Since January 2020 Elsevier has created a COVID-19 resource centre with free information in English and Mandarin on the novel coronavirus COVID-19. The COVID-19 resource centre is hosted on Elsevier Connect, the company's public news and information website.

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INTRODUCTION AND HISTORY

The first diagnosis in the United States of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), also known as coronavirus disease (COVID-19) (which is how this virus is referred to henceforth), was received on January 20, 2020.\textsuperscript{1,2} On March 18, 2020, the United States Food and Drug Administration (FDA) issued an updated guideline for the conduct of clinical trials, which highlighted the need to adhere to social distancing and quarantine guidelines.\textsuperscript{3} Most research activities in major institutions were suspended soon after that, despite the need for scientific research to address the pandemic.

Past pandemics, such as the severe acute respiratory syndrome coronavirus (SARS-CoV-1) in 2002; H1N1 influenza in 2009; Middle East respiratory syndrome, which started in 2012 and is still lingering; and Ebola virus in 2019, have seen a deficient response in clinical research.\textsuperscript{4} Inadequate research collaboration and funding,
particularly in vulnerable regions, have been identified in a previous review.\textsuperscript{5} In its contemplation of lessons learned from the historical inquiry of pandemic mitigation strategies, the Institute of Medicine\textsuperscript{6} observes that progress in clinical research, particularly with surveillance, rapid communications, modern computing, and epidemic modeling, has the potential to not only mitigate but prevent future pandemics.

The goal of this article is to explore and summarize the effects of pandemics on clinical research and to explore approaches to effectively redirect and optimize clinical research in future pandemics. The discussion is limited to observational and interventional studies in clinical research; basic science research is beyond the scope of this article.

**DISCUSSION**

**Effects of Pandemics on Components of Clinical Research**

The components of any modern research framework are familiar to all scientists and can be classified broadly into the following steps: (1) generating a research hypothesis and developing a study protocol; (2) obtaining regulatory approval; (3) studying implementation, including securing adequate funding for completion of all study processes, such as interventions and data collection; and (4) analysis and publication of data in the form of an article.\textsuperscript{7,8} Public health emergencies of a new pandemic create acute strains in resources that often affect critical elements of executing a research protocol. These are discussed and summarized in Table 1.

**Study design**

Leading up to the appearance of COVID-19, other infectious disease pandemics and large-scale public health emergencies have been cited as events that highlight the need for a coordinated research response.\textsuperscript{9} For instance, the 2009 H1N1 pandemic, 2010 Haiti earthquake, Deepwater Horizon oil spill, and 2011 Fukushima Daiichi nuclear disaster were highlighted as recent events where the acute strains placed on government agencies, including health care and scientific organizations, limited the ability to address key components of research.\textsuperscript{9} The authors recommend the assembly of a ready team of experts who can generate relevant research questions and prioritize and monitor research needs.\textsuperscript{9} This forms the first step of ensuring conditions for rapid data collection and appropriate human subjects review, mechanisms for rapid funding, and exposure monitoring.\textsuperscript{9}

It also is paramount to ensure that research planning is carried out in an ethical manner despite the emerging disaster, by engaging both public and scientific experts to address specific needs of the different communities involved in research. These discussions should address ethical questions that arise during public health emergencies and the risk that research might be perceived as an exploitation of vulnerable people or communities in a state of disaster.\textsuperscript{9} Investigators also have underscored the importance of abiding by systematic risk and ethical evaluations when assessing the ethics of pandemic-related research, particularly in high-risk clinical trials, such as early-phase trials of potential vaccines for COVID-19.\textsuperscript{10} Such safety considerations has to be balanced deftly with the need for quicker regulatory approval.

**Regulatory approval**

Even before the current pandemic, investigators have called for the development of more precise consensus guidelines addressing the waiver of informed consent in emergency research.\textsuperscript{11} In 2020, the challenges of carrying out emergency research with exceptions for informed consent were highlighted, with some investigators calling
for the need to offer appropriate means for rapid consultation to support such exceptions.  
In addition, investigators observed the need for greater regulatory flexibility during pandemics to manage both the goals of protecting participants and promoting the development of high-quality evidence that informs patient care during the pandemic.  
Although many institutional review boards (IRBs) also took steps to speed the review of COVID-19 protocols, this led to a challenge of heightened workload and personal responsibilities for IRB members, particularly at academic institutions, and may not be sustainable.

Furthermore, the traditional safeguards for research that involves incarcerated persons, which was a population of patients heavily impacted by COVID-19, possibly may

| Table 1 | Effects of a pandemic on clinical research |
|---------|------------------------------------------|
| **Steps in the Research Process** | **Effects in the Setting of a Pandemic** |
| Study design |  |
| Generating a hypothesis | Lack of expertise in emerging disease  
Limited expert availability with clinician-scientists responding to high demand in clinical care |
| Study protocol design | Prioritization of studies that yield quick results rather than long-term outcomes  
Delays in gathering preliminary data that may help inform main study design (eg, for a power analysis)  
Difficulty ensuring proper risk and ethics analysis and that research planning is ethical and not seen as an exploitation of vulnerable communities |
| Regulatory approval |  |
| Institutional board review | Potential for delay with institutional board review and approval |
| FDA approval | Potential fast-tracking for COVID-19 studies  
Potential delay for non–COVID-19 studies |
| Study implementation |  |
| Funding | Allocation of funding toward more immediate health care needs |
| Intervention delivery and data collection | Site closures  
Travel limitations  
Interruptions to supply chain  
Limited access to research facilities and equipment due to official stay-at-home orders  
Limited access to the delivery of interventions  
Disrupted patient screening and recruitment  
Limited in-person baseline and follow-up data collection |
| Data review and publication |  |
| Data analysis | Redirection of resources to pandemic-related projects  
Disrupted work environment and team dynamics due to remote work settings |
| Article writing and review | Perceived pressure to produce results  
Decreased peer review standards due to high demand for information  
Potential impact on quality of publications |
be to their detriment, and investigators have suggested the need to allow such research when there is the prospect of direct benefit to these individuals in custody.¹²

During COVID-19, the FDA also helped conduct ultrarapid protocol reviews for research subject to investigational new drug and investigational device exemption requirements, as part of the Coronavirus Treatment Acceleration Program.¹³

**Study implementation**

The inaccessibility of trial participants and research personnel due to social distancing rules during COVID-19 led to delays in patient enrollment, and operational gaps in clinical trials may have a negative impact on data integrity.¹⁴

**Patient screening and recruitment.** The success and generalizability of clinical trials depend considerably on extensive participant enrollment.¹⁵ During COVID-19, patient recruitment and ease of conducting in-person visits were significantly affected, not only by official policy limiting nonessential movement but also by fears of a poorly characterized disease among participants and caregivers.¹⁵,¹⁶

**Consent process.** With isolation or physical distancing requirements, the FDA recommended the use of electronic consent via the COVID MyStudies mobile device application or the use of phone or videoconferencing. A comprehensive discussion with a potential study participant, however, is time consuming—for instance, the discussion of every possible alternative to enrollment in a given COVID-19 protocol, particularly in the early phases of a pandemic, when available alternatives were rapidly changing. It has been suggested that regulations be revised to allow more flexibility for only context-appropriate disclosures to patients.¹²

**Intervention delivery and data collection.** COVID-19 resulted in extensive travel restrictions and site closures, in addition to the diversion of nonessential hospital space, including research areas, to enhance patient isolation.¹⁷ Investigators also have to consider the relative risks and benefits of conducting research activities and provide a safe environment and, where appropriate, reassurance to participants.¹⁶ In addition, disruption of supply chains for investigational drugs further jeopardized delivery of study interventions.¹⁷ Protocols often required modification to ensure adherence to intervention and measurement of outcomes, including remote data collection by telephone, video or telehealth platforms, and carrying out follow-up testing at home where possible.¹⁸,¹⁹ Other than transitioning to remote operations, where possible, the pandemic experience also has highlighted the value of research networks—established pathways for sharing information between sites can help speed up the process of gathering valuable data and may overcome obstacles, such as those encountered during the current pandemic.¹⁹

**Data review and publication**

A step in the research process that may seem unhindered by the COVID-19 pandemic is the publication of articles, although it remains debatable whether this is a marker of research success. It may be contended that even prior to the pandemic, publication was a process carried out entirely remotely, so it was least affected by the new pandemic rules.

This apparent success in COVID-19 research, however, is marred by the following criticisms. First, the number of publications also appears to be heavily inflated by non–peer-reviewed or nonoriginal research, such as editorials and opinion articles, many of which are cited later. Second, the publication and visibility of potentially impactful non—COVID-19 articles may be affected by the overwhelming demand for COVID-19 research.
Publication Surge

As illustrated in Fig. 1, the number of publications on COVID-19 was unparalleled. For comparison, after 6 months of the report of the first case of SARS-CoV-1 in February 2003, 929 articles had been published as situation unfolded; and by the sixth month after the 2009 pandemic of H1N1 Influenza started, 1245 articles about the virus were available on PubMed-indexed journals. By contrast, in June 2020, the number of articles published on COVID-19 was approximately 30-times higher (35,891) than in the previous pandemics.

The average quality of early COVID-19 publications, however, was met with harsh critique among the both scientific and journalism communities, for the peer review step frequently was skipped in a fast-tracking publication process. This pandemic also saw many investigators using preprint servers to disseminate their work. These servers, such as medRxiv, sponsored by Yale University, have become popular sources of information among journalists. Some journals, as The Lancet, also make preprints available to the public, and, despite containing a disclaimer that these are articles that have not completed the peer review process or been accepted, they have been used as references by journalists who are looking for the latest updates on COVID-19. Multiple preprints on COVID-19 that have been covered by popular media on COVID-19 have been written and retracted within the same year.

Although preprints and articles on preliminary results are much criticized, there are arguments in favor of their utility. Early publication of research methodology and findings allows for earlier detection of methodological issues by the readers. Publication of preliminary results may allow younger, less established researchers to disseminate their ideas and data without having to subject themselves to prohibitive criticism from reviewers of well-known journals, while still receiving credits for their efforts.

These potential benefits, however, must be weighed against the risk of low-quality, non-peer-reviewed research fueling media-driven panic or resulting in inappropriate clinical and policy decisions based on erroneous data, which both could cause harm to individuals and compromise scientific integrity.

Even articles published in reputable journals have been retracted, including from Annals of Internal Medicine, The Lancet, and The New England Journal of Medicine. A recent review reports that, despite the large number of publications, only a fraction of the published studies fulfilled the principles of evidence-based practice.

The publication onslaught also may reflect a prioritization of studies that yield quick results rather than long-term outcomes. Other investigators also have called for
researchers to collaborate in larger high-quality investigations, rather than duplicate research in multiple small trials with analogous hypotheses, which may be easier to carry out but less likely to yield precise results.32

**Concurrent Non–COVID-19 Research**

As discussed previously, a veritable explosion of COVID-19 related trials, now numbering in the thousands, represents a significant shift in scientific effort and energy expenditure.38 Other key basic and clinical research endeavors have been stymied or halted by mandatory facility shutdowns, staff quarantine and distancing measures, suspension of animal and human clinical trials, and loss or reallocation of funding.39

In addition to serving as a significant setback for scientific innovation itself, this has had a negative impact on several patients without COVID-19, including many in vulnerable populations, such as those receiving cancer care and immunosuppressive therapy.40 Many were participating or expecting enrollment in clinical trials, a significant proportion of which was suspended or halted altogether41 or had recruitment drop precipitously.42

Withdrawal of funding from critical research should be avoided or limited whenever possible due to its long-term ramifications for both affected patients, and the scientific community at large. Importantly, ongoing work in related fields may elucidate underlying mechanisms of pathogenesis and treatment of COVID-19 itself, and vice versa.43

**Research Redirection and Collaboration**

With a bevy of researchers addressing the topic from multiple angles, there also has been a growing concern over studies being inadequately powered and suffering from redundance and heterogeneity in methodology and outcome measurement. As such, there is a need for coordination and establishment of clear guidelines for research and reporting.44

Several international consortia, including diverse specialty groups45 and global health organizations,46 have offered core outcome sets for trials pertinent to COVID-19. At minimum, these core outcomes should be addressed to maximize data utility and facilitate international collaboration and data pooling efforts.

To encourage international collaboration further, a group of National Science and Technology Advisors spanning numerous countries issued a call to publishers to make COVID-19 publications and associated data freely available in the public domain,47 highlighting the importance of information and data sharing as means of increasing scientific yield and building more robust data sets for current and future clinical trials.48

Furthermore, as research efforts begin bearing fruit, several important differences in symptomatology, complications, and burden of disease within and between populations have emerged. Following the initial paucity of information, geographic, genetic, gender-based, racial, cultural, and socioeconomic variations, among others, have demonstrated important and sometimes drastic differences with significant implications for both treatment and preventative measures.49

**Research Optimization**

As more data emerge, attention must shift from simply examining comparative epidemiology to utilizing it to guide further research needs, address contributing inequities, and help protect those groups and populations that emerge as most vulnerable.50 At the same time, carefully controlling for a maximal number of such variables during subgroup analysis serves to eliminate spurious correlations and maximize generalizability, crucial to both informed clinical decisions and policy making.51
Some of the obstacles posed by the pandemic also can be overcome by enhancing collaborative efforts between researchers, for instance, in the form of data registries. National societies frequently have the capacity to facilitate and coordinate research efforts. For example, the American Society of Anesthesiologists Committee on Critical Care Medicine has served as a platform for networking and site recruitment for the COVID-19 ICU Registry. The COVID-19 ICU Registry is a multi-institutional and international collaboration to collect critical care and respiratory data about patients with COVID-19, with the goals of being able to identify key risk factors for severe illness and disease patterns and potentially to assess treatment efficacy. The CORAL series of studies is another example of a multicenter effort to collect and share data between tens of institutions nationwide.

Health care workers themselves appear to be at elevated risk for contracting the disease, and the intubateCOVID registry, which was designed to track various aspects and outcomes of airway management of infected patients, demonstrated a notable incidence of COVID-19 symptoms, confirmed infection, and sequelae affecting approximately 10% of intubating staff. This, compounded with health care workers’ increased risk of being asymptomatic or presymptomatic carriers capable of transmitting the disease to their families and others, can significantly affect both productivity and attitudes toward remaining clinically and academically active.

**SUMMARY**

The COVID-19 pandemic has seen many hurdles to crucial research processes, in particular those that depend on personnel interactions, in providing safeguards against the incipient infectious disease. At the same time, there was a rapid redirection of research, driven by popular and social media and demand for pandemic-related content, to the detriment of non–COVID-19 research and perhaps to COVID-19 research itself.

This pandemic has provided critical lessons to the authors, who believe the following will be essential for future research success: (1) regional, national, and global scientific societies need to rapidly identify strategic high-yield areas for research based on preliminary data and coordinate efforts at acquiring reliable quality data from multiple sources for collaborative data analysis, including in the form of data registries; (2) prompt recognition by regulatory authorities of areas of flexibility that are relevant to the new crisis; and (3) publishers should lay out guidelines for what constitutes a significant investigation and help provide a constant source of quality control for the research enthusiasm that comes with a novel research question.

Although there is little motivation to create and update contingency strategies for research coalitions, regulation, and publishing during periods of nonemergency, these efforts are likely to be most successful when preplanned and pretested at a time of relative abundance of resources. It would be prudent for the scientific community to maintain processes learned during COVID-19 so that these lessons do not need to be relearned during the next public health crisis.

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**DISCLOSURE**

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