Central density cusps in the Lemaître-Tolman solutions

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The character of the central density profile in the Lemaître-Tolman (LT) solutions plays a fundamental role in their application as cosmological models. This same character is studied here for these solutions used to model complete gravitational collapse. A necessary condition for the development of a black hole (not even locally naked singularities) is developed. This condition allows central density cusps, the central feature of the LT solutions when used to match cosmological observations without invoking the cosmological constant.

I. INTRODUCTION

Certainly the most widely used exact solution of the Einstein equations is that of spherically symmetric inhomogeneous dust, often referred to as the Lemaître-Tolman (LT) (and sometimes as the Lemaître-Tolman-Bondi (LTB)) model. One can find very detailed discussions of these solutions in some modern texts \cite{1}. There is a very extensive application of these models in cosmology \cite{2}, and their use in the study of nakedly singular gravitational collapse goes back at least 35 years \cite{3}. For general discussions of these models see \cite{1}, the earlier text \cite{4}, and \cite{5}. There are many more recent discussions of these models in some modern texts \cite{1}. There are many more recent discussions of gravitational entropy (which are of interest here) see \cite{6}, and for various considerations of gravitational entropy (which are of interest here) see \cite{7}.

One of the most interesting applications of the LT models in cosmology is the reproduction of observables of the ΛCDM model without Λ. The LT models that do this have central density cusps \cite{8}. Naturally, such behavior elicits two points of view: the density profiles are unphysical \cite{9}, and the density profiles are just fine \cite{10}.

The purpose of the present communication is to examine the role that the central density profiles play in gravitational collapse. Whereas the usual treatment of the LT models involves coordinates \((r, \theta, \phi, t)\), where \(r\) is some radial coordinate, \(\theta\) and \(\phi\) are the usual angular coordinates, and \(t\) is the proper time along the geodesic streamlines of the fluid, it is necessary for the present discussion (as explained below) to switch to coordinates \((m, \theta, \phi, t)\), where \(m\) is the effective gravitation mass \cite{11}. For clarity, the solution is developed from first principles in the next section (see also \cite{1}).

II. THE LT MODEL

Starting with Einstein’s equations \cite{12}

\[ G_{\alpha\beta} = 8\pi T_{\alpha\beta} = 8\pi \rho \ u_{\alpha}u_{\beta}, \]  

where the \(u^{\alpha}\) are tangent to the generators of the geodesic flow, we consider only positive definite energy densities \(\rho > 0\), and we consider synchronous coordinates so that

\[ ds^2 = e^{\alpha(m,t)} dm^2 + R^2(m,t) d\Omega^2 - dt^2 \]  

where \(d\Omega^2\) is the metric of a unit two-sphere, which we write in the usual form \(d\Omega^2 + \sin^2(\theta) d\phi^2\), and we assume the existence of an origin defined by \(R(0, t) = 0\) (and all \(t\) derivatives of \(R(0, t)\) = 0). The generators of the flow are \(u^{\alpha} = \delta^{\alpha}_t\) and the radial normals are \(n^{\alpha} = \pm e^{-\alpha/2} \delta^{\alpha}_m\) so that \(-u^{\alpha}u_{\alpha} = n^{\alpha}n_{\alpha} = 1\) and \(u^{\alpha}n_{\alpha} = 0\). From

\[ G_{\alpha\beta}u^\alpha n^\beta = 0 \]

we find

\[ e^{\alpha} = \frac{(R')^2}{1 + 2E} \]

where \(E\) is an arbitrary function \((> -1/2)\). For convenience, take

\[ M = \frac{R^3}{2} R_{\theta\phi}, \]

where \(R\) is the Riemann tensor and so \(M\) is the (invariantly defined) effective gravitational mass \cite{11}. We obtain \(m = M\) \cite{13}, with \(m\) given by

\[ R^2 = 2(E + \frac{m}{R}). \]

To solve Einstein’s equations we integrate \cite{14} (see below). The LT solutions have two independent invariants derivable from the Riemann tensor without differentiation. These can be taken to be

\[ R = 8\pi \rho \]

and

\[ w = \frac{24}{3} \left( 4\pi \rho - \frac{3m}{R^3} \right)^2 \]

where \(R\) is the Ricci scalar and \(w\) is the first Weyl invariant \((C_{\alpha\beta\gamma\delta} C^{\alpha\beta\gamma\delta})\) where \(C_{\alpha\beta\gamma\delta}\) is the Weyl tensor.

From \cite{14} and \cite{17} we arrive at

\[ 4\pi \rho(m, t) = \frac{1}{R^2 R}. \]
From (11) we have
\[ \lim_{m \to 0} \frac{R^3}{m} = \lim_{m \to 0} \frac{3R^2R'}{2} = \frac{3}{4\pi\rho(0, t)}. \] (10)

Further, it follows immediately from (8) (assuming, of course, some non-vanishing interval in \( t \) such that \( e^\alpha \neq 0 \)) that
\[ \lim_{m \to 0} E = 0. \] (11)

We are interested in the avoidance of naked singularities, and since these can only arise at \( m = 0 \) [14], we take \( E = 0 \), and consider \( E(m) \neq 0 \) an inessential complication to the considerations presented here. In the cosmological context, \( E(m) \neq 0 \) is an essential consideration.

It is clear from (7) and (9) that scalar polynomial singularities occur for
\[ R^2R' = 0. \] (12)

A “bang” (or “crunch”) occurs for \( R = 0 \). Shell crossing singularities occur for \( R' = 0 \). The conditions for their avoidance are well known. See [15] and [6].

For explicit expressions we now integrate (6) with \( E = 0 \) to obtain
\[ R = \left( \frac{9m}{2} \right)^{1/3}(t - T(m))^{2/3}, \] (13)
and so
\[ e^\alpha = \frac{(t - T - 2mT')^2}{(6m^2(t - T))^{2/3}}, \] (14)
\[ 2\pi\rho = \frac{1}{3(t - T)(t - T - 2mT')}, \] (15)
and
\[ w = \frac{28}{3^3(t - T)^2(t - T - 2mT')^2}. \] (16)

Now \( t \) has the freedom of a linear transformation and we restrict part of that freedom by setting \( T(0) = 0 \).

### III. GRAVITATIONAL COLLAPSE

We have
\[ 2\pi\rho(0, t) = \frac{1}{3t^2}. \] (17)

We take \( t \) increasing to the future. The model is non-singular for \( t < 0 \). The singularity (s) starts at \( m = t = 0 \) and propagates out to larger \( m \) according to
\[ t_s = T. \] (18)

Shell crossing singularities (sc) start at \( m = t = 0 \) and propagate out to larger \( m \) according to
\[ t_{sc} = T + 2mT'. \] (19)

To ensure that \( t_{sc} > t_s \) for \( m > 0 \) we take \( T' > 0 \) for \( m > 0 \) and so the streamlines of constant \( m \), which cannot be propagated through \( t_s \), never reach \( t_{sc} \) for \( m > 0 \). To ensure that \( \rho' < 0 \) for \( m > 0 \) we need
\[ t < T + \frac{mT'^2}{2T' + mT''} \] (20)
and so for the models considered here \( \rho' < 0 \) everywhere for \( m > 0 \) as long as
\[ T'' > 0 \] (21)
and so \( T \) must be concave up for \( m > 0 \).

### IV. VISIBILITY OF THE SINGULARITY

It is well known that both branches of the radial null geodesics converge for \( R < 2m_c \) [1]. The apparent horizon locus (ah) is therefore given by
\[ t_{ah} = T - 4m \frac{3}{3}. \] (22)

Since \( t_s > t_{ah} \) for \( m > 0 \) the singularity at \( t_s \) for \( m > 0 \) is not visible. However, for \( m = 0 \), \( t_s = t_{ah} \), and so there exists the possibility that radial null geodesics propagate from the singularity at \( m = t = 0 \) to larger \( m \). Since \( t_s'(0) \geq 0 \), in order to avoid null geodesics propagating from \( m = t = 0 \) we need \( t_{ah}'(0) < 0 \). We therefore have a sufficient local condition for the formation of a black hole [16]
\[ t_s'(0) < \frac{4}{3}. \] (23)

The sufficient global condition for the global visibility of the singularity at \( m = t = 0 \) is given by [17]
\[ t_s'(m) > \frac{26 + 15\sqrt{3}}{3}. \] (24)

### V. INITIAL CONDITIONS

From (15) it follows that
\[ \lim_{m \to 0} \frac{\partial \rho}{\partial m} \bigg|_{t=0} = \frac{16t_s'(0)}{3}. \] (25)

From (23) and (25) then the sufficient condition for the formation of a black hole can be stated as
\[ \lim_{m \to 0} \frac{\partial \rho}{\partial m} \bigg|_{t<0} < \left( \frac{8}{3} \right)^2 \frac{1}{t^2}. \] (26)
and from (24) and (25)

$$\lim_{m\to0} \frac{\partial\rho}{\partial m} |_{t<0} > \left( \frac{4}{3} \right) ^2 (26 + 15\sqrt{3}) \frac{1}{t^3} \tag{27}$$

is a necessary condition for the global visibility of the singularity. Note that because of the freedom that remains in $t$, (25) are indeterminate up to a multiplication factor $c$ where $c$ is a constant $> 0$. This is of no consequence here as $c$ can be set by by explicit choice of $\rho$ in (17).

Let us now compare the points of view given in [9] and in [10]. (In [9] an extra derivative was taken in order to obtain the invariant $\Box R$, upon which the arguments are based [18], as an undefined radial coordinate $r$ was used. This extra derivative is unnecessary here as $\frac{\partial a}{\partial m}$ is already invariantly defined.) Whereas the physical context here is different, the basic physical model is the same (by time inversion) and the basic physical arguments should apply. According to [9], $\lim_{m\to0} \frac{\partial a}{\partial m} |_{t<0}$ should be 0. This automatically wipes out the entire subject matter of shell focusing singularities. The point of view of [10] would allow the development of shell focusing singularities, in principle. Whereas it is tempting to rule out shell focusing singularities on the basis of some argument based on the allowed “cuspiness”, I will not do that here as I have no such argument. However, it is worth mentioning that in the cosmological context whereas the TL model can used to interpret current observations, there is no suggestion that the model should be used at early times. In contrast, in the collapsing counterpart, it would seem unreasonable to push the model all the way to the singularity, where all the interest lies, due to the equation of state. Since we are interested in matters of principle here, this line of argument will not be pursued.

VI. USE OF A COORDINATE $r$

The usual starting point for the considerations given here is

$$ds^2 = e^{\alpha(r,t)} dr^2 + R^2(r,t)d\Omega^2 - dt^2. \tag{28}$$

At first sight, it would appear that the development given here (in terms of $m$) is unnecessary. One need only introduce a suitably smooth transformation $m = m(r)$. However, the arguments given here involve two distinct types of relations: relations like $m$ which involve derivatives on both sides of the equation, and relations like $m$ which do not. The first type allow a smooth transformation from $m$ to $r$ as the independent variable. The latter do not. Let us write $s$ as any of $s$, $sc$ or $ah$. Then since

$$\frac{dt_s}{dr} = \frac{dt_s}{dm} \frac{dm}{dr}, \tag{29}$$

any information contained in $dt_s/dm$ is lost whenever $dm/dr = 0$ if we rely only on $dt_s/dr$. For example, from (24) we have

$$\lim_{r\to0} \frac{dt_s}{dr} = 0 \tag{30}$$

as the sufficient local condition for the formation of a black hole. Relying on (30), we could draw the erroneous (and entropically unfavorable) global conclusion that black holes in the TL model must have a constant bang time $t_b$. In Figure 1 I construct a simple counterexample to such a claim by considering $t_s = m^2$, a case both [9] and [10] would accept.

![FIG. 1. Complete gravitational collapse to a black hole for the case $t_s = m^2$. The thick solid curve is $t_s$, $t_{sc} = 5m^2$ and is shown dotted. $t_{ah} = m^2 - 4m/3$ and is shown dashed. $\rho' < 0$ for $t < 5m^2/3$ shown in the dash dot curve. The other curves are curves of constant $R$. This shows complete gravitational collapse to a black hole for a case that has a variable bang time. Junction can (but need not) be made onto the Schwarzschild vacuum at any $m > 0$.](image)

VII. CONCLUSION

By using the effective gravitational mass as a coordinate in the LT solutions, a local sufficient condition for the development of a black hole has been developed. This condition allows central density cusps, the central feature of the LT solutions when used to match cosmological observations without invoking the cosmological constant.

ACKNOWLEDGMENTS

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[1] See, for example, J. Plebański and A. Krasiński, *An Introduction to General Relativity and Cosmology* (Cambridge University Press, Cambridge, 2006).

[2] See, for example, K. Bolejko, A. Krasiński, C. Hellaby and M-N Célérier, *Structures in the Universe by Exact Methods* (Cambridge University Press, Cambridge, 2009).

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[7] R. Sussman and J. Larena, Class. Quant. Grav. 31, 07502 (2014), (arXiv:1310.7632 [gr-qc]).

[8] See, for example, M. Célérier, Astronom. Astrophys. A71 (2012) (arXiv:1108.1373 [astro-ph.CO]).

[9] R. Vanderveld, E. Flanagan and I. Wasserman, Phys. Rev. D 74, 023506 (2006), See also (arXiv:0904.4319).

[10] See [8] and A. Krasiński, C. Hellaby, K. Bolejko and M. Célérier, Gen. Rel. Grav. 42, 2453 (2010) (arXiv:0903.4070 [gr-qc]).

[11] W. C. Hernandez and C. W. Misner, Astrophys. J. 143, 452 (1966), M. E. Cahill and G. C. McVittie, J. Math. Phys. 11, 1360 (1970), E. Poisson and W. Israel, Phys. Rev D 41, 1796 (1990), T. Zannias, Phys. Rev. D 41, 3252 (1990), S. Hayward, Phys. Rev. D 53, 1938 (1996), (arXiv:9408002 [gr-qc]).

[12] We use geometrical units, a signature of +2 and designate functional dependence usually only on the first appearance of a function. Throughout, \( \prime = \partial / \partial m \) and \( \dot{} = \partial / \partial t \). Note that no series approximations are made here, something rather common to the study of LT models.

[13] Because of our choice of gauge, \( m \) must increase monotonically away from the origin and so our coordinates do not allow vacuum as a subcase nor can we cover regular maxima as discussed \( \Pi \). Neither limitation is of any concern here.

[14] K. Lake, Phys. Rev. Lett. 68, 3129 (1992).

[15] C. Hellaby and K. Lake, Astrophysical Journal 290, 381 (1985) (errata Astrophysical Journal, 300, 461 (1986)).

[16] By the term “black hole” I mean that the singularity at \( m = t = 0 \) is not even locally naked.

[17] This number can be traced all the way back to [8]. For a recent general consideration see S. Jhingan and S. Kaushik, Phys. Rev D 90, 024009 (2014), (arXiv:1406.3087 [gr-qc]).

[18] The arguments in [9] are based on the claim that \( \Box R \rightarrow \infty \) if there is any cusp in the central density. I am unaware of any published form of \( \Box R \). It is a complicated object, and difficult to take limits of using a radial coordinate. However, using the coordinate \( m \), I find

\[
\lim_{m \to 0} \Box R = -\frac{8}{3} \frac{1}{(t - T(0))^4}
\]

The conclusion is that this invariant in fact tells us nothing about density gradients at the origin. Moreover, with our choice \( T(0) = 0 \), the invariant is regular for \( t < 0 \).

[19] This erroneous conclusion has been drawn recently by P. Joshi and D. Malafarina in [arXiv:1405.1146] [gr-qc].

[20] This package runs within Maple. The GRTensorII software and documentation is distributed freely from the address http://grtensor.org