On Walsh Code Assignment

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Abstract—We consider the problem of orthogonal variable spreading Walsh code assignments. The aim is to provide assignments that can avoid both complicated signaling from the BS to the users and blind rate and code detection amongst a great number of possible codes. The assignments considered here use partitioning of all users into several pools. Each pool can use its own codes, which are different for different pools. Each user has only a few codes assigned to it within the pool. We state the problem as a combinatorial one expressed in terms of a binary $n \times k$ matrix $M$ where $n$ is the number of users and $k$ is the number of Walsh codes in the pool. A solution to the problem is given as a construction of a matrix $M$ which has the assignment property defined in the paper. Two constructions of such $M$ are presented under different conditions on $n$ and $k$. The first construction is optimal in the sense that it gives the minimal number of Walsh codes $\ell$ assigned to each user for given $n$ and $k$. The optimality follows from a proved necessary condition for the existence of $M$ with the assignment property. In addition, we propose a simple algorithm of optimal assignment for the first construction.

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1. INTRODUCTION

A direct-sequence code division multiple-access (CDMA) third-generation wireless network (see [1]) employs orthogonal variable spreading factor (OVSF) Walsh codes [2–7]. In OVSF systems, mobile stations (MSs or users) that require higher transmission rate in a current frame of a forward channel (from the base station (BS) to the MS) should use shorter length codes. Information about which code the BS will use can be signaled to the MS through a dedicated channel, or the MS can perform blind rate and code detection. However, the signaling requires extra resources, whereas performing the blind rate and code detection is complicated if there is a large number of possible codes out of which the BS has to choose a code for transmission. It is possible to reduce these difficulties by making the number of codes that can be used for transmission to each MS as small as possible (the Walsh codes that can be used for transmission to a particular MS are called below the codes assigned to this MS). This can be achieved if all users are partitioned into several pools, each pool can use its own codes taken from the set of all available codes, and a user of a pool monitors the pool codes assigned to it only. In what follows, the assigned code units will be called full-rate codes.

Let $n$ denote the number of mobile stations (users) in a pool; $k$ denote the total number of available different full-rate Walsh codes in the pool, which equals the total number of pool users that can receive voice simultaneously in the same frame interval at the full rate; and $\ell$ denote the number of full-rate codes available to each pool user. The $n$ users are numbered by $1, \ldots, n$. The $k$ available Walsh codes are numbered by $1, \ldots, k$. The Walsh code numbers assigned to user $i$ are denoted by $b_{ij} \in \{1, \ldots, k\}$, $i = 1, \ldots, n$, $j = 1, \ldots, \ell$. For example, if $\ell = 3$ and $k \geq 5$, it could be that $b_{11} = 1$, $b_{12} = 3$, $b_{13} = 5$. This means that the Walsh codes 1, 3, and 5 are assigned to user 1.
The matrix $S = (b_{ij})$, $i = 1, \ldots, n$, $j = 1, \ldots, \ell$, exhibiting all the Walsh codes assigned to all the users is called the assignment table.

A given assignment table has the assignment property if for any different $i_1, \ldots, i_k$ out of $\{1, \ldots, n\}$ there exist $j_1, \ldots, j_k$ such that all $b_{i_1 j_1}, \ldots, b_{i_k j_k}$ are different. This means that if an assignment table has the assignment property, the BS can choose different full-rate codes for any $k$ (or less) MSs and simultaneously transmit to these $k$ (or less) MSs in a frame.

Equivalently, we can describe the code assignment to user $i$ by a binary row of length $k$ with $\ell$ ones and $k - \ell$ zeros. The $j$th entry of this row is 1 if the Walsh code $j$ is assigned to user $i$ and is 0 otherwise. For example, if Walsh codes 1, 3, and 5 are assigned to user $i$, then, assuming that $k = 5$, the $i$th binary row is $(1 \ 0 \ 1 \ 0 \ 1)$. Denote by $M$ the $n \times k$ matrix composed of such binary rows for users $i = 1, \ldots, n$. We will say that $M$ has the assignment property if the corresponding matrix $S$ has the assignment property.

The following necessary and sufficient condition for the assignment property is straightforward.

The assignment table $S$ and the corresponding binary matrix $M$ have the assignment property if and only if by permutation of any $k$ rows of $M$ we can obtain a $k \times k$ matrix with all diagonal entries equal to 1.

Note that if $M$ is a matrix having the assignment property, then any matrix obtained by a row and/or column permutation of $M$ has the assignment property as well.

In this paper we study the problem of constructing matrices with the assignment property for given $n$ and $k$. We will embed it in a more general problem by considering any binary $n \times k$ matrices $M$, not necessarily matrices with exactly $\ell$ entries equal to 1 in each row. This means that the number of Walsh codes assigned to different users can be different in general. The assignment property for such matrices $M$ is defined by the condition stated above.

This paper is organized as follows. In Section 2 we discuss the connection of the assignment property to Hall’s theorem, which will be used in the proofs of main results. In Section 3 we introduce two matrices $M$ and, with the help of Hall’s theorem, prove that they satisfy the assignment property. The first matrix, which we call the $\ell$-banded matrix, satisfies the assignment property for odd $k \geq 3$ and $k \leq n \leq 2k$, while the second, called the augmented $\ell$-banded matrix, satisfies this property for even $k \geq 4$ and $k \leq n \leq 2(k - 1)$. In Section 4 we propose an assignment algorithm for the first matrix. This also gives a constructive proof of the assignment property independent of Hall’s theorem. In Section 5 we show that the first matrix is optimal and the second is asymptotically optimal (as $k \to \infty$) in the sense that they have the minimal number of entries 1 for given $n$ and $k$.

2. CONNECTION TO HALL’S THEOREM

First, recall the following theorem due to Hall (see, e.g., [8]). Let $S_1, \ldots, S_k$ be $k$ subsets of some set $S$. We say that different representatives exist in $\{S_i\}$ if one can extract from each $S_i$ one element such that all the $k$ extracted elements are different.

**Theorem 1** (Hall’s theorem). Let $S_1, \ldots, S_k$ be $k$ subsets of some set $S$. Then different representatives exist in $\{S_i\}$ if and only if for any $m \in \{1, \ldots, k\}$ and any sequence of different indices $i_1, \ldots, i_m$ the union of sets $S_{i_1} \cup \ldots \cup S_{i_m}$ contains at least $m$ different elements.

Now we apply Theorem 1 in our setting. Then the set $S$ is an assignment table: $S = \{b_{ij}, i = 1, \ldots, n, j = 1, \ldots, \ell\}$, where the elements $b_{ij}$ take values in $\{1, \ldots, k\}$. The subsets $S_i$ are rows of the assignment table; thus, each $S_i$ is a set of $\ell$ elements $S_i = \{b_{ij}, j = 1, \ldots, \ell\}$. With this notation, the assignment property is equivalent to the existence of different representatives in $\{S_i\}$. Therefore, to verify whether the assignment property holds for some given table $S$, it is sufficient
to check the condition of Hall’s theorem. This condition can be restated in terms of a binary matrix $M$ as is shown in the next lemma. Let $M_i$ denote the $i$th row of $M$. A column of any matrix will be called a null column if all of its elements are zero and will be called a non-null column otherwise.

**Lemma 1.** The table $S$ (equivalently, the corresponding matrix $M$) has the assignment property if and only if, for any $m \in \{1, \ldots, k\}$ and any sequence of different indices $i_1, \ldots, i_m$, the number of non-null columns of the matrix

$$A(i_1, \ldots, i_m) \triangleq \begin{pmatrix} M_{i_1} \\ \vdots \\ M_{i_m} \end{pmatrix}$$

is not less than $m$.

**Proof.** The matrix $M$ is an $n \times k$ matrix with elements

$$M_{it} = I(\exists j \in \{1, \ldots, \ell\} : b_{ij} = t), \quad i = 1, \ldots, n, \quad t = 1, \ldots, k,$$

where $I(\cdot)$ denotes the indicator function and $b_{ij}$ are elements of the assignment table $S$. Note that

$$S_{i_1} \cup \ldots \cup S_{i_m} = \{b_{ij} : j \in \{1, \ldots, \ell\}, i \in \{i_1, \ldots, i_m\}\}.$$

The $t$th column of $A(i_1, \ldots, i_m)$ is non-null if and only if we have the following:

$$\exists i \in \{i_1, \ldots, i_m\} \text{ such that } M_{it} = 1.$$

In turn, this is equivalent to the condition

$$\exists j \in \{1, \ldots, \ell\}, \exists i \in \{i_1, \ldots, i_m\} \text{ such that } b_{ij} = t.$$

Therefore, the number of different non-null columns of $A(i_1, \ldots, i_m)$ is equal to the number of different elements $b_{ij}$ in the set $S_{i_1} \cup \ldots \cup S_{i_m}$. Thus, the desired result follows from Theorem 1 and remarks after it. △

Lemma 1 remains valid if $M$ is an arbitrary binary $n \times k$ matrix. Indeed, in the definition of $S_i$ and further, it suffices to replace $\ell$ with the number $\ell_i$ of ones in the $i$th row.

### 3. TWO CONSTRUCTIONS OF MATRICES $M$

In this section, we propose two $n \times k$ matrices $M$ satisfying the assignment property. The first matrix, which we call an $\ell$-banded matrix, is constructed for odd values of $k$, while the second, called an augmented $\ell$-banded matrix, is constructed for even $k$. First, we define them with fixed $n$ depending on $k$ (maximal $n$ for each of the two constructions), but the results extend in an obvious way to some range of smaller $n$; cf. a remark at the end of this section.

**First construction: the $\ell$-banded matrix $M$.** Let $k \geq 3$ be an odd number and $n = 2k$. Set $\ell = (k + 1)/2$. The binary $n \times k$ matrix $M$ is called the $\ell$-banded matrix if its $j$th row is the $(j - 1)$-position rightward cyclic shift of the row $(1 \ldots 1 \underbrace{0 \ldots 0}_{\ell} \underbrace{0 \ldots 0}_{k-\ell})$ whose first $\ell$ entries are equal to 1 and the remaining $k - \ell = (k - 1)/2$ entries are 0. An example of such matrix $M$ is given in the figure (left).
Second construction: the augmented $\ell$-banded matrix $M$. Let $k \geq 4$ be an even number and $n = 2(k-1)$. Set $\ell = k/2$. A binary $n \times k$ matrix $M$, called the augmented $\ell$-banded matrix, is defined as follows: $M = (M^\ell \b)$, where $M^\ell$ is the $\ell$-banded matrix with $\ell = k/2$ ($M^\ell$ is an $n \times (k-1)$ matrix) and $b$ is the last column of $M$ with upper $k-1$ entries equal to 1 and lower $k-1$ entries equal to 0. An example of such matrix $M$ is given in the figure (right), where the column $b$ is marked in boldface.

**Theorem 2.** The $\ell$-banded matrix $M$ satisfies the assignment property.

**Proof.** We use the lemma. Fix some $m \in \{1, \ldots, k\}$ and a sequence of different indices $i_1, \ldots, i_m$. For brevity we will write $A(i_1, \ldots, i_m) = A$. Denote by $r$ the number of non-null columns of $A$. We denote by $M_u$ the upper $k \times k$ submatrix of $M$ and by $M_l$ the lower $k \times k$ submatrix of $M$. The matrices $M_u$ and $M_l$ are identical. Let $i$ be the number of rows of $A$ taken from the upper submatrix $M_u$ and $j = m - i$ the number of rows of $A$ taken from the lower submatrix $M_l$. Assume without loss of generality that $j \leq i$. Then

$$j \leq \ell - 1.$$  

(1)

Indeed, since $i + j = m \leq k$, we have $2j \leq k$ and, since $k$ is odd, $2j \leq k - 1 = 2(\ell - 1)$.

Now we show the following fact:

**The $r$ null columns of $A$ necessarily form a group of $r$ consecutive columns**  

(2)

(the word ‘consecutive’ is understood in a cyclic way, which means that the last column of $A$ is the left neighbor of its first column). Indeed, assume that (2) is not true, that is, there exist two null columns of $A$ separated by non-null columns (in a cyclic way). Denote these columns by $A_1$ and $A_2$. Recall that rows of $A$ are also rows of $M$. By the definition of $M$, every two zeros in any row of $M$ are separated by at least $\ell$ ones. This implies that there exists at least one row of $A$ containing $\ell$ ones in the left direction between $A_1$ and $A_2$ and at least one row of $A$ containing $\ell$ ones in the right direction between $A_1$ and $A_2$ (we consider $A$ as a cyclic matrix, as is explained above). These two properties are only compatible if the total number of columns of $A$ is at least $2\ell + 1$. Since $A$ has $k$ columns and $2\ell + 1 > k$, we come to a contradiction, which proves (2).

Now fix $r$ arbitrary consecutive columns of $A$ and assume that these are null columns. Then it is immediately clear that some rows of the upper submatrix $M_u$ cannot be a part of $A$ since they contain ones in the positions corresponding to these columns. Let us call such rows $r$-forbidden rows. It is easy to deduce from the definition of $M_u$ that the number of $r$-forbidden rows is $r + \ell - 1$, whatever be the position of $r$ consecutive null columns. Therefore, the total number $i$ of rows of $A$
taken from the upper submatrix $M_u$ satisfies $i \leq k - (r + \ell - 1)$. Combining this inequality with (1) we get $m = i + j \leq k - r$. Thus, the condition of the lemma is satisfied, which proves the theorem. △

The next result is related to the second construction.

**Theorem 3.** The augmented $\ell$-banded matrix $M$ satisfies the assignment property.

**Proof.** We again use the lemma. We have to show that the number of non-null columns of $A(i_1, \ldots, i_m)$ is at least $m$ for any $m \leq k$. In view of Theorem 2, this condition is satisfied for $m \leq k - 1$. Indeed, $k' = k - 1$ is even, $k' \geq 3$, and the matrix $M'$ composed of the first $k - 1$ columns of $M$ is an $\ell$-banded matrix of size $n \times k'$. Therefore, by Theorem 2, for $m \leq k'$ there exist at least $m$ non-null columns among the first $k'$ columns of $A(i_1, \ldots, i_m)$. It remains to consider the case $m = k$. Then, by the above argument, there already exist $k - 1$ non-null columns of $A(i_1, \ldots, i_k)$ among its first $k - 1$ columns—we can take the non-null columns of $A(i_1, \ldots, i_{k-1})$ augmented by one element 0 or 1. Finally, note that the last column of $A(i_1, \ldots, i_k)$ is always non-null since any chosen $k$ rows of $M$ there should be at least one from the lower submatrix, i.e., a row with $k$th entry equal to 1. △

**Remark.** Note that if we remove any $w$ rows from the proposed matrices $M$, we obtain $(n - w) \times k$ binary matrices that still has the assignment property provided that $n - w \geq k$. This means that from the first construction we can obtain matrices with the assignment property for any $n$ such that $k \leq n \leq 2k$ for odd $k \geq 3$. The second construction extends in this way to any $n$ such that $k \leq n \leq 2(k - 1)$ for even $k \geq 4$. By extension, we call these $(n - w) \times k$ matrices $\ell$-banded or augmented $\ell$-banded as well.

**4. CODE ASSIGNMENT ALGORITHM FOR $\ell$-BANDED MATRICES**

Theorems 2 and 3 provide existence results but do not exhibit concrete algorithms of Walsh code assignment. Note however that, in view of the reduction to Hall’s theorem, algorithmic realization can readily be done via algorithms for finding different representatives which are available in the literature (cf., for example, [8]). What is more, for an $\ell$-banded matrix $M$ there exists a very simple algorithm of Walsh code assignment, which we describe in this section.

We choose arbitrary $k$ rows of an $\ell$-banded matrix $M$. They form a $k \times k$ matrix $K$. The algorithm provides a permutation of the rows of $K$ transforming it into a matrix with all diagonal entries equal to 1. To define the algorithm, we need some notation. Namely, we attribute some labels to rows of $M$. Recall first that, by the construction, rows $j$ and $j + k$ of an $\ell$-banded matrix $M$ are identical; row $j + k$ will be called the duplicate of row $j$ and vice versa. The row $j$ belongs to the upper submatrix $M_u$, while the row $j + k$ belongs to the lower submatrix $M_l$.

- If neither of the rows $j$ and $j + k$ of $M$ is chosen for $K$, these rows are called *void rows* (or $V$-rows) and are marked with label $V$;
- If only one of the rows $j$ and $j + k$ of $M$ is chosen for $K$, this row is called a *single row* (or $S$-row) and is marked with label $S$;
- If both rows $j$ and $j + k$ of $M$ are chosen for $K$, these rows are called *double rows* (or $D$-rows) and are marked with label $D$.

Note that the number of $D$-rows in the upper submatrix is equal to the number of $V$-rows. It will be convenient to consider at the beginning the matrix $K$ within the matrix $M$, with the rows of $M$ marked as defined above.

If all the rows of $K$ are $S$-rows, then there is no problem, and the desired permutation is straightforward: we just move the rows of $K$ chosen from the lower submatrix $M_l$ to the identical positions in the upper submatrix $M_u$. As a result, the upper submatrix becomes a $k \times k$ matrix with all diagonal entries equal to 1 and therefore becomes the desired output matrix. In general,
when there are also \( V \) and \( D \) rows, we need to perform additional permutations of the marked rows of \( M \) but we will still define the output of our algorithm as a \( k \times k \) matrix obtained in place of \( M_u \) at the last step of the algorithm.

The definition of the algorithm is as follows. First, if there are \( S \)-rows of \( K \) in the lower submatrix \( M_l \), move them to the identical positions in the upper submatrix \( M_u \). As a result, all the rows of the upper submatrix are now marked by labels \( V \), \( S \), or \( D \). Note that the number of \( D \)-rows in the upper submatrix is equal to the number of \( V \)-rows. For any \( D \)-row, consider the closest \( V \)-row from below (in a cyclic way, so that the uppermost row of the upper submatrix is viewed as the first below its last row). Form a couple of these two \( D \) and \( V \)-rows; couple in the same way all the other \( D \) and \( V \)-rows. To each \((D,V)\) couple we associate a cluster of consecutive rows of the upper submatrix composed of these two rows and all the \( S \)-rows between them. To finish the algorithm, we process each cluster in the following way (working with the cyclic upper submatrix as above):

(i) If the \( V \)-row of the couple stands next below its \( D \)-row (no \( S \)-rows in between), replace this \( V \)-row by the duplicate of the \( D \)-row;

(ii) If there are \( S \)-rows between the \( D \) and \( V \)-rows of the couple (further called \( S \)-rows of the cluster), put the duplicate of the \( D \)-row next below the \( D \)-row of the couple and shift all the \( S \)-rows of the cluster one row down.

The output of the algorithm is the upper submatrix obtained after performing these operations. It is easy to see that all its diagonal entries are equal to 1. Indeed, the \( S \)-rows outside the clusters are not modified by (i) and (ii) and they have ones on the diagonal positions. Next, operations (i) and (ii) eliminate the \( V \) rows and move in the duplicates of \( D \)-rows, so that the resulting upper submatrix is a permutation of the rows of \( K \). Finally, operations (i) and (ii) yield diagonal entries equal to 1 in each cluster. Indeed, in \( S \) and \( D \) rows, the second entry to the right of the diagonal is 1 since for \( k \geq 3 \) we have \( \ell = (k+1)/2 \geq 2 \).

Note that the complexity (i.e., the number of operations) of this algorithm is not high. We need to shift some of the rows of a chosen \( k \times k \) matrix \( K \) up to the point when we obtain a matrix with all diagonal entries equal to 1; each row is shifted at most once. This means that the complexity of the algorithm is at most \( k \).

5. OPTIMALITY OF THE ASSIGNMENT MATRICES

Apart from the matrices \( M \) proposed in this paper, there exist many other matrices satisfying the assignment property. For example, one can take the \( n \times k \) matrix with all entries equal to 1. However, this matrix contains too many ones, so that the initial assignment table \( S \) becomes too large; we need to have \( \ell = k \). On the other hand, for our constructions above, \( \ell \) is approximately \( k/2 \). A natural question is whether one can find matrices \( M \) with even smaller \( \ell \). We will show that this is impossible and thus our suggestions are optimal (the most parsimonious) with respect to the criterion defined as the number of entries 1 in a matrix.

The following theorem gives a necessary condition for the assignment property.

**Theorem 4.** Let \( M \) be any binary \( n \times k \) matrix with \( n \geq k \), and let \( N \) denote the total number of entries 1 in \( M \). Then the condition

\[
N \geq k(n - k + 1)
\]

is necessary for the assignment property of \( M \).

**Proof.** Assume that \( M \) satisfies the assignment property. Then the number of entries 0 in each column of \( M \) is less than or equal to \( k - 1 \). Indeed, assume that there exists a column of \( M \) with \( k \)
or more entries 0. Compose a $k \times k$ matrix $K$ from the corresponding rows of $M$. Clearly, by permutation of rows of $K$ we cannot obtain a matrix with all diagonal entries equal to 1. Thus, the assignment property does not hold, and we come to a contradiction. As a consequence, the number of entries 1 in each column of $M$ is greater than or equal to $n - k + 1$, which implies the result of the theorem. △

As a consequence of (3), we get

$$n \ell_{\text{max}} \geq k(n - k + 1), \quad (4)$$

where $\ell_{\text{max}}$ is the maximal number of ones in a row of $M$.

A binary $n \times k$ matrix $M$ is said to be optimal if the lower bound (3) is achieved, that is, $N = k(n - k + 1)$. It is easy to see that the $\ell$-banded matrix $M$ is optimal in the sense of this definition. Indeed, for the $\ell$-banded matrix we have $n = 2k$ and $N = 2k\ell = k(\ell + 1)$. This shows that the lower bound (3) is tight for odd $k \geq 3$ and $n = 2k$. The question whether it is tight in general remains open. Note also that for the $\ell$-banded matrix the number of ones in all the rows is the same and equals $\ell_{\text{max}} = \ell$. Thus, in view of (4), the $\ell$-banded matrix has the minimal number $\ell$ of Walsh codes assigned to each user for odd $k \geq 3$ and $n = 2k$.

For the augmented $\ell$-banded matrix we have $n = 2(k - 1)$ and $N = 2(k - 1)\ell + (k - 1) = (k - 1)(k + 1)$, while the lower bound (3) is $k(k - 1)$. Thus, the lower bound is not exactly achieved, but the ratio of the upper and lower bound tends to 1 as $k$ becomes large.

6. CONCLUSION

In this paper we considered the problem of orthogonal variable spreading Walsh code assignments. The aim was to present assignments that can avoid complicated signaling from a BS to users or blind rate and code detection if there is a large number of possible codes out of which the BS has to choose a code for transmission. The assignments considered here use partitioning of all users into several pools. Each pool can use its own codes, which are different for different pools and are taken from the general set of available codes. Each user has only a few codes assigned to him.

We stated this as a combinatorial problem expressed in terms of a binary matrix $M$. A solution to the problem is given by a matrix $M$ having a specific property which we have named the assignment property. Two constructions of $M$ satisfying this property are presented under different conditions on the number $n$ of users and the number $k$ of Walsh codes in the pool. The first of the proposed matrices $M$ is optimal in the sense that it has the minimal number $\ell$ of Walsh codes assigned to each user for certain $n$ and $k$. The second matrix is near optimal in the same sense for large $k$. The optimality follows from a necessary condition of the existence of $M$ with the assignment property proved above.

Additionally, we proposed a simple algorithm of assignment for the first matrix. Its complexity is not high. The complexity is the number of operations needed to find a suitable code channel assignment for the $k$ chosen MSs. It was shown that, to determine the code channel assignment, the BS should shift some of the rows of a chosen $k \times k$ matrix $K$ up to the point when they form a matrix with all diagonal entries equal to 1; each row is shifted at most once. This means that the complexity of the algorithm is at most $k$.

Our constructions of matrices $M$ with the assignment property are not unique. For example, if $M$ is a matrix having the assignment property, then any matrix obtained by a row and/or column permutation of $M$ has the assignment property as well.
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