EXTENSION FUNCTORS OF LOCAL COHOMOLOGY MODULES

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Communicated by Siamak Yassemi

Abstract. Let $R$ be a commutative Noetherian ring with non-zero identity, $a$ an ideal of $R$, and $X$ an $R$–module. Here, for fixed integers $s, t$ and a finite $a$–torsion $R$–module $N$, we first study the membership of $\text{Ext}^{s+t}_R(N, X)$ and $\text{Ext}^s_R(N, H^a_t(X))$ in the Serre subcategories of the category of $R$–modules. Then, we present some conditions which ensure the existence of an isomorphism between them. Finally, we introduce the concept of the Serre cofiniteness as a generalization of cofiniteness and study this property for certain local cohomology modules.

1. Introduction

Throughout, $R$ will denote a commutative Noetherian ring with non-zero identity and $a$ an ideal of $R$. Also, $N$ will be a finite $a$–torsion module and $X$ an $R$–module. For unexplained terminology from homological and commutative algebra, we refer the reader to [10] and [11].

The following conjecture is due to Grothendieck [19].

Conjecture 1.1. For any ideal $a$ and finite $R$–module $X$, the module $\text{Hom}_R(R/a, H^a_n(X))$ is finite, for all $n \geq 0$.

MSC(2000): Primary 13D45; Secondary 13D07. Keywords: Local cohomology modules, Serre subcategories, cofinite modules.

Received: 7 October 2009, Accepted: 30 January 2010.

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This conjecture is false, in general, as shown by Hartshorne [21]. However, he defined an $R$–module $X$ to be $\alpha$–cofinite if $\text{Supp}_R(X) \subseteq V(\alpha)$ and $\text{Ext}^i_R(R/\alpha, X)$ is finite, for each $i$, and he asked the following question.

**Question 1.2.** If $\alpha$ is an ideal of $R$ and $X$ is a finite $R$–module, when is $\text{Ext}^i_R(R/\alpha, H^j_\alpha(X))$ finite for every $i$ and $j$?

There are some attempts to show that under some conditions, for fixed integers $s$ and $t$, the $R$–module $\text{Ext}^s_R(R/\alpha, H^t_\alpha(X))$ is finite; for example, see [3, Theorem 3.3], [16, Theorems A and B], [17, Theorem 6.3.9] and [24, Theorem 3.3].

Recently, the first author and Melkersson in [1] and [2], and Ashgarzadeh and Tousi in [5] approached the study of local cohomology modules by means of the Serre subcategories, and it is noteworthy that their approach enables us to deal with several important problems on local cohomology modules comprehensively. For more information, we refer the reader to [23] to see a survey of some important problems on finiteness, vanishing, Artinianness, and finiteness of associated primes of local cohomology modules.

Here, we study some properties of extension functors of local cohomology modules by using the Serre classes. Recall that a class of $R$–modules is a **Serre subcategory** of the category of $R$–modules when it is closed under taking submodules, quotients and extensions. Always, $S$ stands for a Serre subcategory of the category of $R$–modules.

The crucial points of Section 2 are Theorems 2.1 and 2.3 which show that when $R$–modules $\text{Ext}^{s+t}_R(N, X)$ and $\text{Ext}^s_R(N, H^t_\alpha(X))$ belong to $S$. These two theorems, which are frequently used through the paper, enable us to demonstrate some new facts and improve some older facts about the extension functors of local cohomology modules. We find the weakest possible conditions for finiteness of associated primes of local cohomology modules, and improve and give a new proof for [24, Theorem 3.3] in Corollaries 2.5 and 2.7. The relation between $R$–modules $\text{Ext}^{s+t}_R(N, X)$ and $\text{Ext}^s_R(N, H^t_\alpha(X))$ to be in a Serre subcategory of the category of $R$–modules is shown in Corollary 2.8.

In Section 3, we first introduce the class of Melkersson subcategory as a special case of the Serre classes and next investigate the extension functors of local cohomology modules in these subcategories. In Propositions 3.2, 3.3 and 3.4, we give new proofs for [1, Theorems 2.9 and 2.13]
and study the membership of the local cohomology modules of an \( R \)-module \( X \) with respect to different ideals in Melkersson subcategories. Our main result in this section is Theorem 3.5 which provides an isomorphism between the \( R \)-modules \( \text{Ext}^{s+t}_R(N, X) \) and \( \text{Ext}^s_R(N, H^t_\mathfrak{a}(X)) \). Corollaries 3.6 through 3.9 are some applications of this theorem.

In Section 4, we present a generalization of the concept of cofiniteness with respect to an ideal to the Serre subcategories of the category of \( R \)-modules. Theorems 4.2, 4.4 and 4.6 generalize [26, Proposition 2.5], [27, Proposition 3.1], [14, Theorem 3.1], [16, Theorems A and B] and [13, Corollary 2.7]. The change of ring principle for the Serre cofiniteness is presented in Theorem 4.8. We also give a proposition about \( \mathfrak{a} \)-cofinite minimax local cohomology modules in Proposition 4.10. Corollaries 4.11 and 4.12 are immediate results of this proposition, where Corollary 4.11 improves [6, Theorem 2.3].

### 2. Local Cohomology Modules and Serre Subcategories

Let \( \mathfrak{a} \) be an ideal of \( R \), \( N \) a finite \( \mathfrak{a} \)-torsion module and \( s, t \) non-negative integers. In this section, we present sufficient conditions which convince us that the \( R \)-modules \( \text{Ext}^t_R(N, X) \) and \( \text{Ext}^s_R(N, H^t_\mathfrak{a}(X)) \) are in a Serre subcategory of the category of \( R \)-modules. Even though we can provide elementary proofs by using induction for our main theorems, to shorten the proofs, we use spectral sequences argument.

**Theorem 2.1.** Let \( X \) be an \( R \)-module and \( t \) be a non-negative integer such that \( \text{Ext}^{t-r}_R(N, H^t_\mathfrak{a}(X)) \) is in \( S \) for all \( r, 0 \leq r \leq t \). Then, \( \text{Ext}^t_R(N, X) \) is in \( S \).

**Proof.** By [29, Theorem 11.38], there is a Grothendieck spectral sequence

\[
E_2^{p,q} := \text{Ext}^p_R(N, H^q_\mathfrak{a}(X)) \Rightarrow \text{Ext}^{p+q}_R(N, X).
\]

For all \( r, 0 \leq r \leq t \), we have \( E_\infty^{t-r,r} = E_{t+2}^{t-r,r} \) since \( E_i^{t-r-i,r+i-1} = 0 = E_i^{t-r+i,r+1-i} \) for all \( i \geq t + 2 \), so that \( E_\infty^{t-r,r} \) is in \( S \) from the fact that \( E_{t+2}^{t-r,r} \) is a subquotient of \( E_2^{t-r,r} \), which is in \( S \) by assumption. There exists a finite filtration

\[
0 = \phi^{t+1} H^t \subseteq \phi^t H^t \subseteq \cdots \subseteq \phi^1 H^t \subseteq \phi^0 H^t = \text{Ext}^t_R(N, X)
\]
such that $E_{\infty}^{t-r} = \phi^{t-r}H^t / \phi^{t-r+1}H^t$, for all $r$, $0 \leq r \leq t$. Now, the exact sequences

$$0 \rightarrow \phi^{t-r+1}H^t \rightarrow \phi^{t-r}H^t \rightarrow E_{\infty}^{t-r} \rightarrow 0,$$

for all $r$, $0 \leq r \leq t$, yield the assertion. \qed

Recall that an $R$–module $X$ is said to be weakly Laskerian if the set of associated primes of any quotient module of $X$ is finite (see [13, Definition 2.1]). Also, we say that $X$ is a–weakly cofinite if $\text{Supp}_R(X) \subseteq V(\mathfrak{a})$ and $\text{Ext}_R^i(R/\mathfrak{a}, X)$ is weakly Laskerian, for all $i \geq 0$ (see [14, Definition 2.4]). We denote the category of $R$–modules (respectively the category of finite $R$–modules, the category of weakly Laskerian $R$–modules) by $\mathcal{C}(R)$ (respectively $\mathcal{C}_{f.g}(R)$, $\mathcal{C}_{w.l}(R)$).

**Corollary 2.2.** (cf. [17, Theorem 6.3.9(i)]) Let $X$ be an $R$–module and $n$ be a non-negative integer such that $\text{Ext}_R^{n-r}(N, H^i_\mathfrak{a}(X))$ is weakly Laskerian (respectively finite) for all $r$, $0 \leq r \leq n$. Then, $\text{Ext}_R^n(N, X)$ is weakly Laskerian (respectively finite) and so $\text{Ass}_R(\text{Ext}_R^n(N, X))$ is finite.

The next theorem is related to the $R$–module $\text{Ext}_R^s(N, H^i_\mathfrak{a}(X))$ to be in a Serre subcategory of the category of $R$–modules.

**Theorem 2.3.** Let $X$ be an $R$–module, and $s$ and $t$ be non-negative integers such that

(i) $\text{Ext}_R^{s+t}(N, X)$ is in $\mathcal{S}$,

(ii) $\text{Ext}_R^{s+t+1-i}(N, H^i_\mathfrak{a}(X))$ is in $\mathcal{S}$, for all $i$, $0 \leq i < t$, and

(iii) $\text{Ext}_R^{s+t-1-i}(N, H^i_\mathfrak{a}(X))$ is in $\mathcal{S}$, for all $i$, $t + 1 \leq i < s + t$.

Then, $\text{Ext}_R^s(N, H^i_\mathfrak{a}(X))$ is in $\mathcal{S}$.

**Proof.** Consider the Grothendieck spectral sequence:

$$E_2^{p,q} := \text{Ext}_R^p(N, H^q_\mathfrak{a}(X)) \rightarrow \text{Ext}_R^{p+q}(N, X).$$

Let $Z_r^{s,t} = \ker(\text{Ext}_R^{s,t} \rightarrow \text{Ext}_R^{s+r,t+1-r})$, and

$B_r^{s,t} = \text{Im}(\text{Ext}_R^{s-r,t+r-1} \rightarrow \text{Ext}_R^{s,t})$, for all $r \geq 2$. We have the exact sequences:

$$0 \rightarrow Z_r^{s,t} \rightarrow E_r^{s,t} \rightarrow E_r^{s,t}/Z_r^{s,t} \rightarrow 0$$
and
\[ 0 \to B_r^{s,t} \to Z_r^{s,t} \to E_r^{s,t} \to 0. \]

Since, by assumptions (ii) and (iii), \( E_r^{s+r,t+1-r} \) and \( E_r^{s-r,t+r-1} \) are in \( S \), \( E_r^{s+r,t+1-r} \) and \( E_r^{s-r,t+r-1} \) are also in \( S \), and so \( E_r^{s,t} / Z_r^{s,t} \) and \( B_r^{s,t} \) are in \( S \). It shows that \( E_r^{s,t} \) is in \( S \), whenever \( E_r^{s,t} + 1 \) is in \( S \).

We have \( E_r^{s-r,t+r-1} = 0 = E_r^{s+r,t+1-r}, \) for all \( r, r \geq t + s + 2 \). There-
therefore, we obtain \( E_r^{s,t} / Z_r^{s,t} + 1 = E_r^{s,t} \). To complete the proof, it is enough to show that \( E_r^{s,t} \) is in \( S \). There exists a finite filtration
\[ 0 = \phi^{s+t+1} \to \phi^{s+t} L^{s+t} \subseteq \cdots \subseteq \phi^{1} H^{s+t} \subseteq \phi^{0} H^{s+t} = \text{Ext}^{s+t}(N, X) \]
such that \( E_r^{s+t-j} = \phi^{s+t-j} H^{s+t} / \phi^{s+t-j+1} H^{s+t} \), for all \( j, 0 \leq j \leq s + t \). Since \( \text{Ext}^{s+t}(N, X) \) is in \( S \), \( \phi^{s+t} \) is in \( S \), and so \( E_r^{s,t} = \phi^{s} H^{s+t} / \phi^{s+t+1} H^{s+t} \) is in \( S \), as desired.

Corollary 2.4. (cf. [5, Theorem 2.2]) Suppose that \( X \) is an \( R \)-module and \( n \) is a non-negative integer such that
\begin{itemize}
  \item[(i)] \( \text{Ext}^{n}(R, X) \) is in \( S \), and
  \item[(ii)] \( \text{Ext}^{n+1-i}(R, H^i_a(X)) \) is in \( S \), for all \( i, 0 \leq i < n. \)
\end{itemize}

Then, \( \text{Hom}_R(R, H^i_a(X)) \) is in \( S \).

Proof. Apply Theorem 2.3 with \( s = 0 \) and \( t = n. \)

We can deduce from the above corollary the main results of [25, Theorem B], [9, Theorem 2.2], [28, Theorem 5.6], [13, Corollary 2.7], [17, Theorem 6.3.9(ii)], [7, Theorem 2.3], [15, Corollary 3.2], [8, Corollary 2.3] and [6, Lemma 2.2] concerning the finiteness of associated primes of local cohomology modules. We just state the weakest possible conditions which yield the finiteness of associated primes of local cohomology modules in the next corollary.

Corollary 2.5. Suppose that \( X \) is an \( R \)-module and \( n \) is a non-negative integer such that
\begin{itemize}
  \item[(i)] \( \text{Ext}^{n}(R/a, X) \) is weakly Laskerian, and
  \item[(ii)] \( \text{Ext}^{n+1-i}(R/a, H^i_a(X)) \) is weakly Laskerian, for all \( i, 0 \leq i < n. \)
\end{itemize}

Then \( \text{Hom}_R(R/a, H^i_a(X)) \) is weakly Laskerian, and so \( \text{Ass}_R(H^i_a(X)) \) is finite.
Proof. Apply Corollary 2.4 with $N = R/\mathfrak{a}$ and $\mathcal{S} = \mathcal{C}_{w,1}(R)$, and note that we have $\text{Ass}_R(\text{Hom}_R(R/\mathfrak{a}, H^a_n(X))) = V(\mathfrak{a}) \cap \text{Ass}_R(H^n_a(X)) = \text{Ass}_R(H^n_a(X))$. □

It is easy to see that if $R$ is a local ring and $\mathcal{S}$ is a non-zero Serre subcategory of the category of $R$–modules, then every $R$–module with finite length belongs to $\mathcal{S}$.

Corollary 2.6. (cf. [5, Theorem 2.12]) Let $R$ be a local ring with maximal ideal $\mathfrak{m}$ and $X$ be an $R$–module. Assume also that $\mathcal{S}$ is a non-zero Serre subcategory of $\mathcal{C}(R)$ and $n$ is a non-negative integer such that

(i) $\text{Ext}^n_R(R/\mathfrak{m}, X)$ is finite, and
(ii) $\text{Ext}^{n+1-i}_R(R/\mathfrak{m}, H^i_a(X))$ is in $\mathcal{S}$, for all $i$, $0 \leq i < n$.

Then, $\text{Hom}_R(R/\mathfrak{m}, H^n_a(X))$ is in $\mathcal{S}$.

Proof. Since $\mathcal{S} \neq 0$, $\text{Ext}^n_R(R/\mathfrak{m}, X)$ is in $\mathcal{S}$. Now, the assertion follows from Corollary 2.4. □

Khashayarmanesh, in [24, Theorem 3.3], by using the concept of $\mathfrak{a}$–filter regular sequence, proved the following corollary with stronger assumptions. His assumptions were that $X$ was a finite $R$–module with finite Krull dimension and $N = R/\mathfrak{b}$, where $\mathfrak{b}$ is an ideal of $R$ containing $\mathfrak{a}$, while it was a simple conclusion of Theorem 2.3 for an arbitrary $R$–module $X$ and a finite $\mathfrak{a}$–torsion module $N$.

Corollary 2.7. (cf. [24, Theorem 3.3]) Suppose that $X$ is an $R$–module and $s, t$ are non-negative integers such that

(i) $\text{Ext}^{s+t}_R(N, X)$ is finite,
(ii) $\text{Ext}^{s+t+1-i}_R(N, H^i_a(X))$ is finite, for all $i$, $0 \leq i < t$, and
(iii) $\text{Ext}^{s+t-1-i}_R(N, H^i_a(X))$ is finite, for all $i$, $t + 1 \leq i < s + t$.

Then, $\text{Ext}^s_R(N, H^t_a(X))$ is finite.

Proof. Apply Theorem 2.3 for $\mathcal{S} = \mathcal{C}_{f,g}(R)$. □

Theorem 2.1 in conjunction with Theorem 2.3 lead to the following corollary.
Corollary 2.8. Let $X$ be an $R$-module and $n,m$ be non-negative integers such that $n \leq m$. Assume also that $H^i_a(X)$ is in $\mathcal{S}$, for all $i$, $i \neq n$ (respectively $0 \leq i \leq n-1$ or $n+1 \leq i \leq m$). Then, for all $i$, $i \geq 0$ (respectively $0 \leq i \leq m-n$), $\text{Ext}^1_R(N,H^n_a(X))$ is in $\mathcal{S}$ if and only if $\text{Ext}_R^{i+n}(N,X)$ is in $\mathcal{S}$.

In the course of the remaining parts of our work, by $\text{cd}_S(a,X)$ ($S$-cohomological dimension of $X$ with respect to $a$), we mean the largest integer $i$ in which $H^i_a(X)$ is not in $\mathcal{S}$ (see [5, Definition 3.4] or [1, Definition 3.5]). Note that if $\mathcal{S} = 0$, then $\text{cd}_S(a,X) = \text{cd}(a,X)$, as in [20].

Corollary 2.9. Let $X$ be an $R$-module and $n$ be a non-negative integer. Then, the following statements hold true.

(i) If $\text{cd}_S(a,X) = 0$, then $\text{Ext}^n_R(N,\Gamma_a(X))$ is in $\mathcal{S}$ if and only if $\text{Ext}^{n+1}_R(N,X)$ is in $\mathcal{S}$.

(ii) If $\text{cd}_S(a,X) = 1$, then $\text{Ext}^n_R(N,H^1_a(X))$ is in $\mathcal{S}$ if and only if $\text{Ext}^{n+1}_R(N,X/\Gamma_a(X))$ is in $\mathcal{S}$.

(iii) If $\text{cd}_S(a,X) = 2$, then $\text{Ext}^n_R(N,H^2_a(X))$ is in $\mathcal{S}$ if and only if $\text{Ext}^{n+2}_R(N,D_a(X))$ is in $\mathcal{S}$.

Proof. (i) This is clear from Corollary 2.8.

(ii) For all $i \neq 1$, $H^i_a(X/\Gamma_a(X))$ is in $\mathcal{S}$ by assumption. Now, the assertion follows from Corollary 2.8.

(iii) By [10, Corollary 2.2.8], $H^i_a(D_a(X))$ is in $\mathcal{S}$, for all $i \neq 2$. Again, use Corollary 2.8. $\square$

3. Special Serre Subcategories

Here, we study the extension functors of local cohomology modules in some special Serre subcategories of the category of $R$-modules. We begin with a definition.

Definition 3.1. (see [1, Definition 2.1]) Let $\mathcal{M}$ be a Serre subcategory of the category of $R$-modules. We say that $\mathcal{M}$ is a Melkersson subcategory with respect to the ideal $a$ if for any $a$-torsion $R$-module $X$, $0 :_X a$ is in $\mathcal{M}$ implies that $X$ is in $\mathcal{M}$. $\mathcal{M}$ is called Melkersson subcategory, when it is a Melkersson subcategory with respect to all ideals of $R$. 
In honour of Melkersson who proved this property for Artinian category (see [10, Theorem 7.1.2]) and Artinian \( a \)-cofinite category (see [27, Proposition 4.1]), we name the above subcategory as Melkersson subcategory. To see some examples of Melkersson subcategories, we refer the reader to [1, Examples 2.4 and 2.5].

The next two propositions show that how properties of Melkersson subcategories behave similarly at the initial points of \( \text{Ext} \) and local cohomology modules. These propositions give new proofs for [1, Theorems 2.9 and 2.13] based on theorems 2.1 and 2.3.

**Proposition 3.2.** (see [1, Theorem 2.13]) Let \( X \) be an \( R \)-module, \( \mathcal{M} \) be a Melkersson subcategory with respect to the ideal \( a \), and \( n \) be a non-negative integer such that \( \text{Ext}_{R}^{j-i}(R/a, H_{a}^{i}(X)) \) is in \( \mathcal{M} \), for all \( i, j \), with \( 0 \leq i \leq n-1 \) and \( j = n, n+1 \). Then, the following statements are equivalent.

(i) \( \text{Ext}_{R}^{n}(R/a, X) \) is in \( \mathcal{M} \).

(ii) \( H_{a}^{n}(X) \) is in \( \mathcal{M} \).

**Proof.** (i) \( \Rightarrow \) (ii). Apply Theorem 2.3 with \( s = 0 \) and \( t = n \). It shows that \( \text{Hom}_{R}(R/a, H_{a}^{n}(X)) \) is in \( \mathcal{M} \). Thus, \( H_{a}^{n}(X) \) is in \( \mathcal{M} \).

(ii) \( \Rightarrow \) (i). Apply Theorem 2.1 with \( t = n \). \( \square \)

**Proposition 3.3.** (see [1, Theorem 2.9]) Let \( X \) be an \( R \)-module, \( \mathcal{M} \) be a Melkersson subcategory with respect to the ideal \( a \), and \( n \) be a non-negative integer. Then, the following statements are equivalent.

(i) \( H_{a}^{i}(X) \) is in \( \mathcal{M} \), for all \( i \), \( 0 \leq i \leq n \).

(ii) \( \text{Ext}_{R}^{i}(R/a, X) \) is in \( \mathcal{M} \), for all \( i \), \( 0 \leq i \leq n \).

**Proof.** (i) \( \Rightarrow \) (ii). Let \( 0 \leq t \leq n \). Since \( H_{a}^{r}(X) \) is in \( \mathcal{M} \), for all \( r \), \( 0 \leq r \leq t \), \( \text{Ext}_{R}^{t-r}(R/a, H_{a}^{r}(X)) \) is in \( \mathcal{M} \), for all \( r \), \( 0 \leq r \leq t \). Hence, \( \text{Ext}_{R}^{t}(R/a, X) \) is in \( \mathcal{M} \) by Theorem 2.1.

(ii) \( \Rightarrow \) (i). We prove by using induction on \( n \). Let \( n = 0 \) and consider the isomorphism \( \text{Hom}_{R}(R/a, X) \cong \text{Hom}_{R}(R/a, \Gamma_{a}(X)) \). Since \( \text{Hom}_{R}(R/a, X) \) is in \( \mathcal{M} \), \( \text{Hom}_{R}(R/a, \Gamma_{a}(X)) \) is in \( \mathcal{M} \). Thus, \( \Gamma_{a}(X) \) is in \( \mathcal{M} \).

Now, suppose that \( n > 0 \) and that \( n-1 \) is settled. Since \( \text{Ext}_{R}^{i}(R/a, X) \) is in \( \mathcal{M} \), for all \( i \), \( 0 \leq i \leq n-1 \), \( H_{a}^{i}(X) \) is in \( \mathcal{M} \), for all \( i \), \( 0 \leq i \leq n-1 \), by the induction hypothesis. Now, by the above proposition, \( H_{a}^{n}(X) \) is in \( \mathcal{M} \). \( \square \)
In the next proposition, we study the membership of the local cohomology modules of an $R$–module $X$ with respect to different ideals in Melkersson subcategories which, among other things, shows $\text{cd}_{\mathcal{M}}(b, X) \leq \text{cd}_{\mathcal{M}}(a, X) + \text{ara}(b/a)$, where $\mathcal{M}$ is a Melkersson subcategory of $\mathcal{C}(R)$ and $b$ is an ideal of $R$ containing $a$.

**Proposition 3.4.** Let $X$ be an $R$–module and $b$ be an ideal of $R$ such that $a \subseteq b$. Assume also that $\mathcal{M}$ is a Melkersson subcategory of $\mathcal{C}(R)$ and $n$ is a non-negative integer such that $H^n(X)$ is in $\mathcal{M}$, for all $i$, $0 \leq i \leq n$ (respectively $i \geq n$). Then, $H^n_i(X)$ is in $\mathcal{M}$, for all $i$, $0 \leq i \leq n$ (respectively $i \geq n + \text{ara}(b/a)$).

**Proof.** Let $r = \text{ara}(b/a)$. There exist $x_1, ..., x_r \in R$ such that $\sqrt{b} = \sqrt{a} + (x_1, ..., x_r)$. We can, and do, assume that $b = a + \mathfrak{c}$, where, $\mathfrak{c} = (x_1, ..., x_r)$. By [29, Theorem 11.38], there is a Grothendieck spectral sequence

$$E_2^{p,q} := H^p_b(H^n_a(X)) \Rightarrow H^{p+q}_b(X).$$

Assume that $t$ is a non-negative integer such that $0 \leq t \leq n$ (resp. $t \geq n + r$). For all $i$, $0 \leq i \leq t$, $E_{t+2}^{t-i,i} = E_{t+2}^{t-i,i}$, since $E_j^{t-i-j,i+j-1} = 0 = E_j^{t-i+j,i-j+1}$, for all $j \geq t + 2$. Therefore, $E_{t+2}^{t-i,i}$ is in $\mathcal{M}$ from the fact that $E_{t+2}^{t-i,i}$ is a subquotient of $E_2^{t-i,i} = H^{t-i}(H^n_a(X))$, which belongs to $\mathcal{M}$ by assumption and Proposition 3.3. There exists a finite filtration

$$0 = \phi^{t+1}H^t \subseteq \phi^tH^t \subseteq \cdots \subseteq \phi^1H^t \subseteq \phi^0H^t = H^n_b(X)$$

such that $E_{t+2}^{t-i,i} = \phi^{t-i}H^t/\phi^{t-i+1}H^t$, for all $i$, $0 \leq i \leq t$. Now, the exact sequences

$$0 \to \phi^{t-i+1}H^t \to \phi^{t-i}H^t \to E_{t+2}^{t-i,i} \to 0,$$

for all $i$, $0 \leq i \leq t$, show that $H^n_b(X)$ is in $\mathcal{M}$. \hfill \Box

Let $a$ be an ideal of $R$, $N$ be a finite $a$–torsion module and $s, t$ be non-negative integers. In the following theorem, we find some sufficient conditions for validity of the isomorphism $\text{Ext}^{s+t}_R(N, X) \cong \text{Ext}^t_R(N, H^n_a(X))$, which concerns to the case $\mathcal{S} = 0$.

**Theorem 3.5.** Let $X$ be an $R$–module and $s, t$ be non-negative integers such that

1. $\text{Ext}^{s+t-i}_R(N, H^n_a(X)) = 0$, for all $i$, $0 \leq i < t$ or $t < i \leq s + t$,
(ii) $\Ext_{R}^{s+t+1-i}(N, H^i_a(X)) = 0$, for all $i$, $0 \leq i < t$, and

(iii) $\Ext_{R}^{s+t-1-i}(N, H^i_a(X)) = 0$, for all $i$, $t + 1 \leq i < s + t$.

Then, we have $\Ext_{R}^{t}(N, H^t_a(X)) \cong \Ext_{R}^{s+t}(N, X)$. 

Proof. Consider the Grothendieck spectral sequence

$$E_2^{p,q} : = \Ext_{R}^{p}(N, H^q_a(X)) \Rightarrow \Ext_{R}^{p+q}(N, X)$$

and, for all $r \geq 2$, the exact sequences

$$0 \to B_r^s \to Z_r^s \to E_{r+1}^s \to 0 \quad \text{and} \quad 0 \to Z_r^s \to E_{r+1}^s \to E_r^s / Z_r^s \to 0,$$

as used in Theorem 2.3. Since $E_2^{s+r+1-r} = 0 = E_2^{s-r+t+r-1}$ holds, $E_r^{s+r,t+1-r} = 0 = E_2^{s-r,t+r-1}$. Therefore, $E_r^s / Z_r^s = 0 = B_r^s$, which shows that $E_r^s = E_{r+1}^s$. Hence, we have

$$\Ext_{R}^{t}(N, H^t_a(X)) = E_2^s = E_3^s = \cdots = E_{s+t+1}^s = E_{s+t+2}^s = E_\infty^s.$$

There is a finite filtration

$$0 = \phi^{s+t+1} H^{s+t} \subset \phi^{s+t} H^{s+t} \subset \cdots \subset \phi^1 H^{s+t} \subset \phi^0 H^{s+t} = \Ext_{R}^{s+t}(N, X)$$

such that $E_\infty^{s+t-j} = \phi^{s+t-j} H^{s+t} / \phi^{s+t-j+1} H^{s+t}$, for all $j$, $0 \leq j \leq s + t$.

Note that for each $j$, $0 \leq j \leq t - 1$ or $t + 1 \leq j \leq s + t$, by assumption (i), we have $E_\infty^{s+t-j} = 0$. Therefore, we get

$$0 = \phi^{s+t+1} H^{s+t} = \phi^{s+t} H^{s+t} = \cdots = \phi^{s+2} H^{s+t} = \phi^{s+1} H^{s+t}$$

and

$$\phi^s H^{s+t} = \phi^{s-1} H^{s+t} = \cdots = \phi^1 H^{s+t} = \phi^0 H^{s+t} = \Ext_{R}^{s+t}(N, X).$$

Thus, $\Ext_{R}^{s}(N, H^t_a(X)) = E_\infty^s = \phi^s H^{s+t} / \phi^{s+1} H^{s+t} = \Ext_{R}^{s+t}(N, X)$, as desired.

The following corollaries are immediate applications of the above theorem which give us some useful isomorphisms and equalities about the extension functors and the Bass numbers of local cohomology modules, respectively.

**Corollary 3.6.** (cf. [2, Corollary 4.2.(c)]) Let $X$ be an $R$-module and $n$ be a non-negative integer. Then, the isomorphism $\Hom_{R}(N, H^t_a(X)) \cong \Ext_{R}^{n}(N, X)$ holds in either of the following cases:

(i) $\Ext_{R}^{j-i}(N, H^i_a(X)) = 0$, for all $i, j$ with $0 \leq i \leq n - 1$ and $j = n, n + 1$;
(ii) \( \text{Ext}^i_R(R/\mathfrak{a}, X) = 0 \), for all \( i, 0 \leq i \leq n - 1 \).

**Proof.** (i) Apply Theorem 3.5 with \( s = 0 \) and \( t = n \).

(ii) By Proposition 3.3, \( H^i_\mathfrak{a}(X) = 0 \), for all \( i, 0 \leq i \leq n - 1 \). Now, use case (i). \( \square \)

**Corollary 3.7.** Let \( X \) be an \( R \)-module and \( n \) and \( m \) be non-negative integers such that \( n \leq m \). Assume also that \( H^i_\mathfrak{a}(X) = 0 \) for all \( i, i \neq n \) (respectively \( 0 \leq i \leq n - 1 \) or \( n + 1 \leq i \leq m \)). Then, we have \( \text{Ext}^i_R(N, H^n_\mathfrak{a}(X)) \cong \text{Ext}^{i+n}_R(N, X) \), for all \( i, i \geq 0 \) (respectively \( 0 \leq i \leq m - n \)).

**Proof.** For all \( i, i \geq 0 \) (respectively \( 0 \leq i \leq m - n \)), apply Theorem 3.5 with \( s = i \) and \( t = n \). \( \square \)

**Corollary 3.8.** (cf. [18, Proposition 3.1]) Let \( X \) be an \( R \)-module and \( n \) be a non-negative integer such that \( H^i_\mathfrak{a}(X) = 0 \), for all \( i, i \neq n \). Then, we have \( \mu^i(p, H^n_\mathfrak{a}(X)) = \mu^{i+n}(p, X) \), for all \( i \geq 0 \) and all \( p \in V(\mathfrak{a}) \).

**Proof.** Let \( p \in V(\mathfrak{a}) \). By assumption, \( H^i_{aR_p}(X_p) = 0 \), for all \( i, i \neq n \), so that \( \text{Ext}^i_{R_p}(R_p/pR_p, H^n_{aR_p}(X_p)) \cong \text{Ext}^{i+n}_{R_p}(R_p/pR_p, X_p) \), for all \( i \geq 0 \) by Corollary 3.7. Hence, \( \mu^i(p, H^n_\mathfrak{a}(X)) = \mu^{i+n}(p, X) \), for all \( i \geq 0 \). \( \square \)

**Corollary 3.9.** For an arbitrary \( R \)-module \( X \), the following statements hold true.

(i) If \( \text{cd} (\mathfrak{a}, X) = 0 \), then \( \text{Ext}^i_R(N, \Gamma_{\mathfrak{a}}(X)) \cong \text{Ext}^i_R(N, X) \), for all \( i \geq 0 \).

(ii) If \( \text{cd} (\mathfrak{a}, X) = 1 \), then \( \text{Ext}^i_R(N, H^1_\mathfrak{a}(X)) \cong \text{Ext}^{i+1}_R(N, X/\Gamma_{\mathfrak{a}}(X)) \), for all \( i \geq 0 \).

(iii) If \( \text{cd} (\mathfrak{a}, X) = 2 \), then \( \text{Ext}^i_R(N, H^2_\mathfrak{a}(X)) \cong \text{Ext}^{i+2}_R(N, D_{\mathfrak{a}}(X)) \), for all \( i \geq 0 \).

**Proof.** By considering Corollary 3.7, the proof is similar to that of Corollary 2.9. \( \square \)
4. Cofinite Modules

We first introduce the class of cofinite modules with respect to an ideal and a Serre subcategory of the category of \( R \)-modules.

**Definition 4.1.** Let \( a \) be an ideal of \( R \), \( X \) be an \( R \)-module and \( S \) be a Serre subcategory of \( \mathcal{C}(R) \). We say that \( X \) is \( S \)-cofinite with respect to the ideal \( a \), if \( \text{Supp}_R(X) \subseteq V(a) \) and \( \text{Ext}^i_R(R/a, X) \) is in \( S \), for all \( i \geq 0 \). We will denote this concept by \( (S, a) \)-cofinite.

Note that when \( S \) is \( \mathcal{C}_{f.g}(R) \) (respectively \( \mathcal{C}_{w.l}(R) \)), \( X \) is \( (S, a) \)-cofinite exactly when \( X \) is \( a \)-cofinite (respectively \( a \)-weakly cofinite).

**Theorem 4.2.** Let \( X \) be an \( R \)-module and \( n \) be a non-negative integer such that \( H^i_a(X) \) is \( (S, a) \)-cofinite, for all \( i, i \neq n \). Then, the following statements are equivalent.

(i) \( \text{Ext}^i_R(R/a, X) \) is in \( S \), for all \( i \geq 0 \).
(ii) \( \text{Ext}^i_R(R/a, X) \) is in \( S \), for all \( i \geq n \).
(iii) \( H^n_a(X) \) is \( (S, a) \)-cofinite.

**Proof.** (i) \( \Rightarrow \) (ii). This is clear.
(iii) \( \Rightarrow \) (i). For all \( i \geq 0 \), apply Theorem 2.3 with \( N = R/a, s = i \) and \( t = n \).

As an immediate result, the following corollary recovers and improves [26, Proposition 2.5], [27, Proposition 3.11] and [14, Theorem 3.1].

**Corollary 4.3.** (cf. [26, Proposition 2.5], [27, Proposition 3.11] and [14, Theorem 3.1]) Let \( X \) be an \( R \)-module and \( n \) be a non-negative integer such that \( H^i_a(X) \) is \( a \)-cofinite (respectively \( a \)-weakly cofinite), for all \( i, i \neq n \). Then, the following statements are equivalent.

(i) \( \text{Ext}^i_R(R/a, X) \) is finite (respectively weakly Laskerian), for all \( i \geq 0 \).
(ii) \( \text{Ext}^i_R(R/a, X) \) is finite (respectively weakly Laskerian), for all \( i \geq n \).
(iii) \( H^n_a(X) \) is \( a \)-cofinite (respectively \( a \)-weakly cofinite).
Theorem 4.4. Suppose that $X$ is an $R$–module and $n$ is a non-negative integer such that

(i) $H^i_a(X)$ is $(S, a)$–cofinite, for all $i$, $0 \leq i \leq n - 1$, and

(ii) $\text{Ext}^{-1+n}_R(N, X)$ is in $S$.

Then, $\text{Ext}^{-1}_R(N, H^n_a(X))$ is in $S$.

Proof. Consider [22, Proposition 3.4] and apply Theorem 2.3 with $s = 1$ and $t = n$.

The following result is an application of the above theorem.

Corollary 4.5. (cf. [16, Theorem A] and [13, Corollary 2.7]) Let $X$ be an $R$–module and $n$ be a non-negative integer. Assume also that

(i) $H^i_a(X)$ is $a$–cofinite (respectively $a$–weakly cofinite), for all $i$, $0 \leq i \leq n - 1$, and

(ii) $\text{Ext}^{-1+n}_R(N, X)$ is finite (respectively weakly Laskerian).

Then, $\text{Ext}^{-1}_R(N, H^n_a(X))$ is finite (resp. weakly Laskerian).

Theorem 4.6. Let $X$ be an $R$–module and $n$ be a non-negative integer such that $\text{Ext}^{-n+1}_R(N, X)$ and $\text{Ext}^{-n+2}_R(N, X)$ are in $S$, and $H^i_a(X)$ is $(S, a)$–cofinite, for all $i$, $0 \leq i < n$. Then, the following statements are equivalent.

(i) $\text{Hom}_R(N, H^{n+1}_a(X))$ is in $S$.

(ii) $\text{Ext}^{-2}_R(N, H^n_a(X))$ is in $S$.

Proof. (i) ⇒ (ii). Consider [22, Proposition 3.4] and apply Theorem 2.3 with $s = 2$ and $t = n$.

(ii) ⇒ (i). Again consider [22, Proposition 3.4] and apply Theorem 2.3 with $s = 0$ and $t = n + 1$.

Asadollahi and Schenzel [4] proved that over local ring $(R, m)$, if $X$ is Cohen-Macaulay and $t = \text{grade} (a, X)$ then, $\text{Hom}_R(R/a, H^{t+1}_a(X))$ is finite if and only if $\text{Ext}^{-1}_R(R/a, H^i_a(X))$ is finite (see [4, Theorem 1.2]). Dibaei and Yassemi [16] generalized this result with weaker assumptions on $R$ and $X$. As an immediate consequence of Theorem 4.6, the following is a generalization of [16, Theorem B].
Corollary 4.7. (cf. [16, Theorem B]) Let $X$ be an $R$–module and $n$ be a non-negative integer. Assume also that $\operatorname{Ext}^{n+1}_R(N, X)$ and $\operatorname{Ext}^{n+2}_R(N, X)$ are finite (resp. weakly Laskerian), and $H^2_a(X)$ is $a$–cofinite (resp. $a$–weakly cofinite), for all $i, 0 \leq i < n$. Then, the following statements are equivalent:

(i) $\operatorname{Hom}_R(N, H^{n+1}_a(X))$ is finite (respectively weakly Laskerian).

(ii) $\operatorname{Ext}^2_R(N, H^{n}_a(X))$ is finite (respectively weakly Laskerian).

In [12, Proposition 2], Delfino and Marley proved the change of ring principle for cofiniteness. In the following theorem, we prove it for the Serre cofiniteness. The proof is an adaptation of the proof of [12, Proposition 2].

Theorem 4.8. Let $\phi : A \rightarrow B$ be a homomorphism between Noetherian rings such that $B$ is a finite $A$–module, $a$ be an ideal of $A$ and $X$ be a $B$–module. Let $\mathcal{S}$ and $\mathcal{T}$ be the Serre subcategories of $\mathcal{C}(A)$ and $\mathcal{C}(B)$, respectively. Assume also that for any $B$–module $Y$, $Y$ is in $\mathcal{T}$ exactly when $Y$ is in $\mathcal{S}$ (as an $A$–module). Then, $X$ is $(\mathcal{T}, aB)$–cofinite if and only if $X$ is $(\mathcal{S}, a)$–cofinite (as an $A$–module).

Proof. By [29, Theorem 11.65], there is a Grothendieck spectral sequence

$$E_2^{p,q} := \operatorname{Ext}_B^p(\operatorname{Tor}_q^A(B, A/a), X) \Rightarrow \operatorname{Ext}^{p+q}_A(A/a, X).$$

($\Rightarrow$). For all $p$ and $q$, by [22, Proposition 3.4], $E_2^{p,q}$ is in $\mathcal{S}$. Therefore, $E_\infty^{p,q}$ belongs to $\mathcal{S}$, since, $E_\infty^{p,q} = E_2^{p,q}$ and $E_\infty^{p,q}$ is a subquotient of $E_2^{p,q}$. Let $n$ be a non-negative integer. There exists a finite filtration

$$0 = \phi^{n+1}H^n \subseteq \phi^nH^n \subseteq \cdots \subseteq \phi^1H^n \subseteq \phi^0H^n = \operatorname{Ext}^n_A(A/a, X)$$

such that $E_{\infty}^{n-i,i} = \phi^{n-i}H^n/\phi^{n-i+1}H^n$, for all $i, 0 \leq i \leq n$. Now, by the exact sequences

$$0 \rightarrow \phi^{n-i+1}H^n \rightarrow \phi^{n-i}H^n \rightarrow E_{\infty}^{n-i,i} \rightarrow 0,$$

for all $i, 0 \leq i \leq n$, $\operatorname{Ext}^n_A(A/a, X)$ is in $\mathcal{S}$.

($\Leftarrow$). By using induction on $n$, we show that $E_2^{n,0} = \operatorname{Ext}_B^n(B/aB, X)$ is in $\mathcal{T}$, for all $n \geq 0$. The case $n = 0$ is clear from the isomorphism $\operatorname{Hom}_B(B/aB, X) \cong \operatorname{Hom}_A(A/a, X)$. Assume that $n > 0$ and that $E_2^{p,0}$ is in $\mathcal{T}$, for all $p$, $0 \leq p \leq n - 1$. For all $r \geq 2$, we have $E_r^{n,0} \cong E_r^{n,0}/\operatorname{Im}(E_{r-1}^{n-r,0} \rightarrow E_r^{n,0})$. Thus, $E_r^{n,0}$ is in $\mathcal{T}$, whenever $E_{r+1}^{n,0}$ is
in $\mathcal{T}$, because $E_r^{n-r,r-1}$ is in $\mathcal{T}$ by the induction hypotheses and [22, Proposition 3.4]. Since $E_n^{0,0} = E_{n+2}^{0,0}$, to complete the proof, it is enough to show that $E_n^{0,0}$ is in $\mathcal{T}$. By assumption, $\text{Ext}_A^n(A/a, X)$ is in $\mathcal{T}$ and hence $\phi^n H^n$ is in $\mathcal{T}$. That is, $E_n^{0,0}$ belongs to $\mathcal{T}$, as desired. $\square$

**Definition 4.9.** (see [30]) The $R$–module $X$ is a minimax module if it has a finite submodule $X'$ such that $X/X'$ is Artinian.

The class of minimax modules thus includes all finite and all Artinian modules. Note that the category of minimax modules and the category of $a$–cofinite minimax modules are two Serre subcategories of the category of $R$–modules (see [27, Corollary 4.4]).

**Proposition 4.10.** Let $X$ be an $R$–module and $n$ and $m$ be non-negative integers such that $n \leq m$. Assume also that

1. $H^i_a(X)$ is $a$–cofinite, for all $i$, $0 \leq i \leq n - 1$,
2. $\text{Ext}_R^i(R/a, X)$ is finite, for all $i$, $n \leq i \leq m$, and
3. $H^n_a(X)$ is minimax, for all $i$, $n \leq i \leq m$.

Then, $H^i_a(X)$ is $a$–cofinite, for all $i$, $0 \leq i \leq m$.

**Proof.** Apply Theorem 2.3 with $s = 0$ and $t = n$ for $N = R/a$ and $S = \mathcal{C}_{f,g}(R)$. It shows that $\text{Hom}_R(R/a, H^i_a(X))$ is finite. Thus, $H^i_a(X)$ is $a$–cofinite, from [27, Proposition 4.3]. $\square$

**Corollary 4.11.** (cf. [6, Theorem 2.3]) Let $X$ be an $R$–module and $n$ be a non-negative integer such that

1. $H^i_a(X)$ is minimax, for all $i$, $0 \leq i \leq n - 1$, and
2. $\text{Ext}_R^i(R/a, X)$ is finite, for all $i$, $0 \leq i \leq n$.

Then, $\text{Hom}_R(R/a, H^n_a(X))$ is finite.

**Proof.** By [27, Proposition 4.3], $\Gamma_a(X)$ is $a$–cofinite. Hence, $H^i_a(X)$ is $a$–cofinite, for all $i$, $0 \leq i \leq n - 1$, from Proposition 4.10. Thus, by Theorem 2.3, $\text{Hom}_R(R/a, H^n_a(X))$ is finite. $\square$

**Corollary 4.12.** Suppose that $X$ is an $R$–module and that $n$ is a non-negative integer. Then, the following statements are equivalent.

1. $H^i_a(X)$ is Artinian $a$–cofinite, for all $i$, $0 \leq i \leq n$.
2. $\text{Ext}_R^i(R/a, X)$ has finite length, for all $i$, $0 \leq i \leq n$. 
Proof. (i) ⇒ (ii). Let \( 0 \leq t \leq n \). Since \( \text{Ext}_R^{t-i}(R/\mathfrak{a}, H_i^a(X)) \) has a finite length for all \( i, 0 \leq i \leq t \), \( \text{Ext}_R^{t}(R/\mathfrak{a},X) \) has also a finite length, by Theorem 2.1.

(ii) ⇒ (i). By Proposition 3.3, \( H_i^a(X) \) is Artinian, for all \( i, 0 \leq i \leq n \). Let \( 0 \leq t \leq n \) and consider Corollary 4.11. It shows that \( \text{Hom}_R(R/\mathfrak{a}, H_t^a(X)) \) is finite and so has a finite length. Now, the assertion follows from [27, Proposition 4.3]. \( \square \)

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