Graph Rewriting and Relabeling with PBPO$^+$

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Abstract. We extend the powerful Pullback-Pushout (PBPO) approach for graph rewriting with strong matching. Our approach, called PBPO$^+$, exerts more control over the embedding of the pattern in the host graph, which is important for a large class of graph rewrite systems. In addition, we show that PBPO$^+$ is well-suited for rewriting labeled graphs and certain classes of attributed graphs. For this purpose, we employ a lattice structure on the label set and use order-preserving graph morphisms. We argue that our approach is simpler and more general than related relabeling approaches in the literature.

1 Introduction

Injectively matching a graph pattern $P$ into a host graph $G$ induces a classification of $G$ into three parts: (i) a match graph $M$, the image of $P$; (ii) a context graph $C$, the largest subgraph disjoint from $M$; and (iii) a patch $J$, the set of edges that are in neither $M$ nor $C$. For example, if $P$ and $G$ are respectively

\[
\begin{array}{c}
  a \quad b \\
  \quad a \quad b \\
\end{array}
\quad \text{and} \quad
\begin{array}{c}
  a \quad a \\
  b \quad b \\
\end{array}
\]

then $M$, $C$ and $J$ are indicated in green, black and red (and dotted), respectively. We call this kind of classification a patch decomposition.

Guided by the notion of patch decomposition, we recently introduced the expressive Patch Graph Rewriting (PGR) formalism [1]. Like most graph rewriting formalisms, PGR rules specify a replacement of a left-hand side (lhs) pattern $L$ by a right-hand side (rhs) $R$. Unlike most rewriting formalisms, however, PGR rules allow one to (a) constrain the permitted shapes of patches around a match for $L$, and (b) specify how the permitted patches should be transformed, where transformations include rearrangement, deletion and duplication of patch edges.

Whereas PGR is defined set-theoretically, in this paper we propose a more sophisticated categorical approach, inspired by the same ideas. Such an approach is valuable for at least three reasons: (i) the classes of structures the method can be applied to is vastly generalized, (ii) typical meta-properties of interest (such as parallelism and concurrency) are more easily studied on the categorical level, and (iii) it makes it easier to compare to existing categorical frameworks.
The two main contributions of this paper are as follows. First, we extend the Pullback Pushout (PBPO) approach by Corradini et al. [2] by strengthening the matching mechanism (Section 3). We call the resulting approach PBPO with strong matching, or PBPO+ for short. We argue that PBPO+ is preferable over PBPO in situations where matching is nondeterministic, such as when specifying generative grammars or modeling execution. Moreover, we show that in certain categories (including toposes), any PBPO rule can be modeled by a set of PBPO+ rules (and even a single rule when matching is monic), while the converse does not hold (Section 4).

Second, we show that PBPO+ easily lends itself for rewriting labeled graphs and certain attributed graphs. To this end, we define a generalization of the usual category of labeled graphs, **Graph***(L, ≤)*, in which the set of labels forms a complete lattice ((L, ≤)) (Section 5). Not only does the combination of PBPO+ and **Graph***(L, ≤)* enable constraining and transforming the patch graph in flexible ways, it also provides natural support for modeling notions of relabeling, variables and sorts in rewrite rules. As we will clarify in the Discussion (Section 6), such mechanisms have typically been studied in the context of Double Pushout (DPO) rewriting [3], where the requirement to construct a pushout complement leads to technical complications and restrictions.

2 Preliminaries

We assume familiarity with various basic categorical notions, notations and results, including morphisms X → Y, pullbacks and pushouts, monomorphisms (monos) X ↣ Y, identities 1_X : X ↣ X and the pullback lemma [4,5].

Definition 1 (Graph Notions). A (labeled) graph G consists of a set of vertices V, a set of edges E, source and target functions s, t : E → V, and label functions ℓ_V : V → L and ℓ_E : E → L for some label set L.

A graph is unlabeled if L is a singleton.

A premorphism between graphs G and G’ is a pair of maps φ = (φ_V : V_G → V_{G’}, φ_E : E_G → E_{G’}) satisfying (s_{G’}, t_{G’}) ◦ φ_E = φ_V ◦ (s_G, t_G).

A homomorphism is a label-preserving premorphism φ, i.e., a premorphism satisfying ℓ_{G’} ◦ φ_V = ℓ_G and ℓ_{G’} ◦ φ_E = ℓ_E.

Definition 2 (Category Graph [6]). The category **Graph** has graphs as objects, parameterized over some global (and usually implicit) label set L, and homomorphisms as arrows.

Although we will point out similarities with PGR, an understanding of PGR is not required for understanding this paper. However, the following PGR terminology will prove useful (see also the opening paragraph of Section 1).

Definition 3 (Patch Decomposition). Given a premorphism x : X → G, we call the image M = im(x) of x the match graph in G, G − M the context graph C induced by x (i.e., C is the largest subgraph disjoint from M), and the set of edges E_G − E_M − E_C the set of patch edges (or simply, patch) induced by x. We refer to this decomposition induced by x as a patch decomposition.
3 PBPO+

We introduce PBPO+, which strengthens the matching mechanism of PBPO [2]. In the next section, we compare the two approaches and elaborate on the expressiveness of PBPO+.

Definition 4 (PBPO+ Rewrite Rule). A PBPO+ rewrite rule $\rho$ is a collection of objects and morphisms, arranged as follows around a pullback square:

$$
\rho = \begin{array}{c}
L \\
\downarrow_{t_L} \\
L' \downarrow_{t_{L'}} \\
\end{array} \xrightarrow{\text{PB}} \begin{array}{c}
K \\
\downarrow_{t_K} \\
K' \\
\end{array} \xrightarrow{r} R
$$

$L$ is the lhs pattern of the rule, $L'$ its type graph and $t_L$ the typing of $L$. Similarly for the interface $K$. $R$ is the rhs pattern or replacement for $L$.

Remark 5 (A Mental Model for Graph). In Graph, $K'$ can be viewed as a collection of components, where every component is a (possibly generalized) subgraph of $L'$, as indicated by $t'$. By “generalized” we mean that the components may unfold loops and duplicate elements. $K$ is the restriction of $K'$ to those elements that are also in the image of $t_L$.

We often depict the pushout $K' \xrightarrow{r'} R' \xleftarrow{t'_{R'}} R$ for span $K' \xleftarrow{t'_K} K \xrightarrow{t_L} R$, because it shows the schematic effect of applying the rewrite rule. We reduce the opacity of $R'$ to emphasize that it is not part of the rule definition.

Example 6 (Rewrite Rule in Graph). A simple example of a rule for unlabeled graphs is the following:

In this and subsequent examples, a vertex is a non-empty set $\{x_1, \ldots, x_n\}$ represented by a box $\{x_1 \ldots x_n\}$, and each morphism $f = (\phi_V, \phi_E) : G \rightarrow G'$ is the unique morphism satisfying $S \subseteq f(S)$ for all $S \in V_G$. For instance, for $\{x_1\}, \{x_2\} \in V_K$, $l(\{x_1\}) = l(\{x_2\}) = \{x_1, x_2\} \subseteq V_L$. We will use examples that ensure uniqueness of each $f$ (in particular, we ensure that $\phi_E$ is uniquely determined). Colors are purely supplementary.

Definition 7 (Strong Match). A match morphism $m$ and an adherence morphism $\alpha$ form a strong match for a typing $t_L$, denoted $\text{strong}(t_L, m, \alpha)$, if the square on the right is a pullback square.

$$
\begin{array}{c}
L \\
\downarrow_{t_L} \\
G_L \downarrow_{1_L} \\
\end{array} \xrightarrow{\text{PB}} \begin{array}{c}
L' \\
\downarrow_{t_{L'}} \\
L \\
\end{array} \xrightarrow{\alpha} L'
$$
Remark 8 (Preimage Interpretation). In \textbf{Set}-like categories (such as \textbf{Graph}), the match diagram states that the preimage of $t_L(L)$ under $\alpha : G_L \to L'$ is $L$ itself. So each element of $t_L(L)$ is the $\alpha$-image of exactly one element of $G_L$.

In practice it is natural to first fix a match $m$, and to subsequently verify whether it can be extended into a suitable adherence morphism $\alpha$.

Definition 9 (PBPO$^+$ Rewrite Step). A PBPO$^+$ rewrite rule $\rho$ (left) and adherence morphism $\alpha : G_L \to L'$ induce a rewrite step $G_L \Rightarrow^\rho G_R$ on arbitrary $G_L$ and $G_R$ if the properties indicated by the commuting diagram (right)

\[
\begin{array}{c}
\rho = \begin{array}{c}
L \xleftarrow{t_L} K \xrightarrow{r} R \\
\text{PB} \xleftarrow{\text{PB}} \xrightarrow{\text{PB}} G_L \xrightarrow{g_L} G_K \\
L' \xleftarrow{t'} K' \\
\end{array}
\end{array}
\]

\[
\begin{array}{c}
\begin{array}{c}
K \xrightarrow{r} R \\
\text{PO} \xleftarrow{\text{PO}} \xrightarrow{\text{PO}} G_R \\
L \xrightarrow{m} G_L \xrightarrow{\alpha} G_K \\
\end{array}
\end{array}
\]

hold, where $u : K \to G_K$ is the unique (and necessarily monic) morphism satisfying $t_K = u' \circ u$. We write $G_L \Rightarrow^\rho G_R$ if $G_L \Rightarrow^\rho G_R$ for some $\alpha$.

It can be seen that the rewrite step diagram consists of a match square, a pullback square for extracting (and possibly duplicating) parts of $G_L$, and finally a pushout square for gluing these parts along pattern $R$.

The following lemma establishes the existence of a monic $u$ by constructing a witness, and Lemma 11 establishes uniqueness.

Lemma 10 (Top-Left Pullback). In the rewrite step diagram of Definition 9,

\[
\begin{array}{c}
\begin{array}{c}
L \xrightarrow{m} G_L \xrightarrow{\alpha} G_K \\
\text{PB} \xleftarrow{\text{PB}} \xrightarrow{\text{PB}} G_L \xrightarrow{g_L} G_K \\
L' \xleftarrow{t'} K' \\
\end{array}
\end{array}
\]

there exists a morphism $u : K \to G_K$ such that $L \xleftarrow{t} K \xrightarrow{u} G_K$ is a pullback for $L \xrightarrow{m} G_L \xrightarrow{\alpha} G_K$, $t_K = u' \circ u$, and $u$ is monic. \(\square\)

Lemma 11 (Uniqueness of $u$). In the rewrite step diagram of Definition 9 (and in any category), there is a unique $v : K \to G_K$ such that $t_K = u' \circ v$. \(\square\)

Lemma 12 (Bottom-Right Pushout). Let $K' \xrightarrow{r'} R' \xrightarrow{g_{K'}} K'$ be a pushout for cospan $R \xleftarrow{\ell} K \xrightarrow{f_K} K'$ of rule $\rho$ in Definition 9. Then in the rewrite step diagram, there exists a morphism $w' : G_R \to R'$ such that $t_R = w' \circ w$, and $K' \xrightarrow{t'} R' \xrightarrow{\ell'} G_K$ is a pushout for $K' \xrightarrow{w'} G_K \xrightarrow{g_K} G_R$. \(\square\)

Lemmas 10 and 12 show that a PBPO$^+$ step defines a commuting diagram similar to the PBPO definition (Definition 10):

\[
\begin{array}{c}
\begin{array}{c}
L \xrightarrow{m} G_L \xleftarrow{t_L} K \xrightarrow{r} R \\
\text{PB} \xleftarrow{\text{PB}} \xrightarrow{\text{PB}} G_L \xrightarrow{g_L} G_K \\
L' \xleftarrow{t'} K' \\
\end{array}
\end{array}
\]

We will omit the match diagram in depictions of steps.

\footnote{We use \(\square\) instead of \(\Box\) when the proof is available in the Appendix.}
Example 13 (Rewrite Step). Applying the rule given in Example 6 to $G_L$ (as depicted below) has the following effect:

This example illustrates (i) how permitted patches can be constrained (e.g., $L'$ forbids patch edges targeting $y$), (ii) how patch edge endpoints that lie in the image of $t_L$ can be redefined, and (iii) how patch edges can be deleted.

In the examples of this section, we have restricted our attention to unlabeled graphs. In Section 5, we show that the category $\text{Graph}(L, \leq)$ is more suitable than $\text{Graph}$ for rewriting labeled graphs using PBPO$^+$.

4 Expressiveness of PBPO$^+$

The set of PBPO$^+$ rules is a strict subset of the set of PBPO rules, and for any PBPO$^+$ rule $\rho$, we have $\Rightarrow_{PBPO} \subseteq \Rightarrow_{PBPO}^+$ for the generated rewrite relations. Nevertheless, we will show that under certain assumptions, any PBPO rule can be modeled by a set of PBPO$^+$ rules, but not vice versa. Thus, in many categories of interest (such as toposes), PBPO$^+$ can define strictly more expressive grammars than PBPO. This result may be likened to Habel et al.'s result that restricting DPO to monic matching increases expressive power [7].

In Section 4.1, we recall and compare the PBPO definitions for rule, match and step, clarifying why PBPO$^+$ is shorthand for PBPO with strong matching. We then argue why strong matching is usually desirable in Section 4.2. Finally, we prove a number of novel results on PBPO in Section 4.3, relating to monic matching, monic rules and strong matching. Our claim about PBPO$^+$'s expressiveness follows as a consequence.

4.1 PBPO: Rule, Match & Step

Definition 14 (PBPO Rule [2]). A PBPO rule $\rho$ is a commutative diagram as shown on the right. The bottom span can be regarded as a typing for the top span. The rule is in canonical form if the left square is a pullback and the right square is a pushout.
Every PBPO rule is equivalent to a rule in canonical form \[2\], and in PBPO\(^+\), rules are limited to those in canonical form. The only important difference between a canonical PBPO rule and a PBPO\(^+\) rule, then, is that a PBPO\(^+\) rule requires monicity of \(t_L\) (and hence also of \(t_K\)).

**Definition 15 (PBPO Match \[2\]).** A PBPO match for a typing \(t_L : L \rightarrow L'\) is a pair of morphisms \((m : L \rightarrow G, \alpha : G \rightarrow L')\) such that \(t_L = \alpha \circ m\).

The pullback construction used to establish a match in PBPO\(^+\) implies \(t_L = \alpha \circ m\). Thus PBPO matches are more general than the strong match used in PBPO\(^+\) (Definition 7). More specifically for Graph, PBPO allows mapping elements of the host graph \(G_L\) not in the image of \(m : L \rightarrow G_L\) onto the image of \(t_L\), whereas PBPO\(^+\) forbids this. In the next subsection, we will argue why it is often desirable to forbid such mappings.

**Definition 16 (PBPO Rewrite Step \[2\]).** A PBPO rule \(\rho\) (as in Definition 14) induces a PBPO step \(G_L \Rightarrow^\rho m, \alpha\) shown on the right, where (i) \(u : K \rightarrow G_K\) is uniquely determined by the universal property of pullbacks and makes the top-left square commuting, (ii) \(w' : G_R \rightarrow R'\) is uniquely determined by the universal property of pushouts and makes the bottom-right square commuting, and \(t_L = \alpha \circ m\).

We write \(G_L \Rightarrow^\rho G_R\) if \(G_L \Rightarrow^\rho m, \alpha\) for some \(m\) and \(\alpha\).

The match square of PBPO\(^+\) allows simplifying the characterization of \(u\), as shown in the proof to Lemma 11. This simplification is not possible for PBPO (see Remark 17). The bottom-right square is omitted in the definition of a PBPO\(^+\) rewrite step, but can be reconstructed through a pushout (modulo isomorphism). So this difference is not essential.

**Remark 17.** In a PBPO rewrite step, not every morphism \(u : K \rightarrow G_K\) satisfying \(u' \circ u = t_K\) corresponds to the arrow uniquely determined by the top-left pullback. Thus Lemma 11 does not hold for PBPO. This can be seen in the example of a (canonical) PBPO rewrite rule and step depicted in Figure 1. Because our previous notational convention breaks for this example, we indicate two morphisms by dotted arrows. The others can be inferred.

![Figure 1](image-url)
Morphism $u: K \rightarrow G_K$ (as determined by the top-left pullback) is indicated, but it can be seen that three other morphisms $v: K \rightarrow G_K$ satisfy $u' \circ v = t_K$, because every $x \in V_{K'}$ has two elements in its preimage in $G_K$.

Remark 18 (PBPO$^+$ and AGREE). AGREE [8] by Corradini et al. is a rewriting approach closely related to PBPO. AGREE’s match square can be regarded as a specialization of PBPO$^+$’s match square, since AGREE fixes the type morphism $t_L: L \rightarrow L'$ of a rule as the partial map classifier for $L$. Thus, PBPO$^+$ can also be regarded as combining PBPO’s rewriting mechanism with a generalization of AGREE’s strong matching mechanism.

4.2 The Case for Strong Matching

The two following examples serve to illustrate why we find it necessary to strengthen the matching criterion when matching is nondeterministic.

Example 19. In PBPO$^+$, an application of the rule

in an unlabeled graph $G_L$ removes a loop from an isolated vertex that has a single loop, and preserves everything else. In PBPO, a match is allowed to map all of $G_L$ into the component determined by vertex $\{x\}$, so that the rule deletes all of $G_L$’s edges at once. (Before studying the next example, the reader is invited to consider what the effect of the PBPO rule is if $R$ and $R'$ are replaced by $L$ and $L'$, respectively.)

Example 20. Consider the following PBPO rule, and its application to host graph $G_L$ (the morphisms are defined in the obvious way) shown in Figure 2. Intuitively, host graph $G_L$ is spiralled over the pattern of $L'$. The pullback then duplicates all elements mapped onto $x \in V_{L'}$ and any incident edges directed at

Fig. 2: The effects of PBPO rules can be difficult to oversee.
a node mapped into \( y \in V_{L'} \). The pushout, by contrast, affects only the image of \( u : K \rightarrow G_K \).

The two examples show how locality of transformations cannot be enforced using PBPO. They also illustrate how it can be difficult to characterize the class of host graphs \( G_L \) and adherences \( \alpha \) that establish a match, even for trivial left-hand sides. Finally, Example 20 in particular highlights an asymmetry that we find unintuitive: if one duplicates and then merges/extends pattern elements of \( L' \), the duplication affects all elements in the \( \alpha \)-preimage of \( t_L(L) \) (which could even consist of multiple components isomorphic to \( t_L(L) \)), whereas the pushout affects only \( u(K) \subseteq G_K \). In PBPO\(^+\), by contrast, transformations of the pattern affect the pattern only, and the overall applicability of a rule is easy to understand if the context graph is relatively simple (e.g., as in Example 13).

**Remark 21 (\( \Gamma \)-preservation).** A locality notion has been defined for PBPO called \( \Gamma \)-preservation [2]. \( \Gamma \) is some subobject of \( L' \), and a rewrite step \( G_L \Rightarrow^{m,\alpha} G_R \) is said to be \( \Gamma \)-preserving if the \( \alpha : G_L \rightarrow L' \) preimage of \( \Gamma \subseteq L' \) is preserved from \( G_L \) to \( G_R \) (roughly meaning that this preimage is neither modified nor duplicated). Similarly, a rule is \( \Gamma \)-preserving if the rewrite steps it gives rise to are \( \Gamma \)-preserving. If one chooses \( \Gamma \) to be the context graph (the right component) of \( L' \) in Example 19 then the rule, interpreted as a PBPO rule, is \( \Gamma \)-preserving. However, the effect of the rule is not local in our understanding of the word, since PPBO does not prevent mapping parts of the context graph of \( G_L \) onto the image of \( t_L \) which usually is modified.

### 4.3 Modeling PBPO with PBPO\(^+\)

We will now prove that in many categories of interest (including locally small toposes), any PBPO rule can be modeled by a set of PBPO\(^+\) rules; and even by a single rule if PBPO matches are restricted to monic matches. We do this by proving a number of novel results about PBPO.

Since any PBPO rule is equivalent to a canonical PBPO rule [2], we restrict attention to canonical rules in this section.

**Definition 22.** We define the restrictions

- \( \Rightarrow^\rightarrow = \{ (G, G') \in \Rightarrow^\rho | \exists m \alpha. G \Rightarrow^{m,\alpha} G' \land m \text{ is monic} \} \), and
- \( \Rightarrow^\text{SM} = \{ (G, G') \in \Rightarrow^\rho | \exists m \alpha. G \Rightarrow^{m,\alpha} G' \land \text{strong}(t_L, m, \alpha) \} \)

for canonical PBPO rules \( \rho \).

**Definition 23 (monic PBPO Rule).** A canonical PBPO rule \( \rho \) is called **monic** if its typing \( t_L \) is monic.

Note that monic (canonical) PBPO rules \( \rho \) define a PBPO\(^+\) rule by simply forgetting the pushout information in the rule.

**Proposition 24.** If \( \rho \) is monic, then \( \Rightarrow^\rho = \Rightarrow^\rightarrow \) and \( \Rightarrow^\text{SM} = \Rightarrow^{\text{PBPO}^+} \).

In the remainder of this section we establish two claims:

1. **monic matching suffices:** for any canonical PBPO rule \( \rho \) and assuming certain conditions, there exists a set of PBPO rules \( S \) that precisely models \( \rho \)
when restricting $S$ to monic matching, i.e., $\Rightarrow_\rho = \bigcup \{\Rightarrow_\sigma | \sigma \in S\}$ (Corollary 28);

2. Strong matching can be modeled through rule adaptation: for any canonical PBPO rule $\sigma$ and assuming certain conditions, there exists a monic rule $\tau$ such that $\Rightarrow_\sigma = \Rightarrow_\tau^{SM}$ (Lemma 32).

Because PBPO$^+$ rewriting boils down to using monic PBPO rules with a strong matching policy, from these facts and conditions it follows that any PBPO rule can be modeled by a set of PBPO$^+$ rules (Theorem 33).

The following definition defines a rule $\sigma$ for every factorization of a type morphism $t_L$ of a rule $\tau$.

**Definition 25 (Compacted Rule).** For any canonical PBPO rule $\rho$ (on the left) and factorization $t_L = t_L \circ e$ where $e$ is epic (note that $t_Lc$ is uniquely determined since $e$ is right-cancellative), the compacted rule $\rho e$ is defined as the lower half of the commuting diagram on the right:

\[
\begin{array}{ccc}
L & \xleftarrow{l} & K \xrightarrow{r} R \\
& PB \downarrow & PO \downarrow \\
L' & \xleftarrow{l'} & K' \xrightarrow{r'} R'
\end{array}
\]

Proposition 26. The properties implicitly asserted in Definition 25 hold. $\square$

**Lemma 27.** Let $\rho$ be a canonical PBPO rule, $G_L$ an object, and $m' \circ e : L \to G_L$ a match morphism for a mono $m'$ and epi $e$. We have:

$G_L \Rightarrow_\rho^{(m' \circ e), \alpha} G_R \iff G_L \Rightarrow_{\rho e}^{m', \alpha} G_R$. $\blacksquare$

Recall that a category is locally small if the collection of morphisms between any two objects $A$ and $B$ (and so also all factorizations) forms a set.

**Corollary 28.** In locally small categories in which any morphism can be factorized into an epi followed by a mono, for every canonical PBPO rule $\rho$, there exists a set of PBPO rules $S$ such that $\Rightarrow_\rho = \bigcup \{\Rightarrow_\sigma | \sigma \in S\}$. $\square$

**Definition 29 (Amendable Category).** A category is amendable if for any $t_L : L \to L'$, there exists a factorization $L \xrightarrow{t_L} L'' \xrightarrow{\beta} L'$ of $t_L$ such that for any factorization $L \xrightarrow{\alpha} \xrightarrow{G_L} L'$ of $t_L$, there exists an $\alpha'$ making the diagram

\[
\begin{array}{ccc}
L & \xrightarrow{m} & G_L \xrightarrow{\alpha} L' \\
& \downarrow & \downarrow \\
L & \xrightarrow{t_L} & L'
\end{array}
\]

commute.

The category is strongly amendable if there exists a factorization of $t_L$ witnessing amendability that moreover makes the left square a pullback square.
Strong amendability is intimately related to the concept of materialization \[9\]. Namely, if the factorization \( L \xrightarrow{t_L} L'' \xrightarrow{\beta} L' \) of \( t_L \) establishes the pullback square and is final (the \( \alpha' \) morphisms not only exist, but they exist uniquely), then \( \beta \circ t_L' \) is the materialization of \( t_L \). In general we do not need finality, and for one statement (Lemma 32) we require weak amendability only.

We have the following sufficient condition for strong amendability.

**Proposition 30.** If all slice categories \( C/X \) of a category \( C \) have partial map classifiers, then \( C \) is strongly amendable.

**Proof.** Immediate from the fact that in this case all arrows have materializations \[9\] Proposition 8].

**Corollary 31.** Any topos is strongly amendable.

**Proof.** Toposes have partial map classifiers, and any slice category of a topos is a topos.

**Lemma 32.** In an amendable category \( C \), for any PBPO rule \( \rho \), there exists a monic PBPO rule \( \sigma \) such that \( \Rightarrow^\rho = \Rightarrow^\sigma \). If \( C \) is moreover strongly amendable, then additionally \( \Rightarrow^\rho = \Rightarrow^\sigma^{\text{SM}} \).

**Proof.** Given rule \( \rho \) on the left

\[
\begin{array}{ccc}
L & \xleftarrow{l} & K \\
\downarrow_{t_L} & & \downarrow_{t_K} \\
L' & \xleftarrow{l'} & K' \\
\end{array}
\]

and the factorization \( L \xrightarrow{t_L} L'' \xrightarrow{\beta} L' \) of \( t_L \) witnessing strong amendability. Then the first claim \( \Rightarrow^\rho = \Rightarrow^\sigma \) follows by considering the commuting diagram

\[
\begin{array}{ccc}
L & \xleftarrow{l} & K \\
\downarrow_{t_L} & & \downarrow_{t_K} \\
L'' & \xleftarrow{l''} & K'' \\
\downarrow_{t_L'} & & \downarrow_{t_K'} \\
L' & \xleftarrow{l'} & K' \\
\end{array}
\]

and the second claim \( \Rightarrow^\rho = \Rightarrow^\sigma^{\text{SM}} \) for strongly amendable categories follows by observing that the square marked by \( \dagger \) is a pullback square. \( \square \)
Theorem 33. In locally small, strongly amendable categories in which every morphism \( f \) can be factored into an epi \( e \) followed by a mono \( m \), any PBPO rule \( \rho \) can be modeled by a set of PBPO\(^+\) rules.

Corollary 34. In any locally small topos, any PBPO rule \( \rho \) can be modeled by a set of PBPO\(^+\) rules.

Proof. By Corollary 31 and the fact that toposes are epi-mono factorizable. \( \square \)

5 Category \( \text{Graph}^{(L, \leq)} \)

Unless one employs a meta-notation or restricts to unlabeled graphs, as we did in Section 3 it is sometimes impractical to use PBPO\(^+\) in the category \( \text{Graph} \). The following example illustrates the problem.

Example 35. Suppose the set of labels is \( L = \{0, 1\} \). To be able to injectively match pattern \( L = 0 \xrightarrow{1} 0 \) in any context, one must inject it into the type graph \( L' \) shown on the right in which every dotted loop represents two edges (one for each label), and every dotted non-loop represents four edges (one for each label, in either direction). In general, to allow any context, one needs to include \(|L|\) additional vertices in \( L' \), and \(|L|\) complete graphs over \( V_{L'} \).

Beyond this example, and less easily alleviated with meta-notation, in \( \text{Graph} \) it is impractical or impossible to express rules that involve (i) arbitrary labels (or classes of labels) in the application condition; (ii) relabeling; or (iii) allowing and capturing arbitrary subgraphs (or classes of subgraphs) around a match graph. As we will discuss in Section 6 these features have been non-trivial to express in general for algebraic graph rewriting approaches.

We define a category which allows flexibly addressing all of these issues.

Definition 36 (Complete Lattice). A complete lattice \( (L, \leq) \) is a poset such that all subsets \( S \) of \( L \) have a supremum (join) \( \bigvee S \) and an infimum (meet) \( \bigwedge S \).

Definition 37 (\( \text{Graph}^{(L, \leq)} \)). For a complete lattice \( (L, \leq) \), we define the category \( \text{Graph}^{(L, \leq)} \), where objects are graphs labeled from \( L \), and arrows are graph premorphisms \( \phi : G \to G' \) that satisfy \( \ell_G(x) \leq \ell_{G'}(\phi(x)) \) for all \( x \in V_G \cup E_G \).

In terms of graph structure, the pullbacks and pushouts in \( \text{Graph}^{(L, \leq)} \) are the usual pullbacks and pushouts in \( \text{Graph} \). The only difference is that the labels that are identified by respectively the cospan and span are replaced by their meet and join, respectively.

The sufficient condition of Proposition 30 does not hold in \( \text{Graph}^{(L, \leq)} \). Nonetheless, we have the following result.

Lemma 38. \( \text{Graph}^{(L, \leq)} \) is strongly amendable.

One very simple but extremely useful complete lattice is the following.

Definition 39 (Flat Lattice). Let \( L^{\bot, \top} = L \uplus \{\bot, \top\} \). We define the flat lattice induced by \( L \) as the smallest poset \( (L^{\bot, \top}, \leq) \), which has \( \bot \) as a global
minimum and $\top$ as a global maximum (so in particular, the elements of $L$ are incomparable). In this context, we refer to $L$ as the base label set.

One feature flat lattices provide is a kind of “wildcard element” $\top$.

**Example 40 (Wildcards).** Using flat lattices, $L'$ of Example 35 can be fully expressed for any base label set $L \ni 0,1$ as shown on the right (node identities are omitted). The visual syntax and naming shorthands of PGR [1] (or variants thereof) could be leveraged to simplify the notation further.

As the following example illustrates, the expressive power of a flat lattice stretches beyond wildcards: it also enables relabeling of graphs. (Henceforth, we will depict a node $x$ with label $u$ as $x^u$.)

**Example 41 (Relabeling).** As vertex labels we employ the flat lattice induced by the set $\{a,b,c,\ldots\}$, and assume edges are unlabeled for notational simplicity. The following diagram displays a rule $(L,L',K,K',R)$ for overwriting an arbitrary vertex’s label with $c$, in any context. We include an application to an example graph in the middle row:

The example demonstrates how (i) labels in $L$ serve as lower bounds for matching, (ii) labels in $L'$ serve as upper bounds for matching, (iii) labels in $K'$ can be used to decrease matched labels (so in particular, $\bot$ “instructs” to “erase” the label and overwrite it with $\bot$, and $\top$ “instructs” to preserve labels), and (iv) labels in $R$ can be used to increase labels.

Complete lattices also support modeling sorts.

**Example 42 (Sorts).** Let $p_1,p_2,\ldots \in P$ be a set of processes and $d_1,d_2,\ldots \in D$ a set of data elements. Assume a complete lattice over labels $P \cup D \cup \{P,D,\top,\bot\}$, arranged as in the diagram on the right.

Moreover, assume that the vertices $x,y,\ldots$ in the graphs of interest are labeled with a $p_i$ or $d_i$, and that edges are labeled with a $\top$ or $\bot$. In such a graph,

- an edge $x^{d_i} \xrightarrow{\bot} y^{p_j}$ encodes that process $p_j$ holds a local copy of datum $d_i$ ($x$ will have no other connections); and
- a chain of edges $x^{p_i} \xrightarrow{\top} y^{d_k} \xrightarrow{\top} z^{d_i} \xrightarrow{\top} \cdots \xrightarrow{\top} u^{p_j}$ encodes a directed FIFO channel from process $p_i$ to process $p_j \neq p_i$, containing a sequence of elements $d_k,d_i,\ldots$. An empty channel is modeled as $x^{p_i} \xrightarrow{\bot} u^{p_j}$.
Receiving a datum through an incoming channel (and storing it locally) can be modeled using the following rule:

\[
L \xrightarrow{\bot} y \xleftarrow{\bot} x_1 x_2 \\
L' \xrightarrow{D} \xrightarrow{P} z \xrightarrow{\bot} x_1 x_2 \\
K \xrightarrow{\bot} y \xleftarrow{\bot} x_1 x_2 \\
K' \xrightarrow{\bot} y \xleftarrow{\bot} x_1 x_2 \\
R \xrightarrow{\bot} z \xrightarrow{\bot} x_1 x_2 \\
R' \xrightarrow{\bot} z \xrightarrow{\bot} x_1 x_2
\]

The rule illustrates how sorts can improve readability and provide type safety. For instance, the label \(D\) in \(L'\) prevents empty channels from being matched. More precisely, always the last element \(d\) of a non-empty channel is matched. \(K'\) duplicates the node holding \(d\): for duplicate \(x_1\), the label is forgotten but the connection to the context retained, allowing it to be fused with \(y\); and for \(x_2\), the connection is forgotten but the label retained, allowing it to be connected to \(y\) as an otherwise isolated node.

Finally, a very powerful feature provided by the coupling of PBPO\(^+\) and \textbf{Graph}(\(\mathcal{L},\leq\)) is the ability to model a general notion of variable. This is achieved by using multiple context nodes in \(L'\) (i.e., nodes not in the image of \(t_L\)).

\textit{Example 43 (Variables).} The rule \(f(g(x), y) \rightarrow h(g(x), g(y), x)\) on ordered trees can be precisely modeled in PBPO\(^+\) by the rule:

\[
L \xrightarrow{1} 1 \xrightarrow{2} y \xleftarrow{1} x_1 x_2 \\
L' \xrightarrow{1} 1 \xrightarrow{2} y \xleftarrow{1} x_1 x_2 \\
K \xrightarrow{\bot} y \xleftarrow{\bot} x_1 x_2 \\
K' \xrightarrow{\bot} y \xleftarrow{\bot} x_1 x_2 \\
R \xrightarrow{1} 1 \xrightarrow{2} 3 \xrightarrow{y} x_1 \xrightarrow{1} y \xrightarrow{1} x_1 \xrightarrow{1} y \\
R' \xrightarrow{1} 1 \xrightarrow{2} 3 \xrightarrow{y} x_1 \xrightarrow{1} y \xrightarrow{1} x_1 \xrightarrow{1} y
\]

if one restricts the set of rewritten graphs to straightforward representations of trees: nodes are labeled by symbols, and edges are labeled by \(n \in \mathbb{N}\), the position of its target (argument of the symbol).
Remark 44 (On Adhesivity). A category is adhesive \[10\] if (i) it has all pullbacks, (ii) it has all pushouts along monos, and (iii) pushouts along monos are stable and are pullbacks \[11\] Theorem 3.2]. Adhesivity implies the uniqueness of pushout complements (up to isomorphism) \[10\] Lemma 4.5], which in turn ensures that DPO rewriting in adhesive categories is deterministic. Moreover, certain meta-properties of interest (such as the Local Church-Rosser Theorem and the Concurrency Theorem) hold for DPO rewriting in adhesive categories (and many graphical structures are adhesive). For these reasons, DPO and adhesivity are closely related in the literature.

We make two observations in connection to adhesivity. First, PBPO\(^+\) rewriting makes strictly weaker assumptions than DPO rewriting: it is enough to assume conditions (i) and (ii) above. Second, Graph\((\mathcal{L},\leq)\) is non-adhesive for any choice of complete lattice in which the maximum \(\top\) and minimum \(\bot\) are distinct: the square

\[
\begin{array}{c}
\bot \\
\downarrow \\
X
\end{array}
\begin{array}{c}
\rightarrow \\
\downarrow \\
\top
\end{array}
\]

is a pushout along a mono for \(X \in \{\bot, \top\}\), and hence admits two pushout complements. Thus, not only can PBPO\(^+\) be applied to non-adhesive categories, Graph\((\mathcal{L},\leq)\) is a graphical non-adhesive category with practical relevance. To what extent meta-properties of interest carry over to PBPO\(^+\)/Graph\((\mathcal{L},\leq)\) rewriting from DPO rewriting on adhesive categories is left for future work.

6 Discussion

We discuss our rewriting (Section 6.1) and relabeling (Section 6.2) contributions in turn.

6.1 Rewriting

Unlike other algebraic approaches such as DPO \[3\], SPO \[12\], SqPO \[13\] and AGREE \[8\], computing a rewrite step in PBPO and PBPO\(^+\) requires only a basic understanding of constructing pullbacks and pushouts. Moreover, assuming monic matching, and under some mild restrictions (DPO is left-linear, and SPO uses conflict-free matches), Corradini et al. \[2\] have shown that

\[\text{DPO} < \text{SPO} < \text{SqPO} < \text{AGREE} < \text{PBPO}\]

where \(\mathcal{F} < \mathcal{G}\) means that any \(\mathcal{F}\) rule \(\rho\) can be simulated by a \(\mathcal{G}\) rule \(\sigma\), and where \textit{simulation} means \(\Rightarrow^\mathcal{F}_\rho \subseteq \Rightarrow^\mathcal{G}_\sigma\) for the generated rewrite relations. This chain may now be extended by inserting AGREE < PBPO\(^+\) < PBPO.

If instead of simulation one uses \textit{modeling} as the expressiveness criterion, i.e., \(\subseteq\) is strengthened to =, then the situation is different. Writing < for this expressiveness relation, we conjecture that in Graph and with monic matching (which implies conflict-freeness of SPO matches)
holds and the other comparisons do not hold. PBPO + PBPO+ follows from Lemma 32 and the fact that Graph is strongly amendable, and PBPO does not stand in any other modeling relation due to uncontrolled global effects (in particular, a straightforward adaptation of Example 19 shows DPO \( \not\prec \) PBPO).

Other graph rewriting approaches that bear certain similarities to PBPO+ (see also the discussion in [2]) include the double-pullout graph rewriting approach by Kahl [14]; variants of the aforementioned formalisms, such as the cospan SqPO approach by Mantz [15, Section 4.5]; and the recent drag rewriting framework by Dershowitz and Jouannaud [16]. Double-pullout graph rewriting also uses pullbacks and pushouts to delete and duplicate parts of the context (extending DPO), but the approach is defined in the context of categories, and to us it is not yet clear in what way the two approaches relate. Cospan SqPO can be understood as being almost dual to SqPO: rules are cospans, and transformation steps consists of a pushout followed by a final pullback complement. An interesting question is whether PBPO+ can also model cospan SqPO. Drag rewriting is a non-categorical approach to generalizing term rewriting, and like PBPO+, allows relatively fine control over the interface between pattern and context, thereby avoiding issues related to dangling pointers and the construction of pushout complements. Because drag rewriting is non-categorical and drags have inherently more structure than graphs, it is difficult to relate PBPO+ and drag rewriting precisely. These could all be topics for future investigation.

Finally, let us just note that the combination of PBPO+ and Graph\((L,\leq)\) does not provide a strict generalization of Patch Graph Rewriting (PGR) [1], our conceptual precursor to PBPO+ (Section 1). This is because patch edge endpoints that lie in the context graph can be redefined in PGR (e.g., the direction of edges between context and pattern can be inverted), but not in PBPO+. Beyond that, PBPO+ is more general and expressive. Therefore, at this point we believe that the most distinguishing and redeeming feature of PGR is its visual syntax, which makes rewrite systems much easier to define and communicate. In order to combine the best of both worlds, our aim is to define a similar syntax for (a suitable restriction of) PBPO+ in the future.

6.2 Relabeling

The coupling of PBPO+ and Graph\((L,\leq)\) allows relabeling and modeling sorts and variables with relative ease, and does not require a modification of the rewriting framework. Most existing approaches study these topics in the context of DPO, where the requirement to ensure the unique existence of a pushout complement requires restricting the method and proving non-trivial properties:

\[\text{SPO} \prec \text{SqPO} \prec \text{AGREE} \prec \text{PBPO}^+ \prec \text{DPO}\]

\(\text{PBPO}^+\) holds, and the other comparisons do not hold. PBPO + PBPO+ follows from Lemma 32 and the fact that Graph is strongly amendable, and PBPO does not stand in any other modeling relation due to uncontrolled global effects (in particular, a straightforward adaptation of Example 19 shows DPO \( \not\prec \) PBPO).

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Parisi-Presicce et al. [18] limit DPO rules $L \leftarrow K \rightarrow R$ to ones where $K \rightarrow R$ is monic (meaning merging is not possible), and where some set-theoretic consistency condition is satisfied. Moreover, the characterization of the existence of rewrite step has been shown to be incorrect [19], supporting our claim that pushout complements are not easy to reason about.

Habel and Plump [19] study relabeling using the category of partially labeled graphs. They allow non-monic morphisms $K \rightarrow R$, but they nonetheless add two restrictions to the definition of a DPO rewrite rule. Among others, these conditions do not allow hard overwriting arbitrary labels as in Example [41]. Moreover, the pushouts of the DPO rewrite step must be restricted to pushouts that are also pullbacks. Finally, unlike the approach suggested by Parisi-Presice et al., Habel and Plump’s approach does not support modeling notions of sorts and variables.

Our conjecture that PBPO$^+$ can model DPO in Graph extends to this relabeling approach in the following sense: given a DPO rule over graphs partially labeled from $L$ that moreover satisfies the criteria of [19], we conjecture that there exists a PBPO$^+$ rule in Graph$(L^\perp, \top, \leq)$ that models the same rewrite relation when restricting to graphs totally labeled over the base label set $L$.

Later publications largely appear to build on the approach [19] by Habel and Plump. For example, Schneider [20] gives a non-trivial categorical formulation; Hoffman [21] proposes a two-layered (set-theoretic) approach to support variables; and Habel and Plump [22] generalize their approach to $\mathcal{M}, \mathcal{N}$-adhesive systems (again restricting $K \rightarrow R$ to monic arrows).

The transformation of attributed structures has been explored in a very general setting by Corradini et al. [2], which involves a comma category construction and suitable restrictions of the PBPO notions of rewrite rule and rewrite step. We leave relating their and our approach to future work.

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Appendix

A  PBPO+

We will need both directions of the well known pullback lemma.

**Lemma 45 (Pullback Lemma).** Consider the diagram on the right. Suppose the right square is a pullback square and the left square commutes. Then the outer square is a pullback square iff the left square is a pullback square. \[\Box\]

**Lemma 10 (Top-Left Pullback).** In the rewrite step diagram of Definition 9, there exists a morphism \(u : K \to G_K\) such that \(L \xleftarrow{m} G_L \xrightarrow{g_L} G_K\) is a pullback for \(L \xrightarrow{m} G_L \xleftarrow{g_L} G_K\), \(t_K = u' \circ u\), and \(u\) is monic.

*Proof.* In the following diagram, \(u\) satisfying \(t_K = u' \circ u\) and \(m \circ l = g_L \circ u\) is inferred by using that \(G_K\) is a pullback and commutation of the outer square.

\[
\begin{array}{ccc}
L & \xleftarrow{l} & K \\
\downarrow^{m} & & \downarrow^{u} \\
G_L & \xleftarrow{g_L} & G_K
\end{array}
\]

By direction \(\Rightarrow\) of the pullback lemma (Lemma 45), the created square is a pullback square, and so by stability of monos under pullbacks, \(u\) is monic. \(\Box\)

**Lemma 11 (Uniqueness of \(u\)).** In the rewrite step diagram of Definition 9 (and in any category), there is a unique \(v : K \to G_K\) such that \(t_K = u' \circ v\).

*Proof.* In the following diagram, the top-right pullback is obtained using Lemma 10 and the top-left pullback is a rotation of the match diagram:
By direction \( \leq \) of the pullback lemma, \( L \xleftarrow{K} K \xrightarrow{u} G_K \) is a pullback for the topmost outer square.

Now suppose that for a morphism \( v : K \to G_K \), \( t_K = u' \circ v \). Then \( \alpha \circ g_L \circ u = l' \circ u' \circ u = l' \circ t_K = l' \circ u' \circ v = \alpha \circ g_L \circ v \). Hence both \( v \) and \( u \) make the topmost outer square commute. Hence there exists a unique \( x \) such that (simplifying)

\[
\begin{align*}
    u \circ x &= v \\
    u' \circ u \circ x &= u' \circ v \\
    t_K \circ x &= t_K \\
    t_K \circ x &= t_K \circ 1_K \\
    x &= 1_K.
\end{align*}
\]

Hence \( u = v \).

\[\square\]

**Lemma 12 (Bottom-Right Pushout).** Let \( K' \xleftarrow{r'} R' \xrightarrow{r} R \) be a pushout for cospan \( R \xleftarrow{r} K' \xrightarrow{r} K \) of rule \( \rho \) in Definition 9. Then in the rewrite step diagram, there exists a morphism \( \alpha' : G_R \to G_R \) such that \( t_R = \alpha' \circ t \), and \( K' \xleftarrow{r'} R' \xrightarrow{\alpha'} G_R \) is a pushout for \( K' \xleftarrow{r'} G_K \to G_R \).

**Proof.** The argument is similar to the proof of Lemma 10 but now uses the dual statement of the pullback lemma.

\[\square\]

**B Expressiveness of PBPO**

**Lemma 27.** Let \( \rho \) be a canonical PBPO rule, \( G_L \) an object, and \( m' \circ e : L \to G_L \) a match morphism for a mono \( m' \) and epi \( e \). We have:

\[
G_L \xrightarrow{\rho} G_R \iff G_L \xrightarrow{\alpha} G_R.
\]

**Proof.** By using the following commuting diagram:

\[\square\]

**Theorem 33.** In locally small, strongly amendable categories in which every morphism \( f \) can be factored into an epi \( e \) followed by a mono \( m \), any PBPO rule \( \rho \) can be modeled by a set of PBPO rules.
Proof. From Corollary 28 we obtain a set of PBPO rules $S$ that collectively model $\rho$ using monic matching, and by Lemma 32 each $\sigma \in S$ can be modeled by a monic rule $\tau_\sigma$ with a strong matching rewrite policy. By Proposition 24, the set $\{\tau_\sigma \mid \sigma \in S\}$ corresponds to a set of PBPO$^+$ rules. □

C Category Graph$^{(L,\leq)}$

Lemma 38. Graph$^{(L,\leq)}$ is strongly amendable.

Proof. Let $\text{UGraph}$ refer to the category of unlabeled graphs.

Given a $t_L : L \to L'$ in Graph$^{(L,\leq)}$, momentarily forget about the labels and consider the unlabeled version (overloading names) in $\text{UGraph}$. Because $\text{UGraph}$ is a topos, it is strongly amendable. Thus we can obtain a factorization $L \xrightarrow{t_L'} L'' \xrightarrow{\beta} L'$ on the level of $\text{UGraph}$ that witnesses strong amendability, i.e., for any factorization of $L \xrightarrow{m} G \xrightarrow{\alpha} L'$ of $t_L$ in $\text{UGraph}$, there exists an $\alpha'$ such that

$$
\begin{array}{ccc}
L & \xrightarrow{m} & G \\
\downarrow^{1_L} & \downarrow^{\alpha'} & \downarrow^{\beta} \\
L & \xrightarrow{t_L'} & L''
\end{array}
$$

commutes and the left square is a pullback square.

The idea now is to lift the bottom unlabeled factorization into Graph$^{(L,\leq)}$. As far as graph structure is concerned, we know that it is a suitable factorization candidate. Then all that needs to be verified are the order requirements $\leq$ on the labels.

For the lifting of $L''$, choose the graph in which every element has the same label as its image under $\beta$. Then clearly the lifting of $\beta$ of $\text{UGraph}$ into Graph$^{(L,\leq)}$ is well-defined, and so is the lifting of $t_L'$ (using that $t_L$ is well-defined in Graph$^{(L,\leq)}$).

Now given any factorization $L \xrightarrow{m} G \xrightarrow{\alpha} L'$ in Graph$^{(L,\leq)}$, lift the $\alpha'$ that is obtained by considering the factorization on the level of $\text{UGraph}$. Then the lifting of $\alpha'$ is well-defined by $\alpha = \beta \circ \alpha'$ and well-definedness of $\alpha$ and $\beta$ in Graph$^{(L,\leq)}$. All that remains to be checked is that the left square is a pullback as far as the labels are concerned, i.e., whether for every $x \in V_L \cup E_L$, $\ell_L(x) = \ell_L(1_L(x)) \land \ell_G(m(x))$. This follows using $\ell_L(x) \leq \ell_G(m(x))$ and the complete lattice law $\forall a \, b. \, a \leq b \implies a \land b = a$. □