Navigating Ethical Challenges in Qualitative Research With Children and Youth Through Sustaining Mindful Presence

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Abstract
This article explores ethical challenges in qualitative research by bringing forward examples from the literature and from IN•GAUGE®, a research program spanning over 15 years and focusing on the significance of multiple perspectives and the value of gauging the health needs of young people and their families. In addition to exploring the ethical challenges in working with children and youth in research, we make the case that ethical considerations need to extend beyond research ethics boards protocols and present “sustaining mindful presence” as a conceptual frame practical guide for working through ethical challenges in qualitative research. We contend that greater participation of research subjects, including children and youth, is the way forward for developing more holistic and effective approaches to ethics within research institutions.

Keywords
ethical challenges, children, youth, research ethics, mindful presence, qualitative research

What is already known?
While there is a significant shift in thinking around the roles of children and youth in qualitative research, a substantial knowledge gap remains with regards to how to institutionalize such ethical principles so that they can be put into practice.

What this paper adds?
This paper further advances the case that ethical considerations need to extend beyond REB protocols and present ‘sustaining mindful presence’ as a conceptual and practical frame for working through ethical challenges in qualitative research that involve children and youth.

Introduction
A number of ethical challenges can emerge when engaging participants in qualitative research approaches (Morse, 1994; Orb, Eisenhauer, & Wynaden, 2001; Kelly, Jones, Wilson, & Lewis, 2012). Toward mitigating such challenges, organizational (i.e., university and other research institutes) research ethics boards (REBs) develop procedures for assessing research ethics protocols through collaboration between academics, practitioners, and other knowledge holders (e.g., people from the community) and through a review of best practices relating to different fields (Haggerty, 2004). Such reviews and the development of protocols, however, do not always sufficiently account for many of the issues that can arise when employing qualitative research methods, such as interviews and focus groups. There are often instances where researchers must make judgment calls and take actions outside of what is described in REB approved protocols and must do so through accessing finely tuned knowledge that assists them in choosing responses that they consider to be “ethical” and/or “appropriate.” Scholars working in qualitative research have referred to this type of accessing of knowledge as “ethical mindfulness and reflexivity” (Warin, 2011, p. 805), mindfulness as an “affective-discursive practice” (Stanley, Barker, Edwards, & McEwen, 2015, p. 61), and “reflecting the process aspects of what has taken place” in the research (Rooney, 2015, p. 82).

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We explore ethical challenges in qualitative research by bringing forward examples from the literature and from IN•GAUGE®, a research program spanning over 15 years led by Dr. Roberta Woodgate. Through IN•GAUGE®, Dr. Woodgate focuses on the significance of multiple perspectives and the value of gauging the health needs of young people and their families. The research involves children, youth, and families engaging with clinicians, caregivers, researchers, and decision makers toward knowledge exchange and innovation. The studies address what children and youth think about their illness and health conditions and contribute to building insights into their lived experience of physical and mental illness. In addition to exploring the ethical challenges in working with children and youth in research, we make the case that ethical considerations need to extend beyond REB protocols and present “sustaining mindful presence” as a conceptual and practical frame for working through ethical challenges in qualitative research.

**Challenging Traditional Perspectives**

The United Nations’ (1989) Convention on the Rights of the Child (UNCRC), *Article 3*, outlines a child’s right to protection. Following this protective approach and relating to children’s limited social power and ambiguous legal status as minors (Thompson, 1992), traditional REBs have developed guidelines for research with children who are presumed on their vulnerability, emphasizing issues of consent, competence, protection, and confidentiality (Arditti, 2015; Carter, 2009; Lahman, 2008). Children have regularly been perceived as unknowing objects by ethics boards leading to amplified power differentials between children and adults (Atwool, 2013). Jones (2008) describes the traditional approach to research ethics with children as creating a conceptual difference or “other” between researcher (adult) and subject (child), ultimately being detrimental to how research agendas are framed. Therefore, the traditional view of children as intrinsically vulnerable and in need of protection both protects and limits their rights (Thompson, 1992) and raises crucial questions about how researchers might design richer systems for ethics approaches. Several of these questions can be understood through exploring issues around “everyday” and relational ethics, and the paradigm shift that is underway in health care and research occurring in response to these questions (Holloway & Wheeler, 2010; Skelton, 2008). Related to the shift toward including youth in research is increasing agreement that children and youth (and research participants in general) are competent and that their voices need to be included in the research process (Clavering & McLaughlin, 2010; Woodgate, 2001).

Arditti (2015) situates the “vulnerability of children” as related to their being part of a “protected class,” and states that “overprotection can silence vulnerable participants” (p. 1568), primarily by limiting their agency. Several scholars have laid a strong foundation for challenging limiting assumptions about children’s agency and abilities and have called for changes to research methodologies toward attempting to understand the lives of children on their own terms (Alderson & Goodey, 1996; Morrow & Richards, 1996; Oakley, 1994). Oakley (1994) argues that the way that children are often treated in research processes (e.g., are not empowered to share their perspectives regarding research protocols) is grounded in a philosophy of exclusion and control, and the supposed differences between children and adults as research participants disappear once they are more closely examined. Alderson and Goodey (1996) contend that it is important that researchers give children the opportunity to be involved in the setting of research agendas and strategies, and Morrow and Richards (1996) talk about the importance of children seeing their perspectives as being worthy of inclusion.

The reflexive research practice that is part of IN•GAUGE® and the expression of agency in the research has highlighted the need for further ethical considerations with regard to the agency of youth. Söderbäck, Coyne, and Harder (2011) describe the transition in the context of family-centered care as moving from a “child perspective” to a “child’s perspective” where children are encouraged to engage and provide their “insider perspective on conditions, experiences, perceptions, and actions” (p. 100). Furthermore, there is a growing awareness that the active participation of children and youth in research can influence adult minds in a way that enhances their awareness of children’s roles within research (Carter, 2009). Therefore, the direct involvement of children and youth in research can serve to challenge the power differentials that could be affecting the ethical framing of the research (Harley, 2012; Vince & Petros, 2006). Nairn and Clarke (2012) suggest that one preliminary way of addressing power is by ensuring that children understand the purpose of the research in which they are involved.

Recognizing the importance of a child’s right to be heard, *Article 12.1* of the UNCRC asserts that “States Parties shall assure to the child who is capable of forming his or her own views the right to express those views freely in all matters affecting the child, the views of the child being given due weight in accordance with the age and maturity of the child,” or as the “child friendly” version states, children “have the right to give your opinion and for adults to listen and take it seriously.” Associated with this is *Article 13* that points to a child’s freedom with regard to expression and the right to information. Scholars have found that children and youth appreciate being able to exercise their right to be consulted and can feel empowered through research processes (Nairn & Clarke, 2012; Woodgate & Krecklewetz, 2012; Woodgate & Skarlato, 2015). In the United Kingdom, there is consensus among governments and non-governmental organizations (NGOs) that “real service improvement is only attainable through involving children and youth and listening to their views” (Nairn & Clarke, 2012, p. 3). Further, large organizations like Save the Children (2004) promote seeing children and youth in research as active agents and not subjects and suggest participatory approaches as being the most empowering and effective for creating positive and meaningful experiences with research.
While there is a significant shift in thinking around the roles of children and youth in qualitative research (Woodgate, 2001), a substantial knowledge gap remains with regard to how to institutionalize such ethical principles, so that they can be put into practice. Traditional REB protocols typically have relied on provisions such as the tailoring of questions, protocols for conduct with participants, anonymity, and informed consent (Haggerty, 2004). Such categorical approaches, however, do not prepare researchers (or others engaging participants through research, such as clinicians) for navigating the situational and relational qualities of research that engages participants with their full range of thoughts and emotions, which should not only be anticipated challenges of the research process but celebrated aspects of research adding further depth and interest. In the following sections, we present ethical challenges and approaches to research that underscore the necessity for richer approaches to health research and ethics.

Relational and “Everyday Ethics” and Ethical Challenges in Research With Children and Youth

Rossman and Rallis (2010, p. 379) define everyday ethics as “moments that demand moral considerations and ethical choices that arise as a part of a researcher’s daily practice.” They, and Austin (2007), explain how ethical procedures outlined by research boards are often insufficient to deal with the moral challenges that can arise when engaging in research, especially when research is focused on health and well-being, and explain that ethical considerations ought to move from being purely procedural toward being relational (Rossman & Rallis, 2010). Similarly, Guillemin and Gillam (2004) talk about “ethically important moments” and use the concept of “microethics” as “discursive tool to allow us to talk about, validate, and better understand the ethically important moments in research practice” (p. 277). McCosker, Barnard, and Gerber (2001) assert that sensitive research topics are emotional and deal with issues that are often more “private” or related to fear and/or stigmatization. Holland, Williams, and Forester (2014) refer to such challenges as ethical “speed-bumps” that require the researchers to engage in ethical negotiations involving relational, interactive, responsive, and often reciprocal responses.

Working through the INGAUGE research program, Dr. Woodgate and her research team have experienced several instances where relational ethics were important, especially in light of the “sensitive” nature of what was being explored through the interviews (i.e., health and wellness, illness, and quality of life across a range of health conditions). Across a number of different INGAUGE research studies, youth expressed deeply emotional and personally involved (i.e., nonneutral) responses to interview questions. This required researchers to respond to the youth in a fashion that followed the outlined ethics procedures; however, researchers also had to rely upon relational ethics due to the sensitive nature of the scenarios. As previously experienced and discussed by other researchers like Oakley (1994), researchers in INGAUGE often felt like they were required to “walk a fine line” with participants, taking into consideration the appropriateness of their responses, the amount of probing, as well as the nature and degree of intervention in the research encounter in order to provide youth with positive affirmations to self-deprecating statements. For instance, during an interview that was part of the Youth Living with Anxiety Study, a youth spoke negatively, on multiple occasions, about her body image and shared that she often put towels over the mirror to hide from her reflection and that she “despised” her body.

Interviewer field notes: I responded by telling her she was beautiful—it was instinctual and in many ways an automatic (though genuine) response. But I’m wondering to what degree my response was appropriate in the research setting?

Youth also sometimes expressed that they “felt stupid” or incapable of answering questions. In such cases, researchers would also often try to “coach” and/or console participants (Woodgate & Leach, 2010).

Interviewer field notes: Typically this participant either avoided eye contact or said “I don’t know.” She made some reference about being stupid and I [the researcher] tried to reassure her that we weren’t looking for right answers, just her thoughts and ideas about health. (Youth Speaking for Themselves about Health within their Own Life-Situations Study)

Instances also occurred where the youth did not have much knowledge about their condition and struggled in their responses.

Interviewer field notes: I felt a deep sadness as she shrugged her shoulders explaining that she was not sure why she cried but felt she “couldn’t help it.” I am not sure to what extent she is fully aware of what anxiety is and her own experiences of anxiety (for instance, she commented on two different occasions that “my mum told me I have anxiety”) and I am always unsure as to the interviewer’s role in these situations. (Youth Living with Anxiety Study)

Researchers must also consider the dynamics of the youth’s life and the effects of the research beyond what exists within the formalized research setting/space. As the new relationship between the child/youth and researcher begins, there is the potential to interfere with the “intrafamilial” relationship (Holland et al., 2014). Ethical dilemmas may be particularly pronounced when youth share information about their lives that could potentially put themselves or others at risk (e.g., disclosing drug use). There are typically strict ethical protocols in place that determine how a researcher should respond in some of the more obvious cases of where risk becomes apparent, such as when a child/youth discloses that they are being abused by an adult. In other cases, researchers must make (often hard) decisions about what to do with information (i.e., if it should be shared or remain confidential and with whom), decide if they should apply additional guidelines how the youth can respond, or steer the interview in a different direction without explicitly
limiting the responses of the youth. In their study on the ethical dilemmas of whether or not to ask survivors questions about their experiences of abuse in the interview setting, Becker-Blease and Freyd (2006) found that researchers often make ethical decisions based on their individual beliefs that generally overexaggerate the vulnerability of survivors and that this practice does not adequately account for the risks associated with avoiding questions about abuse.

Researchers also talked about challenges in representing the true meanings that youth would convey around difficult topics in the research. Youth participating in the INoGAUGE\textsuperscript{8} research program at times would confide negative feelings and in some cases would talk about how their feelings and the way that they would express themselves were often misunderstood by the adults in their lives. During the Youth Living with Anxiety Study,\textsuperscript{3} a youth explained how it was challenging for her to talk about “dangerous” topics like suicide because adult perceptions of and reactions to the topic were not proportional to what she was expressing.

Interviewer field notes: She explained that even though she had listed “dying” as a fear/worry on the Spence Children’s Anxiety Scale,\textsuperscript{4} she did not want me to think that meant suicide. She explained that she and her friends have talked about the ways in which they try to discuss suicide. She noted that some kids made light of the topic, but that adults “overreact and make up their own minds thinking that I want to do something to myself when that’s not the case”… I wondered about the current approach to discussing suicide with young people, in the sense that it seems that young people are worried about voicing their questions or concerns for fear of the adults in their life “overreacting.” “A” spoke on the topic at length, and I noticed that by the time she had finished on this topic, she had turned her body to face me, pulled the hair from her face, and sat much more relaxed, without her shoulders being hunched.

Similarly, researchers sometimes were afraid to ask the “elephant in the room” questions (e.g., questions about death, continuing treatment) when conducting research for studies that involved youth with life-threatening illnesses and on several occasions youth would ask later (after the interview) why certain questions were not asked. Similar to Guillemín and Gillam (2004), Holland et al. (2014) refer to these dilemmas as “micro-ethical issues” and posits “it could be argued that although much ethical regulation is ostensibly focused on the rights of the participant, the participant-as-actor could be said to be missing from much ethical regulation because the focus is on what the researcher may or may not do” (p. 425). Harris, Jackson, Mayblin, Pickut, and Valentine (2015) situate the vulnerability of participants within the norms and values of the research relationship and state “power differentials are always bad, that honesty, openness and understanding should be at the heart of the research process (as opposed to mystery and purposeful concealment), and that children need to be involved at every stage of a research project for it to be successful” (p. 586). Such statements speak to the limited social power of youth in research and bring into question how researchers and REBs might work toward engaging them in further development of research protocols.

Green and Thorogood (2014) reinforce that a lot may be asked of individuals who agree to participate in the research process. In addition to a considerable time investment, individuals are asked to invest in close relationships with researchers and trust them enough to share sensitive and personal information of what goes on behind the scenes of their everyday lives. If not careful, there is the potential for researchers to ask and take a lot without giving anything back (Hatch, 2002). Stemming from this view, the involved and lengthy nature of qualitative research is often labeled by REBs as “burdensome” to the participant. This perspective of the research process, however, does not account for the participant’s agency, and how qualitative research designs can often create the space for participants to express their personal truths through stories. A participant from the Aboriginal Youth Living with HIV Study explained how she had never told her story until being engaged through the research because she felt that others in her life did not have the time or capacity to listen.

The telling of a story through the research process can be empowering and on several occasions youth and their families stated that the interview process was even therapeutic. INoGAUGE\textsuperscript{8} participants also often reflected on the research as something that could potentially benefit others in similar situations and expressed that they felt like this made their participation worthwhile. Relational ethics therefore must also consider communication with participants about how their stories will be used and how they might impact the future (e.g., through policy), including any limitation. Follow-ups with participants on how their data/stories will be used are therefore an essential component of ethical research.

Relational considerations would also come into play when youth would occasionally “go off track” from the research topics during interviews requiring the researcher to respond sensitively and acknowledge that what was being said was important to the youth, such as issues with everyday life and/or their detractors. A researcher from the Youth Involvement in Health Care Decisions study noted this and that creating the space for this more free-flowing kind of dialogue enabled youth to share their story and feel more comfortable when they returned to speaking about the subject of the interview.
Interviewer field notes: Towards the end of our conversation, the son seemed eager to talk about his work experiences, and the hardships he’s had to endure in school and in the workplace. Though I thought about getting back to more “relevant” material, his train of thought revealed how much he needed to “prove” himself to his detractors, and again how meaningful it was for him to receive love and support since his diagnosis. It was remarkable to see the change in the participant’s comfort level throughout the interview, from someone seeming to be quite shy and reticent about talking about his experiences.

Research with children who are ill has revealed that their power to voice their concerns or fears may be further diminished due to a weakened physical and psychological presence (Christensen, 2004) and their positioning within the health-care system that can at times make them a captive audience. Times when youth share personal stories are telling of the amount of trust that is often due to the positionality of researchers (i.e., as adults with titles or affiliations), who have also typically been vetted by other trusted adults (e.g., parents, doctors; Woodgate & Edwards, 2010). This trust, often existing at the outset of research, highlights the necessity for researchers to move carefully so as not to break the trust of participants (e.g., breach confidentiality). The implicit trust also indicates that the process of empowering children through research may not be as straightforward as engaging them in informed consent and fragmentary forms of decision-making as is often outlined by REBs. Research protocols developed before entering the field may contribute to the handling of trust and navigation of situations; however, ethical tensions are also an inherent part of qualitative research and must be negotiated in the shared space between researcher and participant.

Tensions between researchers and participants were at times palpable and were expressed among participants in a variety of different ways, ranging from complete avoidance (e.g., playing with mobile phone and not paying attention to the researcher) to direct opposition. When this occurred, the interviewer would check-in with the participant to see if they were comfortable continuing the interview. Typically, the participant would state that they wished to continue the interview, and on a few rare occasions, the participant requested that the interview continue at a later date. Even though the youth used primarily body language to express their negotiation of power and sentiments about the research experience, the combination of body language and verbal information was important for understanding how participants negotiate power (Woodgate & Leach, 2010).

Interviewer field notes: I noticed that there were several things she would do during the interview (i.e., put her feet up on the desk, say something sarcastic) and then watch me. It seems like she was trying to gauge my reaction and was trying to figure me out. The participant expressed some strong negative reactions to some adults like teachers and her uncle and positive reactions to others like her mother—it seemed like it was important to her to figure out where she could place me even if it was just during the interview. (Youth Speaking for Themselves about Health within their Own Life-Situations)

Through IN•GAUGE®, youth and parents were often asked to engage in the development of protocols for research and dissemination of results. On several occasions, such involvement created ethical tensions through needing to strictly follow university REB protocols. One example is from Changing Geographies of Care Study (Woodgate, Edwards, & Ripat, 2012; Woodgate, Edwards, Ripat, Borton, & Rempel, 2015; Woodgate, Zurba, & Tennent, 2017), which used photovoice toward amplifying the voices and empowering families of children with complex care needs and conditions who were the research participants (Strack, Magill, & McDonagh, 2004). Ethical challenges emerged when the interviewer was engaging the father of a child with cerebral palsy in decision-making around how the photographs would be disseminated. The ethical protocols stipulated that the faces of children be blocked out; however, the father felt that this would diminish the empowerment aspects of his son’s participation in the research.

Interviewer: . . . faces will be blurred to kind of protect people’s identity.

Father of participant: Well yeah that’s fine sure, although I’d have to say given the nature of most of those pictures, taking his face out would ruin the whole point of the picture . . . And his face is where all of his communication and expression is, so you might as well not use the pictures if you’re going to blank out his face, but anyways.

Other similar examples included instances when youth wanted to be credited with their full names on quotes and photos from research and when a participant feels their stories did not agree with the synthesized results that the rest of the group agreed with as being the best representation of their experiences. These examples illustrate how ethical protocols set forth by REBs to protect participants may instead create situations where participants become limited in their power to contribute to research, and how the participant(s), target audience, and motivations for sharing a photo are important factors to consider when determining if a research practice is ethical or not (Harley, 2012).

Tensions can affect research participants but can also cause stress on both seasoned and newer (i.e., more vulnerable due to lack of experience) researchers (Woodby, Williams, Wittich, Burgio, 2011). Aluwihare-Samaranayake (2012) stresses the importance of considering how a research design will affect the worlds of both participants and researchers. Issues of physical and psychological safety can become entangled in the research process (McCosker et al., 2001), and moral distress can become part of relational and everyday ethics, especially when interviews become confounded by social, power, and gender dynamics. Interviewers sometimes encountered ethically challenging moments with parents who would offer statements that were factually inaccurate and at times were also distasteful. An interviewer described her personal struggles with a parent’s comments on race.

Interviewer field notes: I personally struggled when “A” spoke about the “facts” and made disparaging comments about race. I
asked questions for clarification thinking [the parent] was suggesting that mixed race children may struggle with identity issues, and was surprised to hear [the parent’s] response. (Youth Living with Anxiety Study)

Another example of moral distress occurred during the Youth Living with Anxiety Study. In this case, the youth offered many contradicting statements and talked about his anxiety as something that created anger that he found useful and enabled him to be manipulative. When the interviewer probed on why the participant enjoyed anger as a strategy, he told the interviewer that he did not like to be challenged. The interview was conducted in the youth’s bedroom at the family’s request (was the only space available in the home), which created additional distress for the interviewer. This placed the interviewer in a challenging situation where responses were difficult to navigate.

Interviewer field notes: When I first asked “Mi” for the memory card so that we could review the photographs together on the laptop, he shook his head no, and stated that he preferred to review them on the camera. He lay down on the bed and scrolled through the pictures. As such, I usually had to ask “Mi” to turn the camera around so I could see the photographs and lean towards him. Part-way through the interview, uncomfortable with the spatial setting of the interview, I asked to see the camera for myself, and realized that there were a number of photographs on the camera that he had not shown me or even given me the chance to ask questions about.

... As I reflect on the interview, I felt that much of the session was an exercise in power and control, as if “Mi” was purposely not answering or giving vague answers to the questions asked to gauge my reaction. At other times, I believe he was using jargon (related to computer programming as an example) to test my own knowledge. At times, I have to admit that my patience was tested as “Mi” repeatedly stated in quite a firm voice “I don’t know” when I would ask him the purpose or message behind a particular photograph. It may have been best if I asked “Mi” to caption each photograph, perhaps by telling him (more explicitly) how others may interpret those photographs, though in this sense I wonder if this would have aggravated “Mi.”

... I wonder if his reluctance to share is in some ways gendered and to what extent a male interviewer would have yielded different results.

... This was a challenging interview, and in many ways, particularly in the latter half of the interview, I felt that “Mi” was trying to test me in a way, attempting to manipulate the interview process, saying things only to gauge my reaction.

McCosker et al. (2001) highlight the need for the development of physical and psychological approaches to safety for researchers including protocols for contact with participants, when to interview, where to interview, how to check the environment prior to an interview, how to develop an awareness of personal safety, and how to evaluate and change protocols. They also contend (p. 9), “Ethics Committees may be affecting the direction of research by imposing unrealistic or unnecessary restrictions on some research areas, while ignoring the impact of others. Each of these issues warrants further examination and possibly research in its own right.” The situation described above provides an overview of some of the difficult challenges faced by the researcher in navigating ethical tensions around the interview. The challenges were documented in detail in the interviewer’s field notes and a debriefing session immediately followed the interview.

Other challenges that were difficult to navigate included when youth would ask researchers personal questions that were either related (Have you ever experienced depression?) or not related (e.g., “Are you married?”) to the research topic. There were also instances when personal boundaries became blurred (e.g., youth would ask for interviewer’s cell phone number or request to add them to their social media network).

The next section discusses how ethical challenges can be navigated and children, youth, and families can be meaningfully engaged in the research experience through employing ethical considerations outside of the standard REB protocols for ethics.

Navigating Ethical Challenges in Research Through Sustaining Mindful Presence

In order to fill a number of gaps relating to everyday ethics in clinical practice (e.g., influence of sex, gender, religion, and culture, type and severity of illness, organizational contexts, etc.), we advocate for a move toward richer ethical approaches inclusive of systems of active engagement between researchers and youth and concepts connected to moral agency (Delany, Edwards, Jensen, & Skinner, 2010). Austin (2007) speaks about this approach in terms of building “moral community” and the “moral habitability of the environment,” focusing on how ethical spaces need to be transformed according to contextualized knowledge and moral considerations not captured by protocols such as those outlined by REBs. The acknowledgment of the importance of “everyday” ethics creates the potential for enhancing the moral and relational imperatives through shifting the dynamics around ethics toward being participatory. This in turn gives researchers the tools to deal with situations in a flexible manner and empowers research participants to play a direct role in determining research process, in turn shifting their position from subjects to agents. We describe this process of being morally and relationally engaged with the research as “sustaining mindful presence.”

Sustaining mindful presence involves moving through the research field with careful forethought, attentive pace, and receptive attention to and awareness of what is taking place with the potential for the authentic expression of participants (Reid, 2009). Such an approach directly relates to improving the psychosocial and physical care of research participants by developing greater understanding of their perspectives and of the worlds in which they live. Sustaining mindful presence also helps to ensure that the youth, regardless of age, views his or her participation positively throughout the research process (including the development of research ethics prior to research) and results in enhanced self-esteem. Similar concepts have been positioned in the qualitative research ethics literature, such as Collins and Cooper’s (2014) discussion on emotional
intelligence as a refined quality of a researcher, which can increase the connection with the participant, involve skillful listening, and enhance a researcher’s understanding of the life-worlds of participants. Similarly, Aluwihare-Samaranayake’s (2012) “critical consciousness stance” is meant to “involve questioning and reflecting on how participants and researchers can work together to ensure that the participants’ voice and experiences are represented with due considerations to respect of persons, justice, non-maleficence, and beneficence” (p. 67).

We advocate for sustaining a mindful presentence a framework inclusive of and extending the above qualities toward guiding the researcher through the research process. Sustaining mindful presence includes the following characteristics that can become highly developed within a researcher: (i) openness and curiosity, (ii) empathy and acceptance, (iii) receptive attention and deep listening, (iv) relationally engagement, (v) flexibility and reflexivity, (vi) self-awareness and self-regulation, and (vii) being nonjudgmental and respectful (Figure 1; Table 1). We view these qualities as being developed over a continuum (i.e., rather than being simply present or absent).

Figure 1. Sustaining mindful presence as involving multiple qualities working together within the researcher.

Taking this approach within INGAUGE(R) meant keeping what youth shared through the research intact and not adulterating their interpretations with adult versions of realities (Mannion, 2007). Toward illuminating the privilege of being keepers of a story and the importance of maintaining the integrity of stories, we consider a quote from Roy’s (1997) The God of Small Things.

Instinctively colluding in the conspiracy of their fiction, taking care not to decimate it with adult carelessness. Or affection. It is after all so easy to shatter a story. To break a chain of thought. To ruin a fragment of a dream being carried around carefully. Like a piece of porcelain. To let it be, to travel with it … is much the harder thing to do. (edited removed “as Velutha did”)

The quote speaks to the inner worlds of young people, though it could also be extended to stories shared by people of any age. It depicts how openness and curiosity is important and how stories should not be degraded through reductive processes (i.e., that are typical within research). Similarly, a safe and comfortable space should be created for participants to express thoughts and feelings about their experiences in a fashion that is meaningful to them. Sustaining mindful presence within INGAUGE(R) also encouraged relational engagement, so that the youth could take control and ownership of the research process and contributed to the building of rapport between researcher and participant. Being self-aware, receptive, and listening deeply in the face of everyday ethical dilemmas (such as those described above) were also important parts of the rapport building process.

Mindful presence begins even before the launching of a study through the inclusion of youth perspectives in the research planning through reflective and flexible forums, such as youth advisory committees. Similarly, Pinter and Zandian (2015) studied ethical issues around sharing research results and other late stages of research with children and argue that in order to fulfill ethical responsibilities, it was important to “explore children’s own interests and assumptions about research, such as what concepts like anonymity, confidentiality and representation actually mean to them” (p. 247). Further, the views of children are heavily circumscribed by social and cultural norms and values, and these norms and values need to be respected in order for the research to be ethically sound (Graham, 2014). Participatory research methods have proven to be valuable for engaging youth in research and planning toward accessing their unique meaning constructs (Woodgate et al., 2017) and are especially effective when the process begins with the space to share opinions before decision-making processes begin (Åkerström & Brunnberg, 2013; Lukes, 2005).

By paying special attention to engaging the qualities of sustaining mindful presence with youth in research, we can also shift the common perspective of youth as being “pre-social,” unfinished, or in process (France, 2000). Sustaining mindful presence also shifts the perspective on youth toward present tense, as they exist in their own everyday lives, and away from their lives being understood in the future tense as they develop into adults (Mason & Danby, 2011). The above challenges can be addressed through sustaining mindful presence in a fashion that elevates the youth to a position in which they are being considered as competent beings, regardless of their psychosocial developmental stage. It creates pathways for more open and meaningful research experiences through youth being able to make decisions with regard to the way that research unfolds. One way that youth can influence the research process is in the development of the interview schedule. A statement made by a participant from the Aboriginal Youth Living with HIV Study shed light on why such input would be valuable and empowering to participants.

Male Participant: All these studies just make me feel like a statistic.
Interviewer: Really.
Male Participant: Yeah not only this. There are other things.
Female Participant: They are not just studying it. They’re just trying to help other kids.
Male Participant: Help the future, right…
Table 1. Qualities of Sustaining Mindful Presence.

| Quality                                | Underdeveloped                                                                 | Well Developed                                                                 |
|----------------------------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------|
| (i) Openness and curiosity             | Researcher is not able to see the possible contributions that participants can make to the research process | Researcher is able to see the possible contributions that participants can make to the research process and is willing to explore their input with humility toward adapting research strategies and practices and making space for the knowledge and stories of participants to be shared |
| (ii) Empathy and acceptance            | Researcher is not able to understand or accept the position of the participant(s) | Researcher is able to understand the position of the participant(s) and accepts the position even if it does not reflect their own |
| (iii) Receptive attention and deep listening | Researcher is not receptive to verbal and/or nonverbal cues that the participants are giving and is only listening superficially—either due to disinterest or being preoccupied by research tasks | Researcher is receptive to both verbal and nonverbal cues from the participant and modifies accordingly if need be (e.g., is attentive to pace) and listens deeply so as to gain a more than superficial understanding of what is being said |
| (iv) Relational engagement             | Researcher is not engaged with the participant(s) life circumstances and/or cannot build reciprocity through personal frameworks that help them relate to the participant and/or circumstance | Researcher has a well-developed understanding of the participant(s) life circumstances and has personal frameworks that enable them to support relational, communicative, and reciprocal aspects of the research |
| (v) Flexibility and reflexivity        | Researcher has difficulty adapting research strategies and practices to suit the needs and wants of the participant(s) and/or does not reassess such needs throughout the stages of research | Researcher is aware of the importance of and puts into place adaptive research strategies and practices from the beginning of the research process and revisits such strategies and practices in such a way that they can be modified based on participant feedback |
| (vi) Self-awareness and self-regulation| Research is not fully self-aware of their potential effects from certain behaviors on the research participant and/or does not regulate behavior toward being sensitive to the participant | Researcher is aware of the impacts of their own verbal and nonverbal actions on participants and regulates their behavior toward being sensitive to the needs of the participant(s) |
| (vii) Nonjudgment and respect          | Researcher has a hard time working past their own personal value frameworks and/or does not respect the participant(s)’ position or identity | Researcher is able to work past their own personal value frameworks toward accepting and respecting the position(s) and identities of the participant(s) |

Interviewer: So do you think that some of these questions could be worded a little bit differently, because I could do that?

Male Participant: It’s always a touchy subject. They could always be worded differently. The way I take it, it’s helping, it’s a step in the right direction, but some people would be like all touchy about it.

Sustaining mindful presence relates directly to the everyday and relational ethics. While sustaining mindful presence IN•GAUGE®, researchers used their “ethical radars.” Through looking for body language, researchers were able to gain insights regarding the youth’s emotional state, by looking for whether they were expressing discomfort even though they say that they are happy with a process. There were times when youth’s expressions were reasonably easy to read and times when it was more difficult to discern their emotions. This was especially difficult when interviews were conducted over the phone (i.e., the interviewer could not observe body language). Being present and attentive to context was also helpful for preventing other ethical challenges, such as the potential for intrusion into the youth’s world, interference in the youth–family relationship, and harm to the child’s physical and psychological sense of self (Woodgate, 2008). We found that sustaining mindful presence was important for dealing with some of the ethical challenges related to providing a space for youth agency through research. Through sustaining mindful presence, the researcher was able to provide a safe and comfortable research environment, consider the youth’s physical and psychological state and avoid placing demands on the youth that could cause harm to them physically or psychologically, and make careful preparations for exiting from the field.

With regard to everyday ethics and the sustaining of mindful presences, we encourage researchers to trust their “gut feelings” and their “inner voice.” We acknowledge that “objective research” often shies away from this; however, we emphasize that it is important to pay attention to the iterative qualities of the research process through employing an adaptive strategy capable of responding to feedback from those who are involved in research. The relational quality of everyday ethics creates the possibility for relationships to be built and maintained through accountability and reciprocity—attributes having strong effects on ethics. It should also be noted that sustaining mindful presence is not without its challenges, and it alone cannot adequately frame ethics for working with youth and their families. Ethics protocols can support research utilizing mindful presence. One example is the development of informed consent protocols, such as opt-in/opt-out activities,
which disentangle the researcher and participants in turn alleviating some of the social pressure (e.g., to act in a socially appropriate manner, such as responding positively or negatively depending on the circumstances) that participants may be feeling as a result of their participation (Kustatcher, 2014).

Our discussion also sheds light on the possibility of the coproduction of knowledge that is possible when REBs support richer approaches to ethics. We contend that REBs should support research that acknowledges youth’s rights to expression, including the right to be heard in a way that does not diminish or skew their perspective of their constructed realities. Toward this end, we suggest that youth should have a stronger presence in the development of ethical protocols through direct involvement with REBs. Youth Advisory Councils could play a significant role in the development of ethical guidelines for research, resulting in protocols for research with youth, created by youth. Such involvement would be useful for all types of research involving youth, including research not engaging participatory protocols. REBs would benefit from the advice of people who have the lived experience relating to topics that would be under review for study at a university, or other research institution.

**Conclusions**

The insights gained through the literature and through conducting research with youth and their families through the IN•GAUGE® research program provide evidence for the everyday and relational ethical challenges within qualitative research. Such challenges are not accounted for by REB protocols and require researchers to engage more intuitively and build in different forms of reflexivity within the research process. We propose that sustaining mindful presence as a novel and inclusive framework through which researchers can navigate ethical challenges in research. This approach is also important for filling ethical “gaps” relating directly to the research experience and outside of REB protocols. Mindful presence should be reflected upon by researchers before entering the field and should be implemented prior to the formal initiation of research. An area requiring further exploration is how to go about fine tuning mindful presence in researchers. Mindfulness teaching is an emerging area in adult education (Campbell & Christopher, 2012; Schoeberlein & Sheth, 2009) and has the potential for creating direct benefits for research ethics (Cullen, 2011). This type of teaching would be relevant to researchers involved in small- and large-scale and short- and long-term projects. Consideration also needs to be given to the development of pilot projects (van Wijk & Harrison, 2013) and how to adapt REB protocols, so that they can adequately account for everyday and relational ethical challenges and mindful approaches. We believe that greater participation of research participants, including youth, is the way forward for developing more holistic approaches to ethics within research institutions. New pathways for REB participation will need to be explored and are best discovered through applying the participatory and democratic approaches that could become part of future REB processes.

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**Notes**

1. It should be noted that ethical considerations are not only important when designing and implementing qualitative research but should also be considered in quantitative studies that explore sensitive issues (e.g., body weight, illness) through surveys and other methods.

2. Full names for funded studies discussed in this manuscript are listed in the Authors’ Note section.
3. Youth participating in the study were debriefed and mental health supports were in place.

4. Questionnaire and ranking scale developed by Spence (1994) that is used to determine anxiety in children.

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