CAVE: An Open-Source Tool for Combined Analysis of Head-Mounted Calcium Imaging and Behavior in MATLAB

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Calcium imaging in freely behaving rodents using head-mounted miniature microscopes is currently becoming an increasingly popular technique in neuroscience. Due to the large amounts of complex data that the technique produces, user friendly software is needed for quick and efficient processing. Here, we present a new tool for analyzing calcium imaging data from head-mounted microscopes together with simultaneously acquired behavioral data: CAVE (Calcium ActiVity Explorer). CAVE bundles a unique set of algorithms specifically tailored to the analysis of single-photon imaging data from awake behaving animals including efficient motion correction and automatic ROI selection with manual audit and refinement. For behavioral analysis, CAVE can automatically track animal position and orientation. Individual behavioral epochs and external events can then be analyzed in correlation to calcium imaging and tracking data. Our program is written in MATLAB, the source code is open source and particularly focuses on providing a streamlined workflow for novice users while also retaining detailed configuration options for advanced users. We evaluate the performance of CAVE by investigating neural activity in hippocampus and somatosensory cortex. The fast analysis provided by CAVE allowed us to track activity in a large set of animals over the course of several months during exploration behavior, detailing the properties of onset and offset of observable activity and the visible cells per imaging location.

Keywords: single-photon head-mounted calcium imaging, in vivo imaging, freely moving, awake behaving, open source software, image analysis

INTRODUCTION

Head-mounted imaging is currently rapidly growing in popularity due to the development of several lightweight microscopes which allow imaging in freely behaving mice (Ghosh et al., 2011; Cai et al., 2016; Francis et al., 2017). Such imaging can simultaneously capture the activity of hundreds to thousands of cells. While the processing of two-photon imaging data has reached a high level of maturity, processing of single-photon imaging data poses different challenges. For example, no depth information is available, individual cells are not as clearly delineated and might overlap, image contrast is low, computer memory requirements are high, and movement artifacts are common.
Food and water was provided for imaging, GP5.Thy1(GCaMP6f) mice were used, housed at 21°C and 40–60% humidity under a 12 h light–dark cycle. Food and water was provided ad libitum. All experiments were conducted according to the guidelines of the European Community (EUVD 6 86/609/EEC) and approved by a local ethics commission of the State of Sachsen-Anhalt.

**Surgery**
The animal was anesthetized with Pentobarbital (50 mg/kg) and placed on a heating pad in a digital stereotaxic frame (DigiW, Neurostar). Dexamethasone (0.1 mg/kg) was given subcutaneously to prevent brain swelling. Before incision, bupivacaine (0.25 %) was subcutaneously injected to provide local anesthesia of the surgery site. Subsequently, the skin was incised with a scalpel and the periost removed. A tight cross hatch pattern was cut into the skull with the scalpel blade and tissue glue (Vetbond, 3M) applied to facilitate implant stability. For lens implantation in somatosensory cortex, a hole was drilled at the following coordinates AP: −1.0, ML: 0.7, and for hippocampus at AP: −2.1, ML: 1.7. For imaging in somatosensory cortex a prism-lens probe was implanted at a depth of 1 mm according to the size of the prism. For imaging hippocampus, regular lens cannulas of 3.1 mm length were implanted at a depth of −1.9 mm. The cannula was then fixed to the skull with dental acrylic.

**Head-Mounted Calcium Imaging**
Imaging was performed with a head-mounted calcium imaging system (deep-brain system, Doric Lenses). An overview of the setup is shown in Figure 1. Behavior videos for tracking were acquired with a commercial HD camcorder (Panasonic HC-V160).

Experiments started 1 week after surgery. To enhance video tracking of the animal, each mouse was marked with color markers. In each session, the mouse was given two minutes to explore a small box with five different objects, each object in a different corner and one in the middle. If no cell activity was visible, the mouse was imaged once a week. Once cell activity started to emerge, imaging was done every 2–3 days initially with longer intervals later. Once visible cell activity had subsided the animal was perfused.

**Histology**
Correct placement of the cannula lenses was confirmed histologically (Figure 1). Brains were freeze-sectioned into 60-µm slices using a cryotome, mounted in Mowiol, and imaged using an upright fluorescence microscope (Axioskop 2, Carl Zeiss).

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**METHODS**

**Animals**
For imaging, GP5.Thy1(GCaMP6f) mice were used, housed at 21°C and 40–60% humidity under a 12 h light–dark cycle. Food and water was provided ad libitum. All experiments

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**TABLE 1** | Feature overview of available head-mounted calcium imaging analysis tools.

| Tool                  | Open source | GUI | Behavioral detection | Processing across sessions | Advanced settings | Reference               |
|-----------------------|-------------|-----|----------------------|----------------------------|-------------------|-------------------------|
| CalmAn                | Yes         | Limited | Limited              | Yes                        | Yes               | Giovannucci et al., 2018 |
| Inscopix mosaic       | No          | Yes  | No                   | Yes                        | Yes               | Commercial              |
| Miniscope analysis    | Yes         | No   | Limited              | Yes                        | Yes               | Cai et al., 2016        |
| DoricStudio          | No          | Yes  | No                   | No                         | Limited           | Commercial              |
| CAVE                  | Yes         | Yes  | Yes                  | No                         | Yes               | –                       |
Data Analysis
Statistical analysis was performed in Prism (GraphPad). Normality was assessed with the Shapiro–Wilk normality test and appropriate non-parametric tests performed according to the type of comparison, as indicated with the respective result.

Software Performance Analysis
All performance tests were conducted using a desktop PC with Intel® Core™ i7-3770 processor, 16 GB RAM and Windows 10 64-bit installed. We used DoricStudio version 4.0.4.18, Mosaic version 1.2.0, Miniscope Analysis GitHub version from 17.03.2017, and Suite2P GitHub version from 17.10.2018. We chose five data sets of the same mouse expressing GCaMP6f that had been recorded in the dentate gyrus (DG).

One data set was chosen for comparing the different alignment algorithms of the toolboxes. This dataset showed a slight rotation and noticeable shaking of the image. To align the images, we used the regional Lucas Kanade algorithm of CAVE, the default subpixel registration algorithm of DoricStudio, the regional subpixel registration of Mosaic, regional FFT image registration algorithm of Miniscope Analysis, and phase correlation algorithm of Suite2P. CAVE, Mosaic, and Miniscope Analysis allow selecting a seed region for alignment; therefore, we selected the same 40 × 40 pixel area of the data set. For Miniscope Analysis, we converted the TIFF file into an uncompressed AVI file. Suite2P is particularly geared toward two-photon analysis; hence, spatial high pass filtering was necessary to get accurate alignment. All aligned image series were then processed in the same manner: first, we applied flat field correction by dividing each image by the heavily blurred mean image of the series; second, we cropped the image series to the middle 80%; third, we normalized the images to range between 0 and 1. Then, we calculated the mean image of the series and calculated Pearson’s correlation coefficient of each image to the mean image. Another four data of ascending size were chosen for runtime analysis: 1, 2.4, 4.5, and 9.5 GB. Due to minimal cropping of high-pass filter edge artifacts, the data sets for Suite2P became smaller: 0.7, 1.6, 2.7, and 4.0 GB. For Miniscope Analysis, data set size increased to the following values due to AV1 conversion: 1.5, 3.6, 6.8, and 14.2 GB. Total processing time covers only calculation time, not the manual efforts of the user. For each software, data were loaded, pre-processed, aligned, and cells detected. During this whole process, peak memory was tracked.

Architecture of CAVE
Software Requirements and Availability
Calcium ActiVity Explorer was optimized to work with MATLAB version 9.1, Image Processing Toolbox version 9.5, Signal Processing Toolbox version 7.3, and Statistics and Machine Learning Toolbox version 11. CAVE is available from the authors and on Github: https://github.com/mtlippert/CAVE. While MATLAB is not open source, we decided to use it over a full open source toolchain due to the wide adoption in the neuroscience field and the fact that many students are already familiar with it.

Functionality
Data processing in CAVE is divided into several steps summarized in Figure 2.

Dust Removal and Pre-processing
Dust on one of the many optical surfaces is a frequent occurrence in head-mounted calcium imaging experiments. The dust removal algorithm is capable of removing any kind of such unwanted regional abnormality in the calcium imaging video, as long as the abnormality is relatively stationary. This feature critically improves subsequent flat field correction and image alignment. Algorithmically, CAVE calculates the average intensity of a ring surrounding the selected area with eight pixels width and fills the area with this value. Further pre-processing includes video down-sampling, correcting for faulty frames, and flat field correction. Depending on the used image sensor in the camera, the resolution of head-mounted calcium imaging systems often vastly oversamples the spatial resolution provided by the sample and lens. Therefore, the time series is first down-sampled if it is wider than 100 pixels to increase processing speed (default parameter: 40% size). A further feature of long video sequences, captured with a high-resolution camera over a fragile tether from a moving mouse, is the presence of corrupted frames. These are typically blank frames or distorted, noisy frames. We use a spike detection algorithm described for the detection of extracellular unit activity to detect such images based on the fluctuation in the temporal difference of mean image intensity.
Frames exceeding the threshold $thr$ are replaced with the preceding frame.

$$thr = 5 \cdot \text{median} \left( \frac{|\Delta I|}{0.6745} \right)$$

Due to vignetting from the used GRIN lenses, fluorescence intensity drops strongly toward the image borders. This static drop presents a challenge for motion correction and other later used algorithms, which is why we implemented a flat-field estimation and correction into CAVE. This flat field correction is done by dividing each frame by the heavily blurred mean image of the time series.

**Image Alignment**

Movement artifacts are one of the main challenges in head-mounted calcium imaging in freely moving animals. In contrast to head-fixed two-photon preparations, the weight and inertia of camera and tether exacerbate such artifacts. Moreover, single-photon imaging leads to much lower image contrast and resolution compared to two-photon imaging, hindering the removal of the artifacts. CAVE offers two different image alignment: subpixel registration (Hotchkiss et al., 1989) and Lucas–Kanade registration (Baker and Matthews, 2003). We found the Lucas–Kanade algorithm to work best for data containing visible local features, such as blood vessels. If such
features are missing, subpixel registration is often able to still achieve acceptable results. Image alignment can be performed using a selected region in the image (e.g., a blood vessel) or the full preceding frame. The latter option is particularly helpful if constant features are missing but activity levels are high. In this case, the slow decay of GCaMP fluorescence will allow stabilization of the time series across subsequent frames. Following movement correction, the time series is cropped utilizing the largest shift values to avoid edge artifacts.

**ΔF/F Calculation**

In order to obtain the fluorescence change over baseline, we use standard ΔF/F calculation. The fluorescence change is calculated by taking the fluorescence intensity of each individual image (Fᵢ), subtracting the mean image across time \( \bar{F} \), and lastly dividing by the mean image (\( \bar{F} \)).

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\Delta F/F = \frac{F_i - \bar{F}}{\bar{F}}
\]

**Maximum Intensity Projection**

Typically, the region of each cell is brightest during a calcium event. This feature can be used to visually detect cells by using a maximum intensity projection image of the whole time series. Following the previous processing steps, this image is displayed, weighted by the standard deviation of each pixel, to help the user in defining cell ROIs.

**Cell Detection**

Unsurprisingly, it is impossible to manually detect the dozens, hundreds, or even thousands of cells commonly encountered in head-mounted calcium imaging preparations. To detect large numbers of cells at once, we implemented the automatic cell detection via principal and independent component analysis (ICA/PCA) as described previously (Mitra and Pesaran, 1999; Mukamel et al., 2009; Ghosh et al., 2011). ROIs outside a preset size window and roundness value are removed (default: 30–300 pixels, roundness metric below 0.6). Overlapping ROIs with above 30% shared area are merged. Furthermore, double-assignments are merged to a single component when there is an overlap above 30. This automatic detection can be complemented or refined by manual selection or removal of cell ROIs. Further ROI refinement is achieved by excluding ROIs with too low fluorescence and merging highly correlated neighboring ROIs (\( r > 0.8 \)). Through the provided configuration file, these and all other presets can be optimized for each use case.

**Calcium Activity Deconvolution**

To obtain identifiable calcium transients from the raw fluorescence traces, deconvolution after neuropil correction is used. The neuropil is defined as a 20-µm ring surrounding the ROI (Kerlin et al., 2010; Chen et al., 2013; Dana et al., 2014; Pinto and Dan, 2015) and the raw values of the neuropil are multiplied by a factor of \( r = 0.7 \) according to previous research (Chen et al., 2013; Dana et al., 2014). To accommodate for the various imaging systems used, the user can either input a scaling value or select from a range of presets for common systems. Following neuropil correction, the trace is detrended to remove bleaching.

In the final step, the fluorescence traces are deconvolved using the OASIS algorithm with a second degree auto-regressive model (Friedrich et al., 2017; Giovannucci et al., 2017; Figure 3B).

**Animal Tracking**

Animal tracking is based on the detection of color markers in the image (sticker, LED, colored dye). This method is especially robust against artifacts from the camera tether, which are unavoidable in head-mounted calcium imaging. The use of two markers per animal further allows orientation detection. To extract the markers in the video, the user can manually select regions in HSV color space or use a number of presets. The marker detection algorithm then estimates the center of each colored spot and returns the coordinates of the animal over time (Figure 4A). The quality of the tracking is automatically assessed and the user alerted to untracked frames.

**Spatial Activity Distribution**

To match the location of the mouse with the recorded cell activity, CAVE stores the location of the animal at each time point a calcium transient was detected. A heatmap can then be computed to visualize the dependence of cell activity and spatial location.
This feature is especially useful for studying place fields and similar phenomena (Figure 4B).

Behavior Annotation
Calcium ActiVity Explorer supports multiple user-definable tracked behaviors. Individual epochs of each behavior are annotated interactively during the course of the behavioral video. After behavior annotation, calcium activity can be analyzed in relation to the behavioral epochs. Raster plots, calcium events rates, and normalized activity plots are supported by default (Figure 4C). For advanced analysis, all results and the raw as well as deconvolved traces are automatically saved and exported.

Calcium Activity Sweeps
Following behavior annotation or import of external events, calcium traces can be aligned to different triggers and plotted.
(Figure 6A). A sweep plot is generated showing individual repetitions in stacked rows, as well as a mean trace below, outlined with the standard error across trials.

RESULTS

To demonstrate the application of CAVE in the analysis of common types of imaging experiments, we imaged and analyzed data from both a cortical region (primary somatosensory cortex, S1) and a hippocampal region (DG).

Temporal Evolution of Activity and Cell Statistics

In single-photon imaging through GRIN lenses, a long time span typically passes from lens implantation until clearly identifiable cells become visible. We therefore characterized the time from implantation to visible activity in the hippocampus (DG and CA1, Figure 5). The median time to onset of detectable single cell activity was approximately 1 month (minimum: 6 days, maximum 69 days). Typically, activity persisted for approximately 2 months, with some preparations lasting only a few weeks and some for more than half a year. During the time of visible activity, the median number of identified cells across animals was 8. Within individual animals, the median number of cells across time ranged from 1 to 96. The maximal number of cells per animal ranged from 2 to 198 cells. Out of 25 animals imaged, 11 animals did not show any discernable activity at all.

Relationship of Cell Activity and Behavior in Dentate Gyrus and Somatosensory Cortex

To showcase the use of CAVE for in-depth analysis of individual sessions and group analysis, we analyzed a set of hippocampus imaging sessions (DG) in three mice and an exemplary somatosensory cortex imaging session in one mouse and (Figure 6).

In the DG, we analyzed the imaging session exhibiting the highest cell count within the first week after onset of activity in three mice. We found a trend of elevated calcium activity particularly during ambulation (traveling movement, Figures 5D,E). The integrated analysis of calcium imaging data and behavioral data in CAVE allows correlating calcium activity and spatial position (Figure 5E).

In S1, we found that calcium transients were significantly more common during object exploration compared to resting behavior (Figure 6B, p < 0.01, Kruskal–Wallis non-parametric ANOVA, Dunn's correction for multiple comparisons). Activity across all ROIs during object exploration was also elevated compared to traveling movement, although not significantly. Notably, the onset of cell activity typically lagged the onset of object exploration (Figure 6A).

Computational Performance of CAVE

We characterized image alignment performance using mean images as well as spatial correlation of individual frames to post-alignment mean images. CAVE calculated well-aligned mean images (Figure 7A) and showed high correlation of individual frames to the mean frame after alignment (Figure 7B). In total, CAVE had the highest median correlation coefficient of all tested tools (Figure 7C, non-parametric ANOVA (Friedman test) p < 0.0001, Dunn's multiple comparisons post hoc test of CAVE versus any other tool was highly significant p < 0.001).

Processing time was shortest for Inscopix Mosaic. CAVE showed medium speeds but was generally faster than Suite2p, a standard in the field (Figure 7D). Similar to Doric Studio, Suite2P failed to load larger data sets on our PC, which is why some values could not be determined for these tools. Despite high-pass filtering, Suite2P failed to correctly identify any cells in our test data.

Peak memory load was average, with Suite2P and Doric Studio requiring more memory and Mosaic and Miniscope Analysis requiring less (Figure 7E).

DISCUSSION

We developed CAVE as user friendly software, intuitive to novice users but incorporating detailed options for advanced users. CAVE offers streamlined processing of large single-photon calcium imaging datasets from head-mounted microscopes in a GUI. CAVE contains standard ROI-based calcium imaging
FIGURE 6 | Exemplary results recorded from somatosensory cortex of one session. (A) Color raster of fluorescence signal ($dF/F$) from episodes of object exploration ($n = 53$), moving ($n = 62$), and resting ($n = 14$). Each row represents mean activity across all ROIs for one trial. Trials are stacked vertically. Calcium activity is higher after onset (white/blue bar) of exploration than moving or resting, which is summarized in an averaged plot below each color raster as mean ± SEM. (B) Event rate is higher for object exploration than for moving and significantly higher than resting behavior ($p < 0.01$). Mean activity of 17 identified cells during episodes of behavior.

Calcium ActiVity Explorer does not only provide the user with raw intensity values of individual ROIs but offers deconvolution of these traces to reconstruct individual calcium transients. We used the OASIS algorithm described previously (Friedrich et al.,...
and found it to provide excellent results without being computationally expensive. However, the inference of actual neuronal discharges from calcium imaging data is non-trivial (Vogelstein et al., 2010). This shortcoming of calcium imaging should be kept in mind during data interpretation.

One feature not supported by CAVE in its current form is tracking of cells across sessions. Such tracking is difficult and might not always be achievable in a given preparation using current single-photon technology. The position of the lens shifts slightly over time and dynamic processes alter the tissue in front of the lens. It is typical that new cells appear for every new imaging session and others disappear. Whether a cell detected in a similar position in the following session is indeed a cell from the previous session is therefore often not clear. If tracking is necessary in a given experiment, additional algorithms and tools can be used (Sheintuch et al., 2017). To further enhance longitudinal tracking performance, it might be beneficial to gather additional information from the sample. For example, a second fluorescent marker could be fused to GCaMP (Cho et al., 2017) and imaged in a second wavelength band. Potentially, it might also be possible to obtain depth information about cells, either by varying the focus (e.g., through electrically tunable lenses), gathering stereoscopic information from two viewing angles onto one or two image sensors, or patterned illumination.

In regard to the analysis of behavior we rely on standard color tracking as described previously (Maghsoudi et al., 2018). The main advantage of this strategy is that it is robust against interference from the cables of head-mounted microscopes in the image. In addition, the orientation of the animal can be easily reconstructed from the vector connecting the two colored spots. As shown in the results, the approach worked well in the investigated scenarios.

We tested CAVE in two exemplary imaging scenarios: hippocampal imaging and imaging of sensory neocortex (S1). As expected, in S1, we found time locked activity to the onset of
object exploration. In hippocampus, we found highest activity during running, which is in line with previous findings (Bender et al., 2015; López Ruiz et al., 2015). However, we did not find any clear place fields in the generally sparse activity. Either the imaged cells did not respond strongly to a preferred spatial location, or our imaging sessions were too short to map such fields. In general, activity levels were sparse, with many cells firing action potentials just once or twice per minute. Such cells can be reliably imaged with in vivo calcium imaging but might be impossible to cluster from electrophysiological recordings.

In addition to analysis of hippocampal activity, we also measured the time until active cells became visible and for how long they could be tracked in our preparation. One important, but little discussed, aspect of GRIN lens based single-photon imaging is the long duration until it becomes obvious whether a particular animal will show activity and this activity can be imaged. Approximately 4 weeks are necessary to determine whether a particular preparation is successful, a time span, which might limit the usefulness of such imaging for anything but non-time critical imaging in adult mice. However, after onset of activity, preparations can typically be imaged for a long time. Another aspect important for planning imaging experiments is the limited yield of cells in many individuals. While hundreds of cells were observed in some mice, most showed much lower numbers. Depending on the structure, the yield is most likely determined by the accuracy of stereotactic placement. It is critical that the distance between lens tip and cell of interest stays in the range of 200 µm for optical reasons. This translates into different levels of necessary stereotactic accuracy depending on brain area. Live imaging during implantation might be beneficial, although we did not see any benefits of it in our experiments.

In summary, CAVE is a novel, open, user-friendly GUI-based tool for the analysis of large single-photon imaging datasets from head-mounted miniature microscopes, combining analysis of calcium imaging and behavioral data.

DATA AVAILABILITY
The raw data supporting the conclusions of this manuscript will be made available by the authors, without undue reservation, to any qualified researcher.

AUTHOR CONTRIBUTIONS
JT and ML designed the foundation of the software while JT, ML, KJ, and H-JH designed the experiments. JT programmed the GUI while ML and MB tested the GUI. JT and MB performed the experiments. JT and ML analyzed the data and prepared the figures. ML, JT, and FO wrote the manuscript.

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