THERMAL PHASES OF EARTH-LIKE PLANETS: ESTIMATING THERMAL INERTIA FROM ECCENTRICITY, OBLIQUITY, AND DIURNAL FORCING

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ABSTRACT

In order to understand the climate on terrestrial planets orbiting nearby Sun-like stars, one would like to know their thermal inertia. We use a global climate model to simulate the thermal phase variations of Earth analogs and test whether these data could distinguish between planets with different heat storage and heat transport characteristics. In particular, we consider a temperate climate with polar ice caps (like the modern Earth) and a snowball state where the oceans are globally covered in ice. We first quantitatively study the periodic radiative forcing from, and climatic response to, rotation, obliquity, and eccentricity. Orbital eccentricity and seasonal changes in albedo cause variations in the global-mean absorbed flux. The responses of the two climates to these global seasons indicate that the temperate planet has $3 \times$ the bulk heat capacity of the snowball planet due to the presence of liquid water oceans. The obliquity seasons in the temperate simulation are weaker than one would expect based on thermal inertia alone; this is due to cross-equatorial oceanic and atmospheric energy transport. Thermal inertia and cross-equatorial heat transport have qualitatively different effects on obliquity seasons, insofar as heat transport tends to reduce seasonal amplitude without inducing a phase lag. For an Earth-like planet, however, this effect is masked by the mixing of signals from low thermal inertia regions (sea ice and land) with that from high thermal inertia regions (oceans), which also produces a damped response with small phase lag. We then simulate thermal light curves as they would appear to a high-contrast imaging mission (TPF-I/Darwin). In order of importance to the present simulations, which use modern-Earth orbital parameters, the three drivers of thermal phase variations are (1) obliquity seasons, (2) diurnal cycle, and (3) global seasons. Obliquity seasons are the dominant source of phase variations for most viewing angles. A pole-on observer would measure peak-to-trough amplitudes of 13\% and 47\% for the temperate and snowball climates, respectively. Diurnal heating is important for equatorial observers ([\textasciitilde 5\% phase variations), because the obliquity effects cancel to first order from that vantage. Finally, we compare the prospects of optical versus thermal direct imaging missions for constraining the climate on exoplanets and conclude that while zero- and one-dimensional models are best served by thermal measurements, second-order models accounting for seasons and planetary thermal inertia would require both optical and thermal observations.

Key words: astrobiology – Earth – instrumentation: interferometers – methods: numerical – planets and satellites: atmospheres – techniques: photometric

Online-only material: color figures

1. INTRODUCTION

An extrasolar planet’s disk-averaged emitting temperature changes throughout its orbit, a phenomenon known as thermal phase variations. In this paper, we use a comprehensive global climate model (GCM) to compare the thermal phase variations for two very different climates that are known to have existed in the Sun’s habitable zone (HZ): a temperate climate and a pan-glacial climate from Earth’s geological past (“snowball Earth”). After introducing our climate model (Section 2) and discussing its time-averaged characteristics (Section 3), we study the periodic radiative forcings and intrinsic climate response (Section 4). We then consider, in Section 5, how these climate variations manifest themselves to an external observer; we discuss our results in Section 6 and conclude in Section 7. Such a study can help determine whether time-resolved thermal photometry will be as useful a tool for directly imaged exoplanets as it is for the current crop of short-period giant planets.

As Cowan et al. (2011) noted, the most direct way to distinguish a temperate climate from a snowball is to measure the planet’s Bond albedo. By combining an exoplanet’s emitting temperature with its bolometric flux, one can obtain estimates of its radius and albedo. The albedo of a snowball planet would be more than twice that of a temperate planet, making such a measurement easy for an infrared direct imaging mission (e.g., NASA’s Terrestrial Planet Finder Interferometer, TPF-I, or ESA’s Darwin; Beichman 1998; Fridlund 2000, respectively).\textsuperscript{5} However, an albedo estimate is necessary, but insufficient, for understanding a planet’s climate. Perversely, the end state at the inner edge of the HZ is also highly reflective, if Venus is any indication.

A climate constraint orthogonal to albedo is planetary thermal inertia, which depends on atmospheric and surface characteristics. Terrestrial planets may be divided into four categories based

\textsuperscript{5} It is worth noting, however, that the albedo of snow, ice, and clouds is lower for planets orbiting low-mass stars (Joshi & Haberle 2012). This makes it harder for such planets to enter a runaway snowball, but also makes it harder to recognize such snowballs, once formed.
on albedo and thermal inertia: (1) low albedo and low thermal inertia (Mars), (2) high albedo and low thermal inertia (snowball), (3) high albedo and high thermal inertia (Venus), and (4) low albedo and high thermal inertia (Earth). Furthermore, a large thermal inertia for a planet with a modest atmosphere would constitute circumstantial evidence for liquid water oceans.

A planet’s thermal inertia can be estimated based on its response to external radiative forcing. Gaidos & Williams (2004) used an analytic energy balance model (EBM), which assumes diffusive heat transport, to explore how the thermal phase variations of terrestrial planets are affected by a planet’s eccentricity, obliquity, and thermal inertia. They found strong degeneracies between these factors: even if the planet’s orbit is well characterized via astrometry or imaging, the obliquity, solstice phase, and thermal inertia cannot be uniquely determined without detecting second-order phase variations.

The present study goes beyond the important work of Gaidos & Williams (2004) in the following ways: (1) we use a GCM rather than an analytic EBM, allowing us to self-consistently model the three-dimensional atmosphere and ocean dynamics of these planets; (2) we test the effect of planetary thermal inertia using temperate and snowball climates, which are realistic and stable on geological timescales; (3) we include the effects of diurnal heating and rotational variability; and (4) we simulate 10 years’ worth of observations for each planet, allowing us to evaluate the repeatability of these patterns given stochastic weather and inter-annual variability. Finally, our study is complementary to Gaidos & Williams (2004): we are unable to vary eccentricity or obliquity because of computational expense, but we consider a wide variety of viewing geometries.

1.1. Viewing Geometry

It is useful to first define the system geometry as shown in Figure 1. An exoplanet’s orbital inclination, i, denotes how far it is from being in a face-on orbit: a planet with $i = 0$ orbits in the plane of the sky, while $i = 90^\circ$ means an edge-on orbit. Unless a planet is in a perfectly face-on orbit, it exhibits phases: when the planet is closest to us, inferior conjunction, we primarily see the planet’s night side; when the planet is farthest from us, superior conjunction, we see mostly day side. A planet on an edge-on orbit is “new” at inferior conjunction, and “full” at superior conjunction. Quadrature occurs when we see half of the illuminated hemisphere of the planet (as with the Moon’s quarter phases). A planet on a face-on orbit is permanently in quadrature; for other inclinations, quadrature occurs twice per orbit.

The angle between the planet’s rotation axis, $\omega_{\text{rot}}$, and its orbital axis, $\omega_{\text{orb}}$, is the obliquity, $\Theta$. The orientation of the rotational axis with respect to an observer is specified by the orbital phase of northern summer solstice with respect to superior conjunction, $\xi_{\text{obs}}$. Note that solstice phase is related to—but not the same as—precession phase, the orientation of the planet’s spin axis with respect to periastron.

The sub-observer latitude, $\theta_{\text{obs}}$, is the latitude directly beneath the observer. If the planet’s equator is directly beneath the observer, then $\theta_{\text{obs}} = 0^\circ$ (“equator-on” viewing geometry), while $\theta_{\text{obs}} = 90^\circ$ indicates that the observer is located directly above the planet’s north pole (“pole-on” viewing geometry).

The sub-observer latitude is related to the inclination, planetary obliquity, and solstice phase:

$$\sin \theta_{\text{obs}} = \sin \Theta \cos \xi_{\text{obs}} \sin i + \cos \Theta \cos i,$$

where conservation of angular momentum dictates that all of the parameters in the equation are constants, provided that we neglect precession.

1.2. Sources of Thermal Phase Variations

An externally heated planetary atmosphere can roughly be thought of as a heat engine. For example, surface-to-tropopause and equator-to-pole heating gradients on Earth lead to steady-state transport of energy: upward and poleward, respectively. It is the higher-order periodic climate forcings, however, that cause thermal phase variations (Table 1 and Figure 2). These variations are dampened by heat storage (i.e., thermal inertia) and transport (atmospheric and/or oceanic).

**Diurnal cycle.** A planet receives flux from its host star during the day but not at night. This tends to make a planet’s day side warmer than its night side, leading to phase variations: the day side is most visible near superior conjunction, and the night side is most visible near inferior conjunction. Insofar as a planet has some thermal inertia, the day-night temperature contrast is reduced and the hottest time of day occurs in the afternoon rather than at noon. If the advective timescale is comparable to, or shorter than, the rotational period, then zonal (E–W) heat transport becomes an important factor for damping the diurnal temperature swings. The diurnal cycle is most observable for planets on edge-on orbits, and is the sole source of phase variations for a zero-eccentricity, zero-obliquity planet.

If a planet’s surface has inhomogeneous emitting temperature (e.g., due to patchy clouds), then different regions of the planet will have different emitting temperatures at the same local solar time, leading to rotational variability. This manifests itself to an external, inertial observer as high-frequency variations in emitting temperature, where the magnitude of variations depends ultimately on the longitudinal albedo and/or thermal inertia contrasts on the planet. While this effect is included in our simulations and could in principle be used to determine a planet’s rotation rate (Gómez-Leal et al. 2012), it would be averaged over for realistic integration times ($>24$ hr), and we do not discuss it further in this paper.

**Eccentricity seasons.** A planet with non-zero orbital eccentricity tends to be hottest near periastron and coolest near apastron. If the planet has some thermal inertia, the seasonal temperature swings are damped, and the maximum temperature occurs...
Figure 2. Three periodic drivers of climate, the diurnal cycle, obliquity seasons, and eccentricity seasons, are the sources of thermal phase variations. The response of a planet to these drivers depends on its thermal inertia and heat transport, as described in the text and in Table 1.

(A color version of this figure is available in the online journal.)

Table 1

| Climate Forcing          | Frequency   | Amplitude $^a$            | Damping Mechanism                  | Favored Geometry   |
|--------------------------|-------------|---------------------------|-----------------------------------|-------------------|
| Diurnal cycle            | Rotational$^b$ | $\alpha S_0 (1 - A)$      | Heat storage and zonal transport$^c$ | Edge-on orbit      |
| Eccentricity seasons     | Orbital     | $\alpha (4S_0(1-A))$      | Heat storage                       | Always present     |
| Obliquity seasons        | Orbital     | $\alpha S_0 (1 - A) \sin \Theta$ | Heat storage and meridional transport | Pole-on rotation   |

Notes.

- The intrinsic peak-to-trough forcing amplitude, $S_{\text{max}} - S_{\text{min}}$, is expressed in terms of the solar constant, $S_0$, the planet’s Bond albedo, $A$, orbital eccentricity, $e$, and obliquity, $\Theta$. The more familiar relative amplitude for eccentricity seasons is $S_{\text{max}}/S_{\text{min}} = ((1 + e)/(1 - e))^2$. The $\sin \Theta$ scaling for obliquity seasons is approximately correct for mid–high latitudes and thus for the hemispherical average (we determined this numerically by solving the expressions in Section 7.3 of Pierrehumbert 2010).
- The diurnal forcing occurs at the rotational frequency, but it manifests itself to a distant observer on the orbital timescale as phase variations.
- On Earth, wind speeds are much slower than rotational velocity for all but polar regions, so damping of the diurnal cycle is almost entirely due to thermal inertia.

somewhat after periastron. Orbital eccentricity affects the entire planet’s power budget, and hence affects thermal phase variations seen from any vantage point; eccentricity effects may be isolated if a zero-obliquity planet is viewed face-on.

Obliquity seasons. Non-zero obliquity makes the northern hemisphere hotter during northern summer and cooler during northern winter. Obliquity seasons are damped by thermal inertia and meridional (N–S) heat transport. Insofar as one hemisphere is more visible to the observer ($\theta_{\text{obs}} \neq 0$), obliquity seasons cause thermal phase variations. If the northern and southern hemispheres have very different albedos and/or thermal inertia, the planet’s global power budget will exhibit seasonal variations regardless of viewing geometry, much as with eccentricity seasons. In general, obliquity seasons are most extreme as seen by a pole-on observer. A planet on a circular, face-on orbit will have thermal variations entirely dictated by obliquity seasons.

1.3. Snowball Climate

A terrestrial planet in the HZ of its host star (Hart 1979; Abe 1993; Kasting et al. 1993) does not necessarily have a temperate climate or harbor liquid water on its surface. The high reflectivity of ice and snow compared to land and ocean allows an Earth-like planet at the exact same distance from its star and with the same atmosphere to exist in either a temperate state, like the modern Earth, or an icy snowball state (Budyko 1969; Sellers 1969; Marotzke & Botzet 2007). It is believed, for example, that Earth has on occasion ($\sim$710 Ma, $\sim$635 Ma, and possibly at other times) fallen into a snowball state, during which global oceans completely (Kirschvink 1992; Hoffman et al. 1998) or nearly completely (Hyde et al. 2000; Abbot et al. 2011) froze over. As a limiting case, we will be considering here a planet with entirely ice-covered oceans. The proximate mechanism for the initiation of a snowball state is a runaway ice/snow–albedo feedback: replacing ocean with sea ice leads to cooling and more ice formation (Budyko 1969; Sellers 1969). The ultimate cause of the specific snowball events in Earth’s history is still unknown (Pierrehumbert et al. 2011).

The high albedos of ice and snow make a snowball state, once formed, extremely stable (Budyko 1969; Sellers 1969; Marotzke & Botzet 2007). Compared to Earth’s 4.5 Gyr geological history, snowball events have been rare and brief (millions of years), with a duty cycle of order 1%, probably due to the geologically rapid silicate-weathering feedback (Walker et al. 1981). The globally frozen continents and low temperatures halted silicate weathering and the associated pulldown of atmospheric CO$_2$, but greenhouse gases kept being resupplied by volcanism and plate tectonics. The resulting increase in greenhouse warming—in conjunction with other effects such as the dirtying of tropical
sea ice (Abbot & Pierrehumbert 2010)—snapped Earth out of the snowball state.

It is not known how the escape from a snowball state would play out on exoplanets. Possible differences include the presence of a large water reservoir (wet planets are more prone to runaway snowball than “Dune” planets; Abe et al. 2011), incident stellar flux (reduced power budgets make planets more susceptible to global glaciations, including the limit of a starless planet; Tajika 2008; Abbot & Switzer 2011), host star spectral type (bluer stars lead to a stronger temperature–albedo feedback; Joshi & Haberle 2012), planetary obliquity (low-obliquity planets are slightly more prone to snowball events: Williams & Kasting 1997; Williams & Pollard 2003; Spiegel et al. 2009), orbital eccentricity (larger eccentricity slightly increases the propensity of a planet to enter a snowball state; Williams & Pollard 2002; Dressing et al. 2010), planetary rotation and size (slower rotation or a smaller planet increases meridional transport, making it easier to enter a snowball state), and the effectiveness of an extrasolar carbon-silicate cycle (for example, planets with more vigorous mid-ocean ridge weathering are more susceptible to global glaciations; Abbot et al. 2012).

Most importantly for our purposes, a snowball climate has relatively little surface thermal inertia compared to a temperate climate: a few meters equivalent depth of water. Trying to distinguish between these climates based solely on thermal phase variations is therefore a good test for the utility of thermal phase variations in constraining the heat capacity of HZ terrestrial exoplanets.

2. GLOBAL CLIMATE MODELS

To simulate thermal phase variations for a variety of viewing geometries, we use output from the coupled ocean-atmosphere GCM ECHAM5/MPI-OM. A detailed description of the GCM is given in Voigt et al. (2011), and references therein. We use top-of-atmosphere (TOA) incident stellar flux, outgoing longwave radiation, and reflected light, each of which is outputted every two hours at each grid point.

Specifically, we here use two simulations presented in Voigt et al. (2011). These simulations use a modern-Earth solar constant of 1367 W m$^{-2}$, pre-industrial atmospheric carbon dioxide (278 ppm), a modern-Earth orbit (23.5 obliquity, 1.7% eccentricity), and two large tropical supercontinents that are meant to represent Earth’s topography 635 Ma before present. The time-averaged albedo and temperature maps of the two models are shown in Figure 3.

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6 Earth’s oceans are on average 4 km deep, but only the top tens of meters participate in damping seasonal variations.
The “temperate” simulation is initiated from a climate state with polar ice caps and remains in such a state throughout. The “snowball” simulation is initiated from a climate state with globally ice-covered oceans and remains in this state throughout. As found in previous snowball climate simulations, the low latitudes, and in particular the majority of the continental area, remain bare because of a reduced hydrological cycle and net evaporation (e.g., Pierrehumbert 2005). Both simulations were run to equilibrium, then for an additional 10 years, with shortwave and longwave radiation outputs saved every two hours.

Although our temperate simulation is roughly commensurate with the modern-day Earth, it differs in two important ways: (1) the continental configuration is much more N–S symmetric, reducing the impact of obliquity on the global power budget; and (2) the continents are more uniform longitudinally, reducing the amplitude of rotational variations (see Gómez-Leal et al. 2012). These differences make the resulting climate variations of our temperate planet, if anything, more straightforward to interpret than the modern Earth, strengthening our ultimate conclusions.

3. TIME-AVERAGED POWER BUDGET

The time-averaged, total absorbed power of the planet must equal its emitted thermal power. We verify that this is indeed the case, to one part in a thousand, for the temperate simulation, while the snowball’s emitted flux exceeds its absorbed flux by 5.5%. More energy leaves the atmosphere at the top than enters at the bottom, yet the snowball planet does not cool off, implying that there is a small spurious heat source in the model atmosphere. This discrepancy, which may be a surface diagnostic bug rather than a true model error, was noted by Voigt et al. (2011) and should not significantly affect our results, since we only compare time variations in thermal emission. We simply renormalize the snowball simulation’s thermal emission to the time-averaged absorbed power in Sections 3 and 4 of this paper.

3.1. Year-averaged Meridional Heat Transport

The modest obliquity of our simulated planets dictates that high latitudes receive less orbit-averaged insolation than low latitudes. In Figure 4, we show the TOA radiative budget for the two simulations. Averaging over the seasonal variations that will be the subject of the bulk of this paper, the equator–pole gradient in absorbed flux (black lines) results in a meridional temperature gradient, which drives poleward energy transport.

As a result, the equator–pole gradient in thermal emission (red lines) is muted compared to the gradient in absorbed flux.

Comparing the radiative budgets of the two simulations, it is clear that the meridional radiative forcing gradient is somewhat greater for the temperate case than for the snowball (240 versus 200 W m\(^{-2}\)), while the resulting gradient in thermal emission is similar for the two planets (80 versus 70 W m\(^{-2}\)). This indicates that more poleward heat transport is occurring on the temperate planet.

Figure 5 shows the orbit-averaged northward heat transport for the two simulations; heat transport on the temperate planet is roughly twice that on the snowball. Some of this difference can be attributed to the weaker meridional forcing on the snowball planet. Moreover, transport is much greater in the temperate climate because of two compounding effects: the presence of ocean transport and greater atmospheric humidity.

On their own, neither the absence of ocean heat transport nor the drier air in principle needs to grossly affect the total meridional heat transport of an Earth-like planet due to “compensations” noted and explained by Stone (1978), Vallis & Farnetti (2009), and Enderton & Marshall (2009). But in combination, these two changes make it impossible for the climate to fully compensate, with the net effect that the mid-latitude
meridional heat transport in the snowball simulation is significantly reduced.

4. PERIODIC CLIMATE FORCING

We now consider periodic changes in the planet’s power budget. We first develop a simple model for interpreting time variations in absorbed and emitted power, then consider each of the periodic climate forcings in turn, as they manifest themselves in our simulations. The planet’s response to radiative forcing is independent of an external observer, but is the ultimate cause of the thermal phase variations discussed in Section 5.

4.1. Response to Periodic Forcing

In order to quantitatively estimate thermal inertia from periodic variations in absorbed and emitted power, we approximate the climate system with the following differential equation (e.g., Pierrehumbert 2010):

\[
\mu \frac{dT}{dt} = F_0 + \Delta F_{\text{abs}} \cos(\omega t) - bT, \tag{2}
\]

where \(\mu\) is the effective thermal inertia coefficient, \(T\) is the emitting temperature, \(\Delta F_{\text{abs}}\) is the semi-amplitude of variations in the absorbed power, \(\omega\) is the driving frequency, and \(b\) linearly approximates the relation between temperature and emitted flux (the exact differential equation, analytic and numerical solutions are developed for a blackbody in Cowan & Agol 2011).

It is worth noting that this formalism is usually adopted for surface temperature variations. Nonetheless, the most accessible temperature for any planet other than Earth is the emitting temperature, \(T_{\text{emi}}\), so one can estimate the radiative relaxation time based on the amplitudes of variations in absorbed and emitted power:

\[
\tau = \frac{1}{\omega} \sqrt{\left(\frac{\Delta F_{\text{abs}}}{\Delta F_{\text{emi}}}\right)^2 - 1}. \tag{4}
\]

4.2. Global-mean Temperature Variations

The incoming shortwave radiation undergoes a 6.8% peak-to-trough orbital modulation because of Earth’s 1.7% eccentricity, while the albedo exhibits seasonal variations of 6% and 4% in the temperate and snowball climates, respectively. The albedo fluctuations are primarily driven by meridional (N–S) differences in albedo coupled with seasonal variations in the subsolar latitude (Cowan et al. 2012). Seasonal changes in cloud cover may also contribute to the changing albedo. This effect cannot be predicted a priori but is an emergent phenomenon of the GCM.

The combination of changing incident flux at the top of the atmosphere and changing albedo leads to peak–trough variations in absorbed power of 6% and 8% for the temperate and snowball climates, shown with black lines in Figure 6 (in physical units, the two simulations experience approximately the same 7 PW forcing). The changes in absorbed flux drive “global” seasons.
The critical difference between these and the usual seasons we experience on Earth is that global seasons are in phase in the northern and southern hemispheres, so cross-equatorial heat transport cannot damp them. Insofar as the typical eccentricity of exoplanets is 0.1–0.3 (Ford & Rasio 2008; Moorhead et al. 2011), it is possible that eccentricity-driven global seasons will be the norm on other planets.

The thermal inertia of the planet damps the amplitude of the oscillations and produces a phase lag, as seen in the planet-integrated emitted power, shown in red in Figure 6 (peak–trough seasonal changes of 1% and 3% for the temperate and snowball models, respectively). Note that the low-amplitude response of the temperate planet makes the phase offset difficult to quantify. For the temperate planet, peak emission occurs nearly simultaneously with the peak absorption, but the minimum emission lags the minimum in forcing by many months.

Using Equation (4) we obtain $\tau = 343$ days and 145 days for the temperate and snowball climates, respectively. The temperate planet therefore has a thermal relaxation time 2.4 × longer than that for the snowball. Note that these are longer than the atmospheric thermal relaxation times because we are accounting for surface heat capacity.

It is often convenient to express the thermal inertia coefficient, $\mu$, as an equivalent mixed layer depth (MLD) of water, since oceans are the dominant source of thermal inertia on Earth: $H = \mu/(\rho c_p)$, where $\rho$ and $c_p$ are the density and specific heat capacity of water. Using the globally averaged emitting temperatures of the two simulations ($T_0 = 249 \text{ K and } 232 \text{ K}$), we estimate that the temperate and snowball planets have MLDs of 25 and 8 meters, respectively, i.e., the temperate planet has approximately 3 × the thermal inertia of the snowball planet.

The greater thermal inertia of the temperate planet is primarily due to the presence of surface liquid water. Following Pierrehumbert (2010), Earth’s oceans have an average MLD of 20–50 m, solid surfaces (continent or sea ice) have effective MLDs of 2–3 m, and the atmosphere contributes another 2–3 m. Accounting for the 57% coverage of surface liquid water in our temperate model, one expects it to have 2.4–5.5 times greater thermal inertia than the snowball planet, in good agreement with our simulations.

4.3. Obliquity Seasons

In Figure 7 we again consider total absorbed and emitted flux, but separate the northern and southern hemispheres in order to isolate the effects of obliquity. This approach tends to underestimate the magnitude of the seasons, because most of the flux is emitted from low-latitude regions that only experience small seasonal changes in incident radiation. In fact, the deep tropics receive the most insolation at equinoxes, rather than summer solstice. Nevertheless, the seasonal forcing and response are clearly visible.

The obliquity seasons are approximately $6 \times$ greater for the snowball than for the temperate climate (26% versus 4%, as shown by the red lines in Figure 7). If we treat the northern and southern hemispheres independently, i.e., ignoring cross-equatorial heat transport, we may again use Equation (4) to convert the absorbed/emitted amplitudes into thermal relaxation times. We obtain values of $\tau = 1044$ and 155 days for the temperate and snowball climates, respectively.

Since the global and obliquity seasons have the same forcing frequency, one expects the thermal inertia damping for both sorts of seasons to be the same. The large inferred value of $\tau$ for the temperate simulation obliquity seasons is a testament to the importance of heat transport from the summer to the winter hemispheres. Furthermore, since the obliquity seasons are so much weaker in the temperate simulation, one might conclude that its cross-equatorial heat transport is much greater than that of the snowball planet, but this is not the case.

In Figure 8, we plot the seasonal cross-equatorial northward heat transport in both simulations. For the temperate planet, the ocean and atmosphere both play a role, while the frozen ocean in the snowball climate dictates that its heat transport is entirely atmospheric. Despite the lack of ocean transport, the seasonality of cross-equatorial energy transport is similar—in absolute units—for the two simulations.

The temperate planet has a somewhat larger seasonal radiative forcing (40 PW versus 30 PW; Figure 7) and much greater annual-mean heat transport than the snowball planet (see Figure 5). In the absence of thermal inertia, one would therefore expect the temperate planet to transport much more energy from summer to winter hemisphere than the snowball. But the greater thermal inertia of the temperate planet leads to a smaller cross-equatorial temperature gradient. The greater heat transport efficiency and smaller temperature gradient conspire to produce nearly identical cross-equatorial energy transport in the temperate and snowball simulations.

The obliquity seasons have a much larger amplitude for the snowball planet than for the temperate planet, but the phase lag is nearly the same (1–2 weeks) in both cases. This is partially due to the qualitatively different effects of heat storage versus heat transport in damping obliquity seasons: heat storage tends to increase the phase lag while meridional heat transport does...
not (this is manifestly true if heat transport is strictly diffusive, as in Gaidos & Williams 2004).

The other reason that obliquity seasons in the two simulations have very different amplitudes with similar phase lags is inhomogeneous surface heat capacity. This is important for our temperate simulation because the equatorial landmass and polar ice conspire to leave only 57% of the surface covered in liquid water. We find that the TOA thermal emission over sea ice exhibits large seasonal temperature variations that are approximately in phase with the forcing, while that over ocean exhibits muted seasonal variations with significant phase lag. As noted by Stine et al. (2009), mixing these signals results in a low-amplitude, small-offset response, because the large amplitude component (solid surfaces) dominate the offset. Since the land in our simulation is mostly tropical, its TOA thermal emission peaks during the relatively dry winter months, while the high surface temperature is masked by overlying clouds and humidity in the summer.

4.4. Diurnal Cycle

To isolate the diurnal cycle, we average over the entire planet and entire orbit, considering absorbed and emitted flux as a function of local solar time, shown in Figure 9. For an Earth-like planet, zonal (E-W) heat transport is slow compared to planetary rotation, so the diurnal cycle is primarily damped by the planet’s thermal inertia.

We again use Equation (4) and obtain thermal relaxation times of $\tau = 16$ and 9 days for the temperate and snowball climates, respectively (or, equivalently, MLDs of 1.1 and 0.5 m, respectively). These heat capacities are much smaller than those inferred from seasonal forcing because much less material senses the diurnal cycle. For land and sea ice, downward heat transport is conductive, so high-frequency radiative forcing does not penetrate as deep as longer-period forcing, $\mu \propto 1/\sqrt{\omega}$ (Pierrehumbert 2010). Upward heat transport in the atmosphere and downward heat transport in liquid water are not, strictly speaking, diffusive, but nonetheless only a relatively thin layer of the atmosphere and a shallow layer of the ocean respond to, and damp, the diurnal cycle.

Furthermore, the poor vertical ice resolution in our GCM tends to exaggerate the diurnal cycle in surface temperatures (Abbot et al. 2010). This will most affect the snowball simulation, leading us to underestimate its thermal inertia.

The diurnal radiative forcing peaks at noon. In the high-frequency forcing limit, we expect phase lags of $\pi/4$ and $\pi/2$ for diffusive and mixed layer heat storage, respectively (e.g., Section 7.4.2 of Pierrehumbert 2010). The actual phase lag is somewhere in between these values.

5. DISK-INTEGRATED PHOTOMETRY

We now calculate time-resolved, disk-integrated photometry seen by a distant observer, “light curves.” To compute the thermal light curves from the planet we assume diffuse emission and neglect limb-darkening:

$$F = \frac{R^2}{\pi} \int \int F_\uparrow(\theta, \phi, t) V(\theta, \phi, t) d\Omega,$$

where $R$ is the planetary radius, $F_\uparrow$ is the TOA outgoing longwave radiation, $\theta$ is latitude, $\phi$ is longitude, $V(\theta, \phi, t)$ is the visibility of a given region of the planet for a given observer ($V$ is unity at the sub-observer point, drops as the cosine of the angle from the sub-observer point, and is zero on the far side of the planet), and $\int d\Omega$ is the surface integral over a sphere.

Viewing geometry only enters Equation (5) through $V$, which is a function of the sub-observer location on the planet. Neglecting precession, the sub-observer latitude, $\theta_{\text{obs}}$, is constant, while the sub-observer longitude evolves linearly in time.
**Figure 10.** Thermal photometry as seen by a pole-on (top), mid-latitude (middle), or equatorial (bottom) observer. The black vertical dotted line shows northern summer solstice; it is plotted for the panels with thermal phases driven by obliquity seasons. The green vertical dotted and dashed lines are periastron and apastron, respectively, it is plotted in the panels where the thermal phase variations are most affected by eccentricity. We phase-fold nine planetary orbits worth of simulated light curves; the inter-annual variability is $\lesssim 0.1\%$. The black points show one-month (rather than 2 hr) integrations with illustrative error bars fixed at 1%. The cartoon along the top of each panel is illustrative: planets that are not in edge-on orbits do not exhibit new or full phases. The viewing geometry is described by the orbital inclination, $i$, (where $i = 0$ is a face-on orbit and $i = 90^\circ$ is edge-on), sub-observer latitude, $\theta_{\text{obs}}$ ($90^\circ$ at the north pole, $0^\circ$ at the equator), and the time of periastron, $t_{\text{peri}}$. (A color version of this figure is available in the online journal.)

$$
\phi_{\text{obs}} = \phi_{\text{obs}}(0) - \omega_{\text{rot}}t,
$$

where $\omega_{\text{rot}}$ is the planet’s rotational frequency in an inertial frame (i.e., the sidereal rather than solar frequency).

For each viewing geometry we generate 10 years of simulated thermal phase variations and track the root-mean-squared orbit-to-orbit variability. This inter-annual variability is $\lesssim 1\%$, ranging between 0.2%–1.5% for most viewing geometries. In Figures 10–12 we plot only one year of photometry. For consistency, we always start the observations at inferior conjunction, when the planet is closest to the observer and we are seeing mostly its night side. The top, middle, and bottom panels of Figure 10 show the same simulations, but seen by three different observers.

The short-term variability in the thermal light curve is due to regions with different emitting temperatures rotating in and out of view. These differences in temperature arise because of inhomogeneities in albedo, thermal inertia, and diurnal cloud cycles (for a thorough discussion of diurnal cycles in Earth’s OLR; see Smith & Rutan 2003). Since we are considering integration times much longer than the planetary
rotation rate, we do not study the rotational variability in this paper.

Obliquity seasons are the largest-amplitude response in our simulations, so it is unsurprising that they are the dominant source of thermal phase variations for most viewing geometries. The obliquity-driven phases are most extreme for a pole-on observer (top panels of Figure 10) and most muted for an equatorial observer (bottom panels of Figure 10). This is completely different from the inclination-dependance of diurnally driven thermal phase variations, which are greatest for edge-on orbits and minimized for face-on orbits (see Cowan et al. 2007; Crossfield et al. 2010).

5.1. High-latitude or Mid-latitude Observer

Thermal phase variations are much greater for the snowball than the temperate simulation, as one would expect from Section 4.3. Gaidos & Williams (2004) showed that, in an idealized EBM, heat capacity is degenerate with obliquity in thermal phase variations. We cannot test this result because both of our simulated planets have the same obliquity, but the top four panels of Figure 10 show that the actual situation is likely worse than they concluded. The peak thermal emission occurs a couple of weeks after summer solstice for both climates, despite the muted amplitude of phase variations in the temperate simulation, because of meridional heat transport and inhomogeneous surface heat capacity (Section 4.3). In other words, even if one knew the planetary obliquity and solstice phase independently, one would infer an incorrect thermal inertia from Equation (2).

Notwithstanding the subtleties of phase lags, the amplitude of thermal phase variations roughly reflects the intrinsic strength of obliquity seasons. The amplitudes of intrinsic seasons differ by a factor of six for the two climates, while the obliquity-driven phase variations differ by 4.5. The mid-latitude case ($\theta_{\text{obs}} = 45^\circ$ in Figure 10) is similar to the polar observer, but with somewhat muted phase variations.

The phase variations seen by a high-latitude observer are dominated by obliquity seasons, but the peak flux roughly coincides with superior conjunction in Figure 10. If one’s intuition is based on thermal phase variations of hot Jupiters, it might seem that the maximum flux should always occur at/near superior conjunction, when we are seeing more of the planet’s day side. To dispel this notion, we plot the light curve for a southern observer in Figure 11. Inferior conjunction still occurs at $t = 0$, but now it is the southern seasons that affect the

![Figure 11](image1.png)

**Figure 11.** Obliquity-driven thermal phase variations can peak near inferior conjunction, when we are mostly seeing the planet’s night side. See Figure 10 for full caption. (A color version of this figure is available in the online journal.)

![Figure 12](image2.png)

**Figure 12.** Thermal phase variations seen by an equatorial observer tend to be dominated by eccentricity and diurnal effects. Depending on the argument of periastron (shown here as the time of periastron, $t_{\text{peri}}$), these two effects can interfere constructively (top panel) or destructively (bottom panel). The gray regions denote the phases that will not be accessible because the planet will be inside the inner working angle (IWA) of the telescope. See Figure 10 for full caption. (A color version of this figure is available in the online journal.)
observed phase variations and the planet flux peaks near inferior conjunction.

5.2. Equatorial Observer

For the equatorial view (bottom panels of Figure 10), the planetary obliquity should not affect the thermal phase variations, since (1) the northern and southern hemispheres are equally visible, and (2) the continents are nearly equatorial, so there is little to distinguish northern summer from southern summer. The phase variations from this vantage are therefore driven by global seasons and diurnal heating.

If the albedo of the northern and southern hemispheres were very different, obliquity seasons would affect the phase variations seen by an equatorial observer. For example, while modern Earth’s large N–S asymmetry in surface albedo is mostly obscured by the atmosphere and clouds (Kasting et al. 1993; Selsis et al. 2007; Donohoe & Battisti 2011; Voigt et al. 2012), the obliquity-driven global seasons are more important than the diurnal cycle for an equatorial observer (Gómez-Leal et al. 2012).

Figure 12 shows light curves for a snowball climate. We consider equatorial observers (θ_{obs} = 0°) and fix the inclination at i = 74°, but vary the argument of periastron, which affects the amplitude and phase offset of thermal phases because of the competing effects of global seasons and diurnal heating (Cowan & Agol 2011).

We gray-out the phases for which the planet would be inside the inner working angle (IWA) of a high-contrast imaging mission and therefore unobservable. The IWA depends on the details of a mission’s design and is a function of wavelength and distance to the planetary system. We adopt an IWA of 34 mas and an Earth analog at 10 pc, so that phase angles within 20° of full or new phase or inaccessible (this is a reasonable IWA for TPF-I; Lawson et al. 2007). The planet is visible for the entire orbit if its orbital inclination is less than 70°, as is the case for the geometries in Figures 10 and 11.

The changing power budget of the planet due to its non-circular orbit leads to a maximum in thermal emission near periastron (Figure 6). Diurnal heating, on the other hand, leads to a maximum in thermal emission between waxing quarter and superior conjunction, when afternoon local solar times of the planet are most visible (Figure 9). Note that Earth has westward equatorial winds, but they are slow compared to the planet’s rotation, so the hottest local solar time is still in the afternoon when averaged over the visible disk. For planets with thicker atmospheres, the wind direction becomes more relevant than the sense of the planet’s rotation (e.g., super-rotation on Venus, Titan, or hot Jupiters).

The thermal phase variations are therefore maximized when superior conjunction occurs a few months after periastron passage, so that the diurnal and eccentricity effects add up (top panel of Figure 12); if the two effects are perfectly out of phase, the phase variations are attenuated (bottom panel). In all cases the phase variation amplitude is approximately twice as large in the snowball than the temperate simulation (not shown), as one would expect from Section 4.

Diurnal phase variations are smaller than the intrinsic diurnal cycle: even though a single local solar time is directly facing the observer at a given time, the disk-integrated lightcurve averages over many local times. Quantitatively, the first-order diurnal mode is attenuated by π/4 ≈ 0.79 with respect to the time-constant component for an edge-on observer (Cowan & Agol 2008). Since eccentricity affects the entire planet’s power budget, it does not suffer from this dilution. Therefore, while the intrinsic diurnal cycle is nearly twice the amplitude of the global seasons, the diurnal contribution to the phase variations is only ~50% greater than that from eccentricity effects.

6. DISCUSSION

6.1. Feasibility

Measuring thermal phase variations of an Earth analog will require space-based interferometry (e.g., NASA’s Terrestrial Planet Finder Interferometer or ESA’s Darwin mission; Beichman 1998; Fridlund 2000, respectively). Cockell et al. (2009) estimated that Darwin could obtain 20% photometry on an Earth-sized planet at 10 pc in 12–24 hr of integration. Extrapolating to month-long integrations, one expects 2.6%–3.6% photometry for an Earth analog at 10 pc.

We have simulated nine years of photometry, and found the inter-annual variability in disk-integrated flux is ≲1%, and a mere ≲0.1% after averaging over rotational variability. This opens the possibility of combining multiple orbits’ worth of data. For example, combining the nine years of photometry simulated here provides a three-fold enhancement in the signal-to-noise ratio, or 1% photometry with one-month integrations.

By using bolometric longwave radiation as a proxy for measured flux, we are assuming an instrument capable of capturing most of the planet’s spectral energy distribution (SED). This is a reasonable assumption because one will need to measure a directly imaged planet’s SED in order to estimate its albedo and radius. Considering bolometric flux also minimizes the impact of any unseen satellites, which only dominates the system flux in narrow wavebands (Robinson 2011). Nevertheless, the presence of a large satellite would considerably complicate the interpretation of thermal phase variations.

When estimating the ability of TPF-I to establish a planet’s orbit and residence in the HZ, Lawson et al. (2007) assumed the planet has constant mid-infrared brightness throughout each orbit. We find that the thermal flux from an Earth analog exhibits orbital variations of 5%–50%. The amplitude of these variations is primarily governed by the planet’s obliquity and the sub-observer latitude, neither of which will be known a priori for directly imaged planets. This will complicate the retrieval of their orbital parameters from direct imaging. Note that the situation is yet worse at optical wavelengths: reflected flux variations are of order 100%, since the planet’s night side reflects no light.

6.2. The Utility of Thermal Phase Variations

There is an interesting parallel between non-transiting hot Jupiters and directly imaged planets (in what follows we assume a circular orbit, but the argument can be generalized to non-zero eccentricity). In the hot-Jupiter scenario, the obliquity is assumed to be zero because of tidal effects, but orbital inclination is unknown. The thermal phase variations are entirely driven by diurnal heating, and therefore put a joint constraint on the planet’s size, orbital inclination, and day–night temperature contrast (e.g., Cowan et al. 2007; Crossfield et al. 2010). For directly imaged planets, the observer latitude is unknown, but the orbital inclination can be measured from astrometry. For all but edge-on orbits, thermal phase variations can be assumed to be obliquity-driven, and therefore place a joint constraint on observer latitude and summer–winter temperature contrast.
Focusing now on directly imaged planets, the observer latitude is a function of one known quantity, the inclination, and two unknowns: the obliquity and solstice phase (Equation (1)). The summer–winter temperature contrast is a function of obliquity, thermal inertia, and cross-equatorial heat transport. Two measured quantities, thermal phase amplitude and offset, are clearly insufficient to break the four-way degeneracy.8

Even without breaking any degeneracies, thermal phase variations of an imaged HZ planet might still be useful. Small thermal phase variations would improve the odds of habitability, indicating either very weak periodic radiative forcing, high thermal inertia, and/or vigorous meridional heat transport. This test does not work for a planet in a face-on circular orbit, which could simply have a small obliquity. Furthermore, as stated in the Introduction, large thermal inertia is no guarantee of habitability (e.g., Venus).

If the planet’s obliquity and solstice phase were known through other means (see Section 6.3), then one could unabiguously estimate the summer and winter temperatures of the planet. The seasonal range in temperatures would be a useful climate constraint in and of itself, but one would like to constrain the intrinsic quantities. For a uniform planet, one could break the two-way degeneracy between thermal inertia and heat transport, since they have different phase offset signatures. But this logic does not hold for an inhomogeneous planet because the mixing of signals from high and low thermal inertia regions complicates the interpretation of the thermal phase amplitude and offset. In principle it is possible to constrain inhomogeneous surfaces from rotational thermal variability, but this requires higher cadence observations and therefore a larger mission.

If, as the rotation rate of a terrestrial planet is determined by the final few major collisions of planet growth, it is natural to expect that some planets will rotate more slowly than Earth. Furthermore, planets on circular orbits about low-mass stars will have their planetary rotation rate slowed by tidal forces. In either case, the result would be stronger diurnal cycles than those considered in this paper.

Finally, many directly imaged planets may have zero obliquity and hence no obliquity seasons. We have so far focused on true Earth analogs, terrestrial planets orbiting in the HZ of Sun-like stars. But it is worth noting that, due the compact inner working angle of an interferometric direct-imaging mission, many of its target stars will be K- and M-dwarfs. Terrestrial planets in the HZ of such stars are likely to have had their obliquity tidally eroded (Heller et al. 2011) and therefore exhibit thermal phase variations driven only by eccentricity seasons and the diurnal cycle. Such light curves would be much easier to interpret and might allow for a relatively robust determination of planetary heat capacity.

6.3. Constraining the Climate of HZ Exoplanets

We stated in the introduction that a planet’s thermal inertia is an attractive “second parameter,” after albedo. We now expand on this idea, describing how various direct imaging observations constrain different key climate parameters, and how thermal phase measurements fit in the big picture.

Zero-dimensional model. The simplest possible climate model balances absorbed stellar flux with thermal emission, yielding a planet’s emitting temperature. This requires knowing a planet’s albedo, which could be extracted from time-averaged thermal observations, but not from reflected-light observations because of the albedo–radius degeneracy. This is of course insufficient to constrain surface conditions and hence habitability.

One-dimensional model. To estimate surface temperature, one needs to know the pressure of the emitting layer and surface, as well as the atmospheric lapse rate, the rate at which temperature increases as one descends in the troposphere. A thermal mission will detect any greenhouse gases since by definition they absorb in the thermal IR, and could measure surface pressure via pressure-broadened absorption features (Meadows & Seager 2010). An optical mission may be able to detect some greenhouse gases in the near-infrared. Significantly, a thermal mission can directly probe the surface temperature in opacity windows, providing an estimate of lapse rate and a check on climate models. Optical data will contain, at best, indirect information about the planet’s temperature.

Higher-order model. To construct a more comprehensive climate model, many additional planetary characteristics must be known. An optical mission could constrain rotation rate (Pallé et al. 2008; Oakley & Cash 2009) and obliquity (Kawahara & Fuji 2010, 2011; Fuji & Kawahara 2012). Furthermore, the presence of oceans could be inferred via their colors (Ford et al. 2001; Cowan et al. 2009, 2011; Fuji et al. 2010), polarization (Zugger et al. 2010, 2011), and/or specular reflection (Williams & Gaidos 2008, but confounding effects include clouds, Robinson et al. 2010, and snow, Cowan et al. 2012). These quantities would improve our understanding of planet formation and geophysics, and would make it possible to convert observed thermal phase variations into estimates of intrinsic seasonal cycles. For planets theorized to have zero obliquity, thermal phase variations could constrain planetary thermal inertia. But meridional heat transport and surface inhomogeneities would impede efforts to estimate planetary thermal inertia on planets with non-zero obliquity.

An exception for which the planetary thermal inertia can be estimated without resorting to periodic climate forcing is a planet where the thermal inertia is dominated by its atmosphere. Recall that the lapse rate is a function of surface gravity and specific heat capacity: \( \Gamma = -g/c_p^e \), where the effective specific heat capacity of the planet, \( c_p^e \), includes the effects of latent heat. The thermal inertia coefficient for a well-mixed atmosphere is \( \mu = c_p^e p_s/g \), where \( p_s \) is the surface pressure, and the latent heat effects of water vapor are again included implicitly. A thermal mission of sufficient spectral resolution to measure pressure broadening could therefore estimate an exoplanet’s atmospheric thermal inertia, provided that the planetary mass, and hence surface gravity, were otherwise constrained.

7. CONCLUSIONS

Each of the three periodic climate forcings—diurnal cycle, as well as obliquity and global seasons—contributes to the thermal phase variations of HZ planets. The damping for each of these oscillations is different. The result is that while time-averaged thermal observations will powerfully constrain the climate of directly imaged exoplanets, phase variation measurements will be very challenging to interpret, unless planets can be assured to have zero obliquity.

In a few limited cases, the lack of thermal variability on orbital timescales would provide circumstantial evidence of clement surface conditions. On the other hand, very large swings in apparent temperature might discount a planet’s habitability.
Our major conclusions are as follows.

1. Meridional heat transport can significantly affect the severity of obliquity seasons, and varies in relative strength from one planet to the next. Surface inhomogeneities in thermal inertia, meanwhile, lead to a mixing of high-amplitude, small phase-lag signals with low-amplitude, large phase-lag signals. These complications make it impossible to quantitatively estimate a planet’s heat capacity from obliquity-driven thermal phase variations, even if its obliquity is known through other means.

2. Although the rotation rate of an Earth analog is shorter than the expected integration times of foreseeable thermal missions, the diurnal cycle shows up in the thermal phase variations on orbital timescales, and is the dominant effect for equatorial observers. For rapidly rotating planets, the diurnal cycle and global seasons are both damped by heat storage alone, but planetary thermal inertia varies as a function of forcing frequency, whether heat storage operates as a mixed layer or diffusively.

For a realistic HZ planet with an inhomogeneous surface, non-zero obliquity, and non-zero eccentricity, thermal phase variations are insufficient for measuring planetary thermal inertia.

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