A novel region selection approach of SVPWM for a three-level NPC inverter used in electric vehicle

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ABSTRACT
This paper confers an investigation of a space vector modulation based control strategy of induction motor using a three-level inverter for electric vehicle application. The proposed controller uses a simple v/f control with a novel SVPWM technique. A new method for region selection of SVPWM for a multilevel inverter is implemented for the closed-loop system. Previously the region selection procedure was not considered by most of the researcher in the literature for developing the PWM algorithm. This approach is based on some algebraic equations. The remarkable point here is that it is identical to all the remaining sectors. Hence calculation complexity reduces by making it a simpler implementation. This method can be applied to any number of levels. The potency of the proposed controller is validated through the MATLAB/SIMULINK environment. The performance of the overall system is inspected through transient and steady-state analysis. The neutral point balance of the 3L NPC inverter is established by adopting proper switching sequences.

Keywords:
Electric Vehicle(EV)
Fixed V/F Control
Space Vector Pulse width Modulation (SVPWM)
Three-level(3L) Inverter

1. INTRODUCTION
Due to a limited resource for oil and to reduce the air pollution, electric vehicles emerged as an alternate and reliable solution for the transport industry. Selection of traction motor plays an important role in the electric propulsion system. Depending on various performance characteristics [1] different traction motors are graded in the scale of five. IM and PMSM are the best candidates for traction applications as it has low cost, mature technology and less maintenance [2, 3]. A detailed comparison between traction motor drives are compared in [4] at same vehicle specifications and dimensions. Though PMSM is advantageous due to high power density, less loss and high efficiency, they are restricted due to less availability of rare-earth magnets and higher cost [5].

To control the voltage and frequency voltage source inverters are preferred over current source inverters for its fast dynamic response because no-line inductor is used as in the case of CSI. Depending on the pole voltage, inverters are categorized as two level and above two level i.e. multilevel inverters. In case of two-level inverter higher blocking voltage, frequency, switching and conduction losses are extremely high. These losses are dissipated by a heat sink. For dissipating this large amount of power the requirement of a heat sink and cost of cooling system will increase, which will increase the space requirement as well. Hence, the overall size of the system will increase which is not a good practice for traction drives or electric vehicles.

Here, multilevel inverters come into play as a solution to this problem. Mainly because it helps to lower the blocking voltage per device which reduces the losses compared to two-level inverter [6-9]. Though vector control is best suited for traction application [6], the fixed V/F control is performed in this paper for low cost
and simple implementation with proposed SVPWM technique as vector control method is more complex in comparison with V/F control. A new algorithm for neutral point balancing was reported in [10]. In that paper, Mamdani’s fuzzy logic controller based control scheme is presented to improve overall system performance for a 3L inverter. A comparison is investigated with and without the neutral point balancing algorithm. A novel technique for region identification of SVPWM for a 3L inverter is introduced and implemented in [11, 12] under open loop operation of an induction motor. A simplified SVPWM algorithm is implemented further in [13] where seven segment sequence is implemented for 3L inverter [12] and a detailed comparison is investigated with switching sequence where all the redundant states were used. Proper switching sequences in SVPWM technique plays a crucial part for neutral point balance in multilevel inverter without introducing any extra controller.[14] presents the modelling of an electric vehicle (EV) supported by induction motor and 3L NPC/diode clamped inverter. A fixed V/Hz control strategy was used in that paper while the inverter is gated by a Sinusoidal Pulse Width Modulation. Here, a closed loop fixed V/F control is achieved with the novel region selection approach and effectiveness of the proposed controller is investigated through steady-state and transient responses of the induction motor.

The paper consists four different sections. Section two addresses the control structure used for controlling the traction motor. This section has subsection which discusses the proposed SVPWM algorithm which employes novel region identification approach whereas simulation results are shown in section three. Finally, the paper presents a significant concluding observation in section four.

2. CONTROL STRUCTURE

In this paper, an induction motor is controlled by a PWM multilevel inverter. An inverter is fed by a dc supply which is converted by a three phase ac rectifier. Here, a fixed V/F strategy is used for controlling the traction motor. Speed controller comprises of a PI controller which generates slip compensation as explained in (1) and then gets summed up with measured rotor speed. Next that signal is converted to frequency to produce commanded stator voltage.

\[(\dot{w}_r^* - w_r)(k_p + \frac{k_i}{2})\]  

(1)

PI-controller is tuned using Ziegler–Nichols method where \(K_p\) and \(K_i\) values are obtained at rated load condition [11]. At first, the \(K_i\) is set to zero and \(K_p\) is gradually increased to its ultimate gain \(K_p^*\) at which the control loop output oscillates with a constant amplitude. \((T_u)\), the oscillation period and \(K_p\) are used to find out the proportional and integral gains based on the type of the controller. Therefore, the proportional and integral gains \((k_p\) and \(k_i)\) for particular PI-controller (speed controller) are found 0.45\(K_u\) and 1.25 \((K_p/T_u)\), respectively.

The overall system implementation is depicted in the figure below. Then derived voltage and frequency from the fixed V/F controller is fed to SVPWM block for generation of gate pulses for 3L NPC inverter as depicted in Figure 1.

Figure 1. A 3-ph induction motor V/Hz drive implementation
3. SVPWM TECHNIQUE FOR 3L INVERTER

Implementation of SVPWM technique for a multilevel inverter is more complex than a two-level inverter. So, it requires a different approach to deal with it. Mapping based concept for MLI is very popular and presented in [15]. A concept of reverse mapping is also presented in [16] for a five-level and a seven-level NPC inverter. MLI topology deal with a number of redundant states which represent the same space vector. For a 3L inverter, among 27 space vectors, there are six numbers of the large vector, six numbers of the medium, twelve numbers of short vectors and three numbers of zero/null vectors which form a hexagonal structure as shown in the Figure 2. Each sector has four regions. After region identification, the reference vector is generated by employing nearest three space vectors. Hence, region identification has an influential effect on pulse generation. There are very few publications are available on region identification in the literature. A novel region identification method is introduced in [11, 12]. Each sector has four regions. After region identification, the reference vector is generated by employing nearest three space vectors. Hence, region identification has an influential effect on pulse generation. A detailed analysis has been performed in different modulation index. In this paper, this method is further implemented for closed-loop control for vehicular application. The method is discussed in detail in the coming section.

![Figure 2. Hexagonal space vector representation of a 3L inverter](image)

![Figure 3. Representation of regions for sector 1 in x-y plane](image)

3.1. Proposed region identification method

A new method for region identification, based on algebraic equations to locate the position of the $V_{ref}$ is presented in this section. Figure 3 depicts two successive sectors (sector 1 and sector 2) and its corresponding regions of a three-level inverter. All the six sectors of the hexagon are symmetry in nature. Hence, the method of region identification for a sector can easily be applied to other sectors. The null vectors are located at the origin of the space vector diagram. Coordinates of other vectors in that sector can be acquired from a 2-dimensional x-y plane as illustrated in Figure 3. The x component of the reference space vector ($x_1$) is in phase with the starting vector in that sector and y component is perpendicular to that x component. Theta ($\theta$) represents the angle between the starting and the reference vector. The coordinates of above-mentioned space vectors are given in the Table 1.

The method is based on mainly two algebraic equations. Both represent the equation of a straight line. First one can be obtained by joining two points i.e. coordinates of V1 and V2. Similarly second straight line can be acquired by joining V1 and V7. Both the equations are written as (2) and (3)

\[
y_{11} = -\sqrt{3} (x - \frac{V_{dc}}{3}) \quad (2)
\]

\[
y_{22} = \sqrt{3} (x - \frac{V_{dc}}{3}) \quad (3)
\]
Table 1. The coordinate of individual space vectors in x-y plane for sector 1

| Space Vector | Category | Coordinate (X,Y) |
|--------------|----------|-----------------|
| V25, V26, V27 | null     | (0,0)           |
| V1, V19      | Short    | (\frac{V_{dc}}{3},0) |
| V13          | Large    | (\frac{2V_{dc}}{3},0) |
| V7           | Medium   | (\frac{V_{dc}\sqrt{3}}{2},0) |
| V14          | Large    | (\frac{V_{dc}\sqrt{3}}{3},\frac{2V_{dc}}{3}) |
| V2, V20      | Short    | (\frac{V_{dc}\sqrt{3}}{6},\frac{2V_{dc}}{6}) |

The reference vector $V_{ref}$ has two components

$$x_1 = |V_{ref}| \cos \theta$$  \hfill (4)

$$y_1 = |V_{ref}| \sin \theta$$  \hfill (5)

Now, by inserting the value of $x_1$ in equation (2) and (3), the values for $y_{11}$ and $y_{22}$ can be obtained as given below-

$$y_{11} = -\sqrt{3} \left(x_1 - \frac{V_{dc}}{3}\right)$$  \hfill (6)

$$y_{22} = \sqrt{3} \left(x_1 - \frac{V_{dc}}{3}\right)$$  \hfill (7)

The (5), (6), (7) and x-y component of $V_{ref}$ are used to identify the regions based on some criteria. The criteria for region identification are formulated in Table 2. Due to symmetric nature between all the sectors, the method remains the same as sector 1 except the x-y coordinate is shifted by 60°.

Table 2. Criteria for region identification in any sector

| Region | Condition |
|--------|-----------|
| 1      | $0 \leq x$ and $0 \leq \theta \leq 60^\circ$ |
|        | Or $V_{dc}/6 < x$ and $y_1 \leq y_{11}$ |
| 2      | $V_{dc}/6 < x$ and $y_{11} < y_1 \leq \sqrt{3}V_{dc}/6$ |
|        | Or $V_{dc}/2 < x$ and $y_{22} < y_1 \leq \sqrt{3}V_{dc}/6$ |
| 3      | $V_{dc}/2 < x$ and $y_1 \leq y_{22}$ |
|        | Or $V_{dc}/2 < x$ and $0 \leq \theta \leq 30^\circ$ |
| 4      | If above three conditions are not satisfied then select region 4 |

3.2. Vector sequence and Dwell time calculations

The vector sequence of any region should maintain the following criteria; a) In any sequence, changeover between states allows the only one switching i.e. a transition from 1 to -1 or vice-versa is prohibited. b) Terminal state of any current sample should be the initial state of the upcoming sample. The different sequences for different regions in sector 1 are tabularized in Table 3.
Table 3. Vector sequences of regions (1, 2, 3, 4) in sector 1

| Region | Vector Sequence |
|--------|-----------------|
| 1      | $V_{19} \leftrightarrow V_{20} \leftrightarrow V_{26} \leftrightarrow V_1$ |
| 2      | $V_{19} \leftrightarrow V_{20} \leftrightarrow V_7 \leftrightarrow V_1$ |
| 3      | $V_{19} \leftrightarrow V_{13} \leftrightarrow V_7 \leftrightarrow V_1$ |
| 4      | $V_{20} \leftrightarrow V_7 \leftrightarrow V_{14} \leftrightarrow V_2$ |

The space vector diagram that is shown in Figure 2 can be used to calculate the time for each sector (I to VI). Each sector has four regions (1 to 4), as shown in Figure 3, with the switching states of all vectors. The sum of the voltage multiplied by the interval of chosen space vector equals the product of the reference voltage $V_{ref}$ and sampling period $T_s$. To illustrate, when reference voltage is located in region 2 of sector 1 then the nearest vectors to reference voltage are $V_1$, $V_7$, and $V_2$ as shown in Figure 3 and 4, and the next equations explain the relationship between times and voltages.

The volt-sec balance equation for region 2 is

$$V_1^* T_a + V_7^* T_b + V_2^* T_c = V_{ref}^* T_s$$  \hspace{1cm} (8)

Where $T_a$, $T_b$, and $T_c$ are the times for $V_1$, $V_7$, and $V_2$ respectively.

By putting the values of $V_1$, $V_7$, and $V_2$ respectively we get,

$$\frac{V_a}{3} * T_a + \frac{V_d}{3} (\cos 60^\circ + \sin 60^\circ) * T_b +$$

$$\frac{\sqrt{3}V_d}{3} (\cos 30^\circ + \sin 30^\circ) * T_c = V_{ref}^* T_s$$  \hspace{1cm} (9)

$$T_a + T_b + T_c = T_s$$  \hspace{1cm} (10)

From equation (9) real part and imaginary part can be determined and using equation (8), (9) and (10) we obtained the required dwell timings for the voltage vectors in region 2. Similarly, dwell timings for different regions are calculated as shown in Table 4.

Table 4. Switching times for sector 1

| Region | Space Vectors | Dwell Time/ Switching Time |
|--------|--------------|----------------------------|
| 1      | $V_1, V_{19}$ | $T_a = T_s \{2m\sin\frac{\pi}{3} - \theta\}$ |
| 2      | $V_{19}, V_{20}$ | $T_a = T_s \{1 - 2m\sin\frac{\pi}{3} - \theta\}$ |
|        | $V_7, V_9$ | $T_a = T_s \{1 - 2m\sin\theta\}$ |
| 3      | $V_7, V_{13}$ | $T_a = T_s \{2m\sin\left(\frac{\pi}{3} + \theta\right) - 1\}$ |
|        | $V_{13}, V_9$ | $T_a = T_s \{1 - 2m\sin\left(\frac{\pi}{3} - \theta\right)\}$ |
| 4      | $V_7, V_{14}$ | $T_a = T_s \{2 - 2m\sin\left(\frac{\pi}{3} - \theta\right)\}$ |
|        | $V_9, V_20$ | $T_a = T_s \{2 - 2m\sin\theta\}$ |

Where, $T_s$ is the sampling time.

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$T_s = T_a + T_b + T_c$

Modulation Index (MI) = $\sqrt{3} \times \left( \frac{V_{\text{ref}}}{V_{\text{DC}}} \right)$

Figure 4 shows seven segment sequences of regions in sector 1. As mentioned earlier, only a switch is operated in every state changeover. The vector sequences for other regions in sector 1 are shown in Table 3. The dwelling times for the vectors of sector 1 are arranged in Table 4.

![Figure 4](image)

Figure 4. Seven segment switching sequences for (a) region 1, (b) region 2, (c) region 3, (d) region 4 of sector 1

4. SIMULATION OUTCOMES

In this section, the proposed SVPWM algorithm is examined through the simulation results. The control scheme has already depicted earlier in the Figure 1. The neutral point balance for 3L NPC inverter is also investigated. The analysis of the overall system has been performed under transient and steady-state conditions. The overall simulated period is divided into three subsections i.e. speed response, torque response and steady state response. Speed response defines a change in speed whereas a change in torque represents torque response. The parameters used are jotted down below.

DC bus voltage, $V_{\text{DC}}$= 353 V  
Nominal Power= 4 KW.  
Rated Speed= 1430 r.p.m.  
$R_s=1.405 \Omega$, $R_r=1.395 \Omega$, $L_m=0.1722 \text{ H}$ and $L_s=L_{dl}=0.005839 \text{ H}$.  
Switching frequency, $f_{\text{sw}}$= 5 kHz.
4.1. Speed response

The system is initially operated with a reference speed of 700 r.p.m and a reference torque of 10 Nm. At t=4s reference, speed is increased from 700 to 1000 r.p.m keeping reference torque constant at 10 Nm as shown in Figure 5(a). The system deportment is depicted during the speed change in Figure 5(a). The mentionable point is that the rotor speed follows its reference value very accurately and verifies the effectiveness of the suggested SVPWM algorithm. In Figure 5(b) electromagnetic torque ripple shown as 2.4 Nm. Neutral point balancing of NPC is also investigated. During the speed response capacitors voltage ripple is shown in Figure 5(c) which is about 6.7 V in transient and under steady state, ripple comes down to 5.8 V. The proposed algorithm keeps the dc bus voltage balanced at a particular tolerance level of 5% that of the total Dc bus voltage shown in Figure 5(c).

4.2. Torque response

At t=9s reference, torque is increased from 10 Nm to 15 keeping speed constant at 1000 r.p.m. Figure 6(a) shows rotor speed behaviour in torque response. During this transition, the speed drops from 1000r.p.m. to 956.67 rpm and settles it down within 0.25 seconds. Figure 6(b) shows the electromagnetic torque behaviour of the system. The electromagnetic torque ripple is restricted to 2.8 Nm. under steady state. From Figure 6(c) the capacitors Voltage ripples are investigated at t=9s as well as in a steady state.

4.3. Steady state response

In steady state, the rotor rotates at 1000 rpm. Figure 7(a) and Figure 8(a) shows the stator current and stator voltage respectively. Figure 7(b) illustrates the FFT analysis of the stator current. The %THD of the line current at 35.7 Hz is calculated in Figure 7(b). The inverter switching frequency is maintained at 5 KHz. The harmonics seem as sidebands and concentrated at the switching frequency and its multiples i.e. surrounding 5 KHz, 10 KHz, 15 KHz etc. Figure 8(a) depicts phase to phase voltage of the 3L inverter which is fed to the stator of the induction motor and Figure 8(b) shows the FFT analysis of phase to phase voltage.

The effect of load variation at constant speed (frequency) on different power quality indices are studied. Variation in line-line voltage, current, %THD of line voltage and current are also studied for different load demand i.e. 25%, 50%, 75% and 100% of rated load. Effect of load variation on dc link voltage ripple and torque ripple is tabulated in Table 5. It is seen from the Table 5 that stator current THD is below 5% which obeys IEEE 519 standard. It can be seen from the Table 5 that as load increases stator current increases but dc link voltage ripple also increases. Torque ripple decreases as load increases. %THD of Stator voltage also decreases with increasing load. From these observations, the proposed SVPWM algorithm for EV system has very good power quality performance for varying load.
Figure 6. Torque response: (a) rotor speed, (b) electromagnetic torque, (c) capacitors voltage.

Figure 7. (a) Stator currents, (b) THD of stator current

Figure 8. (a) Line voltage, (b) THD of line voltage

Table 5. Performance of power quality indices under varying load operation

| Load (%) | V(L-L) (rms) (V) | I (rms) (A) | % THD | DC link voltage ripple (V) | Ripple in torque (Nm) |
|----------|------------------|-------------|-------|---------------------------|----------------------|
| 25       | 251.7            | 3.976       | 37.38 | 3.65                      | 5.13                 |
| 50       | 251.34           | 5.954       | 35.61 | 2.48                      | 7                    |
| 75       | 251.2            | 8.184       | 34.68 | 1.48                      | 9.82                 |
| 100      | 251.13           | 10.9        | 33.57 | 1.61                      | 12.395               |
In steady state, the capacitors voltage ripples were also limited to a tolerable value. %THD of the output voltage and output current of the 3L inverter in the steady state also established the efficacy of the presented investigation of speed and torque response of the system validated the effectiveness of the presented scheme. A 4 kW induction motor is considered as traction motor and simulated in the MATLAB/SIMULINK platform. In future, the hardware implementation of this proposed work will be a challenging task.

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