ALMA Reveals a Misaligned Inner Gas Disk inside the Large Cavity of a Transitional Disk

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Abstract

Pairs of azimuthal intensity decrements at near-symmetric locations have been seen in a number of protoplanetary disks. They are most commonly interpreted as the two shadows cast by a highly misaligned inner disk. Direct evidence of such an inner disk, however, remains largely illusive, except in rare cases. In 2012, a pair of such shadows were discovered in scattered-light observations of the near face-on disk around 2MASS J16042165-2130284, a transitional object with a cavity ∼60 au in radius. The star itself is a “dipper,” with quasi-periodic dimming events on its light curve, commonly hypothesized as caused by extinctions by transiting dusty structures in the inner disk. Here, we report the detection of a gas disk inside the cavity using Atacama Large Millimeter/submillimeter Array (ALMA) observations with ∼0′′2 angular resolution. A twisted butterfly pattern is found in the moment 1 map of the CO (3–2) emission line toward the center, which is the key signature of a high misalignment between the inner and outer disks. In addition, the counterparts of the shadows are seen in both dust continuum emission and gas emission maps, consistent with these regions being cooler than their surroundings. Our findings strongly support the hypothesized misaligned inner disk origin of the shadows in the J1604-2130 disk. Finally, the inclination of the inner disk would be close to −45° in contrast with 45°; it is possible that its internal asymmetric structures cause the variations on the light curve of the host star.

Key words: planetary systems – protoplanetary disks – stars: pre-main sequence

1. Introduction

Planets are believed to form in protoplanetary disks. As they do, they gravitationally perturb their host disk and generate structures (Kley & Nelson 2012 and reference therein). In recent years, a variety of features have been discovered in spatially resolved observations of protoplanetary disks, such as spiral arms (e.g., Fukagawa et al. 2006; Hashimoto et al. 2011; Muto et al. 2012; Currie et al. 2015), gaps, or cavities (e.g., Hashimoto et al. 2012; Akiyama et al. 2015; ALMA Partnership et al. 2015; Tsukagoshi et al. 2016), and dust traps (e.g., Casassus et al. 2013; van der Marel et al. 2013). Such structures may be produced by massive planetary companions forming in disks (e.g., Dong et al. 2015a, 2015b). By studying planet-induced structures and comparing simulations with observations, it is possible to infer properties of the feature-producing planets, such as their masses (e.g., Dong & Fung 2017a, 2017b). One specific disk, which is the focus of this paper, is J1604-2130. It has been seen in disk images at both near-infrared (NIR) and millimeter wavelengths, and is generally thought to be the outcome of starlight being obscured by structures in the inner disk. A prototype of such features was discovered in the HD 142527 disk, which shows two narrow nulls at near-symmetric locations (m ∼ 2) on the disk ring at ∼100 au in scattered light (Fukagawa et al. 2006; Casassus et al. 2012; Canovas et al. 2013; Avenhaus et al. 2014; Rodigas et al. 2014). The counterparts of the two nulls in dust continuum emission were subsequently discovered in Atacama Large Millimeter/submillimeter Array (ALMA) observations as two local depressions in millimeter surface brightness (Casassus et al. 2015b; see also Muto et al. 2015). Marino et al. (2015) proposed that the two nulls in scattered light were shadows cast by an inner disk ∼10 au in radius and highly misaligned from the outer disk (∼70° mutual inclination). Their model naturally explains the millimeter observations as well—the regions in the shadows are cooler than their surroundings as
the stellar heating is blocked, resulting in a reduction in dust emission (Casassus et al. 2015b). A review about warps in transition disks can be found in Casassus (2016).

Here, we introduce our target 2MASS J16042165-2130284 (hereafter J1604-2130) in Upper Scorpius. Recently, GAIA DR2 reported that J1604-2130 is located at a distance of 150 pc. Pecaut et al. (2012) derived stellar age 10 Myr, an epoch that probes the final stages of Jovian planet formation. Preibisch & Zinnecker (1999) derived spectral type K2, stellar mass $M_* \sim 0.7 M_\odot$, and stellar luminosity $L_\star \sim 2.2 L_\odot$. J1604-2130 has a mass accretion rate of no larger than $\sim 10^{-11} M_\odot \text{yr}^{-1}$ (Dahm & Carpenter 2009; Mathews et al. 2012). Mayama et al. (2012) detected two shadows on the disk in Subaru near-infrared scattered-light imaging observations. Although they reported the detection of an arc-like structure, the team later determined it to be an artifact.

Finally, J1604-2130 is known to have two different issues of variability. First, J1604-2130 has been identified as a ”dipper” (Ansdel et al. 2016a, 2016b)—young stellar objects that exhibit quasi-periodic or aperiodic dimming on their optical and infrared light curves. Second, while the quasi-periodic or aperiodic dimming on their optical and infrared light curves. Second, while the quasi-periodic or aperiodic dimming on their optical and infrared light curves.

### 2. Observations and Data Reduction

J1604-2130 was observed in ALMA cycle 3 program 2015.1.00888.S (PI: E. Akiyama) in Band 7. The observations were conducted with the extended and compact configurations. The observation with the compact configuration was performed on 2016 March 10 with 37 operative antennas, and the observations with the extended configuration were performed on 2016 August 2 and 15–16 with 40 operative antennas. The extended configuration array provided maximum and minimum baseline lengths of 1.1 km and 15.1 m, respectively. The compact configuration array provided maximum and minimum baseline lengths of 460.0 m and 15.3 m, respectively. Sky conditions were relatively stable for 1.3 mm wavelength observations with the precipitable water vapor between 0.50 and 1.06 mm. During observation, the system temperatures were between 100 and 300 K. The ALMA correlator was set to have two continuum windows and two spectral windows. One spectral window with a bandwidth of 234.375 MHz was centered at 345.8 GHz for the CO (3–2) (channel widths of 244.141 kHz; equivalent to a velocity resolution of $\sim 211.68 \text{ m s}^{-1}$). Another spectral window with a bandwidth of 468.750 MHz was centered at 356.7 GHz for the HCO$^+$ (4–3) (channel widths of 488.281 kHz; equivalent to a velocity resolution of $\sim 410.37 \text{ m s}^{-1}$). The other windows with a bandwidth of 2.00 GHz were configured to obtain the continuum emission centered at 344.0 GHz and 355.5 GHz (channel widths of 15.625 MHz). Bandpass was calibrated using J1517-2422. Absolute flux density was scaled using J1517-2422 or Titan. J1625-2527 or J1553-2422 was observed for phase calibration. The total integration time on-source for target J1604-2130 was 62.8 minutes with extended configuration and 31.4 minutes with compact configuration.

The data were calibrated using version 4.7 of the Common Astronomy Software Applications package (CASA) for extended configuration data and version 4.5 for compact configuration data. We followed the pipeline calibration provided by East Asian ALMA Regional Center and as provided for this data set on the ALMA archive. Because the line and continuum observations were simultaneously conducted with adjacent frequencies, and the atmospheric variation equally affects the phase variation of line and continuum data, the calibration table obtained from the continuum data was applied to line emission calibration. As we concatenate all of the observations together for maximum coverage and present/analyze the resulting continuum map, we show only the combined continuum map (low and high resolution) in further sections. The same applies for HCO$^+$ (4–3) and CO (3–2). We used the clean algorithm in CASA for imaging. The self-calibration was performed by applying the obtained continuum image as a model, and gain calibration was repeated until the rms converged to a minimum. The gain table obtained after the self-calibration of the continuum data was applied to both the CO (3–2) and HCO$^+$ (4–3) line data to generate self-calibrated visibilities. The Briggs weighting with a robustness of 0.5 was applied in both continuum and line imaging to obtain the optimal combination of resolution and image fidelity. The achieved rms in the continuum image using in all spectral windows excluding the line emission channels was 39 $\mu$Jy beam$^{-1}$. The achieved rms in the individual channel maps with approximately native channel width was 3.813 mJy beam$^{-1}$ for CO (3–2) and 3.385 mJy beam$^{-1}$ for HCO$^+$ (4–3). The achieved rms of moment 0 map was 6.454 mJy beam$^{-1}$ km s$^{-1}$ for CO (3–2), and 6.965 mJy beam$^{-1}$ km s$^{-1}$ for HCO$^+$ (4–3). The final achieved synthesized beam is approximately $0''5216 \times 0''187$ (PA = 76.7°), $0''229 \times 0''194$ (PA = 77.8°), and $0''218 \times 0''188$ (PA = 72.1°) after calibration and flagging for the continuum, CO (3–2) and HCO$^+$ (4–3), respectively. As the following section shows, a disk is detected in HCO$^+$ (4–3), CO (3–2) emission, and continuum. For the stellar position, we used GAIA DR2.

### 3. Results

#### 3.1. HCO$^+$ (4–3) Emission

Figure 1 shows the moment 0 map, integrated intensity, for HCO$^+$ (4–3) emission around J1604-2130. Figure 3(a) shows an azimuthally averaged normalized intensity. As is clearly seen in Figure 3(a), the HCO$^+$ (4–3) emission radial profile has its first bright peak at $r = 0''$ from the central star, then it becomes fainter around the cavity zone, then has its second bright peak at the outer ring zone, and then becomes fainter again. In other words, emission extends into the cavity with a dip in the profile between a peak at the center of the cavity and bright outer ring. The moment 0 map in Figure 1 also shows a peak at the center of the cavity. This inner component has 12.4$m$, and its size is close to the beam size. This reveals that there are still materials inside this cavity. Another salient
Figure 1. ALMA images of J1604-2130. An ellipse at the bottom right corner for (a)–(c) and bottom left corner for (d),(e) denotes the ALMA synthesized beam. The unit of the color bar for (a), (b) and (d)–(f) is $[\text{Jy beam}^{-1} \text{ km s}^{-1}]$ and $[\text{km s}^{-1}]$, respectively. (a) HCO$^+$ (4–3) moment 0 map. Contour levels are (5, 10, 15, 20, 25) $\times$ rms. (b) CO (3–2) moment 0 map. Contour levels are (5, 10, 20, 30, 40, 50, 60) $\times$ rms. (c) Color map of continuum emission overlaid with and contours at (5, 50, 100, 150, 200, 250, 300) $\times$ rms. (d) HCO$^+$ (4–3) moment 1 map. (e) CO (3–2) moment 1 map. (f) CO moment 1 map is shown in the color map. Continuum in black contours at (5, 50, 100, 150, 200, 250, 300) $\times$ rms is overlaid. Purple line denotes the position angle 135° of inner disk minor axis. Brown line denotes the position angle 170° of outer disk minor axis. The black cross gives the stellar position.
feature detected in the moment 0 map are two local depressions, or dips, in the emission in the east–northeast and west–southwest sides of the disk. These dips are also seen in Figure 3(b), and their origins are discussed in Section 4.1.

Figure 1(d) shows the moment 1 map, which shows mean velocity for HCO$^+$ (4–3) emission in the J1604-2130 disk. The central velocity, 4.6 km s$^{-1}$, for J1604-2130 traces the material along the minor axis in disks as can be seen in green in Figure 1(d).

Figure 4 displays the HCO$^+$ (4–3) spectrum obtained by integrating over a circular area centered at the location of J1604-2130. The central velocity, 4.6 km s$^{-1}$, is seen as a peak in this figure. The HCO$^+$ (4–3) integrated spectra over the inner component shows a double peak. Based on these figures, the inner component peak at the center of the cavity is interpreted as HCO$^+$ (4–3) inner disk.

### 3.2. CO (3–2) Emission

Figure 1 shows the moment 0 map, integrated intensity, for CO (3–2) emission of J1604-2130. In this map, the faintest part of the ring has an offset and is located slightly south of the central star. The CO (3–2) emission, particularly in the inner part, shows a different view from that of HCO$^+$ (4–3), probably due to a difference in their optical depth. There are also two dips detected in the CO (3–2) moment 0 map. Orientation of the east dip is slightly different from HCO$^+$ (4–3) or continuum. These dips are also seen in Figure 3(b) and their origins are discussed in section Section 4.1.

Figure 1(e) shows the moment 1 map, which is intensity-weighted velocity, for CO (3–2) emission of the J1604-2130. The CO (3–2) moment 1 map shows a twisted kinematic structure in the central area. These twisted first-moment maps have already been observed in several sources by ALMA (e.g., Rosenfeld et al. 2014; Casassus et al. 2015a; van der Plas et al. 2017; Walsh et al. 2017). In the moment 1 map for the CO (3–2) emission image, two rotational components can be interpreted as the CO (3–2) inner disk and outer disk inferred from twisting structures. The CO (3–2) spectrum obtained by integrating over a circular area centered at the location of J1604-2130 is displayed in Figure 4.

We used the CO (3–2) intensity-weighted velocity (first-moment) map to derive position angles for both outer and inner disks because kinematics provides a finer spatial detail of the morphology (PA) of the rest velocity emission, which corresponds to the minor axis. The spatial resolution on the other hand is not sufficient to measure the inner disk morphology in detail. As the zero projected velocity line runs along the semiminor axis of a disk, based on the CO (3–2) moment 1 map shown in Figure 1(f), we estimated the PA of the J1604-2130 outer and inner disk to be 80° and 45°, respectively. This method to use the central velocity (4.6 km s$^{-1}$) for determination of the PA is described by Miley et al. (2018). The outer disk PA of 80° is consistent with the ALMA cycle 2 result by Dong et al. (2017).
**Figure 3.** (a) Azimuthally averaged normalized intensity of ALMA observations of J1604-2130. Blue, green, and red tracers correspond to 0.87 mm dust continuum, CO (3–2), and HCO$^+$ (4–3), respectively. Normalized intensity against deprojected radius in arcseconds is plotted, assuming PA = 80° and inclination = 6° (Dong et al. 2017). (b) Azimuthal normalized surface brightness profile of 0.87 mm dust continuum, CO (3–2), and HCO$^+$ (4–3) with position angle measured from north to east. Each curve shows the measured flux of pixels. PAs of the local minimum fitted by Gaussian and PAs of the inner disk are annotated. ((c)–(e)) Simulated azimuthal normalized surface brightness profiles by using toy models of a ring disk. Shape of dips including their depth and azimuth width is varied in (c)–(e). 70.0%, 80.0%, 10°, and 20° labeled on the top of the panels denote the dip depth in (c)–(e), respectively. The black line denotes the shape of the dips. The red line denotes the profile with dips after convolution with an elongated beam, same as our ALMA observation. The blue line denotes profile without dips after convolution with an elongated beam, same as our ALMA observation.

Figure 2 shows a plot of overlaid CO (3–2) channel maps indicating the position angle of the inner and outer parts of the disk. With this figure, we confirmed that the PAs of both inner and outer disks derived from the CO (3–2) moment 1 map are perfectly consistent with those derived from this CO (3–2) channel map.

### 3.3. Continuum Emission

Figure 1 shows the 0.87 mm continuum image of J1604-2130 with an rms of 39 mJy beam$^{-1}$. As seen in this figure, at a lower level, 5σ contours show that the emission is considerably more extended from the star; while the ring is located about 0″6 inward, the emission extends down to about 0″2 from the star, especially on the east side. This means that it is not empty inside the ring in the continuum, although the stellar position is free of detectable continuum emission. Overall, the east side of the ring appears to be broader radially. There is also an emission signal outside of the ringlike disk. The continuum disk also shows two dips. Orientations of these two dips, which are east–northeast and west–southwest, are almost the same as seen in the HCO$^+$ (4–3) emission.

### 3.4. Elliptical Fitting

Elliptical fitting was performed to measure outer disk angular separations of the major and minor axes. The position angle, derived from CO (3–2) observation, is used as a fixed parameter. For the angular separations of the major and minor axes, CO (3–2), HCO$^+$ (4–3), and continuum data are separately used for fitting. We first measured disk radial profiles in 10° position angle increments, and then extracted coordinates of the brightest peak area. Those coordinates were used to fit an ellipse and used to measure the surface brightness to plot Figure 3(b). The Trust Region Reflective algorithm was performed. The derived semimajor and semiminor axes are called “peak radii” in this Letter and are relatively larger than a cavity wall radius that is often derived in submillimeter transition disk modeling studies. Elliptical fitting results are as follows: for the continuum, peak radii of major and minor axes are 583 ± 3 and 576 ± 3 [mas], respectively. For HCO$^+$ (4–3), peak radii of major and minor axes are 452 ± 5 and 418 ± 5 [mas], respectively. For CO (3–2), peak radii of major and minor axes are 385 ± 3 and 375 ± 3 [mas], respectively.
4. Discussion

Flux is measured above 3σ from the continuum image giving an integrated emission of 0.276 Jy. Assuming optically thin dust emission and using an opacity for millimeter-sized particles, observed at a wavelength of 0.87 mm = 3 cm² g⁻¹ (Draine 2006), we assume a minimum disk temperature of 20 K, resulting in a derived dust mass lower limit of \( M_{\text{dust}} = 0.21 \ M_{\text{Jup}} \). Mathews et al. (2012) derived that J1604-2130 has a dust mass of 0.1 \( M_{\text{Jup}} \) by their Submillimeter Array (SMA) observation.

4.1. Inner Disk Geometry and Origins of Dips

Figure 3(b) denotes an azimuthal normalized surface brightness profile of 0.87 mm dust continuum, CO (3–2), and HCO⁺ (4–3) with PA measured from north to east. By fitting the Gaussian profile to the azimuthal profile, the best-fit parameter is found for the location of the local minimum to identify dip PA. The dip on the east side (east dip) has a PA of 62°0 ± 0°2, 96°1 ± 0°5, and 60°3 ± 0°6, and the dip on the west side (west dip) has a PA of 255°5 ± 0°8, 257°0 ± 0°9, and 251°5 ± 0°2, for dust continuum, CO (3–2), and HCO⁺ (4–3), respectively. These two dips are the first and second faintest dips for all three tracers. For dust continuum and HCO⁺ (4–3), there is around 190° difference between the east dip and west dip. For CO (3–2), there is a difference of around 160° between them. Therefore, these two dips can be called symmetrical dips.

Very gradual variations of the brightness azimuthally affect the location of the decrements, which are shifted azimuthally because of the finite beam. In order to estimate the impact of this shift and depth of local decrements, we simulate observations using a toy model of a ring disk (\( =0°58 \) in radius). A 0°1 ring radial width is adopted as a fixed value. Two dips are created at PAs of 45° and 225°, which are theoretically predicted by the inner disk PA (Facchin et al. 2018). As a result, simulated azimuthal normalized surface brightness profiles with a PA measured from north to east are displayed in Figures 3(c)–(e).

Regarding a depth of local decrements, this simulation demonstrates the following three points. First, beam elongation at the time of our observation (0°216 × 0°187; PA = 76°7) can produce ~10% of local decrements in surface brightness even without any dips on a disk. Second, the depth of these local decrements becomes deeper by creating dips in a toy model, as seen in the Figures 3(c)–(e) by comparing the red and blue lines. Third, we tested that the depth of these local decrements also varies depending on radial width of a ring disk.

Regarding an azimuth shift, this model demonstrates the following three tendencies. First, locations of observed local decrements can be shifted a maximum ~30° in the counterclockwise direction from the location predicted by the inner disk PA. Second, the deeper the dips become, the closer the location of a decrement shifts toward a dip after convolution. Third, the wider the dips becomes azimuthally, the closer the location of a decrement shifts toward a dip after convolution.

In our observation, both the first and second faintest continuum decrements have 17° and 30°5 differences from the location predicted by the inner disk PA. These two have different values from each other, and both are almost within a 30° maximum shift in the same counterclockwise direction derived from this toy model. Therefore, as in our interpretations of this toy model, an original existence of continuum dips is required to reproduce these radio decrements, and beam elongation can partly contribute both to shift and to enhance dips, which are then consequently seen as observed positions. However, it is not possible to distinguish this interpretation from a case where there is in fact a real deep dip at the observed location.

In NIR, similar dips are detected around PAs 85° and 255° at the H band (Mayama et al. 2012). Locations of NIR dips are closer to CO (3–2) dips rather than dust continuum and HCO⁺ (4–3). This consistency might be due to NIR and CO (3–2) both being tracing surface layers of the disk.
Facchini et al. (2018) suggest that these dips can be interpreted as two shadows cast by the inner disk onto the outer regions. This is a direct effect of the dust temperature being lower in the shadowed regions (the column density is close to being azimuthally symmetric in the outer disk). The stages of the 3D SPH simulations shown in Facchini et al. (2018) shared common dip numbers and azimuthal directions with the observed images in the continuum, CO (3–2), and near-infrared. These agreements between observations and simulation suggest that two dips are seen by shadowing effects. Moreover, Facchini et al. (2018) suggest that the twisted structure in the first-moment map is a peculiar indicator of broken (inner and outer separated) disks rather than the warp disk addressed in Juhász & Facchini (2017).

Min et al. (2017) probed inner disk geometries from locations of shadows seen in scattered-light images by connecting them. Their results as well as results from Casassus et al. (2018) showed that the position angle of the line connecting the shadows can be related to the position angle of the inner disk. If we adopt their method, we can address the different position angle between the inner disk and the line connecting the shadows. Furthermore, the inclination of the inner disk can also be constrained to close to the $-45^\circ$ case in contrast with the $45^\circ$ case. This is because the line connecting the two dips ($85^\circ$ and $225^\circ$) seen in the NIR scattered-light image lies on the the south side of the stellar position. This picture is close to the case ($\theta_1 = -45^\circ$, $\theta_2 = 0^\circ$) shown in the second left panel on the bottom row of Figure 2 in Min et al. (2017) in contrast with the case ($\theta_1 = 45^\circ$, $\theta_2 = 0^\circ$) shown in the second right panel on the bottom row of the same figure. This constrained inclination requires that the northern portion of the inner disk be on the far side, while the southern portion is on the near side. In this case, the inner disk rotation is counterclockwise combined with the Figures 1(e) and (f).

4.2. Comparisons with Previous Observations

The highlights of cycle 3 are the detection of the inner disk and twisted butterfly kinematic pattern. The most fundamental difference between cycle 2 and cycle 3 is that the cycle 3 data set has a much longer integration time (1.5 hr versus 5 minutes in the cycle 2 data set), thus reaching a much higher sensitivity level. The cycle 2 data set did not detect the above-mentioned new structures due to a low signal-to-noise ratio.

Among disks with symmetric pairs of azimuthal shadows (e.g., HD 100543, DoAr 44, and HD 142527; Benisty et al. 2017; Casassus et al. 2018), only HD 142527 and J1604-2130 have been confirmed to have a highly misaligned inner disk via the detection of the twisted butterfly pattern in their gas moment 1 images. It is interesting to note the difference between the two systems.

In the gas moment 1 map, both J1604-2130 and HD 142527 show a twisted kinematic pattern that in fact not only a misaligned inner disk but also infall can also produce. Although both mechanisms actually work to produce the twist of HD 142527 (Casassus et al. 2015a), Rosenfeld et al. (2014) noted a degeneracy between warps and infall for HD 142527. In the case of J1604-2130, a misaligned disk is confirmed to at least partly contribute to producing the twist for the following two reasons. First, there are two symmetrical shadows. Second, J1604-2130, as a dipper source, which has the face-on outer disk, requires a misaligned inner disk. When discussing the possibility of infall, our new ALMA data detected no significant evidence of inflow penetrating the cavity. Nevertheless, Pinilla et al. (2015) and Ansdell et al. (2016a) reported the complex, aperiodic variability of its optical light curve with dimming by as much as 40% of the stellar flux in a span of a few days. To explain this deep variability, infall might contribute to produce both twist and variability to some degree. But our recent ALMA observations still do not offer too many new insights into why it is variable. This is because our new observation is at one epoch and did not have a good enough resolution to resolve any obscuring structures on the inner disk that has a size probably smaller than 1 au.

Another point to note is that HD 142527 has a companion (HD 142527 B) at a projected distance of $\sim$0.1 arcsec (Biller et al. 2012; Close et al. 2014; Lacour et al. 2016), and Price et al. (2018) proposed that the companion may be responsible for torquing the circumstellar disk and introducing a mutual inclination between that and the circumbinary disk. So far, direct imaging observations have yet to find a companion inside J1604-2130’s cavity (Canovas et al. 2017). We leave the question of the origin of the misaligned inner disk in J1604-2130 to future investigations.

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