Nature-Inspired Techniques for Dynamic Constraint Satisfaction Problems

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Abstract

Combinatorial applications such as configuration, transportation and resource allocation often operate under highly dynamic and unpredictable environments. In this regard, one of the main challenges is to maintain a consistent solution anytime constraints are (dynamically) added. While many solvers have been developed to tackle these applications, they often work under idealized assumptions of environmental stability. In order to address limitation, we propose a methodology, relying on nature-inspired techniques, for solving constraint problems when constraints are added dynamically. The choice for nature-inspired techniques is motivated by the fact that these are iterative algorithms, capable of maintaining a set of promising solutions, at each iteration. Our methodology takes advantage of these two properties, as follows. We first solve the initial constraint problem and save the final state (and the related population) after obtaining a consistent solution. This saved context will then be used as a resume point for finding, in an incremental manner, new solutions to subsequent variants of the problem, anytime new constraints are added. More precisely, once a solution is found, we resume from the current state to search for a new one (if the old solution is no longer feasible), when new constraints are added. This can be seen as an optimization problem where we look for a new feasible solution satisfying old and new constraints, while minimizing the differences with the solution of the previous problem, in sequence. This latter objective ensures to find the least disruptive solution, as this is very important in many applications including scheduling, planning and timetabling. Following on our proposed methodology, we have developed the dynamic variant of several nature-inspired techniques to tackle dynamic constraint problems. Constraint problems are represented using the well-known constraint satisfaction problem (CSP) paradigm. Dealing with constraint additions in a dynamic environment can then be expressed as a series of static CSPs, each resulting from a change in the previous one by adding new constraints. This sequence of CSPs is called the dynamic CSP (DCSP). To assess the performance of our proposed methodology, we conducted several experiments on randomly generated DCSP instances, following the RB model. The results of the experiments are reported and discussed.

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Keywords Constraint Satisfaction Problems (CSPs) · Nature-Inspired Techniques · Dynamic Constraints · Combinatorial Optimization

1 Introduction

1.1 Background

A constraint satisfaction problem (CSP) is a well-known framework for representing and solving discrete combinatorial problems [1]. Using the CSP paradigm, a problem under constraints is represented by a set of variables defined on finite and discrete domains, and a set of constraints restricting the values that the variables can simultaneously take. Checking for a consistent scenario to the problem will then consist of looking for a complete assignment of values to all the variables such that all the constraints are satisfied. Given that the CSP is an NP-complete problem, checking for its feasibility requires a backtrack search algorithm of exponential cost.

In order to address this challenge in practice, constraint propagation has been proposed to detect inconsistencies earlier, which will save time for the backtrack search algorithm. Note that metaheuristics have also been proposed to solve the CSP by trading running time for the quality of the solution returned. Indeed, while these techniques do not guarantee to return a complete solution, they can be a good alternative, especially for hard-to-solve problems.

1.2 Problem Statement and Motivations

One of the main challenges we face when solving a CSP is when the related problem needs to be solved in a dynamic environment. In this regard, constraints might be added or removed dynamically. This can be the case of interactive applications such as configuration systems, where requirements are added or removed by the user [2–4]. Constraint restriction and relaxation can also occur due to external events, such as a faulty machine in scheduling applications or a blocked road in transportation systems [5]. In the case of over-constrained CSPs, we might need to relax some of the constraints in order to establish the feasibility of problem.

In this paper, we focus on constraint addition (or restriction). Here, we define the related problem as an optimization one where we look for a new scenario satisfying the old and new constraints, while minimizing the differences between the new scenario and the old feasible solution. This latter objective ensures to find the least disruptive solution, as this is very important in many applications as we stated earlier.

In this regard, we define the dynamic CSP (DCSP) as a series of static CSPs, each resulting from a change in the previous one, as a result of adding new constraints. The goal, when solving a DCSP, is to maintain the consistency of the CSP every time constraints are added. In this regard, if a current solution needs to be updated in order to meet new constraints, then the related process should be performed in an incremental manner to reduce the time cost. In addition, in applications such as scheduling, timetabling or resource allocation, the revised solution should be as
close as possible to the old one, i.e., a new consistent configuration requiring min-
mimum changes from the previous one. For instance, if we need to reschedule hospital
personnel, for instance, we should look for the least disruptive solution (the one with
minimal changes) [6]. The same should apply for flights rescheduling, as a consid-
erable change in the assigned flights gates in an airport will have a large impact on
passengers’ comfort and can increase the chances for missing flights.

In the past years, several attempts have been made to address these two objec-
tives. One of these works consists of constraint recording methods that record any
constraint which can be deduced from the previous CSP (in sequence) and used in
the new one [7, 8]. Local repair methods have been proposed and work through local
modifications of the previous solution in order to obtain a new one [6, 7]. This basi-
cally consists in resuming the search in the neighborhood of the old solution. There
is of course no guarantee in this case to find the new solution as the algorithm can be
trapped in a local optimum. This challenge has been addressed through random walk
strategies as well as looking for a good balance between a global and a local search
through exploration and exploitation, as done in metaheuristics [9].

1.3 Our Contributions

Given the incremental nature of a DCSP, we propose a solving methodology that
works as follows. After solving the initial CSP (the first one in the sequence of
CSPs, forming the DCSP), we save the final state that leads to the obtention of the
corresponding solution. This saved state will then be used as a resume point for find-
ing new solutions to subsequent CSPs, any time new constraints are added. More
precisely, once a solution is found for a given CSP problem, we check if this latter is
consistent with the new added constraints. If this is not the case, then we resume the
search from the current saved state to search for the closed solution satisfying the
old and new constraints.

The above strategy requires an algorithm that works in an iterative manner,
to maintain the consistency of the problem anytime new constraints are added.
Metaheuristics and especially nature-inspired techniques are iterative and there-
fore suited to implement our iterative approach. In addition, unlike stochastic local
search (SLS) (the other metaheuristics approaches) [6], nature-inspired techniques
maintain a set of potential solutions. Having this set of alternatives will make the
dynamic process of looking for the next solution more efficient in terms of search
time. Indeed, this process is performed by a strategy that looks for a good balance
between exploitation and exploration. In this regard, we first apply more exploitation
in order to find the solution locally (so it will be as close as possible to the previous
solution). In case we are not successful, we start conducting more exploration until a
new feasible scenario is found.

Following on this proposed methodology, we have developed the dynamic variant
of several nature-inspired techniques and reported the details of each in Sects. 4 to 9.

To assess the performance of these techniques, in terms of running time and qual-
ity of the obtained solutions, we conducted several experiments on DCSP instances,
randomly generated using a modified version of the known RB model [10]. The results of the experiments are reported and discussed.

This paper is a continuation of previous works we conducted to solving DCSPs, respectively, using particle swarm optimization (PSO) [11] and discrete fireflies [12]. While the focus of these two papers is on particular nature-inspired techniques, we report in this submission a general methodology that we apply to different nature-inspired techniques.

2 Related Works

Over the past three decades, several research works for tackling DCSPs have been reported. All the methods proposed either rely on iterative local search methods or a dynamic variant of systematic search techniques. In [6], the authors proposed a repair-based approach to manage dynamic constraints. This approach relies on a local search method based on iterative deepening. The latter method explores the neighborhood of the initial solution in order to find the closest one satisfying the old and the new constraints. In [13], the authors report on a backtrack search method, called nogood recording, to deal with both static and dynamic CSPs. Here, the standard backtrack search algorithm has been adapted as follows. When the algorithm reaches a solution in a leaf node that violates some of the constraints, it will be tagged as a nogood solution. Every time a nogood solution is built, it will be enhanced through different strategies like backjumping and constraint forbidding. In [8], the authors proposed an approach that reuses the solution of the initial CSP to solve the new one. The idea was motivated by the design of a scheduling system for a remote sensing satellite. The main goal here is to minimize the changes between the old and the new schedules. In [7], we investigated the applicability of systematic and approximation methods for dealing with dynamic temporal CSPs, where both numeric and symbolic temporal information are considered. The dynamic systematic search method relies on incremental constraint propagation. The latter is based on new dynamic arc and path consistency algorithms that we propose. The dynamic systematic search method has been compared to both genetic algorithms (GAs) and stochastic local search techniques. In both of these methods, when constraints are added, the neighboring areas of the previous solution are explored until a new solution is found or the maximum number of iterations is reached. Finally, in [14], a branch-and-bound method has been proposed to solve DCSPs with minimal perturbation.

While the above methods were successful in solving DCSPs with small and medium size, when the problem scales up, these techniques suffer from their exponential time complexity (in the case of exact methods) or the fact of being trapped in local optimum (in the case of iterative local search). To address this challenge, we rely on nature-inspired techniques as they are more efficient in escaping local optimum, thanks to our proposed methodology including the right balance between exploration/exploitation, in addition to the fact of maintaining a set of promising potential solutions at each iteration.
3 Proposed DCSPs Solving Methodology for Nature-Inspired Techniques

3.1 CSP Definition

A CSP is a tuple \( (X, D, C) \) including a set of variables \( X = \{X_1, \ldots, X_n\} \), and their related domains, \( D = \{D_1, \ldots, D_n\} \), where each domain \( D_i \) contains a finite set of possible values for variable \( X_i \). The set of constraints \( C \) restricts the values that the variables can simultaneously take. A solution to a CSP is a complete assignment of values to all the variables such that all constraints are satisfied. Note that there might be one, many, or no solution to a given CSP \([1]\). A binary CSP is a CSP where all the constraints have arity less than or equal 2. While we consider CSPs where constraints can be of any arity, in the illustrative examples and experiments, we assume that CSPs are binary, where each constraint is defined in extension (defined as a subset of the Cartesian product of the domains of the involved variables) and has arity 2.

Table 1 lists a set of constraints for a given CSP with six variables defined on domain \( D = \{1, 2, 3, 4, 5\} \). Note that, in this example, we represent each constraint with the list of ineligible tuples between the related pairs of variables.

| Constraints                                                                 |
|------------------------------------------------------------------------------|
| \( (X_1, X_2) \) | (1,1) | (1,2) | (2,2) | (3,1) | (4,5) |
| \( (X_2, X_3) \) | (1,1) | (2,2) | (3,4) | (4,4) | (1,3) |
| \( (X_3, X_4) \) | (1,2) | (5,1) | (3,3) | (3,2) | (4,1) |
| \( (X_3, X_5) \) | (2,2) | (1,1) | (1,5) | (1,3) | (2,4) |
| \( (X_4, X_6) \) | (1,1) | (1,2) | (1,3) | (2,4) | (2,3) |
| \( (X_5, X_6) \) | (1,1) | (2,2) | (2,3) | (1,4) | (1,5) |

3.2 CSP Representation in Nature-Inspired Techniques

We represent a CSP potential solution (complete assignment of values to all the variables) with a chromosome, as shown in Table 2. While “chromosome” is a term normally used for GAs, it corresponds to particles (individuals, fireflies, bees, ⋯, etc.) in other nature-inspired techniques. In this regard, each of the nature-inspired techniques we present in the next sections will start the search with a set of (randomly generated) particles.

We define the fitness function by the number of violated constraints implied by the related complete assignment (chromosome). For instance, the fitness function for the potential solution in Table 2 is equal to 2 as it violates two constraints listed in

| Variables | X_1 | X_2 | X_3 | X_4 | X_5 | X_6 |
|-----------|-----|-----|-----|-----|-----|-----|
| Chromosome| 1   | 3   | 1   | 2   | 1   | 1   |

Table 1: CSP constraints: lists of ineligible tuples

Table 2: Potential solution represented as a chromosome
Table 1: \((X_3, X_4)\) and \((X_5, X_6)\). A chromosome with fitness 0 corresponds to a consistent solution.

To measure the similarity between two solutions, we use the Hamming distance which corresponds to the number of values that both solutions do not share. The Hamming distance between two solutions \(S_i\) and \(S_j\) with \(n\) variables, \(d_H(S_i, S_j)\), is calculated, as follows:

\[
d_H(S_i, S_j) = \sum_{k=1}^{n} (S_{i,k} \neq S_{j,k})
\]

In particular, the Hamming distance between two identical solutions is equal to 0, while the Hamming distance between two solutions not having any value in common is equal to the number of variables, \(n\).

### 3.3 DCSPs Solving Methodology

As stated before, a DCSP is a sequence of CSPs, \(CSP_1, \ldots, CSP_i, CSP_{i+1}, \ldots, CSP_n\), where \(CSP_{i+1}\) is obtained by adding \(C_{new}\) constraints to \(CSP_i\). More precisely, the set of constraints for \(CSP_{i+1}\), \(C_{i+1} = C_i \cup C_{new}\) where \(C_i\) is the set of constraints of \(CSP_i\). To solve a DCSP using a given nature-inspired technique, we propose a methodology that relies on a good balance between exploration and exploitation, to maintain a consistent solution for each \(CSP_i\), with minimum perturbation. This is achieved using the following two steps.

1. Solve the initial CSP, \(CSP_1\) and save the last population that leads to a consistent solution, \(S_1\). In addition to \(S_1\), the population contains other individuals (elite particles) that can be used in case of a constraint restriction.

2. Solve the sequence of CSPs as follows. After solving \(CSP_i\), when adding a set of new constraints \(C_{new}\) to \(CSP_i\), we first check if the solution to \(CSP_i\) is still feasible for \(CSP_{i+1}\). If this is not the case, then we resume from the last population that lead to the solution of \(C_i\) and start searching locally, through exploitation, for a new solution satisfying \(C_{i+1}\) with minimum perturbation (the new solution should be as close as possible to the solution of \(CSP_i\)). In this regard, two fitness functions will be used: number of violated constraints (to ensure consistency) and similarity (enforced using the Hamming distance). We will rely here on elite particles that we saved from the previous state. If no new solution can be obtained, then we will start gradually performing more exploration until we get one satisfying the old and new constraints \((C_{i+1})\), while meeting the distance objective.

In the following sections, we will describe how the above methodology applied in the case of each nature-inspired technique we consider.
4 Self-Adaptive Discrete Firefly Algorithm (SADFA)

The firefly algorithm (FA) has been developed by [15] and is based on idealized behavior of fireflies flashing characteristics, according to the following three governing rules.

- **Gender**: Fireflies are unisex meaning that they can be attracted to each other regardless of their gender.
- **Attractiveness**: Each firefly is only attracted to the fireflies that are brighter than itself. The attractiveness is proportional to the brightness which attenuates over distance. The brightest firefly moves randomly.
- **Fitness function**: The brightness of a firefly represents its solution quality and is calculated with a fitness function that is defined according to the problem being solved.

In order to tackle constraint satisfaction problems (CSPs), we developed the discrete version of FA [16] that we call discrete FA (DFA). In this regard, we redefined the distance, attractiveness, fireflies movement and the fitness function in order to consider discrete spaces. More precisely, we consider the Hamming distance (described earlier) and define the attractiveness parameter $\beta$ as the probability of replacing the values assigned to some variables with the corresponding values of the better solution (according to the fitness function). $\beta$ is here the parameter controlling the convergence rate of fireflies. Therefore, larger values of $\beta$ will cause a faster convergence of the fireflies, often to a local optimum solution. In order to balance exploitation and exploration, the following solution diversification mutation operators are used:

1. **Random Resetting Mutation (RRM)**: $RRM$ assigns random values to randomly chosen variables and is an appropriate method for problem spaces consisting of lists or strings of arbitrary elements like integer values. The main advantage of $RRM$ is that every point in the problem space can be reached from any arbitrary solution in the problem space, which will increase diversity.
2. **Scramble Mutation (SM)**: Here, a subset of contiguous variables are selected and their values are shuffled or scrambled randomly (assuming all variables have the same domain).
3. **Inversion Mutation (IM)**: In this method, a randomly chosen sequence of values (corresponding to a partial assignment) is reversed end to end.

Table 3 shows how RRM, SM and IM mutations are, respectively, applied to the potential solution in Table 2.

In [17], we have proposed a dynamic version of DFA in order to get a new solution for a DCSP with minimal perturbation. The dynamic variant of DFA is called self-adaptive discrete firefly algorithm (SADFA) and works, in an incremental way, following our general methodology we presented previously. More precisely, when
new constraints are added to a given CSP, we first check if the current solution is still feasible. If it is not the case, then the β movement will search locally for the new solution that is the closest possible to the current one. The key point here is to use elite fireflies, i.e., those good fireflies that have been already discovered by the algorithm when looking for the current solution. These correspond to potential solutions satisfying most of the constraints. In order to prevent the algorithm from being trapped in a local optimum, we control its progress trend and apply diversification anytime this situation is detected. This is performed by watching both the similarity and the number of violated constraints during the search. In this regard, we use two controlling parameters that we call $CPQ$ and $CPS$ to detect if SADFA has been trapped in a local optimum. Using Eqs. 2 and 3, these two parameters monitor the progress of the algorithm over a given number of iterations (corresponding to the window size). If there is not enough progress, then the diversification rate ($dr$) of the algorithm will be increased.

$$\text{CPQ}(\text{IN}) = \frac{\sum_{i=\text{IN}-\text{WS}+1}^{\text{IN}} |(GBQ(i) - GBQ(i - 1))|}{\text{WS}}$$

(2)

$$\text{CPS}(\text{IN}) = \frac{\sum_{i=\text{IN}-\text{WS}+1}^{\text{IN}} |(GBS(i) - GBS(i - 1))|}{\text{WS}}$$

(3)

In the above equations, $IN$ is the current iteration number, $WS$ is the window size, $GBQ(i)$ is the quality of the global best solution at iteration $i$. $GBS$ is the similarity between the global best solution at iteration $i$ and the previous best solution. Here, the window size determines the number of iterations to be considered to determine if an acceptable progress has been made by the algorithm. If $CPQ$ and $CPS$ are less than the user-defined threshold values, $dr$ is increased. Algorithm 1 lists the pseudocode of our diversification adjustment procedure according to the progress of the search algorithm.

StepSize, a number in $[0,1)$, is the increasing or decreasing diversification rate of the algorithm. In our experiments, we consider $\text{StepSize} = 0.05$. Diversification.Flag = 1 indicates that $dr$ is changing. When the progress of the algorithm is weak, the procedure (as shown in Algorithm 1) starts to increase $dr$ of the algorithm to help it escape the local optimum by producing diverse solutions. After escaping this trap, $dr$ should return to normal to emphasize on the local search in order to maintain the similarity between the producing solutions and the previous solution found so far. Algorithm 2 lists the pseudocode of SADFA. According to the progress rate of the algorithm, the
values of the controlling parameter of the mutation rate will change dynamically and this will help the algorithm to escape the local optimum traps. The potential solutions achieved by the elite fireflies, we mentioned earlier, have high degree of similarity to the best solution, and the key idea is to search the neighboring areas of those solutions, unless a higher rate of diversification is required.

Algorithm 1 Diversification Adjustment Procedure [8]

1: procedure DIVERSITY (IN)
2: Compute CPQ(IN) and CPS(IN) as shown in Equations 2 and 3
3: if CPQ(IN) < threshold1 || CPS(IN) < threshold2 then
4: if Diversification.Rate<0.4 then
5: Diversification.Rate ← Diversification.Rate + StepSize
6: Diversification.Flag ← 1
7: end if
8: else
9: if Diversification.Flag==1 then
10: Diversification.Rate ← Diversification.Rate – StepSize
11: if Diversification.Rate==0.1 then
12: Diversification.Flag ← 0
13: end if
14: end if
15: end if
16: end procedure

Since both fitness functions (number of constraints violations and similarity) are equally important and not conflicting, we consider the same weight for both when deciding on the diversification rate. As we can see in Algorithm 2, at each iteration, the global best is updated according to these two fitness functions.

5 Discrete Particle Swarm Optimization (DPSO)

Particle swarm optimization (PSO) is a nature-inspired swarm-based optimization algorithms following the collective intelligent behavior of systems like fish schooling and birds flocking [18, 19]. In PSO, every particle position is influenced by its own best position, \( p_{\text{best}} \), as well as the best position made by other particles so far, \( g_{\text{best}} \). Every particle position represents a potential solution for the given optimization problem and a set of particles form a swarm which moves throughout the problem spaces. The position of particle \( i \) is represented by a vector \( X_i = (x_{i1}, \ldots, x_{id}) \). Particle \( i \) moves according to its velocity defined as a vector \( V_i = (v_{i1}, \ldots, v_{id}) \). At each time step, velocities and positions of particles are updated according to Eqs. 4 and 5:

\[
V_{i}^{t+1} = w \times V_{i}^{t} + c_1 r_1 \times (p_{\text{best}}_i - X_i^{t}) + c_2 r_2 \times (g_{\text{best}}_i - X_i^{t}) \tag{4}
\]

\[
X_{i}^{t+1} = X_i^{t} + V_{i}^{t+1}, \quad i = 1, \ldots, n \tag{5}
\]

Here, \( n \) is the number of particles, \( w \) is the inertial weight, \( V_i^{t} \) is the velocity of particle \( i \) at iteration (time step) \( t \), \( c_1, c_2 \) are acceleration coefficients, \( r_1, r_2 \) are random
values in $[0,1]$, $pbest^t_i$ is particle $i$ best achievement so far, $X^t_i$ is the position of particle $i$ at iteration $t$ and $gbest^t_i$ is the best achievement of all particles so far.

To convert the continuous PSO to a discrete one (that we call discrete PSO or DPSO), we have defined the operators, $\times$, $+$ and $-$, listed in Eqs. 4 and 5. In this regard, we have proposed new operators, $\otimes$, $\oplus$ and $\ominus$, as shown in the new equations for discrete PSOs: 6 and 7 [11].

$$V^{t+1}_i = w \otimes V^t_i \oplus c_1 r_1 \otimes (pbest^t_i \ominus X^t_i) \oplus c_2 r_2 \otimes (gbest^t_i \ominus X^t_i)$$  \hspace{1cm} (6)

$$X^{t+1}_i = X^t_i \oplus V^{t+1}_i, \ i = 1, \ldots, n$$  \hspace{1cm} (7)

---

**Algorithm 2 SADFA [8]**

1: nPop ← population size
2: MaxIt ← maximum number of iterations
3: $f_1()$: number of violated constraints
4: $f_2()$: Hamming distance between two solutions
5: $\theta \leftarrow$ User-defined parameter
6: M ← mutation type (IM, SM or RRM)
7: for $t \leftarrow 1$ to MaxIt do
8: \hspace{1cm} for $i \leftarrow 1$ to nPop do
9: \hspace{2cm} for $j \leftarrow i+1$ to nPop do
10: \hspace{4cm} if $f_1(firefly_j) < f_1(firefly_i) \&\& f_2(firefly_j) < f_2(firefly_i)$ then
11: \hspace{6cm} Determining $dr$
12: \hspace{7cm} $dr \leftarrow$ Diversify($i$) % % See Algorithm 1
13: \hspace{7cm} % % $\beta$ movement
14: \hspace{7cm} $d \leftarrow$ distance(firefly, firefly_j)
15: \hspace{7cm} $\beta \leftarrow \beta_0 \times (-y \times d^\alpha)$
16: \hspace{7cm} end if
17: \hspace{4cm} end if
18: \hspace{2cm} end for
19: \hspace{1cm} end if
20: \hspace{1cm} Influence the similarity between current solutions and the previous CSP’s Solution
21: \hspace{1cm} for $k \leftarrow 1$ to n do
22: \hspace{2cm} if $firefly(k) \neq PreviousSolution(k)$ then
23: \hspace{3cm} $b \leftarrow$ random number in $(0,1)$
24: \hspace{3cm} if $b > \theta$ then
25: \hspace{4cm} $firefly(k) \leftarrow PreviousSolution(k)$
26: \hspace{3cm} end if
27: \hspace{2cm} end if
28: \hspace{1cm} end for
29: \hspace{1cm} Mutation with dynamic rate
30: \hspace{1cm} $firefly \leftarrow Mutation(M, firefly)\,$
31: \hspace{1cm} Update the Best solution
32: \hspace{1cm} if $f_1(firefly) < f_1(BestSolution) \&\& f_2(firefly) < f_2(BestSolution)$ then
33: \hspace{2cm} BestSolution $\leftarrow$ firefly
34: \hspace{2cm} end if
35: \hspace{1cm} end if
36: \hspace{1cm} end for
37: \hspace{1cm} end for
38: \hspace{1cm} end for
39: \hspace{1cm} end for
40: \hspace{1cm} end for
41: \hspace{1cm} end for
42: \hspace{1cm} end for
43: \hspace{1cm} end for
As stated in Eq. 6, exploitation and exploration strategies are controlled by three controlling parameters, \(w\), \(c_1\) and \(c_2\). A high value of \(w\) encourages exploration, while a low value encourages exploitation of the algorithm [20]. Similarly, low values of \(c_1\) and \(c_2\) allow the particles to stray from the promising areas trying to discover more quality solutions, while high values of \(c_1\) and \(c_2\) result in moving toward \(p_{best}\) and \(g_{best}\). In DPSO, and using the operator \(\otimes\), \(w\) determines the percentage of the variable values that will be passed from \(V^t\) to \(V^{t+1}\). The rest of \(V^{t+1}\) values will be generated randomly. Table 4 reflects this process in a concrete example.

Similarly, \(c_1r_1\) and \(c_2r_2\) correspond to the percentage of values to keep from \((p_{best}^t_i \ominus X^t_i)\) and \((g_{best}^t_i \ominus X^t_i)\), respectively. This operation is again enforced through \(\otimes\). The operator \(\ominus\) allows the selection of the values that are in \(p_{best}^t_i\) (respectively \(g_{best}^t_i\)) and not in \(X^t_i\) (\(\ominus\) can be seen as a set difference operation). Table 5 reflects this process on a concrete example. The new position of particle \(i, X^t_{i+1}\), is computed according to Eq. 7.

To solve a DCSP, we follow our general methodology presented in the Introduction section and rely on a good balance between exploration and exploitation with minimum perturbation, as described in [11].

### Algorithm 3 DPSO Algorithm [6]

```plaintext
1: nPop ← Population size
2: MaxIt ← Maximum number of iterations
3: \(f_i()\) ← Number of violated constraints
4: \(f_3()\) ← Hamming distance between two solutions
5: \(PS\) ← Solution of Previous CSP
6: Set \(c_1, c_2, r_1, r_2, p_{r1}, p_{r2}, p_{r3}\)
7: for \(i ← 1\) to MaxIt do
8:     for \(i ← 1\) to nPop do
9:         \(V^t_i ← w \otimes V^{t-1}_i + c_1r_1 \otimes (p_{best}^{t-1} \ominus X^{t-1}_i) + c_2r_2 \otimes (g_{best}^{t-1} \ominus X^{t-1}_i)\)
10:         \(X^t_i ← X^{t-1}_i \otimes V^t_i\)
11:         if \(f_1(X^t_i) < f_1(p_{best}^{t-1}) \&\& f_2(X^t_i, X^{t-1}_i) < f_2(p_{best}^{t-1}, X^{t-1}_i)\) then
12:             \(p_{best}^t_i ← X^t_i\)
13:         end if
14:         if \(f_1(p_{best}^{t-1}) < f_1(g_{best}^{t-1}) \&\& f_2(p_{best}^t_i, X^{t-1}_i) < f_2(g_{best}^{t-1}, X^{t-1}_i)\) then
15:             \(g_{best}^t_i ← p_{best}^t_i\)
16:         end if
17:     end for
18: end for
```

Table 4: \(w\) effect on \(V^t_i\)

| \(V^6_i\) | 4 | 3 | 2 | 5 | 1 | 3 | 4 | 5 | 4 |
|-----------|---|---|---|---|---|---|---|---|---|
| \(w = 0.4\) | ↓ |   |   | ↓ |   |   |   |   | ↓ |
| \(w \otimes V^6_i\) | 2 | 1 | 2 | 5 | 3 | 3 | 4 | 2 | 4 | 4 |

Table 5 reflects this process on a concrete example. The new position of particle \(i, X^t_{i+1}\), is computed according to Eq. 7.
DFGOA is a new metaheuristic algorithm proposed in [21] for optimization problems. This algorithm is inspired by the collaborative behavior of a group’s members sharing their ideas on a given subject.

In [22], we have proposed a discrete version of FGOA that we call DFGOA. We define the impact factor parameter for each potential solution based on its quality as shown in Eq. 8.

\[ IF^{t+1}(i) = IF^t(i) + \sum_{j=1}^{nPop} \frac{\text{rand}() \times (|F(S(i)) - F(S(j))| \times IC(j))^m}{Nvar} \]  

\( IF(i) \) is the impact factor of participant \( i \) which will take an important role in the next steps to affect the other participants’ solutions, \( IF^{t+1}(i) \) is the new impact factor of participant \( i \), \( nPop \) is the population size, \( Nvar \) is the number of variables of the problem, \( \text{rand}() \) generates a random number in (0,1) and \( F(S_i) \) and \( F(S_j) \) are the fitness values for solutions \( i \) and \( j \), respectively. \( IC(j) \), the impact coefficient, is a random number in (0,1) and is assigned to each solution. In this regard, a set of \( nPop \) random numbers is generated and based on the qualities of solutions are assigned to each solution. (The higher quality a solution has, the larger the value will be assigned to.)

Table 6 illustrates the process for a minimization problem for a set of given solutions with associated qualities.

In a discrete problem space, affecting a solution can be interpreted as replacing its variables’ values with the corresponding variables’ values of the better solution with an appropriate probability, in order to avoid the immature convergence of the algorithm. In our proposed algorithm, this replacement is done by considering \( IF(i) \) as the probability of this replacement. We normalize the impact factor between 0 and 1 according to (9).

\[ IF(i)_{\text{Normalized}} = 1 - \frac{F(s(i)) - F(\text{Best solution})}{F(\text{Worst solution}) - F(\text{Best solution})} \]  

Table 6 Assignment of impact coefficient \( IC(i) \) to each solution for a given instance problem

| S1 | S2 | S3 | S4 | S5 | S6 | S7 | S8 |
|----|----|----|----|----|----|----|----|
| F(S(i)) | 21 | 20 | 18 | 14 | 10 | 7  | 8  | 2  |
| Generated Random values | 0.71 | 0.51 | 0.07 | 0.18 | 0.40 | 0.59 | 0.24 | 0.14 |
| IC(i) | 0.18 | 0.21 | 0.24 | 0.40 | 0.51 | 0.63 | 0.59 | 0.71 |
Here, $F(\text{Best solution})$ and $F(\text{Worst solution})$ are the expected qualities of the best and the worst solutions. In fact, the larger $IF(i)$ is, the more chance participant $i$ ($S_i$) has to impact the other participant’s solutions. This replacement is done according to (10).

$$Rep(S_i, S_j) = \begin{cases} S_j(k) \leftarrow S_i(k) \\ \text{if } S_j(k) \neq S_i(k) \text{ and } \text{rnd} < IF(I) \end{cases}$$

(10)

$Rep(S_i, S_j)$ is the replacement equation, and $\text{rnd}$ is a random number in (0,1). Table 7 indicates the steps through which $S_2$ is being affected by $S_1$. According to this figure, the corresponding variables in two solutions with equal values remain unchanged. However, the other variables’ values of $S_2$ are replaced with probability $IF(13) = 0.3$ by the corresponding variables’ values of $S_1$.

At the first, second and fourth steps above, the variables’ values of $S_2$ remained unchanged. However, in the third and fifth steps $S_2$ variables’ values are replaced by those of $S_1$, resulting in $S_2 = [3 1 2 4 2 1]$.

In the proposed method, we use a controlling parameter called $CP$ to detect if the FGOA has been trapped in local optima solutions. In the case that an algorithm has been trapped in local optima solutions it cannot make further improvements. This parameter through (1) monitors the progress trend of the algorithm and if for some iterations not enough progress has been made by the algorithm, this parameter enables a randomization method to diversify the solutions.

$$CP = \sum_{i=IN-WS}^{IN} \frac{(GB(i) - GB(i-1))}{WS}$$

(11)

$IN$ is the current iteration number, $WS$ is the window size, and $GB(i)$ is the global best solution in iteration $i$. Here, window size determines the number of iterations to be considered to determine if an acceptable progress has been made by the algorithm. If $CP$ is less than the user-defined threshold value, the algorithm activates a new randomization method called $IF$ Randomization (IFR).

We have employed the $IF$ Randomization method for diversifying the solutions. According to this method, based on the impact factor ($IF$) of a solution, a variable value of a given solution is replaced with another value which is randomly chosen from its

Table 7  Steps showing how participant 2 ($S_2$) is affected by participant [22] 1 ($S_1$)

|        | $X_1$ | $X_2$ | $X_3$ | $X_4$ | $X_5$ | $X_6$ |
|--------|-------|-------|-------|-------|-------|-------|
| $S_1$  | 1     | 3     | 2     | 4     | 1     | 1     |
| $S_2$  | 3     | 1     | 3     | 4     | 2     | 3     |
| $S_1 \rightarrow S_2$ |       |       |       |       |       |       |
| 1st step $\rightarrow$ | 3     |       |       |       |       |       |
| 2nd step $\rightarrow$ | 3     | 1     |       |       |       |       |
| 3rd step $\rightarrow$ | 3     | 1     | 2     |       |       |       |
| 4th step $\rightarrow$ | 3     | 1     | 2     | 4     |       |       |
| 5th step $\rightarrow$ | 3     | 1     | 2     | 4     | 2     | 1     |
domain with probability \((1 - IF)^2\) (as shown in Table 8). The probability \((1 - IF)^2\) causes higher-quality solutions to be subject to less changes in their variables values.

Algorithm 5 lists the pseudocode of the proposed DFGOA for solving DCSPs. Here, the window size and threshold value determine the sensibility of the algorithm to stagnation of the algorithm. In fact, these two parameters control the probability of applying \(IF\) randomization to the solutions. By initializing these parameters appropriately, the emphasis of DFOGA will be on exploitation first and then exploration, as described in our general solving methodology.

Algorithm 4 IF Diversifier

```plaintext
1: if CP < Threshold then
2:   for k←1 to Nvar do
3:     rd ← rand()
4:     if r THEN rd < (1 - IF(k))^2
5:        Temp ← randomly choose values d ∈ D_k
6:        S_i(k) ← Temp
7:   end if
8: end for
9: end if
```

7 Dynamic Harmony Search (DHS) Algorithm

The harmony search (HS) optimization algorithm is a population-based metaheuristic algorithm which was developed by Geem et al. in 2001 [23] based on improvisation process of jazz musicians. Improvisation process stands for the attempt of a musician to find the best harmony that can be achieved in practice [24]. Three options can be considered when a skilled musician aims at improvising on a music instrument, a) to play a memorized piece of music exactly, b) to play a piece similar to what he/she has in their memory and c) to play newly composed notes [15].

These three options were considered by the Geem as the main components of the HS algorithm which were introduced as harmony memory, pitch adjustment and random search to the algorithm [15].

The harmony memory has a valuable role in HS algorithm and that is to ensure that good harmonies are considered when generating new solutions. This component is controlled by a parameter called harmony memory considering rate, \(HMCR \in [0, 1]\). In fact, this parameter determines the ratio of considering elite solutions (harmonies) in generating a new solution. If this parameter is set to a small value, the algorithm considers a small number of elite solutions; therefore, it converges to the optimal solution too slowly. On the other hand, if it set to a large value, the emphasis of the algorithm will be on using the solutions in the memory and therefore other good solutions are not explored. This does not lead to discovering better solutions.

The next component is pitch adjustment, which has the same application as the mutation operator in genetic algorithms, is stated as follows:

\[
X_{new} = X_{old} + BW * \epsilon
\]  
\[\text{(12)}\]
Algorithm 5 DFGOA

1: nPop ← Population size
2: nVar ← number of variables
3: MaxIt ← Maximum number of iterations
4: $f_1(i) ← Number of violated constraints$ 
5: $f_2(i) ← Hamming distance between two solutions$ 
6: $PI(i).Q ← NVC(i) + (d(i) − n) Quality of solution PI(i)$ 
7: $PS ← Solution of Previous CSP$ 
8: $w ← User-defined parameters$ 
9: for $I ← 1$ to $MaxIt$ do
10:   for $i ← 1$ to $nPop$ do
11:     for $j ← i + 1$ to $nPop$ do
12:       if $f_1(PI(j)) < f_1(PI(i)) \&\& f_2(PI(j)) < f_2(PI(i))$ then
13:         Compute Impact coefficient (IC) for members
14:         $IF(i) ← IF(i) + \sum_{j=1}^{nPop} \left( \frac{\text{rand}(l) \times IC(i,j)}{N_var} \right)^m$
15:         $IF(i)_{\text{Normalized}} = 1 \cdot \frac{s(i,Q-BestSol,Q)}{WorstSol(Q-BestSol,Q)}$
16:         NPI(i) ← Move (PI(i), PI(j), IF(i)_{Normalized})
17:         Evaluate NPI(i)
18:       end if
19:     end for
20:     if $f_1(NPI(i)) < f_1(PI(i)) \&\& f_2(NPI(i),PS) < f_2(PI(i),PS)$ then
21:       $PI(i) ← NPI(i)$
22:     end if
23:     if $f_1(PI(i)) < f_1(BestSol) \&\& f_2(PI(i),PS) < f_2(BestSol,PS)$ then
24:       BestSol ← $PI(i)$
25:     else
26:       $IF_Diversifier(PI(i))$
27:     end if
28:     if $f_1(NPI(i)) < f_1(PI(i)) \&\& f_2(NPI(i),PS) < f_2(PI(i),PS)$ then
29:       $PI(i) ← NPI(i)$
30:     end if
31:     if $f_1(PI(i)) < f_1(BestSol) \&\& f_2(PI(i),PS) < f_2(BestSol,PS)$ then
32:       BestSol ← $PI(i)$
33:     end if
34:     if $f_1(PI(i)) < f_1(BestSol) \&\& f_2(PI(i),PS) < f_2(BestSol,PS)$ then
35:       $PI(i) ← PI(i)$
36:     end if
37:   end for
38: end for
39: end for
40: Function Sort(PI)
41: for $i ← 1$ to nPop do
42:   if $NVC ← number of violated constraints by solution i$
43:   $PI(i).Q ← NVC(i) + (d(i) − n)$
44: end for
45: end for
46: Sort the solutions based on the calculated Quality (Q) in the previous step
47: $PI ← sort(PI.Q) $
48: Function Move (PI(i), PI(j), IF(i))
49: for $k ← 1$ to nVar do
50:   $rnd ← Rand(0, 1)$
51:   if $PI(j,k) \neq PI(i,k)$ and $rnd < IF(i)$ then
52:     $PI(i,k) ← PI(j,k)$
53: end if
54: end for
Here, $X_{old}$ is the solution (pitch) in the memory, $BW$ is the bandwidth, $\epsilon$ is a random value in $(0,1)$ and $X_{new}$ is the new solution. This component generates solutions slightly different from those in the memory by adding small random values to the solutions in memory. The degree of pitch adjustment can be controlled by pitch adjustment rate parameter $PAR$. The low value of $PAR$ together with the small value of $BW$ can reduce the exploration which results in discovering a portion of the problem space instead of the whole problem space.

The third component of the HS algorithm is randomization. The main role of this component is to encourage the diversity of the solutions. Randomization ensures that all regions of the problem space are accessible by the algorithm.

#### 7.1 Harmony Search Algorithm for CSPs

The HS algorithm was developed to tackle continuous optimization problems. In order to deal with CSPs which is a discrete problem, HS features must be changed to suit the discrete problem spaces. The steps of converting the HS algorithm to its discrete version are discussed below. At the initialization step, the algorithm randomly generates $HMS$ (harmony search size) solutions as the initial population. In this step, potential solutions are generated by assigning random values (from the variables domains) to CSP variables.

The next step is to redefine the pitch adjustment equation presented in Eq. 12. The new definition of the pitch adjustment is presented in Eq. 13:

$$X_{new} = (BW \odot \epsilon) \otimes X_{old}$$  (13)
Through pitch adjustment, slight changes by adding small random values are made in the current solution in order to improve it. The new definition of the pitch adjustment component has the same impact on the current solutions. Through the new definition, the variables’ values of the current solution will be replaced by randomly picking values from variables’ domain in the hope to improve the current solution. In Eq. 13, we defined ⊙ and ⊗ as follows. ⊙ is the multiplier and $BW \odot \epsilon$ is a probability value in (0,1). In fact, this latter term determines the probability of replacing the variables’ values of $X_{old}$ with new values picked up randomly from variables domain. ⊗ applies these changes to $X_{old}$. Figure 1 shows this operation through an example. Here, $BW \odot \epsilon$ is equal to 0.6 which corresponds to 60% replacement of values.

The last component is randomization that encourages diversity of the solutions. This will ensure that a larger search space will be considered by the algorithm. To boost the diversity of the solutions, we employ the GA mutation operator. In this regard, different mutation operators have been developed so far, including the following three that we presented in Section 4: Random resetting mutation (RRM), scramble mutation (SM) and inversion mutation (IM).

### 7.2 DHS for DCSPs

Our solving method consists of taking advantage of the exploitation and exploration features of the HS algorithm. These two features are controlled by PAR and HMCR. High values of HMCR and PAR encourage exploration, and low values will favor exploitation [15]. By initializing these parameters appropriately, we will get a suitable strategy (with minimum perturbation) for the HS algorithm to efficiently solve DCSPs. In this regard, we consider our general solving methodology.

Since both objectives are equally important and not conflicting, we consider the same weight for both when deciding on the diversification rate. Algorithm 6 presents the pseudocode of our proposed DHS method. The algorithm first starts with the generation of HMS potential solutions (harmonies) and stores them in a harmony memory (HM). These harmonies are then evaluated (according to their number of violated constraints and their distance to the old solution). The global best solution is then identified. The algorithm then performs a series of iterations where new harmonies are generated and altered (following the exploration and exploitation strategies). The global best and the set of harmonies are then updated. The

---

*Fig. 1* Application of $(BW \odot \epsilon) \otimes X_{old}$ to a given individual

| $X_{old}$ : | 1 | 3 | 3 | 1 | 2 | 4 | 5 | 5 |
| $BW \odot \epsilon = 0.6$ : | ↓ | ↓ | ↓ | ↓ | ↓ | ↓ | ↓ |
| $(BW \odot \epsilon) \otimes X_{old}$ : | 1 | 1 | 3 | 5 | 1 | 4 | 2 | 2 | 4 |
most challenging issue here is to sort the potential solutions since their qualities are assessed based on the two objectives. To do so, we propose an aggregation of the number of violated constraints and the distance to the best solution that can be achieved.

8 Bee Colony for DCSPs

8.1 Artificial Bee Colony Algorithm

The artificial bee colony (ABC) optimization algorithm, proposed by Karaboga [25], is a population-based optimization algorithm which simulates the foraging behavior of real bee colonies in the nature. Agents of the ABC algorithm are divided into three class of bees, recruited bees, onlooker bees and scout bees, and each class of bees shoulders responsibilities [26]. Recruited bees search the food sources around the location they have in their memories and keep the onlooker bees updated about the quality of the food sources they are visiting. Onlooker bees based on the information they are receiving from recruited bees select the new food sources (the higher-quality ones) and also search around the selected food sources in the hope of discovering new and more quality food sources. Scout bees are recruited bees that abandoned their food source in order to discover new food sources [25].

In the initialization step, $SN$ number of food sources are generated according to Eq. (14):

$$
x_{i,j} = x_{min,j} + Rand(0, 1)(x_{max,j} - x_{min,j})
$$

where $X_i$ is the food source $i$, $X_{min,j}$ and $X_{max,j}$ are lower and upper bounds of the dimension $j$ ($j = 1, \ldots, n$). Each food source is assigned to a recruited bee, and it then generates a new food source in its neighborhood using Eq. (15):

$$
v_{i,j} = x_{i,j} + \theta_{i,j}(x_{i,j} - x_{k,j})
$$

$\theta_{i,j}$ is a random value in $[-1, 1]$ which controls the step size of the algorithm. The large value of $\theta$ will result in a large difference between the previous solution and the current one. In this situation, there is possibility that the algorithm loses the right path to the optimal solution. On the other hand, the small step size will result in immature convergence. In other words, the exploration and exploitation balance of the algorithm is controlled by $\theta$. $k \neq i \in \{1, \ldots, SN\}$ which is chosen randomly. $V_i$ then will be evaluated and compared to the $X_i$, if it is of higher quality than it, $X_i$ will be replaced by it.
Algorithm 6 DHS

1: nVar : Number of variables
2: VarMin : lower bound for the variables’ domain
3: VarMax : upper bound for the variables’ domain
4: nNew : Number of new harmonies
5: HMCR : Harmony memory consideration rate
6: HM : Harmony memory set of solutions
7: HMS ← Harmony memory size
8: NH : New Harmonies
9: PAR : Pitch adjustment rate
10: A : Pitch adjustment parameter
11: BW ← 0.001 × (VarMax − VarMin)
12: MaxIt ← Maximum number of iterations
13: \( f_1() \) : Number of violated constraints
14: \( f_2() \) : Hamming distance between two solutions
15: PS : Solution of previous CSP
16: HM ← initial random harmonies
17: Evaluate the solutions % compute \( f_1() \) and \( f_2() \) for each solution
18: BestSol ← best solution minimizing \( f_1() \) and \( f_2() \)
19: for \( I \) ← 1 to \( \text{MaxIt} \) do
20: % Create New Harmonies
21: for \( k \) ← 1 to \( n\text{New} \) do
22: \( NH(k).solution \leftarrow \text{generate a random solution} \)
23: for \( j \) ← 1 to \( n\text{Var} \) do
24: \( 
\text{rnd} \leftarrow \text{Rand}(0, 1)
\)
25: if \( \text{rnd} < \text{HMCR} \) then
26: \( i \leftarrow \text{randomly choose a number in } [1, \text{HMS}] \)
27: \( NH(k).solution(j) \leftarrow \text{HM}(i).solution(j) \)
28: end if
29: \( 
\text{rnd} \leftarrow \text{Rand}(0, 1)
\)
30: % pitch adjustment
31: if \( \text{rnd} < \text{PAR} \) then
32: \( A \leftarrow BW \times \text{Rand}(0, 1) \)
33: \( NH(k).solution(j) \leftarrow (BW \odot e) \otimes NH(k).solution(j) \oplus A \)
34: end if
35: end for
36: % Mutation
37: \( NH(k).solution \leftarrow \text{Mutate}(NH(k).solution) \)
38: % Evaluation
39: Evaluate \( NH(k).solution \) % compute \( f_1() \) and \( f_2() \) for each solution
40: if \( f_1(NH(k).solution) < f_1(BestSol) \) \&\& \( f_2(NH(k).solution, PS) < f_2(BestSol, PS) \) then
41: BestSol ← \( NH(k).solution \)
42: end if
43: end for
44: % Selection
45: \( HM \leftarrow HM \cup NH \ % \text{Merge the current population and new harmonies} \)
46: \( HM \leftarrow \text{Select}(HM) \ % \text{select the first HMS solutions} \)
47: end for

When the recruited bees finished their search, they share the information about the location of their food sources and their nectar amounts with the onlooker bees by performing special dance in the dance area in their colony. Onlooker bees then assess the quality of the food sources based on the information that was passed to them
by the recruited bees and then with a probability related to the quality of the food sources choose food source sites [25]. For probabilistic selection, different functions can be considered; the most well-known of them are Roulette wheels, ranking-based and tournament selections. In this work, we use Roulette wheel selection which is expressed based on the fitness of the food sources (solutions) as follows:

\[ p_i = \frac{f_i}{\sum_{j=1}^{NS} f_j} \]  

(16)

\( f_i \) is the fitness value of food source \( i \).

Based on the evaluated \( p_i \) and the information taken from the recruited bees, an onlooker bee selects its food source. The onlooker bee explores its neighboring areas using Eq. 2 in order to find a better solution. It then will replace its solution with a better discovered solution.

When a food source \( X_i \) cannot be further improved through a defined number of trials, the corresponding recruited bee abandons the food source. This bee is now known as scout and following Eq. (17) randomly searches for another food source.

\[ x_{i,j} = x_{\text{min},j} + \text{Rand}(0, 1)(x_{\text{max},j} - x_{\text{min},j}) \]  

(17)

8.2 Discrete ABC for CSPs

To convert the continuous ABC algorithm to a discrete one, all the features of the ABC algorithm including all the equations must be redefined, so they suit the definition of the discrete problems like CSPs.

The initial population of bees is generated by assigning the random values from variables’ domain to the variables of the solutions. The solutions here are represented as chromosome of variables. After the generated solutions were assigned to recruited bees, they try to locally improve the solutions by searching neighboring areas of their solutions (food sources). To do so, we need to redefine the \( V_i \) as follows:

\[ V_{i,j} = w \odot (x_{i,j} \ominus (V_i)) \]  

(18)

where

\[ V_{i,j} = \emptyset_{i,j} \odot (x_{i,j} \ominus x_{k,j}) \]  

(19)

Here, we need to define our new operators \( \odot \) and \( \ominus \). The idea is that the algorithm randomly selects a food source site \( K \) for each recruited bee in their neighborhood. Then, the recruited bees need to move toward that new site. In discrete problem, spaces moving from one solution to another means to share more identical values with that solution. Operator \( \ominus \) identifies the variables of the first solution that have
different values from the one the bee is going to move toward. After identifying the variables with different values, their values will be replaced by the corresponding variables’ values of solution \( X_K \) with the probability \( \theta_{i,j} \) (a value in (0,1)). The application of \( \otimes \) is to replace the variables’ values of \( X_i \) with \( X_K \) considering the replacement probability \( \theta_j \). Figure 2, with an example, shows the procedure of calculating \( \gamma_i = \theta_{i,j} \otimes (x_{i,j} \ominus x_{k,j}) \). And then \( \ominus \) compares \( x_{i,j} \) and \( \gamma_i \) to identify the different variables. Then, \( \ominus \) compares \( x_{i,j} \) and \( \gamma_i \) to identify the different variables.

When the differences have been identified, those variables of \( x_{i,j} \) will be replaced by corresponding variables’ values of \( \gamma_i \) with probability \( w \). Figure 3 shows the procedure for \( w = 0.5 \).

The quality of the solutions is evaluated based on the number of violated constraints. The less constraints a solution violates, the more quality that solution is. \( V_i \) then will be assessed using defined fitness function. If it has higher quality than \( X_i \), it will replace it. The improvement in developing every food site is monitored using parameter \( C \). If for defined number of trials, the expected improvement has not been achieved, the algorithm replaces that site with a randomly generated site (solution).

### 8.3 Discrete ABC (DABC) for DCSPs

As discussed earlier, the exploitation and exploration features of the ABC algorithm are controlled by \( \theta \). From previous discussion, we know that the high value of \( \theta \) encourages the exploration and the low value encourages the exploitation of the algorithm [27]. Although \( \theta \) is a random parameter which cannot be set manually, we can still control it by determining the right intervals to meet the problem requirements. By initializing this parameter appropriately, exploitation will be emphasized first, followed by exploration, as per our solving methodology.

Algorithm 7 presents the pseudocode of DABC.

---

**Fig. 2** An example of calculating \( \gamma_i = \theta_{i,j} \otimes (x_{i,j} \ominus x_{k,j}) \)

| \( X_K \) | 2 | 1 | 3 | 5 | 5 | 4 | 1 | 2 | 3 | 4 |
|-----------|---|---|---|---|---|---|---|---|---|---|
| 0.1       | 0.4 | 0.1 | 0.6 | 0.3 | 0.3 | 0.6 | 0.5 | 0.7 | 0.4 |
| \( \gamma_i \) | 2 | 1 | 3 | 5 | 4 | 4 | 5 | 1 | 4 |

---

**Fig. 3** An example of calculating \( w \otimes (x_{i,j} \ominus x_{k,j}) \)

| \( X_i \) | 2 | 1 | 3 | 5 | 5 | 4 | 1 | 2 | 3 | 4 |
|-----------|---|---|---|---|---|---|---|---|---|---|
| \( \gamma_i \) | 2 | 1 | 3 | 5 | 4 | 4 | 5 | 1 | 4 |

| \( w \) | 0.5 |
|---------|-----|
| \( w \otimes (x_{i,j} \ominus x_{k,j}) \) | 2 | 1 | 3 | 5 | 4 | 4 | 2 | 1 | 4 |
Algorithm 7 DABC

1: $f_i$ ← calculate the number of violated constraints
2: $f_2$ ← distance from previous CSP's solution (Hamming distance $d_H$)
3: $PS$ ← Previous Solution
4: $L$ ← Abandonment limit parameter
5: $C$ ← 0
6: MaxIt ← Maximum iteration number
7: $nPop$ ← Population size
8: $nOnlooker$ ← $nPop$% number of Oolooker bees
9: $BestSol$ ← $Inf$
10: $w$ ← inertia weight
11: Initialize the population
12: for $i$ ← 1 to MaxIt do
13:  ...  % Recruited bees
14:  for $i$ ← 1 to $nPop$ do
15:  $K$ ← choose randomly $k$ from $[1 : i - 1 i + 1 : nPop]$
16:  newbee ← newsite($bee(i), bee(k), w$) % calculate $V_i$
17:  evaluate the newbee according to fitness function
18:  if $f_1$ (newbee) < $f_2$ ($bee(i)$) & $f_3$ (newbee) < $f_3$ ($bee(i)$) then
19:    $bee(i)$ ← newbee
20:  else
21:    $C(i)$ ← $C(i)$ + 1
22:  end if
23: end for
24: for $i$ ← 1 to $nPop$ do
25:  $F(i)$ = $exp(-bee(i) . cost/mean(bee(i) . cost))$
26: end for
27: $p = F$ (sum $F$)
28: ...  % Onlooker bees
29: for $m$ ← 1 to $nOnlooker$ do
30:  $i$ ← RouletteWheelSelection($p$)
31:  $K$ ← choose randomly $k$ from $[1 : i - 1 i + 1 : nPop]$
32:  newbee ← newsite($bee(i), bee(k), w$)
33:  evaluate the newbee
34:  if $f_1$ (newbee) < $f_2$ ($bee(i)$) & $f_3$ (newbee, $PS$) < $f_3$ ($bee(i), PS$) then
35:    $bee(i)$ ← newbee
36:  else
37:    $C(i)$ ← $C(i)$ + 1
38: end if
39: end for
40: ...  % Scout bees
41: for $i$ ← 1 to $nPop$ do
42:  if $C(i) = U$ checks to see if appropriate progress has been achieved then
43:    $bee(i)$ ← randomly generate a solution
44:  end if
45:  evaluate the $bee(i)$
46:  $C(i)$ ← 0
47: end if
48: end for
49: end for
50: end for
51: ...  % Update the best solution
52: for $i$ ← 1 to $nPop$ do
53:  if $f_1$ ($bee(i)$) < $f_1$ ($BestSol$) & $f_2$ ($bee(i)$) < $f_2$ ($BestSol$) then
54:    $BestSol$ ← $bee(i)$
55: end if
56: end for
57: end for
58: function newbee = newsite($bee(i), bee(k), w$)
59:  newbee ← $bee(i)$
60:  $\phi$ ← Rand (0, 1)
61: for $i$ ← 1 to $nVar$ % $nVar$ is number of variables do
62:  $r$ ← Rand (0, 1)
63:  if $r < \phi$ then
64:    $bee(i)$ ← $bee(i)$
65:  end if
66: end for
In [28], we introduced a new nature-inspired optimization algorithm, namely mushroom reproduction optimization (MRO) inspired and motivated by the reproduction and growth mechanisms of mushrooms in nature. MRO follows the process of discovering rich areas (containing good living conditions) by spores to grow and develop their own colonies. In fact, this algorithm mimics the way mushrooms reproduce and migrate to rich areas with adequate nourishment, moisture and light. The reproduction model is twofold: a) producing spores and b) distributing them randomly in the environment. This reproduction mechanism leads to bigger mushroom colonies located in different regions with suitable living conditions. The searching agents are parent mushrooms and spores. The transporter mechanism is the artificial wind that stochastically distributes spores in different locations of the problem space. The probability of developing colonies in rich areas is higher than poor areas. In fact, rich areas are those containing high-quality solutions and probably the optimal one. In the initial phase, a mature mushroom (the parent), located in the problem space, distributes spores throughout the problem space. If no wind is blowing and the living conditions in the neighboring area are good, then upon landing, spores germinate and grow (local search).

Equation (20) calculates the new location of spore \( j \) of colony (parent mushroom) \( i \) \( (X_{ij}) \). Here, \( X_{i}^{\text{parent}} \) is the location of the parent \( i \) and \( \text{Rand}(0,1) \) generates a random number in \((0,1)\). However, if the wind is blowing, spores are moved to different parts of the problem space and land in new locations. Next, spores grow and become mature mushrooms. Eqs. (21) and (22) present the movement of the spores induced by wind.

\[
X_{ij} = X_{i}^{\text{parent}} + \text{Rand}(0,1) \tag{20}
\]

\[
X_{ij} = X_{i}^{\text{parent}} + \text{Move}_{j}^{\text{wind}} \tag{21}
\]

\[
\text{Move}_{j}^{\text{wind}} = (X_{i}^{*} - X_{k}^{*}) \times \left( \frac{\text{Ave}(i)}{T_{\text{ave}}} \right)^{m}
\times \text{Rand}(-\delta, \delta) \times rs + \text{Rand}(-r, r) \tag{22}
\]

Here, \( X_{i}^{*} \) and \( X_{k}^{*} \) are the parent solutions of the colonies \( i \) and \( k \), \( \text{Ave}(i) \) is the average of solutions quality of colony \( i \), \( T_{\text{ave}} \) is the total average of all colonies, \( \text{Rand}(-\delta, \delta) \) is a vector that determines direction movement of the wind, \( rs \in (0, 1) \) is the size of random step and \( \text{Rand}(-r, r) \) is the random movement of the spores to their neighboring areas with radius \( r \).

This searching process of production and distribution is repeated, and some spores will ultimately find the richest area. In the final phase, the main operation, consequently the colony expands locally and quickly. This will result in finding the optimal solution.

The initial version of MRO, described above, was introduced to tackle continues optimization problems [28]. To apply it to discrete optimization problems, such as
CSPs, the features of the algorithm must be converted to discrete features to be able to deal with a discrete problem space which is the space of all possible combinations of variables values. The steps that must be taken to convert continuous MRO to discrete MRO are discussed below.

The initial population of the MRO is generated randomly by assigning randomly chosen values from a given CSP variables’ domain to variables of the initial solutions. Two main features of the MRO are local movement which its role is to locally extend the colonies in order to make a local improvement in the solutions of a colony and the second feature is the global movement of the spores (migration of the spores) induced by wind.

According to the mushrooms’ life cycle studies, mushroom colonies are more likely to be found in the regions that have good living condition for mushrooms. Once a spore found such region, it then begins to locally extend its colony by distributing its spores in the neighboring areas of its colony. Local extension of a mushroom colony was discussed in [28]; however, to fit the definition of CSPs, a redefined version of the local movement is required. In a CSP, the search space consists of all combination of values in the form of chromosomes (potential solutions). A local movement of a solution (spore in MRO) corresponds to making a slight change in a variable value. This basically corresponds to moving toward the surrounding areas of a solution with radius $r$, as shown in Algorithm 8.

**Algorithm 8 Local Movement of spores in DMRO**

1: for $K \leftarrow 1$ to nSpore do  
2:     Mush(K).Position $\leftarrow$ ParentMushroom.Position  
3: for $l \leftarrow 1$ to nVar do  
4:     rnd $\leftarrow$ Rand(0,1)  
5:     if rnd $<$ Threshold1 then  
6:         Mush(K).Position($l$) $\leftarrow$ Mush(K).Position($l$) + Rand(-r, r) $\%$ $r$ is the local movement radius  
7:     end if  
8: end for  
9:  
10: Mush(K).Position $\leftarrow$ max(Mush(K).Position, varmin)  
11: Mush(K).Position $\leftarrow$ min(Mush(K).Position, varmax)  
12: Mush(K).weight $\leftarrow$ evaluate(Mush(K))  
13: end for  
14: avg $\leftarrow$ mean(Mush.cost)  
15: Mush $\leftarrow$ bestMush

The second main feature is the global movement induced by wind. Mature mushrooms generate spores and distribute them by wind through the environment. The wind has stochastic and unpredictable features like direction and
speed. Therefore, spores will be distributed stochastically throughout the problem space. Many spores are distributed through the environment, but those regions with better living conditions have more chance to host the bigger mushroom colonies. In fact, spores move everywhere and those reaching regions with good living conditions begin to germinate and extend their colonies. The quality of a region is represented by the average of the quality of its solutions (mushrooms). The global movement is defined as shown in Algorithm 9.

As described in Algorithm 9, the position of a new spore is determined based on the location of its parent, the quality of the other regions, as well as the random movement of spores (given that they are light, spores can move unpredictably towards different directions). The parameter \( rs \) (size of random step) controls the ratio of influencing a solution by other solutions. A higher value of \( rs \) together with a lower value of \( RV \) (number of random variables) will allow a solution to move rapidly toward other solutions and converge to them, while a lower value of \( rs \) together with a higher value of \( RV \) will increase the chance of discovering new regions. In other words, this process corresponds to exploitation versus exploration strategies, controlled by \( rs \) and \( RV \). A high value of \( rs \) and low value of \( RV \) encourage exploitation, while the opposite will encourage exploration. When solving a DCSP, our DMRO applies exploitation and exploration, as described in our general methodology.

Algorithm 9 Global movement induced by wind

1: for \( \ell \leftarrow 1 \) to nVar do
2: \( \text{rnd} \leftarrow \text{Rand}(0,1) \)
3: if \( \text{rnd} < \text{Threshold2} \) then
4: \( \text{Mush}(i).\text{Position}(l) \leftarrow \text{Rand}(0,\text{Domain}) \)
5: end if
6: end for
7: % Movement towards better regions
8: for \( l \leftarrow 1 \) to nVar do
9: \( \text{rnd} \leftarrow \text{Rand}(0,1) \)
10: if \( \text{rnd} < (\text{avg}(i,j)) \times rs \) then
11: \( \text{Mush}(i).\text{Position}(l) \leftarrow \text{Mush}(k).\text{Position}(l) \)
12: end if
13: end for
14: % Random Movement
15: \( \text{RV} \leftarrow \text{Rand}(1,n\text{Var}) \% \) Generate a random number
16: \( \text{RVS} = \text{Rand}([1,n],[1,\text{RV}]) \% \) generate an array of RV randomly chosen variables
17: for \( l \leftarrow 1 \) to \( \text{RV} \) do
18: \( \text{Mush}(i).\text{Position}(\text{RVS}(l)) \leftarrow \text{Rand}(0,\text{Domain}) \)
19: end for
20: Return \( Sol_i \)
Algorithm 10 DMRO for DCSPs

1: nSpore ← Number of the permitted spores for each parent mushroom
2: nPop ← Number of the parent mushrooms
3: FW ← 0.001 + (VarMax - VarMin) % Fret Width
4: MaxIt ← Maximum number of iterations
5: f() ← evaluate the weight of the solution based on (13)
6: Mush ← Create initial population
7: Evaluate the solutions
8: Calculate Ave_i
9: Calculate Tave
10: BestSol % save the best solution in each iteration
11: for It ← 1 to MaxIt do
12:     Create New Harmonies
13:     for i ← 1 to nPop do
14:         if Ave_i < Tave then
15:             for k ← 1 to nPop do
16:                 if f(Mush(i)) < f_j(Mush(k)) then
17:                     Dave ← \frac{ave}{nSpore} % distribute nSpore Spores in the problem space by wind
18:                     Mush(i) ← WindMove(Mush(i),Mush(k),ave,Tave)
19:                     % Local distribution of spores
20:                     (Mush(i),ave(i)) ← LocalMovement(Mush(i),nSpore)
21: else % Local distribution of spores
22:                 (Mush(i),ave(i)) ← LocalMovement(Mush(i),nSpore)
23:             if f(Mush(i)) < f(BestSol) then
24:                 BestSol ← Mush(i)
25:         end if
26:     end if
27: Tave ← mean(ave)
28: end for
29: end if
30: end for
31: end for

Algorithm 10 presents the discrete MRO for tackling DCSPs. At each iteration, the global best is updated according to these two objectives.

10 Experimentation

10.1 Experimentation Environment and Problem Instances

In this section, we report on the experiments we conducted in order to assess the performance of the nature-inspired techniques we have presented, for solving DCSP instances, randomly generated using a variant of the RB model [10]. The RB model has the ability to generate hard-to-solve instances (those near the phase transition). As discussed before, a DCSP can be seen as a sequence of static CSPs, each obtained from the previous one by adding a set of new constraints. In this regard, we generate two instances for each random DCSP. The first one is simply a static CSP instance. The second one is obtained by adding a set of new constraints to the initial instance, such that we will end up with \(nv\) constraint violations of the solution to the
initial CSP. We also make sure that the distance between the new solution and the old one is equal to \( nv \). Note that \( nv \) is set to 8 in our experiments. In this regard, if \( nv \) constraints need to be added in a dynamic way to produce \( nv \) violations, we proceed as follows. Starting from the initial randomly generated CSP, we remove \( nv \) constraints in order to produce the static CSP instance. These removed constraints will be added incrementally to the second instance. This will guarantee the consistency of the second instance.

Each CSP instance is generated as follows using the parameters \( n, p, \alpha \) and \( r \) where \( n \) is the number of variables, \( p(0 < p < 1) \) is the constraint tightness (the number of incompatible tuples over the Cartesian product of the two involved variables domains), and \( r \) and \( \alpha \) (\( 0 < r, \alpha < 1 \)) are two positive constants used by the model RB [10].

1. Select \( t = r \times n \times \ln n \) distinct random constraints. Each random constraint is formed by selecting 2 of \( n \) variables (without repetition).
2. For each constraint, we uniformly select \( q = p \times d^2 \) distinct incompatible pairs of values, where \( d = n^\alpha \) is the domain size of each variable.
3. All the variables have the same domain corresponding to the first \( d \) natural numbers (0, \ldots, \( d - 1 \)).

According to [10], the phase transition \( P_{cr} \) is calculated as follows: \( P_{cr} = 1 - e^{-\alpha / r} \). In theory, solvable problems are those with a tightness \( p < P_{cr} \). Given that the phase transition is 0.7, we generate CSPs (having 100 and 200 variables) with a tightness between 0.3 and 0.7. The consistency of each generated instance is confirmed in practice, using an exact method (backtrack search algorithm).

All methods, used in these comparative experiments, have been implemented using MATLAB R2013b. In addition to the nature-inspired techniques we described in this paper, we have also implemented a dynamic variant (following our general methodology) of the genetic algorithm we proposed in [29] for solving CSPs. The population size of each method is fixed to 50. For ABC, the number of employed bees and onlooker bees is 50. The other controlling parameters of the algorithms are tuned to their best.

All experiments have been performed on a PC with Intel Core i7-6700K 4.00 GHz processor and 32GB of RAM.

The results of the experiments are reported in terms of running time (RT) in seconds, number of violated constraints (NVC), success rate (SR) and number of constraint checks (NCC). Each experiment is conducted five times, and the average result (one of the above parameters) is returned.

### 10.2 Results Regarding the First Instance

Figure 4 indicates the convergence trends of the proposed methods to the solutions of the instances. The left and right charts correspond to CSPs with 100 and 200 variables, respectively. The tightness is set to 0.6 which corresponds to the hardest problems to solve. As we see in all the experiments, the proposed algorithms were
successful in finding the solution; however, different methods reveal different behaviors in terms of the required number of iterations to reach the solution. In the case of 100 variables, DFGOA, SADFA and DMRO were able to converge toward the optimal solution after 20 iterations, where it took a bit longer for the other methods. For 200 variables, SADFA is the winner, followed with GAs.

10.2.1 Results regarding the second instance

Figure 5 shows the convergence trends in terms of minimum distance (left charts) and number of violated constraints (right charts) when solving CSP instances with 200 variables, and with tightness 0.4 (top charts), 0.55 (middle charts) and 0.6 (bottom charts). In all charts, we can easily see how each nature-inspired technique successfully minimizes both objectives. The number of constraints violations actually reaches 0 by all the methods, as we can see in the left charts (which corresponds to completely solving the corresponding instance). As for the quality of the solution returned (distance to the optimal), all methods were able to return a near-to-optimal distance of 10 (optimal is 8).

Note that the tests reported in Fig. 5 only consider the number of iterations as a comparative parameter, for reaching the optimal solution. In order to see how does this number translate into the actual running time needed by each method to return the solution, we report in Table 9 the detailed results in terms of running time (RT), number of violated constraints (NVC), number of constraint checks (NCC) and quality of the returned solution. The latter (similarity or Sim.) is measured as the percentage of similar values to the optimal solution (100% corresponds to the optimal solution). CSP instances have 200 variables, with a tightness value ranging between 0.3 and 0.6. As noticed in the table, all the methods were successful again here to return a consistent solution (corresponding to 0 violated constraints). A
A near-to-optimal solution (88% to 90%) is also obtained by all the techniques. There is, however, a noticeable difference in terms of running time, especially when the tightness is equal to 0.6 (which corresponds to the hardest problems to solve). In this regard, FGOA and ABC are the best methods in both running time and quality of the solution returned (similarity to the previous one), with HS coming next. We conducted further experiments with 300 variables, and the results are listed in Table 10. Here again, FGOA and ABC show the best results, followed by HS and DPSO.

Fig. 5 Convergence trend in NVC (left chart) and distance (right chart)
Mouhoub [7]

Note that we have implemented an exact method based on backtrack search and constraint propagation, as reported in [7]. This exact method has then been used to conduct the experiments reported in this section. Overall, the exact method performed poorly (especially for high tightness values) in comparison with the results reported in Table 9. In the case of 300 variables (reported in Table 10), the exact method was not even able to find a consistent solution within the time allocated for the nature-inspired techniques.
Table 10 Comparative test results for CSP instances with 300 variables

| Tightness | MRO | FG | GA | HS | FA | PSO | ABC |
|-----------|-----|----|----|----|----|-----|-----|
|           | RT  |    |    |    |    |     |     |
| 0.3       | 1542.42 | 2733.86 | 2383.28 | 2363.04 | 2437.12 | 2388.21 | 2419.18 |
| 0.35      | 2458.06 | 2704.94 | 2321.07 | 2599.47 | 2419.19 | 2877.00 | 2460.61 |
| 0.4       | 2711.04 | 2596.73 | 2874.88 | 2572.48 | 2606.38 | 2717.72 | 2684.67 |
| 0.45      | 3633.94 | 2919.88 | 2822.50 | 2781.73 | 2417.10 | 3351.06 | 2680.60 |
| 0.5       | 3727.23 | 2951.20 | 3104.32 | 3005.58 | 2648.49 | 3664.17 | 2589.92 |
| 0.55      | 4501.51 | 3030.47 | 3032.29 | 3029.23 | 3442.44 | 3415.45 | 2630.89 |
| 0.6       | 4972.79 | 3118.97 | 3769.14 | 3515.85 | 4087.62 | 3593.69 | 3260.63 |

11 Conclusion and Future Work

We investigated the applicability of nature-inspired techniques for solving DCSPs. Our contribution has a significant socioeconomic impact given the highly dynamic behavior that most real-world problems exhibit. In this regard, our work is very relevant for those applications under dynamic constraints, and where any change in requirements has to be handled in a short amount of time. More precisely, a new consistent scenario (satisfying the old and new constraints) has to be found as early as possible and should not be that different from the old one. This latter objective is particularly important in constraint optimization problems such as scheduling and planning, where the new schedule should be as close as possible to the old one. Using our general solving methodology, we show that nature-inspired techniques can be used to tackle the objectives we listed above. This claim has been validated through several experiments conducted on randomly generated DCSPs. The results of the experiments are very promising and encouraging. However, real-world scenarios are not random and a further investigation is needed in this regard. This has motivated us to consider, in the near future, further experiments on real-world scenarios, especially in the case of healthcare staff scheduling [30]. This latter application can be seen as preference-based multi-objective constraint optimization problem, where the goal is to come up with a set of Pareto-optimal solutions that satisfy a set of requirements and optimizing some objectives, including personnel preferences. The challenge here is to maintain the Pareto-optimal set anytime there is a change in requirements. We also plan to tackle configuration applications, where the user interacts with the system by adding or removing constraints and see the corresponding changes in an incremental manner [2]. Finally, we will consider dynamic vehicle routing...
[5], where a new optimal path is needed when unpredictable changes (expressed through constraints) such as accidents occur.

**Data Availability** As clearly indicated in the Experimentation section of the manuscript, the data used to perform the experiments have been randomly generated using the RB model [10]. The Experimentation section provides the description on how these data were randomly generated.

**Declarations**

**Conflicts of Interest** On behalf of all authors, the corresponding author states that there is no conflict of interest.

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