Abstract

DATR is a declarative language for representing a restricted class of inheritance networks, permitting both multiple and default inheritance. The principal intended area of application is the representation of lexical entries for natural language processing, and we use examples from this domain throughout. In this paper we present the syntax and inference mechanisms for the language. The goal of the DATR enterprise is the design of a simple language that (i) has the necessary expressive power to encode the lexical entries presupposed by contemporary work in the unification grammar tradition, (ii) can express all the evident generalizations about such entries, (iii) has an explicit theory of inference, (iv) is computationally tractable, and (v) has an explicit declarative semantics. The present paper is primarily concerned with (iii), though the examples used may hint at our strategy in respect of (i) and (ii).

1 Introduction

Inheritance networks ("semantic nets") provide an intuitively appealing way of thinking about the representation of various kinds of knowledge. This fact has not gone unnoticed by a number of researchers working on lexical knowledge representation, e.g. de Smedt (1984), Flickinger et al. (1985), Calder & te Lindert (1987), Daelemans (1987a,1987b), Gazdar (1987) and Calder (1989). However, many such networks have been realized in the context of programming systems or programming languages that leave their precise meaning unclear. In the light of Brachman (1985), Etherington (1988) and much other recent work, it has become apparent that the formal properties of notations intended to represent inheritance are highly problematic. Although not discussed here, DATR has a formal semantics (Evans & Gazdar 1989) for which some completeness and soundness results have been derived. These results, and others (on complexity, for example) will be provided in a subsequent paper. There are several prototype computational implementations of the language, and non-trivial lexicon fragments for English, German and Latin have been developed and tested.

2 Syntax

The syntax of DATR, especially the use of value terminated attribute trees to encode information derives from PATR (Shieber 1986). The language consists of strings of symbols drawn from the set SYM = { , , =, <=, =>, (, )} and the sets ATOM and NODE, all of which are disjoint.

A string is in DATR, (with respect to given sets ATOM of [atom]s and NODE of [node]s) iff it is a [sentence] as defined by the following set of rules:

(sentence) ::= [node]:[path] == [lvalue].

   | [node]:[path] = [value].

[lvalue] ::= [latom] | ([lseq])

[gvalue] ::= [gatom] | ([gseq])

[value] ::= [atom] | ([seq])

[latom] ::= [desc] | [gatom]

[gatom] ::= "[desc]" | [atom]
There are two kinds of sentence, those containing ‘==’ and those containing ‘=’. Both kinds have on their left-hand side a node: path specification, where a path is a sequence of atoms enclosed in <...>. Pragmatically, the ‘==’ sentences are intended for defining the network, whilst the ‘=’ statements express the values at individual nodes. Put another way, the former provide the database definition language whilst the latter provides the query language: the useful premises will standardly all be ‘==’ statements, whilst the interesting theorems will standardly all be ‘=’ sentences (though the language itself also allows the former to be derived as theorems and the latter to be used as premises). In view of this distinction, we shall sometimes refer to ‘==’ sentences as definitional and ‘=’ sentences as extensional. Throughout the examples in this paper, we shall use bold for nodes and roman for atoms. Bold italic and italic will be used for corresponding meta-notational variables. Variables such as $N$, $P$, $L$, $G$ and $V$ will be assumed to be typed (as nodes, paths, lvalues, gvalues and values respectively). We shall sometimes refer to atoms occurring in paths as attributes.

The right-hand sides of extensional sentences are values, that is, simple atoms or lists of atoms/nested lists enclosed in (...). Lists are provided to allow the components of complex values to be specified independently (inherited from different places, for example). As an example, the following sentences might be derivable from a lexical entry for English ‘be’:

Be: <pres tense sing one> = am.
Be: <pres participle> = (concat be ing).

Likewise, the following for German ‘Buch’:

Buch: <sing> = Buch.
Buch: <plur> = (concat (umlaut Buch) er).

Values are the principal ‘results’ of a DATR description: the most typical operation is to determine the value associated (by an extensional sentence) with some node/path pair.

The right-hand sides of definitional sentences are lvalues, which can be simple atoms, inheritance descriptors (quoted or unquoted), or lists of lvalues. An atom is primitive, an inheritance descriptor specifies where the required value can be inherited from, and lists allow arbitrary structures to be built as values. Inheritance descriptors come in several forms with two dimensions of variation. The unquoted/quoted distinction specifies whether the inheritance context is local (the most recent context employed) or global (the initial context employed). Once the context is established, the descriptor specifies a new node, a new lpath, or both to be used to determine the inherited value. For example, the following sentences might be found in a description of a lexicon for English:

EN MOR: << > == VERB.
EN MOR: <past participle> == (concat "<root>" en).
Take: << > == EN MOR.
Take: <root> == take.

Finally an lpath is a path made up of lvalues, that is, elements which themselves may need evaluation, as in this example:

Adjective:
<form> == <"<gen>" "<num>" "<case>">.

We adopt the following abbreviation convention for sets of sentences about a single node:

$N: P1 == L1$
$P2 == L2$
$\ldots$
$Pn == Ln.$

abbreviates:

$N: P1 == L1.$
$N: P2 == L2.$
$\ldots$
$N: Pn == Ln.$
and

\[ N: P_1 = V_1 \]
\[ P_2 = V_2 \]
\[ \ldots \]
\[ P_n = V_n. \]

abbreviates:

\[ N: P_1 = V_1. \]
\[ N: P_2 = V_2. \]
\[ \ldots \]
\[ N: P_n = V_n. \]

Thus the 'take' example given above could appear, in abbreviated form, as follows:

\[ \text{EN MOR:} \]
\[ \langle \rangle \Rightarrow \text{VERB} \]
\[ \langle\text{past participle}\rangle \Rightarrow (\text{concat } "\text{root}" \text{ en}). \]
\[ \text{Take:} \]
\[ \langle \rangle \Rightarrow \text{EN MOR}. \]
\[ \langle\text{root}\rangle \Rightarrow \text{take}. \]

3 Rule-based inference

DATR has seven syntactic rules of inference falling into three groups. The first rule just provides us with a trivial route from definitional to extensional sentences:

\[ (I) \quad N: P = V. \]
\[ \frac{N: P = V.}{N: P = V}. \]

For example, from:

\[ \text{VERB: } \langle\text{past}\rangle \Rightarrow \text{ed}. \]

one can infer:

\[ \text{VERB: } \langle\text{past}\rangle = \text{ed}. \]

Note that \( V \) must be a value (not an lvalue) here, otherwise the consequent would not be well-formed.

The next three rules implement local inheritance of values, and use the following additional meta-notational device: the expression \( E_0(E_2/E_1) \) is well-formed iff \( E_0, E_1 \) and \( E_2 \) are lvalues and \( E_1 \) occurs as a subexpression of \( E_0 \). In that case, the expression denotes the result of substituting \( E_2 \) for all occurrences of \( E_1 \) in \( E_0 \).

(II) \[ N_2: P_2 = G. \]
\[ N_1: P_1 = L. \]
\[ \frac{N_1: P_1 = L(G/N_2:P_2).}{N_1: P_1 = L(G/N_2:P_2).} \]

(III) \[ N_2: P_1 = G. \]
\[ N_1: P_1 = L. \]
\[ \frac{N_1: P_1 = L(G/N_2).}{N_1: P_1 = L(G/N_2).} \]

(IV) \[ N_1: P_2 = G. \]
\[ N_1: P_1 = L. \]
\[ \frac{N_1: P_1 = L(G/P_2).}{N_1: P_1 = L(G/P_2).} \]

Rule II says that if we have a theorem \( N_1: P_1 = L \) where \( L \) contains \( N_2: P_2 \) as a subexpression, and we also have a theorem \( N_2: P_2 = G \), then we can derive a theorem in which all occurrences of \( N_2: P_2 \) in \( L \) are replaced by \( G \). In the simplest case, this means that we can interpret a sentence of the form

\[ N_1: P_1 = N_2: P_2. \]

as an inheritance specification meaning "the value of \( P_1 \) at \( N_1 \) is inherited from \( P_2 \) at \( N_2 \)." So for example, from:

\[ \text{NOUN: } \langle\text{sing gen}\rangle \Rightarrow s. \]
\[ \text{PRON: } \langle\text{sing gen}\rangle \Rightarrow \text{NOUN: }\langle{\text{sing gen}}\rangle. \]

one can infer:

\[ \text{PRON: } \langle\text{sing gen}\rangle \Rightarrow s. \]

Rules III and IV are similar, but specify only a new node or path (not both) to inherit from. The other component (path or node) is unchanged, that is, it is the same as the corresponding component on the left-hand-side of the rule specifying the inheritance. In fact, the following two sentence schemas are entirely equivalent:

\[ N_1: P_1 = N_2. \]
\[ N_1: P_1 = N_2: P_1. \]

as are these two:

\[ N_1: P_1 = P_2. \]
\[ N_1: P_1 = N_1: P_2. \]

Rules II, III, and IV implement a local notion of inheritance in the sense that the new node or path specifications are interpreted in the current local context. The three remaining inference rules implement a non-local notion of inheritance: quoted descriptors specify values to be
interpreted in the context in which the original query was made (the global context), rather than the current context.

(V) \[ N2:P2 = V. \]
\[ NI:P1 = G. \]
\[ NI:P1 = G(V\backslash N2:P2^\wedge). \]

(VI) \[ N2:P1 = V. \]
\[ NI:P1 = G. \]
\[ NI:P1 = G(V\backslash N2^\wedge). \]

(VII) \[ NI:P2 = V. \]
\[ NI:P1 = G. \]
\[ NI:P1 = G(V\backslash P2^\wedge). \]

To see how the operation of these rules differs from the earlier unquoted cases, consider the following theory:

**CAT:** `<sing> == <plur>`.

**V:** `<sing> == CAT`  
`<plur> == er.`

**A1:** `<sing> == CAT`  
`<plur> == ern.`

**A2:** `<sing> == en`  
`<plur> == A1.`

The intention here is that the CAT node expresses the generalisation that by default plural is the same as singular, \( V \) and \( A1 \) inherit this, but \( A2 \), while inheriting its plural form from \( A1 \), has an exceptional singular form, overriding inheritance from CAT (via \( A1 \)). Now from this theory we can derive all the following theorems concerning plural:

**V:** `<plur> == er.`

**A1:** `<plur> == ern.`

**A2:** `<plur> == ern.`

and the following theorem concerning singular:

**A2:** `<sing> == en.`

But we cannot derive a theorem for \( V:<sing> \), for example. This is because \( V:<sing> \) inherits from \( CAT:<sing> \), which inherits (locally) from \( CAT:<plur> \), which is not defined. What we wanted was for \( CAT:<sing> \) to inherit from \( V:<plur> \), that is, from the global initial context. To achieve this we change the CAT definition to be:

**CAT:** `<sing> == "<plur>".`

Now we find that we can still derive the same plural theorems, but now in addition we get all these theorems concerning singular:

**V:** `<sing> == er.`

**A1:** `<sing> == ern.`

**A2:** `<sing> == en.`

For example, the derivation for the first of these is as follows:

(1) **V:** `<sing> == CAT`. (given)

(2) **CAT:** `<sing> == "<plur>"`. (given)

(3) **V:** `<sing> == "<plur>"`. (III on 1 and 2)

(4) **V:** `<plur> == er`. (given)

(5) **V:** `<plur> == er`. (I on 4)

(6) **V:** `<plur> == er`. (VII on 3 and 5)

Finally, given a set of sentences \( \mathcal{T} \), we define the rule-closure of \( \mathcal{T} \), \( rcl(\mathcal{T}) \) to be the closure of \( \mathcal{T} \) under finite application of the above inference rules in the conventional fashion.

### 4 Default inference

In addition to the conventional inference defined above, DATR has a nonmonotonic notion of inference by default: each definitional sentence about some node/path combination implicitly determines additional sentences about all the extensions to the path at that node for which no more specific definitional sentence exists in the theory. Our overall approach follows Moore (1983, 1985), whose treatment of inferences from sets of beliefs can be viewed more generally as a technique for providing a semantics for a declarative notion of inference by default (cf. Touretzky 1986, p34; Evans 1987). We begin with some auxiliary definitions.

The expression \( P^\wedge Q \), where \( P \) and \( Q \) are paths, denotes the path formed by concatenating components of \( P \) and \( Q \). A path \( P2 \) is an extension of a path \( P1 \) iff there is a path \( Q \) such that \( P2 = P1^\wedge Q \). \( P2 \) is a strict extension iff \( Q \) is non-empty. We also use the \( ^ \) operator to denote extension of all the paths in a DATR sentence, as in the following examples:
Given a sentence $S$, we define the root of $S$ to be the $\text{[node]}:\text{[path]}$ expression appearing to the left of the equality ('==' or '=') in $S$ (for example the root of '$N:P \equiv V.'$ is '$N:P$'). The root does not correspond to any syntactic category defined above: it is simply a substring of the sentence.

Given a set of sentences in DATR, $T$, a node $N$ and a path $P$, we say $N:P$ is specified in $T$ iff $T$ contains a definitional sentence $S$ whose root is $N:P$.

Let $N1:P1$, $N1:P2$ be such that $N1:P1$ is specified in $T$. We say $N1:P2$ is connected to $N1:P1$ (relative to $T$) iff:

i) $P2$ is an extension of $P1$, and

ii) there is no strict extension $P3$ of $P1$ of which $P2$ is an extension such that $N1:P3$ is specified in $T$.

So $N1:P2$ is connected to $N1:P1$ if $P1$ is the maximal subpath of $P2$ that is specified (with $N1$) in $T$.

Now given a set of sentences $T$, define the path closure $\text{pcl}(T)$ of $T$ to be:

$$\text{pcl}(T) = \{ S : S \text{ is an extensional sentence in } T \} 
\cup \{ S^Q : S \text{ is a definitional sentence in } T, 
\text{with root } N(P), \text{ and } N(P)Q \text{ is }
\text{connected to } N(P) \}$$

It is clear from these definitions that any $N:P$ is connected to itself and thus that $T$ is always a subset of $\text{pcl}(T)$. The path closure contains all those theorems which can be inferred by default from $T$.

To illustrate path closure, consider the following example theory:

**VERB:**

- $\langle \text{past} \rangle \equiv \text{ed}$
- $\langle \text{past tense} \rangle \equiv \text{ed}$
- $\langle \text{past participle} \rangle \equiv \text{en}$
- $\langle \text{past tense singular} \rangle \equiv \text{ed}$
- $\langle \text{past participle plural} \rangle \equiv \text{en}$
- $\langle \text{past tense singular third} \rangle \equiv \text{ed}$.

We can infer by default the following theorems for \textbf{VERB}:

**VERB:**

- $\langle \text{past} \rangle \equiv \text{ed}$
- $\langle \text{past tense} \rangle \equiv \text{ed}$
- $\langle \text{past participle} \rangle \equiv \text{en}$
- $\langle \text{past tense singular} \rangle \equiv \text{ed}$
- $\langle \text{past participle plural} \rangle \equiv \text{en}$
- $\langle \text{past tense singular third} \rangle \equiv \text{ed}$.

The situation is slightly more complicated with sentences that have paths on their right-hand sides. Such paths are also extended by the subpath used to extend the left-hand side. So the sentence:

$$A2:\langle \text{sing fem nom} \rangle \equiv "A1:\text{plur fem nom}".$$ 

might give rise (by default) to sentences such as:

$$A2\langle \text{sing fem nom} \rangle \equiv "A1:\text{plur fem nom}".$$ 

Using default inference, the example theory we used to illustrate global inference can be phrased more succinctly:

**CAT:** $\langle \text{sing} \rangle \equiv "\text{plur}".$

**V:**

- $\langle \rangle \equiv \text{CAT}$
- $\langle \text{plur} \rangle \equiv \text{er}$.

**A1:**

- $\langle \rangle \equiv \text{CAT}$
- $\langle \text{plur} \rangle \equiv \text{ern}$.

**A2:**

- $\langle \text{sing} \rangle \equiv \text{en}$
- $\langle \rangle \equiv \text{A1}$.

In this version, we state that anything not specifically mentioned for $V$ is inherited (by default) from \textbf{CAT}, whereas before we had to list cases (only 'sing' in the example) explicitly. Similarly \textbf{A1} inherits by default from \textbf{CAT}, and \textbf{A2} from \textbf{A1}. The operation of path closure is non-monotonic; if we add more sentences to our original theory, some of our derived sentences may cease to be true.

The two forms of inference in DATR are combined by taking the path closure of a theory first, and then applying the inference rules to the result. In other words, given a theory $T$, and a sentence $S$, $S$ is provable from $T$ iff $S \in \text{rcl}(\text{pcl}(T))$. 
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