Er$^{3+}$/Yb$^{3+}$ co-doped nanocrystals modified with 6-aminocaproylic acid for temperature sensing in biomedicine†

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We report β-PbF$_2$:Er$^{3+}$/Yb$^{3+}$ nanocrystals (NCs) modified with 6-aminocaproylic acid (6AA) via wet chemical etching of glass ceramics (GCs). NCs body-doped with trivalent rare-earth (RE$^{3+}$) ions were released from the GCs by etching of the glass matrix and modified with bifunctional 6AA ligands to enhance their water solubility. They have good stability in water with an average diameter of 56 nm and display efficient green (521, 550 nm) and red (660 nm) emission under the excitation of a 940 nm laser. High absolute sensitivity ($S_A$) and relative sensitivity ($S_R$) (0.0027 K$^{-1}$ and 118% K$^{-1}$, respectively) and high resolution (0.25 K) were achieved for temperature sensing in the biological temperature range, using the fluorescence intensity ratio (FIR) technique. All of the experimental results indicate that the Er$^{3+}$/Yb$^{3+}$ co-doped NCs modified with 6AA may potentially be useful as fluorescent biological temperature sensors.

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hydrophobic materials such as oleic acid or oleylamine are often added as capping ligands, so they must be converted into hydrophilic analogues so that they can be applied in biological fields. However, the surface modification process is sensitive to the pH and the amount of ligand as well as other factors, and the capping thickness is not easy to control and can lead to agglomeration.

Recently, amphiphilic amino acid biomolecules have received significant attention in the synthesis of water-dispersible NCs in aqueous media as their hydrophilic surface properties make the sample suitable for biotechnology applications. In addition, by using the melting-annealing method, the rare earth ions can be doped into crystallites with low phonon energy by cation substitution, which suppresses the probability of non-radiative relaxation and makes the luminescence efficiency very high.

In this study, we use a conventional melting–annealing method to synthesize GCs, and extract NCs from the GCs by wet chemical etching. While etching, 6AA was modified in the outer layer of nanocrystalline to prevent agglomeration, improve the biocompatibility of NCs and effectively inhibit the energy transfer between RE3+ ions and biological tissues. To avoid the interference of spontaneous fluorescence in biological tissues and the thermal damage to living organisms caused by 980 nm lasers, we used a 940 nm laser as the excitation source to study the temperature sensing behavior of the NCs in the liquid environment. Importantly, the Er3+/Yb3+ co-doped NCs modified with 6AA have great potential for biomedical application as temperature sensors.

**Experimental**

**Synthesis of Er3+/Yb3+ co-doped PbF2 NCs modified with 6AA**

The Er3+/Yb3+ co-doped PbF2 NCs modified with 6AA were prepared using a conventional melting–annealing method with subsequent wet chemical etching. First, the precursor glasses were prepared with the following starting composition ratios (in mol%): 45.5SiO2–40PbF2–10CdF2–0.5Er2O3–4Yb2O3 via the melting-annealing method. The GCs were then obtained from the prepared precursor glasses by thermal treatment for 8 h at 440 °C and then gradually cooled to room temperature. Subsequently, glacial acetic acid and NH4F in deionized water were mixed to give the etching agent. GC powder was then mixed thoroughly with the as-prepared etching agent and surface-modification agent 6AA with vigorous stirring. Finally, the obtained NCs were collected via centrifugation and washed twice with deionized water and ethanol, and dried in an oven at 80 °C for 3 h.

**Characterization**

The X-ray diffraction (XRD) patterns of samples were measured using a powder diffractometer (D/MAX-2500) using CuKα radiation. High-resolution transmission electron microscopy (HRTEM, 2100F, JEOL, Japan) was used to measure the morphology and size of the samples. Fourier transform infrared (FT-IR) spectra (4000–400 cm\(^{-1}\)) were recorded for KBr pellets (Thermo Fisher Scientific, USA) containing a powder sample with a NICOLET IS10 FT-IR spectrometer. Raman spectra were measured using a RENISHAW InVia Raman microscope with an excitation laser wavelength of 785 nm. Transmission spectra were recorded using a Hitachi U-4100 UV-visible-NIR spectrophotometer. X-ray photoelectron spectroscopy (XPS) data were collected in an ion-pumped chamber of a photoelectron spectrometer (Kratos Axis-Ultra) equipped with an Al Kz (1486.6 eV) focused X-ray source. Zeta potential was measured with a Brookhaven Instruments ZetaPALS. Steady state UCL spectra were measured using an Edinburgh Instruments FLS920 spectrometer equipped with a 940 nm laser as an excitation source.

**Results and discussion**

**Morphology and structure**

The GCs had high luminescence efficiency due to the rare earth ions preferentially precipitating from the glass precursor and being selectively incorporated into the fluoride microcrystals, which have low phonon energies. The structures of β-PbF2:Er3+/Yb3+ GCs and NCs were characterized by XRD. β-PbF2 has a typical cubic fluoride crystal structure. According to the previous work of the research group, rare earth ions replace Pb2+ by cation substitution and are compensated by F− interstitial charge. And at the thermal treatment temperature of 440 °C for 8 h, the glass can be completely crystallized and the crystallinity was the highest, the phase structure of rare earth

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**Fig. 1** (a) XRD pattern of GCs (b) XRD pattern of Er3+/Yb3+ co-doped β-PbF2 NCs capped with 6AA. The standard data for β-PbF2 (PDF #06-0251) is shown for reference. The structure of tetragonal β-PbF2:RE3+ is shown inset.
Doped PbF₂ NCs was a tetragonal phase structure, as shown in the inset of Fig. 1. The XRD patterns of the GCs and the NCs released from the GCs were compared with the standard diffraction peaks of β-PbF₂, as shown in Fig. 1(a) and (b). The XRD peak position of the RE³⁺ ion-doped GCs moved to a greater angle, indicating shrinkage of the β-PbF₂ lattice and the incorporation of RE³⁺ ions into the β-PbF₂ crystallite. While, the XRD patterns of the GCs and NCs released from GCs were similar, indicating that the NCs structure remained unchanged during etching.

HRTEM images were used to characterize the morphology of Er³⁺/Yb³⁺ co-doped PbF₂ NCs, shown in Fig. 2(a) and (b). It can be seen that the dispersion of NCs is good and the average diameter of the NCs is 56 nm. Moreover, it can be clearly seen that the surface of the NCs is modified by a layer of 6AA of approximately 10 nm in thickness. The obtained NCs were capped by the -COOH heads of the bifunctional 6AA molecules with the free –NH₂ groups at the opposite end of the molecules being available for further dispersion in polar solvent. As is shown in Fig. 2(d), 6AA modified β-PbF₂:Er³⁺/Yb³⁺ nanocrystalline solutions are largely transparent to visible light and the absorption peak corresponding to the Yb³⁺:2F⁷/₂ → 2F⁵/₂ transition located at 978 nm can be clearly identified. After adding 6AA, the stability of the solution clearly improved, and the zeta potential increased from +17.7 mV to +32.18 mV, which shows that the NCs have uniform particle size and good dispersion in aqueous solution. Meanwhile, the 6AA coated in the outer layer of NCs has no absorption in this wavelength range, as is shown in Fig. S1. Therefore, it can effectively inhibit the energy transfer between RE³⁺ ions and biological tissues. The results show that the NCs maintained the phase structure of the GCs and that their surfaces were modified with the bifunctional 6AA ligand, making them potential candidates for biological application.

**Etching and surface modification mechanism**

A proposed etching and surface modification mechanism is shown in Fig. 3. A schematic diagram of the structure of the...
GCs, based on our previous work, is shown in Fig. 3(a). The central structure of the figure is a rare earth fluoride nanocrystal and the outermost layer is a silicon oxide layer, and the two are connected by an intermediate transition layer O–Pb–O bond. When etching occurs, HF generated by the hydrolysis of NH4F first etches SiO2 and CdO in the outer layer of the GCs, and then etches the transition layer. The exposed O in the O–Pb–O bond undergoes protonation to become O–Pb–OH. When the etch rate is slow, the contact time of O–Pb–OH with the O–COOH group of 6AA is increased, which facilitates the cross-linking reaction of divalent metal ions and removes one water molecule. Therefore, –COO– and Pb2+ are connected by electrostatic adsorption, preventing O–Pb–OOC– from continuing to react with the etchant.

XPS and Raman spectroscopy were used to verify the etching and surface modification model. The Raman spectrum is shown in Fig. 4(a), the low frequency region of 200–400 cm⁻¹ is attributed to the vibration of the fluoride, the peak at 256 cm⁻¹ belonging to the Pb–F bond vibration, and the vibration at 500–700 cm⁻¹ is attributed to the vibration of the Re–F bond. The vibration at 800–1200 cm⁻¹ arises as a result of the vibration of the Si–O–Si bond. After etching, the Raman vibration peak of Pb–F at 256 cm⁻¹ was still detected, indicating that rare earth doped lead fluoride NCs were preserved. While the vibration peak of the Si–O–Si bond at 800–1200 cm⁻¹ was no longer detected, indicating that the silicon oxide of the glass ceramic was completely etched. The XPS spectrum in Fig. 4(b) shows the presence of Pb, F, Er, Yb, C, O, and N. And the high-resolution O 1s, C 1s, and N 1s XPS spectra are shown in Fig. S2.† The peaks at 531.1 eV and 532.1 eV correspond to the characteristic peaks of C≡O and Pb–O, respectively. The peaks at 284.56 eV, 285.99 eV, and 288.18 eV correspond to the C–C, C–N, and C–C≡O, respectively. While the peaks at 399.96 eV and 400.78 eV correspond to the characteristic peaks of N–H and N–C, respectively. The XPS spectra indicate that 6AA was present on the surface of the nanocrystal. Fig. 4(c) and (d) shows the high-resolution Pb 4f XPS spectra of the NCs before and after modification with 6AA and high-resolution O 1s XPS spectra of pure 6AA and NCs modified with 6AA.

Fig. 4 (a) Raman spectra of GC and NCs capped with 6AA; (b) XPS spectra of NCs modified with 6AA; (c) high-resolution Pb 4f XPS spectra of NCs before and after modification with 6AA; (d) high-resolution O 1s XPS spectra of pure 6AA and NCs modified with 6AA.

Up-conversion luminescence and temperature sensing behavior

Yb³⁺ is often used as a sensitizer excited using a 980 nm laser because of its simple energy level structure, large absorption cross section of 980 nm laser light, and high energy transfer efficiency to Er³⁺, Tm³⁺, Nd³⁺, and Ho³⁺. However, the water molecules in the living body strongly absorb 980 nm laser light. The absorption coefficient of water at 980 nm is ~0.485 cm⁻¹. According to the Beer–Lambert law, when 980 nm light propagates 1 cm in water, up to 61% of the light energy is absorbed by the water. Studies have shown that at a laser power density of ~7.6 W cm⁻², the temperature of the water rises by ~18 °C after 15 min of irradiation. This thermal effect can induce cell and tissue damage and affect the accuracy of temperature measurement. To prevent thermal damage to the organism by the 980 nm laser, a 940 nm laser was used as the excitation source.

Fig. 5 shows the up-conversion luminescence spectrum of β-PbF₂:Er³⁺/Yb³⁺ NCs in aqueous solution under 940 nm laser excitation. Using the melting–annealing method, rare earth ions can be doped into crystallites with low phonon energy by body-doping and the luminescence efficiency is higher. The spectrum shows two characteristic emission bands for green light at 521 and 540 nm and red light at 660 nm, resulting from the transitions respectively.

Fig. 5 The up-conversion luminescence spectrum of 6AA capped β-PbF₂:Er³⁺/Yb³⁺ NCs in aqueous solution under 940 nm laser excitation.

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Up-conversion luminescence is a multiphoton absorption process. The relationship between the up-conversion luminescence intensity and pump power is: 

$$I_{em} \propto (P_{pump})^n$$

where $I_{em}$ is the up-conversion luminescence intensity, $P_{pump}$ is the excitation optical pump power, and $n$ is the number of pump photons populated to the upper level. Taking the logarithm of both sides, the relationship between $\log I_{em}$ and $\log P_{pump}$ is obtained, which gives the P-I curve, and the slope of the curve is the value of $n$. The P-I curve of the nanocrystal solution is shown in Fig. 6(a), and the slopes at 521, 540, and 660 nm were approximately 1.40, 1.72, and 1.87, respectively. The results show that red and green up-conversion emission are both two-photon up-conversion processes under 940 nm excitation.

A possible up-conversion mechanism for the investigated system is proposed and illustrated in Fig. 6(b) based on the results mentioned above. Under 940 nm excitation, the electrons of the Yb$^{3+}$ ion are excited from the $2F_{7/2}$ level to the $2F_{5/2}$ level through ground-state absorption (GSA). Then, the excited Yb$^{3+}$ ions donate absorbed energy to adjacent Er$^{3+}$ ions and Er$^{3+}$ ions are excited from the $4I_{15/2}$ level to the $4I_{11/2}$ level. A second 940 nm photon transferred by the adjacent Yb$^{3+}$ ions can excite Er$^{3+}$ ions from the $4F_{13/2}$ to $4F_{7/2}$ level by the two photons process, and finally through nonradiative relaxations to $^2H_{11/2}$, $^4S_{3/2}$, emitting 521 nm and 540 nm photons, respectively. This is the up-conversion luminescence mechanism of green emission. There are four possible mechanisms for the red emission: (i) from $^4I_{15/2} \rightarrow ^4S_{3/2}$ through nonradiative relaxations to $^4F_{9/2}$, the energy level difference between the two energy levels is 3200 cm$^{-1}$, which is approximately 14 phonons energy of $\beta$-PbF$_2$. It is generally thought that this is non-radiative relaxation, however, after coating with 6AA, the phonon energy of the surface groups is $\sim$3350 cm$^{-1}$, as shown in Fig. S1, which matches the difference between $^2H_{11/2}/^4S_{3/2}$ and $^4F_{9/2}$, therefore a 660 nm photon is emitted. (ii) The electrons of the Yb$^{3+}$ are excited from the $^2F_{7/2}$ level to the $^2F_{5/2}$ level through ground-state absorption (GSA), and the Yb$^{3+}$ ion transfers energy to the Er$^{3+}$ ion, causing Er$^{3+}$ to be excited from the $^4I_{15/2}$ level to the $^4I_{11/2}$ level. Since the $^4I_{13/2}$ energy level has a long lifetime and the energy level difference matches the phonon energy of the 6AA surface group, some of the photons relax to $^4I_{13/2}$. The electrons in the $^4I_{13/2}$ level are excited to the $^4F_{7/2}$ level by absorbing the energy transferred by the Yb$^{3+}$ ion or the GSA, and then return to the ground state $^4I_{15/2}$, emitting a 660 nm photon. (iii) and (iv) The electrons in the $^4F_{7/2}$ energy level relax to the $^4F_{9/2}$ level by cross-relaxation with the adjacent Er$^{3+}$ ions. Subsequently, radiative transfer from the $^4F_{9/2}$ to $^4I_{15/2}$ levels results in red emission.

The up-conversion emission spectrum of the sample in the wavelength range 500–720 nm at different temperatures under 940 nm irradiation is presented in Fig. 7, the pump power of the 940 nm laser was set at 120 mW mm$^{-2}$. $^2H_{11/2}$ and $^4S_{3/2}$ are the thermal coupling levels of Er$^{3+}$, and the number of particles at this level obeys the Boltzmann particle distribution. The intensity of the emitted fluorescence is proportional to the number of particles in the energy level, therefore the FIR emitted by the thermal coupling level can be given as follows:  

$$\text{FIR} = \frac{N_i}{N_i} = \frac{I_i}{I_{ij}} = \frac{g_i \sigma_i \omega_i}{g_j \sigma_j \omega_j} \exp\left(-\frac{\Delta E}{k_B T}\right)$$

where $N_i$, $I_i$, $\sigma_i$, and $\omega_i$ represent the number of ions, fluorescence intensity, degeneracy, emission cross section, and fluorescence emission angular frequency for the transition of $^2H_{11/2} \rightarrow ^4I_{15/2}$ and $^4S_{3/2} \rightarrow ^4I_{15/2}$, respectively. $\Delta E$ is the
difference between $^2H_{11/2}$ and $^4S_{3/2}$, $k_B$ is the Boltzmann constant, and $T$ is the absolute temperature. The logarithm of the above formula is obtained:

$$\ln(FIR) = \ln B - \frac{\Delta E}{k_B T}$$  \hfill (2)

and the fluorescence intensity ratio is logarithmically matched to the reciprocal of temperature, the slope value and the intercept value are $\Delta E/k_B = 957.7$ and $\ln B = 1.77$, respectively. The change in fluorescence intensity ratio with temperature can be fitted by eqn (2), and the fitting results are shown in Fig. 8(a) and (b).

Sensitivity and resolution are important parameters for the application of the system as an optical temperature sensor. Sensitivity is used to characterize the fluorescence intensity ratio as a function of temperature. Absolute sensitivity $S_A$ and relative sensitivity $S_R$ are defined as:

$$S_A = \frac{dFIR}{dT} = FIR \left( \frac{\Delta E}{k_B T^2} \right)$$  \hfill (3)

$$S_R = \frac{1}{FIR} \frac{dFIR}{dT} = \frac{\Delta E}{k_B T^2}$$  \hfill (4)

Based on the above formulas, the absolute sensitivity $S_A$ and relative sensitivity $S_R$ of $\beta$-PbF$_2$:Er$^{3+}$/Yb$^{3+}$ nanocrystals are shown in Fig. 8(c) and (d), and the maximum values were 0.0027 K$^{-1}$ (325 K) and 1.18% K$^{-1}$ (285 K), respectively. The temperature resolution ($\delta T$) is used to characterize the smallest temperature change that can be detected and depends on the

| Material                  | Temperature range (K) | $\lambda_{ex}$ (nm) | $S_{A\text{max}}$ (% K$^{-1}$) | $S_{A\text{max}}$ (10$^{-3}$ K$^{-1}$) | $\delta T$ (K) | Ref.  |
|---------------------------|-----------------------|---------------------|-------------------------------|--------------------------------------|----------------|-------|
| GdVO$_4$:Er$^{3+}$/Yb$^{3+}$ | 297–343               | 980                 | 0.94                          | 10.1                                 | 0.4            | 41    |
| $\beta$-NaLuF$_4$:Yb$^{3+}$/Tm$^{3+}$/Er$^{3+}$ | 300–600               | 980                 | 7.7                           | 5.7                                  | 0.8            | 42    |
| NaZnPO$_4$:Er$^{3+}$/Yb$^{3+}$ GCs | 303–753               | 980                 | 1.329                         | 5.7                                  | 0.8            | 43    |
| Fluoride glass:Er$^{3+}$/Yb$^{3+}$ | 335–375               | 975                 | 1.1                           | 0.87                                 | 0.8            | 44    |
| Silica glass:Er$^{3+}$/Yb$^{3+}$ | 296–723               | 978                 | 1.09                          | 3.3                                  | 0.2            | 45    |
| Ca$_3$(PO$_4$)$_2$:Er$^{3+}$/Yb$^{3+}$ GCs | 298–798               | 980                 | 1.35                          | 5.19                                 | 0.2            | 46    |
| NaYF$_4$:Er$^{3+}$/Yb$^{3+}$ | 299–336               | 920                 | 1.0                           | 4.5                                  | 0.25           | 47    |
| Borophosphate:Er$^{3+}$/Yb$^{3+}$ | 298–798               | 980                 | 1.66                          | 4.5                                  | 0.25           | 48    |
| $\beta$-PbF$_2$:Er$^{3+}$/Yb$^{3+}$ NCs | 285–325               | 940                 | 1.18                          | 2.7                                  | 0.25           | This work |
system performance (given by the relative sensitivity) and the experimental setup. \( \delta T \) is calculated using the following equation:\[5,20\]

\[
\delta T = \frac{1}{S_R} \frac{\delta d}{d}
\]

where \( d \) is the thermometric parameter used in the temperature probing at a certain temperature, herein, \( d \) is FIR. \( \delta d \) is the standard deviation value of the FIR at a given temperature, calculated by the formula:

\[
\delta d = \sqrt{\frac{1}{n-1} \sum_{i=1}^{n} (\text{FIR}_i - \text{FIR})^2}
\]

where \( \text{FIR} \) is the average of the FIR, obtained by five times measurement of one sample at each temperature, and herein \( n = 5 \). Corresponding to the relative sensitivity of each temperature, we calculate the resolution at each temperature and the averaged resolution is about 0.25 K. Compared to the other optical thermometers based on fluorescence intensity ratio of green emission from the transitions of TCLs \( (2H_{11/2}/4S_{3/2}) \) of Er\(^{3+}\) were listed in Table 1. The high sensitivity and resolution indicate that \( \beta\text{-PbF}_2\text{-Er}^{3+}/\text{Yb}^{3+} \) nanocrystals have potential for use as a nano-fluorescence temperature sensor.

### Conclusions

\( \beta\text{-PbF}_2\text{-Er}^{3+}/\text{Yb}^{3+} \) NCs modified with 6AA were prepared by etching the glass matrix of GCs and modified with bifunctional 6AA ligands. The NCs were largely transparent and stable in aqueous solution. Under the excitation of a 940 nm laser the NCs displayed efficient green and red emission without requiring 980 nm laser excitation, which has a heating effect on living organisms. The fluorescence intensity ratio of green emission from the transitions of TCLs \( (2H_{11/2}/4S_{3/2}) \) of Er\(^{3+}\) were used for biological temperature sensing based on the FIR technique and we obtained high sensitivity and resolution in the biological temperature range. The described results indicate that the \( \beta\text{-PbF}_2\text{-Er}^{3+}/\text{Yb}^{3+} \) NCs modified with 6AA are promising candidates for use as accurate optical temperature sensors in the biomedical field.

### Conflicts of interest

There are no conflicts to declare.

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