X-Ray-luminous Supernovae: Threats to Terrestrial Biospheres

Ian R. Brunton1,2,3, Connor O’Mahoney1,3, Brian D. Fields1,3,4, Adrian L. Melott5, and Brian C. Thomas6

1 Department of Astronomy, University of Illinois Urbana-Champaign, Urbana, IL 61801, USA; bdfields@illinois.edu
2 Astrophysical Research & Exploration Science, NASA Johnson Space Center, Houston, TX 77058, USA
3 Illinois Center for Advanced Studies of the Universe, University of Illinois at Urbana-Champaign, Urbana, IL 61801, USA
4 Department of Physics, University of Illinois Urbana-Champaign, Urbana, IL 61801, USA
5 Department of Physics and Astronomy, University of Kansas, Lawrence, KS 66604, USA
6 Department of Physics and Astronomy, Washburn University, Topeka, KS 66621, USA

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Abstract

The spectacular outbursts of energy associated with supernovae (SNe) have long motivated research into their potentially hazardous effects on Earth and analogous environments. Much of this research has focused primarily on the atmospheric damage associated with the prompt arrival of ionizing photons within days or months of the initial outburst, and the high-energy cosmic rays that arrive thousands of years after the explosion. In this study, we turn the focus to persistent X-ray emission, arising in certain SNe that have interactions with a dense circumstellar medium and observed months and/or years after the initial outburst. The sustained high X-ray luminosity leads to large doses of ionizing radiation out to formidable distances. We assess the threat posed by these X-ray-luminous SNe for Earth-like planetary atmospheres; our results are rooted in the X-ray SN observations from Chandra, Swift-XRT, XMM-Newton, NuSTAR, and others. We find that this threat is particularly acute for SNe showing evidence of strong circumstellar interaction, such as Type IIn explosions, which have significantly larger ranges of influence than previously expected and lethal consequences up to ∼50 pc away. Furthermore, X-ray-bright SNe could pose a substantial and distinct threat to terrestrial biospheres and tighten the Galactic habitable zone. We urge follow-up X-ray observations of interacting SNe for months and years after the explosion to shed light on the physical nature and full-time evolution of the emission and to clarify the danger that these events pose for life in our galaxy and other star-forming regions.

Unified Astronomy Thesaurus concepts: Supernovae (1668); Astrobiology (74); X-ray transient sources (1852)

1. Introduction

The spectacular outbursts of energy originating from SNe have long motivated research into the harmful effects they may impose on Earth and analogous environments. The early work of Schindewolf (1954) and Krassovskij & Šklovskij (1958) promptly recognized the importance of ionizing radiation on Earth’s atmosphere and biosphere, which has been the central focus of subsequent work (Terry & Tucker 1968; Ruderman 1974; Whitten et al. 1976; Ellis & Schramm 1995; Gehrels et al. 2003, and references below). More generally, these events constrain the Galactic habitable zone, i.e., the locations throughout the galaxy in which life could exist (Lineweaver et al. 2004; Gowanlock et al. 2011; Cockell et al. 2016).

Naturally, the field of nearby SN research develops alongside our understanding of SNe in general. Gehrels et al. (2003), for example, specifically evaluated the near-Earth SN threat in light of multiwavelength observations and theoretical models of the lone event of SN 1987A. But since then, a more profound understanding of SN characteristics has developed and so too has our insight into their influence on terrestrial atmospheres and habitability. In this paper, we now examine the consequences of SN X-ray emission stemming from observations largely made in the years following the Gehrels study.

Further motivating our research is that there is now a wealth of empirical evidence for near-Earth SNe in the geologically recent past. The radioactive isotope 60Fe (half-life 2.6 Myr) has been found live (not decayed) in deep-ocean samples dating 2–3 Myr ago (ferromanganese crusts; Knie et al. 1999, 2004; Fitoussi et al. 2008; Ludwig et al. 2016; Wallner et al. 2016, 2021). 60Fe is also found in Apollo samples of lunar regolith (Fimiani et al. 2016), in cosmic rays (Binns et al. 2016), and (with a smaller flux) in recent deep-ocean sediments (Wallner et al. 2020) and modern Antarctic snow (Koll et al. 2019). The crust and sediment measurements all indicate an event occurred around 3 Myr ago, and Wallner et al. (2021) now find evidence for another event around 7–8 Myr ago.

The widespread geological presence of pulses of live SN-produced radioisotopes is the hallmark of near-Earth events (Ellis et al. 1996). Furthermore, the 60Fe abundances for these events allow estimates of the SN distance, around 20–150 pc (Fields & Ellis 1999; Fields et al. 2005; Fry et al. 2015), and candidate star clusters have been proposed at distances around 50 pc and 100 pc (Benítez et al. 2002; Mamajek 2007; Hyde & Pecaut 2018). Indeed, close-by events are required to successfully deliver SN ejecta to Earth (Fields et al. 2008; Fry et al. 2015; Fry 2016). In addition, the Wallner et al. (2021) discovery of 244Pu in the same time window further strengthens the case for nearby explosions and opens the possibility of an additional event from a kilonova (Wang et al. 2021). These detections are entirely consistent with the presence of our solar system within the Local Bubble, a hot, low-density region of space that is thought to be a product of numerous nearby SN explosions coinciding with Earth’s early Neogene Period (<20 Myr) (Breitschwerdt et al. 2016; Zucker et al. 2022).

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Altogether, these observations demonstrate that nearby explosions are a fact of life in our star-forming galaxy and suggest that even closer events are possible over the history of biology on Earth, possibly causing mass extinctions (Fields et al. 2020).

1.1. General Terrestrial Effects of a Nearby Supernova

Each SN will have its own unique characteristics and evolutionary behavior, with variables resulting from both the internal and external environment of the progenitor star (Alsabti & Murdin 2017). Most important to the effects imposed on a nearby planet in the interstellar neighborhood, however, are the flux, spectrum, and duration of the ionizing radiation emitted as a result of the event. The exact perturbations that are then imposed on the planetary system will be dependent on the characteristics of the planet itself. We are primarily concerned here with the threats to terrestrial biospheres. As such, with Earth still being the sole confirmed substrate for any sort of viable life-form, we typically situate our analysis of a nearby SN with respect to the deleterious effects that would pertain to Earth’s modern environment.

In general, for a terrestrial planet that harbors a robust atmosphere, the most direct effects will be triggered by the radiative alteration of the planet’s atmospheric chemistry. The multifarious physical processes governing such interactions can make it difficult to parameterize the exact implications. But, early research as far back as Krassovskij & Sklovskij (1958) speculated on the general implications that nearby SNe may have on life, and numerous others have researched the habitability consequences of high influxes of radiation from a variety of interstellar events (Martín et al. 2011; Chen et al. 2018; Louca et al. 2022; Ambrifi et al. 2022, to name a very select few).

With such a complex system as Earth’s atmosphere, any large, energetic perturbation would induce a wide range of responses. Moreover, merely assessing the threat imposed on an Earth-like biosphere must take into account the multiple different phases of Earth’s atmosphere and even the nature of life throughout its 4.5 Gyr history. The effects on the biosphere, say, during the Archean Earth (>2.5 Gya), prior to the oxygenation of the atmosphere or land-based life-forms, would be entirely different from effects imposed on the oxidizing atmosphere and complex organisms of the current Phanerozoic Eon (<540 Mya).

Consequences related to atmospheric heating and escape are a focus of much habitability work related to other energetic events, such as outflows from active galactic nuclei (AGNs; Ambrifi et al. 2022) and extreme ultraviolet radiation from quasars (Chen et al. 2018). But while the X-ray emission from SNe are indeed high-energy phenomena, the total, time-integrated energy output of radiation pales in comparison to those more sustained events, and the SN would need to be at such close distances to impose any significant heating or escape that other factors would dominate at that point. Notably, however, Smith et al. (2004) calculated that for a hypothetical biosphere located on a planet with a far thinner atmosphere than found on Earth, even atmospheric heating and escape effects may be significant for an SN. Speculations about hypothetical biospheres are beyond the scope of this paper.

Smith et al. (2004) also analyzed the propagation of ionizing radiation through model atmospheres of terrestrial exoplanets. They found that while even the thinnest of atmospheres will often block all X-ray radiation from reaching the surface, there may be a significant amount of incident X-ray energy that will redistribute into diffuse, but “biologically effective,” UV at the surface. More generally, the incident X-ray energy can produce substantial transient fluctuations in atmospheric ionization levels—an effect that would be particularly relevant for advanced, technological civilizations (e.g., radio communication). These sudden ionospheric disturbances are certain to occur in the event of an influx of X-ray radiation, and are often a major focus of research regarding the influx of X-rays from large solar flares (Mitra 1974; Hayes et al. 2017, 2021; Siskind et al. 2022).

While these cited studies have examined the atmospheric influences of ionizing radiation, a notable barrier to extrapolating directly from these previous data (and many others, such as Segura et al. 2010; Chen et al. 2021) is that none yet have resolved the particular influences of the X-ray phase of SN emission. For example, the Smith et al. (2004) study utilized spectral models most relevant for solar flares, gamma-ray bursts (GRBs), and the non-X-ray-specific emission of SNe. The emission profiles of the X-ray-luminous SNe are far more energetic, enduring, and of harder photon spectra than those of solar flares, but particularly less energetic and of softer photon spectra than GRBs. This is a commonality among all the modeled results we reference herein since this is the first paper we are aware of that is specific to the distinct, X-ray phase of SN emission. We intend to more accurately model the X-ray emission profile against a climate model in a future study.

1.2. Ozone-related Effects of a Nearby Supernova

Since our research is the first to focus solely on the X-ray phase of SN emission, we elect to provide a broad assessment of the lethal effects that sits within the context of most prior research into SN threats to habitability. As such, we focus the majority of our analysis only on the deleterious mechanism of ozone depletion. Much previous literature on the habitable influence of SNe often addresses the loss of stratospheric ozone as the most notable of the general consequences to Earth’s modern-day atmosphere, as it is the ozone that currently serves as the biosphere’s most formidable line of defense against external radiation (Whitten et al. 1976; Ellis & Schramm 1995; Gehrels et al. 2003; Thomas et al. 2005a, 2005b; Ejzak et al. 2007; Melott & Thomas 2011). Justification for this approach with respect to hard X-rays is provided further in Section 2.

Ruderman (1974) was likely the first to orient focus on the catalytic cycle of ozone depletion which would result from photoionization delivered by the SN explosion. Subsequent research into the effects of nearby SNe on habitability has thus similarly used ozone loss as a proxy for biological damage, and hence how “lethal” an SN will be (see Melott & Thomas 2011 for a detailed review).

This prior research often pertains to the two distinct phases of ionizing radiation that are present in all SNe regardless of environment: (1) the prompt arrival of energetic photons—predominantly gamma rays—associated with the massive outbursts of energy, and (2) the later influx of charged cosmic rays that propagate outwards with the SN blast.

Despite the magnificence of the initial outburst, the accompanying gamma rays radiate $E_\gamma \sim 2 \times 10^{51}$ erg (Gehrels et al. 2003), a small fraction of the $\sim 10^{51}$ erg of the blast energy. As we will see below, for likely SN distances, this emission is unable to trigger a notable rate of ozone depletion unless further enhanced by subsequent X-ray emission and,
therefore, does not represent a significant threat to life on its own. The exception to this occurs in the unique circumstances of an SN accompanied by a GRB, where relativistic jets lead to beamed gamma-ray emission. Though astronomically rare, GRBs from SNe and compact object mergers are hypothesized to have also directly influenced Earth’s geological past (Scalo & Wheeler 2002; Melott et al. 2004; Thomas et al. 2005a, 2005b; Piran & Jimenez 2014). In fact, the recent GRB 2210009A, which was over 700 Mpc away from Earth (de Ugarte Postigo et al. 2022; Williams et al. 2023), actually caused a slight, but measurable, perturbation in the D-region of the ionosphere (Hayes & Gallagher 2022); fortunately, this delivered far below any type of lethal dosage.

A later, more destructive phase of SN ionizing radiation occurs hundreds to tens of thousands of years after the initial arrival of photons, in which a planet’s atmosphere is bathed with an influx of cosmic rays freshly accelerated by the SN. Cosmic rays carry a much larger fraction of the SN blast energy (≥10%) and so present a greater threat. The time history of SN cosmic rays experienced on Earth depends on their distribution in and around the SN remnant that accelerates them. The escape of cosmic rays from SN remnants remains a topic of active research and depends on the cosmic-ray energy and remnant age. For example, the highest-energy cosmic rays can escape early to act as precursors to the SN blast, while lower-energy particles that are the bulk of cosmic rays are advected into the remnant and remain there until its end stages due to self-confining magnetohydrodynamic instabilities excited by the cosmic rays themselves (Drury 2011; Nava et al. 2016). Broadly speaking, for an observer on Earth, the cosmic flux should be the most intense around the time the forward shock arrives, which is thousands of years after the explosion.

The spectrum of the newly accelerated cosmic rays should have more high-energy particles than the equilibrium propagated spectrum throughout most of the galaxy (Aharonian & Atoyan 1996; Telezhinsky et al. 2012; Bell et al. 2013; Brose et al. 2020). And with the bulk of the cosmic rays trapped in the remnant, the duration of the SN cosmic-ray exposure will be that of the blast passage—many thousands of years.

If the SN is close enough that the biosphere is exposed to a dose of cosmic rays, this can represent the most harmful stage of a nearby SN on a terrestrial environment, simply due to the extremely high energy and prolonged influential presence of the cosmic rays. As these cosmic rays penetrate deep into the atmosphere, lingering for hundreds to thousands of years, they alter the atmospheric chemistry of the planet. It is this phase that has typically been the focus of prior research on the terrestrial consequences of SNe. In said research, it is generally assumed that a rate of 30–50% globally averaged ozone depletion (in reference to modern-day Earth levels) harbors the potential to impose an extinction-level event on Earth (Melott & Thomas 2011). The distance the SN would have to be to impose such consequences is often labeled the “lethal distance.” In their review of astrophysical ionizing radiation and Earth, Melott & Thomas (2011) have surmised a typical lethal distance for an SN to be around 10 pc. However, this value is largely variable due to a variety of factors, and in a more recent detailed study, Melott & Thomas (2017) have also estimated that this distance may be upwards of 50 pc for certain interstellar conditions. These general parameters serve as the foundation for our assessment of the threat imposed by X-ray-luminous SNe.

1.3. X-Ray-luminous Supernovae

In this paper, we now turn the analytical focus to the persistent high-energy X-ray emission that characterizes certain SNe. The timing of the X-ray emission is situated in between the two previously mentioned general stages: months and/or years after the initial outburst and hundreds to thousands of years before the arrival of cosmic rays. Thus, the associated damage from the X-ray influx would occur as a separate phase of ionizing radiation, altering our notions of the timeline by which an SN influences a nearby planet.

Figure 1 illustrates a hypothetical, but realistic, timeline by which an X-ray-luminous SN’s radiation would interact with a nearby planet (note that the timeline uses a log scale). The three phases from left to right are (1) the initial arrival of photons (green) in the SN outburst, (2) the X-ray phase of emission (yellow) delayed by months to years after the outburst, followed by (3) the arrival of cosmic rays (blue) with the SN remnant thousands of years later.

The X-ray emission is thought to arise from interactions with a dense circumstellar medium (CSM) carved out during the star’s lifetime (Smith 2014; Chandra 2018; Dwarkadas 2019). It has long been theorized (Ruderman 1974) that some SNe may have high-enough X-ray emission to trigger the catalytic cycle of ozone depletion similar to that of the later cosmic rays—albeit at different magnitudes and shorter timescales. And while X-ray significance has been acknowledged from the beginning, the absence of empirical X-ray SN observations to parameterize such discussion has correlated with their absence from discussions on planetary influence.

In the last few decades, the field of X-ray astronomy has come of age (Wilkes et al. 2022), most notably due to innovations in telescopic sensitivity employed through Chandra, Swift-XRT, XMM-Newton, and NuSTAR. The combined capabilities of these telescopes have allowed us to gain a keen understanding of the X-ray evolution from all types of SNe, and these observations have served to both confirm and upend certain notions of stellar processes (Dwarkadas 2014; Wilkes et al. 2022).

For this study, we have scoured the literature for X-ray SNe to compare the evolutionary characteristics of 31 X-ray-luminous SNe (occasionally called “interacting SNe,” due to the necessary interaction with a CSM). We use these data to conduct a threat assessment that serves as an initial parameterization for the impact that SN X-ray emission can have on terrestrial planets. In Section 2, we briefly summarize the general threat to terrestrial planets that is imposed by ionizing photon events, explaining the key value of critical fluence and determining an appropriate fluence value for the associated X-ray data. This discussion outlines the process by which we can then parameterize our subsequent assessment of the X-ray emission.

In Section 3 we then present the collection of light curves for the 31 SNe analyzed. We identify the key characteristics of each spectral type and identify the emission trends relevant to terrestrial atmospheres. Throughout our analysis of the data, we refrain from including values based on speculation of any unconfirmed X-ray emission, i.e., we only display confirmed X-ray observations recorded in the literature. However, as will be discussed in Section 3, there are clear indications that each SN actually has a larger amount of total X-ray emission than has been observed. Therefore, by limiting our data analysis to the confirmed observations only, we are providing a
The X-ray phase of emission since the initial outburst is seen in the sky. The three phases from left to right: (1) The initial arrival of gamma rays and other photons (green) in the SN outburst. (2) The X-ray phase of emission (yellow) delayed by months to years after the outburst. (3) The arrival of cosmic rays (blue) with the SN remnant thousands of years later.

**Figure 1.** Hypothetical timeline on a planet for the arrival of radiation emitted by a nearby X-ray-luminous SN. Note that the timeline is logged, displayed in days since the initial outburst is seen in the sky. The three phases from left to right: (1) The initial arrival of gamma rays and other photons (green) in the SN outburst. (2) The X-ray phase of emission (yellow) delayed by months to years after the outburst. (3) The arrival of cosmic rays (blue) with the SN remnant thousands of years later.

conservative, lower-end estimate of their overall X-ray energy output. Nevertheless, we maintain this restriction, because an overarching purpose of this paper is to provide empirical evidence for the threat that SN X-ray emission can impose on terrestrial planets. This subsequently enables us to provide a conservative estimate for the ranges at which the X-ray-luminous SNe would be threatening to a nearby biosphere, and thus, when we present our threat assessment in Section 4, we will have gone to great lengths to show that the inferences we make are appropriate, and the generalizing rate of lethality for X-ray-luminous SNe is significant.

We discuss our results in Section 5 and conclude with the summation of our findings in Section 6, most notably, that SN X-ray emission—a distinct phase of an SN’s evolution—can certainly impose effects on terrestrial atmospheres and biospheres at formidable distances. These results have implications for planetary habitability, the Galactic habitable zone, and even Earth’s own evolutionary history.

### 2. Methods: Parameterizing X-Rays and Ozone Depletion

A multitude of physical processes would occur in response to the high influx of X-ray radiation from an SN. But due to the overwhelming and persistent emission spectra associated with the X-ray profile, the dominant effect of this influx on modern-day Earth is the alteration of the planet’s atmospheric chemistry. Most significant for the biosphere (and thus lethality) is the radiative breaking of the chemical bond of N₂. Once this bond breaks, the nitrogen interacts with neighboring atmospheric oxygen and prompts the generation of nitrogen oxides. These nitrogen compounds (often identified NOₓ) catalyze a cycle of ozone depletion by converting O₂ to O₃ (see Ruderman 1974; Solomon et al. 1982; Gehrels et al. 2003; Rohen et al. 2005; Melott & Thomas 2011, for a more detailed description of this process). At very high altitudes, a similar mechanism known as HOₓ-induced ozone depletion would dominate, but it would not be particularly relevant for lethality due to the very short lifetime of HOₓ constituents (Rohen et al. 2005; Solomon et al. 1983).

On Earth, stratospheric ozone typically acts as the primary absorber of UVB radiation from the Sun, radiation that is extremely damaging to most organisms. As such, a significant loss of ozone would expose life on Earth to high doses of UVB radiation. Changes in irradiance at Earth’s surface and into the ocean have variable effects across the biosphere (Thomas et al. 2015; Neale & Thomas 2016; Thomas 2018) with UVB negatively influencing a wide range of organisms, especially marine organisms at the lower end of the food chain. It has been suggested that such effects may be significant enough to initiate a mass extinction event (Ellis & Schramm 1995; Melott et al. 2004; Melott & Thomas 2009; Beech 2011; Piran & Jimenez 2014; Fields et al. 2020).

Previous studies assessing the lethality of SNe have thus used ozone depletion as the predominant proxy for biological damage (Ruderman 1974; Whitten et al. 1976; Gehrels et al. 2003; Ejzak et al. 2007). For the purposes of comparison, we follow this procedure, noting that there will of course be other atmospheric effects that the prolonged X-ray emission would impose on the atmosphere. In their review of astrophysical ionizing radiation and Earth, Melott & Thomas (2011) establish the threshold for an “extinction-level event” as a globally averaged ozone depletion of about 30%. Thomas et al. (2005b) argue that this would “nearly double the mean UVB flux at the surface” and could potentially trigger a food chain crash in the oceans.

So long as the ionizing radiation carries sufficient energy to break the strong N₂ bond and the generated NOₓ compounds reach an altitude equal to ozone abundances, it can initiate the catalytic cycle of ozone depletion. Thus, for our assessment of the threat imposed by SNe X-ray outputs, we follow a similar quantitative approach that these studies have used to...
characterize such damage, adopting their established parameterizations for atmospheric conditions on Earth and the necessary energy thresholds to trigger ozone depletion. Notably, however, the efficiency and altitude at which the ionizing radiation penetrates into the atmosphere will be dependent on the photon energy. The characteristic energy spectrum of an X-ray-luminous SN is substantially softer than that of a GRB and certainly lower than that of the high-energy particles associated with the later cosmic rays. We therefore must take into account modern-day Earth’s relative opacity to X-rays to properly generalize the ozone-related effects.

2.1. Fluence and Lethal Distance

In general, the amount of ozone depletion induced by an astrophysical ionizing event is mainly dependent on the spectrum and total amount of radiation incident on Earth—not the rate or duration of the event (Ejzak et al. 2007; Melott & Thomas 2011)—and will be a function of the fluence, $F_X$, which is the energy deposited per unit area of the atmosphere. This is simply the integrated flux of radiation arriving at the top of the planet’s atmosphere. An explosion at distance $d$ with an X-ray flux $F_X$ has an X-ray fluence, $F_X$, of

$$F_X = \int_{t_i}^{t_f} F_X(t) \, dt = \frac{\int_{t_i}^{t_f} L_X(t) \, dt}{4\pi d^2} = \frac{E_X}{4\pi d^2}. \tag{1}$$

We see that the fluence depends on the total X-ray energy output in the observed window:

$$E_X = \int_{t_i}^{t_f} L_X \, dt, \tag{2}$$

which is the X-ray luminosity integrated over time.

In principle, the X-ray fluence in Equation (1) could be diminished by absorbing material along the sight line to the SN. However, for the $\lesssim 50$ pc distances of interest, this likely has a negligible effect, and we can thus appropriately adopt the unabsorbed luminosity when given.

To compare the magnitude of the threat associated with the X-ray emission of each SN, we will calculate the furthest distances at which they could be from an Earth-like planet to impose lethal effects on the biosphere. A simple comparison point is the “lethal distance,” which is the terminology used throughout Thomas et al. (2005a, 2005b) and Melott & Thomas (2011, 2017) and which we define as the approximate distance at which an SN would impose severe lethality on a terrestrial biosphere. We will thus characterize the degree of damage by a critical fluence, $F_X^{\text{crit}}$, in the X-ray band.

Demanding that the fluence in Equation (1) be equal to the critical fluence at which the X-ray emission would impose lethal consequences, we solve to find the associated distance as

$$D_X^{\text{crit}} = \left( \frac{E_X}{4\pi F_X^{\text{crit}}} \right)^{1/2} \tag{3}$$

$$= 14 \, \text{pc} \left( \frac{E_X}{10^{49} \, \text{erg}} \right)^{1/2} \left( \frac{400 \, \text{kJ m}^{-2}}{F_X^{\text{crit}} \, \text{X}} \right)^{1/2}. \tag{4}$$

To then evaluate the range of influence for X-ray SNe, we must (1) appropriately parameterize the critical fluence value for the soft X-rays of the SNe data and (2) find each SN’s total observed X-ray energy output, $E_X$. The remainder of this section is devoted to the discussion of critical fluence and its appropriate value for X-ray emission. Total SN X-ray energy outputs will be calculated and discussed in Section 3.

2.2. Critical Fluence

Table 1, column 3, displays the observed energy band for the SN X-ray observations in each paper. We note that these observations are mainly limited to the soft X-ray energy band ($\lesssim 10$ keV). Soft X-ray photons each carry significantly lower energy than the gamma rays and cosmic rays that most prior studies relevant to nearby SNe have focused on. Moreover, the duration of the X-ray radiation (months to years) is longer than that of a GRB but significantly shorter than that of the cosmic rays that arrive later (hundreds to thousands of years). These factors must be accounted for to appropriately assign a critical fluence value to the X-ray lethal distance.

### Table 1

| Supernova | Publication(s) | Band Observed (keV) |
|-----------|---------------|---------------------|
| 1979G     | Immler & Kuntz (2005) | $0.3-2.0$ |
| 1978K     | Schlegel et al. (2004)  | $0.5-2.0$ |
| 1979C     | Patnaude et al. (2011)  | $0.3-2.0$ |
| 1980K     | Schlegel (1995)  | $0.2-2.4$ |
| 1986J     | Temple et al. (2005)  | $0.3-2.0$ |
| 1987A     | Frank et al. (2016)  | $3.0-8.0$ |
| 1988Z     | Schlegel & Pete (2006) | $0.2-2.0$ |
| 1993J     | Chandra et al. (2009)  | $0.3-8.0$ |
| 1994J     | Immler et al. (2002)  | $0.3-2.0$ |
| 1995N     | Fox et al. (2000), Chandra et al. (2005) | $0.1-10; 0.1-10$ |
| 1996cr    | Bauer et al. (2008)  | $2.0-8.0$ |
| 1998S     | Pooley et al. (2002)  | $2.0-10$ |
| 2001em    | Chandra et al. (2010)  | $0.3-10$ |
| 2003bg    | Soderberg et al. (2006) | $0.3-10$ |
| 2004dj    | Chakrabarti et al. (2012) | $0.5-8.0$ |
| 2004dk    | Pooley et al. (2019)  | $0.4-8.0$ |
| 2004et    | Misra et al. (2007)  | $0.5-8.0$ |
| 2005sp    | Katsuda et al. (2014), Smith et al. (2017), Fox et al. (2020) | $0.5-8.0; 0.5-8.0$ |
| 2005kd    | Dwarkadas et al. (2016), Katsuda et al. (2016) | $0.3-8.0; 0.2-10$ |
| 2006bp    | Immler et al. (2007)  | $0.2-10$ |
| 2006jc    | Immler et al. (2008)  | $0.2-10$ |
| 2006jd    | Chandra et al. (2012), Katsuda et al. (2016) | $0.2-10; 0.2-10$ |
| 2008D     | Modjaz et al. (2009)  | $0.3-10$ |
| 2010Jl    | Chandra et al. (2015)  | $0.2-10$ |
| 2011ja    | Chakrabarti et al. (2013) | $0.3-10$ |
| 2012ca    | Bochenek et al. (2018) | $0.5-7.0$ |
| 2013by    | Margutti et al. (2013), Black et al. (2017) | $0.3-10; 0.3-10$ |
| 2013ej    | Chakrabarti et al. (2016) | $0.5-8.0$ |
| 2014C     | Brethauer et al. (2022) | $0.3-100$ |
| 2017eaw   | Szalai et al. (2019)  | $0.3-10$ |
| 2019ehk   | Jacobson-Galan et al. (2020) | $0.3-10$ |

#### Notes.

Also cited are the original publication(s) from which we extracted the observations, along with the corresponding energy band reported for each observation. Most notable is that the range of cited observations used in this paper is all $\lesssim 10$ keV photon energy levels (SN 2014C excepted). We account for this in our analysis of the fluence required for certain levels of ozone depletion.
Importantly, Earth’s thick atmosphere is more or less opaque to soft X-rays, meaning that the photons arriving at the top of the atmosphere will not reach the surface, and it is unlikely that the majority of these photons make it down to the stratospheric level of the ozone layer. Nonetheless, due to the sheer magnitude of energy associated with SNe, even the softer X-rays can make it to an altitude adequate to initiate the NO$_2$-induced catalytic cycle of ozone depletion—a process further dependent on meteorological conditions that facilitate the downward transport of NO$_2$ into the stratosphere (Solomon et al. 1982). This is an important caveat and will be addressed in more detail in the next subsection.

Further complicating the matter, a number of planetary conditions can play a role in determining the impact of astrophysical ionizing radiation on the atmosphere. Time of year, angle of incidence, geomagnetic activity, and meteorological conditions are just a few of the many influences determining the Earth system’s response (Tartaglione et al. 2020). Previously published work has addressed these factors through the use of atmospheric chemistry models that can calculate globally averaged ozone depletion.

The most useful of these studies with respect to ozone-related effects and astrophysical events are Thomas et al. (2005a, 2005b) and Ejzak et al. (2007). These papers each utilized an atmospheric model of modern-day Earth (the Goddard Space Flight Center two-dimensional atmospheric model) to explore the atmospheric effects associated with ionizing radiation from astrophysical sources. Since they both provide insight into the fluence ranges and sensitivity to photon energy, their results prove vital for our analysis.

Thomas et al. (2005a, 2005b) studied the relation between ozone depletion and critical fluence. They compared the response to ionizing radiation with three different fluence values (10 kJ m$^{-2}$, 100 kJ m$^{-2}$, 1000 kJ m$^{-2}$), finding that the percent change in the globally averaged column density of ozone scales with fluence as a power law with an index $\sim$0.3. This less than linear relationship occurs due to the ozone depletion becoming saturated at higher fluence values. While this work specifically used timescales associated with GRBs, its general implications for ionizing radiation remain the same, and it provides necessary context for scaling our critical fluence value.

Ejzak et al. (2007) then utilized the same atmospheric model to examine the terrestrial consequences of spectral and temporal variability for ionizing photon events. In this study, they varied the burst duration ($10^1$–$10^8$ s) and average photon energies (1.875 keV–187.5 MeV), while holding fluence constant at 100 kJ m$^{-2}$. They found that at a given fluence, higher-energy photons were more damaging to ozone because they penetrate deeper into the atmosphere, creating a significant increase in NO$_2$ at stratospheric altitudes.

Nonetheless, at the fluence used in the study, gamma rays with energies 187.5 keV and above destroy $\sim$33% of the ozone. This is sufficient to represent a lethal dose, particularly for the most vulnerable biota. For this reason, $F_{\text{crit}}^{\text{int}} = 100$ kJ m$^{-2}$ is often assigned to be the critical fluence for gamma-ray exposure (Melott & Thomas 2011). Turning to X-rays, Ejzak et al. (2007) found that a photon energy of 1.875 keV (which most closely corresponds to the soft X-ray bands cited here) induces a globally averaged ozone depletion of $\sim$22% (at the constant 100 kJ m$^{-2}$ fluence). This depletion level would persist for a couple of years, begin to noticeably recover after about five years, and effectively complete recovery in a bit over a decade—well before the later arrival of the cosmic rays. While this may seem relatively short on geological timescales, it is nevertheless many generations for the UV-transparent single-celled organisms at the base of the marine food chain and could potentially leave measurable traces in the paleontological record (Cockell 1999). For reference, the peak of anthropogenic-related ozone destruction was around $\sim$5% globally averaged depletion in the 1990s (Salawitch et al. 2019), so we are discussing average depletion levels significantly higher than any recent phenomena.

Still, the globally averaged ozone depletion of $\sim$22% at an X-ray fluence of 100 kJ m$^{-2}$ would be very unlikely to induce severe lethality or serve as a lone trigger for an extinction-level event and thus would not fit the parameterization for a lethal distance. Therefore, a fluence value of $\sim$100 kJ m$^{-2}$ is too small for the softer X-ray band. A higher critical fluence value is instead required for the given photon energies and burst durations of X-ray-luminous SNe. To account for this, we combine the modeled results from both Ejzak et al. (2007) and Thomas et al. (2005a, 2005b) (ozone depletion and fluence scales less than linearly) and adopt a higher critical fluence value of

$$F_{\text{crit}}^{\text{int}} \approx 400 \text{kJ m}^{-2} = 3.8 \times 10^{45} \text{erg pc}^{-2}$$

(5)

to induce $\geq$30% prolonged ozone depletion. With this value, an X-ray-luminous SN located at the typical SN lethal distance cited in Melott & Thomas (2011) ($D = 10$ pc) would require a total X-ray energy output of $E_X = 4.8 \times 10^{48}$ erg.

2.3. Limitations and Caveats to Critical Fluence Calculation

The percentages estimating biological damage are not precise, as a variety of conditions within the Earth system could alter the exact effects of ionizing radiation. The upshot of this is that the critical fluence value of 400 kJ m$^{-2}$ is also somewhat of a crude approximation, and the definition of a “lethal distance” is somewhat loose. However, these definitions and values remain sufficient to provide us with appropriate approximations for our threat assessment conducted in this paper, the purpose being to identify whether X-rays from interacting SNe exhibit a notable range of influence. A more extensive analysis of the associated atmospheric effects and variations in biological damage can be found throughout the aforementioned literature.

To put the fluence value of 400 kJ m$^{-2}$ into the context of an X-ray influx that has impacted Earth before, we can reference the largest solar flare on record, the 1859 Carrington Event. This event is typically classified as an X-45 solar flare for its peak flux of $\sim 45 \times 10^{-4}$ W m$^{-2}$ in soft X-rays (Cliver & Dietrich 2013). Following the calculations from Equations (1) and (2), this peak emission would have to persist from the Sun at that magnitude for approximately 2.8 yr to induce a fluence of 400 kJ m$^{-2}$. Moreover, this peak is in the soft X-ray band, without substantial evidence of significant emission in the 10 keV range that characterizes interacting SN emission (see Section 3). Nonetheless, solar flares can provide us with concrete empirical evidence of influxes of soft X-ray emission perturbing Earth’s atmosphere.

Further limitations in our approximation for the critical fluence and associated effects stem from the fact that no agreed-upon spectral model for X-ray-luminous SNe exists, and thus, we are extrapolating from modeled effects of events...
much on X-ray energy. The gray dashed Gaussian curve is an idealized sketch of the average
Ejzak et al.2007 would be with respect to the altitude of energy deposition in the
SN. This would be characteristic of the X-ray phase of emission from
Figure 2.

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such as GRBs, which would have notably harder spectra than
would be characteristic of the X-ray phase of emission from an
SN.

The most notable discrepancy in using these spectral models
would be with respect to the altitude of energy deposition in the
atmosphere. In short, this complicates any direct extrapolation
of the Ejzak et al. (2007) 1.875 keV results for our assessment.
Ejzak et al. (2007) used a GRB spectrum, which they modeled
as a broken power law in photon energy, with an adjustable
peak energy in which the spectral index changes. A
consequence of this power-law spectrum is that there are
always photons with energy >10 keV. A corollary of this is
that when Ejzak et al. (2007) calculate the ozone depletion at a
peak photon energy of 1.875 keV, there is still a significant
proportion of higher photon energies (10+ keV) being inputted
as well. This peripheral energy falls outside the spectral range
of the confirmed SN observations. However, a good portion of
the energy is within the range of all of the X-ray data we use.
Moreover, if only considering the <10 keV photons, this
would be a quite conservative approach, because it is very
likely that substantial X-ray emission exists for each of these
SNe outside their cited energy ranges, i.e., in the harder X-ray
band that most X-ray telescopes cannot detect (>10 keV).
NuSTAR, the newest X-ray telescope that actually has the
capability of sensing the more energetic photons (~3–79 keV),
has already observed significant X-ray emission in these harder
spectra for some SNe (Chandra et al. 2015; Brethauer et al.
2022; Thomas et al. 2022), and there is nothing to indicate this
is abnormal. Ejzak et al. (2007) confirmed that harder spectra
would result in more ozone depletion and would thus require a
lower critical fluence to induce lethal effects on the biosphere.
We therefore aimed to ensure that our approximation is
conservative so as to not overestimate the SN influence.

We turn now to the atmospheric deposition of X-rays and the
resulting ozone damage. X-rays are less penetrating than
gamma rays generally, and X-ray absorption is a strong
function of photon energy, with opacities dropping as
\[ \kappa_X \propto \varepsilon_X^{-3} \]
Thus, low-energy (soft) X-rays are absorbed high
in the atmosphere, while harder X-rays penetrate more deeply.
We therefore expect soft X-rays to be less effective ozone
depletion agents than harder X-rays and gamma rays.

Figure 2 illustrates this behavior, showing atmospheric
transmission for a range of X-ray energies \( \varepsilon_X \in [1, 100] \) keV,
compared to an indication of ozone layer. Plotted is the flux
\( F(\varepsilon_X) \) at height \( z \) relative to the incident flux \( F_0 \) at the top of the
atmosphere. We have \( F(\varepsilon_X) / F_0 = e^{-\tau(\varepsilon_X)} \), with the optical
depth \( \tau(\varepsilon_X) = \kappa(\varepsilon_X) \Sigma(z) \). Here the opacity \( \kappa \) is from the dry air
habitation of mass absorption coefficients by the NIST database
(Hubbell 2004), and we use a simple exponential model for
the atmosphere to evaluate the mass column density
\( \Sigma(z) = \int_z^{\infty} \rho(z') \, dz' \). We see that in all cases the flux ratio
starts at unity at high altitudes then drops rapidly at a
characteristic height that is energy dependent. In particular,
the flux of \( \varepsilon_X = 1 \) keV and 3 keV photons is cut off below ~70
km, substantially above the majority of the ozone layer,
roughly plotted by location in dashed gray. Photons with 10
keV and above overlap progressively more with the ozone.

\footnote{http://physics.nist.gov/xaaampd}
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The justification for considering ozone depletion, however, can be verified with empirical evidence of the photochemical coupling between the lower thermosphere and the upper stratosphere of Earth’s atmosphere (Solomon et al. 1982; Randall et al. 2006). Essentially, most ionization of N$_2$ induced by the photons, as well as the subsequent creation of NO$_x$, will occur above the stratosphere—primarily in the lower thermosphere at altitudes above ~90 km. In fact, it is the variability in the flux of the Sun’s soft X-rays that serve as the primary control for nitric oxide density in the lower thermosphere of the tropics (Barth et al. 1999). However, Solomon et al. (1982) first resolved that substantial amounts of NO$_x$ produced in the thermosphere can reach the stratosphere and trigger the catalytic cycle of ozone depletion. This descent of NO$_x$ from the thermosphere to the stratosphere has since been both modeled and consistently observed in a magnified response to solar flares (Rohden et al. 2005; Randall et al. 2006; Maliniemi et al. 2021; Bailey et al. 2022; Siskind et al. 2022). The process is particularly prevalent at high latitudes during polar winter, with the vertical transport of NO$_x$ being further facilitated by various meteorological conditions, such as polar vortices. We therefore would expect that much of the global ozone depletion resulting specifically from SN X-rays would actually be contingent upon this photochemical coupling and would often be concentrated over the polar regions. The specifics of the depletion timeline and duration would be further dependent on the varying seasonality and geophysical conditions throughout the months and years of prolonged X-ray emission, with some months of the event being more/less lethal than others.

All considered, these variables are why the Ejzak et al. (2007) results are so useful, as they provide a general assessment, and their inputs closely align with the X-ray emission observed. One small caveat noted by the authors is that the direct relation between fluence and ozone depletion begins to weaken for burst durations longer than $10^8$ s ($\approx$3 yr), as meteorological conditions (e.g., rainfall) begin to remove NO$_x$ compounds from the atmosphere and thus dampen any prolonged increase in ozone depletion. Many of the SN X-ray emission profiles we analyzed have longer durations than $10^8$ s, which would seem to indicate that more consideration is needed than simply finding their $E_X$ value. However, for each SN analyzed, either all or the vast majority of their total energy output (>95%) occurs well within this timeframe of $10^8$ s and, therefore, effects such as rainout will not significantly alter our calculation of SN influence. In the future, an atmospheric model simulation that is specifically attuned to the associated energy inputs for SN X-ray light curves would be needed to refine speculation any further. For our threat assessment conducted here, this approximation suffices, and we adopt the critical flux in Equation (5) as a rough indication of the effect of SN X-ray irradiation.

With an analytical method established for comparing X-ray emission effects, we now turn to the empirical data for SN X-ray emission collected in the last half-century.

3. Data: X-Ray-luminous Supernovae

The explosion processes governing SNe can be broken into two distinct origins: core-collapse SN (CCSN) and thermonuclear SN (Alswat & Murdin 2017). CCSN occurs at the end of a massive star’s ($\geq 8M_\odot$) lifetime, whereas thermonuclear SN typically occurs from white dwarfs accreting mass from a binary companion. Both processes have similar explosion energies overall, but notably, for our purposes, the magnitude and timing of X-ray emission will vary in relation to stellar mechanisms (Smith 2014; Burrows & Vartanyan 2021).

Not all SNe show evidence for substantial outputs of X-ray emission. Instead, X-rays are primarily the consequence of the interaction between the expanding SN ejecta and the progenitor star’s circumstellar medium (CSM). The density of this CSM is directly related to the progenitor’s mass loss during the late stages of its stellar evolution. In general, a higher-density CSM will result in a greater magnitude of thermal X-ray emission (Smith 2014; Chandra 2018; Dwarkadas 2019).

Stellar theory posits that the prerequisite condition for a dense enough CSM that leads to high X-ray emission is most likely—and perhaps only possible—in a CCSN event. Type IIn SNe, as we will see below, are the most X-ray-luminous SNe, and they show evidence for particularly strong circumstellar interactions due to high mass loss in the years and centuries leading up to the explosion (Gal-Yam et al. 2007; Smith et al. 2008; Kiewe et al. 2012). The energy source and light-curve behavior of Type IIn events stem from the collision and shock of the blast with the CSM, and thus Smith (2017) argues that they are best thought of as an “external phenomenon” rather than an SN type. Recent X-ray-bright events also include other SN classes, with multiple X-ray detections of Type Ib/c CCSNe (Margutti et al. 2017; Chandra et al. 2020; Brethauer et al. 2022; Thomas et al. 2022), as well as a Type Ia thermonuclear SN (Bocheneck et al. 2018).

The confirmed presence of relatively large X-ray emission across different SN classes is an exciting development in our overall understanding of the rates of stars’ mass loss and general SN physics. A detailed understanding of this prevalence, however, remains unclear. Whether the X-ray emission originates from a CCSN or a thermonuclear SN is not particularly relevant to the hazardous effects imposed on a terrestrial atmosphere that we are concerned with in this study. So long as the event manages to induce a high-enough fluence on the atmosphere, the associated impacts of the X-ray photons will be the same as a function of $E_X$ and can be similarly calculated with Equation (3). As such, we will not be providing further analysis or speculation as to how and why these X-ray emissions occur, as more extensive discussions can be found within the above-cited literature.

Note also that it is quite plausible that X-ray emission from SNe is not isotropic because the circumstellar medium may well be anisotropic. This means that the blast interaction could lead to different X-ray luminosities in different directions. In the absence of a detailed model of the circumstellar medium, there is no clear way to account for this for individual events. However, if there is anisotropy, this will be encoded in the frequency of observed X-ray-bright SNe, which we use in estimating the rates and threats posed by these events. This also means that these effects will be better accounted for with a larger and more complete observational survey of X-ray SNe.

All considered, the rate of occurrence for X-ray-bright SNe would have general implications for the Galactic habitable zone, i.e., the locations in which life could exist (Lineweaver et al. 2004; Gowanlock et al. 2011; Cockell et al. 2016). Therefore, when assessing the risks imposed on specific terrestrial biospheres, we need not discriminate between the type of X-ray luminous SN and its progenitor. But, to generalize our results and gain insight into planetary habitability, the different magnitudes at which each specific type of
SN emits in the X-ray band are important, as this would factor into the rate of occurrence for dangerous X-ray emission throughout the universe (see Section 4).

### 3.1. X-Ray Data Analysis

Figure 3 displays the X-ray light curves for 30 of the 31 SNe analyzed (SN 1987A has been omitted for visual clarity due to its low luminosity). All data points are sourced from the papers listed in Table 1. Both axes are logged; error bars are plotted when provided by the original paper. The figure further illuminates the range of available observations we have for each SN. Our data and subsequent analysis are limited to only these confirmed observational data points of X-ray emission.

From these data, we can calculate each SN’s total X-ray energy emitted, $E_X$, through an integration of their respective X-ray light curves as seen in Equation (2). To do this, we take a simple empirical approach: we interpolate linearly between the observed epochs. We have tried other methods including using simple fitting functions and find that these give similar results. As described in Section 2 (see Equation (3)), we are using this total energy output to characterize the general threat imposed by each SN.

Figure 4 illustrates how these cumulative X-ray energy outputs evolve over time. For clarity, we limit the displayed SNe to those containing >5 confirmed observations (with SN 2008D omitted for clarity) and only use measured data points that result in abrupt onset. We linearly interpolate the luminosity between the points, leading to the rise of the curves, which terminate at the final observation. The endpoint of each curve gives the total observed $E_X$. The shape of the rise from onset to the final point indicates the time history of the radiation dose delivery.

The range of widths of the curves in Figure 4 gives a general sense of the range of timescales associated with the measured SNe. The curves generally rise rapidly and then taper off, corresponding to a high initial X-ray luminosity that diminishes over time. In some examples, we have SNe measured at both early and late times (SN 1993J), which provide us with the understanding that these emissions are present for long periods of time after they first appear. Note that the time axis is logarithmic so the durations are not uniform widths across the plot.

A primary motive of our study is to work explicitly with confirmed SN data. Accordingly, two notable parameters are confining our analysis of the SN data to provide restrictive limitations in our overall assessment: (1) We are only considering the emission of these SNe from the given data.
points; i.e., we do not plot emission that may occur outside the epochs observed, and (2) we are only considering the emission of these SNe within the cited X-ray band reported by the original papers.

The likelihood that X-ray activity occurred outside the observational windows and outside the typical range of X-ray telescopic sensitivity (\(\leq 10 \text{ keV}\)) is very high, particularly for SNe with scant observational data. For example, in researching the surprising X-ray emission of Type Ib/c SNe, Margutti et al. (2017) have proposed that as much as \(\sim 40\%\) of Ib/C SNe could be X-ray luminous at \(t \geq 1000\) days. Brethauer et al. (2022) reported on seven years of Chandra–NuSTAR observations of SN 2014C, which they note is a late interacting SN best modeled and observed at thermal emission with \(T \approx 20\) keV. The implications of this continually growing evidence are that a significant percentage of SN X-ray emission is simply being unobserved, particularly in the shorter wavelengths. However, no agreed-upon spectral model for SN X-ray emission exists within the literature, and we make no attempt to adopt one here.

Therefore, with the limitations of (1) only observed epochs and (2) only observed energy ranges, all considered, our calculations will thus yield conservative values for the total X-ray energy outputs. We accept this given that here we are merely analyzing the empirical evidence to determine if these emissions can induce a notable impact with the confirmed energy alone and will extrapolate further in a future study. As the astronomical community gains further insight into the implications of this continually growing evidence, we will be able to expand our assessment.

3.2. Key Data Trends: SN Type and Emission Timescale

Figure 5 is the same as Figure 3, but with the light-curve coloring now grouped by spectral classification. This serves to highlight the X-ray emission disparities of the different spectral types. There are three key aspects emphasized here that are relevant to our threat assessment:

1. The X-ray emission spectra from Type IIn SNe are significantly higher on average than all other types of SNe. Their X-ray emission is most readily seen months and/or years after the explosion. This is a characteristic of a progenitor star with a high rate of mass loss creating a dense CSM, with which the SN shock wave collides (Dwarkadas 2019). The rather late interaction reflects the travel time for the SN blast to reach the CSM. Chandra et al. (2020). Note however that this trend is partially attributable to observational biases, as some SNe IIn were not discovered or observed until well after their explosion dates (e.g., SN 1986J, 1988Z, and 1978K). Note also that most X-ray SN observations are sensitive to soft photons. The only Type IIn that has been observed by NuSTAR is SN 2010jl, which exhibited significantly higher on average than all other types of SN X-ray emission during two years after the explosion (we have not included this lone data point at that energy range since we are using only data sets with multiple confirmed observations). Regardless, the high, prolonged X-ray energetics imply that SNe IIn typically have the largest range of influence on terrestrial atmospheres during this phase of the SN.

2. There are thus far three observed SNe that have been initially classified as non-IIn SNe yet have X-ray emission spectra comparable to those of the IIn class. These are SN 2012ca (Type Ia), SN 2001em (Ib/c), and SN 2014C (Type Ib). The evolution of their light curves calls into question their initial classifications, as well as the traditional spectral classification system as a whole (Margutti et al. 2017). Chandra et al. (2020) propose that these SNe have essentially “metamorphosed” into Type IIn SNe, transitioning from noninteracting to interacting SNe. Their high X-ray energetics potentially make them...
as lethal to nearby biospheres as the IIn class. Furthermore, SN 2014C was observed for several years with NuSTAR (range 3–80 keV) and showed significant luminosity output in the hard X-ray spectrum throughout (Thomas et al. 2022; Brethauer et al. 2022). The evidence for these harder emissions elsewhere would have implications for the critical fluence value discussed in Section 2, as the amount of ozone depletion scales with photon energy and thus would ultimately serve to increase their range of influence. Whether the characteristics of these non-IIn spectra are typical for those of their class could also have significant consequences for the habitable zone, as a higher rate of occurrence for X-ray emission could greatly increase the statistical threat that SNe pose for planetary habitability (see Section 4).

3. The X-rays originating from other types of SNe (IIL, IIP, etc.) are relatively small in comparison. These SNe are “noninteracting” in the absence of a dense CSM, and the X-ray luminosities peak upon shock breakout at the considerably lower durations required to make their X-ray emission a threat to terrestrial biospheres. Though the luminosities in this shock breakout can be relatively large, they do not persist long enough to be of any significance regarding the lethal effects evaluated here but could nevertheless impose ionospheric disturbances.

This last point is best encapsulated by the observed data from SN 2008D (whose entire observed light curve is only partially displayed for clarity in Figures 3 and 5). SN 2008D is quite a unique event in X-ray astronomy, in that it was a serendipitous discovery of an SN upon initial outburst (Soderberg et al. 2008). This offered a view of the SN breakout immediately in the X-ray band. Its peak observed X-ray luminosity of $\sim 3.8 \times 10^{43}$ erg s$^{-1}$ (Modjaz et al. 2009) is actually the most luminous observation in our data—nearly two orders of magnitude larger than the most energetic observations of Type IIn SNe. However, this burst of energy immediately dissipates within a matter of minutes by a few orders of magnitude, resulting in a relatively low total X-ray energy. This bolsters a key notion underlying our analysis: For non-GRB SNe, the initial influx of photons from the SN outburst is nonthreatening to terrestrial biospheres at formidable distances. Instead, the prolonged X-ray emission that arises from CSM interaction (months/years after outburst) provides an additional threat and alters the timeline by which a nearby SN influences a terrestrial biosphere. In general, noninteracting SNe would not have much further lethal influence beyond the hazardous effects associated with their cosmic rays discussed in previous nearby SN studies.

4. Results: Threat Assessment

Having characterized the effects of a lethal X-ray dose on the atmosphere and the output of the brightest X-ray SNe, we are now in a position to assess the threat that these explosions pose to the biosphere. Table 2 displays the total X-ray energy output, $E_X$, for the 31 SNe analyzed. Plugging these numbers into Equation (3), using the critical fluence value of 400 kJ m$^{-2}$ from Equation (5), reveals the lethal distance, $D_X^{leth}$, for each SN. Over half of the SNe have lethal distances well over 1 pc. The 10 Type IIn SNe show the highest average range of influence, with lethal distances in the tens of parsecs. As derived from the likely ozone depletion that would occur at this fluence, these SNe are shown to pose a substantial threat to nearby habitable planets. This much is evident even with the restrictive limitations we have imposed in our analysis of the total X-ray emission (only confirmed observational epochs and energy ranges).
Figure 6 illustrates the approximate ranges of influence for the top 17 SNe analyzed (those with $D_{leth}^{th} > 1$ pc). Here, we display three distinct values for a range of lethal influence, with each value derived from Equation (3), using three separate critical X-ray fluxes (displayed on plot, left to right): $F_{X}^{{crit}} = 400$ kJ m$^{-2}$ (square), 200 kJ m$^{-2}$ (triangle), and 100 kJ m$^{-2}$ (circle). This serves to illustrate the distances at which these SNe would maintain significant influence on an Earth-like biosphere.

We have gone to great lengths to justify that the leftmost value (400 kJ m$^{-2}$), which comes from Equation (5), is the most appropriate to calculate the lethal distance if the entirety of the X-ray emission was captured by confirmed observations.

4.1. Ranges of Lethal Influence

And therefore, as discussed extensively in Section 2, the square on each SN’s respective line corresponds to the range at which it would likely induce 30%+ ozone depletion from merely the observed X-ray emission alone.

But we have also shown throughout our discussion that it is very unlikely that the total X-ray emission from these SNe is encapsulated by the limited epochs and energy ranges thus far reported. So here, we now provide some appropriate—but still conservative—estimations for the potential ranges at which interacting SNe could be lethal.

The rightmost value displayed along the lines for each SN in Figure 6 corresponds to a fluence of 100 kJ m$^{-2}$, which is often used in previous studies that utilize atmospheric chemistry models to analyze radiative effects on Earth-like atmospheres (Thomas et al. 2005a, 2005b; Ejzak et al. 2007). In particular, Ejzak et al. (2007) specifically used this value with an average photon energy of 1.875 keV and a burst duration of $\sim 10^8$ s, which are quantities that align most accurately with our given X-ray data. In these simulations, such an event induced globally averaged ozone depletion rates of approximately 22%, followed by recovery timescales of over a decade. So at the marked distances of the circle on each SN’s line, these modeled effects would likely occur at the distance from merely the observed X-ray emission alone. Depletion of such scale is unlikely to trigger any type of extinction-level event and would be unlikely to fit the parameter for our lethal distance. Nevertheless, even at these levels, the event would be a substantial forcing on a terrestrial planet.

Of particular note is that if all X-ray-luminous SNe do indeed emit in the harder X-ray band ($> 10$ keV) undetectable by most X-ray telescopes and do indeed emit outside the limited windows of our observations, then it is entirely appropriate to assign a critical fluence of 200 kJ m$^{-2}$ or even 100 kJ m$^{-2}$ for the lethal distance calculation of Equation (3). Figure 6 shows that this adjustment would significantly expand the distances from which these SNe could impose lethal effects. And importantly, it is very likely the case, given (1) the confirmed evidence of hard X-ray emission that is seen by NuSTAR in SN 2010jl (Chandra et al. 2015) and SN 2014C (Brethauer et al. 2022; Thomas et al. 2022), and (2) the likelihood that all X-ray-luminous SNe emit X-rays beyond the limited observed epochs (see Section 3).

We have therefore shown that the most X-ray-luminous SNe can yield lethal distances of

$$D_{leth}^{th} \sim 20 \sim 50 \text{ pc,}$$

and we have remained conservative in estimating their X-ray output. This range is larger than the canonical 8–10 pc value, which has significant consequences, as we will now see.

4.2. Rates of Lethal X-Ray Supernovae

Having shown that X-ray-luminous SNe can affect habitability at formidable distances, we now infer the implications that this will have for the overall Galactic habitable zone. The variables of most importance here are the rates of occurrence for interacting SNe, along with the geometry and dispersion of the galaxy itself. The large distances we found above correspond to a larger volume of influence, making the stage of SN X-ray emission all the more significant.

We wish to compute a rate $\Gamma_i(r)$ of SNe of Type $i$ within a distance $r$ (D. Sogut et al. 2023, in preparation). This depends on the global Milky Way rate $f_X R_{cc}$ of X-ray-luminous SNe,
which we write as a product of the CCSN rate \( \dot{R}_{cc} = dN_{cc}/dt \) and the fraction \( f_X \) of SNe that are X-ray bright. The distances of interest are smaller than the scale height, \( h_{cc} \), for core-collapse progenitors, i.e., we have \( r \lesssim h_{cc} \sim 100 \) pc. In this limit, to a good approximation, we have

\[
\Gamma(r) \approx f_X \dot{R}_{cc} \rho_{cc}(R_0, z_0) \frac{4\pi r^3}{3} \]

\[
= \frac{r^3}{3R_{cc}^2 h_{cc}} \ e^{-z_0/h_{cc}} e^{-R_0/R_{cc}} f_X \dot{R}_{cc},
\]

where the observer’s position is that of the Sun, namely, \((R_0, z_0)\) in Galactocentric coordinates. We have assumed all core-collapse events follow the same “double exponential” distribution with probability density

\[
\rho_{cc}(R, z) = e^{-|z|/h_{cc}} e^{-R/R_{cc}} / 4\pi R_{cc}^2 z_{cc},
\]

normalized to \( \int \rho dV = \int \rho dR dz d\phi = 1 \).

For numerical values, we follow Murphey et al. (2021). We adopt a solar distance, \( R_0 = 8.7 \) kpc, and height, \( z_0 = 20 \) pc. We assume CCSN-like within a thin disk with scale radius and height, \( R_{bin}, h_{bin} = 2.9 \) kpc, 95 pc, and we adopt the present Galactic core-collapse rates of \( \dot{R}_{cc} = 3.2^{+7.3}_{-2.8} \) events/century. With these parameters, the “lethal rate” for non-IIn CCSN is

\[
\Gamma_{CC}(r_{CC}) = 0.5^{+1.2}_{-0.4} \text{ events/Gyr} \left( \frac{r_{CC}}{10 \text{ pc}} \right)^3,
\]

where we adopt the fiducial lethal distance \( r_{CC} = 10 \) pc suggested recently by Melott & Thomas (2011). This gives a mean recurrence time \( \Gamma_{CC}^{-1} = 2 \) Gyr, which gives about a 50% chance that a lethal event has occurred in the ~1 Gyr history of complex life on Earth. Note the sensitivity to the adopted distance: If we instead use \( r_{CC} = 20 \) pc as suggested by Thomas & Yelland (2023), the rate jumps to \( \Gamma_{CC} = 4.0^{+9.2}_{-3.6} \) Gyr, and the recurrence timescale is only \( \Gamma_{CC}^{-1} \approx 250 \) Myr.

Now we assume Type IIn SNe have a lethal distance of \( r_{IIn} = 30 \) pc and are a fraction \( f_{IIn} = 0.07 \) of all core-collapse events (Li et al. 2011; also see Cold & Hjorth 2023). Then, the “lethal rate” from these events is

\[
\Gamma_{IIn}(r_{IIn}) = f_{IIn} \Gamma_{CC}(r_{IIn}) = 1^{+2}_{-0.8} \text{ events/Gyr} \left( \frac{f}{0.07} \right)
\]

\[
\times \left( \frac{r_{IIn}}{30 \text{ pc}} \right)^3.
\]

This is twice the global average rate in Equation (8)! This illustrates that Type IIn events pose an outsized threat despite their relatively modest rates of occurrence. The local core-collapse rate for all lethal core-collapse events is \( \Gamma_{IIn} = \Gamma_{CC} + \Gamma_{IIn} = (690 \text{ Myr})^{-1} \), assuming the fiducial lethal distances in Equations (8) and (9).

As discussed in Section 3, there is continuing evidence for non-IIn SNe evolving to show characteristics of interactions that result in high X-ray luminosities at late times (Margutti et al. 2017; Chandra et al. 2020; Thomas et al. 2022). This sort of evolution may be common for Type Ib/c SNe but simply missed by X-ray telescopes due to a lack of late-time observations. Margutti et al. (2017) estimate that as high as ~40% of Ib/c may evolve to be interacting. If these continue to have X-ray luminosities comparable to IIn explosions, this will have profound effects on the rates of lethality discussed here, since Type Ib/c events comprise about 19% of all SNe and about 25% of all CCSNe. This could then roughly triple the rate shown in Equation (9).

We also note that the result in Equation (9) depends sensitively on the Type IIn fraction and especially on their typical X-ray lethal distance. In particular, we see that the relative threat is set by the ratio \( \Gamma_{IIn}/\Gamma_{CC} = f_{IIn} (r_{IIn}/r_{CC})^3 \). Clearly, further observations—particularly in the X-ray—will be critical to firming up this estimate and determining the true impact of X-ray-luminous SNe for Galactic habitability.
5. Discussion

Perhaps the most interesting results are the distances at which the X-ray emission can impose lethal effects on an Earth-like biosphere. This larger range of influence has consequences for the Galactic habitable zone, such as the harmful implications for recently discovered exoplanets that would be susceptible to nearby SNe (Ramos et al. 2023). Importantly, this also opens the discussion for SN X-ray emission having directly influenced Earth’s own biosphere. The sheer magnitude of X-ray emission has both lethal and nonlethal implications relevant to Earth’s past, which we will briefly discuss in the remainder of this section, saving a more detailed analysis of the nonlethal effects on the atmosphere for a future study.

5.1. Implications for Earth’s Past

The empirical evidence for near-Earth SNe in the geologically recent past comes most readily from detections of $^{60}$Fe in the geological record. The recorded abundances have allowed for estimates of the distance from Earth at which the SN(e) likely occurred. These estimates range from 20 to 150 pc (Fields & Ellis 1999; Fields et al. 2005; Fry et al. 2015), and candidate star clusters have been proposed at distances around 50 pc and 100 pc (Benítez et al. 2002; Mamajek 2007; Hyde & Pecaut 2018). Remarkably, the lower end of this distance range includes the X-ray lethality distance in Equation (6). It is uncertain whether the SN Plo event $\sim$3 Myr ago was a strong X-ray emitter. But if this event or any one of the $\geq$10 other SNe needed to form the Local Bubble were X-ray luminous, there could have been significant consequences. It is thus quite possible that SN X-ray emission imposed lethal effects on Earth organisms or, at minimum, altered the Earth’s atmospheric ozone levels.

For further comparison, previous assessments of SN ozone damage found a lethal distance ranging from $D_{\text{leth}} \sim 8\text{pc}$ (Gehrels et al. 2003) to $\sim 10\text{pc}$ (Melott & Thomas 2011), indicated by the dashed line (red) in Figure 6. These do not include the effects of high-energy cosmic-ray muons, which could extend this range. In a recent re-evaluation that considered both ozone and muon effects, Thomas & Yelland (2023) revised the lethal distance up to 20 pc. We note that in these estimates, the ozone damage due to cosmic rays dominates here because the gamma-ray energy $E_{\gamma} \sim 2 \times 10^{47}\text{erg}$ and associated critical fluence $F_{\text{crit}} = 100\text{Jm}^{-2}$ give only $D_{\text{leth}} \approx 4\text{pc}$. We further note, however, that the gamma-ray emission mostly arises from the radioactive decays of $^{56}\text{Ni} \rightarrow {^{56}\text{Co}} \rightarrow {^{56}\text{Fe}}$ and thus is proportional to the $^{56}\text{Ni}$ yield. SNe with a large $^{56}\text{Ni}$ production, such as Type Ia events, will have a larger gamma-ray fluence and thus present a greater threat during this phase.

We must also again draw attention to the fact that the later cosmic rays would linger for substantially longer than the duration of X-ray emission (see Figure 1). So while it seems readily apparent that the X-ray-luminous SNe would impose their lethal effects at larger distances from their X-ray emission alone, it remains an open question for further research as to how exactly the specifics of this lethality compare to that associated with cosmic rays alone.

5.2. Other Influences on the Atmosphere

To this point, we have been primarily considering lethal effects related to ozone depletion, as this is a common point of comparison among the previous literature discussing the lethality of SNe. Importantly, however, there will be other effects that would pose interesting consequences for a terrestrial atmosphere or a planetary system in general. We reserve a more detailed assessment of these effects for a later study but can make general comments about the likely scenarios here.

Numerous studies have observed and modeled the middle atmosphere effects with respect to X-class solar flare events, which are characterized by an influx of solar soft X-ray emission (Veronig et al. 2002; Pettit et al. 2018; Siskind et al. 2022). In addition to the already mentioned increase in odd nitrogen production in the mesosphere and lower thermosphere, the enhanced photoionization resulting solely from the soft X-ray enhancement of a flare can cause a sudden ionospheric disturbance, which would primarily deliver a “dramatic increase in electron density” and an “altitude-dependent temperature increase” (Mitra 1974; Hayes et al. 2017; Pettit et al. 2018). This ionization is sensitive to even small-scale changes in X-ray activity and would be most influential on the $D$ and $E$ regions of the ionosphere. The consequences of this on Earth are not necessarily relevant to biological lethality; however, the enhanced ionization would be measurable by modern instrumentation and likely have particular relevance for technologically advanced civilizations as these enhancements can have a considerable impact on radio communications, astronaut health, satellite degradation, and more.

A relevant point of comparison for these sudden ionospheric disturbances is with respect to solar flares. Cliver & Dietrich (2013) offered a detailed analysis of the largest solar flare on record, the 1859 Carrington Event. Here they used the data from Veronig et al. (2002) to determine the total soft X-ray fluence of this event as $6.4\text{Jm}^{-2}$—the largest in recorded history that some speculate was matched by the large X-class solar flare of 2003 (Curto et al. 2016). An interesting thought arises here that contextualizes the shear magnitude of X-ray energy we have been considering in these SNe. Let us take the median of the SN IIn, SN 2005kd, which had a total observed energy we have been considering in these SNe. Let us take the median of the SN IIn, SN 2005kd, which had a total observed X-ray energy of $1600 \times 10^{39}\text{J}$ (Table 2). If we now demand that the fluence in Equation (3) match only that of the Carrington Event’s X-ray fluence, we derive a distance of 4600 pc. Now, utilizing the same parameters in Equation (9) and demanding the distance be the 4600 pc, we calculate a recurrence interval of $\sim 4$ events per millennium. At these distances, however, dust extinction would now have to be taken into account—particularly for an SN within the galactic plane—serving to lessen the magnitude of X-rays arriving at the planet. Thus, further absorption effects would need to be considered, and in all, the comparison is not exact, but this nevertheless offers a simplified clarification for the distances at which the X-ray emission could perturb the atmosphere.

At this low of a fluence, no lethal effects would occur on a Phanerozoic Earth environment, but instead, a similar transient disturbance to the upper levels of the atmosphere as that imposed by only the electromagnetic radiation of solar flares or the recent galactic-scale GRB 221009A. These disturbances can be readily detected on Earth by very-low-frequency (VLF) amplitude measurements of the ionosphere, as well as the
X-ray sensor utilized for solar flares (Hayes et al. 2017, 2021). Relatedly, the disturbances may offer interesting observational prospects for the examination of exoplanetary atmospheric compositions and processes (Chen et al. 2021). Therefore, should an X-ray-luminous SN occur in the Milky Way, multiple pathways exist for modern instrumentation to measure these atmospheric disturbances.

A Milky Way SN has not been convincingly observed by the naked eye since Kepler’s SN 1604 and thus has not been detected by modern astronomical instruments. Interestingly however, there have been five SNe recorded in the historical record (seen on Earth by the naked eye) within the last millennium, with distance estimates ranging between 1.4 and 10 kpc. If but one of these were characteristic of an interacting SN, the possibility remains that their X-ray phase of emission caused a significant sudden ionospheric disturbance in Earth’s recent past.

6. Summary and Conclusions

In this paper, we have adopted the parameters of previous nearby SN research to conduct a threat assessment of the modern SN X-ray emission data of 31 SNe. We have restricted our initial data analysis to the empirically confirmed observations and have focused the majority of our initial threat assessment on the biological consequences related to ozone depletion. From these efforts alone, we have shown that the hazardous reach of these types of SNe is substantial.

Even in our most conservative estimates, the results show that SN X-ray emission has general implications for planetary habitability and potentially the evolution of Earth itself:

1. These events, while rare, maintain a notable influence in the radiation environment of the galaxy and pose a substantial threat to terrestrial biospheres, as their ionizing radiation can induce significant alterations to a planet’s atmospheric chemistry at formidable distances. We maintain strict standards for our calculations and analysis, adopting a high critical fluence value for the given energy ranges of the X-ray data and only considering the limited window of confirmed X-ray observations. Even with these restrictions, we have calculated that these SNe are capable of imposing lethal effects at distances well over the 10 pc standard of previous research.

2. SN X-ray emission occurs as a distinct stage of an SN’s radiation emission for nearby planets within tens of parsecs: typically months/years after the initial outburst, and thousands of years before the arrival of cosmic rays. Therefore, a corollary of the formidable threat found here is that this alters the timeline by which we know an SN can influence a nearby planet, adding an additional phase of adverse effects.

3. This lethality of X-ray-luminous SNe poses further constraints with respect to if and/or how life can evolve elsewhere in our galaxy and other star-forming regions. As we continue to detect more exoplanets and further the search for extraterrestrial life, SN X-ray emission needs to be considered in attempts to quantify habitability and/or locate potential biospheres.

4. We now open the discussion as to whether these X-ray-luminous SNe may have influenced life on Earth itself.

The confirmed detection of SN radioisotopic material dating from the last ~8 Myr of the geological record (Wallner et al. 2016) is consistent with the presence of our solar system within the Local Bubble, a hot, low-density region of space that is thought to be a product of numerous nearby SN explosions coinciding with Earth’s early Neogene Period (≤20 Myr) (Breitschwerdt et al. 2016; Zucker et al. 2022). This means that a nearby SN has most certainly occurred in Earth’s geological past, likely numerous times. Combining these findings with our threat assessment here, it is possible that one or more of these SNe were interacting and thus inflicted a high dosage of X-ray radiation on Earth’s atmosphere. This would imply that SN X-ray emission has had a notable impact on Earth and potentially played a role in the evolution of life itself.

Here we have shown that simply from confirmed X-ray observations alone, the interacting X-ray phase of an SN’s evolution can entail significant consequences for terrestrial planets. We limit any further speculation until further developments in X-ray astronomy are made; however, the evidence presented here certainly points to this process being capable of imposing lethal consequences for life at formidable distances.

We thus conclude with the comment that further research into SN X-ray emission has value not just for stellar astrophysics, but also for astrobiology, paleontology, and the Earth and planetary sciences as a whole. We urge follow-up X-ray observations of interacting SNe for months and years after the explosion and urge for the continued development of X-ray telescopic instrument implementation in the hard X-ray band. These observations and innovations will shed light on the physical nature of SN X-ray emission and will clarify the danger that these events pose for life in our galaxy and other star-forming regions.

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ORCID iDs

Ian R. Brunton @ https://orcid.org/0000-0002-2478-3084
Connor O’Mahoney @ https://orcid.org/0000-0001-8315-7385
Brian D. Fields @ https://orcid.org/0000-0002-4188-7141
Adrian L. Melott @ https://orcid.org/0000-0001-9632-8307
Brian C. Thomas @ https://orcid.org/0000-0001-9091-0830

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