Sulfation Roasting Mechanism for Spent Lithium-Ion Battery Metal Oxides Under SO₂-O₂-Ar Atmosphere

JUNJIE SHI,1,2 CHAO PENG,1,3 MIN CHEN,1 YUN LI,1 HURMAN ERIC,1 LASSI KLEMETTINEN,1 MARI LUNDSTRÖM,1 PEKKA TASKINEN,1 and ARI JOKILAAKSO1,4

1.—Department of Chemical and Metallurgical Engineering, School of Chemical Engineering, Aalto University, Kemistintie 1F, P.O. Box 16100, 02150 Espoo, Finland. 2.—e-mail: junjieshi@126.com. 3.—e-mail: chao.peng@aalto.fi. 4.—e-mail: ari.jokilaakso@aalto.fi

Sulfation roasting followed by water leaching has been proposed as an alternative route for recycling valuable metals from spent lithium-ion batteries (LIBs). In the present work, the reaction mechanism of the sulfation roasting of synthetic LiCoO₂ was investigated by both thermodynamic calculations and roasting experiments under flowing 10% SO₂-1% O₂-89% Ar gas atmosphere at 700°C. The products and microstructural evolution processes were characterized by x-ray diffraction, scanning electron microscope and energy dispersive x-ray spectrometer, and atomic absorption spectroscopy. It was confirmed that Co₃O₄ was formed as an intermedia product, and the final roasted products were composed by Li₂SO₄, Li₂Co(SO₄)₂, and CoO. The leaching results indicated that 99.5% Li and 17.4% Co could be recovered into water after 120 min of roasting. The present results will provide the basis and solid guidelines for recycling of Li and Co from spent LIBs.
instance, chemical precipitation, solvent extraction or ion-exchange methods. Nonetheless, these hydrometallurgy technologies involve high levels of chemical consumption, weak adaptability to input materials, and high risk of fire or explosion during the discharging process. In contrast, pyrometallurgical technology such as smelting does not need an additional discharging process and exhibits strong adaptability to input materials. The high-temperature smelting process has been industrially utilized by various companies (e.g., Toxco Inc., USA; Sony Corp., France), through which Co and Ni can be recovered as alloys, whereas the majority of Li is ready to end up within the slag fraction and dusts.

Considering the abovementioned shortcomings of the hydrometallurgy and pyrometallurgy methods, researchers are starting to look for combined methods to treat LiBs waste, e.g., by nitration roasting, sulfation roasting, reduction roasting with carbon, or vacuum evaporation followed by water leaching. Among these methods, sulfation roasting-water leaching is considered one of the most promising as this process has been extensively investigated for the extraction of valuable metals such as Cu, Ni, Co, V, and Mn from different primary and secondary ores including pyrite, nickel sulfide ore, copper concentrate, and lepidolite. The core concept of this method is to selectively transfer the desired metals (e.g., Co and Li) into soluble sulfates, but leave the other unwanted impurities as insoluble oxides. A recent study by Wang et al. revealed that Li could be selectively extracted from spent LiBs via an indirect sulfation roasting process with the SO₃ gas generated by the decomposition of NaHSO₄·H₂O. However, the mechanism of the sulfation roasting process is still unclear, and more efforts are needed to fully understand it.

In the present work, sulfation roasting followed by water leaching is proposed for the recycling of spent LiBs, and the reaction mechanism under SO₂-O₂ atmosphere will be elaborated from both the thermodynamic and experimental aspects. The novelty of this process is not only an alternative method for handling spent LiBs, their lithium, and base metals, but also an option for recovering rare earth elements such as sulfates.

**MATERIALS AND METHODS**

**Materials**

To clarify the recycling mechanism of active materials present in spent LiBs under SO₂-O₂ atmosphere, synthetic LiCoO₂ powder with a purity of ≥ 97 wt.% (Alfa Aesar, Germany) was selected as a compound representing the typical cobalt-rich component of spent LiBs. LiCoO₂ powder was first dried at 120°C for 24 h to evaporate the water and other volatiles. For each experiment, an initial amount of 4.00 g (m₀) LiCoO₂ powder was weighed (by 0.0001 g balance) and uniformly distributed in the silica crucible (130 mm long, 30 mm wide, 9.5 mm high, 3.5 mm thick) for further steps.

**Sulfation Roasting Experiments**

Sulfation roasting experiments were carried out in a horizontal tube furnace (Fig. 1). The silica crucible containing LiCoO₂ powder was slowly pushed into the hot zone, and the temperature was measured by a K-type thermocouple and controlled by a Keithley 2000 multimeter intelligent temperature controller (accuracy of ± 1°C, Tektronix, Inc., Beaverton, OR, USA). After the temperature of the crucible reached the target value, a pre-roasting experiment was first conducted for sample S1 under 400 mL/min Ar (99.999%, AGA, Finland) atmosphere. Thereafter, samples S2 to S12 were employed to be roasted under SO₂-O₂-Ar gas mixture with a total flow rate of 400 mL/min. The gas composition was achieved by mixing SO₂ (99.99%) and O₂ (99.99%) with Ar (99.999%) (AGA, Finland). The flow rates of these gases were controlled by DFC digital mass flow meters (Aalborg, USA). The offgas was cleaned by NaOH solution with BTS as an indicator (bromothymol blue, C₂₇H₅₇Br₃Os, Sigma-Aldrich, USA) to remove SO₂ before venting to the fume hood.

After the preset roasting time, the silica crucible was quickly removed from the furnace and cooled in air. The reacted product after sulfation roasting (m₁) was accurately weighed to calculate the weight increase Δm (Δm =m₁−m₀, g) and relative weight increase ( Δm/m₀, %).

**Analytical Methods**

The roasted products were leached with water at 25°C for 60 min to produce a leachate and leach residues under the conditions of a solid/liquid ratio of 100 g/L. After the leaching step, the leachate was separated by centrifugation at 4000 rpm for 10 min (S/L) at room temperature. The leach residue was then fully digested by nitrohydrochloric acid to analyze the chemical composition. After proper dilution, solutions from the leachate and residue were analyzed by atomic absorption spectroscopy (AAS, Varian AA240, Agilent Technologies, CA, USA) to determine the concentrations of Li and Co.

The roasted samples and leach residues were characterized by x-ray diffraction (XRD, PANalytical XPert Pro Powder, Almelo, The Netherlands) using Co Kα radiation at a scan rate of 2°/min from 15° to 90° (acceleration potential 40 kV, current 30 mA). Quantitative phase composition of the XRD results was performed by HighScore Plus 4.1 software (PANalytical) using Rietveld fitting, based upon the PDF2 powder database. Meanwhile, the microstructures of phases were analyzed using a Tescan MIRA 3 scanning electron microscope (SEM, Tescan, Brno, Czech Republic) equipped with an UltraDry Silicon Drift energy-dispersive x-ray
spectrometer and NSS microanalysis software (EDS, Thermo Fisher Scientific, Waltham, MA, USA). An accelerating voltage of 5 kV and beam current of 10 nA were employed for the SEM analysis, while for the EDS detection, an accelerating voltage of 15 kV and a beam current of 20 nA were used. Proza (Phi–Rho–Z) matrix correction procedure was employed for raw data processing, and the standards utilized in EDS analyses were metal Co (for Co, Lα), quartz (for O, Kα), and marcasite (for S, Kα).

**Thermodynamic Calculations**

Nowadays, commercial thermodynamic software such as HSC has been developed to make predictions of complex reactions for oxide and sulfide systems. In the present work, HSC Chemistry was employed to calculate the equilibrium assemblages for the Li-Co-S-O system.

**RESULTS AND ANALYSIS**

**Thermodynamics**

The formation of sulfate can be described by the reactions of oxide in SO2-O2 gas mixtures or SO3 gas atmosphere, as shown in Eqs. 1, 2, and 3.

\[
\text{MO}_x(s) + 0.5x \text{O}_2(g) + x\text{SO}_2(g) = \text{M(SO}_4)_x(s) \\
2\text{SO}_2(g) + \text{O}_2(g) = 2\text{SO}_3(g) \\
\text{MO}_x(s) + x\text{SO}_3(g) = \text{M(SO}_4)_x(s)
\]

Therefore, the stability of the sulfates of interest was calculated by HSC and is shown in Fig. 2 as the predominance area diagram of the Li-Co-O-S system, at temperatures from 600°C to 800°C. It is clear that Li2SO4 is stable at higher SO2 and O2 partial pressures from 600°C to 800°C, while Co may exist as CoO, Co3O4, and CoSO4 in different O2 and SO2 partial pressures. Accordingly, the predominance area diagram could also be divided into five phase combination domains at a fixed temperature, i.e., Li2SO4 + CoSO4, Li2SO4 + CoO, Li2SO4 + Co3O4, Li2O + CoO, and Li2O + Co3O4. This means that a selective sulfation or co-sulfation roasting can be achieved by adjusting the operational point in the roaster. As a result, the experimental condition with an atmosphere of 10% SO2 and 1% O2 at 700°C (point A in Fig. 2) was selected for the sulfation roasting experiments to explore the reaction mechanism.
Macrophotograph and Weight Difference

The macrographs after sulfation roasting experiments under a 10% SO$_2$-1% O$_2$-89% Ar atmosphere at 700°C, as well as the weight differences (weight increase $\Delta m$ and relative weight increase $\alpha$) and the conversion ratios, are presented in detail in Fig. 3. Before the sulfation roasting experiment, a roasting pre-experiment was carried out for sample S1 under 400 mL/min Ar atmosphere at 700°C with 180 min. Both the macrophotograph in Fig. 3a and the weight difference in Fig. 3b indicate that there was no reaction during the process, and sample S1 still presented the original powder state compared with the raw material.

For samples S2 to S9, the color change in Fig. 3a clearly suggested that the sulfation roasting reaction continuously proceeded with time, extending from 2 min to 60 min. A light-yellow color could be observed at the left corner of sample S3 when the roasting time was increased to 5 min, while the other parts of sample S3 still kept the original color compared with the raw LiCoO$_2$ powder. Thereafter, the color changed gradually from the left to right side along with the gas flow direction when the time was increased from 5 min to 25 min, and only a small part at the right corner of the sample kept the original color at 25 min. When the time was further increased to 60 min, the entire sample turned light yellow, as demonstrated by sample S9 in Fig. 3a. It is worth mentioning that the sulfation roasting reaction was also characterized by the densification of the products, which means neck growth between the product particles.

The color change of the roasted samples was also accompanied by weight changes, characterized by weight increase $\Delta m$ and relative weight increase $\alpha$. The conversion ratio, $\beta$, is also shown in Fig. 3c.

Fig. 3. Macrograph of color evolution, weight change ($\Delta m$ and $\alpha$), and conversion during sulfation roasting at 700°C. (a) Macrographs; (b) $\Delta m$ and $\alpha$; (c) conversion ratio, $\beta$. 
in Fig. 3b. Figure 3b shows that the relative weight was rapidly increased from 3% at 2 min to 44% at 30 min. Thereafter, there was only a small weight increase even if the roasting time was increased to 240 min, which implies that most of the sulfation reactions were completed during the first 30 min. According to the experimental atmosphere of point A in Fig. 2, the final roasted products should be a blend of Li$_2$SO$_4$ + CoSO$_4$. This means the conversion $\beta$ should reach close to 100% when the time is extended beyond 30 min. However, an interesting result is discovered when conversion $\beta$ along with time is plotted with reference to the final roasted products of Li$_2$SO$_4$ + CoSO$_4$; this is shown in Fig. 3c with the black squares. The highest conversion only can reach 44%, even for 240 min, and this inconsistency implies some other phases must be formed during roasting. To solve this confusion, a simple assumption with the final products of Li$_2$SO$_4$ + CoO or Li$_2$SO$_4$ + Co$_3$O$_4$ was used to calculate the conversion for comparison in Fig. 3c. Conversions $>100\%$ were observed after 25 min for both assumptions, which indicates the final products were not simple combinations of Li$_2$SO$_4$ + CoO or Li$_2$SO$_4$ + Co$_3$O$_4$.

**Phase Evolution During Sulfation Roasting Reactions**

The existing phases and corresponding microstructures after sulfation roasting were characterized by XRD and SEM–EDS, as shown in detail in Fig. 4a, b, and c, respectively.

As observed in Fig. 3a, sulfation roasting gradually took place from the left to right side along with the gas flow direction, resulting in faster reactions on the left side than the right side of the crucible.
Therefore, sample S4 reacted for 10 min and sample S9 reacted for 60 min were taken as examples to explore the phase assemblies during roasting. Sample S4 was divided into three sections and labeled as left, center, and right along with the gas flow direction, as shown in Fig. 4a. Compared with the XRD patterns of raw LiCoO$_2$ powder, Li$_2$SO$_4$, Co$_3$O$_4$, and a small amount of CoO started to emerge in the right side of section S4. In the center section of S4, binary sulfate of Li$_2$Co(SO$_4$)$_2$ was formed. Meanwhile, the relative intensities of Li$_2$SO$_4$, Co$_3$O$_4$, and CoO became stronger, while the intensity of LiCoO$_2$ got weaker, which indicated development of an increasing fraction of Li$_2$SO$_4$, Co$_3$O$_4$, and CoO. Furthermore, in the left section of sample S4, it could be found that the relative intensities of Li$_2$SO$_4$, Li$_2$Co(SO$_4$)$_2$, and CoO increased, while the intensities of LiCoO$_2$ and Co$_3$O$_4$ phase decreased. Thus, Co$_3$O$_4$ was first produced as an intermediate product and consumed thereafter. The corresponding SEM microstructures for sample S4 are shown in Fig. 4b. Many small particles were observed on the surfaces of a bigger matrix when the roasting reaction proceeded from the right to the left section.

In Fig. 4a, more complete sulfation roasting results are demonstrated by sample S9. The XRD patterns of S9 showed that when increasing the roasting time to 60 min, there was no LiCoO$_2$ left, and the final products were Li$_2$SO$_4$, Li$_2$Co(SO$_4$)$_2$, CoO, and a small amount of Co$_3$O$_4$. This is consistent with the trends of the results in sample S4. The microstructure for sample S9 in Fig. 4b also showed many small particles. However, an obvious difference was also observed in the phase matrix. Signs of melting compared with the particles in sample S4 were observed, and the EDS results indicated the matrix as the sulfate, while the particles were mostly CoO, as shown in Fig. 4b.

In Fig. 4c, XRD patterns for samples roasted in 10% SO$_2$-1% O$_2$-89% Ar gas from 2 min to 240 min are plotted together for comparison. It can be concluded that with proceeding roasting reactions, the intensity of LiCoO$_2$ (20°–25°) kept decreasing until the peaks fully disappeared. At the same time, the intensities of Li$_2$Co(SO$_4$)$_2$ (17°–20°) and Li$_2$SO$_4$ (25°–27°) became increasingly strong. However, the XRD patterns between 40° and 45° indicate that the intensity of Co$_3$O$_4$ was first increased and then decreased. The intensity of CoO (40°–45°) also became stronger, implying a spontaneous transformation from Co$_3$O$_4$ to CoO. The XRD patterns from 40° to 45° also showed the intensity of LiCoO$_2$ phase faded away. Therefore, the final products after sulfation roasting were confirmed as Li$_2$SO$_4$, Li$_2$Co(SO$_4$)$_2$, and CoO, and this explains why the conversion β in Fig. 3b was much lower with the assumption of Li$_2$SO$_4$ + CoSO$_4$ as the final product or much higher with the assumption of Li$_2$SO$_4$ + CoO and Li$_2$SO$_4$ + Co$_3$O$_4$.

**Water Leaching**

The samples after sulfation roasting experiments were exposed to water leaching at 25°C for 60 min. The leaching results are shown in Fig. 5a and b, respectively. As Fig. 5a shows, the leaching yield of Li significantly increased from 6% at 2 min to 99% at 30 min, and 99.5% Li was finally recovered in water when the roasting time increased to 120 min. In contrast, the leaching efficiency of the cobalt element increased slowly, and only 17.4% Co was leached even after a roasting time of 120 min.

The XRD patterns of roasted sample S4 and S9 in Fig. 5b showed that only the oxides were left in the leaching residue, which means that the sulfates of Li$_2$SO$_4$ and Li$_2$Co(SO$_4$)$_2$ were leached by water. The corresponding SEM microstructures in Fig. 5b were demonstrated mainly as small particle phases, consistent with the microstructures found in Fig. 4b, indicating that the matrix phase was dissolved in water. Furthermore, the quantitative calculation based on the intensities of the phases indicated that the unreacted LiCoO$_2$ in the leaching residue dropped from 91% at 2 min to only 0.7% at 25 min, as shown in Fig. 5c. At the same time, the content of Co$_3$O$_4$ in residue was first increased to 21% at 10 min and then decreased to 1% at 25 min. Meanwhile, the CoO content continuously increased to 99.3% at 60 min; this result is consistent with the XRD results for sample S4 in Fig. 4a.

**Mechanism of the Sulfation Roasting Reaction**

A significant discrepancy was found between the predicted and experimental result, as the calculated CoSO$_4$ phase was not detected during sulfation roasting, while the detected Li$_2$Co(SO$_4$)$_2$ phase was not considered in the calculations by HSC. The Li$_2$SO$_4$-CoSO$_4$ binary phase diagram confirmed that Li$_2$Co(SO$_4$)$_2$ phase is a double sulfate with an incongruent melting temperature of 610°C. The reason why Li$_2$Co(SO$_4$)$_2$ was identified in the present experimental conditions must be that Li$_2$CO$_3$SO$_4$ becomes more stable in the higher partial pressure of a SO$_2$ atmosphere compared with the Ar gas used for the determination of the Li$_2$SO$_4$-CoSO$_4$ binary phase diagram. However, the thermodynamic data of Li$_2$Co(SO$_4$)$_2$ were not available in the HSC software database.

Based on the end products and discussions above, the sulfation roasting reaction under 10% SO$_2$-1% O$_2$-89% Ar gas mixture at 700°C can be described by the overall reaction R1. The roasting mechanism can be further subdivided into three stages, as shown in Fig. 6.

**Overall reaction:**

$$4\text{LiCoO}_2(s) + 3\text{SO}_2(g) + \text{O}_2(g) = \text{Li}_2\text{SO}_4(s) + \text{Li}_2\text{Co(SO}_4)_2(s) + 3\text{CoO (s)}$$

(R1)
The first stage was mainly related to the production of $\text{Co}_3\text{O}_4$ and $\text{Li}_2\text{SO}_4$, and the related reactions in stage 1 are shown as (R2) to (R6). According to the relationship of $\Delta G^\circ$ (kJ mol$^{-1}$) with temperature ($^\circ$C) calculated by HSC in Fig. 7a, $\text{LiCoO}_2$ would not decompose to oxides by reactions (R2) and (R3) at 700$^\circ$C. $\text{SO}_3$ was produced by reaction (R4), which made it feasible to transform $\text{LiCoO}_2$ to $\text{Li}_2\text{SO}_4$ and $\text{Co}_3\text{O}_4$ by both the sulfation roasting reactions in $\text{SO}_2$-$\text{O}_2$ gas mixture [reaction (R5)] and $\text{SO}_3$ gas [reaction (R6)]. During the second stage, the $\text{Co}_3\text{O}_4$
generated was consumed by the formation of Li$_2$Co(SO$_4$)$_2$ and CoO with the overall reaction (R7). As there are no thermodynamic data for Li$_2$Co(SO$_4$)$_2$, reactions (R8) to (R12) were then used as references to describe the process. As Fig. 7b shows, Co$_3$O$_4$ was reduced to produce CoO and CoSO$_4$ by either reaction (R8) or (R9), and the CoSO$_4$ generated was combined with Li$_2$SO$_4$ to produce Li$_2$Co(SO$_4$)$_2$ by reaction (R10). The sub-reactions (R8), (R9), and (R10) were only used to better understand the roasting process. As no CoSO$_4$ was detected during the roasting reactions, Li$_2$Co(SO$_4$)$_2$ was believed to be formed directly by reaction (R7). The CoO generated may also have been sulfated by reactions (R11) and (R12) to produce CoSO$_4$ and to accelerate the production of Li$_2$Co(SO$_4$)$_2$. Finally, the sulfation roasting reactions would be completed in stage 3 to obtain the end products of Li$_2$SO$_4$, Li$_2$Co(SO$_4$)$_2$, and CoO. This is the reason for the conversion calculations in Fig. 3c. Based on the overall reaction (R1), the conversion ratio was recalculated for a roasting time of 30 min to 240 min, and the results are plotted together in Fig. 3c. The conversion ratio rapidly reached 90% for 30 min and then gradually increased close to 100% with a roasting time further increased beyond 30 min.

Stage 1 ($\Delta G^0$ in kJ mol$^{-1}$, temperature $T$ in °C)

\[
\begin{align*}
\text{4LiCoO}_2(s) & = 2\text{Li}_2O(s) + 4\text{CoO}(s) + \text{O}_2(g) \\
\Delta G^0 & = 489.00476 - 0.30411T[41] \quad (R2)
\end{align*}
\]

\[
\begin{align*}
\text{12LiCoO}_2(s) & = 6\text{Li}_2O(s) + 4\text{Co}_3\text{O}_4(s) + \text{O}_2(g) \\
\Delta G^0 & = 811.59349 - 0.23849T[41] \quad (R3)
\end{align*}
\]

\[
\begin{align*}
2\text{SO}_2(g) + \text{O}_2(g) & = 2\text{SO}_3(g) \\
\Delta G^0 & = -146.2697 + 0.18775T[41] \quad (R4)
\end{align*}
\]

\[
\begin{align*}
6\text{LiCoO}_2(s) + 3\text{SO}_2(g) + \text{O}_2(g) & = 3\text{Li}_2\text{SO}_4(s) + 2\text{Co}_3\text{O}_4(s) \\
\Delta G^0 & = -974.36564 + 0.60604T[41] \quad (R5)
\end{align*}
\]

\[
\begin{align*}
12\text{LiCoO}_2(s) + 6\text{SO}_3(g) & = 6\text{Li}_2\text{SO}_4(s) + 4\text{Co}_3\text{O}_4(s) + \text{O}_2(g) \\
\Delta G^0 & = -1509.92219 + 0.64882T[41] \quad (R6)
\end{align*}
\]

Stage 2

\[
\begin{align*}
3\text{Co}_3\text{O}_4(s) + 7\text{SO}_2(g) + 2\text{O}_2(g) + 7\text{Li}_2\text{SO}_4(s) & = 7\text{Li}_2\text{Co(SO}_4)_2(s) + \text{CoO}(s) \quad (R7)
\end{align*}
\]

\[
\begin{align*}
3\text{Co}_3\text{O}_4(s) + 7\text{SO}_2(g) + 2\text{O}_2(g) & = 7\text{CoSO}_4(s) + \text{CoO}(s) \\
\Delta G^0 & = -1417.82422 + 1.4114T[41] \quad (R8)
\end{align*}
\]

\[
\begin{align*}
3\text{Co}_3\text{O}_4(s) + \text{SO}_2(g) & = \text{CoSO}_4(s) + 2\text{CoO}(s) \\
\Delta G^0 & = -108.91481 + 0.10537T[41] \quad (R9)
\end{align*}
\]

\[
\begin{align*}
\text{Li}_2\text{SO}_4(s) + \text{CoSO}_4(s) & = \text{Li}_2\text{Co(SO}_4)_2(s) \quad (R10)
\end{align*}
\]
\[ 2\text{CoO (s)} + 2\text{SO}_2(g) + \text{O}_2(g) = 2\text{CoSO}_4(\text{s}) \]
\[ \Delta G^0 = -545.53989 + 0.547657T \, [\text{kJ/mol}] \quad (R11) \]
\[ \text{CoO (s)} + \text{SO}_3(g) = \text{CoSO}_4(\text{s}) \]
\[ \Delta G^0 = -199.6351 + 0.17995T \, [\text{kJ/mol}] \quad (R12) \]

**CONCLUSION**

Sulfation roasting followed by water leaching has been proposed for the recycling of spent LiBs. The reaction mechanism was studied by the roasting of synthetic LiCoO$_2$ powder in 10% SO$_2$-1% O$_2$-89% Ar gas atmosphere at 700°C. The reaction patterns and corresponding microstructures were analyzed by XRD and SEM–EDS, while the Li and Co contents in the pregnant solution were characterized by AAS analysis. Based on the experimental results, the reaction mechanism under the present experimental condition was concluded as follows: LiCoO$_2$ powder was first converted to Li$_2$SO$_4$ and Co$_3$O$_4$; the produced Co$_3$O$_4$ was further reduced as CoO or combined with Li$_2$SO$_4$ to form Li$_2$Co(SO$_4$)$_2$. In the end, the products of Li$_2$SO$_4$, Li$_2$Co(SO$_4$)$_2$, and CoO were obtained. The experimental results were quite different from the thermodynamic predictions where Li$_2$SO$_4$ and Co$_3$O$_4$ were calculated as the final products, as Li$_2$Co(SO$_4$)$_2$ was not presented in the database. The achieved results suggest that sulfation roasting can provide a method for direct leaching of Li for improved Li recovery from battery waste. However, only 17.4% of Co could be extracted into the water, indicating a need for consequent development of an acid leaching process. The results from the present work are not only important for recycling of spent LiBs, but also can serve as a basis for the thermodynamic modeling of an Li-Co-O-S system. Moreover, the experimental results can also provide a method for consuming the SO$_2$ gas generated from metallurgical smelting processes, e.g., the copper matte converting process.

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