Unraveling the Phylogenetic Relationships of the Eccoptochilinae, an Enigmatic Array of Ordovician Cheirurid Trilobites

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Abstract

The Cheiruridae are a diverse group of trilobites and several subfamilies within the clade have been the focus of recent phylogenetic studies. This paper focuses on the relationships of one of those subfamilies, the Ordovician Eccoptochilinae. We analyze sixteen species from six genera within the traditionally defined group, using the pilekid *Anacheirurus frederici* as an outgroup. To assess the monophyly of the Eccoptochilinae seven sphaerexochine species, *Kawina arnoldi*, *Sphaerexochus arenosus*, *S. atacius*, *S. latifrons*, *S. mirus*, *S. parvus*, and *S. scabridus* were included in the analysis as well. The results of this analysis show that the genus *Eccoptochile* represents a paraphyletic grade and species traditionally assigned to *Parasphaerexochus* and *Stebilpyx* plot within *Pseudosphaerexochus*. Also, representative species of Sphaerexochinae plot within the traditionally defined Eccoptochilinae, suggesting Eccoptochilinae itself is paraphyletic. To resolve this, we propose all species of *Pseudosphaerexochus* be placed within Sphaerexochinae and Eccoptochilinae be restricted to a monotypic *Eccoptochile clavigera*.

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Introduction

The Cheiruridae Hawle and Corda 1847 [1] are a diverse trilobite group that first appears in the Early Ordovician and persists into the Devonian. Subfamilies within this group have been the subject of recent phylogenetic studies [2–4] and have been useful in studying macroevolutionary patterns associated with the Ordovician mass extinction [3]. Other groups of trilobites that persisted into the Devonian. Subfamilies within this group have been useful in studying macroevolutionary patterns associated with the Ordovician mass extinction [3]. Other groups of trilobites that persist within the traditionally defined Eccoptochilinae, suggesting Eccoptochilinae itself is paraphyletic. To resolve this, we propose all species of *Pseudosphaerexochus* be placed within Sphaerexochinae and Eccoptochilinae be restricted to a monotypic *Eccoptochile clavigera*.

Lane’s assignment was not created within a phylogenetic framework, however, and others have speculated about the efficacy of the subfamily grouping. Pribyl et al. [20] disagreed with Lane’s assessment of the group, arguing that Opik’s [12] original grouping of the Cyrtometopinae was valid and that Lane should not have synonymized *Stebilpyx* with *Pseudosphaerexochus*. Whittington [21], in an attempt to address the evolutionary history of the Cheiruridae, hypothesized a theoretical phylogeny for the group. In it, *Pseudosphaerexochus* was grouped with members of the Sphaerexochininae and *Eccoptochile* and *Ariea* are a part of a separate lineage. More recently there have been more analytical attempts to assess phylogeny within the Cheiruridae, evaluating individual subfamilies within the group. Studies of the Acanthoparyphinae, Deiphoninae, Sphaerexochinae [2–4] have revealed that much of the earlier understanding of the species relationships did not necessarily involve monophyletic groupings.

The purpose of this study is to resolve the phylogenetic relationships within the Eccoptochilinae, a key cheirurid subfamily needing examination in a phylogenetic framework, to test whether the clad is monophyletic and determine its position in relation to the Sphaerexochininae. Taxa analyzed include species classified by Lane [10] within the Eccoptochilinae. Further, six taxa from the Sphaerexochinae (*Sphaerexochus arenosus*, *S. atacius*, *S. latifrons*, *S. mirus*, *S. parvus*, and *S. scabridus*, and *Kawina arnoldi*) were included to...
assess the monophyly and evolutionary position of the Eccoptochilinae with relation to the Sphaerexochinae.

Materials and Methodology

Phylogenetic Analysis

Morphological terminology follows Whittington [22]. Material was examined with permission at the University of Kansas Museum of Invertebrate Paleontology (KUMIP), Naturhistoriska Riksmuseet, Stockholm, Sweden (AR) the Yale University Peabody Museum of Natural History (YPM), the Museum of Comparative Zoology, Harvard University (MCZ), the VSEGEI in Saint Petersburg, Russia, and the Paleontological Museum of the University of Oslo, Norway (PMO). All material was either loaned or studied on site.

Taxa Analyzed

Twenty-four taxa were analyzed in this phylogenetic analysis. Anachaeirus frederici Salter, 1864 [23] was used as the outgroup as it has previously been suggested [21] that the early Ordovician Pileiidae are basal to cheirurid subfamilies such as the Eccoptochilinae. Some taxa were excluded from this analysis due to the unavailability of specimens or photographic material or because the material available was poorly preserved or lacking too many characters necessary for the analysis. These species include Eccoptochile guillieri, E. impedita, E. mariana, E. scrobiculata, E. vipera, Paresphaerexochus tuberculatus, Placoparia quadrata, Pseudosphaerexochus approximus, P. bulbosus, P. dubius, P. juvensis, P. nullicauda, P. papillosus, P. parvus, P. perniciosa, P. rivet, and P. villosae. Eccoptochile tunescens was treated as E. scuticauda, following suggestions by Pröyl and Vanek [24] to synonymize the two species.

Specific Taxa Analyzed

(Relevant material examined is listed where appropriate. In instances where museum material was not examined, species were coded using photographs from scientific publications.) Anachaeirus frederici; Aria bohemica; Placoparia sedgwickii; Eccoptochile clavigera; “Eccoptochile” scuticauda; “E.” almae; “E.” alnensis; “E.” perlat; Pseudosphaerexochus ekphyma; P. tectus; P. densigranulatus (PMO 9455, 94425, 94434, 100.378, 15.60); P. zapat; P. octolobatus; P. laticeps; P. hemicranum (VSEGEI 23/11059); P. canicula; P. remeri (VSEGEI 29/11059, 30/11059, 31/11059); P. conform (VSEGEI 26/11059, 27/11059); Kawina arnoldi; “Sphaerexochus” arenosus; “S.” atavus; S. latifrons; S. minus (AR 39276, 39477–39482, 39484–39486, 39553 a, b; MCZ 1325, 1328, 196479, 196484, 196498; YPM 6573, 183982 183984, 183998–194000; KUMIP 321539–321541); “S.” parvas; “S.” scabirius.

Characters

The characters used in phylogenetic analysis are listed below in appropriate order from anterior to posterior position on the organism. A complete character matrix is given in Table 1. Characters emphasize the dorsal exoskeleton of adult, holaspide stage, as ontogenetic information for most of these species is unavailable. Hyposomal characters were not included in this analysis as this information was absent for most taxa included. Any characters regarding size ranges were analyzed to show they were representative of discrete groupings and not continuous.

1. Anterior boarder (0) straight to weakly curved, (1) strongly curved
2. Anterior cephalic boarder visible in dorsal view (0) present, (1) absent [State 0 is represented in Fig. 1.1–3 and state 1 is represented in Fig. 1.4]

3. Proportion of the cephalon that is glabella (0)<50%, (1)>60%
4. Lateral glabellar margins (dorsal view); (0) parallel, (1) straight, expanding anteriorly, (2) curved [State 0 is represented in Fig. 1.1, state 1 is represented in Fig. 1.2, and state 2 is represented in Fig. 1.4]
5. Genae are (0) flat, (1) strongly tilted ventrally
6. S2 and S3 furrows (0) strongly incised, (1) weakly incised, (2) indistinct or absent
7. Anterior most position of the eye (0) abaxial to S3, (1) abaxial to S2
8. S1 (0) as distinct as S2 and S3, (1) more distinct than S2 and S3
9. S1 (lateral) (0) S-shaped; (1) U-shaped
10. SO (0) middle positioned anterior to rest of furrow, (1) straight [State 0 is represented in Fig. 1.3 and state 1 is represented in Fig. 1.1]
11. SO (0) straight (1) concave posteriorly
12. S1 furrow (0) does not intersect SO, (1) intersects SO
13. Genal spines (0) present, (1) absent
14. Number of thoracic segments (0) 11, (1) 9, (2) 12, (3) 10
15. Pitting on thoracic segments (0) absent, (1) present
16. Number of pygidial paired spines; (0) 3, (1) 4
17. Pygidial pleurae (0) appear to be fused, (1) do not appear to be fused
18. Pygidial convexity (posterior) (0) nearly flat, (1) vaulted
19. Pygidial dimensions (0) width approx. equal to length, (1) width approx. twice length
20. First axial ring width (0) 1.5 times greater than width of interpleural field of first pygidial segment, (1) equal to or less than width of interpleural field of first pygidial segment.
21. Furrow on the proximal end of the first pleural spine (0) visible in dorsal view, (1) not visible in dorsal view
22. Orientation of distal ends of first pygidial spines (0) directed straight back, (1) directed abaxially
23. Second pygidial spine (0) strongly curved medially, (1) weakly curved medially or straight
24. Angle the pygidial lateral axial furrow along axial ring 1 and 2 makes with a sagittal line (0) sharp, (1) shallow
25. Distal pleural tips (0) subtriangular, (1) rounded, (2) flat
26. Distal ends of the inner pleural spines (0) gradually taper, (1) expand distally
27. Pleural spines (0) separate from each other distally, (1) terminate close to each other forming pygidial shield [State 0 is represented in Fig. 1.4 and state 1 is represented in Fig. 1.1]
28. Last pleural spines terminate (0) posterior to the second to last pleural spines, (1) anterior to middle pleural spines
29. Terminal axial piece (0) present, (1) absent [State 0 is represented in Fig. 1.3 and state 1 is represented in Fig. 1.4]
30. Last axial ring (0) partially fused, (1) ring not fused, (2) fused completely to terminal axial piece forming a notched shape anteriorly, (3) terminal axial piece absent
31. Lateral edges of terminal axial piece (1) strongly curved, (0) straight sided, (2) absent
32. Terminal axial piece (0) small (sagittal length is equal to or less than the sagittal length of first axial ring), (1) large (sagittal length is equal to or greater than twice the sagittal length of first axial ring), (2) absent
33. Terminal axial piece (sag); (0) short (length equal to width), (1) long (length at least twice as long as wide), (2) absent

[Characters 32 and 33 represent two distinct characters and are independent from each other. Character 32 addresses relative overall size whereas character 33 focuses on the relative length of the terminal axial piece.]

34. Distal posterior end of the terminal axial piece (0) rounded, (1) pointed, (2) absent

Methods

The data were analyzed using TNT v1.1 [25]. A traditional search algorithm (TBR) with 10,000 replications, 1 random seed, and 100 trees saved per replication was used to determine the most parsimonious trees for the data matrix. All characters were unweighted and all multistate characters were treated as unordered as there were no obvious criteria for ordering them. To assess tree support, bootstrap and jackknife values were calculated in TNT. Bootstrap and jackknife tests were analyzed using 10,000 replicates and a traditional search (4 characters, 10 percent of the data, were removed during the jackknife test). The matrix data were compiled into Nexus files using Mesquite v.2.75 [26], and FigTree v.1.3.1 [27] was used to generate the tree figures.

Results

Parsimony analysis recovered fourteen most parsimonious trees of length 119 steps with RI values of 0.556, and CI values (when uninformative characters are excluded) of 0.344. A strict consensus of these trees (Fig. 2.1) suggests that taxa traditionally assigned to Eccoptochile form a paraphyletic grade basal to Pseudosphaerexochus and the Sphaerexochinae. Also, Parapharexochus zapata and the monotypic Skelipyx cancrura fall within Pseudosphaerexochus. Areia and Placoparina plot most basally among ingroup taxa.

“Sphaerexochus” arenosus, “S.” atacius, S. latifrons, S. mirus, “S.” parvus, S. scabridus, and Kavina arnoldi, the seven taxa chosen to represent the Sphaerexochinae do not resolve as a monophyletic clade. Based on this analysis, S. mirus, S. latifrons, and S. scabridus group together with the other four taxa creating a grade. The monophyly of this group has been discussed previously by Congreve and Lieberman [4], however these results suggest that the sphaerexochines may represent a paraphyletic grade within the traditionally defined Eccoptochilinae.

Discussion

Our analysis suggests that the traditional Eccoptochilinae is paraphyletic as the included sphaerexochine species resolved within the other ingroup taxa rather than as an independent lineage. Within the subfamily, the traditionally defined Eccoptochile forms a basal paraphyletic grade leading towards the sphaerox-

Table 1. Character state distributions for taxa used in phylogenetic analysis.

| Character | Anacheirurus frederici | Areia bohemica | Placoparina sedgwickii | "Eccoptochile" scuticauda | "E." almaldensis | "E." perlata | E. clavigera | Kavina arnoldi | "Sphaerexochus" atacius | "S." parvus | "S." arenosus | S. latifrons | S. scabridus | S. mirus | Pseudosphaerexochus ekphyma | P. tectus | P. densigranulatus | P. zapata | P. octolobatus | P. laticeps | P. hemricanium | P. cancrura | P. roemeri | P. conformis |
|-----------|------------------------|----------------|------------------------|---------------------------|----------------|-------------|-------------|----------------|------------------------|-------------|----------------|-------------|-------------|--------|------------------------|---------|------------------------|---------|------------------------|---------|------------------------|---------|------------------------|---------|------------------------|---------|------------------------|
| Characters and character states are as listed in the text. Missing data are indicated by "?". Character numbers are listed at the top of the table. Character states listed as "X" are polymorphic, where "X" = (0&1).

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ochines, and Parasphaerexochus zapata, Skelipyx cancrura, and various Pseudosphaerexochus species. Pseudosphaerexochus sits up the tree and is paraphyletic due to the inclusion of Parasphaerexochus and Skelipyx.

To resolve the issues of paraphyly, Eccoptochile clavigera is assigned to a monotypic Eccoptochilinae and Eccoptochile, Areia bohemica, Placoparina sedgwickii, “E.” scuticauda, “E.” perlata, and “E.” almaldensis are removed from Eccoptochilinae and placed within “Eccoptochilinae” using quotation marks to indicate paraphyly sensu Wiley [28]. Further, “E.” scuticauda, “E.” perlata, and “E.” almaldensis are removed from Eccoptochile and placed within a paraphyletic “Eccoptochile.” In this, we are conforming to standard phylogenetic practice by maintaining that all taxonomic definitions should be monophyletic [29].

Parasphaerexochus zapata and Skelipyx cancrua are herein included within Pseudosphaerexochus to make that genus monophyletic. In addition, Pseudosphaerexochus is removed from Eccoptochilinae and reassigned to Sphaerexochinae based on the phylogenetic position of the sphaerexochine taxa included in this analysis.

Regarding Lane’s original character diagnosis for the group, lack of constriction in the thoracic pleurae appears to hold true for the Eccoptochilinae and the taxa grading towards it, and we also see some evidence for this among Pseudosphaerexochus as demon-
strated by *P. octolobatus*, however much of the other taxa within the genus are missing thoracic data to make an assessment of this character’s behavior. Also, it is interesting to note that the pitting along the thorax is present in all “Eccoptochilinae” and absent in all sphaerexochines (with the exception of *P. cancrura*).

The potential paraphyly within parts of *Sphaerexochus* is to be noted. Congreve and Lieberman [4] had shown that the genus was monophyletic when included in an analysis with species of *Kawina*.

By including the Eccoptochilinae with representatives from this group, our analysis suggests that *Pseudosphaerexochus* is a derived...
sphaerexochine and thus parts of *Sphaerexochus* may not be a monophyletic clade as previously thought. We will not attempt to further revise the taxonomy for this genus as it is not the main focus of this paper and will require further detailed phylogenetic analysis, however it is interesting to note that the subgenus *S.* (*Sphaerexochus*) does resolve monophyletically, consistent with the results of Congreve and Lieberman [4].

Opik's [12] treatment of these groups belonging to a larger Cyrtomotopinae appears to be invalid. Our placement of the Sphaerexochinae within Lane's Eccoptochilinae demonstrates this and provides support for his claims that the Cyrtomotopinae had a great range in morphological variation.

Further, our study provides results contradictory to what Whittington [21] had hypothesized for chiririd relationships. Whittington saw *Eccoptochile* and *Areia* as constituents of a lineage separate from *Kavina*, *Sphaerexochus*, and *Pseudosphaerexochus*. The analysis supports his hypothesis that *Areia* is basal to *Eccoptochile*, however our results suggest Sphaerexochinae derived from these trilobites. Our analysis also disagrees with his suggestion that *Kavina* and *Sphaerexochus* form a separate lineage from *Pseudosphaerexochus*, as our tree indicates that *Pseudosphaerexochus* is a more derived genus that evolves out of *Sphaerexochus*.

**Systematic Paleontology**

Family CHEIRURIDAE Hawle and Corda 1847 [1].
Subfamily ECCOPTOCHILINAE Lane 1971 [10].
Genus ECCOPTOCHILE Hawle and Corda 1847 [1].

**Type Species**

*Eccoptochile clavigera* (Beyrich 1845) [30].

**Diagnosis**

Genae are flat. S2 and S3 are strongly incised and as distinct as S1. S1 furrow is S-shaped and does not penetrate SO. 12 thoracic segments with transverse rows of pitting. The pygidium is shield-like with a small terminal axial piece present.

**Discussion**

Because the phylogenetic analysis indicates the traditional *Eccoptochile* is paraphyletic, we redefine it as a monotypic taxon consisting of the type species *E. clavigera*. All other species originally placed within the genus *Eccoptochile* are placed within "*Eccoptochile*" sensu Wiley [28].

Subfamily Sphaerexochinae. Opik 1937 [12].
Genus PSEUDOSPHAEREXOCHUS Schmidt 1881 [18].

**Type Species**

*Pseudosphaerexochus hemicanarium* (Kutorga 1854) [31].

**Other Species**

*P. cancrura* (Salter 1853 [32]), *P. conformis* (Angelin 1854 [33]), *P. densigualatus* Nikolaev 1965 [34], *P. ekphyma* Lane, 1971 [10], *P. laticeps* (Linnarsson 1866) [35], 1991, *P. octolobatus* (McCoy 1849 [36]), *P. cancrura* Schmidt 1881 [18], *P. tectus* Ingham, 1974 [37], *P. zapata* (Adrain and Fortey 1997 [38]).

**Diagnosis**

Glabella is wide, hides anterior cephalic boarder in dorsal view, with curved lateral margins. Genae are strongly tilted ventrally. The anterior most position of the eye is abaxial of S2. Pitting on the thoracic segment is absent and the first axial ring of the pygidium is wide. The terminal axial piece is absent.

**Discussion**

To create a monophyletic genus, *Pseudosphaerexochus zapata* and *Skelipyx cancrura* are subsumed within *Pseudosphaerexochus*. These taxa share many characters with other members of *Pseudosphaerexochus* that support their placement within the genus. These include a U-shaped S1, a flat pygidium, pleural spines that separate from each other distally, and an absent terminal axial piece. Further, *Pseudosphaerexochus* is removed from Eccoptochilinae and placed within Sphaerexochinae.

Lane's diagnosis for the genus includes an inflated and ovate glabella with small cheeks and three pairs of lateral furrows, the posterior pair being most distinct. These characters are still valid for describing *Pseudosphaerexochus*, however they are also common among *Sphaerexochus* taxa as well. Lane also noted the short rounded terminal axial piece present in *Pseudosphaerexochus*. This analysis shows that this character was lost within the group with the exception of *P. ekphyma*, which plots more basally to the rest of the group and closer to *Sphaerexochus*. Further, Pribyl et al.'s [20] suggestion that there are two lineages within *Pseudosphaerexochus* based on two pygidial morphotypes does not hold true for our results.

In creating the genus *Skelipyx*, Lane distinguished it from *Pseudosphaerexochus* based on its rounder glabella, much of which is vertical or overhangs. We found the steepness of the lateral margins of the glabella to be very similar between the two genera and that degrees of roundness do not appear to be diagnosably distinct. Lane further notes the unique shape of the pygidium with the wide space between the posterior pair of spines. This character is indeed unique to this taxon, however due to its autapomorphic nature it is not included in this phylogenetic analysis. The placement of *Skelipyx* within *Pseudosphaerexochus* is consistent with Pribyl et al. [20] who assumed *Skelipyx* was derived from that genus.

**Evolutionary Implications**

It is interesting to note that, save for the one clade of trilobites belonging to the genus *Sphaerexochus*, all of the other species are restricted to the Ordovician. Furthermore, the early Ordovician species of *Kavina* and *Sphaerexochus* represent the only Laurentian forms, with nearly all other species of "Eccoptochilinae" originating in Avalonia, Bohemia, and Baltica. The topology of our analysis suggests that there may have been a dispersal event early on during the Ordovician that gave rise to the split between *Sphaerexochus* and *Pseudosphaerexochus*. In turn, these Laurentian forms would go on to diversify and dramatically expand their ranges during the Late Ordovician mass extinction [4], while all of the other "Eccoptochilinae" went entirely extinct. It is possible that dispersal to Laurentia may have been an important factor contributing to the group's survival. A similar pattern of survivability can be found in the homalonotid trilobites during that time period; most old world homalonotid trilobites went extinction but the one clade that dispersed to Laurentia thrived [39].

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Author Contributions
Conceived and designed the experiments: IWG CRC BSL. Performed the experiments: IWG. Analyzed the data: IWG. Contributed reagents/materials/analysis tools: IWG CRC BSL. Wrote the paper: IWG CRC BSL.

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