Pathogens and host immunity in the ancient human oral cavity

Christina Warinner1,2, João F Matias Rodrigues3,4, Rounak Vyas3,4, Christian Trachsel5, Natallia Shved1, Jonas Grossmann3, Anita Radini6,7, Y Hancock8, Raul Y Tito5, Sarah Fiddyment6, Camilla Speller6, Jessica Hendy6, Sophy Charlton6, Hans Ulrich Luder9, Domingo C Salazar-García10–12, Elisabeth Eppler13,14, Roger Seiler1, Lars H Hansen15,16, José Alfredo Samaniego Castruita17, Simon Barkow-Oesterreicher5, Kai Yik Teoh6, Christian D Kelstrup18, Jesper V Olsen18, Paolo Nanni5, Toshihisa Kawai19,20, Eske Willerslev17, Christian von Mering3,4, Cecil M Lewis Jr2, Matthew J Collins6, M Thomas P Gilbert17,21, Frank Rühl1,22 & Enrico Cappellini17,22

Calcified dental plaque (dental calculus) preserves for millennia and entraps biomolecules from all domains of life and viruses. We report the first, to our knowledge, high-resolution taxonomic and protein functional characterization of the ancient oral microbiome and demonstrate that the oral cavity has long served as a reservoir for bacteria implicated in both local and systemic disease. We characterize (i) the ancient oral microbiome in a diseased state, (ii) 40 opportunistic pathogens, (iii) ancient human–associated putative antibiotic resistance genes, (iv) a genome reconstruction of the periodontal pathogen Tannerella forsythia, (v) 239 bacterial and 43 human proteins, allowing confirmation of a long-term association between host immune factors, ‘red complex’ pathogens and periodontal disease, and (vi) DNA sequences matching dietary sources. Directly datable and nearly ubiquitous, dental calculus permits the simultaneous investigation of pathogen activity, host immunity and diet, thereby extending direct investigation of common diseases into the human evolutionary past.

Unlike other human microorganisms, the oral microbiome will cause disease in a majority of people during their lifetime, suggesting that it is currently in a state of dysbiosis rather than symbiosis1,2. The human oral microbiome comprises more than 2,000 bacterial taxa, including a large number of opportunistic pathogens involved in periodontal, respiratory, cardiovascular and systemic diseases3–7. Dental calculus, a complex, calcified bacterial biofilm formed from dental plaque, saliva and gingival crevicular fluid8, is emerging as a potential substrate for the direct investigation of the evolution of the oral microbiome and associated measures of oral health and diet9,10. Recently, a DNA-based 16S rRNA phylotyping study identified the major bacterial phyla in dental calculus and argued for shifts in microbial diversity associated with the origins of agriculture and industrialization11, and, so far, five common oral bacteria have been identified in historic and prehistoric dental calculus using targeted PCR12, quantitative PCR (qPCR)11 and immunohistochemistry13. However, phylum-level community analysis and single-species targeted amplification are insufficient to characterize oral health and disease states, as this requires a deeper taxonomic and functional understanding of microbiome ecology14.

We present the first detailed analysis to our knowledge of ancient oral microbiome ecology and function at the genus and species levels, leading to a deeper understanding of recent evolution of the human oral microbiome. Focusing on the dental tissues of four adult human skeletons (G12, B17, B61 and B78) with evidence of mild to severe periodontal disease from the medieval monastic site of Dalheim, Germany (c. 950–1200 CE) (Supplementary Fig. 1), as well as modern dental calculus from nine individuals with known dental histories, we demonstrate for the first time, to our knowledge, that the human oral microbiome has long served as a reservoir for bacteria implicated in both local and systemic disease (Supplementary Table 1).

1Centre for Evolutionary Medicine, Institute of Anatomy, University of Zürich, Zürich, Switzerland. 2Department of Anthropology, University of Oklahoma, Norman, Oklahoma, USA. 3Institute of Molecular Life Sciences, University of Zürich, Zürich, Switzerland. 4Swiss Institute of Bioinformatics, Lausanne, Switzerland. 5Functional Genomics Center Zürich, University of Zürich/Swiss Federal Institute of Technology (ETH) Zürich, Zürich, Switzerland. 6BioArCh, Department of Archaeology, University of York, York, UK. 7University of Leicester Archaeological Services (ULAS), School of Archaeology and Ancient History, University of Leicester, Leicester, UK. 8Department of Physics, University of York, York, UK. 9Centre of Dental Medicine, Institute of Oral Biology, University of Zürich, Zürich, Switzerland. 10Research Group on Plant Foods in Hominin Dietary Ecology, Max Planck Institute for Evolutionary Anthropology, Leipzig, Germany. 11Department of Human Evolution, Max Planck Institute for Evolutionary Anthropology, Leipzig, Germany. 12Department of Prehistory and Archaeology, University of Valencia, Valencia, Spain. 13Research Group Neuro-Endocrine-Immune Interactions, Institute of Anatomy, University of Zürich, Zürich, Switzerland. 14Zürich Center for Integrative Human Physiology, University of Zürich, Zürich, Switzerland. 15Department of Biology, Microbiology, University of Copenhagen, Copenhagen, Denmark. 16Novo Nordisk Foundation Center for Protein Research, Faculty of Health and Medical Sciences, University of Copenhagen, Copenhagen, Denmark. 17Department of Environmental Science, Aarhus University, Roskilde, Denmark. 18Centre for GeoGenetics, Natural History Museum of Denmark, University of Copenhagen, Copenhagen, Denmark. 19Department of Immunology and Infectious Diseases, Forsyth Institute, Cambridge, Massachusetts, USA. 20Department of Oral Medicine, Infection and Immunity, Harvard School of Dental Medicine, Harvard University, Boston, Massachusetts, USA. 21Ancient DNA Laboratory, Murdoch University, Perth, Western Australia, Australia. 22These authors jointly directed this work. Correspondence should be addressed to C.W. (twarinner@gmail.com) or E.C. (ecappellini@gmail.com).

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reservoir for a broad suite of opportunistic pathogens implicated in both local and systemic disease and harbored a diverse range of putative antibiotic resistance genes. We confirm the long-term role of host immune activity and red complex pathogen virulence in periodontal pathogenesis, despite major changes in lifestyle, hygiene and diet over the past millennium. We reconstruct the genome of a major periodontal pathogen and present the first evidence, to our knowledge, of dietary biomolecules to be recovered from ancient dental calculus. Finally, we further validate our findings by applying multiple microscopic, genetic and proteomic analyses in parallel, providing a systematic biomolecular evaluation of ancient dental calculus preservation, taphonomy and contamination.

RESULTS

The ancient oral microbiome

Applying shotgun DNA sequencing to dental calculus for the first time, we found that it was strongly dominated by bacterial DNA, with minor contributions from human, viral, dietary and fungal sources (Fig. 1a). Using both targeted and shotgun 16S rRNA sequences \((n = 509,067)\), we identified a total of 2,699 microbial operational taxonomic units (OTUs) in the ancient dental calculus, with the 100 most abundant taxa accounting for 86.6% of the total reads (Fig. 1b and Supplementary Fig. 2). One archaeal and nine bacterial phyla were dominant in ancient dental calculus (Supplementary Table 1): Firmicutes \((49.5 ± 10.6\%)\), Actinobacteria \((12.0 ± 6.1\%)\), Proteobacteria \((11.5 ± 8.6\%)\), Bacteroidetes \((6.6 ± 3.6\%)\), TM7 \((4.6 ± 4.0\%)\), Synergistetes \((3.3 ± 2.6\%)\), Chloroflexi \((2.7 ± 1.5\%)\), Fusobacteria \((2.1 ± 1.8\%)\), Spirochetes \((0.6 ± 0.3\%)\) and Euryarchaeota \((0.4 ± 0.6\%)\), all of which are also dominant in the human oral microbiome today\(^4\). Notably rare in ancient dental calculus was Acidobacteria, a ubiquitous and abundant bacterial phylum in soil\(^{15}\).

To address biases resulting from the sequencing approach and the 16S rRNA gene hypervariable region \((V3, V5, V6)\) primer choice (Supplementary Fig. 3), we visualized evidence for each OTU separately for each targeted and shotgun 16S rRNA detection method, as well as for shotgun metagenomic and metaproteomic data (Fig. 1b). Most OTUs were detected using multiple methods. OTUs detected from targeted V3 and shotgun data generally showed good agreement, whereas V5 and V6 primers showed clear evidence of primer bias and OTU dropout. Shotgun metagenomic data showed excellent agreement with consensus 16S rRNA OTUs when reference genomes were available. Shotgun metaproteomic data also showed good agreement with the OTUs identified on the basis of genetic data, and agreement is expected to improve as protein databases grow to include more predicted proteins and epigenetic variants. Because ancient DNA and proteins undergo different taphonomic processes and have different contamination risks, the high degree of phylogenetic consensus observed for data generated from independent extractions, using different methods and targeting different biomolecular types, demonstrates that an endogenous oral microbiome can be robustly and reliably recovered from ancient dental calculus.

Carriage of specific pathogens

The normal human oral flora includes a large number of endogenous cariogenic, periodontal and other opportunistic pathogens. Although these taxa generally do not cause extraoral disease in healthy subjects, they nevertheless pose a serious risk for the elderly and immunocompromised\(^6,17\) and are known to be involved in the etiology of chronic systemic diseases, including cardiovascular disease\(^{18}\). As the detection of particular species from metagenomic sequence data is an open area of research, we applied a conservative contig assembly and BLAST strategy and screened our results against the Pathsystems Resource
Integration Center (PATRIC) database\(^{19}\) to identify 40 putative opportunistic pathogens in ancient dental calculus (Table 1), of which only 5 had been previously reported in ancient samples\(^{11,13}\). We also identified phage DNA sequences specific to particular bacteria (Table 1), including *Streptococcus mitis* phage SM1, which has been previously shown to mediate *S. mitis* attachment to platelets and to increase bacterial virulence in the endocardium\(^{20}\).

Both DNA and proteins from the periodontal pathogens *T. forsythia*, *Porphyromonas gingivalis* and *Treponema denticola* were particularly abundant in our ancient dental calculus samples, demonstrating that these so-called red complex bacteria\(^{23}\) were strongly associated with periodontal disease during the medieval period, just as they are today, despite substantial changes in oral hygiene, diet and lifestyle. Additionally, all three of these pathogens were found at substantially higher frequency in at least one ancient dental calculus sample compared to the HMP healthy cohort: *Porphyromonas gingivalis* (microcharcoal), a respiratory irritant. Two were also found to contain disordered carbon in the endocardium\(^{20}\).

Finally, we observed two additional oral taxa present at substantially higher frequency in at least one ancient dental calculus sample compared to the HMP healthy cohort: *Filifactor alocis* and *Olsenella uli* (Supplementary Fig. 4e,f). Although not classified as pathogens in the PATRIC database, these bacteria have recently been associated with periodontitis and endodontic infections, respectively\(^{25,26}\).

**Virulence**

To further characterize the pathogens detected in ancient human dental calculus, we compared information on the functional features of putative genes and proteins associated with virulence, drug resistance, plasmids, transposons and phages to the information available in NCBI records. Although not exhaustive, a preliminary list of well-supported virulence-associated genes and proteins was compiled

| Table 1 Putative pathogens identified from assembled metagenomic and metaproteomic sequences in ancient dental calculus |
|---|---|---|---|---|---|---|
| Pathogens\(^a\) | Genes (contigs) | Proteins (peptides) | Virulence | Drug resistance\(^b\) | Plasmid | CTn or phage |
| Actinomyces odontolyticus\(^c\) | 3 (4) | 3 (34) | | + | + |
| Aggregatibacter actinomycetemcomitans | 50 (68) | 0 | | + | + |
| Campylobacter concisus | 10 (20) | 0 | | + |
| Campylobacter curvus | 12 (11) | 0 | | + |
| Campylobacter rectus\(^d\) | 3 (9) | 3 (15) | + | + |
| Campylobacter showae\(^c\) | 3 (13) | 1 (2) | | + |
| Capnocytophaga gingivalis\(^c\) | 2 (11) | 3 (7) | + |
| Capnocytophaga ochracea | 938 (4,909) | 0 | + | + | + | + |
| Capnocytophaga sp. | 2 (2) | 0 | + |
| Clostridium difficile\(^e,f\) | 30 (76) | 0 | + |
| Corynebacterium matruchotii\(^c\) | 2 (15) | 12 (89) | | + |
| Eikenella corrodens\(^c\) | 11 (38) | 2 (11) | | + |
| Fusobacterium nucleatum | 656 (1,525) | 4 (21) | ++ | + | + | + |
| Fusobacterium periodonticum\(^c\) | 3 (6) | 3 (8) | + |
| Gemella morbillorum\(^c\) | 9 (38) | 30 | 0 | |
| Gordonibacter pamelae | 3 (30) | 0 | |
| Haemophilus influenzae | 19 (43) | 1 (4) | + |
| Histophilus somnii\(^c\) | 9 (18) | 0 | + |
| Leptotrichia buccalis | 492 (1,104) | 0 | + | + | + |
| Neisseria gonorrhoeae | 127 (250) | 1 (2) | + |
| Neisseria meningitidis | 336 (621) | 1 (2) | + | + |
| Neisseria sicca\(^c\) | 3 (8) | 4 (35) | |
| Neisseria subflava\(^c\) | 4 (12) | 0 | |
| Porphyromonas gingivalis | 802 (2,588) | 7 (72) | ++ | + | + |
| Rothia mucilaginosa | 24 (17) | 1 (2) | + |
| Streptobacillus moniliformis\(^d\) | 8 (23) | 0 | + |
| Streptococcus agalactiae | 7 (27) | 0 | + |
| Streptococcus dysgalactiae\(^d\) | 2 (8) | 0 | + |
| Streptococcus equi | 29 (101) | 0 | + |
| Streptococcus strains | 8 (11) | 0 | + |
| Streptococcus gordonii | 882 (3,397) | 1 (8) | + | + | + |
| Streptococcus mitis | 88 (161) | 1 (37) | ++ | + | + |
| Streptococcus mutans | 21 (67) | 0 | + |
| Streptococcus pneumoniae | 144 (339) | 1 (8) | + |
| Streptococcus pyogenes | 14 (32) | 1 (8) | + |
| Streptococcus sanguinis | 850 (3,272) | 1 (4) | + |
| Streptococcus suis\(^c\) | 2 (3) | 0 | + |
| Tannerella forsythia | 1,099 (11,279) | 10 (137) | ++ | + |
| Treponema denticola | 917 (6,106) | 3 (15) | ++ | + | + |
| Veillonella parvula | 96 (109) | 0 | + |

Metagenomic data from G12 and B61 and proteomic data from G12, B17, B61 and B78: +, gene(s) detected; ++, gene(s) and protein(s) detected; **+, gene(s) and protein(s) detected; +++, gene(s) detected. Includes only pathogens with valid entries in the PATRIC database. Only taxa represented by more than one DNA contig were shown. All pathogens are known inhabitants of the human oral cavity, as confirmed by cross-referencing with HMP data for supragingival dental plaque. Putative function based on gene homology and NCBI annotation; functionality was not independently validated. Reference genome sequencing and annotation incomplete. Not a prevalent inhabitant of the oral cavity; not present in the Human Oral Microbiome Database (HOMD). Tentative identification; sequences correspond almost exclusively to mobile genetic elements. Putative zoonosis.
Antibiotic resistance

The human microbiome is an important site of horizontal gene transfer and a potential reservoir of antimicrobial resistance\(^{29}\). Metagenomic studies of modern dental plaque have found a wide range of predicted genes related to resistance to diverse antibiotics and toxic compounds\(^{30}\). The antiquity of bacterial antibiotic resistance genes has recently been tested in permafrost soils dating to the Pleistocene\(^{31}\), but, until now, the antiquity of antibiotic resistance in human microbiota before the use of therapeutic antibiotics had not been investigated.

Using both automated and manual search strategies, we identified within ancient dental calculus numerous DNA sequences with homology to antibiotic resistance genes found in oral and pathogenic bacteria, including genes for multidrug efflux pumps and native resistance genes to aminoglycosides, \(\beta\)-lactams, bacitracin, bacteriocins and macrolides, among others, as well as a near-complete plasmid-encoded conjugative transposon carrying efflux pump genes with high homology to CTn5 of Clostridium difficile (Supplementary Table 4). Although the exact function of these genes in our samples is unclear, their presence nevertheless demonstrates that the biomolecular machinery for broad-spectrum, low-level antibiotic resistance has long been present in the human microbiome, illustrating how the oral microbiome functions as both a source and a reservoir of new antibiotic resistance\(^{23}\).

Pathogen genome reconstruction: \(T.\) forsythia

\(T.\) forsythia (formerly Bacteroides forsythus and Tannerella forsythensis) is an anaerobic, Gram-negative member of the phylum Bacteroidetes and is a known inhabitant of supragingival and subgingival plaque\(^{32}\). It is associated with advanced forms of periodontal disease and has been reported in atherosclerotic lesions\(^{7}\). On the basis of 16S rRNA gene data, \(T.\) forsythia was observed to be at moderate abundance (0.09–0.84%) in the dental calculus of one individual (G12) and, as a pathogen of interest, was selected for genome reconstruction.

Using a conservative mapping strategy, a total of 10,991 contigs were recruited to the ancient \(T.\) forsythia genome reconstruction, at a mean nucleotide depth of coverage of 5.7 (Fig. 2a). Ninety-one percent of \(T.\) forsythia genes\(^{\ast}\) were mapped by at least one contig, and unmapped genes included 94 transposases, transfer factors and other mobilization genes that may be specific to the \(T.\) forsythia ATCC 43037 reference genome strain used for alignment. The largest gap in our genome reconstruction, which spanned ~48,000 bp and 53 genes, corresponded to a complete conjugative transposon carrying putative tetracycline resistance genes that was absent in our reconstructed ancient \(T.\) forsythia genome. In addition to genetic sequences, tandem
mass spectrometry (MS/MS) identified 118 peptides belonging to 10 T. forsythia proteins (Fig. 2a). Of these proteins, nine were outer membrane or S-layer proteins, seven had a known function and four were antigenic: T. forsythia surface protein A (TF2661-2, tfsA), T. forsythia surface protein B (TF2663, tfsB), outer membrane protein 41 (TF1331, omp41) and one hypothetical protein (TF2339)23.

Several virulence factors and antigenic proteins have been identified in T. forsythia (Fig. 2a), including Bacteroides surface protein A (BspA), dipetidyl peptidase-4 (dppIV), tfsA and tfsB, among others27,33. The genes encoding each of these virulence factors were present in our reconstruction. The glycosylated T. forsythia S-layer proteins tfsA and tfsB are directly involved in hemagglutination, adhesion and tissue invasion34. They are also unique and are species diagnostic, as they are non-homologous to other known S-layer proteins or glycoproteins35. DNA and protein coverage of tfsA and tfsB was high in our data set; for example, 10 contigs comprising 116 reads mapped to the TF2663 (tfsB) gene (Fig. 2b), and we identified 65 spectra belonging to 27 unique tfsB peptides (Fig. 2c,d). Given that functional T. forsythia S-layer protein is essential for host immune evasion and biofilm coaggregation34, the discovery of abundant, well-preserved S-layer gene and protein sequences makes ancient dental calculus an excellent candidate for investigating the evolution of periodontal pathology in humans.

**MS/MS analysis of host immunity and disease pathogenesis**

Despite dense microbial colonization and the regular introduction of foreign substances, the oral cavity is effective at preventing most infections. At least 45 antimicrobial gene products are active as early responders of the innate immune system have been identified in saliva and gingival crevicular fluid36. We identified 43 human proteins within ancient dental calculus, of which 25 are involved in the innate immune system (Fig. 3a). Eight of these proteins have demonstrated antimicrobial properties and include cationic peptides (α-defensin and azurocidin), metal ion chelators (calgranulin A, calgranulin B and lactoferrin), protease inhibitors (melyperoxidase) and bacterialceal proteins (bacterial permeability-increasing protein, lysozyme C and peptidoglycan recognition protein 1). Expression of many of these proteins is specific to a particular cell type and even a particular subcellular component (for example, azurocidin is specific to neutrophil lysozyme-azurophilic granules), allowing highly resolved characterization of the immune system response. Approximately one-third of the identified human proteins were shared by ancient and modern calculus (Fig. 3b), and functional profiles were highly similar (Fig. 3a). In contrast, ancient tooth roots were distinct, both in protein composition and function and being dominated by collagen and other proteins involved in mineralized tissue (bighycan, perisierics) and vascular (prothrombin) development and maintenance.

The STRING resource37 was used to investigate functional interaction networks among the human proteins in ancient dental calculus. A large number of functional interactions were predicted (Fig. 3c), and 79% of proteins (n = 34) were functionally connected to at least one other protein in the network. Immunoglobulin heavy chain (IgA and IgG) and light chain (kappa) peptides were detected in ancient calculus, as was α-amylase, a salivary enzyme that breaks down dietary starch; however, the majority of proteins were related to the innate immune system. Human proteins in ancient dental calculus were strongly enriched in extracellular (P value of 3.2 × 10−12, false discovery rate (FDR)-corrected) and secretory (P value of 4.3 × 10−9, FDR-corrected) proteins, mostly of neutrophlic origin. Extravasated neutrophils are recruited to sites of injury by IgG and have a life span of less than 24 h38; thus, neutrophil proteins are only released into calcifying dental plaques during active infection and inflammation. Relatively few human cellular proteins were found, suggesting that immune cells do not invade the calcifying plaque but rather release anti-inflammatory responses from the functional and pocket epithelia, a process that is consistent with neutrophil 'frustrated phagocytosis' (ref. 39) and NETosis40. Human proteins in ancient calculus were significantly enriched in biological processes related to inflammation, innate immunity and host defense, as well as in molecular functions such as cell surface, protease and glycosaminoglycan binding (Fig. 3d). The observation of an abundance of inflammatory (myeloperoxidase, azurocidin, lysozyme, calprotectin and elastase) and anti-inflammatory (α-1-antitrypsin and α-1-antichymotrypsin) innate immune system
proteins in ancient dental calculus, coupled with morphological evidence of attachment loss and alveolar recession, is strongly supportive of active periodontal inflammation and disease.

In addition to this host immunological data, we identified oral pathogens and bacterial virulence proteins in ancient and modern dental calculus known to provoke strong immunological reaction and to contribute to periodontal pathogenesis (Supplementary Table 3), most notably P. gingivalis (gingipains), T. forsythia (S-layer proteins) and T. denticola (major sheath protein). P. gingivalis has recently been shown to stimulate neutrophils to release resistin, a protein implicated in acquired insulin resistance41. Resistin may exacerbate the progression of type 2 diabetes42, and, interestingly, we identified resistin on the basis of reasonably abundant evidence (36 spectra, five unique peptides) in modern calculus. Resistin was also identified in ancient dental calculus (seven spectra, five unique peptides) but not in ancient tooth roots.

Ancient dietary reconstruction

Given current challenges in nutritional health and obesity43, a growing interest in dietary aspects of the hygiene hypothesis44 and a recent study suggesting shifts in the ancient oral microbiome associated with periods of agricultural transition45, there is great interest in better understanding the evolutionary history of the human diet. However, paleodietary reconstruction is made difficult by the generally poor preservation of plants and small animals in the archaeological record. Stable isotope analysis of human bone and dental calculus–based plant microfossil research have broadened our knowledge of past dietary practices, but these tools are insufficient to characterize many major dietary components at high taxonomic resolution. Ancient DNA–based approaches offer great advantages and have been used to identify dietary components from archaeological feces (coprolites), as well as to investigate plant remains directly46. However, as coprolites and preserved plant remains are relatively rare, we sought to characterize dietary information from dental calculus using both biomolecular and conventional methods.

From our metagenomic sequence reads, a total of 487 reads (0.0003%) were confidently identified as eukaryotic organelle sequences; of these, 266 were assigned to the kingdom Viridiplantae and 21 were assigned to the kingdom Animalia. Within these kingdoms, most of the organelle reads mapped ambiguously to multiple organisms or genera, leaving only 20 reads that could be positively identified at a subfamily level. Of these 20 reads, 17 were of host origin, and the remaining 3 reads

Figure 4 Genetic, microfossil, zooarchaeological and stable isotopic evidence for the medieval human diet at Dalheim, Germany. (a–c) Neighbor-joining trees for GenBank sequences aligning to putative dietary sheep (a) and pig or boar (c) sequences. Trees include accessions with alignment scores of >45, except for (c), which is limited to the top 250 alignments; highly significant alignments (E value < 1 × 10−30) are indicated with an asterisk. BLAST top hits for each dietary sequence are highlighted. The maximum fraction of mismatched bases is 0.75 for tree generation. (d) One sequence aligned to two accessions of bread wheat only. (e–h) The microfossils recovered from ancient human dental calculus yielded morphological matches to animal collagen fibers (e), a smooth long-cell phyloolith (f) and starch granules of the grass tribe Triticeae (g) and the legume family Fabaceae (h). Characteristic starch granule birefringence is shown under polarized light in the insets in g.h. Scale bars, 50 µm in e, 25 µm in f–h. (i) C and N stable isotopes for human bone collagen (black circles) fall within 2 s.d. (boxes) of those measured for other Central European populations and are consistent with a diet of mixed C3 terrestrial plant and animal resources. Isotopic values are reported in delta notation: δ13C = (13C/12C)sample/(13C/12C)PDB − 1, with the Vienna Pee Dee Belemnite (VPDB) standard. (j,k) Recovered food waste includes skeletal material from Sus species (j) and Caprinae (k).
matched diagnostic mitochondrial sequences for pig/boar (Sus species), crucifer (Brassica species) and bread wheat (Triticum aestivum).

Analysis of assembled contigs additionally identified one putative sheep (Ovis species) and several human (n = 326) nuclear genomic sequences (Fig. 4a–d). Although previous studies have reported trace animal domesticate DNA contamination (from cattle, pig and chicken) in some PCR reagents46, we found no evidence of such contamination, and additionally wheat, crucifers and sheep are not part of this supply chain. The discovery of preserved dietary biomolecules is consistent with previous observations of intact dietary microfossils, such as starch granules, in archaeological dental calculus10 and with reports of wheat and cassava (tapioca) chloroplast DNA in the dental plaque of living subjects4. Turning to proteins, we identified one putative dietary plant protein, chloroplast glyceraldehyde 3-phosphate dehydrogenase (GAPDH), in ancient calculus, but disambiguation below the phylum Viridiplantae was not possible. Faunal proteins were not confidently identified within ancient dental calculus, but we did identify bovine β-lactoglobulin, a milk protein, in modern dental calculus, demonstrating that recovery of dietary animal proteins from dental calculus is possible.

Because our discovery of dietary biomolecules in dental calculus is new, we sought to validate our results using independent paleodiagnostic methods. Microfossil analysis of ancient dental calculus yielded morphological matches to animal connective tissue fragments (n = 2; Fig. 4e), an unidentified monocot phytolith (Fig. 4f), plant bast fibers (n = 3) and starch granules consistent with the cereal tribe Triticeae (n = 27; Fig. 4g) and the legume family Fabaceae (n = 1; Fig. 4h), among other debris (Supplementary Fig. 5). Stable isotope analysis of human bone collagen (Fig. 4i) from the four ancient human individuals indicated a mixed diet of C3 terrestrial plant and animal resources typical of Central European populations from the late Mesolithic through the medieval period47–50. Zooarchaeological analysis of food waste at the site confirmed the presence of pig or boar (Sus species; Fig. 4j) and sheep or goat (Caprinae; Fig. 4k), as well as cattle (Bos species) and equids (Equus species).

Biomolecular analysis of dental calculus thus yields complementary dietary information compared to conventional methods, as well as new findings. The high taxonomic precision of genetic approaches allows closely related taxa (for example, Caprinae) to be distinguished in the absence of diagnostic skeletal elements, and under-represented plant taxa, such as Brassica, can be identified without the biological and taphonomic biases that compromise macro- and microfossil preservation of leafy greens and vegetables.

Figure 5 Evidence of microscopic and biomolecular preservation of ancient dental calculus. (a) Labiointestinal section of a mandibular incisor with dental calculus (arrow) on the labial crown surface; both dentine and cementum within the tooth root show extensive evidence of postmortem alteration. Scale bar, 2 mm. (b) EDS visualization of calcium (red) and silicon (green) shows that silicon is restricted to the surface except for one biogenic silicon inclusion (arrow). Scale bar, 200 μm. (c) Detail of dental calculus, which exhibits a layered structure suggesting outward-downward incremental growth. Scale bar, 100 μm. (d) Detail of a stained section showing Gram-negative (red) and Gram-positive (blue) bacteria. Scale bar, 10 μm. (e) Detail of a Hoescht-stained section showing abundant in situ double-stranded DNA. Scale bar, 20 μm. (f) Detail of the calculus matrix containing numerous lacunae of filamentous microorganisms (arrow), some of which are mineralized (arrowhead). (g) In another area, the matrix contains both unmineralized (arrow) and mineralized (arrowhead) lacunae of microorganisms resembling cocci. Scale bars, 5 μm. (h) Proportions of human and bacterial proteins identified in ancient and modern samples. (i) DNA extraction yields from modern (M) and ancient dental calculus (red), dentine (blue and orange) and alveolar bone samples (yellow). (j) Comparison of microbial communities in ancient dental samples (squares) to diverse publicly available samples (circles). 16S rRNA data were generated by shotgun sequencing (S) and targeted amplification and sequencing of hypervariable regions (V3, V5, V6), followed by OTU clustering. Ancient metagenomes (n = 38) were plotted within a network where distance scales with OTU community similarity. Modern metagenomes with >20% shared community are shown connected by black lines, and ancient metagenomes with >20% shared community are connected by green lines. A total of 315 GenBank studies were recruited to the network. Ancient metagenomes segregate into two distinct groups: ancient dental calculus samples cluster tightly together, are connected by thick lines and show similarity to modern metagenomes of primary human and oral origin, and ancient dentine and abscissed bone tissue samples form a more diffuse cluster and recruit primarily soil and environmental metagenomes. Carioid dentine forms an intermediate cluster that shares OTUs with both human-associated and environmental sources.
Taphonomy and contamination

Postmortem taphonomy and contamination pose challenges in ancient biomolecular research. To address these potential problems in our data set, we employed multiple protocols for authenticating our data, including scanning electron microscopy (SEM), energy-dispersive X-ray spectroscopy (EDS), optical microscopy, Raman spectroscopy, protein damage analysis, genetic network analysis and probabilistic genetic source tracking.

After death, environmental microbes are known to infiltrate the dentition, causing substantial tissue degradation, loss of organic matter and altered mineralization patterns in dentine and cementum (Supplementary Fig. 6)\textsuperscript{51}. We observed, however, little evidence of postmortem alteration in ancient dental calculus samples (Fig. 5a). EDS imaging showed a thin deposit of silicon-rich soil matrix only on the dental calculus surface (Fig. 5b), and no evidence of altered mineralization was observed within ancient dental calculus, a finding that we confirmed by Raman spectroscopic comparison with modern controls (Supplementary Fig. 7). During life, growth of dental calculus is appositional\textsuperscript{8,52}, resulting in a laminar cross-sectional structure characterized by alternating bands of Gram-positive and Gram-negative bacteria (Supplementary Fig. 8), a pattern we also observed in ancient calculus (Fig. 5c,d). DNA fluorescent dye showed a similar distribution of double-stranded DNA in ancient and modern calculus, in many cases resolving to individual cells (Fig. 5e and Supplementary Fig. 9) corresponding to a diverse range of \textit{in vivo} bacteria embedded within undisturbed dental calculus matrix (Fig. 5f,g).

Ancient dental calculus yielded microbial \((n = 239)\) and human \((n = 43)\) proteins in the same relative proportion and with similar functions as in modern controls (Figs. 3a and 5h, and Supplementary Fig. 10), whereas only human proteins \((n = 53)\) were confidently identified from tooth roots and bone. Damage analysis of dental calculus proteins showed a higher proportion of spontaneous, non-enzymatic post-translational modifications in ancient samples compared to modern controls; however, both modern and ancient dental calculus peptides exhibited relatively high proportions of non-tryptic cleavage (>10%), an observation consistent with \textit{in vivo} exposure to bacterial and immune system proteases (Supplementary Fig. 11).

Total DNA recovery from ancient dental calculus (5–437 ng DNA/mg calculus) was comparable to that for modern calculus and one to three orders of magnitude greater than from paired dentine (0.3–0.5 ng/mg), carious dentine (0.2 ng/mg) and abscessed bone (0.4 ng/mg) (Fig. 5i). Analysis of 16S rRNA phyotypes using a new network analysis tool developed for this study showed that the bacterial communities within ancient dental calculus closely resembled published human oral microbiomes and were distinct from the communities observed in ancient dentine and bone, which clustered primarily with published soil samples, indicating environmental contamination after death (Fig. 5j and Supplementary Fig. 12). This pattern was found to be robust to extraction method, decontamination method, primer selection, sequencing method and interindividual variation. Reanalysis of our data using the methods employed by HMP\textsuperscript{8} yielded equivalent results (Supplementary Figs. 13–15) that were also confirmed using the Bayesian tool SourceTracker\textsuperscript{53} (Supplementary Fig. 16). Ancient dental calculus is thus shown to be a remarkably well-preserved biological material that allows direct and detailed investigations of the ancient oral microbiome.

DISCUSSION

Dental calculus is among the richest biomolecular sources yet identified in the archaeological record. Given the exceptional preservation of DNA within dental calculus (5–437 ng/mg), next-generation shotgun sequencing libraries can be built from milligrams of material, thereby reducing typical sample requirements for ancient DNA analysis by two orders of magnitude. We demonstrate that the red complex pathogens \textit{T. forsythia}, \textit{P. gingivalis} and \textit{T. denticola} have long been associated with periodontal disease, despite changes in lifestyle, hygiene and diet since the medieval period. We confirm the long-term carriage of opportunistic pathogens in the human oral cavity, including the causative agents of oral and respiratory diseases, as well as bacteria implicated in the progression of cardiovascular disease and the formation of arterial plaques. We find genetic evidence that the human oral cavity has long harbored genes with homology to putative antibiotic resistance genes, the first such demonstration, to our knowledge, in an ancient human–associated sample. We reconstruct the genome of the periodontal pathogen \textit{T. forsythia} without previous enrichment and identify the absence of a complete conjugative transposon carrying putative tetracycline resistance genes found in the reference strain. We report for the first time, to our knowledge, the presence of well-preserved proteins within ancient dental calculus and show that, although the dental calculus metagenome is dominated by bacterial DNA (>99%), the dental calculus metaproteome contains high proportions of both host and microbial proteins of clinical significance. Because the growth of calculus is appositional without remodeling, it may offer a potential solution to the ‘osteological paradox’ in studies of ancient disease\textsuperscript{24}, and, given that proteins are known to survive longer in the archaeological record than DNA, dental calculus may allow the recovery of valuable proteomic data from deep time periods that are out of reach using genomic technologies. Finally, we report the first plant and animal DNA sequences recovered from ancient dental calculus; these sequences allow greater taxonomic precision than is currently possible using microfossil or stable isotope paleodietary techniques. Dental calculus is a robust, long-term molecular reservoir of ancient disease and dietary information, and it has important implications for the fields of medicine, microbiome research, archaeology and human evolutionary studies.

METHODS

Methods and any associated references are available in the online version of the paper.

Accession codes. Illumina and 454 genetic data have been deposited in the NCBI Short Read Archive (SRA) under the project accession SRP029257 and sample accessions SRS473742–SRS473771 and SRS480529–SRS480539 and to MG-RAST\textsuperscript{55} under project accession 365 and accessions 4486524.3, 4486533.3, 4486537.3, 4486539.3, 4486540.3, 4486544.3, 4486613.3, 4486614.3, 4486617.3, 4487224.3, 4487231.3, 4487233.3–4487235.3, 4487273.3–4487248.3, 4488534.3–4488536.3, 4488542.3, 4517539.3, 4530391.3, 4530438.3, 4530493.3 and 4530473.3–4530475.3. Proteomics data have been deposited in the ProteomeXchange Consortium via the PRIDE partner repository\textsuperscript{56} with the data set identifier PXD000412 and accessions 34605–34628. Computer source code for the network analysis in Fig. 5j has been deposited to GitHub (see URLs).

Note: Any Supplementary Information and Source Data files are available in the online version of the paper.

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AUTHOR CONTRIBUTIONS

C.W. conceived the project, with input from M.J.C. R.S. and F.R. contributed experiments. C.W., E.C., N.S., C.T., A.R., Y.H., D.C.-S., G.S., S.-F., H.U.L., P.N., C.D.K., J.V.O., K.Y.T. and E.E. performed the experiments. J.E.M.R., Y.V., C.W., C.V.M., J.G., A.R., Y.H., K.Y.T., S.F., C.S., S.C., D.C.-S., G.-H., J.A.S.C., L.H.H. and T.K. analyzed the data. S.B.-O., Y.H., E.W., C.M.L., M.T.P.G., M.J.C. and E.R. contributed material support to the project. Y.H. wrote the supplementary Raman section. C.W. wrote the manuscript, with critical input from C.M.L., M.T.P.G., M.J.C., C.V.M., E.W., E.C. and the remaining authors.

COMPETING FINANCIAL INTERESTS

The authors declare no competing financial interests.

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ONLINE METHODS

Study design and samples. Narrative and graphical overviews of the study design are provided in the Supplementary Note and Supplementary Figure 17. Archaeological material was obtained from the medieval St. Petri church and convent complex in Dalheim, Germany (Supplementary Fig. 18), and radiocarbon dated to c. 950–1200 CE (Supplementary Table 5). The assemblage was evaluated for pathogens (Supplementary Table 6), and dental tissues from four well-preserved adult skeletons (G12, B17, B61, B78) and two fauna (F1, F5) were selected for further analysis (Supplementary Figs. 1, 19 and 20). Additionally, dental tissues from nine modern controls (P1–P5, P7, P8, P10, P13) with known dental health histories (Supplementary Table 7) were obtained under informed consent, and protocols were approved by the Zürich Ethics Commission (KEK ZH-Nr. 2012-0119).

Microscopy and spectroscopy. A mandibular incisor from B78 was sectioned longitudinally and examined according to standard protocols with a Tescan Vega SEM using backscattered electron (BSE) imaging and EDS with a Si(Li) detector. Dental calculus deposits from B78 and P3 were fixed, decalcified and prepared into serial thin sections using modified standard protocols, followed by Gram and Hoechst staining and visualization using a Zeiss Axio Imager M2 and a Leica DMi6000 B microscope. Microfossils were obtained from dental calculus (G12, B17, B61, B78) and dental calculus/crown cementum (F5) deposits (Supplementary Table 8) using an incremental HCl decalcification protocol (Supplementary Note) and visualized using a Zeiss compound microscope under white and polarized light to identify pollen, phytoliths, starch granules and other debris (Supplementary Table 9) by comparison to reference collections. To evaluate mineralogical composition, Raman spectroscopy was applied to six calculus (G12, B17, B61, B78, P3, P13), nine dentine (G12, B17, B61, B78, P4, P5, P7, P8, P10) and five soil matrix (M1–M5) specimens using a HORIBA Xplora instrument (100× magnification and 532-nm laser wavelength) and analyzed for the main PO4\(^{3−}\) peak position and peak area, as well as the peak intensity ratios of C-H (~2,940 cm\(^{-1}\)) I(CH) and main phosphate peak I(P) (Supplementary Table 10).

Isotope ratio mass spectrometry. Rib specimens from G12, B17, B61 and B78 were cleaned by abrasion, and collagen was extracted according to the method of Richards and Hedges\(^{57}\) with an additional ultrafiltration step. Carbon and nitrogen isotopic values were measured in duplicate using a Thermo-Finnigan Delta XP continuous-flow isotope-ratio mass spectrometer following combustion in an elemental analyzer FLASH EA 2112 (Supplementary Table 11).

DNA extraction. Ancient samples were extracted in a dedicated ancient DNA laboratory at the University of Zürich Centre for Evolutionary Medicine in accordance with established contamination control precautions and workflows. DNA was extracted from dental calculus (G12, B17, B61, B78, P2), dentine (G12, B17, B61, B78), carious dentine (B17), abscessed alveolar bone (B78) and burial matrix (M1–M5) by phenol-chloroform extraction followed by Qiagen (G12, B17, B61, B78), carious dentine (B17) and alveolar bone abscess (B17) ancient DNA extracts generated in vitro (Supplementary Fig. 22). Each library was generated from a minimum of three amplifications (30–35 cycles) using Phusion HS II enzyme and 454 amplicon Fusion primers with multiplex identifiers (MIDs), and pooled 454 libraries were sequenced with a Roche GS Junior, resulting in 170,807 reads after the removal of low-quality sequences (Roche GS RunProcessor, default settings; Supplementary Table 24).

16S rRNA taxonomic classification. A reference data set containing full-length 16S ribosomal RNA sequences was constructed from the NCBI GenBank database, whereby all publicly available 16S ribosomal gene sequences found in the NCBI GenBank database were downloaded, screened for chimeras usinguchime\(^{58}\), aligned using the INFERNAL aligner\(^{59}\) v1.0.2, trimmed and clustered at a sequence identity cutoff of 98% with a hierarchical clustering algorithm using sequence identity as the measure of distance and single linkage as the cluster metric. This data set has high overlap with both the Greengenes\(^{60}\) (90%) and RDP\(^{61}\) (92%) databases and was constructed to standardize filter and alignment methods, as well as to streamline GenBank data retrieval for network analysis. Amplicon and shotgun sample reads were aligned to the reference OTU data set, and reads with a bit score of <40 or negative structure score were discarded. Sample reads were mapped to the reference OTUs by assigning the OTU ID of the most similar reference sequences. Conflicting OTU IDs were discarded. OTUs containing 16S rRNA gene sequences belonging to a reference genome or culture collection were assigned the consensus taxonomy of all such sequences in the OTU. In the case of OTUs that contained no reliable source of taxonomy, the taxonomy of the OTU was inferred by decreasing the clustering threshold until the point at which the OTU was merged with another in which sequences with reliable taxonomy existed.

Network analysis. Network analysis of community similarity was performed to compare the microbial communities of ancient dental samples to each other and to environmental samples deposited in GenBank and MG-RAST (project 128). Only environmental samples with at least 20 OTUs were considered (1,818 of 37,689), and only samples with at least 20% similarity to one of the ancient samples are shown in the network (315 of 1,818). The similarity between a pair of samples was calculated as the number of shared OTUs divided by the total number of different OTUs found in both samples. The network was rendered using the neto program from the Graphviz package (see URLs).

Phylogenetic tree. Ancient dental calculus, amplicon and shotgun OTU tables were merged, and a full-length 16S RNA sequence representative for each OTU was chosen. Phylogenetic relationships were inferred with FastTree\(^{62}\) v2.1.3 (generalized time-reversible model).

Validation of results using the RDP and QIME pipelines. To confirm that the taxonomic characterization of ancient dental samples was robust to database choice and clustering parameters, the 16S rRNA amplicon data were reanalyzed using the Greengenes database (\(<4\)Feb2011) and the RDP Pyrosequencing\(^{61}\) and QIME\(^{63}\) pipelines. Only reads of \(\geq 70\) bp with 100% identity to both forward and reverse primers were analyzed. OTUs were clustered at 97% identity, and singleton OTUs were discarded (Supplementary Table 25). The OTU table was rarefied to 1,265 sequences/sample and analyzed at the L2, L5 and L6 levels. Alpha and beta diversity were calculated using QIME default parameters. The BIOM file for these data is available as Supplementary Data Set 1. This OTU table was merged with an OTU table generated from the HMP data set using the same parameters, and the two data sets were compared using Principal Coordinates Analysis; the BIOM file for these data is available as Supplementary Data Set 2.

Source tracking. To test for contamination in the ancient dental samples, Bayesian microbial source tracking\(^{53}\) was performed (1,000 ‘burn-in’ iterations}
using Gibbs sampling with 25 random restarts) on the merged OTU file using HMP plaque, HMP skin, HMP gut and ancient tooth root (environmental proxy) as sources.

Dietary DNA analysis. Shotgun reads ≥75 bp in length were searched against a complete collection of full mitochondrial and chloroplast genome sequences published as of July 2012 (>6,000 organelle genomes) using BLASTN. Results were accepted only if they exhibited 100% query coverage and 100% sequence identity, were not hits to 16S or 23S rRNA genes and did not match more than one genus perfectly, and any secondary hits outside the genus of the first hit had to show at least two diagnostic point mutations relative to the perfect hit.

Total taxonomic characterization of dental calculus. Library reads were pooled by individual (B61, S1–S4; G12, S5–S8) and de novo assembled into 2,005,273 contigs using Velvet\textsuperscript{44} v.1.02.3 (k-mer length of 29 bp, minimum of 100-bp contig length) (Supplementary Table 26). Contigs were searched against the NCBI nr and gss databases available as of July 2012 using Megablast, filtered for highly unique, high-scoring top hits (>95-bp alignment, >97% identity, E value of <1 × 10\textsuperscript{-14}). A total of 61,584 contigs passing these filters were assigned taxonomy.

Pathogen analysis. Contigs were further filtered to remove contigs with second hits of comparable quality and >90% identity to other taxa, resulting in 53,924 highly unique contigs that can be reasonably assigned to a single species. Species-level assignments were then cross-referenced against the PATRIC database\textsuperscript{18}, resulting in 40 putative pathogen identifications. To determine whether these species assignments were reasonable for the oral cavity, we applied the same BLAST and conditional filter approach to shotgun metagenomic contigs reported for 109 HMP supragingival dental plaque samples and compared the results. Feature information for each ancient contig was retrieved from the top-hit BLAST results and manually screened for putative genes associated with virulence, drug resistance, plasmids, transposons and phages with annotations in PubMed records.

Antibiotic resistance analysis. Sequences for all identified taxa were screened for putative antibiotic resistance elements using three methods: (i) BLASTX search against the Antibiotic Resistance Database (ARDB)\textsuperscript{65}, (ii) BLASTX search against the NCBI nr database followed by keyword search of translated gene function and (iii) manual search of gene annotations assigned to pathogens.

Genome reconstruction. All G12 contigs of ≥100 bp were searched against the NCBI nt and gss databases using Megablast and filtered for contigs aligning to T. forsythia strain ATCC 43037 with an E value of ≤1 × 10\textsuperscript{-6} within the top 100 hits. Filtered contigs were pooled and submitted to the BLAST Ring Image Generator (BRIG)\textsuperscript{66} tool for mapping. Using BRIG, contigs were aligned to T. forsythia strain ATCC 43037 using the Megablast search option and a sequence identity cutoff of ≥95%. In cases where a contig aligned to the T. forsythia genome more than once, the alignment with the highest bit score was mapped. In cases where multiple alignments with identical top bit scores were observed, the contig was mapped to all top bit score loci, but the depth of coverage for each locus was divided by the number of loci. Genes not mapped in the assembly and large gaps (Supplementary Fig. 23) were analyzed for function.

Protein analysis. Total proteins were extracted from dental calculus (G12, B17, B61, B78, P1, P2), dentine (G12, B17, B61, B78), carious dentine (B17), abscessed alveolar bone (B78) and dental calculus/crown cementum (F1, F5) and from four negative extraction controls using a modified filter-aided sample preparation (FASP)\textsuperscript{57} protocol. A total of 290,466 MS/MS spectra were generated using 3 instruments (LTQ-Orbitrap Velos, Q-Exactive Hybrid Quadrupole Orbitrap and MaxiX HR-Qq-TOF) (Supplementary Table 27). Tandem mass spectra were converted to Mascot generic format using ProteoWizard v.2.2.3101 with vendor peak picking option for MS level 2 and deisotoped and deconvoluted using the H-SCORE script\textsuperscript{39}. ProteinPilot v.4 was used to analyze protein modification and damage patterns (Supplementary Table 28). MS/MS peak lists were searched using Mascot v.2.3.02 against all proteins in UniProtKB/SwissProt as of 31 October 2012 and two custom protein databases built from the Human Oral Microbiome Database (HOMD)\textsuperscript{46} as of 11 October 2012 and all complete soil bacterial genomes in GenBank as of 22 February 2012. The results were further validated using Scaffold v.4.0.5, resulting in 12,609 unique peptide identifications resolving to 589 proteins identified with >99% confidence and ≥2 unique peptides. Contaminants were identified and removed (Supplementary Table 29). Metadata for human proteins was retrieved using the GeneCards v.3. GeneAlaCart tool\textsuperscript{70} and used to manually classify each protein into six categories: innate immune system, adaptive immune system, blood coagulation, digestion, structure and support, and other. Protein interaction and gene ontology (GO) information was obtained using STRING 9.0 (ref. 37) in protein mode. Bacterial proteins were binned by length (group 1, 115 residues; group 2, >15 residues) and searched against the NCBI database using BLASTP (group 1, expect value 20,000, PAM30 Score Matrix; group 2, expect value 1,000, BLOSUM62 Score Matrix). Resulting BLASTP files were then parsed using MEGAN\textsuperscript{21} and analyzed for protein function using SEED hierarchy\textsuperscript{22}.

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