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Original article

Covid-19 severe hypoxemic pneumonia: A clinical experience using high-flow nasal oxygen therapy as first-line management

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ABSTRACT

Purpose. – To report a French experience in patients admitted to Intensive Care Unit (ICU) for severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) requiring high fractional concentration of inspired oxygen supported by high flow nasal cannula (HFNC) as first-line therapy.

Methods. – Retrospective cohort study conducted in two ICUs of a French university hospital. All consecutive patients admitted during 28-days after the first admission for SARS-CoV-2 pneumonia were screened. Demographic, clinical, respiratory support, specific therapeutics, ICU length-of-stay and survival data were collected.

Results. – Data of 43 patients were analyzed: mainly men (72%), median age 61 (51–69) years, median body mass index of 28 (25–31) kg/m², median simplified acute physiology score (SAPS II) of 29 (22–37) and median PaO2/Fraction of inspired oxygen (FiO2) (P/F) ratio of 146 (100–180) mmHg. HFNC was initiated at ICU admission in 76% of patients. Median flow was 50 (45–50) L/min and median FiO2 was 0.6 (0.5–0.8). 79% of patients presented at least one comorbidity, mainly hypertension (58%). At day (D) 28, 32% of patients required invasive mechanical ventilation, 3 patients died in ICU. Risk factors for intubation were diabetes (10% vs. 43%, P=0.04) and extensive lesions on chest computed tomography (CT) (P=0.023). Patients with more than 25% of lesions on chest CT were more frequently intubated during ICU stay (P=0.011). At ICU admission (D1), patients with higher SAPS II and Sequential Organ Failure Assessment (SOFA) scores (respectively 39 (28–50) vs. 27 (22–31), P=0.0031 and 5 (2–8) vs. 2 (2–2.2), P=0.0019), and a lower P/F ratio (98 (63–109) vs. 178 (126–206), P=0.0005) were more frequently intubated. Among non-intubated patients, the median lowest P/F was 131 (85–180) mmHg. Four caregivers had to stop working following Coronavirus 2 contamination, but did not require hospitalization.

Conclusion. – Our clinical experience supports the use of HFNC as first-line therapy in patients with SARS-CoV-2 pneumonia for whom face mask oxygen does not provide adequate respiratory support.

1. Introduction

In December 2019, the first case of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection was diagnosed in China. SARS-CoV-2 is responsible for a rapidly spreading illness [1] known as Coronavirus Disease 2019 (COVID-19). Up to one third of patients hospitalized for SARS-CoV-2 infection require Intensive Care Unit (ICU) management. Severe COVID-19 may progress to acute hypoxemic respiratory failure requiring high fractional concentration of inspired oxygen (FiO2) and different ventilation strategies. High-flow nasal cannula (HFNC) is a non-invasive technique of respiratory support used in critically ill patients with acute hypoxemic respiratory failure [2]. However, HFNC may cause aerosol dispersion of infectious particles and therefore was not initially recommended as first-line respiratory therapy for SARS-CoV-2 infection by some experts [3].

In France, invasive ventilation was widely used from the start of the COVID-19 outbreak in the regions first affected, especially in the east of France, with a rapid saturation of ICU beds [4]. In our center in the north-west of France, we have used HFNC oxygen therapy for several decades to manage ICU patients with hypoxemic...
pneumonia [5]. We used this same technique of respiratory support to manage ICU patients with SARS-CoV-2 pneumonia. We implemented protective measures for all caregivers according to WHO and French recommendations [6,7]. Later publications reported the use of HFNC oxygen therapy, either in connection with the use of non-invasive methods of oxygen therapy [8,9], or in connection with physio-pathological considerations of these COVID-related pneumonias [10,11]. The aim of this retrospective study was to report a French experience in patients admitted to ICU for SARS-CoV-2 pneumonia requiring high fractional concentration of inspired oxygen, supported by HFNC oxygen as systematic first-line respiratory therapy.

2. Methods

2.1. Design

This retrospective observational cohort study was conducted in two ICUs (one medical ICU of 27 beds, and one surgical ICU of 23 beds) of Rouen University Hospital, Normandy, France.

2.2. Patients

All consecutive patients admitted to these two ICUs during the 28 days following the first SARS-CoV-2 pneumonia admission on March 13th, 2020 were screened. The diagnosis of SARS-CoV-2 pneumonia was based on clinical characteristics, chest imaging and real-time reverse transcriptase polymerase chain reaction (RT-PCR) assay.

Other patients with a diagnosis of SARS-CoV-2 pneumonia and hospitalized in a COVID ICU created de novo were excluded because of difficulty of data collection and possible influence on treatment specifically related to an unusual environment.

The study protocol was approved by the local ethics committee and the institutional review board (approval number E2020-31). Patients (or surrogates) were informed by letter of the study based on anonymous data from their medical file.

2.3. Data collection

Patients’ demographic characteristics: age, sex, body mass index (BMI), severity scores (Simplified Acute Physiology Score (SAPS) II score [12], Sequential Organ Failure Assessment (SOFA) [13] and comorbidities were recorded at baseline for all patients. Dates of first symptoms, hospital and ICU admissions and computerized tomography (CT) results when performed within 24 hours of admission to ICU were reported. Daily respiratory support devices (oxygen mask, HFNC, non-invasive ventilation or invasive ventilation), fraction of inspired oxygen (FiO2) [14] and oxygen flow in case of high-flow oxygen therapy, respiratory rate, arterial blood gas, specific therapies for COVID 19, and adjuvant therapies for acute respiratory distress syndrome (ARDS) such as use of continuous neuromuscular blockers, nitric oxide or prone position until day 28 (D28) were also recorded. Data regarding hemodynamic failure, defined as the use of norepinephrine, and kidney failure, defined as a score ≥ 3 on “Kidney Disease: Improving Global Outcomes” (KDIGO) were recorded. The following outcomes were evaluated: dates of weaning from invasive mechanical ventilation or from HFNC, dates of ICU and hospital discharge, ICU mortality and D28 mortality.

2.4. Statistical analyses

Characteristics of patients are described as frequencies and percentages for categorical variables and medians and interquartile ranges (IQR) for continuous variables. Categorical variables were compared using chi-square or Fisher’s exact test, and continuous variables were compared using Student’s t-test or Wilcoxon’s rank-sum test. We retained a P value of 0.05.

3. Results

3.1. Study population

From March 13th to April 11th, 2020, 98 patients consecutively admitted to two ICUs for SARS-CoV-2 pneumonia with acute respiratory failure (ARF) were eligible for inclusion. Among them, 45 patients transferred from another region of France were not considered because of a lack of information on the initial severity of the disease. A majority of them had been initially intubated notably to secure medical transport. Fifty-three patients were eligible for enrollment and, finally, after exclusion of 10 patients for initial decision of palliative care or flow of standard oxygen below 6 L/min, 43 patients were analyzed (Fig. 1).

The decision to intubate was based on the analysis of the clinician in charge of the patient regarding respiratory exhaustion, hemodynamic or neurological failure, but not on the sole criterion of oxygenation.

3.2. Patient’s general characteristics

The characteristics of the 43 patients are presented in (Table 1). A majority were men (72%), with a median age of 61 (51.5 to 69.5) years, a median BMI of 28 (25.2 to 31) kg/m². Patients were admitted to ICU in a median time of 9 (6 to 11) days after the onset of symptoms; 79% presented at least one comorbidity, mainly hypertension (58%).

| Table 1 | General characteristics of population. |
|---------|---------------------------------------|
| Overall population | n=43 |
| -------------------|-----|
| Demographics       |     |
| Male gender – n (%)| 31 (72) |
| Age (years) median (IQR) | 61 (51.5–69.5) |
| Comorbidities, n (%) | 34 (79) |
| Chronic liver disease | 0 |
| Chronic kidney disease | 1 (2.3) |
| Immunocompromised patients | 3 (7) |
| Chronic heart failure | 5 (11.6) |
| Diabetes mellitus | 9 (20.9) |
| Respiratory disease | 13 (30.2) |
| Hypertension | 25 (58.1) |
| BMI, median (IQR) | 27.9 (25.2–31) |
| Viral characteristics |     |
| Duration of symptoms before ICU admission (days), median (IQR) | 9 (6–11) |
| Length of hospital stay before ICU admission (days), median (IQR) | 1 (0–2) |
| Chest computed tomography*, n (%) |     |
| Compatible | 34 (79.1) |
| Crazy paving | 21 (61.8) |
| Consolidation | 30 (88.2) |
| Ground glass opacification | 33 (97) |
| Extensive |     |
| <25% | 13 (39.4) |
| [25–50] % | 12 (36.3) |
| [51–75] % | 6 (18.2) |
| >75% | 2 (6) |
| One specific treatment*, n (%) | 30 (69.7) |
| Lopinavir/ritonavir | 27 (90) |
| Hydroxychloroquine | 3 (10) |
| Corticosteroids, n (%) | 9 (21) |
| Length of ICU stay before corticosteroids (days), median (IQR) | 2 (0–3) |

BMI: body mass index.

* Data are available for 35 of 43 included patients.

a Patients admitted with one specific treatment: 27 (90%).
ICU: Intensive Care Unit; SAPS II: Simplified Acute Physiology Score; SOFA: Sequential Organ Failure Assessment; HFNC: High Flow Nasal Cannula.

3.3. Patients’ characteristics at ICU admission and during ICU stay

The median SAPS II was 29 (22.5 to 37) and the median PaO2/FiO2 (P/F) ratio was 146 (100–189) mmHg (Table 2). HFNC was initiated at ICU admission in the majority of patients (33%, 76%), with a median flow of 50 (45 to 50) L/min and a median FiO2 of 0.6 (0.5 to 0.8).

At D28, 32% of patients required invasive mechanical ventilation, 30% had hemodynamic failure and 16% had renal failure. We did not use non-invasive ventilation or awake prone positioning in these patients.

3.4. Patients’ outcomes

Patients stayed respectively 8 (5 to 16) days in ICU and 28 (15 to 28) days in hospital; at D28, 4 (9%) patients were still in ICU and 19 (53%) were still in hospital (Table 3). Three (7%) patients died in ICU.

Table 2

| Characteristics of the population at ICU admission and during ICU stay. | Overall population | n = 43 |
|---|---|---|
| ICU admission | SAPS II, median (IQR) | 29 (22.5–37) |
| | SOFA D1, median (IQR) | 2 (2–4) |
| | Respiratory rate, median (IQR) | 24 (20–28) |
| | PaO2/FiO2, median (IQR) | 146 (100–189) |
| | HFNC initiation: Flow (L/min), median (IQR) | 50 (45–50) |
| ICU stay | HFNC initiation: FiO2, median (IQR) | 0.6 (0.5–0.8) |
| | Hemodynamic failure, n (%) | 13 (30) |
| | Kidney failure, n (%) | 7 (16) |
| | Mechanical ventilation, n (%) | 14 (32) |
| | At HFNC initiation, after D2: Flow (L/min), median (IQR) | 50 (50–50) |
| | At HFNC initiation, after D2: FiO2, median (IQR) | 0.70 (0.5–0.75) |

Table 3

| Outcomes of the population at day 28. | Overall population | n = 43 |
|---|---|---|
| | Outcomes at D28 | Length of ICU stay (days) \(^a\) median (IQR) | 8 (5–16) |
| | | Still in ICU, n (%) | 4 (9) |
| | | Length of hospital stay (days) \(^b\) median (IQR) | 28 (15–28) |
| | | Still in hospital, n (%) \(^c\) | 19 (52.7) |
| | | Mortality in ICU, n (%) | 3 (7) |
| | | Mortality in hospital, n (%) | 3 (7) |

ICU: Intensive Care Unit. 
\(^a\) Among 40 patients still alive: length of ICU stay (days) 11.2 (8.9); length of hospital stay (days) 23.3 (7.3).
\(^b\) Among 36 patients alive and discharged from ICU.

3.5. Comparison according to success or failure of HFNC

Twenty-nine (67%) patients were not intubated while 14 (33%) were intubated (Table 4). Intubated patients more frequently had diabetes (43% vs. 10%, \(P = 0.04\)). Patients with extensive lesions at chest computed tomography (≥25%) were more frequently intubated during ICU stay (\(P = 0.012\)).

Patients with higher median SAPS II and SOFA D1 scores (respectively, 39 (28 to 50) vs. 27 (22 to 31), \(P = 0.0031\) and 5 (2 to 8) vs. 2 (2 to 2.2), \(P = 0.0019\), and a lower median PaO2/FiO2 (P/F) ratio (98 (63 to 109) vs. 178 (126 to 206), \(P = 0.0005\) were more frequently intubated. In addition, other additional factors, such as bacterial infection or pulmonary embolism that could have potentially contributed to intubation are shown in Table 5.

In patients with invasive ventilation, we observed more hemodynamic and kidney failure (respectively, 13 vs. 0, \(P = 0.002\) and 5 vs. 2, \(P = 0.026\), a longer median length of ICU stay (28 (19 to 28) vs. 6 (5 to 8) days, \(P = 0.00001\) and more mortality (3 vs. 0, \(P = 0.013\)).

Among not-intubated patients, the lowest P/F was 131 mmHg.

3.6. Contamination of medical staff and caregivers

During the study period, four staff members were contaminated with Covid-19 leading to work disruption, but they did not require
hospitalization. To our knowledge, they were not contaminated in the ICU.

Outcomes at three months are presented in supplementary Table 1.

4. Discussion

Based on the results of our clinical experience, HFNC could represent a safe and effective strategy of first-line oxygenation for patients with severe hypoxic pneumonia due to SARS-COV-2. More than two thirds of our patients were not intubated, despite a PaO2/FiO2 ratio below 200 mmHg at admission and, a lowest PaO2/FiO2 ratio of 131 mmHg during ICU hospitalization, for non-intubated patients. Related to this strategy, we observed a mortality rate of only 7% at day 28 despite a severe SAPS II score of 29 at admission.

Intubating hypoxic patients wisely remains a major challenge. The value of using HFNC is based on recent research, especially from Frat and colleagues [2]. A recent systematic review showed that HFNC, in patients with acute hypoxic respiratory failure, was associated with similar outcomes compared to noninvasive ventilation or conventional oxygen therapy, regarding mortality and ICU length of stay [15]. In our study the decision to intubate was based on the analysis of the clinician in charge of the
patient, in particular in view of the presence of signs of respiratory distress or associated visceral failure. We did not use the “ROX index”, as suggested in a letter published after our study period [16].

However, in the current pandemic context a possible contamination of caregivers was initially feared with HFNC, due to the high gas flow used which may increase bio-aerosol dispersion in the environment and favor transmission of viral agents. Faced with this specific risk of contamination of caregivers by aerosol dispersion, we worked with clinical hygienists to educate all caregivers about the classic preventive measures to adopt, based on data from the literature [17]: masks, gowns, and iterative use of hydro-alcoholic gel, in a context where the increased risk of aerosol dispersion via HFNC is not scientifically proven [18]. We observed only four COVID-19 cross contaminations among our ICU staff (more than 100 nurses and 20 physicians), without hospitalization and with a high probability of contamination outside the ICU. This result indicates that a good compliance with prevention measures, namely wearing protective clothing, glasses, gloves and extensive use of hydro-alcoholic solutions could be safe and effective.

The use of HFNC in patients with severe acute respiratory viral infection was previously described in a cohort of ICU patients hospitalized with H1N1 Influenza A [19]. HFNC appears to be an effective modality for the early respiratory support of adults with severe acute respiratory viral infection. A recent small study from China showed that among 17 patients with hypoxemic pneumonia due to SARS–COV-2 pneumonia treated with HFNC, 7 (41%) experienced treatment failure, and among patients with PaO2/FiO2 < 200 mmHg, failure rates reached 63% [20]. Other authors highlighted an association between excess mortality and a SAPS II score threshold of 17 [21]. It is interesting to note that our population was more severe in terms of SAPS II and hypoxemia than those recently analyzed [20,21]. Moreover, an Italian observational study reported the success of HFNC in patients with a low PaO2/FiO2 ratio of 126 mmHg [22]. We hypothesize that our early use of HFNC, i.e., from a conventional oxygen flow rate of 6 L/min, contributed to the good results observed.

Furthermore, our cohort of patients exhibited similar characteristics to those of European cohorts: male, over 50 years old, with cardiovascular diseases, most often resuscitated due to an initial isolated episode of ARF [23,24]. The subgroup of intubated patients in our study could be distinguished by greater severity at admission (pulmonary embolism and bacteremia) and a clinical course marked by more organ failures and a prolonged hospital stay. These data are consistent with those in the literature which highlight a benefit of HFNC in the event of hypoxemic ARF without other organ failure [2].

In addition, the good outcome of our patients treated with HFNC was associated with a decreased risk of subsequent intubation. This point is crucial in the current pandemic as medical resources worldwide are limited, particularly ICU capacity and specific drug supplies in the first stages of any invasive mechanical ventilation, namely powerful sedatives and neuroblockers. After our data collection, Demoule et al. [9] published a retrospective study of 379 patients among whom 146 (39%) received HFNC within the first 24 hours following ICU admission. A propensity score matched analysis was performed: results suggest that HFNC significantly reduces intubation and subsequent invasive mechanical ventilation, without effect on mortality. In our study, D28 ICU mortality was found notably low (7%). The specificities of our population (both early and systematic use of first-line HFNC) do not allow a direct comparison between this result and that of the recent French multicenter “Covid ICU” study [25] as well as that of Contou et al. [26]. Our interesting result, however, should encourage ICU physicians to confirm the benefit of an early and systematic use of first-line HFNC in this setting based on a prospective multicenter study.

Our study presents several limitations. First it is a retrospective study, including a small number of patients. Due to this small number, we did not search for predictive factors by multivariate analysis. However, the population was relatively homogeneous exhibiting a similar respiratory pathology due to Covid–19. Also, we only included patients from the local area, who were consecutively admitted to our two ICUs during a one-month period. These patients were all deemed eligible to receive specific care in a skilled environment for the application of this technique of ventilatory support. Our results may not be generalizable, therefore, to other centers or in other conditions. Nevertheless, the early and systematic use of this technique allowed us to progressively increase the number of ICU beds when needed, thus making it possible to maintain our high level of usual care, and vice versa. Moreover, we did not compare our respiratory strategy based on HFNC as first choice with the common strategy used in other French centers, based on systematic early intubation despite a relative standard level of oxygen. Such a comparative analysis has to be carried out.

### Table 5

Peri intubation events in intubated patients.

| Patient | Day of invasive mechanical ventilation* | Number of days between disease onset and ICU admission | Pulmonary embolism | Bacterial co-infectionb |
|---------|-----------------------------------------|-------------------------------------------------------|--------------------|------------------------|
|         |                                         |                                                       | Number of days between ICU admission and chest CT | Day of pulmonary embolism diagnosis |
| 1       | D1                                      | 13                                                   | No                 |                        |
| 2       | D2                                      | 7                                                    | No                 |                        |
| 3       | D1                                      | 9                                                    | No                 |                        |
| 4       | D1                                      | 15                                                   | No                 |                        |
| 5       | D2                                      | 6                                                    | No                 |                        |
| 6       | D1                                      | 3                                                    | No                 |                        |
| 7       | D1                                      | 8                                                    | No                 |                        |
| 8       | D1                                      | 5                                                    | No                 |                        |
| 9       | D2                                      | 2                                                    | No                 |                        |
| 10      | D3                                      | 4                                                    | 5                  | D11                    |
| 11      | D15                                     | 15                                                   | 10                 |                        |
| 12      | D1                                      | 15                                                   | No                 |                        |
| 13      | D1                                      | 16                                                   | No                 |                        |
| 14      | D6                                      | 2                                                    | 6                  | D7                     |

* D1: day of ICU admission.

b At time of tracheal intubation (72h before and after mechanical ventilation).
5. Conclusion

This French clinical experience supports the use of HFNC as first line management in patients with acute respiratory failure due to SARS-COV-2 pneumonia for whom standard face mask oxygen does not provide adequate respiratory support. The use of HFNC may reduce the overall rate of intubation in ICU and improve patient outcomes without any major risk of contamination among caregivers, providing that adequate protection measures are strictly observed. The COVID-19 pandemic still requires further comprehensive risk-benefit analysis to consider HFNC as an alternative technique of respiratory support in patients presenting with acute respiratory failure.

Ethical approval and consent to participate

The study protocol was approved by the local ethics committee and the institutional review board (approval number E2020-31). Patients (or surrogates) were informed by letter of the study based on anonymous data from their medical file.

Consent for publication

Not applicable.

Availability of data and materials

The datasets analyzed during the current study are available from the corresponding author on reasonable request.

Disclosure of interest

The authors declare that they have no competing interest.

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Authors’ contributions

G.B., D.B., C.G. and F.T. conceived the study, and were involved in the study design.

G.B., D.B., P.G., C.G. and F.T. performed data analysis and drafted the manuscript.

D.B., G.B. and P.G.G. participated in data collection.

G.B., D.B., P.G.G., P.G., D.C., S.G., C.G., and F.T. analysed results. All authors read and approved the final manuscript.

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Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at https://doi.org/10.1016/j.resmer.2021.100834.

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