Abstract

The rapid development of large pretrained language models has revolutionized not only the field of Natural Language Generation (NLG) but also its evaluation. Inspired by the recent work of BARTScore: a metric leveraging the BART language model to evaluate the quality of generated text from various aspects, we introduce DATScore. DATScore uses data augmentation techniques to improve the evaluation of machine translation. Our main finding is that introducing data augmented translations of the source and reference texts is greatly helpful in evaluating the quality of the generated translation. We also propose two novel score averaging and term weighting strategies to improve the original score computing process of BARTScore. Experimental results on WMT show that DATScore correlates better with human meta-evaluations than the other recent state-of-the-art metrics, especially for low-resource languages. Ablation studies demonstrate the value added by our new scoring strategies. Moreover, we report in our extended experiments the performance of DATScore on 3 NLG tasks other than translation Code is publicly available1.

1 Introduction

Massive pretrained language models have brought significant improvement to NLG tasks (Lewis et al., 2020). Recent systems can even generate texts of higher quality than human-annotated ones (Peyrard, 2019). At the same time, standard metrics, such as BLEU (Papineni et al., 2002) and ROUGE (Lin, 2004), for translation and summarization respectively, have not evolved for the past two decades (Bhandari et al., 2020). These metrics rely on surface lexicographic matches, making them particularly unsuitable for evaluating modern systems operating with embeddings at the semantic level that often generate paraphrases (Ng and Abrecht, 2015). To address this issue, many metrics have been proposed (Sai et al., 2022), but none of them were widely adopted until the release of BERTScore (Zhang et al., 2019) and MoverScore (Zhao et al., 2019). These metrics take advantage of large pretrained language models like BERT (Devlin et al., 2019), which are now being used in nearly all NLP tasks (Qiu et al., 2020; Min et al., 2021).

In this work, we focus on the task of evaluating machine translation. We propose an extension of BARTScore (Yuan et al., 2021), a recent metric exploiting the BART seq2seq language model (Lewis et al., 2020) to evaluate the quality of generated text from various aspects. BARTScore covers four evaluation facets: Faithfulness, Precision, Recall, and F-score, derived from different generation directions between the source text, the hypothesis (the text generated by a system given the source), and the reference (the reference text for the generation, often provided by human annotators). The scores are obtained by pairing the three entities differently at the input or the output side of a trained seq2seq model for fetching conditional generation probabilities.

Based on BARTScore, and motivated by the general idea and positive effect of data augmentation techniques, we found that adding augmented, translated copies of the source and reference texts in BARTScore, can greatly help evaluate the quality of the hypothesis translation. We also propose two novel score averaging and term weighting strategies to improve the original score computing process of BARTScore. Results and ablation studies show that our metric DATScore (Data Augmented Translation Score) outperforms the other recent state-of-the-art metrics, and our new scoring strategies are effective. Moreover, the performance of DATScore is also reported on three other NLG tasks than translation: data-to-text, summarization, and image captioning.

To the best of our knowledge, no prior work has

1https://github.com/moussaKam/datcore
been done on leveraging data augmentation techniques for untrained NLG evaluation metrics. Our work will help fill this gap. Our contributions include:

1) Inspired by BARTScore, we developed DATScore, incorporating augmented data translated from the source and reference texts. DATScore is an untrained and unsupervised translation evaluation metric that offers a larger performance boost in evaluating low-resource language generation. In contrast to other widely adopted metrics, DATScore can efficiently incorporate both the source and reference texts in the evaluation.

2) We introduced a novel one-vs-rest method to average the scores for different generation directions with different weights, which improves over the simple arithmetic averaging method used in BARTScore.

3) We proposed a novel entropy-based scheme for weighting the target generated terms so that higher informative tokens receive more importance in accounting for the score, which outperforms the naive uniform weighting employed in BARTScore.

2 Related work

2.1 Translation evaluation metrics

BLEU (Papineni et al., 2002) is the de facto metric for evaluating machine translation. It simply calculates $n$-gram matching between the reference and the hypothesis using precision scores with a brevity penalty. METEOR (Banerjee and Lavie, 2005) was developed to address two drawbacks of BLEU. It is F-score based (thus taking recall into account) and allows for a more relaxed matching, based on three forms: extract unigram, stemmed word, and synonym with WordNet (Miller, 1994). Apart from the above word-based metrics, some approaches operate at the character level. For example, chrF (Popović, 2015) computes the overall precision and recall over the character $n$-grams with various values of $n$. More recently, static word embeddings (Mikolov et al., 2013) have enabled capturing the semantic similarity between two texts possible, of what the historical metrics are incapable. Several metrics have been proposed to incorporate word vectors. For example, MEANT 2.0 (Lo, 2017) evaluates translation adequacy by measuring the similarity of the semantic frames and their role fillers between the human and machine translations.

Lately, pretrained language models have become popular, because they provide context-dependent embeddings. This proved beneficial to all NLP tasks, but also to evaluation metrics. For example, using a modified version of the Word Mover’s Distance (Kusner et al., 2015), the Sentence Mover’s Similarity (Clark et al., 2019) measures the minimum cost of transforming one text into the other as the evaluation score, where sentences are represented as the average of their ELMo word embeddings (Peters et al., 2018). BERTr (Mathur et al., 2019) computes approximate recall based on the pairwise cosine similarity between the BERT word embeddings (Devlin et al., 2019) of two translations. UniTE (Wan et al., 2022) proposes a unified framework for modeling three evaluation prototypes: estimating the quality of the translation hypothesis by comparing it with reference-only, source-only, or source-reference-combined data. UniTE is built upon XLM-R multilingual language model (Conneau et al., 2020).

Among several alternatives, BERTScore (Zhang et al., 2019) and MoverScore (Zhao et al., 2019) have received more attention, and have been adopted for reporting results in recent NLG publications (Lin et al., 2022; Weston et al., 2022). They both are unsupervised, general-purpose metrics and leverage BERT-like language models, however, with one difference lying in the similarity function for matching the two sequence representations. BERTScore greedily matches each token from one sequence to the single most similar token in the other sequence, in terms of the cosine similarity of their token embeddings. While MoverScore conducts soft one-to-many matching using an $n$-gram generalization of the Word Mover’s Distance (Kusner et al., 2015).

Finally, the work closely related to ours is BARTScore (Yuan et al., 2021). Unlike all the above metrics trying to match tokens or their embeddings, BARTScore proposes a novel conceptual view. It treats the evaluation of generated text as a text generation problem, with the help of a pretrained seq2seq model BART (Lewis et al., 2020). At the time of writing, this metric represents the state-of-the-art in the NLG evaluation. We will provide more details about it in Section 3.

2.2 Data augmentation

As deep learning models are often heavily reliant on large amounts of training data, a common attempt to get around the data scarcity problem is by applying data augmentation techniques (Shorten
These techniques increase the size of the training set by making slightly modified copies of already-existing instances or by creating new, synthetic ones. Such augmented data have proven to be beneficial to the training of models in a wide variety of contexts, from computer vision (Shorten and Khoshgoftaar, 2019) to speech recognition (Bird et al., 2020), to NLP (Feng et al., 2021), as it acts as a regularizer and helps reduce overfitting (Krizhevsky et al., 2012). For dealing with textual data, a suite of augmentation techniques exists. To name only a few, backtranslation (Sennrich et al., 2016) translates a text into an intermediate language and then back into the original language, as a way of paraphrasing the initial text. Contextual augmentation (Kobayashi, 2018) generates augmented samples by randomly replacing words with others drawn following the in-context word distribution of a recurrent language model. SeqMix method (Guo et al., 2020) creates synthetic examples by softly mixing parts of two sentences via a convex combination.

Data augmentation has also been applied to the field of NLG evaluation metrics. BLEURT (Sellam et al., 2020) is a supervised metric, i.e., it requires to be finetuned on human meta-evaluations. Before finetuning, BLEURT creates an augmented synthetic dataset by perturbing Wikipedia sentences with BERT mask-filling, backtranslation, and random word dropping techniques. The data are then annotated with some automatic numerical and categorical signals as pretraining labels. FrugalScore (Kamal Eddine et al., 2022) proposes the first knowledge distillation approach for NLG evaluation metrics, to alleviate the significant requirement of computational resources by the heavy metrics based on large pretrained language models (e.g., BERTScore and MoverScore). Unlike BLEURT, it is purely trained on a synthetic dataset consisting of pairs of more or less related sentences, created via various data augmentation techniques (e.g., paraphrasing with backtranslation, perturbation then denoising, etc.). The sentence pairs for training the student model are annotated with scores given by the metrics to be learned.

Differences. Note that BLEURT and FrugalScore use augmented data to train their parameterized metric models, while our DATScore is an untrained and unsupervised metric not requiring human judgments for training and using augmented translation for the sole purpose of scoring.

3 DATScore

As mentioned in Subsection 2.1, BARTScore is not based on matching tokens nor their embeddings as the other evaluation metrics. Instead, it uses a novel approach by framing the evaluation of generated text as a text generation problem. Assuming first a pretrained seq2seq model is “ideal” (e.g., BART), BARTScore directly uses the model’s conditional probability of generating a provided target text $Y$ given a provided input text $X$, as the evaluation score of the generation direction $X \rightarrow Y$. For example, $Y$ corresponds to a translation hypothesis generated by any system, and $X$ is the reference. If $Y$ is of high quality, then by providing the pair to the pretrained BART model, the estimated conditional generation probability (evaluation score) $P(Y | X)$ should be high.

Therefore, by placing differently the source (Src), the reference (Ref), and the hypothesis (Hypo) in pair at the input or the output side of the trained seq2seq model for fetching conditional generation probabilities, BARTScore considers three different generation directions illustrated as dashed arrows in Figure 1. The conditional probabilities associated with the directions are denoted as: Precision ($Ref \rightarrow Hypo$), Recall ($Hypo \rightarrow Ref$) and Faithfulness$^2$ ($Src \rightarrow Hypo$). Additionally, an F-score, the arithmetic average of Precision and Recall.

The score (conditional probability) for the gen-

![Figure 1: Dashed arrows denote the generation directions covered by BARTScore. Solid black arrows indicate our newly introduced directions for calculating DATScore of the example hypothesis in English ($Hypo_{en}$). Trans1$_{xx}$ and Trans2$_{yy}$ represent data augmented translations in any languages $xx$ and $yy$, obtained by applying a translation model (grey arrows) to the example source in French ($Src_{fr}$) and example reference in English ($Ref_{en}$), respectively.](image)
eration direction from a source sequence \(X = \{x_t\}_{t=1}^n\) to a target sequence \(Y = \{y_t\}_{t=1}^m\) is calculated as the factorized, weighted log probability over all generation steps:

\[
\text{Score}_{X \rightarrow Y} = \sum_{t=1}^m w_t \log P(y_t|X, \{y'_t\}_{t'=1}^{t-1}; \theta)
\]  

(1)

where \(w_t\) denotes the term importance score to put different emphasis on different target tokens \(y_t\). BARTScore simply employs a uniform weighting scheme (all equal to 1). \(\theta\) denotes the parameterized seq2seq model.

Our contributions consist of three modifications tailored to machine translation:

**Data augmented translations.** Unlike BARTScore, we employ M2M-100 (Fan et al., 2021), a non-English-centric multilingual machine translation system as our backbone seq2seq model, due to its superior performance. As our main contribution, we translate the source (e.g., \(\text{Src}_{\text{en}}\) in Figure 1) and the reference (\(\text{Ref}_{\text{en}}\) into any languages as our augmented data (Trans1_{xx} and Trans2_{yy}) for evaluating the hypothesis (Hypo_{en}). In addition to the three directions covered by BARTScore, our metric takes into consideration all generation directions centered on the hypothesis connecting the source, the reference, and the two data augmented translations, i.e., in total 8 directions as the black (dashed and solid) arrows depicted in Figure 1. DATScore is calculated as the weighted average of the scores associated with all the directions:

\[
\text{DATScore} = \sum_{X,Y} w_{X \rightarrow Y} \text{Score}_{X \rightarrow Y}; X \neq Y
\]  

(2)

where \(w_{X \rightarrow Y}\) denotes the weight of the direction \(X \rightarrow Y\), as detailed below.

**One-vs-rest score averaging method.** We observed empirically that sometimes, one direction score might strongly disagree with the others, likely being an outlier (failed evaluation). This may significantly affect the final DATScore correlations with the human meta-evaluations, if a simple arithmetic averaging method is applied (like BARTScore in computing F-score). To reduce this effect, we weigh each direction with the sum of the Pearson correlations of its scores with the scores of all the other directions:

\[
w_{X \rightarrow Y} = \sum_{X',Y'} \text{Corr}(\text{Score}_{X \rightarrow Y}, \text{Score}_{X' \rightarrow Y'})
\]

s.t. \((X, Y) \neq (X', Y')\)  

(3)

This one-vs-rest method will assign a low weight to the direction score poorly correlated with the rest scores, thus reducing its negative effect on the averaging result.

**Entropy-based term weighting scheme.** BARTScore gives an equal weight \(w_t\) to every token in Equation 1 (uniform weighting). Instead, we introduce a novel scheme to give different importance to different target tokens \(y_t\), based on the entropy:

\[
w_t = -\sum_{i=1}^v P_t(z_i) \log P_t(z_i)
\]  

(4)

where \(v\) denotes the size of the output generation vocabulary. \(P_t(z_i)\) represents the probability of the \(i\)-th token in the vocabulary at time step \(t\). We assume that when the model is very confident in generating the target token (low entropy), then this token is non-informative (e.g., stopword). On the other hand, when the model is less confident (higher entropy), the target word is more informative, and then a higher weight should be assigned.

The effectiveness of all our choices regarding the above contributions is shown by our ablation studies (see Section 6).

4 Experiments

4.1 Experimental settings

We benchmark DATScore on two commonly used meta-evaluation datasets for machine translation metrics: WMT17 (Bojar et al., 2017) and WMT18 (Ma et al., 2018) consisting of multiple to English and from English language pairs. For each pair, a few thousand examples are available, each being made of a source, a reference, a hypothesis and a label produced by human annotators, assessing the quality of the system generated hypothesis. Depending on the label type, we use Kendall’s Tau \(\tau\) correlations or absolute Pearson \(|r|\) correlations. The former is used when relative ranking is provided, and the latter in the case of direct assessment. We adopt the Kendall’s Tau-like formulation proposed in (Bojar et al., 2017):

\[
\tau = \frac{|\text{Concordant}| - |\text{Discordant}|}{|\text{Concordant}| + |\text{Discordant}|}
\]  

(5)
where \(\text{Concordant}\) is the number of examples on which the metric agrees with the human relative ranking, and \(\text{Discordant}\) is the number of examples when they disagree.

To compute DATScore, two M2O-100 models: M2O-100-418M\(^3\) and M2O-100-1.2B\(^4\) are adopted (418M and 1.2B refer to the model sizes). They are finetuned to translate a source text to a target text by providing the source language code (e.g. "fr") at the beginning of the encoder input sequence, and a target language code at the beginning of the decoder input sequence. In our experiments, when English is the target language (to-English), we choose English for Trans1 and Spanish for Trans2 (see Figure 1). Otherwise, whenever English is the source language (from-English), we choose Spanish for Trans1 and English for Trans2. This choice is motivated by the fact that English and Spanish are the top two represented languages in the training set of M2O-100 (Fan et al., 2021).

### 4.2 Main results

We compare the performance of our metric against BLEU and three other reference-based unsupervised metrics: BERTScore\(^5\), MoverScore\(^6\) and BARTScore\(^7\) (detailed in Subsection 2.1 and Section 3), using their official implementations. Experimental results are reported in Table 1 and 2. Following their original settings, we use different underlying language models for each baseline metric. For BERTScore and MoverScore, RoBERTa-Large (RL; Liu et al., 2019) and Bert-Base (BB) are used respectively when we evaluate to-English translations, and mBERT (Devlin et al., 2019) from-English translations. In the case of BARTScore, we use a BART-Large (BL) check-point (finetuned on CNNDDM (See et al., 2017) and

\(^3\)https://huggingface.co/facebook/m2m100-418M  
\(^4\)https://huggingface.co/facebook/m2m100-1.2B  
\(^5\)https://github.com/Tiiiger/bert_score  
\(^6\)https://github.com/AIPHES/emnlp19-moverscore  
\(^7\)https://github.com/neulab/BARTScore

### Table 1: Absolute Pearson correlation (\(|r|\)) for to-English and Kendall correlations (\(\tau\)) for from-English with segment-level human scores on WMT17. BB stands of Bert-Base, RL for RoBERTa-Large and BL for BART-Large.

| Metric | Model | \(|r|\):en \(|r|\):de \(|r|\):fr \(|r|\):ru \(|r|\):tr \(|r|\):zh Avg. |
| --- | --- | --- | --- | --- | --- | --- | --- |
| BLEU | 1a) N/A | 34.4/22.0 36.6/23.6 44.4/42.1 32.1/21.5 41.3/- 44.1/33.6 44.0/- 37.8/27.3 |
| BERTScore | 1b) RL/mBERT | 71.0/43.8 \textbf{74.5}/40.4 83.3/58.8 75.6/46.6 74.6/- 75.1/57.1 77.5/- 75.9/49.3 |
| MoverScore | 1c) BB/mBERT | 66.6/38.3 70.6/35.9 82.2/54.2 71.7/37.8 73.7/- 76.1/49.8 74.3/- 73.6/43.2 |
| BARTScore | 1d) BL+para/mBART | 68.4/39.0 70.8/33.4 74.9/40.4 71.8/- 73.9/53.8 76.0/- 73.6/45.4 |
| M2O-100-418M | 1e) M2O-100-418M | 65.9/45.0 66.1/44.5 79.9/59.2 71.7/40.3 69.0/- 71.8/70.9 71.6/- 70.9/52.0 |
| M2O-100-1.2B | 1f) M2O-100-1.2B | 67.4/49.6 69.3/49.2 80.7/63.5 73.7/46.9 70.4/- 71.6/72.5 73.0/- 72.3/56.3 |
| DATScore | 1g) M2O-100-418M | \textbf{71.3}/53.9 72.9/52.2 \textbf{83.5}/66.3 \textbf{76.8}/52.0 75.9/- 78.1/70.9 77.7/- \textbf{76.6}/59.1 |
| M2O-100-1.2B | 2h) M2O-100-1.2B | \textbf{68.6}/51.1 68.5/48.1 82.0/63.7 74.7/48.3 73.0/- 77.6/70.9 76.5/- 74.4/56.4 |
| DATScore | 2a) N/A | 23.3/38.9 41.5/62.0 38.5/41.4 15.4/35.5 22.8/33.0 14.5/26.1 17.8/31.1 24.8/38.3 |
| BERTScore | 2b) RL/mBERT | 40.4/55.9 \textbf{55.0}/72.7 39.7/58.4 29.6/53.9 35.3/42.4 29.2/38.9 \textbf{66.4}/36.1 36.5/51.2 |
| MoverScore | 2c) BB/mBERT | 36.8/44.6 53.9/68.4 39.4/52.7 28.7/50.9 27.9/40.1 \textbf{33.6}/32.5 25.6/35.2 35.1/46.3 |
| BARTScore | 2d) BL+para/mBART | 39.6/50.2 54.7/65.0 39.4/53.3 28.9/57.2 34.6/37.0 27.4/37.7 24.9/32.4 35.6/47.5 |
| M2O-100-418M | 2e) M2O-100-418M | 36.3/55.4 53.7/72.2 37.6/58.4 26.3/60.2 33.4/44.4 26.8/45.1 23.4/31.3 33.9/52.4 |
| M2O-100-1.2B | 2f) M2O-100-1.2B | \textbf{38.4}/\textbf{63.5} 54.6/\textbf{76.2} 39.2/63.2 27.9/64.5 35.7/45.6 28.5/50.2 24.3/34.7 35.7/56.8 |
| DATScore | 2g) M2O-100-418M | 38.6/53.5 53.5/71.3 39.3/64.0 28.4/62.2 34.9/44.4 28.5/47.9 25.3/34.0 35.5/53.9 |
| M2O-100-1.2B | 2h) M2O-100-1.2B | 40.7/61.9 54.9/76.2 \textbf{40.5}/\textbf{68.2} \textbf{30.4}/\textbf{67.9} \textbf{36.4}/\textbf{46.2} 31.0/52.7 26.3/36.6 37.2/58.5 |

### Table 2: Kendall correlations (\(\tau\)) for to-English and from-English with segment-level human scores on WMT18. BB stands of Bert-Base, RL for RoBERTa-Large and BL for BART-Large.
ParaBank2 (Hu et al., 2019) datasets) for evaluating to-English translations, and an mBART-50 model (Escolano et al., 2021) for from-English translations.

Overall, results show that, on average, across all language pairs, DATScore significantly outperforms all 4 baseline metrics under their original model settings (rows 1a-1d and 2a-2d). Specifically, with respect to the best performing baseline BERTScore (row 1b and 2b), our metric provides a performance boost of 0.7 for to-English case and of 9.8 for from-English case on WMT17 dataset in Table 1, and achieves a gain of 0.7 and of 7.3 respectively on WMT18 dataset in Table 2. These averaging results demonstrate the superiority and applicability of DATScore in evaluating general machine translations of many languages. Moreover, it is interesting to note that our improvement is much more significant in from-English case, which makes DATScore particularly well-suited to evaluate hypothesis translations in non-English languages, often with low resource. We hypothesize that this is due to the inconsistency of underlying language models. The baselines adopt a monolingual model for evaluating English, but a multilingual one for non-English languages. However, DATScore uses a single multilingual M2M-100 model for both cases. It is known that, in general, monolingual models outperform multilingual competitors. Thus, it is reasonable that when comparing multilingual-based DATScore against monolingual baselines in the to-English case, DATScore achieves a smaller improvement than in the other from-English case, where the comparison is fairer (multilingual vs. multilingual).

By looking across specific language pairs and directions, we observe DATScore constantly performs better than 4 baseline metrics with a few exceptions, i.e., de \( \rightarrow \) en (−1.6) in Table 1, and de \( \rightarrow \) en (−0.1), tr \( \rightarrow \) en (−2.6), and zh \( \rightarrow \) en (−0.1) in Table 2. Despite these small drops in the performance, DATScore brings a larger margin of improvement in most cases, such as en \( \rightarrow \) tr up to 13.8 both on WMT17 and WMT18 datasets.

In the end, for the sake of having a complete comparison, we additionally evaluate BARTScore\(^8\) with M2M-100_418M and M2M-100_1.2B models (row 1e, 1f, 2e, and 2f) that are used as DATScore’s underlying models. Results show that, only in the from-English case, while they bring an improvement compared to the vanilla BARTScore (row 1d and 2d), they are not able to yield as big of a gain as our metric, indicating that our achieved improvement is not solely due to the underlying language model, but also to taking additional generation directions into account, including those related to data augmented translations.

### 5 Other NLG tasks

In addition to machine translation, our main focus, we evaluate DATScore on other NLG tasks, including data-to-text generation, abstractive summarization, and image captioning. To work around the different modalities of source inputs represented in these tasks (e.g., not able to create a data augmented translation with an image), we adapt DATScore to only consider 4 generation directions: Hypo\(\leftrightarrow\)Ref and Hypo\(\leftrightarrow\)Trans2.

#### Data-to-text

Table 3 shows the performance of DATScore compared to the other baselines on the WebNLG data-to-text dataset (Shimorina et al., 2018), which contains 2000 descriptions of structured tables along with their corresponding references. In addition, human assessments covering three dimensions are provided (semantics, grammar, fluency).

| Metric   | Model          | REALSumm | SummEval |
|----------|----------------|----------|----------|
|          |                | COV      | COH      | CONS | FLU | REL |
| BLEU     | N/A            | 37.9     | 11.8     | 6.3  | 7.7 | 18.6|
| BERTScore| RoBERTa-Large  | 41.2     | 10.5     | 15.0 | 35.9|
| MoverScore| BERT-Base     | 44.1     | 14.4     | 14.7 | 13.8| 29.1|
| BARTScore| M2M-100_418M  | 30.1     | 14.8     | -2.3 | 3.0 | 19.8|
| DATScore | M2M-100_418M  | 44.7     | 17.1     | 4.4  | 4.6 | 26.3|

Table 4: Pearson correlation results on two summarization datasets: REALSumm and SummEval.

| Metric   | Model          | REALSumm | SummEval |
|----------|----------------|----------|----------|
|          |                | COV      | COH      | CONS | FLU | REL |
| BLEU     | N/A            | 37.9     | 11.8     | 6.3  | 7.7 | 18.6|
| BERTScore| RoBERTa-Large  | 41.2     | 10.5     | 15.0 | 35.9|
| MoverScore| BERT-Base     | 44.1     | 14.4     | 14.7 | 13.8| 29.1|
| BARTScore| M2M-100_418M  | 30.1     | 14.8     | -2.3 | 3.0 | 19.8|
| DATScore | M2M-100_418M  | 44.7     | 17.1     | 4.4  | 4.6 | 26.3|

\(^8\)The official implementation of BARTScore is slightly modified to take into account the languages tokens when using a multilingual model.
mar, and fluency). The results show that DATScore significantly outperforms all the other metrics in two settings (grammar and fluency) out of three, while being very competitive in the third setting (semantics). Surprisingly, BERTScore is largely behind DATScore, and MoverScore failed to correlate positively with human judgments in all dimensions.

Summarization. Table 4 shows the evaluation of the different metrics on two summarization meta-evaluation datasets: REALSumm (Bhandari et al., 2020) and SummEval (Fabbri et al., 2021). Both datasets contain a few thousand examples of system-generated summaries and their references. The generated summaries are annotated with lightweight pyramids (Shapira et al., 2019) method in the case of REALSumm, while the annotations in SummEval cover four dimensions: coherence, consistency, fluency, and relevance. On REALSumm, DATScore has the best performance compared to all the other baselines even when using its smaller version (M2M-100_418M). However, despite its higher correlations compared to BARTScore and MoverScore, DATScore fails to outperform BERTScore on the different dimensions of SummEval.

Image captioning. We consider Flickr8K (Hodosh et al., 2013) and PASCAL-50S (Vedantam et al., 2015), two image captioning datasets. The former is annotated with scores from 1 to 4 assessing the relevance of the captions, and the latter is annotated with relative ranking (i.e., given two descriptions which one is better). Table 5 shows that in this task, DATScore is competitive to BARTScore and BERTScore. Surprisingly, MoverScore significantly outperforms all the other metrics despite its poor performance on the other datasets.

Finally, although not the top-performing metric across all tasks, DATScore showed an overall stable and competitive performance. Conversely, each of the other metrics fails in evaluating generations, at least in one of the tasks. For example, BERTScore and MoverScore have poor performance on the WebNLG dataset. On the other hand, although BARTScore is finetuned on an abstractive summarization dataset, it fails to correlate well with human judgment on REALSumm and SummEval. This finding suggests that DATScore can be safely used to evaluate NLG systems in other tasks for different evaluation dimensions, regardless of being initially designed for machine translation evaluation.

### Table 5: Pearson correlation Results on two Image Captioning datasets: Flickr8K and PASCAL-50S.

| Metric     | Model           | Flickr8K | PASCAL-50S |
|------------|-----------------|----------|------------|
|            |                 | RELE     | RR         |
| BLEU       | N/A             | 13.8     | 8.1        |
| BERTScore  | RoBERTa-Large   | 46.1     | **33.8**   |
| MoverScore | BERT-Base       | **52.5** | 33.2       |
|            | BART-Large+para | 44.8     | 33.1       |
| BARTScore  | M2M-100_418M    | 34.3     | 29.6       |
|            | M2M-100_1.2B    | 34.6     | 26.3       |
| DATScore   | M2M-100_418M    | 42.6     | 29.6       |
|            | M2M-100_1.2B    | 45.3     | 31.4       |

### Table 6: The average Kendall correlation (to/from)-English when the entropy-based and one-vs-rest weighting are included or excluded. Experiments are conducted on WMT18.

| Entropy-based weighting | One-vs-rest weighting | to_English | from_English |
|-------------------------|-----------------------|------------|--------------|
| ✓                       | ✓                     | 37.2       | 58.5         |
| ✓                       | ✗                     | 37.1       | 58.1         |
| ✗                       | ✓                     | 36.4       | 55.9         |
| ✗                       | ✗                     | 36.4       | 56.0         |

### 6 Ablation study

To validate our different choices with regard to DATScore, we conducted ablation studies on:

1) the contributions of all 8 direction scores, results are illustrated in Figure 2.
2) the effectiveness of our one-vs-rest score averaging and entropy-based term weighting strategies (See Section 3), results are reported in Table 6.

#### Contributions of all direction scores. From Figure 2(a), we observe that none of the individual directions (horizontal bars) has a better correlation with human judgments than DATScore (dashed vertical lines), which confirms the importance of our ensemble approach. In Figure 2(b), we can see that all variants excluding one direction will lead, in almost all cases, to a drop in the performance, compared to the complete DATScore in which all directions are included. Besides, in the case of to-English translations, we can see that the drop in the performance is almost the same for all exclusions of direction. While for from-English translations, the largest drop in performance is observed when Hypo→Trans2 and Trans2→Hypo are excluded. This finding highlights the important contribution of our augmented data, especially in the
Figure 2: (a): The horizontal bars represent the Kendall correlations of each individual generation direction. (b): The horizontal bar represents the Kendall correlation of a variant of DATScore with excluding the single generation direction of the line. Both in (a) and (b), the dashed vertical lines represent the Kendall correlation of the vanilla and complete DATScore. Correlation results of to-English (in green) and from-English (in red) cases are calculated w.r.t human judgments, and averaged over all languages pairs. Experiments are conducted on WMT18.

low resource language settings (from-English). In the end, we can see that excluding Src→Hypo or Trans1→Hypo directions can lead to a slightly better final score. We leave the investigation of the potential negative impact of the two directions to future work.

One-vs-rest and entropy-based weighting strategies. Table 6 shows the performance of DATScore variants with respect to different combinations of applying or not our proposed weighting strategies. Note that when one-vs-rest and entropy-based weightings are not applied, they are replaced with a simple uniform averaging approach (as used in BARTScore). A performance drop is observed when excluding one of the two weighting strategies, especially for the entropy-based method, whose inclusion leads to an improvement of 2.5 compared to the uniform weighting. This experiment confirms the positive impact of our proposed weighting methods and motivates future work further to investigate a more elaborated approach in this direction.

7 Conclusion

In this work, we proposed one of the first applications of data augmentation techniques to NLG evaluation. To obtain an evaluation score of the translation hypothesis, our developed metric DATScore additionally leverages newly translated copies augmented from the source and reference texts. We also proposed two novel strategies for score averaging and term weighting to improve the original, naive score computing process of BARTScore, on the basis of which our work is built. Experimental results show that DATScore achieved a higher correlation with human meta-evaluations, in comparison with the other recent state-of-the-art metrics, especially for those less represented languages other than English. Moreover, ablation studies show the effectiveness of our newly proposed score computing approaches, and extended experiments showed an overall stable and competitive performance of DATScore on more NLG tasks.

Limitations

In this section, we list some limitations that are worth further investigation in future works:

1) DATScore requires generating additional data augmented translations to perform the evaluation. This process might be time-consuming depending on the adopted backbone seq2seq model, especially if the original text is long. Thus, the performance scalability can be investigated in future complementary experiments.

2) We chose to use English and Spanish to create data augmented translations for the reason that they are the two most represented languages in the training of the M2M-100 model (see Subsection 4.1).
However, this leaves a question about the performance of DATScore with augmentations varying in other languages (e.g., Chinese). Moreover, for the sake of simplicity, we decided only to include a single translated copy of the source text and the reference text. However, this can be easily extended, and more augmented translations can be created in more languages. We expect to see an improvement in performance with diminishing returns.

3) BARTScore only considers the 8 generation directions centered on the hypothesis connecting with the source, the reference, and the two data augmented translations (see Section 3). However, other connections exist between these entities, such as $\text{Src} \rightarrow \text{Ref}$ and $\text{Trans}1 \rightarrow \text{Src}$ (see Figure 1). Therefore, future research could be dedicated to discovering the effect of these other directions and potentially leveraging them to improve the performance of DATScore.

4) Since our focus was on evaluating machine translation, we naturally chose translation for augmenting the data. However, other data augmentation techniques could seamlessly integrate into DATScore, such as using a text paraphrasing model (Bandel et al., 2022).

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