Binding Transition in Quantum Hall Edge States

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We study a class of Abelian quantum Hall (QH) states which are topologically unstable (T-unstable). We find that the T-unstable QH states can have a phase transition on the edge which causes a binding transition between electrons and reduces the number of gapless edge branches. After the binding transition, the single-electron tunneling into the edge gains a finite energy gap, and only certain multi-electron co-tunneling (such as three-electron co-tunneling for $\nu = 9/5$ edges) can be gapless. Similar phenomena also appear for edge states on the boundary between certain QH states. For example, edge on the boundary between $\nu = 2$ and $\nu = 1/5$ states only allow three-electron co-tunneling at low energies after the binding transition.

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A large class of quantum Hall (QH) liquids \[\text{(1)}\] (almost all those observed in experiments) is called Abelian QH state. The topological orders \[\text{(2)}\] in the Abelian QH liquids are labeled by a rank $\kappa$ integer symmetric matrix $K$ (called the $K$-matrix) and a $\kappa$ dimensional integer vector $t$ (called the charge vector). \[\text{(3)}\] The effective theory is given by the $U(1)$ Chern-Simons (CS) theory:

\[L_{\text{bulk}} = \frac{1}{4\pi}K_{ij}a_i\partial_\tau a_j e^{i\mu\nu\lambda} - eA_\mu t_\mu \partial_\nu a_i e^{i\mu\nu\lambda}. \] (1)

The above pure CS effective theory is dual to the Ginzburg-Landau-CS effective theory obtained earlier. \[\text{(4)}\] $(K, t)$ determine all the universal properties of the Abelian QH states. For example, all the allowed quasi-particles are labeled by $\kappa$ dimensional integer vector $l$ with integer elements. The electric charge and statistics of a quasi-particle are given by

\[\theta = \pi t^T K^{-1} l, \quad Q_q = -e t^T K^{-1} l, \] (2)

while the filling fraction is given by $\nu = t^T K^{-1} t$. \[\text{(5)}\] $(K, t)$ also determines the structure of edge excitations \[\text{(6)}\] (at least for the sharp edges \[\text{(7)}\]).

According to Haldane, an Abelian quantum Hall theory is T-unstable if there exist quasi-particles (labeled by $m$), that are both bosonic and charge neutral, i.e.

\[m^T K^{-1} m = 0; \quad t^T K^{-1} m = 0. \] (3)

Such a vector $m$ will be called neutral null vector. Since these quasi-particles carry trivial quantum numbers, their creation and annihilation operators can appear in the Hamiltonian without breaking any symmetries. By including them in Eq. \[\text{(8)}\], a more generic effective theory can be obtained. In this paper we will study the physical consequences of these operators on the edge states. We find that, under right conditions, they can cause a phase transition in the edge states. Such a transition will be called binding transition in this paper.

Many QH states have neutral null vectors, such as the $\nu = 9/5$ state with

\[K = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -5 \end{pmatrix}, \quad t = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}. \] (4)

Before the binding transition, the edge state of the above QH state has three branches and the single-electron tunneling is gapless. After the binding transition, as we will see, the edge state has only one gapless branch, and the single-electron tunneling opens up a finite energy gap. Only three-electron co-tunneling is gapless.

The binding transition can also appear on the boundary between two different QH liquids. The edge state between two different QH liquids $(K_1, t_1)$ and $(K_2, t_2)$ is described by $K = K_1 \oplus (-K_2), \quad t = t_1 \oplus t_2$. The edge state will be called T-unstable if the $(K, t)$ has non-zero neutral null vector $m$. For a sequence of hierarchical QH liquids $\nu_1 = 1/3, 2/5, 3/7,...$, the edge state between any two QH states in the sequence is T-unstable since they are based on the same $\nu = 1/3$ state. The edge excitations from the base $1/3$ state can annihilate each other. More non-trivial cases of T-unstable boundary can appear between hierarchical states based on different QH liquids. We find T-unstable edge states between $(2/5, 2/9), (2/5, 3/13), (2/9, 2/7), (2/5, 1/7), (2/5, 2/13), (1/9, 2/9),...$ QH states. The simplest T-unstable edge state is the one between $\nu = 2$, and $\nu = 1/5$ states.

Such a T-unstable state is equivalent to that of the $\nu = 9/5$ state and have the same binding transition.

To show the above results, let us concentrate on the simplest T-unstable systems, the $\nu = 9/5$ state described by Eq. \[\text{(9)}\]. The quasi-particle operators are labeled by integer vectors $l$. The electron operators are those of the quasi-particle operators which carry charge $e$ and have statistics $\theta = (2n + 1)\pi$ (ie the Fermi statistics). There are infinite many electron operators, which can be labeled by two integers $(k_1, k_2)$:
\[ I_\nu = \begin{pmatrix} k_1 \\ k_2 \\ -5(1 - k_1 - k_2) \end{pmatrix} \] (5)

The \( \nu = 9/5 \) state has infinite many neutral null vectors: \( \text{integer} \times m_1 \) and \( \text{integer} \times m_2 \), where
\[ m_1 = \begin{pmatrix} -1 \\ 2 \\ 5 \end{pmatrix}, \quad m_2 = \begin{pmatrix} 2 \\ -1 \\ 5 \end{pmatrix} \] (6)

It is known that edges of quantum Hall systems are described by a chiral Luttinger liquid (\( \chi \) LL) theory. In imaginary time, the corresponding \( \chi \) LL action contains \( N \) bosonic fields \( \phi_i \) and has the form\[ S_{\text{edge}} = \frac{1}{4\pi} \int dx \, dt \, [iK_{ij} \partial_x \phi_i \partial_t \phi_j + V_{ij}(\partial_x \phi_i \partial_x \phi_j)]. \] (7)

On the edge, quasi-particles are created by the vertex operators, \( V_i = \exp(i l_j \phi_j) \). The correlation function of \( V_i \) have a form
\[ \langle e^{i l_j \phi_i(x, t)} e^{-i l_j \phi_i(0,0)} \rangle \propto \frac{1}{z^{K(l)}} \frac{1}{|z^{2\Delta(l)} - K(l)|}. \] (9)

Here \( \Delta(l) \equiv (\sum_{k=1}^{N^+} \alpha_k + \sum_{k=1}^{N^-} \beta_k)/2 \) is the scaling dimension of the operator \( \exp(i l_j \phi_j) \), and \( K(l) \equiv l_j(K(l)^{-1})_{ij}l_j = \sum_{k=1}^{N^+} \alpha_k - \sum_{k=1}^{N^-} \beta_k \), which is independent of \( V \) and determines the statistics of the quasiparticle. Since the scaling dimension of an operator is a function of \( V \), it is useful to write \( V \) in such a way that isolates the parts of \( V \) affecting \( \Delta(l) \). We will follow the approach used in Ref. 8.

Eq. (8) is obtained by simultaneously diagonalizing \( K \) and \( V \) through a basis change \( \phi_i = M_{ij} \tilde{\phi}_j \). This can be done in two steps. First, we find an \( M_1 \) that brings \( K \) to the pseudo-identity \( I_{N^-,N^+} \), i.e.,
\[ M_1^T K M_1 = I_{N^-,N^+} = \begin{pmatrix} -I_{N^-} & 0 \\ 0 & I_{N^+} \end{pmatrix} \] (10)

For the state \( \nu = 9/5 \), we find
\[ M_1 = \begin{pmatrix} \frac{-5 \lambda^2}{2} & \frac{-2+5 \lambda^2}{2} & \frac{-5 \lambda}{\sqrt{5}} \\ 2 + 5 \lambda + 5 \lambda^2 & -5 \lambda - 5 \lambda^2 & \frac{5 + 10 \lambda}{\sqrt{5}} \\ \frac{-2-4-5 \lambda^2}{2} & \frac{4 \lambda + 5 \lambda^2}{2} & \frac{-2-5 \lambda}{\sqrt{5}} \end{pmatrix}, \] (11)
and \( (N^-,N^+) = (1,2) \). Then we find the second basis change \( M_2 \) that diagonalizes \( V \) while leaves the pseudo-identity invariant. In other words, \( M_2 \) belongs to the proper pseudo-orthogonal group \( SO(N^-,N^+) \). The new basis \( \tilde{\phi} = (M_1 M_2)^{-1} \phi \),
\[ \tilde{l} = M_1^T M_1^T l \]
\[ \tilde{K} = I_{N^-,N^+} = M_1^T M_1^T K M_1 M_2 \]
\[ \tilde{V} = M_1^T M_1^T V M_1 M_2. \] (12)

Thus the functions \( K(l) \) and \( \Delta(l) \) are basis-independent. Now that both \( \tilde{V} \) and \( \tilde{K}^{-1} \) become diagonal, the correlation functions are trivial:
\[ \langle e^{i \tilde{\phi}_i(x,t)} e^{-i \tilde{\phi}_i(0,0)} \rangle \propto \frac{1}{1 + \nu_{\phi} l} \]
where the sign depends on whether \( \tilde{\phi}_j \) appears with \(-1\) or \(+1\) in \( I_{N^-,N^+} \). Consequently, the scaling dimension of the operator \( \exp(i l_j \phi_j) \) is found to be \( \Delta(l) = \tilde{l}_j \tilde{l}_j = \lambda \Delta(\lambda_1 \lambda_2) \), with
\[ 2 \Delta = M_1 M_2 M_1^T M_2^T. \] (13)

Drawing analogy from special relativity, we can factor \( M_2 \) into a product of a symmetric positive matrix \( B \) analogous to the Lorentz boost and an orthogonal matrix \( R \): \( M_2 = BR \). Eq. (13) becomes
\[ 2 \Delta = M_1 M_2 M_1^T M_2^T \]
\[ M_1 B^2 M_2^T. \] (14)

For the \( \nu = 9/5 \) state, the matrices \( B \) and \( R \) can be parameterized as
\[ B = \begin{pmatrix} \gamma & \gamma \beta_1 & \gamma \beta_2 \\ \gamma \beta_1 & 1 + \gamma^2 \beta_1^2 & \gamma \beta_2 \beta_1 \gamma \beta_1 \gamma + \gamma^2 \beta_1^2 \\ \gamma \beta_2 & \gamma \beta_1 \gamma \beta_2 \gamma + \gamma^2 \beta_1^2 & 1 + \gamma^2 \beta_1^2 \end{pmatrix}, \]
\[ R = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \varphi & -\sin \varphi \\ 0 & \sin \varphi & \cos \varphi \end{pmatrix} \] (15)

where \( \gamma = 1/\sqrt{1 - \beta^2} \). Note that \( V = (M_1^T)^{-1} (M_2^T)^{-1} \tilde{V} (M_1)^{-1} (M_2)^{-1} \), thus \( \lambda, \beta_1, \beta_2, \varphi \) and the three diagonal elements in \( \tilde{V} \), \( (\nu_1, \nu_2, \nu_3) \), can be viewed as a parameterization of \( V \). Since \( V \) contains only six independent parameters, we may set one of the above seven parameters to zero.

For neutral null vectors \( m_{1,2} \), the quasi-particle operators \( V_{m_1} \equiv e^{i p x} \exp \{ i (m_1) \phi_j \} \) and \( V_{m_2} \equiv e^{i p x} \exp \{ i (m_2) \phi_j \} \) carry trivial quantum number \( \lambda \) and the generic edge Hamiltonian/action contains a term \( \Gamma_1 V_{m_1} + \Gamma_2 V_{m_2} + h.c. \). The problem here is that how this quasi-particle term affect the dynamics of low lying edge excitations. First let us consider when the quasi-particle term becomes a relevant perturbation.

The scaling dimensions of \( V_{m_{1,2}} \) are found to be
\[ \Delta(m_1) = \gamma^2 \{-1 + \beta_1 \}^2 \]
and
\[ \Delta(m_2) = \frac{1}{2} \gamma^2 \{-1 + \beta_1 \}^2 \]
\[ (4 + 30 \lambda + 45 \lambda^2)^2 + (-4 + 30 \lambda + 45 \lambda^2)^2 \]
\[ (-1 + \beta_1 + 3 \lambda \beta_2)^2. \]

Note that \( \Delta(m_{1,2}) \) depend only on \( \lambda, \beta_1, \beta_2 \), and in the rest of the paper we will set one of the redundant parameter \( \beta_2 = 0 \).
In one area of the $\beta_1$-$\lambda$ plan, we find that both $\Delta(m_{1,2}) > 2$ (see Fig. 1). In this case, both the neutral quasi-particle operators are irrelevant and can be dropped at low energies. Therefore in this area of the $\beta_1$-$\lambda$ plan, the neutral quasi-particle operators do not cause any instability, and the edge theory is still described by Eq. (1), and has three gapless branches. We also see in Fig. 1 that in another area, $\Delta(m_1) < 2$ and $\Delta(m_2) > 2$. In this case only the $V_{m_i}$ is relevant. In the following, we will drop the $V_{m_2}$ term and consider the effect of the $V_{m_1}$ term.

![FIG. 1. Plot of scaling dimension of the two neutral null operators for $\nu = 9/5$ edge as functions of $(\beta_1, \lambda)$. Dash lines indicate when operators become marginal $(\Delta(m) = 2)$ and solid lines indicate the soluble case where $(\Delta(m) = 1)$.](image)

In general, it is very difficult to solve our model with a relevant quasi-particle operator. However, in a special case when $(\tilde{m}_1)_{3,0}$ (where $\tilde{m}_1 = (M_1 M_2)^T m_1$), the problem can be simplified. This is because when $(\tilde{m}_1)_{3,0}$ the mode described by $\phi_3$ is decoupled from the modes described by $\phi_{1,2}$ even in the presence of the $V_{m_1}$ quasi-particle operator. In this case, at least the sector described by $\phi_3$ can be solved, which describes a gapless edge mode. The condition for $(\tilde{m}_1)_{3,0}$ can be satisfied if and only if $\varphi = 0, \pi$.

Now let us concentrate on the dynamics of the decoupled sector described by $\tilde{\phi}_{1,2}$. First we will show that if in addition to $\varphi = 0, \pi$, we also have $\Delta(m_1) = 1$, then the $\phi_{1,2}$ sector can be solved exactly. The condition for the operator $V_{m_1}$ to have a scaling dimension 1 is $\beta_1 = 0$. Under this condition (note we have already set $\beta_2 = 0$ and $\varphi = 0, \pi$), we have $\tilde{m}_1 = (-1, 1, 0)$. We can fermionize $\exp(i\tilde{\phi}_1) \propto e^{a_1 \tau_1} \psi_1$, $\exp(i\tilde{\phi}_2) \propto e^{a_2 \tau_2} \psi_2$ with properly chosen $a_{1,2}$ to obtain $V_{m_1} \propto \psi_1^\dagger \psi_2$ and

$$\frac{1}{4\pi} \left[ \sum_{a=1,2} \psi_1^\dagger (\partial_a + (-)^a v_a \partial_x + \mu) \psi_a + (\Gamma \psi_1^\dagger \psi_2 + h.c.) + \partial_x \tilde{\phi}_3 \partial_x \tilde{\phi}_2 + \psi_1 (\partial_x \tilde{\phi}_3)^2 \right].$$

with $\mu = p_1 (v_1 + v_2)$. Now we see that the system described by Eq. (14) is a free fermion theory and is exactly soluble. We find that when $\Gamma > \mu$ the $\psi_{1,2}$ sector is gapless. The low lying excitations are described by gapless free fermions $\psi_{1,2}$ and bosons $\phi_3$, and form three gapless branches. We will call such a phase three-branch phase. When $\Gamma > \mu$, the $\psi_{1,2}$ sector has a finite energy gap, and there is only one gapless branch described by $\phi_3$. Such a phase will be called one-branch phase.

We note that the one-branch phase is very stable. Respect to the one-branch fixed point, any change in $V$ (or in $\lambda, \varphi, v_1, v_2, v_3$) corresponds to an irrelevant or exactly marginal perturbation. (Only a change in $v_3$ corresponds to an exactly marginal perturbation.) In particular if we change $\varphi$ away from 0, $\pi$, it will flow back to 0, $\pi$ at low energies. Therefore, the edge can be in the one-branch phase for a finite volume in the parameter space of $V$ if $\Gamma$ is large enough. The gapless branch is always described by $\phi_3$. $\varphi$ will flow to 0 or $\pi$ in the one-branch phase.

Now let us consider the correlation of a generic quasi-particle operator $V_l \equiv e^{i\tilde{\phi}_3} e^{i\tilde{\phi}_2}$ where $l = M_l^T M_l$. (Note that, when $l = l$, $V_l$ will describe an electron operator.) In the three-branch phase, $V_l$ has an algebraic correlation which can be calculated through the bosonization. In the one-branch phase, $\varphi$ flows to 0, $\pi$, $(\tilde{m}_1)_{3,0} = 0$ and the $\phi_3$ sector decouples with the $\phi_{1,2}$ sector. We may write $V_l$ as $V_l = V_l e^{i(l)_{3,2}}$ and $V_{l'} = e^{i(l)_{1,3}} e^{i(l)_{1,2}}$. It is easy to find $\langle e^{i(l)_{3,2}} e^{i(l)_{1,2}} \rangle = (x - \nu t) - (l)_{1,2}^2$. Thus we can concentrate on the $\phi_{1,2}$ sector and the calculation of $V_l'$.

First we note that if we write the edge partition function in the form of imaginary-time path integral and expand it in power of $\Gamma$, the edge partition function will have the same form as the partition function of a 2D Coulomb gas. The “particles” in the Coulomb gas correspond to $V_{m_1}$ and $V_{m_1}^\dagger$. The interaction potential is given by

$$-\ln \langle V_{m_1}(z) V_{m_1}^\dagger(0) \rangle = \Delta(m_1) \ln |z|^2$$

if we assume, for the time being, $p_1 = 0$. When $\Delta(m_1) < 2$, the Coulomb gas is in the plasma phase and the $\phi_{1,2}$ sector has a finite energy gap. In the Coulomb gas picture, calculating the correlation of $V_l'$ corresponds to calculating the change $\Delta E$ in the energy of the Coulomb gas when we insert two test charges correspond to $V_l'$ and $V_l'$. (The correlation function is given by $e^{-\Delta E}$.) From the correlation

$$-\ln \langle V_{l'}(z) V_{m_1}^\dagger(0) \rangle = (\tilde{l})_{1,3}(\tilde{m}_1)_{1,2} \ln z^{*} + (\tilde{l})_{2,3}(\tilde{m}_1)_{2,3} \ln z + ...$$

we see that if

$$- (\tilde{l})_{1}(\tilde{m}_1)_{1} + (\tilde{l})_{2}(\tilde{m}_1)_{2} = iK^{-1} \tilde{m}_1 = lK^{-1} m_1 = 0,$$

then $V_l'$ will indeed correspond to a charged particle in the Coulomb gas, since the interaction potential is
real. Thus in the plasma phase, $V_l'$ will have a finite and constant correlation at long distance due to the complete screening of the plasma phase which gives $\Delta E = 0$. Now $V_l'$ can be replaced by a pure number and the correlation of $V_l$ is just $(x - vt)^{-\nu_1}$. Note that $(\hat{m}_1)^2 = (\hat{m}_2)^2$ and $\nu_{K^{-1}m_1} = 0$ requires $(\hat{l}_2) = (\hat{l}_3)^2$, thus $(\hat{l}_1) = (\hat{l}_2) + (\hat{l}_3) = \nu_{K^{-1}l} = \nu_{K^{-1}l}$. Therefore, $\{V_l V_l^\dagger\} = (x - vt)^{-\nu_1}$ in the plasma phase when $\nu_{K^{-1}m_1} = 0$.

When $\nu_{K^{-1}m_1} \neq 0$, the interaction potential is a complex function. As the particles in the Coulomb gas move around the test charge, the partition function can have arbitrary phases which average out to zero, unless the two test charges sit at the same space-time point. Therefore, we expect $V_l'$ to have a short ranged correlation in the plasma phase. As a consequence $V_l$ also has a short ranged correlation in the plasma phase when $\nu_{K^{-1}m_1} \neq 0$.

In the above we have assumed that $p_1 = 0$. If $p_1 \neq 0$ then we need $\Gamma > \mu$ to open an energy gap in the $\phi_1, 2$ sector and to be in the one-branch phase. All the above results remain to be valid if we regard the plasma phase mentioned above as the one-branch phase.

Now let us apply the above results to the correlation of the electron operator given by $V_{t_e}$, where $l_e$ is given in Eq. (5). We find that $l_e K^{-1} m_1 = 5 - 3(2k_1 + k_2)$, which can never vanish for integer $k_1, 2$. This means that the electron correlation is short ranged in space-time in the one-branch phase. It costs a finite energy to add (remove) an electron to (from) the edge in the one-branch phase.

We next consider a more general $n$-electron operators described by $l_{ne} = (k_1, k_2, -5(n - k_1 - k_2))$. We find that $l_{ne} K^{-1} m_1 = 5n - 3(2k_1 + k_2)$. Thus, in the one-branch phase, $n$-electron operator is gapless if and only if $n$ is multiples of 3. The correlation of the $3m$-electron operator $V_{l_{3mne}}$ has an algebraic decay if $k_2 = 5m - 2k_1$. The exponent is $5n^2$.

For a generic quasi-particle operator $V_l$, we find that $\nu_{K^{-1}m} = 0$ requires $l_3 = 2l_2 - l_1$. Only those quasi-particles are gapless. The exponent of the quasi-particle correlation is found to be $(2l_1 + l_2)/5$ and the charge of the quasi-particle is $3(2l_1 + l_2)/5$.

We see that the edge excitations in the one-branch phase are exactly those of the 1/5 Laughlin state. But the particles that form the Laughlin state carry charge $3e$. Such a state is described by $K = (5)$ and $t = (3)$. Thus the transition from the three-branch phase to the one-branch phase on the edge of the $\nu = 9/5$ state can be viewed as a binding transition in which electrons form triplet bound states.

To summarize, we find that when the velocity matrix $V_{t_j}$ makes $\Delta(m_1) < 2$ and when $\Gamma$ is large enough, the edge of the $\nu = 9/5$ state has a transition from the three-branch phase to the one-branch phase. Charge $e$ excitations open up a finite energy gap across the transition, while charge $3e$ excitations remain gapless in both phases.

So far, we only discussed the binding transition caused by $V_{m_1}$. $V_{m_2}$ will cause the exactly the same binding transition due to a symmetry between $m_1$ and $m_2$ (see Eq. (4) and Eq. (5)).

To see the physical effect of the binding transition, let us consider tunneling between the $\nu = 9/5$ and the $\nu = 1$ (metal) states. Before the binding transition, all the three branches contribute to the exponent of the tunneling conductance at finite temperature. After binding transition, the first two branches become gaped, and there is only one gapless mode. Furthermore, the single electron tunneling also opens up a finite gap. Only three-electron co-tunneling is gapless, which gives $I \propto V^{13}$ at zero temperature and $dI/dV|_{V=0} \propto T^{12}$ at finite temperatures.

Experimentally, the $\nu = 9/5$ state is not spin polarized. The tunneling process that causes the binding transition also flip spins for single-layer systems. Thus, strong spin-orbit coupling is necessary to see the binding transition in single layer systems. It might be easier to observe the binding transition in double-layer $\nu = 9/5$ state.

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