Trends of Co-Morbid Depression in Hospitalized Patients with Failed Back Surgery Syndrome: An Analysis of the Nationwide Inpatient Sample

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ABSTRACT

Introduction: Co-morbid depression has been associated with poor outcomes following spine surgery and worsening of low back pain symptoms leading to failed back surgery syndrome (FBSS). Given the increasing focus of healthcare utilization and value-based care, it is essential to understand the demographic and economic data surrounding co-morbid depression amongst patients with FBSS.

Methods: Our study investigated the NIS database for FBSS patients who had co-morbid depression (ICD-9 CM codes 300.4, 301.12, 309.0, 309.1, 311; ICD-10 M96.1) between 2011 and 2015 across 44 states. We obtained demographic and economic data such as age, sex, ethnicity, location, number of in-patient procedures, hospital length of stay, cost of hospital stay, and frequency of routine discharge dispositions. The NIS database represents approximately a 20% sample of discharges from hospitals in the United States. These data are weighted to provide national estimates for the total United States population. National administrative databases (NADs) like National Inpatient Sample (NIS) are a common source of data for spine procedures. This database is appealing to investigators because of ease of data access and large patient sample. The NIS database is a de-identified database that consists of a collection of billing and diagnostic codes used by participating hospitals with the goal of quality control, population monitoring, and tracking procedures. The NIS does not require institutional review board (IRB) approval or exempt determination.

Results: Between 2011 and 2015, a total number of 115,976 patients with FBSS were identified. Of these patients, about 23,425 had co-morbid depression. The rate of co-morbid depression in 2015 was 23% with the lowest
reported rate being 20% in 2011. Females and Caucasians had consistently higher rates of co-morbid depression compared to males and other ethnic groups respectively. The average length of stay for patients with co-morbid depression fluctuated between 2011 and 2015, with the highest reported at 4.81 days in 2015. The number of procedures increased steadily from 2011 to 2015 with a dip in 2013. The highest number of procedures was reported as 3.94 in 2015. The mean total hospital charges remained stable over time with the largest change being the decrease from 2011 (mean $93,939; 95% CI $80,064–$107,815) to 2012 (mean 82,603; 95% CI $75,127–$90,079). Additionally, patients with FBSS and co-morbid depression were more often discharged home than home with healthcare or to another healthcare facility.

Conclusions: The occurrence of co-morbid depression in hospitalized patients with FBSS increased from 20% in 2011 to 23% in 2015. While direct hospital costs and length of stay remained relatively stable, the number of inpatient procedures performed trended upwards. The exact etiology for this increase in depression prevalence is unknown; additional studies are needed to shed further insight.

Keywords: Chronic pain; Chronic regional pain syndrome; Depression; Failed back surgery syndrome; Healthcare utilization; Spinal stenosis; Total cost

INTRODUCTION

Low back pain is one of the most common health complaints in the global population. Worldwide, it is estimated to have a lifetime prevalence of 60–80%, with symptoms lasting for longer than 3 months in 10% of individuals [1, 2]. In the United States, the total number of adults living with low back pain is over 12 million, causing disability in 50% of instances [3, 4]. Though conservative management is often effective in the management of low back pain, surgical treatment is frequently pursued for patients who remain severely symptomatic. In line with a growing population of chronic back pain sufferers, the yearly incidence of lumbar fusion surgery performed in the United States between the years 1998 and 2008 increased from 77,682 to 210,407. In 2002 alone, the total number of spinal surgeries exceeded 1 million [5, 6]. Despite undergoing technically adequate surgery to address the presumed pathology, many patients continue to have chronic, disabling low back pain [7]. This translates to a significant incidence of failed back surgery (FBSS), demonstrated to range from a rate of 10–40%, and estimated to affect 80,000 Americans yearly [8].

The persistence of pain after spine surgery is described as FBSS. It was first defined by Follett, as surgical end stage after one or several operative interventions, indicated to relieve lower back or radicular pain, both without positive effect [9]. FBSS can be assigned a specific diagnosis in 95% of instances and consists of various etiologies, which include spinal stenosis, internal disc disruption, recurrent disc herniation, and neural fibrosis [7]. Despite this, subsequent surgeries performed have diminishing rates of success [10]. Ultimately, this leads to increased patient pain and lower quality of life, akin to chronic pain conditions such as rheumatoid arthritis, complex regional pain syndrome, and fibromyalgia [11].

Patients with depression are associated with poorer surgical outcome, worsening of symptoms, and refractory low back pain leading to FBSS [12]. Data pertaining to prevalence of depression in patients with FBSS have been previously reported in outpatient settings [11]. In this study, we perform an analysis of an inpatient sample and discuss the rate of co-morbid depression in FBSS and its association with healthcare utilization amongst hospitalized patients.

METHODS

Database Characteristics

NIS data from 2011 to 2015 was reviewed and included in our analysis. The NIS is one of the Healthcare Cost and Utilization Projects databases that is sponsored by the Agency for
Healthcare Research and Quality [13]. This database is considered the largest all-payer inpatient care database in the US, which has been used in multiple instances to analyze national trends in outcomes, quality, charges, access and health care utilization, based on data extracted from 7 to 8 million hospital stays. These hospital stays represent approximately 20% of US community hospitals, defined as all academic medical centers, general specialty hospitals, non-federal, and short-term medical centers. In our analysis, we included chemical dependency treatment facilities, long-term acute-care hospitals, psychiatric hospitals, and short-term rehabilitation facilities. For this study, we considered hospitals from 46 states that contributed discharge information to the NIS database. Hospitals within a given stratum have similar statistical probability of sample selection regardless of appearance in prior sample. Further information on the design and statistical information of the NIS is available at http://www.hcup-us.ahrq.gov.

Inclusion and Exclusion Criteria

Our study evaluated patient information data acquired from NIS, from 2011 to 2015, to determine the trends of co-morbid depression in patients with FBSS. We included all patients with the diagnosis of depression and FBSS in our analysis. The ICD-9 CM codes for patients who had co-morbid depression were listed as 300.4, 301.12, 309.0, 309.1, and 311 for 2011 through 2015. Similarly, patients with a diagnosis of FBSS were listed to have an ICD-9 code of 722.80, 722.81, 722.82, and 722.83 as well as ICD-10 code of M96.1 (for Q4 2015). Patients without a diagnosis of FBSS were excluded from our analysis.

Variables and Outcomes

For all FBSS patients with a diagnosis of co-morbid depression from 2011 to 2015, we analyzed patient demographic variables like age, sex, and race. Health care utilization outcomes including hospital charges, length of hospital stay, number of inpatient procedures, and discharge dispositions were also included in our analysis. The appropriate weights from the NIS database were applied to obtain national estimates. Charges were adjusted for inflation using CPI estimates from the Bureau of Labor Statistics and are reported in 2015 US dollars.

Statistical Analysis

Our NIS database was de-identified, thereby exempting it from our institutional review board. We performed a two-sample Student’s t test to analyze the difference in continuous variables. Categorical variables were analyzed with Chi-square or Fisher’s exact test. p values < 0.05 were considered statistically significant. We conducted our analysis using STATA 14 (StataCorp. 2016. Stata: Release 12, Statistical Software. College Station, TX: StataCorp LP).

COMPLIANCE WITH ETHICAL GUIDELINES

National administrative databases (NADs) like NIS [14] are a common source of data for spine procedures [15]. This database is appealing to investigators because of ease of data access and large patient sample. The NIS database is a de-identified database that consists of a collection of billing and diagnostic codes used by participating hospitals with the goal of quality control, population monitoring, and tracking procedures. The NIS does not require institutional review board (IRB) approval or exempt determination. These diagnostic and procedure codes are designated at the discretion of physicians or hospital billing department rather than by defined clinical or radiographic diagnostic criteria. The NIS dataset does not directly involve “human subjects” as defined by federal regulations and guidance (https://www.hhs.gov/ohrp/regulations-and-policy/regulations/common-rule/index.html). Thus, all procedures performed were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki Declaration and its later amendments or comparable ethical standards.
RESULTS

Between 2011 and 2015, a total number of 115,976 patients with FBSS were identified. Of these patients, about 23,425 had reported co-morbid depression during their inpatient hospital stay. Patients with failed back surgery syndrome were mostly females (mean proportion 52.06, 95% CI 51.3–52.8) with the majority of patients located at an urban location (mean proportion 94.1, 95% CI 92.8–95.2) (Table 1). In addition, our study population consisted of patients within ages 45–64 years old and a predominantly white ethnic group (Table 1).

Overall Trends of Co-morbid Depression

The annual total number of patients with depression fluctuated each year but was relatively stable between years 2012 and 2014 (Fig. 1). The highest prevalence of co-morbid depression in patients with FBSS was recorded in 2015 as 23% while the lowest number of patients with depression was recorded as 20% in 2011. The most dramatic increase in prevalence of co-morbid depression in patients with FBSS over a single year was recorded as 1.7% from 2014 to 2015. Although not statistically significant, the prevalence of co-morbid depression in this population consistently trended upwards throughout the period of the analysis ($p = 0.149$) (Fig. 1).

Table 1 Demographic summary of all patients with failed back surgery

|                     | All patients with FBSS | Co-morbid depression |
|---------------------|------------------------|----------------------|
|                     |                        |                      |
| Gender              |                        |                      |
| Male                | 47.94 (47.2–48.7)      | 42.51 (42.4–42.6)    |
| Female              | 52.06 (51.3–52.8)      | 57.49 (57.4–57.6)    |
|                     |                        |                      |
| Age                 |                        |                      |
| 18–44               | 13.64 (13.1–14.2)      | 13.25 (12.3–14.3)    |
| 45–64               | 45.71 (45–46.5)        | 51.6 (50.1–53.1)     |
| 65–84               | 38 (37.2–38.8)         | 33.41 (32.0–34.9)    |
| > 85                | 2.65 (2.4–2.9)         | 1.73 (1.4–2.2)       |
|                     |                        |                      |
| Race                |                        |                      |
| White               | 83.14 (82.2–84.1)      | 65.91 (65.3–66.5)    |
| Black               | 7.06 (6.6–7.5)         | 14.98 (14.6–15.4)    |
| Hispanic            | 6.37 (5.7–7.1)         | 12.19 (11.7–12.7)    |
| Asian/Pacific Islander | 0.92 (0.8–1.1)     | 2.77 (2.7–2.9)       |
| Native American     | 0.45 (0.4–0.6)         | 0.65 (0.6–0.7)       |
| Other               | 2.05 (1.8–2.4)         | 3.49 (3.3–3.7)       |
| Location            |                        |                      |
| Urban               | 94.12 (92.8–95.2)      | 89.37 (89.0–89.7)    |
| Rural               | 5.88 (4.8–7.2)         | 10.63 (10.3–11.0)    |

Results listed represent the average proportion per year as a percentage with 95% confidence interval.
Depression Trends amongst Different Age Groups, Race, and Gender

Most of the patients with depression were between ages 45 and 64 (51.6%) and 65 and 84 years (33.4%) from 2011 to 2015 (Fig. 2). These age groups had a significantly higher depression rate compared to patients without depression \((p < 0.001)\). Significantly lower depression rates were seen amongst patients with ages over 85 and patients within age groups 18–44 (Table 1). Overall, the highest period prevalence of depression between 2011 and 2015 was observed amongst white patients followed by Native Americans (Fig. 3). This period prevalence was 21.98% and 20.88% for whites and Native Americans, respectively. Native Americans were observed to have the highest depression prevalence in 2011 and 2012. They also had the lowest depression prevalence in 2015 (Fig. 2). Hispanics accounted for the third majority of racial group with depression (19.3%). Amongst white patients, the rate of depression was a significantly higher rate compared to white patients without depression \((p < 0.001)\) (Table 1). Interestingly, other ethnic groups had significantly lower rates of depression compared to similar ethnic groups without depression (Table 1). From 2011 to 2015, the proportion of females with depression was higher compared to males; 25.7% of female patients had co-morbid depression.

![Fig. 1 Trend in prevalence of co-morbid depression among patients hospitalized for failed back syndrome, 2011–2015](image1)

![Fig. 2 Trend of depression prevalence in patients admitted for failed back syndrome by age group, 2011–2015](image2)

![Fig. 3 Trend of co-morbid depression in patients admitted for failed back syndrome by race, 2011–2015](image3)
depression compared to 16.3% of males. Females had a significantly higher rate of co-morbid depression compared to females without depression (Table 1).

Depression Trends and Healthcare Utilization

Length of Stay and Number of Inpatient Procedures

Over the 5-year period, the average length of stay for FBSS patients with co-morbid depression was 4.47 days (95% CI 4.32–4.62 days). The mean LOS was stable from 2011 to 2014, ranging from 4.3 to 4.5 days (Fig. 4). The most dramatic increase in LOS over a single year was from 4.3 days (95% CI 4–4.5 days) in 2014 to 4.8 days (95% CI 4.5–5.1 days) in 2015. The average number of procedures performed on patients with depression, over the 5-year period was 3.79 (95% CI 3.67–3.92). The yearly number of procedures was fairly stable.

Direct Hospital Cost

The mean total hospital cost (mean $89,156, 95% CI $84,821–$93,491) for FBSS patients with co-morbid depression remained relatively stable over the 5-year period from 2011 to 2015 (Fig. 5). The mean total hospital cost in 2015 (mean $94,762; 95% CI $85,955–$103,569) was not significantly different from the mean total hospital cost in 2011 (mean $93,939; 95% CI $80,064–$107,815). The most dramatic increase in mean total hospital cost for FBSS patients with co-morbid depression was from $82,603 (95% CI $75,127–$90,079) in 2012 to $91,824 (95% CI $80,064–$107,815) in 2013. The total

Fig. 4 Trend of average length of stay among patients admitted for failed back syndrome with co-morbid depression, 2011–2015

Fig. 5 Trend of total hospital cost among patients admitted for failed back syndrome with co-morbid depression, 2011–2015
hospital cost was relatively stable over the 5-year period with a dip in 2012.

Discharge Locations
Over the 5-year period, the majority of discharge dispositions for FBSS patients with co-morbid depression were routine. FBSS patients with co-morbid depression were routinely discharged 57.1–62.9% of the time. Less commonly, these patients were either discharged to another facility (not including an acute short-term hospital) or discharged to home with home healthcare (Fig. 6).

DISCUSSION
Our study is the first to investigate the characteristics of co-morbid depression in hospitalized patients with a diagnosis of FBSS. Our analysis demonstrates that the rate of co-morbid depression in hospitalized patients with FBSS increased from 20 to 23% over a 5-year period, between 2011 and 2015. Epidemiologic studies indicate a lifetime prevalence of major depression of around 17% in the general population [16, 17]. The prevalence of depression in patients with chronic back pain has previously been estimated to be 2–3 times greater than in the general population [18]. Depression is also thought to be present in one-third of the patients who ultimately undergo spine surgery, significantly increasing the risk of developing FBSS and persistent chronic pain thereafter [19].

It is not surprising then that the FBSS patient population has traditionally been described as carrying a high rate of co-morbid depression. In 1988, Long et al. reported that 67 out of their 78 (85.8%) patients with FBSS suffered from reactive depression [20]. More recently, the PROCESS trial reported a figure of 50% of FBSS patients suffering from depression. It is important to note that demographic data surrounding the FBSS patient population continues to be thin, which likely explains the wide discrepancy in figures reported across different studies. Similar to other studies, our data suggest that the rates of FBSS in hospitalized patients with depression is higher compared to hospitalized patients without depression. Although our investigation lacks the robustness of a prospective study, it draws from one of the largest patient datasets currently available and shows a stable prevalence rate over a 5-year period.

One aspect that the NIS dataset does not capture is the severity of conditions. It is plausible that although the rates of diagnosed clinical depression in the hospitalized FBSS population are relatively low, the severity of this co-morbidity could presumably be higher as compared to the general, or even hospitalized, populations. Studies assessing the severity of depressive symptoms in the FBSS patient population have generally reported higher scores on inventory indices compared to controls [8, 21]. There is evidence to suggest that psychosocial factors play a vital role in the outcomes of patients undergoing spinal surgery. Depression, in particular, has been associated with poorer surgical outcomes and decreased rehabilitative improvement. In a retrospective cohort of workers compensation subjects, preoperative depression was found to be a significant negative predictor of return to work following fusion [12]. Similarly, in patients undergoing back surgery for chronic pain, depression was found to be associated with increased postoperative pain, increased severity of symptoms, decreased walking capacity, and less overall satisfaction with their outcomes [22–25]. Based on our analysis and others', it follows that there may exist a relatively smaller subset of patients with FBSS and severe co-
morbid depression, which may benefit from more aggressive psychiatric therapy compared to FBSS patients without depression.

The treatment and management of low back pain creates a tremendous economic burden in the United States, estimated to range from 19.6 to 118.8 billion in total cost [26]. Furthermore, in a retrospective analysis of 211,551 patients comparing their individual direct costs, patients who underwent surgery for low back pain incurred $33,931 compared to nonsurgical patients with low back pain who incurred $7211 [27]. Interestingly, the length of hospital stay and direct hospital cost in the subset of patients we studied trended upwards during our analysis period. This is despite the fact that the rates of our studied conditions remained relatively stable during the study period. Since the number of procedures performed was also relatively stable, it is possible that the LOS is the primary driver of expenditures in this population in hospital settings. This could be due to a host of reasons, including but not limited to increasing severity of other co-morbidities, increasing age, increasing severity of neuropathic pain secondary to FBSS, etc. Additional studies are clearly needed to further delineate the factors underlying the rise in LOS and cost in the FBSS population.

In terms of demographics, amongst patients with FBSS, female gender, higher age, obesity, number of spine surgeries, multiple fused spinal levels, smoking status, and high usage of analgesics negatively influence recovery [28, 29]. Most of these factors have been implicated in the etiology of depression in this patient population as well. Our findings suggest a preponderance of co-morbid depression in FBSS in the older female Caucasian group, with the highest rates found in white females aged 45–64. More data confirming these demographic trends could be helpful in stratifying at-risk patients both pre- and post-surgery to help improve both short-term recovery and long-term outcomes following spine surgery.

There are several limitations to our study. First, as noted earlier, the NIS database does not contain variables that can evaluate disease severity. This information, such as the severity of depression and its relationship to worsening chronic pain and other psychopathologies, was not available for analysis. Second, the NIS database does not include detailed co-morbid depression-related cost information and only reports total hospital charges. This unfortunately makes specific cost analysis impossible, including ICU admission cost, cost of administered anesthesia, procedure room charges, and postoperative charges. Third, healthcare utilization outcomes available for analysis in this study may not be directly related to co-morbid depression. They could be influenced by multiple factors such as payer status and social factors. Fourth, the NIS database is highly dependent on the selection and reported accuracy of the appropriate diagnostic ICD codes [30]. For example, the rise in co-morbid depression may reflect coding artifact or may result from the implementation and rapid adoption of new ICD-9 codes.

CONCLUSIONS

The occurrence of co-morbid depression in hospitalized patients with FBSS is estimated to have increased from 20 to 23% and this prevalence is higher than the general population. The direct hospital costs and length of stay remained relatively stable, however, the number of inpatient procedures performed trended upwards. The exact etiology for this increase in depression prevalence is unknown. Additional studies are needed to shed further insight into the demographic trends and the cost make-up underlying care of patients with co-morbid depression and FBSS.

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**Author contributions.** All authors critically revised the manuscript, interpreted the results, and performed a critical review of the manuscript for intellectual content.

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**Compliance with ethics guidelines.** The NIS dataset does not directly involve “human subjects” as defined by federal regulations and guidance (https://www.hhs.gov/ohrp/regulations-and-policy/regulations/common-rule/index.html). Thus, all procedures performed were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki Declaration and its later amendments or comparable ethical standards.

**Data availability.** The dataset analyzed during the current study are available in the NIS repository by the Agency for Healthcare Research and Quality, http://www.hcup-us.ahrq.gov.

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