A UNIVERSAL MODEL FOR HALO CONCENTRATIONS

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ABSTRACT

We present a numerical study of dark matter halo concentrations in \(\Lambda\)CDM and self-similar cosmologies. We show that the relation between concentration, \(c\), and peak height, \(\nu\), exhibits the smallest deviations from universality if halo masses are defined with respect to the critical density of the universe. These deviations can be explained by the residual dependence of concentration on the local slope of the matter power spectrum, \(n\), which affects both the normalization and shape of the \(c-\nu\) relation. In particular, there is no well-defined floor in the concentration values. Instead, the minimum concentration depends on redshift: at fixed \(\nu\), halos at higher \(z\) experience steeper slopes \(n\), and thus have lower minimum concentrations. We show that the concentrations in our simulations can be accurately described by a universal seven-parameter function of only \(\nu\) and \(n\). This model matches our \(\Lambda\)CDM results to \(\lesssim 5\%\) accuracy up to \(z = 6\), and matches scale-free \(\Omega_m = 1\) models to \(\lesssim 15\%\). The model also reproduces the low concentration values of Earth–mass halos at \(z \approx 30\), and thus correctly extrapolates over 16 orders of magnitude in halo mass. The predictions of our model differ significantly from all models previously proposed in the literature at high masses and redshifts. Our model is in excellent agreement with recent lensing measurements of cluster concentrations.

Key words: cosmology: theory – dark matter – methods: numerical

1. INTRODUCTION

A theoretical understanding of the density structure of halos is essential for the correct interpretation of a variety of astronomical observations, from the kinematics of stars and gas in galaxies to the lensing signal in the outskirts of galaxies and clusters (see, e.g., Courteau et al. 2014 for a recent review). Thus, studies of the radial density profiles of halos were one of the first applications of cosmological simulations. Early simulations indicated that the density profiles forming in a cold dark matter (CDM) scenario are close to the isothermal profile, \(\rho \propto r^{-2}\) (Frenk et al. 1988), while subsequent higher resolution simulations showed that the profiles have a slope that slowly changes from steep values of about \(-3\) to \(-4\) around the virial radius to shallower slopes of about \(-1\) in the inner regions (Dubinski & Carlberg 1991; Navarro et al. 1995; Cole & Lacey 1996). Nevertheless, the radial range where the density profile slope is close to the isothermal value of \(-2\) is highly important, given that such a mass distribution is needed to explain the flat rotation curves of galaxies.

One of the most widely used parameters characterizing the density profiles of halos is thus concentration, defined as the ratio of the outer “virial” radius and the radius at which the logarithmic slope is \(-2\). This definition applies to any form of the profiles, including common analytic functions, such as the Hernquist profile (Hernquist 1990; Dubinski & Carlberg 1991), the profile proposed by Navarro et al. (1995, 1996, 1997, hereafter NFW), or the Einasto profile (Einasto 1965, 1969; Navarro et al. 2004). For the NFW profile, in particular, the concentration of a halo with a given virial mass fully specifies its profile.

Given the importance of concentration, its calibration has been the subject of numerous studies. Navarro et al. (1996, 1997) first suggested a model in which concentration depends on the epoch at which a certain fraction of a halo’s mass has been assembled. Although the original model was shown to predict an incorrect evolution of concentrations (Bullock et al. 2001), the general idea that concentration is related to a halo’s assembly history was shown to be valid by subsequent studies. Bullock et al. (2001; see also Wechsler et al. 2002 and Zhao et al. 2003a) showed that, during the evolutionary stage when the mass accretion rate of a halo slows down, its scale radius remains approximately constant, and thus concentration scales as the virial radius, \(c \propto R_{\text{vir}}\). In the regime of a high mass accretion rate, on the other hand, the scale radius scales approximately as the virial radius and \(c \approx \text{const}\) (Zhao et al. 2003a). Overall, there was found to be a tight relation between concentration, the shape of the profile at any given time, and the mass assembly history (MAH) of the main halo progenitor prior to that time (Zhao et al. 2003a, 2009; Ludlow et al. 2014). The MAH depends on the amplitude and shape of the initial density peak (e.g., Dalal et al. 2008), which, in turn, depend on the mass scale of the peak as well as on the parameters of the background cosmological model. Thus, halo concentrations depend on mass, redshift, and cosmological parameters.

Many theoretical studies have calibrated these dependencies using cosmological simulations of halos (e.g., Avila-Reese et al. 1999; Jing 2000; Bullock et al. 2001; Colín et al. 2004; Dolag et al. 2004; Neto et al. 2007; Duffy et al. 2008; Gao et al. 2008; Macciò et al. 2008; Klypin et al. 2011; Muñoz-Cuartas et al. 2011; Bhattacharya et al. 2013; Dutton & Macciò 2014), usually approximating the relation between concentration and mass (or peak height) as a power law. The main limitation of such parameterized fits to simulation results is that they generally cannot be extrapolated beyond the cosmological model, mass, and redshift range for which they were calibrated.

For this reason, a number of more general models for halo concentrations have been proposed, many of them based on the tight connection between halo concentration and formation epoch (Navarro et al. 1997; Bullock et al. 2001; Eke et al. 2001; Zhao et al. 2009; Giocoli et al. 2012). Given the influence of the parameters characterizing the initial density peak on a
halo’s MAH (Dalal et al. 2008), one could expect that concentration should be a function of such peak parameters. Indeed, numerical studies have shown that much of the dependence of concentration on cosmology and redshift can be taken into account by expressing concentrations as a function of peak height, \( v \) (see Equation (4) below; Zhao et al. 2009; Prada et al. 2012; Ludlow et al. 2014; Dutton & Macciò 2014). At the same time, these studies showed that the \( c-v \) relation is not quite universal with redshift, prompting Prada et al. (2012) to present a fitting formula which parameterizes the extra dependency using an arbitrary time rescaling function. This model, however, fails for non-\( \Lambda \)CDM cosmologies, as we show in Section 5.2.

Given the general logic that halo concentration and MAH should depend on the properties of the initial density peak, it is natural to interpret the non-universality of the \( c-v \) relation as an indication that there is at least one additional peak variable that controls concentration. In this paper, we quantify deviations of the \( c-v \) relation from universality for different choices of the “virial” radius, and explore the possible second parameter controlling concentration. We identify the local slope of the power spectrum, \( n \), as such a parameter, and present an accurate, universal model in which halo concentrations depend only on \( v \) and \( n \). We note that either an explicit or implicit dependence of concentration on the power spectrum slope is also included in the models of Eke et al. (2001), Bullock et al. (2001), and Zhao et al. (2009). However, the specifics of these models, particularly the ways in which the dependence on the power spectrum slope is modeled, differ significantly from our model (Section 5).

We demonstrate that our model accurately describes concentrations in both \( \Lambda \)CDM cosmologies with different parameters and self-similar cosmologies with power-law spectra and \( \Omega_m = 1 \). We also show that the model provides reasonably accurate predictions for Earth-mass halos at \( z = 30 \), far outside the mass and redshift regime in which the model was calibrated. Although ultimately the concentrations of realistic halos are impacted by baryonic effects which are still rather uncertain (Rudd et al. 2008; Duffy et al. 2010; Velliscig et al. 2014), the results presented in this paper demonstrate that a simple, universal baseline model of concentrations does exist. While we focus on the NFW approximation to halo profiles, our conclusions should be general and applicable to concentrations defined for other analytical profiles.

The paper is organized as follows. In Section 2 we describe various definitions of halo mass, radius, and peak height, as well as the corresponding notation used in this paper. In Section 3 we describe our numerical simulations and halo sample selection criteria, as well as our halo finder and the method it uses to estimate concentrations. In Section 4 we present our results, in particular an accurate model for concentrations, which we compare to previous studies in Section 5. We summarize our conclusions in Section 6. In the Appendices we discuss the redshift evolution of the concentrations of individual halos (Appendix A), peak curvature as a candidate for a second parameter controlling concentration (Appendix B), as well as the conversion of our model to other mass definitions (Appendix C). We provide a stand-alone Python module to compute the predictions of our concentration model for arbitrary cosmologies at www.benediktdiemer.com/code.

2. MASS AND PEAK HEIGHT DEFINITIONS

Throughout this paper, we denote the mean matter density of the universe as \( \rho_m \), and the critical density as \( \rho_c \). Spherical overdensity mass is defined as the mass within the radius enclosing a given density contrast \( \Delta \) relative to \( \rho_m \) or \( \rho_c \) at a particular redshift, such that

\[
M_{\Delta m} = M(< R_{\Delta m}) = \frac{4\pi}{3} \Delta \rho_m(z) R_{\Delta m}^3,
\]

or

\[
M_{\Delta c} = M(< R_{\Delta c}) = \frac{4\pi}{3} \Delta \rho_c(z) R_{\Delta c}^3,
\]

where \( \Delta = 1.686 \) is the critical overdensity for collapse derived from the spherical top hat collapse model (Gunn & Gott 1972; we ignore a weak dependence of \( \delta_c \) on cosmology and redshift), and \( D_s(z) \) is the linear growth factor normalized to unity at \( z = 0 \). Here \( \sigma \) is the rms density fluctuation in a sphere of radius, \( \sigma^2(R,z) = \frac{1}{2\pi^2} \int_0^\infty k^2 P(k,z) |\tilde{W}(kR)|^2 dk \),

\[
M = (4\pi/3) \rho_m(z = 0) R^3.
\]

Here \( \tilde{W}(kR) \) is the Fourier transform of the spherical top hat filter function, and \( P(k,z) = D_s^2(z) P(k,0) \) is the linear matter power spectrum. We use the accurate approximation of Eisenstein & Hu (1998) to compute \( P(k) \), normalized such that \( \sigma(8 h^{-1} \text{Mpc}) = \sigma_8 \).

As with the mass and radius definitions above, we use \( \nu_A \) to denote the peak height defined by setting \( M = M_A \) in Equation (6). Finally, the characteristic nonlinear mass, \( M^\star \), is defined as the mass where \( \sigma(M^\star) = \delta_c \), and thus \( \nu(M^\star) = 1 \).

3. NUMERICAL SIMULATIONS AND METHODS

In this section we describe our cosmological simulations, halo identification, and resolution limits. We discuss the method used to measure concentrations, their distribution at fixed mass, and the resulting mean and median values.

3.1. N-body Simulations and Halo Finding

To cover a large range of halo masses and cosmologies, we use a suite of dissipationless \( \Lambda \)CDM simulations of different box sizes, resolutions, and cosmologies (Table 1). Most of the simulations (L0031–L2000) were carried out in our fiducial cosmology, identical to that adopted in the Bolshoi simulation (Klypin et al. 2011) which is consistent with the WMAP7 cosmology (Komatsu et al. 2011; see Table 2). To investigate the dependence of concentrations on cosmology, we performed several simulations of a cosmological model consistent with recent constraints from the Planck satellite (Planck Collaboration et al.
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Table 1

| Name         | $L (h^{-1} \text{Mpc})$ | $N^3$ | $m_p (h^{-1} \text{M}_\odot)$ | $\epsilon (h^{-1} \text{kpc})$ | $\epsilon/(L/N)$ | $z_{\text{final}}$ | Cosmology               | Reference                  |
|--------------|------------------------|------|-------------------------------|--------------------------------|-----------------|-----------------|------------------------|---------------------------|
| L2000        | 2000                   | 1024^3 | $5.6 \times 10^{11}$ | 65                             | 1/30            | 49             | 0                      | WMAP (Bolshoi)            | This paper                |
| L1000        | 1000                   | 1024^3 | $7.0 \times 10^{10}$ | 33                             | 1/30            | 49             | 0                      | WMAP (Bolshoi)            | Diemer et al. 2013a       |
| L0500        | 500                    | 1024^3 | $8.7 \times 10^{9}$ | 14                             | 1/35            | 49             | 0                      | WMAP (Bolshoi)            | Diemer et al. 2014         |
| L0250        | 250                    | 1024^3 | $1.1 \times 10^{9}$ | 5.8                             | 1/42            | 49             | 0                      | WMAP (Bolshoi)            | Diemer et al. 2014         |
| L0125        | 125                    | 1024^3 | $1.4 \times 10^{8}$ | 2.4                             | 1/51            | 49             | 0                      | WMAP (Bolshoi)            | Diemer et al. 2014         |
| L0063        | 62.5                   | 1024^3 | $1.7 \times 10^{7}$ | 1.0                             | 1/60            | 49             | 0                      | WMAP (Bolshoi)            | Diemer et al. 2014         |
| L0031        | 31.25                  | 1024^3 | $2.1 \times 10^{6}$ | 0.25                            | 1/122           | 49             | 2                      | WMAP (Bolshoi)            | This paper                |
| L0500-Planck | 500                    | 1024^3 | $1.0 \times 10^{10}$ | 14                             | 1/35            | 49             | 0                      | Planck                    | This paper                |
| L0250-Planck | 250                    | 1024^3 | $1.3 \times 10^{9}$ | 5.8                             | 1/42            | 49             | 0                      | Planck                    | This paper                |
| L0125-Planck | 125                    | 1024^3 | $1.6 \times 10^{8}$ | 2.4                             | 1/51            | 49             | 0                      | Planck                    | This paper                |
| L0250-High-$\sigma_b$ | 250 | 1024^3 | $1.1 \times 10^{9}$ | 5.8                             | 1/42            | 49             | 0                      | Bolshoi+high $\sigma_b$  | This paper                |
| L0250-High-$\Omega_m$ | 250 | 1024^3 | $1.6 \times 10^{8}$ | 5.8                             | 1/42            | 49             | 0                      | Bolshoi+high $\Omega_m$  | This paper                |
| L0100-PL-1.0 | 100                    | 1024^3 | $2.6 \times 10^{8}$ | 0.5                             | 1/195           | 119            | 2                      | Self-similar, $n = -1.0$ | This paper                |
| L0100-PL-1.5 | 100                    | 1024^3 | $2.6 \times 10^{8}$ | 0.5                             | 1/195           | 99             | 1                      | Self-similar, $n = -1.5$ | This paper                |
| L0100-PL-2.0 | 100                    | 1024^3 | $2.6 \times 10^{8}$ | 1.0                             | 1/98            | 49             | 0.5                    | Self-similar, $n = -2.0$ | This paper                |
| L0100-PL-2.5 | 100                    | 1024^3 | $2.6 \times 10^{8}$ | 1.0                             | 1/98            | 49             | 0                      | Self-similar, $n = -2.5$ | This paper                |

Notes. The $N$-body simulations used in this paper. $L$ denotes the box size in comoving units, $N^3$ the number of particles, $m_p$ the particle mass, and $\epsilon$ the force softening length in physical units. More details on our system for choosing force resolutions are given in Diemer & Kravtsov (2014).

Table 2

| Cosmology               | $H_0$ | $\Omega_m$ | $\Omega_L$ | $\Omega_b$ | $\Omega_k$ | $\sigma_8$ | $n_s$ | $P(k)$ | Reference                  |
|-------------------------|-------|-------------|------------|------------|------------|------------|-------|-------|---------------------------|
| Planck                  | 67    | 0.32        | 0.68       | 0.0491     | 0          | 0          | 0.834 | 0.9624 | CAMB                      | Planck Collaboration et al. 2014 |
| WMAP (Bolshoi)          | 70    | 0.27        | 0.73       | 0.0469     | 0          | 0          | 0.82  | 0.95   | CAMB                      | Klypin et al. 2011, Komatsu et al. 2011 |
| Bolshoi+4High-$\sigma_b$ | "    | "          | "         | "          | "          | 0.9        | "     | "     | Same as Bolshoi           |                                    |
| Bolshoi+4High-$\Omega_m$ | "    | 0.4         | 0.6        | "          | "          | "         | "     | "     | Same as Bolshoi           |                                    |
| Self-similar            | 70    | 1           | 0          | 0          | 0          | 0.82      | "     | "     | $P(k) \propto k^n$        |                                    |

Notes. Cosmological parameters of the $N$-body simulations listed in Table 1. The Bolshoi cosmology roughly corresponds to the WMAP7 cosmology of Komatsu et al. (2011). The Planck values correspond to the Planck-only best-fit values in Table 2 in Planck Collaboration et al. (2014). Some of the parameters in both the Planck and Bolshoi cosmologies are rounded off for convenience. The initial matter power spectrum for the Bolshoi and Planck cosmologies was computed using the Boltzmann code CAMB (Lewis et al. 2000).

2014), as well as a number of test simulations in which only one particular parameter was changed from the value adopted in the fiducial cosmology. Finally, we ran a series of self-similar models with power-law matter power spectra of four different slopes (Table 1). We use these power-law simulations to calibrate the dependence of concentration on the power spectrum slope.

The initial conditions for the simulations were generated using the second-order Lagrangian perturbation theory code 2LPtic (Crocce et al. 2006). The simulations were started at redshift $z = 49$ which has been shown to be sufficiently high to avoid transient effects (Crocce et al. 2006). The self-similar models with the shallowest slopes were started at higher redshift as power on small scales develops very early in such cosmologies. All simulations were computed using the publicly available code GADGET2 (Springel 2005).

We used the phase-space halo finder ROCKSTAR (Behroozi et al. 2013a) to extract all isolated halos and subhalos from the 100 snapshots of each simulation, and the CONSISTENT-Trees code of Behroozi et al. (2013b) to compute merger trees and establish subhalo relations. As usual, we only consider the concentrations of isolated halos, but do not exclude the contribution of subhalos to the density profiles of the isolated halos. A halo is deemed to be isolated if its center does not lie inside $R_{\text{vir}}$ of another, larger halo. We note that the virial radii for the various mass definitions were derived using only gravitationally bound particles. We have verified that the difference between bound masses and those including all particles is negligible for the vast majority of host halos we consider in this study.

### 3.2. Resolution Limits

In order to measure concentration, we need to measure the scale radius, $r_s$ (Section 3.3). The scale radius probes the interior of halos, and is thus susceptible to resolution effects (Moore et al. 1998; Klypin et al. 2001). In particular, $r_s$ needs to be resolved by a sufficient number of force resolution lengths and particles. A fixed minimum number of particles inside the virial radius does not guarantee a particular force resolution of the scale radius, because concentration depends on mass and redshift, and, more importantly, because the radius corresponding to a particular halo mass decreases with redshift due to the increasing reference density (Equations (1) and (2)). Thus, we demand a minimum halo mass at each redshift and for each simulation that fulfills, on average, the following three criteria.

1. There must be at least 1000 particles inside $R_{200c}$. This requirement ensures that all 50 radial bins used to construct the density profile are reasonably sampled.
2. There must be at least 200 particles within $r_s$, following Klypin et al. (2001), who found that the density profiles of halos converge to better than 10% at radii enclosing at least 200 particles.
3. $r_s$ must be at least six times the force softening length, $\epsilon$. Various authors have observed that the density profile of
halos is only reliable at radii greater than 4–5\(e\) (Moore et al. 1998; Klypin et al. 2001). These authors used softenings that corresponded to the Newtonian force at only 2–3 softening lengths, while the GADGET2 code used in this study employs a spline softening that reaches the Newtonian level at a smaller distance. The requirement of \(r > 6e\) is thus relatively conservative. We adopt it to account for the fact that the scatter in concentrations at fixed mass is quite large, meaning that some halos have much smaller scale radii than an average halo of the same mass.

We must not compute these criteria for each halo separately, as that would, at fixed mass, preferentially select halos of low concentration and thus bias our measurement. Instead, we compute the average scale radius at a given mass and redshift using the model of Zhao et al. (2009). For the self-similar cosmologies, we find that this model does not reproduce our simulation results and thus use a fit to our own results instead. When only selecting halos that match the requirements stated above, we find that the \(c–M\) relation has converged to within the statistical error. For example, making all of the requirements stricter by a factor of two does not change the mean and median relations at any redshift by more than the statistical uncertainty.

Our resolution limits are deliberately stringent because the large scatter in the \(c–M\) relation means that individual halos may have smaller scale radii, and thus fewer particles and force resolution elements within \(r_\epsilon\). Generally, the third criterion (the number of force softening lengths inside \(r_\epsilon\)) dominates the minimum mass requirement, particularly at high redshift. For example, in the L0250 simulation, the minimum halo mass is twice greater at \(z = 2\) than at \(z = 0\), and about 15 times greater at \(z = 6\). To appreciate the importance of our conservative cuts, let us consider the force resolution we would achieve if we only enforced the 1000 particle limit; in this case, the scale radii of the lowest allowed mass in the L0250 simulation would, on average, be resolved by \(\sim 4e\) at \(z = 0\), \(\sim 3.5e\) at \(z = 2\), and \(\sim 1.5e\) at \(z = 6\), leading to severe resolution effects in the concentration measurement at high redshifts (Moore et al. 1998; Klypin et al. 2001).

Such resolution effects can be quantified by comparing the concentrations in simulations with very different resolutions. For example, we compare our results to the \(c–M\) relation of halos in the Bolshoi simulation (Klypin et al. 2011), measured with the same halo finder as in this paper. While the halos from our set of simulation boxes are sampled by a relatively uniform number of particles across a large range of masses, the largest halos in the Bolshoi simulation contain about \(10^5\) times more particles than the smallest halos for which concentrations were measured. A high mass resolution leads to higher concentrations (see discussion in Section 4.2), meaning that the Bolshoi concentrations are about 10% higher than ours at high masses, and about 10% lower at low masses, leading to a shallower \(c–M\) relation (Klypin et al. 2011). These results imply that the \(c–M\) relation measured in simulations always tends to be biased low due to the finite mass resolution.

After we apply the resolution cuts to the halo samples from each simulation, those samples are combined into one sample per redshift. For our fiducial cosmology, this overall sample contains \(\sim 86,000\) halos at \(z = 0\) and \(\sim 3000\) halos at \(z = 6\). We do not exclude unrelaxed objects or halos that contain a large amount of substructure, although such halos are often discarded in studies of halo structure (e.g., Muñoz-Cuartas et al. 2011; Ludlow et al. 2014; Dutton & Macciò 2014). We choose not to exclude unrelaxed halos for several reasons. First, such a cut leads to a \(c–M\) relation that is biased high because low concentrations are typical of halos in the rapid mass accretion stage which are more likely to be removed by a relaxation cut (e.g., Neto et al. 2007; Macciò et al. 2008; Bhattacharya et al. 2013; see also the discussion in Section 5.2). Second, an equivalent exclusion cannot easily be performed in observations (see, e.g., Meneghetti et al. 2014). Third, the fraction of excluded halos is likely a function of peak height and redshift, potentially introducing a non-universality in the \(c–v\) relation. Finally, we find that the density profiles of halos even in the most active mass accretion regime are, on average, quite regular. Even though they are not as accurately fit by the NFW form as those of slowly accreting halos, their best-fit scale radii reflect real features of the profiles (Diemer & Kravtsov 2014).

3.3. Measuring Mean and Median Concentrations

In this study we use the concentrations estimated by the ROCKSTAR halo finder, the same software that constructs our halo catalogs and merger trees. ROCKSTAR finds the scale radius of a halo by fitting the NFW profile

\[
\rho_{\text{NFW}} = \frac{\rho_s}{(r/r_s)(1 + r/r_s)^2} \tag{7}
\]

to the halo’s spherical mass distribution. This fit is performed using only the bound particles within \(R_{\text{vir}}\). These particles are split into 50 radial bins, equally spaced in enclosed mass (Behroozi et al. 2013a). The contributions of the fitted NFW profile to the same mass bins are computed until the solution with the minimum bin-to-bin mass variance has been found. All bins receive equal weights, except bins at radii smaller than three force resolution softenings from the halo center, which are down-weighted by a factor of 10.

While the normalization of the NFW profile, \(\rho_s\), is robust with respect to the fitting procedure, the best-fit \(r_s\) can depend on technical details such as the number of bins, the radial range used in the fit, the merit function that is minimized, or the weights given to the different radial bins. These details have a particularly large impact if the NFW profile is not a good fit to the halo profile (Meneghetti & Rasia 2013). Dooley et al. (2014) found that the mean concentrations computed by ROCKSTAR are, on average, 12% higher than concentrations found using the SUBFIND halo finder (Springel et al. 2001), and that the slope of the ROCKSTAR \(c–M\) relation is significantly steeper.

These conclusions, however, were derived for the mean rather than the median \(c–M\) relation. The latter suffers less from extremely low or high concentration values which are often the result of poor fits, and are thus particularly dependent on the fitting algorithm used. For example, Figure 1 shows the distribution of \(c_{200}\) in three mass bins at \(z = 0\). The distribution of concentrations has tails at both low and high values of \(c\) which are not well described by the log-normal (e.g., Jing 2000; Bullock et al. 2001; Neto et al. 2007) or Gaussian (Reed et al. 2011; Bhattacharya et al. 2013) distributions in all three mass bins. Thus, it is not clear whether the linear or logarithmic mean are a better description of the sample mean. In the high and low-mass bins, the linear mean is 6% and 12% larger than the median, respectively. In the intermediate-mass bin, however, the linear mean and the median more or less coincide, while the logarithmic mean is about 6% lower than the median. Thus, there is no clear preference for computing either the linear or logarithmic mean. The median, however, is much less sensitive to outliers and independent of whether the data is binned in
linear or logarithmic space. Thus, we consider the median $c$–$M$ relation throughout this paper, unless otherwise stated. As both the mean and median concentration are of interest, we give the results of our best-fit model for both.

We bin all masses and peak heights in log space, regardless of whether the plots are in linear or log space. The $c$–$v$ and $c$–$M$ relations are binned separately, rather than translating the binned data from mass to peak height or vice versa.

4. RESULTS

In this section we present a universal, physically motivated model of halo concentrations. As a first step, we identify the optimal halo radius definition for such a model.

4.1. Universality and Mass Definition

As we discussed in Section 1, we can generally expect that halo structure, and thus concentration, is a universal function of the shape of the initial density peak. Indeed, numerical studies have demonstrated that concentration is almost universal as a function of redshift at a fixed peak height, but not quite (Zhao et al. 2009; Prada et al. 2012; Ludlow et al. 2014; Dutton & Macciò 2014).

Given that there are many commonly used definitions of the “virial” radius, and thus many definitions of concentration (e.g., $c_{500c}$, $c_{200c}$, $c_{\text{vir}}$, and $c_{200m}$; see Section 2), we ask the question whether concentrations are most universal for a certain definition. For example, in Diemer & Kravtsov (2014) we showed that the density profiles of halo samples of the same peak height, but at different redshifts, are most universal at small radii ($r \lesssim R_{200c}$) when radii are rescaled by $R_{200c}$, whereas they are most universal in units of $R_{200m}$ at large radii. As concentration is a property of the inner halo profile, it stands to reason that the $c$–$v$ relation should be most universal across redshift when $c_{200c}$ is used. Furthermore, Dutton & Macciò (2014) hint at a stronger redshift evolution of definitions other than $c_{200c}$, and Bhattacharya et al. (2013) showed that there is a difference in the evolution of $c_{\text{vir}}$ and $c_{200c}$ at high masses.

Figure 2 shows the $c$–$v$ relations for the $c_{500c}$, $c_{200c}$, $c_{\text{vir}}$, and $c_{200m}$ definitions up to $z = 6$. It is clear that the choice of definition has a large impact on the degree of non-universality in the relations: while the $c_{200c}$–$v$ relation comes closest to universality, the $c_{\text{vir}}$–$v$ and $c_{200m}$–$v$ relations exhibit a much larger evolution at low redshifts. For example, at $v = 1$, $c_{200c}$ barely changes between $z = 1$ and $z = 0$, but the corresponding $c_{200m}$ evolves from ~6 at $z = 1$ to ~11 at $z = 0$. The differences appear at low $z$ because that is the epoch when $\Omega_m$ drops below unity and dark energy starts to dominate, which leads to a different evolution of $\rho_m$ and $\rho_c$. The universality does not further improve over $c_{200c}$ when using definitions with a higher overdensity threshold, such as $c_{500c}$ (left panel of Figure 2).

The results in Figure 2 clearly demonstrate that $c_{200c}$ is preferable when devising a universal model for the $c$–$v$ relation. Many previous works on the $c$–$M$ relation have, in fact, used $c_{200c}$ as their measure of concentration (Navarro et al. 1996, 1997; Jing 2000; Neto et al. 2007; Duffy et al. 2008; Gao et al. 2008; Prada et al. 2012; Ludlow et al. 2014; Dutton & Macciò 2014), but some authors used $c_{\text{vir}}$ (Bullock et al. 2001; Wechsler et al. 2002; Zhao et al. 2009; Klypin et al. 2011; Muñoz-Cuartas et al. 2011) or even $c_{200m}$ (Dolag et al. 2004). Of course, the universality of the $c$–$v$ relation may not be the only consideration when choosing a concentration definition. Different definitions also lead to different redshift evolutions of the concentration of individual halos, and thus different shapes of the $c$–$M$ relation (see Appendix A). Given the results presented in Figure 2, we focus on $c_{200c}$ for the remainder of this paper.

Although the $c_{200c}$–$v$ relation is more universal than definitions using lower overdensities, it still exhibits sizeable deviations from universality of up to 25% around $v \approx 2$, significantly larger than the statistical uncertainty on the relations. We have checked that these deviations are not due to resolution effects by comparing the $c_{200c}$–$v$ relation in simulations with different resolution. Similar results were also recently found by Dutton & Macciò (2014). The non-universality of the $c$–$v$ relation indicates that there is at least one additional parameter besides peak height that influences concentration.

4.2. Analytical Model for Halo Concentrations

Let us consider the parameters that might control halo concentrations and their evolution. As shown in previous studies, the mass dependence of the concentration of halos is a consequence of their MAH (Wechsler et al. 2002; Zhao et al. 2003b, 2009; Ludlow et al. 2013), which, in turn, is determined by the parameters of the background cosmological model. These cosmological parameters control both the growth rate of the initial fluctuations as a function of time and the linear matter power spectrum, $P(k)$. The latter determines the statistical properties of the peaks in the initial density field, such as their peak height as a function of scale, and their curvature. As discussed earlier,
expressing halo masses as peak heights should, in principle, account for the dependence of concentration on cosmological parameters.

However, Figure 2 demonstrates that there is a residual dependence on at least one additional parameter. It is well known that halo concentrations depend on the power spectrum slope in self-similar models (Navarro et al. 1997; Eke et al. 2001; Reed et al. 2005; Zhao et al. 2009; see also Figure 3). A natural candidate for the additional parameter is thus the local slope of the power spectrum,

$$n(k) \equiv \frac{d \ln P(k)}{d \ln k},$$

which for CDM cosmologies changes as a function of physical scale $k$. A fixed $v$ corresponds to different masses at different redshifts, and thus corresponds to different values of $n(k)$.

Physically, $n$ can affect concentrations in two distinct ways. First, it determines the steepness of the mass function of halos that merge with a given halo at different times (Lacey & Cole 1993). It has been shown that the amount of substructure in the accreted matter influences the concentration of a halo (Moore et al. 1999). While the accreted matter smoothly or in low-mass halos is distributed to radii determined by its energy and angular momentum, massive subhalos can lose angular momentum due to dynamical friction and sink to the center of the accreting halo, increasing its concentration (Chandrasekhar 1943; Boylan-Kolchin et al. 2008). The magnitude of this effect has been subject to some debate (Moore et al. 1999; Huss et al. 1999; Colín et al. 2008). Although the effect is relatively small, it is potentially sufficient to explain the modest deviations from universality observed in the $c$--$v$ relation. At the same time, in the regime of a steep power spectrum, halos of different masses collapse closer in time and major mergers will thus be more frequent. This will result in a large fraction of unrelaxed halos, which is known to affect the normalization and slope of the $c$--$v$ relation (Ludlow et al. 2012).

Second, $n$ can affect concentrations via its effect on the shape of the initial density peaks. Dalal et al. (2010) demonstrated that the MAH of a halo and its density profile are tightly connected to the density profile of the corresponding initial peak. Thus, one might expect that some parameter describing the peak shape should be used in our model. To this end, we have explored the average curvature of peaks of a given height (Bardeen et al. 1986; Dalal et al. 2010) as a possible second parameter affecting concentrations at fixed $v$. We found, however, that peak curvature cannot by itself explain deviations from universality observed in the $c$--$v$ relation (see Appendix B for a detailed discussion).

We have chosen $n$ as a second parameter for our model because it likely captures a combination of the substructure and peak shape effects. While we do not have a solid physical model predicting this overall effect, we calibrate it using simulations of power-law cosmologies (Table 1). In such models, the $c$--$v$ relation is expected to be universal across redshifts for a given cosmology, but to depend on $n$, the only input parameter of the model. The left panel of Figure 3 shows the $c$--$v$ relations in our self-similar simulations with $n = -1, -1.5, -2,$ and $-2.5$. For each of these simulations, multiple redshifts are shown in different shadings. As expected, the relations measured at different redshifts are consistent with a single, universal $c$--$v$ relation for a model with a given $n$. However, the figure clearly shows that the overall $c$--$v$ relation does depend strongly on $n$ at a fixed $v$. To quantify this dependence, we fit the $c$--$v$ relations of the self-similar models with the following double power-law function,

$$c_{200c} = \frac{c_{\min}}{2} \left[ \left( \frac{v}{v_{\min}} \right)^{-\alpha} + \left( \frac{v}{v_{\min}} \right)^{-\beta} \right],$$

where the concentration floor, $c_{\min}$, and its location, $v_{\min}$, are assumed to depend linearly on the power spectrum slope,

$$c_{\min} = \phi_0 + \phi_1 n,$$

$$v_{\min} = \eta_0 + \eta_1 n,$$

while the slopes $\alpha$ and $\beta$ are fixed. This functional form matches the results of all four self-similar simulations well with only six parameters which can be determined via a least-squares fit. However, we are primarily interested in devising a model that works for all cosmologies, and is particularly accurate for $\Lambda$CDM. In $\Lambda$CDM models, $n$ varies over a considerably narrower range than in the self-similar models we have explored. The right panel of Figure 3 shows the results of the $n = -2$ and $n = -2.5$ simulations, as well as the $c$--$v$ relations in our fiducial $\Lambda$CDM cosmology at different redshifts. The $\Lambda$CDM relations mostly lie between the relations for the $n = -2$ and $n = -2.5$ models, which approximately corresponds to the range of $P(k)$ slopes at the relevant scales in the $\Lambda$CDM power spectrum.
Figure 3. Median \( c \rightarrow v \) relations for self-similar (left panel) and ΛCDM (right panel) cosmologies. The shaded areas show the statistical uncertainty around the median relations. Left panel: concentrations in the four self-similar cosmologies (Table 1). The colors correspond to the four different slopes \( n ( -1, -1.5, -2, \text{ and } -2.5) \), while the shading of the lines indicates redshift, with darker lines corresponding to lower redshifts. The respective redshifts are \( (2, 3, 4, 6, 8) \) for \( n = -1.5 \). The changing shape of the median represents the changing shape of the dark matter power spectrum, while the shading of the lines indicates redshift, with darker lines corresponding to lower redshifts. The respective redshifts are \( (2, 3, 4, 6, 8) \) for \( n = -1.5 \).

Figure 4. Logarithmic slope of the linear matter power spectrum, \( n \), evaluated at different scales, peak heights, and redshifts. Left panel: the slope of \( P(k) \) computed from the power spectrum produced by the CAMB Boltzmann code (Lewis et al. 2000, solid blue line), as well as from the \( P(k) \) approximation of Eisenstein & Hu (1998; dashed red line) without baryon acoustic oscillations to avoid oscillatory behavior in \( n \) for the largest halos. The top axis shows the halo mass corresponding to the Lagrangian volume of radius \( R = 2\pi/k \). This scale gives a rough indication of what part of the power spectrum is most important for the formation of halos of a given mass. The decrease in power in the CAMB spectrum around \( k \approx 100 h^{-1} \) Mpc is caused by the pressure of baryons which is not modeled in the Eisenstein & Hu (1998) approximation. We note, however, that the Nyquist frequency of our smallest simulation box is \( k_N = 103 h^{-1} \) Mpc so that these small scales are barely resolved. Right panel: the slope at \( k_B (v, z) = 2\pi R \) (Equation (12)), evaluated for halos of different peak heights at different redshifts. At high \( z \), the masses and radii corresponding to a fixed \( v \) are smaller, leading to steeper slopes.

However, before we can test whether our model in Equation (9) also applies to ΛCDM cosmologies, we need to properly define \( n \) for such models. The simplest definition of \( n \) is the local slope of \( P(k) \) at some scale \( k \). A natural scale for the “size” of a halo is its Lagrangian radius,

\[
R = \left( \frac{3M}{4\pi \rho_m(z = 0)} \right)^{1/3},
\]

the same expression used to convert halo mass to radius in the definition of peak height in Equation (6). The left panel of Figure 4 shows the logarithmic slope of \( P(k) \) for our fiducial cosmology as a function of scale, \( k \). The corresponding mass scales, \( k = 2\pi / R \), are indicated in the top axis. We compute \( P(k) \) using the approximation of Eisenstein & Hu (1998), namely the version without baryon acoustic oscillations as they introduce oscillatory behavior at the very highest halo masses. For comparison, we also show the slope of the exact power spectrum, computed by the Boltzmann code CAMB (Lewis et al. 2000).

The scale of the relevant effective slope will not, in general, be equal to the scale defined in Equation (11) because the mass spectrum of halos with which a given halo merges is
Figure 5. Comparison of our model with simulation data for the fiducial $\Lambda$CDM cosmology. The dashed lines show the median $c$--$v$ (left) and $c$--$M$ (right) relations predicted by our $c(v, n)$ model, whereas the solid lines and shaded areas show the median concentrations of simulated halos and their statistical uncertainties. Our model fits the measured relations to better than $\sim 5\%$ at those $v$ and $z$ where $c$ is measured reliably. The steepening of the slope of the local power spectrum at higher $z$ explains the decrease of the minimum concentrations and the more pronounced upturn in the $c$--$v$ relation at high $v$.

Table 3

| Param. | Value | Description | Equation |
|--------|-------|-------------|----------|
| $\kappa$ | 0.69 | Location in $k$-space where slope is evaluated | 12 |
| $\sigma$ | 0.16 | 68% scatter in concentration (dex) | - |

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Determining the effective wavenumber, $k_R(v, z) \equiv \kappa \frac{2\pi}{R}$, (12)

which corresponds to a wavelength of $1/\kappa$ times the Lagrangian radius of a halo, where $\kappa$ is a free parameter defining the scale of the effective slope. Through experimentation, we found that the local slope at $k_R$ with $\kappa$ close to 1 (see Table 3 for the exact value) is a suitable estimate of the effective $n$ for our purposes.

Figure 4 shows the resulting $n(v, z)$ for the redshifts and peak heights considered in this study. At higher $z$, a fixed $v$ corresponds to smaller halos, smaller scales, and thus steeper slopes.

We are now in a position to test whether the fitting model of Equation (9) works for $\Lambda$CDM as well as for the power-law cosmologies. In particular, we seek a set of best-fit values of the six parameters in Equation (9), as well as a best-fit value of $\kappa$, which lead to a good fit to the simulated $c$--$v$ relations in the power-law cosmologies, our fiducial cosmology, and the Planck, High-$\sigma_8$, and High-\$\Omega_m$ cosmologies. We estimate these best-fit parameters by performing a global least-squares fit over the simulation results for all cosmologies, masses, and redshifts. We assign the $\Lambda$CDM cosmologies a weight five times larger than that of the power-law cosmologies because we want to achieve the highest accuracy for the $\Lambda$CDM models. As a result, our model matches the $\Lambda$CDM cosmologies to better than $\approx 5\%$ at all redshifts and masses where the $c$--$v$ relation is determined reliably, while it matches the power-law cosmologies to better than $\approx 15\%$. Detailed comparisons between our model and simulation data are shown in Figure 5 for the fiducial cosmology, Figure 6 for the Planck, High-$\sigma_8$, and High-$\Omega_m$ cosmologies, and Figure 7 for the self-similar cosmologies. The best-fit parameters for the mean and median $c$--$v$ relations are listed in Table 3. Our model natively predicts $c_{200c}$, and so Figures 5–7 show comparisons in this mass definition. However, the $c_{200c}$ predictions can be converted to other mass definitions assuming a particular form of the density profile, as discussed in Appendix C.
Figure 6. Same as Figure 5, but for the Planck, High-$\sigma_8$, and High-$\Omega_m$ cosmologies. Given that the $c-v$ and $c-M$ relations for these cosmologies are relatively similar to those in the Bolshoi cosmology, only the relative differences between our model and the simulation results are shown. Our model describes the relations to $\lesssim 10\%$ accuracy. See the text for a detailed discussion.

Figure 5 shows that our model naturally captures the shape of the $c-v$ and $c-M$ relations in the $\Lambda$CDM cosmology at high redshifts, namely the decreasing minimum concentration and the progressively more positively sloped relations at high $v$ and $M$. We note that the slopes at low and high $v$, $\alpha$, and $\beta$, are constant with redshift. However, because the simulations probe different ranges of $v$ at different redshifts, the slope of the relation appears to evolve.

The top panel of Figure 6 shows the residuals between our model and the $c-v$ relations in the Planck cosmology. At fixed mass, the Planck concentration are $\approx 15\%$ higher than those in our fiducial cosmology, in agreement with the results of Dutton & Macciò (2014). This shift is mostly caused by the higher values of $\Omega_m$ and $\sigma_8$ (Dooley et al. 2014). At $z = 0$, our model fits the Planck cosmology simulation results very well, whereas it underestimates the Planck concentrations at $z > 0$ by $\approx 5\%$--$10\%$, within the statistical accuracy of our model. Similarly, our model fits the High-$\sigma_8$ and High-$\Omega_m$ $c-v$ relations reasonably well. Those relations are also about $10\%$ higher than in our fiducial cosmology. At higher $z$, the simulation data seem to show a small $\approx 5\%$ residual excess over our model, again within the uncertainty of the model and our simulation results.

Finally, Figure 7 shows a comparison of our model predictions and the $c-v$ relations for the self-similar cosmologies. Due to the lower weight given to these models in our parameter fit, the agreement is somewhat worse than for the $\Lambda$CDM cosmologies, about $15\%$ for the steeper slopes. In particular, we note that the self-similar models prefer a steeper $c-v$ relation at low $v$, i.e., a larger value of $\alpha$. Such discrepancies could of course be fixed at the expense of more free parameters. However, given that self-similar models are only of academic interest, and that the exact values of concentration can vary by at least $\approx 10\%$--$15\%$ due to fitting methods, binning, and other factors, the achieved accuracy is more than sufficient.

4.3. Model Predictions for High-redshift Micro-halos

The concentration model presented above was calibrated using simulation results for relatively massive halos expected to host galaxies. However, it is interesting to test whether the model correctly extrapolates to simulation results outside this regime. For example, the $c-M$ relation is often modeled with power-law functions that extrapolate to very high concentrations for the smallest, Earth–mass halos. In contrast, power-law functions in $c-v$ space lead to a flattening of the $c-M$ relation at low masses, thereby predicting much smaller concentrations in the low-mass regime (see, e.g., Figure 10 in Ludlow et al. 2014).

In Figure 8 we compare the concentrations measured in simulations of micro-halos reported by various groups, as well as the predictions of our model. The halo masses range from $2 \times 10^{-7} h^{-1} M_\odot$ to $10 h^{-1} M_\odot$, up to 16 orders of magnitude smaller than the smallest halos used to calibrate the model. In the three numerical studies we compare to, the density profiles are fit with an extended NFW profile with a variable inner slope. In Anderhalden & Diemand (2013) and Ishiyama (2014), a conversion to standard NFW concentration is provided. The profile fitted to the halos in Diemand et al. (2005) has an inner slope of $\alpha = -1.2$. We convert the given concentration ($c = 1.6$) using the formula of Ricotti (2003), $c_{\text{NFW}} = c_\alpha/(2 - \alpha)$, giving $c_{\text{NFW}} = 2$. The results of the three different studies are consistent with each other and show that micro-mass halos have low concentrations, $1 \lesssim c \lesssim 3$, with no discernible dependence on halo mass.

The solid blue line in Figure 8 shows the prediction of our model at $z = 30$ which matches the simulation results reasonably well. At the very high redshift and very small scales we are considering, the power spectrum slope approaches a nearly constant value of $-2.9$ in the case of the fiducial cosmology (Figure 4). Thus, the prediction becomes similar to predictions at fixed slope $n$, while the exact cosmology matters relatively
compare our results with those of previous numerical studies (Section 5.1) and general concentration models previously proposed in the literature (Section 5.2). Finally, we compare our results with current observational estimates of halo concentrations on cluster mass scales (Section 5.3).

5.1. Comparison with Previous Numerical Calibrations

Our simulation results generally agree with the recent study of Dutton & Macciò (2014, compare, for example, their Figure 14 and our Figure 5). They conclude that concentrations in the Planck cosmology are $\approx 20\%$ larger than in the WMAP5 cosmology, which is consistent with our findings. The comparison in the bottom right panel of Figure 9 shows a good overall agreement of Dutton & Macciò (2014) and the predictions of our model for the same Planck cosmology. Considering that the results were obtained using different $N$-body codes, halo finders, and were subject to different resolution limits, the good agreement is reassuring.

However, there are also important differences: at higher $z$, the power-law fits become progressively poorer descriptions of the shape of the $c-\nu$ relation over the mass range probed. Our model approaches a power law in $c-\nu$ space at low $\nu$, and has an upturn at high $\nu$. In contrast, a power-law in $c-M$ space extrapolates to large concentrations at small masses, and small concentrations at large masses. The high-$z$ results in Section 4.3 highlight the low-mass issue in particular. Many other studies have used power-law fits to the $c-M$ relations measured in simulations as a compact way to approximate their numerical results (Jing 2000; Dolag et al. 2004; Neto et al. 2007; Duffy et al. 2008; Gao et al. 2008; Macciò et al. 2008; Klypin et al. 2011; Muñoz-Cuartas et al. 2011). These calibrations will likewise be inaccurate at low and high masses.

For this reason, both Prada et al. (2012) and Ludlow et al. (2014) have recently advocated modeling the $c-\nu$ relation rather than the $c-M$ relation, and Bhattacharya et al. (2013) have approximated the concentrations in their simulations using power-law fits in $c-\nu$ space (bottom center panel of Figure 9). Their fits were calibrated for a wide range of cosmologies, but only for high masses, $M > 2 \times 10^{13} h^{-1} M_\odot$, and clearly cannot be extrapolated to low masses because the $c-\nu$ relation significantly steepens at low $\nu$. Overall, our results clearly show that a power-law is not a good approximation to the $c-\nu$ relation over a wide range of $\nu$. Furthermore, Bhattacharya et al. (2013) find much stronger deviations of the $c-\nu$ relation from universality than we do. For example, they observe a $\approx 30\%$ difference in normalization between $z = 0$ and $z = 2$ for massive halos (their Figure 2). This difference leads to a strong redshift evolution of their fitting function, in disagreement with both our model and the results of Dutton & Macciò (2014). The reason for this discrepancy is not entirely clear, but we note that it arises primarily at $z > 1$ (Figure 9).

We measure the $68\%$ rms scatter in $\log_{10}(c_{200c})$ around the median concentration and find it to be $\approx 0.16 \text{dex}$, independent of redshift, peak height, or mass definition. This value is in excellent agreement with various previous measurements (e.g., Bullock et al. 2001; Wechsler et al. 2002; Duffy et al. 2008; Bhattacharya et al. 2013). Some authors have measured lower values of $\approx 0.10 \text{dex}$ (Macciò et al. 2008; Dutton & Macciò 2014), but for samples that included only relaxed objects (Bhattacharya et al. 2013). We note that we have not corrected the scatter for any errors in the concentration measurements, i.e., uncertainties in the best-fit parameters of the NFW profile.

![Figure 8. Concentrations of micro-mass halos at high redshifts measured in cosmological simulations and predicted by different models. The solid blue line shows the prediction of our model at $z = 30$ for our fiducial CDM cosmology. At such high redshift, the size scales involved are small, $k_0$ is large, and the power spectrum approaches a constant slope of about $-2.9$ (Figure 4). For some of the simulations, the initial power spectrum had an explicit cutoff at the free-streaming scale and is thus not well described by the Eisenstein & Hu (1998) approximation. Near this cutoff, the effective slope could easily be as steep as $n = -3.5$ (the model prediction for this slope is shown in the dashed blue line). The simulation results from Diemand et al. (2005) refer to their quoted concentrations for two individual halos (triangles). The results of Anderhalden & Diemand (2013) (squares) correspond to their simulation without a power spectrum cutoff. The shaded area around the Ishiyama 2014 results (circles) indicates the 33% and 66% range. The predictions of the Bullock et al. (2001), Zhao et al. (2009), and Prada et al. (2012) models at $z = 30$ are plotted for comparison (gray lines). See Section 4.3 for a detailed discussion.](image-url)
The measured scatter is thus an upper limit on the true scatter in concentration.

5.2. Comparison with Previous Concentration Models

While the power-law fits discussed in the previous section allow a simple and compact parameterization of simulation results, they extrapolate inaccurately outside the range of redshifts, masses, and cosmologies over which they were calibrated. A number of authors have thus proposed more physically motivated models of concentration, calibrated using simulations. Most of these models have used the tight coupling between concentrations and halo MAHs (Navarro et al. 1997; Bullock et al. 2001; Wechsler et al. 2002; Zhao et al. 2003b; Giocoli et al. 2012). Here we compare our results in detail to four such models (Bullock et al. 2001; Eke et al. 2001; Zhao et al. 2009; Ludlow et al. 2014), and to the empirical $c$-$\nu$ model of Prada et al. (2012). We consider both $\Lambda$CDM (Figure 9) and self-similar cosmologies (Figure 10). While the latter models do not represent the real universe, they provide an interesting test of the universality of any model that relies on $P(k)$ for its predictions, and thus any model that works with $\sigma(R)$, $M^*$, or $\nu$.

The model of Bullock et al. (2001; we use the improvements to this model proposed by Macciò et al. 2008) predicts a simple scaling with redshift, $c_{\nu} \propto a/\alpha_c$, where $\alpha_c$ is the expansion factor at the epoch when the halo assembled a certain fraction of its mass. The comparison with our model shows that this model captures the $c$-$M$ relation at $z = 0$ well, and also predicts the correct redshift evolution at low $\nu$ where it was calibrated. However, the model does not reproduce the upturn or even a flattening at high $\nu$ and $z > 0$, which is clearly visible in our results and other recent studies. Similarly, for self-similar cosmologies the Bullock et al. (2001) model matches our simulation results at low $\nu$ but fails at high $\nu$. Thus, although Figure 8 shows that the Bullock et al. (2001) model predicts the concentrations of micro-mass halos at $z = 30$ correctly, this agreement may be coincidental, as the model does not match halo concentrations at similar peak heights at $z \lesssim 6$. For example, at $\nu \gtrsim 2$ and $z = 6$ (corresponding to $M > 10^9 M_\odot$), the prediction of the Bullock et al. (2001) model does not match our results (see Figure 9).

Eke et al. (2001) expanded on the models of Navarro et al. (1997) and Bullock et al. (2001) by adding an explicit dependence on the power spectrum slope via a term proportional to $d \log \sigma(M)/d \log M$, and a similar dependence is already implicit in the Bullock et al. (2001) model. The two main differences between these models and ours are that instead of the slope of $\sigma(M)$ we consider the slope of $P(k)$, and that in their models the power spectrum slope influences concentration through the formation redshift of a halo. As a result, $n$ significantly changes the normalization of the $c$-$M$ relation, but its shape varies relatively little with $n$. In contrast, in our model both the
normalization and shape of the relation explicitly depend on \( n \) (Figure 9). These differences are particularly apparent in the predictions for self-similar cosmologies (Figure 10). Both the Bullock et al. (2001) and Eke et al. (2001) models predict a power-law shape, while the actual \( c - \nu \) relation at high \( \nu \) flattens and even turns up. In addition, the model of Eke et al. (2001) predicts a stronger dependence of the normalization on \( n \) than we observe in our scale-free simulations.

In the model of Zhao et al. (2009), concentration is a function of the time since a halo accumulated 4% of its mass, with a floor of \( c_{\text{vir}} \) \( \gtrsim 3.8 \) (corresponding to \( c_{200c} \) \( \gtrsim 3.8 \)). Thus, all halos in the fast accretion regime (i.e., during their early evolution) have concentrations around 4, whereas their concentration increases later in the slow accretion regime. While our models agree fairly well at low \( z \), they diverge at higher \( z \), where the Zhao et al. (2009) model predicts a mass-independent \( c_{\text{vir}} \) \( \approx 4 \). Our results (see also Dutton & Macciò 2014) show that there is no well-defined floor in the concentration values: halos that form from the collapse of perturbations with a steep power spectrum have concentrations much smaller than the floor value adopted by Zhao et al. (2009). A similar picture emerges for the self-similar cosmologies, where the model predicts virtually no \( n \)-dependence at high \( \nu \), and is thus incompatible with our simulation results.

Ludlow et al. (2014) have recently argued that concentration does not only reflect the formation epoch of a halo (as previously advocated by Wechsler et al. 2002; Zhao et al. 2003b), but that the entire density profile of a halo is a one-to-one reflection of the critical density of the universe when different parts of the halo were assembled. Based on this insight, as well as an analytic prescription for the assembly history of halos, they propose a \( c - \nu \) model that agrees with ours relatively well at \( z = 0 \). At high redshifts, however, their model does not match our simulation results because they assume the \( c - \nu \) relation to be universal in redshift. Their model also does not exhibit the upturn at high masses that is apparent in our simulations (see also Prada et al. 2012; Dutton & Macciò 2014), presumably because they only consider relaxed halos.

Finally, we compare our model to that of Prada et al. (2012) which, unlike the models discussed so far, is not based on the formation time of halos. Instead, they propose a fitting formula with 13 free parameters to the \( c - \nu \) relation in their \( \Lambda \)CDM simulations and its redshift dependence. The bottom left panel of Figure 9 shows large differences between our models, which probably arise because we estimate concentrations from direct fits to the mass profile while Prada et al. (2012) derive them from the maximum circular velocity, \( v_{\text{max}} \), of halos and assume the NFW form. They also bin concentrations in \( v_{\text{max}} \) rather than in mass. Both choices have been shown to significantly affect the resulting \( c - M \) relation (Meneghetti & Rasia 2013). In addition to the large differences in normalization and slope, the upturn at high \( \nu \) and high \( z \) is weaker in our simulations. However, the main difference between our models is in the physical mechanism invoked to explain the non-universality of the \( c - \nu \) relation. While Prada et al. (2012) make an empirical redshift correction based on the linear growth factor, our model explains the non-universality using \( n \) as a second parameter in addition to \( \nu \). For the self-similar cosmologies (Figure 10), the Prada et al. (2012) model predicts no dependence on \( n \), and thus fails to reproduce our simulation results.

Sanchez-Conde & Prada (2014) recently investigated the predictions of the Prada et al. (2012) model for micro-halos, and concluded that the model is in good agreement with simulation results in \( c - M \) space, in contradiction with the comparison shown in our Figure 8. However, their conclusion appears to be based on the top panel of their Figure 1 in which high-\( z \) results for \( c_{200c} \) are rescaled to \( z = 0 \) using the \( c - \nu \) relation (Meneghetti & Rasia 2013). This scaling was derived for \( c_{\text{vir}} \) and is inaccurate for \( c_{200c} \) (see Appendix A). When this correction is not applied (bottom panel of their Figure 1), the model of Prada et al. (2012) does indeed predict concentrations a factor of two higher than the simulation results, consistent with our findings.

In conclusion, none of the universal models previously proposed in the literature can explain our simulation results over the full range of masses and redshifts probed. Particularly, at high masses and high redshifts the assumptions of many models are too simplistic, e.g., a concentration floor at high masses, or no flattening of the relation at all. Recently, there has been significant debate regarding the high-\( \nu \) behavior of the \( c - \nu \) relation (Prada et al. 2012; Ludlow et al. 2012; Bhattacharya et al. 2013; Meneghetti & Rasia 2013; Ludlow et al. 2014). In particular, the exponential upturn detected by Prada et al. (2012) was traced to their binning scheme and the \( v_{\text{max}} \) approximation (Meneghetti & Rasia 2013). The largest halos are often the least well fit by NFW profiles (Duffy et al. 2008; Diemer & Kravtsov 2014), leading to the greatest differences between scale radii estimated using the \( v_{\text{max}} \) approximation and those derived from a profile fit. Furthermore, Ludlow et al. (2012) showed that the upturn is due to unrelaxed halos. These differences seemed to
explain why the model of Prada et al. (2012) differs from all other models, particularly at the high-$v$ end.

Nevertheless, we also find strong evidence for an upturn at high $v$, i.e., fits of Equation (9) with $\beta \leq 0$ result in a very poor match with our simulated concentrations. While there is no clear evidence for an upturn in the low-$z$ data for LCDM cosmologies (Figure 2), the high-$z$ relations clearly take on a positive slope. The same is true for the low-$n$ self-similar cosmologies. Ludlow et al. (2012) showed that the upturn can be removed, but only if a large fraction of all halos is excluded as unrelaxed, particularly at high $z$ (see their Table 1). Since we choose to consider the full halo sample, our model does predict an upturn. This feature is particularly salient in regimes where halos form in regions with a steep slope of the power spectrum, which leads to halos of different masses forming at a similar time, and thus a higher fraction of unrelaxed halos. While the NFW profile does not fit those objects as well as slowly accreting objects (Diemer & Kravtsov 2014), the 68% scatter in concentration does not increase at high mass, indicating that the concentrations in this regime are measured with similar accuracy as for relaxed objects.

5.3. Comparison with Observations

Measuring halo concentrations in observed systems is challenging as it requires accurate measurements of the dark matter density profile. The most accurate measurements have been derived from either X-ray or lensing observations of clusters of galaxies, but the results initially appeared to be in tension with simulations. For example, the X-ray results of Schmidt & Allen (2007) and Ettori et al. (2010) seemed to indicate a much steeper slope of the $c-M$ relation than measured in simulations. However, Rasia et al. (2013) pointed out that there are many factors that can lead to such disagreement, for example, baryonic effects, deviations from the assumption of hydrostatic equilibrium, or the X-ray selection function. Similarly, lensing observations seemed to indicate an “overconcentration” problem, with low-mass clusters having much higher concentrations than predicted by simulations, and thus a steeper overall $c-M$ relation than expected (e.g., Oguri et al. 2012; Wiesner et al. 2012). Recently, however, Auger et al. (2013) pointed out that the observed steepness of the $c-M$ relation is an artifact of neglecting the covariance between the errors in mass and concentration.

A good agreement between observations and simulations was recently reported for the results of the CLASH cluster lensing survey (Umetsu et al. 2014; Merten et al. 2014). When the X-ray selection function is taken into account, the $c-M$ relation measured in their simulations (Meneghetti et al. 2014) matches the observations very well. The CLASH simulations were non-radiative and thus do not reproduce important baryonic effects which can change the total mass profile of halos (e.g., Rudd et al. 2008). Furthermore, the translation between $N$-body and hydrodynamic simulations is more complicated than a simple shift in the $c-M$ relation (Velliscig et al. 2014). Nevertheless, the good agreement between the CLASH observations and simulations indicates that we can attempt at least a rough comparison between the CLASH results and our model, even though our model (as well as the models from the literature discussed in the previous sections) are based on pure dark matter simulations. In any case, the uncertainties in the observational data are still significantly larger than baryonic effects (Meneghetti et al. 2014).

Figure 11 shows our model (blue line), evaluated at the mean redshift of the CLASH clusters, $z = 0.4$. In their weak-lensing analysis of 16 X-ray selected CLASH clusters, Umetsu et al. (2014) find a mean concentration of $c_{200c} = 4.01^{+0.35}_{-0.32}$ at an effective halo mass of $9.38^{+0.70}_{-0.63} \times 10^{14} \, h^{-1} M_\odot$ and a mean redshift of $z = 0.35$ (red point), in good agreement with our model which predicts $c_{200c} = 3.73$, within 1$\sigma$ of the measured result. Merten et al. (2014) analyze both the weak and strong lensing signals of 18 CLASH clusters, and find masses and concentrations for each individual cluster (blue points). For the comparison in the bottom panel of Figure 11, our model was evaluated at the redshift of each individual cluster. Merten et al. (2014) concentrations are distributed around $c_{200c} = 3.7$ with a weak mass dependence, in excellent agreement with our model.

In conclusion, within the current accuracy of lensing observations, there is no evidence for strong, $\gtrsim 20\%$, baryonic effects on the concentrations of clusters. Note, however, that the scatter of the individual cluster concentrations around the mean is about 0.08 dex, significantly smaller than what we measure for simulated halos. However, as we noted previously, our scatter estimate includes errors in the concentration measurement and is thus an upper limit of the true scatter. Detailed comparisons of the predicted and observed scatter will demand larger, mass-selected samples and more careful estimates of the fit errors in simulation analyses.

6. CONCLUSIONS

An accurate calibration of halo concentrations as a function of mass, redshift, and cosmology is important for our understanding of halo structure and interpretation of observations. We have presented a numerical study of halo concentrations in LCDM and self-similar cosmologies, and a universal model that
accurately describes our simulation results across the entire range of masses, redshifts, and cosmologies we explored, including scale-free $\Omega = 1$ cosmologies, as well as the concentrations of Earth–mass halos. Our main conclusions are as follows.

1. The relation between concentration and peak height exhibits the smallest deviations from universality for halo radii defined with respect to the critical density of the universe. Definitions using the virial density contrast, or a contrast relative to the mean density, result in much larger deviations from universality.

2. Our simulations show that both the normalization and shape of the $c$–$\nu$ relation depend on the local slope of the matter power spectrum, $n$. In particular, we find that there is no well-defined floor in the concentration values. Instead, the minimum concentration value depends on redshift: at fixed $\nu$, a higher $z$ corresponds to steeper values of $n$, and lower minimum concentrations. The $c$–$\nu$ relation for steep spectral slopes exhibits a well-defined upturn at high $\nu$, which is likely associated with an increased fraction of unrelaxed halos in such a regime.

3. We show that concentrations can be described as a function of only two parameters, peak height, $\nu$, and the slope of the linear matter power spectrum, $n$. In ΛCDM cosmologies, we define $n$ as the local slope of the power spectrum at a scale close to the Lagrangian radius of a halo.

4. We present a seven-parameter, double power-law functional form approximating the $c(\nu, n)$ relation which can easily be evaluated for any known power spectrum. This function fits concentrations in the fiducial ΛCDM cosmology to $\lesssim 5\%$ accuracy, and those in scale-free $\Omega_m = 1$ models to $\lesssim 15\%$ accuracy. The model predicts the low concentration values of Earth–mass micro-halos at $z \approx 30$, and thus correctly extrapolates over 16 orders of magnitude in halo mass.

5. The predictions of our model significantly differ from all models previously proposed in the literature at high masses and redshifts. For lower masses, we find that our results are well approximated by the model of Bullock et al. (2001).

6. The predictions of our model for the average concentrations of cluster halos are in excellent agreement with the recent observational measurements from the CLASH cluster lensing survey.

We provide a public, stand-alone Python code to evaluate the predictions of our model for arbitrary cosmologies at www.benediktdiemer.com/code. We also provide tables of concentration as a function of mass and redshift for a large range of cosmologies at the same website under www.benediktdiemer.com/data.

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**APPENDIX A**

**ON THE REDSHIFT EVOLUTION OF INDIVIDUAL HALOS**

In this Appendix we briefly comment on the evolution of the concentrations of individual halos. Bullock et al. (2001; see also Wechsler et al. 2002) showed that halo concentrations evolve as $c_{\nu} \propto a$ if they are defined using the radius enclosing a variable, “virial” overdensity. However, this scaling is sometimes used in the literature to describe other definitions of concentration. For example, a number of authors have used the scaling to translate very high redshift concentrations to $z = 0$ (Anderhalden & Diemand 2013; Sanchez-Conde & Prada 2014; Ishiyama 2014).

Figure 12 demonstrates why the scaling is inappropriate for such applications. The figure shows the evolution of $c_{\nu}$ (solid dark blue line) for a hypothetical halo with $M_{\nu} = 10^{12} h^{-1} M_\odot$ at $z = 0$, as predicted by the MAH model of Zhao et al. (2009).

At each redshift, we also compute $c_{180m}$ and $c_{180c}$ corresponding to the same physical density profile (green and cyan lines). The evolutions of the different concentration definitions are quite similar at high $z$, but diverge at $z \lesssim 2$, where $\Omega_m < 1$, and thus $\rho_c$ and $\rho_m$ evolve differently. The dashed blue line shows the $c_{\nu} \propto a$ scaling which is a good description of the evolution of $c_{\nu}$, but only for $c_{\nu}$ and only after the formation redshift. Thus, the scaling could only be applied to the evolution of $z = 30$ micro-halos if they stopped growing and merging at that redshift, which seems unlikely.

In Diemer et al. (2013b), we demonstrated that the evolution of the $c$–$M$ relation at low redshifts can almost entirely be explained by the pseudo-evolution of the outer halo radius, related to the evolution of the reference density, as opposed to real physical growth. Once halos enter the slow-accretion regime, their physical density profile, and thus their scale radius, barely change. Due to the decreasing reference density (critical or mean density of the universe), the virial radius, and thus...
concentration, grow. We checked that a simple model of a fixed, pseudo-evolving halo density profile describes the low-redshift evolutions shown in Figure 12 quite well. This agreement leads to the conclusion that the $c_{\text{vir}} \propto a$ scaling just happens to reproduce the pseudo-evolution of $R_{\text{vir}}$.

Finally, we note a subtle secondary effect due to a combination of pseudo-evolution and cosmology. The critical density, in physical units, depends on $H_0$ and $\Omega_m$, and hence differs between our cosmologies. Thus, for the same physical object, we measure a different $R_{200c}$ and $c_{200c}$. For example, the physical overdensity that corresponds to $200\rho_c$ at $z = 0$ in the Bolshoi cosmology corresponds to $218\rho_c$ in the Planck cosmology. We have quantified this effect and found it to change the $c-v$ relations by a few percent. The redshift dependence is fairly complicated though, and we have chosen to ignore the issue.

APPENDIX B
PEAK CURVATURE AS A SECONDARY PARAMETER

In Section 4.2 we discussed how the slope of the matter power spectrum might influence concentration through two distinct physical effects: the abundance of sub-structure, and the shape of the peaks in the initial Gaussian random field. In this section, we describe our efforts to model the latter effect directly.

Dalal et al. (2008) demonstrated that the shape of a peak in the initial Gaussian density field determines the mass accretion history of the halo it seeds; shallow peaks will collapse quickly, while steeper parts will collapse slowly. Since the accretion history of a halo is intimately linked to its density profile and concentration (Ludlow et al. 2013, 2014), the shape of the initial peak has some bearing on the concentration of its offspring halo (Dalal et al. 2010).

We can obtain a rough estimate of the “steepness” of a peak using the curvature parameter, defined as $x \equiv -\nabla^2 \delta/\sigma_2$ (Bardeen et al. 1986). Here, $\delta$ is the overdensity field and $\sigma_2$ is the second moment of the variance (Equation 4.6c in Bardeen et al. 1986), or Equation (5) with an extra $k^4$ factor in the integral. The higher moments such as $\sigma_2$ are ill-defined for the top-hat filter, and we thus switch to a Gaussian filter for this calculation. We compute the average curvature of peaks at fixed $v$, $\langle x \rangle$, using the approximation given in Equation (6.14) of Bardeen et al. (1986). We have checked this approximation against the exact integral in Equation (A14) and found it to be accurate at the percent level.

Figure 13 shows $\langle x \rangle$ as a function of redshift and top-hat $v$. Due to its dependence on $k$, $\langle x \rangle$ differs with redshift at fixed $v$ and is thus a candidate for causing the non-universality of the $c-v$ relation. However, we note that at $v \approx 1$ the differences in $\langle x \rangle$ vanish, while it is precisely around this $v$ range that we observe the largest deviations from universality of the $c-v$ relation (Figure 5). Hence, the variations in $\langle x \rangle$ cannot be accounted for the non-universality of the $c-v$ relation.

This failure does not necessarily imply that peak curvature is not partly responsible for the non-universality in the $c-v$ relation. However, using curvature as one of the variables controlling concentrations would require at least one additional variable to explain the deviations from universality at low $v$. Furthermore, there are two possible reasons why $\langle x \rangle$ might not be the optimal parameter to consider. First, the mean curvature is computed for all peaks, but not all peaks form halos. In particular, small peaks are likely to be absorbed into larger halos (the so-called cloud-in-cloud problem; Bardeen et al. 1986). Thus, the mean curvature in the low-$v$ regime does not correspond to the mean curvature of those peaks that end up forming halos. Second, $\langle x \rangle$ may not describe the slope of the outer profile accurately; for this reason, Dalal et al. (2010) linked concentration to a particular measure of the outer slope of peaks as an alternative. Unfortunately, this measure suffers from the cloud-in-cloud problem as well.

Given these difficulties, the effect of peak shape would need to be directly measured in simulations, for example by tracing halos back to their initial Lagrangian volume and comparing their peak profile and concentration. However, the success of our model indicates that the dependence on the peak shape is already taken into account through the explicit dependence on the power spectrum slope.

APPENDIX C
CONVERSION TO OTHER MASS DEFINITIONS

The model proposed in Section 4.2 is based on the $c_{200c}$ definition of concentration, and makes no direct prediction for the $c-M$ relation in other mass definitions. A conversion to other definitions can be performed a posteriori, but necessarily assumes a particular form of the density profile as a function of $M_{200c}$ and $c_{200c}$. If this functional form does not match the true density profile of halos, the $c-M$ relations predicted for other mass definitions will deviate from those found in simulations. Figure 14 shows the accuracy of the $c-v$ relation for $c_{500c}$, $c_{\text{vir}}$, and $c_{200m}$, as well as $c_{200c}$ for comparison. The conversion from $c_{200c}$ was performed assuming NFW density profiles. It is clear that the accuracy in the mass definitions other than $c_{200c}$ is slightly degraded, though only in particular mass and redshift regimes.

In the case of $c_{500c}$, the difference with simulation results is caused by a systematic deviation of the NFW approximation from the real density profiles. Namely, at the highest peak heights, the profiles are steeper than predicted by the NFW profile, leading to a slightly underestimated $R_{500c}$ (see, e.g., Figures 2 and 4 in Diemer & Kravtsov 2014). The accuracy of the prediction can be improved to $\lesssim 10\%$ when using the profile form suggested by Diemer & Kravtsov (2014).

For $c_{\text{vir}}$ and $c_{200m}$, deviations appear only at low redshifts because $R_{200m}$, $R_{\text{vir}}$, and $R_{200c}$ are almost the same radius at high redshift. At $v \lesssim 2$ (roughly $10^{14} \ h^{-1} M_\odot$ at $z = 0$), $c_{\text{vir}}$ is overestimated by $\sim 5\%$ and $c_{200m}$ by $\sim 10\%$. At the highest peak heights, the differences increase to $\sim 15\%$ and $\sim 20\%$ for $c_{\text{vir}}$ and $c_{200c}$, respectively. While using the Diemer & Kravtsov
conversion, the mean or median concentrations are biased high. An accurate description of the mean or median profile is used in the context of our model predictions by up to ~15%–20% at certain masses and redshifts. See the text for details.

(2014) profile improves the estimates by a few percent, the bulk of the effect is not caused by a deviation of the NFW profile from the true median profile. Instead, it appears that even if an assumption of NFW density profiles. The conversion degrades the accuracy of the estimates by a few percent, the bulk of the error can increase to ~15%–20% at certain masses and redshifts. See the text for details.

In conclusion, the conversion of our model to mass definitions improves the estimates by a few percent, the bulk of the effect is not caused by a deviation of the NFW profile from the true median profile. Instead, it appears that even if an assumption of NFW density profiles. The conversion degrades the accuracy of the model predictions by up to ~15%–20% at certain masses and redshifts. See the text for details.

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Figure 14. Accuracy of the conversion of c_{200c} to other mass definitions, assuming NFW density profiles. The conversion degrades the accuracy of the model predictions by up to ~15%–20% at certain masses and redshifts. See the text for details.

[Diagram of conversion accuracy]