Infra-Low Frequency Neurofeedback in Tension-Type Headache: A Cross-Over Sham-Controlled Study

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Primary headaches are highly prevalent and represent a major cause of disability in young adults. Neurofeedback is increasingly used in the treatment of chronic pain; however, there are few studies investigating its efficacy in patients with headaches. We report the results of a cross-over sham-controlled study on the efficacy of neurofeedback in the prophylactic treatment of tension-type headache (TTH). Participants received ten sessions of infra-low frequency electroencephalographic neurofeedback and ten sessions of sham-neurofeedback, with the order of treatments being randomized. The study also included a basic psychotherapeutic intervention — a psychoeducational session performed before the main study phases and emotional support provided throughout the study period. The headache probability was modeled as a function of the neurofeedback and sham-neurofeedback sessions performed to date. As a result, we revealed a strong beneficial effect of neurofeedback and no influence of the sham sessions. The study supports the prophylactic use of infra-low frequency neurofeedback in patients with TTH. From a methodological point of view, we advocate for the explicit inclusion of psychotherapeutic components in neurofeedback study protocols.

Keywords: primary headache, tension-type headache, endogenous neuromodulation, neurofeedback, infra-low frequency

INTRODUCTION

Tension-type headache (TTH) is among the most common diseases: the percentage of the adult population with an active TTH disorder is 38% (Jensen and Stovner, 2008). Despite being benign, primary headache is among the ten most disabling disorders for both sexes and among the five most disabling disorders for women (Stovner et al., 2007), and is most burdensome in women aged 15 to 49 years (Stovner et al., 2018). Currently, pharmacotherapy remains the most established treatment for TTH, despite the substantial risk of side effects. Non-drug treatment methods, including biofeedback and neuromodulation, are promising alternatives to medications (Nestoriuc et al., 2008; Bendtsen et al., 2010; Ailani et al., 2021).

Neurofeedback is a type of biofeedback utilizing brain signals and, at the same time, is it the least interventional type of neuromodulation, that relies on brain training rather than on direct stimulation. Neurofeedback targets the therapeutic modulation of a dysfunctional brain state, such
as an imbalance in electroencephalographic (EEG) activity or altered intrinsic connectivity patterns (Ros et al., 2013; Marzbani et al., 2016; Nicholson et al., 2016; Dobrushina et al., 2020). Despite the increasing number of studies examining neurofeedback in chronic pain (Roy et al., 2020), only a few have focused on patients with headache. While some studies show a reduction in migraine severity after neurofeedback (Siniatchkin et al., 2000; Stokes and Lappin, 2010; Walker, 2011), the available data on TTH are limited to the results of a single randomized study addressing primary headaches in general (Moshkani Farahani et al., 2014). This study utilized brain oscillation power-based neurofeedback — a type of neurofeedback based on the voluntary modulation of certain EEG frequencies, and a waiting list control; it demonstrated a reduction in headache frequency after neurofeedback.

In the current article, we present the results of a cross-over sham-controlled study evaluating the effects of infra-low frequency EEG neurofeedback in patients with TTH. Infra-low frequency neurofeedback targets slow brain fluctuations and is based on the principles of implicit learning: there is no goal to increase or decrease the signal intensity, but the feedback per se is supposed to contribute to the augmentation of internal neural models through the mechanisms of predictive coding, similar to the role of natural feedback in neural development (Friston, 2010; Othmer et al., 2013; Ioannides, 2018). Infra-low frequency EEG fluctuations (f<0.1Hz) were discovered in the 1950s by Aladjalova, who supposed that they reflect the slow regulatory processes related to brain metabolism and that they are mediated by the hypothalamus (Aladjalova, 1957). Later, slow brain dynamics received extensive attention in the context of intrinsic connectivity networks revealed by functional MRI (Buckner et al., 2013). These networks demonstrate infra-low frequency fluctuations that are correlated with infra-low EEG fluctuations (Hiltunen et al., 2014; Haufe et al., 2018).

Alterations in brain networks are considered to be an important mechanism of pain disorders (Thorpe et al., 2018). The transition from episodic to chronic TTH is believed to be linked to central sensitization rather than to peripheral mechanisms (Bendtsen, 2000). Central sensitization is related to increased connectivity of the insula with the default-mode network, and also to decreased connectivity between the antinociceptive regions (Harte et al., 2018). At the same time, centralized pain syndromes are characterized by an abnormal regulation of the hypothalamic-pituitary-adrenal axis (Eller-Smith et al., 2018). Slow regulatory brain systems associated with intrinsic connectivity networks represent a potential therapeutic target in pain disorders. Infra-low frequency neurofeedback, based on slow EEG dynamics, is known to modulate intrinsic brain connectivity (Dobrushina et al., 2020). Thus, this method can be a feasible non-pharmacological alternative for the treatment of pain disorders.

In clinical practice, infra-low frequency neurofeedback is extensively used in the treatment of headaches (Othmer, 2017), but, to our knowledge, it has not previously undergone a sham-controlled evaluation in patients with TTH. A disconnection between clinical practice and research evidence exists in the field of neurofeedback and it is a common reason for critique of this method. While studies have produced mixed results (Schabus et al., 2017; Strehl et al., 2017), intense interpersonal debates are ongoing around the possible role of placebo effects in neurofeedback interventions (Fovet et al., 2017; Witte et al., 2018). Another complex methodological issue is related to the questions raised by personalized medicine (Mathur and Sutton, 2017) — for example, is it realistic to expect neurofeedback techniques to work as a “one size fits all” solution? Keeping in mind these methodological considerations, in the current study we combined group and individual case analyses and evaluated the psychological components of the neurofeedback interventions. The study protocol included a psychoeducational intervention performed before the start of the main study phases and allowed for supportive communication between the patients and the clinician during the study period.

MATERIALS AND METHODS

Participants and Experimental Design

The study included 8 patients (Table 1). The following inclusion criteria were used:

- Age 18 to 45 years.
- TTH diagnosed in accordance with the International Classification of Headache Disorders, 3rd edition (Headache Classification Committee of the International Headache Society (IHS), 2013).
- Absence of other neurological disorders.
- Headache frequency of at least four times a month.
- Stable life circumstances (absence of major life changes during the study period).
- In case preventive pharmacotherapy was used, it was required that it was started at least one month before enrollment and continued in a constant dose. Patients were allowed to take any abortive medications during the study.

Five patients had episodic TTH and three patients had chronic TTH. Patients T1, T3, T4, T7, T8 were using NSAID or combination analgesics when the pain significantly interfered with daily activities, while other avoided abortive therapy due to limited efficacy and the risk side effects. Patient T2, who had concurrent depression, received amitriptyline as preventive therapy; the medication improved his mood and functional state, but the headache continued on a daily basis.

The study protocol was approved by the Ethics Committee of the M. V. Lomonosov Moscow State University, and all participants gave informed consent for participation. Specifically, informed consent included a description of the infra-low frequency neurofeedback process and principles. The dataset for this study can be found in the Mendeley Repository: http://dx.doi.org/10.17632/z9s6nk6s99.1.

The study included 4 phases: (1) pre-intervention (at least 3 weeks), (2) first intervention (neurofeedback or sham-neurofeedback, 5 weeks), (3) second intervention (neurofeedback or sham-neurofeedback, 5 weeks), (4) catamnesis (at least 3 weeks). There was a 2-week break between the end of second and the start of the third phases (no intervention but continuing to...
The patients “moved” through an artificial landscape. The speed of the movement and, in the Dreamscapes game, the brightness of the image were governed in real time by the infra-low frequency band-limited waveform of the EEG signal. Due to the implicit principle of infra-low frequency neurofeedback, there was no goal to voluntarily influence the speed or brightness, and the participants were instructed to watch the visualization without trying to control it.

Assessment Methods
At enrollment, the study participants completed a set of questionnaires — the McGill Pain Questionnaire (MPQ) (Melzack, 1975), the Beck Depression Inventory (BDI) (Beck et al., 1961), the Spielberger State-Trait Anxiety Inventory (STAI) (Spielberger et al., 1983) and the Minnesota Multiphasic Personality Inventory (MMPI) (Hathaway and McKinley, 1943). Patients with TTH were also assessed for alexithymia with the use of the Toronto Alexithymia Scale 20 (TAS-20) (Bagby et al., 1994).

During the study period, including the pre-intervention and catamnestic phases, the participants were required to fill in a headache diary that included information on the duration and intensity of headaches and medication use. Since the use of abortive medications, which affect headache intensity and duration, was allowed, only the presence or absence of headache was used as an outcome variable. The total numbers of observed days for all patients were 1119.

After the study period, all the patients excluding T2 were interviewed about their beliefs regarding the mechanisms of neurofeedback, their a priori expectations regarding the efficacy of neurofeedback, their behavior during the session and their beliefs about their own role in the neurofeedback.

Group Data Analysis
Data analysis was performed with the use of mixed linear modeling in R Project 3.3.3,1 packages “lme4,” “lmerTest,” “simr,” “reshape2,” “dplyr,” “ggplot2,” and “directlabels.”

1https://www.R-project.org/, RRID:SCR_001905.
Arina et al. ILF NF in TTH

FIGURE 1 | Modeling of the influence of neurofeedback on the headache probability. The x axis represents the number of neurofeedback sessions the subject had to date; the y axis represents the probability to have a headache on a given day. Observed probabilities are shown by boxplots in black (the lower and upper hinges correspond to the first and third quartiles; the bold horizontal line corresponds to the median; the whiskers extend to the largest value no further than 1.5 inter-quartile range from the hinge; outliers are shown by dots). The probability predicted by the model is indicated by the blue line with a ribbon representing 95% confidence interval.

FIGURE 2 | Modeling of the influence of sham sessions on the headache probability. The x axis represents the number of sham sessions the subject had to date; the y axis represents the probability to have a headache on a given day. Observed probabilities are shown by boxplots in black (the lower and upper hinges correspond to the first and third quartiles; the bold horizontal line corresponds to the median; the whiskers extend to the largest value no further than 1.5 inter-quartile range from the hinge; outliers are shown by dots). The probability predicted by the model is indicated by the blue line with a ribbon representing 95% confidence interval.

As an a priori assumption, we considered the effect of neurofeedback to be cumulative. Thus, the dependency of the probability of a subject to have a headache in a given day was modeled as a function of the total count of neurofeedback and the total count of sham sessions the participant had to date. We used a generalized linear mixed effects model with binomial distribution (logit link) for the dependent variable hadPain (having a headache on a given day), entering the toDateNeurofeedback (count of neurofeedback sessions to date) and toDateSham (count of sham sessions to date) variables as fixed effects. The random effects related to individual patients — subject variable (subject id), were applied both to the intercept and to the slopes. The final generalized linear mixed effects model formula was as follows:

\[
\text{hadPain} \sim \text{toDateNeurofeedback} + \text{toDateSham} + \\
(1 + \text{toDateNeurofeedback} + \text{toDateSham})_{\text{subject}}
\]

The patient T8 had a headache every day, making the modeling of headache frequency non-informative (the model would not converge). The evaluation of daily pain duration in this patient indicated that she most frequently had 7 or 10 h of pain a day, with 65% of days having more than 7 pain hours a day and 30% of days having more than 10 pain hours a day. Thus, we decided to classify participant T8 as having a headache on a given day (hadPain = 1) only when the pain duration exceeded 7 h.

For the power estimation, we used the data from the study by Stokes and Lappin (2010), indicating a reduction in the odds of having a headache on a given day from 0.34 at baseline to 0.11 after the course of neurofeedback treatment (0.11/0.34 = 0.31 of the initial odds). Such a reduction in odds corresponds to the logistic regression slope for toDateNeurofeedback of \(-0.117\): \(\exp(-0.117) \approx 0.31\). Monte Carlo simulations were used to estimate the power of the study (Green and Macleod, 2016). For the effect of toDateNeurofeedback of \(-0.117\) and the zero effect of placebo, the power was estimated as 99.0% (95% confidence interval: 94.6–99.9%). For the smaller effect size that we factually observed in our study, i.e., \(-0.088\) (see section “Group efficacy of neurofeedback” below), the power estimate was 97.0% (95% confidence interval: 91.5–99.4%).

### Individual Case Data Analysis

For the individual case analysis, the probability of each subject to have a headache on a given day was modeled as a function of the total counts of neurofeedback and sham sessions the participant had to date, using the generalized linear model (binomial distribution):

\[
\text{hadPain} \sim \text{toDateNeurofeedback} + \text{toDateSham}
\]

Based on the logistic regression slope for toDateNeurofeedback, the participants were classified as neurofeedback responders or non-responders. A subsequent qualitative analysis of the patient’s baseline characteristics was
performed with the aim of identifying the features differentiating responders and non-responders.

RESULTS

Group Efficacy of Neurofeedback
The modeling revealed a significant effect of neurofeedback and no effect of sham sessions (see Figures 1, 2). The logistic regression slope for the number of neurofeedback sessions performed to date was $-0.088 \pm 0.026 (p = 0.0007)$, and for the number of sham sessions, the slope was $-0.009 \pm 0.031 (p = 0.77)$. This means that after each neurofeedback session, the odds of having TTH on subsequent days changed by the ratio of $\exp(-0.088) = 0.92$, i.e., it decreased by 8%. For the 10 sessions, it would be $0.92^{10} = 0.43$, i.e., almost half the odds before treatment.

Individual Case Analysis
The individual case analysis revealed a reduction in headache frequency related to neurofeedback in patients T1, T2, T5, T6,
T7, and T8; the effect reached the level of statistical significance in patients T1, T6, and T7 (Table 2). The effect size for the observed slope of less than -0.1 corresponds to the reduction in the odds of having a headache to 37% from the baseline odds after 10 neurofeedback sessions [exp(-0.1)^10 = 0.37]. Thus, we considered the size of the effect in patients T1, T2, T5, T6, T7, and T8 to be of clinical significance and classified them as neurofeedback responders. In patients T3 and T4, no effects of neurofeedback were observed; they were classified as non-responders. Sham neurofeedback resulted in improvement in patient T8 (placebo effect), while in patients T6 and T7 it led to an exacerbation of their headaches (nocebo effect).

We performed a qualitative analysis in order to search for the baseline characteristics discriminating potential neurofeedback responders and non-responders. The non-responders did not differ from the rest of the sample in terms of pain characteristics (Figure 3); anxiety, depression and alexithymia (Figure 4); and personality traits (Figure 5). Post-study interviews showed that, despite reading the same informed consent information, the participants developed very different and sometimes bizarre explanations of the infra-low neurofeedback mechanisms, based on their experience (Table 3). Two participants had realistic neurophysiological explanations, three participants thought that neurofeedback is related to some physiological signal such as breath or blood flow, one participant though that neurofeedback is like hypnosis and another one did not reflect about the neurofeedback principles. At the start on the study, four participants had positive expectations about neurofeedback efficacy, two — mixed, and one — negative expectations. Despite being given the instruction to “just watch,” five participants tried to control the feedback game and sometimes reached conclusions about their influence on the feedback parameters that are unexplainable from the neurophysiological point of view. The beliefs about neurofeedback and behavior during the sessions seemed to have no influence on the neurofeedback’s efficacy. Regarding the patient’s a priori expectations about the efficacy of neurofeedback, an initial skeptical attitude did not preclude neurofeedback-related improvements, while a strong belief in the method did not prevent the intervention from being ineffective.

**DISCUSSION**

In the current study we observed clearly different responses to neurofeedback and sham-neurofeedback. While neurofeedback resulted in a marked reduction of TTH frequency, the sham intervention, as shown by the group analysis, was not associated with improvements, and the individual case analysis indicated that it could exhibit both placebo and nocebo effects. Despite the relatively low number of participants, the study was sufficiently powered due to the cross-over design and the long observation period. The results of the study are in accordance with the positive clinical experience (Othmer, 2017). As a secondary goal, we aimed to describe the individual factors potentially influencing the efficacy of neurofeedback. Based on the available data, which were limited by the low number of cases and especially of non-responders, we were unable to draw any conclusions. Surprisingly, even patients with signs of affective disorders and altered personality profiles responded to neurofeedback. At the same time, we observed that skeptical expectations and unrealistic beliefs regarding neurofeedback seemed to have no influence on its efficacy.
right rostral prefrontal cortex and in the cortex processing the areas in the right anterior insula, in the left and showed that a single infra-low frequency neurofeedback session in primary headaches (Gu et al., 2018). Previously, we experience with T4P4 infra-low frequency neurofeedback in sensory information, to induce a mindful state. The positive awareness toward incoming interoceptive and exteroceptive Neurofeedback from the T4P4 site is supposed to increase was based on previous clinical experience (Othmer, 2017). The choice of neurofeedback protocol — infra-low frequency neurofeedback with electrode placement at the T4P4 site (right middle temporal gyrus and the right inferior parietal lobule) — was based on previous clinical experience (Othmer, 2017). Neurofeedback from the T4P4 site is supposed to increase awareness toward incoming interoceptive and exteroceptive sensory information, to induce a mindful state. The positive experience with T4P4 infra-low frequency neurofeedback in TTH is consistent with evidence on the efficacy of mindfulness in primary headaches (Gu et al., 2018). Previously, we showed that a single infra-low frequency neurofeedback session with T4P4 placement results in increased connectivity within the areas in the right anterior insula, in the left and right rostral prefrontal cortex and in the cortex processing verbal and visual information (Dobrushina et al., 2020). These findings indicate functional integration between the multisensory areas and the high-order regulatory cortex, they are in accordance with behavioral observations. Based on the limited existing data, we can speculate that the modulation of intrinsic networks by neurofeedback may compensate for some of the alterations related to chronic pain. The increased connectivity between the insula and the default-mode network that is observed in chronic pain is believed to reflect hyper-awareness to pain — a narrowed pain-guided focus of attention (Napadow et al., 2010, 2012). Infra-low frequency neurofeedback from the T4P4 site can rebalance attention into a wider, more integrated and regulated state by the means of brain connectivity modulation.

### TABLE 3 | Results of the post-study interviews.

| Patient     | Beliefs regarding the mechanisms of neurofeedback | A priori expectations about the efficacy of neurofeedback | Behavior during the session and beliefs about one’s own role in the neurofeedback |
|-------------|--------------------------------------------------|---------------------------------------------------------|-------------------------------------------------------------------------------|
| T1 responder | Did not reflect about the principles of neurofeedback. | Was interested to see how neurofeedback would work; expected to see positive results. | Experienced strong relaxation; had to think intensively in order not to fall asleep. |
| T3 non-responder | Thought that the neurofeedback imagery induced relaxation, may be like hypnosis. | Hoped very much that the method would help, since she had strong pain and nothing helped. | Tried to find how the image was related to her inner feelings; was unable to find anything but believed that the rocket depended on her state. |
| T4 non-responder | Thought that the speed of the rocket was linked to some physiological processes in her body. | At the beginning of the study, had a strong belief that the neurofeedback would help. In the middle of the study, had doubts about the efficacy of the method and experienced low motivation to come to the trainings [sham phase first]. At the end of the study, the doubts disappeared. | Tried to find how she can influence the neurofeedback game but failed. At the beginning of the study, tried to concentrate on the training, but instead got into a relaxed state. Afterward, just relaxed. |
| T5 responder | Reported that she understood the mechanisms of neurofeedback after reading the informed consent: the electrodes recorded information about brain wave frequencies, and the speed of the image was influenced by the frequency of the brain. | Before the study, already heard about different biofeedback methods, and was skeptical regarding the potential of neurofeedback to influence headache. Reported that afterward, she had to accept the opposite, since she noticed improvements in headache during and after the study. | Thought that she could influence the speed of the rocket and tried to make experiments. When the rocket was flying too fast, felt drowsiness and tried to slow the rocket down by moving the gaze away (following the rocket with side view), which she believed made the speed more comfortable. |
| T6 responder | Electrodes registered information about the brain waves, and the image was changing in accordance with brain waves. "I looked at this image and by a cycled mechanism the processes in neural chains influenced what I saw." | Had a strong hope that neurofeedback would help (because authoritative people told her about this method), but at the same time was skeptical ("could not imagine that it would help"). At the second training, noticed improvement in headache and gained a strong belief that neurofeedback is helpful [neurofeedback phase first]. Closer to the end of the study, was unsure if neurofeedback could help her (experienced stress related to the pandemic and an increase in headache frequency). | Had no feeling that she could consciously influence something. Decided that the speed of the rocket was influenced by her state: when she was active, the rocket was faster; when she was tired, it was slower. |
| T7 responder | Thought that the speed of the rocket was influenced by the blood flow in her head (when her artery was squeezed, the rocket was moving more erratically). | Was ready to believe in anything. Had doubts regarding the potential efficacy, but was open to everything, and had a hope that neurofeedback would help. | During the second but not the first phase of the treatment, noticed that when she moved the head, the screen got dark [sham first]. Tried to speed up the rocket with her thoughts, reported it did not work. |
| T8 responder | Thought that the neurofeedback influences her breath, making it more relaxed and leading to general relaxation. | Before the study, thought that the neurofeedback would help her. Closer to the end of the study, had an emotional breakdown caused by an external situation – at this point, she lost her hope in the method. | Thought that she influenced the speed of the rocket, although was not understanding how it was achieved. |
The study protocol included not only neurofeedback, but also a basic psychotherapeutic intervention: psychoeducation and emotional support. Integrative care with a preference for non-drug interventions is supposed to be the optimal approach for the treatment of chronic headache (Bendtsen et al., 2010; Gaul et al., 2011; Wallasch and Kropp, 2012). Our clinical experience shows that infra-low frequency neuromodulation can be successfully combined with medications due to the lack of undesired interactions, and that it supports the efficacy of psychotherapy by providing a stable physiological basis. The same time, attempting to treat a chronic pain condition with any single intervention is often self-defeating. Thus, relying on the integrative approach, we allowed participants of the study to receive prophylactic medications, consulted them on abortive medications to prevent overuse and provided basic psychotherapeutic care.

Methodologically, the inclusion of the psychotherapeutic components into the study protocol can be more accurate than attempting to perform a purely neurophysiological intervention and considering non-physiological factors as artifacts. The psychoeducational session was performed 3 weeks before the start of the neurofeedback or sham-neurofeedback. Thus, the main study phases were rather “clean” from the non-specific effects related to the formation of a psychotherapeutic alliance and to the educational influence of the talks between the patient and clinician accompanying the neurofeedback/sham sessions. During neurofeedback procedures, the clinician not only connects the electrodes to the patient’s head but also spends hours with the patient, talking or being present in silence. Neurofeedback has aspects in common with psychotherapy, since it includes the development of the therapeutic milieu (Strehl, 2014), with the inevitable role of an alliance. The alliance is believed to be more than a nonspecific factor, and it has been shown to have neurobiological underpinnings (Zilcha-Mano et al., 2019). Multiple studies have demonstrated that the quality of an alliance mediates the outcome of not only psychotherapeutic but also pharmacological treatments (Krupnick et al., 2006; Cohen et al., 2017), and it is reasonable to suggest a similar role in neurofeedback. When not specified explicitly, psychotherapeutic components can add uncontrolled effects to the outcome variables, leading to false positive or false negative results.

In conclusion, the study findings provide support for the use of infra-low frequency neurofeedback in the integrative treatment of patients with TTH. To our knowledge, this is the first sham-controlled study of neurofeedback in primary headache.

DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found below: Mendeley Repository, http://dx.doi.org/10.17632/z9s6nk6s99.1.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by Ethics Committee of the M. V. Lomonosov Moscow State University. The patients/participants provided their written informed consent to participate in this study.

AUTHOR CONTRIBUTIONS

GA, OD, and VN designed and coordinated the study. ES, EO, GAZ, AT, IE, and SM collected the data. GM, GAr, and OD analyzed the data. OD, GAr, and GM wrote the manuscript. All authors contributed to the article and approved the submitted version.

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