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Experimental Control and Statistical Analysis of Thermal Conductivity in ZnO–Benzene Multilayer Thin Films

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ABSTRACT: We have fabricated a model system of precisely layer-engineered inorganic–organic thin-film structures using atomic/molecular-layer deposition (ALD/MLD). The samples consist of nanoscale polycrystalline ZnO layers and intervening benzene layers, covering a broad range of layer sequences. The samples characterized in this study combined with previous publications provide an excellent sample set to examine thermal transport properties in inorganic–organic thin films. The cross-plane thermal conductivity is found to depend on multiple factors, with the inorganic–organic interface density being the dominating factor. Our work highlights the remarkable capability of interface engineering in suppressing the thermal conductivity of hybrid inorganic–organic materials, e.g., for thermoelectric applications.

1. INTRODUCTION

Thermal conductivity is one of the critical properties for thermoelectric (TE) materials. An efficient TE material should have as low thermal conductivity as possible but simultaneously conduct electricity very well; this conundrum in optimizing TE materials is described by the so-called “electron crystal–phonon glass” concept. Advances in nanostructuring have rekindled the hope that materials could be engineered such that thermal conductivity could be reduced without simultaneously degrading the electrical conductivity. Here, we focus on heat conduction and investigate the possibility to manipulate thermal conductivity by designing nanoscale multilayer thin-film structures.

Heat is conducted in solids by both charge carriers and phonons, which are quantized lattice vibrations. In dielectric materials, the influence of charge carriers on the heat conduction is negligible, and the overall thermal conductivity is mainly driven by the lattice thermal conductivity, \( \kappa_L \), described by the kinetic theory as

\[
\kappa_L = \frac{1}{3} C_v \bar{v} l_{\text{mfp}}
\]

where \( C_v \) is the specific heat capacity, \( \bar{v} \) is the group velocity of the phonons, and \( l_{\text{mfp}} \) is the mean free path a phonon takes before being scattered. This particle-like scattering at material interfaces together with the wave nature of phonons, showing coherence of heat-carrying vibrations across multiple interfaces in short-period superlattices (SLs), has led to the realization of tunability in the thermal conductivity in a wide range in these superlattices through efficient engineering of the mean free path of the phonons. Earlier works on crystalline inorganic–inorganic SL systems have shown remarkable reductions in heat conduction, demonstrating ultralow thermal conductivity values even surpassing the alloy limit to thermal conductivity of the constituent materials of the SL structures. This reduction in thermal conductivity is not limited to purely crystalline interfaces, as crystalline-amorphous SLs have also revealed promising results demonstrating ultralow thermal conductivities well below the alloy limit. This widens the variety of interesting model systems that can potentially possess the ability to tune their thermal properties over a wide range and opens a plethora of opportunities to study the underlying mechanisms controlling heat conduction in novel superlattice-type structures.

Compared to inorganic–inorganic systems, hybrid inorganic–organic SLs are intriguing in the sense that they combine components that are fundamentally different. Indeed, for such inorganic–organic interfaces, large acoustic impedances between the inorganic and organic materials have been observed, resulting in a considerable reduction in the thermal boundary conductance across the inorganic–organic interface. Organic molecules have been successfully intercalated, for example, into TiS₂ and related two-dimensional (2D) lattices from organic solutions both electrochemically and chemically to suppress their thermal conductivity. However, this approach does not allow the control of the number/frequency of the organic layers within the inorganic matrix.

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The gas-phase atomic/molecular-layer deposition (ALD/MLD) technique\textsuperscript{35,36} is uniquely suited for the fabrication of precisely layer-engineered inorganic–organic thin-film structures. This technique is derived from the state-of-the-art atomic layer deposition (ALD) thin-film technology of high-quality ultrathin inorganic thin films.\textsuperscript{37} It allows us to combine, under relatively mild deposition conditions, mutually reactive inorganic and organic precursors into hybrid materials. Furthermore, ALD/MLD provides an atomic/molecular-level control of the individual-layer thicknesses for any predefined layer sequence pattern.

Here, we investigate the cross-plane thermal conductivity of ALD/MLD-fabricated ZnO–benzene thin films in the thickness range of 50–200 nm, composed of polycrystalline ZnO layers and monomolecular benzene layers or few-layer Zn–benzene pilings. This material system is chosen for several reasons. The n-type semiconducting ZnO is a reasonably good thermoelectric material as such, especially when doped with, e.g., Al or Mg,\textsuperscript{38–42} and its deposition from diethylzinc (DEZ) and water is one of the prototype ALD processes.\textsuperscript{43} Most importantly, the thermal conductivity in ZnO is dominated by phonons (instead of charge carriers),\textsuperscript{44} which makes the introduction of organic interfaces a particularly effective approach for the thermal conductivity reduction in ZnO.\textsuperscript{35,43–46} Ultimately, by adding increasingly more interfaces, we would approach Zn–benzene films without dedicated ZnO layers; for such amorphous ALD/MLD-grown films, low thermal conductivity\textsuperscript{47} and high electrical conductivity\textsuperscript{48} have been reported. Along with the exceptional tunability in the thermal conductivity, the ALD/MLD-fabricated ZnO–benzene thin films are mechanically flexible and easy to apply as a conformal coating even on textile bers, which positions them as promising candidates for wearable energy-harvesting applications.\textsuperscript{49–51}

We have demonstrated the feasibility of the concept in our previous works, showing that the thermal conductivity of ZnO thin films can be reduced by a factor of 50 by inserting benzene layers within the ZnO matrix\textsuperscript{28,45,46} and revealing that the insertion pattern needs not necessarily be regular to achieve the maximal reduction.\textsuperscript{46} Similarly, significant reduction in thermal conductivity was also seen for ALD/MLD-grown TiO\textsubscript{2}–benzene SL thin films.\textsuperscript{28,52,53} Recent reports suggest that different organic interfaces in ZnO may have different effects on the grain orientation,\textsuperscript{54} so the present work limits itself to ZnO–benzene interfaces.

We systematically evaluate the effect of structural/dimensional factors such as interface density, thickness, and structure on the thermal conductivity by fabricating and characterizing a series of multilayered samples with different film thicknesses and benzene-insertion patterns. In particular, the fact that the present extensive sample series is fabricated and characterized in essentially the same way as our previous ZnO–benzene multilayer films allows for a reliable comparison between the new and previously published data, and the use of statistical data analysis techniques to address the importance of the different structural/dimensional factors in determining the thermal conductivity.

2. METHODS

2.1. Thin-Film Depositions. For thin-film growth, we used a hot-flow ALD reactor (Picosun R-100); this is the same setup also used in our previous paper.\textsuperscript{7} The precursors were diethylzinc (DEZ; >52 wt % Zn basis from Sigma-Aldrich) and deionized water for the ALD process, forming ZnO layers, and hydroquinone (HQ; ≥99.5% ReagentPlus from Sigma-Aldrich) as the MLD precursor for the benzene layers. The purging and transport gas was nitrogen produced from an in-house nitrogen generator (Parker HPNZ-5000C-L-230V). The water and DEZ precursors were in bottles that were attached to the reactor at room temperature, while HQ was placed in a small heated glass cylinder that was connected to the reactor. Because HQ is a powder and even at the low pressures within the reactor (ca. 10 hPa) does not have a notable partial pressure, it needs to be heated up to 150 °C and kept at that temperature during the deposition. We deposited films simultaneously on a Si substrate (3 × 3 cm\textsuperscript{2}, prepared from Okmetic p-type Si wafers cut parallel to the (100) plane), a sapphire substrate (1 × 1 cm\textsuperscript{2}, MTI, cut parallel to the (001) plane), and a borosilicate glass (3 × 9 mm\textsuperscript{2}, prepared from “borofloat” from Finnish special glass) substrate. The precursor pulse/purge times were 0.3/5 s for the DEZ pulse, 0.5/5 s for the water pulse, and 15/30 s for the HQ pulse. The exact cycle sequences for all samples can be found in Supporting Information Table S1.

2.2. Thickness and Crystallinity Measurements. The film thickness was measured on the Si substrates with X-ray reflectivity (XRR) (Panalytical X’Pert Pro, Cu Kα radiation generated with 40 kV and 45 mA). The thick films were cross-checked with spectral ellipsometry (J.A. Woollam M-200UI with an XLS-100 light source). This cross-checking is important for the films that are not regular superlattices because all nonsuperlattice films show very irregular and overlapping Kiessig fringes, making the thickness determination challenging with XRR alone. For the 200 nm films, the individual fringes are much smaller than for the 100 nm samples and were often unsuitable to determine the thickness in our XRR due to the limited resolution, but the SL peaks could be utilized to measure the thickness instead. The same diffractometer was also used for grazing incidence X-ray diffraction (GIXRD) measurements to confirm that the films are crystalline.

2.3. Thermal Conductivity Measurements. The cross-plane thermal conductivities were measured using the time-domain thermoreflectance (TDTR) technique (see refs 55–57 for further details on the method). In our TDTR setup, a Ti:sapphire pulsed laser with a central wavelength of ~800 nm is split into a 400 nm high-energy pump laser and an 800 nm low-energy probe laser. An electro-optical modulator (EOM) modulates the pump laser at 8.8 MHz to create periodic temperature oscillations at the sample surface, and the resultant reflectance change is detected by a photodetector and a lock-in amplifier. By monitoring the ratio of the in-phase and out-of-phase signals (\(V_{\text{in}}/V_{\text{out}}\)) from 0.1 to 5.5 ns, the thermal properties of the samples were measured. All samples consisted of three layers: an Al transducer (nominal thickness, 80 nm), the sample, and the sapphire substrate. The measurements were done using a 10× objective with coaxially focused pump and probe laser 1/\(e^2\) diameters of ~18 and 10 μm, respectively. For analyzing the thermal conductivity, we followed the same procedure described in our previous publications.\textsuperscript{28,46,58} The uncertainty associated with the results originate from the transducer and sample thicknesses, Al thermal conductivity, sample heat capacity, and the Al/sample interface conductance.

2.4. Multivariate Data Analysis. Statistical data analysis to address the correlations between the structural/dimensional
parameters (X-variables) and the thermal conductivity (Y-variable) was performed using the licensed multivariate analysis software Simca v.16 (Sartorius Stedim Biotech, Sweden, 2019). The partial least square ((PLS) projections to latent structure) regression method (included in the software) was selected for its ability to correlate multiple X-variables (in our case 8) to one or multiple Y-variables (in our case 1) in a manner analogous to a multidimensional trendline fitting. The original dataset consisting of 39 samples, each described by eight X-variables and one Y-variable, can be imagined as a nine-dimensional space where the samples are located based on the values of their X- and Y-variables. In the PLS regression analysis, the data are projected to new axes (in our case 2) that are linear combinations of the initial variables, and orthogonal to each other. To visualize the data, the samples are projected to the two-dimensional plane formed by these two axes. Most importantly, in this regression process, the original variables receive different coefficients according to their contribution to the model.

In the PLS regression, the new axes of the projection are formed following the principles that (a) each new axis is added to represent the strongest trend among the observations that is not yet described by the other axes, (b) all axes are orthogonal, and (c) the best possible goodness-of-fit parameters are obtained. Following these principles, the model’s axes are unambiguously defined in order of largest variance captured among the X-variables and strongest correlation with the Y-variables. The goodness-of-fit parameters describe the concordance and accuracy of the model: R2X and R2Y express how well the variance of the samples has been described, and Q2 expresses how well the model correlates the X-variables to the Y-variables (model linearity). These parameters thus set a limit to how many axes are useful to include in the model; in the present case, two axes were enough. A good model expresses goodness-of-fit parameters of at least 0.5, while values of 0.9 and higher signify excellent model quality. Finally, successful model creation requires that the dimensionality of the statistical system is significantly reduced, preferably by at least half and to no more than four axes.

### 3. RESULTS AND DISCUSSION

In our analysis, altogether 39 samples were considered; among these, 20 samples were specifically synthesized for the present study (sketched in Figure 1), while 19 samples were reported in our prior works. These ZnO—benzene structures differ from the commonly investigated epitaxial inorganic—inorganic SL thin films in some important key aspects: (i) the interface is between an inorganic crystal and an organic molecule, (ii) the inorganic ZnO layers are polycrystalline, (iii) the organic layers are mostly one benzene-molecule thick, and (iv) they do not necessarily provide complete separation for subsequent ZnO layers. Additionally, we fabricated so-called gradient-material (GM) samples with a gradient spacing between the interfaces instead of the regular distance in superlattices to identify coherent phonon transport effects.

The samples are systematically named according to the scheme presented in Figure 1. Our set includes regular SL thin films, GM thin films in which the spacing between the benzene layers is not constant, and also some special cases where, for example, superlattices are sandwiched (SW) between two thicker layers of ZnO. The overall thickness of most films is around 100 nm, but we also deposited thinner (50 nm) and thicker (200 nm) films along with 200 nm SW samples, which serve to compare and check the influence of interface density versus the total number of interfaces to the overall thermal conductivity of the films. Figure 1 shows the cross section of all samples fabricated for this study; a comprehensive list of all samples that includes thickness characterization results can be found in the Supporting Information (Table S2).

In Figure 2, XRR patterns are displayed for representative samples. The patterns show clear signals indicating good specular reflection from the surface and strongly suggesting smooth films with low surface roughness. In most superlattice samples, the SL peaks (indicated by small arrows in Figure 2) can be clearly seen, confirming that we indeed have sharp interfaces in regular distances. Contrary to our SL films that are easily identified by these SL peaks, the GM samples show no such regular pattern (see GM5(1)+50 200 in Figures 1 and 2). This confirms that their internal structure has no repetition and therefore deviates strongly from the superlattices. Clearly seen is also how the fringes become smaller with increasing film thickness (compare, e.g., the 50 nm samples with the 100 nm samples in Figure 2) and how the SL peaks still show up.

Figure 1. Cross-sectional internal structures of the newly deposited films (not to scale).
for the 200 nm thick samples even though the individual peaks become too small to be reliably distinguished from one another. The total film thickness of the films was obtained from these XRR patterns.

Figure 3 summarizes the thermal conductivities of both the new samples and those previously reported by us in the same material system. Pure ZnO films deposited with ALD have a thermal conductivity above 40 W m/K at 300 K. Figure 3a shows that, adding the benzene layers within the ZnO matrix leads to a significant suppression of the thermal conductivity. This means the benzene layers act as barriers for phonon transport. The thermal conductivity systematically decreases with the total number of benzene layers. However, a clear split of the data is seen according to the overall film thickness, indicating that the absolute number of inorganic–organic interfaces is not the parameter that controls the suppression of thermal conductivity. A thicker film has a lower interface density and longer stretches for phonons to travel without interface scattering as a thinner film with the same number of interfaces. Therefore, in Figure 3b, we plot thermal conductivity against interface density, i.e., number of interfaces per nm film thickness instead of the total number of interfaces. The fact that the full dataset in Figure 3b essentially forms a single curve that follows a monotonic decrease in thermal conductivity with increasing interface density is a strong indication that the interface density is the major factor controlling the reduction of thermal conductivity in our ZnO–benzene system. For the SL samples, this naturally translates into the conclusion that thermal conductivity is inversely dependent on the period length of the superlattices and incoherent phonon scattering dictates their thermal conductivity (compare, e.g., refs 7, 65). This trend is in line with many inorganic–inorganic SL systems, on which, e.g., Norris et al.2 give an excellent overview.

In Figure 3c, we explore the relation between the thermal conductivity and the average spacing between the benzene layers (= average ZnO-layer thickness); in this plot, we also mark the estimated mean free path of phonons of 13–14 nm calculated under the gray medium approximation (see the Supporting Information). Interestingly, around that average interface spacing of 13 nm, a slight flattening of the curve seems to occur, which could indicate that at lower interface densities, other effects such as intrinsic phonon–phonon scattering in the ZnO layers start to play a role. For these larger average interface spacings (>13 nm), the 200 nm thick samples have slightly but consistently lower thermal conductivity than their 100 nm counterparts. Furthermore, the average spacing rather than individual differences in benzene-layer spacing has a more pronounced effect on the thermal conductivity of these SLs. Samples such as GM10(1)+18 200 nm and SW SL12(1) 200 nm show the same thermal conductivity (within experimental uncertainties) as the superlattices SL12(1) 200 nm and SL10(1) 200 nm, even though individual spacing between benzene layers is much smaller in the GM- and SW-type samples (see Figure 1). This also suggests that the overall film thickness could affect the thermal conductivity for samples with low interface densities.

In Figure 3d, we plot the inverse thermal conductivity against the interface density and calculate the Kapitza resistance to be $1.6 \pm 0.3$ m$^2$ K/GW, given that each benzene layer has a thickness of only one single benzene molecule, each layer is counted as one interface. This value is in line with a value reported already in our previous work28 with fewer data points and slightly higher than the value obtained, e.g., for inorganic crystalline/amorphous ZnO/InGaZnO$_3$ thin films (1.35 m$^2$K/GW).27 However, this is still below the theoretically possible Kapitza resistances across hybrid interfaces.66

Part of the reason could be that the samples plotted in Figure 3 all have one thing in common, that is, the organic benzene layers were deposited with only one single MLD cycle. However, these monomolecular benzene layers may not completely separate the ZnO blocks, as they are likely to allow some Zn atoms to infiltrate within the organic layer.63 In Figure 4, we thus compare samples that have thicker benzene-layer blocks (more than one consecutive MLD cycle, labeled “thick”) with those with monomolecular benzene layers.
many variables can have an influence on the interfacial density. Achieved by using 1 MLD cycle/benzene layer increasing the cycles, the largest reduction in thermal conductivity can be benzene layers. In other words, for a limited number of MLD cycle/benzene layer (black symbols) with more but thinner thermal conductivity is achieved for the samples with 1 MLD overall number of MLD cycles to a certain number, lower the red and black symbols in Figure 4 shows that if we the multiple variables, de- and fined as

\( X \) -variables, the following eight entries were selected

\(-\text{variables.}^\text{62}

From the aforementioned discussion, it is apparent that many variables can have an influence on the thermal conductivity. To gain further understanding of the impacts of the different variables, we chose to employ a multivariate data analysis (MVDA) as a statistical tool to evaluate interdependencies between the variables and similarities between the samples. The selected PLS regression method reformulates our complex input dataset into a two-dimensional projection; this allows us to have an easy overview of the dataset, screen the dependencies between the variables and similarities between the samples located outside of the ellipse are not too far from it. Furthermore, we note that the majority of the samples fall quite evenly within the ellipse of 95% confidence. This confirms that the chosen sample set is well balanced and representative, and the chosen X-variables have managed to see no discernible separation between the different sample groups, aside from a slight preference of the thick samples to place on the lower half of the plot. This overall homogeneous distribution means that there is no fundamental difference between the regular SL and the irregular GM structures. Furthermore, we note that the majority of the samples fall quite evenly within the ellipse of 95% confidence, and the two samples located outside of the ellipse are not too far from it. This confirms that the chosen sample set is well balanced and representative, and the chosen X-variables have managed to capture the variance among the samples well. A closer look on the two samples located outside of the ellipse, namely, SL24(1) 100 and SW1(12) 100, reveals that those are the samples with the highest (SL24(1) 100) and lowest (SW1(12) 100) interface density, respectively, and SW1(12)

**Figure 4.** Thermal conductivity of thick samples with thicker benzene layers (in red) in comparison to ordinary samples with selected monomolecular-thick benzene layers (in black); the plot includes data from our previous publications.\(^\text{28,45,46}\)

(labeled “ordinary”). This comparison is made so that we plot thermal conductivity against the total number of MLD cycles applied during the sample deposition; in the samples marked in black, each benzene layer is one MLD cycle thin, while in the thick samples, marked in red, more consecutive MLD cycles were applied for the individual benzene-layer blocks. From here, we can conclude that for the samples with the fixed number of benzene layers, the thicker the benzene layer, the lower the thermal conductivity; this is seen by comparing the four 100 nm thick samples, SL12(1), SL12(3), SL12(5), and SL12(7), for which the number of benzene layers is 12 and the individual benzene-layer thickness increases from one to seven MLD cycles (compare also\(^\text{28}\)). Further comparison between the red and black symbols in Figure 4 shows that if we fix the overall number of MLD cycles to a certain number, lower thermal conductivity is achieved for the samples with 1 MLD cycle/benzene layer (black symbols) with more but thinner benzene layers. In other words, for a limited number of MLD cycles, the largest reduction in thermal conductivity can be achieved by using 1 MLD cycle/benzene layer increasing the interfacial density.

From the aforementioned discussion, it is apparent that the selected PLS regression method reformulates our complex input dataset into a two-dimensional projection; this allows us to have an easy overview of the dataset, screen the variables, and—most importantly—show connections between the multiple variables, defined as X- and Y-variables.\(^\text{62}\)

Previously, we and others have successfully used this technique for magnetic, superconducting, and photovoltaic perovskite samples to address structure—property relations and also to enhance the characterization; in these cases, the datasets were larger, though.\(^\text{75–77}\) Here, our sample set is relatively small (39 samples) and the results must be taken with the respective care. However, the fact that the samples are similarly synthesized and analyzed increases the reliability of the analysis. We set thermal conductivity as the Y-variable, and for the X-variables, the following eight entries were selected

(Figure 5): total film thickness, benzene/ZnO interface density, total number of benzene layers, benzene-layer thickness, average thickness of the ZnO layers, minimum ZnO-layer thickness, maximum ZnO-layer thickness, and the standard deviation of ZnO sublayer ALD cycles (as a quantifying measure for the variation range within the sample). The samples were divided into three classes based on the layer sequence type: samples with regular spacings (“SL”), samples with a gradient in the ZnO sublayers (“GM”), and samples with the organic layer thicker than one benzene layer (thick) to see whether there are any significant differences in behavior between samples of different layer pattern types.

Our PLS analysis converged on a two-axis representation of the original eight-variable system, making the sample presentation two-dimensional (Figure 6). In this plot, we can see no discernible separation between the different sample groups, aside from a slight preference of the thick samples to place on the lower half of the plot. This overall homogeneous distribution means that there is no fundamental difference between the regular SL and the irregular GM structures.
100 also has the highest number of benzene cycles in only one layer.

The coefficients obtained for each original variable upon the data projection are illustrated in Figure 7. A large positive value

diagonal very well. This illustrates the good predictive power of the model, also evidenced by the commendable goodness-of-fit parameters (R²Y = 0.842; Q² = 0.804). Only one deviating sample is singled out by a slightly negative predicted thermal conductivity value; a closer investigation points to the exceptionally high interface density of this SL24(1) 100 sample, setting it apart from the other samples.

4. CONCLUSIONS

We have used our ALD/MLD-fabricated ZnO–benzene thin films as a model system to investigate the contributions of different factors affecting thermal conductivity in multilayered thin films. The sample set investigated constituted of 39 thin-film samples with an overall thickness of 50–200 nm, and the thermal conductivity was determined using cross-plane TDTR measurements. A typical sample constituted of monomolecular benzene layers acting as phonon barrier in a polycrystalline ZnO matrix, but we also investigated the effect of increasing the thickness of these organic benzene layers. The remarkable advantage of the ALD/MLD technique is that it allows us to fabricate the ZnO–benzene films according to any predesigned benzene-layer dispersion pattern and thereby precisely control the individual ZnO-layer thicknesses. Both regular and irregular dispersion patterns were investigated.

The fact that the sample fabrication and characterization scheme was essentially identical thorough the sample set investigated guaranteed the reliability of the data. This together with the appreciably large number of samples investigated allowed us to utilize a statistical multivariate data analysis approach to evaluate the correlations among the data and investigate the factors controlling the thermal conductivity. Altogether, eight structural/dimensional parameters describing the multilayer structures of the films were included in the analysis. It was verified that the interface density is the dominating factor. At very low interface densities, the decrease in thermal conductivity with increasing interface density was less pronounced; moreover, in this range, the overall film thickness seemed to somewhat differentiate the samples. The stronger reduction of the thermal conductivity observed in thicker benzene layers was attributed to a better separation between the ZnO blocks.

We believe that the understanding gained in this work on the control of thermal conductivity in our ZnO–benzene...
system is transferable to other multilayer thin-film systems. Moreover, we hope that our work motivates the use of multivariate analysis techniques in investigating various structure–property correlations in materials science in general.

**ASSOCIATED CONTENT**

**Supporting Information**

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acs.jpcc.0c06461.

ALD/MLD cycle sequences used for sample deposition (Table S1); a brief estimation of the phonon mean free path in ZnO; all known numerical values for the thermal conductivities plotted in Figure 3 (Table S2); and information on the choice of TDTR frequency and resulting sensitivity toward the sample (PDF).

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**Notes**

The authors declare no competing financial interest.

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