Variational principle of relativistic perfect fluid

Takayoshi Ootsuka\textsuperscript{1,2,5}, Muneyuki Ishida\textsuperscript{3}, Erico Tanaka\textsuperscript{4} and Ryoko Yahagi\textsuperscript{1}

\textsuperscript{1} Physics Department, Ochanomizu University, 2-1-1 Ootsuka Bunkyo, Tokyo, Japan
\textsuperscript{2} NPO Gakujutsu-Kenkyu Network, Japan
\textsuperscript{3} Department of Physics, Meisei University, 2-1-1 Hodokubo, Hino, Tokyo 191-8506, Japan
\textsuperscript{4} Department of Mathematics and Computer Science, Kagoshima University, 1-21-35 Kōrimoto Kagoshima, Kagoshima, Japan

E-mail: ootsuka@cosmos.phys.ocha.ac.jp, ishida@phys.meisei-u.ac.jp, erico@sci.kagoshima-u.ac.jp and yahagi@hep.phys.ocha.ac.jp

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Abstract
We reformulate the relativistic perfect fluid system on curved space–time. Using standard variables, the velocity field \(u\), energy density \(\rho\) and pressure \(p\), the covariant Euler–Lagrange equation is obtained from variational principle. This leads to the Euler equation and the equation of continuity in reparametrization invariant form.

Keywords: variational principle, relativistic perfect fluid, Riemann–Finsler geometry

1. Introduction

In cosmology, matter is normally treated as relativistic fluid and its equation of motion is studied directly. Nevertheless, in cases such as discussing symmetry, it is more convenient to utilize Lagrangian formulation, and consider the fluid equation via the variational principle.

Naturally, various works have been proposed ([1, 2], more recently [3] for example) to construct Lagrangian formulation of relativistic perfect fluid. However, while the choice of dynamical variables is essential to simplify the discussion, these methods are somehow indirect, such as representing the fluid elements by field variables that do not appear explicitly in the equations of motion. Such a roundabout method may not be desirable for both pedagogical and theoretical reasons. A suitable choice of variables may simplify the treatments of

\textsuperscript{5} Author to whom any correspondence should be addressed.
e.g. perturbations from the present cosmological models on matter sides. The generalization of variational principle to the fluid mechanics is carried out by following the case of a rigid body \cite{4}, leading to Euler–Poincaré equations. In such sense, the appropriate variables for the case of a perfect fluid are, \((u, \rho, p)\), representing: velocity vector field of a matter flow, energy/mass density, pressure, respectively.

In fact, such a way of construction in the case of non-relativistic perfect fluid is given by Lanczos \cite{5}, and the case of relativistic dust fluid \((p = 0)\) is given in the elementary textbook by Dirac \cite{6}. Combining these two approaches, the authors presented the Lagrangian formulation of relativistic perfect fluid in a geometrical way \cite{7}, (section 3.3). Nevertheless, the above formalism still had some unnecessary complications. In the present paper, we will present a more simplified and straightforward formulation which will give covariant equations of motion. This formulation is in accordance with the Eulerian specification of fluid dynamics. Here we also refer to a related work \cite{8} describing perfect fluid in curved space–time using Hamiltonian formalism.

2. Variational principle

The Lagrangian of the perfect fluid on \((n + 1)\)-dimensional Lorentzian manifold \((M, g)\) is given by

\[
L_{\text{p.f.}}(u, \rho, p) := L_{\text{dust}}(u, \rho) + \int p \, \delta L(u),
\]

(1)

where the first term is the Lagrangian of dust fluid, \(u \in \mathcal{X}(M)\) is the vector field of the fluid velocity, and \(\rho \in \Lambda^{n+1}(M)\) is the mass density \((n + 1)\)-form of the fluid. It is given by

\[
L_{\text{dust}}(u, \rho) := \rho F(u),
\]

(2)

where we consider a Finsler metric of the form: \(F = \sqrt{g_{\mu\nu}(x)dx^\mu dx^\nu}\), and \(F(u) = \sqrt{g_{\mu\nu}(x)u^\mu u^\nu}\). Here, \(\{x^0, x^1, x^2, \ldots, x^n\}\) are the local coordinates of \(M\) and \(u = u^\mu \frac{\partial}{\partial x^\mu}\). \(F\) and its derivatives are regarded as functions on \(TM\), and throughout this paper, notation such as \(F(u)\) means the contraction of the vector field \(u\) to \(dx^\nu\) in the above sense. We also set the speed of light \(c = 1\). The second term of equation (1) represents the effect of pressure \(p \in C^\infty(M)\), where the integral \(\int\) represents symbolically that its variation gives \(p \, \delta L(u)\). \(L(u)\) is given by

\[
L(u) := \omega_F F(u),
\]

(3)

where \(\omega_F = \sqrt{-g} \, dx^0 \wedge dx^1 \wedge \ldots \wedge dx^n\), \((g := \det (g_{\mu\nu}(x)))\) is the volume element.

The action is given by the integral

\[
\mathcal{A}[u, \rho, p] := \int_\sigma L_{\text{p.f.}}(u, \rho, p),
\]

(4)

where \(\sigma\) represents a \((n + 1)\)-dimensional submanifold of \(M\). By considering its variation,

\[
\delta \mathcal{A}[u, \rho, p] := \int_\sigma \delta L_{\text{p.f.}}(u, \rho, p) = \int_\sigma \delta L_{\text{dust}}(u, \rho) + p \, \delta L(u),
\]

(5)

one obtains the equations of motion.

2.1. Equation of dust fluid

First, we calculate \(\delta L_{\text{dust}}(u, \rho)\). The fluid element shifts infinitesimally to the direction \(\xi \in \mathcal{X}(M)\), and the corresponding variations of \(\rho\) and \(u\) are represented by the Lie derivatives
as,
\[ \delta \rho = \mathcal{L}_\xi \rho, \quad \delta u = \mathcal{L}_\xi u = [\xi, u] = -\mathcal{L}_u \xi, \quad \delta u^\mu = [\xi, u]^\mu. \] (6)

Then,
\[
\delta L_{\text{dust}}(u, \rho) = (\rho + \delta \rho) F(u + \delta u) - \rho F(u) = \delta \rho F(u) + \rho \frac{\partial F}{\partial u^\mu} \delta u^\mu \\
= (\mathcal{L}_\xi \rho) F(u) + \rho \frac{\partial F}{\partial u^\mu} \langle dx^\mu, \mathcal{L}_\xi u \rangle = \langle d \xi \rho F(u) \rangle - \rho \frac{\partial F}{\partial u^\mu} \langle dx^\mu, \mathcal{L}_u \xi \rangle \\
= d \{ \xi \rho F(u) \} - (1)^{n+1} \xi \rho \wedge d(F(u)) - \mathcal{L}_u \left( \rho \frac{\partial F}{\partial u^\mu} \xi^\mu \right) \\
+ \langle \mathcal{L}_u \rho \frac{\partial F}{\partial u^\mu} dx^\mu, \xi \rangle \\
= d \{ \xi \rho F(u) - \rho \frac{\partial F}{\partial u^\mu} \xi^\mu \} - (1)^{n+1} \xi \{ \rho \wedge d(F(u)) \} \\
- \rho \langle d(F(u)), \xi \rangle + \langle \mathcal{L}_u \rho \frac{\partial F}{\partial u^\mu} dx^\mu, \xi \rangle. \tag{7}
\]

The Cartan’s formula \( \mathcal{L}_u = du + \iota_u d \) is used, and for notational convenience, we abbreviated \( \frac{\partial F}{\partial u^\mu} := \frac{\partial F}{\partial u^\mu}(u) \). The bracket \( \langle \cdot, \cdot \rangle \) denotes contraction, for instance,
\[
\langle \mathcal{L}_u \rho \frac{\partial F}{\partial u^\mu} dx^\mu, \xi \rangle := \langle \mathcal{L}_u \rho \frac{\partial F}{\partial u^\mu} \rangle dx^\mu, \xi + \rho \frac{\partial F}{\partial u^\mu} \langle \mathcal{L}_u dx^\mu, \xi \rangle,
\]
and \( \langle dx^\mu, \xi \rangle \equiv \xi^\mu \). Then since \( \rho \wedge d(F(u)) = 0 \), the variational principle tells us that
\[
0 = \mathcal{L}_u \left( \rho \frac{\partial F}{\partial u^\mu} dx^\mu \right) - \rho d(F(u)) ,
\]
\[
= (\mathcal{L}_u \rho) \frac{\partial F}{\partial u^\mu} dx^\mu + \rho \mathcal{L}_u \left( \frac{\partial F}{\partial u^\mu} dx^\mu \right) - \rho d(F(u)),
\]
\[
= (\mathcal{L}_u \rho) \frac{\partial F}{\partial u^\mu} dx^\mu + \rho \left\{ \frac{\partial^2 F}{\partial u^\nu \partial u^\mu} u^\nu dx^\mu + \frac{\partial^2 F}{\partial u^\nu \partial u^\mu} u^\nu \frac{\partial u^\nu}{\partial u^\sigma} dx^\mu + \frac{\partial F}{\partial u^\mu} \frac{\partial u^\nu}{\partial \xi^\sigma} dx^\nu \right\} \\
- \rho \left\{ \frac{\partial F}{\partial u^\nu} dx^\nu + \frac{\partial F}{\partial u^\nu} \frac{\partial u^\nu}{\partial \xi^\sigma} \right\} dx^\mu.
\]
\[
= (\mathcal{L}_u \rho) \frac{\partial F}{\partial u^\mu} dx^\mu - \rho \left\{ \frac{\partial F}{\partial u^\mu} - d \left( \frac{\partial F}{\partial \xi^\sigma} \right) \right\}(u) \right] dx^\mu , \tag{8}
\]
for arbitrary \( \xi \in X(M) \). This equation \( \text{(8)} \) is called the Euler–Poincaré equation for the dust fluid. Therefore, clipping off \( dx^\mu \), we get,
\[
0 = \left( \mathcal{L}_u \rho \right) \frac{\partial F}{\partial u^\mu} - \rho \left\{ \frac{\partial F}{\partial u^\mu} - d \left( \frac{\partial F}{\partial \xi^\sigma} \right) \right\}(u). \tag{9}
\]
Contracting \( \text{(9)} \) with \( u^\mu \) gives \( 0 = (\mathcal{L}_u \rho) F(u) \), where we used the homogeneity \( u^\mu \frac{\partial F}{\partial u^\nu}(u) = F(u) \), and its Euler–Lagrange derivative,
Thus, in the case $F(u) = 0$, we obtain

$$
\mathcal{L}_u \rho = 0, \quad \rho \left\{ \frac{\partial F}{\partial u^\mu} - d\left( \frac{\partial F}{\partial dx^\mu} \right) \right\}(u) = 0,
$$

(11)

where the first equation represents the conservation of mass, while the second is the Euler equation for dust fluid. This equation is generalized to perfect fluid in the next section.

Up to now, we have only used the homogeneous property of $F$, and not its concrete form given by the Lorentzian metric. Therefore, we may choose for $F$ any general Finsler metric that satisfies $\text{rank} \left( \frac{\partial^2 F}{\partial dx^\mu \partial dx^\nu} \right) = n$. In that case, the equation (11) could be regarded as an equation of dust fluid on Finsler spacetime.

### 2.2. Equation of perfect fluid

Here we will calculate the pressure term $p \delta L(u)$ in equation (5).

$$
p \delta L(u) = p \delta (\omega \mathcal{L}_u F(u)) = p \{ \omega \mathcal{L}_u (F(u + \delta u) - F(u)) + \omega \mathcal{L}_u F(u) \},
$$

(12)

where the first term is calculated similarly as in (7),

$$
p \omega \mathcal{L}_u (F(u + \delta u) - F(u))
\begin{align*}
&= p \omega \mathcal{L}_u \frac{\partial F}{\partial u^\mu} (dx^\mu, \delta u) = p \omega \mathcal{L}_u \frac{\partial F}{\partial u^\mu} (dx^\mu, \mathcal{L}_u \xi) - p \omega \mathcal{L}_u \frac{\partial F}{\partial u^\mu} (dx^\mu, \mathcal{L}_u \xi) \\
&= -\mathcal{L}_u \left( p \omega \mathcal{L}_u \frac{\partial F}{\partial u^\mu} (dx^\mu, \xi) \right) + \left\{ \mathcal{L}_u \left( p \omega \mathcal{L}_u \frac{\partial F}{\partial u^\mu} (dx^\mu, \xi) \right) \right\} \\
&= -d \left\{ \mathcal{L}_u \left( p \omega \mathcal{L}_u \frac{\partial F}{\partial u^\mu} (dx^\mu, \xi) \right) \right\} + \xi \left\{ \mathcal{L}_u (p \omega \xi) \frac{\partial F}{\partial u^\mu} (dx^\mu, \xi) \right\}.
\end{align*}
$$

(13)

and the second term of equation (12) becomes

$$
p F(u) \omega \mathcal{L}_u = \mathcal{L}_u (p F(u) \omega \xi) - \mathcal{L}_u (p F(u)) \omega \xi
\begin{align*}
&= d \left\{ \mathcal{L}_u (p F(u) \omega \xi) \right\} - \xi \left\{ \frac{\partial p}{\partial x^\mu} \omega \mathcal{L}_u F(u) + p \omega \mathcal{L}_u \left( \frac{\partial F}{\partial u^\mu} (dx^\mu, \xi) \right) \right\}.
\end{align*}
$$

(14)

Then,

$$
p \delta L(u) = d \left\{ \mathcal{L}_u (p F(u) \omega \xi) \right\} - \xi \left\{ \mathcal{L}_u (p \omega \xi) \frac{\partial F}{\partial u^\mu} (dx^\mu, \xi) \right\}
\begin{align*}
&+ \xi \left\{ \mathcal{L}_u (p \omega \xi) \frac{\partial F}{\partial u^\mu} (dx^\mu, \xi) - p \omega \mathcal{L}_u \left( \frac{\partial F}{\partial u^\mu} (dx^\mu, \xi) \right) - \xi \left\{ \mathcal{L}_u (p \omega \xi) \frac{\partial F}{\partial u^\mu} (dx^\mu, \xi) \right\}.
\end{align*}
$$

(15)

Finally, by using equations (5), (7), and (15), we obtain

$$
\delta L_{\text{p.f.}}(u, \rho, p) = \delta L_{\text{dust}}(u, \rho) + p \delta L(u)
\begin{align*}
&= d \left\{ \mathcal{L}_u (p F(u) - \xi \rho F(u) \omega \xi + p F(u) \omega \xi + \mathcal{L}_u (p F(u)) \mathcal{L}_u \xi \right\} + \xi \left\{ \mathcal{L}_u (p \omega \xi) \frac{\partial F}{\partial u^\mu} (dx^\mu, \xi) \right\}.
\end{align*}
$$

(16)
By the variational principle, the last term gives the Euler–Lagrange equation,
\[ \mathcal{E}_{\nu}(L_{\nu}) = 0, \]
\[ \mathcal{E}_{\nu}(L_{\nu}) := \frac{\partial F}{\partial u^\mu} L^\mu_{\nu}(\rho + p \omega_\nu) - (\rho + p \omega_\nu) \left( \frac{\partial F}{\partial x^\nu} - d \left( \frac{\partial F}{\partial x^\nu} \right) \right)(u) - \frac{\partial p}{\partial x^\nu} \omega_\nu F(u). \]  
(17)

The above equation (17) is invariant with respect to the transformation
\[ (u, \rho, \omega_\nu) \rightarrow (\phi u, \phi^{-1} \rho, \phi^{-1} \omega_\nu), \]
where \( \phi \) is an arbitrary positive definite function on \( M \).
This transformation \( u \rightarrow \phi u \) corresponds to changing ‘time’ which is a parameter of fluid elements. Therefore, this scale transformation means reparametrization in the perspective of Eulerian specification of flow.

2.3. Covariant Euler equation

In the previous sections, we have scarcely used the concrete form of the function \( F \), which was given by the Lorentzian metric. In fact, only the homogeneous property of \( F \) was required to carry out the above calculations.

Here, we will show that the covariant Euler–Lagrange (Euler–Poincaré) equation of perfect fluid (17) will indeed give a familiar Euler form (evolution equation form).

Considering the concrete form,
\[ F(u) := \sqrt{g_{\mu\nu}(x)} u^\mu u^\nu, \]
\[ \frac{\partial F}{\partial u^\mu} = \frac{g_{\mu\nu} u^\nu}{F(u)}, \]
\[ \rho = \mu \omega_\mu, \]  
(18)

the factor in the second term of equation (17) is rewritten as
\[
\left[ \frac{\partial F}{\partial x^\mu} - d \left( \frac{\partial F}{\partial x^\nu} \right) \right](u) = \left[ \frac{1}{2F(u)} \frac{\partial g_{\alpha\beta}}{\partial x^\nu} dx^\alpha dx^\beta - d \left( \frac{g_{\mu\nu} dx^\nu}{F(u)} \right) \right](u)
\]
\[ = \frac{1}{2F(u)} \frac{\partial g_{\mu\nu}}{\partial x^\alpha} u^\alpha + \frac{g_{\mu\nu}}{F(u)} \frac{\partial x^\alpha}{\partial x^\beta} u^\beta
\]
\[ + \frac{g_{\mu\nu}}{F(u)} \left( \frac{1}{2} \frac{\partial g_{\mu\alpha}}{\partial x^\nu} u^\alpha + g_{\alpha\beta} \frac{\partial x^\alpha}{\partial x^\nu} \right)
\]
\[ = - \frac{g_{\mu\nu}}{F(u)} \Gamma_{\nu}^{\beta} u^\alpha + \Gamma_{\nu}^{\beta} u^\nu u^\alpha + \frac{g_{\mu\nu} u^\alpha}{F(u)} \frac{\partial x^\alpha}{\partial x^\beta} u^\beta
\]
\[ = - \frac{g_{\mu\nu}}{F(u)} \nabla_\nu u^\alpha + \frac{g_{\mu\nu} u^\alpha u^\nu}{F(u)} \nabla_\nu u^\beta = - \frac{\partial^2 F}{\partial x^\nu \partial x^\beta}(u) \nabla_\nu u^\beta. \]

Then, equation (17) becomes,
\[ (\rho + p \omega_\nu) F_{\mu\nu}(u)(\nabla_\mu u^\nu) = \frac{\partial p}{\partial x^\mu} \omega_\mu F(u) - \frac{\partial F}{\partial u^\mu} L^\mu_{\nu}(\rho + p \omega_\nu), \]

(20)

where we set
\[ F_{\mu\nu} := \frac{\partial^2 F}{\partial x^\nu \partial x^\mu}, \]
\[ F_{\mu\nu}(u) = \frac{\partial^2 F}{\partial x^\nu \partial x^\mu}(u). \]

We would like to solve this equation for \( \nabla_\mu u^\nu \). Since \( F_{\mu\nu} \) satisfies the homogeneity relation \( F_{\mu\nu} dx^\nu = 0 \) or \( F_{\mu\nu}(u)u^\nu = 0 \), it is not invertible. Nevertheless, contracting equation (20) by \( u^\mu \), we get
where we have assumed $F(u) \equiv 0$. This is the equation of continuity for the perfect fluid on a Lorentzian manifold, and satisfying this equation guarantees that a particular solution for (20) exists. Using (22), the equation (20) is rewritten as

$$F_{\mu\nu}(u)(\nabla_\alpha u^\alpha) = \frac{1}{\mu + p} \left[ F(u) \frac{\partial p}{\partial x^\mu} - \frac{\partial F}{\partial u^\mu} u(p) \right].$$

Define,

$$\tilde{F}^{\mu\nu} := Fg^{\mu\nu} - \frac{dx^\mu dx^\nu}{F},$$

which satisfies the relation:

$$\tilde{F}^{\mu\nu} F_{\mu\nu} = \delta^{\mu}_\nu - \frac{\partial F}{\partial x^\nu} \frac{dx^\mu}{F}, \quad \tilde{F}^{\mu\nu}(u) \tilde{F}_{\mu\nu}(u) = \delta^{\mu}_\nu - \frac{\partial F}{\partial u^\nu} u^\mu F(u).$$

Now set, $c_i=rhs$ of (23), then $\tilde{F}^{\mu\nu} c_\nu$ is the particular solution of (20). Explicitly, it is

$$\tilde{F}^{\mu\nu}(u) \left[ F(u) \frac{\partial p}{\partial x^\nu} - \frac{\partial F}{\partial u^\nu} u(p) \right] = \frac{1}{\mu + p} \left[ F(u)^2 g^{\mu\nu} \frac{\partial p}{\partial x^\nu} - u^\nu u(p) \right].$$

The general solution would be in the form $\tilde{F}^{\mu\nu} c_\nu + \lambda u^\mu$, where $\lambda = \lambda(x, dx)(u) = \lambda(x^\mu, u^\mu)$ is an arbitrary and homogeneous function of degree one in the $u^\mu$ variables. The general solution includes one free function $\lambda(x, u)$, since rank($F_{\mu\nu}$) = $n = (n + 1) - 1$, and ($F_{\mu\nu}$) has 0-eigen vector $u^\mu$. Thus it is obtained as,

$$\nabla_\mu u^\mu = \frac{1}{\mu + p} \left[ F(u)^2 g^{\mu\nu} \frac{\partial p}{\partial x^\nu} - u^\nu u(p) \right] + \lambda(x, u)u^\mu,$$

or

$$\nabla_\mu u^\mu = F(u)^2 \frac{dx^\mu}{dx^\nu} \frac{\partial p}{\partial x^\nu} + \lambda(x, u)u^\mu.$$  

The $\lambda_1(x, u) = \lambda_1(x^\mu, dx^\mu)(u)$ also is an arbitrary and homogeneous function of degree one in $u^\mu$. The existence of these arbitrary functions $\lambda, \lambda_1$ indicates that these equations are reparametrization invariant with respect to $u$. Similarly as in the previous section, the equations (22) and (28) are unchanged by the transformation $\phi$, namely $\left( u, \rho, \omega_\mu \right) \rightarrow \left( \phi u, \phi^{-1} \rho, \phi^{-1} \omega_\mu \right)$. Therefore, we will call this the covariant Euler equation of curved spacetime.

3. Discussion

We have presented the Lagrangian formulation of the perfect fluid on Lorentzian manifold by using standard and minimum variables. The Euler–Lagrange equation (17), is derived geometrically from the Lagrangian (1) defined by a specific Finsler metric given in terms of Lorentzian metric $g$. We showed that this equation gives the Euler form (28) and the equation of continuity (22). The above results are invariant with respect to the transformation: $(u, \rho, \omega_\mu) \rightarrow (\phi u, \phi^{-1} \rho, \phi^{-1} \omega_\mu)$, where $\phi$ is an arbitrary positive definite function on $M$. This invariance corresponds to the reparametrization invariance of fluid elements with respect to the time parameter. This allows us more flexibility to choose the variables that may be advantageous in considering solutions or symmetries of the fluid field or energy density on curved spacetime. The function $\lambda(x, dx)$ in equation (27) (or $\lambda_1(x, dx)$ in equation (28)) can
be determined consistently with the choice of the evolution rate. For example, by taking the velocity speed,
\[ F(u) = 1, \quad u_i u^i = 1, \quad \text{and} \quad u_i \nabla_i u^i = 0, \]
we obtain, \( \lambda_i(x, u) = -\frac{u_i u^i}{\mu + p} \). Then we get
\[ \nabla_i u^i = \frac{g^{\mu \nu} - u^\mu u^\nu}{\mu + p} \frac{\partial p}{\partial x^\nu}, \tag{29} \]
while the continuity equation becomes
\[ \nabla_i (\mu + p) u^i - u^0 \frac{\partial p}{\partial x^0} = 0, \tag{30} \]
which are indeed the relativistic Euler equation and continuity equation of perfect fluid [9].

Here we will compare our method to the conventional treatment by Hawking–Ellis [2] on perfect fluid with the isentropic condition: the pressure \( p \) only depends on the energy density \( \mu \). Their approach corresponds to taking variation of the Lagrangian (5) under the assumptions \( \omega_i \) fixed along the flow line, \( F(u) = 1 \), and \( \mathcal{L}_0 \rho = 0 \). It derives the equations (3.9) and (3.10) presented in [2]. In contrast, we have none of these requirements to obtain equations (22) and (28), which makes our formulation more versatile.

The discussion in the previous subsections, especially in subsection 2.1 and 2.2, was established mostly without the reference to the concrete form of the metric \( F \). The important property which contributed to the theory was only the homogeneity of \( F \). This suggests that we may choose for \( F \) a more general form of Finsler metric instead of the specific form given by the Lorentzian metric. We are now working on such extensions, hoping to report the results soon.

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