The ties to unbind: age-related differences in feature (un)binding in working memory for emotional faces

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INTRODUCTION

Working memory is the workspace of the mind, where passive storage and active manipulation/transfer of information engage in dynamic interplay (Baddeley and Hitch, 1974; Miyake and Shah, 1999; Kane et al., 2001). An effective working memory system is crucial for high-level performance in a multitude of cognitive tasks, perhaps because an effective working memory system depends on the efficient implementation of a host of basic cognitive control operations that are likely involved in most, if not all aspects of the cognitive system. For instance, significant relations have been demonstrated between fluid intelligence and working memory capacity, and between spatial and language abilities and working memory (e.g., Kyllonen, 1996; Engle et al., 1999; Conway et al., 2002; Kemper et al., 2003).

Given the centrality of the working memory construct, it is not surprising that there is a growing literature on the effects of aging on its capacity and dynamics. The brunt of the research shows that working memory capacity declines with advancing adult age. Small age differences are already found in the performance of short-term memory (STM) tasks that do not require much cognitive control or attentional resources, such as digit span tasks, but age-related deficits in working memory, as measured by tasks such as reading span, listening span, or operation span, are demonstrably larger. In a meta-analysis compiling a total of 123 studies from 104 papers, Bopp and Verhaeghen (2007) found that older adults’ capacity in simple STM span tasks was 92% that of the capacity of younger adults; their capacity on true working memory tasks, however, was only 74% that of younger adults. This decline in working memory capacity has consequences for complex aspects of cognition: on average, working memory capacity explains 52% of the age-related variance in episodic memory performance, 63% of the age-related variance in spatial abilities, and 72% of the age-related variance in reasoning abilities; STM, in contrast, explains 9% or less of the age-related variance (Verhaeghen, 2014).

One particular determinant of working memory capacity that has consistently been found to be age-sensitive is the availability of information that has left focus of attention and needs to be retrieved after a bout of intermittent processing. Our lab and others have studied this topic using variations on the N-Back task – the task we will also use here (e.g., Oberauer, 2002; Verhaeghen and Basak, 2005; Chen and Li, 2007; Vaughan et al., 2008; Verhaeghen, 2012; Zhang et al., 2012). In an N-Back task, subjects indicate whether the stimulus currently on the screen matches the stimulus presented N positions back. The typical finding in our experiments (but see Kirchner, 1958; Dobbs and Rule, 1989) is that older and younger adults are equally (and highly) accurate in the 1-Back version of the task, but that age differences in accuracy consistently emerge when N > 1. We would argue that 1-Back tasks primarily reflect the workings of attention, with a possible STM component as well (i.e., subjects have to retain information passively over a very brief duration – 2 s in our experiment). A 2-Back version adds a true working memory component to the task, in the form of intervening items that need to be processed.

In the present study, we investigated age-related differences in the processing of emotional stimuli. Specifically, we were interested in whether older adults would show deficits in unbinding emotional expression (i.e., either no emotion, happiness, anger, or disgust) from bound stimuli (i.e., photographs of faces expressing these emotions), as a hyper-binding account of age-related differences in working memory would predict. Younger and older adults completed different N-Back tasks (side-by-side O-Back, 1-Back, 2-Back) under three conditions: match/mismatch judgments based on either the identity of the face (identity condition), the face’s emotional expression (expression condition), or both identity and expression of the face (both condition). The two age groups performed more slowly and with lower accuracy in the expression condition than in the both condition, indicating the presence of an unbinding process. This unbinding effect was more pronounced in older adults than in younger adults, but only in the 2-Back task. Thus, older adults seemed to have a specific deficit in unbinding in working memory. Additionally, no age-related differences were found in accuracy in the 0-Back task, but such differences emerged in the 1-Back task, and were further magnified in the 2-Back task, indicating independent age-related differences in attention/STM and working memory. Pupil dilation data confirmed that the attention/STM version of the task (1-Back) is more effortful for older adults than younger adults.

Keywords: hyper-binding, memory binding, working memory, aging, pupillary response, emotion
The finding then echoes other reports (e.g., Bopp and Verhaeghen, 2007) that older adults show small or not deficits in STM tasks, but substantial deficits in working memory tasks. Additionally, in the present study, we will include a 0-Back condition where two stimuli are shown side-by-side, to test and/or control for perceptual deficiencies in older adults.

The finding of an age-related deficit in the working memory component, but not the attentional/STM component of working memory leads to an obvious question: can this result be reduced to a deeper deficit in a known process, or is this aspect of working memory – retrieval of items stored outside immediate attention – a cognitive primitive that is especially age-sensitive? This is the research question we will address in the present paper. To foreshadow: the cognitive primitive we will investigate is association, more precisely, the binding of features into objects and the concomitant unbinding of objects into features; the objects we will use, for reasons explained below, are faces displaying emotional expressions (presenting emotion and identity into a compound percept). Additionally, we will use a physiological measure (pupil dilation) to independently verify the commitment of attentional resources throughout the task. As a side effect, the use of emotional stimuli will allow us to investigate the claims of an age-related positivity effect in a domain where such effects have rarely been investigated, namely that of working memory.

One popular hypothesis to explain age-related differences in working memory functioning is the hypothesis of an age-related associative deficit. The claim is that older adults fail to efficiently and/or effectively link individual features of a learning episode together; one consequence is a particular difficulty in forming or retrieving the associations or bindings between the features of objects, compared to maintaining the single features in memory (Chalfonte and Johnson, 1996; Naveh-Benjamin et al., 2004; Cowan et al., 2006). The associative-binding theory has fared extremely well in the domain of episodic memory (e.g., Old and Naveh-Benjamin, 2008), but evidence from the domain of working memory has been decidedly mixed.

In support of the associative-deficit hypothesis, Cowan et al. (2006), in a delayed match-to-sample task, did indeed find evidence that older adults were especially poor at detecting binding changes. Importantly, this deficit was not due to age-related differences in attentional resources per se, because the decline was evident even when the set size of the array was reduced to be well below capacity limits. Other researchers, in contrast, have failed to discover evidence for an associative deficit in working memory, concluding instead that aging is governed by a rather general deficit in working memory functioning. For instance, in three change-detection experiments, Brockmole et al. (2008) found overall lower working memory performance in older adults, but no specific age-related deficit emerged in a feature-binding condition. Importantly, in this study, older adults were more affected by increases in set size than younger adults were, suggesting that the age-related decline in working memory performance is tied to a fundamental change in capacity rather than to associative deficits. With a very different task – a repetition-detection paradigm – Bopp and Verhaeghen (2009) found, in line with the Brockmole et al. (2008) findings, that the necessity to bind content to context decreased performance in both age groups to the same degree.

In the present paper, we approach the binding problem from a different angle, inspired by a recent study that found evidence – in episodic memory – of the existence of the third possible empirical outcome, namely that older adults are better at associative binding than younger adults. That is, Campbell et al. (2010) found that when-to-be-remembered stimuli (in this case: line drawings) were paired with stimuli explicitly labeled as irrelevant (in this case: words) older participants showed better memory for the drawing-word conjunction than younger adults did. The authors labeled this phenomenon hyper-binding, and see it as predicated on deficient inhibitory functioning. Under this hypothesis, older adults are unable to inhibit the irrelevant information, which therefore gets encoded (and maintained) along with the relevant information. The net result is a functional shrinkage in the capacity of working memory.

So far, age-related hyper-binding has not been demonstrated in working memory. Clearly, the three papers cited above report either no evidence for a binding deficit in old age, or the opposite of hyper-binding, that is, a binding deficit. It is also clear, however, that the standard set-up of experiments into the associative deficit hypothesis might not be easily conducive to elicit the phenomenon of age-related hyper-binding. One potential issue is that in classical association experiments (which often use artificial compound stimuli composed of random combinations of abstract features, e.g., Wheeler and Treisman, 2002) feature binding typically comes at a cost: remembering the compound object is more difficult than remembering the individual features. Working memory experiments reporting age-related binding deficits as evidenced by age by condition interactions could then simply be instantiations of a complexity or difficulty effect: age differences are “naturally” larger in tasks yielding lower performance, that is, in this case, the both condition (e.g., Cerella, 1985).

In the present study, we reversed this situation by using naturally occurring and ecologically valid compound objects that, by all accounts, are easier to remember than at least some of their constituent features. More specifically, we capitalized on an interesting finding in the face recognition literature, namely that when participants are confronted with a face showing a natural emotion, recognition of facial identity (i.e., who is this person?) typically occurs faster and with higher accuracy than recognition of the emotional expression (e.g., Ganel and Goshen-Gottstein, 2004; Martens et al., 2010). At the same time, matching on both identity and emotional expression typically occurs no faster or more accurately than matching on identity alone (e.g., Soto and Wasserman, 2011). These findings suggest that identity of faces is processed easily, maybe even automatically, whereas extraction of emotional expression is a much slower, potentially effortful process. (Note that there is some controversy over whether these two types of judgments – identity or emotional expression – are independent; Bruce and Young, 1986; or interrelated; e.g., Ganel and Goshen-Gottstein, 2004. This question, however, is irrelevant to our current study, which concerns only the relative efficiency of these processes.) This particular quirk of the cognitive system makes emotional face recognition particularly
well suited to investigate the hyper-binding hypothesis. If older adults indeed encode more of the stimulus than strictly needed for the task, the age-related deficit in performance would be larger in conditions in which only the emotional expression (a single feature) needs to be remembered compared to memory for the whole stimulus (i.e., identity bound with emotional expression), because older adults would be less inclined or less capable to extract and remember only the relevant feature. (Note that the age-related deficit for memory for facial identity (another single feature) should likely match that for memory of the whole stimulus, given that identity extraction typically comes at no cost.)

One added advantage of these stimuli lies in their emotional nature: it has often been claimed that the valence of emotions inherent in experimental stimuli moderates age-related differences in cognition. Our study allows us to investigate some of these claims explicitly, in a domain where these have been rarely researched. For instance, socioemotional selectivity theory (Carstensen et al., 1999) states that a shrinking time perspective leads older adults to focus on positive information rather than negative information – the so-called positivity bias. There are only a few studies that investigated the impact of emotional stimuli on working memory performance, but their usefulness for the present questions is limited: these studies included either only younger adults or negative stimuli (Kensinger and Corkin, 2003) or examined the effect of arousal but not emotion (Wurm et al., 2004). The literature on attention and the positivity bias suggests that the pattern may be complicated. For instance, Allard and Isacowitz (2008) found that older adults fixated their gaze more on positive and neutral images compared to negative images, regardless of whether the images were presented in full or divided attention conditions; additionally, older adults’ secondary task performance was as good as that of younger adults. This finding strongly suggests that processing positive emotional material does not require full attention. Other studies, however, have found that the presence of a distractor task leads older adults to remember proportionally more negative images than positive images (Mather and Knight, 2005) and to exhibit an attentional preference for negative images relative to positive images (Knight et al., 2007). This set of findings then indicates that processing positive emotional material does require attention, and that the age-related positivity bias may disappear or reverse under conditions of high cognitive load. Our study could shed further light on this controversy. That is, if the cognitive or memory load explanation has any validity, we would expect an age-related positivity effect for the N-Back conditions with low cognitive load (i.e., 0-Back or 1-Back), but a reversal of the effect for the 2-Back version, which has an added memory component which has been shown to increase response time (RT) and decrease accuracy (e.g., Verhaeghen and Basak, 2005).

We additionally recorded changes in participants’ pupil diameter during stimulus processing. The aim was to investigate how this response changes with age and/or as a function of processing demands and emotional content of the stimuli. Pupil dilations have long been thought to reflect a brain activity during perceptual and cognitive processing (Kahneman and Beatty, 1966). Task-evoked pupillary responses occur during the processing of stimuli and have been interpreted as indicators of cognitive effort and emotional arousal (for a review, see Beatty, 1982; Goldinger and Papeş, 2012). In line with this reasoning, pupil diameter typically increases as task demand increases (e.g., Karatekin et al., 2007; Van Gerven et al., 2004; Heitz et al., 2008) and as task/response interference increases (e.g., Porter et al., 2007; Laeng et al., 2011). Pupils also dilate during emotional processing, with larger pupil dilations occurring in response to positively valenced images (Hess, 1965), sexually arousing stimuli (Hicks et al., 1967), and positively and negatively arousing sounds (Partala and Surakka, 2003).

Surprisingly, few studies have investigated the joint influence of processing demands and emotional content on task-evoked pupillary responses. One exception is Stanners et al. (1979) who manipulated both processing demands (difficulty level of an arithmetic task) and arousal (threat of shock) or only arousal without processing demands. They found that pupillary responses increased with the difficulty of the arithmetic problems regardless of threat of shock, suggesting that pupillary responses are influenced mainly by cognitive demands of the task regardless of emotional arousal. In the low-demand task, however, arousal did influence pupil dilations. The two results combined suggest that arousal influences pupillary responses in low-demand situations, but that high cognitive demand may override the arousal-related response.

Based on this literature, we propose that pupil dilation during stimulus processing (relative to a baseline pupil measurement) could provide an independent, physiological indication of age-related differences in the effects of working memory demands (by comparing responses in the 2-Back task with responses in the 0-Back and 1-Back tasks) and potentially also of age-related differences in phasic changes due to emotion-specific arousal; the latter effect will likely only be observable in the low-demand conditions (i.e., 0-Back and 1-Back), as observed by Stanners et al. (1979).

To summarize, in the present study, we investigate one potential source of the oft-noted age-related differences in working memory performance, namely the possibility that older adults are less flexible than younger adults in unbinding information in working memory when representing the bound object is unnecessary – the so-called hyper-binding hypothesis. To maximize the chances of hyper-binding, we used emotional faces as stimuli; it has been shown that extracting the emotion content from such faces is an effortful process. The task is a subject-paced N-Back task. In its side-by-side 0-Back form, this task measures perceptual discrimination; its 1-Back version adds an attentional/STM requirement; the 2-Back version adds a working memory component. If older adults do hyper-bind, they would need more time and/or be less accurate in unbinding a stimulus into its features. As a consequence, we would expect larger age differences in the condition in which subjects respond only to the emotional expression. Additionally, we investigated the role of emotional valence on age differences in perception, attention, and memory, expecting, from the one extant study (Knight et al., 2007), a positivity bias in perception and attention (i.e., in 0-Back and 1-Back) and a negativity bias in working memory...
(i.e., in 2-Back), possibly (Knight et al., 2007) modulated by task difficulty such that the positivity bias would only show in the simpler tasks (i.e., 0-Back or 1-Back). Pupil dilation data will provide information as to the resource investment in each of the conditions. Given previous reports of age differences in performance in N-Back tasks, we expect that older adults would show a higher investment of resources in this task than younger adults.

The present work breaks modest new ground in at least two aspects. First, as far as we know, although emotional faces have been used extensively in perceptual paradigms such as stimulus discrimination or classification, there are fewer data on how working memory handles this class of stimuli (for one exception, see Kensinger and Corkin, 2003), and none in the field of aging. Second, as far as we know, we are the first research team to employ a physiological measure, pupil dilation, as independent verification of adult age-related differences in the task difficulty presumably involved in working memory encoding and/or retrieval and in the processing of emotional content.

**MATERIALS AND METHODS**

**PARTICIPANTS**

Twenty-one younger (67% female) and 21 older (71% female) adults participated in this experiment. Older participants were recruited from the community; they received cash payment ($10/hour) for participation. Younger adults were students at Georgia Institute of Technology; they were given either course credit or cash payment ($10/hour) for participation.

One older adult whose data could not be recorded due to technical problems was excluded from the analyses. The mean age of the remaining 20 older adults was 70.55 (SD = 4.3); mean age of younger adults was 20.33 (SD = 1.62). Older adults (M = 16.7, SD = 3.16) had completed more years of education than younger adults (M = 14.21, SD = 1.49), t(39) = 3.25, p = 0.002. Younger adults (M = 62.9, SD = 8.04) performed significantly better than older adults (M = 45.65, SD = 8.57) on a symbol digit test, t(39) = 6.65, p < 0.001. On the other hand, older adults' performance (M = 34.1, SD = 4.51) on the Shipley Vocabulary test was significantly higher than performance of younger adults (M = 31.33, SD = 3.61), t(39) = 2.18, p = 0.04.

**MATERIALS**

**Faces**

Forty-eight faces were selected from the FACES database (Ebner et al., 2010), with 12 different young-female actors portraying either angry, happy, neutral, or disgusted expressions. One of the faces, showing all these emotions is presented in Figure 1A. To keep the number of to-be-analyzed variables manageable we included only young and female faces in the study. Previous research has shown effects of age (young vs. old) and sex (female vs. male) of face stimuli on attention and memory performance of younger and older adults (for a meta-analytic review, see Rhodes and Anastasi, 2012); however, investigation of the effect of these variables is out of the scope of the current study.

Our choice of emotional expressions was guided by previous findings concerning age-related differences in emotion processing; the aim was to bias the results toward observing age by condition interactions. We included happy and angry faces specifically because older adults have been shown to show high recognition accuracy for happy stimuli (e.g., Carstensen et al., 1999) while younger adults tend to show a memory bias for angry faces (Mikels et al., 2005). Additionally, we included disgust to see whether recognition of disgust appears to be relatively preserved in old age as shown in a couple of studies (e.g., Calder et al., 2003).

We selected a subset of 12 young-female faces with happy, angry, neutral, and disgusted expressions from the FACES database based on correct identification of these facial expressions by younger-female, younger-male, older-female, and older-male raters in the norming study (Ebner et al., 2010)1. Given that we were interested in the effects of emotion alone, arousal level of the stimuli is a potential confound. Since arousal ratings are not available in FACES database, we conducted a separate study including 42 young adults (55% female) recruited from the Georgia Institute of Technology (mean age 19.81, SD = 2.12). Participants provided arousal ratings for each face on a 9-point Likert scale (1 – not arousing at all, 9 – highly arousing) using the Self-Assessment Manikin (Bradley and Lang, 1994). As expected, participants rated neutral faces (M = 3.13, SD = 1.42) as less arousing than happy (M = 5.26, SD = 1.56), disgusted (M = 6.00, SD = 1.73), or angry faces (M = 5.56, SD = 1.53), all t(41) > 6.35, ps < 0.001. Although the perceived arousal level of individual face pictures in each emotion category varied, disgusted and angry faces were on average not more arousing than happy faces. The only significant difference was between angry and disgusted faces, t(41) = 4.41, p < 0.001.

**Apparatus**

Pupil data were recorded binocularly using a head-mounted SR Inc. Eyelink II eyetracker with a 500-Hz sample rate. To obtain baseline pupil estimates, an 8,000 ms fixation cross was presented at the beginning of each block. For each block, the average pupil size recorded during this 8,000 ms period served as the baseline pupil estimate for that block. Peak pupil sizes were recorded during presentation of the face photos and during the interstimulus interval. For the analyses, the peak pupil size value during stimulus presentation and the interstimulus interval for each trial was determined. The percentage change was calculated as max pupil size at each trial minus the baseline pupil value divided by the baseline, thus scaling responses as a function of each individual subject's baseline (e.g., Iqbal et al., 2004).

All tasks were prepared and presented by E-Prime 2.2. Stimuli were presented on a 14-inch computer screen (resolution 1024 × 768 pixel) over a gray background (RGB: 150, 150, 150) as bitmap images (231 × 185 pixels). The face stimuli subtended

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1In the norm study (Ebner et al., 2010), for our subset of the stimuli, the mean accuracy of emotional expression identification by younger women was 93.15 (SD = 11.31), by younger men was 90.56 (SD = 10.91), by older women was 86.42 (SD = 15.17), and by older men was 83.67 (SD = 16.42).
a horizontal visual angle of 7.5° and a vertical visual angle of 6.6°.

Task design
The experiment consisted of identity, expression, and both conditions with three N-Back tasks (side-by-side 0-Back, 1-Back, and 2-Back). The task design for each condition is illustrated in Figure 1B. Each condition involved making match/mismatch judgments based on either the identity of the face (identity condition), the face’s emotional expression (expression condition), or joint identity and expression of the faces (both condition). Each condition consisted of two blocks of 48 trials of each N-Back task. Since we had three different N-Back tasks, there were a total of 288 trials in each of the three conditions (identity, expression, both). The number of match and mismatch trials was equally distributed across the 48 trials within each block. Furthermore, different trials included equal number of difficult and easy lures in each N-Back tasks. For instance, when participants were shown the face of person “A” with happy facial expression in the identity condition, the face of person “B” with happy facial expression was considered a difficult lure, whereas the face of person “B” with angry facial expression was an easy lure. Within each condition and task, the same set of face stimuli were used. This was done to ensure that performance differences between the different tasks and conditions could not be attributable to the stimuli. Emotional expressions and facial identity were pseudo-randomized so that each face and emotional expression was presented an equal number of times within each N-Back task. Conditions were blocked.

The order of presentation of N-Back tasks and conditions was counterbalanced using a Latin square design. There was no effect of counterbalancing on performance.

Side-by-side 0-Back task
For the side-by-side 0-Back task, two face pictures were presented side-by-side on the screen and participants decided whether the two pictures were identical or not in terms of either identity or emotional expression, or of both identity and emotional expression, depending on condition. The purpose of the side-by-side task was to evaluate potential age differences in perceptual discrimination of facial identity, emotional expression, and their conjunction. Note that this side-by-side comparison likely involves saccadic eye movements, making it likely that the RTs for the 0-Back task will be larger than those of the 1-Back and 2-Back tasks.

1-Back and 2-Back tasks
In these tasks, participants were asked to compare either identity, emotional expression, or joint identity and emotional expression of a face with the face presented one position back (1-Back) or two positions back (2-Back task).

PROCEDURE
Participants were tested individually. Before the experiment, each participant signed a consent form. Each condition began with 12 practice trials. During the study, the eye tracker was calibrated before each block in each condition to establish a map between each participant’s gaze position and the eye tracker. Each block began with an 8,000 ms presentation of a fixation cross that served to collect the baseline pupil measurement. Next, participants were presented with a probe face (1-Back) or two probe faces (2-Back) for 2,000 ms each, or no probe (side-by-side 0-Back

2Since the FACES database agreement provides only one young-female face to use in scientific publications, it was not possible to demonstrate the tasks we used in this study using the real stimuli. Thus, for the purposes of task design illustration, we used emoticons in Figure 1B.
task). Following probe trials, participants completed 48 self-paced trials. In each trial, a single face (1-Back and 2-Back conditions) or two side-by-side faces (0-Back condition) were presented; subjects indicated whether the relevant feature(s) of the presented face matched the relevant feature(s) of the face presented one face back (1-Back condition), two faces back (2-Back condition), or whether the relevant feature(s) of the two side-by-side faces matched (0-Back). Because face trials were self-paced and peak pupil dilation typically occurs 1,800–2,000 ms post-stimulus onset (Beatty, 1982), each face trial was followed by a 2,000 ms interstimulus interval to allow sufficient time for the pupil to reach peak dilation. After 48 trials, a new block began. Pupil dilation was continuously recorded throughout the task. The total duration of the experiment was approximately 120 min.

**RESULTS**

**CORRECTED RECOGNITION RATES**

Corrected recognition rates (proportion of hit rates minus false alarm rates) were calculated for each participant and entered in a three-way mixed analysis of variance (ANOVA): 2 (Age: young, old) × 3 (Condition: identity, expression, both) × 3 (N-Back: side-by-side 0-Back, 1-Back, 2-Back). The data for younger and older adults are presented in Figures 2A,B, respectively. Younger adults (M = 0.87, SD = 0.08) performed significantly better than older participants (M = 0.77, SD = 0.08), F(1,39) = 18.74, MSE = 0.05, p < 0.001, η² = 0.33. There was a main effect of condition [F(2,78) = 9.87, MSE = 0.02, p < 0.001, η² = 0.2] showing that participants performed better in the both (M = 0.86, SD = 0.11) than in the expression condition (M = 0.79, SD = 0.10), t(40) = 4.44, p < 0.001. Performance in the identity condition (M = 0.82, SD = 0.12) was not significantly different from performance in the expression and both conditions (all ps > 0.10).

The main effect of N-Back task [F(2,78) = 134.58, MSE = 0.01, p < 0.001, η² = 0.78] revealed that participants had higher corrected recognition responses in the side-by-side 0-Back task (M = 0.89, SD = 0.07) than in the 1-Back task (M = 0.86, SD = 0.09), t(40) = 2.9, p = 0.006, and 2-Back task (M = 0.71, SD = 0.15), t(40) = 9.22, p < 0.001. Performance was higher in the 1-Back task than 2-Back task, t(40) = 9.89, p < 0.001.

Additionally, the ANOVA revealed a significant Age × N-Back interaction [F(2,78) = 27.65, MSE = 0.01, p < 0.001, η² = 0.42]. Follow-up analyses indicated that there was no age difference in the side-by-side task. Older adults performed significantly worse than younger adults in the 1-Back [t(39) = 2.79, p = 0.008] and 2-Back tasks [t(39) = 5.89, p < 0.001]. Moreover, while both age groups performed poorer in the 2-Back task than in the 1-Back and 0-Back versions, only older adults showed significantly poorer performance in the 1-Back compared to the 0-Back version [t(19) = 3.02, p = 0.007]. To examine the unbinding cost directly, a separate ANOVA: 2 (Age: young, old) × 2 (Condition: expression, both) × 3 (N-Back: side-by-side 0-Back, 1-Back, 2-Back) showed a significant Condition × N-Back × Age interaction [F(2,78) = 4.34, MSE = 0.01, p = 0.016, η² = 0.10]. In the side-by-side 0-Back task, there was no age difference in the expression and both conditions. With regard to 1-Back task, there was no age difference in the both condition, but older adults performed worse than younger adults in the expression condition [t(39) = 2.59, p < 0.05]. For 2-Back task, older adults performed worse than younger adults in the expression [t(39) = 4.99, p < 0.001] and both [t(39) = 4.12, p < 0.001] conditions. More importantly, within the 2-Back task, older adults’ performance in the both condition exceeded that in the expression condition [t(19) = 3.25, p = 0.004]. However, younger adults did not show such difficulty in the expression condition compared to the both condition in the 2-Back task. For younger adults, the only difference between expression and both condition was within the side-by-side 0-Back task [t(20) = 3.09, p = 0.006]; their side-by-side 0-Back task performance was better in the both condition than the performance in the expression condition.

**RESPONSE TIMES**

Any RTs > 3 SD above or below the group mean values were excluded from the analyses. To eliminate the effect of age-related slowing in age by condition interaction analyses (Faust et al., 1999), a logarithmic transformation was applied on the RT data prior to analysis. Only hit trials were included in RT data analyses. The data for younger and older adults are presented in Figures 2C,D, respectively. A three-way mixed ANOVA on log transformed RTs showed that younger participants (M = 787.37, SD = 172.69) had faster reaction times than older participants (M = 1359.93, SD = 348.34), F(1,39) = 114.79, MSE = 0.22, p < 0.001, η² = 0.75. There was a main effect of task [F(2,78) = 122.66, MSE = 0.03, p < 0.001, η² = 0.76]. Participants had significantly longer RTs in side-by-side 0-Back task (M = 1194.7, SD = 296.13) than they had in 1-Back (M = 884.02, SD = 219.95), t(40) = 15.86, p < 0.001 and 2-Back tasks (M = 1142.22, SD = 265.45), t(40) = 3.72, p = 0.001. RTs were longer in 2-Back task than in 1-Back task [t(40) = 11.76, p < 0.001]. Longer RTs in the side-by-side task are consistent with participants’ verbal reports that they looked for differences in fine details between the two pictures; it is also likely that this RT included saccadic eye movements. ANOVA revealed a significant main effect of condition, F(2,78) = 17.58, MSE = 0.05, p < 0.001, η² = 0.31. Participants had longer RTs in the expression (M = 1176.93, SD = 250.89) condition than in the identity [M = 1022.43, SD = 237.28; t(40) > 6.26, p < 0.001] and both conditions [M = 1021.58, SD = 293.37; t(40) = 5.01, p < 0.001].

**INFLUENCE OF EMOTION ON ACCURACY**

The analysis of the effect of emotional expression was restricted to the 1-Back and 2-Back tasks (the side-by-side comparison in the 0-Back task makes it impossible to assess which of the emotional expressions influenced performance), and to the expression and both conditions (in the identity condition, participants were supposed to disregard emotional information, and therefore any calculation of accuracy for expression is not meaningful in this condition).

A four-way mixed ANOVA: 2 (Age: young, old) × 2 (Condition: expression, both) × 2 (N-Back: 1-Back, 2-Back) × 4 age-related unbinding cost would occur for identity task, the Condition × N-Back × Age interaction was not significant, indicating no differential cost of unbinding for older adults in the identity condition.

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3When we conducted a separate ANOVA: 2 (Age: young, old) × 2 (Condition: identity, both) × 3 (N-Back: side-by-side 0-Back, 1-Back, 2-Back) to see whether...
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FIGURE 2 | Corrected recognition rates (proportion of hits minus false alarms) and response times of younger (A,C) and older (B,D) adults in the identity, expression, and both conditions for side-by-side 0-Back, 1-Back, and 2-Back tasks. Error bars denote standard error.

(Emotion: happy, angry, neutral, disgust) was performed on corrected recognition rates (proportion of hits minus false alarm rates) for each emotional expression. The data for younger and older adults are represented in Figures 3A,B, respectively. The main effect of emotion [$F(3,117) = 18.87, \text{MSE} = 0.02, p < 0.001, \eta^2_p = 0.33$] showed that happy ($M = 0.83, SD = 0.09$) and neutral ($M = 0.81, SD = 0.12$) faces were recognized better than angry faces ($M = 0.73, SD = 0.11$) [happy vs. angry, $t(40) = 6.86, p < 0.001$; neutral vs. angry, $t(40) = 5.82, p < 0.001$]. Additionally, disgusted faces ($M = 0.76, SD = 0.13$) were recognized significantly worse than happy [$t(40) = 3.93, p < 0.001$], and neutral faces [$t(40) = 3.21, p = 0.003$]. There was no significant difference between angry and disgusted faces [$t(40) = 2.04, p = 0.048$] and between happy and neutral faces [$t(40) = 0.99, p > 0.10$]. Furthermore, the Condition by Emotion interaction [$F(3,117) = 17.85, \text{MSE} = 0.02, p < 0.001, \eta^2_p = 0.32$] was significant. Follow-up analyses showed that in the expression condition, happy faces were recognized better than angry [$t(40) = 8.39, p < 0.001$], disgusted [$t(40) = 5.62, p < 0.001$] and, neutral faces [$t(40) = 3.21, p = 0.003$] while there was no difference in recognition of the faces in the both condition [all $ts(40) < 2.05, ps > 0.09$]. Additionally, participants performed better in the both condition than in the expression condition for angry [$t(40) = 6.3, p < 0.001$], and disgusted [$t(40) = 4.48, p < 0.001$] faces. There was no age-related recognition bias for the specific type of emotional stimuli shown, as indexed by a non-significant interaction between age and emotion [$F(3,117) = 1.83, \text{MSE} = 0.02, p > 0.10, \eta^2_p = 0.05$].

INFLUENCE OF EMOTION ON RESPONSE TIMES

The data for younger and older adults are shown in Figures 3C,D, respectively. The main effect of emotion was significant [$F(3,117) = 15.79, \text{MSE} = 0.09, p < 0.001, \eta^2_p = 0.29$]. Participants’ RTs were significantly faster for happy ($M = 937.87, SD = 55.67$) faces than for angry ($M = 1112.47, SD = 59.21$; $t(40) = 6.58, p < 0.001$), disgusted ($M = 1080.38, SD = 55.35$; $t(40) = 4.36, p < 0.001$), or neutral faces ($M = 1027.71$, $t(40) = 2.04, p = 0.048$) and between happy and neutral faces [$t(40) = 0.99, p > 0.10$]. Furthermore, the Condition by Emotion interaction [$F(3,117) = 17.85, \text{MSE} = 0.02, p < 0.001, \eta^2_p = 0.32$] was significant. Follow-up analyses showed that in the expression condition, happy faces were recognized better than angry [$t(40) = 8.39, p < 0.001$], disgusted [$t(40) = 5.62, p < 0.001$] and, neutral faces [$t(40) = 3.21, p = 0.003$] while there was no difference in recognition of the faces in the both condition [all $ts(40) < 2.05, ps > 0.09$]. Additionally, participants performed better in the both condition than in the expression condition for angry [$t(40) = 6.3, p < 0.001$], and disgusted [$t(40) = 4.48, p < 0.001$] faces. There was no age-related recognition bias for the specific type of emotional stimuli shown, as indexed by a non-significant interaction between age and emotion [$F(3,117) = 1.83, \text{MSE} = 0.02, p > 0.10, \eta^2_p = 0.05$].
FIGURE 3 | Corrected recognition rates (proportion of hits minus false alarms) and response times of younger (A,C) and older (B,D) adults for angry, happy, neutral, and disgusted faces in the identity, expression, and both conditions. Error bars denote standard error.

SD = 54.6; \( t(40) = 3.52, p = 0.001 \). In addition, neutral faces were detected faster than angry faces \( [t(40) > 3.9, p < 0.001] \). Moreover, the Condition \( \times \) Emotion interaction was significant \( F(3,117) = 3.72, MSE = 0.07, p = 0.01, \eta^2_p = 0.09 \). Follow-up analyses showed that in the expression condition, happy faces were recognized faster than angry \( [t(40) = 7.55, p < 0.001] \), disgusted \( [t(40) = 4.73, p < 0.001] \), or neutral faces \( [t(40) = 4.58, p < 0.001] \). Additionally, neutral faces were recognized faster than angry faces \( [t(40) = 4.73, p < 0.001] \). For happy faces, there was no RT difference between conditions whereas the expression condition yielded slower RTs than the both condition for angry \( [t(40) = 6.94, p < 0.001] \), neutral \( [t(40) = 3.46, p = 0.001] \), and disgusted faces \( [t(40) = 4.22, p < 0.001] \). Consistent with the accuracy data, a non-significant Age \( \times \) Emotion interaction \( F(3,117) = 1.94, MSE = 0.09, p > 0.10, \eta^2_p = 0.05 \) reflects an absence of age-related speed bias for any type of emotional expression.

**INFLUENCE OF EMOTION AND COGNITIVE LOAD ON PUPIL RESPONSES**

Prior to analysis, pupil data with missing observations due to eyeblinks or signal loss were discarded. Additionally, pupil values above 2.5 SD from the average of their 10 immediate neighbors were removed within each individual and replaced by linear interpolation, in accordance with guidelines by Goldinger et al. (2009). This procedure resulted in fewer than 4% corrected trials per participant. For the analyses, the max peak pupil size value during stimulus presentation and the inter-stimulus interval for each trial was determined. The percentage change was calculated as max pupil size at each trial minus the baseline pupil value divided by the baseline (e.g., Iqbal et al., 2004). Baseline pupil size was the average pupil size recorded during this 8,000 ms fixation cross presented at the beginning of each block. Only hit responses were included in the analyses.

To understand the joint effects of emotion and cognitive task on pupil size, average percentage change in pupil size was calculated for each emotional expression within the 1-Back and 2-Back versions of the task. As in the previous analyses focusing on emotion, side-by-side tasks in each condition and identity condition as a whole were not included. A four-way mixed ANOVA: 2 (Age: young, old) \( \times \) 2 (Condition: expression, both) \( \times \) 2 (N-Back: 1-Back, 2-Back) \( \times \) 4 (Emotion: angry, happy, neutral, disgusted) was performed.
happy, neutral, disgust) was performed on average percentage change in pupil size. Only hit trials were considered. The data for younger and older adults are presented in Figures 4A,B, respectively.

There was a main effect of emotion \([F(3,117) = 6.77, \text{MSE} = 0.00, p < 0.001, \eta^2 = 0.15]\) with larger pupil dilation for disgusted and angry faces than neutral faces [disgusted vs. neutral faces, \(t(40) = 3.46, p = 0.001\); angry vs. neutral faces \(t(40) = 3.37, p = 0.001\)]. More importantly, we obtained a significant N-Back \(\times\) Emotion \(\times\) Age interaction \([F(3,117) = 3.55, \text{MSE} = 0.00, p = 0.02, \eta^2 = 0.08]\. To follow-up, we ran separate ANOVAs on N-Back and Emotion within the groups of younger and older adults. Younger adults' pupil dilation was larger in 2-Back task than 1-Back task \([F(1,20) = 9.32, \text{MSE} = 0.00, p = 0.006, \eta^2 = 0.32]\) while older adults did not show a significant main effect of N-Back task \([F(1,19) = 0.22, \text{MSE} = 0.00, p > 0.10, \eta^2 = 0.01]\]. For younger adults, pupil dilation was larger for angry and disgusted faces than neutral and happy faces in 1-Back task [angry vs. neutral, \(t(20) = 3.56, p = 0.002\); disgust vs. neutral, \(t(20) = 3.33, p = 0.003\); disgust vs. happy, \(t(20) = 3.28, p = 0.004\)]. However, there was no differential effect of any emotion on pupil dilation in 2-Back task for younger adults. These findings indicated that the effect of emotion on pupil dilation was evident only under low cognitive demand condition (in 1-Back task) for younger adults. On the other hand, the N-Back \(\times\) Emotion interaction was not significant for older adults, showing that older adults' pupil dilation was not different for the faces across N-Back tasks.

**DISCUSSION**

Before we discuss the age-related effects in the data, we first turn to the general-psychological findings.

First, we indeed obtained the predicted difficulty effects for our N-Back tasks. Crucial for our main investigation, we found that the 1-Back task, which involves sequential comparisons between two stimuli, and is thus primarily a measure of attention and STM, is easier (i.e., it leads to higher accuracy and faster RT) than the 2-Back task, which involves retrieving a memory presentation stored outside the immediate focus of attention, and is thus primarily a measure of working memory performance. In older adults, the 0-Back task, which involves a side-by-side comparison and is thus primarily a measure of perceptual discrimination, was also easier (i.e., it led to higher accuracy) than the 1-Back task; younger adults showed statistically indistinguishable accuracy for the two tasks. Note that RT for the 0-Back task is much higher than for the other tasks. This is probably due to the peculiarity of our set-up: the two stimuli are presented side-by-side, likely prompting saccadic eye movements during the comparison process.

Second, our results concerning binding and unbinding of identity and emotion in the face stimuli are in line with expectations as well. Analysis of RTs showed that the identity condition (where only the identity of the face needed to be matched) and the both condition (where both identity and emotional expression needed to be matched) were statistically indistinguishable, and that they were performed on average about 150 ms faster than the expression condition (where only the emotional expression of the face needed to be matched). These results are in line with the literature on perceptual discrimination for emotional faces, where extraction of identity appears to happen relatively automatically, but extraction of emotional expression is an effortful, time-demanding process (e.g., Ganel and Goshen-Gottstein, 2004). The accuracy analyses added a subtle twist to these findings: subjects were more accurate in the both condition than in the expression condition, with the identity condition situated in between (and not significantly different from either). For younger adults (we will return to the older-adults data below), this effect is already present in the side-by-side 0-Back condition, and is simply carried over to the 1-Back and 2-Back versions of the task. This suggests that for younger adults the effect operates at the perceptual stage only – it is not further exacerbated by the attentional/STM requirement in the 1-Back, or the working memory requirement in the 2-Back task. In sum, the accuracy findings reaffirm that expression extraction is an added, effortful process, leading to lower accuracy, and suggest that identity extraction may come at a

![Figure 4](https://example.com/figure4.png)

**Figure 4** | Average percentage change in pupil size from a fixation-cross baseline for younger (A) and older (B) adults for angry, happy, neutral, and disgusted faces in 1-Back and 2-Back tasks. Error bars denote standard error. Note the different scale of the vertical axis for younger and older adults.
small (and, in the present study, not significant) cost in error rates.

The third set of findings concerns the effects of particular emo-
tions on performance. This analysis was restricted to the 1-Back
and 2-Back versions of the task (in mismatch stimuli in the 0-
Back task, it is impossible to ascertain which of the two emotions
displayed drives the effect); the analysis was also restricted to the
two conditions were the emotional expressions were explicitly part
of the decision process – the both condition and the expression
condition. Overall, the evidence points at a positivity effect: happy
and neutral faces yielded higher accuracy than angry and disgusted
faces. This effect, however, only operated in the expression con-
dition, not in the both condition, where no significant difference
between accuracy for the different emotions was observed. RT data
largely confirm this picture, with the exception of a less flat profile
for the both condition, where happy faces were responded to faster
than any other emotion. We note that the positivity effect in accu-
racy and RT may be due to the valence of the emotion, but it could
also partially related to perceptual factors specific to happy faces,
which tend to display more perceptually distinct features (i.e., open
mouth, visible teeth) than negative and neutral faces. RTs in the
both condition, with happy faces being the only stand-out (and
being responded to fastest), lend some credence to the perceptual-
distinctiveness hypothesis. Additionally, we showed that disgusted
and angry emotions were more easily recognized in the both con-
dition than in the expression condition. More accurate recognition
of negative and potentially threatening emotions in the condition
in which faces are processed as a whole may have its origin in
the evolutionary survival value of swift action in the face of such
emotions (e.g., Ackerman et al., 2006).

The final set of general findings pertains to pupil dilation. We
highlight two results. First, for younger adults, we found evidence
for a separation between the 1-Back task, which did not lead to
larger pupil dilation compared to baseline, and the 2-Back task,
which did. This finding is clearly in line with previous work show-
ning that pupil dilation indexes cognitive resources (e.g., Granholm
et al., 1996; Van Gerven et al., 2004; Goldinger and Papesh, 2012).
Second, also for younger adults, but only for the 1-Back condition,
we found a differential effect for emotion, with disgusted faces
showing the highest amount of dilation, and angry the second
highest. One observation here is that the emotions that yielded
the lowest accuracy also elicited the largest pupil dilation, suggesting
that higher effort (as evidenced by pupil dilation) is associated
with more difficulties in maintaining attention across sequential
presentation of stimuli. It also suggests that the recognition and
reaction time advantage for happy faces is not due to attentional
biases: one would expect that higher attentional effort would lead
to better recognition and faster decisions, and therefore larger
pupil dilation would be observed for happy faces. However, the
opposite was true. We can solve this apparent puzzle if we assume
that in the present case, pupil dilation does not index effort, but
arousal (e.g., Stanners et al., 1979). In the separate study we con-
ducted to assess arousal value of the stimuli (see Materials and
Methods), the rank ordering of arousal values (from lowest to
highest: neutral, happy, angry, disgusted) nicely tracks the pupil
dilation date in the 1-Back condition for younger adults. We note
that this differential effect of emotion disappeared in the 2-Back
condition. The reason for this may be a functional ceiling in pupil
dilation, in which the larger dilation associated with cognitive
effort masks or trumps the arousal-related changes visible under
conditions of low effort.

One goal of our study was to investigate age-related changes
in the efficiency and/or efficacy of binding of information in
working memory. Recall that binding emotional expressions to
the identity of faces seems to be the default, and that abstract-
ing emotional expressions from faces is effortful, as exemplified
in higher accuracy and faster RTs for emotional faces than for
the emotional expression on its own. Also recall that in younger
adults, this effect operates at the perceptual stage, simply carry-
ning over into the attentional/STM and working memory stages
of processing (i.e., in the 1-Back and 2-Back task, respectively).
The picture is different for older adults: age-related unbinding
problems (operationalized as the difference between the expres-
sion condition and the both condition) become apparent in the
2-Back version of the task. Thus, older adults are as good (or as
bad) as younger adults in unbinding the emotional feature from
the compound stimulus in the perceptual and attentional/STM
stages of the task; an added working memory component, how-
ever, widens the observed age difference. This suggests that older
adults have a specific deficit, at least in this task, either with
keeping unbound, feature-level information active and/or retriev-
able in memory, or with keeping the bound representation that
is stored in working memory sufficiently detailed to easily abstract
the emotional content from it. Further research is necessary to
more precisely pinpoint the locus of or mechanism behind the
effect. The result does not suggest a specific age-related problem
of hyper-binding in the sense that older adults would keep the
representation bound and younger adults do not; both age groups
incur an unbinding cost in both accuracy and RT in the expression
condition.

There is another possibility as well – an age-related deficit in
flexible strategy use. Note that conditions were blocked, so that
participants could freely apply strategic processing to the task, such
as extraction of the relevant feature at the encoding stage rather
than from a retrieved memory representation. If this strategy was
used at all, the results suggest it was used more often, or with
greater efficacy, by younger adults. In sum, the evidence points at
the existence of hyper-binding in working memory in older adults,
at least in this task, where the default modus operandi is to process
stimuli in a bound state.

A second results concerns the emergence of age-related differ-
ces in the 1-Back task. In our previous work using the N-Back
task (e.g., Verhaeghen et al., 2004; Vaughan et al., 2008), we con-
sistently observed age-related differences in 2-Back tasks, but not
in 1-Back tasks. The present experiment is the first where we do
obtain age-related deficits in a 1-Back task. We can see at least two
possible reasons for this difference. First, in our previous work,
we used digits; the present study uses faces. Faces contain much
more detail than digits, and so it is possible that this result sig-
nifies that older adults are less able to keep informational detail
alive than younger adults do, even in the simple 1-Back task (for
a related theoretical argument, see Myerson et al., 1990). The sec-
ond possible reason is related to the brief interstimulus interval
– 2,000 ms – built into the present, but not previous studies.
The interpretation would then be that older adults lose informational detail at a faster clip than younger adults do. We are not aware of relevant data in visual working memory, but decay rates in verbal working memory are indeed faster in older than younger adults – the half-life of older adults’ STM representations is about 70% shorter than that of younger adults (Verhaeghen, 2014). Further research is necessary to assess to what degree each of those potential mechanisms operates to create the effect. We do know that this age-related deficit in attentional/STM processing cannot be due to perceptual deficits – younger and older adults performed equally well in the side-by-side comparison task.

Whatever the mechanism, it seems quite counterintuitive that age differences would appear with so minimal a cognitive load: all that is required of the subject in the 1-Back task is to retain an image of a face for 2 s, with no additional requirements. The pupil data confirm, however, that what one might consider a minimal load is far from minimal for older adults. First, older adults had elevated pupil dilations compared to younger adults in the 1-Back task, indicating that the 1-Back task is more effortful for older than for younger adults. Second, while we observed a clear separation between the 1-Back and the 2-Back version of the task in younger adults, older adults showed only nominally smaller pupil dilation for 1-Back that for 2-Back. This finding suggests that for older adults the cognitive load of passively maintaining a representation in the focus of attention is about as large as that for maintaining a representation in working memory while performing a concurrent task. One possible complication with the interpretation of this second finding is that there might be a functional ceiling for pupil dilation, and that statistical identity of 1-Back and 2-Back performance can therefore not directly equated with equal effort. Even under this interpretation, however, it is clear that the 1-Back task does not require attentional resources in younger adults, as testified by the lack of pupil dilation compared to baseline, whereas it clearly does in older adults.

A third age-related result concerns the differential effect of the emotion content of the stimuli. In general, and perhaps surprisingly, we observed a positivity effect, where happy and neutral faces were rated more arousing than younger adults did, thereby wiping out any arousal-based effects on pupil dilation. Of course, it remains theoretically possible that older adults perceived all negative pictures as being more arousing and positive pictures as being less arousing than young adults did. If this result were to generalize to faces, we would expect larger pupil dilation for angry and disgusted faces in older adults compared to younger adults, and perhaps pupil contraction for happy faces. This is not what we found. We do note that this limitation is likely most in the present study: only younger adults showed modulation of their pupil responses that could be interpreted as arousal-mediated, and then only in the 1-Back condition. Older adults, as well as younger adults in the more demanding condition (2-Back), showed elevated pupil dilation and no modulation by emotion, suggesting that the cognitive demands of the task wiped out any arousal-based effects on pupil dilation. Of course, it remains theoretically possible that older adults perceived all faces as much more arousing than younger adults did, thereby in effect attenuating all differential effects of emotion on pupil dilation.

A third limitation is that we scaled our pupillometry data as percentage of baseline; recent work (unknown to us when we were designing the experiment) has used a different approach,
scaling pupil size as a function of maximum possible pupil change (Piquado et al., 2010). We cannot retroactively apply this metric to our data, but this rescaling would have been useful to see if older adults’ asymptoting of pupil size in the 1-Back condition reflects a limit on the deployment of attentional/STM resources or a physiological limit on pupil size.

Many questions remain. For instance, it is not clear whether the unbinding deficit in old age is a specific deficit, or merely an instantiation of the complexity effect – age differences in perception and attention are magnified in memory tasks, with the larger age differences then observed in the more difficult task. Under most circumstances, binding would be more difficult (a finding in line with the results on associative deficits summarized in the Introduction), but in the present study, unbinding was more difficult, and hence we found evidence for hyper-binding in older adults. Likewise, it is unclear what exactly makes the 1-Back, attention-centered condition so hard and effortful for older adults: information detail, the 2-s delay, or some other mechanism. It would also be worthwhile to get neuroimaging data on the brain locus of this effect, and see whether it (as one would expect) originates in parts of the control network.

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