CSF Rhinorrhea After Endonasal Intervention to the Skull Base (CRANIAL) — Part 2: Impact of COVID-19

CRANIAL Consortium

· BACKGROUND: During the coronavirus disease 2019 (COVID-19) pandemic, concerns have been raised regarding the increased risk of perioperative mortality for patients with COVID-19, and the transmission risk to healthcare workers, especially during endonasal neurosurgical operations. The Pituitary Society has produced recommendations to guide management during this era. We sought to assess contemporary neurosurgical practice and the effects of COVID-19.

· METHODS: A multicenter prospective observational cohort study was conducted at 12 tertiary neurosurgical units (United Kingdom and Ireland). Data were collected from March 23 to July 31, 2020, inclusive. The data points collected included patient demographics, preoperative COVID-19 test results, operative modifications, and 30-day COVID-19 infection rates.

· RESULTS: A total of 124 patients were included. Of the 124 patients, 116 (94%) had undergone COVID-19 testing preoperatively (transsphenoidal approach, 97 of 105 [92%]; expanded endoscopic endonasal approach, 19 of 19 [100%]). One patient (1 of 116 [0.9%]) had tested positive for COVID-19 preoperatively, requiring a delay in surgery until the infection had been confirmed as resolved. Other than transient diabetes insipidus, no other complications were reported for this patient. All operating room staff had worn at least level 2 personal protective equipment. Adaptations to surgical techniques included minimizing drilling, draping modifications, and the use of a nasal iodine wash. At 30 days postoperatively, no evidence of COVID-19 infection (symptoms or positive formal testing results) were found in our cohort and no mortality had occurred.

· CONCLUSIONS: Preoperative screening protocols and operative modifications have facilitated endonasal neurosurgery during the COVID-19 pandemic, with the Pituitary Society guidelines followed for most of these operations. We found no evidence of COVID-19 infection in our cohort and no mortality, supporting the use of risk mitigation strategies to continue endonasal neurosurgery in subsequent pandemic waves.

INTRODUCTION

The coronavirus disease 2019 (COVID-19) pandemic is an ongoing global pandemic caused by a novel coronavirus (severe acute respiratory syndrome coronavirus 2 [SARS-CoV-2]). Measures were enacted to mitigate the spread of the virus and, thereby, prevent national healthcare systems from being overwhelmed. These measures included reenlisting retired...
healthcare workers and redeploying surgeons to provide out-of-specialty care. As a secondary consequence of this reallocation of resources in healthcare services globally, it proved increasingly challenging to continue providing existing services for other diseases and conditions, including a noticeable reduction in surgical activity. This reduction was likely compounded by the initial concern that patients undergoing surgery would be an especially vulnerable group owing to their risk of exposure and, as such, a more cautious approach was taken regarding surgery.

One such specialty that has seen their normal services disrupted is neurosurgery, with some countries reporting the cancellation of more than one half of all their indicated neurosurgical operations. This has been especially so for pituitary surgery. One factor that played a role in the reduction of pituitary surgery was the need to protect healthcare workers (HCWs). The transmission of the SARS-CoV-2 virus occurs primarily via large respiratory droplets containing the virus. Therefore, HCWs working in certain specialties and sub-specialties were considered to have a high risk owing to their frequent exposure to oronasal secretions. These HCWs include those involved in pituitary surgery, given the number of pituitary surgery. One factor that played a role in the frequent exposure to oronasal secretions. These HCWs include specialties were considered to have a high risk owing to their malignant pathology, or significant endocrine disorders.

Data Collection

The data points collected included the patient demographics, preoperative COVID-19 status, operative modifications, and postoperative COVID-19 data. The primary outcomes of interest were as follows: 1) the COVID-19 preoperative screening method used; 2) the precautions taken to reduce the risk of airborne pathogen transmission; and 3) the 30-day COVID-19 infection rate for patients postoperatively. The secondary outcomes of interest were the length of hospital stay and the mortality rate.

METHODS

Study Design

A multicenter prospective observational cohort study design was implemented at 12 tertiary academic neurosurgical units where the CRANIAL network had previously been established: Aberdeen Royal Infirmary (Aberdeen, United Kingdom), Addenbrooke’s Hospital (Cambridge, United Kingdom), Beaumont Hospital (Dublin, Ireland), Greater Manchester Neurosciences Centre (Salford, United Kingdom), John Radcliffe Hospital (Oxford, United Kingdom), National Hospital for Neurology and Neurosurgery (London, United Kingdom), Queen Elizabeth Hospital Birmingham (Birmingham, United Kingdom), Royal Hallamshire Hospital (Sheffield, United Kingdom), Royal Victoria Hospital (Belfast, United Kingdom), Royal Victoria Infirmary (Newcastle-upon-Tyne, United Kingdom), Sheffield Children’s Hospital (Sheffield, United Kingdom), and the Walton Centre (Liverpool, United Kingdom). The project was registered as a service evaluation at each center, with approval received from the audit department of each institution (and Caldicott guardians when required). The local team consisted of consultant lead(s) with overall project responsibility, trainee lead(s) in charge of data collection, and, on occasion, student lead(s) for additional support. The STROBE (strengthening the reporting of observational studies in epidemiology) statement was used in the preparation of this report.

The eligible cases included patients of all ages who had undergone the transsphenoidal approach (TSA) for sellar tumors or the expanded endonasal approach (EEA) for skull base tumors. The exclusion criteria were transcranial surgery and a history of preoperative CSF rhinorrhea. Case selection was limited to patients who had presented from March 23 to July 31, 2020, inclusive. Before March 23, 2020, pauses had occurred in data collection owing to data pro forma amendments and attaining additional approvals (e.g., information governance approvals where requested). This also allowed for our data to coincide with when the first lockdown had begun in the United Kingdom.
Data Validation
Data validation was performed in all centers to audit quantitative data accuracy. This involved an independent data validator (who had not collected the local data) who reviewed the datasets for several enrolled cases, selected randomly. Each data validator was from the hospital in which the data had been collected. The targets for validation were a secure and accurate record of the Castor identification records with corresponding medical record numbers, no case or data duplication, and data accuracy of >95%.

Statistical Analysis
The pooled quantitative data were analyzed using Microsoft Excel, version 16.41 (Microsoft, Redmond, Washington, USA), to present descriptive statistics. If a data point was missing from a case, the denominator was adjusted to account for the missing data. The data were used to create tables summarizing the demographic characteristics, tumor characteristics, operative characteristics, and methods used to reduce COVID-19 transmission. For 3 centers, data were available before the lockdown had begun (November 1, 2019 to March 22, 2020). The Mann-Whitney U test was used for comparative analysis (pre-lockdown vs. during lockdown) of patient age and hospital length of stay for the patients from these 3 centers. The Fisher exact test was used for comparative analysis (pre-lockdown vs. during lockdown) of the remaining data points for patients from these 3 centers. Statistical analysis was performed using Prism, version 5 (GraphPad, San Diego, California, USA), with statistical significance set at \( P \leq 0.05 \).

RESULTS
General Data
Data were collected for 124 patients across the 12 tertiary neurosurgical centers. The neurosurgical centers had contributed data for 2 to 21 patients. No duplicates in the cases or data were found in the records audited for data validation. All centers had fulfilled the >95% accuracy target per case.

Patient Characteristics
The median patient age in the present study was 50.5 years (range, 7–82 years). Of the 124 patients, 65 were male and 59 were female. At presentation, the body mass index had been recorded for 118 patients (95%). Of these 118 patients, 32 (27%) had had a body mass index \( >30 \text{ kg/m}^2 \) (29 of 96 in the TSA group [30%] and 3 of 22 in the EEA group [14%]). The patient’s vision at presentation had been recorded for 121 of the 124 patients (98%). Visual loss (acuity and/or field defects) was present in 83 of these 121 patients (69%) preoperatively (TSA, 69 of 99 [70%]; EEA, 14 of 22 [64%]).

Of the 124 patients, 30 (24%) had presented with anterior pituitary deficiency requiring hydrocortisone therapy preoperatively (TSA, 25 of 102 [25%]; EEA, 5 of 22 [23%]). Five patients (4%) had had posterior pituitary deficiency requiring desmopressin preoperatively (TSA, 4 of 102 [4%]; EEA, 1 of 22 [5%]). These data are summarized in Table 1. Comparing the preoperative factors from before and during the lockdown had had visual compromise preoperatively compared with those treated before the lockdown (\( P \leq 0.01 \); Table 2).

Most of the tumors were pituitary adenomas \( (n = 88 \text{ of } 124; 71\%) \), and most of these were macroadenomas \( (n = 82 \text{ of } 88; 93\%) \). Of the 124 tumors, 63 were nonfunctioning pituitary adenomas (51%). Of these 63 tumors, 62 were macroadenomas (98%). Of the 25 functioning pituitary adenomas (20% of the 124 tumors), 20 were macroadenomas (20 of 25; 80%). Of the patients with a functioning pituitary adenoma, 20 had had either Cushing disease \( (n = 10; 40\%) \) or acromegaly/gigantism \( (n = 10; 40\%) \). The remaining 36 pathological entities (29%) were \( \geq 1 \text{ cm in size.} \) The characteristics of the remaining tumors are presented in Table 3.

COVID-19 Screening Preoperatively
Preoperatively, 2 of the 124 patients (2%) had presented with symptoms associated with COVID-19: 1 with a new cough and 1 with shortness of breath. Neither of these patients had tested positive for COVID-19 when screened and both had eventually undergone endoscopic surgery with the TSA—1 patient after 2 weeks self-isolation and negative swab results and 1 after computed tomography of the thorax and swab testing were negative (without isolation owing to clinical urgency). Of the 124 patients, 116 (94%) had undergone COVID-19 testing preoperatively (TSA, 95 of 102 [93%]; EEA, 21 of 22 [95%]). Eight patients had not undergone COVID-19 testing preoperatively, usually because of clinical urgency and the lack of rapid testing facilities at the time (TSA, 7 of 102 [7%]; EEA, 1 of 22 [5%]). Of the patients who had undergone screening, a swab test was used for all 116 (100%), with 1 patient also screened using computed tomography.

| Variable                          | Approach (n) | Total (n) |
|-----------------------------------|-------------|-----------|
| Total patients                    | 102         | 22        | 124       |
| Sex                               |             |           |           |
| Male                              | 54          | 11        | 65        |
| Female                            | 48          | 11        | 59        |
| BMI (kg/m²)                       |             |           |           |
| >30                               | 29          | 3         | 32        |
| <30                               | 67          | 19        | 86        |
| Visual loss                       |             |           |           |
| Yes                               | 69          | 14        | 83        |
| No                                | 30          | 8         | 38        |
| Anterior pituitary deficiency     |             |           |           |
| Requiring hydrocortisone therapy  | 25          | 5         | 30        |
| Preoperatively                    |             |           |           |
| Posterior pituitary deficiency    | 4           | 1         | 5         |
| Requiring desmopressin            |             |           |           |
| Preoperatively                    |             |           |           |

TSA, transsphenoidal approach; EEA, expanded endonasal approach; BMI, body mass index.
of the thorax (0.9%). Of these 116 patients, 1 (0.9%) had tested positive for COVID-19 via the swab test preoperatively. The patient who had tested positive (a 52-year-old man) underwent self-isolation for 2 weeks and re-screening preoperatively. He subsequently had an endoscopic TSA for pituitary macroadenoma resection after a re-screening swab test result was negative. The swab types used were examined at the initial pilot centers (n = 4) and were either RNA polymerase chain reaction tests (n = 2 of 4) or RNA transcription-mediated amplification tests (n = 2 of 4).

Operation Characteristics
Most of the operations (n = 47 of 124; 38%) were performed in July (Figure 1). The median caseload during the pandemic was 44.8% (interquartile range, 39.4%–49.3%) of the usual operative volume when compared with the caseload during the same period in 2019 at selected core pilot centers (n = 3).

Most of the cases had used the TSA (n = 102 of 124; 82%). Of the 102 TSA cases, 91 were performed endoscopically (89%) and 11 microscopically (11%). Also, of the 102 TSA cases, 92 were the primary surgery (90%). The most common pathological entities treated using the TSA included nonfunctioning pituitary adenomas (n = 61), functioning pituitary adenomas (n = 24), and craniopharyngiomas (n = 4; Table 3). The EEA was used for 22 of the 124 cases (18%). The most common pathological entities treated using the EEA included craniopharyngiomas (n = 9), meningiomas (n = 2), chordomas (n = 2), and nonfunctioning pituitary adenomas (n = 2; Table 3). Of the 22 EEA cases, 20 were the primary surgery (91%).

The operating room staff involved in the 124 operations had worn a range of PPE. The PPE used included surgical face masks (TSA, 2 of 102 [2%]; EEA, 0 of 22 [0%]), FFP3 masks (TSA, 82 of 102 [80%]; EEA, 17 of 22 [77%]), powered hood respirators (TSA, 45 of 102 [44%]; EEA, 10 of 22 [45%]), eyeglasses (TSA, 59 of 102 [58%]; EEA, 15 of 22 [68%]), face shields (TSA, 47 of 102 [46%]; EEA, 10 of 22 [45%]), standard surgical gowns (TSA, 59 of 102 [58%]; EEA, 12 of 22 [55%]), double surgical gowns (TSA, 2 of 102 [2%]; EEA, 0 of 22 [0%]), and reinforced surgical gowns (TSA, 26 of 102 [25%]; EEA, 5 of 22 [23%]). Additional measures to reduce the risk of airborne transmission are listed in Table 4.

Postoperative Complications and Screening
The median length of patient stay was 4 days (range, 1–32 days) for the entire group and was 3.5 days (range, 1–32 days) for the TSA group and 7 days (range, 1–20 days) for the EEA group. Overall, 28 of the 124 patients (23%) had experienced postoperative complications (TSA, 19 of 102 [19%]; EEA, 9 of 22 [41%]). The most common complications were diabetes insipidus (TSA, 7 of 102 [7%]; EEA, 4 of 22 [18%]), postoperative CSF rhinorrhea (biochemically confirmed or requiring surgery) (TSA, 3 of 102 [3%]; EEA, 2 of 22 [9%]), and postoperative CSF diversion or direct repair.
DISCUSSION

Principal Findings
To the best of our knowledge, the present study is the first multicenter study reporting data of contemporaneous endonasal skull base operative practice during the COVID-19 pandemic. As expected, the operative caseload was lowest at the peak of the pandemic (March) and increased over time as operative protocols were established and infection rates reduced. Most of these endonasal neurosurgical cases were for pituitary adenoma (n = 88 of 124 [71%]), and most patients were symptomatic preoperatively: visual loss in 83 of 121 patients (69%), anterior pituitary deficiency requiring hydrocortisone in 30 of 124 patients (24%), and/or posterior pituitary deficiency requiring desmopressin in 5 patients (4%). The most common approach used was the TSA (n = 102 of 124; 82%). The operating room staff involved in these operations adhered to international guidance by wearing at least level 2 PPE.12,13 Considerable heterogeneity was present in the PPE worn; however, the PPE items worn in most cases were FFP3 masks (n = 99 of 124; 80%) and eyeglasses (n = 74 of 124; 60%). Adaptations to surgical techniques included minimizing drilling, draping modifications, and using nasal iodine wash.

At 30 days postoperatively, no evidence was found of COVID-19 infection (no symptoms and no positive test results) in our cohort and no mortality had occurred. One of the 116 patients had tested positive for COVID-19 preoperatively and was isolated for 2 weeks, with negative swab screening results before the patient underwent surgery. This patient had developed transient diabetes insipidus postoperatively; however no other complications had been reported at 30 days postoperatively.

Findings in the Context of the Reported Data
Few studies have provided data on patients who have undergone pituitary surgery during the COVID-19 pandemic. One case report from Wuhan, China, described a patient developing COVID-19 within the first week after endoscopic endonasal pituitary surgery. However, the use of a preoperative swab screening test was not reported; thus, it was unclear whether the patient had had COVID-19 preoperatively or had developed a postoperative infection.19 Additionally, a case series from Cambridge, United Kingdom, reported that none of 9 consecutive patients who had undergone pituitary surgery or skull base surgery from March 30 to April 28, 2020, had contracted COVID-19 after the adoption of a risk-mitigation protocol.20,21 Similar risk-mitigation strategies were subsequently advocated by the Professional Education Committee of the Pituitary Society. The results from our international, multicenter study support the findings of the latter study, because we did not find a greater risk to patients of acquiring COVID-19 if they underwent endonasal surgery during the pandemic. Our results also suggest that a standardized, risk-mitigation strategy that accounts for earlier guidance might allow for normalization of activity. Our results join an increasing body of data showing that surgery is safe for patients with negative SARS-CoV-2 test results preoperatively in a COVID-19–free surgical pathway.22,23 The preoperative swab tests used in our series were RNA polymerase chain reaction and transcription-mediated amplification via nasopharyngeal swabs. The use of a single swab is estimated to have a sensitivity of 70% and specificity of

### Table 3. Tumor Type Stratified by Surgical Approach

| Tumor Type                        | TSA (n) | EEA (n) | Total (n) |
|-----------------------------------|---------|---------|-----------|
| Nonfunctioning pituitary adenoma  | 61      | 2       | 63        |
| Functioning pituitary adenoma     | 24      | 1       | 25        |
| Craniohypophyseal adenoma         | 9       | 1       | 10        |
| Apoplexy                          | 2       | 1       | 3         |
| Rathke’s cleft cyst               | 3       | 0       | 3         |
| Chordoma                          | 0       | 2       | 2         |
| Cystic lesion (unspecified)       | 0       | 2       | 2         |
| Meningioma                        | 0       | 2       | 2         |
| Arachnoid cyst                    | 1       | 0       | 1         |
| Germinoma                         | 1       | 0       | 1         |
| Hypophysitis                      | 1       | 0       | 1         |
| Melanoma metastasis               | 1       | 0       | 1         |
| Meningoencephalocoele             | 0       | 1       | 1         |
| Mucinous gland                    | 1       | 0       | 1         |
| Prostate metastasis               | 0       | 1       | 1         |
| Sinonasal carcinoma               | 0       | 1       | 1         |
| Sinonasal endocrine tumor         | 1       | 0       | 1         |
| Squamous cell carcinoma           | 0       | 1       | 1         |
| Undefined neuroendocrine tumor    | 1       | 0       | 1         |

TSA, transsphenoidal approach; EEA, expanded endonasal approach.

rhinorrhea (TSA, 4 of 102 [4%]; EEA, 2 of 22 [9%]), and syndrome of inappropriate antidiuretic hormone secretion (TSA, 4 of 102 [4%]; EEA, 1 of 22 [5%]). Other complications that had occurred in the TSA group included residual disease, meningitis, sellar abscess, unspecified hyponatremia, and mono-ocular blindness in 1 patient each (1%). The other complications that had occurred in the EEA group included residual disease in 2 (9%) and unspecified hyponatremia in 1 (5%). None of the 124 patients had died within 30 days postoperatively. The 1 patient with a recent history of COVID-19 infection had no symptoms and no positive test results (TSA, 1 of 116; 0.8%). Postoperatively, 1 patient (0.9%) had presented with symptoms associated with COVID-19: a new cough. This patient had not tested positive for COVID-19 when screened. Of the 124 patients, 19 (15%) had undergone COVID-19 screening within 30 days postoperatively (TSA, 11 of 102 [11%]; EEA, 8 of 22 [36%]). All 19 patients had undergone screening using the swab test, and none had tested positive for COVID-19. Data on the staff involved in surgery were available for 48 cases only. None of the staff had tested positive for COVID-19 within 30 days postoperatively.
95%—with the true predictive value determined by factors such as symptoms and disease prevalence. However, the resumption of full elective workloads will depend on wider national and international factors that protect patients from becoming infected with SARS-CoV-2 and, thereby, avoid delays in surgery. The non–COVID-19 morbidity of patients with pituitary pathology is an increasing concern, and our results could help alleviate concerns about performing surgery during the pandemic.

Study Limitations

The present study had several limitations, which require a tempered assessment of the findings. Firstly, because of the reduced caseloads aimed at mitigating the effects of COVID-19, we had a moderate sample size, especially with respect to EEA. Owing to the recency of cases and the urgency to inform policymakers about the risks to surgical patients, the follow-up period was limited to the 30-day postoperative period. In addition, because the present study was a prospective cohort study, the data points were purely observational and across the context of multiple centers. Also, owing to the observational nature, not all patients had been screened postoperatively for COVID-19, and we were reliant on these patients self-reporting any COVID-19 symptoms if and when they had developed. Similarly, robust and consistent data regarding the symptoms or infection rates of the surgical team were lacking. The most significant limitation was the paucity of baseline data to compare against the postoperative findings. The prepandemic dataset used in the present study for limited comparative analysis was not consecutive and was small in size. The main CRANIAL study is ongoing and should provide the baseline data with which to correlate our findings once the study has been completed.

CONCLUSIONS

As operative protocols were established and infection rates reduced, the number of endonasal operations increased. Guidelines reported by the Professional Education Committee of the Pituitary Society were followed. No postoperative COVID-19 infections occurred; therefore, the patients who had undergone surgery had not experienced any COVID-19–related morbidity or mortality. This suggests that a risk mitigation approach can enable timely pituitary surgery to continue safely during the COVID-19 pandemic.

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Table 4. Additional Measures Enacted by Neurosurgical Centers to Reduce Risk of Airborne Transmission of COVID-19

| Modification                                      |
|--------------------------------------------------|
| Preoperative                                     |
| Patients isolated for 2 weeks preoperatively      |
| Reduction in number of staff in operating room   |
| Most operating room staff restricted from entering operating room until 10 minutes after intubation |
| Intraoperative                                   |
| Patient covered with clear plastic cover over regular drape |
| Instruments sealed with tape and plastic drapes  |
| Use of 9 mL of 0.5% PVP-I solution for skin and mucous membranes as mouth wash |
| Instillation of 0.3 mL of 0.5% PVP-I solution for skin and mucous membranes in each nostril |
| Change from fluoroscopy to Stealth* to decrease movement of equipment through multiple operating rooms |
| Minimization of bone drilling                     |
| Postoperative                                    |
| Nasal packing avoided whenever possible          |
| Most operating room staff not present during extubation |

COVID-19, coronavirus disease 2019; PVP-I, povidone-iodine.

*StealthStation, Medtronic, Dublin, Ireland.

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