Review Article
The Adaptive Radiation of Cichlid Fish in Lake Tanganyika: A Morphological Perspective

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Lake Tanganyika is the oldest of the Great Ancient Lakes in the East Africa. This lake harbours about 250 species of cichlid fish, which are highly diverse in terms of morphology, behaviour, and ecology. Lake Tanganyika's cichlid diversity has evolved through explosive speciation and is treated as a textbook example of adaptive radiation, the rapid differentiation of a single ancestor into an array of species that differ in traits used to exploit their environments and resources. To elucidate the processes and mechanisms underlying the rapid speciation and adaptive radiation of Lake Tanganyika's cichlid species assemblage it is important to integrate evidence from several lines of research. Great efforts have been, are, and certainly will be taken to solve the mystery of how so many cichlid species evolved in so little time. In the present review, we summarize morphological studies that relate to the adaptive radiation of Lake Tanganyika's cichlids and highlight their importance for understanding the process of adaptive radiation.

1. Introduction

With an estimated number of about 3000 species, distributed from Central and South America, across Africa to Madagascar and southern India, cichlid fishes (Cichlidae) represent the most species-rich family of vertebrates, accounting for about 10% of today's teleost diversity [1, 2]. Throughout their distribution range cichlids have repeatedly demonstrated their capacity of forming adaptive radiations—explosive speciation with niche partitioning (reviewed in [3])—, generating an outstanding variation of body shapes, colour patterns and behaviour, and an enormous diversity of trophic and ecological specializations [4–6], which attracted numerous evolutionary biologists and established them as one of the prime model systems in evolutionary biology (e.g., [7–9]), but the greatest diversity of cichlid fishes is found in the East African Great Lakes [2].

Although endemic cichlid species assemblages are known from most East African lakes, the largest lakes Tanganyika, Malawi, and Victoria harbour a particularly rich fauna of cichlid fishes, with an estimated number of 250–800 species in each lake [1, 2]. Thus, the number of species seems to be correlated with lake size, congruent with the expectation that species diversity increases with habitat heterogeneity and with the opportunity for isolation by distances and allopatric diversification [9]. Because of high degrees of endemism (95–99%), these cichlid radiations most likely originated via intralacustrine speciation [1, 7, 10]. With an estimated age of 9–12 Myr [11], Lake Tanganyika is by far the oldest of these lakes, and thus harbours the morphologically, behaviourally, ecologically, and genetically most diverse species assemblage [1, 2, 12–15], although the number of species is the smallest. Currently 200 valid species are recognized [10] with several more awaiting scientific descriptions such that the total number of Lake Tanganyika's cichlid species has been estimated to 250 [1]. These 200 species have been classified into 12 [16] or alternatively 16 [17] tribes, largely supported by molecular data [10].

The specialized, diverse morphologies of these fish appear to be the result of adaptations in their respective niches [18], and thus, these fish are regarded as ideal model system for the study of adaptive radiation [4, 8, 9]. Schluter [19] defined adaptive radiation as “the differentiation of a single ancestor into an array of species that inhabit a variety
of environments and that differ in traits used to exploit those environments," and employed four features as criteria to detect adaptive radiation: (1) common ancestry, (2) phenotype-environment correlation (empirical evidence of correlation between the diverse phenotypes of descendant species and their divergent environments), (3) trait utility (experimental or theoretical tests of performance or fitness of a trait in its corresponding environment), and (4) rapid speciation. The first and fourth criteria are the subject of phylogenetic analyses of the species assemblage. The second and third criteria are to find adaptive phenotypes that differ between species as a result of divergent natural selection. These adaptive phenotypes may have caused reproductive isolation between species as byproduct (ecological speciation, e.g., [20, 21]) or allowed co-occurrence of two or more closely related species at the same place and in the same time (resource partitioning, e.g., [22, 23]).

Within the Lake Tanganyika cichlid species flock species and species groups differ in many morphological traits. Numerous morphological studies on Lake Tanganyika cichlid fish have been published in the past. In this short review, we summarize the findings from these studies and highlight their importance for understanding the process of adaptive radiation.

2. A Quick Overview on Large-Scale Phylogenetic Patterns and the Age of the Species Flock

The first molecular phylogeny of Lake Tanganyika cichlids was published by Nishida in 1991 [32]. This allozyme-based phylogeny resolved the relationships of 20 species representing all Lake Tanganyika cichlid tribes and suggested that the Lake Tanganyika cichlids were polyphyletic. Subsequently, many molecular phylogenetic studies have been published, such that, in contrast to the situation in the much younger Lakes Malawi and Victoria molecular phylogenies (typically based on mitochondrial genes) do closely approach the true species trees although previous phylogenetic analyses of nuclear DNA, however, clearly demonstrated that, despite being well resolved, the mitochondrial phylogenies do not necessarily reflect the true phylogenetic relationships but might be severely misleading due to ancient incomplete lineage sorting, ancient (and recent) introgression, and even hybrid speciation [26, 38, 47–53]. Nevertheless, mitochondrial phylogenies have been and are still used as proxies of species trees in comparative approaches to study the interaction and evolution of biological traits in a phylogenetic context (e.g., [54–57]), thus potentially introducing an error in inferred evolutionary patterns.

3. Adaptive Morphology

The evolutionary success of cichlids has been attributed to the interaction of extrinsic environmental factors and intrinsic species-specific traits. Some of these intrinsic traits might be naturally selected (e.g., the trophic morphology, body size, body shape, and visual pigments), whereas others are predominantly sexually selected (e.g., body colouration,
smell, and courtship sounds), though distinctions between naturally and sexually selected traits might be not that clear (reviewed in [58]). Many authors have studied morphological traits in Lake Tanganyika cichlids in terms of adaptation. These studies may be classified into four major groups: studies of trophic morphology, body shape, body size, and nervous system.

3.1. Trophic Morphology. Cichlid fishes exhibit a functionally decoupled set of jaws, the oral jaws and the pharyngeal jaws (Figure 2). In particular the pharyngeal jaw is considered a key innovation, representing a key factor for the emergence of a diversity unparalleled among vertebrates [59–61]. The pharyngeal jaw apparatus of cichlid fish is a functionally integrated and highly specialized system and considered to
represent a major adaptive complex \[59\]. Decoupled from the oral jaws, the pharyngeal jaws are used for efficient crushing and processing of food items. Thus, the oral jaws are freed from their dual task of food collection and preparation. Due to this division of functions the development of numerous specializations of food collection and procession mechanisms became possible \[59\] and minor modifications in oral and pharyngeal jaw structure allow for the utilization of novel food resources within a few generations, such that unexploited ecological niches can be rapidly occupied \[62, 63\]. Thus, the specialized pharyngeal jaws in cichlid fish seem to be particularly important for their propensity to rapidly adapt to novel ecological niches, but other trophic morphological features have probably also played key roles for their rapid diversification. Likely, rapid differentiation in trophic specializations results in effective resource partitioning and thus drives the evolution of complex cichlid communities by ecological segregation (e.g., \[64, 65\]).

Several studies on Lake Tanganyika cichlids described correlations of the trophic morphology with food habits (oral jaws and teeth \[65–69\], pharyngeal jaws \[59, 69, 70\], preorbital region \[15, 71\], and intestine \[72, 73\]), suggesting resource-based divergent selection as an important diversifying force in Lake Tanganyika cichlids. In algae feeding species, for example, the shape of jaw teeth differs according to the resources they exploit. Thus, the species of the genus *Petrochromis* have tricuspid teeth in high density that make a brash-like structure to comb unicellular algae from filamentous algae on rocks, whereas species of *Tropheus* and some other genera have large bicuspid teeth in the most anterior row that allow to nip and tear off filamentous algae from rocks \[66\]. Tooth shape—both on oral and pharyngeal jaws—in cichlid fish was shown to respond quickly to selection and change even within the lifetime of a single individual \[74, 75\]. Recently, significant advances regarding the developmental pathways and genetic basis leading to different tooth shapes and numbers have been made \[76–78\]. Further work on this issue will certainly be important to fully understand how inter- and intraspecific differences in tooth shape and numbers emerge as a sometimes quick response to environmental/trophic constraints in species-rich adaptive radiations where species typically occupy a rather narrow ecological niche.

Besides the number and shape of teeth, the shape of the pharyngeal jaws and the oral jaws (or the preorbital region in general) are well known to strongly correlate with diet and were shown to respond quickly to natural selection when new habitats are colonized or when it comes to optimize feeding performance throughout ontogeny. Thus, piscivorous fish were shown to have longer oral jaws than insectivorous and herbivorous fishes \[15\], and likewise, slender and elongated pharyngeal jaws have been found to be highly correlated with piscivory \[79, 80\]. However, recent evidence on the Lake Tanganyika cichlid *Lepidiolamprologus elongatus* suggests that the mature piscivorous morphotype is refined by a relative widening of the caudal part of the lower pharyngeal jaw, which has been interpreted as prerequisite to the insertion of well-developed musculature and the construction of a powerful lever system which allows for processing large prey fish and relying on exclusive piscivory \[70\].

Correlations between trophic morphology and diet quality were recognized even when accounting for phylogeny in the shape of oral jaw teeth \[81\] and intestine length \[73\]. Though divergent natural selection on trophic morphologies leading to rapid morphological change has been shown to be of tremendous importance for the emergence of Lake Tanganyika’s astounding cichlid diversity, stabilizing selection seemingly prevents further drastic changes in structures.
relevant for trophic specializations if species are already well adapted to a particular niche within a multispecies assemblage [82]. Balancing selection on trophic traits, on the other hand, may have acted to maintain intrapopulation polymorphism in Lake Tanganyika cichlids [83, 84]. The scale-eating *Perissodus microlepis*, for example, has morphological dimorphism in the mouth-opening direction to right and left [85]. This deflection of mouth has been shown to be heritable [86, 87], though environmental factors can influence the formation of this mouth laterality through development [88]. Several population models have demonstrated that this lateral dimorphism is maintained by negative frequency-dependent selection, resulting from interactions between predator and prey species (e.g., [89, 90]). An empirical study has suggested that disassortative mating between right-mouthed individuals and left-mouthed individuals stabilizes this intrapopulation dimorphism more strongly than only negative frequency-dependent selection acted [91].

In recent years, significant advances have been made towards the understanding of the genetic basis of differences in the cichlids’ jaw morphologies [92–94]. Yet, further work on the genetic determination of jaw morphologies, the genetic basis of other diet-related traits (e.g., pharyngeal bone and intestine), and studies on the efficiency of particular trophic morphologies in feeding, processing, and digesting food items are required to gain further insights into the mechanisms underlying the rapid generation of a multitude of trophic types as adaptation to particular resources in Lake Tanganyika cichlid fishes.

The trophic specialization of the pharyngeal jaws may also lead to reproductive isolation between species via mate choice. Cichlid fish produce sound during male courtship display [95, 96], and this sound appears to be produced by stridulation of the pharyngeal jaws [97]. Courtship sounds have been shown to differ among closely related species of Lake Malawi cichlids [96, 98]. Thus, if females use male courtship sound as a cue for mate choice, inter-specific differences in sound may possibly cause assortative mating, and consequently reproductive isolation [95, 97]. The Lake Tanganyika cichlids are highly diverse in pharyngeal jaw morphology, and thus, mate choice based on courtship sounds might represent an additional mode of diversification or prevent heterospecific pairings between already differentiated closely related species, a hypothesis that calls for further detailed investigations.

### 3.2. Body Shape

The influence of phylogeny on the evolution of body shape is small in the Lake Tanganyika cichlids, and it appears that body shape evolution is strongly affected by feeding habits [18]. Piscivorous fish, for example, typically have a much larger head and benthivorous fish tend to have a slender body [18]. Thus, body shape is not independent from trophic morphology [99]. The body shapes are generally associated with swimming modes in fish (e.g., [100–102]), suggesting that the divergent body shapes of the Lake Tanganyika cichlids also relate to other ecological factors, such as the efficiency of escaping from predators.

In some maternally mouth-brooding species, the size and shape of the head differ between sexes. This sexual dimorphism in head size and shape appears to be related to a larger buccal cavity in females [103, 104], suggesting that the evolution of body shape is partly associated with reproductive ecology. In the *Tropheus moorii* species complex, differences in the orientation of the mouth and the head profile have been observed among populations, despite a lack of obvious differences in food preferences, mating, and breeding behaviour [104, 105], indicating that these observed differences in body shape might be due to random genetic drift. Genetic drift can evolve phenotypes without adaptation, and perhaps it can take populations through adaptive valleys and into the domains of new adaptive peaks (peak shifts by drift, reviewed in [19]). Nevertheless, the Lake Tanganyika cichlid species have to establish themselves in a densely packed multispecies community. Consequently intraspecific morphological variation is typically rather low in the natural environment due to inter-specific competition and narrow ecological niches confining the morphospace occupied by a single species. If environmental conditions change, the phenotype might rapidly adapt to the new environment. This phenomenon has been recently shown by comparing morphologies of individuals of four wild populations of the *Tropheus moorii* species complex with their pond-raised F₁ offspring [106]. The extent of morphological change between wild and pond-raised F₁ fish was 2.4 times larger than the pairwise population differences, and all four populations exhibited the same overall trend in morphological change.

In the Lake Tanganyika cichlids, the diversity of body shape may at least partly be associated with adaptive radiation of the fish through resource partitioning, assortative mating, low hybrid fitness, and/or other mechanisms. However, nothing is known about the genetic basis of body shapes and also detailed studies on the efficiency of particular body shapes in feeding and swimming are lacking, thus opening a huge field of research for the future.

### 3.3. Body Size

Body size is one of the simplest characters that show diversity between species. Body size evolution results from a balance between selection favouring large body size and selection favouring small body size (e.g., [107, 108]). While it is widely agreed that fecundity selection for females and sexual selection for males are the major evolutionary forces that favour larger body size in most sexual animals, counterbalancing selection favouring small body size is often masked by the good condition of the larger individuals and is therefore less obvious [108]. In the Lake Tanganyika cichlid fish, body size greatly varies from 42 to 650 mm in standard length (the smallest species is the shell-brooder *Neolamprologus multifasciatus*, and the largest species is the substrate brooder *Boulengerichromis microlepis* [34]). The effect of environmental constraints on body size has been studied in *Telmatochromis temporalis*. This species comprises dwarf and normal morphs, and the two morphs were shown to have evolved through divergent natural selection, in which the small body size of the dwarf morph was a result of adaptation to utilize empty gastropod-shells as shelters [109, 110]. The trait utility of body size has been examined in another shell-brooder, *Lamprologus callipterus*, that shows the most
extreme male-biased sexual size dimorphism among animals (males $>12$ times heavier than females [111]). Males of this species collect empty gastropod-shells to build up their nests. This behaviour is unique among the Lake Tanganyika cichlids. Experimental and theoretical tests suggested that the large male size of this species was determined by the ability to carry empty shells [112] and intersexual selection [113], and experimental tests suggested that the female size was limited by the ability to spawn eggs inside the shells [112].

Size-assortative mating has been reported in a wide range of animal taxa (e.g., planarians [114], snails [115], gammarus [116], insects [117–119], fishes [120, 121], toads [122], snakes [123], lizards [124], mammals [125], and birds [126]) and can cause reproductive isolation between species or morphs as byproducts of differences in body size [127–129]. In the Lake Tanganyika cichlid fish, divergent natural selection on body size might have been contributed to at least a part of explosive radiation of this species flock through assortative mating, and further, other various mechanisms such as low hybrid fitness and resource partitioning. Further work on intrinsic and extrinsic factors that affect the body size may give deep insights into the mechanisms underlying the adaptive radiation of these fish.

3.4. Nervous System. Some studies have described correlations between nervous system and ecology. Huber and colleagues [130] and Pollen and colleagues [131] demonstrated that the relative development of various brain structures relates to habitat, social behaviour, resources, and environment. Thus, in the tribe Ectodini for example, telencephalon, that appears to be involved in a variety of tasks, such as processing olfactory, visual and gustatory stimuli, and in learning, agonistic and courtship behaviours [130], was larger, and hypothalamus, that appears to have integrative functions relating to feeding, aggression, reproduction, and vision [130], was smaller in monogamous species compared to polygamous species. These studies suggest that selection or drift can act independently on different brain regions. Gonzalez-Voyer and colleagues [57] showed that female brain size correlated with brood care type and diet type and that male brain size correlated with diet type only, suggesting that more complex diet selection and larger burden on brood care may demand larger brain size. Sylvester and colleagues [132] showed that an alternative SNP in irx1b potentially causes differences in the relative size of the telencephalon versus the thalamus between rock-dwelling and sand-dwelling Lake Malawi cichlids. Although it is not at all obvious how an increase in the size of brain would give rise to functional differences (e.g., increased cognitive abilities) [133], the brain morphology may have played important roles in adaptive radiation of the Lake Tanganyika cichlids.

3.5. Other Morphologies. We reviewed a trophic morphology, body shape, body size, and nervous system, morphological traits that might be causally involved in the adaptive radiation of the Lake Tanganyika cichlids. However, these fish are highly diverse also in other morphological traits. The diversity of these other traits may be a result of adaptation to various environments, genetic drift, or phenotypic plasticity during development. Unfortunately, hardly anything is known about function and genetic basis of these traits. For example, the infraorbitals (a series of bones surrounding the lower half of the eye) were shown to vary considerably in shape among the Lake Tanganyika cichlids (Figure 3) [134]. However, it is still unknown what exactly caused the observed inter-specific differences in this morphological structure, although it has been argued that infraorbitals have the function to regulate the movement of jaws in relation to some other bones [15], and that the number and size of sensory pores on these bones may be associated with the noise sensitivity [135].

Some morphological characters differ at various taxonomic levels, reflecting difference in the relative time of morphological divergence. For example, the shape of infraorbitals tends to differ between tribes, suggesting that this morphology diverged during the initial radiation. The body shape and the shape of oral jaw teeth, on the other hand, tend to differ at lower taxonomic levels, such as between genera and between species, suggesting that the divergence of these morphologies reflect later evolutionary events.

4. Ontogenetic Changes in Morphology: A Largely Neglected Issue in Lake Tanganyika Cichlids

Studies on adaptation and natural selection typically focus on traits in adult organisms, but high mortality among juveniles indicates that—in addition to predation pressure—strong selection pressure, competition avoidance, and resource partitioning are presumably important already early on in life (e.g., [136]). Thus, individuals do not only have to compete for resources against heterospecific individuals but also against conspecifics. For species that comprise a number of cooccurring size-classes (many invertebrates, fish, amphibians, and reptiles) niche separation by body size differences poses a complex problem since the smallest size-classes of one species often overlap with the largest of another [137].

Since resource utilization abilities and predation risk are generally related to body size, many species undergo—sometimes dramatic—ontogenetic shifts in habitat use and/or food choice [138]. Thus, among fish, ontogenetic changes in resource use are nearly universal and size-related shifts in food choice have been documented in numerous species, typically with positive correlations between food size and body size (e.g., [139–145]). These ontogenetic shifts in resource use might vastly complicate species interactions with important consequences for community dynamics, in particular in multispecies communities [138]. If small and large species coexist, the most critical feature of this interaction is not how adults of these two species interact, but how the larger species is able to recruit through juvenile stages that are identical to the size ranges present in the smaller species. Interactions of this sort might form bottlenecks in recruitment to the species [146], and thus adaptive fine-tuning is particularly important in juvenile stages, especially
in regions/periods where/when resources are limited. Ontogenetic niche shifts aid in maximizing fitness by reducing competition with conspecifics via resource segregation [138], by minimizing predation risk through habitat shifts [147], and by maximizing growth through dietary shifts [148]. These ontogenetic shifts in resource use might be rather abrupt and are often correlated with discrete growth periods in the life history (e.g., [149, 150]). For many primarily piscivorous fishes the transition to piscivory is a crucial ontogenetic niche shift [151]. Typically, highly specialized piscivores are not particularly well adapted to feeding on zooplankton and benthic invertebrates, which are their predominant prey early in life [138, 151], and delayed shifts to piscivory can result in slow growth and increased mortality, in particular when competing for resources with specialist planktivorous species [138, 151, 152]. The switch to piscivory often initiates an increase in growth rate, translating into larger body size and greater survival—larger individuals are typically less vulnerable to predation and are better adapted to survive periods of starvation—throughout life for specialized piscivores [151, 152]. Transition dates might vary considerably among species (e.g., [151]). Several factors, for example, species-specific differences in the allometry of trophic structures, hatching time and size at hatching, have been proposed to at least partially explain these inter-specific differences in the timing of the switch to piscivory [151, 153]. Although there is a considerable amount of studies relating dietary shifts to ontogenetic changes of overall body shape in fishes (e.g., [139–141, 144, 145]), studies that directly relate ontogenetic changes in diet and growth patterns of the trophic apparatus are scarce (e.g., [142]). Whereas isometric growth of the lower pharyngeal jaw was reported for the Lake Tanganyika cichlid Lamprologus ornatuspinnis [69], a species that predominantly feeds on invertebrates and thus does not experience a drastic shift in feeding habits throughout ontogeny, Hellig and colleagues [70] showed that an allometric change in ontogenetic lower pharyngeal jaw development of Lepidio lamprologus elongatus, a top predator in the shallow rocky habitat of Lake Tanganyika, coincides with the dietary shift to exclusive piscivory (Figure 4). This observation might indicate that distinct allometry is correlated with strong specialization, but it remains to be tested whether this is a general phenomenon in trophic specialists, what is the genetic basis of such morphological changes, and to what extent differential gene expression producing differences in morphology contributes to the astounding diversity of cichlid fishes in Lake Tanganyika.

5. Conclusions

Along with the Darwin’s finches from the Galápagos Islands (e.g., [158]) and the Hawaiian silverswords (e.g., [159]) the East African cichlid species flocks represent well-established model systems for the study of adaptive radiation. Numerous morphological studies on the Lake Tanganyika cichlid species flock have greatly contributed to the ever-increasing knowledge on the evolutionary pathways and mechanisms generating tremendous diversity within a short period of time. Rapid changes in particular morphological traits allow for the rapid adaptation of cichlid fish to novel resources. Thus, such adaptive phenotypes would have played important roles during adaptive radiation. The Lake Tanganyika cichlids are highly diverse in their morphology, and many morphological traits appear to have been concerned with adaptive radiation. However, the knowledge about adaptive phenotypes is still poor. Clearly, more studies are required to reveal the mechanisms of adaptive radiation of these fish, but recent methodological advances, in particular in the field of geometric morphometrics, appear promising for answering a wide variety of evolutionary questions and even allow for addressing population-level questions regarding (adaptive) shape changes (reviewed in [160]).

In the present review, we only surveyed morphological studies. However, only the integration of evidence from various fields of research will significantly advance our understanding of the evolutionary mechanisms underlying the adaptive radiation(s) of (Lake Tanganyika) cichlid fish. For example, disruptive sexual selection on male colouration has been shown to drive speciation in some Lake Malawi and Victoria cichlids (e.g., [161–163]), and olfactory cues are important for mate choice in some Lake Malawi cichlids [164]. Thus, female choice for male nuptial colour and

Figure 3: Infraorbitals of (a) Trematocara unimaculatum (Bathybatini) and (b) Lepidio lamprologus profundicola (Lamprologini). The infraorbitals vary in the number of bones, the degree of development of bones, and the numbers and size of sensory pores on the bones.
olfactory signals may also have played (and still play) important roles in at least a part of the explosive radiation of the Lake Tanganyika cichlid species flock [58]. Indeed, more than 10% of Lake Tanganyika’s cichlid species (e.g., Cyprichromini, Benthochromini, and some species of Ectodini, Trophini, and Bathypatini) exhibit obvious sexual colour dimorphism [34]. However, at present there is no clear evidence for sexual selection based on body coloration being of great importance for driving rapid diversification in Lake Tanganyika’s cichlid species flock. However, body coloration might serve as cue for species/mate recognition and prevent inter-specific or intermorph gene flow in case of secondary contact, be it human induced or due to lake level fluctuations, exemplified by evidence for colour assortative mating among originally allopatrically distributed colour morphs of *Tropheus moorii* [165–167]. Thus, allopatrically evolved mate choice cues serve as prezygotic isolation mechanisms preventing cichlid species/morphs from hybridization in the case of secondary contact.

Recent paleolimnological and geological studies have shed light on the dynamics of past water level fluctuations that act as “species pumps” by recurrent fragmentation and secondary admixis of populations [168] in the East African Great Lakes [169–171]. Ecological studies provide insights into the degree of intra- and inter-specific interactions (e.g., [172–179]), and the recent developments in sequencing techniques allow for the generation of huge amounts of sequence data at comparatively low costs provide exciting new possibilities to investigate phylogenetic relationships among taxa, population genetic structure, and the genetic basis and regulation of particular traits. At present, we are still far away from completely understanding what has driven and still drives the rapid diversification in East African cichlid species flocks, but recent advances in various fields of research hold a promising future for researchers.

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