Measuring Early Childhood Development with the Early Human Capability Index (eHCI): A Reliability and Validity Study in China

CURRENT STATUS: POSTED

Jin Zhao
Children's Hospital of Shanghai

Sally Anne Brinkman
Telethon Kids Institute, University of Western Australia, Perth

Yingquan Song
China Institute for Educational Finance Research, Peking University, Beijing

Chunling Lu
Division of Global Health Equity, Brigham & Women's Hospital and Department of Global Health and Social Medicine, Harvard Medical School, Boston, MA

Mary Eming Young
China Development Research Foundation, Center of Child Development, Beijing

Yue Zhang
Children Health Care Department, National Center for Women and Children Health, Chinese Center for Disease Control and Prevention

Patrick IP
Department of Paediatrics and Adolescent Medicine, The University of Hong Kong, Hong Kong

Wenjie Shan
Child Health Advocacy Institute, Shanghai Children's Medical Center, Shanghai Jiaotong University School of Medicine, Shanghai

Yunting Zhang
Child Health Advocacy Institute, Shanghai Children's Medical Center, Shanghai Jiao Tong University School of Medicine, Shanghai

Fan Jiang
Shanghai Children's Medical Center, Shanghai Jiaotong University School of Medicine

fanjiang@shsmu.edu.cn Corresponding Author
Abstract
Background: With the importance of early childhood development more recognized by the international society, low-cost and cross-culturally comparable measures of early childhood development is in great demand, both in China and worldwide. In this study, we aim to test the psychometrics of the Chinese version of The Early Human Capability Index (eHCl), which is designed as a measurement for school readiness in large population. Methods: We evaluated the internal consistency, test-retest reliability, inter-rater reliability, factor structure, criterion-related validity, and discriminant validity of the eHCl in 20,324 preschool children in Shanghai. We also compared eHCl scores with test result of ASQ in 815 children in Yexian and EAP-ECDS in 6,947 children in Daming. Results: The ICC between parents and teachers were 0.83 and 0.63 for Literacy Numeracy and Overall Development. The confirmatory factor analyses showed good model fit ($c^2 = 509323$, $p<0.001$; CFI=0.901; RMSEA=0.038). The correlations between the scores of eHCl and other ECD metrics ranged between $r =-0.42$ and $r =0.53$. The scale discriminated between children’s developmental level based on gender, parental education, family income and family assets. Conclusions: Results from Chinese population suggested that eHCl is valid and reliable for measuring early childhood development in children aged 3-6 years. The eHCl can be applied to map the global distribution of early childhood development for allocating scarce resources to help those in greatest demand. Longitudinal studies are warranted to test its predictive validity for later outcomes.

Background
The importance of early childhood development (ECD) remains profound. The capacities established during early childhood lay the foundation for physical, emotional, and intellectual wellbeing in middle childhood, throughout adolescent and into adulthood, even with multi-generational effects (1). The 2007 and 2011 Lancet Series on Child Development in Developing Countries spearheaded the review of evidence linking early childhood development with adult health and wellbeing. The 2016 series considered new scientific evidence for intervention, and proposed pathways for implementation of early childhood development at scale (2). Studies from across the globe, such as the Jamaica project, Perry Preschool and Abecedarian program, have demonstrated that interventions significantly
improved childhood development and even later adult outcomes in the studied settings (3–5). A meta-analysis, however, could not detect large effect sizes for the more recent and larger scale interventions (6), and the study suggests that ability of these measures for detecting effects could be one of the possible explanations. Tools for assessing early development used in small group trials, such as the Griffith and Bayley Scales of Infant Development, may not be effective in evaluating the impact of interventions implemented in large populations (7). Traditionally, most measures of child development originate from the disciplines of pediatrics or developmental psychology, with focus on screening for developmental disability, which usually accounts for 10–15% of the whole population (8, 9). However, there is evidence that more than 25% of children experience difficulties in learning, while they were not diagnosed as high-risk population by traditional clinical tools (10). Moreover, many interventions implemented at scale are aimed at enhancing development, rather than identifying disabilities (11). Therefore, a high-quality tool for measuring early childhood developmental is necessary to support the evaluation of early interventions. Such a tool would help to; evaluate children’s comprehensive traits, explore the protective factors that promote development and enhance child development at the population level (12).

Considering the limitations of clinical screening assessments, several tools have emerged to assess early childhood development at the population level. The Caregiver-Reported Early Development Instruments (CREDI) is developed for children under three years old and evaluates their early development (13). As it was designed to function across a wide variety of culture, linguistic, and socioeconomic contexts, it has been promoted in 16 countries. The Early Childhood Development Index (ECDI) was launched by UNICEF as part of the Multiple Indicator Cluster Surveys (14). It contains 10 items covering the literacy–numeracy, learning, social–emotional, and physical development of children aged three and four years old. The ECDI has been administrated in more than 60 low- and middle-income countries, and map the global early childhood development status.

Except for those tools developed for children in very early years, the concept of school readiness assessment is also considered to be an important indicator of early childhood development due to its effectiveness as a predictor of children's future achievement (15). If children are school ready, then
they should be entering the education system with all the skills, capabilities, health and development to take advantage of the school learning environment and improve equity in achieving lifelong learning and full developmental potential among children (16). The Early Development Instrument (EDI) is one of the few existing measurement that holistically evaluates the school readiness of children aged 3.5–6.5 years old (17). It was well-known as the main assessment tool in the Australian Early Development Census, which is implemented as a developmental census across the entire country once every three years (18). However, the EDI is far from applied in international use, as it was originally designed for western culture. Cultural specificity is a key point in ECD concepts. Different aspects of culture (parenting practices, foods and social norms for example) can be both positive and negative for child development, however western developed instruments do not capture important aspects of child development in the Chinese culture and context. It is essential for any future population monitoring system of child development in China to be based on an instrument adapted to local culture and context. For example, the item of EDI “coming to school dressed appropriately” is intended to assessing children’s ability of organization, but most parents in poor countries and regions have no conditions to purchase “decent clothes” (16). In view of these limitations, researchers are currently developing new scales that can better reflect child development across different cultures and contexts.

In 2013 the Early Human Capability Index (eHCI) was developed by Brinkman firstly in Tonga for impact evaluation of the school readiness component of the PERAL program(19). The scale was designed to assess the comprehensive development of children aged 3–6 years old at a population-level across diverse cultures. The original Tonga Early Human Capability Index contained 66 items in 9 domains including physical health, general verbal communication, cultural identity and spirituality, social and emotional well-being and skills, perseverance, approaches to learning, numeracy and concepts, formal literacy – reading and formal literacy – writing. It can be filled out by parents, teachers, social workers and other people familiar with the child. eHCI has been applied in other countries in the Pacific, South East Asia and Latin America. In 2014, the process of adapting the eHCI in China commenced. Through a process of discussions with experts in the fields of pediatric medicine
and education, the instrument was adapted and revised item by item to conform to the cultural characteristics of China. Following this a series of pilots were conducted with particular attention paid to preventing any ceiling or floor effects for the Chinese population of children aged 3 through to 5 years of age. The aim of this paper is to validate the psychometrics of the Chinese version of the eHCl.

Methods
The Development of EHCI in Chinese Version
In 2014, under the guidance of Brinkman, an early childhood development specialist in Australia, the China Development Research Foundation (CDRF), in collaboration with the Shanghai Children's Medical Center affiliated to Shanghai jiaotong University School of Medicine, started working on the Chinese version of the early Human Capacity Index. Through discussions among experts in different fields such as pediatric medicine and education, the team translated each item into Chinese and made necessary amendments to reflect China’s cultural characteristics and avoid the ceiling and floor effects in the Chinese population. In 2015, based on the survey data of 3,698 Chinese children, the developers carried out a Rasch model analysis of eHCl. The overall chi square fit statistic of fit to the Rasch model was 2078.773 (df 540), p < 0.001 and the item fit residual was – 1.1258 (7.6952). The distribution of the item and person locations relative to one another on the same continuum is shown in Fig. 1 (Online). The Person Separation Index (the Rasch equivalent of Cronbach’s alpha indicating level of reliability) was 0.88509, which indicates high reliability. The power of the tests of fit was rated Excellent. The final scale has 62 entries, which can be completed by any person familiar with the child, such as parents, teachers, social workers, etc. The scale includes 9 dimensions: 1) verbal communication, 2) approaches to learning, 3) numeracy and concepts, 4) Reading, 5) writing, 6) cultural identity and spirituality, 7) social-emotional wellbeing, 8) perseverance, and 9) physical health. From these 9 domains an overall literacy and numeracy score is derived, as well as an overall development score, both also ranging from 0 to 1 with 1 being the best score.

Study Sample And Data Collection
This study used data to assess the reliability and validity of the eHCl mainly from the 2016 Shanghai Children’s Health, Education and Lifestyle Evaluation, Preschool (SCHEDULE-P) study. The 2016
The SCHEDULE-P study was a cross-sectional survey that investigated the lifestyle, home environment and development of preschool children in 2016. The design, sampling and procedures of the survey have been described previously (20). A representative sample of newly enrolled preschoolers in Shanghai kindergartens was obtained by stratified random sampling design. There were 20,899 children (age 36–58 months) from 191 kindergartens who enrolled in the study. From these, there were 20,324 families who consented to participate, and the parents then completed the online questionnaire of the eHCl. The response rate was 97.2%.

To evaluate the discriminant validity of the eHCl, the demographic information of children and their family was also obtained in the survey. Age and gender of all participants were obtained from the Shanghai Kindergarten Registry Database of the Shanghai Education Committee and further confirmed by parents at the beginning of the study. Maternal education, paternal education, and annual household income were self-reported. The questionnaire included a family assets scale which sought information relating to the number of household cellphones, television, computers, cars and bathrooms (21). The principle-factor analysis was conducted to obtain a factor predicting the family assets. This same family asset scale was used in the Program for International Student Assessment (PISA) conducted by Organization for Economic Co-operation and Development (OECD) to reflect family wealth.

To assess how constant eHCl scores remain from one occasion to another, a test-retest reliability survey was conducted in two Shanghai kindergartens not involved in the SCHEDULE-P study. Parents of 183 kindergarten children aged 3–6 years old completed the eHCl for a second time 9.1 [SD:0.6] days after their first completion. In order to investigate the rater agreement, the eHCl ratings of 168 children from the two kindergartens were also compared between teachers and parent.

To test the correlations between the eHCl and other metrics of ECD by site, we used data from the SCHEDULE-P study, the One Sky program in Ye County and the kindergarten survey in Daming County. The Strengths and Difficulties Questionnaire (SDQ) was used in the SCHEDULE-P study. The Strengths and Difficulties Questionnaire (SDQ) and the Age & Stages Questionnaire: Social Emotional (ASQ: SE), two internationally recognized tool, were reported by parent to assess the psychosocial
wellbeing status and the social-emotional development of the child in the SCHEDULE-P study (22, 23). The One Sky program conducted the study to describe the situation of the left-behind children in Ye County in August 2015. A total of 60 villages were selected from a list of all villages in Ye county provided by the Education Bureau of Ye county and the Bureau of Civil Affairs. All children aged 3 to 4-years old and their families were interviewed in these villages. The Age & Stages Questionnaire (ASQ) and the eHCl was filled in by the caregiver of 1918 children. The ASQ was designed to measure child development in the domains of communication, gross and fine motor, problem-solving skills and personal-social skills (23). The kindergarten survey in Daming County aimed to evaluate the early childhood development of children and the quality of preschool education in rural areas. 62 kindergartens were randomly selected from all 217 kindergartens in Daming County in October 2017. The eHCl was reported by caregivers of all children (age 3-4 years) in the first year of kindergarten. The total sample of 6,974 eHCl included 2203 paper and 4744 online questionnaires. The East Asia-Pacific Early Child Development Scales (EAP-ECDS) containing 99 items includes seven domains: cognitive development; cultural knowledge and participation; language and emergent literacy, motor development; health, hygiene and safety; socio-emotional development; and approaches to learning (24). The EAP-ECDS was tested in 1199 children on site by well-trained assessors. When interpreting some of the results presented in this paper it is important to note that for some aspects of the SDQ and the ASQ:SE higher scores represent children with greater development problems, which is opposite to how the other measures of child development are coded.

The study was approved by the Institutional Review Board of the Shanghai Children’s Medical Center (SCMC), Shanghai Jiao Tong University (SCMCIRB-K2016022-01). Statistical Analysis

We conducted descriptive analysis on demographic characteristics using the SCHEDULE-P data. Reliability was assessed from analyses of internal consistency, test-retest reliability, and inter-rater agreement. Internal consistency of eHCl was assessed using Cronbach’s coefficient alpha. The intraclass correlation coefficients (ICC) between eHCl scores by parents in two time points were calculated to assess the test-retest reliability. The ICC and paired t-test were calculated separately to assess the agreement and difference of eHCl scores rated by parents and teacher.
To validate the eHCI, we conducted the tests of structure validity, criterion-related validity and discriminant validity. Criterion-related validity of the eHCI was conducted by calculating its correlations with other metrics of ECD. Discriminant validity was tested through multi-level linear regression models assessing score differentials with respect to child gender, parental highest education, family income, and quantiles of family assets. The above analysis was conducted using Stata 14.2 (from StataCorp LP, College Station, Texas, U.S.A.). The Confirmatory Factor Analysis (CFA) model was established to confirm the dimensionality of eHCI. The robust weighted least squares estimator (WLSMV) was used, as the item variables of the eHCI are categorical (25). The CFA was operated in Mplus 8 (from Muthen & Muthen, Los Angeles, CA, U.S.A.).

Results
The mean age was 44.3 [SE: 0.04] months. Of those, 52.2% were boys and 47.8% were girls. Sample sizes and weighted demographic characteristics for Shanghai population were presented in Table 1.

| Categorical variable                        | N   | %  |
|---------------------------------------------|-----|----|
| Gender                                      |     |    |
| Boys                                        | 10573 | 52.2 |
| Girls                                       | 9751  | 47.8 |
| Parents’ highest education                  |     |    |
| High school and below                       | 3089 | 19.7 |
| College                                     | 13313 | 63.3 |
| Master and above                            | 3871 | 17.0 |
| Annual household income                     |     |    |
| <100,000 RMB                                | 4289 | 26.8 |
| 100,000-300,000 RMB                         | 9916 | 52.1 |
| >300,000 RMB                                | 4825 | 21.1 |
| Continuous variable                         |     |    |
| Age (month)                                 | Mean ± SE |
|                                             | 44.3 ± 0.04 |
| Family assets                               | Mean ± SE |
|                                             | -0.1 ± 0.01 |

N = sample size; Mean / % = weighted mean or percentage adjusting for sampling design

Reliability
Internal Consistency. The Cronbach’s $\alpha$ coefficient for Overall Development and Literacy Numeracy were respectively 0.87 and 0.84, and the others for the subscales were presented in Table 2.
Table 2
The Cronbach's α coefficient for eHCl (n = 20284)

| Items               | Cronbach's α coefficient |
|---------------------|---------------------------|
| Literacy Numeracy   | 23                        | 0.84                      |
| Overall Development | 60                        | 0.87                      |
| Subscales           |                           |                           |
| Verbal              | 6                         | 0.61                      |
| Physical            | 4                         | 0.18                      |
| Approaches          | 6                         | 0.54                      |
| Numeracy Concepts   | 12                        | 0.75                      |
| Reading             | 8                         | 0.78                      |
| Writing             | 3                         | 0.53                      |
| Cultural Spiritual  | 7                         | 0.71                      |
| Social Emotional    | 10                        | 0.66                      |
| Perseverance        | 4                         | 0.54                      |

EHCI = Early Human Capability Index

Test-retest Reliability. As reported in Table 3, the ICC between two scores of Literacy Numeracy and Overall Development in two time points were respectively 0.97 and 0.85, which were interpreted as excellent agreement in temporal stability.

Table 3
Intraclass Correlation Coefficients of test-retest and inter-rater for eHCl scores

| Items               | Parent test-retest (n = 183) | Parent X Teacher (n = 168) |
|---------------------|-----------------------------|---------------------------|
| Literacy Numeracy   | 0.97                        | 0.81                      |
| Overall Development | 0.85                        | 0.63                      |
| Subscales           |                             |                           |
| Verbal              | 0.71                        | 0.18                      |
| Physical            | 0.77                        | 0.27                      |
| Approaches          | 0.65                        | 0.11                      |
| Numeracy Concepts   | 0.92                        | 0.78                      |
| Reading             | 0.96                        | 0.65                      |
| Writing             | 0.92                        | 0.71                      |
| Cultural Spiritual  | 0.75                        | 0.02                      |
| Social Emotional    | 0.60                        | 0.28                      |
| Perseverance        | 0.48                        | 0.35                      |

EHCI = Early Human Capability Index

Inter-rater Reliability. The ICC between parents and teachers were 0.83 and 0.63 for Literacy Numeracy and Overall Development. As reported in Table 3, the lowest agreement between parents and teacher occurred in Approaches and Cultural Spiritual, the highest in the subscales of Numeracy Concepts. The results of the paired t-tests suggested that scores rated by teacher were significantly higher than that by parents for Literacy Numeracy (t = 3.51, df = 167, P = 0.001) and Overall Development (t = 2.29, df = 167, P = 0.023).

Validity
Factor Structure. The confirmatory factor analysis was conducted, and the fit of model was good (χ² = 509323, p < 0.001; CFI = 0.901; RMSEA = 0.038). Factor loadings of items in each subscale are presented in Table 4. The majority of item’s factor loadings were above 0.7. Only the factor loading of
item 50, 51, 55, 56 and 57 was below 0.4, which were all the reverse scored questions.
### Table 4
Factor loadings of items in each subscale through confirmatory factor analysis (n = 20271)

| Items | Verbal | Approaches | Numeracy Concepts | Reading |
|-------|--------|------------|-------------------|---------|
| 1     | 0.622  |            |                   |         |
| 2     | 0.851  |            |                   |         |
| 3     | 0.930  |            |                   |         |
| 4     | 0.842  |            |                   |         |
| 5     | 0.946  |            |                   |         |
| 6     | 0.834  |            |                   |         |
| 7     |        | 0.411      |                   |         |
| 8     |        | 0.725      |                   |         |
| 9     |        | 0.653      |                   |         |
| 10    |        | 0.474      |                   |         |
| 11    |        | 0.746      |                   |         |
| 12    |        | 0.807      |                   |         |
| 13    |        |            | 0.751             |         |
| 14    |        |            | 0.785             |         |
| 15    |        |            | 0.764             |         |
| 16    |        |            | 0.701             |         |
| 17    |        |            | 0.742             |         |
| 18    |        |            | 0.669             |         |
| 19    |        |            | 0.658             |         |
| 20    |        |            | 0.744             |         |
| 21    |        |            | 0.708             |         |
| 22    |        |            | 0.605             |         |
| 23    |        |            | 0.735             |         |
| 24    |        |            | 0.765             |         |

| Items | Writing | Cultural Spiritual | Social Emotional | Perseverance | Physical |
|-------|---------|---------------------|------------------|--------------|----------|
| 25    |         |                     |                  | 0.966        |          |
| 26    |         |                     |                  | 0.986        |          |
| 27    |         |                     |                  | 0.847        |          |
| 28    |         |                     |                  | 0.663        |          |
| 29    |         |                     |                  | 0.701        |          |
| 30    |         |                     |                  | 0.597        |          |
| 31    |         |                     |                  | 0.921        |          |
| 32    |         |                     |                  | 0.939        |          |
| 33    |         | 0.726               |                  |              |          |
| 34    |         | 0.910               |                  |              |          |
| 35    |         | 0.797               |                  |              |          |
| 36    |         |                     | 0.665            |              |          |
| 37    |         |                     | 0.710            |              |          |
| 38    |         |                     | 0.496            |              |          |
| 39    |         |                     | 0.795            |              |          |
| 40    |         |                     | 0.821            |              |          |
| 41    |         |                     | 0.815            |              |          |
| 42    |         |                     | 0.800            |              |          |
| 43    |         |                     |                  | 0.640        |          |
| 44    |         |                     |                  | 0.612        |          |
| 45    |         |                     |                  | 0.768        |          |
| 46    |         |                     |                  | 0.711        |          |
| 47    |         |                     |                  | 0.776        |          |
| 48    |         |                     |                  | 0.731        |          |
| 49    |         |                     |                  | 0.731        |          |
| 50    |         |                     |                  | 0.049        |          |
| 51    |         |                     |                  | 0.160        |          |
| 52    |         |                     |                  | 0.576        |          |
| 53    |         |                     |                  |              | 0.748    |
| 54    |         |                     |                  |              | 0.890    |
| 55    |         |                     |                  |              | 0.308    |
| 56    |         |                     |                  |              | 0.348    |
| 57    |         |                     |                  |              | 0.020    |
| 58    |         |                     |                  |              | 0.636    |
| 59    |         |                     |                  |              | 0.650    |

Notes: Values are factor loadings in confirmatory factor analysis.
Criterion-related Validity. As reported in Table 5, the correlations between the scores of eHCl and other ECD metrics ranged between $r = -0.42$ and $r = 0.53$, and all were statistically significant. The direction of the correlation coefficient and the magnitude of the coefficient were all consistent with expectations, that is the direction of the coding (a high score represents poor development on some scales and low development on others) and the similarity of construct measured by the different instruments.

| Measure             | Site   | Year | N    | Raw correlation | P value |
|---------------------|--------|------|------|-----------------|---------|
| Overall development | ASQ    | Yexian | 2016 | 1918            | 0.53    | < 0.001 |
|                     | ASQ:SE | Shanghai | 2016 | 16478           | -0.42   | < 0.001 |
|                     | SDQ    | Shanghai | 2016 | 20277           | -0.45   | < 0.001 |
|                     | EAP-ECDS | Daming | 2018 | 1199            | 0.364   | < 0.001 |
| Numeracy and literacy | ASQ    | Yexian | 2016 | 1918            | 0.1254  | < 0.001 |
|                     | ASQ:SE | Shanghai | 2016 | 16477           | -0.24   | < 0.001 |
|                     | SDQ    | Shanghai | 2016 | 20276           | -0.26   | < 0.001 |
|                     | EAP-ECDS | Daming | 2018 | 1199            | 0.445   | < 0.001 |

Notes: eHCl = Early Human Capability Index; ECD = Early Child Development; ASQ = Age & Stages Questionnaire; ASQ:SE = Age & Stages Questionnaire: Social Emotional; SDQ = Strengths and Difficulties Questionnaire; EAP-ECDS = East Asia-Pacific Early Child Development Scales; The SDQ and the ASQ:SE higher scores represent children with greater development problems, which is opposite to how the other measures are coded.

Discriminant Validity. Differences in eHCl scores across several sociodemographic subgroups were shown in Table 6. Girls scored 0.025 (SE:0.002) higher than boys in Overall Development and 0.017 (SE:0.002) higher in Literacy Numeracy, adjusted for age and SES factors. Significantly higher scores were achieved by children with higher parental education, and in wealthier families.
Table 6
Associations between demographic and social economic status and eHCI scores

| Overall Development | Literacy Numeracy |
|---------------------|-------------------|
|                      | N  | Age-adjusted | Multivariate-adjusted<sup>a</sup> | N  | Age-adjusted | Multivariate-adjusted<sup>a</sup> |
| Gender              | 20232 | Ref. | Ref. | 20231 | Ref. | Ref. |
| boys                | 20232 | 0.026<sup>***</sup> | 0.025<sup>***</sup> | <sup>(0.002)</sup> | 0.019<sup>***</sup> | 0.017<sup>***</sup> | <sup>(0.002)</sup> |
| girls               | 20231 | 0.019<sup>***</sup> | 0.017<sup>***</sup> | <sup>(0.002)</sup> | 0.019<sup>***</sup> | 0.017<sup>***</sup> | <sup>(0.002)</sup> |
| Parental Education  | 20182 | Ref. | Ref. | 20181 | Ref. | Ref. |
| High school and below | 20182 | 0.052<sup>***</sup> | 0.037<sup>***</sup> | <sup>(0.002)</sup> | 0.116<sup>***</sup> | 0.093<sup>***</sup> | <sup>(0.002)</sup> |
| College             | 20181 | 0.037<sup>***</sup> | 0.093<sup>***</sup> | <sup>(0.002)</sup> | 0.037<sup>***</sup> | 0.093<sup>***</sup> | <sup>(0.002)</sup> |
| Master and above    | 20180 | 0.086<sup>***</sup> | 0.060<sup>***</sup> | <sup>(0.003)</sup> | 0.179<sup>***</sup> | 0.139<sup>***</sup> | <sup>(0.004)</sup> |
| Annual household income | 18950 | 0.034<sup>***</sup> | 0.018<sup>***</sup> | <sup>(0.002)</sup> | 0.071<sup>***</sup> | 0.035<sup>***</sup> | <sup>(0.003)</sup> |
| <100,000 RMB        | 18949 | Ref. | Ref. | Ref. | Ref. | Ref. |
| 100,000-300,000 RMB | 18949 | 0.037<sup>***</sup> | 0.018<sup>***</sup> | <sup>(0.002)</sup> | 0.071<sup>***</sup> | 0.035<sup>***</sup> | <sup>(0.003)</sup> |
| >300,000            | 18948 | 0.041<sup>***</sup> | 0.035<sup>***</sup> | <sup>(0.003)</sup> | 0.068<sup>***</sup> | 0.035<sup>***</sup> | <sup>(0.004)</sup> |
| Family assets quantile | 19030 | Ref. | Ref. | Ref. | Ref. | Ref. |
| 1 [poorest]         | 19029 | 0.016<sup>***</sup> | 0.003 | <sup>(0.002)</sup> | 0.032<sup>***</sup> | 0.004 | <sup>(0.003)</sup> |
| 2                   | 19028 | 0.009<sup>***</sup> | 0.003 | <sup>(0.002)</sup> | 0.049<sup>***</sup> | 0.013<sup>***</sup> | <sup>(0.003)</sup> |
| 3                   | 19027 | 0.012<sup>***</sup> | 0.003 | <sup>(0.002)</sup> | 0.054<sup>***</sup> | 0.010<sup>***</sup> | <sup>(0.003)</sup> |

Values are linear regression coefficients (95% CI);
<sup>a</sup> Adjusted for age and all characteristics included in table.

Discussion

The psychometric properties of eHCI was evaluated in a representative sample of children aged 3-4 years from all districts of Shanghai. Results of the present study suggest the eHCI is psychometrically sound for Chinese children.

As reported in Table 7 and Table 8, the reliability and validity of the eHCI was shown better than that of the EDI, especially the criterion and discriminant validity (17). In term of reliability indicators, the α coefficient indicates good internal consistency sufficient for group comparison other than the domain of physical (26). The physical subscale was designed to understand children’s disability, health status and behavior. The four items in the subscale are: “Is this child frequently sickly?”, “Does this child have good hygiene i.e. always wash their hands after toileting?”, "Does this child have any
disabilities/special needs?", "Does this child have a regular diet?", are not strongly correlated with each other. Perhaps indicating that these physical factors act mainly as independent characteristics rather than as a scale of physical development. An ICC above 0.75 is considered as excellent (27).

The result of our reliability analysis suggested that eHCl had good internal consistency and temporal stability. However, the inter-rater agreement in the present analysis was more variable, with subscales related to Literacy Numeracy showing excellent consistency between scores rated by parents and teachers, and the others showing greater heterogeneity in responses. Since the items in Numeracy Concepts, Reading, and Writing are relatively objective indicators, it is reasonable that scores in those aspects were more consistent between parents and teachers. These results are consistent with the reported reliability of other measures of child development and the reasons for inconsistent are likely to be related to parent, teacher and child factors as well as context (for example; parental knowledge of child development, parent literacy levels, parental engagement in the school system, teacher qualifications and knowledge of development, teachers experience across different socioeconomic settings, child behavior being different in the school compared to home due to shyness or other factors). The paired t-test results suggested that teacher scored higher than parents for the same children. For example, the items in cultural spiritual are "Does this child talk politely?", and "Is this child good to his or her parents?". It may be because children act differently in kindergarten than at home. It also may be because parents expected too much of their children. We can't draw a conclusion without deeper exploration of the reason behind the disagreement. In the future, when using the eHCl or other measures of child development it will be important to distinguish the raters prior to scores being compared across different populations.

Table 7
The comparison of the reliability of the eHCl and the EDI

|            | Cronbach’s alpha | Intraclass Correlation Coefficients |
|------------|------------------|-------------------------------------|
|            |                  | Test-retest                          | Parent X Teacher |
| eHCl       | 0.18–0.87        | 0.48–0.97                           | 0.02–0.81        |
| EDI        | 0.84–0.96        | 0.20–0.31                           | 0.36–0.64        |

EHCl = Early Human Capability Index; EDI = Early Development Instrument
Table 8
The comparison of the validity of the eHCI and the EDI

|          | Factor Loading | Criterion-related Validity | Discriminant Validity |
|----------|----------------|---------------------------|-----------------------|
|          | Instrument     | Correlation               | Variables             | Statistic methods |
| **EHCI** | 0.020–0.986    | 0.13–0.53 (P < 0.001)     | Age; Gender; Parental education; Family income; Family assets | Multivariable linear regression model |
|          | **EDI**        | 0.20–0.31                 | 0.01–0.47 (P > 0.05)  | Age; Gender | T test |

EHCI = Early Human Capability Index; EDI = Early Development Instrument; ASQ = Age & Stages Questionnaire; ASQ:SE = Age & Stages Questionnaire: Social Emotional; SDQ = Strengths and Difficulties Questionnaire; EAP-ECDS = East Asia-Pacific Early Child Development Scales

The results of confirmatory factor analysis supported the underlying structure of the eHCI. The model fit demonstrated that the extracted factors from all items are capable of assessing the different developmental domains in Chinese children. All but five items have high factor loadings. Those five are reverse coded question: kick, bite or hit adults or other children; impatient; need constant reminding to finish something off; get easily distracted from a task; frequently sickly. Even though the factor loadings of the reverse coded items were lower than expected, it may be important to keep the items worded in a negative fashion. There is evidence to suggest that respondents get into a pattern of response and reversing the direction of a question requires deeper thinking, however others in survey methodology would recommend keeping all survey items in the same direction for simplicity and to reduce confusion (17, 28). This may be something worth exploring further with future use of the eHCI.

The eHCI showed significant correlations with other metrics covering different domains of child development, such as Age & Stages Questionnaire (gross motor, fine motor, communication, problem-solving, social-personal), Strengths and Difficulties Questionnaire (psychosocial well-being), etc. However, those metrics are inclined to screen the individual with high-risk of development. The eHCI was designed to monitor the comprehensive abilities of children in population level. As such, we wouldn’t have expected correlations larger than what was found.

The discriminant validity of eHCI with demographic characteristics was also presented in the results. The eHCI scores of girls were significantly more than that of boys, consistent with the conclusion of other studies that girls mature earlier than boys (29). The results also suggest that higher eHCI scores
appeared in the groups with higher socioeconomic status, in keeping with prior research (30). The significant association between eHCl scores and demographic characteristics verified that eHCl could detect the development heterogeneity of different populations. This study has several limitations that deserve mention. First, although the eHCl was proved to be a feasible and comprehensive tool for identifying the developmental level of Chinese children, the overall sample was not representative of the national population, even though children from migrant workers from rural areas in Shanghai were included within this sample. Second, although the eHCl could be applied as an instrument for monitoring and to compare the status of early childhood development in different populations worldwide for its cross-culture design, it is not meant to replace traditional screening or diagnostic tools for delayed development. The eHCl emphasizes improving early childhood development at a population level, rather than diagnosing individual children as abnormal. Future studies should take this into consideration according to their target population and goal. Third, although the reliability and validity of eHCl has been tested in this study, there is still no evidence to verify eHCl as a reliable predictor of long-term indicators of academic or working achievement, such as education level, income, and crimes. Longitudinal studies are warranted to test its predictive validity for later outcomes.

Conclusions
The results of reliability and validity analysis suggest that the eHCl is a valid measurement to assess the overall development of Chinese children aged 3–6 years. It has enabled us to monitor the developmental trajectories of children, implement evidence-based interventions to improve their school readiness, and will ultimately support the evaluation of those interventions. The valuable aspect is that the eHCl can be applied to children from diverse cultural backgrounds, which makes it possible to map the global distribution of early childhood development for allocating scarce resources to help those in greatest demand. In the future, longitudinal studies will be conducted to identify its ability to predict important outcomes in later life.

Abbreviations
ECD: Early Childhood Development; EDI: Early Development Instrument; EHCl: Early Human Capacity
Index; SCHEDULE-P: Shanghai Children’s Health, Education and Lifestyle Evaluation, Preschool; SDQ: Strengths and Difficulties Questionnaire; ASQ: SE: Age & Stages Questionnaire: Social Emotional; ASQ: Age & Stages Questionnaire; EAP-ECDS: East Asia-Pacific Early Child Development Scales; ICC: intraclass correlation coefficients

Declarations

Ethics approval and consent to participate

Parents provided informed consent for their own participation, as well as the participation of their children. The study was approved by the Institutional Review Board of the Shanghai Children’s Medical Center (SCMC), Shanghai Jiao Tong University (SCMCIRB-K2016022-01).

Consent for publication

Not applicable.

Availability of data and materials

The datasets generated and/or analyzed during the current study are not publicly available due their ongoing analysis but are available from the corresponding author on reasonable request.

Competing interests

The authors declare that they have no competing interests.

Funding

The study was supported by the Chinese National Natural Science Foundation (81773443, 81602870); Shanghai Municipal Education Commission (D1502); Science and Technology Commission Shanghai Municipality (2018SHZDZX05); Shanghai Municipal Commission of Health and Family Planning (2016ZB0104).

Authors’ contributions

Dr. Jin Zhao conducted the study, collected and analyzed the data, and drafted the initial manuscript. Dr. Sally Anne Brinkman developed the data collection instruments, analyzed the data, and drafted part of the manuscript. Dr. Song Yingquan, Dr. Mary Eming Young and Dr. Wenjie Shan conducted the study, collected and cleaned the data, reviewed the revised the manuscript. Dr. Chunling Lu, Dr. Yue Zhang and Dr. Patrick IP conceptualized and designed the study, supervised the data analysis and the
draft writing, critically reviewed the manuscript for important intellectual content. Dr. Yunting Zhang conceptualized and designed the study, conducted the study, supervised the data collection and analysis, and critically reviewed the manuscript for important intellectual content. Dr. Fan Jiang acquired the funding, conceptualized and designed the study, conducted the study, supervised the data collection and analysis, critically reviewed the manuscript for important intellectual content.

Acknowledgements

Not applicable.

References

1. Black MM, Walker SP, Fernald LCH, Andersen CT, DiGirolamo AM, Lu C, et al. Early childhood development coming of age: science through the life course. The Lancet. 2017;389(10064):77-90.

2. Black MM, Walker SP, CFernald L, Andersen CT, DiGirolamo AM, Lu C, et al. Advancing Early Childhood Development: from Science to Scale. The Lancet. 2016.

3. Paul G, James H, Rodrigo P, Arianna Z, Christel V, Susan W, et al. Labor market returns to an early childhood stimulation intervention in Jamaica. Science. 2014;344(6187):998.

4. Schweinhart LJ. Significant Benefits: The High/Scope Perry Preschool Study Through Age 27. Monographs of the High/Scope Educational Research Foundation Number. 1993.

5. Campbell FA, Helms R, Sparling JJ, Ramey CT. Early-childhood programs and success in school: The Abecedarian study. State University of New York. 1998:145-66.

6. Duncan GJ, Magnuson K. Investing in Preschool Programs. The Journal of Economic Perspectives. 2013;27(2):109-31.

7. Mccoy DC, Sudfeld CR, Bellinger DC, Muhhihi A, Ashery G, Weary TE, et al. Development and validation of an early childhood development scale for use in low-resourced settings. Population Health Metrics. 2017;15(1):3.
8. Aylward GP. Bayley Scales of Infant and Toddler Development: John Wiley & Sons, Inc.; 2010. 357-8 p.
9. Lobello SG. A short form of the Wechsler preschool and primary scale of intelligence-revised. Journal of School Psychology. 1991;29(3):229-36.
10. Rimm-Kaufman SE, Pianta RC, Cox MJ. Teachers’ judgments of problems in the transition to kindergarten. Early Childhood Research Quarterly. 2000;15(2):147-66.
11. Engle PL. Strategies for reducing inequalities and improving developmental outcomes for young children in low-income and middle. Lancet. 2011.
12. Ntuli E, Nyarambi A, Traore M. Assessment in early childhood education: Threats and challenges to effective assessment of immigrant children. Journal of Research in Special Educational Needs. 2015;14(4):221-8.
13. McCoy DC, Waldman M, Fink G. Measuring early childhood development at a global scale: Evidence from the Caregiver-Reported Early Development Instruments. Early Childhood Research Quarterly. 2018;45:58-68.
14. UNICEF. The formative years: UNICEF’s work on measuring early childhood development.; 2014.
15. High PC. School readiness. Pediatrics. 2008;121(4):1008-15.
16. Brinkman S, Thanh Vu B. Early Childhood Development in Tonga: Baseline Results from the Tongan Early Human Capability Index; World Bank Studies:. World Bank, Washington, DC© World Bank. 2017.
17. Janus M, Offord DR. Development and Psychometric Properties of the Early Development Instrument (EDI): A Measure of Children’s School Readiness. Canadian Journal of Behavioural Science. 2007;39(1):1-22.
18. Brinkman SA, Gregory TA, Sharon G, Lynch JW, Matthew H. Data resource profile: the Australian early development index (AEDI). International Journal of Epidemiology.
19. Brinkman SA, Vu BT. Early childhood development in Tonga: baseline results from the Tongan early human capability index. Washington, D.C.: World Bank Group; 2016.

20. Zhao J, Zhang Y, Jiang F, Ip P, Ho FKW, Zhang Y, et al. Excessive Screen Time and Psychosocial Well-Being: The Mediating Role of Body Mass Index, Sleep Duration, and Parent-Child Interaction. J Pediatr. 2018;202:157-62.e1.

21. OECD. PISA 2009 ASSESSMENT FRAMEWORK – KEY COMPETENCIES IN READING, MATHEMATICS AND SCIENCE. 2009.

22. Goodman A, Goodman R. Strengths and difficulties questionnaire as a dimensional measure of child mental health. J Am Acad Child Adolesc Psychiatry. 2009;48(4):400-3.

23. Squires J, Bricker D, Potter L. Revision of a parent-completed development screening tool: Ages and Stages Questionnaires. 1997;22(3):313-28.

24. Jing Z, Li Z, Rao NJGE. The Early Developmental Status and Differences of Young Children in China: A Report from the EAP—ECDS Validation Study. 2018.

25. Liang X, Yang Y. An evaluation of WLSMV and Bayesian methods for confirmatory factor analysis with categorical indicators. International Journal of Quantitative Research in Education. 2014.

26. Murphy KR DC. Psychological testing: Principles and applications. 4th ed. New Jersey: Prentice Hall International Inc.; 1996.

27. Cicchetti DV. Guidelines, Criteria, and Rules of Thumb for Evaluating Normed and Standardized Assessment Instruments in Psychology. Psychological Assessment. 1994;6(4):284-90.

28. Bert W, Hans B, Niels S. Reversed item bias: an integrative model. Psychol Methods.
29. Galsworthy MJ, Dionne G, Dale PS, Plomin R. Sex differences in early verbal and non-verbal cognitive development. Developmental Science. 2010;3(2):206-15.

30. Bradley RH, Corwyn RF. Socioeconomic Status and Child Development. Annual Review of Psychology. 2002;53(1):371-99.

Figures

![Person-Item Threshold Distribution](image)

Figure 1

Distribution of item and person locations: all 60 items