Adjuvant Activity of Monophosphoryl Lipid A for Nasal and Oral Immunization with Soluble or Liposome-Associated Antigen

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The effectiveness of monophosphoryl lipid A (MPL) as a mucosal adjuvant was investigated following oral or intranasal (i.n.) administration of an aqueous adjuvant formulation of MPL (MPL-AF) added to soluble antigen or liposomal antigen or incorporated into liposomal antigen membranes. Groups of BALB/c female mice were immunized with 50 to 100 μg of free or liposomal Streptococcus mutans crude glucosyltransferase (C-GTF) with or without MPL-AF added to the vaccine or incorporated into the liposomal membrane. Plasma, saliva, vaginal wash, and fecal extract samples were collected biweekly following immunization and assessed for antigen-specific antibody activity by enzyme-linked immunosorbent assay (ELISA). Mice immunized by the i.n. route had higher levels of salivary, plasma, and vaginal immunoglobulin A (IgA) anti-C-GTF responses and higher levels of plasma IgG anti-C-GTF than the orally immunized groups. A second administration of the vaccine 14 weeks after the initial immunization resulted in an anamnestic response to C-GTF resulting in 10- and 100-fold increases in salivary and plasma IgA and plasma IgG, respectively (in the i.n. immunized groups). Mice receiving a second i.n. immunization with liposomal antigen and MPL-AF had higher salivary IgA anti-C-GTF responses than mice immunized with antigen plus MPL-AF or liposomal antigen (P < 0.05). Plasma IgG anti-C-GTF activity was highest in mice immunized by the i.n. route with antigen formulations containing MPL-AF (P < 0.05). These results demonstrate the effectiveness of MPL-AF as an adjuvant for potentiating mucosal and systemic immune responses to liposomal C-GTF following i.n. immunization.

Oral immunization with a variety of vaccines has been shown to induce disseminated secretory immune responses via the common mucosal immune system. However, often the responses are variable, transient, and low in magnitude. Recently, there has been much interest in determining the importance of Waldeyer’s ring in humans as an induction site for mucosal responses, especially for responses in the upper respiratory tract and oral cavity (7). Experimental evidence has demonstrated that the nasal mucosa of mice contains nasal lymphoid tissue (NALT) (62), and it has been suggested that this tissue may be comparable to Waldeyer’s ring in humans.

During the past several years, considerable effort has been devoted to the use of microbial antigens purified by in vitro culture or genetic recombination (i.e., subunit vaccines) for the development of new vaccines. These defined vaccines are considered safer than the whole microorganisms; however, they are often poorly immunogenic. Therefore, it has been necessary to utilize delivery vehicles and adjuvants to potentiate immune responses to these vaccine antigens. One of several approaches which are being investigated for effectiveness in augmenting immune responses to purified antigens is the use of liposomes (phospholipid artificial membrane vesicles) as a vehicle for antigen delivery (9, 33). It has been hypothesized that liposomes simulate biological membranes which can act as a vehicle for antigen delivery to immune processing cells for the induction of immune responses (37, 56). Numerous studies in various animal models have reported that intranasal (i.n.) immunization with liposomal vaccines results in increased antigen-specific antibody responses in pulmonary and oral secretions (1, 2, 4, 8, 13–15, 19).

Despite promising results in animals, human liposome immunization studies have not resulted in significant and persistent salivary responses. Therefore, recent attention has been given to the use of mucosal adjuvants such as nontoxic lipopolysaccharide (LPS). Monophosphoryl lipid A (MPL) has been used in humans as a systemic adjuvant and shown to potentiate responses to a coadministered antigen without causing toxic effects (17, 22, 51, 54). The mechanism(s) of MPL adjuvant effect appears to be the activation of macrophages and induction of cytokine synthesis (54), which result in increased immune responsiveness to relatively nonimmunogenic antigens, e.g., malarial sporozoite antigen (3, 43, 44, 57), gangliosides (42), polysaccharides (54), short synthetic peptides (16), and viral proteins (46, 47, 52). The studies with MPL (and other LPS preparations) have mostly used the systemic route; however, a study by Pierce and coworkers (39) reported that liposomal lipid A enhanced the mucosal response to enterically administered cholera toxin.

The purpose of this study was to determine the effectiveness of MPL in potentiating mucosal, especially salivary immune responses in mice to a Streptococcus mutans crude glucosyltransferase (C-GTF) antigen. In this study, we assessed differences in responses induced following nasal compared to oral immunization. Furthermore, differences in immune responses following i.n. immunization with free versus liposomal antigen with or without MPL were assessed.

MATERIALS AND METHODS

Bacteria, media, and reagents. S. mutans serotype c strain GS-5 (F. Macrina, Virginia Commonwealth University, Richmond) was used to purify the GTF antigen. Stock cultures were maintained in glycerin/broth (50% [vol/vol]) at −80°C. The components used for production of liposomes consist of d, l-a-dipalmitoyl

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TABLE 1. Vaccine and immunization protocol used in this study

| Group                | Dose (µg) | Immunization route |
|----------------------|-----------|-------------------|
| L-C-GTF             | 100       | Intragastric      |
| MPL-L-C-GTF         | 100       | Intragastric      |
| C-GTF               | 50        | MPL-AF            |
| MPL-L-C-GTF         | 50        | MPL-AF            |
| L-C-GTF + MPL       | 50        | MPL-AF            |
| L-C-GTF + MPL       | 50        | MPL-AF            |

* Mice were immunized with the dose indicated on days 0 and 1 and given boosters 14 weeks later (day 98).

Plasma was collected after centrifugation and stored at −20°C until used for assessing antibody activity by ELISA. Saliva was collected with the aid of a Pasteur pipette after intraperitoneal injection of carbachol (Sigma; 5 µg in 0.05 ml) to stimulate flow. Vaginal fluid was obtained by flushing the vagina twice with 50 µl of sterile saline. Saliva and vaginal wash were centrifuged, and the supernatants were frozen at −70°C. Fresh fecal pellets (3 to 4) were collected from each animal in separate tubes and processed as previously described (26). The extraction buffer for fecal samples contained 0.2% NaN₃, 1 mM phenylmethylsulfonyl fluoride (Sigma), 0.005 M EDTA, and 2% FCS in borate-buffered saline (pH 8.2). Briefly, to each tube containing fecal pellets was added 0.6 ml of extraction buffer. The tubes were vortexed for 15 min and then centrifuged (13,000 g, 10 min, 25°C); the supernatants were collected and stored at −70°C until assayed for antibody activity.

All animal experiments performed in these studies were approved by the Institutional Animal Care and Use Committee (IACUC) at the University of Alabama at Birmingham. ELISA. An ELISA was used to determine relative concentrations of antibodies to C-GTF as previously described (11). Briefly, one-half of a polyvinyl chloride 96-well, flat-bottom microtiter plate (Dynatech Laboratories Inc., Chantilly, Va.) was coated overnight at room temperature with goat or rabbit anti-mouse IgA or IgG diluted in PBS, while the other half was coated with C-GTF (5 µg/ml in borate buffer [pH 9.6]). Following blocking with FCS (5% in PBS), 50 µl of five different dilutions of plasma, saliva, vaginal wash, or fecal extract was added to wells. A calibrated mouse serum pool was used as the total immunoglobulin standard, and twofold serial dilutions were added in duplicate to individual wells which had been coated with anti-mouse IgG or IgA. Biotin-conjugated goat anti-mouse immunoglobulin (Molecular Bio-technology, Inc., Birmingham, Ala.) was then added to appropriate wells. After incubation, wells were developed with streptavidin-peroxidase conjugate (0.4 µg/ml; Southern Biotechnology) followed by ABTS [2,2′-azinobis(3-ethylbenzthiazolinesulfonic acid)] substrate (Sigma) in citrate buffer. Color development (optical density [OD]) was recorded at 414 nm using an ELISA plate reader (Molecular Devices Corp., Menlo Park, Calif.). A four-parameter curve fitting program (Softmax; Molecular Devices) was used to construct reference curves for each ELISA plate from OD readings of the standard serum pool at known immunoglobulin concentration. Total immunoglobulin concentration and estimated concentrations of anti-C-GTF antibody in plasma, saliva, vaginal wash, and fecal extracts were obtained from OD readings of the sample dilutions corresponding to nanograms per milliliter by multiplying values extrapolated from the standard curves (Softmax) by the dilution factor. In order to control for interplate variation, samples for each collection were analyzed on the same day. Plasma results are reported as nanograms of anti-C-GTF antibody activity per milliliter, while saliva, vaginal wash, and fecal results were converted to ratios of IgA or IgG anti-C-GTF per total immunoglobulin levels to normalize for variation in sample collections.

**RESULTS**

Salivary IgA anti-C-GTF response. Differences in salivary IgA anti-C-GTF responses were observed on day 14 after immunization (Fig. 1A). However, no significant differences were detected between groups through day 56, except for the MPL-L-C-GTF i.n. immunized group compared to the L-C-GTF orally immunized group on day 14. The salivary IgA responses in mice immunized by the i.n. route with C-GTF+MPL and MPL-L-C-GTF persisted through 8 weeks (day 56) following initial immunization, whereas responses dropped in all other groups. By 14 weeks (day 98), only low or no salivary antibody activity was detected (Fig. 1B).

A strong anamnestic response was observed by day 112 in all groups of i.n. immunized mice following a booster immunization on day 98, while no response was seen in the orally immunized animals (Fig. 1B). Two weeks after the booster immunization, salivary IgA anti-C-GTF activity in mice immunized by the nasal route was significantly higher than that seen in oral groups. Anti-C-GTF antibody activity diminished in the i.n. immunized groups; however, at all time points assessed, the mean antibody levels were higher in the i.n. immunized groups (compared to orally immunized groups). Furthermore, the MPl+L-C-GTF or MPL-L-C-GTF i.n. group or both had significantly (P < 0.05) higher mean levels of anti-C-GTF antibody activity than the other i.n. immunized groups at sev-
eral time points following the booster (Fig. 1B). The salivary IgA responses following the nasal boost were more than 10-fold higher than those seen after the initial immunization. Although the responses decreased with time, they persisted for more than 3 months at a level higher than that seen after the first immunization.

**Plasma anti-C-GTF response.** Plasma IgG (Fig. 2A) and, to a lesser extent, IgA (Fig. 2B) responses were induced in i.n. immunized mice following the primary and booster immunizations. The highest mean anti-C-GTF activity was observed in the i.n. immunized groups receiving antigen and MPL. Due to large variations within groups, many of the differences observed were not statistically significant. The IgG anti-C-GTF responses peaked on day 28 after the initial immunization in all groups and then decreased (day 42 [Fig. 2A]). However, the level of antibody activity on day 42 was maintained or increased from that time point until day 98 (prior to boost [Fig. 2A]). The mean specific antibody activity in plasma of i.n. immunized mice given liposomal antigen and MPL was significantly higher ($P < 0.05$) than that seen in mice orally immunized with L-C-GTF on day 28 (Fig. 2A). The boost also resulted in an anamnestic response in the group of mice orally immunized with MPL-L-C-GTF. The responses in i.n. immunized mice were significantly higher ($P < 0.05$) than those seen in L-C-GTF orally immunized mice when MPL was included in the i.n. immunogen (i.e., C-GTF+MPL, MPL-L-C-GTF, and MPL+L-C-GTF groups, day 124 [Fig. 2A]). The levels of IgG activity in the various groups decreased with time but remained high for 3 months (day 190) even for responses in mice orally immunized with MPL+L-C-GTF. Generally, responses in the i.n. immunized groups receiving MPL were higher than those seen in other i.n. immunized groups, except 3 months following the booster, when the anti-C-GTF activity was significantly higher in the groups immunized with antigen alone than in the i.n. group receiving MPL+L-C-GTF (Fig. 2A).

The plasma IgA anti-C-GTF antibody responses peaked on day 42 (or day 56 for the MPL-L-C-GTF group) after the initial immunization (Fig. 2B). The C-GTF+MPL i.n. immunized group resulted in a significantly higher response than the orally immunized L-C-GTF group on days 14, 28, 56, and 98 ($P < 0.05$). As observed with plasma IgG responses, within the i.n. immunized groups, higher plasma IgA anti-C-GTF activity was observed in mice given antigen and MPL; however, differences between these groups were not significant. The responses for all groups were maintained above the day 14 level through day 98. Following the booster, a 10- to 20-fold increase...
was seen in the responses of all but one (L-C-GTF) of the i.n. immunized groups. The observed responses in the i.n. immunized groups decreased slightly during the next 3 months, while the orally immunized group responses remained low and constant. The responses in the i.n. immunized groups were significantly higher than the L-C-GTF orally immunized groups at several time points (Fig. 2B). These significantly higher responses were most consistently observed in the i.n. immunized groups receiving MPL. Furthermore, the i.n. immunized mice receiving a vaccine containing MPL had higher anti-C-GTF responses when compared to the oral route at some time points showing statistical significance than seen in mice receiving the vaccine without MPL by the i.n. route (Fig. 2B).

**Vaginal and fecal IgA anti-C-GTF response.** Relative to the salivary responses, low and variable levels of IgA anti-C-GTF activity were observed in vaginal wash and fecal samples collected after immunization (Fig. 3A and B, respectively). Although the differences in responses were not significant, the responses were higher in the i.n. immunized groups’ vaginal washes than in those from orally immunized animals. Following the boost, a slight increase in the magnitude of the vaginal wash responses was seen, especially in the i.n. immunized groups; however, the differences between responses in the i.n. and orally immunized groups were not significant. Low fecal IgA responses were detected only on days 42 and 112 (after the booster) in groups immunized with liposomal antigen (Fig. 3B).

**DISCUSSION**

Lipid A and MPL have been used as systemic adjuvants for inducing cellular and humoral responses to various antigens given alone (35, 41, 42, 47, 52) or associated with liposomes (16, 32, 38, 40, 43, 59), while only limited studies have investigated their usefulness as mucosal adjuvants (5, 39, 46). The purpose of this study was to investigate the effects of free or liposome-associated MPL-AF on augmentation of immune responses to a vaccine antigen when administered by the oral versus nasal route. In the present study, we compared the effectiveness of the mucosal route of immunization for inducing salivary IgA responses. The i.n. route was selected to further evaluate compartmentalization within the common mucosal immune system. The vaccine antigen was found to be more immunogenic when given by the i.n. route than by the oral route. Furthermore, MPL was found to be a strong mucosal and systemic adjuvant when given by the i.n. route.

Little is known about memory responses in the mucosal immune system and about MPL as a mucosal adjuvant. In this study, a week 14 booster was chosen based on a previous study which used a recombinant *Salmonella* vector for oral delivery of a *Porphyromonas gingivalis* antigen (28) and showed the induction of a strong memory response when mice were given boosters at this time. In the present study, a booster at 14 weeks after the first immunization resulted in an excellent salivary and plasma memory response (10- to 100-fold increase). Liposomal-antigen i.n. administration with MPL (whether added to liposomal antigen or incorporated into liposome membrane) resulted in salivary IgA responses following a booster that were consistently higher than seen in mice immunized with liposomal or free antigen without MPL. MPL in most cases potentiated the plasma IgG and IgA antigen-specific memory responses following the booster.

Although primary and memory salivary responses were observed in groups following i.n. immunization, only slight primary and secondary responses were induced at other mucosal sites (intestinal and vaginal). Other studies have shown that i.n. immunization with various antigens and adjuvants can result in vaginal (18, 23, 27) and intestinal (61) responses in addition to salivary and systemic responses; however, these studies did not involve lipid A derivatives. It is possible that the low vaginal response observed following i.n. immunization was related to the timing of the immunization in female mice. It has been shown that the time of immunization in relation to the estrous cycle is important for the induction of mucosal responses (18, 36).

Alternately, the low vaginal and intestinal responses following the immunization formulation used in the study may suggest limited compartmentalization of the mucosal immune system (i.e., local mucosal response) (60). Plasma IgG and IgA anti-C-GTF responses were induced in all of the i.n. immunization groups and the orally immunized MPL+L-C-GTF group. The induction of a systemic immune response following i.n. immunization was not surprising based on previous studies (6, 21, 24, 25, 30, 48–50, 53, 58, 61). Therefore, our findings as well as those of others demonstrate that the common mucosal immune system is not completely separate from the circulatory immune system. It has also been shown that parenteral immunization of humans results in mucosal as well as systemic responses (31).

Most murine studies published to date have involved the demonstration of adjuvant properties of MPL or other similar lipid A derivatives that were given systemically rather than mucosally (16, 35, 42, 43, 45, 47, 52, 54). Sasaki and coworkers (46), however, recently compared i.n. and intramuscular immunization using MPL as an adjuvant and observed the induction of similar systemic responses but higher intestinal responses in groups immunized by the i.n. route. Our findings further demonstrate that there is a systemic adjuvant effect of MPL when given via the i.n. route. While these findings are potentially beneficial for prevention of many invasive diseases, because of the localized nature of dental caries, a systemic response may not be important or desirable in interfering with the pathogenesis of this disease.

The findings of the studies reported herein will be helpful in developing mucosal vaccines, including an *S. mutans* antigen vaccine which is able to induce salivary responses in humans that are protective against the initiation of dental caries. MPL has been administered in human subjects by injection and has resulted in no observed side effects (other than minor irritation at the injection site) (22, 51, 54). Therefore, although further animal testing will help support the safe use of MPL for dental caries prevention and identification of the optimal immunization formulation, delivery system, and dosage regimen, clinical studies will benefit the understanding of the human response
A

Mean Vaginal Wash IgA anti-GTF/Total IgA (Percent)

Day Following Initial Immunization

B

Mean Fecal anti-GTF/Total IgA (Percent)

Day Following Initial Immunization
to mucosally administered MPL immunization protocols. These studies will hopefully provide insight into the usefulness of delivery and adjuvant systems for a myriad of diseases at mucosal surfaces, including S. mutans-induced dental caries.

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FIG. 3. Vaginal wash (A) and fecal extract (B) IgA antibody responses to C-GTF. BALB/c mice were immunized by the intragastric or i.n. route with C-GTF or L-C-GTF (with or without MPL-AF) on days 0 and 1 and given boosters on day 98. Values are anti-C-GTF activity for pooled samples (vaginal, days 12 and 28) or the means ± standard deviations of samples collected from animals on indicated days.
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