version))
3: procedure UPDATEPOINTEROUTERZ(key, value, version, comparator)
4: for outerZone ∈ GETOUTERZONES(zone) in parallel do
5:  VERSIONEDWRITE(outerZone, key, value, version, comparator)

Item lookup in a simplified scenario where items are immutable and do not change location, and the lookup service pointers of all zones are up-to-date. In this scenario, we do not need to worry about pointer or item consistency. The next section (Section 4.6) addresses consistency and migration.

Algorithm 2 describes item lookup. A client calls the lookup function on a site’s coordinator, passing the item key as a parameter. The coordinator sends parallel lookup queries to the configuration services of all site’s zones. The client follows the pointers in the responses until it finds the authoritative zone, or returns nil.

Why does item search not degrade the exposure guarantees? Each of the parallel lookup queries accesses the zone-private KV store, having dependencies only inside the zone. Other parallel searches might hang in case of partitions or slow performance. However, because each search executes and completes independently, parallel searches do not affect each other. Of these zones, the ones that reply first with a pointer chain leading to the authoritative zone determine the client’s exposure for that item. If at least one such set of zones is partition-free, the client is guaranteed to find the item. Thus, the coordinator bounds a client’s exposure to the smallest zone of the client that contains the item.

4.6 Lookup during item migration

The placement of items may need to change, for example due to client-perceived performance or load-balancing algorithms. Or this could be caused by a policy change, e.g., a new constraint on which existing zone(s) a particular item is allowed to be placed in or migrated to. Because items involve strongly-consistent state, a challenge is that Limix must maintain strong consistency for configuration during item’s migration. Three questions arise at this point: (a) How can we ensure that the coordinator locates the latest version of
an item, even during migration or partitions? (b) Given that clients could update data plane items anywhere in the system, how do we ensure another distant client, located in a different zone, finds a specific item? (c) How do we ensure item search does not degrade the exposure-limiting guarantees?

Alg. 3 depicts item migration from the item’s authoritative zone srcZone to dstZone. When Limix receives a callback from the data store that an item is being migrated, it does the following: (0) Record the most recent pointer version, taken from the item’s authoritative zone, i.e., srcZone, and increment it (lines 2-3). We use pointer versioning for the pointer updates below to avoid old pointers overwriting newer ones (versionedWrite in Alg. 4). (1) Update the pointer in the old zone with a forwarding reference, indicating the item is being migrated to the new zone and the old zone should no longer be considered authoritative (line 4); (2) After item migration, update the pointer in the new zone indicating migration is complete and the new zone is now authoritative, meaning that item is now usable at its new site (line 5); (3) In parallel and outside the critical item lookup path, update discovery service information in all zones for the item’s old and new location (lines 6-8); and (4) Garbage collection: The item’s configuration may finally be deleted in the old zone (line 9).

The algorithm above guarantees that any client can locate an item, even during/after migration. After step 1, all clients following existing pointers for that item (using Alg. 2) that lead (directly or indirectly) to the former authoritative zone learn that the item is being migrated to the new zone. After step 2, when the item finishes migrating, all clients following existing pointers locate the new authoritative zone.

Up to now, clients can find the item, but through a potentially longer chain. For example, if the item moved from Germany to France, clients in the US might first find the pointer to Germany and then follow it to France. However, eventually, when all pointers in step 3 finish updating, all clients in the system can locate the item by following a single pointer. The exposure during migration is proportional to the RTT between the client and the two zone locations of the object. Specifically, if the item migrates $Z_{item} \rightarrow Z_{new}$ then a client’s exposure for accessing the item during migration is $Z_{client} \cup Z_{item} \cup Z_{new}$, and $Z_{client} \cup Z_{new}$ after the migration. Importantly, because pointer updates happen independently and in parallel, partitions cannot disrupt a client to locate the item, as long as both the client and the item share a non-partitioned zone, which is guaranteed to exist within a small RTT from the client and the item (Section 5).

5 Control Plane Zoning

Limix provides user-centric availability guarantees meaningful for users with respect to items they access. Limix can define zones as input jurisdictions, e.g., Germany, the EU, the World, which are useful when items are constrained by regulatory bounds, for example. However, sometimes administrative zoning policies may not be explicit. Or users may want formal guarantees on availability, alongside the administrative policies. This section presents one particular autozoning policy that limits Lamport exposure, and that can use any distance metric, such as network latency in our design. For any user accessing an item of interest from a distance $\Delta$, autozoning limits the access’s exposure – availability and performance – to a perimeter of at most $O(\log N) \times \Delta$ around the item.

**RTT as exposure metric.** For creating auto-zones, Limix borrows the term of Lamport exposure [7] and round-trip time (RTT) as the exposure metric. A zone’s RTT diameter defines the zone’s exposure: a lower RTT diameter means a lower exposure to remote failures, thus higher availability. During bootstrapping, we build an inter-site RTT map: the sites measure their pair-wise RTTs, and then each pair of sites averages their link’s RTT value so that the final RTT map is consistent across sites. Under a fully connected network, this inter-state RTT map is stable [18], with prior work [33] showing less than 6% month-to-month difference in median latency on Azure. Through this initial RTT map, sites compute the automated zones’ membership, at which point bootstrapping concludes, and Limix starts operating without any further assumptions about the timing or location of partitions.

**Autozoning strawman.** To bound the exposure of a particular client to any object, we could simply build overlapping zones centered on the site that particular client accesses. A naive, non-scalable approach would be to build many concentric zones of slowly increasing radius. A more scalable design is to choose exponentially increasing zone radiiuses, as depicted in Figure 5(a). The intuition is that that users with a small pairwise RTT are likely geographically close [9], representing the prioritary localities for Limix. As RTTs increase, localities are less tight. The tradeoffs of exponential

![Algorithm 5 Autozoning at site u](image)

Figure 5: Left: Autozoning strawman, bounding exposure for a single client accessing any item. Right: Limix autozoning, bounding exposure for any client accessing any item.
As a result, each site participates in and runs a logarithmic probability of landmarks to approximate large distances, whereas lower level sites use approximating distances. Higher-level sites act as global landmarks to approximate large distances, whereas lower level sites act as local landmarks. Each site obtains level \( i \) with probability \( N^{-i/k} \) (\( k \) is the number of levels). To approximate distances, each site maintains a set of sites as contact points, called its bunch. A node \( u \) explores sites in ascending distance from itself, and adds a site \( v \) in its bunch if \( v \)'s level \( l_v \) is no smaller than that of any sites explored so far (including \( u \)). The sites \( v \) closest to \( u \) at every level form \( u \)'s witnesses (line 3). The inverse concept of a bunch is a cluster. \( v \)'s cluster is the set of sites around \( v \), which are “close enough” to know about \( v \) as a landmark (lines 4–5). Every site is a landmark and builds zones along its cluster, using exponentially increasing RTT diameters (lines 6–11).

**Compact-graph approximations.** Autozoning builds on techniques from compact graph summarization theory [31,32] to guarantee exposure for all client and all objects, while optimizing the number of created zones, hence optimizing the system overhead. Autozoning has two goals: (1) Bounding the exposure of a user accessing an item. (2) Scaling to large deployments by incurring a logarithmic load on sites. For the first goal, recall that the exposure of a client locating an item is given by the smallest zone containing both the client and the item. Our insight is to use compact graph techniques to formally guarantee an upper bound on the zone RTT diameter, hence on the user’s exposure. Specifically, we want to ensure that there exists a configuration service that has pointers to any item which is “close enough” to any client. Compact graph techniques approximate the distance between any two nodes – in our case, between a client’s site and an item – to at most \( (2 \times k - 1) \times RTT(u, v) \), where \( \pi \) is the nodes’ exact distance and \( k \) is a system parameter. They also provide the path between \( u \) and \( v \) matching this approximate distance. Autozoning groups the sites on the path between the client’s site and the item into a zone. Intuitively, building such zones for all user-item pairs ensures that any user \( u \) looking up any item \( i \) is guaranteed to find a “small enough” common zone of diameter at most \( (2 \times k - 1) \times RTT(u, i) \).

The second goal of autozoning is to scale to large deployments. Limix relies on two techniques for scaling. The first one comes from compact graph approximations: To (recursively) compute the paths for the approximate distances between any possible client-site to item-site pair, each site only needs to know about \( O(\log N) \) other sites, where \( N \) is the total number of sites. Even so, if we built all zones incrementally spanning sites on each path and imposed the zone deployment load on the member sites – the cost becomes prohibitive because of the large constants in \( c \times O(\log N) \). Instead, we use exponentially increasing zone radii, as in the strawman. As a result, each site participates in and runs a logarithmic number of zones. Figure 5(b) depicts the autozoning design, omitting the global zone for simplicity.

**Zone construction.** Alg. 5 depicts the zone construction. Compact-graph approximations use the sites as landmarks for approximating distances. Higher-level sites act as global landmarks to approximate large distances, whereas lower level sites act as local landmarks. Each site obtains level \( i \) with probability \( N^{-i/k} \) (\( k \) is the number of levels). To approximate

6 Implementation

We implemented a Limix prototype of a configuration service interfacing an existing data store. Limix stores its configuration in a per-zone strongly-consistent KV store. To store the configuration, each zone uses CockroachDB [23], a widely-used strongly-consistent data store. Although CockroachDB has rich functionality, Limix only uses its basic KV store API read and compare-and-swap. A Limix coordinator runs on every site, providing an API to query items. Our implementation is written in Go, with bash scripts for test infrastructure.

**Startup.** Each site in Limix runs a startup script, which takes an input a list of participating sites and either jurisdictions or autozoning with the number of levels. In the case of jurisdictions, the zone membership is given. For autozoning, each script measures its RTT to all other sites, obtains each site’s level, and runs locally the Alg. 5 to establish the zone membership. Then the scripts communicate in order to start a configuration store, i.e., CockroachDB instance, per zone.

**Processing lookup requests.** Each site runs a Limix coordinator that provides a client API \( \text{itemLookup}(key) : \) (zone, logicaltimestamp) to look up strongly-consistent data-plane items. To answer queries, each site’s coordinator queries the configuration stores running on that site, through site-local configuration store connections using the Go pq driver [1] (a
PostgreSQL-compatible driver). The configuration stores on each site communicate with other configuration stores within the zone, according to CockroachDB-internal implementation. A Limix coordinator running on a site part of zone Z receives callbacks from the existing external data store when the data store creates, updates, deletes or migrates an item in that zone. For simplicity, we assume an existing external CockroachDB instance for data items. Through callbacks, the Limix coordinator updates pointers and authoritative zone information through site-local configuration store queries.

7 Evaluation

We evaluated Limix’s resilience to network partitions and overheads. Our first experimental setup considers jurisdictions, and evaluates Limix’s overhead. Our second experimental setup focuses on Limix autozoning and its resilience, and compares Limix with Physalia.

Testbeds. We used two testbeds for our experiments, both orchestrated using Kubernetes. The cluster testbed runs 40 Kubernetes sites in a local cluster with a simulated network, which allows for more experimental flexibility. Each site requests 15GiB memory and one hyperthread of an Intel Xeon Gold 6240 CPU @ 2.60GHz. The delays between sites represent real-world delays of a globally distributed topology, as follows. Using CAIDA’s Archipelago (Ark) Measurement Infrastructure [9], we first selected 90 monitors of types infrastructure, research or education (Africa 8, Asia 13, Europe 26, North America 31, Oceania 4, South America 8) and selected 40 random monitors for our site locations. To compute the RTT between two monitors at geographical distance $d$, we averaged the two monitors’ median RTT for that distance as reported by CAIDA. The minimum RTT between two different monitors is 0 and the maximum is 602.25 ms.

The real-world testbed is deployed on Amazon Web Services (AWS) and spans 20 sites (US: East 4, West 4; Canada: central 2, Asia-Pacific: SouthEast 2, NorthEast 3, EU: Central 1, West 2, South 2). The server at each site has 16 GiB of DDR4 SDRAM, up to 10 Gbps bandwidth and 2vCPU on 3.1 GHz Intel Xeon processors. The minimum RTT we measured between sites is 0.43 ms and the maximum is 250.31 ms.

Software setup. Limix autozoning experiments use two levels for building zones through compact graph approximations, and zone diameters of $2(i-2)\sqrt{2^i}$, where $i \geq 3$. For our Physalia implementation, we use cells of up to 50 ms maximum RTT, based on the 99th percentile write latency reported in the published paper. Limix zones and Physalia cells all run Core CockroachDB ver.20.1.

Workloads. We evaluate Limix using configuration writes (W), because they are the critical operations during gray failures (Section 2). Specifically, we use pairs of write (W-W) operations between pairs of sites. Each W-W pair concerns the same item. The operation pair emulates a reconfiguration for that item, for example after an item migration. The reconfiguration write issued by the second node has a strong consistency dependency on the first. We use the term “reconfiguration RTT” to refer to the RTT between the two writers. The goal is to understand the dependencies of the reconfiguration operation to succeed, given a known prior location of the configuration. In our experiments, we run the workload as Poisson arrivals, at a rate of 20 pairs per second.

We use real-world distributions for the reconfiguration RTTs based on metropolitan traffic traces. We chose metropolitan traffic traces as they capture more local traffic, as opposed to backbone links that might miss local traffic. Chen et al. [10] provide an RTT distribution over a 10Gbps metropolitan link – henceforth called trace 1. We compared this trace to four traces of the Waikato dataset [2], whose RTT distribution we extracted using a similar methodology [10]: we matched data packets with the respective ACKs. These traces rely on public datasets and the extraction methodology avoids raising ethical issues. Figure 6 shows the cumulative distribution function (CDF) for the reconfiguration RTT. Given the similarity of the distributions, and the fact that trace 1 is more recent, we used trace 1 for generating the reconfiguration RTTs.

7.1 Jurisdictions: availability and costs

Our first experiment focuses on a simple Limix deployment in which each item exists in only one local zone, in addition to the default global zone. In this scenario we consider each of the disjoint local zones to represent an administratively-defined jurisdiction, such as a country. The experiment answers the question: “What are the availability benefits and cost per Limix zone in this scenario?”

Methodology. We ran the experiment on the cluster testbed. We focus on one particular jurisdiction centered around a CAIDA monitor in Europe West, and choose an RTT radius of 50 ms around the center, which roughly corresponds to the EU-West jurisdiction. Prior work has also shown that latencies of roughly 30ms correspond to country-wide RTTs in Europe [10].

We are interested in the overheads during no-partition conditions, and in the availability during partitions. For this experiment, we run a synthetic workload with 2000 pairs of W-W operations: 1000 W-W pairs are for reconfiguration in EU-West, and 1000 for global reconfiguration. For the availability
experiment, we run the same operations, but we disconnect EU-West from Global. We denote EU-West by $Z_1$ and Global \ EU-West by $Z_1^\complement$. In Limix, nodes in $Z_1$ are part of two zones, whereas nodes in $Z_1^\complement$ are part of one zone.

**Availability.** We compare Limix against two baselines: core CockroachDB with geo-replication in the Global zone – henceforth called Geo, and core CockroachDB deployed as a private cloud in EU-West only – henceforth called PCloud. Table 1 summarizes the features of the three designs. Geo offers global configuration management, but reconfiguration in $Z_1$ fails under partitions. The reason is that, under partition, $Z_1$ cannot reach a majority of configuration replicas. PCloud succeeds reconfigurations in $Z_1$ under partition, but offers no global configuration management, because all configuration is in $Z_1$. The experiment confirms that Limix offers both availability in $Z_1$ and global configuration management.

**Overheads.** For the same workload, we report the memory, CPU and bandwidth overheads under no-partition conditions. Figure 7 shows these overheads for nodes in $Z_1$ and $Z_1^\complement$. As expected, PCloud nodes have the lowest overheads, but PCloud lacks global manageability. PCloud nodes $Z_1^\complement$ simply forward client requests to the closest node in $Z_1$, hence use almost no resources. The nodes in $Z_1$ running PCloud have lower overheads than Geo nodes because the PCloud deployment is smaller, and requires fewer resources for coordination between nodes, for example. For its improved resilience guarantees, Limix sites in $Z_1$ spend about 2x the memory, CPU and bandwidth compared to Geo. The memory overhead stems from nodes in $Z_1$ running two instances of CockroachDB: one corresponding to the inner- and the other to the outer-jurisdiction. To explain the CPU and bandwidth overheads, recall that, when a Limix site in $Z_1$ executes a write, it also writes to $Z_1^\complement$. However, Limix sites in $Z_1^\complement$, which do not have an improved resilience guarantee compared to Geo, have overheads very similar to Geo. We conclude that Limix is suitable for a highly configurable pay-as-you-go deployment, where every extra resource spent provides an immediate increase in availability.

### 7.2 Microbenchmark: availability guarantees

This experiment evaluates to what extent the configuration of localized data is exposed to remote gray failures. This time, however, no jurisdictions are given: we use autozoning and
failures above Limix’s shield because it provides no guarantees for all the pairs interacting across cells. Even if two sites are relatively far from failures, if they are in different cells and their cells are partitioned, their interaction might and do fail. In contrast, Limix autozoning provides a clear availability guarantee, applicable to all interacting pairs: When failures are farther than the Lamport exposure bound of the two writers, depicted using the black shield line, reconfiguration is guaranteed to succeed.

7.3 Availability under real scenarios

This experiment, like the previous one, tests to what extent the configuration of localized data is exposed to remote gray failures, but on a real network and using realistic trace-based data. Because the AWS testbed has lower RTTs that are more clustered, Physalia cells are mostly between 10-30ms RTT diameter, with a single cell up to 50ms. Our methodology is similar to the experiment above, with the only exception that the workload of 1000 reconfiguration pairs of each experiment has a distribution of reconfiguration RTTs matching the one in trace 1 (Section 7). The workload is global, thus some interacting pairs cross the partition boundary.

Figure 8c, Figure 8d plot the results only for the non-partitioned interactions. Our results generally mirror the ones we obtained on the cluster testbed, with Limix outperforming Physalia in almost all tested cases, and for the same reasons. There are, however, two notable differences from the previous experiment. First, Limix registers a few failures close to but above the shield. These failures correspond to a some sites with a more unstable RTT than the others, whereas we depict the RTT measured at bootstrap time. However, the difference in RTT was minor, and for all the other sites we observed no violation. Second, we observe a more pronounced clustering effect of the plotted points, matching roughly our more clustered topology.

We also summarized the results for each tested workload as percentage of successes of the maximum possible availability for the non-partitioned pairs. Figure 9 shows that Limix’s success rate is close to 100% in most cases, and significantly outperforms Physalia. We conclude that Limix provides strong guarantees on a variety of testbeds and workloads.

8 Discussion

Limitations. Limix implements the metadata service. While this is an important component, it does not by itself limit the Lamport exposure of the full service stack. We leave such dependencies for future work, such as upper-layer dependencies (e.g., on Javascript), power grid and network links whose failure could violate exposure-limiting policies (e.g., if communication between two sites in Germany crosses network links outside of Germany). This limitation could in principle be addressed via deep structural dependency analysis [36], but it is outside the scope of this paper.

9 Related Work

CAP tradeoffs. Faced with partitions, some systems choose to relax consistency in favor of availability. Gemini [25] distinguishes access types that require a strongly- or eventually-consistent reply; this technique is known as segmentation [14]. Dynamo, a highly-available data store, takes a similar approach [12]. Seredinschi et al. [16] provide the user with several replies, increasing in consistency guarantees, enabling the client to perform speculative work. In Limix, all accesses are strongly consistent.

Availability during failures. Several strongly consistent systems employ replication to survive failures and partitions, however, they assume uncorrelated failures across sites [24, 29,33–35]. Failures across geographical might not be independent, for several reasons: machines across sites run the same software and are vulnerable to the same bugs [6,17]; nodes’ hard disks fill up at the same rate [6]; short-lived and, less frequently, long-lived (partial) partitions separate sites
from each other, causing a domino of failures and ultimately unavailability [4].

Unlike the availability metric that Hauer et al. [19] recently proposed, which reactively analyzes failures after they occur, Limix proactively limits exposure in the first place. Glacier [17] employs massive replication of data, which Limix also does, to minimize the probability of data loss during large-scale correlated failures. As opposed to Limix, however, Glacier considers only data stores with immutable objects.

10 Conclusion

Can we achieve both the elasticity of globalized computing infrastructures and the resilience to distant failures of localized infrastructure? We show that this is possible by limiting the Lamport exposure and guaranteeing that any user can access any data at distance $\Delta$ away, when failures occur beyond a small $O(\log N)$ multiple of $\Delta$.

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