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Improving performance of inverse Compton sources through laser chirping

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Abstract – We present a new method for computation of radiation spectra in the non-linear regime of operation of inverse Compton sources characterized by high laser intensities. The resulting simulations agree well with the experiments. Increasing the laser intensity changes the longitudinal velocity of the electrons during their collision, leading to considerable non-linear broadening in the scattered radiation spectra. The effects of such ponderomotive broadening are so deleterious that most inverse Compton sources either remain at low laser intensities or pay a steep price to operate at a small fraction of the physically possible peak spectral output. This ponderomotive broadening can be reduced by a suitable frequency modulation (also referred to as “chirping”, which is not necessarily linear) of the incident laser pulse, thereby drastically increasing the peak spectral density. This frequency modulation, included in the new code as an optional functionality, is used in simulations to motivate the experimental implementation of this transformative technique.

Introduction. – When a relativistic electron beam interacts with a high-field laser beam, intense and highly collimated electromagnetic radiation will be generated through Compton scattering [1,2]. Through relativistic upshifting and the relativistic Doppler effect, highly energetic polarized photons are radiated along the electron beam motion when the electrons interact with the laser light. For example, x-ray radiation can be obtained when optical lasers are scattered from electrons of tens-of-MeV beam energy. Because of the desirable properties of the radiation produced, many groups around the world have been designing, building, and utilizing inverse Compton sources (ICS) for a wide variety of purposes. Sources of electromagnetic radiation relying upon Compton scattering are being applied in fundamental physics research, and compact accelerator-based sources specifically designed for potential user facilities have been built [3]. One remarkable feature of the radiation emerging from such sources, compared to bremsstrahlung sources, is its narrow-band nature. Applications to x-ray structure determination [4], dark-field imaging [5,6], phase contrast imaging [5], and computed tomography [7] have been demonstrated experimentally and take full advantage of the narrow bandwidth of ICS.

Depending on the properties of the two fundamental elements of ICS—the energy of an electron beam and the intensity of a laser—there are several regimes of operations, shown in fig. 1. With the increasing electron beam energies, there are: i) Thomson regime at low-to-medium electron beam energies (2γE_laser ≪ m_ec^2), where the electron recoil can be neglected; and ii) Compton regime at high electron beam energies (2γE_laser ∼ m_ec^2), requiring
proper accounting for electron recoil. As the laser intensity increases, there are: i) linear regime at low laser intensities; and ii) non-linear regime at high laser intensities. The onset of non-linearity is quantified by the increase in the amplitude of the normalized vector potential \(a_0\) representing the laser \(\hat{A}(\xi) = e A(\xi)/m_e c^2 = \alpha(\xi) \cos(2\pi \xi/\lambda_0)\), where \(a(\xi)\) is the laser envelope, \(\xi = z + ct\) the coordinate along the laser pulse and \(\lambda_0\) the mean wavelength of the laser.

In this letter, we develop a method for computation of radiation spectra emitted from ICS operating in the non-linear Thomson regime, extending to high laser intensities and low-to-medium electron beam energies. The resulting computer code, SENSE (simulation of emitted nonlinear scattering events), uses a three-dimensional (3D) pulse model for the laser beam, a significant generalization of the one-dimensional (1D) plane-wave model. The electron beam is either generated by randomly sampling its bulk properties or supplied as input.

**SENSE code.** – SENSE computes spectra of the scattered radiation in ICS by integrating a spectrum \(d^2 E/d\omega d\Omega\) due to a collision of a single electron with a 3D laser pulse over an entire distribution of electrons. Monte Carlo integration over a solid angle \(d\Omega(\theta, \phi)\) of the physical aperture with the angular size of \(\theta_s\) is used to compute a spectrum \(dE(\omega)/d\omega = \int d^2 E(\omega; \Omega)/(d\omega d\Omega) d\Omega\) for each of the \(N_s\) simulation particles sampling a distribution of \(N_e\) electrons. The total spectrum is the average of these individual spectra. It is written in Python, and uses Cython and numpy for computational efficiency [20,21]. It is parallelized to run on multiple CPUs.

SENSE is applicable in the non-linear Thomson regime, where electron recoil can be neglected [22]. The range of the laser field parameter \(a_0\) for which this formalism is applicable is derived by requiring that the total number of photons emitted is less than one [23], and is given by \(a_0 < \sqrt{3\lambda_0/(2\pi^{1/2} \alpha \sigma_{l,z})}\), with \(\sigma_{l,z}\) the laser pulse length and \(\alpha\) the fine structure constant. For the Dresden experiment [24] simulated in the next section \(s = \sigma_{l,z}/\lambda_0 = 5.57\), so SENSE is applicable for the field strength parameter \(a_0 < 4.56\). All simulations reported here are well within this limit, as are most other existing and future ICS. The parameter space for which SENSE is applicable is shown in fig. 2.

We compare our classical code SENSE to the quantum code CAIN [25] by carrying out simulations in the classical non-linear Thomson regime where electron recoil can be neglected. There is a fundamental difference between the Monte Carlo implementation in CAIN [25] and SENSE. Both codes start by randomly sampling the electron distribution. However, CAIN models the incoming laser beam scattering off each such electron from the sample with a number of individual scattered particles. While this directly models what happens in an experiment, albeit on orders-of-magnitude smaller scales, it ensures that the rare events in nature will be equally rare in a simulation, leading to poor statistics in those regions. In contrast, SENSE computes scattering probabilities—the likelihood of scattered photons to be found in each portion of the spectrum. Therefore, the accuracy in each portion of the spectrum computed by SENSE is the same, determined only by the accuracy of the Monte Carlo integration.

There are two important features of SENSE that CAIN either does not have or implements only in a cumbersome way: 1) an arbitrary shape of the laser pulse and 2) an arbitrary laser frequency modulation (FM) scheme.

SENSE uses the equations derived in [22] and amended for FM in [23] for backscattered, on-axis photons (\(\phi = 0, \theta = 0\)). It is first generalized to arbitrary angles \(\phi, \theta\), in order to evaluate the total scattered radiation spectrum:

\[
\frac{d^2 E}{d\omega d\Omega} = \frac{d^2 E_\sigma}{d\omega d\Omega} + \frac{d^2 E_\pi}{d\omega d\Omega},
\]

(1)
where
\[
\begin{align*}
\frac{d^2 E_x}{d\omega d\Omega} &= \frac{e^2}{8\pi^2 c^2} \omega^2 |D_x|^2 \sin^2 \phi, \\
\frac{d^2 E_\pi}{d\omega d\Omega} &= \frac{e^2}{8\pi^2 c^2} \omega^2 \left| D_\pi \left( \frac{\cos \theta - \beta_z}{1 - \beta_z \cos \theta} \right) \cos \phi + D_z \sin \theta \right|^2.
\end{align*}
\]
(2)

\[
\begin{align*}
D_{x,z} &= c_{x,z} \int_{-\infty}^{\infty} \tilde{A}^{1,2}(\xi) d\xi \exp \left[ i \omega \left( \frac{(1 - \beta_z \cos \theta)}{c(1 + \beta_z)} \right) \right. \\
&- \frac{\sin \theta \cos \phi}{c \gamma (1 + \beta_z)} \int_{-\infty}^{\xi} \tilde{A}(\xi') d\xi' \\
&\left. + \frac{(1 + \cos \theta)}{2c \gamma^2 (1 + \beta_z)^2} \int_{-\infty}^{\xi} \tilde{A}^2(\xi') d\xi' \right],
\end{align*}
\]
(3)

where \( c_{x,z} = 1/(\gamma(1 + \beta_z)) \) and \( c_z = 1/(\gamma^2(1 + \beta_z)(1 + \beta_z \cos \theta)) \).

SENSE models electron beam’s emittance and the energy spread with a geometric argument. The 3D pulsed nature of a laser is modeled by varying the effective field parameter for each electron based on its path through the laser.

An electron along the z-axis of collision passes through the laser pulse head on. An electron with transverse momentum, thereby extending its path by \( \gamma \omega \).

The effects of the energy spread can be found by replacing \( \gamma \approx \gamma(1 + \Delta \gamma/\gamma) \) to obtain \( \omega = \tilde{\omega} \gamma(1 + 2\Delta \gamma/\gamma) \equiv k\tilde{\omega} \), where \( k \equiv r(1 + 2\Delta \gamma/\gamma) \). This means that in order to properly account for angles (emittance) and the energy spread, the computed spectra should be red-shifted by a factor \( 1/k \).

Therefore, SENSE computes
\[
\left( \frac{dE(\omega)}{d\omega} \right)_{\text{beam}} = \frac{N_x}{N_s} \sum_{i=1}^{N_s} \frac{dE(\omega/k(x,y,p_x,p_y,\gamma))}{d\omega},
\]
(5)

\( dE/d\omega \) is computed by integrating \( d^2 E/(d\omega d\Omega) \) over the physical aperture as shown in fig. 3.

In the 3D laser pulse model, the strength of the effective laser field that an electron experiences depends on its path. An on-axis electron “sees” the maximum strength.

We model electrons with angles as taking straight paths through the laser pulse. For an electron at the beginning of interaction with the laser beam \( (t = 0) \), the spatial coordinates are \( (x_0, y_0, z_0) \). The time coordinate is \( t = (\xi - z_0)/c \). After normalizing transverse momenta \( \tilde{p}_{x,y} \equiv p_{x,y}/(m_e c) \), an electron’s trajectory is
\[
x = x_0 + \tilde{p}_x \xi, \quad y = y_0 + \tilde{p}_y \xi, \quad z = z_0 + r\xi.
\]
(6)

In these new coordinates, a Gaussian laser pulse with r.m.s size \( \sigma_{x,l}, \sigma_{y,l}, \sigma_{z,l} \) as experienced by the electron is
\[
a(\xi) = \tilde{a}_0 \exp \left( -\left( \xi + \eta \right)^2/(2\tilde{\sigma}_{z,l}^2) \right),
\]
(7)

where
\[
\tilde{a}_0 = a_0 \exp \left( -\frac{x_0^2}{2\tilde{\sigma}_{x,l}^2} - \frac{y_0^2}{2\tilde{\sigma}_{y,l}^2} - \frac{z_0^2}{2\tilde{\sigma}_{z,l}^2} \right) \exp \left( \frac{\eta^2}{2\tilde{\sigma}_{z,l}^2} \right),
\]
\[
\eta = \tilde{\sigma}_{z,l} \left( \frac{x_0 \tilde{p}_x}{\tilde{\sigma}_{x,l}^2} + \frac{y_0 \tilde{p}_y}{\tilde{\sigma}_{y,l}^2} + \frac{z_0}{\tilde{\sigma}_{z,l}^2} \right),
\]
\[
\tilde{\sigma}_{z,l} = \frac{\sigma_{z,l}}{r^2} \left( \frac{\tilde{p}_x^2 \sigma_{x,l}^2}{r^2 \tilde{\sigma}_{x,l}^2} + \frac{\tilde{p}_y^2 \sigma_{y,l}^2}{r^2 \tilde{\sigma}_{y,l}^2} + 1 \right)^{-1}
\]

The maximum magnitude of the vector potential \( a \) occurs at the center of the pulse, at \( z_0 = 0 \). This means that the new laser pulse shape given in eq. (7) is also a Gaussian, only with a changed size \( \tilde{\sigma}_{z,l} \) and the amplitude of the normalized vector potential \( \tilde{a}_0 \). The change in the path...
Table 1: Laser pulse and electron beam parameters from the Dresden experiment [24]. All \( \sigma \) quantities are reported as r.m.s.

| Laser pulse | Variable | Value | Unit |
|-------------|----------|-------|------|
| Wavelength  | \( \lambda_0 \) | 800 | nm   |
| Pulse duration | \( T \) | 14.96 | fs   |
| Horizontal spot size | \( \sigma_{x,l} \) | 13.59 | \( \mu \)m |
| Vertical spot size | \( \sigma_{y,l} \) | 13.59 | \( \mu \)m |
| Pulse length | \( \sigma_{z,l} \equiv cT \) | 4.5 | \( \mu \)m |
| Normalized length | \( s \equiv \sigma_{z,l}/\lambda_0 \) | 5.57 |

| Electron beam | Variable | Value | Unit |
|---------------|----------|-------|------|
| Energy spread | \( \Delta E_i/E_i \) | 0.00175 |
| Energy | \( E \) | 23 | MeV |
| Horizontal emittance (normalized) | \( \epsilon_{x,n} \) | 20.3 ± 1.1 | mm mrad |
| Vertical emittance (normalized) | \( \epsilon_{y,n} \) | 18.0 ± 6.6 | mm mrad |

Fig. 4: Simulation of the Dresden experiment [24] data (gray circles) with CAIN (blue line) and with SENSE (red line) for parameters \( a_0 = 1.6, 1.0, 0.5, 0.05 \). Simulations with SENSE use \( N_s = 4000 \) particles and circular aperture of \( \theta_s = 0.004 \).

length of an electron’s passage through the laser pulse is

\[
\bar{r} \equiv \sqrt{\frac{p_{x,l}^2 \sigma_{x,l}^2}{r^2 \sigma_{x,l}^2} + \frac{p_{y,l}^2 \sigma_{y,l}^2}{r^2 \sigma_{y,l}^2}} + 1, \tag{8}
\]

shifting the wavelength \( \lambda_0 = \tilde{\lambda}_0/\bar{r} \). For electrons with angles \( p_x, p_z \neq 0 \), the ratio \( \bar{r} \) can be smaller (larger) than unity, in which case the frequencies are red-(blue-)shifted.

Simulating experimental results. – We simulate the Dresden experiment [24] using both CAIN [25] and SENSE. We assume that both the laser pulse and the electron beam are Gaussian-distributed with r.m.s sizes as reported in table 1 [24]. At the collision, the centers of the two beams overlap. The simulations with both CAIN and SENSE seem to be insensitive to the size and shape of the aperture. The aperture used in SENSE simulation is circular, while the physical aperture used in the Dresden experiment was rectangular [24]. In all of our simulations—those reported here and many others—the agreement between the results produced by CAIN and SENSE is remarkable, especially considering that they are based on two vastly different approaches.

The simulations in fig. 4 model the results of fig. 3 from ref. [24]. For the largest values of the laser field, \( a_0 = 1.6, 1.0 \), the agreement between the experiments and simulations using CAIN is very good, and SENSE even better. However, for lower values, \( a_0 = 0.5, 0.05 \), there is a shift to the right in the simulations from both codes. Increasing the strength of the laser field from \( a_0 = 0.5 \) to 0.7 and from \( a_0 = 0.05 \) to 0.5 in SENSE simulation produces excellent fits to the data, comparable to those for the larger values of \( a_0 \). The discrepancy between the experiments and the simulations for the lower values of the strength of the laser field is likely due to a different geometry of collision. It is unclear which of the geometries reported in fig. 2 of ref. [24] was used in experiments.

**Improved performance via laser chirping.** – In this section, we first discuss in general how chirping the laser pulse improves the peak spectral density in scattered radiation. We then use SENSE to simulate what would happen if the laser pulse in the Dresden experiment were chirped.

In ref. [23], we presented a novel and quite general analysis of the interaction of a high-field FM (chirped) 1D plane-wave laser and a relativistic electron, in which exquisite control of the spectral brilliance of the up-shifted Compton scattered photon is shown to be possible. The main idea behind laser chirping is the following: in order to minimize the spectral width in the lab frame, one should arrange the frequency in the beam frame to emit radiation Doppler shifted back to a constant frequency in the lab frame. This results in the judicious modulation of the frequency of the incoming laser pulse. We showed that the ponderomotive broadening can be eliminated by suitable FM of the incident laser. We suggested a practical realization of this compensation idea in terms of a chirped-beam–driven free electron laser oscillator and showed that signifi-
cant compensation can occur, even with the imperfect matching.

Extending the FM technique from the 1D plane-wave to the 3D pulse model for the laser has been carried out recently [26]. Because the electrons colliding with a 3D laser pulse encounter a full range of laser field strengths $a$, from 0 to $a_0$ —depending on which portion of the pulse they pass through— the $a$-dependent FM of the laser pulse cannot recover the narrow bandwidth of every electron in the distribution. Here we try to answer by how much the peak spectral density can be increased by a FM of the laser pulse and when FM is most effective.

SENSE is capable of simulating FM of any form. The 3D laser pulse is represented by a normalized vector potential $\tilde{A}(\xi) = a(\xi) \cos(2\pi f(\xi)/\lambda_0)$, where $f(\xi)$ is the FM prescription. We carried out simulations for a Gaussian laser pulse with three FM prescriptions $f(\xi)$:

1) Optimal chirping for the 3D laser pulse model [26]:

$$f_{3D}(Y;p) = f_0 \left( \frac{p^3}{2} + \frac{1}{Y} \int_0^Y dY' \left( s_1(Y') + s_2(Y') \right) \right),$$

where $A(Y) = a_0 \exp(-2Y^2)/2$, $Y = \xi/(\sqrt{2}\sigma)$, and $p$ is an arbitrary constant and

$$s_{1,2} = \left[ \frac{p^2}{2} A(Y) + \frac{p^4}{27} \pm \sqrt{\frac{p^4}{27} A^2(Y) + \frac{p^2}{4} A'(Y)} \right]^{1/3}.$$  

2) Optimal chirping for the 1D plane-wave model [23]:

$$f_{1D}(\xi;a) = f_0 \left( 1 + \frac{\sqrt{\pi} \sigma^2}{4\xi^2} \text{erf}(\xi/\sigma) \right),$$

with $a$ the laser field strength, which varies from 0 to the maximum field strength $a_0$.

3) FM produced by a free electron laser oscillator. When a driving beam bunch is long enough that the radio frequency (RF)-curvature–related energy spread is substantial, the frequency of the laser pulse produced will also be modulated [23]:

$$f_{RF}(\xi;\lambda_{RF}) \approx f_0 \left( \frac{1}{2} + \frac{\lambda_{RF}}{8\pi \xi^2} \sin(4\pi \xi/\lambda_{RF}) \right),$$

where $\lambda_{RF}$ is the RF wavelength [23].

$f_0$ is a normalization constant such that $f_0 \omega_0$ is the laser frequency at the center of the pulse. The normalization constant $f_0$ shifts the scattered energy spectrum by a factor $f_0$, without changing its shape. In the original derivation of the optimal FM for a 1D plane wave [23], $f_0 = 1/(1 + a_0^2/2)$ was adopted, such that $f_{1D}(0) = 1$, while consequent studies used $f_0$ such that $f(\pm \infty) = 1$ [27,28]. In the original derivation of the optimal FM for a 3D laser pulse [26], $f_0$ such that $f(\pm \infty) = 1$ was applied. In the simulations reported here, $f_0$ was chosen to make $f(0) = 1$ for all three FMs: numerically computed for $f_{3D}$, $f_0 = 1/(1 + a_0^2/2)$ for $f_{1D}$ and $f_0 = 2/3$ for $f_{RF}$.

All three FMs are one-parameter functions. The peak spectral density is maximized by carrying out a systematic search over their respective parameters using a genetic algorithm [23,29].

The distribution of the laser field strength $a$ that a Gaussian-distributed electron beam “sees” as it passes through the center of a Gaussian laser pulse is quantified by a cumulative distribution

$$\frac{N(a)}{N(a_0)} = 1 - \frac{4}{\pi} \int_0^{h(a)} dy \int_0^{g(a,y)} dx \exp\left(-x^2 - y^2\right),$$

where $g(a, y) = \sqrt{\log(a/a_0) + r_y^2 y^2}/r_x^2$, $h(a) = \sqrt{-\log(a/a_0)/r_x^2}$, $r_x \equiv \sigma_x/\sigma_{x,t}$, $r_y \equiv \sigma_y/\sigma_{y,t}$ are the ratios of transverse sizes of the two beams. Typical distributions are shown in the rightmost panel of fig. 5.

The smaller the ratios $r_x, r_y$, the larger the transverse size of the laser pulse in comparison to that of the electron beam, and the more peaked the distribution $N(a)/N(a_0)$ around $a = a_0$. Vanishing ratios $r_x, r_y$ lead to the 1D
The remarkable agreement between experiments and our new code `SENSE` strongly suggests that the underlying model correctly captures the relevant physics. This translates into confidence that `SENSE` can accurately describe physical behavior of collisions between electron beams and chirped laser pulses, a scenario which is yet to be tested experimentally. Simulations with `SENSE` strongly suggest that judiciously chirping the laser pulse substantially increases the spectral density. The increase depends on the strength of the laser field, the relative transverse sizes of the two beams and the form of the FM function. While for the current parameters in the Dresden experiment the returns due to chirping would be modest (≈20% for \( a_0 = 1.6 \)), reducing the transverse size of the electron beam by a factor of ten should yield a threefold increase in the peak spectral density.

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