Constraining Forces Stabilizing Superconductivity in Bismuth

Ekkehard Krüger
Institut für Materialwissenschaft, Materialphysik, Universität Stuttgart, D-70569 Stuttgart, Germany
(Dated: September 29, 2018)

As shown in former papers, the nonadiabatic Heisenberg model presents a novel mechanism of Cooper pair formation generated by the strongly correlated atomic-like motion of the electrons in narrow, roughly half-filled “superconducting bands”. These are energy bands represented by optimally localized spin-dependent Wannier functions adapted to the symmetry of the material under consideration. The formation of Cooper pairs is not the result of an attractive electron-electron interaction but can be described in terms of quantum mechanical constraining forces constraining the electrons to form Cooper pairs. There is theoretical and experimental evidence that only this nonadiabatic mechanism operating in superconducting bands may produce eigenstates in which the electrons form Cooper pairs. These constraining forces stabilize the Cooper pairs in any superconductor, whether conventional or unconventional. Here we report evidence that also the experimentally found superconducting state in bismuth at ambient as well as at high pressure is connected with a narrow, roughly half-filled superconducting band in the respective band structure. This observation corroborates once more the significance of constraining forces in the theory of superconductivity.

Keywords: superconductivity; bismuth at ambient pressure; Bi–I; bismuth at high pressure; Bi–V; constraining forces; nonadiabatic Heisenberg model

I. INTRODUCTION

Bismuth shows sequential structure transition as function of the applied pressure, as summarized in an illustrative form by O. Degtyareva et al. [1]:

\[
\text{Bi–I} \xrightarrow{2.55 \text{ GPa}} \text{Bi–II} \xrightarrow{2.7 \text{ GPa}} \text{Bi–III} \xrightarrow{7.7 \text{ GPa}} \text{Bi–V} < 122 \text{ GPa} \quad (1)
\]

At ambient pressure, Bi crystallizes in the structure Bi–I, an As-type structure with a trigonal (rhombohedral) space group and two atoms in the unit cell [2]. This structure is stable up to a pressure of 2.55 GPa. Then, with increasing pressure, Bi undergoes the monoclinic structure Bi–II and the host-guest structure Bi–III. A further structure called Bi–IV exists above the temperature of 450 K and is not relevant in this paper. Between a pressure of 7.7 and (at least) 122 GPa, the cubic Bi–V phase is stable [1].

It is interesting, that all these Bi phases become superconducting at low temperatures. The Bi–I phase is superconducting with the extremely low transition temperature \( T_c = 0.53 \text{mK} \) [3]. In the Bi–II and Bi–III structures, the transition temperature increases with increasing pressure from about 4 K to 7 K. Finally, in the Bi–V phase, \( T_c \) has the maximum value of about 8 K [4]. The different values of \( T_c \) are evidently connected with the different crystal structures since \( T_c \) changes discontinuously at the transitions from one structure to another [4].

This striking symmetry-dependence of the superconducting transition temperature suggests that also in bismuth superconductivity is connected with narrow, roughly half-filled “superconducting bands”. A closed energy band (Definition 2 of Ref. [5]) with optimally localized, symmetry-adapted, and spin-dependent Wannier functions is called superconducting band (Definition 22 of Ref. [5]) because those metals (and only those metals) that possess such a narrow, roughly half-filled superconducting band in its band structure experimentally prove to be superconductors, see the Introduction of Ref. [5]. This observation can be interpreted within the group-theoretical nonadiabatic Heisenberg model (NHM) [6], a new model of strongly correlated atomic-like electrons. Within this model, the formation of Cooper pairs is still mediated by boson excitations (responsible, as usual, for the isotope effect). However, these boson excitations produce constraining forces as they are familiar from classical mechanics: below \( T_c \), they reduce the degrees of freedom of the electron system by forcing the electrons to form Cooper pairs. A short description of the NHM and this novel mechanism of Cooper pair formation is given in Secs. 2 and 3, respectively, of Ref. [7]. In Sec. IV we shall summarize this new concept of superconductivity in the form of single statements.

There is theoretical evidence that the constraining forces operating in narrow, roughly half-filled superconducting bands are required for the Hamiltonian of the
The aim of the present paper is to corroborate this important assertion by showing that also the experimentally established superconductivity in bismuth \cite{3,4} is evidently connected with superconducting bands.

In this context, we consider (in the following Sec. II) only the two structures Bi–I and Bi–V at the beginning and the end of the sequence \cite{1}. Bi–I and Bi–V possess the lowest and highest superconducting transition temperatures, respectively. Bi–II is not very informative within the NHM since it has only a low monoclinic symmetry. At this stage, it would be complicated to apply the NHM to the incommensurate host-guest structure of Bi–III. Both Bi–I and Bi–V, on the other hand, have clear symmetries with the trigonal space group $R3m$ (166) and the cubic space group $Imm3$ (229), respectively \cite{1,2}. Bi–V even has the highest possible symmetry in a solid state with allows the NHM to make clear predictions.

II. SUPERCONDUCTING BANDS IN THE BAND STRUCTURE OF BISMUTH

II.1. Band structure of Bi–I

The band structure of Bi–I is depicted in Fig. 1. The Bloch functions of the band highlighted in red are labeled by the single-valued representations

$$\Gamma_2^-, \;
\Gamma_3^+; \;
Z_3^+, \;
Z_3^-; \;
L_1^+, \;
L_2^-; \;
F_1^+, \;
F_2^-.$$ \hspace{1cm} (2)

It is clear that this band (or any other band in the band structure) does not contain a closed band (Definition 2 of Ref. \cite{5}) with the symmetry of band 1 or band 2 in Table 2 meaning that we cannot unitarily transform the Bloch functions into best localized and symmetry-adapted Wannier functions situated at the Bi atoms. Consequently, according to Definition 22 of Ref. \cite{5}, the band highlighted in red is a superconducting band.

II.2. Band structure of Bi–V

The band structure of Bi–V is depicted in Fig. 2. The Bloch functions of the band highlighted in red now are labeled by the single-valued representations

$$\Gamma_4^-, \;
H_4^-; \;
P_5; \;
N_3^-.$$ \hspace{1cm} (4)

Again, this band (or any other band in the band structure) does not contain a closed band (Definition 2 of Ref. \cite{5}) with the symmetry of the bands listed in Table 3. Hence, we cannot unitarily transform the Bloch functions into best localized and symmetry-adapted Wannier functions situated at the Bi atoms. According to Table 7 we may unitarily transform the Bloch functions \cite{4} into Bloch functions labeled by the double-valued representations,

$$\Gamma_4^- \rightarrow \Gamma_5^+ + \Gamma_8^+;$$
$$H_4^- \rightarrow H_6^- + H_8^-;$$
$$P_5 \rightarrow P_7^- + P_8^-;$$
$$N_3^- \rightarrow N_5^-.$$ \hspace{1cm} (5)

The underlined representations belong to band 4 listed in Table 4. Thus, we can unitarily transform the Bloch functions of this band into spin-dependent Wannier functions being best localized, centered at the Bi atoms, and symmetry-adapted to the group $Imm3$. Consequently, according to Definition 22 of Ref. \cite{5}, the band highlighted in red is a superconducting band.

II.3. Interpretation

Both structures Bi–I and Bi–V possess a superconducting band in their band structure that

- is one of the narrowest bands in the band structure;
- is nearly half-filled;
- and comprises a great part of the electrons at the Fermi level.

Consequently, the NHM predicts that both phases become superconducting below a transition temperature $T_c$.

The superconducting band of Bi–I (Fig. 1) even comprises all the electrons at the Fermi level. However, the small Fermi surface and the small density of states at the Fermi level results in the extremely low superconducting transition temperature of $T_c = 0.53mK$ \cite{8}.

The superconducting band of Bi–V (Fig. 2) closely resembles the superconducting band of niobium as depicted, e.g., in Fig. 1 of Ref. \cite{5}; both nearly half-filled bands have comparable widths and comprise a comparable part of the Fermi level. Consequently, we may expect that both the Bi–V phase of bismuth and the elemental metal niobium have similar transition temperatures. Indeed, we have $T_c \approx 8K$ and $T_c = 9.2K$ for Bi–V and
FIG. 1. Band structure of Bi–I calculated by the FHI-aims program [9, 10], using the structure parameters given by O. Degtyareva et al. [1]. The symmetry labels are determined by the author. Bi–I has the trigonal space group $R\overline{3}m$ (international number 166), the notations of the points and lines of symmetry in the Brillouin zone for $\Gamma_{ch}$ follow Fig. 3.11 (b) of Ref. [11], and the symmetry labels are defined in Table 1. $E_F$ denotes the Fermi level. The band highlighted in red is the superconducting band.

niobium, respectively. Narrow and half-filled superconducting bands rarely arise in crystals with the high bcc symmetry. So the elemental bcc metals Ta, W, and Mo possess superconducting bands which are far from being half-filled and, consequently, have lower transition temperatures. In the band structures of the most elemental metals (such as Li, Na, K, Rb, Cs, Ca Cu, Ag, and Au), narrow, roughly half-filled superconducting bands cannot be found and, hence, these metals do not become superconducting [12]. Consequently, there is high evidence that the superconducting state in Bi–V is connected with the narrow and almost perfectly half-filled superconducting band in the band structure of this phase.

III. RESULTS

In terms of superconducting bands, the NHM confirms the experimental observations that

- the Bi–I phase (i.e., bismuth at ambient pressure) becomes superconducting below an extremely low transition temperature and
- the Bi–V phase (i.e., bismuth at high pressure) becomes superconducting below a transition temperature comparable with the transition temperature of niobium.

IV. DISCUSSION

This group-theoretical result demonstrates again [3] the significance of the theory of superconductivity defined within the NHM. We summarize the main features of this novel concept of superconductivity (a more detailed description is given in Ref. [7]):

- The NHM is based on three postulates [4] concerning the \textit{atomic-like} motion of the electrons in nar-
FIG. 2. Band structure of Bi–V at the pressure of 13.5 GPa calculated by the FHI-aims program [9, 10], using the structure parameters at this pressure as given by O. Degtyareva *et al.* [1]. The symmetry labels are determined by the author. Bi–V has the cubic space group $Im\overline{3}m$ [1] (international number 229), the notations of the points and lines of symmetry in the Brillouin zone for $\Gamma^\gamma_v$ follow Fig. 3.15 of Ref. [11], and the symmetry labels are defined in Table [6]. $E_F$ denotes the Fermi level. The band highlighted in red forms the superconducting band.

row, half-filled energy bands as it was already considered by Mott [13] and Hubbard [14].

- The postulates of the NHM are physically evident and require the introduction of nonadiabatic localized states of well-defined symmetry emphasizing the correlated nature of any atomic-like motion.

- The atomic-like motion is determined by the conservation of the total crystal-spin angular momentum which must be satisfied in the nonadiabatic system. In a narrow, roughly half-filled superconducting band this conservation law plays a crucial role because the localized (Wannier) states are spin-dependent.

- The strongly correlated atomic-like motion in a narrow, roughly half-filled superconducting band produces an interaction between the electron spins and “crystal-spin-1 bosons”: at any electronic scattering process two crystal-spin-1 bosons are excited or absorbed in order that the total crystal-spin angular momentum stays conserved.

- Crystal-spin-1 bosons are the energetically lowest localized boson excitations of the crystal that possess the crystal-spin angular momentum $1\cdot\hbar$ and are sufficiently stable to transport it (as Bloch waves) through the crystal.

- The spin-boson interaction in a narrow, roughly half-filled superconducting band leads to the formation of Cooper pairs below a transition temperature $T_c$.

- The Cooper pairs arise inevitably since any elec-
electron state in which the electrons possess their full degrees of freedom violates the conservation of crystal-spin angular momentum.

− This influence of the crystal-spin angular momentum may be described in terms of constraining forces that constrain the electrons to form Cooper pairs. This feature distinguishes the present concept from the standard theory of superconductivity.

− As already mentioned in Sec. I, there is evidence that only these constraining forces may produce superconducting eigenstates.

− Hence, the constraining forces are responsible for all types of superconductivity, i.e., conventional, high-$T_c$ and other superconductivity.

− Crystal-spin-1 bosons are coupled phonon-plasmon modes that determine the type of the superconductor.

− In the isotropic lattices of the transition elements, crystal-spin-1 bosons have dominant phonon character and confirm the electron-phonon mechanism that enters the BCS theory in these materials.

− Phonon-like excitations are not able to transport crystal-spin angular-momenta within the anisotropic materials of the high-$T_c$ superconductors, often containing two-dimensional layers.

Within these anisotropic materials, the crystal-spin-1 bosons are energetically higher lying excitations of dominant plasmon character leading to higher superconducting transition temperatures.

− The theory of superconductivity as developed so far is valid without any restrictions in narrow, roughly half-filled superconducting bands because constraining forces do not alter the energy of the electron system.

− However, the standard theory may furnish inaccurate information if no narrow, roughly half-filled superconducting band exists in the band structure of the material under consideration.

It is clear that this concept of superconductivity as developed in the last 40 years should be further refined in the future.

ACKNOWLEDGMENTS

I am very indebted to Guido Schmitz for his support of my work. I thank Günter Zerweck for his valuable reference to bismuth.

Appendix A: Group-theoretical tables for the trigonal space group $\overline{R}$3m (166) of Bi–I

It is sometimes useful to represent trigonal (rhombohedral) systems in a hexagonal coordinate system. In this case, the unit cell contains two additional inner points which, however, are connected to each other and to the points at the corners by the translation symmetry of the system. In the framework of the group theory of Wannier functions as presented in Ref. [3], the inner points of a unit cell must not be connected by the translation symmetry. Thus, the group theory of Wannier functions is not applicable to trigonal system represented by hexagonal axes. Therefore, in the present paper, we use exclusively the trigonal coordinate system as given in Table 3.1 of Ref. [11].
TABLE 1. Character tables of the single-valued irreducible representations of the trigonal space group \( R\overline{3}m = \Gamma_{rh}D_{3d}^5 \) (166) of Bi-I.

| \( \Gamma(000) \), \( Z(\frac{1}{2} \frac{1}{2} \frac{1}{2}) \) | \( L(0\frac{1}{2}0) \) | \( F(\frac{1}{2} \frac{1}{2}0) \) |
|---|---|---|
| \( E \) | \( E \) | \( E \) |
| \( I \) | \( C_{2d}^+ \) | \( C_{2d}^- \) |
| \( I \) | \( C_{3v}^+ \) | \( C_{3v}^- \) |
| \( I \) | \( \sigma_{d1} \) | \( \sigma_{d2} \) |
| \( \Gamma_1^+ \), \( Z_1^+ \) | \( 1 \) | \( 1 \) | \( 1 \) | \( 1 \) | \( 1 \) | \( 1 \) |
| \( \Gamma_2^+ \), \( Z_2^+ \) | \( 1 \) | \( 1 \) | \( 1 \) | \( -1 \) | \( -1 \) |
| \( \Gamma_1^- \), \( Z_1^- \) | \( -1 \) | \( -1 \) | \( 1 \) | \( 1 \) | \( -1 \) |
| \( \Gamma_2^- \), \( Z_2^- \) | \( -1 \) | \( -1 \) | \( 1 \) | \( -1 \) | \( 1 \) |
| \( \Gamma_3^+ \), \( Z_3^+ \) | \( 2 \) | \( 2 \) | \( -1 \) | \( -1 \) | \( 0 \) | \( 0 \) |
| \( \Gamma_3^- \), \( Z_3^- \) | \( 2 \) | \( -2 \) | \( 1 \) | \( -1 \) | \( 0 \) | \( 0 \) |

Notes to Table 1

(i) \( i = 1, 2, 3 \).

(ii) The symmetry elements are labeled in the Schönflies notation as illustrated, e.g., in Table 1.2 of Ref. \( [11] \).

(iii) The character tables are determined from Table 5.7 of Ref. \( [11] \).

(iv) The notations of the points of symmetry follow Fig. 3.11 (b) of Ref. \( [11] \).

TABLE 2. Character tables of the single-valued irreducible representations of the point group \( C_{3v} \) of the positions of the Bi atoms (Definitions 11 and 12 of Ref. \( [5] \)) in Bi-I.

| \( E \) \( C_{3v}^+ \) \( \sigma_{d1} \) |
|---|---|---|
| \( d_1 \) | \( 1 \) | \( 1 \) | \( 1 \) |
| \( d_2 \) | \( 1 \) | \( 1 \) | \( -1 \) |
| \( d_3 \) | \( 2 \) | \( -1 \) | \( 0 \) |

\[ i = 1, 2, 3. \]

TABLE 3. Compatibility relations between the single-valued (upper row) and double-valued (lower row) representations of the space group \( R\overline{3}m \).

| \( \Gamma(000) \), \( Z(\frac{1}{2} \frac{1}{2} \frac{1}{2}) \) | \( L(0\frac{1}{2}0) \) | \( F(\frac{1}{2} \frac{1}{2}0) \) |
|---|---|---|
| \( R_1^+ \) | \( R_1^- \) | \( R_1^+ \) | \( R_1^- \) | \( R_1^+ \) | \( R_1^- \) |
| \( R_2^+ \) | \( R_2^- \) | \( R_2^+ \) | \( R_2^- \) | \( R_2^+ \) | \( R_2^- \) |
| \( R_3^+ \) | \( R_3^- \) | \( R_3^+ \) | \( R_3^- \) | \( R_3^+ \) | \( R_3^- \) |
| \( R_4^+ \) | \( R_4^- \) | \( R_4^+ \) | \( R_4^- \) | \( R_4^+ \) | \( R_4^- \) |
| \( R_5^+ \) | \( R_5^- \) | \( R_5^+ \) | \( R_5^- \) | \( R_5^+ \) | \( R_5^- \) |
| \( R_6^+ \) | \( R_6^- \) | \( R_6^+ \) | \( R_6^- \) | \( R_6^+ \) | \( R_6^- \) |
| \( R_7^+ \) | \( R_7^- \) | \( R_7^+ \) | \( R_7^- \) | \( R_7^+ \) | \( R_7^- \) |

Notes to Table 3

(i) The letter \( R \) stands for the letter denoting the relevant point of symmetry. For example, at point \( F \) the representations \( R_1^+, R_2^+ \), . . . stand for \( F_1^+, F_2^+, \ldots \).

(ii) Each column lists the double-valued representation \( R_i \times d_{1/2} \) below the single-valued representation \( R_i \), where \( d_{1/2} \) denotes the two-dimensional double-valued representation of the three-dimensional rotation group \( O(3) \) given, e.g., in Table 6.1 of Ref. \( [11] \).

(iii) The single-valued representations are defined in Table 1.

(iv) The notations of double-valued representations follow strictly Table 6.13 (and Table 6.14) of Ref. \( [11] \). In this paper the double-valued representations are not explicitly given but are sufficiently defined by this table.
TABLE 4. Single-valued representations of all the energy bands in the space group $R\overline{3}m$ of Bi–I with symmetry-adapted and optimally localized usual (i.e., spin-independent) Wannier functions centered at the Bi atoms.

| Bi(zzz) | Bi(zzz) | $K$  | $\Gamma$ | $Z$  | $L$  | $F$  |
|---------|---------|------|---------|------|------|------|
| Band 1  | $d_1$   | $d_1$ | $\Gamma_1^+ + \Gamma_2^-$ | 1    | 2    | 1    |
| Band 2  | $d_2$   | $d_2$ | $\Gamma_2^+ + \Gamma_1^-$ | 2    | 1    | 2    |

Notes to Table 4

(i) $z = 0.23\ldots$ [1]; the exact value of $z$ is meaningless in this table. In the hexagonal unit cell, the Bi atoms lie at the Wyckoff positions 6c(00 $\pm$ z) [1]. In the trigonal system, their positions in the unit cell are $\rho = \pm(zT_1 + zT_2 + zT_3)$, where the vectors $T_1$, $T_2$, and $T_3$ denote the basic vectors of the trigonal lattice as given, e.g., in Table 3.1 of Ref. [11].

(ii) The notations of the representations are defined in Table 1.

(iii) Assume a closed band of the symmetry in one of the two rows of this table to exist in the band structure of Bi–I. Then the Bloch functions of this band can be unitarily transformed into Wannier functions that are

- localized as well as possible;
- centered at the Bi atoms; and
- symmetry-adapted to the space group $R\overline{3}m$ (166) [2].

The entry “OK” below the time-inversion operator $K$ indicates that the Wannier functions may even be chosen symmetry-adapted to the magnetic group

$$M = R\overline{3}m + K \cdot R\overline{3}m,$$

see Theorem 7 of Ref. [2].

However, a closed band (Definition 2 of Ref. [2]) with the symmetry of band 1 or band 2 does not exist in the band structure of Bi–I (see Fig. 1).

(iv) The bands are determined following Theorem 5 of Ref. [2].

(v) The Wannier functions at the Bi atoms listed in the upper row belong to the representation $d_i$ of $C_{3v}$ included below the atom. These representations are defined in Table 2.

(vi) Each row defines one band consisting of two branches, because there are two Bi atoms in the unit cell.
TABLE 5. Double-valued representations of the superconducting band in the space group $R\bar{3}m$ of Bi–I.

| Band | $d$ | $d$ | $\Gamma$ | $Z$ | $L$ | $F$ |
|------|-----|-----|---------|-----|-----|-----|
| 1    | $d_1$ | $d_1$ | $\Gamma^+_1$ | $Z^+_1$ | $L^+_1$ | $F^+_1$ |

Notes to Table 5

(i) $z = 0.23\ldots$ [1]: the exact value of $z$ is meaningless in this table. In the hexagonal unit cell, the Bi atoms lie at the Wyckoff positions $6c(00 \pm z)$ [1]. In the trigonal system, their positions in the unit cell are $p = \pm(zT_1 + zT_2 + zT_3)$, where the vectors $T_1, T_2,$ and $T_3$ denote the basic vectors of the trigonal lattice as given, e.g., in Table 3.1 of Ref. [11].

(ii) Assume an isolated band of the symmetry listed in this table to exist in the band structure of Bi–I. Then the Bloch functions of this band can be unitarily transformed into spin dependent Wannier functions that are

- localized as well as possible;
- centered at the Bi atoms; and
- symmetry-adapted to the space group $R\bar{3}m$ (166) [5].

The entry “OK” below the time-inversion operator $K$ indicates that the spin-dependent Wannier functions may even be chosen symmetry-adapted to the magnetic group

$$M = R\bar{3}m + K \cdot R\bar{3}m,$$

see Theorem 10 of Ref. [5]. Hence, the listed band forms a superconducting band, see Definition 22 of Ref. [5].

(iii) The listed band is the only superconducting band of Bi–I.

(iv) The notations of the double-valued representations are (indirectly) defined by Table 3.

(v) Following Theorem 9 of Ref. [5], the superconducting band is simply determined from one of the two single-valued bands listed in Table 4 by means of Equation (97) of Ref. [5]. (According to Definition 20 of Ref. [5], both single-valued bands in Table 4 are affiliated bands of the superconducting band.)

(vi) The superconducting band consists of two branches, because there are two Bi atoms in the unit cell.

(vii) The point group of the positions of the Bi atoms (Definitions 11 and 12 of Ref. [5]) is the group $C_{3v}$. The Wannier functions at the Bi atoms belong to the double-valued representation

$$d = d_1 \otimes d_{1/2} = d_2 \otimes d_{1/2}$$

of $C_{3v}$, where $d_1$ and $d_2$ are defined in Table 2 and $d_{1/2}$ denotes the two-dimensional double-valued representation of $O(3)$ as given, e.g., in Table 6.1 of Ref. [11]. Note that the two representations $d_1 \otimes d_{1/2}$ and $d_2 \otimes d_{1/2}$ are equivalent.
Appendix B: Group-theoretical tables for the cubic space group \( \text{Im} \overline{3} \) of Bi–V

### TABLE 6. Character tables of the single-valued irreducible representations of the space group \( \text{Im} \overline{3} = \Gamma^v_9 \) of Bi–V.

\[
\begin{array}{cccccccccccc}
\Gamma(000), & H(\frac{1}{2} \frac{1}{2} \frac{1}{2}) & E & I & \sigma_m & C_{2m} & C_{3m}^2 & C_{3m}^4 & C_{2m}^4 & \sigma_{dp} \\
\text{\(\Gamma^+\)}, & H^+_{1} & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\
\text{\(\Gamma^+\)}, & H^+_{2} & 1 & 1 & 1 & 1 & 1 & -1 & -1 & -1 \\
\text{\(\Gamma^-\)}, & H^-_{2} & 1 & -1 & -1 & 1 & 1 & -1 & -1 & -1 \\
\text{\(\Gamma^-\)}, & H^-_{1} & 1 & -1 & -1 & 1 & 1 & 1 & 1 & -1 \\
\text{\(\Gamma^+\)}, & H^+_{3} & 2 & 2 & 2 & 2 & -1 & -1 & 0 & 0 & 0 \\
\text{\(\Gamma^-\)}, & H^-_{3} & 2 & -2 & 2 & 2 & -1 & 1 & 0 & 0 & 0 \\
\text{\(\Gamma^+\)}, & H^+_{4} & 3 & 3 & -1 & -1 & 0 & 0 & 1 & 1 & -1 \\
\text{\(\Gamma^+\)}, & H^+_{5} & 3 & 3 & -1 & -1 & 0 & 0 & -1 & -1 & 1 \\
\text{\(\Gamma^-\)}, & H^-_{4} & 3 & -3 & 1 & -1 & 0 & 0 & 1 & -1 & 1 \\
\text{\(\Gamma^-\)}, & H^-_{5} & 3 & -3 & 1 & -1 & 0 & 0 & -1 & 1 & -1 \\
\end{array}
\]

\[
\begin{array}{cccccccc}
P(\frac{1}{2} \frac{1}{2} \frac{1}{2}) & E & C_{2m} & S^2_{4m} & \sigma_{dp} & C_{3m}^2 \\
P_{1} & 1 & 1 & 1 & 1 \\
P_{2} & 1 & 1 & -1 & -1 \\
P_{3} & 2 & 2 & 0 & 0 & -1 \\
P_{4} & 3 & -1 & 1 & -1 & 0 \\
P_{5} & 3 & -1 & -1 & 1 \\
\end{array}
\]

\[
\begin{array}{cccccccc}
N(00\downarrow) & E & C_{2a} & C_{2b} & C_{2a}^1 & I & \sigma_z & \sigma_{db} & \sigma_{da} \\
N^+_1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\
N^+_2 & 1 & -1 & 1 & -1 & 1 & -1 & 1 & -1 \\
N^+_3 & 1 & 1 & -1 & -1 & 1 & -1 & 1 & -1 \\
N^-_1 & 1 & -1 & -1 & 1 & 1 & -1 & 1 & 1 \\
N^-_2 & 1 & 1 & 1 & 1 & -1 & -1 & 1 & 1 \\
N^-_3 & 1 & -1 & 1 & -1 & -1 & 1 & 1 & 1 \\
N^-_4 & 1 & -1 & -1 & -1 & -1 & 1 & 1 & 1 \\
\end{array}
\]

Notes to Table 6:

(i) \( m = x, y, z; \quad p = a, b, c, d, e, f; \quad j = 1, 2, 3, 4. \)

(ii) The symmetry elements are labeled in the Schönflies notation as illustrated, e.g., in Table 1.2 of Ref. [11].

(iii) The character tables are determined from Table 5.7 of Ref. [11].

(iv) The notations of the points of symmetry follow Fig. 3.15 of Ref. [11].
TABLE 7. Compatibility relations between the single-valued (upper row) and double-valued (lower row) representations of the space group \( Im\bar{3}m \).

| \( \Gamma(000) \) | \( H(\frac{1}{2} \frac{1}{2} \frac{1}{2}) \) | \( P(\frac{1}{2} \frac{1}{2} \frac{1}{2}) \) |
|-----------------|-----------------|-----------------|
| \( R_1 \) \( R_2 \) \( R_3 \) \( R_4 \) \( R_5 \) \( R_6 \) | \( R_7 \) \( R_8 \) \( R_{6+} \) \( R_{6-} \) \( R_{8+} \) \( R_{8-} \) \( R_{8+} \) \( R_{8-} \) | \( P_1 \) \( P_2 \) \( P_3 \) \( P_4 \) \( P_5 \) |

Notes to Table 7

(i) In the table for \( \Gamma \) and \( H \), the letter \( R \) stands for the letter denoting the point of symmetry. For example, at point \( H \) the representations \( R_1^+, \ldots \) stand for \( H_1^+, \ldots \).

(ii) Each column lists the double-valued representation \( R_i \times d_{1/2} \) below the single-valued representation \( R_i \), where \( d_{1/2} \) denotes the two-dimensional double-valued representation of the three-dimensional rotation group \( O(3) \) given, e.g., in Table 6.1 of Ref. [11].

(iii) The single-valued representations are defined in Table 6.

(iv) The notations of double-valued representations follow strictly Table 6.13 (and Table 6.14) of Ref. [11]. In this paper the double-valued representations are not explicitly given but are sufficiently defined by this table.

TABLE 8. Single-valued representations of the space group \( Im\bar{3}m \) of all the energy bands of Bi–V with symmetry-adapted and optimally localized usual (i.e., spin-independent) Wannier functions centered at the Bi atoms.

| \( Bi(000) \) | \( K \) | \( \Gamma \) | \( H \) | \( P \) | \( N \) |
|----------------|-------|-------|-------|-------|-------|
| Band 1 | \( \Gamma_1^+ \) | OK | \( \Gamma_1^+ \) | \( H_1^+ \) | \( P_1 \) | \( N_1^+ \) |
| Band 2 | \( \Gamma_2^+ \) | OK | \( \Gamma_2^+ \) | \( H_2^+ \) | \( P_1 \) | \( N_3^+ \) |
| Band 3 | \( \Gamma_2^- \) | OK | \( \Gamma_2^- \) | \( H_2^- \) | \( P_1 \) | \( N_3^- \) |
| Band 4 | \( \Gamma_1^- \) | OK | \( \Gamma_1^- \) | \( H_1^- \) | \( P_2 \) | \( N_1^- \) |

Notes to Table 8

(i) The notations of the representations are defined in Table 6.

(ii) Assume a closed band of the symmetry in any row of this table to exist in the band structure of Bi–V. Then the Bloch functions of this band can be unitarily transformed into Wannier functions that are
- localized as well as possible;
- centered at the Bi atoms; and
- symmetry-adapted to the space group \( Im\bar{3}m \) (229) [5].

The entry “OK” below the time-inversion operator \( K \) indicates that the Wannier functions may even be chosen symmetry-adapted to the magnetic group \( M = Im\bar{3}m + K \cdot Im\bar{3}m \), see Theorem 7 of Ref. [5].

However, a closed band (Definition 2 of Ref. [5]) with the symmetry of the bands in this table does not exist in the band structure of Bi–V (see Fig. 2).

(iii) The bands are determined following Theorem 5 of Ref. [5].

(iv) The point group of the positions of the Bi atoms (Definitions 11 and 12 of Ref. [5]) is the full cubic point group \( O_h \). The Wannier functions at the Bi atoms belong to the representations of \( O_h \) listed in the second column. These representations are defined in Table 6.
TABLE 9. Double-valued representations of the space group $Im3m$ of all the energy bands of Bi–V with symmetry-adapted and optimally localized spin-dependent Wannier functions centered at the Bi atoms.

| $\text{Bi(000)}$ | $K$ | $\Gamma$ | $H$ | $P$ | $N$ |
|------------------|-----|----------|-----|-----|-----|
| Band 1 $\Gamma^+_1 \otimes d_{3/2} = \Gamma^+_6$ | OK | $\Gamma^+_6$ | $\tilde{H}_6$ | $P_6$ | $N_5$ |
| Band 2 $\Gamma^+_2 \otimes d_{1/2} = \Gamma^+_7$ | OK | $\Gamma^+_7$ | $\tilde{H}_7$ | $P_7$ | $N_5$ |
| Band 3 $\Gamma^-_2 \otimes d_{1/2} = \Gamma^-_7$ | OK | $\Gamma^-_7$ | $\tilde{H}^-_7$ | $P_7$ | $N_5$ |
| Band 4 $\Gamma^-_1 \otimes d_{1/2} = \Gamma^-_6$ | OK | $\Gamma^-_6$ | $\tilde{H}^-_5$ | $P_7$ | $N_5$ |

Notes to Table 9

(i) Assume an isolated band of the symmetry listed in any row of this table to exist in the band structure of Bi–V. Then the Bloch functions of this band can be unitarily transformed into spin-dependent Wannier functions that are

- localized as well as possible;
- centered at the Bi atoms; and
- symmetry-adapted to the space group $Im3m$ (229).

The entry “OK” below the time-inversion operator $K$ indicates that the spin dependent Wannier functions may even be chosen symmetry-adapted to the magnetic group

$$M = Im3m + K \cdot Im3m,$$

see Theorem 10 of Ref. 3. Hence, all the listed bands forms superconducting bands, see Definition 22 of Ref. 3.

(ii) The notations of the double-valued representations are (indirectly) defined in Table 8.

(iii) Following Theorem 9 of Ref. 3, the superconducting bands are simply determined from the single-valued bands listed in Table 8 by means of Equation (97) of Ref. 3. (According to Definition 20 of Ref. 3, each single-valued band in Table 8 is an affiliated band of one of the superconducting bands.)

(iv) The superconducting bands consists of one branch each, because there is one Bi atom in the unit cell.

(v) The point group of the positions of the Bi atoms (Definitions 11 and 12 of Ref. 3) is the full cubic point group $O_h$. The Wannier functions at the Bi atoms belong to the double-valued representations of $O_h$ listed in the second column, where the single-valued representations $\Gamma^+_7$ and $\Gamma^-_7$ are defined by Table 8 and $d_{1/2}$ denotes the two-dimensional double-valued representation of $O(3)$ as given, e.g., in Table 6.1 of Ref. 11.
13. Mott, N.F. On the transition to metallic conduction in semiconductors. *Can. J. Phys.* **1956**, *34*, 1356 – 1368.
14. Hubbard, J. Electron correlations in narrow energy bands. *Proc. R. Soc. London, Ser. A* **1963**, *276*, 238–257.
15. Bardeen, J.; Cooper, L.N.; Schrieffer, J.R. Theory of superconductivity. *Phys. Rev.* **1957**, *108*, 1175.
16. Krüger, E. One- and Two-Dimensional Sublattices as Preconditions for High–Tc Superconductivity. *Phys. Status Solidi B* **1989**, *156*, 345–354.