Spin Polarization and Magnetic Moment in Silicon Carbide Grown by the Method of Coordinated Substitution of Atoms

Sergey A. Kukushkin * and Andrey V. Osipov

Institute for Problems in Mechanical Engineering of the Russian Academy of Sciences, 199178 Saint-Petersburg, Russia; andrey.v.osipov@gmail.com
* Correspondence: sergey.a.kukushkin@gmail.com

Abstract: In the present work, a new method for obtaining silicon carbide of the cubic polytype 3C-SiC with silicon vacancies in a stable state is proposed theoretically and implemented experimentally. The idea of the method is that the silicon vacancies are first created by high-temperature annealing in a silicon substrate Si(111) doped with boron B, and only then is this silicon converted into 3C-SiC(111), due to a chemical reaction with carbon monoxide CO. A part of the silicon vacancies that have bypassed “chemical selection” during this transformation get into the SiC. As the process of SiC synthesis proceeds at temperatures of ~1350 °C, thermal fluctuations in the SiC force the carbon atom C adjacent to the vacancy to jump to its place. In this case, an almost flat cluster of four C atoms and an additional void right under it are formed. This stable state of the vacancy, by analogy with NV centers in diamond, is designated as a C$_4$V center. The C$_4$V centers in the grown 3C-SiC were detected experimentally by Raman spectroscopy and spectroscopic ellipsometry. Calculations performed by methods of density-functional theory have revealed that the C$_4$V centers have a magnetic moment equal to the Bohr magneton $\mu_B$ and lead to spin polarization in the SiC if the concentration of C$_4$V centers is sufficiently high.

Keywords: silicon carbide; spin polarization; half-metallic ferromagnet; solid-state spin; density-functional theory

1. Introduction

In recent years, much attention has been paid to the creation of solid-state devices, the operations of which are based not on the charge but the spin of charged particles; therefore, the development of new materials with the property of spin polarization is a very important task [1–3]. In addition, it is desirable that these materials combine well with the standard materials of microelectronics, such as silicon Si, silicon carbide SiC, or III-V semiconductor compounds. This requirement is very important for scaling and ensuring the relationship between qubits [4]. The most studied solid-body spin is the negatively charged nitrogen-vacancy center (NV) in diamond [1]. In recent years, similar NV centers in SiC [5,6], as well as some other point defects based on silicon vacancies (V$_{Si}$) in SiC [4,7], have been intensely studied.

The key problem in the development of new materials for spintronics based on V$_{Si}$ in SiC is the difficulty in obtaining a sufficient number of silicon vacancies. The work of the V$_{Si}$ formation in silicon carbide of the cubic polytype 3C-SiC is very large and equal to ~8 eV [8], while the work of formation of a carbon vacancy V$_{C}$ in 3C-SiC is much less and equal to ~3.5 eV [9]. This means that it is impossible to obtain V$_{Si}$ in SiC in a thermal way, where only V$_{C}$ vacancies are formed. Therefore, at present, various methods of irradiating SiC with high-energy beams of electrons, ions, or protons are used to create silicon vacancies [10]. The irradiation methods have quite a few disadvantages; for example, significant inhomogeneity of the structure of defects, both in the direction of the beam and perpendicular to it; a large number of undesirable defects (apart from V$_{Si}$); the difficulty of processing large-area samples; etc.
In this work, a fundamentally new method for obtaining silicon vacancies in SiC is proposed. It consists in the fact that the vacancies are initially created not in the SiC, but in the Si, which is much simpler because the energy of vacancy formation in Si is \( \approx 3.3 \text{ eV} \) \[11\]. Only then does Si transform into SiC by the Method of Coordinated Substitution of Atoms (MCSA) due to a chemical reaction \[12\]

\[
2\text{Si (crystal)} + \text{CO (gas)} = \text{SiC (crystal)} + \text{SiO (gas)} \uparrow
\]

In this reaction, part of the silicon vacancies in the Si simply become silicon vacancies in the SiC. Some of the silicon vacancies presumably disappear when Si “collapses” into SiC with a twofold total decrease in the volume of the material. \[12\]. The term “coordinated” means that the old Si–Si chemical bonds in the silicon are destroyed simultaneously with the formation of new Si–C chemical bonds \[13\]. The very structure of the bonds does not change in this case. This is what makes it possible to obtain high-quality SiC layers by this method \[13\]. As the initial bond structure in Si is cubic, it remains the same in SiC, i.e., the cubic 3C-SiC polytype grows (with very few exceptions).

In this work, layers of epitaxial 3C-SiC with a thickness of \(~0.1 \div 1 \mu\text{m}\) and a lack of \(~0.5 \div 5\%\) of Si atoms were produced by the MCSA on 3-inch Si(111) substrates doped with boron B. As the synthesis temperature is high enough (~1350 °C), the vacancies transit into a stable state with a solid-state spin. The produced layers were investigated by spectral ellipsometry (SE), X-ray diffraction (XRD), reflected high-energy electron diffraction (RHEED), and Raman spectroscopy (RS). The magnetic and electrical properties of such a 3C-SiC layer were modeled by methods of the density functional theory (DFT). In particular, it was shown that this layer, in contrast to ideal SiC, has a magnetic moment and the property of spin polarization.

2. Materials and Methods

Before the synthesis of the SiC epitaxial layer, 3-inch Si(111) substrates doped with B were purified from oxides in a mixture of NH\(_4\)OH and NH\(_4\)F, which additionally ensured the passivation of the Si(111) surface with hydrogen atoms \[14\]. Then, the Si substrates were annealed in a vacuum at a temperature \(T \approx 1350\)–1380 °C (the silicon melting temperature is \(~1410\) °C) for \(t_a = 1 \div 40\) min. Depending on the annealing time, vacancies with different concentrations and penetration depths were formed in the Si surface layer. The energy of formation of a vacancy in the bulk of silicon is \(~3.3\) eV \[11\]; however, on a real Si(111) surface, there are steps and kinks, which can significantly reduce the energy of vacancy formation. In addition, we noticed that the emergence of a dislocation on the surface greatly increases the rate of formation of the vacancies. On average, the penetration depth of vacancies deep into the Si(111) substrate at annealing times \(t_a = 1 \div 40\) min was \(0.5 \div 5\) \(\mu\text{m}\). The vacancies obtained in this way play a very important role in the synthesis of SiC by the MCSA as the diffusion of both CO into the substrate and SiO out of it proceeds primarily due to vacancies in the reaction (1). After that, carbon monoxide CO was supplied to the furnace, and the epitaxial growth of SiC on Si(111) was carried out according to the approved method \[12,13\]. The synthesis time was \(t_s = 10 \div 20\) min at the CO pressure \(p_{\text{CO}} = 80 \div 200\) Pa and the same temperature as during the annealing. The process of SiC growth from Si is schematically shown in Figure 1. It turned out that the preliminary annealing of Si leads to a strong increase in the thickness of the epitaxial SiC layer \[15\]. In particular, at \(T = 1350\) °C, \(t_s = 15\) min, and \(p_{\text{CO}} = 150\) Pa, the measurement of the thickness \(H_{\text{SiC}}\) of the SiC layer gives the following results: \(H_{\text{SiC}} = 80\) nm at \(t_a = 0\), i.e., in the absence of annealing; \(H_{\text{SiC}} = 180\) nm at \(t_a = 2\) min; \(H_{\text{SiC}} = 280\) nm at \(t_a = 5\) min; and finally, \(H_{\text{SiC}} \approx 600\) nm at \(t_a = 25\) min, and the latter SiC layer already begins to delaminate from the substrate after cooling the sample to room temperature.
Figure 1. Schematic representation of the transformation of silicon with vacancies into silicon carbide with silicon vacancies. In the case of intrinsic SiC or p-type SiC at high temperatures, metastable silicon vacancies transform into a stable configuration with a solid-state spin: an almost flat cluster of 4 carbon atoms and, under it, a void denoted as C₄V.

3. Results

The obtained 3C-SiC samples on the Si(111) substrates doped with B (i.e., p-type) were examined by SE, XRD, RHEED, and RS. Figure 2 shows the dependences of the real part of the dielectric constant \( \varepsilon_1 \) on the photon energy \( E \) (measured by the ellipsometer M-2000D J.A. Woollam, USA with a rotating compensator) for three samples grown under the same conditions: \( T = 1350 \, ^\circ C, P_{CO} = 150 \, Pa, \) and \( t_a = 15 \, \text{min} \)—but at different times \( t_a \) of the preliminary annealing.

Figure 2. Dependences of the real part of the dielectric constant \( \varepsilon_1 \) on the photon energy \( E \) for three 3C-SiC samples grown on Si(111) substrate doped with B produced at different times of the preliminary Si annealing: (a)—\( t_a = 0 \, \text{min} \), (b)—\( t_a = 2 \, \text{min} \), and (c)—\( t_a = 5 \, \text{min} \).

To analyze these dependences, we used a two-layer ellipsometric model (Figure 3) developed in [16] specifically for such samples. The bottom-most layer of the model is the substrate represented by the Bruggeman effective medium approximation (EMA) [16] for the three materials, namely Si, SiC, and voids. The first layer is an interface between the substrate and the main epitaxial SiC layer.

Figure 3. Ellipsometric model used in the processing of the experimental spectra of 3C-SiC/Si(111) samples.
As discovered in [16], it has a low conductivity due to the breaking of the bonds of some Si atoms of the substrate, which are attracted to the SiC. Hence, the dielectric constant of this layer, the thickness of which is almost constant and equal to 2 nm, is best described by the Tauc-Lorentz (TC) model [16]. The second layer is an epitaxial SiC layer, the dielectric constant of which is described by the Bruggeman EMA [16] for a mixture of three materials: SiC, crystalline graphite C, and voids—where the volume concentration of C and the voids is considered the same. It was shown earlier in [17] that this EMA model very well describes the SiC with silicon vacancies in a stable state. On top of the second layer, there is a standard roughness layer. Minimization of the difference between the measured dielectric constant and the one calculated within the framework of this two-layer ellipsometric model yields the values of the model parameters, including the thickness of the SiC layer and the volume concentration of C and the voids in it. Of course, the SiC thickness determined by SE is exactly the same as that obtained from SEM pictures. It should be emphasized that the SE method is effective only for the examination of SiC layers with a thickness less than 400 nm. If the SiC thickness is more than 400 nm, then, first, due to an increase in the concentration of silicon vacancies, the SiC ceases to be transparent and gradually turns into a conductor (more specifically, into a half-metallic ferromagnet). As a result, the laser beam of the ellipsometer is not reflected from the bottom of the SiC layer, so the interference that leads to a large number of peaks of the dielectric constant (Figure 2) disappears. This makes it impossible to use the described ellipsometric model. Second, when the thickness of the SiC is more than 0.5 μm, it begins to delaminate from the substrate, which also leads to the destruction of the interface between the SiC and the Si and to the disappearance of the interference. Hence, for the thick SiC layers, only an SEM has to be used to determine the thickness.

Figure 4 shows an SEM image of a section of a 3C-SiC/Si(111) sample with an epitaxial SiC layer of ~600 nm thickness, produced at \( t_a = 25 \) min, \( T = 1350 \) °C, \( P_{CO} = 150 \) Pa, and \( t_s = 15 \) min. Under the SiC layer, there is a mixture of Si, SiC, and voids formed due to the fact that the volume of the SiC cubic cell is half the volume of the original Si cell. The thickness of this mixture (it is ~5 μm for the given sample) strongly depends on the time of preliminary annealing of the substrate and is in the range of 0.5 \( \div \) 5 μm. We suppose that it is approximately equal to the penetration depth of the vacancies upon the Si annealing.

![SEM image](image_url)

**Figure 4.** SEM image of a section of a 3C-SiC/Si(111) sample produced at \( t_a = 25 \) min and starting to delaminate from the substrate.

Figure 5 shows the XRD spectrum obtained by Dron-8, Burevestnik, Russia of the same sample as in Figure 4. This sample has already started to delaminate from the substrate; hence, the peak corresponding to the Si(111) substrate is very small. If the SiC had not yet delaminated from the Si, then the signal amplitude would be about 1000 times greater. The
produced by the MCSA but with a significantly lower concentration of vacancies [19]. In addition to the two peaks TO and LO of the 3C-SiC, there is an additional peak at 952 cm$^{-1}$ which we associate with the silicon vacancies that have transited to a stable state denoted as C$_4$V. The DFT modeling has shown that it is exactly the oscillation frequency of the C–C bonds arising in the 3C-SiC when a C atom jumps over to the place of the silicon vacancy [18]. Previously, by different research teams, this line was repeatedly observed in the infrared spectra (both transmission and reflection, which is much more sensitive than the Raman spectroscopy) of the 3C-SiC samples produced by the MCSA but with a significantly lower concentration of vacancies [19].

The Raman spectrum of the same sample obtained by confocal raman microscope WiTec Alpha300R, Ulm, Germany is shown in Figure 6. As the sample has already started to delaminate, there is no silicon line. In addition to the two peaks TO and LO of the 3C-SiC, there is an additional peak at 952 cm$^{-1}$ which we associate with the silicon vacancies that have transited to a stable state denoted as C$_4$V. The DFT modeling has shown that it is exactly the oscillation frequency of the C–C bonds arising in the 3C-SiC when a C atom jumps over to the place of the silicon vacancy [18]. Previously, by different research teams, this line was repeatedly observed in the infrared spectra (both transmission and reflection, which is much more sensitive than the Raman spectroscopy) of the 3C-SiC samples produced by the MCSA but with a significantly lower concentration of vacancies [19].

**Figure 5.** XRD pattern of the 3C-SiC/Si(111) sample produced at $t_a = 25$ min.

**Figure 6.** RS of the 3C-SiC/Si(111) sample produced at $t_a = 25$ min. There is an additional peak at 952 cm$^{-1}$, corresponding to silicon vacancies in a stable state (C$_4$V).
Figure 7 shows the RHEED pattern obtained by the EMR-100 (Ukraine) electron-diffraction instrument with the energy of electrons of 50 keV for the same sample of ~600 nm thickness after its cooling. For the given energy of electrons, the depth of their penetration into the sample does not exceed ~100 nm, so this diffraction pattern corresponds only to the upper layer of the epitaxial SiC. It is clear that the diffraction pattern corresponds to a smooth epitaxial layer. There are absolutely no patterns corresponding to a polycrystalline or amorphous structure.

![RHEED pattern of the 3C-SiC/Si(111) sample produced at ta = 25 min.](image)

Figure 7. RHEED pattern of the 3C-SiC/Si(111) sample produced at ta = 25 min.

So, after the preliminary annealing of the Si, a number of silicon vacancies are formed in its surface layer. Then, as a result of the chemical substitution reaction (1), the Si "collapses" to 3C-SiC with a twofold decrease in the volume of the material (the volume of the Si cubic cell with eight atoms is 160 Å³, whereas the volume of the 3C-SiC cubic cell with eight atoms is 83 Å³). The "collapse" in the uppermost layer occurs vertically, i.e., the lateral size remains almost unchanged, which provides an even and uniform SiC layer (Figure 4) [13]. In the lower layers, the "collapse" occurs in all directions, resulting in a porous layer under the SiC epitaxial film, consisting of SiC, Si, and voids (Figure 4). The voids arise precisely because the volume of SiC is approximately two times less than the volume of the initial Si. The vacancies in the original Si allow CO and SiO to diffuse first into the Si and then into the SiC, which results in a much thicker SiC layer. In principle, a 3C-SiC layer with a thickness of more than 1 μm can be obtained at a maximum temperature of 1380 °C and an annealing time of ta~40 min, whereas it is impossible to obtain a layer thicker than 100 nm without preliminary annealing.

Apparently, some part of the silicon vacancies upon "collapse" simply transits from the Si to the SiC, i.e., these vacancies are of a purely chemical origin. Moreover, elastic stress is an additional source of VSi in SiC due to ascending diffusion [20]. Therefore, the SiC produced by the MCSA inevitably contains VSi. The minimum energy corresponds here to the periodic distribution of the vacancies due to their repulsion. It can even be said that in this technique, unlike in the other techniques for growing SiC, it is impossible to get rid of the VSi. The only question is what their concentration is. Obviously, the preliminary annealing of the Si significantly increases the concentration of VSi in the 3C-SiC. SE allows the measurement of the volumetric concentration of VSi by the height and shape of the interference peaks of the dielectric constant (Figure 2). The lower the peaks, the higher the concentration of VSi. The SE method gives the volume concentration of VSi approximately in the range of 0 ÷ 5% after annealing for ta = 0 ÷ 30 min at T = 1350 °C. It should be emphasized that the accuracy of the SE method is not high because there is a strong correlation between the concentration of the vacancies and the depolarization parameter in the Bruggeman method [16].

4. Modeling of the Magnetic and Electrical Properties of 3C-SiC Produced by the Method of Coordinated Substitution of Atoms (MCSA)

In this work, we use the DFT methods implemented in the Medea-VASP software [21] to model the magnetic and electrical properties of the 3C-SiC produced by the MCSA with the preliminary annealing of Si. In all our computations for the systems under
study, periodic boundary conditions are used, as well as the spin-polarized approach [22]
allowing us to adequately describe the magnetic moment in the 3C-SiC. The exchange-
correlation interaction is calculated here using the PBE functional [23]. For integration over
the Brillouin zone, we use the Monkhorst-Pack grid of k-points spaced apart by less
than 0.17 Å⁻¹. In all our calculations, pseudopotentials using the projector augmented-wave
(PAW) method [21] are utilized; the cutoff energy of the waves is taken as equal to 400 eV.
The band structure and the density of the electronic states are calculated here using the
MBJLDA meta-GGA functional [24], which provides a very high accuracy in calculating
the bandgap and, moreover, adequately describes the magnetic moment.

First of all, it is necessary to compute the configuration of the 3C-SiC with the \( V_{Si} \)
corresponding to the minimum energy, and to calculate its magnetic moment. It is known
that if a Si atom is simply removed from SiC, either p-type or compensated, then such a
vacancy corresponds to a metastable state because it is favorable for one of the four C atoms
closest to the \( V_{Si} \) vacancy to jump over to the place of the \( V_{Si} \) [9,18]. In n-type SiC, such
a jump may be disadvantageous [9]. Let us calculate this process by taking into account
the magnetic moment. As a model system, we consider the 3C-SiC supercell consisting of
36 Si atoms and 36 C atoms, where the \(<111>\) direction in the SiC is oriented upward along
the Z-axis (Figure 8). The removal of any Si atom in such a system leads to a decrease in
symmetry from \( F\bar{4}3m \) to \( P31m \). The jump of an adjacent C atom from underneath to the
place of the absent atom along the Z-axis retains the \( P31m \) symmetry.

Such a displacement of an atom is best described by the Nudged Elastic Band (NEB)
method [25]. The idea of this method is that knowing the initial and final states, one can
freeze the system and introduce additional forces that will direct the atoms from the initial
state to the final state. If certain conditions are met, the system will follow the Minimal
Energy Pathway (MEP) [25]. This is a transition pathway where any local change in the
path leads to an increase in the energy of the system in the vicinity of a given point of the
pathway. The advantage of the NEB method is that if the initial and final configurations of
the system (which are obtained by minimizing the electron energy) are known, then all the
intermediate and transition states are found [25]. An application of the NEB method in the
spin-polarized approximation to the jump of a C atom over to the place of the \( V_{Si} \) gives the
energy profile of this process. The calculation results are shown in Figure 9.

Thus, the overjump of a C atom gives a gain of 1.3 eV, i.e., the vacancy from the initial
metastable state \( V_{Si} \) transits to the stable state \( C_{4}V \). The key point here is the fact that
the initial and final states have a nonzero magnetic moment (in the PBE approximation,
\( \mu = 0.8 \mu B \) and \( \mu = 1.0 \mu B \), respectively; \( \mu B \) is the Bohr magneton), whereas the transition
state corresponding to the maximum energy is nonmagnetic (\( \mu = 0 \)). The energy barrier
height is 3.33 eV, which is 10% more than the corresponding result obtained without
considering the magnetic moment. The value of 3.33 eV means that at a temperature

![Figure 8](image_url)

**Figure 8.** The model system containing 36 Si atoms and 36 C atoms with periodic boundary conditions
in all three dimensions and initial size parameters \( a = b = 10.68 \text{ Å}, a = 60^\circ \), and \( c = 7.55 \text{ Å} \).
At a temperature $T > 1200 \, ^\circ\text{C}$ all vacancies must pass to a stable state in a time less than 1 s. So, in the MCSA, all vacancies must be in a stable state.

![Figure 9](image1.png)

**Figure 9.** Energy profile of the transition of a silicon vacancy in the model system (Figure 8) from the initial metastable state $V_{Si}$ to stable $C_{4V}$. The transition proceeds through a nonmagnetic transition state.

The stable state of a silicon vacancy in 3C-SiC, corresponding to the minimum energy, is shown in Figure 10. The C atom, after jumping over to the place of the $V_{Si}$, forms an almost flat cluster of four C atoms with a bond length of 1.57 Å. The calculations show that the wavenumber for these bonds is approximately equal to $970 \, \text{cm}^{-1}$ [18]. This is why we believe that the new peak of the Raman spectrum at $952 \, \text{cm}^{-1}$ (Figure 6) is associated with the vibrations of the C-C bonds. A similar line was also found in the DFT calculations in the 3C-SiC containing carbon antisites [26]. Because of the described overjump, an additional void with a diameter of 1.85 Å appears under the carbon cluster at a distance of 2.0 Å. The void is shown in Figure 10 as a semi-transparent red sphere. This void is inextricably linked with the carbon cluster and affects the electrical and magnetic properties of the system. By analogy with NV centers in diamond, we call this formation a $C_{4V}$ center. It is important to note that the carbon vacancy located under the $C_{4}$ carbon cluster strongly interacts with it, providing a magnetic moment to it. In order for this carbon vacancy to diffuse to another place, an energy of 1.2 eV must be expended. In this case, the carbon cluster ceases to be flat and completely loses its magnetic moment. Thus, in the absence of additional electric charges the weakly magnetic metastable state $V_{Si}$ transforms into a magnetic stable state $C_{4V}$ at a temperature $T > 1200 \, ^\circ\text{C}$ through a nonmagnetic transition state (Figure 8). The calculations show that the magnetic moment of the $C_{4V}$ center is equal to the Bohr magneton, i.e., $\mu = 1.0 \, \mu\text{B}$.

![Figure 10](image2.png)

**Figure 10.** The stable state of a $C_{4V}$ silicon vacancy in p-type 3C-SiC (or intrinsic), consisting of an almost flat cluster of 4 C atoms and a void shown as a semi-transparent red sphere. (a)—view in the direction <011>, (b)—view in the direction <100>.
In ordinary nonmagnetic systems, including ideal SiC, the density of spin-up electrons at each point in the system is equal to the density of spin-down electrons. The situation is different with the SiC produced by the MCSA, i.e., containing C₄V centers. The reason is that the central C atom in the cluster has one unpaired p-electron (thus, C₄V centers in SiC are in many ways similar to the NV centers in diamond). It is this electron that radically changes the electrical and magnetic properties of SiC. Figure 11 shows the difference in the density of spin-up and spin-down electrons in the model system with a C₄V center. The border of the blue region corresponds to a difference of 0.05 Å⁻¹ and the border of the red region corresponds to a difference of −0.01 Å⁻¹. The central blue region, looking like a three-dimensional figure of eight, corresponds to the position of the unpaired p-electron of the C atom located in the center of the C₄ cluster. The total value of the magnetic moment of one C₄V center is 1 μB. Figure 12 shows the projection of the difference in the density of spin-up and spin-down electrons onto the (100) plane passing through the centers of the Si atoms just below the carbon cluster C₄.

**Figure 11.** The difference in the density of electrons with spin up and spin down in the model system with a C₄V center. The border of the blue region corresponds to a difference of 0.05 Å⁻¹; the border of the red region corresponds to a difference of −0.01 Å⁻¹. (a) and (b)—views from different sides.

**Figure 12.** Projection of the difference in the density of spin-up and spin-down electrons onto the (100) plane passing through the centers of Si atoms just below the carbon cluster C₄. The color scale spans from −0.02 Å⁻¹ (red) to 0.06 Å⁻¹ (blue).

The presence of stable silicon vacancies, i.e., C₄V centers, transforms SiC into a system with a solid-state spin, which radically changes not only the magnetic but also the electrical properties of SiC. The band structure of 3C-SiC strongly depends on the concentration of C₄V centers and on their mutual arrangement, i.e., on the symmetry group. In this case, a new band structure is formed, and the substance, while remaining silicon carbide on the chemical level, turns into a substance with completely different electrophysical and magnetic properties. Calculations describing the effect of point defects on the band
structure often take into account the effect of an electric charge localized near the defects. For p-type conductivity, the most energetically favorable charge is $+e$ [9]. Therefore, in the present work, this particular case is investigated. Three systems with different concentrations of $C_4V$ centers are considered. System I (Figure 10) has the symmetry group $P31m$ and the dimensions $a = b = 10.60 \, \text{Å}$, $\alpha = 60^\circ$, and $c = 7.58 \, \text{Å}$; it contains 35 Si atoms and 36 C atoms with the concentration of $C_4V$ centers being $n_{C_4V} = 1/36 \approx 2.8\%$, i.e., every 36th Si atom is absent. System II has the symmetry group $Pm$ and the dimensions $a = b = 10.52 \, \text{Å}$, $\alpha = 90^\circ$, and $c = 6.10 \, \text{Å}$; it contains 23 Si atoms and 24 C atoms with $n_{C_4V} = 1/24 \approx 4.2\%$, i.e., every 24th Si atom is absent. System III has the symmetry group $P3m1$ and the dimensions $a = b = 6.04 \, \text{Å}$, $\alpha = 60^\circ$, and $c = 10.11 \, \text{Å}$; it contains 15 Si atoms and 16 C atoms with $n_{C_4V} = 1/16 \approx 6.3\%$. The results of the computations of the band structures of these three systems are shown in Figure 13. The red curves correspond to electrons with spin up; the blue curves correspond to electrons with spin down.

![Figure 13](image-url)  
**Figure 13.** Band structure of three systems with different concentrations $n_{C_4V}$ of defects. (a)—system I, $n_{C_4V} = 2.8\%$; (b)—system II, $n_{C_4V} = 4.2\%$; (c)—system III, $n_{C_4V} = 6.3\%$. The red curves correspond to electrons with spin up; the blue curves correspond to those with spin down.

One can see that the $C_4V$ centers make a different band structure for electrons with different spins. At a low concentration of $C_4V$ centers, the 3C-SiC becomes a ferromagnetic semiconductor; its bandgap depends on the spin. For electrons with spin down, the band structure almost does not change compared to the ideal 3C-SiC; the bandgap remains equal to 2.4 eV. On the other hand, for electrons with spin up, the bandgap decreases to 0.6 eV (Figure 13a). With an increase in the concentration $n_{C_4V}$, this difference increases, leading to qualitative changes. In particular, system II is already a metal for electrons with spin down, while for electrons with spin up, the bandgap decreases to 0.6 eV (Figure 13b). So, the 3C-SiC grown on a silicon substrate by the MCSA is a half-metallic ferromagnet with 100% spin polarization. The density of spin-up and spin-down electronic states for system II is shown in Figure 14.

With a further increase in $n_{C_4V}$, the 3C-SiC turns into a magnetic metal (system III, Figure 13c), however, the concentration of free electrons (i.e., at the Fermi level) with spin up significantly exceeds the concentration of free electrons with spin down, which provides a high value of spin polarization in this case as well. In particular, for system III, the spin polarization is 84%. The density of spin-up and spin-down electronic states for system III is shown in Figure 15.
Figure 14. Density of spin-up and spin-down electronic states for system II with $n_{C,V} \approx 4.2\%$. The blue curve in the upper half describes the spin-up electrons, the red curve in the lower half describes the spin-down electron. The system is a half-metallic ferromagnet with 100% spin polarization.

Figure 15. Density of spin-up and spin-down electronic states for system III with $n_{C,V} \approx 6.3\%$. The blue curve in the upper half describes the spin-up electrons; the red curve in the lower half describes the spin-down electrons. The system is a ferromagnetic metal with a spin polarization of 84%.

5. Conclusions

In the present work, a new method is theoretically proposed and experimentally implemented for obtaining epitaxial 3C-SiC layers containing silicon vacancies in a stable state with a solid-state spin. The idea of the method is that silicon vacancies are preliminarily created by the annealing of Si, and only then is this Si transformed into SiC by the MCSA due to chemical reaction (1) (Figure 1). In this process, some of the silicon vacancies transit from the Si to the SiC. This chemical mechanism of vacancy generation is realized because the energy of formation of silicon vacancies in Si (~3.3 eV) is much lower than the energy of formation of silicon vacancies in SiC (~8 eV). The DFT calculations have revealed that in the case of p-type conductivity at a temperature above 1200 °C, thermal fluctuations in SiC lead to a jump of a C atom in the <111> direction to the place of $V_{Si}$. This jump from a metastable state with a magnetic moment of $\mu = 0.8\ \mu_B$ (in the PBE approximation) to a stable state with $\mu = 1.0\ \mu_B$ proceeds through an intermediate nonmagnetic state with
\( \mu = 0 \) (Figure 9). The stable state of a silicon vacancy in SiC is an almost flat cluster of four C atoms (with a C-C bond length of 1.57 Å) and an additional void with a diameter of 1.85 Å, located at a distance of 2.0 Å below the cluster (Figure 10). In terms of its properties, the stable state of a silicon vacancy in SiC is similar to the NV center in diamond; so, by analogy, we call it the \( \text{C}_4\text{V} \) center. In the produced 3-inch 3C-SiC samples (Figure 4), \( \text{C}_4\text{V} \) centers are recorded both by Raman spectroscopy (line at 952 cm\(^{-1}\), Figure 6) and spectroscopic ellipsometry (by a decrease in the amplitude of the peaks of the dielectric constant, Figure 2). The DFT calculations have revealed that the \( \text{C}_4\text{V} \) centers in the 3C-SiC strongly affect its magnetic and electrical properties. While the ideal 3C-SiC grown by a standard method is a nonmagnetic semiconductor, the 3C-SiC produced by the MCSA, depending on \( n_{\text{C}_4\text{V}} \), is a ferromagnetic semiconductor (Figure 13a), a half-metallic ferromagnet (Figure 13b), or a magnetic metal (Figure 13c). We emphasize that the value of \( n_{\text{C}_4\text{V}} \) can be controlled in the MCSA by choosing the time and temperature of the vacuum annealing of the Si. The boundaries between different states of 3C-SiC are vague and depend on the relative position of the \( \text{C}_4\text{V} \) centers, i.e., on the symmetry group, and on the position of the Fermi level, i.e., the doping level of the original Si. The property of spin polarization (Figures 14 and 15) possessed by the 3C-SiC obtained by the MCSA makes this material extremely promising for various applications. In our opinion, the anomalous magnetic properties of 3C-SiC produced by the MCSA, which were experimentally discovered quite recently [27], can be explained by the presence of \( \text{C}_4\text{V} \) centers.

**Author Contributions:** Conceptualization, S.A.K. and A.V.O.; investigation S.A.K. and A.V.O. All authors have read and agreed to the published version of the manuscript.

**Funding:** This research was supported by the Russian Science Foundation (grant no. 20-12-00193).

**Institutional Review Board Statement:** Not applicable.

**Data Availability Statement:** Data is contained within the article.

**Acknowledgments:** The growth of SiC layers and the study of their properties have been carried out using a unique scientific setup, “Physics, Chemistry, and Mechanics of Crystals and Thin Films” (IPME RAS, St.-Petersburg).

**Conflicts of Interest:** The authors declare no conflict of interest.

**References**

1. Doherty, M.W.; Manson, N.B.; Delaney, P.; Jelezko, F.; Wrachtrup, J.; Hollenberg, L.C.L. The nitrogen-vacancy colour centre in diamond. *Phys. Rep.* 2013, 528, 1–45. [CrossRef]

2. Son, N.T.; Anderson, C.P.; Bourassa, A.; Miao, K.C.; Babin, C.; Widmann, M.; Niethammer, M.; Hassan, J.U.; Morioka, N.; Ivanov, I.G.; et al. Developing silicon carbide for quantum spintronics. *Appl. Phys. Lett.* 2011, 98, 102417. [CrossRef]

3. Boretti, A. Silicon carbide’s quantum aspects. *Nat. Photonics* 2014, 8, 88–90. [CrossRef]

4. von Bardeleben, H.J.; Zargaleh, S.A.; Cantin, J.L.; Gao, W.B.; Biktagirov, T.; Gerstmann, U. Transition metal qubits in 4H-silicon carbide: A correlated EPR and DFT study of the spin \( S = 1 \) vanadium \( \text{V}^{3+} \) center. *Phys. Rev. Mater.* 2019, 3, 124605. [CrossRef]

5. von Bardeleben, H.J.; Cantin, J.L.; Csore, A.; Gali, A.; Rauls, E.; Gerstmann, U. NV centers in 3C, 4H, and 6H silicon carbide: A variable platform for solid-state qubits and nanosensors. *Phys. Rev. B* 2016, 94, 121202. [CrossRef]

6. Weber, J.R.; Koehl, W.F.; Varley, J.B.; Janotti, A.; Buckley, B.B.; Van de Walle, C.G.; Awschalom, D.D. Defects in SiC for quantum computing. *J. Appl. Phys.* 2011, 109, 102417. [CrossRef]

7. Szasz, K.; Ivady, V.; Abrikosov, I.A.; Janzen, E.; Bockstedte, M.; Gali, A. Spin and photophysics of carbon-antisite vacancy defect in 4H silicon carbide: A potential quantum bit. *Phys. Rev. B* 2015, 91, 121201. [CrossRef]

8. Bruneval, F.; Roma, G. Energetics and metastability of the silicon vacancy in cubic SiC. *Phys. Rev. B* 2011, 83, 144116. [CrossRef]

9. Bockstedte, M.; Mattausch, A.; Pankratov, O. Ab initio study of the migration of intrinsic defects in 3C-SiC. *Phys. Rev. B* 2003, 68, 205201. [CrossRef]

10. von Bardeleben, H.J.; Cantin, J.L.; Vickridge, I.; Battistig, G. Proton-implantation-induced defects in n-type 6H- and 4H–SiC: An electron paramagnetic resonance study. *Phys. Rev. B* 2000, 62, 10126. [CrossRef]

11. Puska, M.J.; Poykko, S.; Pesola, M.; Nieminen, R.M. Convergence of supercell calculations for point defects in semiconductors: Vacancy in silicon. *Phys. Rev. B* 1998, 58, 1318. [CrossRef]
12. Kukushkin, S.A.; Osipov, A.V. Theory and practice of SiC growth on Si and its applications to wide-gap semiconductor films. *J. Phys. D Appl. Phys.* 2014, 47, 313001. [CrossRef]

13. Kukushkin, S.A.; Osipov, A.V.; Feoktistov, N.A. Synthesis of epitaxial silicon carbide films through the substitution of atoms in the silicon crystal lattice: A review. *Phys. Solid State* 2014, 56, 1507–1535. [CrossRef]

14. Kalinkin, I.P.; Kukushkin, S.A.; Osipov, A.V. Effect of Chemical Treatment of a Silicon Surface on the Quality and Structure of Silicon-Carbide Epitaxial Films Synthesized by Atom Substitution. *Semiconductors* 2018, 52, 802–808. [CrossRef]

15. Grashchenko, A.S.; Kukushkin, S.A.; Osipov, A.V.; Redkov, A.V. Vacancy growth of monocrystalline SiC from Si by the method of self-consistent substitution of atoms. *Catal. Today* 2021, in press. [CrossRef]

16. Kukushkin, S.A.; Osipov, A.V. Anomalous Properties of the Dislocation-Free Interface between Si(111) Substrate and 3C-SiC(111) Epitaxial Layer. *Materials* 2021, 14, 78. [CrossRef]

17. Kukushkin, S.A.; Osipov, A.V. Determining Polytype Composition of Silicon Carbide Films by UV Ellipsometry. *Tech. Phys. Lett.* 2016, 42, 175–178. [CrossRef]

18. Kukushkin, S.A.; Osipov, A.V. Mechanism of Formation of Carbon–Vacancy Structures in Silicon Carbid during Its Growth by Atomic Substitution. *Phys. Solid State* 2018, 60, 1891–1896. [CrossRef]

19. Kukushkin, S.A.; Nussupov, K.K.; Osipov, A.V.; Beisenkhanov, N.B.; Bakranova, D.I. X-Ray Reflectometry and Simulation of the Parameters of SiC Epitaxial Films on Si(111), Grown by the Atomic Substitution Method. *Phys. Solid State* 2017, 59, 1014–1026. [CrossRef]

20. Kukushkin, S.A.; Osipov, A.V. The Gorsky Effect in the Synthesis of Silicon-Carbide Films from Silicon by Topochemical Substitution of Atoms. *Tech. Phys. Lett.* 2017, 43, 631–634. [CrossRef]

21. Hafner, J. Ab-initio simulations of materials using VASP: Density-functional theory and beyond. *J. Comput. Chem.* 2008, 29, 2044–2078. [CrossRef]

22. von Barth, U.; Hedin, L. A Local Exchange-Correlation Potential for the Spin Polarized Case. *J. Phys. C Solid State Phys.* 1972, 5, 1629. [CrossRef]

23. Perdew, J.P.; Burke, K.; Ernzerhof, M. Generalized Gradient Approximation Made Simple. *Phys. Rev. Lett.* 1996, 77, 3865–3868. [CrossRef] [PubMed]

24. Tran, F.; Blaha, P. Accurate Band Gaps of Semiconductors and Insulators with a Semilocal Exchange-Correlation Potential. *Phys. Rev. Lett.* 2009, 102, 226401. [CrossRef] [PubMed]

25. Caspersen, K.J.; Carter, E.A. Finding transition states for crystalline solid-solid phase transformations. *Proc. Natl. Acad. Sci. USA* 2005, 102, 6738–6743. [CrossRef] [PubMed]

26. Roma, G. Linear response calculation of first-order Raman spectra of point defects in silicon carbide. *Phys. Status Solidi A* 2016, 213, 2995–2999. [CrossRef]

27. Bagraev, N.T.; Kukushkin, S.A.; Osipov, A.V.; Romanov, V.V.; Klyachkin, L.E.; Malyarenko, A.M.; Khromov, V.S. Magnetic Properties of Thin Epitaxial SiC Layers Grown by the Atom-Substitution Method on Single-Crystal Silicon Surfaces. *Semiconductors* 2021, 55, 137–145. [CrossRef]