Direct observation of charge separation in an organic light harvesting system by femtosecond time-resolved XPS

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The ultrafast dynamics of photon-to-charge conversion in an organic light-harvesting system is studied by femtosecond time-resolved X-ray photoemission spectroscopy (TR-XPS) at the free-electron laser FLASH. This novel experimental technique provides site-specific information about charge separation and enables the monitoring of free charge carrier generation dynamics on their natural timescale, here applied to the model donor-acceptor system CuPc:C60. A previously unobserved channel for exciton dissociation into mobile charge carriers is identified, providing the first direct, real-time characterization of the timescale and efficiency of charge generation from low-energy charge-transfer states in an organic heterojunction. The findings give strong support to the emerging realization that charge separation even from energetically disfavored excitonic states is contributing significantly, indicating new options for light harvesting in organic heterojunctions.
Photoinduced charge generation plays a central role in a broad range of physical, chemical, and biological processes that underlie natural and engineered photocatalytic and photovoltaic systems. Organic donor–acceptor systems are particularly intriguing candidates for light-harvesting applications, as their properties can be readily modified using well-established chemical synthesis techniques. Improving the efficiency of the underlying light-harvesting and charge generation processes, however, requires detailed knowledge of all the steps from the initial light-induced excitation of the chromophore to the final state, where charges are separated in the donor and acceptor phases. Copper-phthalocyanine (CuPc):C60 is a canonical model system for this class of devices, but despite a significant body of research, fundamental mechanisms for charge separation remain obscure. Even more concerning, partly contradicting interpretations and models have been presented regarding the question, which initial excitations contribute to charge generation, and which do not. Evidently, a better theoretical understanding and novel experimental approaches are needed to validate or dismiss which of these initial excitations contribute to charge generation, and which do not. While a consensus has largely been reached regarding the static picture of the various states and their energy positions, their dynamics and the details of the processes leading to the generation of separate charges remain controversial. For example, a common notion found in the literature is that in CuPc:C60 systems, the lowest energy excitations are characterized by electronic configurations with the electron predominantly located in the C60, while the hole remains in the adjacent CuPc. These ICT states can be viewed as precursors to the charge-separating state.

Let us review the knowledge about the energy landscape of the relevant electronic states involved. The energy level diagram of the CuPc:C60 heterojunction, based on a combination of various spectroscopic data, is shown in Fig. 1. Single and triplet excitons in CuPc are located ~2 and 1.2 eV, respectively, above the ground state3–7. This is shown on the left of Fig. 1. Photoemission measurements established an offset of 1.45 eV between the HOMO of CuPc and C60 (ref. 8). This allows to determine the position of the HOMO of C60 on the right side of Fig. 1 relative to the HOMO of CuPc. Combining photoemission and inverse photoemission spectroscopy, the onset of the HOMO–LUMO gap in solid C60 was determined to 2.3 eV (ref. 9). Gas-phase spectra of negatively charged C60− indicate an energy difference of 2.0 eV between the X1A2g ground state and the B1S1 lowest singlet excited state of neutral C60 (ref. 10). Accordingly, the LUMO orbital of a fully occupied C60 is located at an energy between 2.0 and 2.3 eV above the HOMO. This corresponds to the charge-separated state configuration on the C60 side of the heterojunction, where C60 is now negatively charged. Finally, from the open-circuit voltage of the CuPc:C60 heterojunction solar cell, which corresponds to ~0.5 eV (ref. 11), we can derive a boundary for the minimum energy difference between the HOMO of CuPc and the LUMO of C60.

While the (static) energetics is quite well established, the dynamics of the charge generation processes and the states involved are much less defined, if not to say controversial. As far as CuPc as chromophore is concerned, the lowest optically allowed excitation is a singlet exciton (S1) with ~2 eV excitation energy3–7. This exciton converts by intersystem crossing with a lifetime of ~500 fs into a triplet exciton (T1), which is located ~1.2 eV above the ground state6,7 (cf. Fig. 1). When C60 is in contact with CuPc, additional interface excitonic states (so-called interfacial charge transfer (ICT) states) with various electron and hole configurations exist7, which are located several 100 meV in energy above the triplet state. These interface excitons are characterized by electronic configurations with the electron predominantly located in the C60, while the hole remains in the adjacent CuPc. These ICT states can be viewed as precursors to the charge-separated state.

Recently, we established time-resolved X-ray photoelectron spectroscopy (TR-XPS) as a unique tool to detect the presence of charge transfer electrons in C60 (refs. 16,17). To the best of our knowledge, this is the first femtosecond time-resolved core-level photoemission spectroscopy study of P: C60 systems. Access to the C 1s core levels provides a unique perspective of the dynamic charge evolution in direct vicinity of the atom from which the core electron is emitted. This is the basis of electron spectroscopy for chemical analysis, a widely used standard tool for the study of the chemical and electronic structure of surfaces and interfaces.
Ultrafast TR-XPS extends this capability into a new regime, allowing to monitor the femtosecond dynamics of charge generation and motion in complex interfacial systems with atomic specificity.

The technique is described in the methods section, as well as in more detail in our previous publications. Even though our earlier experiments were limited to a temporal resolution of ~70 ps, they clearly demonstrated that triplet states (T1) substantially contribute to the generation of separated charges in the CuPc:C60 system. Integrated over all timescales from picoseconds to nanoseconds, the triplet states even generate an order of magnitude more charges than any other state. Their long lifetimes more than compensate for their small diffusivities, leading to large diffusion lengths and efficient charge generation at the interface to the C60.

Here, we extend this new spectroscopic technique to the femtosecond regime, in order to capture the fast dynamics of the photoexcited states at the crucial moment when bound excitons dissociate into separated charges. We note that by carefully adjusting the fluence of the optical laser and the FEL, as well as the exposure time of each sample spot, we avoided complications, such as sample charging or space-charge effects that affected previous PES investigations at FEL facilities. Specifically, we are interested in the relaxation of ICT states, which are considered important “gateways” for the generation of separate charges. A common perception is that ICT states only dissociate into separate charges as hot states, but not when cooled down to the lowest energy of the corresponding state manifold. Thus, the cooling time is considered a temporal gate for charge generation.

We use femtosecond TR-XPS in a pump–probe scheme at the free-electron laser FLASH to study a planar heterojunction consisting of a CuPc donor and a C60 acceptor phase. FLASH offers femtosecond X-ray pulses with sufficiently high photon energies to study C 1s photoelectrons. As we have established previously, the binding energy of the C 1s core electrons of C60 directly reflects the charge transfer on a local atomic scale. A shift of the C 1s line to lower binding energy indicates that additional electronic charge is present near the carbon atom that is ionized. Accordingly, XPS enables direct, local, and quantitative insight into the critically important step of the decay of the excitonic state into separated charges. Using an excitation wavelength of 775 nm, the experiment specifically addresses the relaxation dynamics of photoinduced ICT states near the low-energy limit of the ICT state manifold. TR-XPS spectra are recorded on timescales between ~100 fs and several picoseconds.

**Results**

Figure 2 shows a series of time-resolved C 1s XPS spectra of a planar heterojunction consisting of 1–2 ML of CuPc atop a thin film of C60 at four different pump–probe delays as indicated. Throughout this manuscript, the optical pump pulse precedes the X-ray probe pulse for positive pump–probe delays. The black solid line in Fig. 2a represents the ground state C 1s spectrum, since the optical pump pulse arrives 1 ps later than the X-ray pulse (~1 ps). This spectrum can be described by a linear combination of the independently recorded C 1s spectra of CuPc (dashed) and C60 (dotted). The peak located at a kinetic energy (KE) of 204 eV (within the yellow shaded area) is predominantly associated with C60, whereas the structures outside the shaded area are photoemission signals from the carbon atoms in CuPc. The vertical gray line indicates the location of the C60–C 1s line for the unperturbed, ground state heterojunction. Figure 2b–d illustrates the photoinduced spectral dynamics at representative pump–probe delays of 0, 0.5, and 4 ps, respectively. Significant spectral changes are observed. In particular, the C 1s feature associated with C60 shifts to higher KEs and exhibits an overall line shape change. Correspondingly, the minimum ~204.35 eV KE, between the C60 peak and the main CuPc peak, becomes less pronounced and even vanishes with increasing pump–probe delay (Fig. 2c).

These observations agree qualitatively with our previous, picosecond TR-XPS experiments on planar CuPc:C60 heterojunctions and extend them into the femtosecond regime. Figure 2b illustrates the photoinduced spectral dynamics at representative pump–probe delays of 0, 0.5, and 4 ps, respectively. Significant spectral changes are observed. In particular, the C 1s feature associated with C60 shifts to higher KEs and exhibits an overall line shape change. Correspondingly, the minimum ~204.35 eV KE, between the C60 peak and the main CuPc peak, becomes less pronounced and even vanishes with increasing pump–probe delay (Fig. 2c).

The spectrum where the probe pulse arrives 1 ps before the pump pulse. Depicts the spectra where both pulses are overlapping in time, and in c and d the spectra are shown when the probe pulse arrives 0.5 and 4 ps after the pump pulse. The spectra of pristine CuPc and C60 are overlaid as dashed and dotted lines, respectively. The vertical gray line indicates the initial (ground state) position of the C 1s line of C60, which dominates the spectrum within the yellow-shaded energy range. SB marks a sideband induced by the laser-assisted photoelectric effect near zero pump–probe delay.
regime. In addition, a sideband feature (SB) appears at ~206.5 eV KE when pump and probe pulses overlap in time (Fig. 2b)\(^{19,20}\).

The shift of the C\(_{60}\)-C 1s photoline is interpreted as the signature of additional electronic charge in the vicinity of the atom being ionized and, accordingly, reflects directly upon the population of ICT states and free mobile electrons in the C\(_{60}\) as result of donor-acceptor electron transfer. Interestingly, the low-energy (201.65 eV) and high-energy (204.95 eV) peaks associated with CuPc exhibit no significant shifts after optical laser excitation, in line with our previous results\(^{16,17}\). We note that the 775 nm pump laser photons are exclusively absorbed by the CuPc donors, while the C\(_{60}\) acceptors do not exhibit any notable absorption at this wavelength. Moreover, neither a pure CuPc nor a pure C\(_{60}\) film deposited on the same substrate as the heterojunction exhibits any photoinduced peak shift aside from the rigid shift of the entire photoelectron spectrum due to the surface photovoltage effect in the Si support (see Supplementary Note 1 for details).

In order to analyze the dynamic trends quantitatively, a global fit is carried out based on a time-dependent decomposition of the heterojunction spectra into a linear combination of several pure component spectra associated with C\(_{60}\) and CuPc. Figure 3 shows a comparison between (a) the measured data and (b) the global fit for pump–probe delays between −2 and 4.4 ps using a 2D false-color representation, as indicated by the color bar. Note that the sidebands near zero delay are included in the fit model.

As shown in more detail in Fig. 4 for some selected time delays, the TR-XPS spectra (black symbols) are modeled by a constant CuPc component (corresponding to the pristine CuPc spectrum in turquoise) and two C\(_{60}\) components, C\(_{60}(0)\) (purple) and C\(_{60}(1)\) (red). The two C\(_{60}\) components were set to have independent KEs and amplitudes, but the sum of their amplitudes is constant and corresponds to the amplitude of the C\(_{60}\) component in the ground state spectrum. The two components represent C\(_{60}\) molecules with (C\(_{60}(1)\)) and without (C\(_{60}(0)\)) additional screening charges in their vicinity. Correspondingly, the KE of C\(_{60}(0)\) remains largely constant, while C\(_{60}(1)\) is shifted to higher KEs by ΔE = 160 ± 30 meV relative to C\(_{60}(0)\), which is interpreted as the result of an increased screening of the core hole by electrons in the vicinity of the core-ionized C\(_{60}\) molecules. This is a dynamical screening process. If a C 1s atom is ionized, the local core hole is screened by the charges present in the vicinity. This screening charge is attracted not only within the C\(_{60}\) molecule but also from neighboring C\(_{60}\) molecules, since the electron hopping between C\(_{60}\) molecules is a factor of 10 faster than the core hole lifetime. For comparison, we note that the C\(_{60}-\)C 1s binding energy is lowered by ~200 meV following intercalation of C\(_{60}\) with alkali metal atoms, as in K\(_x\)C\(_{60}\) or Rb\(_x\)C\(_{60}\) (refs. 21,22). The similarity of the shift values corroborates the physical interpretation of the C\(_{60}(1)\) shift as the result of increased electronic screening of the core-ionized state by additional electron density compared to the C\(_{60}(0)\) component, which results from core ionization of C\(_{60}\) without the presence of an additional charge. The time dependence of the C\(_{60}(1)\) signal, thus, provides direct, site-specific information about photoinduced charge population dynamics within the acceptor layer of the heterojunction. This specific information about the location of the photoinduced charge in the vicinity of the core-ionized C\(_{60}\) molecule.
heterostructure is uniquely accessible through the TR-XPS measurement. For comparison, time-resolved transient absorption\textsuperscript{23,24} and transient two-photon valence band photoemission spectroscopy, which have been used previously to study these charge generation processes\textsuperscript{5–8}, allow to monitor the dynamics of various electronic states simultaneously. However, the experimental data only contain information on the energy scale of these states. Any information about the nature of these electronic states, their charge densities, and where these states are located in real space has to be provided by theory. The relationship between theory and experimental data, however, is by no means trivial since standard theoretical techniques have known challenges to calculate the exact energies of excitonic states in these systems.

We note that, upon closer inspection of Fig. 4, a small transient shift of the C\textsubscript{60}(0) component to larger KEs becomes apparent as well. This effect is ascribed to the finite precision of the fit procedure using the simplified two-component model to describe the transient spectral shifts of the C\textsubscript{60} C 1\text{s} spectrum. A more detailed description of the transient C\textsubscript{60}–C 1\text{s} signals would need to take into account additional effects, such as local environments within the C\textsubscript{60} film, which is beyond the scope of this work. Note, however, that in the following only the amplitudes and not the shifts of the C\textsubscript{60}(0) and C\textsubscript{60}(1) components are used to draw physical conclusions, which we consider robust against small variations in the absolute shift values. For completeness, we note that the absence of any measurable shifts in the CuPc C 1\text{s} peak may be related to both, the characteristic structure of the CuPc HOMO orbital and the corresponding HOMO hole, as well as different electron and hole mobilities in the donor and acceptor phases, leading to different final state contributions to the observed photoemission lines.

Discussion

Figure 5a shows the dynamic evolution of the C\textsubscript{60}(1) signal amplitude (red line). This XPS peak appears immediately, i.e., it rises within the instrument response function (IRF) of the experiment, and its amplitude decays in a bi-exponential fashion. This suggests that the population of electronically screened C\textsubscript{60} molecules appears immediately within the experimental response. Afterward, it initially decays very fast on a timescale of ~1 ps and then remains almost constant on timescales ≥25 ps. The excitation wavelength (775 nm) is located in the low-energy fringe of the absorption spectrum of CuPc, which is associated with direct excitation of ICT states with the electron located in the C\textsubscript{60} and the hole in the CuPc\textsubscript{12}. According to Jailaubekov et al.\textsuperscript{12}, excitation at 800 nm results in the prompt appearance of an electric field at the interface due to direct ICT state excitation. Considering vibrational broadening and cooling within these states, there is no significant difference to be associated with our excitation energy, the center of which is 50 meV higher in energy; except that we have a slightly higher absorption in the sample. Consistent with this interpretation, the rise of the XPS signal associated with injected charges in the C\textsubscript{60} acceptor is instantaneous within our IRF. Thus, our study is an independent, strong indication for the direct excitation of ICT states also at 775 nm.

In contrast, excitation to higher-lying states leads to a delay of charge injection on the order of ~80–170 fs (refs. \textsuperscript{6,12}), which is not observed.

Interestingly, the initial fast decay timescale of our C\textsubscript{60}(1) peak intensity is essentially identical to the decay timescale \(\tau = 1.0 \pm 0.2\) ps of ICT states in planar CuPc:C\textsubscript{60} heterojunctions, reported by Jailaubekov et al.\textsuperscript{12} and comparable to the timescale \(\tau = 0.56 \pm 0.06\) ps determined by Dutton and Robey\textsuperscript{6,12}. Importantly, the long-lived C\textsubscript{60}(1) contribution, represents a relaxation product of the initially prepared ICT states that, in contrast to the electron–hole recombination channel reported previously\textsuperscript{6,12}, results in a long-lived population of additional electrons within the C\textsubscript{60} acceptor phase. The instantaneous rise and smooth bi-exponential behavior of the intensity of the C\textsubscript{60}(1) peak is a strong indication that there are no other intermediate states involved. These observations are contrary to previous conclusions that the fully relaxed ICT states do not produce free carriers\textsuperscript{6,12}.

We speculate that a charge-separated signal component may have been present in previous experiments using valence-electron-based spectroscopy techniques as well but was not identified. This highlights the particular strengths of XPS as a quantitative technique that is ideally suited to study charge transfer processes. The atomic site-specificity paired with a deeper penetration depth as, for example, 2PPES, provides direct, unequivocal evidence for the long-lived charge-separated configurations that have evaded detection previously and are at the center of this study.

In addition, core-level XPS is a quantitative technique, since the cross section for core-level photoemission does not depend on the chemical environment or the charge state. This is not the case for valence band 2PPES\textsuperscript{3,4}, where different states with different
cross sections are probed simultaneously, which impedes the interpretation of intensities in 2PPES spectra, especially when the probed states are overlapping in energy.

Note that for a related Pc system—ZnPcC60 blend films—Bartelt et al. previously interpreted long-lived THz absorption signals as the result of free charge carrier generation in the C60 domain following dissociation of ZnPc:C60 ICT states after excitation by 800 nm radiation. In contrast to THz spectroscopy, TR-XPS is sensitive to the localization of electronic screening charge at core-ionized C60 molecules from either bound ICT states or mobile charge carriers. The latter are of great importance for potential photovoltaic and photocatalytic applications as they provide a pathway to extract photogenerated charges from the heterojunction. More generally, the coexistence of two ICT relaxation channels, one “loss channel” (i.e., recombination) and one “use channel” (i.e., charge separation), is an ubiquitous concept to describe the efficiency of heterogeneous interface designs to convert photon energy into usable charge.

The TR-XPS results presented here provide a direct, quantitative probe of this efficiency as illustrated in Fig. 5. In order to obtain actual numerical data, the C60(1) signal in Fig. 4a is decomposed into a time-dependent ICT (green, dashed) and a mobile electron (yellow, dotted) contribution using the global fit routine described above. The resulting model for photoexcitation and relaxation of the CuPc:C60 heterojunction is displayed in Fig. 5b. Photoexcitation at 775 nm populates ICT states that decay either by charge recombination or by charge separation with rates of \( k_{\text{rec}} = (1.2 \pm 0.3) \text{ ps}^{-1} \) and \( k_{\text{sep}} = (4.2 \pm 0.8) \text{ ps}^{-1} \), respectively, corresponding to a net ICT relaxation rate of \( k_{\text{ICT}} = (0.9 \pm 0.2) \text{ ps}^{-1} \). The ICT decay time is in excellent agreement with the results of Zhu and coworkers and close to the value found by Dutton and Robey, providing additional support for the analysis presented here. The efficiency \( \eta \) for mobile charge carrier generation from ICT states is directly determined by the ratio of the ICT relaxation channels: \( \eta = k_{\text{sep}} / (k_{\text{sep}} + k_{\text{rec}}) = 0.22 \pm 0.07 \). In other words, ~22% of photogenerated ICT states relax into separate charge carriers that can be extracted from the heterojunction, while the remaining ~78% recombine and relax within ~1 ps. The charge-separated electron–hole pairs have a lifetime of 280 ps based on the results of our previous, picosecond TR-XPS study employing pump–probe delays up to nanoseconds.

The results presented here provide new insight into the photoexcited carrier dynamics on the femtosecond to picosecond timescale with direct impact on the photon-to-charge conversion efficiency of the CuPc:C60 heterojunction. In contrast to previous conclusions and all interface excitation states partially decay into separated charges, even for ICT states near the low-energy limit of the ICT band. This finding is consistent with the level scheme shown in Fig. 1 and calculations that locate the ICT states energetically above the triplet excitons in CuPc. As we have shown previously, the triplet states do contribute the vast majority of photogenerated charges for extended donor domains, albeit on rather slow, ~ns timescales. Thus, it is not surprising that the entire manifold of interface excitons, energetically located above the triplet states, contributes as well. Our results presented here are consistent with charge generation studies in a variety of other C60 organic blends. However, the femtosecond TR-XPS technique used here provides the first direct, real-time access to this important relaxation channel and its efficiency relative to competing loss mechanisms.

While the first principles treatment of the corresponding dynamics is still to be achieved, the results presented here provide critical benchmarks for renewed efforts toward a more complete theoretical description. In particular, the combination of detailed energetics, temporal dynamics, and relative channel efficiencies is ideally suited to support improved theoretical modeling. The direct determination of the ICT dissociation efficiency for an archetypical organic heterojunction described herein holds great promise that other light-harvesting processes in complex, multi-component systems may be studied on their natural timescales and with the unprecedented site-specificity provided by ultrafast TR-XPS, paving a way toward a better understanding of emerging photovoltaic and photocatalytic frameworks.

Methods

time-resolved XPS measurements. The TR-XPS experiment is carried out at the plane-grating monochromator beamline PG2 (refs. [6,25]) at Flash, using the photon-emission end-station WESPE. A time-of-flight analyzer equipped with a segmented, position-sensitive delay-line detector is used to record the photoelectron spectra at a pass energy of 30 eV. Flash is operated at a fundamental wavelength of 7.5 nm with an effective repetition rate of 4 kHz (pulse trains of 400 pulses each with an intra-train repetition rate of 1 MHz and a train repetition rate of 10 Hz) and a pulse duration of ~100 fs full-width at half-maximum (FWHM). A monochromator is used to select photon energies of ~495.8 eV from the third harmonic of the Flash fundamental. The monochromator and electron analyzer settings are the same for all XPS measurements. Samples are excited by ~100 fs (FWHM) long optical laser pulses with a center wavelength of 775 nm, generated in an optical parametric chirped-pulse amplification laser system that is operated with the same pulse pattern as Flasch28. Laser and X-ray focal spot sizes of 430 μm × 430 μm and 70 μm × 70 μm respectively, ensure good spatial overlap and homogeneous excitation conditions across the probed sample area. The pump laser fluence on target is ~1 mJ/cm². The laser-assisted photoelectron effect (LAPE) of a Si (100) substrate is used to adjust the temporal overlap between the optical pump and X-ray probe pulses and to determine the IRF, which is described by a Gaussian curve with a FWHM of 290 fs.

Sample preparation. The samples are prepared by in situ sequential evaporation of C60 and CuPc on the Si substrate from two spatially separated effusion cells. Precleaned and annealed n-type Si (100) wafers are used as substrates in order to ensure well-characterized, reproducible substrate conditions. Approximately two monolayers (MLs) of CuPc are deposited on top of a ~6 nm thick film of C60. The film growth at room temperature is monitored by a quartz crystal microbalance to ensure homogeneous and continuous film deposition across the entire Si wafer. The samples are then transferred from the preparation chamber (base pressure < 3 × 10⁻⁹ mbar) to the interaction chamber, which is operated at a base pressure of 3–4 × 10⁻¹¹ mbar. Reference TR-XPS measurements are performed on the pure Si (100) substrate as well as, independently, on pristine, ~6 nm thick films of CuPc and C60 deposited on the substrate (cf. Supplementary Note 1 for more details). In order to minimize the impact of sample damage by the X-ray and optical laser beams, a new sample spot is used every 10 min. The scan rate is calibrated by performing damage tests on sacrificial sample areas prior to recording the pump–probe data.

Global fit procedure and side band simulation. A detailed description of the global fit procedure can be found in the Supplementary Note 2.

Data availability

The data that support the findings of this study are available from the first author upon request.

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Author contributions

The experiment was conceived by W.E. and O.G., in discussion with F.R., L.W., W.W., and G.B. F.R., L.W., and G.M. planned the experiment and prepared the samples. The experiments were performed by F.R., J.M., L.W., S.P., and G.M. with input from W.E., O.G., W.W., and S.M. The data analysis and physical interpretation was performed by F.R., M.B., W.E., and O.G. All authors participated in discussing the data. The paper was written by F.R., O.G., and W.E. with input from all authors.

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