Mistletoe preparations such as Iscador are in common use as complementary/anthroposophic medications for many cancer indications, particularly for solid cancers. The efficacy is still discussed controversially. This paper presents an individual patient data meta-analysis of all published prospective matched-pair studies with breast cancer patients concerned with long-term application of a complementary/anthroposophic therapy with the mistletoe preparation Iscador. Six sets of data were available for individual patient meta-analysis of breast cancer patients, matched according to prognostic factors into pairs with and without mistletoe (Iscador) therapy. The main outcome measures were overall survival and psychosomatic self-regulation. Overall survival was almost significant in favor of the Iscador group in the combined data set of the randomized studies: estimate of the hazard ratio with 95% confidence interval 0.59 (0.34, 1.02). Overall survival was highly significant in the combined data set of the non-randomized studies: 0.43 (0.34, 0.56). In the combined analysis of the randomized studies, improvement of psychosomatic self-regulation, as a measure of autonomous coping with the disease, was highly significant in favor of the Iscador group: estimate of the median difference 0.45 (0.15, 0.80), $P = 0.0051$. The analyzed studies show that therapy with Iscador might prolong overall survival and improve psychosomatic self-regulation of breast cancer patients.

Keywords: breast cancer – Iscador – meta-analysis – mistletoe – quality of life – survival

Background

In Europe, many women with breast cancer take complementary therapies, however, evidence of its efficacy on disease progression and survival is still a topic of controversial discussion (1). Among the complementary therapies used by breast cancer patients, the aqueous extracts of European mistletoe (Viscum album L.), developed on the basis of anthroposophic medicine, are the most frequently used medications, particularly in German speaking countries (2,3).

The following report describes an individual patient data meta-analysis (4,5) of all available data concerning breast cancer therapy with the mistletoe preparation Iscador and with the outcomes survival and psychosomatic self-regulation: two randomized and four non-randomized matched-pair studies (6–9). In such an analysis, not the statistical summaries and estimates from the original publications are used, but the raw data from the original studies are pooled and evaluated together.

The three special design features of the studies analyzed below were the matched pairs, the long-term follow-up and the parallel implementation of prospective cohort studies and randomized trials. In addition to the specific outcomes, these features make them different from all other prospective controlled studies (10,11) concerning Iscador...
therapy for breast cancer (12–22). Under these circumstances, the results of both randomized and non-randomized matched-pair studies can be compared and hence come with a more reliable internal validity due to randomization (given comparable results), which is enriched by the more reliable generalizability of the non-randomized cohorts (23).

**Patients and Methods**

For more details concerning the background, initial data assessment, the quality of life measurement with psychosomatic self-regulation, the observed therapy and intervention, the patients, the matching process for both randomized and non-randomized studies, the method of exclusion of pairs and the follow-up until 1998, we refer to the original papers (6–8) and the re-analysis (9) of the breast cancer data sets (6).

**Psychosomatic Self-regulation**

Quality of life was assessed in these studies as the degree of psychosomatic ‘self-regulation’. This term applies to intrinsic activities of a human being through which he or she achieves well-being, inner equilibrium, appropriate stimulation, a feeling of competence and a sense of being able to control stressful situations (6,24–28). Self-regulation is assessed by a self-administered questionnaire and measured on a scale from 1 (low) to 6 (high). Self-regulation influences the incidence and course of cancer. Studies covering a 27-year period and involving 35,814 participants (29) showed a higher incidence of cancer in those with poor self-regulation, revealing detrimental synergies between low self-regulation and other cancer risk factors (29,30). In patients with manifest cancer, higher self-regulation correlated with longer survival (29,30).

**Objectives**

The main objective of this individual patient data meta-analysis was the evaluation of the overall survival of long-term therapy with Iscador in addition to conventional oncological treatment in patients with primary breast cancer of different stages, compared with standard treatment alone. Survival is measured from the year of first diagnosis until death for any reason (except certified non-tumor-related accidents and suicides). In both randomized studies, psychosomatic self-regulation as a measure of autonomous coping with the disease was assessed twice; hence it can be analyzed, whether a therapy with Iscador, in addition to conventional oncological treatment, improves psychosomatic self-regulation in patients with breast cancer in comparison with standard treatment alone.

**Designs of Evaluated Studies**

All studies to be evaluated here were controlled cohorts and were prospective by design, that is, all breast cancer patients that were included in this research were recruited beginning in 1971, assessed, matched according to pre-specified relevant prognostic factors and followed up during their life time. The final follow-up happened during 1998.

The matching criteria included: tumor stage at first diagnosis for one of the four diagnostic groups (‘first diagnosis’), status of menopause at first diagnosis, year of first diagnosis of the breast cancer stage with up to ±3 years difference (data not shown), age at first diagnosis with up to ±3 years difference and type of conventional therapy. For details of the matching procedure, the randomization technique and the exclusion of pairs please refer to the original papers (6,8).

In the randomized studies, the data pool for building matched-pairs consisted of breast cancer patients that had never received mistletoe therapy. After randomization it was suggested to one partner of each pair to ask their attending physician (who had no part in the recruitment, selection and matching process) to prescribe them complementary Iscador therapy. If this suggestion was taken up, the pair was included.

In the case of non-randomized studies, if a matching partner could be found within the data base according to the above criteria for each Iscador patient of one of the stage groups, the pair was included.

Patients were followed-up by a team of scientific researchers working for the Institute of Preventive Medicine (Heidelberg). Up to 1998, they made periodically from 1 to several months standardized telephone interviews or home visits performing structured interviews using in each case predetermined case report forms. In the final follow-up during 1998 any dates and causes of death not yet registered were determined from the local resident’s registration offices (‘Einwohnermeldeamt’) and from the local boards of health (‘Gesundheitsamt’).

**Data Sets**

The combined data set MAMMARAND (2 x 55 patients) for the meta-analysis concerns the final raw data from the following randomized matched-pair studies (Table 1): MammaRand: (2 x 38 patients): primary breast cancer patients with no recurrences of the primary tumor, no lymphatic and no distant metastases (8); MammaLym Rand (2 x 17 patients): primary breast cancer patients with only lymphatic metastases and no recurrences (6,9).

The combined data set MAMMA (2 x 264 patients) for the meta-analysis concerns the final raw data from the following non-randomized matched-pair studies (Table 1): Mamma (2 x 84 patients): primary breast cancer with no recurrences of the primary tumor, no lymphatic and no distant metastases (8); MammaRec (2 x 42 patients): primary
breast cancer patients with only local recurrences of the primary tumor and no lymphatic or distant metastases (6); MammaLym (2 × 55 patients): primary breast cancer patients with only lymphatic metastases (6); MammaMet (2 × 83 patients): primary breast cancer patients with distant metastases (6).

**Subsets of Original Data Sets**

A patient group of pairs with ‘strict matching’ is a subgroup of all matched-pairs of patients fulfilling exactly all matching criteria. A patient group with a ‘balanced set’ is a subgroup of all matched-pairs of patients, where pairs with prognostic factors favoring patients with Iscador therapy were eliminated; they lie in between the complete data set and the set with strict matching. In the individual patient data meta-analysis, these different data sets were combined into sets of pairs with ‘strict matching’ and ‘balanced sets’, respectively (see Table 4).

**Statistics**

In the first stage of the meta-analysis of overall survival, the means and medians of the times estimated according to Kaplan-Meier were calculated. In addition, the log-rank statistic was used, including stratification according to the matched-pairs (31). All P-values are two-sided.

In order to explore the sensitivity of the matching criteria in the combined non-randomized studies, the complete data set was compared with the balanced set and the strictly matched set.

In the second stage of the meta-analysis of overall survival, the Cox proportional hazard (PH) regression model was applied to the combined complete data sets from the non-randomized matched-pairs studies. Stratification according to matched-pairs was applied, that is, we performed a conditional analysis accounting for matching. This generally produces a conservative estimate compared with the unmatched analysis (32) (§ 7.1). In addition, a stratification according to the individual data sets (see above) was applied. The model development and the assessment of model adequacy were performed according to recommendations (33,34). An automatic variable selection procedure was not used. An adjustment of prognostic factors in the combined analysis of the randomized studies was not performed. According to the recommendations (34) the assumption of PH was checked statistically and graphically; if any one, but not both, of these methods fails to show a positive result, we say that the PH assumption is ‘moderately fulfilled’.

Confidence intervals (CI) are always 95% CI and test results were judged as significant, if \( P < 0.05 \). The statistical analyses were performed using S-Plus 7.0 for Windows Professional Edition (Insightful Corp. 2005, Seattle, WA, USA). The Wilcoxon paired sample (WPS) tests, the Hodges-Lehmann estimates (35) and the marginal homogeneity tests were calculated for \( n < 100 \) using the exact procedures from StatXact 7 (Cytel Software Corporation 2005, Cambridge, MA, USA).

**Results**

**Patient Characteristics for Combined Randomized Matched-pair Studies (Table 2)**

MAMMARAND (\( n = 2 \times 55 \), Table 2)

The matching was perfect for the variables stage (FIGO, TNM) and grading. Concerning therapies, there were some minor differences in operation, chemotherapy and radiotherapy and larger differences in the case of hormone therapy. Most differences, particularly in the case of
hormone therapy, chemotherapy and radiotherapy, work in favor of the control group, i.e. patients of the control group had on average more of these therapies than those of the Iscador group. However, since these were randomized matched-pairs, there is no reason to believe that this leads to a systematic bias. Self-regulation at baseline was not matched; the difference between the therapy groups was not significant (WPS-test, \( P = 0.51 \)).
allowing no deviations. Details concerning the deviations from the strict matching within the groups (i) and (ii) can be found in the literature (8,9).

**MAMMA** (Table 3)

For the complete set \((n = 2 \times 264)\), the difference in the stages and in the status of menopause between the two groups was not significant (MH-test, \(P = 0.99\)). Concerning therapies, differences in chemotherapy were judged as relevant, which was also significant (MN-test, \(P = 0.007\)) in favor of the Iscador group. For radiotherapy, the situation was judged as balanced (MN-test, \(P = 0.82\)). Concerning age at first diagnosis, the difference was not significant (WPS-test, \(P = 0.97\)).

On this basis, 38 pairs had to be eliminated since the Iscador patients seemed to be favored by the distribution of the prognostic factors, in particular chemotherapy, in the end producing a balanced set of 226 pairs. Strict matching, i.e. with no exceptions in all matching variables, produced 144 pairs. Psychosomatic self-regulation at baseline was not matched; the difference between the therapy groups in the first evaluation of self-regulation was significant (WPS-test, \(P = 0.0005\)).

**Overall Survival**

Overall survival was analyzed for all data sets in four ways (see Tables 3 and 4): (i) descriptive analysis; (ii) stratified log-rank test on the basis of the matched-pairs; (iii) randomized matched-pairs studies: Cox PH model with no adjustment for other variables than Iscador therapy; (iv) non-randomized matched-pairs studies: Cox PH model fitted to all available prognostic factors with subsequent retrospective elimination and assessment of model adequacy.

The data set **MAMMARAND** that combines the data sets **MammaRand** and **MammaLymRand**, was analyzed in full descriptively (stratified log-rank test, \(P = 0.057\)) and with a Cox PH model: estimate of the hazard ratio with 95% CI: 0.59 (0.34, 1.02), PH assumption moderately fulfilled (Table 4, Fig. 1). In the individual randomized studies, **MammaRand** (censored values in 16 patients) and **MammaLymRand** (no censored values), however, the effects were not significantly in favor of the Iscador therapy (Tables 4, 5). Since there were no censored survival times in **MammaLymRand**, and the PH assumption was definitely not fulfilled for this data set, we calculated an estimate of the median of the pair wise differences of the survival times Iscador versus control: 2.5 (0.83, 4.50), \(P = 0.018\), with the Hodges–Lehmann procedure, which produced a significant result.

In a similar fashion, the data set **MAMMA**, which combines the data sets **Mamma**, **MammaRec**, **MammaLym** and **MammaMet**, was analyzed descriptively and with a Cox PH model. It turned out that the PH assumption in the adjusted model was moderately fulfilled; this model

| Study         | Set*          | Pairs | Mean | Median | Stratified log rank test |
|---------------|---------------|-------|------|--------|-------------------------|
| MammaRand     | Complete set  | 38    | 14.7 | 13.8   | 14.8                    | 14.3                    | 0.194                   |
| MammaLymRand  | Complete set  | 17    | 4.79 | 2.41   | 6.25                    | 2.33                    | 0.134                   |
| MAMMARAND     | Complete set  | 55    | 11.7 | 10.3   | 11.3                    | 10.8                    | 0.057                   |
| Mamma         | Complete set  | 84    | 12.1 | 10.3   | 11.8                    | 10.1                    | 0.0002                  |
|               | Balanced set  | 73    | 11.93| 9.98   | 11.8                    | 10.0                    | <0.0001                 |
|               | Strict matching| 24    | 11.21| 9.55   | 11.0                    | 10.2                    | 0.221                   |
| MammaRec      | Complete set  | 42    | 6.52 | 5.45   | 5.17                    | 4.83                    | 0.0079                  |
|               | Balanced set  | 39    | 5.90 | 5.35   | 5.17                    | 4.83                    | 0.0231                  |
|               | Strict matching| 29    | 6.08 | 4.44   | 5.17                    | 4.33                    | 0.0025                  |
| MammaLym      | Complete set  | 55    | 4.63 | 3.11   | 4.33                    | 3.17                    | 0.0004                  |
|               | Balanced set  | 42    | 3.88 | 3.05   | 3.92                    | 3.17                    | 0.0423                  |
|               | Strict matching| 38    | 3.86 | 2.97   | 4.04                    | 3.17                    | 0.0516                  |
| MammaMet      | Complete set  | 83    | 3.56 | 2.51   | 3.33                    | 2.25                    | 0.0007                  |
|               | Balanced set  | 72    | 3.27 | 2.36   | 3.08                    | 2.17                    | 0.0095                  |
|               | Strict matching| 53    | 3.42 | 2.38   | 3.08                    | 2.17                    | 0.0056                  |
| MAMMA         | Complete set  | 264   | 6.98 | 5.57   | 5.08                    | 3.58                    | <0.0001                 |
|               | Balanced set  | 226   | 6.63 | 5.47   | 4.83                    | 3.58                    | <0.0001                 |
|               | Strict matching| 144   | 5.37 | 4.15   | 4.33                    | 3.21                    | <0.0001                 |

*Groups with a ‘balanced set’ form subgroups of complete sets of matched-pairs not favoring the patients with Iscador therapy; groups with ‘strict matching’ form subgroups of complete sets of matched-pairs of patients fulfilling exactly all matching criteria.*
Table 5. Individual patient data meta-analysis with final Cox models for survival for the combined data sets MAMMARAND and MAMMA

| Randomized study | # Pairs | Estimate | 95% CI | Wald test P-value | Model fit PH assumption | Non-randomized study Model | # Pairs | Estimate | 95% CI | Wald test P-value | Model fit H assumption |
|------------------|---------|----------|--------|------------------|-------------------------|---------------------------|---------|----------|--------|------------------|------------------------|
| MammaRand        | 38      | 0.65     |        | 0.20             | Moderately              | Mamma                      | Unadjusted | 84       | 0.42   | 0.00023 | Yes                  |
|                  |         |          |        |                  |                         | MammaRec                   | Unadjusted | 42       | 0.43   | 0.012   | Yes                  |
|                  |         |          |        |                  |                         | MammaLym                   | Unadjusted | 55       | 0.37   | 0.0009  | No                    |
|                  |         |          |        |                  |                         | MammaMet                   | Unadjusted | 83       | 0.46   | 0.0009  | Yes                  |
|                  |         |          |        |                  |                         | MAMMA                      | Unadjusted | 264      | 0.42   | < 0.0005 | Yes                  |

The hazard ratio estimate measures the Iscador versus the control group and the P-value from the Wald test measures the significance of the estimated variable Iscador treatment (PH, proportional hazards, see Statistics section). In MAMMA there is 1 pair with a missing value in the variable self-regulation, and there are no significant interactions; the only significant adjustment factor is self-regulation (P < 0.001) measured at initial data assessment.
produces a highly significant result: 0.43 (0.34, 0.56), \( P < 0.0005 \) (Table 5, Fig. 2). The stratified log-rank test gives \( P < 0.0001 \) in all three cases (complete set, balanced set, strict matching, Table 4). In Fig. 2, the majority of all patients (73.5%) have survival times below 8 years; hence the first third of the figure is the most relevant part. The results of the individual non-randomized studies Mamma, MammaRec, MammaLym and MammaMet mirror these effects and show at least positive trends in favor of the Iscador group and in most cases highly significant results.

Self-regulation

Psychosomatic self-regulation was only assessed twice for the data sets MammaRand, MammaLymRand and Mamma. The second assessment for Mamma and Mamma Rand took place 12 months after initial data assessment (in the form of a structured personal interview with standardized checklists) and for MammaLymRand 3 months after initial data assessment. For the two randomized sets of matched-pairs MammaRand and Mamma LymRand, the complete sets of both sets individually and combined were analyzed by the WPS-test together with the Hodges–Lehmann procedure estimating the median of the pair wise differences of the second versus the first evaluation of self-regulation: 0.35 (0.05, 0.60) \( (P = 0.034) \), 0.90 (0.00, 1.75) \( (P = 0.055) \), combined 0.45 (0.15, 0.80) \( (P = 0.0051) \). For Mamma, the WPS-test was applied to the complete set, the balanced set and the set with strict matching: 0.20 (0.00, 0.35) \( (P = 0.031) \), 0.15 (0.00, 0.35) \( (P = 0.055) \) and 0.30 (0.05, 0.60) \( (P = 0.014) \), respectively. Hence nearly all studies show significant improvements or at least strong trends: Iscador therapy might have helped to improve psychosomatic self-regulation of breast cancer patients, as a measure of autonomous coping with the disease.

Discussion

Design and Analysis

An integrative approach was chosen to evaluate the complex interplay of factors that may influence the prognosis of cancer in real life, i.e. a combination of non-randomized controlled epidemiological studies with randomized intervention trials within the same cohort. Using this approach, the results of randomized trials with high internal validity (reliability results) can be integrated into the results of non-randomized studies with, in general, high external validity (generalizability). For this reason, we attempted to add a randomized intervention trial for the same indication and from the same cohort of patients for every cancer indication investigated by a non-randomized controlled epidemiological study. However, due to financial and logistical constraints, this was only possible in the stage groups of breast cancer without lymphatic and distant metastasis and breast cancer with only lymphatic metastases.

The reason for applying different types of analysis is to demonstrate the strength or the sensitivity of the results against different sets of constraints. Particularly, non-randomized studies are susceptible to different types of biases (36) that can be dealt with, to a certain extent, by comparing the results of different statistical approaches.

Randomized Matched-pairs

Overall, the results of the data set MAMMARAND, which combines the randomized matched-pairs studies Mamma Rand (breast cancer without recurrences and without metastases, 38 pairs) and MammaLymRand (breast cancer with only lymphatic metastases, 17 pairs), show at least strong positive trends in favor of the long-term complementary Iscador therapy versus conventional treatment alone.

Concerning overall survival, the individual patient data meta-analysis for all randomized matched-pairs was almost significant in favor of the Iscador group in the descriptive analysis (log rank test, \( P = 0.057 \) as well as in the Cox model [estimate for hazard ratio: 0.59 (0.34, 1.02)].

Concerning the short-term improvement of psychosomatic self-regulation, the combined data set MAMMA RAND shows significant improvements: estimate of the median difference 0.45 (0.15, 0.80). In MammaLymRand the estimate of the median of improvement was 0.9 on a scale from 1 to 6. As there was no significant difference in the baseline values in MAMMARAND (Table 2), also minor improvements with respect to the Iscador group might be of some clinical relevance (25).

Non-randomized Matched-pairs

Overall, the results of the data set MAMMA that combines the non-randomized studies Mamma, MammaRec,
MammaLym, MammaMet, show highly significant results in favor of the Iscador group in all cases.

Particularly, when concerning overall survival, all results of the unadjusted evaluations were significantly in favor of the Iscador group (Table 4). This compares well with the corresponding adjusted Cox PH model of MAMMA with the following estimate for hazard ratio: 0.43 (0.34, 0.56) (Table 5). In this case, self-regulation was a significant \( P < 0.001 \) predictor of the outcome and included in the model, therefore.

For the different subsets, the unadjusted analyses show comparable results (Table 4), proving that the original sets were fairly well balanced across the different therapy groups, at least with respect to the prognostic factors used in the matching process. This is supported by the fact that the results of the Cox PH model in most individual studies do not differ very much between adjusted and unadjusted analyses [Table 5, details in (8,9)]. Also, in the combined set MAMMA, the results of the unadjusted analysis for the hazard ratio was 0.42 (0.33, 0.55), which is very close to the above mentioned value.

Consistency and Generalizability

The baseline values and the results of the randomized matched-pair trial MammaRand and the non-randomized matched-pair study Mamma were well comparable (8) (Tables 3 and 4). That is, although not significant in all cases, the results of the randomized trial MammaRand were consistent with the results of Mamma: they point in the same direction. Together, both studies gain from each other: the first has the stronger validity and the latter the stronger generalizability.

For MammaLymRand and MammaLym, the situation is more complex. The patients from the latter were \( ~15 \) years older at the time of first diagnosis and have a much higher level of self-regulation (9) (Tables 3 and 4). Still, the results for survival in MammaLymRand as well as for MammaLym were convincing in favor of Iscador therapy. The results of the combined data sets MAMMARAND and MAMMA also point in the same direction and could be interpreted as complementing each other.

For survival of breast cancer patients of all stages, particularly without metastases, there is evidence from other controlled studies in favor of mistletoe therapy, particularly Iscador (12,37). In addition, research based on archival data show a significant advantage for Iscador therapy in survival (38,39). Concerning the short term effects of Iscador therapy on psychosomatic self-regulation of breast cancer patients, the results of the randomized trials Mamma Rand, MammaLymRand and their combined data set MAMMARAND, as well as those of the non-randomized study Mamma, were highly consistent. They compare well with other randomized trials on the effect of mistletoe therapy on the overall quality of life of breast cancer patients (13,40,41). In addition, two recently published non-randomized controlled studies with Iscador therapy in addition to conventional therapy versus conventional therapy alone show similar effects (15,16).

Causality

The question certainly arises, whether the reported associations in the non-randomized matched-pairs studies between survival and therapy with the mistletoe preparation Iscador were effects of unknown origin or causally related to this therapy. The foregoing considerations show that these effects were not spurious: they were significant in nearly all cases. Although bias and confounding cannot be ruled out in non-randomized studies, the consistent results of the randomized trials and the non-randomized parallel studies suggest a residual effect that is due to the therapy with Iscador alone.

The main conditions of the causal character of an association include (42–45): (i) strong association, (ii) temporal sequence, (iii) consistency of results with results of studies with different design and different populations, (iv) dose-response relationship, (v) biological plausibility of clinical effects.

Condition (i) was fulfilled in most studies reported above and condition (ii) in all of them. According to the considerations in the previous section, condition (iii) was also fulfilled. There was no explicit evidence for (iv) and, up until now, no explicitly empirically validated theory for (v). Hence, there is some evidence for a causal relationship between the therapy with Iscador and effects on survival; at least, there is absolutely no evidence against the hypothesis of such a causal relationship.

Tolerability and Safety

The documentation of unintended adverse drug reactions of a therapy with Iscador has not been part of the design of these studies. However, there is no evidence for severe adverse effects that can plausibly be related to this therapy [see the overviews (3,46,47)]. This has also been supported by newer data on the tolerability and safety of a complementary therapy with Iscador in the treatment of breast cancer (39). In addition, apart from its effects on the prolongation of survival and the delay of tumor progression, mistletoe therapies reduce the side effects of conventional chemotherapy of gynecological cancers (15,16,39,41) that is, this type of complementary therapy helps patients to achieve a better quality of life, despite the impairments of chemotherapy.

Conclusions

The consistency of results across randomized and non-randomized studies, as well as across different types of analyses, gives some evidence that a long-term therapy
with mistletoe preparations, particularly Iscador, has a clinically relevant prolonging effect on survival. In the short term, psychosomatic self-regulation, as a measure of autonomous coping with the disease, increases significantly more under Iscador therapy than under conventional therapy alone. Overall, therapy with Iscador seems to prolong survival and to improve psychosomatic self-regulation of breast cancer patients.

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