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A broad scope of aliphatic polyesters prepared by elimination of small molecules from sustainable 1,3-dioxolan-4-ones

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Biodegradable aliphatic polyesters built from sustainable feedstocks are one of the most promising solutions to address the pollution and oil-dependence challenges of modern plastics, but remain limited in monomer scope and thus the accessible polymer properties. We report a family of monomers that are built from renewable resources and use the elimination of small molecules to access aliphatic polyesters, circumventing challenging monomer syntheses to make these functionalised polymers. The driving force for ring opening polymerisation is the elimination of formaldehyde or acetone from easy-to-synthesise 1,3-dioxolan-4-ones to produce an array of structurally divergent polyesters. The polymers are prepared with high retention of stereochemistry, meaning isotactic polymers are easily prepared from natural enantiopure feedstocks. Reaction kinetics, structure/property relationships, copolymers of traditional cyclic esters, and direct recycling of waste paraformaldehyde showcase the scope of this new reaction in polymer chemistry.

Introduction

Biodegradable polyesters remain at the forefront of research into sustainable polymers, with the readily synthesised poly(lactic acid) now realising the commercial potential of green plastics. ¹⁻⁴ Accessing a broader scope of these aliphatic polyesters remains a challenge, especially when retaining the simple syntheses required for commercial applications. We thus target a family of monomers that are easily prepared from inexpensive, sustainable feedstocks and polymerise to form a range of structurally-diverse polyesters.

Aliphatic polyesters are prepared by polycondensation of α-hydroxy acids (Figure 1, A) or, more commonly, from the ring-opening polymerisation of cyclic esters (ROP, Figure 1, B).¹² The latter is preferred due to control over molecular weights and dispersity (D) and thus polymer properties,³⁴ with ROP driven by release of ring strain.⁵⁻⁶ While the ROP of lactide (R = CH₃) and glycolide (R = H) are commonplace, especially in biomedicine and food packaging,⁷⁸ broadening this strategy to other cyclic esters is difficult. Baker, Möller and coworkers were pioneers in expanding the scope of cyclic diesters,⁹⁻¹⁰ showing the importance of this functional group choice by tuning polymer glass transition temperatures (Tdg) from -37 °C to 104 °C.¹¹⁻¹² Unfortunately, the diester synthesis is challenging for these alternative substituents, affording monomers in low yields after complex separations.

To circumvent the issue of monomer access, Bourissou and coworkers devised an alternate route to these important poly-

![Figure 1. Synthetic routes to poly(α-hydroxy acid)].

We sought a new synthetic methodology that would access the same broad functional group tolerance as O-carboxy-anhydrides, but derive from sustainable, scalable and inexpensive resources. We report herein the ring-opening polymerisation of renewable 1,3-dioxolan-4-ones (DOX),

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eliminating formaldehyde or ketones to afford structurally divergent polyesters (Figure 1, D).

Hillmyer and coworkers provided some key inspiration for this work in their preparation of polyesteracetals, observing that polymerisation of six membered 2-methyl-1,3-dioxane-4-one would eliminate acetaldehyde under high catalyst loadings. A recent report by Miller and coworkers suggested that copolymerisation of DOX (R, R' = H) with lactide (LA) formed polyesteracetals. In both of these papers, small molecule elimination was a reaction to be avoided. We hypothesised that we could instead exploit acetal elimination, using these DOX monomers to purposefully target polyesters.

Results and discussion

Before developing a broad scope synthesis, we began by showing that the published SnOct$_2$ catalysed system can be used to prepare poly(lactic acid)-co-(glycolic acid), PLGA, upon expulsion of the acetal functionality (scheme, Table 1), especially at higher reaction temperatures. The elimination of formaldehyde under these reaction conditions was confirmed by FTIR of the white solid produced during the reaction (see Figure S01). These SnOct$_2$ catalysed reactions gave polymers with broad DS and very low DOX incorporation.

Expanding the catalyst scope facilitated a much improved copolymerisation of the unsubstituted DOX monomer. As homopolymerisation of DOX affords an insoluble white solid, presumably intractable poly(glycolic acid), optimisation focused on the copolymerisation of DOX and L-LA. Common catalysts MeAl(salen)$_{Bu, Bu'}$ ([Cat])$_{1,19,20}$, diazabicycloundecene (DBU, 2) and triazabicyclodecene (TBD, 3)$_{21,22}$ were explored, along with ZnEt$_2$$_{1}$ (4), used by Hillmyer and coworkers in polyesteracetal synthesis (Table 1). While all the catalysts tested were capable of polymerising the L-LA monomer, 1 and 4 facilitated incorporation of high quantities of DOX to generate PLGA, while 1 gave the most comparable values between the number average molecular weight determined by SEC and the theoretically calculated weight. The organocatalysts were surprisingly poor, with 2 favouring L-LA homopolymerisation and 3 showing near complete inhibition. The ability of 1 and 4 to best control this polymerisation suggests a coordination-insertion mechanism, as both organometallic Zn complexes and salen supported Al catalysts operate as such in cyclic ester ROP. Using 1, the ratio of monomer incorporation could be tuned (Table 1) from 10-50% glycolic acid linkages. Control varied with monomer ratio, evidenced by increasing dispersity, suggesting transesterification was prevalent at high DOX loadings.

These copolymerisations are easily extended to other monomer combinations. Copolymerisation of DOX with ε-caprolactone (εCL) and β-butyrolactone (βBL) catalysed by 1 is efficient at ambient temperatures, with DS ranging from 1.2 to 1.8 (Tables S1-S2). At high DOX loadings, longer reaction times were required and led to broader molecular weight distributions, especially in sluggish βBL polymerisations. In all cases, DOSY NMR experiments confirmed the formation copolymers by the presence of a single diffusion coefficient (Figures S2-S4). The polymer is likely a random copolymer, evidenced by the presence of heterodiads in the $^{13}$C spectra. Importantly, these methods permit the introduction of isolated glycolic acid units, a unique advantage over copolymerisations of LA and glycolide.

With optimised conditions in hand we developed the monomer scope for this potential route to aliphatic polyesters. Using a modified literature procedure, a family of 1,3-dioxolan-4-ones are easily prepared from the often renewable α-hydroxy acids and less toxic paraformaldehyde or acetone in good isolated yields (Figure 2). Interestingly, the synthetic accessibility of these new monomers complements the established cyclic diester synthesis, with the highest yields obtained for the challenging mandelic acid derivatives.

For these substituted monomers, homopolymerisation is

![Figure 2. Synthesis of 1,3-dioxolan-4-one monomers.](image-url)
facile (Figure 3, Tables 2 and S03-S05), leading to the formation of the desired aliphatic polyester. For example, poly(lactic acid) is synthesised by the ROP of MeDOX with the concomitant elimination of paraformaldehyde. Catalyst 1 performed best, even over ZnEt2, generating well controlled PLA (Đ = 1.2). The bulky salen ligand may protect the coordination sphere of the Lewis acidic complex, assisting to control the polymerisation and afford the desired polymer; this is evidenced, in part, by 1’s broad monomer scope in cyclic ester ROP.\textsuperscript{25}

Acetal elimination is confirmed by \( ^1\text{H} \) and \( ^{13}\text{C}\{^1\text{H}\} \) NMR spectroscopy and MALDI-ToF experiments showing an expected repeat unit m/z = 72 (Figures S5-S6). Importantly, the ROP occurs with no racemisation, affording isotactic PLA with a \( T_m \) of 153 °C and \( T_g \) of 59 °C (Figure S7). Loadings as high as 500 eq. of MeDOX per catalyst facilitated the formation of higher molecular weight polyesters, with a small increase in Đ to 1.4. Importantly, this allows us to target other isotactic polyesters. In particular, the ROP of \( R \)- or S-PhDOX affords isotactic poly(mandelic acid), to date only prepared via an expensive phosgene-derived O-carboxyanhydride.\textsuperscript{12,26} This key biodegradable polystyrene mimic is formed,\textsuperscript{27} at M:Cat ratios of 200:1, with conversions up to 96% and Đ as low as 1.1. No epimerisation is observed, affording highly isotactic polymers with excellent thermal properties (Figure 4). While transesterification reactions persist, the method is much improved from condensation polymerisations\textsuperscript{28-30} of \( \alpha \)-bromophenyl acetic acid or mandelic acid which require harsh conditions to yield atactic oligomers. Again, acetal elimination was confirmed by NMR studies, while MALDI-ToF experiments confirm a repeat unit of m/z = 134 (Figures S07-S08). While formation of poly(mandelic acid) was slow, polymerisations were robust, with 500 equivalents of PhDOX polymerised in the absence of solvent at 180 °C, maintaining a narrow Đ of 1.2. These harsher temperatures do lead to atactic PMA.

Alkyl substituted polyesters are also easily targeted through ROP of the corresponding i-propyl, n-butyl, t-butyl, t-butyl and cyclohexyl DOX monomers (Figure 3, Table S5). The proximity of the steric bulk to the ester functional group controlled the rate of polymerisation, with ring-opening of t-BuDOX so sluggish as to not form tractable polymers. Polymerization of DOX monomers have led to number average weights remaining lower than theoretically calculated molecular weights suggesting chain exchange reactions known to be promoted by ROP catalysts 1, 4 and 5 prevail in this new ROP.\textsuperscript{31,32} The kinetics of these polymerisations confirm that polymerisations are well controlled, with the pseudo first order rate constants trending for MeDOX > n-BuDOX > i-BuDOX (\( k_{\text{obs}} = 3.0 \times 10^{-5} \text{ s}^{-1}, 1.6 \times 10^{-5} \text{ s}^{-1}, 8.3 \times 10^{-6} \text{ s}^{-1} \)), correlating with proximal steric bulk (Figure 5).

In support of our mechanistic hypothesis (Figure 6), these studies suggest that steric, not electronics, dictate ROP rates, impacting monomer coordination to the bulky Al-salen catalyst. Short polymerisations examined by NMR without workup show that the Al catalyst remains attached to the growing polymer chains, evidenced by matching diffusion coefficients in DOSY.

**Figure 3.** ROP of 1,3-dioxolan-4-ones to poly(\( \alpha \)-hydroxy acid).
NMR studies for catalyst/polymer signals (Figure S12). This coordination-insertion mechanism would necessitate BnO-chain ends arising from initiation by the added BnOH, as confirmed by MALDI-ToF experiments (Figure S8). Interestingly, while too infrequent to be observed in NMR, the MALDI suggests that the retention of select acetal linkages may be possible, an important factor in improving polymer degradation. Their presence may be limited to the chains detected in MALDI experiments, and not in the bulk of the sample, as no evidence of their presence is noted by increasing NMR scans or sensitivity. As other systems do give increased acetal retention, the defined coordination sphere imposed by the Al salen framework may be key in promoting formaldehyde elimination. A full mechanistic study is a future focus for our group beyond this initial communication.

To further expand this elimination-driven ROP, monomers paired with non-toxic acetone were explored. Specifically, we synthesised 2,2,5-trimethyl-1,3-dioxolan-4-one (Me3D0X) and 2,2-dimethyl-5-phenyl-1,3-dioxolan-4-one (Me2PhD0X) by refluxing the appropriate α-hydroxy acid and π-toluenesulfonic acid (10%) in acetone (Figure 3). Elimination of acetone to yield the desired polyester was slower, as the polymerisation of Me3D0X at 120 °C reached 95% conversion after 7 days (albeit in a closed vessel with no purposeful elimination of acetone) to afford a poly(lactic acid) with accurate molecular weights and low Đ. Polymerisation of Me2PhD0X required more forcing conditions, polymerising neat at 180 °C, giving a polymer with the accurate molecular weights and low dispersity. Again, the resulting poly(mandelic acid) racemises under these harsh conditions to give an atactic polymer (Figure S09).

The scope of this simple reaction is significant, introducing functionalities ranging from poorly packed alkyl chains to rigid aryl rings. While future work will focus on the inclusion of heteroatoms or reactive functionalities, this first family of polyesters aims to alter the thermal properties of these aliphatic polyesters. In initial studies, glass transition temperatures ranged from 22 °C (R = i-Bu) to 106 °C (R = Ph) (Table S6). Finally, it is important to note that these monomers provide an easy way to close the loop on this green polymer synthesis, with the produced paraformaldehyde recycled into the monomer synthesis with no purification and no reduction in isolated monomer yields (See S1).

### Conclusions

In summary, we report the first examples of ROP driven by the elimination of formaldehyde or acetone. The DOX monomer family is surprisingly versatile, broad in scope and can be produced from renewable resources. Lewis-acidic aluminum catalysts supported by the salen ligand proved best in early catalyst screens, with Đ as low as 1.08. The flexibility of this new route is exemplified in both homopolymerisations of a wide array of substituted monomers and copolymerisation with traditional cyclic esters. Polymerisations proceed without racemisation, affording isotactic poly(lactic acid) and poly(mandelic acid), the former having exceptional thermal properties for a polyester. We are now working to expand both monomer and catalyst scope and investigate the mechanism of this important new route to aliphatic polyesters.

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### Experimental

#### General considerations

All experiments involving moisture- and air-sensitive compounds were performed under a nitrogen atmosphere using an MBraun LABmaster sp glovebox system equipped with a −35 °C freezer and [H2O] and [O2] analysers or using standard Schlenk techniques. Gel permeation chromatography (GPC) was used to determine polymer molecular weights and dispersities and was carried out in THF at a flow rate of 1 mL min−1 at 35 °C on a Malvern Instruments Viscotek 270 GPC Max triple detection system with 2 × mixed bed styrene/DVB columns (300 × 7.5 mm). GPC analysis was performed using OmniSEC 5.0 software. PLA, PHB and PCL dn/dc values used were 0.050, 0.065, and 0.072 ml/g. The dn/dc value of PMA was experimentally determined to be 0.11 ml/g. All other homopolymer molecular weights were determined versus polystyrene standards. The molecular weights of copolymers containing DOX were calculated by 1H NMR spectroscopy by relative integration of benzyl end-group resonances to polymer resonances. 1H and 13C NMR spectra were recorded at 300 K with Bruker Avance spectrometers (400, 500 or 600 MHz) in CDCl3 or CD2C. Mass spectrometry was performed on a Bruker UltraflexExtreme MALDI-ToF spectrometer. MALDI-ToF samples were prepared using the following matrices; 2,5-dihydroxybenzoic acid for poly(lactic acid) and poly(hexahydroxymandelic acid), dithranol for poly(mandelic acid) and sodium or potassium trifluoroacetate was used as the ionisation source. Differential scanning calorimetry (DSC) was carried out using a DSC 404 F3 Pegasus® DSC instrument using a...
heat (25-200 °C) / cool (200-25 °C) / heat (25-500 °C) cycle at a rate of 10 °C min⁻¹. Values of Tg and Tm were obtained from the second heating scan.

Materials

L-Lactide was provided by Corbion and was purified by three vacuum sublimations prior to polymerisations. Benzyl alcohol, β-butyrolactone, ε-caprolactone, and d-chloroform were purified by stirring over CaH₂ followed by distillation under an inert atmosphere. Deuterated solvents were dried over molecular sieves or Na/benzophenone and subsequently distilled under an inert atmosphere. Toluene and hexanes were dried using an Innovative Technologies purification system consisting of alumina and copper catalyst. Following drying solvents were degassed by three freeze-pump-thaw cycles prior to use. MeAl(salen)₁³Bu₂BuPr was synthesised by following established protocols.

Monomer synthesis

Two general monomer synthesis procedures were followed: Procedure 1. The parent α-hydroxy acid (7.53mmol), paraformaldehyde (0.36g, 12mmol) and p-toluenesulfonic acid (0.13g, 0.75mmol) were dissolved in benzene (50mL) and refluxed at 110 °C in a Deans-Stark apparatus to periodically remove water over 6h. The reaction mixture was cooled and washed with sodium bicarbonate (10%), water and sodium chloride aqueous (sat.). The organic layer was dried with magnesium sulphate and the solvent was removed from the organic layer in vacuo. The crude product was stirred over calcium hydride for 16h before being purified by vacuum distillation. Toluene was precipitated. The white solid was filtered, dried to constant weight and used for GPC analysis.

1,3-Dioxolan-4-one (DOX): Colourless oil, 26% yield. ¹H NMR (500 MHz, CDCl₃) δ 5.50 (s, 2H, OCH₂), 4.20 (s, 2H, CCH₂) ppm. ¹³C NMR (126 MHz, CDCl₃) 171.20, 96.34, 62.95 ppm.

2-Methyl-1,3-dioxolan-4-one (MeDOX): Colourless oil, 75% yield. ¹H NMR (500 MHz, CDCl₃) δ 5.50 (s, 1H, OCH₂), 5.38 (s, 1H, OCH₂), 4.27 (q, J = 6.8 Hz, 1H, OCHO), 1.47 (d, J = 6.8 Hz, 3H, CH₃). ¹³C NMR (151 MHz, CDCl₃) 121.97, 42.36, 42.04, 18.32, -35.26.

2-Phenyl-1,3-dioxolan-4-one (PhDOX): Colourless oil, 96% yield.

¹H NMR (500 MHz, CDCl₃) δ 7.52 – 7.37 (m, 5H, Ar), 5.67 (s, 1H, OCH₂), 5.58 (s, 1H, OCH₂), 5.23 (s, 1H, CCCCH) ppm. ¹³C NMR (126 MHz, CDCl₃) 171.38, 133.30, 129.24, 128.90, 126.52, 94.57, 74.49 ppm.

Representative polymerisation

MeAl(salen)₁³Bu₂BuPr [5.5 mg, 0.01 mmol], BnOH (1 μL, 0.01 mmol) and MeDOX (88 mg, 1.0 mmol) in toluene (1 mL) was added to an oven dried ampoule. The ampoule was then sealed and heated to 120 °C for 24 h. The reaction was then quenched by addition of two drops of MeOH and samples were taken for crude ¹H NMR. The remainder was added dropwise to cold methanol and upon cooling to -35 °C for two days a white solid was precipitated. The white solid was filtered, dried to constant weight and used for GPC analysis.

Copolymerisation data:

Poly(lactic acid-co-glycolic acid): ¹H NMR (500 MHz, CDCl₃) δ 4.82 – 4.45 (m, 2H, 4.20 – 4.00 (m, 2H), 2.44 – 2.24 (m, 2H), 2.13 – 1.58 (m, 4H), 1.47 – 1.30 (m, 2H). ¹³C NMR (126 MHz, CDCl₃) 172.69, 167.85, 165.08, 60.55, 35.34, 28.15, 25.22, 24.34.

Poly(3-hydroxybutyrate-co-glycolic acid): ¹H NMR (500 MHz, CDCl₃) δ 5.47 – 5.26 (m, 2H), 4.83 – 4.49 (m, 2H), 2.82 – 2.47 (m,
1H), 1.41 – 1.18 (m, 3H). 13C NMR (126 MHz, CDCl3) δ 169.30, 166.91, 68.66, 61.00, 40.27, 19.86.

Substituted RDOX homopolymers data:

R = Methyl, Poly(lactic acid); 1H NMR (500 MHz, CDCl3) δ 5.16 (q, J = 7.1 Hz, 1H), 1.58 (d, J = 7.2 Hz, 3H). 13C NMR (126 MHz, CDCl3) δ 169.59, 69.01, 16.65.

R = Phenyl, Poly(mandelic acid); 1H NMR (601 MHz, CDCl3) δ 7.48 – 7.01 (m, 5H), 6.15 – 5.92 (m, 1H). 13C NMR (151 MHz, CDCl3) δ 166.76, 132.33, 129.34, 128.64, 127.80, 74.75.

R = Cyclohexyl, Poly(hexahyrdromandelic acid); 1H NMR (500 MHz, CDCl3) δ 5.05 – 4.87 (m, 1H), 2.32 – 0.68 (m, 11H). 13C NMR (126 MHz, CDCl3) δ 168.70, 39.51, 28.69, 27.18, 25.89.

R = iPropyl, Poly(vandelic acid); 1H NMR (500 MHz, CDCl3) δ: 4.9 (m, 55.7H), 4.1 (d, 2H), 2.3 (b, 59.2H) 1.39 (s, 9H), 1.1 (m, 350.6H). (CDCl3, 125 MHz) δ: 168.6, 128, 125.

R = n-Butyl, Poly(2-nButylhexanoic acid); 1H NMR (601 MHz, CDCl3) δ 5.21 – 5.03 (m, 1H), 2.03 – 1.85 (m, 2H), 1.45 – 1.30 (m, 5H), 0.98 – 0.82 (m, 7H). 13C NMR (126 MHz, CDCl3) δ 169.17, 72.49, 33.66, 27.03, 22.36, 13.78.

R = i-Butyl, Poly(2-iButylhexanoic acid); 1H NMR (601 MHz, CDCl3) δ 5.09 (dd, J = 9.4, 4.2 Hz, 1H), 1.85 – 1.73 (m, 4H), 0.94 (dd, J = 20.2, 6.4 Hz, 6H). 13C NMR (126 MHz, CDCl3) δ 169.70, 71.34, 39.31, 24.50, 22.96, 21.41.

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