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Label-free localized surface plasmon resonance biosensor composed of multi-functional DNA 3 way junction on hollow Au spike-like nanoparticles (HAuSN) for avian influenza virus detection

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\textbf{ABSTRACT}

In the present study, we fabricated a label-free avian influenza (AIV H5N1) detection biosensor composed of a multi-functional DNA 3 way-Junction (3 W.J) on a hollow Au spike-like nanoparticle (hAuSN) using a localized surface plasmon resonance (LSPR) method. To construct the multi-functional DNA (MF-DNA) as a bioprobe, the 3 W. J was introduced. The proposed AIV detection bioprobe should contain three functionalities: target recognition, signal amplification, and connection to substrate. To achieve this goal, each piece of the DNA 3 W J was tailored to a hemagglutinin (HA) binding aptamer, FAM dye and thiol group, respectively. The assembly of each DNA 3 W J functional fragment was then confirmed by TBM-Native PAGE. Moreover, the hAuSN was immobilized onto the indium-tin-oxide (ITO) substrate for LSPR measurement. The DNA 3 W J was immobilized onto the hAuSN electrode through the thiol-group of DNA 3 W J. The fabricated DNA 3 W J/hAuSN heterolayer on the ITO substrate was investigated by field emission scanning electron microscopy (FE-SEM) and atomic force microscopy (AFM). LSPR experiments were conducted to confirm HA protein binding to the DNA 3 W J/ hAuSN-modified electrode. The proposed biosensor can detected the HA protein in PBS buffer (LOD: 1 pM) as well as in the diluted chicken serum (LOD: 1 pM). The present study details a label-free, simple fabrication method consisted of DNA 3 W J/ hAuSN heterolayer that uses easy-to-tailor elements to detect not only AIV but also various viruses detection platform easily.

1. Introduction

With the increase in globalization as well as global warming, various new disease-causing viruses, such as dengue virus, zika virus, and MERS-CoV virus, have spread rapidly \cite{1-3}. In particular, virus mutants are serious infectious pathogens because of their rapid infection speed, high infectivity and severe painful symptoms as well as the economic losses associated with their spread. Efforts to prevent such infections and develop vaccines against the viruses are underway \cite{4}. The avian influenza virus (AIV) is particularly regarded as a highly contagious virus that infects humans and birds and, in the past, it has caused economic issues and health problems \cite{5,6}. The highly pathogenic H5N1 AIV infection can be transferred from birds to humans and can cause death. Usually, bird flu can only infect bird species. However, AIV mutants (H5N1) can infect humans as well \cite{7}. Furthermore, if a human is infected with H5N1 AIV, the virus can exchange genetic information with the human flu virus and then spread to other individuals.

To prevent the spread of H5N1 AIV, several techniques have been proposed to identify H5N1, including surface-enhanced Raman spectroscopy (SERS) \cite{8}, enzyme linked immunosorbent assay (ELISA) \cite{9}, electrochemical (EC) assays \cite{10}, quartz crystal microbalance (QCM) \cite{11}, Luminescence resonance energy transfer (LRET) \cite{12}, surface plasmon resonance (SPR) \cite{13-15}, and fluorescence \cite{16} techniques. In order to create a portable H5N1 detection system for field use, it is important to meet the requirements of accuracy, high sensitivity, high throughput, specificity, fast signal response time, small sample size, and ease of use in the field. Among these, the optical biosensor-based
localized surface plasmon resonance (LSPR) method has been studied for high sensitivity, easy fabrication, and cost-effectiveness [17,18]. Introduction of plasmonic nanostructures to virus detection could facilitate the field-ready portable biosensor construction. The electron oscillation originating from electromagnetic waves triggers a binding interaction event in the nanoparticle interface, thus providing high sensitivity [19]. Moreover, this plasmonic event can be tuned to excite the fluorescence dye-labeled bioprobe that exhibits ultra-sensitivity in order to detect the H5N1 virus [20,21]. Advanced bioprobes have been developed to detect H5N1 accurately with high sensitivity. Among these, DNA aptamers are one of the powerful elements used to construct an AIV biosensor [22,23]. However, to construct the aptasensor, a labeling process and immobilization process are usually required to enhance signals and fabricate the electrode. These processes are quite complicated, time-consuming, and laborious. Furthermore, conventional DNA only has two terminal groups at the 5' and 3', which limits embodying the multi-functionality and complicates the manufacture processes related to immobilization, labeling, and detection.

To overcome the present limitation, this study introduced the DNA 3-way junction (3 W J) that can introduce multi-functionality [24,25]. Compared to packaging RNA 3 W J (pRNA 3 W J), DNA 3 W J showed the less stability [26-28]. However, for the biosensor construction, the production cost issue should also be considered. DNA 3 W J is cheaper than same sequence of RNA 3 W J for constructing MF-DNA bioprobe. Each end of the DNA arm was modified to perform the biosensor function. The 5' end of the DNA 3 W Ja was tagged to an HA protein recognition aptamer (HA Apt/3 W Ja). The 5' end of DNA 3 W Jb was labeled with FAM dye (FAM/3 W Jb) for signal enhancement. It is reported that the fluorescence dye can be excited by a plasmonic field, which increases the detection sensitivity effectively [20,21]. To increase the LSPR enhancement effect, FAM dye was introduced. Moreover, the LSPR enhancement effect by fluorescence dye needs to adequate distance between fluorophore and nanoparticle surface [29,30]. The FAM-tagged DNA 3 W J showed the high rigidity and provide the defined distance around 5–6 nm between FAM and hAuSN surface for making LSPR effect. As the rest of module, the 5' end of DNA 3 W Jc was labeled with a thiol-group (SH/3 W Jc) that enabled bioprobe immobilization without an additional linkage process. These functional DNA pieces were assembled well by annealing the hAuSN-modified ITO substrate was also prepared by atmospheric plasma treatment and silanization. hAuSN immobilization onto the ITO surface was performed as per previous reports [32]. ITO-coated glass substrates (10 Ω resistance, National Nanofab Center, South Korea) were cleaned by sonication for 30 min using 2% Triton X-100 solution, DIW, and ethanol, sequentially. Before washing, to make the surface hydrophilic, ITO substrates were directed to atmospheric plasma for 5 min and 30 µL × 5% of APTES solution was dropped onto the ITO substrate for 1 h at room temperature for silanization. After washing the surface with ethanol, the APTES-modified ITO substrates were heated at 70 °C for 20 min to recover the organosilane molecules. Afterwards, 30 µL × 3000 ppm of hAuSN solution was dropped onto the APTES-modified ITO surface followed by incubation for 12 h at room temperature, to allow coupling with the amine group of APTES and the Au surface. Finally, the hAuSN-modified ITO electrode was rinsed with DI water and dried using a nitrogen stream to remove the unreacted hAuSN.

2.2. Preparation of hAuSN

The hollow and spike-like gold nanoparticles (hAuSN) were synthesized by the galvanic replacement reaction (GRR) method between AgNPs and HAuCl4 [31]. AgNPs as sacrificial materials were prepared as follows: First 10 mL of 0.025 M AgNO3 was added to 80 mL of boiling water, followed by 1 wt% trisodium citrate dehydrate. The mixture was boiled for 20 min and cooled to room temperature. Then, hAuSN was prepared with the as-made AgNPs solution, as follows: 5 mL of AgNPs were dispersed in 25 mL of 3 mM HAuCl4, and 5 mL of 10 mM ascorbic acid was injected into the resulting solution. To enhance the dispersion stability in the aqueous phase during storage, 0.1 g/mL of PVP was added to the final solution at 50 °C for 6 h.

2.3. Fabrication of hAuSN on ITO substrate

hAuSN immobilization onto the ITO surface was performed as per previous reports [32]. ITO-coated glass substrates (10 Ω resistance, National Nanofab Center, South Korea) were cleaned by sonication for 30 min using 2% Triton X-100 solution, DIW, and ethanol, sequentially. Before washing, to make the surface hydrophilic, ITO substrates were directed to atmospheric plasma for 5 min and 30 µL × 5% of APTES solution was dropped onto the ITO substrate for 1 h at room temperature for silanization. After washing the surface with ethanol, the APTES-modified ITO substrates were heated at 70 °C for 20 min to recover the organosilane molecules. Afterwards, 30 µL × 3000 ppm of hAuSN solution was dropped onto the APTES-modified ITO surface followed by incubation for 12 h at room temperature, to allow coupling with the amine group of APTES and the Au surface. Finally, the hAuSN-modified ITO electrode was rinsed with DI water and dried using a nitrogen stream to remove the unreacted hAuSN.

2.4. Assembly of multi-functional DNA 3WJ

DNA 3 W J fragments were labeled with a functional group to construct a multi-functional DNA structure. Sequence selection of the HA aptamer was performed as per a previous report [23]. HA aptamer was connected to 3 W Ja for the 3 W J assembly. For plasmonic signal enhancement, the FAM/3 W Jb was introduced. Finally, SH/3 W Jc was prepared for anchoring the hAuSN directly. The Alapt/FAM/SH/3 W J was assembled by annealing three corresponding DNA strands at an equimolar ratio in TMS buffer (40 mM Tris–HCl, 10 mM MgCl2, 100 mM NaCl) by heating at 80 °C for 5 min, followed by slowly cooling down to 4 °C at a rate of 2 °C/min on a T100™ Thermal Cycler (Bio-rad). The assembled DNA biomarker was confirmed by 8% native TBM-PAGE (89 mM Tris, 200 mM boric acid, 5 mM MgCl2, pH 7.6) [33].

2.5. Surface morphology analysis

The fabricated hAuSN-modified ITO substrates were investigated by FE-SEM (Auriga, Carl Zeiss, Germany). In addition, the biofilm fabrication was investigated by tapping-mode AFM (Digital Instruments, USA). The bare ITO substrate, hAuSN-modified ITO, DNA 3 W J self-assembled on hAuSN-modified ITO substrate, and HA protein/DNA 3 W J/ hAuSN-modified ITO substrate were investigated by AFM for comparison. Before scanning the sample, the set point current,
Fig. 1. Schematic image of the fabricated AIV detection biosensor based on LSPR method.

Fig. 2. (a) Schematic diagram of multi-functional DNA 3 W J for AIV H5N1 detection through LSPR, (b) TBM PAGE gel result of multi-functional DNA 3 W J, HA protein, spike protein.

Fig. 3. (a) Surface morphology of ITO substrate by AFM (b) hAuSN-immobilized on ITO substrate by AFM, (c) surface morphology of DNA 3 W J on hAuSN-immobilized ITO substrate by AFM, (d) Surface morphology of HA protein on DNA 3 W J /hAuSN/ITO by AFM, (e) Surface morphology of hAuSN-immobilized Au substrate by FE-SEM, (e) Surface roughness analysis of the ITO, hAuSN/ITO, DNA 3 W J /hAuSN/ITO and HA protein/ DNA 3 W J /hAuSN/ITO.
2.6. Detection of HA protein by LSPR

To detect the AIV through the DNA 3WJ/ hAuSN substrate, ultraviolet-visible spectroscopy (UV-VIS) was used to measure the LSPR effect. Binding of HA protein to the Alapt/FAM/SH-DNA 3WJ was monitored by an LSPR absorbance intensity in the UV-vis spectrum resulting from changes in the local refractive index induced by the target-aptamer reaction. The local refractive index changes induced by target-aptamer interaction at a given wavelength are attributed to the extension of light absorption by the biofilm on the hAuSN-modified ITO electrode. All absorption spectra were obtained by monitoring UV-vis spectral changes in the transmission mode of JASCO V-530 UV-spectrometer (Japan) [18,19]. The measurement requires around 10 min.

3. Results and discussion

3.1. Confirmation of assembled multi-functional DNA 3WJ

For detecting the HA protein through LSPR methods, the multi-functional DNA 3WJ requires three functional groups: 1) HA protein recognition group, 2) immobilization group, and 3) LSPR signal enhancer. The HA protein aptamer was tagged to the 3WJ a motif. FAM dye was tagged to the 3WJ b motif, and the thiol-modified 3WJ c was prepared. Each functional 3WJ fragment was assembled as Alapt/ FAM/SH-DNA 3WJ (Fig. S1). Fig. 2a shows the expected Alapt/FAM/
SH-DNA 3 W J 2D structure. Assembly of Alapt/FAM/SH-DNA 3 W J was confirmed by 8% native TBM-PAGE. Fig. 2b shows the PAGE result of the Alapt/Zyme/SH-DNA 3 W J assembly. The gel clearly shows the Alapt-3 W Ja (lane 2), FAM-3 W Jb (lane 3), SH-3 W Jc (lane 4), and Alapt/Zyme/SH-DNA 3 W J (lane 5). The results demonstrate that the designed multi-functional bioprobe can be prepared easily. However, the prepared multifunctional bioprobe should sustain its target binding affinity and specificity. HA protein was thus reacted with the assembled Alapt/FAM/SH-DNA 3 W J. Lane 7 shows the migration retard and upper band occurrence that clearly indicates the assembled Alapt/FAM/SH-DNA 3 W J-bound HA protein. As a negative control, the spike protein (S protein from MERS-CoV coat protein) was reacted with the assembled Alapt/FAM/SH-DNA 3 W J (lane 9). In case of lane 9, no migration change was observed compared to that in lane 5. This implies that the Alapt/FAM/SH-DNA 3 W J only showed specificity to HA protein. Therefore, the designed bioprobe can detect the HA protein specifically.

3.2. Investigation of fabricated HA protein/DNA 3WJ on the hAuSN heterolayer

The immobilization process of HA protein/DNA 3WJ on the hAuSN heterolayer was investigated by AFM and FE-SEM. Fig. 3(a) shows the AFM results of the ITO electrode surface. Small grains with sizes around 20–30 nm were observed on the ITO surface. After hAuSN immobilization via plasma treatment with the linker, numerous large hAuSN particles with high density were observed to be immobilized and the grain sizes are measured to be around 100–150 nm. The AFM result of the hAuSN-modified surface clearly exhibited the nanoparticle morphology (Fig. 3b). Fig. 3c exhibited the DNA 3 W J immobilized on the hAuSN ITO electrode. It formed small lumps with sizes around 30–40 nm on the hAuSN particles, so it might be assumed that the DNA 3 W J was immobilized on the hAuSN particles. After the HA protein reacted with the immobilized bioprobe-modified surface, the AFM morphology was changed with a vertical height size increment compared to the DNA 3 W J molecule using horizontal analysis (Fig. 3d). Fig. 3(e) shows the FE-SEM image analysis with a large area clearly showing the hAuSN immobilized on the ITO surface (Fig. S2, Fig. S3). The vertical size of the HA protein that we obtained was around 14 nm through vertical analysis (Fig. 3f). The surface roughness analysis was also performed (Fig. 3f). The combined results indicate that the fabricated heterolayer immobilized well on the ITO substrate.

3.3. Optimization test of DNA 3WJ/hAuSN heterolayer fabrication

When hAuSN was immobilized onto the ITO substrate, the LSPR band was observed in the visible range. This result shows that the hAuSN layer substrate could be applied to the LSPR biosensor for HA detection. To maximize the LSPR effect of hAuSN, various concentrations of hAuSN were employed on the ITO substrate and changes in absorbance were monitored to obtain the optimal concentration of hAuSN for LSPR biosensor fabrication. Fig. 4a shows the UV-VIS absorption spectra with various concentrations (600 ppm, 1200 ppm, 1800 ppm, 3000 ppm, and 4200 ppm) of the hAuSN layer. Because of free electron absorption, the hAuSN layer absorbed light throughout the

Fig. 6. (a) Detection of HA protein in PBS buffer on the MF-DNA/hAuSN-based localized surface plasmon resonance (LSPR) biosensor. Absorbance increases from different HA concentrations in PBS buffer (1 pM to 10 nM) of (b) Calibration characteristics of the different concentration of HA protein range from 1 pM to 10 nM with correlation coefficient (R²) of 0.9976. (c) Detection of HA protein in 10% chicken serum on the DNA 3WJ-based localized surface plasmon resonance (LSPR) biosensor. Absorbance increases from different HA concentrations in 10% chicken serum (1 pM to 10 nM) of (d) Calibration characteristics of the different concentration of HA protein range from 1 pM to 10 nM with correlation coefficient (R²) of 0.9976. (e) Selectivity test of DNA 3WJ/hAuSN-based LSPR biosensor with the various targets (cytochrome c (Blue line), BSA (Green line), spike protein (Grey line), myoglobin (Yellow line) and HA protein (Red line). (f) Change in absorbance peak based on selectivity test with other protein reaction (cytochrome c (Blue line), BSA (Green line), spike protein (Grey line), myoglobin (Yellow line) HA protein (H1N1) (Purple line) and HA protein (H5N1) (Red line), respectively. Error bar represents relative standard deviation of 15 independent experiments.
Table 1

| No. | Materials | Detection Method | Detection Limit | Detection Limit (LOD) | Label-free or not | References |
|-----|-----------|------------------|-----------------|----------------------|------------------|------------|
| 1   | Aptamer / Ag@SiO₂ nanoparticle | Fluorescence | 2 ng/ml (in aqueous buffer) | 0.125 HAU (pure virus) | Label-free | [10] |
| 2   | Aptamer / Gold nanoparticle | EIS | 0.128 HAU | 2 ng/ml (in human serum) | Label-free | [13] |
| 3   | Oligonucleotide | Luminescence Resonance Energy Transfer | 7 pM | HA | Label-free | [12] |
| 4   | RNA | Surface-enhanced Raman scattering (SERS) | 2.67 aM | Raman | Label-free | [8] |
| 5   | Aptamer / Stereptavidin-biotin | SPR | 0.128 HAU | 2.36 × 10⁻¹³/cm² oligonucleotides | Label-free | [13] |
| 6   | Oligonucleotide/ Gold nanoarray | LSPR | 10⁴ copies/mL | 144 copies/mL | Label-free | [14] |
| 7   | Recombinant Antibody IM-SPR | | 144 copies/mL | 144 copies/mL | Label-free | [35] |
| 8   | MF-DNA/hAuSN LSPR | | 1 pM | 144 copies/mL | Label-free | Present work |
| 9   | HA | | | | | |
| 10  | hAuSN-modified ITO | | | | | |
| 11  | MB/SH-DNA | | | | | |

visible ranges and an absorption peak was observed at around 541 nm. When the hAuSN concentration was changed, the LSPR peak intensity was changed. From 600 ppm (red line) to 3000 ppm (green line), the LSPR peak intensity was gradually increased. However, at 4200 ppm of hAuSN-modified ITO the LSPR peak intensity was decreased (Fig. 4b). This effect might be elucidated by hampered light transmission due to physical adsorption and unreacted particles of hAuSN. Based on these results, we employed 3000 ppm of hAuSN for LSPR biosensor fabrication.

Moreover, various concentrations of bioprobes were immobilized on the hAuSN-modified ITO substrate to obtain optimal conditions. Fig. 4c depicts the UV-VIS absorption spectra of various concentrations (10 nM, 100 nM, 500 nM, 1 μM, 2 μM, and 5 μM) of DNA 3 W J layers immobilized on the hAuSN-modified substrate. From 10 nM (red line) to 1 μM (green line), the LSPR peak intensity was gradually increased. However, with 2 μM of DNA 3 W J immobilization (blue line), the LSPR peak intensity (Fig. 4d) was slightly decreased compared to that with 1 μM of DNA 3 W J. This effect might be elucidated by hampered light transmission due to the physical adsorption of DNA 3 W J. In case of the 5 μM of DNA 3 W J immobilization (purple line), the LSPR intensity was slightly increased compared to that with 1 μM of DNA 3 W J. However, for cost-effectiveness, 1 μM of DNA 3 W J is suitable for further experiments.

3.4. Plasmon effect characterization of HA protein/ DNA 3 W J on hAuSN

To confirm the LSPR effect, a UV-VIS experiment was carried out using the hAuSN-modified ITO substrate. The yellow curve in Fig. 5a shows the LSPR peak at around 541 nm. Compared with UV-VIS spectra of the ITO substrate (red line), the hAuSN layer absorbed light from the visible area because of free electron absorption [19]. After DNA 3 W J immobilization, the LSPR band intensity was increased substantially (green line). Then, when HA protein was added to the DNA 3 W J/hAuSN-modified substrate, an additional increase in the LSPR band was observed (blue line). This phenomenon could be explained by the increased thickness of the protein-DNA biomolecular layers on the hAuSN nanostructure through the plasmon effect. This also indicated that the prepared bioprobe (DNA 3 W J) reacted well with the target HA protein.

Moreover, to increase the LSPR effect on the fabricated DNA 3 W J/hAuSN substrate, we compared the three types of DNA 3 W J. Fig. 5b shows the absorbance peaks of SH-modified DNA 3 W J (yellow line), MB/SH-modified DNA 3 W J (green line), and FAM/SH-modified DNA 3 W J (cobalt line), respectively. Comparison with SH-DNA 3 W J and MB/SH-DNA 3 W J, little absorbance change was observed. However, in the case of FAM/SH-DNA 3 W J, a substantially increased LSPR band was observed compared to that with SH-DNA 3 W J. Presumably, the results showed that the fluorescent dye molecule in the DNA could couple with the surface plasmon that confers a high refractive index onto the DNA 3 W J-modified hAuSN substrate [21]. Based on the combined result, the prepared nanobio heterolayer can be used to effectively detect HA protein.

3.5. Detection performance and clinical test

To analyze the LSPR biosensor performance considering the LOD and dynamic range, LSPR experiments were carried out with 9 samples. The HA protein diluted in PBS buffer was added to the DNA 3 W J/hAuSN-modified biosensor for 3 h at RT. After the washing step, the LOD was measured. The LSPR band was obtained corresponding to the HA protein concentration (0 pM to 100 nM). To analyze the detection performance and limit of detection (LOD), various concentrations of serially diluted HA protein in PBS buffer were used. As shown in Fig. 6a, the LSPR peak intensity increased with increasing HA protein concentration between 1 pM to 100 nM. This clearly showed a linear relationship between LSPR intensity and HA protein concentration in this
range with an $R^2$ of 0.9976 (Fig. 6b).

Based on a successful linear relationship between the target concentration and LSPR band intensity, the fabricated biosensor was tested with HA protein in 10-fold diluted chicken serum for clinical testing (Fig. 6c). Compared to HA protein diluted in PBS buffer, the LSPR band intensity and peak wavelength was somewhat volatile. This might be explained by the unreacted proteins in chicken serum residues physically binding to the fabricated DNA 3WJ/hAuSN-modified heterolayer. Fig. 6d exhibited a linear relationship between various concentrations of the HA protein and LSPR intensity. Compared with 6b, this curve showed low $R^2$ at 0.9976. Nevertheless, the fabricated LSPR biosensor can clearly detect HA protein within 10 min.

In addition, the selectivity of fabricated LSPR biosensor was tested with other proteins including cytochrome c (CYT C), bovine serum albumin (BSA), spike protein (S), myoglobin (MYO) and HA protein (H1N1) in the diluted chicken serum as negative controls (Fig. 6e). The result showed little LSPR intensity increase compared to that when the HA protein was added to the fabricated MF-DNA/hAuSN-modified heterolayer (Fig. 6f). The performance comparison of the fabricated LSPR biosensor performance comparison between the present HA detection biosensor and other biosensors reported is summarized in Table 1 [29]. Thus, the proposed LSPR biosensor can successfully detected the HA protein in clinical samples with high selectivity.

4. Conclusions

Avian influenza virus is one of the most serious virus pathogens in birds and humans due to rapid infection, various mutants, and dangerous symptoms. In this study, the authors developed an LSPR biosensor composed of DNA 3WJ on a hAuSN-modified ITO electrode. The MF-DNA was introduced as a multi-functional biorecognition probe for simultaneous HA protein detection, LSPR signal enhancement, and immobilization. Construction of the multi-functional DNA was confirmed by TBM-PAGE. The hAuSN-modified hetero-layer (Fig. 6f). The performance comparison of the fabricated LSPR biosensor performance comparison between the present HA detection biosensor and other biosensors reported is summarized in Table 1 [29]. Thus, the proposed LSPR biosensor can successfully detected the HA protein in clinical samples with high selectivity.

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Appendix A. Supplementary data

Supplementary material related to this article can be found, in the online version, at doi:https://doi.org/10.1016/j.colsurfb.2019.06.070.

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