Integrated Cave Stability Assessment: A Case Study at Naga Mas Cave, Mount Pua, Kinta Valley, Ipoh, Perak, Malaysia

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ABSTRACT

Naga Mas Cave, a natural cave which also houses a temple is becoming one of the popular places of worship for Buddhist community in Ipoh, Perak. With the increasing number of worshippers entering the cave, the stability of this cave becomes important. With that in mind, an assessment on natural cave stability was conducted in the interest of public safety. Slope Mass Rating (SMR), Q rock mass classification system and cave roof thickness-width ratio of cave were employed in this assessment. The lithology of study area consists of dolomitic limestone. Discontinuity surveys were conducted at two slopes, labeled C1 and C2. For slope C1, three (3) joint sets J1, J2 and J3 with the dip direction and angles of 332º/49º, 154º/37º and 049º/80º, respectively, were identified. While slope C2 has four (4) sets of joints J1, J2, J3 and J4 with the dip direction and angles of 323º/44º, 125º/57º, 42º/76º, 263º/67º, respectively. The relationship between the rock quality, Q values and cave width shows that all parts inside the cave need support except for the cave chamber at the northern part. As for the cave wall stability, the northern part of the south east wall, south eastern and southern part as well as the north western wall and south western corner were classified as poor slope class due to the unfavorable orientation of the cave wall. Ratio of cave roof thickness and cave width shows that the cave is stable. From the results, a mitigation plan is proposed to highlight the cave safety zone to ensure the safety of worshippers and public visiting the cave.

Keywords: Cave roof thickness; cave stability; mitigation; Q-system; Slope Mass Rating (SMR)

INTRODUCTION

Naga Mas Cave is located in Perak, Malaysia (Figure 1) at the coordinates of 4°30’27.4”N 101°08’54.8”E and becoming one of the attractive tourist destinations from all around Malaysia. The study area consists of two levels and Naga Mas Cave is a cave located at upper level. A small prayer space for worship activity is located at ground level. The cave authority is planning to build a mega spiral staircase on the limestone rock slope to connect the ground level to level one. Therefore, geological input is very important for the project to ensure the public’s safety, given the fact that cave stability issues receive less attention by the community. Previously, cave stability has been studied by Waltham (2002) in which a cave is categorized as stable when the cave roof thickness-width ratio is more than 0.7. In Malaysia, only
Ailie et al. (2015), Goh et al. (2018, 2016a, 2016b), and Nur Amanina et al. (2016) assessed the stability of Gua Damai, Selangor and Kek Look Tong Cave, Perak based on Waltham’s (2002) recommendations and integrated with Slope Mass Rating (SMR) method. Therefore, in this study, integrated cave stability assessment on Naga Mas Cave was adopted to ensure the safety of public.

**GEOLOGY SETTING**

The study area is regarded as a part of the Kinta Valley Formation as suggested by Foo (1983) based on the large limestone distribution which dominates the Kinta Valley area. According to Foo (1983), Kinta limestone is equivalent to Baling group located in Northern Peninsular Malaysia. Facies of the study area is similar with the facies found in Sungai Siput area. Previously, H.S Lee Beds, Nam Long Beds, Thye Onn Beds, Kuan Onn Beds and Kanthan Limestone have been used to describe the Kinta Limestone. Foo (1983) suggested that the age of Kinta Limestone is Silurian to Permian. The age of the Kinta Limestone was determined by the presence of fossils. In the Kampar area, argillite facies consists of black shale and argillic sandstone which were named as Kim Long Bed No.1 by Suntharalingam (1968) are found. A detailed study of the stratigraphy of the west of Kampar shows an apparent continuous Devonian to Permian succession of limestone. According to Metcalfe (1981), an unconformity boundary exists between Devonian and Carboniferous.

**MATERIALS AND METHODS**

**CAVE MAPPING**

Cave mapping was conducted to determine the cave geometry, shape and cave structure by measuring the width and height of the cave using a Bosch Laser Rangefinder. The thickness of roof was obtained based on the difference between height from Rangefinder survey and elevation topographic map. Cave orientation such as dips direction and dips were measured with a geological compass.

**CAVE STABILITY ASSESSMENT**

Cave stability was analyzed in terms of cave width, cave roof thickness and cave width ratio and the Q-system. According to Waltham (2002), the limestone roof thickness should not exceed 70% of the cave width. This mean that cave with cave roof thickness and cave width ratio exceed 0.7 is not stable. Cave roof thickness and cave width ratio can be calculated by using (1). Next, Q-values were plotted in Waltham (2002) graph for assessment of cave stability.

\[
\text{Cave Roof and Width Ratio} = \frac{\text{Cave roof thickness}}{\text{Cave width}}
\]  

(1)

The cave wall stability were determined by using Slope Mass Rating (SMR) as the different cave orientation will provide a different value for the Slope Mass Rating. Additionally, Q-values (Barton 1995) were calculated which correlates the full Rock Mass Rating (RMR\text{\text{full}}) value by using (2). Full Rock Mass Rating (RMR\text{\text{full}}) including...
the effect of discontinuity strike and dip orientation found from kinematic analysis in tunneling.

\[ RMR_{\text{full}} = 15 \log Q + 50 \]  
\( \text{(2)} \)

CAVE WALL ASSESSMENT BY USING SLOPE MASS RATING (SMR)

Slope Mass Rating (Romana 1985) was used to assess the cave wall stability. It is an additional application of Rock Mass Rating (Bieniawski 1989). This method involved the following steps: RMR_{\text{basic}} (Bieniawski 1989); Parallelism between discontinuity, \( \alpha_j \) (or the intersection line, \( \alpha_i \), in the case of wedge failure) and slope dip direction (\( \alpha_q \)); Discontinuity dip (\( \beta_j \)) in the case of planar failure and the plunge, \( \beta_i \) of the intersection line in wedge failure (\( \beta_q \)); Relationship between slope (\( \beta_s \)) and discontinuity (\( \beta_i \)) dips (toppling or planar failure cases) or the immersion line dip (\( \beta_i \)). (\( F_3 \)); and correction factor that depends on the excavation method used (\( F_4 \)).

Slope Mass Rating can be calculated by:

\[ \text{SMR} = \text{RMR}_{\text{basic}} + (F_1 \times F_2 \times F_3) + F_4 \]  
\( \text{(3)} \)

RESULTS AND DISCUSSION

The natural cave of Naga Mas Cave consist of a main cave and a small cave chamber which is located beside the main cave (Figure 2). The main cave has been divided into 14 parts, labeled with alphabets A to N while the chamber is divided into 3 parts, labeled with alphabets X to Z. Each part is located 3 meters apart.

KINEMATIC ANALYSIS

The discontinuities survey was conducted on C1 and C2 slope (Figure 2) at Naga Mas Cave. The discontinuity survey shows that the slope C1 has three sets of joints J1, J2 and J3 with the dip direction and dip angles of 332°/49°, 154°/37° and 049°/80° (Figure 3) while slope C2 has four sets of joints J1, J2, J3 and J4 with the dip direction and dip angles of 323°/44°, 125°/57°, 42°/76° and 263°/67°, respectively (Figure 3). Peak friction angles for the discontinuity surfaces for slope C1 is 50° while slope C2 is 55° were determined based on the recommendation of Ailie et al. (2017).

CAVE STABILITY ASSESSMENT

The Uniaxial Compression Strength (UCS) value for material failure is in the range of 50.5 MPa – 80.3 MPa.
An average reading 59.7 MPa is taken as the reference value for tabulation of data. The basic rock mass rating (RMR_{basic}) for slope C1 and slope C2 that have undergone material failure were 63 and 73. RMR_{basic} for both slope C1 and C2 (Tables 1 and 2) were classified as good or class II rock. The rating adjustment for discontinuities orientation has been set for tunnels.

Based on the results of RMR_{basic}, a total of 24 cave walls in the main cave and cave chamber were assessed by using the Slope Mass Rating classification system. The cave walls were classified from class II to IV in Slope Mass Rating (Romana 1985) (Table 3). For the sections A-D, I-M, E’-J’ and N- N’ the rating was poor. Figure 4 shows that Slope Mass rating for 24 cave walls in Naga Mas Cave.

From the calculation of cave thickness and cave width ratio (Waltham 2002), the highest ratio is 6.3 while the lowest is 0.9 (Figure 4). The lowest ratio is located at the peak of the cave roof. In general, the cave thickness and cave width ratio in Naga Mas Cave exceed 0.7. This result shows that the cave roof of Naga Mas Cave is stable and safe. From the assessment based on the Q-value (Barton et al. 1974) and cave width (Figure 5), supports are needed to apply on all parts in the cave except part Z. Q-values range from 0.46 to 4.64 based on the varies orientation on cave wall. Different cave wall orientation results different Q-value.

In conclusion, the chamber is more stable compared to the main cave in Naga Mas Cave. The combination among 3 important parameters include Q-value, Slope Mass Rating (SMR) and cave roof thickness to cave width ratio have been integrated for analysis as shown in Figure 5.

A mitigation plan has been proposed for Naga Mas Cave by using updated Q-support chart of Grimstad and Barton (1993) (Figure 6 & Table 4). Part M’, Y, Y’, Z are the most stable part where support is not needed on these parts. Meanwhile, systematic bolting and reinforced shotcrete at thickness of 4-10 cm with bolt spacing, 1.8 m are needed for part A, B, C, A’, C’, D’, E’, F’, G’, H’, I’, J’, K’ and L’ while systematic bolting and reinforced

| TABLE 1. Results of assessment of RMR basic at C1, Naga Mas Cave, Mount Pua, Kinta Valley, Ipoh, Perak, Malaysia |
|-----------------------------------|---------|---------|
| Parameter                        | Material failure | Value | Rating |
| Uniaxial compressive strength, UCS (MPa) | 59.7 | 7 |
| Rock quality designation, RQD    | 87% | 17 |
| Spacing (mm)                     | 160 | 8 |
| Discontinuity condition          | | |
| Persistence (m)                  | 1.9 | 4 |
| Aperture (mm)                    | 6 - 20 | 0 |
| Roughness                        | Slightly rough | 3 |
| Infilling                        | No | 6 |
| Weathering                       | Moderate | 3 |
| Groundwater condition            | Dry | 15 |
| RMR_{basic}                      | II (Good) | 63 |

| TABLE 2. Results of assessment of RMR basic at C2, Naga Mas Cave, Mount Pua, Kinta Valley, Ipoh, Perak, Malaysia |
|-----------------------------------|---------|---------|
| Parameter                        | Material failure | Value | Rating |
| Uniaxial compressive strength, UCS (MPa) | 59.7 | 7 |
| Rock quality designation, RQD    | 90% | 20 |
| Spacing (mm)                     | 190 | 8 |
| Discontinuity condition          | | |
| Persistence (m)                  | 2.0 | 4 |
| Aperture (mm)                    | <1 | 5 |
| Roughness                        | Rough | 5 |
| Infilling                        | No | 6 |
| Weathering                       | Moderate | 3 |
| Groundwater condition            | Dry | 15 |
| RMR_{basic}                      | II (Good) | 73 |
| Slope | Weathering grade | Type of failure | Mode of failure | Joint set | Joint orientation | Slope face | RMR | SMR | Class |
|-------|-----------------|----------------|----------------|-----------|------------------|-----------|-----|-----|-------|
| A-B   | II              | M              | Wedge          | J1-J3     | 324/47           | 310/70    | 63  | 36  | IV    |
|       |                 | MD             | Wedge          | J1-J3     | 324/47           | 310/70    | 60  | 33  | IV    |
|       |                 | M              | Wedge          | J3-J4     | 326/49           | 310/70    | 63  | 36  | IV    |
|       |                 | MD             | Wedge          | J3-J4     | 326/49           | 310/70    | 60  | 33  | IV    |
| B-C   | II              | M              | Wedge          | J1-J3     | 324/47           | 308/70    | 63  | 36  | IV    |
|       |                 | MD             | Wedge          | J1-J3     | 324/47           | 308/70    | 60  | 33  | IV    |
|       |                 | M              | Wedge          | J3-J4     | 326/49           | 308/70    | 63  | 36  | IV    |
|       |                 | MD             | Wedge          | J3-J4     | 326/49           | 308/70    | 60  | 33  | IV    |
| C-D   | III             | M              | Wedge          | J1-J3     | 324/47           | 308/72    | 63  | 36  | IV    |
|       |                 | MD             | Wedge          | J1-J3     | 324/47           | 308/72    | 60  | 33  | IV    |
|       |                 | M              | Wedge          | J3-J4     | 326/49           | 308/72    | 63  | 36  | IV    |
|       |                 | MD             | Wedge          | J3-J4     | 326/49           | 308/72    | 60  | 33  | IV    |
| D-E   | II              | M              | Wedge          | J1-J3     | 324/47           | 280/65    | 63  | 69  | II    |
|       |                 | MD             | Wedge          | J1-J3     | 324/47           | 280/65    | 60  | 66  | II    |
|       |                 | M              | Wedge          | J3-J4     | 326/49           | 280/65    | 63  | 69  | II    |
|       |                 | MD             | Wedge          | J3-J4     | 326/49           | 280/65    | 60  | 66  | II    |
|       |                 | M              | Planar          | J4        | 263/68           | 280/65    | 63  | 73.8| IV    |
|       |                 | MD             | Planar          | J4        | 263/68           | 280/65    | 60  | 70.8| IV    |
| E-F   | II              | M              | Wedge          | J1-J3     | 324/47           | 287/68    | 63  | 69  | II    |
|       |                 | MD             | Wedge          | J1-J3     | 324/47           | 287/68    | 60  | 66  | II    |
|       |                 | M              | Wedge          | J3-J4     | 326/49           | 287/68    | 63  | 69  | II    |
|       |                 | MD             | Wedge          | J3-J4     | 326/49           | 287/68    | 60  | 66  | II    |
|       |                 | M              | Planar          | J4        | 263/68           | 280/65    | 63  | 73.8| II    |
|       |                 | MD             | Planar          | J4        | 263/68           | 280/65    | 60  | 70.8| II    |
| F-G   | II              | M              | Wedge          | J1-J3     | 324/47           | 290/68    | 63  | 69  | II    |
|       |                 | MD             | Wedge          | J1-J3     | 324/47           | 290/68    | 60  | 66  | II    |
|       |                 | M              | Wedge          | J3-J4     | 326/49           | 290/68    | 63  | 69  | II    |
|       |                 | MD             | Wedge          | J3-J4     | 326/49           | 290/68    | 60  | 66  | II    |
| G-H   | II              | M              | Wedge          | J1-J3     | 324/47           | 290/68    | 63  | 69  | II    |
|       |                 | MD             | Wedge          | J1-J3     | 324/47           | 290/68    | 60  | 66  | II    |
|       |                 | M              | Wedge          | J3-J4     | 326/49           | 290/68    | 63  | 69  | II    |
|       |                 | MD             | Wedge          | J3-J4     | 326/49           | 290/68    | 60  | 66  | II    |
| H-I   | III             | B              | Wedge          | J1-J3     | 324/47           | 270/68    | 63  | 69  | II    |
|       |                 | BK             | Wedge          | J1-J3     | 324/47           | 270/68    | 60  | 66  | II    |
|       |                 | B              | Wedge          | J3-J4     | 326/49           | 270/68    | 63  | 69  | II    |
|       |                 | BK             | Wedge          | J3-J4     | 326/49           | 270/68    | 60  | 66  | II    |
|       |                 | B              | Planar          | J4        | 263/68           | 270/68    | 63  | 56.75| III   |
|       |                 | BK             | Planar          | J4        | 263/68           | 270/68    | 60  | 53.75| III   |
| I-J   | III             | B              | Wedge          | J1-J3     | 324/47           | 316/70    | 63  | 27  | IV    |
|       |                 | BK             | Wedge          | J1-J3     | 324/47           | 316/70    | 60  | 24  | IV    |
|       |                 | B              | Wedge          | J3-J4     | 326/49           | 316/70    | 63  | 27  | IV    |
|       |                 | BK             | Wedge          | J3-J4     | 326/49           | 316/70    | 60  | 24  | IV    |
| J-M   | III             | B              | Wedge          | J1-J3     | 324/47           | 318/70    | 63  | 27  | IV    |
|       |                 | BK             | Wedge          | J1-J3     | 324/47           | 318/70    | 60  | 24  | IV    |
|       |                 | B              | Wedge          | J3-J4     | 326/49           | 318/70    | 63  | 27  | IV    |
|       |                 | BK             | Wedge          | J3-J4     | 326/49           | 318/70    | 60  | 24  | IV    |
| A'-B' | II              | B              | Wedge          | J2-J3     | 122/43           | 92/72     | 63  | 57.6| III   |
|       |                 | BK             | Wedge          | J2-J3     | 122/43           | 92/72     | 60  | 54.6| III   |
|       |                 | B              | Toppling        | J4        | 263/68           | 92/72     | 63  | 56.75| III   |
|       |                 | BK             | Toppling        | J4        | 263/68           | 92/72     | 60  | 53.75| III   |
| B'-C' | II              | B              | Wedge          | J2-J3     | 122/43           | 95/76     | 63  | 57.6| III   |
|       |                 | BK             | Wedge          | J2-J3     | 122/43           | 95/76     | 60  | 54.6| III   |
|       |                 | B              | Toppling        | J4        | 263/68           | 95/76     | 63  | 60.5| III   |
|       |                 | BK             | Toppling        | J4        | 263/68           | 95/76     | 60  | 57.5| III   |

(continue)
(Continued) TABLE 3.

| Slope | Weathering grade | Type of failure | Mode of failure | Joint set | Joint orientation | Slope face | RMR | SMR | Class |
|-------|------------------|----------------|-----------------|-----------|-------------------|------------|-----|-----|-------|
| C'-D' | I                | M              | Wedge           | J2-J3     | 122/43            | 86/62      | 63  | 70.35 | III   |
|       |                  | MD             | Wedge           | J2-J3     | 122/43            | 86/62      | 60  | 67.35 | III   |
|       |                  | M              | Toppling        | J4        | 263/68            | 86/62      | 63  | 60.5  | II    |
|       |                  | MD             | Toppling        | J4        | 263/68            | 86/62      | 60  | 57.5  | III   |
| D-E   | I                | M              | Wedge           | J2-J3     | 122/43            | 85/62      | 63  | 70.35 | II    |
|       |                  | MD             | Wedge           | J2-J3     | 122/43            | 85/62      | 60  | 67.35 | II    |
|       |                  | M              | Toppling        | J4        | 263/68            | 85/62      | 63  | 53    | III   |
|       |                  | MD             | Toppling        | J4        | 263/68            | 85/62      | 60  | 50    | III   |
| E'-F' | I                | M              | Wedge           | J2-J3     | 122/43            | 130/60     | 63  | 34.65 | IV    |
|       |                  | MD             | Wedge           | J2-J3     | 122/43            | 130/60     | 60  | 31.65 | IV    |
| F'-G' | II               | M              | Wedge           | J2-J3     | 122/43            | 122/43     | 63  | 34.65 | IV    |
|       |                  | MD             | Wedge           | J2-J3     | 122/43            | 127/62     | 60  | 31.65 | IV    |
| G'-H' | III              | M              | Wedge           | J2-J3     | 122/43            | 127/62     | 63  | 34.65 | IV    |
|       |                  | MD             | Wedge           | J2-J3     | 122/43            | 127/62     | 60  | 31.65 | IV    |
| H'-I' | III              | M              | Wedge           | J2-J3     | 122/43            | 127/62     | 63  | 34.65 | IV    |
|       |                  | MD             | Wedge           | J2-J3     | 122/43            | 127/62     | 60  | 31.65 | IV    |
| I'-J' | II               | M              | Wedge           | J2-J3     | 122/43            | 127/62     | 63  | 34.65 | IV    |
|       |                  | MD             | Wedge           | J2-J3     | 122/43            | 130/60     | 60  | 31.65 | IV    |
| J'-K' | II               | M              | Wedge           | J2-J3     | 122/43            | 143/68     | 63  | 34.65 | III   |
|       |                  | MD             | Wedge           | J2-J3     | 122/43            | 143/68     | 60  | 54.6  | III   |
| K'-L' | III              | M              | Wedge           | J2-J3     | 122/43            | 150/74     | 63  | 57.6  | III   |
|       |                  | MD             | Wedge           | J2-J3     | 122/43            | 150/74     | 60  | 54.6  | III   |
| X-Y   | II               | M              | -               | -         | -                 | 30/60      | 63  | 63    | II    |
|       |                  | MD             | -               | -         | -                 | 30/60      | 60  | 60    | III   |
| Y-Z   | II               | M              | -               | -         | -                 | 30/61      | 63  | 63    | II    |
|       |                  | MD             | -               | -         | -                 | 30/61      | 60  | 60    | III   |
| X'-Y' | II               | M              | -               | -         | -                 | 180/60     | 63  | 63    | II    |
|       |                  | MD             | -               | -         | -                 | 180/60     | 60  | 60    | III   |
| Y'-Z  | II               | M              | -               | -         | -                 | 182/59     | 63  | 63    | II    |
|       |                  | MD             | -               | -         | -                 | 182/59     | 60  | 60    | III   |

M: Material Failure; MD: Material and Discontinuity

FIGURE 4. (a) Slope mass rating (SMR) (b) Contour created based on cave roof thickness and cave width ratio, Naga Mas Cave, Mount Pua, Kinta Valley, Ipoh, Perak, Malaysia
FIGURE 5. (a) Summary of cave width with Q-value (b) Mitigation plan on part A-N Naga Mas Cave, Mount Pua, Kinta Valley, Ipoh, Perak, Malaysia

Source: Modified from Grimstad & Barton (1993)

FIGURE 6. Proposed mitigation for Naga Mas Cave, Mount Pua, Kinta Valley, Ipoh, Perak, Malaysia

TABLE 4. Suggestion of mitigation plan for cave wall in Naga Mas Cave, Mount Pua, Kinta Valley, Ipoh, Perak, Malaysia based on updated Q-support chart of Grimstad and Barton (1993)

| Reinforcement categories                                      | Bolt spacing | Part               |
|---------------------------------------------------------------|--------------|--------------------|
| Unsupported                                                   | -            | M’, Y, Y’, Z       |
| Systematic bolting (and reinforced shotcrete 4-10 cm)         | 1.8 m        | A, B, C, C’, D’, E’, F’, G’, H’, I’, J’, K’ and L’         |
| Systematic bolting (and reinforced shotcrete 4-10 cm)         | 1.9 m        | D, E, F, H, I, J, K, L, M and N, |
| Systematic bolting (and reinforced shotcrete 4-10 cm)         | 2.0 m        | N’                 |
| Fibre reinforced shotcrete and Bolting (5-9 cm)               | 1.7 m        | B’                 |
shotcrete at thickness of 4-10 cm with bolt spacing, 1.9 m are suitable for part D, E, F, H, I, J, K, L, M and N. Systematic bolting and reinforced shotcrete at thickness of 4-10 cm with bolt spacing, 2.0 m is suggested to implement on Part N’ whereas part B’ is suggested to mitigate by using fibre reinforced shotcrete and bolting (5-9 cm) with bolt spacing, 1.7 m. Excavation support ratio (ESR) (Barton et al. 1974) used in the analysis is 1.3 which used to excavate for storage room, water treatment plants, railway tunnel and access tunnels.

CONCLUSION
As conclusion, this study and its findings offer a reliable, low cost approach to assessment the stability of cave and cave wall. Geomorphological features need to be conserved to raise the geotourism value and ensuring public safety at the same time. Suggestion for mitigation includes the factor that limestone cave is a highlighted tourist spot by Perak State Government and limestone cave in Kinta Valley is vital element in Kinta Geopark. To conserve the cave morphological features, setting up active netting is more suitable compare with applying the reinforced shotcrete which reinforced shotcrete would cover the natural and beauty of geomorphological feature on cave wall.

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