Advancing precision medicines for ocular disorders: Diagnostic genomics to tailored therapies

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Successful sequencing of the human genome and evolving functional knowledge of gene products has taken genomic medicine to the forefront, soon combining broadly with traditional diagnostics, therapeutics, and prognostics in patients. Recent years have witnessed an extraordinary leap in our understanding of ocular diseases and their respective genetic underpinnings. As we are entering the age of genomic medicine, rapid advances in genome sequencing, gene delivery, genome surgery, and computational genomics enable an ever-increasing capacity to provide a precise and robust diagnosis of diseases and the development of targeted treatment strategies. Inherited retinal diseases are a major source of blindness around the world where a large number of causative genes have been identified, paving the way for personalized diagnostics in the clinic. Developments in functional genetics and gene transfer techniques has also led to the first FDA approval of gene therapy for LCA, a childhood blindness. Many such retinal diseases are the focus of various clinical trials, making clinical diagnoses of retinal diseases, their underlying genetics and the studies of natural history important. Here, we review methodologies for identifying new genes and variants associated with various ocular disorders and the complexities associated with them. Thereafter we discuss briefly, various retinal diseases and the application of genomic technologies in their diagnosis. We also discuss the strategies, challenges, and potential of gene therapy for the treatment of inherited and acquired retinal diseases. Additionally, we discuss the translational aspects of gene therapy, the important vector types and considerations for human trials that may help advance personalized therapeutics in ophthalmology. Retinal disease research has led the application of precision diagnostics and precision therapies; therefore, this review provides a general understanding of the current status of precision medicine in ophthalmology.

KEYWORDS
ocular dystrophies, genetic testing, gene therapy, ophthalmology, viral vectors, non-viral vectors, adeno-associated virus
Introduction

Ocular dystrophies or inherited retinal diseases (IRDs) are a heterogeneous group of rare ocular diseases commonly caused by gene mutations which subsequently result in degeneration of retinal photoreceptors leading to progressive visual damage (1, 2). Around 300 genes have been recognized in which mutations can give rise to one or more of the clinical subtypes of ocular diseases (3). It is estimated that 1 in 2,000 people worldwide is affected by IRDs (4). IRDs can be familial or sporadic, syndromic or isolated, and stationary or progressive. With respect to geographic distribution, IRDs could be diffused or localized. Ocular dystrophies are inherited through all modes of inheritance i.e., autosomal dominant, autosomal recessive, X-linked, and mitochondrial (5).

Several factors have contributed to making the ocular compartment an ideal model for molecular therapies (6, 7). The tight junctions of the blood-brain barrier make the retina a fairly immune-advantaged tissue. Therefore, the normal inflammatory immune response is limited within the ocular chamber (8) as well as on the ocular surface (9, 10) due to the presence of specific molecular factors in the ocular fluids and expression of immune dampening signals on tissue surfaces that dampen the immune responses locally. This feature of the eye makes the retina relatively tolerant to the introduction of viral vectors without eliciting severe inflammatory responses (5, 11). The most advantageous feature of the eye is the low amount of vector required to obtain a therapeutic response. The possibility of extensive systemic distribution of the locally administered vector is low (12), which further inhibits undesired effects.

Vector-mediated gene therapy has been shown to decrease photoreceptor loss in rodent models of primary photoreceptor diseases and in dogs with a naturally occurring disease similar to human Leber's congenital amaurosis (LCA) (13). Another beneficial feature is the ease of accessibility through intravitreal and subretinal delivery of vectors to the affected tissue of the eye (14). The differentiated and non-dividing characteristics of the retinal cells work in favor of retaining vectors with minimal loss.

Currently, there are several overlapping methods to treat ocular dystrophies under development, that not only include molecular therapies but also stem cell-based therapies and retinal prostheses (15). Novel approaches for the treatment of many eye diseases are possible today due to the successful delivery of foreign genes to ocular tissues to modify the genotype and phenotype of the cells (16). Gene therapy is one such approach that has shown success in recent years, especially in the field of ocular disease. There are over 70 clinical trials registered on clinicaltrials.gov using gene therapy for the treatment of several ocular disorders including Leber Congenital Amaurosis 2 (LCA2), Retinitis Pigmentosa (RP), Choroideremia, Leber's Hereditary Optic Neuropathy (LHON), and Achromatopsia (Table 1), to more complex “acquired” disorders like Age-related Macular Degeneration (AMD). Improvements in viral vectors, as well as benefits of the ocular environment for gene therapy, have made this treatment modality safer and more specific, driving its acceptance in the clinic.

There remains a myriad of challenges that will need to be addressed in order to attain the long-term success for different treatment modalities such as tissue specific expression, long term sustenance of the therapy, limiting immune reactions, reducing prices, and improving accessibility. Currently, an approved treatment is Voretigene neparvovec-rzyl, a viral vector mediated gene therapy approved for RPE65-mediated IRD, which accounts for about 2% of autosomal recessive RP and 16% of LCA (17, 18). The heterogeneous nature of IRDs makes it difficult for the development of a common treatment for a wide number of patients (5). Most critically, the costs of current gene therapies are substantial, with large pharmaceutical initiatives being cautious about the field given the limited number of individuals that could significantly benefit from such tailored therapies.

In this review, we emphasize the most advanced methodologies to recognize new genes and variants associated with diverse ocular disorders and the complexities linked with them using a few examples from existing literature. We also explore the strategies, challenges, and potential of gene therapy for the treatment of IRDs. Further, we discuss the multiple aspects of gene therapy which can help to improve personalized therapeutics in ophthalmology.

Genomic medicine for the retina: Genes associated with inherited and acquired retinal disorders

Current progress made in genomics has led to the identification of new genes and variants responsible for a host of inherited and age-related ocular disorders. The evolving molecular research studies have revealed the genetic underpinnings and the disease mechanism of such diseases. As a result, scientists have outlined many genes and their
| Phase | Conditions | Target gene | Interventions | Result | Sponsors and collaborators | NCT number |
|-------|------------|-------------|---------------|--------|---------------------------|-------------|
| 1/2   | Achromatopsia | CNGB3 | Subretinal rAAV2tYF-PR1.7-hCNGB3 | NA | Applied Genetic Technologies Corp | NCT02599922 |
| 1/2   | Achromatopsia | CNGA3 | Subretinal rAAV-hCNGA3 | NA | STZ eyetrial | NCT02610582 |
| 1/2   | Achromatopsia | CNGB3 | Subretinal AAV2/8 viral vector | NA | MeiraGTx UK II Ltd | NCT03001310 |
| 2     | Choroideremia | REP1 | Subretinal AAV-REP1 | NA | Targeted Genetics Corporation | NCT02407678 |
| 2     | Choroideremia | REP1 | Subretinal AAV2-REP1 | NA | Byron Lam | NCT02553135 |
| 2     | Choroideremia | REP1 | Subretinal rAAV2.REP1 | NA | STZ eyetrial | NCT02671539 |
| 1/2   | Choroideremia | REP1 | Subretinal rAAV2.REP1 | Improved visual acuity at 6 months | UK Department of Health and Wellcome Trust | NCT01461213 |
| 1/2   | Choroideremia | REP1 | Subretinal rAAV2.REP1 | NA | Ian M. MacDonald, Alberta Innovates Health Solutions | NCT02077361 |
| 1/2   | Choroideremia | CHM | Subretinal AAV2-hCHM | NA | Spark Therapeutics | NCT02341807 |
| 1/2   | LCA | RPE65 | Subretinal tgaAG76 (rAAV 2/2.0rP65p.hRPE65) | Moderate and temporary improvement of retinal sensitivity | National Institute for Health Research and others; Targeted Genetics Corporation | NCT00643747 |
| 1     | LCA | RPE65 | Subretinal rAAV2-CB5B-hRPE65 | Improved visual sensitivity at 3 and 12 months followed by gradual diminution over 6 years, no serious adverse event | University of Pennsylvania, National Eye Institute (NEI) | NCT00481546 |
| 1     | LCA | RPE65 | Subretinal AAV2-hRPE65v2 | Modest improvement in subjective vision up to 2 years. The greatest improvement was noted in children. 1 of 12 subjects had temporary macular hole, no other adverse events. Greatest improvement in young patients. | Spark Therapeutics, The Children’s Hospital of Philadelphia | NCT00516477 |
| 1     | LCA | RPE65 | Subretinal rAAV2-hRPE65 | NA | Hadassah Medical Organization | NCT00821340 |
| 1     | LCA | RPE65 | Subretinal AAV2/5 OPTIRPE65 | NA | MeiraGTx UK II Ltd. | NCT02781480 |
| 1/2   | LCA | RPE65 | Subretinal AAV2/5 OPTIRPE65 | NA | MeiraGTx UK II Ltd., Syne LaQ Non-Limited | NCT02946879 |
| 1/2   | LCA | RPE65 | Subretinal AAV2-hRPE65v2 (contralateral eye treatment) | Contralateral eyes of 11 subjects from 12 subjects who were enrolled in the previous phase 1 trial. Improved mobility and light sensitivity from day 30 to year 3. One case of endophthalmitis | Spark Therapeutics, The Children’s Hospital of Philadelphia | NCT01208389 |

(Continued)
variants that can impact the vision and health of our eyes. For example, the genomic revolution revealed the genetic causes of LCA, an IRD which leads to extreme vision loss in childhood, and AMD, a common cause of blindness in the elderly (19). Presently, more than 20 LCA genes have been identified and documented (20). Nowadays, genetic testing can be performed quickly in many kinds of retinal diseases and often aids diagnosis. More importantly, gene-specific treatments have also been tested clinically. AMD is a complex disease caused by a combination of genetics and environmental factors but despite its complexity, more than 40 loci have been accounted for 15 to 65% of AMD pathology (20). Based on the genetic findings, it is evident that an early diagnosis through genetic testing can help evaluate patients’ conditions for deciding on the treatment plan(s) and follow-up care to avoid or delay irreversible vision loss (21). Today it is accepted that genetics play a significant role in the causation and progression of ocular disorders; a few of which are listed in Table 2 and briefly covered in this review.

### TABLE 2

| Phase | Conditions | Target gene | Interventions | Result | Sponsors or collaborators | NCT number |
|-------|------------|-------------|---------------|--------|--------------------------|------------|
| 3     | LCA, RP    | RPE65       | Subretinal AAV2-hRPE65v2 | Bilateral subretinal injection. Significant improvement in functional vision as measured by the change in mobility testing between baseline and one year | Spark Therapeutics | NCT00999609 |
| 1/2   | LCA        | RPE65       | Subretinal rAAV2-CB-hRPE65v2 | NA | Applied Genetic Technologies Corp | NCT00749957 |
| 1/2   | LCA        | RPE65       | Subretinal rAAV2/4-hRPE65v2 | NA | Nantes University Hospital | NCT01496040 |
| 1/2   | LHON       | ND4         | Intravitreal rAAV2-ND4 | Improved visual acuity and enlarged visual field. No local or systemic adverse events | Bin Li | NCT01267422 |
| 3     | LHON       | ND4         | Intravitreal GS010 (AAV2/2 ND4) | NA | GenSight Biologics | NCT02652767 |
| 3     | LHON       | ND4         | Intravitreal GS010 (AAV2/2 ND4) | NA | GenSight Biologics | NCT02652780 |
| 1/2   | Retinoschisis | RS1     | Intravitreal AAV8 scRS/RBRS | NA | National Eye Institute (NEI) | NCT02317887 |
| 1/2   | Retinoschisis | RS1     | Intravitreal rAAV2-YF-CB-hRS1 | NA | Applied Genetic Technologies Corp | NCT02416622 |
| 1     | RP         | MERTK       | Subretinal rAAV2-VMD2-hMERTK | NA | Fowzan Alkuraya | NCT01482195 |
| 1/2   | RP, X-linked | RPGR   | Intravitreal RST-001 | NA | RetroSense Therapeutics | NCT02556736 |
| 1/2   | Stargardt Disease | ABCA5  | Subretinal AAV-RPGR | NA | NightstaRx Limited | NCT03116113 |
| 1/2   | Stargardt Disease | ABCA4  | Subretinal SAR422459, Lentiviral vector | NA | Sanofi | NCT01367444 |
| 1/2   | Stargardt Disease | ABCA4  | Subretinal SAR422459, Lentiviral vector | NA | Sanofi | NCT01736592 |

### Precision diagnostics: Clinical genetic testing for ocular disorders

As we are entering the age of genomic medicine, advances in genetic research can now provide precise and robust diagnoses. Genetic evidence today can provide information regarding prognosis from the evolving body of genotype-phenotype correlations and protein function, which can then help in directing precise therapeutic interventions (22). This has been further bolstered by the rapid advancement in DNA sequencing methodologies and analysis tools. In the field of IRDs in particular, the impact of such advances is evident. With mutations in more than 300 genes implicated in IRDs, along
TABLE 2  Genes linked to human eye disorders.

| Sl. no | Disease | Gene/Variant |
|--------|---------|--------------|
| 1      | Retinitis pigmentosa | MERTK, RPGR, PDE6B, PRPF3, HK1, RHO, AGBL5, etc. |
| 2      | Stargardt’s disease | ABCA4, etc. |
| 3      | Inherited optic neuropathies | OPA1, RPE65, Complex 1, ND1, ND4 or ND6 genes, etc. |
| 4      | Achromatopsia | CNGB3, CNGA3, GNAT2, PDE6C, PDE6H |
| 5      | AMD | ABCR/ABCA4, CFH, C3, ERCH, FBLN5, NOS2A, CFH, CF, C3, CFH, HTRA1/LC, MMP-9, TMP-9, SLC16A8, FBLN6 (HMCN1), HTRA1, LOC387771/ARM5, RAX1, TLR4, ERCC6, FBLN5, HMCN1, HTRA1, RAX2, etc. |
| 6      | Leber congenital amaurosis type 10 (LCA10) | CEP290 |
| 7      | Leber congenital amaurosis type 2 (LCA2) | RPE65 |
| 8      | X-linked retinoschisis | RS1 |
| 9      | Glaucoma | CALM2, MPP-7, Optineurin, LOX1, CYP1B1, CAV1/2, MYOC, PITX2, FOXC1, PAX6, CYP1B1, LTBP2, etc. |
| 10     | Cataract | GEMIN4, CYP51A1, RIC1, TAP1, TAF1, WDR57, APE1, MB, Cx50/GJAX & 6, CRYAA, CRYB2, RAX1, CCDC15, ZNF1350, EPHA2, etc. |
| 11     | Marfan syndrome | FBN1, TGFBR2, MTHFR, MTR, MTRR, etc. |
| 12     | Myopia | HGF, C-MET, UMODL1, MMP-1/2, PAX6, CBS, MTHFR, IGF-1, UHRF1BP11, PTTPR, PPFA2, P4HA2, etc. |
| 13     | Diabetic retinopathy | AKR1B1 (ALR2), a2b1 integrin gene, BFGF, EPO, HFE, Mn-SOD, IGF-1, ICAM-1, PON1, PPAR(C), UCP2, etc. |
| 14     | Usher syndrome | MYO7A |
| 15     | Uveal melanoma | PTK, BAP1, GNAQ, GNA11, DDEF1, SF3B1, EIF1AX, CDKN2A, p14ARF, HERC2/OCA2, etc. |
| 16     | Choroideraemia | CHM |
| 17     | Polypoidal choroidal vasculopathies | C2, C3, CFH, SERPING1, PDE, LOC387771, CETP, ARMS2-HTRA1, FGD6, ABCG1, etc. |

with several other modifying elements, the genetic complexities of IRDs are evident (23). Genetic screening of IRDs comes with its set of challenges particularly as a proportion of screened patients have genomic alterations which have not yet been established as causative or pathogenic in the literature (24). Identification of disease-causing gene variant(s) is extremely important to better understand the disorder and its inheritance. The importance of establishing a genetic diagnosis, so patients can get access to the latest treatments options has become evident with the approval of first gene therapy for IRDs caused by biallelic variants in the RPE65 gene (25) as well as with the rise in many more gene-based treatments (Figure 1). Additionally, due to considerable genetic and phenotypic heterogeneity it may be difficult to attribute a particular disease-causing gene unless functional molecular pathways are confirmed. The availability of comprehensive genomic diagnostic techniques today (Table 3) has improved our access to personalized medicine and will be discussed in the following sections. Next generation sequencing (NGS) and cytogenetic testing are two main clinical genetic tests primarily considered for diagnosis of any IRD. To establish genotype-phenotype correlations and to better understand the disease, it is important to retrieve a molecular diagnosis which will help in determining a prognosis. For most genetic eye conditions, sequencing of either a single gene, such as PAX6 for aniridia in adults, or targeted gene panels, such as for retinal dystrophies, is usually considered as the initial route of molecular analysis (26). Furthermore, alternative genetic testing methods like genome wide copy number variant (CNV) analysis by microarray may be more suitable for syndromic conditions.

Next generation sequencing

Next generation sequencing is usually the foremost approach for examining of genetically heterologous eye disorder. It allows massive parallel sequencing of multiple targets from multiple samples (27). It involves sequencing of short DNA fragments and then aligning them to a reference genome, followed by identification of the variation and annotation before the final analysis. NGS methods include targeted gene panels or clinical exome, whole exome sequencing (WES) and whole genome sequencing (WGS). Illumina, Ion Torrent, Complete Genomics Technology, Third Generation Sequencing (3GS; PacBio and Oxford Nanopore) are examples of few NGS platforms that are being used routinely. The average turnaround time for most of the gene panel-based test is between 2 and 4 weeks depending on the service provider and the size of the panel. Further, within the same sequencing platform, different devices provide different levels of throughput, e.g.,
Illumina sequencing machines include the MiniSeq, MiSeq, NextSeq, NovaSeq, and HiSeq models. The MiniSeq gives 7.5 Gb with 25 million reads/run at 2 × 150 bp reads; MiSeq can perform 2 × 300 bp reads, 25 million reads for an output of 15 Gb; NextSeq can provide 120 Gb with 400 million reads at 2 × 150 bp read length (28). The Ion Torrent system from Thermo-Fisher includes Ion Personal Genome Machine™ (PGM™) System, Ion Proton™ System, Ion S5 system and ION S5 XL system, each with different throughput features (29). In contrast to second generation sequencing methods (Illumina
and Ion torrent), third generation sequencing methods provide longer reads for DNA (and RNA) molecules, e.g., Pacific Biosciences (PacBio) which has two sequencing systems, the RSII and Sequel. Such a sequencing process, also known as SMRT (Single Molecule Real Time) sequencing, can sequence very long fragments, up to 10–50 kb and 350 megabases of sequence per SMRT cell. The new systems can generate ∼365,000 reads, with average reads of 10–15 kb (7.6 Gb of output) (28). The costs for sequencing include many components apart from consumables and instrument, which are the labor and the bioinformatics pipeline at the end of the process. It is therefore important to understand which technology is best suited for specific genomics applications based on the questions posed and the clinical information available for specific cases.

**Targeted gene panels**

Targeted sequencing typically captures the smallest amount of genetic information and is becoming increasingly valuable since they are customizable. Such approaches utilize DNA capture and enrichment method that specifically focus on a “virtual gene panel” targeting the analysis on certain select subset of genes (30–32). The selection of genes is typically based on currently existing genotype-phenotype knowledge, gene discoveries, and functional knowledge of molecular pathways involved in disease pathology. These panels can be custom designed for specific target exons as well as flanking introns of the genes that are known to be associated with certain genetic eye disease. For example, Oculome, a targeted gene panel, was designed to screen 429 known eye-related disease-causing genes (30) specifically ocular birth defects and inherited eye conditions. These have five overlapping sub-panels for several anomalies including congenital cataract and lens- associated conditions (70 genes), glaucoma (59 genes), microphthalmia-anophthalmia-coloboma (86 genes) retinal dystrophies (235 genes), and albinism (15 genes) (30). It provided a definitive diagnosis with 25% diagnostic rate in a study of 277 patients. It was developed with the goal of maximizing the chances of detecting pathogenic mutations with a single genetic test. Similarly, several genetic panels have been designed to cover known disease associated retinal-geenese. Another group designed a panel covering 176 retinal genes (called NGS176) and obtained a molecular diagnosis for 54.9% of the patients from a study of 488 patients. Their idea was to develop a first-tier genetic diagnosis with 25% diagnostic rate in a study of 277 patients. WGS mainly becomes more expensive than the other methods. WGS allows for maximum coverage of relevant genomic regions and genes in a cost-effective manner. However, these panels need to be updated frequently, which is one of their biggest limitations. Every time a novel gene or variant associated with a particular genetic eye disorder is identified, the panel must be redesigned which involves time and cost, leading to infrequent updates (Table 3). In such scenarios, “virtual” gene panels could be far more efficient due to quicker bioinformatic refreshing.

**Whole exome sequencing**

Whole exome sequencing involves selection, enrichment, and sequencing of exons of known protein coding genes. Although the exome is only 1.5% of the genome, most of the disease-causing gene have been found to be within the protein coding sequence (39, 40). Since all exons are covered by WES, it enables the variants to be detected even if they are not fully elucidated (41–43). Additionally, such information also allows for future investigation of such data when new genes are discovered. However, WES has its own limitation including the inability of detecting deep intronic sequences, or inversions, translocations, and non-coding rearrangements. As the amount of data generated by WES is large, it has the potential to provide information for the future resolution on unsolved diagnosis.

**Whole genome sequencing**

Whole genome sequencing far exceeds the coverage offered by gene panels and WES. It enables coverage of PCR intractable genomic regions including GC rich regions (44–48). WGS allows for identification of variants such as CNV, deep intronic variants, structural variations by allowing coverage of the entire genome (49–51). However, due to this the cost associated with WGS as well as the analysis and interpretation, it is far more expensive than the other methods. WGS mainly becomes valuable for cases unsolved by targeted screening.

**Cytogenetic testing**

Apart from the tests mentioned above, cytogenetic testing is also widely used to detect chromosomal abnormalities, CNVs as well as to verify NGS findings (52). Various techniques are a part of cytogenetic testing including fluorescent in situ hybridization (FISH), karyotyping, qualitative fluorescent polymerase chain reaction (QF-PCR) and microarray-based comparative genomic
hybridization (array-CGH) and multiplex ligation-dependent probe amplification (MLPA) assay (Table 3).

Fluorescent in situ hybridization is usually used to detect the presence or absence of a specific DNA sequence on a chromosome using sequence specific fluorescent probes, especially for disorders like oculocutaneous albinism and melanoma (53, 54). On the other hand, one of the most conventional ways of testing chromosomal abnormalities is karyotyping, which detects large chromosomal anomalies (5–10 kb) including deletions, duplications, and inversions (55, 56). Many ocular conditions such as pre-senile cataract, glaucoma, corneal ectasia, nyctalopia, strabismus, and retinovascular anomalies are commonly found with Down's syndrome which can easily be detected by karyotyping (57–59). Contrary to this, array-CGH is a more detailed and sensitive technique which helps determine abnormalities ranging from 100 kb–5 mb, by analysing CNVs (52, 60). It has been shown that this method is a preferred initial genetic test for patients with syndrome-related ocular diseases due to its high detection rates for such cases. To rule out Wilms tumour, aniridia, genitourinary anomalies and intellectual disability (WAGR) syndrome, array-CGH is commonly used to detect mutation involving the WT1 and PAX6 genes in children with aniridia (26, 61). Apart from this, QF-PCR is another technique used to quantify and confirm copy number in a specific region by amplifying specific regions of DNA. It can also detect common aneuploidies (62). In general, CNVs are better detected by array-CGH when compared to FISH and QF-PCR. However, the results provided by this method usually needs further validation by other quantitative PCR methods (63). One of the methods being used to validate array-CGH is MLPA assay, which can detect CNVs of specific genes including small intragenic rearrangements (64). A study analysing mutations in PAX6 gene showed that MLPA enhanced the molecular diagnosis of aniridia (65). Out of 70 individuals affected with aniridia, 24 different point mutations in the PAX6 gene were identified in 34 patients after sequencing. In additional eight patients, MLPA identified deletion of one or more exons of PAX6 gene, demonstrating the necessity to screen for larger deletions in the gene in addition to sequencing of exons. Combinations of sequencing and MLPA are used routinely for the ocular pediatric tumor, Retinoblastoma (66).

Access, availability and challenges of genetic testing for personalized therapeutics

Sequencing technology has evolved dramatically that has helped reduce the time and cost of sequencing entire genomes or sections of genomes in a targeted fashion. This is due to epochal developments of instruments and sequencing chemistries that has improved time, efficiency and accuracy. While the process of sequencing today takes a few hours, the initial efforts for sequencing had taken years. Previously, genetic testing has been recommended by IRD specialists and ocular genetic counselors at large academic research centers (67–69). However, this approach could not meet patient demand for three important reasons. Firstly, due to the scarcity of IRD specialists and ocular genetic counselors at academic medical centers to meet patient demand for
Genetic testing helps in molecular diagnosis that makes individuals access to the latest treatment options (Figure 1). In many IRDs, the vast degree of variability and reduced penetrance complicate the result interpretation for subjects in early stages of disease (74, 75). In such cases, carrier testing may be helpful. Carrier testing is performed on individuals who are asymptomatic but may have a mutated allele for a genetic disease that can be passed on to the next generation. This test identifies individuals carrying a single pathogenic variant in a recessive or X-linked disease gene which when present with another pathogenic variant can cause genetic disease (74). In the past, genetic testing was performed on a single gene basis where a small number of genes, closely associated with the disease on the basis of clinical evaluation were tested (67). With the introduction of NGS, testing multiple genes in a single assay has become possible (76). Before performing genetic testing, an IRD specialist or an ocular genetic counselor familiar with the genetics of the various retinal diseases should educate the affected individuals and their caregivers regarding the benefits, limitations, and potential implications of genetic testing (77, 78). For example, due to phenotypic overlap among various IRDs, targeted genetic testing may miss some differential diagnoses (76). Contrarily, broader testing strategies increase the possibility of unexpected or unclear results and the conditions that seem isolated may actually be syndromic. It is crucial for individuals to understand that genetic testing doesn’t guarantee a molecular diagnosis for their IRD since not all the variants and genes linked to IRDs have been identified (77). Therefore, testing may not identify the disease-causing variant for all individuals and the efficiency of similar testing strategies across different ethnicities may yield varying efficiencies (79). Therefore, efforts are now underway to sequence genomes across various ethnicities and enhance the reference genome sequences for under-represented groups (80). Usually, if a variant does not fulfill the pathogenicity criteria, or the function of the variant has not been experimentally determined, then it is classified as a variant of uncertain significance (VUS) (81). Sometimes, variant interpretation can be challenging even in the presence of a clear phenotype since in IRDs, mutations in different genes may yield the similar retinal pathologies. It is important to be cautious when reporting the VUS and often additional testing or reanalysis of the data in light of emerging new functional information is recommended to assess the effects of these variants. Moreover, there is a possibility that with advances in knowledge and relationship between variants and disease pathology, the VUS could later be identified as pathogenic. Early and precise diagnosis is important for individuals with IRDs to facilitate patient decision-making, recognize suitable clinical studies or treatment opportunities, and improve patient outcomes (Figure 1).

Tailored therapeutics: Inherited retinal diseases, ideal targets for gene therapy

Over the last decade, extensive research has been done on gene transfer techniques for treating ocular diseases through gene therapy. The eye is easily accessible for topical or localized drug delivery including direct injection of gene therapy vectors. A variety of such vectors have now been tested for retinal applications that can be engineered for the delivery of therapeutic genes to ocular tissues for the treatment of many eye diseases (82). In the majority of IRDs the defective genes have an effect on the retinal pigment epithelium (RPE), the photoreceptor layers and underlying choriocapillaris (83). The availability of animal models that are genetically well-defined and the ease of gene delivery to the retina and vitreous has helped rapidly advance research on ocular gene therapy (84). The direct ocular delivery can limit immune responses toward the vector and transgene, with the blood-retinal barrier helping to restrain the systemic spread (5). The non-dividing stable cells of the retina are conducive to the use of a variety of vector types to produce sustained transgene expression with the goal of vision recovery. However, the differential progression rates of different IRDs provides challenges in identification of therapeutic windows during which rectification of the gene defect may prevent further damage.

The most common IRDs are RP, LCA, choroideremia, LHON, Achromatopsia, Stargardt disease, and X-linked retinoschisis (XLRs) (83). Thus, gene therapies in development for ocular diseases focus on these diseases alongside
more common retinal vascular diseases such as Diabetic Retinopathy and AMD.

**Strategies for gene therapy**

**Gene augmentation**

Gene augmentation or gene replacement is a very straightforward strategy for genetic recessive disorders caused by a dysfunctional gene, where a functional copy of a gene is delivered to affected cells in order to restore the expression of an inadequately functioning gene (85, 86). In this approach, there is no requirement to modify the native genomic DNA sequence of the affected cells as the augmented function is provided by supplementary DNA that coexists in the nucleus of the cell. The success of this strategy is determined by two factors (i) the inserted gene must produce physiological and/or sufficient levels of the normal protein (ii) the disease effects are still in a reversible state (iii) In case of IRDs, the disease is recessive or X-linked. This method is one of the most widely used strategies in gene therapy trials for ocular diseases including the FDA-approved Luxturna. Three fourth of patients with achromatopsia carry mutations in either CNGA3 or CNGB3 genes that encode the cyclic nucleotide-gated channel in cone (87). There are studies that have shown that through gene augmentation using AAV (Adeno-associated virus) vectors carrying either of the two genes, improvement has been observed in murine, ovine and canine models (88–90). Intravitreal injection of an AAV8 vector carrying the Retinoschisis 1 gene (RS1) has been observed in murine, ovine and canine models (88–90). Intravitreal injection of an AAV8 vector carrying the Retinoschisis 1 gene (RS1) in a mouse model with X-linked retinoschisis, caused by a mutation in the RS1 gene, exhibited significant improvement in retinal structure and function post-treatment and has moved to a phase 1/2 clinical trial (NCT02317887) (91). Gene augmentation strategy provides a long-term persistent expression of secreted therapeutic proteins to deal with non-genetic retinal disease, such as AMD. The interim results of Regenxbio from phase I, open-label dose increasing trial assessing the efficacy and safety of the subretinal injection of a novel AAV8 vector (RGX-314) encoding a soluble anti-VEGF monoclonal antibody fragment (NCT03066258) were encouraging (92). The expression levels of the protein were observable at 1 month in a dose-dependent manner, with sustained expression observed at 6 months in patients treated at a dose of 6x10 vector genome (vg) per eye. Over the period of 6 months, minimal or no anti-VEGF injections (50% of patients) were required by patients treated at that dose, with preservation of central retinal thickness and assessments of best-corrected visual acuity (BCVA) vs. baseline showed either conservation or improvements in visual acuity. Whether this durability can extend beyond 1 year still needs to be evaluated. An AAV2 vector carrying the ND4 gene (GS010) for LHON patients showed good safety and efficacy results in a number of 1/2 trials and several phase three clinical trials are currently being done (93). These examples of tailored gene therapy approach for IRDs highlight the incredible potential for genomic precision medicine.

**Gene editing**

This approach, focuses directly on editing the genome by correcting or removing the mutant gene which is superior to gene augmentation particularly in autosomal dominant conditions as well as point mutations. While recombination and genome editing technologies such as ZFN (Zinc Finger Nucleases) and TALENs have been available for some time, the most specific and advanced genome editing technology to date is Clustered Regularly Interspaced Short Palindromic Repeats (CRISPR)–Cas editing system. CRISPR based editing depends on the associated Cas proteins, the cognate guide RNA which targets the nuclease to particular sites desired depending on available sequence contexts (94). Even though gene editing has certain advantages over gene augmentation, it does carry a risk of inducing off-target mutations caused by the nucleases. Clinically, CRISPR-Cas9 editing method has been developed for treating CEP290 mutation associated with autosomal recessive LCA10, IVS26 c.2991 + 1655A > G, p.Cys998X (95). This mutation causes the addition of an ambiguous exon in the final gene product that has a premature stop codon. The approach to remove the aberrant splice-donor is in a current Phase I/II trial led by Editas Medicine/Allergan (NCT03872479), marking the first in vivo human use of CRISPR-Cas9 technology (96, 97). The first in vivo gene editing clinical trial for LCA10 patients (NCT03872479), assessing the safety, tolerability, and efficacy of AGN-151,587 (EDIT-101, Allergan, Dublin, Ireland), a CRISPR-Cas system was commenced in March 2020 (98). This multicentre trial is a landmark in gene therapy being the first to directly administer gene-editing therapy via subretinal injection.

**mRNA-based gene therapy**

Suppressing the faulty gene to restore normal function is another mechanism of specific gene-targeted therapy, particularly in autosomal dominant diseases, infectious conditions and in age-related disorders. The most successful mechanism in this context is the use of antisense oligonucleotides (AONs) which are short synthetic single-stranded RNA or DNA that bind to the complementary mRNA and brings about its multiple effects that can either hamper or correct target gene expression or alter the pre-mRNA splicing causing splice site inclusion or exclusion (99). Importantly, the first AON approved by FDA for marketing was for the treatment of cytomegalovirus retinitis: fomiviren (Vitravene). Preclinically developed AONs can be used to treat diseases caused by deep intronic mutations resulting in the insertion of pseudo-exons with premature stop codons (100), such as in choroideremia (101); abnormal splice transcripts that cause exon skipping, as in Stargardt disease (102, 103); and to repress the gain-of-function Pro23His mutation in autosomal dominant...
As noted in the gene therapy clinical trials of LCA2, the visual function is not always addressed sufficiently by the current approaches. Although rescue of the enzymes need to be considered. The potential off-target effects of these techniques need to be considered carefully and followed diligently in human studies. Overall, further studies in the safety and efficacy profile of these new modalities are critical.

**Mutation independent gene therapy strategies**

One of the major causes of blindness is IRD, caused by a variety of mutations in more than 300 genes (3). Vision loss in all IRDs regardless of the relevant mutation is the final outcome which is typically due to the death of photoreceptor cells. While every retinal disease has its own genetic mutation, the common disease physiology, i.e., the loss of photoreceptors, is not always addressed sufficiently by the current approaches. As noted in the gene therapy clinical trials of LCA2, the visual function reduced after a few years even after rescuing the primary gene mutation in the retina. The reduction in the visual function was due to the persistent death of photoreceptors that diminished the efficiency of AAV.RPE-65 gene therapy (107-109). Photoreceptor death is a cumulative consequence of photic damage, aberrant cell signaling, endoplasmic reticulum stress, mitochondrial dysfunction and chronic inflammation (110). It was noticed that in animal models lacking RPE65, ABCA4, etc., loss of photoreceptors through apoptosis occurred spontaneously in response to light damage (111-113). The IRDs are being treated currently through a gene therapy approach based on mutations specific to a small subset of patients who carry the exact cognate gene mutations (114).

Both apoptotic and necrotic pathways are responsible for the death of the photoreceptors in all IRD (115, 116). These mechanisms of cell death commonly converge on a variety of chaperones that are essentially required for homeostatic functions in the cells (120). Moreover, animal studies have revealed that neurotrophic factors could inhibit cell death and escalate functional capacity in the retina, optic nerve, and brain (121-126). The potential neuroprotective effects of neurotrophic factors, including brain-derived neurotrophic factor (BDNF), ciliary neurotrophic factor (CNTF), glial cell-line derived neurotrophic factor (GDNF), and nerve growth factor (NGF), makes them efficient therapeutic candidates for neurodegenerative diseases (121). Glioma is a neurodegenerative disease of the eye that is characterized by damage to the optic nerve, particularly due to high intraocular pressure (IOP), and continuous degeneration of retinal neurons called retinal ganglion cells (RGCs) (127, 128). Presently, reduction of IOP is the main focus for the therapy of glioma, but neuroprotection may also be beneficial. BDNF is a potential neuroprotective agent especially for RGCs. It has been observed that RGCs can be protected from damage by exogenous application of BDNF to the retina and by increasing BDNF expression in retinal neurons using viral vector systems (129). Moreover, inducing BDNF expression by agents such as valproic acid has also been advantageous in elevating RGC survival (129). NGF has also been implicated in retinal damage regression. It has been reported that NGF administration exerts a rescue effect on photoreceptors in vivo (122). Therefore, focusing on these three strategies to select targeted genes that need to be augmented to avoid photoreceptor death can have therapeutic potential.

An important challenge in IRD therapy is the development of broad application therapies, which are independent of gene mutation and act on common pathways that underly retinal damage (Figure 2). One such mutation-independent approach that is ideal for treating diseases with initial
Gene therapy strategies for treatment of IRDs. This schematic represents the potential therapeutic approaches that gene therapy offers for various retinal diseases. Gene/mutation-based approaches are preferred when the knowledge of the genetic cause of the disease are known. Mutation-independent approaches act on common pathways that underly retinal damage and help in treating a large fraction of patients with genetically heterogeneous and complex retinal diseases.

Photoreceptor degeneration is activation of neuroprotective pathways. Expression of the neurotrophic factors using AAV mediated delivery can enable stable transgene expression and therapeutic efficacy. Studies have shown the ability to prevent photoreceptor death in several mouse models of retinal degeneration by using neurotrophic factors such as CNTF, BDNF and pigment epithelium-derived factor (PEDF) (130–132). In a more recent study, a single AAV vector expressing both BDNF and its receptor, the tropomyosin-related receptor kinase-B (TrkB), showed significant long-term RGCs survival and improved positive scotopic threshold responses in a model of optic nerve crush and in a model of high-tension glaucoma (HTG) (133).

Another broad approach is optogenetic therapy, which aims to restore vision in late-stage IRDs. Here the vision is restored by using pre-existing retinal neural synapses. It targets genes such as opsin genes that encode photosensitive proteins to selected retinal cell types, thereby converting them into replacement photoreceptors (134, 135). In this approach, opsin genes are inserted into a gene expression cassette and delivered via an adeno-associated viral (AAV) vector into neurons in vivo, which leads to the transduced neurons being rendered photosensitive. Intravitreal injection with an AAV containing an optogenetic expression cassette in a patient with late-stage RP showed that the treated eye gained the ability to perceive, locate, and count various objects whilst using the light stimulating goggles (136). Another study showed improvements in the visual function in two rod-cone dystrophy (RCD) mouse models with mutations in two different genes, after treatment with AAV mediated expression of G-protein coupled inwardly rectifying K (GIRK) channel. Furthermore, they observed the expression of cone opsin and cone arrestin in cones of late-stage rod-cone dystrophies (RCD) patients, validating the use of GIRK-mediated gene therapy in humans (137). Currently, there are several clinical and pre-clinical trials using different types of optogenetic molecules expressed alone or in combination targeting different cell populations (138). These studies further indicate the potential of mutation-independent approaches in treating a large fraction of patients with genetically heterogeneous and complex retinal diseases.

Viral vectors for ocular gene therapy

Viruses are the most common gene therapy vector taking into consideration their capability to infect and release their genetic content into a target cell through the process of transduction. High expressivity, long stability, transgene carrying capacity, low immunogenicity, and low risk of mutagenicity are the characteristics desirable of a gene delivery vector (139). In gene therapy, retroviruses, and adenoviruses were among the first used vectors due to their high levels of infectivity and large carrying capacity. However, the risk of insertional mutagenesis in case of lenti/retroviruses and the
strong immunogenicity and short duration of expression in case of adenoviruses has limited their use for human gene therapy in IRDs (140, 141). AAV is presently the most commonly used vector for retinal gene delivery. Compared to other vectors, AAV exhibits low immunogenic response, non-integrating nature and low retinal toxicity (6, 142). Particularly, recombinant AAV genomes remain as episomal concatamers in transduced cells causing extended expression of the transgene in non-dividing retinal cells. When administered subretinally, AAV vectors can efficiently transduce RPE and photoreceptor cells.

Till date, there are 13 known serotypes of AAV (AAV1- AAV13), which differ from each other in their capsid protein sequences and ability to bind to different surface receptors/co-receptors on target cells that helps define their relative tropism (143). AAV2 is the prototype serotype and one of the first to be tried for human retinal applications. Since AAV2 is efficient in gene delivery to the RPE, it has been widely used in clinical trials (144). In 2001, success of AAV mediated therapy of RPE65-/- dogs paved the path for several phase I and II human clinical studies. Spark Therapeutics (NCT00516477), University of Pennsylvania/National Eye Institute (NCT00481546), University College London/Targeted Genetics (NCT00643747), and Applied Genetic Technologies (AGTC)/Oregon Health and Science University/University of Massachusetts (NCT00749957) have conducted phase I/II trials using AAV2 with different vector designs, vector volumes, and administration procedures. All these clinical trials indicated safe delivery of AAV2 to the retina despite of the differences in clinical trial conditions. Voretigene neparvovec-rzyl (Luxturna), an AAV2 vector carrying the RPE65 gene, marked the first commercially available gene therapy after its FDA approval in December 2017. It is used for RPE65 mutation-based retinal dystrophies, namely, LCA2 and a subgroup of autosomal recessive RP. Luxturna is given to patients with viable retinal cells through subretinal injection and hence patients having more advanced forms of the disease will not be allowed for treatment. After successful studies of AAV-mediated delivery of RPE65 in a canine model, multiple independent groups showed the safety and efficacy of various AAV vectors in phase 1 and 2 clinical trials (Table 1).

Preclinical studies have shown that pseudotyped AAV2 ITR transgenes in other serotypes like AAV5 and AAV8 can increase transduction levels in retinal cell types (145–148). These pseudotyped vectors are presently being used in trials for a few ocular diseases like autosomal recessive and X-linked recessive RP and LCA. In addition, various mutations
have been introduced into the native AAV capsids across different serotypes that have resulted in further enhanced viral transduction efficacies (ref—Arun Srivastava reviews). For example, mutating tyrosine (Y) to phenylalanine (F) on the capsid surface of wildtype AAV increases transduction efficiency (149–151). AAV2YF is an example of one such vector with triple Y-F mutations that are being tested in multiple IRD clinical trials like X-linked RP (NCT03316560), achromatopsia (ClinicalTrials.gov Identifier: NCT02599922, NCT02935517), and XLRS (NCT02416622). RP caused by PDE6B mutations has autosomal recessive transmission, and a phase 1/2 trial of an AAV2/5 vector carrying this gene is ongoing.

The small size of AAV with a diameter of 25 nm allows stronger diffusion through the layers of cell and extracellular matrix but limits its delivering capacity of transgene cassette to 4.7 kb of DNA highlighting the need for AAVs that can package larger genes (152). Dual AAV vector strategies are being used where a transgene larger than the ~4.7 Kb is separated and packaged into two AAV vectors for later reconstitution within the target cell (153). Multiple different dual vector strategies including fragmented, trans-splicing hybrid, and overlapping have been tested (154–157). Stargardt disease and Usher syndrome (USH) are two IRDs caused by mutations in large genes that surpass the capacity of AAV. The use of AAV dual vector strategy has been used to target MYO7A for USH in several studies, but this still needs to be translated to clinical trials, but with Stargardt disease, inspiring results have been obtained that has the possibility of better treatments in the future based on AAV vectors (158, 159). Recently, triple AAV vectors have been used to enhance gene transfer capacities up to 14 kb for USH1D (CDH23 mutation) and Alström Syndrome type 1 (ALMS1 mutation) where gene sizes are too large for dual vectors (160). The limitation of triple and dual vectors is its gene replacement therapy, on the condition that long-lasting expression is proven in large animals.

Non-viral vectors for ocular gene therapy

Compared to viral vectors, non-viral vectors are non-pathogenic, less immunogenic, have a lower risk of insertional mutagenesis, have the potential for repeated administration, and can be easily produced on a large scale. They can be engineered for a larger cargo capacity; however, they are generally less efficacious in transgene delivery and less durable in sustained gene expression compared to viral vectors. Often, the cargo DNA is complexed with other chemical molecules or forced to enter the cells and nucleus through physical procedures. Emerging non-viral technologies through chemical methods include synthetic polymers (163) and nanoparticles (NP) (164), physical particles, lipid-based delivery systems (165, 166), and functionalized cell-penetrating peptides (162).

DNA NPs have not been assessed in gene therapy clinical trials of ocular diseases, due to low or short-term transduction (167). Polymers, liposomes, peptides compacted DNA are examples of NPs that have been tested as gene delivery systems for retinal diseases (167, 168). The low cost of manufacturing, the convenience of transferring large vectors without any immune reaction, and the ease to manipulate its chemical property to suit DNA delivery are the advantages of using NPs (169). The latest achievement in this field is the DNA NP developed by Copernicus Therapeutics that includes a single plasmid DNA packed with a 10-kDa polyethylene glycol (PEG)-substituted 30-mer lysine peptide (CK30PEG), which reaches the nucleus faster through the process of nucleolin-dependent endocytosis (170). These compacted DNA NPs after being delivered in the subretinal space, target the photoreceptors and RPE cells without remarkable toxicity, with a stable expression up to 2 years in mice (171, 172).

Apart from chemical methods, physical methods of non-viral vector methods have evolved to enhance cellular entry of DNA into ocular cells, examples are iontophoresis (174, 175), bioballistic (176), electrotransfection (177), magnetofection (178), optoporation (179), and sonoporation (180). Among these, DNA electrotransfection also known as electroporation or electropermeabilization is the most promising method for ocular gene delivery (162). This method is based on administering an electric field to the cell to create pores in the cell membrane, facilitating the penetration of naked plasmid DNA, and assisting its cellular uptake through electrophoresis (181). Electrotransfection after subretinal injection of naked plasmid resulted in effective transduction of the neuroretina and RPE in newborn rodents and adult animals, respectively (182–184). It was observed that injecting a soluble VEGF receptor-1 (sFlt-1)-encoding plasmid into the suprachoroidal space followed by electrotransfection led to the transduction
of choroidal, RPE cells, and potentially photoreceptors causing a remarkable reduction of laser-induced choroidal neovascularization (185). Increased photoreceptor survival was obtained using BDNF gene transfection in RPE on performing subretinal injection and electroporation in the Royal College of Surgeons (RCS) rat model (162). Intravitreal injection of DNA followed by electroporation caused transfection of adult rat RGCs (186). Electroporation of DNA plasmids into ciliary muscles can present as a bio-factory for therapeutic proteins (187, 188) and has proven efficient in animal models of uveitis, RP, and wet AMD for up to 6 months. This program is undergoing clinical trials for non-infectious uveitis (ClinicalTrials.govNCT03308045) (162). Even after successful preclinical trials, this method has disadvantages due to the need for invasive surgery to place microelectrodes for exhibiting an electric field in the vicinity to targeted cells making the translation of this method to humans challenging.

Promoter Specificity for Personalized Therapeutics

The need for an effective promoter to pilot high and clinically relevant levels of therapeutic gene expression is important. The selection of promoter should be such so that a convenient dose of the vector would be enough for treatment which will help to overcome the immune responses or cellular toxicity resulting from multiple or high virus dosage. To avoid unwanted transgene expression at off-target areas and to ensure cell type-specific gene expression, the use of a gene-specific or cell-specific promoter is an utmost requirement. Reduction of the cone outer segment, shortening of the outer nuclear layer, and dysmorphic pigment epithelium are some of the features of retinal toxicity for which promoter selection requires careful evaluation. Over the past few decades, broad expression promoters have been widely used in gene therapy studies such as CMV cytomegalovirus (CMV) (189), chicken beta-actin promoter (CAG) (190), and human ubiquitin C promoter (UbiC) (191, 192). A small number of promoters specific to the retina are being used like RPE-specific promoter, Best1 (bestrophin-1), and RPE65 promoter (193, 194). There may be a requirement for a more strongly regulated control of protein expression level to avoid toxic build-up in the case of photoreceptor-specific genes. This demand paved the path for the development of a variety of custom promoters, in an effort to target expression in particular retinal cell types of interest as well as to provide physiologic expression of the exogenous transgenes. For example, the 1.7-kb human L-opsin PR1.7 promoter for cone-specific expression of CNGA3 or CNGB3 used in achromatopsia trials (195), photoreceptor-specific promoters such as human red opsin (RedO), human rhodopsin (Rho), human rhodopsin kinase (RK), mouse cone arrestin (CAR) (196), and human G-protein-coupled receptor protein kinase 1 (hGRKI) promoter that is being used for regular expression of exogenous RPGR in clinical trials for RPGR associated X-linked RP (197). Broadly active promoters generally might have increased expression levels compared to tissue-specific promoters. A study that was carried out comparing the transgene expression by five different promoters—cytomegalovirus immediate-early gene promoter (CMV), human alpha-mysin heavy chain (α-MHC), human desmin (Des), rat myosin light chain 2 (MLC-2), and human cardiac troponin C (cTnC) to drive LacZ mediated by AAV9 intravascular delivery in mice showed CMV overtopped all the other tissue-specific promoters by causing the highest level of transgene expression (198). At later stages of retinal degeneration, when maximum photoreceptor cells are lost, bipolar cells make a promising target for gene therapy. In the retina, bipolar cells are the first interneurons that receive direct input from the photoreceptors. They are divided into ON- and OFF-type bipolar cells that react to either light augmentation or light reduction, respectively, setting up the foundation for contrast vision. Even after obtaining success in targeting ON-bipolar cells (OBCs) in the mouse retina, they have remained inaccessible to human gene therapy due to the lack of a strong cell-specific promoter capable to direct an effective transgene expression in human OBCs. Recently, a group has described the design and functional assessment of 770En_454P(hGRM6), a humanGRM6 gene-derived, a small promoter that drives robust and highly specific expression in both the rod- and cone-type ON-bipolar cells of the human retina (199). Since the cone-dominated macula mediates high-acuity vision and is the primary target of gene therapies, expression in cone-type ON-bipolar cells is also of importance. In the rd1 mouse model of late retinal degeneration, 770En_454P(hGRM6)-driven middle-wave opsin expression in ON-bipolar cells attained lasting restoration of high visual acuity. Retina-specific promoters can enable precise manipulation of the inner retinal network and can pave the way for the clinical application of gene therapies for strong-resolution optogenetic vision restoration.

Patient selection and patient stratification for personalized therapeutics

The concept of tailored therapeutics makes use of a multitude of testing options to precisely pinpoint management needs of individual groups of patients. In order for strategies developed from this approach to most likely benefit the patients, it is important to select and stratify patients into a more homogenous subpopulation by considering the common biological and molecular basis of disease (Committee on the Framework for Developing a New Taxonomy of Disease, 2011).
It seeks to dichotomize patient population by the response of a patient to a specific type of treatment. Therefore, it is important to link a molecular profiling data to disease associated phenotypic abnormalities to identify the individuals likely to benefit from a new therapy. This in turn will also have a considerable economic impact as the drug development cost will be reduced and the risk of treating non-responders will be minimized.

**Family history and inheritance pattern**

For clinicians to stratify clinical risk and assemble the correct multidisciplinary team and advise on possible treatment options that may benefit the patient, it is important to establish a correct and precise molecular diagnosis. To determine the etiology for any ocular disorder, it is important to have a clinical history and examination of the patient to guide which genetic test is most suitable to ascertain the cause of the suspected disorder. Having information regarding their detail birth history, pregnancy/family history, consanguinity along with details of disease features involving onset, progression, severity of disease is an extremely crucial element of the process. Usually, information obtained from family history can help determine the mode of inheritance of Mendelian disease such as autosomal recessive, autosomal dominant and X-linked. Of the 300 gene that have been associated to IRDs, approximately 70% are inherited in an autosomal recessive manner and 25% are autosomal dominant, with the remaining being either X-linked or mitochondrial diseases (3).

**Autosomal Recessive Disease**

Autosomal recessive disorder occurs due to the presence of biallelic variants on an autosomal chromosome. These variants can be CNVs, point mutations or even structural changes within a gene. The parents of the affected individual are carriers and are usually clinically unaffected or they could be affected with the same condition themselves. Usually, the risk of an affected patient’s child inheriting the autosomal recessive condition is small but very likely also depends on the population frequency of that specific pathogenic variant. In general, the risk of autosomal recessive conditions is much higher with consanguinity. In inherited eye disorders, there are some common pathogenic autosomal recessive genes. For example, Stargardt disease is predominantly caused by biallelic variants in *ABCA4* gene. This determines the onset as well as the severity of the disease (200, 201). Some disease-causing variants in the same genes can be associated with different disorders. For example, biallelic variants in *USH2A* can be associated with syndromic disorder such as type II USH or non-syndromic RP (202–204). Many of the monogenic recessive disease could be treated with vector-based gene replacement approach as mentioned previously, where the cDNA of the mutated gene is delivered to compensate for the lack of protein production. About 5–10% of LCA cases is caused due to mutations in the gene encoding the RPE-specific protein RPE65 (141, 205). Amongst these, type 2 LCA turned out to be an excellent candidate as it was associated with a slowly progressing phenotype where the photoreceptors persisted over decades leading to a rather large window of opportunity for therapeutic intervention (206). AAV-mediated RPE65 expression slowed down or reversed vision loss in both small and large animals, paving the way toward first application in humans (207, 208). Gene replacement therapy has also been implemented for other autosomal recessive retinal degenerative diseases including choroideremia, other forms of LCA, achromatopsia as discussed in detail under section Strategies for Gene Therapy. Other viral vectors such as lentiviral vectors have been used for some autosomal recessive disorder to deliver cDNA copies of the mutant genes that are too large to be carried by AAV, e.g., *ABCA4* gene associated with Stargardt disease and *MYO7A* associated with Usher’s syndrome (Table 1). However, one of the biggest concerns with this strategy is that the efficacy in these diseases might be limited by the tropism of lentiviral vectors.

Alternatively, gene editing strategies have also been used for treating recessive autosomal disorders such as recessive LCA10, which is caused by an intrinsic mutation in *CEP290* gene generating a novel splice donor site. Recently, a double sgRNAs combined with SaCas9 approach was reported to delete this intrinsic region in pre-clinical studies paving the way to clinical application (97). The safety and feasibility of this approach was showed in a pre-clinical work in non-immunosuppressed macaques using AAV5 vector with only mild inflammation.

**Autosomal dominant disease**

Autosomal dominant inheritance occurs due to a single heterozygous variant affecting one allele of an autosomal gene. Similar to autosomal recessive inheritance, these variants can be CNVs, point mutations, or structural changes within a gene. Affected individuals have a 50% risk for passing the mutated allele in each pregnancy to their child. In an autosomal dominant disorder, history of the unaffected parent or consanguinity of parents is of no relevance in determining the inheritance risks.

One of the most common pathogenic autosomal dominant genes seen in inherited eye disorders is *RHO*. It is mutated in approximately 30% of autosomal dominant RP cases (209, 210). In autosomal dominant optic atrophy, which can be associated with extra-ocular features, *OAP1* variants accounts for approximately 65–70 of the cases (211, 212). Aniridia, leading to a variable degree of iris and foveal hypoplasia, nystagmus, cataract, glaucoma and corneal keratopathy usually affects 1:40,000–100,000 births and is caused by *PAX6* variants (213).

In general, treating autosomal dominant retinal diseases is a more formidable challenge unlike autosomal recessive retinal
conditions, because researchers need to deal with one gene copy expressing a toxic protein and another that’s functioning normally. To add to this complexity, autosomal dominant diseases can be caused by dominant-negative or gain-of-function mutations. In case of dominant-negative mutations, the encoded protein has an antagonistic effect to the wild-type one and in gain-of-function mutations, it can have a new function, also leading to toxicity. Therefore, for such mutations, along with healthy gene supplementation, the mutated gene needs to be silenced to inactivate the detrimental effect (214). Gene correction could also serve as an alternative gene therapy option in such cases.

Among the variety of RHO mutations that accounts for autosomal dominant RP, P23H, and P347L are the two most prevalent mutations (215, 216). P23H mutation has both dominant-negative and gain-of-function effects, with protein retention in the endoplasmic reticulum (217). Allele-specific disruption has been developed to treat this disease, where the main target of genetic silencing strategies is the mRNA transcript. The function of the mRNA transcript is inhibited by antisense RNA-based, ribozyme-based and more recently by small interfering (si)RNA-based and micro (mi)RNA-based, approaches. Since RHO is an essential gene for the retinal function, its complete suppression will induce pathological phenotypic. To prevent this, supplementation by the addition of exogenous rhodopsin is required to achieve optimal therapeutic benefit. Therefore, more efforts have been focused on coupling the silencing and the replacement. Amongst all the many studies that are in progress to find a successful therapy for autosomal dominant RP, especially for RHO mutations, the ‘silence and replace’ strategies seem to be the most promising. Additionally, a mutation independent silencing seems to have better potential clinical applicability especially for autosomal dominant RP as that could be applied to all the different mutations and therefore would be useful to more patients.

Another approach to treat dominant autosomal diseases is gene editing using CRISPR Cas9 which is still in pre-clinical stages due to more complex issues surrounding dominant negative mutations. Complexity of this approach also arises from the need to specifically target the mutant allele by using mutation specific sgRNA or Cas9 variants with PAM sequence including the mutation. Several groups have successfully applied this strategy in vivo in RHO.P23H mice. Specific disruption of the mutated gene was achieved by using sgRNA specific to dominant rhodopsin mutation combined with Cas9 VQR variant (215, 218). However, in the bigger picture need for such specificity makes this a costly mutation dependent strategy, thereby reducing the number of patients that can benefit from such treatment. Additionally, as this strategy does not compensate for the inactivation of the mutant allele, it may lead to haploinsufficiency.

X-linked disease

X-linked inheritance occurs because of a variant affecting a gene on the X-chromosome. Men are primarily affected through the hemizygous pathogenic mutation, and female carriers could be asymptomatic, mildly symptomatic or display manifest signs of disease, such as seen in X-linked RP (219). Due to the phenomenon of X-inactivation, women could be clinical affected at varying degree based on what proportion of healthy X chromosomes are inactivated in a carrier state.

In an X-linked recessive disorder a female carrier has a 50% risk of passing the pathogenic mutation to her progeny. Choroideremia is one of the most common X-linked recessive disorders in IRD and is caused by mutations in CHM gene. It is a chorioretinal dystrophy characterized by progressive degeneration of the photoreceptors, RPE and choroid (220). X-linked RP represents 8% of RP and is caused mostly by variants in RPGR gene (221, 222). Another X-linked recessive disease is Lenz microphthalmia syndrome caused due to BCOR variants and is characterized by cataracts and microphthalmia (223).

A female’s healthy X chromosome does not compensate in an X-linked dominant case, unlike what we see with X-linked recessive inheritance. Therefore, females and males can both be affected. However, X-linked dominant diseases usually affect the males more severely than heterozygous females and many such conditions are lethal in males during early life. Each child of a female patient with an X-linked dominant disorder has a 50% risk of being affected. X-linked dominant disorders are very rare. One example is incontinentia pigmenti which usually only affects females and is caused by pathogenic changes in the IKBKG gene (224).

Clinical and genetic inclusion and exclusion criteria

Establishing inclusion and exclusion criteria is an important and required practice when designing high-quality treatment protocols. Inclusion criteria include the key features of the disease that will be help form the treatment protocol such as type of mutation causing the disease, age, onset of disease, progression stage of the disease, and other genetic and clinical features related to that disease. In contrast, exclusion criteria involve individuals who present with additional characteristics that could interfere with the success of the treatment or increase their risk for an unfavorable outcome even if they meet the inclusion criteria. Usually, exclusion criteria include eligible individuals in whom the stage of their retinal degeneration precludes them from the therapeutic window or have comorbidities that could either bias the results of the study or have pre-existing immune activity against the vectors/drug which may increase their risk for
adverse events. It is critical to establish detailed clinical history and prior treatments such that contra-indicative drugs and co-morbid pathologies may be avoided, particularly in case of retinal diseases associated with syndromes or systemic conditions.

**Therapeutic window**

While progress is being made for retinal gene therapy, it is important to acknowledge that the key limiting factor for gene therapy application in IRD patients is the disease progression status and stage of photoreceptor degeneration. A key challenge of retinal gene therapy is for the therapy to be done prior to complete loss of the target cells, usually the photoreceptors or the RPE. Early detection, intervention, and physiological alteration to slow or stop the loss of photoreceptors cells remains an important factor limiting the therapeutic window for vision restorative gene therapy. Studies have shown that the potency and efficacy of gene transfer to the retina at late stages of the disease is less robust. In REP65 associated LCA retinal gene therapy trials, the greatest improvement was observed in the younger children (225, 226). One of the major challenges with such disorders is that in several cases the patients may not even develop severe symptoms until much later, at which point the therapeutic target cells are atrophied. Another aspect is that the threshold for many of the progressively degenerative diseases is not defined, as degeneration cannot be stemmed in a cell autonomous fashion. For identifying the appropriate therapeutic approach for each patient, elucidation and diagnosis of that transition point plays an extremely essential role.

Some progressive diseases have a large window of therapeutic opportunity where interventions can be made prior to the loss of retinal cells, such as, achromatopsia, where cones stay anatomically intact. Another example that provides a large therapeutic window is congenital stationary night blindness where there is no degeneration but a functional loss at the bipolar cell level (227, 228). On the other hand, in LHON, within the first year of the onset of the disease, the affected RGCs causes progressive loss of vision, thereby severely restricting its therapeutic window (229). In general, gene replacement or correction for most cases of RCD is uncertain to provide a life-long benefit once photoreceptors have started to degenerate. In case of many unknown and dominant mutations where gene replacement cannot be used as the treatment strategy, the secretion of survival factors delivered in form of gene therapy in combination with gene replacement may be beneficial strategy (230). Metabolic issues, inflammation and oxidative stress that arise in cones secondary to the loss of rods can be combated using several varieties of survival-enhancing factors (231). Ectopic expression of microbial opsins (optogenetics) has shown to help with restoration of light sensitivity in cone cells even after the loss of its outer segment (232). Such approaches give further opportunities to salvage vision via the use of gene therapy, especially in advanced ocular diseases.

**Genotype-phenotype correlations**

Usually, retinal diseases are diagnosed on the basis of family history, retinal fundus features, standard tests of peripheral vision and visual acuity, and psychophysical measurements (233–235). Recently, with the advancement in molecular genetic testing, specialists in retinal degeneration have come up with gene-based diagnostics (207) which have evolved from detailed knowledge of genotype-phenotype correlations. Yet, the detailed knowledge of molecular mechanisms and understanding of the natural history of many retinal diseases remains to be established. Several conditions that we thought to be symmetrical previously are now found to have asymmetries which further point to the variegation of local cellular expression patterns. Therefore, most studies now represent cohort data that includes information regarding the correlation of clinical features and genetic alterations. For example, in Choroideremia, both eyes usually undergo degeneration in a similar way where central macular function stays well beyond the loss of the peripheral retina. However, there is a difference in the locations of the transition zones and the exact areas occupied by the remaining cells between the eyes (220, 236, 237). Patients or even siblings with mutations in same genes often demonstrate differences in disease penetration and progression. As more research and clinical studies on genotypes are described across many ethnicities with IRDs, the overlap between clinical features as well as genes mutated is evolving. In another example, exome panel based molecular diagnosis of IRD and was successful in identifying 124 mutations, with 79 novel mutations, in a cohort of 179 Chinese patients (238). They unraveled new genotype-phenotype correlations of IRD and recognized a novel candidate gene for non-syndromic RP. Another study involving 14 families of Northern Pakistan described the genotype-phenotype correlations of LCA revealing six novel, homozygous mutations in genes AIP2L1, LCA5 (3 families each), RPGRIP1 (4 families), RPE65, CRB1, TULP1 (one family each) and linkage to the LCA9 locus. The study demonstrated the differences in clinical phenotypes, for both the anterior and posterior segments observed between patients with different or identical mutations in the LCA genes and also suggested that at least some of the phenotypic variations are age-dependent (239). Genotypes in RP are heterogeneous as a patient with the same mutation may exhibit different phenotypes (240, 241). RP is inherited as autosomal dominant/recessive or as X-linked (XLRP). Mutations in RPGR and RP2 caused XLRP in 8.5% of probands (212). It has been reported that females who were affected with RP retained better visual functions compared to males (242, 243). A novel c.350G > A sequence in exon 5 of RPGR was identified on DNA analysis that segregated with disease in families (243) providing a specific example of phenotype associated across subjects linked genetically. In
Application of human pluripotent stem cells as ocular regenerative medicine

Due to their self-renewal capacity and ability to differentiate into multiple cell lineages, embryonic stem cells (ESC) and induced human pluripotent stem cells (iPSC) are currently under investigation for the treatment of age-related macular degeneration and other retinal disorders (245, 246). Till date, no stem cell-based therapy for retinal disease has been approved by the U.S. Food and Drug Administration, however there are multiple candidates in development. In the eye, iPSCs have the capability to regenerate or replace tissue, such as RGCs in glaucoma, or RPE in retinitis pigmentosa or AMD-related geographic atrophy (GA) (246–248).

Transplantation of retinal pigment epithelium cells is a popular application of stem cell therapy in ophthalmology. It was in 2012, the first human studies of stem cell-based RPE transplants in AMD and Stargardt Disease were published (249). Previously, two prospective clinical trials of subretinal transplantation of hESC (human Embryonic Stem Cell)-derived RPE cells were performed in nine patients with Stargardt macular dystrophy and in another nine patients with atrophic AMD. After surgery which was combined with immunosuppression, 72 percent of patients displayed increased subretinal pigmentation at the site of the transplant, indicating the presence of the injected cells (250). There was no evidence of serious adverse outcomes in visual acuity, static perimetry, electroretinography visual field, or reading speed, and there was no evidence of acute rejection. After 4 years of follow up, none of the eyes showed abnormal growth like teratoma and no eyes developed proliferative vitreoretinopathy or a retinal detachment (250, 251). Similar results were obtained by Won Kyung Song, MD, of Korea’s Bundang Medical center, and co-workers (252).

The use of iPSC (induced Pluripotent Stem Cell)-derived RPE transplants in human trials is a more recent development compared to ESCs (Embryonic Stem Cells). In 2014, the first human trial using iPSC-derived RPE subretinal transplants was reported (253). The first person to receive an iPSC-derived therapy was a 70-year Japanese woman who didn’t receive immunosuppression, compared to ESC-derived RPE transplantation studies (250, 252). Importantly, the subject didn’t display any detrimental ocular effects on follow up after a year. The transplanted sheets were intact and there was stabilization of vision. Enrollment of additional subjects was suspended temporarily as mutations were observed in a second subject’s iPSCs, which weren’t detectable in the patient’s original fibroblasts. This study was resumed in 2016 with significant modifications (253).

Though stem cell-based therapies have potential, this field is still resolving critical issues such as incomplete differentiation, grafting efficiency and risk of teratoma formation. In the last several years, stem cell-based therapies have progressed from in vitro and animal models to human trials with limited efficacy data.

Conclusion

Precision medicine is a multi-dimensional approach for physicians to personalize therapy. Precision medicine identifies the growing relevance of patient-specific genetics and genomic studies in medical ophthalmology. Recent advances made in genomics, proteomics, gene therapy, and nanotechnology have increased the possibility to explore options for effective personalized therapeutics with minimum side effects. Abiding by the principles of precision medicine, ophthalmologists are required to procure patient genetic profile results and to combine this information with overall assessment of family history, lifestyle, environmental factors, eye health, clinical history, and ophtalmology examinations including retinal function tests. The main goal would be to identify key risk factors for disease, enable early-stage diagnosis and evaluate therapeutic modalities to improve, preserve, and restore vision. With the growing knowledge of genetic mechanisms in inherited eye diseases, it has become easier to provide personalized treatments (Figure 3).

Pathogenesis of retinal dystrophies have been associated with mutations in over 300 genes with more being discovered. For a long time, patient reassurance and counseling were the primary tools with clinicians for IRD patient management. The dramatic advancements of human gene-based therapeutics in the past couple of decades due to extensive knowledge and technological improvements, has now provided new options for patients, counselors and clinicians. After several years of exhaustive research, gene therapy has now entered a promising era of sustained research, indicated by the first ocular therapeutic gene product Luxturna approved by the FDA. Furthermore, two other disorders, Choroideremia and LHON, are currently in the Phase 3 trials (Table 1). Success of various gene therapy trials have increased expectations.
from the research and medical community, bringing hope of effective treatment for genetic diseases in the near future (2, 254, 255). With the sophistication of current molecular and surgical techniques, IRDs are a good target due to their well-defined and typically monogenic basis. Moreover, IRDs usually progress faster for regulatory approvals due to their orphan status and this can improve early patient access to treatment (256). Considering the diversity of mutations and the involvement of multiple genes, continued knowledge accrual and personalization of therapeutic strategies for optimal outcomes would be beneficial in IRDs. Gene therapy approaches along with genetic testing will continue to improve medicine where personalized treatment relevant for each individual will eventually define the standard of care. In future, a greater number of patients will gain access to precision care as economics of delivery and production of personalized drugs improve.

**Author contributions**

ArG conceptualized the manuscript. PP and SR wrote the manuscript and made figures. AnG, ArG, and BP assisted in writing and helped with editing. All authors contributed to the article and approved the submitted version.

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**Conflict of interest**

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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