Enhancing the Sensitivity of Memory Tests: Reference Data for the Free and Cued Selective Reminding Test and the Logical Memory Task from Cognitively Healthy Subjects with Normal Alzheimer’s Disease Cerebrospinal Fluid Biomarker Levels

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Abstract.

**Background:** Cognitive performance of a given individual should be interpreted in the context of reference standards obtained in cognitively healthy populations. Recent evidence has shown that removing asymptomatic individuals with biomarker evidence of Alzheimer’s disease (AD) pathology from normative samples increases the sensitivity of norms to detect memory impairments. These kind of norms may be useful for defining subtle cognitive decline, the transitional cognitive decline between normal cognition and mild cognitive impairment.

**Objective:** The present study aims to provide norms for the Free and Cued Selective Reminding Test (FCSRT) and the Logical Memory subtest of the Wechsler Memory Scale-IV in a sample of individuals aged 50–70 years with normal levels of amyloid (A) and tau (T) cerebrospinal fluid (CSF) biomarkers.

**Methods:** The sample was composed of 248 individuals from the ALFA+ study with negative amyloid-β and tau CSF biomarker levels. Regression-based norms were developed, including adjustments for age, education, and sex when applicable.

**Results:** We found that education was associated with the performance in all the variables of both tests while age had a marginal effect only in the delayed free recall of the FCSRT. Sex was also related to the performance in the FCSRT, with women outperforming men. Equations to calculate z-scores and normative percentile tables were created. As compared with previously published norms the reference data presented were more sensitive but less specific, as expected.

**Conclusion:** The use of the norms provided in this work, in combination with the already published conventional norms, may contribute to detecting subtle memory impairment.

Keywords: Alzheimer’s diseases, amyloid, biomarkers, cognition, memory, norms, sex

INTRODUCTION

Norms obtained from a cognitively unimpaired population are necessary to interpret any given score in a neuropsychological test. These reference data provide an objective framework that is critical in deciding if an individual’s performance is within the normal range or is suggestive of impairment, that is, unexpectedly low for their sociodemographic characteristics. Age, education, and, in a few cases, sex adjustments, are routinely provided in normative data because of their well-known impact on cognitive performance. However, other variables, such as the presence of preclinical Alzheimer’s disease (AD) in some of the individuals included in a reference group, may limit the sensitivity of the norms in detecting subtle impairments in elderly subjects. Of note, amyloid-β (Aβ) positivity, which defines the presence of Alzheimer’s pathologic changes [1], has an estimated prevalence of between 10% and 23% in individuals with normal cognition in the age range of 50–70 years [2]. Moreover, up to 44% of individuals at age ≥ 65 years may also present evidence of either abnormal Aβ levels, tau pathology or neurodegeneration [3].

AD pathology may affect cognitive performance even in cognitively healthy individuals. Mounting evidence suggests that Aβ has a low but consistent impact on cognition in asymptomatic individuals that is mainly captured by memory tasks in both cross-sectional [4, 5] and longitudinal studies [6–8]. In contrast with this amyloid effect, the influence of tau on cognition in unimpaired individuals is less clear. While in symptomatic AD stages tau pathology correlates far better than Aβ load with cognitive outcomes [9], it seems to be mainly uncorrelated in cognitively healthy individuals. However, some sensitive memory paradigms have recently found relevant associations to tau levels in a sample of negative AD biomarkers cognitively normal individuals [10].

Recent studies observed that the norms derived from samples of individuals without present or future relevant cerebral pathologies increase the ability to detect preclinical AD and the predictive accuracy of future cognitive decline. One of the possible approaches to provide more sensitive normative data consists of robust norming, which entails excluding individuals that developed clinical dementia at follow-up. For example, Grober et al. [11] found that robust norming improves the detection of incident dementia compared to conventional norms, using both the Free and Cued Selective Reminding Test (FCSRT) and Wechsler Memory Scale IV Logical Memory (LM). Another useful approach consists of excluding individuals with altered AD biomarkers. In the BIOFINDER cohort, Borland et al. [12] recently observed that the cut-offs obtained after excluding individuals with altered cerebrospinal fluid (CSF) Aβ, p-tau, cerebrovascular pathology, and neurofilament light measures were 6.2% to 19.9% stricter that those obtained from the total population. The application of such cut-offs to the entire cohort increased the sensitivity and the Youden index to identify cognitively unimpaired individuals in the preclinical
AD stage. This effect was observed in all cognitive domains, except in naming, being the effect of AD pathology more pronounced for delayed memory scores and the effect of cerebrovascular disease more pronounced for executive measures. Another relevant study performed with pooled data from eight cohorts found that, compared with conventional published norms, the use of norms derived from Aβ-negative samples increased the predictive accuracy of future progression to dementia [13]. Such effect was found for memory measures (immediate and delayed recall of the Auditory Verbal Learning Test, AVLT), but not for verbal fluency or executive function (Trail Making Test) ones. As a result, a new group of individuals at higher risk of dementia was identified using Aβ negative norms. Accordingly, the authors proposed a three-level model for interpreting memory scores by combining a “normal versus impaired” classification in both conventional published norms and Aβ-negative norms (i.e., Group 1, normal with both norms; Group 2, impaired only with Aβ-negative norms; Group 3 impaired with both norms, Fig. 1).

Thus, having available normative data of memory measures obtained in individuals with evidence of absence of AD pathological changes can be useful to capture subtle cognitive difficulties that are missed by using conventional normative data. The main aim of the present study is to provide negative AD biomarker normative data for the FCSRT and LM subtest, which are widely used tests for the assessment of memory performance in individuals with suspected cognitive decline and have shown predictive validity for identifying which individuals with memory complaints that will develop AD dementia [14].

**METHODS**

**Participants**

We included data from 248 participants that completed the first visit (2016–2019) from the ongoing ALFA+ (for ALzheimer and FAmilies) study. ALFA+ is a research cohort of middle-aged cognitively unimpaired subjects, many of whom are offspring of AD patients (in the present sample 153 out of 248 [61.7%], had at least one parent diagnosed with AD before age 75), who have been deeply characterized by clinical interviews, lifestyle and risk factors questionnaires, cognitive testing, CSF biomarkers, and neuroimaging procedures, including magnetic resonance imaging (MRI), and Aβ and FDG positron emission tomography (PET). All of these procedures are repeated every 3 years with the main aim of identifying the earliest pathophysiological changes in the preclinical AD continuum [15]. ALFA+ inclusion criteria were: 1) subjects who had previously participated in the 45-65/FPM2012 study (ALFA parent cohort [15]; 2) age between 45 and 75 years at the moment of the inclusion in the cohort (45-65/FPM2012 study); 3) long-term commitment to...
the study: inclusion and follow-up visits and agreement to undergo all tests and study procedures (MRI, PET, and lumbar puncture). ALFA+ exclusion criteria included: 1) cognitive impairment (Clinical Dementia Rating [CDR] > 0, Mini-Mental State Examination [MMSE] < 27, semantic fluency < 12); 2) any significant systemic illness or unstable medical condition which could lead to difficulty complying with the protocol; 3) any contraindication to any test or procedure; 4) family history of monogenic AD. None of the individuals recruited was excluded due to cognitive impairment, being all the participants classified as cognitively unimpaired (CDR = 0, MMSE ≥ 27 and semantic fluency ≥ 12).

**AD biomarker status definition**

We used CSF analyses to define Aβ, p-tau, and total-tau status. CSF collection, processing, and storage in the ALFA+ study have been described previously [16]. CSF p-tau and t-tau were measured using the electrochemiluminescence Elecsys® Phospho-Tau (181P) CSF and Total-Tau CSF immunoassays, respectively, on a fully automated cobas e 601 module (Roche Diagnostics International Ltd.). CSF Aβ42 and Aβ40 were measured with the exploratory Roche NeuroToolKit immunoassays (Roche Diagnostics International Ltd, Rotkreuz, Switzerland) on a cobas e 601 module. Measurements were performed at the Clinical Neurochemistry Laboratory, Sahlgrenska University Hospital, Mölndal, Sweden. Aβ status (Aβ+, Aβ−) was defined using the cutoff of 0.071 for the ratio Aβ42/40. The p-tau cutoff used was 24 pg/ml. The total-tau cutoff used was 300 pg/ml [16].

**Cognitive measures**

**Free and cued selective reminding test (FCSRT)**

The Spanish validated version A of the FCSRT was used in this study [17]. The FCSRT consists of the learning and retention of a list of 16 semantically unrelated words through a controlled learning process that uses semantic encoding. First, during learning, the participant is asked to read aloud 16 printed words (4 words in 4 cards) and associate them with their corresponding semantic cue (e.g., “Which is the bird?”). After this initial learning and encoding procedure, three recall trials are performed, each one preceded by 20 s of a number subtraction task. Each trial consists of free recall followed by cued recall for the words not spontaneously retrieved, by using the semantic cues previously given. The words that are not recalled after cueing are selectively reminded in the two initial trials, but not in the last one. A delayed free and cued recall is performed after 25–35 min. For a complete description of the items used in FCSRT version A, see [18]. The main variables of the test are: the sum of the words correctly retrieved in the three free recall learning trials [Total Free Recall (TFR; range 0–48)]; the sum of the words recalled, either free or cued, in the three immediate recall trials [Total Recall (TR; range 0–48)]; the delayed free recall [Total Delayed Free Recall (TDFR; range 0–16)]; and the total amount of words recalled, either free or cued, in the delayed recall trial [Total Delayed Recall (TDR; range 0–16)].

**Logical memory (LM)**

The LM subtest used is included in the Wechsler Memory Scale-IV Spanish version [19]. It has three parts: immediate recall (LM I), delayed recall (LM II), and recognition (LM Recognition). In LM I, the examiner reads aloud two stories, and the examinee must reproduce the story immediately after hearing it as accurately as possible. After a period of between 20 and 30 min, the examiner asks the participant to recall the two stories (LM II). In both parts, the memory score is computed by summing up the number of the remembered items for each story. Finally, a recognition task is performed, in which participants are given yes or no questions regarding details of the stories. In this study we used stories B and C regardless of age. The main variables are: Immediate Recall (LM I; range 0–50), Delayed Recall (LM II; range 0–50), and Recognition (range 0–30).

**Development of normative data**

To develop the normative data, we followed the regression-based method used in previous studies [20, 21]. In brief: 1) We centered the age of the participants by subtracting the mean group age from each subject’s chronological age. 2) We constructed a set of multiple regression models (one for each cognitive score of interest), with cognitive score as dependent variable and age-centered, schooling (with 4 category levels [elementary = 0, secondary = 1, graduate = 2, postgraduate = 3]), and sex (male = 0; female = 1) as predictors. A backward stepwise method was used, with a criterion of $p < 0.1$ for the beta coefficient to maintain a predictor in the model. 3) We used the
constant and the coefficients obtained to calculate predicted scores following Equation 1.

\[
\text{Predicted Score} = \text{Constant} + b_1 \times \text{Age centered} + b_2 \times \text{Schooling} + b_3 \times \text{Sex} \tag{1}
\]

4) We calculated the residuals between each possible value of the cognitive score and each possible expected score (using the relevant predictors for each variable) by subtracting them. Then, the residuals were converted to a z-score by dividing them by the standard deviation of the unstandardized residuals of the regression model. Clinicians may use the equations with the coefficients provided in the results to calculate the z-score associated with a specific score of a given patient. 5) To simplify the use of the normative data, we provide tables for the most common percentiles (percentiles 1, 2, 5, 10, 15, 20, 30, 40, 50, 60, 70, 80, 90, 95, 98). In each table, the theoretical raw scores associated with each percentile value are shown. When age accounted for a relevant effect in a cognitive variable, age groups were collapsed considering the distribution of the percentiles along the age range to reduce the number of tables.

RESULTS

Table 1 shows the demographic, genetic (APOE e4 allele), cognitive screening, and biomarker data of the present study’s sample. Descriptive data of the memory tests are provided in Table 2.

The results of the multiple regression analysis with the estimated coefficient (beta) value for each variable and related p-value can be found in Table 3. Table 4 shows the equations used to calculate the z-scores by computing the discrepancy between the observed raw score and the predicted score accounting for relevant sociodemographic factors.

Normative tables with the calculations developed and raw scores equivalence to percentiles are provided in Supplementary Tables 1–8.

DISCUSSION

In this study, we provided regression-based normative data for the FCSRT and the LM memory tests obtained from a negative biomarker sample of cognitively healthy individuals aged between 50 and 70 years.

We found a relevant effect of schooling on the performance of both tasks. Sex affected the performance in three of the FCSRT variables, and age only in the FCSRT delayed free recall. Education is a well-known factor associated with cognitive performance and should be always considered when interpreting cognitive data. For the FCSRT, the influence of education has been extensively reported and available norms offer education adjustments [17, 22, 23]. Similarly, education effects in the LM subtest have been consistently found by previous researchers in different countries and languages [23–25], but for this test, despite this evidence, the originally published norms only provide tables stratified by age. This
Graduate = 2; Postgraduate = 3; Male = 0; Female = 1.

The discrepancies between impaired scores using the current norms. In this case, performance is only impaired using the negative norms developed by Bos et al. [13] and according to the standard norms and according to the previously published Spanish norms [17], and a percentile range between 29 and 40 according to the published standard norms, the current norms can be described as more sensitive but less specific, because, as expected after the removal of positive AD biomarkers individuals, the observed reference scores in this study are higher than those previously described. To illustrate the use of current norms compared with the previously published ones some examples are provided. We will consider performances ≤ percentile 5 as impaired. Example 1: A 65-year-old male with a secondary degree of education (14 years) obtains a score of 42 in the immediate total recall of the FCSRT. This score corresponds to a percentile range between 29 and 40 according to the published Spanish norms [17], and a percentile range between 30 and 40 in the norms presented here. Thus, 42 is a normal performance in both norms (Group 1, according to Bos et al. nomenclature [13]). However, if the same individual obtains a score of 36, this score corresponds to a percentile 11–18 in the published standard norms, but a percentile 2 in the current norms. In this case, performance is only impaired using the norms derived from the negative biomarker sample (Group 2). If such an individual obtains a score of 33, it would be impaired in both norms (percentile 3–5 and below 1, respectively, Group 3). The discrepancies between impaired scores according to the standard norms and according to the inclusion of individuals with biomarker evidence of AD pathology in other normative data exacerbates the age-effect previously observed, because AD pathology is more prevalent in advanced ages. Regarding the sex effect, our findings for the FCSRT concur with those reported for the AVLT in the Aβ negative norms developed by Bos et al. [13] and deserve a specific comment. A recent meta-analysis including 617 studies and more than 1.2 million healthy participants confirmed that women outperform men in all kinds of episodic memory tasks assessed, except in those involving spatial processing [26]. This sex effect, which is frequently dismissed in normative data, seems to have an impact on the diagnosis of mild cognitive impairment (MCI). Sundermann et al. [27] recently detected 10% of false negatives (missed MCIs) among females, and 10% of false positives (non-MCI) among males when they used sex-specific norms for the AVLT. Furthermore, Banks et al. [28] found that sex-specific cognitive composites increase the statistical power and reduce the sample sizes needed in clinical trials. Accordingly, our sex and biomarker adjusted norms may be especially sensitive to diagnosing MCI among women.

Compared with published standard norms, the current norms can be described as more sensitive but less specific, because, as expected after the removal of positive AD biomarkers individuals, the observed reference scores in this study are higher than those previously described. To illustrate the use of current norms compared with the previously published ones some examples are provided. We will consider performances ≤ percentile 5 as impaired. Example 1: A 65-year-old male with a secondary degree of education (14 years) obtains a score of 42 in the immediate total recall of the FCSRT. This score corresponds to a percentile range between 29 and 40 according to the published Spanish norms [17], and a percentile range between 30 and 40 in the norms presented here. Thus, 42 is a normal performance in both norms (Group 1, according to Bos et al. nomenclature [13]). However, if the same individual obtains a score of 36, this score corresponds to a percentile 11–18 in the published standard norms, but a percentile 2 in the current norms. In this case, performance is only impaired using the norms derived from the negative biomarker sample (Group 2). If such an individual obtains a score of 33, it would be impaired in both norms (percentile 3–5 and below 1, respectively, Group 3). The discrepancies between impaired scores according to the standard norms and according to...
of MCI, defined subtle cognitive decline as having the concepts used for their actuarial definition ADNI cohort. Edmonds et al. in 2015 [31], followed utility to predict clinical progression in the definitions of subtle cognitive decline have demonstrated. However, SCD may also be related to demonstration of SCD to predict cognitive decline has been demonstrated. However, SCD may also be related to the presence of underlying AD pathology, and subtle dichotomous approach (preserved/impaired), may be useful to define the presence of the so-called “subtle cognitive decline”. The definition of subtle cognitive decline remains elusive. The concept was incorporated in the National Institute on Aging–Alzheimer’s Association (NIA-AA) research criteria for preclinical AD in 2011 [29]. In that framework, individuals with evidence of abnormal amyloid levels and neurodegeneration that present subtle cognitive decline, defined as a cognitive function that is “not normal, not MCI”, were labeled as preclinical AD Stage 3 [29]. In the NIA-AA 2018 criteria, the numerical staging was restricted to the clinical expression of symptoms in the presence of underlying AD pathology, and subtle cognitive decline was related to the so-called transitional cognitive decline observable in the pre-MCI Stage 2 [1]. In both definitions of subtle cognitive decline, it can be documented either with subjective reports of cognitive decline (SCD) or by evidence of longitudinal objective cognitive decline. The usefulness of SCD to predict cognitive decline has been demonstrated. However, SCD may also be related to other medical conditions and most individuals with SCD will not progress to dementia [30]. Regarding objective cognitive measures, although intraindividual longitudinal measures may be the most robust way of defining objective decline, some cross-sectional definitions of subtle cognitive decline have demonstrated utility to predict clinical progression in the ADNI cohort. Edmonds et al. in 2015 [31], following the concepts used for their actuarial definition of MCI, defined subtle cognitive decline as having at least two scores below 1 SD deviation in different cognitive domains, as opposed to the 1.5 SD cutoff usually used for endorsing cognitive impairment, or as having a slight functional decline in the Functional Activities Questionnaire (FAQ). The same research group further refined the definition of subtle cognitive decline by adding “process” scores in memory performance (i.e., learning slope, intrusion errors and retroactive interference [32]), and demonstrated that such definition of subtle cognitive decline related to faster amyloid accumulation and selective vulnerability of entorhinal cortical thinning [33]. Our approach, instead of using a more relaxed cutoff of 1 SD, suggests a complementary definition of subtle cognitive decline based on impairment (by using the common <1.5 SD or percentile 5 cutoffs), but using a reference group without evidence of AD pathology. Thus, we suggest that those performances falling in the Bos et al. Group 2 [13], that is, normal according to the published norms but impaired using negative AD biomarker ones, can be labelled as subtle cognitive impairment/decline. Although such an approach would eventually need negative biomarker norms for every cognitive test, the current evidence points out that only memory tasks would be affected by AD pathology in cognitively unimpaired individuals [13]. Furthermore, such norms would probably be progressively available using the open-access data from the large cohorts involving AD biomarkers collection that are currently under study.

The present study is not free of limitations. The main limitation relates to the limited applicability of the norms provided here. First, the narrow age range of the individuals included, from 50 to 70 years prevents their use on older individuals. However, our proposed definition of subtle cognitive decline by using the current norms may be especially useful in the age range between 60 and 70, the age at which consultations to memory clinics for suspected cognitive impairment/decline. Although such an approach would eventually need negative biomarker norms for every cognitive test, the current evidence points out that only memory tasks would be affected by AD pathology in cognitively unimpaired individuals [13]. Furthermore, such norms would probably be progressively available using the open-access data from the large cohorts involving AD biomarkers collection that are currently under study.

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controlled by incorporating AD biomarker measures, since APOE e4 is a risk factor for AD and the cognitive effect of this allele is suggested to be mediated mainly through the presence of AD pathology [34]. The same rationale may be applied to the report of subjective cognitive decline in the sample (27% of the sample expressed memory difficulties when asked) or to the presence of family history. It is also important to note the impact of the cut-offs used to define negative and positive AD biomarkers in the composition of the sample and the norms derived from it. While we used a highly sensitive cut-off using CSF biomarker levels [16], the use of other less specific cut-offs or the use of other measurements such PET imaging to define the reference group may lead to different norm distribution. Another limitation relates to the ceiling effect of the FCSRT, commonly observed in cognitively unimpaired or mildly impaired populations primarily in cued trials. The Memory Binding Test (MBT) was devised taking advantage of the FCSRT features to overcome such ceiling effect by using two lists of 16 paired words. We have previously provided some normative data from the ALFA cohort [35], and demonstrated the advantages of the MBT over the FCSRT [36]. However, despite having data available, we have not included MBT norms in the current paper because at the time of biomarker collection, participants had already been exposed to the MBT four years before and we have observed some trends of practice effects. In any case, robust MBT norms (calculated in those individuals without evidence of AD biomarkers or clinical decline at follow-up) will be published in short, along with extended normative data of the test.

A call to caution should be made to those researchers and clinicians who aim to use the current norms. These norms do not intend to replace the previously published ones. Instead, they may be used as a complementary interpretation framework. The selection of the most appropriate reference norms to interpret cognitive scores is an important decision in clinical neuropsychology. The current norms can be used in the cases that fit within the applicability range, that is, Spanish individuals with at least elementary studies but mainly medium to high schooling and falling within the age range from 50 to 70.

To summarize, we provided here regression-based norms for the FCSRT and the LM subtest developed in a sample of cognitively healthy individuals with evidence of negative CSF AD biomarkers. The current norms, in combination with the already published ones may be useful for detecting subtle memory impairment, especially in women.

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SUPPLEMENTARY MATERIAL

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