What have we learned about the sources of ultrahigh-energy cosmic rays via neutrino astronomy?

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Observations of TeV–PeV-energy cosmic neutrinos by the IceCube observatory have suggested that extragalactic cosmic-ray sources should have an optical depth greater than ~0.01 and contribute to more than 10% of the observed bulk of cosmic rays at 10 PeV. If the spectrum of cosmic rays from these extragalactic sources extends well beyond 1 EeV, the neutrino flux indicates that extragalactic cosmic-ray protons are dominant in the observed total cosmic-ray flux at 1 EeV. Among known powerful astronomical objects, including gamma-ray bursters (GRBs), only flat-spectrum radio quasars could (barely) satisfy these conditions. On the other hand, the null detection of neutrinos with energies well beyond PeV has excluded the possibility that radio-loud active galactic nuclei (AGNs) and/or GRBs, the popular source candidates discussed in the literature, are the origins of the highest-energy cosmic rays (~ 100EeV) if they are composed mainly of protons. Their origins must be objects that have evolved on time scales comparable to or slower than the star formation rate. These considerations indicate that none of the known extragalactic astronomical objects can be simultaneously a source of both PeV- and trans-EeV-energy cosmic rays. As a result of the stringent limits on EeV-energy neutrino fluxes, a significant part of the parameter space for the AGN and new-born pulsar models is starting to seem unfavorable, even for scenarios of mixed and heavy cosmic-ray compositions at the highest energies.

I. INTRODUCTION

High-energy neutrino astronomy has finally begun. The detection of PeV-energy neutrinos [1] and the follow-up analyses [2] by the IceCube Collaboration revealed the existence of astrophysical “on-source” neutrinos at energies ranging from TeV to PeV. These neutrinos are expected to be produced by the interactions of ultrahigh-energy cosmic-ray (HECR) protons via pp collisions or γp collisions. The bulk intensity of these neutrinos, \( E_\nu^2 \phi_{\nu_e + \nu_\mu + \nu_\tau} \approx 3.6 \times 10^{-8} \text{GeVcm}^{-2} \text{sec}^{-1} \text{sr}^{-1} \), provides an important clue to understanding the general characteristics of UHECR sources through the connection between the observed cosmic-ray and neutrino intensities.

In the even higher-energy region from EeV to 100 EeV (\( E_{\nu} = 10^{6} \text{GeV} \)), the highest-energy cosmic-ray (HECR) protons generate EeV-energy neutrinos via interactions with cosmic microwave background (CMB) photons [3] and extragalactic background light (EBL) during their propagation in intergalactic space. The intensity of these “GZK cosmogenic” neutrinos [4] averaged over the sky is a consequence of the integral of the HECR emission over cosmic time, as neutrinos are strongly penetrating particles that can travel cosmological distances. It is, therefore, an observational probe to trace the HECR source evolution. In particular, the cosmogenic neutrino intensity from 100 PeV to 10 EeV is highly sensitive to the evolution of the HECR emission rate and less dependent on other uncertain factors such as the highest energy of accelerated cosmic rays at their sources. As this energy range coincides with the central region covered by the IceCube ultrahigh-energy neutrino searches, the flux sensitivity achieved by IceCube has started to constrain a sizable parameter space of HECR source evolution, revealing the general characteristics of UHECR and HECR sources independent of the cosmic-ray acceleration model.

In this article, we review the new knowledge of UHECR/HECR sources provided by neutrino observations by IceCube. The standard ΛCDM cosmology with \( H_0 = 73.5 \text{ km s}^{-1} \text{ Mpc}^{-1}, \Omega_M = 0.3, \Omega_L = 0.7 \) is assumed throughout this article.

II. THE COSMIC NEUTRINO SPECTRUM: OVERVIEW

Figure 1 displays the spectrum of neutrinos coming from all over the sky, i.e., diffuse neutrino fluxes. The massive background of atmospheric neutrinos ranging in energy over many orders of magnitude had masked the astrophysical neutrinos until the IceCube observatory finally revealed their existence. The spectrum shown here, taken from a model [5], has a cutoff feature at ~ PeV, but it is not clear yet whether the IceCube neutrino fluxes have a spectral cutoff. It is not even obvious that the spectrum can be well described by a single power law formula. An analysis with enhanced sensitivity in the 10 TeV region seems to exhibit a trend toward a softer spectrum [6] than the up-going diffuse muon neutrino analysis, which is sensitive at energies above ~ 100 TeV [7]. On-going efforts in the IceCube Collaboration will ultimately resolve these issues. Nevertheless, the intensity, \( E_\nu^2 \phi_{\nu_e + \nu_\mu + \nu_\tau} \approx 3.6 \times 10^{-8} \text{GeVcm}^{-2} \text{sec}^{-1} \text{sr}^{-1} \), has been well determined within a factor of two, and the implications for the origin of UHECRs based on the intensity are not affected by these details of the spectral structure. If the neutrino emitters are also sources of the cosmic rays we are observing (which is very likely but not an undeniable assumption), we can associate the neutrino flux with their parent cosmic-ray proton flux, and its comparison to the observed cosmic-ray spectrum places some
constraints on the source characteristics.

The GZK cosmogenic neutrinos are expected to emerge in the 100 PeV–EeV sky. Their intensity at the highest-energy end (∼ 50–100 EeV) depends mainly on the maximal accelerated energy of cosmic rays at their sources and is not relevant to the ultrahigh-energy neutrino search by IceCube, as it is most sensitive at energies below 10 EeV. The intensity at the lowest-energy tail (∼ 10–100 PeV) is determined by the EBL density and its evolution, which is EBL-model-dependent and could vary the flux by a factor of ∼ 5 at ∼ 10 PeV. The EeV-energy intensity is decided primarily by the HECR source evolution in redshift space. The integral intensity of cosmogenic neutrinos above 100 PeV ranges from 10^{−17} to 3 × 10^{−16} cm^{−2} sec^{−1} sr^{−1} depending on these factors. The IceCube detection exposure for UHE neutrinos has now reached ∼ 3 × 10^{16} cm^{2} sec sr, and one can see that the IceCube sensitivity enables access to a significant parameter space of the cosmogenic neutrino production models.

III. THE CONSTRAINTS ON PEV- AND EEV-ENERGY UHECR SOURCES

The flux of astrophysical neutrinos produced by UHECR protons at their sources is related to their parent cosmic-ray intensity via the proton-to-neutrino conversion efficiency. The efficiency is usually parameterized in the form of the optical depth of the proton interactions. For neutrinos produced through photomeson production (γp), their diffuse flux integrating emitted neutrinos over all the sources of UHECR protons with a spectrum in the form of the optical depth of the proton interactions.

\[ \phi_{\nu_e+\nu_\mu+\nu_\tau}(E_\nu) \simeq \frac{2n_0 \kappa_{\text{CR}} c}{\alpha^2 H_0} \frac{s_R}{\sqrt{(s_R + m_\pi^2 - m_p^2)^2 - 4s_Rm_\pi^2}} \left( \frac{1 - e^{-\tau_0}}{2(m - \alpha)} - 1 \right) \left( \frac{E_\nu}{E_{0}x_R^0(1 - r_\pi)} \right)^{-\alpha} \] (1)

\[ \phi_{\nu}(E_{\nu}) = n_0 \kappa_{\text{CR}} \int_{0}^{z_{\text{max}}} dz \left( 1 + z \right)^{1 - \alpha} \psi(z) \left( \frac{dt}{dz} \right) e^{-\tau_0} \left( \frac{E_{\nu}}{E_0} \right)^{-\alpha} \] (2)

for \( \kappa_{\text{CR}} \).

The cosmic-ray flux integrating a UHECR spectrum over all the sources in the redshift space, which corresponds to the UHECR spectrum we observe, is given by

Here \( n_0 \) is the source number density at the present epoch, and the source evolution is parameterized as \( \psi(z) = (1 + z)^m \) extending to the maximal redshift \( z_{\text{max}} \) such that the parameter \( m \) represents the scale of the cosmological evolution often used in the literature. Further, \( s_R (\simeq 1.5 \text{GeV}^2) \) is the squared collision energy at the \( \Delta \) resonance of photopion production, \( r_\pi \equiv m_\pi^2/m_e^2 \simeq 0.57 \) is the muon-to-pion mass-squared ratio, \( m_p \) is the proton mass, and \( x_R^+ (\simeq 0.36) \) is the kinematically maximal bound of the relative energy of emitted pions normalized by the parent cosmic-ray energy. \( \tau_0 \), the optical depth of \( \gamma p \) interactions at the reference energy \( E_0 \), links the neutrino flux to the parent cosmic-ray intensity determined
producing some analytical approximations leads to the following simple formula:

\[
\phi_{\text{CR}}(E_{\text{CR}}) \simeq 2n_0\kappa_{\text{CR}} \frac{H_0}{c} e^{-\tau_0} \left( \frac{E_{\text{CR}}}{E_0} \right)^{-\alpha} \frac{1}{2(m - \alpha) - 1} \Omega_M^{-\frac{m - \alpha}{2 - \frac{m}{3}} - 1} \Omega_{\Lambda} \left[ \Omega_M(1 + z_{\text{max}}) + \Omega_{\Lambda} \right] \left[ \frac{m - \alpha}{2 - \frac{m}{3}} - 1 \right].
\]

Comparing this formula to Equation (1), one can find that the source evolution effect represented by the evolution parameter \( m \) is canceled in the ratio of the neutrino flux to the parent UHECR flux. This is because both the secondary produced neutrinos and emitted UHECRs originate in the same sources with the same evolution history. Consequently, the optical depth \( \tau_0 \) is a deciding factor in the relation between these two fluxes. The TeV–PeV neutrino observation by IceCube that determines the neutrino flux \( \phi_\nu \) thus associates the UHECR source optical depth with the UHECR flux.

Figure 2 displays the relations between the optical depth and the UHECR flux for several values of \( \alpha \), all of which are consistent with the IceCube observation at the present statistics \([2]\). Star-formation-like source evolution is assumed, but the other assumptions regarding the evolution would not change the main results, as explained above. The smaller optical depth, implying a lower neutrino production efficiency, would require more UHECR protons to be compatible with the neutrino intensity measured by IceCube. The optical depth of the UHECR sources must be larger than 0.01, as the parent UHECR flux would exceed the observed cosmic-ray flux otherwise. The proton flux from the neutrino sources contributes more than at least a few percent of all the UHECRs in the 10-PeV energy range. The magnetic horizon effect would not change these constraints unless the sources are very rare, for example, if their number density is much smaller than \( \sim 10^{-6}\text{Mpc}^{-3} \) \([5]\). Note that the lower bound of the source density set by the small-scale UHECR anisotropy study conducted by the Auger observatory \([2]\) is \( 6 \times 10^{-6}\text{Mpc}^{-3} \).

Gamma-ray bursters (GRBs) are strong candidates for UHECR acceleration sites and therefore high-energy neutrino production sites. Internal shocks are the most popular sites to produce high-energy neutrinos. An optical depth of \( 0.1 - 10^{-2} \) can be achieved, depending on the dissipation radius, which satisfies the optical depth condition shown in Figure 2. However, their energetics may be problematic. The typical gamma-ray energy output of a regular GRB is \( 10^{52} \) erg in gamma rays, and the local occurrence rate of long-duration GRBs is \( \sim 1 \text{Gpc}^{-3} \text{yr}^{-1} \). These data indicate that the luminosity of local cosmic rays generated from GRBs is \( 10^{44}(\eta_{\text{p}}/10)\text{erg Mpc}^{-3} \text{yr}^{-1} \), where \( \eta_{\text{p}} \) is the ratio of the UHECR output and \( \gamma \)-ray output, which is known as the baryon loading factor. This luminosity is 2 orders of magnitude smaller than that of UHECRs at 10 PeV and thus is too low to meet the requirement shown in Figure 2 that the UHECR flux from the sources must account for \( \geq 0.1 \) of the total UHECR flux. We conclude that GRBs are unlikely to be major sources of both PeV-energy UHE-
Among known astronomical objects, only flat-spectrum radio quasars (FSRQs) can realize a large \(\gamma p\) optical depth (\(\geq 0.01\)) and large energetics. The typical \(\gamma\)-ray luminosity density of FSRQs is \(\sim 10^{46}\) erg Mpc\(^{-3}\) yr\(^{-1}\) in our local universe. This is comparable to the local density of UHECRs at \(\sim 10\) PeV.

Figure 3 shows the constraints on the \(\gamma p\) optical depth and UHECR flux when the energy spectrum of UHECR protons emitted from the neutrino sources extends to much higher energies than the observed neutrino energies. The allowed regions in the parameter space become much smaller than those for the constraints for \(E_0 = 10\) PeV because the spectrum of the observed cosmic rays is steeper than the observed neutrino spectrum. Note that the optical depth constrained here is not at the EeV level, but at the PeV level, because PeV-energy protons are responsible for the neutrinos detected by IceCube. The constraints suggest that the optical depth of protons for PeV-energy neutrinos is rather high, \(\tau_0 \geq 0.2\), and also that a major fraction of UHECRs in the EeV region is extragalactic protons. This supports the “dip” transition model [10] of UHECR protons, where the ankle structure of the cosmic-ray spectrum, which appears at 3 to 10 EeV, is caused by the energy loss of extragalactic UHECR protons by Bethe–Heitler pair production with CMB photons. This model predicts a high GZK cosmogenic neutrino flux at 10–100 PeV. However, as we see in the next section, the null detection of cosmogenic neutrino candidates in the IceCube seven year dataset excludes the dip transition scenario if HECRs are proton-dominated. This suggests that the source of neutrinos seen by IceCube is not the main source of cosmic rays at EeV energies or higher. No known class of astronomical objects can meet the stringent requirement of the \(\gamma p\) optical depth, \(\tau_0 \geq 0.1\), and the UHECR energetics. Only bright FSRQs such as those with \(L_{\gamma} \sim 10^{50}\) erg sec\(^{-1}\) could realize \(\tau_0 \sim 0.1\), but such FSRQs are too rare to energetically reproduce the observed UHECR flux at 1 EeV.

According to these considerations, none of the known extragalactic objects can be found to function as an origin of both PeV-energy neutrinos and HECRs.

### IV. THE CONSTRAINTS ON THE HIGHEST-ENERGY COSMIC-RAY SOURCES

The analysis of seven years of IceCube data obtained in the search for ultrahigh-energy neutrinos (energies larger than 10 PeV) has been reported [11]. The analysis was optimized in particular for neutrinos with energies above 100 PeV. The exposure has reached \(\sim 10^{17}\) cm\(^2\) sec sr at 1 EeV, which makes it possible to probe an important region of the parameter space in the GZK cosmogenic neutrino models. Two events with estimated deposited energies of 2.6 and 0.77 PeV, respectively, were identified in this analysis, but no events were found in the higher-energy region. This observation presents a serious challenge to the standard baseline candidates of HECR sources discussed in the literature.

An IceCube simulation was used to predict the number of events IceCube would detect on the plane of the reconstructed energy and zenith angle for each model of ultrahigh-energy neutrinos (including GZK cosmogenic neutrinos). Figure 4 shows examples from the models. The resolution of the reconstructed deposited energies of energetic events is less than excellent owing to the stochastic nature of the muon energy loss profile at PeV energies. Furthermore, IceCube’s ability to associate the estimated energy deposit of an event with its parent neutrino energy is rather limited because only a small fraction of the neutrino energy is converted to the visible form (i.e., the deposited muon energy) by the IceCube detectors. Nevertheless, Figure 4 exhibits clear differences between two different models. The GZK model yields an event distribution with an energy peak higher than the softer astrophysical neutrino models. The events from the GZK model are also distributed more sharply in the horizontal direction i.e., \(\cos(\text{zenith}) = 0\). This is because neutrinos with energies at the EeV level experience strong absorption effects as they propagate through the Earth. These features, as well as the total event rate (which is equivalent to the normalization of the event distributions shown in Figure 4), makes it possible to determine which models are compatible with the observation. The binned Poisson log-likelihood ratio test was performed. The simulated event distributions on the energy–zenith angle plane, such as those shown in Figure 4, give the expected number of events in each bin of the energy proxy and cosine of the zenith, which were used to construct the binned Poisson likelihood. A log-likelihood ratio was used as a test statistic, and an ensemble of pseudo-experiments to derive the test statistical distribution was used to calculate the p-values.

The hypothesis that the two observed PeV-ish events are of GZK cosmogenic origin is rejected, with a p-value of 0.3% (which implies that it is incompatible with the event distribution shown in the left panel of Figure 4) but is consistent with a generic astrophysical power-law flux such as \(E_{\nu}^{-2}\) or \(E_{\nu}^{-2.5}\) [11]. This result makes it possible to set an upper limit on the ultrahigh-energy neutrino flux extending above 10 PeV and thus to also test the GZK cosmogenic neutrino models using the binned Poisson log-likelihood ratio method.

The various cosmogenic neutrino energy spectra are displayed in Figure 5. Many of them are rejected or disfavored by the IceCube observation. Regardless of where the HECR sources are and how they accelerate cosmic rays, the emitted HECR protons must produce secondary neutrinos by the GZK mechanism as they travel through space. In this sense, any consequences of these bounds on GZK neutrinos are considered as robust and model-independent arguments.

We summarize the findings below.

- Cosmogenic models with the maximal flux allowed
by the Fermi-LAT measurement [16] of the diffuse extragalactic $\gamma$-ray background are rejected. This finding implies that the present limits imposed by the neutrino observation are at least as stringent as those imposed by the $\gamma$-ray observation.

- HECR source evolution comparable to the star formation rate (SFR) is beginning to be constrained. Sources evolving more strongly than the SFR, such as FSRQs and GRBs, are unlikely to be HECR sources; otherwise, IceCube would have detected cosmogenic-neutrino-induced events already.

- Any GZK cosmogenic type of energy spectrum must have an intensity below $E_{\nu}^3 \phi_{\nu_e+\nu_{\mu}+\nu_{\tau}}(E_{\nu}) = 3 \times 10^{-9}$GeV cm$^{-2}$ sec$^{-1}$ sr$^{-1}$ at 100 PeV. This limit rejects the dip transition model of UHECRs.

More generic constraints obtained by the IceCube Collaboration [11] by scanning the parameter space for the source evolution function, $\psi(z) = (1 + z)^m$, extending to the maximal redshift $Z_{\text{max}}$ are shown in Figure 6. The parameterized analytical formula for the cosmogenic fluxes [18] is used here. Because only the CMB is assumed as the target photon field in the parameterization, the limits are systematically weaker than those on the models that include EBLs. Approximate regions for the SFR and the evolution of Fanaroff–Riley type II (FR-II) galaxies are also shown for comparison. Note that neutrinos yielded at redshifts larger than 2 represent only a minor portion of the total cosmogenic fluxes owing to redshift dilution [14, 18]. This is especially true for the...
cosmogenic neutrino component created by interactions with the CMB (not the EBL). Considering this fact, together with the estimation that the luminosity function of FR-II-type AGNs or FSRQs falls off rapidly at redshifts beyond $z \approx 2$, and that the evolution of the SFR becomes more or less constant or falls off at redshifts beyond $z \sim 2.5$, the boxes representing SFR and FR-II evolution in Figure 6 approximate well their representation by the generic evolution function $\psi(z) = (1 + z)^{m}$ used in the plot. One can find that HECR source evolution stronger than the SFR is unlikely.

The detection of TeV–PeV neutrinos and the null detection of EeV neutrinos by IceCube have yielded many insights on the origin of UHECRs, which cannot be probed by other cosmic messengers. The most popular candidates for UHECR/HECR sources, GRBs and radio-loud AGNs, have now faced serious challenges from recent results in neutrino astronomy. GRBs and AGNs can still contribute to the observed bulk of the highest-energy cosmic rays but are unlikely to be their dominant sources. Astronomical objects tracing the standard SFR or evolving much more slowly are needed to explain the observation without fine-tuning. If the highest-energy cosmic rays are not proton-dominated, these constraints are certainly relaxed. The model-dependent tests described here, however, have already placed limits on some of the parameter space of the AGN/pulsar scenarios.

There is a loophole: a hypothesis that any high-energy neutrino emission does not involve cosmic-ray emission. A good example is the GRB choked jet model [26]. In dense environments, the optical depth $\tau_{\text{opt}} \gg 1$, which implies that all the proton energy is converted into neutrinos; i.e., the observed UHECRs and neutrinos are not directly connected.

How can we identify UHECR/HECR sources that evolve at the usual SFR, or even more slowly? Real-time multi-messenger observation triggered by high-energy neutrinos is a possible answer. IceCube has launched the Gamma-ray Coordinates Network-based alert delivery system [27]. The search algorithms for Extremely High-Energy neutrinos [1,11] and High-Energy Starting Events [2,13], the analysis channels that discovered the inner jets to be responsible for the majority of UHECRs/HECRs. The neutrino observation has started to exclude a sizable parameter space in the models of AGNs as an origin of HECRs even if HECRs are composed of heavy nuclei, although this is a model-dependent argument.

Fast-spinning newborn pulsars are also proposed as candidate sources of HECRs [24]. This proposal predicts a heavy-nuclei-dominated composition at the highest energies and thus would yield GZK neutrinos too rare to be detected. However, in this model, the accelerated particles traveling through the expanding supernova ejecta surrounding the star produce neutrinos with energies of 100 PeV to ~EeV. The predicted diffuse neutrino flux from fast pulsars is $E_{\nu}^{2}\phi_{\nu_{e}+\nu_{\mu}+\nu_{\tau}} \approx 1.1 \times 10^{-8}\text{GeVcm}^{-2}\text{sec}^{-1}\text{sr}^{-1}$, depending on the source emission evolution, and is accessible at the IceCube detection sensitivity [25].

A binned Poisson log-likelihood test of this model was performed by the IceCube Collaboration [11]. The model is rejected if the evolution of the source emission history traces the standard SFR, although it is not ruled out if the emission rate evolves more slowly than the SFR.

V. CONCLUSION

The detection of TeV–PeV neutrinos and the null detection of EeV neutrinos by IceCube have yielded many insights on the origin of UHECRs, which cannot be probed by other cosmic messengers. The most popular candidates for UHECR/HECR sources, GRBs and radio-loud AGNs, have now faced serious challenges from recent results in neutrino astronomy. GRBs and AGNs can still contribute to the observed bulk of the highest-energy cosmic rays but are unlikely to be their dominant sources. Astronomical objects tracing the standard SFR or evolving much more slowly are needed to explain the observation without fine-tuning. If the highest-energy cosmic rays are not proton-dominated, these constraints are certainly relaxed. The model-dependent tests described here, however, have already placed limits on some of the parameter space of the AGN/pulsar scenarios.
high-energy cosmic neutrinos, are now running in real time at IceCube’s South Pole data servers. Once a high-energy-neutrino-induced event is detected, an alert is sent immediately to trigger follow-up observations by other astronomical instruments. If UHECR/HECR sources are transient neutrino sources, we may be able to identify them by follow-up detection with optical/X-ray/γ-ray/radio telescopes. This is probably a promising way to approach identification of the yet-unknown origins of high-energy cosmic rays.

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