System-size dependence of open-heavy-flavor production in nucleus-nucleus collisions at $\sqrt{s_{NN}}=200$ GeV

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The PHENIX Collaboration at the Relativistic Heavy Ion Collider has measured open heavy flavor production in Cu+Cu collisions at $\sqrt{s_{NN}}=200$ GeV through the measurement of electrons at midrapidity that originate from semileptonic decays of charm and bottom hadrons. In peripheral Cu+Cu collisions an enhanced production of electrons is observed relative to p+p collisions scaled by the number of binary collisions. In the transverse momentum range from 1 to 5 GeV/c the nuclear modification factor is $R_{AA} \sim 1.4$. As the system size increases to more central Cu+Cu collisions, the enhancement gradually disappears and turns into a suppression. For $p_T > 3$ GeV/c, the suppression reaches $R_{AA} \sim 0.8$ in the most central collisions. The $p_T$ and centrality dependence of $R_{AA}$ in Cu+Cu collisions agree quantitatively with $R_{AA}$ in d+Au and Au+Au collisions, if compared at similar number of participating nucleons $\langle N_{\text{part}} \rangle$.

There are many processes that occur in nuclear collisions which can alter the kinematic distributions of observed particles. Modifications of the parton distributions in bound nucleons will affect the production rates of particles. Initial-state parton scattering and energy loss in the nucleus will also affect the observed particle spectra.

Evidence for such nuclear effects was shown by PHENIX in data from d+Au collisions at $\sqrt{s_{NN}} = 200$ GeV, where an enhancement of electrons from heavy flavor decays was observed relative to p+p collisions between $1 < p_T < 5$ GeV. The enhancement depends on centrality; as the collisions become more central the enhancement becomes more and more pronounced, in contrast to the increasing suppression observed in Au+Au collisions at the same $\sqrt{s_{NN}}$. Given these results, it is interesting to measure how this enhancement changes over to suppression as the system becomes larger. The system size can be varied by changing the colliding nuclei. At RHIC, effects from cold and hot nuclear matter compete and their relative importance likely depends on the system size, which can be quantified through the average number of binary nucleon-nucleon collisions ($\langle N_{\text{coll}} \rangle$) or the average number of participants ($\langle N_{\text{part}} \rangle$). Using $\langle N_{\text{coll}} \rangle$, central d+Au collisions show the largest enhancement at $N_{\text{coll}} \sim 15$, while central Au+Au collisions exhibit the largest suppression at $N_{\text{coll}} \sim 1000$ (see Table 1). To further investigate system-size depen-
dence, PHENIX has studied Cu+Cu collisions, also at $\sqrt{s_{NN}} = 200$ GeV. The $\langle N_{\text{coll}} \rangle$ range for this intermediate-sized system overlaps with $d+Au$ as well as $Au+Au$ collisions and thus Cu+Cu allows access to the transition region between dominance of enhancement effects and hot-nuclear-matter suppression.

TABLE I: Values of the average number of binary collisions ($\langle N_{\text{coll}} \rangle$) and participating nucleons ($\langle N_{\text{part}} \rangle$) for $d+Au$, Cu+Cu, and Au+Au collisions at $\sqrt{s_{NN}} = 200$ GeV. $\langle N_{\text{coll}} \rangle$ and $\langle N_{\text{part}} \rangle$ increase with decreasing impact parameter (more central events).

| Colliding species | Centrality | $\langle N_{\text{coll}} \rangle$ | $\langle N_{\text{part}} \rangle$ |
|-------------------|------------|-------------------------------|-------------------------------|
| $d+Au$            | 0%–100%    | 7.59±0.43                     | 9.1±0.4                       |
|                   | 0%–20%     | 15.06±1.01                    | 15.4±1.0                      |
|                   | 20%–40%    | 10.25±0.70                    | 10.6±0.7                      |
|                   | 40%–60%    | 6.58±0.44                     | 7.0±0.6                       |
|                   | 60%–88%    | 3.20±0.19                     | 3.1±0.3                       |
| Cu+Cu             | 0%–94%     | 51.8±5.6                      | 34.6±1.2                      |
|                   | 0%–10%     | 182.7±20.7                    | 98.2±2.4                      |
|                   | 0%–20%     | 151.8±17.1                    | 85.9±2.3                      |
|                   | 20%–40%    | 61.2±6.6                      | 45.2±1.7                      |
|                   | 40%–60%    | 22.3±2.9                      | 21.2±1.4                      |
|                   | 60%–94%    | 5.1±0.7                       | 6.4±0.4                       |
| Au+Au             | 0%–92%     | 257.8±25.4                    | 109.1±4.1                     |
|                   | 0%–10%     | 955.4±93.6                    | 352.2±3.3                     |
|                   | 10%–20%    | 602.6±59.3                    | 234.6±4.7                     |
|                   | 20%–40%    | 296.8±31.1                    | 140.4±4.9                     |
|                   | 40%–60%    | 90.70±11.8                    | 59.95±3.6                     |
|                   | 60%–92%    | 14.50±4.00                    | 14.5±2.5                      |

In this paper we present data of single electrons (we refer to electrons to mean the sum of electrons and positrons divided by two) from semi-leptonic decays of heavy flavor hadrons obtained in Cu+Cu collisions at $\sqrt{s_{NN}} = 200$ GeV. The paper is organized as follows. Section II presents the experimental setup and describes how we measure the inclusive yield of electrons and positrons. In Section III we discuss how we extract the heavy flavor contribution from the inclusive yield. The results are presented in Section IV and discussed and compared to previous results in Section V and theoretical models in Section VI. Section VII gives a summary of this work.

II. EXPERIMENTAL METHODS

Figure 1 shows the layout of the 2005 PHENIX detector. Electrons and positrons are measured using the two central spectrometer arms, each of which covers the pseudorapidity range of $|\eta| < 0.35$ with an azimuthal coverage of $\Delta \phi = \pi/2$. Charged tracks are reconstructed radially outside of an axial magnetic field using layers of drift chambers and pad chambers. Electrons are identified by hits in the ring imaging Čerenkov counter (RICH) and by requiring a match between an energy deposit in the electromagnetic calorimeter (EMCal) and the track’s momentum. The RICH uses CO$_2$ gas at atmospheric pressure as the Čerenkov radiator. Electrons and pions begin to radiate in the RICH at $p_T > 20$ MeV/c and $p_T > 4.9$ GeV/c, respectively. The EMCal comprises four sectors in each arm. The two lowest sectors of the east arm are lead-glass and the remaining six are lead-scintillator. The angular segmentation of the lead-scintillator (lead-glass) is $\Delta \phi \times \Delta \eta = 0.01 \times 0.01$ (0.008x0.008) and the energy resolution is $\delta E/E \sim 4.5\% \oplus 8.3/\sqrt{E(\text{GeV})} (4.3\% \oplus 7.7/\sqrt{E(\text{GeV})})$. A bag filled with He gas at atmospheric pressure is placed between the beam pipe and drift chamber (DC) entrance window to minimize photon conversions. Detailed descriptions of the PHENIX detector subsystems can be found in [23].

![FIG. 1: (Color online) Beam view of the PHENIX central arm detector in the 2005 configuration.](Image)
over all EMCal sectors was determined to be $67\% \pm 3\%$. The largest source of inefficiency comes from dead trigger tiles.

Centrality is determined by Monte Carlo calculation of the Glauber Model \cite{24,25} using the measured charge deposited in the BBC. The MB collisions correspond to 0\%–94\% of the inelastic cross section. It is divided into centrality classes covering 0\%–10\%, 0\%–20\%, 20\%–40\%, 40\%–60\%, and 60\%–94\% of the centrality range (see Table \ref{tab:centralityclasses}).

The analysis method used here, with some differences, is described in detail in \cite{3}. Electron candidates start with charged tracks reconstructed by the drift chambers and pad chambers. These tracks are then identified as electrons by passing a set of electron identification cuts. First the track is projected to the RICH and at least 5 PMTs containing one registered signal are required in a disc ($r=11\,\text{cm}$), with an angular size of 0.044 rad, centered at the projection point. This analysis uses a disc to reduce sensitivity to any possible mirror misalignment. The use of a tight RICH cut ensures a negligible contamination of hadrons with $p_T$ above the RICH radiator threshold through the $p_T$ range ($<7\,\text{GeV}/c$) of this analysis. The track is then projected to the EMCal and a three sigma cut is made on the difference between the projection and the center of the energy deposition.

A cut is also made on the shape of the EMCal shower, called prob, calculated from the deviation between the actual tower energy distribution and the expected distribution for an electromagnetic shower and normalized to be between 0 and 1. We require prob $>$ 0.01 which has a 99\% efficiency for an electromagnetic shower while rejecting a large fraction of hadrons. Finally a cut is made on the ratio of the energy deposited in the EMCal to the momentum determined by the DC, represented by $E/p$. An electron deposits most of its energy in the EMCal and because its mass is so small, $E= p$ and $E/p$ for an electron will be close to 1. The $E/p$ cut is made symmetrically around 1 (between 0.8 and 1.2).

Though the electron ID cuts give a good sample of electrons, in a high multiplicity environment overlap in the detectors can cause hadrons to be misidentified as electrons. The number and properties of those fake tracks reconstructed by random association can be obtained by exchanging, in software, the North and South halves of the RICH. For example, DC tracks from the South are matched with the RICH North and vice versa. After the swap, there cannot be any actual tracks, and all reconstructed ones are, by definition, fake tracks. The active area of the North and South RICH detectors are identical within $\approx 1\%$. In peripheral collisions, 3\% of all tracks are mismatches, and in the central collisions that fraction rises to 22\%.

A GEANT simulation of the full PHENIX detector was used to determine the extrapolation to full azimuthal acceptance and correction for electron detection efficiency. The same eID and fiducial cuts are made on the simulation output and the data. The simulated electrons were generated flat in $p_T$ to give sufficient statistics at high momentum, and then weighted with a realistic $p_T$ distribution to account for momentum smearing effects due to the finite momentum resolution of the drift chamber.

III. ISOLATING THE HEAVY FLAVOR YIELD

The inclusive single electron spectrum has contributions from a multitude of sources of which heavy flavor decays is only one. Most of the electrons come from decays of light mesons (dominated by the neutral pion Dalitz decay, $\pi^0 \rightarrow \gamma e^+e^-$) \cite{26}. Electrons from conversions of decay photons are also significant. However, the low material design of the PHENIX detector minimizes this contribution to less than half of that from Dalitz decays. Direct photons can also be a significant contribution to the inclusive electron spectrum, either through conversions of real photons in material or manifestations of virtual photons as an electron-positron pair. This group of electrons is collectively known as “photonic” electrons, due to their origins with either a real or virtual direct or decay photon.

The other class of electrons, known as “nonphotonic”, is dominated by the decays of open heavy flavor hadrons. The dielectron decays of the $\rho$, $\omega$, and $\phi$ mesons contribute to the inclusive electron sample at the few percent level. Decays of quarkonia, dominated by $J/\psi \rightarrow e^+e^-$ \cite{27}, are a significant source of nonphotonic electrons at moderate $p_T$. Misreconstructed electron tracks from kaon $K_{e3}$ decays away from the collision vertex are $\approx 10\%$ of the inclusive electrons at $p_T < 1\,\text{GeV}/c$, but are negligible at higher $p_T$. Electron pairs produced via the Drell-Yan process contribute a negligibly small background to the heavy flavor signal. To isolate the contribution of open heavy flavor decays to the inclusive electron spectrum, these backgrounds must be determined and removed from the inclusive electron sample. The methods for isolating the open heavy flavor electron yield used in this measurement are described in detail in \cite{3}, and are summarized here for completeness.

The first method calculates a cocktail of electrons from the nonheavy flavor sources. Because the PHENIX experiment is a multipurpose detector, most of the dominant sources of single electrons have previously been measured in the same experiment. The largest background source comes from the neutral pion, both the Dalitz decay and the conversion of photons from the $\pi^0 \rightarrow \gamma\gamma$ decay. Using a parametrization of the measured $\pi^0$ $p_T$ spectra \cite{26} in a Monte Carlo decay generator, the $p_T$ spectrum of daughter electrons is determined. The $p_T$ spectra of the other light mesons that contribute to the cocktail ($\eta$, $\rho$, $\omega$, $\eta'$, and $\phi$) are derived from the $\pi^0$ spectrum by $m_T^2$ scaling (replacing $p_T$ in the parametrization with $\sqrt{p_T^2 + m_{\text{meson}}^2 - m_{\pi}^2}$) and then normalizing to the measured meson to pion ratios at high $p_T$. At intermediate $p_T$ the contribution from $J/\psi$ decays becomes
significant and the measured $p_T$ spectra [27] are fit and used as the parent $p_T$ spectra in the decay generator. The cocktail of nonheavy flavor electrons is subtracted from the inclusive electron sample to isolate the contribution from open heavy flavor electrons. This method works well at larger $p_T$ where the heavy flavor contribution is significant, but suffers from large systematic uncertainties at low electron $p_T$, where the ratio of open heavy flavor electrons to all electrons is low.

The second method of isolating the open heavy flavor yield uses a “converter” to deliberately increase the photonic background by a well defined amount. In the standard PHENIX configuration, the number of inclusive electrons in a given $p_T$ range $N_{e}^{\text{standard}}$ can be expressed as

$$N_{e}^{\text{standard}} = N^\gamma + N^{\text{non-}\gamma}$$

where $N^\gamma$ and $N^{\text{non-}\gamma}$ are the number of photonic and nonphotonic electrons in that $p_T$ bin, respectively.

The converter is a sheet of brass, 0.25 mm thick, which has a radiation length determined to a precision of ±0.25%. For a portion of 2005 running, the converter was wrapped around the beam pipe. This extra material increases the real photonic electron background by an amount $R_\gamma$, and reduces the nonphotonic electrons by a factor $(1-\epsilon)$, giving an inclusive electron yield in the converter configuration $N_{e}^{\text{converter}}$ of

$$N_{e}^{\text{converter}} = R_\gamma N^\gamma + (1-\epsilon) N^{\text{non-}\gamma}$$

where the factors $R_\gamma$ and $\epsilon$ are determined through simulation. $R_\gamma$ has a slight $p_T$ dependence that is prevalent in the low $p_T$ region and plateaus at a value of 2.4, and $\epsilon = 0.021\pm0.005$. The uncertainties on these quantities are found by varying the radiation length of the converter material in simulation by the uncertainty in the measured converter thickness.

A simultaneous solution of Eqs. 1 and 2 gives the quantity of interest $N^{\text{non-}\gamma}$. The remaining nonphotonic background electrons are subtracted following the cocktail method previously described to isolate the open heavy flavor electron contribution. Because the converter produces an undesirable background for other measurements at PHENIX, it is only installed for a relatively short amount of time. Therefore the converter method of background determination is limited by the statistics of the data sample taken with the converter installed. However, at low $p_T$ where statistical uncertainties are relatively small, the converter method provides meaningful results. Because this region is where the cocktail method is limited by systematic uncertainties, and high $p_T$ is where the converter method is limited by statistics, the open heavy flavor electron results are determined by the converter method at $p_T < 1$ GeV/c, and by the cocktail method elsewhere.

As a stringent cross-check of the methods described here, the ratio of nonphotonic electrons to photonic electrons,

$$R_{\text{NP}} = \frac{N^{\text{non-}\gamma}}{N^\gamma}$$

are compared for the two methods (shown in Fig. 2). The gray boxes are the systematic uncertainties in determining $R_{\text{NP}}$ from the cocktail method.

![Figure 2: (Color online) The ratio of nonphotonic to photonic electrons by the converter and cocktail methods, for MB Cu+Cu collisions.](image_url)

**A. Systematic Uncertainties**

The systematic uncertainties on the resulting heavy flavor electron yield come from the determination of the inclusive electron yield and the uncertainty on the cocktail (converter) method. The uncertainties are explained in detail in [3] and are summarized here.

The systematic uncertainty on the inclusive yield is a combination of three parts: the uncertainty on the run group correction, electron identification, and geometric matching. For the ERT data set there is an additional uncertainty that comes from determining the trigger efficiency. The run group correction uncertainty comes from the fluctuation of the average number of electrons per event ($\langle N_e/N_{\text{evt}} \rangle$) for each run (where a run is defined as the data taken between successive starts of the PHENIX data acquisition system). The uncertainty on $\langle N_e/N_{\text{evt}} \rangle$ was found to be 1% and is assigned as the run-group-correction fluctuation. The uncertainty on identifying electrons comes from the inability to perfectly model the detector in simulation. It is estimated by repeating the acceptance*efficiency calculation for tighter and looser cuts which are then applied to inclusive yields made with the same cuts. The ratio between the standard and tight or loose cuts is found to be 6% and is taken as the systematic uncertainty. Mismatching in the detector acceptance
between simulation and data is an additional uncertainty and was found to be 4%. The ERT data set is only used in the high $p_T$ region where the trigger efficiency is at the plateau value and so the only uncertainty is due to the determination of the trigger plateau, 2%. The total systematic uncertainty on the inclusive MB (ERT) yield is the quadrature sum of the previously discussed uncertainties and is found to be 7.3 (7.5)%.

The dominant systematic uncertainty on the cocktail comes from the uncertainty on the 2005 Cu+Cu neutral pion data [26] that is used as the input parent spectra for all of the light mesons. The pion data are moved up and down by their systematic uncertainties and refit. These new fits are then input into the decay generator and the output decay spectra become the upper and lower spread of the systematic uncertainties. The systematic uncertainty on the J/$\psi$ yield is found by scaling the conversion probability up and down by their systematic uncertainties and the effect on the J/$\psi$ yield is calculated and then added in quadrature. The systematic uncertainty on the inclusive yield and the uncertainty derived from the cocktail method.

TABLE II: Systematic uncertainties on the determination of the open heavy flavor yield of electrons for MB collisions.

| Source                        | Uncertainty |
|-------------------------------|-------------|
| Run Group Correction          | 1%          |
| Acceptance×Efficiency         | 6%          |
| Geometric Matching            | 4%          |
| Trigger Efficiency            | 2%          |
| MB (ERT) Inclusive Yield      | 7.3% (7.5%) |
| Cocktail (Average)            | 12%         |
| Converter                     | 8%          |

IV. RESULTS FROM CU+CU COLLISIONS

The invariant yield of heavy flavor electrons is calculated as a function of $p_T$ using the following formula:

$$\frac{1}{2\pi p_T} \int \frac{d^2N}{dp_T dy} = \frac{1}{2\pi p_T N_{events}} \frac{N_{HF}}{2} \frac{1}{\Delta p_T \Delta y} \frac{1}{\epsilon_{BBC} \epsilon_{ID}}$$

where $N_{events}$ is the number of events, $\Delta p_T$ is the $p_T$ bin width, $\Delta y$ is the rapidity range ($|y| < 0.35$), $\epsilon_{BBC}$ is the BBC efficiency for MB (94%), $\epsilon_{ID}$ is acceptance and efficiency correction, and $N_{HF}$ is the calculated number of heavy flavor electrons and positrons from either the cocktail or converter method.

When plotting the invariant yield vs $p_T$, the average value is plotted at the bin center. However, for a steeply falling spectrum, the average value does not lie at the center of the bin. This is corrected by adjusting the average value over the bin to correspond to the value of the yield at the $p_T$ bin center. This procedure assumes that the invariant yield as a function of $p_T$ varies smoothly, which is a reasonable assumption.

The $p_T$ spectra of heavy flavor electrons ($e_{HF}$) produced in Cu+Cu collisions at $\sqrt{s_{NN}} = 200 \text{ GeV}$ are shown for 5 different centralities in Fig. 3 along with a fit to the $e_{HF}$ spectrum from $p+p$ collisions (as reported in [3]) scaled by $\langle N_{coll} \rangle$. Above $p_T = 1 \text{ GeV/c}$, the spectra are taken from the cocktail method described previously, while at lower $p_T$ the spectra are determined by the converter method.

FIG. 3: (Color online) The $p_T$ spectra of electrons from the decays of open heavy flavor hadrons produced in Cu+Cu collisions, separated by centrality. The lines are a fit to the $p+p$ data [3] scaled by $\langle N_{coll} \rangle$. 

To quantify nuclear effects, the nuclear modification factor $R_{AA}$ is calculated according to:

$$R_{AA} = \frac{dN_{A+A}^{e^+e^-}/dp_{T}}{\langle N_{\text{coll}} \rangle \times dN_{p+p}^{e^+e^-}/dp_{T}}.$$  \hspace{1cm} (5)

where $dN_{A+A}^{e^+e^-}/dp_{T}$ ($dN_{p+p}^{e^+e^-}/dp_{T}$) is the differential yield in $A+A$ ($p+p$) collisions. An $R_{AA}$ value of one indicates that the $A+A$ data are well described by a superposition of independent $p+p$ collisions. Following [3, 22], at $p_{T} < 1.6 \text{GeV/c}$, $R_{AA}$ is calculated by dividing the Cu+Cu spectra by the $p+p$ spectra point-by-point. The statistical (systematic) uncertainties on $R_{AA}$ in this range are the quadrature sum of the statistical (systematic) uncertainties on the Cu+Cu and $p+p$ yields in a given $p_{T}$ bin.

Above $p_{T} = 1.6 \text{GeV/c}$, where the $p+p$ data are well represented by the shape from fixed-order plus next-to-leading-log calculations from Ref. [28], a fit to that shape is used to represent the $p+p$ denominator. A function of the form

$$Y(p_{T}) = \frac{A}{(p_{T} + B)^{n}}$$  \hspace{1cm} (6)

is fit to these data, where $A=0.0067 \pm 0.0035 \text{GeV/c}^{-2}$, $B=1.079 \pm 0.085 \text{GeV/c}$, and $n=8.86 \pm 0.23$. Here, the statistical uncertainty on $R_{AA}$ is determined by the statistical uncertainty on the Cu+Cu spectra. The systematic uncertainty on $R_{AA}$ is the quadrature sum of the systematic uncertainty on the $e_{HF}$ yield from Cu+Cu and $p+p$, and the statistical uncertainty on the fit to the $p+p$ data. The Type C global scaling uncertainty plotted around 1 is the quadrature sum of the global uncertainty on the $p+p$ spectra and the uncertainty on $\langle N_{\text{coll}} \rangle$.

Figure 4(b) shows the nuclear modification factor for the 0%–10% most central Cu+Cu collisions, in which a moderate suppression of $e_{HF}$ is observed for $p_{T} > 3 \text{GeV/c}$. This suppression is usually attributed to energy loss in the hot nuclear medium. Although this is a significant deviation from a superposition of independent $p+p$ collisions, the magnitude of suppression is smaller than what is seen in central Au+Au collisions [3, 4].

In contrast, a significant enhancement is observed in more peripheral Cu+Cu collisions, Fig. 4. To quantitatively examine the difference within the Cu+Cu system itself, the $\langle N_{\text{coll}} \rangle$-scaled ratio of the most central to most...
peripheral spectra $R_{cp}$, defined as

$$R_{cp} = \frac{N_{coll}^{\text{peripheral}}}{N_{coll}^{\text{central}}} \times \frac{dN_{\text{Cu+Cu}}/dp_T}{dN_{\text{Cu+Cu}}^{\text{peripheral}}/dp_T}$$

and is shown in Fig. 5. Most of the systematic uncertainties cancel in $R_{cp}$, leaving only the uncertainty on the centrality dependent cocktail and the ratio of $\langle N_{\text{coll}} \rangle$ values. A clear suppression is seen in the most central collisions relative to the most peripheral, which can be attributed to the suppression effects of the hot, dense partonic matter dominating in central collisions.

![FIG. 5: (Color online) The ratio $R_{cp}$ of the most central 0%–10% eHF spectra to the most peripheral 60%–94%, scaled by $\langle N_{\text{coll}} \rangle$. Type C uncertainty is the uncertainty on the determination of $\langle N_{\text{coll}} \rangle$ for each centrality, shown as a box around 1.](image)

FIG. 6: (Color online) The nuclear modification factors for $0$%–$20$% $d+Au$ [22] and $0$%–$20$% $Cu+Cu$ collisions at $\sqrt{s_{NN}} = 200$ GeV. The boxes around one are Type C uncertainties, which include the $\langle N_{\text{coll}} \rangle$ scaling error. The global uncertainty is the global uncertainty on the $p+p$ yield.

![FIG. 7: (Color online) The nuclear modification factors for 0%–20% $d+Au$ [22] and 40%–60% $Cu+Cu$ collisions. Right: The nuclear modification factors for 40%–60% $d+Au$ and 60%–94% $Cu+Cu$ collisions. The boxes around one are Type C uncertainties, which include the $\langle N_{\text{coll}} \rangle$ scaling error. The global uncertainty is that on the $p+p$ yield.](image)

FIG. 8: (Color online) The nuclear modification factors for (a) 0%–10% $Cu+Cu$ and 20%–40% $Au+Au$ [3] collisions and (b) 0%–20% $Cu+Cu$ and 40%–60% $Au+Au$ collisions. The boxes around one are Type C uncertainties, which include the $\langle N_{\text{coll}} \rangle$ scaling error. The global uncertainty is the global uncertainty on the $p+p$ yield.

V. SYSTEM SIZE DEPENDENCE

The full extent of the system size dependence is directly illustrated by comparing the most central bins of all three systems in Fig. 6. There is a clear enhancement in central $d+Au$ collisions, which gives way to a slight suppression in central $Cu+Cu$ collisions, and finally a large suppression in the most central $Au+Au$ bin.

If results from different systems are compared in centrality bins of comparable system size the trend is similar. Here we take the number of nucleons participating in the collision, $\langle N_{\text{part}} \rangle$, as a measure of the centrality and of the size of the system. The centrality selections are the
same if $\langle N_{\text{coll}} \rangle$ is used as a measure of the system size instead. Figure 7 shows overlays of the $R_{AA}$ for peripheral Cu+Cu collisions with the $R_{dA}$ for $d+Au$ collisions at a comparable value of $\langle N_{\text{part}} \rangle$. A similar enhancement is seen for the two systems.

Within the Cu+Cu system, the enhancement is overtaken by suppression as the average impact parameter decreases and with it the number of collisions increases. To compare the levels of suppression in Cu+Cu and Au+Au collisions, the nuclear modification factors for heavy flavor electrons in centrality classes with comparable $\langle N_{\text{part}} \rangle$ values are shown in Fig. 8. Here our centrality selections do not allow for as close a match, but a similar level of modification is seen for the different systems at similar values of $\langle N_{\text{part}} \rangle$.

Rather than comparing $R_{AA}$ vs $p_T$ for similar system size, one can also compare average $R_{AA}$ values in a given $p_T$ range as a function of $\langle N_{\text{part}} \rangle$ or $\langle N_{\text{coll}} \rangle$. The average value of the nuclear modification factor for $1 < p_T < 3 \text{ GeV/c}$ and $3 < p_T < 5 \text{ GeV/c}$ for the three collision species is shown in Figs. 9 and 10 as a function of $\langle N_{\text{coll}} \rangle$ and $\langle N_{\text{part}} \rangle$, respectively. With the exception of the most peripheral Au+Au bin in the higher $p_T$ range, a trend of increasing enhancement followed by suppression is seen among the three distinct systems, with Cu+Cu showing evidence of both. This common trend suggests that the enhancement and suppression effects are dependent on the size of the colliding system and the produced

FIG. 9: (Color online) The nuclear modification factors, averaged over $1 < p_T < 3 \text{ GeV/c}$ (a) and $3 < p_T < 5 \text{ GeV/c}$ (b), for $e_{HF}$ at midrapidity in $d+Au$ [22], Cu+Cu, and Au+Au [3] collisions plotted as a function of $\langle N_{\text{coll}} \rangle$.

FIG. 10: (Color online) The nuclear modification factors, averaged over $1 < p_T < 3 \text{ GeV/c}$ (a) and $3 < p_T < 5 \text{ GeV/c}$ (b), for $e_{HF}$ at midrapidity in $d+Au$ [22], Cu+Cu, and Au+Au [3] collisions plotted as a function of $\langle N_{\text{part}} \rangle$. 
20% most central Cu+Cu collisions. The boxes around one are Type C global uncertainties, which include the $N_{coll}$ scaling uncertainty and $p+p$ global uncertainty. This hardening of hadron spectra in nuclear collisions compared to $p+p$ collisions is known as the “Cronin effect” [31] and is used generically for $R_{AA} > 1$ observations.

Early explanations of the mechanism behind the Cronin effect relied on $k_T$ boosts to partons via scattering in the nucleus before the hard scattering and subsequent fragmentation [32]; however, this hypothesis does not explain the observed mass dependence, because the $k_T$ transverse momentum kicks in the nucleus presumably occur before hadronization and therefore could not preferentially boost protons more than pions. An alternative scenario involving recombination of soft partons in the hadronization process naturally gives a difference between meson and baryon enhancement [33–34], but it is not immediately clear what effect this has on heavy flavor electrons, which are from a mixture of charm and bottom meson and baryon decays (though most are from mesons). The baryon enhancement observed in $d$+Au and $A$+$A$ collisions at RHIC can also suppress $\epsilon_{HF}$ production at moderate $p_T$, because charmed baryons have a smaller branching ratio to electrons than charmed mesons [35]; however, currently no measurements of charmed baryons at RHIC energies exist to confirm any changes in the charmed hadron chemistry.

Charm and bottom production at midrapidity is dominated by gluon fusion and samples nuclear $x$-values of $\sim 10^{-2}$, where modification of the gluon PDF may be significant in central collisions. Because the observed enhancement occurs in peripheral Cu+Cu collisions with a large average impact parameter, where the spatially-dependent nuclear PDF is expected to have minimal changes from the free-nucleon PDF [36], this may suggest that gluon modification is not the dominant effect at midrapidity. Parton energy loss in the nucleus may also affect heavy flavor production in nuclear collisions [17]. These effects are also expected to occur in the initial stages of central nuclear collisions, prior to the formation of the hot nuclear medium. The observed enhancement is theoretically unexplained.

Several mechanisms have been put forth to explain the large $\epsilon_{HF}$ suppression in Au+Au collisions (shown in Fig. 8), when it was found that radiative energy loss alone was not sufficient to reproduce the suppression [38]. Recent models involving collisional energy loss and energy loss through in-medium dissociation of heavy flavor mesons in addition to gluon radiation have proven more successful at describing the Au+Au data [13, 14, 39–41]. Fragmentation and dissociation have recently been used to describe the suppression of the quarkonia yield in Au+Au collisions and could also be applied to the heavy-light bound states of the D and B meson [42]. These effects are sensitive to the formation times of the mesons and the hot nuclear medium.

VI. DISCUSSION

The heavy quark data from Cu+Cu collisions display enhancement and suppression features similar to those found in both $d$+Au and $Au$+Au collisions, respectively. The enhancement seen for heavy flavor electrons in central $d$+Au is larger than what is observed for pions and kaons at the same collision energy [29]. In peripheral $d$+Au collisions, a mass-dependent enhancement is observed for identified pions, kaons, and protons [30]. The proton spectra show the largest enhancement and reach an $R_{dA}$ of $\sim 1.5$ at $p_T = 3$ GeV/$c$ in MB $d$+Au collisions.

FIG. 11: (Color online) $R_{AA}$ for $\pi^0$ and $\epsilon_{HF}$. The boxes around one are Type C global uncertainties, which include the $N_{coll}$ scaling uncertainty and $p+p$ global uncertainty.

FIG. 12: (Color online) The nuclear modification factors for $\epsilon_{HF}$ at midrapidity and $\mu_{HF}$ at forward rapidity, for the 0%–20% most central Cu+Cu collisions. The boxes around one are Type C uncertainties from the $N_{coll}$ scaling error. The global uncertainty is that on the $p+p$ yield. Model bands are calculations from [37] including partonic energy loss, energy loss from fragmentation and dissociation, and effects from nuclear matter.
$R_{AA}$ for central Cu+Cu collisions are shown in Fig. 11. The heavy flavor electrons seem to approach the level of suppression of the neutral pions, though the electron $p_T$ range is limited. While this may suggest a difference in energy loss for light and heavy quarks, the peripheral $\pi^0$ data show none of the enhancement that is present for heavy flavor. Because the nuclear effects are expected to be present in the initial state of central collisions, the different level of suppression for $e_{HF}$ and $\pi^0$ may indicate that the initial state effects on light and heavy quarks are different. A similar difference is also observed in $d+Au$ collisions, where the $e_{HF}$ show significant enhancement while the $\pi^0$ does not.

Previous PHENIX measurements at forward rapidity (1.4 < $y$ < 1.9) showed a significant suppression of heavy flavor muons ($\mu_{HF}$) in central Cu+Cu collisions [13]. The magnitude of this suppression at forward rapidity in Cu+Cu (shown in Fig. 12) is comparable to the suppression of $e_{HF}$ in central Au+Au collisions at midrapidity. This observation is difficult to reconcile with explanations of heavy flavor suppression that depend solely on energy loss in the hot nuclear medium, because the energy density of the matter created in central Au+Au collisions is expected to be larger than in Cu+Cu collisions [13, 14]. Because open heavy flavor is significantly more suppressed at forward rapidity than at midrapidity in Cu+Cu, additional nuclear effects, such as gluon shadowing at low $x$ or partonic energy loss in the nucleus, may be significant. Suppression through shadowing effects may also be relevant to heavy flavor production at midrapidity at the Large Hadron Collider [45], because the $\sqrt{s_{NN}}$ is higher than at RHIC and probes a lower $x$-range within the nucleus at midrapidity.

The heavy flavor electrons and muons are compared in Fig. 12 to a theoretical prediction that combines the effects of partonic energy loss, energy loss from fragmentation and dissociation, and includes nuclear matter effects such as shadowing and Cronin enhancement due to parton scattering in the nucleus [52]. While consistent within uncertainties, the model predicts more suppression for heavy flavor electrons than seen in the data. The B mesons are heavier and so dissociation is the dominant contribution to the energy loss for the entire $p_T$ range at RHIC in this model. On the other hand, with its lighter mass, the D meson transitions at $p_T$ ∼5 GeV to the traditional partonic energy loss. However, it is critical to test models against the full range of system sizes to have confidence in the underlying model physics and so calculations are needed for $d+Au$ and peripheral Cu+Cu.

VII. SUMMARY AND CONCLUSIONS

The Cu+Cu data presented here build a bridge between the enhancement observed in $d+Au$ collisions and the suppression found in Au+Au. We find that for electrons between 1 and 3 GeV/c the variation in $R_{AA}$ is common as a function of $\langle N_{part} \rangle$ or $\langle N_{coll} \rangle$ in $d+Au$, Cu+Cu, and Au+Au. For electrons between 3–5 GeV/c this relation also holds with the exception of the most peripheral Au+Au. Peripheral collisions of Cu nuclei display an enhancement of open heavy flavor at moderate $p_T$ that is consistent with the enhancement observed in $d+Au$ collisions at similar values of $\langle N_{coll} \rangle$ and $\langle N_{part} \rangle$, which suggests significant effects on heavy quark production are present in the initial state of heavy ion collisions. In central Cu+Cu collisions, open heavy flavor at midrapidity is moderately suppressed when compared to a superposition of independent $p+p$ collisions, and significantly suppressed compared to peripheral Cu+Cu collisions. The nuclear modification factor $R_{AA}$ displays a suppression that is consistent with that seen in semi-peripheral Au+Au collisions with a similar system size, suggesting that the suppressing effects from hot nuclear matter are becoming dominant.

While partonic energy loss in medium alone does not describe either the Cu+Cu or Au+Au $e_{HF}$ data, a model which incorporates initial state gluon shadowing, parton scattering and energy loss in nuclear matter, followed by dissociative energy loss in the hot medium, gives a reasonable description of central Cu+Cu open heavy flavor data at both midrapidity and forward rapidity. Models that describe central Au+Au should also be tested against the Cu+Cu and $d+Au$ data. A number of different effects must be balanced to describe the data, which demonstrates the complicated interplay of effects from nuclear matter and those from the hot medium in heavy ion collisions.

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