INFLUENCE OF THE PILE STIFFNESS ON THE GROUND SLAB BEHAVIOUR

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Abstract. Just as other structural elements, ground slabs are an important unit of a building. It is especially true in the case of buildings, such as logistic centres, for which the primary purpose is storage of various goods. In this kind of buildings, floor slabs usually have high loads. For buildings where truck lifts are used, there are special requirements for flatness tolerance across and along the driving track of truck lifts. Therefore, the floor settlement differences in selected distances are limited. In localities where the soils under the floor slab are weak, they should be replaced or compacted. If these solutions are not possible or economically inappropriate, the slabs have to be supported on piles that must be based on strong deep soils. During the design process, it is very important to assign a proper value of rigidity of the piles. Pile stiffness has an enormous influence on the settlement of the slab as well as on the reinforcement intensity of the slab or even on the slab thickness. This paper presents the methodology for the evaluation of pile stiffness. In addition, it provides recommendations for the calculation of the floor slab on the piles. Calculations and obtained results confirmed the importance of certain design stages.

Keywords: stiffness coefficient, piles, settlements of a pile, RC ground slab on piles, reinforcement of the ground slab, flatness requirements.

Introduction

When designing a monolithic reinforced concrete plate on the ground, its behaviour is determined by the characteristics of the soil under the plate. In places where the floor plate is on a weak and deformable ground, the soil must be replaced or compacted. Usually, the upper base layer is compacted. If these solutions are impossible and economically unfeasible, floor plates can be installed on piles, which transmit the load to deeper soil layers by side friction and base. Plates on piles work affects both structural element stiffness, interconnection type and stiffness, load distribution and intensity, etc. (Lee et al. 2015). It is particularly important to conduct a proper assessment of the pile stiffness during the plate design. In such a task, the accuracy of calculations depends on the accuracy of soil characteristics and the evaluation of the interaction of the piles and pile installation technologies. The work of pile ground and pile behaviour can be modelled by FEM and BEM (Comodromos et al. 2009; Concrete Society 2003; Gusev, Tashkinov 2012; Mendonça, Paiva 2003). Some of the methods are useful only for preliminary design or for checking purposes, while others are capable of giving detailed performance predictions and can be used for detailed design (Technical Committee TC18 on Piled Foundations 2001). The disadvantage of the first three methods is a lot of time required for calculations (Ripunjoy 2014; Gusev, Tashkinov 2012; Mendonça, Paiva 2003; Wulandari, Tjandra 2015) and the need for special calculation programs.

Load size and layout can be very diverse and, usually, not very precisely defined. Therefore, after setting out the pile under the plate, the aim is to ensure that all middle and edge piles take over the load from the same floor area and the settlement is similar. Such a task of the floor plate design consists of several components: the pile stiffness calculation, pile layout, selection of the floor plate thickness, reinforcement type and eligibility of calculations of floor plate settlements (Samofalov et al. 2015; Basile 2015; Poulos 2001). According to the results of the numerical analysis, the
existence of the raft does not affect the vertical stiffness of the pile head, if loadings less than the allowable load.

This paper examines the pile stiffness, its detecting possibilities from data of the pile static tests and stiffness prediction when static tests of the piles are not performed (Jeong, Cho 2014). An idealized model of the plate on piles was chosen, stating that when there is no direct contact between the soil and the plate, piles do not affect each other.

The objective of the study was to suggest an algorithm to evaluate the stiffness of the pile by calculating deformations and reinforcements of the panels in accordance with regulatory requirements.

1. Background of the analysis

The design process for plates on piles can be considered as a three-stage process (Poulos 2001):
– a preliminary stage, when the effects of the number of piles on load capacity and settlement are assessed via an approximate analysis;
– a more detailed examination to assess where piles are required and to obtain some indication of the piling requirements;
– a detailed design phase, in which a more refined analysis is employed to confirm the optimum number and location of the piles, and to obtain essential information for the structural design of the foundation system.

An approximate method is based on the elastic theory and interactions between the components of the piled plate. Poulos (1994) modelled a piled raft in the form of a plate supported by springs representing piles. In a system of a plate on piles, four interactions (Basile 2015) can be observed: pile–soil, pile–pile, plate–soil, and pile–plate. The contribution of the plate is practically negligible in this particular case of very compressible surface soil material (Comodromos et al. 2009). As pile spacing increases, the load proportion of piles becomes higher and pile–pile interaction decreases (Lee et al. 2015). Therefore, the impact of ground under the plate and the interaction between piles are not considered in this article, as only piles are evaluated by introducing springs (Fig. 1).

2. Estimation of the spring constant as the pile stiffness

2.1. Static load test of two piles

The analysis of spring stiffness was carried out based on the static test of two piles. Typically, if a site has a very uniform geology, the number of tests under the same conditions are rarely greater than two. Both piles of 380 mm in diameter were installed at the same construction site using the CFA technology. The boreholes were made, and the static penetration test was performed before installing each test pile at its installation location, as shown in Figure 2. The first pile length was 5.94 m, and the second – 8.13 m.

Piles are tested by static compression load till conventional ultimate bearing resistance $R_{conv}$ is obtained, which is the pile resistance corresponding to a relative pile base displacement of 10% of $D$. The pile load–normalised settlement curves are presented in Figure 3 and Table 1.

![Fig. 1. Model of piled plate](image1)

In this study, the plate is modelled as bending plates on piles. Each pile is modelled as a pile spring at the pile’s position. The stiffness of a pile spring $k_s$ is expressed by the formula:

$$ k_s = \frac{F}{s}, \quad (1) $$

where $F$ is the load on a pile, and $s$ is the pile settlement.

![Fig. 2. Cone penetration test and borehole data: a – near the 1st test pile, b – near the 2nd test pile](image2)
According to Eurocode 7 (EN 1997-1:2004), when piles are used to reduce the settlement of a raft, their resistance corresponding to the creep load may be used in analysing the serviceability states of the structure. This advice obviously concerns piled rafts, where the piles act as settlement reducers (Katzenbach et al. 2000). The pile yield resistance \( R_y \) can be defined as the load beyond which the rate of axial pile displacement under constant load takes place with a notably increased increment. The yield resistance is deduced by analytical or graphical means as the point of maximum curvature of the curve giving the factor \( \alpha_y \) as a function of load.

For testing pile yield resistance (Fig. 4) as the point of the maximum curvature \( R_y \):
- the 1st pile – 538.2 kN, when \( s_y/D \) is 2.08% or the settlement amounts to 7.90 mm;
- the 2nd pile – 665.9 kN, when \( s_y/D \) is 2.15% or the settlement amounts to 8.17 mm.

### 2.2. Spring stiffness estimation from the pile static load test

For one of the springs that model pile work, the method for the determination of the stiffness coefficient is its direct calculation from the pile test:

\[
k_s = \frac{F_{sf}}{s},
\]

where \( s \) is pile settlement, \( F_{sf} \) – the “safe load” multiplied by 1.5, the “safe load” being the load derived by applying a factor of safety on the ultimate load capacity of the pile or as it is determined from a load test (IS 2911-1-4 2010). It can be considered as pile yield resistance \( R_y \).

This methodology of getting the stiffness coefficients of the two piles at the maximum, minimum and average for settlements is presented in Tables 2 and 3.

#### Table 2. Pile stiffness from the static load test

| Pile No. | \( k_s \) MN/m |
|----------|----------------|
| Secant 1, \( s = 8 \) mm | 100.92 |
| Secant 2, \( s = 10 \) mm | 80.73 |
| Secant 3, \( s = 12 \) mm | 67.28 |

#### Table 3. Secant passes through the test curve

| Pile No. | Settlement/load, due to which a secant passes through the test curve, mm/kN |
|----------|--------------------------------------------------------------------------|
|          | \( s = 8 \) mm | \( s = 10 \) mm | \( s = 12 \) mm |
| 1        | 3.93/397.2     | 5.86/472.8     | 8.12/544.9    |
| 2        | 3.70/462.6     | 5.64/563.9     | 7.90/658.6    |

The stiffness coefficient corresponding to secants is shown in Figure 5.
where $R$ is loading at pile head kN; $R_u$ – ultimate pile bearing capacity (or asymptotic ultimate bearing resistance $R_{cau}$ values) kN; $s$ – displacement at pile head mm; $s_y$ – displacement at yield point; $D$ – pile diameter; $m$ – displacement index. The magnitude of the ultimate pile bearing capacity and displacement are shown in Figure 6.

This curve always passes the same yield point at $R / R_u$ of 0.63, the shape parameter $m$ controls the shape of the curve (Fig. 6). Experiments show that when the load on the top of the pile $R < (0.5~0.6) R_u$ ($R_u$ is the ultimate load), the settlement increases linearly within the increase of the load, and the stiffness remains approximately at a constant value (Cheng 2011).

In our case, $m$ and $s_y/D$ indicators that describe the curve are presented in Table 4. They are typical to non-displacement piles supported by friction (Takagi et al. 2007).

Table 4. Parameters of curves

| Pile No. | $m$    | $s_y/D$ | $R_{cau}$, kN | $R_u$, kN |
|---------|--------|---------|--------------|-----------|
| 1       | 0.594  | 2.960   | 975          | 614       |
| 2       | 0.595  | 2.985   | 1193         | 752       |

The resulting Weibull curve is plotted on normalized axes. A secant is drawn through the point, where the coordinates are (0, 0), and the point of the curve, where $R / R_u = 0.33$. The resulting dimensionless pile stiffness $K_{0.33}$:

$$K_{0.33} = 0.33 / (s / D),$$

where $s/D$ is normalised pile settlement when $R / R_u = 0.33$ (Fig. 7).

Because $K_{0.33}$ is a dimensionless quantity, it is then multiplied by $R_u/D$ to obtain the spring constant $k_{0.33}$ of the model, and this quantity is expected to represent the pile stiffness under Serviceability Limit State conditions.

The pile stiffness according to pile static tests and the secant point on the Weibull curve are presented in Table 5.

Table 5. Pile stiffness according to pile static tests and secant point on the Weibull curve

| Pile No. | $k_s$, MN/m | $s_y/D$, % | $s_y$, mm |
|---------|-------------|------------|-----------|
| 1       | 132.3       | 0.640      | 2.43      |
| 2       | 157.5       | 0.658      | 2.50      |

2.4. Spring stiffness estimation from the load bearing capacity calculation using the geotechnical data

When calculating the characteristic values of the pile of ground bearing capacity according to the German Code DIN 1054 (Smoltczyk 2003) methodology, the bearing capacity components are distinguished as the base bearing capacity and shift friction. Also, this procedure is different from others in the case of the final output, the curve of the pile load and settlement, which helps us to judge the pile base work (Elsamee 2012). The load–settlement diagrams of both piles are presented in Figure 8 with an emphasis on the components of base and shaft resistance. The limiting settlement for the mobilization of the shaft resistance $R_{s,k}$ ($s_{sg}$) (MN) in bored (also CFA) piles is (Smoltczyk 2003):

$$s_{sg} = 0.50 R_{s,k} \left( s_{sg} \right) + 0.50 \leq 3.00 \text{ cm.}$$

(5)
are significantly higher than those derived by DIN 1054 (2010) (Figs 3 and 8) (Comodromos et al. 2003, 2009).

Table 6: Pile stiffness from the pile load test in the elastic stage

| Pile No. | \( k_s, \text{MN/m} \) | \( s/D, \% \) | \( s_{sg}, \text{mm} \) |
|----------|-----------------|------------|----------------|
| 1        | 47.5            | 1.69       | 6.43           |
| 2        | 61.5            | 1.85       | 7.04           |

The load where the shift friction is mobilized may be accepted as a safe load. Using Eqn (1), the pile stiffness values are calculated and presented in Table 7.

Table 7: Pile stiffness obtained from the analytical load settlements’ curve according to the German Code DIN 1054 (2010)

| Pile No. | \( R_{c,k} \) as safe load kN | \( s_{sg}/D, \% \) | \( k_s, \text{MN/m} \) | \( s_{sc}/D, \% \) | \( s_{sg}/D, \% \) |
|----------|-------------------------------|----------------|-----------------|----------------|----------------|
| 1        | 305.4                         | 1.69           | 57.3            | 124.9          | 0.97           |
| 2        | 432.6                         | 1.85           | 81.1            | 99.9           | 1.49           |

All calculated pile stiffness values are presented in Table 8. The highest stiffness from all the calculations is obtained by using the Weibull curve where elastic work is taken only by \( 0.33R / R_u \) ratio, when it could be done by \( 0.5–0.6 \) \( R / R_u \) (Cheng 2011). The minimum stiffness (Table 7) is obtained using DIN 1054 (2010) load – the settlements curve of the pile:

\[
k_s = \frac{1.5R_{c,k} (s_{sg})}{s_{max}}
\]

where “safe load” is \( R_{c,k} \), \( (s_{sg}) \) – pile bearing capacity, when the shaft resistance is mobilized, \( s_{max} \) – pile settlement is equal to 12 mm.

Relative settlements of the piles \( s_{sc}/D \) under which the stiffness characterizing a secant is crossing pile load – the relative settlement’s curve, obtained from the pile static test, are presented in Table 8.

3. Influence of pile settlements on the slab behaviour

Behaviour of the floor slab depends on its load values (Concrete Society 2003), the layout and stiffness of the piles, and the thickness of the slab (Shadravan et al. 2015).

The investigation of a floor slab fragment of 40×40 meters was carried out. The finite element mesh was 250×250 mm. The thickness of the slab was 220 mm. The slab thickness was selected to meet the slab punching requirements (Sagaseta et al. 2014) and according to preliminary calculations, which show the acceptable intensity of the reinforcement (STR 2.05.05:2005). The characteristic load of the slab was 50 kN/m² (with the load reliability coefficient of 1.3).

Piles were arranged at 2.5×2.5 meters in the grid (Fig. 9). At the edges, piles were spaced every 5 meters. Such an arrangement of piles has been selected in order to achieve similar support reactions for all piles. According to such pile arrangement, all performed calculations showed that the value of the vertical load on the edge piles was 10–20% less.

The concrete strength class of the floor slab was C25/30. The crack width limitations were 0.2 and 0.1 mm.
for the short-term and long-term cracks. The high lift trucks up to 6 meters were to be used on the floor slab. For such a situation, flatness tolerance requirements of the slab had to be satisfied (Table 9) (DIN 15185 1991). The non-compliance with these requirements could cause an overturn of a lift truck when the load is lifted.

Table 9. Flatness tolerances across and along the driving track for a lift truck of the height of ≤6.0 m

| Truck width S | ≤ 1.0 | 1 < S ≤ 1.5 | 1.5 < S ≤ 2.0 | 2.0 < S ≤ 2.5 |
|---------------|-------|-------------|---------------|---------------|
| 2.0 mm        | 2.5 mm| 3.0 mm      | 3.5 mm        |

| Truck length Sp | ≤ 1.0 | Sp ≤ 2.0 | Sp ≤ 3.0 | Sp ≤ 4.0 |
|-----------------|-------|---------|---------|---------|
| 2.0 mm          | 3.0 mm| 4.0 mm  | 5.0 mm  |

The floor slab calculations with the different stiffness of piles have been performed. Four situations with the different stiffness of the piles have been investigated as the main cases. In three options (Case 1, 2 and 3), the stiffness of all of the piles under the slab was of the same value. There was one option (Case 4) where the majority of piles were of the same stiffness, but in one area of the slab the stiffness of six adjacent piles was increased by 20% and in the other area the stiffness of other adjacent six piles was reduced by 20%. In such a way, the possibility that soils could become different was modelled. The results have shown a varied impact of the different stiffness of the piles on the slab reinforcement and behaviour.

Case 1

In this case, the stiffness of all piles was 47.5 MN/m (Table 6, Pile No 1). Calculation results showed that the bottom of the floor slab had to be reinforced by using 10/10/200/200 S500 reinforcing grid. The upper part had to be reinforced by using 12/12/100/100 S500 reinforcing grid. Due to the larger distance between the piles at slab edges, additional reinforcement at slab edges was needed. The results have shown a varied impact of the different stiffness of the piles on the slab reinforcement and behaviour.

Case 2

It is a case, the pile stiffness was 132.3 MN/m (Table 5, Pile No 1). The research results showed that the bottom of the floor slab had to be reinforced by using 10/10/200/200 S500 reinforcing grid. The upper part had to be reinforced by using 12/12/100/100 S500 reinforcing grid. Due to the larger distance between the piles at slab edges, additional reinforcement at slab edges was needed. Reinforcement of the slab was similar to Case 1. Minor differences appeared at the edges of the slabs. Differences had been caused by lower settlement differences between the piles as in comparison to Case 1.

Case 3

In this case, the stiffness of the piles was 80.73 MN/m (Table 2, Pile No 1, Curve 2). The study results showed that the bottom of the floor slab had to be reinforced by using 10/10/200/200 S500 reinforcing grid. The upper part had to be reinforced by using 12/12/100/100 S500 reinforcing grid. Due to a larger distance between the piles at slab edges, an additional reinforcement at the slab edges was needed. The reinforcement of the slab was similar that of Case 1 and Case 2. Minor differences have been detected at the edges of the slabs. The differences have been caused by lower settlement differences between the piles as in comparison to Case 1 and larger settlement differences between the piles as in comparison to Case 2.
Settlements of the slab varied from 3.32 to 6.42 mm (Fig. 12). In this Case, the settlements of the slab were smaller than in Case 1 and larger than in Case 2. The stiffness of all piles was of the same value. Therefore, the settlements of less loaded piles were smaller. The flatness tolerance requirements have been satisfied (Table 9), irrespective of the direction, in which a lift truck would drive.

Table 10. Comparison of calculation results

|                          | Case 1 | Case 2 | Case 3 |
|--------------------------|--------|--------|--------|
| The stiffness of all piles, MN/m | 47.5   | 132.3  | 80.73  |
| The bottom of the middle part of the floor slab must be reinforced | 10/10/200/200 S500 |        |        |
| The upper part of the middle part of the floor slab must be reinforced | 12/12/100/100 S500 |        |        |
| Settlements of the slab, mm | 5.80 ... 1.96 ... 3.32 ... | 9.21   | 4.87 ... 6.42 ... |
| Flatness tolerance requirements | satisfied |        |        |

As Table 10 shows, the difference in slab settlements in all three cases from the geotechnical point of view was insignificant. Also, the reinforcement was the same in all cases. Additional reinforcement was needed only at the edges of the slab in all cases.

Case 4

In this case, the stiffness of the majority of piles was 47.5 MN/m or 132.2 MN/m. In one area (Fig. 13), the slab stiffness of six piles was increased by 20, 30 or 40%; and in the other area, the stiffness of six piles was reduced by 20, 30 or 40%. In the case of the slab with the 47.5 MN/m stiffness of the majority of piles and the variance of the stiffness of ±20%, the settlements were from 5.8 to 9.26 mm (Fig. 14). Figure 14 highlights the areas in the middle part of the slab, where the settlements were greater and lower compared with the neighbouring areas and the same areas as in Case 1. The flatness tolerance requirements have been satisfied (Table 8), irrespective of the direction, in which a lift truck would drive.

The main and essential difference in pile stiffness changes has become apparent while comparing the reinforcement of the slab. The calculation results of all previous cases showed that the bottom of the floor slab had to be reinforced by using 10/10/200/200 S500 reinforcing grid, and the upper part had to be reinforced by using 12/12/100/100 S500 reinforcing grid. Additional reinforcement was needed not only at the edges of the slab.

The different stiffness values/settlements of the piles in the middle part of the slab caused the redistribution of internal forces in the slab; therefore, a need for additional reinforcement in deformed zones and areas around them (Figs 15 and 16) has emerged. Generally, it is impossible to know which pile will be more or less stiff; therefore, such possibility should be evaluated, and additional reinforcement used if needed.
Moreover, finalizing the calculations it could be stated that different stiffness of the piles, just as different loading on the slab in neighbouring areas, could cause differences in settlements. When the piles are stiffer, the values of settlements are smaller as well as differences of settlements of neighbouring areas. Therefore, in all cases, stiffer piles are preferable and advantageous. However, calculations with smaller pile stiffness are safe and reasonable for preliminary design.

4. Assumptions proposed for the calculation of the slab on the piles

Since the design of plates on piles usually has no static pile testing results, it is suggested to indicate the pile stiffness through the pile limiting settlement for the mobilization of the shaft resistance working in the elastic stage. Such pile stiffness may be determined using the DIN1054 methodology for the assessment of the pile base bearing capacity (Table 6). The stiffness determined in this way is lower than that obtained experimentally (Tables 2 and 5). Such assessment method will enable the prediction of the pile stiffness under different geotechnical conditions because the calculation estimates soil characteristics (Smoltczyk 2003).

Taking into consideration the change in soil properties and the possibility of the different stiffness of piles under the plate, an additional reinforcement of the plate may be required (Figs 15 and 16).

Results and conclusions

1. The pile stiffness coefficient can be determined from the pile static tests, evaluation of a particular project for the “safe load” and settlements. The pile stiffness obtained using the Weibull curve is much greater than using the other three methods including the pile test method; therefore, this method is not sufficiently effective or suitable for this type of slab calculations.
2. When there is no static pile testing, pile stiffness may be taken from the load-settlement curve obtained from DIN 1054 (2010). The stiffness determined in this way is lower than that obtained experimentally. Therefore, when the ground under the plate is varied and different, the plate must be additionally reinforced.
3. Piles under the floor slab have to be located properly to achieve settlements of all of the piles that would be as similar as possible. It can be achieved using the idea that each pile must be of even stiffness. In this way, not only settlements of the slab areas become similar, but also, slab reinforcement intensity in all areas of the slab is much more even, with no significant concentrations of internal forces.
4. Usually, characteristics of soils change to a lesser or greater extent. Thus, the stiffness of the piles differs as well. Calculation results have shown that additional reinforcement of the slab is needed. Therefore, the possibility of different settlements should be evaluated.
5. When characteristics of the soils are very similar, and loads on the piles are equal, the stiffness of the piles does not affect the reinforcement of the slab. Slab reinforcement is equal and does not depend on the stiffness value of the piles. This conclusion is valid when loadings on the slab are uniform.

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