Evidence for Neutral B Meson Decays to $\omega K^*$

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We present the results of a study of the charmless vector-vector decay $B^0 \rightarrow \omega K^{*0}$ with 657 $\times$ 10^6 $B\overline{B}$ pairs collected with the Belle detector at the KEKB $e^+e^-$ collider. We measure the branching fraction to be $\mathcal{B}(B^0 \rightarrow \omega K^{*0}) = [1.8 \pm 0.7({\text{stat}}) \pm 0.3({\text{syst}})] \times 10^{-6}$ with 3.0$\sigma$ significance. We also perform a helicity analysis of the $\omega$ and $K^{*0}$ vector mesons, and obtain the longitudinal polarization fraction $f_L(B^0 \rightarrow \omega K^{*0}) = 0.56 \pm 0.29({\text{stat}})\pm 0.12$({\text{syst}}). Finally, we measure a large non-resonant branching fraction $\mathcal{B}[B^0 \rightarrow \omega K^{+}\pi^-; M_{K\pi} \in (0.755, 1.250) \text{ GeV}/c^2] = [5.1 \pm 0.7({\text{stat}}) \pm 0.7({\text{syst}})] \times 10^{-6}$ with a significance of 9.5$\sigma$.

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The study of branching fractions and angular distributions of $B$ meson decays to hadronic final states tests our understanding of both weak and strong interactions. Recently, $B$ decays mediated by $b \rightarrow s\bar{q}q$ penguin amplitudes have received much attention in the literature. Unlike $b \rightarrow c$ spectator amplitudes (which are much better measured), penguin amplitudes contain an internal loop and thus are potentially sensitive to new propagators and couplings. Such mediating particles may have an energy scale too high to access directly. Several measured $b \rightarrow s\bar{q}q$ decays have yielded unexpected results; e.g., the decays $B \rightarrow \phi K^*$ and $B \rightarrow \rho K^{*0}$ are found to have large transverse polarization [1], and $B$ decays to the closely related final states $K^{+}\pi^-$ and $K^{*0}\pi^0$ exhibit different patterns of direct $CP$ violation [2]. These results are difficult to accommodate within the Standard Model and may indicate the presence of new physics [3]. Furthermore, $b \rightarrow s\bar{q}q$ decays are useful for determining the angles $\phi_2$ and $\phi_3$ of the unitarity triangle [4].

In this Letter we present a study of the $b \rightarrow s\bar{q}q$ decay $B^0 \rightarrow \omega K^{*0}$. Theoretical calculations for the branching fraction cover the range $(0.3 - 10.0) \times 10^{-6}$ [5]. Previously, this mode has been searched for by CLEO [6] and BaBar [7]; the latter group observed an excess of events with a significance of 2.4$\sigma$. Our analysis uses 605 $\text{fb}^{-1}$ of data containing 657 $\times$ 10^6 $B\overline{B}$ pairs; this sample is almost three times larger than that used in Ref. [5]. With this large data set we are able to measure both the branching fraction and longitudinal polarization fraction for $B^0 \rightarrow \omega K^{*0}$, and the branching fraction for non-resonant $B^0 \rightarrow \omega K^{+}\pi^-$. The data were collected with the Belle detector [8] at the KEKB [9] $e^+e^-$ asymmetric-energy (3.5 GeV on 8.0 GeV) collider with a center-of-mass (CM) energy at the $\Upsilon(4S)$ resonance. The production rates of $B^0\overline{B}$ and $B^+B^-$ pairs are assumed to be equal.

The Belle detector is a large-solid-angle spectrometer. It includes a silicon vertex detector (SVD), a 50-layer central drift chamber (CDC), an array of aerogel threshold Cherenkov counters (ACC), time-of-flight scintillation counters (TOF), and an electromagnetic calorimeter (ECL) comprised of CsI(Tl) crystals located inside a superconducting solenoid coil that provides a 1.5 T magnetic field.

The $B$-daughter candidates are reconstructed through the decays $\omega \rightarrow \pi^+\pi^-\pi^0$, $K^{*0} \rightarrow K^{+}\pi^-$ and $\pi^0 \rightarrow \gamma\gamma$ [10]. A charged track is identified as a pion or kaon by using particle identification (PID) information from the CDC, ACC and TOF systems. We reduce the number of poor quality tracks by requiring that $|dz| < 4.0 \text{ cm}$ and $dr < 0.2 \text{ cm}$, where $|dz|$ and $dr$ are the distances of closest approach of a track to the interaction point along the $z$-axis (opposite the direction of the positron beam) and in the transverse plane, respectively. In addition, we require that each charged track have a transverse momentum $p_T > 0.1 \text{ GeV}/c$ and a minimum number of SVD hits. Tracks matched with clusters in the ECL that are consistent with an electron hypothesis are rejected.

Photons used for $\pi^0$ reconstruction are required to have energies in the laboratory frame greater than 50 (100) MeV for the ECL barrel (endcap), which subtends $32^\circ - 129^\circ$ ($17^\circ - 32^\circ$ and $129^\circ - 150^\circ$) with respect to the beam axis. We require $\pi^0$ candidates to have an invariant mass in the range $M_{\gamma\gamma} \in (117.8, 150.2) \text{ MeV}/c^2$ (±3$\sigma$ in
We select $\omega$ mesons with an invariant mass in the range $M_{\pi\pi} \in (0.730, 0.830)$ GeV/$c^2$, and $K^{*0}$ mesons with $M_{K\pi} \in (0.755, 1.250)$ GeV/$c^2$. These windows include sideband regions to provide discrimination between signal and background components in the maximum-likelihood (ML) fit described below. To reduce combinatorial background arising from low-momentum kaons and pions, we require that $\cos \theta_K > -0.8$, where $\theta_K$ is the $K^{*0}$ helicity angle defined as the angle between the direction of the $K^+$ and the direction opposite to the $B^0$ momentum in the $K^{*0}$ rest frame.

Signal decays are identified using the energy difference ($\Delta E$) and the beam-energy-constrained mass ($M_{bc}$). These are defined as

$$\Delta E \equiv E_B - E_{\text{beam}} \quad \text{and} \quad M_{bc} \equiv \sqrt{E_{\text{beam}}^2 - p_T^2},$$

where $E_{\text{beam}}$ denotes the beam energy and $p_T$ denotes the energy and momentum, respectively, of the candidate $B$-meson, all evaluated in the $e^+e^-$ CM frame. We retain events satisfying $|\Delta E| < 0.2$ GeV and $M_{bc} \in (5.20, 5.29)$ GeV/$c^2$, and define a signal region $\Delta E \in (-0.10, 0.06)$ GeV, $M_{bc} \in (5.27, 5.29)$ GeV/$c^2$.

The dominant source of background is continuum $e^+e^\to q\bar{q}$ ($q = u, d, s, c$) production. To discriminate relatively spherical $B\bar{B}$ events from jet-like $q\bar{q}$ events, we use 16 modified Fox-Wolfram moments (combined into a Fisher discriminant $F$ [11]), the CM polar angle between the $B$ direction and the $z$-axis ($\theta_B$), and the displacement along the $z$-axis between the signal $B$ vertex and that of the other $B$ in the event ($\Delta Z$). Further discrimination is provided by a $b$-flavor tagging algorithm [12], which identifies the flavor of the $B$ meson accompanying the signal candidate via its decay products: charged leptons, kaons, and $\Lambda$’s. This algorithm yields a quality factor $r$, which ranges from zero for no flavor discrimination to one for unambiguous flavor assignment.

We use Monte Carlo (MC) simulated signal [13] and data sideband events [defined as $M_{bc} \in (5.20, 5.26)$ GeV/$c^2$, $|\Delta E| < 0.2$ GeV] to obtain probability density functions (PDFs) for $F$, $\cos \theta_B$, and $\Delta Z$. These are multiplied together to form signal ($L_S$) and $q\bar{q}$ background ($L_{q\bar{q}}$) likelihood functions, and we require that $R_{q\bar{q}} = L_{q\bar{q}}/(L_S + L_{q\bar{q}})$ be above a threshold. We divide the events into six bins of $r$ and determine the optimum $R_{q\bar{q}}$ threshold for each bin by maximizing a figure-of-merit $S/\sqrt{S+B}$, where $S$ ($B$) is the number of signal (background) events in the signal region. This optimization rejects 99% of the $q\bar{q}$ background while preserving 50% of the signal.

The fraction of events having multiple candidates is 12%. We choose the candidate in an event to be the one that minimizes the quantity $|M_{\pi\pi} - m_{\pi\pi}|$. From MC studies we find that this choice selects the correct candidate 90% of the time. We also find that 9.6% of signal decays have at least one particle incorrectly identified but pass all selection criteria; these are referred to as “self-cross-feed” (SCF) events.

We obtain the yields using a four-dimensional (4D) extended unbinned ML fit to $\Delta E$, $M_{bc}$, $M_{\pi\pi}$ and $M_{K\pi}$. The likelihood function is given by

$$\mathcal{L} = \frac{e^{-\sum Y_j}}{N!} \prod_{i=1}^N Y_j P^j_i,$$

where $Y_j$ is the yield of component $j$, $P^j_i$ is the PDF for component $j$, and $i$ runs over all events in the sample. We include PDFs for the signal, $q\bar{q}$ background ($q\bar{q}$), charm $B$-decay background ($b \to c$), charmless $B$-decay background ($b \to s, u, d$), and non-resonant $B^0 \to \omega K^+\pi^-$ decays. The MC acceptances for non-resonant $B^0 \to K^{*0}\pi^+\pi^0$ and $B^0 \to K^+\pi^+\pi^0\pi^0$ are negligibly small and thus we do not consider these channels.

The PDF for each component is defined as $P^j_i = P_j(\Delta E^i)P_j(M_{bc}^i)P_j(M_{\pi\pi}^i)P_j(M_{K\pi}^i)$. For the signal and $\omega K^+\pi^-$ components, we split the PDFs into two parts: $P^j_i = (1 - f_{\text{SCF}})P^i_{\text{true}} + f_{\text{SCF}}P^i_{\text{SCF}}$, where $f_{\text{SCF}}$ is the SCF fraction (17% for $\omega K^+\pi^-$), and “true” represents the correctly reconstructed decays. For the $q\bar{q}$, $b \to c$ and $b \to s, u, d$ backgrounds, no sizable correlations are found among the fitted variables. For the signal and $\omega K^+\pi^-$ components, there are small correlations that are accounted for as described below.

The $K^{*0}$ and $\omega$ resonances are modeled with Breit-Wigner functions whose widths are fixed to their PDG [14] values. The Breit-Wigner function used to describe the $\omega$ resonance is convolved with a Gaussian of $\sigma = 5.7$ MeV to take into account the detector resolution. This value, along with the means for both resonances and the fraction of $q\bar{q}$ background events containing $\omega$s and $K^{*0}s$, are obtained from fitting the $M_{K\pi}$ and $M_{\pi\pi}$ spectra of events in the data sideband.

All other PDF shapes are obtained from MC simulation. For the signal and $\omega K^+\pi^-$ PDFs, the sum of a Crystal Ball line shape [15] and Gaussian is used to describe $\Delta E$, and the sum of two Gaussians is used to describe $M_{bc}$. To take into account small differences between the MC simulations and data, the $M_{bc}$ and $\Delta E$ shapes for the signal and $\omega K^+\pi^-$ PDFs are corrected according to calibration factors determined from a large $B^0 \to D^-\rho^+$, $D^- \to K^+\pi^+\pi^-$ control sample. The $M_{K\pi}$ PDF for $\omega K^+\pi^-$ decays is represented by a threshold function with parameters determined from MC events where the $K^\pi$ final state is distributed uniformly over phase space.

For the $q\bar{q}$ background, we use a threshold ARGUS [16] function to describe $M_{bc}$, and linear functions to describe $\Delta E$ and the combinatorial shapes of $M_{\pi\pi}$ and $M_{K\pi}$. The $M_{bc}$ and $\Delta E$ shapes of the $b \to c$ background are described by an ARGUS function and a second-order Chebyshev polynomial, respectively. The remaining PDF
shapes are modeled with non-parametric PDFs using Kernel Estimation [17].

The following parameters vary in our final fit to the data: the signal, $\omega K^+\pi^-$, $b \rightarrow c$ and $q\bar{q}$ yields, and the $q\bar{q}$ PDF parameters describing the $\Delta E$, $M_{bc}$ and combinatorial shapes of $M_{\pi\pi}$ and $M_{K\pi}$. The fraction of $b \rightarrow s, u, d$ events ($f_{b\rightarrow s,u,d}$) is small (1.6%) and fixed to the MC value. The $f_{SCF}$ for signal and $\omega K^+\pi^-$ decays are also fixed to their MC values.

The fit results are listed in Table I and the projections are shown in Fig. 1. With the fitted yields $Y$, we calculate the branching fraction $B$ as $Y/(\varepsilon_{MC} \cdot \varepsilon_{PID} \cdot N_{B\bar{B}})$, where $\varepsilon_{MC}$ is the event selection efficiency including daughter branching fractions obtained from MC simulation, $N_{B\bar{B}}$ is the number of $B\bar{B}$ pairs produced, and $\varepsilon_{PID}$ is an efficiency correction for the charged track selection that takes into account small differences between MC values and data. Our signal MC simulation is generated with $f_L = 0.5$; the change in acceptance for other values of $f_L$ is taken as a systematic error. For $\omega K^+\pi^-$, $\varepsilon_{MC}$ and $B$ are for $M_{K\pi} \in (0.755, 1.250)$ GeV/c$^2$. The significance is defined as $\sqrt{-2\ln(L_0/L_{max})}$, where $L_{max}$ ($L_0$) is the value of the likelihood function when the yield is allowed to vary (set to 0). The systematic uncertainty is included by convolving the likelihood function with a Gaussian whose width is equal to the systematic error. For signal and $\omega K^+\pi^-$ decays, we account for small correlations between the fitted variables by fitting ensembles of simulated experiments containing all signal and background components. The correlations give rise to biases of +2.9 and +8.1 events for signal and $\omega K^+\pi^-$, respectively. We correct the fitted yields for these biases.

The main sources of systematic error are: track reconstruction efficiency (1.2% per track); $\pi^0$ efficiency (4%); PID (1.3%); $N_{B\bar{B}}$ (1.4%); MC statistics (0.6%); PDF shapes (+0.8, -1.5%); $f_{b\rightarrow s,u,d}$ (2.6%); $f_{SCF}$ (+4.5, -2.3%); the $\Delta E$ fit range (-0.0, 0.0%); fitting bias (7.8%); the effect of higher $K^0$ resonances (-0.4, 0.0%); $f_L$ (+0.5, -0.3%); and $R_{\pi\pi}$ (2.8%). The errors on the PDF shapes are obtained by varying all fixed parameters by ±1σ and taking the fractional change in the yield as the systematic error. To obtain the error due to $f_{SCF}$ and $f_{b\rightarrow s,u,d}$, we vary these fractions by ±50%. The uncertainty in the yield bias correction is taken to be the sum in quadrature of the statistical uncertainty on the correction and half the correction value. We consider the effects of higher $K^0$ resonances by including a PDF for $B^0 \rightarrow \omega K^0_0(1430)^0$ and repeating the 4D fit with the $\omega K^0_0(1430)^0$ yield fixed to the value obtained by extrapolating from a higher $M_{K\pi}$ region. The error due to the uncertainty in $f_L$ is obtained by varying $f_L$ by its errors measured below. To obtain the uncertainty due to the $R_{\pi\pi}$ requirement, we vary the $R_{\pi\pi}$ thresholds, and we also calculate the data/MC efficiency ratio for the $B^0 \rightarrow D^+ \rho^-, D^- \rightarrow K^+\pi^-\pi^-$ control sample.

We study the effects of interference between $B^0 \rightarrow \omega K^{*0}$ and $B^0 \rightarrow \omega K^+\pi^-$ decays as follows. We modify the Breit-Wigner PDF describing the $K^{*0}$ resonance of the signal to include an interfering amplitude and phase; for lack of more information, we take this amplitude to be

![FIG. 1: Projections of the fit results onto (a) $\Delta E$, (b) $M_{bc}$, (c) $M_{\pi\pi}$ and (d) $M_{K\pi}$ for candidates satisfying (except for the variable plotted) the criteria $\Delta E \in (-0.10, 0.06)$ GeV, $M_{bc} \in (5.27, 5.29)$ GeV/c$^2$ and $M_{K\pi} \in (0.755, 1.050)$ GeV/c$^2$. The curves are for $\omega K^{*0}$ (dashed), $\omega K^+\pi^-$ (dot-dashed), the sum of the backgrounds (dotted), and the total (solid).]

![FIG. 2: Signal + $\omega K^+\pi^-$ yields obtained from 2D fits to $\Delta E$ and $M_{bc}$ in bins of $M_{K\pi}$. The curves are for $\omega K^{*0}$ (dashed), $\omega K^+\pi^-$ (dot-dashed), and the total (solid).]

| Mode | $Y$ (％) | $\varepsilon_{MC}$ | $\varepsilon_{PID}$ | $S$ | $B$ (10^{-6}) |
|------|---------|------------------|------------------|-----|----------------|
| $\omega K^{*0}$ | 32.9±13.9 | 2.91 | 0.94 | 3.0 | 1.8±0.7±0.3 |
| $\omega K^+\pi^-$ | 146.5±20.4 | 4.66 | 0.94 | 9.5 | 5.1±0.7±0.7 |

TABLE I: Signal yield $Y$ and its statistical uncertainty, MC efficiency $\varepsilon_{MC}$, PID efficiency $\varepsilon_{PID}$, significance $S$ with systematic uncertainties included, and measured branching fraction $B$. For $\omega K^+\pi^-$, $\varepsilon_{MC}$ and $B$ are for $M_{K\pi} \in (0.755, 1.250)$ GeV/c$^2$. For $B$, the first (second) error is statistical (systematic).
constant in $M_{K\pi}$. We uniformly vary the amplitude and phase from zero to a maximum and, for each case, generate and fit a large ensemble of toy MC experiments. The rms spread of deviations about the true value is taken as the systematic error ($+^{0.9\%}_{-1.1\%}$ for $\omega K^{*0}$). Combining all errors in quadrature gives a total systematic error of ($^{+14.7\%}_{-14.9\%}$). The systematic errors considered for $\omega K^{+}\pi^{-}$ are similar; the total is ($^{+13.9\%}_{-13.8\%}$).

To verify the large $\omega K^{+}\pi^{-}$ contribution (see Table I), we bin the data in $M_{K\pi}$ from 0.65 – 1.25 GeV/$c^{2}$ and, for each bin, perform a two-dimensional (2D) fit to $\Delta E$ and $M_{bc}$. The likelihood function consists of three components: signal + $\omega K^{+}\pi^{-}$, $qq\bar{q}+b\rightarrow c$, and $b\rightarrow s,u,d$. We plot the resulting yields of signal + $\omega K^{+}\pi^{-}$ as a function of $M_{K\pi}$ (Fig. 2) and fit this distribution to extract the signal and $\omega K^{+}\pi^{-}$ components. For $M_{K\pi} \in (0.755, 1.250)$ GeV/$c^{2}$ we obtain yields of 29.3 ± 12.1 and 161.5 ± 16.3 for signal and $\omega K^{+}\pi^{-}$, respectively; these values are in good agreement with the results of the 4D fit after accounting for the fit bias.

The differential decay width, after integrating over the angle between the decay planes of the $\omega$ and $K^{*0}$ mesons, is proportional to $(1 - f_{L})\sin^{2}\theta_{\omega}\sin^{2}\theta_{K^{*0}} + 4f_{L}\cos^{2}\theta_{\omega}\cos^{2}\theta_{K^{*0}}$. Here, $\theta_{\omega}$ is the $\omega$ helicity angle defined as the angle between the normal to the three-pion decay plane and the negative of the $B^{0}$ momentum in the $\omega$ rest frame. The fraction of longitudinal polarization $f_{L} \equiv |A_{0}|^{2}/\sum_{\lambda}|A_{\lambda}|^{2}$, where $A_{\lambda}$ are the helicity amplitudes for the longitudinal ($\lambda = 0$) and transverse ($\lambda = \pm 1$) states [18]. To determine $f_{L}$, we bin the data in $|\cos\theta_{\omega}|$ and $\cos\theta_{K^{*0}}$, and, for each bin, perform a 4D fit to $\Delta E$, $M_{bc}$, $M_{K\pi}$, and $M_{\pi\pi}$. The resulting signal yields as a function of the helicity cosines are shown in Fig. 3. We perform a simultaneous $\chi^{2}$ fit to these distributions, where the only floating parameter is $f_{L}$. The PDFs for the $A_{0}$ and $A_{\pm 1}$ helicity states are determined from MC simulation to take into account the detection efficiency. The statistical error is obtained from a toy MC study (the rms spread of the residuals from a large ensemble), since the errors in the distributions of Fig. 3 are correlated. Using a large toy MC sample we measure a 2% bias in the fitting procedure, which we use to correct the central value.

There are six main sources of systematic error in $f_{L}$: uncertainty in the PDF shapes (+0.16, −0.06); the fractions $f_{b\rightarrow s,u,d}$ (+0.02, −0.01) and $f_{SCF}$ (+0.01, −0.01); fitting bias (+0.02, −0.00); interference (+0.02, −0.01); and the $R_{\pi\pi}$ requirement (+0.08, −0.05). Adding the various systematic contributions in quadrature, we obtain a longitudinal polarization fraction

$$f_{L}(B^{0} \rightarrow \omega K^{*0}) = 0.56 \pm 0.29(\text{stat})^{+0.18}_{-0.08}(\text{syst}).$$  (2)

In summary, using $657 \times 10^{6} B\bar{B}$ pairs we have found evidence for the $B^{0} \rightarrow \omega K^{*0}$ decay with a significance of 3.0\sigma. We measure the branching fraction to be $\mathcal{B}(B^{0} \rightarrow \omega K^{*0}) = [1.8 \pm 0.7(\text{stat}) \pm 0.3(\text{syst})] \times 10^{-6}$. Our result is in agreement with theoretical estimates [3], and with the central value obtained by BaBar [7]. We also perform a helicity analysis of the $\omega$ and $K^{*0}$ vector mesons and measure a longitudinal polarization fraction $f_{L}(B^{0} \rightarrow \omega K^{*0}) = 0.56 \pm 0.29(\text{stat})^{+0.18}_{-0.08}(\text{syst})$. This central value is lower than that predicted by most theoretical models but is similar to that measured for other $b \rightarrow sq\bar{q}$ decays [1]. In addition, we measure a large non-resonant branching fraction $\mathcal{B}(B^{0} \rightarrow \omega K^{+}\pi^{-}; M_{K\pi} \in (0.755, 1.250) \text{ GeV}/c^{2}) = [5.1 \pm 0.7(\text{stat}) \pm 0.7(\text{syst})] \times 10^{-6}$ with a significance of 9.5\sigma. Assuming a uniform phase space distribution, this implies a branching fraction of $[79^{+11}_{-10}(\text{stat}) \pm 11(\text{syst})] \times 10^{-6}$ over the whole region.

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