Investigating the maturation of microstructure and radial orientation in the preterm human cortex with diffusion MRI

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ABSTRACT

Preterm birth disrupts and alters the complex developmental processes in the cerebral cortex. This disruption may be a contributing factor to widespread delay and cognitive difficulties in the preterm population. Diffusion-weighted magnetic resonance imaging (DW MRI) is a noninvasive imaging technique that makes inferences about cellular structures, at scales smaller than the imaging resolution. One established finding is that DW MRI shows a transient radial alignment in the preterm cortex. In this study, we quantify this maturational process with the “radiality index”, a parameter that measures directional coherence, which we expect to change rapidly in the perinatal period. To measure this index, we used structural T2-weighted MRI to segment the cortex and generate cortical meshes. We obtained normal vectors for each face of the mesh and compared them to the principal diffusion direction, calculated by both the DTI and DIAMOND models, to generate the radiality index. The subjects included in this study were 89 infants born at fewer than 34 weeks completed gestation, each imaged at up to four timepoints between 27 and 42 weeks gestational age. In this manuscript, we quantify the longitudinal trajectory of radiality, fractional anisotropy and mean diffusivity from the DTI and DIAMOND models. For the radiality index and fractional anisotropy, the DIAMOND model offers improved sensitivity over the DTI model. The radiality index has a consistent progression across time, with the rate of change depending on the cortical lobe. The occipital lobe changes most rapidly, and the frontal and temporal least: this is commensurate with known developmental anatomy. Analysing the radiality index offers information complementary to other diffusion parameters.

1. Introduction

While infants survive preterm birth at increasing rates, it remains the primary cause of neonatal mortality, and associated morbidity often persists throughout the individual’s lifetime. Preterm infants are more likely to suffer from cerebral palsy, cognitive deficits, loss of neuro-motor function and have long-term difficulties in education (Saigal and Doyle, 2008). These disabilities are among the highest-priority public health concerns in Europe and the U.S.A (Behrman and Butler, 2007), and are associated with extended costs for perinatal care and ongoing support into adulthood (Petrou, 2003). Research into this population is necessary to better describe and understand abnormal brain development in preterm infants. This will facilitate more accurate assessment of the likelihood of specific deficits, and enable improved comparison of strategies for early therapeutic intervention.

Diffusion-Weighted MRI (DW MRI) is a non-ionising, non-invasive imaging technique. It operates by sensitising the MRI signal to the bulk motion of molecular water, in several gradient directions and at varying diffusion length-scales. Because the diffusion of water is influenced by the local cellular structure, diffusion MRI characterises how water preferentially diffuses within an imaging voxel and is sensitive to cytoarchitecture on the scale of microns (Le Bihan, 2003). To compare and summarise diffusion properties across different acquisitions, subjects and in different voxels, a variety of mathematical models have been developed. One early model was diffusion tensor imaging (DTI) (Basser, 1995), which characterises the average diffusion in each voxel with a single, symmetric and positive-definite tensor. This model permits assessment of the average principal diffusion orientation and of the diffusion anisotropy, which is typically parameterised by the “fractional anisotropy” (FA).

For preterm infants, birth occurs during a critical time in neurodevelopment. This disruption has wide-ranging effects, with preterm infants showing reduced development of the cerebral cortex by term equivalent age (Ajayi-Obe et al., 2000). During early development, cortical neurons migrate radially outwards, on glial cells, towards the pial surface. This populates the cortex (Bystron et al., 2008) and causes a highly directional, coherent, columnar microstructural environment, which can be seen with DTI as tensors with high FA, oriented radially to...
the cortical surface (McKinstry et al., 2002). In subsequent growth within the
cortex, cross-connections develop, as dendrites and axons elaborate
and obscure the underlying radial structure. In DW MRI, this manifests as
a longitudinal reduction in FA (McKinstry et al., 2002), and less-aligned
diffusion tensors. Following approximately 25 weeks gestation, a large
majority of cortical folding and growth of cortical connections occurs.
The cortex produces gyri and sulci, which occurs mostly postnatally for
preterm infants. While the folding does not, in itself, change the micro-
structure, there are effects on DW MRI measurements, with microstruc-
tural maturation correlating with local cortical growth (Ball et al., 2013).
Gyrification of the cortex will cause more partial volume effects between
the cortical grey matter, and either the underlying white matter or the
outlying cerebrospinal fluid (CSF). CSF in particular has different diffu-
sion properties to tissue, and so removing the confound of CSF partial
volume is important.

In recent times, technological aspects of DW MRI have advanced
significantly. There is increasing sensitivity to diffusion (characterised by
the b-value), more directions in a scan, and improved resolution. These
improvements have spurred recent interest in diffusion within the cortex —
both in terms of the DTI parameter values, and the directionality of
water diffusion. DW MRI can now detect that the average direction of
cortical diffusion is radial, even in adults (McNab et al., 2013). This study
defined the “Radiality Index”, which measures how orthogonal to the
cortical surface the principal diffusion direction is. The orthogonal di-
cration is called the “surface normal”, and the radiality index is defined
mathematically as the magnitude of the inner product of the principal
direction of diffusivity with this surface normal vector. This radiality
index was shown to correspond with known histology, and was shown to
be greater than the value that would be expected by randomly-aligned
tensors (0.5) in all except small regions of the cortex. In addition,
Truong et al. (2014) have shown that the DTI parameters and the radi-
ality index have a dependence on cortical depth that is detectable in vivo.
In infants, it has been shown using the ball-and-stick model that radiality
after preterm birth is higher (≥ 0.5) towards the anterior cortex, while it
is ~ 0.5 in other regions (Melbourne et al., 2012).

In addition to improvements in the DW MRI acquisition, there have
been advances in modelling the diffusion signal. With more diffusion
data, it is possible to fit multiple compartments within a single voxel, so
that the signal is a linear combination of the diffusion signals from
structurally different environments. For example, the signal within white
matter adjacent to the CSF could be modelled as combining an anisotro-
pic tissue compartment with an isotropic tensor (ball) of
structurally different environments. For example, the signal within white
matter is a linear combination of the diffusion signals from
microstructural anisotropy (Behrens et al., 2003). The

DIAMOND enables the assessment of the relative signal fraction of each
compartment, as well as compartment-specific characteristics such as
the compartment fractional anisotropy (cFA), and the compartment mean
diffusivity (cMD).

While some advanced diffusion models have been used in the preterm
population (Kunz et al., 2014; Eaton-Rosen et al., 2015), this richer
characterisation of the microstructure has not yet been fully explored.
In this manuscript, we quantify the trajectories of development for cortical
radiality as a function of the cortical region. By using structural and
diffusion-weighted MRI in tandem, we present the first longitudinal
assessment of the radiality index in preterm infants. DIAMOND offers an
explicit modelling of partial volume to deal with small brains and
changing cortical convolutions, while the tensor component in the model
can be used to assess the principal direction without the confound of CSF.
Measuring the deviation in growth patterns may be of use in clinical
prognosis.

In this work, we quantify the longitudinal changes in radial diffusion
within the preterm cortex for the first time in-vivo. These measurements
are of interest as an insight into general neurodevelopment, as well as
further elucidating our knowledge of possible effects of prematurity.
parameters are fixed and identical throughout the brain. By contrast, DIAMOND provides both:

1. The diffusion principal orientation to calculate the radiality index, and
2. The compartment-specific parameters (cFA, cMD) similar to those of DTI, to evaluate microstructure properties.

We used the DIAMOND model (Scherrer et al., 2016) with two compartments: an isotropic diffusion compartment with fixed diffusivity to model CSF contamination, and an anisotropic tissue compartment to model diffusion within the tissue. DIAMOND models the anisotropic diffusion compartment with a statistical distribution of diffusion tensors to account for the heterogeneity of the underlying microstructure. Assessing the average tensor of the distribution allows characterisation of the average diffusion characteristics in the compartment, from which compartment-specific parameters (compartment FA and compartment MD, denoted cFA and cMD respectively) can be inferred. Moreover,
DIAMOND provides an index describing the intra-compartment heterogeneity, based on the concentration of the distribution of tensors. Other multi-compartment models often require higher b-values (for example, NODDI (Zhang et al., 2012) recommends including b-values of 2000mm$^2$/s for infants), and so were unsuitable to our data.

2.4. Calculating the radiality index

The radiality in a given voxel was determined from two separate measurements. One is the principal diffusion direction, which we compute using both DTI and DIAMOND. This results in a radiality index for each model, to compare DIAMOND and DTI for this application. The other is to calculate vectors that are locally normal to the cortical surface (pointing outwards). A high radiality index arises when this surface is smoother and more reliable radial vectors.

To calculate the cortical mesh of the cortical surface, we used implicit surface evolution (CRUISE) (Han et al., 2004) on the tissue segmentations, and topology-corrected the mesh with a fast-marching algorithm (Bazin and Pham, 2007). The surface mesh inherently defines a normal vector at each triangular face (the direction is given by taking the cross-product of any two edges of each triangle).

For each voxel in the diffusion parameter maps, the radiality index is given as:

$$\frac{|r \cdot v|}{|r| \cdot |v|}$$  

(1)

This is the magnitude of the dot product of the radial vector nearest the voxel $r$ with the principal eigenvector of the diffusion tensor, $v$, normalised by the vector lengths. This process is illustrated in Fig. 2.

The small size of the infant brain, relative to the scanning resolution, introduces challenges in computing a surface normal for each voxel in the cortex. We were aiming to measure the changes within the cortical tissue caused by maturation, without the confound of morphological change. To compute the radiality within a voxel, we must ensure that the tissue is homogeneous (i.e. no partial volume with another, folded, part of the cortex) and that the surface normal is coherent at that point (i.e. the surface is relatively flat within the voxel). To eliminate the partial volume with cortical tissue, we excluded regions in the sulci. The sulci not only have more partial volume, but are also more curved than the gyri, so excluding these provided more coherent orientations of the normals. To perform this masking, we computed the mean curvature over the cortical surface, and analysed regions only where the surface was convex (mean curvature < 0). This means that we ignored the radiality in the sulci, in favour of having a flatter surface and more reliable radial vectors.

2.5. Statistical approach

For data analysis, it was necessary to account for missing data, the unbalanced sampling of time-points, and correlations in data from the subjects who are scanned longitudinally. To do this, we used models with fixed and random effects — known as mixed models. This type of modelling has been used to build normative curves for neurodevelopment, using DTI (Sadeghi et al., 2013, 2014). Fixed effects quantify the population-level effects, while random effects allow the model parameters to vary on a per-subject basis.

In order to analyse which effects were significant in the models, we used backwards elimination of non-significant effects of a linear mixed-effects model. For a given diffusion metric $y_i$ in a given cortical lobe, of subject $i$ at time $t$, we fitted a model that included available subject information: the sex ($S_i$), gestational age at birth ($GA_i$), the estimated gestational age ($t$) and the hemisphere the measurement was taken in ($H$). The random effect is an intercept that varies on a per-subject basis ($b_i$) and $\epsilon$ is an error term.

$$y_i(t) = a_0 + a_1S_i + a_2H + a_3GA_i + b_i + \epsilon$$  

(2)

To compensate for multiple comparisons with the 6 diffusion metrics, we used a $p$-value $< 0.05$ to be considered significant in each model. The results were further modelled using correlations and topological changes.

Table 1

This table shows the coefficients for the models of the diffusion parameters. Note that for each of the diffusion parameters, the preferred model took the form of $y_i(t) = a_0 + a_1 + b_i + \epsilon$, and thus effects from hemisphere, GA at birth, and sex were not found to contribute significantly to the model fit. In this table we display the intercept at 27 weeks ($a_0$) and the slope $b_i$.

| Lobe      | Intercept±SE (at 27 weeks) | Slope±SE (per week) | Intercept±SE (27 weeks) | Slope±SE (per week) |
|-----------|----------------------------|---------------------|-------------------------|---------------------|
| Frontal Lobe | 0.175 ± 0.003              | -0.0089 ± 0.0003     | 0.168 ± 0.002            | -0.0033 ± 0.0002    |
| Occipital Lobe | 0.159 ± 0.004            | -0.009 ± 0.0004      | 0.194 ± 0.004            | -0.0058 ± 0.0004    |
| Parietal Lobe | 0.177 ± 0.003              | -0.0094 ± 0.0003     | 0.180 ± 0.002            | -0.0053 ± 0.0002    |
| Temporal Lobe | 0.191 ± 0.003            | -0.0107 ± 0.0003     | 0.187 ± 0.003            | -0.0049 ± 0.0004    |
|          | cMD/10$^{-3}$mm$^2$/s$^1$ | MD/10$^{-3}$mm$^2$/s$^1$ |
| Frontal Lobe | 1.13 ± 0.008            | -0.0024 ± 0.0009     | 1.37 ± 0.009            | -0.0098 ± 0.0009    |
| Occipital Lobe | 1.12 ± 0.011            | -0.0032 ± 0.0010     | 1.41 ± 0.013            | -0.0111 ± 0.0014    |
| Parietal Lobe | 1.18 ± 0.008            | -0.0054 ± 0.0008     | 1.38 ± 0.008            | -0.0140 ± 0.0008    |
| Temporal Lobe | 1.10 ± 0.009            | -0.0029 ± 0.0010     | 1.40 ± 0.009            | -0.0124 ± 0.0001    |
|          | cMD/10$^{-3}$mm$^2$/s$^1$ | MD/10$^{-3}$mm$^2$/s$^1$ |

| Lobe      | DIAMOND Radiality | DTT Radiality |
|-----------|------------------|---------------|
| Frontal Lobe | 0.961 ± 0.008        | 0.901 ± 0.009    |
| Occipital Lobe | 0.952 ± 0.009        | 0.901 ± 0.009    |
| Parietal Lobe | 0.945 ± 0.008        | 0.901 ± 0.009    |
| Temporal Lobe | 0.901 ± 0.008        | 0.901 ± 0.009    |
parameters we analysed (\((\text{DTI}/\text{DIAMOND}) \times (\text{FA}/\text{MD}/\text{Radiality})\)), we fixed the threshold for including fixed effects at 0.05/6 (Bonferroni correction). We performed all data analysis in R (v3.2.1), using the package “lmer” (v1.1.12) (Bates et al., 2015). We used “lmerTest” [Kuznetsova et al.] for performing the backwards elimination.

3. Results

The automatic segmentation and subsequent mesh generation produced cortical meshes for all timepoints in the study. For an example of typical longitudinal progression in this study, see Fig. 3.

For all of the regions and diffusion parameters tested, the elimination of non-significant effects removed the effects of sex, hemisphere and gestational age at birth. Thus, for each parameter and in each region, the preferred model was a linear model that depended on time. The parameters for the model are shown in Table 1.

Fig. 4 shows that fractional anisotropy decreased for both the DIAMOND and DTI models. The slopes were greater in magnitude (see Table 1) for the DIAMOND model \((p < 10^{-7})\) for all regions, using a \(t\)-test) than the DTI model.

For mean diffusivity, the DTI model had a significantly greater intercept than the DIAMOND model’s cMD \((p < 10^{-9})\) for all regions. The slope was of greater magnitude for the DTI model \((p < 10^{-9})\) for all regions). See Fig. 4, and Table 1.

In Fig. 5 we present the cortical radiality for the DTI and DIAMOND models during the preterm period. The frontal and temporal lobes decrease at statistically indistinguishable rates from each other \((p = 0.93/0.86)\) for (DIAMOND/DTI) respectively, but significantly lower rates than the parietal lobe and the occipital lobe \((p < 10^{-4})\). The occipital lobe decreased with the largest rate for both DIAMOND and DTI \((p < 10^{-4})\). The DIAMOND model had a greater rate of decrease than the DTI model in all regions \((p < 10^{-8})\), which is consistent with DIAMOND reducing the impact of CSF contamination. The intercepts were higher in DIAMOND \((p < 10^{-7})\) than for DTI.

4. Discussion

Because cortical folding and neuronal elaboration are broadly complete for infants delivered at term, preterm MRI offers a unique chance to image these maturational processes \textit{ex-utero}. The rapid changes in the cortex during the perinatal period are critical events in neurodevelopment. In this work, we have evaluated the radiality index and diffusion tensor parameters in preterm infants on a longitudinal basis. We have shown a decrease in cortical radiality, cFA and FA in each lobe of the cortex, with region-specific rates. None of the models showed any sex-dependence, in agreement with (Young et al., 2016).

In terms of diffusion tensor parameters, both DTI and DIAMOND showed expected reductions in (c)FA, which is theorised to relate to elaboration of the microstructural environment and consequent reduction in anisotropy (McKinstry et al., 2002). The MD decreased significantly more in the DTI model than for the cMD, which could be attributed to the DIAMOND model having an explicit model of CSF partial volume. This feature allows the DIAMOND model to remove the confound of CSF partial volume in the cortex, and examine only the tissue.

The radiality index is close to unity at early timepoints in the study for both DIAMOND and DTI, reflecting the highly-ordered and radial nature of the supporting glial cells. As the cortex matures, overlying fibres are added to this radial substrate. We suggest that the reduction in measured radiality reflects, at least in part, this microstructural change. At early timepoints, the extra-cellular water is hindered orthogonal to the fibres,
Fig. 5. The mean values for the radiality index for DIAMOND and DTI models in each lobe. Bold lines are linear trendlines, with parameters from Table 1. DTI and the DIAMOND model show similar trends in radiality (top figure), with the rate of change being greatest for the occipital lobe. The DIAMOND model shows significantly greater changes than the DTI model over the time period for each region.
and thus has a principal direction of diffusivity that augments the radial structure of the intra-cellular compartment. This extra-cellular space reduces significantly in development, which contributes to the measured decline in radiality. We found that the rate of change of radiality depends on the lobe, with the occipital lobe having the highest rate of change. None of the MD, cMD, FA or cFA had the greatest rates of change in the occipital lobe, which is evidence that the radiality index is not solely reflecting these known parameters, and thus that this parameter provides complementary information to traditional diffusion measures.

In (der Knaap et al., 1996), the authors graded the "gyral development score" as a function of GA. They found that the medial occipital area develops fastest, while the frontal lobe (minus the area of the central sulcus) and anterior part of the temporal lobe develop slowest. The parietal lobe, the posterior part of the temporal lobe, and the occipital lobe (minus the medial area) were in between. This fits with our finding with the radiality index: that the occipital lobe develops the most rapidly, and the frontal and temporal lobes have the smallest rate of change. This also suggests that breaking down the cortex into smaller regions of interest would also be of interest. The fact that our measured rates correlated with trends in gyri	ation is also in agreement with the finding that microstructural maturation is concurrent with local macrostructural growth (Ball et al., 2013).

4.1. Comparing DIAMOND and DTI

Comparing the values for the DIAMOND and DTI radialities, we observed that the DIAMOND model measured higher radialities at early timepoints, and lower at later timepoints than DTI. This could, perhaps, be attributed to an increased sensitivity to the structure by using the multi-compartment formalisation of DIAMOND. Being able to explicitly model the partial volume is important within the rapidly-folding cortex.

The cFA reached a lower value than the FA by term-equivalent age, which was not expected. It is likely that the DIAMOND model is more able to account for measurement noise than the tensor formulation, which is an advantage in the low SNR regime of the infant cortex. This could be because of the spatial regularisation in the fitting of the DIAMOND model, which acts to reduce spuriously high FA values caused by noise.

For the fractional anisotropy and radiality, DIAMOND displayed significantly higher changes in parameter values than the DTI model. However, the changes in cMD were significantly lower in magnitude than in the DTI model. This is likely to be because of less partial volume with CSF, so some fraction of the observed MD change is likely to be spurious.

4.2. Limitations

The radiality calculated at each voxel depends on many factors, including the accuracy of the cortical mesh, the quality of alignment between modalities, and the diffusion-weighted data at a given voxel. The radiality is bounded between 0 and 1, with values expected to be near to 1 in much of the brain (entirely radial diffusion). Thus, errors are, especially at early time-points, expected to underestimate the radiality, causing the bias in the error to be time-dependent.

Despite the concurrence in radiality change with development, relating the parameter directly to the microstructure is challenging. While the intuitive picture is of radiality decreasing as cells are added to the cortex, we know that these fibres are being added to a persistent radial substrate. Because this direction persists, we may have expected that the radiality would be constant throughout development. Some element of the reduction in radiality may be because the restrictions lead to more attenuation in all directions, and hence a noisier signal, which may perturb the principal direction of the diffusion model. However, it is highly implausible that this confound would cause the major finding of the large reduction in cortical radiality from 27 to 42 weeks gestational age.

Several scans had some evidence of motion artifacts. While all data was individually inspected for quality, different infants had different numbers of diffusion volumes. Similarly, there are some cortical meshes with unphysical folds or ridges introduced by the algorithm. However, any automatic procedure will have some errors against the gold-standard of manual segmentation, but manual segmentation would have been impractical and time-consuming for this number of scans. While these issues affect the presented work, this does not detract from our overall findings of large reductions in cortical radiality during development.

In terms of statistical approach, other work in this domain has used non-linear mixed effects models, often with parameters that have biophysical interpretations (Sadeghi et al., 2013, 2014). These nonlinear models require a much greater longitudinal range that that available to us, and thus, a linear model is appropriate, and can be seen as a local approximation to a non-linear curve. While adult radiality values could be used as a fixed asymptote, this would make too many assumptions about both the parameter values throughout childhood, and the comparability of this method to published work on adults, which uses different acquisitions and processing pipelines.

4.3. Future work

We could extend our work in measuring radiality in large regions of the cortex to smaller regions of interest, such as in (McNab et al., 2013; Deijolpy et al., 2005). We did not, partly because automatic segmentation tools for cortical analysis of infants lag their adult counterparts, owing in part to the peculiar difficulties, so the labels are not available. It would also have the downside of reducing the statistical power available to compare the regions by reducing the number of voxels in each.

While the current work characterises a cohort of preterm-born infants, more investigation would be required to use an individual's radiality scores as a basis for prognosis. Future work could go further in defining normal values for this index, and correlating scores with clinical outcome.

Although our DW acquisition had a high resolution, the b-value was limited to \( \leq 1200\text{mm}^2\text{s}^{-1} \). Higher b-values may warrant using a more sophisticated model of diffusion within the cortex. An intuitive model would be a directional component oriented radially, that is augmented by an oblate disk orthogonal to this axis, representing the new fibres. This type of model would separate contributions to the radiality as coming from radial fibres or perpendicular additions. Our model has, however, the advantage of being comparable to other radiality studies.

5. Conclusions

In this manuscript, we have produced the first analysis of the change in cortical radiality in preterm neonates, as imaged by DW MRI. During the preterm period, the geometry of water diffusion matures rapidly from its almost entirely radial state at 27 weeks gestational age. The radiality index shows promise as an early marker of cortical development, and offers a quantification of the observed decrease in directional coherence. The DIAMOND model offers increased sensitivity to these changes when compared to the DTI model.

Conflicts of interest

None declared.

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