Obsessive-compulsive and posttraumatic stress symptoms among civilian survivors of war

Naser Morina††, Vita Sulaj†, Ulrich Schnyder†, Richard Klaghofer†, Julia Müller§, Chantal Martin-Sölch∥ and Michael Rufer†

Abstract

Background: Several psychological sequelae have been identified in civilian war survivors. However, little is known about the prevalence of obsessive-compulsive symptoms and their relationship to trauma in this population.

Method: Fifty-one adult civilian survivors of the Kosovo War (28 males) who had immigrated to Switzerland completed the Revised Obsessive-Compulsive Inventory Scale, the Posttraumatic Stress Diagnostic Scale and the Hopkins Symptom Checklist. Data were analysed using multiple regression analyses.

Results: Overall, 35 and 39 % of the sample scored above the cut-offs for likely obsessive-compulsive disorder and posttraumatic stress disorder, respectively. Participants with high levels of posttraumatic stress symptoms were significantly more likely to have obsessive-compulsive symptoms, and vice versa. In multiple regression analysis, gender and severity of posttraumatic stress symptoms were predictors of obsessive-compulsive symptoms, whereas number of traumatic life event types and depressive symptoms were not.

Conclusion: Given the small sample size, the results of this study need to be interpreted cautiously. Nevertheless, a surprisingly high number of participants in our study suffered from both obsessive-compulsive and posttraumatic stress symptoms, with obsessive-compulsive symptoms tending to be more pronounced in women. It remains, therefore, critical to specifically assess both obsessive-compulsive and posttraumatic stress symptoms in civilian war survivors, and to provide persons afflicted with appropriate mental health care.

Keywords: Obsessive-compulsive symptoms, Trauma, Post-traumatic stress, Civilian war survivors, Refugee

Background

In recent years, increasing attention has been given to the long-term psychological consequences of war-related trauma in civilian populations, e.g. survivors of the Balkan wars [10, 29, 35, 43]. Studies performed in Bosnia [1, 22], Croatia [44, 45], Serbia [39, 44] and Kosovo [11, 36, 39, 48] indicate current rates of 57 % for depression and 13–36 % for posttraumatic stress disorder (PTSD) in the civilian population. Moreover, up to 75 % of people with current PTSD have been reported to have at least one comorbid psychiatric disorder [1].

Beyond that, the relationship between war-related experiences and the occurrence of other mental health disorders such as obsessive-compulsive disorder (OCD) in civilians has begun to receive attention. Studies and case reports of civilians and veterans indicate that elevated posttraumatic stress and obsessive-compulsive symptom levels frequently co-occur in individuals with a history of traumatic exposure [26] and that trauma may play a major role in the development of OCD [7]. The prevalence of OCD has been reported to be up to 47 % in people with PTSD, compared to approximately 1 % in the general population [23, 26, 38]. On the other hand, up to 54 % of individuals with OCD reported having experienced at least one traumatic life event [6, 17] and
indicated higher levels of posttraumatic stress symptoms [15, 25, 50]. In contrast, Grabe et al. [21] compared 210 OCD patients with 133 gender- and age-matched controls in a German multi-centre study, and they identified a higher lifetime rate of past severe traumatization in controls than in OCD patients. The investigators also reported low rates of trauma-related disorders either before or within the same year as OCD onset, at 2.9 and 1.5 %, respectively.

OCD and PTSD share several common elements of clinical symptomatology, with the occurrence of intrusive images or thoughts being common in both conditions. Whereas OCD patients tend to experience intrusive images of distressing events that may befall themselves or others, PTSD patients typically experience recurrent memories of their traumatic experience. Both disorders tend to result in responses to the distress, including avoidance behaviours or rituals [2, 47]. Various authors have described a “posttraumatic obsessive-compulsive disorder” [15, 16, 42, 47] in which the onset of OCD is clearly associated with the experience of a potentially traumatic event. Furthermore, studies indicate that patients with comorbid OCD and PTSD may be less responsive to psychotherapy than OCD patients without PTSD [18, 19].

Based on these studies, it could be hypothesized that war-related posttraumatic OCD can lead to high levels of mental health problems among civilian survivors of war. Therefore, there is a need to understand the relationship between both disorders, particularly as targeted therapy could lead to a lessening and eventually a decrease of the obsessive-compulsive symptoms [40]. A large representative study performed in five Balkan countries five to 15 years after the war in the Balkans estimated the prevalence of OCD among civilian post war survivors as between 0.2 and 6.1 % [43]. In a study of bereaved and non-bereaved war survivors in Kosovo, Morina et al. [37] identified OCD rates of 3.4 and 0.6 %, respectively. However, to our knowledge, there are no published studies that have specifically assessed obsessive-compulsive symptoms among survivors of war after migration to a new host country. Furthermore, research suggests that mental health problems in refugees and migrants persist for many years after their first occurrence [49] and that comorbidity presents a therapeutic challenge. Therefore, the main objectives of this study were to assess the severity and characteristics of obsessive-compulsive symptoms among survivors of the 1998–1999 Kosovo War living in Switzerland and to identify possible associations between obsessive-compulsive and posttraumatic stress symptoms. Finally, as PTSD has been reported to be strongly associated with the severity of depressive symptoms, we will additionally test whether PTSD or depressive symptoms alone or in interaction with each other account for OCD symptoms.

**Methods**

**Participants recruitment**

Data were collected between October 2010 and October 2011. To be eligible for participation, individuals had to have emigrated from Kosovo to Switzerland during or after the Kosovo War, which ended in 1999. All participants were adults who spoke fluent Albanian and were either (1) respondents to an advertisement published in an Albanian-language newspaper distributed in Switzerland; (2) parents of students at an Albanian-speaking school for Kosovar immigrants; (3) respondents to a website for Kosovar immigrants; or (4) patients of an Albanian-speaking physician. The study was announced as follows: “War can lead to mental and physical health problems. To investigate the war-related consequences of the Kosovo War we are conducting a study at our outpatient clinic. If you have immigrated during or after the War, please e-mail or call us. A study member will contact you for further details.”

Exclusion criteria were as follows: age below than 18 years old; lack of war-time experience; immigration before the Kosovo War; and possession of significant military rank (i.e., non-civilian). All participants provided written informed consent prior to study participation in accord with the Declaration of Helsinki. The study protocol was approved by the ethics committee of the canton of Zurich, Switzerland.

**Data collection**

Data were collected within the context of a cross-sectional survey. All interviews were conducted at the participants’ home by a clinical psychologist fluent in both Albanian and German, and interviews were conducted in either language, according to the preference of the participant. The instruments were translated into Albanian by a bilingual mental health professional and then back-translated into the source language following gold-standard translation practices [3]. Both copies were sent to a native Albanian-speaking psychiatrist who compared the translations and recommended revisions where necessary. The feasibility and comprehensibility of the measures were checked in a pilot phase and found to be acceptable. Interview time generally ranged from 60 to 90 min, and participants were reimbursed CHF 30 (approximately US$ 30) for participation. Demographic data, information on each participant’s war-time experiences, and date of immigration to Switzerland were collected to verify eligibility.

Fifty-one participants were included in the study. Of these, 28 (54.9 %) were male, and the mean age was 43.0 (SD = 5.4) years. The average time since emigration from Kosovo to Switzerland was 11.3 (SD = 1.4) years. Nineteen of 51 (37.3 %) were working full-time at the time of assessment, and an equal number were unemployed.
The remaining thirteen (25.5 %) were working part-time. Further demographic information is presented in Table 1.

**Obsessive-compulsive symptoms**

Obsessive-Compulsive Symptoms were assessed using the revised version of the Obsessive-Compulsive Inventory (OCI-R) scale [14]. The 18-item questionnaire asked three questions in each of six OCD domains: washing, checking, obsessions, mental neutralizing, ordering, and hoarding. For each item, individuals were asked to rate their degree of distress with a particular behaviour, using the following five response options: 1 = not at all; 2 = a little; 3 = moderately; 4 = a lot; 5 = extremely. For each of the six domains, scores ranged from a minimum of 3 points to 15 points. A summation score of the six domains was also calculated (range: 18–90). A total score of 42 or more indicated the presence of clinically significant OCD symptoms [14]. This scale has been used in culturally diverse contexts [20, 54]. Cronbach’s alpha for the present study was .92.

**Potentially traumatic events and posttraumatic stress symptoms**

The *Posttraumatic Diagnostic Scale (PDS)* [13] is a 49-item self-report questionnaire, designed for adults, that measures the presence and severity of PTSD on the basis of the DSM-IV criteria. Part I is a trauma checklist that inquired about several types of traumatic events. For the purposes of this study, the PDS checklist was modified by adding traumatic event types from the Harvard Trauma Questionnaire that frequently occur in refugees [32], resulting in a total of 23 items measuring traumatic life event types (i.e. brainwashing, torture, being close to death), each rated as either experienced, witnessed, both, or neither. Part III assessed 17 potential PTSD symptoms experienced in the month prior to assessment not related to a specific event. Respondents were asked to rate the severity of each symptom from 0 (not at all/only once) to 3 (5 or more times a week/almost always). The PDS yielded a total severity score (ranging from 0 to 51) that largely reflected the frequency of the 17 symptoms of PTSD. From this summation score, a categorical diagnosis of PTSD was made using a pre-set, published algorithm that follows the DSM-IV diagnostic criteria for PTSD [12]. This scale evidenced excellent internal consistency (α = .98).

**Depression**

The second section of the Hopkins Symptom Checklist, HSCL-25, [34] consisting of 15 items, was used to measure depression symptoms. The HSCL-25 has been translated into many languages and used in different cultural contexts [33, 52]. The items are rated on a 4-point Likert scale summing up to a score for the average, ranging from 1 to 4 [34]. The cut-off score is set at 1.75 points and was evaluated in relation to different diagnostic psychiatric interviews. It has been found to be a reliable measure of the presence of a depressive disorder [33]. Internal consistency for the scale in the present study was .97.

**Data analysis**

Rates of OCD, PTSD and depression were calculated according to the cut-offs for each instrument (OCI-R, PDS and HSCL). Pearson’s correlation coefficients were calculated to identify associations between individual symptoms. Multiple linear regression (using the enter method) was utilized to examine the relationship between obsessive-compulsive symptoms as the dependent variable and gender, traumatic life event types (TLE), PTSD severity and depression as independent variables. Assumptions for regression analyses were checked in terms of linearity of the relationships between OCD and independent variables, autocorrelation of residuals (Durbin-Watson-test = 2.430), and homoscedasticity and normal distribution of residuals, and all were found to be satisfactory. Furthermore, we found no multicollinearity between independent variables (all VIF < 9.7). The results are reported in terms of b (SE(b)), Beta.
(95 % CI) and adjusted $R$-squared. To examine whether
gender was a moderator in the relationship between OCD
and symptoms of PTSD, the interaction between gender
and PTSD severity was included in the model. A moder-
ator analysis showed no significant interaction ($p = .568$),
i.e., gender was not a significant moderator. All analyses
were conducted using IBM SPSS Statistics Version 21
software (SPSS Inc., Chicago, IL, USA).

**Results**

**Lifetime rates of traumatic events and elevated levels of psychopathological symptoms**

Participants reported having experienced and/or
witnessed a mean of 10.3 different types of potentially
traumatic events (PTE) during their lifetimes (SD = 4.9).
Most frequently reported included “lack of shelter”
(98 %), “combat situations” (96.1 %), “lack of food and
water” (94.1 %), and “ill health without access to medical
care” (58.8 %). The least frequently reported PTEs in-
cluded sexual violence (Table 2). Both obsessive-
compulsive and posttraumatic stress symptoms were
common, with 18 of 51 (35 %) scoring above the cut-off
for OCD and 20 of 51 (39 %) scoring above the cut-off
for PTSD. Among the six core OCD symptoms in the
overall sample, compulsive washing was the most com-
mon and the most severe (M = 6.24, SD = 2.80), followed
by obsessions (M = 6.12, SD = 3.96). Men and women dif-
fered in the rank order of their symptoms, their overall
OCD score ($p = .024$), and their OCD sub-scores, with
women rating their obsessive-compulsive distress higher.
Overall, almost half of 23 women (47 %) scored above the
cut-off for OCD versus one in four men (7 out of 28,
25 %; $p = .08$). Men and women did not significantly differ
in terms of the number of experienced traumatic life event
types ($p = .58$), their overall scores, or the percentage
scoring above the cut-off for PTSD ($p = .603$) or depres-
sion symptoms ($p = .138$). A significant negative cor-
relation was found between education and all mental health
symptoms, and occupation and mental health symptoms,
respectively (Table 3).

**Table 2** Potentially traumatic events as experienced or
witnessed (lifetime; $N = 51$)

| Event                                           | N (%)       |
|-------------------------------------------------|-------------|
| Lack of shelter                                 | 50 (98.0)   |
| Combat situation                                | 49 (96.12)  |
| Lack of food or water                           | 48 (94.1)   |
| Ill health without access to medical care        | 30 (58.8)   |
| Forced separation from family member            | 26 (51.0)   |
| Murder of a family member or friend              | 21 (41.2)   |
| Unnatural death of a family member or friend     | 19 (37.3)   |
| Murder of one or more strangers                 | 16 (31.4)   |
| Serious physical injury                         | 15 (29.4)   |
| Imprisonment                                    | 13 (25.5)   |
| Enforced isolation from others                  | 12 (23.5)   |
| Being close to death                            | 11 (21.6)   |
| Life-threatening illness                        | 8 (15.7)    |
| Torture                                         | 7 (13.7)    |
| Natural disaster                                | 6 (11.8)    |
| Serious accident, fire or explosion             | 5 (9.8)     |
| Brainwashing                                    | 2 (3.9)     |
| Non-sexual assault by a stranger                 | 1 (2.0)     |
| Non-sexual assault by family member or someone known | 1 (2.0) |
| Sexual contact when you were younger than 18 with someone who was 5 or more years older than you | 1 (2.0) |
| Sexual assault by a family member or someone you know | 0 (0.0) |
| Sexual assault by a stranger                     | 0 (0.0)     |
| Disappearance or kidnapping                     | 0 (0.0)     |

**Associations between obsessive-compulsive and posttrau-
matic stress symptoms**

A strong correlation ($r = .834; p < .001$) was found be-
tween obsessive-compulsive and posttraumatic stress
symptoms. Sixteen of 20 participants with elevated levels
of PTSD symptoms (80 %) also met cut-off criteria for
likely OCD, and 16 of 18 with elevated levels of OCD
symptoms (89 %) also met cut-off criteria for PTSD. This
significant association persisted when correlation analysis
was performed on the different OCD sub-scores and the
PTSD core symptoms, with moderate to strong positive
correlations, as shown in Table 3. With regard to the rela-
tionship between depressive and obsessive-compulsive
symptoms, similar associations (OCD in depression =
94 %; depression in OCD = 74 %; $r = .842; p < .001$) were
found. However, no significant correlations were identified
between the number of traumatic life event types and any
OCD symptoms. Multiple regression analysis (Table 4) re-
vealed that obsessive-compulsive symptoms were mainly
explained by gender and PTSD severity, but not by traum-
atic life event types and depression. The final model
accounted for 76 % of the variance in obsessive-
compulsive symptoms.

**Discussion and Conclusions**

In the present study, we examined obsessive-compulsive
symptoms and their associations with posttraumatic stress
and depression in civilian survivors of war living outside
their country of origin. To our knowledge, this is the first
study that assessed the relationship of obsessive-
compulsive symptoms and traumatic experiences in civil-
ian war survivors a decade after the war had ended. High
levels of obsessive-compulsive symptoms were found,
affecting roughly one in three in our sample, with signifi-
cantly higher levels in women. Our main finding was a
strong association between OCD and PTSD symptoms, with more than 80 % of the individuals reporting symptoms of one disorder also displaying symptoms of the other. In multiple regression analysis, obsessive-compulsive symptoms were mainly related to gender and PTSD severity, but not to potentially traumatic events and depression.

Our results confirm several previously published studies that have identified significant associations between OCD and both traumatic exposure and PTSD [25, 26], as well as between obsessive-compulsive symptoms and childhood trauma [27, 30]. Our results are similar to Grabe et al.’s [21] study, which did not find any significant associations between trauma and OCD. However, in contrast to the Grabe et al. study, our sample was characterized by a high number of self-reported potentially traumatic events. This might be one explanation for the different findings. Furthermore, our population was recruited from a community sample, as opposed to a psychiatric or a clinical sample.

Several explanations could account for the frequent co-occurrence of obsessive-compulsive and posttraumatic stress symptoms (see review; [8]). Cognitive models of PTSD posit that negative appraisals lead to the exaggerated interpretation of stimuli as threatening, which results in elevated distress [9]. Following war, affected civilians may develop cognitive patterns involving concerns about safety, contamination, or the need to hoard, which may in turn lead to compulsive rituals to reduce arousal [46]. In the wake of war in particular, it is possible that threats to one’s safety may trigger specific OCD-related concerns. For example, people may

| Variable | B   | (SE B) | β    | (95 % CI) | t    |
|----------|-----|--------|------|-----------|------|
| Sex      | 5.027 | (2.439) | .192* | (0.01; 0.38) | 2.061 |
| Education| -.013 | (.314)  | -.004 | (-.2; 0.20) | -.041 |
| Occupation| -.1862 | (1.356) | -.112 | (-.27; 0.05) | -.1373 |
| TLE      | -.112 | (.222)  | -.042 | (-.21; 0.12) | -.507 |
| PTSD severity| .534 | (2.18)  | .533* | (0.11; 0.96) | 2.446 |
| Depression| 4.204 | (3.112) | .275 | (-.12; 0.68) | 1.351 |

Note: *p < 0.05; TLE traumatic life event types (experienced and/or witnessed)
develop excessive checking rituals to maintain their sense of safety. Alternatively, those exposed to sexual violence or body dismemberment may develop concerns regarding contamination. Further, Gershuny and colleagues [19] postulate that OCD and PTSD are two disorders on the same continuum. Thus, the authors suggest that there is a substantial overlap between the symptomatologies of OCD and PTSD, meaning that both disorders are characterized by anxiety-provoking recurrent and intrusive thoughts. There is also evidence of the link between trauma experience and OCD being moderated by genetic factors that are similarly implicated in PTSD development, raising the possibility of shared genetic risk factors for developing both conditions following trauma [24].

Of note, women reported more obsessive-compulsive symptoms. Men and women have the disorder with equal frequency in epidemiological studies [53]. Despite this apparent similarity, there is evidence that gender plays a relevant role in OCD phenotypic expression [31]. Considering that we studied obsessive-compulsive symptoms in a sample who fled significant trauma experienced during years of war, it is possible that exposure or response to traumatic events occurred differentially across genders in this sample. Further, we note that admission of OCD symptoms is sometimes hindered by embarrassment about the nature of the images or impulses experienced, and this may have particularly impacted responses from female participants.

The high percentage of individuals scoring above the cut-off for probable PTSD (39 %) and clinically relevant depression (45 %) should also be noted. This finding is remarkable because this was not a treatment-seeking sample, and participants were interviewed more than ten years after the war while living in a safe context. We note, however, that there is good evidence that the rate of psychiatric disorders in refugee groups tends to be elevated in small samples [51]. Accordingly, it is possible that a study with a larger and more representative sample of Kosovar war survivors living in Switzerland would yield lower psychopathology rates. Having said that, the literature suggests that after exposure to a traumatic event, the co-occurrence of PTSD and other disorders is almost the rule and not an exception [4]. The high correlation between post-traumatic stress, obsessive-compulsive symptoms and clinically relevant depression in the current sample can be understood under this perspective.

However, we found no gender differences regarding exposure to potentially traumatic events and probable PTSD in the current sample, which is in contrast to the epidemiological literature, but has been shown in samples of military personnel [41]. This somewhat surprising finding may be explained by comparable trauma exposure in men and women during and after the war. A further result to be noted is the high negative correlation between occupation and mental health symptoms and education and mental health symptoms, respectively. Those two factors seem to be as protective factors and are in line with literature for obsessive-compulsive symptoms [5], posttraumatic stress symptoms [55] and depression [28].

Several limitations suggest that these preliminary results should be interpreted with caution. First, given the small sample size we cannot make any firm statements with regard to the representativity of our sample. Also, we cannot rule out the possibility that respondents to the various recruitment efforts had a vested interest in the study. In other words, the high levels of likely OCD, probable PTSD and clinically relevant depression might have been influenced by the sampling process. Second, our sample was restricted to those who had left Kosovo to immigrate to Switzerland, a group that may be psychologically and demographically different from those who chose to remain in Kosovo; a study of comparable data in a parallelized sample of participants still living in Kosovo would be of interest. Third, given the cross-sectional design of our study, we cannot make any inferences regarding the sequence of OCD, PTSD, or depression symptom onset in relation to each other. Relatedly, this study did not assess the extent to which the symptoms existed before the war. Fourth, while we used measures that have been validated across cultural groups, they have not been validated in Albanian-speaking samples. Further, we did not conduct clinical interviews, and consequently the extent to which self-reported symptom levels of OCD, PTSD and depression would correspond to clinical diagnoses is unclear. Finally, the fact that symptoms of OCD, PTSD and depression correlated highly in the current sample might suggest that participants were suffering from an underlying general distress that led to an over-endorsement of all psychiatric symptoms.

Taken together, our results suggest that the screening of war-related traumatized populations should not be restricted to and focused on PTSD symptoms, but should also take into account other mental disorders or psychopathological symptoms, such as obsessive-compulsive and depressive symptoms. As symptomatology of PTSD-related intrusions is in some ways similar to obsessive images and thoughts and depressive ruminations, differential diagnosis of OCD, PTSD and depression should be considered. Further investigations with respect to the role of traumatic experiences, such as war, in OCD aetiology are needed because post-traumatic OCD may be seen as a specific subtype, which has clinical implications. In consequence it would be important to provide specific support and treatment aimed at reducing long-term posttraumatic
psychosocial disability, including obsessive-compulsive symptoms.

Ethics approval and consent to participate
The study protocol was approved by the ethics committee of the canton of Zurich, Switzerland (Project Nr. E-18/2009). All participants provided written informed consent prior to study participation.

Consent for publication
Not applicable.

Availability of data and materials
Data will be shared upon request.

Competing interests
The authors declare that they have no competing interests.

Authors’ contributions
NM was involved in the conception and design of the study, the acquisition, analysis and interpretation of the data, and the drafting of the manuscript. He is accountable for all aspects of the work. VS was involved in the design of the study, in the analysis and interpretation of the data and the drafting of the manuscript. JM was involved in the conception and design of the study, the acquisition, analysis and interpretation of the data, and the drafting of the manuscript. CN was involved in the analysis and interpretation of the data and the drafting of the manuscript. MR was involved in the design of the study, analysis and interpretation of the data, and the drafting of the manuscript. NM and VS contributed equally to this manuscript. All authors read and approved the final manuscript.

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Author details
1 Department of Psychiatry and Psychotherapy, University Hospital Zurich, University of Zurich, Kulmannstrasse 8, 8091 Zürich, Switzerland. 2 Psychiatric Hospital Königsfelden, Brugg, Switzerland. 3 Psychiatric Services Thurgau, Münsterlingen, Switzerland. 4 Division of Clinical and Health Psychology, Department of Psychology, University of Fribourg, Fribourg, Switzerland.

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