**Review of otitis media microbiome studies: What do they tell us?**

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**Abstract**

**Objectives:** To provide a state of the art review on accruing studies focused on defining the middle ear microbiome, highlighting the relationship of the microbiome to disease pathophysiology.

**Data sources:** Pubmed indexed peer-reviewed articles and published textbooks.

**Review methods:** Comprehensive review of the literature using the following search terms: “microbiome” “bacterial pathogens” with the term “otitis media,” and “middle ear.”

**Results:** A multitude of microbiome studies have been published in the recent past. In general findings from these studies underscore distinct profiles based on disease category. The adenoidal reservoir theory may not explain all etiologies of middle ear effusion production. The host immune system appears to be associated to the bacterial population identified in the middle ear space. Atopic respiratory diseases correlate to the middle ear microbiome. Some novel middle ear bacterial genera may be protective in terms of disease.

**Conclusion:** The understanding of otitis media disease progression pathophysiology is evolving, informed by accruing middle ear microbiomic data. The functional implications of middle ear microbiome findings need to be studied further. This may help counterbalance probiotic vs antibiotic approaches to disease mitigation.

**KEYWORDS**
adenoïdal reservoir, bacteria, microbiome, middle ear effusion, otitis media

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**1 INTRODUCTION**

Otitis media (OM) and its full spectrum of disorders (Table 1) continues to be one of the most prevalent childhood diseases. The spectrum encompasses acute otitis media (AOM), recurrent acute otitis media (RAOM), otitis media with effusion (OM), chronic otitis media with effusion (COME), and chronic suppurative otitis media (CSOM).¹ Whereas the spectrum of disease differs in symptom duration, severity and long-term complications, all are characterized by middle ear effusion (MEE) which contains a varied microbiome that has been widely studied in an attempt to better understand its pathogenesis and etiology. Historically the most commonly isolated bacteria from the MEE of children with OM were *Streptococcus pneumonia* (SP), *Haemophilus influenza* (Hi), and *Moraxella catarrhalis* (MC).²

Using traditional culture methods, the bacterial population across different stages of OM has been studied and compared. Holder et al³ showed the presence of bacterial DNA in 87% of AOM samples detecting mostly only one pathogen, whereas only 51% of COME
samples were positive, with the presence of multiple bacteria species. Homoe et al.\(^4\) showed the absence of bacterial biofilms in middle ear fluid smears from patients with COME compared with chronic suppurative OM CSOM fluid smears mostly showing the presence of bacterial biofilms. Interestingly, Calhoun et al.\(^5\) showed that the nature of the effusion also influences its bacterial content, with purulent COME samples more likely to be culture positive than mucoid samples which in turn are more likely to be positive than serous samples. Other groups did not find differences in bacterial content for effusions samples coming from patients with recurrent or nonrecurrent OM\(^6\) or recurrent OM and COME.\(^7,8\) These studies indicate bacterial findings may result from variability in sample collection, the population studied, specific disease stage, and/or the techniques used to assay bacteria presence in the middle ear mucosa (MEM) or effusions (MEE).

Most of the studies of the microbiome of OM were initially conducted using traditional culture methods and later genomic PCR which are now being replaced by 16 seconds rRNA amplicon sequencing that can reliably identify unculturable and novel bacteria in the MEE.\(^9\) These new identification methods are now calling into question long held overarching conclusions about the OM microbiome.\(^1\) What is evident is that novel species such as *Turicella* and *Alloccoccus* are being detected at significant relative abundances in OM, and a more diverse group are being reported as the dominant taxa in MEE samples (Figure 1).\(^1\) New evidence is also identifying several species including some lactic acid bacilli and *Dolosigranulum*, that may be protective to the middle ear and may play a role in probiotic development as a novel treatment modality.\(^1\)

### 3 | NASOPHARYNGEAL MICROBIOME AND ITS EFFECTS ON OM

Eustachian tubes (ET) of children are shorter and enter the nasopharynx at a shallower angle than in adults. The proximity of the torus tubarius to the adenoidal and tubal lymphoid tissue has led to the hypothesis that the nasopharyngeal lymphoid tissue serves as a reservoir for otopathogens to reside and travel up the ETs, leading to OM.\(^1\) Most of the research supporting this hypothesis was conducted using traditional culture methods that isolated the most commonly studied otopathogens SP, Hi, and MC from the adenoids.\(^1\) However, more recent studies using 16 seconds rRNA sequencing have found that the MEE microbiome was dissimilar from the adenoidal microbiome in children with COME.\(^1\) Interestingly, the adenoid microbiome was found to be similar between patients without ear disease and those with COME.\(^1\) However, it has also been reported that adenoids associated with OM are less diverse than those of healthy individuals.\(^1\) Additionally, the adenoidal microbiome was found to be more closely related with the microbiome of the palatine tonsils than to the middle ear.\(^1\)

These findings cast doubt over the adenoidal reservoir theory and lend themselves to suggest that the pathogenesis of OM is dependent

### TABLE 1 | Definitions of the major spectrum of diseases encompassing OM

| Term                                      | Definition                                                                 |
|-------------------------------------------|---------------------------------------------------------------------------|
| Otitis media (OM)                         | Umbrella term describing middle ear inflammation without reference to duration or etiology |
| Acute otitis media (AOM)                  | Rapid onset of inflammation of the middle ear. Characterized by bulging of the tympanic membrane (TM) with concurrent erythema of the TM or ear pain or acute ear discharge. |
| Recurrent acute otitis media (RAOM)       | Four or more episodes of AOM in 1 year or Three or more episodes in 6 months |
| Otitis media with effusion (OME)          | Fluid in the middle ear without signs of infection or TM perforation |
| Chronic otitis media with effusion (COME) | OME persisting for three or more months                                   |
| Chronic suppurative otitis media (CSOM)   | Chronic inflammation of the middle ear and mastoid mucosa with a TM perforation or ventilation tube and persistent ear discharge |

Note: Definitions adapted from Schild et al.\(^1\)
on a more complex interaction between commensal organisms and ever-present otopathogens in the middle ear and adenoids. This is further corroborated by the findings of Marsh et al’s metanalysis (Figure 1), which demonstrated that the bacteria reported with the highest relative abundance was *Alloicoccus*, followed by *Hi*, *Staphylococcus*, *Corynebacteria*, *SP*, and *MC*. They found an inverse correlation with *Alloicoccus* and *Hi* in MEE which supports the theory that *Alloicoccus otitidis*, is a commensal organism of the middle ear, found in low relative abundances in the adenoids.

Most studies examining the adenoidal microbiome used surface level swabs to sample the tissue and little was known about the spatial organization of bacteria within the adenoidal tissue, especially the crypts. Swidsinski et al19 examined the bacterial organization within adenoids and found foci of purulent infection in otherwise asymptomatic individuals which were shielded by adenoidal tissue, precluding their sampling via swabbing methods. Additionally, intracellular bacteria hidden within cells of the innate immune system such as macrophages would be difficult to sample and quantify in meaningful relative abundance measurements.15,19 This casts further uncertainty over the adenoidal reservoir theory, highlighting that more extensive classification of the adenoidal microbiome is necessary before the theory can be either validated or disproven.

Interestingly, a recent study suggested that the general respiratory disease status of patients does influence the middle ear microbiome. The MEE microbiome was found to be less diverse in participants with concurrent lower airway disease (asthma or bronchiolitis) than in patients without, and phylogenetic β-diversity (weighted UniFrac) was significantly different based on lower airway disease status.11 Differential abundance in patients with lower airway disease was observed for the genera *Haemophilus*, *Moraxella*, *Staphylococcus*, *Alloicoccus*, and *Turicella*. These findings suggest a link between COME and respiratory illnesses, perhaps reflecting a postulated association of COME with atopic disease.20,21

A significant limitation of most of the microbiome studies of middle ear and adenoidal samples is that it is difficult to control for recent or repeated usage of antibiotics, particularly in the disease samples relative to the “healthy” control samples. This limitation should direct caution when interpreting some of these findings.

4 | THE INFLUENCE OF HOST RESPONSE ON OM

Although pervasive, AOM most often resolves without complications or long term sequelae either via spontaneous resolution or with antibiotic treatment.22 The detrimental effects of OM arise when it becomes chronic or recurs persistently. This can lead to conductive hearing loss, myringosclerosis, retraction pockets, cholesteatomas, and a host of other complications via extension into the surrounding bony and soft tissue. Because most cases resolve without complication, new studies have begun to examine the innate immune system and what role it plays in the pathogenesis of OM.

One component of innate immunity is the epithelial cell barriers that line the middle ear. The middle ear is normally lined by a single layer of cubical squamous epithelium, but after repeated bouts of OM the epithelium exhibits metaplastic changes and the squamous epithelium converts to pseudostratified columnar with increased goblet cell concentrations.23 These cells can then form invaginations giving rise to mucus glands that are not found in healthy middle ears.23 These cells produce an increased amount of mucins, leading to MEEs that are more difficult to clear,24 which may result in the persistence of MEEs in OME. These mucins appear to play a key role in the innate response to infection, with MUC5B and MUC5AC being the predominantly secreted mucins.25 Recent work by Kruger et al found that MUC5B was present in 94.5% MEEs while MUC5AC was only detected in 65.5%.9 Their study also found that the microbiome of middle ear fluid from children with COME differs according to specific clinical features, such as mucin content, age and presence of hearing loss. Samples where MUC5AC was present showed a predominance of *Haemophilus* species, whereas that predominance was absent in samples with only MUC5B. This corroborates previous reports that Hi propagates an immune response to induce production of MUC5AC23
and highlights the fact that the immune response is deeply interconnected with the microbiome of the middle ear space. More research into the role of mucins in host defense and adaptation are still needed to uncover their interplay in the pathogenesis of OM. Specifically, more work is needed to determine whether MUC5B is protective against otopathens and what role different bacteria may play in its expression. Notably, MUC5B null mice are susceptible to severe and fulminant infectious disease in the middle ear cavity, underscoring the essential role for this specific mucin in upper airway immune defense.26

5 | LIMITATIONS AND CHALLENGES OF MICROBIOME STUDIES IN OTITIS MEDIA

The rapid technological advances on NGS has stimulated the study of human microbiomes at both DNA (amplicon and shotgun sequencing) and RNA level (metatranscriptomics). As reviewed above, most of the middle ear microbiome studies to date have used 16S rRNA amplicon sequencing followed by metagen dataset analysis as a methodology to characterize the ear bacteriome. Less is known, however, about the fungal and viral components of the middle ear and its functional diversity—as inferred by shotgun metagenomics and metatranscriptomics. Similarly, studies assessing host-microbe interactions (systems biology) during otitis media are practically inexistent.

Regarding the characterization of microbial communities, new bioinformatics methods have been recently published discussing how low biomass issues can often be a problem in 16S rRNA sequencing/metagen data set analysis. For OM studies specifically, low bacterial biomass is often a feature of nasopharyngeal and middle ear fluid specimens, and this may in fact confer bacterial detection more difficult to ascertain with contaminants posing a risk to data interpretation.27,28 But even when optimal cellular biomass conditions are met, the best analytical pipeline to infer community composition from short amplicon sequences (via Operative Taxonomic Units or Amplicon Sequence Variants) is still under debate.29 Ear microbiome research also suffers from the other methodological issues common to omic data generation (eg, PCR chimeras and sequencing errors), which is still missing best practice protocols for standard molecular procedures in the field (eg, DNA extraction, PCR, library preparation or high-throughput sequencing). Additionally, other bioinformatic challenges in metagenomics come from the need to continuously update taxonomic and genomic databases as more data are generated, and renew your analytical toolkit with the latest (not always better) methods and software packages for genome assembly and annotation, taxonomic classification, biodiversity estimation, and functional analysis. Metagenomic and metatranscriptomic analyses can be so laborious and computationally intense that many biotech companies already offer automated on-demand computing services (cloud computing), so scientists can more easily handle the wealth of data from their metagenomic projects. Concomitantly, given the continual increase in sequencing capacity and decline of costs, new data management issues (big data) also arise to store, curate and share omic information, detect and visualize meaningful patterns (ie, potential biological mechanisms) and efficiently (fast and easy) integrate multi-omic data with clinical and demographic information. Ironically, at the same time, much of the freely available omic data lie in databases and repositories underutilized or not used at all.30 The metagenomic field is also seeded of statistical challenges due to the high-dimensionality of the data under study; points of concern are normalization and quantification of relative taxa and gene abundances, dimensionality reduction, multiple hypothesis testing or characterization of random effects.31 Taken all together, these issues seem to suggest that middle ear microbiome research is still in its infancy and we have a windin road ahead; but large strides have been already made in tackling the issues above in other related areas (eg, see the iHGP).32 Therefore, as new technologies and omic insights from these projects percolate into the “ears” of OM researchers, new exciting and groundbreaking discoveries are likely to pop up in this field too.

6 | CONCLUSION

In conclusion, the knowledge regarding bacterial composition across OM stages has increased exponentially over the past decade. New laboratory techniques for MEE analysis, proliferation of DNA sequencing methods along with increasing computational power of bioinformatics have led to a somewhat redefined descriptive render of OM pathophysiology based in the middle ear microbiome. These studies underscore how critical it is to not lump all cases of MEE into one single disease. The adenoidal reservoir theory may not explain all etiologies of MEE formation. The host immune system is clearly interconnected to the bacterial population in ways yet to be determined. Given the indiscriminate over-usage of antibiotics for OM, efforts to clarify what happens in the different stages of the disease are critical. This includes the study of MUC5B, the predominant mucin in middle ear effusions, as there is a general lack of understanding of its regulation in OM. Counterweighing probiotic vs antibiotic approaches could help in maintaining homeostasis in a healthy middle ear.

CONFLICT OF INTEREST

The authors declare no conflicts of interest.
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