Dual Negative Differential of Heat Generation in a Strongly Correlated Quantum Dot Side-Coupled to Majorana Bound States

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We study theoretically the properties of local heat originated from energy exchange between electrons passing through a quantum dot (QD) coupled to a phonon bath. The dot is sandwiched between two normal metal leads and also side-coupled to Majorana bound states (MBSs) formed at opposite ends of a topological superconductor nanowire. We find that in addition to the negative differential of heat generation (NDHG) in the Coulomb blockade regime, another NDHG emerges near the leads’ Fermi level due to the dot-MBS coupling. This dual NDHG effect is robust against the variation of intradot Coulomb interaction strength, and disappears if the QD is coupled to regular Fermions. Direct hybridization between the MBSs reduces their impacts on the electronic transport processes, and eliminates the dual NDHG effect. Our results show that the dual NDHG effect is quite efficient for inferring the existence of MBSs, and may remedy some limitations of the detection schemes relying on tunneling spectroscopy technique.

Keywords: heat current, electron-phonon interaction, majorana fermions, quantum dot, negative differential heat generation

1 INTRODUCTION

Majorana bound states (MBSs) are zero energy quasi-particles of Majorana fermions typically formed in low-dimensional topological superconductors [1, 2]. They obey non-Abelian statistics and can serve as anyons whose braiding can be used for constructing elementary logic gates for quantum computation [3–6]. Such a kind of logic gate depends only on the topology of the braiding path, and then small imperfections in the braiding are tolerable on the condition that the manipulations are topologically equivalent. Correspondingly, MBSs have been extensively investigated in the past decades as a prominent candidate for fault-tolerant topological quantum computation [7]. In addition, the MBSs are also promising in spintronics and thermoelectric effects. For example, the MBSs will strengthen the intrinsic $\pi$ phase difference between spin-triplet pairings in Josephson junction [8]. Such a $\pi$-phase shift is demonstrated to induce a spin-dependent superconducting phase, i.e., spin-phase that is adjustable with the help of electric gates and the coupling energy between MBSs. This effect suggests an all-electrical spin control scheme and can also be used to manipulate and detect the MBSs [8]. If the electron-hole symmetry property of the MBSs is broken (e.g. by side-coupling one mode of the MBSs to a quantum dot (QD)) [9], the sign of the thermopower, which measures the generated bias voltage in response to a temperature difference applied at different ends of the system, can be reversed by changing the hybridization amplitude between the QD and the MBS [10, 11], or direct coupling between the MBSs [12, 13].
Moreover, the magnitude of the thermopower or thermoelectric efficiency can be significantly enhanced due to the existence of the MBs [12, 13]. These results are useful in designing thermoelectric devices or detecting MBs.

Experimentally, topological superconductors have been successfully realized in heterostructures comprised of a one-dimensional semiconductor nanowire having strong Rashba spin-orbit interaction and a proximitizing s-wave superconductor [1, 2, 14, 15]. With the help of a strong external magnetic field, the phase of the heterostructure can be driven into topological superconductor one and thus enable the formation of MBs at opposite ends of the nanowire. As for the detection of MBs, the most efficient scheme is the tunneling spectroscopy in Majorana nanowires sandwiched between normal metal leads. The MBs will manifest itself by a zero-bias anomalous conductance peak [15]. Since this anomalous peak in the electric conductance may also originate from other reasons, such as the Kondo effect, anti-localization and subgap states, some other detection schemes for the MBs were then continuingly put forward. For example, signatures of MBs can be inferred from the sign change or abnormal enhancement of the thermopower as was indicated above [9–13]. The existence of the MBs can be deduced by measuring the Majorana entropy of an initial equilibrium state of the system [19]. Generally, the Majorana entropy will be ruined even when the transport amplitude of one mode of the MBs is significantly blocked, which can be directly measured in experiments. To avoid the above general issues, Smirnov very recently proposed to adjust the tunneling phases of one mode of the MBs to bring out the universal Majorana plateau. Meanwhile, an experimental scheme that is realizable within present techniques was also proposed to measure entropy of the MBs [19]. Signatures induced by MBs may also be deduced from transport phenomena such as the abnormal changes of the shot noise, quantum noise, thermoelectric noise, sign change of the tunnel magnetoresistance, splitting of the photon-assisted subbands, etc.

In fact, due to the unique charge neutral and zero energy properties, the above detection schemes still come with great difficulties and it is not sure if the existence of the MBs can be completely determined. Recently, impacts of electron-phonon interaction on the MBs-assisted transport have been studied [28, 29]. This is triggered by two motivations: one is that the electron-phonon interaction will induce significant decoherence effects that will change the transport behaviors and roles in quantum computation [30]; the other is that the phonons will play important roles in Majorana-induced Andreev reflection processes [28]. With continuing improvement of nanofabrication techniques, the length of the device becomes smaller than that of electron-phonon scattering, and thus the electron-phonon interaction is the main cause of heat generation by electrical current [30–34]. With increasing integration density on a chip, the issue of generated heat becomes more and more vital [35]. If the waste heat cannot be removed as quickly as possible, the chips may not function properly. As was demonstrated by Sun et al. [31, 32], the behaviors of the heat generation in nanodevices are quite different from those in the usual macroscopic ones. The Joule heating law $Q = JV$ for the local heat power density, where $J$ is current density and $V$ is the bias voltage applied across the system, is violated. Electrons transporting through a QD may absorb energy from a phonon bath attached to it even at zero temperature [36]. In the presence of intradot Coulomb interaction, the magnitude of heat generation may be quite small even under a large current [32]. In the Coulomb blockade regime, the heat generation decreases although the current’s amplitude is monotonously enhanced for increasing bias voltage, a phenomenon was named as negative differential of the heat generation (NDHG) [32]. The NDHG is very similar to the negative differential conductance effect unique in nanodevices, and is expected to play an important role in phonon engineering subjects. In our previous work, MBs-mediated heat generation by electrical current in a QD without Coulomb interaction was investigated [37]. It was found that the magnitude of the heat generation and electrical current is sensitive to the existence of MBs. Under some conditions, the heat generation and electrical current can be individually suppressed and enhanced by changing the dot-MBs or MBs-MB couplings, which is ideal for energy-saving instruments. In the present manuscript, we revisit the problem of heat generation by taking the intradot Coulomb interaction into consideration. We find that the MBs will induce another NDHG in addition to that in the absence of MBs, which is named as dual NDHG that can be used for inferring the existence of the MBs.

## 2 MODEL AND METHODS

The system under investigation can be described by the following Hamiltonian ($\hbar = 1$) [28, 29, 31, 32, 37].

$$H = \sum_{k,\beta,\sigma} \varepsilon_{k\beta,\sigma} c_{k\beta,\sigma}^\dagger c_{k\beta,\sigma} + [\varepsilon_d + \lambda_1 (a^\dagger + a)] \sum_\sigma d^\dagger_\sigma d_\sigma + Ud_1^\dagger d_1^\dagger + \omega_q a^\dagger a + \sum_{k,\beta,\sigma} (t_{k\beta,\sigma} c_{k\beta,\sigma}^\dagger d_\sigma + H.c) + H_{\text{MBS}}$$

(1)

where the first term in the right side of Eq. 1 describes the $\beta$-th $(\beta = L/R)$ lead with $c_{k\beta,\sigma}^\dagger, (c_{k\beta,\sigma})$ being the electron creation (annihilation) operator having momentum $k$, energy $\varepsilon_{k\beta,\sigma}$ and spin $\sigma$. The second term is for electrons on the QD and their interaction to the phonon with strength $\lambda_q$. The operator $d_{\sigma}^\dagger (d_{\sigma})$ creates (annihilates) an electron having quantized single energy level $\varepsilon_{k}\sigma a^\dagger (a)$ for the creation (annihilation) operator of a phonon with frequency $\omega_q$. The third term in the right side of Eq. 1 is for Coulomb interaction between electrons on the QD, and the fourth term denotes the single phonon mode. The fifth term describes overlapping between the QD and the leads with $t_{k\beta,\sigma}$ being the matrix element. The last term in Eq. 1 is for the MBs and their coupling to the QD, $[15, 37–39]$

$$H_{\text{MBS}} = i\delta_{\dot{\eta}_1,\eta_2} + \lambda \sum_\sigma (d_{\sigma} - d_{\sigma}^\dagger) \eta_{\sigma},$$

(2)

where the operator $\eta_{1/2}$ denotes the two modes of the MBs located at opposite ends of the nanowire. In the present paper, we consider that the QD is only coupled to one mode of the MBs.
with coupling amplitude $\lambda$. The quantity $\varepsilon_M$ is the overlap strength between the MBSSs. The Majorana operators follow $[\eta_{\alpha}, \eta_{\beta}]=2\theta_{\alpha\beta}$ and $\eta_{\alpha}=\eta_{\alpha}^\dagger$. According to previous work, we replace the Majorana operators by the regular fermion via $[\eta_{\alpha}, \eta_{\beta}]=\delta_{\alpha\beta}$ and $\eta_{\alpha}=-i\eta_{\alpha}^\dagger$. The Hamiltonian $H_{\text{MBSS}}$ then is given by:

$$H_{\text{MBSS}}=\varepsilon_M f^\dagger f \frac{1}{2} + \sum_{\alpha} \left( \eta_{\alpha}^\dagger \eta_{\alpha} + \eta_{\alpha} \eta_{\alpha}^\dagger \right) f^\dagger f \right).$$

To decouple the electron-phonon interaction in Eq. 1, we next perform a canonical transformation, $X=\exp\left[\theta_{\alpha\beta}(\eta_{\alpha}^\dagger - \eta_{\beta})\sum_{\alpha} \eta_{\alpha}^\dagger \eta_{\alpha}\right]$, and then Eq. 1 becomes:

$$H=\sum_{k\lambda}\varepsilon_{k\lambda}\eta_{k\lambda}^\dagger \eta_{k\lambda} + \varepsilon_0 \sum_{\alpha} \left( \eta_{\alpha}^\dagger \eta_{\alpha} + \eta_{\alpha} \eta_{\alpha}^\dagger \right) + \frac{1}{2} \sum_{\alpha, \beta} \left( \eta_{\alpha}^\dagger \eta_{\alpha} \eta_{\beta}^\dagger \eta_{\beta} \right),$$

where the dot level is $\varepsilon_0 = \varepsilon - g\Omega_0$ and Coulomb interaction strength $U = \varepsilon - 2g\Omega_0$, with $g = (\lambda g_0)^2$. The tunnelling amplitude $t_\text{ex}$ and the coupling strengths between the dot and MBSSs $\lambda$ are individually given by $t_{k\lambda} = t_{k\lambda} X$ and $\lambda = \lambda X$. The other quantities in $H_{\text{MBSS}}$ are the same as in Eq. 3. If $t_{k\lambda}$ and $\lambda$ are weak as compared to $\lambda X$, $X = \exp\left[\theta_{\alpha\beta}(\eta_{\alpha}^\dagger - \eta_{\beta})\sum_{\alpha} \eta_{\alpha}^\dagger \eta_{\alpha}\right]$, in which $\varepsilon_{k\lambda}$ and $\theta_{k\lambda}$ depend on $k$ and $\lambda$.

3 RESULTS AND DISCUSSION

In the following numerical calculations, we choose the phonon frequency $\omega_0 \equiv 1$ as energy unit ($h = 1$), and consider the case of the dot is symmetrically coupled to the left and right leads with $\Gamma = \Gamma_R = 0.1\varepsilon_0$. The electron-phonon coupling strength is $\lambda = \omega_0$, and the temperatures of the electrons and phonons are $T_e = T_p = 0.2\varepsilon_0$. The chemical potential of the right lead $\mu_R$ is set to be zero as the energy zero point, and the bias voltage is $eV = \mu_L$. Figure 1 shows the total electrical current $I_e$ and heat generation $I_H$ varying with respect to the bias voltage when the QD is coupled to one of the MBSSs with different strengths. For dot level $\varepsilon_0 = 0$, the electrical current $I_e$ in Figure 1A has two steps respectively around bias values of $eV = \varepsilon_0$ and $eV = \varepsilon_0 + \varepsilon_L$. Between these two steps, the current’s line-shape shows the typical Coulomb blockade effect [32].

Figure 1A shows the total electrical current $I_e$ and heat generation $I_H$ varying with respect to the bias voltage when the QD is coupled to one of the MBSSs with different strengths. For dot level $\varepsilon_0 = 0$, the electrical current $I_e$ in Figure 1A has two steps respectively around bias values of $eV = \varepsilon_0$ and $eV = \varepsilon_0 + \varepsilon_L$. Between these two steps, the current’s line-shape shows the typical Coulomb blockade effect [32].

Now electrons can transport through the system whenever the energy states $\varepsilon_0 + \varepsilon_0$ or $\varepsilon_0 - \varepsilon_0$ enter into the dot, which results in an abrupt increase of the electrical current. In the presence of QD-MBSSs coupling ($\lambda \neq 0$), we find that the current near zero bias voltage is obviously changed, whereas at relatively larger bias voltage is less influenced. This is because the MBSSs are zero in energy and only exert impacts on the current around zero bias voltage, which is consistent with the results of zero bias anomaly of the conductance as serving of the MBSSs.
The line-shape of the heat generation in Figure 1B resembles that of the current influenced by the Coulomb blockage effect, but has no small steps induced by the electron-phonon interaction. The reason is that the heat generation in Eq. 5 is calculated from the transformed Hamiltonian in which the electron and phonon are decoupled. Therefore, the plateaus in the heat generation are much flatter compared to those in the current. The heat generation has a delay of $\omega$ indicated above. The heat generation in Figure 1B resembles that of the current in Figure 1A [32]. This is because the heat generation $I_q$ is caused by the phonon absorption and emission processes occurred between energy states $\varepsilon$ and $\varepsilon \pm \omega_q$ [31, 32]. When the bias voltage is small $eV < \omega_q$, the electrons can not absorb enough energy from the external electrical field to emit phonon having energy $\hbar \omega_q$, and therefore the magnitude of the heat generation is zero. It is worth pointing out that this delay effect is absent in macroscopic systems and is more distinct at low temperature regimes. It emerges regardless of the existence of intradot Coulomb interaction or some other fields [31–34, 36]. In the presence of interaction between the MBs at the ends of a nanowire ($\tilde{\lambda} \neq 0$), the magnitude of the electrical current in Figure 1A near the Fermi level $eV = 0$ is slightly decreased, with that at other bias voltage mainly remain unchanged which is indicated above. The heat generation in Figure 1B, however, can be either enhanced or suppressed. During the bias voltage of $\omega_q \leq eV \leq \tilde{\omega}_q$, the magnitude of the heat generation can be slightly enhanced for nonzero $\tilde{\lambda}$, but when $eV > \tilde{\omega}_q + \omega_q$ the magnitude of the heat generation is slightly suppressed.

When the dot level is tuned by gate voltage to be $\tilde{\omega}_d = \omega_q$, positions of the steps in the current are changed accordingly (Figure 1C). The magnitude of the current changes more obviously by the dot-MBS coupling $\tilde{\lambda}$ as compared to the case of $\tilde{\omega}_d = 0$ in Figure 1A. As found in Ref. [10], the electron transmission will become asymmetric for $\tilde{\omega}_q \neq 0$ and develops a sharp peak at negative energy due to the dot-MBS coupling, which is out of the transport window. As a result of it, the current’s magnitude is weakened for $\tilde{\lambda} \neq 0$. The line-shape of heat generation in Figure 1D is quite different from that in Figure 1B. First, the amplitude of the abrupt jump in heat generation at $eV = \tilde{\omega}_d + \omega_q$ is much larger than that at $eV = \omega_q + \tilde{\omega}_d + \tilde{U}$. This result is consistent with that in Ref. [32]. Second, in the absence of dot-MBS coupling, there is an obvious NDHG effect when the bias voltage is between $\tilde{\omega}_d + \tilde{U} - \omega_q$ and $\tilde{\omega}_d + \tilde{U}$, where the heat generation decreases with increasing bias voltage. This is because the electron passing through the QD can either absorb or emit a phonon. If the bias voltage is between $\tilde{\omega}_d + \tilde{U} - \omega_q$ and $\tilde{\omega}_d + \tilde{U}$, the tunneling process can only be realized when the electron absorbs a phonon while electrons transport through the QD, inducing a NDHG. Third, we find in Figure 1D that another NDHG emerges when the bias voltage is between $\omega_q$ and $\omega_q + \lambda$ in the presence of dot-MBS hybridization, which is called dual NDHG in the present paper. The origin of the NDHG near $\omega_q$ is because the hybridization between the QD and MBs induces new states at $\tilde{\omega}_d \pm \lambda$. Meanwhile, the NDHG in the Coulomb blockade regime is also enhanced by increasing $\lambda$. This enhanced and newly emerged NDHG can be used for deducing the existence of MBs. We emphasize that the NDHG effect is more likely to emerge in the presence of intradot Coulomb interaction, as was shown in previous works [31, 32, 37].

To show the novel dual NDHG effect induced by the MBs, we present the results when the dot is side-coupled to another QD serving as regular fermion in Figure 2. For the sake of notational consistency, we use the same symbols $\delta_M$ and $\lambda$ to individually denote the QD energy level and the coupling amplitude between the two dots. The Hamiltonian of the present system is given by $H_{MB} = \delta_M f^\dagger f + \tilde{\lambda} \sum_i (f^\dagger d_i + d_i^\dagger f)$, and the Green’s function is calculated as [40, 41]

$$G_\sigma (\varepsilon) = \frac{1 - n_\sigma}{\varepsilon - \tilde{\omega}_d - \Sigma_{iM} + \Pi^+} + \frac{n_\sigma}{\varepsilon - \tilde{\omega}_d - \tilde{U} - \Sigma_{iM} + \Pi^+}$$

in which $\Sigma_{iM} = \lambda^2 (\varepsilon - \delta_M)$. The molecular states of the structure of QD coupled to regular fermion are calculated.
from the above retarded Green’s to be \( \epsilon = 0 \) and 
\[ \epsilon_\pm = (\delta_M \pm \sqrt{\delta_M^2 + 4 \tilde{\lambda}^2})/2, \]
whereas those of the dot hybridized to MBSSs are at \( \epsilon = 0 \) and 
\[ \epsilon_\pm = \pm \sqrt{\delta_M^2 + 2 \tilde{\lambda}^2}. \]
Moreover, the phase shift of the two kinds of systems are different, \([\pm 1]\) resulting in different behaviors of the current and heat generation in Figure 2.

The electrical current in Figure 2A resembles that in Figure 1C only with changed positions of the steps, which indicates that the current is less influenced by the MBSSs. The heat generation in Figure 2B, however, is very different from that in Figure 1D. Firstly, when the dot is coupled to regular fermion (another QD), the heat generation decreases with increasing \( \tilde{\lambda} \) as shown in Figure 2B. But if the dot is coupled to MBS, the heat generation increases with increasing \( \tilde{\lambda} \) which can be seen from Figure 1D. Secondly, the NDHG in the Coulomb blockade regime is eliminated by the stronger coupling between QD and regular fermion (Figure 2B), whereas that in QD-MBS structure is enhanced. Thirdly, the NDHG around \( \omega_q + \tilde{\lambda} \) is also eliminated by increasing \( \tilde{\lambda} \) when the QD is coupled to regular

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**FIGURE 2** | \( J_e \) in (A) and \( J_q \) in (B) versus the bias voltage when the QD is coupled to regular fermions in another QD. As the present manuscript focuses on the NDHG occurred at non-zero dot level, here only the results of \( \lambda_q = \omega_q/4 \) is presented. Other parameters are the same to those in Figure 2.

**FIGURE 3** | (A) and (B) are individually for \( J_e \) and \( J_q \) in QD coupled to MBSSs, and (C) and (D) are \( J_e \) and \( J_q \) in QD connected to regular fermions, respectively. The Coulomb interaction is chosen at different values with fixed \( \tilde{\lambda} = \omega_q/4 \). Other parameters are as in Figure 2.
fermion given in Figure 2B. The above changes of the heat generation represents the unique properties of the MBSs as compared to those of the regular fermions, and then can be used for detection means for the MBSs. We have also calculated the case when the dot level is aligned to the Fermi level ($\tilde{\epsilon}_d = 0$) and found that the phenomenon of NDHG disappears similar to the result in Figure 1B, and then we do not show them in the figure.

Figure 3 presents the impacts of different intradot Coulomb interaction on the electrical current and heat generation in both QD-MBS and QD-QD structures. The electrical current in Figure 3A when the dot is coupled to MBS shows small phonon-induced steps and plateaus. The intradot Coulomb interaction results in another plateau in higher voltage regimes and changes the strength of the current. The current in Figure 3C of QD-QD structure resembles that in Figure 3A and indicates the insufficiency of detecting MBS by transport means. The magnitude of the heat generation in Figure 3B for QD-MBS is decreased even for a weak $\tilde{U}$, and then almost remains at the same amount with further increasing $\tilde{U}$. Meanwhile, two NDHGs emerge as soon as the intradot Coulomb interaction is turned on. Further increase of the value of $\tilde{U}$ only changes the position of the NDHG in the Coulomb blockade regime, with the behavior at $eV = \omega_q + \tilde{\lambda}$ remains essentially unchanged. The heat generation in QD-QD structure, however, only has one NDHG in the Coulomb blockade regime. The above results show that the dual NDHG induced by MBS is quite robust against the variation of the amplitude of intradot Coulomb interaction.

Finally in Figure 4, we study the influences of direct hybridization between the MBSs $\delta_M$, which is determined by the length and material properties of the nanowire, on the electrical current and heat generation when the QD is coupled to MBS. The case of the dot connected to another QD has been extensively studied [40], and we do not show it here. When the two modes of the MBSs are overlapped ($\delta_M \neq 0$), their impacts on the electrical current and heat generation is weakened. We find that the current in Figure 4A is slightly enhanced by increasing $\delta_M$ with the positions of the steps and plateaus remaining unchanged. The magnitude of the heat generation, however, decreases in the presence of $\delta_M$ as shown in Figure 4B. The NDHG in the Coulomb blockade regime is almost unchanged by $\delta_M$, whereas that near $\omega_q + \tilde{\lambda}$ is eliminated if the MBS-MBS coupling strength is large. The reason is that when the two modes of the MBSs interact with each other, they behave like regular fermions.

4 SUMMARY

In summary, we study properties of electric current and heat generation in a QD hybridized to one mode of MBSs prepared at the one end of a nanowire. Our results show that the properties of the electric current under the influence of MBS are quite similar to those when the dot is coupled to regular fermions. In the presence of intradot Coulomb interaction, the heat generation has a NDHG effect in the Coulomb blockade regime when there is no coupling between the QD and MBS. But another NDHG in lower bias voltage regime emerges, which is called the dual NDHG effect unique to the MBSs, when the dot interacts with the MBS. We find that the dual NDHG arises even for quite weak intradot Coulomb interaction and disappears if the MBS is replaced by
regular fermions. The overlap between the two modes of the MBSs destroys the dual NDHG effect, and reduces the magnitude of the electrical current because now the MBSs resemble regular fermions. The present results indicate that the behaviors of the heat current may be rather efficient for detecting the MBSs as compared to the pure electrical method.

**DATA AVAILABILITY STATEMENT**

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author.

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**AUTHOR CONTRIBUTIONS**

Z-HW derived the formulae, performed partial numerical calculations, and wrote the original manuscript. W-CH discussed the physical model, performed partial numerical calculations, and contributed in the paper writing.

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