A Fast Method of Visually Lossless Compression of Dental Images

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Abstract: A noniterative approach to the problem of visually lossless compression of dental images is proposed for an image coder based on the discrete cosine transform (DCT) and partition scheme optimization. This approach considers the following peculiarities of the problem. It is necessary to carry out lossy compression of dental images to achieve large compression ratios (CRs). Since dental images are viewed and analyzed by specialists, it is important to preserve useful diagnostic information preventing appearance of any visible artifacts due to lossy compression. At last, dental images may contain noise having complex statistical and spectral properties. In this paper, we have analyzed and utilized dependences of three quality metrics (Peak signal-to-noise ratio, PSNR; peak Signal-to-Noise Ratio using Human Visual System and Masking (PSNR-HVS-M); and feature similarity, FSIM) on the quantization step (QS), which controls a compression ratio for the so-called advanced DCT coder (ADCTC). The threshold values of distortion visibility for these metrics have been considered. Finally, the recent results on detectable changes in noise intensity have been incorporated in the QS setting. A visual comparison of original and compressed images allows to conclude that the introduced distortions are practically undetectable for the proposed approach; meanwhile, the provided CR lies within the interval.

Keywords: dental image; visually lossless compression; fast processing

1. Introduction

Image processing has found numerous applications in multimedia and smart education [1–3], remote sensing and non-destructive control [4–6], etc. It is widely used in various medical applications [7–9]. Medical images acquired by different types of devices are applied in diagnostics and control in numerous branches of medicine. One of them is in dentistry [10,11], for which imaging systems and acquired images have specific peculiarities.

The most modern imaging systems produce dental images of large size [12,13]. This causes a problem for their storage if the number of daily or monthly acquired images is very large [14], and in image transmission via communication channels in telemedicine [15]. Therefore, it is desirable to use image compression to reduce data size for storing, handling and transmitting content [14–17]. There are two types of image compression algorithms—lossless and lossy compression [18,19]. It has been well understood [19,20] that lossless (reversible) compression provides a small compression ratio (CR) that often does not meet the requirements of the storage and/or transmission of medical data; and the use of lossy compression can be, in general, acceptable in practice but only under specific conditions. The main condition is that distortions introduced by a lossy compression should not reduce a diagnostic value of an image (i.e., distortions should be “invisible” and/or no artifacts...
should be introduced due to compression). Other conditions relate to the computation efficiency and security. Compression and decompression should be fast; sometimes standard coders have to be used [20], whilst the use of non-standard coders can provide an extra security of compressed data.

The main problem in the modern lossy compression is to provide a reasonable trade-off between CR (in bitrate, bits per pixel—bpp) and image quality (characterized by a metric’s value). With an application to medical images (e.g., dental images), this problem can be solved in different ways:

1. Setting a recommended or maximal CR for the considered type of images [19–22] for a given compression method. This practically guarantees invisibility of distortions but makes it impossible to adapt to image/noise properties and thus increase CR when it is possible;
2. Controlling a quality of an image subject to compression and reaching a possible limit [20]. In this case, there are two bottlenecks. One is that an adequate metric should be chosen, and the corresponding distortion invisibility threshold must be known in advance. The standard peak signal-to-noise ratio (PSNR) is known to be not always a reliable metric from a human visual system point of view, a choice of other visual quality metrics can be a question alongside threshold selection [23]. Thus, a compression can become iterative for finding a proper parameter that controls compression (PCC), for example, quality factor for JPEG, bitrate for JPEG2000 or Set partitioning in hierarchical trees (SPIHT), quantization step (QS) for discrete cosine transform (DCT)-based coders [24–27], etc. Another bottleneck is that such a compression might need extensive computational and time expenses, which is undesirable. Thus, fast procedures for providing invisibility of distortions and high CR are needed.

Medical images, in general, and dental images, in particular, can be corrupted by a noise [28–30], which can be seen well in the visualized data. Noise properties depend on many factors and, in general, are not purely additive and white [29–31]. Moreover, a lossy image compression had several peculiarities discovered in [32,33] and was considered later in the literature [34,35]. A main peculiarity is a specific noise filtering effect that appears due to a lossy compression. This effect is mostly positive but only if low contrast informative details are not removed or considerably smeared. Thus, noise properties in the case of compressing noisy images must be considered in the coder’s PCC setting.

Considering all the aforementioned features of the considered problem of lossy compression of dental images avoiding an appearance of visible artifacts (without losing diagnostically important information), we analyze a possibility of applying an advanced DCT coder (ADCT) codec (ADCTC) [36] within the fast and effective procedure. The interest in ADCTC is explained by the following factors: (a) This compression technique allows adapting to the image content and; thus, providing better performance characteristics than JPEG, JPEG2000, SPIHT and other coders [24]; (b) since this compression method is not standardized, a certain degree of security (privacy) of compressed data can be ensured. The goal of this paper is to find such a PCC value for ADCTC that guarantees invisibility of introduced distortions and fast compression due to the absence of iterations.

The rest of the paper is organized as follows. Section 2 gives some assumptions on image/noise properties of dental images. In Section 3, the basic dependences of three image quality metrics—PSNR, Peak Signal-to-Noise Ratio using Human Visual System and Masking (PSNR-HVS-M) and feature similarity (FSIM)—on compression ratio (CR) for ADCTC, JPEG2000 and SPIHT are given. Section 4 investigates threshold values of distortion invisibility for ADCTC and JPEG2000 image coders. A verification of selected quantization steps for image coders on real clinical data is provided in Section 5. Finally, conclusions are given in Section 6.
2. Some Assumptions on Image/Noise Properties

The dental images acquired by the modern imaging systems are large in size, at least one megapixel data [12,13,31]. In particular, this relates to the images acquired by the system Morita, panoramic X-ray (Veraviewepocs 3D R100 J) [37]. Such images usually have a square (in general, rectangular) shape where the ratio of dimensions depends on imaging system and on its operation mode. Meanwhile, one may need to compress an image fragment in a region of interest that can be square or rectangular and of different context. Examples of such fragments are given in Figure 1.

There is a noise present in dental images, as one can see from these fragments, that might partly mask important diagnostic information. This noise arises due to a limited time of imaging the object, and properties of noise are complex [29,31,38]. In the simplest case, one can model noise in dental images as Poisson-like signal dependent [29]. However, more detailed analysis shows that it is, in general, signal dependent but has more complex nature. It has a signal-independent (SI) component and a signal-dependent (SD) component, variance of which can be proportional to a signal intensity [31]. For example, a variance can be expressed as

\[ \sigma^2 = \sigma^2_{\text{mu}} \cdot I_{ij}^2 + k \cdot I_{ij} + \sigma^2_{\text{a}} \]  

(Model 3), where \( I_{ij} \) is a true intensity value of an ij-th image pixel (\( i=1,N \), \( j=1,M \), \( N \) and \( M \) denote vertical and horizontal image sizes, respectively); \( \sigma^2_{\text{mu}} \) is an estimate of multiplicative noise relative variance; \( \sigma^2_{\text{a}} \) is an estimate of additive noise variance; \( k \) is a quasi-Poisson noise parameter. Experiments in [31] show that the simple models \( \sigma^2 = \sigma^2_{\text{mu}} \cdot I_{ij}^2 + \sigma^2_{\text{a}} \) (Model 1) and \( \sigma^2 = k \cdot I_{ij} + \sigma^2_{\text{a}} \) (Model 2) often fit real data better than the complex general model given above.
Experiments carried out with Morita system show that an equivalent noise variance for a most general model depends on both image and noise properties and is approximately equal to

\[
\hat{\sigma}_{\text{eq}}^2 = \hat{\sigma}_a^2 + \hat{\sigma}_\mu^2 \sum_{i=1}^{N} \sum_{j=1}^{M} I_{ij}^2 / NM + \hat{k} \sum_{i=1}^{N} \sum_{j=1}^{M} I_{ij} / NM \tag{1}
\]

Figure 1c presents the scatterplot of local variance estimates on local mean estimates for the fragment in Figure 1a. These estimates have been obtained only in locally passive (quasi-homogeneous) blocks of this fragment—the corresponding map of block locations is given in Figure 1d, the curves for all three models are fitted (Figure 1c) and it is seen that Models 1 and 3 provide almost the same approximations that are considerably better than for Model 2 (it is not a problem to determine the best fit, see [31] for more details). The determined parameters for Model 1 for the fragment in Figure 1c are the following: \(\hat{\sigma}_\mu^2 = 0.0020\), \(\hat{\sigma}_a^2 = 2.27\) and equivalent variance is, respectively, equal to 15.7. Similar operations give \(\hat{\sigma}_{\text{eq}}^2 = 80.7\) for the fragment in Figure 1b (\(\hat{\sigma}_\mu^2 = 0.0043\), \(\hat{\sigma}_a^2 = 37.49\)).

Note that the noise characteristics for Morita system dental images have been analyzed for two modes of its operation. For one mode, \(\hat{\sigma}_{\text{eq}}^2\) varies in the range 5–20 for images of low mean intensity (Figure 1a) and in the range 50–70 for images with a high mean intensity. For another mode, \(\hat{\sigma}_{\text{eq}}^2\) is about 30 for images of low mean intensity and it is in the range 60–200 for images with low and high mean intensity (see the fragment in Figure 1b). In all cases, noise is seen well in the visualized data.

A detailed analysis carried out in [31] has also shown that a noise is spatially correlated where spectral properties depend on imaging mode, and there can be clipping artifacts. Note that all aforementioned properties and effects are partly explained by a specific nonlinear transformation carried out in imaging devices with the purpose of better visualization.

### 3. Basic Dependences

We use ADCTC codec in this paper (more information on this coder can be found at [https://ponomarenko.info/adct.htm](https://ponomarenko.info/adct.htm)). The main peculiarity of this coder is that it employs partition schemes (i.e., a variable size DCT is carried out in image blocks). In addition, coding of numbers of significant bits, context modeling and coding of signs of DCT coefficients are applied. Figure 2 gives an illustration of ADCTC partition schemes. The maximal block size is 64 \(\times\) 64 pixels, the minimal size is 8 \(\times\) 8 pixels, and all blocks have rectangular shape with sides equal to the power of two. A larger block is divided into two smaller ones based on the entropy analysis (e.g., when the block contains heterogeneous parts). The ADCTC has the following peculiarities:

1. Fast DCT algorithms can be used in the coder;
2. Coding of partition scheme is fast, and the obtained partition scheme occupies considerably less space than the coded quantized DCT coefficients;
3. A specific adaptation to the image content takes place and this results in better compression. Note that the partition scheme also depends on QS (partition schemes in Figure 2 are shown for two values of QS).
ADCTC outperforms many compression techniques, even including those proposed recently [24]. The corresponding comparisons have been made earlier using standard optical test images. Here we show that this is also valid for dental images.

Recall that a quantization step serves for ADCTC, as PCC and larger QS leads to a larger CR and, simultaneously, increases the level of introduced distortions (which means worse compressed image quality). The performance of a compression technique is usually compared using rate/distortion curves for a set of test images in terms of an image quality metric characterizing decompressed image quality. Most often researchers have used two metrics—mean square error (MSE) of introduced distortions and peak signal-to-noise ratio (PSNR) strictly related to it. During the last decade, unconventional visual quality metrics have been widely used. One such image quality metric is PSNR-HVS-M, which is a generalization of PSNR:

$$\text{PSNR} - \text{HVS} - \text{M} = 10 \log_{10} \left( \frac{255^2}{\text{MSE}_{\text{HVS-M}}} \right)$$  \hspace{1cm} (2)

where MSE_{HVS-M} is a specific MSE determined in the DCT domain using two important features of HVS: (a) The fact that distortions in low spatial frequencies can be more easily detected visually than distortions in high spatial frequencies; (b) the masking effect (i.e., ability of textures, edges and details to mask distortions). MSE_{HVS-M} for an entire image is calculated as a mean of local estimates MSE_{HVS-M}(n), n = 1, ..., N, where n is a block index and N denotes the total number of analyzed blocks of size 8 × 8 pixels. Local values of MSE_{HVS-M}(n) are calculated in the DCT domain using weights that use the JPEG quantization table, which is based on the contrast sensitivity function. In addition, an existence of the masking effect is verified for every block and employed in the calculation of MSE_{HVS-M}(n). More details can be found in http://ponomarenko.info/psnrhvs.htm.

Note that both PSNR and PSNR-HVS-M are expressed in dB (Formula (2) relates to 8-bit representation of images). Smaller values of both metrics, in general, correspond to worse quality. PSNR at the level of 36 dB and PSNR-HVS-M around 41 dB can be considered as empirically found thresholds of distortion invisibility [23].

Dependences of PSNR and PSNR-HVS-M on CR for the fragments in Figure 1 are presented in Figure 3 for ADCTC, JPEG2000 and SPIHT [25]. Analysis of these curves shows the following:

1. ADCTC provides sufficiently better results than both JPEG2000 and SPIHT: Larger PSNR and PSNR-HVS-M values for CR in the range of interest for both test images;
These dependences can be treated in another way—a larger CR is provided for a given quality (fixed values of PSNR or PSNR-HVS-M); the benefit in CR can reach 20%.

Figure 3. Cont.
Figure 3. Dependences of Peak signal-to-noise ratio (PSNR) \((a, b)\) and Peak Signal-to-Noise Ratio using Human Visual System and Masking (PSNR-HVS-M) \((c, d)\) on compression ratio \((CR)\) for complex structure \((a, c)\) and simple structure \((b, d)\) images.

One can argue that ADCTC uses DCT and the metric PSNR-HVS-M also employs DCT. Due to this, a comparison of ADCTC with JPEG2000 and SPIHT coders, both based on wavelets, in terms of PSNR-HVS-M might not seem fair. Because of this, we also carried out a similar analysis using the feature similarity (FSIM) index [39], which is a low-level feature-based image quality assessment metric. The basic principle of FSIM is that human vision perceives images based on their salient low-level features. Two types of features are employed, the phase congruency and the gradient magnitude. The phase congruency is used to weight the contribution of each point to the similarity of distorted and reference images. FSIM values vary from 0 to 1, where the latter one corresponds to the perfect quality.

The dependences are given in Figure 4 and their analysis confirms that the performance of ADCTC is superior according to the metric FSIM as well.

Figure 4. Cont.
Figure 4. Dependences of A Feature Similarity Index (FSIM) on compression ratio (CR) for simple structure (a) and complex structure (b) image fragments.

As it was mentioned above, larger QS values correspond to larger compression ratios. To demonstrate this, we show the plots obtained for integer values of QS varying in the limits from 1 to 40 for twenty 512 × 512 image fragments of different complexity (Figure 5a). All dependences (CR on QS for a given image fragment) are monotonous. Meanwhile, for a given QS, CR values vary in the wide limits depending on image fragment context. For example, for QS = 10, CR varies from 6 to 22, whilst, for QS = 20, CR varies from 12 to 78. That is, CR can differ by one order or even more.

Figure 5. Dependences of compression ratio (CR) (a) and Peak signal-to-noise ratio (PSNR) (b) on quantization step (QS) for many image fragments for ADCTC.
PSNR decreases monotonously if QS increases (Figure 4b). If QS \( \leq 5 \), PSNR values for the same QS are almost the same for all fragments. Meanwhile, for larger QS, PSNR values for the same QS can vary a lot. For example, for QS = 20, PSNR values are in the limit of 34 to 40 dB (i.e., they vary in wide limits).

This means that setting a given QS leads to a wide variation of CR and PSNR if QS is large. In other words, by setting some QS it is not easy to provide a desired quality of compressed images, at least, according to the metric PSNR and for large QS. For example, QS = 20 leads to invisibility of distortions for some images and their visibility for other images (note that, according to [23], distortions are, with high probability, invisible if PSNR > 36 dB).

4. Invisibility Threshold and Compression

There are several approaches to visually lossless compression of images. For the case of noise-free or near noise-free images, one of the main approaches is presented in [40], according to which:

1. One must choose an adequate metric that characterizes well a visual quality;
2. In addition, a distortion invisibility threshold for the chosen metric must be a priori known or determined;
3. Finally, a way to provide this threshold value for the chosen metric at image compression stage must be found and the corresponding algorithm needs to be fast enough.

Since there are numerous visual quality metrics [41], it is difficult to choose the best among them. Meanwhile, for the grayscale images considered here, we decided to analyze two visual quality metrics mentioned above—PSNR-HVS-M and FSIM, for the following reasons. These metrics have rank correlations with mean opinion scores for many image databases [23,41] among the largest for the known metrics. For these metrics, there exists threshold values of distortion invisibility [23]. These metrics are based on DCT and wavelets; thus, if the conclusions drawn from the analysis of these metrics are in a good agreement, then one can be confident that these conclusions are correct with a high probability.

What concerns the visibility thresholds are: Experimental data presented in the paper [23] show that distortions are practically invisible when the PSNR-HVS-M value is above 41 dB or FSIM > 0.99. One additional condition is that distortions shall be “uniformly” distributed across a compressed image.

Finally, efficient noniterative methods to provide a desired visual quality of compressed images have been proposed recently [31,42]. This is important for ADCTC, since it is computationally more demanding than JPEG due to a partition scheme optimization and use of DCTs of different sizes. Note that by “fast method” with application to ADCTC, we mean an efficient noniterative compression method.

Let us consider the dependences of PSNR-HVS-M and FSIM on QS. They are presented for image fragments in Figure 6. As one can see from this figure, curve points are placed compactly. It is practically guaranteed that PSNR-HVS-M > 40 dB and FSIM > 0.99 if QS \( \leq 12 \). The exceptions are image fragments with very simple structure. This can be supported also by other results. In [31] it was found that \( \text{MSE}_{\text{HVS-M}} = 0.02896 \cdot \text{QS}^{1.976} \). This expression was obtained for a different coder, but it is also approximately valid for ADCTC. Then one has \( QS_{\text{des}} \approx \text{MSE}_{\text{HVS-M des}} / (0.02896)^{1/2} \), where \( \text{MSE}_{\text{HVS-M des}} \) is the desired MSE of HVS-M (for PSNR-HVS-M about 41 dB it corresponds to 5) one gets \( QS_{\text{des}} \approx 13 \).
We have already discussed a noise filtering effect if a lossy compression is applied to a noisy image. Recent study shows that people practically do not detect changes in a noise level if these changes are one order smaller than the level of an original noise \[43\] (i.e., in our case, if the introduced losses have to be by one order smaller than noise \(\hat{\sigma}^2_{eq}\) in original images). Then, for \(\hat{\sigma}^2_{eq}\) value around 50, one needs to provide PSNR at the level of 40 dB. This happens if QS is around 11 (see data in Figure 5b). Thus, we can generally set QS to 12. In the next Section, we verify this conclusion for image fragments. But first, let us compare the performance of the proposed method to other approaches.

Coder efficiency can be analyzed and compared in many ways. In our case, we would like a lossy compression method to guarantee a lossy compression with invisible distortions in one iteration (i.e., to be fast) and with high values of CR. ADCTC with a selected QS = 12 was applied to the set of dental image fragments. Then, mean PSNR-HVS-M (PHVSM\(_{mean}\)) and root mean square (RMSE\(_{PHVSM}\)) of its deviation were determined. Similarly, mean CR (CR\(_{mean}\)) and root mean square (RMSE\(_{CR}\)) were calculated: PHVSM\(_{mean}\) = 42.5 dB, RMSE\(_{PHVSM}\) = 1.42 (PSNR-HVS-M values varied from 40.5 to 45.6 dB (i.e., it was practically guaranteed that introduced distortions are invisible), CR\(_{mean}\) = 12.82, RMSE\(_{CR}\) = 5.57 (CR varied from 7.5 to 20.6 depending on image complexity).

For a fair comparison, we set such a CR for JPEG2000 that PHVSM\(_{mean}\) = 42.5 dB. This CR occurred to be equal to 6.5 (i.e., considerably smaller than CR\(_{mean}\) = 12.82). For JPEG2000, RMSE\(_{PHVSM}\) = 1.76 (PSNR-HVS-M values varied from 40.5 to 46.9 dB), that is, a method based on JPEG2000 with the fixed CR or bitrate produces smaller CR and worse accuracy in providing the desired visual quality characterized by PSNR-HVS-M. Note, that the accuracy for JPEG2000 can be still improved if the iterative compression procedure is applied; however, the same can be done also for ADCTC.
5. Verification of Selected QS

A clinical part of the work was performed at the University Dental Center, Kharkiv National Medical University, Pediatric Dentistry and Implantology Department. All procedures managed in the dental office were performed according to the protocols for providing dental care to the population in Ukraine. The standard procedure included interviewing a patient (if a child, with a parent), clinical examination with standard equipment and indication of X-ray examination (panoramic X-ray, lateral cephalography, if needed). The decision of X-ray type was made by a dental specialist—orthodontist or pedodontist, or both of them. The X-ray was necessary for the statement of a correct diagnose and for making an adequate treatment planning.

After the clinical examination, a patient visited the diagnostic X-ray laboratory, where he/she performed X-ray examination (The Veraview X800, Morita, Japan) according to the indication list. Totally, 140 X-ray images were taken for testing, including 95 panoramic X-ray and 45 lateral cephalography data. All these images were used as the necessary step of treatment planning. The main purpose of X-ray diagnostics was to detect dental pathology, such as dental caries, periodontal diseases, impacted wisdom teeth and as an assessment for the placement of dental implant, orthodontic pre- and post-operative assessment of occlusion, temporomandibular joint dysfunctions and other possible dental pathology.

The results of X-ray examination are usually sent to a dentist by e-mail. The image identification, such as the name and sex, do not accompany the radiograph to protect patient’s privacy. The file format of the radiographs is usually JPG or DICOM and they are sent by email as an attachment.

To ensure an efficient evaluation, dental specialists who have been working at the University Dental Center for three years or more, evaluated the clinical images. Before starting this study, seven dental specialists (orthodontists and pedodontists) were trained to evaluate X-ray images, and the objective criteria for the qualitative evaluation of the images were discussed. All dental specialists (evaluators) classified the overall quality of X-ray images into the following grades: 1. Optimal for diagnosis, 2. adequate for diagnosis, 3. poor but diagnosable, and 4. unrecognizable, not enough for diagnosis (the classification was based on the Clinical Image Quality Evaluation Chart, https://www.researchgate.net/publication/232257582_Clinical_image_quality_evaluation_for_panoramic_radiography_in_Korean_dental_clinics). The monitors that were used by evaluators were the following: (1) Monitor of laptop ASUS (15.6′, 1920 × 1080, Full HD, IPS), (2) monitor of IPhone XR, (3) monitor of IPad.

After receiving X-ray images, the doctors evaluated images visually using computer monitor or smartphone, using maximum zooming of images. The first stage of evaluation—dental specialists analyzed images anonymously, without information about the type of image—“original” or “compressed”, according to a set qualification criteria. The second stage was the detailed analysis of the known compressed and original images and evaluation according to the qualification criteria.

At the first stage of anonymous image evaluation, according to this classification, 89 images were deemed “optimal for obtaining diagnosis”, 38 were “adequate for diagnosis”, 13 were “poor but diagnosable”, no images were “unrecognizable”. At the second step, after comparing “original” and “compressed” images, the same result was obtained. According to the grades of evaluation, all the images were referred to the same groups. Visually, in most cases, no differences were detected (see an example in Figure 7). Even in the cases when minor changes were detected (less than 3% of all cases), they were not related to degradation of any useful diagnostic information or artifacts (some noise suppression has been noticed). Thus, the obtained results showed no differences that should influence diagnose statement, and compressed images were qualified the same as the original according to the Clinical Image Quality Evaluation Chart and dental specialists’ evaluation.
If original images have representation with more than 8 bits, the recommended QS can be calculated as $D/20$, where $D$ is the dynamic range of data representation. Note that the provided CR were by about one order larger than CR for lossless compression (ZIP provides CR varying in the range from 1.5 to 2.1).

6. Conclusions

The problem of efficient noniterative visually lossless compression of dental images was considered. It was shown that this problem can be solved by applying ADCTC with the proposed setting of QS. After setting QS, no extra iterations for the compression scheme were needed and invisibility of the introduced distortions was practically guaranteed. This was validated according to the objective visual quality metrics that highly correlate with MOS, confirmed by visual examples and experiments carried out by the group of dentists. No introduced artifacts and/or losses of diagnostically valuable information were detected. Meanwhile, high values of CR (from 7.5 to 20.6 depending on image context) were provided.

In the future, we plan to consider other coders for this study.
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