High-Resolution CT Imaging of Carotid Artery Atherosclerotic Plaques

BACKGROUND AND PURPOSE: Plaque morphologic features have been suggested as a complement to luminal narrowing measurements for assessing the risk of stroke associated with carotid atherosclerotic disease, giving rise to the concept of “vulnerable plaque.” The purpose of this study was to evaluate the ability of multidetector-row CT angiography (CTA) to assess the composition and characteristics of carotid artery atherosclerotic plaques with use of histologic examination as the gold standard.

MATERIALS AND METHODS: Eight patients with transient ischemic attacks who underwent carotid CTA and “en bloc” endarterectomy were enrolled in a prospective study. An ex vivo micro-CT study of each endarterectomy specimen was obtained, followed by histologic examination. A systematic comparison of CTA images with histologic sections and micro-CT images was performed to determine the CT attenuation associated with each component of the atherosclerotic plaques. A computer algorithm was subsequently developed that automatically identifies the components of the carotid atherosclerotic plaques, based on the density of each pixel. A neuroradiologist’s reading of this computer analysis was compared with the interpretation of the histologic slides by a pathologist with respect to the types and characteristics of the carotid plaques.

RESULTS: There was a 72.6% agreement between CTA and histologic examination in carotid plaque characterization. CTA showed perfect concordance for calcifications. A significant overlap between densities associated with lipid-rich necrotic core, connective tissue, and hemorrhage limited the reliability of individual pixel readings to identify these components. However, CTA showed good correlation with histologic examination for large lipid cores ($x = 0.796; P < .001$) and large hemorrhages ($x = 0.712; P = .102$). CTA performed well in detecting ulcerations ($x = 0.855$) and in measuring the fibrous cap thickness ($R^2 = 0.77; P < .001$).

CONCLUSION: The composition of carotid atherosclerotic plaques determined by CTA reflects plaque composition defined by histologic examination.
the histologic composition (including noncalcified components) and characteristics of carotid artery atherosclerotic plaques with use of histologic examination as the gold standard.

Methods

Study Design
Eight patients with transient ischemic attacks (TIA) underwent a CTA study, were found to have more than 50% carotid stenosis, and were scheduled for carotid endarterectomy as part of their standard of care. They were enrolled in a prospective study approved by our institutional review board. As part of the research protocol, patients were asked to provide permission for their preoperative CTA study and endarterectomy specimen to be used for research purposes. The endarterectomy specimens were excised en bloc according to a technique described previously in the literature. An ex vivo micro-CT study of each specimen was obtained, followed by ex vivo histologic examination. Two analyses, 1 quantitative and the other qualitative, were performed, comparing in vivo CTA to histologic examination, the gold standard for noncalcified carotid wall components, and to ex vivo micro-CT, the reference for carotid wall calcium (specimens were decalcified before histologic sectioning). Details of each analysis are described below and are derived from the methodology recommended by Lovett et al42 for comparing carotid plaque imaging to histologic features.

In Vivo CTA Imaging Protocol
The CTA studies were obtained on a 16-section CT scanner (GE Healthcare, Milwaukie, Wis). The image acquisition protocol was as follows: spiral mode, 0.6-second gantry rotation; collimation, 16 × 0.625 mm; pitch, 1.375:1; section thickness, 0.625 mm; reconstruction interval, 0.5 mm; and acquisition parameters: 120 kVp/240 mA. A caudocranial scanning direction was selected, covering from the midchest to the vertex. Iohexol (Omnipaque; Amersham Health, Princeton, NJ; 300 mg/mL of iodine) 70 mL was injected to an antecubital vein with a power injector at a rate of 4 mL/s. Optimal timing of the CTA acquisition was achieved with use of a test bolus technique.

Ex Vivo Micro-CT Imaging Protocol of the Carotid Endarterectomy Specimens
The carotid endarterectomy specimens were imaged with a VivaCT 40 micro-CT scanner (Scanco Medical, Southeastern, Pa) with the following parameters: 70 kVp, 160 μA, 30-μm section thickness, and 30-μm isotropic resolution.

Ex Vivo Histologic Processing of the Carotid Endarterectomy Specimens
After micro-CT imaging, plaques were decalcified and sectioned transverse to the lumen. Depending on the length of the specimen, histologic sections were performed at 4 to 9 locations, at the level of the bifurcation and every 3 mm both proximal and distal to the bifurcation. These locations were marked in the common carotid artery (CCA) at 0 mm, −3 mm, −6 mm, −9 mm, −12 mm, and −15 mm, and in the internal carotid artery (ICA) at 3 mm, 6 mm, 9 mm, 12 mm, and 15 mm. The resulting blocks were embedded in paraffin and sectioned in a microtome. Sections were stained with hematoxylin-eosin and Oil Red O to identify connective tissue, lipid-rich necrotic core, and blood products. Transparent stereologic grid cover slips (Belco Biotechnology, Vineland, NJ) were mounted onto the slides to allow the characterization of the carotid wall in distinct 2 × 2-mm squares. Digital images of the histologic preparations were acquired at a 25-μm in-plane resolution.

Postprocessing of In Vivo CTA Images and Ex Vivo Micro-CT Images
The in vivo CTA images and ex vivo micro-CT images were registered to the histologic slides by linear registration (scaling, translation, and rotation transformation only) with the ImageJ plug-in Align 3TP. The scaling factor was known from the relative size of the CTA and micro-CT pixels. Translation and rotation were used to obtain reformatted images matching the orientation of the corresponding histologic sections. CT sections were matched by observing the overall morphologic features of the plaque and incorporating the known location and distance of the section from the carotid bifurcation, according to a...
method described previously.\textsuperscript{25} Grids of $2 \times 2$-mm squares were electronically drawn on the reformatted images in the same orientation and location as on the corresponding histologic slides. To avoid any bias, the process of orienting and localizing CTA and micro-CT images with histologic examination was performed by a separate reviewer, before and independent of the qualitative and quantitative analyses described below.

**Quantitative Analysis**
A pathologist, who was blinded to the CTA images, independently reviewed the histologic slides in combination with the matching micro-CT images and evaluated the composition of the carotid walls in each $2 \times 2$-mm square, as delineated by the slide grids. The pathologist’s assessment was based on examination of the slides under a microscope. The histologic slides were used as the gold standard for identifying regions of connective tissue, lipid-rich necrotic core, and hemorrhage. Because specimens were decalcified during fixation before histologic sectioning, the micro-CT images served as the gold standard for identifying calcified regions. The pathologist outlined and labeled the regions corresponding to these 4 components (connective tissue, lipid-rich necrotic core, hemorrhage, and calcifications) located within the carotid wall. Each of the CTA reformatted images was analyzed by this algorithm, and a color overlay was created affording a visual display of the composition of the carotid wall for each CTA image (Figs 1–4).

**Qualitative Analysis**
We developed an automated classification computer algorithm that segments the inner (luminal) and outer contours of the carotid artery walls from the in vivo CTA datasets; then, with the Hounsfield attenuation thresholds calculated in the quantitative analysis as described above, the “type” of each image pixel (connective tissue, lipid-rich necrotic core, hemorrhage, and calcifications) located within the carotid wall is assigned. Each of the CTA reformatted images was analyzed by this algorithm, and a color overlay was created affording a visual display of the composition of the carotid wall for each CTA image (Figs 1–4).

A neuroradiologist reviewed these color overlays on the CTA reformatted images (as displayed in Figs 1–4) and characterized the type of atherosclerotic plaque and the stage of lesion development in each quadrant of the carotid wall (0–3 hours, 3–6 hours, 6–9 hours, and 9–12 hours) using a system derived from the American Heart Association (AHA) classification system and adapted for noninvasive image data, such as CT images (Table 1).\textsuperscript{5,43–44} This adaptation was accomplished by combining type I and II lesions, as well as type IV and Va lesions, as proposed previously.\textsuperscript{55–66} Ulceration was defined by the presence of large obvious excavation ($\geq 2$ mm in depth) on the surface of the plaque, with a well-defined back wall at its base. The maximal thickness of the plaque and the minimal thickness of the lipid-rich necrotic core, hemorrhage, and calcifications in each of the $2 \times 2$-mm squares were calculated.

The in vivo CTA reformatted images were reviewed independent of the histologic slides. The average CT Hounsfield attenuation was recorded in each of the $2 \times 2$-mm squares electronically drawn on the reformatted images in the same orientation and location as on the corresponding histologic slides. Using a linear mixed model of the average CT Hounsfield attenuation in each of the $2 \times 2$-mm squares (outcome) with respect to the percentages of connective tissue, lipid-rich necrotic core, hemorrhage, and calcifications in the corresponding histologic squares (predictors), with a random effect for patient, and assuming the errors were normal, we determined the mean Hounsfield attenuation for each histologic component (connective tissue, lipid-rich necrotic core, hemorrhage, and calcifications), as well as the 95% confidence intervals for these densities. This linear mixed model with a random effect for patient built interpatient correlation into the calculation of these densities and an assessment of their variability. Because the variances for the different components were similar, the optimal cutoff for differentiating between histologic components was determined to be the halfway Hounsfield attenuation between the mean densities for each of the components.
Our study population consisted of 8 patients (all men; mean age, 61 years; age range, 55–69 years) and 8 resulting endarterectomy specimens. All specimens had lipid and calcium cores on histologic examination in their plaques; a recent intraplaque hemorrhage was present in 1 patient.

There were 9 histologic sections obtained from each specimen, depending on the length of the specimen. Overall, a total of 53 histologic cross-sections were available for review, with 53 matching in vivo CTA and 53 ex vivo micro-CT reformatted images. A total of 733 squares (2 × 2 mm) and 212 quadrants were considered for quantitative and qualitative analyses.

In vivo CTA was obtained 1 to 5 days before the carotid endarterectomy (median, 1.5 days; interquartile range, 1–2.5 days). Time from endarterectomy to micro-CT and initial histologic preparation was kept to a minimum; typically, surgery was performed early in the morning, micro-CT obtained in the afternoon, and histologic fixation done at the end of the day of the surgery.

Quantitative Analysis
The mean CT Hounsfield attenuation was measured for each of the 2 × 2-mm squares that were electronically drawn on the CT reformatted images and considered in the linear regression model with respect to the percentages of connective tissue, lipid-rich necrotic core, hemorrhage, and calcifications in the corresponding histologic and micro-CT squares. The results of the linear mixed model (ie, mean Hounsfield attenuation for each histologic component and the 95% confidence intervals for these densities) are displayed in Table 2.

There was significant overlap in CT Hounsfield densities between lipid-rich necrotic core and connective tissue. There was also some overlap between connective tissue and hemorrhage. Cutoff densities between lipid-rich necrotic core and connective tissue, connective tissue and hemorrhage, and hemorrhage and calcifications were determined as the halfway Hounsfield attenuation between the average densities for each of the components: 39.5 Hounsfield units (HU) between lipid-rich necrotic core and connective tissue, 177.1 HU between connective tissue and hemorrhage, and 177.1 HU between hemorrhage and calcifications.

Qualitative Analysis
The comparison of CT classification and gold standard histologic classification of type of atherosclerotic plaque and stage of lesion development according to the system derived from the AHA classification system was performed early in the morning, micro-CT obtained in the afternoon, and histologic fixation done at the end of the day of the surgery.

Table 1: Original American Heart Association (AHA) classification for atherosclerotic plaques, and modified classification adapted for CT

| Type of Plaque | AHA Classification of Atherosclerotic Plaques | Classification of Atherosclerotic Plaques Adapted for CT |
|---------------|---------------------------------------------|--------------------------------------------------------|
| 0             | No intimal thickening                        | No intimal thickening                                   |
| 1             | Initial lesion, not grossly apparent plaque, foam cells | Thin plaque with no calcification                        |
| 2             | Fatty streak; lesion grossly apparent, foam cells and smooth muscle cells contain lipid droplets |                                                   |
| 3             | Preatheroma; foam cells contain lipid droplets and increased number of smooth muscle cells; raised fatty streak | Plaque with small lipid cores and no calcification      |
| 4             | Atheroma, massive extracellular lipid pool (lipid core); grossly visible; infiltrated with foam cells and smooth muscle cells | Plaque with a large lipid core, covered by a fibrous cap, possible small calcifications |
| 5a            | Fibroatheroma; type IV with a fibrous cap |                                                   |
| 5b            | Calcified plaque; lesion with a lipid core or fibrotic tissue, with large calcifications | Plaque with a lipid core or fibrotic tissue, with large calcifications |
| 5c            | Fibrotic plaque; fibrous connective tissue, no lipid core | Plaque with fibrous tissue, no lipid core, possible small calcifications |
| 6a            | Ulcerations | Ulcerations                                           |
| 6b            | Wide hemorrhage | Wide hemorrhage                                        |
| 6c            | Thrombosis | Thrombosis                                             |

Table 2: Mean in-vivo CT Hounsfield density, SD, and 95% confidence interval for each histologic component

| Histologic Component | Mean (HU) | SD (HU) | 95% Confidence Interval (HU) |
|----------------------|-----------|---------|-----------------------------|
| Lipid-rich necrotic core | 32.6 | 20.0 | -7.4 72.5 |
| Connective tissue | 46.4 | 19.9 | 6.6 86.2 |
| Hemorrhage | 97.5 | 22.0 | 52.5 141.6 |
| Calcifications | 258.7 | 30.2 | 216.3 297.1 |

Note:—HU indicates Hounsfield units.
* Determined by comparison of each 2 × 2-mm square electronically drawn on the in vivo CT reformatted images with corresponding histologic and micro-CT squares.

fibrous cap, as automatically calculated by the computer algorithm for each quadrant of each CTA image, were recorded for the CTA images that had a corresponding histologic section. Of note, the fibrous cap thickness measured by the software is the radial distance to the most superficial core of nonconnective tissue (lipid, blood, or calcium). If no lipid core, hemorrhage, or calcium is present in the carotid wall, then the software reports the fibrous cap thickness as being equal to the wall thickness.

Histologic sections were reviewed independently of the CTA reformatted images, according to a similar protocol. The type of atherosclerotic plaque according to the AHA classification system (Table 1),30,44 maximal thickness of the plaque, and thickness of the fibrous cap were also assessed and recorded.

Qualitative characterization of carotid plaques relying on CTA and histologic examination were compared with paired t tests for continuous variables and McNemar tests and unweighted k values for categoric variables.

Results

Patients and Specimens

Our study population consisted of 8 patients (all men; mean age, 61 years; age range, 55–69 years) and 8 resulting endarterectomy specimens. All specimens had lipid and calcium cores on histologic examination in their plaques; a recent intraplaque hemorrhage was present in 1 patient.

There were 9 histologic sections obtained from each specimen, depending on the length of the specimen. Overall, a total of 53 histologic cross-sections were available for review, with 53 matching in vivo CTA and 53 ex vivo micro-CT reformatted images. A total of 733 squares (2 × 2 mm) and 212 quadrants were considered for quantitative and qualitative analyses, respectively.

In vivo CTA was obtained 1 to 5 days before the carotid endarterectomy (median, 1.5 days; interquartile range, 1–2.5 days). Time from endarterectomy to micro-CT and initial histologic preparation was kept to a minimum; typically, surgery was performed early in the morning, micro-CT obtained in the afternoon, and histologic fixation done at the end of the day of the surgery.
CTA did not perform well in classifying all lipid cores (Table 5), likely because the overlap in Hounsfield densities for connective tissues and lipids makes it difficult to distinguish small lipid cores. However, when only large lipid cores (≥5 pixels, IV–Va plaques) are considered, CTA classified lesions in greater concordance compared with histologic examination (Table 6). The 5 pixels cutpoint was determined by a sensitivity analysis showing that, when 5 pixels or more fall into a class of HU, the specificity in characterizing correctly the corresponding histologic component was superior to 90%.

CTA had similar difficulty in classifying all hemorrhages but again improved when only large hemorrhages (≥5 pixels, VIb plaques) were considered (Table 7).

CTA also showed strong concordance with histologic features when classifying ulcerations (VIa plaques), which resulted in a κ of 0.855 (Table 8).

Patients with occluded vessels do not typically proceed to endarterectomy, and there was no thrombosed plaque (Vic) among the 8 subjects in our study group.

The mean of the minimal fibrous cap thickness was 0.9 ± 1.1 mm (range, 0.0–5.6 mm) on histologic examination, and 1.1 ± 1.0 mm (range, 0.0–6.6 mm) on CTA. Linear regression between CTA and histologic examination in fibrous cap thickness was excellent (P < .001), with a slope of 0.86 (95% confidence interval, 0.77–0.96), an intercept of 0.3 mm (95% confidence interval, 0.2–0.5) and a coefficient of correlation $R^2 = 0.77$.

The mean of the maximal carotid wall thickness was 3.4 ± 2.4 mm (range, 0.1–10.5 mm) on histologic examination, and 5.1 ± 2.8 mm (range, 0.4–11.6 mm) on CTA. Linear regression between CTA and histologic examination in carotid wall thickness was significant (P < .001), with a slope of 0.98 (95% confidence interval, 0.86–1.11), an intercept of 1.8 mm (95% confidence interval, 1.3–2.3) and a coefficient of correlation $R^2 = 0.72$.

Overestimation of the carotid wall thickness by CTA compared with histologic examination likely resulted from the carotid endarterectomy specimen including only the intima and part of the media, whereas CTA imaging considered the entire carotid wall. The same reason may explain why some plaques that were classified as type 0 or 1 to 2 on histologic examination were classified as 1 to 2 or 3 by CTA. A similar issue was previously reported for MR imaging of the carotid plaques.29

### Table 3: Comparison of CTA classification and gold standard histologic classification of type of atherosclerotic plaque and stage of lesion development according to the system derived from the American Heart Association (AHA) classification system

| Histologic Classification | Type of Plaque | 0 | I–II | III | IV–Va | Vb | Vc | VIa | Vlb | Vlc | Total |
|---------------------------|----------------|----|------|-----|-------|----|----|-----|-----|-----|-------|
| CTA Classification        |                |    |      |     |       |    |    |     |     |     |       |
|                           | 0              | 12 | 2    |     |       |    |    |     |     |     | 14    |
|                           | I–II           | 4  | 16   | 8   |       |    |    |     |     |     | 28    |
|                           | III            | 8  | 35   |     |       |    |    |     |     |     | 59    |
|                           | IV–Va          |    | 9    | 6   | 1     |    |    |     |     |     | 29    |
|                           | Vb             |    | 26   |     |       |    |    |     |     |     | 26    |
|                           | Vc             |    | 9    | 2   | 15    | 1  | 5  |     |     |     | 32    |
|                           | VIa            |    | 1    | 1   | 13    |    |    |     |     |     | 15    |
|                           | VIb            |    | 1    |     | 8     |    |    |     |     |     | 9     |
|                           | VIc            |    |      |     |       |    |    |     |     |     | 0     |
| Total                     |                | 16 | 26   | 52  | 41    | 26 | 23 | 15  | 13  | 0   | 212   |

### Table 4: Comparison of CTA and gold standard histologic examination for large calcifications (Vb plaques)

| Large Calcifications (Vb Lesions) | Histologic Examination | No | Yes |
|----------------------------------|------------------------|----|-----|
| CTA                              |                        | 186| 0   |
|                                  |                        | 0  | 26  |

### Table 5: Comparison of CTA and gold standard histologic examination for small and large lipid cores (III, IV–Va, Vb plaques)

| Small and Large Lipid Cores (III, IV–Va, Vb Lesions) | Histologic Examination | No | Yes |
|-----------------------------------------------------|------------------------|----|-----|
| CTA                                                 |                        | 69 | 29  |
|                                                      |                        | 24 | 90  |

### Table 6: Comparison of CTA and gold standard histologic examination for large lipid cores only (IV–Va plaques)

| Large Lipid Cores (IV–Va Lesions) | Histologic Examination | No | Yes |
|-----------------------------------|------------------------|----|-----|
| CTA                               |                        | 171| 12  |
|                                   |                        | 0  | 29  |

### Table 7: Comparison of CTA and gold standard histologic examination for wide hemorrhages (VIb plaques)

| Wide Hemorrhages (VIb Plaques) | Histologic Examination | No | Yes |
|---------------------------------|------------------------|----|-----|
| CTA                             |                        | 186| 5   |
|                                 |                        | 1  | 8   |

### Table 8: Comparison of CTA and gold standard histologic examination for ulcerations (VIa plaques)

| Ulcerations (VIa Plaques) | Histologic Examination | No | Yes |
|---------------------------|------------------------|----|-----|
| CTA                       |                        | 171| 2   |
|                           |                        | 2  | 13  |
An alternative explanation would be some degree of shrinkage during the histologic preparation.

**Discussion**

This study provides proof of principle that the composition of atherosclerotic plaques determined by CTA accurately reflects composition of the lesion as defined by histologic examination. To the best of our knowledge, this is the first report on the use of a CTA-derived, automated classification computer algorithm to distinguish the “type” of each image pixel (connective tissue, lipid-rich necrotic core, hemorrhage, and calcifications) located within the carotid wall. We found that the CTA-derived algorithm was excellent in classifying calcifications. CTA classification worked less well for classifying lipid-rich necrotic cores and hemorrhage, likely because the range of densities associated with these components overlaps with the densities associated with connective tissue. This overlap severely limited the reliability of individual pixel Hounsfield readings to indicate fibrous tissue, hemorrhage, or lipid-rich necrotic cores; it posed less of a limitation when larger areas of lesion were considered. Indeed, CTA classification showed good correlation with histologic examination when only large lipid cores and large hemorrhages were considered. CTA classification also performed well in detecting ulcerations and in measuring the fibrous cap thickness.

The results of our study are in agreement with previous studies that used CT to characterize atherosclerotic plaque in carotid,11,32,35–37,40 popliteal,39 or coronary38,39,41 arteries. This was most notable with regard to identifying calcifications,36–39 and concerning identifying attenuation ranges for the different plaque components.31,32,40 Our study also supports previous findings that CT can play a role in the identification of carotid plaque ulcerations.30 In contrast to our study, previous studies did not use a systematic approach to identify all components of carotid atherosclerotic plaque but either adopted a qualitative approach consisting of drawing regions of interest around supposed components,31,39,40 or simply averaged the attenuation for the entire arterial wall seen on a section, without stereologic differentiation.35,37 Previous studies typically focused on only 1 specific plaque component such as calcium, differentiating between calcified and noncalcified plaques, without going further in the characterization of the components.32,36,38,39,41

Our study is unique in several ways. We used modern multidetector-row CT scanners with rapid acquisition, no motion artifact, and better contrast profiles and enhancement, whereas previous studies have reported on older generations of CT scanners (some single-section)31,32,35–40 with relatively thick (3 mm) sections.35,37 In our study patients, we optimized the intraluminal enhancement using a bolus timing strategy to time our CTA acquisition to the early arterial phase. The high intraluminal enhancement that was obtained with this approach increased the accuracy of the segmentation of the inner contour of the carotid artery wall by the software, by reinforcing the contrast between the lumen and the wall. We used an automated, computed analysis rather than an observer interpretation as in previous studies.29 Automated classification algorithms have previously been proposed with MR imag-
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