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Nursing Skill Mix, Nurse Staffing Level, and Physical Restraint Use in US Hospitals: a Longitudinal Study

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BACKGROUND: Although it is plausible that nurse staffing is associated with use of physical restraints in hospitals, this has not been well established. This may be due to limitations in previous cross-sectional analyses lacking adequate control for unmeasured differences in patient-level variables among nursing units.

OBJECTIVE: To conduct a longitudinal study, with units serving as their own control, examining whether nurse staffing relative to a unit’s long-term average is associated with restraint use.

DESIGN: We analyzed 17 quarters of longitudinal data using mixed logistic regression, modeling quarterly odds of unit restraint use as a function of quarterly staffing relative to the unit’s average staffing across study quarters.

SUBJECTS: 3101 medical, surgical, and medical-surgical units in US hospitals participating in the National Database of Nursing Quality Indicators during 2006-2010. Units had to report at least one quarter with restraint use and one quarter without.

MAIN MEASURES: We studied two nurse staffing variables: staffing level (total nursing hours per patient day) and nursing skill mix (proportion of nursing hours provided by RNs). Outcomes were any use of restraint, regardless of reason, and use of restraint for fall prevention.

KEY RESULTS: Nursing skill mix was inversely correlated with restraint use for fall prevention and for any reason. Compared to average quarters, odds of fall prevention restraint and of any restraint were respectively 16% (95% CI: 3-29%) and 18% (95% CI: 8-29%) higher for quarters with very low skill mix.

CONCLUSIONS: In this longitudinal study there was a strong negative correlation between nursing skill mix and physical restraint use. Ensuring that skill mix is consistently adequate should reduce use of restraint.

KEY WORDS: physical restraint; nurse staffing; patient safety; falls; quality of care.

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INTRODUCTION

Physical restraint is a common, undesirable occurrence in health care.1-4 Defined by the Centers for Medicare and Medicaid Services (CMS) as “any manual method, physical or mechanical device, material, or equipment attached to or adjacent to the resident’s body that the individual cannot remove easily which restricts freedom of movement or normal access to one’s body,”5 physical restraints can include belts, mittens, vests, bedrails, geriatric chairs, and other devices. Use of such devices has come under intense scrutiny, as physical restraint can result in agitation, confusion, deconditioning, pressure ulcers, strangulation, death, and adverse psychological effects.6 Due to these serious consequences, physical restraint use is part of public reporting for nursing homes through the CMS Nursing Home Compare website,6 and researchers have begun to examine correlates of restraint use in various settings.1,2,7

Nurse staffing is a focus of patient outcomes research because of nurses’ role in providing direct care to patients. Nurse staffing variables have been linked to a number of patient outcomes and to patient satisfaction.8-17 Researchers have studied measures of both quantity and quality of staffing, including nurse-to-patient ratios, nursing hours per patient day, and nursing skill mix, typically defined as the proportion of total nursing hours worked by RNs.18-20

Most studies examining nurse staffing as a correlate of restraint use have focused on nursing homes.21-27 The relation between staffing and restraint use in hospitals is not well studied, and results of research to date are inconclusive, perhaps because of inadequate control for unobserved differences among units (e.g., patient mix).28-30 Our aim was to examine associations of nurse staffing level and skill mix with use of restraints.

METHODS

Sample and Data

The National Database of Nursing Quality Indicators (NDNQI) provided quarterly data on restraints and monthly data on nurse staffing from medical, surgical, and medical-surgical units in US acute care hospitals for the 17-quarter
study period October 2006–December 2010. NDNQI participa-
tion is voluntary. Data were collected with oversight from
the Human Subjects Committee at the University of Kansas
Medical Center.

NDNQI hospitals report nurse staffing data monthly for
participating units. These data include the monthly numbers
of nursing care hours worked (not scheduled) by RNs, li-
censed practical/vocational nurses, and assistive personnel
assigned to the unit. Hours worked by students and by nursing
personnel who do not spend >50 % of their time in direct care
are excluded. Hospitals also report the monthly patient census
for each unit.

NDNQI hospitals collect data on physical restraints during a
survey carried out quarterly on each participating unit. On the
designated survey day, unit personnel record the total number
of patients surveyed, the age and sex of each patient surveyed,
and the count of patients restrained. For each restrained pa-
tient, unit personnel indicate the clinical justification for re-
straint by choosing one or more of the following: prevent from
falling by getting out of bed without assistance, prevent from
removing medical equipment or therapeutic modalities, reduce
potential for inflicting harm to others, reduce potential for
inflicting harm to self, other, and unknown. These data, along
with the unit census on the day of the survey, are reported to
the NDNQI.

Of 6279 units in the original data set reporting restraint data
during the study period, 5503 reported both restraint and
staffing data for at least two quarters. To allow us to explore
within-unit differences in staffing between quarters with and
without reported use of restraints with each unit serving as its
own control, the sample was further limited to units with at
least one quarter in which restraint use was reported for one or
more patients and at least one quarter in which restraint use
was not reported for any patient. Data from units excluded for
reporting no restraint use in any quarter or for using restraint in
every quarter were retained for comparison to the study sam-
ple, which comprised 3101 units from 869 hospitals.

Variables

Outcomes. We examined two dichotomous outcomes in the
study: one indicator of whether restraint use for any purpose
was reported for at least one patient on the unit in the quarterly
survey, and one indicator of whether restraint use for the
purpose of fall prevention was reported. For descriptive
purposes we also computed each unit’s overall restraint
prevalence (proportion of total patients assessed during the
study who were restrained) and fall prevention restraint
prevalence (proportion of patients assessed who were
restrained for fall prevention).

Nurse Staffing Variables. As a measure of staffing level we
computed quarterly total nursing hours per patient day
(TNHPPD) for each unit as the sum of total nursing care hours
for the quarter divided by total inpatient days for the quarter. In
addition to computing each unit’s mean staffing level across
quarters, we categorized each unit’s quarterly staffing level
based on its distance in standard deviations (SDs) above or
below this mean. Quarters were then classified as “average” for
levels within 0.5 SDs of the mean, “low” (“high”) for levels
0.5–1.25 SDs below (above) the mean, and “very low” (“very
high”) for levels >1.25 SDs below (above) the mean.

We computed each unit’s quarterly skill mix as the propor-
tion of quarterly total nursing care hours provided by RNs. As
with TNHPPD, these values were standardized for each unit to
reflect the difference in SDs between the quarterly skill mix
and the unit’s mean skill mix across study quarters and used to
classify each quarter as “very low,” “low,” etc.

Covariates. Hospital demographic variables included US
census region, bed size (<300 or ≥300 beds), teaching status,
and location (metropolitan or non-metropolitan). Two indicator
variables for unit type were used to compare odds of
restraint for medical, surgical, and medical-surgical units. To
provide some control for within-unit differences in patient
mix, we computed the mean age of patients assessed and the
proportion of patients assessed who were male from the quar-
terly survey for each unit quarter. Mean age and proportion
male were coded as missing for quarters in which the relevant
information was available for fewer than 25 % of patients
assessed. Patient volume was measured as quarterly patient
days.

Analyses

Restraint for Any Reason. In the first analysis we analyzed
data for the full study sample (units having at least one quarter
with and one quarter without restraint use). Using mixed
logistic regression models fit with the GLIMMIX Procedure
in SAS 9.4, we estimated the odds of a unit reporting restraint
use in a quarter as a function of staffing level and skill mix.
Random unit and hospital intercepts were included to account
for correlation due to clustering. Explanatory variables
included hospital characteristics (census region, bed size,
teaching status, and location), patient volume, mean staffing
level (TNHPPD), staffing level category (very low, low, etc.);
mean skill mix, skill mix category, unit type, average age, and
proportion male. Year and quarter were included as classification
variables to control for time trend and seasonality.

Staffing level category and skill mix category were treated
as classification variables to allow for easily interpretable non-
monotonic associations between staffing and odds of restraint.
For both variables we set “average” as the referent category
and estimated odds ratios for the other four categories. Be-
cause these classification variables were based on standardized
values of TNHPPD and skill mix, they reflected only within-
(not between-) unit differences among study quarters.
**Restraint for Fall Prevention.** In the second analysis we modeled the odds of a unit reporting restraint use for fall prevention in a quarter. To allow each unit to serve as its own control, this analysis was limited to units having at least one quarter in which restraint use for fall prevention was reported and one quarter in which it was not. This analysis was identical to the first except for the outcome variable and sample.

**RESULTS**

Of 39,322 total quarters in the sample data set, 891 (2.3 %) were missing staffing data and 1598 (4.1 %) were missing restraint data. Hospitals enter and leave the NDNQI over time, and units start and may stop reporting restraint data at different times; thus, only quarters with missing restraint data between a unit’s first quarter and last quarter of restraint data reporting during the study period were counted as missing restraint data. Mean age and proportion male were missing for 624 (1.7 %) and 583 (1.5 %) of the 37,724 quarters in which restraint data were reported. Excluding quarters with missing restraint, staffing, mean age, or proportion male data left 36,202 (92.1 %) quarters available for statistical modeling.

There were 3101 units from 869 hospitals in the study sample. Among the 853 hospitals for which demographic data were available, 790 (93 %) were located in metropolitan areas, 411 (48 %) were teaching facilities, and 523 (61 %) had fewer than 300 beds. The Northeast, Midwest, South, and West census regions accounted for 212 (25 %), 99 (12 %), 415 (49 %), and 127 (14 %) hospitals, respectively.

Descriptive statistics for the study sample, the set of units reporting zero restraint use, and the set of units reporting restraint use in every quarter are provided in Table 1. Compared to units in the study sample, units never reporting restraint use tended to be smaller, a larger percentage were surgical units, and a smaller percentage were medical units. Units in the study sample reported 15,014 patients restrained (1.6 %) out of 923,556 patients assessed. Fall prevention was reported as a justification for 7604 (51 %) of these restraints. The average number of patients assessed for restraint per quarterly unit survey was 24.5.

Descriptive statistics by staffing category for unit quarters in the two analysis samples are provided in Table 2. There were 1120 (816) medical units, 620 (409) surgical, and 1338 (941) medical-surgical units represented in the first (second) analysis. Restraint rates were highest in quarters for which staffing level or skill mix was below unit average. There was little evidence of association between staffing level and skill mix; correlations between quarterly TNHPPD and skill mix were negligible (<0.04 in both samples).

We found statistically significant effects of skill mix category on odds of any restraint (p < 0.001) and odds of fall prevention restraint (p = 0.035). Compared to quarters with average skill mix, adjusted odds of any restraint use were 11 % and 18 % higher, respectively, for quarters with low and very low skill mix (see Table 3). Odds of fall prevention restraint were 9 % and 16 % higher, respectively, for quarters with low and very low skill mix. Mean skill mix was also a significant predictor of both types of restraint; a unit with 10 percentage points higher skill mix than an otherwise equivalent unit was estimated to have 13 % lower odds of any restraint and 7 % lower odds of fall prevention restraint.

To examine how the effects of skill mix category were influenced by the presence of various explanatory variables, we fit the following models for comparison: a base model (including year, quarter, patient volume, mean skill mix, and skill mix category as explanatory variables), base model plus hospital variables (census region, bed size, location, teaching status), base model plus unit variables (unit type, mean TNHPPD, TNHPPD category), base model plus patient mix variables (mean age, proportion male), and a full model including all explanatory variables. Odds ratios for skill mix category changed very little across models (see Table 4).

Although adjusted odds of fall prevention restraint were 9–12 % higher in quarters with below-average staffing levels, staffing level category was not a statistically significant predictor of fall prevention restraint (p = 0.160) or of any restraint (p = 0.250). Associations between mean TNHPPD and odds of restraint use were also non-significant.

Study year was a statistically significant predictor of fall prevention restraint and any restraint (p < 0.001). As shown in Table 3, odds of both types of restraint decreased each year, as did restraint prevalence rates, which fell by about 50 % during the study period (see Table 2). Quarter also predicted use of fall prevention (p = 0.004) and any restraint (p < 0.001). This effect was driven by higher odds of restraint in the first quarter (see Table 3).

**DISCUSSION**

Using longitudinal data from over 3000 units, we found that odds of physical restraint use were lower for units with higher
mean skill mix. Further, restraint odds were higher when a unit’s quarterly skill mix was below its average level across study quarters, but not significantly lower when a unit’s skill mix was high or very high relative to average. These findings held for both fall prevention restraints and restraints in general. 

Consistent with one previous study,28 our findings suggest that skill mix, not total staffing level, is the more important predictor of restraint use and that patient care quality may suffer when unit staffing models cannot respond to changes in patient volume or RN availability except by increasing non-RN hours. This is further evidence that the type of nursing staff, not just the number of staff per patient, can be important for patient outcomes.21,22,31

One possible explanation for the observed association between staffing level and restraint use is lack of control for unmeasured differences among units. Perhaps some units have both higher staffing levels and higher restraint rates simply because they have sicker patients, resulting in a spurious, positive correlation between staffing and restraints. Whatever the explanation, the difficulty of establishing an adequate risk adjustment model for a complex phenomenon such as restraint use highlights the advantage of our approach, in which units serve as their own control.

Restraint prevalence and odds of restraint decreased substantially during the study period, suggesting that efforts to reduce restraint use have met with some success. Whether this encouraging trend has continued since 2010 is a question for further study. We also observed a seasonal trend, with elevated prevalence and odds of restraint in the first quarter. Rates of hospital-acquired pressure ulcers are also highest in the first quarter,26 suggesting patients tend to be sicker during these winter months.

Mean ± SD computed across unit-quarters

*TNHPPD = total nursing hours per patient day

aSkill mix = % of nursing care hours provided by registered nurses

bRate = % of patients restrained, any justification
cRate = % of patients restrained for fall prevention
dRate = % of patients restrained for fall prevention

Category defined by standardized distance from unit mean: very low (>1.5 SDs below), low (0.5–1.25 SDs below), average (within 0.5 SDs), high (0.5–1.25 SDs above), and very high (>1.5 SDs above)
that staffing-restraint associations differ enough across hospital sizes and types for the non-random nature of our sample to undermine the generalizability of our basic findings.

Our quarterly data had limited granularity. The size of the effects we observed despite this limitation suggests a robust association between skill mix and restraint use. We would expect analyses of week- or shift-level data to yield similar results, but the NDNQI does not collect data at this level, so this is a question for further research.

Another study limitation was the lack of data on potentially important covariates, including patient acuity and fall risk, units’ use of non-nursing staff such as sitters to prevent falls, and the presence of family in the patient’s room. We could not fully control for between- or within-unit differences on these covariates, but our use of each unit as its own control makes this less of a concern. Moreover, the effect of skill mix category was remarkably constant regardless of the covariates included in the model, despite some of these covariates having substantial effects in their own right (see Table 4).

Our approach of using each unit as its own control required each unit analyzed to have at least one quarter with and one quarter without restraint use, limiting our sample of units. However, our study sample was comparable in most respects to the set of units excluded for never reporting restraint use, and we think the staffing-restraint use association we found likely holds quite generally among units where restraints are used at least on occasion. In future research, examination of data from units reporting restraint use in every quarter would likely yield further insight into the relation between staffing and restraint use.

**Strengths**

In addition to the very large sample size, our methodological approach is an important strength of this study. Nurse staffing research typically involves cross-sectional comparisons of staffing levels across units, which tend to reflect between-unit differences in patient mix (e.g., average risk of adverse outcome). By contrast we examined the effects of within-unit differences in quarterly staffing, effectively using each unit as its own control, by computing each unit’s quarterly nurse staffing level and skill mix based on standard deviations from the unit’s average, thereby allowing for comparisons that implicitly take into account unmeasured unit characteristics. This approach alleviates the confounding that occurs when effects due to between-unit differences in patient mix are attributed to between-unit differences in staffing. Of course, patient mix can change from quarter to quarter on a given unit,

### Table 3 Results of Full Logistic Regression Models for Odds of Restraint Use

| Variable | Level | TNHPPD category | Skill mix category |  | Fall prevention restraint |
|----------|-------|-----------------|-------------------|---|--------------------------|
|          |       | Adjusted OR  | Adjusted OR  | (95% limits) | Adjusted OR  | Adjusted OR  | (95% limits) |
|          |       | (95% limits) | (95% limits) |             | (95% limits)| (95% limits) |
|          |       |             |             |             |             |             |
| TNHPPD category | Very low | 1.06 (0.96–1.16) | 1.12 (1.00–1.26) | | | |
| | Low | 1.07 (1.00–1.14) | 1.09 (1.00–1.19) | | | |
| | Average | Referent | Referent | | Referent | Referent |
| | High | 1.06 (0.98–1.13) | 1.02 (0.93–1.11) | | | |
| | Very high | 0.99 (0.91–1.09) | 1.05 (0.93–1.18) | | | |
| | Very low | 1.18 (1.08–1.29) | 1.16 (1.03–1.29) | | | |
| | Low | 1.11 (1.04–1.19) | 1.09 (1.00–1.19) | | | |
| | Average | Referent | Referent | | Referent | Referent |
| | High | 1.03 (0.96–1.10) | 1.00 (0.91–1.09) | | | |
| | Very high | 0.98 (0.89–1.08) | 0.98 (0.86–1.10) | | | |
| Mean | 1.02 (0.98–1.05) | 0.97 (0.93–1.01) | | | |
| TNHPPD Mean skill mix | Low | 0.87 (0.82–0.92) | 0.93 (0.88–0.98) | | | |
| Patient days | 1.33 (1.26–1.39) | 1.24 (1.17–1.31) | | | |
| Average age | 1.02 (1.02–1.03) | 1.02 (1.01–1.02) | | | |
| Proportion male | 1.65 (1.54–2.02) | 1.44 (1.12–1.85) | | | |
| Unit type Medical-Surgical | 1.35 (1.22–1.49) | 1.25 (1.12–1.41) | | | |
| Medical | 1.31 (1.19–1.44) | 1.16 (1.03–1.29) | | | |
| Surgical | Referent | Referent | | Referent | Referent |
| Bed size 300 beds | 1.11 (0.99–1.26) | 1.08 (0.96–1.22) | | | |
| Location Metropolitan | 1.37 (1.07–1.74) | 1.18 (0.90–1.54) | | | |
| Teaching status Teaching | 1.22 (1.08–1.38) | 1.12 (0.99–1.26) | | | |
| Census region West | 1.46 (1.17–1.82) | 1.37 (1.09–1.73) | | | |
| South | 1.27 (1.06–1.52) | 1.20 (0.99–1.44) | | | |
| Midwest | 1.05 (0.86–1.28) | 1.02 (0.83–1.26) | | | |
| Northeast | Referent | Referent | | Referent | Referent |
| Year 2006 | 1.92 (1.62–2.27) | 1.87 (1.52–2.30) | | | |
| 2007 | 1.59 (1.47–1.73) | 1.64 (1.49–1.82) | | | |
| 2008 | 1.25 (1.16–1.35) | 1.31 (1.19–1.44) | | | |
| 2009 | 1.07 (1.00–1.15) | 1.16 (1.06–1.27) | | | |
| 2010 | Referent | Referent | | Referent | Referent |
| Quarter 1 | 1.17 (1.09–1.26) | 1.15 (1.05–1.26) | | | |
| 2 | 1.02 (0.95–1.10) | 0.99 (0.90–1.08) | | | |
| 3 | 1.02 (0.95–1.09) | 1.02 (0.93–1.12) | | | |
| 4 | Referent | Referent | | Referent | Referent |

**C-statistic = 0.77**

**C-statistic = 0.73**

### Table 4 Adjusted Odds Ratios (95% Limits) for Skill Mix Categories Across Comparison Models

| Skill mix category | Base | Base + Hospital | Base + Unit | Base + Patient | Base + All |
|-------------------|------|----------------|------------|--------------|-----------|
| Very low          | 1.17 (1.07–1.28) | 1.17 (1.07–1.28) | 1.17 (1.07–1.28) | 1.18 (1.08–1.29) | 1.18 (1.08–1.29) |
| Low               | 1.11 (1.04–1.19) | 1.11 (1.04–1.19) | 1.11 (1.04–1.19) | 1.11 (1.04–1.19) | 1.11 (1.04–1.19) |
| Average           | Referent | Referent | Referent | Referent | Referent |
| High              | 1.03 (0.96–1.11) | 1.03 (0.96–1.10) | 1.03 (0.96–1.10) | 1.03 (0.97–1.11) | 1.03 (0.96–1.10) |
| Very high         | 0.99 (0.91–1.09) | 0.99 (0.90–1.09) | 0.99 (0.90–1.09) | 0.99 (0.90–1.09) | 0.98 (0.89–1.08) |
| Fall prevention restraint | 1.17 (1.04–1.30) | 1.16 (1.03–1.30) | 1.16 (1.04–1.30) | 1.17 (1.04–1.30) | 1.16 (1.03–1.29) |
| Low               | 1.09 (1.00–1.18) | 1.09 (1.00–1.18) | 1.09 (1.00–1.18) | 1.09 (1.00–1.18) | 1.09 (1.00–1.19) |
| Average           | Referent | Referent | Referent | Referent | Referent |
| High              | 1.01 (0.92–1.10) | 1.00 (0.92–1.10) | 1.00 (0.92–1.10) | 1.01 (0.92–1.10) | 1.00 (0.91–1.09) |
| Very high         | 0.98 (0.87–1.11) | 0.99 (0.88–1.12) | 0.97 (0.86–1.10) | 0.98 (0.87–1.11) | 0.98 (0.86–1.10) |
but this within-unit variability is arguably less of a confounding concern than the variability among units. This approach allowed us to move beyond comparisons of units with higher versus lower staffing levels or skill mix to identify quarters with below-average skill mix as having higher rates of restraint use.

CONCLUSION

We found that when unit skill mix was low, hospital staff were apparently more likely to use restraints, including restraints for fall prevention. Above-average skill mix, however, was not associated with reduced restraint use. The key seems to be ensuring that the skill mix is consistently adequate.

US hospitals have little buffer for surges in demand for nurse staffing. In light of a projected RN shortage, future research on nurse-sensitive outcomes, including restraint use, should focus on optimizing available nursing hours at the bedsides through innovative staffing models and improvements in the work environment.

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Compliance with Ethical Standards:

Conflict of interest: The authors have participated in work funded by the National Database of Nursing Quality Indicators, which is owned by Press Ganey Associates, Inc. The authors report no other conflict of interest.

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