This is an Accepted Manuscript, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this Accepted Manuscript with the edited and formatted Advance Article as soon as it is available.

You can find more information about Accepted Manuscripts in the Information for Authors.

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal’s standard Terms & Conditions and the Ethical guidelines still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this Accepted Manuscript or any consequences arising from the use of any information it contains.
A spiro-bifluorene based 3D electron acceptor with dicyanovinylene substitution for solution processed non-fullerene organic solar cells

Debin Xia, Dominik Gehrig, Xin Guo, Martin Baumgarten,* Frédéric Laquai,* and Klaus Müllen*

A novel electron acceptor, namely 2,2’-(12H,12'H-10,10'-spirobi[indeno[2,1-b]fluorene]-12,12'-dilidene) dimalononitrile (4CN-spiro), exhibiting three-dimensional molecular structure was synthesized and its thermal, photophysical, electrochemical, crystal, and photovoltaic properties were investigated. The novel acceptor exhibits excellent thermal stability with a decomposition temperature of 460 °C, an absorption extending to 600 nm, and a LUMO level of -3.72 eV. Solution processed bulk-heterojunction (BHJ) organic solar cells were fabricated using 4CN-spiro as acceptor and polythieno[3,4-b]-thiophene-co-benzodithiophene (PTB7) as donor polymer. The effect of the donor-to-acceptor ratio and processing conditions on device performance was investigated. A device processed from tetrachloroethane with a donor to acceptor weight ratio of 1:1 yielded a power conversion efficiency (PCE) of 0.80 %.

Introduction

Organic photovoltaic (OPV) devices that can be fabricated by different techniques, such as vacuum deposition,1-3 solution processing and roll-to-roll printing,4,5 have received a great deal of attention. Remarkable progress has been made on solution-processed, bulk-heterojunction (BHJ) devices,6-13 and the reported power conversion efficiency (PCE) has recently exceeded 10 % in single junction cells.14 Undoubtedly, fullerene-based electron acceptors have played a vital role in achieving high PCEs owing to their high electron mobility, strong electron affinity and three-dimensional (3D) electron transport.15-18 However, fullerene acceptors have a few obvious disadvantages, such as low absorption in the visible region, limited energy-level variability, as well as tough synthesis and purification, which limit further improvements of fullerene-based OPV devices and their commercial application.

In the ongoing search for non-fullerene acceptors special attention has been paid to small molecule acceptors.19-30 Compared to one-dimensional (1D) and two-dimensional (2D) analogues, 3D acceptors have attracted much more scientific interest because of their unique advantages, specifically: The strong self-aggregation propensity of acceptors31 might be prevented by the 3D arrangement, e.g. perylenediimide substituted spiro-bifluorene, thiophene or triphenylamine,32-34 which could avoid undesirable large scale phase separation from the donor. Furthermore, 3D isotropic electron transport pathways as exhibited by fullerene derivatives are formed in BHJ OPVs, enhancing the exciton diffusion/separation efficiencies and the PCE of the devices.35,36

Non-fullerene 3D electron-withdrawing materials have shown good performance in OPVs including diketopyrrolopyrrole,37 benzothiadiazole,38 perylenediimide,2,3-9 naphthalene diimide and bifluorenylidene derivatives used as acceptors.40-42 However, to our knowledge, there have been no reports on dicyanovinylene-substituted 3D acceptors for OPVs, even though dicyanovinylene derivatives have already proven their potential as n-type organic semiconductors.33-36

Herein, we report the synthesis and characterization of a novel 3D small-molecule acceptor 2,2’-(12H, 12'H-10, 10'-spirobi[indeno[2,1-b]fluorene]-12,12'-dilidene) dimalononitrile (4CN-spiro) (Scheme 1). We demonstrate its potential as a non-fullerene electron acceptor in BHJ solar cells. Using a simple spin-coating fabrication technique, with polythieno[3,4-b]-thiophene-co-benzodithiophene (PTB7)47 as electron donor, the best device efficiency was obtained when processed from tetrachloroethane, while further solvent additives like diiodooctane did not improve the performance further.
Fig. 1 Thermal, photophysical and electrochemical properties of 2O-spiro and 4CN-spiro. (a) TGA curves; (b) UV-vis absorption spectra in dichloromethane solution and in thin film; (c) cyclic voltammograms in dichloromethane/0.1 M nBu₄NPF₆ at 100 mV s⁻¹.
Results and discussion

Synthesis and characterization

The synthetic route towards 2,2’-(12H,12’H-10,10’-spirobi[inden[2,1-b]fluorene]-12,12’-diylidene)dimalononitrile (4CN-spiro) is shown in Scheme 1. 9,9’-Spiro-bifluorene was acylated by 2-iodobenzoyl chloride to yield 9,9’-spirobi[fluorene]-2,2’-diylbis((2-iodophenyl)methadone) (1) in 82%. The intermediate compound 12H,12’H-10,10’-spirobi[indeno[2,1-b]fluorene]-12,12’-dione (2O-spiro) was synthesized by a cyclization reaction using palladium acetate as catalyst and sodium acetate as base. Finally, the target product 4CN-spiro was obtained in 99% yield by Knoevenagel condensation of 2O-spiro with the Lehnert reagent (TiCl₄, malononitrile, pyridine). 2O-spiro and 4CN-spiro were fully characterized by FD-Mass, HRMS, ¹H NMR, and ¹³C NMR. The molecular structures were further confirmed by single crystal X-ray analysis (see crystallography section below). Thermogravimetric analysis (TGA) of 2O-spiro and 4CN-spiro revealed excellent thermal stability, with 5% weight loss occurring at 423 °C and 460 °C, respectively (Fig. 1a).

Optical and electrochemical properties

Fig. 1b shows the absorption spectra of 2O-spiro and 4CN-spiro in dichloromethane solution and as thin solid film. The absorption bands of 2O-spiro and 4CN-spiro in the thin film spectra are only slightly red-shifted compared to those in solution. This is different from the previously reported 3D star-shaped materials, whose absorption bands are significantly red-shifted in solid state, which might be due to the different electron donating and electron withdrawing groups (push-pull effect). The optical gap (Eₔ) estimated from the absorption edge of the solution spectrum is 2.56 eV for 2O-spiro and 2.08 eV for 4CN-spiro, respectively.

The electrochemical properties of 2O-spiro and 4CN-spiro were investigated by cyclic voltammetry (CV) in dichloromethane solution with 0.1 M nBu₄NPF₆ as supporting electrolyte. As shown in Fig. 1c, both 2O-spiro and 4CN-spiro exhibit reversible reduction waves. No oxidation waves could be observed in the measured potential range. The half-wave potential of 2O-spiro is -1.29 V. Upon dicyanovinylene functionalization, the reduction potentials of 4CN-spiro shift to more positive values with the E₁/₂ potentials at -0.72, -1.31 and -1.44 V, respectively. The overlapping second and third reduction waves of 4CN-spiro with a lower associated current are similar to those of dicyanovinylene-functionalized(bis)indenofluorenes. The LUMO energy level of 2O-spiro and 4CN-spiro estimated from the equation

$$E_{LUMO} = - \left[ E_{red/2} - E_{Fc/2} + 4.8 \right] eV$$

are -3.09 eV and -3.63 eV, respectively. The strong electron-withdrawing character of the dicyanovinylene causes a low LUMO level of 4CN-spiro, which guarantees sufficient driving force for exciton dissociation in OPV devices. The HOMO energy of 2O-spiro and 4CN-spiro are virtually identical to the value of -5.80 eV, calculated from the optical gap according to the equation HOMO = LUMO – Eₔ.

Crystallography

Single crystals of the new compounds 2O-spiro and 4CN-spiro were grown by slow evaporation of the solvent mixture dichloromethane/hexane, and pure dichloromethane, respectively. The crystal structure determined by X-ray diffraction is presented in Fig.
The functionalized 9,9-spiro-bifluorene consists of two identical fluorene π-systems, which are perpendicular to each other via a common sp³-hybridized carbon atom. Crystal packing of the two molecules is dominated by π-π stacking interactions. The π-stacking of 2O-spiro obviously extends into three dimensions in the single crystal, as it can clearly be seen from the packing structure (Fig. 2a). Three π-stacking axes are almost perpendicular to each other. To the best of our knowledge, this is the first example of an organic semiconductor that can adopt a 3D isotropic π-stacking. In case of the acceptor 4CN-spiro π-stacking with interplanar distances of ca. 3.48 and 3.40 Å along the a axis and b axis (Fig. 2b) was observed. Therefore, the packing geometry of the two compounds indicates the possibility of π-π interaction, implying that isotropic electron transport pathways as in fullerene derivatives could potentially be formed in donor-acceptor BHJ solar cells.

Photovoltaic properties

To demonstrate the potential application of 4CN-spiro as acceptor in photovoltaic devices, it was blended with PTB7 as electron donor polymer, whose absorption band is more red-shifted than that of P3HT and partially complementary to the absorption of 4CN-spiro. BHJ OPV cells of the structure ITO/PEDOT:PSS/PTB7:4CN-spiro/Ca/Al were prepared. In addition, the effects of varying processing solvents and blend composition were investigated. Table 1 summarizes the obtained open-circuit voltage (Voc), short-circuit current density (Jsc), fill factor (FF), and PCE of the devices.

Table 1. Photovoltaic parameters of the solar cell devices obtained under AM1.5G-like conditions at 0.7 suns illumination intensity.

| Blend ratio(A/D) | solvent | Voc [V] | Jsc [mA/cm²] | FF [%] | PCE [%] |
|-----------------|---------|--------|--------------|-------|--------|
| 1:2 TCE        | 0.87    | 1.13   | 0.49         | 0.64  |
| 1:1 TCE        | 0.89    | 1.41   | 0.48         | 0.80  |
| 1:1 TCE/DCB    | 0.89    | 0.22   | 0.19         | 0.05  |
| 1:1 DCB        | 0.09    | 0.10   | 0.09         | 0.01  |
| 1:1 TCE/3%DIO  | 0.42    | 0.03   | 0.20         | 0.00  |

TCE: tetrachloroethane  DCB: dichlorobenzene

The device prepared from tetrachloroethane with a donor-acceptor weight ratio of 1:2 exhibited an open-circuit voltage of 0.87 V, a short-circuit current density of 1.13 mA cm⁻², a fill factor of 0.49, and a power conversion efficiency of 0.64 %. Encouragingly, the blend at a donor-acceptor weight ratio of 1:1 demonstrated an increased PCE of up to 0.80 % with a Voc, Jsc and FF of 0.89 V, 1.41 mA cm⁻² and 0.48, respectively. We note, that tetrachloroethane is a rather uncommon solvent in photovoltaic device preparation, however, TCE is also a good solvent for the acceptors used in the present study. AFM height images show a homogeneous surface structure of the blend with demixing on the length scale of exciton diffusion, which ensures efficient exciton quenching and dissociation (Fig. 4). We have also tried further
device optimization including the use of solvent additives. In fact, a small amount of 1,8-diiodooctane (DIO) in a volume ratio of 3% was used as solvent additive to improve the photovoltaic performance in PTB7:PCBM devices.\textsuperscript{47} However, in the present case the device prepared with the additive exhibited no response to light at all, even though the AFM images indicated a rather uniform surface structure (Fig. S2). This implies that other processes such as geminate recombination of interfacial charge-transfer (CT) states or insufficient charge carrier transport due to a lack of charge carrier percolation pathways limit the photovoltaic performance. Furthermore, we have also investigated the device performance upon using DCB as solvent or a mixture of DCB and tetrachloroethane. However, in these cases, the AFM images indicated the formation of large crystallites as high RMS values were observed. This is detrimental for device efficiency (Fig. S2) as demonstrated also by the low PCE value. We note that even for the optimized device only a moderate short circuit current and fill factor were obtained compared to devices that use fullerene as acceptor. The latter is a consequence of the pronounced bias dependence of the current density, which does not even saturate at high negative bias, as previously also observed by us for a polymer-PDI blend.\textsuperscript{50} To better understand the origin of the former, that is, the moderate short circuit current of the PTB7:4CN-spiro blends spun from C\textsubscript{2}D\textsubscript{2}Cl\textsubscript{4}, transient absorption (TA) spectroscopy was performed on the picosecond to nanosecond timescale. The transient absorption spectra shown in Fig. 5 a) are dominated by a positive feature in the spectral region from 540 to 830 nm peaking at 685 nm, which we assigned to a combination of the ground-state bleaching (GSB) of PTB7, as it coincides with the ground state absorption of the polymer and stimulated emission (SE) of PTB7 singlet excitons. The observation of SE points towards inefficient exciton quenching in these blends, in part explaining the lower device performance compared to PTB7:fullerene blends. Fig. 5 b) shows the decay dynamics of the GSB at various excitation intensities. Clearly, the signal decay is independent of the excitation intensity pointing towards geminate recombination of charges that do not manage to entirely dissociate into free charges. We note that a substantial fraction of > 80% of the initially created excited states decays on the sub-ns timescale and consequently does not contribute to the photocurrent of the device. Thus, we conclude that the devices are limited by both inefficient exciton quenching as well as pronounced geminate recombination of bound charges on the sub-ns timescale.

![Fig. 5](image_url)

Fig. 5 a) ps-ns transient absorption spectra at different time delays after photoexcitation at 650 nm with a fluence of 6.0 µJ/cm\textsuperscript{2} and b) ground-state bleaching (680-700 nm) dynamics at various excitation densities.
Conclusions

In conclusion, a novel 3D acceptor 4CN-spiro containing spiro-bifluorene as core was synthesized and fully characterized. As revealed by single-crystal analysis, the new compound presents the possibility of isotropic charge transport, which is similar to the situation in fullerene derivatives. The solar cells based on PTB7:4CN-spiro processed from tetrachloroethane yield the highest PCE of 0.80%. Our results demonstrated for the first time that dicyanovinylene substituted 4CN-spiro could be an alternative to fullerene-based acceptors. However, we also observed that device performance is limited by incomplete exciton quenching and fast geminate recombination on the sub-ns timescale. Further experiments are required to determine whether the bias dependence of the photocurrent is caused by field-dependent charge generation or limited by a low charge carrier mobility leading to a competition of charge extraction and non-geminate recombination or a combination of both processes. Finally, future work will aim towards new acceptor structures to improve charge separation and to overcome the limits set by geminate recombination and the bias dependence observed in the present study.

Experimental section

Synthesis

9,9′-Spirobifluorene]-2,2′-diylbis(2-iodophenyl)methanone (1)
A 500-mL round-bottomed flask was charged with 2-iodobenzoyl chloride (10.10 g, 37.97 mmol), dichloromethane (400.0 mL), and spirofluorene (4.00 g, 12.67 mmol). The reaction mixture was cooled in an ice bath, and aluminum chloride (5.90 g, 44.35 mmol) was added. The mixture was stirred at room temperature for 24 h. Water was slowly added to the reaction mixture while cooled with an ice bath. The mixture was extracted with dichloromethane, washed with brine and dried over sodium sulfate, filtered and concentrated. The residue was purified by flash chromatography with 10-25 % ethyl acetate in hexanes to give the white product in 85% yield.

12H,12′H-10,10′-Spirobifluorene]-2,2′-dione (2O-spiro)
A mixture of 1 (1.40 g, 1.80 mmol) and palladium acetate (0.16 g, 0.72 mmol) in dry dimethylacetamide (100 mL) was heated to 130 °C overnight under argon atmosphere. The mixture was cooled to room temperature and the solvent was evaporated under vacuum. The residue was purified by flash chromatography with 10-25 % ethyl acetate in hexanes to give the white product in 85% yield.

2,2′-(12H,12′H-10,10′-Spirobifluorene]-12,12'-dione (2O-spiro)
To a stirred mixture of 2O-spiro (0.25 g, 0.48 mmol) and malononitrile (1.59 g, 24 mmol) in 100 mL CHCl₃, 1 mol/L TiCl₄ (9.60 mL, 9.6mmol) was slowly added, followed by dried pyridine (1.89 mL, 23.45mmol). The mixture was refluxed for 36 hrs under Ar. Every 5 hrs, identical amounts of malononitrile, TiCl₄ and pyridine were added. After cooling down, the mixture was poured into ice/water and extracted with DCM. The combined organic layers were dried with Na₂SO₄. The solvent was removed under vacuum and the residue was purified by column chromatography (DCM as eluent) to give 2O-spiro as red orange solid. Finally, the solid was washed with acetone to give the pure product in 99% yield.

**References**

1. H NMR (500 MHz, CDCl₃): δ / ppm: 8.23 (d, J = 7.8 Hz, 2H), 8.01 (s, 2H), 7.96 (d, J = 7.7 Hz, 2H), 7.67 (d, J = 7.5 Hz, 2H), 7.61 (s, 2H), 7.51 (t, J = 7.6 Hz, 2H), 7.47 (t, J = 7.6 Hz, 2H), 7.28 (t, J = 7.8 Hz, 2H), 7.25 (t, J = 7.6 Hz, 2H), 6.81 (d, J = 7.6 Hz, 2H), 193.15, 148.76, 148.64, 148.34, 143.66, 141.80, 139.83, 134.80, 133.83, 130.22, 129.40, 128.79, 126.11, 124.10, 122.21, 121.64, 120.95, 113.75, 113.50, 112.90, 65.75. FD Mass: calc.: 520.59 found: 519.4. HRMS (TOF MS ES+): m/z calcd for CₙH₉₀N₄Na₂: 639.1586 found 639.1566. Melting point has not been observed below 350 °C.

2. H NMR (500 MHz, CDCl₃): δ / ppm: 194.92, 149.29, 148.50, 147.01, 144.77, 140.46, 139.49, 135.48, 131.65, 130.76, 129.21, 128.60, 128.18, 127.60, 124.89, 121.29, 119.98, 92.08. FD Mass: calc.: 776.41 found: 775.1. HRMS (TOF MS ES+): m/z calcd for CₙH₉₀N₄Na₂: 776.9788 found 776.9767.
Materials and Characterization

\(^1\)H NMR and \(^13\)C NMR spectra were recorded in deuterated solvents such as \(\text{CD}_2\text{Cl}_2\), using a Bruker DPX 500 spectrometer, with the solvent proton or carbon signal as an internal standard. FD mass spectra were performed with a VG-Instrument ZAB 2-SE-FDP. High resolution mass spectra (HRMS) were carried out by the Microanalytical Laboratory of Johannes Gutenberg-University, Mainz. UV–vis absorption spectra were recorded at room temperature using a Perkin Elmer Lambda 900 spectrophotometer. Fluorescence spectra were recorded on a SPEX-Fluorolog II (212) spectrometer. CV measurements were carried out on a computer-controlled GSTAT12 in a three-electrode cell in a DCM solution of Bu\(_4\)NPF\(_6\) (0.1 M) with a scan rate of 100 mV/s at room temperature, with glassy carbon discs as the working electrode and Pt wire as the counter electrode, Ag/AgCl electrode as the reference electrode. Thermogravimetric analysis (TGA) was carried out on a Mettler 500 at a heating rate of 10 \(^\circ\)C/min under nitrogen flow. All reagents and starting materials were obtained from commercial suppliers and used without further purification. Column chromatography was performed on silica gel 60 (Macherey-Nagel, Si60) with dichloromethane, hexane, ethyl acetate or tetrahydrofuran (Sigma-Aldrich). All reported yields are isolated yields.

Solar cell preparation and measurement

Solar cells were fabricated on patterned ITO-coated glass substrates (Präzisions Glas & Optik GmbH, Germany). Cleaning included successive ultrasonication in detergent, acetone and iso-propanol. Furthermore, the samples were treated with an argon plasma before spincoating a ~40 nm thick poly(3,4-ethylene-dioxythiophene):poly-(styrenesulfonate) (PEDOT:PSS) (Clevios P VP al 4083, H.C. Stark) layer. The substrates were heated to 120 \(^\circ\)C for 30 min in a nitrogen-filled glovebox. The active layer was deposited via spin-coating a 1:1 mixture of donor poly(3-fluoro-2-[(2-ethylhexyl)carbonyl]thieno[3,4-b]thiophenediyl) (PTB7) and the acceptor (4CN-spiro) at 800 rpm. The total weight concentration was 15 mg mL\(^{-1}\) in 1,1,2,2-tetrachloroethane, 20 mg mL\(^{-1}\) in 1,1,2,2-tetrachloroethane and dichlorobenzene (DCB) mixture (1:1 by volume), 25 mg mL\(^{-1}\) in DCB and 15 mg mL\(^{-1}\) in 1,1,2,2-Tetrachloroethane containing 3vol% 1,8-Diiodooctane. Subsequent to the active layer deposition, a bilayer of 5 nm calcium and 100 nm aluminum was evaporated through a shadow mask.

IV characteristics were obtained under illumination with a solar simulator (K.H. Steuermann Lichttechnik GmbH, Germany) using a 575 W metal halide lamp in combination with a filter system to create a spectrum according to AM1.5G conditions. Yet, the intensity was at 70 mW cm\(^{-2}\). Current-voltage curves were measured with a Keithley 236 Source Measure Unit (SMU) within a glovebox. The light intensity was measured with a calibrated silicon photodiode.

AFM images were taken with a Dimension Icon FS with ScanAsyst using an Olympus OMCL-AC 240TS-W2 Cantilever Type at \(F_0=70\) kHz in non-contact mode.

Transient absorption spectroscopy was described previously by our group. Transient absorption (TA) measurements were performed with a home-built pump-probe setup. To measure in the time range of 1-4 ns with a resolution of ~100 fs, the output of a commercial titanium:sapphire amplifier (Coherent LIBRA-HE, 3.5 mL, 1 kHz, 100 fs) was split into two beams that pumped two independent commercial optical parametric amplifiers (Coherent OPerA Solo). One optical parametric amplifier (OPA) was used to generate the tunable excitation pulses in the visible, while the second OPA was used to generate the seed beam for white-light generation. For measurements in the spectral range between 550-1100 nm a 1300 nm seed of a few µJ was focused into a c-cut 3 mm thick sapphire window for white-light generation.

The variable delay of up to 4 ns between pump and probe was introduced by a broadband retroreflector mounted on a mechanical delay stage. Mostly reflective elements were used to guide the probe beam to the sample to minimize chirp. The excitation pulse was chopped at 500 Hz, while the white-light pulses were dispersed onto a linear silicon photodiode array, which was read out at 1 kHz by home-built electronics. Adjacent diode readings corresponding to the transmission of the sample after an excitation pulse and without an excitation pulse were used to calculate \(\Delta T/T\).

Acknowledgements

This work was financially supported by the Transregio TR49. We acknowledge Dr. Dieter Schollmeyer in Johannes Gutenberg-University for crystal structure analysis. Dr. Frédéric Laquai thanks the Max Planck Society for funding the Max Planck Research Group of Optoelectronics. FL and KM thank the Deutsche Forschungsgemeinschaft (DFG) for funding in the framework of the priority program SPP1355 “Elementary Processes in Organic Photovoltaics”. D.G. acknowledges a Kekulé scholarship of the Fonds der Chemischen Industrie (FCI).

Notes and references

Max Planck Institute for Polymer Research, Ackermannweg 10, 55128, Mainz, Germany

Email: baumgart@mpip-mainz.mpg.de
A 3D acceptor for bulk heterojunction solar cells