Growth mechanism of metal-oxide nanowires synthesized by electron beam evaporation: A self-catalytic vapor-liquid-solid process

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We report the growth mechanism of metal oxide nanostructures synthesized by electron beam evaporation. The condensed electron beam can easily decompose metal oxide sources that have a high melting point, thereby creating a self-catalytic metal nanodot for the vapor-liquid-solid process. The metal oxide nanostructures can be grown at a temperature just above the melting point of the self-catalyst by dissolving oxygen. The morphology of nanostructures, such as density and uniformity, strongly depends on the surface energy and surface migration energy of the substrate. The density of the self-catalytic metal nanodots increased with decreasing surface energies of the substrate due to the perfect wetting phenomenon of the catalytic materials on the high surface energy substrate. However, the surfaces with extremely low surface energy had difficulty producing the high density of self-catalyst nanodot, due to positive line tension, which increases the contact angle to >180°. Moreover, substrates with low surface migration energy, such as single layer graphene, make nanodots agglomerate to produce a less-uniform distribution compared to those produced on multi-layer graphene with high surface migration energy.

One-dimensional metal oxide nanostructures have great potential in a huge number of application fields, including energy and environmental science, electronics, photonics, and biology1–5. The growth mechanism of the metal oxide nanostructures can mainly be divided into two categories, based on whether or not metallic catalysts are used6–8. The vapor-liquid-solid (VLS) growth mode uses nano-sized metal dots (e.g., Au, Ni) at the early stage of growth and the immersed supersaturated atoms during growth, segregated as the metal oxide nanostructures near the melting point of the catalyst metal. On the other hand, the vapor-solid (VS) growth mode is strongly affected by the anisotropic properties between crystal orientations of nano-sized oxide seed particles, resulting in the facile synthesis of nanostructures. Compared to the VS mode, the VLS mode has the advantages of i) freedom of oxide material selection, ii) a simple process for the nanostructure growth at temperature just above the melting point of the metal catalyst.

A drawback of the VLS process is that, the metal catalyst can react with the target materials during growth at high temperatures, thereby creating intermetallic compounds and contamination9,10. Therefore, VLS that uses the metal element of the target metal oxide as a catalyst (i.e., self-catalyst) has been attempted by decomposing the metal oxide during growth for formation of high quality products without contamination11–14. However, the decomposition temperature of most metal oxides is too high to control. Carbo-thermal decomposition, in which graphite powder is mixed with the metal oxide powder, can be used to reduce the decomposition temperature, but this process also has contamination problems, such as metal carbide formation15,16. Alternatively, use of an electron beam can decompose several materials that have a high melting point. Several groups have attempted electron beam evaporation to grow nanowires such as Si, Ge, and SnO215–17. In most of the studies, an Au nanodot was used as the catalyst during VLS. Catalysts that have low melting points, such as In and Bi, have been used to reduce the growth temperatures, but they are heterogeneous catalysts18,19. For the case of metal oxides, heterogeneous catalysts are not needed if the metal element of the metal oxide can be used by decomposing it during growth. Moreover, the decomposition temperature of metal oxide can be reduced under conditions of low oxygen chemical potential, such as in a high vacuum20. Most metal oxides can be easily decomposed by the condensed electron-beam, and sufficient metal flux for the self-catalyst of the VLS process can be formed under a low oxygen...
partial pressure. In this case, the VLS growth can be achieved easily, at a temperature just above the melting point of the metallic self-catalyst, as shown in Fig. 1. The electron beam can easily achieve the vapor pressure required for self-catalyst formation, compared to the conventional tools for nano-structure growth, such as a heat furnance and pulsed laser. Because the maximum temperature of a normal heat furnace is $<1,500^\circ$C, it has limited application for metal oxides with decomposition temperatures $>1,500^\circ$C. Moreover, the pulsed laser tool tends to form the stoichiometrically identical composition to the target source material during laser irradiation, without decomposing of the metal oxide. Overall, the electron beam evaporation is the most ideal tool for nanowire growth using self-catalysis by decomposing of the metal oxide during irradiation.

In this work, we focused on the group 13 elements in the periodic table (Al, Ga, and In) that have high decomposition temperatures for their metal oxides, but, low melting points of metals themselves, as shown in Fig. 2a (Refer to supplementary information S1 for the growth of MgO nanowire by electron beam evaporation using MgO pellet as a source material without use of a catalyst). By checking the morphological changes at temperature below and above the melting point of the metal, self-catalytic VLS of electron beam-evaporated group 13 oxides can be verified. To learn the growth mechanism of liquid metal mediated VLS growth for the metal oxide nanostructures, the effect of surface energy on the nucleation of the self-catalytic nanodots was examined. Although high surface energy is helpful for conventional heterogeneous nucleation, it does not form a nano-sized droplet, but tends to spread easily, resulting in low density of nanostructures. Finally, the effect of surface migration energy on the nucleation of the self-catalytic nanodots for the VLS process was studied using an n-graphene layer as the substrate, because the surface energy of n-graphene is independent of n, whereas the surface migration energy is strongly dependent on it.

**Results and Discussion**

SEM images (Fig. 2b) were taken of electron beam-evaporated group 13 oxide materials on SiO$_2$ covered Si (100) wafers at room temperature (RT), and near melting point of the pure metals (Al: 660.03 $^\circ$C, Ga: 29.77 $^\circ$C, and In: 156.6 $^\circ$C). The surface morphologies of the samples were quite flat at RT, except for Ga$_2$O$_3$, whereas there were several nanostructures near the melting point of the pure metal. The rough surface of Ga$_2$O$_3$ at RT resulted from the low melting point of pure Ga, and the even lower melting point of the nano-sized dot than the bulk state; as a result, the liquid phase occurred during deposition even at RT. These metal oxides could be decomposed easily by a high density electron-beam, and sufficient metal flux for self-catalysis of the VLS process can be formed under a low oxygen partial pressure. In this case, VLS growth can be achieved easily at a temperature just above the melting point of the metallic self-catalyst, resulting in development of nanostructures. To verify that self-catalytic VLS growth occurs, the most important is the existence of metallic nanodots at the early stages of growth.

Figure 2c and 2d show the electron energy loss spectroscopy in a transmission electron microscope (TEM-EELS) maps of electron beam-evaporated gallium oxide and indium(tin) oxide (ITO) exposed for just for 10 sec on Si (100) substrate at 100 $^\circ$C for gallium oxide, and 300 $^\circ$C for indium(tin) oxide. To protect against oxidation...
during transfer from the electron beam evaporator to the TEM, each sample was passivated in-situ using an amorphous SiO2 layer (~70 nm thick). In the maps, oxygen and the metal element were separated by a clear line, which clearly proves the existence of metallic nanodots at the initial stage of nanostructure growth. Based on this clue about metallic nanodot formation, we can study the growth mechanism of these nanodots on various substrates, and the evolution of their final nanostructure.

Hereafter, we focused on the ITO nanostructure, because it showed a relatively uniform structure due to the moderate melting point of In compared to those of Al and Ga. Moreover, several recent studies about the ITO nanowires reflect the importance of not only applications based on excellent metallic conductivity with the transparency but also growth mechanism itself27–29. Studies of ITO nanowire growth with Au or Au-Cu nanodots as catalysts clearly show the role of Sn during the VLS process27–28. However, the growth behavior will differ when a self-catalyst is used, because the atomic diffusion and reactivity of In and Sn in Au or Au-Cu matrix will be different from those in the pure self-catalyst. Furthermore, a previous study of self-catalytic VLS growth of ITO nanowires focused on several growth factors such as incident flux angle, growth rate, and substrate temperature29. Study of the early stage of growth on various substrates expand understanding of self-catalytic VLS growth.

Above all, we studied the composition of the ITO nanowire (metallic head with oxide body) to determine its growth mechanism. The existence of a metallic nanodot head for VLS growth was confirmed by high resolution TEM (HR-TEM). The TEM sample was prepared by evaporating the ITO pellet in an e-beam system for 10 s at a substrate temperature of 300°C on SiO2/Si (100). The well-crystallized tetragonal metallic In phase (a = b = 0.33 nm and c = 0.50 nm) was observed clearly (Fig. 3a). Sn was also detected (Supplementary Fig. S3). The metallic In nanodots were 20 nm in diameter; they were formed by decomposition of the oxide source during electron beam irradiation, resulting in the VLS process for nanowire growth. To determine the exact composition of the oxide body, X-ray diffraction was measured (Fig. 3b). The peak positions of the ITO nanowires were identical to the JCPDS profile of In2O3. During nanowire growth from the self-catalytic metal head by segregation of the supersaturated oxygen atoms, the most thermodynamically stable metal oxide was grown (for In and O this is In2O3). At 500 K, the Gibbs free energy change for the formation of In2O3 is ~−103.603 kJ/mole, while that of In2O3 is ~−765.574 kJ/mole31–32). In(Sn) nanodots were grown at 300°C for 6 s, and observed using atomic force microscopy (AFM); their density was plotted (Fig. 4) as a function of the surface energy of various substrates (Supplementary Table S2).33–35. Because the metallic nanodot density may be strongly related to the wetting and spreading characteristics, we considered the contact angle θold based on Young’s relation: σlv = σsv + σlv cos θold = 0. This describes the conditions for the stability of a liquid droplet on a flat substrate in coexistence with its vapor, in terms of the relevant surface energies σlv (liquid/vapor), σsv (substrate/vapor) and σsl (substrate/liquid). The σsv surface energy of liquid In is ~0.6 J/m². Consequently, if the interfacial energies between liquid In and the substrates used in our AFM studies can be obtained, the θold values can be calculated. We chose four substrates with different σsv: W, Sapphire, SiO2, and polyimide (supplementary table S2). i) W surface: As shown in the reference study of Sb-doped Cu droplet on W surfaces37, the surface energy of liquid Cu can be controlled to be between 0.5 J/m² and 1.3 J/m². The surface energy of 0.6 J/m² could also be acquired by doping Sb at about a 15 ~ 20% molar fraction in Cu, which is the same value as for pure indium. In this case, the interfacial energy between the Sn-doped Cu and W is about 6.5 J/m² if we assume the surface energy of solid W (σsv) to be about 7 J/m². Accordingly, the contact angle was calculated to be about

![Figure 3](image-url) **Figure 3** | (a) HR-TEM image of metallic indium head at the early stage of growth. (b) XRD result of In(Sn) oxide nanowires grown on SiO2/Si(100) during 150 sec at 300°C (with JCPDS profile of In2O3).

![Figure 4](image-url) **Figure 4** | Plot of the In(Sn) nanodot density as a function of the surface energy of the various substrates. The inset shows the AFM images of In(Sn) nanodot. Scale bar, 200 nm.
result, we cannot estimate the exact contact angle of liquid indium on polyimide.

Next, we considered the effect of line tension effect on \( \theta_{\text{old}} \) (Fig. 5). As droplet size decreases below the nanometer range, the line tension \( (\gamma) \) three phase contact line) effect should be considered. Therefore, the new contact angle should be rewritten as: \( \cos \theta_{\text{new}} = \cos \theta_{\text{old}} - \gamma / (\sigma_1 R) \), where \( R \) is the radius of the solid—liquid contact area. In a vacuum, metal nanoparticles normally have \( \gamma \approx 10^{-9} \text{ N/m} \). The radius of an In nanodot is \( \approx 10 \text{ nm} \) (inset, Fig. 5). Using this information, we can draw a relation between \( \theta_{\text{old}} \) and \( \theta_{\text{new}} \). The new contact angle equation implies that \( \theta_{\text{new}} > \theta_{\text{old}} \). The critical contact angle of \( \theta_{\text{old}} \) (where \( \theta_{\text{new}} = 180^\circ \)) is \( \approx 146^\circ \). \( \theta_{\text{new}} \) of the In nanodot on SiO\(_2\) is \( \approx 90^\circ \). This is similar to the value shown in the inset TEM image of Fig. 5. Moreover, the decrease of the density of the In nanodots on a sapphire surface compared to an SiO\(_2\) surface could be understood to cause the higher \( \theta_{\text{new}} > 145^\circ \) due to the line tension effect (\( \theta_{\text{old}} \approx 130^\circ \)), which is near the critical angle for desorption, which means that \( \theta_{\text{new}} = 180^\circ \). The decrease in In(Sn) nanodot density on materials with extremely low surface energy, such as polyimide, could also be understood in the context of the positive line tension effect (desorption from the surface due to high contact angle). The detailed information for the contact angle of In particles on several surfaces are summarized in Table 1.

The perfect wetting and abnormal In(Sn) nanodot formation on the W surface (Fig. 6b) clearly caused difference in the nanostructure development from that on an SiO\(_2\) surface, which showed uniform nanostructure growth (Fig. 6a). A metal catalyst coated on W surface will change the surface energy of W and tends to cause formation of a large catalyst by a ripening process, resulting in abnormal diameter distribution of ITO nanowires. Moreover, the ITO nanowires on c-plane sapphire (Fig. 6c) had much smaller diameter (\( \sim 10 \text{ nm} \)) than those on the other substrates. This phenomenon can be explained by the high contact angle of liquid In (\( \theta_{\text{old}} \approx 130^\circ ; \theta_{\text{new}} \approx 145^\circ \)) on c-sapphire. Increasing contact angle decreases the contact area with the substrate, and therefore decreases the diameter of the ITO nanowires during segregation. ITO nanowires have a larger diameter (>40 nm) on a W surface than on a sapphire surface because liquid In has a lower contact angle (\( \theta_{\text{old}} \approx 30^\circ \)) on W than on sapphire. If we assume the total volume of metal particles to be constant, we can calculate the contact radius of this particle on several substrates (table 2). This calculation shows a similar tendency to that shown in the SEM image (Fig. 6). To summarize, the surface energy of the substrate effects the contact angle of the liquid droplet at the early stage of growth (from the decomposition of metal-oxide source during electron-beam irradiation), and this effect results in variation of the density and diameter of the metal-oxide nanowires formed.

The distribution of In(Sn) nanodot size on the polyimide surface (inset AFM image, Fig. 4) is non-uniform compared to that on SiO\(_2\) and c-plane sapphire surfaces. To understand this phenomenon, we must consider the surface migration and agglomeration of In(Sn) nanodots. Because polyimide has very low surface energy, the metal nanoparticles on the surface could migrate easily during growth. We compared the distribution of In(Sn) nanodots on specific substrates that had similar surface energy but different surface migration

### Table 1: Summarized information for the contact angle of indium particles on several surfaces.

| Surface | \( E \) | \( \sigma_1 \) | \( \sigma_2 \) | \( \theta_{\text{old}} \) | \( \theta_{\text{new}} \) |
|---------|---------|---------|---------|---------|---------|
| SiO\(_2\) | 0.8 | -0.68 | -0.68 | 80° | 90° |
| CAl\(_2\)O\(_3\) | 1.9 | -4.3 | -2.3 | 130° | 145° |
| W | 7 | -6.5 | -6.5 | 30° | 45° |

Where \( E \) is the energy, \( \sigma_1 \) and \( \sigma_2 \) are the surface energy and line tension, respectively. The contact angle \( \theta \) is measured by TEM.
energy, e.g., n-graphene substrate (Fig. 7a)\textsuperscript{22–24}. The surface energy of n-graphene is independent of n (Fig. 7b). The surface energy distribution was determined from the contact angle using DI water and diiodomethane as the probe liquids, and calculated using the geometric mean equation: 
\[ (1 + \cos \theta) \gamma_{pl} = 2(\gamma_s \gamma_{pl} \rho_{disp})^{1/2} + 2(\gamma_s \gamma_{pl} \rho_{polar})^{1/2}, \]
where \( \gamma_s \) and \( \gamma_{pl} \) are the surface energies of the sample and the probe liquid, respectively, and the superscripts \( d \) and \( p \) refer to the dispersion and polar (non-dispersion) components of the surface energy, respectively\textsuperscript{46}. The calculated surface energy of graphene (~46 to 47 mJ/m\(^2\)) matched quite well with previous reports\textsuperscript{47}. However, the surface migration energy of the graphene surface is strongly dependent on n, due to Van der Waals forces between the single graphene layers (Bernal AB stacked graphenes)\textsuperscript{22–24}. Although the n-graphenes made by the multiple transfer process do not have perfect AB Bernal stacking order, AB stacking structures can occur over small areas due to the polycrystalline characteristics of CVD graphenes. The Raman spectrum of 1-layer graphene (Fig. 7c) shows the features of monolayer graphene: (i) a 2.0 ~ 3.0 2D/G intensity ratio and (ii) a symmetric 2D band\textsuperscript{48}. All of the samples had weak D and G* bands, which is unavoidable in graphene samples grown on polycrystalline copper foil using CVD. The red-shift of the G band and unsymmetrical 2D peaks in the Raman spectrum for 2 and 3-layer graphenes indicated that the anharmonic scattering of optical phonons that are active in the Raman scattering processes increased due to the additional AB stacking graphene layers\textsuperscript{49}. When grown at 300 °C for 6 s, initial In(Sn) nanodot distribution was affected by the number of graphene layers, from 0 (bare SiO\(_2\) covered Si) to 3 (Fig. 7d). The strong migration tendency of single-layer graphene caused abnormal nanodot agglomeration. This migration tendency gradually disappeared as the number of graphene layers increased from 1 to 3, (schematics, Fig. 7d) due to strong Van der Waals forces between layers.

![Figure 6](https://www.nature.com/scientificreports/srep06589)

**Figure 6** | SEM morphology evolution of In(Sn) oxide nanostructures with respect to growth time (a) on SiO\(_2\)/Si substrate, (b) on W coated c-plane sapphire, and (c) on bare c-sapphire substrate. Scale bar, 200 nm.

**Table 2** | Calculation of contact radius of indium particles on several surfaces. Assume the total volume of liquid indium is same with \((4\pi R_0^3)/3\), where \( R_0 \) is radius of homogeneous nanoparticle without contact to substrate.

|          | SiO\(_2\) | C-Al\(_2\)O\(_3\) | W  |
|----------|----------|-----------------|----|
| Contact with Indium NP | 90° | 145° | 45° |
| Calculated \( R_{\text{new}} \)  | \( \frac{4}{3} \pi R_0^3 \) | \( \frac{4}{3} \pi R_0^3 \) | \( \frac{4}{3} \pi R_0^3 \) |
| Calculated Contact Radius | 0.58 \( R_0 \) | 0.58 \( R_0 \) | \( \sqrt{2R_W} \) |

\( R_{\text{SiO}_2} = 1.26 \ R_0 \)

\( R_{\text{W}} = 1.82 \ R_0 \)
line tension which increases the contact angle producing self-catalytic nanodots with high density, due to positive surface migration energy of the substrate. However, surfaces with extremely low surface energy have difficulty wetting of catalytic materials on high surface energy substrates. With decreasing surface energy of the substrate, due to the perfect nanostructures. The density of the self-catalytic nanodots increased of the self-catalyst distribution, and the final morphology of the surface migration energy strongly effects the density migration energy. In this synthesis process, the surface energy and achieved easily at a temperature just above the melting point of the metal oxide nanostructures synthesized by the VLS process can be formed under a low oxygen partial pressure. Therefore, the metal oxide nanostructures synthesized by the VLS process can be achieved easily at a temperature just above the melting point of the metallic self-catalyst. In this synthesis process, the surface energy and surface migration energy of the substrate strongly effects the density of the self-catalyst distribution, and the final morphology of the nanostructures. The density of the self-catalytic nanodots increased with decreasing surface energy of the substrate, due to the perfect wetting of catalytic materials on high surface energy substrates. However, surfaces with extremely low surface energy have difficulty producing self-catalytic nanodots with high density, due to positive line tension which increases the contact angle > 180°. Moreover, substrates with low surface migration energy, such as single layer graphene, cause the nanodots to agglomerate, thereby producing a non-uniform distribution compared to substrates with high surface migration energy.

Figure 7 | (a) Camera image of graphene transferred 3 times onto SiO2/Si(100) surfaces. Scale bar, 1 cm. (b) Contact angle of n-layer graphene using DI water and diiodomethane, and calculated surface energy based on the geometric mean equation. (c) Raman spectrum of n-layer graphene. (d) Top view SEM images of In(Sn) nanodots on n-layer graphene at the early stage of growth and their schematics. The n = 0 layer is bare SiO2/Si substrate. Scale bar, 500 nm.

Considering all the experimental results, we believe that metal oxides could easily be decomposed by a high density electron-beam, and that sufficient metal flux for the self-catalysis of the VLS process can be formed under a low oxygen partial pressure. Therefore, the metal oxide nanostructures synthesized by the VLS process can be achieved easily at a temperature just above the melting point of the metallic self-catalyst. In this synthesis process, the surface energy and surface migration energy of the substrate strongly effects the density of the self-catalyst distribution, and the final morphology of the nanostructures. The density of the self-catalytic nanodots increased with decreasing surface energy of the substrate, due to the perfect wetting of catalytic materials on high surface energy substrates. However, surfaces with extremely low surface energy have difficulty producing self-catalytic nanodots with high density, due to positive line tension which increases the contact angle > 180°. Moreover, substrates with low surface migration energy, such as single layer graphene, cause the nanodots to agglomerate, thereby producing a non-uniform distribution compared to substrates with high surface migration energy.

**Methods**

**Electron beam evaporation.** The group 13 metal oxide nanostructures (Al2O3, Ga2O3, and Sn 10% doped In2O3) were fabricated by the electron beam evaporation method using high purity (99.99%) oxide pellets as the source materials. The nanostructures were grown at a rate of 0.5 nm s⁻¹, and the chamber pressure was maintained at approximately 10⁻⁴ Torr during deposition. The substrate temperature was controlled using a heating element made by SiC and calibrated by k-type thermocouple. **Substrate preparation:** Several substrates with different surface energies were prepared: Si(100) covered by thermal oxide at about 200 nm, c-plane sapphire, tungsten film (50 nm) coated by magnetron sputtering (3 mTorr argon plasma, 100 Watt RF power, 300 °C) on c-plane sapphire, and spin-coated polyimide film (cured at 150 °C) on soda-lime glass. Substrates were prepared and cleaned sequentially with acetone, ethyl-alcohol, and de-ionized water. For the n-layer graphene substrates, the conventional chemical vapor deposition (CVD) method using copper foil as a catalyst was used. The copper foils (Alfa Aesar, item No. 13382, 99.8% purity) were loaded into the quartz tube reaction chamber. A typical growth process was conducted as follows: (1) the pressure in the growth chamber was pumped down to 5 mTorr using a mechanical pump; (2) a 40 sccm flow of hydrogen gas was introduced into the chamber at 950 mTorr; (3) at the copper foils were heated to 1000 °C for 60 min and annealed for 50 min to enlarge the copper grains and remove residual copper oxide and impurities; (4) a 6 sccm flow of methane gas with 20 sccm hydrogen was introduced into the chamber for 10 min with a total pressure of 420 mTorr for graphene synthesis; (5) after growth, the furnace was cooled rapidly to RT under a 20 sccm flow of hydrogen. The synthesized graphene were transferred onto SiO2/Si(100) substrate 3 times to make n-layer graphene surfaces. First, one side of the graphene/copper foils were spin coated with polymethyl methacrylate (PMMA) and dried in atmosphere. Then, the uncoated graphenes were etched with oxygen plasma for 30 sec at 100 W. After the copper foils were totally etched in (NH4)2S2O8 solution (0.1 M) for 12 hours, the graphene/PMMA films were washed in DI water several times. The graphene/PMMA films were transferred onto SiO2/Si(100) substrate and dried at ambient temperature, then heated at 180 °C for 30 min. Finally, the PMMA layers were removed with acetone. Analytical Scanning electron microscopy (SEM) with a PHILIPS XL30S was carried out at an acceleration voltage of 10 kV and working distance of 5 mm. For the elemental analysis at the initial stage of growth, the electron energy loss spectroscopy (EELS) map attached function in
high-resolution transmission electron microscopy (HRTEM: model Co-corrected JEM2010FS operated at 200 kV) was carried out. Atomic force microscopy (AFM) images were recorded using a Digital Instruments Nanoscope in tapping mode with silicon cantilevers. The Raman spectrum was obtained with a Raman spectrometer LabRAM HR 800 from the company HORIBA Yvon GmbH under the following conditions: excitation wavelength of the laser: He-Ne 633 nm; spot size of the laser beam: 5 μm in diameter; measurement time: 10 sec.

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Author contributions
H.K.Y. performed the experiments and analyzed the data. H.K.Y. and J.-L.L. discussed the results and commented on the manuscript. J.-L.L. conceived of and supervised this study, and provided intellectual and technical guidance.

Additional information
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