Tracking Back the Solar Wind to its Photospheric Footpoints from Wind Observations — A Statistical Study

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Abstract

It is of great importance to track the solar wind back to its photospheric source region and identify the related current sheets; this will provide key information for investigating the origin and predictions of the solar wind. We report a statistical study relating the photospheric footpoint motion and in-situ observation of current sheets in the solar wind. We used the potential force-free source–surface (PFSS) model and the daily synoptic charts to trace the solar wind back from 1 AU, as observed by the Wind spacecraft, to the solar surface. As the footpoints move along the solar surface we obtain a time series of the jump times between different points. These jumps can be within a cell and between adjacent cells. We obtained the distribution of the jump times and the distribution for a subset of the jump times in which only jumps between adjacent cells were counted. For both cases, the distributions clearly show two populations. These distributions are compared with the distribution of in-situ current sheets reported in an earlier work of Miao, Peng, and Li (Ann. Geophys. 29, 237, 2011). Its implications on the origin of the current sheets are discussed.

Keywords: Solar wind, Magnetic fields, Current Sheets, Supergranulation

1. Introduction

Magnetohydrodynamic (MHD) turbulence intermittency in the solar wind is an important topic in space plasma research [Tu and Marsch (1995); Goldstein, Roberts, and Matthaeus (1995); Bruno and Carbone (2005)]. The intermittency emerges because the magnetic fields and velocity fluctuations are not scale invariant as conjectured in the hydrodynamic turbulence theory of Kolmogorov.
An important intermittent structure in the solar wind is the current sheet, which is a two-dimensional structure where the magnetic-field directions change significantly. Earlier work on the existence of current sheets and their effects on solar-wind MHD turbulence has been carried out by Veltri and Mannegney (1999). These authors applied the Haar wavelets technique to calculate the power spectra and structure functions of the solar wind using fluid velocity and magnetic-field data from the International Sun-Earth Explorer (ISEE) space experiment. The temporal separations in their study range from one minute to about one day. They suggested that the most important intermittent structures in the solar wind are shocks and current-sheet-like structures.

Bruno et al. (2001) studied current sheets using Helios 2 data and suggested for the first time that current sheets were ubiquitous in the solar wind and probably are boundaries of flux tubes. In a subsequent work, Bruno et al. (2004) furthermore obtained the probability distribution functions (PDF) of the solar-wind fluctuations and confirmed the results of Bruno et al. (2001): there appeared to be two populations of current sheets in the solar wind, one of which was flux-tube boundaries.

Alternative views about the origin of current sheets also exist. For example, numerical MHD simulations by Zhou, Matthaeus, and Dmitruk (2004) and Chang, Tam, and Wu (2004) showed that current sheets can emerge from the dynamical evolution of the nonlinear interactions of the solar-wind MHD turbulence. All of these studies suggested that the current sheet is an intrinsic property of the solar-wind MHD turbulence. In contrast, Borovsky (2008) examined one-year magnetic-field data from the Advanced Composition Explorer (ACE) spacecraft and found that the population of the angle between two magnetic field measurements with a separation of 64 seconds showed a clear signature of two populations, supporting the earlier claim of Bruno et al. (2001). Borovsky (2008) suggested that these current sheets are “magnetic walls” of flux tubes in the solar wind and are relic structures that can be traced back to the surface of the Sun. In this scenario, current sheets are carried outward by the solar wind as passive structures. The plasma in the solar wind is bundled in “spaghetti-like” flux tubes (Bartley et al. 1966; McCracken and Ness 1966; Mariani et al. 1973).

Recently, Trenchi et al. (2013b) analyzed the in-situ observations of solar energetic particles (SEPs) from local magnetic field and/or plasma parameters. From studying the magnetic helicity, it is possible to identify magnetic boundaries associated with variations of plasma parameters, which are thought to represent the borders between adjacent magnetic-flux tubes. The authors found that SEP dispersionless modulations are generally associated with such magnetic boundaries. They also analyzed the local magnetic field topology in depth by applying a Grad-C-Shafranov reconstruction technique (Trenchi et al. 2013b), and found that flux ropes or current sheets with a more complex field topology are generally associated with the maxima in the SEP counts. This association shows that the SEPs propagate within these structures and cannot escape from them because of their much smaller gyration radii relative to the transverse dimensions of those structures (Trenchi et al. 2013a).
To identify current sheets in the solar wind, Li (2007; 2008) developed a systematic method to obtain the exact locations of individual current sheets. Li, Lee, and Parks (2008) applied this method to data of the Cluster spacecraft in an attempt to identify the origin of these current sheets. The Cluster spacecraft was chosen because its orbit traverses both the solar wind and Earth’s magnetosphere. Li, Lee, and Parks (2008) found that unlike in the solar wind, there is no clear signature of current sheets in Earth’s magnetosphere. This result is a natural outcome when current sheets of solar wind are indeed relic structures originating from the solar surface. Extending the work of Li (2007; 2008), Miao, Peng, and Li (2011) developed an automatic current-sheet identification procedure and examined the solar-wind magnetic-field data of Ulysses. Their results are consistent with those of Borovsky (2008).

To confirm whether current sheets are boundaries of flux tubes that originate from the solar surface, one straightforward approach is to trace the solar wind back from in-situ observations near Earth’s orbit at 1 AU to the solar surface and examine if, for those current sheets observed in-situ, there are corresponding footpoint jumps between adjacent photospheric cells. To identify these photospheric cells, Huang et al. (2012) used a watershed algorithm and the magnetogram observed by the Solar and Heliospheric Observatory (SOHO)/Michelson Doppler Imager (MDI: Scherrer et al. (1995)). After identifying the photospheric cells, one can then examine how the footpoints jump within and between these cells. If the two different jump times have different distributions, we may deduce that the current sheets originate from two different mechanisms, and when the two different jump times have the same distribution, the same generation mechanism of the current sheets can be considered.

The solar wind from near Earth’s orbit to the solar surface has been back-traced before. For example, to study the quadrupole distortions of the heliospheric current sheet and compare the K-coronameter observations with a potential-field model, Bruno, Burlaga, and Hundhausen (1982; 1984) used the solar-wind tracing-back process during the period from May 1976 to May 1977. Neugebauer et al. (1998) used the data obtained by Ulysses and Wind in early 1995 to trace back solar wind structures to the source surface and then map them back to the photosphere. They found that the footpoints of the open fieldlines calculated from the model are generally consistent with observations in the He I 10830 Å line of locations of coronal holes. Recently, in an attempt to make a physical connection between the Equatorial Coronal Hole (ECH) and the solar wind observed at about 1 AU, McIntosh et al. (2010) used the data from ACE to track the solar wind back to the solar surface using the Potential Field Source Surface (PFSS) model Schatten, Wilcox, and Ness (1969); Altschuler and Newkirk (1969).

In mapping the solar wind back to the Sun, it was assumed that the coronal magnetic field is quasi-stationary Schatten, Wilcox, and Ness (1969); Altschuler and Newkirk (1969). This allows one to apply the PFSS model using one synoptic chart. However, such methods will inevitably produce some artificial bias towards the two sides of the magnetogram, because some source regions and magnetic-field lines do not last for one Carrington Rotation (CR). In a recent work, Sun et al. (2012) used the nonlinear force-free field (NLFFF) extrapolation...
to demonstrate that the change in the photospheric and coronal field is morphologically consistent with the “magnetic implosion” conjecture; this change is supported by the coronal-loop retraction observed by the Solar Dynamic Observatory (SDO)/Atmospheric Imaging Assembly (AIA: Lemen et al. 2012).

Instead of using a single synoptic chart, we used in our study the daily synoptic chart as the boundary for the PFSS model. This method improves the accuracy of the two sides of the synoptic chart, and consequently the locations of the photospheric footpoints. We applied this revised model to map the solar wind back to the solar surface using in-situ data from Wind and ACE observations, and then calculated the jump times between adjacent footpoints, and the jump times of adjacent footpoints that jump across the boundaries of cells.

If the current sheets in solar wind are relic structures that originate from the solar surface, one can expect that the waiting-time statistics of these in-situ current sheets and that of the jump time between adjacent cells is similar. Likewise, the distribution between all jump times and that of footpoints that cross the boundaries of cells will be similar. In this work we performed such a statistical comparison. To our knowledge, this is the first attempt of a semi-quantitative study to relate the solar-surface observations with in-situ solar wind observations. The article is organized as follows: Section 2 introduces the data analysis procedure and the data reduction, the results are presented in Section 3, and the discussion and main conclusions are presented in Section 4.

2. Observations and Data Reduction

2.1. Data Selection

To accurately track the solar wind back from near Earth’s orbit (at about 1 AU) to the solar source surface (e.g. 2.5 \( R_\odot \)), in the extrapolation of the coronal magnetic field, we generally take the 2.5 \( R_\odot \) for the solar source surface, where \( R_\odot \) is the radius of the Sun. Therefore, it is necessary to select quasi-stationary periods of the solar wind to perform our analysis.

We focus on studying the minimum of the solar cycle when the solar wind and solar magnetic field can be regarded as quasi-stationary (Bruno, Burlaga, and Hundhausen 1982, 1984; Neugebauer et al. 1998); furthermore, transient disturbances, such as CMEs, are relatively inactive (Miao, Peng, and Li 2011). We selected the data during the declining phase of Solar Cycle 23 from 2004 to 2005 (CR2012 – CR2037) obtained by the ACE and Wind spacecrafts. The ACE spacecraft is located at the Lagrangian point \( (\text{The Sun–Earth } L_1) \), while the Wind spacecraft spends some of its time within Earth’s magnetosphere. For ACE, the plasma data were obtained by the Solar Wind Electron, Proton and Alpha Monitor (SWEPAM), and the magnetic-field data were obtained by the Magnetometer (MAG: McComas et al. 1998). For Wind, the plasma and magnetic-field data were obtained by the Solar Wind Experiment (SWE) and the Magnetic Field Investigation (MFI) Ogilvie et al. 1995; Lepping et al. 1995, respectively.

The present analysis is based on one-hour averages of the in-situ observation data.
2.2. Tracking the Solar Wind from In-Situ Back to the Source Surface

We discuss the back-tracking procedure of the solar wind from 1 AU observation to the source surface. We assumed that the source surface is located at about $2.5 \, R_\odot$ from the center of the Sun.

To obtain the accurate positions of footpoints at the source surface, we first transformed the position of the spacecraft ACE and Wind from the Geocentric Solar Ecliptic system (GSE) to the Carrington coordinate system Hapgood (1992) and obtained the heliographic latitude and longitude of Wind and ACE. The latitude of the footpoint is approximately equal to the heliographic latitude of the spacecraft, and the longitude of the footpoint is then obtained by taking into account the effect of solar-wind propagation.

We calculated the propagation time in the Heliocentric Earth Ecliptic (HEE) coordinate system where the $x$-axis is along the Sun–Earth line and the $z$-axis points to the ecliptic north pole. $P_x$ denotes as the distance between the spacecraft and the center of the Sun, $V_x$ the radial velocity of the solar wind observed at the spacecraft. We furthermore assumed $V_x$ to be a constant during the propagation. The propagation time of the solar wind from the spacecraft to the source surface is then

$$\Delta t = \frac{P_x - 2.5R_\odot}{V_x},$$  \hspace{1cm} (1)

and the offset to the longitude produced from the solar wind propagation is

$$D = \frac{360^\circ}{27.2753 \times 86400} \Delta t.$$  \hspace{1cm} (2)

Adding this offset, we obtain the actual positions of footpoints that track back from in-situ to the solar source surface.

Figure 1. Heliographic latitude and longitude of the source region of the solar wind observed by the Wind (left) and the ACE (right) spacecraft during CR 2071–2073. The solar-wind speed is assumed to be constant between the Sun and the spacecraft.

Figure 1 shows a map of the latitudes and Carrington longitudes of the mapped-back locations on the solar source surface of the solar wind observed by Wind and ACE with a propagation time calculated from the observed speeds assuming constant-speed radial flow between the source regions and the spacecraft.
The coronal-hole data are only available after September 2006, and considering that the period (2004 – 2008) is in the declining phase of Solar Cycle 23, we selected the data from CR 2071 to CR 2073 (from 11 June 2008 to 5 September 2008 at in-situ) to compare with the coronal-hole plot. We used one-hour solar-wind speed data and obtain 24 footpoints at the source surface every day. From Figure 1 we can see that the footpoints at the source surface jump smoothly, which agrees with the result of Neugebauer et al. (1998). Note that the two spacecraft gradually drift to north in the studied period McIntosh et al. (2010); Neugebauer et al. (1998).

Figure 2. The mapping from the source surface to the photosphere.

2.3. Tracking the Solar Wind Back from the Source Surface to the Photosphere

The second step is to trace back the solar wind from the source surface to the photosphere. We used the PFSS model (Schatten, Wilcox, and Ness (1969) and Altshuler and Newkirk (1969)) in this step. In this model, the coronal magnetic field is assumed to be quasi-stationary and is described by a potential field that can be approximated by an expansion to series of spherical harmonics. We used the standard PFSS package included in SolarSoft (Schrijver, 2001, 2003). Figure 2 is a map of field lines that trace back from the source surface (located at 2.5 R⊙) to the solar photosphere. The thick crosses on the translucent blue sphere are the footpoints on the source surface. The solid lines are magnetic-field lines extrapolated using the PFSS model.

For the extrapolation, we used the SOHO/MDI synoptic chart. To relate a footpoint to a particular magnetic cell, we superposed the footpoints on the synoptic chart. The Carrington synoptic chart is a collection of the center of full-disk magnetograms during one Carrington rotation. These synoptic charts have been reconstructed using re-calibrated magnetogram data Scherrer et al. (1995). Figure 3 shows the synoptic chart from SOHO/MDI during CR 2072.
The diamonds and squares show the footpoints that are traced back from the source surface using the Wind and ACE data, respectively. The footpoints do not move smoothly across the synoptic chart. Instead, they undergo sudden jumps on the synoptic chart, part of them overlapping on the boundaries of the coronal holes. A large portion of the footpoints originate from coronal holes. We compared this with the Integral Models Synoptic Coronal Hole Plot, which is shown at the bottom of Figure 3 and found that most of the footpoints are located either at the boundaries or in the coronal holes. This result is consistent with those of McIntosh, Leamon, and de Pontieu (2011) and Neugebauer et al. (1998). Note that most of the footpoints deduced from Wind are the same as those deduced from ACE. This is expected because the separation between ACE and Wind is small.

In the following, we use the data observed by the Wind spacecraft to calculate the jump times of the footpoints. The jump time $t$, in Carrington coordinates, is equivalent to the longitudinal difference between the two adjacent footpoints divided by the solar rotation speed:

$$t = \frac{|s_2 - s_1|}{V_{rs}},$$

where $s_1$ and $s_2$ are the longitude of adjacent footpoints, and $V_{rs}$ is the rotation speed of the Sun.

Note that toward the two sides of the magnetogram, the tracing process needs to be calculated carefully because the source region and field line may only last a fraction of a Carrington rotation. In the two sides of the daily synoptic chart, the magnetic field of the region changes faster than the normal level, which may lead to variations of the extrapolated field lines. Thus, when we track back along these field lines, the backtracked footpoints are not static. To extend this model, we used the daily synoptic chart as the boundary of PFSS model. In this model, the field lines extrapolated from the two sides of the daily synoptic chart could be in their actual condition, therefore we can trace the field lines more accurately. Applying this extended model, we mapped the solar wind back to the solar surface with Wind and ACE data, from the source surface to the solar photosphere. We found that most of the footpoints that trace the field lines extrapolated from the daily synoptic chart are clustered. Therefore we selected the relatively stable daily footpoints, whose variance is less than $1\sigma$ during one CR, to calculate the jump times of adjacent footpoints.

Figure 4 presents the traced-back footpoints superimposed on the magnetic cells, which were identified with the watershed algorithm. Some of the sky-blue footpoints are inside the same magnetic cell; the others are at the boundary of the cell. The jump times of all adjacent footpoints were calculated, and we tallied the statistical results in two ways: the first way was to examine the jump times between all adjacent footpoints, regardless of whether they were within the same cell or in different cells; the second way was to count only the jump times between different cells.
Figure 3. Upper panel: Synoptic chart from SOHO/MDI during CR 2072. The diamonds and squares show the footpoints that trace back from the positions of source surface with Wind and ACE data, respectively; the white dashed line represents the solar equator. The detail of the blue frame region is shown in Figure 4. Lower panel: The Integral Models Synoptic Coronal Hole from NSO/GONG, the polarities of both the open ecliptic-plane flux and the coronal holes are indicated by the same color code: green for positive polarity and red for negative polarity. The tallest closed-flux trajectories are plotted in blue.

3. Results

In the scenario where the solar wind contains numerous flux tubes (e.g., Bruno et al. (2001); Borovsky (2008); Li (2008); Li, Lee, and Parks (2008)), the solar-wind plasma resides in different flux tubes, which originate from the top of the magnetic carpet. These flux tubes wander around their footpoints while they propagate out during their merging or splitting. The time scale of the merging and splitting is currently unclear, however. If the merging and splitting time scales of these flux tubes are much longer than the propagating time of the solar wind from the Sun to Earth, i.e. if they can survive intact beyond 100 hours, then they can be regarded as nonevolving fossil structures of magnetic cells near the photosphere. In this case, one expects the observation of these flux tubes at 1 AU to resemble their footpoints on the solar surface. In particular, the crossings of flux tubes at 1 AU are expected to correspond to the jump between magnetic cells on the solar surface. Statistically, we therefore expect that the waiting times
of current sheets are similar to the footpoint jump times between magnetic cells at the photosphere.

Miao, Peng, and Li (2011) recently used Ulysses data to examine the PDF of the waiting times of current sheets in the solar wind. We examine the PDF of the jump times of the traced-back solar-wind footpoints. We also note that several authors have reported that the lifetime of the supergranules (candidates for the magnetic cells) is about one day Wang and Zirin (1989); Hirzberger et al. (2008). This justifies our choice of using one-hour data for our statistical analysis.

For the reasons discussed in Section 2.3 and because the daily synoptic chart can reflect the transient magnetogram more accurately, we used the daily synoptic charts to extrapolate the magnetic-field lines and then traced back the footpoints that are located on the source surface to the photosphere. Compared with one Carrington synoptic chart, it is more accurate for the tracing-back process to apply about 27 daily synoptic charts in one Carrington rotation. Because the daily tracing-back processes are relatively independent, we calculated the jump time of adjacent footpoints in each tracing-back process during one Carrington rotation. Thus, this data-processing produces 26 more jump cases than the actual physical scenario. In this statistical analysis, we scaled the data to meet the actual scenarios by using statistical averaging.

The left panel of Figure 5 shows the statistical distribution of the footpoint jump times of solar wind during the years 2004 (a), 2005 (b), and 2004–2005 (c), respectively. Here, the x-axis is the logarithm of time, and the y-axis is the logarithm of the probability density. The distributions are best-fitted by two log-normal functions (shown as blue and red curves): one at short jump times, the other one at long jump times. The right panels of Figure 5 show the probability distributions of the waiting times of current sheets with all deflection angles observed in-situ by Miao, Peng, and Li (2011).

In the left panel of Figure 5 these three distributions are approximately log-normal distributions at short jump times. Log-normal distributions were
Figure 5. Left panel: Statistical analysis of jump times in different years. Panel a and b are the jump-time analysis in 2004 and 2005, respectively. Panel c is the jump-time analysis for all cases. Right panel: Statistical analysis of waiting times of current sheets with all deflection angles in different years (Miao, Peng, and Li, 2011). From top to bottom, the three panels are the waiting-time analysis in 2004, 2005, and all cases, respectively. The vertical dashed line is the time at break point, $t_B$. The y-axis is the logarithm of the probability density, and the x-axis is the logarithm of the jump time, $\ln(t)$, where $t$ is expressed in seconds.

Also found by Burlaga and Szabo (1999) and Burlaga (2001), who obtained the distributions of fluctuations of basic plasma fields at 1 AU (with hour averages of the density, temperature, and speed), which also tend to be approximate log-normal distributions for a one-year interval. We also found that the width of the log-normal distributions for 2004 and 2005 and the sum are almost the same. Comparing this with the right panel of Figure 5, we find that on a short time-scale, the distribution of the jump times between magnetic cells is similar to the distribution of the waiting times of in-situ current sheets; on a long time-scale, however, they appear to be different. The longer waiting times of the in-situ current sheets resemble power laws, while the footpoint jump times are still best fitted by a log-normal.

Next we examined the jump-time statistics of footpoints that cross the boundaries of magnetic cells. These jump times are a subset of the above study. Figure 6 shows their distribution. Compared with Figure 5, the distributions are similar. This suggests that there is no obvious difference when the jump crosses the boundary or when it does not; therefore, this result supports the scenario that the current sheets may originate from the same mechanism. The average jump time of footpoints that cross the boundaries of magnetic cells ($\approx e^{8.08}$ seconds) is longer than the average jump time of all footpoints ($\approx e^{6.65}$ seconds). We note that the average jump times crossing magnetic cells in Figure 6 (from top to bottom) on a long time-scale are $\approx e^{11.25}$ seconds, $\approx e^{11.56}$ seconds, $\approx e^{11.38}$ seconds; these are roughly the same as the average time of all footpoints in
Furthermore, the curves are not fitted well on a short time-scale (when $t \approx e^{0.25}$ seconds) as in Figure 5. Indeed, the observations showed a higher probability than the fitted curve. One possible reason is that the footpoints are more clustered near cell boundaries, which leads to more small-scale jump times.

Figure 5. Statistical analysis of the jump times when jumps are crossing the boundary of the magnetic network in different years. From top to bottom, they are for 2004, 2005, and both years, respectively. The $y$-axis is the logarithm of the probability density and the $x$-axis is the logarithm of jump time, $\ln(t)$, where $t$ is expressed in seconds.

Miao, Peng, and Li (2011) used a statistical analysis of the waiting time of current sheets from the *Ulysses* data, and suggested that for all angle analyses (Miao, Peng, and Li 2011, Figure 9), the distributions behave like exponential...
decays on short time-scales and power laws when $t > \lambda$ [$\lambda$ is the breakpoint of the waiting time].

In our case, we found that the result agrees with the result of waiting times of current sheets [Greco et al. (2009); Miao, Peng, and Li (2011); the PDF of the jump times of photospheric footpoints behaves like a combination of two approximate log-normal distributions, one on a short time-scale (when $t < t_B$, where $t_B$ is the break point of the jump time) and the other on a long time-scale (when $t > t_B$). This represents that the large-angle current sheets may originate from a different mechanism from the small-angle population. The first approximate log-normal distribution is similar to the result of Miao, Peng, and Li (2011); this result shows that there are perhaps small-angle current sheets that developed in the solar-wind MHD turbulence, as shown by Zhou, Matthaeus, and Dmitruk (2004) and Chang, Tam, and Wu (2004). Therefore, these current sheets may represent the intrinsic intermittency of the solar-wind MHD turbulence. We suggest that the second log-normal distribution is that of the current sheets with a large deflection angle; this supports the conjecture that solar-wind turbulent fluctuations are at least in part related to the presence of large structures of highly conducting plasma, i.e. the flux tubes in the solar wind.

4. Conclusion

Previous studies by Bruno et al. (2004) and Miao, Peng, and Li (2011) have suggested that there are two populations of current sheets in the solar wind, one of which with large deflection angles and maybe related to flux-tube boundaries.

To examine the origin of these large-angle current sheets, we traced back the solar wind to the solar surface and together with the magnetic-cell map using the procedure presented by Huang et al. (2012), we examined the jump times between adjacent footpoints. We identified a total of 17 061 jumps for 2004 and 2005. Of these, 5519 have boundary crossings. The PDF of the jump times are shown in Figure 5 and Figure 6. These results showed that there are two populations of the jump times, one on a short jump time-scale and one on a long jump time-scale. Both of them can be fitted by log-normals. We also found that the average jump time of footpoints that cross the boundaries of the magnetic network is longer than the average jump time of all the footpoints; this is consistent with the findings of Miao, Peng, and Li (2011), who reported that the waiting times of current sheets with all deflection angles are shorter than current sheets with large deflection angles. These results support the view that the large-angle population of current sheets may originate from a mechanism different from that of the small-angle population, and confirm that there might be a physical connection between the flux tube at the solar surface and the large current sheet observed from the in-situ data.

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