Exact Relations for Twist-3 Gluon Distribution and Fragmentation Functions from Operator Identities

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Abstract

We perform a systematic study on the twist-3 gluon distribution and fragmentation functions which appear in the collinear twist-3 factorization for hard inclusive processes. Three types of twist-3 distribution and fragmentation functions, i.e., intrinsic, kinematical and dynamical ones, which are necessary to describe all kinds of twist-3 cross sections, are related to each other by the operator identities based on the QCD equation of motion and the Lorentz invariance properties of the correlation functions. We derive the exact relations for all twist-3 gluonic distribution and fragmentation functions for a spin-1/2 hadron. Those relations allow one to express intrinsic and kinematical twist-3 gluon functions in terms of the twist-2 and dynamical twist-3 functions, which provides a basis for the renormalization of intrinsic and kinematical twist-3 functions. In addition, those model independent relations are crucial to guarantee gauge invariance and frame independence properties of the twist-3 cross sections.
Introduction

During the past few decades twist-3 effects in (semi-)inclusive processes have been receiving great attention, in that they show up as a leading contribution to interesting spin asymmetries and reveal an important role of multi-parton correlations in the scattering processes which shed new lights on the hadron structure. By now theoretical methods for dealing with those twist-3 effects have been developed and widely used to derive many relevant twist-3 cross section formula. Such theoretical studies include those for $g_2$-structure function of the nucleon measured in deep-inelastic scattering, single spin asymmetries (SSA) for a hadron or (virtual) photon production in proton-proton (nucleus) collisions with one proton transversely polarized, $p^1p \rightarrow hX$ ($h = \pi, D, \gamma, \gamma^*$ etc) $^{3,17}$, $p^1A \rightarrow hX$ $^{18,22}$, and semi-inclusive deep-inelastic scattering (SIDIS), $ep^* \rightarrow ehX$ $^{23,32}$. SSA in transversely polarized hyperon production in the unpolarized proton-proton collision, $pp \rightarrow \Lambda^\uparrow X$ $^{33,38}$, and in $e^+e^-\rightarrow \Lambda^\uparrow X$ $^{39}$, and the longitudinal-transverse double spin asymmetry $A_{LT}$ in the proton-proton collision, $\bar{p}p^\uparrow \rightarrow \{h, \gamma^*\} X$ $^{40,44}$, etc. Collinear twist-3 parton distribution functions (DFs) and fragmentation functions (FFs) which appear in these twist-3 factorization formula for the cross sections no longer have probability interpretation but represent multi-parton (quark-gluon or purely gluonic) correlations in the hadrons or in the fragmentation processes. The leading order (LO) evolution equations for the relevant twist-3 functions have been also derived $^{10,30,45,58}$.

Collinear twist-3 DFs and FFs can be in general classified into three types: intrinsic, kinematical and dynamical ones $^{59}$. Although they all appear in the calculation of the twist-3 cross section formula, they are not independent from each other, but are related by QCD equation of motion (e.o.m.) and Lorentz invariance properties of the correlation functions. One of the present authors (Y.K.) performed a systematic study on the twist-3 quark DFs and FFs, and presented a complete set of those model independent relations, which are often called e.o.m. relations and the Lorentz invariance relations (LIR) $^{59}$. These relations allow one to express the intrinsic and kinematical twist-3 DFs and FFs in terms of the twist-2 functions and the dynamical twist-3 functions. In addition, they play a critical role to guarantee the gauge invariance and frame independence of the twist-3 cross sections $^{29,59,60}$. In this paper, we extend the study to gluonic twist-3 DFs and FFs for a spin-1/2 hadron $^{37,59,61,62}$ and derive all of those exact relations. For the twist-3 gluon DFs in the transversely polarized nucleon, which are relevant to SSAs in $ep^* \rightarrow eDX$ $^{27}$, $p^1p \rightarrow DX$ $^{11}$, $p^1p \rightarrow \{\gamma, \gamma^*\}X$ $^{12}$ and also $A_{LT}$ for $\bar{p}p^\uparrow \rightarrow DX$ $^{42}$, one of the present authors (S.Y.) derived such relations $^{63}$, while no such systematic studies exist for the twist-3 gluon FFs. There are several purely gluonic twist-3 FFs for a transversely polarized spin-1/2 hadron, so the present study is particularly important for the study of their contribution to the polarized hyperon production in the unpolarized proton-proton collision ($pp \rightarrow \Lambda^\uparrow X$) $^{37,38}$ and SIDIS ($ep \rightarrow e\Lambda^\uparrow X$), etc. Those exact relations for the gluonic DFs and FFs need to be taken into account in the derivation of the cross section and will be crucial to guarantee gauge invariance and the frame independence of the twist-3 cross sections as in the case of quark DFs and FFs.

The remainder of this paper is organized as follows: In section 2, we derive the relations among the twist-3 gluon DFs. After summarizing the complete set of purely gluonic distributions up to twist-3, we derive all the constraint relations among those functions. In section 3, we extend the study to the twist-3 gluon FFs. There are more number of twist-3 FFs compared to the twist-3 DFs due to the lack of a constraint from time reversal invariance. In particular, the dynamical FFs become complex, and the real and imaginary parts obey different constraint relations. Section 4
will be devoted to a brief summary.

2 Twist-3 gluon distributions

2.1 Intrinsic, kinematical and dynamical twist-3 gluon distributions

We first summarize the definition of three types of purely gluonic distribution functions in the nucleon which has mass \( M \), momentum \( P \) \((P^2 = M^2)\) and the spin vector \( S \) \((S^2 = -M^2)\). As usual, we introduce two light-like vectors \( p \) and \( n \), which satisfy \( P^\mu = p^\mu + M^2 n^\mu \) and \( p \cdot n = 1 \). Below we work in frame where \( p^\mu = P^\mu g_+^\mu \) and \( n^\mu = (1/p^+) g_-^\mu \). The simplest collinear gluon distribution functions are defined from the lightcone correlation functions of gluon’s field strengths \( F^\alpha\nu \) with color index \( a \) in the nucleon state \( |PS\rangle \) as \([61,62]\)

\[
\Phi^{\alpha\nu,\beta\mu}(x) = \int \frac{d\lambda}{2\pi} e^{i\lambda x} \langle PS| F^\alpha^\nu(0)|0,\lambda n| F^\beta^\mu(\lambda n)|PS\rangle
\]

\[
= \frac{1}{2} \left\{ \left( -g_\perp^{\alpha\beta} p^\mu + g_\perp^{\alpha\mu} p^\beta \right) p^\nu - \left( -g_\perp^{\nu\beta} p^\mu + g_\perp^{\nu\mu} p^\beta \right) p^\alpha \right\} xG(x)
\]

\[
+ \frac{i}{2} (S \cdot n) \left\{ \left( \epsilon^{\alpha\mu\nu} p^\mu - \epsilon^{\nu\mu\beta} p^\nu \right) p^\nu - \left( \epsilon^{\beta\nu\mu} p^\mu - \epsilon^{\mu\nu\beta} p^\nu \right) p^\beta \right\} x\Delta G(x)
\]

\[
- \frac{iM}{2} \left\{ \left( \epsilon^{\alpha\beta} S_\perp^\mu - \epsilon^{\alpha\mu} S_\perp^\beta \right) p^\nu - \left( \epsilon^{\beta\nu} S_\perp^\mu - \epsilon^{\nu\mu} S_\perp^\beta \right) p^\alpha \right\} x \Delta G_{3T}(x)
\]

\[
+ \frac{iM}{2} \left\{ \epsilon^{\mu\nu} \left( S_\perp^\alpha p^\mu - S_\perp^\nu p^\alpha \right) - \epsilon^{\alpha\nu} \left( S_\perp^\beta p^\mu - S_\perp^\mu p^\beta \right) \right\} x \Delta H_{3T}(x)
\]

(1)

where \( g_\perp^{\alpha\beta} = g_\perp^{\alpha\beta} - p^\alpha n^\beta - p^\beta n^\alpha \), and the transverse spin vector \( S_\perp^\mu \) is defined as \( S_\perp^\mu = (S \cdot n)p^\mu + (S \cdot p)n^\mu + MS_\perp^\mu \). \([0,\lambda n] \equiv P \exp\{ig \int_0^\lambda dt A(tn) \cdot n \} \) is the gauge link which guarantees gauge invariance of the correlation function. Here and below we use the shorthand notation \( \epsilon^{\nu\alpha\beta} \equiv \epsilon^{\nu\mu\beta} p_\mu n_\nu n_\beta \), etc. \( G(x) \) and \( \Delta G(x) \) are, respectively, twist-2 unpolarized and helicity distributions and \( \Delta G_{3T}(x) \) and \( \Delta H_{3T}(x) \) are the intrinsic twist-3 distributions corresponding, respectively, to \( \langle F_{+}^{1} F_{-}^{1} \rangle \) and \( \langle F_{+}^{1} F_{-}^{1} \rangle \) correlators. Although \( \Delta H_{3T}(x) \) drops from the correlator \( \Phi^{\alpha\nu,\beta\mu}(x) \) which contribute to a cross section, we need the form \( (1) \) to derive a constraint relations among the twist-3 distributions. Each function in \( (1) \) has a support on \(|x| < 1\).

The second type of the twist-3 gluon distributions are the kinematical ones which are defined as

\[
\Phi_\beta^{\alpha\beta\gamma}(x) = \int \frac{d\lambda}{2\pi} e^{i\lambda x} \langle PS| F^\alpha(0) F^{\beta\nu}(\lambda n)|PS\rangle i\frac{d}{d\xi}\partial_\gamma
\]

\[
\equiv \lim_{\xi \to 0} \int \frac{d\lambda}{2\pi} e^{i\lambda x} \langle PS| (F^\alpha(0)|0,\infty n]) a i\frac{d}{d\xi} \left( [\infty n + \xi,\lambda n + \xi] F^{\beta\nu}(\lambda n + \xi) \right)_a |PS\rangle
\]

\[
= \frac{M}{2} g_\perp^{\alpha\beta} \epsilon^{\nu S_\perp} G_T^{(1)}(x) - \frac{iM}{2} \epsilon^{\nu S_\perp} S_\perp^{\alpha\gamma} \Delta G_T^{(1)}(x) + \frac{M}{8} \left( \epsilon^{\nu S_\perp} g_\perp^{\beta\gamma} + \epsilon^{\nu S_\perp} S_\perp^{\beta\gamma} \right) \Delta H_T^{(1)}(x)
\]

+ (terms proportional to \( p^\gamma \)) \cdots \]

(2)
where \( \cdots \) denotes twist-4 or higher. These three kinematical distributions \( \Delta G_T^{(1)}(x) \), \( \Delta G_T^{(1)}(x) \) and \( \Delta H_T^{(1)}(x) \) can be also written as the \( k_t^2/M^2 \)-moment of the transverse momentum dependent (TMD) distributions. Note that the TMD distribution corresponding to \( \Delta G_T^{(1)}(x) \) is naively T-even, while those for \( G_T^{(1)}(x) \) and \( \Delta H_T^{(1)}(x) \) are naively T-odd. Each function in (2) has a support on \( |x| < 1 \).

The third type of distributions are the dynamical ones which are defined as the lightcone correlation functions of three field strengths (“F-type” distribution) [27].

\[
N_F^{\alpha\beta\gamma}(x_1, x_2) = i \int \frac{d\lambda}{2\pi} \int \frac{d\mu}{2\pi} e^{i\lambda x_1 e^{i\mu(x_2-x_1)}} \langle PS | f^{abc} F_a^{\alpha(0)} g F_c^{\beta(\mu\lambdan)} F_b^{\gamma(\nu\lambda\mu)} | PS \rangle
\]

\[
= 2iM \left[ -g_\perp^{\alpha\beta} \epsilon^{\gamma\mu\nu\lambda} N(x_1, x_2) + g_\perp^{\alpha\gamma} \epsilon^{\beta\mu\lambdan} N(x_2, x_2 - x_1) + g_\perp^{\beta\gamma} \epsilon^{\alpha\mu\lambdan} N(x_1, x_1 - x_2) \right] + \cdots, \quad (3)
\]

where \( f^{abc} \) is the anti-symmetric structure constant for color SU(N) and \( \cdots \) denotes twist-4 or higher. Here and below we often suppress the gauge link operators between the field strengths for simplicity. \( N(x_1, x_2) \) satisfies the symmetry relation \( N(x_1, x_2) = N(x_2, x_1) \) and \( N(-x_1, -x_2) = -N(x_1, x_2) \) and has a support on \( |x_1, x_2| < 1 \) and \( |x_2 - x_1| < 1 \). Replacing \( i f^{abc} \) by \( d^{abc} \) (symmetric structure constants) in \( N_F^{\alpha\beta\gamma}(x_1, x_2) \), one can define another 3-gluon correlation functions. However, we shall not consider them, since they are not related to any other types of twist-3 gluon distributions. We call \( N(x_1, x_2) \) (and \( G_T(x_1, x_2) \) in (17) below) dynamical twist-3 DFs. Replacing \( F_c^{\gamma(\mu\lambdan)} \) by the covariant derivative \( D^\gamma(\mu\lambdan) = \partial^\gamma - igA^\gamma(\mu\lambdan) \) in (5), one obtains “D-type” distributions as

\[
N_D^{\alpha\beta\gamma}(x_1, x_2) = i \int \frac{d\lambda}{2\pi} \int \frac{d\mu}{2\pi} e^{i\lambda x_1 e^{i\mu(x_2-x_1)}} \langle PS | F_a^{\alpha(0)} \left( D^\gamma(\mu\lambdan) F_b^{\beta(\nu\lambda\mu)} \right)_a | PS \rangle
\]

\[
= 2iM \left[ -g_\perp^{\alpha\beta} \epsilon^{\gamma\mu\nu\lambda} D_1(x_1, x_2) - g_\perp^{\alpha\gamma} \epsilon^{\beta\mu\lambdan} D_2(x_2, x_2) + g_\perp^{\beta\gamma} \epsilon^{\alpha\mu\lambdan} D_2(x_2, x_1) \right] + \cdots
\]

\[
- \frac{1}{2} g_\perp^{\alpha\beta} \gamma G(x_1, x_2)x_2^2 + \frac{i}{2} \epsilon^{\alpha\mu\lambdan} p^\gamma G(x_1, x_2)x_2^2 - \frac{i}{2} M \epsilon^{\alpha\mu\lambdan} p^\gamma \Delta G_{3T}(x_1, x_2)x_2^2 + \cdots,
\]

where \( \cdots \) denotes twist-4 or higher. It is easy to see that \( \int dx_1 N_D^{\alpha\beta\gamma}(x_1, x) \) is reduced to \( \Phi^{\alpha\beta\gamma}(x) \), and the three distributions in the last three terms of (4) are thus related to those in (1) as

\[
\int_{-1}^1 dx_1 f(x_1, x) = f(x), \quad \text{for } f = G, \Delta G, \Delta G_{3T}.
\]

Equations (1), (2), (3) and (4) define all necessary collinear twist-3 gluonic distribution functions in the collinear twist-3 formalism. Below we shall derive all constraint relations among those functions.

### 2.2 Relations between D- and F-type DFs and QCD equation of motion

Using the identity

\[
D^\gamma(\mu\lambdan)[\mu\lambdan, \nu\lambdan] = ig \int^\mu_\lambda dt [\mu\lambdan, \nu\lambdan] F^\gamma_t(tn)[tn, \nu\lambdan] + [\mu\lambdan, \lambda\nu\lambdan] D^\gamma(\lambda\nu\lambdan),
\]

\[\text{We follow the convention of [27].}\]
$D$- and $F$-type 3-gluon correlators in (11) and (13) are connected as

$$N_D^{\alpha\beta\gamma}(x_1, x_2) = \mathcal{P} \frac{1}{x_2 - x_1} N_F^{\alpha\beta\gamma}(x_1, x_2) + \delta(x_1 - x_2) \Phi^{\alpha\beta\gamma}_\partial(x_1), \tag{7}$$

where

$$\Phi^{\alpha\beta\gamma}_\partial(x) = i \int \frac{d\lambda}{2\pi} e^{i\lambda x} \langle PS | F^{\alpha\alpha}(0)[0, \lambda n] D^\gamma(\lambda n) F^{\beta\beta}(\lambda n) | PS \rangle + \int \frac{d\lambda}{2\pi} e^{i\lambda x} \int_{-\infty}^{\infty} d\mu \frac{1}{2} \epsilon(\mu - \lambda) \langle PS | F^{\alpha\alpha}(0) g F^{\gamma\gamma}(\mu n) F^{\beta\beta}(\lambda n) | PS \rangle, \tag{8}$$

with $\epsilon(\mu - \lambda) = 2\theta(\mu - \lambda) - 1$. On the other hand, the correlator for the kinematical twist-3 distributions $\Phi^{\alpha\beta\gamma}_\partial(x)$ in (2) can be rewritten as

$$\Phi^{\alpha\beta\gamma}_\partial(x) = i \int \frac{d\lambda}{2\pi} e^{i\lambda x} \langle PS | F^{\alpha\alpha}(0)[0, \lambda n] D^\gamma(\lambda n) F^{\beta\beta}(\lambda n) | PS \rangle + \int \frac{d\lambda}{2\pi} e^{i\lambda x} \int_{-\infty}^{\infty} d\mu \langle PS | F^{\alpha\alpha}(0) g F^{\gamma\gamma}(\mu n) F^{\beta\beta}(\lambda n) | PS \rangle. \tag{9}$$

Here and below we often suppress the color indices and gauge links for simplicity. For example $F^{\alpha\alpha}(0)[0, \lambda n] D^\gamma(\lambda n) F^{\beta\beta}(\lambda n)$ denotes $F_a^{\alpha\alpha}(0)[0, \lambda n] D^\gamma(\lambda n) F_b^{\beta\beta}(\lambda n)_a$, and $F^{\alpha\alpha}(0) g F^{\gamma\gamma}(\mu n) F^{\beta\beta}(\lambda n)$ represents $i f_{abc} F_a^{\alpha\alpha}(0) g F_c^{\gamma\gamma}(\mu n) F_b^{\beta\beta}(\lambda n) \equiv i f_{abc} F_a^{\alpha\alpha}(0)[0, \mu n] g F^{\gamma\gamma}(\mu n) F_b^{\beta\beta}(\lambda n)$. One thus obtain the relation

$$\tilde{\Phi}^{\alpha\beta\gamma}_\partial(x) = \Phi^{\alpha\beta\gamma}_\partial(x) + i\pi N_F^{\alpha\beta\gamma}(x, x), \tag{10}$$

where $N_F^{\alpha\beta\gamma}(x, x)$ defines soft-gluon-pole functions. By comparing real and imaginary parts of both sides of (7), one obtains the following relations:

$$D_1(x_1, x_2) = \mathcal{P} \frac{1}{x_2 - x_1} N(x_1, x_2), \tag{11}$$

$$D_2(x_1, x_2) = \mathcal{P} \frac{-1}{x_2 - x_1} N(x_2, x_2 - x_1) - \frac{1}{4} \delta(x_1 - x_2) G_T^{(1)}(x_1), \tag{12}$$

$$G_T^{(1)}(x) = 4\pi \left(N(x, x) - N(x, 0)\right), \tag{13}$$

$$\Delta H_T^{(1)}(x) = -8\pi N(x, 0). \tag{14}$$

The first two relations were derived in [63]. They show the $D$-type functions are determined by the $F$-type and kinematical functions. The last two relations (13) and (14) are the analogues of the relations for the quark distributions that show the $k_T^2$-moment of the “naively $T$-odd” TMD distribution functions, such as Sivers and Boer-Mulders functions, are proportional to the soft-gluon-pole (SGP) function of the $F$-type quark-gluon correlation function. It has been shown that the SGP functions $N(x, x)$ and $N(x, 0)$ contribute to SSAs for $ep^\uparrow \rightarrow eDX$ [27], $p^\uparrow p \rightarrow DX$ [11], $p^\uparrow p \rightarrow \{\gamma, \gamma^*\} X$ [12] and $p^\uparrow p \rightarrow \pi X$ [16]. To the best of our knowledge, the relations (13) and (14) were not explicitly written in the literature.
To get further relations, we multiply $g_{\beta \gamma}$ to (7), integrate over $x_1$ and use the relation $D_\perp \beta (\lambda n) F_{\alpha \gamma} (\lambda n) = -D^\alpha (\lambda n) F^{\alpha \gamma} (\lambda n) + g \tilde{\psi} (\lambda n) \gamma \tau^a \psi (\lambda n)$ which follows from the QCD equation of motion (e.o.m.), $(D_\mu F^{\mu \alpha})_a = -g \tilde{\psi} \gamma^a \tau^a \psi$. One then obtains

$$\frac{x^2}{2} \Delta G_{3T} (x) + D_g (x)$$

$$= 2 \int_{-1}^{1} dx_1 \mathcal{P} \frac{1}{x - x_1} [-N(x_1, x) + N(x, x - x_1) + 2N(x_1, x_1 - x)] - \frac{1}{2} \Delta G_{3T}^{(1)} (x), \quad (15)$$

where $D_g (x)$ is defined as

$$MD_g (x) e^{\alpha n S_{\perp}} = \int \frac{d\lambda}{2\pi} e^{i\lambda x} \langle PS | F^{\alpha \mu} (0) \tilde{\psi} (\lambda n) \gamma \tau^a \psi (\lambda n) | PS \rangle. \quad (16)$$

$D_g (x)$ is related to the twist-3 quark-gluon correlation function $G_F (x_1, x_2)$ defined by

$$\int \frac{d\lambda}{2\pi} \int \frac{d\mu}{2\pi} e^{i\lambda x_1} e^{i\mu (x_2 - x_1)} \langle PS | \tilde{\psi} (0) F^{\alpha \mu} (\mu n) \gamma \tau^a \psi (\lambda n) | PS \rangle = M e^{\alpha n S_{\perp}} G_F (x_1, x_2), \quad (17)$$

as

$$D_g (x) = - \int_{-1}^{1} dx_1 G_F (x_1, x_1 - x), \quad (18)$$

with the support on $|x| < 1$. The relation (15) is also new.

From (7), one can obtain another relation involving $\Delta H_{3T} (x)$ as follows. We first write

$$\Phi^{\alpha \mu, \beta \mu} (x) = -i \int \frac{d\lambda}{2\pi} \frac{e^{i\lambda x}}{d\lambda} \langle PS | F^{\alpha \mu} (0) | 0, \lambda n \rangle F^{\beta \mu} (\lambda n) | PS \rangle$$

$$= i \int \frac{d\lambda}{2\pi} e^{i\lambda x} \langle PS | F^{\alpha \mu} (0) | 0, \lambda n \rangle D^{\beta \mu} (\lambda n) | PS \rangle, \quad (19)$$

where we have used the relation $\frac{d}{dx}[0, \lambda n] F^{\beta \mu} (\lambda n) = [0, \lambda n] D^{\alpha \beta} (\lambda n) F^{\alpha \mu} (\lambda n)$ after integration by part. We then use the Bianchi identity $D^{\alpha \beta} F^{\alpha \mu} = -D^\beta F^{\alpha \mu} + D^\mu F^{\alpha \beta}$ to get

$$\Phi^{\alpha \mu, \beta \mu} (x) = \frac{1}{x} \int dx_1 \left\{ N_D^{\alpha \beta \mu} (x_1, x) - N_D^{\alpha \mu \beta} (x_1, x) \right\}. \quad (20)$$

Taking $\alpha$, $\beta$ and $\mu$ to be transverse, one arrives at the following relation

$$x \Delta H_{3T} (x) = \frac{4}{x} \int dx_1 \left\{ D_1 (x_1, x) - D_2 (x_1, x) \right\}. \quad (21)$$

Using the relations, (11) and (12), in this equation one eventually obtains

$$\frac{1}{2} x^2 \Delta H_{3T} (x) = 2 \int dx_1 \mathcal{P} \frac{1}{x - x_1} \left\{ N(x_1, x) + N(x, x - x_1) \right\} + \frac{1}{2} \Delta G_{3T}^{(1)} (x). \quad (22)$$

This relation was derived here for the first time.

To summarize this subsection, we have obtained two relations (15) and (22) which relate the two intrinsic functions, $\Delta G_{3T} (x)$ and $\Delta H_{3T} (x)$, and one kinematical function, $\Delta G_{3T}^{(1)} (x)$, to the dynamical functions. One needs another independent relation to express those three functions in terms of the dynamical functions.

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1 We follow the convention of [23, 24] for $G_F (x_1, x_2)$. 

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2.3 Constraint relations from nonlocal operator product expansion

Here we derive a relation from the nonlocal version of the operator product expansion (OPE) for general correlation functions not necessarily on the lightcone. The method was originally developed in [45, 66], and have been frequently used for the twist-3 distributions [24, 45, 63, 67, 68], the twist-3 fragmentation functions [59, 69], and the distribution amplitudes for hard exclusive processes [66, 70, 71], etc. This method is equivalent to OPE, and incorporates all the constraints from Lorentz invariance property of the correlation functions. Here we apply this method to the twist-3 gluon distribution functions to derive constraint relations.

We start from the following operator identity:

$$\frac{\partial}{\partial y_\mu} \left[ F^{\alpha\nu}(-y)[-y, y]F^{\beta\mu}(y) \right]$$

$$= -F^{\alpha\nu}(-y)\not{\partial}_\nu(-y)[-y, y]F^{\beta\mu}(y) + F^{\alpha\nu}(-y)[-y, y]\not{\partial}_\nu(y)F^{\beta\mu}(y)$$

$$+ i \int_1^{-1} dt \, t F^{\alpha\nu}(-y)[-y, ty]gF^{\rho\eta}(ty)[ty, y]F^{\beta\mu}(y). \quad (23)$$

In the left hand side (l.h.s.) of this equation, one should first make $y$ non-lightlike, and take the lightcone limit $y^\mu \to y^- g_-^\mu$ after taking the derivative. From translational invariance, we have another identity,

$$0 = \lim_{\xi \to 0} \frac{d}{d\xi} \langle PS | F^{\alpha\nu}(-y + \xi)[-y + \xi, y + \xi]F^{\beta\mu}(y + \xi)| PS \rangle$$

$$= \langle PS | F^{\alpha\nu}(-y)\not{\partial}_\nu(-y)[-y, y]F^{\beta\mu}(y)| PS \rangle + \langle PS | F^{\alpha\nu}(-y)[-y, y]\not{\partial}_\nu(y)F^{\beta\mu}(y)| PS \rangle$$

$$+ i \int_1^{-1} dt \, \langle PS | F^{\alpha\nu}(-y)[-y, ty]gF^{\rho\eta}(ty)[ty, y]F^{\beta\mu}(y)| PS \rangle. \quad (24)$$

We take the expectation value of (23) by $|PS\rangle$, and use (24) to eliminate the first term in the right hand side (r.h.s.). We then obtain

$$\frac{\partial}{\partial y_\mu} \langle PS | F^{\alpha\nu}(-y)[-y, y]F^{\beta\mu}(y)| PS \rangle$$

$$= 2\langle PS | F^{\alpha\nu}(-y)[-y, y]\not{\partial}_\nu(y)F^{\beta\mu}(y)| PS \rangle$$

$$+ \langle PS | t \int_1^{-1} dt \, t + 1 F^{\alpha\nu}(-y)[-y, ty]gF^{\rho\eta}(ty)[ty, y]F^{\beta\mu}(y)| PS \rangle. \quad (25)$$

From this equation, one obtains the identity

$$\frac{\partial}{\partial y_\mu} \langle PS | F^{\alpha\mu}(-y)[-y, y]F^{\beta\nu}(y)| PS \rangle$$

$$= \langle PS | F^{\alpha\mu}(-y)[-y, y]F^{\beta\nu}(y)| PS \rangle + 2\langle PS | F^{\alpha\mu}(-y)g\not{\psi}(y)\gamma^\rho \not{\psi}(y)| PS \rangle$$

$$+ \langle PS | t \int_1^{-1} dt \, t + 1 F^{\alpha\mu}(-y)[-y, ty]gF^{\beta\nu}(ty)[ty, y]F^{\beta\nu}(y)| PS \rangle. \quad (26)$$
where we used the QCD e.o.m., \( D_\beta(y) F^{\beta \mu}(y) = -g \overline{\psi}(y) \gamma^\mu t^\alpha \psi(y) \), in the second term of r.h.s. In order to get a relation among the twist-3 distributions from (26), one needs inverse Fourier transform of (11), (3) and (17). In particular, to calculate l.h.s. and the first term in the r.h.s. of (26), one has to use the following form:

\[
\langle PS | F^{\alpha \nu}(-y) [-y, y] F^{\beta \mu}(y) | PS \rangle = \int dx \ e^{-2ixp \cdot y} \left\{ \frac{1}{2} \left\{ \left( -g_{\alpha \beta}^\mu + g_{\alpha \beta}^\mu \right) p^\nu - \left( -g_{\beta \nu}^\mu + g_{\beta \nu}^\mu \right) p^\alpha \right\} xG(x) \right. \\
\left. + \frac{iS \cdot y}{2(p \cdot y)^2} \left\{ \left( \epsilon^{\alpha \alpha \beta} p^\nu - \epsilon^{\alpha \alpha \beta} p^\nu \right) p^\nu - \left( \epsilon^{\alpha \alpha \beta} p^\mu - \epsilon^{\alpha \alpha \beta} p^\mu \right) p^\alpha \right\} x\Delta G(x) \right. \\
\left. - \frac{iM}{2p \cdot y} \left\{ \left( \epsilon^{\alpha \alpha \beta} \partial x \mu - \epsilon^{\alpha \alpha \beta} \partial x \mu \right) p^\nu - \left( \epsilon^{\alpha \alpha \beta} \partial x \mu - \epsilon^{\alpha \alpha \beta} \partial x \mu \right) p^\nu \right\} x\Delta G_{3T}(x) \right. \\
\left. + \frac{iM}{2p \cdot y} \left\{ \epsilon^{\beta \mu \nu} \left( S_{\alpha \alpha}^\nu - S_{\alpha \alpha}^\nu \right) - \epsilon^{\alpha \mu \nu} \left( S_{\alpha \alpha}^\nu - S_{\alpha \alpha}^\nu \right) \right\} x\Delta H_{3T}(x) \right].
\]

In taking the derivative of (27) with respect to \( y^\beta \), one should use the form \( S_{\alpha \alpha}^\mu = S^\mu - \frac{\epsilon^{\mu \nu \rho}}{p^\nu} p^\rho \) and \( g_{\alpha \beta}^\mu = g^\mu - \frac{\epsilon^{\mu \nu \rho} p^\nu + \epsilon^{\mu \nu \rho} p^\nu}{p^\nu} \), keep all components of \( y^\mu \) with \( y^2 \neq 0 \) and then take \( y^\mu \rightarrow y^\mu y^- \) limit. With this procedure, we have eventually obtained the following relation:

\[
x \frac{\partial}{\partial x} (x\Delta G_{3T}(x)) + x\Delta H_{3T}(x) + x\Delta G(x) = -2 \frac{dD_\beta(y)}{dy} + 4 \int dx_1 \mathcal{P} \frac{1}{x - x_1} \frac{\partial}{\partial x} \left\{ 2N(x_1, x_1 - x) + N(x, x - x_1) - N(x_1, x) \right\} \\
+ 4 \int dx_1 \mathcal{P} \frac{1}{(x - x_1)^2} \left\{ -N(x_1, x_1 - x) - 2N(x, x - x_1) + N(x_1, x) \right\}.
\]

This relation is independent from (15) and (22), and the three relations (15), (22) and (28) allow one to solve \( \Delta G_{3T}(x) \), \( \Delta H_{3T}(x) \) and \( \Delta G_{3T}(x) \) in terms of \( \Delta G(x) \) and the dynamical functions.

Here we comment on the relations obtained from operator identities other than (24). One can derive a constraint relation by considering the following correlation function:

\[
y_\rho \left[ \frac{\partial}{\partial y_\rho} \langle PS | F^{\mu \nu}(-y) [-y, y] F^{\beta \nu}(y) | PS \rangle - (\rho \leftrightarrow \beta) \right].
\]

We found that this correlator simply gives the relation that is obtained from (15) and (22), which supplies a good consistency check. We also found that the operator identity for the correlator

\[
y_\rho \left[ \frac{\partial}{\partial y_\rho} \langle PS | F^{\mu \nu}(-y) [-y, y] \tilde{F}^{\beta \nu}(y) | PS \rangle - (\rho \leftrightarrow \beta) \right],
\]

with \( \tilde{F}^{\beta \nu} = \frac{1}{2} \epsilon^{\beta \nu \rho \tau} F_{\rho \tau} \) gives the same relation as (28), which also serves to confirm our result.
It is interesting to compare our approach and that in [63]. The authors of [63] analyzed the correlator (30) to express $\Delta \hat{G}_3^T(x)$ and $\Delta \hat{G}_1^T(x)$ in terms of $\Delta G(x)$ and the dynamical twist-3 distributions. They started from the identity

$$y_\rho \left[ \frac{\partial}{\partial y_\rho} \langle PS | \mathcal{F}^{\mu \nu}(-y) \tilde{F}^{\beta \nu}(y) | PS \rangle - (\rho \leftrightarrow \beta) \right] = \langle PS | \left( \mathcal{F}^{\mu \nu}(-y) \tilde{F}_\nu(y) - \mathcal{F}_\nu(y) \tilde{F}^{\beta \nu}(y) \right) | PS \rangle$$

$$+ y_\alpha y_\rho \left[ \frac{\partial}{\partial y_\rho} \langle PS | \mathcal{F}^{\alpha \nu}(-y) \tilde{F}^{\beta \nu}(y) | PS \rangle - (\rho \leftrightarrow \beta) \right].$$  \hspace{1cm} (31)

The second term in the r.h.s. can be rewritten further to be expressed in terms of the $F$-type functions. In our approach, the l.h.s. and the first term in the r.h.s. are calculated by using (27) and are expressed in terms of the intrinsic distributions. In this method, $\Delta H_3^T(x)$ does not survive in the l.h.s., while it does appear in the first term of the r.h.s. This procedure leads to the same relation as (28). As for the method of [63], they treated the l.h.s. of (31) in the same way as ours (although they did not refer to the presence of $\Delta H_3^T$ term). On the other hand, they analyzed the first term in the r.h.s. of (31) in a different way. They did not use the form (27), but rewrote it directly in terms of the $F$-type functions. Therefore they could obtain the constraint relation among the twist-3 distribution functions without recourse to $\Delta H_3^T(x)$ contribution at any stage. As we will see in the next subsection, our results for $\Delta G_3^T(x)$ and $\Delta G_1^T(x)$ agree with those in [63]. Our approach can also supply the expression for $\Delta H_3^T(x)$. (See next subsection.)

### 2.4 Solution for intrinsic and kinematical DFs in terms of twist-2 and dynamical twist-3 DFs

As we found in previous subsections, eqs. (15), (22) and (28) constitute a complete set of the independent relations among the twist-3 intrinsic, kinematical and dynamical DFs. Here we provide a solution for the intrinsic and kinematical functions in terms of the twist-2 and dynamical twist-3 DFs. Taking the sum of (15) and (22), we obtain

$$x \Delta H_3^T(x) = -x \Delta G_3^T(x) - \frac{2}{x} D_g(x) + \frac{8}{x} \int dx_1 \mathcal{P} \frac{1}{x-x_1} \{ N(x, x-x_1) + N(x_1, x-x_1) \}. \hspace{1cm} (32)$$

Inserting this into (28) to eliminate $\Delta H_3^T(x)$, we have

$$x^2 \frac{d}{dx} \Delta G_3^T(x) + x \Delta G(x) + 2x \frac{d}{dx} \left( \frac{D_g(x)}{x} \right)$$

$$= 4 \int dx_1 \mathcal{P} \frac{1}{x-x_1} \frac{\partial}{\partial x} \{ 2N(x_1, x_1-x) + N(x, x-x_1) - N(x_1, x) \}$$

$$+ 4 \int dx_1 \mathcal{P} \frac{1}{(x-x_1)^2} \{ -N(x_1, x_1-x) - 2N(x, x-x_1) + N(x_1, x) \}$$

$$- \frac{8}{x} \int dx_1 \mathcal{P} \frac{1}{x-x_1} \{ N(x, x-x_1) + N(x_1, x_1-x) \}. \hspace{1cm} (33)$$
This equation can be integrated to give
\[
\Delta G_{3T}(x) = -\int_{\epsilon(x)}^{x} dx_1 \frac{\Delta G(x_1)}{x_1} - 2 \left\{ \frac{D_g(x)}{x^2} + \int_{\epsilon(x)}^{x} dx_1 \frac{D_g(x_1)}{x_1^3} \right\}
\]
\[+ \frac{4}{x^2} \int_{-1}^{1} dx_1 P \frac{1}{x - x_1} \left\{ 2N(x_1, x_1 - x) + N(x, x - x_1) - N(x_1, x) \right\}
\]
\[+ \int_{\epsilon(x)}^{x} dx_2 \frac{8}{x_2^3} \int_{-1}^{1} dx_1 P \frac{1}{x_2 - x_1} \left\{ N(x_1, x_1 - x_2) - N(x_1, x_2) \right\}
\]
\[+ \int_{\epsilon(x)}^{x} dx_2 \frac{4}{x_2^7} \int_{-1}^{1} dx_1 P \frac{1}{(x_2 - x_1)^2} \left\{ N(x_1, x_1 - x_2) - N(x_2, x_2 - x_1) \right\}.
\] (34)

Combining this result and (35), one obtains the expression for \(\Delta G_T^{(1)}(x)\) as
\[
\Delta G_T^{(1)}(x) = x^2 \int_{\epsilon(x)}^{x} dx_1 \frac{\Delta G(x_1)}{x_1} + 2x^2 \int_{\epsilon(x)}^{x} dx_1 \frac{D_g(x_1)}{x_1^3}
\]
\[-x^2 \int_{\epsilon(x)}^{x} dx_2 \frac{8}{x_2^3} \int_{-1}^{1} dx_1 P \frac{1}{x_2 - x_1} \left\{ N(x_1, x_1 - x_2) - N(x_1, x_2) \right\}
\]
\[-x^2 \int_{\epsilon(x)}^{x} dx_2 \frac{4}{x_2^7} \int_{-1}^{1} dx_1 P \frac{1}{(x_2 - x_1)^2} \left\{ N(x_1, x_1 - x_2) - N(x_2, x_2 - x_1) \right\}.
\] (35)

The result in (34) and (35) agrees with that in [63]. Insertion of (34) into (32) gives the expression for \(\Delta H_{3T}(x)\) as
\[
\Delta H_{3T}(x) = \int_{\epsilon(x)}^{x} dx_1 \frac{\Delta G(x_1)}{x_1} + 2 \int_{\epsilon(x)}^{x} dx_1 \frac{D_g(x_1)}{x_1^3}
\]
\[+ \frac{4}{x^2} \int_{-1}^{1} dx_1 P \frac{1}{x - x_1} \left\{ N(x, x - x_1) + N(x_1, x) \right\}
\]
\[-8 \int_{\epsilon(x)}^{x} dx_2 \frac{1}{x_2^3} \int_{-1}^{1} dx_1 P \frac{1}{x_2 - x_1} \left\{ N(x_1, x_1 - x_2) - N(x_1, x_2) \right\}
\]
\[-4 \int_{\epsilon(x)}^{x} dx_2 \frac{1}{x_2^7} \int_{-1}^{1} dx_1 P \frac{1}{(x_2 - x_1)^2} \left\{ N(x_1, x_1 - x_2) - N(x_2, x_2 - x_1) \right\}.
\] (36)

This result is new. As shown in (34), (35) and (36), the intrinsic and kinematical twist-3 gluonic distributions are completely determined by \(\Delta G(x)\) (often called Wandzura-Wilczek contribution) and the F-type purely gluonic correlation function \(N(x_1, x_2)\) and the quark-gluon correlation function \(G_F(x_1, x_2)\). Since these relations are model independent exact relations, they need to be satisfied in phenomenological applications. These relations also provide a basis for the renormalization of the intrinsic and the kinematical twist-3 distributions. The evolution equation for \(N(x_1, x_2)\) and \(G_F(x_1, x_2)\) have already been derived in [55]. The above relations (34), (35) and (36) shows it also determines the scale dependence of \(\Delta G_{3T}(x)\), \(\Delta G_T^{(1)}\) and \(\Delta H_{3T}(x)\).
3 Twist-3 gluon fragmentation functions

3.1 Intrinsic, kinematical and dynamical twist-3 gluon fragmentation functions

In this section we extend our analysis in the previous section to the twist-3 gluon fragmentation function (FFs). We consider FFs for a spin-1/2 baryon with mass $M_h$, four momentum $P_h$ ($P_h^2 = M_h^2$), and the spin vector $S$ ($S^2 = -M_h^2$). In the twist-3 accuracy, we can treat $P_h$ as lightlike and introduce another lightlike vector $w$ by the relation $P_h \cdot w = 1$. We again work in a frame where $P_h^\mu = P_h^+ \delta^\mu_+ + w^\mu / P_h^+$. Transverse spin vector for the baryon $S_\perp^\mu$ is normalized as $S_\perp^2 = -1$. Similarly to (1), the gluon’s collinear FFs can be defined from the following fragmentation matrix elements [62]:

$$
\hat{G}^{\alpha\nu,\beta\mu}(z) = \frac{1}{N^2 - 1} \int \frac{d\lambda}{2\pi} e^{-i\lambda/z} \sum_X \langle 0 | [\langle \infty w, 0 | F^{\alpha\nu}(0) \rangle_a | hX \rangle \langle hX | (F^{\beta\mu}(\lambda w)[\lambda w, \infty w]) | 0 \rangle | 0 \rangle
$$

$$
= \left\{ \left( -g^{\alpha\beta}_h P^\mu_h + g^{\mu\nu}_h P^\nu_h \right) P^\lambda_h - \left( -g^{\beta\mu}_h P^\mu_h + g^{\mu\nu}_h P^\nu_h \right) P^\lambda_h \right\} \hat{G}(z)
$$

$$
+i(S \cdot w) \left\{ \left( \epsilon^{\mu}_{P_hw} P^\mu_h - \epsilon^{\mu}_{P_hw} P^\lambda_h \right) P^\nu_h - \left( \epsilon^{\nu}_{P_hw} P^\mu_h - \epsilon^{\nu}_{P_hw} P^\lambda_h \right) P^\rho_h \right\} \hat{G}_3^T(z)
$$

$$
n - M_h \left\{ \left( \epsilon^{\alpha\beta}_{wS_\perp} P^\mu_h - \epsilon^{\alpha\mu\nu}_{wS_\perp} P^\nu_h \right) P^\lambda_h - \left( \epsilon^{\nu\beta}_{wS_\perp} P^\mu_h - \epsilon^{\nu\mu\nu}_{wS_\perp} P^\nu_h \right) P^\lambda_h \right\} \hat{G}_3^T(z)
$$

$$
n + i M_h \left\{ \epsilon^{\beta\mu}_{P_hw} \left( S^\alpha_h P^\nu_h - S^\nu_h P^\alpha_h \right) + \epsilon^{\alpha\nu}_{P_hw} \left( S^\beta_h P^\mu_h - S^\mu_h P^\beta_h \right) \right\} \hat{H}_3^T(z)
$$

$$
+ i M_h \left\{ \epsilon^{\beta\mu}_{P_hw} \left( S^\alpha_h P^\nu_h - S^\nu_h P^\alpha_h \right) - \epsilon^{\alpha\nu}_{P_hw} \left( S^\beta_h P^\mu_h - S^\mu_h P^\beta_h \right) \right\} \hat{H}_3^T(z) + \cdots,
$$

(37)

where $N = 3$ is the number colors for $SU(N)$ and $\cdots$ denotes twist-4 or higher. All functions in (37) are defined as real. Note that the last two terms drop in the correlator $\sim \langle F^{\alpha\nu}(0) \rangle_a$, but we need this general correlator to derive relations among the twist-3 gluonic FFs. $\hat{G}(z)$ and $\hat{G}_3^T(z)$ are, respectively, twist-2 unpolarized and helicity FFs, and other 4 functions $\hat{G}_3^T(z)$, $\hat{G}_3^T(z)$, $\hat{H}_3^T(z)$ and $\hat{H}_3^T(z)$ are intrinsic twist-3 FFs. Compared with the distribution functions, the number of twist-3 FFs is doubled due to the absence of the constraint from time reversal invariance, i.e., “naively $T$-odd” FFs $\hat{G}_3^T(z)$ and $\hat{H}_3^T(z)$ survive in addition to “naively $T$-even” $\hat{G}_3^T(z)$ and $\hat{H}_3^T(z)$. Each function in (37) has a support on $0 < z < 1$.

The second type of gluon’s FFs are the kinematical FFs, which are defined by

$$
\hat{\Gamma}^{\alpha\beta\gamma}(z) = \frac{1}{N^2 - 1} \sum_X \int \frac{d\lambda}{2\pi} e^{-i\lambda/z} \langle 0 | [\langle \infty w, 0 | F^{\alpha\nu}(0) \rangle_a | hX \rangle \langle hX | (F^{\beta\gamma}(\lambda w)[\lambda w, \infty w]) | 0 \rangle i \frac{\delta}{\delta \lambda} \rangle
$$

$$
= -\frac{M_h}{2} g^{\alpha\beta}_h \gamma P^\nu_h S^\gamma \hat{G}^{(1)}_T(z) - i \frac{M_h}{2} \epsilon^{\alpha\beta}_{P_hw} S^\gamma \Delta \hat{G}^{(1)}_T(z)
$$

11
\[\frac{M_h}{8} \left( \epsilon^{P_hwS_\perp (\alpha g_\perp^2)} + \epsilon^{P_hw\gamma (\alpha S_\perp^2)} \right) \Delta \tilde{H}^{(1)}_T (z) + \text{(terms proportional to } P_h^\gamma) + \cdots, \quad (38)\]

where \cdots denotes twist-4 or higher. These three kinematical FFs \( \tilde{G}_T^{(1)}(z) \), \( \Delta \tilde{G}_T^{(1)}(z) \) and \( \Delta \tilde{H}^{(1)}_T(z) \) can also be written as the \( k_z^2/M_h^2 \)-moment of the TMD FFs as in \( [2] \) for the distribution functions. Each function has a support on \( 0 < z < 1 \).

The third type of twist-3 FFs are the dynamical ones which are defined as the three gluon \( N \)-type FFs. Although they appear in a certain cross section, e.g., \( pp \to \Lambda^+X \) \([37,38]\), they are not related to other twist-3 FFs. We therefore do not consider those FFs hereafter.

One can also define another set of twist-3 FFs by the replacement of \( g^{\mu \nu \gamma}(\mu w) \to D^\gamma(\mu w) \) in \([39]\), which gives

\[\tilde{N}^{\alpha \beta \gamma}_D \left( \frac{1}{z_1}, \frac{1}{z_2} \right) = \frac{i}{N^2 - 1} \sum_X \int \frac{d\lambda}{2\pi} \int \frac{d\mu}{2\pi} e^{-i\lambda_1 e^{-i\mu(\frac{1}{z_2} - \frac{1}{z_1})}} i f^{abc}(\mu)|F_{a}^{\mu \omega}(0)|hX \times \langle hX | F_{b}^{\omega \beta}(\lambda w) g_{C}^{\nu \gamma}(\mu w) | 0 \rangle \]

\[= i M_h \left[ \frac{g_{1}^{\alpha \beta} \epsilon^{P_h w S_{\perp}} D_2 \left( \frac{1}{z_1}, \frac{1}{z_2} \right) + g_{1}^{\alpha \gamma} \epsilon^{P_h w S_{\perp}} D_3 \left( \frac{1}{z_1}, \frac{1}{z_2} \right) + g_{1}^{\beta \gamma} \epsilon^{P_h w S_{\perp}} D_1 \left( \frac{1}{z_1}, \frac{1}{z_2} \right) \right] \]

\[+ P_{h}^{\gamma} g_{1}^{\alpha \beta} \hat{G} \left( \frac{1}{z_1}, \frac{1}{z_2} \right) \frac{1}{z_2} \frac{1}{z_2} - i P_{h}^{\gamma} \epsilon^{P_h w \omega \alpha}(S \cdot w) \Delta \hat{G} \left( \frac{1}{z_1}, \frac{1}{z_2} \right) \frac{1}{z_2} \]

\[+ i M_h P_{h}^{\gamma} \epsilon^{P_h w S_{\perp}} \Delta \hat{G}_{3T} \left( \frac{1}{z_1}, \frac{1}{z_2} \right) \frac{1}{z_2} - M_h P_{h}^{\gamma} \epsilon^{P_h w S_{\perp}} \alpha \omega \beta \Delta \hat{G}_{4T} \left( \frac{1}{z_1}, \frac{1}{z_2} \right) \frac{1}{z_2}, \quad (40)\]
where gauge links are suppressed for simplicity. $\hat{D}_{1,2,3}\left(\frac{1}{z_1}, \frac{1}{z_2}\right)$ are also complex functions, and are called $D$-type FFs. Functions in the last two lines are related to those in \[37]\: From the relation

$$-z \int d\left(\frac{1}{z_1}\right) \tilde{N}_D^{\alpha\beta\gamma} \left(\frac{1}{z_1}, \frac{1}{z}\right) \omega = \tilde{\Gamma}^{\omega,\beta\gamma}(z),$$

(41)

it is easy to see

$$\int d\left(\frac{1}{z_1}\right) f\left(\frac{1}{z_1}, \frac{1}{z}\right) = \hat{f}(z), \quad \text{for } \hat{f} = \hat{G}, \Delta \hat{G}, \Delta \hat{G}_{3T}, \Delta \hat{G}_{3T}.$$  

(42)

Finally we introduce another dynamical FFs defined by

$$\tilde{\Delta}^\alpha \left(\frac{1}{z_1}, \frac{1}{z_2}\right) = \frac{1}{N} \sum_{X} \int \frac{d\lambda}{2\pi} \int \frac{d\mu}{2\pi} e^{-i\frac{z_1}{z_2} e^{-i\lambda}} (0|F^\omega_{\mu\nu}(\mu\nu)|hX\rangle \langle hX|\hat{\psi}_j(\lambda)T^a\psi_i(0)|0)$$

$$= M_h \left[ e^{i\epsilon\mu S_{\perp}(\mu S_{\perp})_{ij} \bar{D}_{FT} \left(\frac{1}{z_1}, \frac{1}{z_2}\right) + iS^\alpha_{\perp} (\gamma S_{\perp})_{ij} \bar{G}_{FT} \left(\frac{1}{z_1}, \frac{1}{z_2}\right) \right],$$

(43)

where the spinor indices $i, j$ are shown explicitly. These two functions $\bar{D}_{FT}$ and $\bar{G}_{FT}$ are, in general, complex functions with their naively “T-even” real part and the “T-odd” imaginary part.

They have a support on $\frac{1}{z_1} > 0, \frac{1}{z_2} < 0$ and $\frac{1}{z_1} - \frac{1}{z_2} > 1$. As we will see below, constraint relations for the twist-3 gluonic FFs involve these $F$-type quark-gluon correlation functions through QCD e.o.m. We collectively call the functions in \[39]\ and \[43]\ dynamical twist-3 FFs.

### 3.2 Relations between $D$- and $F$-type FFs and QCD equation of motion

The gluon FFs introduced in \[37], \[40] are not independent, but are related by various operator identities. Using the identity \[45], we find $D$-type and $F$-type FFs are related as

$$\tilde{N}_D^{\alpha\beta\gamma} \left(\frac{1}{z_1}, \frac{1}{z_2}\right) = \bar{P} \left(\frac{1}{z_1}, \frac{1}{z_2}\right) \tilde{N}_F^{\alpha\beta\gamma} \left(\frac{1}{z_1}, \frac{1}{z_2}\right) = \delta \left(\frac{1}{z_1} - \frac{1}{z_2}\right) \tilde{\Gamma}_\delta^{\alpha\beta\gamma}(z_1).$$

(44)

An important difference of this relation from the similar one for the distribution function \[7] is that the correlator for the kinematical FFs appear directly as the coefficient of $\delta$-function. This is because $F$-type FFs become 0 at $z_1 = z_2$ due to the support property as shown in \[64, 65]. From \[41], we have

$$\hat{D}_1 \left(\frac{1}{z_1}, \frac{1}{z_2}\right) = \bar{P} \left(\frac{1}{z_1}, \frac{1}{z_2}\right) N_1 \left(\frac{1}{z_1}, \frac{1}{z_2}\right) + \delta \left(\frac{1}{z_1} - \frac{1}{z_2}\right) \left(-\frac{1}{2} \Delta \hat{G}_T^{(1)}(z_1) + \frac{i}{4} \Delta \hat{H}_T^{(1)}(z_1)\right),$$

(45)

$$\hat{D}_2 \left(\frac{1}{z_1}, \frac{1}{z_2}\right) = \bar{P} \left(\frac{1}{z_1}, \frac{1}{z_2}\right) \tilde{N}_2 \left(\frac{1}{z_1}, \frac{1}{z_2}\right) + \delta \left(\frac{1}{z_1} - \frac{1}{z_2}\right) \left(-\frac{i}{2} \hat{G}_T^{(1)}(z_1) - \frac{i}{4} \Delta \hat{H}_T^{(1)}(z_1)\right),$$

(46)

$$\hat{D}_3 \left(\frac{1}{z_1}, \frac{1}{z_2}\right) = \bar{P} \left(\frac{1}{z_1}, \frac{1}{z_2}\right) \tilde{N}_2 \left(\frac{1}{z_1}, \frac{1}{z_2}\right) + \delta \left(\frac{1}{z_1} - \frac{1}{z_2}\right) \left(-\frac{i}{2} \hat{G}_T^{(1)}(z_1) + \frac{i}{4} \Delta \hat{H}_T^{(1)}(z_1)\right).$$

(47)

These relations show $\hat{D}_{1,2,3} \left(\frac{1}{z_1}, \frac{1}{z_2}\right)$ are completely determined by $\tilde{N}_{1,2} \left(\frac{1}{z_1}, \frac{1}{z_2}\right)$ and the kinematical FFs. Following the same procedure leading to \[15]\ from \[7], we can derive the e.o.m. relation.
by contracting (44) with $g_{\beta\gamma}$ as

$$
\frac{1}{z} \left( \Delta \tilde{G}_{3T}(z) + i \Delta \tilde{G}_{3T}(z) \right) - i \tilde{D}_{FT}(z)
$$

$$
= i \int d \left( \frac{1}{z_1} \right) P \left( \frac{1}{z} \right) \left\{ -2 \tilde{N}_1 \left( \frac{1}{z_1}, \frac{1}{z} \right) - \tilde{N}_2 \left( \frac{1}{z_1}, \frac{1}{z} \right) + \tilde{N}_2 \left( \frac{1}{z} - \frac{1}{z_1}, \frac{1}{z} \right) \right\}
$$

$$
+ \frac{1}{2} \left( \tilde{G}^{(1)}_T(z) + \Delta \tilde{H}^{(1)}_T(z) \right) + i \Delta \tilde{G}^{(1)}_T(z),
$$

(48)

where $\tilde{D}_{FT}(z)$ is defined from the dynamical FFs in (43) as

$$
\tilde{D}_{FT}(z) \equiv \frac{2}{C_F} \int_{0}^{1/z} d \left( \frac{1}{z_1} \right) \tilde{D}_{FT} \left( \frac{1}{z_1}, \frac{1}{z} \right),
$$

(49)

with $C_F = \frac{N_c^2 - 1}{2N_c}$, and it has a support on $0 < z < 1$. Real and imaginary part of (48), respectively, reads

$$
\frac{1}{z} \Delta \tilde{G}_{3T}(z) + \Re \tilde{D}_{FT}(z)
$$

$$
= \int d \left( \frac{1}{z_1} \right) P \left( \frac{1}{z} \right) \Re \left\{ 2 \tilde{N}_1 \left( \frac{1}{z_1}, \frac{1}{z} \right) + \tilde{N}_2 \left( \frac{1}{z_1}, \frac{1}{z} \right) - \tilde{N}_2 \left( \frac{1}{z} - \frac{1}{z_1}, \frac{1}{z} \right) \right\}
$$

$$
+ \frac{1}{2} \left( \tilde{G}^{(1)}_T(z) + \Delta \tilde{H}^{(1)}_T(z) \right),
$$

(50)

and

$$
\frac{1}{z} \Delta \tilde{G}_{3T}(z) - \Im \tilde{D}_{FT}(z)
$$

$$
= \int d \left( \frac{1}{z_1} \right) P \left( \frac{1}{z} \right) \Im \left\{ -2 \tilde{N}_1 \left( \frac{1}{z_1}, \frac{1}{z} \right) - \tilde{N}_2 \left( \frac{1}{z_1}, \frac{1}{z} \right) + \tilde{N}_2 \left( \frac{1}{z} - \frac{1}{z_1}, \frac{1}{z} \right) \right\}
$$

$$
+ \frac{1}{2} \Delta \tilde{G}^{(1)}_T(z).
$$

(51)

The relation (51) is the FF version of (15).

We can also derive another relation from (44). Following a similar step from (19) to (20), we obtain the following relation.

$$
\frac{\Delta \tilde{H}_{3T}(z)}{z} + i \frac{\Delta \tilde{G}_{3T}(z)}{z} = i \int d \left( \frac{1}{z_1} \right) \left[ \tilde{D}_2 \left( \frac{1}{z_1}, \frac{1}{z} \right) - \tilde{D}_3 \left( \frac{1}{z_1}, \frac{1}{z} \right) \right].
$$

(52)

Using (46) and (47) in the r.h.s. of this equation and comparing real and imaginary parts of both sides, one obtains the following two relations.

$$
\frac{\Delta \tilde{H}_{3T}(z)}{z} = \int d \left( \frac{1}{z_1} \right) P \frac{1}{z - \frac{1}{z_1}} \Re \left[ \tilde{N}_2 \left( \frac{1}{z_1}, \frac{1}{z} \right) + \tilde{N}_2 \left( \frac{1}{z} - \frac{1}{z_1}, \frac{1}{z} \right) \right]
$$

$$
\frac{\Delta \tilde{G}_{3T}(z)}{z} = \int d \left( \frac{1}{z_1} \right) \left[ \tilde{D}_2 \left( \frac{1}{z_1}, \frac{1}{z} \right) - \tilde{D}_3 \left( \frac{1}{z_1}, \frac{1}{z} \right) \right].
$$
\[ + \frac{1}{2} \left( \Delta \hat{H}_T^{(1)}(z) - \hat{G}_T^{(1)}(z) \right), \quad (53) \]

\[
\frac{\Delta \hat{H}_T(z)}{z} = \int d \left( \frac{1}{z_1} \right) \mathcal{P} \left[ - \frac{1}{z - \frac{1}{z_1}} \right] \left[ \hat{N}_2 \left( \frac{1}{z_1}, \frac{1}{z} \right) + \hat{N}_2 \left( \frac{1}{z}, \frac{1}{z_1} \right) \right]
\]

\[ - \frac{1}{2} \Delta \hat{G}_T^{(1)}(z). \quad (54) \]

The second one is the FF version of (22) for the distribution function.

To summarize this section, we have derived two independent relations among the intrinsic, kinematical and dynamical functions, (51) and (54), for the “T-even” sector, and two independent ones (50) and (53) for the “T-odd” sector. One needs another independent relation for the former and two more relations for the latter.

### 3.3 Constraint relations from nonlocal operator product expansion

In this subsection, we will derive the relations among the twist-3 gluonic FFs, employing the method used in section 2.3. To this end, we consider operator identities for the correlation functions away from the lightcone which become the fragmentation matrix element in the lightlike limit. We need to calculate a matrix element like

\[
\partial \frac{\partial}{\partial y} \rho \langle 0 | \left[ \left[ \infty, -y \right] F^\alpha \nu(-y) \right]_a hX \langle hX \left| \left( F^{\beta \mu}(y, \infty y) \right)_a \right| 0 \rangle,
\]

for \( y^2 \neq 0 \) and take the \( y^\mu \to \delta^\mu_1 y^1 \) limit after differentiation. To calculate (55), we use the following operator identities:

\[
\left( F^{\beta \mu}(y, \infty y) \right)_a \frac{\partial}{\partial y} \rho = \left( F^{\beta \mu}(y) \big\{ \big[ F^{\alpha \nu}(y, \infty y) \big]_a \right) + i \int_{t_1}^{1} dt \left( F^{\beta \mu}(y) \left[ y, ty \right] gF^{\rho \mu}(ty) \left[ ty, \infty y \right] \right)_a,
\]

\[
\frac{\partial}{\partial y} \rho \left( \left[ \infty, -y \right] F^\alpha \nu(-y) \right)_a
\]

\[ = - \left( \left[ \infty, -y \right] D^\rho(-y) F^\alpha \nu(-y) \right)_a + i \int_{t_1}^{\infty} dt \left( \left[ \infty, ty \right] gF^{\rho \mu}(ty) \left[ ty, -y \right] F^\alpha \nu(-y) \right)_a .\]

From translational invariance, we also have the relation

\[
0 = \lim_{\xi \to 0} \frac{d}{d \xi} \langle 0 | \left[ \left[ \infty, \xi, -y + \xi \right] F^\alpha \nu(-y + \xi) \right]_a hX \langle hX \left| \left( F^{\beta \mu}(y + \xi) \right)_a \right| 0 \rangle
\]

\[ = \langle 0 | \left[ \left[ \infty, -y \right] F^\alpha \nu(-y) \right]_a hX \langle hX \left| \left( F^{\beta \mu}(y) \big\{ \big[ F^{\alpha \nu}(y, \infty y) \big]_a \right| 0 \rangle
\]

\[ + \langle 0 | \left( \left[ \infty, -y \right] F^\alpha \nu(-y) \right)_a hX \langle hX \left| i \int_{t_1}^{\infty} dt \left( F^{\beta \mu}(y) \left[ y, ty \right] gF^{\rho \mu}(ty) \left[ ty, \infty y \right] \right)_a \right| 0 \rangle
\]

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3.1. To calculate the l.h.s. of (59), we need the Fourier inversion of (37) for non-lightlike separation twist-3 FFs can be obtained by expressing each term of (59) in terms of the FFs defined in Sec. This equation is the starting point of our analysis in this section. Constraint relations for the

\[ \langle \infty, -y | D^\alpha (y) F^{\alpha \nu} (y) | h X \rangle \langle h X | (F^{\beta \mu} (y) [y, \infty y])_a | 0 \rangle + \langle 0 | \int_{-1}^{\infty} dt (| \infty, y | g F^{\rho \mu} (ty) [ty, -y] F^{\alpha \nu} (y) | h X \rangle \langle h X | (F^{\beta \mu} (y) [y, \infty y])_a | 0 \rangle. \] (58)

In (53)-(58), we have explicitly written gauge links and color indices. Below we will suppress them for brevity. Inserting (56) and (57) into (55), and using (58) to eliminate the term containing \( \langle 0 | D^\rho (y) F^{\alpha \nu} (y) | h X \rangle \), one obtains

\[ \frac{\partial}{\partial y_\rho} \langle 0 | F^{\alpha \nu} (y) | h X \rangle \langle h X | (F^{\beta \mu} (y))_a | 0 \rangle = 2 \langle 0 | F^{\alpha \nu} (y) | h X \rangle \langle h X | (F^{\beta \mu} (y))_a \tilde{D}^\rho (y) | 0 \rangle + \langle 0 | F^{\alpha \nu} (y) | h X \rangle \langle h X | (F^{\beta \mu} (y))_a | 0 \rangle \] (59)

This equation is the starting point of our analysis in this section. Constraint relations for the twist-3 FFs can be obtained by expressing each term of (59) in terms of the FFs defined in Sec. 3.1. To calculate the l.h.s. of (59), we need the Fourier inversion of (37) for non-lightlike separation \( (y^2 \neq 0) \), which can be written as

\[ \frac{1}{N^2 - 1} \sum_X \langle 0 | F^{\alpha \nu} (y) | h X \rangle \langle h X | (F^{\beta \mu} (y))_a | 0 \rangle = \int d \left( \frac{1}{z} \right) e^{2i P_h y^i / z} \left[ \left\{ -g_{\alpha \beta} P_{h \mu} + g_{\alpha \mu} P_{h \beta} \right\} P_{h \nu} - \left( -g_{\nu \beta} P_{h \mu} + g_{\nu \mu} P_{h \beta} \right) P_{h \alpha} \right] \tilde{G} (z) + \frac{i (S \cdot y)}{(P_h \cdot y)^2} \left\{ \left( \epsilon^{\alpha \beta \mu \nu} P_{\mu h} - \epsilon^{\alpha \mu \nu \beta} P_{\beta h} \right) P_{h \nu} - \left( \epsilon^{\nu \beta \mu \beta} P_{\mu h} - \epsilon^{\nu \beta \mu \beta} P_{\beta h} \right) P_{h \alpha} \right\} \Delta \tilde{G} (z) - \frac{M_h}{(P_h \cdot y)} \left\{ \left( \epsilon^{\alpha \nu S_{\mu \perp} + \nu \beta \epsilon^{\alpha \nu S_{\perp}} P_{\mu h} \right) P_{h \mu} - \left( \epsilon^{\mu \nu S_{\mu \perp} + \mu \beta \epsilon^{\mu \nu S_{\perp}} P_{\beta h} \right) P_{h \beta} \right\} P_{h \alpha} \right\} \Delta \tilde{G}_{3T} (z) - \frac{i M_h}{P_h \cdot y} \left\{ \left( \epsilon^{\alpha \beta S_{\perp}} P_{h h} - \epsilon^{\alpha \beta S_{\perp}} P_{h h} \right) P_{h \mu} - \left( \epsilon^{\nu \beta S_{\perp}} P_{h h} - \epsilon^{\nu \beta S_{\perp}} P_{h h} \right) P_{h \beta} \right\} \Delta \tilde{G}_{3T} (z) + \frac{M_h}{P_h \cdot y} \left\{ \epsilon^{\beta \mu P_{h \nu} \left( S_{\mu \perp} P_{h h} - S_{\mu \perp} P_{h h} \right) + \epsilon^{\alpha \nu P_{h \mu} \left( S_{\perp} P_{h h} - S_{\perp} P_{h h} \right) \right\} \Delta \tilde{H}_{3T} (z) + \ldots \right\}. \] (60)
In calculating the derivative of the l.h.s. of (59), one need to use $S^\mu_\perp = S^\mu - \frac{S^\mu y^\nu F^\nu_\perp}{P^\mu_\perp}$ and $g^\mu_\perp = g^\mu_\perp - \frac{1}{F^\mu_\perp}(P^\mu_\perp y^\nu + P^\nu_\perp y^\mu)$ in (60). This way the l.h.s. of (59) can be written in terms of the intrinsic FFs in (60). Likewise the second and the third terms in the r.h.s. of (59) can be easily expressed by using the dynamical FFs in (39). In order to express the first term in the r.h.s. of (59) in terms of the dynamical FFs, we introduce two particular contractions with respect to the Lorentz indices which allows use of the QCD e.o.m. $F_\perp^{\mu\alpha}(y)\tilde{D}_\mu(y) = -g\bar{\psi}(y)\gamma^\alpha t^\mu \psi(y)$.

### 3.3.1 Relations from operator identity I

We can obtain a constraint relation from the following identity:

$$y_\rho \left[ \frac{\partial}{\partial y_\rho} \langle 0| F^{\mu y}(-y) | hX \rangle \langle hX | F^\alpha_\mu (y) | 0 \rangle - (\alpha \leftrightarrow \rho) \right] = \langle 0| F^{\mu y}(-y) | hX \rangle \langle hX | F^\alpha_\mu (y) | 0 \rangle - \langle 0| F^{\mu \alpha}(-y) | hX \rangle \langle hX | F^y_\mu (y) | 0 \rangle + 2\langle 0| g\bar{\psi}(-y)\gamma^\alpha \psi(-y) | hX \rangle \langle hX | F^y_\alpha (y) | 0 \rangle$$

$$-2if^{abc}(0)F^{\mu y}_a(-y)|hX\rangle\langle hX|ig\int_0^1 dt F_b^{y\alpha}(y)F_{c\mu}^y(ty)|0\rangle$$

$$-if^{abc}(0)F^{\mu y}_a(-y)|hX\rangle\langle hX|ig\int_0^1 dt(t+1)F_b^\mu(y)F_{c\alpha}^y(ty)|0\rangle$$

$$-2if^{abc}(0)ig\int_{-1}^\infty dt F_a^{\mu y}(ty)F_{b\mu}^y(-y)|hX\rangle\langle hX|F_{c\alpha}^y(y)|0\rangle$$

$$-if^{abc}(0)ig\int_{-1}^\infty dt(t+1)F_{a\alpha}^y(ty)F_{b\mu}^y(-y)|hX\rangle\langle hX|F_{c\mu}^y(y)|0\rangle.$$  \hspace{1cm} (61)

This identity can be obtained as follows: We first use (59) in the l.h.s. of (61). We then find that the terms corresponding to the first term in the r.h.s. of (59) read

$$\langle 0| F^{\mu y}(-y) | hX \rangle \langle hX | F^\alpha_\mu (y) \tilde{D}_\mu(y)y_\rho - F^\rho_\mu(y) \tilde{D}_\alpha(y)y_\rho \rangle | 0 \rangle,$$

which is equal to

$$-\langle 0| F^{\mu y}(-y) | hX \rangle \langle hX | F^{\alpha \rho}(y) \tilde{D}_\mu(y)y_\rho \rangle | 0 \rangle,$$

by the Bianchi identity. Then by using the relation (58), it is transformed into

$$\langle 0| y_\beta D_\mu(y) F^{\mu \beta}(-y) | hX \rangle \langle hX | F^{\alpha \rho}(y)y_\rho \rangle | 0 \rangle,$$

plus terms which contain three field strengths. The former eventually becomes the third term in the r.h.s. of (61) by the QCD e.o.m., and the latter is shown as the fourth and the sixth terms in the r.h.s. of (61).

Using (60) and the inverse Fourier transform of (39) in (61), one obtains the following relation among the twist-3 fragmentation functions:

$$-\frac{\partial}{\partial(1/z)} \left\{ \frac{1}{z} \left( \Delta \tilde{G}_{3T}(z) - i\Delta \tilde{G}_{3T}(z) \right) \right\} + 2\Delta \tilde{G}_{3T}(z)$$
+ \frac{\partial}{\partial (1/z)} \left\{ \frac{1}{z} \left( \Delta \tilde{H}_{3T}(z) + i \Delta \tilde{H}_{3T}(z) \right) \right\} - 2 \Delta \tilde{H}_{3T}(z)

= i \frac{\partial}{\partial (1/z)} \bar{D}_{FT}(z) + i \int d\left( \frac{1}{z'} \right) \frac{1}{z - \frac{1}{z} + i \epsilon} \frac{\partial}{\partial (1/z)} \left\{ - \tilde{N}_2 \left( \frac{1}{z'}, \frac{1}{z} \right) - \tilde{N}_2 \left( \frac{1}{z} - \frac{1}{z'}, \frac{1}{z} \right) \right\}

+ i \int d\left( \frac{1}{z'} \right) \frac{1}{z - \frac{1}{z} + i \epsilon} \frac{\partial}{\partial (1/z)} \left\{ - 2 \tilde{N}_1 \left( \frac{1}{z'}, \frac{1}{z} \right) - \tilde{N}_2 \left( \frac{1}{z}, \frac{1}{z} \right) + \tilde{N}_2 \left( \frac{1}{z} - \frac{1}{z'}, \frac{1}{z} \right) \right\}

+ i \int d\left( \frac{1}{z'} \right) \frac{1}{z - \frac{1}{z} - i \epsilon} \left\{ \tilde{N}_1 \left( \frac{1}{z'}, \frac{1}{z} \right) + \tilde{N}_2 \left( \frac{1}{z} - \frac{1}{z'}, \frac{1}{z} \right) \right\}. \quad (62)

The real part of this equation reads

\begin{align*}
- \frac{\partial}{\partial (1/z)} & \left\{ \frac{1}{z} \left( \Delta \tilde{G}_{3T}(z) - \Delta \tilde{H}_{3T}(z) \right) \right\} + 2 \left( \Delta \tilde{G}_{3T}(z) - \Delta \tilde{H}_{3T}(z) \right) \\
= \frac{\partial}{\partial (1/z)} & \Im \bar{D}_{FT}(z) + i \int d\left( \frac{1}{z'} \right) \frac{1}{z - \frac{1}{z} + i \epsilon} \frac{\partial}{\partial (1/z)} \Im \left\{ - 2 \tilde{N}_1 \left( \frac{1}{z'}, \frac{1}{z} \right) + 2 \tilde{N}_2 \left( \frac{1}{z} - \frac{1}{z'}, \frac{1}{z} \right) \right\} \\
+ & i \int d\left( \frac{1}{z'} \right) \frac{1}{z - \frac{1}{z} - i \epsilon} \Im \left\{ - 2 \tilde{N}_2 \left( \frac{1}{z'}, \frac{1}{z} \right) + 2 \tilde{N}_2 \left( \frac{1}{z} - \frac{1}{z'}, \frac{1}{z} \right) \right\}, \quad (63)
\end{align*}

and the imaginary part gives

\begin{align*}
\frac{\partial}{\partial (1/z)} & \left\{ \frac{1}{z} \left( \Delta \tilde{G}_{3T}(z) + \Delta \tilde{H}_{3T}(z) \right) \right\} \\
= & \frac{\partial}{\partial (1/z)} \Re \bar{D}_{FT}(z) + i \int d\left( \frac{1}{z'} \right) \frac{1}{z - \frac{1}{z} + i \epsilon} \frac{\partial}{\partial (1/z)} \Re \left\{ - 2 \tilde{N}_1 \left( \frac{1}{z'}, \frac{1}{z} \right) - 2 \tilde{N}_2 \left( \frac{1}{z}, \frac{1}{z} \right) \right\} \\
+ & i \int d\left( \frac{1}{z'} \right) \frac{1}{z - \frac{1}{z} - i \epsilon} \Re \left\{ 2 \tilde{N}_1 \left( \frac{1}{z'}, \frac{1}{z} \right) + 2 \tilde{N}_2 \left( \frac{1}{z}, \frac{1}{z} \right) \right\}. \quad (64)
\end{align*}

Equations (63) and (64) are the constraint relations among the intrinsic and the dynamical FFs. We note that (64) is the relation obtained as the sum of (51) and (54), while (63) is an independent relation from (50) and (53).

### 3.3.2 Relations from operator identity II

Here we use the following identity to get independent relations among the twist-3 FFs:

\[
\frac{\partial}{\partial y^\mu} \langle 0 | F^{\mu \nu}(-y) | hX \rangle \langle hX | F^{\nu \mu}(y) | 0 \rangle
\]
From the identity (65), one obtains the following relation:

\[ 0 = \langle 0 | F_{\mu}^{\nu}(-y) | hX \rangle \langle 0 | F^{\mu\nu}(y) | 0 \rangle + 2 \langle 0 | F^{\nu\nu}(-y) | hX \rangle \langle 0 | g\bar{\psi}(y) i\gamma^\mu \psi(y) | 0 \rangle \]

\[ + if^{abc} \langle 0 | F_a^{\nu\nu}(-y) | hX \rangle \langle 0 | ig \int_0^1 dt(t + 1) F_b^{\nu\nu}(y) F_{c\rho}^{\nu}(ty) | 0 \rangle \]

\[ + if^{abc} \langle 0 | ig \int_{-1}^\infty dt(t + 1) F_{a\rho}^{\nu}(ty) F_b^{\nu\nu}(-y) | hX \rangle \langle 0 | F_c^{\nu\nu}(ty) | 0 \rangle. \]  

(65)

This relation is obtained by using (65) in the l.h.s. of (65), and taking into account of the QCD e.o.m., \( D_\mu(y) F_{\mu}^{\nu\nu}(y) = -g \bar{\psi}(y) \gamma^\alpha P^\nu \psi(y) \). For the calculation of the l.h.s. of this equation, one should use (65) contracted with \( y^a y^\beta \) by keeping \( y^2 \neq 0 \) before taking the derivative:

\[ \frac{1}{N^2 - 1} \sum_X \langle 0 | F^{\mu\nu}(-y) | hX \rangle \langle hX | F^{\mu\nu}(y) | 0 \rangle \]

\[ = \int d \left( \frac{1}{z} \right) e^{2iP_h y/2} \left[ \left[ -g^{\alpha\beta} y_\alpha P_\mu^{\beta} P_\nu \right. \left. + (P_h \cdot y) \left( g^{\alpha\gamma} P_\nu^{\gamma} + g^{\nu\gamma} P_\mu^{\gamma} \right) - g^{\mu\nu} (P_h \cdot y)^2 \right] \tilde{G}(z) \right. \]

\[ + i(S \cdot y) e^{P_h y/2} \Delta \tilde{G}(z) - iM_h e^{\nu \mu S_+^\beta} (P_h \cdot y) \Delta \tilde{G}_{3T}(z) \]

\[ + M_h \left\{ \frac{y^2}{P_h \cdot y} \left( e^{\nu \mu S_+^\beta} P_\nu + e^{\nu \mu S_+^\beta} P_\mu \right) - \left( e^{\mu \nu S_+^\beta} y^\nu + e^{\nu \mu S_+^\beta} y^\mu \right) \right\} \Delta \tilde{G}_{3T}(z) \].  

(66)

From the identity (65), one obtains the following relation:

\[ \frac{\partial}{\partial(1/z)} \left\{ \frac{1}{z} \Delta \tilde{G}_{3T}(z) \right\} + \frac{i}{z} \frac{\partial}{\partial(1/z)} \Delta \tilde{G}_{3T}(z) - 3 \Delta \tilde{G}_{3T}(z) - \Delta \tilde{H}_{3T}(z) + i\Delta \tilde{H}_{3T}(z) + i\Delta \tilde{G}(z) \]

\[ = i \frac{\partial}{\partial(1/z)} \tilde{D}_{FT}(z) \]

\[ - i \int d \left( \frac{1}{z'} \right) \frac{1}{z - z'} + i \epsilon \frac{\partial}{\partial(1/z)} \left\{ 2 \tilde{N}_1 \left( \frac{1}{z'}, \frac{1}{z} \right) + \tilde{N}_2 \left( \frac{1}{z'}, \frac{1}{z} \right) - \tilde{N}_2 \left( \frac{1}{z} - \frac{1}{z'}, \frac{1}{z} \right) \right\} \]

\[ + i \int d \left( \frac{1}{z'} \right) \frac{1}{z - z'} \left\{ \tilde{N}_1^* \left( \frac{1}{z'}, \frac{1}{z} \right) + 2 \tilde{N}_2^* \left( \frac{1}{z'}, \frac{1}{z} \right) - \tilde{N}_2^* \left( \frac{1}{z} - \frac{1}{z'}, \frac{1}{z} \right) \right\}. \]  

(67)

The real part of this equation gives

\[ \frac{\partial}{\partial(1/z)} \left\{ \frac{1}{z} \Delta \tilde{G}_{3T}(z) \right\} - 3 \Delta \tilde{G}_{3T}(z) - \Delta \tilde{H}_{3T}(z) \]

\[ = - \frac{\partial}{\partial(1/z)} 3 \tilde{D}_{FT}(z) \]

\[ + \int d \left( \frac{1}{z'} \right) \frac{1}{z - z'} \frac{\partial}{\partial(1/z)} \left\{ 2 \tilde{N}_1 \left( \frac{1}{z'}, \frac{1}{z} \right) + \tilde{N}_2 \left( \frac{1}{z'}, \frac{1}{z} \right) - \tilde{N}_2 \left( \frac{1}{z} - \frac{1}{z'}, \frac{1}{z} \right) \right\} \]

\[ + \int d \left( \frac{1}{z'} \right) \frac{1}{z - z'} \left\{ \tilde{N}_1 \left( \frac{1}{z'}, \frac{1}{z} \right) + 2 \tilde{N}_2 \left( \frac{1}{z'}, \frac{1}{z} \right) - \tilde{N}_2 \left( \frac{1}{z} - \frac{1}{z'}, \frac{1}{z} \right) \right\}. \]  

(68)
Since the imaginary part is
\[ \frac{1}{z} \frac{\partial}{\partial(1/z)} \{ \Delta \tilde{G}_{3T}(z) \} + \Delta \tilde{H}_{3T}(z) + \Delta \tilde{G}(z) \]

\[ = \frac{\partial}{\partial(1/z)} \Re \tilde{D}_{FT}(z) - \int d\left(\frac{1}{z'}\right) \frac{1}{z - z'} \frac{\partial}{\partial(1/z')} \Re \left\{ 2\tilde{N}_1 \left(\frac{1}{z'}, \frac{1}{z}\right) + \tilde{N}_2 \left(\frac{1}{z'}, 1\right) - \tilde{N}_2 \left(\frac{1}{z}, \frac{1}{z'}\right) \right\} \]

\[ + \int d\left(\frac{1}{z'}\right) \frac{1}{z - 1/z'} \Re \left\{ \tilde{N}_1 \left(\frac{1}{z'}, \frac{1}{z}\right) + 2\tilde{N}_2 \left(\frac{1}{z'}, \frac{1}{z}\right) - \tilde{N}_2 \left(\frac{1}{z}, \frac{1}{z'}\right) \right\} \right\} \right) \] (69)

For consistency check, we have also analyzed the correlation function
\[ y_\rho \left[ \frac{\partial}{\partial y_\rho} (0|F^{\mu y}(-y)|hX) \langle hX| F^\alpha \mu (y)|0 \rangle - (\alpha \leftrightarrow \rho) \right] \] (70)

This operator only gives the relation among the T-even functions which is identical to (69).

To summarize this section, from nonlocal OPE we have derived an independent relation (69) for the T-even sector, and two independent relations (68) and (69) for the T-odd sector.

### 3.4 Solution for intrinsic and kinematical FFs in terms of dynamical FFs

Using the constraint relations derived in subsections 3.2 and 3.3, we present here expressions for the intrinsic and the kinematical FFs in terms of the twist-2 FFs and the twist-3 dynamical FFs. Since \( \tilde{N}_1 \left(\frac{1}{z_1}, \frac{1}{z_2}\right) \), \( \tilde{N}_2 \left(\frac{1}{z_1}, \frac{1}{z_2}\right) \) and \( \tilde{N}_2 \left(\frac{1}{z_2} - \frac{1}{z_1} \right) \) have a support on \( \frac{1}{z_2} > 1 \) and \( \frac{1}{z_2} > \frac{1}{z} > 0 \), they vanish at the edge of the support, i.e., \( \tilde{N}_{1,2} \left(0, \frac{1}{z_2}\right) = \tilde{N}_{1,2} \left(\frac{1}{z_2}, \frac{1}{z}\right) = \tilde{N}_{1,2} \left(\frac{1}{z}, 1\right) = 0 \). \( \tilde{D}_{FT}(z) \) has a support on \( z < 1 \) and thus \( \tilde{D}_{FT}(1) = 0 \). Taking these boundary conditions into account, we can integrate the constraint relations.

#### 3.4.1 T-odd fragmentation functions

We first integrate (63) to obtain \( \Delta \tilde{G}_{3T}(z) = \Delta \tilde{H}_{3T}(z) \). From that result and (68) one obtains \( \Delta \tilde{H}_{3T}(z) \) and \( \Delta \tilde{G}_{3T}(z) \) in terms of the dynamical FFs. Since the calculation is straightforward, we only present the final result. The result for \( \Delta \tilde{G}_{3T}(z) \) reads

\[ \Delta \tilde{G}_{3T}(z) = -z \Im \tilde{D}_{FT}(z) - \frac{2}{z^3} \int_1^{1/z} d\left(\frac{1}{z_2}\right) \frac{z_2^5}{z_2} \Im \tilde{D}_{FT}(z_2) - \frac{1}{z} \int_1^{1/z} d\left(\frac{1}{z_2}\right) \frac{z_2^3}{z_2} \Im \tilde{D}_{FT}(z_2) \]

\[ + z \int_0^{1/z} d\left(\frac{1}{z_1}\right) \frac{1}{1/z - 1/z_1} \Im \left[ 2\tilde{N}_1 \left(\frac{1}{z_1}, \frac{1}{z}\right) + \tilde{N}_2 \left(\frac{1}{z_1}, \frac{1}{z}\right) - \tilde{N}_2 \left(\frac{1}{z}, \frac{1}{z_1}\right) \right] \]

\[ + \frac{4}{z^3} \int_1^{1/z} d\left(\frac{1}{z_2}\right) \frac{z_2^5}{z_2} \int_0^{1/z_2} d\left(\frac{1}{z_2}\right) \frac{1}{1/z_2 - 1/z_1} \Im \left[ \tilde{N}_1 \left(\frac{1}{z_1}, \frac{1}{z_2}\right) + \tilde{N}_2 \left(\frac{1}{z_1}, \frac{1}{z_2}\right) \right] \]

\[ + \frac{2}{z^3} \int_1^{1/z} d\left(\frac{1}{z_2}\right) \frac{z_2^4}{z_2} \int_0^{1/z_2} d\left(\frac{1}{z_2}\right) \frac{1}{(1/z_2 - 1/z_1)^2} \Im \left[ \tilde{N}_1 \left(\frac{1}{z_1}, \frac{1}{z_2}\right) + \tilde{N}_2 \left(\frac{1}{z_1}, \frac{1}{z_2}\right) \right] \]
\[ + \frac{2}{z} \int_1^{1/z} d \left( \frac{1}{z_2} \right) z_2^3 \int_0^{1/z_2} d \left( \frac{1}{z_1} \right) \frac{1}{1/z_2 - 1/z_1} \Im \left[ \hat{N}_1 \left( \frac{1}{z_1}, \frac{1}{z_2} \right) - \hat{N}_2 \left( \frac{1}{z_2} - \frac{1}{z_1}, \frac{1}{z_2} \right) \right] \]

\[ + \frac{1}{z} \int_1^{1/z} d \left( \frac{1}{z_2} \right) z_2^2 \int_0^{1/z_2} d \left( \frac{1}{z_1} \right) \frac{1}{(1/z_2 - 1/z_1)^2} \Im \left[ \hat{N}_1 \left( \frac{1}{z_1}, \frac{1}{z_2} \right) + \hat{N}_2 \left( \frac{1}{z_2}, \frac{1}{z_2} \right) \right] \]

\[ - 2\hat{N}_2 \left( \frac{1}{z_2} - \frac{1}{z_1}, \frac{1}{z_2} \right) \]. \quad (71) \]

Integrals in this equation can be rewritten as

\[ \int_1^{1/z} d \left( \frac{1}{z_2} \right) \int_0^{1/z_2} d \left( \frac{1}{z_1} \right) \cdots = \int_z^{1/z} \frac{dz_2}{z_2} \int_{z_2}^{\infty} \frac{dz_1}{z_1} \cdots . \quad (72) \]

Similarly the result for \( \Delta \hat{H}_{3F}(z) \) is given by

\[ \Delta \hat{H}_{3F}(z) = - \frac{2}{z^2} \int_1^{1/z} d \left( \frac{1}{z_2} \right) z_2^3 \Im \hat{D}_{FT}(z_2) + \frac{1}{z} \int_1^{1/z} d \left( \frac{1}{z_2} \right) z_2^3 \Im \hat{D}_{FT}(z_2) \]

\[ + \frac{4}{z^3} \int_1^{1/z} d \left( \frac{1}{z_2} \right) z_2^3 \int_0^{1/z_2} d \left( \frac{1}{z_1} \right) \frac{1}{1/z_2 - 1/z_1} \Im \left[ \hat{N}_1 \left( \frac{1}{z_1}, \frac{1}{z_2} \right) + \hat{N}_2 \left( \frac{1}{z_2}, \frac{1}{z_2} \right) \right] \]

\[ + \frac{2}{z^3} \int_1^{1/z} d \left( \frac{1}{z_2} \right) z_2^3 \int_0^{1/z_2} d \left( \frac{1}{z_1} \right) \frac{1}{1/z_2 - 1/z_1} \Im \left[ \hat{N}_1 \left( \frac{1}{z_1}, \frac{1}{z_2} \right) + \hat{N}_2 \left( \frac{1}{z_2}, \frac{1}{z_2} \right) \right] \]

\[ - \frac{2}{z} \int_1^{1/z} d \left( \frac{1}{z_2} \right) z_2^3 \int_0^{1/z_2} d \left( \frac{1}{z_1} \right) \frac{1}{1/z_2 - 1/z_1} \Im \left[ \hat{N}_1 \left( \frac{1}{z_1}, \frac{1}{z_2} \right) - \hat{N}_2 \left( \frac{1}{z_2}, \frac{1}{z_2} \right) \right] \]

\[ - \frac{1}{z} \int_1^{1/z} d \left( \frac{1}{z_2} \right) z_2^3 \int_0^{1/z_2} d \left( \frac{1}{z_1} \right) \frac{1}{(1/z_2 - 1/z_1)^2} \Im \left[ \hat{N}_1 \left( \frac{1}{z_1}, \frac{1}{z_2} \right) + \hat{N}_2 \left( \frac{1}{z_2}, \frac{1}{z_2} \right) \right] \]

\[ - 2\hat{N}_2 \left( \frac{1}{z_2} - \frac{1}{z_1}, \frac{1}{z_2} \right) \]. \quad (73) \]

Using \( \hat{G}_T^{(1)}(z) \) in \( \Delta \hat{H}_{3F}(z) \), one can obtain the kinematical FFs as

\[ \hat{G}_T^{(1)}(z) = - \frac{2}{z^2} \int_1^{1/z} d \left( \frac{1}{z_2} \right) z_2^3 \Im \hat{D}_{FT}(z_2) \]

\[ + \frac{4}{z^3} \int_1^{1/z} d \left( \frac{1}{z_2} \right) z_2^3 \int_0^{1/z_2} d \left( \frac{1}{z_1} \right) \frac{1}{1/z_2 - 1/z_1} \Im \left[ \hat{N}_1 \left( \frac{1}{z_1}, \frac{1}{z_2} \right) - \hat{N}_2 \left( \frac{1}{z_2} - \frac{1}{z_1}, \frac{1}{z_2} \right) \right] \]

\[ + \frac{2}{z^3} \int_1^{1/z} d \left( \frac{1}{z_2} \right) z_2^3 \int_0^{1/z_2} d \left( \frac{1}{z_1} \right) \frac{1}{(1/z_2 - 1/z_1)^2} \Im \left[ \hat{N}_1 \left( \frac{1}{z_1}, \frac{1}{z_2} \right) + \hat{N}_2 \left( \frac{1}{z_2}, \frac{1}{z_2} \right) \right] \]

\[ - 2\hat{N}_2 \left( \frac{1}{z_2} - \frac{1}{z_1}, \frac{1}{z_2} \right) \]. \quad (74)
and

$$\Delta \widehat{H}_T^{(1)}(z) = -\frac{4}{z^4} \int_1^{1/z} d\left(\frac{1}{z_2}\right) z_2^5 \Im \widetilde{D}_{FT}(z_2)$$

$$+ \frac{8}{z^4} \int_1^{1/z} d\left(\frac{1}{z_2}\right) z_2^5 \int_0^{1/z} d\left(\frac{1}{z_1}\right) \frac{1}{1/z_2 - 1/z_1} \Im \left[ \widehat{N}_1 \left(\frac{1}{z_2}, \frac{1}{z_1}\right) + \widehat{N}_2 \left(\frac{1}{z_2}, \frac{1}{z_1}\right) \right]$$

$$+ \frac{4}{z^4} \int_1^{1/z} d\left(\frac{1}{z_2}\right) z_2^3 \int_0^{1/z} d\left(\frac{1}{z_1}\right) \frac{1}{(1/z_2 - 1/z_1)^2} \Im \left[ \widehat{N}_1 \left(\frac{1}{z_2}, \frac{1}{z_1}\right) + \widehat{N}_2 \left(\frac{1}{z_2}, \frac{1}{z_1}\right) \right].$$  \hspace{1cm} (75)

3.4.2 \hspace{0.5cm} T-even fragmentation function

The solution for $\Delta \widehat{G}_{ST}(z)$, $\Delta \widehat{G}_T^{(1)}(z)$ and $\Delta \widehat{H}_{ST}(z)$ can be obtained by integrating the relations (51), (54) and (69). Actually we can make a short cut. Since they are in parallel with (15), (22) and (28) for the gluon distributions $\Delta G_{ST}(x)$, $\Delta G_T^{(1)}(x)$ and $\Delta H_{ST}(x)$, we can read off the desired results from (54), (55) and (56) by a simple replacement. The results read

$$\Delta \widehat{G}_{ST}(z) = -\frac{1}{z} \int_1^{1/z} d\left(\frac{1}{z_2}\right) z_2^2 \Delta \widehat{G}(z_2) + z \Re \widetilde{D}_{FT}(z) + \frac{1}{z} \int_1^{1/z} d\left(\frac{1}{z_2}\right) z_2^3 \Re \widetilde{D}_{FT}(z_2)$$

$$- z \int_0^{1/z} d\left(\frac{1}{z_1}\right) \frac{1}{1/z_2 - 1/z_1} \Re \left[ 2\widehat{N}_1 \left(\frac{1}{z_2}, \frac{1}{z_1}\right) + \widehat{N}_2 \left(\frac{1}{z_2}, \frac{1}{z_1}\right) - \widehat{N}_2 \left(\frac{1}{z_2 - 1/z_1}, \frac{1}{z}\right) \right]$$

$$- \frac{2}{z} \int_1^{1/z} d\left(\frac{1}{z_2}\right) z_2^3 \int_0^{1/z} d\left(\frac{1}{z_1}\right) \frac{1}{z_2 - 1/z_1} \Re \left[ \widehat{N}_1 \left(\frac{1}{z_2}, \frac{1}{z_1}\right) - \widehat{N}_2 \left(\frac{1}{z_2 - 1/z_1}, \frac{1}{z_1}\right) \right]$$

$$- \frac{1}{z} \int_1^{1/z} d\left(\frac{1}{z_2}\right) z_2^3 \int_0^{1/z} d\left(\frac{1}{z_1}\right) \frac{1}{z_2 - 1/z_1} \Re \left[ \widehat{N}_1 \left(\frac{1}{z_2}, \frac{1}{z_1}\right) - \widehat{N}_2 \left(\frac{1}{z_2 - 1/z_1}, \frac{1}{z_1}\right) \right],$$  \hspace{1cm} (76)

$$\Delta \widehat{G}_T^{(1)}(z) = \frac{1}{z^2} \int_1^{1/z} d\left(\frac{1}{z_2}\right) z_2^2 \Delta \widehat{G}(z_2) - \frac{2}{z^2} \int_1^{1/z} d\left(\frac{1}{z_2}\right) z_2^3 \Re \widetilde{D}_{FT}(z_2)$$

$$+ \frac{4}{z^2} \int_1^{1/z} d\left(\frac{1}{z_2}\right) z_2^3 \int_0^{1/z} d\left(\frac{1}{z_1}\right) \frac{1}{z_2 - 1/z_1} \Re \left[ \widehat{N}_1 \left(\frac{1}{z_2}, \frac{1}{z_1}\right) - \widehat{N}_2 \left(\frac{1}{z_2 - 1/z_1}, \frac{1}{z_2}\right) \right]$$

$$+ \frac{2}{z^2} \int_1^{1/z} d\left(\frac{1}{z_2}\right) z_2^3 \int_0^{1/z} d\left(\frac{1}{z_1}\right) \frac{1}{z_2 - 1/z_1} \Re \left[ \widehat{N}_1 \left(\frac{1}{z_2}, \frac{1}{z_1}\right) - \widehat{N}_2 \left(\frac{1}{z_2 - 1/z_1}, \frac{1}{z_2}\right) \right],$$  \hspace{1cm} (77)

and

$$\Delta \widehat{H}_{ST}(z) = \frac{1}{z} \int_1^{1/z} d\left(\frac{1}{z_2}\right) z_2^2 \Delta \widehat{G}(z_2) - \frac{1}{z} \int_1^{1/z} d\left(\frac{1}{z_2}\right) z_2^3 \Re \widetilde{D}_{FT}(z_2)$$

$$- z \int_0^{1/z} d\left(\frac{1}{z_1}\right) \frac{1}{1/z_2 - 1/z_1} \Re \left[ \widehat{N}_2 \left(\frac{1}{z_2}, \frac{1}{z_1}\right) + \widehat{N}_2 \left(\frac{1}{z_2 - 1/z_1}, \frac{1}{z}\right) \right].$$
\[ +\frac{2}{z} \int_{1}^{1/z} d \left( \frac{1}{z_2} \right) \frac{z_3^2}{z_2^2} \int_{0}^{1/z_2} d \left( \frac{1}{z_1} \right) \frac{1}{z_2 - \frac{1}{z_1}} \Re \left[ \tilde{N}_1 \left( \frac{1}{z_1}, \frac{1}{z_2} \right) - \tilde{N}_2 \left( \frac{1}{z_2} - \frac{1}{z_1}, \frac{1}{z_2} \right) \right] \]

\[ +\frac{1}{z} \int_{1}^{1/z} d \left( \frac{1}{z_2} \right) \frac{z_2^2}{z_1^2} \int_{0}^{1/z_1} d \left( \frac{1}{z_2} \right) \frac{1}{\frac{1}{z_2} - \frac{1}{z_1}} \Re \left[ \tilde{N}_1 \left( \frac{1}{z_1}, \frac{1}{z_2} \right) - \tilde{N}_2 \left( \frac{1}{z_2} - \frac{1}{z_1}, \frac{1}{z_2} \right) \right]. \] (78)

This completes the derivation of all the relations among the twist-3 gluonic FFs.

To summarize this section, we have derived all the constraint relations for twist-3 gluonic FFs, which follow from the QCD e.o.m. and the operator product expansion. These relations are exact and need to be taken into account in deriving a twist-3 cross section to which they contribute, and should constitute a cornerstone for proving the gauge invariance and Lorentz invariance of the cross sections. In particular, the intrinsic and kinematical twist-3 FFs are completely determined by the twist-2 FF and the dynamical twist-3 FFs (=three-gluon correlation functions), which provides a basis for the renormalization of the intrinsic and kinematical FFs.

### 4 Summary

In this paper, we have performed a systematic study on the collinear twist-3 gluonic distribution functions (DFs) and fragmentation functions (FFs). Both DFs and FFs are classified into three categories, intrinsic, kinematical and dynamical functions. Although they are convenient tools to describe twist-3 cross sections, they are not independent of each other, but are constrained by a set of exact relations which follow from the QCD e.o.m. and the nonlocal operator product expansion. We have derived all those constraint relations for all the gluonic twist-3 DFs and FFs and have given expressions for the intrinsic and kinematical DFs and FFs in terms of the dynamical ones. Those relations are expected to play a critical role to guarantee gauge invariance and the Lorentz invariance of the twist-3 cross sections to which those DFs and FFs contribute. Those relations need to be satisfied for a phenomenological analyses.

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