META-ANALYSIS

Prognostic significance of malignant ascites in gastric cancer patients with peritoneal metastasis: A systemic review and meta-analysis

Ling-Nan Zheng, Feng Wen, Ping Xu, Shuang Zhang

ORCID number: Ling-Nan Zheng (0000-0002-0027-3602); Feng Wen (0000-0003-0642-0164); Ping Xu (0000-0001-5384-7625); Shuang Zhang (0000-0001-9503-2676).

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Ling-Nan Zheng, Shuang Zhang, Department of Biotherapy, State Key Laboratory of Biotherapy and Cancer Center, West China Hospital, Sichuan University, Chengdu 610041, Sichuan Province, China

Feng Wen, Department of Abdominal Oncology, State Key Laboratory of Biotherapy and Cancer Center, West China Hospital, Sichuan University, Chengdu 610041, Sichuan Province, China

Ping Xu, Sichuan University Library, Sichuan University, Chengdu 610041, Sichuan Province, China

Corresponding author: Shuang Zhang, MD, PhD, Associate Professor, Department of Biotherapy, State Key Laboratory of Biotherapy and Cancer Center, West China Hospital, Sichuan University, 4 Keyuan Road, Gaopeng Street, Chengdu 610041, Sichuan Province, China. shuang_zhang@scu.edu.cn

Telephone: +86-28-85164063
Fax: +86-28-85164060

Abstract

BACKGROUND
Recent evidence indicates that malignant ascites may be associated with the high malignancy and poor prognosis of gastric cancer (GC) with peritoneal metastasis (PM), but no robust consensus has been reached until now.

AIM
To evaluate the prognostic significance of malignant ascites in GC patients with PM.

METHODS
Two independent authors conducted database searches. The searches were performed in the EMBASE, PubMed, and Cochrane Library databases, and the terms used to search included stomach neoplasms, GC, ascites, peritoneal effusion, survival, and survival analysis. Outcomes included overall survival and hazard ratios with 95% confidence intervals (CIs). Three pairs of comparisons for measuring survival were made: (1) Patients with ascites vs those without ascites; (2) Patients with massive ascites vs those with mild to moderate ascites; and (3) Patients with massive ascites vs those with no to moderate ascites.

RESULTS
Fourteen articles including fifteen studies were considered in the final analysis. Among them, nine studies assessed the difference in prognosis between patients with and without malignant ascites. A pooled HR of 1.63 (95% CI: 1.47-1.82, \(P < 0.00001\)) indicated that GC patients with malignant ascites had a relatively poor prognosis compared to patients without ascites. We also found that the prognosis of GC patients with malignant ascites was related to the volume of ascites in the six other studies.

**CONCLUSION**

GC patients with malignant ascites tend to have a worse prognosis, and the volume of ascites has an impact on GC outcomes.

**Key words:** Stomach neoplasms; Peritoneal metastasis; Ascites; Prognosis; Meta-analysis

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**INTRODUCTION**

Gastric cancer (GC) is the fifth most common malignancy and the third leading cause of cancer-related death worldwide[1]. Surgical resection remains the gold standard treatment for GC, but the majority of patients with GC are diagnosed at a relatively advanced stage, some even with metastatic disease[2-3]. The common locations of metastases are local lymph nodes, the liver, lung, bone, and peritoneum[4]. For patients with GC, the most life-threatening type of metastasis is peritoneal metastasis (PM), which occurs mainly as a result of direct serosal invasion and omentum and peritoneal seeding. PM often accompanies oral intake deficiency, overconsumption, bowel obstruction, cancer pain, and malignant ascites. The prognosis of GC patients with peritoneal dissemination remains very poor, even with the development of chemotherapy and targeted therapy[5-9]. In the course of treatment, we have often found that GC patients with PM and malignant ascites tend to have a worse prognosis. Recent evidence indicates that malignant ascites may be associated with the high malignancy and poor prognosis of GC with PM, but a relevant consensus has not been reached until now.

Meta-analysis, regarded as a well-established statistical method, may help to clarify some controversial issues by quantitatively pooling homogeneous evidence that can serve as the basis for a general conclusion[10-19]. Therefore, we conducted this meta-analysis to evaluate the prognostic significance of malignant ascites in GC patients with PM.

**MATERIALS AND METHODS**

**Protocol**

No protocol had been previously published for this meta-analysis. Additionally, patient consent or ethical approval is not necessary for systematic reviews and meta-analyses. We conducted our systematic meta-analysis in accordance with the PRISMA guidelines[10].
Literature search strategy
Two independent authors searched the following databases: MEDLINE (Ovid), EMBASE (Ovid), Cochrane Central Register of Controlled Trials (CENTRAL, Ovid), Epub Ahead of Print, In-Process and Other Non-Indexed Citations, Daily and Versions (Ovid), and CBM. The search terms “stomach neoplasms”, “gastric cancer”, “ascites”, “peritoneal effusion”, “survival”, and “survival analysis” were used in combination with the Boolean operators. To further identify potential closely related studies, the reference lists of relevant articles were also screened. The last search was performed on January 01, 2019 (Supplementary material).

Inclusion and exclusion criteria
The following inclusion and exclusion criteria were applied to determine which studies could be included in our meta-analysis.

The inclusion criteria were: (1) Histologically proven GC; (2) PM diagnosed by histopathological methods or computed tomography (CT); (3) Demographics or statistics assessing the relationship between malignant ascites and the overall survival of GC patients with PM; and (4) No other concomitant malignancies or other severe medical conditions.

The exclusion criteria were (1) Reviews, meta-analyses, preclinical experiments, letters, and conference abstracts; (2) Patients had other diseases that can cause ascites; and (3) Necessary data were unavailable.

There was no limitation on language or the minimum number of subjects in a study.

Quality assessment
The Newcastle-Ottawa Scale (NOS) was used to evaluate the quality of the original nonrandomized studies[11]. The NOS includes four items regarding the selection of subjects, one item regarding intergroup comparability, and three items regarding the measurement of results. After assessing all the included studies, we considered those with a score of 8-9 as having good quality, those with a score of 6-7 as having fair quality, and those with a score lower than 6 as having poor quality.

Data extraction
To ensure accuracy, all eligible articles were reviewed independently by two investigators. The following items were collected from each included study: First author’s name, year of publication, study period, country of origin of the study population, previous treatment, sample size, and median overall survival (mOS).

Ascites grades were classified according to the criteria used in the Japan Clinical Oncology Group 0106 study[12]: None, ascites undetected by CT; mild, ascites localized in only one area such as the pelvic cavity; moderate, ascites neither mild nor massive; and massive, ascites extending throughout the abdominal cavity. Mild ascites was also defined as a volume < 500 mL identified during surgery or as estimated on CT scanning, moderate defined as neither mild nor massive, and massive as a volume > 1000 mL.

Statistical analysis
RevMan 5 software, downloaded from the Cochrane Collaboration, was used for this meta-analysis. The hazard ratio (HR) is generally considered the only statistic compatible for both censoring and time to event[13]. To assess the prognostic value of malignant ascites in GC patients with PM, the HR with a 95%CI served as the appropriate summary statistic. A P value < 0.05 was considered statistically significant. HRs with 95% CIs were extracted from each study and used to generate a pooled HR. If the HRs were not available in the original studies, a practical method described by Tierney et al[14] was applied to extrapolate the HRs with 95%CIs. The relevant formula is listed as follows: The median event-free time in the research arm = the median event-free time in the control arm/HR.

Statistical heterogeneity was assessed using Cochran’s Q test and Higgins I-squared statistic. P > 0.10 and P ≤ 50% were considered the values that indicated acceptable homogeneity, and a fixed-effects model was subsequently applied. Conversely, if severe heterogeneity was revealed by P ≤ 0.10 or P > 50%, a random-effects model was applied to calculate the pooled HR.

The potential publication bias of the meta-analysis was assessed by the visual inspection of funnel plots. We performed an additional sensitivity analysis to further examine the robustness of our meta-analysis.
RESULTS

Selection of included studies
A flow chart of the literature search is shown in Figure 1. The initial search algorithm retrieved a total of 1202 records from the four electronic databases. After excluding duplicates, animal studies, and obviously irrelevant studies, only 115 records were further evaluated. Then, we screened the abstracts of those studies, and 95 of them were excluded for the following reasons: (1) Non-gastric cancer; (2) Not related to PM or ascites; (3) Non-original articles; and (4) No outcome of interest. Further filtration was based on reading through the full texts of the remaining 20 studies. After excluding 4 articles that did not meet the inclusion criteria and 2 articles that did not offer the data we needed, 14 articles \(^1\) with 15 studies were included in our meta-analysis.

Among the 15 studies, \(^9\) assessed the difference in prognosis between patients with and without ascites, and \(^3\) compared the prognosis between patients with massive ascites with those with mild to moderate ascites. The other 3 studies \(^6\) compared the prognosis of the massive ascites group with the none-mod group (including patients with no ascites, mild ascites, and moderate ascites). The characteristics of the included studies are summarized in Tables 1-3.

Quality level of the included studies
The mean NOS score of the included studies was 7.21 (ranging from 7 to 8), suggesting a generally good quality level of the studies included in our meta-analysis (Table 4).

Characteristics of identified studies
The basic characteristics of the 14 eligible papers \(^4\) including 3 prospective studies and 12 retrospective studies are summarized in Tables 1-3. In total, 2194 patients diagnosed with GC with PM were included. Most of the included studies were based on Asian populations, including 5 from China, 8 from Japan, and 2 from France. There are three comparisons, which are described in the following sections.

Patients with ascites vs those without ascites: Nine studies \(^1\) including 1859 patients assessed the difference in prognosis between patients with and without ascites, and the mOS of the 835 GC patients with malignant ascites ranged from 1.4 to 19.0 mo, while that of the 1024 GC patients without malignant ascites ranged from 3.8 to 39.3 mo (Table 1).

Patients with massive ascites vs those with mild to moderate ascites: Three studies \(^2\) including 120 patients compared the prognosis of patients with massive ascites with that of patients with mild to moderate ascites. The mOS of 33 patients with massive ascites ranged from 1.9 to 9.5 mo, and that of the 87 patients with mild to moderate ascites ranged from 7.2 to 13.5 mo (Table 2).

Patients with massive ascites vs those with none to moderate ascites: The other 3 studies \(^3\) including 226 patients divided the patients into a massive group and a none-mod group. The mOS of the 69 patients with massive ascites ranged from 9.0 to 16.8 mo, and that of the 157 patients with no, small, or moderate ascites ranged from 16.6 to 21.7 mo (Table 3).

Meta-analysis results
Patients with ascites vs those without ascites: The application of Cochran’s Q test and Higgins I-squared statistic showed that minor heterogeneity existed (\(P = 0.17, I^2 = 30\%\)) among the nine studies \(^4\), and a fixed-effects model was used for the analysis (Figure 2A). A pooled HR of 1.63 (95%CI: 1.47-1.82, \(P < 0.00001\)) indicated that GC patients with malignant ascites suffered a relatively worse prognosis and shorter OS compared to patients without ascites.

Patients with massive ascites vs those with mild to moderate ascites: We also found three articles \(^5\) comparing the prognosis of patients with massive ascites with that of patients with mild or moderate ascites. Because the Cochran’s Q test and \(P\) statistic showed that some heterogeneity existed (\(P = 0.06, I^2 = 65\%\)) among those studies, a random-effects model was applied for the analysis. A pooled HR of 2.29 (95%CI: 1.15-4.59, \(P = 0.02\)) indicated that the prognosis of gastric patients with malignant ascites was related to the volume of the ascites (Figure 2B).

Patients with massive ascites vs those with none to moderate ascites: There were three studies \(^6\) dividing patients into the massive group and none-mod group. Because the Cochran’s Q test and Higgins I-squared statistic showed that minor
heterogeneity existed ($P = 0.75, I^2 = 0\%$) among those studies, a fixed-effects model was used for the analysis. The patients with massive ascites had a worse prognosis than the patients with no, mild, or moderate ascites, with a pooled HR of 1.75 (95%CI: 1.28-2.38, $P = 0.0004$) (Figure 2C).

**Bias exploration and sensitivity analysis**

Publication bias remains a major concern for all kinds of meta-analyses because positive results tend to have a better chance of being accepted by journals than negative results. A funnel plot was constructed to evaluate the reliability of the meta-analysis results. The results of the funnel plot revealed some publication bias in this meta-analysis (Figure 3). Because the number of studies we included was too small, Egger’s test and Begg’s test could not be applied to explore publication bias adequately.

To further examine the robustness of our meta-analysis, we performed a sensitivity analysis. The corresponding HR was not changed noticeably after excluding each study in our meta-analysis one at a time (data not shown).

**DISCUSSION**

With a 5-year OS less than 20\%, PM is considered a manifestation of the end stage of GC\cite{28-30}. GC patients with peritoneal dissemination often have malignant ascites, which is associated with a deterioration in the quality of life and poor prognosis. In our clinical practice, we have observed that GC patients with malignant ascites usually have a worse prognosis than those without ascites. Currently, few data exist concerning whether malignant ascites is associated with the high malignancy and poor prognosis of GC patients with PM, and a relevant consensus has not been reached until now. Therefore, we conducted this meta-analysis to evaluate the prognostic significance of malignant ascites in GC patients with PM.

In our meta-analysis, nine studies\cite{15-17,19,21,22,25-27} assessed the difference in prognosis between patients with and without ascites, and the mOS was worse in patients with ascites than in patients without ascites in every study. Finally, we concluded that malignant ascites was significantly associated with an unfavorable prognosis, with a pooled HR of 1.63 (95%CI: 1.47-1.82, $P < 0.00001$) (Figure 2A).

We also found three articles\cite{15,20,24} comparing the prognosis of patients with massive ascites with that of patients with mild or moderate ascites. A pooled HR of 2.29 (95%CI: 1.15-4.59, $P = 0.02$) indicated that the prognosis of patients with malignant ascites...
Table 1  Baseline characteristics of included studies comparing the prognosis of patients with ascites with that of patients without ascites

| Ref.          | Year | Patients’ origin | Study design | Study period | GC with PM | Previous treatment | No. of samples | mOS (mo) |
|---------------|------|------------------|--------------|--------------|------------|--------------------|----------------|----------|
| Sadeghi et al[16] | 2000 | France           | PS           | 1995-1997    | Yes        | Chemotherapy, surgery | 35/90          | 1.4/3.8  |
| Chen et al[27]  | 2017 | China            | RS           | 2010-2014    | Yes        | Chemotherapy, surgery | 207/311        | 9.87/14.27 |
| Glehen et al[25] | 2004 | France           | PS           | 1989-2000    | Yes        | Chemotherapy, surgery | 17/32          | 5.0/15.6  |
| Lan et al[23]   | 2010 | China            | RS           | 1993-2007    | Yes        | Surgery            | 24/67          | 7.3/10.1  |
| Kitayama et al[22] | 2012 | Japan            | PS           | 2004-2009    | Yes        | Chemotherapy, surgery | 71/29          | 19.0/39.3 |
| Peng et al[17]  | 2013 | China            | RS           | 1998-2011    | Yes        | Surgery            | 84/49          | 7.3/10.1  |
| Shitara et al[21] | 2010 | Japan            | RS           | 2001-2009    | Yes        | Chemotherapy, surgery | 11/70          | 9.5/18.1  |
| Nie et al[19]   | 2016 | China            | RS           | 2000-2014    | Yes        | Chemotherapy, surgery | 313/347        | -         |
| Emoto et al[26] | 2012 | Japan            | RS           | 2005-2010    | Yes        | Chemotherapy        | 73/29          | -         |
| Total           |      |                  |              |              |            |                    | 835/1024       |          |

Ascites (+): Patients with ascites; ascites (-): Patients without ascites; PS: Prospective study; RS: Retrospective study; GC: Gastric cancer; PM: Peritoneal metastasis.

Ascites was related to the volume of the ascites (Figure 2B). Meanwhile, there were three studies[4,18,23] dividing patients into massive and none-mod groups. The patients with massive ascites had a worse prognosis than patients with no, mild, or moderate ascites, with a pooled HR of 1.75 (95%CI: 1.28-2.38, \( P = 0.0004 \)) (Figure 2C).

Undoubtedly, it is important to develop effective treatments for GC patients with malignant ascites. Ni et al[31] reported that a good response of malignant ascites after intraperitoneal perfusion chemotherapy was associated with improved patient survival. A study by Yuan et al[32] demonstrated that the OS of GC patients with malignant ascites that disappeared/decreased/were stable appeared to be better than that in patients with ascites that increased after hyperthermic intraperitoneal chemotherapy (HIPEC), although the difference was not statistically significant. However, current treatments remain unsatisfactory. Further studies will be necessary to explore more effective treatments and therapeutic targets.

Recently, some studies focused on anti-vascular endothelial growth factor (VEGF) therapies in the course of treatment. Intraperitoneal VEGF may come from various sources, such as human peritoneal mesothelial cells, subperitoneal capillaries, peritoneal metastatic tumors, fibroblasts, and macrophages[33,34]. VEGF mediates the formation of malignant ascites by increasing the permeability of blood vessels[35]. Fushida et al[36] reported that the ascites volume correlated with the ascites VEGF concentration and that elevated ascites VEGF levels were significantly associated with shorter overall survival in patients with GC. Bekes et al[37] reported that VEGF can induce angiogenesis to allow tumor growth and increase endothelial permeability via suppression of VE-cadherin and subsequent claudin 5 in the peritoneal vasculature, which finally induces ascites and thereby facilitates dissemination of cancer cells in the abdominal cavity. Yin et al[38] reported that malignant exudates could induce cancer cells to undergo epithelial-mesenchymal transition (EMT) and endow tumor cells with stem cell properties, which promoted tumor growth, chemoresistance, and immune evasion. VEGF blockade reduced EMT and cancer stem cell (CSC) properties, which might be a reasonable option for patients with malignant ascites. However, more studies are needed to validate the efficacy of anti-VEGF therapy for malignant ascites.

To the best of our knowledge, this is the first systematic meta-analysis to demonstrate the prognostic significance of malignant ascites in GC patients with PM. Although our meta-analysis shows that malignant ascites is an important prognostic factor for gastric patients with PM, it carries a few other implications for future studies. First, both the number of included studies and the number of included patients were relatively small, and most of the included studies were based on Asian populations. Second, the following factors may influence the reliability of the results:
Table 2 Baseline characteristics of included studies comparing the prognosis of patients with massive ascites with that of patients with mild to moderate ascites

| Ref.          | Year | Patients’ origin | Study design | Study period | GC with PM | Previous treatment | No. of samples | mOS (mo) |
|---------------|------|------------------|--------------|--------------|------------|--------------------|----------------|----------|
| Matsumoto et al\[20\] | 2018 | Japan            | RS           | 2015-2016    | Yes        | Chemotherapy, surgery | 14/26          | 3.9/9.6  |
| Hara et al\[24\]  | 2017 | Japan            | RS           | 2006-2011    | Yes        | Chemotherapy       | 8/22           | 1.9/7.2  |
| Shitara et al\[15\] | 2013 | Japan            | RS           | 2005-2011    | Yes        | Chemotherapy, surgery | 11/39          | 9.5/13.5 |
| Total         |      |                  |              |              |            |                    | 33/87          |          |

Mild to moderate: Patients with mild to moderate ascites; Massive: Patients with massive ascites; RS: Retrospective study; GC: Gastric cancer; PM: Peritoneal metastasis.

In conclusion, this meta-analysis may shed some light on the prognostic significance of malignant ascites in GC patients with PM. Patients with malignant ascites tend to have a worse prognosis, and the volume of ascites has an impact on GC outcomes. The treatment of these patients should be decided discretely, taking into consideration the general status of patients. For GC patients with mild to moderate ascites, we can choose cytoreductive surgery with HIPEC, laparoscopic HIPEC alone, intravenous chemotherapy, intraperitoneal chemotherapy, or molecular targeting therapy\[39,40\]. For GC patients with massive ascites, benefit for delivering chemotherapy should be weighed carefully against the risk, and best supportive care should be considered as an alternative\[39\]. Previous reports have implied that ascites volume correlates with ascites VEGF concentration and that elevated ascites VEGF levels are significantly associated with shorter overall survival in patients with GC. GC patients with malignant ascites have an extremely poor prognosis not only because of the advanced stage but also because cancer cells in malignant exudates could acquire more aggressive properties undergoing EMT and CSC processes. VEGF may be the most relevant to EMT and CSC processes in malignant ascites microenvironments. Anti-VEGF therapy, which can impair the EMT and CSC processes, may be a promising option for patients with malignant ascites. More studies are needed to explore effective therapies to improve these patients’ prognoses and quality of life. Because most of the studies included in this meta-analysis are retrospective studies, some confounding factors exist. Higher quality prospective studies with more patients will be necessary to validate malignant ascites as a predictive marker of poor outcome.
Table 3  Baseline characteristics of included studies comparing the prognosis of patients with massive ascites with that of patients with no to moderate ascites

| Ref.            | Year | Patients’ origin | Study design | Study period | GC with PM | Previous treatment            | No. of samples | mOS (mo)       |
|-----------------|------|------------------|--------------|--------------|------------|-------------------------------|----------------|----------------|
| Ohnuma et al[18]| 2018 | Japan            | RS           | 2004-2015    | Yes        | Chemotherapy                  | 15/22          | 16.8/21.7      |
| Ji et al[23]    | 2018 | China            | RS           | 2005-2017    | Yes        | Chemotherapy, surgery         | 33/77          | 9.0/16.6       |
| Iwasa et al[4]  | 2010 | Japan            | RS           | 1999-2006    | Yes        | Chemotherapy                  | 21/58          |                |
|                 |      |                  |              |              |            |                               | 69/157         |                |

None–mod: Patients with none to moderate ascites; Massive: Patients with massive ascites; RS: Retrospective study; GC: Gastric cancer; PM: Peritoneal metastasis.

Table 4  Quality of included studies

| Ref.            | Selection of subjects (score) | Intergroup comparability (score) | Result measurement (score) | NOS |
|-----------------|--------------------------------|----------------------------------|----------------------------|-----|
| Sadeghi et al[16]| 4                             | 1                                | 3                          | 8   |
| Chen et al[27]  | 3                             | 1                                | 3                          | 7   |
| Glehen et al[25]| 4                             | 1                                | 3                          | 8   |
| Lan et al[21]   | 3                             | 1                                | 3                          | 7   |
| Kitayama et al[22]| 4                           | 1                                | 3                          | 8   |
| Peng et al[17]  | 3                             | 1                                | 3                          | 7   |
| Shitara et al[15]| 3                           | 1                                | 3                          | 7   |
| Nie et al[19]   | 3                             | 1                                | 3                          | 7   |
| Ohnuma et al[18]| 3                             | 1                                | 3                          | 7   |
| Matsumoto et al[19]| 3                           | 1                                | 3                          | 7   |
| Hara et al[24]  | 3                             | 1                                | 3                          | 7   |
| Ji et al[23]    | 3                             | 1                                | 3                          | 7   |
| Iwasa et al[4]  | 3                             | 1                                | 3                          | 7   |
| Emoto et al[26] | 3                             | 1                                | 3                          | 7   |

NOS: The Newcastle-Ottawa Scale.
Figure 2 Summary meta-analysis of studies assessing the impact of malignant ascites on overall survival. A: Comparing the prognosis of patients with ascites with that of patients without ascites; B: Comparing the prognosis of patients with massive ascites with that of patients with mild to moderate ascites; C: Comparing the prognosis of patients with massive ascites with that of patients with no to moderate ascites.
ARTICLE HIGHLIGHTS

Research background
Gastric cancer (GC) is the fifth most common malignancy globally. The majority of patients with GC are diagnosed at a relatively advanced stage, some even with metastatic disease. For patients with GC, the most life-threatening type of metastasis is peritoneal metastasis (PM), which often accompanies malignant ascites. GC patients with PM and malignant ascites tend to have a worse prognosis.

Research motivation
Recent evidence indicates that malignant ascites may be associated with the high malignancy and poor prognosis of GC with PM, but no robust consensus has been reached until now.

Research objectives
We conducted this meta-analysis to evaluate the prognostic significance of ascites in GC patients with PM.

Research methods
Two independent authors conducted database searches. The searches were performed in the EMBASE, PubMed, and Cochrane Library databases, and the terms used to search included stomach neoplasms, GC, ascites, peritoneal effusion, survival, and survival analysis. RevMan 5 software was used for this meta-analysis. The hazard ratio (HR) with a 95%CI served as the appropriate summary statistic. Three pairs of comparisons measuring survival were made: (1) Patients with ascites vs those without ascites; (2) Patients with massive ascites vs those with mild to moderate ascites; and (3) Patients with massive ascites vs those with no to moderate ascites.

Research results
Fourteen articles including fifteen studies were considered in the final analysis. Among them, nine studies assessed the difference in prognosis between patients with and without malignant ascites. A pooled HR of 1.63 (95% CI: 1.47-1.82, P < 0.00001) indicated that GC patients with malignant ascites had a relatively poor prognosis compared to patients without ascites. We also found that the prognosis of GC patients with malignant ascites was related to the volume of ascites in the six other studies.

Research conclusions
GC patients with malignant ascites tend to have a worse prognosis, and the volume of ascites has an impact on GC outcomes.
To the best of our knowledge, this is the first systematic meta-analysis to demonstrate the prognostic significance of malignant ascites in GC patients with PM. Because most of the studies included in this meta-analysis are retrospective studies, some confounding factors exist. Higher quality prospective studies with more patients will be necessary to validate malignant ascites as a predictive marker of poor outcome.

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