The Impact of the UV Upturn on the Optical Color Evolution of E Galaxies

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Abstract.

The UV upturn in giant elliptical galaxies (gEs) implies significant corrections to the model-predicted optical colors of distant galaxies. However, since the origin of the UV upturn is still not clear, observers often use models that may not be acceptable. We show that “the HB hypothesis” of Yi et al. (1997) that explains most of the empirical constrains predicts a significantly different optical color evolution from some popular models. We can test this model against others using modern data. Meanwhile, it seems dangerous to draw serious conclusions on cosmology when the analysis is based on oversimplified models, such as \( k \)-correction-only models or \( k+e \) correction models that do not explain the UV upturn.

INTRODUCTION

Colors provide information on the age and metallicity of a stellar population, while the age and metallicity of galaxies as functions of redshift constrain cosmology. As modern data on gEs reach farther in space and time, one can, in principle, put stronger constraints on cosmological theories. However, one now has to deal with the rest-frame UV spectrum whose evolutionary behavior is still poorly understood. The UV upturn phenomenon \([2,5]\) in gEs has been shown to cause significant corrections to models of optical (in observer’s frame) color evolution of galaxies \([4,9]\). However, the cause of the UV upturn is still being debated, and different hypotheses that explain the UV upturn predict significantly different UV spectral evolution of galaxies. For example, some models suggest that a UV upturn is most likely caused by hot horizontal-branch (HB) stars (“the HB hypothesis”) and thus is sign of an old population \([7,13,15]\). Other models, with young main-sequence (MS) stars or post-asymptotic giant branch (PAGB) stars as the primary UV source \([1,10]\), do not predict any strong age-dependence for the UV upturn. As a result, many observers match their data with model colors that have been built without considering the possible UV spectral evolution for gEs, e.g., correcting only for redshift. Using Yi’s recent models which are based on the HB hypothesis and that reasonably match empirical data \([15,16]\), we present new optical color evolu-
tion models and compare them to the models based on conventional assumptions. Fitting modern observational data with such models will not only select the most plausible hypothesis as the cause of the UV upturn but also allow us to construct more accurate color evolution models that are crucial to understanding cosmology.

**IMPACT OF THE UV UPTURN**

The significance of the UV upturn to the (observer’s frame) optical color evolution is obvious. The question is whether the UV upturn as seen in nearby gEs is always present or whether it is a sign of evolution. Four models are presented in Figures 1 & 2. They are all based on the same population model. In each case, we assume an instantaneous initial star burst, an infall-based metallicity distribution [13], a Salpeter initial mass function, $\Delta Y/\Delta Z = 2$, a mass loss efficiency parameter in Reimers’ formula $\eta \approx 0.7$, and a Gaussian-dispersion parameter on the estimated mass loss $\sigma = 0.06 M_\odot$. The choice of parameters is discussed in Yi et al.’s papers [15,16]. In case of $k+e$, $k$, fixed-UV models listed below, models at $z = 0$ fit the overall (far-UV to IR) spectrum of NGC 4552 (one of the UV-strong gEs), assuming an age of $\approx 16$ Gyr. The flat – UV model is identical to the $k+e$ model except that it does not fit the UV spectrum of NGC 4552 because it does not show a UV upturn. We assume $(H_0, q_0, \Lambda) = (50, 0.05, 0)$.

$k+e$: This model is both redshift and evolution-corrected. Note a sharp rise in the UV brightness (Figure 1) as redshift decreases when redshift is small. This happens because a strong UV upturn develops only when a galaxy is old enough to contain a substantial number of hot HB stars. The rise begins where the dominant UV source changes from PAGB stars to HB stars with an increasing age [16]. After the rise, the UV brightness increases as we look at a younger and brighter (in bolometric luminosity) galaxy. Such young galaxies have a strong UV flux that mostly comes from PAGB stars, but, the relative strength of the UV flux to the optical flux is supposed to be much smaller than the present gEs with a UV upturn [15,16]. In this model, a UV upturn is never visible in the (observer’s frame) optical band; the UV upturn in low-$z$ gEs appears still in the observer’s UV while high-$z$ gEs do not have any UV upturn. (Note: The rate and the initial-point of the UV brightness rise is sensitive to input parameters, thus one should not take the particular slope shown in Figure 1 too seriously [16].)

$k$: This model is only redshift-corrected. The UV brightness does not decrease with an increasing redshift much because a strong UV upturn is assumed to exist always (Figure 1). This model deviates from the $k+e$ model significantly at $z \gtrsim 0.7 – 1$ in $UBV$. However, we are not much interested in these non-evolving models because stars certainly evolve.

fixed-UV: A UV upturn is assumed to exist always in this model. Its strength, relative to $f(\lambda = 2500\text{Å})$, is assumed to remain constant. The rest of the spectrum is allowed to evolve normally. This model resembles models in which either young MS stars or PAGB models are the dominant UV source in the sense that the UV
FIGURE 1. The luminosity evolution of gEs as a function of redshift. The UV magnitudes are defined as $M(\lambda) = -2.5 \log < f(\lambda) >$, where $< f(1100) >$, $< f(1500) >$, and $< f(2500) >$ are the mean flux within the ranges 1050 – 1200 Å, 1250 – 1850 Å, and 2200 – 2800 Å. The $k$ and $e$ stand for $k$- and $e$-corrected. The fixed-UV and the flat-UV models are both $k+e$ corrected, but the former assumes that a UV upturn always exists with the same relative strength to $f(\lambda = 2500)$ as seen in NGC 4552 while the latter assumes that a UV flux is always flat (i.e., $f(\lambda < 2500) = f(\lambda = 2500)$). The Y-axis scale is arbitrary.

upturn phenomenon is not related to age. It deviates from the $k+e$ model at $z \approx 0.5$ in $U$, at $z \approx 0.9$ in $B$, and at $z \approx 1.2$ in $V$ (Figure 1). Like $k$ models and flat-UV models, fixed-UV model colors become markedly different from the $k+e$ models based on the HB hypothesis at about $z \approx 1$ (Figure 2).

flat-UV: $f(\lambda < 2500\text{Å}) = f(\lambda = 2500\text{Å})$, i.e. no UV upturn. This model represents an extreme case where a UV spectrum is flat. Although not quite the same, UV-weak gEs, e.g., NGC 3379, show a much flatter slope in the UV spectrum than NGC 4552. On a magnitude scale, it is not much different from the fixed-UV model.
Most of the recent evolutionary population synthesis models have suggested that low-mass HB stars are the dominant UV source in gEs: “the HB hypothesis”. In such models, a UV upturn is a sign of an old age. According to such models, the UV upturn phenomenon would never be visible in the optical band because, at high-z, galaxies are too young to develop a UV upturn. Optical color evolution models based on the HB hypothesis are significantly different from simple models, such as k-correction-only models or fixed-UV models, at a moderate redshift ($z \approx 0.5 – 1$). We should be able to test the models with modern data.

It is definitely time to consider an appropriate UV evolution scenario for galaxies before a reasonable model for the entire universe is discussed. Although several important input parameters are still to be determined, a simple study clearly demonstrates that neither simple $k$-correction models nor $k+e$ models with oversimplified UV treatments work when faced with real data. Some studies already seem to support the idea that high-z ($z = 0 – 1$) gEs do not show a UV flux that is nearly as strong as that seen in nearby gEs [12], which is consistent with the HB hypothesis.
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