ABSTRACT

Objectives: We sought to describe temporal trends in the sociodemographic and clinical characteristics of participants referred to cardiac rehabilitation (CR), and its effect on programme participation and all-cause mortality over 14 years.

Setting: A large CR centre in Toronto, Canada.

Participants: Consecutive patients between 1996 and 2010.

Primary and secondary outcome measures: Referrals received were deterministically linked to administrative data, to complement referral form abstraction. Out-of-hospital deaths were identified using vital statistics. Patients were tracked until 2012, and mortality was ascertained. Percentage attendance at prescribed sessions was also assessed.

Results: There were 29 171 referrals received, of which 28 767 (98.6%) were successfully linked, of whom 22 795 (79.2%) attended an intake assessment. The age of the referred population steadily increased, with more females, less affluent and more single patients referred over time (p<0.001). More patients were referred following percutaneous coronary intervention and less following coronary artery bypass graft surgery (p<0.001). The number of comorbidities decreased (p<0.001). Hypertension increased over time (p<0.001), yet the control of cholesterol steadily improved over time. The proportion of smokers decreased over time (p<0.001). Participation in CR significantly declined, and there were no significant changes in mortality. 3-year mortality rates were less than 5%.

Conclusions: Characteristics of referred patients tended to reflect broader trends in risk factors and cardiovascular disease burden. Physicians appear to be referring more sociodemographically diverse patients to CR; however, programmes may need to better adapt to engage these patients to fully participate. More complex patients should be referred, using explicit criteria-based referral processes.

INTRODUCTION

Similar to many other Western nations, temporal trends of decreasing incidence of cardiovascular disease (CVD) have been observed in Ontario, Canada. Fewer acute coronary syndromes, decreasing mortality and a shift towards more percutaneous coronary intervention relative to bypass surgery is also observed. This trend of decreasing CV burden has emerged despite a demographic shift towards an older population, with greater prevalence of CV risk factors such as obesity and diabetes. This inconsistency can partially be attributed to advances in acute therapies for CVD, such that more patients are surviving episodes of acute coronary syndrome. Subsequently, there are many patients discharged from hospital requiring secondary prevention to mitigate the high risk of a recurrent episode.

Cardiac rehabilitation (CR) is an outpatient chronic disease management programme, supporting provision of secondary prevention and lifestyle changes, which successfully reduces the risk of recurrence and mortality. Accordingly, clinical practice guidelines recommend CR referral for patients with CVD. Unfortunately, however, there is insufficient capacity to treat all indicated patients. In Ontario specifically, for
example, it has been established that there is only enough CR capacity to provide services to 34% of the eligible population. Emphasis calls to promote greater CR access have been sounded by learned societies. Systematic referral of patients has been shown to increase utilisation, and some studies have suggested this has the ancillary advantage of ensuring all patients, regardless of their sociodemographic characteristics, have greater access to CR. Known resource capacity constraints may however influence which cardiac patients’ physicians chose to refer.

While recent research has considered trends in the characteristics of referred inpatients, there has not been corroborating data from CR programmes examining the nature of referrals received, to establish whether population-level trends are reflected among the patients referred. Moreover, a changing patient demographic would likely have an impact on enrolment and programme adherence rates, and subsequently mortality, although the latter has not been previously investigated to our knowledge. For instance, it has been observed that women and patients of lower socioeconomic status, for example, are less likely to enrol and adhere to CR. In consequence, given the dose–response association between CR participation and mortality, these patients may subsequently die at a higher rate.

Accordingly, the objectives of this study were to describe: (1) trends in the sociodemographic and clinical characteristics of patients referred to CR, (2) rates of programme participation, and (3) all-cause mortality, over time. It was hypothesised that the characteristics of referred patients would reflect the temporal trends observed in the population of patients with CVD more broadly, and that these patients may be less adherent to CR.

**METHODS**

The following was an observational cohort study.

**Setting**

This study was conducted at the University Health Network Cardiovascular Prevention and Rehabilitation Program, Toronto Rehabilitation Institute, Ontario, which provides comprehensive CR in accordance with Canadian CR Guidelines. The programme is situated proximate to a hospital offering advanced cardiac services. The health region in which the programme is situated has an annual volume of 3865 cardiac hospitalisations, and CR capacity to treat 86.7% of this population.

Referrals are made at the discretion of patients’ physicians. Accepted indications for the programme are chronic coronary artery disease, acute coronary syndrome, percutaneous coronary intervention, coronary artery bypass graft surgery, valvular disorders, arrhythmias and heart failure. There is also a primary prevention stream for patients with multiple risk factors for CVD, including a diabetes-specific stream which was initiated in 2004.

There is no charge to attend the programme, although there are parking fees. The intake assessment includes determination of marital status, comorbidities and cardiovascular risk factors. The latter includes diabetes, hypertension, smoking status, lipid profile and anthropometrics. A graded exercise stress test was also performed on a treadmill or cycle ergometer with measurement of peak oxygen uptake.

The programme model has been described previously. At the time of the study, the programme comprised two phases: the active phase consisted of between 24 and 36 prescheduled weekly supervised exercise sessions, while the maintenance phase consisted of monthly on-site visits and continued home-based exercise sessions recommended five times per week. The reduction in prescheduled sessions from 36 to 24 was undertaken to increase programme capacity.

**Data sources**

This sample consisted of all consecutive patient referrals received between 1 January 1996 and 31 December 2010, which were tracked until 31 March 2012. There were no exclusions. All patients were deterministically linked to administrative data to complement referral form abstraction. An encrypted health card number allows a patient to be tracked longitudinally through health service encounters, hospitalisations and death.

Canadian Institute for Health Information maintains the Discharge Abstract Database (DAD) which captures administrative, clinical and demographic information on all hospital discharges (including deaths) in Ontario. The aetiology of the most proximal hospitalisation was extracted based on the ‘most responsible diagnosis’ of said hospitalisation. Patient comorbidities were identified using the diagnostic fields from the DAD within the 5 years preceding CR referral. Out-of-hospital deaths were also identified using vital statistics.

**Measures**

Most sociodemographic and clinical characteristics of referred patients were ascertained from completed referral forms and administrative databases, as outlined above. Socioeconomic status quintile was computed by cross-referencing patient postal codes with the average household income in the province of Ontario by area from census data, with one being the lowest. Referral indication as reported on the referral form by the physician was coded in prioritised fashion, so each patient’s primary indication was mutually exclusive, with acute coronary syndrome given first priority. Laboratory test results, anthropometrics, functional capacity, as well as smoking and marital status were available only on the patients who attended the CR intake assessment.

CR participation was defined in terms of: (1) whether the patient attended an intake assessment, and if yes (2) as the percentage of prescribed weekly on-site sessions...
attended among those who initiated the programme postintake. The outcome for the third objective of this study was all-cause mortality within 1, 2 or 3 years following the expected completion date of CR (ie, end of pre-scheduled visits as per programme model including maintenance phase), regardless of whether or not the patient actually enrolled or fully participated in the programme.

**Statistical analyses**
The sociodemographic and clinical characteristics of patients referred from 1996 to 2010 was collected for each year. Each baseline characteristic was reported as a mean (with SD of the means) or proportion as appropriate for each year of follow-up. Statistical tests for temporal differences in baseline referral characteristics, programme participation and mortality employed the Mantel-Haenszel $\chi^2$ test with one-degree of freedom for categorical variables, and least-squares regression for continuous variables examining differences in group means over time. Statistical significance was defined as a two-sided α of 0.05. All analyses were conducted using SAS V.9.3 (Cary, North Carolina, USA) in 2014.

**RESULTS**
There were 29,171 consecutive unique patients referred, of which 28,767 (98.6%) were successfully linked to administrative data. Age, sex, socioeconomic status, comorbidity and mortality data were available on all patients through linkage. However, the availability of other clinical data varied, ranging from as low as 28.7% (8263/28,767) for serum lipids to 79.2% (22,795/28,767) for body mass index; cardiopulmonary fitness data were available for 75.9% (21,842/28,767) patients, while data on programme participation/on-site attendance were available for 70.2% (20,198/28,767). The average duration for mortality follow-up was 8 years.

The number of patients referred per year is shown in Table 1. The number was consistent over the first 10 years, and increased in the 5 most recent years.

**Temporal trends in characteristics of referred patients**
The sociodemographic and clinical characteristics by time period are also shown in Table 1, and some key parameters are exhibited in Figure 1. As displayed, there were significant trends for all characteristics except peak oxygen uptake from the intake stress test. The age of the referred population appeared to be steadily increasing (especially for those >80 years), as well as the proportion of females. More patients who were less affluent and single were observed to be referred over time.

Referral indications for all-comers (ie, those referred as inpatients or outpatients) as per referral forms appeared to reflect change in overall cardiac interventional practices towards more percutaneous coronary intervention for acute coronary syndrome and less coronary artery bypass graft surgery (Table 1). Increased referral of patients with ‘other’ indications, such as heart failure, arrhythmias, valve disorders and cardiomyopathy, was also observed. When considering aetiology of the most recent hospitalisation for the referred inpatients, however, very few and a decreasing proportion of patients were referred following percutaneous coronary intervention, but similarly there was a decreasing proportion of patients referred for bypass surgery. Referral of inpatients for heart failure was low and remained low throughout the period of study. The proportion of outpatients referred doubled to one-fifth over the 14-year span.

With regard to risk factor burden (Table 1), the prevalence of diabetes increased over time; however, this is likely a reflection of greater provision of comprehensive rehabilitation for this high-risk population. Hypertension increased over time, yet the control of cholesterol seemed to be steadily improving over time. The proportion of smokers decreased over time. Body composition appeared consistent for the first 10 years, but appears to have increased substantively in the past 5 years. Finally, the number of non-cardiac comorbidities present among the referred population seemed to be decreasing over time, with a slight increase in cardiac comorbidities (Table 2).

A sensitivity analysis was undertaken, where patients referred specifically for diabetes only and/or primary prevention was excluded. No meaningful differences in results emerged.

**Participation and mortality**
The CR participation and death rates are shown in Table 3 and Figure 1. In total, 5590 (19.4%) patients were referred, but never attended any visits (ie, non-attenders), and 3831 (13.3%) attended an intake assessment only. The median and mean percentages of CR sessions attended across all years were 63.9% and 58.4%, respectively. As shown, about a quarter of referred patients did not initiate the CR programme.

As also shown in Table 3 and Figure 1, adherence to the programme declined over time. A second sensitivity analysis in which attendance was restricted to only the first 12 weeks of eligible visits did not meaningfully alter these findings, such that on-site attendance to eligible visits still dropped steadily over time.

There were no significant changes in mortality temporally (Table 3 and Figure 1). Overall, mortality rates were low.

**DISCUSSION**
While some recent studies have reported on CR referral rates and characteristics of referred patients, previous data have primarily stemmed from inpatient settings, or only included enrolled patients. This study has been the first to demonstrate temporal trends in referrals received from inpatient and outpatient settings. When considering patients’ sociodemographic characteristics, a
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mixed picture of referral patterns emerged over time, suggesting complex, implicit referral selection processes, or even patient ‘cherry picking’ (i.e., selection of high-functioning patients) by referring physicians, may be at play. While more sociodemographically diverse patients were referred, CR adherence was lower. The beneficial outcomes associated with CR participation cannot be realised in the real world with such low adherence. In accordance with these participation rates, there was a neutral effect in terms of 1-year, 2-year and 3-year mortality over time. Moreover, mortality was low, which speaks to the highly selected nature of the referred population.

The overall number of patients referred increased from 2005 onward. This trend is consistent with the referral patterns observed throughout the remainder of the province from 2000 to 2007. There could be several explanations for this. More percutaneous coronary intervention, high-risk primary prevention as well as patients with other non-ischaemic cardiac indications were being referred, and also many more outpatients were referred. With regard to the former, the volume of percutaneous coronary interventions has increased drastically. The increase could also reflect greater awareness in the cardiology community of the benefits of CR, and wider adherence to guideline recommendations for CR referral, particularly given the CR programme leadership actively formed connections with referral partners throughout the period of study. Increases in referral rates have been observed in registries in other jurisdictions as well. However, the eligible population or denominator of patients is unknown, and therefore interpretation of these findings should be made cautiously.

The sociodemographic characteristics of referred patients trended towards greater heterogeneity over time. Historically, younger, married males have been disproportionately represented in CR, so it is encouraging to see more diversity in the characteristics of patients referred. This is again consistent with other jurisdictions. Specifically, several of the observations herein are consistent with temporal trends observed in the USA. Audelin et al observed increasing age, as well as increases in risk factors—namely diabetes, obesity and hypertension. These risk factors trends have similarly been reported in Canada. Contrarily, Audelin et al did not observe an increase in female referrals; however, they only observed trends through 2006. Moreover, they observed a trend towards lower functional capacity at intake, whereas no change was observed herein.

The higher referral volumes may have resulted in longer wait times to initiate CR, which have been shown to be related to lower enrolment rates. This could also contribute to the lower programme adherence observed over time. The declining participation rates may also suggest that CR programmes in Canada are not adapting services to optimally engage more socioeconomically...
Table 2  Temporal trends in comorbidities of cardiac rehabilitation patients between 1996 and 2010

| Baseline characteristics | Year  | 1996 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 | 2010 | p Value* |
|-------------------------|-------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|---------|
| N                       | 1545  | 1773 | 1818 | 1736 | 1586 | 1596 | 1686 | 1444 | 1799 | 2041 | 2532 | 2374 | 2188 | 2298 | 2351 | 2351    |
| Comorbidities, N (%)    |       |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      | <0.001  |
| Mean number             | 3.7±0.06 | 3.8±0.06 | 3.8±0.06 | 3.9±0.06 | 4.1±0.07 | 4.2±0.07 | 4.1±0.07 | 3.9±0.07 | 3.5±0.07 | 3.1±0.07 | 3.0±0.06 | 3.2±0.06 | 3.5±0.07 | 3.3±0.06 | 3.4±0.06 | <0.001  |
| <2, n (%)               | (19.6) | (18.3) | (18.2) | (17.0) | (17.0) | (17.2) | (18.6) | (18.6) | (18.8) | (26.9) | (35.1) | (36.7) | (34.2) | (29.6) | (30.9) | (31.0) | <0.001  |
| 2–4.9, n (%)            | 707   | 815  | 881  | 802  | 684  | 665  | 710  | 652  | 738  | 775  | 903  | 869  | 820  | 759  | 729  | 898    | 38.2    |
| 5+, n (%)               | 535   | 634  | 606  | 639  | 633  | 657  | 663  | 521  | 578  | 550  | 701  | 693  | 721  | 679  | 724  | 30.8    |
| Cardiac comorbidities, n (%) |       |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      | <0.001  |
| Mean number             | 1.7±0.04 | 1.8±0.04 | 1.9±0.04 | 2.2±0.04 | 2.4±0.05 | 2.5±0.05 | 2.4±0.05 | 2.4±0.05 | 2.1±0.05 | 1.9±0.04 | 1.8±0.04 | 1.9±0.04 | 2.0±0.04 | 1.9±0.04 | 2.0±0.04 | <0.001  |
| <2, n (%)               | (29.0) | (27.5) | (26.2) | (24.4) | (24.7) | (24.6) | (26.8) | (26.1) | (35.5) | (44.0) | (46.1) | (43.9) | (39.0) | (39.2) | (40.1) | <0.001  |
| 2–4.9, n (%)            | 707   | 815  | 881  | 802  | 684  | 665  | 710  | 652  | 738  | 773  | 903  | 869  | 820  | 759  | 729  | 898    | 49.4    |
| 5+, n (%)               | 35.4  | 40.4  | 51.4  | 111.2 | 135  | 174  | 147  | 116  | 139  | 102  | 83  | 91  | 16  | 11.7  | 19.0   | 10.7    |
| Non-cardiac comorbidities, n (%) |       |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      | <0.001  |
| Mean number             | 2.2±0.03 | 2.3±0.03 | 2.2±0.03 | 2.0±0.04 | 2.1±0.04 | 2.0±0.04 | 1.8±0.04 | 1.7±0.04 | 1.6±0.04 | 1.5±0.03 | 1.6±0.03 | 1.8±0.04 | 1.7±0.04 | 1.7±0.04 | <0.001  |
| <2, n (%)               | (35.9) | (35.3) | (35.6) | (44.4) | (45.7) | (46.2) | (50.2) | (51.3) | (58.8) | (60.2) | (62.8) | (59.9) | (56.7) | (59.7) | (58.1) | <0.001  |
| 2–4.9, n (%)            | 867   | 881  | 1021 | 794  | 696  | 691  | 654  | 552  | 566  | 654  | 751  | 753  | 704  | 712  | 763  | 32.5    |
| 5+, n (%)               | 124   | 170  | 149  | 149  | 165  | 167  | 185  | 126  | 175  | 159  | 190  | 198  | 243  | 214  | 222  | 9.4     |

*p Value examines group differences over time; categorical variables incorporate the Mantel-Haenszel χ² test for trend; continuous variables examine group differences in means over time using t Test. For continuous variables, p values for group difference over time are similar with or without accounting for individual-level variations.

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Grace SL, et al. BMJ Open 2015;5:e009523. doi:10.1136/bmjopen-2015-009523. Open Access on September 23, 2023 by guest. Protected by copyright. http://bmjopen.bmj.com/ BMJ Open: first published as 10.1136/bmjopen-2015-009523 on 4 November 2015. Downloaded from
Temporal trends in cardiac rehabilitation (CR) participation and mortality in CR patients between 1996 and 2010

| Year  | 1996  | 1997  | 1998  | 1999  | 2000  | 2001  | 2002  | 2003  | 2004  | 2005  | 2006  | 2007  | 2008  | 2009  | 2010  |
|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| N     | 1545  | 1773  | 1818  | 1736  | 1586  | 1596  | 1686  | 1444  | 1799  | 2041  | 2532  | 2374  | 2188  | 2298  | 2351  |
| Participation | | | | | | | | | | | | | |
| No participation, n (%) | 27 (18.0) | 30 (17.4) | 29 (16.3) | 22 (12.7) | 27 (16.8) | 30 (18.8) | 35 (21.2) | 24 (17.0) | 33 (18.4) | 37 (18.6) | 49 (19.6) | 56 (23.6) | 56 (23.6) | 53 (24.0) |
| Intake only, n (%) | 16 (10.9) | 19 (11.1) | 18 (10.3) | 22 (12.7) | 17 (10.8) | 15 (9.5) | 21 (12.3) | 18 (12.7) | 21 (12.2) | 27 (13.5) | 48 (19.0) | 31 (13.2) | 31 (13.2) | 34 (14.9) |
| Per cent on-site attendance (SD) | 62.8 (0.74) | 62.3 (0.70) | 62.6 (0.69) | 61.3 (0.71) | 60.0 (0.77) | 58.3 (0.80) | 57.9 (0.87) | 55.9 (0.76) | 55.3 (0.72) | 58.1 (0.69) | 58.4 (0.67) | 57.0 (0.71) | 54.4 (0.70) | 53.4 (0.71) | 55.2 (0.70) |

Mortality

| Year  | 1996  | 1997  | 1998  | 1999  | 2000  | 2001  | 2002  | 2003  | 2004  | 2005  | 2006  | 2007  | 2008  | 2009  | 2010  |
|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| Mortality | | | | | | | | | | | | | | | |
| 1 year, n (%) | 19 (1.2) | 20 (1.1) | 19 (1.0) | 20 (1.2) | 19 (1.2) | 14 (0.8) | 20 (1.4) | 17 (0.9) | 20 (1.1) | 27 (1.5) | 27 (1.1) | 42 (1.8) | 30 (1.4) | 34 (1.5) | 30 (1.3) |
| 2 years, n (%) | 36 (2.3) | 46 (2.6) | 36 (2.0) | 38 (2.2) | 52 (3.3) | 40 (2.5) | 52 (1.9) | 36 (2.5) | 39 (2.2) | 62 (3.0) | 57 (2.3) | 71 (3.0) | 69 (3.2) | 69 (3.0) | 59 (2.8) |
| 3 years, n (%) | 56 (3.8) | 73 (4.1) | 64 (3.5) | 62 (3.6) | 76 (4.8) | 65 (4.1) | 54 (3.2) | 55 (3.9) | 64 (3.6) | 80 (3.9) | 88 (3.5) | 108 (4.6) | 112 (5.1) | 92 (4.0) | 63 (2.7) |

*p Value examines group differences over time; categorical variables incorporate the Mantel-Haenszel $\chi^2$ test for trend; continuous variables examine group differences in means over time using Test. For continuous variables, p values for group difference over time are similar with or without accounting for individual-level variations.
†Percentage of prescribed sessions attended among patients who at least attended one session postintake assessment.
CONCLUSIONS

In conclusion, physicians appear to be referring more sociodemographically diverse patients to CR. These patients however appear less likely to enrol and participate in prescribed sessions. Programmes may need to adapt to better engage these older, single, female patients of lower socioeconomic status. Patients who have non-cardiac comorbidities were less likely to be referred over time, despite greater need. Mortality remained stable at 5%. Findings suggest the need for explicit, criteria-based referral processes for CR and implementation of evidence-based strategies to promote CR participation.

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