Optical-to-virial velocity ratios of local disk galaxies from combined kinematics and galaxy-galaxy lensing

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ABSTRACT
In this paper, we measure the optical-to-virial velocity ratios $V_{\text{opt}}/V_{\text{200c}}$ of disk galaxies in the Sloan Digital Sky Survey (SDSS) at a mean redshift of $\langle z \rangle = 0.07$ and with stellar masses $10^9 M_\odot < M_\ast < 10^{11} M_\odot$. $V_{\text{opt}}/V_{\text{200c}}$, the ratio of the circular velocity measured at the virial radius of the dark matter halo ($\sim 150$ kpc) to that at the optical radius of the disk ($\sim 10$ kpc), is a powerful observational constraint on disk galaxy formation. It links galaxies to their dark matter haloes dynamically and constrains the total mass profile of disk galaxies over an order of magnitude in length scale. For this measurement, we combine $V_{\text{opt}}$ derived from the Tully-Fisher relation (TFR) from Reyes et al. with $V_{\text{200c}}$ derived from halo masses measured with galaxy-galaxy lensing. In anticipation of this combination, we use similarly-selected galaxy samples for both the TFR and lensing analysis. For three $M_\ast$ bins with lensing-weighted mean stellar masses of 0.6, 2.7, and $6.5 \times 10^{10} M_\odot$, we find halo-to-stellar mass ratios $M_{\text{200c}}/M_\ast = 41, 23,$ and 26, with $1\sigma$ statistical uncertainties of around 0.1 dex, and $V_{\text{opt}}/V_{\text{200c}} = 1.27 \pm 0.08, 1.39 \pm 0.06, 1.27 \pm 0.08 (1\sigma)$, respectively. Our results suggest that the dark matter and baryonic contributions to the mass within the optical radius are comparable, if the dark matter halo profile has not been significantly modified by baryons. The results obtained in this work will serve as inputs to and constraints on disk galaxy formation models, which will be explored in future work. Finally, we note that this paper presents a new and improved galaxy shape catalogue for weak lensing that covers the full SDSS DR7 footprint.

Key words: galaxies: spiral – galaxies: kinematics and dynamics – gravitational lensing: weak.

1 INTRODUCTION

The basic picture of disk galaxy formation has long been established: both gas and dark matter acquire angular momentum through tidal torques in the early Universe (\cite{Peebles1969}); the gas then cools and collapses into a
rotationally-supported disk at the center of a cold dark matter halo \citep{White1978, F70, Efstathiou1980, Blumenthal1984, Dalcanton1997, Mo1998}. Today, many aspects of the theory are still not completely understood, such as star formation, feedback from supernovae and active galactic nuclei (AGNs), angular momentum transfer, mergers, and the response of the dark matter halo to the infall of baryons. State-of-the-art hydrodynamic simulations, which incorporate effective prescriptions for some of these physical processes, are starting to produce individual disk galaxies with luminosities, sizes, and rotation curves comparable with observed ones \citep{Governato2010, Guedes2011, Agertz2011}. The ultimate test for these simulations is their ability to satisfy a broad set of observational constraints. In addition, observations can serve as a guide to improve the effective models used in the simulations. Careful comparison between the theoretical models and observations will become increasingly important as the simulations continue to improve, and eventually produce cosmological ensembles of galaxies.

A powerful observational constraint on disk galaxy formation is the dynamical link between disk galaxies and their dark matter haloes, $V_{\text{opt}}/V_{200c}$, the ratio of the circular velocity measured at the optical radius ($r_{\text{opt}} \sim 10$ kpc, a few optical disk scale lengths) to that at the virial radius of the dark matter halo ($r_{\text{vir}} \sim 150$ kpc). Because the optical-to-virial velocity ratio is a dynamical quantity, it does not suffer from uncertainties in stellar mass estimates from photometry (or spectroscopy), which are subject to uncertainties in dust extinction, stellar populations, and the stellar initial mass function (IMF) \citep[see, e.g.,][]{Conroy2009}.

The optical-to-virial velocity ratio is sensitive to the total mass profile within the halo virial radius. For example, an isothermal profile (with a mass density proportional to $r^{-2}$) corresponds to $V_{\text{opt}}/V_{200c} = 1$. The shape of the halo mass profile, without any modification from their interaction with baryons, is reasonably well-understood from $N$-body simulations \citep{Navarro1996, Navarro1997, Gao2008}. On the other hand, the amount of response of the dark matter halo to the infall of baryons during their collapse, as well as to the blowing out of baryons due to feedback effects, is poorly-understood and is the subject of active debate in the literature, both from the theoretical \citep{Governato2004, Gustafsson2006, Romano-Diaz2008, Johansson2009, Duffy2010, Abadi2010, Pedrosa2010, Tissera2010, Governato2010, Guedes2011, Gnedin2011} and observational sides \citep{Sand2002, Humphrey2006, Zappacosta2006, Dutton2007, Schulz2010, Auger2010, Dutton2011}. Different formation scenarios will generally predict different values for $V_{\text{opt}}/V_{200c}$, which can be directly tested against observations.

The optical-to-virial velocity ratio can be measured by combining two measurements. First, $V_{\text{opt}}$ can be measured directly for individual galaxies from Hα (or HI) rotation curves. Moreover, there is a well-established tight relation between $V_{\text{opt}}$ and stellar mass $M_*$, referred to hereafter as the Tully-Fisher relation or TFR \citep{Tully1977}. Second, the average $V_{\text{vir}}$ can be measured for large galaxy samples with galaxy-galaxy lensing or satellite kinematics.

Previously, \cite{Seljak2002} combined early TFR and galaxy-galaxy lensing measurements and inferred $V_{\text{opt}}/V_{200c} = 1.8$ with a $2\sigma$ lower limit of 1.4, for $L^*$ late-type galaxies \citep[here, $V_{\text{vir}} = V_{200c}$ is the circular velocity of the dark matter halo at the virial radius $r_{\text{vir}} = r_{200c}$, the radius within which the mean density is 200 times the critical density of the Universe today.][]{Bode2000}. They found this result to be consistent with the prediction of the standard model of adiabatic contraction of the dark matter halo due to baryonic infall \citep{Blumenthal1986}. More recently, \cite{Dutton2010} combined a TFR based on data from Pizagno et al. (2007) with published halo-to-stellar mass ratios in the literature from galaxy-galaxy lensing, satellite kinematics, and halo abundance matching, and found $V_{\text{opt}}/V_{200c} \simeq 1$, for disk galaxies with $M_* \sim 10^{10}-10^{11} M_\odot$. This result is lower than the prediction from the standard adiabatic contraction model and suggests that the effect of adiabatic contraction is weaker or that the opposite effect occurs \cite[i.e., the dark matter halo density within the optical radius decreases instead of increases]{Dutton2010}.

In this work, we perform a new measurement of $V_{\text{opt}}/V_{200c}$. We use stacked weak lensing measurements of $\sim 10^3$ disk galaxies from the Sloan Digital Sky Survey (SDSS) \citep{York2000}, with well-defined photometry and available fibre spectroscopy, to measure average halo virial masses $M_{200c}$. We combine these measurements with the TFR derived in \cite{Reyes2011} from a sample of 189 disk galaxies with measured Hα rotation curves that is, by construction, a fair subsample of the lens sample used in this work. Our results constrain the relation between the optical-to-virial velocity ratio $V_{\text{opt}}/V_{200c}$ and stellar mass $M_*$, of disk galaxies with a mean redshift of 0.07 and stellar masses $M_* \sim 10^{9}-10^{11} M_\odot$. Unlike in previous analyses, we use similarly-selected galaxy samples and consistent definitions in both the lensing and TFR measurements to enable a fair comparison of the two.

This paper introduces a new source galaxy catalogue for lensing, which is demonstrably an improvement, both in area coverage and quality, over the one introduced in \cite{Mandelbaum2005} (hereafter M05). We describe the catalogue properties, various tests of systematics, and calibration of the lensing signal in Sec. 3 the reader who is not interested in the technical details may skip this section. The generation procedure for the catalogue is described in Appendix A and the differences from the catalogue in M05 are enumerated and discussed in Appendix B.

The organization of the rest of the paper is as follows: in Sec. 4 we discuss the method of this work and details of the lensing calculations; in Sec. 5 we de-
scribe SDSS data and the selection of our lens sample; in Sec. 4, we extensively characterize the source catalogue and shape measurements used in this work; in Sec. 5 we present the measured lensing signals, and the results of various systematics tests; in Sec. 6 we describe fits to the lensing signal to derive average halo masses; in Sec. 7 we present our main results and their interpretation; finally, in Sec. 8, we present a summary and discuss plans for future work.

For the calculations performed in this work, we adopt the cosmology: \( \Omega_m = 0.27, \Omega_\Lambda = 0.73, \sigma_8 = 0.8, h = H_0/(100\text{ km s}^{-1}\text{Mpc}^{-1}) = 0.7 \). Unless otherwise stated, quantities already include the appropriate factors of \( h \): stellar masses \( M_* \) scale as \( h^{-2} \), halo masses \( M_{200c} \) scale as \( h^{-1} \), and distances \( R \) scale as \( h^{-1} \). All distances are expressed in comoving units, unless otherwise noted.

2 METHOD

We begin with an overview of the methodology of this work (Sec. 2.1). In the rest of the section, we describe galaxy-galaxy lensing theory (Sec. 2.2), the calculation of the galaxy-galaxy lensing signal (Sec. 2.3), and the derivation of the TFR from R11 used in this work (Sec. 2.4).

2.1 Overview

In this work, we constrain two relations: (i) the relation between the halo-to-stellar mass ratio \( M_{200c}/M_* \) and stellar mass \( M_* \) (or HSMR), and (ii) the relation between the optical-to-virial velocity ratio \( V_{\text{opt}}/V_{200c} \) and \( M_* \) (or OVVR). Note that the virial quantities are less tightly-constrained than the corresponding “optical” quantities.

To determine the HSMR, we measure the galaxy-galaxy lensing signal around stacked galaxies in bins of stellar mass \( M_* \). We perform fits to the observed lensing signals to determine the best-fitting halo-to-stellar mass ratio for each stellar mass bin, as described in Sec. 6. We also adopt a functional form for the HSMR (Eq. 18 of Sec. 6.2.2) and fit the lensing signal for all three bins simultaneously to determine the best-fitting parameters in the relation.

To determine the OVVR, we convert the halo virial masses \( M_{200c} \) in the HSMR into halo virial velocities \( V_{200c} = \sqrt{G M_{200c}/\rho_{200c}} \) (where \( G \) is the Newtonian gravitational constant), then take its ratio with the relation between \( V_{\text{opt}} \) and \( M_* \) from the TFR in R11. Details of these derivations, as well as the results, will be presented in Secs. 6.2.2 & 7.

2.2 Lensing theory

Galaxy-galaxy lensing is the deflection of light from sources by the mass in intervening lenses, which shows up as a coherent tangential shearing effect. It provides a simple way to probe the connection between galaxies and matter via their cross-correlation function

\[
\xi_{gm}(\vec{r}) = \langle \delta_g(\vec{x})\delta_m(\vec{x} + \vec{r}) \rangle
\]

(1)

where \( \delta_g \) and \( \delta_m \) are overdensities of galaxies and matter, respectively. This cross-correlation can be related to the projected surface density

\[
\Sigma(R) = \rho \int \left[ 1 + \xi_{gm} \left( \sqrt{r^2 + \chi^2} \right) \right] d\chi
\]

(2)

(where \( r^2 = R^2 + \chi^2 \)). For our purposes we neglect the radial window function, which is of order 100 Mpc broad, well beyond the scales that are important in this work. The surface density \( \Sigma(R) \) is then related to the observable quantity for lensing,

\[
\Delta \Sigma(R) = \gamma_\ell(R) \Sigma_c = \Sigma(<R) - \Sigma(R),
\]

(3)

where \( \gamma_\ell \) is the tangential shear. In practice, we truncate the integral in Eq. 2 at \( 1h^{-1}\text{Mpc} \), which is well beyond the halo virial radius (defined in Eq. 16 below) of the galaxies we study.

The second relation in Eq. 3 is true only in the weak lensing limit, for a matter distribution that is axisymmetric along the line of sight. This symmetry is naturally achieved by our procedure of stacking many galaxies and determining their average lensing signal. This observable quantity can be expressed as the product of the tangential shear \( \gamma_\ell \) and a geometric factor

\[
\Sigma_c^{(is)} = \frac{c^2}{4\pi G} \frac{D_s}{D_l D_{ls}(1+z_1)^2},
\]

(4)

where \( D_l \) and \( D_s \) are angular diameter distances to the lens and source, \( D_{ls} \) is the angular diameter distance between the lens and source, and the factor of \((1+z_1)^{-2}\) arises due to our use of comoving coordinates. For a given lens redshift, \( \Sigma_c^{-1} \) rises from zero at \( z_\ell = z_1 \) to an asymptotic value at \( z_\ell \gg z_1 \); that asymptotic value is an increasing function of lens redshift.

2.3 Lensing signal calculation

Calculation of the galaxy-galaxy lensing signal requires us to identify pairs of lens and source galaxies within some physical separation on the sky. Since we have spectroscopic redshifts for our lens galaxies, we can work with physical tangential separations rather than in angular coordinates (which mixes physical scales for lens galaxies at different redshifts). To compute the average lensing signal \( \Delta \Sigma(R) \), lens-source pairs are first assigned weights according to the error on the shape measurement via

\[
w_{ls} = \frac{(\xi_{ls}^{(is)})^{-2}}{\sigma_e^2 + \sigma_{SN}^2}
\]

(5)

where \( \sigma_e \) is the estimated shape error per component and \( \sigma_{SN} \) is the intrinsic shape noise per component, which was determined as a function of magnitude in M05, figure 3 (in Sec. 4.3.2 of this paper we will reassess the accuracy of that shape noise estimate). The factor
of \((\Sigma_{ls}^{i(s)})^{-2}\) converts the shape noise in the denominator to a noise in \(\Delta \Sigma\); it downweights pairs that are close in redshift.

Once we have computed these weights, we calculate the lensing signal in 23 logarithmic radial bins from 0.02 to \(2h^{-1}\) Mpc as a summation over lens-source pairs via:

\[
\Delta \Sigma(R) = \frac{\sum_{ls} w_{ls} \frac{\gamma_{ls}}{R_{ls}^2} \Sigma_{ls}^{i(s)}}{2R \sum_{ls} w_{ls}},
\]

where the factor of 2 arises due to our definition of ellipticity and \(R\) is the shear responsivity, which describes how the ellipticity estimator used in this paper (Eq. 8) responds to a shear \(\text{Bernstein & Jarvis} 2002\) and Sec. 4.3.2 of this work).

There are several additional procedures that must be done when computing the signal (see M05 for details). First, the signal computed around random points must be subtracted from the signal around real lenses to eliminate contributions from systematic shear. The multiplication by \(c\) lens describes how the ellipticity estimator used in this paper (c.f. Sec. 5.2.1). Second, the signal must be boosted, i.e., multiplied by \(B(R) = n(R)/n_{rand}(R)\), ratio of the weighted number density of sources around real lenses, relative to the weighted number density of sources around random points, in order to account for the dilution of the lensing signal due to sources that are physically associated with a lens, and therefore not lensed. The multiplication by the boost factor means that our shear estimator is essentially identical to the globally normalized estimator in \(\text{Rozo et al.} 2011\).

To determine errors on the lensing signal and boost factors, we divide the survey area into 200 bootstrap subregions and generate 500 bootstrap-resampled datasets. For illustration, we rebin the signal into 7 radial bins and plot the re-binned signal. The computed lensing signals, for the real and random galaxies, as well as the boost factors, will be presented in Sec. 6.

### 2.4 Derivation of the TFR

By construction, the galaxy sample used to derive the TFR in R11 is a fair subsample of the lens sample used in this work (defined in Sec. 3.2 below and sec. 3 of R11). There is only one difference in the selection criteria used: an axis ratio cut \((b/a < 0.6)\) has been applied to the TFR sample, but not to the lens sample. We found that applying such a cut only modestly changes the distributions of basic galaxy properties, such as stellar mass and galaxy colour (c.f. fig. 1 of R11), so we do not expect it to introduce a significant bias between the two samples. Applying the axis ratio cut to the lens sample would have decreased the sample size by almost half, so we have chosen not to do so.

In this work, we follow the recommendation of R11 and use the TFR between stellar mass \(M_\ast\) and optical velocity \(V_{opt}\). The disk rotation velocity at the radius enclosing 80 per cent of the \(i\)-band galaxy light, \(R_{80}\).

Here (and throughout this work), stellar masses \(M_\ast\) correspond to the \(\text{Kroupa} 2002\) IMF. As defined in sec. 5 of R11, they are determined from SDSS i-band absolute magnitudes and \(g - r\) colours (both uncorrected for internal dust extinction), using stellar mass-to-light ratio estimates from \(\text{Bell et al.} 2003\) (reduced by 0.15 dex to account for the difference in the normalization of the IMF). Absolute magnitudes \(M_i\) are based on Petrosian apparent magnitudes and galaxy colours \(g - r\) are based on model apparent magnitudes, described in \(\text{Stoughton et al.} 2002\) and \(\text{Abazajian et al.} 2004\). Absolute magnitudes and colours used to determine \(M_\ast\) were corrected for Galactic extinction using the dust maps of \(\text{Schlegel et al.} 1998\) and \(k\)-corrected to \(z = 0\) using the \text{kcorrect} product version \(v4_1_4\) of \(\text{Blanton & Roweis} 2007\). We propagate errors from \(M_i\) and \(g - r\) to determine the error in \(M_\ast\); for the TFR sample, the mean statistical uncertainty in \(M_\ast\) (at fixed Kroupa IMF) is 0.041 dex. R11 found the best-fitting TFR to be:

\[
\log V_{opt} = (2.142 \pm 0.004) + (0.278 \pm 0.010) (\log M_\ast - 10.102),
\]

with an intrinsic scatter of 0.036 ± 0.005 dex and a total measured scatter of 0.056 dex in \(\log V_{opt}\).

\(^2\) R11 showed that \(M_\ast\) yields a TFR with smaller scatter than single-band optical luminosities (in \(ugriz\)), and similarly, \(V_{opt}\) performs better than alternative definitions of \(V_{opt}\), such as \(V_{2.2}\)— evaluated at 2.2 times the disk scale length—or \(V_c\)— the asymptotic circular velocity. The common choice for \(V_{opt}\) is \(V_{2.2}\), the rotation velocity at 2.2 times the disk scale length \(R_d\). We refer the reader to secs. 4 and 5 of R11 for the derivation of radii \(R_d\) and \(R_{80}\) (from bulge-disk decomposition fits) and of rotation velocities \(V_{80}\) and \(V_{2.2}\) (from arc tangent fits to the measured rotation curves). R11 showed that \(R_{80}\) is more likely to sample the flat part of the disk rotation curve, and as a consequence, \(V_{80}\) yields a tighter TFR than \(V_{2.2}\) (also see Pizagno et al. 2007). Moreover, \(R_{80}\) is not affected by degeneracies involved in bulge-disk decomposition fits, unlike \(R_d\). The choice of rotation velocity definition is important when comparing results from different works, as we do later in Sec. 7.3.

\(^3\) The normalization of the Kroupa IMF is 0.3 dex lower than that for a Salpeter IMF with a lower mass cut-off of 0.1 \(M_\odot\) and 0.05 dex higher than that for a Chabrier IMF.
3 LENS SAMPLE

First, we briefly describe SDSS imaging and spectroscopy that we use for both our lens and source galaxy samples (Sec. 3.1). Then, we describe the selection of our lens sample (Sec. 3.2).

3.1 SDSS data

The SDSS (York et al. 2000) imaged roughly π steradians of the sky, and followed up approximately one million of the detected objects spectroscopically (Eisenstein et al. 2001; Richards et al. 2002; Strauss et al. 2002). The imaging was carried out by drift-scanning the sky in photometric conditions (Hogg et al. 2001; Ivezić et al. 2004), in five bands (ugriz) (Fukugita et al. 1996; Smith et al. 2002) using a specially-designed wide-field camera (Gunn et al. 1998). All of the data were processed by completely automated pipelines that detect and measure photometric properties of objects, and astrometrically calibrate the data (Lupton et al. 2001; Pier et al. 2003; Tucker et al. 2006). The SDSS I/II imaging surveys were completed with a seventh data release (Abazajian et al. 2009), though this work will rely as well on an improved data reduction pipeline (PHOTO v5_6) and updated photometric calibration (ubercalibration, Padmanabhan et al. 2008) that was part of the eighth data release, from SDSS-III (Aihara et al. 2011; Eisenstein 2011).

Objects are targeted for spectroscopy using the imaging data (Blanton et al. 2003a). Main galaxy sample targets are selected as described by Strauss et al. (2002). The Main galaxy sample target selection includes a Petrosian (1976) apparent magnitude cut of $r_p = 17.77$ mag, with slight variation in this cut across the survey area. Targets are observed with a double 320-fibre spectrograph on the same telescope (Gunn et al. 2006).

Specific subsets of the Main spectroscopic galaxy sample, to be described in Sec. 3.2, will be used as the lens galaxies for the lensing analysis described in this work. The new source catalogue used here, derived from the SDSS imaging data, is described in Sec. 4.

3.2 Disk lens sample

We need to select a disk galaxy sample that is adequate in size and at sufficiently high redshift for the lensing signal to be measurable, given the relatively shallow SDSS imaging. R11 defined a parent disk sample adequate for this purpose, selected from the SDSS DR7 NYU-Value Added Galaxy Catalog (VAGC; Blanton et al. 2005). In this work, we define a lens sample that is made up of a majority (76 per cent) of the parent disk sample defined in R11, as described below.

We begin with a brief summary of the selection criteria used to define the parent disk sample (we refer the reader to sec. 3 of R11 for details). First, galaxies were selected to have redshifts $0.02 < z < 0.10$ and absolute magnitudes $-22.5 < M_r < -18.0$ mag (before internal extinction correction). Then, star-forming galaxies were selected by imposing a lower limit on the Hα emission-line flux observed through the SDSS spectroscopic fibre, as well as mild cuts in Sersic index and emission line ratios (to exclude active galaxies). Applying these cuts yields a sample of 175,920 galaxies.

To select our lens sample from this parent disk sample, we first remove galaxies in areas of the sky where there are no available source galaxies. This removes around 10 per cent of the parent disk sample, leaving a total of 158,735 galaxies. We note that a substantial percentage of galaxies were removed because of the very stringent data quality cuts applied to the source galaxy sample (c.f. Appendix A), and not because of a lack of data or extreme spatial variation in the source number density.

Second, we aim to construct a lens sample that is dominated by central and isolated galaxies so that the observed lensing profiles will be simpler to interpret and analyse (as described in Sec. 6.1). To do this, we identify those galaxies that are most likely to be satellites and exclude them from the sample. For each galaxy, we count the number of brighter neighbors $N_{\text{bright}}$ within a fixed physical transverse radius of 1.14 Mpc and a redshift width of $\Delta z = 0.006$ (following Reid et al. 2009). Then, we scale $N_{\text{bright}}$ by the number of brighter neighbors of a random galaxy with the same luminosity and redshift. We identify “satellite galaxies” as those that have a scaled number count greater than or equal to 7. This cut excludes 15 per cent of the remaining galaxies and yields a disk lens sample of 133,598 galaxies.

We note that this average satellite fraction is consistent with Mandelbaum et al. (2006b), who found using halo occupation modelling that typically 10–15 per cent of blue/late-type galaxies were satellites. Moreover, we find, reassuringly, that the satellite fraction we calculate is very weakly dependent on redshift, and dependent on luminosity in the sense that brighter galaxies are more likely to be satellites (as expected since they are more strongly biased than fainter galaxies). Finally, we note that we apply the same criteria on the random galaxy catalogue used in the lensing analysis; the satellite cut excludes only 2.4 per cent of the random galaxies.

4 The sample presented in R11 had 169,563 galaxies (or 3.6 per cent fewer). Here, we have updated the sample to include galaxies that had been incorrectly excluded due to a failure in the runs of the emission line fitting code. These failures were not related to any of the galaxy properties, so the addition of these galaxies does not introduce any biases to the sample.

5 For both the real and random galaxy samples, we use the same comparison galaxy sample (namely, the DR7 SDSS NYU-VAGC).
4 SOURCE AND SHAPE CATALOGUE

This work introduces a new source galaxy catalogue that is meant to be an improvement, both in area coverage and quality, over the one introduced in M05 and used for subsequent science papers. This catalogue, like that from M05, utilises a method of PSF-correction known as re-Gaussianization (Hirata & Seljak 2003). Re-Gaussianization is a method based on the use of the moments of the image and of the PSF to correct for the effects of the PSF on the galaxy shapes. However, unlike many other moments-based corrections, it includes corrections for the non-Gaussianity of the galaxy profile (Bernstein & Jarvis 2002; Hirata & Seljak 2003) and of the PSF (to first order in the PSF non-Gaussianity).

Details about how the catalogue was generated, and an explicit contrast with the catalogue from M05 are in Appendices [A] and [B], respectively. A description of its properties, and systematics tests, will be presented in the following subsections (Sects. 4.1–4.5).

4.1 New catalogue properties

The new shape catalogue covers an area of 9 243 deg², with 39 267 029 unique galaxy detections in the SDSS DR8 area passing all cuts on photometry, shape measurements, and photo-z described in Appendix [A] (or an average source number density of 1.2 arcmin⁻²). For this work, we use a subset of that area (7 131 deg²) corresponding to the DR7 lens catalogue used for this work.

The relevant areas are shown in Fig. 1; the majority of the “ratty” areas result from imposition of the cut on r-band extinction A_r < 0.2 mag, since there are regions that are close to that limiting value and that get shredded by this cut. For science work that might be dominated by those areas, a reprocessed version of the catalogue might be necessary to ensure better coverage. However, for the lens catalogue used for this work, those areas are not necessary.

Before describing the catalogue properties, we begin by introducing the quantities used to describe each galaxy. These include the following:

(i) The extinction-corrected r-band model magnitude, which is a measure of the total galaxy flux.

(ii) The photo-z, which is calculated using the Zurich Extragalactic Bayesian Redshift Analyzer (ZEBRA, Feldmann et al. 2006). Its usage for SDSS lensing studies was explored thoroughly by Nakajima et al. (2012, hereafter N11).

(iii) The galaxy spectral energy distribution (SED) template corresponding to that photo-z (more information about the templates that were used are in Appendix [A] the templates for galaxies that are used for science range from 0 to 20, with zero corresponding to early types and 20 to late types).

(iv) The galaxy resolution factor R_2, which expresses how resolved it is compared to the PSF. A given galaxy’s resolution factor thus depends on the conditions under which it was observed. The detailed definition of resolution factor is given in Appendix [A] Eq. A5 for the purpose of this section, it suffices to know that R_2 approaches zero for completely unresolved galaxies, one for perfectly resolved galaxies, and we require R_2 > 1/3 in both r and i bands to avoid excessive systematic errors in the galaxy shapes.

(v) The galaxy shape (e_1, e_2) and the estimated shape measurement error σ_e per component. The shapes are rotated to a coordinate system in which positive e_1 corresponds to East-West elongation and positive e_2 corresponds to Northeast-Southwest elongation. Our shape definition corresponds to

\[ |e| = \frac{1 - q^2}{1 + q^2} \]  

for minor-to-major axis ratio q.

Details of the derivation of these quantities are in Appendix [A].

Table 1 gives a summary of the basic catalogue properties with respect to these quantities. In addition, Fig. 2 shows the histogram of apparent magnitude, resolution factor, photo-z, template, and total ellipticity. As shown, the number counts do not rise as steeply as for a flux-limited sample, because of the loss of galaxies at the faint end due to both the difficulty in measuring shapes at low S/N (the flux limit r = 21.8 corresponds to S/N ≥ 9.5) and the difficulty in resolving such faint galaxies given the typical SDSS seeing.

While the photo-z histogram in Fig. 2 does not match the true dN/dz as well as one might like, the impact of the significant photo-z errors, σ_z/(1+z) = 0.113, on galaxy-galaxy lensing measurements has been quan-
Figure 2. Each panel shows the histogram of source galaxy properties, derived from a random subsample of 5 per cent of the catalogue after imposing all shape and photo-z cuts ($\sim 2 \times 10^6$ galaxies). Top left: Histogram of $r$-band extinction corrected model magnitude. Top right: Same, for resolution factor $R_2$. Lines are shown for the $r$ and $i$ band resolution factors separately, and for $\min(R_2, r, R_2, i)$ which will be important when we consider selection biases. Middle left: photo-z histogram, and the inferred true $dN/dz$ from N11. Middle right: Histogram of template values used for inferring photo-z, where lower values correspond to redder/earlier-type galaxies. Bottom: Histogram of total ellipticity value ($\sqrt{\epsilon_1^2 + \epsilon_2^2}$), which includes a significant contribution from noise.
Table 1. Basic information about the source catalogue presented in this paper, including overall numbers and area; statistics of galaxy properties; and statistics of the observing conditions.

| Property                      | Percentiles | Mean  |
|-------------------------------|-------------|-------|
| r-band model magnitude       | 19.56       | 20.79 |
| $R_{2,r}$                    | 0.46        | 0.63  |
| photo-z                      | 0.15        | 0.37  |
| $z$ (from N11)               | 0.21        | 0.39  |
| $A_r$                        | 0.042       | 0.079 |
| PSF FWHM ($r$, arcsec)       | 1.03        | 1.21  |
| PSF FWHM ($i$, arcsec)       | 0.95        | 1.14  |
| $10^{0.4A_r \sigma_{sky,i}}$ | 0.040       | 0.045 |

Fig. 3 shows the fraction of the galaxies that satisfy all the cuts we impose on the source catalogue (i.e., in magnitude, flags, and photo-z cuts listed in Appendix A) that have usable shape measurements; the dependence on apparent magnitude is fairly strong for galaxies fainter than $r \approx 21$ mag.

Fig. 4 shows density contour plots (logarithmically spaced, with factors of 2.5 in the density) relating the resolution factor $R_2$, shape measurement uncertainty $\sigma_\epsilon$, and the photo-z to the r-band apparent magnitude. First, we see that the r-band magnitude and the resolution factor $R_2$ are weakly correlated. Naturally, the r-band magnitude and the shape measurement errors are significantly (positively) correlated. Finally, the r-band magnitude correlates with the photo-z as well because fainter objects are more likely to be at high redshift.

4.2 Dependence on imaging conditions

Given that the catalogue generation procedure entails placing a cut on the resolution of the PSF-convolved galaxy image with respect to the PSF, the source number density is clearly dependent on the imaging conditions.

In order to explore this effect, we carry out several tests on stripe 82 ($-50 < RA < +60$, $-1.25 < Dec < 1.25$ degrees). Conveniently, this area has enough observations at any given point that allows us to make multiple independent versions of the source catalogue (using the procedure from Appendix A) with different observing conditions.

4.2.1 Realistic range of conditions

Here we consider the realistic range of observing conditions covered by the full source catalogue, including the seeing FWHM and the relevant combination of sky noise and extinction that determines the $S/N$, or $10^{0.4A_r \sigma_{sky}}$ in nanomaggies (nmgy). Fig. 5 shows histograms of these properties for uniformly distributed random points within the physical boundaries of the source catalogue, and for the source galaxies, which are biased in the direction of better seeing (where the seeing in the two bands used for the shape measurement is highly correlated). It is clear from this plot that the sky noise level has a minimal effect on the probability of a source galaxy being included in the catalogue.

---

6 This unit of flux is defined such that the apparent magnitude $m = 22.5 - 2.5 \log_{10} [\text{flux (nmgy)}]$. 
Figure 4. Each panel shows contour plots of the density of source galaxies in a different 2d parameter space. In all cases, contour levels are logarithmically spaced by a factor of 2.5. Top: Density contour plot for $r$-band magnitude and resolution factor $R_{2,r}$. Middle: Same, for $r$-band magnitude and the total (band-averaged) shape measurement error $\sigma_x$, estimated from the sky variance and simple assumptions in Eq. [A7]. Bottom: Same, for $r$-band magnitude and photo-$z$.

Figure 5. Observing conditions in the entire source catalogue, as compared to that for randomly distributed points within the same area coverage. Top: Histogram of $i$-band PSF FWHM for source galaxies (solid) and random points (dashed lines). Middle: Same, for the sky noise (standard deviation). Bottom: Density contour plots of $r$ versus $i$ band PSF FWHM, with contours that are logarithmically spaced by factors of 2.5.
Given our finding that the catalogue covers a broad range of seeing conditions, which do have a noticeable impact on the source number density, we generated independent versions of the source catalogue on stripe 82 using 3 sets of imaging runs with good (run numbers 2700, 2728, 4263), typical (4128, 4203), and poor seeing (250, 3434, 4253, 4288). In all cases, the sky levels are fairly typical for stripe 82, so the difference in PSF is the predominant difference between the catalogues. These catalogues cover an area of 125 deg$^2$ ($-10 < \text{RA} < 40$ for the full width of the stripe) and have identical coverage, so any differences in the observed distribution of galaxy properties is due to a difference in what we can detect given the observing conditions, and not some underlying difference in the galaxy populations.

The histograms of PSF FWHM in the three versions of the catalogue are shown in Fig. 4, the median $r$ (i) band PSF FWHM values are 0.90″ (0.87″), 1.15″ (1.09″), and 1.32″ (1.26″), going from best to worst seeing. For the three versions of the catalogue, the observed source number density is 1.25, 1.08, and 1.04 arcmin$^{-2}$.

The distribution of observed galaxy properties is also shown in Fig. 4, including histograms of apparent magnitude, resolution factor, and photo-$z$. As shown, one impact of poor seeing is the inability to resolve shapes preferentially for the fainter galaxies. As a consequence, in good seeing, the typical source apparent magnitude is fainter, indicating that we are sampling a different intrinsic galaxy population. This effect was also observed in N11. Moreover, in good seeing the typical resolution factor $R_2$ of the sources is higher. While this should obviously be the case for an identical sample of galaxies, it was not necessarily obvious that this would happen since the galaxy populations are intrinsically fainter and smaller in the catalogue that has good seeing. Finally, the $z_{\text{phot}}$ distributions only change marginally as we go from typical to poor seeing.

We can therefore conclude that the seeing is a major factor that determines the underlying galaxy population that gets included in the catalogue. However, Fig. 4 does not answer the question of whether the observed galaxy properties depend on the seeing, or whether the errors in the galaxy properties in different observations are correlated. To answer those questions, we turn to a direct comparison of the observed galaxy properties for those that appear both in the “best seeing” and the “worst seeing” catalogues. Due to noise and the different seeing values, only a subset of these catalogues are matched with each other (66 per cent of the poor seeing catalogue). Still, we compare the observed magnitudes, photo-$z$, resolution factors, and shapes, for the matched sample in Fig. 7.

As shown in Fig. 7 the resolution factor $R_2$ is the only parameter that clearly varies substantialy when comparing a matched sample with observations in good versus poor seeing, as one might expect given that it is determined by a combination of the galaxy size and the seeing. The shape, apparent magnitude, and photo-$z$ contour plots are quite symmetric about the 1:1 line, which is reassuring. However, given the large matched sample ($>2 \times 10^5$ galaxies), it should be possible to check explicitly for correlations between the different observations of these galaxy properties via an estimate of the Pearson cross-correlation coefficient $r_{xy}$.

To do so, we calculate the differences between these observed quantities ($\Delta r$, etc.) and the difference between the seeing values in the two observations, and correlate the differences with each other. The correlation coefficients between the various properties are shown in Table 2. As shown, aside from the expected significant negative correlation ($r_{xy} = -0.329\pm0.002$) between the seeing and the resolution factor (larger FWHM results in a lower resolution factor), there is another correlation of similar magnitude, between the resolution factor and the apparent magnitude. The sign of this correlation implies that in the observation in which the galaxy appears to be larger (better-resolved) according to the second moments, it also appears to be brighter when measuring flux using the model magnitudes. This correlation is expected, and in the Gaussian case, should have magnitude $+0.707$ [Hirata et al. 2004]. We do not expect exactly this value because the magnitudes are obtained via model fits, and there are selection criteria imposed on these quantities which will change the expected correlation. Thus we accept the observed, significantly positive correlation as expected despite its being weaker than the naive Gaussian calculation would predict.

There are also several parameter correlations in Table 2 that are small, at the few per cent level, yet statistically significant given the large sample size. For example, when the seeing is worse, the total ellipticity tends to be larger. It is unclear whether this is a true bias on the different observation conditions (there are several types of calibration bias that could result from the decreased resolution or increased noise, as explained in Sec. 4.4), or whether it is merely due to the fact that a galaxy observed in worse seeing is spread out over more pixels and therefore has a noisier shape.

There is also a small positive correlation between noise fluctuations in apparent magnitude and photo-$z$: those galaxies that appear fainter due to noise fluctuations also appear to be at higher redshift. Given the lack of luminosity prior on the photo-$z$, it is not completely obvious that this correlation should exist. However, it may be tentatively attributed to the fact that if the galaxy SED that the photo-$z$ code is trying to use has a break in the $r$ band (as for the $z > 0.4$ galaxy population), and the galaxy seems too faint in $r$, then the
photo-z code will move the break even further into the red (i.e., higher redshift) to compensate.

There are a few conclusions we can draw from the calculations in this section. First, the observed galaxy properties that do not depend explicitly on the seeing (such as the ellipticity, apparent magnitude, and photo-z), but that could have acquired some dependence on seeing due to issues with data processing, do not have a strong dependence on seeing, as one would hope. This statement is true even for a fairly significant difference in seeing values, from $0.9''$ to $1.4''$, which as shown in Fig. 5 and Table 4 are around the 16 and 84 percentiles of the observing conditions of the source catalogue. The second point is that there is no significant correlation between noise fluctuations (or seeing-dependent systematic effects) in the galaxy ellipticity and the photo-z. This point is very important, since we would like to treat photo-z systematics and shape systematics on the lensing signal independently (Sec. 4.3), and the results in this section confirm the validity of that approach.

4.3 Systematics tests: shape catalogue

4.3.1 Tests of individual galaxy shapes

The first systematics test that we present is a comparison between this and the M05 shape catalogue, for galaxies that appear in both.

For this purpose, we carried out a matching process between the two catalogues, using a tolerance of 1''.

Due to the effects of noise on the observed resolutions and magnitudes, and the different versions of software used to process the two catalogues, the match rate is typically 73 per cent. The results of matching are shown for a $\sim 100$ deg$^2$ patch of sky in Fig. 5, we compare the $r$ band magnitudes, the $r$-band resolution factors, and the band-averaged $e_1$ (comparison of $e_2$ is similar).

As shown in the top panel of Fig. 5, in this particular patch of the sky, there is a small zero-point offset of 0.02 magnitudes in the $r$ band, in addition to some scatter resulting from differences in processing. The typical zero-point offset between the old and new catalogue varies from location to location, because the old catalogue used older, less reliable, and run-dependent photometric calibrations than the new catalogue. For nearly all of the area covered by both catalogues, the zero-point offset is typically several hundredths of a magnitude in either direction.

The middle panel of Fig. 5 compares the $r$-band resolution factors in the M05 and new catalogue. There is a clear tendency for galaxies to be estimated as being better resolved in the new catalogue than in the old one, which is more significant for poorly resolved galaxies. This results from one of the bugs in determining the PSF for the shape measurement that affected the old catalogue (as described in Appendix B). The result of this problem is that the galaxy selection cut of $R_2 > 1/3$ was intrinsically more conservative in the old catalogue than in the new one.

Finally, the bottom panel of Fig. 5 compares the ellipticities in the new and M05 catalogue, and reveals a slight additive offset and a multiplicative calibration difference. Both of these issues are due to the bug (Appendix B) that led to the PSF size being slightly overestimated in the old catalogue. The multiplicative offset is such that the galaxy ellipticities in the old catalogue are $\sim 1.5$ per cent larger, because the bug had resulted in overestimated sizes for the PSFs, so the dilution corrections were too large. Fortunately, this 1.5 per cent effect is not very large compared to the typical systematic calibration uncertainties (8 per cent) that is considered as part of the error budget in the science analyses with the old catalogue. The slight additive offset between ($e_1$) in the old and new catalogues likewise arises due to the PSF size misestimate in the old catalogue, which meant that the correction for the PSF ellipticity (which is systematically nonzero along the $e_1$ direction) was also incorrect in the old catalogue.

We also consider, for galaxies in the new source catalogue, the comparison between $r$ and $i$ band shapes. Since the resolution factor and $S/N$ is not necessarily the same in the two bands, we might expect there to be some systematic offset even if the light profile is the same in the two bands. Moreover, for bulge+$i$ disk galaxies, we expect the bulge to be more prominent in $i$ band than in $r$, which might lead to generally rounder shapes. Fig. 5 shows a comparison of the $r$ vs. $i$ band shapes for a randomly selected subsample (5 per cent) of galaxies in the source catalogue. As shown, there is no indication of any systematic offset between the shape measurements in the two bands.

4.3.2 RMS ellipticity and shape measurement errors

One quantity which is important for estimating errors, for optimally weighting the galaxies for shear measurement, and for deriving shears from the ensemble (via the shear responsivity $R \approx 1 - e_{\text{rms}}^2$) is the RMS ellipticity, $e_{\text{rms}}$, which is defined per component, i.e.

$$e_{\text{rms}}^2 = \frac{1}{2N} \sum_{i=1}^{N} (e_1^2 + e_2^2).$$

However, the complication in estimating $e_{\text{rms}}$ from the data itself is that measurement error in the shapes can artificially inflate the estimated $e_{\text{rms}}$. Thus, in practice it is common to estimate

$$e_{\text{rms}}^2 = \frac{1}{2N} \sum_{i=1}^{N} (e_1^2 + e_2^2 - 2\sigma_{e_i}),$$

subtracting off the estimated measurement error. This equation relies crucially on accurate estimation of shape measurement error, and was used in M05 to estimate that $e_{\text{rms}}$ for SDSS galaxies is a function of magnitude, ranging from 0.35 for bright galaxies to 0.42 for faint ones.

However, recent work with simulated SDSS data (Mandelbaum et al. 2012) based on realistic galaxy...
Table 2. Pearson correlation coefficient $r_{xy}$ between pairs of observational conditions and/or galaxy properties, for a matched sample of galaxies observed with good and with poor seeing. In all cases, given the large sample size, the statistical uncertainty $\Delta r_{xy} = 0.002$. $r_{xy}$ entries that are nonzero at $\geq 3\sigma$ significance are shown in bold.

|       | $r_{xy}$ | $\epsilon_1$ | $\epsilon_2$ | $\epsilon_{tot}$ | $z_{phot}$ | $r$ |
|-------|----------|---------------|---------------|------------------|------------|-----|
| $r$-band PSF FWHM [arcsec] | 1 | $0.329$ | $0.000$ | $0.000$ | $+0.031$ | $-0.010$ | $-0.032$ |
| $R_{2,r}$ | 1 | $-0.015$ | $-0.013$ | $-0.007$ | $+0.010$ | $-0.361$ |
| $\epsilon_1$ | 1 | $-0.001$ | $+0.043$ | $-0.001$ | $+0.006$ | |
| $\epsilon_2$ | 1 | $+0.023$ | $+0.002$ | $+0.005$ | |
| $\epsilon_{tot}$ | 1 | $-0.001$ | $+0.001$ | |
| $z_{phot}$ | 1 | $+0.080$ | |
| $r$ | 1 | |

Figure 6. Each panel compares three independent versions of the source catalogue covering 125 deg$^2$ of stripe 82, with good, typical, and poor seeing. Top left: Histogram of $r$-band PSF FWHM. Top right: Same, for $r$-band apparent magnitude. Bottom left: Same, for the $r$-band resolution factor $R_2$. Bottom right: Same, for $z_{phot}$. 

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Disk galaxy optical-to-virial velocity ratios

Figure 7. A comparison of observed galaxy properties for those galaxies appearing in the “good” and the “poor” seeing versions of the catalogues covering 125 deg$^2$ on stripe 82. Top left: Density contours for the comparison between the $e_1$ shape components in the good- and poor-seeing catalogues, with logarithmically-spaced contours representing factors of 2.5 in density. The plot for $e_2$ (not shown) looks nearly identical. Top right: Same, for the $r$-band resolution factor, $R_2$. Bottom left: Same, for the apparent model magnitude $r$. Bottom right: Same, for $z_{\text{phot}}$.

models from the Cosmic Evolution Survey (COSMOS; Scoville 2007) strongly suggest that the $\sigma_e$ values used in this shape catalogue are underestimated, such that when we correct for the underestimation, the $e_{\text{rms}}$ is no longer a function of magnitude (or at most, is a weak function of magnitude). Here, we present additional evidence for this issue based on the data itself.

The key part of this analysis is that we have shape measurements in two bands ($r$ and $i$) that we expect to be the same (modulo very tiny differences due to colour gradients). Thus, we can consider the $r$ and $i$ band shape measurements to be measures of the same intrinsic quantity, with two different noise realisations. This means that if we estimate

$$\Delta e_{\alpha,j} = \frac{e_{r,\alpha,j} - e_{i,\alpha,j}}{\sqrt{\sigma_{e,r,j}^2 + \sigma_{e,i,j}^2}}$$

for $\alpha = (1, 2)$ (components), $r$ and $i$ representing the bands, and $j$ representing the galaxy, then we expect a histogram of the $\Delta e_{\alpha,j}$ values to be approximately a Gaussian with a mean of zero and a standard deviation of 1.
Figure 8. Each panel shows a comparison between observed galaxy properties in the M05 and the new source catalogue presented here, for a representative subsample of the area. Top: Difference in $r$-band apparent model magnitudes, where the points show values for randomly subsampled galaxies, the solid line shows the median trend line as a function of magnitude in the old catalogue, and the dashed lines show the 68 per cent CL. The heavy diagonal line shows a limit imposed by the fact that we required $r < 21.8$ in both catalogues. Middle: Same, for $r$-band resolution factor $R_2$. Bottom: Same, for the band-averaged first ellipticity component $e_1$.

Figure 9. A comparison of the $r$-band $e_1$ and the $i$-band $e_1$ on a per-galaxy basis. The contours shown are logarithmically spaced by factors of 2.5 in density; the line shows the ideal 1:1 line. A corresponding plot for the $e_2$ component (not shown) looks identical.

As shown in Fig. 10 for a random subsample (5 per cent) of the source catalogue, the histogram differs from the expected one in two ways: first, the best-fitting Gaussian has a standard deviation of 1.43 rather than one, and second, there are tails to $|\Delta e| \geq 5$ that exceed our expectations for a Gaussian distribution. This plot represents empirical evidence that our shape errors are underestimated and non-Gaussian.

We determine an approximate correction for this underestimation of the shape measurement errors (still in the Gaussian approximation) assuming that it is a function of apparent magnitude, resolution, and ellipticity itself. The correction takes the form of independent third-order polynomials in each of those three quantities, with the correction being more important for bright, poorly-resolved, and/or round galaxies. Once we apply the correction, we can estimate the $e_{\text{rms}}$ as a function of apparent magnitude and other properties, for which the results are shown in Fig. 11.

As shown in the top panel of Fig. 11, which uses all sources in a random 5 per cent of the source catalogue without imposition of any additional cuts or weight factors, the underestimated shape measurement errors led to what seemed like a significant evolution in the value of $e_{\text{rms}}$ with magnitude, from 0.36–0.42. However, after correcting for the underestimation of the shape measurement errors, we see that the $e_{\text{rms}}$ curve is much closer to flat, ranging from 0.36–0.38.

However, what really matters when computing the signal is the $e_{\text{rms}}$ for the source galaxy population behind a given lens, including all weight factors from Eq. 5. In the bottom panel of Fig. 11, we show what happens...
Figure 10. Top: Histograms of difference between the shape measurements in the two bands, normalised by the expected shape measurement errors. As shown, the histograms are essentially identical for the two shape components, and differ drastically from the expected Gaussian with standard deviation of 1 (long-dashed line). The best-fit Gaussian instead has a standard deviation of 1.43, but the distributions clearly deviate from a Gaussian in that they have too much weight in the central peak and in the tails, and not enough at intermediate values (large kurtosis). Bottom: Cumulative probability distributions corresponding to the histograms in the top panel.

This discussion ignores the non-Gaussianity in the shape measurement errors, demonstrated by the kurtosis leading to tails to large values in Fig. 10. Using the noise probability distribution from that plot, rather than a Gaussian one, we estimate that the non-Gaussian nature of the noise has an equal impact on \( e_{\text{rms}} \) as if the shape measurement errors were Gaussian but with a standard deviation that is a factor of 1.18 larger. When we include this in the calculations that go into Fig. 11, the long-dashed line in the top panel becomes even closer to flat with magnitude than it already is.

Ultimately, we will need to use these results about the \( e_{\text{rms}} \) when we determine the shear calibration bias using the new catalogue in Sec. 4.4. We conclude based on the corrected shape measurement error estimates and our assessment of the noise probability distribution that an appropriate value of \( e_{\text{rms}} \) (including the source photo-z cut implied by the requirement that \( z_{\text{phot}} > z_{\text{lens}} \), and the source weighting), is in the range 0.35–0.37. This implies that the true shear responsivity should be \( R = 1 - e_{\text{rms}}^2 = 0.863 - 0.878 \).

As discussed in Mandelbaum et al. (2012), a flat \( e_{\text{rms}} \) with magnitude is also consistent with space-based
data from COSMOS (Leauthaud et al. 2007). While the actual values of $\sigma_{\text{rms}}$ differ (0.27 there; versus 0.36 here), this is a consequence of the different shape estimators used for the COSMOS versus for the SDSS data, rather than a true disagreement in the intrinsic galaxy shapes (Mandelbaum et al. 2012). The circularly-weighted shape estimators used for the COSMOS galaxies will tend to less elliptical (rounder) measurements than the adaptive moments used for SDSS.

4.4 Lensing signal calibration

To estimate the calibration of the lensing signal measured as weighted sums over $\hat{\gamma}_i \Sigma_c$ (Sec. 2.3), we consider several types of calibration biases, from M05 and subsequent work (e.g. Mandelbaum et al. 2008). We then combine the estimated biases by multiplying them all together, assuming that they are independent. We therefore consider calibration biases and uncertainties due to all of the following:

(i) Calibration uncertainty due to misestimation of $\Sigma_c$ due to use of photo-z for sources.
(ii) Stellar contamination due to incorrect inclusion of stars in the shape catalogue.
(iii) PSF model uncertainty.
(iv) Shear responsivity error due to mistaken $\sigma_{\text{rms}}$ estimate resulting from incorrect shape measurement error estimates (as in Sec. 1.3.2).
(v) Three different shear calibration biases that we cannot consider independently, and that have uncertainties that add linearly:
   (a) PSF dilution
   (b) Noise rectification bias
   (c) Selection biases

We describe each of these separately and conclude this subsection with a final tally of the systematic error budget.

4.4.1 Photometric redshift errors

N11 addressed the question of how photo-z errors for these ZEBRA photo-z impact the calibration of the galaxy-galaxy lensing signal, as a function of lens redshift. Here, we simply use the results from N11 directly, which results in a calibration bias estimate of $2.0 \pm 0.5$ (1σ) per cent (the same for each stellar mass bin due to their similar redshift distributions).

4.4.2 Stellar contamination

Using the calibration sample from N11 and space-based data from COSMOS, we can constrain the stellar contamination in the galaxy-galaxy lensing signal. Out of 4290 source galaxies overlapping the COSMOS region, 32 are stars, or 0.75 per cent. However, to constrain their impact on the shear calibration, we cannot simply use the fractional contamination; we have to include the lensing weights to calculate the fractional weight given to stars, and account for the fact that we require source $z_{\text{phot}} > z_{\text{lens}}$. When we take this into account, we estimate stellar contamination of 0.64 per cent, with 1σ Poisson uncertainties of $[-0.15, +0.12]$ per cent.

However, there is an additional uncertainty having to do with the fact that (a) the stellar density is not always the same as in the COSMOS field, and (b) the observing conditions in the COSMOS field, including the atypically high sky noise (see N11 for details), may affect the influence of incorrectly including stars in the catalogue. The first issue is likely not very significant, since the stellar number density depends on galactic latitude according to $1/|\sin b|$. On average, this quantity is equal to 1.43 over the COSMOS field versus 1.40 over the whole catalogue (the difference is not severe because we exclude regions near $|\sin b| \sim 0$ with the $A_\nu < 0.2$ cut). Because of systematic uncertainty associated with the second point, we double the 1σ statistical error, which ends up giving us a 50 per cent uncertainty in the stellar contamination: 0.64 ± 0.3 per cent. Note that stellar contamination biases the shear to be lower, so the sign of the bias is negative, i.e., $-0.64$ per cent.

4.4.3 PSF model uncertainty

We follow the method of Hirata et al. (2004) for handling PSF model uncertainty. The basic idea is that the PSF model is not correct, then the PSF correction can introduce systematics into the shape measurement. If these errors in the PSF model are purely statistical, then we can expect that they will lead to shear calibration biases that will ultimately cancel out using a large enough area. However, systematic issues with the PSF model size will lead to coherent tendencies to over- or under-correct for the dilution of the galaxy shapes due to the PSF, so we would like to constrain such systematics.

We first estimate systematic errors in PSF ellipticity ($\delta e_1$, $\delta e_2$) and trace, $\delta T^{(p)}/T^{(p)}$, using real stars drawn from randomly selected fields in the source catalogue. In each randomly selected field, we use all stars passing basic flag cuts and with $18 < r < 20$. The motivation behind using this range of magnitudes is that (a) they are faint enough that this is a non-trivial test of the PSF model because PSF star[8] only go as faint as $r \sim 19$, and (b) they are bright enough that the moments are not too noisy and contamination of the star sample

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[8] Systematic issues with the PSF ellipticity typically cause coherent additive shears rather than shear calibration biases; see Sec. 4.5.2.

[9] R. Lupton, priv. comm.
by galaxies is not overly large (Lupton et al. 2001). For each of the $5 \times 10^5$ stars, we find, in both $r$ and $i$ bands, the following:

\[
\begin{align*}
\Delta \epsilon_1 &= \epsilon_{1,\text{star}} - \epsilon_{1,\text{PSF}} \\
\Delta \epsilon_2 &= \epsilon_{2,\text{star}} - \epsilon_{2,\text{PSF}} \\
\Delta \ln T &= (T_{\text{star}} - T_{\text{PSF}}) / T_{\text{PSF}},
\end{align*}
\]

where $T$ is the trace of the moment matrix (Eq. A1) and the “PSF” quantities are those of the full KL PSF model extrapolated to the position of that star.

The results of this test are shown in Fig. 12. The upper left panel shows the histograms of $\Delta \epsilon_1$, $\Delta \epsilon_2$, and $\Delta \ln T$ in the $r$ band ($i$ band is qualitatively similar). Before generating this histogram, we removed the small fraction of outliers that had $>5\sigma$ discrepancies between the star and PSF model, assuming that these are due to contamination of the star sample rather than true modeling failures. As shown, the PSF model ellipticities appear to be quite accurately estimated. However, the PSF trace is skewed towards positive values. Note that this is exactly the signature we might expect from contamination of our test sample by poorly resolved galaxies (since we have not imposed precisely the same set of cuts that are used to select stars for PSF reconstruction). As a result, we cannot definitively state that these characteristics of the histogram are due to true PSF model failure. Moreover, even if it is due to PSF model failure, the actual size of the failure is (in the mean) quite small, $\lesssim 1$ per cent. But a difficulty with this explanation is that when we split the star sample used for these tests into $r < 19$, which may have been used for PSF model estimation, and $r > 19$, which should not have been, we find that the deviations between the star sizes and the PSF sizes are actually more significant for the brighter sample than for the fainter one.

The other panels of Fig. 12 show trends in the quantities from Eq. 12 with camcol, where the results in camcol= 0 show the results for all camcols averaged together. As shown in the bottom panels, while the median and mean deviations in the PSF model ellipticities are of order $5 \times 10^{-4}$ (with the median and means agreeing quite well, given that the distributions in the upper left panel are well behaved), there is an interesting trend with camcol for the $r$-band, with the $r$-band PSF model ellipticity in camcol 2 being on average wrong by $\sim 3 \times 10^{-3}$ (about 20 times worse than for the data in all camcols, overall). This trend was originally noted in Huff et al. (2011, in prep.), and it means that if we average the galaxy shapes in camcol 2, they have a small systematic deviation from zero as well, because the wrong PSF ellipticity was used in the PSF correction process. Possible explanations include improper nonlinearity corrections on the $r$-band camcol 2 CCD, because the stars used to create the PSF model have significant nonlinearity corrections, but the stars used for this test and the galaxies typically used for the shape catalogue do not. Fortunately, while this does impact large-scale systematic additive shears, it does not affect the shear calibration since the PSF model size is not nearly as affected as its ellipticity.

4.4.4 Shear responsivity error

As shown in Sec. 28, our shear estimator relies on the fact that the shape definition in Eq. (8) responds to shear in a particular way: $\delta \epsilon_i / \delta \gamma_{ij} \approx 2(1 - \epsilon_{\text{rms}}) \equiv 2\mathcal{R}$, where the shear responsivity depends on the intrinsic RMS ellipticity per component for the source sample. As described in Sec. 4.3.2, accurate estimation of $\mathcal{R}$ requires us to be able to subtract the shear measurement error to get the ‘true’ RMS ellipticity of the population. We have already shown there that our shape measurement errors are underestimated, leading to an overestimation of $\epsilon_{\text{rms}}$, underestimation of $\mathcal{R}$, and overestimation of the shear. As we concluded there, the true value of $\epsilon_{\text{rms}}$ should be in the range 0.35–0.37, giving $\mathcal{R} = 1 - \epsilon_{\text{rms}}^2 = 0.863$–0.878. When computing the signal...
using the shape measurement errors in the catalogue, we had estimated $R = 0.848$. This means that our mean calibration bias for which we must correct is $+2.5$ per cent, and its $1\sigma$ uncertainty is, conservatively, $\pm 1$ per cent.

### 4.4.5 PSF dilution

PSF dilution is the rounding of the galaxy shape due to the PSF. A key purpose of PSF-correction is to apply a correction for the PSF dilution. The accuracy of the re-Gaussianization dilution correction, as a function of the galaxy resolution factor and Sérsic index, was shown by Hirata & Seljak (2003) and Mandelbaum et al. (2005a) using noiseless idealised simulations; more recently, Mandelbaum et al. (2012) did the same test but...
using a realistic distribution of galaxy morphologies (including small-scale structure, starting from COSMOS galaxy images) and SDSS PSFs.

The simulations in specifically mimicked the SDSS imaging conditions in the COSMOS field, which have slightly better seeing and somewhat higher sky noise than typical SDSS data. From the results shown for the noisy simulations there, we can directly derive the calibration bias due to PSF dilution and noise rectification bias together, rather than deriving separate estimates for each one.

### 4.4.6 Noise rectification

Noise rectification bias is bias in shear estimates due to the finite $S/N$ of the galaxy images. Because the estimation of the galaxy shape is a nonlinear process involving measurement of galaxy moments, the noise in the original image means we cannot necessarily estimate galaxy shapes that are unbiased in the presence of noise. [Hirata et al. (2004)] and M05 gave analytic approximations for the noise rectification bias for methods such as re-Gaussianization that rely on using adaptive moments of the image to estimate the shape. As shown there, the magnitude and sign of the effect depends on how well resolved the galaxy is, but it becomes large and positive for poorly resolved galaxies, which is the motivation for our imposition of a cut on resolution factor at $R_e = 1/3$.

In this work, we rely on the simulated SDSS data described above, from [Mandelbaum et al. (2012)]. The idea is that simulating data without any noise allows us to derive a calibration bias due to incorrect PSF dilution corrections, and when we add noise to the simulations, we are measuring a combination of the calibration biases from both the incorrect dilution corrections and the noise rectification bias.

The resulting bias and uncertainty on that bias are $-6 \pm 2 \text{ per cent}$ (the $-4 \pm 2 \text{ per cent}$ from [Mandelbaum et al. (2012)] included, as discussed there, a $+2 \text{ per cent}$ bias due to shear responsivity errors, which we want to treat separately for the purpose of this paper). However, there are additional effects that must be taken into account in any realistic analysis, which we account for here.

First, we must consider the fact that in [Mandelbaum et al. (2012)] we applied only a crude galaxy selection to the simulated galaxies: rather than processing the simulations with PHOTO so that we could cut on the model magnitudes, we simply applied an estimated $S/N$ cut (using the estimated $\sigma_z$ based on the sky noise, ellipticity, and resolution factor). The other cuts were similar to those employed here, on the resolution factor and total ellipticity. The first question we face is whether the galaxy population that results is similar in $S/N$ and resolution factor to the observed one in the real catalogue. To avoid issues of sample variance, we ask this question specifically using the real source catalogue in the COSMOS region. There, we find that there are 3 695 galaxies with COSMOS galaxy postage stamps used for the simulation that pass all cuts in the real data, as compared with 3 680 in the simulations. These numbers are statistically consistent once we account for the fact that different random noise fields were added to the simulations than to the real data. This finding suggests that the cuts in the simulations cannot be effectively too different from the model magnitude cuts in the real data. Moreover, the two-dimensional distributions of resolution factor and $S/N$ were nearly consistent, with the effective mean values the same in the simulations as in the real data to within 3 per cent. Given that the shear calibration was only found to be a weak function of those properties in [Mandelbaum et al. (2012)], we assume that the effect of the crude cuts imposed on the simulations leads to a negligible change in the errors due to PSF dilution corrections and noise rectification bias with respect to those in the real data.

The next issue is the fact that the shear calibration bias depends on the galaxy population, which (as shown previously in Sec. 4.2) depends on the observing conditions. Therefore, we must simulate SDSS data with other observing conditions besides that in the COSMOS field. To carry out this test, we chose 8 random locations within the footprint of the source catalogue, and simulated the COSMOS field as it would have looked at those positions, including the full PSF model and sky noise. We then checked how much the shear calibration depends on the conditions. While detailed results will be shown in Mandelbaum et al. (2011, in prep.), we find that the shear calibration bias (in contrast to the bias due to photo-z, see N11) is not demonstrably a function of observing conditions when we allow them to vary in a reasonable way as sampled by these random points, including variations in PSF ellipticity, PSF size, PSF kurtosis, and sky noise. For the eight random positions, we find a shear calibration bias due to PSF dilution of $-4.8 \pm 2.5 \text{ per cent}$, which is statistically consistent with the $-6 \pm 2 \text{ per cent}$ from [Mandelbaum et al. (2012)] within our claimed 2 per cent (1σ) uncertainty.

In addition, we must include in the simulation such realistic effects as the selection of galaxies with $z_{\text{phot}} > z_{\text{max}}$ and the galaxy weighting by $1/\Sigma^2$ (Eq. 3), rather than taking unweighted averages of all galaxies passing basic shape cuts as in [Mandelbaum et al. (2012)]. We have carried out several tests of this issue; the basic tests have included first cuts on photo-z and then the realistic weighting, with two possible photo-z. The first are the COSMOS photo-z [Ilbert et al. (2009)], which have an RMS uncertainty $< 0.01$ for the apparent magnitudes considered here. The second are simulated SDSS photo-z that start with the COSMOS photo-z and put in the error model estimated by N11 for the SDSS ZEBRA photo-z [Ilbert et al. (2009)]. These tests reveal that the average 10 We cannot include photo-z as part of our simulation of SDSS data directly, because it would require us to (a) simulate more than just i band and (b) measure galaxy colours using the same method as for real data, including processing the simulations with the SDSS PHOTO pipeline. However,
calibration bias due to PSF dilution and noise rectification bias changes by $-0.5$ per cent (i.e., significantly less than our quoted uncertainty of 2 per cent from the simulations in Mandelbaum et al. 2012). We therefore correct for a systematic bias due to these two effects of $-5.3$ per cent — the $-4.8$ per cent averaged over random positions within SDSS with a $-0.5$ per cent correction due to weighting effects. Our estimated 1σ uncertainty is $\pm 2$ per cent.

### 4.4.7 Selection bias

The final shear calibration bias that we consider is selection bias. As described in Hirata et al. (2004), the dominant selection bias for our catalogue is due to the responsivity cut of $R_2 > 1/3$ in both bands. For a galaxy of a given area, those that are more elongated will have a larger $R_2$ than those that are round. This means that a shear will effectively increase the $R_2$ value of any given galaxy. For a galaxy near the resolution limit, this means that if its intrinsic (pre-lensing) shape is aligned with the weak lensing shear, then its ellipticity and therefore $R_2$ will be increased and it may be included in our sample, whereas those that are anti-aligned with the shear will be made more round and therefore have a reduced $R_2$, so they might fall out of our sample. Effectively, this means that the assumptions behind any weak lensing analysis, that the mean tangential galaxy ellipticities should go to zero in the absence of lensing, will be violated. The overall effect of this selection bias is to enhance the estimated shear, unless there is also an upper limit on resolution which will give an effect of opposite sign (the relative magnitude of the effects due to lower and upper limits on resolution depend on the resolution factor distribution).

Unfortunately, the simulations based on COSMOS data from Mandelbaum et al. (2012) that are used to estimate the magnitude of shear biases due to incorrect PSF dilution corrections and noise rectification biases do not include this particular calibration bias. The reason for this is that those simulations were generated using pairs of galaxies that were identical but for a 90 degree rotation. When the galaxy pairs are sheared, one has its ellipticity and $R_2$ increased, and the opposite occurs to the other, so requiring $R_2 > 1/3$ for both will not cause the same selection bias as in the real data, where we require $R_2 > 1/3$ for two noise realisations with the same orientation (i.e., the $r$ and $i$ band data).

To estimate this selection effect, we rely on the arguments in M05 that, in the Gaussian approximation, we can use

as demonstrated in Sec. 4.2, the photo-z errors and ellipticity errors are not correlated between different observations, so it is fair to treat them separately rather than within one self-consistent framework.

\[ \frac{\delta \gamma}{\gamma} = \frac{R_{2,\text{min}} (1 - R_{2,\text{min}})}{R} \epsilon_{\text{rms}} n(R_{2,\text{min}}). \]  

(14)

Here, $n(R_{2,\text{min}})$ comes from the histogram of $R_2$ values derived from using, for each galaxy, the minimum value of $R_2$ in $r$ or $i$ band, and evaluating the histogram at the lower bound of $1/3$. The reason for this is that our selection imposes $R_2 > 1/3$ in both bands, and therefore the lower of the two determines whether a galaxy makes it into the sample. We have plotted this histogram in Fig. 2, however, for the sake of this calculation, we must use a weighted histogram that includes (a) the photo-z cuts for $z_{\text{phot}} > z_{\text{lens}}$ and (b) the weighting that goes into calculation of the lensing signal. When we do this, we estimate $\delta \gamma / \gamma \sim 5$ per cent. Given the approximations going into this calculation, we assign a 1σ uncertainty to this calibration bias equal to half its value, or $\pm 2.5$ per cent.

We have attempted a more precise empirical estimate using the simulations from Mandelbaum et al. (2012). To do so, we impose our selection criteria on galaxy pairs from two independent noise maps of the galaxy with the same orientation (to mimic our real SDSS selection in $r$ and $i$). In this case, we expect the selection bias to operate in a similar way as in the real data. We can do this again using galaxy pairs from two independent noise maps of the 90 degree rotated orientation, which will have the same selection bias, and then average those results with the first set of results to beat down the noise. In this case, however, the shape noise cancellation is no longer exact (because there are many boundary cases where the original or rotated orientation does not get included, either due to noise or the selection bias) and therefore the results are more noisy. However, the preliminary estimate of this effect is half as large as the one from the analytic estimate, or 2.5 per cent, and is likely more reliable given that it has far fewer assumptions about the galaxy models and the way the resolution factors respond to shear for those models.

For the purpose of this work, we therefore assume a calibration bias due to selection bias of $2.5 \pm 2.5$ per cent, and defer a more precise estimate from simulations to future work, Mandelbaum et al. (2011, in prep).

Note that there is an additional possible selection bias, due to our requirement that $\epsilon_{\text{cut}} < 2$. However, any bias in the shear due to this cut will have been implicitly included in the estimates of shear calibration bias from the COSMOS-based simulations used to estimate PSF dilution corrections and noise rectification bias, so we do not consider it separately.

### 4.4.8 The total systematic error budget

We find that the calibration bias due to calculating the lensing signal estimated using the procedure in Sec. 2.3 after combining all effects from the rest of this section, is $+0.5$ per cent. Therefore, for figures in this paper, we multiply the signal by a factor of 0.995 (accumulating all factors from this subsection). In practice, when performing fits to the signal, we apply the inverse of this.
calibration factor to the theoretical signals before comparing with the data, rather than doing anything to the data.

Then, as described at the start of Sec. 4.4, we first add the uncertainties for the last three types of bias linearly, because they are all related. This gives a 1σ calibration uncertainty due to these three effects of 4.5 per cent. These are then added in quadrature with the first four effects, to give a total calibration uncertainty of 5 per cent. We defer work to lower this systematic error budget, which is dominated by shear calibration effects, to future work.

As a basic test of our understanding of shear systematics, we also estimate the relative calibration bias when computing lensing signals using the source sample split into \( r < 21 \) and \( r > 21 \) samples containing 60 and 40 per cent of the galaxies, respectively. Given the nearly identical range of \( z_{\text{sec}} \) covered by these samples, we expect theoretically that the lensing signals should be the same, and as in M05, we exploit this to test for shear calibration biases that might differ for different galaxy populations. Carrying through the same calculations as in the previous subsection, but for \( r < 21 \) and \( r > 21 \) sources separately, we estimate calibration biases of \(-0.3\) and \(-3.3\) per cent respectively. We will test in Sec. 5.2.3 whether the observed signal ratio for the two samples is consistent with our understanding of the shear calibration after correcting for those factors (which provides a basic test of our combined understanding of all the above systematic errors).

### 4.5 Scale-dependent shear systematics

In M05 and several subsequent works (most notably Mandelbaum et al. 2006a,b) issues were raised regarding systematic errors in the shape catalogue that would cause scale-dependent systematic errors in the lensing signal. We discuss several such observational effects in turn. Note that scale-dependent issues relating to theoretical uncertainties can be resolved at the stage of modeling, so they are not discussed here. These include the impact of lensing magnification (previously studied in Mandelbaum et al. 2006b, negligible here), dust extinction (for dust associated with the lens galaxies on large scales, as in Ménard et al. 2010, and the possible need to model the contribution to the mass profile from the stellar component of the lens galaxies are discussed later, in Sec. 6.1).

#### 4.5.1 Sky subtraction

A point that was raised in M05, and further quantified in Mandelbaum et al. (2006b), is that the version of the SDSS PHOTO pipeline used up through DR7 has difficulty properly estimating the sky around large and bright galaxies, such as those that are typically used as lens galaxies for galaxy-galaxy lensing. As described in Aihara et al. (2011), the new version of PHOTO used for DR8 (V5,6) has an improved sky subtraction algorithm which alleviates some of the original problem, but not all. Since galaxy-galaxy lensing measurements require the robust detection and measurement of apparently faint galaxies (sources) nearby bright ones (lenses), the residual sky subtraction problems can affect the lensing signal computation up to \( \sim 100\% \) which corresponds to 41 kpc at \( z = 0.02 \) or 185 kpc at \( z = 0.1 \) (these redshifts bracket the typical redshifts of the lens sample used for this work).

The sky subtraction error can have two potential impacts on galaxy-galaxy lensing measurements. First, we may fail to detect some faint galaxies due to the sky being overestimated. Since we normalise by the number of galaxies around random points (in order to remove the effects of physically-associated sources), we would end up multiplying our signal by a normalising factor that is too low, and therefore underestimating the galaxy-galaxy lensing signal. Second, unsubtracted sky gradients could in principle impart some tangential shear to the source galaxies. Thus, we must check for both errors in the number counts of faint galaxies near bright ones, and systematic errors in the shears.

For this test, we rely on the apparent magnitude histogram of the parent disk lens sample, in R11, which is dominated by galaxies fainter than \( r \sim 17 \), along with figure 4 in Aihara et al. (2011). The lower right panel of that figure shows that for \( 17 < r < 17.5 \) lenses, the number density of detected sources will be suppressed by \( \sim 2.5 \) per cent for \( 20 \lesssim \theta \lesssim 80^\prime\). This suggests that our boost factors and therefore measured lensing signals must be underestimated by about this amount on those scales (\( < 33 \) kpc for lenses at \( z = 0.02 \), and \( < 150 \) kpc for lenses at \( z = 0.1 \)).

In addition, we rely on some additional tests— not shown in Aihara et al. (2011)— using stars as “lenses” and looking for a tangential shear signal using the source catalogue. The idea is that there should not be any signal, so we can assume that observed signals are due to incorrect sky estimation leading to gradients that turn into tangential or radial shears. While we find strong evidence for such shear signals using the M05 catalogue to \( \theta = 25^\prime\), they are reduced with the new catalogue such that they are only evident for \( \theta < 10^\prime \) (at the 4σ level). Since we do not use such scales for science, we do not have to worry about this.

#### 4.5.2 Systematic shear

As was clearly demonstrated in Mandelbaum et al. (2006a), there is a slight “systematic shear” in the catalogue—a coherent smearing of the PSFs and the PSF-corrected galaxy shapes along the scan direction, due to the fact that the re-Gaussianization PSF correction method as implemented for this work allows \( \sim 5 \times 10^{-3} \) of the PSF ellipticity to leak into the estimated shears (Mandelbaum et al. 2012). Given the factor of shear responsivity and the typical PSF ellipticity of \( \sim 0.05 \) in SDSS, this corresponds to an additive systematic ellipticity of \( |\epsilon_{\text{sys}}| \sim 3 \times 10^{-4} \) per galaxy.
As a rule, this systematic shear is not a problem for galaxy-galaxy lensing, since the azimuthal averaging of the tangential galaxy shear at a given transverse separation $R$ removes it from the measured lensing signal. However, this is no longer true once we are at large enough $R$ that survey edge effects are important. To lowest order, we can then use the lensing signal around random points to remove this systematic shear from the real galaxy-galaxy lensing signal, provided that any correlations between the lens density and the systematic errors that determine the size of the systematic shear are also obeyed by the random catalogue. In Sec. 5.2.1 we will show the galaxy-galaxy lensing signal around random points obeying the same redshift distribution and area coverage as our real lenses, and demonstrate that for this work, we are still on sufficiently small scales that the systematic shear is irrelevant. We defer a discussion of larger scales, where it may be important, to future work (Mandelbaum et al. 2011, in prep.).

5 GALAXY-GALAXY LENSING SIGNAL

In this section, we present the galaxy-galaxy lensing signal of the lens sample (Sec. 5.1). Then, we describe and present the results of several tests of systematics on the lensing signal: namely, the random points test, the 45-degree test, and the ratio test (Sec. 5.2).

5.1 Lens sample

Figure 13 shows the measured lensing signals $\Delta \Sigma(R)$ for our lens sample in three stellar mass bins with lensing-weighted mean stellar masses of 0.62, 2.68, and $6.52 \times 10^{10} M_\odot$ (inverted blue triangles, green circles, and red triangles, respectively). The amplitude of the measured lensing signal increases with stellar mass, indicating increasing halo mass, as expected. We perform fits to model density profiles (shown by different curves in Fig. 13) to determine halo masses in Sec. 6.

Table 3 lists the basic properties of each stellar mass bin, including the range in $M_*$, the number of galaxies in the bin $N_{\text{gal}}$, the unweighted and lensing-weighted mean stellar mass (cols. 2–5). The lensing-weighted mean rotation velocity (col. 6) is calculated using the TFR, Eq. [7].

Figure 14 shows the boost factors $B(R)$ applied to these lensing signals (symbols refer to the same bins). We find that $B(R)$ is consistent with 1 for $R \gtrsim 200$ kpc in all three cases, as expected.

5.2 Tests of systematics

In this section, we present tests of systematics on the lensing signals calculated using the procedure in Sec. 2.3 and corrected for the calibration bias factors estimated in Sec. 4.3.

Figure 15 shows the lensing signal $\Delta \Sigma_{\text{rand}}(R)$ around stacked random galaxies for three $M_*$ bins with weighted mean stellar masses of 0.62, 2.68, and $6.52 \times 10^{10} M_\odot$ (blue inverted triangles, green circles, and red triangles, respectively). Also shown are the best-fitting one-halo and halo-halo profiles (black dotted and red dashed curves, respectively), the estimated baryonic component (blue dot-dashed curves) and the sum of these three (black solid curves). The range of scales used for the fits is $R = 50–2000$ kpc. For this range of scales, the baryonic contribution is negligible and the halo-halo contribution is sub-dominant to the one-halo term. We model the lensing signal as a sum of the one-halo and halo-halo profiles (as described in Sec. 6).

5.2.1 Random points test

Figure 15 shows the lensing signal $\Delta \Sigma_{\text{rand}}(R)$ around stacked random galaxies for three $M_*$ bins with weighted mean stellar masses of 0.62, 2.68, and $6.52 \times 10^{10} M_\odot$ (blue inverted triangles, green circles, and red triangles, respectively).

As shown, these signals are approximately consistent with zero, which indicates that additive shear systematics do not significantly affect the measured signals on these scales. There is a slight tendency for the signals to be negative, which is more pronounced (and statistically significant) on far larger scales than are used in this work (Mandelbaum et al. 2011, in prep.). This is most noticeable for the last data point in the lowest stellar mass bin. Using the full (non-rebinned) data, the $\chi^2$ for a fit to zero signal is 49.5, 23.5, 23.4 for the lowest to highest stellar mass bins. When computing the $p(\chi^2)$, i.e., the probability of getting a $\chi^2$ value at least as large as the observed one due to random chance, we use a simulation described in Hirata et al. (2004) to account for the noise in the bootstrap covariance matrices, which tends to artificially increase the $\chi^2$ values so that they deviate from the expected $\chi^2$ distribution. Using that simulation, we find $p(\chi^2) = 1$, 59, and 59 per
Table 3. Basic properties of the stellar mass bins used in the lensing analysis. The subscript “L” indicates lensing-weighted means, with weighting factors \( w_{\text{ls}} \).

| Range in \( \log M_* \) \((M_\odot)\) | \( N_{\text{gal}} \) | \( \langle \log M_* \rangle \) \((M_\odot)\) | \( \log (V_{\text{opt}})_L \) \((\text{km s}^{-1})\) | \( \langle z \rangle \) | \( \langle g-r \rangle \) | \( \langle M_i \rangle \) | \( \langle g \rangle \) | \( \langle r \rangle \) |
|------------------|------|------------------|------------------|------|------------------|------------------|------------------|------------------|
| 9.00–10.22       | 78419| 9.808            | 9.792            | 2.06 | 0.0555           | −19.87           | 0.4608           |
| 10.22–10.70      | 47419| 10.421           | 10.428           | 2.23 | 0.0744           | −21.06           | 0.6124           |
| 10.70–11.00      | 7760 | 10.807           | 10.814           | 2.34 | 0.0762           | −21.86           | 0.6821           |

Figure 14. Boost factors \( B(R) \) applied to the lensing signals shown in Fig. [13] for three \( M_* \) bins with weighted mean stellar masses of 0.62, 2.68, and \( 6.52 \times 10^{10} M_\odot \) (blue inverted triangles, green circles, and red triangles, respectively). As expected, the boost factors are consistent with unity for \( R \gtrsim 200 \) kpc.

Figure 15. Measured lensing signals \( \Delta \Sigma_{\text{rand}}(R) \) around stacked random galaxies for three \( M_* \) bins with weighted mean stellar masses of 0.62, 2.68, and \( 6.52 \times 10^{10} M_\odot \) (blue inverted triangles, green circles, and red triangles, respectively).

5.2.2 45-degree test

While gravitational lensing causes a coherent tangential shear effect around the lens galaxies, it does not cause any average shape distortion in the other (45 degree) ellipticity component. However, there are systematics that could cause such a nonzero \( \Delta \Sigma_{\text{45}} \), so we measure it (using the analogous equation to Eq. [3] but with the other shear component) as a systematics test. The result is shown in Fig. [16] as shown, it is consistent with zero for all 3 samples, with a \( \chi^2 \) for a fit to zero of 16.4, 15.5, 36.3 (23 degrees of freedom), giving a \( p(> \chi^2) = 90, 93, \) and 12 per cent, for the lowest to highest stellar mass bins. Thus, there is no clear evidence of systematic errors from the 45-degree test.

5.2.3 Ratio test

As described in Sec. 4.4.8, we have separately calculated the lensing signals for the sources split into \( r < 21 \) and \( r > 21 \) separately, to ensure that we properly understand the shear calibration bias as it depends on galaxy properties.

We fit the lensing signals for the \( r < 21 \) and \( r > 21 \) source samples to the best-fit halo profile for our fiducial fit to the lensing signal for all sources (described in Sec. 6.2), i.e., we fix the shape and only allow the normalization to vary. We find best-fitting scale factors of \( 0.99 \pm 0.13 \) and \( 1.04 \pm 0.16 \) for the \( r < 21 \) and \( r > 21 \) source samples, respectively, after taking the average over the three stellar mass bins (since the bins largely sample the same source populations, given that they are all at low redshift, below most of the sources). Note that the 13 and 16 per cent uncertainties do not rep-
represent our systematics floor; the systematics floor is set by the arguments in Section 4, and we carry out this test to confirm that there are no obvious observational reasons not to trust the arguments given there. We find no evidence for an offset between the lensing signals for the different source samples, and the calculated ratio, 0.95±0.19, is consistent with the predicted ratio of 1.03.

6 FITS TO THE LENSING SIGNAL

We perform fits to the measured lensing signals to determine halo masses and halo-to-stellar mass ratios as a function of stellar mass. We describe these fits in this section, and present their results in the following section, Sec. 7. We begin by describing the different components of the model lensing profile (Sec. 6.1). Then, we describe the fiducial fits from which we obtain our main results (Sec. 6.2). Finally, we describe various alternative fits that we use to test the robustness of these results (Sec. 6.3). Overall, we find that our results are not very sensitive to the particular choices made in these fits.

6.1 Density profiles

There are several contributions to the observed lensing signal \( \Delta \Sigma(R) \). The dominant contribution comes from the density profile of the dark matter halo in which the galaxy lives (also called the one-halo term). On large scales, beyond 1 Mpc, there is a contribution from statistical correlations between dark matter halos from large scale structure (also called the halo-halo term). On small scales, below several hundred kpc, there is a contribution from the baryons (stars and gas) in the galaxy.

Figure 16 shows these three different contributions to the lensing signal as (black) dotted, (red) dashed, and (blue) dot-dashed curves, respectively. The one-halo and halo-halo terms shown are the best-fitting halo profiles from fits to the data (described in Sec. 6.2). The baryonic contributions shown are for galaxies with stellar mass equal to the lensing-weighted average \( M_* \) for each stellar mass bin, and gas masses estimated from the average relation between gas-to-stellar mass ratios and \( M_* \) (based on data from R11, c.f. their fig. 11).

We find that over the range of scales used for the lensing analysis, 50–2 000 kpc, the contribution to the lensing signal from the baryonic component of the galaxy is negligible. On the other hand, the contribution from the halo-halo term is negligible below \( \sim 1 \) Mpc, and its contribution to the total lensing signal for the outermost radial bin is comparable to the 1σ uncertainty in the measurement.

Recall that we have excluded satellite galaxies from the lens sample (as described in Sec. 3.2) to simplify the analysis and interpretation of the lensing signal. For a satellite galaxy, which resides in a dark matter halo that is a subhalo in some larger host halo, the lensing signal will also have a contribution on several hundred kpc to 1 Mpc scales from the host halo. Usually, this term is interpreted statistically in terms of a halo model describing the fraction of the lens galaxy sample that are satellites, and using the halo mass function to estimate the typical lensing signal due to the host halos. By excluding satellites from our lens sample, we remove the need to model this term, thus simplifying our analysis.

We model the halo mass distribution as a Navarro-Frenk-White (1996; hereafter NFW) profile of cold dark matter haloes

\[
\rho(r) = \frac{\rho_s}{(r/r_s)(1 + r/r_s)^2},
\]

defined by two parameters, a characteristic density \( \rho_s \) and scale radius \( r_s \), or alternatively, the virial mass \( M_{200c} \) and concentration \( c_{200c} = r_{200c}/r_s \). In this work, we adopt the definition of the virial mass as the mass enclosed within the virial radius \( r_{200c} \) within which the average density is equal to 200 times the critical density of the Universe today \( \rho_{crit} \).

The estimated gas-to-stellar mass ratios are 0.99, 0.41, and 0.24, for the three stellar mass bins, respectively, based on the best-fitting relation from a weighted fit to the 189 galaxies in the TFR sample of R11: \( \log(M_{gas}/M_*) = (-0.19 \pm 0.02) + (-0.60 \pm 0.04)(\log M_* - 10.102) \).

Because the lensing signal at a given radius includes contributions from the mass at all smaller radii, it is not obvious a priori that the relative contribution to the lensing signal from the baryonic component of the galaxy (with a typical scale length of several kpc) will be negligible on the scales used in our fits (50–2000 kpc). Since the baryons are predominantly on scales \( R < 10 \) kpc, their contribution to the lensing signal on the relevant scales is simply \( \Delta \Sigma_{bar} = M_{bar}/(\pi R^2) \).
\[ M_{200c} = \frac{4\pi}{3} r_{200c}^3 (200\rho_{\text{crit}}), \]

where the subscript denotes that this mass definition uses \(200\rho_{\text{crit}}\). Compared to the other commonly adopted mass definition of \(M_{200}\) using \(200\rho = 200\Omega_m\rho_{\text{crit}}\), the virial masses and concentrations using \(200\rho_{\text{crit}}\) are lower by roughly 30 and 60 per cent, respectively (for the range of halo masses we study).

The NFW halo concentration is a weakly decreasing function of halo mass with a typical dependence given by

\[ c_{200c}(M_{200c}) = c_{200c,0} \left( \frac{M_{200c}}{10^{12} M_\odot} \right)^{-\beta_c}, \]

with \(\beta_c \approx 0.1\) (Bullock et al. 2001; Neto et al. 2007; Macciò et al. 2008). In our fiducial fits, we adopt the results of \(N\)-body simulations from Macciò et al. (2008) with cosmological parameters from WMAP5 (Komatsu 2009). For all (both relaxed and unrelaxed) haloes with \(10^{10} \lesssim M_{200c}/M_\odot \lesssim 10^{15}\), they found \(\beta_c = 0.110\). Following their redshift evolution model for the concentration-mass relation, we scale their relation by \(H(z)^{-2/3}\) to account for the difference in the effective redshifts of our two samples (\(z = 0\) vs. 0.07); this yields \(c_{200c,0} = 6.00\) \(15\). They also report a log-normal scatter in the halo concentrations at fixed halo mass of 0.130 dex (this reduces to 0.105 dex when the sample is restricted to only relaxed haloes). Our modelling of the lensing signals does not take into account the scatter in the concentration-mass relation at fixed halo mass. However, we do not think that this will significantly affect the results of the fits since we find that they are not very sensitive to the overall normalization of the concentration-mass relation itself, as shown in Sec. 6.3.

The halo-halo contribution to the lensing signal is modeled using the galaxy-matter cross-power spectrum as in, e.g., Mandelbaum et al. (2006). It is proportional to the bias \(b\), the ratio of the galaxy-matter correlation function to the matter autocorrelation function. We estimate the bias using the fitting formulae from Seljak & Warren (2004). At the mean redshift of our galaxy sample \(z = 0.07\), the growth factor \(D(z) = 0.9665\) and the non-linear mass is \(M_{\text{nl}} = 10^{12.619} M_\odot\). We find the typical bias of galaxies in our sample to be approximately \(0.7\)–\(0.9\). Note that our removal of satellite galaxies from the lens sample may modify the halo-halo term in such a way that this model is no longer accurate, but we also note that this effect may not be significant because the satellite cuts remove only \(\sim 15\) per cent of the sample. Reassuringly, we find that our fits are not very sensitive to the assumptions that go into the modelling of the halo-halo term, as shown in Sec. 6.3.

In principle, intrinsic alignments of galaxy shapes towards the lens (Hirata & Seljak 2004) can mimic a negative lensing signal. This effect can be important if there is significant weight in the lensing signal given to “sources” that are actually physically associated to the lens. In that case, this uncertainty in the theory would have to be modeled. As shown in Fig. 14, the boost factors, which indicate what fraction of the sources are actually physically associated galaxies at the lens redshift, are within \(\sim 2\) per cent of unity on all scales. This finding is unsurprising for a disk galaxy lens population, which should be dominated by field galaxies. It is possible that there are very small numbers of source galaxies that are close enough to be affected by the same tidal field as the lens (i.e., within a few tens of Mpc) without causing a boost factor \(>1\), because we expect some galaxies at these distances even for a random distribution. However, the weighting of the sources by \(1/\Sigma^2\) means that such nearby galaxies receive an extremely low weight, in addition to the fact that they are already quite rare given the relatively small comoving volume around \(z_{\text{lens}}\) compared to that around the source median redshift of \(\sim 0.4\). Thus, we expect intrinsic alignments to be completely subdominant compared to all other errors described in this work, and we neglect them in the modelling.

### 6.2 Fiducial fits

Here, we describe the fits from which we obtain the results presented in Sec. 6. First, we individually fit to the measured lensing signals for the three stellar mass bins, to obtain the best-fitting halo-to-stellar mass ratio \(M_{200c}/M_*\) for each bin (Sec. 6.2.1). Second, we model the HSMR with four free parameters and simultaneously fit the measured lensing signals for the three stellar mass bins to obtain the best-fitting HSMR (Sec. 6.2.2).

#### 6.2.1 Fits to \(M_{200c}/M_*\)

The model density profiles described in Sec. 6.1 (and shown in Fig. 13) represent the lensing signal of a single halo. We model an individual halo profile as a sum of the one-halo and halo-halo contributions, assuming a fixed concentration-mass relation given by Eq. 17. On the other hand, the measured lensing signal for each stellar mass bin has contributions from a large number of haloes, with a distribution of halo masses. To take this into account, we fit the measured lensing signals to the mean profile of an ensemble of individual haloes with a distribution of halo masses based on the actual distribution of stellar masses in each bin.

For our fiducial fits, we assume a log-normal scatter of \(\sigma_{\log M_{200c}} = 0.1\) dex at a fixed \(M_*\), and convolve the distribution in \(\log M_*\) by a Gaussian distribution with this width before the conversion to a distribution of halo masses. Typically, this scatter is expressed in terms of the log-normal scatter in stellar mass at a fixed \(M_{200c}\). \(\sigma_{\log M_*}\). Previous analysis of SDSS galaxies found that the observed stellar mass function...
(for all galaxy types) can be adequately fit by assuming $\sigma_{\log M_\ast} = 0.15$ dex (Moster et al. 2010) and 0.175 dex (Behroozi et al. 2010). We expect the amount of scatter to be somewhat smaller for our sample including only disk galaxies. Moreover, $\sigma_{\log M_\ast}$ is smaller than $\sigma_{\log M_\ast}$ because of the shallow slope of the HSMR over the range of stellar masses we study. Therefore, our choice of $\sigma_{\log M_\ast} = 0.1$ dex is a conservative one, even after accounting for the statistical uncertainty in $M_\ast$ of 0.04 dex. We consider alternative fits with no scatter and with twice the fiducial value in Sec. 6.3.

Given the above modelling assumptions, the predicted lensing signal for some stellar mass bin is determined by a single parameter, the halo-to-stellar mass ratio $M_{200c}/M_\ast$, after one assumes a certain dependence of $M_{200c}/M_\ast$ on $M_\ast$. For the fits to the lensing signals for each $M_\ast$ bin, we assume a constant $M_{200c}/M_\ast$ (over the stellar mass range of each bin). We use a Levenberg-Marquardt minimization routine (Levenberg 1944; Marquardt 1963) to fit for $\log M_{200c}/M_\ast$ using the measured lensing signal from $\sim 50$–$2000$ kpc (27 radial bins in all). For each stellar mass bin, we perform an independent fit to each of the 500 bootstrap resamplings of the 200 subregions. From the mean and width of the distribution of bootstrap parameters, we obtain the best-fitting value of $M_{200c}/M_\ast$ and its 1$\sigma$ bootstrap error. We take the 5 per cent uncertainty in the shear calibration into account in the bootstrap errors as follows. For each bootstrap dataset, we multiply the predicted lensing signal by a random number sampled from a Gaussian distribution centered at 1 and with a standard deviation of 0.05 (we note though that the inclusion of this uncertainty has a negligible effect on the error in $M_{200c}/M_\ast$).

### 6.2.2 Fits to the HSMR

There is a growing consensus that the halo-to-stellar mass ratio of galaxies shows variation with stellar mass, with a minimum at $M_{200c} \sim 10^{12}M_\odot$ and $M_\ast \sim 5 \times 10^{10}M_\odot$ and increasing towards lower and higher masses (Mandelbaum et al. 2006c; Conroy & Wechsler 2009; Moster et al. 2010; Behroozi et al. 2010; Guo et al. 2010; Leauthaud 2012). Based on the halo occupation distribution (HOD) modeling of Behroozi et al. (2010), the relation between the halo-to-stellar mass ratio $M_{200c}/M_\ast$ and $M_\ast$ (or HSMR) can be modelled as

$$
\log \left( \frac{M_{200c}}{M_\ast} \right) = \log \left( \frac{M_1}{M_{\ast,0}} \right) + (\beta - 1) \log \left( \frac{M_\ast}{M_{\ast,0}} \right) + \frac{(M_\ast/M_{\ast,0})^\delta - 1}{1 + (M_\ast/M_{\ast,0})^\gamma} + \frac{1}{2}, \quad (18)
$$

Here, $M_1$ is the characteristic halo mass, $M_{\ast,0}$ is the characteristic stellar mass, $\beta$ is the faint end slope, and $\delta$ and $\gamma$ control the massive end behavior. Leauthaud (2012) adopted the same functional form to constrain the HSMR via a joint analysis of galaxy-galaxy lensing, galaxy spatial clustering, and galaxy spatial densities of galaxies in COSMOS.

It is unclear what the form of the HSMR is for a sample of only late-type galaxies. Moreover, there are not many disk galaxies that have stellar masses larger than the mass where the HSMR is at its minimum (for reference, our galaxy sample ranges from, $M_\ast \sim 10^9$ – $10^{11}M_\odot$). We choose to adopt Eq. (18) as a fitting function for the HSMR, with the understanding that we cannot strongly constrain its behavior at high stellar masses. Since we are not sufficiently sensitive to $\delta$ to fit for it, we fix $\delta = 0.566$, following the results of Leauthaud (2012) for their lowest redshift bin $z = [0.22, 0.48]$ and SIGMA1 run (c.f. their table 5); they also report a 1$\sigma$ uncertainty in $\delta$ of 0.086 $^{16}$.

We fit for four free parameters— a normalization, break, a faint end slope, and a bright end slope. The basic set-up is similar to the fits to $M_{200c}/M_\ast$ described in Sec. 6.2.1. For fits to the HSMR, we simultaneously fit the measured lensing signals for galaxies in the three stellar mass bins using a Levenberg-Marquardt minimization routine. In all, there are 27 (radial bins) $\times$ 3 (stellar mass bins) = 81 data points. We perform an independent fit to each of the 500 bootstrap resamplings of the 200 subregions to obtain the median HSMR as well as 1$\sigma$ and 2$\sigma$ error envelopes. We take into account of the 5 per cent uncertainty in the shear calibration in the bootstrap errors in the same way as described in Sec. 6.2.1 for each bootstrap dataset, we multiply the same random number to the lensing signal for all three stellar mass bins.

### 6.3 Alternative fits

In this subsection, we summarize the results of our tests of the robustness of the results of the fiducial fits (described in Sec. 6.2) to various modeling assumptions. In each case below, we change a single parameter in the fiducial fit and check the effect of this change on the results. In all cases, we find that the results are robust to reasonable changes in the modelling assumptions, and we quote the magnitude of each effect below.

- **Fits without the halo-halo contribution**

  In our fiducial fits, the assumed contribution from the halo-halo term depends on a theoretically-uncertain estimate of the galaxy bias, as well as an assumed functional form. This may not be an accurate model for our lens sample, from which satellite galaxies have been removed. However, as noted in Sec. 6.1 and shown in Figure 13, the halo-halo contribution is negligible below $\sim 1$ Mpc and only affects the lensing signal measurement in the outermost radial bin at $\sim 2$ Mpc. Moreover, the amplitude of the halo-halo term is comparable to the

---

16 We note that changes in $\delta$ only affect the HSMR over a small portion of the range of stellar masses we study, $M_\ast \sim 4$ – $8 \times 10^{10}M_\odot$, and even a 5$\sigma$ change in $\delta$ leads to differences well below our measurement uncertainties. Therefore, our results are not very sensitive to this modelling assumption.
unconstrained uncertainty in the measurement at that radius, so we do not expect our fits to be very sensitive to changes in this term.

To test this explicitly, we perform fits to model profiles that do not include the halo-halo contribution at all. As expected, these fits yield slightly higher best-fitting $M_{200c}/M_\ast$, by 0.02 – 0.03 dex, which is well below the 1-$\sigma$ errors, 0.09 – 0.13 dex.

- Fits with an alternate concentration-mass relation

To test the sensitivity of the fits on the adopted concentration-mass relation, Eq. 17, we perform fits with an alternate relation, [Mandelbaum et al. (2008a)] used lensing measurements of SDSS galaxies brighter than $L_*$ to fit for the relation over halo masses $10^{12} \lesssim M_{200b}/M_\odot \lesssim 10^{15}$ and found $c_{200b}(M_{200b}) = (4.6 \pm 0.7) \times (M_{200b}/(1.56 \pm 0.12) \times 10^{14} h^{-1} M_\odot)^{-(0.13 \pm 0.07)}$ at $z = 0.22$. Converting to our virial mass definition $M_{200c}$ and scaling by $(1 + z)^{-1}$ to our mean redshift of 0.07, we find the equivalent parameters for Eq. 17 to be $c_{200c,0} = 5.84$ and $\beta_c = 0.14$. Halo concentrations derived from this alternate relation are around 10 per cent lower than the fiducial one. One would expect the lower concentrations to yield systematically higher $M_{200c}/M_\ast$; we indeed find higher values but the difference is tiny, $\lesssim 0.01$ dex for the three stellar mass bins.

We note that [Prada et al. (2011)] studied halo concentrations using a set of state-of-the-art N-body simulations. They report halo concentrations that are several per cent lower than those of Maccio et al. (2008) for Milky Way-size haloes; this difference is of the same order as for the alternate relation we tested, so we do not expect it to have a significant effect on our fits either.\footnote{17 We note that while the two works agree for Milky Way-size haloes, for cluster-size haloes, Prada et al. (2011) report substantially larger halo concentrations (by up to 50 per cent) than Maccio et al. (2008).}

We conclude that our results are not sensitive to our particular choice of concentration-mass relation.

- Fits with alternate values of $\sigma_{\log M_{200c}}$

To convert the distribution in stellar masses in each bin into a distribution in halo masses, we assume a log-normal scatter of $\sigma_{\log M_{200c}} = 0.1$, at a fixed $M_\ast$. To test the sensitivity of the fits on this choice, we perform fits with alternate values $\sigma_{\log M_{200c}} = 0.0$ and 0.2 dex. Assuming zero scatter yields best-fitting $M_{200c}/M_\ast$ that are higher by 0.01 dex (for all three stellar mass bins), while assuming twice the scatter yields values lower by 0.02 dex; in both cases, the difference is much smaller than the 1-$\sigma$ uncertainty in the measurement itself.

- Fits to a lens sample including satellites

To test the sensitivity of the results to the removal of satellite galaxies from the lens sample (described in Sec. 3.2), we perform fits to the disk galaxy sample before the satellite cut. We do not attempt to model the contribution of satellites to the lensing signal, but simply restrict the range of the fits to exclude the outermost radii, where the satellite contribution dominates. Using the lensing signal from $\sim 50 – 300$ kpc (11 radial bins in all), we find best-fitting $M_{200c}/M_\ast$ that are consistent to within 1-$\sigma$ of those from the fiducial fits to the sample with the satellite cut applied.\footnote{18 We note that the effect of the inclusion of the satellites varies with stellar mass: the sign is zero, positive, and negative for the lowest, intermediate, and highest stellar mass bins, respectively. Not surprisingly, the sign and amount of the difference also depends on the choice of range of radii used for the fit.}

- Fits to a lens sample with an axis ratio cut

Recall that the selection of the TFR sample from which $V_{\text{opt}}$ is derived includes an axis ratio cut $b/a < 0.6$. The lens sample from which $V_{200c}$ is derived has not been subjected to an axis ratio cut. In this section, we show that this difference in selection does not compromise our results for $V_{\text{opt}}/V_{200c}$.

We calculate halo-to-stellar mass ratios for the subsample with $b/a < 0.6$ and found log($M_{200c}/M_\ast$) = $1.75 \pm 0.14$, $1.43 \pm 0.13$, and $1.37 \pm 0.20$ for each stellar mass bin, respectively. For a comparison with the full sample that takes into account the correlation between the two samples, we calculate the ratio of halo masses for each bootstrap resampling. We find that the bootstrap distributions in $M_{200c}(b/a < 0.6$ subsample)/$M_{200c}$(full sample) are approximately Gaussian, with mean values consistent with unity: $1.37 \pm 0.36$, $1.21 \pm 0.25$, and $0.96 \pm 0.33$, for each stellar mass bin, respectively, indicating that the application of the axis ratio cut does not significantly bias the results presented here. We also note that there is considerable overlap between the two samples being compared; consequently, the derived halo masses are correlated at the 60–70 per cent level, but the bootstrap errors on the ratios above automatically account for these correlations.

7 RESULTS

In this section, we present our derived constraints on the HSMR and OVVR (Secs. 7.1 & 7.2). Then, we discuss comparisons of our results with previous work (Sec. 7.3) and with predictions for $\Lambda$CDM haloes (Sec. 7.4).
Best-fitting $M_{200c}/M_*$ for three stellar mass bins are shown as open circles (and listed in Table 4); the median relation and 1σ and 2σ error envelopes are shown by the thick solid curve and dark and light grey shaded regions, respectively. Recall that the bootstrap error envelopes include the 5 per cent uncertainty in the shear calibration. We note that we use results from 471 out of the 500 bootstrap datasets (of 200 subregions) that resulted in converged fits.

We provide the derived constraints in tabular form in Table 5 for a grid in stellar mass from $M_* = 10^5 - 10^{10} M_\odot$ in steps of 0.1 dex. Since each bootstrap dataset is fitted by a HSMR with a different set of parameters, the median curve and error envelopes do not exactly follow the functional form in Eq. 18. To obtain an analytical fitting formula, we fit the derived median relation to Eq. 18 for which we find best-fitting parameters:

$$
\log(M_1/M_{*0}) = 1.57 \pm 0.04
$$

$$
\log M_{*0} = 11.00 \pm 0.03
$$

$$
\beta - 1 = -0.50 \pm 0.03
$$

$$
\gamma = 2.60 \pm 0.77,
$$

with $\delta = 0.566^{+0.05}_{-0.07}$. This relation is shown as the thin solid curve in Fig. 17 as shown, it very closely approximates the median curve (shown by the thick solid curve) over the range of stellar masses we study. For this model and the data from the bootstrap mean of the lensing signals of the three stellar mass bins, we calculate a $\chi^2$ value of 46 for $68 - 4 = 65$ degrees of freedom, indicating that the data are consistent with this model.

We calculate Pearson correlation coefficients $r(P_1,P_2)$ and covariances using the results from the bootstrap datasets, where $P_0 = \log(M_1/M_{*0})$, $P_1 = \log M_{*0}$, $P_2 = \beta - 1$, and $P_3 = \gamma$. We find a significant correlation between two pairs of parameters: $r(P_0,P_2) = 0.68$ and $r(P_0,P_3) = 0.48$, both with $p$-values $< 1$. We also find that $P_0$ and $P_2$ correlate in a peculiar manner; their joint distribution does not follow an elliptical contour. We note that these covariances are properly taken into account in our fits through our use of bootstrap analysis.

The right vertical axis of Fig. 17 shows stellar conversion efficiencies $\eta_* \equiv (M_*/M_{200c}) f_{\odot}^{-1}$, the percentage of the cosmologically available baryons that end up as stars in the galaxy, with $f_{\odot} \equiv \Omega_m/\Omega_b = 0.169$ (WMAP?, Komatsu et al. 2011). Including the contribution of cold gas, we also estimate "baryon retention fractions" $\eta_{\text{b}} \equiv (M_*/M_{\text{gas}}) f_{\odot}^{-1}$. For the three bins with lensing-weighted average stellar masses of $0.62, 2.68$, and $5.25 \times 10^{10} M_\odot$, we find $\eta_* = 0.15^{+0.05}_{-0.04}, 0.26^{+0.06}_{-0.05}, 0.23^{+0.06}_{-0.06}$ and $\eta_{\text{b}} = 0.30^{+0.08}_{-0.08}, 0.37^{+0.07}_{-0.07}, 0.29^{+0.10}_{-0.07}$, respectively, with 1σ error bars based on the uncertainties in $M_{200c}/M_*$. Here, we have used gas-to-stellar mass ratios of $0.99, 0.41$, and $0.24$, respectively.

### Table 4

| $\log(M_{200c}/M_*)$ | $\log(M_{200c}/M_*)$ | $\eta_*$ | $V_{\text{opt}}/V_{200c}$ |
|---------------------|---------------------|----------|------------------------|
| $q > 0.6$ subsample  | $q < 0.6$ subsample  |          |                        |
| $9.792 \pm 0.03$   | $1.61 \pm 0.13$    | $0.15^{+0.05}_{-0.04}$ | $1.26 \pm 0.08$ |
| $10.428 \pm 0.06$  | $1.36 \pm 0.09$    | $0.26^{+0.08}_{-0.05}$ | $1.39 \pm 0.06$ |
| $10.814 \pm 0.06$  | $1.42 \pm 0.13$    | $0.23^{+0.08}_{-0.06}$ | $1.27 \pm 0.08$ |

### Table 5

| $\log(M_1/M_{*0}) - 2\sigma$ | $\log(M_1/M_{*0}) - 1\sigma$ | $\log(M_1/M_{*0}) + 1\sigma$ | $\log(M_1/M_{*0}) + 2\sigma$ |
|-----------------------------|-------------------------------|-------------------------------|-------------------------------|
| $9.6$                       | $1.27$                        | $2.69$                        | $3.33$                        |
| $1.1$                       | $1.28$                        | $2.03$                        | $2.76$                        |
| $2.7$                       | $1.29$                        | $1.98$                        | $2.18$                        |
| $3.9$                       | $1.29$                        | $1.93$                        | $2.11$                        |
| $5.0$                       | $1.28$                        | $1.88$                        | $2.05$                        |
| $6.6$                       | $1.29$                        | $1.83$                        | $1.98$                        |
| $7.5$                       | $1.30$                        | $1.72$                        | $1.85$                        |
| $9.6$                       | $1.31$                        | $1.67$                        | $1.79$                        |
| $9.6$                       | $1.30$                        | $1.62$                        | $1.72$                        |
| $10.0$                      | $1.28$                        | $1.57$                        | $1.66$                        |
| $10.1$                      | $1.28$                        | $1.52$                        | $1.60$                        |
| $10.2$                      | $1.28$                        | $1.47$                        | $1.55$                        |
| $10.3$                      | $1.23$                        | $1.43$                        | $1.50$                        |
| $10.4$                      | $1.17$                        | $1.39$                        | $1.46$                        |
| $10.5$                      | $1.14$                        | $1.35$                        | $1.43$                        |
| $10.6$                      | $1.06$                        | $1.32$                        | $1.41$                        |
| $10.7$                      | $1.02$                        | $1.31$                        | $1.41$                        |
| $10.8$                      | $0.96$                        | $1.33$                        | $1.44$                        |
| $10.9$                      | $0.92$                        | $1.42$                        | $1.55$                        |
| $11.0$                      | $1.15$                        | $1.67$                        | $1.92$                        |

7.2 Optical-to-virial velocity relation

We derive constraints on the relation between $V_{\text{opt}}/V_{200c}$ and $M_*$ (or OVVR) as outlined in Sec. 2.1. For each bootstrap dataset, (i) we generate a $V_{\text{opt}}$ vs. $M_*$ relation based on a TFR with the zero-point and slope randomly sampled (independently) from a Gaussian distribution centered on the best-fitting values and of Gaussian widths equal to the 1σ fit uncertainties in those values. (We note that this scatter in the TFR is negligible compared to the uncertainty in the HSMR, of ~ 13 per cent.) Next, (ii) we convert the $M_{200c}/M_*$ relation into a $M_{200c}$ vs. $M_*$ relation, and then into a $V_{200c}$ vs. $M_*$ relation via $V_{200c} = (GM_{200c}/r_{200c})^{1/2}$.

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Dividing relations (i) and (ii) yields an OVV distribution of stellar masses.
We also obtain $V_{200c}/V_{V_\text{opt}}$ for each stellar mass bin.
First, we multiply the best-fitting $M_{200c}/M_*$ by the lensing-weighted mean $M_*$ to obtain the corresponding $M_{200c}$, and finally, take the ratio of that and the lensing-weighted mean $V_{V_\text{opt}}$. The results are listed in Table 1.

Figure 18 shows the $V_{200c}/V_{V_\text{opt}}$ relation and its 1σ and 2σ error envelopes (solid curve, dark and light grey shaded regions, respectively). We provide these constraints in tabular form (Table 1), for a $M_*$ grid from $\log M_*/M_\odot = 9 - 11$ in steps of 0.1 dex. Numbers on the right vertical axis of Fig. 17 indicate stellar conversion efficiencies $\eta_* \equiv (M_*/M_{200c})/f_\text{h}^{-1}$.

7.3 Comparison with previous work
Figure 19 shows our constraints on the OVV together with those from previous work that used a similar methodology as ours, namely, the combination of halo mass measurements (from weak lensing and/or other techniques) with TFR measurements from galaxy rotation curves.

For late-type $L^*$ galaxies from an early SDSS dataset, Seljak (2002) combined weak lensing measurements from Guzik & Seljak (2002) with an $J$-band TFR from Giovanelli et al. (1997) and found $V_{\text{opt}}/V_{200c} = 1.8$ with a 2σ lower limit of 1.4 (shown by the red star and error bar in Fig. 19). There is no upper limit because the halo masses were consistent with zero. This measurement is only marginally consistent with ours, but our result benefits from significant improvements in the measurements of both weak lensing and the TFR, due to

\[ \log(V_{\text{opt}}/V_{200c}) \approx 1.3 \text{ for stellar masses } 10^9 - 10^{11}. \]

Recall that since $V_{200c} \propto M_{200c}^{1/3}$, the uncertainty on $\log(V_{\text{opt}}/V_{200c})$ is a third of that in $\log M_{200c}$. We note that the shape of the OVV is partly dictated by the assumed functional form for the HSMR, Eq. (18), although the best-fitting function suggests a turn-over at $\sim 3 \times 10^{10} M_\odot$, the data are consistent with a flat $V_{\text{opt}}/V_{200c}$ with $M_*$. 

\[ V_{\text{opt}}/V_{200c} = 1.8 \]
to larger available datasets (both lens and source galaxy samples) and improved analysis methods.

Dutton et al. (2010, hereafter D10) combined their visual fit to the HSMR for late-type galaxies, modelled as a double power law, based on a compilation of measurements from weak lensing (Mandelbaum et al. [2006b]) and satellite kinematics (Conroy et al. [2007] More et al. [2011] and Klípník, Prada & Montero-Dorta, in preparation) with their fit to the $V_{22}$ vs. $M_*$ TFR based on rotation curve data from Pizagno et al. (2007). Their central HSMR is shown by the blue dot-dashed curve in Figure 17. For comparison, we also show measurements from Mandelbaum et al. [2006b] based on late-type galaxies in SDSS selected via the frac_dev parameter (red stars with 1σ error bars), as well as the best-fitting HSMR from Leauthaud et al. (2011), modelled as Eq. 15 and derived from combined early- and late-type galaxies in COSMOS (red dotted curve). The COSMOS result is for galaxies at redshifts 0.2–0.5, and the rest are for galaxies at a mean redshift of $z \approx 0.1$.

Figure 18 compares the $V_{200c}$ vs. $M_*$ relation derived from the HSMR in D10 with ours (blue dot-dashed and black solid curves, respectively). As shown, the two relations are consistent within $\sim 2\sigma$ over the range of stellar masses we study. The figure also compares the $V_{22}$ vs. $M_*$ TFR used in D10 with the $V_{22}$ vs. $M_*$ and $V_{50}$ vs. $M_*$ TFRs from R11. As shown, the $V_{22}$ vs. $M_*$ TFR used in D10 is lower than that in R11 (blue dashed vs. red dotted lines). The difference between the TFRs is significant, even for the same definition of $V_{opt}$; this may be attributed to differences in the galaxy samples and analysis methods in the two analyses. Compared to the $V_{50}$ vs. $M_*$ TFR in R11, the relation used in our derivation of the OVVR, the $V_{22}$ vs. $M_*$ TFR in D10 turns out to have a similar slope and a lower normalization by $\approx 0.05$ dex.

Figure 19 shows the $V_{22}/V_{200c}$ vs. $M_*$ relation from D10, after converting their $V_{22}/V_{200c}$ vs. $V_{22}$ relation into a $V_{22}/V_{200c}$ vs. $M_*$ relation, using their $V_{22}$ vs. $M_*$ TFR (blue dot-dashed curve). D10 estimates that $V_{22}/V_{200c} \approx 1$ for stellar masses $M_* = 5 \times 10^8 - 2 \times 10^{11} M_\odot$. For a fairer comparison with our results, we calculate the $V_{opt}/V_{200c}$ vs. $M_*$ relation that one would get from D10 if the $V_{50}$ vs. $M_*$ TFR from R11 is used instead (blue dotted curve); this is simply offset by $+0.05$ dex from the former curve. This relation is

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Table 6. Median OVVR and $\pm 1\sigma$ error envelopes.

| $\log M_*$ | $-2\sigma$ | $-1\sigma$ | $\log V_{opt}/V_{200c}$ | $+1\sigma$ | $+2\sigma$ |
|------------|------------|------------|--------------------------|------------|------------|
| 9.0        | 0.19       | 0.10       | 0.022                    | 0.094      | 0.249      |
| 9.1        | 0.174      | 0.081      | 0.009                    | 0.098      | 0.244      |
| 9.2        | 0.150      | 0.065      | 0.003                    | 0.101      | 0.238      |
| 9.3        | 0.126      | 0.046      | 0.016                    | 0.106      | 0.232      |
| 9.4        | 0.095      | 0.028      | 0.028                    | 0.108      | 0.226      |
| 9.5        | 0.072      | 0.013      | 0.039                    | 0.115      | 0.219      |
| 9.6        | 0.049      | 0.003      | 0.039                    | 0.118      | 0.221      |
| 9.7        | 0.026      | 0.018      | 0.063                    | 0.121      | 0.203      |
| 9.8        | 0.003      | 0.036      | 0.076                    | 0.124      | 0.200      |
| 9.9        | 0.021      | 0.053      | 0.085                    | 0.129      | 0.193      |
| 10.0       | 0.042      | 0.067      | 0.098                    | 0.134      | 0.194      |
| 10.1       | 0.057      | 0.082      | 0.109                    | 0.139      | 0.189      |
| 10.2       | 0.071      | 0.093      | 0.119                    | 0.144      | 0.187      |
| 10.3       | 0.081      | 0.104      | 0.129                    | 0.154      | 0.193      |
| 10.4       | 0.087      | 0.112      | 0.136                    | 0.163      | 0.209      |
| 10.5       | 0.088      | 0.116      | 0.143                    | 0.172      | 0.212      |
| 10.6       | 0.082      | 0.116      | 0.147                    | 0.178      | 0.230      |
| 10.7       | 0.075      | 0.112      | 0.144                    | 0.186      | 0.244      |
| 10.8       | 0.064      | 0.096      | 0.133                    | 0.183      | 0.251      |
| 10.9       | 0.008      | 0.053      | 0.099                    | 0.160      | 0.227      |
| 11.0       | 0.158      | 0.075      | 0.028                    | 0.111      | 0.180      |

---

20 There is a large overlap between the TFR sample in R11 and the Pizagno et al. (2007) sample used in D10. Out of 189 galaxies in the R11 sample, 99 galaxies are from the Pizagno et al. (2007) sample (those out of the galaxies that passed our selection cuts). However, the analysis methods for deriving both photometric and kinematic quantities and their uncertainties, as well as fits to the TFR, are completely independent.

21 If one used our $V_{22}$ vs. $M_*$ TFR to derive the $V_{22}/V_{200c}$ vs. $M_*$ relation, the curve will lie between the two blue curves.
consistent within 2σ of our derived OVVR (black solid curve) for the range of stellar masses we study.

7.4 Comparison with $\Lambda$CDM haloes

Dark matter haloes in a $\Lambda$CDM cosmology form a remarkably tight relation between halo mass and the maximum circular velocity of the halo $V_{\text{max},h}$ (Navarro et al. 1997). The question of whether the tightness of this relation translates into the tightness of the TFR is a key to understanding disk galaxy formation, and therefore, the relationship between $V_{\text{max},h}$ and $V_{\text{opt}}$ is of crucial interest.

For a NFW halo profile, the ratio $V_{\text{max},h}/V_{200c}$ depends only on the halo concentration (Navarro et al. 1997):

$$V_{\text{max},h}/V_{200c} \simeq 0.465 \frac{c_{200c}}{A(2c_{200c})}$$

where $A(x) = \ln(1+x) - x/(1+x)$. The peak of the halo velocity curve occurs at a radius $r_{\text{opt}} \approx 2.163 r_s = 2.163 r_{200c}/c_{200c}$. For the range of halo masses we consider, $c_{200c}$ ranges from $\approx 5 - 8$, so $r_{\text{max},h} \simeq 0.3 - 0.4 r_{200c}$; the velocity curves of these haloes rise gradually out to an appreciable fraction of their virial radii.

The dark and light green shaded regions in Fig. 19 show the variation in $V_{\text{max},h}/V_{200c}$ for unmodified dark matter NFW haloes, corresponding to $1\sigma$ and $2\sigma$ scatter (0.13 and 0.26 dex) in halo concentrations $c_{200c}(M_{200c})$ (given by Eq. 17) at a fixed halo mass. Note that we have ignored the scatter in the horizontal direction (in other words, we have used the central HSMR to directly translate a grid of halo masses to the corresponding stellar masses).

When comparing $V_{\text{max},h}$ and $V_{\text{opt}}$ (i.e., green vs. grey shaded regions in Fig. 19 showing $V_{\text{max},h}/V_{200c}$ and $V_{\text{opt}}/V_{200c}$, respectively), note that only dark matter contributes to $V_{\text{max},h}$, while both baryons and dark matter contribute to $V_{\text{opt}}$. Also note that $r_{\text{max},h}$ is larger than the optical radius $R_{\text{opt}}$ by a factor of ~15; between these two radii, the halo circular velocity decreases by around 20 to 30 per cent. Despite these differences, the comparison is instructive: $V_{\text{opt}} > V_{\text{max},h}$ indicates that the baryons have modified the potential well in the optical region of the galaxy, either by their own gravity and/or by modifying the structure of the dark matter halo (e.g., through adiabatic contraction).

We find that $V_{\text{opt}} \gtrsim V_{\text{max},h}$ over the range of stellar masses covered by our sample, $M_* = 10^9 - 10^{11} M_\odot$. For the three stellar mass bins with lensing-weighted stellar masses of 0.62, 2.68, and 6.52 $\times 10^{10} M_\odot$, we find $V_{\text{opt}}/V_{\text{max},h} = 1.11 \pm 0.06$, 1.25 $\pm 0.05$, and 1.16 $\pm 0.07$, respectively (with 1σ uncertainties propagated from the uncertainties in $V_{\text{opt}}/V_{200c}$, i.e., not including the uncertainty corresponding to the scatter in halo concentrations at a given halo mass, among others). Assuming an NFW profile for the halo unmodified by the baryons yields $V_{\text{max},h}/V_{\text{opt},h} = 1.27, 1.30, 1.43$. Multiplying this by $V_{\text{opt}}/V_{\text{max},h}$ gives $V_{\text{opt}}/V_{\text{opt},h} = 1.41 \pm 0.08, 1.61 \pm 0.05$, and 1.64 $\pm 0.11$, with 1σ uncertainties from the uncertainties in $V_{\text{opt}}/V_{\text{max},h}$. In terms of (3-dimensional) mass, this corresponds to a dark matter contribution of 56, 47, and 45 per cent, suggesting that dark matter and baryonic contributions are comparable at the optical radius. If the dark matter halo undergoes adiabatic contraction, this contribution will be even higher.

It is interesting to compare the expected contribution from the different components—dark matter halo, stellar and gas disks—against the observed total rotation velocity at the optical radius $V_{\text{opt}}$. In particular, the sum of the different contributions should not exceed the observed velocity; if they do, then one or more assumptions in the modeling must be wrong. For a quick and crude comparison, we estimate the contribution of the baryons to the rotation velocity using our stellar mass estimates (based on Bell et al. 2003 $M_*/L$ ratios and assuming a fixed Kroupa IMF) and gas mass estimates (based on the Kannappan et al. 2004 relation between gas-to-stellar mass ratio and from $u - r$ colour). We assume that the stellar disk scale length is given by the mean relation with stellar mass (derived in R11, eq. 35), and that the gas disk has the same scale length.

Asuming, as above, an unmodified NFW halo, we find $(V_{\text{opt},h}^2 + V_{\text{gas},h}^2)^{1/2}/V_{\text{opt}} \approx 1.01, 1.00, 0.98$ for the mean stellar masses of our three bins, $M_* = 0.62, 2.68,$ and $6.52 \times 10^{10} M_\odot$, respectively. This suggests that the observations are consistent with a model in which the radial profile of the dark matter halo is close to an unmodified NFW profile, i.e., without the effect of adiabatic contraction. This result is of course sensitive to many other assumptions in the model, including halo concentrations, the inner profile of the halo (e.g., NFW vs. Einasto), the stellar IMF, stellar and gas mass estimates, among others. In future work, we will perform a careful comparison with a proper accounting of the full distributions and uncertainties (Reyes et al. in prep).

8 SUMMARY AND FUTURE WORK

In this work, we use measurements of the average halo masses $M_{200c}$ of disk galaxies from galaxy-galaxy lensing to direct constrain the relation between halo-to-

---

22 The tightness of the relation holds for other cosmologies as well, but especially for $\Lambda$CDM.

23 For a NFW halo, the halo velocity curve is given by $V_{\text{opt}}(x) = V_{200c}^2/[c(A(x))/A(x)/x]$, with $x = r/r_c$. Thus, $V_{\text{opt}}(x)/V_{\text{max},h}$ depends only on $c_{200c}$ through the scale length $r_s$ appearing in the argument $x$.

24 This assumption is not valid for our low $M_*$ galaxies for which the gas is typically more extended than the stars (e.g., Cayatte et al. 1994; Broeils & van Woerden 1992; Rhee & van Albada 1996; Broeils & Rhee 1997; Swaters et al. 2009; Begum et al. 2008). The stellar and gas disks will be modelled separately in our future analysis.
stellar mass ratios $M_{200c}/M_*$ and stellar mass $M_*$ for a large sample of disk galaxies from the SDSS with $(z) \sim 0.07$ and $10^9 < M_*/M_\odot < 10^{14}$. Moreover, we combine these measurements with the Tully-Fisher relation (TFR), which relates disk rotation velocities at the optical radius $V_{\text{opt}}$ and stellar mass $M_*$, to constrain the relation between optical-to-virial velocity ratios $V_{\text{opt}}/V_{200c}$ and stellar mass $M_*$. Unlike previous measurements of $V_{\text{opt}}/V_{200c}$, we use similarly-selected galaxy samples and consistent definitions in both the lensing and TFR measurements to enable a fair combination of the two. In particular, we use the minimal-scatter Tully-Fisher relation from Reyes et al. (2011) based on a galaxy sample that is, by construction, a fair subsample of the lens sample we use here.

We model the relation between $M_{200c}/M_*$ and $M_*$ as a functional form based on halo occupation modelling, Eq. [18] and find that the ratio $M_{200c}/M_*$ varies over the range of stellar masses we study, with a minimum of $\approx 20$ at $\sim 5 \times 10^9 M_\odot$. For our three $M_*$ bins with lensing-weighted stellar masses of 0.62, 2.68, and $6.52 \times 10^{10} M_\odot$, we find $M_{200c}/M_* = 41$, 23, and 26, respectively (with 1σ uncertainties of around 0.1 dex). These correspond to stellar conversion efficiencies $\eta_* = (M_*/M_{200c})f_b^{-1}$, $15^{+5}_{-4}$, $26^{+6}_{-5}$, and $23^{+8}_{-6}$ per cent, respectively (assuming a cosmic baryon fraction of $f_b = 0.169$). Adding information from the Tully-Fisher relation, we find $V_{\text{opt}}/V_{200c} = 1.27 \pm 0.08$, $1.39 \pm 0.05$, and $1.27 \pm 0.08$, respectively.

We find that the maximum halo circular velocity $V_{\text{max}, h} \lesssim V_{\text{opt}}$ over the range of stellar masses we study. For the three stellar mass bins we use, we find $V_{\text{opt}}/V_{\text{max}, h} = 1.11 \pm 0.06$, $1.25 \pm 0.05$, and $1.16 \pm 0.07$, respectively (with quoted 1σ uncertainties accounting solely for the uncertainty in $V_{\text{opt}}/V_{200c}$). Assuming an unmodified pure NFW halo profile, we find that the halo contribution to the rotation velocity at the optical radius is given by $V_{\text{opt}}/V_{\text{opt}, h} = 1.41 \pm 0.08$, $1.61 \pm 0.05$, and $1.64 \pm 0.11$ (again, with quoted 1σ uncertainties accounting solely for the uncertainty in $V_{\text{opt}}/V_{200c}$). This corresponds to a halo contribution in mass of roughly half, suggesting that dark matter and baryonic contributions are comparable at the optical radius. A crude accounting of the contribution of the baryons and the dark matter halo to the rotation velocity at the optical radius suggests that, given the many modelling assumptions made, the data are consistent with a radial halo profile that is close to an unmodified NFW halo (i.e., with no adiabatic contraction). This result will be refined after a more detailed analysis, in which the mass distribution of the dark matter halo, stars, and gas, as well as the adiabatic contraction of the halo, will be modelled separately, and the distributions and uncertainties in the different parameters will be properly taken into account.

The observational constraints derived in this work will serve as input to our models of disk galaxy formation. The ultimate goal is to construct models that simultaneously satisfy all the available observational constraints (including those presented here and in R11). We will also investigate the degeneracies between model parameters, and identify other observations that may help to eventually break them.

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APPENDIX A: SOURCE CATALOGUE GENERATION PROCEDURE

The generation of the new catalogue begins with the explicit selection of what data to use. We first select runs and then within them, portions of runs, based on the following requirements:

(i) The STATUS flag must be STATUS==1.
(ii) The STATUS flag must be 0, which means

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APPENDIX A: SOURCE CATALOGUE GENERATION PROCEDURE

The generation of the new catalogue begins with the explicit selection of what data to use. We first select runs and then within them, portions of runs, based on the following requirements:

(i) The files required for all the steps of the catalogue reduction procedure exist in their proper format (including psField, fpAtlas, fpObjc, astrometry, and photometric calibration).

(ii) The IMAGE_STATUS flag must be ≤ 4. Any higher order bits being set would indicate fundamental issues with the data quality (e.g., bad focus). If it is set to 2 or 4, this may indicate issues with photometricity; however, we allow these data to be used provided that the photometric calibration procedure itself did not raise any flags.

(iii) PHOTO_STATUS== 0, indicating that the data were able to be processed by the PHOTO pipeline.

(iv) The PSP_STATUS flag must be 0, which means that the PSF was able to be interpolated across the field using the standard second-order quadratics.

(v) The field must be classified as photometric according to the uber-calibration procedure (Padmanabhan et al. 2008), i.e., we require CALIB_STATUS==1.

(vi) The r-band PSF FWHM at the center of the field must be < 1.8′′.

(vii) The r-band extinction (calculated as 2.751 × E(B − V), using the dust maps from Schlegel et al. 1998 to obtain E(B − V) and the extinction-to-reddening ratios from Stoughton et al. 2002 to convert them into the r-band extinctions) must be < 0.22 magnitudes at the center of the field. (We later require < 0.2 on a per-galaxy basis; the less stringent cut on the extinction at the field center simply eliminates fields for which no galaxies will pass the later cut.)

Our goal is to select a galaxy sample that has reliable shape measurements using the single-epoch images alone; no attempt is made to combine multiple measurements for the same galaxy. However, our initial run selection makes no attempt to avoid overlapping areas, as we will process all the galaxies and then reconcile multiple detections later.

Beginning from the list of reliable run/camcol/field combinations, we then ran a set of scripts to loop over those combinations and measure the galaxy shapes within a field. In practice, this process ran on anywhere from 10–100 processors simultaneously, enabling us to process 777 SDSS runs in around 4 weeks.

25 In part, this choice is meant to avoid selection biases that can arise if the galaxy detection has significantly different resolution or apparent flux in the two runs, as can happen due to sky noise fluctuations for galaxies near the detection limit. Moreover, it means that while our source number density is a function of the imaging conditions, it is not a very strong function of the number of runs overlapping a given position.
Within a given field, we first obtain all necessary information from the Photo v5.6 outputs: astrometry including colour-dependent terms, PSFs, photometric calibration including flat-fielding corrections, catalogue of selected objects passing the \( S/N > 5 \) Photo object detection threshold, and information needed for the noise model (the gain, dark variance, and sky level). We then impose some preliminary, loose galaxy selection criteria:

(i) The object must be classified as a galaxy (OBJC\_TYPE==3). Photo carries out star/galaxy separation by comparing two measures of photometry, the cmodel and PSF magnitudes. The cmodel magnitudes are obtained from the best-fitting non-negative linear combination of two profiles: the best-fitting de Vaucouleurs model and the best-fitting exponential model, each determined via separate fits to the object light profile. The PSF magnitudes are simply determined by fitting the object light profile to a PSF, only allowing the amplitude to vary. Objects with psfMag – cmodelMag > 0.145 are classified as galaxies. While this has been shown \( \text{[Mandelbaum et al. 2005a, 2008b]} \) to be somewhat inaccurate at \( r > 21 \), and probabilistic methods \( \text{[Scranton et al. 2002]} \) based on additional criteria do a better job. We find that (a) stars that are accidentally classified as galaxies do not end up in our shape catalogue because they fail our resolution cut (we quantify this statement in Sec. 4.4) applied at a later stage of processing, and (b) galaxies that are accidentally classified as stars would have failed our resolution cut the vast majority of the time anyhow. So, the use of OBJC\_TYPE in this case does not lead to significant stellar contamination or loss of useful galaxies.

(ii) SDSS fields within a given run are defined such that they overlap by 24.5′ with the next field. We discard objects located in the overlap region in one of the two fields in which they appear, to avoid duplicate detections.

(iii) We apply cuts to the \( r \) and \( i \) band model magnitudes (\( r < 22, i < 21.6 \)) before correcting for galactic extinction. We will later impose additional cuts to obtain a catalogue with a flux cut that is constant in extinction-corrected magnitudes. The model magnitudes are defined using the better of an exponential or de Vaucouleurs fit to the object light profile in the \( r \) band; fits in the other bands simply rescale the 2d \( r \)-band model, allowing for a stable determination of galaxy colours needed for photo-z estimation.

(iv) We require a robust detection of the galaxy at \( S/N \geq 5 \) in the unbinned data in \( r \) and \( i \) bands (the BINNED1 flag should be set in \( r \) and \( i \), overall).

(v) We exclude galaxies that have the following flags set: SATURATED, SATURATED\_CENTER, EDGE, LOCAL\_EDGE, MAYBE\_CR, MAYBE\_EGHOST, SUBTRACTED, BRIGHT, TOO\_LARGE, BADSKY.

(vi) We reject galaxies that have the BLENDED flag set, unless NODEBLEND is also set. This avoids the measurement of shapes for deblended parents and their children. Note that the SDSS deblender will set this flag for galaxy pairs that are nearby (it does not mean that the light profiles actually strongly overlap with that of another object). The result is that \( \sim 15-20 \) per cent of all detected objects in SDSS are deblended \( \text{[2]} \).

(vii) There is a flag cut that depends on the galaxy apparent magnitude: those that are fainter than \( r = 19.2 \) are rejected if the INTERP and CR flags are set in \( r \) or \( i \); those that are brighter are rejected if INTERP\_CENTER is set in \( r \) or \( i \). This different treatment is done because the brighter galaxies tend to take up sufficient area that they might overlap with a bad pixel requiring interpolation simply by chance, so we are more permissive in allowing for interpolation, as long as it is not too close to the object centroid. Note that \( r < 19.2 \) galaxies are 11 per cent of the catalogue, but their relative weight in any lensing analysis is low because they are quite low redshift, and therefore are either in front of many lens samples, or receive a low weight due to the small lens-source separation.

(viii) There is a very preliminary and loose resolution cut on the galaxy resolution (where the quantity used to impose this cut is defined below).

For all of the galaxies passing the above cuts, we first obtained the full PSF estimate from the PSP pipeline \( \text{[Lupton et al. 2001]} \). This PSF estimate is obtained via a Karhunen-Loève (KL) transform, which uses a set of bright stars to determine basis functions and then to fit their coordinates to spatially varying (quadratic) functions. It can be reconstructed for a given SDSS run, camcol, field, and filter as a function of position on the CCD using the publicly available \( \text{READ\_PSF C code [27]} \) that reconstructs the basis functions and the variation of the coefficients across the field from the SDSS psField files.

Then, we ran the re-Gaussianization PSF correction software \( \text{[Hirata & Seljak 2003]} \) on the \( r \) and \( i \) band Atlas images (which are postage stamp images with the sky level subtracted and pixels belonging to other objects masked out, that can be read using the \text{READ\_ATLAS\_IMAGE} code that is part of the same code package as \text{READ\_PSF}). This code measures PSF-corrected galaxy ellipticities according to the shape definition in Eq. \([5]\), where ellipticities are derived using the “adaptive moments.”

In general, the definition of moments requires performing sums over the image that are the discrete approximation to the following integrals:

\[
M_{ij}^{(\text{method})} = \int I(x) \ w_{\text{method}}(x) \times (x - x_0)_i (x - x_0)_j \, dx. \tag{A1}\]

The adaptive moments are the results of minimizing the integral

\[
E = \frac{1}{2} \int \left[ I(x) - A \exp \left( -\frac{1}{2} (x - \bar{x})^T M^{-1} (x - \bar{x}) \right) \right] \, d^2x. \tag{A2}\]

\( \text{26 http://www.sdss.org/DR7/algorithms/deblend.html} \)

\( \text{27 http://www.astro.princeton.edu/~rhl/readAtlasImages.tar.gz} \)
over the quantities \((A, x_0, M)\). This procedure amounts to weighting by a weight function \(w^{(\text{adapt})}(x)\) corresponding to the best-fitting elliptical Gaussian that represents the image itself, which in practice is determined iteratively. Given the moment matrix \(M\), we can define ellipticity via

\[
e_1 = \frac{M_{xx} - M_{yy}}{M_{xx} + M_{yy}} \quad \text{and} \quad e_2 = \frac{2M_{xy}}{M_{xx} + M_{yy}}. \tag{A3}
\]

Given the adaptive moments of the observed galaxy images \((M_1)\) and the PSF model interpolated to the position of that galaxy \((M_P)\), we can make a simplest definition of a resolution factor

\[
R_{2,\text{simple}} = 1 - \frac{T_P}{T_I} \tag{A4}
\]

taking into account the traces of those moment matrices. This \(R_2\) tends to 1 for well-resolved galaxies and 0 for those that are completely unresolved. The initial, loose resolution factor cut used to select galaxies for shape measurement is \(R_2 > 1/4\) for either \(r\) or \(i\) band; after running the shape measurement we will impose a more stringent cut to be described below.

In the case of a Gaussian PSF and galaxy, the PSF-correction could be trivially carried out via subtraction of the moment matrices. The re-Gaussianization method is specifically designed to address both the deviation of the galaxy and the PSF from Gaussianity to some order.

We begin by correcting for the non-Gaussianity of the PSF in a way that is exact to first order in PSF non-Gaussianity. To do so, the code finds the best-fitting Gaussian approximation to the PSF (which is generally more extended than a Gaussian), and uses the fit residual to construct an image \(I'\) of the galaxy as it would have appeared with a Gaussian PSF. Then, using the re-Gaussianized image, a PSF correction is carried out on the galaxy and PSF moments, using the procedure from \cite{BernsteinJarvis2002} to correct for the non-Gaussianity of the galaxy to first order. In the course of carrying out this procedure, we define a new resolution factor

\[
R_2 \equiv 1 - \frac{T_P}{T_I} \tag{A5}
\]

using the moment matrix of the re-Gaussianized galaxy image. This resolution factor definition is used for all subsequent cuts on resolution factor.

Finally, we must define the shape measurement error \(\sigma_e\) per component. To do so, we use a simple estimator from \cite{BernsteinJarvis2002} which is equivalent to \(\sigma_\gamma = 2/\nu\) \((\nu\) is the significance of the detection), where \(\sigma_\gamma = 2\sigma_e\). In terms of the actual quantities that we actually measure, we first define a sky variance as

\[
\sigma_{\text{sky}}^2 = \sigma_{\text{gain}}^2 + \sigma_{\text{dark}}^2. \tag{A6}
\]

where the first term results from the Poisson noise due to the photons in the sky, and the second is due to the dark current (current that builds up due to heat even in the absence of photons). The sky level is high enough that the noise is effectively Gaussian, and it is uncorrelated from pixel to pixel. Moreover, the sky noise dominates over the noise from the galaxy flux for the large majority of galaxies in the catalogue, for \(r > 20\). Then, we determine

\[
\sigma_e = \sqrt{4\pi \sigma_{\text{sky}} \sigma_I} \frac{F}{R_2} \tag{A7}
\]

where \(\sigma_I^2 = \det M_1\) and \(F\) is the total galaxy flux. We present tests of these \(\sigma_e\) values in Sec. 4.3.2.

After all fields were processed, we ran a reconciliation procedure to decide between multiple detections, and impose our final (more stringent) set of galaxy selection criteria. To do so, we first eliminated all galaxies at positions with \(r\)-band extinction \(A_r > 0.2\). For galaxies passing this cut, we collected all detections of any single galaxy from all the runs that were processed through the pipeline (using a tolerance of \(1''\) to define multiple detections). Then, we chose the detection in the observation with the smallest PSF FWHM as our primary detection of that galaxy. Finally, for the full list of galaxies (now using only the primary detection of each one) we required that the extinction-corrected \(r\)-band model magnitude satisfy \(r < 21.8\).

For each galaxy, we have both \(r\)– and \(i\)-band shape measurements. We combine the measurements in the two bands as follows: we define the galaxy \(S/N\) in each band \(\alpha\) as being \(f_\alpha/\sigma_{f,\alpha}\) where \(f\) is the model flux. We then weight the galaxy shape measurements \((e_1, \alpha, e_2, \alpha)\) by \((S/N)_{\alpha}^2\), and take the weighted average. For the purpose of our science analyses, we require shape measurements in both \(r\) and \(i\) bands, with \(R_2 \geq 1/3\) in each. Additionally, we require \(e_{\text{tot}} = \sqrt{e_1^2 + e_2^2} < 2\) (where \(e_1\) and \(e_2\) are the band-averaged ellipticities). This cut helps avoid shape measurements that are excessively dominated by noise, while at the same time avoiding selection biases that can be incurred by imposing the apparently more physical cut of \(e_{\text{tot}} < 1\) (since noise can result in observations with \(e_{\text{tot}} > 1\), which means that imposing a cut at that value results in cutting off part of the error distribution, biasing the mean). The resulting catalogue has 43,378,516 unique galaxy detections in 9,493 deg\(^2\).

The final step was to run the template-based Zurich Extragalactic Bayesian Redshift Analyzer (ZEBRA, \cite{Feldmann2006}) for all galaxies in the catalogue. The procedure used in detail is described in N11. In brief, we used a set of templates from \cite{Coleman1980} observed across a long wavelength baseline in the local universe, supplemented by synthetic starburst spectra by \cite{Kinney1996}, and then interpolated to create a full set of 31 templates in total. We ran ZEBRA in the maximum-likelihood (ML)

\[\text{gain} + \text{dark}.\]
mode, then selected the photo-$z$ based on the peak likelihood marginalized over template, using a $z < 1.5$ prior which is reasonable for single-epoch SDSS photometry. We did not use any of the following ZEBRA options: photometry self-calibration, template optimisation, or Lyman-$\alpha$ IGM absorption. As described in N11, which quantifies the effect of the photo-$z$ bias and scatter on galaxy-galaxy lensing measurements, we imposed additional galaxy cuts based on the ZEBRA outputs, requiring that (a) the resulting photo-$z$ not be one of the boundary values (0 or 1.5), and (b) the template not be one of the two starburst templates (or an interpolated one in that range), since the galaxies that are classified as starburst typically have unusually large photo-$z$ errors (the spectra of star-burst galaxies are sufficiently featureless in our range of wavelengths that photo-$z$ estimation is very difficult). After imposition of those cuts, eliminating $\sim 10$ per cent of the sample, the catalogue contains 39 267 029 galaxies.

APPENDIX B: DIFFERENCES FROM M05

In terms of area coverage, the catalogue from M05 included imaging data acquired until 2004 June 15 (imaging run 4682), whereas this catalogue includes all publicly available imaging data from SDSS. As a consequence, after all quality cuts were imposed, the resulting area increased from 7 002 to 9 493 deg$^2$. For the science results presented in this paper, we use a subset of that area covering the portion of the DR7 spectroscopic sample area covering our extinction cut, 7 131 deg$^2$. Note that the 7 002 deg$^2$ of the original source catalogue includes some imaging area without spectroscopy; it is not strictly a subset of the DR7 spectroscopic sample. Use of the original source catalogue would require us to eliminate $\sim 20$ per cent of the DR7 spectroscopic lens sample.

The M05 catalogue relied on Photo v5.4 outputs. Aihara et al. (2011) detailed the differences between v5.4 and v5.6 used for the new catalog; in brief, the primary difference that is relevant for our purposes is that the new version of Photo has an improved (but not fully corrected) sky subtraction algorithm that corrects some of the deficiencies in faint galaxy detections near bright objects first noted in M05 and subsequently documented in SDSS data release papers (DR4, Adelman-McCarthy et al. 2006 and others). We discuss the impact of the residual sky errors for this catalog in Sec. 4.5.

An additional difference between the catalogs is the updated photometric calibration. The old catalog used calibrations based on the 0.5-m Photometric Telescope (PT, Tucker et al. 2006), which became defunct part-way through the survey. As a consequence, the photometric calibration was wrong for $\sim 4$ per cent of the area of the old catalog, since the PT calibration files contained incorrect results due to their not being maintained. This error in 4 per cent of the area, and in general, the worse photometric calibration performance of the PT calibrations with respect to ubercalibration, affected both object selection and also the photo-$z$ performance. In the new catalog, we use the uber-calibration procedure (Padmanabhan et al. 2008), which provides a stable photometric calibration at the 1 per cent level for $griz$, and 2 per cent for $u$, yielding greater uniformity in galaxy selection and photo-$z$.

The catalogue from M05 employed two methods of estimating redshifts for the source galaxies. For those galaxies at $r < 21$ (extinction-corrected model magnitude), the kphotoz (v3.2, Blanton et al. 2003b) was used. Unfortunately this code tended to fail for galaxies at fainter magnitudes, so for those at $r \geq 21$, we simply utilized a source redshift distribution $dN/dz$ motivated by early data from the DEEP2 survey data in the Extended Groth strip (Davis et al. 2003). Significant later work (Mandelbaum et al. 2008b) was necessary to quantify more precisely the biases in the lensing signal due to these two separate methods of redshift estimation. For the new catalogue, as described in Sec. A, we have utilized a single photo-$z$ code to calculate photometric redshifts for all sources regardless of their apparent magnitude, ZEBRA. Extensive tests of the impact of using the ZEBRA photo-$z$ on the calibration of the galaxy-galaxy lensing signal in SDSS were presented by N11. The use of a single method of redshift estimation simplifies the process of using the catalog to calculate lensing signals, since we no longer have to calculate them separately for $r < 21$ and $r > 21$, correct for the different biases, and then combine them.

An additional small difference from the M05 catalogue results from the correction of two bugs in the process of determining the PSF to use for PSF correction. The first bug resulted from incorrect usage of the read_PSF software to extract the KL PSF from the p-field files; in particular, the CCD row and column were swapped. In practice, this amounts to noise in the PSF model used for PSF correction, since the PSF does not vary systematically when we flip the CCD along the diagonal. The noise in the PSF model becomes noise in the estimated shear.

The second bug was that the PSF returned by the read_PSF software included a “soft bias” of 1000 counts per pixel, but this soft bias was not subtracted off before using the PSF for PSF-correction. While this sounds alarming in principle, in fact the PSF model itself has a very high flux normalization (peak flux of $3 \times 10^4$ counts) so this additive constant is not very noticeable. However, it does make the PSF seem slightly more extended than it actually is, an effect that is quantified in Sec. 4.3. As shown there, a comparison of shapes in the old vs. in the new catalog can demonstrate the...
impact of these bugs, which turns out to be quite minor
($\sim 1$–$2$ per cent).