Natural Inflation and Universal Hypermultiplet

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Abstract

A novel framework is proposed for embedding the natural inflation into the type IIA superstrings compactified on a Calabi-Yau three-fold. Inflaton is identified with axion of the universal hypermultiplet (UH). The other UH scalars (including dilaton) are stabilized by the CY fluxes whose impact can be described by gauging of the abelian isometry associated with the axion, when the NS5-brane instanton contributions are suppressed. Then the stabilizing scalar potential is controlled by the integrable three-dimensional Toda equation, and leads to spontaneous N=2 SUSY breaking. The inflationary scalar potential of the UH axion is dynamically generated at a lower scale in the natural inflation via the non-perturbative quantum field effects such as gaugino condensation. The natural inflation has two scales that allow any values of the CMB observables ($n_s$, $r$).
1 Introduction

The most economical, simple and viable inflationary models are the single-field quintessence field theories whose scalar potential is essentially controlled by a single parameter. Amongst the most popular models of that type are (i) the Starobinsky inflation [1, 2, 3, 4, 5], the Linde inflation [6], the Higgs inflation [7, 8, 9] and the natural inflation [10, 11, 12].

In the Starobinsky inflation, inflaton is scalaron (spin-0 part of metric). In the Higgs inflation, inflaton is identified with a Higgs particle. In the natural inflation, inflaton is an axion. In the Linde inflation, the physical nature of inflaton is unknown.

For instance, the Starobinsky inflation is based on the gravity action [1]

\[ S[g] = \int d^4x \sqrt{-g} \left[ -\frac{1}{2} R + \frac{1}{12M^2} R^2 \right] . \]  

in terms of 4D spacetime metric \( g_{\mu\nu}(x) \) with the scalar curvature \( R \), where we have used the natural units with the reduced Planck mass \( M_{Pl} = 1 \). Slow-roll inflation takes place in the high-curvature regime (with \( M_{Pl} >> H >> M \) and \( |\dot{H}| << H^2 \)), where the second term in Eq. (1) dominates. Then the Starobinsky inflationary solution (attractor!) takes the simple form

\[ H \approx \frac{M^2}{6} (t_{exit} - t) , \quad 0 < t \leq t_{exit} . \]  

The inflationary model (1) has only one mass parameter \( M \) that is fixed by the observational Cosmic Microwave Background (CMB) data as \( M = (3.0 \times 10^{-6}) \left( \frac{N_e}{N_e} \right) \) where \( N_e \) is the e-foldings number. The predictions of the Starobinsky model for the spectral indices \( n_s \approx 1 - 2/N_e \approx 0.964, \ r \approx 12/N_e^2 \approx 0.004 \) and low non-Gaussianity are in agreement with the WMAP and PLANCK data ( \( r < 0.13 \) and \( r < 0.11 \), respectively, at 95% CL) [13], but are in strong disagreement with the BICEP2 measurements ( \( r = 0.2 + 0.07, -0.05 \)) [14].

The action (1) can be dualized by the Legendre-Weyl transform [15, 5] to the standard (quintessence) action of the Einstein gravity coupled to a single physical scalar \( \phi \) having the scalar potential

\[ V(\phi) = \frac{3}{4}M^2 \left( 1 - e^{-\sqrt{2}\phi} \right)^2 . \]  

The coupling constant in front of the \( R^2 \)-action is dimensionless. It results in the rigid scaling invariance of the Starobinsky inflation in the high curvature \( R \) (or in the large field \( \phi \to +\infty \)) limit. This scaling invariance is not exact for finite values of \( R \), and its violation is exactly measured by the slow-roll parameters, in full correspondence to the observed (nearly conformal) spectrum of the CMB perturbations. Thus the flatness of the inflaton scalar potential implies an (approximate) shift symmetry of the inflaton field.

Similar observations apply to the Higgs inflation [7], with the non-minimal coupling of the Higgs field to the scalar curvature, which also lead to the approximate (rigid) scale invariance during slow roll and, in fact, the same scalar potential (3) for inflation — see e.g. Ref. [9].
Perhaps, the simplest inflationary model with a quadratic scalar potential, that was proposed by Linde \[6\], predicts \( r \approx 8/N_e = 0.16 \left( \frac{50}{N_e} \right) \) which is in good agreement with the BICEP2 data. A consistency of the natural inflation with the PLANCK and BICEP2 data was discussed in Ref. \[12\].

In our paper we leave aside the issue of apparent tension between the PLANCK and BICEP2 data, and concentrate on a UV completion of the inflationary models. Indeed, the current status of all those models is phenomenological, they do not rely on a fundamental theory of gravity, and it is unknown whether it is possible at all. For example, the Starobinsky model (1) has the finite UV-cutoff given by the Planck mass \[16\]. A quantized \((R + R^2)\) gravity is still non-renormalizable, while its inflationary solution can be easily destabilized by adding the higher-order curvature terms \(R^n\) with arbitrary coefficients to the action (1). The same remarks apply to the other inflationary models. It demands to complement the phenomenological (down-up) approach to inflation by the fundamental (up-down or \(ab\) initio) approach based on the superstring theory as a theory of quantum gravity, from the first principles. It would automatically provide the UV completion and control over quantum corrections in any viable string-derived inflationary model and, perhaps, provide an ultimate resolution between all inflationary models. In the past this type of research was always hindered by the absence of a nonperturbative formulation of string theory and a huge variety of possibilities for the choice of inflaton field.

There is, however, an exception given by the Universal Hypermultiplet (UH) in the Calabi-Yau (CY) compactified type IIA superstrings. The UH is known to be present in any CY compactification, and it has the gravitational origin. Moreover, the fully non-perturbative (exact) description of the UH is possible, in principle, in string theory, and is partially known (see, e.g. Ref. \[17\] for a recent review).

The closed type II strings give the UV completion of quantized gravity, while the ”closed string gravity” consist of the closed string zero modes including metric, dilaton and B-field, all being universally coupled to other fields. Their effective action (after integration of the string massive modes) gives rise to the (modified) Einstein gravity including the higher-order curvature terms. Those terms in the perturbative string effective action can be computed from either string amplitudes of the massless modes or their equations of motion given by the vanishing RG beta-functions of the Non-Linear Sigma-model (NLSM) describing string propagation in a background of the massless modes — see e.g. Refs. \[18, 19, 20\] for a systematic discussion and explicit results. However, the coefficients in front of all Ricci- and scalar- curvature dependent terms in the perturbative gravitational string effective action are ambiguous, because they are defined around the vacuum with the vanishing Ricci tensor. To resolve the ambiguity, one needs a non-perturbative setup for strings. It is usually unavailable, but there are some exceptions where the crucial role is played by extended local supersymmetry. Actually, the N=2 extended local supersymmetry in the critical dimension D=10 is required for consistency of closed (type II) strings, while their
CY compactification gives rise to N=2 local supersymmetry in 4D spacetime. The corresponding low-energy string effective action is given by a matter-coupled N=2 supergravity, while its moduli space $M$ is the direct product $M_V \otimes M_H$ of the moduli space $M_V$ of $h_{1,1}$ N=2 vector multiplets and the moduli space $M_H$ of $(1 + h_{1,2})$ hypermultiplets, in terms of the CY Hodge numbers $h_{1,1}$ and $h_{1,2}$ (the UH is represented by 1 in the $(1 + h_{1,2})$ here).

Our motivation for this paper is to find the inflationary model that can be embedded (and thus UV-completed) in string theory. We argue that the natural inflation can be embedded into the type IIA string theory compactified on a CY three-fold, with inflaton-axion belonging to the universal hypermultiplet. The validity of this proposal requires that the other (three) UH scalars, including dilaton, have to be stabilized.

First, let us recall that inflaton can be interpreted as the pseudo-Nambu-Goldstone boson (pNGb) $B$ associated with spontaneous breaking of the rigid scale invariance — see e.g. Refs. [9, 21]. It equally applies to the natural inflation too [10]. When $f$ is a scale of spontaneous breaking of the scale invariance, and $\Lambda$ is a scale of inflation, a typical pNGb scalar potential takes the form [10, 11]

$$V(B) = \Lambda^4 \left[ 1 - \cos \left( \frac{B}{f} \right) \right].$$  \hspace{1cm} (4)

In string theory, $f$ is of the order of the $M_{Pl}$, whereas $\Lambda$ originates in particle physics dynamically, via gaugino condensation [22]. Our main proposal is to identify the axion $B$ of the natural inflation with the B-field of the UH in 4D.

Our paper is organized as follows. In Sec. 2 we briefly review the classical UH in the CY-compactified 4D, N=2 closed string theory. In the Sec. 3 we focus on the non-perturbative UH moduli space with the string loop and the D-instanton contributions included. In Sec. 4 we consider the UH scalar potential generated by the CY fluxes via the gauging procedure, and emphasize the crucial role of the 3D Toda integrable system that controls the stabilizing scalar potential of the UH scalars different from the B-axion (inflaton). It provides the simple ground for embedding the natural inflation into string theory.

## 2 Classical UH moduli space

The hypermultiplet moduli space $M_H$ of the CY-compactified 4D, type-IIA closed strings is known to be independent upon the CY complex structure but can receive non-trivial quantum corrections. The perturbative corrections are only possible at the 1-loop string level, being proportional to the CY Euler number [23, 24]. The non-perturbative (instanton) corrections are due to the Euclidean D2-branes wrapped about the CY special (supersymmetric) 3-cycles and due to the solitonic (NS-type) Euclidean 5-branes wrapped about the entire CY space. The 4D instantons due to the wrapped D2-branes are called D-instantons in the literature [25, 26].
By definition, a supersymmetric CY-cycle saturates the BPS bound, \( J|_{C_3} = \text{Im}\Omega|_{C_3} = 0 \), where \( J \) is the CY Kähler form and \( \Omega \) is the CY holomorphic 3-form, so that \( C_3 \) preserves half of the supersymmetry. In the mathematical literature [27] the supersymmetric cycles are known as the special Lagrange sub-manifolds of the minimal volume in the given homology class, \( \text{Vol}(C_3) = \left| \int_{C_3} \Omega \right| e^{-K} = \text{minimal} \) with the CY Kähler potential \( K \).

The semi-classical analysis of the wrapped D2-branes and 5-branes was given in Ref. [28], where it was found that the D-instanton corrections are of the form \( \exp(-1/g_{\text{string}}) \), whereas the wrapped 5-brane corrections have the form \( \exp(-1/g_{\text{string}}^2) \), in terms of the string coupling constant \( g_{\text{string}} \). Therefore, when \( g_{\text{string}} \) is small, \( g_{\text{string}} \ll 1 \), the NS5-brane instanton corrections can be ignored.

For our purposes it is important to emphasize that all those corrections have the (super)gravitational origin and respect local 4D, \( N=2 \) supersymmetry. It means that the metric of the UH moduli space is quaternionic-Kähler [29]. In other words, the kinetic terms of the UH in the type-IIA CY-compactified string theory are described by the NLSM having four real scalars and a quaternionic-Kähler NLSM metric.

The classical UH metric can be derived by compactifying the standard 10D type-IIA supergravity action down to 4D, with the CY-Ansatz [30]

\[
ds^2_{10} = e^{-\phi/2} ds^2_{CY} + e^{3\phi/2} g_{\mu\nu} dx^\mu dx^\nu \tag{5}\]

in terms of 4D dilaton field \( \phi(x) \). In the classical approximation one can replace a CY by (flat) torus with \( g_{ij} = \delta_{ij} \) and \( \Omega_{ijk} = \epsilon_{ijk} \) and keep only \( SU(3) \) singlets \( i, j, k = 1, 2, 3 \): dilaton \( \phi \), axion \( B \) arising from dualizing the 3-form field strength of the \( B \)-field in 4D, and a complex RR (Ramond-Ramond) scalar \( C \) arising from the type IIA 3-form \( A_3 \propto C(x)\Omega \) in 10D. Then the 4D kinetic terms are given by [30]

\[
(\sqrt{-g})^{-1} L_{\text{cl.}} = -\frac{1}{2} R - \frac{1}{2} (\partial_\mu \phi)^2 - \frac{1}{2} e^{2\phi} |\partial_\mu C|^2 - \frac{1}{2} e^{4\phi} \left( \partial_\mu B + \frac{i}{2} C \epsilon^\mu_{\nu\rho} \partial_\nu C \right)^2 \tag{6}\]

After the change of variables as \( S = e^{-2\phi} + 2iB + \bar{C}C \) the NLSM metric in Eq. (6) reads

\[
ds^2_{\text{cl. UH}} = e^{-2K} \left( dSd\bar{S} - 2Cd\bar{S}d\bar{C} - 2\bar{C}d\bar{S}dC + 2(S + \bar{S})dCd\bar{C} \right) \tag{7}\]

with the Kähler potential

\[
K = -\ln(S + \bar{S} - 2\bar{C}C) \tag{8}\]

The further change of variables as

\[
z_1 = \frac{1 - S}{1 + S} \quad \text{and} \quad z_2 = \frac{2C}{1 + S} \tag{9}\]

yields the most symmetric form of the metric as

\[
ds^2_{\text{cl. UH}} = \frac{dz_1 d\bar{z}_1 + dz_2 d\bar{z}_2}{1 - |z_1|^2 - |z_2|^2} + \frac{(\bar{z}_1 dz_1 + \bar{z}_2 dz_2)(z_1 d\bar{z}_1 + z_2 d\bar{z}_2)}{(1 - |z_1|^2 - |z_2|^2)^2} \tag{10}\]
that is known in the mathematical literature as the standard (Bergmann) metric in the
open ball $B^4$: $|z_1|^2 + |z_1|^2 < 1$ in $\mathbb{C}^2$, with the (Fubuni-Study) Kähler potential

$$K = - \ln(1 - |z_1|^2 - |z_1|^2).$$  \hfill (11)

The non-compact homogeneous space $B^4$ with the Bergmann metric is just the symmetric
quaternionic coset space

$$\mathcal{M}_{\text{classical UH}} = \frac{SU(2,1)}{SU(2) \times U(1)}. \hfill (12)$$

In the string theory parametrization (7) the UH physical scalars are dilaton $\phi$, axion $B$, and complex RR-field $C$. The classical UH moduli space has three shift-like or PQ (Peccei-Quinn) symmetries [30, 25, 26]:

$$B \rightarrow B + \alpha, \quad C \rightarrow C + \gamma - i\beta, \quad S \rightarrow S + 2(\gamma + i\beta)C + \gamma^2 + \beta^2,$$

(13)

with three real parameters $(\alpha, \beta, \gamma)$, which generate a Heisenberg algebra. Those symmetries do not allow any non-trivial scalar potential for the axion $B$ and the RR field $C$, and, hence (by supersymmetry), for dilaton $\phi$ also. Since (some) of those symmetries survive in the string (loop) perturbation theory, the scalar potential for the UH in string theory can be generated only non-perturbatively (Sec. 4).

## 3 Quantum UH moduli space

In quantum 4D, N=2 closed string theory the non-perturbative UH moduli space is different
from the classical UH space (5), as regards both its topology and its metric, because of the
non-perturbative d.o.f. in 4D due to the wrapped branes, and because some UH scalars
get the non-vanishing VEVs in quantum theory that break the classical symmetries. In
addition, the CY flux quantization implies quantized brane charges that can be identified
with the Noether charges of the PQ symmetries (13). It is expected that the string duality
symmetry described by the discrete group $SL(2,\mathbb{Z})$ always survives (though may be hidden
on the type IIA side).

Still, some of the classical continuous symmetries (13) may survive in particular regions
of the UH moduli space. For instance, as regards the D-instantons, the abelian isometry
associated with shifts of the axion field survives, whereas even in a generic situation (when
both D-instanton and 5-brane contributions included) a single isometry may also survive,
as was demonstrated by an explicit example in Ref. [24]. The residual isometry exist when
one of the D-instanton or NS5-brane instanton charges (or a linear combination of them)
vanishes. According to the Yano theorem [31], the presence of any continuous isometry
implies that the UH moduli space is non-compact and, hence, may be incomplete. The
non-vanishing instanton charges break the symmetries (13) too. Those are the reasons
against isometries in a generic region of the quantum UH moduli space.
The cosmological inflation can be associated with a special region of the quantum UH moduli space. We identify that region by demanding the smallness of the string coupling, where the NS5-brane instantons are suppressed (Sec. 2) and the axion isometry is preserved. Unlike the D-instantons, the NS5-brane instantons have no analogues in quantum gauge theories.

The quantum gravity corrections are encoded in the quaternionic-Kähler structure of the quantum UH moduli space. When assuming survival of a single isometry, the appropriate framework is given by a reformulation of the UH quaternionic-Kähler geometry as the \textit{Einstein-Weyl} geometry with a \textit{negative} scalar curvature, defined by the conditions \[ W^{-abcd} = 0 \ , \quad R_{ab} = \frac{3}{2} \Lambda g_{ab} \ , \quad \Lambda = \text{const.} < 0 \ , \tag{14} \]
where the $W^{-abcd}$ is the anti-self-dual part of the Weyl tensor, and the $R_{ab}$ is the Ricci tensor of the UH moduli space metric $g_{ab}$, with $a, b = 1, 2, 3, 4$. Given the abelian isometry of the UH metric described by a Killing vector $K$ obeying the equations \[ K^{a;b} + K^{b;a} = 0 \ , \quad K^2 = g_{ab} K^a K^b \geq 0 \ , \tag{15} \]
one can choose some adapted coordinates, in which all the metric components are independent upon one coordinate ($t$). Then the Przanowski-Tod theorem [32, 33] states that any such metric with the Killing vector $\partial_t$ can be brought into the form \[ ds_{\text{Tod}}^2 = \frac{1}{\rho^2} \left\{ \frac{1}{P} (dt + \hat{\Theta})^2 + P [e^u (d\mu^2 + d\nu^2) + d\rho^2] \right\} \tag{16} \]
in terms of the two potentials, $P$ and $u$, and the 1-form $\hat{\Theta}$, in local coordinates $(t, \rho, \mu, \nu)$.

It follows from Eq. (14) that the potential $P(\rho, \mu, \nu)$ is fixed by the second potential $u$ as [33] \[ P = \frac{1}{|\Lambda|} \left( 1 - \frac{1}{2} \partial_{\rho} u \right) , \tag{17} \]
whereas the potential $u(\rho, \mu, \nu)$ obeys the 3D \textit{non-linear} equation \[ -(\partial_{\mu}^2 + \partial_{\nu}^2) u + \partial_{\rho}^2 e^{-u} = 0 \tag{18} \]
that is known as the (integrable) $SU(\infty)$ or 3D continuous \textit{Toda system}. Finally, the 1-form $\hat{\Theta}$ satisfies the \textit{linear} differential equation [33] \[ -d \wedge \hat{\Theta} = (\partial_{\mu} P) d\mu \wedge d\rho + (\partial_{\rho} P) d\rho \wedge d\nu + \partial_{\mu} (P e^{-u}) d\nu \wedge d\mu \ , \tag{19} \]
whose integrability condition is just given by Eq. (18). The classical UH metric (Sec. 2) in the parameterization (16) is obtained by taking \[ P = \frac{3}{2 |\Lambda|} = \text{const.} > 0 \ , \quad e^{-u} = \rho \ , \quad \text{and} \quad d \wedge \hat{\Theta} = d\nu \wedge d\mu \ . \tag{20} \]
so that \( u = 2 \phi \). The string coupling is given by the dilaton VEV as \( g_{\text{string}} = \langle e^{\phi} \rangle \). The classical region of the UH moduli space corresponds to the vanishing \( g_{\text{string}} \). The classical singularity appears at \( \rho \to 0 \).

The quantum UH moduli space was investigated in Refs. [35, 36, 37, 38, 39, 40, 41]. In particular, as was found in Refs. [37, 40], a partial summation of the D-instanton contributions is possible when there is the extended \( U(1) \times U(1) \) isometry. In this case the UH metric is governed by the Calderbank-Petersen potential \( F(\rho, \eta) \) obeying the linear 2nd-order differential equation [43]

\[
\rho^2 \left( \partial^2_{\rho} + \partial^2_{\eta} \right) F = \frac{3}{4} F.
\]  

(21)

Its unique \( SL(2, \mathbb{Z}) \) modular invariant solution is given by the Eisenstein series \( E_{3/2} \). The asymptotical expansion of the Eisenstein series reveals a sum of the classical contribution proportional to \( \rho^{-1/2} \), the perturbative string 1-loop contribution proportional to \( \zeta(3) \rho^{3/2} \), and the infinite sum of the D-instanton terms indeed [37]. However, it seems to be problematic to have two isometries for the UH, as well as for inflation.

The solutions to the Toda equation (18) describing the D-instanton contributions to the UH metric with an abelian isometry were found in Ref. [40]. The five-brane instanton corrections were studied in the past in Ref. [41] and more recently in Ref [42].

The quantum UH moduli space metric does not have a Kähler potential. However, the Weyl rescaling of the UH metric as \( g_{ab} \to \rho^2 g_{ab} \) relates it to a Kähler metric with the vanishing scalar curvature [44].

We expect that in the very strong string coupling (Landau-Ginzburg) region an approximate (rigid) \( N=2 \) superconformal symmetry appears.

## 4 CY fluxes and gauging the UH axion isometry

In the previous two Sections no scalar potential was generated for the UH scalars. As is well known in string theory, the moduli stabilization can be achieved via adding non-trivial fluxes of the NS-NS and RR three-forms in CY [45], while it amounts to gauging isometries of the UH moduli space in the effective 4D, \( N=2 \) supergravity [46]. As the abelian gauge field one can employ either gravi-photon of \( N=2 \) supergravity multiplet or a vector field of an \( N=2 \) matter (abelian) vector multiplet. As a result, the UH gets a non-trivial scalar potential whose critical points determine the vacua of the theory [46]. The gauging of all abelian isometries of the classical UH moduli space metric was investigated in Ref. [47], where it was found that it always leads to the run-away scalar potentials.

The scalar potential arising from the gauging procedure takes the form [49]

\[
V = \frac{9}{2} g^{ab} \partial_a W \partial_b W - 6W^2
\]  

(22)
in terms of the UH metric $g_{ab}$ and the superpotential $W$ defined by [49]

$$ W^2 = \frac{1}{3}dK \wedge *dK - \frac{1}{6}dK \wedge dK , \hspace{0.5cm} (23) $$

where we have introduced the Killing 1-form $K = k_a dq^a$ of the gauged isometry and the Hodge star ($*$) in any local coordinates ($q$) on the UH moduli space. Unlike the N=1 local supersymmetry, the superpotential $W$ is not arbitrary but is fixed by the UH metric and the Killing vector.

In the parametrization of Eq. (16) we have the Killing vector $K^a = (1, 0, 0, 0)$ that yields the Killing 1-form

$$ K = \frac{1}{w^2 P} (dt + \Theta) , \hspace{0.5cm} (24) $$

whose square is given by

$$ K^2 = g_{ab} K^a K^b = g_{tt} = \frac{1}{\rho^2 P} . \hspace{0.5cm} (25) $$

It is straightforward to compute the superpotential squared. We find (cf. Ref. [48])

$$ W^2 = \frac{\Lambda^2}{\rho^2} + \frac{1}{12P} \left( 3 + 2|\Lambda|P + \frac{3}{2P}\rho \partial_\rho P \right)^2 + \frac{3\rho^2 e^{-u}}{4P^2} \left[ (\partial_\mu P)^2 + (\partial_\nu P)^2 \right] \hspace{0.5cm} (26) $$

The first term in the scalar potential (22) is always positive, whereas the second term is always negative, which is similar to the scalar potential in a generic matter-coupled N=1 supergravity [50]. The Minkowski vacua are determined by the fixed points of the scalar potential, related to the poles of the function $P\rho^2$ because of Eq. (25). The existence of meta-stable de Sitter vacua was explicitly demonstrated in Refs. [39, 40] in the presence of the D-instanton contributions.

The UH scalar potential (22) in the parametrization (24) is non-negative provided that $P < \frac{4}{3}$. Getting an explicit example requires a non-separable solution to the 3D Toda equation (18) that is difficult to get analytically (our numerical analysis will be given elsewhere). In the explicit examples of Ref. [48] some simplifying assumptions were made, as e.g. taking the hyper-Kähler limit or considering only D-instantons. It is just the last assumption that is enough for our purposes here, because it includes the quantum gravity corrections and guarantees survival of the axion isometry represented by the $t$-independence of the UH metric and the scalar potential above.

5 Conclusion

We proposed the inflationary scenario in the 4D quantum gravity given by the type IIA closed strings compactified on a Calabi-Yau three-fold. Inflaton was identified with the axion of the Universal Hypermultiplet.

The other (non-inflaton) scalars of the Universal Hypermultiplet (including dilaton) were stabilized by the CY fluxes whose impact was calculated via the gauging procedure of
the UH moduli space axion isometry. The latter survives when the NS5-brane instantons are suppressed, i.e. at a small string coupling $g_{\text{string}} \ll 1$. A stabilization of dilaton was one of the problems in the past, towards physical applications of the UH.

After the stabilization by CY fluxes/gauging, the N=2 local supersymmetry in 4D is spontaneously broken, while axion is still massless and has no scalar potential. However, at a lower scale the axion can get a scalar potential due to some non-perturbative quantum field theory phenomena such as gaugino condensation. The slow-roll natural inflation can, therefore, take place with the scalar potential (4) whose structure is essentially dictated by the pNGB nature of the axion.

It is worth noticing here that the scalar potential (4) of the natural inflation yields the scalar index $n_s$ and the tensor-to-scalar ratio $r$ of the CMB anisotropy as [10, 11]

$$n_s \approx 1 - \frac{M^2_{\text{Pl}}}{8\pi f^2} \quad \text{and} \quad \Lambda \approx 2.2 \cdot 10^{16} \text{GeV} \left(\frac{r}{0.002}\right)^{1/4}. \quad (27)$$

Therefore, the CMB observables $(n_s, r)$ are directly related to the scales $(f, \Lambda)$ of the natural inflation, respectively.

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