THE X-RAY SPECTRAL PROPERTIES AND VARIABILITY OF LUMINOUS HIGH-REDSHIFT
ACTIVE GALACTIC NUCLEI

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ABSTRACT

We perform a detailed investigation of moderate- to high-quality X-ray spectra of 10 of the most luminous active galactic nuclei (AGNs) known at $z > 4$ (up to $z \approx 6.28$). This study includes five new XMM-Newton observations and five archived X-ray observations (four by XMM-Newton and one by Chandra). We find that the X-ray power-law photon indices of our sample, composed of eight radio-quiet sources and two that are moderately radio-loud, are not significantly different from those of lower redshift AGNs. The upper limits obtained on intrinsic neutral hydrogen column densities, $N_H \lesssim 10^{22} - 10^{23} \text{ cm}^{-2}$, indicate that these AGNs are not significantly absorbed. A joint fit performed on our eight radio-quiet sources, with a total of $\sim 7000$ photons, constrains the mean photon index of $z > 4$ radio-quiet AGNs to $\Gamma = 1.97^{+0.06}_{-0.14}$, with no detectable intrinsic dispersion from source to source. We also obtain a strong constraint on the mean intrinsic column density, $N_H \lesssim 3 \times 10^{21} \text{ cm}^{-2}$, showing that optically selected radio-quiet AGNs at $z > 4$ are, on average, not more absorbed than their lower redshift counterparts. All this suggests that the X-ray production mechanism and the central environment in radio-quiet AGNs have not significantly evolved over cosmic time. The mean equivalent width of a putative neutral narrow Fe Kα line is constrained to be $\lesssim 190$ eV, and similarly we place constraints on the mean Compton reflection component ($R \lesssim 1.2$). None of the AGNs varied on short ($\sim 1$ hr) timescales, but on longer timescales (months to years) strong variability is observed in five of the sources. In particular, the X-ray flux of the $z = 5.41$ radio-quiet AGN SDSS 0231$-$0728 dropped by a factor of $\sim 4$ over a rest-frame period of 73 days. This is the most extreme X-ray variation observed in a luminous $z > 4$ radio-quiet AGN.

Subject headings: galaxies: active — galaxies: nuclei — quasars: individual (SDSS 0231$-$0728) — X-rays: galaxies

1. INTRODUCTION

Active galactic nuclei (AGNs) at $z > 4$ are valuable cosmological probes of the physical environment in the $\sim 1$ Gyr old universe, following the reionization epoch at the end of the “dark ages” (e.g., Fan et al. 2002). Investigations of physical processes in these sources, and in particular their energy production mechanism, are important for understanding the ionizing flux contribution from AGNs in the early universe, black hole (BH) growth, and the evolution of mass accretion in galactic nuclei (see Brandt & Hasinger 2005 for a recent review). It is not yet clear whether the small-scale physics of active nuclei are sensitive to the strong large-scale environmental changes the universe has experienced since $z \approx 6$.

The penetrating X-ray emission from AGNs is of particular interest, since it allows one to probe the innermost region of the accretion flow, near the central supermassive BH. Until fairly recently, X-ray detections of $z > 4$ AGNs were limited to a handful of sources with poor photon statistics, preventing a detailed analysis of their X-ray spectral properties (Kaspi et al. 2000); this was also, in part, a consequence of the relatively small number ($\lesssim 100$) of such sources discovered by the year 2000. The past five years have seen a rapid increase in optical discoveries of $z > 4$ AGNs, largely as a result of two surveys, the Palomar Digital Sky Survey (DPSS; Djorgovski et al. 1998) and the Sloan Digital Sky Survey (SDSS; York et al. 2000), which have raised the number of such sources to $\sim 600$ (e.g., Schneider et al. 2005). A rapid increase in X-ray detections of $z > 4$ AGNs soon followed, with $\sim 90$ such sources to date.

Chandra and XMM-Newton observations of these sources have provided constraints on their mean global X-ray spectral properties, in particular their photon index and intrinsic absorption column density (e.g., Brandt et al. 2004; Vignali et al. 2005). However, many of the X-ray observations are shallow, aimed at just detecting the AGN, and do not allow useful measurements of the spectral properties in individual sources (e.g., Brandt et al. 2002; Vignali et al. 2003a, 2005). Exposure times of several tens of ks with Chandra and XMM-Newton are needed to measure the spectral properties accurately. Such observations are important for investigating the energy production mechanism at this early epoch and testing whether the X-ray spectral properties have evolved over cosmic time.

Recent studies of X-ray spectral properties and their dependence on redshift and luminosity have led to conflicting conclusions. For example, while Bechtold et al. (2003) reported that the X-ray photon indices ($\Gamma$) of $z > 4$ AGNs are flatter ($1.5 \pm 0.5$) than those of nearby AGNs, Grupe et al. (2005) reported that their $\Gamma$ are rather steep ($2.23 \pm 0.48$). The first study was based on a sample of 16 radio-quiet sources observed...
2. NEW HIGH-QUALITY SPECTRA OF $z > 4$ AGNs

2.1. Observations and Data Reduction

We have obtained imaging spectroscopic observations of five $z > 4$ AGNs with XMM-Newton (Jansen et al. 2001). Three of the sources were discovered in the DPOSS survey and were detected in X-rays by Vignali et al. (2003a); two were discovered in the SDSS survey and detected in X-rays by Vignali et al. (2001, 2003b). Three of these sources are radio-quiet with an upper limit on the radio flux, while two, PSS 0121+0347 and SDSS 0210–0524, are moderately radio-loud, following the classification of Kellermann et al. (1989), and have $R = 300$ and 80, respectively (Vignali et al. 2001, 2003a). These five sources were among the X-ray brightest of a sample of $z > 4$ AGNs previously targeted with Chandra snapshot (≤10 ks) observations (Vignali et al. 2001, 2003a, 2003b). One of these sources, SDSS 0231–0728 at $z = 5.41$, is the highest redshift AGN detected with the automated SDSS detection algorithm (Anderson et al. 2001). The short, higher spatial resolution Chandra observations allow the prediction of the number of sources expected for a large sample of $z > 4$ AGNs.

A log of the new XMM-NEWTON observations appears in Table 1 (first five sources). The data were processed using standard SAS® version 6.1.0 and FTOOLS tasks. The event files of all the

$\gamma$ XMM-NEWTON Science Analysis System. See http://xmm.vilspa.esa.es/external/xmm...
observations were filtered to include events with flag = 0, and pattern \leq 12 (pattern \leq 4) and 200 \leq \text{pi} \leq 12,000 (150 \leq \text{pi} \leq 15,000) for the MOS (pn) detectors. The event files of the observations of SDSS 0210--0018, SDSS 0231--0728, and PSS 0926+3055 were also filtered to remove periods of flaring activity, which were apparent in the light curve of the entire full-frame window. Such flaring activity, which originates in the Earth’s magnetosphere, depends on several unpredictable factors, and it can significantly reduce the good-time intervals of an observation. The observations of SDSS 0210--0018, SDSS 0231--0728, and PSS 0926+3055 were shortened by 16,10, and 0.6 ks, respectively, as a result of this filtering. The event files of the PPS 0121+0347 and PSS 1326+0743 observations were not filtered in time, since the entire observation of the first source was performed during a moderate background flare period with count rates \sim 3 times higher than nominal values, and the full-frame count rate light curve of the second source did not show any flaring. The exposure times listed in Table 1 reflect the filtered data used in the analysis.

We searched for X-ray emission from an additional radio-quiet AGN, SDSS J021102.72--000910.3 at z = 4.90 (Fan et al. 1999), which lies close to the edge of the field of view in the XMM-Newton observation of SDSS 0210--0018. The former source is not detected, and the 3 \sigma detection threshold is \sim 120 counts. Using PIMMS, this translates to an upper limit on the unabsorbed observed-frame 0.5–2 keV flux of \leq 10^{-14} ergs cm^{-2} s^{-1}, which is consistent with the 3.1^{+2.2}_{-1.4} \times 10^{-15} ergs cm^{-2} s^{-1} flux measured by Vignali et al. (2001).

### 2.2. Spectral Analysis

To extract X-ray spectra, we used a source extraction aperture radius of 30″ in the images of all three European Photon Imaging Camera (EPIC) detectors for all but one AGN, PSS 0121+0347. For this source we used an aperture radius of 20″ for the source extraction, since a larger aperture was affected by the (mainly hard X-ray) background flare, resulting in a hardening of the spectrum and a lowering of the signal-to-noise ratio. For a nominal background level, increasing the aperture radius from 20″ to 30″ should not have resulted in any noticeable change in either photon index or normalization (Kirsch et al. 2004). Background regions were taken to be at least as large as the source regions; these were annuli when there were no neighboring sources and circles otherwise. The redistribution matrix files (RMFs, which include information on the detector gain and energy resolution) and the ancillary response files (ARFs; which include information on the effective area of the instrument, filter transmission, detector window transmission and efficiency, and any additional energy-dependent effects) for the spectra were created with the SAS tasks RMFGEN and ARFGEN, respectively. We note that the two reflection grating spectrometer detectors on XMM-Newton do not have sufficient counts to perform a high-resolution spectral analysis for any of our sources.

The spectra were grouped with a minimum of 10–25 photons per bin using the task GRPPHA. For each AGN we used XSPEC version 11.3.0 (Arnaud 1996) to fit the data with the following models: (1) a power-law model and a Galactic absorption component (Dickey & Lockman 1990), which was kept fixed during the fit; and (2) a model similar to the first with an added intrinsic (redshifted) neutral absorption component. In all the fits we assumed solar abundances (Anders & Grevesse 1989) and used the PHABS absorption model in XSPEC with the Balucinska-Church & McCammon (1992) cross sections. We fitted the data from each EPIC detector alone, jointly fitted the data of the two MOS detectors, and jointly fitted all three EPIC detectors with these models. In general, the five different fitting results were consistent with each other within the uncertainties.

### 2.2. Spectral Analysis

#### TABLE 2

**BEST-FIT X-RAY SPECTRAL PARAMETERS**

| AGN | \( N_{\text{H}} \) (10^{22} \text{ cm}^{-2}) | \( \Gamma \) | \( f_{\mu}(1 \text{ keV}) \) (10^{-6} \text{ keV cm}^{-2} \text{s}^{-1} \text{ keV}^{-1}) | \( \chi^2 \) (DOF) |
|-----|-----------------|------|----------------|------|
| **New Observations** |
| PSS 0121+0347 | \( \leq 2.91 \) | 1.81 ± 0.16 | 7.64^{+0.96}_{-1.01} | 54.8(52) |
| SDSS 0210--0018 | 4.17 | 1.81^{+0.15}_{-0.15} | 6.45 ± 0.78 | 64.2(75) |
| SDSS 0231--0728 | 1.90 \( \leq 19.90 \) | 1.82^{+0.05}_{-0.31} | 2.10^{+0.59}_{-0.58} | 25.3(43) |
| PSS 0926+3055 | \( \leq 1.02 \) | 1.99 ± 0.08 | 17.58 ± 1.15 | 76.1(78) |
| PSS 1326+0743 | \( \leq 0.47 \) | 1.87 ± 0.10 | 12.54^{+0.44}_{-0.43} | 51.2(65) |
| **Archival Observations** |
| Q0060--263 | \( \leq 0.40 \) | 2.02 ± 0.07 | 12.59 ± 0.75 | 71.3(82) |
| BR 0351--1034 | 5.29 | 1.76^{+0.31}_{-0.26} | 5.24^{+0.76}_{-0.97} | 8.7(10) |
| SDSS 1030+0524 | 7.77 | 1.93^{+0.23}_{-0.21} | 1.39 ± 0.23 | 40.9(44) |
| BR 2237--0607 | 3.30 | 2.09^{+0.22}_{-0.21} | 3.82^{+0.84}_{-0.78} | 20.4(25) |

**Note.** The best-fit photon index, normalization, and \( \chi^2 \) were obtained from a model consisting of a Galactic-absorbed power law without any intrinsic absorption.

- Intrinsic column density. Upper limits were calculated using the intrinsically absorbed power-law model with Galactic absorption, and they represent 90% confidence limits for each value, taking one parameter of interest (\( \Delta x^2 = 2.71 \); e.g., Avni 1976).

- Power-law normalization for the pn data, taken from joint fitting of all three EPIC detectors with the Galactic-absorbed power-law model.

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\(^{9}\) Portable Interactive Multi-Mission Simulator at http://heasarc.gsfc.nasa.gov/Tools/w3pimms.html.

\(^{10}\) For more details see the NASA/GSFC calibration memo CAL/GEN/92-002 at http://heasarc.gsfc.nasa.gov/docs/heasarc/caldb/docs/memos/cal_gen_92_002/cal_gen_92_002.html.
Fig. 1.—Data, best-fit spectra, and residuals for our new XMM-Newton observations of five $z > 4$ AGNs. Open circles, filled squares, and open squares represent the pn, MOS1, and MOS2 data, respectively. Solid lines represent the best-fit model for each spectrum, and the thick line marks the best-fit model for the pn data. The $\chi$ residuals are in units of $\sigma$ with error bars of size 1. The inset in each panel shows 68%, 90%, and 99% confidence contours for the intrinsic absorption ($N_H$) and photon index ($\Gamma$).
and the joint fit of all three EPIC detectors had the smallest uncertainty on the spectral parameters. The best-fit results for the joint fit of the three EPIC detectors are summarized in Table 2 (first five sources). For all five sources the best-fit model is a Galactic-absorbed power law; an $F$-test carried out on the data does not warrant the addition of an intrinsic absorber in any of the spectra. The 90\% confidence upper limits on intrinsic $N_H$ appear in Table 2. The spectra of the five AGNs and their best-fit models appear in Figure 1. Also shown for each AGN is a confidence contour plot of the $\Gamma$-$N_H$ parameter space. No strong systematic residuals are seen for any of the fits. Optical fluxes and luminosities, and X-ray fluxes and luminosities of the sources computed using the best-fit spectral parameters of Table 2, appear in Table 3 (first five sources).

### 2.3. Serendipitous Sources

Since the luminous AGNs under study are probably associated with massive potential wells in the earliest collapsed large-scale structures, we searched for candidate $z > 4$ AGNs in the XMM-Newton images of three of our sources with SDSS imaging (SDSS 0210−0018, SDSS 0231−0728, and PSS 1326+0743) in order to look for AGN clustering on scales of $\sim 0.1$−$5 \text{ Mpc}$ at $z \sim 4$−$5$ (e.g., see Djorgovski et al. 2003; Vignali et al. 2003b; Hennawi et al. 2005). We matched X-ray and SDSS sources in those fields and utilized the Richards et al. (2002) color criteria, as well as a pointlike morphology criterion, to identify $\sim 3$ X-ray-emitting $z \sim 4$ AGN candidates for future optical spectroscopy.

### 3. ARCHIVAL SAMPLE OF $z > 4$ RADIO-QUIET AGNs

To improve the statistics on X-ray spectral properties, we expanded the sample by adding moderate- to high-quality data of five radio-quiet $z > 4$ AGNs, obtained from the XMM-Newton and Chandra archives. A minimum of $\sim 200$ (\sim 100) photons per source from XMM-Newton (Chandra) observations were considered useful to our analysis. The observation log of the four sources observed by XMM-Newton appears in Table 1, which also gives the references to the original papers presenting the results of those observations. We reduced the observation data files of these sources following the analysis procedures described in §2. As in the case of our original sample, we were only able to place upper limits on the intrinsic column densities in these sources. The best-fit model parameters for the X-ray spectra of these sources are listed in Table 2.

The fifth observation, a 118 ks Chandra ACIS-S observation of SDSS J130608.26+035626.3 (hereafter SDSS 1306+0356) at $z = 5.99$ on 2003 November 29−30, was reduced and reanalyzed following the same source and background extraction parameters as those used in the original paper reporting that observation (Schwartz & Virani 2004) applying standard CIAO11 version 3.2 routines to the data. The events were grouped into bins that had a minimum of 15 counts per bin, and the model fit to the data included a constant Galactic absorption component ($N_H = 2.07 \times 10^{20} \text{ cm}^{-2}$), a power-law component, and a neutral Fe K$\alpha$ line at rest-frame 6.4 keV with a width of $\sigma = 0.1$ keV, since there is a feature in the spectrum at that location hinting at the presence of such a line (see Schwartz & Virani 2004). The best-fit photon index is $\Gamma = 1.82_{-0.29}^{+0.29}$, the flux normalization at 1 keV is $(1.23 \pm 0.24) \times 10^{-6} \text{ keV cm}^{-2} \text{s}^{-1} \text{ keV}^{-1}$, and the Fe K$\alpha$ rest-frame equivalent width is $1.0_{-0.4}^{+1.1}$ keV.

The optical fluxes and luminosities, and X-ray fluxes and luminosities of the five sources, based on the best-fit spectral parameters of Table 2 and of the single Chandra observation, are listed in Table 3. Plots of the spectra and best-fit models, which are generally consistent with our results, appear in the original papers cited in Table 1 and in Schwartz & Virani (2004) for the single Chandra observation.

### 4. JOINT SPECTRAL FITTING OF $z > 4$ RADIO-QUIET AGNs

To derive the best possible constraints on the average X-ray spectral properties of radio-quiet $z > 4$ AGNs, we jointly fitted the spectra of the expanded sample of eight radio-quiet AGNs (e.g., see §6 of Vignali et al. 2005). We combined the spectra from all the detectors of the observations of the eight AGNs, 22 data sets in total, and used XSPEC to fit their spectra jointly. We
fit two different models: (1) a power law that includes both Galactic and intrinsic absorption and (2) a power law with Galactic absorption including a Compton reflection component, using the pexrav model in XSPEC (Magdziarz & Zdziarski 1995), and a neutral narrow Gaussian Fe Kα line. The Compton reflection component, also known as a Compton reflection “hump,” is the spectral manifestation of hard X-ray photons emitted in a corona of hot electrons and reflected off the relatively colder accretion disk (e.g., see § 3.5 of Reynolds & Nowak 2003 and references therein). The Compton reflection hump feature is observed in the rest-frame ~7–60 keV energy range, peaking at rest-frame ~30 keV. The joint fitting process was carried out twice for both models: first, to include the entire energy range of all the data sets, and a second time to include the common rest-frame energy range among all sources (1.5–51 keV). The Compton reflection and neutral Fe Kα line parameter is defined as

\[ R \] of the neutral Fe Kα line to 6.4 and 0.1 keV, respectively, and tied all the power-law and Gaussian normalizations to two different values, allowing them to vary jointly. We fixed the rest-frame energy and width (\( \sigma \)) of the neutral Fe Kα line to 6.4 and 0.1 keV, respectively, and tied all the power-law and Gaussian normalizations to two different values, allowing them to vary jointly; each data set was assigned a constant scaling factor to account for the different flux levels of each AGN and each detector. The best-fit values and upper limits for the parameters used in this approach).

The power-law normalizations of all data sets were allowed to vary freely. For the second model we used a single power law and a single relative-reflection component (\( R \), where \( R \) is defined as \( \Omega / 2 \pi \) and \( \Omega \) is the solid angle subtended by the reflecting medium) of the Compton hump for all data sets and allowed them to vary jointly. We fixed the rest-frame energy and width (\( \sigma \)) of the neutral Fe Kα line to 6.4 and 0.1 keV, respectively, and tied all the power-law and Gaussian normalizations to two different values, allowing them to vary jointly; each data set was assigned a constant scaling factor to account for the different flux levels of each AGN and each detector. The best-fit values and upper limits for the parameters used in the joint fits are given in Table 4. Figure 2 is a contour plot of \( \Gamma \) versus \( N_{H} \) as a result of the joint fitting process using the first model. The noticeable difference between the confidence contours in the entire and common energy ranges stems from the fact that the two AGNs at \( z \approx 6 \) increase the low-energy threshold in the common energy range, and as a consequence many low-energy photons from the X-ray–bright AGNs at \( z \approx 4.2 \) are ignored in the fit. This causes the upper limit on the intrinsic absorption in the common energy range to rise significantly relative to the upper limit when using the entire energy range.

We repeated the joint fitting process with the first model and fitted the four most optically luminous radio-quiet sources separately from the four least optically luminous radio-quiet sources for both the entire and common energy ranges of the two subsamples. Both \( \Gamma \) and \( N_{H} \) in the two luminosity groups are consistent with one another and with the values we obtain by fitting the entire radio-quiet sample. For the fitting using the entire energy range, we measure \( \Gamma = 1.99 \pm 0.06 \) for the most luminous sources and \( \Gamma = 1.95_{-0.20}^{+0.23} \) for the least luminous sources.

### 5. RESULTS AND DISCUSSION

#### 5.1. X-Ray Photon Index

The photon indices and their uncertainties for the full sample of 10 AGNs, in the ~1–50 keV rest-frame energy range (the range where most photons are detected), are listed in Table 2. A statistical summary of our expanded sample’s photon index

| STATISTIC | ENTIRE SAMPLE | RADIO-QUIET SOURCES |
|-----------|--------------|---------------------|
| Unweighted mean | 1.89 | 1.92 |
| Unweighted standard deviation | 0.11 | 0.11 |
| Unweighted error of the mean | 0.03 | 0.04 |
| Counts-weighted mean | 1.93 | 1.95 |
| Median | 1.86 | 1.90 |
distribution appears in Table 5. Using the maximum likelihood method of Maccacaro et al. (1988) we find no significant intrinsic dispersion in $\Gamma$; this is expected, since the individual uncertainties on $\Gamma$ are larger than the spread in individual $\Gamma$ values. In Figure 3 we plot $\Gamma$ versus absolute $B$ magnitude and redshift for our expanded sample, together with previous measurements of the photon index in other AGN samples (see §6 of Vignali et al. 2005 and references therein). There is no obvious systematic difference between the photon indices of our radio-quiet sources and those of luminous AGNs observed at lower redshifts (e.g., the Piconcelli et al. 2005 sample of nearby AGNs). Using a Spearman rank correlation method we find no significant correlations between $\Gamma$ in radio-quiet sources and either luminosity or redshift as also found at lower redshift ($z \leq 0.4$) by, e.g., Porquet et al. (2004). Applying a linear regression algorithm that accounts for intrinsic scatter and measurement errors (Akritas & Bershady 1996), we obtain $|\partial \Gamma/\partial z| < 0.04$ for the 51 radio-quiet AGNs plotted in Figure 3. From the joint fitting of eight radio-quiet AGN spectra using the absorbed power-law model we find $\Gamma = 1.97^{+0.06}_{-0.04}$. This is consistent with both the unweighted mean of the individual $\Gamma$ values and the counts-weighted mean $\Gamma$ for the radio-quiet sample.

The mean photon indices we find for $z > 4$ radio-quiet AGNs are also all consistent with those calculated by Vignali et al. (2005). All these photon index values confirm and strengthen the Vignali et al. (2005) result that the X-ray photon index does not depend on optical luminosity or redshift. In particular, our results do not support either of the reports for a significantly different photon index at low versus high redshift (Bechtold et al. 2003; Grupe et al. 2005). Bechtold et al. (2003) used a heterogeneous sample of low-redshift Röntgensatellit (ROSAT) sources and high-redshift sources detected with Chandra. The mix of different data sets may have produced a bias that led Bechtold et al. (2003) to report a flattening of $\Gamma$ at higher redshift (e.g., due to spectral curvature at low energies and mission-to-mission cross-calibration uncertainties). In addition, the counts-weighted mean $\Gamma$ of their $z > 4$ Chandra sources is $1.50 \pm 0.15$ (see their Table 2). This is significantly lower than the average $\Gamma$ of $1.93^{+0.10}_{-0.09}$ for 48 radio-quiet AGNs, including the Bechtold et al. (2003) sources, obtained by Vignali et al. (2005). Comparing the photon indices of our four sources that overlap with those of Grupe et al. (2005) shows that in three cases the values are in good agreement. However, for SDSS 1030+0524 the $\Gamma = 2.65 \pm 0.34$ found by Grupe et al. (2005) is significantly steeper and is inconsistent with both the value we obtain, $\Gamma = 1.92^{+0.22}_{-0.21}$, and that obtained by Farrah et al. (2004), $\Gamma = 2.12 \pm 0.11$. The photon index that we obtain for SDSS 1030+0524, while slightly harder, is compatible within the errors with the one found by Farrah et al. (2004). By inspection of Tables 1 and 4 of Grupe et al. (2005) it is also apparent that the relatively steep mean $\Gamma$ that they report for their radio-quiet sources, $2.23 \pm 0.48$, is an unweighted mean dominated by sources with limited photon statistics (≈50 counts), which in most cases result in best-fit $\Gamma$ exceeding a value of 2.2.

The insignificant dispersion in individual $\Gamma$ values for our $z > 4$ AGN sample may be partially driven by a selection bias, since we specifically targeted some of the most X-ray–luminous AGNs known. However, observing down the AGN luminosity function at $z > 4$ is challenging with existing X-ray observatories. In fact, the faintest X-ray sources at those redshifts with enough counts to allow meaningful measurement of the photon index were detected in the 2 Ms Chandra Deep Field–North survey (Vignali et al. 2002; see Table 1 of Brandt et al. 2005 for a list of X-ray–faint AGNs at $z > 4$). The best-studied source in that survey, CXOHDFN J123647.9+620941 at $z = 5.19$ and with $L_{2-10 keV} = 10^{44.0}$ erg s$^{-1}$, has $\Gamma = 1.8 \pm 0.3$, which is consistent with the photon indices that we find for our sources (Vignali et al. 2002). The photon indices of our least luminous sources do not appear to be significantly different from those of the more luminous ones both individually and on average by splitting the joint spectral fit into two luminosity groups (see §4). Inspection of Table 4 of Vignali et al. (2005) also does not show a strong dependence of $\Gamma$ on optical luminosity. In spite of being extremely luminous, our $z > 4$ AGNs are otherwise representative of the AGN population by having “normal” rest-frame ultraviolet (UV) properties (i.e., no broad absorption lines, typical emission-line properties and rest-frame UV continua; Vignali et al. 2001, 2003a, 2003b). Recent multiwavelength observations of $z > 4$ AGNs have found that their overall spectral energy distributions (SEDs) are not significantly different from those of lower redshift sources, implying no SED evolution (e.g., see Carilli et al. 2001 and Petric et al. 2003 for radio observations; Pentericci et al. 2003 and Vanden Berk et al. 2004 for UV-optical observations). We argue that the broadband X-ray spectral properties of $z > 4$ AGNs are also not significantly different from those of lower redshift sources.
5.2. Intrinsic Absorption

In all the individual fits of the $z > 4$ AGNs, we obtained an intrinsic $N_{\text{HI}}$ consistent with zero with 90% confidence. Table 2 lists the upper limits on the intrinsic absorption; they are all in the range $\approx 10^{22}$–$10^{23}$ cm$^{-2}$. The joint fitting procedure yielded an upper limit on the common intrinsic $N_{\text{HI}}$ of $\sim (3–8) \times 10^{23}$ cm$^{-2}$ (Table 4 and Fig. 2). These constraints on intrinsic absorption are the tightest presented to date for $z > 4$ AGNs, and our individual fitting results are less prone to bias than the joint fitting results that have been predominantly utilized previously. Our results show that radio-quiet AGNs at $z > 4$ do not appear to have high absorption columns than their lower redshift counterparts. The metallicity in the inner regions of high-redshift AGNs may be higher, on average, than in their lower redshift counterparts mainly due to their higher luminosities (e.g., Dietrich et al. 2003). Since X-ray photoelectric absorption is predominantly due to metals and not hydrogen, the inferred intrinsic neutral hydrogen column density depends on the assumed metallicity. Therefore, $N_{\text{HI}}$ values are inversely proportional to the assumed metallicity, and the quoted upper limits on the best-fit intrinsic columns given in Table 4 are conservative values (as solar abundances were assumed).

Despite the considerable large-scale evolution the universe has experienced since $z \approx 6$, and in particular the strong evolution in the AGN population (e.g., Fan et al. 2003; Croom et al. 2004; Berger et al. 2005; Brandt & Hasinger 2005), we do not detect significant changes in the central regions of luminous radio-quiet AGNs. Since the host galaxies of AGNs at high redshifts are still in the formation process, one might expect large amounts of gas and dust in the interstellar medium of these hosts that could increase the amount of line-of-sight absorption. Signatures of large amounts of interstellar molecular gas and dust have been recently detected in some of the most distant AGNs (e.g., Carilli et al. 2001; Bertoldi et al. 2003; Walter et al. 2003; Maiolino et al. 2004). In addition, the fact that radio-loud AGNs show signs of increased intrinsic absorption with redshift (see § 1) makes it of interest to check whether there is a similar trend for the radio-quiet population. Our results do not show significant intrinsic absorption in any of the $z > 4$ radio-quiet AGNs, which is in broad agreement with, e.g., the Laor et al. (1997) results for local radio-quiet AGNs. We caution that our AGN sample is far from being complete or representative of the entire AGN population. We have specifically considered only optically and X-ray–bright, radio-quiet AGNs with no broad absorption lines. Steffen et al. (2003) have found that the fraction of type 1 (i.e., unobscured) AGNs increases with X-ray luminosity, suggesting luminosity-dependent absorption. It is therefore possible that the relatively lower intrinsic absorption in our sources is a consequence of them being among the most luminous AGNs known.

5.3. Fe Kα Line and Compton Reflection

The joint fitting process placed respectable constraints on the strength of a putative neutral narrow Fe Kα line; a 90% confidence upper limit on the rest-frame equivalent width is $\sim 190$ eV. We also constrained the relative reflection component of a Compton reflection hump (see Table 4). These are the best constraints to date on these properties of $z > 4$ AGNs. Due to the high mean X-ray luminosity $[(\log L_{2–10\text{ keV}}^{\text{ergs s}^{-1}}) \approx 45.5]$ of our sample, both the Fe Kα line and Compton hump are expected to be relatively weak. Using the recent Page et al. (2004a) anti-correlation between $\text{EW(Fe Kα)}$ and $L_{2–10\text{ keV}}$ (the so-called Fe Kα Baldwin relation), we find an empirically expected range of $\text{EW(Fe Kα)} \approx 25$–80 eV for our sources, several times lower than our upper limit (but see also Jimenez-Bailón et al. 2005). Given our results, the $\text{EW(Fe Kα)} \approx 1$ keV for SDSS 1306+0356 (see § 3) appears remarkable and should be investigated with better data. The constraints that we obtain on the Compton reflection component, $R \leq 1.2$, are consistent with those obtained for some $z \approx 0–1$ AGNs (e.g., Page et al. 2004b) but are significantly lower than the value $R = 2.87 \pm 0.96$ found in PG 1247+267 at $z = 2.04$, the most luminous source in which such a component was significantly detected (Page et al. 2004b).

5.4. Optical–to–X-Ray SED

For each of the 10 AGNs we computed the optical–to–X-ray SED parameter, $\alpha_{\text{ox}}$, defined as

$$\alpha_{\text{ox}} = \log \left( \frac{f_{2\text{ keV}}}{f_{2500\text{ Å}}} \right) / \log \left( \frac{\nu_{2\text{ keV}}}{\nu_{2500\text{ Å}}} \right),$$

where $f_{2\text{ keV}}$ and $f_{2500\text{ Å}}$ are the flux densities at rest-frame 2 keV and 2500 Å, respectively (e.g., Tananbaum et al. 1979).

The $\alpha_{\text{ox}}$ values and their 1σ errors appear in Table 3. The errors on $\alpha_{\text{ox}}$ were computed following the “numerical method” described in § 1.7.3 of Lyons (1991), taking into account the uncertainties on the X-ray power-law normalizations and photon indices, and the effects of possible changes in the UV-optical slope (from $\alpha = -0.5$ to $-0.79$). In Figure 4 we plot $\alpha_{\text{ox}}$ for our sources against their optical luminosities and include a sample of 228 radio-quiet AGNs (mainly from the SDSS) from Strateva et al. (2005) in the diagram. Also plotted in Figure 4 is the best-fit line of the Strateva et al. (2005) $\alpha_{\text{ox}}-L_{2500\text{ Å}}$ relation (eq. [6] of Strateva et al. 2005). The $\alpha_{\text{ox}}$ values of the 10 AGNs presented in this work, which represent extreme luminosities and redshifts, generally follow that relation, although most of

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12 Since photoelectric absorption by neutral gas is an extremely strong spectral effect, a column density derived via joint fitting of several sources may not always be a good estimate of their “typical” column density. The presence of even one source with significant low-energy flux can bias the joint fitting column density downward, since such flux is highly inconsistent with a strong photoelectric absorption cutoff at low energy.
them lie above the best-fit line. This may be due to a selection bias, since we have specifically targeted X-ray–bright sources.

5.5. X-Ray Variability

Using the event files of our *XMM-Newton* observations, we searched for rapid (~1 hr timescale in the rest frame) variability of the AGNs by applying Kolmogorov-Smirnov tests to the lists of photon arrival times. No significant variations were detected, and the fractional variability of the count rate light curves was smaller than 15% in all cases. To test whether the X-ray flux exhibits long-term (months to years) variations in our sample, we list in Table 6 pairs of two-epoch 0.5–2 keV fluxes for seven $z > 4$ sources that were observed with *Chandra* in the first epoch and with either *XMM-Newton* or *Chandra* in the second epoch. The relative uncertainties between flux measurements obtained with *XMM-Newton* versus those obtained with *Chandra* are considered to be the smallest among different X-ray observatories and are normally $\approx 10\%$ (Snowden 2002; S. Snowden 2005, private communication). Table 6 also lists the rest-frame temporal separation between the two observations, and a $\chi^2$ statistic to quantify the significance of variation between the two epochs. The null hypothesis is that the flux in each epoch is equal to the mean flux of the two epochs. A larger $\chi^2$ implies higher significance of variability, and values larger than 2.71 (6.63) imply that the two fluxes are inconsistent within their uncertainties; i.e., there is significant variability at a 90% (99%) confidence level.

### Table 6

| AGN                | First Epoch $F_{0.5-2\text{keV}}$ ($10^{-15}$ ergs cm$^{-2}$ s$^{-1}$) | Reference | Second Epoch $F_{0.5-2\text{keV}}$ ($10^{-15}$ ergs cm$^{-2}$ s$^{-1}$) | $\Delta^b$ (days) | $\chi^2c$ |
|-------------------|--------------------------------|-----------|-----------------------------|-------------------|---------|
| PSS 0121+0347     | 34.7$^{+5.4}_{-4.4}$          | 1         | 17.0$^{+3.9}_{-3.1}$        | 135               | 20.40   |
| SDSS 0210–0018    | 28.1$^{+6.1}_{-9.7}$          | 3         | 14.3$^{+1.1}_{-1.7}$        | 258               | 16.35   |
| SDSS 0231–0728    | 21.3$^{+7.8}_{-4.6}$          | 4         | 4.6$^{+1.3}_{-1.2}$         | 73                | 41.42   |
| PSS 0926+3055     | 27.7$^{+5.4}_{-8.1}$          | 1         | 39.0$^{+1.2}_{-2.7}$        | 186               | 6.43    |
| PSS 1326+0743     | 24.4$^{+2.3}_{-2.4}$          | 1         | 27.8$^{+1.2}_{-1.9}$        | 139               | 0.64    |
| SDSS 1030+0524    | 2.4$^{+1.4}_{-0.9}$           | 5         | 3.0$^{+0.5}_{-0.3}$         | 68                | 0.45    |
| SDSS 1306+0356    | 4.6$^{+1.3}_{-1.3}$           | 5         | 2.7$^{+0.4}_{-0.3}$         | 96                | 3.35    |

$^a$ Galactic absorption–corrected flux in the observed 0.5–2 keV band. Errors represent 90% confidence limits on the fluxes.

$^b$ Rest-frame time difference between the two epochs.

$^c$ Variability statistic; see § 5.5.

References:— (1) Vignali et al. 2003a; (2) this work; (3) Vignali et al. 2001; (4) Vignali et al. 2003b; (5) Brandt et al. 2002; (6) Farrah et al. 2004; (7) Schwartz & Virani 2004.

![Graph](image-url)

**Fig. 5**.—Two-epoch Galactic absorption–corrected 0.5–2 keV fluxes for seven of the $z > 4$ AGNs in our sample. The solid line marks the 1:1 flux ratio, and the two dotted lines mark 1:2 and 2:1 flux ratios, to guide the eye. SDSS 0231–0728 clearly varied by more than a factor of 2 between the two epochs. The second and third most variable sources, PSS 0121+0347 and SDSS 0210–0018, are moderately radio-loud and are marked with filled circles.
confidence level (e.g., Avni 1976). Of the seven AGNs studied, only two sources, PSS 1326+0743 and SDSS 1030+0524, do not show a significant (i.e., ≥90% confidence) long-term variation. Figure 5 plots the fluxes of the second epoch against those of the first, as given in Table 6.

While most AGNs varied by no more than a factor of ≈2 between the two epochs, one source, SDSS 0231—0728, faded by a factor of ~4 between the first (Chandra) observation and the second (XMM-Newton) one. This flux change occurred over a rest-frame period of 73 days. This is the largest change in X-ray flux observed in a source with a significant change in X-ray flux. Vignali et al. (2003b) noted that the second epoch (this work) agrees with the value predicted from the first epoch (Vignali et al. 2003b), since its X-ray flux in the second epoch (work) agrees with the value predicted from its optical flux (assuming that the optical flux is nearly constant). Vignali et al. (2003b) also noted that SDSS 0231—0728 was X-ray brighter than expected (see their Fig. 5). The spectral slope of the source also shows a possible indication of flattening between the two epochs, but the significance is only ~1 σ due to the limited number of counts (≈25) in the first Chandra snapshot observation. This is a tentative indication for a transition from a soft/high state to a hard/low state in this source, as has been seen for a few local AGNs (e.g., Guainazzi et al. 1998; Maccarone et al. 2003).

6. SUMMARY AND FUTURE PROSPECTS

Moderate- to high-quality X-ray spectra of 10 luminous AGNs at z > 4, including five new XMM-Newton observations, have been analyzed to extract X-ray spectral properties and search for flux variations. We found that the X-ray power-law photon index does not depend on either luminosity or redshift, broadly consistent with the lack of multiwavelength AGN SED evolution, and it has no detectable intrinsic dispersion at z > 4. Upper limits placed on the intrinsic absorption in eight radio-quiet z > 4 AGNs show that, on average, the X-ray light of luminous radio-quiet AGNs at z > 4 is not more absorbed than in nearby AGNs. All this suggests that the X-ray production mechanism and the central environment in radio-quiet AGNs do not depend on luminosity and have not evolved over cosmic time. We also place constraints on the strength of the putative narrow Fe Kα line and constrain the relative strength of the Compton reflection hump at high rest-frame X-ray energies. Tighter constraints on those X-ray properties of luminous AGNs at z > 4, with existing X-ray observatories, can only be achieved by lengthy (several 100 ks) observations of the most luminous sources.

A search for short-timescale (~1 hr) X-ray variability found no significant variations, but on longer timescales (months to years) we have found significant variations in five of our sources, noting one extreme radio-quiet source in which the X-ray flux dropped by a factor of ~4 over a 73 day rest-frame period; this is the most extreme X-ray variation ever observed for a radio-quiet AGN at z > 4. X-ray monitoring of SDSS 0231—0728 and other AGNs at high redshift will enable us to link the variability properties of high-redshift AGNs with some of their fundamental properties, such as BH mass, luminosity, and accretion rate, as is done at lower redshift (e.g., O’Neill et al. 2005). Two recent studies have reported that the X-ray flux variations of high-redshift AGNs are larger than those of their nearby counterparts (Manners et al. 2002; Paolillo et al. 2004).

The data presented in this paper do not allow us to test this claim. Multiple-epoch X-ray observations of a large sample of high-redshift radio-quiet AGNs are required to investigate their variability properties and compare them with those of the local AGN population.

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