1. Introduction

Nowadays, lithium-ion batteries (LIBs) have been broadly used in various electrical equipments such as mobile devices, personal computers, electric meters, vehicles etc. It has been reported that the quantity of LIBs reached 7.8 billion in 2016 all over the world, which has grown by 40% as compared to 5.6 billion in 2015. As we know, the use of large quantities of LIBs is bound to cause a large amount of discarded batteries. A potential risk to environment and human health may develop due to a large number of potential resources containing heavy metals and poisonous organics from spent LIBs. Therefore, it is very crucial to find an advanced method for recycling LIBs.

Currently, several methods have been proposed to treat spent LIBs to recycle their valuable metals such as lithium, cobalt, nickel and manganese. The metal recovery of spent LIBs mainly includes pyro-metallurgical and hydro-metallurgical processes. The pyro-metallurgical process has several disadvantages, such as exhaust emissions, dust emissions, metal loss, and high energy consumption, which present a threat to our ecosystem and human health. Conversely, hydrometallurgical process usually produces non-poisonous gases and residues, and it usually transforms toxic substances into purer forms such as salts, hydroxides and metals. Moreover, the hydro-metallurgical process has a bright prospect of development because of the low cost, variety of leaching liquids and increasing efficiency of leaching.

According to the literature, the leaching liquids in hydrometallurgical processes can be classified as mineral acids, alkalis and organic acids. It has been reported that valuable metals such as cobalt and lithium can be leached out from spent LIBs using mineral acids such as H2SO4, H3PO4, and HCl and various organic acids such as succinic acid, ascorbic acid, and citric acid in the presence of reducing agents such as hydrogen peroxide (H2O2) and ascorbic acid. It is clear from the above discussion that organic acids, which are specified chemical control agents, are very important to selectively leach cobalt and lithium from spent LIBs.

Recoveries of cobalt and lithium metals from spent lithium-ion batteries are very important for prevention of environmental pollution and alleviation of resource shortage. In this study, hydrometallurgical route for the recovery of lithium fluoride was proposed. Lithium and cobalt could be first selectively leached into solution using formic acid and hydrogen peroxide. By investigating the effects of leaching temperature, time, stoichiometric ratio, H2O2 concentration and solid-to-liquid ratio, the leaching efficiency of Li and Co could reach 99.90% and 99.96%, respectively. Meanwhile, the evaluation of leaching kinetics and calculation of apparent activation energies revealed that the leaching process fitted chemical control satisfactorily. After further fractional precipitation, a high purity of 99.0% lithium fluoride could be finally obtained, thus achieving the effective recovery of spent material from the lithium-ion battery.

Lithium fluoride recovery from cathode material of spent lithium-ion battery

Ying Zheng,†a Wei Song,†b Wen-ting Mo,a Lai Zhoub and Jian-Wen Liub*†b

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may effectively alleviate environment pollution during leaching, have similar performance as mineral acids.

Although many relevant literatures have been reported for leaching metals under various conditions, the kinetics of the leaching process are less studied, and the mechanism of the leaching process is unclear. Meshram et al. reported that the leaching kinetics of lithium and cobalt follow a logarithmic rate law and chemical control mechanism. Golmohammadzadeh et al. studied the kinetics of cobalt using different organic acids (acetic acid, oxalic acid, malic acid and citric acid), and the results showed that the kinetics of cobalt leaching is governed by surface layer diffusion of the leachant. Hence, in our study, formic acid (HCOOH) was selected as the leaching liquid to treat spent LIBs for its low cost (when compared with ascorbic acid, citric acid) and non-polluting and efficient leaching properties. The effects of reaction time, temperature, solid–liquid ratio, H₂O₂ concentration and LiCoO₂/HCOOH ratio were studied to optimize the conditions of leaching. The kinetics of HCOOH leaching of valuable metals from spent LIBs with a reducing agent were particularly stressed, while establishing the model of leaching kinetics by characterizing waste metals and leaching residues using the XRD and SEM/EDX characterization. Meanwhile, lithium fluoride, as a commonly used industrial lithium salt, is an important raw material for the synthesis of other lithium resources, but is very expensive among the lithium salts. In this study, lithium fluoride was finally obtained by the fractional precipitation of the liquor after leaching, and the physicochemical properties of the recycled products were characterized.

2. Experimental

2.1 Materials and reagents

LIBs used in mobiles phones from different manufacturers were collected from Hubei University. HCOOH as a leaching reagent and H₂O₂ as a reducing reagent were purchased from the Tai-cang Lushi Reagent Co., Ltd of China. In the pretreatment step, N-methyl-2-pyrrolidone (NMP) was used to separate the cathode material from the aluminum foil. All the solutions were prepared in distilled water, and all the chemical reagents were of analytical grade.

2.2 Selective leaching of spent cathode material

The whole pretreatment and leaching process of spent cathode material with HCOOH is shown in Fig. 1. First, all spent LIBs were discharged before being dismantled to avoid short-circuit and self-ignition, and the cathode material was scraped from the Al foil and ground to less than 0.1 mm diameter. Then, the powder was placed in a muffle oven at 700 °C for 2 h, aiming to remove the carbon and binder polyvinylidene fluoride (PVDF) in the cathode material. Finally, the leaching experiments were performed in a 500 mL three-necked round-bottom flask installed with a reflux condenser to prevent loss by evaporation. A heating bath with a magnetic stirrer was used to ensure optimized kinetics conditions and temperature control. After reacting for a preset period, the mixture was filtered immediately. The effects of several factors including the temperature, solid-to-liquid ratio, and reductant concentration were investigated.

2.3 Fractional precipitation of Li and Co

After leaching, the leachate and sodium hydroxide solution (2 M) were simultaneously pumped into the reactor with a speed of 2 mL min⁻¹ under the protection of nitrogen at 60 °C with a stirring speed of 1000 rpm. The pH was first adjusted and maintained at 11 for 24 h to obtain the cobalt hydroxide precipitate (precipitate I). Then, the raffinate was further adjusted to a neutral pH with formic acid, and a stoichiometric amount of saturated NaF was subsequently added to the raffinate at 60 °C with a speed of 1 mL min⁻¹. The precipitated LiF (precipitate II) was washed with distilled water and dried in a vacuum oven for 12 h.

2.4 Material characterization

To determine the lithium and cobalt concentration after grinding, calcination and leaching, the spent material was dissolved using nitric acid and tested using an Atomic

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**Table 1** A brief summary of different leaching systems in the last five years

| Types          | Reagents                  | Materials                          | Conditions                                                                 | Efficiency          |
|----------------|---------------------------|------------------------------------|----------------------------------------------------------------------------|---------------------|
| Mineral acid   | H₂SO₄ & H₂O₂              | LiNi₁/₃Co₁/₃Mn₁/₃O₂                | 2 M H₂SO₄, 2 vol% H₂O₂, S/L = 50 g L⁻¹, 80 °C, 60 min                      | >90% Li, 98.2% Co, 98.7% Ni, 97.1% Mn, 98.8% Li, 99% Co |
|                | H₃PO₄ & H₂O₂              | LiCoO₂                             | 2 vol% H₃PO₄, 2 vol% H₂O₂, S/L = 8 g L⁻¹, 90 °C, 60 min                    | 98.5% Li, 94.8% Co, 95.3% Li, 80.7% Co, 89.8% Ni, 4.3% Mn |
|                | HCl                       | LiCoO₂                             | 3 M HCl, S/L = 20 g L⁻¹, 80 °C, 90 min                                    | 99.4% Li            |
| Alkali         | Ammonia                   | LiNi₁/₃Co₁/₃Mn₁/₃O₂                | 4 M NH₄, 1.5 M (NH₄)₂SO₄, 0.5 M Na₂SO₃, S/L = 10 g L⁻¹, 80 °C, 300 min | >90% Li, >90% Co    |
| Organic acid   | Succinic acid & H₂O₂      | LiCoO₂                             | 1.5 mol L⁻¹, 4 vol% H₂O₂, S/L = 15 g L⁻¹, 70 °C, 40 min                   | 96% Li, 100% Co     |
|                | Ascorbic acid             | LiCoO₂                             | 1.25 mol L⁻¹, S/L = 25 g L⁻¹, 70 °C, 20 min                               | 98.5% Li, 94.8% Co  |
|                | Citric acid & H₂O₂        | LiCoO₂                             | 2.0 mol L⁻¹, 3 vol% H₂O₂, S/L = 20 g L⁻¹, 80 °C, 90 min                   | >90% Li, >90% Co    |

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Absorption Spectrophotometer (AAS, AAnalyst 400, PerkinElmer). The phase components and morphology of the spent cathode active material were characterized using X-ray diffraction (XRD, D8 ADVANCE, BRUKER) with Cu Kα radiation (1.54 Å) at a voltage of 40 kV, 300 mA and scanning electron microscopy (SEM, S4700, TOSHIBA), respectively, before and after leaching. The thermo-stability of the spent cathode material was tested using a thermal gravimetric analyzer (TGA, TGA2, METTLER TOLEDO) before leaching.

3. Results and discussion

3.1 Mechanism of leaching

HCOOH is a medium strong acid among organic acids, and its ionization equation in 25 °C water can be explained as:

\[
\text{HCOOH} = \text{H}^+ + \text{COOH}^- \tag{1}
\]

Its \(K_a\) is \(1.8 \times 10^{-4}\), which indicates that it can provide enough H\(^+\) ions for the leaching reaction. Moreover the carbon atom of HCOOH molecule with the assistance of H\(_2\)O\(_2\) can act as a reductant in aqueous solution.\(^{19,20}\) First, with the help of H\(_2\)O\(_2\), the cobalt in the cathode materials is reduced from high valence Co (LiCoO\(_2\), Co\(_3\)O\(_4\) and trace Co\(_2\)O\(_3\)) to Co\(^{2+}\), which makes it more stable and dissolvable in HCOOH aqueous solution.\(^{21,22}\) Then, Li\(^+\) and Co\(^{2+}\) react with COOH\(^-\) during the whole leaching process. After calcination, the product of CoO may be generated in the powder, whereas CoO can be directly leached out. Fig. 2 shows the main leaching reaction of spent materials and HCOOH and theoretically, LiCOOH and Co(COOH)\(_2\) are the two main leaching products as shown in the following equation:

\[
\begin{align*}
6\text{HCOOH} + 2\text{LiCoO}_2 + \text{H}_2\text{O} &= 2\text{Co(COOH)}_2 + 2\text{LiCOOH} + 4\text{H}_2\text{O} + 2\text{O}_2 \tag{2} \\
4\text{HCOOH} + 2\text{CoO} &= 2\text{Co(COOH)}_2 + 2\text{H}_2\text{O} \tag{3}
\end{align*}
\]

3.2 Characterization of LiCoO\(_2\) in spent LIBs

The spent LiCoO\(_2\) powder was heated from 30 °C to 1000 °C using TGA in an air atmosphere at a heating rate of 10 °C min\(^{-1}\). In Fig. 3a, the TGA results indicate that a weight loss of 1.02 wt\% was found from 30 °C to 283 °C due to the loss of bound water. From 283 °C to 700 °C, there was a weight loss of 5.96 wt\%, possibly resulting from the burning of the powdered carbon and the decomposition of PVDF. When the temperature was between 820 °C and 1000 °C in the TG curve, a weight loss of 2.05 wt\% illustrated the phase change of LiCoO\(_2\) and the decomposition of Co\(_3\)O\(_4\) to CoO.

The spent cathodic materials after calcination and the black residues after HCOOH leaching were analyzed. From the XRD patterns in Fig. 3b, it is clear that the residues had a small amount of LiCoO\(_2\) and insoluble Co\(_3\)O\(_4\) as compared to the spent cathodic materials. This could be due to large amounts of LiCoO\(_2\) of the spent cathodic materials reacting with HCOOH and Co\(_3\)O\(_4\) being partially leached by HCOOH. The carbon peaks were not detected as can be concluded from Fig. 3b, indicating that a large amount of carbon was burnt off in the calcination process.

The SEM images of the spent cathode active materials after calcination and the residues after HCOOH leaching are shown in Fig. 4, which presents the spent cathode materials, mostly
LiCoO$_2$, whose particle diameters are in the range of 5–11 μm. Moreover, the LiCoO$_2$ particles are irregular and agglomerated because of the repeated usage of LIBs. The leaching residues show a small particle size of about 3–6 μm in Fig. 4b. The smaller particles are caused because the larger agglomerate particles are broken up in the HCOOH leaching stirring reaction. From EDS spectroscopy, it is determined that most of the Co and O elements can be found in the leaching residues from which we inferred that the residues had some amount of Co$_3$O$_4$ and traces of CoO. A small amount of F and C elements in the EDS image indicates that plenty of carbon and binder (PVDF) was burnt off during the calcination process.

3.3 Leaching of LiCoO$_2$ with HCOOH

The LiCoO$_2$/HCOOH ratio plays a vital role in improving the leaching efficiency of cobalt and lithium from spent cathode materials. The LiCoO$_2$/HCOOH ratio was varied from 1 : 3 to 1 : 10 at a reaction temperature of 60 °C, reaction time of 20 min, solid-to-liquid (S/L) ratio of 20 g L$^{-1}$, and H$_2$O$_2$ concentration of 6 vol%. Fig. 5a shows that when the dosage of HCOOH was increased, the leaching efficiency of cobalt and lithium, especially the cobalt, improved. Initially the leaching efficiency was about 65% for cobalt and 88% for lithium with a LiCoO$_2$/HCOOH ratio at 1 : 3 and then, it increased to 81% for cobalt and 94% for lithium at 1 : 10 mole ratio. Although the highest leaching efficiency of 81% for cobalt and 94% for lithium was obtained at 1 : 10 mole ratio, increasing HCOOH had little effect on the improvement of the leaching efficiency. These phenomena can be interpreted as follows: when HCOOH was increased, sufficient ionized H$^+$ species were generated to react with LiCoO$_2$ powder in the solid/liquid interphase, and when the interfacial H$^+$ concentration was reduced, the additional ionized H$^+$ species could diffuse outward.$^{23–25}$ Fig. 2 shows that the theoretical LiCoO$_2$/HCOOH reactive ratio was 1 : 3. However, the virtual optimal LiCoO$_2$/HCOOH reactive ratio was 1 : 10, resulting from incomplete ionization and gasification loss of HCOOH.

To investigate the effect of S/L, the experiment was performed at S/L ratios from 10 to 30 g L$^{-1}$ with the following conditions: LiCoO$_2$/HCOOH ratio of 1 : 10, leaching temperature of 60 °C, leaching time of 20 min, and H$_2$O$_2$ concentration of 6 vol%. From Fig. 5b, it can be observed that the leaching efficiency of cobalt and lithium from the spent LiCoO$_2$ powder appeared to first increase and then decrease with increasing S/L.
ratio. The highest leaching efficiency was 85% for cobalt and 93% for lithium; however, the leaching efficiency was significantly decreased to 62% for cobalt and 86% for lithium at an S/L ratio of 30 g L⁻¹. As shown in Fig. 5c and d, at a low S/L ratio, the leaching solution included abundant water molecules so that HCOOH had a strong ionized capacity and low concentration. With the S/L ratio increasing, the ionized capacity of HCOOH became increasingly weak, and the concentration of HCOOH became increasingly high. At a high S/L ratio, some water molecules led to a weak ionized capacity and high concentration of HCOOH. Thus, the leaching efficiency of Co and Li increased initially and decreased afterwards. The S/L ratio of 20 g L⁻¹ was chosen to be optimal for leaching valuable metals.

The effect of the leaching temperature on the leaching efficiency of lithium and cobalt was studied under the condition of the LiCoO₂/HCOOH ratio of 1:10, H₂O₂ concentration of 6 vol%, and S/L ratio of 20 g L⁻¹. With the temperature increasing from 30 to 60 °C, the slopes of the leaching curves for Li and Co metals both significantly increased (Fig. 6a and b), indicating that the leaching process was an endothermic reaction. However, the leaching behavior of Li was found to be very different from that of Co; that is, the leaching efficiency of Li rapidly reached over 90% within 20 minutes in the early stage of leaching and remained stable afterwards. The leaching efficiency of Co first increased and then decreased with the increase in temperature, especially at high temperatures (60 to 80 °C), which could be attributed to the decomposition of hydrogen peroxide when the reaction temperature is higher than 60 °C. Therefore, the leaching efficiency was not significantly improved after 60 °C by further increasing the leaching temperature.

The effect of concentration of H₂O₂ as the reducing agent on the leaching efficiency was investigated using a LiCoO₂/HCOOH ratio of 1:10, S/L ratio of 20 g L⁻¹ and temperature of 60 °C for 20 min. The results, observed in Fig. 6c and d, indicate that the leaching efficiency of Li was not obviously affected by the concentration of H₂O₂ and increased significantly, reaching almost 100% within 10 min. On the contrary, the leaching efficiency of Co under any H₂O₂ concentration increased rapidly within 10 min and then decreased steadily before reaching a certain low level. It must be pointed out that Co³⁺ was reduced to Co²⁺ by H₂O₂ to be more easily reactive with HCOOH, that is to say, H₂O₂ as a reductant helped dissolve cobalt in the crystals of LiCoO₂. Meanwhile, when the concentration and dosage of H₂O₂ was increased, the molecules of H₂O₂ disintegrated sharply due to the excess H₂O₂, according to the following equation and thus, the best concentration of H₂O₂ was chosen to be 6 vol%.

\[
\text{H}_2\text{O}_2 (aq) = \text{H}_2\text{O} (aq) + \text{O}_2 (g) \quad (4)
\]

Therefore, on the basis of the previous experimental results, the global recovery efficiency of Li and Co could reach
99.90% and 99.96%, respectively, at the following optimal leaching conditions: LiCoO\(_2\)/HCOOH ratio of 1 : 10, S/L ratio of 20 g L\(^{-1}\), and H\(_2\)O\(_2\) concentration of 6 vol% at a temperature of 60 °C for 20 min. Co metal was recovered in the form of cobalt hydroxide precipitate, whereas Li metal was recycled in the form of LiF.

### 3.4 Kinetics of leaching

To further explore the mechanism of the reaction between the LiCoO\(_2\) powder and leachant, the kinetics of leaching were studied in the HCOOH solution for different leaching temperatures (40–80 °C) and times (5–40 min) under the following condition: LiCoO\(_2\)/HCOOH ratio of 1 : 10, S/L ratio of 20 g L\(^{-1}\), and H\(_2\)O\(_2\) concentration of 6 vol%. From the details of Fig. 7, an approach was adopted to fit the kinetic data satisfactorily using the empirical model based on the surface chemical control, described by eqn (5):

\[
1 - 3(1 - x)^{3/2} + 2(1 - x) = k_c t
\]  

(5)

where, \(x\) is the fraction of metals leached in time \(t\) (minutes) and \(k_c\) is the reaction rate constant (min\(^{-1}\)). The plots of \(1 - 3(1 - x)^{3/2} + 2(1 - x)\) vs. \(t\) at different temperatures showed that the kinetic data fitted well to this kinetic model, which had high correlation coefficients \((R^2 > 0.96)\) ranging from 0.96 to 0.99 for Li and 0.97 to 0.99 for Co.

From the Arrhenius eqn (6), the relation between the reaction rate constant mentioned in eqn (5) and the temperature
can be explained, and the activation energy can be calculated by the following equation:

\[ k = Ae^{-\frac{E_a}{R_T}} \]  

(6)

Here, \( k \) is the reaction rate constant, \( A \) is the frequency factor, \( E_a \) is the apparent activation energy and \( R \) is the gas constant \((8.314 \text{ J K}^{-1} \text{ mol}^{-1})\). From further plotting ‘\( \ln k \) vs. \( 1/T \)’, as shown in Fig. 7, the apparent activation energy is calculated to be 44.12 kJ mol\(^{-1}\) for Li, and 51.75 kJ mol\(^{-1}\) for Co. The values reach the specified activation energies for chemical reaction control (>42 kJ mol\(^{-1}\)).

Fig. 6 Effects of (a, b) leaching temperature and (c, d) LiCoO\(_2\)/H\(_2\)O\(_2\) ratio on the leaching of Li and Co from spent LiCoO\(_2\) batteries.

Fig. 7 Chemical control model for leaching kinetics of Co and Li at different temperatures; Arrhenius plot for the leaching of Li and Co under the chemical control model.
3.5 Recovery of lithium fluoride

The leaching solution is first processed according to the aforementioned procedures to precipitate Co metal. Subsequently, lithium fluoride can be obtained by adding saturated NaF to the remaining leaching solution. The XRD pattern of the obtained lithium fluoride is shown in Fig. 8a, and it agrees well with the standard patterns of peaks. The SEM image of the precipitated LiF can be also seen in Fig. 8b, and it can be noticed that the precipitated LiF is present as massive agglomerates integrated by numerous primary sheets (estimated to be 1.00 ± 0.50 μm). Meanwhile, to accurately calculate the purity of lithium fluoride, the precipitated LiF is further dissolved by aqua regia and the mass fraction of metals is measured by AAS. After calculation, the mass fraction of lithium is found to be 99.0 wt%.

4. Conclusions

Recycling cobalt and lithium from spent LIBs is considered to be a beneficial and crucial way to prevent environmental pollution and alleviate resource shortage. In this study, we proposed the hydrometallurgical process for recovery of lithium fluoride as the target product. The spent cathode material could be first leached out using formic acid and hydrogen peroxide. To achieve efficient leaching, the molar ratio of formic acid and LiCoO$_2$ at 10 : 1, a leaching temperature of 60 °C, a solid-to-liquid ratio of 20 g L$^{-1}$ and reaction time of 20 min were used as optimal conditions. With the help of these conditions, more than 99.96% of Co and 99.90% of Li could be recovered from the spent batteries. Meanwhile, by evaluating the leaching kinetics and calculating the apparent activation energies, the leaching process was verified to be controlled by the chemical reaction satisfactorily. After further fractional precipitation, a highly pure (99.0%) lithium fluoride could be finally obtained.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

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