Text-Independent Speaker Verification Based on Deep Neural Networks and Segmental Dynamic Time Warping

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Abstract

In this paper we present a new method for text-independent speaker verification that combines segmental dynamic time warping (SDTW) and the d-vector approach. The d-vectors, generated from a feed forward deep neural network trained to distinguish between speakers, are used as features to perform alignment and hence calculate the overall distance between the enrolment and test utterances. We present results on the NIST 2008 data set for speaker verification where the proposed method outperforms the conventional i-vector baseline with PLDA scores and outperforms d-vector approach with local distances based on cosine and PLDA scores. Also score combination with the i-vector/PLDA baseline leads to significant gains over both methods.

1. Introduction

Speaker verification is the process of confirming whether an input utterance belongs to a claimed speaker. There are many popular approaches to the problem including Gaussian mixture model (GMM) [1], i-vector [2] and more recently deep learning [3]. Speaker verification could be further classified into text-dependent and text-independent. In the text-dependent mode, both the enrolment and test utterances have the same text, while in the text-independent case the user can enroll and test with any text.

The d-vector approach [3] has been originally proposed for text-dependent speaker verification. The basic idea is to train a deep neural network to learn a mapping from the spectral input to the speaker identity. An intermediate layer (embedding) is then extracted for each input frame. The extracted embedding is averaged over the input utterance and used as a speaker representation, called the d-vector, similar to the i-vector. The d-vector is then used for speaker verification by applying a cosine distance or probabilistic linear discriminant analysis (PLDA) [19].

Several variants are proposed to improve on the original d-vector idea or generalize it to the text-independent scenario. An end-to-end loss, more related to speaker verification, is proposed in [4] and applied to train feed-forward and LSTM architectures. The latter end-to-end loss is generalized in [5] and applied to both text-dependent and text-independent verification. Different attention mechanisms proposed in [20, 6] yield improvement over simple averaging for calculating the d-vector. Deep speaker [7] uses different architectures, including convolutional networks with residual connections and gated recurrent unit (GRU) networks, and train them using the triplet loss. The proposed architectures show good results for both text-independent and text-dependent speaker recognition. Interestingly, it is also shown in [7] that a network trained for text-independent verification can be adapted using task-dependent data. This is important because the d-vector does not work very well for small task-dependent data size [11]. In [12], the intermediate representation from an LSTM, trained either separately or jointly with speech recognition, is used for text-independent speaker verification on Hub5 and Wall Street Journal (WSJ) data. The work of [8] trains a neural network with temporal pooling in an end-to-end fashion for text-independent speaker verification, similar in spirit to [4], and present results on telephone speech with various durations. A time delay neural network (TDNN) is trained using cross entropy and the resulting embedding is used for PLDA scoring in [9]. The results are presented on NIST speaker verification tasks for various test durations. A major finding is that the d-vector outperforms the conventional i-vector for short duration segments while the latter is better for longer duration. The latter work is recently extended by data augmentation and applied to various data sets in [21]. It is also worth mentioning approaches inspired by i-vector and PLDA where the whole i-vector/PLDA system is formulated and trained as a network [10].

Instead of averaging the d-vectors over the whole utterance, as is typically done in conventional approaches, we propose to keep the sequences of d-vectors of the enrolment and test utterances. We then align the two sequences to come up with an accumulated score for text-independent speaker verification. In [13], dynamic time warping (DTW) [15] is used to find the best alignment and hence the minimum distance between two sequences of d-vectors for text-dependent verification. However,
conventional DTW with path constraints will not lead to meaningful alignments in the text-independent case. This is because path constraints might be too restrictive to find the potentially non-monotonic alignments. Here we use segmental dynamic time warping (SDTW) to align the resulting two sequences of d-vectors and experiment with both cosine distance and PLDA for measuring the local distance between pairs of d-vectors. We present results on the NIST 2008 speaker verification task.

Segmental DTW has been proposed for automatic pattern discovery of speech in [16]. It was then applied to keyword spotting [17] and speaker segmentation [18]. In this article, we combine the d-vector with SDTW to do text-independent speaker verification. At a high level, SDTW finds multiple partial paths of two utterances and hence could discover parts of the utterances that exhibit certain similarities. We combine the scores of these paths to come up with a similarity score between the two utterances and use it for verification.

The rest of the paper is organized as follows. Section 2 briefly describes SDTW. Speaker verification using the d-vector and SDTW is described in detail in Section 3. Finally, experimental results on NIST 2008 and conclusion are given in Sections 4 and 5 respectively.

2. Segmental Dynamic Time Warping

In this section we briefly describe segmental DTW. In its basic form [15], DTW finds the optimal global alignment and the accumulated distance between two sequences \( X \) and \( Y \).

Assume \( X = (x_1,x_2,...,x_I) \) and \( Y = (y_1,y_2,...y_J) \), an alignment \( \phi \) is given by

\[
\phi = (i_k,j_k) \quad k = 1,...,T
\]

where \( i_k \) and \( j_k \) are indices from the two sequences and \( T \) is the alignment length such that \( i_T = I \) and \( j_T = J \). The associated accumulated distance is given by

\[
D_\phi(X,Y) = \sum_{k=1}^{T} d(x_{i_k},y_{j_k})
\]

where \( d() \) is a local distance. In this work we use distance measures based on the cosine similarity and probabilistic linear discriminant analysis (PLDA).

Given a distance measure and a set of constraints, DTW calculates the optimal path and the associated accumulated distance using dynamic programming [15]. The set of constraints are important to obtain a physically plausible alignment. The so-called adjustment window condition \( |i_k - j_k| \leq R \) [15] ensures the aligned indices of the two sequences are not very far apart. If the two sequences grossly violate the constraints, DTW will most likely fail to find a good alignment.

Segmental DTW generalizes DTW by finding a set of partial alignments between two sequences. By allowing partial alignments, SDTW can potentially find well matched sub-sequences even if the two sequences are not fully matched. Formally, with a constraint parameter \( R \) and utterances lengths \( I \) and \( J \) respectively, we obtain multiple alignments by running DTW on regions that start at:

\[
((2R+1)k+1,1), \quad 0 \leq k \leq \lfloor \frac{J-1}{2R+1} \rfloor
\]

\[
((2R+1)l+1,1), \quad 1 \leq l \leq \lfloor \frac{I-1}{2R+1} \rfloor.
\]

Each region will be limited to a diagonal region depending on \( R \) and hence represents a partial alignment of the two sequences. One region is shown in Figure 1 taken from [16]. \( W \) in the figure refers to the width of the region where a partial alignment is calculated. This is determined by the constraint parameter \( R \). We refer to these partial alignments as \( \phi_r \) where \( r = 1,......,N_R \). Now, given a length constraint parameter \( L \), we find for each local alignment path, the fragment of length at least \( L \), shown in red in the figure, that has the minimum average distortion. This can be efficiently obtained as outlined in [16] and references therein.

To summarize, given parameters \( R \) and \( L \), SDTW of two sequences gives a set of fragments of length at least \( L \) and their associated scores as \( \{\phi_r,s_r\} \) for \( r = 1,......,N_R \). We will show in the next section how to use these partial scores for speaker verification. The best parameters \( R \) and \( L \) are determined empirically.
3. Speaker Verification Using d-vector and SDTW

In this section we will describe how to combine d-vector and SDTW for text-independent speaker verification. Also the network architecture and training used to generate d-vectors will be described in Section 3.1. We will focus on the case of single enrolment and single test. The generalization to multiple enrolments and multiple tests is straightforward.

In speaker verification, the distance between the enrolment and test utterances is calculated and compared to a threshold to either accept or reject the claim. For the text-independent case both enrolment and test have different phonetic content. As discussed above, SDTW between two sequences provides a set of partial alignments and their distances. The distance between the sequences can be obtained by combining the distances of the partial alignments. In preliminary experiments, we tried using the average, the average of the lowest-K and the minimum with very similar performance. Thus, we will report the average in the rest of the work. Once the distance is obtained it is compared to a threshold for the verification decision.

Motivated by recent success of deep learning techniques in speaker verification we apply SDTW at the d-vector level. We first generate a sequence of d-vectors for both the enrolment and test utterances then apply SDTW to the resulting d-vectors. We summarize the enrolment and verification phases below.

1. Enrolment Phase
   - Starting from enrolment utterance $X_e$ create sequence of enrolment d-vectors $X_e^d = x_{e,1}^d, x_{e,2}^d, \ldots, x_{e,N_e}^d$. This is obtained by running a fixed length window on the enrolment utterance and advancing it by a fixed step. Each window is input to the network to produce the corresponding d-vector. Please note that the time index of the enrolment sequence follows the input step and not the frames. In the case we advance the window by one frame they will coincide.

2. Verification Phase
   - Starting from test utterance $X_t$ create sequence of test d-vectors $X_t^d = x_{t,1}^d, x_{t,2}^d, \ldots, x_{t,N_t}^d$ similar to the enrolment.
   - Run SDTW, with parameters $R$ and $L$, on the enrolment and test d-vector sequences $X_e^d$ and $X_t^d$. This will result, as discussed above, in a set of partial paths and their corresponding scores $(\phi_r, s_r)$ for $r = 1, \ldots, N_R$.
   - Obtain the score of the test utterance by averaging the scores of the partial paths. This score is then compared to a threshold to make the verification decision.

3.1. D-vector Network Architecture and Training

Any network architecture can be used with the proposed method. In this work we use a simple feed-forward architecture. The input dimension is 1386, as explained below, it consists of feature vector dimension of size 66 and context window of size 21. This is followed by 5 hidden layers that operate on the frame level of sizes 2048, 1024, 1024 and 512 respectively. All layers use ReLU non-linearity and batch normalization. This is followed by a temporal pooling layer that operates on the input segment. Following temporal pooling is a hidden layer of size 128 that operates on the segment level and also uses ReLU and batch normalization. Finally, there is the output layer that uses cross entropy and softmax. The output layer corresponds to the 5000 speakers having the largest number of segments in the training data. The d-vector is extracted from the last hidden layer, after temporal pooling, of size 128. Excluding the softmax layer, the network has about 10M parameters. Dropout with keep parameter 0.75 is used after the second hidden layer which has about 4M parameters.

Segments of length 200 frames with an advance of 50 frames are extracted from the training data. Data from the most frequent 5000 speakers are kept with about 3000 segments/speaker. This leads to a total of about 15M segments of size 200 labelled with the corresponding speaker. We did some experiments on window duration selection or using random window size but found the selected size to work best. Training optimizes the cross entropy criterion. Other criteria as triplet loss could be used but these typically need CE initialization and could be tried in future work. The network is randomly initialized. Mini-batches of size 70 segments are randomly formed from the above segments and used to optimize the weights using SGD with momentum. The learning rate is reduced after every sweep through the data to prevent over-fitting.

4. Experimental Results

In this section we present experiments to verify the proposed method. We first present the training and testing data, followed by the baseline setup for i-vector/PLDA and d-vector and experimental results.

4.1. Training Data and Testing Setup

The training data consists of about 4000 hours from the English Fisher and the NIST 2004, 2005 and 2006 telephone corpora sampled at 8 kHz. Voice activity detection (VAD) using an energy-based criterion is applied to the data. 22 log filter-bank energies (LFB) are extracted together with their first and second derivatives leading to 66-dimensional feature vector that is used during training.
4.2. Baseline System

For comparison we use an i-vector/PLDA baseline and a d-vector baseline. The configuration of these systems are as follows:

- i-vector/PLDA: This follows the system in [2] and based on our previous experiments we set the UBM size to 2048 and the i-vector size to 400. We always test i-vector with PLDA as in [19]. The PLDA dimension is set to 200. After generating i-vectors for the training data we project them to dimension 200 using LDA then apply centering, whitening and length normalization. The PLDA is then trained on the transformed data. The same processing is done on the test data and the PLDA score is used for verification.

- d-vector: The baseline d-vector system works as follows. First a sequence of d-vectors are generated by sliding a window over the test utterance as described above. The resulting sequence of d-vectors is then averaged to yield a single vector representation for the utterance. We use two configurations. The first uses cosine distance for scoring while the second, similar to i-vector, uses PLDA. The d-vector size is 128 for both configurations.

4.3. Results

Table 1 shows the equal error rate (EER) averaged over the 8 conditions. The second column presents the results of the following systems: i-vector/PLDA, d-vector with cosine scoring, d-vector/PLDA and d-vector with cosine and d-vector/PLDA with SDTW. The latter two use \( R = 1 \) and \( L = 30 \). The i-vector/PLDA EER in the second row is a reasonable baseline compared to other results obtained on NIST 2008. Although this can be further optimized using gender-dependent models and phonetically-aware features, adding these can also benefit the d-vector and hence are not tried here. The d-vector with cosine scoring shows significantly worse performance than the i-vector while the d-vector/PLDA is better than the baseline d-vector. The d-vector/PLDA is still worse than the i-vector/PLDA. Results regarding the latter point are mixed. For example, [7] shows excellent results with only cosine scoring while [9] shows only results with PLDA. We believe that for public corpora where there are only few thousand speakers for training, training data mainly consists of telephone speech while test data has varying acoustic conditions, the network will not fully learn to normalize the acoustic condition. Hence, PLDA will provide desirable normalization on top of d-vector and can potentially lead to better results as shown here. In [9] i-vector/PLDA is slightly better than d-vector/PLDA when testing with the full utterance. Finally, we can see decent gains by using SDTW on top of d-vector both with cosine and PLDA. In particular, the d-vector/PLDA with SDTW performs better than i-vector/PLDA. The third column shows the results of combining i-vector/PLDA, on the score level with weight 0.5, with other systems. In all cases we see significant gains from the combination.

| Method                  | EER    | EER (+ i-vector) |
|-------------------------|--------|-----------------|
| i-vector/PLDA           | 7.15%  | NA              |
| d-vector                | 10.39% | 5.45%           |
| d-vector/PLDA           | 4.23%  | 4.14%           |
| d-vector + SDTW         | 6.41%  | 3.95%           |

Figure 2 shows SDTW results for both cosine and PLDA scoring with varying \( R \) and \( L \). Six curves with different colors correspond to \( R = 1, R = 2, \) and \( R = 5 \) and cosine and PLDA scoring. The horizontal axis stands for different values of \( L \). It can be observed that PLDA results are significantly better than cosine results for all values of both parameters. Generally speaking, we observe that small values of \( R \) give better performance because we sample at a rather coarse rate of 50 frames. Also relatively large \( L \) tends to give better result as longer segments carry meaningful speaker information. Also in the figure we observe a fairly stable region for selecting the \( R \) and \( L \).

To gain more insight, we show in Table 2 the results of individual conditions. These correspond to the second column of Table 1 before averaging. It is clear that the d-vector approach is significantly better than i-vector for the telephone conditions (C6-C8) and significantly worse for the microphone (interview) conditions (C1-C3). The d-vector is also better for the mixed conditions (telephone/interview) C4 and C5. As the training data for the network and PLDA consist mainly of telephone speech. We might argue that, for the available amount of training data and network architecture, the network is not able to fully normalize for the acoustic condition. PLDA helps for acoustic normalization in almost all conditions. Also SDTW shows significant improvement in almost all conditions.

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1 We tried several window sizes and found that 21 is the best.

2 We test on the core condition where the average utterance length is around 2 minutes.
Table 2: Baseline and SDTW EER results on NIST 2008 conditions C1-C8.

| Method               | C1   | C2   | C3   | C4   | C5   | C6   | C7   | C8   |
|----------------------|------|------|------|------|------|------|------|------|
| i-vec/PLDA          | 11.07% | 1.54% | 11.26% | 9.68% | 9.00% | 8.53% | 11.47% | 12.93% |
| d-vec               | 20.87% | 1.91% | 20.92% | 6.84% | 7.36% | 6.21% | 8.72% | 10.32% |
| d-vec + PLDA        | 17.06% | 1.28% | 15.93% | 7.06% | 4.39% | 6.12% | 6.74% | 9.09%  |
| d-vec + SDTW        | 19.23% | 2.02% | 19.49% | 1.57% | 3.61% | 4.09% | 5.71% | 9.66%  |
| d-vec PLDA + SDTW   | 14.06% | 1.09% | 14.69% | 1.43% | 4.64% | 4.76% | 3.78% | 6.79%  |

Figure 2: The results of SDTW with and without PLDA for various values of $R$ and $L$. The colored curves stand for cosine and PLDA for $R = 1, 2$ and $5$. The horizontal axis stands for values of $L$ and the vertical axis for EER.

5. Conclusion

We propose segmental DTW to align the d-vectors of the enrolment and test utterances for text-independent speaker verification. Compared to the conventional d-vector, which averages the d-vectors over the whole utterance, alignment can potentially find better matching parts of the enrolment and test utterances and hence reduce bias due to phonetic content. Compared to conventional DTW, Segmental DTW can find good partial alignments even if the two utterances are grossly mismatched. The proposed method is tested on the core condition of NIST 2008 where the utterances are relatively long and shows improvement over the baseline d-vector with and without PLDA scoring. Combining with i-vector/PLDA provides interesting gains in all cases. Future work includes improving the d-vector itself by exploring more sophisticated architectures recurrent and convolutional networks and using other training criteria as triplet loss. We also plan to test on other corpora like NIST SRE 2010, SITW and VoxCeleb.

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7. References

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