DETECTION OF PRESHOCK DENSE CIRCUMSTELLAR MATERIAL OF SN 1978K

YOU-HUA CHU
Astronomy Department, University of Illinois, 1002 West Green Street, Urbana, IL 61801; chu@astro.uiuc.edu, caulet@astro.uiuc.edu

MARCOS J. MONTES
Naval Research Laboratory, Code 7212, Washington DC 20375-5320; montes@rsd.nrl.navy.mil

NINO PANAGIA
Space Telescope Science Institute, 3700 San Martin Drive, Baltimore, MD 21218; panagia@stsci.edu

SCHUYLER D. VAN DYK
Infrared Processing and Analysis Center/Caltech, Mail Code 100-22, Pasadena, CA 91125; vandyk@ipac.caltech.edu

AND

KURT W. WEAVER
Naval Research Laboratory, Code 7214, Washington DC 20375-5320; weiler@rsd.nrl.navy.mil

Received 1998 October 19; accepted 1998 December 11; published 1999 January 13

ABSTRACT

The supernova SN 1978K has been noted for its lack of emission lines broader than a few thousand kilometers per second since its discovery in 1990. Modeling of the radio spectrum of the peculiar SN 1978K indicates the existence of H\(\alpha\) absorption along the line of sight. To determine the nature of this absorbing region, we have obtained a high-dispersion spectrum of SN 1978K at the wavelength range 6530–6610 Å. The spectrum shows not only the moderately broad H\(\alpha\) emission of the supernova ejecta but also narrow nebular H\(\alpha\) and [N\(\text{II}\)]\(\lambda\lambda6548,6583\) emission. The high [N\(\text{II}\)]/H\(\alpha\) ratio, 0.8–1.3, suggests that this radio-absorbing region is a stellar ejecta nebula. The expansion velocity and emission measure of the nebula are consistent with those seen in ejecta nebulae of luminous blue variables. Previous low-dispersion spectra have detected a strong [N\(\text{II}\)]\(\lambda\lambda5755\) line, indicating an electron density of \((3–12) \times 10^5\) cm\(^{-3}\). We argue that this stellar ejecta nebula is probably part of the preshock dense circumstellar envelope of SN 1978K. We further suggest that SN 1997ab may represent a young version of SN 1978K.

Subject headings: circumstellar matter — galaxies: individual (NGC 1313) — stars: mass loss — supernovae: individual (SN 1978K)

1 INTRODUCTION

Massive stars lose mass via stellar winds throughout their lifetime. Stellar winds expand away from the stars and form circumstellar envelopes. As a massive star ends its life in a supernova (SN) explosion, the SN ejecta plows through the circumstellar material, driving a forward shock into the circumstellar material and a reverse shock into the SN ejecta. Optical emission is generated in the ionized SN ejecta, in cooled SN ejecta behind the reverse shock, in shocked circumstellar material, and in the ambient ionized circumstellar material (Chevalier & Fransson 1994). These four regions have different physical conditions and velocity structures. Consequently, optical luminosities and spectral characteristics of Type II SNe not only vary rapidly for individual SNe, but also differ widely among SNe with different progenitors.

Optical spectra of Type II SNe older than a few years are characterized by broad hydrogen Balmer lines and oxygen forbidden lines, with FWHMs greater than a few thousand kilometers per second, reflecting the rapid expansion of the SN ejecta (e.g., SN 1979C and SN 1980K: Fesen et al. 1999; SN 1986E: Cappellaro, Danziger, & Turatto 1995; SN 1987F: Filippenko 1989; SN 1994aj: Benetti et al. 1998). Some Type II SNe, however, do not seem to show such broad emission lines. The most notable case is SN 1978K.

SN 1978K in NGC 1313 was discovered in 1990 during a spectrophotometric survey of extragalactic H\(\text{II}\) regions (Ryder & Dopita 1993). Ryder et al. (1993) examined archival optical images of NGC 1313 and established that the optical maximum of the supernova occurred in 1978, possibly two months before July 31. However, the optical spectra of SN 1978K obtained in 1990–1992 do not show any emission line broader than 600 km s\(^{-1}\) (Ryder et al. 1993; Chugai, Danziger, & Della Valle 1995). This is in sharp contrast to SN 1980K, which shows broad, 6000 km s\(^{-1}\) emission lines in spectra obtained in 1988 and 1997 (Fesen et al. 1999).

SN 1978K is intriguing at radio wavelengths as well. While its radio flux shows temporal variations consistent with the expectation of a typical Type II SN, its radio spectrum shows a low-frequency turnover that is most plausibly caused by free-free absorption from an H\(\text{II}\) region along the line of sight (Ryder et al. 1993). Montes, Weiler, & Panagia (1997) reanalyzed the radio observations of SN 1978K and find that the intervening H\(\text{II}\) region has an emission measure \(EM = 8.5 \times 10^5(T_e/10^4\text{ K})^{3.5}\) cm\(^{-6}\) pc, where \(T_e\) is the electron temperature.

To determine the nature of this “H\(\text{II}\) region” toward SN 1978K, we have obtained a high-dispersion echelle spectrum at the wavelength range of 6530–6610 Å. This spectrum clearly resolves the narrow [N\(\text{II}\)]\(\lambda\lambda6548, 6583\) lines and a narrow H\(\alpha\) component from a moderately broad H\(\alpha\) component. The narrow H\(\alpha\) and [N\(\text{II}\)] lines must arise from the H\(\text{II}\) region, and the broad H\(\alpha\) component must arise from the SN ejecta. In this Letter, we report the echelle observation (§ 2), compare our spectrum with previous low-dispersion spectra (§ 3), and argue that the H\(\text{II}\) region toward SN 1978K is circum-

---

1 Visiting astronomer, Cerro Tololo Inter-American Observatory, National Optical Astronomy Observatories, operated by the Association of Universities for Research in Astronomy, Inc., under a cooperative agreement with the National Science Foundation.
stellar, and suggest a feasible explanation for SN 1978K’s apparent lack of very broad emission lines (§ 4).

2. HIGH-DISPERSION SPECTRUM OF SN 1978K

We obtained a high-dispersion spectrum of SN 1978K using the echelle spectrograph on the 4 m telescope at Cerro Tololo Inter-American Observatory (CTIO) on 1997 February 27. The spectrograph was used in a long-slit, single-order mode; the cross disperser was replaced by a flat mirror, and a broad Hα filter (FWHM = 75 Å) was inserted behind the slit. The slit width was 250 μm, or 1'64. The data were recorded with the red long-focus camera and a Tektronix 2048 × 2048 CCD. The pixel size was 0.08 Å pixel⁻¹ along the dispersion and 0'26 pixel⁻¹ in the spatial axis. The instrumental FWHM was 14 ± 1 km s⁻¹. The data were wavelength-calibrated but not flux-calibrated.

The echelle observation of SN 1978K was made with a 10 minute exposure. SN 1978K and two unrelated H II regions are detected. No spatially extended H II features exist at the position of SN 1978K. A spectrum extracted from a 5° slit length centered on SN 1978K is presented in Figure 1. The high-dispersion spectrum shows three lines of sets with distinct velocity widths. The narrowest (unresolved) are the telluric Hα and OH λ6553.617 and λ6577.285 lines (Osterbrock et al. 1996). The broadest is the Hα emission from the supernova ejecta. It is centered at 6572.76 ± 0.22 Å, corresponding to a heliocentric velocity (Vhel) of 455 ± 10 km s⁻¹; its FWHM is ~450 km s⁻¹ and its FWZI is ~1100 km s⁻¹.

The third set of lines consists of the narrow [N II] λ6548, 6583 lines and a narrow Hα component. The narrow Hα component is superposed near the peak of the broad Hα emission of the supernova, hence its central velocity (Vhel) ∼ 419 km s⁻¹ and FWHM (75–100 km s⁻¹) are somewhat uncertain. The [N II] λ6583 line, at Vhel = 419 ± 5 km s⁻¹, shows a line split of ~70 km s⁻¹; its FWHM is ~125 km s⁻¹. The [N II] λ6548 line, being weaker, does not show an obvious line split; however, its asymmetric line profile indicates the presence of a brighter red component and a weaker blue component, consistent with those seen in the [N II] λ6583 line.

The narrow Hα component and the narrow [N II] lines most likely originate from the same emitting region and will be referred to as “nebular” emission. We have measured the nebular [N II] λ6583/Hα ratio to be 0.8–1.3. The large uncertainty in this ratio is caused by the uncertainty in the nebular Hα flux, since it is difficult to separate the nebular and supernova contributions to the observed Hα emission. The possible range of nebular [N II]/Hα ratio is derived from the lower and upper limits of the nebular Hα flux, estimated by assuming high and low peaks of supernova emission, respectively.

3. COMPARISON WITH PREVIOUS LOW-DISPERSION SPECTRA

A relatively low-dispersion spectrum of SN 1978K was obtained on 1990 January 23 by Ryder et al. (1993). That spectrum showed an Hα line centered at 6570.2 ± 0.6 Å with an FWHM of 563 km s⁻¹. It also detected the [N II] λ6583 line at 6589.6 ± 1.0 Å. Since this spectrum has a resolution of ~5 Å and a pixel size of 1.5 Å pixel⁻¹, the [N II] lines are not well resolved from the Hα line and consequently the velocity and flux measurements might not be very accurate. The [N II] lines λ6583/Hα flux ratio, 0.049, derived from this low-dispersion spectrum is really the ratio of nebular [N II] λ6583 flux to the combined supernova and nebular Hα flux. The [N II] λ5755 line is also detected and the [N II] λ5755/Hα flux ratio is 0.025.

Another low-dispersion spectrum of SN 1978K was obtained on 1992 October 22 by Chugai et al. (1995). The resolution of this spectrum is 10 Å. Thus, the redshifts and widths of spectral lines cannot be reliably determined. The [N II] λ6583/Hα flux ratio is 0.072, and the [N II] λ5755/Hα flux ratio is 0.016.

Using our echelle spectrum, we have measured the ratio of nebular [N II] λ6583 flux to the combined supernova and nebular Hα flux to be 0.06. This is different from the previous measurements, 0.049 and 0.072. While our measurement should be more accurate because of our higher spectral resolution, the supernova Hα flux might have varied from 1990 to 1997 (Chugai et al. 1995). It is not clear whether the [N II] flux itself has changed.

Nebular lines toward SN 1978K are also detected in the UV spectra of SN 1978K obtained with the Faint Object Spectrograph on board the Hubble Space Telescope on 1994 September 26 and 1996 September 22–23 (Schlegel et al. 1999). The Lyα line and the blended [Ne IV] λλ2421, 2424 doublet are detected. Both lines have FWHMs comparable to the instrumental resolution (7 Å), corresponding to 1727 km s⁻¹ at Lyα and 866 km s⁻¹ at [Ne IV]. These [Ne IV] lines have critical densities of 8 × 10⁴ and 2.5 × 10⁵ cm⁻³, respectively (Zheng 1988); therefore, these [Ne IV] lines must originate from the nebula. The Lyα line emission, like the Hα emission, contains both the supernova ejecta and nebular components.

4. DISCUSSION

4.1. Origin of the Narrow Hα and [N II] Lines

The most intriguing features detected in our high-dispersion spectrum of SN 1978K are the narrow nebular Hα and [N II] lines, which are presumably emitted by the “H II region along the line of sight” implied by the radio spectrum of SN 1978K (Ryder et al. 1993). However, as we argue below, the [N II] line strengths suggest that this H II region is circumstellar, rather than interstellar.
The nebular [N II] $\lambda 6583$/Hα line ratio (0.8–1.3) is unusually high for normal interstellar H II regions in a spiral galaxy. For example, H II regions in M101 have [N II] $\lambda 6583$/Hα ratios $\leq 0.3$ (Kennicutt & Garnett 1996). SN 1978K is at the outskirts of NGC 1313, where abundances are expected to be low and the H II excitation is expected to be high. If the nebular Hα and [N II] lines toward SN 1978K originate in an interstellar H II region, we would expect the [N II] $\lambda 6583$/Hα ratio to be $\approx 0.1$ or lower. A low interstellar [N II]/Hα ratio is confirmed by the bright H II region detected along the slit at $\approx 90$° east of SN 1978K. This H II region is brighter than the nebula toward SN 1978K in the Hα line, but its [N II] $\lambda 6583$ line is not detected. We may rule out an interstellar H II region explanation for the narrow nebular lines seen in SN 1978K.

The high [N II] $\lambda 6583$/Hα ratio may be caused by a high electron temperature or a high nitrogen abundance. These conditions can be easily provided by SN 1978K and its progenitor. If the nebula was ionized by the UV flash of SN 1978K, the electron temperature may be higher than that of a normal H II region, as in the case of SN 1987A’s outer rings (Panagia et al. 1996). However, the [N II] $\lambda 6583$ line intensity increases by only a factor of 2 for an electron temperature increase from 10,000 to 15,000 K. This increase cannot explain fully the observed high [N II]/Hα ratio. A higher nitrogen abundance is needed. An elevated nitrogen abundance is characteristic of ejecta nebulae around evolved massive stars, such as luminous blue variables (LBVs) and Wolf-Rayet (W-R) stars; the [N II] $\lambda 6583$/Hα ratios of these ejecta nebulae are frequently observed to be $\approx 1$ (Esteban et al. 1992; Smith et al. 1998). Therefore, the most reasonable origin of the nebular emission lines toward SN 1978K would be a circumstellar ejecta nebula. The observed high [N II]/Hα ratio may be caused by the combination of a high nitrogen abundance and a high electron temperature.

SN 1978K’s circumstellar ejecta nebula has a very high density, since a strong [N II] $\lambda 5755$ line is observed in SN 1978K’s spectrum. The [N II] $\lambda (6548 + 6583)$/$\lambda 5755$ ratio is measured to be 2.55 by Ryder et al. (1993) and 6.0 by Chugai et al. (1995), indicating that collisional deexcitation is significant for the $^1D_2$ level of N II. If we assume an electron temperature of $(1–1.5) \times 10^4$ K, the observed [N II] line ratios imply electron densities of $(3–12) \times 10^5$ cm$^{-3}$. The circumstellar ejecta nebula of SN 1978K can be compared to those observed around LBVs and W-R stars. The density of SN 1978K’s nebula is higher than those of W-R nebulae, but within the range for LBV nebula (Stahl 1989; Esteban et al. 1992). We adopt the emission measure EM = $8.5 \times 10^{18} (T/10^4$ K)$^{1.35}$ cm$^{-6}$ pc determined from the radio observations (Montes et al. 1997) for SN 1978K’s nebula. This emission measure is much higher than those observed in ejecta nebulae around W-R stars, typically a few times $10^2$–$10^3$ cm$^{-6}$ pc (Esteban et al. 1992; Esteban & Vílchez 1992), but lies toward the high end of the range typically seen in LBV nebulae, a few times $10^3$–$10^4$ cm$^{-6}$ pc (Hutsemékers 1994; Smith et al. 1998). Finally, the Hα and [N II] velocity profiles seen in our SN 1978K spectrum suggest an expansion velocity$^3$ of 40–55 km s$^{-1}$, which is lower than those of most ejecta nebulae around W-R stars but is within the range for LBV nebulae (Nota et al. 1995; Chu, Weis, & Garnett 1999). It is thus likely that the observed nebula toward SN 1978K was ejected by the progenitor during a LBV phase before the SN explosion.

This ejecta nebula could be either part of the circumstellar envelope that the SN ejecta expands into, or a shell that is detached from the circumstellar envelope. We will demonstrate below that the latter is unlikely. If the ejecta nebula is a detached shell, the observed emission measure and density imply that the shell thickness is only $4 \times 10^{-3}$ to $4 \times 10^{-4}$ pc. The thickness of a detached, dense shell will be broadened by diffusion and may be crudely approximated by $(c/V_{\exp})R$, where $c$ is the isothermal sound velocity, $V_{\exp}$ is the expansion velocity, and $R$ is the radius. We find that the radius of SN 1978K’s free-expanding ejecta shell would have to be no greater than $\approx 2 \times 10^{-4}$ pc, which is smaller than the expected radius of the SN ejecta. This is impossible. Therefore, we conclude that the narrow Hα and [N II] lines must originate in the preshock, ionized circumstellar envelope of SN 1978K.

The narrow nebular lines from the preshock, ionized circumstellar envelope of SN 1978K are not unique among SNe. The high-dispersion spectrum of SN 1997ab shows narrow P Cyg Hα and narrow [N II] $\lambda 6583$ lines, and the FWZI of the P Cyg Hα line (180 km s$^{-1}$) is comparable to that of SN 1978K’s Hα line (Salamanca et al. 1998). The P Cyg profile of SN 1997ab’s narrow Hα line indicates a high density, $\geq 10^7$ cm$^{-3}$. This density exceeds the critical density of the $^1D_2$ level of N II and causes a weak [N II] $\lambda 6584$ line (see Fig. 1 of Salamanca et al. 1998). If SN 1997ab’s circumstellar material is nitrogen-rich like that of SN 1978K, we predict that its [N II] $\lambda 5755$ line is strong and should be detectable as well. SN 1997ab is very likely a younger version of SN 1978K, and SN 1978K’s nebular Hα line may have exhibited a P Cyg profile in 1979–1980.

4.2. SN Evolution in a Very Dense Circumstellar Envelope

The most notable SN characteristic of SN 1978K is its apparent lack of very broad (a few thousand kilometers per second) emission lines. Adopting canonical expansion velocities and sizes for SN 1978K, Ryder et al. (1993) have derived a mass of greater than $80 M_\odot$ for the circumstellar envelope. This mass is too large to reconcile with the current understanding of massive stellar evolution. To lower the circumstellar mass, Chugai et al. (1995) propose that the circumstellar envelope is clumpy.

We consider that the large size ($\approx 0.1$ pc) adopted by Ryder et al. (1993) is overestimated and inconsistent with the expansion velocity implied by our observed Hα FWHM of 450 km s$^{-1}$. There is no need to assume an unseen, larger expansion velocity. We suggest that the small expansion velocity of SN 1978K is caused by the dense circumstellar envelope, which has quickly decelerated the expansion of SN ejecta. If optical spectra had been obtained immediately after the SN explosion in 1978, very broad emission lines would have been detected.

Rapid deceleration of SN ejecta has been observed in two other SNe, SN 1986J and SN 1997ab. SN 1986J has been noted to have spectral properties very similar to those of SN 1978K.$^4$ SN 1986J probably exploded 4 years before its initial discovery in 1986 (Rupen et al. 1987; Chevalier 1987). Its optical spectra obtained soon after the discovery show narrow hydrogen Balmer lines and nitrogen forbidden lines, indicating an expansion velocity less than 600 km s$^{-1}$ (Leibundgut et al. 1991). SN 1997ab is the only other SN for which narrow nebular

---

3 The expansion velocity implied by the line split in the [N II] line is greater than 35 km s$^{-1}$. The expansion velocity can also be approximated by the half-width at half-maximum of the Hα and [N II] lines, 40–55 km s$^{-1}$.

4 We have examined a large number of SN spectra reported in the literature. SN 1986J appears to be the only SN besides SN 1978K that shows strong [N II] $\lambda 5755$ line, indicating a very high density and possibly an enhanced nitrogen abundance.
emission lines from the dense circumstellar envelope have been unambiguously resolved and detected. SN 1997ab’s light curve peaked in 1996; the FWHM of its Hα line decreased rapidly from 2500 km s\(^{-1}\) on 1997 March 2 to 1800 km s\(^{-1}\) on 1997 May 30 (Hagen, Engels, & Reimers 1997; Salamanca et al. 1998).

Clearly, SN 1978K, SN 1986J, and SN 1997ab all possess very dense circumstellar envelopes, and we may expect them to evolve similarly. The expansion of SN 1978K might have slowed down to below 1000 km s\(^{-1}\) within the first ~2 yr after the explosion, and the SN ejecta could not have reached a radius greater than ~0.02 pc in 1990. A factor of 5 reduction in the radius would lower Ryder et al.’s (1993) estimate of mass to a reasonable value, and the hypothesis of a clumpy circumstellar envelope will no longer be necessary.

### 4.3. Future Work

Previous spectrophotometric observations of SNe were rarely made with spectral resolutions better than 2 Å. Our echelle observation of SN 1978K has demonstrated that high-dispersion spectroscopy is powerful in resolving preshock, ionized circumstellar material. A high-dispersion spectroscopic survey of young SNe in nearby galaxies may detect more circumstellar envelopes and even detached ejecta ring nebulae, such as the rings around SN 1987A (Burrows et al. 1995). The density and velocity structures of these circumstellar envelopes would shed light on the mass-loss history as well as physical properties of the massive progenitors.

Our spectrum of SN 1978K unfortunately covers only the [N II] and Hα lines. In order to measure the density, temperature, and abundances of the circumstellar material, it is necessary to obtain high-dispersion spectra covering a large wavelength range. It is also important to monitor the spectral changes indicative of density changes in the circumstellar envelope. A large change at all wavelengths is expected when the SN ejecta expands past the outer edge of the circumstellar envelope.

We would like to thank the referee for useful suggestions to improve this Letter. Y. H. C. acknowledges the support of NASA Long-Term Space Astrophysics grant NAG5-3246. M. J. M. and K. W. W. wish to thank the Office of Naval Research for the 6.1 funding supporting this research.

### REFERENCES

Benetti, S., Cappellaro, E., Danziger, I. J., Turatto, M., Patat, F., & Della Valle, M. 1998, MNRAS, 294, 448
Burrows, C. J., et al. 1995, ApJ, 452, 680
Cappellaro, E., Danziger, I. J., & Turatto, M. 1995, MNRAS, 277, 106
Chevalier, R. A. 1987, Nature, 329, 611
Chevalier, R. A., & Fransson, C. 1994, ApJ, 420, 268
Chu, Y.-H., Weis, K., & Garnett, D. R. 1999, AJ, in press
Chugai, N. N., Danziger, I. J., & Della Valle, M. 1995, MNRAS, 276, 530
Esteban, C., & Vilchez, J. M. 1992, A&A, 259, 629
Esteban, C., Vilchez, J. M., Smith, L. J., & Clegg, R. E. S. 1992, A&A, 259, 629
Fesen, R. A., et al. 1999, AJ, in press (astro-ph/9810407)
Filippenko, A. V. 1989, AJ, 97, 726
Hagen, H.-J., Engels, D., & Reimers, D. 1997, A&A, 324, L29
Hutsemékers, D. 1994, A&A, 281, L81
Kennicutt, R. C., Jr., & Garnett, D. R. 1996, ApJ, 456, 504
Leibundgut, B., et al. 1991, ApJ, 372, 544
Montes, M. J., Weiler, K. W., & Panagia, N. 1997, ApJ, 488, 792

Notas, A., Livio, M., Clampin, M., & Schulte-Ladbeck, R. 1995, ApJ, 448, 788
Osterbrock, D. E., Fulbright, J. P., Martel, A. R., Keane, M. J., Trager, S. C., & Basri, G. 1996, PASP, 108, 277
Panagia, N., Scuderi, S., Gilmozzi, R., Challis, P. M., Garnavich, P. M., & Kirshner, R. P. 1996, ApJ, 459, L17
Rupen, M. P., van Gorkom, J. H., Knapp, G. R., Gunn, J. E., & Schneider, D. P. 1987, AJ, 94, 61
Ryder, S. D., & Dopita, M. A. 1993, ApJS, 88, 415
Ryder, S., Staveley-Smith, L., Dopita, M., Petre, R., Colbert, E., Malin, D., & Schlegel, E. 1993, ApJ, 416, 167
Salamanca, I., Cid-Fernandes, R., Tenorio-Tagle, G., Telles, E., Terlevich, R. J., & Muñoz-Tuñón, C. 1998, MNRAS, 300, L17
Schlegel, E., et al. 1999, in preparation
Smith, L. J., Nota, A., Pasquali, A., Leitherer, C., Clampin, M., & Crowther, P. A. 1998, ApJ, 503, 278
Stahl, O. 1989, in Physics of Luminous Blue Variables, ed. K. Davidson, A. F. Moffat, & H. J. Lamers (Dordrecht: Kluwer), 149
Zheng, W. 1988, Astrophys. Lett. Commun., 27, 275