CO₂ Mitigation Potentials of Microalgae: Its Expansion to a New Dimension for Closing the Loop of Carbon Between Source and Acquisition Through Food Chain of Phytophagous Fish in Open Ponds

B B Jana*

International Centre for Ecological Engineering, Centre for Human Resource Development (KSI), University of Kalyani, India

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*Corresponding author: B B Jana, International Centre for Ecological Engineering, University of Kalyani, Kalyani- 741235, West Bengal, India

Abstract

The burning issue of global warming and climate change has become a major worldwide concern of the day. Though numerous efforts are being made for mitigation of carbon, use of microalgae for capturing carbon in water bodies appear to be more attractive and promising because of their much higher bicarbonate driven carbon capture efficiency in water than atmospheric CO₂ capture performance by terrestrial plants. Microalgae have been effectively used for biofuel and biochar production through biotechnological approach, the less explored area is its use in closing the loop of carbon cycle by trapping atmospheric carbon mediated through CO₂ - CO₃⁻ - HCO₃⁻ system mechanism and production of nutrient regulated selective algae used for food for herbivorous fishes in open ponds. This is a win-win strategy towards carbon mitigation and low carbon footprint fish production in water bodies.

Keywords: Chlorella growth, aeration, C-sequestration, compressed CO₂ open ponds

Introduction

Unbalanced emissions of atmospheric CO₂ causing global warming and climate change pose major threat to sustainability.

Three major strategies that can reduce the carbon pool in the atmosphere below threshold level include:

a. reductions in CO₂ emissions in the global atmosphere.

b. carbon capture for long-term storage using oceanic, geological, and chemical processes.

c. exploring alternative energy source for carbon-based fuel. Though oceanic, geological and chemical transformation methods are the major sources of carbon capture, biological sequestration especially using smart agriculture and aquaculture appear to be more attractive and promising because of ease, cost effective, and negative environmental impacts.

Despite microalgae have been extensively researched for biofuel or biochar production through biotechnological interventions [1, 2, 3]; the less explored area is its use in closing the loop of carbon between capture and smart carbon resilient aquaculture of herbivorous fishes in open ponds. This is a win-win strategy towards carbon mitigation and production of low carbon footprint fish especially in tropical countries. The purpose of the study is to overview the role of microalgae in global warming mitigation and to focus on closing the loop in carbon cycle by exploiting the trapped carbon through food chain of low carbon footprint fish in open ponds.

Microalgal photosynthesis

Microalgae have chlorophyll a though their several modifications have occurred through evolution in higher plants. Chlorophylls are made up of lipid-soluble hydrocarbon tail (C₂₀H₃₉), a hydrophilic head and a magnesium ion at the center. Photosynthesis is a process where conversion of photon energy into chemical energy occurs to form glucose and water and oxygen is released (Figure 1) as byproduct. Though visible spectrum is the source of energy, the maximum contributions are from the violet-blue, red-orange- red wavelengths of the spectrum. The photovoltaic is the first stage of photosynthesis when absorption of energy from a photon takes place in raising a molecule from its ground state or chromophore. As a result, water molecules are split off and transfer of energy to ATP and reduced NADP (nicotinamide adenine dinucleotide phosphate) are produced.

The chloroplast contained in the chlorophyll of microalgae, similar to other plants, is the site of photosynthetic reactions carried out in two separate steps of reactions - the biophysical and biochemical (Figure 1). The biophysical reactions take place in the thylakoid discs of the chloroplasts and water is oxidized,
Advantage of carbon sequestration by microalgae in wetlands

The role of wetlands with a hot spot of green biodiversity has generally been underestimated despite their immense carbon capture and storage capacity potentials [4, 5, 6, 7]. Wetlands contain about 35 per cent of global terrestrial carbon though they comprise only 6 - 9% of the Earth’s surface. The carbon flux in water bodies depends on surface area, water concentrations and gas transfer velocity. However, the functional roles of pond metabolism finally determine the nature of pond soil to act as a sink or source of carbon. The net sinks for carbon in different wetlands have been estimated to be at rates up to 3 g C m-2 d-1 [8, 9, 10]. Sediments of aquaculture ponds are again very important in the carbon sequestration. Approx. 16.6 million tons of carbon is annually buried in aquaculture ponds of the world [11] and maximum sequestration occurs in Asia and particularly in China [12]. In other words, carbon sequestration by aquaculture ponds is about 0.21% of the annual global emissions. However, under unmanaged anaerobic conditions, wetlands can also emit greenhouse gases such as methane, nitrous oxide and hydrogen sulphide though this is limited in saline conditions or swampy areas. Further, the clearing or drainage of wetlands or aquaculture ponds can lead to large losses of stored organic carbon to atmospheric carbon dioxide. Notwithstanding the manifold positive impacts of wetlands outweighed towards beneficial environmental impacts and led to argue that wetland should not be destroyed or restored because of GHG emissions under limited conditions [13].

A major advantage of microalgae in aquatic environment is the 100% harvest of the production, and the carbon fixing rates of microalgae are much higher than in terrestrial plants. It is known that 1.6 and 2 grams of captured CO$_2$ is used for every gram algal biomass [14]. As CO$_2$ is present in atmosphere in concentration lower than nitrogen (N$_2$) and oxygen (O$_2$), this has...
created a thermodynamic barrier in CO$_2$ capture. Nevertheless, high coefficient solubility of CO$_2$ makes it far more soluble than atmospheric oxygen and nitrogen [15]. Carbon dioxide reacts with water; the carbon atom of CO$_2$ is electron poor with an oxidation state of IV. The electron rich oxygen of water donates an electron pair to the carbon. After proton transfer from water to oxygen of the CO$_2$ unit, carbonic acid is formed and then into bicarbonate and hydrogen, resulting in lowering of the pH - a favorable condition when CO$_2$ gets dissolved in water.

### Carbon capture potentials of microalgae

One of the excellent abilities of aquatic cyanobacteria and eukaryotic algae is to use all forms of dissolved inorganic carbon (free CO$_2$ - CO$_3^-$ - HCO$_3^-$) at different pH conditions in aquatic environment. The CO$_2$ - CO$_3^-$ - HCO$_3^-$ system acts as a buffer as well as a source of carbon for the photosynthetic microalgae at different pH conditions [16]. Among the three-chemical species of carbon in water, bicarbonate (HCO$_3^-$) is most dominant (> 50%) at pH between 6.4 and 10.3, whereas carbonic acid (H$_2$CO$_3$) and carbonate (CO$_3^2-$) are dominant at pH < 6.4 and > 8.3, respectively [17, 18].

The microalgae are able to capture as high as 90% of carbon dioxide or bicarbonate in open ponds though the ability varies among species. Scenedesmus was better able to tolerate very high CO$_2$ concentrations than Chlorella though both algae had about the same growth rate when the CO2 concentration remained in the range of 10 - 30%. Strains of microalgae that are able to tolerate about 20% CO$_2$ (Scenedesmus, Chlorococum and Ankistrodesmus) formed several separate branches suggesting that certain groups or genotypes of algae tend to perform better under high CO$_2$ level compared with other algal groups [19, 20]. demonstrated that Scenedesmus and Chlorella had much higher growth rates than the other three strains tested. The two algae again had very similar light response curves. Scenedesmus was completely inhibited by 100% CO$_2$. A microalgal consortium consisting of Chlorella sp., Scenedesmus sp., Sphaerocystis sp. and Spirulina sp. procured from wastewater ponds revealed quite high CO$_2$ sequestration (53- 100%; 150 - 291 mg/g) [21]. While examining the growth of Chlorella under controlled laboratory culture medium (Hi Media) using aerated exogenously introduced compressed CO2 and control revealed no marked growth difference among the conditions, showing the peak between 166 - 218 hours of culture (Figure 3).
Comparing the growth responses of *Chlorella* sp. to different pH (4 - 11) of the algal culture medium revealed *Chlorella* growth higher between pH 9 and 11, whereas growth was retarded at pH 7 and hardly growth occurred at pH 4 - 6 (Figure 4). Though the growth of several algal species have been reported to be in the range of 7.5 - 8.5 [22, 23], *Chlorella* sp. in the present study and some other algae (*Rhodobacter sphaeroides*, *Chlorella sorokiniana* and *Spirulina platensis*) as reported by [24] were able to grow well at higher pH, where bicarbonate was the major source of carbon to microalgae ([ICAR- NICRA Report, 2014](#)). Among three culture media, growth was maximum in the aerated medium where the concentration of nitrate-N was highest and that of phosphate-P was the lowest or the nitrate-N was almost eight times higher than phosphate-P (Table 1).

**Table 1: N: P ratio in algae culture media under different conditions.**

| Algae media - Control | Algae media – Aerated (T-1) | Algae media – liquid CO₂ introduce (T-2) |
|-----------------------|-----------------------------|----------------------------------------|
| Phosphate-P | 2.79 | 1.58 | 3.18 |
| Nitrite-N | 0.491 | 0.587 | 0.434 |
| Nitrate-N | 11.206 | 11.162 | 9.875 |
| NO₃⁻:PO₄³⁻ | 4.02 | 7.06 | 3.1 |

The next ex situ experiment performed to examine the growth of microalgae at different N/P ratios (5, 20, 40, 50, 60, 70, 80, 90, 100) using urea and single super phosphate as sources of N and P revealed maximal growth at N/P ratio of 60 or 70 on day 7 of culture ([NICRA - IAC Report, 2014](#)). This implied that this optimal nutrient condition can be maintained in open ponds by manipulating pond fertilization schedule for selection of right algae that would capture atmospheric carbon and would serve as natural food for herbivorous fishes regarded as low carbon footprint commercially important aquatic animal. It is also known that carbon-dioxide capture efficiencies as high as 80 to 99% are achievable under optimal conditions and with gas residence times as short as two seconds [25].

**Biotechnological interventions**

Biotechnology of algae has received much impetus since new species of microalgae that are being invented are capable of tolerate extremely high CO₂ levels (40 - 100 vol%) and raised temperatures. Some of the mechanisms that regulate the cellular pH homeostasis of microalgae are: the photosynthetic apparatus state transitions that increase ATP generation, upregulation of H (+) -ATPases pumping protons out of the cell, rapid shutdown of CO₂-concentrating mechanisms, and adjustment of membranes’ fatty acid composition [2].

**The mechanism of carbon capture**

There are different pathways of CO₂ fixation in the photosynthesis of C₃, C₄ and CAM phototrophs. The first reaction is light dependent where protein rich chlorophyll pigments are held in chloroplast. The second reaction or the dark reaction or Blackman’s reaction is slower than light reaction and takes place in the stroma of chloroplast that embedded in the plasma membrane of photoautotrophs. The black reaction occurs also in the presence of light.

There are two cyclic reactions in dark reaction - Calvin cycle or C3 cycle and Hatch and Slack pathway or C4 cycle.

The Calvin cycle or C3 cycle, first observed by Calvin in unicellular green alga *Chlorella*, is a cyclic reaction occurring in the dark phase of photosynthesis when energy poor CO₂ is converted into energy rich sugars during the process of carbon fixation or assimilation. The reactions of Calvin’s cycle occur in three phases: Carboxylative phase, Reductive phase and Regenerative phase.

**The role of rubisco**

RuBisCO (Ribulose-1,5-bisphosphate carboxylase/oxygenase) is an enzyme and is involved in the first step of carbon fixation when carbon dioxide is converted to glucose. In this pathway, carboxylation of ribulose bis-phosphate (RuBP) occurs in presence of RuBisCO producing phosphoglycerate (PGA). The RuBisCO enzyme is capable of utilizing both CO₂ and O₂ leading to carboxylation in presence of CO₂ and oxygenation of RuBP in presence of oxygen, respectively (Figure 5). In presence of high concentration of O₂, is high and low level of CO₂, RuBisCO acts as an oxygenase and further catalyzes the photorespiration reaction resulting in reduced carbon fixation due to production of 2-carbon molecule phosphoglycolate [1].
In aquatic environment, algae capture carbon is pumped into the cell by bicarbonate transporters present in both the plasma membrane and in the chloroplast envelope of eukaryotic algae [26]. Inside the chloroplast, bicarbonate is concentrated, dehydrated spontaneously or by carbonic anhydrase through Calvin-cycle activity, finally yielding algal biomass. Pyrenoids of chloroplast which are analogous to cyanobacterial carboxysomes [27] played a great role the inhibition of photorespiration process thus aiding in increasing carboxylase activity of Rubisco helping in carbon fixation [1]. Although the structural configurations of pyrenoids may vary with species of algae, but in all cases, pyrenoids are present within the chloroplast embedded in plasma membrane of photoautotrophs, where Rubisco molecules are found and help in concentrating \( \text{CO}_2 \) around Rubisco [1].

This loss of carbon reduces the Calvin cycle ability to regenerate the five-carbon sugar substrate ribulose bisphosphate which is essential for \( \text{CO}_2 \) fixation by Rubisco. The process of photorespiration in the presence of light reduces photosynthetic carbon fixation efficiency by 20% to 30% [28]. For reducing the competitive inhibition of oxygen on carbon fixation by rubisco, microalgae actively pump sufficient bicarbonate from water phase into cells and to increase the internal \( \text{CO}_2 \) concentrations to levels above those possible by equilibrium with air, and thus competitively inhibit photorespiration [29]. Other beneficial uses of algae are biochar and biofuel production.

**Biochar**

Other important strategies for carbon sink involve the formation of biochar which is geologically a stable form of carbon and can persist in soils for millions of years [30]. Biochar contains more than 90% carbon and is a by-product of pyrolysis at high temperatures in the presence of catalysts under anaerobic conditions [31].

**Biofuel production**

One of the important uses of microalgae is the production of fuel where high lipid content of microalgal biomass can be extracted and trasnsestified into fuel. Many researchers worldwide are involved in this emerging field of innovative and cutting-edge research to explore the best strains of algae and developing the most efficient farming practices under different environmental conditions for the production of fuel [22,32].

**Conclusion**

Because of the excellent ability of microalgae to pump nongaseous bicarbonate into cell biomass in aquatic environment, microalgal culture is one of the lucrative tools for mitigation of global warming through trapping of atmospheric \( \text{CO}_2 \) into the biomass of microalgae that served as natural food for zooplankton and herbivorous fishes. Selective microalgae can be grown by manipulation the pond fertilization schedule. This would help to grow selective microalgae which would capture atmospheric carbon and serve as food source for low carbon foot print fishes. Therefore, these optimum conditions can be applied for high growth of Chlorella in open ponds.

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