Comparison of Electron Cloud Mitigating Coatings
Using Retarding Field Analyzers

J. R. Calvey, W. Hartung, Y. Li, J. A. Livezey\textsuperscript{1}, J. Makita\textsuperscript{2}, M. A. Palmer\textsuperscript{3}, D. Rubin

Cornell Laboratory for Accelerator-based Sciences and Education, Cornell University, Ithaca, New York, USA

Abstract

In 2008, the Cornell Electron Storage Ring (CESR) was reconfigured to serve as a test accelerator (CESR\textsuperscript{TA}) for next generation lepton colliders, in particular for the ILC damping ring. A significant part of this program has been the installation of diagnostic devices to measure and quantify the electron cloud effect, a potential limiting factor in these machines. One such device is the Retarding Field Analyzer (RFA), which provides information on the local electron cloud density and energy distribution. Several different styles of RFAs have been designed, tested, and deployed throughout the CESR ring. They have been used to study the growth of the cloud in different beam conditions, and to evaluate the efficacy of different mitigation techniques. This paper will provide an overview of RFA results obtained in a magnetic field free environment.

1. Introduction

The electron cloud effect is a well known phenomenon in particle accelerators (see, for example, [1]), in which a high density of low energy electrons builds up inside the vacuum chamber. These electrons can cause a wide variety of undesirable effects, including emittance growth and beam instabilities [2]. In lepton machines, the cloud is usually seeded by photoelectrons generated by

\textsuperscript{1}Present address: Department of Physics, University of California, Berkeley, CA
\textsuperscript{2}Present address: Department of Physics, Old Dominion University, Norfolk, VA
\textsuperscript{3}Present address: Fermi National Accelerator Laboratory, Batavia, IL
synchrotron radiation. The collision of these electrons with the beam pipe can then produce one or more secondary electrons, depending on the secondary electron yield (SEY) of the material. If the average SEY is greater than unity, the cloud density will grow exponentially, until a saturation is reached.

Electron cloud has been observed in many facilities (including, for example, PEP-II [3], CERN SPS [4], KEKB [5], ANL APS [6], FNAL Main Injector [7], LANL PSR [8], and the LHC [9]), and is expected to be a major limiting factor in next generation positron and proton storage rings. It is of particular concern in the damping rings of electron-positron colliders, which will produce a large amount of synchrotron radiation and require very small emittances [10].

In 2008, the Cornell Electron Storage Ring (CESR) was reconfigured to study issues related to the design of the International Linear Collider (ILC) damping ring, including electron cloud [11]. A significant component of this program, called CESR Test Accelerator (CESrTA), was the installation of several retarding field analyzers (RFAs) throughout the ring, in drift, dipole, quadrupole, and wiggler field regions. This paper will summarize results obtained from drift RFAs. More specifically, it will describe the the design of the detectors and experimental program (Section 2), and present measurements (Section 3), with a focus on directly comparing different cloud mitigation techniques. More quantitative analysis of the RFA results will be presented in a separate paper [12].

1.1. Retarding Field Analyzers

A retarding field analyzer consists of three main components [13]: small holes drilled in the beam pipe to allow electrons to enter the device; a “retarding grid,” to which a voltage can be applied, rejecting electrons with less than a certain energy; and a positively biased collector, to capture any electrons which make it past the grid (Fig. 1). If space permits, additional (grounded) grids can be added to allow for a more ideal retarding field. In addition, the collectors of most RFAs used in CESrTA are segmented transversely to allow characterization of the spatial structure of the cloud build-up. Thus a single RFA measurement provides information on the local cloud density, energy, and
transverse distribution. Most of the data presented here are one of two types: “voltage scans,” in which the retarding voltage is varied (typically from +100 to −250 V or −400 V) while beam conditions are held constant, or “current scans,” in which the retarding grid is set to a positive voltage (typically +50 V), and data are passively collected while the beam current is increased. When not actively in use, the RFAs were set to passively collect data, to measure the performance of the various chambers as a function of beam dose (see Section 3.2.3). The collector was set to +100 V for all of our measurements, to capture secondary electrons produced on the collector.

The use of RFAs for electron cloud studies was pioneered at APS [13]; additional studies have been performed at the FNAL Main Injector [14], PEP-II [15], and KEKB [16]. However, the CESRTA RFA program is unprecedented in terms of scale. We have used RFAs to probe the local behavior of the cloud at multiple locations in CESR, under many different beam conditions, and in the presence of several different mitigation schemes.

A few additional considerations were important in the design of the CESR RFAs:

- Some designs needed to fit into confined spaces (~2-3 mm), such as the aperture of the CESR dipole magnets.

- The detectors needed to be shielded from direct beam signal. A 3:1 depth to diameter ratio for the beam pipe holes was determined to be sufficient to effectively shield the RFAs.

- Production of secondary electrons inside the detector should be minimized.
Figure 2: The reconfiguration of the CESR vacuum system provided space for several electron cloud experimental sections. Drift RFAs are located at Q14E/W, Q15E/W, and L3.

To accomplish this, most of the grids were coated with gold, which has a low secondary electron yield.

1.2. Experimental Sections

There are five main electron cloud experimental sections of CESR instrumented with drift RFAs. These include long sections at Q14E and Q14W (the names refer to their proximity to the 14E and 14W quadrupoles, respectively), shorter sections at Q15E and Q15W, and a long straight section at L3. The vacuum chambers at Q15E/W are approximately elliptical and made of aluminum (6063 alloy, as is most of CESR); the chambers at Q14E/W are approximately rectangular and made of copper; the pipe at L3 is circular and stainless steel. The specific needs of each experimental section necessitated the design of several different types of drift RFA (Section 2.1). Fig. 2 shows the locations of these experimental sections in the CESR ring; more details on each location are given in Section 2.2.

1.3. CESR Parameters

The primary advantage of CESR as a test accelerator is its flexibility. At CesnTA, we have been able to study the behavior of the electron cloud as a function of several different beam parameters, a small subset of which are presented here (additional measurements can be found in [17]). Table 1 gives
some of the basic parameters of CESR, and lists some of the beam parameters used for electron cloud mitigation studies with RFAs.

1.4. Cloud Mitigation

In addition to solenoid windings (which trap electrons near the vacuum chamber wall [3]), the primary method of reducing electron cloud density in a field free region is the use of beam pipe coatings, which reduce the primary and/or secondary emission yield of the chamber. Coatings tested at CESR TA include titanium nitride (TiN) [18], amorphous carbon (aC) [4], diamond-like carbon (DLC) [19], and Ti-Zr-V non-evaporable getter (NEG) [20]. More details on the various coated chambers have been published elsewhere [21].

2. Instrumentation

The design of the RFAs has evolved over the course of the CESR TA program since it began in mid 2008. A thorough account of the design and construction of the RFAs can be found in [22]; here we provide an overview.

2.1. RFA styles

Several different styles of RFA have been deployed throughout drift sections in CESR. Table 2 summarizes the key parameters of each style, and Table 3 describes the different types of grids used. A more detailed description of each RFA style follows:
Table 2: Drift RFA styles deployed in CESR. Each RFA has one retarding grid. For RFAs with multiple grids, the additional grids are grounded.

| Type            | Grids | Collectors | Grid Type |
|-----------------|-------|------------|-----------|
| Thin Test       | 1     | 1          | Etched    |
| APS             | 2     | 1          | Mesh      |
| Insertable I    | 2     | 5          | Etched    |
| Insertable II   | 3     | 11         | HT Mesh   |
| Thin            | 1     | 9          | HT Mesh   |

Table 3: Grid types used in CESR RFAs. Note that “transparency” refers to the optical transparency.

| Type       | Transparency | Material       | Thickness |
|------------|--------------|----------------|-----------|
| Etched     | 40%          | Gold coated SST | 150 µm    |
| Mesh       | 46%          | SST            | 76 µm     |
| HT Mesh    | 90%          | Gold coated copper | 13 µm   |

**APS style.** This design is based on a well understood style of RFA, originally used at APS [13]. It consists of a single collector, and two stainless steel meshes for grids. APS style RFAs were deployed at Q14E, as well as the L3 NEG test chamber (Section 2.2.3).

**Insertable Type I.** Deployed in the Q14E and Q14W experimental regions of CESR, these RFAs were designed to be “inserted” in a port on top of a specialized vacuum chamber. They have two grids, spaced by 3 mm. The grids are stainless steel (SST), with an etched bi-conical hole structure (0.18 mm diameter holes with a 0.25 mm pitch). In addition, the grid layer was vacuum-coated with a thin gold layer (several hundred nm) to reduce its secondary electron yield. The electron collector pad was laid out on copper-clad Kapton sheet using standard printed circuit board fabrication techniques. Transverse resolution is obtained by using five transversely arranged collectors. Holes are drilled in the beam pipe in five segments; each segment has 25 holes, with diameter 1.5 mm and depth 5.1 mm. Fig. 3 gives a detailed picture of this RFA.

**Thin style.** Designed for use inside a CESR dipole, where vertical aperture space is limited, the thin style detector was also used in the Q15E and Q15W drift sections (which use the same elliptical beam pipe extrusion as the dipole). The
RFA housing is machined from a separate block of explosion-bonded aluminum-to-stainless steel material, and is welded to the cutout on top of the beam pipe. The lower face of the RFA housing matches the curvature of the beam pipe aperture, while the upper face is divided into three flat sections. Each section has one retarding grid, which is made of high efficiency electro-formed high transparency (HT) copper mesh, held in place by a stainless steel frame. There are three collectors in each section, for a total of nine. The total distance from the outside of the vacuum chamber to the collectors is 2.5 mm. The beam pipe holes are 0.75 mm in diameter and ∼2.5 mm in thickness, maintaining the same ratio of diameter to thickness used for the “Insertable I” style. There are 44 holes per collector. A diagram of a Q15 test chamber, which includes a thin RFA (modified for use in a drift space), as well as 4 shielded pickup detectors [23], is shown in Fig. 4.

**Insertable Type II.** The second generation insertable RFA has three grids, consisting of high-transparency copper meshes, spaced by 5.7 mm. The retarding voltage is applied to the middle grid. Insertable II RFAs were installed in the 5th Q15 test chambers, replacing the “thin” style detectors. The additional grids and increased spacing between them allows for higher retarding voltage (up to −400 V), and reduces some of the non-ideal behavior observed in the thin
RFAs (Section 2.4). To provide cross calibration between the two RFA designs, a TiN-coated test chamber in Q15W was instrumented with both styles. The two styles gave similar results.

2.2. Experimental Sections

Table 4 summarizes the location of each drift RFA. The purpose of each experimental section is detailed below.

2.2.1. Q14W and Q14E Test Sections

Upon the removal of the CESR-c superconducting wigglers [24], two electron cloud experimental sections were created in both the east and west arcs of CESR. Measurements in the Q14W test section confirmed that an “Insertable Type I” style RFA gives results comparable to the well validated “APS” style [25]. At Q14E, the copper beam pipe was coated with TiN thin film for half of its length (while the other half remained bare copper). Insertable RFAs were installed at each end of this test chamber to compare electron cloud intensity in the two sections.
Table 5: Summary of Q15W and Q15E Experimental Vacuum Chambers (VCs).

| VC | Surface | Run   | RFA Style | Test Period          | Location | Note                                                                 |
|----|---------|-------|-----------|----------------------|----------|----------------------------------------------------------------------|
| 1  | Al      | 1     | Thin      | Jul 2009-Nov 2009    | E        | Reference surface                                                    |
| 2  | TiN     | 1     | Thin      | Jul 2009-Apr 2010   | E        | Coated by DC sputtering at Cornell                                  |
|    |         | 2     | Thin      | Aug 2010-Oct 2011   | W        |                                                                      |
| 3  | aC      | 1     | Thin      | Jul 2009-Apr 2010   | W        | Coated by DC sputtering at CERN                                     |
|    |         | 2     | Insertable II | Feb 2011-Jul 2011 | W        |                                                                      |
| 4  | aC      | 1     | Thin      | Apr 2010-Jan 2011   | E        | Coated by DC sputtering at CERN                                     |
|    |         | 2     | Insertable II | Jul 2011-Jul 2012 | W        |                                                                      |
| 5  | DLC     | 1     | Insertable II | Feb 2011-Jul 2012 | E        | Coated by pulsed DC chemical vapor deposition, supplied by KEK      |

Figure 5: A Q15 experimental chamber installed at Q15W in CESR. In addition to the RFA, the chamber contains 4 shielded pickups (SPUs) [23].

2.2.2. Q15W and Q15E Mitigation Comparison Chambers

To allow for frequent exchange of the test chambers while minimizing the impact on the accelerator operations, two very short (~1 m) experimental regions were created in the Q15W and Q15E locations in the arcs. Over the course of the CESR TA program, four chamber surfaces were tested in these locations: bare aluminum (as it was originally extruded), aC coatings (coated by CERN/CLIC), TiN coating (by Cornell) and DLC coating (by KEK). Table 5 gives detailed information on these chambers, and Fig. 5 shows a typical installation at Q15W.

There is some evidence that the aC coated chambers may have been contaminated by silicone tape present during the bakeout of the chamber [22], raising the effective SEY. However, as described in Section 3 these chambers...
Figure 6: Electron cloud diagnostic chamber with NEG thin film coating.

still showed good performance in situ.

2.2.3. L3 Test Section

A Ti-Zr-V non-evaporable getter (NEG) thin film [20] has been shown to have a low SEY, after its activation at elevated temperatures under vacuum. The activated NEG coating also has the benefit of providing vacuum pumping. A NEG-coated test chamber was built and tested in the drift section of the L3 experimental region in CESR. To prevent rapid saturation of the activated coating from residual gases in the surrounding beam pipes, the test chamber was sandwiched between two 1-m long NEG coated beam pipes. The chamber was equipped with three APS-style RFAs at three different azimuthal angles (see Fig. 6). All three chambers were made of stainless steel (Type 304L).

2.3. Data Acquisition

A modular high voltage power supply and precision current monitoring system were designed to support the RFA measurements. Each experimental section is controlled by an electronics crate with up to three high voltage power supplies (with each supply corresponding to a single style of RFA). The individual RFAs are controlled and monitored by data boards installed in the crate. The crates are located in the tunnel to reduce the length of cables. Each crate is connected to the CESR control system through the local fieldbus. More details on the RFA electronics can be found in Appendix A.
We used coaxial cables for the single-collector RFAs. To reduce the volume of cables, we originally used a single multi-wire foil-shielded cable for each segmented-collector RFA. In early tests, we observed anomalously long-time-scale transient currents. This problem was remedied by using three separately-shielded cables, one for the collectors (biased at +100 V), one for the retarding grid (with varying bias), and one for the grounded grid(s). To mitigate possible leakage currents to ground in the cables, the outer shields were biased to the same voltage as the signal wires.

Data acquisition code, capable of both voltage scans and continuous monitoring of collector current, is interfaced through the CESR control system. The data acquisition is controlled through a MATLAB\textsuperscript{4} based graphical user interface (GUI), which also allows for real time monitoring and control of any RFA. Data are collected simultaneously for all the RFAs, and the GUI allows for commands to be issued to all the devices at once. The GUI can also be used to load predefined ranges (see Appendix A) for each channel or to adjust the range for each channel based on the measured current.

While commissioning the RFA electronics with no beam in CESR, we observed a slowly varying signal, on the order of 0.2\% full scale (corresponding to 0.02 - 20 nA, depending on the range setting). To mitigate the effect of this signal on the experiments, a baseline measurement is always taken before a set of RFA data, and this baseline current is subtracted from all subsequent measurements.

Collecting a single data point with all RFAs takes a few seconds. Typically, voltage scans are done with 20 V steps, with three data points per step. After each voltage step, the DAQ software pauses to avoid recording transient currents, and then collects data. A full voltage scan with all RFAs takes approximately 6 minutes.

\footnote{http://www.mathworks.com/products/matlab/}
2.4. Bench Tests

Initial bench tests, which verified reasonable operation of our RFAs, have been published previously [25]. More recently, we constructed a more sophisticated bench experiment to study the response of a test RFA under controlled conditions. We also developed a specialized particle tracking code, which tracks electrons through a model of the RFA. The model includes a detailed replica of the beam pipe, grid(s), and collector, as well as a realistic map of the electric fields inside the RFA, generated by the electrostatic calculation tool Opera 3D\(^5\) (Fig. 7). The tracking code also allows for the production of secondary electrons on both the beam pipe and grid(s). More information on the tracking model has been published elsewhere [12, 26].

The bench setup consists of a DC electron gun\(^6\) which can produce a mo-

\(^5\)http://operafea.com/
\(^6\)Model ELG-2, Kimball Physics, Inc., Wilton, NH.
noenergetic and roughly uniform beam of electrons, aimed at a test RFA (Fig. 8). The electron gun and RFA are installed in a vacuum chamber with mu metal for shielding of ambient magnetic fields. The RFA includes a faceplate with holes drilled in it to mimic the vacuum chamber, a high efficiency (nominally 92%) retarding grid, and a collector. This mimics the structure of the “thin style” RFA (Table 2 except that the test RFA has only one collector). We are able to independently control the voltage and read the current on the collector, grid, and faceplate, as well as a separately grounded top ring surrounding the faceplate. To do a measurement with this system, we set the electron gun to a specific energy, and adjust the deflection and focusing of the gun until the beam just covers the faceplate (i.e. until no current is observed on the top ring). We can then study the response of the RFA as a function of gun energy. Fig. 9 shows a series of retarding voltage scans done with our bench setup at different electron gun energies, and compares them to predictions from the particle tracking model (assuming a uniform angular distribution from the electron gun). A few things are worth noting about these measurements:

- The collector signal is mostly flat for a retarding voltage between 0 and the gun energy, as expected for a monoenergetic beam.
- When the grid voltage is positive, there is a strong enhancement of the signal, caused by the production of low energy secondary electrons in the faceplate holes.
- With +100 V on the grid (on the left side of the plots), the signal drops back down somewhat. This is because secondaries produced on the collector (which is also set to +100 V) are now able to escape.
If the RFAs were ideal, the collector signal would drop to zero when the retarding voltage exceeds the gun energy. In the 100 eV and 200 eV scans, the signal does not immediately vanish, but drops off steadily, reaching zero current at $-120\,\text{V}$ and $-230\,\text{V}$ respectively. This effect is caused by focusing of the electrons by the non-ideal field of the grid, which allows electrons with energy slightly lower than the retarding voltage to slip by. This effect has also been observed in studies of RFA performance done at FNAL [13].

The simulation matches all important features of the data, including the enhancement at positive voltage and the non-ideal energy cutoff. The agreement is nearly perfect for 100 eV, and 200 eV, but the simulation slightly underestimates the collector signal at positive voltage for 500 eV and 1 keV. This aspect of the data is not understood, but could be due to a change in the beam profile at high gun energy, which is not included in our model. Nonetheless, the agreement between the measurement and model is excellent overall. These tests verified that we understand basic operation of our RFAs, and were used as input for detailed simulations [12].

3. Measurements

Many of our earliest detailed measurements were done with “Insertable Type I” style RFAs (Table 2). Fig 10 shows an example of a voltage scan done with one of these detectors, in typical CESR TA beam conditions. The RFA response is plotted as a function of collector number and retarding voltage. Roughly speaking, this is a description of the transverse and energy distribution of the cloud. Collector 1 is closest to the outside of the chamber (where direct synchrotron radiation hits); the central collector (3 in this case) is aligned with the beam. The sign convention for retarding voltage is chosen so that a positive value on this axis corresponds to a negative physical voltage on the grid (and thus a rejection of lower energy electrons). In this example, the signal is fairly broad across all five collectors, indicating that the cloud density is not
strongly peaked around the beam. It also falls off quickly with retarding voltage, indicating that the majority of cloud particles have low energy. The RFA signal is expressed in terms of current density in nA/mm², normalized to the transparency of the RFA beam pipe and grids. In principle, this gives the time averaged electron current density incident on the beam pipe wall. The beam conditions are given as “1x45x1.25 mA e⁺, 14 ns, 5.3 GeV.” This notation, which will be used throughout this section, indicates one train of 45 bunches, with 1.25 mA/bunch (1 mA = 1.6×10¹⁰ particles), with positrons, 14 ns spacing, and at beam energy 5.3 GeV.

As described in Section 2.1, both “thin” and “Insertable Type II” style RFAs have been installed at Q15E and Q15W. Example measurements done with both of these RFA styles, in a TiN-coated chamber, can be found in Fig. 11. Again, these measurements indicate a relatively uniform, low energy cloud. In contrast, a measurement done at high bunch current (Fig. 12) shows a signal that is more strongly peaked in the central collector, and extends to high retarding voltage.
This implies the cloud is more concentrated in the center of the chamber, and much higher energy (due to the stronger beam kicks).

3.1. Bunch Spacing Comparison

Although our RFA measurements are not time resolved, we can probe the time scale of cloud development by examining the RFA response as a function of bunch spacing, which can be varied in 4 ns increments. Fig. 13 shows such a comparison for the Q15W aC coated RFA. We observe that the signal at high retarding voltage (i.e. the number of high energy cloud particles) is highest for the 4 ns data, and falls off quickly and monotonically with increasing bunch spacing. With short bunch spacing, a typical electron will receive multiple beam kicks before colliding with the vacuum chamber, gaining 100s of eV in the process. However, the total signal (including high and low energy electrons) is actually highest for 16 ns. This is consistent with a multipacting resonance [27, 28], in which the kick from the beam gives secondary electrons near the vacuum chamber wall just enough energy to reach the opposite wall in time for the next bunch. These electrons generate more secondaries, which are again given energy by the beam. This process continues, resulting in a resonant buildup of the cloud. The resonant condition is given by Eq. 1. Here $t_b$ is the bunch spacing, $b$ is the chamber half-height, $r_e$ is the classical electron radius, and $N_b$ is the bunch population. For the beam conditions in Fig. 13 this comes out to
Figure 11: Example voltage scans with thin (top) and Insertable Type II (bottom) style drift RFAs in the same location (Q15W). Both are TiN-coated, beam conditions are 1x45x1.25 mA, 5.3 GeV, 14 ns.

Figure 12: Voltage scan at high bunch current, 1x20x10 mA e⁺, 5.3 GeV, 14 ns, Insertable Type II RFA, in a TiN-coated chamber at Q15W.
13 ns, consistent with the 16 ns peak observed.

\[ t_b = \frac{b^2}{cr_eN_b} \]  

(1)

3.2. Mitigation Comparisons

An important component of the CESrTA program is the direct comparison of different electron cloud mitigating coatings, tested at Q14E, Q15E/W, and L3. In this section we compare “current scans” (RFA signal as a function of beam current), for different mitigations in each of the instrumented sections of CESR. The transverse distribution observed at a given beam current was substantially the same for different chambers, so the plots shown below average over the RFA collectors. All of the measurements were done with the retarding grid biased to +50 V in order to measure cloud electrons of all energies.

In general, current scans show a characteristic behavior as a function of beam current. At low current (\(< 40\) mA), the cloud is dominated by primary photoelectrons, and the RFA signal increases linearly with current. At higher currents, the increased presence of secondary electrons leads to a nonlinear increase in signal. At very high currents, the cloud growth is limited by space charge.
3.2.1. Comparison of adjacent chambers at Q14E

Fig. 14 compares a current scan measurement done simultaneously with two adjacent RFAs in the Q14E section (Section 2.2.1), one in a bare copper chamber, and one in a TiN-coated copper chamber. Here we compare the average collector current density in the two detectors, as a function of beam current, and find that it is lower in the coated chamber by a factor of two. The photon flux is actually about 50% higher in the TiN coated chamber, so a more direct comparison would show an even larger improvement.

3.2.2. Comparisons of chambers with different coatings installed at the same locations at Q15E/W

The majority of our mitigation studies were done with RFAs in the Q15W and Q15E experimental sections (Section 2.2.2). The photon flux from a positron beam at Q15W is about twice that of Q15E, and vice versa for an electron beam. Measurements have been taken at both locations with TiN and aC coatings, as well as with an uncoated aluminum chamber (see Table 5). In addition, a chamber with DLC coating has been installed at Q15E. By comparing measurements taken at the same location in CESR, we ensure the comparisons can be made under identical beam conditions, including photon flux. Figs. 15 through 17 compare the RFA signal with each of these coatings for typical sets of CesrTA beam conditions. The beam energy is 5.3 GeV in all cases; the comparisons are for one train of 20 bunches spaced by 14 ns (positrons in Fig. 15, electrons in Fig. 16) and 9 bunches of positrons spaced by 280 ns (Fig. 17).
Figure 15: Comparison of different beam pipe coatings, Q15E (top), and Q15W (bottom) drift RFAs. Plots show average collector signal vs beam current for 20 bunches of positrons with 14 ns spacing, at beam energy 5.3 GeV. Note that the aluminum chamber signals are divided by 3. The TiN signal is plotted for two different beam doses.

We have generally found that data taken with 20 bunches of positrons at high current shows the biggest difference between the different chambers. It is under these conditions that we expect to be most sensitive to the secondary electron yield, since higher bunch currents lead to higher energy electrons, which are more likely to produce secondaries.

There was some concern that these measurements could be affected by the adjacent aluminum chambers (where we expect a higher cloud density). To address this issue, 100 Gauss dipole magnets were installed on either side of the coated chambers, to prevent longitudinal motion of electrons into the chambers. We found that the use of these magnets had little effect on the RFA measurements.

All coated chambers show a sizeable reduction in signal when compared to uncoated aluminum. We have found that exposure to electron cloud bombardment significantly improved the performance of the TiN-coated chamber. This effect, known as “scrubbing” or “processing,” is well known [29] and has been
Figure 16: Comparison of different beam pipe coatings, Q15E (top), and Q15W (bottom) drift RFAs. Plots show average collector signal vs beam current for 20 bunches of electrons with 14 ns spacing, at beam energy 5.3 GeV. Note that the aluminum chamber signals are divided by 3. The TiN signal is plotted for two different beam doses.

observed in direct measurements of the SEY of a TiN coated chamber [18]. In these plots, the integrated beam current is given in A-hr. At both Q15E and Q15W, 1 A-hr is approximately equivalent to a photon dose of $1.6 \times 10^{22}$ photons/m. The integrated electron cloud flux (in C/cm$^2$), as measured by the RFAs, is also given. Our measurements indicate that TiN is fully processed after an integrated electron dose of $\sim 10$ C/cm$^2$ ($\sim 10^{19}$ electrons/cm$^2$). Conditioning of TiN coatings by electron bombardment has been measured more directly by other groups, using an electron gun to bombard samples with a known electron energy and integrated flux [18, 30]. Their experiments indicate that TiN coatings are fully scrubbed with smaller bombardment doses, on the order of 0.1 - 1 C/cm$^2$. However, simulations have indicated that even under high beam current conditions, the average energy of a cloud electron in CESR is around $\sim 50$ eV, well below standard energies used for electron gun processing measurements (typically 100 eV - 1 keV). As lower energy electrons have been shown to be less effective at processing [31], this may explain the discrepancy.
Figure 17: Comparison of different beam pipe coatings, Q15E (top), and Q15W (bottom) drift RFAs. Plots show average collector signal vs beam current for 9 equally spaced (280 ns) bunches of positrons, at beam energy 5.3 GeV. Note that the aluminum chamber signals are divided by 3. The TiN signal is plotted for two different beam doses. In the top plot, the curve for processed TiN is difficult to see, because it lies almost directly under the curve for aC.
Figure 18: Comparison of amorphous and diamond-like carbon at high beam current, 1x20x10 mA $e^+$, 5.3 GeV, 14 ns.

The aC chamber’s signal was initially low, and we did not observe a significant change in signal with EC bombardment. After extensive processing of the TiN chamber, TiN and aC showed similar mitigation performance. Processing was also not seen in the bare Al or Cu chambers; most likely these chambers were already fully processed (due a high electron cloud density) before any RFA measurements were made.

At first glance, it appears DLC may perform better than other coatings at very high beam current. However, it should be noted that bench measurements of the Secondary Electron Yield of DLC indicate that the material can retain charge if bombarded with a sufficiently high electron flux, thus modifying the apparent SEY [32]. This effect may also be influencing the in situ measurements presented here. Evidence for this theory can be found in Fig. 18 which compares a voltage scan done at high beam current in a DLC and aC chamber. The aC shows an enhancement at positive retarding voltage, which is seen in almost all of our drift RFA data (see Section 2.4). The DLC chamber instead shows a nonphysical spike at 0 V, but no enhancement at positive voltage. This could be the result of charge around the beam pipe holes influencing the transmission of low energy electrons.
3.2.3. Long Term Behavior

Another important issue addressed by the CESR RFA measurements is the long term reliability of various chambers and coatings. Figures 15 - 17 show that significant processing was observed in TiN-coated chambers in both Q15E and Q15W. In our early measurements, processing was not observed in the aC chambers. However, more recent measurements (Fig. 19) have shown some processing in an aC coated chamber. It is well established that the SEY of aC does not change significantly with electron cloud bombardment [4]. However, this decrease in signal could be explained by a reduction in the quantum efficiency, as implied by complementary measurements in a shielded pickup detector [33].

The signal measured in the DLC chamber varied significantly over time (Fig. 20). Apart from some initial processing, the measurements in this chamber do not appear to show any obvious trend. It is possible that the properties of the DLC depend on the recent beam history before the measurement.

3.3. Activation and processing of NEG coated chambers in L3

The performance of the L3 NEG coated chamber (Section 2.2.3) has also been monitored using RFAs. Fig. 21 compares the current measured by one of these RFAs on several different dates, corresponding to different states of activation and processing of the NEG coating. It was observed that both activation and initial processing reduced the signal measured by this RFA. After a CESR down (during which the NEG was activated again), the signal rose somewhat, but it
processed back down to its minimum value after a few months of beam time. The other two detectors showed a similar trend. These signals remained consistent in subsequent runs.

4. Conclusions

A major component of the CESR-TA program has been the design, commissioning, and installation of retarding field analyzers in several field-free locations in the CESR ring. RFA designs of various types have been developed for more ideal performance or for deployment in areas with restricted space. Particle tracking simulations were used to better quantify the relationship between the measured collector current and the cloud density, and these simulations were cross-checked via bench measurements with an electron gun.

A great deal of electron cloud data has been collected with the drift RFAs.
These data have been used to directly compare the efficacy of various electron cloud mitigating coatings (TiN, aC, DLC, and NEG). All of the coatings tested resulted in a sizable reduction in electron cloud signal relative to an uncoated (Al or Cu) chamber. After processing, TiN and aC showed similar mitigation performance. DLC also appears to be effective, though there is some question about whether charging of the coating could have affected the measurement. Also, with the possible exception of DLC, the coatings show mostly stable behavior over the long term. More quantitative comparisons require detailed simulations, and are addressed in a separate paper [12].

Acknowledgements

The results presented in this paper were made possible by the hard work of the CERNTA collaboration, especially L. Bartnik, M.G. Billing, J.V. Conway, J.A. Crittenden, M. Forster, S. Greenwald, X. Liu, R.E. Meller, S. Roy, D. Rubin, S. Santos, R.M. Schwartz, J. Sikora, K. Sonnad, and C.R. Strohman. We are also grateful to S. Calatroni and G. Rumolo at CERN for providing us with the aC coated chambers, and S. Kato at KEK for the DLC chamber.

This work was supported by the US National Science Foundation (PHY-0734867 and PHY-1002467) and Department of Energy (DE-FC02-08ER41538).

References

[1] M. Furman, “Electron Cloud Effects in Accelerators,” in *Proceedings of ECLoud 2012: 5th International Workshop on Electron-Cloud Effects, La Biodola, Elba, Italy* (2012), p. 1–8.

[2] F. Zimmermann, “Review of single bunch instabilities driven by an electron cloud,” *Phys. Rev. ST Accel. Beams* 7, 124801 (Dec. 2004).

[3] Y. Cai, M. Pivi & M. A. Furman, “Buildup of Electron Cloud in the PEP-II Particle Accelerator in the Presence of a Solenoid Field and with Different Bunch Pattern,” *Phys. Rev. ST Accel. Beams* 7, 024402 (Feb. 2004).
[4] C. Yin Vallgren et al., “Amorphous Carbon Coatings for the Mitigation of Electron Cloud in the CERN Super Proton Synchrotron,” Phys. Rev. ST Accel. Beams 14, 071001 (Jul. 2011).

[5] F. Zimmermann, “Accelerator Physics Studies for KEKB: Electron Trapping, Electron Cloud in the HER, Closed-Orbit Drift, Horizontal Instability and Tune Shift,” Tech. Rep. CERN-SL-2002-017-AP, CERN, Geneva, Switzerland (May 2002).

[6] M. A. Furman et al., “Electron-Cloud Measurements and Simulations for the APS,” in Proceedings of the 2001 Particle Accelerator Conference, Chicago, IL, P. Lucas & S. Webber, Eds. (2001), p. 679–681.

[7] N. Eddy et al., “Measurement of Electron Cloud Development in the Fermilab Main Injector Using Microwave Transmission,” in Proceedings of the 2009 Particle Accelerator Conference, Vancouver, BC (2009), p. 1967–1969.

[8] D. Neuffer et al., “Observations of a fast transverse instability in the PSR,” Nucl. Instrum. Methods Phys. Res. A321, p. 1–12 (Sep. 1992).

[9] O. Dominguez et al., “First electron-cloud studies at the Large Hadron Collider,” Phys. Rev. ST Accel. Beams 16, 011003 (Jan. 2013).

[10] G. Aarons et al., “International Linear Collider Reference Design Report,” Tech. Rep. ILC-REPORT-2007-001, International Linear Collider, Batavia, IL/Tsukuba, Japan/Hamburg, Germany (Aug. 2007).

[11] M. A. Palmer et al., “The Conversion and Operation of the Cornell Electron Storage Ring as a Test Accelerator (CesrTA) for Damping Rings Research and Development,” in Proceedings of the 2009 Particle Accelerator Conference, Vancouver, BC (2009), p. 4200–4204.

[12] J. R. Calvey et al., “Measurement and Modeling of Electron Cloud in a Field Free Environment Using Retarding Field Analyzers,” Tech. Rep.
arXiv:1402.7110, Cornell University Library, Ithaca, New York (Feb. 2014),
to be submitted to Phys. Rev. ST Accel. Beams.

[13] R. A. Rosenberg & K. C. Harkay, “A Rudimentary Electron Energy Analyzer for Accelerator Diagnostics,” Nucl. Instrum. Methods Phys. Res. A453, p. 507–513 (Oct. 2000).

[14] C. Y. Tan, K. L. Duel & R. M. Zwaska, “An Improved Retarding Field Analyzer for Electron Cloud Studies,” in Proceedings of the 2009 Particle Accelerator Conference, Vancouver, BC (2009), p. 3540–3542.

[15] M. T. F. Pivi et al., “Observation of Magnetic Resonances in Electron Clouds in a Positron Storage Ring,” Nucl. Instrum. Methods Phys. Res. A621, p. 33–38 (Sep. 2010).

[16] K. Kanazawa et al., “Measurement of the Electron Cloud Density Around the Beam,” in Proceedings of the 2005 Particle Accelerator Conference, Knoxville, TN, C. Horak, Ed. (2005), p. 1054–1056.

[17] J. Calvey, Studies of Electron Cloud Growth and Mitigation at CESR-TA, Ph.D. thesis, Cornell University, Ithaca, New York (Aug. 2013).

[18] F. Le Pimpec et al., “Properties of TiN and TiZrV Thin Film as a Remedy Against Electron Cloud,” Nucl. Instrum. Methods Phys. Res. A551, p. 187–199 (Jul. 2005).

[19] S. Kato & M. Nishiwaki, “E-Cloud Activity of DLC and TiN Coated Chambers at KEKB Positron Ring,” in Proceedings of ECloud 2010: 49th ICFA Advanced Beam Dynamics Workshop on Electron Cloud Physics, Ithaca, NY, K. Smolenski, Ed. (2010), Paper MIT00.

[20] Y. Suetsugu et al., “First Experimental and Simulation Study on the Secondary Electron and Photoelectron Yield of NEG Materials (Ti-Zr-V) Coating Under Intense Photon Irradiation,” Nucl. Instrum. Methods Phys. Res. A554, p. 92–113 (Dec. 2005).
[21] Y. Li et al., “Electron Cloud Diagnostic Chambers with Various EC-Suppression Coatings,” in Proceedings of the 4th International Particle Accelerator Conference, Shanghai, China (2013), p. 3496–3498.

[22] “The CesrTA Electron Cloud Research Program Phase I Report, Sections 2.2 - 2.3,” Tech. Rep. CLNS-12-2084, LEPP, Cornell University, Ithaca, NY (Jan. 2013).

[23] J. A. Crittenden et al., “Shielded Button Electrodes for Time-Resolved Measurements of Electron Cloud Buildup,” Tech. Rep. arXiv:1311.7103, Cornell University Library, Ithaca, New York (Jan. 2014), accepted for publication in Nucl. Instrum. Methods Phys. Res. A.

[24] Y. Li et al., “Design and Implementation of CesrTA Superconducting Wiggler Beampipes with Thin Retarding Field Analyzers,” in Proceedings of the 2009 Particle Accelerator Conference, Vancouver, BC (2009), p. 3507–3509.

[25] M. A. Palmer et al., “Design, Implementation and First Results of Retarding Field Analyzers Developed for the CesrTA Program,” in Proceedings of the 2009 Particle Accelerator Conference, Vancouver, BC (2009), p. 3510–3512.

[26] J. R. Calvey et al., “Methods for Quantitative Interpretation of Retarding Field Analyzer Data,” in Proceedings of the 2011 Particle Accelerator Conference, New York, NY (2011), p. 501–503.

[27] O. Gröbner, “Bunch Induced Multipactoring,” in Proceedings of the X International Conference on High Energy Accelerators, Protvino, USSR, 1977, Y. M. Ado et al., Eds. (1977), p. 277–282.

[28] K. C. Harkay, L. Loiacono & R. A. Rosenberg, “Studies of a Generalized Beam-Induced Multipacting Resonance Condition,” in Proceedings of the 2003 Particle Accelerator Conference, Portland, OR, J. Chew, P. Lucas & S. Webber, Eds. (2003), p. 3183–3185.
[29] R. Cimino et al., “Nature of the Decrease of the Secondary-Electron Yield by Electron Bombardment and its Energy Dependence,” Phys. Rev. Lett. 109, 064801 (Aug. 2012).

[30] R. E. Kirby & F. K. King, “Secondary Electron Emission Yields from PEP-II Accelerator Materials,” Nucl. Instrum. Methods Phys. Res. A469, p. 1–12 (Aug. 2001).

[31] R. Larciprete et al., “Secondary electron yield of Cu technical surfaces: Dependence on electron irradiation,” Phys. Rev. Lett. 16, 011002 (Jan. 2013).

[32] J. Kim et al., “In Situ SEY Measurements at CesarTA,” in Proceedings of ECloud 2010: 49th ICFA Advanced Beam Dynamics Workshop on Electron Cloud Physics, Ithaca, NY, K. Smolenski, Ed. (2010), Paper PST12.

[33] J. A. Crittenden & J. P. Sikora, “Electron Cloud Buildup Characterization Using Shielded Pickup Measurements and Custom Modeling Code at CesarTA,” in Proceedings of ECloud 2012: 5th International Workshop on Electron-Cloud Effects, La Biodola, Elba, Italy (2012).
Appendix A. Electronics

A block diagram of the RFA data acquisition system is shown in Figure A.22. Each high voltage supply contains two four-quadrant grid supplies (described below) and a single unipolar collector supply. The standard grid supply can operate from \(-500\) V to \(+200\) V, with a somewhat complex operating envelope (Fig. A.23); the collector supply can operate from 0 V to 200 V and is rated for 50 mA. The readout system is in a 9U VMEbus crate with a custom P3 backplane that distributes bias voltages to the databoard. This backplane is divided into three segments (which can each control up to three RFAs), each with its own HV power supply.

The four-quadrant grid supplies are implemented as a pair of EMCO F10 high voltage modules\(^7\) coupled through a voltage divider. The modules have

\(^7\)http://www.emcohighvoltage.com/pdfs/fseries.pdf
internal voltage-scaled latch-back overcurrent protection. This characteristic required a digital control loop to provide a programmed ramp-up sequence that will get both modules up to operating voltage without triggering an overcurrent fault. The controller for each supply is implemented with a PIC16C773 microcontroller. The control loop starts in a coarse regulation mode where the command signals to the high voltage modules are produced by 10 bit pulse-width modulators embedded in the microcontroller, and then switches to a fine regulation mode where the commands are trimmed with 8 bit rate multipliers that are scaled to produce effective 14 bit (60 mV) resolution. The feedback is specially configured to enable high precision current measurements while the feedback loop is quiescent. Upon receipt of a voltage command, the HV control sets the voltage and allows it to stabilize. At that point, all feedback corrections are suspended for a 20 second data acquisition window. The controls for the two grid and single collector supplies in a full HV supply are configured to make this quiescent period simultaneous.

The RFA data boards distribute bias voltages to the detector elements (up to 17) and measure the current flow in each. The current is measured by an isolation amplifier looking at a series resistor (selectable as 1, 10, 100 or 1000 kΩ) in the high side of the circuit with the output going to a 16-bit digitizer. The

---

8http://www.microchip.com/wwwproducts/Devices.aspx?dDocName=en010176
various resistors correspond to full scale ranges of 10000, 1000, 100, and 10 nA.
The finest resolution is 0.15 pA.