Smart and Secure CAV Networks Empowered by AI-Enabled Blockchain: The Next Frontier for Intelligent Safe Driving Assessment

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Abstract—Securing safe driving for connected and autonomous vehicles (CAVs) continues to be a widespread concern, despite various sophisticated functions delivered by artificial intelligence for in-vehicle devices. Diverse malicious network attacks are ubiquitous, along with the worldwide implementation of the Internet of Vehicles, which exposes a range of reliability and privacy threats for managing data in CAV networks. Combined with the fact that the capability of existing CAVs in handling intensive computation tasks is limited, this implies a need for designing an efficient assessment system to guarantee autonomous driving safety without compromising data security. In this article we propose a novel framework, namely Blockchain-enabled intElligent Safe-driving assessment (BEST), which offers a smart and reliable approach for conducting safe driving supervision while protecting vehicular information. Specifically, a promising solution that exploits a long short-term memory model is introduced to assess the safety level of the moving CAVs. Then we investigate how a distributed blockchain obtains adequate trustworthiness and robustness for CAV data by adopting a byzantine fault tolerance-based delegated proof-of-stake consensus mechanism. Simulation results demonstrate that our presented BEST gains better data credibility with a higher prediction accuracy for vehicular safety assessment when compared with existing schemes. Finally, we discuss several open challenges that need to be addressed in future CAV networks.

I. INTRODUCTION

With the proliferation of information demands among connected vehicles, maintaining wireless connectivity between vehicular networks and roadside infrastructures is becoming increasingly indispensable. In this setup, the connection is primarily carried out through specialized communication technologies. For example, roadside unit-based (RSU) dedicated short-range communications (DSRC) or base station-enabled cellular networks [1]. Both safe road surveillance and reliable vehicle control can be further ensured by allowing vehicle-to-everything communication. Additionally, the advancement of artificial intelligence (AI) has garnered a great deal of significance in vehicular networks – connected and autonomous vehicles (CAVs) – to liberate humans physically and mentally from daily driving tasks. Thanks to intelligent navigation, automated scheduling, and orderly driving, the promotion of CAV applications not only mitigates traffic congestion and resource consumption, but also enforces travel effectiveness and even reduces the casualty rates of traffic accidents [2], [3]. Nevertheless, the current CAV network still faces several challenges, which can be briefly attributed to the following two aspects.

- **Driving Safety**: Since the ultimate goal of autonomous driving is to reach the fifth level (full automation) as defined by the Society of Automotive Engineers [4], the autonomy of the vehicle itself should be the most critical factor for safety. However, a malfunction resulting from unexpected bugs or security breaches may cause catastrophic consequences, including severe safety incidents or even casualties, such as the Uber accident that occurred in 2018 [5].
- **Data Security**: The security and authenticity of vehicular data are also crucial for driving safety. Unfortunately, current identification, authentication, and management for vehicular information are handled by third parties. As a result of this centralized management architecture, the fears of data tampering and privacy leakage are growing and leading to a core problem in trust. Further more, diverse malicious attacks on CAV networks have become pervasive (e.g., camera blinding and GPS jamming [6]) with its unceasing application scale.

In response to the aforementioned issues, the fusion of deep learning (DL) and blockchain techniques appears to be a promising solution. DL, with its powerful neural networks [7], should be a necessity to solve complicated prediction problems. This can be applied as an attractive method to accurately supervise the driving status of CAVs and then exploit the obtained feedback to implement proper countermeasures to the misbehaving vehicles, thereby efficiently preventing accidents. Meanwhile, blockchain, as an authority decentralized technique, leverages a distributed digital ledger that records authorized transactions in blocks without the need for a central trusted medium, which guarantees ample trustworthiness and credibility for vehicular data management [8].

Nevertheless, a perfect rationale of how to integrate DL with blockchain is critical for the CAV network design. Considering the complex vehicular environments, the intrinsic feature that combines the two is the status information of mobile CAVs. Therefore, we specially consider to take the status data as the core hub between DL and blockchain models. On the one hand, the data is obviously indispensable for DL to accurately assess the vehicular safety level. On the other hand, blockchain can authenticate data to prevent fake or dishonest content from mixing into the network to cause chaos, as...
In this article, we propose a novel framework of Blockchain-enabled intElligent Safe-driving assessemT (BEST) for CAV networks, as shown in Fig. 1. Specifically, BEST comprises two components: an intElligent Safe-driving assessmenT (EST) scheme and a blockchain network underpinning a data management platform. For the EST scheme, a long short-term memory (LSTM) model is first adopted to cope with time series related prediction problems and align with high vehicular dynamics. By analyzing the driving status in different time slots, each CAV can receive a current safety level from the LSTM, as well as potential countermeasures, which can be processed and executed in the cloud. In addition, we further introduce a consortium blockchain to guarantee information security and privacy, which is supported by a mobile edge computing (MEC) technique to alleviate the computational pressure. With the implementation of byzantine fault tolerance-based delegated proof-of-stake (BFT-DPoS) consensus mechanism, we store real-time driving status data in multiple blocks at a fast block generation speed, which not only makes vehicular information immutable and unforgeable, but also serves the EST scheme with data authentication and traceability. Moreover, simulations are conducted to compare the performance of BEST with existing schemes. The results show that our BEST can effectively avoid the false information sharing from malicious CAVs, and simultaneously assess the safety level for each CAV with a high accuracy. Finally, we outline several challenges and prospects of BEST from the perspectives of incentive, efficiency, and resource utilization in CAV networks.

For the remainder of this article, we first give an overview of the conventional CAV network along with several current obstacles to emphasize the significance of our BEST framework. Then, we specifically demonstrate how the LSTM performs safety level assessment to cooperate with the blockchain system. Afterward, the BEST is verified and discussed by simulation results. Finally, we open the doors for future direction and close with conclusions.
via vehicle-to-vehicle links, or to access an adjacent RSU using DSRC characterized by short-distance and low-latency communications.

Despite its promising prospects, there still exists several critical challenges. Generally, autonomous driving mainly relies on sensors and network controls, making CAVs susceptible to unknown malfunctions at any time. Although RSUs build a feasible bridge between CAVs and the Internet, they expose the CAV network to possible malicious attacks. Here, we list some existing challenges in the CAV network for summary.

**Limitations in Vehicular Capability:** Due to the increasing burden of data generation and limited processing capability, it becomes challenging for a single CAV to simultaneously perform tremendous computation and communication tasks. Additionally, the inaccuracy and inefficiency of in-vehicle devices detection may lead to erroneous decision making, thereby endangering the safety of passengers or passersby in close proximity.

**Threats on Data Security and Privacy:** In addition to the loopholes of CAV itself, it is more likely to suffer attacks from external networks compared with manually driven vehicles. Note that malicious attacks can be considered as harmful network viruses or massive fraudulent data spread by individuals or organizations to interfere with the normal operation of the network and achieve illegal purposes, such as stealing private information or forcibly seizing control of targeted vehicles. Apart from this, attacks from malicious participants are ubiquitous, pretending to be normal vehicles or servers that sneak into the network and gain benefits. These diverse attacks render conventional data protection methods (e.g., cryptography) to be inefficient and inappropriate when applied to CAV networks.

**Centralization of Network Management:** Generally, CAV networks are maintained via third entities with opening access, which may incur inevitable trust and security fears for clients as a result of centralization that makes networks more vulnerable to single point of attacks from the outside. Hypothetically, once the central sever is centrally damaged through the external attacks (e.g., distributed denial of service attack), it may result in severe consequences like transportation system paralysis or vast economic losses. Furthermore, as CAV networks continue to scale up, the centralized approach will become increasingly overwhelmed by handling and storing such massive data.

### B. BEST Framework

In order to tackle the challenging issues above, we propose a potential solution, namely the BEST framework for CAV networks. Notably, both components in BEST (EST scheme and blockchain network) are maintained and connected via RSUs. For the EST, an LSTM model is leveraged to assess the driving safety level of mobile CAVs, whereas each RSU is responsible for periodically collecting the driving status data of its covered vehicles. Meanwhile, RSUs can also promptly apply countermeasures to the vehicles with misbehaviors, by either warning or performing artificial suspension. Further, we integrate a scalable blockchain with the EST, in which transactions are securely encrypted, and the power that was originally held in a third entity can be evenly decentralized to all RSUs. Here, “transaction” can be interpreted as any information interaction in crypto between peers, mainly composed of the status records shared from mobile CAVs to RSUs in our roadmap, as depicted in Fig. The Hash encryption algorithm can guarantee the blockchain to defend against most malicious attacks, making the attackers almost impossible to forge or alter ledger without being detected. Note that each RSU participates in blockchain as a role of a blockchain node. Specially, all RSUs are functionally divided into two groups – consensus RSU nodes (CRNs) and ordinary RSU nodes (ORNs) – which are to match the adopted BFT-DPoS consensus mechanism.

The main focus of this article is to integrate them into one network to effectively address driving safety and data security issues at the same time. Precisely, we believe that blockchain and AI can complement each other in the BEST. First, since the recorded status data are well-reserved and easily traceable in chained blocks, this makes the blockchain a primary and reliable dataset for the LSTM. Besides, the predicted results of LSTM on the vehicular safety level can also be stored in the blockchain, offering key information for the next round of prediction. It is this compatible internal collaboration between blockchain and DL that makes the entire CAV network more reliable and resilient.

Herein, we take the workflow of a CAV registered in the BEST as an exemplification to facilitate understanding. The vehicle is first required to accurately capture various status information through its multiple sophisticated sensors while driving. Next, the data are uploaded to the adjacent RSU, and the blockchain can verify the data with the help of an effective consensus mechanism. Afterward, the current authenticated data and the data stored in blocks at the past time will be input into a well-designed and fully trained LSTM model for assessing the specific level of driving safety and making countermeasures. Furthermore, most computing tasks in the blockchain process can be offloaded by deploying MEC on the clusters of RSUs for promoting system efficiency. Considering cost issues and the relatively low-latency requirement of EST, the LSTM operation can be placed on remote cloud servers to firm ample computing resources while relieving the MEC servers and RSUs from extra computation load.

### III. OPERATIONS OF BEST IN CAV NETWORKS

In this section, we first illustrate the proposed EST scheme with an LSTM model to offer a smart and safe self-driving scenario. Then, the details of a blockchain system with its BFT-DPoS consensus mechanism applicable for CAV networks are presented, where its potential to assure data security and resilience for data management is highlighted.

#### A. LSTM-Enabled EST Scheme

As expounded before, due to the special operating mechanism and capacity constraints of CAVs, potential safety hazards cannot be completely eliminated. There is still a need to deploy effective and reliable supervision approaches to ensure
A given time interval $T$) of all registered CAVs with their digital signatures $\text{Sig}_V$ and public keys $K^u_V$. Here, the $\text{Sig}_V$ and $K^u_V$ are used to verify the vehicle’s identity. Then, currently received data will be certified via a consensus protocol of blockchain to get authorization. Elaborations on this will be provided later.

- **(Phase 2) Dataset Preparation**: After authentications, RSUs will update their local database of blockchain and simultaneously read the past driving records of each vehicle to supplement datasets for LSTM. Then, RSUs upload prepared datasets to the cloud servers and wait for the assessment feedback.

- **(Phase 3) AI Assessment Process**: Exploiting cloud computing, time-series data-based regression problem can be rapidly solved by the fully trained LSTM model. Owing to our settings, the outcome of LSTM is $(VRI || K^u_V || \text{Sig}_V)$, where the VRI ($VRI \in (0, 1)$) indicates the hazardous degree of current driving. Afterward, this result will be fed back to the corresponding RSU of each CAV.

- **(Phase 4) VRI Analysis**: VRI represents the current safe driving circumstance of a moving CAV, where the lower the value, the safer the vehicle. Moreover, there should be different VRI thresholds considering the complex and dynamic road conditions in reality. Here, we take two standards as examples, i.e., a safe threshold of $\alpha$ and a dangerous threshold of $\beta$, respectively, where $0 < \alpha < \beta < 1$.

- **(Phase 5) Countermeasure Response**: According to the feedback, appropriate countermeasures are taken in time for the misbehaving CAVs with higher VRI values. For instance, an urgent warning should be given when VRI is at a medium level, i.e., $VRI \in (\alpha, \beta]$. Similarly, when $VRI \in (\beta, 1]$, stricter measures (e.g., human intervention...
or forced suspension) should be executed immediately to prevent further serious consequences.

B. BFT-DPoS-Based Blockchain System

Since the vehicular communication mainly relies on the cluster of approved RSUs that allow external clients to conduct data interaction in an authorized manner, a consortium blockchain is really suitable in this framework. Generally, the most commonly used consensus mechanism in blockchain applications is Proof-of-Work (PoW) or Proof-of-Stake (PoS). However, neither of these is the optimal alternative for autonomous driving. PoW demands countless computation resources with considerable power consumption to complete mining tasks, which would create a burden on RSUs, even with the help of MEC. Besides, PoS requires hash calculation-based mining operation with global validation, resulting in weak supervision and low efficiency.

For a better collaboration with EST, a BFT-DPoS consensus protocol is applied in our blockchain, as shown in Fig. 4, which ensures excellent transaction throughput necessary to support real-time operations in the CAV network. As an exemplification, cryptocurrency EOS leverages BFT-DPoS to reach an irreversible consensus within only 1 second [14]. Specifically, DPoS is a democratic form of PoS based on the consensus nodes (i.e., CRNs group) voted via public delegation (i.e., all RSUs). Once finalizing a round of delegation procedure, CRNs are able to exercise their authorities of ledger management. Moreover, by incorporating an extra layer of BFT, DPoS mechanism can further guarantee a robust and highly valid blockchain with low consensus delay [15]. To elaborate further, we give the workflow of BFT-DPoS process as follows.

- **Preparations:** Initially, the network elects several most trusted RSUs as CRNs based on the token deposits proportion voted in a stake pool, where more details can be discovered in [15]. The rest ones become the ordinary nodes (i.e., ORNs). They are only responsible for data interaction and blockchain storage. Next, a new round of consensus process is capable for commencement.

- **(Step 1) Block Producer Election:** According to the stake information fetched from all CRNs, a pseudorandom sequence of block generation opportunities is first generated. Correspondingly, each CRN is elected as a producer to propose new blocks in a round-robin fashion, while the others act as validators for auditing the new block at the same time.

- **(Step 2) New Block Generation:** The producer collects all records of vehicular driving status that occurred within $T$, then uses its private key to encrypt and pack them into a new block. Meanwhile, producer’s signature $\text{Sig}_{\text{pro}}$ with its public key is also attached to insure that validators can confirm the block source.

- **(Step 3) New Block Validation:** The BFT-DPoS enables the producer to broadcast new block to all validators at once, which replaces the traditional approach of sequential validation in DPoS and significantly promotes the validation efficiency. After that, each validator compares the received duplicated block with local replicas to verify the authenticity and feed the result with its signature $\text{Sig}_{\text{val}}$ back to producer.

- **(Step 4) Result Confirmation:** Based on the BFT rule, when exceeding $2/3$ different signed blocks are received by the producer [15], this new block is deemed valid and irreversible. Otherwise, the system will forcibly suspend the current procedure and return to the Step 1 to prepare for the
Vehicular data Collection (in a given timeframe)
Consensus Process
Blockchain Updating
Successful
Failed
Token Rewards to RSUs
Commence a new round
Punishments to misbehaving RSUs
Step into the next round
Reelection for new delegates
Rotation of a new producer

Fig. 4. The $K_{th}$ round of BFT-DPoS-based consensus process in a consortium blockchain: a) information sharing; b) records collection; c) new block broadcast; d) authentication feedback; e) blockchain update; f) data response.

next new round of consensus.

(Step 5) Blockchain Extension: After confirming that the new block is valid, the producer conducts the second broadcast to RSUs (both CRNs and ORNs) to complete the blockchain update. In the meantime, a new round of consensus process will commence from the next producer in the established sequence. Consequently, the driving records gathered by RSUs can be uploaded to the EST with authorization.

Rewards and Punishments: To enforce integrity and credibility in blockchain, a reward and punishment-based incentive mechanism is devised to encourage trustworthy delegation and consensus participation. After each round, CRNs receive token rewards proportional to the deposits they voted. This rule is also applied to the ORNs to gain some dividends. However, the CRNs with misbehaviors will be confronted with the risks of voting out and token deduction. If one RSU is removed from the committee, a new replacement will be reelected from the ORNs to fill the vacancy.

In summary, the proposed BEST can offer sufficient security protection for vehicular data and driving. Nevertheless, since the delay and communication overhead cannot be neglected in such a time-critical driving scenario, we briefly analyze their impact in the BEST for clearer understanding. First, the communication delay in BEST is the same when compared to conventional CAV networks because no further burden is imposed on the communication interplay phase. For the computing delay, note that no extra delay is caused by the EST scheme, thanks to the pre-training mechanism that can make a well-trained LSTM be directly used for prediction. Meanwhile, we also deploy powerful MEC serves, as aforementioned, to offload most blockchain tasks from the RSUs and greatly reduce the computing delay. Besides, the BFT-DPoS consensus we choose is a very efficient mechanism (0.5 seconds per block [14]), where the delay can be considered tolerable in the BEST scenario at such a fast block generation speed. As for the communication overhead, it can be observed in Fig. 4, where only a small amount of signaling interaction is demanded for blockchain to finalize a round of consensus confirmation, and while only several bits are required for each signaling transmission. In this context, either the delay or the communication overhead issue can be well tackled in a BEST-enabled CAV network.

IV. SIMULATION RESULTS AND ANALYSIS

In this section, simulations are presented to demonstrate the performance of the proposed BEST (i.e., blockchain with LSTM) when compared with three other combination schemes between LSTM, deep neural network (DNN), blockchain, and centralization approaches, in environments involving multiple malicious CAVs. Herein, the centralization approach indicates that all data from CAVs are managed solely by a central entity, which is only accountable for storing the uploaded information, and adopting the conventional data encryption method like cryptography but without any participation of blockchain. Besides, we choose the most commonly used DNN model as the benchmark of LSTM, where the aforementioned VRI metric is set as the target monitoring parameter.
In our simulations, the BEST framework is implemented in a computer with six CPU cores and Inter Core i7 processor, while the main software environment is Tensorflow 2.1.0 and Python 3.7. We first simulate a general CAV network scenario, in which the numbers of RSUs and CAVs are set to 20 and 300, respectively. Specifically, RSUs are set in a given area and have fixed positions, while the CAVs randomly locate and each CAV is associated with their nearest RSU for simplicity. For each CAV, the initial velocity is randomly distributed between $0 \sim 50km/h$ with a steady acceleration between $-10 \sim 10m/s^2$. Note that these parameters are only as the input status data without affecting any performance of the BEST. Some other status information, including the amount of neighboring vehicles, minimum distance to other vehicles, and position, etc., is also shared with its adjacent RSU per second. Herein, we assume that all CAVs have fixed driving directions, and all driving status data within 10 seconds of the CAVs are then collected for subsequent analysis. For VRI calculation, we initially set a total of four risk levels (low, medium, high, and accident level) for CAVs in the simulation, thereby becoming a classification optimization problem (i.e., cross-entropy is adopted as the loss function). For blockchain system, the RSU-enabled consensus rule is considered and deployed in this network, where the block size is 8 MB and the maximum block interval is set as 1 second. Meanwhile, a two-layer LSTM is constructed to predict VRI with a comparison object of a four-layer DNN. Dropout technique is also exploited to avoid the overfitting problem and an Adam optimizer is utilized for gradient updates.

As depicted in Fig. 5, we first show the loss convergence results for VRI predictions under the four different schemes. Obviously, the proposed integrated framework of LSTM with blockchain achieves the optimal VRI prediction loss of around 0.2 in 50 training iterations, which far outperforms the case using DNN instead. Notably, we add 50 malicious CAVs in this figure to continuously forge fake, meaningless, and dishonest content, and upload them to RSUs for causing chaos to the training dataset. This setting aims to better test and compare their respective tolerance to malicious content attacks. By observing the curve trends of centralized approaches, it seems that traditional cryptography cannot effectively preclude the negative impacts from fake content attacks, causing a high prediction loss. Nevertheless, the schemes using the blockchain technique can significantly identify and eliminate false content in the contaminated dataset and attain very low loss with fast convergence speed.

Next, we further compare the accuracy of VRI assessment with different numbers of malicious CAVs participating in the network as shown in Fig. 6, thus to investigate the robustness of the four schemes. It can be clearly seen that the proposed BEST always maintains high prediction accuracy of $92.5 \sim 93.8\%$, while the accuracies of other approaches are far below it (all lower than 85.6\%). For instance, the VRI assessment performance of centralized approaches drops drastically as the number of malicious CAVs increases from 0 to 100. Due to its inability to authenticate fake data, the performance apparently becomes poor when many malicious CAVs exist. In contrast, the blockchain method can successfully detect and eliminate these fake data via its powerful consensus rule and unforgeable unified ledger, greatly improving the purity of dataset and ensuring a relatively stable precision level for AI prediction. This conclusion is also highly consistent with the results obtained in Fig. 5. In summary, instantiations that rely on our BEST framework can smoothly realize safe-driving assessment at a very high accuracy, while gaining adequate data credibility and security in autonomous driving networks.

V. OPEN CHALLENGES AND DISCUSSIONS

In spite of many superiorities, the proposed BEST framework still imposes some associated and nontrivial challenges that should be discussed before unlocking its full potential.

**Inactive Information Sharing:** Since the actual effect of the proposed framework primarily depends on the information shared by CAVs in the communication community, vehicles may lack the enthusiasm to upload their data to RSUs without...
ample compensation. Therefore, a rewards-based incentive mechanism for CAVs can be embedded into the BEST to encourage vehicles to spontaneously share information and attract other vehicles to participate in this framework.  

**Highly Dynamic Road Conditions:** The road conditions of different RSUs vary according to their locations in the city; traffic congestion under the same RSU in different time periods is also distinct. This fact leads to an imbalance of task allocation in BEST, where an excessive amount of vehicular contents may be sent to a single RSU while other RSUs only receive a few. To this end, proposing a real-time task scheduling scheme for RSUs can promote the effectiveness of information gathering for BEST under high road condition dynamics.

**Resources Allocation in CAVs:** It is inevitable to consume a certain amount of wireless communication resources for data collection, signal transmission, and information sharing in BEST. However, due to the limited resources, CAV networks have to allocate them across multiple devices with different communication tasks to reach an optimal resource utilization. In this case, reinforcement learning algorithms might be a promising solution to automatically and smartly achieve resource allocation for each operation in moving CAVs.

**VI. Conclusions**

In this article, we proposed a novel BEST framework that incorporates AI and consortium blockchain, offering driving safety and data security simultaneously in CAV networks. An LSTM model was applied in the EST scheme for evaluating the VRI, followed by a blockchain system for a supplement of data credibility through its powerful consensus mechanism. Simulation results further demonstrated that our BEST could maintain significantly high accuracy of driving risk assessment when compared to existing schemes, even if there is a large amount of false content interference from malicious CAVs. Finally, several open challenges and potential solutions were discussed. We hope that this work becomes a pioneer in building an efficient and reliable supervision system based on AI and blockchain to underpin future autonomous driving applications.

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