The Spectral Properties of (-)-Epigallocatechin 3-O-Gallate (EGCG) Fluorescence in Different Solvents: Dependence on Solvent Polarity

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Abstract

(-)-Epigallocatechin 3-O-gallate (EGCG) a molecule found in green tea and known for a plethora of bioactive properties is an inhibitor of heat shock protein 90 (HSP90), a protein of interest as a target for cancer and neuroprotection. Determination of the spectral properties of EGCG fluorescence in environments similar to those of binding sites found in proteins provides an important tool to directly study protein-EGCG interactions. The goal of this study is to examine the spectral properties of EGCG fluorescence in an aqueous buffer (AB) at pH=7.0, acetonitrile (AN) (a polar aprotic solvent), dimethylsulfoxide (DMSO) (a polar aprotic solvent), and ethanol (EtOH) (a polar protic solvent). We demonstrate that EGCG is a highly fluorescent molecule when excited at approximately 275 nm with emission maxima between 350 and 400 nm depending on solvent. Another smaller excitation peak was found when EGCG is excited at approximately 235 nm with maximum emission between 340 and 400 nm. We found that the fluorescence intensity (FI) of EGCG in AB at pH=7.0 is significantly quenched, and that it is about 85 times higher in an aprotic solvent DMSO. The Stokes shifts of EGCG fluorescence were determined by solvent polarity. In addition, while the emission maxima of EGCG fluorescence in AB, DMSO, and EtOH follow the Lippert-Mataga equation, its fluorescence in AN points to non-specific solvent effects on EGCG fluorescence. We conclude that significant solvent-dependent changes in both fluorescence intensity and fluorescence emission shifts can be effectively used to distinguish EGCG in aqueous solutions from EGCG in environments of different polarity, and, thus, can be used to study specific EGCG binding to protein binding sites where the environment is often different from aqueous in terms of polarity.

Introduction

EGCG (Figure 1A), a major catechin in green tea, exhibits antioxidant [1,2], antimutagenic [3], anticancer [4-6], antiallergic [7,8], and antiatherosclerotic [9,10] properties. EGCG is carried by serum albumin[11] and has been identified as a novel inhibitor of heat shock protein 90 (HSP90)[12], a cytoplasmic chaperone protein, which has recently received much attention to hypothesize that EGCG is also fluorescent, and its fluorescence depends on the solvent. However, this hypothesis requires experimental verification since the two fragments when combined can quench each other’s fluorescence. We
hypothesized that EGCG is fluorescent when excited at approximately λ\textsubscript{ex}=280 nm and that its emission maxima are dependent on solvent. The EGCG fluorescence at the maximum of fluorescence excitation \(\lambda\textsubscript{max}=331 \text{ nm} \) and the maximum of fluorescence emission \(\lambda\textsubscript{em}=455 \text{ nm} \) or 550 nm was previously reported in a mixture of AN and aqueous solution significantly different from the cytoplasmic environment but solvent effects were not characterized [19]. In our study, however, it was found that EGCG fluoresces when excited at much shorter wavelengths. Here we report EGCG fluorescence in four solvents, 1) EtOH, a protic solvent, 2) AB at pH=7.0, as a model for the aqueous cytoplasmic environment, 3) DMSO, an aprotic polar solvent widely used for solubilization of water-insoluble organic compounds in biomedical research, and 4) AN, an aprotic solvent widely used for liquid chromatography characterization of organic molecules. The rationale for this choice of solvents is that binding EGCG to a protein such as HSP90[12] or to serum albumin[11] is likely to result in transition of EGCG from a predominantly aqueous environment to a less polar milieu which may result in dramatic changes in fluorescence[20]. Being able to distinguish EGCG in these environments would provide an important tool for studying EGCG binding to proteins and offer the possibility of a direct binding assay using a target protein.

**Materials and Methods**

Absorbance of EGCG was measured at 10 µM with Nanodrop ND-1000 spectrophotometer (Thermo Fisher Scientific Inc.) at room temperature and standardized for 1 cm lightpath according to the Nanodrop's manual. Extinction (ε): ε=Absorbance/lightpath (cm)/concentration (M).

Fluorescence of EGCG was measured at 10 µM with Hitachi F-7000 spectrofluorometer (Hitachi High-Technologies Co.) in a 1 cm quartz cell thermostated at 20°C. The slit width was 5 nm, PMT voltage 700 V, scan speed 240 nm/min.

The absorbance and fluorescence spectra were exported to and plotted with Origin 9 software (OriginLab Co).

EGCG was prepared as a 30 mM stock in DMSO, aliquoted with an Eppendorf Repeater® plus at 2 µL to avoid decomposition due to the freeze-thaw cycling and stored at -20°C. Before experiments, 4 µL of DMSO were added to a vial to bring EGCG concentration to 10 nM before 1/1000 dilution to the experimental concentration of 10 µM. Spectral characteristics were measured at 10 µM in AN, an aprotic solvent, and in AB containing KCl (150 mM), HEPES (10 mM) intended to mimic the aqueous cellular environment and at pH=7.0. We chose to measure fluorescence in a pH-buffered AB at a neutral pH=7.0 rather than distilled deionized water because ambient CO\textsubscript{2} makes pH uncertain that may affect fluorescence and EGCG stability. We started initial measurements in DMSO, an aprotic solvent and included AN since DMSO has a cut-off at 265 nm (determined as absorbance of 1.00 in a 1 cm cell vs. water) rendering measurements of absorbance at shorter wavelengths impossible. Spectral measurements in DMSO were done in 100% DMSO, while solutions in AN and AB contained 0.1% DMSO. Therefore, 0.1% DMSO was added to the AN and AB blanks. It is noteworthy that even 0.1% DMSO can present a problem for UV absorbance and fluorescence measurements because the cut-off of 0.1% DMSO in EtOH is 229 nm, and in AB is 223 nm (not shown).

All chemicals were purchased from Sigma-Aldrich Co. The purity and structure of EGCG were confirmed by NMR and LCMS. 18.2 MΩ water (Milli-Q, Millipore) was used for all experiments.
Table 1. Spectral properties of EGCG.

| solvent   | Ex (nm/Em (nm)) | AB | AN | EtOH | DMSO |
|-----------|-----------------|----|----|------|------|
|           | UVmax           | 275 | 275 | 275 | 275  |
| (nm)      | max             | (6,600) | (15,100) | (280) | (6,600) |
| 270 nm    | 275 (17,500)    | 280 nm | (12,100) |       |      |
| (10,000)  | 231 nm          | 275 | 275 | 275 | 275  |
| (nm)      | max             | (180) | (9,500) |       |      |
| 274±3 nm  | 276±11 nm       | 280±11 nm | (10,000±2,000) |       |      |
| (8,400±1,700) |              |     |     |     |      |

| Ex (nm)/E | AN               | EtOH                  | DMSO                  |
|-----------|------------------|-----------------------|-----------------------|
| 275/346   | 2,888±0.28       | 2,888±0.28            | 2,888±0.28            |
| 275/365   | 1,535±0.28       | 1,535±0.28            | 1,535±0.28            |
| 275/365   | 2,888±0.28       | 2,888±0.28            | 2,888±0.28            |
| 275/375   | 3,021±0.28       | 3,021±0.28            | 3,021±0.28            |
| 275/375   | 2,888±0.28       | 2,888±0.28            | 2,888±0.28            |

AB, aqueous buffer; AN, acetonitrile; EtOH, ethanol; DMSO, dimethylsulfoxide; UVmax, the wavelength of maximum UV absorbance; ε, calculated extinction of absorbance; η, the mean value of standard deviations (Mean±SD) of three independent experiments. doi: 10.1371/journal.pone.0079834.t001

Results

EtOH

Absorbance of 10 µM EGCG in EtOH at the wavelength of maximum UV absorbance UVmax=275 nm was 0.15±0.004 (n=4), 0.175±0.017 (n=4), and at UVmax=277 nm it was 0.138±0.014 (n=4) in three independent experiments (Table 1, Fig. 2A). Monograph #3526 of the Merck Index 14th reports extinction ε=11,500 (cm⁻¹M⁻¹) at UVmax=275 nm. When excited at UVmax=275 nm, the intensity of fluorescence was 1,258 arbitrary units (au) at Emax=365 nm (emission scan) (Figure 2B). An additional 3D fluorescence scan with the excitation from λ200 to 290 nm, and emission from λ300 to 350 nm detected a peak at Exmax=275 nm/Emax=365 nm with FI=3,021 au. Interestingly, this peak dominated over another small peak with Exmax=279 nm it was 0.095±0.008 (n=4) in three independent experiments (Figure 2A, Table 1). When excited at UVmax=280 nm, the intensity of fluorescence was 5.137 au at Emax=353 nm (Figure 2B; Table 1). Additional 3D fluorescence scan with the excitation from λ220 to 300 nm, and emission from λ320 to 400 nm revealed a peak at Exmax=276 nm/Emax=351 nm with FI=5,599 au. A smaller peak at λEx=235-237 nm was detected with the 3D scans in both EtOH and AB but this smaller peak, if existed, could not be detected in DMSO due to the cut-off at 265 nm (see Methods).

AN

The inability to detect EGCG fluorescence in DMSO at λEx=235-237 nm due to the cut-off effects of DMSO (an aprotic solvent) to contrast with protic solvents such as AB and EtOH, made us use AN, a non-hydrogen bonding polar solvent with a cut-off of 190 nm similar to water (191 nm). Absorbance of 10 µM EGCG in AN at UVmax=231 nm was 1.712±0.004 (n=4) (Figure 2A, Table 1). For comparison with other solvents used, the emission spectrum of EGCG in AN was measured at λEx=275 nm (Figure 2B) and two distinct emission peaks were detected, the larger peak being at λ346 nm and the smaller and wider peak being at approximately λ400 nm. A 3D fluorescence scan with the excitation from λ200 to 290 nm, and emission from λ300 to 350 nm detected a peak at Exmax=272 nm/Emax=343 nm with FI=3,021 au. Interestingly, this peak dominated over another small peak with Exmax=231 nm and Emmax=344 nm with FI=400 au which could be separated only computationally (Table 1).

Lippert plot

The Stokes shift (νs - νf)×10⁻³ (cm⁻¹) was plotted against the orientation polarizability Δf for the different solvents from Table 1 to build a Lippert plot[20] (Figure 3) according to the following calculation: (νs - νf)×10⁻³ (cm⁻¹) = 10⁻⁴νr(Ex(nm) - 10⁻⁴Emax(nm)) (Table 2). The larger emission peaks in AB, EtOH, and DMSO are single emission peaks that follow the Lippert-Mataga equation[20] since they fall into almost perfect line with R²=0.95 (Figure 3, open circles).

Discussion

Two excitation maxima of EGCG

Two excitation maxima of EGCG fluorescence were found in AB, EtOH, and AN. One smaller peak can be observed when EGCG is excited at approximately 235 nm with Emax=396 nm in AB, ~344 nm in AN, and 373 nm in EtOH (Table 1). In DMSO, the smaller peak cannot be distinguished due to high absorbance of DMSO at wavelengths shorter than 265 nm. Another single peak of much higher emission intensity compared to the smaller peak for each given solvent was found when EGCG is excited between 275 and 280 nm with Emax between 350 and 390 nm in AB, EtOH, and DMSO (Table 1, Figure 2B). The distinct maxima of the fluorescence excitation...
Figure 2. Spectra of EGCG in AB, AN, DMSO, and EtOH. A. Representative absorbance spectra of EGCG in acetonitrile (AN), ethanol (EtOH), dimethylsulfoxide (DMSO, dashed line for clarity), and aqueous buffer (AB). Absorbance is expressed in logarithmic units. B. Emission spectra of EGCG in dimethylsulfoxide (DMSO), acetonitrile (AN), ethanol (EtOH), and aqueous buffer (AB). Excitation wavelength/Em_max are indicated. All spectra were taken with the same spectrofluorometer settings.

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in all solvents tested point to two distinct dipoles in EGCG and are important for further characterization of the UV spectra of EGCG and its derivatives. In the following discussion, we pay more attention to the larger peaks because 1) their higher fluorescence intensities are more practical for EGCG-protein binding studies, and 2) the shorter excitation wavelengths of the smaller peaks are impractical due to the cut-off properties of many organic solvents, as DMSO shows in our case.

Emission maxima of EGCG depend on solvent polarity

The emission peak in AB shifted to a longer wavelength compared to EtOH (Table 1, Figure 2B). This corresponds with the increased polarity of water compared to EtOH (orientation polarizability $\Delta f=0.320$ and 0.298, respectively [20,21], Table 2). The intensity of the fluorescence in AB was significantly quenched compared to EtOH (Table 1, Figure 2B). We did not elucidate the exact mechanism of this quench. DMSO has a smaller orientation polarizability $\Delta f$ of 0.263 [20,21] compared to AB and EtOH (Table 2) and a smaller Stokes shift (Table 1, Figure 2B). This is in a good agreement with solvent polarity and the fluorescence emission shift [20]. The fact that the fluorescence intensity in EtOH, a protic solvent, is only approximately one fourth of that in DMSO, an aprotic solvent, may argue in favor of the H$^+$-dependent quench of fluorescence in AB. In AN, excitation at 275 nm resulted in two distinct emission maxima (Table 1, Figure 2B) suggesting non-specific solvent effects on EGCG fluorescence. Together with the fact that EGCG fluorescence in AN does not follow the Lippert equation (Figure 3), it indicates that at least two electronically distinct species may be formed due to interaction of EGCG and AN.

**Table 2.** Solvent polarity and Stokes shifts of EGCG.

| Solvent | $\Delta f$ | Ex | $E_{\text{max}}$ | $(\nu_A - \nu_F)^{*}10^{-3}$ cm$^{-1}$ | Figure 3 |
|---------|-----------|----|------------------|---------------------------------|---------|
| AB      | 0.32      | 275| 388             | 10.6 (AB)                       |         |
| AB      | 0.32      | 280| 389 a           | 10.0 AB                         |         |
| AB      | 0.32      | 237| 396 a           | 16.9 (AB)                       |         |
| AN      | 0.30      | 275| 346 b           | 7.5 AN                          |         |
| AN      | 0.30      | 275| 402 b           | 11.5 AN                         |         |
| AN      | 0.30      | 272| 343 c           | 7.6 AN                          |         |
| AN      | 0.30      | 231| 344 c           | 14.2 (AN)                       |         |
| EtOH    | 0.30      | 275| 365             | 9.0 EtOH                        |         |
| EtOH    | 0.30      | 275| 385 d           | 9.0 EtOH                        |         |
| EtOH    | 0.30      | 235| 373 d           | 15.7 (EtOH)                     |         |
| DMSO    | 0.26      | 280| 353             | 7.4 DMSO                        |         |
| DMSO    | 0.26      | 276| 351             | 7.7 DMSO                        |         |

**Symbols and labels in Figure 3**

- AB, aqueous buffer
- AN, acetonitrile
- EtOH, ethanol
- DMSO, dimethylsulfoxide
- $\nu_{\text{max}}$, the wavelength of maximum UV absorbance
- $\epsilon$, calculated extinction of absorbance
- $\epsilon_{\text{max}}$, calculated extinction of fluorescence
- $E_{\text{max}}$, calculated fluorescence intensity
- Mean values and standard deviations (Mean±SD) of three independent experiments are in bold
- $\lambda=Ex$, excitation wavelength
- $\ell=Fl$, fluorescence intensity, expressed as au (arbitrary units)

**Figure 3.** Lippert plot (see Table 2 and DISCUSSION for explanations). The Stokes shift $((\nu_A - \nu_F)^{*}10^{-3}$ cm$^{-1}$ was plotted against the orientation polarizability $\Delta f$ for the different solvents from Table 1 according to the following calculation: $((\nu_A - \nu_F)^{*}10^{-3}$ cm$^{-1}$ = $10^{4}/E_{\text{max}}(\text{nm}) - 10^{4}/E_{\text{max}}$(nm) (Table 2). The labels for the smaller excitation peaks are indicated in the parentheses. The larger fluorescence maxima, which follow the Lippert-Mataga relation are indicated with open circles (.), and are fitted with the linear regression (adjusted $R^2=0.95$).

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Stokes shifts of the larger fluorescence peaks in AB, EtOH, and DMSO follow Lippert-Mataga equation

We found that Stokes shifts of EGCG fluorescence depend on solvent polarity (Table 2, Figure 3). Stokes shifts of the larger fluorescence peaks in AB, EtOH, and DMSO (but not AN) follow the Lippert-Mataga relation (Figure 3, open circles) since they fall into almost perfect line with R^2=0.95 (Figure 3, open circles). If EGCG fluorescence in AN followed the Lippert-Mataga equation [20], one single emission maximum was found between 365 nm (Emax in EtOH) and 388 nm (Emax in AB) because orientation polarizability ∆f of AN (0.304) is between those of EtOH (0.298) and AB (0.320) [20,21] (Table 1). Interestingly, the Stokes shifts for two larger peaks in AN lie above and below the best linear fit for AB, EtOH, and DMSO at approximately the same distance. Additional theoretical and experimental investigation are necessary to explain if this observation is coincidental or follows natural law. Abnormal EGCG fluorescence in AN taken together with the fact that UVmax of EGCG in AN follows different pattern than UVmax of EGCG in AB, EtOH, and DMSO (Table 1, Figure 1A) points to non-specific AN effects on EGCG fluorescence. Thus, previously reported EGCG fluorescence at Ex=331 nm/ Em=455 and 550 nm in a mixture of AN and aqueous solution with uncertain pH [19] is difficult to interpret.

We demonstrated that EGCG is a fluorescent molecule and, importantly, its fluorescence is significantly dependent on the polarity of solvent. Interaction of EGCG with a binding pocket of a protein is likely to transfer EGCG from aqueous environment to one with different polarity that is expected to significantly change fluorescence intensity and shift emission maxima. We suggest that both changes in fluorescence intensity and fluorescence emission shifts can be used to study interaction of EGCG with HSP90 or other proteins. In addition, high EGCG fluorescence is useful for studies of binding to proteins with fluorescence anisotropy approach [16].

Author Contributions

Conceived and designed the experiments: VS. Performed the experiments: VS MNY RMSM. Analyzed the data: VS. Contributed reagents/materials/analysis tools: VS DPR.

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