Density functional theory (DFT) is applied to atomic spectra under perturbations of superfluid liquid helium. The atomic DFT of helium is used to obtain the distribution of helium atoms around the impurity atom, and the electronic DFT is applied to the excitations of the atom, averaging over the ensemble of helium configurations. The shift and broadening of the $D_1$ and $D_2$ absorption lines are quite well reproduced by theory, suggesting that the DFT may be useful for describing spectral perturbations in more complex environments.

1 Spectroscopy in liquid helium

Spectroscopic measurements of impurity atoms and molecules in superfluid helium have been attracting considerable interest in recent years. The repulsive force between an impurity and helium atoms induces a bubble around the impurity. This leads to a weak perturbation of helium atoms on the spectra of impurities. The line shifts and spectral shapes induced by the helium perturbation provide information on the properties of the bubble in the quantum liquid as well as the excited states of the impurity. Since the perturbation is weak, this method also provides a unique tool for spectroscopic measurements of atomic clusters at low temperature.

Because of its simplicity, perturbations on alkali-atom lines have been studied extensively. For cesium (Cs) atoms, there are two s-to-p transitions, the $D_1$ ($s_{1/2} \rightarrow p_{1/2}$) and $D_2$ ($s_{1/2} \rightarrow p_{3/2}$) lines, both of which are blue-shifted and acquire widths in a helium bath. The shifts and widths of the two lines are different, and the $D_2$ line has a skewed shape suggesting a double-peak structure. These features were first analyzed with a collective vibration model of the helium bubble. That model reproduced average peak shifts, but gave line widths less than a half of observed ones. A more sophisticated analysis has been made treating the liquid helium environment by the Path-Integral Monte-Carlo method. However, the method is very costly in...
computer resources and is difficult to apply to more complex systems. We will show that a density functional theory (DFT) together with a statistical treatment of helium configurations provides a simple and quantitative description for the helium perturbations.

2 Application of DFT to impurity spectroscopy

2.1 DFT-plus-statistical description of liquid helium

The energy of liquid helium in the DFT is assumed to have the form,

$$ E = \int dr \mathcal{H}_0(r), $$

where we adopt the Orsay-Paris functional

$$ \mathcal{H}_0(r) = \frac{1}{2m} \left| \nabla \sqrt{\rho(r)} \right|^2 + \frac{1}{2} \int dr' \rho(r)\rho(r')V_{\text{LJ}}(|r - r'|) + \frac{c_v}{2\rho(r)} (\bar{\rho}_r)^{1+\gamma}. $$

(1)

Here, \( m \) is the mass of a helium atom and \( \bar{\rho}_r \) is a coarse-grained density, and \( V_{\text{LJ}} \) is a screened Lennard-Jones potential.

The effect of the impurity was treated by including in Eq. (1) a potential interaction, \( V_I(r) \), between the helium atoms and the impurity,

$$ \mathcal{H}(r) = \mathcal{H}_0(r) + V_I(r)\rho(r). $$

(2)

We approximate the \( V_I(r) \) as a contact interaction,

$$ V_I(r) = \frac{2\pi a}{m_e} \rho_e(r), $$

(3)

where \( m_e \) is the electron mass and \( \rho_e(r) \) is the electron density of the impurity which is calculated with the electronic DFT in Sec. 2.2. The scattering length, \( a \), is determined from the observed low-energy electron-helium cross section.

Utilizing the energy functional, \( E[\rho] = \int dr \mathcal{H}(r) \), we calculate the density profile of liquid helium, putting the impurity atom at the origin. Minimizing the grand potential at zero temperature, \( \Omega \equiv E[\rho(r)] - \mu N \), leads to a Hartree-type equation

$$ \left[ -\frac{1}{2m} \nabla^2 + U(r) + V_I(r) \right] \sqrt{\rho(r)} = \mu \sqrt{\rho(r)}. $$

(4)

The equation is solved with the boundary condition that the density go to the bulk density \( \rho_0 \) at large \( r \). Results indicate a sharp rise in the helium density at \( r \approx 6 \text{ Å} \). This corresponds to the bubble radius.

We use the \( \rho(r) \) computed above to generate an ensemble of configurations of helium atoms as follows. Take a large volume surrounding the alkali atom and denote it as \( V \). This volume includes \( N \) helium atoms on average, where

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$N$ is given by $\int_V d\rho(r) = N$. We randomly sample $N$ helium positions in $V$ according to the density distribution $\rho(r)$. This sampling procedure gives probability distribution, $w(r_1, \cdots, r_N) = \prod_{i=1}^N (\rho(r_i)/N)$.

2.2 Helium perturbation on spectra

Orbital wave functions of valence electrons in impurity, $\psi(r)$, are calculated using DFT with Dirac wave functions and kinetic energy operator. We need accurate wave functions at large distances from the atom, which cannot be achieved with the traditional LDA functional due to the incorrect orbital eigenvalues and the incorrect asymptotic behavior of the potential. These problems are diminished with the gradient correction which was designed to produce the correct asymptotic behaviour of the potential.

We use first-order perturbation theory to evaluate the orbital shifts in the ensemble of helium configurations $\tau = (r_1, \cdots, r_N)$. The same helium configuration is used for the ground state $s_{1/2}$ and excited states $p_{1/2}$ and $p_{3/2}$, following the Frank-Condon principle. For $s_{1/2}$ and $p_{1/2}$ states, the energy shifts of the valence electron is then calculated as $\Delta E^{(k)}(\tau) = (2\pi a/m_e) \sum_i |\psi^{(k)}(r_i)|^2$, where $k$ stands for orbital quantum numbers $(\ell_j)$ and either $m$ state may be taken. For $p_{3/2}$ states, the matrix elements depend on $m$ and we have to diagonalize a $4 \times 4$ matrix to get the energy shifts. We then obtain two eigenenergies, each of which is doubly degenerate.

Each helium configuration produces an energy shift and possible splitting but the transitions remain sharp. The line broadening comes from the ensemble average over helium configurations. The line shape of the $D_1 \, (s_{1/2} \rightarrow p_{1/2})$ transition is given by

$$S_{D_1}(E) = \int_V d\tau w(\tau) \delta \left( E - \left( \Delta E^{(p_{1/2})}(\tau) - \Delta E^{(s_{1/2})}(\tau) \right) \right), \quad (5)$$

where $E$ is a shift from the energy position of the free atom. For the $D_2 \, (s_{1/2} \rightarrow p_{3/2})$ transition, we have a similar expression but need to add the two eigenmodes.

To calculate line shapes of the Cs $D$ transitions in liquid helium, we evaluated Eq. (5) by sampling 100 000 helium configurations, generated according to the DFT density profiles. The calculated energy shifts are added to the observed $D$ lines of free Cs atom ($\lambda = 894.9$ nm for $D_1$ and $852.7$ nm for $D_2$). Then, the intensity is estimated by counting number of events in bins of wavelength $\Delta \lambda = 0.1$ nm. The obtained intensity spectra are shown in Fig. 1. The $D_1$ line can be well approximated by a single Gaussian, while the $D_2$ line has a double-peaked structure. The calculated line shifts and shapes agree with experimental observations.

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3 Conclusion

We have developed a simple model to describe atomic spectra of impurities embedded in the superfluid helium. Various features in the atomic spectrum of Cs, including line shifts, broadening, and skewness, are nicely reproduced in our calculation without any adjustable parameters. The model is simple enough to apply to more complex chromophores such as molecules and clusters. Detailed analysis is found in our recent paper.

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