Tagging Unknown Words with Raw Text Features

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Abstract
Processing unknown words is disproportionately important because of their high information content. It is crucial in domains with specialist vocabularies where relevant training material is scarce, for example: biological text. Unknown word processing often begins with Part of Speech (POS) tagging, where accuracy is typically 10% worse than on known words.

We demonstrate that features extracted from large raw text corpora can significantly increase accuracy on unknown words. These features supply a large part of what we are missing with unknown words: context information about how the word is used. We describe a Maximum Entropy modelling approach which uses real-valued features to represent unannotated contextual information. Our initial experiments with real-valued features have resulted in an increased accuracy from 87.39% to 88.85% on unknown words.

1 Introduction
Part of Speech (POS) tagging involves assigning basic grammatical classes such as verb, noun and adjective to individual words, and is a fundamental step in many Natural Language Processing (NLP) tasks. The tags it assigns are used in other processing tasks such as chunking and parsing, as well as in more complex systems for question answering and automatic summarisation.

All POS taggers suffer a significant decrease in accuracy on unknown words, that is, words that have not been previously seen in the annotated training set. A loss of up to 10% is typical for most POS taggers e.g. Brill (1994) and Ratnaparkhi (1996). This decreased accuracy has a flow on effect for the accuracy of both following POS tags and later processes which utilise them.

Unknown words also occur a significant amount of the time, ranging from 2% – 5% (Mikheev, 1997), depending on the training and test corpus. These figures are much higher for domains with large specialist vocabularies, for example biological text.

We improve the performance of a Maximum Entropy POS tagger by implementing features with non-negative real values. Although Maximum Entropy is typically described assuming binary-valued features, they are not in fact required to be binary valued. The only limitations come from the optimisation algorithm. For example, the Generalised Iterative Scaling (Darroch and Ratcliff, 1972) algorithm used in these experiments imposes a non-negativity constraint on feature values.

Real-valued features can encapsulate contextual information extracted from around unknown word occurrences in an unannotated corpus. Using a large corpus is important because this increases the reliability of the real-values. By looking at the surrounding words, we can formulate constraints on what POS tag(s) could be assigned. This can be seen in the sentence below:

(1) The frub house is up on the hill

Here, frub is the unknown word which, as competent speakers of the language, we can surmise is probably a noun or adjective. This is because it sits between a determiner and a noun, which is a position frequently assumed by words with these syntactic categories. Also, if we can find the word frub in other places, then we can get an even better, more reliable idea of what its correct tag should be.

It is not necessary to know the correct POS tags for the and house. We can determine from the words themselves that frub is occupying a position similar to adjectives like big or nouns like club.

The fact that the precedes our unknown word tells us a lot by itself, as this is a very common word. Since we see it so often, we know the types of words that follow it quite well. Other words that occur less frequently don’t give as strong an indication of what is to follow, simply because the evidence is sparser.

Our aim then, is to take this intuitive reasoning for determining the correct tag for an unknown word, and create features that aid the Maximum Entropy model in doing the same.
2 Unknown word processing

POS taggers have reached such a high degree of accuracy that there remain few areas where performance can be improved significantly. Unknown words are one of these areas, with state-of-the-art accuracy in the range 85 – 88%, which is well below the ~97% accuracy achievable over all words.

The prevalence of unknown words is also problematic, although somewhat dependant on the size and type of corpus being used. We train on sections 0–18 of the Penn Treebank (Marcus et al., 1993), and test on sections 22–24. This test set then contains 2.81% (approximately 4000) unknown words. Also, when applying a POS tagger to a specialised area of text, such as technical papers, the number of unknown words and their frequency would be expected to increase dramatically, due to specific jargon terms being used.

Unknown words are also more likely to carry a greater semantic significance than known words in a sentence. That is, they will often contain a larger amount of the content of the sentence than other words. This is because unknown words are unlikely to be from closed-class categories such as determiners and prepositions, but quite likely to be in open-class categories such as nouns and verbs. It is these classes that generally convey most of the information in a sentence. Further, rarer words often have a more specialised meaning, and thereby classifying them incorrectly will potentially lose a lot of information. For these reasons, it is quite important that unknown words are POS tagged correctly, so that the information carried by them can be extracted properly in future stages of an NLP system.

Previous work on tagging unknown words has focused on morphological features, and using common affixes to better identify the correct tag. This has been done using manually created, common English endings (Weischedel et al., 1993), with Transformation Based Learning (TBL) (Brill, 1994), and by comparing pairs of words in a lexicon for differences in their beginnings and endings (Mikheev, 1997). Our existing tagger (Curran and Clark, 2003) already makes use of such features, while we aim to incorporate additional sources of information from a larger unannotated corpus.

3 Maximum Entropy modelling

A Maximum Entropy model is defined in terms of a number of constraints on the expected occurrences of features that represent the training data. Once these constraints on the model are met, the model assumes nothing further, giving a uniform distribution to all unknowns, that is, the model with maximum entropy (Ratnaparkhi, 1996). In this way, the model makes use of all the information available, but does not favour any further unfounded hypothesis, giving equal chance to all possibilities (Berger et al., 1996).

The empirical expectation of these features, as observed in the training data, is calculated by:

\[
\tilde{p}(f) \equiv \sum_{x,y} \tilde{p}(x,y)f(x,y)
\]

We attempt to make our model’s estimated value:

\[
p(f) \equiv \sum_{x,y} \tilde{p}(x)p(y|x)f(x,y)
\]

an accurate reflection of the training data, so that,

\[
p(f) = \tilde{p}(f)
\]

and therefore,

\[
\sum_{x,y} \tilde{p}(x)p(y|x)f(x,y) = \sum_{x,y} \tilde{p}(x,y)f(x,y)
\]

The training algorithm we use to achieve this is Generalised Iterative Scaling (GIS) (Darroch and Ratcliff, 1972). Each iteration of the algorithm involves updating all \(\lambda_i\) as follows:

\[
\lambda^{(t+1)}_i = \lambda^{(t)}_i + \frac{1}{C} \log \frac{\tilde{p}(f)}{p^{(t)}(f)}
\]

where \(C\) is the maximum of the sum of the feature functions over all instances, and \(\tilde{p}(f)\) and \(p^{(t)}(f)\) are the expectations of the probabilities observed in the training data, and the probabilities in the current model respectively. If \(\tilde{p}(f)\) is greater than \(p^{(t)}(f)\), then the log of the ratio will be positive and \(\lambda_i\) will be increased. This will in turn increase \(p^{(t+1)}(f)\), and move towards convergence and equality between the two probability expectations. Conversely, if \(\tilde{p}(f)\) is less than \(p^{(t)}(f)\), then \(\lambda_i\) will be decreased, again bringing the two expectations more into line.

We also use a Gaussian prior (Chen and Rosenfeld, 1999) which prefers weights close to a normal distribution. This form of smoothing alters the function we are attempting to maximise, so that no feature receives an inordinately high or low weight. Our code is based on the C&C tagger (Curran and Clark, 2003).

4 Real-valued features

Maximum Entropy models have always been defined in terms of binary features of the form:

\[
f(x,y) = \begin{cases} 
1 & \text{if } x \text{ and } y = \text{class} \\
0 & \text{otherwise}
\end{cases}
\]

\[\text{(6)}\]
The fact that these are binary features, implies certain limitations of the representation, which make them unsuitable for some attributes. For example, the length of the word cannot be represented easily, as this value could range from one to ten or more, rather than being either present or absent.

Discretizing (or binning) the feature value is the easiest way to get around this constraint. For example, one scheme for encoding the length of the word would involve bins of length 1-3, 3-6, 6-9, and 10 or more. Then each word would have a particular feature present, depending on which bin they fitted into. However, it may be hard to find a discretization scheme that performs optimally.

Another problem with binary features that discretization fails to solve, is that they are unable to capture the fact that certain values are related. The values 1 and 50 would seem just as close as 2 and 3. Even worse, the model would be unable to generalise further, to say that 4 is between 2 and 7. A better representation can be found using real-valued features, such as in the example below:

\[
\text{\textcolor{red}{\textbf{f}}}(x, y) = p(\text{punctuation}|x) \quad \text{and} \quad y = \text{class} \quad (7)
\]

Here, \( \text{\textcolor{red}{\textbf{f}}}(x, y) \) can take on any value between 0 and 1, inclusive, allowing a more continuous representation. Such features are commonplace when using other machine learners, but MaxEnt has, in most previous implementations, always been restricted to using binary features. This means that a large number of features are required for even simple pieces of information. For example, rather than having a single feature for the current word, there will instead be one feature for each word, with only the one for the current word turned on. MaxEnt classifiers are certainly capable of working in this manner, but real-valued features are able to do much more.

Implementing real-valued features adds an extra layer of complexity on top of binary features. With the latter, one only needs to know the features that are on for each training instance, since their values will always be one. For real-valued features however, we also need to know the particular value the feature holds in this instance. This is because the same real-valued feature will probably have different values in each instance.

5 Probabilistic contexts

The Associated Press section of the Aquaint corpus (Graff, 2002), containing over 100 million words, was used to calculate the probabilities for the real-valued features described below. Using this corpus gives us over a hundred times more words than the Penn Treebank across a wider range of topics.

| FEATURE               | UNKNOWN | OVERALL |
|-----------------------|---------|---------|
| original              | 87.39   |         |
| pw the                | 87.39   | 96.97   |
| nw comma              | 87.59   |         |
| pw was                | 87.37   |         |
| pw determiner         | 87.42   |         |
| nw punctuation        | 87.70   |         |

Table 1: Results for Probabilistic Context Features

| FEATURE            | UNKNOWN | OVERALL |
|--------------------|---------|---------|
| 5 buckets, pw the  | 87.20   | 96.97   |
| 5 buckets, nw comma| 87.34   | 96.98   |
| 10 buckets, pw the | 86.76   | 96.94   |
| 10 buckets, nw comma| 87.15  | 96.95   |
| 10 buckets, pw was | 87.34   | 96.96   |

Table 2: Results using binned features

To begin with, we chose a simple feature, that we can intuitively see should help discriminate between classes. This feature is previous word (pw) the, that is, a real-valued feature describing the proportion of times in which we see the before the unknown word we are trying to find information on, compared to how many times we see the word at all. The idea of this feature is to tell when the unknown word we are looking at is a noun. This is because the determiner-noun pair is very common, and the is the most frequent determiner.

More potential features were identified by looking at the most common errors made by the tagger. We found that the most difficult distinction to make is between adjectives and common nouns. It is obvious that a feature with a better chance of increasing performance would solve the most common errors, and from this analysis it should therefore differentiate chiefly between nouns and adjectives. The feature we found that satisfies this property, is next word (nw) comma, that is, the proportion of times that the word we are focusing on is followed by a comma. Adjectives are only very infrequently followed by a comma, while nouns are in such a position quite often.

Another feature that was also tried, again in an attempt to discriminate between the most problematic cases, was pw was. This feature came from the idea that adjectives will often follow the word was, but nouns will not. The results from using each of these three features is shown in Table 1.

As a comparison, we also experimented with using traditional binary features. We used the binning technique mentioned in the previous section, with
five bins (divided as: 0, 0–1/3, 1/3–2/3, 2/3–1 and 1), and also ten equally spaced bins (0–0.1, 0.1–0.2, etc). As can be seen in Table 2, representing the information in this way actually causes a decrease in accuracy. This demonstrates that real-valued features can give an improvement that traditional binary features are unable to reproduce.

5.1 Higher frequency contexts
One reason why the pw was feature may not be as effective as nw comma, is that the word was does not occur as frequently as commas do. Commas (and the) occur about five times as often as was. The less frequent a word is, the less reliable it will be as a feature in the way we are using it. The reason for this it that it reduces the statistical reliability of the counts we are using as input for the feature. The counts overall will be lower, which increases the chance that one unusual context will be seen more than it should be, compared to the context in which the word is normally seen. Also, there will be less chance of finding counts greater than zero. There may be many adjectives that are used a number of times in the unannotated corpus, but still do not occur after the word was.

We next attempted to increase the counts extracted from the unannotated corpus. Seeing as the idea of the pw the feature was to identify nouns seen in a determiner-noun pair, one can expand the feature to become: pw determiner. Although the probabilities for what tags will follow a determiner are actually very close to those for the word the, the number of determiners in the unannotated corpus is significantly larger than just the word the.

We can also apply this idea to our nw comma feature, by expanding it into a nw punctuation feature. The results of experiments run with these new expanded features are also shown in Table 1. One can see that they were indeed more effective than the simpler features they replaced.

5.2 Contexts from the Penn Treebank
In order to find new features, we are looking for words that occur frequently and consistently have a certain tag before or after them. A list of tokens that meet these criteria can easily be found for each tag, by analysing the Penn Treebank. The results of this analysis are shown in Table 3.

This table shows the most frequent tokens following nouns, and the percentage of the time that they follow a noun. The first entry, which contains a feature we have already found to be useful, shows us that this analysis could give us more effective features. Some words however, million for example, are overly optimistic due to source of this data. The

| TOKEN | #  | TAG % | TOKEN | #  | TAG % |
|-------|----|-------|-------|----|-------|
| ,     | 11541 | 81   | million | 1755 | 99   |
| .     | 7894  | 78   | on     | 1368 | 55   |
| and   | 4856  | 70   | was    | 1340 | 74   |
| of    | 4171  | 87   | said   | 1323 | 69   |
| in    | 3311  | 63   | has    | 1211 | 69   |
| is    | 2260  | 70   | are    | 1196 | 73   |
| 's    | 2173  | 95   | will   | 1178 | 74   |
| for   | 2029  | 64   | from   | 1177 | 63   |

Table 3: Most frequent tokens that follow nouns

| TOKEN | #  | TAG % | TOKEN | #  | TAG % |
|-------|----|-------|-------|----|-------|
| than  | 1089 | 54   | venture | 82 | 52   |
| quarter | 717  | 75   | ones | 61 | 77 |
| week  | 433  | 52   | transactions | 59 | 51   |
| income | 392  | 71   | term | 59 | 55   |
| period | 240  | 67   | factors | 52 | 63   |
| estate | 213  | 93   | spring | 50 | 52 |
| German | 99   | 62   | subordinated | 48 | 62 |
| thing | 91   | 58   | ventures | 42 | 67 |

Table 4: Most frequent tokens that follow adjectives

| FEATURE | UNKNOW | TAG % |
|---------|--------|-------|
| original | 87.39  |
| nw of    | 87.42  |
| nw for   | 87.50  |
| nw preposition | 87.56  |
| nw to be verbs | 87.48  |
| nw modals | 87.42  |
| nw and   | 87.42  |
| pw possessive pronouns | 87.42  |
| pw adjectives | 87.45  |
| pw adverbs | 87.42 |

Table 5: Results using analysis of the Treebank

Wall Street Journal will clearly contain many such words whose frequencies are not representative of what they should be in more general text.

We can compare these words to the most common words that follow adjectives, shown in Table 4. The obvious differences visible here mean that the features we apply from this analysis should discriminate between nouns and adjectives well. Using this same technique for different classes, looking at previous word and next, we are able to find a number of features, each of which supplies a minor increase in performance. These are shown in Table 5.

6 Probabilistic lowercase features
Having explored features for better differentiation between nouns and adjectives, we now move onto features for improving some other grammatical cat-
Table 6: Lower case frequency feature results

| 1ST WORD | 2 FEATURES | UNKNOWN | OVERALL |
|----------|------------|---------|---------|
| No       | No         | 87.83   | 97.01   |
| No       | Yes        | 88.52   | 97.05   |
| Yes      | No         | 87.86   | 97.01   |
| Yes      | Yes        | 88.49   | 97.04   |

Table 6: Lower case frequency feature results

Next we attempt to solve the third most common error that the model makes: incorrectly tagging singular proper nouns as plural proper nouns. The idea we can use to distinguish between them, is that if we find the unknown word in our unannotated corpus with -s on the end, then we can assume it is plural in this case, and that it is singular where we are trying to tag it. Although not all plurals are created by the -s ending, it is the case in the vast majority of times, and so we believe it will be effective enough. Implementing this as a feature, we attained an increase in unknown word accuracy to 87.61%.

This increase is greater than what most other features have achieved. It is interesting to note that most of the errors of tagging singular proper nouns as plural proper nouns consist of words such as American Airlines. Here, Airlines should be tagged as singular, but it still has the -s ending, so we would not expect our feature to help at all. An analysis of the errors fixed by this new feature shows that it actually helped to distinguish between nouns and adjectives. This can be understood, as if the unknown word in the test corpus appears with an -s on the end in our unannotated corpus, it suggests that it is a noun in both cases. Adjectives are not affected by the addition of an -s in any normal grammatical way, and so we would expect the chance of seeing such a construction to be much less.

8 Using multiple real-valued features

All the experiments we have described so far have included only one feature at a time (with the exception of lower case frequency, which uses two). Of course, we can use all of them at once, collecting information from all of them.

We also tried combining the features in a different way. Rather than having one feature for each piece of information we are giving the model, we could add up the counts used for each feature, creating one feature with larger, and hopefully more reliable counts than any of those that it is made up of. We then have one feature in the model for all these pieces of information. The value for this feature could exceed one, and so we experimented with limiting the value at one, or simply allowing it to take on whatever value it would.

The features that we used included all those that had individually given a positive result. The lower case frequency feature was also used, as was word exists with -s, although these two were not summed with the other features, as they are of a different nature. The results achieved, are shown in Table 7.

9 Analysis

Only about 3% of the words in the test set are unknown words, while both the test and training sets are made up of very similar text drawn from the Wall Street Journal. What we would like, is to be
able to test our new features on a corpus from a different domain, since this is the kind of application where our features should perform the best. Unfortunately, there is no such corpus that is substantial enough for our purposes, which has the annotations that we would need to measure accuracy. As a result of this limitation, we will instead rely on a different technique to simulate tagging a piece of text with more unknown words.

### 9.1 Reducing the training dataset

We can reduce the amount of data used for training, thereby increasing the proportion of unknown words. This will also mean the tagger has less idea of the way words are used in general, which is another effect that we would like to mimic. Although the words themselves will still be drawn from the same financial newspaper text, and therefore exhibit all the same grammatical tendencies, we can still get an idea of how effective our new features are in a situation they are actually well-suited for.

Experiments were performed with a half, a quarter, an eighth, and a sixteenth of the training data, with the results shown in Table 8. The percentage of unknown words grows exponentially as the size of the training data decreases. This means that as we move to smaller training sets, unknown words rapidly become a more significant problem.

As would be expected, using a smaller training set reduces the performance of the tagger quite considerably. However, the improvements gained from using the new features remain consistent.

Even more encouraging is the way the overall results begin to improve. This stems from the fact that the unknown words are beginning to take up a more significant proportion of the text. This demonstrates that our new features are indeed able to raise accuracy in the area that they were designed to.

### 9.2 Cross Validation Experiments

We have also carried out an experiment using 10-fold cross validation. We split the entire Penn Treebank, putting every nth line into the nth fold. This configuration results in a similar percentage of unknown words as in previous experiments. The accuracy for the original system are 97.12% overall and 89.13% on unknown words only. Using the summation of all positive features, as well as the best lower case frequency feature and the word exists with -s feature, we achieved 97.15% overall and 90.17% on unknown words. This is an accuracy increase of 1.04% on unknown words which confirms the statistical validity of the results we have attained previously.

### 10 Named Entity Recognition

We have also experimented with the task of Named Entity Recognition (NER) in almost the same manner as we have described for POS tagging. We will use contextual information from the same unannotated corpus, in order to better classify a certain subset of the test corpus. In this case, the named entities themselves, while for POS tagging we looked at the unknown words.

The data we used is from MUC7 (DARPA, 1998), and uses seven entity categories: persons, organisations, locations, dates, money amounts, percentages, and times. 11% of the 84046 word-long corpus is made up of these entities, which is significantly more than the percentage of unknown words.
in our POS tagging corpus. We performed an analysis on this dataset, as we did for POS tagging, attempting to find words that could be used as suitable features. Some of those we found are shown in Table 9, as well as the increases in accuracy that come from using them. We also experimented with the features that performed well in POS tagging, and found that they did not work as well at this task.

We can see that use of the \textit{pw locative preposition} feature provided the greatest increase in accuracy. This feature was specifically used to identify locations, as they are often preceded by words such as \textit{to, from, and in}.

\section*{11 Discussion}

What we have seen is that \textit{nw punctuation} performs the best out of the next word/previous word features, while \textit{word exists with -s} is also useful, outperforming many of them. Lower case frequency is quite easily our best feature, as it corrects so many of the errors that occur with proper nouns. Using all our features together, we find that even the minor increases gained by some of the individual features can be translated to an overall gain.

Our second technique for combining multiple features, that of summing the feature values together, has also been shown to give satisfactory results. The effect of having larger, more reliable counts was apparently able to compensate for the conflation of some information upon amalgamation.

All of our experiments and analysis have suggested two measurable quantities to identify a good feature. The first of these is to discriminate well between two possible classes, especially those classes that exhibit a high level of confusion. Here, \textit{pw the} was not able to differentiate between noun and adjectives, while \textit{nw comma} was. The second measure is the frequency of the word we are basing our feature upon, with more common words being better. For example, the \textit{pw was} feature did not perform nearly as well as \textit{nw comma}.

We used these ideas to find a number of productive features. Problem cases with the existing tagger gave us particular areas for improvement, such as the noun-adjective discrepancies. Further analysis of common word/tag combinations in the annotated corpus also gave us a number of worthwhile features. However, it should be noted that we did require the annotations for this analysis, as well as to implement some other features, such as \textit{pw unambiguous adjective}. Seeing as a large annotated corpus, the Penn Treebank, is only available for English, we would have a problem shifting our focus to POS tagging in another language.

One thing that we have achieved is the implementation and use of real-valued features. They were able to outperform traditional MaxEnt features, finding an increase in accuracy where discretized features could not. In particular, one would not be able to represent the features that we are using in the Maximum Entropy model, and still gain an increase in performance, without using real-valued features. One can easily see more possibilities for their use, as they are a much more natural way of representing many attributes in a machine learner.

\section*{12 Future Work}

The real-valued features we have described are specifically designed at better classify unknown words. We would therefore like to test our approach in a domain where unknown words are more prevalent. We would also like to try using a different, larger unannotated corpus, even when working with the Penn Treebank test corpus.

Using a larger raw corpus would mean that more of the unknown words could be found, and therefore that there would be a chance for correcting errors with these unknown words. Also, the unknown words that are already present in the Aquaint corpus we used would have bigger counts in a larger corpus. We would therefore be able to get more reliable information about them, and a better idea of how they are used.

Another approach to reducing the effect that small counts have is to perform smoothing on them. The problem is that if a word is seen only once, then one cannot be totally sure of its correct tag, no mat-
ter what context it is in. That one instance will make the feature value either 0 or 1, the two most extreme values, which may be tremendously misrepresentative of what the true value should be.

There are many smoothing methods that can help with this problem. The Good-Turing estimation is one approach which calculates estimated values using the observed counts for the next most frequent word (Good, 1953). It is extremely effective for words with low counts, and would therefore be able to solve this problem quite well.

Another smoothing issue comes from the Gaussian prior that is used within the Maximum Entropy training code. This prior has the effect of keeping the weights within a normal distribution, slightly relaxing the constraints upon the model. It has the effect of not allowing any single weight to get too large, which could mean many tagging decisions were made on a single, possibly unreliable, feature. However, the algorithm does not take into account the introduction of real-valued features, and therefore the possibility of non-binary feature values. As a result of this, some real-valued features may be prevented from having as large a weight as they should, and thus having reduced impact. An investigation into what effects this problem has, as well as changing the implementation to calculate the update values more correctly, would be beneficial.

13 Conclusion

We aimed to use the information in an unannotated corpus — in particular, the contexts surrounding unknown words — in order to increase performance on POS tagging unknown words. Although a number of techniques have been applied to this problem in the past, none attempted to draw upon the information that could be found in a larger amount of raw text. The new feature types we have demonstrated are quite different to those previously used, and we have shown the increase in performance that they can give. The advantage of this method is that it can derive contextual information from any unannotated corpus, and so it is easily portable to another domain.

In particular, the use of real-valued features resulted in a much larger improvement than binary or discretized features would have given us. Maximum Entropy features in the past have always been limited in this respect, and seeing the results we attained, one cannot doubt the benefits that real-valued features can bring. The increased flexibility they give us, and their ability to capture relationships between values, make them extremely advantageous. One can see that the kind of information we were trying to represent was a good example case for their usage, but there are many other features that would also be intrinsically suited to them.

The increase of 1.46% on tagging accuracy for unknown words raises the result to a state-of-the-art level, which will translate to benefits when performing other NLP tasks based on POS tagging information. The smaller increase for NER shows that these methods are also feasible for other tagging tasks, and demonstrates once again, the usefulness of real-valued features.

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