Abductive Explanation-based Learning Improves Parsing Accuracy and Efficiency

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Abstract

Natural language parsing has to be accurate and quick. Explanation-based Learning (EBL) is a technique to speed-up parsing. The accuracy however often declines with EBL. The paper shows that this accuracy loss is not due to the EBL framework as such, but to deductive parsing. Abductive EBL allows extending the deductive closure of the parser. We present a Chinese parser based on abduction. Experiments show improvements in accuracy and efficiency.¹

1 Introduction

The difficulties of natural language parsing, in general, and of parsing Chinese, in particular, are due to local ambiguities of words and phrases. Extensive linguistic and non-linguistic knowledge is required for their resolution (Chang, 1994; Chen, 1996). Different parsing approaches provide different types of knowledge. Example-based parsing approaches offer rich syntagmatic contexts for disambiguation, richer than rule-based approaches do (Yuang et al., 1992). Statistical approaches to parsing acquire mainly paradigmatic knowledge and require larger corpora, c.f. (Carl and Langlais, 2003). Statistical approaches handle unseen events via smoothing. Rule-based approaches use abstract category labels.

Example-based parsing generalizes examples during compilation time, e.g. (Bod and Kaplan, 1998), or performs a similarity-based fuzzy match during runtime (Zavrel and Daelemans, 1997). Both techniques may be computationally demanding, their effect on parsing however is quite different, c.f. (Streiter, 2002a).

Explanation-based learning (EBL) is a method to speed-up rule-based parsing via the caching of examples. EBL however trades speed for accuracy. For many systems, a small loss in accuracy is acceptable if an order of magnitude less computing time is required. Apart from speed, one generally recognizes that EBL acquires some kind of knowledge from texts. However, what is this knowledge like if it does not help with parsing? Couldn’t a system improve by learning its own output? Can a system learn to parse Chinese by parsing Chinese? The paper sets out to tackle these questions in theory and practice.

1.1 Explanation-based Learning (EBL)

Explanation-based learning techniques transform a general problem solver (PS) into a specific and operational PS (Mitchel et al., 1986). The caching of the general PS’s output accounts for this transformation. The PS generates, besides the output, a documentation of the reasoning steps involved (the explanation). This determines which output the system will cache.

The utility problem questions the claim of speeding-up applications (Minton, 1990): Retrieving cached solutions in addition to regular processing requires extra time. If retrieval is slow and

¹This research has been carried out within Logos Gaias project, which integrates NLP technologies into a Internet-based natural language learning platform (Streiter et al., 2003).
cached solutions are rarely re-used, the cost-benefit ratio is negative.

The accuracy of the derived PS is generally below that of the general PS. This may be due to the EBL framework as such or the deductive base of the PS. Research in abductive EBL (A-EBL) seems to suggest the latter: A-EBL has the potential to acquire new knowledge (Dimopoulos and Kakas, 1996). The relation between knowledge and accuracy however is not a direct and logical one. The U-shaped language learning curves in children exemplifies the indirect relation (Marcus et al., 1992). Wrong regular word forms supplant correct irregular forms when rules are learned. We therefore cannot simply equate automatic knowledge acquisition and accuracy improvement, in particular for complex language tasks.

1.2 EBL and Natural Language Parsing

Previous research has applied EBL for the speed-up of large and slow grammars. Sentences are parsed. Then the parse trees are filtered and cached. Subsequent parsing uses the cached trees. A complex HPSG-grammar transforms into tree-structures. The formalization follows (Dimopoulos and Kakas, 1996). The deductive closure of the set of axioms \( \mathcal{X} \) is the set \( \mathcal{S} \) which cannot increase with EBL from deductive parsing may increase with abductive parsing.

2 A Formal View on Parsing and Learning

We use the following notation throughout the paper: 
\[
\mu \rightarrow (y) = x \quad \text{(function \( \mu \) applied to \( y \) yields \( x \)),}
\mu \rightarrow (y) = x \quad \text{(relation \( \mu \) applied to \( y \) yields \( x \)).}
\]

A theory \( \mathcal{T} \) is \(< A, \mathcal{I}, \mathcal{R} >\) where \( \mathcal{R} \) is a set of rules \( \mathcal{r} \). \( A \) and \( \mathcal{I} \) are two disjoint sets of attributes and \( i \) (e.g. \( A = \{ \text{noun}, \text{verb}, \ldots \}; \mathcal{I} = \{ \text{Bob}, \text{hill}, \ldots \} \)). A rule is written as \( r = \langle o, a > \) or \( r \leftrightarrow (o) = a \). A rule specifies the relation between an observable fact \( o \) and an attribute \( a \) assigned to it. \( \mathcal{O} \) is the set of observable data with each \( o \in \mathcal{O} \) being a tuple \(< a, i >\).

\( \mathcal{C} \) is the set of data classified according to \( \mathcal{T} \), with \( c = < o, a > \). \( o, i \) and \( a \) may have an internal structure in the form of ordered or unordered collections of more elementary \( o, i \) and \( a \) respectively.

Transferring this notation to the description of parsing, \( \mathcal{T} \) is a syntactic formalism and \( \mathcal{R} \) a grammar. \( A \) is the union of syntax trees and morphosyntactic tags. \( \mathcal{O} \) is a corpus tagged with \( A \). \( \mathcal{I} \) corresponds to a list of words, phrases or sentences (the surface strings). \( \mathcal{C} \) is a treebank, a cache of parse trees, or a history of explanations.

\[
e_{\text{parse}} = < a_{\text{pos}}, i_{\text{exeme}}, a_{\text{tree}} >
\]

2.1 Parsing: \( \pi \mapsto (o) = \{ c_{\text{new}} \} \)

A parser defines a relation between \( \mathcal{O} \) and \( \mathcal{C} \) (c.f. 2). Parsing is a relation between \( o \) and a subset of \( \mathcal{C} \) (c.f. 3).

\[
\pi \mapsto (\mathcal{O}) = \mathcal{C}
\]
\[ \pi \mapsto (o) = \{c_{new}\} \]  

Simplifying, we can assume that \( \pi \) is defined as the set of rules, i.e. \( \pi = \{O, C\} = \mathcal{R} \). A specific parser \( \pi \) is derived by the application of \( \theta \) to the training material (e.g. \( C \)): \( \theta \mapsto (C) = \pi \). The set of possible relations \( \theta \) is \( \Theta \). Elements of \( \Theta \) are caching (no generalization), induction (hypothesis after data inspection) and abduction (hypothesis during classification). Equation (5) describes the cycle of grammar learning and grammar application.

\[ \theta \mapsto (C) = \pi \]  

\[ (\theta \mapsto (C_{old})) \mapsto (C) = c_{new} \]  

### 2.1.1 Memory-based Parsing

\( \pi \) is based on memory if \( \theta \mapsto (c) = c, c \Rightarrow c, r, r \Rightarrow r \). \( \gamma \) in (6) is the trivial formalization of caching. Parsing proceeds via recalling \( \rho \) defined in (7). The cycle of grammatical and parsing \( \rho \mapsto (\gamma) \) is defined in (8): The training material \( c_{w} \) yields the parsing output \( c_{w} \).

\[ \gamma \mapsto (c_{v}, a_{w} \Rightarrow c_{v}) \]  

\[ \rho \mapsto (c_{v}) = c_{v}, a_{w} \Rightarrow c_{v} \]  

\[ \gamma \mapsto (c_{v}, a_{w} \Rightarrow c_{v}) \mapsto (c_{v}) = c_{v}, a_{w} \Rightarrow c_{v} \]  

### 2.1.2 Deduction-based Parsing

Let \( \text{delete} \) be a function which replaces one or more elements of a collection by a named variable or \( \epsilon \). \( \pi \) is a deductive inference if \( \epsilon \) is obtained from an \( \text{induction} \) (a reduction of \( o \) with the help of \( \text{delete} \)). The following expressions define induction \( \lambda \) (9), deduction \( \delta \) (10) and the inductive-deductive cycle \( \delta \mapsto (\lambda) \) (11):

\[ \lambda \mapsto \left( \langle a_{w}, i_{w} \rangle, a_{v} \right) = c_{v} \]  

\[ \text{delete} \rightarrow \left( \langle a_{w}, i_{w} \rangle, a_{v} \right) \]  

\[ r_{a} = \delta \]  

\[ \delta \mapsto \left( \langle a_{w}, i_{x} \rangle \right) = \langle a_{w}, i_{x}, a_{v} \rangle \]  

\[ \sigma_{w} \]  

\[ \text{parsing } o_{v} \]  

\[ \gamma \mapsto (c_{v}, a_{w} \Rightarrow c_{v}) \mapsto (c_{v}) = c_{v}, a_{w} \Rightarrow c_{v} \]  

\[ \text{learning } \rho \text{ from } c \]  

\[ (\gamma \mapsto (c_{v}, a_{w} \Rightarrow c_{v})) \mapsto (c_{v}) = c_{v}, a_{w} \Rightarrow c_{v} \]  

\[ \text{parsing } o_{y} \]  

\[ (\psi \mapsto (c_{y}, i_{y} \Rightarrow c_{y})) \mapsto (c_{y}, i_{y} \Rightarrow c_{y}) = \]  

\[ \langle a_{y}, i_{y} \rangle \]  

\[ \sigma_{y} \]  

\[ \text{learning } \alpha \text{ from } c \]  

By analogy with (c.f. (Lepage, 1999)).

\[ \text{Abduction is a process of hypothesis generation. Deduction and abduction may work conjointly whenever deductive inferences encounter gaps. A deductive inference stops in front of a gap between the premises and a possible conclusion. Abduction creates a new hypothesis, which allows to bridge the gap and to continue the inference.} \]
2.2 Learning: $C \cup ((\theta \rightarrow (C)) \rightarrow (o))$

In this section, we formalize EBL. We mechanically substitute $\theta$ in the definition of EBL by $\gamma, \delta, \alpha$ to show their learning potentials.

A learning system changes internal states, which influence the performance. The internal states of $\pi$ are determined by $C$ and $\Theta$. We assume that, for a given $\pi$, $\Theta$ remains identical before and after learning. Therefore, the comparison of $C$ (before learning) with $C \cup c_{\text{new}}$ (after learning) reveals the acquired knowledge.

We define EBL in (14). $(\theta \rightarrow (C))$ is the parser before learning. This parser applies to $o$ and yields $c_{\text{new}}$, formalized as $(\theta \rightarrow (C)) \rightarrow (o)$. The new parser is the application of $\Theta$ to the union of $C$ and $c_{\text{new}}$.

$$\pi_{\text{new}} = \theta \rightarrow (C \cup ((\theta \rightarrow (C)) \rightarrow (o))) \; \{c_{\text{new}}\} \tag{14}$$

From two otherwise identical parsers, the parser with $c = << a_o, \epsilon >, a_c >$ not present in the other has a greater deductive closure. The cardinality of $<< a_o, \epsilon >, a_c > \in C$ reflects an empirical knowledge. The empirical knowledge does not allow to conclude something new, but to resolve ambiguities in accordance with observed data, e.g. for a sub-language as shown in (Rayner and Samuelsson, 1994). Both learning techniques have the potential of improving the accuracy.

2.2.1 Learning through Parsing

A substitution of $\Theta$ with $\gamma, \delta, \alpha$ reveals the transformation of $c_{\text{old}}$ to $c_{\text{new}}$. We start with caching and recalling (Equation 15).

$$\pi_{\text{new}} = \gamma \rightarrow (\{c_i\} \cup (\gamma \rightarrow (c_i)) \rightarrow (\alpha_i)) \; \{c_{\text{old}}\} \tag{15}$$

Parsing $o_i$ with the cache of $c_i$ yields $c_i$. The deductive closure is not enlarged. Quantitative relations with respect to $o$ change in $C$. If $c_i$ is not cached twice, memory-based EBL is idempotent.$^6$

EBL with induction and deduction is shown in (16). Here the subscripts merit special attention: $o = << a_x, i_y >$ is parsed from $c = << a_x, i_x >, a_v >$. This yields $c_{\text{new}} = << a_x, i_y >, a_v >$. Integrating $c_{\text{new}}$ into $C$ changes the empirical knowledge with respect to $a$ and $i$. If the empirical knowledge does not influence $\iota$, D-EBL is idempotent. The deductive closure does not increase as $<< a_x, \epsilon >, a_v > \in C$.

$$\pi_{\text{new}} = \iota \rightarrow \{< a_x, i_x >, a_v >\} \cup \{\iota \rightarrow (<< a_x, i_x >, a_v >) \rightarrow (a_x, i_y >)\} \tag{16}$$

Abductive EBL (A-EBL) is shown in (17). A-EBL acquires empirical knowledge similarly to D-EBL. In addition, a new $<< a_y, \epsilon >, a_r >$ is acquired. This $c_{\text{new}}$ may differ from $c_{\text{old}}$ with respect to $a_y$ and/or $a_r$. If the experiments in A-EBL we reported below, $a_y \neq a_x$ and $a_r = a_c$ holds.

$$\pi_{\text{new}} = \psi \rightarrow \{< a_x, i_x >, a_c >\} \cup \{\psi \rightarrow (<< a_x, i_x >, a_c >) \rightarrow (a_y, i_y >)\} \tag{17}$$

2.2.2 Recursive Rule Application

Parsing is a classification task in which $a \in A$ is assigned to $o \in O$. Differently from typical classification tasks in machine learning, natural language parsing requires an open set $A$. This is obtained via the recursive application of $\mathcal{R}$, which unlike non-recursive styles of analysis (Srinivas and Joshi, 1999) yields $A$ (syntax trees) of any complexity. Then $\text{delete}$ is applied to $A$ so that $\text{delete} \rightarrow (A)$ can be matched by further rules (c.f. 18). Without this reduction, recursive parsing could not go beyond memory-based parsing.

$$\tau_{m} = << a_m, i_m >, \; \text{delete} \rightarrow (r_p \rightarrow (o_p)), i_p >>, \; \tag{18}$$

$$<< a_n, a_m, r_p \rightarrow (o_p) >>$$
Figure 1: An explanation produced by OCTOPUS. At the top, the final parse obtained via deductive substitutions. Abductive term identification bridges gaps in the deduction (X ~ Y). The marker ‘?’ is a graphical shortcut for the set of lexemes \{i\} in c.

\[
\text{VP(undertopic: 不管| epithet: 是)| VP(head: WM: 平辈| couple: Tb: 也好)}
\]

The function delete defines an induction and recursive parsing is thus a deduction. Combinations of memory-based and deduction-based parsing are inductions, combinations of abduction-based parsing with any another parsing are abductions.

**Macro Learning** is the common term for the combination of EBL with recursive deduction (Tadepalli, 1991). A macro r\textsubscript{macro} is a rule which yields the same result as a set of rules R with \#R’ \geq 2 and r\textsubscript{macro} \notin R’ does. In terms of a grammar, such macros correspond to redundant phrases, i.e. phrases that are obtained by composing smaller phrases of R. Macros represent shortcuts for the parser and, possibly, improved likelihood estimate of the composed structure compared to the estimates under independency assumption (Abney, 1996). When the usage of macros excludes certain types of analysis, e.g. by trying to find longest/best matches we can speak of pruning. This is the contribution of D-EBL for parsing.

3 Experiments in EBL

3.1 Experimental purpose and setup

The aim of the experiments is to verify whether new knowledge is acquired in A-EBL and D-EBL. Secondly, we want to test the influence of new knowledge on parsing accuracy and speed.

The general setup of the experiment is the following. We use a section of a treebank as seed-corpus (C\textsubscript{seed}). We train the seed-corpus to a corpus-based parser. Using a test-corpus we establish the parsing

Figure 2: The main parsing algorithm of OCTOPUS. The parser interleaves memory-based, deductive, and abductive parsing strategies in five steps: Recalling, non-recursive deduction, deduction via chunk substitution, first with lexemes, then without lexemes and finally abduction.

\[
\pi \rightarrow (a, i >) \{
\]

\#1 recalling from POS (a) and lexeme (i)
RETURN c IF (c = \rho \rightarrow (a, i >))

\#2 deduction on the basis of POS (a)
RETURN c IF (c = \vartheta(a, c >))

\#3 deductive, recursive parsing with POS and lexeme
#Substitutions are defined as in TAGs (Joshi, 2003) IF ((a_c\text{\textbackslash}h\text{\textbackslash}nk, i\text{\textbackslash}h\text{\textbackslash}nk >, a_c\text{\textbackslash}ed\text{\textbackslash}v, i\text{\textbackslash}ed\text{\textbackslash}v) =
\text{best}\_\text{\textbackslash}h\text{\textbackslash}unk \rightarrow (a, i >)\}

RETURN substitution \rightarrow ( \# \text{deduction})
\pi \rightarrow (a_c\text{\textbackslash}h\text{\textbackslash}nk, i\text{\textbackslash}h\text{\textbackslash}nk >),
\pi \rightarrow (a_c\text{\textbackslash}ed\text{\textbackslash}v, i\text{\textbackslash}ed\text{\textbackslash}v >))

\#4a deductive recursive parsing with lexeme,
\#4b compared to abductive parsing
IF ((a_c\text{\textbackslash}h\text{\textbackslash}nk, i\text{\textbackslash}h\text{\textbackslash}nk >, a_c\text{\textbackslash}ed\text{\textbackslash}v, i\text{\textbackslash}ed\text{\textbackslash}v) =
\text{best}\_\text{\textbackslash}h\text{\textbackslash}unk \rightarrow (a, i >)\}

RETURN argument \rightarrow (\a \rightarrow (a, i >), \# \text{abduction substitution} \rightarrow ( \# \text{deduction})
\pi \rightarrow (a_c\text{\textbackslash}h\text{\textbackslash}nk, i\text{\textbackslash}h\text{\textbackslash}nk),
\pi \rightarrow (a_c\text{\textbackslash}ed\text{\textbackslash}v, i\text{\textbackslash}ed\text{\textbackslash}v))\}

\#5 abdution as robust parsing solution
RETURN a \rightarrow (a, i >) \}
Figure 3: Abductive parsing with k-nn retrieval and adaptation of retrieved examples.

\[ \alpha \rightarrow (a, i) \]  

\[ \text{RETURN } \text{adaptation } \rightarrow (\text{viterbi\_align } \rightarrow (k.n.n.\text{retrieval } \rightarrow (a, i))) \]

accuracy and speed of the parser (\textit{evaluate}(\pi \rightarrow (O_{\text{test}}))=(\text{recall,precision,f-score,time})). Then, we parse a large corpus (\( \pi \rightarrow (O) = \{e_{\text{new}}\} \)). A filter criterion that works on the explanation applies. We train those trees which pass the filter to the parser (\( \theta \rightarrow (C_{\text{seed}} \cup \{e_{\text{new}}\}) = \pi_{\text{new}} \)). Then the parsing accuracy and speed is tested against the same training corpus (\textit{evaluate}(\pi_{\text{new}} \rightarrow (O_{\text{test}}))=(\text{recall,precision,f-score,time})).

Sections of the Chinese Sinica Treebank (Huang et al., 2000) are used as seed-treebank and gold standard for parsing evaluation. Seed-corpora range between 1.000 and 20.000 trees. We train them to the parser OCTOPUS (Streiter, 2002a). This parser integrates memory- deduction- and abduction-based parsing in a hierarchy of preferences, starting from 1 memory-based parsing, 2 non-recursive deductive parsing, 3 recursive deductive parsing and 5 finally abductive parsing (Fig. 2).

Learning the seed corpora (\( \theta \rightarrow (c_{1000 \ldots 20000}) \)) results in \( \pi_{1000 \ldots 20000} \). For each \( \pi \in \{\pi_{1000 \ldots 20000}\} \), a POS tagged corpus \( O \) with \#\( O \)= 200.000 is parsed, producing the corpora \( C_{1000} \ldots C_{20000} \). The corpus used is a subset of the 5 Million word Sinica Corpus (Huang and Chen, 1992).

For every \( o \in O \) the parser produces one parse-tree \( c =< o, a > \) and an explanation. The explanation has the form of a derivation tree in TAGs, c.f (Joshi, 2003). The deduction and abduction steps are visible in the explanation. Filters apply on the explanation and create sub-corpora that belong to one inference type.

The first filter requires the explanation to contain only one non-recursive deduction, i.e. only parsing step 2. As deductive parsing is attempted after memory-based parsing (1), \( i_e \neq i_v \) holds.

A second filter extracts those structures, which are obtained by parsing step 4a or 5 where only one POS-labels may be different in the last characters (e.g. \( \sigma \mapsto ("Nab" \mapsto "Nae") \). The resulting corpora are \( C_{01000_{\text{deduct}}} \ldots C_{02000_{\text{deduct}}} \) and \( C_{1000_{\text{abdect}}} \ldots C_{20000_{\text{abdect}}} \).

3.2 The Acquired Knowledge

We want to know whether or not new knowledge has been acquired and what the nature of this acquired knowledge is. As parsing was not recursive, we can approach the closure by the types of POS-sequences from all trees and their subtrees in a corpus. We contrast this with to the types of lexeme-sequences. The data show that only A-EBL increases the closure. But even when looking at lexemes, i.e. empirical knowledge, the A-EBL acquires richer information than D-EBL does.

Figure 4: The number of types of POS-sequences as approximation of the closure with \( C_{\text{seed}} \), A-EBL and D-EBL. Below the number of type of LEXEME-sequences.

The representatives of the cached parses is gauged by the percentage of top NPs and VPs (including Ss) as top-nodes. Fig 5 shows the bias of cached parses which is more pronounced with D-EBL than with A-EBL.
3.3 Evaluating Parsing

The experiments consist in evaluating the parsing accuracy and speed for each $\mathcal{C}_{\text{seed}} \cup \mathcal{C}_{1000\, \text{docs}} \cdots \mathcal{C}_{\text{seed}} \cup \mathcal{C}_{2000\, \text{docs}}$.

We test the parsing accuracy on 300 untrained and randomly selected sentences using the f-score on unlabeled dependency relations. Fig. 6 shows parsing accuracy depending on the size of the seed-corpus. The graphs show side branches where we introduce the EBL-derived training material. This allows comparing the effect of A-EBL, D-EBL and hand-coded trees (the baseline). Fig. 7 shows the parsing speed in words per second (Processor: 1000 MHz, Memory: 128 MB) for the same experiments. Rising lines indicate a speed-up in parsing. We have interpolated and smoothed the curves.

The experimental results confirm the drop in parsing accuracy with D-EBL. This fact is consistent across all experiments. With A-EBL, the parsing accuracy increases beyond the level of departure.

The data also show a speed-up in parsing. This speed-up is more pronounced and less data-hungry with A-EBL. Improving accuracy and efficiency are thus not mutually exclusive, at least for A-EBL.

4 Conclusions

Explanation-based Learning has been used to speed-up natural language parsing. We show that the loss in accuracy results from the deductive basis of parsers, not the EBL framework. D-EBL does not extend the deductive closure and acquires only empirical (disambiguation) knowledge. The accuracy declines due to cached errors, the statistical bias the filters introduce and the usage of shortcuts with limited contextual information.

Alternatively, if the parser uses abduction, the deductive closure of the parser enlarges. This makes accuracy improvements possible - not a logical consequence. In practice, the extended deductive closure compensates for negative factors such as wrong parses or unbalanced distributions in the cache.

On a more abstract level, the paper treats the problem of automatic knowledge acquisition for Chinese NLP. Theory and practice show that abduction-based NLP applications acquire new knowledge and increase accuracy and speed. Future research will maximize the gains.
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