PDX1 dynamically regulates pancreatic ductal adenocarcinoma initiation and maintenance

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Aberrant activation of embryonic signaling pathways is frequent in pancreatic ductal adenocarcinoma (PDA), making developmental regulators therapeutically attractive. Here we demonstrate diverse functions for pancreatic and duodenal homeobox 1 (PDX1), a transcription factor indispensable for pancreas development, in the progression from normal exocrine cells to metastatic PDA. We identify a critical role for PDX1 in maintaining acinar cell identity, thus resisting the formation of pancreatic intraepithelial neoplasia (PanIN)-derived PDA. Upon neoplastic transformation, the role of PDX1 changes from tumor-suppressive to oncogenic. Interestingly, subsets of malignant cells lose PDX1 expression while undergoing epithelial-to-mesenchymal transition (EMT), and PDX1 loss is associated with poor outcome. This stage-specific functionality arises from profound shifts in PDX1 chromatin occupancy from acinar cells to PDA. In summary, we report distinct roles of PDX1 at different stages of PDA, suggesting that therapeutic approaches against this potential target need to account for its changing functions at different stages of carcinogenesis. These findings provide insight into the complexity of PDA pathogenesis and advocate a rigorous investigation of therapeutically tractable targets at distinct phases of PDA development and progression.

[Keywords: pancreatic cancer; pancreatitis; EMT; dedifferentiation]

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Pancreatic ductal adenocarcinoma (PDA) is the third leading cause of cancer-related mortality in the United States and is slated to become the second by the end of this decade (Rahib et al. 2014). Despite recent therapeutic advances, the 5-year survival remains an abysmal 8% (Siegel et al. 2016). Consequently, understanding the molecular mechanisms of disease evolution and progression is imperative for developing novel therapeutic strategies.

PDA is thought to progress through a series of precursor lesions termed pancreatic intraepithelial neoplasia (PanIN) (Hruban et al. 2000). Consistent with >90% of PDA containing KRAS oncogenic mutations (Bailey et al. 2016), mutant KRAS appears to be responsible for PDA initiation. Mouse models expressing oncogenic Kras throughout the pancreatic parenchyma faithfully recapitulate the human disease with PanIN formation and progression to adenocarcinoma (Aguirre et al. 2003; Hingorani et al. 2003, 2005). Although its duct-like morphology suggests a ductal epithelial origin, recent data demonstrate that duct cells are mostly refractory to Kras-induced transformation, while PanIN lesions readily arise from mature acinar cells (De La O et al. 2008; Habbe et al. 2008, Kopp et al. 2012). The apparent morphologic

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transition of acinar cells to duct cells mimics a process associated with pancreatic wound healing, known as acinar-to-ductal metaplasia (ADM). Following injury, in conditions such as chronic pancreatitis, acinar cells transdifferentiate to form metaplastic ducts, gaining progenitor-like properties [Miyamoto et al. 2003]. Once the insult has subsided, it is hypothesized that metaplastic ducts proliferate and differentiate back into acinar cells, allowing for repopulation of the exocrine pancreas. Oncogenic KRAS can initiate ADM but hijacks the healing process by blocking acinar redifferentiation and promoting a transition from metaplasia to PanIN [Morris et al. 2010].

Pancreatic and duodenal homeobox 1 (PDX1) is a transcription factor essential for pancreas development [Jonsson et al. 1994; Offield et al. 1996]. Initially expressed in the pancreatic anlage, it is required for differentiation of all pancreatic cell lineages [Jonsson et al. 1994; Offield et al. 1996; Holland et al. 2002; Hale et al. 2005; Gannon et al. 2008]. PDX1 expression persists at high levels in β cells, where it is required for efficient insulin gene transcription [Ohihson et al. 1993; Ahlgren et al. 1998; Holland et al. 2002], but is maintained at lower levels in exocrine cells [Guz et al. 1995; Wu et al. 1997] where its function has not been thoroughly investigated. Pdx1 is up-regulated in the adult pancreas in ADM induced by constitutive overexpression of TGFα [Song et al. 1999]. Likewise, expression of oncogenic KRAS in the pancreatic parenchyma leads to neoplasia with increased expression of PDX1 [Hingoran et al. 2003]. PDX1 up-regulation in these models suggests its possible role in metaplasia and neoplasia. Indeed, PDX1 has been proposed as an oncogene, as its overexpression in PDX1 in the normal ducts not affected by the Ptf1aCreERTM/+ driver especially evident [Fig. 1A]. Leukocyte acute pancreatitis were proliferative, as shown by Ki67-positive staining. Metaplastic lesions resolved within 7 d after cerulein treatment, animals maintained widespread ADM and had a severe reduction in the pancreas to body mass ratio, demonstrating that ADM derived from acinar cells require PDX1 for redifferentiation [Fig. 1B].

Results

Pdx1 maintains acinar cell identity

To analyze PDX1 expression at the early stages of pancreatic neoplasia, we used the Ptf1aCreERTM/+;Pdx1fl/fl animals to ablate Pdx1 specifically from adult acinar cells via tamoxifen administration [Pan et al. 2013]. Eight-week-old to 12-wk-old Ptf1aCreERTM/+;Pdx1fl/fl animals were tamoxifen-treated to induce recombination and sacrificed 2 wk later. Pancreata from control animals appeared histologically normal, with PDX1 expression high in islet and low in acinar, centro-acinar, and ductal cells [Supplemental Fig. S2A]. In insulin-producing β cells, PDX1 maintains cell function, and its depletion erodes their differentiation status [Puri et al. 2014]. Pdx1 ablation from acinar cells did not induce any overt exocrine abnormalities despite an increase in both apoptosis and proliferation [Supplemental Fig. S2A]. We then treated cohorts of mice with cerulein, a cholecystokinin analog that stimulates transient ADM in wild-type pancreata. Adult Ptf1aCreERTM/+; and Ptf1aCreERTM/+;Pdx1fl/fl animals were treated with tamoxifen followed by cerulein to induce ADM. One day after cerulein treatment, Ptf1aCreERTM/+;Pdx1fl/fl mice presented with enhanced acinar dedifferentiation accompanied by increased apoptotic activity [Fig. 1A]. Lesions in both wild-type and Pdx1fl/fl pancreata were proliferative, as shown by Ki67-positive staining. Metaplastic lesions resolved within 7 d after cerulein in control animals, but Ptf1aCreERTM/+;Pdx1fl/fl animals maintained widespread ADM and had a severe reduction in the pancreas to body mass ratio, demonstrating that ADM derived from acinar cells require PDX1 for redifferentiation [Fig. 1A; Supplemental Fig. S2C]. Of note, the profound loss of acinar cells in the cerulein-treated Ptf1aCreERTM/+;Pdx1fl/fl pancreata 7 d after treatment makes the PDX1 expression in the normal ducts not affected by the Ptf1aCreERTM/+ driver especially evident [Fig. 1A]. These results highlight that it is the acinar cell-derived metaplastic ducts that are uniquely capable of regenerating the damaged organ. Consistent with these in vivo data, acinar cell explants derived from Ptf1aCreERTM/+;Pdx1fl/fl mice treated with tamoxifen transdifferentiated to ductal structures more rapidly than Ptf1aCreERTM/+;Pdx1fl/fl-derived explants in response to cerulein treatment [Supplemental Fig. S2B]. Collectively, PDX1 appears to both maintain and re-establish acinar differentiation in injury models.

Next we sought to determine whether Pdx1 contributes to Kras-induced transformation. To address this, we crossed KrasLSL-G12D/+ mice with Ptf1aCreERTM/+;Pdx1fl/fl animals. Eight-week-old to 12-wk-old Ptf1aCreERTM/+;KrasLSL-G12D/+;Pdx1fl/fl and Ptf1aCreERTM/+;KrasLSL-G12D/+ control animals were tamoxifen-treated and sacrificed 6 wk after treatment. As expected, controls expressing only KrasG12D in their acinar cells formed infrequent ADM lesions (von Figura et al. 2014a). In contrast, the additional ablation of Pdx1 showed widespread loss of acinar cells, accompanied by extensive formation of ductal lesions [Fig. 1B]. Pdx1-null lesions showed histological and molecular characteristics of PanIN, such as highly acidic
Figure 1. Pdx1 loss accelerates inflammation and oncogene-induced ductal metaplasia. (A) Acinar cells lacking Pdx1 undergo more rapid and extensive dedifferentiation. Eight-week-old to 12-wk-old Ptf1aCreERTM+/+ and Ptf1aCreERTM+/+;Pdx1f/f mice were treated with tamoxifen. Three days following tamoxifen administration, animals were treated with saline or cerulein for 7 d and allowed to recover for 1 or 7 d. Representative H&E stainings at the indicated time points are shown. PDX1 immunohistochemistry was performed to confirm efficient Pdx1 ablation. Immunohistochemistry for cleaved caspase 3 and Ki67 measured apoptosis and cell proliferation, respectively. (B) Eight-week-old to 12-wk-old Ptf1aCreERTM+/+;KrasLSL-G12D/+ and Ptf1aCreERTM+/+;KrasLSL-G12D/+;Pdx1f/f mice were treated with tamoxifen and euthanized 6 wk later. Two hours prior to euthanasia, mice were injected intraperitoneally with BrdU. Representative H&E staining is shown. Alcian blue staining highlights the mucinous region, whereas PDX1 immunohistochemistry demonstrates efficient Pdx1 deletion. Immunohistochemistry for cleaved caspase 3 and BrdU measured apoptosis and cell proliferation, respectively. (C) Ductal lesions (ADM and PanIN 1–3) were counted and graded in 10 10× fields from three pancreata of each genotype and time point. Samples were blinded. Shown are the percentages of specific grades compared with the total counted.
mucin content marked by positive Alcian blue staining (Fig. 1B), but were less proliferative, as shown by BrdU incorporation. Thus, the accelerated transformation in Pdx1-null animals appeared to be due to rapid erosion of acinar differentiation rather than expansion through increased cell proliferation. No differences were observed in apoptosis. In line with their accelerated initial transformation, pancreata from Pdx1-null mice had rapidly progressed past ADM to form high-grade PanIN [PanIN 2/3] at 4 and 12 mo of age (Fig. 1C) compared with age-matched controls. Collectively, our results point to a dynamic pattern of Pdx1 expression that maintains acinar cell differentiation during pancreatic damage and oncogenic Kras-driven transdifferentiation. Acinar redifferentiation can then be overridden by KrasG12D expression or blocked by Pdx1 ablation, with the two synergizing to enhance the overall amount of transformation [Supplementary Fig. S2D].

To gain mechanistic insight into how Pdx1 inhibits ADM, we performed genome-wide transcriptome profiling. Acinar cells were isolated from Ptf1aCreERTM; Pdx1f/+ animals treated with tamoxifen and incubated with TGFiα to induce ADM. Cells were harvested at days 0, 1, 2, and 3 following TGFiα treatment, and gene expression was analyzed by RNA sequencing (RNA-seq). At day 0, even before evident ductal metaplasia, Pdx1 expression was upregulated at days 0, 1, 2, and 3 following TGFiα treatment, whereas genes defining duct cells, such as Cpa1, were downregulated in Pdx1-null acinar cells, suggesting that Pdx1 may function as a “gatekeeper” of acinar identity [Fig. 2A; Supplementary Fig. S3]. At days 1 and 2, when acinar cells underwent initial dedifferentiation, several genes belonging to pancreatic secretion pathways were differentially regulated in Pdx1-null acinar cells (Fig. 2B,C). Specifically, genes that define the mature acinar cell state, such as Amy2b, Cpa1, Cpb1, Cel, and Celao2a, were downregulated in Pdx1-null acinar cells, pointing toward accelerated erosion of the mature acinar state. In addition, genes regulating ECM–receptor interactions, actin cytoskeleton, and proteoglycans were differentially expressed. Interestingly, at day 3, when the acinar ductal reprogramming process had concluded, differentially regulated genes belonged to the networks that support tumorigenesis, such as cell cycle, p53 signaling, and cytokine/receptor interactions [Fig. 2D]. These findings shed light on how Pdx1 function promotes acinar identity in wild-type acinar cells and how it may regulate aspects of redifferentiation to resolve ADM. Importantly, longer-term loss of Pdx1 promotes proliferative signals that may complement oncogenic Kras in the transition from ADM toward PanIN and PDA.

**Opposing roles of Pdx1 in tumor maintenance**

Pdx1 ablation promotes acinar dedifferentiation. However, prior work demonstrated a profound increase in PDX1 expression in neoplastic lesions, indicating that its function may change during cellular transdifferentiation and tumorigenesis. To test this, we attenuated Pdx1 expression in mouse PDA-derived cell lines. Two independent PanIN-derived PDA tumor lines were transduced with constructs expressing doxycycline (dox)-inducible Pdx1 targeted or control shRNAs. In both cell lines, dox treatment significantly reduced PDX1 expression compared with controls [Supplementary Fig. S4A, top]. Pdx1 deficiency blocked cell growth, suggesting protumorigenic functions of PDX1 [Supplementary Fig. S4A, bottom], consistent with previous reports [Yu et al. 2016]. As PDA can originate from neoplastic lesions other than PanIN, we asked next whether Pdx1 has analogous oncogenic activities in PDA emerging from PanIN or intraductal papillary mucinous neoplasia (IPMN). Six different primary tumor cell lines were analyzed, including three isolated from PanIN-derived PDA from Ptf1aCreERT; KrasLSL-G12Dmice and three cell lines established from IPMN-derived PDA from Ptf1aCreERT; KrasLSL-G12D; Brg1/lmice. Reducing Pdx1 expression using siRNA in these PDA lines impaired cell survival [Fig. 2E], demonstrating that PD1 maintains tumorigenicity of malignant cells irrespective of their origin [von Figura et al. 2014a].

We next addressed whether PDX1 has similar tumor-promoting activities in human PDA. We compared PDX1 expression in several human PDA-derived tumor lines with HPDE, a human duct cell line that expresses low levels of PDX1, and human islets, which have high PDX1 expression. Most PDA cell lines expressed PDX1 at levels significantly higher than HPDEs but lower than islets [Supplementary Fig. S4B], emphasizing that moderate PDX1 up-regulation accompanies exocrine cell transformation. To assess whether PDX1 up-regulation influenced tumor cell survival, we reduced PDX1 expression using siPDX1 in 13 different human PDA lines. Similar to the mouse PDA lines and consistent with previous studies [Yu et al. 2016], decreasing PDX1 expression led to reduction in cellular growth or survival, albeit at variable levels [Fig. 2F]. To elucidate the protumorigenic functions of PDX1 in greater detail, we performed cell proliferation and apoptosis assays. In four independent human PDA lines, siPDX1 reduced cell proliferation [Fig. 3A] and increased cleaved caspase 3/7 activity [Fig. 3B]. We conclude that PDX1 has two apparent protumorigenic functions in established tumors: maintenance of cellular proliferation and inhibition of apoptosis.

Another important characteristic of tumor cells is their ability to grow in anchor-independent conditions. Attenuating PDX1 expression in three-dimensional (3D) culture conditions resulted in reduced colony formation, indicating that PDX1 maintains the transformed phenotype of PDA cells [Fig. 3C]. To examine PDX1 function in vivo, we stably transduced two human PDA lines with lentivirus expressing shControl or shPDX1 and transplanted them subcutaneously into immunocompromised NSG mice. Tumor size was significantly lower in shPDX1-expressing cells [Fig. 3D,E] due to reduced cell proliferation and increased apoptosis [Fig. 3F–H]. Thus, in contrast to its role in resisting acinar transformation, PDX1 promotes tumorigenicity of PDA cells [Supplementary Fig. S4C].
To gain mechanistic insight into how PDX1 loss reduces tumorigenicity, we performed genome-wide transcriptome analysis of four different human PDA lines transfected with either control or PDX1 siRNA (Supplemental Fig. S5A). Several genes were consistently differentially regulated between control and PDX1-siRNA-treated cells, but the extent to which gene expression was affected varied significantly. Gene set enrichment analysis (GSEA) on differentially expressed genes revealed several oncogenic pathways that were perturbed, the most prominent among them being the KRAS signaling pathway. In three out of four lines, genes down-regulated by oncogenic KRAS or its downstream effectors, RAF/MEK, were enriched in PDX1-siRNA-treated cells, suggesting that the KRAS signaling pathway is affected by PDX1 knockdown [Fig. 4A, B]. Surprisingly, when the MAPK pathways downstream from KRAS were surveyed, we found that PDX1 down-regulation consistently resulted in higher pERK levels [Supplemental Fig. S5B, C]. It is possible that up-regulation of pERK is a compensatory feedback mechanism that tumor cells activate in order to overcome the stress induced by PDX1 knockdown, which is accompanied by up-regulation of proapoptotic genes and attenuation of cell cycle genes associated with proliferation [Fig. 4C]. We then focused on apoptosis and cell cycle pathways to identify key factors in these pathways that may be
regulated by PDX1. Although the gene sets were heterogeneous between cell lines, several genes were up-regulated/down-regulated in at least three out of four cell lines analyzed. Among them was Aurora kinase A (AURKA), a serine/threonine protein kinase that promotes G2/M transition during the cell cycle. We further confirmed that PDX1 positively regulates AURKA expression by quantitative PCR, suggesting a defect in G2–M cell cycle transition that may lead to reduced proliferation of cells following PDX1 knockdown (Fig. 4D). Several apoptosis-regulating factors were also differentially expressed between control and PDX1 siRNA cells, including the proapoptotic factors Caspase 3, Diablo, FADD, FAS, TNFRSF10B, and TNFSF10 and anti-apoptotic protein XIAP [Supplemental Fig. S5D]. Quantitative PCR showed that TNFRSF10B and Diablo were up-regulated in several PDA lines following PDX1 siRNA treatment, suggesting that PDX1 attenuation triggers a signaling cascade predisposing cells to undergo apoptosis (Fig. 4E,F). Thus, PDX1 enhances the tumorigenicity of PDA cells by controlling several critical pathways that regulate cell proliferation and apoptosis.

Profound shifts in PDX1 chromatin occupancy in acinar cells vs. PDA

Our data demonstrate that, during the earliest transitional stages from acinar cells toward neoplasia, Pdx1 restrains neoplastic transformation. In contrast, Pdx1 maintains the tumorigenic properties of transformed PDA cells [Supplemental Fig. S4C], a shift that is accompanied by robust changes in gene expression profiles. To determine how Pdx1 performs these discordant functions in normal and transformed cells, we performed PDX1 ChIP-seq (chromatin immunoprecipitation [ChIP] combined with high-throughput sequencing) profiling on primary mouse acinar cells and mouse PDA-derived cell lines (Fig. 5A). Region gene association studies revealed that PDX1 binds mostly to intergenic regulatory regions (Fig. 5B; Supplemental Fig. S6A). Notably, there was minimal overlap between PDX1-bound regions in acinar and PDA cells, indicating that PDX1 regulates distinct gene sets in primary and transformed cells (Fig. 5C). Pathway enrichment analysis of PDX1-bound regions revealed that, in acinar cells, PDX1 binds to genes associated with endocrine
development and differentiation, embryonic development, and epithelial cell differentiation (Fig. 5E, top). Examples of the latter include \textit{Nr5a2}, \textit{FoxA2}, and \textit{One-cut1}, transcription factors driving pancreatic development. In addition, PDX1 binding was enriched on \textit{Cela1}, \textit{Cela2}, \textit{Cpa2}, and \textit{Amy1}, genes that define the acinar differentiation state (Fig. 5D). In tumor cells, PDX1 bound to genes in important networks regulating tumorigenesis, including the E-cadherin stabilization pathway (associated with epithelial-to-mesenchymal transition [EMT]), TNF-NFκB, cellular response to TGFβ, and regulation of ERBB signaling (Fig. 5E; bottom). For instance, PDX1 was uniquely bound to the MAPK pathway gene \textit{Map4k3} and the Wnt/β-catenin pathway component \textit{Prickle1} in PDA cells but not acinar cells (Fig. 5D). PDX1 enrichment in these regions correlates with active transcription of associated genes. Acinar-specific genes (such as \textit{Amy1} and \textit{Cela1}) display higher H3K4me3 and H3K27ac enrichment in acinar cells compared with PDA cells (Supplemental Fig. S6C,E,F). Conversely, PDA-specific genes (\textit{Map3k1}, \textit{Map4k3}, \textit{Mapkapk2}, and \textit{Cdkn2b}) exhibit an increased repressive mark (H3K27me3) in acinar cells compared with PDA cells (Supplemental Fig. S6D). These data highlight an important regulatory shift in PDX1 function from maintenance of an acinar-specific differentiation program to promotion of oncogenic signaling in PDA. Motif analysis revealed that PDX1-bound regions in acinar cells were also enriched for transcription factors defining the pancreas differentiation state, such as \textit{Hnf4a}, \textit{Nkx6.1}, \textit{Hnf1a}, and \textit{Hnf1β} (Fig. 5F; Supplemental Fig. S6B). In contrast, in PDA, motifs were enriched for some pancreas differentiation factors but also oncogenic transcription factors such as Gsc2, Prrx2, Stat5, c-Jun, and c-Fos.

To show direct correspondence between PDX1 binding and effects on gene expression, we next integrated RNA-seq and ChIP-seq data (Fig. 5G). We examined the expression of genes bound by PDX1 in either acinar cells (Fig. 5G, top) or PDA cells (Fig. 5G, bottom) in four different cell types: wild-type and \textit{Pdx1}-null acinar cells and wild-type and \textit{Pdx1} knockdown murine PDA cells. It is evident from the analysis that the expression of genes bound by PDX1 in either cell type is significantly different between wild-type acinar and PDA cells. Also, genes bound by PDX1 in acinar cells are differentially expressed largely between wild-type and \textit{Pdx1}-null acinar cells. On the other hand, expression of genes bound by PDX1 in PDA cells is mostly affected by Pdx1 depletion in PDA cells, with only a fraction affected in acinar cells. The dynamic changes in PDX1 binding to acinar-specifying genes to oncogenic signaling pathways reveal an
Figure 5. PDX1 dynamically switches its function between acinar cells and PDA. (A) PDX1 ChIP-seq was performed on acinar cells isolated from wild-type mice and the mouse PDA cell line NB-490. The heat map shows enrichment of PDX1 binding in acinar and PDA cells. (B) PDX1 ChIP-peak distribution across different genomic regions in primary acinar cells or PDA cells (NB490). (C) Overlap in PDX1-bound regions between acinar and PDA cells. (D) ChIP-seq signal tracks of PDX1 on the indicated genes in acinar and PDA cells. (E) Functional categories of PDX1 putative target genes by using pathway enrichment analysis. The list shows the top 20 pathways enriched in PDX1 ChIP-seq targets in acinar (top) and PDA (bottom) cells. (F) Sequence motifs identified by motif analysis of PDX1-bound regions in acinar and PDA cells. (G) Heat map showing relative expression of genes bound by PDX1 in either acinar cells (top) or PDA cells (bottom) in four different cells types: wild-type acinar cells, Pdx1-null acinar cells, wild-type mouse PDA cells, and PDA cells expressing shRNA against Pdx1.
important underlying mechanism of the context-dependent roles of PDX1.

**Distinct PDX1 expression levels in PDA subtypes**
Recent next-generation sequencing analysis has revealed that PDA is a conglomeration of four different subtypes with distinct histopathological characteristics and disparate survival prognoses [Collisson et al. 2011; Bailey et al. 2016]. Interestingly, in the squamous subtype that carries the worst prognosis, the PDX1 promoter is highly methylated, suggesting epigenetic silencing of PDX1. This seemingly contradictory finding led us to analyze the expression pattern of pancreas lineage-specifying factors, including PDX1, in different PDA subtypes [Fig. 6A]. Unsupervised expression clustering for these factors revealed PDX1 to be highly expressed in the pancreatic progenitor ADEX and immunogenic PDA subtypes but observed at low levels in the squamous subtype [Fig. 6B]. We further confirmed the heterogeneous PDX1 expression pattern among PDA patients by immunohistochemistry [Fig. 6C]. Furthermore, in two different cohorts that encompassed all PDA subtypes, low PDX1 expression was significantly associated with lower overall and disease-free survival [Fig. 6D; Supplemental Fig. S7A]. However, PDX1 expression did not have any statistically significant correlation with disease outcome in the pancreatic progenitor subclass, where patients display high PDX1 [Supplemental Fig. S7B].

We also observed that genetically engineered mouse models faithfully recapitulate heterogeneity in PDX1 expression [Fig. 6E]. In some areas of PDA, expression was very high [Fig. 6E, panel i], whereas others displayed a complete lack of PDX1 expression [Fig. 6E, panel ii]. Thus, not only does PDX1 have distinct functions in acinar versus cancer cells, but reduced PDX1 levels correlate with the most aggressive squamous tumor type.

**PDX1 activity regulates EMT**
In contrast to our cell culture data, which suggest that reducing PDX1 expression in established tumors would be beneficial, patient data revealed that low-PDX1 patients show worse survival. Metastasis to distant organs, rather than primary tumor burden, is frequently the cause of death in PDA patients [Yachida et al. 2010]. Intriguingly, the squamous subtype, which loses PDX1 expression, displays molecular characteristics of increased metastatic

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**Figure 6.** Low PDX1 expression predicts poor PDA prognosis. Expression of transcription factors regulating pancreatic organogenesis [A] and PDX1 [B] in four different pancreatic cancer subtypes based on the study by Bailey et al. [2016]. (C) Representative PDX1 staining in human PDA with low [panel i], intermediate [panel ii], and high [panel iii] PDX1 expression in infiltrating tumors. Normal ducts in panel i and islets in panel ii stained positive for PDX1. (D) Kaplan-Meier survival curve of PDA patients with low or high PDX1 RNA expression. n = 183. (E) Representative staining for PDX1/cytokeratin 19 in Ptf1aCre;KrasLSL-G12D/+;Tpt53fl/fl mouse pancreata showing variable expression [high [panel i] and low [panel ii]] of PDX1 in mouse tumor tissues. Solid arrowheads indicate regions that have reduced PDX1 expression.
potential. We reasoned that, independent of its effects on proliferation and survival, $PDX1$ down-regulation might enhance tumor aggressiveness. EMT, where epithelial cells lose epithelial marks in order to disseminate into a vascular or lymphatic system, enhances metastasis (Kalluri and Weinberg 2009). To test whether $PDX1$ down-regulation is part of the EMT program, we examined two models: HGF [hepatocyte growth factor] treatment of HPAF-II cells and TGFβ treatment of Panc.1 cells (Song et al. 2010). As shown in Figure 7, both HGF and TGFβ treatment induced EMT, accompanied by reduced $PDX1$ expression [Fig. 7A,B]. To further confirm the potential role of $Pdx1$ in EMT in vivo, we used $Ptf1a^{Cre}^{-}$, $Kras^{LSL-Ca12D1/2}$, $\beta$-Trp53<sup>[16]</sup>, R26<sup>YFP</sup>-animals. In these animals, cells expressing Cre recombinase were irreversibly marked with YFP, thus allowing for detection of epithelial-derived cancer cells that have undergone EMT and now express mesenchymal markers; e.g., YFP/Vimentin-double-positive. Similar to our in vitro observations, epithelial cells that have converted to a mesenchymal state exhibited low $PDX1$, supporting the notion that, in order to undergo EMT, epithelial cells need to reduce $PDX1$ expression [Fig. 7C]. Likewise, in matched tissue sections from mouse PDA, invasive tumor cells displayed lower $PDX1$ expression compared with adjacent PanIN lesions, suggesting that $PDX1$ loss may confer a metastatic advantage [Supplemental Fig. S8].

The observed functions of $PDX1$ in PDA maintenance and metastasis appear contradictory. Primary tumor cells require sustained $PDX1$ expression for survival but attenuate $PDX1$ expression as part of EMT and metastasis. To reconcile these functions, we hypothesized that tumor cells use mechanisms to compensate for $PDX1$ loss. To identify such compensatory mechanisms, we generated cell lines that are resistant to $Pdx1$ knockdown. By serially passaging PDA cells expressing dox-inducible $Pdx1$ shRNA grown continuously in dox, $Pdx1$ knockdown cells grew out within weeks [Fig. 7D] and were as proliferative as the parental line, indicating that they have acquired traits to resist $Pdx1$ loss.

To identify the mechanism by which these cells tolerate the absence of $Pdx1$, we performed BrU-seq [bromouridine [BrU] sequencing] to identify new transcripts synthesized after acquiring resistance to reduced $Pdx1$ (Paulsen et al. 2014). Cells were treated with BrU to label nascent RNA. Labeled RNA was precipitated with an anti-BrdU antibody and sequenced. With the cutoff set at 1.5-fold change in expression, we found 348 genes differentially expressed between control and $Pdx1$ knockdown, 569 genes differentially expressed between knockdown and “grow out,” and 385 genes differentially expressed between control and “grow out” cells. When compared with each other, there was little overlap between each data set, suggesting that “grow out” cells initiate a unique program to become resistant to $Pdx1$ depletion [Fig. 7E].

Pathway analysis revealed several pathways that were enriched in “grow out” cells: “TGFβ signaling,” “chromatin organization,” and “estrogen response pathway” [Fig. 7F]. Among them, “chromatin organization” was particularly interesting, as chromatin remodeling is a frequent mechanism of chemoresistance. Two-thirds of the genes comprising the “chromatin organization” pathway were found to be up-regulated in “grow out” cells. Among them, we detected an overrepresentation of histones, suggesting transcriptional amplification [Supplemental Fig. S9A]. Interestingly, when genes differentially expressed between “knockdown” and “grow out” cells were used for GSEA for motif enrichment, the MYC-binding motif was the only significantly enriched motif [Fig. 7C], which was associated with enrichment of the Myc regulatory network [Supplemental Fig. S9B]. MYC has been shown to induce transcriptional amplification in tumor cells, which contributes to tumor maintenance (Lin et al. 2012). Thus, we conclude that, at the metastasis stage, tumor cells reduce $Pdx1$ to undergo EMT, temporarily sacrificing proliferative potential, and then use MYC to revive cell growth. In KPC animals, uniform MYC and $PDX1$ colocalization was observed consistently in PanIN lesions [Fig. 7H]. Interestingly, when PanINs had progressed to PDA, there was a divergence of this coexpression pattern. We found two groups of tumors in KPC animals: well differentiated and undifferentiated. Well-differentiated tumors were $PDX1$-high and MYC-low, whereas undifferentiated invasive tumors were MYC-high and $PDX1$-low [Fig. 7I]. This is consistent with human PDA, where metastasis-prone squamous subtype cancers display MYC pathway activation, are $PDX1$-low, and have mutations in chromatin modifier genes [Bailey et al. 2016].

Discussion

$PDX1$ function in the adult pancreas is historically considered to be restricted to β cells [Ahlgren et al. 1998; Gannon et al. 2008; Gao et al. 2014]. Despite this common perception, prior studies have shown $Pdx1$ expression in normal adult exocrine pancreata and increased expression in PDA, observations that imply possible regulatory roles of $PDX1$ in neoplastic development and progression (Roy and Hebrok 2015). Our analysis indicates temporally distinct and even seemingly antagonistic functions of $PDX1$ during maintenance of mature acinar differentiation, injury-induced acinar regeneration, PanIN, PDA, and metastasis. At the onset of oncogenic Kras-driven transformation, $PDX1$ restrains acinar cells from transdifferentiating into ductal cells, thus acting as a “tumorigenesis-suppressor.” In contrast, $PDX1$ assumes a tumor-promoting role when ADM lesions progress toward neoplasia and into the malignant state. In cancer cells, $PDX1$ supports the malignant phenotype by controlling a distinct group of genes compared with normal acinar cells, suggesting that not only the level of expression but redirected binding to specific regulatory elements are critical aspects of its diverse activities. Finally, in a subset of epithelial tumor cells, $PDX1$ loss promotes EMT and metastasis to distant organs [Fig. 7J].

The roles of $PDX1$ during embryogenesis have been studied extensively and show that it is expressed in all pancreatic epithelial cells at the onset of organogenesis
Figure 7. PDX1 loss is associated with EMT. (A) Quantitative PCR analysis of E-cadherin, Vimentin, and PDX1 expression in HPAF-II cells treated with either vehicle or HGF. (B) Quantitative PCR analysis of E-cadherin, Vimentin, Snail1, and PDX1 expression in Panc.1 cells treated with either vehicle or TGFβ. (C) Fluorescent images of lineage-labeled cells derived from pancreatic epithelium of Ptf1aCre+/+;KrasLSL-G12D+/+;Trp53f/-;Rosa26LSL-YFP animals. Staining shows that epithelial cells that have undergone EMT (YFP-positive/Vimentin-positive) have lost PDX1 expression. (D) Mouse PDA cells [NB490 sh1] were cultured and passaged with continual dox treatment for 3 wk to select for cells resistant to Pdx1 knockdown (“grow out”). Western blot analysis of PDX1 and HSP90 from “grow out” cells treated with dox and NB490 sh1 cells left untreated (control) or treated with dox for 48 h (2-d dox). Growth curves of NB 490 sh1 cells left untreated (control) or treated with dox and “grow out” cells treated with dox. (E) Overlap among differentially expressed genes (1.5-fold up or down) in control, knockdown, and “grow out” cells. Purple curves link identical genes. The inner circle represents gene lists, where hits are arranged along the arc. Genes shared by multiple lists are colored dark orange, and genes unique to a list are shown in light orange. (F) Heatmap of enriched terms across differentially expressed genes (1.5-fold up or down) in knockdown, “grow out”, and control groups. (G) MYC-binding enrichment plots in knockdown versus “grow out” cells. The plot shows the enrichment of MYC-binding targets in “grow out” cells. (H) Immunofluorescence staining from a Ptf1aCre+/+;KrasLSL-G12D+/+;Trp53f/-;Rosa26LSL-YFP mouse showing colocalization of MYC and PDX1 in PanIN. (I) Immunofluorescence staining of a well-differentiated tumor from an 11-wk-old PDX1-Cre;KrasLSL-G12D+/+;Trp53R172H+/+;Rosa26LSL-YFP mouse (top panels) and a poorly differentiated invasive tumor from a 28-wk-old PDX1-Cre;KrasLSL-G12D+/+;Trp53R172H+/+;Rosa26LSL-YFP mouse (bottom panels). Yellow arrows highlight YFP-positive tumor cells, while yellow arrowheads highlight YFP-negative stromal cells. (J) Model depicting PDX1 functions at different stages of pancreatic cancer tumorigenesis. At early stages, PDX1 maintains acinar identity and inhibits Kras-driven acinar dedifferentiation. In the later malignant stage, PDX1 maintains tumorigenicity of cells by supporting cell proliferation and inhibiting apoptosis. During metastasis, malignant cells lose PDX1 expression to undergo EMT.
(Jonsson et al. 1994; Offield et al. 1996). While PDX1 is required to establish the acinar cell lineage, only low-level expression is detected in adult enzyme-producing cells (Hale et al. 2005; Kodama et al. 2016). Thus, it was surprising that Pdx1 depletion in adult mice led to perturbations in exocrine function [Holland et al. 2002]. However, ectopic Pdx1 expression in progenitors induces abundant ADM (Kawaguchi et al. 2003; Miyatsuka et al. 2006), and Elastase-Cre-driven Pdx1 overexpression in exocrine precursors triggers marked dysmorphogenesis in the exocrine pancreas [Heller et al. 2001]. These studies indicate that Pdx1 expression needs to be tightly controlled to properly maintain adult acinar tissue functionality. Supporting this notion, our data show that Pdx1 deletion in mature acinar cells in the presence of oncogenic/inflammatory signals produces a phenotype comparable with ectopic overexpression in embryonic progenitor cells. Of note, our analysis further suggests that once acinar identity is eroded, Pdx1 reactivation supports tumor progression by controlling a distinct transcriptional program capable of promoting neoplasia, the first indication that PDX1 fulfills different roles within the same cells but in the different cellular contexts of homeostasis and disease.

The notion that a defined network of transcriptional factors, such as Ptf1a, Mist1, and Nr5a2, maintains acinar integrity and impairs mutant Kras-driven ADM has been described before (Shi et al. 2013; Flandez et al. 2014; von Figura et al. 2014b; Krah et al. 2015). Our results indicate that Pdx1 joins this list of “acinar differentiation” regulators. Consistent with this idea, our RNA-seq analysis suggests that PDX1 maintains acinar identity by direct binding to regulatory elements of acinar differentiation genes such as Amy1, Cela2, Cela1, and Cpa2. PDX1 expertise its transcriptional activity through interaction with distinct sets of coregulators, such as PBX1b and MEIS2, in acinar cells (Swift et al. 1998). In future work, it will be interesting to examine changes in the composition of coregulators during ADM, PanIN, and PDA development and whether this alters PDX1 binding to a diverse set of target genes.

Our data indicate that a subset of human PDA, centroacinar, and ductal cells expresses PDX1, an observation analogous to the results obtained in mice. Intriguingly, in human PDA samples, PDX1 levels vary widely. Earlier studies described either no correlation or high PDX1 to be associated with poor prognosis [Koizumi et al. 2003; Park et al. 2011]. In contrast, using two different patient cohorts, we show conclusively that low, not high, PDX1 expression is an independent predictor of poor disease-free survival. Also, considering the heterogeneity of PDX1 expression in KPC mouse models and PDA subtypes, it is possible that not all subtypes were included in the smaller patient cohort size (n = 35 in Koizumi et al. 2003; n = 67 in Park et al. 2011). Our analysis of a larger cohort, totaling 325 patients and representing all subgroups, may explain the newly evident correlation between survival and PDX1 expression.

Brunercardi and colleagues [Yu et al. 2016] had shown previously that PDX1 knockdown reduces cell survival in human PDA lines. We observed a similar effect, but our examination of multiple PDA lines suggests that all tumor cells are not equally vulnerable to PDX1 deficiency. Based on our observation of disparate PDX1 levels in different PDA patients harboring tumors of differing subtypes, it is possible that the gene network intrinsic to these various PDA subtypes likely predicts the response to PDX1 knockdown.

The exact role of PDX1 in metastasis is hard to pinpoint. Prior work on a mouse model of PDA has revealed that a subpopulation of PDX1+ cells has an inherent capacity to metastasize [Ischenko et al. 2014]. However, other data together with our findings point to distinct PDX1 activities in resident and metastatic PDA cells. One recent study showed PDX1 to be down-regulated during EMT [David et al. 2016]. In vivo, metastatic lesions also exhibit loss of nuclear PDX1 expression, further suggesting a possible regulatory role of PDX1 in EMT [Morton et al. 2008]. Similarly, we found that EMT in PDA attenuates PDX1 expression both in vitro and in vivo. PDX1 is known to activate expression of the epithelial marker E-cadherin [Marty-Santos and Cleaver 2016], supporting the suggestion that its loss might be necessary for EMT. Consistent with this notion, in the context of oncogenic Kras, our preliminary analysis suggests that Pdx1-null animals display more frequent delamination events and higher numbers of circulating tumor cells compared with their wild-type counterpart [data not shown]. However, PDX1 overexpression is not sufficient to reverse EMT [Ischenko et al. 2014], suggesting that PDX1 loss is associated with, and may initiate, a broadly remodeled cancer phenotype.

Evidence for this hypothesis comes from selecting for cells capable of expanding in the absence of Pdx1. In these cells, we observed up-regulation of a Myc regulatory network, which is negatively regulated by PDX1 and confers metastatic properties to PDA cells (Chen et al. 2007; Bailey et al. 2016). Our data support the possibility of dual-targeting PDX1 and MYC in PDX1-dependent tumors as a viable therapeutic opportunity for the PDA patients.

In summary, our findings point to PDX1 as a context-dependent mediator of PDA initiation and progression. Based on our comprehensive analysis, it is evident that to define PDX1 as an entirely pro- or anti-cancer factor is naive, and, without further understanding its diverse functions, treating it as a therapeutic target, as has been proposed [Yu et al. 2016], is premature. Future studies are needed to identify how PDX1 might interact with divergent sets of coregulators to modulate critical aspects of cancer formation. Unraveling the temporal activities of PDX1 should provide important insights into subtype-specific disease vulnerabilities that can be targeted for personalized therapeutic intervention.

Materials and methods

Mouse lines

The following mice strains were used: Ptf1aCre, Ptf1aCreERTM, and Pdx1f0f [Ekanoff et al. 2008; Roy and Hebrok 2015], Pdx1f0f; KrasG12D, PDX1-Cre, and Trp53R172H [gifts of David Tuveson, Cold Spring Harbor Laboratory]; and Trp53f0f, R26RSL-YFP, and NOD scid y
PLEX DNA-seq kit (Rubicon) according to the manufacturer with 1 mg/mL BSA. Two nanograms of immunoprecipitated PDX1 antibody (Millipore, 07-696). Complexes were isolated using quantitative PCR using Kapa protocol. Samples were PCR-amplified and pooled. Final libraries were checked for quality and quantity by TapeStation (Agilent). For RNA-seq experiments, suspension was plated in nonadherent plates, treated with 50 ng/mL TGF-β, and maintained at 37°C in a 5% CO2 atmosphere. Acellar cells used in transdifferentiation assays were plated in 2.5 mg/mL bovine collagen I (Trevigen, 3442-050-01). Collagen/cell mixture was overlaid with complete Waymouth medium supplemented with 1.9 pmol/L cerulein, changed the next day and every other day thereafter.

ChIP-seq
Chromatin was prepared from isolated acinar cells or cell lines using the truChIP chromatin-shearing reagent kit ( Covaris, 520154). Chromatin was sheared in 1-mL millitubes (Covaris, 520135) using a Covaris @ (5% duty cycle, intensity of 4, 520154). Chromatin was sheared in 1-mL millitubes (Covaris, 520135) using a Covaris S200 (5% duty cycle, intensity of 4, 5% CO2 atmosphere. Acellar cells used in transdifferentiation assays were plated in 2.5 mg/mL bovine collagen I (Trevigen, 3442-050-01). Collagen/cell mixture was overlaid with complete Waymouth medium supplemented with 1.9 pmol/L cerulein, changed the next day and every other day thereafter.

BrU-seq
BrU-seq on “grow out” cells treated with dox and NB490 sh1 cells left untreated or treated with dox for 48 h was performed as described previously (Paulsen et al. 2014). Briefly, BrU (Aldrich) was added to the culture medium of cells to a final concentration of 2 mM, and cells were then incubated for 30 min at 37°C. Cells were lysed in TRIzol reagent (Invitrogen), and cell lysates were scraped off and collected. Total RNA was isolated, and BrU-labeled RNA was captured by incubation of isolated total RNA with anti-BrdU antibodies (BD Biosciences) conjugated to magnetic Dynabeads (Invitrogen) under gentle agitation for 1 h at room temperature. cDNA libraries were prepared from isolated BrU-labeled RNA using the Illumina TruSeq library kit and sequenced using Illumina HiSeq sequencers at the University of Michigan DNA Sequencing Core. Sequencing and read mapping were carried out as described previously (Paulsen et al. 2014).

RNA-seq for acinar cells
RNA was harvested using the RNEasy Plus kit (Qiagen, 74136) and assessed for quality using the TapeStation (Agilent). Samples with an RNA integrity number of ≥ 8 were RNA-depleted using RiboMinus (Invitrogen, K1550-04). rRNA-depleted samples were prepared using the TruSeq mRNA sample preparation version 2 kit (Illumina, RS-122-2001 and RS-122-2002). The entire fraction of 0.1–3 μg of rRNA-depleted total RNA was fragmented and copied into first strand cDNA using reverse transcriptase and random primers. cDNA 3’ ends were adenylated, and adapters were ligated. One of the adapters had a 6-nucleotide barcode that was unique for each sample, allowing us to multiplex in a HiSeq flow cell (Illumina). Products were purified and enriched by PCR to create the final cDNA library. Libraries were checked for quality and quantity using TapeStation (Agilent) and quantitative PCR using a library quantification kit for Illumina platforms (Kapa Biosystems, KK4835). They were sequenced on the cBot (Illumina) and sequenced 2 samples per lane on six lanes of a 50-cycle single-end HiSeq 4000. HiSeq control software version 3.5.2 was used according to manufacturer’s protocols. Demultiplexing and FastQ file generation were done using bcl2fastq version 2.17.1.14.

Human subjects
The PDAC tissue microarray has been described previously (Nguyen Kovochich et al. 2013) and included three separate 1.0-mm tumor cores from each of 145 treatments: naïve or AJCC stage I or II PDACs resected at University of California at Los Angeles Medical Center from 1990 to 2005. All work was performed with prior institutional review board approval. Human subjects used for RNA-seq-based analysis have been described previously (Bailey et al. 2016).

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