Background: The existence of spontaneous fluctuations in heart rate and blood pressure has been known for a long time in modern cardiovascular physiology [1]. The spectral analysis of heart rate and blood pressure is a widely used non-invasive technique to assess autonomic indexes of neural
cardiac control [2–4]. Such analyses typically focus on three separate frequency bands: a high-frequency (HF) band, a low-frequency (LF) band, and a very-low-frequency (VLF) band; the standard frequency ranges of these bands are usually 0.15 Hz, 0.04–0.15 Hz, and 0.003–0.04 Hz, respectively. The selection of these bands reflects the assumption that each is related to a certain cardiovascular mechanism [5–9]. In normal conditions the presence of a spectral peak in the HF band of RRI spectrum is closely related to respiration and is attributed to vagal mechanisms. Another frequency peak in RRI spectrum can be found within the LF band, which is attributed predominantly to sympathetic mechanisms but partially also to vagal mechanisms. It is often reported that this frequency peak is centered on 0.1 Hz (also called Mayer waves), but the frequency of this oscillation and the underlying mechanisms are still uncertain. Oscillations at frequencies in the VLF band are often related to the vasomotor tone of thermoregulation or to the dynamics of hormonal systems, but the origin and frequency of the oscillations in this band are still unknown.

It is widely believed that a single peak in the entire spectrum reflects a specific mechanism of cardiovascular control which can be quantitatively measured by determining the area under that peak, i.e., the corresponding spectral power. However, there are many studies suggesting either that a single peak can originate from a complex set of various control mechanisms, or that a single control mechanism can produce several peaks [8,10,11]. Additionally, it is known that both the amplitude and frequency of the oscillations within VLF, LF, and HF bands can vary with the physiological conditions [12–14].

This study investigated the details of the spectra of the R–R interval (RRI) and systolic arterial blood pressure (SAP) in the LF and VLF frequency ranges in order to characterize the principal frequency components in healthy humans. Three spectral methods based on totally different mathematical approaches were used; the use of several methods is crucial to validation of the results. We have not explored possible mechanisms behind each frequency component, but we do discuss the phase conditions between RRI and SAP in the VLF and LF bands.

Methods

Subjects and study protocol

A total of 12 healthy subjects (age 20–26 years) participated in the study. The study protocol was approved by the ethics committee of the Tampere University Hospital. The actual protocol consists of several different phases, but only two of them were used in this study. In the first phase (lasting 12 minutes), the subjects were studied whilst breathing spontaneously. After this phase the spectrum of the respiration flow signal was calculated and the peak frequency was determined. In the second phase (lasting 12 minutes), the subjects were studied using metronome-controlled breathing where the breathing frequency was adjusted to the value of the peak frequency of the respiration flow from the first phase. This arrangement guaranteed that in the second phase all subjects could breath at their natural breathing frequency so that the breathing frequency did not vary significantly. The spontaneous breathing frequency varied from 0.12 Hz to 0.25 Hz. Before the first phase there was 15 minutes adaptation time to stabilize the hemodynamics, and there was a 5-minute rest period between the first and second phases. In all recordings the subjects were in supine position. Since the subjects were forced to follow the metronome sound it was possible to verify that they were not asleep.

Data acquisition

The following data were measured: ECG (Rigel 302 Cardioscope), continuous noninvasive blood pressure from the left middle finger (2300 BP Monitor, Ohmeda Inc., USA), pulmonary air flow (M909, Medikro Oy, Finland), expiration CO₂ and O₂ concentrations by capnometer (Datex Normocap, Datex Inc., USA), and oxygen saturation at the left ear lobe (Datex Satlite, Datex Inc. USA). All signals were digitized at a sampling rate of 200 Hz (WinAcq data acquisition system, Absolute Aliens Oy, Finland). The RRI time series were generated by detecting the R peaks of the ECG signal, and the SAP and diastolic pressure time series were generated by finding the corresponding beat-to-beat minimum and maximum on the blood-pressure signal within each RRI. All data analyses were performed with WinCPRS software (Absolute Aliens Oy, Finland).

Spectral analysis

The choice of spectral methods used in this study was critical to the detection of any fine structure in the RRI and SAP spectrum within the LF range below 0.15 Hz. There are two main factors that must be considered carefully. First, in spectral analysis the frequency resolution is higher when longer time series are used, but only if the system under study is stationary over the time interval of the analysis, and in the present study we cannot make any assumptions in regard to the stationarity of the system a priori. If the system varies significantly in the parameter space, the spectrum calculated over a long time interval is an averaged result, and hence any small time-varying details in the oscillatory patterns of the signal will be lost. Secondly, if the analysis method used in the spectral calculations provides us with the necessary frequency resolution, using a very short time series in our analysis increases the likelihood of capturing the true structure of the spectrum in the case of a nonstationary signal. The problem is how to assure that a representative sample of the signal is used. One solution is to calculate several
short-term spectra, and search for patterns that can be found on all of them. We have done this by calculating the spectra using a sliding time window of reasonably short duration and a time step that is much smaller than the window width. Since it is rather unlikely that there are sudden or abrupt changes in the heart-rate or blood-pressure regulation system, especially when the subjects are studied whilst supine, we can assume that RRI and SAP spectra vary only slowly. When tracking the position of the dominant frequency components we can easily recognize the fundamental modes since the corresponding spectral peaks will appear as continuous paths on the time–frequency plots.

We applied three methods to the spectral analysis of RRI and SAP time series: Fast Fourier Transform (FFT), autoregression model (AR), and Wigner-Ville distribution (WVD). We selected these methods because they are based on totally different mathematical approaches and therefore their combined use increases the likelihood of validating our results. Detailed description of each of these spectral methods can be found on Appendix (see Additional file: 1). AR method is most suitable for searching the principal frequency components since the spectrum can be easily decomposed using pole-expansion of the spectrum. In contrast, with FFT or WVD method it is difficult to determine accurately the positions of the spectral peaks. However, the use of AR method is also problematic since the essential parameter of it, the optimal model order, is difficult to determine. Therefore we have used non-parametric FFT and WVD methods to help us for finding the best model order: the model order of the AR spectrum was adjusted in such a manner that the overall shape of the spectrum resembles FFT and WVD spectra. FFT and WVD spectra have typically reasonably high spectral resolution in the low frequency range but poor statistical relevance. The latter one can be improved by smoothing the spectra but then we decrease frequency resolution. This unavoidable trade-off between statistical relevance and resolution complicates detecting spectral peaks reliably: spectra can include spurious features. However, since FFT and WVD spectra are computed using different mathematical approach it is very unlikely that these features appear simultaneously on both spectra, and therefore the combined use of FFT and WVD to resolve the optimal AR model order is crucial.

**Results**

A typical spectral analysis of the RRI of one subject is presented in Fig. 1. The time–frequency plots (upper panels) were generated using three different methods: Fast Fourier transform (FFT), Wigner-Ville distribution (WVD), and autoregression (AR). The AR method has been used with two models: one of order 12 and the other of order 20. All methods are capable of distinguishing the respiration component, which in this case is located at 0.15 Hz. Below this respiration frequency the nonparametric methods – FFT and WVD – reveal at least two distinct components that appear in the figure as continuous red paths. With the FFT method, the paths are not very clear because of the limited frequency resolution. In the WVD plot the components are separated much better but the background of the spectrum is contaminated by mixing of the main components (chessboard-type pattern), as explained in Appendix (see Additional file: 1). With the parametric AR method, the appearance of the spectrum depends heavily on the model order. When using the model order determined by Akaike’s information criterion (AIC; 12 in this specific case), the spectrum is strongly smoothed and only one component can be seen below the respiration frequency (AR(12) in Fig. 1). When the model order is increased to 20, two separate LF components appear (AR(20) in Fig. 1). To show the differences more clearly, in the lower panels of Fig. 1 we show cross-sections of the time–frequency plots at certain moments of time (marked with the white dashed lines). Both FFT and WVD spectra exhibit two peaks, at 0.06 Hz and 0.09 Hz, and perhaps also another two around 0.02 Hz. In the AR(12) spectrum there is only one wide spectral peak visible, but in the AR(20) spectrum there are two distinct peaks at the same positions as in the FFT and WVD spectra, and a third lower one around 0.02 Hz. These results clearly show that AR spectral methods, as commonly applied (i.e. with a relatively low model order), are unable to reflect the real frequency content of a signal; this leads to oversmoothed spectral profiles and to overmodeling the actual variability. It should be noted that in the FFT and WVD spectral densities the height of each spectral peak corresponds directly to the spectral power, whereas in the AR spectrum density the height of the peak is proportional to the square of the power (the area under the peak is proportional to the power). Therefore, the differences in the heights of the peaks in the AR(20) spectrum are much higher that in the FFT and WVD spectra.

We have performed the analysis described above on the RRI and SAP time series of each subject. FFT, WVD, and AR spectra were computed for each subject, and several cross-sections of time–frequency plots were obtained in order to determine the minimum AR model order required for the AR spectrum to show the same main features evident in FFT and WVD spectra. Typically AR spectra are not sensitive to the model order when the order is above a certain value. When the final model order of the AR spectrum was found we calculated the spectral decomposition by searching the poles of the spectral density function, as explained in Appendix (see Additional file: 1). The use of pole-based spectral decomposition allows us to determine the positions of the spectral peaks more accurately than is possible from FFT or WVD spectra. The results of this
Time–frequency plots of the R–R interval. The upper four panels show the color-coded spectral densities as a function of time and frequency (black corresponds to zero value, red with variable intensity intermediate values, and white the maximum value). The methods used in analysis are, from left: fast Fourier transform (FFT), WVD, an autoregression (AR) model of order 12 (AR(12)), and an AR model of order 20 (AR(20)). The lower four panels display the local spectra as an intersection of the time–frequency plots marked with the vertical dashed white lines. The respiration frequency of 0.15 Hz is clearly visible on all plots. In the low-frequency (LF) range below 0.15 Hz, FFT and WVD methods can extract at least two dominant peaks at frequencies of 0.06 Hz and 0.09 Hz which are also visible as almost continuous paths on the time–frequency plots. These peaks can be distinguished with the AR(20) model but not with the AR(12) model.

analysis for all 12 subjects are presented in Fig. 2. The respiration was controlled by a metronome, whose the frequency was close to the normal supine respiratory frequency of each subject in order to avoid any physiolog-
The main spectral peaks of the R–R interval. The R–R interval (RRI) time series of 12 subjects were spectrally decomposed by computing the AR spectrum and searching the frequency components corresponding to the poles of the spectral density function. The results in the LF range are shown as a function of time and frequency. The color scale displays the spectral power of each component (black corresponds to zero value, red with variable intensity intermediate values, and white the maximum value). The natural breathing frequency of each subject was different, and is marked with a red dot. Case A is the same as shown in the Figure 1.
ical stress produced by an unnatural respiration rhythm. This frequency component on the time–frequency plots is almost a straight line indicating a near constant frequency and its power is also highest (red or white path). In all cases there are three or four components below the respiration frequency, except for subject F whose respiration frequency was very low. In most cases the frequencies of these components are also quite constant over time. In only a few subjects did the number of the components vary; this was due to either the model order being too low or to real changes in the dynamics of the heart-rate control system. It should be noted that in all plots there is a spectral component around 0.025 Hz, which does not change significantly over time.

We also analyzed SAP in a similar manner, one example of which is presented in Fig. 3. The subject was the same as for Figs. 1 and 2A. It can be clearly seen that the spectral components closely resemble those for the RRI. This similarity was also observed for the other subjects and therefore we do not present the analysis results from them. To investigate the mutual character of the RRI and SAP we calculated the FFT-based coherence and phase between the RRI and SAP as a function of frequency and time using sliding time windows. The coherence and phase for the example subject in Fig. 1 are displayed in Fig. 4. To show the time-independent features clearly, the coherence and phase from all time windows are superimposed. The mean principal frequencies found from Fig. 2A are marked with red horizontal bars. Above the respiration frequency of 0.15 Hz, the coherence wanders between 0 and 1. Coherence values close to 1 in this frequency range indicate that there are momentary spurious oscillations or noise in RRI and SAP which temporarily oscillate coherently with a highly variable mutual phase difference. However, these oscillations are not physiologically important since their spectral powers are negligible. In contrast, the coherence is constantly high and the phase is almost constant at the respiration frequency of 0.15 Hz and around the spectral peaks at 0.07 Hz and 0.11 Hz (-50 to -30 degrees). However, around the spectral peak of 0.026 Hz the coherence and phase vary continuously with time. The results were very similar in all the other subjects.

In order to estimate quantitatively the frequencies of the spectral components we first calculated the mean frequency of each component below the respiration frequency for each subject (Table 1). We then calculated the averaged frequency of the first, second, and third components (starting from the lowest frequency component) over all subjects for which the components were available. The true first spectral component was always at zero frequency, but we excluded this because it corresponds to the uninteresting constant (or very slowly changing) part of the signal. The results are shown in Fig. 5. The average frequencies were 0.026 ± 0.003 Hz for the first component, 0.076 ± 0.012 Hz for the second component, and 0.119 ± 0.018 Hz for the third component. According to the standard t-test for independent samples, the averaged frequencies of each component were found to be different with a very high probability: \( p < 10^{-7} \) when comparing the first and second components, and \( p < 10^{-5} \) when comparing the second and third components. The distribution of frequencies is shown in Fig. 6, in which the frequency scale is divided into 10 equal bins. In all 12 subjects one frequency component was found in the frequency range 0.015–0.030 Hz, in seven subjects a component was present at 0.075–0.090 Hz, and in six subjects a component was present at 0.120–0.135 Hz.

To characterize more precisely the nature of each three principal frequency component we computed the
coherence, phase difference, deviation of the phase difference and the gain between RRI and SAP for each subject at those frequencies found on Table 1. Analysis was done using the sliding FFT method with the same parameters as described previously, and all values were averaged over sliding segments. The mean results over 12 subjects are presented in Table 2. The coherence of the 2nd and especially the 3rd frequency component is rather high (clearly above 0.5) indicating that corresponding oscillations in RRI and SAP are reasonably well correlated. In the case of the 1st frequency component the coherence is low (well below 0.5), and obviously oscillations in RRI and SAP at this frequency range are practically independent. The phase difference of the 2nd and 3rd component is clearly negative which can be interpreted as a sign that changes in SAP precede changes in RRI. The phase deviation (deviation of the phase from segment to segment) of the 2nd and 3rd component is quite small, i.e. the phase is rather constant in time. The phase of the 1st component is also slightly negative but it is merely artificial result since the phase deviation is very large: the phase wanders freely in time. In fact the phase typically has all values from -180 to +180 degrees (the distribution of phase values is flat), and thus the large deviation cannot be explained by abrupt jumps from -180 to +180, as it would be possible if the phase difference between RRI and SAP were close to 180 degrees. All these observations are also visible in the example case of Fig. 4: the phase curves in the lower panel are tightly bundled at the 2nd and 3rd frequency components but not at the first component. The gain between RRI and SAP based on the transfer function approach seems to be lowest for the 1st component and highest for

Figure 4
The mutual coherence and phase of the RRI and SAP. An example of the FFT-based mutual coherence and the phase difference of RRI and SAP as a function of frequency. Both functions were computed using the same sliding windows (width 180 seconds, step 5 seconds) as used in analysis of Fig. 1, and the results are superimposed. The principal frequencies below the respiration frequency are marked with red horizontal bars and the respiration related frequency with a blue bar.
the 3rd component. Since the coherence related to the 1st component is low and the phase is not constant in time the corresponding gain value has very limited relevance. We have also computed the phase and gain in the LF band in the normal way using only one segment covering the whole data (the last row in Table 2). The phase and gain were averaged over those frequency values where the coherence was higher than 0.5. This is the method, which is usually used when baroreflex sensitivity is estimated from spontaneous fluctuations of RRI and SAP. The phase angle is close to one of 3rd component but the gain is, in contrast, close to one of the 2nd component.

Discussion

Our main findings are as follows: (1) below 0.15 Hz there were at least three distinct peaks in both RRI and SAP spectra; (2) principal spectral components around the frequencies of 0.026 Hz, 0.076 Hz, and 0.119 Hz were found in all subjects; (3) the lowest spectral peak around 0.026 Hz was remarkably similar in all subjects and it did not vary over time; (4) the frequency of the other two main peaks clearly varied over time, but only slightly; and (5) the phase difference between the SAP and RRI was clearly negative and almost constant over time and the coherence was high in the case of the two highest frequency components, but in the case of the first component the phase and coherence did not exhibit stable characteristics.

Our approach to combine three different spectral methods proved to be successful. AR method has superior frequency resolution if the model order is properly set, and the principal spectrum components can be easily computed. Commonly used information criteria to estimate the model order are inadequate, as we demonstrated in this study. In the most cases WVD spectra was useful for searching the optimal model order of AR spectra but sometimes we needed also FFT method to recognize the main features of spectra. Since the frequencies of the 2nd and 3rd principal components are not constant it is not possible to capture them using only single data segment, thus spectra should be computed as a function of time to uncover these components.

The presence of spectral components around 0.026 Hz in both RRI and SAP data is well known, and are widely believed to be related to the dynamics of thermoregulatory processes [9]. However, the precise frequency of these oscillations has not been reported previously. In our study this oscillation mode was found in all subjects over a remarkably narrow frequency range. The corresponding period of about 39 s could, in principle, be an artifact of our analysis, such as being generated by the specific width of the time window used in our computations. To check this we also performed the analyses using considerably shorter and longer sliding windows, and found that this had no significant effect on the frequency. Since the coherence and especially phase between the SAP and RRI at this frequency varied over time, it is unlikely that this oscillation is a part of the blood-pressure regulation.
system, so it can be regarded as a secondary effect of some other mechanisms.

The existence of two or more distinct oscillatory components in the LF band was a striking observation. In the literature there are no specific reports of multiple spectral components in this frequency band in humans. In most cases the explanation is very simple: overall spectral power has been used as a measure of sympathetic activity, and detailed spectral composition has not been the target of the studies. It is very difficult to distinguish close spectral peaks using FFT analysis when sliding time windows are not used. Previous studies that have used AR modeling employed model orders that were too low to allow close peaks to be discriminated, as we have demonstrated. However, in animal studies there are some interesting reports on the presence of two spectral peaks within the LF band. Cevese et al. [15] investigated spontaneous fluctuations in heart rate and blood pressure in anesthetized dogs with the left iliac vascular bed mechanically uncoupled from the central circulation. They found individual peaks in RRI and SAP spectra at 0.03–0.07 Hz and at 0.12–0.17 Hz, and in some cases there was simultaneously a peak in both frequency ranges. They suggested that the simultaneous peaks are simple harmonics of each other and that there is a unique causal mechanism behind this phenomenon. However, careful inspection of their data does not support this conclusion. In our data the two spectral peaks were not simple harmonics. Another interesting observation on oscillations well below 0.1 Hz has been reported recently [16]. In that human study alpha-blockade intervention produced a new spectral peak in some subjects centered at 0.04–0.05 Hz during which the "normal" peak around 0.1 Hz disappeared. It is unclear whether this new spectral peak is associated with our findings since the phase difference between SAP and RRI at 0.04 Hz was reported to be -73 to -169 degrees, which is much more negative than our observations at 0.076 Hz. However, that study demonstrated that the spectral composition of RRI and SAP is not necessarily constant in all conditions. It should be noticed that spectral peaks well below 0.1 Hz have been reported in humans also in normal physiological conditions. Especially with very elderly people the LF peak can shift significantly towards lower frequencies [14,17] but, however, coexistence of two or more clear peaks on this frequency range

Table 1: Principal frequency components of the R–R interval. Mean frequencies of the first three spectral components of the R–R interval for frequencies below 0.15 Hz.

| Subject | f₁ [Hz] | f₂ [Hz] | f₃ [Hz] |
|---------|---------|---------|---------|
| A       | 0.026   | 0.069   | 0.102   |
| B       | 0.028   | 0.073   | 0.122   |
| C       | 0.027   | 0.076   | 0.122   |
| D       | 0.022   | 0.055   | 0.086   |
| E       | 0.024   | 0.062   | 0.099   |
| F       | 0.026   | 0.072   | -       |
| G       | 0.031   | 0.081   | 0.116   |
| H       | 0.028   | 0.097   | 0.135   |
| I       | 0.025   | 0.095   | 0.137   |
| J       | 0.028   | 0.079   | 0.129   |
| K       | 0.025   | 0.086   | 0.125   |
| L       | 0.020   | 0.073   | 0.117   |

Table 2: Coherence, phase and gain between RRI and SAP. The mean RRI-SAP coherence, mutual phase difference, deviation of the phase difference and transfer function gain for each principal frequency component below 0.15 Hz (computed by the sliding FFT analysis) and for the LF frequency band (computed within the LF band using a single data segment and coherence>0.5 condition). Each value is the mean(SD) over 12 subjects.

| Frequency component | Coherence | Phase [degrees] | Phase deviation [degrees] | Gain [ms/mmHg] |
|---------------------|-----------|-----------------|---------------------------|---------------|
| 1.                  | 0.35(0.08)| -12(40)         | 93                        | 4.9(4.6)      |
| 2.                  | 0.57(0.20)| -38(22)         | 25                        | 9.9(7.4)      |
| 3.                  | 0.72(0.12)| -30(16)         | 15                        | 16.1(6.6)     |
| LF range            | -         | -32(17)         | -                         | 10.8(5.5)     |
region has not been reported previously. Since all subjects in our study were young and almost equal in age we cannot make any conclusions on the age dependence of the components.

One possible explanation of our findings is that the separate peaks were somehow produced by the respiratory component, either directly as subharmonics or by mixing with other components. These mechanisms would be possible if the system was highly nonlinear, but this is not very likely since in our experiments the respiration frequency was fixed by an external metronome and was different for all subjects, and despite this we found two separate peaks both within a relatively narrow frequency range.

It should be noted that there are no a priori physiological conditions or requirements for only one spectral peak to be found within the LF band. Although the origin of LF rhythms in blood pressure and heart rate is still mostly unknown, two theories – the feedback theory and the central theory – have been considered the most probable. Both theories can support the existence of several spectral components in the LF band. Our findings do not elucidate a valid underlying mechanism, but they do suggest that the underlying system is more complex than commonly believed. Since the mutual coherence is high and the phase angle is clearly negative for both components, we cannot exclude the possibility that they are generated by baroreflex-mediated control. The diverse results in literature on the frequency of oscillations of RRI and SAP in the LF band and the corresponding baroreflex-related latency times can be simply reflections on the fact that there exist two distinct components: sometimes the first one can be more dominant, sometimes another one. Thus the existence of two simultaneous well-determined oscillations in the LF band gives rise to an important question about whether we can simply use the spectral power to quantitatively estimate sympathetic activity or the transfer-function approach to measure baroreflex gain. Our results indicate that the phase differences and gains between RRI and SAP related to two principal frequency components are significantly different. Phase and gain values computed by the commonly used method, i.e. applying analysis on a single long data segment, seem to be approximately average (perhaps weighted by coherence) of ones of the separate components. Therefore currently used spectral method can be basically valid but the reliability and reproducibility can be rather poor since we don’t know how various physiological situations, age of the subject and other factors modulate these two components. Our results clearly indicate that more detailed analysis should be used when using spectral measures to estimate sympathetic activity and baroreflex sensitivity.

Conclusion

The data provided here indicate that the standard LF range of 0.04–0.15 Hz contains two frequency components, not one as widely believed, and that these components are not harmonically related. This new observation in humans can help explain divergent results in the literature concerning spontaneous LF oscillations. It also raises some methodological and computational questions regarding the usability and validity of the LF spectral band when estimating sympathetic activity and baroreflex gain. Time-frequency analysis should be performed in order to check if there are several distinct components in the LF band of RRI and SAP spectra. If several components can be observed all essential spectral measures, like spectral powers, phase differences, latency times and gains, should be computed for each component separately and compare them with the ones determined normally from the single data segment.

Authors’ contributions

TAK designed the study, performed the analysis, and drafted the manuscript. TJK and MK recruited the subjects and participated in the interpretation of the data and in the production of the final version of the manuscript.

Additional material

Additional file 1

Click here for file [http://www.biomedcentral.com/content/supplementary/1472-6793-3-11-S1.pdf]

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