Stationary entropies after a quench from excited states in the Ising chain

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Abstract – We consider the asymptotic state after a sudden quench of the magnetic field in the transverse field quantum Ising chain starting from excited states of the pre-quench Hamiltonian. We compute the thermodynamic entropies of the generalised Gibbs and the diagonal ensembles and we find that the generalised Gibbs entropy is always twice the diagonal one. We show that particular care should be taken in extracting the thermodynamic limit since different averages of equivalent microstates give different results for the entropies.

Entropy is a fundamental concept of statistical mechanics and represents the main bridge between the microscopic description of nature and thermodynamics. Indeed, a generic isolated classical system evolves in a way to maximise its entropy reaching the microcanonical ensemble after a long time. In the quantum world the situation is more complicated: an isolated system evolves unitarily, so if the system is initially prepared in a pure state it will always remain pure with strictly zero entropy, and cannot be described asymptotically by a statistical ensemble with positive entropy. The definition of a stationary entropy for non-equilibrium quantum systems is then a complicated matter which attracted renewed interest after the cold-atom experimental realisation [1,2] of isolated out-of-equilibrium quantum systems, in particular of the so-called quantum quenches [3,4], in which a parameter of the system is changed abruptly.

Two main roads have been followed to define a non-equilibrium stationary entropy after a quench. The first is to look at the system in its entirety and define the entropy in a specific basis, such as for the so-called diagonal entropy [5]. The second road is to consider subsystems of the whole system which are not isolated and therefore are described by a reduced density matrix that may be equivalent to a statistical ensemble. The two roads have both their own advantages and disadvantages. Indeed, considering only a subsystem is probably more appropriate from a fundamental perspective because taking first the thermodynamic (TD) and then the large time limit (see, e.g., [6–10]), it is possible that the reduced density matrix exhibits truly stationary behaviour which is impossible for the entire system. Conversely, a global definition of entropy is surely more suitable and manageable for finite systems and numerical simulations [11–13].

The possible connections and relations between these two apparently unrelated sets of (stationary) entropies are then very important. Some explicit calculations for integrable systems in quenches from the ground state of a pre-quench Hamiltonian show that the diagonal entropy is exactly half the subsystem entropy [14–16], reflecting the fact that the diagonal ensemble contains much more information than the one needed to describe the local observables.

However, the previous studies focused on the evolution starting from the ground state of a given pre-quench Hamiltonian. Starting from an excited state makes the situation more complicated. Indeed, while the ground state is usually unique (or with low degeneracy), there are many excited states which share the same macroscopical properties and some kind of average among them should be introduced in order to extract the TD limit. It is then a very relevant question if and how the average changes the expectation values of the entropies and if it has the same effect on the two kinds of entropies.

In order to shed some light on this problem we consider here the simplest exactly solvable model, the transverse...
field Ising chain with Hamiltonian

$$H(h) = -\frac{1}{2} \sum_{j=1}^{N} \left[ \sigma_{j}^{x} \sigma_{j+1}^{x} + h \sigma_{j}^{z} \right],$$

(1)

where $\sigma_{j}^{\alpha}, \alpha = x, y, z$ are the Pauli matrices at site $j$ of the chain of length $N$, $h$ is the transverse field and periodic boundary conditions are imposed. The model can be mapped to spinless free fermions through the Jordan-Wigner transformation, and diagonalised by a further Bogoliubov transformation in momentum space (see, e.g., [17] for details), yielding

$$H(h) = \sum_{k} \epsilon_{k} \left( b_{k}^{\dagger} b_{k} - \frac{1}{2} \right),$$

(2)

where $b_{k}, b_{k}^{\dagger}$ are the annihilation and creation operators for the fermionic quasi-particles (i.e. the Bogoliubov modes) of momentum (wave number) $k$, satisfying canonical anti-commutation relations $\{b_{k}, b_{k'}^{\dagger}\} = \delta_{kk'}$, with one-particle dispersion relation,

$$\epsilon_{k} = \sqrt{ (h - \cos p_{k})^{2} + \sin^{2} (p_{k}) }, \quad p_{k} = \frac{2\pi k}{N}. \quad (3)$$

We are interested in quenches when at $t = 0$ the transverse magnetic field is suddenly switched from $h_{0}$ to $h$. We consider as initial state an excited state of $H(h_{0})$ which is neither an eigenstate nor a finite superposition of eigenstates of $H(h)$. We restrict our attention to the class of excited states which can be written acting on the ground state of $H(h_{0})$ with an arbitrary number of pre-quench creation operators $b_{k}^{\dagger}$ for the modes $k$ (here and below primed quantities will denote pre-quench ones).

Given that the pre-quench ground state $|0\rangle'$ is defined by $b_{k}^{\dagger} |0\rangle' = 0 \quad \forall k$, in the Fock basis the initial state can be written as

$$|\Psi_{0}\rangle \equiv \prod_{k} (b_{k}^{\dagger})^{m_{k}} |0\rangle'.$$  

(4)

It has energy

$$E_{m_{k}} - E_{GS} = \sum_{k} m_{k} \epsilon_{k}, \quad \text{where } m_{k} = 0, 1 \quad \text{is a characteristic function of the state representing the pre-quench fermionic occupation number, i.e. } m_{k} = 1 \quad \text{if the mode } k \quad \text{is occupied and } m_{k} = 0 \quad \text{if it is not. Since } k \quad \text{can assume } N \quad \text{possible values, there are } 2^{N} \quad \text{linearly independent eigenstates of this form which consequently form a basis. While in a finite system the characteristic function takes only the values 0 and 1, in the TD limit it becomes an arbitrary function } m(p) \quad \text{of the continuous momentum variable } p \in [-\pi, \pi] \quad \text{with the restriction to be in the interval [0, 1] (see, for instance, [18,19]). It is evident that there are many microstates described in the TD limit by the same function } m(p). \quad \text{All TD quantities can depend specifically on the way the average over those microstates is performed and what happens to the entropy is one of the main points we aim to clarify in this manuscript.}

### Relaxation to thermodynamic ensembles.

Denoting the time-dependent state by $|\Psi(t)\rangle = e^{-iH(h_{0})t} |\Psi_{0}\rangle$, the density matrix of the entire system is

$$\rho(t) = |\Psi(t)\rangle \langle \Psi(t) |,$$

(5)

and the reduced density matrix of a subsystem consisting of a block $A$ of $\ell$ contiguous spins is

$$\rho_{A}(t) = \text{Tr}_{\bar{A}} \rho(t),$$

(6)

where $\bar{A}$ is the complement of $A$. The importance of $\rho_{A}$ stems from the fact that it generically relaxes to a stationary state described by some statistical ensemble, while the full density matrix $\rho(t)$ always describes a pure state with zero entropy. The von Neumann entropy of $\rho_{A}(t)$,

$$S_{A}(t) \equiv -\text{Tr} \rho_{A}(t) \ln \rho_{A}(t),$$

(7)

is the well-known entanglement entropy which has also been studied for quenches in the Ising chain [19–21].

Following refs. [6,7,10] it is usually said that a system reaches a stationary state if a long-time limit of the reduced density matrix,

$$\lim_{t \to \infty} \rho_{A}(t) = \rho_{A}(\infty),$$

(8)

exists. This stationary state is said to be described by a statistical ensemble with density matrix $\rho_{E}$ if the reduced density matrix of the latter restricted to any finite subsystem $A$ equals $\rho_{A}(\infty)$, i.e. if for $\rho_{A,E} \equiv \text{Tr}_{\bar{A}}(\rho_{E})$

$$\rho_{A}(\infty) = \rho_{A,E}. \quad (9)$$

In particular, this implies that all local multi-point correlation functions within subsystem $A$ can be computed as averages calculated with $\rho_{E}$.

### Relaxation to GGE ensemble

If a system thermalises, as expected to be the case for non-integrable models [22–29], $\rho_{E}$ is the Gibbs distribution $\rho_{E} \propto e^{-\beta H}$. In the case of integrable systems which do not thermalise, $\rho_{E}$ is given by a generalised Gibbs ensemble (GGE) [30] where all the local integrals of motion are taken into account, although some different results have been found for initial states of a specific class [31–35].

In the present case of the transverse field Ising chain, both for quenches from the ground state [8–10] and from excited states [19], it has been proved that the stationary state is described by GGE. It has also been shown [36] that the post-quench occupation number operators $n_{k} = b_{k}^{\dagger} b_{k}$, although non-local quantities, can be written as linear combinations of the local integrals of motion. Thus, the GGE density matrix constructed with local integrals of motion and the one constructed with $n_{k}$ are equivalent, yielding

$$\rho_{\text{GGE}} = e^{-\sum_{k} \lambda_{k} n_{k}} \frac{1}{Z},$$

(10)

where $\lambda_{k}$ are fixed by matching the expectation values of the post-quench occupation number with their values in the initial state, i.e. imposing $\langle n_{k}\rangle_{\text{GGE}} = \langle \Psi_{0} | n_{k} | \Psi_{0} \rangle \equiv n_{k}$, yielding

$$n_{k} = 1 - u_{k}^{2} n_{k} - \frac{1}{Z} (1 - m_{-k}), \quad (11)$$
where
\[ u_k = \cos(\Delta_k/2), \quad v_k = \sin(\Delta_k/2), \]
and \( \Delta_k \) is the difference between pre- and post-quench Bogoliubov angles having the explicit form in terms of \( h \) and \( h_0 \) [8]

\[
\cos \Delta_k = \frac{hh_0 - (h + h_0) \cos p_k + 1}{\sqrt{1 + h^2 - 2h \cos(p_k)\sqrt{1 + h_0^2 - 2h_0 \cos(p_k)}}, \]

Notice that the expectation values of the products of \( n_k \) (which are also conserved) do not enter the GGE because of the cluster decomposition property of the considered initial states [37] (see also [7]).

The other interesting thermodynamic ensemble is the so-called diagonal ensemble (DE) [5],

\[
\rho_D = \sum_j |c_j|^2 |j\rangle \langle j|, \tag{14}
\]
where \( c_j = \langle j|\Psi_0 \rangle \) is the overlap of the eigenstate \( |j\rangle \) of the post-quench Hamiltonian with the initial state. By definition, the DE captures, in the TD limit, the time-averaged expectation values of all observables, including both local and non-stationary (e.g., oscillating) ones, irrespectively of the integrability of the system. Indeed, such an ensemble retains all the information about the initial state rather than a limited set of integrals of motion and, in this sense, is genuinely different from the canonical and generalized Gibbs ensembles since it has no relation with the economy of the maximum entropy principle.

**Inequivalence of the diagonal and the GGE entropy.** – The inequivalence of the diagonal ensemble and the GGE is captured by the difference of their entropies

\[
S_D = -\text{Tr} \rho_D \ln \rho_D = -\sum_j |c_j|^2 \ln |c_j|^2, \tag{15}
\]
\[
S_{GGE} = -\text{Tr} \rho_{GGE} \ln \rho_{GGE}, \tag{16}
\]
reflecting the fact that there is some information loss in passing from the former to the latter. Given that the GGE describes all local observables in a subsystem, the GGE density matrix coincides with the reduced density matrix of the subsystem. Clearly, the GGE entropy must then coincide with the extensive part of the long time limit of the entanglement entropy of a block of consecutive spins.

As already mentioned, in quenches starting from the ground state in the transverse field Ising model [14,15] and in the Lieb-Liniger model [16] it was found that the GGE entropy is exactly twice the diagonal entropy. Here we set out to compare the various entropies for quenches starting from arbitrary excited states in the Ising model.

The vacuum state of the pre-quench Hamiltonian can be written in terms of the post-quench vacuum \( |0\rangle \) and mode creation operators as

\[
|0\rangle' = \prod_{k>0} (u_k - iv_k b_k^\dagger b_{-k}^\dagger) |0\rangle, \tag{17}
\]
where \( u_k \) and \( v_k \) are given in eq. (12). It is straightforward to see that this state is annihilated by the pre-quench annihilation operator \( b_k = u_k b_k + iv_k b_{-k}^\dagger \) and it is normalised to 1.

The excited initial state (4) can now be obtained by acting on the vacuum with the pre-quench creation operators written again in terms of \( b_k, b_{-k}^\dagger \). One finds

\[
|\Psi_0\rangle = \prod_{k>0} [(u_k - iv_k b_k^\dagger b_{-k}^\dagger)(1 - m_k)(1 - m_{-k})
+ m_k(1 - m_{-k}) b_k^\dagger + m_{-k}(1 - m_k) b_{-k}^\dagger
+ m_k m_{-k} (u_k b_k^\dagger b_{-k}^\dagger - iv) |0\rangle
= \prod_{k>0} [\alpha_k b_k^\dagger b_{-k}^\dagger + \beta_k + \gamma_k b_k^\dagger + \delta_k b_{-k}^\dagger ] |0\rangle, \tag{18}
\]
where

\[
|\alpha_k|^2 = m_k m_{-k} + v_k^2 (1 - m_k - m_{-k}),
|\beta_k|^2 = m_k m_{-k} + u_k^2 (1 - m_k - m_{-k}),
|\gamma_k|^2 = m_k (1 - m_{-k}), \quad |\delta_k|^2 = m_{-k} (1 - m_k). \tag{19}
\]
The product over positive momenta only originates from the fact that the Bogoliubov rotation connecting pre- and post-quench operators couples modes with opposite momenta. The entropy of the diagonal ensemble is then

\[
S_D = -\sum_{k>0} \left[ |\alpha_k|^2 \ln |\alpha_k|^2 + |\beta_k|^2 \ln |\beta_k|^2
+ |\gamma_k|^2 \ln |\gamma_k|^2 + |\delta_k|^2 \ln |\delta_k|^2 \right]. \tag{20}
\]
On the other hand, the GGE entropy is

\[
S_{GGE} = -\sum_k [n_k \ln n_k + (1 - n_k) \ln (1 - n_k)] =
-\sum_k \left[ [1 - u_k^2 (1 - m_k - m_{-k}) - m_{-k}]
\times \ln [1 - u_k^2 (1 - m_k - m_{-k}) - m_{-k}]
+ [m_{-k} + u_k^2 (1 - m_k - m_{-k})]
\times \ln [m_{-k} + u_k^2 (1 - m_k - m_{-k})] \right], \tag{21}
\]
where we expressed \( n_k \) in terms of the pre-quench occupation numbers \( m_k \) via eq. (11).

Note that \( S_D \) is defined through a sum over only positive modes, in accordance with the BCS-like structure of the initial state; instead \( S_{GGE} \) involves a sum over all \( k \), in agreement with the fact that in the GGE correlations between modes \( k \) and \( -k \) are absent.

Interestingly, the fact that \( m_k \in \{0,1\} \) implies that the seemingly very different summands of eqs. (20) and (21) are equal. In fact, only the modes with \( m_k = m_{-k} \) contribute and a simpler expression for the entropies can be written as

\[
S_D = \sum_{k>0} [m_k m_{-k} + (1 - m_k)(1 - m_{-k})] s_k, \tag{22a}
\]
\[
S_{GGE} = \sum_k [m_k m_{-k} + (1 - m_k)(1 - m_{-k})] s_k, \tag{22b}
\]
where we introduced \( s_k \equiv -(u_k^2 \ln u_k^2 + v_k^2 \ln v_k^2) \). This means that the relation
\[
S_{\text{GGE}} = 2S_D
\]
holds even for excited initial states. The reason for this factor of 2 can be understood in terms of the argument of ref. [14] according to which the \( \{k, -k\} \) pairs generated by the initial state contribute to the diagonal entropy but generate correlations that are invisible to the GGE. Indeed, they have no influence on the reduced density matrix of a finite subsystem \( A \): if a particle with momentum \( k \) is in \( A \), for long enough time, the \(-k\) partner is surely outside \( A \) [14].

**The thermodynamic limit.** – Both diagonal and GGE entropies are strictly valid only for finite systems because in several points we used that \( m_k \in \{0, 1\} \). Taking the TD limit is a complicated matter because \( m_k \to m(p) \) is a function that can be different from 0 and 1 and the way how the average is taken is very important. To make clear were the problem stands, a simple example is given by the states in which every other momentum state is filled. If the state is symmetric with respect to \( k \to -k \), the entropy is maximal \( S_{\text{GGE}} = \sum s_k \) and if the state is antisymmetric then the entropy is zero. But both these states in the TD limit converge to the same function \( m(p) = 1/2 \). It is then clear that the TD value of the entropy is not univocally determined by the function \( m(p) = 1/2 \) as it is the case for correlation functions [19] and the way we take the averages is very important. For example, one could consider the following two averaged entropies:
\[
S^{TD} = -\text{Tr}_p \ln \rho,
\]
\[
S^{ST} = -\text{Tr}_p \ln \bar{\rho},
\]
where the bar stands for the average over the initial states. The two averages give different results which will be expressed more explicitly carried out in the remainder of the paper. However, by no means one is more fundamental than the other. Indeed \( S^{TD} \) looks more like a TD quantity in which the average is performed directly over the observable, while \( S^{ST} \) is the entropy of the average state. Roughly speaking the two averages are like quenched and annealed disorder in random systems and indeed similar features have also been noticed for the entanglement entropies in random spin chains [38,39].

We stress that all the above considerations are valid both for the GGE and the DE entropy since they only differ for a factor of 2 in each microstate. Consequently, the results obtained in the following for \( S^{TD} \) and \( S^{ST} \) are valid both for the GGE and the diagonal ensemble (with the obvious factor of 2), even if we will not repeat this every time.

**Integral representation for \( S^{TD} \) in the TD limit.** – To write an integral formula for the entropies in the TD limit is not a completely trivial exercise. The reason is that the formula should take into account the correlations between modes \( k \) and \(-k\). In particular, due to the appearance of both \( m_k \) and \( m_{-k} \) in eq. (11), the post-quench occupation number function \( n_k \) is very far from being a continuous function even if a smooth \( m(p) \) captures very well the density of filled pre-quench modes.

This means that by simply replacing the discrete \( m_k \) with a continuous \( m(p) \) characteristic function in the formulas (20) and (21) gives two different but equally incorrect results. However, in the derivation of expressions (22) we already used the \( \{k, -k\} \) correlation and \( m_k \in \{0, 1\} \), and a similar replacement in these turns out to yield the correct result, as we show below.

A systematic way to turn the discrete sums (22) into coarse-grained integral expressions is the following. We break up the set of momenta into pairs of intervals, \( I_1 = [p, p + \Delta p] \) and \( I_2 = [-p, -p - \Delta p] \), each containing many momenta but sufficiently small such that within each of them the \( m(p) \) function can be regarded as constant. Both intervals have \( M = N\Delta p/(2\pi) \) momentum slots, of which \( M_1 = m(p)N\Delta p/(2\pi) \) and \( M_2 = m(-p)N\Delta p/(2\pi) \) are filled. The term \( s_k \) can be regarded as constant over the short intervals, so we only have to focus on
\[
\Sigma(\{m_k\}) = \sum_{k \in I_1} [m_k m_{-k} + (1 - m_k)(1 - m_{-k})].
\]
There are
\[
\text{#config.} = \binom{M}{M_1}\binom{M}{M_2}
\]
different microscopic \( \{m_k\} \) configurations satisfying the constraint that the total occupations of the two intervals are \( M_1 \) and \( M_2 \). We compute the distribution of their contributions and show that in the TD limit it becomes sharply peaked around its mean value.

The sum \( \Sigma(\{m\}) \) for each configuration gives the number of momenta \( k \) for which both \( k \) and \(-k\) modes are either filled or empty. We call these “good momenta” hereafter. Without loss of generality we can assume that \( M_1 \geq M_2 \). The configurations can be grouped into classes: the \( n \)-th class consists of configurations in which for exactly \( n \) out of the \( M_2 \) momenta the opposite momentum state is one of the empty \( N - M_1 \) states. There are
\[
\binom{M}{M_1}\binom{M_1}{M_2 - n}\binom{M_1}{n}
\]
such configurations and they have \( (M_2 - n) + (M_1 - n) \) good momenta \( (M_2 - n) \) filled pairs and \( M_1 - n \) empty pairs. The average value of \( \Sigma(\{m\}) \) is
\[
\Sigma = \sum_{\text{config.}} \sum_{k \in I_1} [m_k m_{-k} + (1 - m_k)(1 - m_{-k})]
\]
\[
\text{#config.}
\]
where the sum over the configurations in the numerator is given by

\[
\left( \frac{M}{M_1} \right) \sum_{n=0}^{M_2} \left( \frac{M_1}{M_2-n} \right) \left( M - M_1 \right) \left( M - M_1 + M_2 - 2n \right) = \frac{M}{M_1} \frac{M_1 M_2}{M_2} \left( \frac{M - M_1}{M_2 - n} \right) \left( M - M_1 \right) \left( M - M_1 + M_2 - 2n \right)
\]

which gives

\[
\Sigma = M \left[ \frac{M_1 M_2}{M_1 M_2} + \left( 1 - \frac{M_1}{M} \right) \left( 1 - \frac{M_2}{M} \right) \right]
\]

\[
= M \left( m(p)m(-p) + [1 - m(p)][1 - m(-p)] \right).
\]

We can also calculate the variance of the distribution:

\[
\sigma^2 = \frac{1}{M(M_1)M_2} \left( \frac{M}{M_1} \right) \sum_{n=0}^{M_2} \left( \frac{M_1}{M_2-n} \right) \left( M - M_1 \right) \left( M - M_1 + M_2 - 2n - \Sigma \right)^2
\]

\[
= \frac{4M_1 M_2 (M - M_1) (M - M_2)}{(M - 1)^2 M^2}
\]

\[
= \frac{M}{1 - M^{-1}} \frac{4m(p)m(-p) [1 - m(p)][1 - m(-p)]}{[1 - m(p)][1 - m(-p)]}.
\]

In the TD limit the number of momenta in the interval \( I \) goes to infinity while the function \( m(p) \) is kept fixed, then \( M \) scales with \( N \) and the relative variance vanishes:

\[
\sigma^2/\Sigma \approx \frac{1}{\sqrt{N}}.
\]

One can even show that the discrete distribution approaches the normal distribution \( N(\Sigma, \sigma) \) in the TD limit.

Since the distribution is sharply peaked we can substitute the contribution of the interval \( I \) to the entropy by its average \( \Sigma \). The remaining sum over the small momentum intervals can then be straightforwardly written as an integral in the TD limit:

\[
S_{GGE}^{TD} = \int_{-\pi}^{\pi} \frac{dp}{2\pi} H[m(p) - m(p)]
\]

\[
+ (m(p) + m(-p) - 1) \cos \Delta(p),
\]

where \( H(x) = -\frac{x}{2} \ln \left( \frac{1+x}{2} \right) - \frac{1-x}{2} \ln \left( \frac{1-x}{2} \right) \). Note that \( S_{GGE}^{TD} \) is the naive continuum limit of \( S_{GGE} \) in eq. (21) obtained by substituting \( m_k \to m(p) \) and replacing the sum with an integral. The result (35) is identical to the extensive part of the stationary entanglement entropy calculated in ref. [19] in which indeed the average of the (time-dependent) reduced density matrix has been considered. This confirms that GGE and entanglement entropies are always equal when calculated consistently.

\[\text{Conclusions. – We computed the stationary entropies of the GGE and of the diagonal ensemble after a quench from excited states of the Ising model. We have found that the GGE entropy is always twice the diagonal one, as already found for quenches starting from the ground state [14,15]. It remains an interesting open issue to understand whether simple relations between GGE and diagonal entropies exist in interacting integrable models for which the initial states can be in principle a more complicated superposition of elementary excitations [40–46]. Furthermore, we showed that the thermodynamic limit of the entropies strongly depends on how the average over the initial microstates with the same macroscopical features is taken, and which one is more relevant depends in principle...} \]

\[\text{Fig. 1: Histogram of the diagonal entropy computed by eq. (22a) using } 10^4 \text{ randomly generated microscopic } \{m_k\} \text{ configurations in which } \sim 1000 \text{ momenta are distributed as } \sim e^{-k/2} \text{ on a chain of length } L = 5 \times 10^4. \text{ The parameters of the quench are } h_0 = 7, h = 2. \text{ The dashed vertical line is the result of the continuum formula in eq. (34).} \]

\[\text{Integral representation for } S_{GGE}^{ST} \text{ in the TD limit and comparison with the entanglement entropy. – The calculation of } S_{GGE}^{ST} \text{ in the TD limit is instead much easier using the results of ref. [19]. Indeed, taking the average of the density matrix corresponds to averaging its elements which are multipoint correlation functions; by Wick’s theorem they can be deduced from the two-point fermionic functions which have already been calculated in ref. [19]. Just by plugging those results in the density matrix one obtains} \]

\[
S_{GGE}^{ST} = \int_{-\pi}^{\pi} \frac{dp}{2\pi} H[m(p) - m(p)]
\]

\[
+ (m(p) + m(-p) - 1) \cos \Delta(p),
\]

\[\text{with } s(p) = s_k(p), \text{which agrees with the naive substitution } m_k \to m(p) \text{ and } \sum_k \to N \int dp/(2\pi) \text{ in eqs. (22) but not in eqs. (20) and (21).} \]

Naturally, this expression holds for the overwhelming majority of microscopic states that are described by the smooth \( m(p) \) function, but one can always construct very atypical states for which the formula fails such as those reported above in which every other momentum state is filled. These rare states are of course also present in any kind of coarse-grained statistical physics description.

We also checked through numerical experiments that for random microscopic states generated to follow a given \( m(p) \sim e^{-p/2} \) characteristic function, the continuum formula (34) indeed agrees with the microscopic calculation of the entropy in eqs. (22) (see fig. 1).
on which real experiment (or numerical simulation) one aims to describe.

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