A Novel Mechanism to Generate FFLO States in Holographic Superconductors

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We discuss a novel mechanism to set up a gravity dual of FFLO states in strongly coupled superconductors. The gravitational theory utilizes two $U(1)$ gauge fields and a scalar field coupled to a charged AdS black hole. The first gauge field couples with the scalar sourcing a charge condensate below a critical temperature, and the second gauge field provides a coupling to spin in the boundary theory. The scalar is neutral under the second gauge field. By turning on an interaction between the Einstein tensor and the scalar, it is shown that, in the low temperature limit, an inhomogeneous solution possesses a higher critical temperature than the homogeneous case, giving rise to FFLO states.

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The AdS/CFT correspondence, which was discovered in string theory, has opened up a broad avenue for the exploration of condensed matter systems at strong coupling. By using a holographic principle, these systems (described by gauge field theories) are mapped onto weakly coupled gravitational systems of one additional dimension, in which physical quantities can be computed. This holographic principle (gauge theory / gravity duality) has been applied to the study of conventional and unconventional superfluids and superconductors [1], Fermi liquids [2], and quantum phase transitions [3].

The high-Tc superconductors, such as cuprates and iron pnictides, are examples of unconventional superconductors which exhibit competing orders that are related to the breaking of the lattice symmetries. This breaking introduces inhomogeneities and a study of the effect of inhomogeneity of the pairing interaction in a weakly coupled BCS system [4] suggests that inhomogeneity might play a role in high-Tc superconductivity. In an effort to explain this behavior a “striped” superconductor was proposed [5]. Holographic striped superconductors were discussed in [6] where a modulated chemical potential was introduced and it was shown that below a critical temperature superconducting stripes develop. Properties of the striped superconductors and backreaction effects were studied in [7, 8]. Striped phases were also found in electrically charged RN-AdS black branes that involve neutral pseudo-scalars [9].

Inhomogeneous phases also appear when a strong external magnetic field coupled to the spins of the condensation electrons is applied to a high-field superconductor. This results in a separation of the Fermi surfaces corresponding to electrons with opposite spins (for a review see [10]). If the separation is too high, the pairing is destroyed and there is a transition from the superconducting state to the normal one (paramagnetic effect). An intriguing new state of matter at the transition point was proposed by Fulde and Ferrell [11] and Larkin and Ovchinnikov [12] (the FFLO state) but it has not been found experimentally so far. This state is characterized by a space modulated order parameter, corresponding to an electron pair having nonzero total momentum.

A way to understand the formation of the FFLO phase in a superconductor-ferromagnetic system (S/F) is to use the generalized Ginzburg-Landau expansion. In order to describe the paramagnetic effect in the presence of a strong external magnetic field, the usual $|\psi|^4$-Ginzburg-Landau functional has to be modified with coefficients in the functional which depend also on the magnetic field. In this case, the $(B,T)$ phase diagram exhibits a different behavior indicating that the minimum of the functional does not correspond to a uniform state, and a spatial variation of the order parameter decreases the energy of the system. To describe such a situation, it is necessary to add a higher-order derivative term in the expansion of the Ginzburg-Landau functional (for a detailed account see [13]).

There are several studies of the behavior of holographic superconductors in the presence of an external magnetic field. Non-trivial spatially dependent solutions have been found, like the droplet [14] and vortex solutions with integer winding number [15, 16]. An analytic study on holographic superconductors in an external magnetic field was carried out in [18]. In a model resulting from a consistent truncation of type IIB string theory, anisotropic...
solutions at low temperature were found \cite{10}, showing similarity between the phase diagrams of holographic superfluid flows and those of ordinary superconductors with an imbalanced chemical potential. A holographic superconducting model with unbalanced fermi mixtures at strong coupling was discussed in \cite{11}. The charge and spin transport properties of the model were studied, but the phase diagram did not reveal the occurrence of FFLO-like inhomogeneous superconducting phases.

In our recent work \cite{11}, we proposed a gravity dual of FFLO states in strongly coupled superconductors. The gravity sector consisted of two $U(1)$ gauge fields and a scalar field. The first gauge field had a non-zero scalar potential term which was the source of the charge condensate in the boundary theory through its coupling to the scalar field. The second $U(1)$ gauge field corresponded to an effective magnetic field acting on the spins in the boundary theory. The scalar field was neutral under the second $U(1)$ gauge field. We looked first at the behavior of the system at or above the critical temperature. The Einstein-Maxwell system was solved by a dyonic black hole with electric and magnetic charges, as in \cite{12}. At the critical temperature, the system underwent a second-order phase transition and the black hole acquired hair. To find the critical temperature, we worked in the grand canonical ensemble and solved the scalar equation in the background of the dyonic black hole. It was found that the system possessed inhomogeneous solutions for the scalar field, which however always gave a transition temperature lower than the maximum transition temperature (i.e. critical temperature) of the homogeneous solution. Therefore the homogeneous solution was always dominant.

Next, we turned on an interaction term of the magnetic field to the scalar field of the generalized Ginzburg-Landau gradient type (in a covariant form). The scalar field equation was modified and the resulting inhomogeneous solutions gave a transition temperature which was higher than the one of the homogeneous solutions. We attributed this behavior to FFLO states. Note that as before, the transition temperature limit. In our previous work we showed that, to $\mathcal{O}^\prime$ corrections \cite{13} and also in N = 1 four-dimensional new-minimal supergravity theories \cite{14}.

Moreover, interest away from cosmology has developed as the interaction has been used to study phase transitions with vanishing cosmological constant \cite{15} and effects on conventional holographic superconductors employing anti-de Sitter space \cite{16}. The presence of this term modifies the scalar field equation and the resulting inhomogeneous solutions give a transition temperature which is higher than the homogeneous solutions. We attribute this behavior to FFLO states. Note that as before, the appearance of the FFLO states is more pronounced as $T_c/\mu \rightarrow 0$ and the gauge field of the second $U(1)$ gauge group is near its maximum value.

Consider the action

\[ S = \int d^4x \sqrt{-g} \left( \frac{R + 6/L^2}{16\pi G} - \frac{1}{4} F_{AB} F^{AB} - \frac{1}{4} \mathcal{F}_{AB} \mathcal{F}^{AB} \right), \]

where $F_{AB} = \partial_A B_B - \partial_B A_A$, $\mathcal{F}_{AB} = \partial_A A_B - \partial_B A_A$ are the field strengths of the $U(1)$ potentials $A_A$ and $A_A$, respectively. We set $L = 8\pi G = 1$.

The Einstein-Maxwell equations,

\[ R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R - \frac{3}{L^2} g_{\mu\nu} = \frac{1}{2} \left[ F_{\mu\sigma} F^\sigma_{\nu} - \frac{1}{4} g_{\mu\nu} F^2 + \mathcal{F}_{\mu\sigma} \mathcal{F}^\sigma_{\nu} - \frac{1}{4} g_{\mu\nu} \mathcal{F}^2 \right], \]

\[ \nabla_\mu F^{\mu\nu} = 0, \quad \nabla_\mu \mathcal{F}^{\mu\nu} = 0, \]

admit a solution which is a four-dimensional AdS black hole of two $U(1)$ charges,

\[ ds^2 = \frac{1}{z^2} \left[ -h(z) dt^2 + \frac{dz^2}{h(z)} + dx^2 + dy^2 \right], \]

with the horizon radius set at $z = 1$.

The two sets of Maxwell equations admit solutions of the form, respectively,

\[ A_t = \mu (1 - z), \quad A_z = A_x = A_y = 0, \]

with $\mathcal{O}$ corrections \cite{13} and also in N = 1 four-dimensional new-minimal supergravity theories \cite{14}.
two allowable choices of $\Delta$, with corresponding field strengths having non-vanishing components for electric fields in the $z$-direction, respectively,

$$F_{tz} = -F_{zt} = \mu , \quad F_{t z} = -F_{z t} = \delta \mu .$$

Then from the Einstein equations we obtain

$$h(z) = 1 - \left(1 + \frac{\mu^2 + \delta \mu^2}{4}\right) z^3 + \frac{\mu^2 + \delta \mu^2}{4} z^4 .$$

The Hawking temperature is

$$T = \frac{h'(1)}{4\pi} = \frac{3}{4\pi} \left[1 - \frac{\mu^2 + \delta \mu^2}{12}\right] .$$

In the limit $\mu, \delta \mu \to 0$ we recover the Schwarzschild black hole.

Next, we consider a scalar field $\phi$, of mass $m$, and $U(1)^2$ charge $(q, 0)$, coupled to the Einstein tensor. The action is

$$S = - \int d^4x \sqrt{-g} \left[ g^{AB} (D_A \phi)^* D_B \phi + m^2 (1 - 3\xi) |\phi|^2 \right.\left. - \xi G^{AB} (D_A \phi)^* D_B \phi\right] ,$$

where $D_A = \partial_A + iq A_A$ and $G_{AB}$ is the Einstein tensor. $\xi$ is the new coupling constant determining the strength of the interaction between the scalar field and the Einstein tensor. We also included a convenient $\xi$-dependent factor in the mass term. We shall consider the range of $\xi$ for which the factor is positive,

$$\xi < \frac{1}{3} .$$

Firstly, we consider the conventional case setting $\xi = 0$. The asymptotic behavior (as $z \to 0$) of the scalar field is

$$\phi \sim z^\Delta , \quad \Delta (\Delta - 3) = m^2 .$$

For $m^2 \geq -5/4$, there is only one normalizable mode. However, for the range, $-9/4 \leq m^2 < -5/4$, there are two allowable choices of $\Delta$,

$$\Delta = \Delta_{\pm} = \frac{3}{2} \pm \sqrt{\frac{9}{4} + m^2} ,$$

leading to two distinct physical systems.

As we lower the temperature, an instability arises and the system undergoes a second-order phase transition with the black hole developing hair. This occurs at a critical temperature $T_c$ which is found by solving the scalar wave equation in the above background,

$$\partial^2 \phi + \left[ \frac{h'}{h} - \frac{2}{z} \right] \partial_z \phi + \frac{1}{h} \nabla_z^2 \phi - \frac{1}{h} \left[ \frac{m^2}{z^2} - q^2 A^2 \right] \phi = 0 ,$$

with the metric function $h$ given in (7) and the electrostatic potential $A_t$ in (4).

Although the wave equation (13) possesses $(x, y)$-dependent solutions, the symmetric solution dominates and the hair that forms has no $(x, y)$ dependence. To see this, let us introduce $(x, y)$-dependence and consider a static scalar field which is an eigenstate of the two-dimensional Laplacian,

$$\nabla_x^2 \phi = -\tau \phi , \quad \tau > 0 .$$

For example, if $\phi$ varies sinusoidally in the $x$-direction, $\phi \sim e^{iQx}$, then $\tau = Q^2$ and the modulation is realized in the boundary CFT through the order parameter $\langle O \rangle \sim e^{iQx}$. It is also possible for $\phi$ to be rotationally symmetric in the $(x, y)$ plane, $\phi \sim J_0(\sqrt{\tau}(x^2 + y^2))$. For $\tau = 0$, we recover the homogeneous solution.

Upon factoring out the $(x, y)$ dependence,

$$\phi = Y(x, y) \psi(z) ,$$

where $Y(x, y)$ is an eigenfunction of the two-dimensional Laplacian with eigenvalue $-\tau$ (eq. (14)), the scalar field is represented by $\psi(z)$ and the wave equation becomes

$$\psi'' + \left[ \frac{h'}{h} - \frac{2}{z} \right] \psi' - \frac{\tau}{h} \psi - \frac{1}{h} \left[ \frac{m^2}{z^2} - q^2 A^2 \right] \psi = 0 .$$

Before we proceed with a discussion of solutions, notice that there is a scaling symmetry

$$z \to \lambda z , \quad x \to \lambda x , \quad \tau \to \tau / \lambda^2 , \quad \mu \to \mu / \lambda , \quad \delta \mu \to \delta \mu / \lambda , \quad T \to T / \lambda .$$

This means that the system possesses a scale which we have fixed for simplicity of notation. This arbitrary scale is often taken to be the radius of the horizon $r_+$, after changing coordinates to

$$z = \frac{r_+}{r} .$$

Since we fixed the scale, we should only be reporting on scale-invariant quantities, such as $T/\mu$, $\delta \mu / \mu$, $\tau / \mu^2$, etc. It is also convenient to introduce the scale-invariant parameter

$$\beta = \frac{\delta \mu}{\mu}$$

to describe the effect of the chemical potential imbalance.

We shall be working in the grand canonical ensemble at fixed chemical potentials $\mu$ and $\delta \mu$ (or $\beta$). The ensemble is defined uniquely by specifying the parameters $q$ and $\Delta$. One can then vary $\tau$, which parametrizes the solutions, to study the behavior of the system.

Since we fixed the scale, we shall solve the wave equation (16) for fixed values of the scale-invariant parameters $\tau / \mu^2$ and $\beta$, while demanding regularity at the horizon and $\psi \sim z^{\Delta_{\pm}}$ at the boundary. Thus, we obtain $\mu = \mu_0$ as an eigenvalue. More precisely, we obtain $\mu / r_+$ as an
eigenvalue, where \( r_+ \) is the scale in the system. Since the chemical potential \( \mu \) is fixed (grand canonical ensemble), the solution of the wave equation (11) fixes the scale \( (r_+ = r_{+0}, \text{so that } \mu_0 \to \mu/r_{+0} \cdot \text{and therefore the transition temperature } T_0 \text{ below which a mode with the given } \tau \text{ may develop. We obtain}
\[
T_0 = \frac{3}{4\pi \mu_{\text{eff}, 0}} \left[ 1 - \frac{\mu^2_{\text{eff}, 0}}{12} \right],
\]
which is of the same form as a Reissner-Nordström black hole with effective chemical potential
\[
\mu_{\text{eff}}^2 = \mu^2 (1 + \beta^2).
\]

The maximum transition temperature is the critical temperature \( T_c \) of the system. As we cool down the system in its normal state, the transition temperature \( T_0 = T_c \) is reached first and the mode with the corresponding transition temperature vanishes and the black hole at-

is reached first and the mode with the corresponding transition temperature

is obtained for

\[\Delta \leq \Delta_* \text{, where } \Delta_* = \Delta_+ \text{ for } m^2 = -\frac{3}{2}, \text{ or explicitly,} \]

\[
\Delta_* = \frac{3 + \sqrt{3}}{2} \approx 2.366.
\]

For \( \Delta \leq \Delta_* \), \( \beta \) can increase indefinitely. The transition temperature \( T_0 \) for \( \tau = 0 \) has a minimum value as a function of \( \beta \), and as \( \beta \to \infty \), \( T_0 \) diverges.

For \( \Delta > \Delta_* \), \( q_{\text{eff}} \) has a minimum value at which the transition temperature vanishes and the black hole at-
tains extremality. This is found by considering the limit of the near horizon region \( [30, 31] \). One obtains

\[
q_{\text{eff}} \geq q_{\text{min}}, \quad q_{\text{min}}^2 = \frac{3 + 2\Delta(\Delta - 3)}{4}.
\]

At the minimum \( (T_0 = 0) \), \( \mu_{\text{eff}}^2 = 12 \), and \( \beta \) attains its maximum value,

\[
\beta \leq \beta_{\text{max}}, \quad \beta_{\text{max}}^2 = \frac{q_{\text{min}}^2}{q_{\text{min}}^2 - 1}.
\]

This limit is reminiscent of the Chandrasekhar and Clogston limit \( [32] \) in a S/F system, in which a ferro-
magnet at \( T = 0 \) cannot remain a superconductor with a uniform condensate.

In the inhomogeneous case \( (\tau \neq 0) \), the above argument still holds with the replacement \( m^2 \to m^2 + \tau \). The effect of this modification is to increase the minimum effective charge to

\[
q_{\text{min}}^2 = \frac{3 + 2\Delta(\Delta - 3) + 2\tau}{4},
\]

and thus decrease the maximum value of \( \beta \). We always obtain a transition temperature which is lower than the corresponding transition temperature (for same \( \beta \)) in the homogeneous case \( (\tau = 0) \). It follows that the critical temperature is the transition temperature of the homogeneous mode, and the latter dominates the condensate.

Notice also that \( \tau \leq \tau_{\text{max}} \), where the maximum value is attained when \( q_{\text{min}} = q \) (so that \( \beta_{\text{max}} = 0 \). We deduce from (26),

\[
\tau_{\text{max}} = 2q^2 - \frac{3}{2} - \Delta(\Delta - 3).
\]

The supporting numerical results are shown in figure 1.

Now let us consider the effect of coupling to the Einstein tensor by setting \( \xi \neq 0 \). The wave equation is modified to

\[
\psi'' + \left[ \frac{h'}{h} + \frac{f_0}{f_+} - \frac{2}{z} \right] \psi' - \frac{\tau}{h} f_- \psi - \frac{1}{h} \left[ \frac{m^2(1 - 3\xi)}{z^2 f_+} - q^2 A_+^2 \right] \psi = 0,
\]

where

\[
f_{\pm} = 1 - 3\xi \pm \xi \frac{\mu^2}{4} z^4.
\]

Note that the boundary behavior is unaltered from (12).

The coupling to the Einstein tensor alters the near horizon limit of the theory so that

\[
m^2 \to \frac{m^2(1 - 3\xi) + \tau f_-}{f_+(1)},
\]
For $\xi < 1/6$, it is easily seen from (29) that $f_\pm(1) > 0$, so that the effective mass increases with $\tau$, as in the conventional $\xi = 0$ case.

The minimum effective charge is found from the near-horizon geometry in the zero temperature (extremal) limit. Using $\mu^2_{\text{eff}} = 12$ and $f_\pm(1) = 1 - 3\xi \pm 3\xi$, we obtain

$$q^2_{\text{min}} = \frac{3 + 2(1 - 3\xi)\Delta(\Delta - 3) + 2(1 - 6\xi)\tau}{4}, \quad (31)$$

to be compared with (29).

Finally, $\tau$ has a maximum value found by setting $q_{\text{min}} = q$ in (31),

$$\tau_{\text{max}} = \frac{2q^2 - \frac{4}{3} - (1 - 3\xi)\Delta(\Delta - 3)}{1 - 6\xi}. \quad (32)$$

Thus, for $\xi < 1/6$, even though the results differ numerically from the case $\xi = 0$, they are not qualitatively different. The maximum transition temperature (i.e., the critical temperature of the system) is always attained for $\tau = 0$ (homogeneous case). As $\xi$ approaches the critical value $\frac{1}{6}$, the maximum value of $\tau$ diverges.

As we increase $\xi$ past the critical value, i.e., for

$$\xi > 1/6, \quad (33)$$

(with $\xi$ still satisfying (10)), the range of $\tau$ extends to infinity ($\tau_{\text{max}}$ is infinite), and the behavior of the system changes qualitatively. For $\xi$ above the bound (33), the minimum charge decreases for $\tau > 0$, and therefore the maximum value of $\beta$ (25) increases compared to the value in the homogeneous case ($\tau = 0$). Thus, there is a neighborhood near zero temperature in which the inhomogeneous solution has higher transition temperature than the homogeneous one. As we increase $\tau$, the corresponding transition temperature increases. This expected behavior is also seen numerically.

As we keep increasing $\tau$, we are no longer in the zero temperature limit and geometrical considerations near the horizon are no longer applicable. Thus, although the effective mass (30) keeps decreasing below the AdS$_2$ BF bound, the latter is no longer relevant, and the wave equation possesses acceptable solutions for all $\tau$. Although we can no longer argue analytically, we analyzed the behavior of the system numerically. As $\tau$ increases, the corresponding transition temperature keeps increasing. The maximum transition temperature, which would be identified with the critical temperature of the system, is attained asymptotically as $\tau \to \infty$ (recall that there is no maximum value of $\tau$ for $\xi > 1/6$).

The value of the critical temperature is found by analytically solving the wave equation in the limit $\tau \to \infty$. It is easy to see by considering an expansion around the horizon that we ought to have $f_\pm = 0$. We deduce

$$\mu^2_{\text{eff}, c} = \frac{4(1 - 3\xi)}{\xi}, \quad (34)$$

thus determining the asymptotic transition (critical) temperature to be

$$\lim_{\tau / \mu^2 \to \infty} \frac{T_c}{\mu_{\text{eff}}} \to \frac{3}{4\pi} \sqrt{\frac{\xi}{1 - 3\xi}} \left(1 - \frac{1}{6\xi}\right), \quad (35)$$

which is dependent solely upon our coupling constant $\xi$.

To find the critical temperature numerically (and confirm the analytic prediction (35)), we fix the chemical potentials $\mu$ and $\delta\mu$ (or $\beta$) and numerically solve the wave equation (28) for all allowed values of $\tau$. Figure 2 displays the transition temperatures of various modes for small values $\beta$. The plots attain their maximum at the homogeneous mode, $\tau = 0$, and therefore the homogeneous solution is dominant. The low temperature region is probed with larger values of $\beta$. Our results are plotted in figure 3. The homogeneous solution possesses a transition temperature that is below the majority of non-zero $\tau$ and hence the inhomogeneous solutions dominate. As we increase $\tau$, the corresponding transition temperature increases and approaches the asymptotic value (35), as expected. The asymptotic value (critical temperature) is an upper bound for the transition temperatures of the various modes.

Figure 4 displays the transition temperature numerically calculated for $\xi = .2$ for select values of $\tau$. The point where the inhomogeneous solution becomes dominant is found at the crossing between $\tau = 0$ and the large $\tau$ asymptotic (critical) temperature seen in the body of the figure.

In a condensed matter system with an order parameter possessing wavenumber $Q$, the lattice spacing $a$ is related by $Q \sim 1/a$ (10). In our system, effectively $a \to 0$, which corresponds to $\tau = Q^2 \to \infty$. Therefore, we expect the critical temperature to correspond to $\tau \to \infty$. It would
where $D_A$ is the gauge derivative (see eq. (9)). Then modify the action for the scalar field (39) to

$$
S = - \int d^4x \sqrt{-g} \left[ g^{AB}(D_A\phi)^* D_B\phi + m^2 (1 - 3\xi)|\phi|^2 + \frac{\xi}{2} G^{AB}(D_A\phi)^* D_B S (-\alpha D_A D^A) \phi + c.c. \right].
$$

(37)

The function $S(x)$ is chosen so that $S(0) = 1$ and $S(x) \to 0$, as $x \to \infty$. We also introduced a new (small) parameter $\alpha$. It is convenient to choose

$$
S(x) = e^{-x}.
$$

(38)

The wave equation (28) is modified to

$$
\psi'' + \left[ \frac{h'}{h} + \frac{f'_+}{f_+} + \frac{2}{z} \right] \psi' + \tau \frac{f_-}{h f_+} \psi + \frac{1}{h} \left[ \frac{m^2 (1 - 3\xi)}{z^2 f_+} - q^2 \frac{A^2}{h} \right] \psi - 3\alpha \beta^2 \mu^2 \tau z^5 \left[ \frac{h'}{h} + \frac{3}{z} \right] \left[ \frac{1}{f_+} - \frac{f'_+}{f_+} \right] \psi = 0,
$$

(39)

and the functions $f_\pm$ (eq. (29)) are modified to

$$
f_\pm = 1 + \xi \left[ -3 + \frac{\mu^2}{4} z^4 \right] e^{-\alpha \beta^2 \mu^2 \tau z^6}.
$$

(40)

Notice that in the limit $\tau \to \infty$, we have $f_\pm \to 1$, so for large $\tau$, the solutions approach those in the standard case $\xi = 0$, in which there is a maximum allowed value of $\tau$ (eq. (27)). The supporting numerics are shown in figure 3 where a maximum transition temperature (critical temperature) at finite $\tau$ may be clearly seen.

In conclusion, we have developed a gravitational dual theory for the FFLO state of condensed matter. The gravitational theory consists of two $U(1)$ gauge fields and a scalar coupled to a charged AdS black hole. The first
gauge field produces the instability for a condensate to form, while the second controls chemical potential associated with spin. In the absence of an interaction of the Einstein tensor with the scalar field, the system possesses dominant homogeneous solutions for all allowed values of the spin chemical potential. In the presence of the interaction term, at low temperatures, the system is shown to possess a critical temperature for a transition to a scalar field with spatial modulation as opposed to the homogeneous solution.

It is desirable to fully understand the interplay between the different modes once below the critical temperature. This will require a non-linear analysis of the Einstein-Maxwell-scalar equations. Additionally, the dependence of the critical temperature on the modulation wavenumber, which is intertwined with the presence of a lattice is an intriguing aspect. Work in these directions is in progress.

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