Understanding the local structure of disordered carbons from cellulose and lignin

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Received: 1 July 2020 / Accepted: 23 March 2021 / Published online: 15 April 2021
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Abstract
An electron microscopy investigation was performed to understand the relationship between the microstructure and properties of carbonized cellulose and lignin (softwood kraft lignin) relative to the structure of the original biomass components. Structure details at micro- and molecular levels were investigated by scanning transmission electron microscopy. Atomic-resolution images revealed the presence of random disordered carbon in carbonized cellulose (C-CNC) and of large domains of well-ordered carbon with graphite sheet structure in carbonized lignin (C-Lignin). These structural differences explain why C-CNC exhibits higher surface area and porosity than C-Lignin. The presence of certain well-ordered carbon in carbonized lignin indicates some of the carbon in lignin are graphitized with heat treatment temperature up to 950 °C. This result is encouraging for future endeavors of attaining acceptable modulus of carbon fiber from lignin given suitable modifications to the chemistry and structure of lignin. The results of this research contribute to an improved understanding of the carbonization mechanism of the key cellulose and lignin components of biomass materials.

Introduction
By converting biomass into fuels, power, materials and chemicals, biorefining is gradually making contributions to sustainable industrial development (Ragauskas et al. 2006; Aarum et al. 2019). Biomass is a combination of three major biopolymers. First, cellulose is an aggregate of linear chains of glucopyranose units linked by β-(1,4) glycosidic bonds to varying degrees of polymerization. The chains held together in parallel stacks by intra- and intermolecular hydrogen bonds form short-range nanocrystalline regions. The cellulose nanocrystals (CNCs) alternate with amorphous regions and form microfibrils held by weak van der Waals forces (Moon

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et al. 2011; Wu et al. 2013; Trache et al. 2017; Risnasari et al. 2018; Wang et al. 2020). Second, hemicellulose consists of wide groups of highly branched heteroglycans. Third, lignin is a complex polymer of aromatic alcohols (monolignols) composed mainly of three phenylpropane monomers: $p$-coumaryl alcohol, coniferyl alcohol, and sinapyl alcohol.

Bioresources are abundant, renewable, sustainable, biocompatible and biodegradable; which have the most abundant natural aromatic polymers in the world (Langan et al. 2014). Converting biomass into carbon materials is of tremendous interest in the development of high-value added products used for energy storage and conversion in batteries and supercapacitors, environment remediation, gas separation and storage, oil absorption, drug delivery, catalyst, etc. (Chen et al. 2019; Meng et al. 2014; He et al. 2014; Dobele et al. 2012; Wang et al. 2013; Zhao et al. 2012). The increased interest in biomass conversion into usable products is exemplified by a series of recent studies. These studies include synthesizing graphene from rice husk and hemp (Wang et al. 2013; Muramatsu et al. 2014), investigating onion-like graphitic and diamond structures in wood charcoal (Hata et al. 2000; Ishimaru et al. 2007; Goodell et al. 2008), constructing three-dimensional porous carbon from waste diapers (Wei et al. 2018), and obtaining carbon nanotubes from carbonization of grass and wood hierarchically structured fibers (Goodell et al. 2008). In essence, synthesis of carbonaceous materials occurs through a complex process involving oxidation, pyrolysis, and carbonization.

The promise of manufacturing low-cost, high-performance carbon fibers from lignin and cellulose precursors, a main driver of the bio refining concept, still faces great challenges and is not yet ready for industrial-scale production and application. Current applications of lignin carbon fiber with the new concepts of non-structural, multifunctional include active electrodes in batteries, hydrogen gas storage material, thermal insulation material, and separators in super capacitors (Mao et al. 2015). Carbon nanofiber generated from cellulose nanofibers has been used as a reinforcement in thermoplastics to improve the resulted composite’s tensile strength (Ma et al. 2016).

One problem to be solved is the poor mechanical properties of resulting products with porous and disordered structures. Moreover, there exists a certain link between the carbon precursor chemistry and the final morphology of carbonized material. Attempts have been made to study and compare the oxidative stabilization and carbonization kinetics of cellulose and lignin using only spectroscopic analysis. Results indicate that lignin and cellulose exhibit different carbon to oxygen ratios, which drastically affects the conversion mechanism, which influences the microstructure of the resulting carbon (Ishimaru et al. 2007; Cao et al. 2013; Xie et al. 2009). Nonetheless, understanding the structure–property relationship of biomass-derived carbonaceous materials at the microscale remains a significant research challenge. Attempts have been made to observe the biochar’s molecular structure at atomic level. Xiao and Chen (2017) proposed a quaternary structure for biochars, which includes heterogeneous phase, graphene-like aggregates, nanosized aromatic clusters and atomic arrangements. However, it is still not clear how does each component play its role during the biomass conversion process and how do they interact with each other. As such, the object of this research was to investigate the structure
evolution of each component in biomass in the thermo decomposition process. Typically, nanocellulose and lignin were used as the representative precursors as they manifest very different structures.

Recently, the investigation of the local structure of porous carbon has been made possible by the development of atomic-resolution electron microscopy. From this technology, it has been recently demonstrated that the nanoscale structure of wood-based carbons contains randomly stacked, wrinkled graphene sheets (He et al. 2014; Guo et al. 2012). Their variable architectural arrangement at the microscale creates a network of interconnected nanopores with large internal surfaces, which determine the main functional property of porous carbons. At the atomic scale, the curvature of individual graphene/graphite sheet structure is determined by topological defects, such as 5- and 7-atom carbon rings, randomly interspersed between regular 6-atom carbon rings. With this complex architecture, porous carbons exhibit short-range atomic order at the sub-nanometer scale, but appear as an isotropic, amorphous material at the microscale. The complicated structure and varying chemical composition of wood components represent a significant challenge for improving the understanding of the carbonization mechanism of each of the components. The origin of locally ordered graphite sheet structures and the formation mechanism of biomass-based porous carbon are still somewhat elusive at the atomic level. The research hypothesis of this study was that variability in biomass precursor’s components increases the structural diversity of the resultant carbon materials.

Direct imaging of CNC by means of the high-resolution transmission microscope (HRTEM) is important to probe and manipulate the size-dependent physical and chemical properties of CNC at the nanoscale (Aina et al. 2013). Very few researchers to date have captured the lattice structure of CNC due to the difficulty in sample preparation and contamination issue in TEM technology. CNC has a low electron-scattering power when under TEM, as such a negative-staining technique has been used to prepare the CNC sample for electron microscopy observation to enhance the contrast (Xu et al. 2013; Liu et al. 2011). However, these radioactive salts impede accurate observations. This research investigation successfully resolved the lattice structure of CNC without using negative staining by using a gentle scanning transmission electron microscopy (STEM) at a low accelerating voltage of 60 kV. This development is important for the fundamental understanding of structural transformations during cellulose conversion to carbon. The loss of crystallinity of the CNC structure caused from beam irradiation was also observed during this research.

In this work, two types of carbons obtained through identical carbonization conditions from cellulose nanocrystals and lignin were observed. It was found that under identical conditions, nanocrystals extracted from cellulose convert into disordered graphene-based nanoporous structures typical for porous carbons, while carbonized lignin forms non-porous mixtures containing well-ordered carbon with a graphite sheet structure, and globular onion-like inclusions dispersed throughout an amorphous matrix. The local atomic structure of the original CNC and of carbonized product, as well as lignin, was investigated for the first time. The local structural difference between the carbonized cellulose (C-CNC) and carbonized lignin (C-Lignin) explained the observed difference of porosity and surface area measured by the nitrogen adsorption method. This research is a noteworthy contribution to the
improved understanding of the carbonization mechanism of the numerous biomass components.

Materials and methods

Materials

The CNC material studied here was derived from high-purity CF11 cellulose powder (Waterman, USA). Sulfuric acid (H₂SO₄, 95–98%, Sigma-Aldrich) was used for acid hydrolysis. Indulin AT softwood kraft lignin sample was commercially available from MeadWestvaco (Charleston, SC).

Preparation of cellulose nanocrystal and lignin

CF11 cellulose was hydrolyzed by adding ten grams of powder to 100 mL sulfuric acid (60 wt%) at 45 °C for 45 min under constant stirring. Cold distilled water was added to end the acid hydrolysis reaction. The hydrolyzed cellulose was washed, and nanocrystals were separated from the solution by centrifugation (4000 rpm, 20 min). The precipitate was then dialyzed against water for four days using membrane with a molecular weight cutoff of 12,000–14,000 (Fisher brand, Pittsburgh, PA) until a constant neutral pH was achieved. The commercial lignin powder was washed with DI water to remove salt impurities, dried in vacuum at 80 °C, and stored in the desiccant cabinet ready for use.

Carbonization of cellulose nanocrystal and lignin

CNC suspension (0.7 wt%) was frozen using liquid nitrogen and was freeze-dried in a vacuum lyophilizer (Labconco Inc., Kansas City, MO) at a temperature of –51 °C for three days. The dried CNC and lignin powder were stabilized in air (20 mL/min) and then carbonized in nitrogen (20 mL/min) using a Lindberg/blue M Mini-Mite tube furnace (Thermo Scientific). The process includes: (1) heating the sample from room temperature to 180 °C at a rate of 10 °C/min in air; (2) increasing the temperature from 180 to 230 °C at a rate of 5 °C/min in air; (3) increasing the temperature from 230 to 320 °C at a rate of 2 °C/min in air; and (4) increasing the temperature from 320 to 950 °C at a rate of 5 °C/min in nitrogen and holding for 15 min. The resulting samples were ground and dispersed into DI water. The mixture was sonicated continuously for 20 min with an ultrasonic probe.

Nitrogen adsorption

Nitrogen adsorption/desorption isotherms at –196 °C were measured on a Quantachrome Autosorb 1C instrument with ASIQ Win 2.0 data processing software. Prior to measurements, samples were degassed for 1.5 h at 300 °C in vacuum of (0.1 Pa) to remove moisture and other volatile species. The pore surface area, pore volume,
and pore size distribution were determined by the quenched surface density functional theory (QSDFT) model for slit/cylindrical pore geometry. In this case, open pores in the range of supermicropores (0.7–2 nm) and mesopores (2–50 nm) were investigated. Specific surface areas (m²/g) evaluated by Brunauer–Emmett–Teller method using nitrogen adsorption data at –196 °C in the relative pressure (p/p₀) range from 0.05 to 0.35 were also reported.

Electron microscopy

Suspension drops (0.001 wt%) of CF11 cellulose nanocrystals were deposited on a holey silicon nitride support film (Ted Pella, Inc., USA) for TEM observation. The carbonized CNC and lignin samples were dispersed into deionized distilled water and treated with high-intensity ultrasonic processor (HIUS, 1500 W Model, SONICS Newtown, CT) to obtain the small fragments. A spin coating technique was used during the deposition to avoid aggregation of CNC. The grids were placed into a high-resolution transmission electron microscope (Libra 200 MC, Zeiss), which was operated at a 200 kV accelerating voltage. The atomic structure of CNC was studied using a 5th order spherical aberration corrected scanning transmission electron microscope (Nion-UltraSTEM100), which is operated at a low accelerating voltage of 60 kV to avoid the irradiation damage to samples.

Thermal gravimetric analysis

The thermal stability and carbonization yield were characterized using thermal gravimetric analyzers (TGA; PerkinElmer 7 series; PerkinElmer Cetus Instruments, Norwalk, CT). The heating program was set up to mimic the carbonization process.

Wide-angle X-ray diffraction

X-ray diffraction measurements were carried out on a Scintag DMC 008 X-ray diffractometer with Cu-target radiation at 40 kV and 40 mA and a measuring angle from 5° to 40° (step size = 0.02°, scanning rate two sec/step). Powder samples were pressed into flat disks and attached to the glass plate. The crystallinity index (CrI) was calculated from diffraction patterns by using Eq. (1):

\[
\text{CrI} = \left( \frac{I_{200} - I_{am}}{I_{200}} \right) \times 100
\]

where \(I_{200}\) is the intensity of (200) peak (\(2\theta = 22.4^\circ\)) and \(I_{am}\) is the minimum intensity at the valley between peaks (200) and (110) (2θ = 18°). The lateral crystal dimension of the crystal with \(hkl\) Miller indices, \(D_{hkl}\), was calculated by Scherrer’s expression (Patterson 1939):

\[
D_{hkl} = \frac{K\lambda}{(\beta^\frac{1}{2}\times \cos\theta)}
\]
where \( K = 0.94 \), \( \theta \) is the diffraction angle, \( \lambda = 0.154 \) is the X-ray wavelength and \( \beta_{1/2} \) is the peak width at half maximum intensity of the \((hkl)\) peak.

**Fourier transform infrared spectroscopy (FTIR)**

FTIR was performed using a PerkinElmer FTIR-ATR spectrometer (Spectrum One, PerkinElmer, USA). Samples were placed on a diamond crystal of an attenuated total reflectance (ATR) accessory. All spectra were collected over a range of 4000–600 cm\(^{-1}\) at 4 cm\(^{-1}\) resolutions with 16 scans. Samples were recorded before and after carbonization.

**Raman spectrum analysis**

Carbon samples were examined by a micro-Raman spectrometer (Renishaw, UK) with an Ar+ laser source (excitation wavelength 514.5 nm). Calibration was done using the Raman active vibration peak at 520 cm\(^{-1}\) of silicon. All spectra were obtained with the laser power set to 100% with extended scans between 500 and 3000 cm\(^{-1}\) and were constructed using five separate measurements of 10 s each. Raman spectrum of carbonized CNC and carbonized lignin was deconvoluted into four peaks using Gauss and Lorentz function, where four peaks are centered at \( \sim 1170, \sim 1345 \) (D1 band), 1500 (D3 band) and 1600 (G band), respectively. The concentration of graphene disorder is extracted from the intensity ratio \( I_D/I_G \) (Childres et al. 2013).

**Results and discussion**

**Porosity information from nitrogen as adsorption**

The results of porosity studies are plotted in Fig. 1. The N2 adsorption–desorption isotherms of C-CNC and C-Lignin feature typical type-II curves combined with H4-type hysteresis loop (Sing et al. 1985). This type of isotherms and the narrow hysteresis loops are attributed to interparticle capillary condensation.

For C-CNC, the adsorption amount undergoes a steep increase at relative pressure \((p/p_0)\) of \( \sim 0.1 \), indicating the completion of the first monolayer and transition to multilayer adsorption. In addition, the presence of hysteresis loop with lower closure point at \( p/p_0 \) \( \sim 0.4 \) and higher closure point at \( p/p_0 \) \( \sim 0.9 \) is related to the filling and emptying of mesopores by capillary condensation. The porous structure characteristics of C-CNC and C-Lignin are listed in Table 1.

There are significant differences between these carbonized samples. The surface area of C-CNC sample calculated from QSDFT and BET methods ranges between 556 and 562 m\(^2\)/g. In contrast, C-Lignin has much lower surface area, in the range of 32–47 m\(^2\)/g. Furthermore, C-CNC has a larger specific pore volume than C-Lignin does. The pore size distribution of C-CNC shows a small fraction of micropores (<2 nm) and a large proportion of mesopores (2–50 nm).
centered at about 4.4 nm. On the contrary, C-Lignin exhibits a broad pore size distribution, with a smaller volume of mesopores centered at about 2.8 nm. The ratio of total pore volume to BET area is larger for C-Lignin than for C-CNC. This might be the result of the loose packing of C-Lignin particles, associated with interparticle voids rather than intraparticle pores. As such, it can be speculated that the reason for the difference in adsorption properties may be related to the variance of morphological structure between C-CNC and C-Lignin.

![Fig. 1 Adsorption–desorption isotherms of nitrogen on a C-CNC and b C-Lignin: square dotted and round dotted lines are adsorption and desorption data, respectively. Pore size distributions determined by QSDFT method for c C-CNC and d C-Lignin](image)

| Pore surface area and pore volumes of as-prepared C-CNC and C-Lignin |
|---------------------------------------------------------------|
| **BET surface area (m²/g)** | Results from QSDFT analysis |
| Pore surface area (m²/g) | Pore volume (cc/g) | Average pore diameter (Å) | Volume-to-area ratio (Å) |
|--------------------------|-----------------|---------------------|----------------------|
| C-CNC 556               | 562             | 0.60                | 43.7                 | 10.6                  |
| C-Lignin 47.7           | 32.2            | 0.06                | 28.4                 | 18.6                  |
Observation of cellulose nanocrystal and lattice structure

Gentle STEM was used to study the structure of original CNCs. The TEM image in Fig. 2a shows typical spindle-like whiskers with length in the range of 100–300 nm and width in ~3–5 nm. Aggregated bundles of CNC were also observed due to the lateral adhesion among elementary crystallites. The bright-field (BF) higher-magnification image in Fig. 2b clearly shows that CNCs are composed of highly ordered structures. Large domains of cellulose chains were packed tightly together with a high degree of alignment. The formation of cellulose crystalline region can be ascribed to the intramolecular and intermolecular hydrogen bonds that holds closely packed glucose chains (Nishiyama et al. 2002). The gentle STEM experiment confirms the CNCs’ crystalline structure by direct observation. The spacing of lattice fringes is measured to be ~0.4 nm, which is consistent with the d-spacing value (0.39 nm) of the (200) lattice plane of cellulose I.

Observing cellulose nanocrystal without negative staining by STEM is quite challenging due to the nature of the material and possible sample contamination. Figure 3 illustrates the beam irradiation effect on the CNCs. It is of interest to note that these lattice features are not sustained under electron beam, even though the accelerating voltage of the microscope is only 60 kV. The fast Fourier transform (FFT) pattern shown in Fig. 3a indicates the good crystallinity of the original CNCs. Shortly after the observation, the crystal structure started to disappear and tended to become amorphous, as seen in Fig. 3b. This is not surprising, since under 60 keV electron beam irradiation, the maximum energy transfer to a carbon atom is about 10.8 eV, which is larger than the bonding energy of hydrogen bonds (< 0.5 eV) and the activation energy for depolymerization of cellulose (2.68 eV) (Lee et al. 2013; Shen et al. 2013). Accordingly, it is more likely that the high energy of electron beam breaks the intermolecular hydrogen bonds, which bonds the linear chains of β (1,4) glucose molecules.

Fig. 2 TEM image of individual CNCs (a) and higher-magnification BF image of its lattice structure (b). The inset in the right upper corner is a fast Fourier transform (FFT) pattern.
Observation of graphene-like structures in carbonized cellulose nanocrystal and carbonized lignin

Figure 4a, b shows high-resolution STEM images of carbonized C-CNC and C-Lignin. The fringes visible in these images represent an edge-on view of graphene-like layers. An obvious difference between the microstructures of C-CNC and C-Lignin can be seen by comparing Fig. 4a, b. The C-CNC sample consists of short, randomly orientated graphene fringe fragments. The random arrangement of these small fragments creates numerous voids that form the network of interconnected micropores and mesopores. In contrast, a turbostratic carbon structure was not observed in C-Lignin sample. This sample exhibits two types of carbon onion structures (Guo et al. 2009). Figure 4b shows ribbon-like structures composed of 3–4 layers of parallel-stacked carbon layers forming continuous loops, about 15–30 nm long. Similar structures were reported by other researchers (Johnson et al. 1975; Barin et al. 2014). Others proposed that carbonized kraft lignin has the tendency to form nanofringes as temperature increased from 500 to 900 °C; however, no long ribbon-like structures of fringes were observed (Zhang et al. 2017). The discrepancy could be caused from the resources of kraft lignin, where a complicated and large number of process variables are involved when extracting lignin.

In contrast, such large regions of closed packed layers have never been observed in the C-CNC sample. The second component appears with high incidence in numerous images, such as the one shown in Fig. 4c. These faceted onion-like particles are pentagonal or hexagonal in shape. The black circular spot marked by arrow in Fig. 4c is about 10 nm in diameter; the interplanar distance measured for the dense core is 0.34 nm. The diffraction pattern shown in Fig. 4d confirms the existence of periodic crystal structures in C-Lignin sample.

The microstructural features observed from the electron microscopy observations explain the differences in BET surface area and pore size distribution between C-CNC and C-Lignin. These observations indicate that, under identical
carbonization conditions, the transformation of lignin structure into a well-ordered, graphite sheet structure is more facile when compared to that of CNC. That is, the structure and chemical nature of precursor materials (cellulose, lignin) have a pronounced effect on the conversion process and the structure of the carbonized product.

Atomic-resolution annular dark-field (ADF) images were obtained from the very thin regions at the edge of carbonized CNCs particles. Figure 5a shows a low-magnification image of the C-CNC structure. Clearly, the stacking configuration of the graphene-like structure can be described as a pack of numerous, randomly curved atom-thick layers. In order to clearly resolve the carbon atoms in the graphite-like plane in the image, the contribution of the high-frequency noise and electron probe “tails” to the raw ADF images has been removed (using a Gaussian-based probe deconvolution algorithm) (Lee et al. 2013). Careful examination at high |

Fig. 4 Micrographs of CNC carbon and lignin carbon following heat treatment at 950 °C: Bright-field STEM images of a C-CNC, b C-Lignin, c and d C-Lignin under low magnification. The inset in the right upper corner of d is the diffraction pattern.
magnification reveals a carbonized CNC structure. The in-plane carbon atoms are clearly resolved as illustrated in Fig. 5b from a small single-layer graphite/graphene sheet structure suspended on one particle edge and oriented parallel to the image plane. To better analyze the atomic arrangement, each bright spot representing a carbon atom was marked with a white dot on the image. The one-layer graphene/graphite sheet structure is composed of a hexagonal lattice of carbon atoms, which also contains non-hexagonal defects (atoms are bonded in pentagonal or heptagonal rings). Studies on nanoporous carbon derived from wood-based material revealed domains of imperfectly stacked graphene layers with rippled shapes. The topological defects represented by non-hexagonal carbon atom rings generated highly porous structure with high surface area (Lee et al. 2013). The current observation on C-CNC sample is consistent with the previous report, indicating that as-prepared C-CNC is composed of randomly curved graphene layers. Their presence explains the higher BET surface area and porosity of C-CNC.

**Thermogravimetric analysis (TG)**

Thermogravimetric analysis (TG) was used for better understanding of carbonization mechanism differences between cellulose and lignin. The temperature profile mimicked the tube furnace carbonization process. In Fig. 6, the weight loss (TG) and derivative of weight loss (DTG) during carbonization are highlighted. It is generally agreed that the process of cellulose pyrolysis consists of four stages: (1) physical desorption of water (25–150 °C); (2) dehydration of the cellulose unit (150–240 °C); (3) thermal cleavage and scission (240–400 °C); and (4) aromatization and carbonization (400 °C and above) (Meng et al. 2014; Tang and Bacon 1964). These four stages are illustrated in Fig. 6. A major slope change of the TG curve was observed between 180 and 400 °C, consistent with the TGA analysis (Cao et al. 2009) for CNC extracted from cotton by sulfuric acid hydrolysis. Two
sharp peaks in the DTG plots at 180.9 °C and 228.9 °C, accompanied by weight loss of 4.4% and 21.8%, were attributed to water evaporation and dehydration, respectively (Dumanli and Windle 2012). The DTG peak at 320.9 °C and the broad peak between 350 and 400 °C can be traced to complicated reactions of depolymerization and aromatization through the whole carbonization process (Tang and Bacon 1964). In contrast, lignin shows a stepwise decrease of the TG curve in a broad temperature range from about 250 to 700 °C. Unlike the CNC material, the DTG curve for lignin shows a single broad peak centered at about 390 °C, corresponding to 20% weight loss. A similar trend was reported by others (Yang et al. 2007). The onset temperatures, decomposition temperatures, and the total weight loss at 950°C are summarized in Table 2.

Compared to lignin, CNC sample started to decompose at lower temperature. The FTIR spectra of the CNC and lignin sample and of their corresponding carbonized materials (Fig. 7) show that the oxygen-containing functional groups were thoroughly removed by thermal treatment at 950 °C.

X-ray diffraction (XRD) analysis

The XRD pattern of CNC shows typical cellulose peaks marked at 2θ = 22.5°, 14.6° and 16.5°, corresponding to its crystal lattice assignment of (200), (1–10) and (110) crystalline planes (Fig. 8). Crystallinity was calculated to be of 81% using Eq. (1). Carbonization treatment at 950° C of CNC sample leads to the

Table 2 Onset temperature, degradation temperature, maximum weight loss rates and weight loss of CNC and lignin

| Carbon precursor | Onset temperature (°C) | Degradation temperature (°C) | Maximum weight loss rate (%/min) | Weight loss at 950 °C (%) |
|------------------|------------------------|------------------------------|----------------------------------|--------------------------|
| CNC              | 186.0                  | 228.9                        | − 2.82                          | 82.6                     |
| Lignin           | 247.7                  | 390.0                        | − 0.98                          | 49.3                     |
The disappearance of (200), (1–10) and (110) diffraction peaks. A weak, broad peak centered at 2θ = 17° was observed, indicating the formation of very disordered, turbostratic-like carbon after carbonization.

Lignin sample’s XRD pattern barely exhibits a weak and broad peak centered at 16°, indicating the amorphous nature of lignin. The weak peak shifted to higher 2-θ of 21.2° upon carbonization treatment, but did not reach 26°, which is the approximate position of (002) peak in graphite. This peak shift accompanied with the decrease in peak width indicates the trend of carbon layers stacking along the c-axis (Fujimoto 2003). Similar peak profile was observed in other research (Tenhaeff et al. 2014). Using the Scherrer equation for the (002) peak, an average crystallite length Lc along c-axis of 1.1 nm was calculated. The interlayer spacing (d200) value for C-Lignin was calculated to be 0.37 nm, close to the value (0.34 nm) measured from the TEM image and the diffraction pattern. The resulted layer, which was calculated as the ratio of Lc and d200, is 3. It agrees very well with the observation from TEM image in Fig. 4b. However, the incidence of long-range well-ordered graphene stacks remains low, even though they were captured by TEM images.

![FTIR spectra of a cellulose nanocrystals and C-CNC (b), lignin and C-Lignin](image-url)
Figure 9 shows the Raman spectra of carbonized CNC and carbonized lignin. The peaks were deconvoluted, and Table 3 summarizes the $I_D/I_G$ intensity ratio for carbonized CNC. The calculated intensity ratio for carbonized CNC is 1.52, which is greater than that of carbonized lignin of 1.23. Larger intensity ratio indicates that carbonized CNC sample exhibits a larger quantity of the disordered graphite structure. Likewise, a weak 2D band was observed in the carbonized lignin sample, indicating that there are well-crystallized graphene layers.

Despite lignin’s structural and chemical variability, its branched and cross-linked structure consists of only three basic units, derived from coumaryl alcohol, coniferyl alcohol, and sinapyl alcohol (Thielemans et al. 2002). The high level of lignin’s aromaticity contributes to its resistance to thermal degradation, as supported by low weight loss on TGA analysis. The HRTEM image of C-Lignin (Fig. 4) suggests lignin’s ability for formation of close packed graphene/graphite-stacked structures by carbonization. It can be argued that such preferential formation is related to the presence of aromatic monolignols in lignin. A possible path for lignin carbonization is presented in Fig. 10. The large amount of aromatic ring structures...
Fig. 9  Raman spectra of carbonized CNC and carbonized lignin

Table 3  Summary of carbonized CNC and carbonized lignin Raman peak and intensity ratio

| Sample              | $D_1$ | $I_1$ | $D_2$ | $I_2$ | $D_3$ | $I_3$ | $G$   | $I_G$ | $I_D/I_G$ |
|---------------------|-------|-------|-------|-------|-------|-------|-------|-------|-----------|
| Carbonized CNC      | 1216.5| 243.2 | 1351.1| 841.9 | 1537.6| 618.8 | 1595.3| 533.3 | 1.52      |
| Carbonized Lignin   | 1241.9| 1018.9| 1348.5| 2389.3| 1538.4| 1879.4| 1598.9| 1934.6| 1.23      |

$I_D$ and $I_G$ are intensity values of $D$ and $G$ peaks, respectively.

Fig. 10  Schematic of lignin structure (adopted from Ball 1992) evolution during carbonization
with non-carbon atoms on edges favors aromatic condensation and sequential three-dimensional ordering during carbonization and heat treatment up to 950 °C.

The structure of high crystallinity cellulose (CNC), by contrast, is composed of repeating glucose units. A scheme of structural changes during cellulose carbonization is presented in Fig. 11. During heat treatment from room temperature to 950 °C, cellulose experiences desorption of physisorbed water, intermolecular dehydration through –H and –OH condensation, thermal cleavage of glycosidic linkages, thermal scission of ether bonds and C–C bonds, etc. Davidson and Losty (1963) argued that oxygen existing in pyranose rings and glycoside links is eliminated during the decomposition process, making the main contribution to the total weight loss (Davidson and Losty 1963). Large amounts of carbonaceous intermediates consisting of aromatic platelets terminated by hydrogen would go through further thermal

![Cellulose structure and cellulose carbonization](image)

**Fig. 11** Scheme of cellulose structure and cellulose carbonization (adopted from Davidson and Losty 1963)
cleavage above 300 °C. Based on the TEM image (Fig. 4), it can be speculated that cellulose intermediates polymerize, to successfully form graphene/graphite units, yet fail to yield long-range stacking order. The oxygen elimination process requires high reactive energy to break the rings. In addition, the presence of covalently bonded hydrogen may hamper the aromatic condensation.

**Conclusion**

This research investigated the structure of carbonized cellulose and carbonized lignin at atomic scale thermally treated at a maximum temperature of 950 °C by scanning transmission electron microscopy. There is evidence from the study that carbon derived from highly crystallized cellulose yields disordered porous structure, while kraft lignin derived carbon has the tendency to yield larger graphite sheet and onion-like structures. The presence of certain well-ordered carbon in carbonized lignin indicates some of the carbon in lignin could be graphitized with heat treatment temperature. This result is encouraging for the future prospects of attaining acceptable modulus of carbon fiber from lignin given correct modifications to the chemistry and structure of lignin. The results of the study contribute to an improved understanding of the carbonization mechanism of key cellulose and lignin components of biomass materials.

**Acknowledgements** The authors gratefully acknowledge the support of this research by UTIA 2014 Innovation Grant, USDA Special Wood Utilization Grants R11-0515-041 and R11-2219-510, the University of Tennessee, Department of Forestry, Wildlife and Fisheries; Center for Renewable Carbon, and the Agricultural Experiment Station McIntire-Stennis Grant TENOOMS-101. CIC acknowledges support from the US Department of Energy, Basic Energy Sciences, Materials Science and Technology Division for materials characterization, data analysis, and interpretation. This research used facilities provided by ORNL's Center for Nanophase Materials Sciences (CNMS), which is sponsored by the Scientific User Facilities Division, Office of Basic Energy Sciences, U.S. Department of Energy.

**Declarations**

**Conflict of interest** On behalf of all authors, the corresponding author states that there is no conflict of interest.

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