Conversations for Providers Caring for Patients With Rectal Cancer: Comparison of Long-Term Patient-Centered Outcomes for Patients With Low Rectal Cancer Facing Ostomy or Sphincter-Sparing Surgery

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For some patients with low rectal cancer, ostomy (with elimination into a pouch) may be the only realistic surgical option. However, some patients have a choice between ostomy and sphincter-sparing surgery. Sphincter-sparing surgery has been preferred over ostomy because it offers preservation of normal bowel function. However, this surgery can cause incontinence and bowel dysfunction. Increasingly, it has become evident that certain patients who are eligible for sphincter-sparing surgery may not be well served by the surgery, and construction of an ostomy may be better. No validated assessment tool or decision aid has been published to help newly diagnosed patients decide between the two surgeries or to help physicians elicit long-term surgical outcomes. Furthermore, comparison of long-term outcomes and late effects after the two surgeries has not been synthesized. Therefore, this systematic review summarizes controlled studies that compared long-term survivorship outcomes between these two surgical groups. The goals are: 1) to improve understanding and shared decision-making among surgeons, oncologists, primary care providers, patients, and caregivers; 2) to increase the patient’s participation in the decision; 3) to alert the primary care provider to patient challenges that could be addressed by provider attention and intervention; and 4) ultimately, to improve patients’ long-term quality of life. This report includes discussion points for health care providers to use with their patients during initial discussions of ostomy and sphincter-sparing surgery as well as questions to ask during follow-up examinations to ascertain any long-term challenges facing the patient. CA Cancer J Clin 2016;66:387-397. © 2016 American Cancer Society.

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Introduction
Rectal cancer occurs in 11 per 100,000 persons and is disproportionately more common in men and older persons.1 Individuals with a genetic predisposition or family history or with a personal history of colorectal polyps or cancers of the colorectum, ovary, endometrium, or breast are also at increased risk. Low rectal cancer is variably defined but usually occurs in the distal 6 cm of the rectum.2 Very low rectal cancers involve or are very close to the anal sphincter. The two procedures used most commonly for rectal cancer include low anterior resection with or without a temporary (“protective”) ostomy and...
abdominoperineal resection with construction of a permanent ostomy. When low anterior resection is used, the part of the rectum containing the tumor is removed with preservation of the anal sphincter, and the colon is attached to the remaining part of the rectum or anal canal so that the patient retains bowel continuity. In contrast, when the cancer is growing into or is very close to the anal sphincter, the sphincter needs to be removed, and a permanent ostomy needs to be constructed. Other clinical factors play a role in determining the type of surgery, including surgeon specialization, procedure volume, patient age, diabetes, and neoadjuvant radiotherapy. Finally, some patients may be given a “temporary ostomy” with the goal of restoring bowel continuity through the anus during a second, later surgery. Bowel function may be significantly altered after sphincter-sparing surgery, and its severity largely depends on the level of the anastomosis. During 1995 through 2010, 73% of patients with rectal cancer in the Surveillance, Epidemiology, and End Results population and 68% in the Veterans Administration population received sphincter-sparing surgery, while this number was 67% in a northern UK cohort (1998–2002). At the authors’ institution, Kaiser Permanente Northern California and Northwest, 70% received sphincter-sparing surgery during 1990 through 2004.

For some patients with very low rectal cancer or other relative indications, an abdominoperineal resection may be the only realistic surgical option to treat the cancer, and it results in a permanent ostomy. However, some patients have a choice between permanent ostomy and sphincter-sparing surgery. When the probabilities of recurrence and survival are similar for the two surgical options, it is essential that patients have access to high-quality information so they can understand functional problems and their consequences after sphincter-sparing surgery or ostomy. Patients need information that is individualized to their circumstances so that they can make the best decision for themselves based on their individual values and preferences. In addition, patient-centered information gives many patients a greater health locus of control, which has been linked to better long-term health-related quality of life (QOL). Information, the capacity to participate in decision making, and a degree of control over the treatment decision improves adjustment in many patients.

Impaired bowel function is a primary QOL outcome of low rectal cancer surgery. Impaired bowel function can affect QOL by generating pain, urgent and frequent bowel movements, incontinence, embarrassment, negative body image, poor sleep, impaired sexual function, and stigma. These symptoms can also interfere with the patient’s ability to sustain regular employment. Although not all patients experience these negative outcomes, reducing bowel symptoms and their consequent psychological and social effects should be a key goal in the decision-making process for all rectal cancer procedures. Patients’ reactions to the differing consequences of ostomy and sphincter-sparing surgery, however, depend on their particular circumstances, which only they can judge. Thus, for patients who may have a choice in rectal cancer procedures, there is a need to gain an understanding of the comparative long-term patient-reported outcomes of ostomy and sphincter-sparing surgery.

Imagining a future that has never been experienced is challenging for anyone, and rectal cancer is not often talked about. Thus, patients with rectal cancer may assume that sphincter-sparing surgery is better, while living with an ostomy is worse, given general cultural notions of unacceptability associated with ostomies and handling feces. With such stigma, many patients will choose sphincter-sparing surgery over ostomy; but, frequently, this choice is not fully informed. Patients might not understand that impaired bowel function is common after sphincter-sparing surgery and that many patients with ostomy adjust well to living permanently with a stoma.

Discussions regarding rectal cancer surgery are very stressful for patients and families. For the surgeon, informing patients with rectal cancer can be challenging. Although a significant amount of time may be used to discuss long-term outcomes, patients may not fully synthesize information about impaired bowel function into an understanding of its long-term consequences, because they are frightened and overwhelmed by the cancer diagnosis, feel a strong aversion to having a permanent ostomy, or prefer to defer decision making to the surgeon. Furthermore, information provided by the surgeon might be insufficient, fragmented and inconsistent, and potentially influenced by the hospital and the surgeon’s training. Some patients express regret about not having fully participated in the decision-making process about the type of surgery and have lingering doubts about whether they made the right choice. This regret may be particularly strong in patients with a temporary stoma who must adjust to life with a stoma and then adjust to functional alterations after reversal. In addition, patients who have had a temporary stoma may have experienced issues (ie, stoma leakage, dehydration) secondary to the proximal nature of the stoma that would be less likely with a permanent ostomy, because permanent ostomies tend to be created with more distal colon. Once the ostomy approach is selected, no other options are available. With sphincter-sparing surgery, patients can elect to have an ostomy later if their bowel function is unacceptable, although the surgical procedure can be quite difficult and may be associated with other complications.

We believe that it is essential to help patients picture how their particular life circumstances may affect
long-term adjustment to ostomy and sphincter-sparing surgery. These circumstances include their frailty, comorbidity, and ability to care for themselves, gender, employment and income, lifestyle (family and social activities, recreation, and community), and social support, among others. This review article compares long-term patient-reported outcomes between patients with rectal cancer who underwent ostomy or sphincter-sparing surgery, with or without a temporary ostomy and provides detailed information to help surgeons and patients discuss surgical options and to help patients discuss their options with helpful loved ones. It is intended to elucidate the range of factors that patients find salient about living with ostomy or sphincter-sparing surgery and to help each patient imagine, based on other patients’ experiences, how their lives might change over the long term. This report is focused on long-term (>5 years) patient-centered outcomes and provides a brief review of oncologic outcomes, including recurrence.

**Approach**

We conducted a systematic review to examine the following question: For the surgical treatment of rectal cancer, what are the long-term (>5 years postsurgery) patient-reported outcomes after ostomy compared with sphincter-sparing surgery? The first author implemented a search strategy, during July to December 2015, in MEDLINE. Every search included the Medical Subject Heading (MeSH) major topic “rectal neoplasms/surgery.” In addition, searches included one of the following terms in any field: patient-centered, quality of life, stigma, body image, sexuality, participation, employment, decision aid, bowel function survey, low anterior resection syndrome, or ostomy complication. We restricted the search to English-language reports published since January 1, 2000, of clinical trials, comparative studies, meta-analyses, multicenter studies, observational studies, pragmatic clinical trials, randomized controlled trials, reviews, and systematic reviews. The number of reports identified was 218. The first author read the title of every report to determine its relevance: 93 reports compared laparoscopic with open surgery, and 84 addressed other issues that were not pertinent to the comparison of sphincter-sparing surgery with ostomy or presented no original data or synthesis, and these 177 reports were removed from the review. The number of reports remaining was 41. In addition to reading these 41 reports, we also reviewed the references they cited, obtaining and reading those that were relevant to the study question. We focused the review on studies of long-term patient-reported outcomes (>5 years) in which it was possible to compare outcomes from sphincter-sparing surgery versus ostomy. The studies included in the review, although they were largely consistent in how they conceptualized long-term patient-reported outcomes, used a variety of questionnaires. These included the City of Hope Quality of Life Colorectal Cancer questionnaire, which was specifically designed for ostomies and cancer survivors with sphincter-sparing surgery; the Functional Assessment of Cancer Therapy Colorectal-Specific Questionnaire; the European Organization for Research and Treatment of Cancer QLQ-C30 (Core 30 Quality-of-Life Questionnaire); the Short-Form 36, version 2; the Brief Pain Inventory; and ad hoc symptom scales.

For the purpose of this report, we conceptualize patient-reported outcomes using the framework shown in Figure 1. It identifies components of QOL—physical, social, psychological, and spiritual well being—together with health care issues, occupational and financial concerns, and concerns that are specific to having an ostomy. Each of these dimensions is set within the context of the patient’s individual characteristics, the most salient of which are frailty, comorbidity, and self-care capacity, as well as gender, employment, income, lifestyle, and social support.

**Key Predictors of QOL**

Immediately after their rectal cancer surgery, many patients discover that they need to undergo training, purchase equipment, and use pads and diapers to manage their bowel function. In addition, patients with an ostomy must adjust to the additional time needed for ostomy care, whereas those who undergo sphincter-sparing surgery must adjust to changes in bowel function. Patients can also experience shock, feel “not normal,” have fear and anxiety, experience less enjoyment, feel less attractive, express the inability to find meaning in life, find that they must change their daily activities, and discover that their sleep is disrupted because of ostomy malfunction or a need to use the bathroom more often. These experiences are common to both ostomy and sphincter-sparing surgery.

These patients should know that, over the long-term, some patients experience the same or better QOL that they had before their surgery, although other patients experience reduced QOL. It is important to keep in mind that the most important factors that determine long-term QOL are largely out of the patient’s control and are not related to the choice of ostomy versus sphincter-sparing surgery. These factors include recurrent and metastatic colorectal cancer, radiotherapy treatment and complications, surgical complications, functional status, and comorbidities. The patient’s inherent resilience is another key factor in long-term QOL. In addition, QOL can improve with time, because the effects of the surgery recede, people learn how to cope, and older people simply tend to report better QOL.

That being said, some patients have a choice between ostomy and sphincter-sparing surgery and want to make the choice that best enhances their long-term QOL.
Studies that have compared ostomy with sphincter-sparing surgery are not entirely consistent with one another. Some studies find that the average patient with an ostomy has worse overall long-term QOL than the average patient who undergoes sphincter-sparing surgery, while other studies find no differences in overall QOL. Conversely, in various studies, subdomains of QOL (physical, social, psychological, or spiritual) were found to be higher or lower for ostomy compared with sphincter-sparing surgery, as detailed below.

Making sense of these research findings is not straightforward. First, patients with an ostomy may be different from patients who undergo sphincter-sparing surgery in important ways that affect QOL. Differences can include preexisting health problems, the aggressiveness of the tumor, the use of chemotherapy and radiation therapy, and postoperative complications. These factors reduce QOL regardless of choice of surgery.

Second, outcomes after sphincter-sparing surgery are heterogeneous. Patients with low rectal cancers (<6 cm from the anus) will be more likely to have part of their anal sphincter removed and thus will be more likely to have worse bowel function than those whose cancers are higher up in the rectum. Finally, applying information from a research study to an individual patient is challenging, because no patient is average, and many factors affect the individual's long-term bowel function and QOL. For example, men and women differ in their response to rectal cancer surgery as do those with comorbidities, with greater or less social support, or who depend on others for personal care.

Notwithstanding these challenges in interpreting the research evidence, the existing studies have brought forth some important insights, and these insights are helpful to the patient who is facing the choice between ostomy and sphincter-sparing surgery. In the following sections, we review specific challenges that rectal cancer survivors face and how these challenges are influenced by the choice of surgery.

**Comparison of Bowel Function**

Ostomy patients empty their bowels into an external ostomy pouch. For patients with an ostomy, bowel function is assessed through the frequency, convenience, and privacy...
of pouch changes; dealing with gas and odor from the pouch; and changes in diet and activity to increase control over the rate and timing of pouch fills. When an ostomy pouch is emptied, it needs to be rinsed out before disposal or reattachment to the stoma. This means having a source of water convenient to the toilet, which is frequently not the case in public restrooms. Some ostomy patients experience reduced QOL because their bowel function is no longer controllable or private. They feel embarrassed in social settings when their ostomy pouch “bubbles” or smells or because the pouch fills suddenly and needs to be emptied or “burped.”

Patients who undergo sphincter-sparing surgery empty their bowels through their anus. Bowel function is impaired, especially for those patients whose rectal cancer is low in the rectum, likely because of alterations in the anatomy of the colon, pelvic floor, and anal sphincter. Poor function is likely compounded because of scarring after radiation. For patients who undergo sphincter-sparing surgery, bowel function is assessed by measuring how often patients cannot get to the toilet in time; soil undergarments; use pads or diapers; have gas, diarrhea, and loose stools; and change diet and activities to improve bowel control. This collection of bowel symptoms affecting patients who undergo sphincter-sparing surgery has been termed “low anterior resection syndrome,” or LARS. Bowel movements may be urgent, frequent, and clustered. Compared with ostomy patients, those who undergo sphincter-sparing surgery have more pain, diarrhea, and constipation. In addition, some patients who undergo sphincter-sparing surgery have reduced control over their bowel function. For example, in one study, 45% reported occasional incontinence, while 16% reported complete incontinence. Other studies have reported liquid or solid fecal incontinence in 30% of patients and nocturnal incontinence in 53% of patients, indicating a high prevalence of bowel symptoms associated with sphincter-sparing surgery for low rectal cancer. Poor bowel control reduces QOL primarily through embarrassment and social well-being, rather than physical well-being, and it can cause pain.

Comparison of Psychological Effects

Ostomy patients report feelings of embarrassment, stigma, and poor body image. Embarrassment stems from leakage, gas, and odor related to the ostomy pouch. Patients younger than 70 years and those without a partner express higher levels of embarrassment. Embarrassment is related to anxiety and depression, more difficulty with meeting new people, dissatisfaction with appearance, interference with relationships and intimacy, greater feelings of isolation, and difficulty looking at the ostomy. One study noted that ostomy affected psychological health more strongly in women than in men. Among patients who undergo sphincter-sparing surgery, social function and role functioning are higher in persons without bowel symptoms.

Comparison of Social Well Being

Participating in social activities, such as work, interacting with family and friends, recreation, religious gatherings, and community service, is important to most people, including cancer patients. The ability to continue participating in these activities is a key predictor of QOL among patient with rectal cancer. It is more important than bowel function or whether the patient had an ostomy or sphincter-sparing surgery. For patients with low rectal cancer who undergo sphincter-sparing surgery, the ability to participate in activities is largely determined by bowel function. In addition, ostomy patients may restrict their social participation because of embarrassment. However, one study that was focused only on low rectal tumors (within 6 cm of the anastomosis) found that patients with an ostomy had better social functioning than patients who underwent sphincter-sparing surgery. Lower participation in activities is related to lower QOL (unpublished results).

Most patients with rectal cancer are beyond retirement age and may not be concerned about their ability to work for pay. Other patients may need to work full-time or part-time, and many older individuals get satisfaction from volunteering. Patients with an ostomy, and possibly those patients who have poor bowel function related to sphincter-sparing surgery, may face barriers to working and volunteering and may experience reduced support from their employers and coworkers.

Comparison of Other Effects

Medical complications

In the days and weeks after surgery, the most common complication of surgery to create an ostomy is perineal wound failure, which potentially requires extensive wound care; wound failure occurs in 25% to 35% of patients. The most common complication of sphincter-sparing surgery is anastomotic leak, which creates the potential that fecal matter will pass into the abdominal cavity and cause a serious infection. It has become common in recent years to use a temporary ostomy (also referred to as a defunctioning stoma) to avoid serious intraabdominal infections related to anastomotic leaks, which has lowered the risk of anastomotic leak from approximately 24% to approximately 10%. In addition, over the long term, as many as 10% of ostomy patients and 3% of patients who undergo
sphincter-sparing surgery experience urinary retention and intestinal obstruction.  

**Sleep**

In studies that compared ostomy with sphincter-sparing surgery, patients with an ostomy reported greater sleep disturbance.  

**Intimacy and sexual functioning**

Some rectal cancer survivors report challenges with intimacy and sexuality.  

The effect of rectal cancer on sexual activity appears to be different in men and women. As many as 40% of men reported lower sexual activity after rectal cancer surgery, and as many as 50% reported new erectile dysfunction, although the role of radiation therapy and type of cancer surgery have not been clearly evaluated. Most studies reported greater sexual difficulties after ostomy compared with sphincter-sparing surgery, while one found no differences, and one found better sexual function in those with an ostomy.  

In those studies, patients who had an ostomy more often had low rectal tumors, while patients who underwent sphincter-sparing surgery more often had tumors that were higher in the rectum, so that the location of the tumor and effects of radiation make these results particularly difficult to compare. 

In one study, women who had had rectal cancer reported more challenges with sexuality and intimacy than men, while another study found no difference. Radiation treatment and changes to the vagina and surrounding tissues can cause intercourse to become painful. Other women report that changes in sexuality resulting from rectal cancer were not problematic; for these women, lower sexual activity was appreciated as a life passage related to getting older.  

**Comparison of Recurrence Rates**

Observational studies have compared the risk of recurrence in cohorts of patients who underwent sphincter-sparing surgery versus ostomy, but these studies are not
comparable, because patients were not randomized to one surgery or the other. Consequently, factors that influenced the choice of surgery might well have resulted in differences in oncologic outcomes. These factors include the bulkiness and aggressiveness of the tumor and the tumor’s response to neoadjuvant therapy. That being said, 5-year local recurrence rates on the order of 5% have been achieved after each of these surgical approaches.

Choosing Ostomy or Sphincter-Sparing Surgery

We are not aware of any validated tool or evidence-based resource to assist with the decision to undergo ostomy or sphincter-sparing surgery. A decision aid has been described that focuses on three aspects of ostomy (not having bowel movements “in the usual way,” the inconvenience of stoma care, and the risk of long-term stoma complications) and three aspects of anastomosis (potential unpredictability of bowel function, fecal incontinence, and risk of rehospitalization). However, the tool does not go in depth into the other themes we discuss in this report and has not been evaluated. Therefore, for the purpose of summarizing the information in this report, we have created a list of topics relevant to initial treatment decision making that may be useful to health providers when helping patients with newly diagnosed rectal cancer choose between the two surgical options (Table 1). This list is not formally used at our institutions and has not been systematically assessed. We have encountered patients who find ostomy to be so stigmatizing that they accepted a hypothetical level of bowel function to avoid the ostomy. Nonetheless, informing patients by individualizing the research evidence to their circumstances may improve decision making and the setting of realistic expectations. Further research into decision support tools is paramount to both patients and physicians.

Survivorship Care

Patients with ostomies have described their initial health care experiences of learning how to live with an ostomy, including initial guidance about ostomy self-care after the surgery, teaching “failures,” home visits, peer education, and accessing the internet and ostomy association newsletters. Specialized nurses have been trained to enhance the

| TABLE 2. Clinical Assessment Tool for Long-Term Follow-up of Bowel Function |
|---------------------------------------------------------------|
| **IF OSTOMY** | **IF SPHINCTER-SPARING SURGERY** |
| 1. How many times each day do you empty your pouch? | 1. How many times each day do you have a bowel movement? |
| 2. How often does your pouch leak? | 2. How often do you get to the toilet too late? |
| 3. Do you have problems with gas or odor? | 3. How often do you use a pad or diaper? |
| 4. Do you have abdominal pain or obstruction? | 4. Do you have abdominal pain or obstruction? |
| 5. Can you eat and drink the foods you want? | 5. Can you eat and drink the foods you want? |
| 6. Are you satisfied with your appearance? | 6. Does your bowel function get in the way of doing the things you like to do? |
| 7. Does your ostomy get in the way of doing the things you like to do? | Working |
| Working | Interacting with friends and family |
| Interacting with friends and family | Traveling |
| Traveling | Participating in religious activities |
| Participating in religious activities | Participating in community service/volunteer work |
| Participating in community service/volunteer work | Participating in recreation and sports |
| Participating in recreation and sports | 7. Are you satisfied with your sexual and intimate activity? |
| 8. Are you satisfied with your sexual and intimate activity? | 8. Does your bowel function affect your sleep? |
| 9. Does your ostomy affect your sleep? | 9. Do you feel embarrassed by your bowel function? |
| 10. Do you feel embarrassed by your ostomy? | 10. Are you having any problems with the skin around your anus? |
| 11. Are you having any problems with the skin around your ostomy? | 11. Do you need help with your bowel function, and do you get the help you need? |
| 12. Do you need help with your ostomy, and do you get the help you need? | 12. Are you having any problems with your rectal cancer surgery? |
| 13. Are you having any other problems with your ostomy? | 13. If persistent problems: Would you like to talk to a surgeon about options for improving control over your bowel function? |
| 14. If persistent problems: Would you like to talk to an ostomy nurse? | Working |
| Working | Interacting with friends and family |
| Interacting with friends and family | Traveling |
| Traveling | Participating in religious activities |
| Participating in religious activities | Participating in community service/volunteer work |
| Participating in community service/volunteer work | Participating in recreation and sports |
| Participating in recreation and sports | 7. Are you satisfied with your sexual and intimate activity? |
| 8. Does your bowel function affect your sleep? | 8. Do you feel embarrassed by your bowel function? |
| 9. Do you feel embarrassed by your bowel function? | 10. Are you having any problems with the skin around your anus? |
| 10. Are you having any problems with the skin around your anus? | 11. Do you need help with your bowel function, and do you get the help you need? |
| 11. Do you need help with your bowel function, and do you get the help you need? | 12. Are you having any other problems with your rectal cancer surgery? |
| 12. Are you having any other problems with your rectal cancer surgery? | 13. If persistent problems: Would you like to talk to a surgeon about options for improving control over your bowel function? |
functioning of patients with ostomies, and ostomy patients report the need for continued access to these nurses to manage persistent symptoms. Persistent ostomy-related issues include skin problems at the ostomy site, clothing restrictions and adaptations, dietary concerns, change in body weight and its effect on ostomy care, issues related to ostomy equipment and daily self-care, late complications such as hernias, continued adjustments to ostomy over the life course, and the need to pay for ostomy supplies. Special health care needs for patients who undergo sphincter-sparing surgery have not been adequately described but include skin problems from frequent wiping, the use of pads or diapers, dietary adjustments, lifestyle adjustments, and travel restrictions. Few if any training programs for nurses or other health care providers exist to enhance functioning in patients who undergo sphincter-sparing surgery, and interventions primarily involve strategies to increase the strength of the pelvic floor, such as Kegel exercises, biofeedback, and sacral nerve stimulation.

A critical role of the primary care provider is to refer patients who have poor bowel function after sphincter-sparing surgery to experienced providers who can offer various activity-based, pharmacologic, and dietary interventions. In addition, the primary care provider should consider surgical referral to discuss conversion to an ostomy, although the procedure can be difficult and has the potential for complications. The topics included in this review are appropriate for physician–patient discussions in advance of surgery and for following patients over their life course to assure the best possible outcomes that can be obtained. Both sphincter-sparing surgery and rectal excision with ostomy are effective surgical approaches to low rectal cancer. Although sphincter-sparing surgery is clearly perceived as the preferred option for patients, it may not always be appropriate given a patient’s preferences and circumstances. Decisions about rectal cancer surgery may not be optimal without an informed discussion using the existing evidence about the comparative long-term consequences of the two approaches and without eliciting patients’ preferences and circumstances. Information offers the patient the potential to anticipate their future challenges, to increase their internal health locus of control, to learn from patients who have gone before them, and ultimately to improve coping.

Current unmet needs of rectal cancer survivors are broadly similar to those of many cancer survivors. Research into bowel function and long-term patient-reported outcomes is more extensive for ostomy than for sphincter-sparing surgery. Furthermore, the outcomes after sphincter-sparing surgery are so varied, and it is difficult for surgeons to predict and/or preoperatively describe the expected bowel function to patients. Supportive interventions that focus on sphincter-sparing surgery are lacking, although there are many more patients who undergo sphincter-sparing surgery than permanent ostomy. In addition, many studies have compared ostomy patients who had low rectal tumors versus patients with higher tumors who did not receive an ostomy, so that the comparison between the two surgeries may be confounded.
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