Comparison of Functional Outcomes after Powered Intracapsular Tonsillectomy with Adenoidectomy and Conventional Extracapsular Tonsillectomy with Adenoidectomy for Pediatric Obstructive Sleep Apnea

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Background and Objectives This study was investigated to compare the clinical outcomes and complications of powered intracapsular tonsillectomy and adenoidectomy (PITA) with those of conventional extracapsular tonsillectomy and adenoidectomy (ECTA) in treatment of pediatric obstructive sleep apnea (OSA).

Subjects and Method Eighty-one children with adenotonsillar hyperplasia underwent tonsillectomy and adenoidectomy (46 PITA and 35 ECTA) from December 2016 to July 2017 for OSA. The choice of surgical procedure (PITA vs. ECTA) was determined by the number of episodes of acute tonsillitis. The quality of life measurement was performed using Korean Obstructive Sleep Apnea Survey (KOSA-18) score. Postoperative bleeding was assessed as number of revisit or readmission. Postoperative pain was evaluated by visual analog scale (VAS) at postoperative day 1, 3, and 7.

Results PITA and ECTA significantly reduced KOSA-18 scores postoperatively ($p<0.01$, $p<0.01$, respectively) and were equally effective in pediatric OSA treatment. Postoperative bleeding was lower in the PITA group, but there was no statistical difference due to small number of cases. VAS score for postoperative pain was significantly lower in the PITA group at day 1, 3, and 7 ($p=0.03$, $p<0.01$, and $p<0.01$, respectively).

Conclusion PITA is effective in pediatric OSA treatment with less morbidity, and less complications.

Key Words Pediatrics · Postoperative complications · Sleep apnea, obstructive · Surgical procedures, operative · Tonsillectomy.

Introduction

Tonsillectomy has been widely done for many years in the otolaryngologic fields. Complications of this operation are bleeding, dehydration, pain, and oral feeding discomfort. Conventional extracapsular tonsillectomy and adenoidectomy (ECTA) means total removal of tonsillar tissue, including capsule of tonsils. On the other hand, intracapsular tonsillectomy preserves the tonsillar capsule, which results in less postoper-
ative pain and faster recovery than ECTA. There are several technique used for intracapsular tonsillectomy; however the use of a powered microdebrider to remove tonsils was first reported by Koltai, et al. In this technique, the microdebrider removes tonsil, with leaving the lymphatic tissue on the capsule. This preserved tissue and tonsillar capsule function as a “biologic dressing,” which protects the pharyngeal muscles overlying the tonsillar fossa. However, powered intracapsular tonsillectomy and adenoidectomy (PITA) is not performed in patients with recurrent tonsillitis due to the possibility of residual tonsils.

The large tonsils and adenoids can obstruct the pharyngeal airway and consequently cause obstructive sleep apnea (OSA), which is especially important in children. Therefore, adenotonsillectomy is an effective treatment for pediatric OSA and the application of PITA for the treatment of OSA has been reported in many studies. However, the efficacy of PITA is still on debate. There is also a lack of studies that directly compare PITA using microdebrider and ECTA’s functional outcomes let alone prospective studies.

The study was done to compare the clinical outcomes and complications of PITA with those of ECTA in treatment of pediatric OSA. We hypothesized that PITA is an effective, safe and less painful treatment in pediatric OSA patients and we want to prove these advantages by comparing with ECTA.

Subjects and Method

Study population

A prospective study was conducted following the approval of the Institutional Review Board at Korea University Medical Center (No. MD16063-001). Eighty-one children undergoing tonsillectomy and adenoidectomy for OSA (46 in the PITA and 35 in the ECTA group) at Korea University Guro Hospital were enrolled in the study from December 2016 to July 2017. The choice of surgical procedure (PITA vs. ECTA) was determined by the number of episodes of acute tonsillitis. Children with a clear history of recurrent tonsillitis (i.e., 7 episodes/year over 1 year; 5 episodes/year for 2 years or 3 episodes/year over 3 years) underwent ECTA, on the other hand, children with OSA and no clear history of recurrent tonsillitis underwent PITA. Children with neurological deficits or craniofacial anomaly were excluded in this study. We examined the size of the tonsils and adenoids of all patients and graded using Friedman Grading Scale and Clemens & McMurray Grading Scale.

Surgical technique

All children underwent adenotonsillectomy under general anesthesia. McVor mouth gag was used for better exposure. To avoid of the uvula injury, rubber tube was inserted into the nasal cavity, and it retracted uvula. PITA was performed by using a microdebrider (Medtronic Xomed Inc., Jacksonville, FL, USA). This device was turned to the oscillating mode with a speed 1500 rpm for intracapsular tonsillectomy. Dissection was done until the internal capsule plane was exposed. On the other hand, tonsil was removed using a monopolar electrocoagulator (Valleylab Inc., Jacksonville, FL, USA) in the ECTA group.

Adenoidectomy was done by using microdebrider in both groups. Bleeding control was done by bipolar electrocoagulator for the tonsillectomy and by packing gauze soaked with 10% hydrogen peroxide for the adenoidectomy. The soaked gauze was removed after 15 minutes. Posterior nasal packing made by Merocel® (polyvinyl acetate sponge, Medtronic Xomed Inc.) was performed at the end of the operation and was removed 6 hours after surgery.

Outcome assessment

We measured the efficacy of treatment by using Korean Obstructive Sleep Apnea-18 Survey (KOSA-18) to the parents before and 1 month after surgery. KOSA-18 is a questionnaire designed to assess the quality of life associated with sleep in children. KOSA-18 consists of 18 questions, which are divided into five subgroups of sleep, physical symptoms, emotional symptoms, daytime life, and caregiver status, ranging from 1 (none) to 7 (always), so the total score is evaluated from 18 to 126. According to the total KOSA-18 score, it is considered that there is mild (<60), moderated (60–80), and severe (>80) decline quality of life.

We classified postoperative complications into two aspects-tonsillectomy site bleeding and postoperative pain. Bleeding was assessed as number of revisit, readmission and/or reoperation to control bleeding. Postoperative pain was evaluated by visual analog scale (VAS) to the parents in the 1, 3, and 7 days after operation.

Statistical analysis

Statistical analysis was performed using SPSS version 22.0 software (SPSS Inc., IBM Company, Armonk, NY, USA). The age, OSA-18 score, and VAS score were analyzed by the Mann-Whitney U-test or Wilcoxon signed Ranks test. The sex, size of tonsil and adenoid, and the number of cases presented with
bleeding were analyzed by the chi-square test or Fisher’s exact test. Statistical significance was defined as a \( p < 0.05 \).

**Results**

A total of 81 patients participated in the study, 46 of whom were in the PITA group, and 35 of whom were in the ECTA group. Demographic characteristics of patients were shown in Table 1. There was male dominant in both groups. A mean age of patients was 7.1 years in PITA group and 8.3 years in ECTA group. However, there was no statistical difference between the two groups for age and sex. There was a statistical difference between two groups in terms of tonsil size. Tonsil size of grade 3 or 4 was more common in the PITA group, but there was no difference in adenoid size between two groups (Table 1). Polysomnography was not performed on any of the subjects.

The severity of OSA was assessed by measuring the patients’ quality of life using the KOSA-18 questionnaire. The preoperative KOSA-18 score was 73.8 in the PITA group, and 58.6 in the ECTA group. However, there was no statistically significant difference of preoperative KOSA-18 between PITA and ECTA group (\( p = 0.08 \)). The postoperative KOSA-18 score was 28.5 in the PITA group, and 33.2 in the ECTA group respectively. Significant reduction of KOSA-18 scores was shown in the both groups after operation (\( p < 0.01, p < 0.01 \) respectively; Fig. 1).

Postoperative pain was evaluated by VAS score. The average score at postoperative day 1, 3, and 7 were significantly lower in the PITA group compared to the ECTA group (\( p = 0.03, p < 0.01, \) and \( p < 0.01 \) respectively; Fig. 2).

Postoperative bleeding was 1 case in the PITA group, and 2 cases in the ECTA group (Table 2). All 3 cases had bleeding on tonsillectomy sites. One case in the ECTA group needed readmission to control bleeding, but there was no case of reoperation. Although bleeding was more common in the ECTA group, there was no significantly difference.

**Discussion**

Because obstruction of the airway due to hypertrophy of the tonsils and adenoids is the main cause of OSA in children, adenotonsillectomy is the first treatment option in pediatric OSA. It can relieve airway obstruction associated with sleep.\(^{12,13}\)

Several attempts have been made to perform surgery using different tools and technology. However, there is still a dilemma for efficacy and safety.\(^{14}\) The first introduction of PITA was reported by Koltai, et al.\(^{3}\) and several studies have proven the effectiveness and safety of this procedure.\(^{2,14}\)

Sleep apnea is closely related to quality of life because it induces daytime sleepiness and depressive mood.\(^{15,16}\) In our study, we found that KOSA-18 scores were significantly reduced regardless of intracapsular or extracapsular tonsillectomy. It is consistent with the previous meta-analysis study.\(^{13}\) These results showed that quality of life was improved after surgery in pediatric patients. Although it is a subjective evaluation method, it can be considered as a meaningful result.

Because tonsillar capsule is left during PITA, the underlying vessels can be preserved. Therefore, PITA reduces the risk of postoperative bleeding.\(^{17}\) Incidence of postoperative

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**Table 1. Patient characteristics (n=81)**

| Characteristics | PITA (n=46) | ECTA (n=35) | p-value |
|-----------------|------------|-------------|---------|
| Mean age ± standard deviation (min-max) | 7.1 ± 2.2 (4–12) | 8.3 ± 2.9 (3–16) | 0.067 |
| Number of males (%) | 28 (60.9) | 23 (65.7) | 0.655 |
| Tonsil size, number (%) | | | |
| I | 1 (2.2) | 2 (5.7) | | |
| II | 0 (0) | 4 (11.4) | | |
| III | 9 (19.6) | 13 (37.1) | | |
| IV | 36 (78.3) | 16 (45.7) | | |
| Adenoid size, number (%) | | | 0.124 |
| I | 2 (4.3) | 4 (11.4) | | |
| II | 9 (19.6) | 11 (31.4) | | |
| III | 21 (45.7) | 16 (45.7) | | |
| IV | 14 (30.4) | 4 (11.4) | | |

*\(p < 0.05\), PITA: powered intracapsular tonsillectomy and adenoidectomy, ECTA: extracapsular tonsillectomy and adenoidectomy.

**Fig. 1.** Preoperative and postoperative KOSA-18 scores in PITA and ECTA groups. *\(p < 0.05\). PITA: powered intracapsular tonsillectomy and adenoidectomy, ECTA: extracapsular tonsillectomy and adenoidectomy, KOSA-18: Korean Obstructive Sleep Apnea-18 Survey.

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bleeding was lower in the PITA group compared with the ECTA group, but there was no statistical difference in this study. But bleeding was significantly less in the PITA group in a previously published study.\(^1\) This difference is probably because our study was small-sized.

Tissue between tonsil and pharyngeal muscle acts a role in protecting the muscle from thermal damage caused by electrocautery.\(^2,4\) Postoperative pain is caused by damage to the tonsillar fossa musculature.\(^1\) Damage of musculature can be minimized during PITA. Our study found significant decrease of VAS score in the PITA group. Less pain is important because it enables an earlier return to normal diet and life after surgery.\(^4,18\)

Our study showed patients who received PITA had less postoperative pain. However, as the choice of surgical procedure was determined by the number of episodes of acute tonsillitis, it can be suspected that the ECTA group was more susceptible to postoperative pain. A study by Zagólski, et al.\(^19\) shows that the general perception of patients with recurrent tonsillitis having more post-operative pain may be due to intra-operative trauma rather than past medical history. When patients with or without recurrent tonsillitis received the same surgical technique which eliminated forceful dissection, the group with recurrent tonsillitis experienced less pain. Thus it can be argued that the PITA group experienced less pain due to its surgical procedure.

Our study has several limitations. Although the gold standard diagnostic method of OSA is polysomnography, we diagnose OSA subjectively using history taking, physical examination, and questionnaire. Polysomnography is expensive and difficult to perform for younger children because they can’t cooperate. Second, we followed up patients until 1 month after surgery in outpatient, so our study did not include long-term outcome of PITA. PITA has several advantages because it preserves the tonsillar capsule, but it may lead to a residual tonsil tissue. This residual tonsil enables regrowth and can cause repeated tonsillitis and recurrent of OSA. Therefore, long-term outcome is important, but it was not evaluated in this study. Long-term studies in larger group patients are needed. Third, as complete blindfold could not be achieved by study design, there may be some placebo effect in place. Fourth, as patients with a history of recurrent tonsillitis was enrolled for ECTA rather than PITA, the study may be influenced by selection bias.

In conclusion, PITA is shown to be effectiveness and efficiency in pediatric OSA with fewer complications. Especially, when PITA was performed, there was less postoperative pain, which allowed the children to have a faster return to normal diet and activity after surgery. PITA should be considered more in the treatment of pediatric OSA patients because it is a procedure that gives more satisfaction to both parents and children.

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Author Contribution

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