National Assessment of Human Health Effects of Climate Change in Portugal: Approach and Key Findings

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In this study we investigated the potential impact of climate change in Portugal on heat-related mortality, air pollution–related health effects, and selected vectorborne diseases. The assessment used climate scenarios from two regional climate models for a range of future time periods. The annual heat-related death rates in Lisbon may increase from between 5.4 and 6 per 100,000 in 1980–1998 to between 8.5 and 12.1 by the 2020s and to a maximum of 29.5 by the 2050s, if no adaptations occur. The projected warmer and more variable weather may result in better dispersion of nitrogen dioxide levels in winter, whereas the higher temperatures may reduce air quality during the warmer months by increasing trophospheric ozone levels. We estimated the future risk of zoonoses using ecologic scenarios to describe future changes in vectors and parasites. Malaria and schistosomiasis, which are currently not endemic in Portugal, are more sensitive to the introduction of infected vectors than to temperature changes. Higher temperatures may increase the transmission risk of zoonoses that are currently endemic to Portugal, such as leishmaniasis, Lyme disease, and Mediterranean spotted fever. Key words: climate change, disease, health impact assessment, Portugal. Environ Health Perspect 114:1950–1956 (2006). doi:10.1289/ehp.8431 available via http://dx.doi.org [Online 11 July 2006]

In this article we describe the Climate Change in Portugal: Scenarios, Impacts and Adaptation Measures (SIAM) project. The first phase of the project was conducted to assess climate change impacts and adaptation measures in continental Portugal (Santos et al. 2002). The SIAM project was divided functionally into 10 groups and an integration team. Seven groups worked on climate change impacts and adaptation measures for specific sectors (impact groups): water resources, coastal zones, agriculture, human health, energy, forests and biodiversity, and fisheries. The remaining groups worked on climate and climate scenarios, socioeconomic scenarios, and a sociologic analysis of climate change issues in Portugal. To facilitate integration across sectors, groups used the same suite of climate data (observed and scenarios) and socioeconomic scenarios.

The results were communicated in Portuguese and in English to the public, decision makers, and other scientists. Throughout the assessment process there were many consultations/interviews with experts (international and national), other stakeholders, and with the other SIAM project groups to discuss cross-sector issues.

In this article we describe the SIAM health impact assessment, focusing on the methods used and the main quantitative results for heat-related mortality, air pollution–related health effects, and vectorborne diseases. Detailed information including suggested adaptation measures for all health impacts assessed is available in the SIAM health technical report (Casimiro and Calheiros 2002).

Health Impact Assessment Methods

Climate-sensitive health outcomes included in the assessment were identified for Portugal on the basis of previous national and international assessments (McMichael and Githeko 2001). Potential health outcomes identified were heat-related mortality, air pollution–related health effects, vector- and rodentborne diseases, water- and foodborne diseases, and health effects associated with floods and drought. Table 1 lists health outcomes further described in this article. During the assessment of each health outcome, the following questions were addressed: a) What is the current burden of disease under different scenarios. The relationships between weather and air pollution levels. In this study we investigated the relationships between weather and air pollution levels. In the vectorborne assessment, we focused on the relationships between climate and vector survival/activity and/or parasite development. These relationships were then applied using risk assessment methods to estimate the burden of disease under different scenarios. The sections that follow describe these relationships in more detail as well as how they were applied in the risk assessment process.

Health Impacts in the Future: The Use of Scenarios

Estimating the potential impact of climate change on human health calls for the development of risk assessment methods based on scenarios that provide a description of how the future may develop on the basis of plausible and internally consistent sets of assumptions (Ebi and Gamble 2005).

Future climate scenarios for Portugal were created from two regional climate models (RCMs): PROMES, a regional climate model for the Iberian Peninsula developed at the Universidad Complutense de Madrid (Gallardo et al. 2001), and HadRM2, a regional climate model for Europe developed at the Hadley Centre (Exeter, UK), United
Air pollution–related

In Portugal, the prevalence rate of childhood asthma is 10% and for rhinitis 27% (Allergonet 2000). Daily NO2 and O3 concentrations in Lisbon show substantial increases in mean annual air quality guidelines for NO2 and O3 are often exceeded in urban regions (DGS 2001a).

Heat-related mortality

In Portugal, 1,906 excess daily deaths occurred during the 1981 heat wave (Garcia et al. 1999). Daily mortality (all causes) for Lisbon during 1980–1998 (Instituto Nacional de Estatística 2000) shows that HadRM2 had better agreement with observations than did PROMES. As expected, differences between RCMs and observed climate were more noticeable when extreme weather conditions were compared than when examining average climate.

Vectorborne diseases

The competent vector and suitable hosts present (Caeiro 1999) show substantial increases in mean annual air temperature, with PROMES indicating an increase of approximately 3.3°C for the 2040s, and HadRM2 5.8°C by the 2090s. This warming is not uniform throughout the year or in its geographic distribution. HadRM2 comparisons project average minimum temperature increases during the winter of the order of 4.5–5.5°C, with greater increases in the interior south. Changes in summer average maximum temperature are projected to increase by 4.5–9.5°C, with the northern interior experiencing the maximum increase. Similarly, PROMES anomalies project increases in the winter average minimum temperature ranging from 3.1 to 3.3°C, with highest increases in the south. However, PROMES summer average maximum temperature anomalies project increases of 4–4.5°C, with the maximum anomaly in the southwest coast. Both models project increases in the number of days per year with maximum temperatures above 35°C, as well as days with minimum temperatures above 20°C. Increased frequency in the days with heavy daily precipitation events in winter are projected by both models. However, HadRM2 projects reductions in mean annual precipitation and in the duration of the rainy season, whereas PROMES projects mean precipitation increases.

Observed climate conditions were used to establish the climate–health relationships (heat-related and air pollution–related impact assessments) as well as in the assessment of current health impacts. Results from both control and future runs of RCMs were used to assess the potential changes of each health outcome. For the heat-related mortality assessment, additional daily weather scenarios for maximum temperature were projected (using both RCMs) for the 2020s and 2050s. Analysis of the mean maximum temperature changes showed that PROMES predicts a slightly warmer climate than the HadRM2 for the 2020s and 2050s (Dessai 2003). These projections were not available for other climate variables, limiting their use to the heat-related mortality assessment.

The population/demographic projections developed by the SIAM socioeconomic group could not be used because they were not

### Table 1. Climate sensitive health outcomes selected: reason for concerns and data available.

| Health outcome          | Reasons for concern                                                                                                                                                                                                 | Data available                                                                 |
|-------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------|
| Heat-related mortality   | 1,906 excess daily deaths during 1981 heat wave in Portugal (Garcia et al. 1999)                                                                                                                                       | Daily mortality (all causes) for Lisbon during 1980–1998 (Instituto Nacional de Estatística 2000)                                           |
|                         | Increasing elderly population and urbanization                                                                                                                                                                           | Daily climate data set for Lisbon [Instituto de Meteorología (IM) 2000]                                                           |
| Air pollution–related   | Prevalence rate of childhood asthma is 10% and for rhinitis 27% (Allergonet 2000)                                                                                                                                       | Daily NO2 and O3 concentrations in Lisbon [Dirección Geral do Ambiente (DGA) 2000]                                                 |
| health effects           | Respiratory disorders contribute to 16% of all deaths [Dirección Geral da Saúde (DGS) 2001a]                                                                                                                                 | Daily climate data set for Lisbon [IM 2000].                                                                                         |
| Vectorborne diseases     | Prevalence of WNV fever (Fernandes et al. 1998)                                                                                                                                                                        | Daily climate data set for Lisbon [IM 2000].                                                                                         |
| Malaria                 | Disease endemic in the past; currently an annual average of 80 imported malaria cases are reported (incidence of 0.8 per 100,000) [DGS 2001b]                                                                        | Vector survival– and parasite developmental rate–temperature relationships (Martens 1998)                                        |
| Lyme disease            | Virus isolated from competent mosquito in 1996 (Fernandes et al. 1998)                                                                                                                                             | Vector survival–temperature relationships (Martens 1998)                                                                             |
| Leishmaniasis           | Endemic disease with annual average of 15 cases reported (incidence of 0.15/100,000) [DGS 2001b]                                                                                                                      | Vector survival– and parasite–temperature relationships (Rioux et al. 1985; Teah et al. 1992; Theodor 1936)                           |
| Schistosomiasis         | Disease endemic in the past, currently an annual average of 35 imported cases hospitalized (IGIF 2000)                                                                                                                  | Vector survival– and parasite–temperature relationships (Martens 1998)                                                           |

### Table 2. RCM scenario comparisons.

| Scenarios                                      | PROMES       | HadRM2       |
|-----------------------------------------------|--------------|--------------|
| Time frame representing control climate conditions (control climate scenario) | 1981–1990    | 2006–2036b   |
| Time frame representing future climate conditions (future climate scenario) | 2040–2049    | 2080–2100    |
| CO2 concentration for control scenario (ppmv) | ± 330        | ± 330        |
| CO2 concentration for future scenario (ppmv)  | 470–500      | 610–705      |
| Mean annual temperature (°C) increase between control and future climate scenarios | − 3.3°C      | − 5.8°C      |

ppmv, parts per million by volume.

*Control simulation was performed for various decades with a constant value of CO2 concentration comparable with climatology in the baseline period 1961–1990. The period available for use in this assessment was 2006–2038.
available at the city/district level. Thus, the population projections for Lisbon used in the heat-related mortality assessment were constructed (Dessai 2003) to be consistent with the Intergovernmental Panel on Climate Change Standardized Reference Emission Scenarios (Nakicenovic and Swart 2000). Lisbon’s population was projected to grow in all scenarios. The median population from these calculations for the respective time periods was used in the heat-related mortality assessment (Dessai 2003).

Ecologic scenarios were developed and used in the vectorborne disease assessment. These scenarios incorporate a range of assumptions about the vectors (see below).

Heat-Related Mortality
Heat-related deaths occur during heat wave periods in Portugal (Garcia et al. 1999). An empirical-statistical model, developed and validated by Dessai (2002), was used to estimate future changes in heat-related mortality in Lisbon. The model used an exposure–response relationship derived from observed daily maximum temperatures and mortality during the summer months of 1980–1998. It was assumed that no adaptation occurred during this period.

The climate–mortality association was estimated using an observed–expected analysis similar to the method applied by Guest et al. (1999). Two approaches were used to calculate the number of deaths in excess of the number that would have been expected for that population (during the same period) in the absence of stressful weather. The first approach used a fixed mean of daily mortality for each month of the entire period 1980–1998. The second approach applied a 30-day running mean from mid-May to mid-September but selecting only the summer values (thus having a different value for each summer day). Changes in cold-related deaths were not assessed nor were the deaths resulting from higher air pollution levels in hotter weather.

Dessai (2002) observed that both approaches produced consistent results; heat-related deaths were not discernible below 29°C. A nonlinear regression method (of the type \( y = ae^{bx} \)) showed a strong relationship between maximum temperature and excess deaths (for both approaches) in Lisbon. Using this relationship, under the fixed-summer-months mean approach \((a = 0.00002 \text{ and } b = 0.3744)\), total annual heat-related mortality in Lisbon was estimated at 6 per 100,000 (128 deaths per year) for the period 1980–1998. The second approach \((a = 0.000006 \text{ and } b = 0.4113)\) estimated an annual heat-related mortality of 5.4 per 100,000 for the same period. These results were used to estimate potential heat-related deaths in Lisbon under the population assumptions and climate change scenarios (Dessai 2003). These projections showed a consistent increase in death rates (Table 3), reaching mortality rates of 29.5 and 16.6 per 100,000 in the 2050s using PROMES and HadRM2, respectively. The difference between the two numbers is due to the use of not only different scenarios but also different methods of calculating the current annual heat-related mortality.

Environmental Health Perspectives
Disease transmission risk was categorized qualitatively based on vector abundance and pathogen prevalence (Table 6). Because there were knowledge gaps regarding the current presence, distribution, and abundance of vectors and pathogens, disease transmission risk levels were estimated for the various scenarios based on different assumptions of vector and parasite prevalence, together with climate scenarios (Table 7). In this study, vector survival/activity periods were used as indicators of vector abundance. It was assumed that longer pathogen survival periods would increase the number of organisms in the vectors. The lengths of these survival periods were estimated as the percentage of days per year that were categorized as having favorable temperature threshold limits.

The percentage of days per year with favorable temperature limits was calculated for each grid point and for each climate scenario using daily mean temperature values obtained from PROMES and HadRM2. The results were then grouped into the five administrative regions of Portugal, and the means for each region were estimated. Observed mean temperatures for key locations within each of these five regions were used to calculate the current percentage of days per year with favorable temperature limits in these regions. Table 8 shows the results for three of these administrative regions.

It is important to note that because the study approach relied heavily on temperature thresholds, the assessment gives only an indication of the temperature-induced change in transmission potential under several climate change scenarios. Although temperature is a key factor in disease transmission dynamics, other factors such as water-breeding sites, humidity, and wind also influence disease transmission. Moreover, disease transmission to humans requires human contact (exposure) with the parasite-infected vector. This exposure is influenced by a variety of factors, including human behavior, socioeconomic conditions, environmental management practices, and primary health care practices. Intrinsic factors such as immunity affect the severity of disease. Disease transmission occurs only if all factors are favorable for transmission.

**Malaria.** The current Portuguese climate is conducive to malaria transmission, and competent vectors (*Anopheles atroparvus* mosquitos) are abundant and widespread (Galão et al. 2002). The fact that no local malaria cases are reported indicates that the local mosquito population is not infected with parasites. Although survival of both vector and parasite is possible under current climate conditions (Tables 5 and 8), the current (Table 7, scenario 1) transmission risk of *Plasmodium vivax* malaria is very low (parasite and vector both present but no infected vector present), whereas that of *P. falciparum* malaria is negligible because no suitable vectors are present in Portugal. However, if a (new) population of mosquitoes infected with *P. vivax* or, alternatively, *P. falciparum* were to be introduced into Portugal and current environmental conditions assumed (scenario 2), transmission risk...
might change to a low risk level, assuming no additional vector control.

The climate change scenarios used projected significant increases in the number of days with mean temperatures suitable for Anopheles, *P. vivax*, and *P. falciparum* survival (Table 8). However, if no infected vectors are present (scenario 3), the risk of contracting *P. vivax* malaria should remain very low, and negligible for *P. falciparum* malaria. The risk might increase to a medium risk level if a new population of mosquitoes infected with *P. vivax* (or *P. falciparum*) were introduced (scenario 4). Higher risk levels are not anticipated because infected humans (hosts) would be treated for the disease.

**WNV fever.** Currently, several mosquito species that are competent vectors of WNV are abundant and widespread in Portugal (Galão et al. 2002). The temperature thresholds for WNV survival are not documented, but laboratory studies indicate that the ability of competent vectors to transmit the virus is favored by higher temperatures (Dohm et al. 2002) and the vector’s temperature-dependent survival pattern. Vector survival and virus transmission dynamics were highest at 30°C (Mpho et al. 2002). In the present study, it was assumed that the temperature thresholds for WNV survival would be similar those for vector survival.

Although no human cases have been recently reported in the Portuguese population, in the summer of 2004 two WNV cases linked to tourism in Portugal were reported in Ireland (Connell et al. 2004). Recent studies confirm WNV serologic reactivity (virus not isolated) in a few wild birds at certain locations (Formosinho et al. 2002), and four mosquitoes were positive for WNV (Almeida et al. 2004). Therefore, it is reasonable to conclude that the current risk (scenario 1) of contracting WNV is low. Focal introduction of a (new) population of infected mosquitoes would not change this risk level (scenario 2).

Climate change may lengthen survival periods of WNV-competent (Anopheles) mosquitoes (Table 8) and possibly allow infected hosts (birds) to change their geographic range. These could result in changes in virus prevalence rates and distribution. Therefore, climate change may increase WNV transmission risk (scenario 3) from low to a medium level.

**Leishmaniasis.** Leishmaniasis is endemic in Portugal. Field studies confirm that the current environment is conducive to *Phlebotomus* sandfly survival for several months and that *Leishmania* prevalence is relatively high in reservoir hosts (dogs) in several regions in Portugal (Pires 2000). The current (scenario 1) risk of leishmaniasis transmission is thus medium. Focal introductions of additional infected vectors (scenario 2) are not likely to change the disease risk level.

The data presented in Table 8 suggest that climate change might decrease the number of days suitable for *Phlebotomus* survival in all areas of Portugal except in the northern region. Because this sandfly vector currently predominates in the northern region (Pires 2000), national disease risk levels are not projected to change with changes in *Ph. araisi* transmission dynamics in the remaining regions. Table 8 also indicates significant increases in days with favorable temperatures for *Ph. perniciosus* (sandfly responsible for most infections in Portugal) activity for the whole of Portugal. Based on these projections, it seems reasonable to conclude that the risk of contracting leishmaniasis may become high (scenario 3). Introduction of additional infected sandflies (scenario 4) is not anticipated to change the risk level.

**Lyme disease.** Lyme disease is an emerging disease in Portugal. *Ixodes ricinus* ticks are present throughout Portugal (Caeiro 1999), and are at times infected with *Borrelia lusitaniae* (de Micheli et al. 2000). Although *B. lusitaniae* has been considered to be non-pathogenic to humans, very recent evidence confirmed its pathogenic role in human cases in Portugal (Collares-Pereira et al. 2004).

In contrast to Northern Europe, the tick in the Iberian Peninsula is found throughout the year, and is more abundant during the cooler months (Caeiro 1999). This is to be expected because the tick is sensitive to prolonged heat and low soil moisture. Table 8 shows that climate change conditions will become less favorable for tick activity and hence disease transmission in southern Portugal, but more favorable in the central (not shown in Table 8) and northern regions. Because the human population in the southern regions is much smaller than in the rest of the country, and because these ticks are currently less abundant in the drier and warmer southern regions of Portugal, it is reasonable to conclude that the national prevalence rate of Lyme disease is not likely to decrease under future climatic conditions (scenario 3). In fact, it is anticipated that disease risk might increase as infected ticks and hosts widen their geographic distribution. Focal introduction of additional human pathogen-infected ticks is not anticipated to change transmission risk levels (scenarios 2 and 4).

**Mediterranean spotted fever.** Portugal has a remarkable ability to adapt to its environment, and disease transmission is highest during warmer months, even in harsher arid climatic zones where ambient temperatures exceed 35°C and soil temperatures exceed 45°C (Mumcuoglu et al. 1993), disease transmission risk levels are not expected to decrease for any of the scenarios investigated in the study. In fact, it is possible that climate change may prolong the peak season of MSF cases because of higher temperatures in spring and autumn.

**Schistosomiasis.** Half a century ago, endemic *Schistosoma haematobium* infections were known to occur in the Algarve region (Grácio 1981). Currently, only imported cases are reported in Portugal. Although current environmental conditions remain conducive to *Schistosoma* transmission, the competent snail population is currently not infected, so the present (scenario 1) risk of transmission is very low. Assuming ambient air temperatures as approximations of shallow water temperatures (which affect parasite and vector survival), it is clear that climate change might lengthen parasite survival periods (Table 8) and vector survival. However, if the local snail population remains uninfected (scenario 3), transmission risk would remain very low.

Focal introduction of the parasite from infected imported human cases to the currently noninfected snail population is also possible. If a focal parasite-infected snail population were to occur, and current climatic conditions are assumed (scenario 2), the transmission risk for schistosomiasis would be low because of the focal vector distribution (Table 6) and the favorable temperatures for parasite survival are limited to about half a year (Table 8). However, if a warmer climate scenario is assumed (scenario 4), and that the infected vector population may with time widen its geographic distribution as the favorable temperature period for survival increases significantly (Table 8), then disease transmission risk may increase toward a medium level.

**Discussion and Conclusions**

Few published studies describe changes in the burden of climate-sensitive diseases in Portugal in response to changes in weather and climate. This makes identification of the potential future health impacts of climate change difficult. The present assessment focused on three potential climate change-related health impacts: heat-related mortality, air pollution–related health effects, and vector-borne diseases. Because in this study the burden
of climate-sensitive diseases was not quantified for all impacts assessed, based on the results presented here together with the fact that the urban population in Portugal is getting larger and older, heat-related mortality is likely to be one of the highest public health concerns.

The assessment results indicate that during 1980–1998, the mean annual heat-related mortality rate in Lisbon was between 5.4 and 6 deaths per 100,000 individuals. Earlier studies showed that in moderate regions, a decline in winter mortality could possibly counterbalance the mortality increase during summer. This study did not assess cold-related mortality in Lisbon, which has not been fully addressed by others. Cold-related mortality is very difficult to estimate because of the many (non-climate) factors that contribute to deaths during winter months. For example, a preliminary evaluation of winter mortality after the 2003 heat wave in Portugal showed an increase in expected (total) winter deaths, some of which were associated with an influenza outbreak that winter (de Andrade 2004).

The annual heat mortality rate was projected to increase under all climate change scenarios, reaching rates of between 16.2 and 29.5 per 100,000 individuals by the 2050s, assuming that no additional adaptations were implemented. This large range is due to the many uncertainties, including the differences of each RCM’s future climate conditions and the performance of the model outside its temperature limits (the heat–mortality model was not validated for temperatures above those observed in 1980–98).

Analysis of current ambient levels of NO2 and O3 in Lisbon showed that pollution levels often exceed health-based air quality standards. Warmer and more variable weather, as projected by PROMES and HadRM2, might result in better dispersion of NO2 in winter, thus reducing its ambient levels, whereas the increased temperatures may reduce air quality and O3 in Lisbon showed that pollution levels not validated for temperatures above those temperature limits (the heat–mortality model was not validated for temperatures above those observed in 1980–98).

Although the results of the project may appear to be too distant in the future for immediate actions, the results have been useful in some national policies. For example, the results of the SIAM project were used in the formulation of the Portuguese Climate Change National Program (PNAC) as well as in the Third National Communication to the United Nations Framework Convention on Climate Change to identify potential impacts and adaptation measures. However, actions related to the PNAC have so far focused on issues surrounding reduction of greenhouse gas emissions. In addition, the Health Ministry’s National Contingency Plan for Heat Waves (PCOD), which was established after the dramatic impact of the 2003 heat wave on mortality in Portugal, also made use of these results and other (inter)national research projects to identify current and potential future threats and most appropriate measures for adaptation to the impacts of climate and weather on human health. As a direct consequence of the PCOD, Portugal has an operative national heat wave early warning system with the objective of reducing adverse health effects of future heat wave episodes. How this system can be improved and validated is currently on the national research agenda.

Overall, the assessment results based on the RCMs used in this study are consistent in the identification of the vectorborne diseases assessed. These results suggest that (regional/local) climate change may increase the risk levels of zoonoses, such as leishmaniasis, Lyme disease, and MSF, which currently pose the greatest risk to public health. Diseases that currently have lower transmission risk levels such as malaria and schistosomiasis are more sensitive to the introduction of infected vectors than to local temperature changes. The current risk of (local) introduction of a new population of infected vectors was not assessed but is influenced by factors ranging from global trade and population movements from countries where the disease is endemic, to alterations in the geographic range of infected vectors due to ecologic changes or vector control management practices. In general, climate change has the potential to change most of these factors to favor an increase (global) risk of infected vector introduction as well as imported (human) disease cases.

A list of the vectorborne diseases assessed in this project, along with their transmission risk levels, is presented in Table 9. The transmission risk levels are described in Table 6; scenarios are described in Table 7.

### Table 9. Vectorborne disease transmission risks for Portugal.

| Disease       | Scenario 1 | Scenario 2 | Scenario 3 | Scenario 4 |
|---------------|------------|------------|------------|------------|
| Malaria       |            |            |            |            |
| *P. vivax*    | Very low   | Low        | Very low   | Low–medium |
| *P. falciparum* | Negligible | Low        | Negligible | Low–medium |
| WVNV fever    | Low        | Low        | Low–medium | Low        |
| Leishmaniasis | Medium     | Medium     | High       | Medium     |
| Lyme disease  | Medium     | Medium     | Medium–high| Medium–high|
| MSF           | High       | High       | High       | Medium     |
| Schistosomiasis| Very low   | Low        | Very low   | Medium     |

Transmission risk levels are described in Table 6; scenarios are described in Table 7.
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