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Publication Date
2012

DOI
10.4137/cmo.s7999

Peer reviewed
Clinical Medicine Insights: Oncology

REVIEW

Vandetanib for the Treatment of Metastatic Medullary Thyroid Cancer

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Abstract: Medullary thyroid cancer (MTC) represents an aggressive form of thyroid malignancy. Some may occur spontaneously or can be associated with Multiple Endocrine Neoplasia syndromes, or Familial Medullary Thyroid Cancer syndrome. In these patients, the protooncogene RET (rearranged during transfection) is mutated. In patients who have unresectable or metastatic disease, the long term prognosis is poor. New treatments for this disease have focused on the use of targeted agents that inhibit the receptor tyrosine kinase of RET. One of these treatments, Vandetanib (Caprelsa, Astra Zeneca), recently has received approval from the Food and Drug Administration for the treatment of patients with progressive locally advanced and/or metastatic disease. This review highlights the studies that led to the drug’s approval, and discusses on the potential financial costs of treatment and side effects of this therapy. The main clinical studies evaluating Vandetanib for the treatment of other solid tumors will also be reviewed.

Keywords: Vandetanib, medullary thyroid cancer, RET

Clinical Medicine Insights: Oncology 2012:6 243–252
doi: 10.4137/CMO.S7999

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Introduction

Medullary thyroid cancer (MTC) account for 5% to 8% of thyroid carcinomas and arise from the calcitonin producing parafollicular cells (C cells).\(^1\) MTC is often asymptomatic when localized and as a result, 50% of patients have unresectable disease at the time of diagnosis. The hypercalcitonism typically seen with a larger burden of metastatic or locally advanced disease can result in systemic symptoms such as diarrhea, bone pain, or flushing. The prognosis for these patients remains poor, with only 40% of the patients alive after 10 years. Conversely, when the tumor is confined to the thyroid gland, the 10 year survival rate is approximately 95%.\(^2\) Conventional cytotoxic chemotherapy regimens as well as radiation therapy have been used in the treatment of unresectable MTC with limited success; unfortunately, they do not prolong survival.\(^5\)–\(^7\) The gap in prognosis between localized and metastatic disease emphasizes the importance of early detection and the necessity of finding new therapeutic agents for advanced MTC.

Over the past decades, significant progress has been made in the understanding of MTC’s pathogenesis. Indeed, it has been shown that the proto-oncogene RET (Rearranged During Transfection), located on chromosome 10, is responsible for the development of both familial medullary thyroid cancer (FMTC) and sporadic MTC. RET mutations are observed in both sporadic MTC and FMTC. MTC can also be associated with other endocrine tumors, such as pheochromocytomas and primary hyperparathyroidism. These disorders, called the Multiple Endocrine Neoplasia Syndromes (MEN 2A and MEN 2B), explain the remaining 65% of hereditary MTC, with respectively, 55% and 10% of the cases.\(^8\)

The understanding of MTC’s biology uncovered a possible role for targeted therapies in this malignancy. The recently approved small molecule Vandetanib, which targets the RET, Epidermal growth factor (EGF) and Vascular endothelial growth factor (VEGF) receptors, is a new therapeutic option for advanced MTC where classic chemotherapy regimens and radiation therapy are ineffective. This review will discuss recurrent molecular alterations observed in MTC and the means to target them. We will discuss the clinical studies that led to Vandetanib approval for MTC. Vandetanib’s effectiveness, side effects, and cost will be analyzed. The main clinical studies evaluating Vandetanib for the treatment other solid tumors will also be reviewed.

The RET, VEGF-R and EGF-R Pathways in MTC

The RET (rearranged during transfection) proto-oncogene

The RET proto-oncogene codes for a tyrosine-kinase receptor (TKR). These glycoproteins, including RET, receive extra-cellular signals and form homo- or hetero-dimers in response to binding of extracellular ligands. Their activation can lead to various cellular processes, such as differentiation, proliferation, apoptosis, or cell motility, depending on the ligand and receptor involved.\(^8\) The RET proto-oncogene encodes a receptor tyrosine kinase that is activated by the glial cell line-derived neurotrophic factor (GDNF) family. GDNF forms a complex with glycosylphosphatidylinositol (GPI)-anchored co-receptor, which links two RET proteins that initiate autophosphorylation of specific tyrosine residues within the tyrosine kinase domain of each RET molecule.\(^10\) Different pathways, including RAS-MAPK and PI3K-AKT, are activated downstream from the RET receptor and contribute to cell survival and proliferation (Fig. 1). RET proto-oncogene was first described in papillary thyroid cancer (PTC) where translocations involving the RET gene give rise to an aberrant fusion oncoprotein (RET/PTC), leading to constitutive activation of the RET receptor.\(^11\) Different point mutations increasing RET receptor TKR activity subsequently have been described. These gain of function mutations are observed in MTC, FMTC, MEN 2A, MEN 2B and a subset of sporadic pheochromocytomas.\(^12\)\(^,\)\(^13\) Conversely, RET loss of function mutations are associated with Hirschprung’s disease.\(^14\)

The VEGF-R pathway

Tumors require constant nutrient and oxygen supply. Consequently, they develop a neo-vasculature through a process called angiogenesis, particularly when they are growing rapidly. In contrast with physiologic situations such as wound repair, this process is deregulated in human malignancies in the form of constant activation. The most important angiogenesis stimulating pathway is VEGF signaling, where VEGF-A binds the VEGF tyrosine kinase receptors 1, 2 or 3...
(VEGFR-1-3) leading to proliferation, survival, and migration of endothelial cells.15 A recent study showed that VEGF-A, VEGFR-1 and VEGFR-2 are overexpressed in more than 90% of MTC. No correlation between VEGF-A expression and extent of disease was found. The only prognostic factor related to vasculature identified by the investigators was microvessel density (MVD). Indeed, MVD was associated with poor prognosis, and all the patients with high MVD died.16 Another study showed that in MTC, the expression of VEGFR-2 is significantly higher in metastases compared to primary tumors.17

The EGFR (Epidermal Growth Factor Receptor) pathway

EGFR is a tyrosine kinase cell surface receptor activated by epidermal growth factor (EGF) and transforming growth factor alpha (TGFα). Upon stimulation by these ligands, the receptor forms a homodimer or a heterodimer with another member of the EGFR family, allowing auto-phosphorylation of several tyrosine residues of the receptor which in turns leads to activation of the downstream MAPK, AKT and JAK pathways involved in cell proliferation, migration, and adhesion (Fig. 1).18 Mutations and overexpression of EGFR are observed in numerous malignancies, including lung cancer,19 glioblastoma multiforme,20 anaplastic thyroid cancer,21 papillary thyroid cancer22 and MTC. Indeed, a recent study showed that 13% of MTC overexpress EGFR. Strikingly, 35% of the metastases tested displayed overexpression of EGFR, suggesting that this signaling pathway might play an important role in advanced disease.17

The small molecule Vandetanib targets the RET, EGF and VEGF receptors

RET mutations are believed to be the primary oncogenic event in a majority of MTC. Sustained angiogenesis is presumed to contribute to the pathogenesis of MTC. In addition, EGFR is specifically overexpressed in advanced MTC. Therefore, it seems reasonable to postulate that blocking these pathways might reverse cell growth and proliferation. The small molecule Vandetanib was shown to block MTC cell lines proliferation in vitro by blocking RET activity.23 Interestingly, upon RET inhibition, the proliferative capacity of MTC cell lines can be rescued, in part, by EGFR stimulation. This emphasizes the necessity to block these pathways simultaneously.24 Vandetanib competes with ATP binding in the catalytic domain of several tyrosine kinases. In vitro assays showed that Vandetanib is a potent inhibitor of VEGFR-2, VEGFR-3, EGFR, and RET kinases. This activity profile made it an optimal choice for evaluation in clinical trials.25

Pharmacokinetics and pharmacodynamics of Vandetanib

The two first phase I studies showed that absorption and elimination of Vandetanib after a single oral dose of 300 mg was slow: the time to maximum concentration ranged from 4 to 7.5 hours and the
terminal half-life was approximately 90–120 hours. Steady-state plasma concentrations were obtained after 1 month of daily dosing.26,27 Other phase I studies were subsequently conducted with healthy volunteers to further characterize pharmacokinetic parameters such as metabolism and excretion. One study found a longer terminal half-life of approximately 10 days, compared with the 4 or 5 days of the previous studies. The absorption of Vandetanib was only slightly reduced by concomitant ingestion of food. Unchanged Vandetanib and three metabolites (N-desmethylvandetanib, vandetanib N-oxyde and glucuronide conjugate of vandetanib) were detected in plasma, urine and feces.28 In vitro studies showed that Vandetanib is converted into N-desmethylvandetanib by the CYP P450 3A4 and it has subsequently been demonstrated that Vandetanib exposure can be modified when administrated in combination with CYP 3A4 inducers or inhibitors.29 Approximately one half (44%) and one fourth (25%) of the Vandetanib dose was recovered over 21 days, in respectively, feces and urine, suggesting that these two routes might play an important role in Vandetanib elimination. Vandetanib exposure is increased in patients with renal impairment whereas exposure seems unchanged in patients with hepatic impairment.30 Recombinant enzyme assays showed that Vandetanib is a potent inhibitor of VEGFR-2 (IC(50) = 40 nM), VEGFR-3 (IC(50) = 110 nM), EGFR (IC(50) = 500 nM) and RET (IC(50) = 130 nM) and that the selectivity for these receptors was excellent.31

Phase I studies
In a first phase I study, 77 patients were enrolled in the USA and Australia. The patients all had solid tumors refractory to treatment, or had a cancer with no established treatment. They received a single dose of the drug, ranging from 50 mg to 600 mg, followed by 7 days of observation. After the observation period, they received the same dose once a day for 28 days until progression or dose-limiting toxicity. The most common drug related adverse events were diarrhea, rash, nausea, hypertension, and fatigue. Seven patients experienced an asymptomatic QTc interval prolongation. At 500 and 600 mg, three and seven out of eight patients respectively, experienced dose-limiting toxicities. Thus, 300 mg was the highest dose used during the cohort expansion phase of the study.26 A second phase I study was conducted in Japan with 18 patients. The dose-limiting toxicities were hypertension, diarrhea, headache, and toxic skin eruption. Again, 300 mg was identified to be the recommended dose. This study showed an objective response in some patients with non-small cell lung carcinoma.27 A phase I study was recently conducted in China and enrolled 36 patients with solid malignant tumors, mostly lung cancers. The patients received three different doses of Vandetanib (100 mg every other day, 100 mg daily or 300 mg daily) until disease progression or discontinuation of the study. Again, the most common drug-related side effects were rash and diarrhea, with respectively 42% and 39% of the patients. Three patients with MTC were included in this study. Interestingly, one of these patients, treated with 300 mg daily, was the only patient of the whole study who had an objective partial response. The investigators compared the pharmacokinetic data with the previous phase I studies and concluded that there was no marked difference in pharmacokinetics among Chinese, Japanese and Western patients.32

Phase II studies: Vandetanib in MTC
A first multicenter phase II study was initiated in November 2004 and enrolled patients with unresectable locally advanced or metastatic hereditary MTC until August 2006. Thirty patients received 300 mg Vandetanib a day and were assessed for objective tumor response following the Response Evaluation Criteria in Solid Tumors (RECIST). Secondary end points included progression-free survival (PFS), duration of response, disease control, safety and tolerability as well as changes in serum levels of MTC biomarkers. Determination of response was assessed by computed tomography (CT) and magnetic resonance imaging (MRI) obtained initially and after 12 week-intervals during treatment. Durable stable disease (>24 weeks) was observed in 16 out of 30 patients (53%). Partial responses were reported in six patients (20%). Thus, 22 patients (73%) had disease control on Vandetanib. Notably, 25 patients (83%) experienced some reduction in tumor size during treatment. The median duration of response (from first response to progression or death) was 10.2 months (range, 1.9–16.9). The median progression-free survival was 27.9 months. Six patients had stable disease for less than 24 weeks, one patient had progressive
disease, and one patient was not evaluable because of impaired renal function. Serum tumor markers also were analyzed; the criteria for partial response was a decrease from baseline calcitonin and carcinoembryonic antigen (CEA) level. Decrease of baseline calcitonin and CEA was observed in 24 patients (80%) and 16 patients (53%), respectively. All patients with a partial response (n = 6) on imaging studies had a decrease (range, 73% to 99%) in serum calcitonin levels, and 4 partial responders had a marked decrease in CEA serum levels (range, 82% to 91%). At the time of data cutoff (February 22, 2008), 17 patients were still on treatment. Regarding safety and tolerability, 7 patients (23%) discontinued the treatment. Five out of 7 discontinuations were attributed to Vandetanib-related adverse events, including QTc prolongation, rash, nausea, and hemorrhagic diarrhea. In the whole cohort, the most common adverse events reported were diarrhea (70%), rash (20%), fatigue (19%), and nausea (19%).

A second phase II study enrolled 19 patients with comparable eligibility criteria and the same primary endpoint (RECIST response criteria). However, a lower dose (100 mg) was used in this study, with escalation possibility to 300 mg upon disease progression. Objective partial responses were seen in 16% of patients, and stable disease in 53% of patients. Consequently, disease control was seen in 68% of all patients. The adverse effects were similar to the previous studies.

### Phase III studies: randomized placebo-controlled trial

The promising results observed in phase II studies encouraged a large double-blind, randomized, phase III study. A total of 331 patients were enrolled between December 2006 and November 2007 and randomly assigned to receive 300 mg of Vandetanib or placebo (2:1 ratio). The eligibility criteria included metastatic or locally advanced unresectable disease, a performance status between 0 and 2 according to World Health Organization (WHO) classification, and serum calcitonin levels ≥500 pg/mL. Exclusion criteria were other severe medical conditions or recent chemotherapy or radiation-therapy (less than 4 weeks before randomization). The data cutoff occurred in July 2009 (median follow-up 24 months). The primary endpoint was progression-free survival (PFS) determined by the RECIST criteria. In this cohort, a large majority of patients had sporadic (90%) and metastatic (95%) MTC. 59% of the patients receiving Vandetanib had a confirmed RET mutation, and 40% had an unknown RET status. The primary endpoint was met: at 6 months, 83% of the patients on Vandetanib had progression-free survival versus 63% in the placebo group. The median progression-free survival was 19.3 in the placebo group and had not been reached at data cut-off in the Vandetanib group. Weibull models indicate a predicted median progression-free survival of 30.5 months on treatment.

In the Vandetanib group, the secondary efficacy endpoints showed an objective response rate in 45% of patients, a disease control rate in 87% of patients, and calcitonin and CEA biochemical response rates of 69% and 52% of patients, respectively. The overall survival was immature at data cutoff. However, there was no significant survival difference between the two groups during the early follow-up. More precise data on survival will be available once 50% of the patients have died. The adverse events were similar to those observed in the phase II studies. However, 5 patients on Vandetanib had adverse events leading to death, including arrhythmia and acute heart failure. Vandetanib was approved by the Food and Drug Administration (FDA) on April 6, 2011 and became the first systemic agent to be approved for locally advanced or metastatic MTC in the United States. It is commercialized by AstraZeneca under the Caprelsa® brand name in the United States. Figure 2 summarizes and compares the main results of the phase II and III studies. 

### Safety and tolerability of Vandetanib

The clinical studies leading to Vandetanib approval showed an acceptable safety and tolerability profile with a majority of the adverse events manageable with supportive therapy or dose reduction. However adverse events such as rash, diarrhea and nausea were commonly observed even at the lowest dosage. The Table 1 summarizes the most common adverse events observed in the clinical studies leading to Vandetanib approval. The phase III study uncovered potentially life threatening adverse events. Protocol defined QTc prolongation was observed in 8% of the patients on treatment but no torsades de pointes were reported. Five out of the 231 patients on Van-
Overview of the phase II and III studies

Table 1. Summary of adverse events observed in the main clinical studies leading to Vandetanib approval.

|                          | Phase I, Holden et al 50–600 mg | Phase I, Tamura et al 100–400 mg | Phase II, Wells et al 300 mg | Phase III, Wells et al 300 mg | Phase III, Wells et al Placebo |
|--------------------------|---------------------------------|---------------------------------|-----------------------------|-----------------------------|-----------------------------|
| Diarrhea (%)             | 38                              | 61                              | 70                          | 56                          | 26                          |
| Rash (%)                 | 34                              | 72                              | 67                          | 45                          | 11                          |
| Nausea (%)               | 19                              | 22                              | 63                          | 33                          | 16                          |
| Hypertension (%)         | 18                              | 39                              | 33                          | 32                          | 5                           |
| Fatigue (%)              | 18                              | 44                              | 63                          | 24                          | 23                          |
| ECG QTc prolongation (%) | 9                               | 67                              | 20                          | 14                          | 1                           |
| Adverse events leading to death (n) | 0                              | 0                              | 0                           | 5                           | 0                           |

Vandetanib experienced adverse events leading to death including aspiration pneumonia, respiratory arrest, respiratory failure, staphylococcal sepsis, arrhythmia and acute cardiac failure. Recently, a meta-analysis of 9 phase II or phase III studies evaluated the incidence of QTc prolongation in 2188 cancer patients treated with 300 mg Vandetanib a day. The overall incidence of all grade and high-grade QTc prolongation was respectively, 18% and 12% among thyroid cancer patients. The incidence was significantly higher in patients treated for thyroid cancer compared to other solid tumors, probably because of the longer treatment duration or as a result of abnormal thyroid function which can increase the susceptibility to acquire prolonged QTc intervals. Another meta-analysis evaluated the rash incidence in 2961 patients treated with 300 mg Vandetanib a day and found that the overall incidence of all-grade and high-grade rash were 46.1% and 3.5%, respectively. Other rare adverse events related to Vandetanib have been described in the literature such as Stevens-Johnson syndrome or ischemic cerebrovascular events. Due to the frequent and potentially life threatening adverse events a strategy called Vandetanib Risk Evaluation Mitigation Strategy (REMS) which allows prescription and distribution of the drug only by physicians and pharmacies certified by the REMS program, has been developed. Indeed, to prescribe Caprelsa® physicians need to complete a prescriber training, review the Caprelsa® education pamphlet and the full.
prescribing information and to be re-trained following substantive changes in the Caprelsa® REMS. The Caprelsa® enrollment form emphasizes that electrolytes should be closely monitored while on treatment, that drugs prolonging the QT interval should be avoided and that ECGs must be obtained periodically. If patients develop a QTc greater than 500 ms the treatment should be discontinued until the QTc returns to less than 450 ms and subsequently resumed at a lower dose. For additional safety, Caprelsa® is only dispensed by certified pharmacies which meet the Caprelsa® REMS requirements such as employee education on risks and provide medication guides to patients.42

Clinical utility of Vandetanib in the management of advanced MTC and cost considerations

All the previous studies evaluating classic chemotherapy regimens or radiation therapy failed to demonstrate significant objective responses in patients with advanced MTC. Accordingly, the results of the phase II and III studies are unique and encouraging. The disease can demonstrate an indolent course in many patients, some of whom may remain asymptomatic with stable disease for many years. The long-term survival rates for patients on Vandetanib versus placebo will need to be collected, and these will help to inform practice guidelines for locally advanced and metastatic medullary thyroid cancer going forward. The potentially serious cardiac side effects and cost of the treatment cannot be overlooked. A study conducted in the Netherlands analyzed the use and costs of oral anticancer agents between 2000 and 2008 and showed a 50-fold rise in costs of oral anticancer agents between 2000 and 2008; 67% of this rise was attributable to tyrosine kinase inhibitors (TKIs).43 One of the most effective tyrosine kinase inhibitors, imatinib, has been evaluated in many pharmacoeconomic studies. A summary of 6 such trials, found the medication to be cost effective in the treatment of gastro-intestinal stromal tumors (GIST) and also noted the cost effectiveness of second line treatment with sunitinib in resistant patients.44 MTC however is different from GIST as it is a much more indolent disease. The 1 year survival of patients with metastatic GIST, is increased from 32% to 95% with the addition of imatinib.44 MTC patients on the contrary often are asymptomatic and productive members of society. Since 1 month of Vandatinib treatment costs approximately $5–10,000, it will be crucial in future studies to identify the patients that are the most likely to benefit from the treatment as well as the correct time to begin therapy. It is probable that cost effective treatment for metastatic patients will only be seen in those who have a life expectancy of a limited number of months or years. However, it is also conceivable that Vandetanib can be used in a ‘neoadjuvant’ setting to convert patients with locally advanced disease to an operable status, or that it can be used in tandem with other agents under study in order to maximize clinical benefit, delaying the need for other supportive services and treatments and possibly reducing longer term costs. Moreover, a key issue will be to evaluate in detail whether Vandetanib contributes to enhanced control of pain and improvement of quality of life among patients with advanced MTC.

Vandetanib in the treatment of other malignancies

RET-PTC rearrangements are commonly found in patients with papillary thyroid cancer and Vandetanib has shown activity against this aberrant receptor on papillary cancer cell lines.45 Furthermore, differentiated thyroid tumors are highly vascular and might consequently respond to anti-angiogenic treatments. A phase II study was conducted in patients with locally advanced or metastatic differentiated (papillary or follicular) thyroid carcinoma. This study enrolled 145 subjects after radioiodine failure or radioiodine contraindication; 72 received Vandetanib and 73 received placebo. Remarkably, the PFS was significantly prolonged in the Vandetanib group versus placebo with 11 months and 5.8 months, respectively. However, there was no statistically difference in overall survival, disease control rate and overall response rate.46 Some objective responses were seen in patients with non-small cell lung carcinoma (NSCLC) in phase I studies. The EGFR pathway is commonly involved in NSCLC development and EGFR inhibitors such as Erlotinib and Gefitinib are used in selected patients with NSCLC. It has been proposed that resistance to these treatments might be a consequence of increased VEGF expression and signaling.47 Moreover, it has been shown in xenografts models of
human NSCLC that resistance to EGFR TKIs can be reversed by Vandetanib. These results encouraged further clinical studies for previously treated NSCLC. A phase II study compared Vandetanib versus Gefitinib and showed that Vandetanib significantly prolonged PFS. However, overall survival was not significantly different. Another phase III study compared Vandetanib with Erlotinib and found no difference in PFS but Vandetanib was associated with a higher rate of adverse events. A recent study evaluated the effectiveness of Vandetanib versus placebo in patients with NSCLC after prior treatment with EGFR TKIs. No increase in overall survival was found in the Vandetanib group but the PFS and objective response rates were slightly more favorable in the treated group. The effectiveness of Vandetanib in association with Docetaxel or Pemetrexed has also been assessed in large phase III studies. The Docetaxel-Vandetanib association showed a modest prolongation of PFS whereas the Pemetrexed-Vandetanib combination failed to demonstrate any prolongation of PFS compared to Pemetrexed alone. Hence, this study showed a delay in worsening of lung cancer symptoms and significantly higher objective response rates in the patients treated with the bi-therapy.

The use of Vandetanib has also been evaluated in advanced breast cancer because the EGFR and VEGFR pathways play a role in breast cancer growth, progression and invasion. A phase II study evaluated Vandetanib 100 mg or 300 mg daily for patients with advanced metastatic breast cancer. No objective responses were seen, and only 1 patient out of the 46 enrolled had stable disease. It has been shown that Vandetanib can induce apoptosis in human breast cancer cell lines when used as a single agent or in combination with Paclitaxel. A phase II study compared the association Vandetanib-Docetaxel versus placebo-Docetaxel in pretreated patients with advanced breast cancer but the association provided no clinical benefit.

Several phase I studies for metastatic colorectal cancer were recently conducted; in a first study Vandetanib was associated with Capicitabine-Oxaliplatin and Bevacizumab was added as a fourth agent in one subgroup. The association of the two anti-angiogenic drugs resulted in severely diarrhearequiringIVhydrationin3outof4patients. Other phase I studies tested the FOLFIRI-Vandetanib or mFOLFOX6-Vandetanib associations which were generally well tolerated. Larger studies will help to determine whether the addition of Vandetanib might provide a clinical benefit in colorectal cancer.

Clinical studies evaluating Vandetanib alone or in combination have also been led in hepatocellular carcinoma, refractory prostate cancer, advanced urothelial cancer, and metastatic pancreatic adenocarcinoma. These studies showed globally a good tolerance to Vandetanib but failed to demonstrate any clinical benefit. Further studies are required to define whether Vandetanib might play a role in the management of these malignancies.

**Author Contributions**

Conceived and designed the experiments: HAD, ND. Analysed the data: HAD, ND, JAS, SR. Wrote the first draft of the manuscript: ND. Contributed to the writing of the manuscript: ND, HAD, SR, JAS. Agree with manuscript results and conclusions: HAD, ND, JAS, SR. Jointly developed the structure and arguments for the paper: HAD, ND, JAS, SR. Made critical revisions and approved final version: HAD, ND, JAS, SR. All authors reviewed and approved of the final manuscript.

**Competing Interests**

HAD was on advisory board for Astra Zeneca October 2010. JAS is a consultant to Amylin and has received speaker’s fees from Veracyte. All other authors declare no competing interests.

**Disclosures and Ethics**

As a requirement of publication author(s) have provided to the publisher signed confirmation of compliance with legal and ethical obligations including but not limited to the following: authorship and contributorship, conflicts of interest, privacy and confidentiality and (where applicable) protection of human and animal research subjects.

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