Angiogenesis in Hepatocellular Carcinoma; Pathophysiology, Targeted Therapy, and Role of Imaging

Abstract: Hepatocellular carcinoma (HCC) is one of the most common tumors worldwide, usually occurring on a background of liver cirrhosis. HCC is a highly vascular tumor in which angiogenesis plays a major role in tumor growth and spread. Tumor-induced angiogenesis is usually related to a complex interplay between multiple factors and pathways, with vascular endothelial growth factor being a major player in angiogenesis. In the past decade, understanding of tumor-induced angiogenesis has led to the emergence of novel anti-angiogenic therapies, which act by reducing neo-angiogenesis, and improving patient survival. Currently, Sorafenib and Lenvatinib are being used as the first-line treatment for advanced unresectable HCC. However, a disadvantage of these agents is the presence of numerous side effects. A major challenge in the management of HCC patients being treated with anti-angiogenic therapy is effective monitoring of treatment response, which decides whether to continue treatment or to seek second-line treatment. Several criteria can be used to assess response to treatment, such as quantitative perfusion on cross-sectional imaging and novel/emerging MRI techniques, including a host of known and emerging biomarkers and radiogenomics. This review addresses the pathophysiology of angiogenesis in HCC, accurate imaging assessment of angiogenesis, monitoring effects of anti-angiogenic therapy to guide future treatment and assessing prognosis.

Keywords: angiogenesis, hepatocellular carcinoma, anti-angiogenic therapy, Sorafenib

Introduction

Primary liver tumors are the 6th most commonly diagnosed cancers and the second most common cause of cancer deaths around the world.\(^1\) Hepatocellular carcinoma (HCC) accounts for about 90% of primary liver tumors with the highest disease burden in sub-Saharan Africa and Asia where Hepatitis B virus (HBV) infection is endemic.\(^2\) Most of these cases, especially in Asia and Africa, present at an advanced stage, typically beyond the capability of curative treatment. Greater than 70% of HCC is diagnosed at later stages, typically when unresectable. The main treatment options for unresectable HCC include loco-regional therapy with trans-arterial chemoembolization (TACE) or systemic therapy with agents such as Sorafenib.

Anti-angiogenic therapy is currently the recommended therapy for advanced stage disease given the highly vascular nature of HCC.\(^3\) We will focus our discussion on pathophysiological concepts of angiogenesis in HCC, and the role of...
imaging in the assessment of angiogenesis and monitoring the response to treatment of patients with HCC treated with anti-angiogenic therapy.

Epidemiology of HCC
Generally, HCC results from a series of liver insults either acute or sub-acute which progress slowly into fibrosis and subsequent cirrhosis. Less commonly, HCC develops without previous liver cirrhosis. In the cirrhotic liver, HCC is the end result of a progressive hepatic carcinogenesis sequence, beginning with regenerative nodules, dysplastic nodules and final evolution into HCC. Most of the patients with HCC have an associated history of viral hepatitis infection. HBV and Hepatitis C virus (HCV) account for 50% and 25% of all cases of HCC, respectively. Obesity and diabetes mellitus have also been associated with an increased risk of HCC due to the development of non-alcoholic steatohepatitis (NASH). Chronic alcohol consumption can be synergistic with hepatitis in the development of HCC. Chronic aflatoxin exposure is another risk factor for HCC but this is less common in the USA, mostly occurring in Asia and Africa.

Pathophysiology of Angiogenesis in HCC
Angiogenesis refers to the expansion and remodeling of the primary embryonic vascular network. This occurs physiologically in adults during the menstrual cycle and during processes such as wound healing. Understanding the pathophysiology of angiogenesis is critical in the management of patients with HCC. Under non-pathological conditions, angiogenesis is a highly ordered and tightly regulated process with complex but balanced interactions between pro-angiogenic and anti-angiogenic factors. These factors can be divided into secreted factors and membrane bound factors. Secreted factors include vascular endothelial growth factor (VEGF), fibroblast growth factor (FGF), angiopoietins (Ang), and platelet-derived growth factors (PDGF). Membrane bound factors include neuropilin receptors (NRP). Uncontrolled angiogenesis can be seen in the setting of solid tumors and diabetic retinopathy.

Tumor-induced angiogenesis is mediated by two essential factors, over-expression of angiogenic factors, as well as inhibition of anti-angiogenic factors, resulting in increased tumor vascular burden with abnormal blood vessels which lack normal vascular structure with deficient pericytes, smooth muscle cells, and intact basement membrane.

Knowledge of the particular effect of angiogenic factors has been important in the development of the pharmaceutical/therapeutic options in managing uncontrolled angiogenesis such as that found in tumors. These factors may be used either via stimulation or inhibition of angiogenesis to have a direct effect on cancer treatment.

Vascular Endothelial Growth Factor (VEGF)
VEGF, the most well-known angiogenic factor, is a secreted factor and a key regulator. VEGF is normally expressed in the human body at low levels but has high expression in tumors. It was found that about 91% of advanced HCCs show elevated VEGF expression, relative to normal conditions. VEGF-A is the isoform responsible for angiogenesis and vascular remodeling, it binds to tyrosine kinase-related receptors (VEGFR). There are at least 3 known members of these receptors: VEGFR-1, VEGFR-2, and VEGFR-3. VEGFR 1 and 2 are considered the most important receptors in angiogenesis. The levels of VEGFR-2 have been correlated with a worse outcome in HCC. Binding of VEGF with its receptor stimulates a transduction signal that leads to proliferation and migration of endothelial cells (EC), as well as induction of angiogenesis. Bevacizumab is an example of a VEGF-A antibody which has been widely used in brain and colorectal cancers, among others.

Fibroblast Growth Factor (FGF)
FGFs are a family of growth factors containing several members which interact with tyrosine kinase receptors (FGF receptors (FGFR) 1 through 4). FGFs and its receptors have numerous functions including differentiation as well as maintenance of neovascularization initiated by VEGF. This is mediated by the enzyme Heparanase which induces angiogenesis, new vessel formation and stimulation of endothelial cell invasion to induce distant metastasis.

FGFRs are normally expressed in adult cells with FGFRs 3 and 4 being the most commonly expressed by normal hepatocytes. Clinical studies demonstrate the importance of FGF subtype-19 (FGF19) in tumor-induced angiogenesis, where it was shown that FGF19/FGFR4 complex was over-expressed in adult HCC. In addition, administration of FGF19 neutralizing antibodies prevents HCC development in mice.

A recent pre-clinical study showed that the FGF signaling pathway maintains survival of murine HCC after angiogenesis inhibition, confirming the integral role of FGR/FGFR in HCC induced angiogenesis. Furthermore, it suggested the need of dual inhibition of VEGF and FGF axes to enhance cancer cell death.
Angiopoietins and Tie Receptors

Angiopoietins (Ang) are secreted proteins that play an important role in HCC. These proteins interact with Tie receptors, which are membrane bound tyrosine kinase receptors. The Ang/Tie complex enhances vascular stability and induces apoptosis and cellular matrix destabilization in the absence of VEGF. Over-expression of Ang-2, relative to Ang-1, is found to be correlated with HCC. It was hypothesized that the role of Ang/Tie complex in the development of HCC is much more important than the VEGF system, which makes HCC a suitable neoplasm for anti-angiogenic therapy.

Platelet-Derived Growth Factor (PDGF)

PDGFs are secreted growth factors closely related to VEGF and are important in HCC-induced angiogenesis. PDGF binds to tyrosine kinase receptors; PDGF receptors (PDGFR) α and β. Binding of the growth factors with their corresponding receptors leads to activation of signaling cascade which leads to upregulation of VEGF and recruitment of perivascular cells. The role of the PDGF/PDGFR complex in angiogenesis was found to contribute to tumor development, along with its autocrine role in the stimulation of cancer cells. Overexpression of PDGF-C and B subtypes was found to correlate with liver fibrosis and progression of dysplastic nodules to HCC in mice models.

Neuropilin Receptors (NRP)

The two homologs of NRP family (namely NRP-1 and NRP-2) each has a different action and role. In the liver, NRP-1 receptors are expressed in veins and capillaries and bind with VEGF to act as co-receptors for VEGF. Hepatic NRP-1 was found to bind hepatocytes growth factor (HGF) which has potent angiogenic activity for hepatocytes. NRP-1 co-localizes with PDGF receptor-β, resulting in tumoral spread.

Anti-Angiogenic Therapy of HCC

Treatment options for advanced HCC are limited, usually improving overall survival rather than curing the disease. These options include chemotherapy, radio-embolization, immune check-point therapy, and anti-angiogenic therapy.

Anti-angiogenic therapy was developed with the rationale that these drugs lead to destruction of the abnormally structured blood vessels resulting in tumor hypoxia and shrinkage. However, Jain et al introduced the vascular normalization hypothesis that anti-angiogenic therapy restores the integrity and structure of the tumor-induced blood vessels without destroying these vessels.

Anti-angiogenic induced reduction in tumoral vascular burden induces hypoxic tumoral microenvironment. This hypoxia leads to accumulation of certain chemokines including programmed death receptor ligand 1 (PD-L1) which suppress immune cells and induces resistance to anti-angiogenic therapy, recent advances include the combination of immune checkpoint therapy with anti-angiogenic therapy to treat advanced HCC.

To date, the US Food and Drug Administration (FDA) has approved few anti-angiogenic drugs for HCC. Table 1 summarizes these drugs and their indications.

Sorafenib is an oral multi-kinase inhibitor that targets the activity of VEGF-2, PDGFR-β, and other signaling cascades, and has been the only approved systemic therapy for HCC for over a decade. Sorafenib upregulates P53 and decreases expression of matrix metalloprotease-2 (MMP-2) which suppresses cellular proliferation and invasion. It is the only anti-angiogenic drug incorporated into the American Association for the Study of Liver Diseases (AASLD) guidelines for treatment advanced HCC (unresectable HCC), (Figure 1). Although its indication of use is still vague, it is the first line of treatment in advanced HCC (with macro-vascular invasion or metastatic disease).

Lenvatinib is a more potent anti-angiogenic drug than Sorafenib, which acts through multi-kinase inhibition of VEGFR (more potent than Sorafenib), FGF receptors and PDGFR. Dual suppression of VEGF and FGF pathways results in concomitant suppression of both neo-angiogenesis and tumor growth. Lenvatinib is used in unresectable HCC with comparable results in overall survival between both

Table 1 List of Anti-Angiogenic Drugs That are FDA Approved for Treatment of HCC

| Drug       | Indication                                      | Clinical Trials                          | Date of Approval |
|------------|------------------------------------------------|------------------------------------------|------------------|
| Sorafenib  | Unresectable HCC                               | SHARP trial and Asia-Pacific trial       | April 2007       |
| Levatinib  | Unresectable HCC                               | REFLECT trial, 2018                      | August, 2018     |
| Regorafenib| HCC previously treated with Sorafenib          | RESORCE trial, 2017                      | April, 2017      |
| Cabozantinib| HCC previously treated with Sorafenib         | CELESTIAL trial, 2018                    | January, 2019    |
| Ramucirumab| HCC previously treated with Sorafenib with AFP ≥ 400 | REACH-2 trial, 2019                     | May, 2019        |

[12], [34], [56], [78], [910]
Lenvatinib and Sorafenib.

Lenvatinib provides an advantage over Sorafenib with a longer time to progression as well as progression-free survival in patients enrolled in the trial. Regorafenib and Cabozantinib are tyrosine kinase inhibitors which are the only anti-angiogenic drugs that were seen to be advantageous as a second-line therapy in patients who progressed on Sorafenib. Regorafenib and Cabozantinib show statistically significant improvement in overall survival and progression-free survival over the use of placebo in these patients. Ramucirumab is a monoclonal antibody that inhibits the VEGF axis through blocking the activation of VEGFR-2. The FDA recently approved the use of Ramucirumab based on Phase 3 trial (REACH-2) that demonstrated effectiveness of this drug in patients who progressed on Sorafenib with AFP ≥ 400 ng/mL. It showed an increase in overall survival and progression-free survival with comparable results as Cabozantinib and Regorafenib.

Imaging of HCC for Evaluation of Angiogenesis

Monitoring anti-angiogenic therapy for HCC is crucial in management and improves the effectiveness and efficiency of patient care. The primary response of anti-angiogenic therapy depends predominantly on intra-tumoral vascular changes (Figure 2). This response is unlike cytotoxic drugs which result in the killing of tumor cells directly, leading to tumor necrosis and hemorrhage with monitoring of post-treatment effect depending solely on size and volume (as in RECIST 1.1 and WHO classification). Using this same method to monitor response may provide an erroneous treatment response during anti-angiogenic treatment (Figure 3).

Routine Imaging Studies for Angiogenesis Evaluation

Magnetic resonance imaging (MRI) is rapidly proving to be a superior modality in oncologic imaging with technologic improvements in acquisition and image refinement. Several studies have demonstrated that MRI has better sensitivity and specificity for both detection and characterization of HCC compared to computed tomography (CT) and ultrasound imaging. Imaging of angiogenesis is best performed using dynamic contrast-enhanced multi-ophase CT and MRI. The enhancement pattern of HCC depends on changes that occur due to angiogenesis during the process of carcinogenesis. Normally, the main blood supply of the liver is from the portal vein with little contribution from the hepatic artery. In HCC, especially in advanced cases, vascular supply is typically only from the hepatic artery with no contribution from the portal system (Figure 4). Detection of tumoral vascularity depends on intravenous injection of contrast media that causes differential enhancement between the tumor and the normal liver parenchyma.

The classic enhancement pattern according to Liver Imaging Reporting and Data System (LI-RADS®) v2018 and AASLD is tumoral hyper-enhancement in the arterial phase since normal hepatic parenchyma is supplied mainly by the portal vein while HCC depends primarily on hepatic arterial supply. The classic pattern of HCC is washout out in the portal/delayed phase which contrasts with the background parenchymal enhancement in these phases relative to the HCC, which becomes hypo-attenuated relative to normal liver (Figure 5). This classic enhancement pattern is present in only 28% of HCC measuring 1–2 cm. At this size, HCCs even may be hypo-vascular and appear hypo-attenuated in cross-sectional imaging, which is a diagnostic dilemma (Figure 6). These findings may be due to the fact that these lesions contain few

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**Indications of resectability in HCC**

1. HCC in patients without liver cirrhosis
2. Absence of clinically significant portal hypertension & Child-Pugh Class A liver cirrhosis
3. Anatomical considerations for resection:
   a) Number of focal lesions (1-3 unilobar lesion)
   b) Size of the tumor (≤ 5cm single lesion, ≤ 3cm multiple lesions)
   c) Absence of extra-hepatic spread & macrovascular invasion
   d) Adequate hepatic reserve (according to anticipated volume resection & liver function) (BCLC stage 0/A)

Resection of single HCC in patients with well-preserved liver function & no portal hypertension with platelets count > 100,000 have nearly 70% survival at 5 years

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**Figure 1** Indication of HCC resection as stated by AASLD guidelines for treatment HCC 2018. Data from Poon et al.
unpaired arteries. The degree of tumoral enhancement can be monitored using treatment with Sorafenib, due to its antagonistic effect on blood vessels. MRI has an advantage over CT in monitoring treatment response since enhancement effects on MRI are more robust than on CT imaging. Tumoral necrosis appears as non-enhancing areas with increased necrosis-to-viable tumor ratio. Dynamic contrast-enhanced MRI is more sensitive than CT in diagnosis of HCC as MRI offers various ancillary sequences sensitive for detection and characterization, including diffusion weighted imaging (DWI) (in addition to the signal changes on T1 and T2 weighted images). T1 and T2 weighted images (WI) can show signal changes following therapy as early as 2–4 weeks. Tumoral response usually appears as focal/diffuse increased signal on both T1 and T2 weighted sequences due to necrosis.
hemorrhage or both (Figure 7). These changes in signal must be interpreted with caution as Sorafenib usually induces intra-tumoral hemorrhage which affects the signal of T1WI and T2WI according to the phase of hemorrhage (early, subacute or late phases).\textsuperscript{77,78} DWI is an important imaging biomarker to monitor Sorafenib therapy. HCC usually shows diffusion restriction with high signal on DWI. Cellular changes following Sorafenib therapy lead to decreased signal on DWI and increased ADC values i.e. decreased diffusion restriction\textsuperscript{79} (Figure 8).

\textbf{Figure 4} Digital subtraction angiogram of trans-femoral injection of contrast through the hepatic artery revealed a focal hepatic lesion with progressive increased arterial uptake ("tumoral blush"), confirming that the main origin of the HCC supply is from the hepatic artery not portal vein, like the rest of the hepatic parenchyma.
Specific Imaging Studies for Angiogenesis

The need for specific tools to assess anti-angiogenic response emerges from the lack of quantitative studies to track early changes in angiogenesis.

Perfusion Cross-Sectional Imaging

Hepatic perfusion imaging can be used for monitoring the response of anti-angiogenic therapy and depends predominantly on the vascular burden of the tumor. Perfusion imaging is obtained using contrast-enhanced studies with quantification of the blood flow at the capillary level per unit time, depending on the hemodynamic circulation of the contrast agent. Quantitative studies are shown to be more sensitive in detecting response to anti-angiogenic therapy in the form of vascular burden changes, compared to RECIST and also detects changes much earlier than mRECIST. 66,80

Hepatic Perfusion CT scan (pCT) includes a pre-contrast series followed by sequential scanning of the tumor in two phases: an early phase within 40–60 seconds from the time of contrast administration (30–60 mL of iodine-based contrast agent must be injected at a rate ≥5 mL/sec followed by 50 mL saline flush) and a delayed phase within 2–10 mins. Perfusion CT requires a multi-detector scanner (≥16 detector configuration) with high temporal resolution (one image per second) to ensure proper extraction of the perfusion parameters. 81,82

Perfusion MRI (pMRI) of the liver is a dynamic T1W imaging technique that can provide quantitative data of tumor microvasculature, detecting vasculature changes in the tumor, prior to and after therapy. Pre-contrast T1 mapping is usually performed in the oblique axis (to include all vessels) followed by gadolinium-based extracellular contrast...
injection. Contrast enhancement is evaluated on the late arterial, portal venous and delayed dynamic phases. 3D gradient echo techniques such as fast spoiled gradient echo, fast low angle shot (FLASH) with variable flip angles with parallel imaging are recommended for image acquisition due to a higher signal-to-noise ratio and less scan time.\textsuperscript{83,84} In either modality, the scan should include the main portal vein, aorta and the region of interest (HCC under therapy in our case).

Quantification of the perfusion parameters can be obtained by either model-free approach or model-based approach; model-free approach depends on capturing tissue enhancement rate in relation to contrast passage through the tissues.

Figure 7 Axial MRI of the liver ((A1, A2) post contrast, (B1, B2) T2WI) showing segment VI HCC before and after treatment with Sorafenib. Notice in panel (A) post-treatment MRI (A2) shows HCC with decrease central enhancement (comparing to pre-treatment image (A1)) with geographical perilesional enhancement go with perfusion changes. Notice in image (B2) the increase in T2WI signal intensity (compared to (B1)) as a part of post-Sorafenib changes.
Perfusion parameters are extracted from the maximum slope of time-to-intensity curve of hepatic artery and portal vein to derive only hepatic perfusion index (HPI). Model-based approach built according to tracer kinetic physiological models. The most commonly used models in liver perfusion images are dual compartmental model (deconvolution analysis) and distributed parameter model (for further details about these kinetic models, see reference 85). The difference between both models depends on the contrast concentration gradient between the intravascular and interstitial space.

The “Dual Compartment Model” assumed an equal distribution of the contrast between both spaces while the “Distributed Parameter Model” takes into consideration the tracer concentration gradient between both spaces, especially in cases of HCC due to the presence of immature tumoral vessels. Being computationally simpler, the Dual Compartment Model is more commonly used with

Table 2 Perfusion Parameter Obtained in the Previous Studies for Monitoring Treatment with Sorafenib

| Perfusion Parameters                          | Measurement Unit                                      | Definition                                                                 |
|----------------------------------------------|-------------------------------------------------------|---------------------------------------------------------------------------|
| Blood volume (BV)                            | 100mL/100g of tissue mL/100mL/min % mL/s per 100 g seconds min⁻¹ % Seconds mL/min/100 mL | Blood volume contained in 100g of tissue Arterial fractional blood flow Percentage of total liver blood flow from arterial origin Flow rate in the tissue organ Time to reach peak on concentration of contrast media Constant volume transfer/extraction fraction Constant rate between extracellular space and blood plasma The extracellular volume contained in a volume of tissue Average amount of time it takes blood to transit through a given volume of liver Flow of contrast through the capillary membranes in a certain volume |
the main drawbacks being the underestimation of the permeability surface area product and inability to calculate the mean transit time (MTT), which is an important parameter for monitoring anti-angiogenic therapy.85–87

Perfusion studies have recently been shown to be valid for assessment of response to anti-angiogenic drugs using the parametric perfusion maps and extracting parameters from the kinetic model (Table 2). These values reflect the flow of contrast between the extracellular and intracellular compartments, thus indicating tumoral blood flow and vascular permeability.88–91

Generally in both pCT and pMRI, there is a trend for a significant decrease in the levels of blood flow (BF), BV, HPI and ALP with significant increase in MTT.80,81

K-trans is the most important parameter extracted from pMRI, which is independently affected by blood flow, vessel surface area, and vessel permeability. In pre-clinical & clinical trials, K-trans is associated with higher microvascular density and its level is correlated with the aggressive nature of the liver nodules being highest in HCC compared with regenerative nodules.92–96

Research in perfusion imaging is ongoing in preclinical and clinical trials and is a promising radiological marker for monitoring response to anti-angiogenic therapy.

Use of Contrast Enhanced US (CEUS) in Diagnosis of HCC

CEUS is a potential third modality besides MRI and CT to diagnose HCC, but it has not been approved in the USA since cholangiocarcinoma may show the same vascular enhancement pattern as HCC.97 CEUS, however, has its uses in certain circumstances. According to LI-RADS, CEUS can be used for (a) further evaluation of focal lesions ≥10 mm detected on unenhanced US, (b) further assessment of probably HCC on CT/MRI (LI-RADS 3/4) and (c) detection of arterial hyper-enhancement when there is a mismatch on a prior CT or MRI. Microbubble injection during US is safe which may be an advantage over CT and MR where contrast agents can have potential risks in some patients.98

Conclusion

Recent imaging advancements are now being used routinely in monitoring angiogenesis which is an essential and integral part of treatment in advanced HCC. Ongoing clinical trials and novel treatments are promising, many using a combination of immune therapy, loco-regional therapy and anti-angiogenic therapy for advanced HCC management. Recent advances in MR techniques and other radiological biomarkers are currently being used to monitor changes in angiogenesis in advanced HCC.

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