Palynostratigraphy and palaeoclimatic interpretation of the Plio-Pleistocene Lukundol Formation from the Kathmandu valley, Nepal

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ABSTRACT

The Lukundol Formation, a fluvio-lacustrine deposit, constituting the lowermost part of the sedimentary sequence in the Kathmandu valley, is made up of conglomerates in its basal part; thick beds of carbonaceous clay, lignite, silt and sand in the middle part; interbedded clay and gravel in the upper part; and very thick beds of gravel in the uppermost part. Its exposure in the Khahare Khola, near the Lukundol village, is about 205 m thick. The palynostratigraphy of the Lukundol Formation revealed the dominance of gymnosperms over the angiosperms and herbaceous members. In the pollen diagram, *Pinus* and *Quercus* are the predominant taxa whereas *Castanopsis* and Oleaceae are the next dominant ones. Three fossil pollen zones were differentiated in the Lukundol Formation. Zone I indicates a subtropical climate, zone II belongs to a warm temperate climate, and zone III represents a subtropical to warm-temperate climate.

INTRODUCTION

The Kathmandu valley, one of the largest intermontane basins in the Himalaya, is filled up with the fluvio-lacustrine sediments of Late Pliocene to Holocene age. A comprehensive geological investigation of the Kathmandu basin sediments was carried out by Yoshida and Igarashi (1984), Igarashi et al. (1988), and Yoshida and Gautam (1988). They subdivided the basin sediments into the older-stage deposits (i.e. the Lukundol Formation), middle-stage deposits (i.e. the Boregaon, Chapagaon and Pyanggaon terrace deposits), and younger-stage deposits (i.e. the Gokarna, Thimi and Patan Formations). They also outlined the palaeoclimatic history of the Kathmandu valley. The investigation of lithological succession and palynology was also carried out by a number of other researchers (e.g. Vishnu-Mitre and Sharma 1984, Fuji and Sakai 2002, Paudayal and Ferguson 2004, Paudayal 2005, and Paudayal 2006).

The Lukundol Formation consists of an interbedding of clay and gravel, whereas the topmost part is represented by very thick gravel beds. The present palynological investigation was carried out on a total of 84 samples collected from the fine sediments comprising the Khahare Khola section (Fig. 2).

MATERIAL AND ANALYTICAL METHODS

The samples collected for palynological investigation were prepared at the Central Department of Geology, Tribhuvan University, Kirtipur, Kathmandu, following the method described by Zetter (1989). Fossil pollen and spores were extracted from the sediments by treating the sediments with different chemicals such as concentrated HCl, HF, CH₃COOH, KClO₃, (CH₃CO)₂O, H₂SO₄, and ZnBr₂. The extracted material was then preserved in glycerol for further analysis. At least 200 pollen grains from each sample were point-counted under a light microscope (LM). Some common pollen types in the Lukundol Formation are displayed in Figs. 3, 4, and 5.

DESCRIPTION OF INDIVIDUAL PALynomorphs

The terminology for the description of pollen and spores was followed from Punt et al. (1994). The individual palynomorphs are described below.
Gymnosperms

PINACEAE

Abies sp. (Fig. 3, No. 1)
Shape: Bisaccate
Size: 100–130 µm, corpus 80 µm, sacci 50 µm
Exine in proximal part of corpus is thick (sometimes termed the Abies crest) and coarsely rugulate. Sacci attached almost along full width of the corpus and with distinct alveolae. Sacci and corpus form distinct angle. Corpus attached to the upper half of the sacci.

Picea sp. (Fig. 3, No. 2)
Shape: Bisaccate
Size: 80–110 µm, corpus 100 µm, sacci 45 µm
Corpus faintly and finely verrucate to rugulate and perforate. Sacci finely alveolate. Sacci attached almost along full width of the corpus. Weak angle present between corpus and sacci.

Pinus sp. (Fig. 3, No. 3)
Shape: Bisaccate
Size: 45–60 µm, corpus 40 µm, sacci 15–20 µm
Corpus rugulate and rough. In LM the leptoma smooth (Diploxylon type). Sacci attached almost full width of corpus and coarsely alveolate. The outline of the sacci in the polar view is almost full width of corpus so that the grain seems to consist of three distinct oval parts. Corpus attached to the upper half of the sacci and forms distinct angle with it.

Tsuga sp. (Fig. 3, No. 4)
Shape: Monosaccate, oblate, circular in polar view
Size: 60 µm, saccus 10–15 µm. Corpus verrucate to rugulate, foveolate and echinate. Saccus echinate and folded.

PODOCARPACEAE

Podocarpus sp.
Shape: Bisaccate
Size: 45 µm, corpus 22–30 µm, covered with fine spinules or echinate. Sacci faintly alveolate. Sacci attached almost along full width of the corpus.
Fig. 2: Columnar section of the Lukundol Formation measured in the Khahare Khol. Sampling sites are also indicated.
Fig. 3: 1. *Abies* sp., 2. *Picea* sp., 3. *Pinus* sp., 4. *Tsuga* sp., 5. *Strobilanthes* sp., 6. Apiaceae gen. indet. 7. *Impatiens* sp., (equatorial view, LM X 400) 8. *Alnus* sp., (polar view, LM X 400) 9. *Betula* sp., 10. Caryophyllaceae, gen. indet. (Equatorial View, LM X 400).

Fig. 4: 11 *Artemisia* sp., 12. Cruciferae gen. indet. 13. Dipsacaceae, gen. indet. 14. Ericaceae gen. indet. 15. *Castanopsis* sp., 16. *Quercus* sp., 17. *Juglans* sp., 18. Oleaceae gen. indet. 19. *Polygonum* sp., 20. *Trapa* sp., (Equatorial View, LM X 400).
Palynostratigraphy of Lukundol Formation

Angiosperms

ACANTHACEAE

Strobilanthes sp. (Fig. 3, No. 5)
Shape: Prolate to periprolate
Size: Polar axis 45 µm, equatorial axis 21 µm
Aperture: Tricolporate
Exine: 4 µm, sexine thicker than nexine, sexine divided into longitudinal stripes, sexine bireticulate, and each longitudinal sexine strip having an uneven coarse reticulum with a depressed reticulated tectum.

Justicia sp.
Shape: Prolate
Size: Polar axis 24 µm, equatorial axis 14 µm
Aperture: Colporate
Exine: 1.5 µm, sexine as thick as nexine, sexine reticulate, lumina microreticulate, sexine around aperture rugulate, perforate and granulate.

APIACEAE

Apiaceae gen. indet. (Fig. 3, No. 6)
Shape: Prolate, equatorial part slightly constricted.
Size: 17–24 µm, equatorial axis 8–10µm
Aperture: Tricolporate, endoaerture elongate, colpi long reaching almost to the poles.
Exine: 1 µm, rugulate, shortly striate and granulate.

AQUIFOLIACEAE

Ilex sp.
Shape: Prolate, sub circular in polar view
Size: Polar axis 36 µm, equatorial axis 24 µm
Aperture: Tricolporoidate
Exine: 3 µm, atectate, clavate and pilate, pila with fine striate ornamentation.

BALSAMINACEAE

Impatiens sp. (Fig. 3, No. 7)
Shape: Spheroidal, rectangular in polar view
Size: Equatorial axis 33 µm
Aperture: Tetracolpate, colpi short
Exine: 1.5 µm, sexine as thick as nexine, reticulate, rudimentary columellae are present in lumina.

BETULACEAE

Alnus sp. (Fig. 3, No. 8)
Shape: Oblate, penta-angular in polar view
Size: Polar and equatorial axis 22–24 µm.
Aperture: Pentaporate, pori vestibulum type, neighbouring pori connected by archs or bands of nexious thickening.
Exine: 1.5 µm, tectum consists of irregular rugulae with very small spinules (microechinate). Sexine slightly thicker than nexine.

Betula sp. (Fig. 3, No. 9)
Shape: Oblate, triangular in polar view
Size: 21 µm
Aperture: Triporate occasionally tetrapore, pores circular and vestibulum type, sexine with tectum clearly thickest at the apex, vestibulum forming a well-defined annulus.
Exine: 2 µm, sexine consists of irregular rugulae with very small spinules.

CARYOPHYLLACEAE

Caryophyllaceae gen. indet. (Fig. 3, No. 10)
Shape: Spheroidal
Size: 24–27 µm
Aperture: Pantoporate, pori circular
Exine: 2 µm, sexine as thick as nexine, sexine perforated, spinulate, pore membrane spinulate.

CHENOPODIACEAE

Chenopodiaceae gen. indet.
Shape: Spheroidal
Size: 20 µm
Aperture: Pantoporate, pore circular
Exine: 1 µm, sexine thicker than nexine, sexine spinulate, perforate, mesoporium raised above pori, pore membrane spinulate, granulate.

COMPOSITAE

Artemisia sp. (Fig. 4, No. 11)
Shape: Prolate, circular in polar view
Size: Polar axis 20 µm
Aperture: Tricolporate, colpi nearly as long as polar axis.
Exine: 3 µm, sexine much thicker than nexine, distinctly stratified, sexine in mesocolpium thicker than in the colpi area forming a margo, sexine microechinate and granulate, granules uniformly distributed between the spinules.
Compositae gen. indet. (Fig. 4, No. 12)
Shape: Suboblate
Size: 35–40 µm
Aperture: Tricolporate
Exine: 6–7 µm (with pores), sexine thick, perforate and echinate, the basal part of spine broadly perforate with perforations of various shape and sizes, surface granulate, spines 2–3 µm in length.

Convovulaceae
Convovulaceae gen. indet.
Shape: Subprolate
Size: 46.5 µm
Aperture: Tricolpate
Exine: 3.5 µm. sexine much thicker than nexine, baculate, bacula coalesce to form striato-reticulate pattern towards periphery.

CRUCIFERAE
Cruciferae gen. indet.
Shape: Prolate-Spheroidal
Size: 35 µm
Aperture: Tricolpate
Exine: 3 µm, sexine as thick as nexine, retipilate. Nontogillate.

DIPSACACEAE
Dipsacus sp. (Fig. 4, No. 13)
Shape: Oblate to spheroidal
Size: Equatorial axis 65 µm
Aperture: Tricolpate, colpi short and broad.
Exine: 7 µm, sexine very thick and divided into two layers, sexine echinate and microechinate, perforate and granulate, spines are of two types, larger (> 1 µm) and smaller (<1 µm), larger spines are stupa-shaped, less abundant than smaller spinules.

ELAEAGNACEAE
Elaeagnus sp.
Shape: Suboblate, angular in polar view
Size: 28–33 µm
Aperture: Tricolporate, colpi are short.
Exine: 3–4 µm, sexine is slightly thicker than nexine, pore cavity is broad, sexine slightly rugulate and perforate.

ERICACEAE
Ericaceae gen. indet. (Fig. 4, No. 14)
Shape: Tetrahedral tetrads
Size: 35 µm
Aperture: Tetrads tricolporate
Exine: 2.5 µm, sexine slightly thicker than nexine, psilate.

EUPHORBIACEAE
Euphorbiaceae gen. indet.
Shape: Prolate, semicircular and lobate in polar view.

ELAEAGNACEAE
Elaeagnus sp.
Shape: Suboblate, angular in polar view
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Aperture: Tricolporate, colpi are short.
Exine: 3–4 µm, sexine is slightly thicker than nexine, pore cavity is broad, sexine slightly rugulate and perforate.

ERICACEAE
Ericaceae gen. indet. (Fig. 4, No. 14)
Shape: Tetrahedral tetrads
Size: 35 µm
Aperture: Tetrads tricolporate
Exine: 2.5 µm, sexine slightly thicker than nexine, psilate.

EUPHORBIACEAE
Euphorbiaceae gen. indet.
Shape: Prolate, semicircular and lobate in polar view.

Size: Polar axis 38 µm, equatorial axis 30 µm
Aperture: Tricolporate, colpi long and broad.
Exine: 4 µm, sexine thicker than nexine, micoreticulate to perforate, size of luminae uniform throughout the surface of the grain, muri broader than lumina and sparsely granulated.

FAGACEAE
Castanopsis sp. (Fig. 4, No. 15)
Shape: Prolate, circular and lobate in polar view
Size: Polar axis 25 µm, equatorial axis 12 µm
Aperture: Tricolporate, colpi nearly as long as polar axis.
Exine: Striate with irregularly distributed rods, in 1–2 µm length.

Quercus sp. (Fig. 4, No. 16)
Shape: Prolate.
Size: Polar axis 20–24 µm, equatorial axis 15–21 µm
Aperture: Tricolporate
Exine: 1–1.5 µm, tectum consists of rounded to sub rounded elements fused together to form clusters of microverrucae distributed all over the surface of the grain.

GENTIANACEAE
Nymphoides sp.
Shape: Oblate, semi-circular in polar view
Size: Equatorial axis 30 µm
Aperture: Syncolpate
Exine: Sexine as thick as nexine, surface coarsely striae, striae irregularly arranged, abruptly truncated and occasionally branched.

HALORAGACEAE
Myriophyllum sp.
Shape: Oblate, pentangular in polar view
Size: Equatorial axis 25 µm
Aperture: Pentaporate, pori annulate and oval
Exine: 1–1.5 µm, sexine slightly thicker than nexine, marginate and granulate.

JUGLANDACEAE
Juglans sp. (Fig. 4, No. 17)
Shape: Oblate, (sub spherical to spheroidal) and circular
Size: 40–42 µm
Aperture: Panporate, pores aspidate, circular rarely oval, 2–3 µm.
Exine: 2 µm, sexine is thicker than nexine, tectum is microechinate.

MYRICACEAE
Myrica sp.
Shape: Oblate, triangular in polar view
Size: 17 µm
Aperture: Triporate
Exine: 1 µm, sexine is thicker than nexine near and around pori, sexine regularly microechinate.
OLEACEAE

*Fraxinus* sp.
Shape: Prolate, circular in polar view.
Size: Polar axis 18–20 µm, equatorial axis about 19 µm.
Aperture: Tricolporate
Exine: 1 µm, sexine is thicker than nexine, reticulate, lumina heterobrochate, muri distinctly segmented.

Oleaceae gen. indet. (Fig. 4, No. 18)
Shape: Prolate, circular and lobate in polar view
Size: 20 µm
Aperture: Tricolporate
Exine: 1 µm, sexine is thicker than nexine, reticulate, lumina heterobrochate, muri irregularly segmented.

POACEAE

Poaceae gen. indet.
Shape: Spheroidal
Size: 35 µm.
Aperture: Ulcerate, pore annulate, diameter of the pore 2–3 µm
Exine: 1 µm, sexine as thick as nexine, microverrucate with small spiny elements especially at the edges of the microverrucae.

POLYGONACEAE

*Polygonum* sp. (Fig. 4, No. 19)
Shape: Spheroidal.
Size: 51–55 µm.
Aperture: Pantoporate
Exine: 6 µm, sexine as thick as nexine, microverrucate with numerous rudimentary columellae.

RANUNCULACEAE

Ranunculaceae gen. indet.
Shape: Prolate, circular and lobate in polar view
Size: Polar axis 38 µm, equatorial axis 28 µm
Aperture: Tricolpate, colpi broad and almost as long as polar axis
Exine: 3 µm, sexine much thicker in masocolpium (crescentic), sexine microechinate, foveolate, perforate and granulate.

TRAPACEAE

*Trapa* sp. (Fig. 4, No. 20)
Shape: Prolate-Spheroidal, equatorial view rhombic, polar view triangular obtuse
Aperture: Triporate with a collar of sexine
Exine: 4 µm at poles and 7 µm at the equator, sexine thicker than nexine microclavate. Microclavae variable in size.

ULMACEAE

*Ulmus* sp. (Fig. 5, No. 21)
Shape: Oblate, pentagonal in polar view
Size: 21–28 µm
Aperture: Pantoporate
Exine: 1 µm, sexine is thicker than nexine, sexine rugulate and covered by nano-granules.

PTERIDOPHYTE SPORES

Family indet. (Fig. 5, No. 22)
Shape: Rounded
Size: 40 µm
Aperture: Trilete
Exospore: 1.5 µm, spinose and granulose, spines irregularly distributed and clustered to form ridges of the spines.

Algal cysts (Fig. 5, No. 24)

RESULTS OF PALYNOLOGICAL ANALYSIS

The gymnosperms consist of *Pinus, Picea, Abies, and Tsuga*. The woody angiosperm trees and shrubs contain *Quercus, Castanopsis, Alnus, Justicea, Oleaceae* etc. *Artemisia, Chenopodiaceae, Compositae, Caryophyllaceae, Ranunculaceae* etc. are the major herbs. The aquatic plants are *Nymphoides, Myriophyllum* and *Trapa*. The fern spores are monolete and trilette. The pollen diagram of the Lukundol Formation is basically divided into three pollen assemblage zones (Fig. 6).

Zone Luku-I

This zone is characterised by a high percentage of *Pinus* and *Quercus*. *Pinus* attains (13–70%) of the total count. The other conifers are *Picea* (0.5–4.5%) and *Abies* (<3%). The angiosperm trees such as *Quercus* (5–35%) and *Castanopsis* (10–12%) are the major constituents. The other broad-leaved trees and shrubs such as *Juglans* (<1%), *Oleaceae* (1–7%), and *Fraxinus* (<1%) occur as minor elements. Most of the herbaceous pollen originates from Compositae (2%), *Artemisia* (2%), *Chenopodiaceae* (1%), *Poaceae* (1–5%), and *Caryophyllaceae*. The aquatic plants are *Nymphoides* (1–5%) and *Trapa* (1–2%). The fern spores attain a very high percentage of the total count.

Zone Luku-II

This zone is characterised by a decline in *Quercus* and *Castanopsis* and increase in the percentage of *Pinus*. Of the gymnosperms, *Pinus* (3–70%) is the dominant genus whereas
Fig. 6: Pollen diagram of the Lukundol Formation, Kathmandu valley
**Palynostratigraphy of Lukundol Formation**

Picea (1–10%), Abies (1–18%), and Tsuga (<2.5%) are the minor elements. Quercus (2–2.5%) and Castanopsis (<5%) are the major broad-leaved trees. The minor trees are Juglans (1%), Alnus (<3%), Ericaceae (<3%), and Betula (<2%). Compositae (0.5–8%), Ranunculaceae (1–5%), and Chenopodiaceae (1–10%) are the common herbs. The fern spores are the common elements.

**Zone Luku-III**

This zone is represented by an increase in the percentage of Quercus and decrease in Pinus. Quercus is more abundant (2–30%), Castanopsis and Oleaceae are also present in minor elements. The conifers such as Pinus constitute (10–58%) of the total count, whereas Picea (4.5%), Abies (<3%) and Tsuga (4%) are the minor elements.

**PALAEOClimatic INTERPRETATION**

In order to reconstruct the palaeoclimate of the Lukundol Formation, 27 families and 35 genera were used as shown in the pollen diagram (Fig. 6). The following genera were used as the main indicators of palaeoclimate: Pinus of cold climate, Quercus and Castanopsis of warm climate and Alnus of wet climate (Fuji and Sakai 2002). According to the pollen assemblage and changes of frequency in the pollen diagram, the palaeoclimatic record was divided into three main periods corresponding to the above three pollen zones.

In the pollen assemblage zone Luku-I, Pinus was the dominant species. Other gymnosperms such as Picea, Abies, Tsuga and Podocarpus were present in a very small amount. The angiosperm trees Quercus, Castanopsis, and Oleaceae were distributed throughout this zone, indicating a warm climate. Since the coniferous trees (Pinus) were found to be growing in a subtropical climate and the presence of an oak forest indicated similar climatic conditions. Based on the above vegetation pattern, it was inferred that a subtropical climate prevailed during the development of this zone.

Major changes in vegetation in the Lukundol Formation commenced in the pollen assemblage zone Luku-II. Pinus was the most dominant genus. Other gymnosperms, such as Picea, Abies, and Tsuga were also present in considerable amounts. It is noteworthy that Picea and Abies show a cold as well as dry climate. There was a gradual decrease in the angiosperm trees, such as Quercus and Castanopsis. The presence of Juglans and Betula also pointed to a cold and dry climate. Therefore, from the above vegetation information, this zone was inferred to be formed in a warm-temperate climate.

In the pollen assemblage zone Luku-III, again Pinus was the dominant genera. Picea, Abies, and Tsuga were present in less than 5% of the total pollen count. There was a rapid increase in the proportion of Quercus and is distributed throughout the zone. Castanopsis was also present in some horizons. The presence of woody angiosperms as well as gymnosperms give information that the climate was subtropical to warm temperate.

Some of the sections in the pollen assemblage zones Luku-II and Luku-III were barren. There was a deposition of finer sediments up to a height of 104.5 m from the base of the Lukundol Formation and the pollens were also abundant except in few horizons. But, after this level there was a drastic change in the deposition. The river system changed and the gravel, sand, and clay beds were deposited successively. Because of the coarse nature of sediments and subsequent oxidation, the pollen was not preserved.

**CONCLUSIONS**

The Lukundol Formation had a series of major and minor clastic events related to the uplift of the hinterland. These events interrupted the palynological information and gave a taphonomically biased picture. The Lukundol Formation can be divided into three pollen zones according to the percentage of gymnosperms and angiosperms. Zone-I was characterised by a subtropical climate, zone-II had a warm-temperate climate, and zone-III was formed in a subtropical to warm-temperate climate. The gymnosperms dominated over the woody angiosperms during the deposition of the Lukundol Formation. Hence, the pollen assemblages in this formation had a warm-temperate signature. A comparison of the past and present-day vegetation in the study area revealed that the Lukundol Formation was deposited in a climate warmer than the present time.

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