ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

1. Identifying information.

2. The work under consideration for publication.

   This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking “No” means that you did the work without receiving any financial support from any third party—that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check “Yes”.

3. Relevant financial activities outside the submitted work.

   This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

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4. Intellectual Property.

   This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

   Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

Definitions.

Entity: government agency, foundation, commercial sponsor, academic institution, etc.
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Other: Anything not covered under the previous three boxes
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Licensed: The patent has been licensed to an entity, whether earning royalties or not
Royalties: Funds are coming in to you or your institution due to your patent
# ICMJE Form for Disclosure of Potential Conflicts of Interest

## Section 1. Identifying Information

1. Given Name (First Name)  
   ROBERTO

2. Surname (Last Name)  
   FERRARA

3. Date

4. Are you the corresponding author?  
   ✔ Yes  
   No

5. Manuscript Title
   Novel patterns of progression upon immunotherapy in other thoracic malignancies and uncommon populations

6. Manuscript Identifying Number (if you know it)
   TLCR 20 636

## Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?  
   ✔ Yes  
   No

## Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the “Add +” box. You should report relationships that were present during the 36 months prior to publication.

Are there any relevant conflicts of interest?  
   ✔ Yes  
   No

If yes, please fill out the appropriate information below.

| Name of Entity | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments |
|----------------|--------|----------------|------------------------|--------|----------|
| MSD            | ✔      | ✔             |                        |        |          |

## Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
   ✔ Yes  
   No
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Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

☐ Yes, the following relationships/conditions/circumstances are present (explain below):

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Section 6. Disclosure Statement

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Dr. FERRARA reports personal fees from MSD, outside the submitted work.

Evaluation and Feedback

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Royalties: Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  Diego
2. Surname (Last Name)  Signorelli
3. Date  12-October-2020
4. Are you the corresponding author?  Yes ☐ No ☑
   Corresponding Author’s Name  Roberto Ferrara
5. Manuscript Title
   Novel patterns of progression upon immunotherapy in other thoracic malignancies and uncommon populations
6. Manuscript Identifying Number (if you know it)

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?  Yes ☐ No ☑

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the “Add +” box. You should report relationships that were present during the 36 months prior to publication.

Are there any relevant conflicts of interest?  Yes ☑ No ☐
If yes, please fill out the appropriate information below.

| Name of Entity                  | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments |
|---------------------------------|--------|----------------|------------------------|--------|----------|
| Astra Zeneca                    | ☐      | ☑              | ☑                      | ☐      |          |
| Merck Sharp & Dohme             | ☐      | ☑              | ☑                      | ☐      |          |
| Bristol Myers Squibb            | ☐      | ☑              | ☑                      | ☐      |          |
| Roche                           | ☐      | ☑              | ☑                      | ☐      |          |
Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
☐ Yes  ☑ No

Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Signorelli reports personal fees and non-financial support from Astra Zeneca, personal fees and non-financial support from Merck Sharp & Dohme, personal fees and non-financial support from Bristol Myers Squibb, non-financial support from Roche, outside the submitted work.

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Other: Anything not covered under the previous three boxes

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Royalties: Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  CLAUDIA
2. Surname (Last Name)  PROTO
3. Date  19-October-2020
4. Are you the corresponding author?  Yes  ✔ No
5. Manuscript Title
   Novel patterns of progression upon immunotherapy in other thoracic malignancies and uncommon populations
6. Manuscript Identifying Number (if you know it)

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Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?
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Are there any relevant conflicts of interest?  ✔ Yes  No
If yes, please fill out the appropriate information below.

| Name of Entity | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments |
|----------------|--------|----------------|------------------------|--------|----------|
| ROCHE          |        | ✔              |                        | ✔      | travel reimbursement |
| BMS            |        | ✔              |                        | ✔      | travel reimbursement |
| MSD            |        |                |                        | ✔      | travel reimbursement |

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  Yes  ✔ No
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Dr. PROTO reports personal fees and other from ROCHE, personal fees and other from BMS, other from MSD, outside the submitted work; .

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Section 1. Identifying Information

1. Given Name (First Name) 
Arsela
2. Surname (Last Name) 
Prelaj
3. Date 
19-October-2020
4. Are you the corresponding author? 
Yes ✔ No
5. Manuscript Title 
Novel patterns of progression upon immunotherapy in other thoracic malignancies and uncommon populations
6. Manuscript Identifying Number (if you know it) 
TLCR-20-636

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? 

Are there any relevant conflicts of interest? 
Yes ✔ No

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Are there any relevant conflicts of interest? 
Yes ✔ No

If yes, please fill out the appropriate information below.

| Name of Entity | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments |
|----------------|--------|----------------|------------------------|--------|----------|
| Roche          |        | ✔              |                        |        |          |
| AstraZeneca    |        | ✔              |                        |        |          |
| BMS            |        | ✔              |                        |        |          |

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? 
Yes ✔ No
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Dr. Prelaj reports personal fees from Roche, personal fees from AstraZeneca, personal fees from BMS, outside the submitted work;

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Royalties: Funds are coming in to you or your institution due to your patent
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Section 1. Identifying Information

1. Given Name (First Name)  
   Marina Chiara

2. Surname (Last Name)  
   Garassino

3. Date

4. Are you the corresponding author?  
   ✔ No

5. Manuscript Title
   Novel patterns of progression upon immunotherapy in other thoracic malignancies and uncommon populations

6. Manuscript Identifying Number (if you know it)
   TCR 20636

Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest?  
   ✔ No

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Are there any relevant conflicts of interest?  
   ✔ Yes  
   No

If yes, please fill out the appropriate information below.

| Name of Entity       | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments                                                                 |
|----------------------|--------|----------------|------------------------|--------|--------------------------------------------------------------------------|
| Eli Lilly            | ✔      | ✔              |                        |        | PI, MISP in Thimic malignancies; Speaker, advisory board                  |
| Boehringer Ingelheim |        |                |                        |        | Advisory board                                                           |
| Otsuka Pharma        | ✔      | ✔              |                        |        | Local PI, Enrollment in clinical Trials in NSCLC; Speaker; advisory board |
| Astra Zeneca         | ✔      | ✔              |                        |        | PI, Enrollment and Steering Committee in clinical Trials in NSCLC; consulting, advisory boards, lectures; steering committee |
| Novartis             | ✔      | ✔              |                        |        | PI, Enrollment in clinical Trials in NSCLC; advisory board                |

Garassino
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|------------------------|--------|----------------|------------------------|--------|--------------------------------------------------------------------------|
| BMS                    | ✔      | ✔              |                        |        | PI, Enrollment in clinical Trials in NSCLC; Speaker, advisory board       |
| Roche                  | ✔      | ✔              |                        |        | PI, Enrollment in clinical Trials in NSCLC; Speaker, advisory board       |
| Pfizer                 | ✔      | ✔              |                        |        | PI, MISP MISP Sunitinib in thymic malignancies; advisory board            |
| Celgene                | ✔      | ✔              |                        |        | PI, Enrollment in clinical Trials in NSCLC; Speaker, advisory board       |
| Incyte                 | ✔      | ✔              |                        |        | Institutional Grants; Advisory board; Speaker                            |
| Inivata                 |        | ✔              |                        |        | Advisory board                                                            |
| Takeda                 |        | ✔              |                        |        | Speaker, advisory board; lectures                                         |
| Tiziana Sciences       | ✔      |                |                        |        | PI, Enrollment in clinical Trials Thimic malignancies                     |
| Clovis                 | ✔      |                |                        |        | PI, Enrollment in clinical Trials in NSCLC                                |
| Merck Serono           | ✔      |                |                        |        | PI, Enrollment in clinical Trials in NSCLC                                |
| Bayer                  | ✔      | ✔              |                        |        | PI, Enrollment in clinical Trials in Mesothelioma; advisory board         |
| MSD                    | ✔      | ✔              |                        |        | PI, Enrollment in clinical Trials in NSCLC; consulting, advisory boards, lectures;steering committee |
| GlaxoSmithKline S.p.A. | ✔      | ✔              |                        |        | Local PI, Enrollment and Steering committee in clinical Trials in NSCLC; advisory board |
| Sanofi-Aventis         | ✔      | ✔              |                        |        | Advisory board; PI, Enrollment in clinical Trials;                       |
| Spectrum Pharmaceuticals| ✔      | ✔              |                        |        | PI, Enrollment in clinical Trials; Advisory board; steering committee    |
| Blueprint Medicine     | ✔      | ✔              |                        |        | PI, Enrollment in clinical Trials; Advisory board; steering committee    |
| Seattle Genetics       |        | ✔              |                        |        | Advisory board                                                            |
| Daiichi Sankyo         |        | ✔              |                        |        | Advisory board                                                            |
| United Therapeutics Corporation | ✔ |        |                        |        | Institutional grant                                                       |
| Merck KGaA             | ✔      |                |                        |        | Institutional grant                                                       |
| Janssen                |        | ✔              |                        |        | Advisory board                                                            |
| MSD                    |        |                | ✔                      |        | Principal Investigator Keynote 189; MISP pembrolizumab in low expressors PD-L1(<50%) |
### ICMJE Form for Disclosure of Potential Conflicts of Interest

| Company                        | Patents | Pending | Issued | Relationships |
|--------------------------------|---------|---------|--------|---------------|
| Eli-Lilly                      | ✔️      |         |        | MISP Ramucirumab plus carbo-taxol in thymic malignancies |
| Turning Point                  | ✔️      | ✔️      |        | P.I. TRIDENT-1 Enrollment in clinical Trials |
| Ipsen                          | ✔️      | ✔️      |        | P.I. MM-398-01-03-04 RESILIENT Enrollment in clinical Trials |
| MedImmune                      | ✔️      | ✔️      |        | P.I. Enrollment in clinical Trials |
| Exelisis                       | ✔️      | ✔️      |        | P.I. Enrollment in clinical Trials |
| Array (Pfizer)                 | ✔️      | ✔️      |        | P.I. Enrollment in clinical Trials |
| Mirati Therapeutics            |         | ✔️      | ✔️     | Advisory Board |

### Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  

- [ ] Yes  
- [X] No

### Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

- [ ] Yes, the following relationships/conditions/circumstances are present (explain below):  
- [X] No other relationships/conditions/circumstances that present a potential conflict of interest

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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Garassino reports grants and personal fees from Eli Lilly, personal fees from Boehringer Ingelheim, grants and personal fees from Otsuka Pharma, grants and personal fees from Astra Zeneca, grants and personal fees from Novartis, grants and personal fees from BMS, grants and personal fees from Roche, grants and personal fees from Pfizer, grants and personal fees from Celgene, grants and personal fees from Incyte, personal fees from Inivata, personal fees from Takeda, grants from Tiziana Sciences, grants from Clovis, grants from Merck Serono, grants and personal fees from Bayer, grants and personal fees from MSD, grants and personal fees from GlaxoSmithKline S.p.A., grants and personal fees from Sanofi-Aventis, grants and personal fees from Spectrum Pharmaceuticals, grants and personal fees from Blueprint Medicine, personal fees from Seattle Genetics, personal fees from Daiichi Sankyo, grants from United Therapeutics Corporation, grants from Merck KGaA, personal fees from Janssen, non-financial support from MSD, non-financial support from Eli-Lilly, grants from Turning Point, grants from Ipsen, grants from MedImmune, grants from Exelixis, grants from Array (Pfizer), personal fees from Mirati Therapeutics, outside the submitted work;.

Evaluation and Feedback

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Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

1. Identifying information.

2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking “No” means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check “Yes”.

3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work’s sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

Definitions.

Entity: government agency, foundation, commercial sponsor, academic institution, etc.
Grant: A grant from an entity, generally [but not always] paid to your organization
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Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes
Pending: The patent has been filed but not issued
Issued: The patent has been issued by the agency
Licensed: The patent has been licensed to an entity, whether earning royalties or not
Royalties: Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Giuseppe
2. Surname (Last Name) Lo Russo
3. Date 10-September-2020
4. Are you the corresponding author? Yes ☐ No ☑
   Corresponding Author’s Name Roberto Ferrara
5. Manuscript Title
   Novel patterns of progression upon immunotherapy in other thoracic malignancies and uncommon populations
6. Manuscript Identifying Number (if you know it)

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Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? Yes ☐ No ☑

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Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the “Add +” box. You should report relationships that were present during the 36 months prior to publication.

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| MSD                | ☐      | ☑              | ☐                      | ☐      | CONSULTANCIES            |
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| ROCHE              | ☐      | ☑              | ☐                      | ☑      | TRAVEL ACCOMMODATION     |
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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? □ Yes □ No

Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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Section 6. Disclosure Statement

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