Signatures of information scrambling in the dynamics of the entanglement spectrum

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We examine the time evolution of the entanglement spectrum of a small subsystem of a non-integrable spin chain following a quench from a product state. We identify signatures in this entanglement spectrum of the distinct dynamical velocities (related to entanglement and operator spreading) that control thermalization. We show that the onset of level repulsion in the entanglement spectrum occurs on different timescales depending on the “entanglement energy”, and that this dependence reflects the shape of the operator front. Level repulsion spreads across the entire entanglement spectrum on a timescale that is parametrically shorter than that for full thermalization of the subsystem. This timescale is also close to when the mutual information between individual spins at the ends of the subsystem reaches its maximum. We provide an analytical understanding of this phenomenon and show supporting numerical data for both random unitary circuits and a microscopic Hamiltonian.

I. INTRODUCTION

Quantum quenches, which track the dynamics of an isolated quantum system from a simple initial state (e.g., product state), are of widespread experimental relevance in ultracold atomic systems as well as solid-state systems probed on ultrafast timescales.18–20 How such systems approach local thermal equilibrium after a quench, i.e., “thermalization”, is a central theme in many-body physics.21–23 While the late-time thermal behavior is understood in terms of eigenstate thermalization and random matrix theory,6,11–16 less is known about early and intermediate times, i.e., how the local density matrix morphs from a product state to a thermal state. Characterizing the intermediate, locally thermal regime is a key step, both for understanding thermalization and for devising efficient numerical methods to study dynamics.17

Different aspects of quantum information propagate at distinct and well-separated speeds18–20 so a local subsystem has a spectrum of thermalization timescales, and exhibits rich intermediate-time structures. In particular, two central aspects of thermalization are the growth of the von Neumann entropy of subsystems (which eventually saturates to the thermal entropy) and the ‘scrambling’ of quantum information. Several definitions of scrambling exist,21,22 the intuitive picture is that quantum information contained in the system initially gets distributed over many different degrees of freedom and thus becomes inaccessible by local measurements. A way to keep track of this process is by following the dynamics of initially local observables in the Heisenberg picture: these tend to become linear combinations of exponentially many non-local operators, a fact picked up by so-called out-of-time-order correlators.18–20

In this work, we explore this intermediate-time regime
and tease apart the various dynamical timescales by studying the full spectrum of the reduced density matrix (RDM) $\rho_A$ of a subsystem $A$ of size $l \gg 1$ that is small compared to system size $L$, at times $t$ that are short compared with the timescale for the full thermalization of $\rho_A$. In this regime, $\rho_A$ is not thermal, but chaos is expected on length-scales smaller than $l$, so one expects some aspects of the dynamics to be universal. Further, since this regime involves weakly entangled states, large-scale simulations using matrix-product states (MPSs) are feasible. We argue that the spectral correlations of $\rho_A$ identify the timescales involved in thermalization. These correlations are often quantified via the entanglement spectrum, i.e. the eigenvalues of the so-called entanglement Hamiltonian $H_{\text{ent}} \equiv -\ln \rho_A$. The entanglement spectrum was originally introduced as a powerful tool for characterizing ground states; more recently, it has been used in non-equilibrium settings.

We continue in this vein and explore its post-quench dynamics. Following a quench, degrees of freedom near either end of $A$ quickly become entangled with the outside world, but take longer to become entangled with those at the other end of $A$. This is reflected in the spectrum of $\rho_A$: its large eigenvalues (i.e., low-energy part of the entanglement spectrum) correspond to eigenstates that are localized on either end of the system, leading to Poissonian level statistics on short timescales, whereas small eigenvalues of $\rho_A$ (i.e., high-energy part of the entanglement spectrum) couple and become essentially random on much shorter times. We examine the crossover between these two sectors of the entanglement spectrum with time, and link it with the spread of operators and entanglement across the subsystem.

II. ANALYTICAL ARGUMENT

We begin by giving an analytical argument, describing how level repulsion develops in the entanglement spectrum during time evolution. We first focus on a simple system which has an exact light cone, and argue that this leads to a lack of level repulsion at short times at all entanglement energies. We then generalize this to arbitrary locally interacting chains, using a combination of Lieb-Robinson bounds and perturbation theory, and argue that level repulsion initially develops at high energies and moves down towards low energies, linearly in time. Eventually, the entire spectrum develops level repulsion, on a time scale set by the Lieb-Robinson speed.

A. Strict light cone

For clarity, let us first focus on random unitary circuit (RUC) dynamics, where the existence of a strict light cone velocity $v_{\text{LC}}$ streamlines the discussion. We generate time evolution under RUCs in terms of two-site unitary gates that act on even (odd) bonds at integer (half-integer) time steps as shown in Fig. 1(a), so that $v_{\text{LC}} = 2$; note that such a representation can also be used to approximate Hamiltonian dynamics to arbitrary accuracy via a Trotter decomposition. Apart from the light cone velocity, the random circuit has two other distinct characteristic scales, the butterfly velocity $v_B$, which sets how fast operators spread in space, and the entanglement velocity $v_E$, related to the speed of entanglement growth when the chain is partitioned into two halves; these satisfy $v_{\text{LC}} > v_B > v_E$.

We begin with a pure product state at $t = 0$ and evolve it to time $t$ by applying a depth-$t$ RUC. The RDM $\rho_A$ of subsystem $A$ is obtained by constructing the density matrix of the whole system, $\rho = |\psi(t)\rangle \langle \psi(t)|$, and then tracing over degrees of freedom outside $A$. However, since the spectrum of $\rho_A$ is identical (up to zero modes) to that of the RDM of the complement of $A$ (denoted $\rho_{\overline{A}}$) we may instead perform the trace over the degrees of freedom within $A$, corresponding to the circuit on the RHS of Fig. 1(a), where the purple dots denote the degrees of freedom in $\overline{A}$. After canceling conjugate pairs of gates $U$ and $U^\dagger$, this circuit separates into a tensor product: $\rho_A = \rho_L \otimes \rho_R$, where $L/R$ denote regions to the left and right of $A$ respectively. Therefore the eigenvalues of $\rho_A$, and hence those of $\rho_A$, take the form $\lambda_L^2 \lambda_R^2$, and the entanglement spectrum is the sum of the spectra of independent random matrices, leading to Poisson level statistics. After an initial non-universal transient (from the singular entanglement spectrum of each edge in the initial state) we expect such behavior up to time $t/2v_{\text{LC}}$.

Consider now times shortly after $t = l/2v_{\text{LC}}$: canceling pairs of conjugate unitaries no longer partitions the circuit into disjoint pieces, so the RDM no longer factorizes. However, the left and right blocks are initially only weakly entangled, since any entanglement between them is produced only by the few gates between the corners of the light-cone region and the bottom/top of the circuit. This idea can be formalized by considering the mutual information between the regions $L$ and $R$ that are left and right of $A$: $I(L, R) = S_L + S_R - S_{\overline{A}}$. At short times, when the RDM of $A$ factorizes, $I(L, R) = 0$. At times only shortly after $l/2v_{\text{LC}}$, the mutual information $I(L, R)$ becomes finite, but it is still much below its upper bound, $2v_{\text{LC}}l - l$. This is due to the fact that in our two-level circuits, the ”butterfly velocity“ $v_B$ that characterizes the spread of operators, is much slower than $v_{\text{LC}}$, so on times comparable to $v_{\text{LC}}$, only rare low-amplitude operators entangle the two halves of the subsystem, which is thus almost separable. As a consequence of this, level repulsion initially only appears at high energies in the spectrum of $H_{\text{ent}}$, as we discuss in the following section.

B. General case

We now generalize the above argument to the case of arbitrary short-range interacting chains, including Hamiltonian evolution (either time-independent or peri-
odically driven). While generically there is no strict light cone, and therefore the RDM does not factorize into left and right parts, such a factorization still applies approximately at short enough times, as can be established using Lieb-Robinson bounds. We then argue that this approximate factorization implies a lack of level repulsion at low entanglement energies, but not at high energies. The edge separating the two regimes in the spectrum moves downwards in time, until the entire spectrum becomes RMT-like. This happens at times when the von Neumann entropy of the subsystem (i.e., the typical entanglement energy) is still far from its equilibrium value.

The starting point of our argument is to approximate the time evolution operator $U(t)$ using the results of Ref. [37] as

$$U = \tilde{U} + \delta U,$$

where $\tilde{U}$ is a unitary circuit made up by two layers of unitaries, each acting on $vt$ contiguous spins for some constant $v$ (i.e. a block with size linear in $t$) and the error term has a small operator norm $||\delta U|| = \epsilon$. This approximation is illustrated in Fig. 2. Note that this approximation is done in the spirit of Lieb-Robinson bounds: there is a smallest possible velocity (which we will identify with the Lieb-Robinson velocity) such that the approximation holds, but one can always increase the accuracy by making $v$ larger and thus decreasing the error $\epsilon$. For systems with a strict light cone speed, like the RUC discussed in the previous section, this approximation becomes exact ($\epsilon = 0$) for $v \geq v_{\text{LC}}$.

FIG. 2. Approximating the time evolution generated by a local Hamiltonian with a two-layer circuit of local unitaries, as described in Ref. [37].

Making use of the above approximation we can write the time evolved state after a quench as

$$|\psi(t)\rangle \equiv U(t)|\psi_0\rangle = |\tilde{\psi}\rangle + \epsilon|\phi\rangle,$$

where all the states are normalized to 1 (in principle $|\phi\rangle \equiv \delta U|\psi_0\rangle/\epsilon$ can have some norm $\leq 1$ which we could pull out as a prefactor, but for simplicity we set it to 1). The corresponding reduced density matrix of a block $A$ of size $l$ is then

$$\rho_A = \tilde{\rho}_A + \epsilon \delta \rho_1 + \epsilon^2 \delta \rho_2;$$

$$\delta \rho_1 \equiv \text{tr}_{\bar{A}} \left[ |\tilde{\psi}\rangle \langle \phi | + \text{h.c.} \right]; \quad \delta \rho_2 \equiv \text{tr}_{\bar{A}} \left[ |\phi\rangle \langle \phi | \right],$$

with $\bar{A}$ being the complement of $A$. At times at which the light-cone for the approximate unitary $\tilde{U}$ has not yet penetrated to the middle of the subsystem $A$, the arguments made in the previous section apply, showing that the leading term factorizes as $\tilde{\rho}_A = \rho_L \otimes \rho_R$. The first-order correction, $\delta \rho_1$, involves the overlap of two essentially independent vectors on a subsystem of $L - l$ sites; we expect its matrix elements to be of size $\mathcal{O}(2^{(L-l)/2})$, going to zero in the thermodynamic limit. It is therefore safe to neglect this term in the following and focus on the effect of $\delta \rho_2$ on the spectrum of $\rho_A$.

Since $\tilde{\rho}_A$ factorizes, its eigenvalues are of the form $\lambda_n = \lambda_n^L \lambda_n^R$. Ref. [33] showed that the density of states for $\lambda_L$ is given by $N(\lambda_L) \sim 1/\lambda_L$, and likewise for $\lambda_R$. Combining these, we get

$$N(\tilde{\Lambda}) = N(\lambda_L)N(\lambda/\lambda_L) \sim \frac{1}{\Lambda/\lambda_L} \sim \frac{1}{\Lambda} \quad (4)$$

To leading order, the normalization of $N(\tilde{\Lambda})$ is set by the number of nonzero eigenvalues of the RDM, so the density of states at $\tilde{\Lambda}$ is

$$N(\tilde{\Lambda}) \approx 2^{\epsilon vt}/\tilde{\Lambda} + \delta(\tilde{\Lambda})(2^l - 2^{2vt}), \quad (5)$$

up to terms polynomial in $t$ that we neglect. We work in the eigenbasis of $\tilde{\rho}$ and consider the matrix elements of $\delta \rho_2$ between two eigenstates. This takes the form $\langle \alpha(\phi) | \langle \phi | \beta \rangle$, where $|\alpha\rangle, |\beta\rangle$ are Schmidt states of $\tilde{\rho}$. We approximate $|\phi\rangle$ as a random state, so its overlap with any basis state is of magnitude $2^{-1/2}$, and consequently the typical matrix element of $\delta \rho_2$ between two eigenstates of $\tilde{\rho}$ has magnitude $\epsilon^2/2^l$. To see if nearby energy levels hybridize, we compare this typical matrix element to the energy difference between two adjacent eigenstates of the RDM at energy $\Lambda$. Any zero modes in Eq. (5) will hybridize by this criterion. For the nonzero eigenvalues, hybridization occurs when $\tilde{\Lambda} \leq \epsilon^2 2^{2vt-l}$.

Turning now to the entanglement spectrum, we estimate that states with unperturbed energy $E \equiv -\ln \Lambda$ will develop random-matrix statistics when

$$E \geq (l - 2vt) \log 2 - 2 \log \epsilon. \quad (6)$$

To minimize the error while maintaining the separability of $\tilde{\rho}$ we choose $2vt = l$. Then the error of the approximation takes the form $\epsilon \sim \epsilon^{(\kappa - \mu)l} = \epsilon^{\mu l - \mu l/2}$ for some constants $\kappa, \mu$. Plugging in this expression we find that

$$E \geq \mu l - 2\kappa t. \quad (7)$$

This suggests the crossover energy scale from Poisson to RMT statistics should drift linearly downwards in energy as a function of time, consistent with our numerical results presented in Fig. 3.

The perturbative argument breaks down then $\epsilon$ becomes $\mathcal{O}(1)$, which is at times $t \approx l/2 v_{\text{LR}}$, where $v_{\text{LR}}$ is the Lieb-Robinson speed. This speed should be close to the infinite temperature butterfly velocity, which characterizes operator spreading (the only difference is in the choice of operator norm vs. Frobenius norm for the commutator). These speeds ($v_{\text{LR}}$ or $v_B$) are generically larger then the ‘entanglement velocity’ $v_{\text{LC}}$ relevant for the
growth of von Neumann entropy of a subsystem. Therefore there should exist an intermediate time scale where already the entire spectrum shows level repulsion, but the von Neumann entropy (the size of the typical entanglement energy) is still far below its equilibrium value. This is verified in Fig. 4.

The above discussion clarifies that the energy-dependence of the level repulsion captures certain aspects of the shape of the wavefront of an evolving operator. For a sharp, δ-function like wavefront, i.e. \( \nu_B = \nu_{LC} \), all entanglement energies would develop level repulsion simultaneously. The fact that there is instead a delay between high and low energies corresponds to the fact that there are exponential tails outside of the wavefront. It is an interesting open question to see whether other details of the front shape, such as its diffusive broadening\(^{23,24}\) can also be diagnosed from a more careful analysis of the entanglement spectrum.

Note that our entire discussion is independent of the initial state: it therefore provides a lower bound on the times needed for the entanglement spectrum to become RMT-like. We expect that this is the relevant time scale for e.g. a random product state, while the actual time scale can be different for other initial states. We provide an example of this in Sec. IV where the time scales increase by a factor of 2 for certain initial states due to a conservation law.

III. NUMERICAL SIMULATIONS

We now turn to the numerical confirmation of the analytical arguments outlined above. Our dynamical regime of interest consists of not too large subsystems at intermediate times. Since the relevant time evolution only generates modest entanglement, it is feasible to simulate it efficiently using matrix-product state (MPS) techniques. We simulate dynamics using the time-evolving block decimation (TEBD) algorithm\(^{25}\) on systems of size \( L = 60 \), and compute the entanglement spectra of subsystems ranging in size from \( l = 4 \) to \( l = 14 \). Note that the limiting factor in going to larger \( l \) lies in the fact that, unlike in typical applications of MPS technology, we are interested in the entire entanglement spectrum rather than its low-energy sector. We have verified that \( L \) is sufficiently large that it does not produce any significant finite-size effects; all finite-size scaling is controlled by \( l \). For RUCs, we draw two-site unitary gates at random from the Haar measure, and average results over 100 realizations. Here, the time \( t \) counts the number of full time steps in which each even and each odd bond is acted upon exactly once by a 2-site gate. For the Hamiltonian case, we study the Ising model in a tilted magnetic field,\(^{26}\)

\[
H = \sum_i J_i \sigma_i^x \sigma_{i+1}^x + h_i^z \sigma_i^z + h_i^x \sigma_i^x,
\]

where \( \sigma_i^\mu \) with \( \mu = x, y, z \) are Pauli matrices at lattice site \( i \). We chose \( J_i = J = 1 \) to be uniform and measure time in units of \( 1/J \). To avoid dealing with subtleties of thermalization within symmetry sectors, and to make the two edges of the block inequivalent, we add weak on-site disorder, taking \( h_i^z, h_i^x \in [h_i^z, h_i^z + h_i^x] \) and choose their averages to be \( h_i^z = 0.9045 \), \( h_i^x = 0.709 \) and the disorder strength \( W = 0.05 \); the tilted-field Ising chain is known to be ergodic for this choice\(^{27}\). We average the results over 50 disorder realizations. For both models, we begin with an initial Néel state \( |\Psi(t = 0)\rangle = |\uparrow\uparrow\uparrow\downarrow\ldots\rangle \).

A. Development of level repulsion

Our main results are presented in Fig. 1(b,c) and Fig. 2. Figure 1(b) shows the time evolution of the level statistics and the mutual information between the two edge edges for RUCs, while Fig. 1(c) shows the same data but for Hamiltonian dynamics (8). Note the broad similarities between the two sets of data, despite the absence of the strict light cone in the latter. To succinctly characterize the level statistics via a single parameter, we use the so called \( r \)-ratio, defined as the average over the entanglement spectrum and disorder realizations of \( r = \frac{\min(\delta_n, \delta_{n+1})}{\max(\delta_n, \delta_{n+1})} \), where \( \delta_n = E_n - E_{n-1} \) is the spacing between consecutive entanglement energy levels.\(^{20}\) The value \( r \) is a measure of level repulsion: for Poisson statistics, \( r \approx 0.39 \), whereas for random matrices in the Gaussian Unitary Ensemble, \( r \approx 0.6 \) (although the Hamiltonian\(^{8}\) is real, the time-evolved state and hence its en-
The level statistics shows a regime of Poisson behavior after the initial transient, but crosses over to RMT-like behavior at a time that scales linearly with the subsystem size \( l \). Note that for the RUC, with a strict light cone, the mutual information between the boundary spins remains exactly zero until this time, when it begins to grow. In both Fig. 1(b) and (c), we have cut off the high-energy part of the entanglement spectrum when computing \( r \) and include only eigenvalues \( E < 10 \). A more fine-grained picture of entanglement level statistics is provided by studying the time evolution of the energy-resolved \( r \)-ratio, taking its average within some small energy window \([E, E + \Delta E]\). For the non-integrable Ising model (Fig. 2), high entanglement energies exhibit RMT behavior at relatively short times compared to low ones, with the ‘edge’ between the two moving roughly linearly in time. Representative line-cuts of the data at \( t = 3.4, 4.0, 4.6 \) are shown in the bottom panel of Fig. 2. The discrete time evolution of RUCs makes their entanglement spectral crossover abrupt and challenging to capture on the relatively modest system sizes considered here, though it is qualitatively similar. Finally, we note that the entanglement entropy remains far from its thermal volume law value even after the entire entanglement spectrum shows RMT behavior, consistent with our three-stage scenario for thermalization.

### IV. CONSERVATION LAWS

So far, we have focused either on random unitary dynamics with no conservation laws, or on Hamiltonian systems where the only conserved quantity is the energy. While energy diffusion can affect the low-energy entanglement spectrum, this only affects significantly a small subset of eigenvalues and we do not expect it to show up in the spectral diagnostics presented here. A natural question to ask is if the evolution of the entanglement spectra for certain classes of initial states can be constrained by conservation laws. It is known, for instance, that the spreading of operators is sensitive to the conservation law, that force change transport to be diffusive and thereby lead to hydrodynamic long time tails in operator dynamics. Accordingly, we simulate a random unitary circuit with a \( U(1) \) symmetry, where the relevant charge within a region can be viewed as counting the number of up spins in that region. We consider a domain initial state where all spins within region \( A \) are up, and all those outside of it are down. Fig. 5(a) shows the resulting entanglement spectrum dynamics, as well as the boundary-spin mutual information. Observe that the time scale for the transition towards RMT behavior as seen in the \( r \)-ratio continues to coincide with the onset of non-zero mutual information between the boundary spins, and both remain linear in \( l \) despite the diffusive
A direct implication of our results is that the bulk of the entanglement spectrum behaves thermally well before entanglement itself has saturated. This suggests that the late-time dynamics of entanglement must be related to the low-energy edge of the entanglement spectrum, and therefore have to do with its extreme-value statistics, which will be addressed elsewhere.

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Appendix A: Numerical results on Floquet model

To complement the data presented in the main text for random circuits and the tilted field Ising model, here we present some further numerical results on the periodically driven version of the latter, the so-called kicked Ising chain.

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It is defined through the Floquet unitary that describes time evolution during a single driving period, which reads

\[ U = e^{-\frac{ih}{2} \sum_i \sigma_i^z} e^{-\frac{i}{2} \sum_i J_i \sigma_i^x \sigma_{i+1}^x + h_i^z \sigma_i^z}. \]  

(A1)

The dynamics generated by repeated application of this unitary can be thought of as being half-way between the aforementioned two models. On the one hand it is simply a (periodically) time-dependent version of the Ising chain described in Eq. (2) of the main text, and has no randomness in the time direction. On the other hand it has no conserved quantities and has a strict light cone velocity of 1 site per Floquet period, which makes it similar to the random circuit model (in fact it can be represented exactly as a circuit with the same geometry). The data presented here emphasizes the universality of our result, which apply to any spatially local time evolution in 1D.

We fix \( J_i = 1 \) and \( T = 1.6 \) and choose the on-site fields according to a box distribution of width \( W \). We fix the average longitudinal field to be \( \langle h^z \rangle = 0.809 \) and consider different values of the average transverse field \( h^z \). In the clean case it is known that changing the transverse field can be used to tune the butterfly velocity\(^2\) between 0 at \( h^z = 0 \) and \( v_B \approx v_{LC} = 1 \) when \( h^z \approx 0.9 \), and we expect similar dependence on the average transverse field in the weakly disordered case as well. This allows us to explore how the time scales relevant for the block entanglement spectrum change with the butterfly speed and confirm that increasing the latter reduces the time needed to reach random matrix level statistics.

In Fig. 6 we show results both for the clean (\( W = 0 \)) and weakly disordered (\( W = 0.05 \)) chains, comparing level statistics and mutual information as we did for the other models in the main text. By applying a weak cutoff (keeping eigenvalues of \( \rho_A \) with magnitude \( \Lambda > 10^{-10} \)) we observe a sharp transition in the level statistics at times \( t = l/2 \) when the sharp light cone reaches the middle of the block, similarly to the random circuit case. Before this time the disordered model exhibits Poisson level statistics, also in agreement with our random circuit results. In the clean case, on the other hand, the average \( r \) ratio remains close to zero as long as the two edges are uncoupled. This is due to the fact that in this case the entanglement spectra at the two edges of the block are identical, leading to exact degeneracies in the block spectrum, as explained in the main text. The same behavior occurs also in the Hamiltonian case in the clean limit at short times.

![Fig. 6](image)

In Fig. 7 we show results with a stronger cutoff, keeping only RDM eigenvalues with \( \Lambda > 10^{-5} \), for different values of the transverse field \( h^z \). We find that, while the transition in the average \( r \) ratio always starts at the same time, set by the light cone velocity, it becomes less and less sharp at smaller transverse fields, and the time it takes for \( \langle r \rangle \) to reach the random matrix value increases. This can be interpreted by noting that time needed for the spectrum to become fully random matrix-like even at low energies should be controlled by the butterfly / Lieb-Robinson velocity, as detailed in Sec. II B which becomes smaller when \( h^z \) is decreased as observed previously in Ref.\(^2\).
FIG. 7. Average r ratio for the clean kicked Ising chain ($W = 0$), keeping RDM eigenvalues $> 10^{-5}$, for different transverse fields $h_z = 0.5, 0.6, 0.7$ (left to right). While the average r ratio starts growing when the strict light cone crosses half the block, the transition is much slower for smaller values of $h_z$, which we attribute to the decrease in the butterfly velocity.