21-cm fluctuations from inhomogeneous X-ray heating before reionization

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Accepted 2007 January 16. Received 2006 December 14; in original form 2006 July 11

ABSTRACT

Many models of early structure formation predict a period of heating immediately preceding reionization, when X-rays raise the gas temperature above that of the cosmic microwave background. These X-rays are often assumed to heat the intergalactic medium (IGM) uniformly, but in reality will heat the gas more strongly closer to the sources. We develop a framework for calculating fluctuations in the 21-cm brightness temperature that originate from this spatial variation in the heating rate. High-redshift sources are highly clustered, leading to significant gas temperature fluctuations (with fractional variations \(\sim\)40 per cent, peaking on \(k \sim 0.1\) Mpc\(^{-1}\) scales). This induces a distinctive peak-trough structure in the angle-averaged 21-cm power spectrum, which may be accessible to the proposed Square Kilometre Array. This signal reaches the \(\sim\)10 mK level, and is stronger than that induced by Ly\(\alpha\) flux fluctuations. As well as probing the thermal evolution of the IGM before reionization, this 21-cm signal contains information about the spectra of the first X-ray sources. Finally, we consider disentangling temperature, density and Ly\(\alpha\) flux fluctuations as functions of redshift.

Key words: intergalactic medium – cosmology: theory – diffuse radiation – X-rays: diffuse background.

1 INTRODUCTION

The formation of the first luminous objects ends the cosmic ‘dark ages’ and begins a period of heating and ionization of the intergalactic medium (IGM). The global thermodynamic history of this epoch, which culminates in reionization, depends upon many poorly constrained processes such as star formation, radiative feedback and the growth of H\textsc{ii} regions (Barkana & Loeb 2001). Currently, the best constraints on the ionization history come from observations of the Gunn–Peterson trough in quasar absorption lines (Gunn & Peterson 1965) and in Wilkinson Microwave Anisotropy Probe (WMAP) observations of the optical depth to recombination (Spergel et al. 2006). Current observations of the temperature evolution of the IGM are similarly limited. At low redshift, observations of the Ly\(\alpha\) forest place constraints on the temperature of the IGM after reionization (Schaye et al. 2000; McDonald et al. 2001; Zaldarriaga, Hui & Tegmark 2001; Theuns et al. 2002; Hui & Haiman 2003). Unfortunately, photoionization during reionization causes a large temperature increase that essentially erases information about the preceding period. At high redshift, it is assumed that the gas cools adiabatically after thermal decoupling from the cosmic microwave background (CMB) at \(z \approx 150\), when Compton scattering becomes inefficient (Peebles 1993). The intermediate regime, where the first sources have ‘switched on’, is poorly constrained. Once collapsed structures form, many different heating mechanisms are possible, e.g. shock heating (Furlanetto & Loeb 2004), resonant scattering of Ly\(\alpha\) photons (Madau, Meiksin & Rees 1997; Chen & Miralda-Escude 2004; Furlanetto & Pritchard 2006; Meiksin 2006; Rybicki 2006; Chuzhoy & Shapiro 2007) and X-ray heating (Ostriker & Gnedin 1996; Oh 2001; Venkatesan, Giroux & Shull 2001; Ricotti & Ostriker 2004). Determining the thermal history and identifying the important heating mechanisms require new observations.

Future telescopes such as the James Webb Space Telescope hope to image high-redshift sources directly. However, seeing the sources is not the same as seeing the heating and ionization they cause in the IGM. The most promising technique for probing the thermal history of the IGM before reionization is via observation of the 21-cm hyperfine transition of neutral hydrogen (Furlanetto, Oh & Briggs 2006 and references therein). This line may be seen in absorption against the CMB, when the spin temperature \(T_S\) is less than the CMB temperature \(T_C\), or in emission, when \(T_S > T_C\). Three prototype low-frequency interferometers (LOFAR,\textsuperscript{1} MWA\textsuperscript{2} and PAST\textsuperscript{3}) are under construction and should be capable of observing the redshifted 21-cm signal from gas at redshifts \(z \lesssim 12\), with the proposed Square Kilometre Array\textsuperscript{4} (SKA) capable of probing even higher

\textsuperscript{1}Low Frequency Array; see http://www.lofar.org/
\textsuperscript{2}Mileura Widefield Array; see http://web.haystack.mit.edu/arrays/MWA/
\textsuperscript{3}21-cm Array (21 CMA); see Pen, Wu & Peterson (2005).
\textsuperscript{4}See Carilli & Rawlings (2004).

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redshifts. A great deal of theoretical work has now been done in calculating the 21-cm signal from fluctuations in density $\delta$ (Loeb & Zaldarriaga 2004), the Ly$\alpha$ flux $J_{\alpha}$ (Barkana & Loeb 2005b; Pritchard & Furlanetto 2006) and the neutral fraction (Zaldarriaga, Furlanetto & Hernquist 2004; Furlanetto, Zaldarriaga & Hernquist 2004). Fluctuations in the 21-cm brightness temperature $T_b$ also occur because of fluctuations in the gas kinetic temperature $T_K$, but this has not yet been explored.

In this paper, we explore the effect of inhomogeneous X-ray heating by the first luminous sources on the 21-cm signal using analytic techniques. We first build a model for the global thermal history of the IGM following Furlanetto (2006). In this model, we assume that a population of X-ray sources resulting from the remnants of the first stars is responsible for heating the IGM (Ostriker & Gnedin 1996; Oh 2001; Venkatesan et al. 2001; Ricotti & Ostriker 2004). X-ray heating is dominated by soft X-rays ($E \lesssim 2$ keV), as harder X-rays have a mean free path comparable with the Hubble scale. These long mean free paths have often motivated the simplifying assumption that X-rays heat the IGM uniformly. In fact, clustering of the sources and the $1/r^2$ decrease of flux with distance combine to produce significantly inhomogeneous heating. We develop a formalism, based upon that of Barkana & Loeb (2005b), for calculating the temperature fluctuations that are sourced by these inhomogeneities. We use this to explore features in the 21-cm power spectrum that constrain the evolution of $T_b$. This calculation also motivates a consideration of the possibility of using 21-cm measurements to constrain the X-ray emission spectrum of the first sources.

Simulations of the early Universe have yet to address the spectrum of temperature fluctuations in the period before reionization. Previous analytic consideration of fluctuations in $T_b$ has focused on the period following recombination but before sources form (Barkana & Loeb 2005c; Naoz & Barkana 2005). Temperature fluctuations induced by the first sources have not previously been considered in detail.

The 21-cm signal can be thought of as a tool for probing various radiation backgrounds. Gas temperature fluctuations probe the X-ray background, neutral fraction fluctuations probe the ionizing ultraviolet (UV) background and Ly$\alpha$ fluctuations probe the non-ionizing UV background. While the focus of this paper is X-ray heating of the IGM, in practice, the different sources of 21-cm fluctuations are not cleanly separated. In order to properly establish context, we briefly re-examine the signal from fluctuations in the Ly$\alpha$ flux, incorporating Ly$\alpha$ production by X-ray excitation of H$\gamma$ (Chen & Miralda-Escude 2006; Chuzhoy, Alvarez & Shapiro 2004), and determine whether this contains extra useful information for constraining the spectral properties of the X-ray sources. Finally, we explore the feasibility of separating information on the temperature and Ly$\alpha$ flux fluctuations with the 21-cm signal.

The layout of this paper is as follows. We begin by setting out the physics of the 21-cm signal in Section 2. Calculating this requires a model for the global history of the IGM, which we outline in Section 3. Having established the mean history, in Section 4 we describe our framework for calculating fluctuations in $T_b$, $J_{\alpha}$ and the neutral fraction. This is used to calculate the power spectrum for fluctuations in $T_b$ in Section 5. We then calculate the 21-cm signal in Section 6, exploring the redshift evolution and dependence on the X-ray source spectrum and luminosity. Finally, we discuss the possibility of observationally detecting and separating these signals in Section 7 before concluding in Section 8. Throughout this paper, we assume a cosmology with $\Omega_m = 0.26$, $\Omega_{\Lambda} = 0.74$, $\Omega_b = 0.044$, $H = 100 h \, \text{km} \, \text{s}^{-1} \, \text{Mpc}^{-1}$ (with $h = 0.74$), $n_s = 0.95$ and $\sigma_8 = 0.8$, consistent with the latest measurements (Spergel et al. 2006), although we have increased $\sigma_8$ above the best-fitting WMAP value to improve agreement with weak-lensing data.

## 2 21-CM SIGNAL

We begin by briefly summarizing the physics of the 21-cm signal and refer the interested reader to Furlanetto et al. (2006) for further information. The 21-cm line of the hydrogen atom results from hyperfine splitting of the 1S ground state due to the interaction of the magnetic moments of the proton and the electron. The H$\gamma$ spin temperature $T_{\gamma}$ is defined via the number density of hydrogen atoms in the 1S singlet and triplet levels, $n_0$ and $n_1$, respectively, $n_1/n_0 = (g_1/g_0) \exp(-T_\gamma/T_S)$, where $(g_1/g_0) = 3$ is the ratio of the spin degeneracy factors of the two levels, and $T_S \equiv h/c \lambda_{21\text{cm}} = 0.0628$ K. The optical depth of this transition is small at all relevant redshifts, so the brightness temperature of the CMB is

$$T_b = 27x_{H}(1 + \delta_b),$$

$$\times \left( \frac{\Omega_B h^2}{0.023} \right) \left( \frac{0.15 \, 1 + z}{10} \right)^{1/2} \left( \frac{T_\gamma - T_S}{T_b} \right) \text{mK}. \tag{1}$$

Here, $x_{H}$ is the neutral fraction of hydrogen and $\delta_b$ is the fractional overdensity in baryons. The spin temperature is given by

$$T_S^{-1} = T_{\gamma}^{-1} + x_\alpha T_{\alpha}^{-1} + x_c T_c^{-1}, \tag{2}$$

where $T_c$ is the spin temperature of the Ly$\alpha$ radiation field at the Ly$\alpha$ frequency and is closely coupled to $T_b$ by recoil during repeated scattering. The spin temperature becomes strongly coupled to the gas temperature when $x_{\alpha c} \equiv x_\alpha + x_c \gtrsim 1$.

The collisional coupling coefficient is given by

$$x_c = \frac{4T_{\gamma}}{3A_{10}T_c} \left[ k_{1,0}^{\gamma H}(T_b) n_H + \kappa_{1,0}^{\gamma H}(T_b) n_c \right], \tag{3}$$

where $A_{10} = 2.85 \times 10^{-15} \, \text{s}^{-1}$ is the spontaneous emission coefficient, $k_{1,0}^{\gamma H}$ is tabulated as a function of $T_b$ (Allison & Dalgarno 1969; Zygelman 2005) and $\kappa_{1,0}^{\gamma H}$ is taken from Furlanetto & Furlanetto (2007). For a more detailed analysis of the collisional coupling, see Hirata & Sigurdson (2007).

The Wouthuysen–Field effect (Wouthuysen 1952; Field 1958) coupling is given by

$$x_u = \frac{16\pi^2 T_c e^2 f_{u\alpha}}{27A_{10}T_c m_e c} S_u J_{\alpha}, \tag{4}$$

where $f_{u\alpha} = 0.4162$ is the oscillator strength of the Ly$\alpha$ transition. $S_u$ is a correction factor of order unity, which describes the detailed structure of the photon distribution in the neighbourhood of the Ly$\alpha$ resonance (Chen & Miralda-Escudé 2004; Furlanetto & Pritchard 2006; Hirata 2007; Chuzhoy & Shapiro 2007). We make use of the approximation for $S_u$ outlined in Furlanetto & Pritchard (2006). For the models considered in this paper, Ly$\alpha$ coupling dominates over collisional coupling.

Fluctuations in the 21-cm signal may be expanded as (Furlanetto et al. 2006)

$$\delta_{T_b} = \beta \delta + \beta_s \delta_s + \beta_c \delta_c + \beta_\alpha \delta_\alpha + \beta_T \delta_T - \delta_{\delta_b}, \tag{5}$$

where each $\delta$ describes the fractional variation in the quantity $i$: $\delta_i$ for fluctuations in the Ly$\alpha$ coupling coefficient, $\delta_s$ for the neutral fraction, $\delta_T$ for $T_K$ and $\delta_{\delta_b}$, for the line-of-sight peculiar velocity
gradient. The expansion coefficients are given by

\[ \beta = 1 + \frac{x}{x_{\text{tot}}(1 + x_{\text{tot}})} \]
\[ \beta_i = 1 + \frac{x_i^{HII} - x_i^{HI}}{x_{\text{tot}}(1 + x_{\text{tot}})} \]
\[ \beta_a = \frac{x_a}{x_{\text{tot}}(1 + x_{\text{tot}})} \]
\[ \beta_T = \frac{T_f}{T_K - T_Y} \]
\[ + \frac{1}{x_{\text{tot}}(1 + x_{\text{tot}})} \left( x_c H \frac{d \log k^{HII}}{d \log T_K} + x_c H \frac{d \log k^{HI}}{d \log T_K} \right) . \]

In this, we assume that baryons trace the density field exactly so that \( \delta_b = \delta \). All of these quantities are positive, with the exception of \( \beta_T \), whose sign is determined by \( (T_K - T_Y) \). The apparent divergence in \( \beta_T \) when \( T_K = T_Y \) is an artefact of expanding the fractional brightness temperature about a point where the mean brightness temperature \( T_b = 0 \). The physical quantity \( \delta_b/\beta_T \) is always well behaved.

Noting that in Fourier space \( \delta_b = -\mu^2 \delta \) (Bharadwaj & Ali 2004), where \( \mu \) is the angle between the line of sight and the wavevector \( k \) of the Fourier mode, we may use equation (5) to form the power spectrum (Barkana & Loeb 2005a)

\[ P_T(k, \mu) = P_{\beta_T}(k) + \mu^2 P_{\beta_T}(k) + \mu^4 P_{\beta_T}(k). \]

In theory, high-precision measurements of the 3D power spectrum will allow the separation of these terms by their angular dependence. However, it is unclear whether the first generation of 21-cm experiments will be able to achieve the high signal-to-noise ratio required for this separation (McQuinn et al. 2006). Instead, they will measure the large-scale power spectrum (McQuinn et al. 2006). By this point, the ionization fraction has likely risen above the per cent level. Brightness temperature fluctuations are sourced by a mixture of fluctuations in ionization, density, and gas temperature.

3 GLOBAL HISTORY

3.1 Outline

We may express \( T_b \) as a function of four variables \( T_b = T_b(T_K, x, J_\alpha, n_\text{e}) \). In calculating the 21-cm signal, we require a model for the global evolution of and fluctuations in these quantities. We will follow the basic formalism of Furlanetto (2006), but first let us consider the main events in likely chronological order. This determines redshift intervals where the signal is dominated by fluctuations in the different quantities.

\[ z \geq 200 \]: after recombinations, Compton scattering maintains thermal coupling of the gas to the CMB, setting \( T_K = T_Y \) so that we expect \( T_b = 0 \).

\[ 40 \leq z \leq 200 \]: in this regime, adiabatic cooling means \( T_K < T_Y \) and collisional coupling sets \( T_S < T_Y \), leading to \( T_b < 0 \) and a possible absorption signal. At this time, \( T_K \) fluctuations are sourced by density fluctuations, potentially allowing cosmology to be probed (Loeb & Zaldarriaga 2004; Hirata & Sigurdson 2007).

\[ z \geq 40 \]: as the expansion continues, decreasing the gas density, collisional coupling becomes ineffective, absorption of CMB photons sets \( T_S = T_Y \), and there is no detectable 21-cm signal.

\[ z_\alpha \leq z \leq z_\ast \]: once the first sources switch on at \( z_\ast \), they emit both Ly\( \alpha \) photons and X-rays. In general, the emissivity required for Ly\( \alpha \) coupling is significantly less than that for heating \( T_K \) above \( T_Y \).

Thus, in the simplest models, we expect the redshift \( z_\alpha \), where Ly\( \alpha \) coupling saturates \( x_\alpha \gg 1 \), to be greater than \( z_\ast \), where \( T_K = T_Y \).

In this regime, \( T_S \sim T_K < T_Y \) and there is an absorption signal. Fluctuations are dominated by density fluctuations and variation in the Ly\( \alpha \) flux (Barkana & Loeb 2005b; Chen & Miralda-Escude 2006; Pritchard & Furlanetto 2006).

\[ z_\ast \leq z \leq z_\alpha \]: at \( z_\alpha \), the Ly\( \alpha \) coupling becomes significant, fluctuations in the Ly\( \alpha \) flux no longer affect the 21-cm signal. By this point, heating becomes significant and gas temperature fluctuations source \( T_b \) fluctuations. While \( T_K \) remains below \( T_Y \), we see a 21-cm signal in absorption, but as \( T_K \) approaches \( T_Y \), hotter regions may begin to be seen in emission.

\[ z \leq z_\ast \]: at the heating transition, \( T_K \gg T_Y \), and we expect to see a 21-cm signal in emission. The 21-cm brightness temperature is not yet saturated, which occurs at \( z_\ast \), when \( T_S \gg T_K \). By this time, the ionization fraction has likely risen above the per cent level. Brightness temperature fluctuations are sourced by a mixture of fluctuations in ionization, density, and gas temperature.

Most of these epochs are not sharply defined, so there should be considerable overlap between them. This seems the most likely sequence of events, although there is considerable uncertainty in the ordering of \( z_\alpha \) and \( z_\ast \). Nusser (2005) explores the possibility that \( z_\alpha > z_\ast \), so that X-ray preheating allows collisional coupling to be important before the Ly\( \alpha \) flux becomes significant. Simulations of the very first miniquasar (Kuhlen & Madau 2005; Kuhlen, Madau & Montgomery 2006) also probe this regime and show that the first luminous X-ray sources can have a great impact on their surrounding environment. We note that these authors ignored Ly\( \alpha \) coupling, and that an X-ray background may generate significant Ly\( \alpha \) photons (Chen & Miralda-Escude 2006), as we discuss in Section 3.3.

In this paper, we will concentrate on the period after \( z_\ast \), when luminous sources ‘switch on’, but before the IGM has been heated to \( T_K \gg T_Y \) (our \( z_\ast \)). In this regime, Ly\( \alpha \) coupling dominates and the 21-cm signal is seen in absorption at high \( z \) but in emission at lower \( z \). We will explore this transition in more detail below. One of our key observables for 21-cm observations is the sign of \( \beta_T \), which indicates whether \( T_K > T_Y \) (provided that collisional coupling can be neglected).

3.2 Heating and ionization

Having set the broad context, let us tighten our discussion with a concrete model for the evolution of the IGM; in this we follow Furlanetto (2006). We will distinguish between the ionization fraction \( x_i \), related to the volume filled by the highly ionized H\( \text{II} \) regions that are located around clusters of sources, and the free electron fraction \( x_e \) of the largely neutral gas outside these H\( \text{II} \) regions. The former is important for determining when reionization occurs, while the latter governs X-ray heating in the bulk of the IGM. We note that the volume filling fraction of the H\( \text{II} \) regions is well approximated.
by $x$, which we will use to calculate volume-averaged quantities. We further distinguish between $T_K$, the temperature of the IGM outside the H II regions and the temperature of these photoionized regions $T_{\text{HI}} \approx 10^4 K$. At high $z$, these regions are small and will not have a significant effect; while at low $z$, where reionization is well advanced, these H II regions will dominate and invalidate our formalism.

We begin by writing down equations for the evolution of $T_K$, $x$, and $x_e$

$$\frac{d T_K}{d r} = \frac{2 T_K}{3n} \frac{d n}{d r} + \frac{2}{3 K} \sum_j \epsilon_j / n,$$  

(9)

$$\frac{d x}{d r} = (1 - x_e) \Lambda_i - \alpha_A C x^2 n_H,$$  

(10)

$$\frac{d x_e}{d r} = (1 - x_e) \Lambda_e - \alpha_A C x^2 n_H,$$  

(11)

where $\epsilon_j$ is the heating rate per unit volume, and we sum over all possible sources of heating/cooling $j$. We define $\Lambda_i$ to be the rate of production of ionizing photons per unit time per baryon applied to H II regions. $\Lambda_e$ is the equivalent bulk in the quantum of the IGM, $\alpha_A = 4.2 \times 10^{-13} \text{cm}^3 \text{s}^{-1}$ is the Case A recombination coefficient at $T = 10^4 K$, and $C \equiv (n_e^2/n_H)^2$ is the clumping factor. We model the clumping factor using $C = 2$; this value for $C$ reproduces the qualitative form of the histories in Furlanetto (2006) and ensures reionization at $z \gtrsim 6$. This approximation is appropriate only while $x_e$ is small, and will fail towards the end of reionization, when clumping becomes important in determining the effect of recombinations (Miralda-Escudé et al. 2000).

In modelling the growth of H II regions, we take

$$\Lambda_i = \zeta(z) \frac{df_{\text{coll}}}{d r},$$  

(12)

where $f_{\text{coll}}(z)$ is the fraction of gas inside collapsed objects at $z$ and the ionization efficiency parameter $\zeta$ is given by

$$\zeta = \Lambda_{\text{He}} f_{\text{esc}} N_{\text{esc}},$$  

(13)

with $N_{\text{esc}}$ the number of ionizing photons per baryon produced in stars, $f_{\text{esc}}$ the fraction of baryons converted into stars, $f_{\text{esc}}$ the fraction of ionizing photons that escape the host halo and $\Lambda_{\text{He}}$ a correction factor for the presence of Helium. This model for $x_e$ is motivated by a picture of H II regions expanding into neutral hydrogen (Barkana & Loeb 2001). In calculating $f_{\text{coll}}$, we use the Press & Schechter (1974) mass function $dn/dm$ and determine a minimum mass $m_{\text{min}}$ for collapse by requiring the virial temperature $T_{\text{vir}} \geq 10^4 K$, appropriate for cooling by atomic hydrogen. Decreasing this minimum mass, say to that of molecular cooling, will allow star formation to occur at earlier times shifting the features that we describe in redshift. We note that $x_e \ll 1$ at all redshifts under consideration, as once the free electron fraction reaches a few per cent further X-ray energy is deposited primarily as heat, not further ionization.

To integrate equation (9), we must specify which heating mechanisms are important. Furlanetto (2006) considers several heating mechanisms including shock heating (Furlanetto & Loeb 2004) and resonant scattering of Ly$\alpha$ photons (Madau et al. 1997; Chen & Miralda-Escudé 2004; Furlanetto & Pritchard 2006; Chuzhoy & Shapiro 2007). We will neglect these contributions to heating of the IGM, focusing instead on the dominant mechanisms of Compton heating and X-ray heating. While shock heating dominates the thermal balance at low $z$, during the epoch we are considering it, probably, heats the gas only slightly before X-ray heating dominates. Compton heating serves to couple $T_K$ to $T_e$, at redshifts $z \gtrsim 150$, but becomes ineffective below that redshift. In our context, it serves to set the initial conditions before star formation begins. The heating rate per particle for Compton heating is given by

$$\frac{2 \epsilon_{\text{compton}}}{3 k_B n} = \frac{x_e}{1 + x_e} \frac{T_K - T_K}{u_e} (1 + z)^4,$$  

(14)

where $f_{\text{He}}$ is the helium fraction (by number), $u_e$ is the energy density of the CMB, $\sigma_T = 6.65 \times 10^{-25} \text{cm}^2$ is the Thomson cross-section, and we define

$$T^{-1} = \frac{8 \sigma_T u_e}{3 m_e c} = 8.55 \times 10^{-13} \text{yr}^{-1}.$$  

(15)

X-rays heat the gas primarily through photoionization of H I and H II: this generates energetic photoelectrons, which dissipate their energy into heating, secondary ionizations and atomic excitation. With this in mind, we calculate the total rate of energy deposition per unit volume as

$$\epsilon_X = 4 \pi n_l \int \frac{d \nu}{\nu} \sigma_{\nu,i} J_\nu (h \nu - h \nu_{\text{abs}}),$$  

(16)

where we sum over the species $i = \text{H I}, \text{He I}$ and $\text{He II}$; $n_l$ is the number density of species $i$, $h \nu_{\text{abs}} = E_0$ is the threshold energy for ionization, $\sigma_{\nu,i}$ is the cross-section for photoionization and $J_\nu$ is the number flux of photons of frequency $\nu$. We may divide this energy into heating, ionization and excitation by inserting the factor $f_{\text{i}}(\nu)$, defined as the fraction of energy converted into form $i$ at a specific frequency. The relevant division of the X-ray energy depends on $x_e$ and is calculated using the fitting formulae of Shull & van Steenberg (1985). The $f_{\text{i}}(\nu)$ are approximately independent of $\nu$ for $h \nu \gtrsim 100 \text{eV}$, so that the ionization rate is related to the heating rate by a factor $f_{\text{ion}}/f_{\text{heat}} E_{\text{in}}$. The X-ray number flux is found from

$$J_X(z) = \int_{h \nu}^\infty d \nu J_X (\nu; z),$$

$$= \int_{h \nu}^\infty d \nu \int_{\nu}^{\nu'} d \nu' \frac{(1 + z)^2}{4 \pi} \frac{c}{H(z)} \hat{\epsilon}_X (\nu', z) e^{-t},$$  

(17)

where $\hat{\epsilon}_X (\nu, z)$ is the comoving photon emissivity for X-ray sources, and $\nu'$ is the emission frequency at $z'$ corresponding to an X-ray frequency $\nu$ at $z$

$$\nu' = \frac{(1 + z')}{(1 + z)}.$$  

(18)

The optical depth is given by

$$\tau (\nu, z, z') = \int_{z}^{z'} \frac{d \nu}{\nu} d \nu' \left[ n_{\text{He II}} \sigma_{\text{He II}} (\nu') + n_{\text{He I}} \sigma_{\text{He I}} (\nu') \right],$$  

(19)

where we calculate the cross-sections using the fits of Verner et al. (1996). Care must be taken here, as the cross-sections have a strong frequency dependence and the X-ray frequency can redshift considerably between emission and absorption. In practice, the abundance of He II is negligible and may be neglected.

X-ray heating is often portrayed as uniform, as the X-ray photons possess long mean free paths. The comoving mean free path of an X-ray with energy $E$ is (Furlanetto et al. 2006)

$$\lambda_X \approx 4.9 x_{\text{HI}}^{1/3} \left( \frac{1 + z}{15} \right)^{-2} \left( \frac{E}{300 \text{eV}} \right)^{3} \text{Mpc}.$$  

(20)
Thus, the Universe will be optically thick, over a Hubble length, to all photons with energy below \( E \sim 2[(1+z)/15]^{1/2} \times 10^3 \) keV. The \( E^{-3} \)
dependence of the cross-section means that heating is dominated by soft X-rays, which do fluctuate on small scales. In addition, though, there will be a uniform component to the heating from harder X-rays.

We consider three possible sources of X-rays: star-burst galaxies, supernova remnants (SNR) and miniquasars (Ob 2001; Glover & Brand 2003; Furlanetto 2006). The incidences of starbursts and supernova remnants are likely to be tied to the global star formation rate (SFR) (Glover & Brand 2003). For simplicity, we will assume that miniquasars similarly track the SFR. In reality, of course, their evolution could be considerably more complex (Madau et al. 2004). We characterize these sources by an emissivity per unit (comoving) volume per unit frequency

\[
\dot{e}_X(z, \nu) = \frac{\text{SFRD}}{M_\odot \text{yr}^{-1} \text{Mpc}^{-3}} \left( \frac{\nu}{\nu_0} \right)^{-\alpha_S - 1},
\]

where SFRD is the SFR density, and the spectral distribution function is a power law with index \( \alpha_S \)

\[
\alpha_S = 
\]

and the pivot energy \( \hbar \nu_0 = 1 \) keV. We assume emission within the band 0.1–30 keV, and let \( \text{SFRD} = 3.4 \times 10^{30} f_X \text{ erg s}^{-1} \text{ Mpc}^{-3} \), where \( f_X \) is a highly uncertain constant factor. This normalization is chosen so that, with \( f_X = 1 \), the total X-ray luminosity per unit SFR is consistent with that observed in star-burst galaxies in the present epoch (see Furlanetto 2006 for further details). Extrapolating observations from the present day to high redshift are fraught with uncertainty, and we note that this normalization is very uncertain.

The total X-ray luminosity at high redshift is constrained by observations of the present-day soft X-ray background, which rules out complete reionization by X-rays, but allows considerable latitude for heating (Dijkstra, Haiman & Loeb 2004). Similarly, there is significant uncertainty in the spectra of these objects. We choose \( \alpha_S = 1.5 \) for starbursts, \( \alpha_S = 1.0 \) for SNR and \( \alpha_S = 0.5 \) for miniquasars (Madau et al. 2004). These span the reasonable spectral dependence of possible X-ray sources.

As in equation (12), we model the SFR as tracking the collapse of matter, so that we may write the SFR per (comoving) unit volume

\[
\text{SFRD} = \dot{\rho}_b(z)(f_{\text{heat}}) \frac{d}{dz} f_{\text{coll}}(z),
\]

where \( \dot{\rho}_b(z) \) is the cosmic mean baryon density today. This formalism is appropriate for \( z > 10 \), as at later times star formation as a result of mergers becomes important.

### 3.3 Ly\( \alpha \) flux

Finally, we must describe the evolution of the Ly\( \alpha \) flux. This is produced by stellar emission \( (J_{\alpha, *}) \) and by X-ray excitation of H\( \alpha \) \((J_{\alpha, X})\). Photons emitted by stars, between Ly\( \alpha \) and the Lyman limit, will redshift until they enter a Lyman series resonance. Subsequently, they may generate Ly\( \alpha \) photons, as discussed in Pritchard & Furlanetto (2006) and Hirata (2006). The Ly\( \alpha \) flux from stars \( J_{\alpha, *} \) arises from a sum over the Ly\( \alpha \) levels, with the maximum \( n \) that contributes \( n_{\text{max}} \approx 23 \) determined by the size of the H\( \alpha \) region of a typical (isolated) galaxy (see Barkana & Loeb 2005b for details). The average Ly\( \alpha \) background is then

\[
J_{\alpha, X}(z) = \sum_{n=2}^{n_{\text{max}}} J_{\alpha}^{(n)}(z),
\]

\[
= \sum_{n=2}^{n_{\text{max}}} f_{\text{recycle}}(n) \times \int_{z_{\text{max}}(n)}^{\infty} \frac{d\nu'}{4\pi} \frac{c}{H(\nu')} \hat{e}_X(\nu', z'),
\]

where \( z_{\text{max}}(n) \) is the maximum redshift from which emitted photons will redshift into the level \( n \) Lyman resonance, \( \nu' \) is the emission frequency at \( z' \) corresponding to absorption by the level \( n \) at \( z \). For X-rays (equation 21), and define \( \hat{e}_X(\nu) \) to be the spectral distribution function of the stellar sources. We consider models with Pop. II and very massive Pop. III stars. In each case, we take \( e_p(\nu) \) to be a broken power law with one index describing emission between Ly\( \alpha \) and Ly\( \beta \), and a second describing emission between Ly\( \beta \) and the Lyman limit (see Pritchard & Furlanetto 2006 for details).

Photoionization of H\( \alpha \) or H\( \beta \) by X-rays may also lead to the production of Ly\( \alpha \) photons. In this case, some of the primary photoelectron’s energy ends up in excitations of H\( \alpha \) (Shull & van Steenberg 1985), which on relaxation may generate Ly\( \alpha \) photons (Madau et al. 1997; Chen & Miralda-Escude 2006; Chuzhoy et al. 2006). This Ly\( \alpha \) flux \( J_{\alpha, X} \) may be related to the X-ray heating rate as follows. The rate at which X-ray energy is converted into Ly\( \alpha \) photons is given by

\[
\epsilon_{X, \alpha} = \frac{\epsilon_{X, \text{heat}} f_{\alpha}}{f_{\text{heat}}} p_a,
\]

where \( f_{\alpha} \) and \( f_{\text{heat}} \) are the fraction of X-ray energy going into excitation and heating, respectively, and \( p_a \) is the fraction of excitation energy that goes into Ly\( \alpha \) photons. We then find the Ly\( \alpha \) flux by assuming that this injection rate is balanced by photons redshifting out of the Ly\( \alpha \) resonance, so

\[
J_{\alpha, X} = \frac{c}{4\pi \hbar \nu_\alpha} \frac{1}{H(\nu_\alpha)}.
\]

Shull & van Steenberg (1985) calculated \( f_{\alpha} \) and \( f_{\text{heat}} \), but their Monte Carlo method gives only a little insight into the value of \( p_a \). Although excitations to the 2P level will always generate Ly\( \alpha \) photons, only some fraction of excitations to other levels will lead to Ly\( \alpha \) generating cascades. The rest will end with two photon decay from the 2S level. Shull & van Steenberg (1985) considered a simplified atomic system, in which collisional excitations to \( n \geq 3 \) levels were incorporated by multiplying the excitation cross-section to the \( n = 2 \) level by a factor of 1.35 (Shull 1979). Thus, we might expect of the order of 0.35/1.35 ~ 0.26 of collisional excitations to end at an \( n \geq 3 \) level.

We estimate \( p_a \) by calculating the probability that a secondary electron of energy \( E_{\text{rec}} \) will excite H\( \alpha \) from the ground state to the level n\( \ell \), using the collisional cross-sections of Bray et al. (2002), and then applying the probability that the resulting cascade will produce a Ly\( \alpha \) photon, taken from Pritchard & Furlanetto (2006) and Hirata (2006). The iterative procedure of Pritchard & Furlanetto (2006) gives the probability of producing a Ly\( \alpha \) photon by cascade

\[\text{Taken from http://atom.murdoch.edu.au/CCC-WWW/index.html.}\]
3.4 Model histories

Having outlined the various elements of our global history, we will restrict ourselves to considering two models. These will be (A) Pop. II stars + star-burst galaxies and (B) Pop. III + star-burst galaxies. Of course, these are only two of an infinite set of possibilities, but they serve to illustrate the effect of different Ly \( \alpha \) and X-ray luminosities on the signal. We use parameters corresponding to Pop. II \((f_{\text{esc}} = 0.1, f_{\nu} = 0.1, N_{\text{ion}} = 4000)\) and very massive Pop. III \((f_{\text{esc}} = 0.1, f_{\nu} = 0.01, N_{\text{ion}} = 30,000)\) stars (Furlanetto 2006), although we note that these values are highly uncertain. We take \( f_{\nu} = 1 \) in both models to allow straightforward comparison between the two models. The amplitude of the X-ray background is extremely uncertain, so that \( f_{\nu} \) is almost unconstrained, and we defer discussion of its effects until Section 6.3.

The global histories produced by these models are shown in Figs 1 and 2. In Fig. 1, we see the evolution of \( \bar{T}_K, \bar{T}_S \) and \( \bar{T}_\gamma \). Note that, while both models produce the same qualitative behaviour, the reduced SFR in Model B delays the onset of heating from \( z \approx 18 \) to 15. We also see that the heating transition, where \( \bar{T}_K = \bar{T}_\gamma \), occurs at \( z_0 \approx 14 \) in Model A and \( z_0 \approx 11 \) in Model B. We have assumed that the X-ray luminosity per unit star formation is the same for both populations, so this is an effect of \( f_{\nu} \) rather than the initial mass function. In addition, Pop. III stars produce fewer Ly \( \alpha \) photons than Pop. II stars further slowing the onset of Lyman coupling.

Fig. 1(b) shows the distinctive \( \bar{T}_K \) signature of absorption at \( z \approx z_0 \) followed by emission at \( z < z_0 \) in both models. The signal is significantly larger and more extended in Model A (see Furlanetto 2006 for more detailed discussion of such histories). The ionization history is outlined in Fig. 2(a) and shows that \( x_i \) evolves similarly in both models, as they have similar values for \( \zeta \). The electron fraction in the IGM \( x_e \) is depressed in Model B, where there is a smaller X-ray background. Note that \( x_e \) remains much smaller than \( x_i \) once ionization begins. Both ionization histories produce an optical depth to the surface of last scattering \( \tau_i \approx 0.07 \), consistent with the WMAP third year observations of \( \tau_i = 0.09 \pm 0.03 \) (Spergel et al. 2006), although slightly on the low side. Our model for temperature fluctuations will be geared towards making predictions for the largely neutral IGM outside of the ionized H II regions surrounding clusters of UV sources. Consequently, from Fig. 2(a), we expect our model to be valid for \( z \geq 12 \), where \( x_e \lesssim 0.1 \) and the filling fraction of the H II regions is small.

Fig. 2(b) shows \( \beta, \bar{T}_K \), which is a measure of the sensitivity of the 21-cm signal to fluctuations in each fundamental quantity. If the 21-cm signal were dominated by component \( \delta \) and if the fluctuation had unit amplitude \( \delta_i \approx 1 \), then \( \beta, \bar{T}_K \) gives the amplitude of the 21-cm signal. Note that the curves for \( \delta \) and \( \delta_i \) are almost indistinguishable and track \( \bar{T}_K \). In contrast, the curves for \( \delta_n \) and \( \delta_T \) show clear peaks - representing windows where an existing signal might be seen. We may identify \( z_0 \) as the point where \( \bar{T}_K = 0 \) and all curves except that for \( \beta \) go to zero. At this point, the only fluctuations in \( \beta \) arise from fluctuations in \( \bar{T}_K \). In practice, this 'null' is more mathematical than physical, as inhomogeneities will blur the situation. The redshift window for observing the 21-cm signal is clearly much narrower in Model B, indicating that it will be much more confused than in Model A.

Figure 1. Mean IGM thermal history for Models A (thick curves) and B (thin curves). (a) \( \bar{T}_K \) (solid curve), \( \bar{T}_S \) (dashed curve) and \( \bar{T}_\gamma \) (dotted curve). (b) Volume-averaged \( \bar{T}_K \) (solid curve). The zero line is indicated by a dashed horizontal line. Note that this is the thermal history outside of the ionized H II regions.

Figure 2. Ionization histories for Models A (thick curves) and B (thin curves). (a) \( \bar{x}_1 \) (dotted curve), \( x_e \) (solid curve) and the volume-averaged ionization fraction \( \bar{x}_i = x_i + (1 - x_i) x_e \) (solid curve). (b) The quantities \( \beta, \bar{T}_K \). We plot \( \beta \) (solid curve), \( \beta_\gamma \) (long dashed curve, overlapping with \( \beta \)), \( \beta_T \) (short dashed curve) and \( \beta_n \) (dotted curve).
4 Formalism for Temperature and Ionization Fluctuations

Having specified our global history, we now turn to calculating the fractional fluctuations $\delta_T$, $\delta_x$ and $\delta_\gamma$. Note that we will primarily be interested in the signal from the bulk of the IGM, working at redshifts where $x_s \lesssim 0.1$, so that we will ignore the fluctuations induced by H\text{II} regions. We begin by formulating equations for the evolution of $\delta_T$ and $\delta_x$ (the fractional fluctuation in $x_s$) by perturbing equations (9) and (11) (see also Barkana & Loeb 2005c; Naoz & Barkana 2005). This gives

$$\frac{d\delta_T}{dr} - \frac{2}{3} \frac{d\delta_x}{dr} = \sum_i 2\Lambda_{\text{heat}i} \frac{1}{3k_b T_R} \left[ \delta_{\text{heat}i} - \delta_T \right],$$

(27)

$$\frac{d\delta_x}{dr} = \frac{1}{x_s} \bar{\Lambda}_x [\delta_{x_e} - \delta_x] - \alpha_x C \bar{\delta}_x \bar{\eta}_T [\delta_x + \delta],$$

(28)

where an overbar denotes the mean value of that quantity, and $\Lambda = \epsilon/n$ is the ionization or heating rate per baryon. We also need the fluctuation in the neutral fraction $\delta_x = -x_s/(1-x_s)\delta_x$ and in the Lyc coupling coefficient $\delta_x = \delta_x$, neglecting the mild temperature dependence of $\bar{\delta}_x$ (Pritchard & Furlanetto 2006).

To obtain a closed set of equations, we must calculate the fluctuations in the heating and ionizing rates. Perturbing equation (14), we find that the contribution of Compton scattering to the right-hand side of equation (27) becomes (Naoz & Barkana 2005)

$$\frac{2\bar{\Lambda}_{\text{heat}C}}{3k_b T_R} \left[ \delta_{\text{heat}C} - \delta_T \right] = \frac{\bar{x}_e}{1 + f_{\text{he}} + \bar{x}_e T_R} \frac{\alpha^4}{T_R} \times \left[ 4 \left( \frac{T_R}{T_K} - 1 \right) \delta_T + \frac{T_R}{T_K} (\delta_T - \delta_\gamma) \right].$$

(29)

where $\delta_T$ is the fractional fluctuation in the CMB temperature, and we have ignored the effect of ionization variations in the neutral fraction outside of the ionized bubbles, which are small. Before recombination, tight coupling sets $T_K = T_R$ and $\delta_\gamma = \delta_T$. This coupling leaves a scale-dependent imprint in the temperature fluctuations, which slowly decreases in time. We will ignore this effect, as it is small ($\sim$10 per cent) below $z = 20$, and once X-ray heating becomes effective any memory of these early temperature fluctuations is erased. At low $z$, the amplitude of $\delta_T$ becomes negligible, and equation (29) simplifies.

Our main challenge is then to calculate the fluctuations in the X-ray heating. We will achieve this by paralleling the approach of Barkana & Loeb (2005b) to calculating fluctuations in the Lyc flux from a population of stellar sources. We first outline their results (see also Pritchard & Furlanetto 2006). Density perturbations at redshift $z'$ source fluctuations in $J_\alpha$ is seen by a gas element at redshift $z$ via three effects. First, the number of galaxies traces, but is biased with respect to, the underlying density field. As a result, an overdense region will contain a factor $[1 + b(z')]$ more sources, where $b(z')$ is the (mass-averaged) bias, and will emit more strongly. Next, photon trajectories near an overdense region are modified by gravitational lensing, increasing the effective area by a factor of $(1 + 25/3)$. Finally, peculiar velocities associated with gas flowing into overdense regions establish an anisotropic redshift distortion, which modifies the width of the region contributing to a given observed frequency. Given these three effects, we can write $\delta_\gamma = \delta_\gamma = W_\alpha(k)\delta$, where we compute the window function $W_\alpha(k)$ for a gas element at $z$ by adding the coupling due to Lyc flux from each of the Lyc resonances and integrating over radial shells (Barkana & Loeb 2005b)

$$W_\alpha(k) = \frac{1}{J_\alpha r} \sum_{i=0}^{n_{\text{max}}} \int_{r_{\text{max}}}^r \frac{d\bar{J}_\alpha}{dz} d\bar{J}_\alpha dz^\prime \times \frac{D(z^\prime)}{D(z)} \left\{ \left[ 1 + b(z') \right] j_0(kr) - \frac{2}{3} j_2(kr) \right\},$$

(30)

where $D(z)$ is the linear growth function, $r = r(z, z')$ is the distance to the source and the $j_l(x)$ are spherical Bessel functions of order $l$.

We plot $W_\alpha(k)$ in Fig. 3. On large scales, $W_\alpha(k)$ approaches the average bias of sources, while on small scales it dies away rapidly encoding the property that the Lyc flux becomes more uniform. In addition to the fluctuations in $J_\alpha$, there will be fluctuations in $J_{\text{Ly}α}$, $J_{\text{Ly}β}$, and $J_{\text{He}α}$. The fluctuation in $J_{\text{Ly}α}$ is small, as is the case for $z > 10$. The fluctuations in $J_{\text{Ly}β}$ and $J_{\text{He}α}$ are small, as is the case for $z > 10$. The fluctuations in $J_{\text{Ly}α}$, $J_{\text{Ly}β}$, and $J_{\text{He}α}$ are small, as is the case for $z > 10$.

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$$W_\alpha(k) = \frac{1}{J_\alpha r} \sum_{i=0}^{n_{\text{max}}} \int_{r_{\text{max}}}^r \frac{d\bar{J}_\alpha}{dz} d\bar{J}_\alpha dz^\prime \times \frac{D(z^\prime)}{D(z)} \left\{ \left[ 1 + b(z') \right] j_0(kr) - \frac{2}{3} j_2(kr) \right\},$$

(30)

where $D(z)$ is the linear growth function, $r = r(z, z')$ is the distance to the source and the $j_l(x)$ are spherical Bessel functions of order $l$.

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We now extend the formalism of Barkana & Loeb (2005b) in an obvious way to calculate fluctuations in the X-ray heating rate. First, note that for X-rays $\delta_{\text{Ly}α} = \delta_{\text{Ly}β} = \delta_\gamma = \delta_{\text{Ly}α}$, as the rate of heating, ionization and production of Lyα photons differ only by constant multiplicative factors (provided that we may neglect fluctuations in $x_e$, which are small). In each case, fluctuations arise from variation in the X-ray flux. We then write $\delta_{\text{Ly}α} = W_\alpha(k)\delta$ and obtain

$$W_\alpha(k) = \frac{1}{X_{\alpha} r} \int_{E_{\text{th}}}^{\infty} dE \int_{z}^{z'} \frac{dE \alpha_\alpha(E)}{dz} \times \frac{D(z')}{D(z)} \left\{ \left[ 1 + b(z') \right] j_0(kr) - \frac{2}{3} j_2(kr) \right\},$$

(31)

where the contribution to the energy deposition rate by X-rays of energy $E$ emitted with energy $E'$ from between redshifts $z'$ and $z' + dz'$ is given by

$$\frac{d\alpha(E)}{dz'} = \frac{4\pi}{h} \sigma(E) \frac{dJ(E, z)}{dz'} (E - E_{\text{th}}).$$

(32)

Figure 3. $W_\alpha(k)$ (dotted curves), $W_\beta(k)$ (dashed curves) and $g_\gamma(k)$ (solid curves) at $z = 20$ (thin curves) and $z = 15$ (thick curves) for Model A.
and $\tilde{\Lambda}_X$ is obtained by performing the energy and redshift integrals. Note that rather than having a sum over discrete levels, as in the Ly$\alpha$ case, we must integrate over the X-ray energies. The differential X-ray number flux is found from equation (17).

The window function $W_X(k)$ gives us a ‘mask’ to relate fluctuations to the density field; its scale dependence means that it is more than a simple bias. The typical sphere of influence of the sources extends to several Mpc. On scales smaller than this, the shape of $W_X(k)$ will be determined by the details of the X-ray source spectrum and the heating cross-section. On larger scales, the details of the heated regions remain unresolved so that $W_X(k)$ will trace the density fluctuations.

A further word of explanation about this calculation is worthwhile. An X-ray is emitted with energy $E'$ at a redshift $z'$ and redshifts to an energy $E$ at redshift $z$, where it is absorbed. To calculate $W_X$, we perform two integrals in order to capture the contribution of all X-rays produced by sources at redshifts $z' > z$. The integral over $z'$ counts X-rays emitted at all redshifts $z' > z$ which redshift to an energy $E$ at $z$; the integral over $E$ then accounts for all the X-rays of different energies arriving at the gas element. Together, these integrals account for the full X-ray emission history and source distribution. Many of these X-rays have travelled considerable distances before being absorbed. The effect of the intervening gas is accounted for by the optical depth term in $\tilde{\Lambda}_X$. The behaviour of $\tilde{\Lambda}_X$ is illustrated in Fig. 3.

Returning now to the calculation of temperature fluctuations, to obtain solutions for equations (27) and (28), we let $\delta_T = g_T(k, z)\delta$, $\delta_e = g_e(k, z)\delta$, $\delta_0 = W_0(k, z)\delta$ and $\delta_{\Lambda_X} = W_{\Lambda_X}(k, z)\delta$, following the approach of Bharadwaj & Ali (2004). Unlike Bharadwaj & Ali (2004), we do not assume these quantities to be independent of scale, and so we must solve the resulting equations for each value of $k$. Note that we do not include the scale dependence induced by coupling to the CMB (Naor & Barkana 2005). In the matter-dominated limit, we have $\delta \propto (1 + z)^{-1}$ and so obtain

$$
\frac{dg_T}{dz} = \frac{g_T - 2/3}{1 + z} - Q_X(z)[W_X(k) - g_T] - Q_\gamma(z)g_T, \tag{33}
$$

$$
\frac{dg_e}{dz} = \frac{g_e}{1 + z} - Q_I(z)[W_X(k) - g_e] - Q_\gamma(z)[1 + g_e], \tag{34}
$$

where we define

$$
Q_X(z) = \frac{\tilde{\Lambda}_X(1 + z)}{\tilde{\Lambda}_X(1 + z)H(z)}, \tag{35}
$$

$$
Q_\gamma(z) = \frac{\alpha_s\tilde{\Lambda}_X(1 + z)}{(1 + z)H(z)}. \tag{36}
$$

5 TEMPERATURE FLUCTUATIONS

Before calculating the 21-cm signal, let us first examine the gas temperature fluctuations themselves. Fig. 4 shows the power spectrum of temperature fluctuations $P_T(k)$ for Models A and B, respectively.
We see that in both cases the fluctuations are small until \( z < 20 \). At lower redshifts and on larger scales \( (k \approx 0.1 \, \text{Mpc}^{-1}) \), the heating fluctuations source a significant \((a \text{ factor of } \approx 50)\) enhancement over the uniform heating case. This is to be expected. Uniform heating of the gas tends to erase temperature fluctuations, while inhomogeneous heating causes them to grow. Thus, we observe a huge increase in power. The fluctuation amplitude in Model B is generally smaller than in Model A as a consequence of the reduced heating from the decreased SFR in Model B. In both cases, the temperature fluctuations remain small, \( \delta_T < 1 \) (compare with Fig. 1), justifying our linear approximations.

Fig. 5 illustrates the redshift evolution of the temperature fluctuations. We choose to follow a single wavenumber \( k = 0.1 \, \text{Mpc}^{-1} \), which is both within those scales accessible to future experiments and demonstrative of the effect. If the gas is heated uniformly (dashed curves), then \( g_T \) rapidly becomes negligible once heating becomes effective. By depositing the same amount of energy per particle, the gas is driven towards isothermality. When heating fluctuations are taken into account, \( g_T \) may grow or decrease depending on scale. We observe that, for the scale chosen here, the amplitude of the temperature fluctuations grows steadily with time, but \( g_T \) decreases. This is a consequence of the sources becoming less biased with time so that \( W_X(z) \) decreases with \( z \). On very small scales, where \( W_X(k) \) is negligible, \( g_T \) will trace the uniform heating curve.

Recall that whether we observe the 21-cm line in emission or absorption depends on the sign of \( T_S - T_T \). Assuming that \( T_S \approx T_K \), when \( T_K < T_T \), hotter regions have a spin temperature closer to the CMB temperature and so appear more faintly in absorption. As heating continues, it is these regions that are first seen in emission, when their temperature exceeds \( T_T \). Once \( T_K > T_T \), these hotter regions produce the largest emission signal.

We see from Fig. 5 that for a short window around \( z_0 \) (where \( T_K = T_T \)) temperature fluctuations may raise \( T_K \) above \( T_T \) in these hot regions, even when \( T_K \) is less than \( T_T \). We interpret this to mean that within this window the 21-cm signal will be a confusing mix of emission, from hotter regions, and absorption, from cooler regions. In the case of uniform heating, this window is very narrow, but when fluctuations are included it extends to a significant \((\Delta z \approx 1)\) width. This indicates that the transition from absorption to emission will not be abrupt, but extended.

### 6 21-CM POWER SPECTRUM

#### 6.1 Redshift evolution

Finally, we write the full 21-cm power spectrum as

\[
P_{\gamma\nu}(k, \mu) = T_k^2(\beta^2 + \mu^2) P_{\delta A}(k),
\]

where

\[
\beta^2 = \beta - \beta_X \frac{X_e}{(1 + \bar{x}_e)} + \beta_T g_T + \beta_{\nu} W_\nu.
\]

Within our model, we may neglect the term corresponding to the neutral fraction, as the free electron fraction in the IGM remains small at all times. We now consider how the 21-cm power spectrum evolves with redshift.

Fig. 6 shows the evolution of the brightness temperature fluctuations at a single scale \( k = 0.1 \, \text{Mpc}^{-1} \) with redshift. First, note that in the bottom panel \( \Delta T^2 \) changes sign when we include temperature fluctuations (note that \( \Delta T^2 \) is not an autocorrelation and so is free to have a negative sign). Physically, this occurs because when \( T_K < T_T \) there is an anticorrelation between \( T_k \) and \( T_K \), i.e. increasing \( T_K \) decreases \( T_T \). Observing \( P_{\mu^2} < 0 \) is a clear sign that \( T_K < T_T \). Mathematically, this can be seen because \( \beta_T \) is the only one of the fluctuation coefficients that can become negative. Of course, if \( P_{\beta T} \) or other cross-correlations become negative we can also get \( P_{\mu^2} < 0 \), but this should not be the case for radiative heating or Ly\( \alpha \) coupling, as we expect emitting sources to be most common
in overdense regions. Only in the case of $P_{\gamma i}$ might we expect a negative cross-correlation, as increasing the UV radiation is likely to decrease the neutral fraction. In the high-redshift regime, before significant ionization has occurred, this term is negligible.

Adding the Lyα fluctuations, we see a clear double peaked temporal structure in the evolution of $\Delta_T$, which is dominated by Lyα fluctuations at high $z$ and temperature fluctuations at lower $z$ (were we to include the effects of ionization fluctuations, there would be a third peak at still lower redshift). We note that there is considerable overlap between the two signals, which will complicate extracting astrophysical information. The situation is similar in Model B, although here the relevant signal is compressed into a narrower redshift window. We note that the amplitude of fluctuations induced by the gas temperature is significantly larger than those from the Lyα signal and present at lower redshifts. Both of these features make the temperature fluctuation signal a plausible target for future observations.

To illustrate the scale dependence of this signal, we examine a series of redshift slices. We will make plots for Model A. Although the same evolution applies for Model B, the events are shifted to lower redshift $\Delta z \approx 3$ and the transitions are somewhat compressed in redshift. We begin by examining the high-redshift regime, where Lyα fluctuations dominate the 21-cm signal, but temperature fluctuations become important as we move to lower redshift.

Fig. 6 shows redshift slices from $z = 17$ to 20. We can see from Fig. 6 that Lyα fluctuations dominate the signal for $z \gtrsim 18$. The $z = 20$ and 19 curves show the expected excess of power on large scales for Lyα fluctuations from the first sources (see Barkana & Loeb 2005b for a full analysis of this signal). At $z = 18$, we begin to see the effects of the temperature fluctuations through the dip in power between $k = 0.1$ and 1 Mpc$^{-1}$. This dip occurs because $\beta_T < 0$, contrasting with the other $\beta_i$, which are positive. Physically, in this regime $T_K < T_g$ and regions that are hotter have a smaller brightness temperature. In our model, denser regions are more strongly coupled, which increases $T_b$, but are also hotter, which tends to decrease $T_c$. These two effects compete with one another and produce the dip.

At $z = 17$, temperature fluctuations grow large enough to drive $\beta'$ negative over a range of scales, where they outweigh the Lyα fluctuations. This leads to a sign change in $\Delta_T$, but also imprints a distinctive trough-peak-trough structure in $\Delta_T$. Here, Lyα fluctuations dominate on the largest scales, temperature fluctuations on intermediate scales and density fluctuations on small scales. For this to occur, we require that $W_\alpha > g_T$ on large scales, which can only occur if $W_\alpha$ and $g_T$ show different scale dependence. This always occurs at some redshift in our model, as both $W_\alpha$ and $W_\beta$ tend towards the same value on large scales, but $g_T$ lags behind (and is smaller than) $W_X$ on those scales.

From Fig. 6, we see that $T_K$ fluctuations dominate at $z \lesssim 17$ and that Lyα fluctuations become negligible for $z \lesssim 15$. In Fig. 8, we plot redshift slices in the range $z = 13$–16. At $z = 16$ and 15, we see a sign change in $\Delta_T$, which is a distinctive signature of the temperature fluctuations when $T_K < T_g$. This is seen in $\Delta_T$ as a peak on large scales, followed by a trough at smaller scales. The position of the peak depends upon the shape of $g_T$ and the X-ray source spectrum. We will consider this in more detail in the next section.

Note that the heating transition occurs very close to $z = 14$, so that the 21-cm signal at this redshift would likely be seen in a mixture of absorption and emission. In addition, this curve is dominated by gas temperature fluctuations. We see this in Fig. 8 where the contribution from density fluctuations at $z = 14$ (thin solid curve) is at least a factor of 2 smaller than $\Delta_T$ on all scales. Recall from Fig. 2 that when $T_i \approx 0$ only the combination $C_{\Delta_T}\beta_1$ is significant.

The position of the sign change moves to smaller scales as the gas is heated and the temperature fluctuations become larger. Eventually, the IGM heats to $T_K > T_g$, hotter regions have a higher brightness temperature than average and $\beta_T > 0$. Once this occurs, the trough disappears entirely and the peak on large scales is no longer quite so distinctive (see $z = 14$ curve). The continued IGM heating drives
duces a distinct knee in the 21-cm power spectrum resulting from the characteristic size of the bubbles. We note that our models have $z = 6.2$ Spectral dependence

We next imagine using the temperature fluctuations to constrain the X-ray source spectra. This should affect the temperature fluctuations on intermediate scales, where heating fluctuations dominate. Increasing the hardness of the spectrum increases the fraction of more energetic photons, which have longer mean free paths. This should further smooth the temperature fluctuations and suppress power on small scales.

$\beta_T \rightarrow 0$ and diminishes the effect of the temperature fluctuations. By $z = 13$, there is no longer a clear peak in either $\Delta T^2$ or $\Delta b_T$, although there is still considerable excess power on large scales. By $z = 10$, $T_X \gg T_H$ and temperature fluctuations no longer impact the 21-cm signal significantly.

Once the ionization fraction becomes large ($x_i \gtrsim 0.1$), the 21-cm signal becomes dominated by the imprint of H I regions (Furlanetto et al. 2004; Zaldarriaga et al. 2004). This eventually produces a distinct knee in the 21-cm power spectrum resulting from the characteristic size of the bubbles. We note that our models have $x_i \lesssim 0.1$ at $z \gtrsim 12$, so that we do not expect ionization fluctuations to significantly affect the results we have outlined for Model A. In the case of Model B, temperature fluctuations remain significant to lower redshift where they may interfere with attempts to measure the power spectrum of ionization fluctuations. The reverse is also true.

6.2 Spectral dependence

We next imagine using the temperature fluctuations to constrain the X-ray source spectra. This should affect the temperature fluctuations on intermediate scales, where heating fluctuations dominate. Increasing the hardness of the spectrum increases the fraction of more energetic photons, which have longer mean free paths. This should further smooth the temperature fluctuations and suppress power on small scales.

Fig. 9 shows the power spectra at $z = 15$ (chosen to maximize the distinctive features of the temperature fluctuations) for source spectra $\alpha_S = 1.5$ (miniquasars), $\alpha_S = 1.0$ (SNR) and $\alpha_S = 0.5$. We see that the spectra alter the most on scales $k \approx 0.1-10$ Mpc$^{-1}$. The two main signatures are the change in amplitude and shift in the position of the trough. Both of these occur because increasing the slope of the spectrum, with fixed total luminosity, increases the number of soft X-rays and so increases the heating in smaller scales. The trough (or sign change in $P_{\mu\nu}$) shifts by $\Delta k \sim 2$ Mpc$^{-1}$ for $\Delta \alpha = 0.5$, an effect that might be observable were it not located on small scales $k \approx 5$ Mpc$^{-1}$. The amplitude change at the peak is more observable but is also degenerate with modifications in the thermal history, making this a very challenging measurement to perform in practice.

Referring back to our discussion of the time evolution of the signal, we see that this sort of variation is similar to the effect of changing the thermal history. However, the exact shape of the spectrum is determined by the form of $g_T$, and hence $W_X$. These do encode distinct information about the source spectrum. Consequently, precision measurements of the 21-cm power spectrum at high $z$ could constrain the X-ray source spectrum.

We can also seek to constrain the X-ray spectrum by looking at the regime where fluctuations in the Ly$\alpha$ flux dominate the 21-cm signal. The inclusion of Ly$\alpha$ photons generated by X-ray excitation of H I (in addition to those redshifting into the Lyman resonances) modifies the shape of the power spectrum significantly. This is easy to see by referring back to Fig. 3. There, we plotted $W_{\alpha\nu}(k)$, for the case of stellar emission, and $W_X(k)$, which determines the fluctuations in the X-ray flux. If we allow both stars and Ly$\alpha$ photons produced from X-ray to contribute to the Ly$\alpha$ flux, then the resulting spectrum of fluctuations is determined by a weighted combination of these $W_{\alpha\nu}(k)$ and $W_X(k)$. In our model, as in that of Chen & Miralda-Escude (2006), the Ly$\alpha$ flux is dominated on small scales by the X-ray contribution and on large scales by the stellar contribution. Thus, the resulting weighting function most closely resembles $W_X(k)$ with significant power on small scales.

Fig. 10 shows the effect on the power spectrum at $z = 20$, when temperature fluctuations are negligible, of including the different contributions to the Ly$\alpha$ flux. On intermediate scales ($k \approx 1$ Mpc$^{-1}$), there is clearly significantly more power when X-ray excitation dominates Ly$\alpha$ production compared to stellar production. As noted in Chuzhoy et al. (2006), this provides a means for distinguishing between the major source of Ly$\alpha$ photons during the time of the first.
or early X-ray heating and illustrates the range of uncertainty in
fing WMAP easily possible without X-ray or collisional ionization of the IGM
show the time evolution of the 21-cm fluctuations for Model A, tak-
ing predictions.

Thus, isolating the 21-cm fluctuations from the Lyα sources. We note that the shape of the spectrum is somewhat sensi-
tive to the spectral index of the X-ray sources – with the variation being similar to between the stellar + X-ray and X-ray only curves. Thus, isolating the 21-cm fluctuations from the Lyα flux variations could also constrain the X-ray spectrum of the first sources.

6.3 Effects of X-ray background

We now explore the effect of modifying the X-ray luminosity of our sources. We have so far taken $f_X = 1$ in our analysis, but constraints on the high-redshift X-ray background are weak giving us significant freedom to vary $f_X$, which parameterizes the source luminosity. As an example, for our Model A, values of $f_X \lesssim 10^3$ are easily possible without X-ray or collisional ionization of the IGM violating WMAP3 constraints on $\tau$ at the 2$\sigma$ level. In Fig. 11, we show the time evolution of the 21-cm fluctuations for Model A, taking $f_X = 0.1$, 1.0 and 10. This serves to illustrate the effect of late or early X-ray heating and illustrates the range of uncertainty in making predictions.

Earlier heating (dashed curve) causes the temperature fluctuations to become important at higher redshift, cutting into the region of Lyα fluctuation. This will make the 21-cm signal more complicated as temperature and Lyα fluctuations contribute over a similar range of redshifts. However, early heating also means that temperature fluctuations become unimportant for the 21-cm signal at late times improving the prospects for extracting cosmology from the 21-cm signal (Santos, Cooray & Knox 2005; McQuinn et al. 2006). In contrast, late heating (dotted curve) allows a clearer separation between temperature and Lyα fluctuations, but means temperature fluctuations are likely to be important during the beginning of reionization. This will complicate the extraction of information about H II regions as reionization gets underway.

Clearly, there is considerable uncertainty as to the behaviour of the 21-cm signal at high redshifts due to our poor understanding of the source populations. Viewed another way, measurement of the evolution of the 21-cm signal could provide useful constraints on the X-ray background at high redshift. This is important as efforts to observe the diffuse X-ray background are complicated by technical issues of calibration. We also note that for weaker X-ray heating other sources of heating, especially shock heating, may become important.

Finally, we remind the reader that our model is applicable in the IGM outside of ionized H II regions. If heating occurs late, so that temperature fluctuations are important as H II regions become large, then it will be important to extend this model if accurate predictions of the 21-cm signal during reionization are to be made. It will also be important to include these temperature fluctuations into simulated predictions of the 21-cm signal.

7 OBSERVATIONAL PROSPECTS

We now turn to the important question of observing the features outlined above. The first generation of 21-cm experiments (PAST, LOFAR, MWA) will be optimised to look for the signature of H II regions at redshifts $z \lesssim 12$. Their sensitivity decreases rapidly at redshifts $z \gtrsim 10$ (Bowman, Morales & Hewitt 2006; McQuinn et al. 2006) and so they are unlikely to be able to detect the effects of inhomogeneous heating. The proposed successor to these instruments, the SKA, is still under design, but its fiducial specifications should allow the $z > 12$ regime to be probed. In this section, we will consider using an SKA-type experiment to observe 21-cm fluctuations at $z = 13$ and 15 and calculate the achievable precision.

Before this, we must make the necessary caveats concerning foregrounds. Foregrounds for 21-cm observations include terrestrial radio interference (RFI), galactic synchrotron emission, radio recombination lines and many others (Oh & Mack 2003; Di Matteo, Ciardi & Miniati 2004; section 9 of Furlanetto et al. 2006). Typical foregrounds produce system temperatures $T_{sys} \gtrsim 1000$, compared to a signal measured in mK. These foregrounds increase rapidly as we move to lower frequency, making their removal an even greater concern for high-redshift observations than low ones. Although techniques for foreground removal are well grounded, their effectiveness

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Furlanetto et al. (2004) predicts that these bubbles remain at sub-Mpc sizes while $x_i \lesssim 0.1$. Consequently, we naively expect contamination of the signal by these bubbles to be confined to small-scale modes with $k \gtrsim 1$ Mpc$^{-1}$ that will be very difficult to detect. Exploring the detailed interaction between temperature and neutral fraction fluctuations is beyond the scope of this paper, but may be important for detailed predictions of the 21-cm signal at the beginning of reionization.

Santos & Cooray (2006) have considered the extraction of astrophysical and cosmological parameters from 21-cm observations in the period of the first sources. They assumed that gas temperature fluctuations showed no scale dependence $g_T(k, z) = g_T(z)$ and argued that extracting astrophysical information using an SKA-like instrument is difficult but feasible. We expect the scale-dependent temperature fluctuations that we have investigated to both help and hinder parameter estimation. Fig. 12 shows that it should be possible to resolve individual features imprinted in the power spectrum by temperature fluctuations. These features provide additional leverage in extracting astrophysical parameters. However, the shape of the power spectrum evolves rapidly in our model, making binning of different redshift data more difficult.

8 CONCLUSIONS

X-ray production by an early generation of stellar remnants is widely regarded as the most likely candidate for heating the IGM above the CMB temperature from its cool adiabatic level. This heating has often been treated as uniform, as the mean free path of hard X-rays in the early Universe is comparable to the Hubble scale. We have relaxed this assumption and, by expanding on the formalism of Barkana & Loeb (2005b), calculated the temperature fluctuations that arise from the inhomogeneous heating. The spectrum of fluctuations in $T_k$ is significantly larger than that predicted from uniform heating, peaking on scales $k \approx 0.1$ Mpc$^{-1}$. This allowed us to examine the redshift range about $z_i$, where $T_k = T_y$, and show that there is a window of width $\Delta z \approx 1$ in which the IGM will contain pockets of gas both hotter and colder than the CMB. This has implications for the 21-cm signal, which will be seen in a mixture of absorption and emission within this window.

The best hope for observing the temperature evolution before reionization is through 21-cm observations of neutral hydrogen. Systematic effects arising from foregrounds are likely to prevent interferometers from measuring $T_R$ directly (Furlanetto et al. 2006), although several alternative methods for obtaining $T_R$ have been proposed (Barkana & Loeb 2005a; Cooray 2006). Thus, careful analysis of brightness fluctuations will be required to extract astrophysical information. Fluctuations in $T_R$ lead to fluctuations in $T_B$, which contain information about the thermal history and the nature of the heating sources. We have calculated the 21-cm power spectrum arising from inhomogeneous X-ray heating and show that it has considerable structure. In the regime where gas temperature and Ly$\alpha$ flux fluctuations compete, we expect a trough-peak-trough structure in $\Delta T_R(k)$. Once $T_R$ fluctuations dominate, while $T_R < T_y$, we see a peak-trough structure. As the gas heats, this structure is lost as the trough moves to unobservable small scales while the peak decreases and finally vanishes once $T_R > T_y$. Extracting astrophysical information cleanly will be challenging, but the information is there.

It is important to note that the difference between uniform and inhomogeneous heating is large. Observations with the SKA should be able to distinguish these two cases and indicate whether X-ray...
heating is important. If it is possible to perform an angular separation of $P_{\mu\nu}$, then observing $P_{\mu\nu} < 0$ is a clear indicator that $T_K < T_r$. Ideally, one would extract the quantity $\beta_T$, but this requires fitting of other parameters and so is a less direct (but more conclusive) observational feature.

Additionally, the spectra of the X-ray sources imprint information on the $T_K$ fluctuations. This may be observed in the 21-cm power spectra, where it shifts the critical scale at which $P_{\mu\nu}$ changes sign, or during the regime in which Lyo fluctuations dominate, where it modifies the shape of the power spectrum. The temperature fluctuations that we have calculated lead to a 21-cm signal that extends down to relatively low redshifts. This opens an opportunity for future 21-cm radio arrays to probe the thermal history prior to reionization. Including temperature fluctuations makes the 21-cm signal significantly more complex, adding information, but further raises the question of how best to separate out that information.

In this paper, we have ignored the contribution from Poisson fluctuations in the source distribution (Barkana & Loeb 2005b). While calculating it requires only a straightforward extension of the Barkana & Loeb (2005b) formalism, performing the time integrals necessary to convert heating fluctuations into temperature fluctuations is non-trivial. We have estimated the amplitude of these Poisson temperature fluctuations and find them (in our models) to be subdominant at all redshifts. This is largely because there are many more sources at the lower redshifts where temperature fluctuations are important. In theory, high-precision 21-cm observations can separate these Poisson fluctuations from fluctuations correlated with the density field. The Poisson contribution could then be used to probe the distribution of sources, for example, by distinguishing between highly biased miniquasars and less biased star-burst galaxies, producing the same global X-ray luminosity.

In our analysis, we have taken $f_X = 1$, corresponding to normalizing the X-ray luminosity per unit star formation to that observed in the local Universe. In truth, this assumption is highly speculative and the value for $f_X$ is extremely uncertain. We have investigated the effects of changing $f_X$ and find that it alters the details of the thermal evolution significantly. Taking $f_X = 0.1$, for example, shifts the point where 21-cm brightness fluctuations change from being dominated by Lyo fluctuations to gas temperature fluctuations from $z \approx 17$ to $\approx 15$. Setting $f_X = 10$ increases the redshift of this transition to $z \approx 19$. For values of $f_X \lesssim 0.1$, we find a clear separation between 21-cm brightness fluctuations sourced by gas temperature and Lyo fluctuations. Increasing $f_X$ also increases the redshift at which $T_K \gg T_r$, so that gas temperature fluctuations become irrelevant for the 21-cm signal. Additionally, small values for $f_X$ will increase the contribution of other heating mechanisms such as shock heating. All of this suggests that measuring the time evolution of the 21-cm signal (as in Fig. 11 for example) would enable $f_X$ to be constrained. Unfortunately, until these observations are made it is difficult to predict the thermal history before reionization with any certainty.

We have shown that the 21-cm signal at high $z$ will contain significantly more structure than has previously been considered. Temperature fluctuations produce an interesting interplay with other sources of 21-cm anisotropy as $\beta_T < 0$ when $T_K < T_r$. Furthermore, for reasonable heating scenarios, the effect of temperature fluctuations persists well into the regime that will be probed by second-generation low-frequency arrays, such as the SKA. Thus, prospects for probing the thermal history before reionization via observations of the redshifted 21-cm line seem promising.

ACKNOWLEDGMENTS

This work was supported at Caltech in part by DoE DE-FG03-92-ER40701. JRP would like to thank Miguel Morales for useful discussions. SRF thanks the Tapir group at Caltech for hospitality while this work was completed. We would also like to thank the anonymous referee for many useful comments that have helped improve the clarity of the paper.

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