A Statistical Model for Assessing Genetic Susceptibility as a Risk Factor in Multifactorial Diseases: Lessons from Occupational Asthma

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BACKGROUND: Incorporating the influence of genetic variation in the risk assessment process is often considered, but no generalized approach exists. Many common human diseases such as asthma, cancer, and cardiovascular disease are complex in nature, as they are influenced variably by environmental, physiologic, and genetic factors. The genetic components most responsible for differences in individual disease risk are thought to be DNA variants (polymorphisms) that influence the expression or function of mediators involved in the pathological processes.

OBJECTIVE: The purpose of this study was to estimate the combinatorial contribution of multiple genetic variants to disease risk.

METHODS: We used a logistic regression model to help estimate the joint contribution that multiple genetic variants would have on disease risk. This model was developed using data collected from molecular epidemiology studies of allergic asthma that examined variants in 16 susceptibility genes.

RESULTS: Based on the product of single gene variant odds ratios, the risk of developing asthma was assigned to genotype profiles, and the frequency of each profile was estimated for the general population. Our model predicts that multiple disease variants broaden the risk distribution, facilitating the identification of susceptible populations. This model also allows for incorporation of exposure information as an independent variable, which will be important for risk variants associated with specific exposures.

CONCLUSION: The present model provided an opportunity to estimate the relative change in risk associated with multiple genetic variants. This will facilitate identification of susceptible populations and help provide a framework to model the genetic contribution in probabilistic risk assessment.

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Common diseases of a chronic inflammatory nature such as asthma, Alzheimer disease, and cardiovascular disease are complex in nature, as they are variably influenced by genetic inheritance as well as environmental, physical, and lifestyle factors. Although genetic variants and their interactions probably define most interindividual variability in common disease susceptibility related to genetics (Moore 2003; Newton-Cheh and Hirschhorn 2005), they generally possess low or incomplete penetrance and consequently show low-risk associations in epidemiologic studies [e.g., odds ratios (ORs) - 1.5–2] (Hirschhorn et al. 2002; Lohmueller et al. 2003). Thus, for genetic variants to significantly affect disease severity or incidence, they must act cumulatively. Applying the composite genetic contribution to the risk assessment process would allow for identifying the most genetically susceptible groups in the population. In light of this, a multiplicative gene–gene interaction model was developed to allow for estimating the combinatorial contribution of multiple genetic variants to disease risk. To illustrate the utility of this model, asthma was selected as an example of a common multifactorial disease as the pathological processes have been well established and a number of genetic variants that influence the disease have been identified in association studies. Data were compiled from 14 genetic association studies linking 16 susceptibility variants in inflammatory, immune, and chemical metabolism genes to the risk of developing disease. Our model predicts that a broad heterogeneity exists in the population disease risk defined by genetic variation. The broadened risk profile is amenable, however, to segregating the population by relative risk level, which should allow for identification of the most susceptible populations. The current limitations and assumptions of this approach, which include lack of joint distributions, limited information on epistasis and the influence of other potential variables, such as exposure, are discussed.

Materials and Methods

Study design. Population-based genetic association studies deal with relatively small effects against a complex background. Therefore, association studies are often statistically underpowered and poorly standardized. General concerns include a lack of attention to sampling and study design, inconsistent criteria for clinical assessment, population stratification, the use of genetic markers that are only modestly correlated with disease, and publication bias. Considering these concerns, we extracted data from a public database (PubMed 2004) using the terms “asthma,” “polymorphism,” and “gene.” We included studies that followed standard asthma diagnostic criteria (physician-diagnosed asthma), used case–control study design, and described associations with p-values < 0.05 in the analyses to help limit potential false positive associations. The genetic variants we selected were not intended to be an exhaustive list of published variants of candidate genes that have been associated with asthma but rather representative of those in which significant associations have been repeatedly observed, known to cause changes in protein expression, and act through established pathways for allergic response (Blumenthal 2005; Malerba and Pignatti 2005). As reflected in the published literature, most of the variants included in the analyses are associated with increased risk for developing asthma rather than decreased risk. Hence, we included only one variant that is considered protective.

Although published genetic association studies have used a variety of methods for presenting results, we selected disease-associated variant genotypes as opposed to allele frequencies, as the relationship of the latter to disease has not been clearly defined. Most of the

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genes and chromosomal regions that have been associated with disease are linked to chromosomes 5q, 11q, 12q, and 6p. We stratified candidate genes into three groupings based on their role in the pathogenesis of asthma. The first group (12 variants) included genes related to inflammation and immune cascades known to be involved in allergic asthma, such as the interleukin 4 (IL-4) receptor variant R567. The second group consisted of atopy-associated gene variants contained within the human leukocyte antigen (HLA) class II family. The third grouping consisted of variants associated with chemical metabolism, represented by the N-acetyltransferase (NAT) polymorphism associated with slow acetylation. The genes and variants used in the analyses are presented in Table 1.

### Table 1. Genes related to immune/inflammatory processes and environmental/occupational exposures in asthma.

| Gene (Entrez Gene ID) | Variation | Frequency | OR (mean) | p-Value | Reference |
|-----------------------|-----------|-----------|-----------|---------|-----------|
| Group I (immune, inflammatory) | | | | | |
| TGF-β (7040) | –509 | 0.117 | 2.456 | 0.0102 | Silverman et al. 2004 |
| TLR-10 (81793) | 2322 | 0.034 | 2.237 | 0.0235 | Lazarus et al. 2004 |
| TNF-α (7124) | –308 | 0.223 | 1.505 | 0.0444 | Witte et al. 2002 |
| MCP-1 (6347) | –2518 | 0.089 | 2.703 | 0.0055 | Scalai et al. 2001 |
| IL-13 (3586) | –1055 | 0.019 | 7.756 | 0.0081 | van der Pouw Kraan et al. 1999 |
| CD-14 (9223) | –159 | 0.098 | 3.143 | 0.0355 | Woo et al. 2003 |
| IL-18 (3060) | 105 | 0.109 | 1.830 | 0.0068 | Higa et al. 2003 |
| IL-10 (3586) | –627 | 0.267 | 0.278 | 0.0222 | Hang et al. 2003 |
| RANTES (6352) | –28 | 0.219 | 2.233 | 0.0006 | Yao et al. 2003 |
| IL-4R (3566) | 1636 | 0.160 | 4.472 | 0.0018 | Gao et al. 2000 |
| ACE (1636) | Ins/del | 2206 | 0.237 | 2.156 | Cui et al. 2003 |
| Group II (atopy) | | | | | |
| HLA-DQA1 (3117) | 0301 | 0.081 | 8.774 | 0.0010 | Aron et al. 1996 |
| HLA-DQB1 (3119) | 0302 | 0.083 | 6.794 | 0.0039 | Aron et al. 1996 |
| HLA-DQB1 (3123) | 4 | 0.226 | 24.588 | 0.0023 | Aron et al. 1996 |
| Group III (metabolism) | | | | | |
| NAT1 (9) | Slow/fast | 0.250 | 8.625 | 0.0009 | Wikman et al. 2002 |

*Ins/del, insertion/deletion
Gene loci and gene identification numbers are from Entrez Gene (2006).*

**Figure 1.** Frequencies and ORs of genotypes in a control population calculated using 16 gene variants listed in Table 1. Each point represents a unique genotype combination. Referent genotype profile is identified by the arrow (OR = 1). Genotypic profile composed of all minor variants is identified by the circle.
the vast majority of identified variants have been associated with an adverse rather than protective contribution (Ober and Hoffjan 2006). It is not known whether these variants are evolutionarily driven or because adverse variants are more actively studied and identified than those that are protective.

Examination of a single susceptibility gene can separate the study population into only two risk groups, those with and those without the mutation. In contrast, modeling the impact of multiple disease variants associated with immune and inflammatory mediators of allergic asthma (group 1 variants) provides a pseudo-continuous log-normal relative disease risk distribution in the population (Figure 2A). Inclusion of variants associated with atopy (Figure 2B) and acetylation rate (Figure 2C) further shifts the distribution toward the higher risk. Equally evident is the impact of combining variants on the standard deviation of disease risk in the population. As we added more disease variants to the model, the risk distribution broadened, allowing better distinction of the population into high and low risk categories. The frequencies associated with such risk levels will be important in defining susceptible populations that need increased protection with respect to exposure, as well as for risk management.

The present model provided an opportunity to quantify the relative change in risk associated with the presence of genetic variants in the general population. This is exemplified in Figure 3 where the dashed gray line represents the risk profile for the most common genotypes modeled from the 12 asthma susceptibility genes (group 1 variants) and the solid blue line shows the risk profile when the NATI mutation is added. These curves indicate that in individuals carrying the NATI mutation, the risk of asthma increases approximately 2-fold or more in 20% of the possible polygenotypes present in a population of workers exposed to diisocyanates. Acetylation rate is thought to affect the metabolism of diisocyanates, which in turn correlates with differences in diisocyanates-induced asthma rates (Wikman et al. 2002). If only those variants common to allergens (first group) are considered, one would estimate that 20% of the population would have at least 6-fold increase susceptibility relative to the referent genotype profile. Thus, this model allows for incorporation of exposure information as an independent variable, illustrating why variants such as those involved in atopy or chemical metabolism, would need to be included separately in identifying the number of individuals in a population at increased risk.

**Discussion**

We used a logistic regression model to estimate the joint contribution of multiple genetic variants on the risk of developing allergic asthma. Allergic asthma data sets were used because disease prevalence is relatively high—estimated to be approximately 7.5% (range, 5.2–10.3%) among the U.S. population (Mannino et al. 2002)—and the pathological processes as well as many of the disease mediators have been identified (Barrios et al. 2006). The latter allowed for an additional level of confidence in that the genetic variants selected for modeling are associated with well-established pathological processes. Although data sets from other common polygenic diseases may have sufficed, such as Alzheimer or cardiovascular disease, their pathological processes are less well defined.

Single-genotype ORs provided by genetic association studies is the available input to model the polygenotype–disease association. ORs are functions of the logistic regression coefficients. Thus, the logistic regression model, which is commonly used in epidemiology studies, provides a straightforward approach for combining single genotype ORs to model the combinatorial genotype ORs (Kleinbaum and Klein 2002). However, the accuracy of this model to capture true polygenic susceptibility remains to be determined. Currently, our laboratory in conjunction with a National Institute for Occupational Safety and Health–funded multicenter asthma genotype program (RO1 OH008795-01) centered at the University of Cincinnati is collecting data on multiple variants in a single population to help establish the validity of this model.

A major limitation of using a multiplicative interaction model to derive polygenic risk from single-gene studies is that epistatic relationships are not considered. Although the model assumes there is no statistical interaction, it does not account for potential biological interactions at the protein level that may modify risk. For example, epistasis likely plays a role in determining complex phenotypes such as allergic asthma. However, epistatic relationships can be generated only from efforts to genotype functional variants in all potential target genes in a single population. This presents a potential problem because the
population frequency of polygenotypes is generated from the product of single-gene frequencies, making complex polygenotypes very rare. Therefore, as the number of genes increases, the number of individuals required in order to estimate polygenic risk markedly increases, thereby necessitating the need for a modeling approach. This is especially true for occupational populations, given the low number of employees exposed to a given occupational allergen and the even lower incidence of disease. It is possible that the effects of epistasis in multifactorial diseases are relatively modest. For example, a recent epidemiologic study of breast cancer demonstrated that only 17% of three gene combinations showed statistical evidence of epistasis (Aston et al. 2005). More simple schemes to help define epistasis may involve interactions derived from genomic and proteomic data, which can allow for decoding transcriptional and posttranscriptional interaction networks (Johnson et al. 2004). As more reliable biological and epidemiologic information regarding joint effects and epistasis becomes available, new patterns of interaction can be added to the model, which will allow for more accurate risk estimates.

Genetic independence is another assumption when using this model. Linkage disequilibrium is the deviation from probabilistic independence between alleles at two different loci. This deviation from independence can have different causes, such as a lack of independent segregation or recombination, or any number of other evolutionary forces. Therefore, an association of a certain genetic marker with disease may reflect the etiologic role of the locus of interest but not of the marker itself. Since a multiplicative approach for the joint effects of genotypes between loci was assumed in this model, only the gene variants known not to be in linkage disequilibrium were considered.

The choice of mode of inheritance (allelic or genotypic) used for analyses can have a marked impact on risk estimates. Most genetic association studies reduce three genotypes to two by using recessive (assuming heterozygotes have no increased risk), co-dominant (a per-dominant), or dominant genetic models (in which only the homozygous genotypes have increased risk). However, co-dominant models (a per-dominant), or dominant genetic models (in which only the homozygous genotypes have increased risk) may be used for various reasons: the biological function of the allele in question, the ease of interpretation, or the ease of analysis. However, co-dominant models may not be appropriate for all genetic diseases, as some diseases may follow a co-dominant model, while others may follow a dominant or recessive model.

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