UniMelb NLP-CORE: Integrating predictions from multiple domains and feature sets for estimating semantic textual similarity

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Abstract

In this paper we present our systems for calculating the degree of semantic similarity between two texts that we submitted to the Semantic Textual Similarity task at SemEval-2013. Our systems predict similarity using a regression over features based on the following sources of information: string similarity, topic distributions of the texts based on latent Dirichlet allocation, and similarity between the documents returned by an information retrieval engine when the target texts are used as queries. We also explore methods for integrating predictions using different training datasets and feature sets. Our best system was ranked 17th out of 89 participating systems. In our post-task analysis, we identify simple changes to our system that further improve our results.

1 Introduction

Semantic Textual Similarity (STS) measures the degree of semantic similarity or equivalence between a pair of short texts. STS is related to many natural language processing applications such as text summarisation (Aliguliyev, 2009), machine translation, word sense disambiguation, and question answering (De Boni and Manandhar, 2003; Jeon et al., 2005).

Two short texts are considered similar if they both convey similar messages. Often it is the case that similar texts will have a high degree of lexical overlap, although this isn’t always so. For example, SC dismissed government’s review plea in Vodafone tax case and SC dismisses govt’s review petition on Vodafone tax verdict are semantically similar. These texts have matches in terms of exact words (SC, Vodafone, tax), morphologically-related words (dismissed and dismisses), and abbreviations (government’s and govt’s). However, the usages (senses) of plea and petition, and case and verdict are also similar.

One straightforward way of estimating semantic similarity of two texts is by using approaches based on the similarity of the surface forms of the words they contain. However, such methods are not capable of capturing similarity or relatedness at the lexical level, and moreover, they do not exploit the context in which individual words are used in a target text. Nevertheless, a variety of knowledge sources — including part-of-speech, collocations, syntax, and domain — can be used to identify the usage or sense of words in context (McRoy, 1992; Agirre and Martinez, 2001; Agirre and Stevenson, 2006) to address these issues.

Despite their limitations, string similarity measures have been widely used in previous semantic similarity tasks (Agirre et al., 2012; Islam and Inkpen, 2008). Latent variable models have also been used to estimate the semantic similarity between words, word usages, and texts (Steyvers and Griffiths, 2007; Lui et al., 2012; Guo and Diab, 2012; Dinu and Lapata, 2010).

In this paper, we consider three different ways of measuring semantic similarity based on word and word usage similarity:

1. String-based similarity to measure surface-level lexical similarity, taking into account morphology and abbreviations (e.g., dismisses and dismissed, and government’s and govt’s);
2. **Latent variable models** of similarity to capture words that have different surface forms, but that have similar meanings or that can be used in similar contexts (e.g., *petition* and *plea*, *verdict* and *case*); and

3. **Topical/domain similarity** of the texts with respect to the similarity of documents in an external corpus (based on information-retrieval methods) that are relevant to the target texts.

We develop features based on all three of these knowledge sources to capture semantic similarity from a variety of perspectives. We build a regression model, trained on STS training data which has semantic similarity scores for pairs of texts, to learn weights for the features and rate the similarity of test instances. Our approach to the task is to explore the utility of novel features or features that have not performed well in previous research, rather than combine these features with the myriad of features that have been proposed by others for the task.

### 2 Text Similarity Measures

In this section we describe the various features used in our system.

#### 2.1 String Similarity Measures (SS)

Our first set of features contains various string similarity measures (SS), which compare the target texts in terms of the words they contain and the order of the words (Islam and Inkpen, 2008). In the SemEval 2012 STS task (Agirre et al., 2012) such features were used by several participants (Biggins et al., 2012; Bär et al., 2012; Heilman and Madnani, 2012), including the first-ranked team (Bär et al., 2012) who considered string similarity measures alongside a wide range of other features.

For our string similarity features, the texts were lemmatized using the implementation of Lancaster Stemming in NLTK 2.0 (Bird, 2006), and all punctuation was removed. Limited stopword removal was carried out by eliminating the words *a*, *and*, and *the*. The output of each string similarity measure is normalized to the range of \([0, 1]\), where 0 indicates that the texts are completely different, while 1 means they are identical. The normalization method for each feature is described in Salehi and Cook (to appear), wherein the authors applied string similarity measures successfully to the task of predicting the compositionality of multiword expressions.

**Identical Unigrams (IU):** This feature measures the number of words shared between the two texts, irrespective of word order.

**Longest Common Substring (LCS):** This measures the longest sequence of words shared between the two texts. For example, the longest common substring between the following sentences is bolded:

A woman and man are dancing in the rain.
A couple are dancing in the street.

**Levenshtein (LEV1):** Levenshtein distance (also known as edit distance) calculates the number of basic word-level edit operations (insertion, deletion and substitution) to transform one text into the other.

**Levenshtein with substitution penalty (LEV2):** This feature is a variant of LEV1 in which substitution is considered as two edit operations: an insertion and a deletion (Baldwin, 2009).

**Smith Waterman (SW):** This method is designed to locally align two sequences of amino acids (Smith and Waterman, 1981). The algorithm looks for the longest similar regions by maximizing the number of matches and minimizing the number of insertion/deletion/substitution operations necessary to align the two sequences. In other words, it finds the longest common sequence while tolerating a small number of differences. We call this sequence, the “aligned sequence”. It has length equal to or greater than the longest common sequence.

**Not Aligned Words (NAW):** As mentioned above, SW looks for similar regions in the given texts. Our last string similarity feature shows the number of identical words not aligned by the SW algorithm. We used this feature to examine how similar the unaligned words are.

These six features (IU, LCS, LEV1, LEV2, SW, and NAW) form our string similarity (SS) features. LEV2, SW, and NAW have not been previously considered for STS.
2.2 Topic Modelling Similarity Measures (TM)

The topic modelling features (TM) are based on Latent Dirichlet Allocation (LDA), a generative probabilistic model in which each document is modeled as a distribution over a finite set of topics, and each topic is represented as a distribution over words (Blei et al., 2003). We build a topic model on a background corpus, and then for each target text we create a topic vector based on the topic allocations of its content words, based on the method developed by Lui et al. (2012) for predicting word usage similarity.

The choice of the number of topics, $T$, can have a big impact on the performance of this method. Choosing a small $T$ might give overly-broad topics, while a large $T$ might lead to uninterpretable topics (Steyvers and Griffiths, 2007). Moreover smaller numbers of topics have been shown to perform poorly on both sentence similarity (Guo and Diab, 2012) and word usage similarity tasks (Lui et al., 2012). We therefore build topic models for 33 values of $T$ in the range $2, 3, 5, 8, 10, 50, 80, 100, 150, 200, ... 1350$.

The background corpus used for generating the topic models is similar to the COL-WTMF system (Guo and Diab, 2012) from the STS-2012 task, which outperformed LDA. In particular, we use sense definitions from WordNet, Wiktionary and all sentences from the Brown corpus. Similarity between two texts is measured on the basis of the similarity between their topic distributions. We consider three vector-based similarity measures here: Cosine similarity, Jensen-Shannon divergence and KL divergence. Thus for each target text pair we extract 99 features corresponding to the 3 similarity measures for each of the 33 $T$ settings. These features are used as the TM feature set in the systems described below.

2.3 IR Similarity Measures (IR)

The information retrieval–based features (IR) were based on a dump of English Wikipedia from November 2009. The entire dump was stripped of markup and tokenised using the OpenNLP tokeniser. The tokenised documents were then parsed into TREC format, with each article forming an individual document. These documents were indexed using the Indri IR engine\(^1\) with stopword removal. Each of the two target texts was issued as a full text query (without any phrases) to Indri, and the first 1000 documents for each text were returned, based on Okapi term weighting (Robertson and Walker, 1994). These resultant document lists were then converted into features using a number of set- and rank-based measures: Dice’s coefficient, Jaccard index, average overlap, and rank-biased overlap (the latter two are described in Webber et al. (2010)). The first two are based on simple set overlap and ignore the ranks; average overlap takes into account the rank, but equally weights high- and low-ranking documents; and rank-biased overlap weights higher-ranked items higher.

In addition to comparisons of the document rankings for a given target text pair, we also considered a method that compared the top-ranking documents themselves. To compare two texts, we obtain the top-100 documents using each text as a query as above. We then calculate the similarity between these two sets of resultant documents using the $\chi^2$-based corpus similarity measure of Kilgarriff (2001). In this method the $\chi^2$ statistic is calculated for the 500 most frequent words in the union of the two sets of documents (corpora), and is interpreted as the similarity between the sets of documents.

These 5 IR features (4 rank-based, and 1 document-based) are novel in the context of STS, and are used in the compound systems described below.

3 Compound systems

3.1 Ridge regression

Each of our features represents a (potentially noisy) measurement of the semantic textual similarity between two texts. However, the scale of our features varies, e.g., $[0, 1]$ for the string similarity features vs. unbounded for KL divergence (one of the topic modelling features). To learn the mapping between these features and the graded $[0, 5]$ scale of the shared task, we made use of a statistical technique known as ridge regression, as implemented in scikit-learn\(^2\). Ridge regression is a form of linear regression where the loss function is the ordi-

\(^1\)http://www.lemurproject.org/indri/
\(^2\)http://scikit-learn.org
nary least squares, but with an additional L2 regularization term. In our empirical evaluation, we found that ridge regression outperformed linear regression on our feature set. For brevity, we only present results from ridge regression.

### 3.2 Domain Adaptation

Domain adaptation (Daumé and Marcu, 2006) is the general term applied to techniques for using labelled data from a related distribution to label data from a target distribution. For the 2013 Shared Task, no training data was provided for the target datasets, making domain adaptation an important consideration. In this work, we assume that each dataset represents a different domain, and on this basis develop approaches that are sensitive to inter-domain differences.

We tested two simple approaches to including domain information in our trained model. The first approach, which we will refer to as **flagging**, simply involves appending a boolean vector to each training instance to indicate which training dataset it came from. The vector has length $D$, equal to the number of training datasets (3 for this task, because we train on the STS 2012 training data). All the values of the vector are 0, except for a single 1 according to the dataset that the training instance is drawn from. For test data, the entire vector consists of 0s.

The second approach we considered is based on metalearning, and we will refer to it as **domain stacking**. In domain stacking, we train a regressor for each domain (the level 0 regressors (Wolpert, 1992)). Each of these regressors is then applied to a test instance to produce a predicted value (the level 0 prediction). These predictions are then combined using a second regressor (the level 1 regressor), to produce a final prediction for each instance (the level 1 prediction). This approach is closely related to **feature stacking** (Lui, 2012) and **stacked generalization** (Wolpert, 1992). A general principle of metalearning is to combine multiple weaker ("less accurate") predictors — termed level 0 predictors — to produce a stronger ("more accurate") predictor — the level 1 predictor. In stacked generalization, the level 0 predictors are different learning algorithms. In feature stacking, they are the same algorithm trained on different subsets of features, in this work corresponding to different methods for estimating STS (Section 2). In domain stacking, the level 0 predictions are obtained from subsets of the training data, where each subset corresponds to all the instances from a single dataset (e.g. MSRpar or SMTeuroparl). In terms of subsampling the training data, this technique is related to **bagging** (Breiman, 1996). However, rather than generating new training sets by uniform sampling across the whole pool of training data, we treat each domain in the training dataset as a unique sample. Finally, we also experiment with feature-domain stacking, in which the level 0 predictions are obtained from the cross product of subsets of the training data (as per domain stacking) and subsets of the feature set (as per feature stacking). We report results for all 3 variants in Section 5.

This framework of feature-domain stacking can be applied with any regression or classification algorithm (indeed, the level 0 and level 1 predictors could be trained using different algorithms). In this work, all our regressors are trained using ridge regression (Section 3.1).

### 4 Submitted Runs

In this section we describe the three official runs we submitted to the shared task.

#### 4.1 Run1 — Bahar

For this run we used just the SS feature set, augmented with flagging for domain adaptation. Ridge regression was used to train a regressor across the three training datasets (MSRvid, MSRpar, SMTeuroparl). Each instance was then labelled using the output of the regressor, and the output range was linearly re-scaled to $[0, 5]$ as it occasionally produced values outside of this range. Although this approach approximates STS using only lexical textual similarity, it was our best-performing system on the training data (Table 1). Furthermore the SS features are appealing because of their simplicity and because they do not make use of any external resources.

#### 4.2 Run2 — Concat

In this run, we concatenated the feature vectors from all three of our feature sets (SS, TM and IR), and again trained a regressor on the union of the MSRvid, MSRpar and SMTeuroparl training datasets. As in Run1, the output of the regression
Table 1: Pearson’s $\rho$ for each feature set (FSet), as well as combinations of feature sets and adaptation strategies, on each training dataset, and the micro-average over all training datasets. (*), (+), and (−) denote Run1, Run2, and Run3, respectively, our submissions to the shared task; FL=Flagging, FS=Feature stacking, DS=Domain stacking.

Table 2: Pearson’s $\rho$ for each feature set (FSet), as well as combinations of feature sets and adaptation strategies, on each test dataset, and the micro-average over all test datasets. (*), (+), and (−) denote Run1, Run2, and Run3, respectively, our submissions to the shared task; FL=Flagging, FS=Feature stacking, DS=Domain stacking.

5 Results

For the STS 2013 task, the organisers advised participants to make use of the STS 2012 data; we took this to mean only the training data. In our post-task analysis, we realised that the entire 2012 dataset, including the testing data, could be used. All our official runs were trained only on the training data for the 2012 task (made up of MSRpar, MSRvid and SMTeuroparl). We first discuss preliminary findings training and testing on the (STS 2012) training data, and then present results for the (2013) test data. Post-submission, we re-trained our systems including the 2012 test data.

5.1 Experiments on Training Data

We evaluated our models based on a leave-one-out cross-validation across the 3 training datasets. Thus, for each of the training datasets, we trained a separate model using features from the other two. We considered approaches based on each individual feature set, with and without flagging. We further considered combinations of feature sets using feature concatenation, as well as feature and domain stacking, again with and without flagging.3 Results are

3We did not consider domain stacking with flagging.
Table 3: Pearson’s $\rho$ for each feature set (FSet), as well as combinations of feature sets and adaptation strategies, on each test dataset, and the micro-average over all test datasets, using features from all 2012 data (test + train). (*), (+), and (−) denote Run1, Run2, and Run3, respectively, our submissions to the shared task; FL=Flagging, FS=Feature stacking, DS=Domain stacking. $\delta$ denotes the difference in system performance after adding the additional training data.

reported in Table 1.

The best results on the training data were achieved using only our SS feature set with flagging (Run1), with an average Pearson’s $\rho$ of 0.549. This feature set also gave the best performance on MSRpar and SMTeuroparl, although the IR feature set was substantially better on MSRvid. On the training datasets, our approaches that combine feature sets did not give an improvement over the best individual feature set on any dataset, or overall.

5.2 Test Set Results

STS 2013 included four different test sets. Table 2 presents the Pearson’s $\rho$ for the same methods as Section 5.1 — including our submitted runs — on the test data. Run1 drops in performance on the test set as compared to the training set, where the other two runs are more consistent, suggesting that lexical similarity does not generalise well cross-domain. Table 4 shows that all of our systems performed above the baseline on each dataset, except Run3 on FNWN. Table 4 also shows that Run2 consistently performed well on all the datasets when compared to the median of all the systems submitted to the task (Agirre et al., to appear).

Run2, which was based on the concatenation of all the feature sets, performed well compared to the stacking-based approaches on the test set, whereas the stacking approaches all outperformed Run2 on the training datasets. This is likely due to the SS features being more effective for STS prediction in the training datasets as compared to the test datasets. Based on the training datasets, the stacking approaches placed greater weight on the predictions from the SS feature set. This hypothesis is supported by the result on Headlines, where the SS feature set does relatively well, and thus the stacking approaches tend to outperform the simple concatenation-based method. Finally, an extension of Run2 with flagging (not submitted to the shared task) was the best of our methods on the test data.

5.3 Error Analysis

To better understand the behaviour of our systems, we examined test instances and made the following observations. Systems based entirely on the TM features and domain adaptation consistently performed well on sentence pairs for which all of our other systems performed poorly. One example is the following OnWN pair, which corresponds to definitions of newspaper: an enterprise or company that publishes newsprint and a business firm that publishes newspapers. Because these texts do not share many common words, the SS features cannot capture their semantic similarity.

Stacking based approaches performed well on text pairs which are complex to comprehend, e.g., Two German tourists, two pilots killed in Kenya air crash and Senator Reid involved in Las Vegas car crash, where the individual methods tend to score lower
Table 4: Pearson’s 𝜌 (and projected ranking) of runs. The upper 4 runs are trained only on STS 2012 training data. (+) denotes runs that were submitted for evaluation. (∗) denotes systems trained on STS 2012 training and test data. For comparison, we include “Best”, the highest-scoring parametrization of our system from our post-task analysis (Table 3). We also include the organiser’s baseline, as well as the median and best systems for each dataset across all competitors.

| System          | OnWN | FNWN | SMT | Ave |
|-----------------|------|------|-----|-----|
| (+) Run1        | 0.711 (15) | 0.349 (71) | 0.381 (23) | 0.351 (18) | 0.473 (49) |
| (+) Run2        | 0.709 (17) | 0.679 (18) | 0.337 (33) | 0.323 (43) | 0.542 (17) |
| (+) Run3        | 0.706 (18) | 0.614 (28) | 0.187 (71) | 0.314 (47) | 0.509 (29) |
| Best            | 0.718 (14) | 0.704 (15) | 0.365 (28) | 0.344 (24) | 0.560 (7)  |
| (+) Run1        | 0.712 (14) | 0.353 (70) | 0.381 (23) | 0.341 (25) | 0.471 (54) |
| (+) Run2        | 0.707 (18) | 0.697 (14) | 0.435 (9)  | 0.332 (35) | 0.557 (9)  |
| (+) Run3        | 0.731 (11) | 0.677 (19) | 0.399 (17) | 0.330 (38) | 0.557 (8)  |
| (+) Best        | 0.730 (11) | 0.688 (17) | 0.462 (7)  | 0.353 (18) | 0.572 (4)  |
| Baseline        | 0.540 (67) | 0.283 (81) | 0.215 (67) | 0.286 (65) | 0.364 (73) |
| Median          | 0.640 (45) | 0.528 (45) | 0.327 (45) | 0.318 (45) | 0.480 (45) |
| Best-Score      | 0.783 (1)  | 0.843 (1)  | 0.581 (1)  | 0.403 (1)  | 0.618 (1)  |

than the human rating, but stacking was able to predict a higher score (presumably based on the fact that no method predicted the text pair to be strongly dissimilar; rather, all methods predicted there to be somewhat low similarity).

In some cases, the texts are on a similar topic, but semantically different, e.g., Nigeria mourns over 193 people killed in plane crash and Nigeria opens probe into deadly air crash. In such cases, systems based on SS features and stacking perform well. Systems based on TM and IR features, on the other hand, tend to predict overly-high scores because the texts relate to similar topics and tend to have similar relevant documents in an external corpus.

5.4 Results with the Full Training dataset

We re-trained all the above systems by extending the training data to include the 2012 test data. Scores on the 2013 test datasets and the change in Pearson’s 𝜌 after adding the extra training data (denoted 𝛿) are presented in Table 3.

In general, the addition of the 2012 test data to the training dataset improves the performance of the system, though this is often not the case for the flag-

ging approach to domain adaptation, which in some instances drops in performance after adding the additional training data. The biggest improvements were seen for feature-domain stacking, particularly on FNWN. This suggests that feature-domain stacking is more sensitive to the similarity between training data and test data than flagging, but also that it is better able to cope with variety in training domains than flagging. Given that the pool of annotated data for the STS task continues to increase, feature-domain stacking is a promising approach to exploiting the differences between domains to improve overall STS performance.

To facilitate comparison with the published results for the 2013 STS task, we present a condensed summary of our results in Table 4, which shows the absolute score as well as the projected ranking of each of our systems. It also includes the median and baseline results for comparison.

6 Conclusions and Future Work

In this paper we described our approach to the STS SemEval-2013 shared task. While we did not achieve high scores relative to the other submitted systems on any of the datasets or overall, we have identified some novel feature sets which we show to have utility for the STS task. We have also compared our proposed method’s performance with a larger training dataset. In future work, we intend to consider alternative ways for combining features learned from different domains and training datasets. Given the strong performance of our string similarity features on particular datasets, we also intend to consider combining string and distributional similarity to capture elements of the texts that are not currently captured by our string similarity features.

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