Gastric cancer nodal tumour–stroma ratios influence prognosis

J. Huang1, B. Yang1, J. Tan1, S. Zhou1, Z. Chen1, G. Zhong1, H. Gao1, J. Zhu1, J. Zeng1, L. Zhong1, X. Liu2 and F. Han1

Department of Gastrointestinal Surgery, 1Sun Yat-sen Memorial Hospital, Sun Yat-sen University, and 2Zhu Jiang Hospital of Southern Medical University, Southern Medical University, Guangzhou, China

Correspondence to: Dr F. Han, Department of Gastrointestinal Surgery, Sun Yat-sen Memorial Hospital, Sun Yat-sen University, Guangzhou, 510120, China (e-mail: fh_han@163.com)

Paper accepted 18 August 2020
Published online 14 October 2020 in Wiley Online Library (www.bjs.co.uk). DOI: 10.1002/bjs.12054

Introduction

Gastric cancer is one of the most common digestive tumours1. An ideal pathological staging system should not only reflect the biological characteristics of the tumour, but also be reproducible and clinically applicable. In 2007, Mesker and colleagues2,3 proposed the concept of tumour–stroma ratio (TSR) as the proportion of tumour cells relative to surrounding interstitial components. TSR is the most macroscopic index used to evaluate the tumour microenvironment. Primary TSR (PTSR) may be an independent prognostic factor that predicts the prognosis of various solid tumours such as hepatocellular, breast, upper and lower gastrointestinal cancers4–16. Few studies have examined the prognostic value of nodal TSR (NTSR) in depth, so this analysis explored its validity in gastric cancer.

Methods

A complete description of the study design, TSR evaluation and statistical analysis is available in Appendix S1 (supporting information). In brief, this retrospective study evaluated the clinical significance and prognostic value of NTSR in gastric cancer. All procedures performed were in accordance with the ethical standards of the responsible committee on human experimentation (institutional and national), and with the Helsinki Declaration of 1964 and later versions. Informed consent was obtained from all patients.

Results

A total of 708 consecutive patients with gastric adenocarcinoma and metastatic lymph nodes after radical gastrectomy were included in our study. Between January 2011 and December 2015, 468 patients were recruited at Sun Yat-sen Memorial Hospital for the internal training cohort and 240 patients were recruited at Zhu Jiang Hospital of Southern Medical University for the external validation cohort. Following exclusions (Fig. S1, supporting information), 260 patients in the primary cohort and 129 in the external validation cohort were included in the study (Table S1, supporting information).

Nodal tumour–stroma ratio score and optimal cut-off value

The NTSR score was determined following the principles of PTSR assessment17 (Fig. S2, supporting information). Assessment of NTSR was done as follows: when micrometastases were present, the proportion of stroma was evaluated in a smaller image field as long as tumour cells were present at all borders; lymph node organs such as lymphoid follicles were not considered as stromal components; blood vessels regardless of size were included in the interstitial components; and in patients with multiple lymph node metastases, the NTSR assessment was first done on the largest metastatic lesion that could be evaluated for TSR using a 40-fold objective. A receiver operating characteristic (ROC) curve was used to analyse the relationship between NTSR and overall survival (OS). The area under the ROC curve was 0.581 (95 per cent c.i. 0.512 to 0.651; P = 0.023). Using the Youden index, the optimal cut-off value for NTSR was found to be 0.65. As the NTSR index was scored in increments of 10 per cent, the optimal cut-off value of NTSR was set as 0.60, with NTSR divided into over 60 per cent (stroma low) and 60 per cent or less (stroma high).

Impact of primary and nodal tumour–stromal ratio on survival

Results of Kaplan–Meier survival analysis for the primary cohort are shown in Fig. 1 and Table S2 (supporting information). PTSR below 50 per cent was a negative predictor...
Overall survival (OS) according primary tumour–stroma ratio (PTSR), OS according nodal tumour–stroma ratio (NTSR) and disease-free survival (DFS) according to NTSR in primary cohort; OS according to PTSR, OS according to NTSR and DFS according to NTSR in validation cohort.

a $P = 0.013$, b $P = 0.001$, c $P = 0.009$, d $P = 0.007$, e $P = 0.005$, f $P = 0.004$ (log-rank test).
Table 1 Results of Cox univariable and multivariable analyses for overall survival in primary cohort

| Age (years) | Univariable analysis | Multivariable analysis including PTSR | Multivariable analysis including NTSR | Multivariable analysis including PTSR and NTSR |
|-------------|----------------------|---------------------------------------|---------------------------------------|-----------------------------------------------|
|             | Hazard ratio | P          | Hazard ratio | P          | Hazard ratio | P          | Hazard ratio | P          |
| <45         | 0.37 (0.17, 0.79) | 0.059      | 0.30 (0.14, 0.66) | 0.008      | 0.39 (0.18, 0.85) | 0.066      | 0.32 (0.14, 0.71) | 0.021      |
| 45–60       | 0.56 (0.32, 0.97) | 0.41 (0.22, 0.74) | 0.53 (0.29, 0.95) | 0.46 (0.25, 0.84) |
| 60–75       | 0.65 (0.38, 1.11) | 0.54 (0.31, 0.94) | 0.67 (0.39, 1.17) | 0.59 (0.33, 1.04) |
| > 75        | 1.00 (reference) | 1.00 (reference) | 1.00 (reference) | 1.00 (reference) |
| Sex         |                      |            |            |            |
| M           | 1.23 (0.86, 1.76)   |            |            |            |
| F           | 1.00 (reference)    |            |            |            |
| pN status   |                      |            |            |            |
| pN1         | <0.001              |            | <0.001     |            | <0.001     |            | <0.001     |
| pN2         | 0.25 (0.14, 0.48)   | 0.28 (0.16, 0.52) | 0.30 (0.18, 0.54) |
| pN3a        | 0.34 (0.21, 0.57)   | 0.36 (0.21, 0.63) | 0.37 (0.22, 0.65) |
| pN3b        | 0.62 (0.38, 1.00)   | 0.68 (0.42, 1.11) | 0.68 (0.40, 1.06) |
| pT status   |                      |            |            |            |
| pT1/T2      | 0.39 (0.19, 0.79)   |            |            |            |
| pT3/T4      | 1.00 (reference)    |            |            |            |
| ypTNM stage*| 0.011               |            |            |            |
| I           | 0.37 (0.12, 1.15)   |            |            |            |
| II          | 0.42 (0.21, 0.82)   |            |            |            |
| III         | 1.00 (reference)    |            |            |            |
| Differentiation | 0.042          |            |            |            |
| Well/moderately | 0.61 (0.37, 0.98) |            |            |            |
| Poorly      | 1.00 (reference)    |            |            |            |
| Tumour location†| 0.291            |            |            |            |
| Upper       | 1.00 (reference)    |            |            |            |
| Middle      | 0.82 (0.52, 1.31)   |            |            |            |
| Low         | 0.92 (0.61, 1.38)   |            |            |            |
| Total       | 1.90 (0.81, 4.49)   |            |            |            |
| Tumour length (cm) | <0.001     | 0.023      | 0.048      | 0.014     |
| < 5         | 0.53 (0.38, 0.74)   | 1.00 (reference) | 1.00 (reference) | 1.00 (reference) |
| ≥ 5         | 1.00 (reference)    | 1.54 (1.06, 2.33) | 1.46 (1.00, 2.11) | 1.80 (1.10, 2.33) |
| CEA (ng/ml) | 0.030               |            |            |            |
| ≤ 5-0       | 0.80 (0.52, 1.22)   |            |            |            |
| > 5-0       | 1.00 (reference)    |            |            |            |
| Postoperative chemotherapy | 0.002       | 0.001      | 0.003      | 0.001     |
| Yes         | 1.00 (reference)    | 0.56 (0.40, 0.80) | 0.58 (0.41, 0.83) | 0.56 (0.39, 0.80) |
| No          | 1.72 (1.22, 2.43)   | 1.00 (reference) | 1.00 (reference) | 1.00 (reference) |
| PTSR (%)    | 0.014               | 0.002      | 0.003      | 0.006     |
| ≥ 50        | 1.00 (reference)    | 1.00 (reference) | 1.00 (reference) |            |
| < 50        | 1.53 (1.09, 2.15)   | 1.77 (1.24, 2.53) | 1.65 (1.15, 2.37) |
| NTSR (%)    | 0.001               | 0.008      | 0.026      |            |
| > 60        | 1.00 (reference)    | 1.00 (reference) | 1.00 (reference) |            |
| ≤ 60        | 2.16 (1.38, 3.39)   | 1.89 (1.18, 3.00) | 1.71 (1.07, 2.74) |

Values in parentheses are 95 per cent confidence intervals. *According to the eighth edition of the AJCC TNM system10. †According to the Japanese classification of gastric carcinoma (3rd English edition)15. PTSR, primary tumour–stromal ratio; NTSR, nodal tumour–stromal ratio; CEA, carcinoembryonic antigen.
In the nomogram, the value for an individual patient is located on the axis for each variable, and a line is drawn upwards to determine the points received for each variable. The sum of these scores is located on the total points axis, and a line is drawn downwards to the survival axis to determine the likelihood of 3- or 5-year OS or DFS. Error bars are 95 per cent c.i., crosses are the Kaplan–Meier corrected mean.

a Nomogram and b–e calibration curves for 3-year (b,d) and 5-year (c,e) overall survival (OS) in the primary (b,c) and external validation (d,e) cohorts. f Nomogram and g–j calibration curves for 3-year (g,i) and 5-year (h,j) disease-free survival (DFS) in the primary (g,h) and external validation (i,j) cohorts.

Fig. 2 Evaluation of integrated systemic nomograms for overall and disease-free survival
of OS ($P = 0.013$) (Fig. 1a). NTSR of 60 per cent or less was a negative predictor of OS ($P = 0.001$) and disease-free survival (DFS) ($P = 0.009$) (Fig. 1b,c). Cox univariable and multivariable analyses confirmed that PTSR and NTSR were independent prognostic predictors of OS (Table 1). Because the patients in this study had gastric cancer and lymph node metastasis, the majority had a ypTNM stage of III. Stratified analysis showed that NTSR was a good predictor of OS ($P = 0.002$) and DFS ($P = 0.019$) in patients with ypTNM stage III disease. Cox multivariable analyses showed that, in addition to PTSR and NTSR, age, pN status, tumour length and postoperative chemotherapy were independent risk factors associated with OS in gastric cancer. The results of Cox univariable and multivariable analyses of DFS are shown in Table S3 (supporting information). Only carcinoembryonic antigen level and NTSR were independent risk factors for DFS in gastric cancer.

**Nomogram development and validation**

Clinical characteristics, and the proportion of patients with low PTSR (below 50 per cent) and NTSR (60 per cent or less) values were similar in the primary and external validation cohorts (Table S4, supporting information). An A Cox proportional hazards regression model was constructed based on the Akaike information criterion, with backward stepwise selection, to find a best-fit model. A nomogram comprising six independent factors was used to predict 3- and 5-year OS of patients with gastric cancer (Fig. 2a). The C-index for the nomogram was 0.72 (95 per cent c.i. 0.70 to 0.74), higher than that for NTSR alone (C-index 0.57, 0.56 to 0.59) and the ypTNM staging system (C-index 0.64, 0.62 to 0.66). The nomogram showed good prediction performance for OS in patients with gastric cancer. Calibration plots for the probability of survival at 3 or 5 years after radical stomach cancer surgery showed good correlation between the value predicted by the nomogram and the actual observation (Fig. 2b,c). The C-index for the nomogram (Fig. 2f) that predicted 3- and 5-year DFS of patients with gastric cancer was 0.61 (0.58 to 0.64), and was better than that for NTSR alone (C-index 0.58, 0.55 to 0.60) and the ypTNM staging system (C-index 0.55, 0.53 to 0.57) indicated that the new model was effective in predicting DFS of patients with gastric cancer.

When the nomogram was subjected to external validation in an independent cohort, the C-index was 0.75 (0.73 to 0.78) for OS and 0.66 (0.62 to 0.70) for DFS, which was greater than that for the current ypTNM staging system. The calibration plots also showed optimal agreements between nomogram predictions and actual observations for 3- and 5-year OS and DFS in the external validation cohort (Fig. 2d,e,f), confirming that the nomogram was an accurate and useful tool for the prediction of OS and DFS in patients with gastric cancer.

**Discussion**

Gastric cancer cells are recirculated through lymphatic vessels and colonize in lymph nodes to form a unique lymph node metastatic microenvironment. Tumour-associated stromal components may regulate tumour development, invasion and drug resistance by processes such as secreting protumour factors, inducing angiogenesis and promoting immunosuppression.

The present study had some limitations including that it was retrospective, involved only node-positive gastric cancer, and the nomograms included only basic clinical characteristics and pathological parameters. It did, however, confirm that relative stoma-rich PTSR and NTSR indicated worse prognosis in patients with gastric cancer. Both PTSR and NTSR were identified as independent predictors of gastric cancer prognosis. The nomogram performed better than the ypTNM staging system. TSR is a simple, convenient and clinically significant pathological indicator that should be recommended as a routine pathological index.

**Acknowledgements**

J.H., B.Y. and J.T. contributed equally to this work. The study was supported by the National Natural Science Foundation of China (81572925).

**Disclosure:** The authors declare no conflict of interest.

**References**

1. Siegel RL, Miller KD, Jemal A. Cancer statistics, 2020. *CA Cancer J Clin* 2020; 70: 7–30.
2. Mesker WE, Junggeburt JMC, Szuhi K, de Heer P, Morreau H, Tanke HJ *et al.* The carcinoma–stromal ratio of colon carcinoma is an independent factor for survival compared to lymph node status and tumour stage. *Cell Oncol* 2007; 29: 387–398.
3. Mesker WE, Liefers G, Junggeburt JMC, van Pelt GW, Alberici P, Kuppen PJK *et al.* Presence of a high amount of stroma and downregulation of SMAD4 predict for worse survival for stage I–II colon cancer patients. *Cell Oncol* 2009; 31: 169–178.
4. Peng C, Liu J, Yang G, Li Y. The tumour–stromal ratio as a strong prognosticator for advanced gastric cancer patients: proposal of a new TSNM staging system. *J Gastroenterol* 2018; 53: 606–617.
5. Scheer R, Baidoshvili A, Zoidze S, Elferink MA, Berkel AE, Klaase JM *et al.* Tumor–stroma ratio as prognostic factor for survival in rectal adenocarcinoma: a retrospective cohort study. *World J Gastrointest Oncol* 2017; 9: 466–474.
6 Aurello P, Berardi G, Giulitti D, Palumbo A, Tierno SM, Nigri G et al. Tumor–stroma ratio is an independent predictor for overall survival and disease free survival in gastric cancer patients. *Surgeon* 2017; 15: 329–335.

7 Kemi N, Eskuri M, Herva A, Leppänen J, Huhta H, Helminen O et al. Tumour–stroma ratio and prognosis in gastric adenocarcinoma. *Br J Cancer* 2018; 119: 435–439.

8 Huijbers A, Tollenaar RAEM, van Pelt GW, Zeestraten ECM, Dutton S, McConkey CC et al. The proportion of tumor–stroma as a strong prognosticator for stage II and III colon cancer patients: validation in the VICTOR trial. *Ann Oncol* 2013; 24: 179–185.

9 Downey CL, Simpkins SA, White J, Holliday DL, Jones JL, Jordan LB et al. The prognostic significance of tumour–stroma ratio in oestrogen receptor-positive breast cancer. *Br J Cancer* 2014; 110: 1744–1747.

10 Zunder SM, van Pelt GW, Gelderblom HJ, Mancao C, Putter H, Tollenaar RA et al. Predictive potential of tumour–stroma ratio on benefit from adjuvant bevacizumab in high-risk stage II and stage III colon cancer. *Br J Cancer* 2018; 119: 164–169.

11 Pongsuvareeyakul T, Khunamornpong S, Settakorn J, Sukpan K, Suprasert P, Intaraphet S et al. Prognostic evaluation of tumour–stroma ratio in patients with early stage cervical adenocarcinoma treated by surgery. *Asian Pac J Cancer Prev* 2015; 16: 4363–4368.

12 Liu J, Liu J, Li J, Chen Y, Guan X, Wu X et al. Tumor–stroma ratio is an independent predictor for survival in early cervical carcinoma. *Gynecol Oncol* 2014; 132: 81–86.

13 Lv Z, Cai X, Weng X, Xiao H, Du C, Cheng J et al. Tumor–stroma ratio is a prognostic factor for survival in hepatocellular carcinoma patients after liver resection or transplantation. *Surgery* 2015; 158: 142–150.

14 Wang K, Ma W, Wang J, Yu L, Zhang X, Wang Z et al. Tumor–stroma ratio is an independent predictor for survival in oesophageal squamous cell carcinoma. *J Thorac Oncol* 2012; 7: 1457–1461.

15 Chen Y, Zhang L, Liu W, Liu X. Prognostic significance of the tumor–stroma ratio in epithelial ovarian cancer. *Biomed Res Int* 2015; 2015: 589301.

16 Zhang XL, Jiang C, Zhang ZX, Liu F, Zhang F, Cheng YF. The tumor–stroma ratio is an independent predictor for survival in nasopharyngeal cancer. *Oncol Res Treat* 2014; 37: 480–484.

17 van Pelt GW, Kjær-Frifeldt S, van Krieken JHJM, Al Dieri R, Morreau H, Tollenaar RAEM et al. Scoring the tumor–stroma ratio in colon cancer: procedure and recommendations. *Virchows Arch* 2018; 473: 405–412.

18 In H, Solsky I, Palis B, Langdon-Embry M, Ajani J, Sano T. Validation of the 8th edition of the AJCC TNM Staging System for Gastric Cancer using the National Cancer Database. *Ann Surg Oncol* 2017; 24: 3683–3691.

19 Japanese Gastric Cancer Association. Japanese classification of gastric carcinoma: 3rd English edition. *Gastric Cancer* 2011; 14: 101–112.

20 Hui L, Chen Y. Tumor microenvironment: sanctuary of the devil. *Cancer Lett* 2015; 368: 7–13.