MCDS: AI Augmented Workflow Scheduling in Mobile Edge Cloud Computing Systems

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Abstract—Workflow scheduling is a long-studied problem in parallel and distributed computing (PDC), aiming to efficiently utilizing compute resources to meet user's service requirements. Recently proposed scheduling methods leverage the low response times of edge computing platforms to optimize application Quality of Service (QoS). However, scheduling workflow applications in mobile edge-cloud systems is challenging due to computational heterogeneity, changing latencies of mobile devices and the volatile nature of workload resource requirements. To overcome these difficulties it is important, but at the same time challenging, to develop a long-sighted optimization scheme that efficiently models the QoS objectives. In this work, we propose MCDS: Monte Carlo Learning using Deep Surrogate Models to efficiently schedule workflow applications in mobile edge-cloud computing systems. MCDS is an Artificial Intelligence (AI) based scheduling approach that uses a tree-based search strategy and a deep neural network based surrogate model to estimate the long-term QoS impact of immediate actions for robust optimization of scheduling decisions. Experiments on physical and simulated edge-cloud testbeds show that MCDS can improve over the state-of-the-art methods in terms of energy consumption, response time, SLA violations and cost by at least 6.13, 4.56, 45.09 and 30.71 percent respectively.

Index Terms—AI for PDC, Edge computing, Cloud Computing, Deep Learning, Monte Carlo learning, Workflow scheduling.

1 INTRODUCTION

The explosive growth of data and the popularity of the Internet of Things (IoT) paradigm has given rise to new parallel and distributed computing (PDC) architectures like mobile edge-cloud computing [1], [2]. Edge-cloud computing, also referred to as fog computing, is an amalgamation of cloud and edge devices that aims to bring low-latency services to the users by leveraging nodes at the edge of the network and performing only the compute-intensive processing in the cloud [3]. The ability of edge computing to provide local compute, storage and network resources has increasingly been used to execute demanding and long-running workflow applications [4], [5]. Unlike standalone workloads, workflows constitute several resource intensive and inter-dependent tasks, with their precedence constraints being modeled as a directed acyclic graph (DAG) [6]. With the burgeoning shift to AI/ML/DL based workflows, modern workflow applications have become extremely resource hungry [7]. Modern computing offers a new range of computationally heterogeneous workflows involving data gathering at the edge and processing at the cloud backend. Such workflows have recently been adapted to hybrid computing platforms like edge-cloud environments for their cost saving benefits [8], [9]. The workflow scheduling problem aims to efficiently map the tasks onto the available resources to optimize the Quality of Service (QoS) metrics.

Challenges. A significant challenge faced in workflow scheduling is the requirement of handling heterogeneous and non-stationary user demands [10], [11]. As edge and cloud nodes have different computational capacities, network bandwidth and latencies, workflow schedulers need to be aware of the resource heterogeneity to make optimal decisions. Further, the Service Level Agreement (SLA) deadlines of QoS objectives may be different for distinct applications or may even vary across the input processing requests for the same application. For instance, many industries, especially healthcare, robotics and smart-cities demand ultra-low response times for SLA sensitive tasks [12]. Battery driven mobile edge computing nodes also have strict energy consumption demands [13]. Applications deployed on a pay-per-use cloud service model aim at reducing the overall execution costs. Further, the problem of workflow scheduling is exacerbated by the dynamism of the system and the inconsistent DAG dependencies across different long-running workflows, making long-term optimization hard.

The dynamism in the system arises from two main sources: task volatility and host mobility. Task volatility covers the changing resource requirements or service demands of tasks. Host mobility entails the changing geographic location of host machines that can give rise to non-stationary latencies. With the rising use of mobile computational devices, the network characteristics like latency and bandwidth have become volatile. This requires real-time monitoring and updating the scheduling decisions, also known as rescheduling in literature [14]. As scheduling decisions change for active tasks, rescheduling might entail live task-migration, also requiring schedulers to consider migration overheads. To avoid excessive overheads, the objective score estimation of such methods needs to be extremely accurate [7].

Existing solutions. Over the past few years, several workflow schedulers have been proposed that aim to tackle the above mentioned challenges [5], [4], [15], [16]. Many of these methods use Artificial Intelligence (AI) based optimization schemes like evolutionary schemes or reinforcement learning [17]. Some classical methods also use heuris-
tics or meta-heuristics to optimize their decisions [18], [19]. However, most of these methods solve the challenges of workflow scheduling to a limited extent. Traditional reinforcement learning methods like Q-Learning are typically brittle in terms of the environment assumptions that makes them unsuitable for highly volatile scenarios [12]. Other methods like genetic algorithms have been shown to have the agility and adaptability required for dynamic workflow demands [5]. However, non-local jumps in many evolutionary algorithms lead to several task migrations, increasing network overheads and degrading their performance [7]. Other swarm optimization based methods that divide the timeline into periodic scheduling intervals use neural networks to approximate QoS scores of the next interval [4], [20]. However, such methods are myopic as they optimize short-term objective scores, leading them to often get stuck at a local optimum [21, Chapter 7]. Further, such methods often struggle to produce accurate QoS estimates in dynamic settings (with volatile tasks and mobile hosts) to execute apt decision optimization [22].

**Background and new insights.** To mitigate the risks of short-term goal optimization and generating a myopic QoS estimate, we develop on some recent ideas. In the past, schedulers have used deep neural networks to approximate the optimization objectives [5], [21]. Such neural networks are called deep surrogate models (DSMs) as they act as approximators for the QoS scores that are otherwise time-consuming to obtain (requiring physical execution of the scheduling decisions) [22]. Deep surrogate models provide an improved QoS due to their ability to accurately map objective scores and quickly adapt to diverse scenarios. However, due to the poor state-space exploration, such methods can perform poorly in settings unseen during model training [23]. As surrogate models only provide a single-step objective estimate, optimizing using such models can adversely affect long-term QoS scores. Thus, some reinforcement learning methods use look-ahead search schemes to get a long-term score estimate [21]. One such method is Monte Carlo learning that runs several multi-step executions to get an unbiased estimate of the long-term effect of an immediate action [21]. Running such executions in a real environment is infeasible due to the large execution times. As scheduling decisions need to be taken in near real-time, prior work does not use such look-ahead schemes and hence performs myopic optimization. However, recently proposed co-simulation methods seem like a promising solution for this problem [23]. Co-simulation allows schedulers to leverage event-driven simulators to quickly get a QoS estimate instead of executing decisions on a physical platform.

Our contributions. Combining Monte Carlo learning and deep surrogate models for QoS optimal workflow scheduling is non-trivial. This is because vanilla Monte Carlo learning requires the computationally expensive execution of several multi-step simulation runs with random scheduling actions. This may be infeasible when frequent decision making is required, especially for volatile environments [12]. Further, traditional deep surrogate models trained on historic data only provide the QoS score of the system state immediately after executing an action and not a long-term QoS. To address these issues, instead of randomly choosing the actions to simulate, we train a deep surrogate model to approximate long-term QoS scores within a Monte Carlo learning framework. To discover potentially better actions, we constantly explore the state-action search space. To start with, we use the action output of a short-term optimization scheme.

Drawing all these insights together, we present MCDS: Monte Carlo Learning using Deep Surrogate models for workflow scheduling. MCDS is an AI augmented scheduler that uses Upper-Confidence-Bound (UCB) exploration [21, Chapter 2] and short-term optimization action output as domain knowledge. Running co-simulations on several multi-step decisions allows MCDS to get a long-term QoS estimate of each immediate action choice. Once we have a trained deep surrogate model, we employ a gradient optimization using back-propagation to input (GOBI) scheme to reach optimal decisions quickly [7]. To test the efficacy of the MCDS policy, we perform experiments on a physical mobile edge-cloud setup with ten hosts and a simulated setup with fifty hosts with real-world application workloads. The set of schedulers used in our experiments includes MCDS and four other state-of-the-art baselines. Our evaluations show that MCDS performs best in terms of QoS parameters, giving at least 6.13%, 4.56%, 45.09% and 30.71% better energy consumption, response time, SLA violation rate and execution cost respectively. These results are obtained with up to 13.19% lower scheduling time than the baseline with the second-best QoS scores.

The rest of the paper is organized as follows. Section 2 overviews the related work. Section 3 outlines the system model assumptions. Section 4 details the deep surrogate model in the MCDS scheduler. Section 5 presents the Monte Carlo learning to train and generate scheduling decisions. A performance evaluation of the proposed method is shown in Section 6. Finally, Section 7 concludes.

2 Related Work

We now analyze the prior work in more detail, dividing them into two classes: heuristic and meta-heuristic approaches.

Heuristic methods. Several classical works use heuristics to optimize the workflow scheduling decisions [23], [24], [25]. Many methods in this category use heuristics motivated by the objectives they aim to optimize, such as average response time, energy consumption, execution cost, resource utilization or SLA violation rates. Some methods partition workflows into multiple sub-workflows to minimize expected communication overheads that facilitate the reduction of overall response time [24]. Other methods approximate objectives like energy consumption by easily measurable metrics like CPU utilization to output a scheduling decision [17]. Some approaches formulate the workflow scheduling task as a simplified problem like subset-sum and use mean clustering techniques [25]. However, all of these methods fail to perform well in highly volatile settings and only aim at optimizing specific objectives (via heuristics) that make them unsuitable for demanding modern-day applications [6].

**Meta-Heuristic methods.** This class of methods leverages high-level problem independent algorithms to find the optimal scheduling decision for the workflows. Most
state-of-the-art approaches belong to this category. Among these, many use variants of the Particle Swarm Optimization (PSO) technique \cite{27,3}. Such a technique starts with several random or heuristically initialized candidate solutions. Each candidate is iteratively optimized, moving it slightly in the state-space where the optimization objective tends to increase. One of the baselines in our experiments is the immune based particle swarm optimization (IMPSO) method \cite{4}. This is because IMPSO uses candidate affinity to prevent poor candidates from being discarded in subsequent iterations, allowing it to surpass other PSO based methods in terms of execution costs and average response time. Other techniques, categorized commonly as list scheduling, use metrics like earliest finish time, critical path, and dynamic resource utilization levels \cite{28,6}. However, list scheduling performs poorly in settings with non-preemptable jobs and heterogeneous requirements or machines \cite{6}.

Other recent methods use genetic algorithms to optimize the scheduling decision. Most such works use a neural network to get an estimate of the QoS objectives \cite{5,15}. As in swarm based methods, the algorithm uses several candidate solutions. However, these algorithms can lead to non-local jumps in the search space due to operations like crossover and mutations. This can lead to better QoS estimates, but also tends to have higher task migration overheads as converged decisions can be very different from the decision of the previous scheduling interval \cite{7}. For our experiments, we use ESVR \cite{15} and DNSGA \cite{5} as our baselines from this class. ESVR initializes its candidate population using the Heterogeneous Earliest Finish Time (HEFT) heuristic and optimizes using the crossover-mutation scheme. To account for volatility in the system, ESVR continuously fine-tunes the neural network surrogate using the latest workload traces and host characteristics \cite{15}. DNSGA is a multi-objective optimization method that uses a Pareto Optimal Front (POF) aware approach that prevents the set of candidates from converging to the same optima \cite{3}. Ismayilov et al. and Pham et al. show that these two methods out-perform previously proposed genetic algorithms based techniques and hence are used as baselines in our experiments \cite{5,15}.

Finally, another recently proposed workflow scheduling model, namely Closure, uses an attack-defence game-theoretic formulation \cite{16}. Unlike other schemes that assume mostly homogeneous resources, Closure has been shown to efficiently manage heterogeneous devices by calculating the Nash Equilibrium of the attack-defence game model. This is crucial in edge-cloud environments where there are contrasting resource capacities of edge and cloud nodes. This method is also one of the baselines in our experiments.

3 System Model and Problem Formulation

3.1 Environment Model

In this work, we assume a typical mobile edge-cloud computing setup with multiple heterogeneous edge or cloud nodes in a master-slave fashion \cite{5,29}. We give an overview of the MCDS system model in Figure 1. The resource layer in our system includes edge nodes in the same local area network as the edge-cloud broker and cloud machines at a multi-hop distance from the broker. The edge nodes are assumed to be mobile, whereas the nodes at the cloud are assumed to be fixed in terms of their geographical location. All data inputs and outputs are asynchronously shared over a common database (DB). We consider that workflows include a batch of tasks and their corresponding precedence constraints as a DAG. In a physical deployment, these batches are passed on from sensors and actuators to the broker using gateway devices. The broker resides in the management layer and takes all the scheduling and migration decisions for each new and active task. We also assume that the resource capacities like that of the CPU, RAM, Bandwidth and Disk of each node are known in advance, and similarly we can sample the resource consumption for each task in the environment at any time. We periodically measure utilizations of CPU, RAM, Bandwidth and Disk for each task and the corresponding workflow in the system. Further, for each task, a soft service level deadline is defined at the time the workflow is sent to the edge-cloud environment. All workflows are received from an IoT layer which includes sensors and actuators to collect data from the users and send it across to the broker via the gateway devices. The broker then decides where to allocate new tasks and where to migrate active tasks in the system, if required.

3.2 Workload Model

We assume scheduling as a discrete-time control problem as common in prior work \cite{7,29}. We divide the timeline into equal duration intervals, with the $t$-th interval denoted as $I_t$ (starting from $i = 0$). We assume that there are a fixed number of host machines, the set of which is denoted as $H$. Each workflow is modelled as a directed acyclic graph $G = (V, E)$, where $V$ denotes the tasks as nodes and $E$ denotes the execution precedence constraints as directed
Thus, at the start of interval \( t \), we provide the model with the system state of the host utilization characteristics.

We denote the QoS objective score for interval \( t \) as \( Q_t \). Without loss in generality, we drop the subscript \( t \) for the rest of the discussion. An overview of the deep neural network used as a surrogate model in the MCDS scheduler is shown in Figure 2. The constituent model components are detailed next.

### 3.3 Problem Formulation

We denote the QoS objective score for interval \( I_t \) as \( O_t \) that we need to maximize. We denote the utilization metrics of all hosts in interval \( I_{t-1} \) as \( U_t \). We collect the DAGs of all active workflows \( A_{t-1} \) and denote them as \( G_t \) (to represent the workflow constraint graph). Now using \( U_t \) and \( G_t \), we need to predict a scheduling decision \( S_t \).

All tasks with no incomplete dependent tasks in \( N_t \cup W_t \cup A_t \) are called feasible tasks. \( G_t \) includes allocation decisions for feasible and migration decisions for active tasks in the system. Thus the problem can be formulated as:

\[
\text{maximize} \sum_{t=0}^{\infty} O_t \\
\text{subject to} \forall t, S_t : P_t \cup Q_t \rightarrow H_t, \\
\forall t, P_t = \text{set of feasible tasks in } N_t \cup W_t \cup A_t, \\
\forall t, Q_t = \text{set of active tasks in the system.}
\]

### 4 Deep Surrogate Model

As discussed in Section 3.1, our MCDS scheduler uses a deep neural network as a surrogate model. The MCDS scheduler lies in the edge-cloud broker (Figure 1). We first describe the working of this model and how we use it to obtain an estimate of the objective score. To do this, we encapsulate the state of the system using the host characteristics \( U_t \) and the workload constraints \( G_t \). To predict an estimate of the objective score, we provide the model with the system state and the scheduling decision. Instead of using \( U_t \), we use a time-series sliding window of size \( k \), denoted as \( W_t \), to capture temporal trends of the host utilization characteristics. Thus, at the start of interval \( I_t \), the input to the surrogate model is \([G_t, S_t, W_t]\).

#### 4.1 Workflow Constraint Graph Encoding

To generate a QoS estimate, we encode the workflow constraint graph \( G \) using a gated-graph convolution network (GGCN). This is because GGCN has been shown to be effective in capturing dynamically changing graph like inputs [31]. We first form an embedding for each node in the graph; for active tasks we use the SLA deadline and the resource utilization characteristic and for inactive tasks we use the zero-vector. We denote this embedding for a task \( i \) as \( e_i \). Following [32], the gating stage is realized as a Gated Recurrent Unit (GRU) resulting in graph-to-graph updates as:

\[
\begin{align*}
    r_i^0 &= \tanh (w_e i + b), \\
    x_i^k &= \sum_{j \in n(i)} w_{ij}^{k-1}, \\
    r_i^k &= \text{GRU}(r_i^{k-1}, x_i^k).
\end{align*}
\]

Here, \( w_e \) and \( b \) are the weight matrix and bias parameter of the neural network and \( k \) is the iteration index that varies from 1 to \( p \), each corresponding to a graph convolution operation. Further, the messages for task \( i \) are aggregated over one-step connected neighbors \( n(i) \) over \( p \) convolutions, resulting in an embedding \( r_i^p \) for each task node in the graph. The stacked representation for all tasks is represented as \( r^p \) and is used in the downstream model. We generate the workflow graph encoding \( e^G \) by passing \( r^p \) through a feedforward layer with ReLU activation as:

\[
e^G = \text{ReLU}(\text{BatchNorm}(\text{FeedForward}(r^p))),
\]

where BatchNorm standardizes the output of the FeedForward layer for the input batch.

#### 4.2 Scheduling Decision Encoding

We also encode the scheduling decision for \( p \) tasks, first as a matrix \( M^S \) and then pass it through a feed-forward layer. Then we apply Bahdanau style self-attention [33] to reduce
its dimensions size and generate the scheduling decision encoding $e^S$. Thus,
\[
M = \text{ReLU}(\text{FeedForward}(M^S)),
\]
\[
\text{attn} = \text{Softmax}(\text{FeedForward}(M)),
\]
\[
e^S = \sum_{i=1}^{p} \text{attn}_i \cdot M_i.
\] (4)

Here, $M_i$ is the one-hot vector corresponding to the $i$-th tasks out of $p$ tasks in the system. This operation takes a convex combination of the multiple scheduling decisions to create a single encoding that encapsulates the inter-task dependencies. This relieves the downstream predictors from discriminating among scheduling decisions.

### 4.3 Time Series Window Encoding

For any three input matrices $Q$, $K$, and $V$, we define Multi-Head Self Attention [34] as passing it through $h$ (number of heads) feed-forward layers to get $Q_i$, $K_i$ and $V_i$ for $i \in \{1, \ldots, h\}$, and then applying attention as:
\[
\text{MultiHeadAtt}(Q, K, V) = \text{Concat}(H_1, \ldots, H_h),
\]
where $H_i = \text{Attention}(Q_i, K_i, V_i)$.

(5)

where $\text{Attention}(Q_i, K_i, V_i)$ is the scaled-dot product attention operation [34]. For a time-series input of host resource utilization characteristics, we perform transformer like multi-head attention operations as:
\[
W^1 = \text{Mask}(\text{MultiHeadAtt}(W, W, W)),
\]
\[
W^2 = \text{LayerNorm}(W + W^1),
\]
\[
e^W = W^2 + \text{MultiHeadAtt}(W^2, W^2, W^2).
\] (6)

The first attention operation is masked to prevent the encoder from looking at the datapoints for future timestamp values at the time of training as all time-series windows are given at once to allow parallel training. LayerNorm, unlike BatchNorm, performs normalization across the values in the input instead of the batch. Multi-head attention allows the model to make the subsequent prediction computationally simpler, enabling higher model scalability and generalizability [35]. The final window encoding obtained is $e^W$.

### 4.4 Estimating the Objective Score

Now that we have encoded representations of the host characteristics ($e^W$), scheduling decision ($e^S$) and the workflow tasks ($e^G$), we use Adaptive Instance Normalization (AdaIn) [36] to normalize our window encoding as:
\[
e^{GS} = [e^G; e^S],
\]
\[
e = \text{AdaIn}(e^W, e^{GS}).
\] (7)

Here, $e^{GS}$ is the concatenation of $e^G$ and $e^S$. Motivated from style-transfer neural networks, AdaIn adaptively normalizes the time-series encoding using the workflow and scheduling decision encodings. This allows the model to maintain robustness in scenarios with highly time-varying host characteristics. The final encoding is then passed through a feed-forward layer to generate the objective score estimate
\[
\hat{O} = \text{Sigmoid}(\text{FeedForward}(e)).
\] (8)

Unlike traditional deep surrogate model based schedulers, the output of our model is an estimate of the long-term objective score instead of the short-term (single scheduling interval) score. This allows the scheduler to avoid local optima when taking sequential scheduling decisions [21]. We denote this surrogate model as a function $f(G, W, S) = \hat{O}$. To train this model, we use a Monte Carlo approach as described next.

### 5 Monte Carlo Learning

We develop a modified version of the Monte Carlo Tree Search (MCTS) to train our deep surrogate model and also actively take scheduling decisions. MCTS is a method of building a search tree considering the current state as the root node [27]. The nodes in this tree denote states of the system and edges denote actions. Each node is assumed to be either a leaf node or have one or more child nodes corresponding to the feasible actions from this node. For our Monte Carlo tree, we consider that each node is defined by the following set of variables: $[G, W, S, q, v, n]$. Here, $G$, $W$ and $S$ represent the workflow constraints, time-series window and last scheduling decision; $q, v, n$ denote the short-term reward, value function and the number of visits to the node. The value function is the long-term estimate of the QoS for the node. Building this tree involves finding the feasible actions from a state, executing several simulation steps and iteratively updating the root node with the best action.

#### 5.1 Building the Monte Carlo Tree

The process of building the Monte Carlo tree involves iteratively performing four operations: Selection, Expansion, Simulation and Backpropagation. We start with only the root node (initial state), with $q, v$ initialized as $0$ and $n$ initialized as $1$. An overview of these operations is presented in Figure 3.

**Selection.** This operation selects a leaf-node of the tree. Starting at the root node, we recursively select the child node that has the highest Upper-Confidence-Bound (UCB). For the current node $[G, W, S, q, v, n]$, we select one of the child nodes $\{[G_i, W_i, S_i, q_i, v_i, n_i]\}$, using the rule [38]
\[
\text{selected node} = \arg \max_i v_i + \sqrt{c_1 \times \ln n / n_i}.
\] (9)

Here the first term corresponds to the exploitation part of the search algorithm and the second term corresponds to exploration. $c_1 \in [0, 1]$ is the exploration parameter. The $n$ value of each node that is selected in this recursive process is incremented by 1. When only the root node is present in the tree, we return that as the selected node.

**Expansion.** After the selection process, we reach a leaf node of the tree. We use a co-simulator to find out all possible actions $\{S_i\}_i$ from this node and expand it to generate a new node for each such decision. The co-simulator also provides us the $G$ and $W$ for the new nodes. For each new node in the tree, $v, n$ are initialized as $0, 1$ respectively. The

1. In our discussion, we use action and scheduling decision interchangeably.
In Sections 5.2 and 5.3.

Deep surrogate model based scheduler. More details on this are based on a random scheduler. At test time, we use the node. While training, the actions used in these simulations

φ

co-simulator to execute a sequence of φ actions to get a long-term QoS estimate of this node, where φ is called the rollout parameter. This QoS value is set as the v value of this node. While training, the actions used in these simulations are based on a random scheduler. At test time, we use the deep surrogate model based scheduler. More details on this in Sections 5.2 and 5.3

Backpropagation. Now that we have the updated v values of the new leaf nodes, we backpropagate these up to the root for updating the value functions. For every node \((G, W, S, q, v, n)\) other than the leaf-nodes in the path to the root node with child nodes \(\{G_j, W_j, S_j, q_j, v_j, n_j\}\), we propose the weighted update rule

\[
v = q + \sum n_i \cdot v_i, \tag{10}\]

i.e., the sum of q and the weighted average of the v values of child nodes. The q value here gives us the immediate reward and weighted-average gives us the estimate of the long term reward once the action corresponding to this node is taken [38].

We perform the four operations: selection, expansion, simulation and backpropagation a fixed number of times (ψ) to generate the Monte Carlo Tree. The expansion operation adds possibly one or more nodes to the tree in each iteration. The UCB metric in the selection phase trades off between exploration of new action choices and exploitation of value function estimates in each iteration. As ψ increases, the value function estimates become closer to the expected empirical objective scores.

**5.2 Model Training**

To train the deep surrogate model, at every interval \(I_t\) we build the tree as described in Section 5.2 as shown by the MCTS-TRAIN function in Algorithm 1 (we start with a single node in \(I_0\)). We use a random scheduler to execute the simulation operation. The random scheduler gives us an unbiased estimate of the long-term value function of a leaf-node (line 4 in Alg. 1). After performing the operations ψ number of times, we choose the action \(S_i\) corresponding to child node of the root with the highest value-function \(v_i\) (line 11 in Alg. 1). For training, instead of using the \(q_i\) value of this node, we get the QoS score in the next interval \(I_{t+1}\) i.e. \(O_t\) and add the datapoint \((f(G, W, S), O_t + v_i)\) to the dataset (line 12 in Alg. 1). For the next interval, we update the Monte Carlo tree by changing the root node to the selected child node (see Figure 4) and UPDATE function

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**Algorithm 1 Training the deep surrogate model**

**Require:**

Number of simulation steps \(\psi\); Rollout parameter \(\phi\); Dataset used for training \(\Lambda\); Deep Surrogate Model \(f\)

1: Initialize root node
2: procedure MCTS-TRAIN(root)
3: for \(i \leftarrow 0 \text{ to } \psi\) do
4: \quad Recursively select child nodes using Eq. (9)
5: \quad Expand leaf-node \(\forall \text{ possible actions}
6: \quad Using random policy, run \(\phi\)-step co-simulations to generate QoS scores
7: \quad Recursively back-propagate scores using Eq. (10)
8: procedure UPDATE(root)
9: \quad \[G, W, S, q, v, n\] \leftarrow root
10: \quad \{[G_j, W_j, S_j, q_j, v_j, n_j]\} \leftarrow child nodes of root
11: \quad \(i = \arg \max_j v_j\)
12: \quad Add \((f(G, W, S), O_t + v_i)\) to \(\Lambda\)
13: \quad root \leftarrow \{G_i, W_i, S_i, q_i, v_i, n_i\}
14: return \(S_i\)
15: procedure TRAIN(scheduling interval \(I_t\))
16: MCTS-TRAIN(root)
17: \(S_i \leftarrow \text{UPDATE(root)}\)
18: Tune \(f\) using the dataset \(\Lambda\) using (11) as loss
19: return \(S_i\)
Algorithm 2 MCDS Scheduler

Require:
Number of simulation steps $\psi$; Learning rate $\gamma$;
Convergence threshold $\epsilon$; Iteration limit $L$
Pre-trained Deep Surrogate Model $f$
1: Initialize root node
2: procedure MCTS-Test(root)
3: for $i \leftarrow 0$ to $\psi$
4: Recursively select child nodes using Eq. (13)
5: Expand leaf-node $\forall$ possible actions
6: Use $f(G, W, S)$ as generate QoS scores
7: Recursively back-propagate scores using Eq. (10)
8: procedure GOBIGraph(f, G, S, W)
9: Initialize $i = 0$
10: do
11: $S \leftarrow S + \gamma \cdot \nabla_S f(G, W, S)$
12: $i \leftarrow i + 1$
13: while $|\nabla_S f(G, W, S)| > \epsilon$ and $i \leq L$
14: return $S$
15: procedure MCDS(scheduling interval $I_t$)
16: MCTS-Test(root)
17: $S_t \leftarrow$ UPDATE(root)
18: Tune $f$ with the new datapoint using (11) as loss
19: return $S_t$

in Alg. 1. This allows us to re-use co-simulation data in the subsequent scheduling intervals.

Using the collected dataset, we use experience-replay [39] to decouple training from the execution of the workloads on our edge-cloud setup. We update the weights of the deep surrogate model using the loss:

$$\text{Loss} = \text{MSE}(f(G, W, S), O_t + v_t), \quad (11)$$

where MSE denotes mean-square-error and $G, W$ are the workflow constraints and time-series host characteristics of the root node state. We use a cross-validation style training process with early-stopping as the convergence criterion.

5.3 Scheduling using the Trained Model

Now that we have trained the deep surrogate model, for an input $[G, W, S]$, we can run the recently developed technique of gradient-optimization using propagation to input (GOBI) [7] to update $S$ to maximize the output $f(G, W, S)$ using gradient-ascent rule

$$S \leftarrow S + \gamma \cdot \nabla_S f(G, W, S). \quad (12)$$

Using advances like momentum, annealing and restarts we can reach near global optima quickly [7]. To differentiate our workflow-graph based surrogate model from vanilla feed-forward neural network, we call our optimization strategy as GOBIGraph that returns an action in the form of a scheduling decision (see GOBIGraph function in Alg. 2). At test time we build the Monte Carlo Tree with slight modifications. In the selection operation, we choose the node using the rule

$$\text{selected node} = \arg \max_i v_i + \sqrt{\frac{c_1 \times \ln n}{n_i}} + c_2 \times 1(S_i = \text{GOBIGraph}(f, G, S, W)). \quad (13)$$

6 Performance Evaluation

We now describe how we evaluate the MCDS method and compare it against the state-of-the-art baselines: ESVR, DNSGA, IMPSO and Closure as described in Section 2.

We also add a vanilla GOBI method as a reference point for our results to compare against the state-of-the-art deep surrogate model based scheduler.

6.1 Evaluation Setup

To test the scheduling strategies, we use two-test beds: physical setup and a simulated platform. The former allows us to perform a precise comparison closer to the real-deployments, while the latter lets us perform large-scale experiments. This is a common testing mechanism in prior work [7, 30].

Physical Testbed: We use Microsoft Azure virtual machines (VMs) to create a heterogeneous PDC edge-cloud testbed. Specifically, we use the B2s, B4ms and B8ms machine types. The configuration consists of 10 VMs, 6 light-weight VMs on the edge and 4 powerful ones on a geographically distant cloud location (see Figure 5). All machine types use a different number of Intel Haswell 2.4 GHz E5-2673 v3 processor cores. To keep storage costs consistent, we keep Azure P15 Managed disk with 125 MB/s disk throughput and 256 GB size. We use the Microsoft Azure pricing calculator to obtain the cost of execution per hour (in US Dollars). The power consumption models are

2. Azure general purpose B-type VMs: https://docs.microsoft.com/en-us/azure/virtual-machines/sizes-b-series-burstable
3. Azure Managed Disk types https://docs.microsoft.com/en-us/azure/virtual-machines/disks-types#premium-ssd
4. Microsoft Azure pricing calculator for South UK: https://azure.microsoft.com/en-gb/pricing/calculator/
taken from the SPEC benchmarks repository[5]. The Million-Instruction-per-Second (MIPS) of all VMs are obtained using the perf-stat tool.

The Azure B2s machines consist of two cores (4029 MIPS), B4ms have four (8102 MIPS) and B8ms have eight cores (1601 MIPS) each. Moreover, we have 4GB, 16GB and 32GB RAM sizes in B2s, B4ms and B8ms machines respectively. In our settings, we have considered response time of edge nodes to be 1 ms and that of cloud nodes to be 10 ms based on the empirical studies using the Ping utility in an existing edge-cloud framework namely FogBus [3]. To factor in the possible mobility of the edge nodes we use the NetLimiter tool to tweak the communication latency with the broker node using the mobility model described in [40]. Specifically, for the edge nodes in our setup, we use the latency and bandwidth parameters of hosts from the traces generated using the Simulation of Urban Mobility (SUMO) tool [41] that emulates mobile vehicles in a city like environment. SUMO gives us the parameters like ping time and network bandwidth to simulate in our testbed using NetLimiter. The edge-cloud broker node was a system situated in London, UK and with configuration: Intel i7-10700K CPU, 64GB RAM, and Windows 11 OS.

Simulated Testbed: We consider 50 host machines as a scaled up version of the physical setup. Here, each category has 5 times the instance count, i.e., 30 edge nodes and 20 cloud nodes. This is to test models in a larger-scale edge-cloud environment as considered in prior art [30], [42]. We use a trace driven simulation model that emulates real execution of tasks, but without actual job execution allowing us to perform experiments on scale.

We run all experiments for 200 scheduling intervals, with each interval being 300 seconds long, giving a total experiment time of 16 hours 40 minutes. We average over 5 runs and use diverse workload types to ensure statistical significance in our experiments.

6.2 Workloads

For our physical setup, we use WFCommons benchmark applications [43] which are derived from Pegasus workflows [44]. These include the popular non-preemptive scientific applications: BLAST, Cycles and Montage, each having 15 to 100 tasks sampled uniformly at random. The BLAST workflow is a high-throughput compute-intensive application for genome based bioinformatics calculations and has applications in smart health monitoring systems at the edge [45]. The Cycles benchmark performs several agro-economic modelling based calculations and is popular in edge computing based smart-agriculture [46]. Montage is a benchmark derived from astronomical imaging systems and similar to other edge computing based space exploration applications [47]. The Pegasus workflows are popular benchmark applications that are representative of a large number of edge and cloud computing environments. These have been used in several previous works that test workflow scheduling in edge-cloud environments [48], [49], [50]. Due to the these benchmarks being standard in most prior work, we use them in our experiments.

For our simulator, we use the execution traces of the three applications and the precedence graphs from the benchmark specifications. These traces are generated by running the experiments on the 10 node physical setup. The traces consist of data intervals of 5 minutes including the CPU, RAM, Disk and Bandwidth utilization of all tasks. We sample monitoring data uniformly from the three applications as done in the WFCommons’s workload generator.

At the beginning of each scheduling interval we create Poisson(λ) workflows with λ = 1.2 workflows for physical and λ = 5 workflows for the simulated setup [2]. These values have been taken directly from traces generated from real-world computing applications deployed on Bitbrains datacenters with 10 and 50 servers [51]. The 1.2 and 5 values have been obtained after normalizing the computational requirements of tasks using the total instructions executed for each task, calculated as the product of average MIPS and execution time. This is done to ensure that the experimental testbed is under similar load as typical real-world physical deployment.

6.3 Implementation Details

We perform all our experiments on an extended version of the COSCO framework [2] to support workflow DAG models. COSCO [2] is a framework for development and deployment of applications on edge-cloud environments with structured communication and platform independent execution of applications. COSCO connects all edge and cloud nodes in a physical setup using HTTP REST APIs. We deploy our workflows in COSCO with each task mapped to a docker container.

To implement MCDS in the COSCO framework, we extend the Framework class. The function getPlacementPossible() was modified to also check for precedence constraints when allocating tasks. Moreover, we implemented a data transferring pipeline for broadcasting of inputs for parallel tasks and forwarding of the outputs between two neighboring tasks in a DAG. Finally, the outputs were synchronized and brought to the broker to calculate the inference accuracy and measure the workflow response time. For synchronization of outputs and execution of network splits, we use the HTTP Notification API. Further, the GOBIGraph approach is implemented using the Autograd package in the PyTorch module [52].

To run an experiment, the broker runs the COSCO framework and communicates with each host via HTTP REST APIs [53]. The REST server of the Framework class sends operation codes including GetHostStats, GetContainerStats, Checkpoint, Migrate and Restore to each host to instruct a specific activity. At every worker host, a DockerClient service runs with a Flask HTTP web-service [54]. Flask is web application REST client that allows interfacing between edge-cloud hosts and the broker. To obtain the resource utilization metrics of the host machines at the start of each scheduling interval, we use the psutil utility for memory and disk characteristics, perf stat for CPU utilization and ioping utility for memory
and network bandwidth utilization characteristics. For the running tasks, we use the `docker inspect` command with the identifier of the corresponding container instance as an argument. All utilization metrics are instantly saved to an InfluxDB database instance running at the broker node. This additional step of collecting utilization metrics leads to an overhead due to the HTTP REST request and adding a new entry in the database. However, this occurs in a non-blocking fashion, i.e., it does not interrupt the execution of active containers in worker hosts.

### 6.4 Model and Experimental Assumptions

To optimize the QoS parameters, we consider a standard objective function that focuses on energy consumption and response time as is done in prior work [7]. For interval $I_t$,

$$O_t = 1 - \alpha \cdot AEC_t - (1 - \alpha) \cdot ART_t,$$

where $AEC_t$ and $ART_t$ are the normalized average energy consumption of the environment in $I_t$ and average response time of workflows leaving at the end of $I_t$. Here, $\alpha$ is a hyper-parameter that can be set by users as per the application requirements. We choose response time as a metric to optimize the service quality by reducing the latency of the executed workflow applications and subsequently the SLA violation rates [2]. Energy is another important metric, both for edge and cloud nodes. At the edge, devices are typically mobile, relying on battery operated hardware. For long-lasting services and reducing the average down-times of edge nodes, supreme energy efficiency is crucial. For cloud service providers, higher energy consumption leads to increased operation expenses, both for running the cloud servers as well as the cooling equipment [32]. The $\alpha$ parameter is chosen by the user as per the application demands.

For our experiments, we keep $\alpha = 0.5$ in (14) as it is a characteristic representative of typical fog environments [7]. [40]. We also set $\phi = 10$ (number of actions in simulations for model training) and $\psi = 50$ (number of MCTS iterations).
(a) Average Energy Consumption  
(b) Average Execution Time  
(c) Average Wait Time  
(d) Scheduling Time

(e) Average Response Time  
(f) Average Response Time (per application)  
(g) SLA Violations  
(h) SLA Violations (per application)

(i) Fairness  
(j) Cost per Workflow  
(k) Migration Time with intervals  
(l) Number of Task Migrations with intervals

Figure 7. Comparison of MCDS against baselines on simulated setup with 50 hosts.

We also use $c_1 = 0.1, c_2 = 0.5$. All hyper-parameter values are obtained using grid-search. We get similar trends for other values. We present a sensitivity analysis on $\psi$ later in Section 6.9. To train our deep surrogate model, we use the AdamW optimizer \cite{loshchilov2017decoupled} with learning rate as 0.0001.

### 6.5 Evaluation Metrics

To compare the QoS, we consider metrics like energy consumption and response time. We also compare the SLA violation rates. The SLA of a workflow is violated if its response time is greater than the deadline. We consider the relative definition of SLA (as in \cite{peng2020utility}) where the deadline is the 98th percentile response time for the same application (BLAST/Cycles/Montage) on the state-of-the-art baseline Closure. To ensure sufficient sample size, we run the Closure baseline for 1000 scheduling intervals and evaluate the 98th percentile response times for each application. We also consider scheduling fairness, for which we use Jain’s fairness index over the IPS of the running tasks in the system. We also compare the average migration time which is the average time for task (container) migrations. We also compare the scheduling time, execution cost and average waiting time (number of scheduling intervals spent in the wait queue) of all executed workflows.

### 6.6 Results

We show the results of our experiments on the physical and simulated testbeds in Figures 6 and 7 respectively. Figures 6(a) and 7(a) show that the MCDS model has the lowest energy consumption compared to the baseline methods. MCDS scheduler gives an average interval energy consumption of 228.21 KW-hr for 10 hosts and 1326.98 KW-hr for 50 hosts. As the number of hosts increases so does the power consumption of the setup. However, MCDS has up to 6.13% lower energy consumption than the second lowest value of the Closure scheduler. The major reason for this is the low response time of each application, which leads to lower number of active tasks in the system at any point...
giving low CPU utilization and consequently low energy consumption. Figures 6(b) and 7(b) show the execution time of the workflows, i.e., the time these workflows spend in computation. All schedulers have similar execution time for both setups. Figures 6(c) and 7(c) show the waiting times of workflows. Here, IMPSO has the highest waiting times as using this scheduler leads to the maximum number of resource contentions in the system. The scheduling times of all schedulers are less than 10 seconds (3.33% of the scheduling interval duration of 5 minutes), with DNSGA and GOBI having the lowest scheduling times (Figures 6(d) and 7(d)). MCDS has 13.19% lower scheduling time than the best performing baseline, i.e., Closure. A higher scheduling time compared to the meta-heuristic approach DNSGA is due to the multiple Monte-Carlo iterations. However, the model is faster than other approaches like Closure and IMPSO, showing that MCDS is scalable to larger testbeds. More details on scalability with workload size in Section 6.8.

Figures 6(e) and 7(e) show the average response times for both setups. Among the baselines, Closure has the lowest average response time of 27.21 intervals in the physical setup and 12.67 intervals in the simulated case (lower response time in the simulated setup due to the larger parallelization capacity). MCDS has a response time up to 4.56% lower than Closure. This is due to the long-term optimization in MCDS, unlike other methods. Myopic optimization in prior work typically prevents them to consider future system states that could cause an increase in response time due to resource contention or migrations. This allows MCDS to be parsimonious in terms of number of preemptive migrations (Figs. 6(f) and 7(f)). Comparing the number of migrations across baselines, we see that IMPSO and ESVR very aggressively migrate tasks, whereas the other methods try to minimize migration overheads to optimize QoS. Low response times of the MCDS scheduler also results in low SLA violation rates (here the service level objectives are defined as deadlines as discussed in Section 6.5), as seen in Figures 6(g) and 7(g). MCDS has an SLA violation percentage of 0.25%-1.12%, lower than the best values among the baselines, which are 1.12%-2.04% for the Closure scheduler. IMPSO has a high SLA violation rates due to the high waiting and migration times as seen in Figures 6(k) and 7(k). This is due to the aggressive migration in IMPSO as seen in Figures 6(l) and 7(l). ESVR has relatively low SLA violation rates for its response times due to the deadline first prioritization in the scheduling decisions. Figures 6(h) and 7(h) also show the response times and SLA violation rates for each application type (BLAST, Cycles and Montage). The SLA violation rates for each application is shown in Figures 6(i) and 7(i). The deviations from the 2% mark in these figures is due to the stochasticity in the workloads, motivating us to fine-tune the model at each interval (line 18 in Alg. 2).

Comparing the fairness indices of the schedulers (Figures 6(l) and 7(l)), we see that the DNSGA has the maximum fairness index of 0.34-0.72. MCDS has a fairness index of 0.28-0.52. This is due to the long-term optimization in MCDS, preventing resource contention and allocation of resources to tasks corresponding to their requirements. As MCDS is able to complete the maximum number of workloads in the duration of 200 intervals, the amortized cost per workflow of MCDS is lowest among all models (0.43 and 2.21 USD/workflow). MCDS gives 16.12%-30.71% lower cost than the baselines with ten hosts (Figure 6(j)). Similar trends are seen in the simulated setup with 50 hosts (7.62%-70.14%).

6.7 Ablation Analysis
To study the relative importance of each component of the model, we exclude every major one and observe how it affects the performance of the scheduler. An overview of this ablation analysis is given in Table 1. First, we consider the MCDS scheduler without the Monte-Carlo tree search, i.e., only using the pre-trained deep surrogate model to get the GOBIGraph output (w/o MCTS model). Second, we consider a model without the deep surrogate model, i.e., we only use MCTS simulations with a random scheduler to get the QoS estimates and generate the scheduling decision at each interval (w/o DSM model). The other two models we consider are modifications in the selection formula. In the w/o Exploration model we ignore the UCB exploration metric. In the w/o Domain Kn. we ignore the GOBIGraph based indicator term in (13). We reach the following findings:

- Without the MCTS search scheme, the model performs a myopic optimization and suffers the maximum increase in the SLA violation rate. This demonstrates the need for a long-term objective estimation and bootstrapping is crucial to reach optimal QoS.
- Without the deep-surrogate model, the scheduling time significantly increases. This is due to the large number of co-simulation steps being performed in the MCTS iterations. It also affects the QoS values due to the inaccurate estimates obtained from the simulations based on the random scheduler.
- Without the exploration term the model is unable to find possibly better scheduling decisions. Also, the domain knowledge term, i.e., the GOBIGraph based indicator term in (13) helps the model to use the deep surrogate model for exploration. Both these terms help improve the scheduling decisions and reach better QoS values.

We observe that Monte-Carlo learning and deep surrogate model have the maximum impact to the performance. UCB exploration and domain knowledge are additional features that help improve QoS.

6.8 Scalability Analysis
The WfGen utility within WFCommons benchmark suite allows us to change the number of tasks in each workflow

| Model            | Energy | Resp. Time | SLA Violation | Sched. Time |
|------------------|--------|------------|---------------|-------------|
| MCDS             | 1326   | 12.08      | 0.468         | 3.3627      |
| w/o MCTS         | 1479   | 14.21      | 4.283         | 0.3123      |
| w/o DSM          | 1401   | 13.20      | 3.027         | 7.4231      |
| w/o Exploration  | 1392   | 12.98      | 0.832         | 2.6283      |
| w/o Domain Kn.   | 1532   | 19.41      | 0.930         | 2.0318      |

Table 1: Ablation Analysis. Units: Energy - KW-Hr, Response Time - scheduling intervals, SLA Violations - percentage, Scheduling Time (cumulative) - seconds.
In our main experiments we sample the number of jobs uniformly at random between 15 and 100. We now use this feature to test the scalability of the MCDS model and compare it against the baselines on the simulated setup with 50 hosts. Figure 8 shows the energy consumption, response time, SLA violations and scheduling time when we vary the number of tasks in each workflow from 10 to 1000. Comparing across models, the DNSGA, ESVR and IMPSO do not scale well in terms of SLA violation rates as the number of tasks grows. Only models that use deep surrogate networks, i.e., MCDS and GOBI, and the attack-defense based scalable baseline Closure are able to scale with increasing task count. Similarly, other parameters, i.e., energy consumption, response time and scheduling time increase with number of tasks. In terms of energy consumption, response time, SLA violation rate and scheduling time, MCDS not only has better metric scores, but also does not face from excessive performance drop in cases where workflows have a large number of tasks, thanks to its gradient graph convolution based adaptive normalization. The deep surrogate model in MCDS is able to handle large-scale workflows also due to the Bahdanau attention that brings down the dimensional size of the input size windows.

### 6.9 Sensitivity Analysis

We check the sensitivity of the performance parameters to the \( \psi \) parameter (number of Monte-Carlo iterations), as shown in Figure 9. The figure shows the energy consumption, response time, SLA violations and scheduling time when \( \psi \) varies from 5 to 500. As the number of iterations increases, the model can cover larger state-action space and get a much better look-ahead estimate. Thus, the energy consumption, response time and SLA violations decrease as \( \psi \) increases (except the w/o MCTS model which is unaffected as MCTS is not performed here). Although, after \( \psi = 50 \) the improvement in the QoS performance is minimal. However, increasing the simulation steps has an adverse impact on the scheduling time of the model. We choose \( \psi = 50 \) in our experiments to balance the trade-off between QoS and scheduling overhead.

### 7 Conclusions and Future Work

We have presented MCDS, a novel scheduler for efficiently scheduling demanding workflow applications on mobile edge-cloud computing environments. Unlike prior work that performs myopic optimization of QoS using reinforcement learning, evolutionary methods or heuristics, MCDS trains a long-term QoS estimation surrogate model. To do this, MCDS uses a modified version of the Monte-Carlo-Tree-Search that uses UCB exploration and gradient-optimization based biased exploitation. This allows MCDS to manage workflows to maintain high inference objective scores on an average and reduce the scheduling time as it does not need to perform several multi-step simulations at test time. All these contributions allow MCDS to be scalable and outperform the state-of-the-art models in terms of energy consumption, response time, SLA violation rate and cost by up to 6.13%, 4.56%, 45.09% and 30.71% respectively in a heterogeneous mobile edge-cloud environment with real-world workloads.

In some application scenarios, tasks in the same workflow DAG use the same input files for processing. Thus, allocating such tasks on the same host could help reduce data transfer overheads. In the future, we wish to extend...
the proposed model to also consider data transfer overheads when scheduling workflows. We also propose to tune the MCDS approach for more recent IoT-based workloads that are based on augmented reality, video processing and Big Data analytics applications.

SOFTWARE AVAILABILITY

The code is available at https://github.com/imperial-gore/MCDS. The Docker images used in the experiments are available at https://hub.docker.com/u/shreshthtuli

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