Solid Internal Energy Dissipation of 3C Single-Crystal Silicon Carbide Micromechanical Resonators by Heterojunction Growth on Silicon

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(Received March 4, 2009; accepted July 29, 2009)

Key words: resonator, silicon carbide, internal energy dissipation, heterojunction growth

A previous report shows that a 100-nm-thick free-free beam, single-crystal silicon carbide (SiC) resonator has quality factors 10 times lower than those made with a 10-μm-thick single-crystal SiC cantilever even though the free-free beam is supposed to have lower clamping loss.(1,2) This manuscript explores the above-mentioned difference using the heterojunction growth of (110) 3C-silicon carbide deposited as structural films of micromechanical resonators on single-crystal silicon and polycrystalline silicon. The polycrystalline SiC resonators with smaller clamping losses were fabricated to contrast with the resonators made of single-crystal SiC. The analysis showed that solid internal energy dissipation may play a more important role than the other losses operated in tens of kilohertz. The resonators with a 2-μm-thick single-crystal SiC having $Q_s$ between the previous reports may suggest a higher defect density near the interface, which causes high solid internal dissipation. Although an electrical measurement technique was used, the dominance of this material property appeared to be due to grain size instead of conductivity.

1. Introduction

Micro-electromechanical system (MEMS) resonators have attracted the radio frequency (RF) communications community’s attention because of their high quality factors ($Q_s$) and their capacity to be integrated into silicon-based integrated circuits (ICs).(3–5) In addition, RF applications demand a device technology that operates from very low to ultrahigh frequencies. Thus, silicon carbide (SiC) is a promising material for RF MEMS because of its high Young’s modulus-to-density ratio, which increases the acoustic velocity and fundamental resonant frequency of the vibrating structure above the Si film. Among the approximately 250 known polytypes of SiC, 3C-SiC (or β-SiC) is the only polytype that can be epitaxially grown as a single-crystal film on silicon.

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substrates owing to its common crystal structure. Hence, 3C-SiC is the only SiC suitable for integration with the current silicon-based technology.

The inverse of quality factor ($Q^{-1}$) is a common and simple way to express energy losses in an oscillating system. It is mainly defined as energy dissipated over the stored energy in a dynamic system in an oscillation cycle. Energy losses in a microscale resonator can be attributed to several factors, including ambient damping, anchor-based clamping losses, thermoelastic damping (TED), and internal friction of the structural material.\(^{(6,7)}\) The total energy loss in the system is simply calculated as the sum of the energy loss components and can be written as follows:

$$Q_{\text{total}}^{-1} = Q_{\text{air}}^{-1} + Q_{\text{TED}}^{-1} + Q_{\text{clamping}}^{-1} + Q_{\text{solid}}^{-1} + \ldots \ldots$$ (1)

In measuring the transmission coefficient of a resonator, its total energy loss ($Q_{\text{total}}^{-1}$) can be extracted directly from the resonant frequency divided by the bandwidth of 3 dB, which dropped from its resonant frequency. However, the measured maximum $Q$ is limited by the TED or Akhieser effect. It is common knowledge that TED is dependent on resonant frequency, although material characteristics also determine the dissipation. The Akhieser effect is purely material-property-related. Although SiC-based micromachined resonators have been available for more than a decade, and are considered to comprise one of the highest achievable $Q$-multiplied-by-operating frequency materials,\(^{(8)}\) only a handful of research studies have been able to characterize the energy loss mechanisms in these devices. An early effort by Su et al. focused on describing the $Q$ of micromachined cantilever-based resonators made from SiC films.\(^{(1)}\) Their work used micromachined cantilevers fabricated from (100) 3C-SiC films epitaxially grown on (100) Si substrates. These large cantilevers measured 1 mm by 3.5 mm by 10 μm according to MEMS standards. They were also used to portray energy damping as a function of temperature. The mechanical energy dissipation was calculated from the decay in resonance amplitude of the electrostatically actuated cantilevers, and the cantilever motion was detected by a capacitive sensor. This research showed that the energy dissipation ($Q^{-1}$) for the single-crystal 3C-SiC films was approximately $8 \times 10^{-6}$, which translated to a $Q$ of about 125,000. The authors attributed the energy dissipation entirely to the internal friction caused by crystalline defects. However, they were not able to discuss the cause of this defect further.

Several years later, the work of Su et al. was followed by that of Kuo et al., in which the $Q$ of SiC lateral resonators fabricated from thinner 3C-SiC films was measured.\(^{(9)}\) In the study of Kuo et al., the single-crystal SiC films were nearly a factor of 5 thinner (2 μm), which placed them well within the accepted thickness range for surface micromachined MEMS devices. Moreover, they used conventional comb-drive lateral resonators as test devices to make the study more relevant to a wider range of application areas where such device designs are used. Similarly, they used a transimpedance amplifier-based actuation and detection method. They found that devices made of (100) oriented 3C-SiC had a $Q$ of approximately 103,000, whereas those made of (110) oriented 3C-SiC had about 76,000. Unfortunately, Kuo et al. were not able to evaluate
the devices beyond the $Q$ determination. Aside from research performed in the 2 to 10 μm thickness range, the $Q$ of 3C-SiC resonators was also determined on the 100 nm scale. At the same time, researchers at Caltech focused on developing 3C-SiC nanoelectromechanical system (NEMS) resonators; in particular, they have been studying energy dissipation among these structures.\(^{(2,10,11)}\) The group at Caltech has characterized energy dissipation in both clamped-clamped and free-free beam designs. In their study, $Q$ was measured by actuating the devices in a vacuum at cryogenic temperatures using the magnetomotive transduction technique. Even the free-free beam was expected to have one order of magnitude higher $Q$ than the clamped-clamped beam.\(^{(12)}\) Their results indicated that the effective $Q$s of free-free beam devices have a maximum of 11,000. Evidently, they performed an in-depth analysis of these devices and concluded that clamping losses and surface roughness can play a key role in energy dissipation.

Despite these findings, the large difference in $Q$ (more than approximately 10 times the difference) in previous experiments has never been explained, although all the experiments used single-crystal SiC as resonators. Nevertheless, the extrapolation of the findings from 10-μm- and 100-nm-thick beams for devices in the 2 μm thickness range may not be appropriate. This is because the defect density in the heteroepitaxial 3C-SiC is known to exhibit a significant gradient with respect to thickness from a cross-sectional transmission electron microscopy (TEM) image (Fig. 1).\(^{(13)}\) The defect density near the 3C-SiC/Si interface is extremely high; it is in fact too high to be quantified accurately. This region extends by about 100 nm from the interface, and the defect density decreases considerably as defects intersect above this region. These defects are mainly stacking faults and twins, which intersect and annihilate one another in the process. This effect has a thickness dependence that extends beyond 2 μm from the interface. Consequently, a work using NEMS resonators is not relevant for surface-micromachined MEMS because the former is made of a material with latent defects, which would undoubtedly

![Cross-sectional TEM image of a typical epitaxial 3C-SiC film](image)

**Fig. 1.** Cross-sectional TEM image of a typical epitaxial 3C-SiC film.\(^{(13)}\) The defect density in heteroepitaxial 3C-SiC exhibits a significant gradient with respect to thickness.
skew the findings in a film with a relatively small thickness. Moreover, a smaller crystal within the interface can result in a higher solid internal energy dissipation. This is caused by the chemical bonds that are broken and reformed in an oscillating beam.\(^{(14)}\) Similarly, a previous study which used 10-μm-thick cantilevers may also be considered immaterial because it was performed using a material whose defect density was probably too low in the thickness range of interest (2 μm). Thus, the research carried out by Kuo et al., which employed 2-μm-thick resonators, provides a good impetus for further work. However, new measurements are required to obtain suitable data for in-depth analysis since those used in the previous work are noisy.\(^{(9)}\)

In order to eliminate the clamping-damping of single-crystal SiC resonators, folded-beam resonators were designed; they were made of poly-SiC. This is because the difference in measured \(Q\) between the single-crystal and poly-SiC resonators will become the difference in solid internal loss in accordance with eq. (1), especially if the air damping is negligible and the TED is calculable.

2. **Materials and Fabrication**

2.1 **Single-crystal 3C-SiC resonators (Type K)**

A single-crystal 3C-SiC film was grown using a heteroepitaxial process by atmospheric pressure chemical vapor deposition (APCVD). High-quality 3C-SiC films were found on Si substrates with void-free interfaces. The heteroepitaxial process is a three-step detailed growth procedure.\(^{(15)}\) However, heteroepitaxial 3C-SiC films are not exactly suitable for the surface-micromachined lateral resonant-type device described in this study. This type cannot be electrically isolated from the underlying substrate because the device is situated directly on top of a Si wafer. To form a substrate suitable for surface micromachining, a film transfer technique called grow-a-substrate (GAS) was used.\(^{(9)}\) The process flow is shown in Fig. 2. In the following epilaxial growth using the above procedure, the 3C-SiC surface was treated by chemical mechanical polishing (CMP) to form a uniform and smooth SiC surface. It was then followed by cleaning with Piranha and RCA solutions to remove surface contamination. Afterwards, a 1.7-μm-thick SiO\(_2\) film was grown by initially depositing a polysilicon film by low-pressure chemical vapor deposition (LPCVD). This was followed by completely oxidizing the film by wet thermal oxidation. The wafer-thick polysilicon layer of approximately 600 μm in thickness was then deposited to serve as the substrate. Consequently, the Si substrate was removed by wet chemical etching. Finally, a 3C-SiC film was grown on the original 3C-SiC layer using a homoepitaxial process at 1,280°C. Except for the carbonization step, the same processing sequence was employed in the heteroepitaxial process. The resulting substrate is sometimes referred to as a silicon carbide-on-insulator (SiCOI) substrate. The crystal orientation of the heteroepitaxially grown 3C-SiC is dependent on the Si substrate on which it was originally grown. This means that the 3C-SiC film will have various orientations. In this research, the (110) 3C-SiC-orientated SiCOI substrate was fabricated. The film resistivity was measured to be 40 Ω-cm by the four-point probe measurement.
The one-mask process was used in the fabrication sequence to define the SiC resonators.\(^{9}\) In this design, the buried SiO\(_2\) film of the SiCOI wafers was employed as a sacrificial layer and for facilitating substrate electrical isolation. The fabrication process began by depositing an Al thin film through magnetron sputtering. This film was patterned into an etch mask through photolithography, which basically defined the resonator. Afterwards, the 3C-SiC film was anisotropically dry-etched using a reactive ion etching process based on CHF\(_3\), O\(_2\), and He. After the wafers were etched and diced, the chip level devices containing the resonators were treated with either an Al etchant or a Piranha solution to remove the Al mask. The structures were then released by wet etching in 49% HF for 5 min. The single-crystal 3C-SiC resonators used in this study were originally fabricated and denoted as Type K resonators. Figure 3(a) shows an overview scanning electron microscopy (SEM) image of a released folded-beam resonator that was fabricated from a single-crystal 3C-SiC film.

2.2 Polycrystalline 3C-SiC resonators (Type R)

In this case, the poly-SiC growth procedure was identical to that used to grow epitaxial single-crystal 3C-SiC films, except that the substrate used here was polysilicon instead of single-crystal silicon.\(^{16,17}\) On the other hand, the substrate was a 1.5-μm-thick, thermally grown SiO\(_2\) film on (100) Si substrates. Prior to SiC growth, a 2-μm-thick polysilicon film was deposited on a SiO\(_2\) film through LPCVD. This process is silane-based, which is the standard for polysilicon MEMS. After polysilicon deposition,
the poly-SiC films were then deposited by APCVD. Similarly to single-crystal films, the poly-SiC films were unintentionally doped with residual nitrogen at levels considered sufficient to support electrostatic actuation. The films had a resistivity of 6.62 Ω-cm, whereas the poly-SiC resonators were denoted as Type R resonators. Furthermore, polysilicon was used for both anchoring and sacrificial layers (Fig. 3(b)). Table 1 shows a summary of the fabrication details of the two devices. Table 1 shows a summary of the fabrication details of the two devices.

3. Measurements

The work employed electrical transmission to evaluate MEMS resonators. First, the chip-based MEMS resonators were mounted on a printed circuit board and a Philips SA5211 transimpedance amplifier with a gain of 14 kΩ. During oscillation, the MEMS resonator generated a motional current converted to a voltage signal by the transimpedance amplifier. The transimpedance amplifier was chosen because of its bipolar junction transistor differential amplifier capable of producing a low-noise output signal. The test was conducted at 30 μTorr. The schematic diagram of the setup is detailed elsewhere.(18) The motional current from the MEMS resonator was amplified by the transimpedance amplifier placed next to the MEMS chip. On the other hand, the transmission spectrum \( S_{21} \) determined the total \( Q \) of the circuit containing the MEMS resonator. Subsequently, the circuit was placed in a vacuum system equipped with a diffusion pump, which may reach pressures of approximately 1×10⁻⁶ Torr. Figure 4 shows a magnitude plot for Devices K1 and R1, which were tested at 30 μTorr and then measured using an Agilent 4395A network analyzer. This equipment was likewise used to determine the resonant frequency and \( Q \) of this device. Note that each vertical grid in

Fig. 3. (a) Overview SEM image of folded-beam resonators fabricated from single-crystal 3C-SiC and (b) polycrystalline 3C-SiC.
Table 1
Summary of fabrication details of the 3C-SiC resonators evaluated in this study.

|                  | Type K               | Type R               |
|------------------|----------------------|----------------------|
| Growth method    | APCVD                |                      |
| SiC dep. temp. (°C) | 1280                |                      |
| Precursor gases  | SiH₄ and C₃H₈        |                      |
| Doping gas       | None                 |                      |
| SiC thickness (µm) | 2                   |                      |
| Orientation      | (110)                |                      |
| Substrate        | Polysilicon          | SiO₂/(100) Si        |
| Sacrificial/anchor | SiO₂                 | Polysilicon          |

Fig. 4. (a) Magnitude plot for K1 single-crystal SiC resonator and (b) R1 polycrystalline SiC by APCVD. Their quality factors are 51,425 and 22,168, respectively.

the magnitude plot represents 5 dB. The measured $Q$s are listed in Table 2. The single-crystal 3C-SiC resonators (K1 and K4) have $Q$s of about 50,000 (Fig. 4(a)), while R1, which is also made from APCVD films, has a $Q$ of approximately 20,000 (Fig. 4(b)).

4. Discussion

4.1 Air damping

The air damping of flexural resonators can be rendered negligible if the ambient pressure is reduced to a critical point. In this study, the measurement indicates that the critical point for the folded-beam resonator design is about 10 mTorr. Although air damping is a very significant component of total energy dissipation, it is considered negligible if testing is performed under high-vacuum conditions. These findings indicate
that for specific resonator designs in this study, the devices should be tested at pressures below 10 mTorr, which is considered to be well above the base pressure of the vacuum testing system.

4.2 Thermoelastic damping (TED)

In an oscillating flexural beam resonator, the relaxation rate of the bending beams affects TED.\(^{(10)}\) Using known material parameters for 3C-SiC\(^{(21,22)}\) and the device dimensions measured from various SEM images, an estimate of the minimal energy dissipation due to TED was calculated using eqs. (2) and (3):\(^{(22)}\)

\[
Q_{TED}^{-1} = \frac{\alpha^2 TE}{\rho C_p} \frac{(F/F_0)^2}{1 + (F/F_0)^2} \approx \frac{\alpha^2 TE}{\rho C_p} \frac{F}{F_0} \quad \text{if } F \ll F_0,
\]

\[
F_0 = \frac{\pi \kappa}{2 \rho C_p t^2},
\]

where \(C_p\) is the heat capacity of the structural material, that is, SiC at constant pressure; \(\alpha\) is the thermal expansion coefficient of the structural material; \(T\) is the spatially mean temperature of the beam; \(E\) is the elastic modulus of the structural material; \(F\) is the resonant frequency; \(F_0\) is the characteristic damping frequency; \(\kappa\) is the thermal conductivity of the structural material; \(\rho\) is the mass density of the structural material; and \(t\) is the beam thickness. For a 2-μm oscillation beam, the \(F_0\) is about 47 MHz, which is far above the operating frequency in this work. The \(Q_{TED}^{-1}\) of K1 is thus plotted in Fig. 5, which shows an order of \(10^{-7}\). The manual calculations of \(Q_{TED}^{-1}\) for K1 and R1 are summarized in Table 3. For the single-crystal 3C-SiC resonators, the energy dissipation specifically associated with TED is only 0.016 of the total. Even though the value is small, it is not considered insignificant. On the other hand, the energy dissipation of poly-SiC associated with TED is between 0.02 and 0.08. It should be noted that the TED calculations for poly-SiC microstructures may lack accuracy. This is mainly because some key material properties, such as thermal expansion coefficient, heat capacity and thermal conductivity, are not specifically known for the microstructure and orientation of the poly-SiC films used in this study.\(^{(21)}\) Nonetheless, these calculations have been included to illustrate that the contribution of TED to the total energy dissipation can be considered comparable to a single-crystal 3C-SiC film even for poly-SiC. Thus, this

| Device | Resonant Freq. (Hz) Ave. (Std. Dev.) | Quality Factor Ave. (Std. Dev.) |
|--------|------------------------------------|---------------------------------|
| K1     | 28162 (0.5)                        | 49979 (2420)                    |
| K4     | 16442 (0.5)                        | 45947 (2817)                    |
| R1     | 16399 (1.9)                        | 20086 (2914)                    |
alone cannot explain the large difference in $Q$. The range of $Q^{-1}_\text{TED}/Q^{-1}_\text{total}$ values for Type R is due to the uncertainty parameters of heat capacity and thermal conductivity for a noncrystal structure.

### 4.3 Clamping loss

Generally, clamping losses for flexural resonators are strongly related to device design.$^{(23)}$ Both the Type K and Type R devices used in this study were fabricated using the folded-beam design in order to eliminate clamping losses (Fig. 3). Both of the displacements of the resonators’ trusses are about half of the proof masses owing to the folded-beam design (Fig. 6), in which red signifies the maximum displacement and blue signifies the minimum. The oscillation modeling shows that both types have the same oscillation modeling. Therefore, clamping loss is proportional to the cubic ratio of effective clamping length/width, that is,

$$Q^{-1}_\text{clamping} = \beta (w_{\text{eff}}/l_{\text{cl}})^3,$$  (4)

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**Table 3**

Summary of key material properties and required device parameters for calculating the contribution of TED to energy dissipation in SiC-based lateral resonators.

|                    | Type K | Type R |
|--------------------|--------|--------|
| Density (kg/m³)    | 3.21×10³ |        |
| Heat Capacity (J/kg-K) | 1.3×10³  | 5.9×10² ~ 7.0×10²$^{(21)}$ |
| Thermal Expansion (1/K) | 4.2×10⁻⁶  | ~ 2.9×10⁻⁶$^{(22)}$ |
| Temperature (K)    | 3.0×10² |        |
| Thermal Conductivity (W/m-K) | 5.0×10²  | 8.0×10¹ ~ 1.8×10²$^{(21)}$ |
| Beam Thickness (×10⁻⁶) | 2.2     | 1.9    |
| Resonant Frequency (Hz) | 2.8160×10⁴ | 1.6400×10⁴ |
| $Q^{-1}_\text{TED}$ @Resonant Frequency | 3.24×10⁻⁷ | 1.26 ~ 4.03×10⁻⁶ |
| $Q^{-1}_\text{total}$ | 2.0×10⁻⁵  | 5.0×10⁻⁵ |
| $Q^{-1}_\text{TED}/Q^{-1}_\text{total}$ | 1.63×10⁻² | 2.0×10⁻² ~ 8.0×10⁻² |

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**Fig. 5.** The $Q^{-1}_\text{TED}$ plot for K1 resonator.
where $w_{\text{eff}}$ and $l_{\text{eff}}$ are the effective width and length of the beams, respectively; and the coefficient $\beta$ depends on the design of the resonator.\cite{11,24} The dimensions of the effective beams may cover the effective proof mass in the beams. Table 4 lists the dimensions of K1 and R1. Although K1 has strip etching holes, while R1 has circle etching holes, the dimensions of the proof masses are basically the same. The clamping losses are beam-width-sensitive according to eq. (4). The preliminary calculation shows that K1 has clamping losses about five times that of R1, assuming that the coefficients $\beta$ for K1 and R1 are the same. This assumption leads to $Q^{-1}_{\text{clamping,R}}$ and $Q^{-1}_{\text{clamping,K}}$ being smaller than $4.6 \times 10^{-6}$ and $9.2 \times 10^{-7}$, respectively, because the folded-beam resonators ideally have coefficients $\beta$ lower than 0.46, as reported on cantilever beam resonators.\cite{24} In the work of Su et al., in which they used cantilever beam resonators, they found that these resonators have intuitively higher clamping losses ($Q^{-1}_{\text{clamping}}$) and $\beta$ than those of folded-beam resonators used in this work,\cite{24} although the overall $Q^{-1}_{\text{total}}$ had a higher value. This indicates that clamping loss may not be a dominant factor in single-crystal resonators.

4.4 Solid internal loss

Owing to the geometric similarity of the aforementioned two devices, the $Q^{-1}_{\text{total}}$ difference is rewritten as

$$Q^{-1}_{\text{total,R}} - Q^{-1}_{\text{total,K}} = (Q^{-1}_{\text{solid,R}} - Q^{-1}_{\text{solid,K}}) + (Q^{-1}_{\text{clamping,R}} - Q^{-1}_{\text{clamping,K}}) + (Q^{-1}_{\text{TED,R}} - Q^{-1}_{\text{TED,K}}). \quad (5)$$

The difference in total energy dissipation ($Q^{-1}_{\text{total}}$) is about $3 \times 10^{-5}$. However, the analysis indicates that K1 has clamping losses five times that of R1. Although $Q^{-1}_{\text{TED,R}}$ was higher than $Q^{-1}_{\text{TED,K}}$, the order of $Q^{-1}_{\text{TED}}$ was calculated to be two orders smaller than $Q^{-1}_{\text{total}}$ for both K1 and R1. This implies that solid internal loss is the major energy loss for flexural-mode SiC resonators. The resonator’s solid internal loss is entirely related
The principal difference between the single-crystal and polycrystalline samples in this study is the microstructure. This is specifically true with regard to grain size, because the devices share a common chemical structure and unit cell (cubic SiC). To examine the grain size of the SiC films, an X-ray diffraction analysis was performed. The average crystallite size $D_{hkl}$ was determined from the main diffraction peak using

$$D_{hkl} = k \lambda / (\beta^{1/2} \cos \theta),$$

where $k$ is a constant (0.09) and $\lambda$ is the wavelength of CuK$_{\alpha 1}$ radiation (1.54 Å). The broadening $\beta^{1/2}$ in the peak integral was corrected by subtracting the instrumental broadening using

$$\beta^{1/2} = \sqrt{FWHM_{sample}^2 - FWHM_{standard}^2}.$$}

Crystalline Al$_2$O$_3$ was used as a calibration standard. The standard full-width half-maximum (FWHM) of the (110) Al$_2$O$_3$ peaks was 0.1348. Using eqs. (6) and (7), the average crystallite sizes were calculated. The results are listed in Table 5.

### 4.5 Electrical resistivity of SiC versus quality factor

The analysis suggests that the measured differences are related to the differences in solid internal losses. The film resistivities of Type K and Type R are 40 Ω·cm and 6.62 Ω·cm, respectively. This indicates that Type K has a higher crystallization and electrical resistivity than Type R, as determined by electrical measurement. This result agrees with the previous result, suggesting that the quality factor decreases as electrical resistivity decreases, since a higher doping concentration can lower SiC crystallization, and thus lead to a smaller grain size. In other words, the electrical loss is not a dominant factor in determining quality factor. This, despite the fact that the $Q$s were obtained by electrical measurement throughout the measurement.
5. Conclusions

The growth substrate is important because it can develop into single-crystal and polycrystalline SiC’s. It is not surprising that single-crystal 3C-SiC has a higher $Q$ than polycrystalline 3C-SiC. However, the thickness of single-crystal SiC resonators may be another highly important factor for $Q$s, particularly in the range within micrometers and below. This is because a higher defect density near the interface can result in a high internal solid dissipation. This solid internal energy dissipation could dominate the material property, which appears to be determined by grain size. The measurement in this work of putting 2-μm-thick rendered $Q$s between previous works suggests that the thickness of single-crystal silicon carbide micromechanical resonators achieved through heterojunction growth on silicon should be considered in evaluating $Q$s.

Acknowledgments

The author wishes to thank C. Zorman and M. Mehregany for their helpful comments, as well as H. I. Kuo and S. Roy for the fabrication of the resonators.

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Table 5

Roughnesses and calculated grain sizes of Type K and Type R.

| Roughness (nm) | Type K [26] | Type R [27] |
|----------------|-------------|-------------|
| (110) SiC at 2 theta (degree) | 60.045° |           |
| FWHM (degree) | 0.141       | 0.277       |
| Broadening     | 0.04        | 0.24        |
| Grain size (nm) | 384.60      | 65.72       |
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