Experimental and Theoretical Approaches for the Surface Interaction between Copper and Activated Sludge Microorganisms at Molecular Scale

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Interactions between metals and activated sludge microorganisms substantially affect the speciation, immobilization, transport, and bioavailability of trace heavy metals in biological wastewater treatment plants. In this study, the interaction of Cu(II), a typical heavy metal, onto activated sludge microorganisms was studied in-depth using a multi-technique approach. The complexing structure of Cu(II) on microbial surface was revealed by X-ray absorption fine structure (XAFS) and electron paramagnetic resonance (EPR) analysis. EPR spectra indicated that Cu(II) was held in inner-sphere surface complexes of octahedral coordination with tetragonal distortion of axial elongation. XAFS analysis further suggested that the surface complexation between Cu(II) and microbial cells was the distorted inner-sphere coordinated octahedra containing four short equatorial bonds and two elongated axial bonds. To further validate the results obtained from the XAFS and EPR analysis, density functional theory calculations were carried out to explore the structural geometry of the Cu complexes. These results are useful to better understand the speciation, immobilization, transport, and bioavailability of metals in biological wastewater treatment plants.
sludge surface are still not well known. So there is still a need for further investigations of the surface interaction at molecular scale.

The main objective of this study is to get a deep insight into the microscopic-level interaction between heavy metal Cu(II) and activated sludge as well as its EPS, providing the microstructure of Cu(II) complexing with the functional groups of activated sludge. Spectroscopic measurements can be used to probe surface speciation and sorption mechanisms at molecular scale. For example, electron paramagnetic resonance (EPR) is able to give the geometrical information about paramagnetic metallic cations (e.g., Cu), and qualitative and quantitative information about unpaired electrons of heavy metals after complexation. X-ray absorption fine structure (XAFS) analysis is another important means to describe the local structure including bond distance, coordination number, and type of near-neighbors surrounding a specific element \(^{11,12}\), which is very sensitive to molecular complexation. The density functional theory (DFT) calculation provides useful information about the interpretation of experimental spectroscopic data to identify possible coordination environments of adsorbed Cu\(^{13-15}\). The experimental and calculation results could provide more detailed microscopic chemical structure information about the interactions between heavy metals and activated sludge microorganisms, and thus would give a more crucial understanding in the fate of heavy metals in biological wastewater treatment plants.

**Results and Discussion**

**Potentiometric titration curve and surface functional groups of activated sludge.** The titration curve of pH vs. NaOH additions is shown in Fig. 1a, and the corresponding derivative of the titration curve is illustrated in Fig. 1b. The activated sludge showed a certain buffering capacity, as indicated by the weak inflection points (Fig. 1a). The derivative of the titration curve (Fig. 1b) gave the equivalence points and the apparent pK\(_a\) values for the activated sludge. The peaks indicated a maximum variation in pH corresponding to the equivalence points, and the valleys showed a minimum variation in pH, which was an indicator of buffering. Arrows (at pHs of 5.8 and 8.3) represented the corresponding pH values of the titration curve for each equivalence points (Eq. n)\(^{16}\). Assuming four binding sites according to the derivative of the titration curve, the pK\(_a\) values of the proton-binding sites as well as their contents were estimated using the PROTOFIT 2.1 software, and are shown in Fig. 1c. The pK\(_a\) values and their contents of the four binding sites were pK\(_{a1}\) 3.57 (0.25 mmol/g), pK\(_{a2}\) 5.42 (0.66 mmol/g), pK\(_{a3}\) 7.28 (0.37 mmol/g), and pK\(_{a4}\) 9.92 (1.12 mmol/g), which were assigned as phosphodiester, carboxyl, phosphoryl and hydroxyl/phenolic groups, respectively\(^{17-19}\).

**EPR results.** The structural characteristics of the Cu(II)-activated sludge complexes were explored using EPR spectroscopy. The EPR spectra displayed an inconspicuous anisotropic copper signal both at 40 K and 298 K (Fig. 2). Theoretically Cu(II) standard should be a typical anisotropic signal with four lines (2I + 1 = 4) in parallel region arising from the hyperfine coupling of the S = 1/2 electron spin of Cu(II) with its nuclear spin I = 3/2. This suggests that the process of cooling had no obvious impact on the structural fate of the Cu(II) complexing, and the structure of Cu(II) complex was different.
with that of Cu(II) standard. EPR spectra reveal that the Cu(II) center was axial ($g_{\|} > g_{\perp} > g_e = 2.00$), with simulated spin Hamiltonian parameters $g_{\|} = 2.309$, $g_{\perp} = 2.076$, $A_{\perp} = 142$ Gs (Fig. 2). The value of hyperfine coupling constant $A$ for Cu(II) was a mean value corresponding to the $^{63}$Cu and $^{65}$Cu isotopes with their natural abundance. The fact $g_{\|} > g_{\perp} > g_e$ indicates that the copper ions had an octahedral coordination with tetragonal distortion of axial elongation (D4h symmetry) due to Jahn-Teller effect. These values were consistent with a $d_{x^2-y^2}$ ground state for the Cu(II), and the parameter was in the range between those of the cation Cu(H$_2$O)$_6$$^{2+}$ ($g_{\perp} = 2.440$) and those of Cu(OH)$_2$ ($g_{\perp} = 2.267$). The $d_{x^2-y^2}$ orbital would increase the destabilization if an axial coordination around Cu(II) ion exists, which causes an increase in the $g$ value from the following modified equations:

$$g_e = g_{\|} = g_e + \frac{8|\lambda|}{\Delta E(x^2-y^2 \rightarrow xy)}$$

(1)

$$g_e = g_{\perp} = g_e + \frac{2|\lambda|}{\Delta E(x^2-y^2 \rightarrow xz, yz)}$$

(2)

where $\lambda$ represents the spin-orbit coupling constant, $\Delta E$ is the difference in the corresponding state energies, and $g_e$ is the $g$ factor of the free electron. Here, $|\lambda| = 830$ cm$^{-1}$ for Cu, $g_e = 2.0023$, thus $\Delta E$ can be calculated according to the measured $g$ value, which is used for screening axially symmetric structure in the DFT calculations.

The surface complexation of Cu(II) ion decreased the $g_{\perp}$-value compared with the value of the free Cu$^{2+}$ (Cu(H$_2$O)$_6$$^{2+}$, $g_{\perp} = 2.440$). The decrease in $g_{\perp}$ could be associated with the total thermodynamic stability of the formed complex ($K_{total}$), as shown in eq 3:

$$\log K_{total} = 84(2.44 - g_{\perp})$$

(3)

where 2.44 is the $g_{\perp}$ value of the frozen solution aquo ion Cu$^{2+}$, which has been chosen as a reference point ($\log K_{total} = 0$).

From the relationship between the thermodynamic stability constants of surface complexes and the EPR parameter, the magnitude of Cu(II) complexing strength with the activated sludge could be estimated. The $g_{\perp}$ value was measured to be 2.309, and thus the surface complex constant ($\log K_{total}$) was estimated to be 11.0, which was comparable to those between Cu and other ligands (e.g., montmorillonite, soil particles, humic substances) with plenty of various functional groups, implying the complexing mechanisms between Cu and these ligands might be the same.

**XAFS analysis.** The K-edge X-ray absorption spectra of Cu(II) complexing with the activated sludge before and after EPS extraction at various pH values were collected. The Cu K-edge XANES spectra of samples and various standards are shown in Fig. 3. The pre-edge peak at 8976 eV (Arrow 1) was assigned to the 1s to 3d dipole-forbidden electronic transition (probably hybridized by p orbitals of the ligands). Another peak at 8981 eV (Arrow 2) was resulted from reduced Cu(I) signal. However, the presence of a shoulder at 8981 eV in the Cu absorption edge spectra and the absence of a pre-edge from 8976 eV indicate the presence of Cu(I) in the samples. This peak showed a slightly increase after sequential scans, suggesting a radiation-induced reduction of Cu(II). The other two peaks in the Cu K-edge XANES spectra (Arrows 3 and 4) were attributed to the 1s to 4p main edge electron transitions. The splitting of the derivative XANES spectra might result from anisotropic square planar symmetry of Cu(II) compounds, or could be referred to the tetragonal distortion of the CuO$_6$ octahedron due to Jahn-Teller effect. These inflections provided information about the three dimensional geometry and coordination environment of Cu in the Cu complexes. The Peak 3 and Peak 4 corresponded respectively to the 1s $\rightarrow$ 4p and 1s $\rightarrow$ continuum transitions for Cu(II) compounds in octahedral symmetry. The energy gap between the two peaks was 5.0 eV (Fig. 3), attributed to the distortion of 4p orbit in metal center. This obtained value was of a similar level to those for other Cu(II) compounds in slightly tetragonally distorted octahedral environments, and was also in accordance with the EPR analytical results. Moreover, the sample spectra in our work had a distinct shoulder peak, which might be due to the degree of axial distortion and the covalence of the equatorial ligands bonded to Cu(II).

After the EPS were extracted from the activated sludge, the XANES spectra of the complexes between Cu and EPS-free activated sludge were shifted to the high energy side because of the increase in oxidation state from the complexes. Also, this phenomenon was observed when pH was increased from 3.0 to 7.0. This might be because the uncomplexed Cu(II) in the equilibrium solution became gradually precipitated to Cu(OH)$_2$, which increased the Cu content in the complex for the XAFS analysis. The extraction of EPS influenced the complexation ability of metal ions on the sludge, but no significant difference on the binding parameters of the Cu complexes, e.g., bond length and coordination number, was observed. The reason might be that the main functional groups in activated sludge with or without EPS would be similar, although their contents could be changed after the EPS extraction. Thus, the complexing structure between Cu(II) and sludge microorganisms after EPS extraction would not be influenced.

**Figure 2 | EPR spectra of the Cu(II)-activated sludge complex at 298 K and 40 K.**

**Figure 3 | X-ray absorption near-edge structure of reference compounds and Cu-activated sludge under different conditions.**
To gain more insight into the molecular structure of the Cu complexing with activated sludge, the EXAFS spectra were fitted according to the standard model of Cu(NO$_3$)$_2$. The first-shell fit of the EXAFS spectra of the Cu complexes and its corresponding radial structure function (RSF) derived from Fourier transformations, are illustrated in Fig. 4. The position of the peaks in the RSF corresponds to the relative distance (uncorrected for phase shift) between Cu(II) and complexing atoms in local coordination shells. The strongest peak, which appears between 1.44 and 1.50 Å in Fig. 4a, corresponded to the first-shell O atoms. Within the framework of the single scattering approach, the EXAFS spectra fitted well by Levenberg-Marquardt fitting (Fig. 4b), and the results are listed in Table 1. The results show that Cu(II) ions were surrounded by four equatorial oxygen atoms and two axial oxygen atoms. The average Cu-O$_{eq}$ bond length was 1.95 ± 0.01 Å, and the Cu-O$_{ax}$ one was equal to 2.43 ± 0.50 Å. These data are consistent with those obtained from the EPR results and the XANES spectra: distorted octahedra containing four short equatorial bonds and two elongated axial bonds. Also, this agrees with previous studies, in which bond distance for Cu-O$_{eq}$ first shell has been reported to range from 1.92 to 1.97 Å$^{27-29}$, while the second shell Cu–O/C ranged from 2.29 to 2.41 Å$^{30}$.

As shown in Table 1, the fitting results of the second shell were more susceptible by the extraction of EPS and change of pH than those of the first shell. Because there were usually more coordinated atoms such as C, H and O associated with the complexation of the second shell, which would be influenced by the solution pH and sludge EPS. The bond lengths were in the range of 2.40–2.50 Å and the coordination numbers varied from 1.5 to 1.8 in the second shell. However, the bond lengths were in a range of 1.93–1.95 Å, when the coordination numbers were kept at 4.0 for the first shell. Those bond lengths were in agreement with the reported values of Cu-hydroxyl or Cu-carboxyl from previous literatures$^{27-28}$. From the microscopic view point, the observed fitting results of Cu(II) K-edge XAFS analysis was not significantly affected after EPS extraction on the bond lengths and coordination numbers. Thus in the short range of a few angstroms surrounded by Cu(II), the bond lengths and coordination numbers were able to stabilize regarding to the complexing structure of Cu and activated sludge microorganisms.

**DFT calculation.** To further support our interpretation based on EPR and XAFS, the proposed structures of Cu complex were studied by DFT calculations. The Cu(II) center stably existed in a 6-oxygen coordinated octahedron in EPS. The molecular orbital contour plot for the Cu complex and the splitting of energy levels are shown in Fig. S1. Cu 3d orbitals coordinate with $p^*$, $\sigma$ orbitals from ligands, and the molecular orbital of Cu complex was split into $t_2g$ and $e_g$ with the splitting energy $\sim$6000 cm$^{-1}$, corresponding to the energy $\Delta E = 4071\sim5412$ cm$^{-1}$ in the EPR analysis (Fig. S1a). The highest occupied molecular orbital (HOMO) shape suggests the low-level orbital of $e_g$ of the Cu coordination compound was $d_{x^2−y^2}$ (Fig. S1b).

Poly saccharides are one of the main compositions for EPS and sludge microorganisms, and the glucose with plenty of hydroxyl groups is one of the main units of polysaccharides$^{31,32}$. The optimized structure of distorted octahedra with Cu center contains four short equatorial bonds and two elongated axial bonds (Fig. 5a), and the angle of distortion is shown in Fig. 5b. The position of octahedrally coordinate around the Cu did not change significantly in the energy minimization. The detailed bond distances and angles of the Cu complexing structure calculated by DFT fitted well with the experimental data (Table 2). The critical bond angles demonstrate that the Cu complex had distorted coordinated octahedral structure, which was comprised of four short equatorial O atoms connected with C from glucose and two elongated axial O with H from H$_2$O. Four short equatorial O atoms from hydroxyl groups of two glucose molecules form two hexatomic rings with Cu as shown in Fig. 5b. The Cu(II) center is connected with two glucose molecules and two H$_2$O to form hexa-coordinated Cu-sludge complex. The distances of Cu-O5 and Cu-O6 were calculated to be 2.29 Å and 2.28 Å, respectively, which were slightly smaller than those obtained from the XAFS spectra (2.40–2.50 Å) and close to the reported Cu-O$_{ax}$ distance 2.29–2.41 Å. The glucose molecule was bound end-on to the Cu(II) center with Cu–O distances of horizontal plane in a range of 2.05–2.10 Å. This agreed with the experimental Cu-O$_{eq}$ from the XAFS analysis, implying the computational models used for DFT calculation was reasonable. In this case, the Cu complex shown in Fig. 5 was considered to be the most stable one with the lowest energy.

**Implications of this work.** Though the macroscopic interactions between metals and microorganisms have been extensively studied, it is still of great significance to explore such a complexation from a microscopic viewpoint and to observe the microstructure. In the present work, a multi-technique approach was used to probe the complexing characteristics between Cu(II) and microbial cells, and it was proven to be a powerful tool to elucidate such an interaction between heavy metals and activated sludge microorganisms. XAFS and EPR could provide the local structure and geometrical information of Cu. Meanwhile, DFT calculations are able to describe the structural geometry of the Cu complex. Spectroscopic studies confirmed Cu complexation via the formation of inner-sphere surface complexes as the major mechanism of biosorption, and the DFT method was used to calculate the most stable Cu complex structures. These results could be useful for better
understanding the speciation, immobilization, transport, and bioavailability of heavy metals in biological wastewater treatment plants, and might also be beneficial for exploring the geochemical cycle of metals in natural waters and soils.

Conclusions
The microscopic-level complexing between heavy metal Cu(II) and activated sludge has been studied. Spectroscopic results indicate that Cu complexed with activated sludge via the formation of inner-sphere surface complexes of octahedral coordination with tetragonal distortion of axial elongation, containing four short equatorial bonds with a mean Cu-Oeq bond distance of 1.95 Å and two elongated axial bonds with a Cu–Oax bond distance of 2.43 Å. DFT calculation was able to explain the structural geometry of the Cu complex, and fitted well with the experimental results. These results would be useful for understanding the mechanism of the fate and species of Cu(II) in biological wastewater treatment plants.

Methods
EPS extraction from activated sludge and Cu(II) adsorption tests. The activated sludge was harvested from a laboratory-scale sequencing batch reactor fed with a synthetic wastewater, which the composition was: CH₃COONa, 640 mg L⁻¹; NH₄Cl, 95 mg L⁻¹; KH₂PO₄, 22.5 mg L⁻¹; CaCl₂, 11.5 mg L⁻¹; MgSO₄, 12 mg L⁻¹ and 10 mL of trace element solution. The C: N: P ratio was 100:3:1. The reactor was operated sequentially in 6 h cycles, with 7 min of substrate filling, 342 min of aeration, 2 min of settling and 3 min of effluent withdraw. The sludge concentration was 3.6 g/L, and the ratio of volatile suspended solids (VSS) to suspended solids (SS) was 0.82, implying the high microorganism contents in the sludge. Before experiments, the sludge microorganisms were washed by distilled water for three times to remove the residual substrate and metabolic products. The EPS were extracted using the cation exchange resin (CER) technique as described previously. Briefly, the activated sludge sample, washed twice with 50 mM NaCl solution, was stirred for 12 h at 200 rpm and 4 °C after the CER addition (60 g/g SS). Subsequently, the solutions were centrifuged to remove CER and remaining sludge components. The supernatants were then filtered through 0.45 μm cellulose acetate membranes and used as the EPS fraction.

The process of Cu(II) biosorption was conducted using 50 mL shaking flasks. The detailed information about characterization of the Cu(II) biosorption onto sludge has been given in the Supplementary Information. The maximum Cu retention capacity of the activated sludge at pH 5.0 was calculated to be 25.7 mg/g through Langmuir adsorption isotherm model (Fig. S2). The microstructure of Cu(II) complexing with the functional groups in activated sludge were explored using XAFS and EPR techniques, and high content of complexed Cu(II) on sludge would be beneficial for the accuracy of XAFS and EPR analysis. Herein, Cu(II) concentration at 64 mg/L and 2.08 g of activated sludge were added to the flasks and mixed. The total strength was adjusted to 50 mM NaCl. The pH was adjusted to 3.0, 5.0 and 7.0. After 12 h of equilibrium, the sludge after complexing with Cu(II) was carefully washed twice with 50 mM NaCl solution to remove the remaining free Cu(II) ions, and then used for XAFS and EPR analysis. The sludge after EPS extraction was also used for comparison.

Potentiometric titration. The surface functional groups of activated sludge were determined with the potentiometric titration. The sludge resuspended in 40 mL solution (6.0 g-dry weight/L) was titrated using a DL 50 Automatic titrator (Mettler Toledo Co., Switzerland) with a pH electrode of 0.001 precision. The titration was conducted under nitrogen gas conditions at 25 °C. The solution ionic strength was adjusted to 0.01 mol/L. The initial pH was adjusted to 3.0 by 1 mol/L HCl, and was titrated by 0.1 mol/L NaOH with 10 μL increments until pH 11.0 was reached. The titration data obtained were analyzed using the PROTOFIT 2.1 software.

XAFS measurements and analysis. The Cu K-edge XAFS spectra of Cu(II) sorbed on the activated sludge were measured at the U7C beamline of the National Synchrotron Radiation Laboratory (NSRL), Hefei, China. The XAFS signals were collected in a fluorescence mode with a seven-element high-purity Ge solid detector. The electron beam energy was 0.8 GeV and the maximum stored current was 300 mA. A double-crystal Si (1 1 1) monochromator was used. Energy calibration was monitored using a Cu metallic foil with the first inflection of the absorption edge set to 8979 eV. The spectra were recorded in the energy range of 8779–9774 eV covering the copper K-edge (~8979 eV) with intervals of 0.5 eV for XANES and 2 eV for EXAFS. An integration time of 5.0 s per point was used in both cases. The obtained data of all the standards and samples in three scans were averaged to improve the signal to noise ratios (S/N), which was more than 10 under the experimental conditions. The raw data analysis was performed by using the NSRL-XAFS 3.0 software package according to the standard data analysis procedures.

EPR measurement. The EPR spectra of Cu(II) complex with activated sludge before and after EPS extraction at pH = 5.0 were measured using an EMX spectrometer (Bruker Co., Germany) at 40 K and 298 K, which was operated at X-band frequency (9.72 GHz) with a 100 kHz modulation frequency. Sample pretreatments for the EPR measurement were consistent with those for the XAFS measurement. All measurements were repeated four times, and a gradient cooling method was applied: a) solid ethanol (190 K); b) liquid nitrogen (77 K); and c) liquid helium (40 K), to avoid the breaking of the EPR sample tubes. The EPR spectra were recorded at a microwave power of 2 mW and modulation amplitude of 5.0 G.

DFT calculation. All the calculations were completed using the DMol³ module of the Materials Studio Program. The minimum-energy geometry structures of the Cu complex were determined by an all-electron method within the Perdew-Wang

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**Table 1 | Levenberg-Marquardt Fitting of Cu K-edge Bulk XAS Analysis**

| pH   | Cu sample | R (Å) ± 0.01 | CN  | E₀ shift (eV) | \(\sigma^2(\text{s}) \times 10^{-3}\) | relative error (%) | R (Å) | CN  |
|------|-----------|--------------|-----|--------------|-------------------------------------|-------------------|--------|-----|
| 3.0  | EPS-covered| 1.93 ± 0.01  | 4.0 ± 0.3 | 3.4 ± 0.9   | 6.9 ± 0.5                           | 5.7                | 2.40 ± 0.20 | 1.5 ± 0.9 |
| 3.0  | EPS-free  | 1.94 ± 0.01  | 4.0 ± 0.5 | 6.3 ± 1.4   | 7.9 ± 0.8                           | 9.5                | 2.43 ± 0.50 | 1.7 ± 0.5 |
| 5.0  | EPS-covered| 1.94 ± 0.01  | 4.0 ± 0.2 | 5.8 ± 0.6   | 6.6 ± 0.4                           | 3.3                | 2.43 ± 0.10 | 1.7 ± 0.6 |
| 5.0  | EPS-free  | 1.95 ± 0.02  | 4.0 ± 0.3 | 5.1 ± 1.0   | 6.9 ± 0.6                           | 5.0                | 2.50 ± 0.10 | 1.8 ± 0.6 |
| 7.0  | EPS-covered| 1.95 ± 0.01  | 4.0 ± 0.4 | 7.8 ± 1.1   | 7.9 ± 0.7                           | 7.3                | 2.47 ± 0.30 | 1.7 ± 0.7 |
| 7.0  | EPS-free  | 1.94 ± 0.01  | 4.0 ± 0.3 | 5.0 ± 0.9   | 7.6 ± 0.3                           | 2.8                | 2.41 ± 0.20 | 1.6 ± 0.7 |

R: Interatomic distance (Å); CN: Coordination number. 
E₀ shift: edge energy (eV); \(\sigma^2\): Debye-Waller factor (Å²).

Figure 5 | DFT optimized results: (a) Local structures of Cu complex; and (b) Top view to show the bond angle.
91 (PW91) form of generalized gradient approximation (GGA) for the exchange-correlation term. The double precision numerical basis sets including p polarization (DNP) were adopted. A spin-polarized scheme was employed to deal with the open-shell systems. The energy in each geometry optimization cycle was converged to within $2 \times 10^{-5}$ Hartree and $4 \times 10^{-3}$ Hartree/A, respectively.

The computational models of the active sites were constructed from carboxyl, hydroxyl and glucose units, which were one of the main compositions for EPS and sludge microorganisms. Combined with XAFS and EPR results, geometry optimization of Cu complex was performed with frequent updates of the force constants in order to stay as close as possible to the lowest energy pathway. The complex was regarded to reach a most stable structure when the energy came to the lowest horizontal line. Furthermore, the splitting result of orbital energy level and the analysis of orbital elements could also be obtained.

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**Acknowledgments**

Authors wish to thank the Natural Science Foundation of China (21377123 and 51322802), Hefei Center for Physical Science and Technology (2012FXZY005) and the Program for Changjiang Scholars and Innovative Research Team in University of Ministry of Education of China for the partial support of this study. Authors also wish to thank the Shanghai Synchrotron Radiation Facility, Shanghai, China for XAFS analysis.

**Author contributions**

H.W.L. and J.J.C. carried out the experiments, analyzed the data, and wrote the paper; G.P.S. designed the experiments, analyzed the data, and wrote the paper; J.H.S. designed the EPR experiments and analyzed EPR data, and S.Q.W. analyzed the XAFS data; H.Q.Y. analyzed the data and wrote the paper.

**Additional information**

Supplementary information accompanies this paper at http://www.nature.com/scientificreports

Competing financial interests: The authors declare no competing financial interests.

How to cite this article: Luo, H.-W. et al. Experimental and Theoretical Approaches for the Surface Interaction between Copper and Activated Sludge Microorganisms at Molecular Scale. *Sci. Rep.* **4**, 7078; DOI:10.1038/srep07078 (2014).

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