GOTTree Machine (GOTM): a web-based platform for interpreting sets of interesting genes using Gene Ontology hierarchies
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Abstract

**Background:** Microarray and other high-throughput technologies are producing large sets of interesting genes that are difficult to analyze directly. Bioinformatics tools are needed to interpret the functional information in the gene sets.

**Results:** We have created a web-based tool for data analysis and data visualization for sets of genes called GOTTree Machine (GOTM). This tool was originally intended to analyze sets of co-regulated genes identified from microarray analysis but is adaptable for use with other gene sets from other high-throughput analyses. GOTTree Machine generates a GOTree, a tree-like structure to navigate the Gene Ontology Directed Acyclic Graph for input gene sets. This system provides user friendly data navigation and visualization. Statistical analysis helps users to identify the most important Gene Ontology categories for the input gene sets and suggests biological areas that warrant further study. GOTTree Machine is available online at http://genereg.ornl.gov/gotm/.

**Conclusion:** GOTTree Machine has a broad application in functional genomic, proteomic and other high-throughput methods that generate large sets of interesting genes; its primary purpose is to help users sort for interesting patterns in gene sets.

**Background**

Microarray and proteome technologies are producing sets of genes and proteins that are differentially regulated under varying conditions. Other studies such as quantitative trait analysis, large-scale mutagenesis studies, and other large-scale genetic studies are also producing sets of interesting genes. The number of genes in the gene sets may be large. The functional data that can be associated with each gene is quite complex. However, the in-depth knowledge of gene function possessed by individual biologists is limited to relatively narrow research fields. Searching for patterns and evaluating the functional significance of those patterns from large groups of genes constitutes a big challenge for biologists. Most resources that are available for retrieving functional information are displayed in a one-gene-at-a-time format. Bioinformatics tools are needed for assisting the functional profiling of large sets of genes.

Gene nomenclature has been used frequently to describe gene products [1]. While the goal for gene nomenclature is to create a unique designation for gene names, gene name is often not unique even within a species. Trying to attach significant biological information to the name can be problematic. In fact, many revisions in nomenclature have occurred as the knowledge of the function of the
gene product has developed [2]. The information about gene function is primarily contained in the articles indexed in the Medline database. In this form, it is readable by scientists but not easily interpreted by computers on a large scale. Tools based on literature profiling have been developed by a few groups to assist biologists in the interpretation of sets of interesting genes [3-5]. However, these methods depend on the identification of gene-reference relationships and have problems such as ambiguous gene names and symbols, context of categories etc. [3].

The use of ontological methods to structure biological knowledge is an active area of research and development [2]. Ontologies provide a mechanism for capturing a community’s view of a domain in a shareable form. One of the most important ontologies in molecular biology is the Gene Ontology (GO) [2,6]. GO is beginning to produce a structured, precisely defined, common, controlled vocabulary for describing the roles of genes and gene products in different species. It comprises three major categories that describe the attributes of biological process, molecular function and cellular component for a gene product. As of August 2003, GO contains about 14000 phrases, representing categories of concepts held within a Directed Acyclic Graph (DAG). Categories can have multiple parents and multiple children along a branch. As they form a standard vocabulary across many biological resources, this shared understanding provides a valuable, computationally accessible form of the community’s knowledge about these attributes. Several programs have been developed for profiling gene expression based on GO, and demonstrated to be very useful in translating sets of differentially regulated genes into functional profiles [7-12]. GoMiner[10], MAPPFinder[11] and GoSurfer[12] are standalone software packages while FatiGO[7] and OntoExpress[8,9] are web-based software. Web-based service provides experimental biologists easy access to tools by avoiding problems in installing software locally. However, the two web-based software packages did not visualize the data with the GO hierarchical structure – the fundamental defining feature of GO. The current implementation (as of August, 2003) of FatiGO is restrictive in that the user must specify ahead of time one particular level of the GO hierarchy that is to be used for analysis of the data. Although Onto-Express allows multilevel analysis, it visualizes the classification in flat view tables and the significantly enriched GO categories are presented as bar charts [8,9].

As the GO categories are held within a DAG and have a natural hierarchical structure, we believe that the tree structure is more intuitive and representative. To create a web-based and tree-based data mining environment for gene sets, we have developed GOTree Machine (GOTM).

Implementation

**Schematic overview of GOTM**

GOTM is implemented in PHP. It is accessible through IE5.0 or higher and Netscape 7 or higher from multiple platforms. GOTM can be accessed from the website http://genereg.ornl.gov/gotm/. Figure 1 shows the schematic overview of GOTM. After reading the input parameters and data files from the user, GOTM interacts with the local database GeneKeyDB (S.K. et al., manuscript in preparation) to convert gene symbols, Affymetrix probe set IDs, Unigene IDs, Swiss-Prot IDs or Ensembl IDs to LocusIDs. The hierarchical GOTree structure is then generated using the PHP Layers Menu System [13] and sent back to the user. It is based on the GO annotation for LocusIDs as recorded in GeneKeyDB. The user can browse or query the GOTree for desired GO categories. The GOTree can be exported and stored locally in html format. Bar charts for GO categories at different annotation levels can be generated for publication. The bar chart is created using ChartDirector [14]. Statistical analysis compares the interesting gene set and the reference gene set and provides the user with GO categories with enriched gene numbers. The enriched GO categories are presented in flat view format, sub-tree view format and DAG view format. The DAG is created using Graphviz [15]. Subsets of genes in each GO category can be displayed and additional information for each gene can be further retrieved from GeneKeyDB.

![Schematic overview of the GOTM](http://genereg.ornl.gov/gotm/)

**Figure 1**

Schematic overview of the GOTM. GOTM is flexible in the input identifier (LocusID, gene symbol, Affymetrix Probe Set ID, Unigene ID, Swiss-Prot ID and Ensembl ID). GOTM produces different kinds of visualizations for different purposes, including 1) an expandable GOTree for online browsing 2) HTML output for an archivable record and 3) a bar chart for publication. Statistical analysis is used to compare gene sets. Sub-tree and DAG (Direct Acyclic Graph) can be generated for enriched GO categories.
**Database: GeneKeyDB**

The ORACLE relational database GeneKeyDB was initially built from the NCBI LocusLink database [16]. It has adopted a strong gene-centric viewpoint rather than a sequence entry-centric view. Gene information was further taken from Ensembl, Swiss-Prot, HomoloGene, Unigene, Gene Ontology Consortium and Affymetrix etc. and was integrated into GeneKeyDB. The GO annotation for genes is based on the LocusLink data. However, the GO annotation for genes in the LocusLink data only provides the most detailed information available. Genes are annotated to the most granular GO category(s) possible. For example, the GO biological process annotation for the mouse Birc4 (LocusID 11798) gene is “apoptosis”, so Birc4 is directly related to “apoptosis”. However, because of the hierarchical relationship between the parent and the child, “apoptosis” is a “programmed cell death”; “programmed cell death” is, in turn, a “cell death”, and so on. This continues until we reach the most general annotation “biological process”. Thus, Birc4 is also indirectly related to “programmed cell death”, “cell death” etc. If we are interested in all genes involved in “programmed cell death”, by using only the annotation provided by the LocusLink data, we will miss the Birc4 gene. Moreover, if we want to find GO categories with enriched gene numbers, failing to implement the parent-child relationship will miss known information. In order to map the granular annotations such as “apoptosis” to general categories like “cell death”, GO files for the 3 main categories were downloaded from the current ontologies section from the Gene Ontology consortium website [17] as flat text files and parsed by a Perl script. The relationships between genes and all their directly or indirectly related GO categories are created and stored in tables in GeneKeyDB.

GeneKeyDB is updated periodically. It comprises several independent sub-modules, such as LocusLink and GOTree Machine. Each of the modules is updated independently during the updating. The process is automated by pre-prepared scripts. More detailed information on GeneKeyDB will be presented in a separate paper (S.K. et al., manuscript in preparation).

**Statistical analysis**

Identifying GO categories with significantly enriched gene numbers in the interesting gene set compared to a reference gene set will allow the user to focus on biological areas that are most important for the interesting gene set. In order to identify GO categories with significantly enriched gene numbers, we need to compare the distribution of genes in the interesting gene set in each GO category to those in the reference gene set. A reference gene set could be all genes in a genome or another appropriate reference gene set (e.g. the list of genes on the array). We need to mention that an inappropriate reference gene set will lead to possibly false positives and negatives. Unless the user can find the right reference gene set from our stored data, uploading an appropriate reference gene set for the analysis is always suggested. Suppose n genes were identified as interesting genes based on a microarray experiment (such as responsive, up-regulated or down-regulated genes) using an array with N genes. For a given GO category X, a gene is either in the category or not in the category. Suppose further that K out of the N reference genes and k out of the n interesting genes are in category X. If the n interesting genes were effectively a random sample uniformly selected from the reference gene set, the expected value of k would be $E(k) = \frac{nN}{k}$. If, on the other hand, k exceeds the above expected value, category X is said to be enriched, with a ratio of enrichment (R) given by $R = \frac{k}{E(k)}$. Statistical tests that have been used for the assessment of enrichment by related published software include Fisher’s exact test, $\chi^2$ test, T test and binomial test [8-12]. As genes can be selected only once, this is sampling without replacement and can be appropriately modelled by the hypergeometric distribution [8]. GOTM reports only those enrichments that are statistically significant as determined by the hypergeometric test. The significance of enrichment ($P$) for a given category is determined by $P = \sum_{i=k}^{n} \frac{N-K}{N} \binom{N}{i} \binom{N-K}{n-i}$, GO is organized on the basis of the three relatively independent categories: biological process, molecular function and cellular component. The Ns used for each category: biological process, molecular function and cellular component, represent the number of genes having GO annotation in that category.

**Results and Discussion**

**Input**

Figure 2 shows the input user interface of GOTM. The input identifiers for GOTM can be LocusIDs, Gene Symbols, Affymetrix probe set IDs, Unigene IDs, Swiss-Prot IDs or Ensembl IDs. GOTM currently supports Gene Symbols from human, mouse, rat and fly, and Affymetrix probe set IDs from 8 human arrays and 6 mouse arrays. The user can choose either single gene set analysis or interesting gene set vs. reference gene set analysis. For single gene set analysis, only the file of the interesting gene set is needed, and the result will be a GOTree for the gene set. For interesting gene set vs. reference gene set analysis, the user needs to upload the file of the interesting gene set, and choose an existing reference gene set from our pre-stored gene sets, including all genes in the mouse genome, all genes in the human genome and gene sets from 14 Affymetrix arrays, or upload the file of the reference gene...
set. The result will be a GOTree for the interesting gene set, and identified GO categories with relatively enriched gene numbers in the interesting gene set compared to the reference gene set. The user can browse his local machine for the input files. The input file should be a plain text file, including the appropriate ID (required) and corresponding microarray ratio (optional), separated by tabs in the format of one ID per row. A unique analysis name is assigned and can be used to retrieve the results for a subsequent user session. Stored results can be accessed through the RETRIEVE TREE button and deleted through the DELETE TREE button at the top of input user interface. The results will be stored until the next periodical upgrading of GeneKeyDB. An email notice will be sent to the users after the updating.

Output

Figure 3 shows the output user interface of GOTM. The GOTree window displays the expandable tree structure of the GO categories. Each GO category is followed by three parameters: O (Observed gene number in the category), E (Expected gene number in the category) and R (Ratio of enrichment for the category). The fourth parameter P (p value calculated from the hypergeometric test) is given for the categories with R > 1 to indicate the significance of enrichment. Categories with P < 0.01 are colored red. The gene/category list window displays genes in selected GO categories ("eye morphogenesis" in this case) and the names of enriched GO categories followed by the parameters O, E, R and P. The genes are represented by LocusIDs followed by gene symbols and ratios in the microarray experiment. The gene information window displays the gene information record for the selected gene.
comprehensive classification of the genes in a hierarchical structure, however, due to the complex structure, it's not easily publishable. After browsing the GOTree, the user may pick appropriate annotation levels and get corresponding bar charts for publication using the Bar Chart button (for an example, see http://genereg.ornl.gov/gotm/paper/testis_bar.png). GOTree can also be exported and locally stored in html format using the Export GOTree button. Enriched GO categories are colored red, and genes in each category are also included in the exported GOTree (for an example, see http://genereg.ornl.gov/gotm/paper/testis_output.html).

The gene/category list window shows the genes in a selected GO category, and enriched GO categories in the three main GO categories, biological process, molecular function and cellular component respectively. Each gene is represented by a LocusID, followed by the input ID. In addition, the ratio in the microarray experiment is shown if that information was included in the input file. Up-regulated genes are colored red while down-regulated genes are colored green. A flat view of enriched GO categories doesn't reveal the relationship among the GO categories. When tens or hundreds of GO categories are identified as significantly enriched, it becomes difficult for users to interpret the results. In this case, the user can press the TREE VIEW button to get a sub-tree (Figure 4) or press the DAG VIEW button to get a DAG (Figure 5) for the enriched GO categories in a new window. The GO categories in red in the sub-tree or the DAG are the enriched GO categories while the black ones are their non-enriched parents. The sub-tree and the DAG assemble related enriched GO categories together indicating important biological areas that are worth further study. By clicking on individual LocusIDs in the gene/category list window, related information for the genes will be queried from GeneKeyDB and shown in the gene information window.

The gene information window shows the gene information record for the selected gene, which includes LocusLink ID, organism, gene symbol, gene name, map location, homolog, GO terms, function summary, GRIF (Gene Reference Into Function), phenotype, PubMed record, OMIM (Online Mendelian Inheritance in Man) record, KEGG (Kyoto Encyclopaedia of Genes and Genomes) Map etc. A link is given to external databases such as PubMed, OMIM, KEGG etc when available.

**Application**

High-throughput gene expression profiling has become an important tool for investigating transcriptional activity in a variety of biological samples. Data from the published, large-scale expression analysis of Su et al is used here to illustrate the use of this tool [18]. They profiled gene expression from 91 human and mouse samples...
across a diverse array of tissues, organs, and cell lines and showed a preliminary description of the normal mammalian transcriptome. 311 human and 155 mouse tissue-restricted genes with known function were identified by examining gene expression across a panel of tissues. These genes were hypothesized to perform specific cellular and physiological functions in each tissue. Among the 85 human genes restricted to the testis, the authors only mentioned three genes which were known to be involved in testis function (SOX5, TEKT2 and ZPB). It would be interesting to show the functional profiles and identify the important functional categories from the tissue restricted gene sets. To do this analysis, 85 human genes restricted to the testis and the 58 human genes restricted to the liver were downloaded from the supporting information on the PNAS web site for the paper. As the HG_U95A array was used for the experiment, all the genes on the HG_U95A array were used as the reference gene set. GOTM was used to identify GO categories with significantly enriched gene numbers ($P < 0.01$) in the testis gene set and the liver gene set. This analysis was carried out in August 2003 based on GeneKeyDB version GKDB200307.1. LocusLink data used for this version was downloaded on July 18, 2003 from NCBI. The versions for the GO files were 2.378, 2.747 and 2.857 for biological process, molecular function and cellular component respectively. GOTrees were generated for the two gene sets. GO annotation was found for 58, 53 and 50 genes respectively. GOTrees were generated for the two gene sets. GO.annotation was found for 58, 53 and 50 genes respectively in the biological process, molecular function and cellular component categories for the testis gene set (see text output at http://genereg.ornl.gov/gotm/paper/testis_output.html), while 47, 48 and 39 respectively for the liver gene set http://genereg.ornl.gov/gotm/paper/liver_output.html. 59 and 79 enriched GO categories were identified in the testis and the liver gene set respectively. Examples can be seen from http://genereg.ornl.gov/gotm/paper/. These examples include bar charts under biological process (at the 4th level from the root), sub-trees, and DAGs of the enriched GO categories.

For the testis gene set, the statistics suggested 36 enriched GO categories in the biological process part of GO. As shown in the DAG http://genereg.ornl.gov/gotm/paper/testis_dag.png and the sub-tree http://genereg.ornl.gov/gotm/paper/testis_subtree.html, these GO categories comprise mainly four groups. The largest group of enriched GO categories includes those related to cell proliferation, cell cycle, mitosis and meiosis. The gametogenic function of the testis is to produce the male gametes or spermatozoa. Formation of the male gamete occurs in sequential mitotic, meiotic and postmeiotic phases. As reviewed by Eddy et al, many germ cell-specific transcripts are produced during this process [19]. The second group contains GO categories that are related to testis specific development, such as sex differentiation and reproduction. The third group of GO categories are those related to protein phosphorylation. Spermatozoa undergo a series of changes before and during egg binding to acquire the ability to fuse with the oocyte. These priming events are regulated by the activation of compartmentalized intracellular signalling pathways, which control the phosphorylation status of sperm proteins. Increased protein tyrosine phosphorylation is associated with capacitation, hyperactivated motility, zona pellucida binding, acrosome reaction and sperm-oocyte binding and fusion [20]. The fourth group consists of GO categories related to glycerolipid metabolism. Some glycerolipids were reported to be responsible for the unique fusogenic potential of sperm plasma membrane domains [21,22].

For the liver gene set, there are 35 enriched GO categories in the biological process part of GO. As shown in the DAG http://genereg.ornl.gov/gotm/paper/liver_dag.png and the sub-tree http://genereg.ornl.gov/gotm/paper/liver_subtree.html, there are mainly two groups of enriched GO categories. One group includes those related to different kinds of metabolism, which is consistent with the key role of liver in the metabolism. The other group includes those related to response to external stimuli and stress, which may be consistent with the roles that liver cells play in response to a variety of physiological states (e.g. production of acute phase proteins [23]). The GO categories, homeostasis and blood coagulation, are also enriched, which may be consistent with the ability of liver to synthesize various protein molecules that are responsible for clotting of blood.

These two examples demonstrate that besides organizing interesting gene sets using GO hierarchies, based on statistical analysis, GOTM can help transfer these expression profiles into functional profiles. This transformation may be useful in helping biologists interpret high-throughput data. GOTM was applied to interpret tissue restricted gene sets identified by microarray experiment in this paper. In fact, it can be applied to any other interesting gene sets.

**Related software comparison**

Several GO based functional profiling software packages have been published recently. A complete list of GO Tools can be found at http://www.geneontology.org/GO_tools.html. Zeeberg et al did an extensive comparison of some of these software packages [10]. Table 1 compares GOTM to related software for the main features. It is based on the information available from individual websites as of August, 2003 when the comparison was done. GoMiner and GoSurfer are standalone software packages while Fatigo, Onto-Express and GOTM are web-based software. Different kinds of IDs have been used as input identifiers. The LocusLink Database is one of the most
comprehensive resources for gene related information. Using LocusID as the primary identifier enables GOTM to access the abundant gene information resources in LocusLink database. GOSurfer is the only one among the others that includes LocusID as an input identifier. Gene symbol, Unigene ID, Swiss-Prot ID, Ensembl ID, and Affymetrix probe set ID can also be used in GOTM owing to their broad adoption by end-users. FatiGO is also very flexible in the input identifiers. FatiGo, however, requires the user to specify ahead of time one particular level of the GO hierarchy that is to be used for analysis of the data. Although Onto-Express allows multilevel analysis, the classification information is presented in bar charts and flat view tables. Both of these web-based software packages do not, in our opinion, visualize well the fundamental hierarchical nature of GO. GO was originally organized in DAG, thus GoMiner’s use of a DAG as the visual output format seems appropriate; however, visualization becomes difficult when the gene set is significantly large. The same visualization problem exists for the fixed tree as used in GOSurfer. The expandable tree in GOTM and GOMiner is very similar to the widely used GO browser, AmiGO [24], and is suitable for the visualization of the GOTree structure. All of the software packages provide statistical analysis for identifying important GO categories. GOTM uses the hypergeometric test for assessing significance of enrichment. Since repeated tests are conducted to determine the significantly enriched GO categories, a correction for multiple tests is necessary. FatiGO and the commercial version of Onto-Express have implemented the correction. However, as stated on the webpage of FatiGO, the cost for the correction is the slow speed. This slowness is not desirable for a web based service. Correction for multiple tests is not implemented in GOTM. As a result, the P values can be considered as a relative measure for indicating possible statistical significance. It is not very difficult for an experienced biologist to identify truly interesting areas from the enriched GO categories given by GOTM. Moreover, in GOTM, the unique visualization of the enriched GO categories as sub-trees or DAGs (Figure 4, 5) brings functionally related GO categories together, which can guide users to find interesting biological areas. Although there are usually tens of enriched GO categories, the sub-tree or DAG of enriched GO categories actually focuses on several biological areas. In contrast, tables and bar charts of enriched GO categories in FatiGO and Onto-Express can’t reveal such information. GOSurfer and GoMiner highlight the enriched GO categories in the whole GOTree or DAG. Owing to the complex structure of the GO hierarchy, they may not be as intuitive as the visualization of sub-tree or DAG of enriched GO categories in GOTM.

Conclusions
As a web-based platform for interpreting sets of interesting genes using GO hierarchies, GOTM provides user friendly data visualization and statistical analysis for comparing gene sets. GOTM complements and extends the functionality of similar data mining tools. Statistical analysis helps users to identify the most important GO categories for the gene sets of interest and suggests biological areas that warrant further study. GOTM should have a

**Table 1: Comparison of GOTM with related software**

|                           | FatiGO | Onto-Express | GOSurfer | GoMiner | GOTM |
|---------------------------|--------|--------------|----------|---------|------|
| Interface/OS              | Web    | Web          | Windows  | Windows/Mac | Web  |
| Input Identifier          | Unigene ID, Gene symbol, Swiss-Prot ID, Ensembl ID, GenBank ID | GenBank ID, Affymetrix probe set ID, Unigene ID | Affymetrix probe set ID, LocusID, Unigene ID | HUGO gene names | LocusID, Gene symbol, Affymetrix probe set ID, UnigeneID, Swiss-Prot ID, Ensembl ID |
| Multi-level analysis      | No     | Yes          | Yes      | Yes     | Yes  |
| Visualization of          | Bar chart, Table, Fixed tree | Bar chart, Table | Fixed tree | Expandable tree, DAG | Expandable tree, Bar chart, Fixed tree |
| classification            | Fisher’s exact test | Binomial test, χ² test, Fisher’s exact test | χ² test | Fisher’s exact test | Hypergeometric test |
| Statistical Analysis      | Yes    | No           | Yes      | No      | No   |
| Correction for multiple   | Bar chart, Table | Bar chart, Table | Highlight in the full GOTree | Highlight in the full DAG | Sub-tree and DAG of enriched GO categories; Highlight in the full GOTree and bar chart |
| tests                     |        |              |          |        |      |
| Visualization of enriched |        |              |          |        |      |
| GO categories             |        |              |          |        |      |
| Availability              | Free   | Partially free | Free     | Free    | Free |

* The comparison was based on the information available from individual website as of August, 2003
broad application in functional genomic, proteomic and large scale genetic studies from which high-throughput data are continuously generated. The application of GOTM is limited by the number of genes that have GO annotation. However, with the bioinformatics effort in automatic prediction of protein functions based on literature, gene expression data and protein sequence information [25-29], rapid growth in GO is expected, and GOTM will become more useful with the improvement of GO.

Availability and requirements
Project Name: GOTM (GOTree Machine)

Project Homepage: http://genereg.ornl.gov/gotm/

Operating System: Platform independent

Programming Language: PHP

Other Requirements: IE5.0 or higher, or Netscape 7 or higher

License: GNU GPL

Any Restrictions to use by non-academics: License needed

List of abbreviations
GO, Gene Ontology; GOTM, GOTree Machine; DAG, Directed Acyclic Graph; GRIF, Gene Reference Into Function; OMIM, Online Mendelian Inheritance in Man; KEGG, Kyoto Encyclopaedia of Genes and Genomes

Authors’ contributions
BZ devised the algorithm, wrote program code, formed the website and drafted the manuscript. DS, SK and BZ developed the GeneKeyDB database. JS guided and coordinated execution of the project. All authors read and approved the final manuscript.

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