Neuroanatomical and Functional Correlates of Cognitive and Affective Empathy in Young Healthy Adults

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Neural substrates of empathy are mainly investigated through task-related functional MRI. However, the functional neural mechanisms at rest underlying the empathic response have been poorly studied. We aimed to investigate neuroanatomical and functional substrates of cognitive and affective empathy. The self-reported empathy questionnaire Cognitive and Affective Empathy Test (TECA), T1 and T2*-weighted 3-Tesla MRI were obtained from 22 healthy young females (mean age: 19.6 ± 2.4) and 20 males (mean age: 22.5 ± 4.4). Groups of low and high empathy were established for each scale. FreeSurfer v6.0 was used to estimate cortical thickness and to automatically segment the subcortical structures. FSL v5.0.10 was used to compare resting-state connectivity differences between empathy groups in six defined regions: the orbitofrontal, cingulate, and insular cortices, and the amygdala, hippocampus, and thalamus using a non-parametric permutation approach. The high empathy group in the Perspective Taking subscale (cognitive empathy) had greater thickness in the left orbitofrontal and ventrolateral frontal cortices, bilateral anterior cingulate, superior frontal, and occipital regions. Within the affective empathy scales, subjects with high Empathic Distress had higher thalamic volumes than the low-empathy group. Regarding resting-state connectivity analyses, low-empathy individuals in the Empathic Happiness scale had increased connectivity between the orbitofrontal cortex and the anterior cingulate when compared with the high-empathy group. In conclusion, from a structural point of view, there is a clear dissociation between the brain correlates of affective and cognitive factors of empathy. Neocortical correlates were found for the cognitive empathy dimension, whereas affective empathy is related to lower volumes in subcortical structures. Functionally, affective empathy is linked to connectivity between the orbital and cingulate cortices.

Keywords: cognitive empathy, affective empathy, healthy subjects, cortical thickness, fMRI, resting-state connectivity, young adults, orbitofrontal cortex
INTRODUCTION

Empathy is the ability to understand the thoughts and feelings of others, and to respond to these feelings in an appropriate way. The construct of empathy can be divided into cognitive and affective dimensions (Walter, 2012; Gonzalez-Liencres et al., 2013; Dvash and Shamay-Tsoory, 2014), although the literature on this subject is not always clear due to the multidimensionality of the concept. Cognitive empathy-related processes such as the Perspective Taking dimension “occur through interactions between limbic and cognitive structures” (Decety et al., 2012). Indeed, literature on perception of others in distress or pain have linked somatosensory information with limbic affective and motivational components (Lamm et al., 2011; Decety et al., 2012).

In the past decades, there has been increasing interest in studying the neural basis of empathy with the emergence of magnetic resonance imaging (MRI) techniques in social neuroscience. Recent functional MRI (fMRI) studies have reported limbic structures such as the amygdala, the anterior insula, and the anterior cingulate cortex to be part of the neural bases of affective empathy (Lamm et al., 2011; Bernhardt and Singer, 2012; Gonzalez-Liencres et al., 2013). The prefrontal cortex, including dorsolateral, ventromedial, and orbitofrontal regions, would in turn be related to cognitive empathy (Van Overwalle and Baetens, 2009; Bernhardt and Singer, 2012). A meta-analysis carried out by Fan et al. (2011) including 40 studies concluded that the left anterior insula is recruited for both affective and cognitive empathy. By contrast, the right anterior insula and the right inferior frontal gyrus seem to be more related to affective-perceptual empathy while the left anterior cingulate cortex is involved in the process of cognitive empathy (Fan et al., 2011). In many of the functional MRI studies, empathy has been assessed as a state in performing a task-based MRI design rather than a trait (Lamm et al., 2007; Harvey et al., 2013; Braadbaart et al., 2014; Moore et al., 2015). Lately, few studies have investigated the functional connectivity of the brain at rest linked to the ability to empathize as an intrinsic feature (Takeuchi et al., 2014, 2018; Bilevicius et al., 2018) measured by questionnaires.

Similarly, there are few published structural MRI studies addressing the neuroanatomical substrate of empathic ability measured by questionnaires. The majority of these studies used regions selected a priori and a voxel-based morphometry (VBM) approach. Using VBM, Banissy et al. (2012) found negative correlations between scores of affective empathy and gray matter (GM) volumes in the left precuneus, inferior frontal gyrus, anterior cingulate cortex, somatosensory cortex, and the insula. By contrast, employing GM density measures, Eres et al. (2015) reported positive correlations in the insula. Cognitive empathy has been related to cingulate, dorsolateral, and dorsomedial prefrontal cortices (Banissy et al., 2012; Eres et al., 2015). Considering both affective and cognitive empathy, Goerlich-Dobre et al. (2015) described a positive correlation between GM volumes in the left amygdala, bilateral thalamus, and the left parahippocampal gyrus. In line with the findings on fMRI by Fan et al. (2011), the left anterior insula would also be a neuroanatomical substrate for global empathy (Mutschler et al., 2013). To date, only one previous study investigated the correlation between empathy scores and whole-brain cortical thickness (Valk et al., 2016). The authors found a positive correlation between empathy scores and cortical thickness in left inferior frontal, opercular, and insular gyri.

To the best of our knowledge, no previous studies have investigated structural and functional dissociations of affective and cognitive empathy in the same sample of subjects. The aim of the present study was to investigate the structural and functional substrates of empathy in the same sample of healthy young persons. We were interested specially in differences within regions of the limbic system. We hypothesized that cognitive and affective empathy would present distinct regional cortical thickness patterns in neocortical regions and subcortical volumetric differences. Similarly, individuals would differentiate in their functional brain connectivity depending on empathy levels (low empathy and high empathy groups).

MATERIALS AND METHODS

Participants and Instruments

Fifty-six volunteers were recruited from advertising the study between students of the first course of the Nursing Bachelor of the University of Barcelona, Campus Clinic. These students were also invited to recruit friends or relatives of similar age and education. The inclusion criterion was that individuals would be between 18 and 35-years old. The exclusion criteria were: (1) presence of neurological or psychiatric disorders, (2) MRI incompatibilities such as metal implants that could not be extracted, (3) claustrophobia, (4) meeting DSM-IV criteria for substance abuse or dependence within the past year, and (5) current use of psychoactive medication.

Fourteen subjects were excluded due to the following reasons: 1 male met criteria of substance dependence, 1 male and 2 females were on psychoactive medication, 2 males and 3 females did not respond/did not show up on the day of the scan, 1 male and 3 females had a history of neurological or psychiatric disorders, and 1 female had MRI incompatibilities. Finally, 42 participants (22 females and 20 males) were included in the study. Additionally, for resting-state analysis 1 male was excluded due to excessive head motion.

Written informed consent was obtained from all participants after full explanation of procedures. The study was approved by the ethics committee of the Hospital Clinic of Barcelona. Subjects of this study were participants of an ongoing study funded by the Spanish Ministry of Science and Innovation (PSI2014-58004-P).

To exclude the presence of psychiatric disorders, the Mini International Neuropsychiatric Interview (Sheehan et al., 1998) was administered. Empathy was assessed with the Cognitive and Affective Empathy Test (TECA, López Pérez et al., 2008), which provides a global score of empathy and is divided into 4 subscales: 2 assessing cognitive empathy (Perspective Taking and Emotional Understanding) and 2 assessing affective empathy (Empathic Distress and Empathic Happiness).

Briefly, the Perspective Taking scale assesses the intellectual ability of putting oneself in someone else’s place. The Emotional
Understanding scale measures the ability of acknowledging and understanding the emotional states, intentions, and impressions of others. Within the affective scales, Emotional Distress is the ability of sharing others’ negative emotions, such as pain (Bernhardt and Singer, 2012). Finally, Empathic Happiness is the ability of sharing others’ positive emotions; in other words, to be happy when something good happens to another person (López Pérez et al., 2008).

Scores were transformed into T scores as recommended in the TECA manual (López Pérez et al., 2008) and two groups were established: T scores ≤55 were considered as low empathy and T scores ≥56 were considered as high empathy.

**MRI Acquisition and Preprocessing**

Magnetic resonance images were acquired with a 3T scanner (MAGNETOM Trio, Siemens, Germany), using an 8-channel head coil. The scanning protocol included high-resolution three-dimensional T1-weighted images acquired in the sagittal plane (TR = 2,300 ms, TE = 2.98 ms, TI = 900 ms, 240 slices, FOV = 256 mm; matrix size = 256 × 256; 1 mm isotropic voxel) and a resting-state 10-min-long functional gradient-echo planar imaging sequence (240 T2* weighted images, TR = 2.5 s, TE = 28 ms, flip angle = 80°, slice thickness = 3 mm, FOV = 240 mm). Subjects were instructed to keep their eyes closed, not to fall asleep, and not to think anything in particular.

**Cortical Thickness**

Cortical thickness was estimated using the automated FreeSurfer stream (version 6.0). The procedures carried out by FreeSurfer include removal of non-brain data, intensity normalization (Fischl et al., 2001), tessellation of the GM / white matter (WM) boundary, automated topology correction (Dale et al., 1999; Ségonne et al., 2007), and accurate surface deformation to identify tissue borders (Dale and Sereno, 1993; Fischl and Dale, 2000; Fischl et al., 2002). Cortical thickness is then calculated as the distance between the WM and GM surfaces at each vertex of the reconstructed cortical mantle (Fischl et al., 2002). After FreeSurfer preprocessing, results for each subject were visually inspected to ensure accuracy of registration, skull stripping, segmentation, and cortical surface reconstruction. Maps were smoothed using a circularly symmetric Gaussian kernel across the surface with a full width at half maximum (FWHM) of 15 mm.

**Subcortical Volumes**

Six subcortical volumes (amygdala, hippocampus, nucleus accumbens, thalamus, caudate, and putamen) and estimated total intracranial volume (eTIV) were obtained via whole-brain segmentation (Fischl et al., 2002). Ratios were calculated for all subcortical structures to eTIV (left or right-hemisphere subcortical structure / eTIV)*100.

**Resting-State Images**

Basic functional image preprocessing, using AFNI tools, included: discarding the first 5 volumes to allow magnetization stabilization, despiking, motion correction, grand-mean scaling, linear detrending, and temporal filtering (maintaining frequencies above 0.01 Hz).

For connectivity analysis, based on previous literature we defined 6 regions of interest: the bilateral orbitofrontal, cingulate, and insular cortices, amygdala, hippocampus, and thalamus. The corresponding masks were extracted from the Brainnetome Atlas, which is built on functional and anatomical images. We merged all brainnetome subregions corresponding to each selected region (see Supplementary Table 1). Since this atlas is registered to MNI space, (unsmoothed) resting-state 10-min-long functional images normalized to standard MNI space (voxel size: 3 mm × 3 mm × 3 mm) were used to extract the signal variation time course of the regions of interest.

**Noise Correction and Head Motion**

Regarding head motion parameters, an exclusion cut-off was established for mean interframe head motion at ≥0.3 mm translation or ≥0.3° rotation; and for maximum interframe head motion at ≥1 mm translation or 1° rotation. As described in the participants section, we excluded 1 male participant due to excessive head movement (maximum rotation: 3.06°).

In order to remove the effects of head motion and other non-neural sources of signal variation from the functional data, we used an Independent Component Analysis (ICA)-based strategy for Automatic Removal of Motion Artifacts (ICA-AROMA, Pruij et al., 2015). ICA-AROMA decomposes the data via ICA and automatically identifies which of these components are related to head motion, by using four robust and standardized features.

As quality control measure to assess the efficacy of ICA-AROMA in reducing relationship between signal variation and motion, we performed correlations between framewise head displacement (Power et al., 2012) and overall signal variation (defined as the voxel-wise root mean square intensity difference between subsequent time points) after regressing the ICA-AROMA components. These two measures should not correlate significantly because signal change should not be explained by head motion. Supplementary Table 2 summarizes groups’ means of all motion parameters.

**Statistical Analysis**

**Demographics and Empathy Scores**

Demographic and volumetric statistical analyses were conducted using IBM SPSS Statistics 25.0 (2011; IBM Corp, Armonk, NY, United States). We tested for group differences in demographics between females and males and between groups of high and low empathy for each test scale using the Mann-Whitney U-test for non-normally distributed quantitative measures as indicated by the Shapiro-Wilk test; for normally distributed measures, Student’s T-test was used. Fisher’s exact test was used for categorical measures.

**Cortical Thickness Analyses**

Intergroup cortical thickness comparisons were performed using a vertex-by-vertex general linear model with FreeSurfer.

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1 https://surfer.nmr.mgh.harvard.edu/
2 https://afni.nimh.nih.gov/afni
3 https://atlas.brainnetome.org/bnatlas.html
The model included cortical thickness as a dependent factor and the low/high groups of empathy from each subscale as independent factors. Scores in the Vocabulary subtest from the Wechsler Adults Intelligence Scale-IV (Wechsler, 2008) were entered as a covariate of no interest. All results were corrected for multiple comparisons using pre-cached cluster-wise Monte Carlo simulation with 10,000 iterations. Reported cortical regions reached a two-tailed corrected significance level of \( p < 0.05 \). Mean thickness (mm) from significant clusters was extracted for plotting results.

**Whole-Brain Cortical Thickness**

Whole-brain cortical thickness comparisons showed that subjects grouped in the high Perspective Taking scores (cognitive empathy) showed thicker cortex in left lateral and medial orbitofrontal gyrus, lateral pars opercularis extending to pars triangularis, and inferior frontal gyrus, as well as in bilateral medial superior frontal, anterior and middle cingulate gyrus, and lateral and medial occipital regions (Figures 1A,B). There were no other significant differences between groups in other subscales or in the TECA global score.

**Subcortical Regions**

Subcortical volumetric analyses showed that participants grouped in the high Empathic Distress scale had significantly higher bilateral thalamus volumes than the low-empathy group, although the multivariate test was not statistically significant (Supplementary Table 3).

**DISCUSSION**

The novelty of this study is the characterization of distinct neuroanatomical and functional correlates of cognitive and affective empathy in the same sample of healthy young adults. Overall, our findings showed that the orbitofrontal and cingulate cortices were related to both empathic dimensions. Higher cognitive empathy was associated with orbitofrontal thickening extending to the ventrolateral prefrontal cortex and bilateral superior frontal, cingulate, and occipital cortices. On the other hand, high negative affective empathy was linked to higher bilateral thalamus volume. The low positive affective empathy group had higher connectivity at rest between the bilateral orbitofrontal and cingulate cortices.

According to our structural and functional results, the orbitofrontal and anterior cingulate cortices seem to be key structures involved in empathy. However, cortical thickness was better able to discriminate between individuals with high and low empathy than resting state functional connectivity. The fact that the structural neuroanatomical information was more informative than functional connectivity is compatible with the notion that empathy was measured as a personality trait (e.g., “to

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Footnote:

4https://fsl.fmrib.ox.ac.uk/fsl/fslwiki/Fslutils
TABLE 1 | Demographical and empathy variables.

|                         | Males (n = 20) | Females (n = 22) | Test stat | p-value | Total sample (n = 42) |
|-------------------------|----------------|------------------|-----------|---------|----------------------|
| Age, median (IQR)       | 22.5 (8.0)     | 19.0 (2.0)       | 150.500\(^1\) | 0.071   | 19.0 (5.0)           |
| Education, years, median (IQR) | 13.5 (6.0)    | 13.0 (2.0) | 184.500\(^1\) | 0.353   | 13.0 (4.0)           |
| Vocabulary test*, median (IQR) | 38.0 (8.0)    | 38.0 (6.0) | 224.000\(^1\) | 0.714   | 38.0 (6.0)           |
| TECA total score, mean (SD) | 114.2 (12.0)  | 132.4 (13.4) | 4.841\(^2\)   | <0.001  | 123.7 (15.6)         |
| TECA total, low/high (%) | 10 (50.0) / 10 (50.0) | 6 (27.3) / 16 (72.7) | 0.204       | 0.116   | 16 (38.1) / 26 (61.9) |
| Perspective taking, mean (SD) | 31.4 (4.5)   | 33.4 (4.3) | 1.492\(^2\)   | 0.144   | 32.4 (4.4)           |
| Perspective taking, low/high (%) | 8 (40.0) / 12 (60.0) | 6 (27.3) / 16 (72.7) | 0.515       | 0.293   | 14 (33.3) / 28 (66.7) |
| Emotional understanding, mean (SD) | 31.9 (5.7)   | 35.6 (4.2) | 2.440\(^2\)   | 0.019   | 33.9 (5.2)           |
| Emotional understanding, low/high (%) | 9 (45.0) / 11 (55.0) | 7 (31.8) / 15 (68.2) | 0.527       | 0.288   | 16 (38.1) / 26 (61.9) |
| Empathic distress, mean (SD) | 19.5 (6.5)    | 28.0 (5.7) | 4.949\(^2\)   | <0.001  | 24.0 (7.4)           |
| Empathic distress, low/high (%) | 16 (80.0) / 4 (20.0) | 13 (59.1) / 9 (40.9) | 0.190      | 0.129   | 29 (69.0) / 13 (31.0) |
| Empathic happiness, median (IQR) | 32.0 (7.0)   | 36.5 (7.0) | 333.000\(^1\) | 0.004   | 34.0 (7.0)           |
| Empathic happiness, low/high (%) | 11 (55.0) / 9 (45.0) | 9 (40.9) / 13 (59.1) | 0.937      | 0.273   | 20 (47.6) / 22 (52.4) |

\(^1\)Mann-Whitney U-test; \(^2\)Student’s t-test; \(^*\)Vocabulary subtest of the Wechsler Adult Intelligence Scale-IV; SD, standard deviation; IQR, interquartile range; TECA, Test of Cognitive and Affective Empathy. Data are shown as mean (SD) for normally distributed quantitative measures; median (IQR) for non-normally distributed measures and as frequency (percentage) for categorical variables. Fisher’s exact test was used for categorical variables. Test stats are group comparisons between males and females.

understand how another person feels is something really easy to me”) rather than a state (Leiberg and Anders, 2006).

Group differences in cortical thickness between groups of high and low empathy within the Perspective Taking subtest were observed in both medial and lateral orbital cortices. Previous structural MRI studies also pointed to the dorsomedial prefrontal cortex as an anatomical substrate of empathy in healthy subjects using cortical thickness measures both studying cortical parcellations (Massey et al., 2017) and from a whole-brain approach (Valk et al., 2016). Earlier studies evidenced dorsomedial prefrontal correlations with empathy using a different methodological approach based on GM density (Eres et al., 2015).

In pathological conditions, cortical thickness correlates in lateral and medial prefrontal cortices have been described. For example, in pathological narcissism, which is characterized by arrogant behavior and lack of empathy, volumetric reductions, and cortical thinning in the right dorsolateral prefrontal cortex

![FIGURE 1](image-url)
have been found (Mao et al., 2016). Also, reduced cortical thickness in inferior, middle, and superior frontal gyri was related to cognitive empathy in individuals at high risk of alcohol abuse (Schmidt et al., 2017). Dysfunctions in social cognition have also been described in neurological disorders (Henry et al., 2016). In a study with patients diagnosed with the behavioral variant of frontotemporal dementia, Perspective Taking scores correlated with atrophy in extensive parts of the right dorsolateral prefrontal cortex (Eslinger et al., 2011). From fMRI task-based studies, Fan et al. (2011) suggest that the left anterior insula is the core of the empathy network while there is regional specificity for both cognitive and affective dimensions, being the left orbitofrontal a cognitive-related region.

Structurally, we also found thicker bilatera[...](2012; Massey et al., 2017) as well as the middle cingulate cortex (Eres et al., 2015) have been reported in healthy individuals. In a study of patients with frontal lesions, it has been found that patients with lesions located in the medial prefrontal cortex extending to the anterior cingulate gyrus had poor cognitive empathic abilities (Shamay-Tsoory et al., 2009).

In the present study, group connectivity differences were found between the bilateral orbitofrontal with the anterior cingulate in the scale evaluating positive affective empathy. Within the limbic system, the cingulate cortex has been reported as a hub region, defined as a region that integrates different brain processes (Van Den Heuvel and Sporns, 2013). Indeed, the rostral anterior cingulate cortex projects to lateral and orbital regions of the prefrontal cortex (Bernhardt and Singer, 2012). Interestingly, we found regional thickening in these regions. Recent psychopathological studies using resting state images, showed orbitofrontal anomalies in conditions characterized by a lack of empathy including individuals with autism (Bi et al., 2018) and psychopathic subjects (Espinoza et al., 2018). In this last study, brain anomalies were also reported in the anterior and posterior cingulate. Our results suggest that subjects with low affective empathy with no previous psychiatric condition would over-engage cognitive-empathic pathways. However, it is important to highlight that in the literature of empathy in autism and psychopathy, there is an ongoing discussion in whether a lack of empathy is genuine or not (Decety et al., 2013; Richman and Bidshahri, 2018).

In our results, we also found regional thickness differences in bilateral occipital cortex involving the pericalcarine and lingual gyri using whole-brain cortical thickness analysis. Since most of the structural studies performed with healthy subjects have focused on regions selected a priori, literature relating cognitive empathy to non-limbic brain areas is scant. Similarly to our results, Valk et al. (2016), performing a whole-brain approach, reported the right occipital and fusiform gyri as anatomical substrates of mentalizing. This work is relevant since they combined structural vertex-wise whole brain analyses with an empathic fMRI task. In pathological conditions, Hadjikhani et al. (2006) found cortical thickness reductions in the left inferior occipital region in high-functioning autism spectrum disorders adults, and Schmidt et al. (2017) found reduced cortical thickness in the right precuneus in subjects at high risk of alcoholism and low empathy.

In the current study, analyses of volumetric subcortical structures showed that participants with high Empathic Distress scale scores had significantly higher bilateral thalamus volumes compared with those in the low-empathy group. Previous MRI structural studies have also found a link between the thalamus and both affective and cognitive empathy. One study investigated the neural correlates of both empathy and alexithymia in a sample of healthy participants and reported the thalamus, together with other structures including left amygdala, hippocampus and parahippocampal gyrus as significant correlates of both constructs (Goerlich-Dobre et al., 2015). Similarly, lower affective and cognitive empathy in individuals with temporal lobe epilepsy was associated with smaller fronto-limbic regions including the thalamus (Toller et al., 2015). The thalamus is a complex structure that makes multiple projections to other subcortical structures and the neocortex. The so-called limbic thalamus connects with other limbic structures and has been associated with stress and anxiety states (Vertes et al., 2015).

Global TECA scores did not reveal any significant differences between groups either in cortical or subcortical structures or in functional connectivity, thus emphasizing the importance of differentiating between the cognitive and the affective empathy, with different underlying neural bases. Sex differences were found in all TECA subscales and global scores as previously reported in the literature (Bratek et al., 2015; Esquerda et al., 2016), although these differences disappeared when considering the construct of empathy as binomial (high/low empathy). The actual influence of sex in empathy is still under debate.
Previous studies mainly focused on a priori regions already described in the literature from functional MRI studies. One of the strengths and novelty of the present study is the whole-brain vertex-wise methodology used to compare groups in the structural analyses. A second strength is that all participants were similar on demographical variables (i.e., age and education level). Regarding functional connectivity analyses, results reported in the text survived all multiple comparison corrections applied.

The main limitation is the small sample size. Unlike in the whole-brain approach used in the structural analysis, we decided to select a priori regions for resting-state connectivity analyses to increase the detection power. Additionally, the study sample was composed of healthy young subjects with no neurological or psychiatric conditions, which makes subtler the neuroanatomical correlates linked to personality traits.

CONCLUSION

In conclusion, we found that structural differences between individuals with high and low empathy are more marked than functional ones. Cognitive empathy had clear correlates with cortical structures, namely medial and lateral prefrontal cortices and associative occipital ones. For affective empathy, only a link with the thalamus was observed. However, in the absence of neuroanatomical differences in positive affective empathy, individuals with low empathy showed increased orbital functional connectivity with the anterior cingulate.

ETHICS STATEMENT

The study was approved by the ethics committee of the Hospital Clinic of Barcelona. Subjects of this study were participants of an ongoing study funded by the Spanish Ministry of Science and Innovation (PSI2014-58004-P). Written informed consent was obtained from all participants after full explanation of procedures.

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AUTHOR CONTRIBUTIONS

CJ contributed in the design of the study. CU, AP-D, and AA contributed to the analysis of the data. CU, AP-D, AA, HB, BS, and CJ contributed to the interpretation of the data, revised the manuscript critically for important intellectual content, and approved the final version of the manuscript. AP-D wrote a first draft. CU and CJ modified the first draft of the article.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fnbeh.2019.00085/full#supplementary-material

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