Multiplex Particle Focusing via Hydrodynamic Force in Viscoelastic Fluids

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We introduce a multiplex particle focusing phenomenon that arises from the hydrodynamic interaction between the viscoelastic force and the Dean drag force in a microfluidic device. In a confined microchannel, the first normal stress difference of viscoelastic fluids results in a lateral migration of suspended particles. Such a viscoelastic force was harnessed to focus different sized particles in the middle of a microchannel, and spiral channel geometry was also considered in order to take advantage of the counteracting force, Dean drag force that induces particle migration in the outward direction. For theoretical understanding, we performed a numerical analysis of viscoelastic fluids in the spiral microfluidic channel. From these results, a concept of the ‘Dean-coupled Elasto-inertial Focusing band (DEF)’ was proposed. This study provides in-depth physical insight into the multiplex focusing of particles that can open a new venue for microfluidic particle dynamics for a concrete high throughput platform at microscale.

Viscoelastic fluids, which can be encountered extensively in nature, demonstrate extraordinary physical phenomena due to their intrinsically viscous and elastic features. There are some intriguing examples related to the viscoelasticity: most biological cells produce extracellular polymeric substances (EPS), such as polysaccharides, nucleic acids, polypeptides, proteins, growth regulators, lipids, and a number of other compounds not yet fully characterized1–4. The protein secretion generates natural viscoelastic substances to protect cells from the harmful effect of toxic substances3,5. The most common fluid in our daily lives is saliva, the viscoelasticity of which forms a distinct beads-on-a-string (BOAS) structure6. Lastly, cells in a Newtonian medium experience viscoelastic deformation during the flow throughout vessels provoking viscoelasticity-induced force, which is used to isolate rare target cells from heterogeneous sample7,8 and to separate normal erythrocytes from malaria-infected erythrocytes9,10.

Very recently it has been revealed that the positive first normal stress difference ($N_1$) generated by the viscoelastic nature leads suspended particles or cells to migrate into certain positions in a confined microchannel11–18. Manipulating particle dynamics with help of the intrinsic properties of the medium is very desirable for easy, cost-effective, and continuous separation compared to conventional techniques such as filtration19–21, electrophoresis22, dielectrophoresis23, and hydrodynamic chromatography24–26. Worth noting is that particles in the viscoelastic Poiseuille flow are migrated towards the center and four corners of the channel, i.e., the position with the minimum shear rate13,18. Moreover, the number of multiple equilibrium positions can be reduced to one equilibrium at the centerline by increasing the flow rate due to the synergetic effect of inertia and viscoelasticity18. The lateral migration of particles in the viscoelastic fluid is driven by the imbalance of the compressive nonlinear elastic forces, which are described in terms of the first and second normal stress differences, $N_1(\gamma) = \sigma_{xx} - \sigma_{yy}$ and $N_2(\gamma) = \sigma_{yy} - \sigma_{zz}$.

The Dean vortices, one of the secondary forces, can also contribute to particle migration. The fluid within the curved channel should satisfy the mass balance between inner-wall and outer-wall regions. This induces a pressure gradient in a radial direction, thereby generating two counter rotating vortices at the channel. This secondary flow is characterized by the Dean number, $De = Re \cdot \sqrt{D_h/2R}$, where $Re$ is the channel Reynolds number, $D_h$ is the hydrodynamic channel height, and $R$ is the radius of curvature of the spiral channel. A recent study presents the balance between the inertial lift force, $F_L$, and the Dean drag force, $F_D \sim \rho U_m^2 a D_h^2 r^{-1}$, which
determines the preferred location of particles in a curved channel\textsuperscript{27,28}. When the density of a particle is larger than that of the surrounding medium, the particle subsides. In a similar way, larger particles can be collected in an outer wall with the aid of the characteristic velocity distribution on the cross-sectional plane normal to the main flow\textsuperscript{29}. As the density of a particle is similar to that of the medium, the ratio of $F_L/F_D$ is a determinant of the equilibrium positions. For instance, larger particles with higher $F_L/F_D$ have a lateral migration towards the inner wall\textsuperscript{30,31}. Thus, the scaling law between $F_L$ and $F_D$ acts as a dominant focusing factor: if the Dean flow is dominant (i.e., $F_D \gg F_L$), there is no focusing. Otherwise, focusing is favored due to the dominant inertial lift force. The scaling law, $F_L/F_D \sim (1/\delta) (a/D_h)^{\beta} \text{Re}_c^\gamma (n<0)$ containing parameters of $\text{Re}_c$ and curvature ratio, $\delta$, indicates that the ratio of particle size and channel dimension, $a/D_h$, plays an important role in determining the focusing behavior. For instance, small particles with large channel dimensions would not be focused. Preferential focusing of particles can only be achieved when $a/D_h$ is larger than 0.07\textsuperscript{30,22,23}. The aforementioned inertial microfluidics demonstrates that the defocusing of a particle occurs as $F_D$ and $\text{Re}_c$ increase. However, an equilibrium state is unstable since the superposition of inertial lift and Dean drag forces gives two modified stable equilibrium positions at which the balance is susceptible to be broken by the Dean vortices\textsuperscript{28}. Consequently, no equilibrium position exists in that a particle size is much smaller than a microchannel or the balance of $F_L/F_D$ breaks the criterion. In this respect geometry itself does not necessarily guarantee complete particle manipulation in a microchannel, while the elastic force driven by fluidic nature (i.e., the differential of $N_1$) can solely focus suspended particles in a line stably\textsuperscript{7,15}.

Here, we explore an extraordinary particle focusing in a microfluidic channel by probing two counteracting forces: one is an elastic force induced by the nature of a viscoelastic medium and the other is a Dean drag force resulting from the curved channel geometry. Also, we investigate the physical reasons for the multiplex particle focusing by numerical analysis and propose a new concept of the ‘Dean-coupled Elasto-inertial Focusing (DEF)’ band.

**Results**

Different sized particles are randomly injected into an inlet of a spiral channel using a viscoelastic medium (Fig. 1). In the confined spiral channel the particles undergo both of elastic force ($F_E$) and Dean drag force ($F_D$), which determines equilibrium positions of the suspended particles. As a result, The DEF band is created on the right side of the confined spiral channel (see the red-box in the cross-section of the channel). A narrow spiral channel with an aspect ratio ($\gamma$) is 0.25 shows different distribution of the first normal stress difference induced by the elastic nature of viscoelastic fluid, resulting in the focusing band and displacement of the different sized particles as shown in the outlet of the schematic representation. It should be noted that once particles are focused in the region of the DEF band, lateral migration is determined by the competition of $F_D$ and $F_E$.

No particle focusing is observed at the outlet when each polystyrene (PS) particle with sizes of 1.5 μm, 5 μm, and 10 μm is used in a Newtonian medium (Fig. 2a ~ c and SI Fig. 1). However, particles were successfully focused when using a viscoelastic medium. Single-line particle focusing has been successfully achieved by using a viscoelastic medium, which is attributed to the combination of $F_D$ and $F_E$. Also, the larger particles were found to move closer to the outer wall than the smaller particles. We also examined multiplex particle focusing using both small and large particles with diameters of 1.5 μm and 10 μm in a viscoelastic medium (Fig. 2g). It is expected that the particle to particle interaction would increase with increasing particle concentration, which induces the perturbation of flow and then prohibits the particle focusing. In this sense, a microfluidic system using hydrodynamics has not been regarded as a right tool for focusing size-dependent multiple cells or particles in a robust manner. It is shown that both the small and large particles flow randomly at the inlet and the randomness is still present at the...
second turn of the spiral channel (Fig. 2g). After the particles experience the tenth turn, both the particles have different equilibrium positions, indicating that 1.5 μm PS particles are at the center line and 10 μm PS ones are close to the outer wall (Fig. 2g). The probability distribution function of both 1.5 and 10 μm PS with differential lateral migration elucidates the preferential particle focusing at the center for the small particle and near the outer wall for the large particle (Fig. 2h and 2i). However, both particles are not focused in a Newtonian medium even though the particles go through the tenth turn (Fig. 2d). The probability distribution function of 1.5 and 10 μm PS with differential lateral migration shows that no particle focusing occurs regardless of the particle size (Fig. 2e and 2f). The results demonstrate that multiplex particle focusing is achievable using a hydrodynamic system through a viscoelastic medium without any external forces.

The different sized particles are entrained parallel, and bifurcate at the outlet: 1.5 μm PS particles towards the upper outlet and 10 μm PS ones towards the lower outlet (Fig. 3 and SI movie 4). The large particles with the diameter of 10 μm move closer to the outer wall of the microchannel due to the combination of the elastic and Dean drag forces. However, the small particles with the diameter of 1.5 μm are positioned at the center because the effect of the Dean drag force acting on the particles is comparably smaller than that of the elastic force. The lateral displacement of both particles was acquired and fitted by the Gaussian distribution function (see the inset in Fig. 3). There is no overlap between the lateral displacements of 1.5 μm and 10 μm PS particles, which guarantees high separating efficiency at the outlet.

It turns out that the high magnitude of $N_1$ appears near the inner wall in the cross-section of a straight channel (Fig. 4a and 4c). $N_1$ is not found in a Newtonian medium (meaning $Wi = 0$) since there is no elastic nature in the Newtonian fluid, thus being subject to only the Dean drag force and inertial lift force. The minimum values are found at four corners and the center as $Wi$ becomes large, which leads particles to the center and the phenomenon is called as the ‘elasto-inertial effect’ [18]. The value of $N_1/N_1^{max}$ shows symmetry along the lateral position of the channel and increases with increasing $Wi$ (Fig. 4c). In addition, the curvature of the spiral channel creates Dean vortices causing the lateral migration of the flow in the channel. Therefore, larger particles undergo more lateral migration on account of the increased $De_p$ than smaller particles (Fig. 4b and SI movie 2). $De_p$ corresponds to the particle dean number with 1.5, 5,
and 10 μm PS, respectively. It turns out that the high magnitude of \( N_1 \) appears near the inner wall in a spiral channel not like the four-fold symmetry in the straight channel (Fig. 4d and SI movie 3). It shows that \( \frac{VN_1}{\sqrt{V_N}} \) is an indicator of the elastic force exerted on the particle because of FE, a \( 3 = N_1 \), which represents particles can migrate toward the outer wall (Fig. 4e). This asymmetric gradient of \( N_1 \) leads the equilibrium position to be off-centered.

**Discussion**

An elastic force, \( F_E \), can be introduced when a viscoelastic fluid is used as a medium. The elasticity, inherently present in dilute polymer solutions, can yield particle focusing into the mid-plane of the channel. The particles have different spatial distribution depending on their sizes and rheological properties of the viscoelastic medium. The primary nonlinear elastic force affecting the lateral migration is \( N_1 \), since the value of \( N_1 \) is normally much greater than \( N_2 \). The relative magnitude of elastic and inertial forces is assessed by the Elasticity number, \( El = Wi/Re_c^{a/2} \). \( N_2 \) is associated with \( Wi = \lambda_2^a \), which is zero when the fluid is purely viscous, i.e., no elasticity, and increases with increasing the elasticity of fluid. It is worthy to note that \( Wi \) is represented as a physical factor to demonstrate the elasticity of the viscoelastic fluid since \( Wi \) is determined by the characteristic relaxation time of polymer, a physical quantity of time required for a perturbed polymer chain to return to the equilibrium. Therefore, it is important to characterize \( Wi \) to find out an optimal condition for particle focusing from the \( Wi – De \) diagram. The use of the elastic force is indeed an appealing method to focus particles at the center of the channel without any external forces and complex geometry. In the literature, small particles with \( (a/D_h) < 0.04 \) may not successfully be focused due to insufficient length of a straight microchannel. The present spiral channel has approximately 50 cm of arc-length and the length is 10 to 25 times longer than the straight microchannel, which creates the effective elastic force and Dean drag force for the sufficient particle migration regardless of the particle size, e.g., even under \( (a/D_h) < 0.04 \).

The elastic force generates equilibrium positions at the center and four corners due to \( N_1 \) acting on the particle (Fig. 4a and 4c, and SI movie 1). Particles can be focused at the center as inertial force is increased by increasing the flow rate and \( F_D \) becomes large enough (meaning, \( Re > 0 \) and \( Wi > 0 \)). On the other hand, \( F_D \) increases with an increase in \( Re_c \) according to the following equations: \( De = Re_c \sqrt{D_h/2R} \) and \( F_D = func(a,De) \). Since \( F_D \) is a function of the particle size and \( De \), the lateral migration behaviors of particles are distinct (Fig. 4b). Here, another Reynolds number is adopted as the particle Reynolds number, \( Re_p = Re_c (a^2/D_h^2) = U_m a^2/\nu D_h \), including parameters for the perturbed channel flow due to suspended particles. We claim that the suspended particles are also perturbed by the secondary flow in a curved spiral channel so that the particle \( De \) can also be given by \( De_p = Re_p \sqrt{D_h/2R} = Re_c (a/D_h)^2 (D_h/2R)^{1/2} \). Hence, the Dean drag force changes significantly with respect to a particle diameter, thereby resulting in a differential lateral migration which is essential for the differential particle focusing. As a consequence, particles have equilibrium positions where the elastic force is determined by \( De_p \).
The main forces related to the present system acting on the suspended particles are $F_D$ and $F_P$. Here, $De_p$ and $F_D \sim 6\pi \eta a D_e^2 / D_h$ are equated to derive $F_D = 6\pi \eta v \delta^2 (a/D_h)^5$, and $F_E$ is expressed as $F_E = Aa^2 \nabla N_1$, which is proportional to the particle size and the differential of $N_1$. The two forces lead to a scaling law of $(F_D/F_E) \sim a^2 \delta^2 v R_e^2 / A V N_1 D_h^2$, which helps one to understand physical parameters associated with the equilibrium positions of particles with distinct sizes. $F_D$ and $F_E$ acting on the particles in the microfluidic system were evaluated with use of the calculated quantities, $V N_1$, $U_{Dean}$, $Re_C$, and $De_p$. The relevant results are presented in Fig. 5. $F_D$ significantly increases with decreasing channel height, which implies that the suspended particles tend to move in an outer direction of the channel. The normalized Dean forces significantly increase as the channel aspect ratio ($\gamma$) decreases, thereby inducing particle-size dependent lateral migration (Fig. 5a). That is, the particle size is one of the key factors to govern the multiplex particle focusing phenomenon. Conflicting results may be found in two papers: first, Carlo et al. demonstrated that $F_D$ decreases with increasing particle size in a given channel aspect ratio since the lateral force and Dean force are complementarily counteracted as $(F_L/F_D) \sim (1/\delta)(a/D_h)^5 R_e^2$. Second, Papautsky et al. addressed the dependence of $F_L/F_D$ on the particle size, namely the decrease of $F_D$ with respect to the particle size. Hence, as the ratio increases, the lift force mainly acts on the particles. Since the two researches, however, adopted Newtonian mediums in their experiments, the mid-plane particle focusing band caused by the elastic nature of a viscoelastic fluid could not be formed. Therefore, the Dean flow effect on the particle migration could not be developed. In this respect, the proposed multiplex particle focusing offers a noteworthy advantage on differential migration of different size particles as a result of the competition between $F_E$ and $F_D$. The former is created by the elasticity of the viscoelastic fluid, and the latter is generated in a focusing band region by the geometry of the curved spiral channel.

In addition to the aforementioned, decreasing $\gamma$ is advantageous for the reduction of Focusing Band Ratio (FBR) which is determined by the velocity distribution of Dean flow (SI Fig. 1). Here, FBR is defined as (Focusing Band Height/Channel Height) \times 100 [%], which means that the particle-focusing-band-height also decreases with a decrease in FBR. The schematic illustration is presented in SI Fig. 2b. The focusing band heights are determined by outward velocity direction in the mid-plane region where particles are focused in the mid-plane (SI Fig. 2a). FBR reaches 44% when $\gamma$ is below 0.5, indicating that the band height is comparable to a particle size of 1.5 μm.
which results in successful focusing in the mid-plane particle focusing and lateral migration (SI Fig. 2b). The ratio of the particle size to the microchannel dimension, $a/D_h$, determines whether the system leads to a differential particle migration due to the interaction between $F_D$ and $F_E$ or not. The Dean forces remarkably increase with respect to $c$ when $a/D_h$ is larger than 0.06 (Fig. 5b), and are almost the same when $c$ is 0.5 and 0.25. These results reveal that $c = 0.25$ is sufficient to provoke the differential lateral migration by using $F_E$ for the mid-plane focusing and $F_D$ for the lateral migration. Furthermore, the magnitudes of $F_D$ and $F_E$ are significantly different as $a/D_h$ becomes larger than 0.08 in an inset graph; e.g., our system with 100 μm in width and 25 μm in height shows that particle migration is not likely to occur since the magnitudes of $F_D$ and $F_E$ are almost the same causing no difference in net forces acting on the particle. As the particle size is larger than 5 μm, meaning $a/D_h$ is greater than 0.08, $F_E$ becomes more significant than $F_D$, which leads to the differential particle migration.

The equilibrium positions of particles are determined at which the magnitudes of $F_D$ and $F_E$ are identical. Despite the fact that it is difficult to obtain the exact magnitudes of $F_D$ and $F_E$ quantitatively, the equilibrium positions according to the different particle sizes can be analyzed by using the scaling law. When the particle size is around 1.5 μm, $F_E$ is almost the same as $F_D$. Therefore, the particles are not laterally migrated, but are focused at the center (Fig. 5c). When the particle size is large enough, e.g., the size is as large as 10 μm, $F_D$ becomes greater than $F_E$. Thus, the equilibrium position is located at a distance far from the center. This extraordinary particle dynamics according to the particle size is explained with the combination of the elastic force inducing the mid-plane particle focusing and the Dean drag force leading to the particle defocusing from the mid-plane. That is, the combinational manipulation of $F_D$ and $F_E$ is essential to outperform the ability of particle focusing and separation. $F_D$ and $F_E$ play an important role for the separation of different sized particles simultaneously.

The viscoelastic moduli $G'$ and $G''$ were measured with respect to the angular frequency, which gives the characteristic relaxation time for different kinds of PEO solutions assuming the Maxwell model described by $G'(\omega) = \eta \omega \phi/\Gamma (1 + \frac{\omega^2}{\Gamma^2})$ and $G''(\omega) = \eta \omega (1 + \frac{\omega^2}{\phi^2})$, where $\eta$ is the viscosity, $\omega$ is the angular frequency, and $\phi$ is the characteristic relaxation time. The characteristic relaxation times for 500, 1000, 3000, and 5000 ppm PEO solutions are estimated to be $0.539 \times 10^5$, $1.559 \times 10^5$, $3.522 \times 10^5$, and $16.1 \times 10^5$, respectively. The flow rate was increased from 50 to 750 μl/h to evaluate the value of $W_i = \lambda Q/hw^2$ and plot the $W_i – D_e$ diagram, where $h$ is the height and $w$ is the width of the curved spiral channel. It is found that multiplex particle focusing initiates when $W_i$ becomes larger than...
The proposed system was plotted with respect to SCIENTIFIC Methods reported in the literature. This microfluidic system is capable of only undergoing the elastic force within the channel and are not effective, and isolating functionalized particles for biomedical and pharmaceutical practice. Dean drag force and elastic force. It is noticed that particle size-dimension can reach the particle size the particle movement particles into the mid-plane of the channel, and that when the focusing band dimension can reach the particle size the particle movement is not perturbed by the Dean vortices but influenced by the lateral Dean drag force and elastic force. It is noticed that particle size-driven multiplex focusing is possible through hydrodynamics encompassing the elastic force and the Dean force. We expect that this study will be able to provide a comprehensive understanding on the particle focusing mechanism which is applicable to various industrial applications ranging from labeling, particle and cell separation, and isolating functionalized particles for biomedical and pharmaceutical practice.

**Methods**

**Experimental methods.** Three types of PS particles with 1.5, 5, and 10 μm diameters were used in this study. 1.5, 5, and 10 μm PS particles were purchased from the Bangs Laboratories. A Newtonian fluid was prepared by mixing 22% v/v Glycerin with distilled water to match the densities between the medium and the PS particles. For a viscoelastic fluid, aqueous solutions of polyethylene oxide (PEO, M<sub>w</sub> = 1,000,000) with 500, 1000, 3000 and 5000 ppm were used. The flow rate was increased from 50 to 750 μl/h so as to evaluate various Wi and De. The PS particles were suspended in both the Newtonian and viscoelastic solutions with a small amount of surfactant (Twee 20, Sigma-Aldrich). The solutions were sonicated for 5 minutes for better dispersion of each particle. Spiral channels with the initial radii of curvatures of 4, 6.6, 8.25, and 9.5 mm were fabricated to evaluate different De. All the spiral channels have ten loops, total arc-lengths are approximately 50 cm, and the width and height are set to 100 and 25 μm.

**Numerical simulation.** We carried out a finite element simulation using the Oldroyd-B model in straight and curved microchannels to solve the viscoelastic behavior of the fluid. For the simulation, governing equations are non-dimensionalized by using Re<sub>0</sub> and Wi. For steady state, the momentum equation is expressed as Re<sub>0</sub>\(\nabla \cdot \mathbf{u} = -\nabla p + \eta(\nabla \mathbf{u} + (\nabla \mathbf{u})^T) + \mathbf{T}\) and the extra stress contribution by the viscoelasticity becomes \(T + Wi \mathbf{T} = \left(\eta_t/\eta\right) \left[\mathbf{V}u + (\mathbf{V}u)^T\right]\), where \(\mathbf{T}\) is the upper convected derivative operator expressed as \(\mathbf{T} = \frac{\partial \mathbf{u}}{\partial t} + (\mathbf{V} \mathbf{u}) - \nabla p\). The particles are situated at the focusing band dimension can reach the particle size the particle movement by varying Wi in order to observe the response of N<sub>l</sub> in the straight and curved microchannels. The relative solvent and polymer viscosities were set to 0.6 and 0.4.

**Figure 6 | Experimental results for particle focusing as a function of De and Wi.** No focusing corresponds to red-crosses, single-line focusing blue-dots, and multiple-line focusing pink-circles. An inset graph indicates a separation efficiency with respect to Wi and De.

2 and De is greater than 10 (Fig. 6). The separation efficiency of the proposed system was plotted with respect to De (the inset in Fig. 6). The efficiency is very low when De < 2.4. This is because particles only undergo the elastic force within the channel and are not effectively focused at equilibrium positions depending on their sizes. As the Dean drag force becomes large as much as De > 25, the efficiency becomes extremely high compared with De < 2.4 and De > 18, the efficiency of which is comparable to the highest separation efficiency reported in the literature. This microfluidic system is capable of acting as a versatile platform that requires only the viscoelastic characteristics of a medium without any additional external forces.

In conclusion, we assert that multiplex particle focusing can be achieved by the fine tuning of the two main forces: the elastic force driven by the nature of a viscoelastic medium and the Dean drag force induced by a curved spiral channel. The particles are situated at an equilibrium position between the two main forces. A numerical simulation was carried out to fully understand the multiplex particle focusing phenomenon. The particle migration towards the outer wall in a focusing band is increased as the particle size becomes larger. Also, it is found that the channel aspect ratio is important to focus particles into the mid-plane of the channel, and that when the focusing band dimension can reach the particle size the particle movement is not perturbed by the Dean vortices but influenced by the lateral Dean drag force and elastic force. It is noticed that particle size-driven multiplex focusing is possible through hydrodynamics encompassing the elastic force and the Dean force. We expect that this study will be able to provide a comprehensive understanding on the particle focusing mechanism which is applicable to various industrial applications ranging from labeling, particle and cell separation, and isolating functionalized particles for biomedical and pharmaceutical practice.

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Author contributions
D.J.L., Y.S.S. and J.R.Y. designed research; Y.S.S. and J.R.Y. supervised the experiments; D.J.L. and Y.S.S., H.B. and J.R.Y. analyzed data; D.J.L. wrote the paper. All the authors helped in writing the manuscript, discussed the results and commented on the manuscript.

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