Prognostic Value of Microvascular Invasion in Eight Existing Staging Systems for Hepatocellular Carcinoma: A Bi-Centeric Retrospective Cohort Study

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**Background:** Microvascular invasion (MVI) is a significant risk factor affecting survival outcomes of patients after R0 liver resection (LR) for hepatocellular carcinoma (HCC). However, whether the existing staging systems of hepatocellular carcinoma can distinguish the prognosis of patients with MVI and the prognostic value of MVI in different subtypes of hepatocellular carcinoma remains to be clarified.

**Methods:** A dual-center retrospective data set of 1,198 HCC patients who underwent R0 LR was included in the study between 2014 and 2016. Baseline characteristics and staging information were collected. Homogeneity and modified Akaike information criterion (AICc) were compared between each system. And the prognostic significance of MVI for overall survival (OS) was studied in each subgroup.

**Results:** In the entire cohort, there were no significant survival differences between Cancer of the Liver Italian Program (CLIP) score 2 and 3 (p = 0.441), and between Taipei Integrated Scoring System (TIS) score 3 and 4 (p = 0.135). In the MVI cohort, there were no significant survival differences between Barcelona Clinic Liver Cancer stages B and C (p=0.161), CLIP scores 2 and 3 (p = 0.083), TIS scores 0 and 1 (p = 0.227), TIS scores 2 and 3 (p =0.794), Tokyo scores 3 and 4 (p=0.353), and American Joint Committee on Cancer Tumor-Node-Metastasis \textsuperscript{7th} stage I and II (p=0.151). Among the eight commonly used HCC staging systems, the Hong Kong Liver Cancer (HKLC) staging system showed the highest homogeneity and the lowest AICc value in both the entire cohort and MVI cohort. In each subgroup of the staging systems, MVI generally exhibited poor survival outcomes.
**INTRODUCTION**

Hepatocellular carcinoma (HCC) is the leading cause of cancer-related death and responsible for more than 700,000 deaths annually (1). Liver resection (LR) or liver transplantation remains the first-line treatment method for patients with early or intermediate stage of HCC (2–4). Unfortunately, the 5-year recurrence rate is as high as 70–80% after curative liver resection, which severely limits the long-term survival of patients with HCC (5, 6).

Microvascular invasion (MVI), defined as "a cancer cell nest with >50 cells in the endothelial vascular lumen under microscopy" (7), is considered an early means of cancer cell spread through the vasculature and a key factor affecting the recurrence and long-term survival of patients with HCC (8–12). However, some authors have recently suggested that MVI is not a prognostic factor for all HCC patients. The long-term survival of small HCC (≤2 cm) is excellent and not influenced by MVI (13), and the clinical value of MVI in patients at Barcelona Clinic Liver Cancer (BCLC) stages 0 or B is limited (12, 14). Thus, the prognostic significance of MVI in various HCC staging systems still needs further investigation.

To date, several staging systems have been proposed to stratify HCC patients into different subgroups for better treatment decision-making and prognostic prediction (15). Among these, the BCLC staging system is recommended by the European Association for the Study of the Liver (EASL) and the American Association for the Study of Liver Diseases (AASLD) (2, 3). Compared with the BCLC system, the recently proposed Hong Kong Liver Cancer (HKLC) staging system provides better prognostic ability and a more aggressive treatment algorithm (16). In addition to the BCLC and HKLC systems, multiple staging systems have been proposed, including Cancer of the Liver Italian Program (CLIP), Taipei Integrated Scoring System (TIS), Tumor-Node-Metastasis (TNM) by Liver Cancer Study Group of Japan (LCSGJ), Tokyo Score, American Joint Cancer Committee (AJCC) TNM 7th edition, and Okuda staging system (17–21). Pursuing an optimal staging system for HCC has generated a gradually upward interest over the past two decades, and this lack of consensus may stem from the heterogeneity of the underlying liver diseases and different preferences for treatment modalities worldwide (22, 23). A recent study showed that the CLIP staging system is the most stable and optimal model (24). However, none of the above staging systems include MVI status in their staging criteria.

This study aimed to investigate which staging system was the relative optimal one for HCC patients with MVI and to evaluate whether MVI was an independent risk factor in various subgroups of the eight existing staging systems, and attempt to find the basis for integrating MVI into the above staging systems.

**METHODS**

**Patients**

A retrospective study was conducted on consecutive HCC patients who underwent LR with curative intent at the First Affiliated Hospital of Wenzhou Medical University from March 2014 to March 2016 and the Eastern Hepatobiliary Surgery Hospital from February 2014 to January 2015. This study was approved by the Institutional Ethics Committees of the First Affiliated Hospital of Wenzhou Medical University and the Eastern Hepatobiliary Surgery Hospital. As patients’ identities were anonymized, the requirement for informed consent was waived by the Ethics Committees.

The inclusion criteria were patients with (I) HCC confirmed by postoperative histopathology and cytology, (II) well preserved liver function with Child-Pugh class A or B7, (III) LR with R0 status (no gross residual tumor under visual observation, and negative resection margins under microscopy), and (IV) preoperative imaging data of contrast-enhanced magnetic resonance imaging (MRI) of abdomen. The exclusion criteria were patients with (I) extrahepatic metastasis, (II) preoperative radiofrequency ablation, (III) recurrent HCC, (IV) a previous history of other malignancies, and (V) incomplete clinical data.

**Staging Systems**

Eight staging systems include BCLC, HKLC, CLIP score, TIS score, LCSGJ, Tokyo score, AJCC TNM 7th edition, and Okuda staging systems (16–21, 25). Table 1 summarizes the key characteristics of each staging system. The detailed staging criteria are presented in the supplementary material.

**Definitions**

MVI was defined as “a cancer cell nest with >50 cells in the endothelial vascular lumen under microscopy” (7). In this study, the method to detect MVI was the 7-point sampling protocol (7).
Macrotumor invasion, including portal vein tumor thrombus (PVTT) and hepatic vein tumor thrombus (HVTT), was defined as radiological evidence of tumor invasion into the major vasculatures or their main branches. Bile duct tumor thrombus (BDTT) was defined as radiological evidence of tumor invasion into the bile duct.

**Investigations and Hepatectomy**

Routine preoperative investigations included blood tests, coagulation profile, liver and kidney functions, hepatitis serology, serum alpha-fetoprotein (AFP), abdominal ultrasound, magnetic resonance imaging (MRI), and computed tomography (CT) scanning. Preoperative diagnosis of HCC was based on the criteria proposed by the AASLD (2). Hepatectomy was performed as previously described (26–28). In both surgical centers in this study, anatomical resection is the first choice for a single tumor, or multiple tumors located in a single liver segment or adjacent segments. For multiple tumors involving the right and left hemilivers, anatomical resection is used for the main tumor, while non-anatomical resection with an adequate resection margin for satellite nodules (29). For patients with an insufficient residual liver volume, non-anatomical resection is used to achieve a negative resection margin. A negative margin was defined as the lack of tumor cells on microscopic examination of the resected margins of the specimen. For patients with combined macrovascular invasion or BDTT, the tumor thrombus would be removed intraoperatively either by thrombectomy or by concomitant extrahepatic bile duct resection (30–32).

**Follow-Up**

All patients were regularly followed up in the outpatient clinic once every 1–3 months after discharge from hospital. At each follow-up visit, there were routine medical history taking, physical examination, laboratory blood tests, and abdominal ultrasonography or contrast enhanced CT/MRI. The primary end point of this study was overall survival (OS), which was defined as the time from initial hepatectomy to the date of death or the date of last follow-up. Disease-free survival (DFS) was defined as the time from hepatic resection to the diagnosis of tumor recurrence.

**Statistics**

All clinical data were analyzed using SPSS version 25.0 (SPSS Inc., Chicago, IL, USA) or R 4.0 software (http://www.r-project.org/). Survival curves were generated using the Kaplan-Meier method and compared using the log-rank test. Univariate Cox regression analysis was used to evaluate the potential significance of each variable in the entire cohort. All variables that were significantly related to OS (p<0.05) were incorporated into the multivariate Cox regression analysis (backward stepwise selection process, p<0.05). Corrected Akaike information criterion (AICc) was obtained to reveal how staging systems measured by Wald $\chi^2$ test to assess the differences in survival of patients in the same stage within each system (33).

**RESULTS**

**Patient Characteristics and Overall Survival**

Of 1,198 patients at the First Affiliated Hospital of Wenzhou Medical University and the Eastern Hepatobiliary Surgery Hospital with complete clinicopathological and follow-up data, there were 510 (42.6%) patients with MVI and 688 (58.4%) patients without MVI. Table 2 summarizes the clinicopathological features of these patients. The median age was 51 years, with the majority of male (83%). Nine hundred ninety-four (83%) patients were HBV positive, 18 (2%) patients had BDTT, and 110 (9%) patients had macrovascular invasion. There were 114 (10%) patients who received neoadjuvant transcatheter arterial chemoembolization (TACE) and 507 (42%) patients who underwent adjuvant TACE. There were some differences at baseline between patients with MVI and those without MVI (Supplemental Table 1).

**Baseline Predictors of Survival**

Univariate regression analysis revealed that sex, antiviral therapy, current smoking, ascites, albumin, alanine aminotransferase (ALT), prealbumin, AFP, varicose veins of gastric fundus, BDTT, macrovascular invasion, MVI, tumor number, and maximal tumor diameter were potential risk factors of survival in HCC patients (Table 3). Multivariate regression analysis of

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**TABLE 1 | Indicators of the eight staging systems.**

| Indicator | ECOG PS | Child-Pugh | Tumor size | Tumor number | Bilirubin | Portal hypertension | Macrovascular invasion | Extrahepatic metastases | APF | Albumin | Ascites |
|-----------|---------|------------|------------|--------------|-----------|-------------------|----------------------|-----------------------|-----|---------|---------|
| BCLC      | ✓       | ✓          | ✓          | ✓            | ✓         | ✓                 | ✓                    | ✓                     | ✓   | ✓       | ✓       |
| HKLC      | ✓       | ✓          | ✓          | ✓            | ✓         | ✓                 | ✓                    | ✓                     | ✓   | ✓       | ✓       |
| CLIP score| ✓ Volume | ✓ Volume   | ✓          | ✓            | ✓         | ✓                 | ✓                    | ✓                     | ✓   | ✓       | ✓       |
| TIS score | ✓ Volume | ✓ Volume   | ✓          | ✓            | ✓         | ✓                 | ✓                    | ✓                     | ✓   | ✓       | ✓       |
| LCSGJ     | ✓✓      | ✓✓         | ✓          | ✓            | ✓         | ✓                 | ✓                    | ✓                     | ✓   | ✓       | ✓       |
| Tokyo score| ✓       | ✓          | ✓          | ✓            | ✓         | ✓                 | ✓                    | ✓                     | ✓   | ✓       | ✓       |
| AJCC TNM  | ✓●      | ✓●         | ✓●         | ✓●           | ✓●        | ✓●                | ✓●                   | ✓●                    | ✓●  | ✓●      | ✓●      |
| 7th       | ✓       | ✓          | ✓          | ✓            | ✓         | ✓                 | ✓                    | ✓                     | ✓   | ✓       | ✓       |
| Okuda     | ✓ Volume | ✓ Volume   | ✓          | ✓            | ✓         | ✓                 | ✓                    | ✓                     | ✓   | ✓       | ✓       |

BCLC, Barcelona Clinic Liver Cancer; HKLC, Hong Kong Liver Cancer; CLIP, Cancer of the Liver Italian Program; TIS, Taipei Integrated Scoring System; LCSGJ, Liver Cancer Study Group of Japan; AJCC, American Joint Cancer Committee; TNM, Tumor-Node-Metastasis.
TABLE 2 | Demographic and clinical information of the entire hepatocellular carcinoma cohort.

| Variables                                                      | All patients (n=1,198) |
|---------------------------------------------------------------|------------------------|
| Age (years, median [interquartile range])                     | 51 (18–83)             |
| Sex (male/female), n (%)                                       | 994/204 (83/17)        |
| HBsAg (positive/negative), n (%)                              | 994/204 (83/17)        |
| Antiviral therapy (yes/no), n (%)                             | 118/1,080 (10/90)      |
| Alcoholism (yes/no), n (%)                                     | 320/678 (27/73)        |
| Current smoking (yes/no), n (%)                                | 418/780 (55/65)        |
| Diabetes mellitus (yes/no), n (%)                              | 90/1,108 (8/92)        |
| Ascites (present/absent), n (%)                                | 114/1,084 (10/90)      |
| Albumin (g/dl), mean ± SD                                     | 41.3 ± 4.8             |
| Bilirubin (mg/dl), mean ± SD                                  | 1.0 ± 2.2              |
| Alanine aminotransferase (U/L), mean ± SD                     | 158.4 ± 71.4           |
| Prealbumin (mg/L), mean ± SD                                  | 520/312/384 (42/28/32) |
| Creatinine (mg/dl), mean ± SD                                 | 59/1,139 (5/95)        |
| Platelet (10^9/L), mean ± SD                                  | 798/400 (67/33)        |
| Alpha-fetoprotein (ng/ml, ≤20/20–400/>400), n (%)             | 158/1,040 (13/87)      |
| Hilar occlusion time (>30/30 min), n (%)                       | 110/1,088 (9/91)       |
| Surgical margin (wide/narrow), n (%)                          | 510/688 (43/57)        |
| Varicose veins of gastric fundus (yes/no), n (%)              | 804/394 (67/33)        |
| BDTT (yes/no), n (%)                                          | 1,066/114/18 (89/10/1) |
| Macrovascular invasion (yes/no), n (%)                         | 118/540/540 (10/45/45) |
| MVI (yes/no), n (%)                                           | 114/1,084 (10/90)      |
| Liver cirrhosis (yes/no), n (%)                               | 507/691 (42/58)        |

HR, hazard ratio; CI, confidence interval; HBsAg, hepatitis B surface antigen; min, minutes; BDTT, bile duct tumor thrombus; MVI microvascular invasion; TACE, transcatheter arterial chemoembolization.

Macrovascular invasion including portal vein tumor thrombus (PVTT) and hepatic vein tumor thrombus (HVTT).

these factors showed that current smoking, albumin, prealbumin, AFP, varicose veins of gastric fundus, BDTT, macrovascular invasion, MVI, tumor number, and maximal tumor diameter as independent risk factors of survival of patients with HCC.

Prognostic Performance of the Eight Staging Systems

The eight common HCC staging systems were evaluated respectively with Kaplan-Meier survival analysis. In the entire cohort, significant differences in survival distribution were observed for all stages of BCLC, HKLC, CLIP score, TIS score, LCSGJ, Tokyo score, TNM, and Okuda staging system (p<0.05). There were no significant survival differences between CLIP scores 2 and 3 (p = 0.441), and between TIS scores 3 and 4 (p = 0.135) (Figure 1). The role of CLIP score, TIS score, and Tokyo score in discriminating DFS was limited (Supplementary Figure 1). In the MVI cohort, significant differences in survival distribution were also found for all stages of BCLC, HKLC, CLIP score, TIS score, LCSGJ, Tokyo score, TNM, and Okuda staging system (p<0.05). There were no significant survival differences between BCLC stages B and C (p=0.161), CLIP scores 2 and 3 (p=0.083), TIS scores 0 and 1 (p=0.227), TIS scores 2 and 3 (p=0.794), Tokyo scores 3 and 4 (p=0.353), and TNM stages I and II (p=0.151) (Figure 2). The discrimination ability for DFS of the eight staging systems in patients with MVI is detailed in Supplementary Figure 2.

The prognostic performance of the eight staging systems is shown in Table 4. In all patient cohorts, the HKLC system provided the lowest AICc value and the highest homogeneity, followed by the TIS and BCLC system. In the MVI cohorts, the HKLC was consistently associated with the lowest AICc value and the highest homogeneity.

The Prognostic Value of MVI in Subgroups

In BCLC staging system, there was no significant difference in survival between patients with and without MVI in stages 0 (p=0.75) and B (p=0.1), and patients with MVI had worse survival in stage A (p<0.001). Notably, we detected MVI in all patients with macrovascular invasion. In HKLC staging system, patients with MVI had worse survival in stages I and II (p<0.001). In CLIP scoring system, there was no significant difference in score 2 (p=0.17), and patients with MVI had worse survival in scores 0, 1, and 3 (p<0.05) (Supplementary Figure 3). In TIS scoring system, there was no significant difference in scores 1 (p=0.62) and 3 (p=0.28), and patients with MVI had worse survival in scores 0, 2, and 4 (p<0.05). In LCSGJ staging system, there was no significant difference in stage I (p=0.78), and patients with MVI had worse survival in stages II and III (p<0.001) (Supplementary Figure 4). In Tokyo scoring system, there was no significant difference in scores 0 (p=0.12) and 4 (p=0.56), and patients with MVI had worse survival in scores 1, 2, and 3 (p<0.001). In TNM staging system, there was no significant difference in stage II (p=0.087), and patients with MVI had worse survival in stages I and III (p<0.05) (Supplementary Figure 5). And in Okuda staging system, patients with MVI had worse survival for stages I and II (p<0.001) (Supplementary Figure 6).
We adjusted the effect of MVI on overall survival in all subgroups. The adjusted HR was calculated by multivariable COX regression model with covariates listed in Supplementary Table 1. There was no significant difference in CLIP score 0 (adjusted HR 1.273, 95% CI 0.942–1.720, p=0.116), while patients with MVI had worse survival in CLIP scores 2 (adjusted HR 1.868, 95% CI 1.111–3.141, p=0.018) and TIS score 1 (adjusted HR 1.675, 95% CI 1.105–2.539, p=0.015).

**DISCUSSION**

MVI is one of the most important prognostic factors in patients with HCC (8–12). At present, there are many models to predict the occurrence of MVI before operation and to assess the prognosis of MVI patients (26, 34, 35). The predictors in these models include tumor diameter, tumor number, and other factors that have also existed in the current HCC staging systems. Therefore, whether the current HCC staging systems are able to distinguish the prognosis of MVI patients and whether the prognostic significance of MVI in different subgroups of HCC staging systems are worth exploring. In this study, we collected data of HCC patients who underwent radical surgery from two high-volume clinical centers. Possible prognostic factors were examined, and eight staging systems were evaluated. We confirmed that the key prognostic factors of HCC include current smoking, albumin, prealbumin, AFP, varicose veins of gastric fundus, BDTT, macrovascular invasion, MVI, tumor number, and maximal tumor diameter. We also demonstrated that among the eight staging systems currently used, HKLC is the best prognostic model and provides a better prognostic prediction ability. The results were consistent in the MVI cohort.

In this study, important prognostic factors for HCC were identified. There is no doubt that patients’ bad living habits, such as smoking, will reduce their survival (36, 37). Albumin and prealbumin levels are closely related to the severity of liver cirrhosis, and it is not surprising that they can predict adverse outcomes in patients with HCC (24). Alpha-fetoprotein level, macrovascular invasion, MVI, and tumor load have been considered as important prognostic indexes (38, 39). Consistent with our previous studies, our results showed that gastric varices and BDTT reflect poor survival outcomes in HCC patients (26, 40). Using Kaplan-Meier survival analysis, we showed that all eight HCC staging systems were associated with a trend of gradually decreasing survival from early to advanced stages.
FIGURE 1 | Comparison of overall survival distributions by (A) Barcelona Clinic Liver Cancer, (B) Hong Kong Liver Cancer, (C) Cancer of the Liver Italian Program, (D) Taipei Integrated Scoring, (E) Tumor-Node-Metastasis by Liver Cancer Study Group of Japan, (F) Tokyo, (G) Tumor-Node-Metastasis by American Joint Cancer Committee 7th edition, and (H) Okuda staging systems in the entire cohort.
FIGURE 2 | Comparison of overall survival distributions by (A) Barcelona Clinic Liver Cancer, (B) Hong Kong Liver Cancer, (C) Cancer of the Liver Italian Program, (D) Taipei Integrated Scoring, (E) Tumor-Node-Metastasis by Liver Cancer Study Group of Japan, (F) Tokyo, (G) Tumor-Node-Metastasis by American Joint Cancer Committee 7th edition, and (H) Okuda staging systems in the microvascular invasion (MVI) cohort.
The survival difference was obvious for each system in the whole cohort. However, the survival difference was insignificant between CLIP score 2/3, TIS score 3/4, which may be related to the fact that our included patients all underwent LR, and most patients were subject to HBV infection. In the MVI cohort, there were no significant survival differences among BCLC stage B/C, CLIP score 2/3, TIS score 0/1, TIS score 2/3, Tokyo score 2/3, and TNM 7th stage I/II, which may be attributed to the fact that MVI decreased overall patient survival, and the prognostic value of MVI differed among the various subgroups.

In subgroup analysis, we found that patients in BCLC stages 0 and B, CLIP score 0, TIS scores 3, LCSGJ stage I, Tokyo scores 0 and 4, and TNM 7th stage II, with or without MVI, had no significant impact on patients’ survival. To the best of our knowledge, this phenomenon of different prognostic significance of MVI among various subgroups has been previously documented. Huang et al. reported that the clinical value of microvascular invasion in HCC patients at BCLC stage 0 or B was limited (12). Different surgical approaches and various resection ranges of patients may markedly affect the MVI status in the residual liver, and there are some other clinical factors that will affect the prognosis of HCC patients at different stages. Chan et al. refined the seventh edition of AJCC TNM staging and incorporated MVI status into the T staging, and the updated T staging can better stratify HCC patients into subsets with distinct long-term prognosis (41). In addition, the TNM staging of the eighth edition AJCC describes vascular invasion as “For pathological classification, vascular invasion includes gross as well as microscopic involvement of vessels.” These data indicate that MVI can influence the accuracy of the existing HCC staging systems, and imply that the modification of the existing HCC staging system is imperative.

Several limitations of this study must be acknowledged. First, this is a retrospective study with its inherent defects. Second, because MVI can only be diagnosed postoperatively, it is not allowed to discuss this for patients who are not candidates for surgery. Third, the used method to diagnose MVI was the 7-point sampling protocol, which can lead to under-diagnosis of MVI. Fourth, this study was conducted in China, where HBV infection rate is high, and HBV is associated with a high incidence of MVI (42–45). The results of this study may not be applicable to HCC patients with other etiologic factors.

In summary, our results suggested that the HKLC staging system is the most accurate prognostic model among the eight commonly used HCC staging systems. In each subgroup of the staging systems, although MVI showed different prognostic value, it generally exhibited poor survival outcomes. At the same time, our results showed that MVI may be needed to be incorporated into the current HCC staging systems as one of the grading criteria.

**DATA AVAILABILITY STATEMENT**

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

**ETHICS STATEMENT**

The studies involving human participants were reviewed and approved by the Institutional Ethics Committees of the First Affiliated Hospital of Wenzhou Medical University and the Eastern Hepatobiliary Surgery Hospital. Written informed consent for participation was not required for this study in accordance with the national legislation and the institutional requirements.

**AUTHORS CONTRIBUTIONS**

Conception and Design: S-QC, Y-FS, Y-JX, and KW. Financial Support: S-QC Y-FS, and KW. Provision of Study Materials or Patients: Y-JX, KW, Y-TZ, and H-MY. Collection and Assembly of Data: Y-JX, KW, Y-QC, and W-JW. Data Analysis and Interpretation: Y-JX, KW, and Y-TZ. Manuscript Writing: All authors. Final Approval of Manuscript: All authors. All authors contributed to the article and approved the submitted version.

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**SUPPLEMENTARY MATERIAL**

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fonc.2021.726569/full#supplementary-material

**Supplementary Figure 1** | Comparison of disease-free survival distributions by (A) Barcelona Clinic Liver Cancer, (B) Hong Kong Liver Cancer, (C) Cancer of the Liver Italian Program, (D) Taipei Integrated Scoring, (E) Tumor-Node-Metastasis by Liver Cancer Study Group of Japan, (F) Tokyo, (G) Tumor-Node-Metastasis by American Joint Cancer Committee 7th edition, and (H) Okuda staging systems in the entire cohort.

**Supplementary Figure 2** | Comparison of disease-free survival distributions by (A) Barcelona Clinic Liver Cancer, (B) Hong Kong Liver Cancer, (C) Cancer of the Liver Italian Program, (D) Taipei Integrated Scoring, (E) Tumor-Node-Metastasis by Liver Cancer Study Group of Japan, (F) Tokyo, (G) Tumor-Node-Metastasis by American Joint Cancer Committee 7th edition, and (H) Okuda staging systems in the microvascular invasion (MI) cohort.

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