Blockchain-based Monitoring for Poison Attack Detection in Decentralized Federated Learning

Ranwa Al Mallah  
Electrical and Computer Engineering  
Royal Military College of Canada  
Kingston, Canada  
ranwa.al-mallah@rmc-cmr.ca

David López  
Instituto de Ingeniería  
Universidad Nacional Autónoma de México  
Mexico City, Mexico  
dlopezfl@ingen.unam.mx

Abstract—To be able to train a model in a way where access to datasets remains private, Federated Learning (FL) was proposed as a machine learning technique to address the privacy challenges by enabling the model to be trained across nodes that hold their datasets locally. Moreover, blockchain-based FL was recently proposed as a distributed FL architecture to achieve decentralized FL. In this setting, the chief that was responsible of the aggregation in FL is eliminated from the learning process. Instead, the workers collaborate amongst themselves to train the global model. This decentralization incurs additional delays and FL-based applications relying on it need to account for it in their deployments. In this work, we study the end-to-end learning completion latency particularly when this system is under attack. Blockchain-based FL systems need to detect targeted/untargeted poisoning attacks, thus, we investigate a realistic decentralized FL process protected against them. The technique that we propose consists in decoupling the mechanisms used in the defenses proposed against these attacks. The operations of the monitoring and detection phases to assess the behavior of the workers are conducted in parallel for an improved decentralized FL deployment. We demonstrate that our technique improved time efficiency, robustness and network scalability. Parallelizing the operations resulted in a decrease in latency over the communication network and a decrease in computation, and consensus delays during the blockchain and FL process.

Index Terms—component, formatting, style, styling, insert

I. INTRODUCTION

In traditional centralized machine learning, the nodes participating in the training of a model upload their local datasets to a central node [1]. Distributed machine learning is a system to train independently a model on different nodes. This distributed system accelerates the training for very big amounts of data. However, since data might be sensitive, we need a privacy preserving distributed learning system for collaborative training of distributed machine learning models without any data sharing. Federated learning is a machine learning paradigm that addresses the privacy, security and access rights challenges related to local datasets by training a global model across decentralized nodes and enabling the worker nodes to hold their data samples locally without sharing them [2].

In centralized federated learning, a central node, the chief, manages the different steps of the learning process that the workers have to go through to train the global model. The chief is mainly responsible for the coordination of the workers and aggregation of the received local model updates. However, in some settings, this strategy may constitute a bottleneck since all the workers have to send their local model updates to the chief, thus affecting the performance of the chief.

In decentralized federated learning, the workers collaborate to train the global model. This strategy eliminates the chief from the learning process and prevents the single point of failure that the chief represents in centralized federated learning.

To achieve decentralized federated learning, researchers have developed blockchain-based federated learning as a distributed FL architecture because both the blockchain and federated learning technologies protect the privacy of individuals. The blockchain is a crypto-based secure ledger for data storage and transfer through decentralized, trustless peer-to-peer systems. In our setting, the blockchain network will enable the exchange of the workers’ local model updates while a subset of workers, the miners in the context of a blockchain, are responsible of the aggregation of the received local model updates. The miners can be either randomly selected nodes or separate nodes as in a traditional blockchain network. The workers will send their local model updates to their associated miner in the blockchain network. The miners will exchange between each other all the local model updates in order to proceed with the training of the model. The miners can then complete the first round of the process by running the consensus algorithm. Consensus results in a block to be added to the blockchain and the block stores the global model which is the aggregate of the received local model updates. In the second round of the process, the Workers will then download the global model from the blockchain. The global model serves as an input to the next local model update that the worker will generate in the next iteration of the federated learning.

Decentralized federated learning applications need to account for the additional delay incurred by the blockchain...
network. Many studies aim at addressing the latency challenges related to decentralized federated learning applications [3]. One application that must consider the end-to-end latency incurred by blockchain-based decentralized federated learning is the detection of targeted/untargeted poisoning attacks. To the best of our knowledge, no previous work has addressed this challenge in this setting. To this aim, we propose a technique to address the latency challenges and the technique consists in decoupling the monitoring and detection phases in decentralized FL approaches defenses that protect against poisoning attacks in federated learning.

Poisoning attacks in federated learning happen when the attacker is able to inject malicious data into the model during training, and hence alter the learning process. Steinhardt et al. [4] reported that, even under strong defenses, a 3% training dataset poisoning leads to 11% drop in accuracy. Regarding the cybersecurity of FL and protection against this type of attack, many defenses were proposed for the centralized federated learning setting [5], [6], [7], [8]. Lately, detection and behavioral pattern analysis as a defense mechanisms are gaining momentum. The aim of this type of defense is to remove at every iteration of the FL process, the unreliable nodes in the system based on the assessment of their behavior [9]. By monitoring the behavior in time of the workers and removing unreliable nodes from the aggregation process, the approaches enable efficiency and security of the centralized FL process.

No studies have yet addressed the additional delay incurred by the detection of targeted/untargeted poisoning attacks techniques in a decentralized federated learning setting. In fact, network topologies affect the performances of the learning process in distributed FL architectures. We propose a technique where monitoring and detection is done in parallel by the blockchain that returns a filtered reliable set of workers from which miners in the decentralized federated learning environment can randomly pick a subset to continue the FL process. We improved security, scalability and time efficiency because the monitoring is decoupled from the FL and distributed. The technique shows great levels of time efficiency because it leverages, on one hand, the underlying blockchain as an immutable security monitoring system for the workers, and on the other hand the latency is minimized in the detection phase because verification is done separately of the decentralized federated learning process.

The rest of the paper is organised as follows. In Section II we present related work. In Section III we present the blockchain-based monitoring. We provide experimental results of the poisoning attacks and defense on a mode inference model implemented over the blockchain as a case study in Section IV. We conclude the paper and provide future work in Section V.

II. RELATED WORK

In federated learning, a network that has the form of a star, in which the server or (chief) has an association to all the other nodes, is the predominant communication topology. However, decentralized topologies are the alternative. In data center environments and under a particular setting where the network is operating under low bandwidth for example or high latency, it was demonstrated that training in a decentralized manner was faster than the centralized alternative. In the same manner, it is expected that in FL, decentralized algorithms aim at reducing the cost of communication with the central chief. A blockchain can be used to replace the chief, the centralized aggregator in the traditional FL system. Miners calculate the averaged model using received update models from workers.

As an example application, Lo´pez et al. [10] presented a Blockchain for Smart Mobility Data-markets for mobility data transactions designed to solve the privacy, security, and management issues related to the sharing of passively or actively solicited large-scale data. They developed a federated learning environment over that blockchain to create a privacy-aware solution for mode inference [11]. They show that nodes that collectively, but privately train a Convolutional Neural Network (CNN) can achieve the same accuracy as the conventional centralized training.

Preuveneers et al. [12] proposed a setup where the local model updates of the workers are saved on the blockchain. This approach to decentralized FL results in large computation overload and high latencies. Also, their solution only guarantee the immutability and verify the integrity of the stored data but cannot assure its veracity. There is no defense mechanism implemented as a security measure to protect against poisoning attacks in this setting. Unlike their approach, our technique is applied on decentralized federated learning processes where the defense is integrated in the training of the algorithm in order to eliminate malicious workers that compromise the learning. Qu et al. [13] used the blockchain to enable FL without any centralized authority but they provided incentives to the workers, which may result in biased training of the models as only a particular type of worker might be interested in the process. In their work, to guarantee block generation efficiency, pointers of the averaged model are saved on-chain while a distributed hash table is used to save the data and point to an off-chain data storage. Again, they do not consider a defense mechanism implemented to secure the undergoing FL process.

Zhao et al. [14] introduced the concept of reputation and reputation status is recorded in the blockchain. However, to verify the validity of a model update, they only check if the signature is invalid, the miner rejects the transaction. They do not study the quality of the uploaded model updates and do not defend against poisoning attacks. Kang et al. [15] also use the reputation to reflect how well a worker has performed about model training, which is measured from its training task completion history with the past behaviors of good or unreliable activities. To remove malicious updates, they use the FoolsGold scheme [16]. FoolsGold is a defense against targeted poisoning attacks based on inter-client contribution similarity in their model updates.

Some defense mechanisms consider the behavior in time
of the workers during training to flag a malicious exchange with the chief [9]. The aim is to monitor the exchange and eliminate malicious workers. In this context, the blockchain is used to monitor the training of individual workers and at the same time, detect a malicious worker, thus, ensuring that transactions stored on the blockchain are valid. The inclusion of the blockchain in this setting aims at improving robustness of the system against the attacks. However, this will affect the performance of the learning process in terms of latency. Some studies propose a latency analysis of federated learning via blockchain [3], [17], [18]. However, the approaches are not applicable in the context of a cybersecurity layer implemented on top of the decentralized federated learning process occurring between the nodes. When a detection mechanism is integrated to protect against poisoning attacks in a decentralized FL process, and particularly when the defense requires monitoring of the behavior of the workers, to account for the additional latency generated, we propose a technique where monitoring is decoupled from detection.

III. BLOCKCHAIN-BASED MONITORING

We use the blockchain to develop an immutable infrastructure for the decentralized federated learning process of a model and for the monitoring of the workers to detect poisoning attacks. In order to train the shared model efficiently, the security layer added to the blockchain should not constitute a bottleneck by affecting the time taken for model training. In fact, we show in Figure 1 the design we put forward that enables to store on the blockchain, a Merkle root of only messages. Using a Merkle Tree is efficient both because it reduces the number of transactions stored on the blockchain, instead, a hash value inside the public ledger points towards them. The model updates are not written on the blockchain, thus, ensuring that transactions stored on the blockchain are valid. The inclusion of the blockchain in this setting aims at improving robustness of the system against the attacks. However, this will affect the performance of the learning process in terms of latency. Some studies propose a latency analysis of federated learning via blockchain [3], [17], [18]. However, the approaches are not applicable in the context of a cybersecurity layer implemented on top of the decentralized federated learning process occurring between the nodes. When a detection mechanism is integrated to protect against poisoning attacks in a decentralized FL process, and particularly when the defense requires monitoring of the behavior of the workers, to account for the additional latency generated, we propose a technique where monitoring is decoupled from detection.

III. BLOCKCHAIN-BASED MONITORING

We use the blockchain to develop an immutable infrastructure for the decentralized federated learning process of a model and for the monitoring of the workers to detect poisoning attacks. In order to train the shared model efficiently, the security layer added to the blockchain should not constitute a bottleneck by affecting the time taken for model training. In fact, we show in Figure 1 the design we put forward that results in high levels of time efficiency. Some miners of the blockchain network are attributed to the FL process and they are called, minersFL and others, minersMON, are attributed to the monitoring. When training starts at $t=0$, workers in the distributed environment perform the federated learning process as usual. They extract from the green block that was already in the blockchain, the randomly initialized model that they will use to train with their local data. In the initial stage, before detection and defense kicks in, all workers continuously send, iteration after iteration, their local model updates to the subset of miners called minersMON. It’s only after some period of time $t \geq x$ that the blockchain-based monitoring algorithm is able to return a filtered reliable set of workers from which minersFL nodes can randomly pick a subset to continue the FL process. It is at time $t = x$ precisely that the system becomes protected against attackers although since the beginning, monitoring was performed. Afterwards, iteration after iteration, only reliable nodes will be selected from the pool of nodes to participate in the training. Thus, monitoring and detection are done separately from training, but they must be in synchronisation with each other so as to always provide fresh and accurate evaluation of the nodes.

It is important to note that in our design, the model updates are not written on the blockchain, instead, a hash value inside the public ledger points towards them. The model updates remain on the worker side encrypted with keys that no other nodes have access to. Digital signatures are required for information to be stored on the blockchain. Therefore, we hold that attackers are not able to fabricate digital signatures or take control of the majority of the network (over 50%) in order to modify valid blocks on the blockchain. Furthermore, an attacker cannot poison the training data samples because they are stored off-chain on the nodes rather than on the public ledger. However, if the attacker gains access and controls one or some of the nodes, it can send model updates on their behalf that are maliciously fabricated to compromise the system. We detail the blockchain-based monitoring in Algorithm 1.

The aim is to store on the blockchain, a commitment on behalf of the worker on a series of model updates it had worked on. The order in time of the model updates is important. We will use a Merkle Tree because the detection algorithm needs to go back to previous model updates of a worker to validate a benign behavior [19]. The algorithm might want to selectively look at a portion in time of the submitted model updates without requiring the worker to send all the models updates it had since the beginning of training. At the same time, this forces the worker to commit to all the model updates it ever did without knowing which portion is going to be evaluated by the miners of the blockchain network. Most importantly, the worker will never be able to go back and modify an entry because the linked timestamping of the hash chain in the blockchain is a commitment to every previous value. Also, the worker will not be able to reorder previous entries because of the binding commitment property to all the messages. Using a Merkle Tree is efficient both because it enables to store on the blockchain, a Merkle root of only

![Image](image-url)
We investigated different designs. For example, a hash function, SHA256 takes a variable input size, but always returns a 256-bit hash value. The hash of one model update is 256 bits. However, at each round, storing on the blockchain, the hash of every previous model update is linear in input size.

Another design would be to store the hash of the concatenation of all previous model updates. This would be constant in size no matter the input. However, in this case, in order to verify the validity of one model update, all other concatenated model updates must be provided. For this reason, using a Merkle Tree is more efficient than the concatenation because it enables to store on the blockchain, a Merkle root of 256 bits and enables to selectively reveal a portion of model updates. We show in Figure 2 a prototype of the Merkle Tree stored at the worker node with the Merkle root being the binding commitment to the entire model updates of the node during training. Merkle trees allow efficient and secure verification of the contents of large data structures. This design achieves scalability and most importantly, enables storage of valuable data in contrast to approaches that store reputation metrics. Our design stores the underlying temporal and dynamic local models updates of every worker of the system in a transparent and secure way. The monitoring and the training are done in parallel by different miners of the blockchain network.

### Algorithm 1: Blockchain-based monitoring

256 bits and enables to selectively reveal a portion of model updates. The Merkle root is a commitment to the Merkle Tree of the entire set of a node’s model updates. For the miners to open the commitment to a single model update, all it requires is its Merkle path.

We open the commitment to a single model update, all it requires is its Merkle path.

![Merkle Tree Diagram](image1.png)

**Fig. 2.** Local Model updates stored in a Merkle tree.

### IV. Evaluation

To evaluate the efficiency of our technique in addressing the latency challenges, we first implement a blockchain-based decentralized FL process consisting in training a Convolutional Neural Network classifier for transportation mode inference as in [11]. We then add the security layer for protection against poisoning attacks under this setting. Any defense that aim at monitoring the behavior of the workers can be implemented. We perform a poisoning attack on the system and compute the time taken by the FL process to converge. We then implement our technique consisting in the decoupling of the monitoring and detection phases. We compare the latency incurred over the communication network and assess the computation and consensus delays of the FL and blockchain operations. We demonstrate that the parallelization of operations results in minimized latency.

#### A. Case study

The dataset of this study consists of raw personal data of nodes participating in the task of distributed behavioural choice modelling over the blockchain. As in [11], each observation is characterized with trip duration, trip reliability and trip cost. For testing the distributed choice model, we use a subset of observations including only two modes, the automobile and train in order to explore how choice modelling can be distributed over a blockchain. In this context, the nodes are always in control of their data because they don’t share their raw personal information with anyone. Although this approach ensures the protection of the individual’s privacy, it exposes the system to poisoning attacks. In fact, malicious nodes can inject maliciously fabricated local model updates to sabotage the choice modeling training that is ongoing. This will poison the learning process.
B. Experimental setup

The experiments are implemented on four nodes that run on Hyperledger Iroha. The nodes are responsible of storing the blockchain and some of them are in charge of different operations as miners participating in different consensus mechanisms. Each node is running on an Amazon EC2 t2.medium Virtual Machine. One Amazon EC2 t3.2xlarge Virtual Machine is used to run the 10 nodes who are participating in the FL process. Some nodes are designated as minersFL and others as minersMON, while all can also behave as workers in the decentralized setting.

C. Experimental Results

We show in Figure 3 the convergence time taken by the blockchain-based decentralized FL process for the training of a classifier for transportation mode inference under normal conditions (under no attack). We then implement a poisoning attack where one attacker performs a continuous untargeted attack after EPOCH 30 by injecting random weight updates aiming at decreasing convergence speed and compromising the system. We compare in the same figure the time taken by the FL process to converge under attack. We notice that as the number of iterations was increasing under attack, the system was never able to converge.

We then implement our technique consisting in the decoupling of the monitoring and detection phases as a defense aiming at monitoring the behavior of the workers in the system as per Algorithm 1. In the same figure, we present the results in terms of latency incurred. Compared to the scenario under attack, we notice that the system was able to converge and we see how the end-to-end delay decreased. The parallelization of operations resulted in minimized latency because instead of a single node acting as a chief having to go through operations one by one: first monitoring the workers, then detecting the malicious ones and finally selecting the reliable nodes for training, our technique separates the tasks. The separation of the tasks for some nodes to conduct monitoring and others to conduct training ensures that the miners that are in charge of the training will always have, at every iteration, a reliable set of nodes to select from. Those miners are not concerned of monitoring the behaviour of the nodes because other miners were in charge of doing the monitoring. So they won’t waist time and can automatically select reliable nodes to continue training the model. Meanwhile, the other miners in charge of monitoring continue iteration after iteration to assess the behavior of the workers and build the pool of reliable nodes. This cooperation between the nodes of the blockchain network permits the implementation of a defense mechanism in an efficient way. Otherwise, the defense would have been too computationally costly in terms of latency and bandwidth.

Furthermore, in order to demonstrate the impact of more than one attacker on the latency of the system, we present in Figure 4 the convergence time of the system when two attackers are trying to sabotage the training of the model. We notice that our technique performs equally in both scenarios and is agnostic of the number of attackers. On the other hand, as the number of attackers increase, the performance of the miners in the second scenario is slightly more impacted by the poisoning attack and by the number of operations required to complete the process of FL and its cybersecurity.

In terms of scalability, since our technique consists in the decoupling of the monitoring (with minersMON) and detection (with minersFL) phases, we compare in Figure 5 the time taken by the operations in each phase and that for different network sizes (3, 6 and 9 workers). When comparing the overall communication, computation, and consensus delays incurred by the nodes minersMON with those of minersFL, we notice that even if minersMON are impacted by the increase in the number of workers in the system, this remains transparent to minersFL in the aggregation or detection phase since the operations are done in parallel, thus resulting in minimized overall latency.

V. CONCLUSION

We proposed a blockchain-based monitoring technique for poisoning attack detection in decentralized FL. Our approach leverages the blockchain network as an immutable security
monitoring for the workers of the system and is used as a record-keeping privacy preserving pattern collection. The parallelization of the monitoring and detection operations resulted in lower latency incurred over the communication network and minimized computation and consensus delays. Our design achieves robustness and scalability and enables the storage of valuable timely and dynamic local models updates of every worker of the system in a transparent and secure manner.

Our proposed technique can be deployed on resource-constrained nodes such as mobiles or Internet of Things (IoT) devices which have low cost and limited energy. However, to satisfy the resource requirements in a less constrained environment, a study of the trade-off between the number of miners of the blockchain network that are attributed to the FL process, the minersFL and the minersMON that are attributed to the monitoring should be conducted. Moreover, to further ensure that the machine learning model does not suffer from data leakage, meaning from threats such as black-box attacks where malicious participants can recover arbitrary inputs fed into their devices, in a future work, we are investigating split learning as a countermeasure to achieve more robust privacy preserving model training in a distributed manner.

AVAILABILITY

Data and code of this study are made publicly available by the authors on https://github.com/LiTrans/BSMD/tree/master/use_cases/untargeted_poisoning.

REFERENCES

[1] J. Verbraeken, M. Wotting, J. Katzy, J. Kloppenburg, T. VerbeLEN, and J. S. Rellermeyer, “A survey on distributed machine learning,” Acm computing surveys (csur), vol. 53, no. 2, pp. 1–33, 2020.
[2] Q. Yang, Y. Liu, Y. Cheng, Y. Kang, T. Chen, and H. Yu, “Federated learning,” Synthesis Lectures on Artificial Intelligence and Machine Learning, vol. 13, no. 3, pp. 1–207, 2019.
[3] H. Kim, J. Park, M. Benni, and S.-L. Kim, “On-device federated learning via blockchain and its latency analysis,” arXiv preprint arXiv:1808.03949, 2018.
[4] J. Steinhardt, P. W. W. Koh, and P. S. Liang, “Certified defenses for data poisoning attacks,” in Advances in neural information processing systems, 2017, pp. 3517–3529.
[5] M. Abadi, P. Barham, J. Chen, Z. Chen, A. Davis, J. Dean, M. Devin, S. Ghemawat, G. Irving, M. Isard et al., “Tensorflow: A system for large-scale machine learning,” in 12th {USENIX} Symposium on Operating Systems Design and Implementation (OSDI'16), 2016, pp. 265–283.
[6] P. Blanchard, R. Guerraoui, J. Stainer et al., “Machine learning with adversaries: Byzantine tolerant gradient descent,” in Advances in Neural Information Processing Systems, 2017, pp. 119–129.
[7] Y. Chen, L. Su, and J. Xu, “Distributed statistical machine learning in adversarial settings: Byzantine gradient descent,” Proceedings of the ACM on Measurement and Analysis of Computing Systems, vol. 1, no. 2, pp. 1–25, 2017.
[8] E. M. E. Mhamdi, R. Guerraoui, and S. Rouault, “The hidden vulnerability of distributed learning in byzantium,” arXiv preprint arXiv:1802.07927, 2018.
[9] X. Pan, M. Zhang, D. Wu, Q. Xiao, S. Ji, and Z. Yang, “Justinian’s gaavemon: Robust distributed learning with gradient aggregation agent,” in 29th {USENIX} Security Symposium (USENIX Security 20), 2020, pp. 1641–1658.
[10] D. Lo’pez and B. Farooq, “A multi-layered blockchain framework for smart mobility data-markets,” Transportation Research Part C: Emerging Technologies, vol. 111, pp. 588–615, Feb. 2020.
[11] D. Lo’pez, A. Yazdizadeh, B. Farooq, and Z. Patterson, “Distributed privacy-aware mode inference using federated learning over blockchain for smart mobility data-market,” in International Choice Modelling Conference 2019, 2019.
[12] D. Preuveneers, V. Rimmer, I. Tsingnenopoulou, J. Spooren, W. Joosen, and E. Ilie-Zudor, “Chained anomaly detection models for federated learning: An intrusion detection case study,” Applied Sciences, vol. 8, no. 12, p. 2663, 2018.
[13] Y. Qu, L. Gao, T. H. Luan, Y. Xiang, S. Yu, B. Li, and G. Zheng, “Decentralized privacy using blockchain-enabled federated learning in fog computing,” IEEE Internet of Things Journal, 2020.
[14] Y. Zhao, J. Zhao, L. Jiang, R. Tan, and D. Niyato, “Mobile edge computing, blockchain and reputation-based crowdsourcing for federated learning: A secure, decentralized and privacy-preserving system,” arXiv preprint arXiv:1906.10893, 2019.
[15] J. Kang, Z. Xiong, D. Niyato, Y. Zou, Y. Zhang, and M. Guizani, “Reliable federated learning for mobile networks,” IEEE Wireless Communications, vol. 27, no. 2, pp. 72–80, 2020.
[16] C. Fung, C. J. Yoon, and I. Beschastnikh, “Mitigating sybils in federated learning poisoning,” arXiv preprint arXiv:1808.04866, 2018.
[17] B. McMahan, E. Moore, D. Ramage, S. Hampson, and B. A. y Arcas, “Communication-efficient learning of deep networks from decentralized data,” in Artificial Intelligence and Statistics. PMLR, 2017, pp. 1273–1282.
[18] S. Samarakoone, M. Benni, W. Saad, and M. Debbah, “Distributed federated learning for ultra-reliable low-latency vehicular communications,” IEEE Transactions on Communications, vol. 68, no. 2, pp. 1146–1159, 2019.
[19] M. Szydl, “Merkle tree traversal in log space and time,” in International Conference on the Theory and Applications of Cryptographic Techniques. Springer, 2004, pp. 541–554.