A role for heat therapy in low back pain in modern clinical practice

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Abstract: Low back pain (LBP) is a leading cause of disability. It significantly impacts the patient's quality of life, limits their daily living activities, and reduces work productivity. To reduce the burden of LBP, several pharmacological and non-pharmacological treatment options are available. This review summarizes the role of heat therapy in the management of LBP. First, we outline the common causes of LBP, then discuss the general mechanisms of heat therapy on (LB)P, and finally review the published evidence regarding the impact of heat therapy in patients with acute or chronic non-specific LBP. This review demonstrates that continuous, low-level heat therapy provides pain relief, improves muscular strength, and increases flexibility. Therefore, this effective, safe, easy-to-use, and cost-effective non-pharmacological pain relief option is still relevant in modern clinical practice.

Keywords: heat therapy; low-back pain; musculoskeletal pain; non-pharmacological management

1. Introduction

Low back pain (LBP) is an exceptionally common musculoskeletal problem and a leading cause of disability [1]. LBP is experienced by most adults at some stage of their life, with an estimated 577 million people affected in 2017 [1]. A recent systematic literature review stated that the prevalence of LBP ranges from 1.4% to 20.0%, and the incidence from 0.024% to 7.0% [2]. LBP significantly impacts patient quality of life, limiting daily activities and work productivity. It represents a substantial clinical and economic burden, and a major public health concern [1,3–7].

LBP is usually self-limiting, resolving in 1–2 months in most patients. Treatments for non-specific LBP include both pharmacological and non-pharmacological interventions [8]. Although over-the-counter non-steroidal anti-inflammatory drugs are frequently used as first-line treatment for LBP [9,10], they carry potential risk of cardiovascular, renal, hepatic, and gastrointestinal complications, particularly when used longer-term [11]. It should also be noted that LBP affects patients with different comorbidities, which may place limitations on the use of concomitant pharmacological therapies [12].

Current guidelines for patients with mild-to-moderate LBP that does not limit everyday activity recommend that patients self-treat, or use alternative, non-pharmacological treatments, such as superficial/topical heat, massage, acupuncture, or spinal manipulation...
as initial or complementary options [8,13]. Heat therapy has been used for centuries to relieve pain and promote health [14,15] and is applied today in a variety of forms including heat pads or wraps, hot baths, and heat lamps [16,17]. These modalities act at different depths, with the collective action of reducing the muscle tone, increasing blood flow, and relieving pain [17,18]. Continuous, low-level heat therapy is an effective, easy-to-use, low-cost option that could be a valuable part of a multimodal analgesic strategy [19].

This review summarizes the role of heat therapy—particularly continuous, low-level modalities, administered directly to the skin via a heat wrap—for the management of both acute and chronic non-specific LBP.

2. Causes of low back pain

LBP results from—among other factors—activation of nociceptors in response to trauma, tissue damage, or mechanical action on the spinal cord and spinal nerves, and changes in (inflammatory) metabolism. Specialized, group III or group IV free nerve endings (nociceptors) are polymodal [20,21], reacting to both mechanical influences and inflammatory processes. These free nerve endings can produce inflammatory mediators themselves (neurogenic inflammation amplification), which leads to a lowering of the receptor threshold and an amplification of pain (peripheral pain sensitization) beyond the primary cause [21].

“The changes in expression, distribution, and functioning of receptors and ionic channels are thought to be a part of the neuroplasticity property, through which the nervous system constantly adapts to external stimuli. Moreover, some of the reviewed mediators are also been associated with ‘central sensitization’, a process that results in pain chronification when the painful stimulation is particularly prolonged or intense, and lastly leads to the memorization of the uncomfortable painful perception” [22].

Neurotransmitters elicit changes in the interneurons of the spinal dorsal horns that influence their permeability, switching, and guidance mechanisms, which in turn affects the way they relay stimuli (including pain). [23–27]. Depending on their location, and the cause of the activation of the pain receptors, there may be a local increase in the tone of the segmentally assigned muscles with the formation of trigger points. A permanent change of the paravertebral muscle tone and the development of trigger points can lead to further pain intensification, and can result in a vicious circle of pain amplification. In this context, Petrofsky et al. [28] showed that, when locally applied to trigger points, heat was significantly superior to sham treatment for non-specific neck pain.

Although the cause of LBP is often non-specific, extensional and rotational-shear forces acting on the spine can activate mechanical and polymodal pain receptors—especially in spinal segments with pre-existing damage. Activation of nociceptors alters neuromuscular activation resulting in muscle inhibition and contributing to a degenerative cascade which ultimately results in pain and limits the patient’s ability to move [29,30].

It is hypothesized that a low serum pH may drive LBP, as painful or damaged discs have a lower pH than non-painful discs. A more acidic environment stimulates the release of proinflammatory mediators and other inflammatory substances, and depletes proteoglycan within discs. In turn, proinflammatory cytokines drive the release of nerve growth factor, which facilitates the ingrowth of nerves into damaged discs and stimulates the production of pain mediators. Ultimately, these inflammatory pathways may alter the intricate nutrient balance of the nucleus pulposus (the inner core of the vertebral disc), leading to a reduced supply of oxygen, increased levels of lactate, and reduced pH, thereby continuing the cycle, further disrupting the disc microenvironment and exacerbating the pain stimulus [31,32].

Left untreated, a persistent stimulus can drive the transition from acute pain to chronic pain via a series of distinct pathophysiological steps. Persistent pain can activate secondary pathways that lead to peripheral and central sensitization, hindering normal functioning via long-lasting modification of the neuronal cytoarchitecture and loss of inhibitory interneurons [33,34]. It is therefore important that acute episodes of LBP are
addressed in a timely manner, and within the “window” in which permanent changes may occur, to inhibit the transition to chronic pain [17,33].

3. Heat therapy – is it effective and how does it work?

Heat therapy is a non-pharmacological approach that involves the application of a heat source to the body to raise tissue temperature (Figure 1). Heat therapy acts on pain and muscle spasm in multiple ways. The application of heat activates temperature-sensitive nerve endings (thermoreceptors) which in turn initiate signals that block the processing of pain signals (nociception) in the lumbar dorsal fascia and spinal cord [35]. In addition, the pressure used to apply some heat therapies may activate nerve endings that detect changes in tissue pressure and movement (proprioceptors); when activated the proprioceptors block the transmission of pain signals to the spinal cord and the brain. The analgesic effects of heat are partly mediated by transient receptor potential (TRP) membrane channels, of which 7 respond to heat and 2 respond to cold temperatures. TRP vanilloid 1 (TRPV1) receptors facilitate the neural transduction of heat and processing of nociceptive pain. The activation of TRPV1 receptors in the brain is thought to regulate antinociceptive pathways. These mechanisms serve to reduce muscle tonicity and relax muscles, thereby reducing spasms and musculoskeletal pain [17,18,36].

In addition, an increase of temperature tends to reduce stiffness in fascial tissues [37]. This effect may involve a decrease in the viscosity of hyaluronan, which restores the normal gliding and normalizes the activity of proprioceptive mechanoreceptors in the respective fascia [38]. An increase in thickness, together with reduced shear motion mobility of the thoracolumbar fascia in chronic low back pain, has been documented as a possible correlate of fascial scarring [39,40]. The application of heat in low back pain patients may therefore also involve a normalizing effect on the thoracolumbar fascia [37].

4. Overview of heat therapy: superficial/deep treatment modalities

Heat therapy is the therapeutic application of heat to the body that results in an increase of tissue temperature [17]. The mode of therapy can be superficial, delivered using conduction (e.g. heat wraps) and convection (e.g. hydrotherapy) techniques, or deep,
delivered by conversion methods (e.g. ultrasound, diathermy) (Table 1). They all aim to provide pain relief and a reduction in muscle tonicity via the mechanisms described in the previous section [17,18]. Details on each of these heat therapy modalities and their specific applications are beyond the scope of this article.

An increase in tissue temperature via the application of heat packs leads to increased metabolism and vasodilation and accelerates the healing processes. An elevation in tissue temperature of just 1°C is associated with a 10–15% increase in local metabolism. Heat dependent vasodilation increases blood flow at the site of injury, facilitating healing through an enhanced supply of nutrients and oxygen, and via the removal of pain-inducing mediators produced as a by-product of tissue damage. Connective tissues may also change viscosity and density in response to heat, thereby improving the range of movement and enhancing tissue extensibility. Recent evidence also suggests that localized, repeated heat therapy may promote an angiogenic environment and enhance muscle strength [17,18,29,41,42].
Table 1. Types of heat therapy used to treat acute or chronic back pain.

| Method of heat application | Type of therapy                  |
|----------------------------|----------------------------------|
| Superficial heat therapy   | Conduction  Heat wrap (wearable) |
|                            |                                  | Heat pack (grain)                |
|                            |                                  | Hot-water bottles                |
|                            |                                  | Hot poultices                    |
|                            |                                  | Hot-stone therapy                |
|                            |                                  | Electric heat pads               |
|                            | Convection                       | Hydrotherapy                     |
|                            |                                  | Hot baths                        |
|                            |                                  | Heat lamp                        |
|                            |                                  | Stream/sauna                     |
| Deep heat therapy          | Conversion                       | Ultrasound                       |
|                            |                                  | Diathermy                        |
|                            |                                  | Laser therapy                    |

One advantage of superficial heat therapy is its safety profile. In a Cochrane review of 9 studies, superficial heat therapy was associated with only minor adverse events, mostly in the form of “skin pinkness” that resolved quickly [16]. Despite this, the use of superficial heat therapy, especially at high temperatures, may carry the risk of burns or skin ulceration. Furthermore, in some specific causes of pain, it may cause disease complications, progression, or exacerbation of inflammation. Therefore, caution is required in any condition with sensory impairment, such as multiple sclerosis, spinal cord injuries, autoimmune diseases with joint pain, activated osteoarthritis, poor circulation, and cancer [43,44].

5. Evidence of the effectiveness of continuous low-level heat-wrap therapy for low back pain

Before using heat therapy to treat LBP, it is important to rule out serious systemic disease [45]. In a review of 9 studies including a total of 1,117 patients, French et al. indicated that the continuous application of low-level heat directly to the skin via heat wrap was shown to provide small, short-term improvements in pain and mobility [16]. Therefore, heat therapy represents a viable approach to the self-treatment of acute or chronic muscular LBP either alone or as a part of a multimodal approach.

In a prospective study, patients with acute, non-specific LBP were randomized to receive continuous low-level heat-wrap therapy for 8 hours/day (n = 113), acetaminophen (n = 113), or ibuprofen (n = 106). Pain relief was reported in all 3 treatment groups, and was significantly greater with heat-wrap therapy than with acetaminophen or ibuprofen throughout 2 days of treatment (p < 0.001 for all) and 2 days of follow-up (p < 0.001 for all). This translated into significant differences in pain relief scores of 33% for heat wrap vs acetaminophen and 52% for heat wrap vs ibuprofen. The heat-wrap group also experienced significantly greater reductions in muscle stiffness and significantly greater improvements in lateral trunk flexibility and disability scores vs the acetaminophen and ibuprofen groups after both the treatment and follow-up periods [46].

In another prospective trial, participants with acute LBP were randomized to receive heat-wrap therapy (8 hours/day for 3 consecutive days; n = 95) or oral placebo (n = 96). Heat-wrap therapy resulted in significantly greater pain relief than oral placebo on Day 1 (p < 0.001; treatment difference of 68%); this extended to the end of the 2 days of follow-up (p < 0.0001). Pain relief correlated with other outcomes in that a similar pattern was observed for muscle stiffness and lateral trunk flexibility, with significantly greater improvements in the heat-wrap group vs the oral placebo group on Day 1 through to the end of follow-up, and in disability scores from Day 3 [47]. A similar trial investigated the overnight use of heat wrap in 76 patients with acute LBP. The overnight application (~ 8
hours) of heat therapy for 3 nights was found to be significantly more effective at reducing pain during the following day and during the 2 days post-treatment than oral placebo. Similarly, significant improvements were noted with heat wrap vs placebo for morning muscle stiffness, daytime muscle stiffness, pain-affect scores, and disability scores during treatment and follow-up. Furthermore, lateral trunk flexibility was significantly improved in the heat-wrap group vs in the placebo group at the end of treatment, and sleep scores were higher for the heat-wrap group [48].

In two workplace studies, heat-wrap therapy was found to significantly reduce pain intensity in patients with acute LBP both during treatment and up to 2 weeks after its use [49,50]. Heat-wrap therapy also reduced the impact of pain on everyday activities, most notably the ability to lift, work performance, and quality of sleep, and provided sufficient pain relief for most patients during treatment and 2 weeks after its use [49].

Heat-wrap therapy has also been investigated as part of a multimodal approach in the acute and chronic settings. In a prospective outpatient study that combined continuous heat-wrap therapy with directional preference-based exercise, 100 patients with acute LBP were randomized to heat wrap alone (~ 8 hours/day for 5 days), exercise alone, heat wrap plus exercise, or educational booklet (control). Treatment was for 5 consecutive days, and patients were followed up for an additional 2 days. At Day 7, the functional improvement with heat wrap plus exercise was 84%, 95%, and 175% higher than heat wrap alone, exercise alone, or control, respectively. By Day 7, the heat wrap plus exercise group had also achieved a significantly lower deficit from pre-injury function and greater reduction in disability than all the other treatment groups. Furthermore, the heat wrap-plus exercise group was associated with significantly greater pain relief when compared with the exercise-alone and control groups [51].

Within a multimodal approach trial of chronic LBP, 176 patients were randomized to receive basic multimodal treatment either alone or supplemented with heat-wrap therapy. While range of movement and flexibility improved in both groups, after 12 weeks of treatment the supplemented group recorded greater improvements in strength parameters (extension and right/left rotation) than the non-supplemented group [29]. This supports evidence of the short-term benefit of heat therapy in the chronic setting [52]. The long-term effects of combining heat-wrap therapy with exercise are currently under investigation for the management of acute LBP (ClinicalTrials.gov: NCT03986047). In this trial, patients will be randomly assigned to receive heat-wrap therapy alone, exercise alone, or heat wrap plus exercise for 7 days continuously, and will be followed for 24 weeks [53].

While most studies rely on subjective patient-reported scoring, Kettenmann et al. employed spontaneous electroencephalogram (EEG) as an objective parameter alongside self-assessment of pain [54]. Patients were randomized to oral analgesic as rescue therapy (control; n = 15) or heat-wrap therapy (≥ 4 hours/day on 4 consecutive days) plus oral analgesic rescue therapy (n = 15). The heat-wrap group had a shift of spontaneous EEG activity to lower frequency bands, indicating reduced arousal that was not observed in the control group. This was consistent with patient reporting whereby heat therapy significantly reduced perceived pain vs the control group. The heat-wrap group also reported significant improvements in stress and quality of sleep [54].

In Table 2, we summarize some of the key trials that have examined the efficacy of continuous low-level (~ 40°C) heat-wrap treatment for the relief of acute or chronic LBP. There were no serious adverse events reported in any of the aforementioned studies, and heat-wrap therapy was found to be well tolerated in studies mentioned [46–48,50,55].

Cost-effectiveness analyses have shown that the use of heat-wrap therapy for management of LBP is beneficial to both healthcare systems [56] and employers [49]. Economic modelling of the heat wrap vs acetaminophen vs ibuprofen study described above [46] indicates that introducing heat-wrap therapy in the place of oral treatments would provide material savings to the UK’s National Health Service [56]. Furthermore, a pharmaco-economic analysis has demonstrated the improved work-place productivity, and subsequent benefit to employers, associated with heat-wrap therapy [49].
### Table 2. Evidence for effectiveness of heat-wrap therapy in the treatment of low back pain.

| Author (year) | N   | Study design                      | Study treatment                                      | Comparator(s)                      | Primary endpoint results                                      | Other endpoints/outcomes                                                                 |
|---------------|-----|----------------------------------|------------------------------------------------------|-----------------------------------|----------------------------------------------------------------|----------------------------------------------------------------------------------------|
| Nadel 2003    | 219 | Prospective, randomized, parallel, single-blind, placebo-controlled, multicentre study | Continuous low-level heat wrap, 8 hours/day at 40°C, for 3 consecutive days (n = 64) | Oral placebo (n = 96) Unheated back wrap (n = 16) | Pain relief at Day 1: significantly greater with heat wrap vs placebo (p < 0.001) | a) greater pain relief on Days 2 and 3 vs control, b) reduced muscle stiffness (Days 1–4), c) improved flexibility (Days 2, 4), d) reduced disability (Days 2, 4), e) vs placebo, heat wrap was associated with significantly: a) greater pain relief on Days 2 and 3 and extended pain relief (Days 2–5), b) reduced muscle stiffness (Days 1–5), c) improved flexibility (Days 1–5), d) reduced disability (Days 3, 5), e) vs placebo, heat wrap was associated with significantly: a) greater pain relief on Days 2–5, b) reduced muscle stiffness in the morning and during the day (Days 2–5), c) reduced disability at end of treatment and follow-up, d) improved trunk flexibility at Day 4 e) improved sleep quality and onset of sleep |
| Nadel 2002    | 371 | Prospective, randomized, single-blind, comparative, multicentre study | Continuous low-level heat wrap, 8 hours/day, for 2 days (n = 113) | Ibuprofen, 1,200 mg/day (n = 120) Acetaminophen, 4,000 mg/day (n = 113) Oral placebo (n = 20) Unheated back wrap (n = 19) | Pain relief at Day 1: significantly greater with heat wrap vs acetaminophen (p = 0.0001) | a) greater pain relief on Day 2 and extended pain relief (Days 3–4), b) reduced muscle stiffness (Days 1–4), c) improved flexibility (Days 2, 4), d) reduced disability (Days 2, 4), e) vs placebo, heat wrap was associated with significantly: a) greater pain relief on Days 2 and 3 and extended pain relief (Days 2–5), b) reduced muscle stiffness (Days 1–5), c) improved flexibility (Days 1–5), d) reduced disability (Days 3, 5), e) vs placebo, heat wrap was associated with significantly: a) greater pain relief on Days 2–5, b) reduced muscle stiffness in the morning and during the day (Days 2–5), c) reduced disability at end of treatment and follow-up, d) improved trunk flexibility at Day 4 e) improved sleep quality and onset of sleep |
| Lurie-Luke 2003 | 207 | Workplace intervention study | Continuous low-level heat wrap, 8 hours/day at 40°C, for 3 consecutive days (n = 63) | – | Morning pain relief on Days 2–4: significantly greater with heat wrap vs placebo (p = 0.00005) | Heat wrap was associated with a reduction in the use of other over-the-counter pain relief products |
| Tao 2005      | 100 | Randomized workplace study       | Continuous low-level heat wrap, 8 hours/day, for 2 days (n = 25) | Back pain education alone (n = 18) | Pain intensity and pain relief during treatment and follow-up: Heat wrap + education significantly reduced pain intensity (Days 1–14) and provided improved pain relief (Days 1–4) vs education alone | vs education alone, heat wrap + education was associated with: reduced disability on Days 7, 14 |
| Mayer 2005    | 124 | Randomized, controlled outpatient study | Continuous low-level heat wrap, 8 hours/day, for 2 days (n = 24) | Heat wrap alone (n = 25) Exercise alone (n = 25) Educational booklet (control; n = 26) | Functional ability: Heat wrap + exercise significantly improved functional outcomes vs exercise alone (p = 0.02) at Day 4 and vs heat wrap alone (p = 0.007) and exercise alone (p = 0.003), or control (p = 0.001) at Day 7 | vs heat wrap alone, exercise alone or control, heat wrap + exercise was associated with significantly: a) less deficit from pre-injury function at Day 7 b) reduced disability at Day 7 (and at Day 4 vs control) c) greater pain relief at Days 4 (vs control) and 7 (vs exercise alone and control) |
| Kottmann 2007 | 30  | Randomized, active-controlled, parallel design study | Continuous low-level heat wrap, 4 hours/day, for 4 consecutive days (n = 15) | Oral analgesics (as needed; n = 15) | Objective evidence of reduced pain around (EEG data); Heat wrap led to significantly greater drops in Beta-1 and -2 frequencies post-treatment vs control (Days 2, 4) | Subjective evidence vs control, heat wrap was associated with significantly: a) reduced pain (Days 2–4), b) reduced stress (Day 3), c) reduced tiredness (Days 2, 4), d) improved sleep quality (Day 4) e) improved concentration (Days 2, 4) Heat wrap was rated as "excellent", "very good" or "good" by 86% of respondents |
| Stark 2014    | 61  | Pilot study to evaluate sensitivity of 2 methods to assess time to onset of pain relief and flexibility | Continuous low-level heat wrap, 8 hours at 40°C (n = 26) | Oral placebo (n = 25) Sham wrap (n = 5) Oral ibuprofen (n = 5) | Median time to first pain and meaningful relief were both significantly shorter for heat wrap vs placebo (p = 0.046 for both) | vs placebo, heat wrap was associated with significantly: a) greater pain relief b) greater change in muscle stiffness |
| Petrofsky 2015 | 145 | Randomized, controlled outpatient study | Continuous low-level heat wrap, 6 hours at 40°C, prior to home exercise programme over 2 weeks (n = 71) | Home exercise programme over 2 weeks without prior heat therapy (n = 7) | vs control, heat wrap was associated with significantly greater: a) improvement in strength after the 2-week period (p < 0.05) b) improvement in flexibility after the 2-week period (p < 0.05) c) reduction in disability after the 2-week period (p < 0.05) d) reduction in pain after the 2-week period (p < 0.05) e) compliance in completion of home exercise (p < 0.01) | Similar results were observed in patients with knee (n = 44) and neck (n = 59) pain increase in flexion, extension, and rotation |
6. Other applications for heat therapy

The muscle relaxant and analgesic effects of heat therapy (as reviewed in the section above) have also been found to be efficacious in relieving other types of musculoskeletal pain. Several studies have reported the benefits of continuous, low-level, direct heat-wrap therapy for the treatment of neck pain [28,57], knee pain (including pain from osteoarthritis, where heat wrap was more effective than acetaminophen) [57–60], and wrist pain stemming from strain or sprain, tendinosis, and carpal tunnel syndrome, with particularly good results observed in patients with carpal tunnel syndrome [61].

Localized heating of certain trigger points has also proven effective at relieving neck pain; in this case the heat is applied on the upper trapezius muscle [28]. Studies also indicate that heat therapy is effective at preventing and treating delayed-onset muscle soreness associated with exercise, with benefits observed in younger and older patients, as well as those with diabetes (a group who reportedly experience greater muscle soreness after exercise) [57,62–64]. In addition, the application of heat therapy for 8 hours, including the 4 hours before exercise, was found to be significantly more effective than stretching at preventing pain and improving disability and physical function the day after exercise [62]. Further to this, studies have also indicated that heat therapy provides greater benefits than cold therapy when applied after exercise [62–65].

Heat wrap as a method of heat therapy for pain relief has shown the key advantage of wearability, which allows for continuous use and the rapid resumption of work/normal daily activities. This feature makes it particularly relevant for other areas of pain management, such as dysmenorrhea, where it has demonstrated pain relief comparable to that achieved with ibuprofen [66,67]. Heat therapy may also be beneficial as part of a long-term pain management strategy following some surgical procedures [68].

7. Conclusions

LBP exerts a substantial burden on patients and is recognized as a major public health concern. Early intervention can help to inhibit the transition from acute to chronic LBP. Several clinical trials have demonstrated that continuous, low-level heat therapy, used alone or as part of a multimodal approach, provides early pain relief and improves muscular strength and flexibility, facilitating a return to normal function. Heat therapy may also have a role in particularly complex clinical cases, such as elderly patients with multiple comorbidities who are already receiving several concomitant medications, and in the outpatient setting for preparation and follow-up of back pain therapies. In patients with mild pain heat therapy may potentially negate the use of pain medications, and in patients with moderate-to-severe pain heat therapy may help lower pain drug requirements (i.e. number and dose).

In conclusion, continuous, low-level heat therapy is an effective, safe, easy-to-use, and cost-effective non-pharmacological pain relief option which patients can easily self-administer, proving that a therapy known for centuries still has a very relevant role in clinical practice today.
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