The Optimal Selection of Radiotherapy Treatment for Hepatocellular Carcinoma

Ik Jae Lee and Jinsil Seong

Department of Radiation Oncology, Yonsei Liver Cancer Clinic, Yonsei University College of Medicine, Seoul, Korea

The majority of patients who present with hepatocellular carcinoma (HCC) are already at an advanced stage, and the tumors are unresectable. Radiotherapy (RT) technology can safely provide focused high-dose irradiation to these patients. A wide spectrum of RT technologies is currently available, including internal RT consisting of Yttrium-90 ($^{90}$Y), Iodine-131 ($^{131}$I) anti-ferritin antibody and Homium-199 ($^{199}$Ho) and external RT, such as three-dimensional conformal RT, intensity-modulated RT, helical tomotherapy, stereotactic body RT, and image-guided RT. However, it may be difficult for physicians to understand all of the available options and to select the optimal RT treatment. Physicians frequently query radiation oncologists on the practical indications of RT for managing patients with HCC. According to the Korean Liver Cancer Study Group practice guidelines, RT is considered appropriate for unresectable, locally advanced HCC without extrahepatic metastasis, a Child-Pugh class A or B, and tumors that occupy less than two-thirds of the liver with level II evidence. In this review, we discuss the application of various RT modalities based on disease status and the detailed indications for RT according to the Barcelona Clinic Liver Cancer staging system. (Gut Liver 2012;6:139-148)

Key Words: Hepatocellular carcinoma; Radiotherapy

INTRODUCTION

Hepatocellular carcinoma (HCC) represents a critical issue in global health. Cancer statistics list this disease as the third most common cause of cancer-related deaths worldwide. According to guidelines of the American Association for the Study of Liver Diseases (AASLD), potentially curative therapies can treat the very early and early stages of the disease. However, less than 30% of HCC patients are detected with the disease in those stages. Another 20% of patients with terminal stage HCC receive recommendations for the best supportive treatment. Since HCC is unresectable in the majority of patients at the time of the first diagnosis, patients are often directed to nonsurgical treatments. Physicians have long overlooked radiotherapy (RT) for HCC as radiation might induce fatal hepatic toxicity at doses lower than the therapeutic doses. However, such limitation has been overcome by recent developments in RT technology involving precise delivery of focused high-dose on partial volume of the liver. According to the Korean Liver Cancer Study Group (KLCSG) practice guidelines, RT is considered appropriate for unresectable, locally advanced HCC without extrahepatic metastasis, Child-Pugh class A or B, and tumors occupying less than two-thirds of the liver. The evidence level is upgraded from level III in the 2003 version to level II in the 2009 KLCSG guidelines. In this review, we will first describe the application of various RT modalities by disease status. Then, we will discuss the indications for RT according to the Barcelona Clinic Liver Cancer staging system as it currently serves as the basis for treatment decisions.

APPLICATION OF VARIOUS RT MODALITIES

There are several strategies that may be used to deliver radiation to HCC. Currently, a variety of RT modes are available, which range from internal RT such as yttrium-90 ($^{90}$Y) to...
external RT such as three-dimensional conformal RT (3D-CRT) and intensity modulated RT (IMRT). While some techniques are machine-dependent and involves protons, a modality which combines IMRT and image guided RT (IGRT) may also be possible within a typical RT machine. 3D-CRT is the most commonly used platform of RT technology (Fig. 1).²⁵,²⁶

**INTERNAL RT**

RT could be classified to internal and external RT. Internal RT using regional radionuclide therapeutic options are increasingly available for HCC patients and appears promising. Radiolabeled antibodies used by Pressman for radioimmunodetection of tumors showed that iodination with Iodine-131 (¹³¹I) did not destroy antibody activity and has resulted in tumor remissions in diverse cancers.²¹,²² Order et al.²¹ evaluated the efficacy of ¹³¹I anti-ferritin antibody and systemic CTx in treating HCC. Early attempts using monoclonal ¹³¹I anti-ferritin antibody were not successful, as outcomes were no better than with chemotherapy.²³ Tumor-specificity and tumor-retention remain challenges in radioimmunotherapy. ⁹⁰Y, a pure β-emitter, decays to stable zirconium-90 with a physical half-life of 2.7 days. Radioembolization with ⁹⁰Y represents a novel form of liver-directed brachytherapy.²⁴ This approach can be applied to unresectable HCC. It also may be used for the treatment of unresectable HCC in patients with branch/partial portal vein thrombosis (PVT). A preliminary safety analysis in 15 patients with unresectable HCC and PVT without cavernous transformation has been reported.²⁷ Kulik et al.²⁸ evaluated phase II study about the safety and clinical benefit of radioembolization in a larger cohort of patients with unresectable HCC complicated by PVT. Homium-199 (¹⁹⁹Ho), mostly beta and a little gamma emission with a half-life of 26.8 hours, has also been tried in chitosan complex form either intratumorally or transarterial approach. Percutaneous intratumoral Holmium injection showed excellent tumor control in HCCs with complete response rate 77.5% for tumors smaller than 3 cm and 91.7% for smaller than 2 cm.²⁹ Intraarterial approach also showed promising result in tumors smaller than 5 cm.²⁵,²⁶

**EXTERNAL RT**

1. **3D-CRT**

3D-CRT which involves shaping of the profile of each radiation beam to fit the profile of the target from the beam’s eye view (BEV), uses a multi-leaf collimator (MLC) and a various number of beams. For the 3D-CRT, computed tomography (CT) scan images should be taken in the treatment position, and clinical target volume and target volume for RT needs to be delineated. The target is localized by establishing the positions of several optical markers relative to the target volume in a CT simulator.

Several factors should be considered when treating liver tumors with RT. First, the proximity of the liver to other radiosensitive organs should be considered, such as the duodenum, colon, small intestines, and kidneys according to Couinaud’s segmentation. Park et al.²⁶ reported that 26 of 47 patients (55.3%) who were irradiated on the right lobe only developed acute morbidity such as nausea and vomiting, and 11 of 12 patients (91.7%) who were irradiated on the left lobe developed acute morbidity. In our previous report on 50 HCC patients treated with 3D-CRT combined with transcatheter arterial chemoembolization (TACE), 7 patients developed gastro-duodenal side effects, 6 patients developed radiation-induced liver disease (RILD). One of them received the treatment for a tumor located in segment 5 of the liver and then developed subacute colitis.²¹

The second factor involves the liver and tumor movement along with respiration. When applying 3D-CRT without image-guided technology, cephalo-caudal movement of the target organ should be considered. Reducing respiratory motion can be attempted by abdominal compression which can decrease the target margins.

2. **IMRT**

IMRT, an advanced 3D-CRT, uses non-uniform beam intensity patterns with computer-aided optimization to achieve superior dose distribution.²² As it can change the intensity of individual rays within each beam, IMRT allows greater control of dose distribution and improves the ability to cover the treatment volume to concave tumor shapes. Cheng et al.²² compared
dose-volume data between 3D-CRT and IMRT for patients with HCC. They found that IMRT achieved a large dose reduction in the spinal cord and spared the kidneys and stomach. IMRT exerted diverse dosimetric effects on the liver, significantly reducing the normal tissue complication probability (p=0.009), but significantly increasing the mean dose compared with 3D-CRT (p=0.009).

Helical tomotherapy (HT), another kind of fusion technology that combines IMRT and IGRT, provides better dose coverage for tumors, thanks to its 360° beam arrangement and helical delivery of radiation. Some studies have reported improved sparing of adjacent normal organs when using HT in various tumors, and HT offers increased dose conformity to the tumor and reduces doses delivered to sensitive structures. For liver tumors, HT could increase the dose conformity to the tumor with PVT and reduce the doses delivered to the non-cancerous parts of the liver. Fig. 2 is a case of a 57-year-old man diagnosed HCC with PVT and an underlying chronic B viral hepatitis. He was treated with concurrent intra-arterial chemotherapy and HT (50 Gy/20 fractions) and received intra-arterial chemotherapy for 1 year. The main mass and PTV disappeared and he was followed with no evidence of disease until 30 months after completion of concurrent chemoradiotherapy (CCRT). Although he had recurred on the intrahepatic parenchyma after 30 months, he has been well with the disease after two times of TACE and radiofrequency ablation (RFA) once. In a dose-distribution comparison study of 3D-CRT, linac-based IMRT, and HT, we found that HT decreased high-dose radiation to certain critical structures like the stomach, whereas the mean hepatic dose increased.

3. SBRT

SBRT offers a technique designed to very precisely deliver radiation to tumors anywhere in the body. The word “stereotactic” pertains to the precise positioning of a tumor in relationship to the body. The technology used in SBRT allows the delivery of external beam radiation with pinpoint accuracy. Such advancement in the accuracy of radiation treatments allows the delivery of higher doses of radiation, thus potentially improving the likelihood of killing cancer cells of a tumor. Another benefit to improved accuracy means that treatments require less time. Typically, SBRT consists of three to five treatments which are carried out over one to two weeks. The precision associated with SBRT simultaneously helps reduce the dose of radiation to normal tissue around a tumor, thus helping to reduce side effects for patients. Many studies, including the present one, have shown a dose-response relationship between conventional RT doses and responses in HCC.

Seo et al. reported on the toxicity and efficacy of SBRT for the treatment of localized HCC in the absence of another standard treatment option. They administered SBRT dosages (33 to 57 Gy in three or four fractions) according to tumor volumes (median, 40.5 mL). They reported 2-year overall survival as 61.4%, local progression-free survival rates 66.4%, and a local response rate 63% at 3 months after SBRT. They found a high radiation dose independently related to survival. Furthermore, they reported a decline in liver function in six patients (16%) and Grade 3 musculoskeletal toxicity in one patient (2.7%). They suggested SBRT technique as a salvage treatment.

Louis et al. evaluated 25 HCC patients who were not eligible for other modalities. A total dose of 45 Gy in three fractions of

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**Fig. 2.** The case of a 51-year-old man diagnosed with a huge left hepatocellular carcinoma (15 cm) and portal vein thrombosis. He was treated with concurrent intra-arterial chemoradiotherapy and received 6 cycles of intra-arterial chemotherapy. The patient underwent a left lobectomy 15 months after treatment and showed complete pathologic remission. (A) The computed tomography images at the initial presentation, (B) after 1 month, and (C) showing a partial response after 15 months.
15 Gy each was prescribed to the 80% isodose line (95% of the PTV received 45 Gy) and delivered to the target volume over 10 to 12 days. The actuarial 1- and 2-year local control rate was 95%. Overall 1- and 2-year actuarial survival rates were 79% and 52%, respectively. Several prospective studies have been conducted. Tse et al. reported outcomes of a phase I study of individualized SBRT for unresectable HCC and intrahepatic cholangiocarcinoma (iHC). The patients were treated with six-fraction SBRT during 2 weeks. Median survival of HCC and iHC patients was 11.7 months and 15.0 months, respectively. A Phase I dose escalation trial of SBRT for primary HCC at Indiana University showed one and 2-year overall survivals of 79% and 60%, respectively.

4. IGRT

IGRT indicates the process of frequent two- and three-dimensional imaging during a course of radiation treatment to check physical uncertainties related to setup variation, organ movement, and tissue deformation. Target localization systems control such uncertainties, and the tools of images for IGRT include kilovoltage radiograph fluoroscopy, conebeam CT (CBCT), and megavoltage CT (MVCT). It is essential to use IGRT for precise RT such as IMRT and SBRT. SBRT entails the stereotactic delivery of ablative doses of radiation to a target/tumor volume, and it typically uses very tight margins to minimize collateral damage to critical structures and organs. Therefore, a robust immobilization device is crucial to ensure a reproducibly accurate set-up, allowing a tighter margin expansion for planning treatment volume. Furthermore, tumors in the liver are subject to respiratory motion, which must be controlled and accounted for during CT simulation, treatment planning, and treatment delivery.

PARTICLE BEAM THERAPY

1. Proton therapy

Proton therapy, a type of positively charged particle therapy, has a unique dose distribution that makes it suitable for treatment of deep tumors surrounded by normal structures, thanks to the unique physical characteristics of the depth-dose curve. Proton depth-dose curves show an exponentially decreasing energy deposition with increasing depth in tissue after a short build-up. By contrast, protons particles deposit their radiation energy as they slow down, and show a dose peak (Bragg peak) at a well-defined depth in tissue. Consequently, this results in no exit dose. This has advantages such as a lower dose in the entrance region than the dose delivered to the tumor regions even when using a single treatment angle. The second advantage, owing to the finite range and sharp distal fall-off, a radiation dose can be directed to a critical structure. Several authors reviewed clinical outcomes of HCC patients treated with proton therapy. The literature includes two prospective phase II studies on proton therapy for HCC. Bush et al. performed a study with 34 patients with locally unresectable HCC. The total dose included 63 cobalt Gy equivalents, administered in 15 fractions over 3 weeks. Three patients experienced duodenal or colonic bleeding. A 2-year actuarial local control rate of the treatment was 75%, and an overall survival rate was 59%. Kawashima et al. performed a phase II study of proton therapy for HCC patients, and reported a 2-year actuarial local progression-free rate of 96% and a 2-year actuarial overall survival rate of 66%. However, there are limited data regarding the efficacy of this treatment on HCC.

RT ACCORDING TO BCLC STAGE

The BCLC staging system, which is endorsed by the AASLD and European Association of Study of Liver (EASL), provides both tumor staging and treatment guidelines. For early stage HCCs, for example, resection, liver transplantation, or percutaneous ablation is recommended. Patients in intermediate or advanced stages are considered for palliation.

1. BCLC stage 0 or A

For small, solitary HCC lesions with BCLC stage 0 or A, the first treatment choice is local ablation such as RFA or percutaneous ethanol injection therapy (PEIT). However, the areas right below the hepatic dome and adjacent to the main portal vein are particularly susceptible to complications with other local ablation therapies. When these options are limited by technical difficulties in patients who are inoperable or refuse surgery, TACE has been widely used in Asian countries. Recently, there are several reports that SBRT also can be an appropriate alternative or adjuvant (Fig. 1). Andolino et al. evaluated the safety and efficacy of SBRT for the treatment of primary HCC. Sixty patients with liver-confined HCC were treated with SBRT and the median follow-up time was 27 months. The 2-year local control, progression-free survival, and overall survival were 90%, 48%, and 67%, respectively, with median time to progression of 47.8 months. They showed SBRT is a safe, effective, noninvasive option for patients with HCC ≤6 cm.

2. BCLC stage B

For BCLC B, TACE is recommended. The efficacy of TACE has been reported for enhancing survival of patients with BCLC in
intermediate stage. However, the effect of TACE limited by vascular shunting, recanalization around the tumor capsule, as well as development of multiple feeding vessels. TACE was repeatedly performed to overcome the limitation. However, it frequently results in outgrowth of HCCs refractory to TACE. Instead, RT can provide a complementary effect.

The effect of RT in addition to TACE has been investigated by comparing TACE followed by RT vs TACE only or repeated TACE. The result showed a significant improvement in overall survival with TACE combined with RT. Shim et al. used RT following incomplete responses to TACE and reported response rates greater than 60%. Of 73 patients with HCC with an incomplete response to TACE, 38 patients received RT and 35 received repeated TACE alone. Patients treated with RT showed a sig-

**Table 1.** A Summary of the Definition of BCLC Stages and the Results of Radiotherapy

| BCLC stage* | Okuda stage | Definition | Liver function/PST | Applications of radiation | Median survival |
|-------------|-------------|-----------|--------------------|--------------------------|----------------|
| Very early stage (0) | 0 | Single <2 cm Carcinoma in situ | Child A/0 | SBRT alone or TACE+SBRT | 44.4<sup>46</sup> |
| Early stage (A) | 1-2 | Single to 3 nodules, <3 cm | Child A-B/0 | TACE+RT | 16-20<sup>39,65</sup> |
| Intermediate stage (B) | 1-2 | Multinodular | Child A-B/0 | TACE+RT | |
| Advanced stage (C) | 1-2 | Portal invasion, N1, M1 | Child A-B/1-2 | CCRT→iA CTx | 15.2-16.7<sup>60,66</sup> |
| Terminal stage (D) | 3 | Disseminated | Child C/>2 | Palliative RT | 2-6<sup>10,15,62</sup> |

BCLC, Barcelona Clinic Liver Cancer; PST, performance status; SBRT, stereotactic body radiotherapy; TACE, transcatheter arterial embolization; RT, radiotherapy; CCRT, concurrent chemoradiotherapy; iA CTx, intra-arterial chemotherapy.

**Fig. 3.** Radiotherapy according to the Barcelona Clinic Liver Cancer stage. Inoperable, not feasible for curative treatment, or locally recurring hepato cellular carcinoma (HCC) can be managed using radiotherapy. Solitary tumors distant from the gastrointestinal tract and kidneys with a tumor volume <100 cm<sup>3</sup> are eligible for stereotactic body radiotherapy (SBRT). PST, performance status; PEI, percutaneous ethanol injection; RF, radiofrequency; TACE, transcatheter arterial embolization; CCRT, concurrent chemoradiotherapy; iA CTx, intra-arterial chemotherapy.
nificant improvement in 2-year survival rate (37% vs 14% for TACE plus RT vs TACE alone, p=0.001). The survival difference was greater in patients with large tumors: 2-year survival rates in TACE plus RT versus TACE alone were 63% vs 42% in 5 to 7 cm tumors, 50% vs 0% in 8 to 10 cm tumors, and 17% vs 0% in tumors larger than 10 cm, respectively. Other investigators have reported a similar range of response rates with TACE followed by RT.

For multiple nodular lesions, repeated TACE is a common treatment option. However, with an increasing number of TACE procedures, incomplete TACE triggers tumor hypoxia, subsequently resulting in HCC either refractory to the treatment or facilitating intra- or extra hepatic metastasis. For focal HCC, delivery of concurrent RT can improve local control. In patients presenting with a large tumor and multiple small nodules, TACE can effectively control the small lesions, while RT could be used to target the largest lesion. Koom et al. questioned the usefulness of local RT in multifocal HCC. In their report, patients with viable intrahepatic tumors not targeted with RT had worse survival than those treated with targeted RT; in patients with intrahepatic tumors treated with TACE but without targeted RT, outcomes were comparable to patients with a single tumor.

3. BCLC stage C

BCLC stage C represents a variety of disease spectrum, including metastasis, portal vein invasion, and performance 0 to 2. In this stage, sorafenib is suggested as the standard of care. Sorafenib is an orally-active inhibitor of multiple tyrosine kinases including vascular endothelial growth factor receptor and Raf/mitogen-activated protein kinase. The Sorafenib Hepatocellular Carcinoma Assessment Randomized Protocol (SHARP) and Asia-Pacific SHARP trial found that treatment of HCC patients with sorafenib resulted in improved overall survival. However, the gain in survival is modest and new treatment strategies are still needed. In a radiation oncologist’s view, BCLC stage C with portal vein invasion or lymph node involvement could be treated with RT when the tumor does not respond to sorafenib or chemoembolization or shows progression (Fig. 3). The KLCG practice guidelines suggest RT for locally advanced tumors with evidence of level II. Locally advanced HCC which is considered not amenable to surgical resection or immediate liver transplantation, should be locally advanced as defined by the BCLC intermediate stage (B) or the BCLC advanced stage (C) without extrahepatic spread, except regional lymph node involvement.

For patients with PVT, several studies reported promising response level of the 3D-CRT. Objective response ranged from 37.5% to 50%. Kim et al. reported that RT induced 45.8% objective response with a median survival time of 10.7 months in responders and 5.3 months in non-responders for PVT in patients with HCC. They found a dose-response relationship between the RT dose and PVT response. RT for advanced HCC may be used in combination with systemic agents. Han et al. reported localized CCRT followed by hepatic arterial infusion chemotherapy (HAIC) in patients with locally advanced HCC, PVT, and good reserve liver function. They observed an objective response in 18 of 40 patients (45%) and an actuarial 3-year overall survival rate of 24.1%. The same group updated the treatment outcomes in 101 patients, leading to a median survival of 16.7 months. In selected patients, CCRT can convert unresectable HCCs to resectable ones. In our institute, among 156 unresectable HCC patients who received CCRT, 14 patients (9%) underwent hepatic resection (Fig. 4). RT directed to the PVT area has been reported an objective response rate of 37.5% to 71.4%, with a median survival time of 6.7 to 10.7 months. Lin et al. analyzed the recanalization rate of PVT and treatment toxicity after SBRT or 3D-CRT in 14 patients in a prospective study with a total of 43 patients. The crude response rate was 79%, and the median survival time was 6.0 for the SRT group and 6.7 months for 3D-CRT group.

To determine the scope of the radiation field, our institute recommends that the field should cover the primary gross tumor, including PVT. Since this group of patients had already advanced disease, a high risk for failure can easily be expected.

Fig. 4. The case of a 57-year-old man diagnosed with hepatocellular carcinoma and portal vein thrombosis. He was treated with concurrent intra-arterial chemotherapy and helical tomotherapy (HT) (50 Gy/20 fractions) and received intra-arterial chemotherapy for 1 year. (A) Axial, coronal, and sagittal isodose distribution of HT, (B) Positron emission tomography–computed tomography (PET-CT) images before HT, (C) PET-CT images 2 years after HT.
In our retrospective study of 161 HCC patients treated by CCRT through hepatic arterial infusion, several factors were identified for predicting treatment failure. The pretreatment AFP ≥500 ng/mL was a significant factor influencing intrahepatic-outfield and extrahepatic failure, and ≤55 years age at diagnosis increased the incidence of extrahepatic failure. The previous treatment before CCRT was associated with infield failure.29

4. BCLC stage D

Patients with HCC in terminal stage D need full symptomatic palliation for local disease or distant metastasis. Palliative RT is indicated for metastasis to lymph node, bone, brain, or other sites. For lymph node metastases from HCC, Yoon et al.30 suggested that RT doses of 45 Gy or higher to achieve a significant response. Seong et al.31 reported an overall response rate of 79.5% in 39 patients. The response rate was 87.5% in patients receiving ≥40 Gy_{10} (biologically effective dose, alpha/beta=10) and 42.9% in patients receiving <40 Gy_{10} (p=0.02). Responders had a median survival time of 10 months, and non-responders had a response rate of 6 months (p=0.01). For bone metastasis, RT showed complete pain relief in 50% of patients and partial pain relief in 80% to 90%. Nakamura et al.32 evaluated the therapeutic effects of RT on spinal metastases from HCC. They reported the ambulatory rate of 85% after 3 months and 63% after 6 months, and the local progression-free rate was 53% after 3 months and 47% after 6 months. Brain metastasis from HCC is extremely rare. Choi et al.33 carried out a retrospective review of 62 patients. Seventeen of them were treated with whole-brain radiation therapy (WBRT) alone, 10 others with gamma knife surgery alone, 6 patients surgical resection only, and 5 patients with surgical resection followed by WBRT. The median survival time was 6.8 weeks (95% confidence interval, 3.8 to 9.8 weeks) since diagnosis of brain metastasis. Treatment modality, number of brain lesions, and Child-Pugh classification represented significant prognostic factors for survival.

SUMMARY AND CONCLUSION

As discussed in the review, RT can be a useful therapy for tumors in various stages according to the BCLC system. It can serve as a nonsurgical curative therapy for stage 0 or A. It also can be combined with other treatments such as TACE for stage B. For stage C, RT in combination treatment can prolong the survival time in selected patients who present locally advanced HCC associated with portal vein invasion but not distant metastasis. For patients with stage D tumors, RT can provide effective palliation.

A variety of new RT machines are currently available, which could make it difficult for physicians when determining their choice of treatment. Although 3D-CRT has been the standard mode, it is highly recommended to use a precision RT technology involving intensity modulation as well as image-guided one. In particular, IGRT is an essential component of the advanced RT process.

However, the superiority of these sophisticated technologies has not been proven in terms of survival benefits yet.31 Further clinical study in the radiation treatment of HCC is necessary to confirm its role in multidisciplinary management of HCC.

CONFLICTS OF INTEREST

No potential conflict of interest relevant to this article was reported.

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