Identifying XMM-Newton observations affected by solar wind charge exchange - Part I

J.A. Carter and S. Sembay

Department of Physics and Astronomy, University of Leicester, Leicester, LE1 1RH, UK
e-mail: jac48@star.le.ac.uk
e-mail: sfs5@star.le.ac.uk

ABSTRACT

Aims. We describe a method for identifying XMM-Newton observations that have been affected by Solar Wind Charge Exchange (SWCX) emission and present preliminary results of previously unidentified cases of such emission within the XMM-Newton Science Archive.

Methods. The method is based on detecting temporal variability in the diffuse X-ray background. We judge the variability of a low energy band, taken to represent the key indicators of charge exchange emission. We compare this to the variability of a continuum band, which is expected to be non-varying, even in the case when SWCX enhancement has occurred.

Results. We discuss previously published results with SWCX contamination that have been tested with the above method. We present a selection of observations that we consider to show previously unpublished SWCX enhancements, and further investigate these observations for correlation with data from the solar wind observatory, ACE. We also consider the geometry and viewing angle of XMM-Newton at the time of the observation to examine the origin of the charge exchange emission, whether it be from interactions with geocoronal neutrals in Earth’s magnetosheath or from the heliosphere and heliopause.

Key words. Surveys - X-rays: diffuse background - X-rays: general

1. Introduction

This paper is the first of two articles which describe a new method developed to search for instances of Solar Wind Charge Exchange (SWCX) contamination of XMM-Newton observations. In this paper we outline the method and provide an initial sample of results. In paper II we will present the results of applying this method to all suitable observations within the public XMM-Newton Science Archive (XSA).

In this introduction we briefly discuss the SWCX process including examples where this effect occurs in the solar system, and then describe the XMM-Newton observatory and how its orbit affects the likelihood of observing such a process. We describe the Advanced Composition Explorer (ACE) satellite which we use to monitor the solar wind at times of interest.

In Section 2 of the paper we detail the steps employed to identify observations possibly affected by SWCX emission and in Section 3 we present the results from the use of this method for an initial small sample of approximately 170 observations.

We conclude by discussing our plans for the application of this method to incorporate data from the entire publicly available XSA from launch until the commencement of this second phase. As this time period will incorporate more than seven years of XMM-Newton operations, we hope to detect any relationship between our results and the solar cycle.

1.1. Solar wind charge exchange

SWCX occurs when an ion in the solar wind interacts with a neutral atom and gains an electron in an excited state (Eq. 1). If the ion is in a sufficiently high charge state in the subsequent relaxation of the ion, an X-ray or UV photon is released (Eq. 2).

\[ A^{q+} + B \rightarrow A^{(q-1)^+} + B^+ \]  \hspace{1cm}  (1)

\[ A^{(q-1)^+} \rightarrow A^{(q-1)} + h\nu \]  \hspace{1cm}  (2)

A is the solar wind ion, for example oxygen, and B the neutral atom, commonly hydrogen or helium in the heliosphere or just hydrogen in the case of geocoronal neutrals in the Earth’s magnetosheath.
A SWCX spectrum is characterised by emission lines corresponding to the ion species present in the solar wind. Although the solar wind is approximately 99% protons, electrons and α-particles, the remainder of the wind consists of heavier elements such as C, O, Mg, Si, Fe and Ni. The heavier elements found in the solar wind are often of high charge state due to the high temperatures of the solar corona. The relative abundances of the elements are dependent on the conditions of the Sun when the solar wind leaves the solar corona. In this project we concentrate on oxygen emission lines as these are typically the strongest SWCX lines in previously published SWCX-enhanced XMM-Newton observations, e.g. Snowden et al. (2004).

SWCX occurs at several locations in the solar system including cometary tails (Greenwood et al. 2000; Cravens 1997), at the heliospheric boundary (Cravens et al. 2000; Koutrompa et al. 2007), planetary atmospheres (Dennerl et al. 2002; Branduardi-Raymont et al. 2007) and from the Earth’s magnetosheath or interplanetary neutrals (Cravens et al. 2001; Wargelin et al. 2004; Snowden et al. 2004; Fujimoto et al. 2007). We concentrate on charge exchange between the solar wind and geocoronal emissions in the Earth’s magnetosheath and contributions within the heliosphere in the vicinity of the Earth, as this X-ray emission is the most likely to affect the observations of XMM-Newton in terms of a short, temporally varying background source. Local x-ray intensities vary on much shorter timescales (on the order of hours) than those of the heliospheric-ISM boundary contributions, which vary on much longer timescales and with less variation in intensity Robertson & Cravens (2003b). The main variation seen in the heliospheric contribution occurs in conjunction with changes in the line of sight. As the solar wind travels at approximately 1/4 AU per day, the heliospheric emission is the result of the average conditions of the solar wind over several months.

Robertson & Cravens (2003b) and Robertson et al. (2006) have modelled the expected X-ray emission from charge exchange with geocoronal neutrals, using Hodges (1994) model of the exosphere to account for the densities of the geocoronal neutrals with height for differing solar wind conditions, mapping the area of strongest X-ray emission on the sunward side of the magnetosheath (at an intensity of approximately 8 keV cm\(^{-2}\)s\(^{-1}\)sr\(^{-1}\) for nominal solar wind conditions, rising to 200 keV cm\(^{-2}\)s\(^{-1}\)sr\(^{-1}\) for simulated solar storm conditions). The solar wind speed can vary dramatically depending on storm or slow wind conditions. A slow solar wind of approximately 300 km s\(^{-1}\) and average solar wind densities results in a nominal solar proton flux of approximately \(2 \times 10^8\) cm\(^{-2}\)s\(^{-1}\). The exact distances from the Earth to the magnetopause (the boundary layer between the solar wind plasma and the plasma of the Earth’s magnetosphere) and bow shock (which together define the magnetosheath region) depend on the strength of the solar wind at any given time (Khan & Cowley 1999) but are on average at approximately 9 and 15 R\(_E\) respectively.

SWCX was first suggested as an explanation for the temporal variations of a few hours to a few days length in the diffuse emission data of the ROSAT All-Sky Survey (long-term enhancements, Snowden et al. 1995) by Cox (1998) and Cravens (2000). SWCX has been seen by XMM-Newton, Chandra and Suzaku, and has been attributed to geocoronal emission (e.g. Fujimoto et al. 2007). Multiple observations with the same pointing direction of the Hubble Deep Field North region taken with XMM-Newton have shown temporal variations in count rates and line emission that has been correlated to enhancements in the level of the solar wind flux and the solar storm of May 2001 (Snowden et al. 2004). Also, depending on viewing geometry, it would be possible to look along the front of a Coronal Mass Ejection (CME) density enhancement, which could cause short term and extreme variations in the level of X-ray flux observed (Collier et al. 2005).

1.2. The XMM-Newton observatory and viewing geometry

The XMM-Newton observatory (Jansen et al. 2001) has been used extensively in the study of extended and diffuse X-ray sources. For such studies, a good understanding of the X-ray background is required, including the SWCX, which may affect a user’s observation.

Due to the supposed short term variations in the SWCX at the Earth’s magnetosheath an observation may be partly or wholly affected by enhancements at energies characteristic of the SWCX line emission. The effect is also of interest in its own right as a monitor of the ionic composition of the solar wind and the interaction between the Earth’s magnetosheath and the bombardment of the solar wind.

XMM-Newton is not able to study the SWCX at all times due to the configuration of it’s highly elongated elliptical orbit. Optimum viewing of the magnetosheath and areas of the expected highest X-ray emission within the magnetosheath (Robertson & Cravens 2003b) is expected to occur at only certain points during the 48 hour orbit at certain times of the year, see Figure 1. The situation is further complicated by restrictions on the viewing angle, which is strongly constrained by the fixed solar panels and those imposed to protect the instruments from directly viewing the Sun and the X-ray and optically bright Earth and Moon, and the non-operational period during the telescope’s passage through perigee as it passes through the radiation belts. This results in the observatory being able to view the area of maximum SWCX emission, i.e. the nose of the magnetosheath, only at certain times of the year. XMM-Newton is therefore more likely to experience SWCX-emission enhancement during the summer months (northern hemisphere) as this is when the telescope is able to be in a position to look through the nose and bright flanks of the magnetosheath. However, our goal is to study all observations in the XMM-Newton archive assuming they were conducted in an appropriate observational mode. Coupled with the information regarding the solar wind flux we will, with this project, gain understanding regarding the likelihood and level of SWCX-contamination an XMM-Newton observation may experience.
observations that may have experienced SWCX-enhancement throughout their exposure. In this paper we consider single XMM-Newton pointings, but the future paper will explore multiple pointings of the same target to investigate variations between pointings.

2.1. Data reduction

The data used in this analysis were taken from the XSA, which can be found at: http://xmm.vilspa.esa.es/external/xmm_data_acca/xsa/index.shtml.

We used publicly available software in this project, accessible through the web pages of the XMM-Newton Background Working Group (BGWG):

http://xmm.vilspa.esa.es/external/xmm_sw_cal/background/index.shtml

For each observation an Original Data File (ODF) was downloaded from the XSA. The ODF files were processed using the XMM-Newton Extended Source Analysis Software (ESAS) software package (Kuntz & Snowden 2008; Snowden et al. 2008), found on the BGWG pages as mentioned above.

Table I lists the observations used in this preliminary analysis. We included several observations used by Kuntz & Snowden (2008) and Snowden et al. (2004) with the aim to use these observations as control subjects for our method. The remainder of the observations were taken from a range of times of year and times in the mission, representative as much as possible of a random selection of observations throughout the XMM-Newton mission.

To undertake our analysis we created lightcurves from event files for each XMM-Newton observation. To do this we filtered the data files for soft proton and particle background contamination using ESAS and other software before commencing with the creation of the lightcurves.

The ESAS software is currently only available for the MOS detectors (Turner et al. 2001), and therefore the analysis detailed here only concerns the MOS instruments. However, improvements to include the PN (Strüder et al. 2001) camera are underway and are expected in an imminent release of the ESAS software. In our future paper we aim to incorporate PN data into our analysis. Cleaned and calibrated event files were created from the ODF when using the ESAS tool mos-filter. The task mos-filter runs several XMM-Newton Science Analysis Software (SAS) tasks, including emchain for the basic processing of the event files. It then created two lightcurves; one in the field of view and one outside of the field of view from the corners of the detectors for a band between 2.5 keV and 12 keV. Soft proton contamination will fall in the field of view and one outside of the field of view from the soft-proton contamination (see the example in Table I). Any large bumps or deviations from the roughly Gaussian profile indicate a local source, and conclusions drawn from correlations between increased X-ray and solar proton flux should be treated with caution. We use data from ACE as a general monitor of the condition of the solar wind flux. However, the delay between ACE and the Earth is approximately one hour.

2. Method

In this section we describe the steps taken in the initial data reduction and then continue to detail the method used to test for the presence of SWCX contamination.

The objective when designing the method was to find the key indicators for SWCX-emission, namely short term variability in line emission from the solar wind ion species. Short term variations in the diffuse background indicate a local source, and the presence of line emission at certain energies expected from the solar wind is a diagnostic of SWCX-emission. We grade the indicators and develop a procedure to automatically flag...
Table 1. Summary of all the observations from the XSA that were used in this analysis. We list the observation identifier, the date of the observation and the exposure of the observation.

| Obs. ID   | Date       | Exposure (s) |
|-----------|------------|--------------|
| 0097602001001 | 2003-03-22 | 4932.00     |
| 0118301001002 | 2004-04-06 | 11625.65     |
| 01465901001 | 2004-09-12 | 5843.78     |
| 02216501001 | 2004-11-09 | 7197.95     |
| 02019701001 | 2004-11-10 | 2837.33     |
| 02019701001 | 2004-12-20 | 2466.47     |
| 02124401001 | 2005-06-02 | 1508.00     |
| 02159001001 | 2005-06-23 | 1522.24     |
| 03080001001 | 2006-08-31 | 4585.77     |
| 03035602001 | 2012-12-18 | 2564.51     |
| 03005002001 | 2012-12-17 | 1930.98     |
| 03045001001 | 2012-12-06 | 1092.00     |
| 03045001001 | 2012-12-27 | 5932.71     |
| 03045001001 | 2012-12-28 | 5932.71     |
| 03045001001 | 2012-12-29 | 5932.71     |
| 03045001001 | 2012-12-30 | 5932.71     |

For each event file we removed sources in the field of view by utilising the source list from the 2XMM catalogue processing (see http://xmm.esa.int/external/xmm_user_support/documentation/uhb/node14.1.html) to create a region file and then extracting the sources from the event file with evselect. We initially used a source extraction radius of 35 arcseconds, extending this to remove bright or extended sources if needed.

Gaussian shape should be treated with caution as these indicate high levels of contamination. A Gaussian is fitted to the peak of the distribution and using a threshold of ±1.5σ this fit is used to create good-time-interval (GTI) periods for the data. The blue vertical lines of Figure [2] show the range used for the Gaussian fit and the red vertical lines indicate the limits taken for the GTI periods. Further SAS tasks are used to filter the files using the GTI periods to create a cleaned event file. An important point to note is that there may still be residual soft-proton contamination after undergoing these procedures (De Luca & Molendi 2004).

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Checks were made of images created for each cleaned event file to ensure that residual sources were not present. If residual sources remained the extraction radius was increased iteratively until an effectively source-free event file was obtained, although observations with exceptionally bright sources were removed from the sample. The remaining useful observations are shown in Table [1]. After this procedure any residual emission from point sources should be negligible. Software for the automated removal of sources will be developed for part II of these studies. We considered observations that use full-frame mode and rejected all other modes for this preliminary study.

We then created spectra and the associated response matrices and auxiliary files for those observations which we wished to study further. We used the ESAS tasks mos-spectra and mosback to create the spectra, background spectra and instrument response and auxiliary files. We used a circular spectrum extraction radius of 17000 detector units to incorporate the in field of view region (pixel size of 0.05 arcseconds, 14.2 arcminute radius), centred on DETX, DETY coordinates of (0, 0).

2.2. Examination for SWCX emission

The first test was to grade the variation in a combined MOS1 and MOS2 (or with a single instrument if this was the only one available) lightcurve created in a low-energy band covering a SWCX line compared to the variation seen in a lightcurve representing the continuum. The continuum lightcurve covered events in the band 2500 eV to 5000 eV. These points were chosen to be in regions where no emission lines would be found.

The line emission lightcurve covered events within the 500 eV to 700 eV band, to concentrate on the OVII and OVIII emission at 560 eV and 650 eV. For a typical observation, the bands

| Obs. ID   | Date       | Exposure (s) |
|-----------|------------|--------------|
| 002822001001 | 2000-10-11 | 5461.56     |
| 002822001001 | 2000-10-12 | 5461.56     |
| 002822001001 | 2000-10-13 | 5461.56     |
| 002822001001 | 2000-10-14 | 5461.56     |
| 002822001001 | 2000-10-15 | 5461.56     |

The line emission lightcurve covered events within the 500 eV to 700 eV band, to concentrate on the OVII and OVIII emission at 560 eV and 650 eV. For a typical observation, the bands
for both line and continuum lightcurves were created so that the total number of counts in each lightcurve were similar. Each lightcurve was created using events with the data flag FLAG==0 (those events which are not from a bad pixel, or next to a bad pixel) and the histogram created with a bin size of 1000 s.

Lightcurves were rejected from the sample if they were less than 5000 s long or if greater than 10% of the bins had less than 20 counts, for either lightcurve.

The lightcurves were filtered using the previously determined GTI file (as part of the ESAS output), and the counts in each bin adjusted for any reduction in exposure of that bin. Bins that had undergone severe GTI filtering where more than 40% of the bin was removed were excluded. The Poisson error of each time bin and each lightcurve was computed and adjusted for any reduction in exposure of that bin.

For each set of lightcurves we created line-continuum scatter plots, an example of which is shown in Figure 3. If no enhancement due to SWCX has occurred, we assumed correlation between the line and continuum bands. Soft protons are spectrally variable in intensity and shape but show no lines. If residual soft proton contamination has occurred (which is most likely to be slowly varying after the significant flare periods have been removed by GTI filtering), the bands chosen for the line and continuum lightcurves are sufficiently close that any spectral change in the continuum band should be reflected in the line band, and therefore this assumption holds. We looked at the scatter plot for several observations that were expected to have experienced high levels of residual soft proton contamination and indeed the straight line approximation was valid. SWCX-enhanced observations were expected to show much less correlation between the line and continuum bands. Bins that experience SWCX-enhancement would show a deviation from the fit with increased count rate levels in the line band.

A fit to each scatter plot is computed using the IDL procedure, linfit, which fits a linear model to a set of data by minimising the $\chi^2$ statistic, see Equation 3. We use the continuum band count rate as the independent variable and the values of the dependent variable are taken to be the count rate in the line band.

$$\chi^2 = \sum_i (D_i - E_i)^2 / \sigma_i^2$$

(3)

$D$ are the points of the line-continuum scatter plot, $E$ is the expected value, as found by the fit to the line-continuum scatter plot and $\sigma$ the error on each point.

The procedure gives a $\chi^2$ for each fit to the scatter plot. We divide this $\chi^2$ by the degrees of freedom of the fit to obtain the reduced-$\chi^2$ for the fit, hereafter referred to as $\chi^2_R$. A high $\chi^2_R$ indicates that a significant fraction of the points have a large deviation from the best fit line found by the fit, and therefore we expected that these cases would be more likely to show SWCX-enhancement.

In addition we computed the $\chi^2$ values for each individual lightcurve and calculate the ratio between the line and continuum band $\chi^2$ values to add to our diagnostic (hereafter denoted as $R_\chi$).

As a measure of possible residual soft proton contamination, we computed the ratio of in field of view to out of field of view counts in a band between 7000 eV and 12000 eV, correcting for the area lost due to the removal of point sources. To do this we used a script fin_over_fout which is publicly available through the BGWG web-site (as previously mentioned) where a high ratio (hereafter denoted as Fin/Fout) indicates a large residual soft proton contamination component (< 1.15 not contaminated, 1.15-1.3 slightly contaminated, 1.3-1.5 very contaminated, > 1.5 extremely contaminated). We also considered the background time series files, as produced as part of the 2XMM Serendipitous Source Catalogue (http://xmm.esa.int/external/xmm_user_support/documentation/uhb/node141.html).

These files contain lightcurves for the highest energy events (created for full-field events with PI > 14 keV and with the selection expression (PATTERN==0) & & #XMMEA_22 & & ((FLAG & 0x762ba000) == 0)). Large and/or frequent flaring periods in the time series would suggest that the observation suffered from soft proton contamination or that a residual component may still be present depending on the effectiveness of the flare filtering procedure. Closer scrutiny of the GTI filtering in such a case is required.
3. Results

In this section we present the general trends in the results from our sample. We briefly discuss the results from the control subjects that were added to our sample. These were observations with previously detected SWCX-enhancement and have published results. We then discuss several examples of previously unreported cases of SWCX-enhancement, identified by the method described in this paper, and that produced the highest $\chi^2_\mu$ values. The observations were ranked by $\chi^2_\mu$ and the top 30, for brevity, are summarised in Table 2. We have removed observations from the sample that showed a significant deviation in the distribution of count rates from the Gaussian that was determined and used by the ESAS software for filtering purposes.

3.1. General trends

In Figure 4 we present a histogram of the $\chi^2_\mu$ values found for the whole data set. The modal value for the $\chi^2_\mu$ value is between 1.5 and 2.0. We see that there are several cases with extreme $\chi^2_\mu$ values, showing the greatest disparity between line and continuum count rates. We expect the SWCX cases to be found with the highest $\chi^2_\mu$ values. Shaded blocks in all figures in this section indicate those observations with a suspected or previously published SWCX enhancement, as will be discussed in the following sections.

In Figure 5 we present a histogram of the $R_\chi$, which is the ratio between the $\chi^2$ value for the line band lightcurve to the $\chi^2$ value for the continuum band lightcurve. SWCX-enhancements will have increased variation in the line band compared to the continuum band, so a high $R_\chi$ is expected for SWCX-affected observations. The shaded blocks show that there are several observations where high variability is found along with high $R_\chi$ values. High SWCX-enhanced observations are expected for these cases.

In Figure 6 we present a histogram of the $F_{\text{out}}$ ratios. This shows that twelve observations with a high $\chi^2_\mu$ value have been judged extremely contaminated by residual soft protons as they show a $F_{\text{out}}$ ratio $\geq 1.5$. The two vertical dashed lines in this figure indicate the $F_{\text{out}}$ thresholds for classification as being very contaminated (1.3-1.5) or extremely contaminated $>1.5$ by residual soft protons.

In Figure 7 we plot $\chi^2_\mu$ values versus their corresponding $R_\chi$. In general, the highest $R_\chi$ are seen to have the highest $\chi^2_\mu$, as expected for SWCX enhancements. The filled circles, representing those observations with a suspected (this study) or previously published SWCX enhancement, are found with the highest $R_\chi$ with one notable exception. These cases are discussed in Section 3.2 and Section 3.3. The exceptional case, where both the $R_\chi$ and $\chi^2_\mu$ are low, corresponds to a SWCX-enhanced control observation that is unidentified by this method, and this case is discussed within Section 3.2. The distribution in this Figure suggests that one may be able to start to define a threshold, between the parameters $\chi^2_\mu$ and $R_\chi$, whereby a user would suspect that SWCX was extremely likely to have occurred. This will be addressed further in Paper II. In Figure 8 we plot $\chi^2_\mu$ values versus their corresponding $F_{\text{out}}$ values. SWCX-enhanced observations show a range of $F_{\text{out}}$ values.

3.2. Cases matching previously identified SWCX-enhanced observations

Several observations in our sample, used as control subjects, have been previously studied by others and have been identified as having experienced SWCX-enhancement. The [Snowden et al. (2004)] observation of the Hubble Deep Field North (case 7), observation number 0111550401) produced a $\chi^2_\mu$ value of 8.88 and is ranked highly likely in Table 2. [Kuntz & Snowden (2008)] looked at several observations of the Groth-Westfall Strip. These were (observation numbers 0127921001(GWS1), 0127921101(GWS2) and 0127921201(GWS3)) and the first two were reported to have
Table 2. Results for the observations which showed the highest values of $\chi^2_\mu$. Each observation is given a case number (column one) and the observation identification number is shown in column two. We give the date, the exposure time (Exp.), the degrees of freedom ($\nu$) after any flare-filtering, the $\chi^2_\mu$ value and then state the ratio between the $\chi^2$ value of the line lightcurve and that of the continuum lightcurve ($R_\chi$). We also state the average Fin/Fout (FF) ratio (and error on this value) between the MOS1 and MOS2. The final column gives a comment about the observation as described in the text.

| Case | Observation | Date       | Exp. (ks) | $\nu$ | $\chi^2_\mu$ linfit | $R_\chi$ | FF ratio | Err. FF ratio | Comment                  |
|------|-------------|------------|-----------|------|---------------------|---------|-----------|---------------|--------------------------|
| 1    | 0085150301  | 2001-10-21 | 31.96     | 25   | 25.31               | 5.98    | 1.806     | 0.09          | Strong case SWCX          |
| 2    | 0093552701  | 2001-01-28 | 24.17     | 16   | 22.97               | 6.09    | 1.486     | 0.09          | Weak case SWCX            |
| 3    | 0149630301  | 2003-09-16 | 19.77     | 16   | 16.15               | 9.47    | 1.066     | 0.06          | Strong SWCX               |
| 4    | 0305920601  | 2005-06-23 | 15.24     | 14   | 15.01               | 17.77   | 1.226     | 0.07          | Strong SWCX               |
| 5    | 0101040301  | 2000-11-28 | 37.21     | 35   | 9.86                | 4.06    | 1.655     | 0.07          | Weak case SWCX            |
| 6    | 0111550401  | 2001-06-01 | 93.37     | 83   | 8.53                | 5.36    | 1.339     | 0.04          | Snowden et al. (2004)     |
| 7    | 0203210501  | 2005-01-08 | 25.85     | 14   | 4.85                | 1.19    | 1.202     | 0.07          | Low $R_\chi$             |
| 8    | 0127921001  | 2000-07-21 | 54.04     | 53   | 4.73                | 1.93    | 1.660     | 0.07          | Kuntz & Snowden (2008)   |
| 9    | 0127921101  | 2000-07-23 | 7.43      | 6    | 5.70                | 8.97    | 1.310     | 0.12          | Kuntz & Snowden (2008)   |

enhanced OVII and OVIII emission (correlated with enhanced solar wind flux as compared to a low solar proton flux for GWS3). GWS1 (case {12}) and GWS2 (case {9}) had $\chi^2_\mu$ values of 4.7 and 5.67 whereas GWS3 gives a $\chi^2_\mu$ value of only 1.2 (case {63}, not included in Table 2). GWS1 is judged to be very contaminated by residual soft protons, as is mentioned in Kuntz & Snowden (2008) and it showed considerable particle contamination. The lightcurves for the line and continuum...
band along with the ACE solar proton flux during the observation are plotted in Figure 9. Scatter plots for these observations are plotted in Figure 10. An observation of the Polaris Flare region considered in the analysis of Kuntz & Snowden (2008), which was reported to have a SWCX-enhancement, is ranked as case 31 and therefore narrowly misses out on inclusion in Table 2. Other observations discussed by Kuntz & Snowden (2008) were ranked as unlikely to have experienced a SWCX-enhancement using our criteria, which was in correlation with the diagnosis by Kuntz & Snowden (2008), when no SWCX-enhancement was found. However, there was one exception to this case where our indicators suggested no SWCX effects had occurred whereas Kuntz & Snowden (2008) states the contrary (case 103, observation number 0162160201). This is an example of a false negative detection by our grading system whereby formally the scatter of the line to continuum band count rates is insignificant and therefore the observation is disregarded. Also, our criteria will not identify observations that are affected by uniform SWCX throughout the entirety of their exposure period, which seems to be the case in this example. It should be noted in this particular case the number of degrees of freedom was not particularly high and on inspection of the lightcurves no obvious SWCX-enhanced period could be easily identified.

3.3. Cases of newly identified SWCX-enhancement

As shown in Table 2 there is a large number of observations that show high variability between the line and continuum band and therefore produce a high $\chi^2_\nu$ value. Index numbers in this section refer to the index numbers of Table 2. In this section we briefly describe observations with currently unpublished SWCX-enhancements, starting with those cases that have strong indicators. The XMM-Newton lightcurves, orbital positions and spectra for these cases are shown in Figure 12. We also present several cases where SWCX-enhancements might have occurred and which we classify as weak or dubious cases. The XMM-Newton orbital positions, lightcurves and spectra for these cases are presented in Figure 13. Orbital positions are plotted in the geocentric solar-ecliptic X-Y plane and the geocentric solar-ecliptic X-Z plane. These plots are designed to aid the visualisation of the line of sight of XMM-Newton during an observation; in particular we wished to test whether the telescope was observing through the zone of the brightest model geocoronal x-ray flux, as shown in Robertson et al. (2006). The dark blue lines show the approximate minimum and maximum positions, at the time of the observation, of the magnetopause and bow shock respectively. At the subsolar point this is calculated using Khan & Cowley (1999) and the shape of these boundaries have been approximated by a parabola. The path of XMM-Newton during the period of the observation is shown in these plots and is coloured black for the stages of the orbit which corresponds to the period of expected SWCX-enhancement and red otherwise. The dotted lines on these plots represent the line of sight of the telescope and the start and end of the observation.

There are several cases which appear in Table 2 but that are not described below for a number of reasons.

1. The overall variation in both lightcurves was significantly large that a detection of variation in the line curve could not
Fig. 9. Lightcurves for observations of the Groth-Westhall Strip. Blue represents the solar proton flux, black the line band count rate and red the continuum band count rate. These observations were studied spectrally by Kuntz & Snowden (2008) and cases {13} and {10} were found to have SWCX-enhancement, whereas {64} was devoid of SWCX features.

Fig. 10. Scatter plots for observations of the Groth-Westhall Strip. These observations were studied spectrally by Kuntz & Snowden (2008) and cases {13} and {10} were found to have SWCX-enhancement, whereas {64} was devoid of SWCX features.

be made, resulting in a high value of $\chi^2_\nu$ but a low value of $R_\chi$ (cases 8, 11, 15, 20, 23, 26, 27 and 29).
2. There was no time in the lightcurves when the line band tracked the continuum band meaning that $\chi^2_\nu$ was still high, so if SWCX was present, it was spread throughout the observation (13, 16, 18, 19, 21 and 22).
3. There was significant residual soft proton contamination after flare removal, meaning that it was statistically difficult to detect variation unique to the line band (24 and 30).

3.3.1. Example strong cases of SWCX-enhancement

- Case: {1} 0085150301
  This observation shows a good correlation between the line band lightcurve and a peak in the solar proton flux. For the non-enhanced period, the line and continuum bands show the same count rate structure. This is the most extreme case of SWCX-enhancement in our sample and the richest in terms of line emission species observed. The OVIII clearly becomes visible during the enhancement period. In addition, many other emission lines are apparent. Below the oxygen lines, the carbon lines have appeared, but more strikingly clear are the NeIX and MgXI lines, at 0.91 keV and 1.34 keV respectively. There are also emission lines seen between 0.65 keV and 1 keV that could be attributed to OVIII or various species of iron, and an enhancement slightly above the silicon instrumental line at approximately 1.8 keV. An increase in continuum level is seen during the SWCX period between 1100 eV and 1275 eV. This observation shows considerable residual soft proton contamination, indicated by the high Fin/Fout value, which may explain the elevation of the continuum level. However, this does not explain the increase in ratio between a particular line and the continuum band, which we attribute to SWCX-enhancement. In Figure 11 we plot the resultant spectrum, using the non SWCX-enhanced period as the background spectrum. The background has been scaled to the SWCX-enhanced period between 2.1 keV and 2.5 keV, to account for residual soft proton contamination throughout the observation. We fit the resulting spectrum with the lines detailed in Table 3 giving the identification of the corresponding ion we consider responsible. It was necessary to add the instrumental fluorescent lines Al-K\alpha and Si-K\alpha to the fit. This background component is time variable and therefore is not completely accounted for by the scaling between the non-enhanced and SWCX-enhanced period, hence this residual component is still present in the spectrum. We also consider the possibility that this enhancement is linked to the CME event of the 19th October 2001 (Wang et al. 2005). The delay between the occurrence of this event at the solar corona and its arrival near Earth would be approximately three days. This CME was regis-
Table 3. SWCX line emission seen in the spectrum of case [1].

| Ion  | Line energy (keV) |
|------|-------------------|
| CVIII | 0.37              |
| CVI  | 0.46              |
| OVII | 0.56              |
| OVIII | 0.65             |
| OVIII/FeXVII? | 0.81         |
| NeIX | 0.91              |
| NeX/FeXVII? | 1.03         |
| NeIX | 1.15              |
| FeXVII | 1.20             |
| MgXI | 1.34              |
| MgXII/SiXIII? | 1.85         |
| SXIV? | 2.000             |

Fig. 11. The resultant spectrum for case [1]. The model to the SWCX lines folded through the instrument response is plotted in red. The excess seen around 1.49 keV and 1.74 keV results from particle-induced instrumental background.

Case: [4] 0305920601
This observation was highly variable in the oxygen band, producing a very high $\chi^2$ value of approximately 15. It had a low value for the $F_{\text{in}}/F_{\text{out}}$ ratio and a good fit to the count rate histogram from the ESAS software. The $R_f$ was the highest in the sample. This observation was conducted in the summer months, so XMM-Newton was found in the nose of the magnetosheath. The solar wind flux was relatively high throughout the observation, with a peak greater than $1 \times 10^9 \, \text{cm}^{-2} \, \text{s}^{-1}$. It can be observed from the lightcurve that there is a step at approximately two hours, before which the line band shows much more variability than the continuum band. The enhancement period shows increased flux from the OVIII line and below and possibly some increase around 0.9 keV which could be attributed to NeIX.

Case: [5] 0150680101
This observation was conducted in July so that XMM-Newton was positioned in the nose of the magnetosheath. The ACE data are a little sparse, but when available the solar proton flux was high, peaking above $1.5 \times 10^9 \, \text{cm}^{-2} \, \text{s}^{-1}$. A time cut was taken at approximately nine hours as a SWCX-enhanced period was expected in the latter half of the observation. The spectrum shows OVII and OVIII enhancements and there is also a strong enhancement seen below 0.5 keV where lines from carbon are expected.

Case: [14] 0136000101
The lightcurves for this observation showed a sharp peak in the line band during the last bin. This observation was taken in April when XMM-Newton is in the flanks of the magnetosheath at approximately 90 degrees to the Earth-Sun line. The solar proton flux shows a sudden step to a particularly high level nearing $2 \times 10^9 \, \text{cm}^{-2} \, \text{s}^{-1}$. Depending on the delay taken between ACE and the magnetosheath, which will be approximately one hour, this step could correspond to the peak seen in the lightcurves. The $F_{\text{in}}/F_{\text{out}}$ ratio shows this observation to be very contaminated by residual soft protons. This case also shows an increase in flux from the OVIII line and below, although there is no evidence and very poor statistics for SWCX lines with greater energies, such as NeIX and MgXI.

3.3.2. Example weak cases of SWCX-enhancement

Case: [2] 0093552701
This observation was very contaminated by soft protons yet shows a high $R_f$ of 6.09. The combined spectrum for the enhanced period showed an increase in flux for both the OVII and OVIII lines over the non-enhanced spectrum, although it exhibits less lower energy flux around the carbon lines in comparison to the strong cases of SWCX-enhancement. There was little solar proton flux data available during this observation.

Case: [6] 0101040301
This observation shows a very high $R_f$ of 4.06 and is very contaminated by residual soft protons. The slow rise in the solar proton flux could be correlated with the rise in the line band during the latter part of the observation.
XMM-Newton was observing through the flanks of the magnetosheath throughout. The spectral case for SWCX-enhancement however is not as strong as for other cases.

- Case: [10] 0070340501
  This observation is quite possibly affected by SWCX but has very few bins left after GTI-filtering and so we are reluctant to make any strong conclusions regarding the diagnosis. Emission lines of OVI, OVIll and some carbon are seen for the suspected enhanced period. The solar proton flux for this observation showed a peak that could correspond to the peak seen in the line band counts, although the increase in counts is seen directly after a filtered period of the lightcurve.

- Case: [17] 0101440101
  This observation has very high levels of residual soft proton contamination and has been heavily GTI-filtered, but the spectra for the SWCX period show an enhancement below 0.7 keV suggestive of SWCX-lines without any increase in flux for either the enhanced and non-enhanced periods above this energy. The enhanced period was taken after 12 hours. The $R_f$ of 1.56 is quite high. No correlation between the line band lightcurve and solar proton flux is seen, although XMM-Newton is positioned on the sub-solar side of the magnetosheath throughout the observation. For the enhancement period both the OVI and OVIll become apparent and there is possibly some increase in flux around the carbon lines below 0.5 keV.

- Case: [25] 0164560701
  This observation is contaminated by residual soft protons. It does not have a perfect fit to the count rate histogram from the ESAS software and not a particularly high $R_f$ of 1.53. The spectrum for the enhanced period shows very low level statistics although there is evidence of enhancement around the oxygen and carbon lines. The spectral split was taken at a time of seven hours. The observation was conducted in July when XMM-Newton was in the nose of the magnetosheath.

- Case: [28] 0106460101
  This observation was taken in November when XMM-Newton was looking through the flanks of the magnetosheath. There is possibly some correlation between the line band lightcurve and the solar proton flux. The period for possible SWCX-enhancement was chosen to be between approximately two and seven hours. OVI, OVIll and some carbon enhancement is seen.

4. Conclusions and further work

We have presented a selection of results from a preliminary sample of approximately 170 XMM-Newton observations that have been tested for oxygen line variability as compared to a continuum band that is not expected to vary. Our primary measure of the likelihood of SWCX-enhancement is found through the lack of correlation between a count rate for the prime SWCX indicators, namely the band representing the OVI and OVIll major emission lines, and the count rate for a band that is SWCX-enhanced emission free. SWCX-enhanced observations showed both a high $\chi^2_f$ and $R_f$ value. In the event of soft proton contamination, correlation between the count rates from both bands is still expected. The level of anti-correlation is assessed using the metric of the $\chi^2_f$ from the relationship between a band representing the SWCX indicators and a band representing the emission line free continuum. We have demonstrated that the method has been successful in identifying cases of SWCX from a medium sized sample, providing careful consideration of various other diagnostic tests are taken into account.

In addition, our method was also able to identify all but one of the control observations with previously identified SWCX that had been placed in the sample, within the top 35 $\chi^2_f$-ranked results.

Our strongest case of SWCX-enhancement, with the richest spectrum showing many emission lines, gave the highest level of the $\chi^2_f$ value and presented a high $R_f$. This observation was contaminated by residual soft protons, although the resultant spectrum, between the non and SWCX-enhanced periods, was able to show many emission lines corresponding to highly charged ion species.

Cases which we believe present the best evidence of SWCX-enhancement exhibit enhanced spectral features such as emission lines of OVI and OVIll, along with lower energy lines probably associated with carbon. Two of the strongest cases offer emission lines that may be attributed to NeIX and MgXI. The pattern of observed emission lines depends on the exact composition of the solar wind at 1AU at the time of the observation.

We have shown that several of the cases where SWCX-enhancements are suspected occurred during periods when XMM-Newton was found to be on the sub-solar side of the magnetosheath. The line of sight of the telescope in these cases intersects the area of the magnetosheath of brightest expected solar wind-induced geocoronal X-ray flux. The SWCX-enhancement seen for other cases, when XMM-Newton was found to have a line of sight which intersects the magnetosheath through the weaker areas of X-ray flux, is probably due to a non-geocoronal origin, such as a CME density enhancement passing through the heliosphere.

In Paper II we will present results from the processing of a larger sample of observations to identify additional observations with SWCX-enhancement, extending our analysis to include data from the PN camera. We expect to find approximately 2000 observations of XMM-Newton affected by temporally variable SWCX, based on frequency of detections from the sample described in this paper. However, the number of detections is dependent on solar activity and therefore may vary considerably from the number stated. Using a larger sample, we aim to refine the identification of SWCX-enhancement, using the relationship between $\chi^2_f$ and $R_f$.

We will include an improved algorithm to remove sources in the field, reducing the danger of residual source contamination. We will investigate for any trends within the results that are related to the solar cycle and the position angle and orientation of XMM-Newton during each observation. This will help us to constrain the conditions when XMM-Newton is most likely to experience SWCX, whether that be due to the satellite’s position or viewing geometry. We will also explore multiple pointings of the same target to consider variations between
Fig. 12. XMM-Newton lightcurves, orbital positions and spectra for example cases of SWCX-enhancement. In the first panel for each case, the blue line represents the solar proton flux during each observation, plotted including the expected one hour delay and the vertical lines indicate the start or stop times for the division of the events into SWCX-enhanced and non SWCX-enhanced periods. Black and red represent the line and continuum band lightcurves respectively, similarly for the spectra shown in the last panel. The second and third panels show XMM-Newton in orbit around the Earth in the GSE X-Y and X-Z planes. The positive x-axis is directed towards the Sun and the positive y-axis is directed in the direction of the orbit of XMM-Newton. Full resolution images can be found at www.star.le.ac.uk/~jac48/publications/var_swcx_aa.pdf

observations. We will investigate the observed SWCX line ratios and what this implies for the composition of the solar wind.

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Fig. 13. Lightcurves, orbital positions and spectra for suspected cases of weak or dubious SWCX-enhancement, arranged in panels as for the strong cases of SWCX-enhancement. Full resolution images can be found at www.star.le.ac.uk/~jac48/publications/var_swcx_aa.pdf