Estimating along-track plasma drift speed from electron density measurements by the three Swarm satellites

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Abstract. Plasma convection in the high-latitude ionosphere provides important information about magnetosphere–ionosphere–thermosphere coupling. In this study we estimate the along-track component of plasma convection within and around the polar cap, using electron density profiles measured by the three Swarm satellites. The velocity values estimated from the two different satellite pairs agree with each other. In both hemispheres the estimated velocity is generally anti-sunward, especially for higher speeds. The obtained velocity is in qualitative agreement with Super Dual Auroral Radar Network data. Our method can supplement currently available instruments for ionospheric plasma velocity measurements, especially in cases where these traditional instruments suffer from their inherent limitations. Also, the method can be generalized to other satellite constellations carrying electron density probes.

Keywords. Ionosphere (Plasma convection)

1 Introduction

Plasma convection in the high-latitude ionosphere is one of the key pieces of information for the magnetosphere–ionosphere–thermosphere coupling. The convection has an influence on plasma irregularity generation and transport (e.g. Zhang et al., 2013), the fine structure of the polar cap aurora (e.g. Sojka et al., 1994), energy deposition into the thermosphere (e.g. Matsuo and Richmond, 2008), and even the initial evolution of substorms (e.g. Nishimura et al., 2014). Hence, plasma drift measurements in the high-latitude ionosphere are of considerable importance.

Plasma convection can be measured in several different ways. The Super Dual Auroral Radar Network (Super-DARN) consists of dense networks of ground-based radars, which measure line-of-sight (LOS) drift speed of decametre-scale ionospheric irregularities. By combining drift measurements from several radars, maps of plasma velocity can be derived. The SuperDARN global convection maps typically depict data captured every 1–2 min. The Defense Meteorological Satellite Program (DMSP) satellites also measure ion drift velocities regularly. The SuperDARN and DMSP observations have been conducted nearly continuously and on a regular basis. Occasionally, ground-based optical instruments (e.g. Hosokawa et al., 2006) and Global Positioning System (GPS) receivers (e.g. Benton and Mitchell, 2012) can track ionospheric irregularities to estimate plasma drift velocity.

Although high-latitude plasma convection has been monitored with a number of methods, each has its own limitations. SuperDARN radars cannot measure the convection when there are no decametre-scale irregularities in the ionosphere, which limits its performance during extremely low and high geomagnetic activity. The DMSP measurements are constrained by the satellite orbits, which have poor spatial coverage in the post-midnight and post-noon local time (LT) sector. As for the temporal resolution, the convection data for the polar region can be given only every ∼100 min, which is the orbit period of the DMSP satellites. Furthermore, the along-track component of the convection velocity

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measurements is hardly used because of poorer quality compared with the cross-track components. Ground-based optical and/or GPS observations can be a good supplement to SuperDARN and DMSP observations, but the data coverage is usually limited to continental areas where the instruments are located. In particular, the operation of optical measurements is normally restricted to dark periods when the Sun is below the horizon. Therefore, more independent measurements of high-latitude plasma convection velocity are still warranted.

In this study we introduce an automatic method to estimate plasma drift velocity in the high-latitude ionosphere using the Swarm constellation. Though a similar method was used in case studies of Spicher et al. (2015) and Goodwin et al. (2015), our method is fully automatic and needs no human intervention. The three Swarm satellites carry instruments that directly measure ion drift velocity (thermal ion imager in the electric field instrument suite). However, this paper discusses another method for along-track plasma velocity estimation, which solely uses electron density profiles measured by the onboard Langmuir Probe (LP). In Sect. 2 we will briefly describe the instruments and analysis methods. The statistical results will be shown and discussed in Sect. 3. Finally, we summarize our results and draw conclusions in Sect. 4.

### 2 Instruments and data processing

The Swarm constellation consists of three identical satellites, which were launched on 22 November 2013 into a near-circular polar orbit (orbit inclination angle \(\sim 87.5^\circ\)) (Friis-Christensen et al., 2008). The initial altitudes of the three satellites were nearly the same (\(\sim 500\) km) during the commissioning phase until mid-January 2014, after which satellite orbits gradually separated regarding altitudes and longitudes. Not all the instruments were in full operation during the commissioning phase. Here we use only the initial electron density data measured by the Swarm LP. Further, we consider the data between 09 December 2013 and 15 January 2014 (hereafter, “the mission period of interest”), when the three satellites were at similar altitudes and longitudes.

During the mission period of interest the ascending nodes of the Swarm orbits were generally located between 10:00 and 14:00 LT, i.e. the satellite tracks were close to the noon–midnight meridian. The zonal separation of adjacent orbital planes was less than 1° near the equator (i.e. \(< 110\) km) (e.g. Lühr et al., 2015; Fig. 1), which decreases further at high latitudes. The latitudinal separation of the three satellites was non-negligible. Swarm-B was the leading satellite, while Swarm-A (later by \(< 1\) min) and Swarm-C (later by \(< 3\) min than Swarm-B) followed in that order. Under this formation the three satellites were nearly aligned along one orbit track at high latitudes, like pearls on a string. They could encounter similar plasma density structures one satellite after another.

Figure 1 shows our strategy to estimate along-track plasma drift speed using a pair of the Swarm satellites. The horizontal axis represents Universal Time (UT), and the vertical axis represents the distance from the equator. The red and green lines are the trajectories of Swarm-A and Swarm-B, respectively. Both satellites are assumed to move with the same speed, \(v_{\text{Swarm}}\). The blue area signifies the path of a plasma density structure. Its speed along the Swarm orbit (\(v_{\text{along-track}}\)) and the morphological shape are assumed to be time-independent during the passages of the Swarm satellites (a few minutes). As mentioned previously, Swarm-A is behind Swarm-B by about \(< 1\) min: this time difference is denoted as \(\Delta t_{\text{pole–X}}\), which means pole-crossing time difference. The difference between the times when Swarm-B and Swarm-A encounter the plasma density structure is not \(\Delta t_{\text{pole–X}}\). Since the plasma density structure is also moving, there appears an additional time difference, \(\Delta t_{\text{corr}}\). This additional time difference can be estimated by cross-correlating the two density profiles measured by Swarm-B and Swarm-A. Applying simple trigonometric equations to Fig. 1, the plasma drift speed along the Swarm track (\(v_{\text{plasma}}\)) can be estimated from the known values of \(v_{\text{Swarm}}\) (\(\sim 7.5\) km s\(^{-1}\)), \(\Delta t_{\text{pole–X}}\) (obtained from the Swarm ephemeris data) and \(\Delta t_{\text{corr}}\) (obtained from the Swarm LP data cross-correlation):

\[
v_{\text{plasma}} = \frac{\Delta t_{\text{corr}} \times v_{\text{Swarm}}}{\Delta t_{\text{pole–X}} + \Delta t_{\text{corr}}},
\]

(1)

Note that the plasma density profiles measured by the Swarm satellites differ from the “true snapshots” of the density structure. True snapshots can be obtained only when \(v_{\text{Swarm}}\) becomes infinite (i.e. when the green and red lines in Fig. 1 become vertical). The only two assumptions used to derive Eq. (1) are the time independence of (a) \(v_{\text{along-track}}\) and (b) the morphology of the plasma density structure. These assumptions can be justified by the fact that \(v_{\text{Swarm}}\) (about 7500 m s\(^{-1}\)) is usually much faster than \(v_{\text{plasma}}\) (of the order of \(100\) m s\(^{-1}\)). Note also that \(v_{\text{plasma}}\) is the speed with respect to a ground observer.
larity fixed in geographic coordinates (e.g. above Greenland) would be encountered by different Swarm satellites at the same location. As a result, $\Delta t_{\text{corr}}$ becomes 0, which yields a zero value for $v_{\text{plasma,along-track}}$.

In applying this method to Swarm LP data, we use the leading satellite, Swarm-B as the reference satellite. We first divide the Swarm-B (leading satellite) data into 1 min segments, which are advanced in steps of 20 s through the continuous Swarm-B data stream. For example, the first segment of the Swarm-B data lasts from 00:00:00 to 00:01:00 UT, and the next data interval from 00:00:20 to 00:01:20 UT. Segments containing a single data gap longer than 1 s or cumulated gaps longer than or equal to 20 % of the expected segment length are neglected. In both hemispheres only data within $\pm\frac{1}{2}$ segment length are used. This is repeated for the segments from the three satellites correspond to nearly the same location. Note that $|\Delta t_{\text{pole}} - \Delta t_{\text{corr}}|$ should be $\leq$ 40 min in order for the segments to be analysed further.

Figure 2 shows one example of plasma density profiles processed in the above-mentioned way. In this figure different colours are used for the satellites: red for Swarm-A, green for Swarm-B, and blue for Swarm-C. The satellite tracks are shown in geographic coordinates in panel (a) while panel (b) present those in apex magnetic latitude and magnetic local time (MLT) coordinates. Small circles in Fig. 2a–b correspond to the time stamps given in panels (c)–(e) while the triangle denotes the start of the time series. There are actually three individual satellite tracks in panels (a)–(b). However, the three satellite tracks are hardly distinguishable, consistent with the assumption that the data segments shown in panels (c)–(e) correspond approximately to the same location.

Panel (a) shows that the three satellites were on the prenoon side and poleward of $80^\circ$ in magnetic latitude (MLAT). Panels (c)–(e) present electron density measured by the LP onboard Swarm-A (red), Swarm-B (green), and Swarm-C (blue). Circles in panels (a)–(b) correspond to the time stamps of panels (c)–(e), and triangles mark the start of the time series. The green lines overplotted in panels (c) and (e) represent the density profile measured by Swarm-B but time-shifted by $\Delta t_{\text{pole}} - \Delta t_{\text{corr}}$.

Figure 2. An example of Swarm LP data: (a) satellite track in geographic coordinates, (b) satellite track in apex magnetic latitude and MLT coordinates, (c–e) electron density measured by the LP onboard Swarm-A (red), Swarm-B (green), and Swarm-C (blue). Circles in panels (a)–(b) correspond to the time stamps of panels (c)–(e), and triangles mark the start of the time series. The green lines overplotted in panels (c) and (e) represent the density profile measured by Swarm-B but time-shifted by $\Delta t_{\text{pole}} - \Delta t_{\text{corr}}$.
rection of the Swarm satellites (negative velocity corresponds to plasma drift ramming into Swarm). Hence, the along-track velocity of the plasma density profile is nearly anti-sunward in the example shown in Fig. 2.

3 Statistical results and discussion

3.1 Velocity estimation using Swarm

We applied the method described in Sect. 2 to all the Swarm observations between 09 December 2013 and 15 January 2014. To check the robustness and consistency of velocity estimates, only those days are used when all the three satellites observed electron density, i.e. a day is neglected when one of the satellites did not operate the onboard LP. Further, we only consider the polar passes with significant fluctuations in plasma density. If no substructures are observed, the density profiles seen by the three Swarm satellites are likely to be similar, with a high maximum correlation coefficient for $\Delta f_{corr} = 0$. This would indicate a zero along-track velocity even though the plasma is moving. We remove the slowly varying background from the plasma density profile by means of a Savitzky–Golay filter (order: 2; window size: 31 data points). Considering the filter cutoff period, the residual density is constrained to fluctuations of less than about 80 km scales. If the mean absolute value of the residual is smaller than $1.2 \times 10^4 \text{cm}^{-3}$, the orbit segment is omitted in further data processing.

To avoid false velocity estimation, we impose a rather strict condition for the morphological similarity between plasma density profile pairs: the maximum cross-correlation between plasma density profiles should be higher than 0.65. Figure 3 shows the correlation diagram of the along-track plasma drift speed obtained by the Swarm-B–A pair and the Swarm-B–C pair. Each data point in Fig. 3 corresponds to one 1 min data segment, as shown in Fig. 2. Blue and red crosses represent observations in the Northern and Southern Hemisphere, respectively. Note that speed estimates exceeding 1 km s$^{-1}$ are omitted from the figure. Figure 3 shows that the two independent speed estimates from the two satellites (see Sect. 2), Fig. 3 shows that the plasma drift velocity even though the plasma is moving. We remove the slowly varying background from the plasma density profile by means of a Savitzky–Golay filter (order: 2; window size: 31 data points). Considering the filter cutoff period, the residual density is constrained to fluctuations of less than about 80 km scales. If the mean absolute value of the residual is smaller than $1.2 \times 10^4 \text{cm}^{-3}$, the orbit segment is omitted in further data processing.

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Goodwin et al. (2015) conducted similar analyses using Swarm data (for a few cases of polar cap patches). In Table 1 of Goodwin et al. (2015) the along-track plasma drift speed is (1) mostly below 500 m s$^{-1}$, with a mean of about 280 m s$^{-1}$, and (2) occasionally below 100 m s$^{-1}$. In Fig. 3 we can see that the range of $-500$ to $+500$ m s$^{-1}$ contains the majority of the population. Hence, our Fig. 3 generally agrees with Goodwin et al. (2015); this also supports the validity of our method.

In Fig. 3 we can see that the along-track plasma convection speed is mostly positive (negative) in the Northern (Southern) Hemisphere. During the mission period of interest, the Swarm orbital directions point approximately from noon to midnight in the Northern Hemisphere (NH) and reversed in the Southern Hemisphere (SH). As positive velocity corresponds to plasma drift in the flight direction of the Swarm satellites (see Sect. 2), Fig. 3 shows that the plasma drift is generally from noon to midnight (i.e. anti-sunward) in both hemispheres, especially for high speeds beyond about $\pm 300$ m s$^{-1}$.

The numbers of data points in Fig. 3 are 70 and 417 for the NH and SH, respectively. This ratio of 1 to 6 ($=0.17$) in favour of the Southern Hemisphere can be explained in the following way. First, we should consider sampling bias. The total number of paired (e.g. between Swarm-B and Swarm-A) 1 min data segments analysed, as described in Fig. 2, is 39 353 and 39 485 for the NH and SH, respectively: the ratio between the former and the latter is about unity. Second,
Noja et al. (2013) found that 40% (60%) of plasma density irregularities observed at |MLAT| ≥ 55° by the CHAMP (Challenging Minisatellite Payload) satellite belong to the NH (SH). The irregularities’ natural preference for the SH can contribute to the hemispheric asymmetry in our Fig. 3. The sampling bias (about unity) in combination with irregularities’ natural preference for the SH (0.67) would result in a north-to-south ratio of 0.67 (= 1 × 0.67), which is still insufficient to explain the ratio observed in Fig. 3 (0.17). Hemispheric asymmetry in distributions of the MLAT ranges analysed in this study, which will be discussed at the end of this subsection, can make an additional contribution. However, further study is needed to elucidate quantitatively the hemispheric asymmetry in the number of events shown in Fig. 3.

We have also investigated the dependence of \( v_{\text{plasma,along-track}} \) on |MLAT| in Fig. 4. The top (bottom) panel presents \( v_{\text{plasma,along-track}} \) obtained by the pair Swarm-A and Swarm-B (Swarm-C and Swarm-B). Black triangles and red asterisks correspond to the NH and SH, respectively. As |MLAT| increases, the upper bound of the along-track plasma convection speed increases, while the lower bound stays more or less unchanged. That is to say, \( v_{\text{plasma,along-track}} \) at lower |MLAT| (e.g. at auroral regions) is generally lower, while \( v_{\text{plasma,along-track}} \) at high |MLAT| (e.g. inside the polar cap) can exhibit a wide range of values. This MLAT dependence can be explained in the following way. Unless the interplanetary magnetic field (IMF) is directed strongly northward, (1) the convection velocity for |MLAT| ≥ 80° (in the polar cap) on average has a dominant noon-to-midnight component but (2) convection velocities at |MLAT| < 75° (in the auroral region) generally exhibit reduced magnitude and/or deviations from the noon-to-midnight direction (Förster and Haaland, 2015; Figs. 2–3).

When the IMF is directed strongly northward, the convection velocity shows complex patterns regardless of whether |MLAT| is above 80° or below 75° (Förster and Haaland, 2015; Figs. 2–3). As mentioned in the preceding paragraph, the Swarm orbital directions are approximately aligned with the noon–midnight direction during the mission period of interest. Hence, the along-track convection velocity component measured by Swarm is likely to be larger in magnitude within the polar cap than at auroral latitudes.

In Fig. 4 events in the NH are generally confined to the high-latitude region of |MLAT| > 75°. On the other hand, a significant part (about 75%) of the events in the SH comes from |MLAT| < 75°. This hemispheric asymmetry is as expected from our data selection method described in Sect. 2. Only data within ±5 min (approximately ±2300 km or ±20° in latitude) around the peaks of |GLAT| are selected and analysed in this study. The offset between the geographic and geomagnetic poles is larger in the SH than in the NH. Hence, wider ranges of |MLAT| can be populated well by the selected and analysed Swarm data in the SH than in the NH.

### 3.2 Swarm–SuperDARN comparison

We further compared the data with independent ground observations conducted by SuperDARN. To ensure that the plasma drift speed measured by Swarm does not change within a few minutes (that is, to focus only on the bulk population around the 1 : 1 correspondence line in Fig. 3), Swarm \( v_{\text{plasma,along-track}} \) data are used only when they satisfy the following conditions:

1. the mean of the two \( v_{\text{plasma,along-track}} \) estimates is smaller in magnitude than 1000 m s\(^{-1}\);

2. the difference in \( v_{\text{plasma,along-track}} \) for the Swarm-B–A pair and the Swarm-B–C pair is smaller than 40% of the mean of the two \( v_{\text{plasma,along-track}} \) estimates (note that these two estimates are separated in time by a few minutes); and

3. the MLT should be within ±3.5 h of noon or midnight. Plasma convection in the dawn–dusk sector may exhibit strong latitudinal shear, which may hinder the inter-
instrument comparison due to the proximity to the convection reversal boundary.

Plasma velocities from the SuperDARN map velocity data (at 2 min cadence) are chosen for comparison when they satisfy the following conditions:

1. the centre point of each 1 min segment of Swarm-B data lies within ±1° in latitude and ±10° in longitude of the altitude adjustment corrected geomagnetic (AACGM) coordinates of the SuperDARN grid point, and within ±4 min in time and
2. more than one grid point of SuperDARN data satisfies Condition (1).

If satisfied, the SuperDARN map velocity data at those candidate grid points are

1. projected onto the horizontal velocity vector of Swarm-B orbit \( (v_{\text{north}}^{\text{Swarm}}, v_{\text{east}}^{\text{Swarm}}) \) for each candidate grid point (displacement of the actual Swarm-B location from the SuperDARN data point is neglected for simplicity);
2. averaged over different candidate grid points; and
3. compared with the along-track plasma speed estimation from Swarm electron density profiles \( (v_{\text{along-track}}^{\text{plasma}}) \).

The results of this Swarm–SuperDARN comparison are shown in Fig. 5. The \( x \) axis represents the mean of \( v_{\text{along-track}}^{\text{plasma}} \) from the Swarm-A–B and B–C pairs, while the \( y \) direction shows SuperDARN map velocities projected onto the Swarm flight direction. The correlation coefficient of the two plasma velocities estimated from Swarm and SuperDARN is about 0.75, and the total number of common events is 34. Note also that most of the data points in Fig. 5 are not too far from the line of perfect correspondence (i.e. the line of unity slope). Nevertheless, the slope of the best fit lines is only 0.54 when we use the simple linear regression methods. This indicates that we obtain clearly larger velocities from Swarm estimates than from SuperDARN reconstructions. If we assume comparable uncertainties in the two data sets (i.e. using the total linear regression method), the slope increases to 0.64, which means the SuperDARN results are on average smaller by about 36 %.

The multi-satellite method, which is based on a pearls-on-a-string constellation, can be a useful method to determine plasma velocity along the string of satellites. After completion of the operational constellation (by mid-April 2014), the Swarm-A and Swarm-C satellites are again at the same altitudes with a small zonal separation (\( \sim 1.4° \) around the equator). However, the temporal separation between the satellites is rather small (\( \sim 9 \) s) such that the presented method can hardly be applied in a reliable manner. According to Eq. (1), for example, an along-track plasma speed of 0.5 km s\(^{-1}\) will result in a 0.64 s time shift \( (\Delta t_{\text{corr}}) \) between Swarm-A and Swarm-C observations. This time difference is close to the nominal sampling period (0.5 s) of the Swarm LP; therefore,
improved methods are needed for the estimation of along-track plasma speed from these data.

4 Summary and conclusion

Using electron density measured by the Swarm constellation between 01 December 2013 and 15 January 2014, we have estimated the along-track velocity component of plasma convection within and around the polar cap. Our main conclusions can be summarized as follows:

1. The velocity values estimated from two different satellite pairs agree well with each other.

2. Further, the velocity exhibits reasonable agreement with plasma velocity from SuperDARN, at least for those cases which coincide with the Swarm observations. On average, SuperDARN data reveal drift velocities smaller by 36% than those from the local Swarm observations.

3. In both hemispheres the estimated velocity is more frequently anti-sunward rather than sunward, as expected.

Our method can supplement currently available instruments for ionospheric plasma velocity measurements (e.g. SuperDARN and DMSP satellites), especially in cases where these traditional instruments suffer from their inherent limitations (e.g. along-track component of ion drift metres). Also, the method can be generalized to other satellite constellations carrying similar electron density probes.

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